Automated cerebellar segmentation: Validation and application to detect smaller volumes in children prenatally exposed to alcohol

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Abstract
Objective: To validate an automated cerebellar segmentation method based on active shape and appearance modeling and then segment the cerebellum on images acquired from adolescents with histories of prenatal alcohol exposure (PAE) and non-exposed controls (NC).

Methods: Automated segmentations of the total cerebellum, right and left cerebellar hemispheres, and three vermal lobes (anterior, lobules I–V; superior posterior, lobules VI–VII; inferior posterior, lobules VIII–X) were compared to expert manual labelings on 20 subjects, studied twice, that were not used for model training. The method was also used to segment the cerebellum on 11 PAE and 9 NC adolescents.

Results: The test–retest intraclass correlation coefficients (ICCs) of the automated method were greater than 0.94 for all cerebellar volume and mid-sagittal vermal area measures, comparable or better than the test–retest ICCs for manual measurement (all ICCs > 0.92). The ICCs computed on all four cerebellar measurements (manual and automated measures on the repeat scans) to compare comparability were above 0.97 for non-vermis parcels, and above 0.89 for vermis parcels. When applied to patients, the automated method detected smaller cerebellar volumes and mid-sagittal areas in the PAE group compared to controls (p < 0.05 for all regions except the superior posterior lobe, consistent with prior studies).

Discussion: These results demonstrate excellent reliability and validity of automated cerebellar volume and mid-sagittal area measurements, compared to manual measurements. These data also illustrate that this new technology for automatically delineating the cerebellum leads to conclusions regarding the effects of prenatal alcohol exposure on the cerebellum consistent with prior studies that used labor intensive manual delineation, even with a very small sample.

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1. Introduction

The cerebellum is involved in postural control, gait, balance, and coordination of bilateral movements. Recent work has demonstrated that the neocerebellum, comprising of the lateral hemispheres, vermal lobules VI–VII, and the dentate nucleus, is involved in cognitive tasks, such as executive function (Berman et al., 1995; Raichle et al., 1994; Schlosser et al., 1998), verbal fluency (Appollonio et al., 1993), verb generation (Fiez et al., 1996), working memory (Fiez et al., 1996), and source memory (Tamagni et al., 2010). Moreover, the cerebellum is of special interest to the study of brain development since it has been shown to have greater vulnerability to damage in the perinatal period (Tam et al., 2011). Despite the clear evidence that the cerebellum underlies cognitive and motor functions and is vulnerable during neurodevelopment, very few human neuroimaging studies have measured cerebellar volumes in part due to the paucity and limitations of automated cerebellar segmentation algorithms. Instead, imaging studies of the human cerebellum have relied on manual segmentation to delineate the cerebellar hemispheres and vermis.

Manual segmentation has problems when used in large scale imaging studies, as it is slow, and error-prone, and consistency between operators is difficult to obtain and maintain. A few automatic or semi-automatic cerebellar segmentation algorithms have been published (Cerasa et al., 2009; Hwang et al., 2011; Powell et al., 2008; van der Lijn et al., 2012; Weier et al., 2012; Zhao et al., 2010), but these methods (including Freesurfer) only provide a delineation of the total cerebellum or cerebellar hemispheres (and not the cerebellar vermis). Given that the cerebellar vermis has been repeatedly implicated in diseases such as alcoholism (Andersen, 2004; Baker et al., 1999; Cavanagh et al., 1997), fetal alcohol spectrum disorders (Archibald et al., 2001; Maier...
et al., 1999; O’Hare et al., 2005; Ramadoss et al., 2007; Sowell et al., 1996), and autism (Brambilla et al., 2003; Scott et al., 2009; Webb et al., 2009), these existing cerebellar segmentation methods do not delineate the vermis are highly limited for clinical research.

