Gas Exchange and Growth of Two Transplanted, Field-grown Tree Species in an Arid Climate

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Abstract. Gas exchange and growth of transplanted and nontransplanted, field-grown Norway maple (Acer platanoides L. ‘Schwedleri’) and littleleaf linden (Tilia cordata Mill. ‘Greenspire’) trees were investigated in an arid climate. In the spring of 1995, three trees of each species were moved with a tree spade to a new location within a field nursery and three nontransplanted trees were selected as controls. Predawn leaf water potential, morning-to-evening stomatal conductance and leaf temperature, leaf-to-air vapor pressure difference, midday stomatal conductance and photosynthetic rate, and growth data were collected over a 2-year period. After transplanting, weekly predawn leaf water potential indicated that transplanted trees were under greater water stress than were nontransplanted (control) trees. However, predawn leaf water potential of maple trees recovered to control levels 18 weeks after transplanting, while that of transplanted linden trees remained more negative than that of controls. In 1995, stomatal conductance and photosynthetic rates were lower throughout the day for transplanted trees. In 1996, gas exchange rates of transplanted maple trees recovered to near control levels while rates for transplanted linden trees did not. Sensitivity of stomata to leaf-to-air vapor pressure difference varied with species and with transplant treatment. Each year transplanted trees of both species had less apical growth than did control trees. Although gas exchange and apical growth of transplanted trees was reduced following transplanting, recovery of gas exchange to control rates differed with species.

Field-grown (FG) trees lose a significant portion of their root systems (Gilman, 1988; Gilman and Beeson, 1996) and are subjected to great stress when transplanted. Water deficits often develop (Harris and Gilman, 1993; Kozlowski and Davies, 1975) because of the natural balance between root absorptive area and transpiring leaf area is disrupted (Kramer and Kozlowski, 1979), and roots do not grow rapidly enough to absorb sufficient water to compensate for transpirational losses (Kozlowski, 1982). Because of increased water deficits, gas exchange and growth are restricted in transplanted, FG trees (Kjelgren and Cleveland, 1994; Watson et al., 1986), and the trees may be more susceptible to diseases and insects (Scheoneweis, 1981; Watson and Sydnor, 1987). Until a balance between root absorptive area and transpiring leaf area is restored, growth will be reduced (Gilman, 1992; Watson et al., 1986).

Research on establishment of transplanted, FG trees has been conducted primarily in regions of high humidity. Evaporative demand [(leaf-to-air vapor pressure difference (LVPD)] on transplanted trees is often much lower in these regions than in arid regions, such as the Intermountain West of the United States. During periods of high LVPD, stomatal conductance in many plant species is reduced, thus reducing transpiration (Monteith, 1995; Mott and Parkhurst, 1991). High LVPD can therefore result in partial stomatal closure (Farquhar, 1978; Turner et al., 1984), which can reduce photosynthetic rates (Hinckley et al., 1978) and growth. Transplanted trees are considered to be established when leaf water potential (ΨL), gas exchange, and growth are similar to those of nontransplanted trees (Beeson and Gilman, 1992; Watson, 1985). However, research on gas exchange and growth of transplanted, FG trees in arid climates has been minimal, and establishment rates for such trees in the arid Intermountain West are not known. Objectives of this research were to determine establishment rates for two transplanted, FG tree species in an arid climate with limited irrigation. To achieve this objective, we compared gas exchange and growth of transplanted and nontransplanted FG trees over a 2-year period.

