An electric-field representation of the harmonic XY model

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Abstract. The two-dimensional harmonic XY (HXY) model is a spin model in which the classical spins interact via a piecewise parabolic potential. We argue that the HXY model should be regarded as the canonical classical lattice spin model of phase fluctuations in two-dimensional condensates, as it is the simplest model that guarantees the modular symmetry of the experimental systems. Here we formulate a lattice electric-field representation of the HXY model and contrast this with an analogous representation of the Villain model and the two-dimensional Coulomb gas with a purely rotational auxiliary field. We find that the HXY model is a spin-model analogue of a lattice electric-field model of the Coulomb gas with an auxiliary field, but with a temperature-dependent vacuum (electric) permittivity that encodes the coupling of the spin vortices to their background spin-wave medium. The spin vortices map to the Coulomb charges, while the spin-wave fluctuations correspond to auxiliary-field fluctuations. The coupling explains the striking differences in the high-temperature asymptotes of the specific heats of the HXY model and the Coulomb gas with an auxiliary field. Our results elucidate the propagation of effective long-range interactions throughout the HXY model (whose interactions are purely local) by the lattice electric fields. They also imply that global spin-twist excitations (topological-sector fluctuations) generated by local spin dynamics are ergodically excluded in the low-temperature phase. We discuss the relevance of these results to condensate physics.

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1. Introduction

The classical two-dimensional XY (2D-XY) spin model remains an area of active interest in condensed-matter physics. This is fuelled by its remarkable statistical mechanics [1–4] and its applicability to thin-film and layered ferromagnetic [5, 6], superfluid [7–9] and superconducting [9–15] phases, along with a wide variety of other experimental systems [16–21]. The system has a divergent spin-spin correlation length (and is therefore critical) in the low-temperature phase but is paramagnetic above the Berezinskii-Kosterlitz-Thouless (BKT) transition [1, 2] temperature. As the system passes through the transition from the low-temperature phase, tightly bound pairs of spin vortices – the local topological defects of the system – unbind and destroy the quasi-long-range order associated with the divergent correlation length.

Kosterlitz and Thouless (KT) discovered [2, 3] that the 2D-XY model is physically very similar to the two-dimensional Coulomb gas, where the BKT transition becomes the charge confinement-deconfinement transition first discovered by Salzberg and Prager [22]. Nelson and Kosterlitz [7] later extended this concept to two-dimensional superfluids and other condensates, in which the spins become the phases of the condensate wavefunction and the spin vortices becomes vortices in the superfluid velocity field. Ambegaokar et al. [23] used the Coulomb-gas description to derive a detailed theory of superfluid linear response, which was used to interpret experiments on helium-4 films [8]. The Hamiltonian of the Coulomb system is, however, quadratic, whereas the classical 2D-XY spins interact via a cosine potential.

In analytical terms, the original BKT ideas were considerably sharpened by the work of Villain [24], who constructed a model to approximate the 2D-XY partition function with a purely quadratic Hamiltonian. José et al. [4] used the Villain model to decouple the local topological defects from the spin waves (linear phase fluctuations) thereby demonstrating a mapping between the 2D-XY model and the two-dimensional Coulomb gas. Vallat and Beck (VB) later established mappings for the Villain and related models on the torus, and clarified many aspects of the Coulomb-gas description [25].

A question that one might reasonably ask is: is this decoupling between the spin vortices and spin waves really valid, and what would be the consequences of it not being valid? Clearly it is not valid at a microscopic level, because the internal energy of each spin difference is $2\pi$-modular symmetric, so that the spin vortices and spin waves can never be truly statistically independent in a microscopic spin model constructed purely from a set of spins. The Villain model sidesteps this issue by introducing a set of integer-valued variables that allow the Villain analogue of each spin difference to explore all values along the real line. The price that is paid for this is that the modular symmetry on the spin differences is relegated to the more general property of modular periodicity.

The simplest microscopic spin model that maintains the modular symmetry of a real spin or condensate system while retaining the Villain model’s attractive characteristic of having a purely quadratic Hamiltonian is the two-dimensional harmonic XY (HXY) model. This is a ‘pure spin model’ in the sense that it is constructed purely from a set of spins. These classical spins interact via a piecewise parabolic potential. The HXY model was introduced independently by VB [25, 26], who refer to it as the piecewise parabolic model, and by two of the present authors [27]. Compared to the Villain model, the HXY model should be seen as the more realistic classical lattice spin model of condensate physics, as well as being more easily visualized and more easily simulated; compared to the 2D-XY model, it avoids the many complications of non-linear spin waves and anharmonic couplings. It is therefore a worthy subject of attention and we consider it a valuable exercise to elucidate the relationship between the HXY model and the two-dimensional Coulomb gas, which is the principal aim of this work.

Our method is a development of that introduced in a previous paper, where we showed that the global topological properties of the BKT transition in the Coulomb gas on the torus can be understood by extending its phase space to include a freely fluctuating rotational or ‘auxiliary’ field [28]. This allowed us to map the problem on to the generalized lattice electric-field model that was introduced by Maggs and co-workers [29–34] as a computational tool for simulating Coulomb fluids. An advantage of applying our lattice electric-field method to the present problem is that it allows us to directly connect with a discovery that we made in our previous work: that the ergodic freezing of topological-sector fluctuations is a powerful signature of the BKT transition. Topological sectors are global topological defects in the lattice electric field, which can be generated by charges tracing closed paths around the torus. This idea has subsequently been extended in detail to a model of strongly interacting Bosons in a ring lattice [35] and has been suggested as a mechanism for the non-ergodic dynamics observed experimentally in layered cuprates at the superconducting transition [36]. Hence, the lattice electric-field method allows us to identify the nature of the topological-sector fluctuations of the HXY model, which are of direct relevance to fluctuations in experimental spin systems and condensates. A similar lattice-field model to that of
Maggs and co-workers has been applied in two spatial dimensions [37].

