Mode competition and anomalous cooling in a multimode phonon laser

Utku Kemiktarak¹,², Mathieu Durand², Michael Metcalfe¹,², and John Lawall²
¹Joint Quantum Institute, University of Maryland, College Park, MD 20742, USA
²National Institute of Standards and Technology, 100 Bureau Drive, Gaithersburg, MD 20899, USA
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We study mode competition in a multimode “phonon laser” comprised of an optical cavity employing a highly reflective membrane as the output coupler. Mechanical gain is provided by the intracavity radiation pressure, to which many mechanical modes are coupled. We calculate the gain, and find that strong oscillation in one mode suppresses the gain in other modes. For sufficiently strong oscillation, the gain of the other modes actually switches sign and becomes damping, a process we call “anomalous cooling.” We demonstrate that mode competition leads to single-mode operation and find excellent agreement with our theory, including anomalous cooling.

Introduction. – While the laser was invented more than five decades ago, its acoustic analog has only recently been realized. Following the observation of phonon amplification in microwave-pumped ruby [1] in 1964, the possibility of “phonon lasing” was suggested. Subsequent work in ruby studied the emission spectrum of phonon generation [2] and multimode processes [3]. With the advent of optical pumping, detailed studies of the coherence of phonon emission were enabled [4], culminating in a ruby “saser” [5]. Shortly thereafter, phonon lasing was realized in a harmonically bound Mg⁺ ion driven by optical forces [6]. Subsequently, it was recognized that a large class of optomechanical systems, in which optically furnished gain enables self-sustained mechanical oscillation, are properly called “phonon lasers” [7]. These include beams [7, 8] and cantilevers [9] coupled to an optical cavity, microtoroids [10, 11], and a cantilever deriving mechanical gain from optical bandgap excitation [12]. Analogous electromechanical [13, 14] and purely mechanical [15] systems have also been discussed. Various phenomena associated with lasers, such as stimulated emission [6], oscillation threshold [7, 9, 11, 12, 15], gain narrowing [13], and injection locking [14], have been demonstrated.

With few exceptions, these investigations have involved a single mechanical mode. Multimode emission was observed in ruby [2, 3], and two-mode oscillation was observed in a photothermally coupled optomechanical system [9]. Intermodal coupling in an electromechanical system was exploited to realize a phonon laser without an optical pump [9]. In the domain of conventional lasers, an interesting and important feature arises when multimode operation is considered. As shown by Lamb in 1964 [17], a saturation phenomenon occurs in which oscillation of one mode suppresses the gain of other modes. This has the dramatic consequence that, in the absence of inhomogeneous gain broadening, a laser oscillates in steady state on a single mode, even when the small-signal gain exceeds the losses for more than one mode [18].

In view of the multimode oscillation observed in the photothermal system [9], it is natural to ask whether a phonon laser employing pure radiation pressure coupling would exhibit the single-mode oscillation characteristic of a homogeneously broadened laser. Here we study such a system, in which one cavity mirror is formed by a highly reflective membrane supporting many mechanical modes.

We find that when the mechanical gain exceeds the losses for more than one mode, the steady-state condition is nevertheless always that of a single oscillating mode. We calculate the gain for the case of two modes, and find that just as in the conventional laser, a strongly oscillating mode tends to “steal” gain from competing modes. Sufficient oscillation amplitude, in fact, reverses the sign of the gain of more weakly oscillating modes, causing them to be optically damped. Experimentally, we are able to force certain modes to oscillate, or to put the system in a regime in which the mode that ultimately oscillates is unpredictable and depends on thermal fluctuations. In addition, we verify our prediction that as the oscillation strength of one mode is increased, the quenched modes are in fact cooled.

Experiment. – As illustrated in Fig. 1, our optome-
A cavity. The Michelson beam employs a third laser at a distance roughly to the number of sidebands with significant amplitude. The radiation pressure force, with spectral density $|\mathcal{R}_{\nu}|^2 / c$, is below 20 mW/m$^2$. The input coupler has a radius of curvature of 1000 mm that is far off resonance from any cavity mode. The cavity is driven by mechanical modes. Each mode is driven by generalized force $\mathcal{R}_{\nu} = 2|\mathcal{U}(t)|^2 / c$ associated with the circulating optical power oscillates at a frequency $\nu_m$ and all of its harmonics. For a high-Q mechanical oscillator, the dynamics are well described by

$$\dot{q}_{mn} + (\Gamma_{\text{intr}} + \Gamma_{\text{intr}}^R(\{\chi_{rs}\}))q_{mn} + \omega_{mn}^2 q_{mn} = \frac{F_{th}(t)}{m_{eff}}$$

