REMOTE SENSING OF EVAPOTRANSPIRATION IN A SOUTHERN MEDITERRANEAN FOREST. APPLICATION TO BISSA FOREST, ALGERIA

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ABSTRACT

The Simplified Surface Energy Balance Index (S-SEBI) algorithm was used in this study with four Landsat-5 Thematic Mapper images to assess the evapotranspiration (ET) in Bissa forest, one of the healthiest Algerian forests located south of the Mediterranean Sea. Results showed that ET varies over the different seasons, the highest ET values were reached during the spring due to water availability, whereas, the lowest values were recorded during the summer. The relationship between normalized difference vegetation index (NDVI) and ET showed that the highest ET values coincide always with the highest NDVI except for January where even the lowest NDVI values correspond to higher ET. The intensity of ET was closely related to aspects, southeastern exposures showed the highest ET, whereas, northwestern exposures showed the lowest ET.

Keywords: Algeria, Aspects, Bissa, Evapotranspiration, NDVI, Remote sensing, S-SEBI

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Contribution/ Originality

This study uses new estimation methodology of evapotranspiration, one of the most difficult climatic parameter to measure. Several classical approaches were developed to estimate evapotranspiration; in the opposite of these classical approaches we applied a new remote sensing algorithm to solve the energy balance equation in order to estimate evapotranspiration.

1. INTRODUCTION

Evapotranspiration (ET), referred to as a latent heat flux, is the most important mechanism of energy and mass exchange between hydrosphere, biosphere and atmosphere (Sobrino et al., 2007; Nouri et al., 2013). Evapotranspiration is controlled by several factors such as solar radiation, water availability, wind speed, soil characteristics and stomatal resistance (Roberts, 2000; Immerzeel et al., 2006). Approximately 75% of the total precipitation are evapotranspired by the system soil-vegetation (Immerzeel et al., 2006). Reliable estimation of temporal and spatial distribution of ET is at the basis of irrigation planning, land use and ecosystem management, especially in arid and semi-arid areas (Farias et al., 2009; Liang et al., 2010). In this context several classical approaches have been developed and used for decades to estimate ET, including temperature methods (Blaney and Criddle, 1950) radiation methods (Priestley and Taylor, 1972) and combination methods (Penman, 1948). Over the last decade, several remote sensing algorithms were developed (Bastiaanssen et al., 1998) and applied to satellite imagery to solve the energy balance equation in order to estimate ET. The main advantage of this important
technological trend is that it can provide an estimation of ET at each point within a given area and provides its geographical distribution. Several energy balance algorithms using the thermal, visible bands and the formulation of the energy balance of the surface are available for calculating ET. In light of this, the Surface Energy Balance Algorithm for Land (SEBAL) (Bastiaanssen et al., 1998) and the Simplified Surface Energy Balance Index (S-SEBI) (Roerink et al., 2000) are among the most common remote sensing algorithms used to estimate the surface energy balance. The main difference between SEBAL and S-SEBI is that SEBAL needs the solution of a complex iterative process, while the S-SEBI algorithm, based on the latent heat flux and available energy to estimate the evapotranspiration; free it from all additional meteorological data. In this context the main purpose of this study was the use of the S-SEBI model combined with four Landsat images, to estimate the daily evapotranspiration (ET\text{d}) according to the seasonal and topographical variation in a southern Mediterranean area characterized by its harsh climatic adversities.

2. MATERIAL AND METHODS

2.1. Study Area

Located in northwestern Algeria, the study area (Fig. 1) extends from 1°19′35″ to 1°34′14″ E, and from 36°22′59″ to 36°30′59″ N, at 10 km away from the Mediterranean Sea and covers approximately 300 km² and. With a variable altitude ranging from 100 to 1120 m above the sea level, it’s a typical Mediterranean area in terms of landscape structure, composition and climate, characterized by hot and dry summers, with a dry period of 4 months (May to September) and relatively rainy winters with an average annual rainfall of 500 mm. In terms of vegetation, the landscape is covered with natural sclerophyllous and sparse vegetation alternating with bare soils.

2.2. S-SEBI Algorithm

Considering a natural surface the energy balance flux at the soil surface can be expressed according to Carson (1987) as:

\[ R_n = G + H + \lambda ET \] (1)

Where: where \( \lambda ET \) is the latent heat flux with \( \lambda \) as the latent heat of vaporization, \( R_n \) is the net radiation, \( G \) is the ground heat flux and \( H \) is the sensible heat flux.

