Pushing the Limits of the Multi-modal Equivalent Circuit Approach for Stacks of 2-D Aperture Arrays

Antonio Alex-Amor\textsuperscript{a,b}, Francisco Mesa\textsuperscript{c}, Ángel Palomares-Caballero\textsuperscript{a}, Carlos Molero\textsuperscript{a}, Pablo Padilla\textsuperscript{a}

\textsuperscript{a}Departamento de Teoría de la Señal, Telenática y Comunicaciones, Universidad de Granada, 18071 Granada, Spain; e-mail: aalex@ugr.es; angelpc@ugr.es; cmoleroj@ugr.es; pablopadilla@ugr.es

\textsuperscript{b}Information Processing and Telecommunications Center, Universidad Politécnica de Madrid, 28040 Madrid, Spain

\textsuperscript{c}Microwaves Group, Department of Applied Physics 1, Escuela Técnica Superior de Ingeniería Informática, Universidad de Sevilla, 41012 Sevilla, Spain; e-mail: mesa@us.es

\textbf{Keyword:}
Equivalent circuit approach, 3-D periodic stacks, dispersion analysis, frequency selective surface (FSS), glide symmetry, lossy materials, metamaterials, analytical treatment.

\textbf{Abstract:}
Many frequency selective surface (FSS) structures are based on the use of a single periodic array of slot/apertures in a conducting sheet embedded in a layered medium. However, it is well known that stacking several conducting sheets and breaking the alignment of the stack can bring multiple benefits to the structure. In this paper, the analysis and design of stacks of 2-D aperture arrays are carried out by exploiting as much as possible all the potentialities of a rigorous and systematic formulation based on the multi-modal equivalent circuit approach (ECA). A key feature of the formulation is that linear transformations between the apertures of adjacent plates (rotation, translation, and scaling) can be dealt with from a purely analytical perspective. This fact is of potential interest for many practical applications, such as the design of polarization converters, absorbers, filters, and thin matching layers. When the apertures have an arbitrary geometry, it can be applied a hybrid approach that combines the ability of commercial simulators to handle arbitrary geometries with the fast computation times and physical insight of the ECA. In general, either the purely analytical or the hybrid approach can be applied in those many practical scenarios where the spatial profile of the electric field on the considered apertures hardly changes with frequency. As an additional feature of the approach, the dispersion properties (phase/attenuation constants and Bloch impedance) of infinite periodic stacks can be derived and, in particular, analytical expressions for mirror- and glide-symmetric configurations are provided.

1. Introduction

Structured surfaces have attracted a lot of attention both in microwaves \cite{11,12}, THz \cite{13,14} and the optical range \cite{15,16} due to their versatility to control the reflection, refraction, and diffraction of the impinging waves by simply adjusting the geometrical parameters of the structure. This key feature has found multiple applications in science and engineering, such as FSS \cite{17,18}, polarizers \cite{19,20}, absorbers \cite{21,22,23}, high-impedance surfaces \cite{24,25} and electromagnetic bandgap (EBG) devices \cite{26,27}.

A subclass of structured surfaces that are of particular interest in electromagnetism are one-dimensional (1-D) strip/slit gratings \cite{28,29} as well as two-dimensional (2-D) periodic arrangements of metal patches and/or perforated apertures \cite{30,31} in a layered medium. For these periodic structures, the scattering properties associated with an incident plane wave can be derived from a general waveguide discontinuity problem where periodic boundary conditions are applied \cite{32,33}. From this fact, it directly follows that the scattering problem can be analyzed in a rigorous manner from a circuit model perspective \cite{34,35,36}.

Most of the structures analyzed in the previous references consist of a single metal layer embedded in a layered dielectric medium. Nonetheless, it is well known that stacking several metal layers opens new possibilities to the design, such as the existence of transmission and rejection bands, increase of the operating bandwidth, appearance of negative-index refraction bands, enhanced performance of polarization converters, etc \cite{37,38,39,40}. Some equivalent circuits have been proposed to model the performance of stacked structures \cite{14,28,29,41,42}. However, some of these works (for instance, \cite{14,28,29,41}) require a substantial assistance of...
previous full-wave simulations and the scope of some others (for instance, [41, 42]) is focused to very particular configurations and their formulation mainly based on a heuristic rationale. In these latter works, the proposed circuit models fail to take into account the strong coupling between the stacked layers when these are closely spaced. In the present work, we are interested in an equivalent circuit approach (ECA) that manages to include the coupling effects while offering a good physical insight on the scattering problem, and all of this by means of an analytical procedure [44]. This kind of insightful works starts from basic electromagnetic principles and even/odd excitation techniques [43, 45] to study aligned and symmetric configurations, which nonetheless is a limiting factor of the functionalities of the stacked structure.

Recently, the range of use of these more rigorous equivalent circuits was successfully extended in [46] to model aligned stacks of apertures. Interestingly, breaking the alignment of the stacked metal layers can lead to enhanced performances of the stacked structure, especially with the inclusion of some of the revisited higher symmetries [47]. Glide symmetry is a kind of higher symmetry particularly useful in planar and stacked structures which involves a mirroring and a displacement of half a period between adjacent layers. Their effects cannot be modeled with the formulation presented in [46], as the layers were required to be aligned along the vertical direction. The implementation of glide symmetry makes it possible to suppress the lowest stopband of the first propagating modes [48], reduce the frequency dispersion of the structure [49]-[51], increase the equivalent refractive index [52, 53], and produce wideband anisotropy [54].

The above beneficial properties are expected to be efficiently analyzed with the extension of the ECA proposed in this work. To reach this goal, the multimodal equivalent-circuit methodology reported by some of the authors [54] is now extended to accurately compute the scattering properties of asymmetrical and nonaligned stacks formed by slot-based 2-D periodic arrays with arbitrary apertures under normal and oblique incidences. The proposed formulation is a non-trivial extension of 1-D case reported in [55], which aims to explore the limits of applicability of the equivalent-circuit modelling by including a second spatial dimension and by discussing new possibilities of analysis and/or applications. Thus, the proposed ECA will reveal itself as a very efficient tool for the design of wideband radomes, polarization converters, filters, and many other devices based on stacked metallo-dielectric layers. Furthermore, stacked slot-based structures possessing glide symmetry can be studied from a circuit perspective. This is a very appreciated feature, since glide-symmetric structures can rarely be described by means of circuit models due to the strong and non-trivial interaction between adjacent layers [51, 56].

The work is organized as follows. Section 2 presents the formulation applied to the computation of the scattering properties of asymmetrical and nonaligned stacks of 2-D arbitrarily shaped apertures. Section 3 particularizes the study to canonical geometries, such as rectangular and annular apertures. Section 4 analyzes apertures of arbitrary shape. Section 5 illustrates how to carry out a dispersion analysis of 3-D periodic stacks for a frequency range only achieved before by commercial simulators. Finally, Section 6 summarizes the main conclusions extracted from the work.

2. Formal Analysis

This section will first briefly outline the general procedure already reported in previous works by some of the authors to deal with a stack of N metallic screen periodically perforated with arbitrary apertures. For simplicity, let us consider the stack of three nonaligned 2-D periodic arrays composed of strongly-coupled arbitrary apertures displayed in Fig. 1(a), upon which a time-harmonic incident plane wave of angular frequency \( \omega = 2\pi f \) is obliquely impinging with a wavevector \( \mathbf{k}_{inc} = (k_{x0}, k_{y0}, k_{z0}) \) given by

\[
\begin{align*}
  k_{x0} & = \sqrt{\varepsilon_r^{(0)}} k_0 \sin \theta \cos \phi \\
  k_{y0} & = \sqrt{\varepsilon_r^{(0)}} k_0 \sin \theta \sin \phi \\
  k_{z0} & = \sqrt{\varepsilon_r^{(0)}} k_0 \cos \theta
\end{align*}
\]

where \( \varepsilon_r^{(0)} \) is the relative permittivity of the incident medium, \( k_0 \) is the vacuum wavenumber, and \( \theta \) and \( \phi \) are the elevation and azimuth angles of the incident wave, respectively. The adjacent metallic screens of the stack are separated with dielectrics of relative permittivity \( \varepsilon_r^{(i)} \) and thickness \( h_i \).

The tangential electric field on the aperture of a unit cell of one of the metal screens, \( \mathbf{E}_t(x, y, f) \), is modeled in the following way:

\[
\mathbf{E}_t(x, y, f) = F(f) \mathbf{E}_a(x, y)
\]
where $F(f)$ is a frequency-dependent complex factor and $E_a(x,y)$ is the assumed frequency-independent spatial profile. This assumption, key for the development of the formulation, is found to be applicable in a wide frequency band for many practical structures, even beyond the grating-lobe regime \[34, 46\]. The spatial profile $E_a(x,y)$ can be expressed in closed form for canonical geometries (such as the rectangular and annular apertures considered in Appendix A), which helps to reduce considerably the computational effort and will be exploited in Section 3. For more complex aperture geometries, any commercial full-wave commercial software allows us to extract the spatial profile from the simulation of a single (non-stacked) free-standing metallic screen at only one particular frequency value. With this limited use of the full-wave simulator, we can combine the advantages of the ECA with the versatility of commercial software to deal with arbitrary geometries \[25\]. This advantageous assistance of commercial simulators will be exploited in Section 4 for the analysis of nonaligned stacks of arbitrary geometry.

