Neuroimmunological Aberrations and Cerebral Asymmetry Abnormalities in Schizophrenia: Select Perspectives on Pathogenesis

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Within the wide-ranging gamut of factors that comprise gene–environment interactions postulated to underlie schizophrenia, the crosstalk between environmental factors and feto–maternal immune components has been put forth as one of the important mechanisms that increase the risk towards schizophrenia in the offspring. Interestingly, immune factors have been shown to critically modulate the brain development during the prenatal stages. Moreover, the past many decades, influential theoretical propositions and evidence base (albeit not unequivocally) have compellingly linked prenatal sex hormonal status to critically provoke long lasting immunological changes and subsequently affect developmental programming of cerebral asymmetry in schizophrenia. In this review, we summarize the select perspectives emphasizing the role of neuroimmunoendocrine pathways in anomalous cerebral asymmetry in contemporary understanding of schizophrenia pathogenesis.

KEY WORDS: Schizophrenia; Immune abnormalities; Cerebral asymmetry; Estrogens; Neurodevelopment.

INTRODUCTION

Schizophrenia is a complex neuropsychiatric disorder that is characterized by delusions, hallucinations, disorganized behavior and progressive cognitive deficits with postulated neurodevelopmental origin.1,2) The neurodevelopmental pathogenetic model implicates schizophrenia as a behavioural outcome of impaired neurodevelopmental processes that begins long before the onset of clinical symptoms and is caused by a combination of genetic, immune and environmental factors.3) Among these factors, multiple susceptibility genes regulated by hypoxia are shown to enhance the risk of schizophrenia by interacting with serious obstetric complications.4) In addition, it is noteworthy that prenatal infections with resultant persistent pro-inflammatory state leading to aberrant neurodevelopment as well as obstetric complications are also shown to confer increased risk for schizophrenia.5) Epidemiological observations increasingly implicate the significance of immune-inflammatory and neuroendocrinological processes as well as abnormalities in cerebral asymmetry in the pathogenesis of at least in a subset of patients with schizophrenia. In this review, we summarize the select perspectives emphasizing the role of immuno-
mune-inflammatory aberrations and their interactions with cerebral asymmetry abnormalities in contemporary understanding of schizophrenia pathogenesis.

**MAIN DISCOURSE**

**Immune Abnormalities in Schizophrenia: A Select Overview**

Fetal brain development is particularly vulnerable to environmental insults, and deviations from the normal course of brain development are assumed to underlie several mental disorders, including schizophrenia. Epidemiological studies have indicated that maternal infections during pregnancy increase the risk for these disorders in the offspring. Multiple lines of evidence from epidemiologic, clinical, and preclinical studies have provided evidence that gestational exposure to infection contributes to the etiology of schizophrenia. Importantly, season of birth findings in schizophrenia robustly implicate birth in winter or spring months as a significant risk factor for schizophrenia; more specifically, a wealth of studies suggest that the prevalence of influenza in winter months might contribute significantly to this risk. This also implies the influence of season of birth on the immune-mediated processes in schizophrenia. It is now widely appreciated that, the maternal cytokine response to infections may play a crucial role in this association, because the induction of cytokines is a fundamental immunological event triggered by virtually any infection. Studies on schizophrenia incidence after epidemics of influenza offer unequivocal support to increased incidence of this disorder among exposed offspring. Examination of medical records of more than 12,000 pregnant women revealed threefold to sevenfold increase in the risk for schizophrenia in the offspring following second-trimester respiratory infection in the mother. Given the high prevalence of influenza infection, it has been estimated that about 14-21% of schizophrenia cases could have been due to maternal infection.