(2)

Here $\omega_m = 2\pi\nu_m$, and $\Gamma_{\text{intr}}^R$ is the intrinsic damping of mode $mn$, related to the mechanical quality factor $Q_m$ by $\Gamma_{\text{intr}} = \omega_m / Q_m$. $F_{th}(t)$ is the thermal Langevin force, with spectral density $S_F(\omega) = 4kT m_{eff} \Gamma_{\text{intr}}^R$. The $\Gamma_{\text{intr}}^R(\{\chi_{rs}\})$ are optical modifications to the damping of mode $mn$; modifications to the $\omega_{mn}$ are also present but not significant here. In general, $\Gamma_{\text{intr}}^R(\{\chi_{rs}\})$ depends on the set of amplitudes $\{\chi_{rs}\}$ of all of the modes.

If the amplitudes are all small ($\chi_m < 1$), only the first-order sidebands need be considered. In this case $\Gamma_{\text{intr}}^R$ can be shown to be independent of the $\{\chi_{rs}\}$, and the optical damping works independently for each mode. In previous work we have optically cooled hundreds of mechanical modes simultaneously.

The situation $\Gamma_{\text{intr}}^R < 0$ corresponds to antidamping, or optically-furnished mechanical gain, and is obtained by blue detuning ($\delta\nu > 0$). If the optical gain exceeds the intrinsic damping, $-\Gamma_{\text{intr}} > \Gamma_{\text{intr}}^R$, the amplitude rings up from its thermal value, and the first-order theory loses validity. Indeed, as the amplitude of each mode grows, it suppresses the gain of all of the other modes as determined by the rates $\Gamma_{\text{intr}}^R(\{\chi_{rs}\})$. This phenomenon of intermode gain suppression has a dramatic signature: it causes an antidamped system to oscillate on a single mode, even if the unsaturated mechanical gain exceeds the oscillation threshold for more than one mode.

To see this, we start by calculating $\Gamma_{\text{intr}}^R$ for the case of two low-order nondegenerate modes, where we use the letters $A$ and $B$ to label mode indices $mn$. For $\omega_m < < \omega_0$, we find [22] the gain of mode $A$ to be

$$\Gamma_{\text{intr}}^R(\chi_A, \chi_B) = C P_{in} \left( \frac{\phi_{mn}(x_0, y_0)}{\nu_A^2} \right) \times \text{Im} \left\{ \frac{1}{\chi_A} \sum_{k=\pm \infty} J_k(\chi_A) J_{k-1}(\chi_A) \frac{J_1^2(\chi_B)}{\gamma_i(\delta\omega - (k\omega_A + l\omega_B))} \right\}$$

where $\chi_A$ and $\chi_B$ describe the oscillation amplitudes, $C = 4\nu_i^2 \delta\nu^3 / (\pi m_{eff} \chi)$, and the $J_k$ are Bessel functions.
Clearly the gain of mode $A$ depends on the oscillation amplitude of mode $B$. The single-mode case, which we consider initially, can be obtained by taking $\chi_B \to 0$, $\Gamma_A^{RP}(\chi_A) = \Gamma_A^{RP}(\chi_A, 0)$.

The single-mode oscillation threshold condition is given by $\Gamma_A^{RP}(0) = -\Gamma_A^{intr}$, and the steady-state oscillation amplitude $\chi_A$ is given by $\Gamma_A^{RP}(\chi_A) = -\Gamma_A^{intr}$. Fig. 2 shows (red curve) the gain of mode $(m, n) = (2, 1)$ normalized to its small-amplitude value, $\Gamma_{21}^{RP}(\chi_{21})/\Gamma_{21}^{RP}(0)$. We have taken a detuning of $\delta \omega = 0.67\gamma$ and a mechanical frequency of $\omega_{21} = 0.07\gamma$, corresponding to values used in our experiment. This curve shows gain saturation, as expected: $\Gamma^{RP}$ drops to half of the small-amplitude value for an oscillation amplitude of $\chi \approx 16$.

