Impact of COVID-19 on Extremely Polluted Air Quality and Trend Forecast in Seven Provinces and Three Cities of China

Xiaoying Pan1,2, Yonggang Zhao1* and Meng Wang1

1College of Management Engineering and Business, Hebei University of Engineering, Handan, China, 2Hebei Key Laboratory of Intelligent Water Conservancy, Hebei University of Engineering, Handan, China

At the beginning of 2020, COVID-19 broke out. Because the virus is extremely contagious and the mortality rate after infection is extremely high, China and many countries in the world have imposed lockdowns. Air pollutants during the epidemic period have attracted the attention of many scholars. This research is to use predictive models to describe changes in extreme air pollutants. China is the first country in the world to enter the lockdown state. This study uses data from 2015-2020 to compare and predict the concentration of extreme pollutants before and after the lockdown. The results show that the lockdown of the epidemic will reduce the annual average concentration of PM2.5, and the annual average concentration of O3 will increase first and then decrease. Through analysis, it is concluded that there is a synergistic decrease trend between PM2.5 and O3. With the various blockade measures for epidemic prevention and control, the reduction of extreme air pollutant concentrations is sustainable. The assessment of China’s air quality in conjunction with the COVID-19 can provide scientific guidance for the Chinese government and other relevant departments to formulate policies.

Keywords: Extremely polluted air quality, COVID-19 impact, Seven provinces and Three cities, FGM(1, 1) model, Predict

INTRODUCTION

At the end of 2019, Wuhan (China) reported a new coronavirus disease (COVID-19) for the first time. The virus was found all over the world in a very short time. At the end of January 2020, the World Health Organization (WHO) declared a state of public health emergency, and the COVID-19 widespread concern in the international community (WHO 2020). In the first place, China has put all efforts across the country to support Wuhan, the hardest-hit area of the epidemic. At the same time, work and production were suspended across the country for home isolation. With the continuous efforts of the Chinese people, the COVID-19 has been gradually suppressed.

Under the lockdown of the COVID-19, people’s activities are restricted. Thereby reducing the concentration of environmental pollutants to improve China’s air quality (Shakoor et al., 2020). And extreme air pollution has gradually become an important indicator of air quality. The development trend of extreme air pollution affects China’s future air quality. Accurate pollution prediction plays an important role in air pollution control.

The Guiding Opinions on Doing a Good Job in the Normalization of the Prevention and Control of the New Coronary Pneumonia Epidemic pointed out that the COVID-19 will exist in China for a
certain period of time. Many scholars have discussed the impact of environmental quality on the COVID-19. But this paper will discuss how extreme air pollution will develop in China under the normalization of the epidemic. That is, what impact does the COVID-19 have on air quality (Cai et al., 2021). However, due to the short duration of the COVID-19, there are fewer data available for application. This paper will use the gray model to predict and analyze China’s air quality. This article will provide researchers with a new perspective to analyze China’s air quality in combination with gray forecasting models. The assessment of China’s air quality in conjunction with COVID-19 can provide scientific guidance for the Chinese government and other relevant departments to formulate policies.

This paper is divided into five sections. The introduction is given in Introduction. The literature review is provided in Literature Review. The methods are introduced in Methods. The results and discussion are presented in Results and Discussion. The conclusions are drawn in Conclusion.