Interestingly, it is the maternal immune response, rather than direct infection of the foetus which has been described to be responsible for the increased incidence of schizophrenia in the offspring of mothers who suffer infections during pregnancy. Indeed, animal models of maternal immune activation (MIA) have revealed intriguing findings indicating behavioral, neurochemical, and neurophysiologic abnormalities consistent with observations in schizophrenia. MIA is also linked to the well-established dopamine hypothesis of schizophrenia. Moreover, several studies have shown that after MIA cytokine levels are altered in the maternal serum as well as the amniotic fluid, placenta, and fetal brain; hence, it has been argued that cytokines, by virtue being the major factors in influencing innate immune response, are the logical candidates for disruption of fetal brain development. Among various cytokines, rigorous evidence based on animal model research has demonstrated that elimination of interleukin (IL)-6 in the MIA model almost completely abolishes abnormal behaviors and transcriptome changes in the offspring suggests that searches for other mediators of MIA should be directed upstream and downstream of IL-6, rather than in other signaling pathways. In addition, the following robust observations further strengthen the postulated role of IL-6 in schizophrenia pathogenesis: 1) Rigorous meta-analytic studies supporting significantly higher serum levels of IL-6 in schizophrenia patients that correlate with symptom severity; 2) Association of schizophrenia with IL-6 gene polymorphism; 3) Potential influence on hippocampus by serum IL-6 with hippocampus being the most important brain regions implicated in schizophrenia; 4) IL-6 playing a vital role in established models like ‘ketamine model’ of schizophrenia; 5) IL-6 being implicated in foetal pathogenetic model of neurodevelopmental aberrations in schizophrenia.

The signaling of IL-6, a pleiotropic cytokine, occurs through heterodimerization of gp130 and IL-6 receptor on the cell surface. With relevance to the specific mechanism through which IL-6 enhances the risk for schizophrenia in the MIA model, three major sites of signaling have been suggested namely - 1) the maternal circulation, 2) the maternal/fetal interface (i.e., the placenta), and 3) the fetal brain. The impact of IL-6 on the maternal immune system can result in activation of lymphocyte migration and cytotoxicity and degrading maternal tolerance of the fetus. During normal pregnancy, a shift in basal cytokine production and other immune system related modulations occur to prevent rejection of the fetus. However, in the context of MIA, an increased level of IL-6 leads to enhanced production of certain maternal antibodies that could potentially cross-react with the fetal brain with resultant damage. The adverse impact of IL-6 on the maternal-fetal interface (placenta) could alter the transfer of nutrients, hormones, or other key molecules to the fetus. This could have significant effects on transfer of potentially harmful proteins (i.e., antibodies) into the fetal environment, or
could allow maternal immune cells to infiltrate the fetus.\(^{16}\)

With relevance to the direct impact of IL-6 on fetal brain, it is important to note that IL-6 is central to inflammation-induced working memory disruption.\(^{34}\) Also, IL-6 can regulate brain-derived neurotrophic factor (BDNF) expression,\(^{35}\) increased levels of IL-6 can lead to decrease in BDNF in embryos and placentas. The compelling role for BDNF in the pathogenesis of schizophrenia is supported by various lines of evidence (see the articles of Buckley et al.\(^{36}\) and Favalli et al.\(^{37}\) for reviews). BDNF, the most widely distributed neurotrophin in the central nervous system, is highly expressed in brain regions that are critically implicated in the pathogenesis of schizophrenia — the prefrontal cortex and hippocampus.\(^{38}\) BDNF has been demonstrated to interact with various neurotransmitter systems that are implicated in schizophrenia, such as dopamine, glutamate, serotonin and GABA.\(^{39}\)

To generalize this further, it has been suggested that activation of pro-inflammatory cytokines (with robust support for IL-6\(^{34,35}\)), mediate the neurodevelopmental effects of maternal infections on the offspring. While these cytokines can modulate neuronal differentiation, survival, dendrite growth and complexity, they have also been critically involved in the precipitation of the long-term behavioral, cognitive and pharmacological consequences of prenatal immune challenge.\(^{21,40}\)

Apart from BDNF, the deficiency of insulin-like growth factor-1 (IGF-1) — another critical neurotrophic factor which is essential for optimal neurodevelopment — has been demonstrated in antipsychotic-naïve schizophrenia.\(^{41}\) IGF-1 has neuroprotective and antiapoptotic properties that are crucial during neurodevelopment.\(^{42}\) Possibly low IGF-1 levels might render the brain more vulnerable to neurodevelopmental insults potentially culminating in schizophrenia. Interestingly, IL-6 inhibits the secretion of IGF-1 and its biological activity.\(^{33}\) Cerebral damage in foetal pro-inflammatory states has been reported to be associated with high IL-6 and low IGF-1 levels. These studies support significant interaction between IL-6 and IGF-1. Increased IL-6\(^{28}\) as well as deficient IGF-1\(^{41}\) has been demonstrated in schizophrenia.\(^{44}\)

