Preoperative Cardiac Stress Tests Ordered in the Preoperative Evaluation Clinic: A Retrospective Review of Ordering Patterns

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Abstract

Background: The role of anesthesiologists has expanded from operating rooms to preoperative evaluation clinics. This role involves performing preoperative cardiovascular evaluation and optimization of patients before elective surgery, which can include ordering cardiac stress tests. We aimed to study the ordering patterns by anesthesiologists for preoperative cardiac stress tests, focusing on whether societal and institutional guidelines and recommendations were used. Choice of type of cardiac stress test was also examined.

Methods: A single center retrospective chart review from December 1, 2005 to May 31, 2015 was performed on 492 patients who had a cardiac stress test ordered by an anesthesiologist. Patients were categorized by indication for ordering the cardiac stress test based on societal practice guidelines, institutional guidelines or other relevant reasons at the time of patient encounter. Those “other” category cardiac stress tests were assessed for indication and evaluated by physician peer review to see if there was peer agreement for being appropriately ordered. Exercise electrocardiography (ECG) cardiac stress tests ordered were evaluated for appropriateness based on baseline resting ECG findings. Patients with left bundle branch block (LBBB) or right ventricular (RV) pacing were evaluated for appropriateness based on baseline resting ECG abnormalities. Fifty-one patients were identified as having a resting ECG of LBBB or RV pacing of which 41% had an appropriate pharmacological vasodilator cardiac stress tests ordered.

Results: Analysis of the cardiac stress tests ordered showed that 43% were ordered according to American College of Cardiology/American Heart Association guidelines, 29% were ordered according to institutional guidelines, and 28% were categorized as “other”. Of the 28% “other” cardiac stress tests, 53% were in agreement for ordering by peer review. Sixty-four exercise ECG cardiac stress tests were ordered, of which 58% were appropriate based on having no baseline resting ECG abnormalities. Fifty-one patients were identified as having a resting ECG of LBBB or RV pacing of which 41% had an appropriate pharmacological vasodilator cardiac stress tests ordered.

Conclusions: Anesthesiologists order most preoperative cardiac stress tests according to professional societal or institutional guidelines (72%), yet they are not always choosing the best modality of cardiac stress test. A significant portion of cardiac stress tests are ordered (28%) based on clinical judgment, likely due to the lack of guidelines and recommendations being all-encompassing on many commonly encountered preoperative patient situations.

Keywords: Anesthesia; Preoperative; Evaluation; Cardiac testing; Stress test

Introduction

The role of the anesthesiologist has evolved from an operating room physician to a perioperative physician and this begins with evaluation of the patient in a preoperative evaluation clinic (PEC). Such visits have been associated with a decrease in postoperative hospital mortality [1].

A main focus of a PEC visit is risk stratification for cardiovascular complications and assessment if patient optimization is needed. This can lead to further cardiac testing such as cardiac stress tests. Appropriate selection of individuals for cardiac stress testing is essential to maximize positive and negative predictive value of the test. This can improve the chances of identifying patients who can undergo either medical or surgical optimization prior to an elective surgery with the intent of decreasing perioperative morbidity and mortality. The American College of Cardiology (ACC)/American Heart Association (AHA) Guidelines on Perioperative Cardiovascular Evaluation and Management of Patients Undergoing Noncardiac Surgery provide recommendations for preoperative cardiac stress tests [2]. Unfortunately, these ACC/AHA Guidelines do not encompass all of the real-life preoperative patient situations and scenarios which leave the ordering of cardiac stress tests up to the discretion of the perioperative physician.

No study to date has examined practice behaviors of anes-
The Brigham and Women’s Hospital (BWH) Institutional Review Board (IRB) granted approval for the chart review, publication and waiver of individual consent. BWH uses the computer software program Precipio® (Precipio Inc, New Haven, CT) to order cardiac stress tests. Using this software, we identified patients who underwent a cardiac stress test ordered by an anesthesiologist at the BWH PEC from December 1, 2005 to May 31, 2015 (N = 492). The data extracted from the charts were de-identified and stored in an encrypted and password-protected Excel spreadsheet that was created specifically for this study.