Materials and Methods

Research was conducted in a commercial nursery located near Logan, Utah (U.S. Dept. of Agriculture hardiness zone 3a). In 1991, bare-root Norway maple and littleleaf linden trees were planted in a Kidman fine sandy loam soil (coarse-loamy, mesic, mixed Calcic Haploxeroll) in rows 9 m apart with 3 m between trees within the rows. Until the beginning of our experiment, irrigation and fertilization practices were consistent with commercial field nurseries in this area. In Mar. 1995, six trees of each species (12 trees total) were selected for uniformity based upon a 5-cm trunk diameter 15 cm above soil level. At transplanting, mean height was 5.1 m for maple trees and 3.7 m for linden trees. On 7 Apr. 1995, three trees of each species were selected randomly and moved with an 81-cm hydraulic tree spade (Vermeer Manufacturing Co., Pella, Iowa) to a new location within the original row. All trees conformed to the specifications of the American Association of Nurserymen Standards (American Standard for Nursery Stock, 1990). Planting holes were dug with the tree spade at the original tree spacing. Each hole was then enlarged to slightly larger dimensions than the root ball. After transplanting, each tree was placed into planting holes, two-thirds of the native soil was backfilled and trees were irrigated with 75 L of water. Soil was then placed around the root ball until soil level reached existing grade. Transplanted trees often receive limited irrigation (Gilman and Beeson, 1996; Harris et al., 1998); therefore, to simulate landscape conditions, 38 L of water was applied each week directly to the root ball area of transplanted and control trees. Throughout the study, trees were not pruned, weeds were removed by hand, and fertilizer was not applied. Each tree was selected randomly to remain in its original location, or to be moved to a new location within the original row. However, because of limited space, transplanted maple trees were near control trees, while transplanted linden trees were >15 m from control trees.

Six days after irrigation, weekly predawn ΨL was measured at 0600 h (Mountain Daylight Time) on two randomly selected, mature leaves from each tree. Leaves were excised before dawn, immediately sealed in a plastic bag, and placed in a cooler (Karlic and Richter, 1979). Water potential was measured within a half-hour of excision with a pressure chamber (model 3005; Soilmoisture Corp., Santa Barbara, Calif.). The six measurements for each species–treatment combination were averaged.

Morning-to-evening measurements of leaf temperature (TL) and stomatal conductance (g) were performed on 21 and 27 July, and 9 and 23 Aug. 1995, and on 1 and 29 Aug. 1996. TL was measured with a hand-held infrared thermometer (model210ALCS; Everest InterScience, Fullerton, Calif.) and g, was
measured with a steady-state porometer (model 1600; LI-COR, Lincoln, Nebr.). Measurements began near 0900 hr. For data collection purposes, single trees of each species and treatment were paired. Measurement cycles began on the first pair of linden trees and continued on the first pair of maple trees. Cycles were repeated until all trees were measured. About a half-hour after the final measurement, the cycle was repeated. Data were recorded for four, fully expanded, mature, full-sun leaves, and two, fully expanded shade leaves on each tree, using different leaves during each cycle. Data collection ceased at 1800 hr. Data for each tree were recorded seven to nine times on each day of measurement. Stomatal conductance and Ti, for each cycle were taken as the means of 18 measurements for each species–treatment combination.

On five dates (11, 21, 30 Aug. 1995, and 15 and 22 Aug. 1996) midday leaf photosynthetic rate (Pn) and g, were measured between 1300 and 1500 hr with a portable photosynthesis system (model 6200; LI-COR) in closed mode (Mitchell, 1992). Measurement cycles were as described above for measuring g, however, data were recorded once for each tree. For each tree, three different, fully expanded, mature, full-sun leaves were measured, and three observations were taken on each leaf. Midday Pn and g, for each species–treatment combination was taken as the mean of 27 measurements.

Climatic data were continuously collected by a weather station ~8 km away. Incoming short wave radiation (S↓) was measured with a pyranometer (model LI-200SA; LI-COR). Air temperature (T, and relative humidity (RH) were measured with a combination temperature and humidity sensor (model CR500; Campbell Scientific, Logan, Utah). All sensors were scanned every 10 s and averages were recorded every 30 min with a data logger (model CR10x; Campbell Scientific). LVPD was calculated using saturated vapor pressure at Ti and ambient vapor pressure (Jones, 1992).

Prior to budbreak and again in October, trunk diameter 15.0 cm above soil level was measured on each tree. For each species–treatment combination, trunk diameter increase (difference between fall and spring measurements) was averaged for three trees. Ten randomly selected shoots on each tree were selected in the spring of each year and shoot elongation (based upon growth from bud scales to the terminal bud) was measured in late fall. Shoot elongation was averaged for each species–treatment combination (mean of 30 shoots). Leaf area was determined by defoliating all trees. A subsample of 20 leaves was randomly selected from each tree and subsample leaf area was measured with a leaf area meter (model CI-203 with CI-203A conveyor attachment; CID, Vancouver, Wash.). To obtain total foliage dry weight for each tree, all leaves were dried at 60 °C for 1 week. Subsample leaf areas: dry weight ratios were multiplied by the dry weight of the all leaves to estimate total leaf area for each tree. Leaf size for each species–treatment combination was averaged for 60 leaves. For each species–treatment combination, total leaf area was averaged for three trees.

