DIRAC COHOMOLOGY, ELLIPTIC REPRESENTATIONS AND ENDOSCOPY

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To David Vogan for his 60th birthday

ABSTRACT. The first part (Sections 1-6) of this paper is a survey of some of the recent developments in the theory of Dirac cohomology, especially the relationship of Dirac cohomology with \((\mathfrak{g}, K)\)-cohomology and nilpotent Lie algebra cohomology; the second part (Sections 7-12) is devoted to understanding the unitary elliptic representations and endoscopic transfer by using the techniques in Dirac cohomology. A few problems and conjectures are proposed for further investigations.

INTRODUCTION

Since its appearance in the literature [HP1], Dirac cohomology has been playing an active role in many of the recent developments in representation theory. Back in late 1990s, Vogan made a conjecture on the property of Dirac operator in the setting of a reductive Lie algebra and its associated Clifford algebra [V3]. This property implies that the standard parameter of the infinitesimal character of a Harish-Chandra module \(X\) and the infinitesimal character of its Dirac cohomology \(H_D(X)\) are conjugate under the Weyl group. Vogan’s conjecture was consequently verified in [HP1], and it has been extended to several other settings by many authors (see the remark at the end of Section 1).

Dirac cohomology of various classes of representations is intimately related to several classical subjects of representation theory like global characters and geometric construction of the discrete series (see [HP2]). The Dirac cohomology of several families of Harish-Chandra modules has been determined. These modules include finite-dimensional modules and irreducible unitary \(A_q(\lambda)\)-modules [HKP]. It was proved that if \(X\) is a unitary Harish-Chandra modules then

\[ H^\ast(\mathfrak{g}, K; X \otimes F^\ast) \cong \text{Hom}(H_D(F), H_D(X)) \]

for any irreducible finite-dimensional module \(F\). It is evident that unitary representations with nonzero Dirac cohomology are closely related to automorphic representations. In [HP2] we used Dirac cohomology to extend the Langlands formula on dimensions of automorphic forms [L1] to a slightly more general setting.
Another aspect of Dirac cohomology is its connection with $u$-cohomology. In particular, when $G$ is Hermitian symmetric and $u$ is unipotent radical of a parabolic subalgebra with Levi subgroup $K$, [HPR] showed that for a unitary representation its Dirac cohomology is isomorphic to its $u$-cohomology up to a twist of a one-dimensional character. In particular, Enright’s calculation of $u$-cohomology [E] gives the Dirac cohomology of the irreducible unitary highest weight modules. The Dirac cohomology of unitary lowest weight modules of scalar type is calculated more explicitly in [HPP]. The Euler characteristic of Dirac cohomology gives the $K$-character of the Harish-Chandra module. As an application, we generalized the classical theorem of Littlewood on branching rules in [HPZ] and some of the other classical branching rules in [H2].

Kostant extended Vogan’s conjecture to the setting of the cubic Dirac operator and proved a non-vanishing result on Dirac cohomology for highest weight modules in the most general setting [Ko3]. He also determined the Dirac cohomology of finite-dimensional modules in the equal rank case. The Dirac cohomology for all irreducible highest weight modules was determined in [HX] in terms of coefficients of Kazhdan-Lusztig polynomials. The general formula relating the Dirac cohomology and $u$-cohomology for irreducible highest weight modules is also proven in [HX].

The aim of this paper is twofold: First, we review some of the recent developments of Dirac cohomology, in particular its relationship with $(\mathfrak{g}, K)$-cohomology and $u$-cohomology. Second, we use Dirac cohomology as a tool to study a class of irreducible unitary representations, called elliptic representations. Harish-Chandra showed that the characters of irreducible or more generally admissible representations are locally integrable functions and smooth on the open dense subset of regular elements [HC1]. An elliptic representation has a global character that does not vanish on the elliptic elements in the set of regular elements. For real reductive Lie groups with compact Cartan subgroups, the irreducible tempered elliptic representations are showed to be representations with nonzero Dirac cohomology, and they are precisely the discrete series and some of the limits of discrete series. The characters of the irreducible tempered elliptic representations are associated in a natural way to the super tempered distributions defined by Harish-Chandra [HC4]. We conjecture that in general the elliptic unitary representations are precisely the unitary representations with nonzero Dirac cohomology.

We show that an irreducible admissible (not necessarily unitary) representation is elliptic if and only if its Dirac index is not zero. We prove that under the condition of regular infinitesimal character, the Dirac index is zero if and only if the Dirac cohomology is zero. We conjecture that this equivalence holds in general without the regularity condition. We also show that the Harish-Chandra modules of irreducible elliptic unitary representations with regular infinitesimal characters are $A_q(\lambda)$-modules for a real reductive algebraic group $G(\mathbb{R})$.

We also observe a connection between the Labesse’s calculation of the endoscopic transfer of pseudo-coefficients of discrete series and the calculation of the characters of Dirac index of discrete series. It offers a new point of view for understanding the endoscopic transfer in the framework of the Dirac cohomology and Dirac index. To classify the irreducible unitary representations with nonzero Dirac cohomology remains to be an open and interesting problem. We conjecture that at the end of the paper that any irreducible unitary representation which does not have nonzero Dirac cohomology is induced from those with nonzero Dirac cohomology.
1. Vogan’s conjecture on Dirac cohomology

For a real reductive group \( G \) with a Cartan involution \( \theta \), denote by \( \mathfrak{g}_0 \) its Lie algebra and assume that \( K = G^\theta \) is a maximal compact subgroup of \( G \). Let \( \mathfrak{g} = \mathfrak{t} \oplus \mathfrak{p} \) be the Cartan decomposition for the complexified Lie algebra of \( G \). Let \( B \) be a non-degenerate invariant symmetric bilinear form on \( \mathfrak{g} \), which restricts to the Killing form on the semisimple part \( [\mathfrak{g}, \mathfrak{g}] \) of \( \mathfrak{g} \).

Let \( U(\mathfrak{g}) \) be the universal enveloping algebra of \( \mathfrak{g} \) and \( C(\mathfrak{p}) \) the Clifford algebra of \( \mathfrak{p} \) with respect to \( B \). Then one can consider the following version of the Dirac operator:

\[
D = \sum_{i=1}^{n} Z_i \otimes Z_i \in U(\mathfrak{g}) \otimes C(\mathfrak{p});
\]

here \( Z_1, \ldots, Z_n \) is an orthonormal basis of \( \mathfrak{p} \) with respect to the symmetric bilinear form \( B \). It follows that \( D \) is independent of the choice of the orthonormal basis \( Z_1, \ldots, Z_n \) and it is invariant under the diagonal adjoint action of \( K \).

The Dirac operator \( D \) is a square root of Laplace operator associated to the symmetric bilinear form \( \Delta \). To explain this, we start with a Lie algebra map

\[
\alpha : \mathfrak{t} \rightarrow C(\mathfrak{p})
\]

which is defined by the adjoint map \( \text{ad} : \mathfrak{t} \rightarrow \mathfrak{so}(\mathfrak{p}) \) composed with the embedding of \( \mathfrak{so}(\mathfrak{p}) \) into \( C(\mathfrak{p}) \) using the identification \( \mathfrak{so}(\mathfrak{p}) \approx \wedge^2 \mathfrak{p} \). The explicit formula for \( \alpha \) is (see §2.3.3 [HP2])

\[
\alpha(X) = -\frac{1}{4} \sum_j [X, Z_j] Z_j.
\]

Using \( \alpha \) we can embed the Lie algebra \( \mathfrak{t} \) diagonally into \( U(\mathfrak{g}) \otimes C(\mathfrak{p}) \), by

\[
X \mapsto X_\Delta = X \otimes 1 + 1 \otimes \alpha(X).
\]

This embedding extends to \( U(\mathfrak{t}) \). We denote the image of \( \mathfrak{t} \) by \( \mathfrak{t}_\Delta \), and then the image of \( U(\mathfrak{t}) \) is the enveloping algebra \( U(\mathfrak{t}_\Delta) \) of \( \mathfrak{t}_\Delta \).

Let \( \Omega_\mathfrak{g} \) be the Casimir operator for \( \mathfrak{g} \), given by \( \Omega_\mathfrak{g} = \sum Z_j^2 - \sum W_j^2 \), where \( W_j \) is an orthonormal basis for \( \mathfrak{t}_0 \) with respect to the inner product \(-B\), where \( B \) is the Killing form. Let \( \Omega_\mathfrak{t} = -\sum W_j^2 \) be the Casimir operator for \( \mathfrak{t} \). The image of \( \Omega_\mathfrak{t} \) under \( \Delta \) is denoted by \( \Omega_{\mathfrak{t}_\Delta} \).

Then

\[
D^2 = -\Omega_\mathfrak{g} \otimes 1 + \Omega_{\mathfrak{t}_\Delta} + (||\rho_c||^2 - ||\rho||^2) 1 \otimes 1,
\]

where \( \rho \) and \( \rho_c \) are half sums of positive roots and compact positive roots respectively.

The Vogan conjecture says that every element \( z \otimes 1 \) of \( Z(\mathfrak{g}) \otimes 1 \subset U(\mathfrak{g}) \otimes C(\mathfrak{p}) \) can be written as

\[
\zeta(z) + Da + bD
\]

where \( \zeta(z) \) is in \( Z(\mathfrak{t}_\Delta) \), and \( a, b \in U(\mathfrak{g}) \otimes C(\mathfrak{p}) \).

A main result in [HP1] is introducing a differential \( d \) on the \( K \)-invariants in \( U(\mathfrak{g}) \otimes C(\mathfrak{p}) \) defined by a super bracket with \( D \), and determination of the cohomology of this differential complex. As a consequence, Pandžić and I proved the following theorem. In the following we denote by \( \mathfrak{h} \) a Cartan subalgebra of \( \mathfrak{g} \) containing a Cartan subalgebra \( \mathfrak{t} \) of \( \mathfrak{g} \) so that \( \mathfrak{t}^* \) is embedded into \( \mathfrak{h}^* \), and by \( W \) and \( W_K \) the Weyl groups of \( (\mathfrak{g}, \mathfrak{h}) \) and \( (\mathfrak{t}, \mathfrak{t}) \) respectively.
**Theorem 1.3 ([HP1]).** Let $\zeta : Z(\mathfrak{g}) \to Z(\mathfrak{t}) \cong Z(\mathfrak{k}_\Lambda)$ be the algebra homomorphism that is determined by the following commutative diagram:

$$
\begin{array}{ccc}
Z(\mathfrak{g}) & \xrightarrow{\zeta} & Z(\mathfrak{t}) \\
\downarrow & & \downarrow \\
P(\mathfrak{h}^*)^W & \xrightarrow{\text{Res}} & P(\mathfrak{t}^*)^W_K,
\end{array}
$$

where $P$ denotes the polynomial algebra, and vertical maps $\eta$ and $\eta_t$ are Harish-Chandra isomorphisms. Then for each $z \in Z(\mathfrak{g})$ one has

$$z \otimes 1 - \zeta(z) = Da + aD, \text{ for some } a \in U(\mathfrak{g}) \otimes C(\mathfrak{p}).$$

For any admissible $(\mathfrak{g}, K)$-module $X$, Vogan ([V3], [HP1]) introduced the notion of Dirac cohomology $H_D(X)$ of $X$. Consider the action of the Dirac operator $D$ on $X \otimes S$, with $S$ the spinor module for the Clifford algebra $C(\mathfrak{p})$. The Dirac cohomology is defined as follows:

$$H_D(X) : = \ker D / \text{Im } D \cap \ker D.$$

It follows from the identity (1.2) that $H_D(X)$ is a finite-dimensional module for the spin double cover $\hat{K}$ of $K$. In case $X$ is unitary, $H_D(X) = \ker D = \ker D^2$ since $D$ is self-adjoint with respect to a natural Hermitian inner product on $X \otimes S$. As a consequence of the above theorem, we have that $H_D(X)$, if nonzero, determines the infinitesimal character of $X$.

**Theorem 1.4 ([HP1]).** Let $X$ be an admissible $(\mathfrak{g}, K)$-module with standard infinitesimal character parameter $\Lambda \in \mathfrak{h}^*$. Suppose that $H_D(X)$ contains a representation of $\hat{K}$ with infinitesimal character $\lambda$. Then $\Lambda$ and $\lambda \in \mathfrak{t}^* \subseteq \mathfrak{h}^*$ are conjugate under $W$.

The above theorem is proved in [HP1] for a connected semisimple Lie group $G$. It is straightforward to extend the result to a possibly disconnected reductive Lie group in Harish-Chandra’s class [DH2].

Vogan’s conjecture implies a refinement of the celebrated Parthasarathy’s Dirac inequality, which is an extremely useful tool for the classification of irreducible unitary representations of reductive Lie groups.

**Theorem 1.5 (Extended Dirac Inequality [P], [HP1]).** Let $X$ be an irreducible unitary $(\mathfrak{g}, K)$-module with infinitesimal character $\Lambda$. Fix a representation of $K$ occurring in $X$ with a highest weight $\mu \in \mathfrak{t}^*$, and a positive root system $\Delta^+(\mathfrak{g})$ for $\mathfrak{t}$ in $\mathfrak{g}$. Here $\mathfrak{t}$ is a Cartan subalgebra of $\mathfrak{k}$. Write

$$\rho_c = \rho(\Delta^+(\mathfrak{t})), \quad \rho_n = \rho(\Delta^+(\mathfrak{p})).$$

Fix an element $w \in W_K$ such that $w(\mu - \rho_n)$ is dominant for $\Delta^+(\mathfrak{t})$. Then

$$\langle w(\mu - \rho_n) + \rho_c, w(\mu - \rho_n) + \rho_c \rangle \geq \langle \Lambda, \Lambda \rangle.$$

The equality holds if and only if some $W$ conjugate of $\Lambda$ is equal to $w(\mu - \rho_n) + \rho_c$.

