Observation of $B^+ \to p\bar{p}\pi^+$, $B^0 \to p\bar{p}K^0$, and $B^+ \to p\bar{p}K^{*+}$

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Abstract

We report the first observation of a \( b \to u \) type charmless baryonic \( B \) decay, \( B^+ \to p\bar{p}\pi^+ \), as well as \( b \to s \) type \( B^0 \to p\bar{p}K^0 \) and \( B^+ \to p\bar{p}K^{*+} \) decays. The analysis is based on a 78 fb\(^{-1} \) data sample recorded on the \( \Upsilon(4S) \) resonance with the Belle detector at KEKB. We find \( \mathcal{B}(B^+ \to p\bar{p}\pi^+) = (3.06^{+0.73}_{-0.62} \pm 0.37) \times 10^{-6} \), \( \mathcal{B}(B^0 \to p\bar{p}K^0) = (1.88^{+0.77}_{-0.60} \pm 0.23) \times 10^{-6} \), and \( \mathcal{B}(B^+ \to p\bar{p}K^{*+}) = (10.3^{+3.6+1.3}_{-2.8-1.7}) \times 10^{-6} \). We also update \( \mathcal{B}(B^+ \to p\bar{p}K^+) = (5.66^{+0.67}_{-0.57} \pm 0.62) \times 10^{-6} \), and present an upper limit on \( \mathcal{B}(B^0 \to p\bar{p}K^{*0}) \) at the 90\% confidence level. A common feature of the observed decay modes is threshold peaking in baryon pair invariant mass.

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The Belle Collaboration recently reported the observation of $B^+ \to p\bar{p}K^+$ \cite{1} and $B^0 \to p\Lambda\pi^-$ \cite{2} decays. These are the first examples of $B$ meson decays to charmless three-body final states containing baryons, and are candidates for $b \to s$ penguin transitions. Our observation of these modes has stimulated much theoretical interest \cite{3, 4, 5, 6, 7, 8, 9}. Due to these decay channels may be used to test our theoretical understanding of rare decay processes involving baryons and search for direct $CP$ violation. One interesting feature of the observation is that the baryon pair mass spectra seem to peak toward threshold as originally conjectured by Refs. \cite{10, 11}. Lately more speculations on this are proposed \cite{7, 8, 9}. In this letter we report the first observation of $B$ meson decays to charmless three-body final states containing baryons.

We use a 78 fb$^{-1}$ data sample, consisting of 85.0 ± 0.5 million $B\bar{B}$ pairs, collected by the Belle detector at the KEKB asymmetric energy $e^+e^-$ collider \cite{12}. The Belle detector is a large solid angle magnetic spectrometer that consists of a three layer silicon vertex detector (SVD), a 50 layer central drift chamber (CDC), an array of aerogel threshold Čerenkov counters (ACC), a barrel-like arrangement of time of flight scintillation counters (TOF), and an electromagnetic calorimeter comprised of CsI (Tl) crystals located inside a superconducting solenoid coil that provides a 1.5 T magnetic field. An iron flux return located outside of the coil is instrumented to detect $K^0_S$ mesons and to identify muons. The detector is described in detail elsewhere \cite{14}.