Predawn ψ, for each species was plotted against weeks after transplanting (WAT) in 1995 and 1996. Because of similarities among sampling dates, morning-to-evening data from 21 July 1995 and 29 Aug. 1996 and midday Pn and g, from 30 Aug. 1995 and 22 Aug. 1996 are presented as representative for all sample dates. S↓, T, RH, g, and LVPD for 21 July 1995 and 29 Aug. 1996 were plotted against time of day. To examine the effect of S↓, T, Ti, ψ, and RH (independent variables) on g, (dependent variable), g, data were analyzed with the stepwise regression procedure of SAS (SAS Inst., 1996) using appropriate means. A linear curve was selected according to significance of each variable and the value of R². The response of g, to LVPD was also examined. Stomatal conductance (dependent variable) and LVPD (independent variable) data were analyzed in SAS by regression analysis, and linear or quadratic curves were selected according to significance of the equation and the value of R². Means for predawn ψ, g, and LVPD cycles (treatment means for each species and each cycle throughout each day measurements were taken), midday Pn and g, and all growth data were analyzed in SAS based on a completely randomized design. If significant differences were found, means were separated by Fisher’s least significance difference procedure (P ≤ 0.05).

**Results and Discussion**

All trees survived transplanting. Predawn ψ, data from 1995 indicate that following transplanting, transplanted trees were under greater water deficit stress (more negative ψ,) than were control trees (Fig. 1). Through early Aug. 1995 (17 WAT), predawn ψ, for controls ranged from −0.2 to −0.4 MPa, whereas the range for transplanted trees was −0.6 to −1.9 MPa. In mid-Aug. 1995 (18 WAT), predawn ψ, for transplanted maple trees recovered to levels similar to those of control trees and remained there most of the remaining growing season. Predawn ψ, for transplanted linden trees also recovered to levels near those of controls by 18 WAT, but remained more negative for most of the remaining season. Data for both species in the 1996 growing season were similar to those obtained in late 1995 (Fig. 1).

Our results are similar to those of others who have compared ψ, and shoot water potential (ψs) of transplanted and control, FG trees. Kjelgren and Cleveland (1994) found that nonirrigated, transplanted, FG silver maple (Acer saccharinum L.) and Kentucky coffee (Gymnocladus dioicus Lam.) trees grown in Illinois required 13 weeks and two growing seasons, respectively, to recover to control levels of ψ, levels. Predawn fascicle water potential of transplanted, FG slash pine (Pinus elliottii Engelm.) trees in Florida (irrigated every day with 35.0 L of water) recovered to control levels 6 WAT (Beeson and Gilman, 1992). Also in Florida, Gilman and Beeson (1996) observed ψs for transplanted, FG laurel oak (Quercus laurifolia Michx.) and 'East Palatka' holly (Ilex xAttenuata Ash.) irrigated with 40 L, every day the first 14 WAT and 60 L every other day thereafter. They reported that ψs of transplanted trees did not recover to control levels until between 15 and 40 WAT.

As was the case in our study, water deficit
stress often develops when FG trees are placed into landscapes (Kozlowski and Davies, 1975). High transpiration rates can cause rapid drying of the root ball (Watson and Kupkowski, 1991) and lead to deficit moisture stress. For transplanted, FG trees, new root growth originates primarily from the ends of severed roots (Watson and Himelick, 1982) and can take up to 7 weeks to begin (Arnold and Struve, 1989; Bevington and Castle, 1985). Moisture outside of the root ball is therefore unavailable to the tree (Gilman, 1988), and water uptake by the root ball must occur through older, suberized roots (Kramer and Kozlowski, 1979). Until new roots grow into the surrounding soil the tree is likely to be subjected to water deficit stress (Watson and Kupkowski, 1991). Research by Abod and Webster (1991b), and Watson and Himelick (1982), confirms that new roots of transplanted Norway maple regenerate soon after transplanting, while new roots of littleleaf linden are slow to initiate. Because the restoration of a transplanted tree's water balance is associated with root regeneration (Hallman et al., 1978; Kramer, 1987), the more rapid recovery of transplanted maple to control predawn $\psi_L$ was probably due to more rapid root growth.