There are also more general motivations for the present study. The generalized lattice electric (or electromagnetic) field description of spin systems in fact has wider relevance. For example, it applies to the description of spin ice and other frustrated magnets in three spatial dimensions [38–40]. Hence, it seems relevant to showcase the power and simplicity of such a description in one definite case: the two-dimensional HXY model.

Our main conclusions may be summarized as follows. While the Villain model with a temperature-independent coupling constant maps exactly to the two-dimensional Coulomb gas with a freely fluctuating auxiliary field, the HXY model, as anticipated, does not. Such an exact mapping is easily seen to be impossible in a pure spin model, since the specific heat of a spin model with bounded spin differences is necessarily zero in the high-temperature limit, while a freely fluctuating auxiliary field contributes a constant term due to equipartition of energy. However, the HXY model is found to be a two-dimensional spin-model analogue of the Maggs-Rossetto (MR) generalized lattice electric-field model of Coulomb fluids [29], with a temperature-dependent vacuum (electric) permittivity that encodes the coupling between the HXY spin vortices and the background spin-wave medium. This permittivity could be a real object in experimental condensates. Our lattice electric-field representation further reveals that topological-sector fluctuations in the two-dimensional Coulomb gas with a freely fluctuating auxiliary field [28] correspond to global HXY spin-twist excitations, from which it follows that global HXY spin-twist excitations generated by local spin dynamics are ergodically excluded in the low-temperature limit.

The paper is organized as follows. In Section 2 we review the two-dimensional grand-canonical MR electrostatic model and discuss its equivalence to the Villain model with a temperature-independent coupling constant. In Section 3 we introduce the HXY model and discuss its excitations. In Section 4 we define the MR-type lattice electric-field representation of the HXY model, before showing that its Coulomb and auxiliary-field (spin-wave) components energetically decouple from one another. We then compute its helicity modulus and present numerical data that exhibits the BKT universal jump. Following this, the HXY model is shown to be a spin-model analogue of the MR model, but with a temperature-dependent vacuum permittivity that encodes the coupling of the spin vortices to the background spin-wave medium. We then present numerical data of the specific heats of the HXY and MR models and explain how the coupling between the HXY spin vortices and spin waves leads to the qualitative differences in their high-temperature asymptotes, before showing that HXY topological-sector fluctuations have the same qualitative behaviour as those of the MR model. In Section 5, we present an analysis of the microscopic mechanics of the HXY model, from which we elucidate the propagation of long-range interactions throughout the system before inferring that global HXY spin-twist excitations generated by local spin dynamics are ergodically excluded in the low-temperature phase. Conclusions and comparisons with experimental systems are discussed in Section 6.

Throughout this paper, all lattice vector fields are defined using the formalism of Chew [41]. As a consequence of this definition, the lattice vector-field components exist at the centres of the bonds that connect the lattice sites. The operators \( \vec{\nabla} \) and \( \vec{\nabla} \) are the forwards and backwards finite-difference operators on a lattice, respectively, and the lattice Laplacian is defined by \( \nabla^2 := \vec{\nabla} \cdot \vec{\nabla} \) [41]. All quantities that map between the MR and HXY systems will be assigned the same notation. All lattices are two-dimensional squares with periodic boundary conditions (PBCs) applied. The PBCs enforce the toroidal topology but the curvature of a true torus is not considered. The total charge of each system considered here is zero. For the MR models, we work in the grand-canonical ensemble.

## 2. The Maggs-Rossetto and Villain models

The Maggs-Rossetto (MR) generalized electrostatic model [29] transforms the lattice Coulomb fluid into a local problem by introducing a freely fluctuating, divergence-free auxiliary field to the system. The total lattice electric field \( \vec{E} \) is Helmholtz decomposed into its Poisson (divergence-full) \( -\vec{\nabla} \phi \), harmonic \( \vec{E} \), and auxiliary-field (rotational) \( \vec{E} \) components. This total electric field is the most general solution to Gauss' law of lattice electrostatics. The sum of the Poisson and harmonic components of the total electric field is then the electrostatic (or Coulomb) solution to Gauss' law, which describes the Coulomb charges and will be referred to as the Coulomb component of the lattice electric field throughout.

The grand partition function of the MR model is separable [28,29] into its Coulomb and auxiliary-field components so that the charge correlations are statistically independent of the auxiliary field \( \vec{E} \). The resultant MR algorithm [29] then consists of two types of local lattice electric-field update. The first type of update is the charge-hop update: for a positive elementary electric charge hopping from charge site \( \alpha \)
to an adjacent charge site \( \beta \), the corresponding lattice electric-field update is given by \( E_{\alpha \beta} \rightarrow E_{\alpha \beta} - q / 4 \epsilon_0 \), where \( E_{\alpha \beta} \) denotes the electric flux flowing directly from site \( \alpha \) to site \( \beta \) without passing through any other charge sites, \( q \) is the elementary charge and \( \epsilon_0 \) is the electric permittivity of the vacuum. A generalized electrostatic model has modular periodicity if each lattice electric-field component is able to make lone discrete jumps by integer multiples of some constant; it has modular symmetry if the internal energy of each lattice electric-field component is equivalent modulo this constant. Modular symmetry implies modular periodicity, but modular periodicity does not imply modular symmetry.

The charge-hop update alters both the Coulomb and auxiliary-field components of the electric field, thereby producing a greater change in the total internal energy density than that due to a change in the Coulomb component alone. The algorithm therefore separately samples the auxiliary field in order to allow the total fields to relax to field configurations of lower internal energy. This involves randomly selecting a lattice plaquette and then proposing a rotation of the lattice electric field around the plaquette such that the new field configuration still satisfies Gauss’ law for the unchanged charge configuration. These two local updates combine to explore the extended phase space of the Coulomb system and auxiliary field, thereby propagating the long-range Coulomb interactions throughout the system [29].

