Matrine induces autophagy in human neuroblastoma cells via blocking the AKT-mTOR pathway

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Abstract

Neuroblastoma (NB) is one of the most common malignant solid tumors in children. Despite significant advances in the treatment strategy, the long-term survival rate of NB patients is only 50%. Developing new agents for NB patients deserves attention. Recent research indicates that matrine, a natural quinolizidine alkaloid component extracted from the traditional Chinese medicine Sophora root, is widely used for various diseases, including antitumor effects against a variety of cancers. However, the effect of matrine on NB is unknown. Herein, we found that matrine exerted antiproliferative activity in human NB cells in dose- and time-dependent manner. Matrine triggered autophagy in NB cells by blocking the AKT-mTOR signaling pathway and suppressing the phosphorylation of AKT and mTOR. 3-Methyladenine (3-MA), a PI3K inhibitor, protected against matrine-induced inhibition of cell proliferation, further supporting that the antitumor activity of matrine was at least partly autophagy-dependent. In vivo, matrine reduced tumor growth of SK-N-DZ cells in a dose-dependent manner. Matrine treatment significantly declined the phosphorylation of AKT and mTOR and enhanced the LC3 II/GAPDH ratio in NB xenografts. Altogether, our work uncovered the molecular mechanism underlying matrine-induced autophagy in NB and provided implications for matrine as a potential therapeutic agent against NB.

Keywords Neuroblastoma · Matrine · Cell proliferation · Autophagy

Introduction

Neuroblastoma (NB), the most common solid tumor in childhood, accounts for more than 7% of pediatric tumors and 15% of all pediatric cancer deaths [1, 2]. NB displays diverse clinical presentations ranging from spontaneous regression to aggressive progression [3]. On the basis of the International Neuroblastoma Risk Group (INRG) classification system incorporating patient age at diagnosis, INSS stage, DNA ploidy status, MYCN status, and tumor histopathology, NB patients can be classified into three risk groups (low, intermediate, and high risk) and individually treated based on stratification [4]. Current therapeutic strategies for NB patient management include observation alone, surgery, chemotherapy, radiotherapy, biotherapy, and immunotherapy. The clinical experience with NB suggests that risk-stratified therapy is beneficial, especially for low- and intermediate-risk patients with localized NB tumors and favorable clinical presentations [5, 6]. These patients tend to have an excellent overall survival probability with little or no cytotoxic therapy. However, 60% of patients have high-risk...
NB, with the 5-year survival rate of less than 50%, though these patients received aggressive multimodal therapies, including surgery, induction chemotherapy, radiotherapy, autologous stem cell transplantation and immunotherapy with anti-disialoganglioside (GD2) monoclonal antibody [7]. Of concern, almost all patients treated with high-risk NB experience a series of treatment-related acute toxicities (severe transient myelosuppression, chemotherapy-induced renal dysfunction, poor weight gain, etc.) and late effects (secondary malignancy, endocrinopathy, renal dysfunction, hearing loss, growth failure, etc.) [8]. Given the poor outcome and the burden of toxicities of high-risk NB patients, innovative therapeutics with little toxicity or few side effects are urgently needed.

Some natural products, such as traditional Chinese medicine, are applied as alternative treatments for cancer because of their wide biological activity and relatively low toxicity. Matrine, a natural quinolizidine alkaloid, is an effective monomer extracted from *Sophora flavescens Ait*, *Sophora subprostrata* or *Sophora alopecuroides* in traditional Chinese medicine. Recent evidence has indicated that matrine exerts antitumor effects on various tumors, including lung cancer [9], breast cancer [10], gastric cancer [11], leukemia [12] and pancreatic cancer [13]. For example, matrine inhibited growth in human ovarian cancer A2780 and SKOV3 cells in a dose- and time-dependent manner [14], exhibited antitumor effects against human hepatoma G2 cells by inducing apoptosis [15], and suppressed the proliferation, migration and invasion of human prostate cancer DU145 and PC-3 cells in a dose- and time-dependent manner [16]. However, the antitumor effects of matrine on NB cells and its underlying molecular mechanisms are not yet clear.