Literature Review

The new crown virus was discovered at the end of 2019, and since then there have been endless discussions about the new crown. Because of severe air pollution in winter, humans are at higher risk of contracting the new crown (Naqvi et al., 2021). Therefore, more scholars have focused their research on the impact of air and climate on COVID-19 (Linares et al., 2021), NO2, PM2.5, PM10, O3 are directly proportional to the incidence and mortality of COVID-19 (Montse and Domingo José, 2022). Weather has become an important factor in determining the disease (Khurram et al., 2002). Temperature plays an important role in slowing down the spread of COVID-19 (Najaf Iqbal et al., 2020). The performance of the new crown varies in different environments (Shahzad et al., 2021). However, the harsh environment will always increase the incidence and mortality of new coronary pneumonia (Fareed et al., 2020). In order to control the development of the epidemic, the lockdown period has been continuously extended, and the new crown epidemic has therefore been partially controlled. During the COVID-19 lockdown, air quality has been significantly improved (Pandey et al., 2021; Raffaele et al., 2021). The reduction in personal travel and economic activities provides researchers with an opportunity to objectively evaluate air pollutants (Adam et al., 2021). The main pollutants of air quality can be determined by the changes in the concentration of pollutants before and after the epidemic is blocked (Xu et al., 2021). Thierno et al. (2021) used the atmospheric simulation data set method to analyze the changes in air pollution emissions during the epidemic. Find that the concentration of air pollutants dropped significantly during the blockade (Maji et al., 2021). According to environmental data released by NASA and ESA, it is found that pollution in many places has been reduced to 30% (Muhammad et al., 2020). For the quantitative analysis of air pollutants. Many scholars used a variety of methods to measure the air quality during the blockade, such as satellite data (Fatemeh and Alihsan, 2021), double-difference models (Yumin et al., 2021), and machine learning algorithms (Wei et al., 2021). However, since the outbreak of the new crown epidemic, there are fewer air pollutant data available to study, resulting in inaccurate prediction results of some models. The gray fractional cumulative GM(1,1) model (FGM(1,1) model) is extremely effective in short-term prediction (Wu et al., 2013). Therefore, this paper will use the FGM(1,1) model to predict the extremely polluted air quality in China to analyze the impact of the lockdown of the COVID-19 epidemic on air quality.

METHODS

Overview of Extreme Pollution in Seven Provinces and Three Cities

The concentration of air pollutants reflects the overall air quality at a specific time and place. In recent years, China has taken a variety of measures to deal with air pollution, and air quality has improved significantly. However, at this stage, China is still facing the pressure of extremely polluted air quality. Especially in summer, ozone has become the primary factor leading to excessive air quality in some cities, especially in key areas such as the Beijing-Tianjin-Hebei and surrounding areas, the Yangtze River Delta, the Fen-Wei Plain, and the junction of Jiangsu, Anhui, Shandong, and Henan. The scope of air pollution prevention and control strengthened supervision and designated assistance areas expanded from key areas such as Beijing-Tianjin-Hebei to non-key areas such as Jiangsu, Anhui, Shandong, Henan, etc. The target is summer ozone pollution. The increasing trend of ozone pollution is very obvious, and in some cities ozone even exceeds PM2.5 as the primary pollutant. This shows that the control of extremely polluted air quality is imminent.

The Beijing-Tianjin-Hebei region is at the heart of China, with one of the largest economies in the country but also the most serious air quality problems. The industrial structure of Shanghai in the Yangtze River Delta is relatively balanced and the industrial industry is relatively developed, but the air quality is relatively poor. Shanxi Province and Shaanxi Province, the key areas of the Fen-Wei Plain, are rich in resources, and the continuous demand for resource extraction activities has deteriorated air quality. Structural problems are prominent in the junction area of Jiangsu, Anhui, Shandong and Henan. The development of steel and petrochemical industry leads to large basic emissions of air pollutants in this area, and it is relatively difficult to control them. The detailed geographical location is shown in Figure 1. However, air quality management is not achieved overnight. It requires long-term planning and collaborative governance Forecasting the annual average air index concentration from 2021 to 2025 can provide technical support for future air quality management of local governments.

Data and Methods

The annual average concentration of inhalable particulate matter (PM2.5) and the annual average 90% quantile concentration of 8-hour ozone (8-h O3) are selected as predictive indicators. The indicators data are from the Air Quality Assessment Report VIII (CSSPKU, 2021), Table 1 Table 2. The current air quality data depends on a new standard (GB 3095-2012) published in 2012.
The PM2.5 values were mandatorily included in this new standard for the first time. Only a few sets of annual data are available. Due to the limited amount of data, the effect of other forecasting methods is not obvious. Therefore, the grey forecasting theory can deal with the forecasting problem of limited samples. The high-precision FGM(1,1) model is used to predict the annual average concentrations of PM2.5 and 8-h O3 from 2021 to 2025 in seven provinces and three cities.