The harmonic mode of the lattice electric field \( \bar{\mathbf{E}} := \sum_{x \in D} \mathbf{E}(x) / N \) introduced above is the mean lattice electric field averaged over the whole lattice, where \( D \) and \( N \) are the set and number of charge-lattice sites, respectively. For the case of the two-dimensional MR model of elementary charges, one may adopt the origin-independent model [25,28] of the total harmonic mode of the lattice electric field, which is given by the sum of two components:

\[
\bar{\mathbf{E}} = \bar{\mathbf{E}}_p + \frac{q}{L \epsilon_0} \mathbf{w}.
\]

(1)

Here, \( \bar{\mathbf{E}}_p \) is the origin-independent polarization component of the harmonic mode, \( L \) is the linear system size and \( \mathbf{w} \) is the origin-independent integer-valued winding field. The winding field \( \mathbf{w} \) is fixed by the condition \( \bar{E}_{p,x/y} \in (-q/2L \epsilon_0, q/2L \epsilon_0) \) and defines the global topological sector of the system, which changes when a charge traces a closed path around the torus. For a given charge configuration, the polarization component \( \bar{\mathbf{E}}_p \) is then the low-energy solution of the harmonic mode of the lattice electric field.

The harmonic mode encodes all information about the electric permittivity. It is straightforward to show [25] that the inverse electric permittivity of the two-dimensional Coulomb gas is given by

\[
\epsilon^{-1}(L, T) = \epsilon_0^{-1} \left[ 1 - \frac{1}{2} \chi_{\bar{\mathbf{E}}}(L, T) \right],
\]

(2)

where \( \chi_{\bar{\mathbf{E}}}(L, T) := \beta \epsilon_0 L^2 \left( \langle \bar{\mathbf{E}}^2 \rangle - \langle \bar{\mathbf{E}} \rangle^2 \right) \) is the harmonic-mode susceptibility of the lattice electric field. The inverse electric permittivity \( 1/\epsilon \) measures the response of the harmonic mode of the electric field (due to the charges) to a global applied field in the zero-applied-field limit. In a simply connected space, \( \bar{\mathbf{E}} = -\sum_{x \in D} x \rho(x) / N \epsilon_0 \), from which it follows that the electric permittivity is intimately related to the charge correlations, and is therefore a signature of Coulomb physics. In the above, \( \rho(x) := q m(x) / a^2 \) is the charge density at \( x \) and \( m(x) \in \mathbb{Z} \) is the charge value at \( x \) in units of the elementary charge \( q \). It follows that \( \mathbf{\nabla} \cdot \mathbf{E}(x) = \rho(x) / \epsilon_0 \), i.e., Gauss’ law on the lattice is confirmed.

The Villain model is defined by the short-ranged interaction potential given by eq. (2.4) of ref. [21]. We now establish the relationship between the MR and Villain models. Sets of microscopic variables were introduced [28] to represent the two local updates of the algorithm proposed by MR (outlined above). A conjugate lattice \( D' \) is defined such that each of its sites is at the centre of a plaquette in \( D \) (with a one-to-one correspondence). Each site in \( D' \) is associated with a real-valued variable \( \varphi \in (-q/2, q/2) \) whose adjustment corresponds to an auxiliary-field rotation around the plaquette in \( D \) on which the site in \( D' \) exists, while each pair of nearest-neighbour sites in \( D' \) is associated with an integer-valued variable \( s \) whose adjustment corresponds to a charge-hop update in the MR algorithm (this is depicted in figs. 5 and 6 of ref. [28]). Both sets of variables are subject to PBCs. In this microscopic-variable representation, the grand partition function of the two-dimensional, grand-canonical MR model of multi-valued Coulomb charges is given by [28]

\[
Z = \sum_{\{s\}} \int \mathcal{D} \varphi \exp \left[ -\frac{\beta}{2 \epsilon_0} \sum_{(x,x')} |\varphi(x) - \varphi(x') + q s(x,x')|^2 \right] \times \exp \left( -\beta U_{\text{Core}} \right),
\]

(3)

where \( \sum_{(x,x')} \) is the sum over all nearest-neighbour lattice sites \( x, x' \in D' \), the sets \( \{s(x,x')\} \) and \( \{\varphi(x)\} \) are the sets of microscopic variables outlined above, \( \sum_{\{s\}} := \sum_{\{s(x,x') \in \mathbb{Z}\}} \) is the sum over all possible \( s \)-variable configurations,

\[
\int \mathcal{D} \varphi := \prod_{x \in D'} \left[ \int_{-q/2}^{q/2} d \varphi(x) \right]
\]

(4)

is the functional integral over all possible \( \varphi \)-variable configurations, \( U_{\text{Core}} := a^4 \sum_{x \in D} \epsilon c \left[ m(x) \right] [\rho(x)]^2 / 2 \) is the core-energy component of the grand-canonical
energy and $\epsilon_c(m)$ is the core-energy constant for charges $mq$ [with $\epsilon_c(m) = \epsilon_c(-m)$]. The core-energy component of the grand-canonical energy provides control over the number of each charge species [28]. We set $\{\epsilon_c(m) = 0 \forall m \in \mathbb{Z}\}/\{\epsilon_c(m = 0, \pm 1) = 0; \epsilon_c(m \neq 0, \pm 1) = \infty\}$ for the MR model of multivalued/elementary charges throughout. We reiterate ref. [24] with a temperature-independent interaction $V$.

Villain interaction potential defined by eq. (2.4) of temperature-independent coupling constant $J$ valued charges $2$-dimensional grand-canonical MR model of multi-precise equivalence.

This establishes the $\forall 0 \epsilon$ control over the number of each charge species [28].