Deficits in hippocampus with associated disturbances in its network brain regions are among the most established findings in schizophrenia.\(^{45,46}\) Recent studies suggest compelling support for the effect of IL-6\(^{28}\) and IGF-1\(^{48}\) on hippocampal structure and function. Peripheral levels of IL-6 have been shown to have a significant inverse correlation with gray matter volume of hippocampus.\(^{28}\) Both IGF-1 and IL-6 influence the functioning of hippocampus — an important brain region involved in pathogenesis of schizophrenia, IGF-1 improves hippocampal functioning\(^{42}\) whereas IL-6 damages it. The human IL-6 gene is located on chromosome 7p15-7p21 and IL-6 expression is primarily regulated by alterations in gene transcription. A functional G→C single-nucleotide polymorphism at position -174 of the promoter has been described.\(^{39}\) Genetic and expression studies suggested that the GG allele was associated with greater induction of IL-6 compared with the GC or CC alleles. Hence, it is possible that MIA might result in persistent over-activation of glial cells which could be more pronounced in individuals who are predisposed towards greater induction of IL-6 and various other inflammatory cytokines; these patho- genetic mechanisms might lead to hippocampal network damage culminating in symptoms of schizophrenia.

### Cerebral Asymmetry Abnormalities in Schizophrenia

The concept of asymmetry being the defining feature of human brain was emphasized by the assertion of Paul Broca\(^{50}\) that: “Man is, of all the animals, the one whose brain is most asymmetrical. He is also the one who possesses most acquired faculties. Among these faculties... the faculty of language holds pride of place. It is this that distinguishes us most clearly from the animals” (see the article of Crow\(^{51}\) for review).

In earlier times, brain asymmetry was considered to be specific of the human species. However, functional and structural asymmetries have since been described in other mammals and birds\(^{52,53}\), nonetheless, among mammals, and even within the primate lineage, humans frequently possess the most asymmetrical brain.\(^{34}\) In the human brain, the principal asymmetries are described as a torque pushing the right frontal area forward and the left occipital region backward (petalias) — called as the “Yakovlevian torque”; along with this torque, the right Sylvian fissure is relatively raised and shortened in comparison with the left Sylvian fissure and this creates a striking difference in the shapes of the left and right posterior temporal regions and a larger left planum temporale (as summarized in the article of Glasel et al.\(^{54}\)). Since this right-left torsion affects the posterior temporal region, which is involved in elaborate auditory computations among which phonetic representations on the left side, the relation between this macro-structural feature and the emergence of language is an area of strong research interest. Also, it has been demonstrated that the white matter volume of the underlying
Heschl’s gyrus is larger on the left than on the right side. Moreover, at the microscopic level, left auditory cortex has been shown to have bigger pyramidal cells with thicker myelinated fibers (as summarized in the article of Glasel et al. 54).

At the time of embryonic development, the cells of the brain migrate toward the surface, forming minicolumns in the cortex. These are grouped into larger macrocolumns to form the basis of the mapping of functions across the brain’s surface. As per the radial unit hypothesis, proliferation of radial minicolumnar units of cells underlies the expansion of different cortical surface regions during development and across species. While many of the brain asymmetries demonstrated in humans are also found in one or other non-human primate, the difference in minicolumn width in humans between left and right planum temporale has not been reported in chimpanzees. 55

Auditory cortex in the superior temporal gyrus develops a clear columnar cell distribution by the third trimester of fetal life, which is established in early childhood, although axonal maturation continues up to at least 12 years of age. 56 The functional macrocolumnar organization in primary auditory cortex with topological mapping of stimulus features such as frequency and ear preference can be inferred from the radial organization of physiological response properties. Asymmetry in columnar organization facilitates these asymmetries in that the macrocolumns are more widely spaced in the left than in the right auditory association cortex. 57

