**ABSTRACT**

Background: Esophageal cancer (EC) remains one of the major causes of cancer incidence and mortality worldwide. Genetic factors, such as single nucleotide polymorphisms (SNPs), may contribute to the carcinogenesis of EC.

Methods: We conducted a hospital based case-control study to evaluate the genetic susceptibility of SNPs on the development of EC. A total of 629 esophageal squamous cell carcinoma (ESCC) cases and 686 controls were enrolled for this study. Seven *PADI4* SNPs were determined by ligation detection reaction method.

Results: Our findings suggested that the *PADI4* rs2240337 GA/AA variants were significantly associated with decreased risk of ESCC. Haplotype *PADI4* A<sub>rs2477137</sub>C<sub>rs1886302</sub>G<sub>rs11203366</sub>G<sub>rs16825533</sub>G<sub>rs1635564</sub>A<sub>rs1635562</sub> and C<sub>rs2477137</sub>T<sub>rs1886302</sub>G<sub>rs11203366</sub>A<sub>rs1635564</sub>G<sub>rs2240337</sub>C<sub>rs1635564</sub>T<sub>rs1635562</sub> polymorphism was correlated with decreased susceptibility to ESCC, while C<sub>rs2477137</sub>T<sub>rs1886302</sub>A<sub>rs11203366</sub>A<sub>rs1635564</sub>G<sub>rs2240337</sub>A<sub>rs1635564</sub>A<sub>rs1635562</sub> was correlated with increased susceptibility of ESCC. Stratification analyses demonstrated that smoking significantly increased ESCC risk in *PADI4* rs11203366 AG/AA, rs1886302 CC/CT, rs1635562 AT, rs1635564 CA and rs2477137 AC genotype. Alcohol drinking increased ESCC risk in *PADI4* rs11203366 AG, rs1635564 CA, rs2477137 AC, rs1886302 CT genotype. In younger cohort (<63 years), rs11203366 AA genotype was associated with increased risk of ESCC. *PADI4* rs1886302 CC variant was associated with ESCC susceptibility in female cohort.

Conclusions: Our study suggested that *PADI4* rs2240337 G>A polymorphism may be correlated with individual susceptibility to ESCC. *PADI4* rs11203366, rs1886302, rs1635562, rs1635564 and rs2477137 polymorphisms were implicated with altered
INTRODUCTION

Esophageal cancer is one of the most common cancers worldwide, and carries a high mortality after diagnosis following the onset of symptoms [1]. Cancer of the esophagus occurs in two major histological forms, esophageal squamous cell carcinoma (ESCC) and esophageal adenocarcinoma (EAC). ESCC dominates in most parts of the world, especially in high-risk areas such as China, where it accounts for about 90% of the total esophageal cancer cases [2, 3]. Smoking and alcohol consumption are related with more than 90% of ESCC patients in the western countries [4, 5], but the role of smoking and alcohol consumption is less important in China. The risk factors for ESCC in China include poor nutrition, lack of fruit and vegetables, drinking hot beverages and opium [3, 6].

The peptidylarginine deiminase IV (PADI4 or PAD4) converts arginine residues at histone tails to citrulline [7]. PADI4 has been demonstrated to co-localize with cytokeratin, an intermediate filament protein that plays a role during cell differentiation and apoptosis [8–10]. In cancer, high PADI4 expression has been connected to tumor growth [11], as PADI4 was overexpressed in numerous malignant cancers, but not in healthy tissues [8]. Recent study using immunohistochemistry further verified a significant PADI4 expression in various malignancies, comprising esophageal squamous cancer cells [12]. Consistently, PADI4 level in the blood increased dramatically in the patients with various malignant tumors, but considerably declined after tumor excision surgery [12]. Notably, PADI4 can disrupt the apoptotic process via the citrullination of histone H3 in the promoter of p53-target genes [13]. Therefore, we postulated that PADI4 might play an important role in the carcinogenesis of the esophageal cancer.

Single nucleotide polymorphisms (SNPs) account for more than 90% genetic variations. Despite the evidence described above indicated a correlation between PADI4 and ESCC, few molecular epidemiological studies have explored the relationship between PADI4 SNPs and susceptibility of ESCC with inconsistent results [13]. In a small cohort of esophageal cancer patients (including ESCC and EAC), PADI4 rs10437048 and rs41265997 were found significantly associated with the risk of esophageal cancer [13]. To specifically examine the potential associations between genetic variants in PADI4 and ESCC risk, we studied the correlation with the tagging SNP strategy in a larger cohort of 629 subjects of ESCC and 686 controls.

RESULTS

Characteristics of the study population

Characteristics of cases and controls included in the study are summarized in Table 1. The cases and controls appeared to be adequately matched on age and sex as suggested by the χ² test. As shown in Table 1, significant difference was detected on smoking status (p<0.001) between the cases and the controls, and drinking rate (p<0.001) was higher in ESCC patients than in control subjects.

Associations between PADI4 tagging polymorphisms and risk of ESCC

The seven tagging SNPs were selected on the basis of their pairwise linkage disequilibrium (LD) with the r² threshold of 0.8 and minor allele frequency (MAF) ≥0.05 to capture all the common SNPs. Among eligible SNPs, linkage disequilibrium analysis was performed in the Chinese Han population (https://www.ncbi.nlm.nih.gov/variation/tools/1000genomes/), and the SNP loci with moderate correlation were chosen for further analyses. The LD structure across the PADI4 genomic region was presented, and three blocks were defined (Figure 1). Next, we applied the “block-based” method, which exploits the principle of linkage disequilibrium observed within haplotype blocks, to search for tag SNPs. Several algorithms have been devised to partition chromosomal regions into haplotype blocks that are based on haplotype diversity, LD, four-gamete test and information complexity. We then used online database to predict the function of SNPs (http://www.regulomedb.org/) and selected seven tag SNPs for analysis (See Figure 1).

As shown in Table 2, the genotyping successful rates were ranging from 95.13% to 98.47%. In the control subjects, the genotype frequencies for these seven polymorphisms reached Hardy-Weinberg equilibrium (p-value for HWE, all p>0.05). The minor allele frequency (MAF) in our controls was comparable with the Chinese cohort in database for all seven SNPs loci.

The genotype distributions of PADI4 SNPs in the cases and the controls are shown in Table 3. When the PADI4 rs2240337 G>A SNP GG homozygote genotype (AA) was used as the reference group, both the GA heterozygote genotype (AB) and the AA mutated homozygote genotype (BB) were associated with a significantly decreased risk of ESCC (AB vs. AA: adjusted OR = 0.52, 95% CI = 0.39-0.71, p<0.0001; BB vs. AA: adjusted OR = 0.30, 95% CI = 0.13-0.68, p = 0.004). Logistic regression analyses
Table 1: Distribution of selected demographic variables and risk factors in ESCC cases and controls

| Variable           | Cases (n=629) | Controls (n=686) | p a |
|--------------------|--------------|-----------------|-----|
|                   | n            | %               | n   | %   |     |
| Age (years) mean ± SD | 62.85 (±8.13) | 62.58 (±7.89) | 0.541 |
| Age (years)        |              |                 |     |
| < 63               | 310          | 49.28           | 365 | 53.21 | 0.155 |
| ≥ 63               | 319          | 50.72           | 321 | 46.79 |
| Sex                |              |                 |     |
| Male               | 444          | 70.59           | 461 | 67.20 | 0.185 |
| Female             | 185          | 29.41           | 225 | 32.80 |
| Tobacco use        |              |                 |     |
| Never              | 355          | 56.44           | 499 | 72.74 | <0.001 |
| Ever               | 274          | 43.56           | 187 | 27.26 |
| Alcohol use        |              |                 |     |
| Never              | 428          | 68.04           | 526 | 76.68 | <0.001 |
| Ever               | 201          | 31.96           | 160 | 23.32 |

a Two-sided χ² test and student t test; Bold values are statistically significant (p <0.05).