Eq. (3) with $q = 2\pi, \epsilon_0 = 1/J$ and $\epsilon_c(m) = 0 \forall m \in \mathbb{Z}$ is the partition function for the short-ranged Villain interaction potential defined by eq. (2.4) of ref. [24] with a temperature-independent interaction coefficient (up to a multiplicative constant). We use $J$ to denote this interaction coefficient and refer to it as the temperature-independent coupling constant. This establishes the precise equivalence between the two-dimensional grand-canonical MR model of multivalued charges $2\pi m$ and the Villain model [24] with a temperature-independent coupling constant $1/J = 1/\epsilon_0$.

The Villain spin/integer-valued variables are then the $\varphi/s$ variables in eq. (3).

By comparison with the MR model, it is seen that the three excitations of the Villain model (with a temperature-independent coupling constant) are charges, linear phase fluctuations and topological-sector fluctuations (described in Section 1). However, note that the charges (local topological defects) are not spin vortices. This is because spin vortices are defined as local topological defects in a lattice vector field ($\Delta \varphi$; defined below) that is a function of the spins and nothing else. In turn, this is a direct consequence of its system possessing a modular symmetry with respect to each absolute spin difference. The two-dimensional MR model in fact only possesses the more general property of modular periodicity, rather than the stronger property of a modular symmetry. A lattice Coulomb gas statistically decoupled from its auxiliary field – and hence the Villain model with a temperature-independent coupling constant – does not reflect two-dimensional condensate physics on a microscopic level, where the local topological defects are phase (or spin) vortices due to the modular symmetry of the physical system. In the next section we turn to the HXY model, in which this difficulty is eliminated.

3. The HXY model

The HXY model is a spin model comprised of an ordered array of spins fixed at the sites of a square lattice. Its Hamiltonian is given by

$$H_{\text{HXY}}(L) = \frac{J}{2} \sum_{\mathbf{x} \in D'} |\Delta \varphi(\mathbf{x})|^2,$$

where $J > 0$ is now the HXY coupling constant, $D'$ is the set of all spin-lattice sites, and $\Delta \varphi$ is the modular spin-difference field, defined (component-wise) via

$$[\Delta \varphi]_\nu \left( \mathbf{x} + \frac{a}{2} \mathbf{e}_\nu \right) := \begin{cases} \delta \varphi^x_\nu + 2\pi, & \delta \varphi^x_\nu \in [-2\pi, -\pi], \\ \delta \varphi^x_\nu, & \delta \varphi^x_\nu \in (-\pi, \pi], \\ \delta \varphi^x_\nu - 2\pi, & \delta \varphi^x_\nu \in [\pi, 2\pi]. \end{cases}$$

Here, $\delta \varphi^x_\nu := \varphi(x + a\mathbf{e}_\nu) - \varphi(x) \ (\nu \in \{x, y\})$ is the absolute spin difference and $\varphi \in (-\pi, \pi)$ is now the phase of each constituent spin of the system, which we refer to as the spin at each lattice site. Two-dimensional condensates can be modelled with a continuum Hamiltonian that is quadratic in the gradient of the phases of the condensate wavefunction. This assumes that the condensate consists of a large number of Bosons so that amplitude fluctuations in the condensate wavefunction are negligible [7]. The square-lattice analogue is then the HXY model, whose spins correspond to the condensate phases.

The above HXY Hamiltonian is composed of two symmetries: one is the global $U(1)$ symmetry, while the other is the $2\pi$-modular symmetry with respect to each absolute spin difference $\delta \varphi^x_\nu$. The modular symmetry allows the system to admit spin vortices, which are local topological defects in the modular spin-difference field $\Delta \varphi$. This local excitation is supplemented with global ‘spin-twist’ excitations (topological-sector fluctuations; discussed further in Section 5) when the system is placed on the torus. Spin waves are thermal fluctuations around the local minimum-energy field configuration that describes each spin-vortex and topological-sector configuration. As noted above, the MR and Villain models also admit three excitations, which are closely related to those of the HXY model. The principal difference is that in the HXY model the local topological defects are spin vortices, whereas in the MR and Villain models they cannot be interpreted in this way (see above). Similarly, the HXY topological sectors (or global topological defects) are global spin-twist excitations, but this is not the case for the MR and Villain topological sectors. We discuss global spin twists in detail in Section 5.

Finally, the modular spin-difference field $\Delta \varphi$ is Helmholtz decomposed [2] into its divergence-free and divergence-full components, where the divergence-free component $\Delta \varphi$ describes the local minimum-energy configuration of the total modular spin-difference field of each spin-vortex configuration (superposed with the global excitations), and the divergence-full component (or spin-wave field) $\Delta \psi$ describes thermal fluctuations around those minima:

$$\Delta \varphi(\mathbf{x}) = \Delta \varphi(\mathbf{x}) + \Delta \psi(\mathbf{x}).$$

(7)
4. Coulomb physics in the HXY model

4.1. Electric-field representation and universal jump

We now define the lattice electric field $\mathbf{E}$ of the HXY model:

$$\mathbf{E}(x) := \frac{J}{a} \begin{bmatrix} \Delta \varphi_{xy}(x + ae_x/2) \\ -\Delta \varphi_{xy}(x + ae_y/2) \end{bmatrix},$$

where $a$ is the lattice spacing and $x \in D$ is a site on the (conjugate) MR lattice. The lattice electric field $\mathbf{E}$ is Helmholtz decomposed into its Poisson, harmonic and auxiliary-field components. Combining eqs. (7) and (8), it then follows that the divergence-free/divergence-full field $\Delta \Phi / \Delta \psi$ becomes purely irrotational/rotational in the lattice electric-field representation:

$$-\nabla \phi(x) + \tilde{\mathbf{E}} = \frac{J}{a} \begin{bmatrix} [\Delta \varphi]_{xy}(x + ae_x/2) \\ -[\Delta \varphi]_{xy}(x + ae_y/2) \end{bmatrix};$$

$$\tilde{\mathbf{E}}(x) = \frac{J}{a} \begin{bmatrix} \Delta \psi_{xy}(x + ae_x/2) \\ -[\Delta \psi]_{xy}(x + ae_y/2) \end{bmatrix}.$$ (10)

The (irrotational) Coulomb component of the lattice electric field [eq. (9)] describes the spin vortices with the global excitations superposed, while the (rotational) auxiliary-field component [eq. (10)] describes the spin waves. For brevity, we will now refer to quantities expressed in the lattice electric-field representation using the terms of their electrical counterparts.

