Lung epithelial endoplasmic reticulum and mitochondrial 3D ultrastructure: a new frontier in lung diseases

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Abstract
It has long been appreciated that the endoplasmic reticulum (ER) and mitochondria, organelles important for regular cell function and survival, also play key roles in pathogenesis of various lung diseases, including asthma, fibrosis, and infections. Alterations in processes regulated within these organelles, including but not limited to protein folding in the ER and oxidative phosphorylation in the mitochondria, are important in disease pathogenesis. In recent years it has also become increasingly apparent that organelle structure dictates function. It is now clear that organelles must maintain precise organization and localization for proper function. Newer microscopy capabilities have allowed the scientific community to reveal, via 3D imaging, that the structure of these organelles and their interactions with each other are a main component of regulating function and, therefore, effects on the disease state. In this review, we will examine how 3D imaging through techniques could allow advancements in knowledge of how the ER and mitochondria function and the roles they may play in lung epithelia in progression of lung disease.

Keywords Endoplasmic reticulum · Mitochondria · Lung · Epithelial · Structure · 3D

Introduction
Organelles serve as the housing of unique niches within a cell and specialize in various regulatory processes, all essential for proper functioning and survival of the cell. Because these entities maintain cellular homeostasis, dysregulation of organelle function can have dire effects on a cell and, in turn, the organism as a whole. Two organelles known to play significant roles in cellular homeostasis are the ER and mitochondria. The ER is the key regulator of protein folding, post-translational modifications, calcium homeostasis, and lipid synthesis (Braakman and Hebert 2013; Clapham 2007; Fagone and Jackowski 2009; Hebert et al. 2005). Mitochondria are known for their roles in oxidative phosphorylation (OXPHOS), reactive oxygen species (ROS) production, fatty acid oxidation, and calcium flux regulation (Annesley and Fisher 2019; Picard et al. 2011). Dysfunction in these processes in either of the organelles are known to have an impact on overall cell health, leading to various disease states.

Our knowledge of the structure of mitochondria and the ER has improved greatly since their discovery in 1857 and 1945, respectively. The ER is the largest organelle in a cell and is comprised of a continuous membrane structure extending from the nucleus, making up the nuclear envelope, to the periphery of the cell, where it forms sheet- and tubule-like structures (Schwarz and Blower 2016; Westrate et al. 2015). Mitochondria are a double membrane organelle that can be either elongated or punctate and often form an interlaced branched network throughout the cell (Dudkina et al. 2010; Herst et al. 2017; Picard et al. 2011). While a lot of information about each of these organelles has been obtained through 2-dimensional microscopy techniques, more has been and remains to be gained through 3-dimensional microscopy about organization, distribution throughout the cell, and dynamic interactions with other organelles.

One of the organ systems known to be highly impacted by dysfunction of either the ER or the mitochondria is the lungs. A wide variety of lung diseases, including asthma, fibrosis, and response to infection, are regulated by these organelles. Within each of these disease states, various cell types can be seen to play a role in disease progression...
through dysregulation of their ER and mitochondria. However, as one of the most common sites of disease initiation in the lung, epithelial organelle function is of particular importance. In this review, we will attempt how 3-dimensional (3D) imaging techniques have advanced and can further advance our understanding of how airway epithelial ER and mitochondria contribute to disease pathogenesis of various lung diseases.

**A 3D view of mitochondria and the ER**

While the overall general structure and function of mitochondria and the ER have been appreciated for quite some time, what has only begun to be appreciated within the last decade or so is the role that the structures of these multi-faceted organelles and their dynamic interaction with other organelles within the cell play in dictating proper, or dys-regulated, functions. Advances in microscopy technique and analysis have allowed a more comprehensive 3D view of these organelles and their interactions to advance our understanding of their function in both normal and disease states (Abrisch et al. 2020) (Fig. 1). Here we will discuss the knowledge gained through 3D microscopy techniques of the ER and mitochondria.