Figure 1: Linkage disequilibrium structure across the 50 kb region is represented, based on r² coefficient calculated with the HapMap database. The middle panel shows the genomic structure of the human PAD14 gene. Exons are indicated by the vertical black bars. The genotyped tag SNPs are indicated with black bars. |D'| varies between 0 (no disequilibrium) and 1 (maximum disequilibrium), represented by shades of blue to white to pink to red. Blue:|D'| = 0 and red:|D'| = 1.
revealed that the \textit{PADI4} rs11203366 A>G, rs1886302 T>C, rs1635562 A>T, rs1635564 C>A, rs16825533 A>G, and rs2477137 C>A polymorphisms were not associated with the risk of ESCC. After the Bonferroni correction, for \textit{PADI4} rs2240337 G>A, the \( p_{\text{adj}} = 0.031 \) for GA vs. GG after adjusted for age, sex, smoking and drinking status. \( p_{\text{adj}} < 0.001 \) for AA vs. GG. None of the rest 6 SNPs, showed significant associations with ESCC in this study population (\( p_{\text{adj}} > 0.05 \) in all comparison models).

### Associations between \textit{PADI4} rs2240337 polymorphism and pathologic character of ESCC

Furthermore, we analyzed the correlation between \textit{PADI4} rs2240337 G>A SNP and the clinic pathologic state. However, \textit{PADI4} rs2240337 G>A SNP did not correlate with clinical tumor stage (\( p = 0.215 \)) or grade (\( p = 0.497 \)) (Table 4).

### Stratification analyses of seven polymorphisms and risk of ESCC

To further evaluate the effects of these seven SNPs on the risk of ESCC according to different age, gender, smoking and alcohol drinking status, stratification analyses were performed as shown in Table 5–11. We showed that smoking significantly increased ESCC risk in \textit{PADI4} rs11203366 AG/AA, rs1886302 CC/CT, rs1635562 AT, rs1635564 CA, rs2240337 AG and rs2477137 AC genotype. Alcohol drinking increased ESCC risk in \textit{PADI4} rs11203366 AG, rs1635562 AT, rs1635564 CA, rs2240337 AG and rs2477137 AC genotype. In younger cohort (<63 years), \textit{PADI4} rs16825533 AG genotype was associated with decreased risk of ESCC, while rs11203366 AA genotype was associated with increased risk of ESCC. In the non-drinking cohort, \textit{PADI4} rs11203366 AA variant was associated with increased risk of ESCC. \textit{PADI4} rs1886302 CC variant was associated with ESCC susceptibility in

#### Table 2: Primary information for \textit{PADI4} rs11203366, rs1886302, rs1635562, rs1635564, rs16825533, rs2240337, rs2477137 polymorphisms

| Genotyped SNPs      | rs11203366 | rs1886302 | rs1635562 | rs1635564 | rs16825533 | rs2240337 | rs2477137 |
|---------------------|------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Ancestral Allele    | G          | T         | A         | C         | A         | G         | C         |
| Chr Pos (Genome Build 38.p7) | 17331039 | 17308901 | 17360325 | 17357031 | 17339386 | 17347727 | 17304110 |
| Regulome DB Score\(^\text{a}\) | No Data   | 4         | 4         | No Data   | 4         | 5         | 4         |
| TFBS\(^b\)         | —          | Y         | —         | —         | —         | —         | Y         |
| rsSNP               | Y          | —         | —         | —         | —         | —         | —         |
| MAF\(^c\) for Chinese in database | 0.256     | 0.268     | 0.354     | 0.232     | 0.061     | 0.073     | 0.146     |
| MAF in our controls (n = 608) | 0.241     | 0.332     | 0.323     | 0.199     | 0.091     | 0.061     | 0.189     |
| \( p \) value for HWE\(^d\) test in our controls | 0.194     | 0.924     | 0.821     | 0.455     | 0.513     | 0.055     | 0.488     |
| Genotyping method\(^e\) | LDR       | LDR       | LDR       | LDR       | LDR       | LDR       |
| % Genotyping value  | 96.42%     | 96.80%    | 96.34%    | 95.13%    | 98.47%    | 95.13%    | 98.47%    |

\(^{a}\)http://www.regulomedb.org/;  
\(^{b}\)TFBS: Transcription Factor Binding Site (https://snpinfo.niehs.nih.gov/cgi-bin/snpinfo/snpfunc.cgi);  
\(^{c}\)MAF: minor allele frequency;  
\(^{d}\)HWE: Hardy–Weinberg equilibrium;  
\(^{e}\)LDR: ligation detection reaction
### Table 3: Main effects of PADI4 SNPs on ESCC risk

| Genotyped SNPs | Genotyping | AB vs. AA<sup>b</sup> Adjusted OR<sup>c</sup> (95% CI);<sup>p</sup> | BB vs. AA Adjusted OR (95% CI);<sup>p</sup> | <sup>p</sup>trend |
|----------------|------------|-------------------------------------------------|-------------------------------------------------|------------------|
| PADI4: rs11203366 A>G | Case (n=629) (AA/AB/BB) | 219/293/103 | 214/301/138 | 1.00 (0.78–1.29);0.985 | 0.77 (0.56–1.07);0.117 | 0.128 |
| PADI4: rs11203366 A>G | Control (n=686) (AA/AB/BB) | 214/301/138 | 214/301/138 | 1.00 (0.78–1.29);0.985 | 0.77 (0.56–1.07);0.117 | 0.128 |
| PADI4: rs1886302 T>C | 250/273/77 | 295/308/70 | 1.09 (0.86–1.39);0.487 | 1.37 (0.94–1.99);0.100 | 0.372 |
| PADI4: rs1635562 A>T | 295/251/64 | 302/285/70 | 0.90 (0.71–1.15);0.406 | 0.91 (0.62–1.34);0.632 | 0.682 |
| PADI4: rs1635564 C>A | 388/180/32 | 420/202/29 | 1.02 (0.80–1.31);0.860 | 1.22 (0.72–2.07);0.470 | 0.739 |
| PADI4: rs16825533 A>G | 528/85/6 | 560/109/7 | 0.86 (0.63–1.18);0.349 | 0.97 (0.32–2.98);0.957 | 0.477 |
| PADI4: rs2240337 G>A | 506/86/8 | 466/161/24 | 0.52 (0.39–0.71);<0.0001 | 0.30 (0.13–0.68);0.004 | <0.0001 |
| PADI4: rs2477137 C>A | 399/202/18 | 447/202/27 | 1.15 (0.90–1.47);0.256 | 0.76 (0.40–1.41);0.381 | 0.365 |

<sup>a</sup>AA/AB/BB means homozygote, heterozygote and mutated homozygote; <sup>b</sup>Bonferroni correction was performed to correct the <sup>p</sup> value (<sup>p</sup>adj); For PADI4: rs2240337 G>A, the <sup>p</sup>adj = 0.031 for GA vs. GG, <sup>p</sup>adj < 0.001 for AA vs. GG, <sup>p</sup>adj < 0.0001 for <sup>p</sup>trend. For the rest 6 SNPs, <sup>p</sup>adj > 0.05 in all comparison models; Bold values are statistically significant (<sup>p</sup> <0.05); <sup>c</sup>Adjusted for age, sex, smoking and drinking status.