Combining eqs. (7) and (9) then produces the lattice Gauss law of two-dimensional electrostatics with $\epsilon_0 = 1/J$ and $q = 2\pi$:

$$\nabla \cdot \mathbf{E}(x) = \rho(x),$$

where $\rho(x) := 2\pi m(x)/a^2$ is the charge density at $x$, and $m(x) \in \mathbb{Z}$ is the charge value at $x$ in units of $2\pi$. Further to this, the harmonic mode of the lattice electric field is of the same form as eq. (1), but again with $\epsilon_0 = 1/J$ and $q = 2\pi$, where we have made the observation that charges given by $m(x) \neq 0, \pm 1$ are not permitted at any charge site in the HXY model.

Upon combining eqs. (6) and (7) with (9)–(11), the HXY Hamiltonian becomes:

$$H_{\text{HXY}}(L) = \frac{a^4 J}{2} \sum_{x_i, x_j \in D} \rho(x_i) G(x_i, x_j) \rho(x_j)$$

$$+ \frac{L^2}{2J} |\tilde{\mathbf{E}}|^2 + \frac{a^2 J}{2} \sum_{x \in D} |\tilde{\mathbf{E}}(x)|^2,$$ (12)

where $G$ is the Green’s function of the two-dimensional lattice Coulomb gas. Fig. 1 shows the thermal average of the internal energy of the Coulomb (irrotational) component of the lattice electric field of HXY systems of linear size $L = 16$ and $32$ as functions of temperature $T$ as computed by two different methods. For the first method, we applied a linear solver to the charge configuration of each spin configuration to find the lattice Green’s function in eq. (12). We then added the internal energy of the harmonic mode $\tilde{\mathbf{E}}$ to the first term in eq. (12) to compute the internal energy without the auxiliary-field term (the ‘ghost’ solution). For the second method, we measured the total internal energy after quenching the same spin configuration, where we quenched each spin configuration by performing 10000 Monte Carlo sweeps at $T = 0$. The data shows close agreement between the two methods, thus confirming agreement between the two methods, thus confirming agreement between the two methods, thus confirming agreement between the two methods, thus confirming agreement between the two methods.
where
\[
\left[\epsilon^H_0(L,T)\right]^{-1} := 2J \sum_{n=1}^{\infty} (-1)^{n+1} \langle \cos \left( \frac{n\pi}{J} \hat{E}_x(0) \right) \rangle \tag{14}
\]
and
\[
\chi^H_\tilde{e}(L,T) := \beta \epsilon^H_0(L,T) L^2 \left( \langle \hat{E}^2 \rangle - \langle \hat{E} \rangle^2 \right) \tag{15}
\]
are the temperature-dependent inverse vacuum permittivity and harmonic-mode susceptibility of the HXY model, respectively. The temperature-dependent vacuum permittivity is a function of the second derivative of the Hamiltonian with respect to the each Cartesian component of the lattice electric field at each lattice site, which are each periodic on \((-\pi J/a, \pi J/a]\). The Fourier series is used to properly account for the piecewise-periodic property of the Hamiltonian, and therefore for the statistical coupling between the HXY Coulomb and auxiliary fields (described below).

Fig. 2 shows the HXY helicity modulus \(\Upsilon_{100}\) (whose Fourier series has been cut off at \(n = 100\)) as a function of temperature \(T\) for systems of linear size \(L = 8\) to 64. The data suggests that the helicity modulus \(\Upsilon\) tends towards the BKT universal jump at the transition temperature \(T_{BKT} \simeq 1.35J/k_B\) as system size increases, which is consistent with the expected BKT transition in the HXY model.

4.2. Statistical coupling of the Coulomb and auxiliary fields
In the previous subsection, we confirmed that the Coulomb and auxiliary-field components of the HXY lattice electric field energetically decouple, and also that the system experiences a BKT transition. These are both properties of a two-dimensional MR lattice Coulomb gas statistically independent of its auxiliary field. However, the HXY Coulomb and auxiliary-field components are not statistically independent of one another. This can be seen in the context of a quasi-MR description of the partition function:

\[
Z_{\text{HXY}} = \left( \frac{a}{J} \right)^{2N} \int \mathcal{D}\hat{e} \exp \left[ -\frac{\beta a^2}{2J} \sum_{x \in D} |\tilde{e}(x)|^2 \right] \times \exp \left[ -\frac{\beta a^4 J}{2} \sum_{x, y} \rho(x) G(x, y) \rho(y) \right] \times \exp \left( -\frac{\beta L^2}{2J} |\bar{\hat{E}}_p + 2\pi J/L \vec{w}|^2 \right) e^{\beta \mu n}, \tag{16}
\]

which is derived using a similar method \cite{28} to that used to separate the grand partition function of the MR model into two distinct components. Here, \(\mu := -2\pi^2 J G(0)\) is the chemical potential for the introduction of a charge \(\tilde{e}^{\dagger}\). \(G(0) := G(x, x)\) is the diagonal element of the Green's function, \(n\) is the number of charges present in the system, and \(\int \mathcal{D}\hat{e}\) is defined via

\[
\int \mathcal{D}\hat{e} := \prod_{x \in D} \int_{-\pi J/a - 2\pi^2 J G(0) / (2\pi)/a^2}^{\pi J/a} \frac{d\tilde{e}_x(x + a e_x/2)}{2\pi} \times \prod_{y \in D} \int_{-\pi J/a - 2\pi^2 J G(0) / (2\pi)/a^2}^{\pi J/a} \frac{d\tilde{e}_y(x + a e_y/2)}{2\pi} \times \delta \left( \sum_{x \in D} \tilde{e}(x) \right) \prod_{x \in D} \delta \left( \hat{\nabla} \cdot \tilde{e}(x) \right), \tag{17}
\]

where \(\bar{\hat{E}}\) is the Coulomb component of the lattice electric field.