In 1995 and 1996, trends in morning-to-evening LVPD and $g$, and midday Pn and $g$, values were similar to trends in predawn $\psi_L$ data. Weather for 21 July 1995 and 29 Aug. 1996 was typical for summer days in northern Utah (Fig. 2) and was representative of the other sample dates. $T_A$ ranged from 15 °C in the morning to near 29 °C in the afternoon. RH dropped to $\approx 25\%$ during the afternoon after beginning each day over 60%. $S_{\downarrow}$ data indicate that each day was mostly sunny, but that there was early morning cloud cover on 21 July 1995. Weather for days when midday Pn was measured was similar to days when morning-to-evening $g$, was taken (data not shown).

Morning-to-evening $g$, data from 1995 indicate that $g$, of control maple trees was 1.5 to 13 times as great, while that of control linden trees was 1.3 to 19.5 times as great as $g$, of transplanted trees (Fig. 2). Morning-to-evening data from 1996 show that $g$, of transplanted maple trees had recovered to control levels, while that of transplanted linden trees remained lower than the $g$, of control trees (Fig. 2). On 30 Aug. 1995, midday $g$, and Pn values for control maple trees were about twice those of transplanted trees (Fig. 3): $g$, for control linden trees was $\approx 2$ times, and Pn was $\approx 4.5$ times the values for transplanted trees. By 22 Aug. 1996, gas exchange measurements for transplanted maple trees did not differ from those of control trees (Fig. 3). However, Pn and $g$, for control linden trees remained over two times as great as those of transplanted trees.

For 21 July 1995, LVPD was similar for transplanted and control maple trees until late in the afternoon (Fig. 4). However, LVPD for transplanted linden trees was greater (due to greater $T_{L1}$) during most of the day. For each species and treatment, LVPD increased from morning until late in the afternoon. LVPD followed a similar diurnal pattern as on 29 July 1995.
Aug. 1996 (Fig. 4). During most of the day, LVPD was similar for transplanted and control maple trees, but was generally lower for control linden trees than for transplanted linden trees. Stepwise regression of the response of $g_v$ to climatic data revealed the following equation: $g_v = (27.2) + (0.06 S \downarrow) + (5.3 T_a) – (39.8 LVPD) – (3.6 \psi_p)$. The $R^2$ value for the equation ($R^2 = 0.24$) revealed that a more precise equation probably requires additional dependent variables. However, each variable was highly significant ($P \leq 0.0001$). Each year $g_v$ for transplanted and control maple trees, and transplanted linden trees decreased as LVPD increased (Fig. 5). However, for control linden trees $g_v$ increased with increasing LVPD.

Results from our research are similar to the those of Kjelgren and Cleveland (1994), who reported reduced $g_v$ during the first two growing seasons following transplanting in FG Kentucky coffee tree, but not in transplanted FG silver maple. They attributed $g_v$ differences in $g_v$ between species to the fibrous root system of silver maple being able to extract more water than the coarse root system of Kentucky coffee tree. Hallman et al. (1978) found that transpiration (E) and Pn rates were reduced in transplanted, FG Scots pine ($Pinus sylvestris$ L.) trees for at least five WAT compared with control trees. Arnold and Struve (1989) found that root pruning green ash trees ($Fraxinus pennsylvanica$ Marsh.) reduced $g_v$, Pn, and E for up to 12 d.

Because of water deficit stress associated with root loss, $g_v$ and Pn were reduced during the first growing season in transplanted trees of both species. However, transplanted maple trees were able to recover to control Pn and $g_v$, apparently because of accelerated root regeneration (Abod and Webster 1991b; Watson and Himelick, 1982) and reduced water deficit stress during the second growing season. For transplanted linden trees, the lack of root development apparently led to continued water deficit stress, which reduced gas exchange through both growing seasons (Abod and Webster, 1991b; Witherspoon and Lumis, 1986).