### Table 4: Distribution of clinic pathologic characters by PADI4 rs2240337 genotyping

| Genotyping | AA | AG | GG | X² | <sup>p</sup> |
|------------|----|----|----|----|--------|
| Pathologic grade | | | | | |
| 1 | 4 (2.21%) | 22 (12.15%) | 155 (85.64%) | 3.38 | 0.496 |
| 2 | 4 (1.18%) | 53 (15.68%) | 281 (83.14%) | 0.05 | 0.816 |
| 3 | 0 (0.00%) | 11 (13.58%) | 70 (86.42%) | 0.00 | 0.949 |
| Clinic stage | | | | | |
| 1 | 3 (2.52%) | 14 (11.76%) | 102 (85.71%) | 8.34 | 0.215 |
| 2 | 1 (0.35%) | 42 (14.63%) | 244 (85.02%) | 0.01 | 0.933 |
| 3 | 2 (1.32%) | 25 (16.56%) | 124 (82.12%) | 0.03 | 0.862 |
| 4 | 2 (4.65%) | 5 (11.63%) | 36 (83.72%) | 0.00 | 0.985 |
Table 5: Stratified analyses between PADI4 rs11203366 A>G polymorphism and ESCC risk by sex, age, smoking status and alcohol consumption

| Variable            | rs11203366 A>G (case/control) | Adjusted OR (95%CI); \(p\); \(p_h^c\) | GG  | AG  | AA  | AG+AA |
|---------------------|--------------------------------|------------------------------------------|-----|-----|-----|-------|
| Sex                 |                                |                                          |     |     |     |       |
| Male                | 68/83 209/203 157/152 366/355 | 1.00                                     | 1.26(0.86-1.83); \(p=0.254\); \(p_h=0.304\) |     |     |     |       |
| Female              | 35/55 84/98 62/62 146/160     | 1.00                                     | 1.35 (0.81-2.25); \(p=0.299\); \(p_h=0.304\) |     |     |     |       |
| Age                 |                                |                                          |     |     |     |       |
| <63                 | 51/82 136/147 114/112 250/259 | 1.00                                     | 1.49(0.98-2.26); \(p=0.073\); \(p_h=0.555\) |     |     |     |       |
| ≥63                 | 52/56 157/154 105/102 262/256 | 1.00                                     | 1.09(0.71-1.70); \(p=0.740\); \(p_h=0.555\) |     |     |     |       |
| Smoking status      |                                |                                          |     |     |     |       |
| Never               | 60/110 173/220 111/145 284/365 | 1.00                                     | 0.69(0.48-1.01); \(p=0.062\); \(p_h=0.000\) |     |     |     |       |
| Ever                | 43/28 120/81 108/69 228/150   | 1.00                                     | 1.04(0.59-1.8); \(p=1.00\); \(p_h=0.000\) |     |     |     |       |
| Alcohol consumption |                                |                                          |     |     |     |       |
| Never               | 73/119 198/231 144/151 342/382 | 1.00                                     | 0.66(0.46-0.94); \(p=0.066\); \(p_h=0.013\) |     |     |     |       |
| Ever                | 30/19 95/70 75/63 170/133     | 1.00                                     | 1.16(0.61-2.23); \(p=0.742\); \(p_h=0.013\) |     |     |     |       |

* The genotyping success rate was 96.42% for rs11203366 A>G; 
* Adjusted for age, sex, smoking status and alcohol consumption (besides stratified factors accordingly) in a logistic regression model; 
* \(p_h\) for heterogeneity; Bold values are statistically significant \((p<0.05)\).

PADI4 rs11203366 variant AA was associated with ESCC among younger patients (<63 years) \((p=0.029)\). In the dominant model, PADI4 rs11203366 was associated with ESCC among younger patients (<63 years) \((p=0.032)\). In the cohort of subjects who carry PADI4 rs11203366 AG variant or AA variant, smoking significantly increased the ESCC susceptibility \((p_h=0.000)\).

In the non-alcohol drinking cohort, PADI4 rs11203366 AA \((p=0.020)\) variant was associated with increased risk of ESCC. In the dominant \((p=0.023)\) model, PADI4 rs11203366 A>G was associated with increased risk of ESCC.

In the PADI4 rs11203366 AG subgroup, alcohol drinking significantly increased the risk of ESCC \((p_h=0.013)\).
Table 6: Stratified analyses between PADI4 rs1886302 T>C polymorphism and ESCC risk by sex, age, smoking status and alcohol consumption

| Variable   | rs1886302 T>C (case/control) | Adjusted OR (95%CI) | p-h | p \text{*} |
|------------|-----------------------------|---------------------|-----|-----------|
|            | TT                  | CT      | CC      | CT+CC  | TT      | CT      | CC      | CT+CC  | CC vs. (TT+CT) |
| Sex        |                     |         |         |        |         |         |         |        |          |
| Male       | 187/198             | 197/207 | 44/44   | 241/251 | 1.0     | 0.99 (0.75-1.31); p=1.000; p_{h}=0.196 | 0.94 (0.59-1.50); p=0.814; p_{h}=0.481 | 0.98 (0.75-1.28); p=0.946; p_{h}=0.419 | 1.05 (0.68-1.64); p=0.823; p_{h}=0.022 |
| Female     | 63/97               | 76/101  | 33/26   | 109/127 | 1.0     | 0.86 (0.56-1.33); p=0.580; p_{h}=0.196 | 0.51 (0.28-0.94); p=0.032; p_{h}=0.481 | 0.76 (0.50-1.14); p=0.215; p_{h}=0.419 | 1.81 (1.04-3.16); p=0.046; p_{h}=0.022 |
| Age        |                     |         |         |        |         |         |         |        |          |
| <63        | 142/166             | 121/153 | 31/37   | 152/190 | 1.0     | 1.08 (0.78-1.50); p=0.677; p_{h}=0.197 | 1.02 (0.60-1.73); p=1.000; p_{h}=0.127 | 1.07 (0.79-1.46); p=0.694; p_{h}=0.066 | 1.02 (0.61-1.68); p=1.000; p_{h}=0.398 |
| ≥63        | 108/129             | 152/155 | 46/33   | 198/188 | 1.0     | 0.85 (0.61-1.19); p=0.39; p_{h}=0.197 | 0.60 (0.36-1.00); p=0.068; p_{h}=0.127 | 0.79 (0.58-1.09); p=0.187; p_{h}=0.066 | 1.52 (0.94-2.46); p=0.092; p_{h}=0.398 |
| Smoking status |         |         |         |        |         |         |         |        |          |
| Never      | 125/207             | 159/229 | 49/56   | 208/285 | 1.0     | 0.87 (0.64-1.17); p=0.400; p_{h}=0.000 | 0.69 (0.44-1.07); p=0.110; p_{h}=0.030 | 0.83 (0.62-1.10); p=0.219; p_{h}=0.000 | 0.74 (0.49-1.12); p=0.167; p_{h}=0.000 |
| Ever       | 125/88              | 114/79  | 28/14   | 142/93  | 1.0     | 0.98 (0.66-1.46); p=1.000; p_{h}=0.000 | 0.71 (0.35-1.43); p=0.39; p_{h}=0.030 | 0.93 (0.64-1.36); p=0.77; p_{h}=0.000 | 1.39 (0.71-2.74); p=0.409; p_{h}=0.000 |
| Alcohol consumption |         |         |         |        |         |         |         |        |          |
| Never      | 157/61              | 188/238 | 59/61   | 247/299 | 1.0     | 3.26 (2.29-4.63); p=0.000; p_{h}=0.023 | 2.66 (1.67-4.23); p=0.000; p_{h}=0.104 | 3.12 (2.22-4.38); p=0.000; p_{h}=0.006 | 0.84 (0.57-1.24); p=0.426; p_{h}=0.000 |
| Ever       | 93/75               | 85/70   | 18/9    | 103/79  | 1.0     | 1.02 (0.66-1.58); p=1.000; p_{h}=0.000 | 0.62 (0.26-1.46); p=0.302; p_{h}=0.104 | 0.95 (0.62-1.45); p=0.83; p_{h}=0.006 | 1.63 (0.71-3.74); p=0.314; p_{h}=0.000 |}

* The genotyping success rate was 96.80% for rs1886302 T>C; * Adjusted for age, sex, smoking status and alcohol consumption (besides stratified factors accordingly) in a logistic regression model; † p for heterogeneity; Bold values are statistically significant (p < 0.05).

PADI4 rs1886302 variant CC was associated with ESCC susceptibility in female cohort (p=0.032). In the recessive model, PADI4 rs1886302 was associated with ESCC susceptibility in females (p=0.046). In the recessive model, male cohort has a significantly higher risk than females (p=0.022). Smoking significantly increased ESCC susceptibility in both CC (p=0.000) and CT (p=0.030) genotypes. Smoking is associated with increased risk of ESCC in both dominant and recessive models.