The exponents related to the Coulomb component of the lattice electric field in eq. \(16\) cannot be taken outside of the functional integral over the auxiliary-field component of the lattice electric field: the \((-\pi J/a, \pi J/a]\) bound on both the \(x\) and \(y\) components of the total lattice electric field at each charge site results in the available configurations of its auxiliary-field component being a function of each charge configuration. Hence, while the Coulomb and auxiliary-field components of the lattice electric field energetically decouple (see fig. \([1]\), the partition function itself is not separable into distinct Coulomb

\[\dagger\] The \((a/J)^{2N}\) factors in the analogues of eq. \(16\) in the appendices of ref. \cite{28} were missed in error. We also note that all partition functions presented here are functions of linear system size \(L\) and temperature \(T\).
and auxiliary-field components. It follows that the Coulomb and auxiliary-field components of the lattice electric field are not statistically independent of one another, and hence that the auxiliary field is nonfreely fluctuating. The HXY model is therefore not a precise MR model, but rather a spin-model analogue the MR model with a temperature-dependent vacuum permittivity [eq. (14)] that encodes the coupling between the HXY Coulomb and auxiliary fields. The coupling is a consequence of the HXY charges being spin vortices, since the \((-\pi/J/\alpha, \pi/J/\alpha)\) bound allows the HXY charges to be defined as local topological defects in a lattice vector field that is a function of the spins and nothing else.

This has interesting consequences for the specific heat of the HXY model. Fig. 3 shows the specific heats \(C_X/N\) of the HXY model and the two-dimensional grand-canonical MR models of both elementary and multi-valued charges as functions of temperature \(T\) for systems of linear size \(L = 16\). \(X = H_{\text{ext}}/\mu_m\) for the HXY/MR model, where \(H_{\text{ext}}\) is the magnitude of an externally applied magnetic field and \(\mu_m\) is the chemical potential for the introduction of a charge \(m\). The data suggests qualitative differences in the high-temperature asymptotes of each model, which we explain in the text using a mode-counting argument. We use units such that \(J = \epsilon_0 = k_B = \alpha = 1\). Simulation details are described in Appendix B.

Each pair of low- and high-temperature asymptotes are separated by a peak. We now explain these qualitative differences using a mode-counting argument [43].

Each charge site of a hypercubic lattice of an MR model has \(d\) independent lattice electric-field components associated with it, where \(d\) is the spatial dimension of the lattice. As a consequence of equipartition of energy, each of these \(Nd\) Gaussian modes contributes \(k_B/2\) to the specific heat of the grand-canonical MR model of multi-valued divergences \(C_{\mu_m}\). In the limit of low temperature, the absence of charge means that \(\nabla \cdot \mathbf{E}(x) = 0\) everywhere. This constraint on the lattice electric field at each charge site leaves \(N(d-1)\) contributions of \(k_B/2\) to the specific heat. Hence, \(C_{\mu_m}(T \to 0) = N(d-1)k_B/2 = Nk_B/2\) for the two-dimensional case. At high temperature, if the charge density at each lattice site is unbounded, this constraint is fully lifted and \(C_{\mu_m}(T \to \infty) = Nk_B/2\) and the peak separates the equal low- and high-temperature asymptotes. For the HXY model, the charge density is also bounded at all temperatures at each charge site, resulting in one constraint on the lattice electric fields per charge site. In addition to this, the phase space of the auxiliary field is also bounded, resulting in an additional constraint per charge site on the lattice electric fields in the high-temperature limit. As a consequence of this, the high-temperature limit of the HXY specific heat is zero: \(C_{H_{\text{ext}}}(T \to \infty) = 0\). The constraint on the harmonic mode [eq. [5]] produces a negligible effect in the thermodynamic limit of each model.

This provides an accurate qualitative description of the specific heat of each model through the BKT transition, and explains the strikingly different high-temperature asymptotes of the numerical specific heats presented in fig. 3. Recalling from Section 2 that the multi-valued MR model with \(q = 2\pi\) and \(\epsilon_0 = 1/J\) is equivalent to the Villain model with a temperature-independent coupling constant \(J\), this difference in the high-temperature specific-heat behaviour displays a clear consequence of the Villain model not being a pure spin model, i.e., of its local topological defects not being spin vortices. However, given that the specific heat is derived from the second derivative of the logarithm of the partition function with respect to temperature, it follows that the Villain model with a carefully chosen temperature-dependent coupling constant \(J(T)\) could (qualitatively) reproduce the high-temperature specific-heat behaviour of the HXY model. This question is a topic for future work.

Finally, we note that the HXY specific-heat data
4.3. Topological-sector fluctuations

Now that we have seen the effect of the statistical coupling of the HXY Coulomb and auxiliary-field components on the specific heat of the system in its high-temperature limit, we turn to a comparison of topological-sector fluctuations in the HXY model with those in the ‘standard’ two-dimensional Coulomb gas statistically decoupled from its auxiliary field. As described in Section 2, the topological sector of the standard Coulomb gas changes when a charge traces a closed path around the torus. It is therefore a measure of charge deconfinement, and its fluctuations were shown to transition from zero to a finite value as the standard Coulomb gas (restricted to local charge dynamics only; global dynamics are described below) is heated through the BKT transition \[28\]. To make this comparison, we note that the HXY harmonic-mode susceptibility [eq. \([15]\)] has a component that only measures the fluctuations in the winding field \(\bar{E}_w\). We therefore define the HXY winding-field susceptibility

\[ \chi^H_w(L, T) := \beta \chi^H_0(L, T) T^2 \left( \langle \bar{E}_w^2 \rangle - \langle \bar{E}_w \rangle^2 \right). \]  

This function measures topological-sector fluctuations in the HXY model, in direct analogy with the function used to measure topological-sector fluctuations in the standard Coulomb system \[28\].