In this context, it is important to note that the superior temporal gyrus, which contains the auditory cortex, including the planum temporale, is the most consistently altered neocortical structure in schizophrenia. 58 In a post-mortem brain study, 59 it was observed that normal age-associated changes in planum temporale were not found in schizophrenia. Minicolumn distribution in planum temporale and Heschl’s gyrus (as assessed on Nissl-stained sections by semi-automated microscope image analysis) revealed that the cortical surface area of planum temporale in the left hemisphere was positively correlated with its constituent minicolumn spacing in patients and controls. In schizophrenia patients, surface area asymmetry of planum temporale was decreased with this asymmetry abnormality being resultant of reduction in the left hemisphere. The relationship between cortical asymmetry and the connecting, inter-hemispheric callosal white matter was also investigated; minicolumn asymmetry of both Heschl’s gyrus and planum temporale was correlated with axon number in the incorrect sub-regions of the corpus callosum in patients. Also, the spacing of minicolumns was altered in a sex-dependent manner due to the absence of age-related minicolumn thinning in schizophrenia suggesting a failure of adult neuroplasticity that maintains neuropil space. This was inferred to indicate the arrested capacity to absorb anomalous events and cognitive demands to result in vulnerability to develop schizophrenia. 59

In summary, one of the uniquely human asymmetry—namely, the minicolumn asymmetry in the planum temporale—has been found to be aberrant in schizophrenia. 59

Asymmetry abnormalities indicative of disturbed cerebral lateralization in schizophrenia patients with a significant leftward shift in the handedness has been established in various comprehensive reviews. 59-62 Moreover, a number of anatomical postmortem and functional imaging studies have shown that schizophrenia is characterized by reduced cerebral asymmetry, 51,63,64 most likely resulting from abnormalities involving gene-environmental interactions responsible for cerebral lateralization aberration 65 that has been considered as central to the pathogenesis of schizophrenia.

**Linking Immune Factors with Cerebral Asymmetry**

The Geschwind-Behan-Galaburda (GBG) hypothesis links the cerebral lateralization with prenatal testosterone exposure and explains differential immune profile. 9-11,66 It proposes that testosterone levels in the fetal milieu dictate the development of the left cerebral hemisphere. Elevated levels of testosterone retard the development of the left hemisphere causing “abnormal dominance” and leading to modified cerebral lateralization, disrupted early language development, immune dysfunction and predilection for various other disorders. Thus, the GBG hypothesis attempts to explain the normal distribution and the inter-individual differences in various domains of cognitive functioning in humans as a function of prenatal sex hormonal environment. 9,11,66

The postulated mechanistic basis for the hypothetical link between cerebral dominance and immune aberrations as stated by Geschwind and Behan 67 is as follows: “During fetal life the immune system is also maturing. Testosterone has important suppressive effects on the thymus both in utero and after birth. Thus, during periods of increased testosterone effects on left brain development, maturation of the immune system is also likely to be affected. There are studies that support the hypothesis that the fetal thymus controls development of lymphocytes which are responsible for recognition of self-antigens and
thus for prevention of autoimmunity. Suppression of thymic growth during fetal life might therefore favor the development of autoimmunity in later life.”

Following this seminal report, a number of studies supporting the GBG model have identified a relationship between left-handedness, anomalous language or visuospatial brain dominance and immune diseases. While GBG hypothesis was commented as a potential ‘large’ theory (from the perspectives of Popper’s ‘small’ versus ‘large’ theories) that not only accounted for its original data but extending that theorizing to such an extent that, if true, it would require a radical rethinking of many areas of biology and medicine, however “the very size and complexity of the Geschwind theory however means, that it is not open to simple ‘falsification’ in Popper’s sense” and it was also perceived that “this theory has a concentric series of defensive hypotheses around it, which can be falsified without the central theory being effectively challenged.”

Summarizing a number of studies that did not support the GBG hypothesis, the mutually incompatible observations (from the viewpoint of Geschwind theory) that on one hand boys are more likely to be diagnosed as reading-disabled than girls, and on the other hand, men do not have higher rates of most immune-mediated disorders than women was considered as strongly negating the important claim of the GBG hypothesis. Also, the finding that certain autoimmune diseases like systematic lupus erythematosus, multiple sclerosis, myasthenia gravis, thyroid disease, arthritis etc. are more prevalent in women than men as well as the implication of estrogen rather than testosterone to underlie autoimmune pathogenesis further argued against the claim by Geschwind and co-workers.