Based on the transfer (ABCD) matrix formalism \[31, 57\], the formulation derived in \[55\] for nonaligned stacks of 1-D periodic gratings is now extended to cover cases of 2-D apertures of arbitrary geometry. As schematically shown in Fig. 1(b), the three-screen stacked structure in Fig. 1(a) is divided in four blocks: two associated with the so-called external input and output regions, and other two regions associated with the internal part of each pair of consecutive coupled arrays. As depicted in Fig. 2, the admittance of the external input/output regions are given by

$$Y_{\text{ext}}^{(\text{in})/(\text{out})} = \sum_{n,m=-\infty}^{\infty} \left[ \left( \frac{N_{nm}}{L/R} \right)^2 Y_{nm}^{(1)/2,\text{TM}} + \left( \frac{N_{nm}}{L/R} \right)^2 Y_{nm}^{(1)/2,\text{TE}} \right]$$

where the index $(1)/(2)$ refers to the $(i)$-th internal region and the index $(0)$ refers to free space. The network topology of the internal $(i)$-th block is formed by the transmission lines associated with the harmonics corresponding with the dielectric layers inside the corresponding pair of coupled arrays and the transformers located just to the left and right hand sides of these transmission lines. This internal region can then be modelled by
the following admittance matrix \((i = 1, 2)\):

\[
Y^{(i)} = \begin{bmatrix}
Y_{11}^{(i)} & Y_{12}^{(i)} \\
Y_{21}^{(i)} & Y_{22}^{(i)}
\end{bmatrix}
\] (6)

the entries of which are calculated as \((u, v = 1, 2)\)

\[
Y_{uv}^{(i)} = \sum_{n,m=1}^{\infty} (Y_{uv,nm}^{(i),TM} + Y_{uv,nm}^{(i),TE})
\] (7)

with \((TX\) will stand indistinctly for either \(TM\) or \(TE\))

\[
Y_{11}^{(i),TX} = \left(\frac{N_{11,0}}{N_{0,1}}\right)^2 \left[-j Y_{11,nm}^{(i),TX} \cot(k_{z,nm} h_i)\right]
\] (8)

\[
Y_{12}^{(i),TX} = \left(\frac{N_{12,0}}{N_{0,1}}\right) \left[j Y_{12,nm}^{(i),TX} \csc(k_{z,nm} h_i)\right]
\] (9)

\[
Y_{21}^{(i),TX} = \left(\frac{N_{21,0}}{N_{0,1}}\right) \left[j Y_{21,nm}^{(i),TX} \csc(k_{z,nm} h_i)\right]
\] (10)

\[
Y_{22}^{(i),TX} = \left(\frac{N_{22,0}}{N_{0,1}}\right)^2 \left[-j Y_{22,nm}^{(i),TX} \cot(k_{z,nm} h_i)\right].
\] (11)

In the above derivations, it has been assumed that the internal region \((i)\) only comprises a single dielectric \((i)\).

As reported in [24], if the internal region \((i)\) is composed of several dielectric layers, we should substitute the transmission lines associated with the harmonics inside the single dielectric by the corresponding cascade of transmission lines that accounts for the layered environment (namely, the terms inside the brackets in the above expressions should be substituted by the corresponding ones associated with the cascade of dielectric layers). The indexes \(L\) and \(R\) in (5) and (8)–(11) refer respectively to the left-side and right-side aperture arrays that bound the dielectric \((i)\).

\(Y_{nm}^{(i),TX}\) is the wave admittance of the \((n,m)\)-th \((TX \equiv TM/TE)\) harmonic at dielectric \((i)\), defined as

\[
Y_{nm}^{(i),TM} = \frac{1}{\eta^{(i)}} \frac{k_{z,nm}^{(i)}}{k^{(i)}}
\] (12)

\[
Y_{nm}^{(i),TE} = \frac{1}{\eta^{(i)}} \frac{k_{z,nm}^{(i)}}{k^{(i)}}
\] (13)
with \( n^{(i)} \) being the wave impedance of the \( i \)-th medium and \( k_{z,nm}^{(i)} \) the longitudinal wavenumber of the \((m,n)\)-th harmonic in such medium, given by

\[
k_{z,nm}^{(i)} = \sqrt{|k^{(i)}|^2 - |k_{t,nm}|^2}.
\]  

(14)

In [14], \( k^{(i)} = \sqrt{\varepsilon^{(i)} k_0^2} \) and \( k_{t,nm} \) is its associated transversal wavevector, expressed as

\[
k_{t,nm} = k_{xm} \hat{x} + k_{ym} \hat{y} = (k_{x0} + k_{y0}) \hat{x} + (k_{y0} + k_{x0}) \hat{y}
\]  

(15)

with

\[k_n = \frac{2\pi n}{p_x} \quad , \quad k_m = \frac{2\pi m}{p_y}\]

and \( p_x, p_y \) the periods of the unit cell in the \( x \) and \( y \) directions. From a circuit standpoint, the coefficients \( N_{nm,L/R}^{(i)} \) are the turn ratios of transformers associated with the \((m,n)\)-th harmonics in region \( i \) [24]. Mathematically they stand for the projection of the \((n,m)\)-th harmonic on the 2-D Fourier transform of the spatial profile \( E_{a,L/R}^{(i)}(x,y) \) at the corresponding left-/right-side apertures; that is,

\[
N_{nm,L/R}^{(i),TM} = \tilde{E}_{a,L/R}^{(i)}(k_{t,nm}) \cdot k_{t,nm}
\]  

(16)

\[
N_{nm,L/R}^{(i),TE} = \tilde{E}_{a,L/R}^{(i)}(k_{t,nm}) \cdot (k_{t,nm} \times \hat{z})
\]  

(17)

\( \tilde{E}_{a,L/R}^{(i)}(k_{t,nm}) \) is the 2-D Fourier transform of the spatial profile in the left/right apertures of the coupled arrays \( i \) calculated at \( k_{t,nm} \), and \( \hat{k}_{t,nm} \) is the unit vector associated with \( k_{t,nm} \).

In general, \( N_{nm,L}^{(i),TM} \) and \( N_{nm,R}^{(i),TM} \) have different and unrelated values, since \( \tilde{E}_{a,L}^{(i)}(k_{t,nm}) \) can be arbitrarily different from \( \tilde{E}_{a,R}^{(i)}(k_{t,nm}) \). However, in many practical situations, the left and right apertures are related by algebraic transformation (translation, rotation, reflection and dilation), which makes it then possible to find simple algebraic relations between the involved Fourier transforms. As an example, the misalignment of consecutive periodic arrays is taken into account by means of

\[
\tilde{E}_{a,R}^{(i)}(k_{t,nm}) = \tilde{E}_{a,L}^{(i)}(k_{t,nm}) e^{j k_{t,nm} \cdot d}
\]  

(18)

where \( d = d_x \hat{x} + d_y \hat{y} \) represents the displacement of the aperture (this misalignment was already considered in [55] for 1-D periodic arrays). The case of stacks of rotated periodic arrays will be treated in Section 3.2. An interesting case arises when \( d = p_x/2 \hat{x} + p_y/2 \hat{y} \); namely, when the periodic stack has glide symmetry [17] [56]. Under normal incidence, it means that [18] turns into

\[
\tilde{E}_{a,R}^{(i)}(k_{t,nm}) = \left( -1 \right)^{n+m} \tilde{E}_{a,L}^{(i)}(k_{t,nm})
\]  

(19)

which implies that

\[
N_{nm,R}^{(i),TM} = \left( -1 \right)^{n+m} N_{nm,L}^{(i),TM}
\]  

(20)

This particular relation between the transformer ratios is in very close correspondence with the discussion in [55] on the symmetry of the even/odd harmonics when dealing with glide-symmetric structures. Thus, it is found that each \((n,m)\) harmonic involves the presence of a magnetic/electric wall in the middle plane of the sub-unit cell on account of the even/odd characteristic of \( n + m \). This interesting feature of Bloch modes in glide-symmetric structures is key for providing many of the beneficial properties of these periodic structures [55].