Documentation regarding ordering of the cardiac stress tests was studied to identify the indication for order by the anesthesiologist. Patients undergoing cardiac stress tests without an available PEC visit note were excluded (N = 96). In addition, patients undergoing cardiac stress tests were excluded if the PEC visit note documented a recommendation by another service, such as a cardiologist or primary care physician (PCP) to obtain the cardiac stress test (N = 41). This was done as it was not possible to determine if the anesthesiologist would have ordered the test without the recommendation. The indications for the cardiac stress tests (N = 355, after exclusion) were classified into three categories as described in detail below: 1) Societal guidelines; 2) Institutional guidelines; or 3) Other.

Societal guidelines

The cardiac stress test indication for order was classified as “Societal guidelines” if the test was ordered according to the ACC/AHA Guidelines on Perioperative Cardiovascular Evaluation and Management of Patients Undergoing Noncardiac Surgery. This category was further subdivided into two subcategories: “Algorithm” and “ECG”.

If the test was ordered according to the ACC/AHA Guidelines on Perioperative Cardiovascular Evaluation and Management of Patients Undergoing Noncardiac Surgery, it was classified as “Societal guidelines: Algorithm” [2]. Of note the ACC/AHA Guidelines have evolved over time with current being the 2014 guidelines (previously the 2007 ACC/AHA Guidelines [3] and 2002 ACC/AHA Guidelines [4] iterations). This evolution spans the time span of this study and the use of the time-appropriate guideline was checked.

If the test was ordered according to the ACC/AHA Guidelines on Perioperative Cardiovascular Evaluation and Management of Patients Undergoing Noncardiac Surgery: The 12-Lead Electrocardiogram Recommendations [2] which subsequently resulted in ordering an ECG that indicated a need for a cardiac stress test, it was classified as “Societal guidelines: ECG” (BWH uses both the IIA and IIB recommendations). Per BWH protocol, significant ECG findings deemed to indicate a cardiac stress are new findings of left bundle branch block (LBBB), T wave inversions in two or more consecutive leads, Q waves in two more consecutive leads, ST depression in two or more consecutive leads, or ST elevations in two or more consecutive leads. These ECG findings are based on well-known markers of ischemia [5, 6]. Similarly as before, the ACC/AHA Guidelines have evolved over time with current being the 2014 guidelines (previously the 2007 ACC/AHA Guidelines [3] and 2002 ACC/AHA Guidelines [4] iterations). This evolution spans the length of this study and the use of the time appropriate guideline was checked.

Institutional guidelines

The cardiac stress test indication for order was classified as “Institutional guidelines” if an ECG was ordered if the BWH guidelines indicated a need for a cardiac stress. BWH has supplemental guidelines in addition to the ACC/AHA guidelines. Specific to this study, BWH obtains an ECG on every patient older than the age 50 years (until June 2015). This institutional specific BWH guideline is not in the ACC/AHA guidelines and was a group practice decision made to help prevent same day surgery cancellations or delays for new ECG findings discovered on the day of surgery. Table 1 shows a full list of the supplemental BWH institutional specific guidelines on ordering preoperative ECGs. Similarly, as before, ECG findings at BWH deemed to indicate a cardiac stress test are new LBBB, T wave inversions in two or more consecutive leads, Q waves in two more consecutive leads, ST depression in two or more consecutive leads, or ST elevations in two or more consecutive leads.

Other

The cardiac stress test indication for order was classified as “Other” if the cardiac stress test was ordered at the discretion of the perioperative anesthesiologist and was not according to societal guidelines or institutional guidelines. The indication for ordering the cardiac stress test by the anesthesiologist was also noted. For every “Other” classified cardiac stress test,
three senior perioperative anesthesiologists (AMB, DLH, RPC) knowledgeable in the ACC/AHA and the institutional specific guidelines each reviewed the documented indication for ordering the cardiac stress test and commented either “yes” or “no” if in their own clinical judgment, they would have ordered a cardiac stress for the patient. If at least two out of three of the peer-reviewing anesthesiologists commented “yes”, then the cardiac stress test was deemed “agreed upon” or “appropriate”.