The mammalian ER is the largest of the organelles and forms two connected but unique sub-compartments; the nuclear envelope (NE), which encompasses the nucleus, and the peripheral ER, which extends throughout the cytoplasm of the cell. While the NE allows for protection of nuclear material and selective entry and exit for the nuclear space, the peripheral ER is important for calcium regulation, lipid synthesis, and protein folding and modification. Though these sub-compartments are distinct, their shape and proper function are often dictated by the same or similar proteins, and each other. Various 3D microscopy techniques allowing more accurate and complete visualization of this dynamic organelle system have shown that the peripheral ER and NE are drastically altered during cell cycle progression and cell division. During initiation of mitosis, the NE dissipates into the peripheral ER, becoming more tubule-like. Following proper splitting of the nuclear DNA and progression into the late phases of cell division, restructuring of ER cisternae allow the reformation of the NE and redistribution of the peripheral ER in the resulting cells (Anderson and Hetzer 2008; Lu and Kirchhausen 2012; Puhka et al. 2007). Additionally, reticulons and several other proteins, as well as lipids such as diacylglycerol, appear to be key regulators of ER curvature and structural maintenance (Craene et al. 2006; Domart et al. 2012; Lu and Kirchhausen 2012; Ulloa et al. 2019; West et al. 2011a). 3D electron microscopy has also revealed that actin filaments assist in maintenance and stabilization of cisternae of the ER as well (Joensuu et al. 2014). Advancements in microscopy have also allowed an appreciation that the shapes of the various regions of the ER are probably important in dictating proper function of those regions. This is apparent due to the differences in protein localization and organization of the different regions of the ER. The peripheral ER is split into two distinct compartments; the cisternae, or sheet-like, regions and the tubule-like regions. The cisternae, a flat sheet-like region of the ER with low curvature, are thought to be better suited to ER luminal processes such as protein folding due to the larger continuous luminal area and the localization of protein synthesis and folding machinery to these regions of the ER, while ER tubules, which have high amounts of curvature, have a smaller luminal area when compared to surface area and have a decreased amount of protein folding machinery localized to them (Shibata et al. 2006; Voeltz et al. 2002; West et al. 2011b). The control of ER shape and the roles that regulations of shape play in proper function of the ER have further been examined in several detailed reviews (Friedman and Voeltz 2011; Hetzer et al. 2005; Joensuu and Jokitalo 2015; Schwarz and Blower 2016; Westrate et al. 2015).

With an understanding of shape of the ER and how shape dictates function, comes the understanding that dysregulation of ER shape can impact the state of the cell and promote disease. In fact, viral interaction with and impact on the shape of the ER of host cells has already begun to be appreciated. In particular, the flavivirus family of viruses (e.g. Zika Virus, West Nile, Tick-borne Encephalitis Virus) tends to induce vesicle formation. The use of 3D electron microscopy showed these viral-induced vesicles are formed...
in and are localized to remodeled areas of the ER during infection by various flavivirus members in their target cell types (Bílý et al. 2015; Gillespie et al. 2010; Offerdahl et al. 2012; Stefanik et al. 2018). Flavivirus infection enhances proliferation of the ER to allow viral replication and altered ER cisternae structure where viral vesicles localized (Bílý et al. 2015; Gillespie et al. 2010; Offerdahl et al. 2012; Stefanik et al. 2018). Hepatitis C infection also relies heavily on the ER. 3D electron microscopy and soft X-ray cryo-tomography revealed extensive modification of the ER following viral infection to support viral replication and budding of viral particles from the ER at sites localized closely to lipid droplets within the cell (Pérez-Berná et al. 2016; Roingeard et al. 2008). These results provide substantial evidence to support change in shape of the ER to propagate infection and lends to the possibility of ER alterations propagating other disease states.

Mitochondria are unique organelles in that they have two lipid bilayers, the outer mitochondrial membrane (OMM) and the inner mitochondrial membrane (IMM) forming two distinct luminal spaces. This allows the mitochondria to be diverse in their jobs, as they can set up isolated gradients to generate signaling molecules like ATP and ROS, as well as storage of \( \text{Ca}^{2+} \). This dynamic organelle is also responsible for regulation of cell cycle progression and apoptosis (Annesley and Fisher 2019; Chakrabarty et al. 2018; Fakouri et al. 2019; Picard et al. 2011). Microscopy advances and 3D imaging have made it apparent that these organelles can form long, complex networks or small, punctate structures. In fact, the physical size and branching of mitochondria are very important in dictating function and maintenance of the organelle. The size and organization of mitochondria are tightly controlled through fission and fusion (Bliek et al. 2013; Lee and Yoon 2016). These processes are carried out by dynamin-like GTPases; Drp1 is the main driver of mitochondrial fission while Mfn regulates fusion of the OMM and OPA1 regulates IMM fusion (Lee and Yoon 2016).