To solve the surface energy balance flux (Eq. 1), the S-SEBI model (Roerink et al., 2000) uses the evaporative fraction (\( \Lambda \)) (Eq. 2) (Table 1) based on the relationship between surface temperature (Eq. 3, Eq. 4 (NASA, 2009) Eq. 5 (Kosa, 2011)) and surface albedo (Eq. 6 (Liang et al., 2003)) (Fig. 2). The instantaneous soil heat flux (\( G \)) was derived from an empirical equation proposed by Bastiaanssen (2000) and based on the relationship between normalized difference vegetation index (NDVI) and surface characteristics (Eq. 7 (Rouse et al., 1974) and Eq. 8 (Tong et al., 2007)) whereas the net radiation flux (\( R_n \)) was derived from Eq. 9 (Kosa, 2009) and Eq. 10 (Waters et al., 2002). The sensible (\( H \)) and latent heat flux (\( \lambda ET \)) were calculated using \( \Lambda \) according to Eq. 11 and Eq. 12
Finally, Eq. 13 and Eq. 14 (Namsik, 2010; Kosa, 2011) were used to estimate the daily (24 hours) evapotranspiration.

Table 1. Equations used to estimate the evapotranspiration from Landsat images.

| Equation Number | Equation Description |
|-----------------|----------------------|
| 1              | Conversion of Digital numbers (DN) to radiance |
| 2              | Conversion of Landsat Thermal band 6 to effective at satellite temperature |
| 3              | Conversion of effective at satellite temperature to surface temperature |
| 4              | Conversion of Digital numbers (DN) to radiance |
| 5              | Conversion of Landsat Thermal band 6 to effective at satellite temperature |
| 6              | Conversion of effective at satellite temperature to surface temperature |
| 7              | Conversion of Digital numbers (DN) to radiance |
| 8              | Conversion of Landsat Thermal band 6 to effective at satellite temperature |
| 9              | Conversion of effective at satellite temperature to surface temperature |
| 10             | Conversion of Digital numbers (DN) to radiance |
| 11             | Conversion of Landsat Thermal band 6 to effective at satellite temperature |
| 12             | Conversion of effective at satellite temperature to surface temperature |
| 13             | Conversion of Digital numbers (DN) to radiance |
| 14             | Conversion of Landsat Thermal band 6 to effective at satellite temperature |

Where: $T_s =$ Land surface temperature; $T_{H}$ and $T_{\lambda}$ = Temperature of maximum sensible and latent heat flux; $L_\lambda =$ Spectral radiance; $QCAL =$ quantized calibrated pixel value in DN; $T_{bb} =$ Effective at satellite temperature; $K_1$ and $K_2$ are calibration constants = 666.09 and 1282.71 respectively; $L_6 =$ spectral radiance for band 6; $\rho =$ Surface emissivity; $R_s \downarrow =$ Incoming shortwave radiation; $R_l \downarrow =$ Incoming long wave radiation; $R_l \uparrow =$ Outgoing long wave radiation; $G_d =$ Daily soil heat flux, it is approximately equal to zero (Allen et al., 1998; Allen et al., 2006).

Fig 2. Surface temperature vs surface albedo (a) January, (b) April, (c) July, (d) October.
2.3. Aspects

Aspects of the study area were derived from a digital elevation model in a GIS. To underline the impact of aspects on $\text{ET}_d$, aspects were considered as circular form, with 8 directions, north-facing ($0-45^\circ$, $45-90^\circ$, $90-135^\circ$, $135-180^\circ$) and south-facing ($180-225^\circ$, $225-270^\circ$, $270-315^\circ$, $315-360^\circ$).