**Remark 1.6.** Dirac cohomology becomes a useful tool in representation theory and related areas with Vogan’s conjecture being extended to various different settings, most notably Kostant’s generalization to the setting of the cubic Dirac operator, which will be discussed in detail in Section 4. We also mention the following extensions.
(i) Alekseev and Meinrenken have proved a version of Vogan’s conjecture in their study of ‘Lie theory and the Chern-Weil homomorphism’ [AM].
(ii) Kumar has proved a similar version of Vogan’s conjecture in ‘Induction functor in non-commutative equivariant cohomology and Dirac cohomology’ [Ku].
(iii) Pandžić and I have extended the Vogan’s conjecture to the symplectic Dirac operator in Lie superalgebras [HP3].
(iv) Kac, Möseneder Frajria and Papi have extended the Vogan’s conjecture to the affine cubic Dirac operator in the affine Lie algebras [KMP].
(v) Barbasch, Ciubotaru and Trapa have extended the Vogan’s conjecture to the setting of the graded affine Hecke algebras [BCT].
(vi) Ciubotaru and Trapa have proved a version of the Vogan’s conjecture for studying Weyl group representations in connection with the Springer theory [CT].

2. Dirac cohomology of Harish-Chandra modules

We now describe the Dirac cohomology of finite-dimensional modules and irreducible unitary representations with strongly regular infinitesimal characters, which are $A_q(\lambda)$-modules. These results are proved in [HKP].

Recall that $t_0$ is a Cartan subalgebra of $t_0$ and $h_0 \supseteq t_0$ is a fundamental Cartan subalgebra of $g_0$. Then $h_0 = t_0 \oplus a_0$ with $a_0$ the centralizer of $t_0$ in $p_0$. Passing to complexifications, we will view $t^*$ as a subspace of $h^*$ by extending the functionals to act as 0 on $a$.

We denote by $\Delta(g, h)$ (respectively $\Delta(g, t)$) the root system of $g$ with respect to $h$ (respectively $t$). The root system of $t$ with respect to $t$ will be denoted by $\Delta(t, t)$. Note that $\Delta(g, h)$ and $\Delta(t, t)$ are reduced, while $\Delta(g, t)$ is in general not reduced. The Weyl groups corresponding to the above root systems are denoted by

$$W = W(g, h), W(g, t), \text{ and } W_K = W(t, t).$$

Throughout this section we fix compatible choices of positive roots $\Delta^+(g, h)$, $\Delta^+(g, t)$ and $\Delta^+(t, t)$. As usual, we denote by $\rho$ the half sum of positive roots for $(g, h)$, by $\rho_c$ the half sum of positive roots for $(t, t)$, and by $\rho_n$ the difference $\rho - \rho_c$. Then $\rho, \rho_c, \rho_n \in t^*$.

We let $t_R^* = it_0^*$ and let $h_R^* = it_0^* + a_0^*$. Our fixed form $B$ on $g$ induces inner products on $t_R^*$ and $h_R^*$.

We denote by $C_\rho(h_R^*)$ (respectively $C_{\rho_c}(t_R^*$), $C_{\rho_n}(t_R^*$)) the closed Weyl chamber corresponding to $\Delta^+(g, h)$ (respectively $\Delta^+(g, t)$, $\Delta^+(t, t)$). Then $C_{\rho_n}(t_R^*)$ is contained in $C_{\rho}(h_R^*)$. Namely, if $\mu \in t_R^* \subset h_R^*$ has nonnegative inner product with every element of $\Delta^+(g, t)$, then for any $\alpha \in \Delta^+(g, h)$

$$\langle \mu, \alpha \rangle = \langle \mu, \alpha|_t \rangle + \langle \mu, \alpha|_a \rangle \geq 0,$$

because $\mu$ is orthogonal to $a^*$.

We define

$$W(g, t)^1 = \{w \in W(g, t) \mid w(C_{\rho_n}(t_R^*)) \subset C_{\rho}(t_R^*)\}.$$

It is clear that $W(t, t)$ is a subgroup of $W(g, t)$, and that the multiplication map induces a bijection from $W(t, t) \times W(g, t)^1$ onto $W(g, t)$. Thus the set $W(g, t)^1$ is in bijection with $W(t, t) \backslash W(g, t)$. Let $E_\mu$ denote the irreducible representation of $t$ with highest weight $\mu$. The following fact can be found in [P] (see also Chapter II Lemma 6.9 [BW], or Lemma 9.3.2 [W]):
Lemma 2.1. We have the following isomorphism for \( \mathfrak{k} \)-modules:

\[
S \cong \bigoplus_{w \in W(\mathfrak{g}, \mathfrak{t})^1} 2^{[n/2]} E_{w \rho - \rho_e},
\]

where \( l_0 = \text{dim} \mathfrak{a} \) and \( mE_{\mu} \) means a direct sum of \( m \) copies of \( E_{\mu} \).

Clearly, \( S \) is isomorphic to the Dirac cohomology \( H_D(\mathbb{C}) \) of the trivial representation \( \mathbb{C} \).

Let \( V_\lambda \) be the irreducible finite-dimensional \((\mathfrak{g}, K)\)-module with highest weight \( \lambda \in \mathfrak{h}^* \). If Dirac cohomology of \( V_\lambda \) is nonzero, then \( \lambda + \rho \in \mathfrak{t}^* \) and thus \( \lambda \in \mathfrak{t}^* \).

We have to identify highest weights \( \gamma \) of \( \tilde{K} \)-submodules of \( V_\lambda \otimes S \) which satisfy \( \|\gamma + \rho_e\| = \|\lambda + \rho\| \).

**Theorem 2.2** (Theorem 4.2 [HKP]). Let \( V_\lambda \) be an irreducible finite-dimensional \((\mathfrak{g}, K)\)-module with highest weight \( \lambda \). If \( \lambda \neq \theta \lambda \) then the Dirac cohomology of \( V_\lambda \) is zero. If \( \lambda = \theta \lambda \), then as a \( \mathfrak{k} \) module the Dirac cohomology of \( V_\lambda \) is:

\[
H_D(V_\lambda) = \bigoplus_{w \in W(\mathfrak{g}, \mathfrak{t})^1} 2^{[n/2]} E_{w(\lambda + \rho) - \rho_e}.
\]

We now describe the Dirac cohomology of a unitary \( A_q(\lambda) \)-module. Recall that a \( \theta \)-stable parabolic subalgebra

\[
\mathfrak{q} = \mathfrak{l} \oplus \mathfrak{u}
\]

of \( \mathfrak{g} \) is by definition the sum of nonnegative eigenspaces of \( \text{ad}(H) \), where \( H \) is some fixed element of \( \mathfrak{t}_0 \) (and consequently \( \text{ad}(H) \) is semisimple with real eigenvalues).

The Levi subalgebra \( \mathfrak{l} \) of \( \mathfrak{q} \) is the zero eigenspace of \( \text{ad}(H) \), while the nilradical \( \mathfrak{u} \) of \( \mathfrak{q} \) is the sum of positive eigenspaces of \( \text{ad}(H) \). Note that clearly \( \mathfrak{l} \supseteq \mathfrak{h} \).

Since \( \theta(H) = H \), \( \mathfrak{l}, \mathfrak{u} \) and \( \mathfrak{q} \) are all invariant under \( \theta \). Furthermore, \( \mathfrak{l} \) is real, i.e., \( \mathfrak{l} \) is the complexification of a subalgebra \( \mathfrak{l}_0 \) of \( \mathfrak{g}_0 \).

Let \( L \) denote the connected subgroup of \( G \) corresponding to \( \mathfrak{l}_0 \). We will assume that our fixed choice of positive roots \( \Delta^+(\mathfrak{g}, \mathfrak{h}) \) is compatible with \( \mathfrak{q} \) in the sense that the set of roots

\[
\Delta(\mathfrak{u}) = \{ \alpha \in \Delta(\mathfrak{g}, \mathfrak{h}) | \mathfrak{g}_\alpha \subset \mathfrak{u} \}
\]

is contained in \( \Delta^+(\mathfrak{g}, \mathfrak{h}) \). Note that \( \Delta(\mathfrak{l}, \mathfrak{h}) \subseteq \Delta(\mathfrak{g}, \mathfrak{h}) \), and we set \( \Delta^+(\mathfrak{l}, \mathfrak{h}) = \Delta(\mathfrak{l}, \mathfrak{h}) \cap \Delta^+(\mathfrak{g}, \mathfrak{h}) \).

Likewise, \( \Delta(\mathfrak{l}, \mathfrak{t}) \subseteq \Delta(\mathfrak{g}, \mathfrak{t}) \), and we set \( \Delta^+(\mathfrak{l}, \mathfrak{t}) = \Delta(\mathfrak{l}, \mathfrak{t}) \cap \Delta^+(\mathfrak{g}, \mathfrak{t}) \).

Let \( \lambda \in \mathfrak{t}^* \) be admissible. In other words, \( \lambda \) is the complexified differential of a unitary character of \( L \), satisfying the following positivity condition:

\[
\langle \alpha, \lambda |_\mathfrak{t} \rangle \geq 0, \quad \text{for all} \quad \alpha \in \Delta(\mathfrak{u}).
\]

Then \( \lambda \) is orthogonal to all roots of \( \mathfrak{l} \), so we can view \( \lambda \) as an element of \( \mathfrak{h}^* \).

Given \( \mathfrak{q} \) and \( \lambda \) as above, define

\[
\mu(\mathfrak{q}, \lambda) = \lambda |_\mathfrak{t} + 2\rho(\mathfrak{u} \cap \mathfrak{p}).
\]

Here \( \rho(\mathfrak{u} \cap \mathfrak{p}) = \rho(\Delta(\mathfrak{u} \cap \mathfrak{p})) \) is the half sum of all elements of \( \Delta(\mathfrak{u} \cap \mathfrak{p}) \), i.e., of all \( \mathfrak{t} \)-weights of \( \mathfrak{u} \cap \mathfrak{p} \), counted with multiplicity. We will use analogous notation for other \( \mathfrak{t} \)-stable subspaces of \( \mathfrak{g} \).

The following result of Vogan and Zuckerman characterizes the \( A_q(\lambda) \) modules we wish to consider.

**Theorem 2.3** ([VZ], [V2]). Let \( \mathfrak{q} \) be a \( \theta \)-stable parabolic subalgebra of \( \mathfrak{g} \) and let \( \lambda \in \mathfrak{h}^* \) be admissible as defined above. Then there is a unique unitary \((\mathfrak{g}, K)\)-module \( A_q(\lambda) \) with the following properties:
(i) The restriction of $A_q(\lambda)$ to $\mathfrak{k}$ contains the representation with highest weight $\mu(q, \lambda)$ defined as above;
(ii) $A_q(\lambda)$ has infinitesimal character $\lambda + \rho$;
(iii) If the representation of $\mathfrak{k}$ occurs in $A_q(\lambda)$, then its highest weight is of the form

$$\mu(q, \lambda) + \sum_{\beta \in \Delta(w^\vee \mathfrak{p})} n_\beta \beta$$

with $n_\beta$ non-negative integers. In particular, $\mu(q, \lambda)$ is the lowest $K$-type of $A_q(\lambda)$ (and its multiplicity is 1.)

We denote the Weyl groups for $\Delta(t, \mathfrak{t})$ and $\Delta(t, \mathfrak{h})$ by $W(t, \mathfrak{t})$ and $W(t, \mathfrak{h})$ respectively. Clearly, these are subgroups of $W(\mathfrak{g}, \mathfrak{t})$, respectively $W(\mathfrak{g}, \mathfrak{h})$.

**Theorem 2.5** (Theorem 5.1 [HKP]). If $\lambda \neq \theta \lambda$, then the Dirac cohomology of $A_q(\lambda)$ is zero. If $\lambda = \theta \lambda$, then the Dirac cohomology of the unitary irreducible $(\mathfrak{g}, K)$-module $A_q(\lambda)$ is:

$$H_D(A_q(\lambda)) = \text{ker } D = \bigoplus_{w \in W(t, \mathfrak{t})} 2^{[\lambda/2]} E_w(\lambda + \rho - \rho_\infty).$$

**Remark 2.6.** Dirac cohomology has been calculated for other families of representations (see [BP1], [BP2], [MP]).

3. **Dirac cohomology and $(\mathfrak{g}, K)$-cohomology**

Let $F$ be an irreducible finite-dimensional $G$-module with highest weight $\lambda$. By results of Vogan and Zuckerman [VZ], the irreducible unitary $(\mathfrak{g}, K)$-modules $X$ such that $H^*(\mathfrak{g}, K; X \otimes F^*) \neq 0$ are certain $A_q(\lambda)$ modules with the same infinitesimal character as $F$. Moreover, if $X$ is such an $A_q(\lambda)$ module, then

$$H^i(\mathfrak{g}, K; X \otimes F^*) = \text{Hom}_{L \cap K}(\bigwedge^{i-\dim(\mathfrak{a}^* \cap \mathfrak{p})}(I \cap \mathfrak{p}), \mathbb{C}),$$

where $L$ is the Levi subgroup of $G$ corresponding to $\mathfrak{q}$.