Many techniques exist to divide a medical image into regions with similar properties such as gray level, color, texture, brightness and contrast. Medical image segmentation based on texture features has been explored, but has never gained popularity (Haralick et al., 1973). Early techniques based on gray level features such as histogram thresholding, edge based segmentation, and region based segmentation generally do not perform well on images with complex anatomy such as the brain (Sharma and Aggarwal, 2010). Segmentation of the cerebellum is especially challenging, because the cerebellar foliations are ~0.5 mm, leading to extensive partial voluming within the cerebellum at the standard 1 mm³ resolution of T1-weighted images. Therefore, any segmentation method that seeks to delineate more than the outer cerebellar boundary must use prior knowledge. The state of the art and most popular methods for medical image segmentation are atlas based approaches (e.g., Freesurfer) and model based approaches (e.g., deformable models, active shape and appearance modeling), both of which use prior knowledge of the structure to be segmented. Atlas based segmentation relies on appropriate atlas formation and selection, and accurate registration of the image to be segmented to the atlas. At least two atlases of normal adult human brain anatomy include delineation of the cerebellar vermis and lobes (Diedrichsen et al., 2009; Rohlfing et al., 2010), and may be used to parcellate the cerebellum. In our experience, atlas-based methods do not provide reliable estimation of cerebellar volumes or mid-sagittal areas (test–retest reliabilities range from 0.15 to 0.70, work in progress) and are better suited for integration with functional imaging methods to identify anatomical regions where functional activation occurs, which is the use recommended by the developers of these atlases. Therefore, we have developed a Bayesian implementation of active appearance modeling for measurement of cerebellum volume. In this model the structure of the cerebellum and its subparcels is assumed to have a repetitive form of geometry that can be modeled probabilistically from hand-delineated examples. The probabilistic model of cerebellar geometry can be used to enforce a strong prior during segmentation, where candidate segmentations matching the model are more likely to be chosen as the correct parcellation.

Accurate automated cerebellar segmentation would be valuable in the study of clinical samples, such as fetal alcohol spectrum disorders (FASD). Although not all children with histories of prenatal exposure to alcohol meet the diagnostic criteria for fetal alcohol syndrome (FAS) (Kuehn et al., 2012), many with heavy exposure exhibit functional abnormalities. Children with FASD show smaller total cerebellar volumes and smaller mid-sagittal vermal areas of the anterior lobe (vermis lobules I–V) and posterior inferior lobe (vermis lobules VIII–X).

2. Methods

2.1. Cerebellar Analysis Toolkit (CATK) overview

CATK functions as a fully-automated T1 MRI cerebellum delineation and parcellation tool. An active profile–appearance modeling (AAM, Patenaude, 2007; Cootes, 2000; Cootes et al., 2001) framework is used and the toolkit is currently capable of parcellating the cerebellum into five structures: left and right hemispheres, and three vermal lobes (I–V, VI–VII, and VIII–X), although further development is planned to extend the algorithm to segmentation of the left and right cerebellar nuclei. The CATK is based on statistical shape and appearance models learned from a training set of examples hand-delineated by Neuroromorphometrics, Inc. The advantage of this is that strong prior knowledge about the cerebellum inherent in the data (such as the overall shape of the cerebellar vermal lobes) is taken into account during segmentation, resulting in a segmentation method that enforces smoothness according to probable variations specific to the structures. Fig. 1 shows a broad overview of the components of the algorithm. The basic components are: data preparation (e.g., intensity normalization, pose correction, cerebellum localization), statistical model generation, and model fitting (for new samples).

2.1.1. CATK description

To create the statistical shape model, the training images are first linearly aligned using a 9 degrees of freedom model (rotation, translation, and scale) (Woods et al., 1998a,b) with the ICBM-152 template. Shapes are parameterized by a point distribution model (Cootes, 2000; Cootes et al., 2001) derived from stellar meshes. Once training images are aligned, projecting rays from a central point to a label boundary implicitly provides vertex correspondence. For subparcels (like the hemispheres and vermal lobes), multiple projection centers are used to maximize correspondence with the ground truth labels while allowing the covariance between related surfaces to be modeled. For example, the three lobes of the vermis are modeled by a single statistical model containing three surfaces. While the spherical assumption limits the topology of the mesh, modeling errors are minimal for the designated structures, and a number of computational benefits are provided. For instance, the connectivity of the points is implicit which allows fast conversion to triangular mesh surfaces, and models can be easily re-sampled at multiple resolutions which enables both coarse and refined fitting. The ability to control the shape dimension in this way is a significant advantage for statistical modeling as it impacts directly on the number of examples required to obtain good shape parameterization. The point distribution model projects each high-dimensional shape vector onto a low-dimensional eigenspace, having at maximum as many principal modes as examples in the training set.
Similarly, a statistical intensity model is generated by sampling 1-D profiles normal to the surface at each vertex.