The event selection criteria are based on the information obtained from the tracking system (SVD+CDC) and the hadron identification system (CDC+ACC+TOF), and are optimized using Monte Carlo (MC) simulated event samples. All primary charged tracks are required to satisfy track quality criteria based on the track impact parameters relative to the interaction point (IP). The deviations from the IP position are required to be within ±1 cm in the transverse ($x$–$y$) plane, and within ±3 cm in the $z$ direction, where the $z$ axis is defined by the positron beam line. Proton, kaon and pion candidates are selected using $p/K/\pi$ likelihood functions obtained from the hadron identification system. For protons, we require $L_p/(L_p + L_K) > 0.6$ and $L_p/(L_p + L_\pi) > 0.6$, where $L_{p(K/\pi)}$ stands for the proton/kaon/pion likelihood. We require $L_K/(L_K + L_\pi) > 0.6$ to identify kaons and $L_\pi/(L_K + L_\pi) > 0.6$ for pions. $K^0_S$ candidates are reconstructed via the $\pi^+\pi^-$ decay channel and have an invariant mass with $|M_{\pi^+\pi^-} - M_{K^0_S}| < 30$ MeV/c$^2$. The candidate must have a displaced vertex and flight direction consistent with a $K^0_S$ originating from the interaction point. We use the selected kaons and pions to form $K^{*-} (\to K^0_S\pi^+)$ and $K^{*-0} (\to K^+\pi^-)$ candidates by requiring the invariant mass of the combination to be within 80 MeV/c$^2$ of the $K^*$ mass. The numbers used are 892 MeV/c$^2$ and 896 MeV/c$^2$ for $M_{K^{*+}}$ and $M_{K^{*-0}}$, respectively. To ensure the decay process is genuinely charmless, we apply the charm veto. The regions $2.85 < M_{pp} < 3.128$ GeV/c$^2$ and $3.315 < M_{pp} < 3.735$ GeV/c$^2$ are excluded to remove background from modes with $\eta_c$, $J/\psi$ and $\psi'$, $\chi_{co}$, $\chi_{cl}$ mesons, respectively. The region $2.262 < M_{pK^0_S} < 2.310$ GeV/c$^2$ is excluded to remove $\Lambda_c$ candidates.

Since the initial collision center of mass energy is set to match the $\Upsilon(4S)$ resonance, which decays into a $B\bar{B}$ pair, one can use the following two kinematic variables to identify the reconstructed $B$ meson candidates: the beam constrained mass $M_{bc} = \sqrt{E_{\text{beam}}^2 - p_B^2}$, and the energy difference $\Delta E = E_B - E_{\text{beam}}$, where $E_{\text{beam}}$, $p_B$, and $E_B$ are the beam energy,

\[ \text{(1)} \]

\[ \text{(2)} \]
the momentum, and energy of the reconstructed $B$ meson, respectively, in the rest frame of the $\Upsilon(4S)$. The candidate region is defined as $5.2 \text{ GeV}/c^2 < M_{bc} < 5.29 \text{ GeV}/c^2$ and $|\Delta E| < 0.2 \text{ GeV}$.

The dominant background arises from the continuum $e^+e^- \rightarrow q\bar{q}$ process, with much smaller contributions from “cross-feed”, where similar types of rare decay events pass each other’s signal criteria. The background from charm-bearing and charmless mesonic decays is negligible. In the $\Upsilon(4S)$ rest frame, continuum events are jet-like while $B\bar{B}$ events are more spherical. One can use the reconstructed momenta of final state particles to form various shape variables (e.g. thrust angle, Fox-Wolfram moments, etc.) in order to categorize each event. We follow the scheme defined in Ref. [15] that combines seven event shape variables into a Fisher discriminant [16] in order to suppress continuum background. The variables chosen have almost no correlation with $M_{bc}$ and $\Delta E$. Probability density functions (PDFs) for the Fisher discriminant and the cosine of the angle between the $B$ flight direction and the beam direction in the $\Upsilon(4S)$ rest frame are combined to form the signal (background) likelihood $\mathcal{L}_{s(b)}$. The signal PDFs are determined using signal MC simulation; the background PDFs are obtained from the continuum MC simulation for events with $5.27 \text{ GeV}/c^2 < M_{bc} < 5.29 \text{ GeV}/c^2$ and $|\Delta E| < 0.1 \text{ GeV}$. We require the likelihood ratio $\mathcal{L}R = \mathcal{L}_s/\mathcal{L}_{s+b}$ to be greater than $0.8$, $0.9$, $0.85$, $0.8$, and $0.8$ for $pp\bar{K}^+$, $pp\pi^+$, $pp\bar{K}^0_S$, $pp\bar{K}^{*+}$, and $pp\bar{K}^{*0}$ modes, respectively. The selection points are determined by optimization of $S/\sqrt{(S+B)}$, where $S$ and $B$ denote the number of signal and background, respectively. Note that a nominal signal branching fraction of $4 \times 10^{-6}$ is assumed.