For a secondary analysis, we examined all cases without excluding charts that were not considered for the primary analysis. Thus, for this analysis we included all charts without exclusion, and we documented the type of cardiac stress test for all of the cardiac stress tests ordered in the study period (N = 492). This means no charts/cardiac stress tests were excluded, as this was an analysis of whether a proper choice type of cardiac stress test was done based on the patient’s preoperative ECG. This was possible since at the PEC, a preoperative ECG was obtained prior to getting a preoperative cardiac stress test if an ECG had not already been done previously. All 492 charts were assessed if the patient’s preoperative ECG had a LBBB or right ventricle (RV) pacing and then subsequently determined if the type of cardiac stress test ordered was a pharmacologic vasoconstrictor cardiac stress test. For a different analysis, all 492 charts were assessed if the type of cardiac stress test ordered was an exercise ECG cardiac stress test. Subsequently it was determined if the preoperative resting ECG had a LBBB, T wave inversions, Q waves, ST depressions or elevations. As previously stated, these ECG findings are common markers of ischemia that the BWH PEC considers invalidating for an exercise ECG cardiac stress test if found on the resting baseline ECG.

Results

A total of 492 cardiac stress tests ordered by anesthesiologists at the BWH PEC from December 1, 2005 to May 31, 2015 were identified. For 96 of the cardiac stress tests ordered the accompanying PEC visit notes were unobtainable and were not analyzed for indication. At the study’s institution the transition from paper-based notes to electronic notes resulted in a loss of original paper-based notes and as a consequence some PEC visit notes were not obtainable either due to them not ever being scanned in or misclassified as a different note type in the electronic medical record. Cardiac stress tests were ordered by recommendation by another medical service for 41 patients (26 by cardiology, 13 by primary care physician, one by primary surgical team, one by pulmonary medicine). This left 355 cardiac stress tests for analysis according to the indication for ordering (Fig. 1).

Analyzing the 355 cardiac stress tests for indication of order and categorizing them according to the previously mentioned categories, it was found that 153 (43%) were classified as “Societal guidelines”, 104 (29%) were classified as “Institutional guidelines”, and 98 (28%) were categorized as “Other”. Further breakdown of the “Societal guidelines” showed that 101 of the 153 (66%) were categorized as “Societal guidelines: ECG” and 52 of the 153 (34%) were categorized as “Societal guidelines: Algorithm” (Table 2).

For the “Other” categorized cardiac stress tests, the breakdown for indication for order is noted in Table 3. The 98 cardiac stress tests categorized as “Other” were reviewed by three senior perioperative anesthesiologists (AMB, DLH, RPC) and 50% (N = 49) of them were unanimously agreed upon as either appropriate or inappropriate (meaning...
all three peer reviewing anesthesiologists said either “yes” or “no” to being appropriate).

For analysis of the ordering of types of cardiac stress tests, specifically pharmacological vasodilator cardiac stress tests, 51 patients were identified having a resting ECG of LBBB or RV pacing. Of those 51 patients, the cardiac stress test type ordered was a pharmacologic vasodilator stress test in 41% (N = 21) of the cases (Table 4). For analysis of the ordering of exercise ECG cardiac stress tests, 64 exercise ECG cardiac stress tests were identified. Of those cardiac stress tests, 58% (N = 37) of the patients had no resting ECG abnormalities to invalidate the test (Table 4). Alternatively phrased, 42% of exercise ECG cardiac stress tests had baseline ECG abnormalities that invalidated the test. Baseline ECG abnormalities at BWH deemed to invalidate the test are LBBB, T wave inversions in two or more consecutive leads, Q waves in two or more consecutive leads, ST depression in two or more consecutive leads, or ST elevations in two or more consecutive leads.