It should be noted that all the previous expressions from (7) to (17) are frequency dependent. Thus, the double sum in (7) would have to be performed for every frequency value in an eventual frequency sweeping. However, for high-order (ho) harmonics \( (k_{x0}^2 + k_{y0}^2 \gg \varepsilon r k_0^2) \), it is apparent that the wavenumber and wave admittances can be well approximated as [24] [36]

\[
k_{z,nm}^{(i),ho} \approx -j \alpha_{nm} = -j \sqrt{k_n^2 + k_m^2}
\]  

(21)

\[
y_{nm}^{(i),ho} \approx \begin{cases} \frac{j \omega \varepsilon_{(r)}^{(i)}}{\alpha_{nm}} & \text{TM harmonics} \\ \frac{1}{j \omega \mu_{(r)}^{(i)}} & \text{TE harmonics} \end{cases}
\]  

(22)
It implies that a great deal of computational effort can be saved in the computation of (7) by splitting the
double infinite sum into a low order (lo) contribution \(|n,m| \leq N\), which is frequency dependent but only
comprises a few terms, plus a higher order (ho) contribution \(|n,m| \geq N + 1\) that is frequency independent; namely,
\[
Y_{uv}^{(i)} = \sum_{n,m=-N}^{N} \left( Y_{uv,nm}^{(i),TM,lo} + Y_{uv,nm}^{(i),TE,lo} \right) + \sum_{|n,m| \geq N+1}^{\infty} \left( Y_{uv,nm}^{(i),TM,ho} + Y_{uv,nm}^{(i),TE,ho} \right),
\]
(23)

Therefore, the computational effort in the frequency-sweeping computation of each of the admittance matrices
\(Y_{uv}^{(i)}(f)\) lies almost entirely in the obtaining of the reduced summation associated with \(Y_{uv}^{lo}(f)\), with \(Y_{uv}^{ho}\) needed
to be computed just once. It should be noted that the number of lower-order harmonics can be considerably
reduced when the periods of the unit cell are electrically small.

3. Canonical Apertures

In this section, the proposed ECA is used and tested to compute the scattering properties of stacked structures
formed by apertures for which the spatial dependence of their tangential electric fields can be expressed
by closed-form expressions.

3.1. Symmetrical and Aligned Stacks

As a first study case, it is considered the multilayered structure studied in [60] and shown in Fig. 3(a). The
stack is made up by ten perfectly aligned metallic screens of periodic annular apertures separated by a dielectric

Figure 3: (a) Symmetrical and aligned stack of ten 2-D arrays formed by annular apertures presented in [60]. (b) Transmissivity
versus frequency for TM normal incidence. Geometrical parameters of the unit cell: \(a = 3.8\, \text{mm},\, b = 4.8\, \text{mm},\, p_x = p_y = p = 10\, \text{mm},\)
\(h = 1.575\, \text{mm},\) and \(\varepsilon_r = 2.65.\)
of permittivity $\varepsilon_r$. The metal is assumed to be a perfect electric conductor (PEC) and no losses are considered in the dielectrics in this stage. High transmission can be achieved by stacking and alternating identical metallic and dielectric layers. This is appreciated in Fig. 3(b), where the transmissivity is computed with the proposed approach and then compared with the original results in [60]. For the computation, the electric field in the aperture is assumed to be well modeled by the function $\bar{A}_l$ ($l = 1$) in the Appendix, $N = 5$ has been considered in (23) and the double infinite sum has been truncated to $N_{\max} = 10$. A good agreement is found between our closed-form results and the set of data in [60]. The good agreement is somewhat expected since the frequency range analyzed covers the range of validity of our approach; namely, under normal incidence, roughly below the frequency of the second resonance of the annular aperture ($\approx 15$ GHz). As the number of stacked metallic layers increases, more transmission peaks appear in the transmission spectra. For the structure under consideration, a passband emerges from 6.5 GHz to 12.5 GHz with transmissivity values over 50%. It should be mentioned that all terms involved in the computation of our data are known in closed-form expressions, which allows us to carry out the study shown in the figure with a very reduced computational effort.

3.2. Glide-symmetric Stacks

As previously discussed, breaking the alignment between two consecutive layers can bring some advantages to conventional FSSs. In particular, next we will study the effect of the introduction of glide symmetry in the structure previously analyzed. The half-period displacement implicit in the glide symmetry is taken into account here in a fully-analytical form. To the authors’ knowledge, this is the first reported case where equivalent circuits can accurately model the strong higher-order coupling between Floquet harmonics in glide-symmetric FSS structures.

Figure 4: (a) Glide-symmetric stack of ten 2D arrays formed by subwavelength annular apertures. (b) Transmissivity versus frequency for TM normal incidence. Geometrical parameters of the unit cell: $a = 3.8\, \text{mm}$, $b = 4.8\, \text{mm}$, $p_x = p_y = p = 10\, \text{mm}$, $d_x = d_y = p/2$, $h = 1.575\, \text{mm}$, and $\varepsilon_r = 2.65$. 

\[ \text{Fig. 4: (a) Glide-symmetric stack of ten 2D arrays formed by subwavelength annular apertures. (b) Transmissivity versus frequency for TM normal incidence. Geometrical parameters of the unit cell: } a = 3.8\, \text{mm}, b = 4.8\, \text{mm}, p_x = p_y = p = 10\, \text{mm}, d_x = d_y = p/2, h = 1.575\, \text{mm}, \text{and } \varepsilon_r = 2.65. \]
Fig. 4(a) presents the glide-symmetric version of the stacked structure previously presented in Fig. 3(a), named henceforth as mirror-symmetric. For a fair comparison, the same geometrical parameters and number of layers have been kept. Fig. 4(b) illustrates the transmissivity of the glide-symmetric stacked structure. A good agreement is observed between the proposed formulation and the finite element method (FEM) of commercial software CST for such a complex transmission spectra. However, our formulation is significantly more computationally efficient than the commercial software. Using the same computer, the ECA took less than 20 seconds in the analysis of the whole frequency range while CST took more than 30 minutes for the same analysis. It is also observed that the passband of the glide-symmetric configuration (8 GHz of bandwidth) is notably widened compared to the mirror-symmetric structure (6 GHz of bandwidth). This can be attributed to the suppression of the stopband related to the first Bloch mode in the glide-symmetric FSS. However, note that the ripple level of the structure with glide symmetry is also increased compared to the mirror-symmetric structure. This is associated with the mismatching of the impinging free-space wave and the impedance of the propagating Bloch mode in the stacked structure. These facts will be discussed in greater detail in Section 5.

3.3. Asymmetrical and Nonaligned Stacks

The range of use of the circuit model is not limited to multilayered structures with the same type of apertures, such as those discussed above. Different types of apertures can be combined, as shown in Fig. 5(a). Periodic annular and rectangular apertures are stacked in this case, forming a 3-layer structure where the second perforated plate is off-shifted half a period in $y$ direction, $d_y = p/2$. The spatial profile of the electric field assumed to be excited on the rectangular aperture is given in (A.2) in the Appendix. Our closed-form results and the ones obtained with CST are plotted in Fig. 5(b). Good agreement is observed with CST in a wide frequency band, reaching a precision to the third and fourth decimal place (-60 dB) in the rejection bands. It should be remarked the fully-analytical nature of our results, in contrast to previous approaches [46]. Fully-analytical results can be obtained as long as the spatial profile of the considered apertures can be expressed in a closed form, regardless of the geometry of the apertures and the application of linear transformations (displacement, rotation, scaling, etc).

Figure 5: (a) Asymmetrical and nonaligned stack of two 2-D arrays formed by annular and rectangular apertures. (b) Magnitude of the transmission parameter for TM normal incidence. Geometrical parameters of the unit cell: $a_1 = 3.8$ mm, $b_1 = 4.8$ mm, $a_2 = a_3 = 0.4$ mm, $b_2 = b_3 = 0.2$ mm, $p_x = p_y = p = 1$ mm, $d_y = p/2$, $h_1 = h_2 = 0.6$ mm, and $\varepsilon_r^{(1)} = \varepsilon_r^{(2)} = 2.6$. 

8
3.4. Rotated FSS

As previously mentioned, rotation is one of the possible algebraic transformations that can relate the left and right apertures of a coupled pair. Stacks of rotated periodic structures is a practical configuration, usually applied in the context of polarization converters [14, 28, 29]. In the frame of our analytical ECA, the spatial field profile of a single right- or left-side rotated aperture $E_{a,R/L}(r)$ admits to be represented in terms of the field profile in a non-rotated aperture $E_{a,L/R}(r)$ through the rotation matrix $R$:

$$E_{a,R/L}(r) = RE_{a,L/R}(R^{-1}r)$$

(24)

where $r = x\hat{x} + y\hat{y}$ and

$$R = \begin{bmatrix} \cos \alpha & -\sin \alpha \\ \sin \alpha & \cos \alpha \end{bmatrix}$$

(25)

with $\alpha$ being the rotation angle of the apertures in the counterclockwise direction. Starting from (24), it can be demonstrated that the 2-D Fourier transform of the spatial profile in the rotated aperture can be written in terms of the profile with no rotation as [61, 62]

$$\tilde{E}_{a,R/L}(k_{t,nm}) = R\tilde{E}_{a,L/R}(R^{-1}k_{t,nm})$$

(26)

The obtaining of the 2-D Fourier transform of the rotated field-profile just demands a simple linear transformation in terms of the rotation angle $\alpha$. The computation of the corresponding TM- and TE- transformer ratios is finally achieved by introducing $\tilde{E}_{a,R/L}(k_{t,nm})$ in (16) and (17).