In the non-alcohol drinking cohort, PADI4 rs1886302 variant CC and CT variants were associated with decreased risk of ESCC (p=0.000, respectively), in the dominant model, PADI4 rs1886302 T>C was associated with decreased risk of ESCC (p=0.000). Among PADI4 rs1886302 CT subgroup, alcohol drinking significantly increased the risk of ESCC (p=0.023). In both the PADI4 rs1886302 T>C polymorphism dominant (p=0.006) and recessive (p=0.000) models, alcohol drinking significantly increased ESCC susceptibility.

Female cohort. In the non-alcohol drinking cohort, PADI4 rs1886302 CC and CT variants were associated with decreased risk of ESCC. In rs1635562 TT subgroup, elder people (≥63 years) were more susceptible to ESCC.

Linkage disequilibrium analyses and association test

Linkage disequilibrium analyses in both controls and cases were conducted as shown in Table 12–13, there were correlations between these seven loci. Association test was performed using Haploview software (v 4.2), there were associations between these seven loci (Figure 2).

Haplotype analyses of PADI4 polymorphisms and susceptibility to ESCC

As shown in Table 14, haplotype analyses showed that PADI4 C A T A G G C was the most common haplotype in both groups (24.5% in controls, 25.5% in cases). The haplotype PADI4 A C T frequency and PADI4 C T frequency were significantly lower in ESCC cases as compared with controls (0.019 vs. 0.036, p=0.007; 0.019 vs. 0.031, p=0.038, respectively), suggesting that both PADI4 A C T.
Table 7: Stratified analyses between PADI4 rs1635562 A>T polymorphism and ESCC risk by sex, age, smoking status and alcohol consumption

| Variable           | rs1635562 A>T (case/control) | Adjusted OR (95% CI); p; p_h | p < 0.05 |
|--------------------|------------------------------|-----------------------------|----------|
|                    | AA  | AT  | TT  | AT+TT | AA  | AT  | TT  | AT+TT | TT vs. (AA+AT) |
| Sex                |     |     |     |       |     |     |     |       |               |
| Male               | 206 | 196 | 44  | 47    | 222 | 248 | 1.00|       |               |
| Female             | 89  | 106 | 73  | 84    | 20  | 23  | 1.00|       |               |
| Age                |     |     |     |       |     |     |     |       |               |
| <63                | 148 | 157 | 134 | 155   | 19  | 35  | 1.00|       |               |
| ≥63                | 147 | 145 | 117 | 130   | 45  | 35  | 1.00|       |               |
| Smoking status     |     |     |     |       |     |     |     |       |               |
| Never              | 167 | 219 | 141 | 206   | 38  | 49  | 1.00|       |               |
| Ever               | 128 | 83  | 110 | 79    | 26  | 31  | 1.00|       |               |
| Alcohol consumption|     |     |     |       |     |     |     |       |               |
| Never              | 194 | 230 | 172 | 218   | 49  | 52  | 1.00|       |               |
| Ever               | 101 | 72  | 79  | 67    | 15  | 18  | 1.00|       |               |

* The genotyping success rate was 96.34% for rs1635562 A>T. ** Adjusted for age, sex, smoking status and alcohol consumption (besides stratified factors accordingly) in a logistic regression model; * p_h for heterogeneity; Bold values are statistically significant (p < 0.05). In PADI4 rs1635562 TT genotype, elder people (≥63 years) were more susceptible to ESCC (p_h = 0.018).

Smoking significantly increased ESCC susceptibility in AT (p_h = 0.000) genotype. Smoking is associated with increased risk of ESCC in both dominant and recessive models.

Alcohol drinking significantly increased ESCC susceptibility in AT (p_h = 0.039) genotype. Alcohol drinking is associated with increased risk of ESCC in recessive model.

Power calculation

The power calculation was performed by “Power and Sample Size Calculation” Software (http://biostat.mc.vanderbilt.edu/wiki/Main/PowerSampleSize). Based on the assumption that the type I error probability for a two sided test (α) equals 0.05, the probability of exposure in controls p0 is 0.0698 in rs2240337 in the Chinese Han population according to the NCBI project. In the current study, using ligation detection reaction method, the successful rates of genotyping all exceeded 95%. There were 1,200 alleles successfully genotyped. The ratio of control/case (m) equals 1.085, and the correlation coefficient for exposure between matched case and controls (f) is 2.058 in rs2240337. The power value is 0.644.

DISCUSSION

In this hospital-based case-control epidemiological study in a Chinese population, we investigated whether tagging SNPs in PADI4 were associated with risk of developing ESCC. We found that the PADI4 rs2240337...
Table 8: Stratified analyses between PADI4 rs1635564 C>A polymorphism and ESCC risk by sex, age, smoking status and alcohol consumption

| Variable                | rs1635564 C>A (case/control) * | Adjusted OR (95%CI); \( p; p_h \) | AA vs. (CC+CA) |
|-------------------------|--------------------------------|----------------------------------|----------------|
|                         | CC   | CA | AA | CA+AA | CC   | CA | AA | CA+AA |                                 |
| **Sex**                 |      |    |    |       |      |    |    |       |                                 |
| Male                    | 269/283 | 132/134 | 25/18 | 157/152 | 1.00   | 0.96(0.72-1.29); \( p=0.823; p_h=0.138 \) | 0.68(0.37-1.28); \( p=0.269; p_h=0.174 \) | 0.92(0.69-1.22); \( p=0.570; p_h=0.059 \) | 1.44(0.78-2.69); \( p=0.275; p_h=0.186 \) |
| Female                  | 119/137 | 48/68 | 7/11 | 55/79 | 1.00   | 1.23(0.79-1.92); \( p=0.370; p_h=0.138 \) | 1.37(0.51-3.16); \( p=0.628; p_h=0.174 \) | 1.25(0.82-1.90); \( p=0.335; p_h=0.059 \) | 0.78(0.29-2.06); \( p=0.809; p_h=0.186 \) |
| **Age**                 |      |    |    |       |      |    |    |       |                                 |
| <63                     | 183/215 | 93/116 | 18/14 | 111/130 | 1.00   | 1.06(0.76-1.49); \( p=0.732; p_h=0.259 \) | 0.66(0.32-1.37); \( p=0.275; p_h=0.543 \) | 0.99(0.27-1.37); \( p=1.000; p_h=0.408 \) | 1.54(0.75-3.16); \( p=0.278; p_h=0.111 \) |
| ≥63                     | 205/205 | 87/86 | 14/15 | 101/101 | 1.00   | 0.99(0.69-1.41); \( p=1.000; p_h=0.259 \) | 1.07(0.50-2.28); \( p=1.000; p_h=0.543 \) | 1.00(0.71-1.40); \( p=1.000; p_h=0.408 \) | 0.93(0.44-1.96); \( p=0.852; p_h=0.111 \) |
| **Smoking status**      |      |    |    |       |      |    |    |       |                                 |
| Never                   | 212/297 | 106/155 | 19/20 | 125/175 | 1.00   | 1.04(0.77-1.41); \( p=0.817; p_h=0.000 \) | 0.75(0.39-1.44); \( p=0.404; p_h=0.437 \) | 0.99(0.75-1.34); \( p=1.000; p_h=0.000 \) | 1.35(0.71-2.57); \( p=0.406; p_h=0.000 \) |
| Ever                    | 176/123 | 74/47 | 13/9 | 87/56 | 1.00   | 0.91(0.59-1.40); \( p=0.742; p_h=0.000 \) | 0.99(0.41-2.39); \( p=1.000; p_h=0.437 \) | 0.92(0.61-1.38); \( p=0.756; p_h=0.000 \) | 0.98(0.41-2.35); \( p=1.000; p_h=0.000 \) |
| **Alcohol consumption** |      |    |    |       |      |    |    |       |                                 |
| Never                   | 265/314 | 121/161 | 21/24 | 142/185 | 1.00   | 1.12(0.84-1.49); \( p=0.465; p_h=0.006 \) | 0.96(0.53-1.77); \( p=1.000; p_h=0.135 \) | 1.10(0.84-1.45); \( p=0.532; p_h=0.002 \) | 0.93(0.51-1.69); \( p=0.878; p_h=0.001 \) |
| Ever                    | 123/106 | 59/41 | 11/5 | 70/46 | 1.00   | 0.81(0.50-1.29); \( p=0.400; p_h=0.006 \) | 0.53(0.18-1.57); \( p=0.304; p_h=0.135 \) | 0.76(0.48-1.20); \( p=0.253; p_h=0.002 \) | 1.78(0.60-5.23); \( p=0.317; p_h=0.001 \) |