Recall that the above $(\mathfrak{g}, K)$-cohomology can be defined as the cohomology of the complex

$$\text{Hom}(\bigwedge(\mathfrak{p}), X \otimes F^*),$$

with differential

$$df(X_1 \wedge \cdots \wedge X_k) = \sum_i (-1)^i X_i \cdot f(X_1 \wedge \cdots \hat{X_i} \cdots \wedge X_k).$$

To show how this is related to our results, let us first show that $(\mathfrak{g}, K)$-cohomology is related to Dirac cohomology, as stated in the introduction. As we mentioned above, if $(\mathfrak{g}, K)$-cohomology is nonzero, then $X$ must have the same infinitesimal character as $F$. We assume this in the following.

Consider first the case when $\dim \mathfrak{p}$ is even. Then we can write $\mathfrak{p}$ as a direct sum of isotropic subspaces $U$ and $\bar{U} \cong U^*$. Then we have the spinor spaces $S = \bigwedge U$ and $S^* = \bigwedge \bar{U}$, and

$$S \otimes S^* \cong \bigwedge(U \oplus \bar{U}) \cong \bigwedge \mathfrak{p}.$$ 

It follows that we can identify the $(\mathfrak{g}, K)$-cohomology of $X \otimes F^*$ with

$$H^*(\text{Hom}(\bigwedge S^*, X \otimes F^*)) \cong H^*(\text{Hom}(\bigwedge^* F \otimes S, X \otimes S)).$$
If $X$ is unitary, Wallach has proved that the differential of this complex is 0 (see [W], Proposition 9.4.3, or [BW]). So taking cohomology can be omitted in the above formula. It follows that

$$H^*(\mathfrak{g}, K; X \otimes \mathbb{F}^*) = \text{Hom}_{\tilde{\mathbb{K}}}(H_D(\mathbb{F}), H_D(X)).$$

Namely, the eigenvalues of $D^2$ are non-positive on $F \otimes \mathbb{S}$ and nonnegative on $X \otimes \mathbb{S}$ (see [W], 9.4.6). Also, since the infinitesimal characters of $X$ and $F$ are the same, the eigenvalue of $D^2$ on isomorphic $\tilde{\mathbb{K}}$-types must have the same eigenvalue. It follows from the Dirac inequality that the same $\tilde{\mathbb{K}}$-type can appear in both $F \otimes \mathbb{S}$ and $X \otimes \mathbb{S}$ only if it is in the kernel of $D^2$ in each variable, and $\text{Ker} D^2$ is equal to the Dirac cohomology for these cases.

Now we consider the case when $\dim \mathfrak{p}$ is odd. In this case, $\bigwedge \mathfrak{p}$ is isomorphic to the direct sum of two copies of $\mathbb{S} \otimes \mathbb{S}^*$. Therefore, $H^*(\mathfrak{g}, K; X \otimes \mathbb{F}^*)$ is isomorphic to the direct sum of two copies of $\text{Hom}_{\tilde{\mathbb{K}}}(H_D(\mathbb{F}), H_D(X))$.

If we now use the formulas for $H_D(A_{\mathfrak{g}}(\lambda))$ and $H_D(\mathbb{F})$ from Section 2, we immediately get

$$\dim H^*(\mathfrak{g}, K; X \otimes \mathbb{F}^*) = 2^{l_0}|W(l, t)/W(l \cap t, t)|.$$

This agrees with the results of [VZ].

4. Dirac cohomology of highest weight modules

We describe Dirac cohomology of irreducible highest weight modules. As mentioned in section 2, Kostant extended Vogan’s conjecture to the setting of the cubic Dirac operator [Ko3]. Fix a Cartan subalgebra $\mathfrak{h}$ in a Borel subalgebra $\mathfrak{b}$. The category $\mathcal{O}$ introduced by Bernstein, Gelfand and Gelfand [BGG] is the category of all $\mathfrak{g}$-modules, which are finitely generated, locally $\mathfrak{b}$-finite and semisimple under $\mathfrak{h}$-action. Kostant proved a non-vanishing result on Dirac cohomology for highest weight modules in the most general setting. His theorem implies that for the equal rank case all highest weight modules have non-zero Dirac cohomology. He also determined the Dirac cohomology of finite-dimensional modules in this case. The connection of Dirac cohomology of $(\mathfrak{g}, K)$-modules and that of highest weight modules was studied in [DH1] by using the Jacquet functor. In [HX] we determined the Dirac cohomology of all irreducible highest weight modules in terms of Kazhdan-Lusztig polynomials.

We first recall the definition of Kostant’s cubic Dirac operator and the basic properties of the corresponding Dirac cohomology. Let $\mathfrak{g}$ be a semisimple complex Lie algebra with Killing form $B$. Let $\mathfrak{r} \subset \mathfrak{g}$ be a reductive Lie subalgebra such that $B|_{\mathfrak{r} \times \mathfrak{r}}$ is non-degenerate. Let $\mathfrak{g} = \mathfrak{r} \oplus \mathfrak{s}$ be the orthogonal decomposition with respect to $B$. Then the restriction $B|_{\mathfrak{s}}$ is also non-degenerate. Denote by $C(\mathfrak{s})$ the Clifford algebra of $\mathfrak{s}$ with

$$uu' + u'u = -2B(u, u')$$

for all $u, u' \in \mathfrak{s}$. The above choice of sign is the same as in [HP2], but different from the definition in [Ko1], as well as in [HPR]. The two different choices of signs have no essential difference since the two bilinear forms are equivalent over $\mathbb{C}$. Now
fix an orthonormal basis $Z_1, \ldots, Z_m$ of $\mathfrak{s}$. Kostant [Ko1] defines the cubic Dirac operator $D$ by

$$D = \sum_{i=1}^{m} Z_i \otimes Z_i + 1 \otimes v \in U(\mathfrak{g}) \otimes C(\mathfrak{s}).$$

Here $v \in C(\mathfrak{s})$ is the image of the fundamental 3-form $w \in \bigwedge^3(\mathfrak{s}^*)$,

$$w(X, Y, Z) = \frac{1}{2} B(X, [Y, Z]),$$

under the Chevalley map $\bigwedge^3(\mathfrak{s}^*) \to C(\mathfrak{s})$ and the identification of $\mathfrak{s}^*$ with $\mathfrak{s}$ by the Killing form $B$. Explicitly,

$$v = \frac{1}{2} \sum_{1 \leq i < j < k \leq m} B([Z_i, Z_j], Z_k)Z_iZ_jZ_k.$$

The cubic Dirac operator has a good square in analogue with the Dirac operator associated with the symmetric pair $(\mathfrak{g}, \mathfrak{k})$ in Section 2. We have a similar Lie algebra map

$$\alpha : \mathfrak{r} \to C(\mathfrak{s})$$

which is defined by the adjoint map $\text{ad} : \mathfrak{r} \to \mathfrak{so}(\mathfrak{s})$ composed with the embedding of $\mathfrak{so}(\mathfrak{s})$ into $C(\mathfrak{s})$ using the identification $\mathfrak{so}(\mathfrak{s}) \simeq \bigwedge^2 \mathfrak{s}$. The explicit formula for $\alpha$ is (see §2.3.3 [HP2])

$$\alpha(X) = -\frac{1}{4} \sum_j [X, Z_j]Z_j, \quad X \in \mathfrak{r}.$$ 

Using $\alpha$ we can embed the Lie algebra $\mathfrak{r}$ diagonally into $U(\mathfrak{g}) \otimes C(\mathfrak{s})$, by

$$X \mapsto X_\Delta = X \otimes 1 + 1 \otimes \alpha(X).$$

This embedding extends to $U(\mathfrak{r})$. We denote the image of $\mathfrak{r}$ by $\mathfrak{r}_\Delta$, and then the image of $U(\mathfrak{r})$ is the enveloping algebra $U(\mathfrak{r}_\Delta)$ of $\mathfrak{r}_\Delta$. Let $\Omega_\mathfrak{g}$ (resp. $\Omega_{\mathfrak{r}}$) be the Casimir elements for $\mathfrak{g}$ (resp. $\mathfrak{r}$). The image of $\Omega_{\mathfrak{r}}$ under $\Delta$ is denoted by $\Omega_{\mathfrak{r}_\Delta}$.

Let $\mathfrak{h}_\mathfrak{r}$ be a Cartan subalgebra of $\mathfrak{r}$ which is contained in $\mathfrak{h}$. It follows from Kostant’s calculation ([Ko1], Theorem 2.16) that

$$D^2 = -\Omega_\mathfrak{g} \otimes 1 + \Omega_{\mathfrak{r}_\Delta} - (\|\rho\|^2 - \|\rho_\mathfrak{r}\|^2) 1 \otimes 1,$$

where $\rho_\mathfrak{r}$ denote the half sum of positive roots for $(\mathfrak{r}, \mathfrak{h}_\mathfrak{r})$. We also note the sign difference with Kostant’s formula due to our choice of bilinear form for the definition of the Clifford algebra $C(\mathfrak{s})$.

We denote by $W$ the Weyl group associated to the root system $\Delta(\mathfrak{g}, \mathfrak{h})$ and $W_\mathfrak{r}$ the Weyl group associated to the root system $\Delta(\mathfrak{r}, \mathfrak{h}_\mathfrak{r})$. The following theorem due to Kostant is an extension of Vogan’s conjecture on the symmetric pair case which is proved in [HP1]. (See [Ko3] Theorems 4.1 and 4.2 or [HP2] Theorem 4.1.4).

**Theorem 4.3.** There is an algebra homomorphism $\zeta : Z(\mathfrak{g}) \to Z(\mathfrak{r}) \cong Z(\mathfrak{r}_\Delta)$ such that for any $z \in Z(\mathfrak{g})$ one has

$$z \otimes 1 - \zeta(z) = Da + aD \text{ for some } a \in U(\mathfrak{g}) \otimes C(\mathfrak{s}).$$
Moreover, $\zeta$ is determined by the following commutative diagram:

$$
\begin{array}{ccc}
Z(\mathfrak{g}) & \xrightarrow{\zeta} & Z(\mathfrak{r}) \\
\eta \downarrow & & \eta_r \downarrow \\
P(\mathfrak{h}^*)^W & \xrightarrow{\text{Res}} & P(\mathfrak{h}_r^*)^W
\end{array}
$$

Here the vertical maps $\eta$ and $\eta_r$ are Harish-Chandra isomorphisms.

**Definition 4.4.** Let $S$ be a spin module of $C(s)$. Consider the action of $D$ on $V \otimes S$ (4.5)

$$
D : V \otimes S \to V \otimes S
$$

with $\mathfrak{g}$ acting on $V$ and $C(s)$ on $S$. The Dirac cohomology of $V$ is defined to be the $\mathfrak{r}$-module

$$
H_D(V) := \text{Ker} D / \text{Ker} D \cap \text{Im} D.
$$

The following theorem is a consequence of the above theorem.

**Theorem 4.6 ([Ko3],[HP2]).** Let $V$ be a $\mathfrak{g}$-module with $Z(\mathfrak{g})$ infinitesimal character $\chi_\Lambda$. Suppose that an $\mathfrak{r}$-module $N$ is contained in the Dirac cohomology $H_D(V)$ and has $Z(\mathfrak{r})$ infinitesimal character $\chi_\lambda$. Then $\lambda = w\chi_\Lambda$ for some $w \in W$.

Suppose that $V_\lambda$ is a finite-dimensional representation with highest weight $\lambda \in \mathfrak{h}^*$. Kostant [K2] calculated the Dirac cohomology of $V_\lambda$ with respect to any equal rank quadratic subalgebra $\mathfrak{r}$ of $\mathfrak{g}$. Assume that $\mathfrak{h} \subset \mathfrak{r} \subset \mathfrak{g}$ is the Cartan subalgebra for both $\mathfrak{r}$ and $\mathfrak{g}$. Define $W(\mathfrak{g}, \mathfrak{h})^1$ to be the subset of the Weyl group $W(\mathfrak{g}, \mathfrak{h})$ by

$$
W(\mathfrak{g}, \mathfrak{h})^1 = \{ w \in W(\mathfrak{g}, \mathfrak{h}) \mid w(\rho) \text{ is } \Delta^+(\mathfrak{r}, \mathfrak{h}) \text{ - dominant} \}.
$$

This is the same as the subset of elements $w \in W(\mathfrak{g}, \mathfrak{h})$ that map the positive Weyl $\mathfrak{g}$-chamber into the positive $\mathfrak{r}$-chamber. There is a bijection $W(\mathfrak{r}, \mathfrak{h}) \times W(\mathfrak{g}, \mathfrak{h})^1 \to W(\mathfrak{g}, \mathfrak{h})$ given by $(w, \tau) \mapsto w\tau$. Kostant proved [K2] that

$$
H_D(V_\lambda) = \bigoplus_{w \in W(\mathfrak{g}, \mathfrak{h})^1} E_{w(\lambda + \rho) - \rho_r}.
$$

The above result of Kostant on Dirac cohomology of finite-dimensional modules has been extended to unequal rank case by Mehdi and Zierau [MZ]. We now show how to calculate the Dirac cohomology of a simple highest weight module of possibly infinite dimension. We need to recall the definition and some of the basic properties of the category $\mathcal{O}^q$ associated with an arbitrary parabolic subalgebra $\mathfrak{q}$ of $\mathfrak{g}$.

Recall that if $\mathfrak{g}$ is a complex semisimple Lie algebra with a Cartan subalgebra of $\mathfrak{h}$, we denote by $\Phi = \Delta(\mathfrak{g}, \mathfrak{h}) \subseteq \mathfrak{h}^*$ the root system of $(\mathfrak{g}, \mathfrak{h})$. For $\alpha \in \Phi$, let $\mathfrak{g}_\alpha$ be the root subspace of $\mathfrak{g}$ corresponding to $\alpha$. We fix a choice of the set of positive roots $\Phi^+$ and let $\Delta$ be the corresponding subset of simple roots in $\Phi^+$. Note that each subset $I \subset \Delta$ generates a root system $\Phi_I \subset \Phi$, with positive roots $\Phi^+_I = \Phi_I \cap \Phi^+$.