An example of a stack comprising five rotated free-standing FSS’s ($q = 1, 2, \ldots, 5$) is shown in Fig.6(a), where it can be seen that each single metallic screen is periodically perforated with rectangular apertures of dimensions $a_q \times b_q$. The stack configuration is such that the rotation angle of the first and fifth (last) layers

![Diagram of a five-layer rotated stack](image)

**Figure 6:** (a) Five-layer rotated stack formed by rectangular apertures. (b) Magnitude of the transmission parameters for TM normal incidence. Geometrical parameters of the unit cell: $a_1 = a_5 = 7.25$ mm, $a_2 = a_4 = 8$ mm, $a_3 = 9$ mm, $b = 3$ mm, $p_x = p_y = p = 10$ mm, $h = 1.5$ mm, and $\varepsilon_r = 1$. 


are \( \alpha_1 = 0^\circ \) and \( \alpha_5 = 90^\circ \), respectively. This configuration, if efficiently optimized, may constitute a potential polarization converter. The orientation of the first and fifth apertures is suitable for a conversion from y-polarized to x-polarized electric fields. To accomplish the conversion, the intermediate layers \( (q = 2, 3, 4) \) have to be conveniently designed. In the example of Fig. 6(a), the corresponding rotation angles are \( \alpha_2 = 12^\circ \), \( \alpha_3 = 50^\circ \), and \( \alpha_4 = 78^\circ \), following a growing trend from the first to the fifth screen. Along with the optimum rotation angle for each screen, the dimensions of each aperture are also optimized. In particular, an optimum configuration has been found by keeping the shorter dimension of the apertures \( (b_q) \) identical for all the screens whereas the larger dimension \( (a_q) \) is symmetrically distributed \( (a_1 = a_5, a_2 = a_4) \). All the above derivations could be carried out with a very reduced computational effort due to the analytical nature of the employed ECA.

Fig. 6(b) shows the magnitude of the transmission coefficient of the above structure when it is excited by a normally-impinging plane wave with the electric-field vector directed along the \( y \) direction. The transmission coefficient is split into two components: the component corresponding the co-polarization term \( (E_y \rightarrow E_y) \) and the component corresponding to the cross-polarization term \( (E_y \rightarrow E_x) \).

As it can be appreciated in the figure, almost full conversion \( E_y \rightarrow E_x \) is achieved from 20 to 22 GHz, covering a fractional bandwidth of 9.5% approximately (the co-pol level is below -20 dB). The agreement between results provided by CST and the results obtained by our analytical circuit model is very good. As a comparison of the required computational effort, CST took more than fifteen minutes (900 seconds) to compute 1001 equally-spaced frequency points while the proposed ECA took less than 15 seconds.

### 3.5. Metal-backed FSS

The present ECA can easily deal with scenarios where the stack of aperture arrays are backed by a metallic screen. The back metallic screen is simply modeled as a short circuit; namely, the transmission lines associated with harmonics in direct contact with the ground plane have to be terminated with a short circuit. In the circuit representation shown in Fig. 2, the outgoing dielectric medium was assumed semi-infinite and has an equivalent admittance, \( Y_{\text{ext}} \), coming from the infinite transmission lines in parallel shown in the yellow box of the equivalent circuit. When this medium is grounded, the equivalent admittance \( Y_{\text{ext}}^{\text{(out)}} \) defined in 5 now becomes

\[
Y_{\text{ext}}^{\text{(out)}} = \sum_{n,m=-\infty}^{\infty} \left[ -j \left( N^{(2),\text{TM}}_{nm,R} \right)^2 Y_{nm}^{\text{(out)},\text{TM}} \cot(k_{z,nm}^{\text{(out)}}h_{\text{out}}) - j \left( N^{(2),\text{TE}}_{nm,R} \right)^2 Y_{nm}^{\text{(out)},\text{TE}} \cot(k_{z,nm}^{\text{(out)}}h_{\text{out}}) \right] \quad (27)
\]

where \( Y_{nm}^{\text{(out)},\text{TM/TE}} \) and \( k_{z,nm}^{\text{(out)}} \) take into account the relative permittivity of the grounded dielectric medium, and \( h_{\text{out}} \) is the length of the shorted transmission lines (that is, the length of the grounded dielectric medium). Unlike 5, the summation in (27) has now to include the fundamental harmonics of order \( n = m = 0 \) for both TE and TM harmonics.

Grounded dielectric FSSs can be employed for the design of absorbers by introducing, for instance, a lossy dielectric substrate in the stack. The information about the losses is included in the permittivity of the lossy material, which thus becomes a complex quantity. An example of a possible absorber configuration is depicted in Fig. 7(a), where a stack of two perforated metallic screens with identical rectangular apertures is considered. A lossy dielectric slab of FR4 is sandwiched between both layers, having a relative permittivity of \( \varepsilon_{\text{r}}^{(1)} = 4 \) and loss tangent \( \tan(\delta) = 0.02 \)—the complex permittivity of the lossy substrate is then given by \( \varepsilon_{\text{r}}^{(1)} = 4 \times (1 - j0.02) \).

A free-standing ground plane \( (\varepsilon_{\text{r}}^{(\text{out})} = 1) \) is placed at a distance \( h_{\text{out}} \). The structure is assumed to be excited by either a TM-polarized or a TE-polarized plane wave that impinges obliquely with an angle \( \theta \) (normally incident waves are considered when \( \theta = 0^\circ \)). The geometry of the unit-cell aperture is chosen to have a high absorption rate in both polarizations up to a incidence angle of 20 degrees, though in a narrow frequency band as shown in Fig. 7(b) and (c).

The absorption coefficient \( (A_c) \) is calculated in terms of the reflection coefficient as \( A_c = \sqrt{1 - |S_{11}|^2} \), where it is assumed that the reflected power is only carried by the \( n, m = (0, 0) \) harmonic, whose reflection coefficient is then given by \( S_{11} \). This assumption is valid below the onset of grating lobes and absence of cross-pol effects, which is fully satisfied in the present case (the symmetry of the rectangular apertures prevents the excitation of the cross-polarization term). The strong coupling induced by the proximity of the perforated plates can result in high absorption amplitudes, as this case illustrates. The thickness of the whole absorber is very reduced, with a total size of 1.5 mm, which means a thickness of \( \leq \lambda_0/20 \) at the full-absorption peaks observed in the figures. A slight frequency shift of less than 1% can be appreciated between some results given by CST and the ECA. The obtained good accuracy of the ECA demonstrates the ability of the approach to cover scenarios
Figure 7: (a) Metal-backed stacked structure acting as an absorber. Absorption coefficient for (b) TM oblique incidence and (c) TE oblique incidence. Geometrical parameters of the unit cell: $a = 8 \text{ mm}$, $b = 1 \text{ mm}$, $p_x = p_y = p = 10 \text{ mm}$, $h = 0.75 \text{ mm}$, $h_{\text{out}} = 0.75 \text{ mm}$, and $\varepsilon_r^{(1)} = 4 \times (1 - j0.02)$.

with stacked arrays including the presence of dielectric losses and a reflecting ground plane. The ability of the proposed ECA to deal with oblique incident waves is also validated in this example. Scenarios with $\theta = 60^\circ$ are well represented for both TM and TE incidence.

It should be noted that the present high accuracy of the ECA is directly related to the good approximation that the assumption made in (4) stands for in this case. The accuracy is expected to be more limited in other situations where the eventual excitation of higher resonances of the aperture is more significant. The lack of symmetry in the problem, the electrical size of the aperture as well as the number of plates are factors that may affect the suitability of the implicit approximation of the ECA in scenarios where oblique incidence is considered. However, it should be pointed out that many practical situations do satisfy the ECA validity conditions, and that is the model’s application niche this work is exploring.

4. Arbitrary Apertures

For non-canonical aperture geometries for which the spatial profile in (4) is not easily expressed in closed form, we can make use of a hybrid approach that advantageously combines the use of the equivalent circuit and commercial simulators [25]. More specifically, we can benefit of the ability of commercial simulators to deal with arbitrary geometries to extract the spatial profile of the considered aperture when only a single periodic array is considered in free space. The extraction of this spatial profile has to be done at a single frequency point, which is an operation far less computationally demanding than the simulation of the complete stack in the full frequency range. From this operation, the transformer turn ratios in (16) and (17) are obtained after numerically computing the Fourier transform of the aperture spatial profile. Moreover, linear transformations (rotation, scaling, displacement) can still be applied to this Fourier transform in order to find relations between the apertures of the perforated plates. As previously discussed, this approach will be valid as long as the aperture spatial profile does not greatly vary with frequency.