* The genotyping success rate was 95.13% for rs1635564 C>A. * Adjusted for age, sex, smoking status and alcohol consumption (besides stratified factors accordingly) in a logistic regression model; \( p_h \) for heterogeneity; Bold values are statistically significant \( (p<0.05) \). PADI4 rs1635564 polymorphism was not associated with the ESCC susceptibility. However, in rs1635564 CA genotype, smoking significantly increased risk of ESCC \( (p_h=0.000) \). Smoking increased ESCC susceptibility in both dominant and recessive models \( (p=0.000, \text{respectively}) \). In rs1635564 CA genotype, alcohol drinking significantly increased risk of ESCC \( (p_h=0.006) \). Alcohol drinking increased ESCC susceptibility in both dominant and recessive models \( (p_h=0.002,0.001, \text{respectively}) \).

G>A SNP was significantly associated with decreased risk of ESCC after the Bonferroni correction. PADI4 rs11203366, rs1886302, rs1635562, rs1635564 and rs2477137 polymorphisms were implicated with altered susceptibility of ESCC according to age, gender, smoking and alcohol drinking stratification analyses.

Recently, PADI4 has emerged as a novel transcriptional corepressor [14–16]. This enzyme catalyzes the posttranslational modification of arginine residues (to form citrulline) in histones H2A, H3, and H4 at the estrogen-regulated pS2 promoter [15–17] and at the apoptosis-related gene promoters p21 and OKL38 [14, 18], thereby repressing gene transcription. Additionally, the histone deaminating activity of PADI4 has been shown to downregulate the expression of numerous p53-dependent genes, including p21, PUMA, and GADD45 [14, 18]. PADI4 is overexpressed in numerous malignant cancers (e.g., breast, metastatic carcinomas, colon, bladder, lung, ovarian, and many others). In parallel, under normal circumstances, PADI4 exists as an intracellular protein, but in patients with malignant tumors, PADI4 can be detected in the plasma [16]. The PADI4 in blood increased in the presence of tumor and decreased after the tumor excision [12]. These studies bolstered the pathogenic role of PADI4 during carcinogenesis. Furthermore, expression of PADI4 was detected in esophageal cancer, but not in normal tissues. Significantly, PADI4 levels were positively correlated with the pathological classification of esophageal cancer [13].

In the present study, seven PADI4 gene variations in Chinese population were tested and associations between these variations and outcomes in ESCC were explored. Of the seven SNPs, rs2240337 G>A was validated as an ESCC susceptibility locus, showing highly significant evidence both in heterozygote group \( (p<0.0001) \) and homozygote group \( (p<0.004) \). A previous study in a small cohort of patients with EC (83 cases and 67 controls, including ESCC and EAC) has reported that the PADI4
Table 9: Stratified analyses between *PADI4* rs16825533 A>G polymorphism and ESCC risk by sex, age, smoking status and alcohol consumption

| Variable                | rs16825533 A>G (case/control) * | Adjusted OR * (95%CI); p; p<sup>h</sup> | GG vs. (AA+AG) |
|-------------------------|---------------------------------|------------------------------------------|---------------|
|                         | AA | AG | GG | AG+GG | AA | AG | GG | AG+GG |                          |
| Sex                     |    |    |    |       |    |    |    |       |                          |
| Male                    | 379/378 | 53/73 | 5/2 | 58/75 | 1.00 | 1.38 (0.94-2.02); p<sub>h</sub>=0.102; p=0.504 | 2.61 (0.50-13.52); p<sub>h</sub>=0.279; p=0.237 |
| Female                  | 149/182 | 32/36 | 1/5 | 33/41 | 1.00 | 0.921 (0.55-1.56); p=0.790; p<sub>h</sub>=0.504 | 0.24 (0.28-2.08); p=0.230; p<sub>h</sub>=0.237 |
| Age                     |    |    |    |       |    |    |    |       |                          |
| <63                     | 261/286 | 36/68 | 4/3 | 40/71 | 1.00 | 1.72 (1.11-2.67); p<sub>h</sub>=0.018; p=0.006 | 1.59 (0.35-7.16); p=0.708; p<sub>h</sub>=0.110 |
| ≥63                     | 267/274 | 49/41 | 2/4 | 51/45 | 1.00 | 0.82 (0.52-1.28); p=0.426; p<sub>h</sub>=0.006 | 0.49 (0.09-2.74); p=0.686; p<sub>h</sub>=0.110 |
| Smoking status          |    |    |    |       |    |    |    |       |                          |
| Never                   | 290/402 | 53/82 | 4/6 | 57/88 | 1.00 | 1.12 (0.77-1.63); p=0.633; p<sub>h</sub>=0.054 | 0.94 (0.26-3.36); p=1.000; p<sub>h</sub>=0.040 |
| Ever                    | 238/158 | 32/27 | 2/1 | 34/28 | 1.00 | 1.27 (0.73-2.20); p=0.398; p<sub>h</sub>=0.004 | 1.37 (0.12-15.22); p=1.000; p<sub>h</sub>=0.000 |
| Alcohol consumption     |    |    |    |       |    |    |    |       |                          |
| Never                   | 355/423 | 59/87 | 4/7 | 63/94 | 1.00 | 1.24 (0.86-1.77); p=0.277; p<sub>h</sub>=0.098 | 0.70 (0.21-2.42); p=0.763; p<sub>h</sub>=0.001 |
| Ever                    | 173/137 | 26/22 | 2/0 | 28/22 | 1.00 | 1.07 (0.58-1.97); p=0.877; p<sub>h</sub>=0.098 | 1.01 (0.99-1.02); p=0.505; p<sub>h</sub>=0.001 |

* The genotyping success rate was 98.47% for rs16825533 A>G; * Adjusted for age, sex, smoking status and alcohol consumption (besides stratified factors accordingly) in a logistic regression model; *<sub>h</sub> for heterogeneity; Bold values are statistically significant (p<0.05).

*PADI4* rs16825533 A>G polymorphism was not associated with the ESCC susceptibility. However, in younger cohort (<63 years), rs16825533 AG genotype was associated with decreased risk of ESCC (p=0.018). In younger cohort (<63 years), *PADI4* rs16825533 A>G polymorphism was associated with decreased risk of ESCC in the dominant model (p=0.028). In both the *PADI4* rs16825533 AG genotype (p<sub>h</sub>=0.006) and the dominant model (p<sub>h</sub>=0.014), younger cohort (<63 years) had lower susceptibility to ESCC. Smoking increased ESCC susceptibility in both dominant (p<sub>h</sub>=0.040) and recessive (p<sub>h</sub>=0.000) models. Alcohol drinking increased ESCC susceptibility in the recessive model (p<sub>h</sub>=0.001).
### Table 10: Stratified analyses between *PADI4* polymorphism rs2240337 G>A and ESCC risk by sex, age, smoking status and alcohol consumption