Given a non-negative time series \( X^{(0)} = \{x^{(0)}(1), x^{(0)}(2), \ldots, x^{(0)}(n)\} \), the FGM(1,1) modelling process is as follows:

**Step 1**: By using \( x^{(r)} = \sum_{i=1}^{n} C_{k-i+r-1} x^{(0)}(i) \), the \( r \)-order accumulation sequence is \( X^{(r)} = \{x^{(r)}(1), x^{(r)}(2), \ldots, x^{(r)}(n)\} \) (1)

where \( C_{r-1} = 1, C_{k} = 1, C_{k-i+r-1} = \frac{(k-i+r-1)(k-i+r-2)\cdots(r+1)r}{(k-i)!} \) (2)

The original time series has been represented by superscription \((0)\). The \( r \)-order accumulation time series has been represented by superscription \((r)\).

**Step 2**: For the \( r \)-order accumulation sequence \( X^{(r)} \), the first-order differential equation with one variable (i.e., the FGM(1,1) model) can be expressed as below:

\[
\frac{dx^{(r)}(t)}{dt} + ax^{(r)}(t) = b
\] (3)

Where \( a \) is a coefficient for the development and \( b \) is the grey action quantity. The solution of Eq. 3 is

\[
x^{(r)}(t+1) = \left[ x^{(0)}(1) - \frac{b}{a} \right] e^{-at} + \frac{b}{a}
\] (4)
Because the least squares estimate minimizes the sum of the squared residuals, the parameters are obtained by using the least squares. The unknown parameters \( \hat{a}, \hat{b} \) can be solved by using the following formulas:

\[
\begin{bmatrix}
\hat{a} \\
\hat{b}
\end{bmatrix}
= (B' B)^{-1} B' Y
\]  

(5)

where

\[
Y = \begin{bmatrix}
x^{(r)}(2) - x^{(r)}(1) \\
x^{(r)}(3) - x^{(r)}(2) \\
\vdots \\
x^{(r)}(n) - x^{(r)}(n - 1)
\end{bmatrix}
\]

(6)

Step 3: Inputting \( \hat{a}, \hat{b} \) into the time response function

\[
\hat{x}^{(r)}(k + 1) = \begin{bmatrix}
x^{(r)}(1) - \frac{\hat{b}}{\hat{a}}
\end{bmatrix} e^{\hat{a}k} + \frac{\hat{b}}{\hat{a}}
\]  

(7)

The unknown parameters \( \hat{a}, \hat{b} \) can be solved by the following formulas:

\[
\begin{bmatrix}
\hat{a} \\
\hat{b}
\end{bmatrix}
= (B' B)^{-1} B' Y
\]

\[
\begin{bmatrix}
\hat{a} \\
\hat{b}
\end{bmatrix}
= (B' B)^{-1} B' Y
\]

(10)

Where \( \alpha^{(r)}(\hat{x}^{(r)}(1-r))(k) = \hat{x}^{(r)}(1-r)(k) - \hat{x}^{(r)}(1-r)(k-1) \) Then the forecasting values are \( \hat{x}^{(r)}(1), \hat{x}^{(r)}(2), \ldots, \hat{x}^{(r)}(n), \ldots \).

Step 5: The mean absolute percentage error (MAPE) is used for evaluating the models, which is calculated as:

\[
\text{MAPE} = 100\% \frac{1}{n} \sum_{k=1}^{n} \frac{|x^{(0)}(k) - \hat{x}^{(0)}(k)|}{x^{(0)}(k)}
\]  

(9)

Where \( r = 1 \), FGM(1,1) is the traditional GM(1,1).