As an example, Fig. 8(a) presents a 3-layer stack formed by a periodic array of Jerusalem-cross apertures and two arrays of bowtie-shaped apertures, separated by two different dielectrics of permittivities, $\varepsilon_r^{(1)} = 4.7$ and $\varepsilon_r^{(2)} = 2.5$. The spatial profiles of the different apertures are extracted with the simulation of single, free-standing layers in CST at the lowest operating frequency (20 GHz). In this example, the mesh of the
Figure 8: Three-layer stack of arbitrary apertures. Geometrical parameters of the unit cell:

- \(a = 4.1\) mm,
- \(b_1 = 1\) mm, \(b_2 = 0.5\) mm,
- \(l = 1.5\) mm,
- \(w = 0.4\) mm,
- \(h_1 = 1.575\) mm, \(h_2 = 0.5\) mm, \(\varepsilon_r^{(1)} = 4.7\), and \(\varepsilon_r^{(2)} = 2.5\).

The bowtie-shaped apertures of the third layer are rotated \(\alpha = 10^\circ\) and scaled 1.2 times \((S_x = S_y = 1.2)\) with respect to the apertures of the second layer.

The unit cell consists of 60×60 hexahedral elements. In order to show the potentialities of the approach also for arbitrary geometries, the apertures of the third layer are taken as rotated and scaled versions of the apertures that form the second layer. This can be appreciated in Fig.8(b), where the absolute value of the aperture spatial profiles is shown. Thus, in this case it is only necessary to extract the spatial profile of the first and second plates \(E_{a,L}^{(1,2)}(x,y)\), since the Fourier transform of the spatial profile of the third plate can be expressed as \(\tilde{E}_{a,R}^{(2)}(k_{t,nm}) = R S \tilde{E}_{a,L}^{(2)}(R^{-1} S^{-1} k_{t,nm})\), where \(R\) is the previously defined rotation matrix and \(S\) represents a scale matrix given by \(S = \begin{bmatrix} S_x & 0 \\ 0 & S_y \end{bmatrix}\) \((28)\).

Note that matrices \(R\) and \(S\) commute if \(S_x = S_y\), as the scaling operator is then defined by a diagonal matrix. Fig.8(c) illustrates the transmission parameter of the 3-layer stack of arbitrary apertures. A good agreement is observed with CST, even for such a complex structure. As a comparison, CST took more than 20 minutes (more than 1200 seconds) to compute 501 equally-spaced frequency points while the present hybrid approach took, in total, less than 40 seconds. It clearly proves that efficiency of the present hybrid implementation to compute the scattering parameters of stacked structures with arbitrary apertures.
5. Infinite Periodic Stacks

5.1. Dispersion Diagram

As is well known, the dispersion relation of a periodic structure along the $z$ direction can be expressed in terms of the elements of the transfer matrix of the corresponding unit cell (period $p_z \equiv p$) as

$$\cosh (\gamma z p_z) = \frac{A_p + D_p}{2}$$

(29)

where $\gamma = \alpha_z + j\beta_z$ is the propagation constant of the Floquet mode and the subindex $p$ means that $A_p$ and $D_p$ are elements of the transfer (ABCD) matrix $\mathbf{T}_p$ associated with the unit cell of longitudinal period $p_z$. Given that $A = -Y_{22}/Y_{21}$, $D = -Y_{11}/Y_{21}$, the dispersion relation can be expressed in closed form by replacing [7–11] into (29) to give

$$\cosh (\gamma z p_z) = \frac{1}{2} \sum_{n,m=-\infty}^{\infty} \left\{ \left( N_{nm,L}^{TM/TE} \right)^2 + \left( N_{nm,R}^{TM/TE} \right)^2 \right\} \left[ Y_{nm}^{TM/TE} \cot(k_{z,nm}h) \right]$$

(30)

where the sum above extends to both TE and TM modes. The index ($i$) is removed in the above expression since all the internal regions are exactly the same in the present infinitely periodic structure under study. If there are more than one dielectric between the pair of coupled arrays, the terms inside the brackets in the second line of the above equation should be appropriately modified. In many practical situations, the unit cell of the periodic structure can be chosen to be symmetric, which implies that $A_p = D_p$ or, equivalently, $N_{nm,R}^{TM/TE} = N_{nm,L}^{TM/TE}$.

An important observation here is that one of the main limitations of the ECA employed in this work for the study of the scattering properties of stacked structures comes from the inadequacy of the method to deal with frequency sweepings where the spatial profile on the apertures significantly varies along the considered frequency range [34]. This fact can be physically connected with the excitation of higher resonances in the aperture. From a practical point of view, this limitation can be overcome by expressing the spatial profile with more than one basis functions, as exploited, for instance, in [26]. However, at the light of [30], one can observe that this equation can also be used to compute the dispersion behavior of high-order Floquet modes, despite the use of a single basis function to model the spatial profile on the aperture, as long as the single spatial profile used to compute the transformer ratios in [30] can match the geometrical variations of the corresponding Floquet mode. In practice, it means that the function $E_\alpha(x,y)$ should be chosen with a spatial profile that closely resembles the different resonant modes of a single aperture.

Following this rationale, the dispersion relation of the periodic mirror-symmetric stack of annular-aperture arrays shown in Fig.9(a) has been computed by solving

$$\cosh (\gamma z h) = \frac{1}{2} \sum_{n,m=-\infty}^{\infty} \left( N_{nm}^{TM/TE} \right)^2 \left[ Y_{nm}^{TM/TE} \cot(k_{z,nm}h) \right]$$

(31)

(the indexes L/R has been suppressed since its difference is not necessary in this case) with the spatial profiles given in panel (b), which corresponds to the mathematical form given in Eq. [A.6] in the Appendix for $l = 1, 2, 3, 4$. A comparison of the results for the phase shift ($\beta_z p_z/\pi$) obtained with the procedure proposed in this work and data provided by the CST Eigensolver is shown in the left plot of Fig.9(c), showing a good agreement between both sets of results.

The dispersion diagram of the glide-symmetric version of the above periodic structure is shown in Fig.9(d). For glide-symmetric periodic structures, it should be taken into account that the actual unit cell of the structure is symmetric (and of size $p_z = 2h$ in the particular case under study); namely, (29) reduces to

$$\cosh (\gamma z p_z) = A_p$$

(32)

Since the actual unit cell now involves two pair of coupled arrays (and in the simplest case considered here, two dielectric layers of size $h$), it means that $A_p$ actually comes from $\mathbf{T}_p = \mathbf{T}_p'\mathbf{T}_p''/2$, where $\mathbf{T}_p''$ stands for the
Figure 9: (a) Infinite periodic 3D array formed by annular apertures. (b) Spatial distributions on the annular apertures. Dispersion diagrams (phase shift and normalized attenuation constant) of the (c) mirror-symmetric and (d) glide-symmetric configurations. The results extracted from CST (black circles) are shown for comparison purposes. Parameters of the unit cell: $a = 3.8 \text{ mm}$, $b = 4.8 \text{ mm}$, $p_x = p_y = p = 10 \text{ mm}$, $p_z = 1.575 \text{ mm}$, and $\varepsilon_r = 2.65$. 
transfer matrix of one of the two sub-unit cells of size \( p/2 \) that comprises the actual unit cell (\( \mathbf{T}_{p/2} \) is the transfer matrix of the remaining sub-unit cell). In similarity with the discussion in [33] Sec. 2.2, the original dispersion relation (29) of the glide-symmetric structure [with period \( p_z = 2h \), as shown in Fig. 9(a)] can alternatively be rewritten as

\[
\cosh(\gamma_z p_z/2) = \sqrt{A_{p/2} D_{p/2}} = A_{p/2}.
\]  

(33)

For glide-symmetric structures, \( A_{p/2} \) turns out to be equal to \( D_{p/2} \), which follows after introducing (29) into (8) and (11). It implies that the dispersion relation of glide-symmetric structures can be obtained dealing only with the sub-unit cell of the structure (of size \( h \) in the present case); namely, the dispersion equation can be written as

\[
\cosh(\gamma_z h) = \sum_{n,m=-\infty}^{\infty} (-1)^{n+m} \left( \sum_{n,m=-\infty}^{\infty} \left( N_{nm}^{TM/TE} \right)^2 \left[ Y_{nm}^{TM/TE} \csc(k z, nm h) \right] \right).
\]  

(34)

The comparison of the dispersion equations for the mirror- and glide-symmetric structures given in (31) and (34) respectively, clearly shows that the only difference comes from the factor \((-1)^{n+m}\) in the denominator of (34).

The fact that only the sub-unit cell of the glide-symmetric structure has to be considered is in full correspondence with the sub-unit cell concept introduced in the statement of the generalized Floquet’s theorem reported in [37] for periodic structures with higher symmetries.

The left plot in Fig. 9(d) shows that the results given by the closed-form expression in (34) are again in good agreement with the data computed by CST, which have been obtained by considering the actual unit cell of period \( p = 2h \). The good agreement obtained for both the mirror-symmetric and the glide-symmetric periodic stack of aperture arrays clearly supports the ability of the present closed-form approach to obtain the dispersion diagram of periodic stacked structures even beyond the previous limits of validity of the analytical ECA discussed in [34].