| Variable          | rs2240337 G>A (case/control) | Adjusted OR * (95%CI); \( p; p_h^{\text{c}} \) | AA vs. (GG+AG) |
|-------------------|-------------------------------|-----------------------------------------------|----------------|
|                   | GG   | AG     | AA   | AG+AA | GG   | AG     | AA   | AG+AA | AA     |
| Sex               |      |        |      |       |      |        |      |       |        |
| Male              | 366/319 | 54/99  | 6/17 | 60/116 | 1.00 | 2.10(1.46-3.03); \( p=0.000; p_h=0.841 \) | 3.25(1.27-8.35); \( p=0.011; p_h=0.821 \) | 2.22(1.57-3.14); \( p=0.000; p_h=0.854 \) | 0.35(0.14-0.90); p_h=0.107 |
| Female            | 140/147 | 32/62  | 2/7  | 34/69  | 1.00 | 1.85(1.14-2.99); \( p=0.017; p_h=0.841 \) | 3.33(0.68-16.32); \( p=0.176; p_h=0.821 \) | 0.47(0.29-0.75); \( p=0.002; p_h=0.854 \) | 0.35(0.07-1.69); p_h=0.107 |
| Age               |      |        |      |       |      |        |      |       |        |
| <63               | 261/253 | 29/84  | 4/8  | 33/92  | 1.00 | 1.71(1.04-2.79); \( p=0.037; p_h=0.006 \) | 2.06(0.61-6.54); \( p=0.258; p_h=0.403 \) | 2.88(1.86-4.44); \( p=0.000; p_h=0.021 \) | 0.58(0.17-0.95); p_h=0.097 |
| ≥63               | 245/213 | 57/77  | 4/16 | 61/93  | 1.00 | 1.55(1.05-2.29); \( p=0.031; p_h=0.006 \) | 4.60(1.52-13.97); \( p=0.005; p_h=0.403 \) | 1.75(1.21-2.54); \( p=0.004; p_h=0.021 \) | 0.24(0.08-0.73); p_h=0.097 |
| Smoking status    |      |        |      |       |      |        |      |       |        |
| Never             | 275/324 | 58/130 | 4/18 | 62/148 | 1.00 | 1.9(1.34-2.69); \( p=0.000; p_h=0.021 \) | 3.82(1.28-11.42); \( p=0.015; p_h=0.196 \) | 2.03(1.45-2.84); \( p=0.000; p_h=0.011 \) | 0.30(0.10-0.90); p_h=0.000 |
| Ever              | 231/142 | 28/31  | 4/6  | 32/37  | 1.00 | 1.80(1.04-3.13); \( p=0.045; p_h=0.021 \) | 2.44(0.68-8.79); \( p=0.194; p_h=0.196 \) | 1.88(1.12-3.16); \( p=0.023; p_h=0.011 \) | 0.45(0.12-0.60); p_h=0.000 |
| Alcohol consumption|      |        |      |       |      |        |      |       |        |
| Never             | 336/346 | 64/134 | 7/19 | 71/153 | 1.00 | 2.03(1.46-2.84); \( p=0.000; p_h=0.100 \) | 2.64(1.09-6.35); \( p=0.028; p_h=0.605 \) | 2.09(1.52-2.88); \( p=0.000; p_h=0.157 \) | 0.44(0.18-1.06); p_h=0.072 |
| Ever              | 170/120 | 22/27  | 1/5  | 23/32  | 1.00 | 1.74(0.95-3.20); \( p=0.087; p_h=0.100 \) | 7.08(0.82-61.4); \( p=0.086; p_h=0.605 \) | 1.97(1.09-3.54); \( p=0.026; p_h=0.157 \) | 0.15(0.02-1.33); p_h=0.097 |

* The genotyping success rate was 95.13% for rs2240337 G>A; \( p \) Adjusted for age, sex, smoking status and alcohol consumption (besides stratified factors accordingly) in a logistic regression model; \( p_h \) for heterogeneity; Bold values are statistically significant (\( p<0.05 \)). *PADI4* rs2240337 G>A polymorphism was associated with the ESCC susceptibility. In rs2240337 AG genotype, elder cohort (\( \geq 63 \) years) had increased susceptibility to ESCC (\( p_h=0.006 \)). Elder age was associated with ESCC risk in the dominant model (\( p=0.021 \)). In rs2240337 AG genotype, smoking increased susceptibility to ESCC (\( p_h=0.021 \)). Smoking was associated with ESCC risk in the dominant (\( p_h=0.011 \)) and recessive (\( p_h=0.000 \)) models. Alcohol drinking increased ESCC susceptibility in the recessive model (\( p_h=0.000 \)).
The genotyping success rate was 98.47% for rs2477137 C>A; \(^{b}\) Adjusted for age, sex, smoking status and alcohol consumption (besides stratified factors accordingly) in a logistic regression model; \(^{c}\) \(p_h\) for heterogeneity; Bold values are statistically significant \((p<0.05)\).

**PADI4** rs2477137 C>A polymorphism was not associated with the ESCC susceptibility. Elder cohort (≥ 63 years) had increased susceptibility to ESCC in the dominant model \((p_h=0.049)\). In rs2477137 AC genotype, smoking increased susceptibility to ESCC \((p_h=0.001)\). Smoking was associated with ESCC risk in the dominant \((p_h=0.000)\) and recessive \((p_h=0.000)\) models. In rs2477137 AC genotype, alcohol drinking increased susceptibility to ESCC \((p_h=0.045)\). Alcohol drinking was associated with ESCC risk in the dominant \((p_h=0.038)\) and recessive \((p_h=0.000)\) models.

| Variable          | rs2477137 C>A (case/control) * | Adjusted OR * (95%CI); \(p; p_h\) c | AA vs. (CC+AC) |
|-------------------|--------------------------------|--------------------------------------|----------------|
|                   | CC    | AC    | AA    | AC+AA | CC    | AC    | AA    | AC+AA | AA vs. (CC+AC) |
| **Sex**           |       |       |       |       |       |       |       |       |                |
| Male              | 290/300 | 137/137 | 10/16 | 147/153 | 1.00 | 0.97(0.73-1.28); \(p=0.827; p_h=1.000\) | 1.55(0.69-3.46); \(p=0.321; p_h=0.805\) | 1.00(0.76-1.33); \(p=0.099; p_h=1.56\) | 1.56(0.70-3.48); \(p=0.322; p_h=0.156\) |
| Female            | 109/147 | 65/65  | 8/11  | 73/76  | 1.00 | 0.74(0.48-1.13); \(p=0.194; p_h=1.000\) | 1.02(0.39-2.62); \(p=1.000; p_h=0.805\) | 0.77(0.52-1.16); \(p=0.216; p_h=0.999\) | 1.13(0.44-2.87); \(p=1.000; p_h=0.156\) |
| **Age**           |       |       |       |       |       |       |       |       |                |
| <63               | 210/241 | 86/104 | 5/12  | 91/116 | 1.00 | 1.05(0.75-1.48); \(p=0.795; p_h=0.073\) | 2.09(0.73-6.03); \(p=0.217; p_h=0.262\) | 1.11(0.79-1.55); \(p=0.556; p_h=0.049\) | 0.49(0.17-1.39); \(p=0.220; p_h=0.167\) |
| ≥63               | 189/206 | 116/98 | 13/15 | 129/113 | 1.00 | 0.78(0.56-1.08); \(p=0.149; p_h=0.073\) | 1.06(0.49-2.28); \(p=1.000; p_h=0.262\) | 0.80(0.58-1.11); \(p=0.192; p_h=0.049\) | 0.86(0.40-1.85); \(p=0.847; p_h=0.167\) |
| **Smoking status**|       |       |       |       |       |       |       |       |                |
| Never             | 216/317 | 119/151 | 12/22 | 131/173 | 1.00 | 0.87(0.64-1.16); \(p=0.363; p_h=0.001\) | 1.25(0.61-2.58); \(p=0.593; p_h=0.263\) | 0.90(0.68-1.19); \(p=0.512; p_h=0.000\) | 0.76(0.37-1.56); \(p=0.484; p_h=0.000\) |
| Ever              | 183/130 | 83/51  | 6/5   | 89/56  | 1.00 | 0.86(0.57-1.31); \(p=0.529; p_h=0.001\) | 1.17(0.35-3.93); \(p=1.000; p_h=0.263\) | 0.89(0.59-1.33); \(p=0.61; p_h=0.000\) | 0.82(0.25-2.72); \(p=0.746; p_h=0.000\) |
| **Alcohol consumption** |       |       |       |       |       |       |       |       |                |
| Never             | 264/337 | 138/156 | 16/24 | 154/180 | 1.00 | 0.88(0.67-1.17); \(p=0.431; p_h=0.045\) | 1.18(0.61-2.26); \(p=0.742; p_h=1.000\) | 0.92(0.70-1.19); \(p=0.537; p_h=0.038\) | 0.82(0.43-1.56); \(p=0.627; p_h=0.000\) |
| Ever              | 135/110 | 64/46  | 2/3   | 66/49  | 1.00 | 0.88(0.56-1.39); \(p=0.64; p_h=0.045\) | 1.84(0.30-11.21); \(p=1.000; p_h=0.061\) | 0.91(0.58-1.43); \(p=0.733; p_h=0.038\) | 0.52(0.09-3.17); \(p=0.658; p_h=0.000\) |

while the association between this SNP and rheumatoid arthritis severity has also been reported [20]. As the sample size was limited in our study, the correlation between rs2240337 and the pathologic character of ESCC was not evident, further investigation is desirable to demonstrate the functional relevance of rs2240337 polymorphism in ESCC.