3. RESULTS AND DISCUSSIONS

3.1. Spatiotemporal variation of $\text{ET}_d$

Unlike all the empirical and recent remote sensing models which require a lot of local meteorological data, the S-SEBI model used in this study was based on relatively low information input, just visible, near infrared, thermal bands (used to extract surface temperature and reflectance (albedo)) and incoming radiation on the ground, it showed an easy applicability and high accuracy for the retrieval of $\text{ET}_d$ using remotely sensed information, according to Sobrino et al. (2005) the accuracy for the $\text{ET}_d$ estimated using the S-SEBI model was found to be less than 1 mm/d compared to the measured ET. As a result, the spatial distribution of $\text{ET}_d$ in Bissa forest using Landsat images showed that there was a wide spatial variation in $\text{ET}$, the maps generated through the S-SEBI algorithm (Fig. 3) revealed that $\text{ET}_d$ was highly variable according to season and land vegetation cover, these observations were consistent with those reported by Dolan et al., 1984; Courault and Monestiez, 1999; Aleina et al., 2013. Throughout the study area, according to land vegetation cover, the highest $\text{ET}_d$ was observed over dense forest canopy and the lowest was recorded over bare soils.

![Spatial distribution of $\text{ET}_d$](image)

*Fig-3. Spatial distribution of $\text{ET}_d$ (a) January, (b) April, (c) July, (d) October.*
Whereas, according to season, due to water availability, spring (Fig. 3b) showed the highest mean ET$_d$ (7.97 mm), the widest spatial variation within a range from 5.1 to 9.7 mm and the highest standard deviation (0.624) (Table 2). As Mediterranean summers are very hot and strongly dry (Mean Temperature = 41 °C ± 5.6 °C during image capture), the lowest mean ET$_d$ (3.17 mm), the narrowest range (1.8 to 4.1 mm) and the weakest standard deviation (0.36) were observed during July (Fig. 3c) due to vegetation resistance to drought and water scarcity. Winter and autumn (Fig. 3a and Fig. 3d) were comparable in term of daily mean ET, spatial variation and standard deviation (Table 2).

Table 2. Summary of descriptive statistics of ET$_d$ during the four months

|          | January | April | July  | October |
|----------|---------|-------|-------|---------|
| Minimum  | 1.873   | 5.192 | 1.855 | 1.848   |
| Maximum  | 4.839   | 9.671 | 4.108 | 4.639   |
| 1st Quartile | 3.582 | 7.543 | 2.905 | 3.331   |
| 3rd Quartile | 4.213 | 8.389 | 3.447 | 3.859   |
| Mean     | 3.885   | 7.969 | 3.171 | 3.589   |
| Standard deviation (σ) | 0.427 | 0.624 | 0.362 | 0.387   |

3.2. Relationship between ETD and NDVI

In general, it was remarked that the highest ET values coincide always with the highest NDVI, except winter (January) where even the lowest NDVI values correspond to higher ET$_d$ with a weak coefficient of determination (R$^2$) equal to 0.05, this behaviour was closely related to the existence of intense bare soil evaporation beside vegetation transpiration, which reflects the availability of water during this season, indeed the total rainfall accounted during the 60 days preceding the image capture was more than 150 mm. The strongest ET-NDVI correlation was observed during summer (July) with a coefficient of determination (R$^2$) equal to 0.65 (Fig. 4c) suggesting that 65% of the ET$_d$ variability was explained by the NDVI, moreover, during this season was recorded the lowest root mean square error (RMSE) (0.228), which reflects the fact that the evapotranspiration process was strictly limited to vegetation transpiration, and almost a total absence of soil evaporation due to the scarcity of rainfall during this season, the amount of rainfall recorded during the 2 months preceding the image capture was less than 15 mm, this July relationship (NDVI-ET) was also reported by Krishnan et al. (2012) thus, in contrary to Nicholson et al. (1996) and Szilagyi et al. (1998) who pointed out that the NDVI-ET relationship is strong, mainly, in humid environments, our results showed an increasing relationship (NDVI-ET) with the growing aridity of the environment. This difference is probably due to the nature of local climate and vegetation. Our findings were very concordant with the Mediterranean climate, as reported by many authors (Sumner et al., 2001; Blumler, 2005; Li et al., 2006) the Mediterranean climate is characterized by its strong seasonal contrast, with hot dry summers and cool rainy winters.

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Compared to summer, spring (April) and autumn (October) (Fig. 5b and 5d) showed a relatively low $R^2$ equal to almost 0.4 for both seasons, nevertheless this 40% of $ET_d$ variability explained by NDVI was highly significant ($P < .001$) according to the linear regression analysis.