Studies have indicated that punctate mitochondria perform different functions than elongated mitochondria (Liesa and Shirihai 2013; Yamaguchi et al. 2012). While these structural changes are essential for proper function of cells, they can be altered and are often seen in different configurations and become more specialized depending on the cell type. Muscle cells, for example, form large mitochondrial networks for rapid, coordinated energy production and can be quickly altered if necessary to maintain a healthy, properly functioning mitochondrial network, as visualized using 3D reconstruction of confocal and electron microscopy images (Dahl et al. 2015; Glancy et al. 2017). Neurons, however, have specialized mitochondria based on the sub-compartment of the cell they are localized in. 3D reconstruction after various electron microscopy techniques has shown axonal and pre-synaptic mitochondria are smaller in size, whereas mitochondria localized to the post-synaptic dendrites are longer and more networked (Delgado et al. 2019; Popov et al. 2005). Additionally, 3D confocal and electron microscopy have demonstrated that microtubules are necessary for proper trafficking of mitochondria through the axon to the pre-synaptic space to allow proper mitochondrial localization in bipolar interneurons (Grafê et al. 2015). Functional information can also be gained by understanding structure of this organelle along with protein expression on its surface. Taste receptor cells have expression of CALHM1 in larger mitochondria with atypical cristae located in close proximity to the membrane in the pre-synapse but not on smaller or more typical mitochondria. This channel allows the release of ATP from the mitochondria to act as a neurotransmitter, important for taste cell signaling to the taste neurons (Romanov et al. 2019). Further understanding of protein expression impact on mitochondrial structure was determined in yeast. 3D electron microscopy was used to show that Cdc48p, a highly conserved ATPase also expressed in mammalian cells, is necessary for elongation of mitochondria (Miyazaki et al. 2014). Additional examination of mitochondrial shape and function and visualization of this organelle can be seen in existing reviews (Glancy 2020; Picard et al. 2011).

As with the ER, dysfunction of mitochondria has been linked to numerous diseases (Annesley and Fisher 2019; Chakrabarty et al. 2018; Fakouri et al. 2019; Herst et al. 2017). However, until recent years, the 3D structural alterations of mitochondria during disease were poorly understood. One area that advancements have been made in mitochondrial structural change through use of 3D microscopy techniques is in neuronal diseases. Improper regulation of mitochondrial dynamics through dysfunctional fission and fusion processes have been appreciated in a wide host of neuropathies including aging, Parkinson’s, ischemia, and neuroinflammation (Euastuaqia et al. 2018; Katoh et al. 2017; Morozov et al. 2017; Owens et al. 2015). Additionally, an Alzheimer’s model in mice was shown to alter mitochondrial cisternae and membrane potential and was linked with loss of antioxidant Peroxiredoxin 3 in hippocampal neurites (Choi et al. 2014). Drug toxicity can also impact mitochondrial structure and function. Ketamine treatment was shown to alter fission and fusion dynamics leading to smaller, swollen mitochondria in developing brains of rats and was linked to increase in apoptosis (Euastuaqia et al. 2018). Another organ system impacted by 3D structural changes is the kidneys. Over-activation of 5'-AMP activated kinase, which is often a method for treatment of various kidney diseases, in renal proximal tubule cells prompted reorganization and fragmentation of mitochondria as visualized by 3D reconstruction of confocal microscopy images (Kodiha et al. 2018). Another treatment, the antioxidant coenzyme \( \text{Q}_{10} \), was also tested to treat tacrolimus-induced nephrotoxicity. 3D electron microscopy techniques showed that this treatment...
recovered mitochondrial size alterations, along with functional alterations, done to the kidneys by tacrolimus (Yu et al. 2019). Hepatitis C infection has also been shown to alter 3D structure as seen by differences in cristae and mitochondrial distribution (Pérez-Berná et al. 2016). Mitochondrial alterations are also known to cause diseases coined mitochondrial myopathies. 3D electron microscopy techniques used on biopsies of patients with mitochondrial DNA mutations demonstrated alterations in cristae and overall mitochondrial shape and organization (Vincent et al. 2016). All of this data combined with the knowledge that mitochondrial functional alterations are important in disease pathogenesis provides strong evidence that mitochondrial morphology plays an important role in a host of various diseases, of which we are likely just scratching the surface.