## Calculation Process

Take Beijing as an example. The data from 2015 to 2020 are taken as the sample set. The traditional GM(1,1) model and the FGM(1,1) model are established respectively. The FGM(1,1) modelling process is as follows. The average annual concentration of PM\(_{2.5}\) is

\[
X^{(0)} = \{1179.1, 186.7, 124.5, 129.1, 98.3, 94.4\}
\]

The optimal fractional order found by the particle swarm algorithm (PSO) is 0.39, The 0.39-order accumulation sequence is

\[
X^{(0.39)} = \{179.1, 256.2072, 245.1979, 266.1071, 254.495, 256.4708\}
\]

The mean absolute percentage error (MAPE) is

\[
\text{MAPE} = 100\% \frac{1}{n} \sum_{k=1}^{n} \frac{|x^{(0)}(k) - \hat{x}^{(0)}(k)|}{x^{(0)}(k)}
\]  

(9)

Where \( r = 1 \), FGM(1,1) is the traditional GM(1,1).

## Model Validation

The fitting results of annual average concentration of PM\(_{2.5}\) in Beijing are shown in Table 3. The MAPE of the FGM(1,1) model is significantly lower than that of the traditional GM(1,1) model. The result of the FGM(1,1) model with the best score is obtained through PSO on Matlab R 2018b. In this order, the MAPE is the smallest and the predictive model (Xiangmei Meng et al., 2021). According to the above literature, the prediction accuracy of FGM(1,1) model and traditional GM(1,1) model is consistent with the fitting results of Beijing PM\(_{2.5}\) concentration. Wilcoxon signed rank test and 0.05 significance level 1 test show that the FGM(1,1) model is significantly

| Year | Actual value (\(\mu g/m^3\)) | GM(1,1) | FGM(1,1) |
|------|-------------------------------|---------|---------|
| 2015 | 179.1                         | 179.1   | 179.1   |
| 2016 | 186.7                         | 174.0276| 175.4988|
| 2017 | 124.5                         | 145.9061| 137.9843|
| 2018 | 129.1                         | 122.3289| 116.4324|
| 2019 | 98.3                          | 102.5614| 103.3933|
| 2020 | 94.4                          | 85.98821| 94.39992|
| MAPE |                               | 7.08\%  | 5.29\%  |

### Table 3: Fitting results of Beijing PM\(_{2.5}\).
RESULTS AND DISCUSSION

Extremely Polluted Air Quality Forecast

The forecast results of the annual average concentration of PM$_{2.5}$ in Beijing are listed in Table 4.

Similarly, the 8-hour ozone (8-h O$_3$) annual average 90% quantile concentration is also limited. Grey prediction theory is also applicable. In order to obtain higher-precision prediction values, the FGM(1,1) model is used to predict these indicators. Therefore, the annual average concentrations of inhalable particulate matter (PM$_{2.5}$) and 8-h O$_3$ in Beijing, Tianjin, and Shanghai from 2021 to 2025 are predicted by the FGM(1,1) model, respectively.

Figure 2 shows the changing trends of air indicators in Beijing, Tianjin, and Shanghai. Obviously, the annual average concentrations of PM$_{2.5}$ and 8-h O$_3$ in the three cities are decreasing and the ranges are different. Among these indicators, the annual average PM$_{2.5}$ concentration in 2020–2025 will exceed 50 $\mu$g/m$^3$ but not exceed 115 $\mu$g/m$^3$. These three cities will have mild pollution in the next 5 years. However, in 2024 and 2025, the annual average concentration of PM$_{2.5}$ in the three cities will not exceed 75 $\mu$g/m$^3$. Air quality will return to a good state under long-term control. Compared with the annual average concentration of PM$_{2.5}$, the annual average concentration of 8-h O$_3$ has continued to decrease. However, as of 2025, its concentration will still exceed the national secondary standard limit of 160$\mu$g/m$^3$. The 8-h O$_3$ concentration in Tianjin has decreased by less than 10 $\mu$g/m$^3$, and the annual average 8-h O$_3$ concentration in 2025 will be 214.28$\mu$g/m$^3$, which is the highest among the three cities. The air situation in Tianjin is still severe, and comprehensive improvement of air quality is still necessary.