The parabolic subalgebras of $\mathfrak{g}$ up to conjugation are in one to one correspondence with the subsets in $\Delta$. We let

$$
l_I = \mathfrak{h} \oplus \sum_{\alpha \in \Phi_I^+} \mathfrak{g}_\alpha
$$
be the Levi subalgebra and
\[ u_I = \sum_{\alpha \in \Phi^+ \setminus \Phi^+_I} g_\alpha, \quad \bar{u}_I = \sum_{\alpha \in \Phi^+ \setminus \Phi^+_I} g_{-\alpha} \]
be the nilpotent radical and its dual space with respect to the Killing form \( B \). Then \( q_I = l_I \oplus u_I \) is the standard parabolic subalgebra associated with \( I \). We set
\[ \rho = \rho(g) = \frac{1}{2} \sum_{\alpha \in \Phi^+} \alpha, \quad \rho(l_I) = \frac{1}{2} \sum_{\alpha \in \Phi^+_I} \alpha, \quad \text{and} \quad \rho(u_I) = \frac{1}{2} \sum_{\alpha \in \Phi^+ \setminus \Phi^+_I} \alpha. \]
Then we have \( \rho(\bar{u}_I) = -\rho(u_I) \). We note that once \( I \) is fixed there is little use for other subsets of \( \Delta \). We will omit the subscript if a subalgebra is clearly associated with \( I \).

**Definition 4.7.** The category \( O^g \) is defined to be the full subcategory of \( U(g) \)-modules \( M \) that satisfy the following conditions:

(i) \( M \) is a finitely generated \( U(g) \)-module;
(ii) \( M \) is a direct sum of finite dimensional simple \( U(\mathfrak{l}) \)-modules;
(iii) \( M \) is locally finite as a \( U(g) \)-module.

We adopt notation of [Hum2]. Let \( \Lambda^+_I \) be the set of \( \Phi^+_I \)-dominant integral weights in \( \mathfrak{h}^* \), namely,
\[ \Lambda^+_I := \{ \lambda \in \mathfrak{h}^* \mid \langle \lambda, \alpha^\vee \rangle \in \mathbb{Z}^{\geq 0} \text{ for all } \alpha \in \Phi^+_I \}. \]
Here \( \langle , \rangle \) is the bilinear form on \( \mathfrak{h}^* \) (induced from the Killing form \( B \)) and \( \alpha^\vee = 2\alpha/\langle \alpha, \alpha \rangle \).

Let \( F(\lambda) \) be the finite-dimensional simple \( \mathfrak{l} \)-module with highest weight \( \lambda \). Then \( \lambda \in \Lambda^+_I \). We consider \( F(\lambda) \) as a \( g \)-module by letting \( g \) act trivially on it. Then the **parabolic Verma module** with highest weight \( \lambda \) is the induced module
\[ M_I(\lambda) = U(g) \otimes_{U(q)} F(\lambda). \]

The module \( M_I(\lambda) \) is a quotient of the ordinary Verma module \( M(\lambda) \). Using Theorem 1.2 in [Hum2], we can write unambiguously \( L(\lambda) \) for the unique simple quotient of \( M_I(\lambda) \) and \( M(\lambda) \). Furthermore, since every nonzero module in \( O^g \) has at least one nonzero vector of maximal weight, Proposition 9.3 in [Hum2] implies that every simple module in \( O^g \) is isomorphic to \( L(\lambda) \) for some \( \lambda \in \Lambda^+_I \) and is therefore determined uniquely up to isomorphism by its highest weight.

Recall that \( M_I(\lambda) \) and all its subquotients including \( L(\lambda) \) have the same infinitesimal character
\[ \chi_\lambda: Z(g) \to \mathbb{C}. \]
Here \( \chi_\lambda \) is an algebra homomorphism such that \( z \cdot v = \chi_\lambda(z)v \) for all \( z \in Z(g) \) and all \( v \in M(\lambda) \). We note that the standard parameter for the infinitesimal character \( \chi_\lambda \) is the Weyl group orbit of \( \lambda + \rho \in \mathfrak{h}^* \) due to the \( \rho \)-shift in the Harish-Chandra isomorphism \( Z(g) \to S(\mathfrak{h})^W \).

It follows from Corollary 1.2 in [Hum2] that every nonzero module \( M \in O^g \) has a finite filtration with nonzero quotients each of which is a highest weight module in \( O^g \). Then the action of \( Z(g) \) on \( M \) is finite. We set
\[ M^x := \{ v \in M \mid (z - \chi(z))^n v = 0 \text{ for some } n > 0 \text{ depending on } z \}. \]
Then \( z - \chi(z) \) acts locally nilpotently on \( M^x \) for all \( z \in Z(g) \) and \( M^x \) is a \( U(g) \)-submodule of \( M \). Let \( O^g_\chi \) denote the full subcategory of \( O^g \) whose objects are the
modules $M$ for which $M = M^\chi$. By the above discussion we have the following direct sum decomposition:

$$O^q = \bigoplus_\chi O^q_\chi,$$

where $\chi$ is of the form $\chi = \chi_\lambda$ for some $\lambda \in \mathfrak{h}^*$.

Let $W$ be the Weyl group associated to the root system $\Phi$. We define the dot action of $W$ on $\mathfrak{h}^*$ by $w \cdot \lambda = w(\lambda + \rho) - \rho$ for $\lambda \in \mathfrak{h}^*$. Then $\chi_\lambda = \chi_\mu$ if and only if $\lambda \in W \cdot \mu$ by the Harish-Chandra isomorphism $Z(\mathfrak{g}) \to S(\mathfrak{h})^W$. An element $\lambda \in \mathfrak{h}^*$ is called regular if the isotropy group of $\lambda$ in $W$ is trivial. In other words, $\lambda$ is regular if $\langle \lambda + \rho, \alpha^\vee \rangle \neq 0$ for all $\alpha \in \Phi$. A non-regular element in $\mathfrak{h}^*$ will be called singular.

Denote by $\Gamma$ the set of all $\mathbb{Z}^+\text{-linear}$ combinations of simple roots in $\Delta$. Let $X$ be the additive group of functions $f : \mathfrak{h}^* \to \mathbb{Z}$ whose support lies in a finite union of sets of the form $\lambda - \Gamma$ for $\lambda \in \mathfrak{h}^*$. Define the convolution product on $X$ by

$$(f \ast g)(\lambda) := \sum_{\mu + \nu = \lambda} f(\mu)g(\nu).$$

We regard $e(\lambda)$ as a function in $X$ which takes value 1 at $\lambda$ and value 0 at $\mu \neq \lambda$. Then $e(\lambda) \ast e(\mu) = e(\lambda + \mu)$. It is clear that $X$ is a commutative ring under convolution, with $e(0)$ as its multiplicative identity. Let

$$M_\lambda := \{ v \in M \mid h \cdot v = \lambda(h)v \text{ for all } h \in \mathfrak{h} \}.$$

We say that a weight module (semisimple $\mathfrak{h}$-module) $M$ has a character if

$$(4.8) \quad \text{ch } M := \sum_{\lambda \in \mathfrak{h}^*} \dim M_\lambda \ e(\lambda)$$

is contained in $X$. In this case, $\text{ch } M$ is called the formal character of $M$. Notice that all the modules in $O^q$ have characters, as do all the finite dimensional semisimple $\mathfrak{h}$-modules. In particular, if $M$ has a character and $\dim L < \infty$, then $M \otimes L$ has a character

$$\text{ch}(M \otimes L) = \text{ch } M \ast \text{ch } L.$$

In addition, for semisimple $\mathfrak{h}$-modules which have characters, their direct sums, submodules and quotients also have characters.

As a consequence of established Vogan’s conjecture for the cubic Dirac operators we have the following proposition (See also [DH1] Theorem 4.3).

**Proposition 4.9.** Suppose that $V$ is in $O^q_{\chi_\lambda}$. Then the Dirac cohomology $H_D(V)$ is a completely reducible finite-dimensional $\mathfrak{sl}$-module. Moreover, if the finite-dimensional $\mathfrak{sl}$-module $F(\lambda)$ is contained in $H_D(V)$, then $\lambda + \rho_1 = w(\mu + \rho)$ for some $w \in W$.

It is shown in [HX] that determination of $H_D(L(\lambda))$ is equivalent to determination of $\text{ch } L(\lambda)$ in terms of $\text{ch } M_I(\mu)$, which is solved by the Kazhdan-Lusztig algorithm. Namely, if

$$\text{ch } L(\lambda) = \sum (-1)^{s(\lambda, \mu)} m(\lambda, \mu) \text{ch } M_I(\mu),$$

then we have

$$H_D(L(\lambda)) = \bigoplus \dim (\lambda, \mu) F(\mu) \otimes \mathbb{C}_{\rho(w)}.$$

By using the known results on Kazhdan-Lusztig polynomials we can calculate explicitly the Dirac cohomology of all irreducible highest weight modules. We recall
the main result from [HX] here. Recall that $W = W(\mathfrak{g}, \mathfrak{h})$ is the Weyl group associated to the root system $\Phi$. We define

$$\Phi_{[\lambda]} := \{ \alpha \in \Phi \mid \langle \lambda, \alpha^\vee \rangle \in \mathbb{Z} \}.$$  

Then it is the root system of integral roots associated to $\lambda$. We also set

$$W_{[\lambda]} := \{ w \in W \mid w\lambda - \lambda \in \Lambda_r \},$$

where $\Lambda_r$ is the $\mathbb{Z}$-span of $\Phi$. Then $W_I$ is contained in $W_{[\lambda]}$. We also define

$$W^I = \{ w \in W_{[\lambda]} \mid w < s_{\alpha} w \text{ for all } \alpha \in I \},$$

where the ordering on $W$ is given by the Bruhat ordering. Denote by $\Delta_{[\lambda]}$ the simple system corresponding to the positive system $\Phi_{[\lambda]} \cap \Phi^+$ in $\Phi_{[\lambda]}$. Let $\mu$ be the unique anti-dominant weight in $W_{[\lambda]} \cdot \lambda$. The set of singular simple roots in $\Delta_{[\lambda]}$ is defined by

$$J = \{ \alpha \in \Delta_{[\lambda]} \mid \langle \mu + \rho, \alpha^\vee \rangle = 0 \}.$$  

Then $W_J = \{ w \in W \mid w(\mu + \rho) = \mu + \rho \} \subset W_{[\lambda]}$ is the isotropy group of $\mu$. Put

$$J^W = \{ w \in W^I \mid w < w_{s_\alpha} \text{ and } ws_\alpha \in W^I \text{ for all } \alpha \in J \}.$$  

Following Boe and Hunziker [BH] we define

$$J^P_{x,w}(q) = \sum_{i \geq 0} q^{\delta(w,d_{x,w})} \dim \text{Ext}^i_{\mathcal{O}_x}(L_{x,w}),$$

for all $x, w \in J^W$. It is shown to be a polynomial and is called the relative Kazhdan-Lusztig-Vogan polynomial.

**Theorem 4.10** (Theorem 6.16 [HX]). If $L(\lambda)$ is the simple highest weight module in $\mathcal{O}_\mu^+$ of weight $\lambda = w_I^* \cdot \mu$ with $w_I^*$ the longest element in $W_I$, then one has an $\mathfrak{t}$-module decomposition

$$H_D(L(\lambda)) \simeq \bigoplus_{x \in J^W} J^P_{x,w}(1) F(w_I^* \cdot \mu + \rho(u)).$$

**Remark 4.11.** Applying the action of Chevalley automorphism (see section 6) on Dirac cohomology, we can also determine Dirac cohomology of simple lowest weight modules.

## 5. Dirac cohomology and $u$-cohomology

In this section we review the results on Dirac cohomology and $\mathfrak{p}^+$-cohomology of unitary representations for Hermitian symmetric case. Then we discuss the simple highest weight modules in $\mathcal{O}_\mu^+$. We use quite different techniques for these two cases.

Suppose that $G$ is simple and Hermitian symmetric, with maximal compact subgroup $K$. In this case the $\mathfrak{t}$-module $\mathfrak{g}$ decomposes as $\mathfrak{g} = \mathfrak{t} \oplus \mathfrak{p} = \mathfrak{t} \oplus \mathfrak{p}^+ \oplus \mathfrak{p}^-$. We can choose the basis $b_i$ of $\mathfrak{p}$ in the following special way. Let $\Delta_+ = \{ \beta_1, \ldots, \beta_m \}$. For each $\beta_i$ we choose a root vector $e_i \in \mathfrak{p}^+$. Let $f_i \in \mathfrak{p}^-$ be the root vector for the root $-\beta_i$ such that $B(e_i, f_i) = 1$. Then for the basis $b_i$ of $\mathfrak{p}$ we choose $e_1, \ldots, e_m; f_1, \ldots, f_m$. The dual basis is then $f_1, \ldots, f_m; e_1, \ldots, e_m$. Thus the Dirac operator is

$$D = \sum_{i=1}^m e_i \otimes f_i + f_i \otimes e_i.$$
We also note that in this case $G$ is of equal rank and in particular $\mathfrak{p}$ is even-dimensional. Therefore, there is a unique irreducible $C(\mathfrak{p})$-module, the spin module $S$, which we choose to construct as $S = \bigwedge \mathfrak{p}^+$. It is also a module for the double cover $\tilde{K}$ of $K$. Let $X$ be a $(\mathfrak{g}, K)$-module. Since $\mathfrak{p}^+ \cong (\mathfrak{p}^-)^*$, we have
\begin{equation}
(5.1) \quad X \otimes S \cong X \otimes \bigwedge \mathfrak{p}^+ \cong \text{Hom}(\bigwedge \mathfrak{p}^-, X)
\end{equation}
as vector spaces. Note that the underlying vector space $\bigwedge \mathfrak{p}^+$ of the spin module $S$ carries the adjoint action of $\mathfrak{k}$, but the relevant $\mathfrak{t}$-action on $S$ is the spin action defined using the map (4.1). The spin action is equal to the adjoint action shifted by the character $-\rho_n$ of $\mathfrak{k}$ (see [Ko2, Proposition 3.6]). So as a $\mathfrak{t}$-module, $X \otimes S$ differs from $X \otimes \bigwedge \mathfrak{p}^+$ and $\text{Hom}(\bigwedge \mathfrak{p}^-, X)$ by a twist by the 1-dimensional $\mathfrak{t}$-module $\mathbb{C}^{-\rho_n}$.