Here, we investigated the antitumor effects of matrine on human NB SK-N-AS and SK-N-DZ cells and its underlying molecular mechanisms and found that matrine inhibited the proliferation of NB cells in vitro and in vivo by inducing autophagy by blocking the AKT (AKT serine/threonine kinase)-mTOR pathway. These results support the potential therapeutic utility of matrine-mediated autophagy activation and a new role for NB treatment.

**Materials and methods**

**Cell culture**

The human NB cell lines SK-N-AS (ATCC® CRL-2137™) and SK-N-DZ (ATCC® CRL2149™) were obtained from the American Type Culture Collection (ATCC, Rockville, MA, USA). Mycoplasma testing was performed using a Mycoplasma Detection kit (Beijing Solarbio Science & Technology Co., Ltd.). SK-N-AS and SK-N-DZ cells were maintained in Dulbecco’s modified Eagle’s medium (Gibco; Thermo Fisher Scientific, Inc.) supplemented with 10% fetal bovine serum (FBS) and with 1% penicillin and streptomycin antibiotics.

**CCK-8 assay**

The short-term effects of matrine (#S2322, Selleck, USA) on cell metabolic activity were assessed using the CCK-8 assay. Cells were seeded at a density of 5000 cells/well in a 96-well plate and treated with matrine at the indicated concentrations (0, 0.2, 0.4, 1, 2, 4, and 6 mM) for 24, 48 or 72 h. CCK-8 solution (#KQ749, DOJINDO, Japan) was added (10 μl/well), and plates were incubated for 4 h at 37 °C. The plates were read on a microplate system (Gen5 GHS 2.0, USA) at 540 nm. Assays were performed in triplicate in 3 independent experiments.

**Colony-formation assay**

SK-N-AS and SK-N-DZ cells at the exponential growth phase were trypsinized and plated in triplicate wells in a 6-well plate (500 cells/well). After 12 h of incubation, cells were treated with DMSO or the indicated IC50 concentrations of matrine in the absence or presence of 3-MA (2 mM, #S2767, selleck, USA). The cells were treated for seven days, washed, and stained with 0.05% w/v crystal violet. And the colonies > 100 μm in diameter were counted.

**Western blot**

Subconfluent cells were washed with PBS and lysed using RIPA buffer containing 1×protease inhibitor cocktail and phosphatase inhibitors. Equal amounts of protein samples (20 μg/lane) were fractionated by SDS–polyacrylamide gel electrophoresis and transferred onto a polyvinylidene difluoride (PVDF) membrane (Millipore, USA) in 25 mM Tris and 192 mM glycine. Membranes were blocked with 5% nonfat dry milk in TBS and 0.05% Tween-20 for 2 h and then probed with the indicated antibodies at desired dilutions at 4 °C overnight, followed by corresponding secondary antibodies. The results of WB analyses were quantified using ImageJ software. The antibodies used in WB were as follows: anti-LC3 (1:1000, #4108, CST, USA), anti-GAPDH (1:5000, #10494-1-AP, Proteintech, USA), anti-p-S2448-mTOR (1:1000, #2971, CST, USA), anti-mTOR (1:1000, #2972, CST, USA), anti-p-S473-AKT (1:1000, #4060p, CST, USA), and anti-AKT (1:1000, #9272s, CST, USA).

**Transmission electron microscopy**

SK-N-AS and SK-N-DZ cells were exposed to matrine for 48 h and fixed with 2.5% glutaraldehyde solution overnight, followed by fixation with 1% OsO4. After dehydration in
graded ethanol, 10 nm thin sections were sliced and stained with 2% uranyl acetate. Observation was performed on a transmission electron microscope (TEM, Hitachi, S-3000 N, Japan). High-resolution digital images were acquired from 5 randomly selected fields for samples of each condition.

Confocal immunofluorescence microscopy

Cells grown in glass coverslips were treated with or without matrine for 48 h, rinsed in PBS, fixed with 4% formaldehyde for 20 min and then permeabilized with 0.3% Triton X-100. After rinsing in PBS, cells were probed with LC3 antibody (1:500, #4108, CST, USA) at 4 °C overnight. After being washed three times with PBST, cells were incubated with Fluor Cy3-conjugated secondary antibodies (1:100, #SA00009-2, Proteintech, USA) for 1 h at room temperature. The nuclei were stained with DAPI for 10 min before being mounted with aqueous mounting medium and then visualized using a Leica laser confocal microscope (C2 + system, Nikon, Japan).