While the ER and mitochondria are structurally and functionally important in health and disease on their own, a field of gaining interest is the role of sites of interaction between these two organelles in normal cell function and progression of disease (Csordás et al. 2018; Filadi et al. 2017; Ilacqua et al. 2017; Rowland and Voeltz 2012). 3D microscopy advancements have allowed us to begin to gain new insight into ER-mitochondrial contact sites and their potential roles in disease. 3D reconstruction of soft x-ray tomography and confocal microscopy images revealed that MiD51, a protein known to be involved in mitochondrial fission, localizes to ER-mitochondrial contact sites where the ER forms around the mitochondria, supporting the role of the ER and MiD51 in mitochondrial fission (Elgass et al. 2015). Live cell Z-series confocal images in yeast also showed that ER-mitochondrial contact sites not only control fission dynamics, but fusion as well, indicating a key role for the ER in dynamic mitochondrial regulation (Abrisch et al. 2020). Another 3D microscopy study determined that ER-mitochondrial contact sites, which are important for calcium transport between the two organelles in order to regulate cellular calcium levels, are not decreased with increases in mitochondrial fission, but that calcium transfer between the two organelles is decreased and protects the cell from apoptosis (Szabadkai et al. 2004). The dynamics of calcium transport between these two organelles was further examined when adenine nucleotide translocase, a protein normally responsible for ATP transfer out of the mitochondria. Overexpression of this mitochondrial protein decreased mitochondrial uptake of calcium from the ER and induced mitochondrial fission, but did not impact the number of ER-mitochondrial contact sites as seen by 3D confocal microscopy (Wieckowski et al. 2006). These 3D microscopy studies taken together are opening up and furthering our understanding of how these organelles interact with and impact each other through alterations of their own biology and how this may impact disease states.

Airway epithelial ER and mitochondria are key drivers of lung disease pathogenesis

Lung epithelial cells are now appreciated as main contributors to initiation and/or progression of a wide host of lung diseases. As the first line of defense in the lung, epithelial cells are continuously exposed to inhalants of a large variety, including such things as allergens, viruses, and pollutants. In turn, airway epithelia can become injured or stimulated and can induce signaling cascades to promote disease pathogenesis. The ER and mitochondria have been previously proven to play integral roles in the epithelial response to these various stimuli and in regulation of the disease state. Here, we will examine the impact these organelles have on the airway epithelial response to various lung diseases.