Let $C = \sum_{i=1}^m f_i \otimes e_i$ and $C^- = \sum_{i=1}^m e_i \otimes f_i$; so $D = C + C^-$. Then, under the identifications (5.1), $C$ acts on $X \otimes S$ as the $\mathfrak{p}^-$-cohomology differential, while $C^-$ acts by 2 times the $\mathfrak{p}^+$-homology differential (see [HP2, Proposition 9.1.6] or [HPR]). Furthermore, $C$ and $C^-$ are adjoints of each other with respect to the Hermitian inner product on $X \otimes S$ mentioned above (see [HP2, Lemma 9.3.1] or [HPR]). It was proved that Dirac cohomology is isomorphic to $\mathfrak{p}^-$-cohomology up to a one-dimensional character by using a version of Hodge decomposition.

**Theorem 5.2** ([HPR], Theorem 7.11). Let $X$ be a unitary $(\mathfrak{g}, K)$-module. Then
\[ H_D(X) \cong H^*(\mathfrak{p}^-, X) \otimes \mathbb{C}^{-\rho(\mathfrak{p}^+)} \cong H_*(\mathfrak{p}^+, X) \otimes \mathbb{C}^{-\rho(\mathfrak{p}^+)} \]
as $\mathfrak{t}$-modules. Moreover, the above isomorphisms hold for a parabolic subalgebra $\mathfrak{q} = \mathfrak{l} + \mathfrak{u}$ as long as $\mathfrak{l} \subseteq \mathfrak{t}$ and $\mathfrak{u} \supseteq \mathfrak{p}^+$, that is
\[ H_D(X) \cong H^*(\mathfrak{u}^-, X) \otimes \mathbb{C}^{-\rho(\mathfrak{u})} \cong H_*(\mathfrak{u}, X) \otimes \mathbb{C}^{-\rho(\mathfrak{u})} \]
as $\mathfrak{l}$-modules.

Note that we may use $\bigwedge \mathfrak{p}^-$ instead of $\bigwedge \mathfrak{p}^+$ to construct the spin module $S$. Then we have
\begin{equation}
(5.3) \quad H_D(X) \cong H^*(\mathfrak{p}^+, X) \otimes \mathbb{C}_{\rho(\mathfrak{p}^+)} \cong H_*(\mathfrak{p}^-, X) \otimes \mathbb{C}_{\rho(\mathfrak{p}^+)}. \quad \text{(Namely, the Dirac operator is independent of the choice of positive roots. Thus, we also have)}
\end{equation}
\[ H^*(\mathfrak{p}^+, X) \otimes \mathbb{C}_{\rho(\mathfrak{p}^+)} \cong H^*(\mathfrak{p}^-, X) \otimes \mathbb{C}_{-\rho(\mathfrak{p}^+)}, \]
and
\[ H_*(\mathfrak{p}^+, X) \otimes \mathbb{C}_{-\rho(\mathfrak{p}^+)} \cong H_*(\mathfrak{p}^-, X) \otimes \mathbb{C}_{\rho(\mathfrak{p}^+)}. \]
It also follows that we know the Dirac cohomology of all irreducible unitary highest weight modules explicitly from Enright’s calculation of $\mathfrak{p}^+$-cohomology [E].

Now suppose $\mathfrak{q} = \mathfrak{l} + \mathfrak{u}$ is a parabolic subalgebra of $\mathfrak{g}$ as in section 4. We recall the result from [HX] on relation between Dirac cohomology with respect to $D(\mathfrak{g}, \mathfrak{l})$ and $\mathfrak{u}$-cohomology. We note that the spin action $\alpha(\mathfrak{l})$ on $S$ makes it a finite-dimensional $\mathfrak{t}$-module. If $V \in \mathcal{O}^\mathfrak{l}$, then $V \otimes S$ is a direct sum of finite-dimensional simple $\mathfrak{t}$-modules. Hence, any submodule, quotient or subquotient of $V \otimes S$ is also a direct sum of finite-dimensional simple $\mathfrak{t}$-modules.

Then the Casimir element $\Omega_\mathfrak{q}$ acts semisimply on $V$. We have shown that $H_D(V)$ is isomorphic to $\mathfrak{u}$-homology up to a character in [HX]. We recall here the main steps...
of the proof of this isomorphism ([HX] Theorem 5.12). The $u$-homology is $\mathbb{Z}_2$-graded as follows:

$$H_+(u, V) = \bigoplus_{i=0} \bigoplus_{i=0} H_{2i}(u, V)$$

Then there are injective $l$-module homomorphisms ([HX] Proposition 4.8):

$$H^\pm_D(V) \to H^\pm(U, V) \otimes \mathbb{C}_{\rho(u)}$$

Note that we also have ([HX] Proposition 5.2)

$$\text{ch} H^+_D(V) - \text{ch} H^-_D(V) = (\text{ch} H_+(u, V) - \text{ch} H_-(u, V)) \ast \text{ch} \mathbb{C}_{\rho(u)}.$$

Then the properties of KLV polynomials (see Proposition 6.14 [HX]) imply the following parity condition:

$$H^+_D(V) \to H^+(U, V) \otimes \mathbb{C}_{\rho(u)}.$$
the Clifford algebra $C(\mathfrak{p})$, denoted again by $\tau$. Let $X$ be a $(\mathfrak{g}, K)$-module. If we set $X^\tau = X$, then $(\pi \circ \tau, X^\tau)$ is also a $(\mathfrak{g}, K)$-module. Similarly, for any $K$-module $(\varphi, E)$, if we set $E^\tau = E$, then $(\varphi \circ \tau, E^\tau)$ is also a $K$-module. The same is true if we replace $K$ by $\tilde{K}$. The following property of Dirac cohomology was proved for any unitary $(\mathfrak{g}, K)$-module in [HPZ] (Prop. 5.1 of [HPZ]). The same proof extends straightforwardly to any $(\mathfrak{g}, K)$-module (Prop. 3.12 [H2]).

**Proposition 5.7.** Let $X$ be a $(\mathfrak{g}, K)$-module. Then

$$H_D(X^\tau) \cong (H_D(X))^\tau.$$ 

6. **Calculation of Dirac cohomology in stages**

In this section we review another technique of calculating Dirac cohomology, namely calculation in stages. This technique is needed to study Dirac cohomology of elliptic presentations. Recall that $\mathfrak{g}_0$ is the Lie algebra of $G$ with a compact subalgebra $\mathfrak{k}_0$, the Lie algebra of $K$. We assume $\mathfrak{k}_0$ is of equal rank with $\mathfrak{g}_0$. Then a Cartan subalgebra $\mathfrak{a}$ of $\mathfrak{k}_0$ is in $\mathfrak{g}_0$. We denote the image $\Delta(\mathfrak{g}_0)$ remains unchanged. We denote the image $\Delta(\mathfrak{g}_0)$ remains unchanged. We denote the image $\Delta(\mathfrak{g}_0)$ remains unchanged.

**Identifying $U(\mathfrak{g}) \otimes C(\mathfrak{s})$ with $U(\mathfrak{g}) \otimes C(\mathfrak{p}) \otimes C(\mathfrak{s}_1)$**, where $\otimes$ denotes the $\mathbb{Z}_2$-graded tensor product, we can write

$$D(\mathfrak{g}, \mathfrak{t}) = \sum_{i=1}^n Y_i \otimes Y_i + \frac{1}{2} \sum_{i<j<k} B([Y_i, Y_j], Y_k) \otimes Y_i Y_j Y_k.$$ (6.1)

Let $\mathfrak{g} = \mathfrak{t} \oplus \mathfrak{p}$ be the complexification of the Cartan decomposition and let $\mathfrak{s}_1$ be the orthogonal complement of $\mathfrak{t}$ in $\mathfrak{p}$. As in [HP2, §9.3] we write the Dirac operator $D(\mathfrak{g}, \mathfrak{t})$ in terms of $D(\mathfrak{g}, \mathfrak{t})$ and $D(\mathfrak{t}, \mathfrak{t})$ by using an orthonormal basis for $\mathfrak{s}$ formed by orthonormal bases $Z_i$ for $\mathfrak{p}$ and $Z'_j$ for $\mathfrak{s}_1$.

**Identifying $U(\mathfrak{g}) \otimes C(\mathfrak{t})$ as the subalgebra $U(\mathfrak{g}) \otimes C(\mathfrak{t}) \otimes 1$ of $U(\mathfrak{g}) \otimes C(\mathfrak{t}) \otimes C(\mathfrak{s}_1)$**, the first summand in (6.1) gives $D(\mathfrak{g}, \mathfrak{t})$ and the remaining three summands in (6.1) come from the cubic Dirac operator corresponding to $\mathfrak{t} \subset \mathfrak{t}$. However, this is an element of the algebra $U(\mathfrak{t}) \otimes C(\mathfrak{s}_1)$, and this algebra has to be embedded into $U(\mathfrak{g}) \otimes C(\mathfrak{p}) \otimes C(\mathfrak{s}_1)$ diagonally, by

$$\Delta : U(\mathfrak{t}) \otimes C(\mathfrak{s}_1) \cong U(\mathfrak{t}_\Delta) \otimes C(\mathfrak{s}_1) \subset U(\mathfrak{g}) \otimes C(\mathfrak{p}) \otimes C(\mathfrak{s}_1).$$

Here $U(\mathfrak{t}_\Delta)$ is embedded into $U(\mathfrak{g}) \otimes C(\mathfrak{p})$ by a diagonal embedding while the factor $C(\mathfrak{s}_1)$ remains unchanged. We denote the image $\Delta(D(\mathfrak{t}, \mathfrak{t}))$ by $D(\mathfrak{t}, \mathfrak{t})$.

**Theorem 6.2** (Theorem 3.2 [HPR], Theorem 9.4.1 [HP2]). With notation as above, $D(\mathfrak{g}, \mathfrak{t})$ decomposes as $D(\mathfrak{g}, \mathfrak{t}) + D(\mathfrak{t}, \mathfrak{t})$. Moreover, the summands $D(\mathfrak{g}, \mathfrak{t})$ and $D(\mathfrak{t}, \mathfrak{t})$ anti-commute.
The above decomposition holds in a slightly more general setting as it is stated and proved in Theorem 3.2 of [HPR] and in even more general setting in Theorem 6.4.1 [HP2]. The anti-commuting property given here can be applied to calculate Dirac cohomology in stages. For convenience, we define the cohomology of any linear operator \( A \) on a vector space \( V \) to be the vector space

\[
H(A) = \ker A / (\text{Im} A \cap \ker A).
\]

We also denote by \( H(A; V) \) for the cohomology when we emphasize the space \( V \). We call the operator \( A \) semisimple if \( V \) is the (algebraic) direct sum of the eigenspaces of \( A \).

Let \( S \) be the simple module of the Clifford algebra \( C(g) \). If \( X \) is a \((g, K)\)-module, then \( D(g, t) \) acts on \( X \otimes S \). We denote by \( H_D(g, t; X) \) the cohomology of \( X \otimes S \) with respect to \( D(g, t) \); analogous notation will be used for other Dirac operators. We note that \( H_D(g, t; X) \) is in fact the cohomology of the operator \( D(g, t) \) on \( X \otimes S \), namely

\[
H_D(g, t; X) = H(D(g, t); X \otimes S).
\]

**Lemma 6.3** (Lemma 5.3 [HPR]). Let \( A \) and \( B \) be anti-commuting linear operators on an arbitrary vector space \( V \). Assume that \( A^2 \) and \( B \) are semisimple. Then \( H(A + B) \) is the cohomology (i.e., the kernel) of \( B \) acting on \( H(A) \).

The above theorem and lemma imply the following theorem for calculating Dirac cohomology in stages.

**Theorem 6.4** (Theorem 6.1 [HPR], Theorem 9.4.4 [HP2]). Let \( X \) be an admissible \((g, K)\)-module with \( \Omega_g \) acting semisimply. Then we have

\[
H_D(g, t; X) = H_D(t, t; H_D(g, t; X)).
\]

Also, we can reverse the order to have

\[
H_D(g, t; X) = H(D(g, t)|_{H_D(t, t; X)}).
\]

The above theorem is proved in [HPR] and in [HP2] for a slightly more general case when \( t \) is any subalgebra of \( \mathfrak{k} \). In the following we use the theorem to calculate the Dirac cohomology of discrete series as an example.