Smoking and alcohol drinking have emerged as widely acknowledged risk factors of ESCC. This notion was in line with our finding, although **PADI4** rs11203366, rs1886302, rs1635562, rs1635564, rs16825533 and rs2477137 were not associated with the susceptibility to ESCC, smoking significantly increased ESCC risk in **PADI4** rs11203366 AG/AA, rs1886302 CC/CT, rs1635562 AT, rs1635564 CA and rs2477137 AC genotype, while alcohol drinking increased ESCC risk in **PADI4** rs11203366 AG, rs1635562 AT, rs1635564 CA,
Table 12: Linkage disequilibrium analyses of *PADI4* rs11203366, rs1886302, rs1635562, rs1635564, rs16825533, rs2240337, rs2477137 in control group

|       | rs1886302 | rs11203366 | rs16825533 | rs2240337 | rs1635564 | rs1635562 |
|-------|------------|------------|------------|------------|------------|------------|
| D':   | rs2477137  | 1          | 0.803      | 0.571      | 0.485      | 0.211      | 0.47       |
|       | rs1886302  | -          | 0.764      | 0.509      | 0.508      | 0.211      | 0.209      |
|       | rs11203366 | -          | -          | 0.978      | 0.525      | 0.445      | 0.28       |
|       | rs16825533 | -          | -          | -          | 0.485      | 0.5        | 0.509      |
|       | rs2240337  | -          | -          | -          | -          | 0.039      | 0.303      |
|       | rs1635564  | -          | -          | -          | -          | -          |            |

| r^2:  | rs1886302 | rs11203366 | rs16825533 | rs2240337 | rs1635564 | rs1635562 |
|-------|------------|------------|------------|------------|------------|------------|
| rs2477137 | 0.464      | 0.19       | 0.139      | 0.19       | 0.042      | 0.025       |
| rs1886302 | -          | 0.367      | 0.051      | 0.097      | 0.022      | 0.011       |
| rs11203366 | -          | -          | 0.124      | 0.065      | 0.065      | 0.03         |
| rs16825533 | -          | -          | -          | 0.004      | 0.099      | 0.012       |
| rs2240337 | -          | -          | -          | -          | 0         | 0.009       |
| rs1635564 | -          | -          | -          | -          | -          | 0.084       |

D'>0, r^2>0: There were linkage disequilibrium correlations among different loci; D'>0.7, r^2>0.3: there were closer linkage disequilibrium correlation among different loci.

Table 13: Linkage disequilibrium analyses of *PADI4* rs11203366, rs1886302, rs1635562, rs1635564, rs16825533, rs2240337, rs2477137 in case group

|       | rs1886302 | rs11203366 | rs16825533 | rs2240337 | rs1635564 | rs1635562 |
|-------|------------|------------|------------|------------|------------|------------|
| D':   | rs2477137  | 0.942      | 0.619      | 0.717      | 0.766      | 0.085      | 0.42       |
|       | rs1886302  | -          | 0.658      | 0.687      | 0.653      | 0.18       | 0.214      |
|       | rs11203366 | -          | -          | 1          | 0.748      | 0.245      | 0.251      |
|       | rs16825533 | -          | -          | -          | 0.997      | 0.319      | 0.07        |
|       | rs2240337  | -          | -          | -          | -          | 0.445      | 0.661      |
|       | rs1635564  | -          | -          | -          | -          | -          |            |

| r^2:  | rs1886302 | rs11203366 | rs16825533 | rs2240337 | rs1635564 | rs1635562 |
|-------|------------|------------|------------|------------|------------|------------|
| rs2477137 | 0.381      | 0.133      | 0.184      | 0.232      | 0.007      | 0.019       |
| rs1886302 | -          | 0.341      | 0.072      | 0.072      | 0.015      | 0.011       |
| rs11203366 | -          | -          | 0.123      | 0.078      | 0.023      | 0.019       |
| rs16825533 | -          | -          | -          | 0.008      | 0.034      | 0           |
| rs2240337 | -          | -          | -          | -          | 0.005      | 0.018       |
| rs1635564 | -          | -          | -          | -          | -          | 0.089       |

D'>0, r^2>0: There were linkage disequilibrium correlations among different loci; D'>0.7, r^2>0.3: there were closer linkage disequilibrium correlation among different loci.
rs2477137 AC, rs1886302 CT genotype. Interestingly, despite the fact that rs2240337 SNP was associated with decreased risk of ESCC, smoking increased ESCC risk in PADI4 rs2240337 AG genotype as compared with non-smokers. Our findings exemplified the significance of the environment and genetic risk factors interact and both contribute to the carcinogenesis. Our study showed the haplotype PADI4 A<sub>rs2477137</sub>C<sub>rs1886302</sub>G<sub>rs11203366</sub>G<sub>rs1625533</sub>G<sub>rs2240337</sub> and PADI4 C<sub>rs2477137</sub>T<sub>rs1886302</sub>G<sub>rs11203366</sub>A<sub>rs1635564</sub>A<sub>rs1635562</sub> genetic polymorphism may be correlated with decreased susceptibility to ESCC, while haplotype PADI4 C<sub>rs2477137</sub>T<sub>rs1886302</sub>A<sub>rs11203366</sub>A<sub>rs1635564</sub>G<sub>rs2240337</sub> A<sub>rs1635564</sub>A<sub>rs1635562</sub> genetic polymorphism may be correlated with increased susceptibility of ESCC, which indicated that single locus polymorphism might not significantly modify the susceptibility to cancer, the chain effect lying in different loci leads to a more profound impact on the risk of cancer.

Our study provides the evidence that polymorphism of PIDA4 rs2240337 G>A is associated with the altered susceptibility of ESCC. We acknowledge there are several limitations in this study. First of all, the study subjects were all recruited from several local medical centers within same area, which might not completely represent the general Chinese population, especially when diverse regional environmental factors existed. Secondly, the detailed information regarding cancer metastasis and survival were not provided as the follow-up study is still ongoing, which hindered analyses of the impact of these SNP polymorphisms on ESCC progression and prognosis. Further studies with more loci and large sample size are warranted to elucidate the effect of PADI4 SNPs on ESCC risk. Last but not least, refrained by the limited technical support, we have not evaluated the biological function of the SNP polymorphism in the carcinogenesis of ESCC in the current study. As rs2240337 is located in the intron region of PADI4 gene, therefore overexpression of wild type and mutant type PADI4 coding sequence does not work. We speculate that rs2240337 may cause an alternative RNA splicing on PADI4 mRNA, thereby regulating the PADI4 protein function. Further studies using an rs2240337 G>A mutation cell or mouse model are needed to clarify the mutant PADI4 function.