Mode competition. More interesting phenomena arise when we consider how the gain of mode $(1, 2)$ is affected by the amplitude of mode $(2, 1)$. Fig. 2 (blue curve) shows the gain of the $(1, 2)$ mode, in the limit of small oscillation amplitude $\chi_{12}$, as a function of $\chi_{21}$. The curve exhibits two key features: the gain of the weakly-oscillating mode $(1, 2)$ diminishes more rapidly with amplitude $\chi_{21}$ than that of the stronger mode $(2, 1)$, and, when the $(2, 1)$ mode oscillates with $\chi_{21} > 18$, the gain of the weak mode actually switches sign and provides damping. Qualitatively similar behavior (not shown) is found for the gain of the $(2, 1)$ mode as a function of $\chi_{12}$.

In our experiment, the mode with the lowest threshold power is the $(1, 2)$ mode, with $\nu_{12} = 192$ kHz, $\Gamma_{12}^{intr} = 2.5(2)$ s$^{-1}$, and geometrical coupling $\phi_{1,2}(x_0, y_0) = 0.02(3)$. The $(2, 1)$ mode, with $\nu_{21} = 207$ kHz, $\Gamma_{21}^{intr} = 4.8(3)$ s$^{-1}$ and $\phi_{2,1}(x_0, y_0) = 0.83(2)$, has a slightly higher threshold power, $P_{2,1}^{thresh} = 1.05 P_{1,2}^{thresh}$, so for incident laser power $P_{1,2}^{thresh} < P_\text{in} < P_{2,1}^{thresh}$, the $(1, 2)$ mode is the only one that will oscillate. The $(2, 1)$ mode is, however, better coupled to the radiation pressure, and for $P_\text{in} \gg P_{2,1}^{thresh}$, the net small-amplitude gain, $-\left(\Gamma_{21}^{RP}(\{\chi_s^{\text{thermal}}\}) + \Gamma_{21}^{intr}\right)$, is found to be 1.8 times larger than the corresponding gain for the $(1, 2)$ mode. For large pump powers, then, the $(2, 1)$ mode more quickly rings up from thermal amplitude, and as it does, the gain for the more weakly oscillating $(1, 2)$ mode is suppressed, as indicated in Fig. 2. Thus by appropriate choice of pump power, it is possible to deterministically force either the $(1, 2)$ or $(2, 1)$ mode to oscillate. With different experimental parameters, we have similarly been able to force the $(1, 1)$ and $(2, 2)$ modes to oscillate.

For pump powers exceeding the oscillation threshold of both modes $(1, 2)$ and $(2, 1)$, but low enough that the net small-amplitude gains for the two modes are comparable, it is not possible to predict which mode will oscillate in steady state. We study the time dependence of the mode competition by sending the signal from the Michelson interferometer into lockin amplifiers referenced to $\nu_{12}$ and $\nu_{21}$. Fig. 3h and 3i (solid lines) show typical amplitudes $z_{mn}(t)$ for such experiments. We switch on the pump at time $t \approx 50$ s, with detuning $\delta \omega = 0.67\gamma$ and power slightly larger than $P_{2,1}^{thresh}$, and switch it back off at $t \approx 175$ s. The amplitudes of the $(1, 2)$ and $(2, 1)$ modes both initially grow, but after several seconds, one mode grows until its gain is saturated, while the growth of the other mode is quenched. Independent measurements confirm that the oscillations of all other modes are likewise quenched.

From the amplitudes of the steady-state oscillation in Fig. 3a and Fig. 3b ($75 s < t < 175$ s), we infer
FIG. 4. Effective temperature of (1,2) mode while (2,1) mode is quenched to be $\Gamma_{\text{net}} < t < 175$ s (Fig. 3a, 75 s). The fact that the net damping of the (1,2) mode is so small manifests itself in the size of the fluctuations of the quenched (1,2) mode while the (2,1) mode is oscillating (Fig. 3a, 75 s < $t < 175$ s), that are well above the thermal level ($t < 45$ s). The dashed curves in the figures show the results of simulations based on numerical integration of Eq. 2, in which the thermal force $F_\text{th}(t)$ is modeled by means of a memoryless Gaussian stochastic process. As in the experiment, the mode that ultimately oscillates cannot be predicted in advance. The only adjustable parameter in the simulation is the pump laser power, taken to be $P_{\text{in}} = 1.18 P_{12}^{\text{thresh}}$.