Flow cytometry analysis of apoptosis and cell cycle

SK-N-AS and SK-N-DZ cells were seeded into 6-well plates and treated with the indicated concentration of matrine for 48 h. For apoptosis analysis, cells were harvested by treatment with trypsin, washed twice with cold PBS and resuspended in 100 μl of Annexin V binding buffer. Cells were then stained with Annexin V labeled by FITC and PI for 20 min at 37 °C in the dark. Within one hour, the fluorescence intensity of the early and late apoptotic cells were detected by using FACS Calibur flow cytometer (BD Biosciences, USA). For cell cycle assay, cells were washed with PBS, and then trypsinized, washed with PBS twice and fixed in 70% ethanol at 4 °C. After fixation, the ethanol was removed, and the PI-staining solution was added. Then cells were incubated for 30 min. Fluorescence signals were analyzed immediately using a FACS Calibur flow cytometer (BD Biosciences, USA). Dot plots and histograms were analyzed by FlowJo software.

In vivo xenograft model

Female BALB/c nude mice, aged 4–6 weeks, weighing 18–20 g, were fed in a barrier facility, and the experiments were approved by the Chongqing Medical University Institutional Animal Care and Use Committee and the Animal Ethics Committee. To investigate the suppressive effect of matrine on tumor development in vivo, each mouse was injected subcutaneously with 5 × 10^6 SK-N-DZ cells (100 μl in PBS) into the right flank. Once a palpable tumor was present, mice were treated intraperitoneally (IP) with either vehicle or matrine (50, 75, 100 mg/kg) every three days. Mice were sacrificed 30 days after transplanting and the tumors were dissected out. Tumor size was measured using a caliper and calculated using the following formula: Volume = 1/2 × (length × width^2), and tumors were weighed and collected for further analysis.

Immunohistochemistry

Formalin-fixed and paraffin-embedded tissue sections were deparaffinized in xylene and graded alcohols, hydrated, and washed in a PBS solution. After antigen retrieval in a sodium citrate buffer (pH6) in a microwave oven, the endogenous peroxidase was blocked by 0.3% H2O2 for 20 min. Sections were incubated overnight at 4 °C with primary antibodies anti-LC3 (1:400, #4599, CST, USA) and anti-Ki67 (1:400, #9027, CST, USA), washed with PBS and subsequently incubated with the biotin-streptavidin horseradish peroxidase labeled Goat anti-rabbit IgG (#SP-9001, Beijing Zhongshan Jinqiao Biotechnology Co., Ltd.) for 1 h at room temperature. Signals were visualized using a peroxidase substrate and hematoxylin counterstaining for 1 min at room temperature.

Statistical analysis

All data present as mean ± SEM using Prism 6.0 (GraphPad Software). Statistically significant was analyzed using unpaired two-tailed Student’s t-test or one-way ANOVA. Data were deemed to be statistically significant if P < 0.05.

Results

Matrine inhibited the cell growth of NB cells in vitro

To determine the effect of matrine (Fig. S1) on the proliferation of human NB cells, SK-N-AS and SK-N-DZ cells were examined by CCK-8 assay after matrine treatment. The proliferation of SK-N-AS and SK-N-DZ cells was significantly inhibited by matrine treatment in a dose- and time-dependent manner (Fig. 1A, B). The IC50 values of matrine on SK-N-AS and SK-N-SZ cells at 48 h were 2.4 and 4 mM, respectively, which were appropriately used for further study. To evaluate the effect of matrine on cellular viability, a colony formation assay was performed. SK-N-AS and SK-N-DZ cells were markedly decreased after incubation with matrine compared with those in the control group (P < 0.001) (Fig. 1C). To examine whether cell growth inhibition is related to the cell cycle arrest of NB cells, we measured the role of matrine in cell cycle distribution. However, no significant change in cell cycle distribution was found in either SK-N-AS or SK-N-DZ cells (P > 0.05) (Fig. S2). We then detected the apoptosis rate by flow cytometry analysis
with annexin V/7-AAD double staining in SK-N-AS and SK-N-DZ cells. Compared with the control group, the ratio of apoptotic cells (Ann+/PI-), significantly increased in the matrine group after 48 h of treatment ($P < 0.001$) (Fig. 1D).