Fig. 4 shows numerical estimates of the HXY winding-field susceptibility \(\chi^H_{100}\) [whose Fourier series [eq. \([14]\)] has been cut off at \(n = 100\)] and the winding-field susceptibility of the standard two-dimensional Coulomb gas of elementary charges \(\chi^CG_w\) as functions of temperature \(T\) for systems of linear size \(L = 8\) and 16. For each linear system size \(L\), \(\chi^H_{100}(L, T) \leq \chi^CG_w(L, T) \forall T\), with the difference between \(\chi^H_{100}(L, T)\) and \(\chi^CG_w(L, T)\) increasing as a function of \(T\). The standard Coulomb gas data and definition of \(\chi^CG_w\) are taken from ref. [28]. We use units such that \(J = \epsilon_0 = k_B = a = 1\). Simulation details are described in Appendix B.

Local Coulomb charge dynamics may be complemented with a global dynamics akin to a charge instantaneously tracing a closed path around the torus. This global dynamics instantaneously changes the topological sector of the system. Restricting to configurations of zero charge in the standard two-dimensional Coulomb gas, the probability of a topological-sector fluctuation generated by global charge dynamics is system-size independent \[28\]. Such topological-sector fluctuations therefore persist down to the zero-temperature limit. This is compared with topological-sector fluctuations in the standard Coulomb system (of non-zero charge) restricted to local charge dynamics being strictly suppressed in the low-temperature phase by the logarithmically divergent energy barrier to charge deconfinement. It follows that ergodicity is broken between the topological sectors of the standard Coulomb gas restricted to local charge dynamics in the low-temperature phase, where topological-sector fluctuations are ergodically frozen \[28\]. In HXY systems restricted to zero charge, topological-sector fluctuations generated by global charge dynamics also persist down to the zero-temperature limit by the same reasoning. The data in fig. 4 suggests the suppression of HXY topological-sector fluctuations due to local HXY charge dynamics in the low-temperature phase, hence it follows that ergodicity is also broken in the low-temperature phase of the HXY model.
5. Global spin twists

In Section 4 we established that, while the HXY lattice electric field does not statistically decouple into its Coulomb and auxiliary-field components, the system is a spin-model analogue of the MR model, and its topological-sector fluctuations have the same qualitative behaviour as those of the precise MR model. Here we demonstrate that the coupling between the Coulomb and auxiliary-field components of the HXY lattice electric field transform the ergodic freezing of topological-sector fluctuations into the stronger property of the ergodic exclusion of global HXY spin-twist excitations.

The microscopic mechanics of (respectively) charge and auxiliary-field fluctuations in the MR model are depicted in figs. 5 and 6 of ref. [28]. Upon setting $\epsilon_0 = 1/J$ and $q = 2\pi$, the $\varphi$-variable decrease by an amount $\Delta$ shown in fig. 6 of ref. [28] (an MR auxiliary-field rotation) is then equivalent to an HXY spin rotation that does not alter the charge (or spin-vortex) configuration of the system. This corresponds to a rotation of the lattice electric-field flux around the plaquette on which the spin exists by an amount $\Delta J$. An HXY charge hop, however, is a two-step process, and is the result of a particular type of spin rotation. As shown in fig. 5 if a spin rotation results in an absolute spin difference $\delta\varphi^x$, leaving the set $(-\pi, \pi]$, the consequential application of the modular symmetry of the Hamiltonian causes the charge to hop across to the relevant adjacent charge site. This is equivalent to the superposition of a charge hop (fig. 5 of ref. [28]) and an auxiliary-field rotation (fig. 6 of ref. [28]) in the MR model. The propagation of long-range interactions throughout the HXY model (whose interactions are purely local) then follows by the MR mechanism. We emphasize that the mechanics of the HXY charge hop discussed here are due to the HXY charges being spin vortices: the composite charge-hop mechanism is special to a system of spin vortices and does not apply to the MR model.

We now reverse our reasoning and analyse the effect on the spins of an HXY charge hopping through the system. As depicted in Appendix A, an HXY charge that traces a closed path around the torus through an (initially) global-twist-free spin configuration results in a global spin-twist excitation, where the direction through which the spins have twisted is perpendicular to that which the charge has followed in tracing the closed path around the torus: $E_{x/y} \rightarrow \tilde{E}_{x/y} + 2\pi J/L \Rightarrow [\Delta \tilde{\varphi}]_{y/x} \rightarrow [\Delta \tilde{\varphi}]_{y/x} + 2\pi a/L$, where $\Delta \tilde{\varphi}$ is the harmonic mode of the modular spin-difference field. This is a global HXY spin-twist excitation generated by local charge dynamics. To quantify these global twists, we define the low-energy (for a given charge configuration) harmonic mode of the modular spin-difference field to be $\Delta \varphi_p$ such that

$$\Delta \varphi = \Delta \varphi_p + \frac{2\pi a}{L}t,$$

where $t$ is the integer-valued spin-twist field, and corresponds to the number of global spin-twist excitations in the system. Given that the global spin twists appear due to charges tracing closed paths around the torus, it follows from combining the HXY analogue of eq. (1) with eqs. (5) and (19) that $(w_x, w_y) = (t_y, -t_x)$: global HXY spin-twist excitations correspond to non-zero topological sectors of the lattice electric field. The suppression of topological-sector fluctuations generated by local charge dynamics in the low-temperature phase therefore corresponds to the suppression of global HXY spin-twist excitations generated by local charge dynamics. We note that $|t_x/y| < L/2a$.