Many plants close their stomata in response to increasing E, thus avoiding desiccation and conserving water (Monteith, 1995; Mott and Parkhurst, 1991). However, when stomata close, growth may be sacrificed because Pn is reduced (Kozlowski, 1982; Kramer, 1987) and respiration may increase because of higher leaf temperatures (Kramer and Kozlowski, 1979). Sensitivity of stomata to increasing evaporative demand (as indicated by increasing LVPD) varied with species in our study (Fig. 5) and is known to be species-specific (Hinckley et al., 1978; Whitehead et al., 1983).

Stepwise regression indicated that $g_v$ was influenced by $S \downarrow$, $T_a$, and LVPD. Stomatal response to $S \downarrow$ and $\psi_p$ is well documented (Cowan, 1977). However, stomatal response to LVPD (the calculation of which includes $T_a$) is less well known, especially in ornamental species. From our data, stomata of maple appear to be more sensitive to increasing evaporative demand (increasing LVPD) than are those of linden (Fig. 5). Differences in stomatal sensitivity to LVPD helps to explain the species differences in the daily conductance curves (Fig. 2). Control maple reached its daily $g_v$ maximum early in the day (around 1100 H) for 21 July 1995 and 29 Aug. 1996. However, on both days control linden reached its daily $g_v$ maximum later in the day, when LVPD was greater (Fig. 4).

Stomata for transplanted trees of each species responded similarly to increasing LVPD (Fig. 5). During each study day, $g_v$ was greatest in the morning (when LVPD was lowest) and steadily declined throughout the afternoon (when LVPD was greatest). Stomata of many woody plants close when evaporative demand (due to increasing LVPD) rises above a threshold level (Farquhar, 1978; Turner, et al., 1984). This response to increased evaporative demand (Farquhar, 1978; Mott and Parkhurst, 1991) probably triggered partial stomatal closure (Turner et al., 1984), which reduced $g_v$ in transplanted and control maple and in transplanted linden.

Response of $g_v$ to increasing LVPD differed for transplanted and control linden (Fig. 5). Because $T_t$ influences LVPD (Jones, 1992), stomatal response of linden may be partially due to differences in $T_t$. Two factors that can influence $T_t$ are E and leaf size. Small leaves tend to dissipate heat more readily than do larger leaves (Campbell, 1977), and leaves with high E (due to high $g_v$) dissipate more energy through greater evaporative cooling than do those with low E (Jones, 1992). In our study, control linden trees had larger leaves (Table 1), but greater $g_v$ rates for each study day (Fig. 2) than did control trees. High $g_v$ reduced $T_t$ (which lowered LVPD) throughout each day (data not shown). For control linden, high $g_v$ and low $T_t$ appear to have lowered LVPD such that a threshold LVPD level was not reached. Increased $g_v$ in response to increased LVPD has been reported for several species (Andersen and Brodbeck, 1988; Marshall and Waring, 1984), and may be an

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Fig. 4. Effects of transplanting on morning-to-evening leaf-to-air vapor pressure difference (LVPD) in Norway maple ($Acer platanoides$ ‘Schwederi’) and littleleaf linden ($Tilia cordata$ ‘Greenspire’) trees on 21 July 1995 (A) and 29 Aug. 1996 (B). Each point is the mean of 18 measurements. Asterisks ($Tilia$ or $x$ Acer) indicate significant effects of transplanting at the 1%, 5%, or 10% level by F test. Vertical bars represent standard errors (se) of the mean. $se$ is smaller than symbol when vertical bar is not shown.
adaptation to maximize Pn in environments where soil water is nonlimiting (El-Sharkawy and Cock, 1984; Marshall and Waring, 1984).