Matrine triggered autophagy in NB cells

The autophagy pathway has broad implications in many physiological and pathological processes, including tumorigenesis. Recent findings have revealed that autophagy induced by chemotherapeutic agents may have a suppressive role in cancer [17, 18]. To determine whether matrine affects autophagy in NB cells, we visualized the induction of autophagy using transmission electron microscopy. The number of autophagosomes markedly increased in SK-N-AS or SK-N-DZ cells treated with matrine after 48 h, but not in the control group (Fig. 2A). Next, we investigated the effect of matrine on...
the formation of autophagosomes in vitro by detecting the conversion of LC3 I to lipidated LC3 II, a classical marker of autophagosome formation. Given that LC3 II/GAPDH is better indicator of autophagosome formation than LC3 II/LC3 I \[19\], as shown in Fig. 2B, we found that matrine caused the induction of autophagy, as evidenced by increased LC3 II/GAPDH, in a dose-dependent manner in both SK-N-AS and SK-N-DZ cells \(P < 0.001\). We then detected the distribution of endogenous LC3 puncta in SK-N-AS and SK-N-DZ cells by immunofluorescence. Significant increase of LC3 puncta were found in both SK-N-AS and SK-N-DZ cells treated with matrine after 48 h \(P < 0.001\) (Fig. 2C), indicating that matrine triggered autophagy in NB cells.
Matrine induced autophagic flux in NB cells

Both autophagy inducers and inhibitors cause build-ups of LC3 II and LC3-positive puncta. To further distinguish the role of matrine in autophagy, we observed the impact on autophagic flux using tandem monomeric GFP-mCherry-tagged LC3. We found increased formation of autophagosomes (yellow fluorescence) and autolysosomes (red fluorescence) in both SK-N-AS and SK-N-DZ cells treated with matrine after 48 h \((P < 0.001)\) (Fig. 3A). We then examined matrine-induced autophagic flux in the presence or absence of chloroquine (CQ), an autophagosome-lysosome fusion inhibitor. Compared with matrine alone, an enhanced LC3 II/GAPDH ratio was observed in both SK-N-AS cells \((P < 0.001)\) and SK-N-DZ cells \((P < 0.001)\) treated with matrine combined with CQ (Fig. 3B). Also,

Fig. 3  Autophagic flux was enhanced by matrine in human NB cells. A Autophagy flux was detected in SK-N-AS and SK-N-DZ cells treated with matrine by confocal laser microscopy (×400, scale bar = 50 μm) (left). Quantification of the number of fluorescent puncta exhibiting GFP or mCherry fluorescence per cell (right). B The expression of LC3 in the SK-N-AS and SK-N-DZ cells treated by matrine or in combination with chloroquine by western blot. C The expression of LC3 in SK-N-AS and SK-N-DZ cells treated with matrine in the presence or absence of chloroquine by immunofluorescence staining (×400, scale bar = 50 μm). D Quantitative analysis of LC3 spots per cell. Data were presented as the mean ± standard deviation. *\(P<0.05\), **\(P<0.01\), and ***\(P<0.001\) vs. control. M matrine, CQ chloroquine
when compared to the cells treated with CQ, significant difference of the LC3 II/GAPDH ratio were also found in both SK-N-AS cells ($P < 0.01$) and SK-N-DZ cells ($P < 0.001$) treated with matrine combined with CQ (Fig. 3B). Next, we confirmed this observation by detecting the numbers of LC3 puncta in both SK-N-AS and SK-N-DZ cells treated with matrine combined with CQ compared to matrine alone or CQ alone ($P < 0.01$) (Fig. 3C and D). Taken together, these data indicated that matrine functions as an autophagy inducer in NB cells.

**Matrine induced autophagy even in the presence of the autophagy inhibitor 3-MA**

To further determine whether the anticancer effect of matrine in NB cells occurred through the induction of autophagy, cells were treated in the presence or absence of the autophagy inhibitor 3-MA, a class III phosphati-dylinositol 3-kinase inhibitor that prevents the formation of autophagosomes [20], followed by treatment with matrine. The combination of 3-MA with matrine significantly decreased the LC3 II/GAPDH ratio ($P < 0.001$) (Fig. 4A). 3-MA also rescued cell proliferation from matrine-induced antiproliferative activity, as demonstrated by clonogenic assay in both SK-N-AS cells ($P < 0.05$) and SK-N-DZ cells ($P < 0.01$) (Fig. 4B). Similar results were obtained by the CCK8 test in SK-N-AS cells ($P < 0.01$) and SK-N-DZ cells ($P < 0.001$) (Fig. S3) These results suggested that inhibition of autophagy counteracted the inhibitory effect of matrine in NB cells.