**Discussion**

We performed a single center retrospective study of 492 charts over a 10-year period analyzing the indications for ordering preoperative cardiac stress tests and choice of proper selection of cardiac stress test modality. We found that 72% of preop-
operative cardiac stress tests ordered by anesthesiologists were consistent with societal or institutional guidelines. The largest number (43%) of preoperative cardiac stress tests was categorized as “Societal guidelines”, meaning they were ordered according to the ACC/AHA guidelines. The sub category of cardiac stress tests, “Societal guidelines: ECG” accounted for 28% of all cardiac stress tests ordered. While the ACC/AHA guidelines state that routine preoperative ECG testing is not useful in asymptomatic patients undergoing low-risk surgeries [2], anesthesiologists at our institution ordered ECGs on every patient over the age of 50 years until May 31, 2015. The decision to order these ECGs was based on the group’s collective practice preferences to avoid same day cancellation of cases for previously unknown ECG abnormalities. Since June of 2015, based on a recent study at our institution, this was changed to considering ordering ECGs on every patient over the age of 65 years, which has been shown to be an independent risk factor for significant preoperative ECG abnormalities [7]. The “Institutional guidelines” that resulted in cardiac stress tests ordered accounted for 29% of all preoperative cardiac stress tests ordered. Summing the “Societal guidelines: ECG” and “Institutional guidelines” adds up to 57% of all cardiac stress tests ordered. This means that 57% of all cardiac stress tests were ordered as the result of preoperative ECGs obtained from societal or institutional recommendations which subsequently had markers of ischemia that triggered the ordering of a cardiac stress test. Again, those markers of ischemia were previously described in the Methods section as new findings of LBBB, T wave inversions in two or more consecutive leads, Q waves in two or more consecutive leads, ST depression in two or more consecutive leads, or ST elevations in two or more consecutive leads. While there is no explicit recommendation in either the ACC/AHA guidelines or this institution's guidelines to get a cardiac stress test when a resting ECG has markers of ischemia on it, this institution’s perioperative anesthesiologists at the PEC will pursue that testing. This group practice decision is a conservative practice model for several reasons. First, if those ischemic markers are seen on telemetry preoperatively without being worked up it would likely result in a case cancellation or delay on the day of surgery. Second, if the alternative path of referring to a cardiologist is taken, there is a high chance the same workup of a cardiac stress test would be pursued, as this is the typical next step in an ischemic workup. This adds to further case delay and an unnecessary cardiology consult that can be avoided if the cardiac stress test is negative. This practice model does raise the question of what is the incidence of a positive cardiac stress test that results in intervention, and ultimately the utility of these tests to decrease the actual morbidity and mortality in a cost-effective manner. Unfortunately, this question is outside the scope and ability of this study and would require a large long-term multicenter study in order to identify enough positive cardiac stress tests that get subsequent assessments and care or intervention.

The remaining 28% of preoperative cardiac stress tests that were ordered, categorized as “Other”, were those for indications outside of societal and institutional guidelines. This does not mean those guidelines are flawed but more likely that they are not all encompassing. Not every patient can be fitted into an algorithm and there is often insufficient evidence for ordering cardiac stress tests for many commonly encountered situations which then must rely on physician clinical judgment. Of these “Other” cardiac stress tests, 53% were deemed appropriate by peer review. The majority (65%) of the indications for these cardiac stress tests were for preoperative chest pain. Another large portion of these “Other” cardiac stress tests were for low functional status despite not meeting criteria by societal or institutional guidelines. Perhaps this is the patient population that fails the anesthesiologist’s clinical “eye ball test”, meaning they look unwell overall and have multiple comorbidities and risk factors that don’t necessarily fit into the Revised (Lee) Cardiac Risk index (RCRI) that the ACC/AHA guidelines use in their Stepwise Approach to Perioperative Cardiac Assessment [8].

The question of what to do with ambulatory preoperative chest pain is a challenging one. Unlike patients presenting to a cardiologist or PCP with chest pain as the chief complaint, the perioperative physician inquires to the patient about the chest pain during the review of systems evaluation. This is a very different chest pain patient population and likely the positive predictive value of chest pain for myocardial ischemia is lower in this population than patients seeking care in other settings. Commonly, these patients have not seen a PCP in the recent past, or ever. When the preoperative clinician inquires to the patient about a history or current chest pain, it is often the first time the patient has been asked about it. Patients are commonly anxious about their upcoming surgery, which can often be perceived as palpations or chest pain. Sometimes an anxious patient will overstate symptoms so that “nothing gets missed”. This makes it difficult for the perioperative anesthesiologist to decide how to work up and manage patients with ambulatory atypical or non-classical anginal chest pain symptoms. Rarely do patients tell a story of classic angina pectoris symptoms and meet all three AHA criteria for typical angina pectoris: substernal chest discomfort with a characteristic quality and duration,