Motivated by certain additional reports that were supportive of GBG theory (for example, the observation that two sides of the brain have been demonstrated to be involved in different ways in the modulation of immune responses, the existence of functional asymmetry of the immune system and the role of the brain hemispheres, differential postoperative changes in T cell indices in epilepsy patients as dependent upon the resection involving dominant or non-dominant hemisphere as summarized by Legen et al.), the results of a recent study expanded further the gamut of relationship between the development of non-right-handedness, the anomalous cerebral dominance and the immune system beyond the frequency of immune diseases and that of humoral immunity into the boundaries of cellular immunity also.

In this study, when compared with the right handed individuals, the left handed ones demonstrated a significant decrease in the inflammatory cell types involving total T cells, T-helper cells, HLA-DR (HLA-DR is a MHC class II cell surface receptor encoded by the human leukocyte antigen [HLA] complex on chromosome 6 region 6p21.31) and natural killer cells. The authors of this study speculated a mediating role by dopamine and based on their HLA findings they have indicated that it might be worthwhile to investigate in more detail whether the MHC, which can be found on both astrocytes and lymphocytes, plays any role as a link between neuronal and immunological development. Interestingly, it has been demonstrated that in nestling gull chicks, embryonic exposure to elevated levels of androgens negatively influenced both cell-mediated and humoral immunity. In summary, recent interest on immune factors’ influences on brain lateralization have provided compelling evidence towards a robust link between them; however, this area needs to be clarified further with comprehensive and systematic studies to unravel the complexities of these interactions.

**Neuroimmunology, Brain Asymmetry and Pathogenesis of Schizophrenia**

While the studies summarized in the previous section supports a compelling link between the immune factors and cerebral lateralization processes, various lines of evidence as mentioned below suggest the relevance of this relationship in schizophrenia pathogenesis. For instance, MHC related brain deficits have been shown to be linked with microglia abnormalities that are considered as critical with respect to the pathogenesis of schizophrenia (as summarized in the article of Debnath et al.). In a post-mortem brain study examining the frontal and temporal cortices of schizophrenia patients, it was observed that degeneration of microglial cells with a stronger expression of MHC-II might have led to the exacerbation of structural damage and psychotic symptoms. This was postulated to result most likely from the primary impairment of the neuron-glia communication that damages their immune-competent function. Moreover, aberrant hemispheric lateralization involving HLA Class-II expressing microglia was reported to be associated with schizophrenia.

Neurodevelopmental insults elicited by pre-/peri-natal infections have consistently been shown to be associated with glial cell activation. The central role of glial cells in the pathogenesis of various brain disorders has been highlighted by comprehensive literature that describe glia as
critical for every major aspect of brain development, function and disease\(^9^0\); it is noteworthy that brain pathology has been mentioned to a very great extent as a pathology of glia\(^9^1\). Interestingly, Kraepelin – an influential researcher in schizophrenia, had suggested a relationship between infection and later psychosis in the late 19th century and cited “changes in glia” as one of the primary anatomical changes within the brain. Once activated, glia produce a plethora of pro-inflammatory molecules including cytokines, chemokines etc. which might lead to the neuropathological changes in schizophrenia (see the article of Bilbo and Schwarz\(^9^1\) for review). In a study that examined changes within the brain, it was demonstrated that the density of HLA-DR-positive ameboid and ramified microglial cells was highest in the dorsolateral prefrontal cortex. More importantly, it was observed that there was a significantly decreased cerebral blood flow in schizophrenia patients\(^1^0^6,1^0^7\).