Since the previous studies [1, 2] showed an enhancement at low baryon-antibaryon invariant mass, we first focus on the near threshold region by requiring $M_{pp} < 2.85 \text{ GeV}/c^2$ to make sure it is below charmonium threshold. The $M_{bc}$ distributions (with $|\Delta E| < 0.05 \text{ GeV}$), and the $\Delta E$ distributions (with $M_{bc} > 5.27 \text{ GeV}/c^2$) for the $pp\bar{K}^+$, $pp\pi^+$, $pp\bar{K}^0_S$, and $pp\bar{K}^{*+}$ modes are shown in Fig. 1. We use an unbinned likelihood fit to estimate the signal yield:

$$L = \prod_{i=1}^{N} [f_s P_s(M_{bc_i}, \Delta E_i) + (1 - f_s) P_b(M_{bc_i}, \Delta E_i)],$$

where $P_s(P_b)$ denotes the signal (background) PDF and $f_s$ is the signal fraction of the total $N$ candidates. For the signal PDF, we use a Gaussian in $M_{bc}$ and a double Gaussian in $\Delta E$. We fix the parameters of these functions to values determined by MC simulation. Background shapes are studied using sideband events: $0.1 \text{ GeV} < |\Delta E| < 0.2 \text{ GeV}$ for the $M_{bc}$ study and $5.20 \text{ GeV}/c^2 < M_{bc} < 5.26 \text{ GeV}/c^2$ for $\Delta E$. These shapes are confirmed with a continuum MC sample. We use the following parametrization first used by the ARGUS collaboration, $f(M_{bc}) \propto M_{bc}\sqrt{1-x^2}\exp[-\xi(1-x^2)]$, to model the $M_{bc}$ background, where $x$ is defined as $M_{bc}/E_{beam}$ and $\xi$ is a parameter to be fit. The $\Delta E$ background shape is modeled by a first order polynomial. There are possible cross-feeds from $pp\bar{K}^{*+}$ and $pp\bar{K}^{*0}$ modes to $pp\bar{K}^+$, $pp\pi^+$ and $pp\bar{K}^0_S$ modes; therefore the cross-feed region ($\Delta E < -0.16 \text{ GeV}$) is excluded in the fit. Since the $pp\pi^+$ mode can contain non-negligible cross-feed events from the $pp\bar{K}^+$ mode, we include the $pp\bar{K}^+$ MC cross-feed shape in the fit for the determination of the $pp\pi^+$ yield. The fit results are shown in Fig. 1 by solid curves. The fit yields are $96.4^{+11.2}_{-10.5}$, $37.4^{+8.1}_{-7.7}$, $11.3^{+4.1}_{-3.4}$, and $14.5^{+4.6}_{-4.0}$ with significances of $15.3$, $6.7$, $5.1$, and $6.0$ standard deviations for the $pp\bar{K}^+$, $pp\pi^+$, $pp\bar{K}^0_S$, and $pp\bar{K}^{*+}$ modes, respectively. The significance is defined as $\sqrt{-2\ln(L_0/L_{max})}$ [17], where $L_0$ and $L_{max}$ denote the likelihood with signal yield fixed at zero and at the fitted value, respectively.
Although we do not have adequate statistics to perform a full Dalitz plot analysis, the observed distribution is not uniform over phase space. To reduce the model dependence in determining the branching fraction, we fit the signal yields separately in nine bins of \(M_{p\bar{p}}\), and correct for the detection efficiencies from MC simulation in each bin. In Fig. 2 we show the signal yield versus \(M_{p\bar{p}}\), with three-body phase space from MC (normalized in area) superimposed. The observed mass distributions all peak at low \(p\bar{p}\) mass. The branching fractions \(\mathcal{B}\) in bins of \(M_{p\bar{p}}\) for the observed modes are given in Table I. The upper limit of the last bin is different for each mode and is equal to the kinematic limit, \(M_{p\bar{p}}\text{–lim}\). We sum the partial branching fractions to obtain the total branching fractions. The results are listed in Table II and the branching fractions below charmonium threshold, \(M_{p\bar{p}} < 2.85\text{ GeV}/c^2\), are also listed for comparison. Note that \(\mathcal{B}(B^0 \rightarrow p\bar{p}K^0) = 2\mathcal{B}(B^0 \rightarrow p\bar{p}K^0_S)\) is assumed.