**Matrine inhibited the phosphorylation of AKT and mTOR**

The AKT-mTOR pathway is a well-established pathway as a key negative regulator of autophagy and is frequently activated in cancer cells [21]. Hence, we examined the role of the AKT-mTOR pathway in matrine-treated SK-N-AS and SK-N-DZ cells. The results showed that the expression levels of AKT and mTOR were not altered, but the phosphorylation levels of AKT and mTOR were significantly inhibited by matrine in a dose-dependent manner in SK-N-AS or SK-N-DZ cells ($P < 0.001$) (Fig. 5).

**Matrine-induced autophagy alleviated NB burden in vivo**

To further investigate the in vivo efficacy of matrine in NB, we employed NB models by implanting SK-N-DZ cells subcutaneously into nude mice. After each mouse subcutaneously with $5 \times 10^6$ SK-N-DZ cells (100 μl in PBS) into the right flank, most of them develop a palpable tumor

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**Fig. 4** Matrine induced autophagy in NB cells in the presence of the autophagy inhibitor 3-MA. **A** The expression of LC3 was detected in SK-N-AS and SK-N-DZ cells treated with matrine alone or in combination with 3-methyladenine by western blot. **B** The effects of matrine alone or in combination with 3-MA on the proliferation of NB cells by colony formation assay. Data were presented as the mean ± standard deviation. 

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$*P < 0.05$, $**P < 0.01$, and $***P < 0.001$ vs. control. 

M = matrine, CQ = chloroquine, 3-MA = 3-methyladenine.
around 5–7 days with a tumor rate of 100%. No significant
difference was found. The tumor volumes in the 0, 50, 75
and 100 mg/kg groups were 8.145 ± 0.754, 4.364 ± 0.398,
4.258 ± 0.459 and 2.326 ± 0.420 cm³, respectively, after
inoculation of cells for 30 days. Tumor xenografts from
mice treated with different concentration of matrine grew
at a slower rate than those from mice treated with vehicle,
as revealed by the reduced tumor volumes and weights
\( P < 0.001 \) (Fig. 6A–C). However, there was no significant
difference among the three matrine-treated groups. Fur-
thermore, matrine significantly reduced the protein expres-
sion levels of p-AKT and p-mTOR and enhanced the LC3
II/GAPDH ratio in the tumors, consistent with the results
obtained from the analysis of NB cells in vitro \( (P < 0.05) \)
(Fig. 6D). We then immunohistochemically stained tumor
tissues from SK-N-DZ xenograft models with antibodies
of LC3 and Ki67, which is widely used as a proliferation
marker in human tumor cells [22]. The results also showed
that matrine increased the expression level of LC3 and
decreased the expression level of Ki67 \( (P < 0.05) \) (Fig. 6E).
These results indicated that matrine suppressed the growth
of NB in vivo by inhibiting autophagy and PI3K/AKT/
mTOR signaling.

**Discussion**

The present study indicated that matrine induced marked
growth suppression and autophagy in NB cell lines in vitro
and subcutaneous xenograft of SK-N-DZ cells in vivo.
Furthermore, we demonstrated the mechanism by which
matrine triggered autophagy in NB cells by blocking the
AKT-mTOR pathway. In addition, we found that 3-MA
significantly protected matrine-induced cell viability inhibi-
tion, further supporting that matrine-induced inhibition
of NB cell proliferation was autophagy-dependent. Thus,
to our knowledge, this is the first study to describe the
effect of matrine on NB cells in vitro and in vivo.