### 3.3. Variations of $ET_d$ According to Aspects

As ET depend on many aspects of the local geographical and physiographical conditions, which need to be accounted in ET estimation, results showed that the intensity of $ET_d$ was closely related to aspects and consequently negatively related to shade (Fig. 5), this finding was also reported by Tong et al. (2007). Winter and autumn (Fig. 5a and 5d) showed almost the same behaviour according to aspects, the lowest $ET_d$ (3.40 and 3.26 mm, respectively) was observed in the direction 135-180°, whereas the highest $ET_d$ (4.33 and 3.90 mm, respectively) was shown by the opposite side, i.e., 315 - 360°. The highest negative correlation between $ET_d$ and shade was recorded during these two seasons with a coefficient of correlation ($R$) equal to $-0.65$ ($P < .001$) during winter and $-0.53$ ($P < .001$) during autumn (Table 3). Throughout spring period (April) the $ET_d$-shade correlation was slightly low ($R = -0.4$), over this season $ET_d$ was the highest at the direction 270 - 360°, whereas the lowest $ET_d$ was recorded at the opposite exposure 90 - 180° (Fig. 5b), with a slightly large sector of circle of 90° compared to winter and autumn (45°). Finally, the lowest $ET_d$-shade correlation ($R = -0.29$) was recorded during the dry season (summer), regarding $ET_d$ the lowest and the highest values were recorded at the respective directions 90 - 225° and 270 - 360°, with the largest sector of circle of 135°. This high variability of $ET_d$ according to aspects was related to the amount of sunshine received by each aspect at the moment of image capture (10 H: 20 MN), southerly aspects tends to be more sunny than on northerly aspects, this fact was confirmed by the inverse relationship observed between $ET_d$ and shade, where, it was also noted that this negative correlation increases when the sector of circle width’s decreases and vice versa. Therefore, $ET_d$ calculation at different hours of the day is necessary in order to accurately assess $ET_d$ throughout the different seasons, unfortunately we note the unavailability of satellite imagery captured throughout the different times of the day.
Comparing the mean ET$_d$ recorded at the different range of aspects during the four seasons, analysis of variance (ANOVA), resulted in different groups of ET$_d$ highly significantly different ($P < .001$) according to aspects (Table 4), which reflects the high influence of the local geographic and physiographic conditions on evapotranspiration, concurring with the findings reported by many authors (Jackson, 1967; Toy, 1979; Stephenson, 1998).

Table 4. Different groups of ET$_d$ related to aspects according to ANOVA and Tukey (HSD) (a) January, (b) April, (c) July, (d) October.

| Aspect (°) | January | April | July | October |
|-----------|---------|-------|------|---------|
| 0–45      | G1      | G2    | G5   | G4      |
|           | G3      | G1    | G2   | G5      |
|           | G3      | G1    | G2   | G5      |
|           | G3      | G1    | G2   | G5      |
|           | G3      | G1    | G2   | G5      |
| 45–90     | G1      | G2    | G5   | G4      |
|           | G3      | G1    | G2   | G5      |
|           | G3      | G1    | G2   | G5      |
|           | G3      | G1    | G2   | G5      |
|           | G3      | G1    | G2   | G5      |
| 90–135    | D       | F     | D    | E       |
| 135–180   | E       | F     | D    | E       |
| 180–225   | D       | E     | D    | E       |
| 225–270   | C       | C     | C    | C       |
| 270–315   | A       | B     | A    | C       |
| 315–360   | A       | B     | A    | C       |

*G = group
4. CONCLUSION

As ET is at the basis of irrigation planning, land use and ecosystem management, quantification of ET has been for decades a constant concern of farmers and researchers. Among several approaches, this study focused on the estimation of ET through remote sensing. Despite the simplicity and the low input data required by this technique, it was possible to accurately estimate the daily evapotranspiration with its spatiotemporal distribution in a southern Mediterranean forest, the highest ET_d were reached during the spring due to water availability, whereas, the lowest values were recorded during the summer. Regarding the influence of vegetation, the highest ET_d were always related to the highest NDVI, except for January where even the lowest NDVI corresponded to higher ET_d. It was also found that the intensity of ET_d was closely related to the local topographical and physiographical conditions, south-eastern exposures showed the highest ET_d, whereas, north-western exposures were characterized by the lowest ET_d. Finally, the outcomes of this study confirm the promising possibilities of remote sensing in solving the energy balance equation and accurately assess the spatial and the temporal variation of ET.

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