The search for \(p\bar{p}K^{*0}\) gives a yield of \(13\pm 6\) events with a significance of about 3 standard deviations. Since it is less significant, we use the fit results to estimate the expected background and compare this with the observed number of events in the signal region in order to set the upper limit on the yield at the 90% confidence level \([18, 19]\). Note that the systematic uncertainty is needed in this estimation. The upper limit yield of the \(p\bar{p}K^{*0}\) mode in the full \(M_{p\bar{p}}\) range is determined to be 57 at the 90% confidence level. The branching fraction is found to be \(\mathcal{B}(B^0 \rightarrow p\bar{p}K^0) < 7.6 \times 10^{-6}\).

Systematic uncertainties are studied using high statistics control samples. For proton identification, we use a \(\Lambda \rightarrow p\pi^-\) sample, while for \(K/\pi\) identification we use a \(D^{*+} \rightarrow D^0\pi^+, D^0 \rightarrow K^-\pi^+\) sample. Tracking efficiency is studied with fully and partially reconstructed \(D^*\) samples. \(K^0_S\) reconstruction efficiency is studied with a \(D^- \rightarrow K^0_S\pi^-\) sample. The \(\mathcal{LR}\) continuum suppression uncertainty is studied with \(B^+ \rightarrow J/\psi K^+, B^0 \rightarrow J/\psi K^0_S, B^+ \rightarrow J/\psi K^{*+},\) and \(B^0 \rightarrow J/\psi K^{*0}\) (with \(J/\psi \rightarrow \mu^+\mu^-\)) control samples. Based on these studies, we assign a 1% error for each track, 3% for each proton identification, 2% for each kaon/pion identification, 5% for \(K^0_S\) reconstruction and 6% for the \(\mathcal{LR}\) selection.

The systematic uncertainty in the fit yield is studied by varying the parameters of the signal and background PDFs. We assign an error of 5% for the \(p\bar{p}\pi^+\) mode and 4% for the other modes. There is a possibility of non-resonant \(K^0_S\pi^-\) combinations passing our \(K^{*+}\)