In Section 4.3 we argued that HXY topological-sector fluctuations generated by local charge dynamics are ergodically frozen in the low-temperature phase. It follows that global HXY spin-twist excitations generated by local charge dynamics are ergodically excluded in the low-temperature phase. This corresponds to a stronger restriction (on the spins) than the more general ergodic exclusion of non-zero values of the global topological sector of the standard Coulomb gas (i.e., the Villain model with a temperature-independent coupling constant, whose spins are not restricted).

6. Conclusions

We presented a lattice electric-field representation of the two-dimensional harmonic XY (HXY) spin model in the context of the Maggs-Rossetto (MR) generalized electrostatic model. It was then demonstrated that the HXY model is a spin-model analogue of the MR model, but with a temperature-dependent vacuum permittivity that encodes the coupling of the spin vortices to the background spin-wave medium. This coupling results in qualitatively different asymptotic high-temperature specific-heat behaviour in the HXY and MR models; the HXY specific-heat data appears to resemble experimental specific-heat data of thin-film liquid helium-4 [44]. The topological-sector fluctuations that signal the high-temperature phase of each system, however, display the same qualitative behaviour over the investigated temperature range. We also made the observation that the two-dimensional MR model is equivalent to the Villain model with a temperature-independent coupling constant. This is with respect to the grand-canonical lattice electric-field model of multi-valued charges, not the specific algorithm outlined by Maggs and Rossetto [29].
Figure 5. A charge hop in the HXY model: The spin at the centre of the diagram has its value decreased by an amount $\pi/2 + \omega$ (where we consider the small, positive $\omega$ to ensure that the relevant spin difference leaves the set $(-\pi, \pi]$). This spin rotation is equivalent to an MR auxiliary-field rotation and is followed by an intrinsic modular-symmetry update: the lattice electric field experiences the equivalent of an MR charge-hop update, with $E_{12} + \pi J/2 + \omega J \rightarrow E_{12} + \pi J/2 + \omega J - 2\pi J$, where $E_{12}$ denotes the electric flux flowing directly from site 1 to site 2 without passing through any other charge sites. The red arrows represent the direction of the spin fixed at each spin site; the intersections of the red dashed lines represent the spin lattice; the large filled red circles represent the (positive) charge/spin-vortex centres; the empty circles represent the unoccupied charge/spin-vortex sites; the black arrows between adjacent charge sites represent the electric flux flowing from one site to the other in the direction of the arrow (with the arrow thickness representing the relative absolute value of the electric flux); the blue arrow represents the direction of the spin rotation.

Topological-sector fluctuations in the standard two-dimensional Coulomb gas correspond to global HXY spin-twist excitations. This correspondence is due to the non-zero topological sectors of the electric field of the Coulomb system mapping to global twist configurations in the spin field of the HXY system. As a consequence of this, global HXY spin-twist excitations generated by local HXY charge (or spin-vortex) dynamics are ergodically excluded in the low-temperature phase. This may explain the recently measured [36] non-ergodic dynamics in layered cuprates at the superconducting transition, where the spins become the phases of the condensate wavefunction.

Further to this, it follows from the Feynman path-integral mapping of ultracold Bosons in a ring lattice that non-zero global spin-twist and topological-sector configurations in the anisotropic 2D-XY model correspond to thermal phase slips in the Bosonic system, and that fluctuations in these 2D-XY configurations potentially signal the dramatic jump in the susceptibility of quantum phase slips at the superfluid – Mott insulator transition [35].

We finally note that the lattice electric-field representation of the HXY model presented here allows signatures of the magnetic and Coulomb systems to be compared. The finite-size magnetization of the HXY model is intimately related to the electric permittivity of the Coulomb gas, and also to the superfluid density of condensate films [45]. In the future, it will be interesting to explore this connection in more detail.

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Appendix A. Microscopic mechanics of global HXY spin-twist excitations generated by local charge dynamics

Figs. A1 to A5 depict the creation of a global HXY spin twist by local HXY charge dynamics. PBCs are applied in both directions. Fig. A1 shows a neutral charge pair that has been created out of the vacuum. In figs. A2 to A4 the positive charge traces a closed path around the torus in the $x$ direction until it annihilates the negative charge in fig. A4. The remnant minimum-energy field configuration is then shown in fig. A5 (up to a global rotation). The electric-field representation is superimposed in black. The final spin configuration is a global spin twist $t_y = -1$ in the $y$ direction, while the final electric-field configuration has $w_x = -1$, which corresponds to a non-zero global topological sector.

Appendix B. Simulation details

The data in fig. 1 were generated from one run of a Metropolis Monte Carlo HXY simulation of $10^5$ sweeps per spin and 10000 quench sweeps per measurement.
Figure A1. A neutral HXY charge pair. The red arrows are the spins; the intersections of the red dashed lines represent the spin lattice; the red/blue circle is a positive/negative charge; the empty circles are empty charge sites.

Figure A2. The positive charge hops in the positive x direction. The curly dashed black arrow represents a charge hop.

Figure A3. The positive charge hops in the positive x direction again.

Figure A4. The positive charge hops in the positive x direction once more, annihilating the negative charge.

The remaining data sets presented in this paper were averaged over multiple Metropolis Monte Carlo runs of $10^6$ spin-update/charge-hop sweeps per lattice site for the HXY/MR models. 5 auxiliary-field sweeps were performed per charge-hop sweep for the MR data. The data in fig. 2 were averaged over 64 runs for the systems of linear size $L = 8, 16$ and 64, and 48 runs for the system of linear size $L = 32$. The MR data in fig. 3 were averaged over 64 runs for all data points except $T = 1.15 - 1.6$ and $T = 1.85 - 2.0$ for the elementary MR model, which were averaged over 256 runs; the HXY data in fig. 3 were averaged over 32 runs. The HXY data in fig. 4 were averaged over 64 runs; the MR data in fig. 4 were averaged 256 runs.

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Figure A5. The minimum-energy field configuration corresponding to fig. A4 (up to a global rotation). The electric-field representation is superimposed in black.