Using similar methods, predict the annual average concentrations of PM$_{2.5}$ and 8-h O$_3$ in Shanxi, Hebei, Henan, Shandong, Jiangsu, Shaanxi and Anhui provinces respectively.

The change trends of air indicators in Shanxi, Hebei, Henan and Shandong provinces are shown in Figure 3. It can be seen from the figure that the downward trend of annual average PM$_{2.5}$ concentration is basically the same in the four provinces, and the trend of annual average concentration of 8-h O$_3$ varies. The annual average concentration of PM$_{2.5}$ declines rapidly and steadily from 2020 to 2025, which is better than the performance of the above three cities. In 2025, the annual average concentration of PM$_{2.5}$ in the three provinces except Shandong is less than 50$\mu$g/m$^3$. The air quality continues to improve substantially and becomes good. However, the forecast of the annual average concentration of PM$_{2.5}$ in Shandong province has performed poorly. It will still be lightly polluted in 2023, and will only slightly enter a state of good air quality in 2024–2025. The predicted performance of the annual average concentration of 8-h O$_3$ is far inferior to the annual average concentration of PM$_{2.5}$. Although the four provinces have a clear downward trend, they will still exceed the national secondary standard limit 160$\mu$g/m$^3$ in 2025. However, the annual average concentration of 8-h O$_3$ in Shanxi and Shandong provinces only dropped by 11$\mu$g/m$^3$ and 14$\mu$g/m$^3$. The annual 8-h O$_3$ concentration in Hebei and Henan provinces has declined steadily. Moreover, the annual average concentration of 8-h O$_3$ in Henan province will be 167.70$\mu$g/m$^3$ in 2025. The value is very close to the national secondary standard limit. If it drops by this amount, it is entirely possible that it will be less than 160$\mu$g/m$^3$ in 2026, that is, the ozone concentration will reach the standard.
The change trends of air indicators in Jiangsu, Shanxi and Anhui provinces are shown in Figure 4. From the figure, it can be seen that the annual average concentration of PM$_{2.5}$ and 8-h O$_3$ in Anhui Province has dropped significantly. The annual average concentration of PM$_{2.5}$ and 8-h O$_3$ in Jiangsu Province declined relatively slowly. The raw data of air quality indicators in Jiangsu, Shanxi and Anhui provinces are better than the other three cities and four provinces. By 2025, only Anhui province’s annual average concentration of PM$_{2.5}$ will fall below 50μg/m$^3$. In addition, the annual average concentration of PM$_{2.5}$ in Jiangsu province is 72.06μg/m$^3$ and will reach 75μg/m$^3$. At this time, the air quality is close to light pollution. The prediction of the annual average concentration of 8-h O$_3$ is relatively good. Among them, Shanxi province will be 161.53μg/m$^3$ in 2025, which is close to the national secondary standard limit. It is expected that the ozone concentration will reach the standard in 2026. Although the air quality prediction indexes of Jiangsu province, Shanxi province and Anhui province have performed well. However, relevant government departments must not relax their air governance easily.

**Discussion of Analysis**

Based on the above prediction results, it can be known that the lockdown of the COVID-19 will have a continuous impact on China’s extreme air pollution. That is, the annual average concentration of PM$_{2.5}$ in China will continue to decrease under lockdown, which is the same as the results of Shakoor et al. (2020) and (Yumin et al., 2021) research. However, the annual average concentration of O$_3$ will increase first and then decrease, which is the same as the results of the study by (Wei et al., 2021).