| \(M_{p\bar{p}}\) (GeV/c\(^2\)) | \(p\bar{p}K^+\) | \(p\bar{p}\pi^+\) | \(p\bar{p}K^0_S\) | \(p\bar{p}K^{*+}\) |
|----------------|--------|--------|--------|--------|
| 1.876 – 2.0    | 0.94\(^{+0.23}_{-0.20}\) | 0.29\(^{+0.15}_{-0.13}\) | 0.38\(^{+0.15}_{-0.14}\) | 1.40\(^{+1.01}_{-0.74}\) |
| 2.0 – 2.2      | 1.33\(^{+0.31}_{-0.28}\) | 0.57\(^{+0.23}_{-0.29}\) | 0.17\(^{+0.15}_{-0.10}\) | 2.11\(^{+1.17}_{-0.90}\) |
| 2.2 – 2.4      | 1.20\(^{+0.29}_{-0.28}\) | 0.39\(^{+0.19}_{-0.16}\) | 0.00\(^{+0.12}_{-0.12}\) | 1.01\(^{+1.00}_{-0.65}\) |
| 2.4 – 2.6      | 0.85\(^{+0.25}_{-0.22}\) | 0.46\(^{+0.21}_{-0.17}\) | 0.06\(^{+0.12}_{-0.06}\) | 0.64\(^{+0.91}_{-0.56}\) |
| 2.6 – 2.8      | 0.51\(^{+0.21}_{-0.17}\) | 0.05\(^{+0.12}_{-0.12}\) | 0.08\(^{+0.12}_{-0.06}\) | 0.95\(^{+0.94}_{-0.60}\) |
| 2.8 – 3.4      | 0.53\(^{+0.22}_{-0.18}\) | 0.00\(^{+0.04}_{-0.04}\) | 0.14\(^{+0.15}_{-0.10}\) | 1.19\(^{+1.09}_{-0.71}\) |
| 3.4 – 4.0      | 0.15\(^{+0.15}_{-0.11}\) | 0.15\(^{-0.11}_{-0.11}\) | 0.00\(^{-0.07}_{-0.07}\) | 2.07\(^{+1.33}_{-1.28}\) |
| 4.0 – 4.6      | 0.00\(^{+0.08}_{-0.08}\) | 0.15\(^{+0.21}_{-0.21}\) | 0.10\(^{+0.19}_{-0.10}\) | 0.93\(^{+1.75}_{-1.75}\) |
| \(4.6 – M_{p\bar{p}\text{–lim}}\) | 0.20\(^{+0.17}_{-0.12}\) | 1.00\(^{-0.45}_{-0.45}\) | 0.00\(^{+0.11}_{-0.11}\) | n/a |
We study this by fitting for the signal and a first order polynomial background to estimate the yield in bins of $M_{p\bar{p}}$ range and below the charm threshold ($M_{p\bar{p}} < 2.85\text{GeV}/c^2$) for the $p\bar{p}K^+\pi^+$, $p\bar{p}\pi^+$, $p\bar{p}K_0^0$ and $p\bar{p}K^{*+}$ modes. Statistical and systematic errors are quoted.

| mode                      | full $M_{p\bar{p}}$ range | $M_{p\bar{p}} < 2.85\text{GeV}/c^2$ |
|---------------------------|----------------------------|--------------------------------------|
| $B^+ \rightarrow p\bar{p}K^+$ | $5.66^{+0.67}_{-0.55} \pm 0.62$ | $4.89^{+0.59}_{-0.55} \pm 0.54$ |
| $B^+ \rightarrow p\bar{p}\pi^+$ | $3.06^{+0.73}_{-0.62} \pm 0.37$ | $1.76^{+0.42}_{-0.37} \pm 0.21$ |
| $B^0 \rightarrow p\bar{p}K^0$ | $1.88^{+0.77}_{-0.66} \pm 0.23$ | $1.56^{+0.52}_{-0.49} \pm 0.19$ |
| $B^+ \rightarrow p\bar{p}K^{*+}$ | $10.3^{+3.6+1.3}_{-2.8-1.7}$ | $6.7^{+2.4+0.9}_{-2.0-1.1}$ |

cut. We study this by fitting for the $B$ yield in bins of $M_{K^0_{S\pi^+}}$. Choosing a Breit–Wigner signal and a first order polynomial background to estimate the $B^+ \rightarrow p\bar{p}K^{*+}$ branching fraction, the number drops by 9%. We include this uncertainty in the estimation of signal yield for the $p\bar{p}K^{*+}$ mode. The MC statistical uncertainty and modeling with nine $M_{p\bar{p}}$ bins contributes a 2% error in the branching fraction determination. The error on the number of $B\bar{B}$ pairs is determined to be 1%, where an assumption is made that the branching fractions of $\Upsilon(4S)$ to neutral and charged $B\bar{B}$ pairs are equal.