It is worth noting here the correlation between the results of transmissivity shown in Figs. 3(b) and 4(b) and the dispersion behavior of the first Floquet mode in Figs. 9(c) and (d), respectively. The increase of the passband bandwidth observed in Fig. 4(b) for the glide-symmetric structure completely agrees with the wider bandpass of the first mode in Fig. 9(d) with respect to the one in Fig. 9(c). This effect has been widely reported as one of the advantages of glide-symmetric structures [59], and is shown here to be also a profitable characteristic of stacked FSS’s.

A well-known relevant constraint of most electromagnetic commercial simulators comes from their inability to provide the attenuation constants of the modes [34]. Fortunately, the present approach does not have this limitation since it directly computes the complex propagation constants of the Floquet modes. The corresponding values for the normalized attenuation constant \((\alpha_z/k_0)\) for the previously analyzed mirror- and glide-symmetric structures are shown in the right plots of Figs. 9(c) and (d), respectively. For the sake of clarity, only the attenuation constant of the first Floquet mode is shown. It can be appreciated that, in the common stopband regions (i.e., from 12.5 to 18.5 GHz), the attenuation constant of the mirror-symmetric configuration is greater than the one of the glide-symmetric case. This fact is in agreement with the results reported in [50] for waveguides loaded with holey structures.

### 5.2. Bloch-Floquet Impedance

As is well known, the introduction of the Bloch-Floquet impedance, given by

\[
Z_B^\pm = \frac{-2B_p}{A_p - D_p \pm \sqrt{(A_p + D_p)^2 - 4}}
\]  

(35)

is very helpful for the study of truncated periodic structures [57]. Unfortunately, most of commercial eigenmode solvers are not able to directly compute the Bloch impedance. The present formulation can overcome this weaknesses and provides accurate information on the Bloch impedance. Figs. 10(a) and (b) illustrate the real part of the Bloch impedance for the mirror- and glide-symmetric infinite periodic stacks already analyzed in Fig. 9. In the mirror-symmetric structure, after noting that \( A_p = D_p \), (35) reduces to

\[
Z_B^\pm = \pm \frac{B_p}{\sqrt{A_p^2 - 1}}
\]  

(36)
Figure 10: Real part of the Bloch impedance for various Bloch modes in the (a) mirror-symmetric, and (b) glide-symmetric infinite periodic 3-D stacks formed by annular apertures. Geometrical parameters of the unit cell: $a = 3.8 \, \text{mm}$, $b = 4.8 \, \text{mm}$, $p_x = p_y = p = 10 \, \text{mm}$, $h = 1.575 \, \text{mm}$, and $\varepsilon_r = 2.65$.

For the glide-symmetric structure, it is found that the Bloch impedance can alternatively be computed as

$$Z_B^\pm = \pm \sqrt{\frac{A_p/2}{B_p/2} \frac{D_p/2}{C_p/2}}$$

which means that only the sub-unit cell of size $p_z/2$ should be considered in this case.

It can be appreciated that the real part of the Bloch impedance in the first passband region of the $l = 1$ mode in Fig. 10(a) for the mirror-symmetric configuration (around $195 \, \Omega$ from 7 to 12.5 GHz) is closer to the free-space impedance ($\eta_0 \approx 377 \, \Omega$) than the one in Fig. 10(b) for the glide-symmetric structure (around $145 \, \Omega$ from 8 to 15 GHz). This fact explains that the ripples in the passband of the mirror-symmetric structure in Fig. 3 are lower than those for the glide-symmetric structure in Fig. 4. In addition, the Bloch impedance is progressively smaller in both configurations for the second and third passbands of the first mode ($l = 1$), which leads to an increased ripple level for the high frequency passbands, in agreement with the results reported in [65] for 1-D grating stacks. High-order modes ($l = 2, 3, \ldots$) present a much smaller real part of the Bloch impedance compared to the fundamental mode. Therefore, the resulting mismatching causes these modes to be strongly reflected and hardly transmitted in finite stacks.

Bloch impedance can also be used to improve the performance of multilayered FSS structures; in particular, filters and matching layers can be efficiently designed with the proposed circuit approach by adjusting the Bloch impedance of the passband regions to match the free space impedance. As an example, we will show that the passband ripple level of Fig. 4 can be reduced with this simple procedure. Thus, Fig. 11(a) illustrates the variation of the passband ripple level of the glide-symmetric 10-layer stack with glide symmetry as the inner radius of the annular-ring apertures varies. It can be observed that the ripples reduce as the inner radius is
smaller; namely, as the width of the annular ring is wider. This effect comes associated with a corresponding increase of the Bloch impedance shown in Fig. 11(b), which is progressively approaching the value of the free space impedance. As a result, the mismatching between the impedance of the Bloch mode and the free space is reduced and the transmission is enhanced, although, in this case, at the cost of reducing the passband.

6. Conclusion

This paper presents a rigorous formulation based on the multi-modal equivalent circuit approach for the analysis and design of stacked structures formed by 2-D periodic arrays of arbitrary apertures. It is shown that a key potential of the approach comes from the fact that linear transformations between adjacent layers (rotated, translated and scaled apertures) can be modeled from a purely analytical perspective. This opens new possibilities for the efficient design of polarizers, filters, absorbers, thin matching layers, and other high-frequency devices oriented to wireless communications. As an example, we show the design of a broadband transparent structure formed by annular apertures, a polarization converter, and an absorber formed by rectangular apertures. Good agreement is observed between the present approach and the reference results from CST for all the cases under study. Additionally, the present formulation allows for the analysis of glide-symmetric configurations from a circuit perspective. This is a remarkable feature, since the performance of glide-symmetric structures can rarely be described with circuit models due to the strong interaction between adjacent layers.

Purely analytical results are obtained from the circuit approach as long as the spatial profile of the apertures can be expressed in closed form, regardless of the geometry of the apertures and the applied linear transformations. However, a hybrid approach that combines the use of commercial software and the circuit model can be applied in those cases where the spatial profile cannot be expressed in closed form. This hybrid approach integrates the ability of commercial simulators to deal with arbitrary geometries with the reduced computational effort inherent to the equivalent circuit approach. The hybrid approach is validated with a three-layer stacked structure formed by Jerusalem-cross and bowtie-shaped apertures.

Finally, it is shown that the dispersion properties of infinite periodic stacks can be derived with the proposed formulation. This is a remarkable feature, since most commercial eigenmode software are unable to compute the attenuation constant and Bloch impedance of the modes. At the light of the present results, it is observed that the use of a single spatial profile suffices to compute the dispersion behavior of high-order Floquet modes, as long as the considered profile resembles the different resonant modes of the aperture. The good agreement shown supports the ability of our closed-form approach to obtain the dispersion diagram of periodic stacked structures even beyond the previous limits marked by reference works.

Appendix A. Spatial Aperture Distributions

This appendix gives the analytical expressions of the spatial profiles $E_a(x, y)$ [see Eq. (4)] considered for the computation of the stacked structures.

Rectangular Apertures

Assuming that the tangential electric field at the aperture is oriented as follows:

- TM polarization: $\phi = 90^\circ$, $E_a(x, y) = E_a(x, y)\hat{y}$
- TE polarization: $\phi = 0^\circ$, $E_a(x, y) = E_a(x, y)\hat{x}$,

the following spatial profiles can be considered in the case of a rectangular aperture of dimensions $a \times b$ [66]:

$$E_{a1}(x, y) \propto \left[ \cos\left(\frac{\pi x}{a}\right) \text{rect}\left(\frac{x}{a}\right) \right] \text{rect}\left(\frac{y}{b}\right)$$ (A.1)

$$E_{a2}(x, y) \propto \left[ \frac{\cos\left(\frac{\pi x}{a}\right)}{\sqrt{1 - (2x/a)^2}} \text{rect}\left(\frac{x}{a}\right) \right] \text{rect}\left(\frac{y}{b}\right)$$ (A.2)

where the rectangle function is defined as

$$\text{rect}\left(\frac{x}{u}\right) = \begin{cases} 1, & -u/2 \leq x \leq u/2 \\ 0, & \text{otherwise} \end{cases}$$ (A.3)
A further study demonstrated that the spatial distributions (A.1) offer more accurate results when the aperture size is narrow, while (A.2) can be employed for bigger apertures. The Fourier transforms of these spatial profiles can be expressed in closed form as

\[
\tilde{E}_{a1}(k_{xn}, k_{ym}) \propto \frac{\cos \left( \frac{k_{xn} a}{2} \right)}{\sqrt{\left( \pi/a \right)^2 - k_{xn}^2}} \sin \left( \frac{k_{ym} b}{2} \right) \frac{\sin \left( \frac{k_{ym} b}{2} \right)}{k_{ym}} \tag{A.4}
\]

\[
\tilde{E}_{a2}(k_{xn}, k_{ym}) \propto J_0 \left( k_{xn} + \frac{\pi}{a} \frac{a}{2} \right) + J_0 \left( k_{xn} - \frac{\pi}{a} \frac{a}{2} \right) \frac{\sin \left( \frac{k_{ym} b}{2} \right)}{k_{ym}} \tag{A.5}
\]

with \( J_0(\cdot) \) being the Bessel function of the first kind and order zero. The transformer turn ratios \( N_{nm}^{TM/TE} \) can be directly calculated with (A.4) and (A.5) from [53] Eqs. (6) and (7).