The reason for the decrease in the concentration of extreme air pollutants is inseparable from the national policy control, such as coal-to-gas, loose coal management, ultra-low emissions from coal combustion, and energy-saving transformation (Lifu et al., 2018). Another important aspect is that after the outbreak of the
COVID-19, China has actively adopted strict and comprehensive prevention and control measures. The whole society suspends work and production, and all employees are isolated at home (Hsiang et al., 2020). The simultaneous suspension of industry and construction has led to a sharp reduction in inhalable particulate matter. At the same time, home isolation restricts residents' traffic and travel, which sharply reduces the pollutants emitted by fuel vehicles. The lockdown of the epidemic has accelerated the reduction in the annual average concentration of PM$_{2.5}$. However, the annual average concentration of PM$_{2.5}$ in some cities did not decrease significantly. It is necessary to consider the shortage of epidemic prevention materials during the epidemic. Medical material production enterprises resumed work ahead of schedule and started production at full capacity. (http://finance.people.com.cn/n1/2020/0131/c1004-31565804.html) No inspection of medical rescue vehicles (http://www.baidu.com/z/2019/10/17/0405.html). However, after the reduction of PM$_{2.5}$, there is no barrier of haze, and sunlight can penetrate the air more easily. Although ultraviolet light is not directly related to killing viruses (Farooq et al., 2021), it provides more energy for surface ozone. So while the PM$_{2.5}$ concentration is reduced, ozone pollution is further aggravated (Li et al., 2019). This also indirectly proves the research conclusions of Shahzad et al. (2021). That is, there is a significant interaction between PM$_{2.5}$ in air quality and ozone and the transmission of new coronavirus. Ozone is the main factor that increases the spread of the COVID-19 (Sarwar et al., 2021), and the harsh environment will increase the death rate of the new crown virus (Fareed et al., 2020). Most ozone is produced by secondary conversion of anthropogenic NOX (nitrogen oxides) and VOCs (volatile organic compounds) under high-temperature light conditions. NASA researchers found that NO$_2$ first dropped sharply in Wuhan in a short period of time. At the same time, the NO$_2$ concentration in four metropolitan areas has declined (Cai et al., 2021). NO$_2$ mainly comes from toxic gases emitted by motor vehicles, power plants and industrial facilities. During the period of the epidemic blockade, the emissions of these toxic gases will be reduced, which will reduce the concentration of ozone. This is also in line with the above-mentioned prediction results.

CONCLUSION

This may be the first paper using grey theory to explore the impact of COVID-19 on extreme air pollution. The data from 2015 to 2020 are used for comparative analysis. This study uses the grey fractional model to predict extreme air pollution in ten regions of China in the next 4 years. The results show that COVID-19 blockade will reduce the concentration of extreme air pollutants in China. Research on extreme air pollutants during the lockdown period can reduce the impact of human factors on them. Thus, it can be considered directly from the source of extreme air pollution. Simply banning the discharge of certain pollutants will have limited governance effects, and sometimes adverse effects will occur. In response to China’s extreme air pollution. The main strategy is to further advance the adjustment of the industrial structure, further optimize the transportation structure, strengthen the reduction of nitrogen oxides and VOCs, promote the modernization of the governance system and capacity, and realize the coordinated control of ozone pollution and PM$_{2.5}$. (http://www.zangye.gov.cn/zyssfzxxgk/zwj_5652/zsf_5653/202009/t20200918_486525.html).

Finally, in an era where extreme air pollutants are proliferating, the normalized development of the COVID-19 can moderately improve extreme air pollution. However, the fundamental way to comprehensively improve extreme air pollution is to reduce fossil fuel consumption, switch to more environmentally friendly energy sources, develop green transportation networks, and avoid biomass burning. Specifically, the industry can be rationally planned, the energy structure can be changed, clean energy can be promoted, and clean production processes can be used. Continue to implement the vehicle number restriction policy, and strengthen the environmental supervision and management of relevant government departments. During the COVID-19, some unnecessary organizations and units have disintegrated or are on the verge of disintegration. Government departments should issue policies to prohibit unnecessary organizations that occupy public resources. And for a long period of time in the future, China will be required to remain in a semi-closed state to protect the health of the people and the quality of the environment. With the normalization of COVID-19, many pollutants have changed trends compared to the past. In future research, we will guide us to conduct a more comprehensive analysis of air quality.

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding author.

AUTHOR CONTRIBUTIONS

XP: Data curation, Investigation, Methodology, Project administration, Formal analysis, Resources, Validation, Visualization, Editing. MW: Writing-original draft. YZ: Conceptualization, Funding acquisition, Supervision.

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