**Example 6.5.** Suppose that \( G \) is a connected semisimple Lie group with finite center. Let \( X_\lambda \) be the Harish-Chandra module of a discrete series representation with Harish-Chandra parameter \( \lambda \). Then the Dirac cohomology of \( X_\lambda \) with respect to \( D(g, t) \) consists of a single \( \tilde{K} \)-module \( E_\mu \), whose highest weight is \( \mu = \lambda - \rho_c \). Here \( \rho_c \) is half the sum of roots of \( t \) positive on \( \lambda \). We note that the highest weight \( \mu \) is obtained from the highest weight \( \lambda - \rho_c + \rho_n \) of the lowest \( K \)-type of \( X_\lambda \) by adding \( -\rho_n \) (the lowest weight of the spin module \( S \) for \( C(p) \)). The fact \( H_D(X_\lambda) = E_\mu \) follows from Theorem 2.5, since \( X_\lambda = A_\theta(\lambda - \rho) \) for a \( \theta \)-stable Borel subalgebra \( \mathfrak{b} \). This fact can also be proved directly without using Theorem 2.5 as follows. By [HP1, Prop.5.4], the \( \tilde{K} \)-type \( \mu \) is clearly contained in the Dirac cohomology. Since \( X_\lambda \) has a unique lowest \( K \)-type, and since \( -\rho_n \) is the lowest weight of the spin module \( S \) for \( C(p) \), with multiplicity one, it follows that any other \( \tilde{K} \)-type has strictly larger highest weight, and thus cannot contribute to the Dirac cohomology. We now apply Kostant’s formula from section 4 to calculate the
Dirac cohomology of $E_\mu$ with respect to $D(\mathfrak{t}, t)$:

$$H_D(\mathfrak{t}, t; E_\mu) = \ker D(\mathfrak{t}, t) = \bigoplus_{w \in W_K} \mathbb{C}_{w(\mu + \rho_c)}.$$ 

It follows from $\mu + \rho_c = \lambda$ that

$$H_D(\mathfrak{g}, t; X_\lambda) = \bigoplus_{w \in W_K} \mathbb{C}_{w\lambda}.$$ 

**Remark 6.6.** In [CH] a modified Dirac operator is defined as follows

$$\tilde{D}(\mathfrak{g}, t) = D(\mathfrak{g}, t) + iD_\Delta(\mathfrak{t}, t).$$ 

This is used for the geometric quantization and construction of models of discrete series. Let $X$ be a unitary $(\mathfrak{g}, K)$-module. There is a hermitian form on the spin module $S$ [HP2, §2.3.9]. Together with the $\mathfrak{g}_0$ invariant hermitian form on $V$, it induces a hermitian form on $X \otimes S$. It follows from the unitarity of $V$ and the property of the hermitian form on $S$ [HP2, Prop. 2.3.10] that $D(\mathfrak{g}, t)$ is symmetric and $D_\Delta(\mathfrak{t}, t)$ is skew-symmetric with respect to this form. Then the modified Dirac operator $\tilde{D}(\mathfrak{g}, t)$ is symmetric. Note that both $D(\mathfrak{g}, t)^2$ and $-D_\Delta(\mathfrak{t}, t)^2$ are positive definite, so $\tilde{D}(\mathfrak{g}, t)^2 = D(\mathfrak{g}, t)^2 - D_\Delta(\mathfrak{t}, t)^2$ is also positive definite. Then $\tilde{D}(\mathfrak{g}, t)$ is an elliptic differential operator. This is the very purpose of introducing this modified version of Dirac operator. We also note that $iD_\Delta(\mathfrak{t}, t)$ and $D_\Delta(\mathfrak{t}, t)$ define the same Dirac cohomology.

### 7. Elliptic representations and Dirac Index

Suppose that $G(F)$ is a real or p-adic group. That is, $G$ is a connected reductive algebraic group over a local field $F$ of characteristic 0. Arthur [A1] studied a subset $\Pi_{\text{temp,ell}}(G(F))$ of tempered representations of $G(F)$, namely elliptic tempered representations. The set of tempered representations $\Pi_{\text{temp}}(G(F))$ includes the discrete series and in general the irreducible constituents of representations induced from discrete series. These are exactly the representations which occur in the Plancherel formula for $G(F)$. In Harish-Chandra’s theory, the character of an infinite dimensional representation $\pi$ is defined as a distribution

$$\Theta(\pi, f) = \text{tr} \left( \int_{G(F)} f(x)\pi(x)dx \right), \quad f \in C^\infty_c(G(F)),$$

which can be identified with a function on $G(F)$. In other words,

$$\Theta(\pi, f) = \int_{G(F)} f(x)\Theta(\pi, x)dx, \quad f \in C_c^\infty(G(F)),$$

where $\Theta(\pi, x)$ is a locally integrable function on $G(F)$ that is smooth on the open dense subset $G_{\text{reg}}(F)$ of regular elements. A representation $\pi$ is called elliptic if $\Theta(\pi, x)$ does not vanish on the set of elliptic elements in $G_{\text{reg}}(F)$.

The central objects in [A1] are the normalized characters $\Phi(\pi, \gamma)$, namely the functions defined by

$$\Phi(\pi, \gamma) = |D(\gamma)|^{1/2} \Theta(\pi, \gamma), \quad \pi \in \Pi_{\text{temp,ell}}(G(F)), \quad \gamma \in G_{\text{reg}}(F),$$

where

$$D(\gamma) = \det(1 - \text{Ad}(\gamma))_{\mathfrak{g}/\mathfrak{g}_0},$$

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is the Weyl discriminant. We will show how this normalized character \( \Phi(\pi, \gamma) \) is related to the Dirac cohomology of the Harish-Chandra module of \( \pi \) for a real group \( G(\mathbb{R}) \).

From now on we only deal with the real group \( G(\mathbb{R}) \) and we also assume that \( G(\mathbb{R}) \) is connected. Note that \( G(\mathbb{R}) \) has elliptic elements if and only if it is of equal rank with \( K(\mathbb{R}) \). We also assume this equal rank condition. Induced representations from proper parabolic subgroups are not elliptic. Consider the quotient of Grothendieck group of the category of finite length Harish-Chandra modules by the subspace generated by induced representations. Let us call this quotient group the elliptic Grothendieck group. Arthur [A1] found an orthonormal basis of this elliptic Grothendieck group in terms of elliptic tempered (possibly virtual) characters. Those characters are the super tempered distributions defined by Harish-Chandra [HC4].

The tempered elliptic representations for the real group \( G(\mathbb{R}) \) are the representations with non-zero Dirac index, which are studied in [Lab1]. Labesse shows that the tempered elliptic representations are precisely the fundamental series. We deal with the general elliptic representations and show that any elliptic representation has nonzero Dirac index.

Recall that if \( X \) is an admissible \((\mathfrak{g}, K)\)-module with \( K\)-type decomposition \( X = \bigoplus_{\lambda} m_{\lambda} E_{\lambda} \), then the \( K\)-character of \( X \) is the formal series

\[
\text{ch} \ X = \sum_{\lambda} m_{\lambda} \text{ch} E_{\lambda},
\]

where \( \text{ch} E_{\lambda} \) is the character of the irreducible \( K \)-module \( E_{\lambda} \). Moreover, this definition makes sense also for virtual \((\mathfrak{g}, K)\)-modules \( X \); in that case, the integers \( m_{\lambda} \) can be negative. In the following we will often deal with representations of the spin double cover \( \tilde{K} \) of \( K \), and not \( K \), but we will still denote the corresponding character by \( \text{ch} \).

Since \( p \) is even-dimensional, the spin module \( S \) decomposes as \( S^+ \oplus S^- \), with the \( \mathfrak{k} \)-submodules \( S^\pm \) being the even respectively odd part of \( S \cong \Lambda^p \). Let \( X = X_\pi \) be the Harish-Chandra module of an irreducible admissible representation \( \pi \) of \( G(\mathbb{R}) \). We consider the following difference of \( \tilde{K} \)-modules, the spinor index of \( X \):

\[ I(X) = X \otimes S^+ - X \otimes S^- . \]

It is a virtual \( \tilde{K} \)-module, an integer combination of finitely many \( \tilde{K} \)-modules. The Dirac operator \( D \) induces the action of the following \( \tilde{K} \)-equivariant operators

\[ D^\pm : X \otimes S^\pm \to X \otimes S^\mp . \]

Since \( D^2 \) acts by a scalar on each \( \tilde{K} \)-type, most of \( \tilde{K} \)-modules in \( X \otimes S^+ \) are the same as in \( X \otimes S^- \).

**Lemma 7.1.** The spinor index is equal to the Euler characteristic of Dirac cohomology, i.e.,

\[ I(X) = H^+_D(X) - H^-_D(X) . \]

**Proof.** As we mentioned above, \( X \otimes S \) is decomposed into a direct sum of eigenspaces for \( D^2 \):

\[ X \otimes S = \sum_{\lambda} (X \otimes S)_{\lambda} = (X \otimes S^+)_{\lambda} \oplus (X \otimes S^-)_{\lambda} . \]
It follows that
\[ X \otimes S^+ - X \otimes S^- = (X \otimes S^+)_0 - (X \otimes S^-)_0. \]
Since \( D \) is a differential on \( \text{Ker} \ D^2 \) and the corresponding cohomology is exactly the Dirac cohomology \( H_D(X) \), the lemma follows from the Euler-Poincaré principle. □

The spinor index \( I(X) \) is also called the Dirac index of \( X \), since it is equal to the index of \( D^+ \), in the sense of index for a Fredholm operator. It is also identical to the Euler characteristic of Dirac cohomology \( H_D(X) \). We denote by \( \theta(X) \) the character of \( I(X) \). In terms of characters, this reads
\[ \theta(X) = \text{ch} I(X) = \text{ch} \, (\text{ch} S^+ - \text{ch} S^-) = \text{ch} \, H^+_D(X) - \text{ch} \, H^-_D(X). \]

If we view \( \text{ch} E_\lambda \) as functions on \( K \), then the series
\[ \text{ch} X = \sum_\lambda m_\lambda \text{ch} E_\lambda \]
converges to a distribution on \( K \) and it coincides with \( \Theta(X) \) on \( K \cap G_{\text{reg}} \), according to Harish-Chandra [HC1]. Then the absolute value \( |\theta_\pi(\gamma)| \) coincides with the absolute value \( |\Phi(\pi, \gamma)| = |D(\gamma)|^{\frac{1}{2}}|\Theta(\pi, \gamma)| \) on regular elliptic elements. We write it as a lemma.

**Lemma 7.2.** For any regular elliptic elements \( \gamma \), we have
\[ |\theta_\pi(\gamma)| = |\Phi(\pi, \gamma)|. \]

**Theorem 7.3.** Let \( \pi \) be an irreducible admissible representation of \( G(\mathbb{R}) \) with Harish-Chandra module \( X_\pi \). Then \( \pi \) is elliptic if and only if the Dirac index \( I(X_\pi) \neq 0 \).

**Proof.** The theorem follows immediately from the lemma. □

8. Orthogonality relations and supertempered distributions

We keep the notation from the previous section. We assume that \( G(\mathbb{R}) \) is cuspidal, in the sense that the (regular) elliptic set \( G_{\text{ell}} \) is nonempty. Let \( A_G(\mathbb{R}) \) be the split part of the center of \( G(\mathbb{R}) \). The cuspidal condition on \( G(\mathbb{R}) \) amounts to the condition that \( G(\mathbb{R}) \) has a maximal torus \( T_{\text{ell}}(\mathbb{R}) \) that is compact modulo \( A_G(\mathbb{R}) \).

Suppose \( \Theta_\pi \) and \( \Theta_{\pi'} \) are two irreducible characters with the same central character on \( A_G(\mathbb{R}) \). We form the elliptic inner product by the following formula
\[ (\Theta_\pi, \Theta_{\pi'})_{\text{ell}} = |W(G(\mathbb{R}), T_{\text{ell}}(\mathbb{R}))|^{-1} \int_{T_{\text{ell}}(\mathbb{R})/A_G(\mathbb{R})} |D(\gamma)| \Theta_\pi(\gamma) \Theta_{\pi'}(\gamma) d\gamma, \]
where \( W(G(\mathbb{R}), T_{\text{ell}}(\mathbb{R})) \) is the Weyl group of \((G(\mathbb{R}), T_{\text{ell}}(\mathbb{R}))\), and \( d\gamma \) is the normalized Haar measure on the compact abelian group \( T_{\text{ell}}(\mathbb{R})/A_G(\mathbb{R}) \). The inner product (bilinear over \( \mathbb{R} \)) is extended linearly to any two characters of admissible representations. Then we have the following theorem.

**Theorem 8.1.** We have
\[ (\Theta_\pi, \Theta_{\pi'})_{\text{ell}} = (\theta_\pi, \theta_{\pi'}), \]
where the pairing on the right hand side is the standard pairing of virtual characters on \( K \) or \( \widetilde{K} \) defined by
\[ (\theta_\pi, \theta_{\pi'}) = \int_K \theta_\pi \cdot \overline{\theta_{\pi'}} dk. \]
Proof. It follows from Lemma 7.2 that
\[(\Theta_\pi, \Theta_\pi)_{\text{ell}} = (\theta_\pi, \theta_\pi)\]
for irreducible characters and therefore for all admissible characters, in particular for any sum of two irreducible characters. Then the theorem follows from a standard argument of polarization for inner product. □

In [DH2], the set of equivalence classes of irreducible tempered representations \(\pi\) with nonzero Dirac cohomology is determined. It turns out the irreducible tempered representations with nonzero Dirac cohomology are exactly those with nonzero Dirac index. Therefore, this set of representations coincides with the set of irreducible tempered elliptic representations, and it consists of discrete series and some of limits of discrete series. Moreover, any elliptic tempered representation is isomorphic to an \(A_\theta(\lambda)\)-module for some \(\theta\)-stable Borel subalgebra \(b\) and its Dirac cohomology is a single \(\bar{K}\)-module. As a consequence, we have the following corollary.