Using this statistical model, new images are parcelled by using the template alignment (rotation, translation, and scale) to initialize the position of the average shape, and then applying a three-stage iterative procedure: The first stage refines the initial position while keeping the shape parameters fixed, after which the second stage adjusts the vertices by maximizing the correlation between observed and reference intensity profiles subject to the closest set of shape parameters. This allows the surface to adapt to new examples while ensuring that they exist within the subspace of possible shapes. Finally, a refinement stage uses conjugate gradient optimization to maximize the conditional intensity–shape distribution using a Bayesian formulation. Owing to its poor lateral boundary distinction, an additional refinement step is applied to central vertices of the vermis in order to improve the accuracy of sagittal area measurements.

2.1.2. CATK training and validation

Expert manual cerebellar labels were obtained from Neuromorphometrics, Inc. (http://www.neuromorphometrics.com) on 63 unique subjects, 20 of whom were imaged and labeled in two separate imaging studies. The 43 subjects without repeat data were used for model training, and the 20 subjects studied twice were used for validation of the model. The average age of the 43 subjects used for training was 44 ± 30 years (range 5–96 years), with 13 children (age 5–17 years), 19 adults (age 18–70 years), and 11 elderly (age 71–96 years). There were 21 males and 22 females in the training set, and only one training subject was left-handed. The average age of the 20 subjects used for validation was 23 ± 4 years (range 19–34 years). There were 8 men and 12 women in the validation set, and all validation subjects were right-handed. Neuromorphometrics uses protocols for defining neuroanatomical regions and custom software that were developed originally as part of the Center for Morphometric Analysis at the Massachusetts General Hospital (Harvard Medical School), and all labels were verified by a neuroanatomy expert. Neuromorphometrics provided labeling for the total cerebellum, left and right cerebellar hemispheres and nuclei, and three cerebellar vermis lobes (lobules I–V, VI–VII, and VIII–X).

2.2. Clinical application in FASD

Two groups of children between the ages of 10 and 18 were included in this study: 13 children with histories of heavy prenatal alcohol exposure (PAE group) and 9 non-exposed control children (NC group). Children were drawn from a larger study of the behavioral teratogenicity of alcohol and were recruited via several mechanisms including professional or self-referral and community outreach. Inclusion in this study required having English as the primary language, no history of head trauma, serious medical condition, or MRI contraindications (e.g., non-removable metallic implants, claustrophobia).

Inclusion in the PAE group required a documentation of heavy maternal alcohol consumption during pregnancy, defined as 4 or more alcoholic drinks per single occasion at least once per week, or 14 or more drinks per week on average. Whenever possible, exposure was confirmed using medical history, birth records, social services records, or maternal report. However, direct maternal report was not common as many of these children no longer resided with their biological families. Thus, precise details about alcohol consumption (i.e., dose and timing) were often unavailable. In these cases, mothers were reported to be “alcoholic” or alcohol abusing or dependent in pregnancy. In addition to psychometric testing and questionnaire screening, a diagnosis of FAS was determined by Dr. Kenneth Lyons Jones, a dysmorphologist, using a standardized assessment (Jones et al., 2006; Mattson et al., 2010). FAS was diagnosed in the presence of structural abnormality (i.e., two or more of the following facial features: short palpebral fissure length, smooth philtrum, thin vermilion border) and either growth deficiency (height or weight ≤ 10%) or microcephaly (occipital–frontal circumference ≤ 10%). Based on this screening process, 6 alcohol-exposed children received a diagnosis of FAS. Children in the NC group were excluded if greater than minimal prenatal alcohol exposure was reported.
(i.e., < 1 absolute alcoholic drink/day prior to pregnancy recognition). As the majority of children in this group reside with their biological mothers, teratogenic exposure was determined through direct maternal report. Children in the NC group were screened for prenatal alcohol exposure through a telephone interview process and completion of a parent questionnaire that elicited information concerning alcohol consumption during pregnancy.