A major functional role of the ER is the folding of proteins to be integrated into cellular membranes or secreted from the cell. If, for any reason, the cell produces proteins that cannot be folded properly or produces an overwhelming amount of proteins that need to be folded, ER stress will be induced and the unfolded protein response (UPR) will be activated. This response, propagated by activation of the three canonical ER-membrane bound transducers of UPR signal, ATF6, IRE1, and PERK, will induce a signaling cascade within the cell to reduce overall transcription and translation and promote chaperone activity to ease the burden on the ER or push the cell into apoptosis if it cannot resolve the stress (Hetz 2012; Hetz et al. 2020). The epithelial UPR is known to play a role in progression of a wide range of diseases in the lung. RNA-sequencing of asthmatic epithelial brushings has demonstrated that asthmatics have increased transcription of ER stress markers as well as increased alternative splicing of XBP1 induced by IRE1 activation, resulting in translation of a transcription factor that upregulates expression of proteins to help resolve the ER stress (Bhakta et al. 2018). In addition, epithelial expression of the chaperone and protein disulfide isomerase ERP57 along with ER stress receptors ATF6a and IRE1β were shown important for pathogenesis of asthma (Hoffman et al. 2013, 2016; Martino et al. 2013). The role of epithelial ER stress in asthma pathogenesis was further corroborated by a study demonstrating that allergen-specific immunotherapy targeted to treatment of Der f-induced asthma ameliorated the asthmatic response by reducing the IL-25-induced ER stress response and epithelial apoptosis (Yuan et al. 2018). UPR pathways are also activated in and suggested to play a key role in disease establishment and progression of pulmonary fibrosis in both patients with and models of pulmonary fibrosis (Katzen et al. 2019; Korfei et al. 2008; Lawson et al. 2008, 2011; Tanjore et al. 2015; Torres-González...
et al. 2012; Zhong et al. 2011). Supporting these data, inhibition of various UPR markers blocked development of or reversed fibrosis phenotype in models of the disease (Burman et al. 2018; Delbrel et al. 2018; Thamsen et al. 2019; Zheng et al. 2019). Additionally, overexpression of or enhanced activity of UPR markers worsened the fibrotic phenotype (Chen et al. 2019; Lawson et al. 2011). Pulmonary viral infections also initiate and rely on the UPR. Several Human Coronaviruses upregulate UPR activation (Siu et al. 2014) as does Human Rhinovirus 16 (Song et al. 2019). Influenza A Virus (IAV) upregulates various UPR proteins including IRE1, ATF6, and ERp57, which assists in folding of viral proteins, cell survival, and viral propagation (Chamberlain et al. 2019; Hassan et al. 2012; Roberson et al. 2012). Interestingly, PERK pathway activation appears to reduce IAV replication, as seen with the use of the IAV inhibitor Montelukast (Landeras-Bueno et al. 2016). Respiratory Syncytial Virus (RSV) infection also activates the IRE1 and ATF6 pathways of the UPR and IRE1 activation, in particular, is important for RSV replication (Hassan et al. 2014). ER membrane fluidity and permeability also play a role in allergic airway inflammation (Lee et al. 2020).

Mitochondria, as a multifaceted organelle, play an integral role in airway epithelia function. As airway epithelia are often important for initiation of disease responses, their mitochondrial needs and outputs would presumably need to change to meet the new needs of the cell. Multiple mitochondrial pathways could be impacted in the same disease state within the epithelia. In fact, several physical and functional changes in mitochondria in airway epithelia are linked to numerous lung diseases. Asthmatic patients have been shown to have altered bronchial epithelia mitochondrial ultrastructure (Konrádová et al. 1985). An ovalbumin mouse model of asthma has also shown alterations in bronchial epithelial cell mitochondrial size and cristae, along with altered mitochondrial respiration and reduced ATP production, linked to increased IL4 levels in the lung (Mabalirajan et al. 2008). Similarly, ragweed exposed cultured airway epithelia and a ragweed-induced mouse model of asthma demonstrated oxidative damage to subunit II of the ubiquinol cytochrome c reductase promoted increased mitochondrial reactive oxygen species and was linked to increased airway hyperresponsiveness, eosinophilia, and mucus metaplasia (Agüilera-Aguirre et al. 2009). Inhibition of mitochondrial reactive oxygen species produced during the asthmatic response, in both cultured epithelia and in ovalbumin challenged mice, reduced NLRP3 inflammasome activation and, in the mouse model, decreased several hallmarks of asthma including inflammation and airway hyper responsiveness (Kim et al. 2014). Mitochondrial arginine metabolism has also been shown to be important in the asthmatic response to allergen, with arginase-2 increases, which are also seen in asthmatic patient airways, helping suppress the inflammatory phenotype developed in asthma (Xu et al. 2016). Rhinosinusitis has been linked to mitochondrial dysfunction as well. Both cultured epithelia exposed to Staphylococcus aureus enterotoxin B, a common pathogen seen in
Rhinosinusitis patients, as well as epithelial nasal polyps from Rhinosinusitis patients exhibited altered oxidative phosphorylation, reactive oxygen species production, and mitochondrial structural dynamics (Yoon et al. 2020). Pulmonary fibrosis is considered a disease of age. Aged rat lungs exhibit oxidative damage and stress, NAD+/NADH ratio decrease, and decreased mitochondrial respiration (Braidy et al. 2011). Aged mice, pulmonary fibrosis models, and samples from pulmonary fibrosis patients decreased expression of mitochondrial antioxidant SIRT3 (Sosulski et al. 2017). Several other studies of pulmonary fibrosis also demonstrated in patient and cell culture or mouse model samples that lung epithelial cells in a pro-fibrotic setting exhibit mitochondrial damage and decreased mitophagy, making them more susceptible to damage and promotion of fibrosis (Bueno et al. 2015; Hawkins et al. 2015; Patel et al. 2015). Viral infection of airway epithelia can also alter mitochondrial function. H9N2, an avian Influenza A strain, has been shown to promote cytochrome c release from epithelial mitochondria and induce apoptosis (Xing et al. 2011). The H9N2 pathogenicity factor PB1-F2, in particular, interacts with mitochondrial localized apoptosis inhibitor HAX-1, enhancing epithelial apoptosis (Li et al. 2018). H5N1, another Influenza A strain, also enhances cytochrome c release from epithelial mitochondria, promoting apoptosis (Bian et al. 2017). One mechanism by which this strain might induce apoptosis is by reducing expression of the epithelial superoxide dismutase SOD, which when present reduces mitochondrial reactive oxygen species, enhances mitochondrial membrane potential, and protects against apoptosis (Lin et al. 2016). Respiratory syncytial virus also impacts lung epithelial mitochondria by enhancing perinuclear clustering of the mitochondria, decreasing mitochondrial membrane potential and respiration, and increasing mitochondrial reactive oxygen species production, which enhances viral propagation (Hu et al. 2019).