**Annular Apertures**

The spatial profile of annular apertures shares a great similarity with that of rectangular apertures. In the case of annular apertures, field variations occur in the azimuth direction \( \phi \), rather than in \( x \) or \( y \) directions. According to [67] [68], the following aperture field can be considered in an annular ring whose inner and outer radii are \( a \) and \( b \), respectively,

\[
\mathbf{E}_a(\phi) \propto \cos \left[ l(\phi - \phi_0) \right] \hat{\rho} \tag{A.6}
\]

where \( \phi_0 \) is the reference azimuth angle, and \( l \) stands for the order of the considered mode. As the variation in the radial direction has been suppressed, this approximation is valid as long as the slot width is narrow (1 \( \leq b/a \leq 1.5 \)). For the fundamental mode \( (l = 1) \), the expressions for the transformer turn ratios \( N_{nm}^{TM/TE} \) are found in [67] Eqs. (5) and (6).

**References**

[1] G. G. Macfarlane, “Quasi-stationary field theory and its application to diaphragms and junctions in transmission lines and wave guides,” J. Inst. Electr. Eng. Part III, vol. 93, no. 4, pp. 703–719, Apr. 1946.

[2] J. Brown, “Artificial dielectrics having refractive indices less than unity,” Proc. IEE Part IV, Inst. Monogr., vol. 100, no. 62R, pp. 51–62, Oct. 1953.

[3] C.-C. Chen, “Diffraction of electromagnetic waves by a conducting screen perforated periodically with circular holes,” IEEE Trans. Microwave Theory Techn., vol. 19, no. 5, pp. 475–481, May 1971.

[4] V. S. Asadchy, A. Diaz-Rubio, S. N. Tvetkova, D.-H. Kwon, A. Elsakka, M. Albooyeh, and S. A. Tretyakov, “Flat engineered multi-channel reflectors,” Phys. Rev. X, vol. 7, p. 031046, 2017.

[5] B. Blázquez, N. Llombart, D. Cavallo, A. Freni and A. Neto, “A rigorous equivalent network for linearly polarized THz absorbers,” IEEE Trans. Antennas Propag., vol. 62, no. 10, pp. 5077–5088, Oct. 2014.

[6] H. Yi, S. Qu, K. Ng, C. K. Wong and C. H. Chan, “Terahertz wavefront control on both sides of the cascaded metasurfaces,” IEEE Trans. Antennas Propag., vol. 66, no. 1, pp. 209–216, Jan. 2018.

[7] R. Ulrich, “Far-infrared properties of metallic mesh and its complementary structure,” Infrared Phys., vol. 7, pp. 37–55, 1967.

[8] C. Pfeiffer, C. Zhang, V. Ray, L. J. Guo, and A. Grbic, “High performance bianisotropic metasurfaces: asymmetric transmission,” Phys. Rev. Lett., vol. 113, p. 023902, 2014.

[9] F. J. García-Vidal, L. Martín-Moreno, T. W. Ebbesen, and L. Kuipers, “Light passing through subwavelength apertures,” Rev. Mod. Phys., vol. 82, p. 729, 2010.

[10] R. S. Anwar, L. Mao, and H. Ning, “Frequency selective surfaces: A review,” Appl. Sci., vol. 8, p. 1689, 2018.

[11] A. Alu, and N. Engheta, “Achieving transparency with plasmonic and metamaterial coatings,” Phys. Rev. E, vol. 72, 016623, 2006.

[12] Y. Zhao, M.A. Belkin, and A. Alú, “Twisted optical metamaterials for planarized ultrathin broadband circular polarizers,” Nat. Comm., vol. 3, p. 870, 2012.

[13] C. Molero, M. García-Vigueras, R. Rodríguez-Berral, F. Mesa, and N. Llombart, “Equivalent circuit approach for practical applications of meander-line gratings,” IEEE Antennas Wirel. Propag. Lett., vol. 16, pp. 3088–3091, 2017.

[14] G. Perez-Palomino, J. E. Puga, M. Arrebola, and J. A. Encinar, “A design technique based on equivalent circuit and coupler theory for broadband linear to circular polarization converters in reflection or transmission mode,” IEEE Trans. Antennas Propag., vol. 66, no. 5, pp. 2428–2438, May 2018.

[15] Y. R. Padooru, A. B. Yakovlev, C. S. R. Kaipa, F. Medina, and F. Mesa, “Circuit modeling of multiband high-impedance surface absorbers in the microwave regime,” Phys. Rev. B, vol. 84, no. 3, pp. 1–11, Jul. 2011.

[16] F. Costa, A. Monorchio, and G. Manara, “Analysis and design of ultra thin electromagnetic absorbers comprising resistively loaded high impedance surfaces,” IEEE Trans. Antennas Propag., vol. 58, no. 5, pp. 1551–1558, May 2010.

[17] P.-S. Kildal, and A. Kishk, “EM modeling of surfaces with STOP or GO characteristics - Artifical magnetic conductors and soft and hard Surfaces,” ACES Journal, vol. 18, pp. 32–40, 2003.

[18] M. A. Hiranandani, A. B. Yakovlev, and A. A. Kishk, “Artifical magnetic conductors realised by frequency-selective surfaces on a grounded dielectric slab for antenna applications,” IEE Proc.—Microw. Antennas Propag., vol. 153, no. 5, pp. 487–493, 2006.
O. Dahlberg, R. C. Mitchell-Thomas, and O. Quevedo-Teruel, “Reducing the dispersion of periodic structures with twist and Dielectric Slabs,” IEEE Trans. Antennas Propag., vol. 56, no. 6, pp. 1648-1655, June 2008.

Á. Palomares-Cabaliero, A. Alex-Amor, J. Valenzuela-Valdés and P. Padilla, “Millimeter-wave 3D-printed antenna array based on gap-waveguide technology and split E-plane waveguide,” IEEE Trans. Antennas Propag., 2020.

R. C. Compton, L. B. Whitbourn, and R. C. McPhedran, “Strip gratings at a dielectric interface and application of Babinet’s principle,” Appl. Opt. 23, pp. 3236-3242, 1984.

R. Rodríguez-Berral, C. Molero, F. Medina and F. Mesa, “Analytical Wideband Model for Strip/Slit Gratings Loaded With Dielectric Slabs,” IEEE Trans. Microw. Theory Techn., vol. 60, no. 12, pp. 3908-3918, Dec. 2012.

M. Beruete et al., “Enhanced millimeter wave transmission through quasioptical subwavelength perforated plates,” IEEE Trans. Antennas Propag., vol. 53, no. 6, pp. 1897-1903, June 2005.

R. Rodríguez-Berral, F. Mesa and F. Medina, “Analytical Multimodal Network Approach for 2-D Arrays of Planar Patches/Apertures Embedded in a Layered Medium,” IEEE Trans. Antennas Propag., vol. 63, no. 5, pp. 1969-1984, May 2015.

F. Mesa, M. García-Vigueras, F. Medina, R. Rodríguez-Berral, and J. R. Mosig, “Circuit model analysis of frequency selective surfaces with scatterers of arbitrary geometry,” IEEE Antennas Wirel. Propag. Lett., vol. 14, pp. 135–138, 2015.

F. Mesa, R. Rodríguez-Berral, M. García-Vigueras, F. Medina, and J. R. Mosig, “Simplified modal expansion to analyze frequency-selective surfaces: An equivalent circuit approach,” IEEE Trans. Antennas Propag., vol. 46, no. 3, pp. 1106–1111, 2000.

G. Xu, S. V. Hum, and G. V. Eleftheriades, “A technique for designing multilayer multistopband frequency selective surfaces,” IEEE Trans. Antennas Propag., vol. 66, no. 2, pp. 780–789, Feb. 2018.

G. Perez-Palomino and J. E. Page, “Bimode Foster’s equivalent circuit of arbitrary planar periodic structures and its application to design polarization controller devices,” IEEE Trans. Antennas Propag., vol. 68, no. 7, pp. 5308–5321, July 2020.

M. Borgese and F. Costa, “A simple equivalent circuit approach for anisotropic frequency selective surfaces and metasurfaces,” IEEE Trans. Antennas Propag., vol. 68, no. 10, pp. 7088–7098, Oct. 2020.

M. Camacho, F. Capolino, and M. Albani, “Asymmetric surface wave excitation through metasurface-edge diffraction,” Opt. Lett., 2020.

R. E. Collin. Field Theory of Guided Waves, 2nd ed. New York: IEEE Press, 1991.

J. E. Varela and J. Esteban, “Characterization of waveguides with a combination of conductor and periodic boundary contours: Application to the analysis of bi-periodic structures,” IEEE Trans. Microw. Theory Techn., vol. 60, no. 3, pp. 419–430, March 2012.