Hippocampal network (inclusive of retrosplenial cortex) is one of the key neural generators of sensory gating\(^1^0^8\); this is in tune with the robust evidence for hippocampal abnormalities in schizophrenia.\(^4^5-4^7\) Interestingly, immune abnormalities involving elevated IL-6 and IL-2 have been demonstrated to underlie the sensory gating deficits\(^1^0^2\); importantly, these immune aberrations might potentially affect hippocampus. Recent studies suggest compelling support for the effect of IL-6 on hippocampal structure and function. Peripheral levels of IL-6 have been shown to correlate with gray matter volume of hippocampus.\(^2^8\) More importantly, asymmetry abnormalities involving hippocampus has been demonstrated to underlie schizophrenia pathogenesis.\(^1^0^8,1^0^9\)

One important neuroimmunoendocrine modulator that influences all these factors described above (namely cytokines, hippocampal function, sensory gating and schizophrenia) is estrogen. Estrogen is an important immunomodulator\(^1^0^0,1^1^1\); more specifically, selective estrogen receptor modulators have been shown to decrease the IL-6 gene expression.\(^1^1^2\) Also, estrogen has neuroprotective effects on hippocampus.\(^1^1^3,1^1^4\) Interestingly, estrogen adaptively influences sensory gating\(^1^1^5\); i.e., estrogen deficient state can potentially lead to sensory gating deficits. Contextually, it is striking to note that estrogen deficient state is implicated in the pathogenesis of schizophrenia.\(^1^1^6,1^1^7\)

The following observations offer robust support to the protective effect of estrogens in schizophrenia (as reviewed in the article of Kulkarni et al.\(^1^1^6\)). Women with schizophrenia present with their first episode on average
about 5 years later than their male counterparts. Life cycle studies have shown that women are more vulnerable for either a first episode or a relapse of existing illness at 2 major periods of hormonal change: during the postpartum period (associated with a decrease in estrogen levels) and during menopause (when estrogen production decreases). Conversely, chronic psychoses and relapse rates improve during pregnancy, when estrogen plasma levels are high. Levels of psychopathological symptoms have also been observed to fluctuate with menstrual cycle phases. Exacerbation or recurrence of psychosis is observed during low estrogen phases of the menstrual cycle, and psychopathological behavior improves during high-estrogen phases. Recently, it has been proposed that estrogen might have beneficial effects in men with schizophrenia too.\(^\text{118}\) Raloxifene, which is a selective estrogen receptor modulator is considered because of its specific effects on brain; raloxifene has been shown to decrease the IL-6 expression\(^\text{112}\) and very recently, raloxifene has been shown to have beneficial effects in schizophrenia patients.\(^\text{119}\)

The implication of estrogen in the pathogenesis of schizophrenia becomes more interesting with relevance to the GBG hypothesis especially in the context of recent developments in the domain of developmental programming research studies.\(^\text{120,121}\) These studies strongly implicate prenatal sex hormonal imbalance to result in persistent postnatal disturbances in the way in which various bodily systems react to the influence of androgens/estrogens as well as the relevant feedback systems.\(^\text{120,121}\) Earlier studies that suggested sex hormones to modulate lateralization of cognitive function\(^\text{122}\) have been strengthened by later observations that demonstrated a greater left-hemisphere advantage for a verbal dichotic listening task during the mid-luteal phase, when estrogens and progesterone are at higher level, than during the menstrual period, when the levels of these hormones are very low. On the contrary, during the mid-luteal phase, using an experiment involving musical chord dichotic recognition listening task that is predominantly dependent upon right-hemisphere neural processes, a right-hemisphere advantage was observed.\(^\text{123}\)

Extending these reports, it was reported that estrogen replacement therapy influences cerebral lateralization processes as evaluated using an odor memory/discrimination task\(^\text{124}\) adding further strength to the proposition that estrogen might ‘adaptively’ influence cerebral asymmetry aberrations in schizophrenia possibly through concurrent immunomodulation; this needs further systematic evaluation.

**CONCLUSIONS AND FUTURE PERSPECTIVES**

In summary, the disparate lines of evidence that have been summarized in this review emphasize the role of immune-inflammatory aberrations and their interactions with cerebral asymmetry abnormalities in contemporary understanding of schizophrenia pathogenesis. However, studies in human subjects that have employed the overarching paradigms that have concurrently evaluated the relationship between immune-inflammatory aberrations and brain asymmetry abnormalities especially in the context of schizophrenia are very few. Such newer paradigms need further systematic research studies to understand the complex interactions of these sex hormone systems and immune factors in the pathogenesis of schizophrenia through putative modulation of cerebral lateralization processes possibly in the prenatal stage of brain development.

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