Figure 2: Association test of seven PADI4 SNPs (by Haploview Software, V 4.2). There are associations between these seven loci.
Table 14: PADI4 haplotype frequencies (%) in cases and controls and risk of ESCC

| Haplotypes | Case (freq) | Control (freq) | Crude OR (95% CI) | p |
|------------|-------------|----------------|-------------------|---|
| PADI4 A<sub超越1635562</sub>C<sub超越16825533</sub>G<sub超越2240337</sub>A<sub超越1635564</sub>A | 63 (0.056) | 65 (0.055) | 0.964 [0.673–1.383] | 0.844 |
| PADI4 A<sub超越1635562</sub>C<sub超越16825533</sub>G<sub超越2240337</sub>A<sub超越1635564</sub>A | 22 (0.019) | 43 (0.036) | 0.491 [0.290–0.831] | 0.007 |
| PADI4 C<sub超越1635562</sub>C<sub超越16825533</sub>G<sub超越2240337</sub>A<sub超越1635564</sub>A | 44 (0.040) | 28 (0.024) | 1.599 [0.989–2.585] | 0.054 |
| PADI4 C<sub超越1635562</sub>C<sub超越16825533</sub>G<sub超越2240337</sub>A<sub超越1635564</sub>A | 56 (0.050) | 47 (0.040) | 1.209 [0.811–1.803] | 0.351 |
| PADI4 C<sub超越1635562</sub>C<sub超越16825533</sub>G<sub超越2240337</sub>A<sub超越1635564</sub>A | 50 (0.044) | 50 (0.043) | 0.985 [0.658–1.474] | 0.941 |
| PADI4 C<sub超越1635562</sub>C<sub超越16825533</sub>G<sub超越2240337</sub>A<sub超越1635564</sub>A | 81 (0.073) | 58 (0.049) | 1.435 [1.011–2.037] | 0.042 |
| PADI4 C<sub超越1635562</sub>C<sub超越16825533</sub>G<sub超越2240337</sub>A<sub超越1635564</sub>A | 285 (0.255) | 288 (0.245) | 0.984 [0.807–1.201] | 0.877 |
| PADI4 C<sub超越1635562</sub>C<sub超越16825533</sub>G<sub超越2240337</sub>A<sub超越1635564</sub>A | 212 (0.190) | 216 (0.183) | 0.981 [0.789–1.219] | 0.859 |
| PADI4 C<sub超越1635562</sub>C<sub超越16825533</sub>G<sub超越2240337</sub>A<sub超越1635564</sub>A | 60 (0.054) | 63 (0.053) | 0.960 [0.665–1.385] | 0.827 |
| PADI4 C<sub超越1635562</sub>C<sub超越16825533</sub>G<sub超越2240337</sub>A<sub超越1635564</sub>A | 21 (0.019) | 37 (0.031) | 0.568 [0.330–0.975] | 0.038 |

Haplotypes were composited by PADI4 rs2477137, rs1886302, rs11203366, rs16825533, rs2240337, rs1635564 and rs1635562. All those frequency <0.03 were ignored in analysis. Haplotype PADI4 A<sub超越1635562</sub>C<sub超越16825533</sub>G<sub超越2240337</sub>A<sub超越1635564</sub>A frequency was significantly lower in ESCC cases as compared with controls (0.019 vs. 0.036, p=0.007), suggesting that haplotype PADI4 A<sub超越1635562</sub>C<sub超越16825533</sub>G<sub超越2240337</sub>A<sub超越1635564</sub>A genetic polymorphism may be correlated with decreased susceptibility of ESCC (OR=0.491, 95%CI: 0.290–0.831). Haplotype PADI4 C<sub超越1635562</sub>C<sub超越16825533</sub>G<sub超越2240337</sub>A<sub超越1635564</sub>A frequency was significantly higher in ESCC cases as compared with controls (0.073 vs. 0.049, p=0.042), suggesting that haplotype PADI4 C<sub超越1635562</sub>C<sub超越16825533</sub>G<sub超越2240337</sub>A<sub超越1635564</sub>A genetic polymorphism may be correlated with increased susceptibility of ESCC (OR=1.435, 95%CI: 1.011–2.037). Haplotype PADI4 C<sub超越1635562</sub>C<sub超越16825533</sub>G<sub超越2240337</sub>A<sub超越1635564</sub>A frequency was significantly lower in ESCC cases as compared with controls (0.019 vs. 0.031, p=0.038), suggesting that haplotype PADI4 C<sub超越1635562</sub>C<sub超越16825533</sub>G<sub超越2240337</sub>A<sub超越1635564</sub>A genetic polymorphism may be correlated with a decreased susceptibility of ESCC (OR=0.568, 95%CI: 0.330–0.975).

MATERIALS AND METHODS

Ethical approval of the study protocol

We have complied with the World Medical Association Declaration of Helsinki regarding ethical conduct of research involving human subjects and/or animals. The Review Board of Jiangsu University (Zhenjiang, China) approved this hospital-based case-control study. To be included in the study, all subjects provided written informed consent.

Patients and controls

Between October 2008 and June 2013, 629 subjects with ESCC were consecutively recruited from the Affiliated People’s Hospital of Jiangsu University and Affiliated Hospital of Jiangsu University (Zhenjiang, China). All cases of ESCC were diagnosed pathologically. The exclusion criteria were patients who previously had: cancer; any metastasized cancer; radiotherapy or chemotherapy. The 686 controls were patients without cancer and were matched to the cases with regard to age (±5 years) and sex. Most of the controls were admitted
to the hospitals for the treatment of trauma. They were recruited from the two hospitals mentioned above during the same time period.

Trained interviewers, using a pre-tested questionnaire, questioned each subject personally to obtain information on demographic data (e.g., age, sex) and related risk factors (including tobacco smoking and alcohol consumption). After the interview, 2mL of venous blood was collected from each subject. Individuals who smoked one cigarette per day for >1 year were defined as “smokers”. Subjects who consumed more than three alcoholic drinks a week for >6 months were considered to be “alcohol drinkers”.

**Isolation of DNA, SNPs selection and genotyping by ligation detection reaction**

Blood samples were collected from patients using vacutainers and transferred to tubes lined with ethylenediamine tetra-acetic acid (EDTA). Genomic DNA was isolated from whole blood with the QIAamp DNA Blood Mini Kit (Qiagen, Berlin, Germany) as described [21].

To find tagging SNPs, we used a block-based tagging strategy using Haploview 4.2 software, according to the HapMap database (http://www.hapmap.org/, phase II Nov08, on NCBI B36 assembly, dbSNP b126; population: Chinese Han population). Seven PADI4 tagging SNPs were selected on the basis of Hardy-Weinberg equilibrium (HWE) \( p \geq 0.05 \), call rate \( \geq 95\% \) and minor allele frequency \( \geq 0.05 \). The samples were genotyped using the ligation detection reaction (LDR) method, with technical support from the Shanghai Biowing Applied Biotechnology Company [22]. For quality control, repeated analyses were done for 110 (11.73%) randomly selected samples with high DNA quality.

**Statistical analyses**

Differences in the distributions of demographic characteristics, selected variables, genotypes of the PADI4 variants, and the correlation between genotyping and pathologic state were evaluated using the \( \chi^2 \) test. The associations between the seven SNPs and risk of ESCC were estimated by computing the odds ratios (ORs) and their 95% confidence intervals (CIs) using logistic regression analyses for crude ORs and adjusted ORs when adjusting for age, sex, smoking and drinking status. The HWE was tested by a goodness-of-fit \( \chi^2 \) test to compare the observed genotype frequencies to the expected frequencies among the control subjects. The Bonferroni correction procedure was applied because of the number of comparisons. As multiple hypotheses are tested, the chance of a rare event increases, and the likelihood of incorrectly rejecting a null hypothesis (type I error) increases, the Bonferroni correction was therefore performed. All statistical analyses were performed with SPSS 23.0 Statistical Package (SPSS Inc., Chicago, IL).

**Abbreviations**

PADI4: peptidylarginine deiminase type 4; ESCC: esophageal squamous cell carcinoma; EC: esophageal cancer; SNP: Single nucleotide polymorphism; LD: linkage disequilibrium; OR: odds ratio; CI: confidential interval.

**Author contributions**

LW, HG and TL carried out the molecular genetic studies, selected the tagged SNPs, performed the statistical analysis and drafted the manuscript. HP, LL, YS, JZ, WS, WT, GD, SC, YF, HD, QW, JY recruited the patients and collected the samples. SC, JY and YF participated in the design and coordination of the study. LT and JY conceived of the study, and participated in its design and coordination. All authors read and approved the final manuscript.

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**CONFLICTS OF INTEREST**

The authors declare that they have no conflicts of interest.

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