Data from two growing seasons indicated that growth was substantially reduced by transplanting. Stem area increase in 1995 in control maple and linden was 20 and 44 times as great, respectively, as in transplanted trees (Table 1). In 1996, stem area of control trees of each species was five times as great as that of transplanted trees. For transplanted trees, shoot elongation was less in 1995 than for control trees (Table 1). In 1996, shoot elongation for transplanted trees was >20% that of control trees. Leaf size and total leaf area for transplanted trees were less than for control trees in 1995 (Table 1). Leaf size of control linden trees was twice that of transplanted trees, while leaves of control maples were 78% larger than in transplanted trees. Total leaf area for transplanted trees was less than half of control (Table 1). Total tree leaf area and leaf size followed a similar trend in 1996.

Apical growth of transplanted trees was probably reduced by several factors. Each tree species has a specific root : shoot ratio for optimum growth (Kramer and Kozlowski, 1979). If this ratio is altered (by transplanting or pruning) assimilates are redirected to replace removed tissue (Gilman, 1992). Because trees in our study lost a significant portion of their root system, vigorous top growth did not occur until the species-specific root : shoot ratio was restored (Geisler and Ferree, 1984; Gilman, 1992). Reduced leaf area also limited carbon assimilation by reducing photosynthetic capability. Water deficit stress can also limit apical growth of trees by reducing mineral uptake and assimilation, hormone synthesis, stomatal conductance, and photosynthesis (Geisler and Ferree, 1984; Kuhs and Gjerstad, 1988).

Criteria that have been used to determine when transplanted trees become established are recovery of $\psi_p$, gas exchange, and growth rates (Beeson and Gilman, 1992; Watson, 1985). Using these parameters, FG trees in our study were not established after two growing seasons. Tree species differ in sensitivity to transplanting stress (Abod and Webster, 1991a). In our study, water deficit stress, brought on by transplanting, reduced gas exchange and growth the first year after transplanting. However, during the second season, transplanted maple trees recovered to control predawn $\psi_p$ levels; therefore, gas exchange was not influenced by water deficit stress, but by response of stomata to high evaporative demand (Farquhar, 1978; Mott and Parkhurst, 1991). For transplanted linden trees, a combination of water deficit stress and stomatal response to high evaporative demand during the second growing season reduced gas exchange and prevented recovery to control gas exchange levels.

Our research indicates that in an arid climate with limited irrigation, transplanted, FG maple trees are able to reach control gas exchange levels more rapidly, and possibly become established sooner, than are transplanted, FG linden trees of similar trunk diameter. However, after two growing seasons neither tree species had recovered to control apical growth rates. Arborists, landscape contractors, and horticulturists working with transplanted, FG trees should be aware that the time required for trees to become established may be longer in arid climates with limited irrigation than in more mesic, humid climates. When transplanting FG trees in arid regions with limited irrigation, precautions must be taken to ensure tree survival. Planting species that establish rapidly under these conditions should increase survival and save expenses associated with maintenance or replacement.

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**Table 1. Effects of transplanting on stem area increase, shoot elongation, average leaf size, and total leaf area in Norway maple (Acer platanoides ‘Schwedleri’) and littleleaf linden (Tilia cordata ‘Greenspire’) trees in 1995 and 1996.**

| Variable                  | 1995          | 1996          | 1995          | 1996          |
|---------------------------|---------------|---------------|---------------|---------------|
| Stem area increase (cm²)  | Trans Control | Trans Control | Trans Control | Trans Control |
|                           | 0.5 b       | 10.3 a        | 3.3 b         | 15.8 a        |
|                           | 0.2 b       | 8.9 a         | 1.4 b         | 7.0 a         |
| Shoot elongation (cm)     | 5.9 b       | 11.1 a        | 2.7 b         | 13.7 a        |
|                           | 4.4 b       | 12.7 a        | 3.1 b         | 15.2 a        |
| Leaf size (cm²)           | 39.6 b      | 70.8 a        | 60.3 b        | 89.5 a        |
|                           | 14.5 b      | 30.4 a        | 16.6 b        | 36.6 a        |
| Total leaf area (m²)      | 5.6 b       | 11.3 a        | 5.5 b         | 16.8 a        |
|                           | 2.4 b       | 6.5 a         | 2.8 b         | 7.3 a         |

*Transplanted.

*Mean separation within rows, species, and years by LSD, P ≤ 0.05.

*n = 3.

*n = 30.

*n = 60.
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