Table 4. Assessment of Ordering Different Types of Cardiac Stress Tests

| Group                                | Number | Percentage of proper ordering |
|--------------------------------------|--------|------------------------------|
| Patients with LBBB or RV pacing      | 51     | 41% (21/51)                  |
| patients with any type of stress test ordered | 21     |                              |
| Exercise ECG stress tests ordered    | 64     | 58% (37/64)                  |
| Exercise ECG stress tests ordered with normal resting baseline ECG | 37     |                              |

Shown is the analysis of the ordering of different types of cardiac stress tests, specifically pharmacological vasodilator cardiac stress tests and exercise ECG stress tests. LBBB: left bundle branch block; RV: right ventricle; ECG: electrocardiogram.
which is provoked by exertion or emotional stress, and relieved by rest or nitroglycerin [9]. There are no specific guidelines on the management of non-specific chest pain in the outpatient setting, especially those presenting for preoperative surgical evaluation. Wilhelmsen et al [10] in a long-term study analyzed men who had not consulted any physician for chest pain. The men were subsequently questioned about chest pain and evaluated and categorized by physicians into three categories: no chest pain, nonspecific chest pain or typical angina pectoris. The men classified as having nonspecific chest pain had nearly as high a cardiovascular morbidity and mortality and even higher non-cardiovascular mortality compared to men who were classified as having typical angina pectoris. Of course, the perioperative physician can assess for concomitant risk factors for coronary artery disease and combine this information with a history of nonspecific chest pain to decide on further workup [11]. However, this only addresses the issue of coronary artery disease whereas the goal with the RCRI and ACC/AHA guidelines is to assess for major adverse cardiac events not just myocardial infarction from coronary artery disease [8]. Ultimately, the decision to pursue further workup may depend on the physician’s clinical judgment and the overall clinical picture.

While 53% of the “Other” or non-guideline driven cardiac stress tests ordered were deemed appropriate by our peer review might seem low, the previous paragraph brings attention to the problems of preoperative cardiovascular assessment at preoperative clinics. Physician’s own clinical judgment often comes into play, which is very variable. This can be seen by the fact that of all the charts reviewed by the three peer-reviewing senior perioperative anesthesiologists, there was a complete agreement only 50% of the time as to whether a cardiac stress test was appropriate or not. This means that one of the three senior anesthesiologists disagreed with the other two senior anesthesiologists in 50% of the charts reviewed. The authors chose a peer review model of all anesthesiologists to get a sense if there is consensus within the specialty. Added value would come from a separate peer review by all cardiologists to see if there is consensus for ordering these “Other” cardiac stress tests. Comparing the peer reviews of the anesthesiologists to those of cardiologists would be of high interest. Both of these are protentional ideas for future studies.

Only 53% of the “Other” cardiac stress tests were deemed appropriate and only 50% of the “Other” cardiac stress tests had unanimous peer review vote which can be alarming. However, this must be put into context. During this 10-year study the BWH PEC saw approximately 25,000 patients a year, equating to approximately 250,000 patients. After exclusions, 98 cardiac stress tests were categorized as “Other” cardiac stress tests. This approximates to less than 0.04% of the patients seen at the BWH PEC. While this approximation is more of a “guesstimate”, it’s important to create this context to show that the vast majority of patients and their cardiovascular assessment and workups done by the anesthesiologist are following societal and institutional direction. Based on this larger view, it can be seen that anesthesiologists are following guideline-driven workup very well. In approximately less than 0.04% of the patient assessments is there non-guideline-driven workup. This is not to say that number of “Other” cardiac stress deemed appropriate cannot be improved. Anesthesiology subspecialty training in perioperative medicine is becoming more common and can provide additional training for assessment of these tougher clinical scenarios. However, a better approach to help improve the cardiovascular workup of this minute patient population is to pursue an interdisciplinary approach, which is a collaboration between anesthesiology, cardiology, and surgery on whether further cardiac workup is needed and if it will actually change the clinical course of the patient.