**Corollary 8.2.** Elliptic tempered characters satisfy orthogonality relations. That is for any two tempered irreducible elliptic representations \(\pi\) and \(\pi'\),
\[(\Theta_\pi, \Theta_{\pi'})_{\text{ell}} = \pm 1 \text{ or } 0.\]

It is also clear that the characters of discrete series form an orthonormal set on the (regular) elliptic set \(G_{\text{ell}}(R)\) in \(G(R)\). Harish-Chandra defined the space of supertempered distributions in [HC4] (the last paper of his Collected Papers Volume IV). If \(D\) is a distribution on \(G\), we denote by \(D_e\) the restriction of \(D\) on \(G_{\text{ell}}\) (\(D_e = 0\) by convention when \(G_{\text{ell}}\) is empty).

**Theorem 8.3** (Theorem 5 [HC4]). Let \(\Theta\) be a \(Z(g)\)-finite tempered distribution. Suppose that \(\Theta\) is supertempered. Then \(\Theta_e = 0\) implies that \(\Theta = 0\).

**Theorem 8.4** (Theorem 9 [HC4]). For \(\mu \in \hat{T}(R)\), there is a unique supertempered distribution \(\Theta_\mu\), such that
\[\Delta'(\gamma)\Theta_\mu(\gamma) = \sum_{w \in W_K} \epsilon(w)e^{\mu},\]
where \(\Delta'\) is the Weyl denominator (see Section 27 [HC2]).

**Theorem 8.5** (Theorem 14 [HC4]). If \(\pi_1, \pi_2\) are irreducible tempered elliptic representations, then either \((\Theta_{\pi_1}, \Theta_{\pi_2})_{\text{ell}} = 0\) or \(\Phi_{\pi_1} = \pm \Phi_{\pi_2}\).

As mentioned earlier in the previous section, Arthur found an orthonormal basis for the space of supertempered distributions consisting of the virtual characters of tempered representations. It is clear from the orthogonality relation (Corollary 8.2) and the above theorems of Harish-Chandra (Theorems 8.3-8.5) that the Arthur’s basis consists of characters of discrete series and appropriate linear combinations of the characters of limits of discrete series with the same Dirac index up to a sign. We summarize it as the following corollary.

**Corollary 8.6.** The characters of discrete series and the appropriate linear combinations of the characters of limits of discrete series with the same Dirac index (up to a sign) form an orthonormal basis of the space of supertempered distributions.
9. Elliptic representations with regular infinitesimal characters

In this section we still assume that $G(\mathbb{R}) \supset K(\mathbb{R})$ is of equal rank and $T(\mathbb{R})$ is a maximal torus for $K(\mathbb{R})$. We consider the case that $X$ is a simple Harish-Chandra module with regular infinitesimal character.

**Theorem 9.1.** Suppose that an irreducible Harish-Chandra module $X$ has regular infinitesimal character. Then we have

$$\text{Hom}_{\tilde{K}}(H^+_D(X), H^-_D(X)) = 0.$$ 

In particular, it follows that the Dirac index $I(X) = 0$ (equivalently, its character $\theta_X = 0$) if and only if the Dirac cohomology $H_D(X) = 0$.

**Proof.** Let $\mathfrak{b} = \mathfrak{t} + \mathfrak{u}$ be a $\theta$-stable Borel subalgebra. Then $\mathfrak{t}$ is contained in $\mathfrak{k}$. We need to consider the Dirac cohomology $H_D(\mathfrak{g}, \mathfrak{t}; X)$ of $X$ with respect to the cubic Dirac operator $D(\mathfrak{g}, \mathfrak{t})$. By calculation in stages (see Section 6), we have

$$H_D(\mathfrak{g}, \mathfrak{t}; X) = H_D(\mathfrak{t}, \mathfrak{t}; H_D(X)).$$

It follows that

$$H^+_D(\mathfrak{g}, \mathfrak{t}; X) \supseteq H^+_D(\mathfrak{t}, \mathfrak{t}; H^+_D(X))$$

and

$$H^-_D(\mathfrak{g}, \mathfrak{t}; X) \supseteq H^+_D(\mathfrak{t}, \mathfrak{t}; H^-_D(X)).$$

Clearly, the following condition

$$\text{Hom}_{\tau}(H^+_D(\mathfrak{g}, \mathfrak{t}; X), H^-_D(\mathfrak{g}, \mathfrak{t}; X)) = 0$$

implies (9.2). It remains to prove (9.3). We note that it follows from a theorem of Vogan (Theorem 7.2 [V1]) that

$$\text{Hom}_{\tau}(H^+_D(u, X), H^-_D(u, X)) = 0,$$

for any irreducible Harish-Chandra module $X$ with regular infinitesimal character. Then (9.3) follows from the above parity condition on $u$-cohomology if we have the following embeddings

$$H^+_D(\mathfrak{g}, \mathfrak{t}; X) \subseteq H^+_D(u, X) \otimes Z_{\rho}(\tilde{\mathfrak{u}}).$$

Indeed, this can be done with slightly more deliberation by using the same argument as in Proposition 4.8 [HX]. There are only finitely many $\tilde{K}$-types in $X \otimes S$ that can possibly contribute to the Dirac cohomology with respect to $D(\mathfrak{g}, \mathfrak{t})$, and therefore also finitely many to the Dirac cohomology with respect to

$$D = D(\mathfrak{g}, \mathfrak{t}) = D(\mathfrak{g}, \mathfrak{t}) + D_\Delta(\mathfrak{t}, \mathfrak{t})$$

by calculation in stages. Recall in the proof of Proposition 4.8 [HX] one has $D = d + 2\partial$ and $D^2 = 2\partial d + 2d\partial$ and the decomposition

$$X \otimes S = \text{Ker} D^2 \oplus \text{Im} D^2$$

where $\partial$ and $d$ are the differentials for $u$-homology and $u$-cohomology. We note that an irreducible $(\mathfrak{g}, \mathfrak{t})$-module may not be $\mathfrak{t}$-admissible, and $\text{Ker} D^2$ can be infinite-dimensional. To make the argument in Proposition 4.8 [HX] still works in this case, we consider

$$\tilde{D}(\mathfrak{g}, \mathfrak{t}) = D(\mathfrak{g}, \mathfrak{t}) + iD_\Delta(\mathfrak{t}, \mathfrak{t})$$

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as in Remark 6.6. It follows from $[\tilde{D}, D^2] = 0$ that $\text{Ker} D^2$ is stable under the action of $\tilde{D}$. We restrict $\tilde{D}$ to $\text{Ker} D^2$ and have the following decomposition

$$\text{Ker} D^2 = (\ker \tilde{D}^2 \cap \text{Ker} D^2) \oplus (\text{Im} \tilde{D}^2 \cap \text{Ker} D^2).$$

It is clear that $U = \text{Ker} \tilde{D}^2 \cap \text{Ker} D^2 = \text{Ker} D(g, \mathfrak{t})^2 \cap \text{Ker} D(t, \mathfrak{t})^2$ is finite-dimensional. We set

$$V = U \oplus \partial U \oplus dU \oplus + \partial dU.$$ 

Then $V$ is finite-dimensional. It follows from $\partial d = -d \partial$ on $\text{Ker} D^2$ that $V$ is stable under the action of $\partial$ and $d$, as well as $D = d + 2\partial$. If we replace $\text{Ker} D^2$ by $V$ in the final step of the proof of Proposition 4.8 [HX], then the same argument works here. Precisely, we restrict all operators $D$, $\partial$ and $d$ to $V$. We have $D^2 = 0$ and thus $\text{Im} D \subset \text{Ker} D$. Note that $\text{Ker} D^2 / \text{Ker} D \simeq \text{Im} D$. Denote by $\partial'$ the map of $\partial$ restrict to $V$. Then $\text{Ker} D^2 / \text{Ker} \partial' \simeq \text{Im} \partial'$. Recall that $\text{ch}$ denotes the formal $t$-characters. We obtain

$$\text{ch Im} D + \text{ch Ker} D = \text{ch Im} \partial' + \text{ch Ker} \partial'.$$

Moreover, one has $\text{Im} \partial' \subseteq \text{Ker} \partial'$ since $\partial'^2 = 0$. Therefore,

$$\text{ch Ker} \partial' / \text{Im} \partial' - \text{ch Ker} D / \text{Im} D = 2(\text{ch Ker} \partial' - \text{ch Ker} D).$$

Then all the modules here are direct sum of finite dimensional $t$-modules. It follows from Lemma 4.6 of [HX] and (9.5) there is an injective $t$-module homomorphism

$$\text{Ker} D / \text{Im} D \rightarrow \text{Ker} \partial' / \text{Im} \partial'.$$

Note that the right side can be naturally embedded into $\text{Ker} \partial / \text{Im} \partial$. This gives the embedding of Dirac cohomology into $u$-homology and we get the embedding into $u$-cohomology similarly. \hfill \Box

We note that in the above proof we conclude that the embeddings (9.4) are actually isomorphisms

$$H^\pm_D(g, \mathfrak{t}; X) \cong H^\pm_u(u, X) \otimes Z_{\rho(\bar{u})}.$$ 

Remark 9.6. We remark that the parity condition $\text{Hom}_T(H^+(u, X), H^-(u, X)) = 0$ may fail if infinitesimal character of $X$ is not regular. I learned the following example from the lecture by Wilfred Schmid at the Vogan Conference at MIT (in May of 2014) and the lecture note by Dragan Milicic at a recent conference (in summer of 2014) at Jacobs University in Bremen. Let $G$ be $SU(2, 1)$ and $\mathfrak{b}$ a $\theta$-stable parabolic which contains neither $\mathfrak{p}^+$ nor $\mathfrak{p}^-$. Let $X$ be the degenerate limit of discrete series with infinitesimal character 0. Then $X$ fails to satisfy the parity condition.

We note that in the above example, if $X$ is the limit of discrete series of $G = SU(2, 1)$ with infinitesimal character 0, then the Dirac cohomology of $X$ is zero and the embeddings

$$H^\pm_D(g, \mathfrak{t}; X) \subseteq H^\pm_u(u, X) \otimes Z_{\rho(\bar{u})}$$

are not isomorphisms. However, the parity condition for Dirac cohomology is still true. All examples we know indicate that this is perhaps true in general.

Conjecture 9.7. Let $X$ be an irreducible $(\mathfrak{g}, K)$-module. Then

$$\text{Hom}_K(H^+_D(X), H^-_D(X)) = 0.$$
As we have already mentioned in the previous section, the above conjecture is true if $X$ is a tempered Harish-Chandra module.

It is a natural question to classify irreducible unitary elliptic representations of $G(\mathbb{R})$ and to classify irreducible unitary representations with nonzero Dirac cohomology. We can solve this problem under the condition that the infinitesimal character is regular. We first recall a theorem of Salamanca-Riba.

**Theorem 9.8** (Salamanca-Riba [SR]). Let $G$ be a connected reductive Lie group. If $X$ is an irreducible unitary $(\mathfrak{g}, K)$-module with strongly regular infinitesimal character, then $X \cong A_{\mathfrak{q}}(\lambda)$ for certain $\theta$-stable parabolic $\mathfrak{q}$ and $\lambda$.

**Theorem 9.9.** Suppose $\pi$ is an irreducible unitary elliptic representation of $G(\mathbb{R})$ with a regular infinitesimal character. Then $X_\pi \cong A_{\mathfrak{q}}(\lambda)$.

*Proof.* Since $X_\pi$ has nonzero Dirac cohomology, its infinitesimal character is analytically integral for $K(\mathbb{R})$ as well as for a compact real form of $G(\mathbb{C})$, and hence it is integral in $\Delta(\mathfrak{g}, \mathfrak{t})$. Then the regular infinitesimal character of $X_\pi$ is strongly regular, and $X_\pi \cong A_{\mathfrak{q}}(\lambda)$ follows from Salamanca-Riba’s theorem. □

Suppose that $\pi$ is an irreducible unitary representation. It is a natural question to ask to what extent the Dirac cohomology $H_D(X_\pi)$ determines the representation $\pi$ itself. For representations with singular infinitesimal characters, it is easy to give examples of two non-isomorphic limits of discrete series $\pi_1$ and $\pi_2$ such that $H_D(X_{\pi_1}) = H_D(X_{\pi_2})$. The above theorem says under the condition of regular infinitesimal character, the question is reduced to $A_{\mathfrak{q}}(\lambda)$-modules. Now the question is: if two unitary $A_{\mathfrak{q}}(\lambda)$-modules have isomorphic Dirac cohomology, would these two modules be isomorphic? The answer is not always affirmative. For example, when $G$ is $SO(2n, 1)$, there are many non-isomorphic $A_{\mathfrak{q}}(\lambda)$-modules that have the isomorphic Dirac cohomology.

### 10. Pseudo-coefficients of discrete series

Many important questions on non-commutative Lie groups boil down to questions in invariant harmonic analysis: the study of distributions on groups that are invariant under conjugacy. The fundamental objects of invariant harmonic analysis are orbital integrals as the geometric objects and characters of representations as the spectral objects. The correspondence of these two kinds of objects reflects the core idea of harmonic analysis.

The orbital integrals are parameterized by the set of regular semisimple conjugacy classes in $G$. Recall for such a $\gamma$, the orbital integral is defined as

$$O_\gamma(f) = \int_{G/G_\gamma} f(x^{-1} \gamma x) dx, \quad f \in C_c^\infty(G),$$

and the stable orbital integral is defined as

$$SO_\gamma(f) = \sum_{\gamma' \in S(\gamma)} O_{\gamma'}(f),$$

where $S(\gamma)$ is the stable conjugacy class.