K. Kurokawa. An Introduction to the Theory of Microwave Circuits, Ed. Academic Press, New York, 1969.

F. Mesa, R. Rodríguez-Berral, and F. Medina, “Unlocking complexity using the ECA,” IEEE Microw. Mag., vol. 19, no. 4, pp. 44–65, Jun. 2018.

I. Palocz and A. A. Oliner, “Equivalent network of a multimode planar grating,” IEEE Trans. Microw. Theory Techn., vol. 18, no. 5, pp. 244–252, May 1970.

S. Monni, G. Gerini, A. Neto, and A. G. Tijhuis, “Multi-mode equivalent networks for the design and analysis of frequency selective surfaces,” IEEE Trans. Antennas Propag., vol. 55, no. 10, pp. 2824–2835, Oct. 2007.

C. Molero, R. Rodríguez-Berral, F. Mesa, and F. Medina, “Analytical Circuit Model for 1-D Periodic T-Shaped Corrugated Surfaces,” IEEE Trans. Antennas Propag., vol. 62, no. 4, pp. 794-803, Feb. 2014.

R. Marqués, L. Jelinek, F. Mesa, and F. Medina, “Analytical theory of wave propagation through stacked fishnet metamaterials,” Opt. Express, vol. 17, no. 14, pp. 11582–11593, July 2009.

V. Torres, P. Rodríguez-Ulibarri, M. Navarro-Cia, and M. Beruete, “Fishnet metamaterial from an equivalent circuit perspective,” Appl. Phys. Lett., vol. 101, no. 24, p. 241101, Dec. 2012.

Y. He and G. V. Eleftheriades, “A Thin Double-Mesh Metamaterial Radome for Wide-Angle and Broadband Applications at Millimeter-Wave Frequencies,” IEEE Trans. Antennas Propag., vol. 68, no. 3, pp. 2176-2185, March 2020.

J. Carbonell, C. Croenne, F. Garet, E. Lheurette, J. L. Coutaz, and D. Lippens, “Lumped elements circuit of terahertz fishnet-like arrays with composite dispersion,” J. Appl. Phys., vol. 108, no. 1, p. 014907, Jul. 2010.

M. N. Kafesaki, I. Tsiapa, N. Katsarakis, T. Koschny, C. M. Soukoulis, and E. N. Economou, “Left-handed metamaterials: The fishnet structure and its variations,” Phys. Rev. B, vol. 75, no. 23, p. 235114, Jun. 2007.

X. Liu, W. Li, Z. Zhao, R. Lu, S. Zhu, Z. Xu, X. Chen, and A. Zhang, “Tangential network transmission theory of reflective metasurface with obliquely incident plane waves,” IEEE Trans. Microw. Theory Techn., vol. 66, no. 1, pp. 64–72, Jan. 2018.

V. Torres, F. Mesa, M. Navarro-Cia, R. Rodríguez-Berral, M. Beruete and F. Medina, “Accurate circuit modeling of fishnet structures for negative-index-medium applications,” IEEE Trans. Microw. Theory Techn., vol. 64, no. 1, pp. 15-26, Jan. 2016.

F. Mesa, R. Rodríguez-Berral, M. García-Vigueras and F. Medina, “Efficient hybrid full-wave/circuitual approach for stacks of frequency selective surfaces,” IEEE Antennas Wirel. Propag. Lett., vol. 17, no. 10, pp. 1925-1929, Oct. 2018.

M. D. Astorino, F. Frezza, and N. Tedeschi, “Equivalent-circuit model for stacked slot-based 2D periodic arrays of arbitrary geometry for broadband analysis,” J. Appl. Phys., vol. 123, no. 10, Art. no. 103106, Mar. 2018.

A. Hessel, M. H. Chen, R.C.M. Li, and A.A. Oliner, “Propagation in periodically loaded waveguides with higher symmetries,” Proc. IEEE, vol. 61, no. 2, pp. 183–195, Feb. 1973.

R. Quesada, D. Martín-Canó, F. J. García-Vidal, and J. Bravo-Abad, “Deep-subwavelength negative-index waveguiding enabled by coupled conformal surface plasmons,” Opt. Lett., vol. 39, no. 10, p. 2990-2993, 2014.

O. Dahlberg, R. C. Mitchell-Thomas, and O. Quevedo-Teruel, “Reducing the dispersion of periodic structures with twist and polar glide symmetries,” Sci. Rep., vol. 7, Aug. 2017.

A. Palomares-Cabaliero, A. Alex-Amor, P. Padilla, and J. F. Valenzuela-Valdés, “Dispersion and Filtering Properties of Rectangular Waveguides Loaded with Holey Structures,” IEEE Trans. Microw. Theory Techn., 2020.

R. Quesada, M. Norgren, and O. Quevedo-Teruel, “Modeling and dispersion analysis of coaxial lines with higher symmetries,” IEEE Trans. Microw. Theory Techn., vol. 66, no. 10, pp. 4338-4345, Oct. 2018.

T. Chang, J. U. Kim, S. K. Kang, H. Kim, D. K. Kim, Y.-H. Lee, and J. Shin, “Broadband giant-refractive-index material based on mesoscopic space-filling curves,” Nat. Commun., vol. 7, Article number 12661, 2016.

F. Ghasemifard, M. Norgren, and O. Quevedo-Teruel, “Twist and polar glide symmetries: An additional degree of freedom to control the propagation characteristics of periodic structures,” Sci. Rep., vol. 8, Jul. 2018, Art. no. 11266.
A. Alex-Amor, F. Ghasemifard, G. Valerio, M. Ebrahimpouri, P. Padilla, J. M. Fernández-González, and O. Quevedo-Teruel, “Glide-Symmetric Metallic Structures With Elliptical Holes for Lens Compression,” IEEE Trans. Microw. Theory Techn., 2020.

C. Molero, R. Rodríguez-Berral, F. Mesa, and F. Medina, “Wideband analytical equivalent circuit for coupled asymmetrical nonaligned slit arrays,” Phys. Rev. E, vol. 95, p. 023303 2017.

G. Valerio, Z. Sipus, A. Grbic, and O. Quevedo-Teruel, “Accurate Equivalent-Circuit Descriptions of Thin Glide-Symmetric Corrugated Metasurfaces,” IEEE Trans. Antennas Propag., vol. 65, no. 5, pp. 2695-2700, May 2017.

D. M. Pozar, Microwave Engineering, 3rd Ed. Hoboken, NJ, USA: Wiley, 2005.

M. Bagheriasl, O. Quevedo-Teruel, and G. Valerio, “Bloch analysis of artificial lines and surfaces exhibiting glide symmetry,” IEEE Trans. Microw. Theory Techn., vol. 67, no. 7, pp. 2618–2628, 2019.

O. Quevedo-Teruel, Q. Chen, F. Mesa, G. Valerio, and N. Fonseca “On the benefits of glide symmetry for microwave devices,” to appear in IEEE J. Microwaves, vol. 1, no. 1, 2021.

Z. Wei, Y. Cao, Y. Fan, X. Yu, and H. Li, “Broadband transparency achieved with the stacked metallic multi-layers perforated with coaxial annular apertures,” Opt. Express, vol. 19, pp. 21425-21431, 2011.

C. V. Jakowatz, D. Wahl, P. Eichel, D. C. Ghiglia, and P. A. Thompson, Spotlight-Mode Synthetic Aperture Radar: A Signal Processing Approach. Norwell, MA: Kluwer Academic, 1996.

B. S. Reddy and B. N. Chatterji, “An FFT-based technique for translation, rotation, and scale-invariant image registration,” IEEE Trans. Image Process., vol. 5, no. 8, pp. 1266–1271, 1996.

F. Mesa, R. Rodríguez-Berral, and F. Medina, “On the computation of the dispersion diagram of symmetric one-dimensionally periodic structures,” Symmetry, vol. 10, no. 8, p. 307, 2018.

C. Molero, R. Rodríguez-Berral, F. Mesa, F. Medina, and A. B. Yakovlev, “Wideband analytical equivalent circuit for one-dimensional periodic stacked arrays,” Phys. Rev. E, vol. 93, p. 013306, 2016.

Sembiann R. Rengarajan, “Choice of Basis Functions for Accurate Characterization of Infinite Array of Microstrip Reflectarray Elements,” IEEE Antennas Wirel. Propag. Lett., vol. 4, pp. 47-50, 2005.

P. Rodríguez-Ulibarri, M. Navarro-Cía, R. Rodríguez-Berral, F. Mesa, F. Medina and M. Beruete, “Annular Apertures in Metallic Screens as Extraordinary Transmission and Frequency Selective Surface Structures,” IEEE Trans. Microw. Theory Techn., vol. 65, no. 12, pp. 4933-4946, Dec. 2017.

R. Dubrovka, J. Vaquez, C. Farini, and D. Moore, “Equivalent circuit method for analysis and synthesis of frequency selective surfaces,” Proc. Inst. Elect. Eng.—Microw., Antennas Propag., vol. 153, no. 3, pp. 213–220, Jun. 2006.