Informed consent and assent were obtained from the legal guardians and participants in accordance with the Institutional Review Boards of San Diego State University (SDSU) and the University of California San Diego (UCSD). Following informed consent all children were scanned at the UCSD Keck Center for Functional MRI on a 3T General Electric Signa Excite using an 8 channel head coil. T1-weighted 3D images were acquired for 17 subjects with the following parameters: TR, 8 ms; TE, 3.0 ms; flip angle, 12°; matrix, 256 × 256 × 192; FOV, 240 × 240 mm; total acquisition time, 7 min and 4 s. For the remaining five subjects, the following scanning parameters were used: TR, 8 ms; TE, 3.1 ms, flip angle, 8°; matrix, 256 × 256 × 192, FOV 256 × 256 mm; acquisition time, 7 min and 24 s. Final reconstructed voxel size for all images was 1 × 1 × 1 mm.

2.3. Statistics

2.3.1. CATK algorithm validation

Intraclass correlation coefficients (ICCs) were used to measure the consistency or reproducibility of the manual cerebellar measurements compared to the CATK generated automated cerebellar measurement. ICCs were computed on the manual labelings of the 20 subjects with two separate scan sessions to assess the test–retest reliability of manual delineation (ICCs above 0.8 are considered excellent), and also on the CATK applied to the two separate scan session data to determine CATK test–retest reliability. ICCs were also computed over all four measurements (two manual, two CATK) on each cerebellar structure (total cerebellum, right and left hemispheres, three vermal lobes), to assess the agreement between manual and automated measures. We also computed the mean Dice Coefficient for each cerebellar measure for the two scan sessions of the same 20 subjects used to compute ICCs. The Dice Coefficient is a spatial overlap measure between CATK and gold-standard labeling, with 100% being perfect (Crum et al., 2005). Lastly, we computed the RMS mesh error (0 is perfect) between the CATK contours and manual contours.

2.3.2. Clinical application

ANOVA was used to compare the PAE and NC groups on measures of total cerebellar volume, left and right cerebellar hemisphere volumes, and mid-sagittal vermis lobe area (anterior, superior posterior, posterior inferior). Microcephaly is a prominent feature of prenatal alcohol exposure. As a result, we hypothesized that all cerebellar size measures would be related to overall head size, but might be different between groups. We used the cranium size index calculated by FSL’s SIENAX (Smith et al., 2002) for our measure of head size, as we have previously shown it to be an excellent surrogate for intracranial vault volume (Fein et al., 2004). Pearson correlations were used to assess the relationships between cranium size and cerebellar size in each group. Linear modeling was then used to determine if group differences in cerebellar size measures could be completely explained by head size and vice-versa.

3. Results

3.1. CATK algorithm validation

The test–retest ICCs for CATK were greater than 0.94 for all cerebellar volume and mid-sagittal vermal area measures, comparable or better than the test–retest ICCs for manual measurement (all ICCs > 0.92). The ICCs computed on all four cerebellar measurements (manual and CATK measures on the repeat scans) to compare comparability between measurements were above 0.97 for non-vermils, while vermal mid-sagittal area ICCs were 0.90 for vermis I–V, 0.89 for vermis VI–VII, and 0.91 for vermis VIII–X. We obtained mean Dice overlaps of 90% for the full cerebellum and 91% for the hemispheres, and 72–79% for the vermal lobe volumes. The somewhat lower Dice Coefficients for the vermal lobes are attributed to their much smaller overall volume and difficulty estimating the lateral border. The mean Dice overlaps for the vermis mid-sagittal areas are much improved, ranging from 82 to 88%. The RMS mesh error (0 is perfect), which is not affected by overall volume, between the CATK contours and manual contours ranged from 1.2 to 1.8 voxels for all regions. Fig. 2 shows the excellent agreement between manual delineation and CATK automated delineation for total cerebellum volume and total vermis area, and Fig. 3 shows a side-by-side comparison of CATK and manual marking for a representative subject. These results demonstrate that CATK is an appropriate and validated method for cerebellar segmentation that has comparable accuracy to hand delineation.

Fig. 4 shows three examples demonstrating CATK’s fitting of 3D parcels to participants from this study. Good cerebellar parcellation is achieved on the adolescents, despite the majority of the training samples being adult or elderly subjects. In particular, CATK leads to good estimates of the cerebellum and vermis, even when the scans are of poor quality (Fig. 4, bottom panel).