### 3D imaging of epithelial ER and mitochondria in lung disease—area for advancement

While the ER and mitochondria are large contributors to airway epithelial responses during lung disease, and while we know that the structure of these organelles are important in dictating function, little has been done to advance our understanding of the 3D structures of these organelles in this context. Little to no papers exist on 3D ER structure or 3D dynamics of ER-mitochondrial interaction in airway epithelia during lung disease initiation or pathogenesis. And the 3D structure or mitochondria in these cells during different lung diseases has only just begun to be understood. One lung disease environment where 3D organization of mitochondria has begun to be explored is viral infection. As mitochondria play an important role during lung epithelial infection by RSV, one group used various 3D fluorescence microscopy techniques to show localization of lung epithelial infection by RSV Non-Structural protein 1 (NS1) to the mitochondria through direct interaction with Mitochondrial Antiviral Signaling protein (MAVS). NS1 interaction to MAVS inhibits MAVS binding to Retinoic acid Inducible Gene 1 (RIG-1), which is necessary for induction of the IFN inflammatory signaling response by airway epithelia (Boyapalle et al. 2012). Mitochondrial regulation of IFN inflammatory signaling through RIG-1 activation can also play a role during airway epithelial infection by Influenza viruses. As such, 3D STORM microscopy has been used in combination with other techniques to examine the interaction of Influenza Viral Ribonucleoproteins (vRNPs) with the mitochondria of target epithelia and the role this plays in IFN signal regulation. While this study revealed vRNPs interact with the mitochondria of infected cells, and this may induce the IFN viral response while other viral proteins aim to inhibit the response, suggesting a complex nature to mitochondrial interaction with viral proteins (Liedmann et al. 2014) (Fig. 2). Furthermore, 3D imaging studies showed that injured lung alveolar epithelium is repaired by the donation of healthy mitochondria from the mesenchymal bone marrow derived cells (Islam et al. 2012) (Fig. 3). These data suggest that understanding mitochondrial 3D organization and interaction with other components within airway epithelia could prove important in understanding the initiation and progression of various lung diseases including viral infection.

### Final thoughts

Advancements in microscopy have opened the door to examination of the 3D structures and organization of organelles and their interactions with other cellular components. With this progression of techniques to visualize cellular components, we have gained an understanding that the location and structure of the ER and mitochondria are important in dictating function. And while we know that ER and mitochondrial functional alterations in airway epithelia are important for pathogenesis of various lung diseases, what remains to be elucidated is the role that structural and organizational alterations of these organelles might play in such diseases. Filling this gap in knowledge could provide useful in understanding the complex regulation of lung disease states by the ER and mitochondria and open new avenues for treatment.
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Compliance with ethical standards

Conflict of interest Vikas Anathy currently have awarded patents United States Patent No. 8,679,811, “Treatments Involving Glutaredoxins and Similar Agents”, and United States Patent Nos. 9,907,828 and 10,688,150 “Treatments of oxidative stress conditions”. Vikas Anathy have received consultation fee and contract grants from Celdara Medical, LLC, NH.

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