We first sum the correlated errors linearly (e.g., total 5% tracking error for the $p\bar{p}K^{*+}$ mode) and then combine with the uncorrelated ones in quadrature. The determined systematic uncertainties are 11%, 12%, 12%, $^{+13\%}_{-16\%}$, and 13% for the $p\bar{p}K^+$, $p\bar{p}\pi^+$, $p\bar{p}K^0$, $p\bar{p}K^{*+}$, and $p\bar{p}K^{*0}$ modes, respectively.

Using the charm veto events, we perform a cross-check of our analysis. We determine $B \rightarrow J/\psi K^*(\pm)$ branching fractions by following the same analysis procedure with $3.07 \text{ GeV}/c^2 < M_{p\bar{p}} < 3.11 \text{ GeV}/c^2$ and using $B(J/\psi \rightarrow p\bar{p}) = (2.12 \pm 0.10 \times 10^{-3})$ [17]. The obtained branching fractions are $B(B^+ \rightarrow J/\psi K^+) = (1.17^{+0.12}_{-0.13} \pm 0.16) \times 10^{-3}$, $B(B^0 \rightarrow J/\psi K^0) = (1.16^{+0.24}_{-0.23} \pm 0.16) \times 10^{-3}$, $B(B^+ \rightarrow J/\psi K^{*+}) = (1.08^{+0.51}_{-0.42} \pm 0.17) \times 10^{-3}$, and $B(B^0 \rightarrow J/\psi K^{*0}) = (1.40^{+0.27}_{-0.26} \pm 0.21) \times 10^{-3}$, which are in agreement with the world average values [17].

The present results, shown in Table II, offer valuable information for understanding the mechanism of charmless baryonic $B$ decay. In particular, the threshold peaking behavior is now firmly established. The $B^+ \rightarrow p\bar{p}K^+$ data can be used to constrain the production of narrow glueball states that decay to $p\bar{p}$ [7]. With the current statistics, we can not set a very stringent bound. It should be noted that the observed $B^+ \rightarrow p\bar{p}\pi^+$ rate is less than $B^+ \rightarrow p\bar{p}K^+$, which is consistent with what is observed in $B \rightarrow K\pi, \pi\pi$ modes. The $B^0 \rightarrow p\bar{p}K^0$ rate is considerably lower than that of the $B^+ \rightarrow p\bar{p}K^+$ mode which should be contrasted with $B^{0,+} \rightarrow \pi^0 K^{0,+}$ modes and also $B^{0,+} \rightarrow J/\psi K^{0,+}$ modes. This indicates that the intermediate $p\bar{p}$ system is nontrivial. These modes are of interest for direct $CP$ violation searches. For the $B^+ \rightarrow p\bar{p}K^+$ and $p\bar{p}\pi^+$ modes that have larger statistics, we define the charge asymmetry as $(N_{B^-} - N_{B^+})/(N_{B^-} + N_{B^+})$ and find the values are $-0.05 \pm 0.11 \pm 0.01$ and $-0.16 \pm 0.22 \pm 0.01$ respectively. The systematic error is determined by checking the null asymmetry with a $B \rightarrow D(\rightarrow K\pi)\pi$ control sample. The measured asymmetries are consistent with zero for their large statistical uncertainties.

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FIG. 1: $M_{bc}$ and $\Delta E$ distributions for (a) $p\bar{p}K^+$, (b) $p\bar{p}\pi^+$, (c) $p\bar{p}K_S^0$, and (d) $p\bar{p}K^{*+}$ modes, for $M_{p\bar{p}} < 2.85$ GeV/$c^2$.
FIG. 2: Fitted signal yield divided by the bin size for (a) \( ppK^+ \), (b) \( pp\pi^+ \), (c) \( ppK^0_s \), and (d) \( ppK^{*+} \) modes in bins of \( M_{pp} \). The shaded distribution is from the phase-space MC simulation with area normalized to signal yield.