3.2. Clinical application to FASD

Thirteen PAE children and nine NC children had both complete motor assessments and structural imaging, and were included in the study. Two children with PAE had cerebellar herniation, an anatomical anomaly that was not well-delineated by CATK, and were excluded from further analysis of cerebellar measures. The remaining sample demographics were: 11 PAE (3 girls), 13.2 ± 2.4 years; 9 NC (3 girls), 12.8 ± 2.1 years.

When comparing the 11 PAE to 9 NC, the PAE group had smaller mean total cerebellum (10% smaller volume, p = 0.07), left cerebellum hemisphere volume (11% smaller, p = 0.05), and right cerebellum hemisphere volume (10% smaller, p = 0.07). The PAE group also had significantly smaller vermal mid-sagittal areas for the anterior lobe (19% smaller, p = 0.006) and the posterior inferior lobe (16% smaller, p = 0.002). Table 1 shows cerebellum volumes and mid-sagittal areas for the PAE and NC groups.

Head size was significantly smaller in the PAE group compared to the NC group (13% smaller, p = 0.01). Pearson correlations assessed the relationship between head size and cerebellar measures in each group separately. Within the PAE group, head size was highly correlated.
with all cerebellar size measures ($r = 0.56-0.91$, $p < 0.001-0.08$) except the mid-sagittal areas of the superior posterior lobe ($r = 0.40$, $p = 0.22$) and inferior posterior lobe ($r = 0.50$, $p = 0.12$). Within the NC group, head size was not significantly correlated with any cerebellar size measure (all $p > 0.31$) except the inferior posterior lobe ($r = -0.70$, $p = 0.04$).

Linear modeling showed that differences in head size between groups disappeared when covarying for any cerebellar size measure. Linear modeling showed that the significance of the group differences in cerebellar volume measures (total cerebellum, left and right hemispheres) and mid-sagittal vermal areas (anterior and posterior inferior) remained or were enhanced after covarying for head size, as shown by the last column in Table 1.

4. Discussion

We present a novel, automated method for segmentation of the total cerebellum, left and right cerebellar hemispheres, and three lobes of the cerebellar vermis (lobules I–V, VI–VII, and VIII–X). We trained our method using 43 subjects ranging in age from 5 to 96, and showed impressive results when tested on a group of subjects ages 19–34 (who were not part of the training set). These results demonstrate excellent reliability and validity of CATK volume and mid-sagittal area measurements, compared to manual measurements. Our results also demonstrate excellent validity of CATK voxel classifications.

CATK worked without modification on all subjects reported in this paper. Like any automated segmentation method, however, it is not foolproof. In our recent experience applying the method to more than 300 scans of adults and adolescents, the method failed on less than 5% of images. Generally the errors were due to preprocessing failures of head orientation correction and cerebellum isolation. The major cause appeared to be due to errors in the original data where incorrect orientation labels were assigned in the image headers. Both types of errors result in obvious failures easily detected by the user. In some of our test cases used for validation, small regions of cerebral cortex are included in our cerebellar segmentation; despite this our validity measures were excellent. These errors in segmentation may limit the ease to which CATK can be integrated with whole brain analyses.

ANOVA analyses detected smaller cerebellar volumes and mid-sagittal areas, automatically measured using CATK, in PAE compared to controls. These results are in agreement with prior reports of smaller cerebellum in FAS and with previous studies that observed smaller mid-sagittal areas of the anterior lobe and posterior inferior lobes measured...
manually (O’Hare et al., 2005; Sowell et al., 1996). As with previous reports, we did not detect smaller mid-sagittal area in the superior inferior lobe (lobules VI–VII). The consistency of our results with manual tracing in a disease group adds to the validity of our automated cerebellar delineation method.

Compared to normal controls, we also found that children prenatally exposed to alcohol showed a smaller overall head size. Most cerebellar size measures were related to overall head size but not the NC group, such that group differences in overall head size were related to overall head size within the PAE group exposed to alcohol showed a smaller overall head size. Most cerebellar creation method.

In conclusion, these data illustrate that our new technology for automatically delineating the cerebellum on a larger sample, and associate cerebellar size with the effect of alcohol-related cerebellar damage on function. Further work will use CATK to delineate the cerebellum found speciﬁc volumetric reductions in children with school problems who had been exposed prenatally to alcohol. Given this, we cannot rule out the possibility that alcohol drinking in the PAE sample might have contributed to the group differences in overall head size, which may be due to differences in brain growth.

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