Let $\mathbb{1}$ denote the trivial representation of $G$ and $\theta_{\mathbb{1}}$ the character of the Dirac index of the trivial representation. That is

$$\theta_{\mathbb{1}} = \operatorname{ch} H_D^+(\mathbb{1}) - \operatorname{ch} H_D^-(\mathbb{1}) = \operatorname{ch} S^+ - \operatorname{ch} S^-.$$
We note that 
\[ \theta_1 = (-1)^q (\chi_S^+ - \chi_S^-) = (-1)^q \theta_1, \]
where \( q = \frac{1}{2} \dim G(\mathbb{R}) / K(\mathbb{R}) \).

Recall that \( \theta_\pi \) denotes the character of the Dirac index of \( \pi \). If \( \pi \) is the discrete series representation with Dirac cohomology \( E_\mu \), then
\[ \theta_\pi = (-1)^q \chi_\mu. \]
Labesse showed that there exists a function \( f_\pi \) so that for any admissible representations \( \pi' \),
\[ \text{tr} \pi'(f_\pi) = \int_K \Theta_{\pi'}(k) \overline{\theta_1} \cdot \theta_\pi dk. \]

Let \( \pi' \) be a discrete series representation with Dirac cohomology \( E_{\mu'} \). It follows that
\[ \text{tr} \pi'(f_\pi) = (\chi_{\mu'}, \chi_\mu) = \dim \text{Hom}_K(E_{\mu'}, E_\mu). \]

Then we have the following theorem.

**Theorem 10.1** (Labesse [Lab1]). The function \( f_\pi \) is a pseudo-coefficient for the discrete series \( \pi \), i.e., for any irreducible tempered representation \( \pi' \),
\[ \text{tr} \pi'(f_\pi) = \begin{cases} 1 & \text{if } \pi \cong \pi' \\ 0 & \text{otherwise}. \end{cases} \]

**Remark 10.2.** The orbital integrals of the pseudo-coefficient \( f_\pi \) are easily computed for \( \gamma \) regular semisimple:
\[ \mathcal{O}_\gamma(f_\pi) = \begin{cases} \Theta_\pi(\gamma^{-1}) & \text{if } \gamma \text{ is elliptic} \\ 0 & \text{if } \gamma \text{ is not elliptic}. \end{cases} \]

11. Endoscopic transfer

In the Langlands program a cruder form of conjugacy called stable conjugacy plays an important role. The study of Langlands functoriality often leads to correspondence that is defined only up to stable conjugacy. The endoscopy theory investigates the difference between ordinary and stable conjugacy and how to understand ordinary conjugacy inside stable conjugacy. The aim is to recover orbital integrals and characters from endoscopy groups.

Recall that \( G \) is a connected reductive algebraic group defined over \( \mathbb{R} \). Denote by \( G^\vee \) the complex dual group and \( LG \) the \( L \)-group which is the semidirect product of \( G^\vee \) and the Weil group \( W_\mathbb{R} \). A Langlands parameter is an \( L \)-homomorphism
\[ \phi: W_\mathbb{R} \to LG. \]

Two Langlands parameters are equivalent if they are conjugated by an inner automorphism of \( G^\vee \). An equivalence class of Langlands parameters is associated to a packet of irreducible admissible representations of \( G(\mathbb{R}) \) [L2]. The \( L \)-packets of Langlands parameters with bounded image consist of tempered representations. Temperedness is respected by \( L \)-packets, but not unitarity.

The discrete series \( L \)-packets are in bijection with the irreducible finite-dimensional representations of the same infinitesimal character. One can construct all tempered irreducible representations using unitary parabolic induction and by taking subrepresentations. Two tempered irreducible representations \( \pi \) and \( \pi' \) are in the same
L-packet if up to equivalence, \( \pi \) and \( \pi' \) are subrepresentations of parabolically induced representations from discrete series \( \sigma \) and \( \sigma' \) in the same L-packets.

A stable distribution is any element of the closure of the space spanned by all distributions of the form \( \sum_{\pi \in \Pi} \Theta_{\pi} \) for \( \Pi \) any tempered L-packet. Such distributions can be transferred to inner forms of \( G \) via the matching of the stable orbital integrals, while unstable distributions cannot be.

For non-tempered case we need the Arthur packets, which are parameterized by mappings

\[
\psi: W \times SL(2, \mathbb{C}) \to LG
\]

for which the projection onto the dual group \( G^\vee \) of \( \psi(W) \) is relatively compact. Adams and Johnson [AJ] have constructed some \( A \)-packets consisting of unitary \( A_q(\lambda) \)-modules. The determination of Dirac cohomology of \( A_q(\lambda) \)-modules may have some bearing on answering Arthur’s questions (See Section 9 of [A2]) on Arthur packet \( \Pi_\psi \).

In the setting of endoscopy embedding

\[
\xi: LH \to LG,
\]

one has a map from Langlands parameters for \( H \) to that for \( G \). The Langlands functoriality principle asserts that there should be a map from the Grothendieck group of virtual representations of \( H(\mathbb{R}) \) to that of \( G(\mathbb{R}) \), compatible with L-packets.

The endoscopy theory for real groups is established by Shelstad in a series of papers [Sh1-5]. Recasting Shelstad’s work explicitly in terms of the general transfer factors defined later by Langlands and Shelstad [LS] is the first of the ‘Problems for Real Groups’ proposed by Arthur [A3].

We follow Labesse §6.7 [Lab2] for the description of the endoscopic transfer. Let \( T \) be an elliptic torus of \( G \) and \( \kappa \) an endoscopic character. Let \( B_G \) be a Borel subgroup of \( G \) containing \( T \). Set

\[
\Delta_B(\gamma) = \prod_{\alpha>0} (1 - \gamma^{-\alpha}),
\]

where the product is over the positive roots defined by \( B \). There is only one choice of a Borel subgroup \( B_H \) in \( H \), containing \( T_H \) and compatible with the isomorphism \( j: T_H \cong T \).

Assume \( \eta: LH \to LG \) is an admissible embedding (see §6.6 [Lab2]). Then for any pseudo-coefficient \( f \) of a discrete series of \( G \), there is a linear combination \( f^H \) of pseudo-coefficients of discrete series of \( H \) such that for \( \gamma = j(\gamma_H) \) regular in \( T(\mathbb{R}) \) (see Prop. 6.7.1 [Lab2]), one has

\[
SO_{\gamma_H}(f^H) = \Delta(\gamma_H, \gamma_G)O_{\gamma_G}(f),
\]

where the transfer factor

\[
\Delta(\gamma_H, \gamma_G) = (-1)^{q(G)-q(H)} \chi_{G,H}(\gamma) \Delta_B(\gamma^{-1}) \Delta_B(\gamma_H^{-1})^{-1}.
\]

The transfer \( f \mapsto f^H \) of the pseudo-coefficients of discrete series can be extended to all of functions in \( C_c^\infty(G(\mathbb{R})) \) with extension of the correspondence \( \gamma \mapsto \gamma_H \) (see Theorem 6.7.2 [Lab2]) so that the above identity (11.1) holds for all \( f \).

The geometric transfer \( f \mapsto f^H \) is dual of a transfer for representations. Given any admissible irreducible representation \( \sigma \) of \( H(\mathbb{R}) \), it corresponds to an element \( \sigma_G \) in the Grothendieck group of virtual representations of \( G(\mathbb{R}) \) as follows. Let \( \phi \) be the langlands parameter for \( \sigma \). Let \( \Sigma \) be the L-packet of the admissible irreducible
representations of $H(\mathbb{R})$ corresponding to a Langlands parameter $\phi$ and $\Pi$ the L-packet of representations of $G(\mathbb{R})$ corresponding to $\eta \circ \phi$ (that can be an empty set if this parameter is not relevant for $G$).

**Theorem 11.3** (Theorem 4.1.1 [Sh5], Theorem 6.7.3 [Lab2]). There is a function $\epsilon: \Pi \to \pm 1$

such that, if we consider $\sigma_G$ in the Grothendieck group defined by

$$\sigma_G = \sum_{\pi \in \Pi} \epsilon(\pi) \pi$$

then the transfer $\sigma \mapsto \sigma_G$ satisfies

$$\text{tr} \sigma_G(f) = \text{tr} \sigma(f^H).$$

In the following we suppose that $G(\mathbb{R})$ has a compact maximal torus $T(\mathbb{R})$, and $\rho - \rho_H$ the difference of half sum of positive roots for $G$ and $H$ respectively, defines a character of $T(\mathbb{R})$. In §7.2 of [Lab2] Labesse shows that the canonical transfer factor:

$$\Delta(\gamma^{-1}) = (-1)^{q(G) - q(H)} \frac{\sum_{w \in W(g)} \epsilon(w) \gamma^{w \rho}}{\sum_{w \in W(h)} \epsilon(w) \gamma^{w \rho_H}}$$

is well-defined function. Then the transfer factor can be expressed more explicitly if $H$ is a subgroup of $G$. Suppose that $g = h \oplus s$ is the orthogonal decomposition with respect to a non-degenerate invariant bilinear form so that the form is non-degenerate on $s$. We write $S(g/h)$ for the spin-module of the Clifford algebra $C(s)$. Then

$$\Delta(\gamma^{-1}) = \text{ch} S^+(g/h) - \text{ch} S^-(g/h).$$

In other words, $\Delta(\gamma^{-1})$ is equal to the character of the Dirac index of the trivial representation with respect to the Dirac operator $D(g, h)$. If $\Theta_\pi$ is the character of a finite-dimensional representation $\pi$, then

$$\Delta(\gamma^{-1}) \Theta_\pi$$

is the character of the Dirac index of $\pi$. This character can be calculated easily from the Kostant formula in Section 5. We denote by $F_\lambda$ the irreducible finite-dimensional representation of $G(\mathbb{R})$ with highest weight $\lambda$ and by $E_\mu$ irreducible finite-dimensional representation of $H(\mathbb{R})$ with highest weight $\mu$. Then

$$\Delta(\gamma^{-1}) F_\lambda = \sum_{w \in W^1} \Theta_{E_{w(\lambda + \rho) - \rho_H}}.$$

Here $W^1$ is a subset of elements in $W$ corresponding to $W_1 \backslash W$ as before.

In view of Remark 10.2, the right hand side of (11.1) is the Dirac index of a combination of discrete series of $G(\mathbb{R})$ and the left hand side is a linear combination of discrete series of $H(\mathbb{R})$. It follows from the Harish-Chandra formula for the character of discrete series and super tempered distributions (see Theorem 8.4) that the Dirac index of a discrete series $\pi_\lambda$ with Harish-Chandra parameter $\lambda$ is

$$\Delta(\gamma^{-1}) \Theta_{\pi_\lambda} = \sum_{w \in W^1} \Theta_{E_{w(\lambda + \rho) - \rho_H}}.$$
Here $\tau_{w\lambda}$ denotes the discrete series for $H(\mathbb{R})$ with Harish-Chandra parameter $w\lambda$, and $W^1_K$ is a subset of elements in $W_K$ corresponding to $W_{H\cap K}\backslash W_K$. This calculation is compatible with Labesse’s calculation of the transfer of the pseudo-coefficients of discrete series in §7.2 [Lab2].

The above interpretation of the transfer factors in certain cases of endoscopy as the difference of the even and odd parts of the spin modules is clearly useful for calculation. It is also reminiscent of the transfer factors for the metaplectic groups, which is given by the formal difference of the metaplectic representations, in the work by Jeff Adams [A], David Renard [R1] and Wen-Wei Li [Li]. It is worthwhile investigating the Dirac cohomology and Dirac index with respect to the symplectic Dirac operators in connection with the Weyl algebras and the oscillator representations of metaplectic groups.

12. HYPOELLIPTIC REPRESENTATIONS

In this final section we assume that $G(\mathbb{R}) \supset K(\mathbb{R})$ is not necessarily of equal rank. If $G(\mathbb{R})$ is indeed not of equal rank, then there is no elliptic representation for $G(\mathbb{R})$. Still, we know $G(\mathbb{R})$ has representations with nonzero Dirac cohomology. The natural generalization of the concept of elliptic representation for unequal rank $G(\mathbb{R})$ is the following.

**Definition 12.1.** A representation is called **hypoelliptic** if its global character is not identically zero on the set of regular elements in a fundamental Cartan subgroup.

By definition, an elliptic representation is hypoelliptic.

It is a natural question to ask the relationship between hypoelliptic representations and representations with nonzero Dirac cohomology.

**Conjecture 12.2.** Suppose that $\pi$ is an irreducible admissible representation. Then $H^D(X_\pi) \neq 0$ implies that $\pi$ is hypoelliptic.

Recall that if $G(\mathbb{R})$ is of equal rank with $K(\mathbb{R})$ then an irreducible tempered representation is either elliptic or induced from a tempered elliptic representation by parabolic induction.

**Conjecture 12.3.** A unitary representation is either having nonzero Dirac cohomology or induced from a unitary representation with nonzero Dirac cohomology by parabolic induction.

The above conjecture holds for $GL(n, \mathbb{R})$, $GL(n, \mathbb{C})$, $GL(n, \mathbb{H})$ as well as $\widetilde{GL}(n, \mathbb{R})$ (the two-fold covering group of $GL(n, \mathbb{R})$).

A recent preprint of Adams-van Leeuwen-Trapa-Vogan [ALTV] gives an algorithm to determine the irreducible unitary representations. The above conjecture means that one may regard unitary representations with nonzero Dirac cohomology as ‘cuspidal’ ones.

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