Autophagy is an evolutionarily conserved stress response
and degradative mechanism involved in the degradation of
damaged or dysfunctional intracellular components deliv-
ered by double-membrane autophagosomes to lysosomes
[23, 24]. Dysfunctional autophagy has been shown to con-
tribute to carcinogenesis [25]. Notably, according to distinct
cell conditions and cancer cell subtypes, the consequences
of autophagy activation will be very different in response to
chemotherapy drugs, which may enhance or weaken drug
efficacy [26]. On the one hand, autophagy acts as a drug-
resistant mechanism to promote the survival of cancer cells
by removing damaged organelles and recycling nutrients
under chemotherapy stress. In this case, the main therapeutic
strategy is to perturb autophagy to improve drug sensitiv-
ity [27]. On the other hand, autophagy induced by chem-
otherapeutic agents exerts antitumor effects by two different
functional forms of autophagy, namely, “cytotoxic function”
leading to autophagy cell death alone or by apoptosis in-
duction and “cytostatic function” leading to cell growth inhibi-
tion in an apoptosis-independent manner [28]. Interestingly,
both autophagy inducers and inhibitors have a therapeutic
advantage in cancer therapy. Autophagy inhibitors (e.g.,
chloroquine (CQ) or hydroxychloroquine (HCQ)) [29– 31]
and autophagy inducers (e.g., ivermectin, or rapamycin) [32,
33] have shown promising antitumor effects. This highlights
the importance of understanding the roles of autophagy to
further provide new opportunities for therapeutic strategies.
Our study indicated that matrine inhibited the growth of NB cells in a dose- and time-dependent manner. Notably, matrine markedly increased autophagy and autophagic flux in human NB SK-N-AS and SK-N-DZ cells in the presence of the autophagy inhibitor CQ, suggesting that matrine functions as an autophagy inducer and thereby represents a feasible strategy for the treatment of NB tumors. Interestingly, we observed matrine-induced apoptosis in NB cells, consistent with other reports [14, 33, 34]. However, the complex relationship between autophagy and apoptosis needs further investigation.

It has been well established that the phosphoinositide 3-kinase (PI3K)-AKT-mammalian target of rapamycin (mTOR) pathway is a negative regulator of autophagy [35, 36]. AKT is a serine-threonine kinase located downstream of PI3K that activates the kinase mTOR, leading to the suppression of autophagy. Notably, the pathway plays a critical role in the pathogenesis and progression of NB tumors [37]. Pathological activation of the PI3K-AKT-mTOR pathway also frequently occurs in NB and correlates with poor prognosis [38]. PI3K-AKT-mTOR pathway inhibition severely suppresses NB cell growth in vitro and in vivo [39–41]. PI3K pathway-targeted therapies have shown preclinical promise [42].

In the present study, we found that matrine suppressed the phosphorylation of AKT and mTOR and triggered autophagy, and matrine-induced growth inhibition was rescued while preventing formation of autophagosomes with 3-MA, a class III phosphatidylinositol 3-kinase inhibitor. Our data indicated that matrine inhibited the proliferation of NB cells at least partly in an autophagy-dependent manner. Similar to other results, matrine induced autophagy via suppression of PI3K/AKT in human lung adenocarcinoma cells [34], glioma cells [33] and ovarian cancer cells [14]. These findings may have implications for matrine as an inhibitor of the PI3K-AKT-mTOR pathway for antitumor therapies.
There were several limitations in the present study. Firstly, our results lacked of data other than cell lines. Matrine-induced autophagy was only found in human NB SK-N-AS and SK-N-DZ cells, and more work is needed to confirm these findings in other NB cell lines and animal models. Secondly, the phosphorylation levels of AKT and mTOR were significantly inhibited by matrine in a dose-dependent manner, but no significant change of AKT and mTOR expression was found between the matrine treatment group and control group. The mechanism by which matrine inhibited phosphorylation of AKT-mTOR pathway has yet to be further investigated.

Conclusion

Taken together, matrine exerts a significant antitumor effect on NB cells in vitro and in vivo. The anticancer activity of matrine was at least partly attributed to its inhibition of proliferation and autophagy induction of NB cells through blocking the PI3K/AKT/mTOR pathway. Our data provide a molecular basis for the antitumor effect of matrine in NB cells, supporting that matrine as an autophagy inducer may become a novel treatment option for NB therapy.

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Author contributions XH designed the experiments and supervised the progress of the experiments. NL, LY, TL, MG and HW performed the experiments. NL and TL analyzed the statistical data and created the figures. NL, CY, and XH wrote the manuscript. JZ, HZ and LZ provided data interpretation. All authors have read and approved the final manuscript.

Declarations

Conflict of interest The authors declare that they have no competing interests.

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