There are many types of cardiac stress tests: exercise stress testing, which includes the exercise ECG stress test, exercise echocardiography stress test, exercise myocardial perfusion imaging test (nuclear), cardiopulmonary exercise test; and pharmacological stress testing which includes dobutamine echocardiography stress test, vasodilator (dipyridamole, adenosine, regadenoson) myocardial perfusion imaging (nuclear) stress test [12]. While there are published recommendations and appropriate use criteria for specific types of cardiac stress tests [13-16], there is no consensus or recommendation about which type of cardiac stress test to choose preoperatively. Only the most recent Stepwise Approach to Perioperative Cardiac Assessment Treatment algorithm from the 2014 ACC/AHA Guidelines on Perioperative Cardiovascular Evaluation and Management of Patients Undergoing Noncardiac Surgery recommends specifically a pharmacologic stress test [2]. The previous 2002 and 2007 iterations did not specify a type of cardiac stress test, and therefore the choice of type of cardiac stress test was up to the ordering clinician but frequently dictated by whether the patient has physical or orthopedic comorbidities that limited them from exercising. However, there are a few subsets of patients where a specific modality of cardiac stress test is preferred according to the ACC/AHA guidelines [2]. Exercise ECG stress testing is not the optimal choice for patients with resting ECG abnormalities as they often make the test invalid. In addition, due to the septal wall motion of a LBBB or RV pacing, the optimal test is a pharmacological vasodilator myocardial perfusion stress test.

Among the exercise ECG stress tests ordered in this study, 42% had resting abnormalities that invalidated them and should have warranted a different cardiac stress testing modality. In patients who had a resting ECG with LBBB or RV pacing, only 41% of the cardiac stress tests were pharmacologic vasodilator myocardial perfusion stress tests. This suggests that perioperative physicians ordering cardiac stress tests may need education on the optimal cardiac stress test modality. Our institution has already been implementing education of all anesthesiologists rotating in the preoperative clinic with the help of an establishment of a core staff of anesthesiologists with expertise in preoperative evaluation and management. We believe this is demonstrated by the fact no inappropriately ordered exercise ECG stress tests were ordered after 2011. The education efforts at our institution by the core staff of preoperative anesthesiologists has been by creating dedicated grand rounds yearly on preoperative medicine and through frequent staff communications on updates and changes to guidelines for preoperative workup. In addition, quality assessment and quality improvement through projects like this retrospective review provide areas of where education should be focused. Our Anesthesiology Department has a residency program, and daily dedicated teaching on topics of preoperative medicine
and workup is provided to all anesthesiology residents when they rotate through the preoperative center. Also, our institution has created a perioperative medicine fellowship in anesthesiology to allow individuals who want additional training in the field gain extra experience.

This study focused on the indications for ordering cardiac stress tests rather than on the results of the cardiac stress tests and their impact on clinical management. As previously mentioned, those later inquiries are very important but far outside the scope and capabilities of this study. Morgan et al examined [17] the utility of dobutamine stress echocardiograms during the preoperative evaluation of patients scheduled for non-cardiac surgery. That study reviewed 85 patients and focused on the utility of the positive cardiac stress tests to guide further intervention. While the study was useful, to properly assess the utility of preoperative cardiac stress tests on further clinical management, an extremely large multi-center study would be required to obtain a sufficient number of positive cardiac stress tests resulting in subsequent interventions. For this reason, examination of the results of the cardiac stress tests and their effect on clinical management was not examined.

A limitation to our study is that it was retrospective from a single center. While the BWH PEC is a high-volume clinic that was seeing over 25,000 patients a year during the study period and has been in place for a relatively long time, this study examines only the cardiac stress tests ordering patterns of the anesthesiologists from single institution. This cannot be generalized to all anesthesiologists at all centers.

Conclusions

This study shows that most preoperative cardiac stress tests are ordered by anesthesiologists according to societal or institutional guidelines. The type of preoperative cardiac stress test ordered by anesthesiologists is not always optimal. Education regarding choice of cardiac stress modality has improved over time but continues to benefit from ongoing departmental continuing educational initiatives. In addition, a significant portion of cardiac stress tests are ordered based on clinical judgment likely due to the lack of guidelines and recommendations on many commonly encountered preoperative patient scenarios.

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Conflict of Interest

None.

Disclosures

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