Fig. 3b) shows a set of trajectories calculated by integrating Eq. 2 with $P_{\text{in}} = 1.18 P_{12}^{\text{thresh}}$ for a variety of initial conditions, taking $T = 0$ for clarity. Similar curves were shown in the paper by Lamb 17 in his study of multimode operation of an “optical maser.” Corresponding curves for thirteen successive realizations of the experiment are shown in Fig. 3b.

Anomalous cooling. – While the amplitudes of the fluctuations in the quenched mode are above the thermal level in Fig. 3a and Fig. 3b, the calculated anti-damping shown in Fig. 2 shows that we expect cooling of the quenched mode when the amplitude of the oscillating mode is large enough. To study this matter, we set the (2,1) mode into oscillation with a sequence of ten pump powers from $P_{\text{in}} = 1.3 P_{21}^{\text{thresh}}$ to $P_{\text{in}} = 6.4 P_{21}^{\text{thresh}}$. For each power, we measured the amplitude $z_{mn}(t)$ of both the (1,2) and (2,1) modes for 450 seconds, then extinguished the pump, allowed the transients to die away, and measured the thermal amplitudes for another 450 seconds. The inset to Fig. 4 shows histograms of the amplitudes $z_{12}(t)$ for the cases of no pump, low ($P_{\text{in}} = 1.3 P_{21}^{\text{thresh}}$) and high ($P_{\text{in}} = 6.4 P_{21}^{\text{thresh}}$) pump powers. In thermal equilibrium, the amplitude $z_{mn}$ is distributed according to a Boltzmann distribution

$$p(z_{mn}) = \frac{m_{\text{eff}} \omega_{mn}^2}{k_B T} z_{mn} e^{-m_{\text{eff}} \omega_{mn}^2 z_{mn}^2}$$

Each of the curves in the inset is fit to Eq. 3. The statistics of the set of ten such thermal (pump off) measurements yields a mean of $T_0 = 278$ K with a standard deviation of $\approx 12$ K. The largest uncertainty in the inferred temperature arises from $\phi_{12}(x_0, y_0)$, used to infer $z_{12}(t)$, and contributes an uncertainty of 6%; adding the statistical contribution in quadrature, we assign an uncertainty of 7.5% to the temperature measurements. At $P_{\text{in}} = 1.3 P_{21}^{\text{thresh}}$, the statistics of the fluctuations in the (1,2) mode, while the (2,1) mode is oscillating, correspond to an effective temperature of 1040(77) K. At $P_{\text{in}} = 6.4 P_{21}^{\text{thresh}}$, the effective temperature is 180(14) K, illustrating the anomalous cooling predicted in Fig. 2.

Conclusion. – We have studied the problem of mode competition in a multimode phonon laser both theoretically and experimentally. By using a highly reflective membrane as the end mirror of an optical cavity, in which many mechanical modes are coupled to the intracavity radiation pressure, we demonstrate that oscillation of one mode tends to “steal” gain from more weakly oscillating modes, culminating in single-mode steady-state operation. Remarkably, strong oscillation of one mode even causes optical damping of the other modes. In addition to more fully illuminating the analogy between phonon lasers and their optical counterparts, the insights gained here can be used to force a particular mode to oscillate when multiple modes are capable of oscillation, which may be useful as applications of phonon lasers appear.

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Supplementary Material for “Mode competition and anomalous cooling in a multimode phonon laser”

Utku Kemiktarak\textsuperscript{1,2}, Mathieu Durand\textsuperscript{2}, Michael Metcalfe\textsuperscript{1,2}, and John Lawall\textsuperscript{2}

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\textsuperscript{1}Joint Quantum Institute, University of Maryland, College Park, MD 20742, USA
\textsuperscript{2}National Institute of Standards and Technology, 100 Bureau Drive, Gaithersburg, MD 20899, USA

1 Generalized force from radiation pressure in Gaussian beam

Newton’s equation of motion for $q_{mn}$ is

$$\ddot{q}_{mn} + \Gamma_{mn} q_{mn} + \omega_{mn}^2 q_{mn} = \frac{F_{mn}(t)}{m_{\text{eff}}} + \frac{F_{\text{th}}(t)}{m_{\text{eff}}}$$

(1)

where each mode is driven by the generalized force

$$F_{mn}(t) = \int \int f(x, y, t) \phi_{mn}(x, y) \, dx \, dy$$

(2)

We take the mode functions $\phi_{mn}(x, y)$ to be those of a uniform square membrane, $\phi_{mn}(x, y) = \sin \frac{m\pi x}{a} \sin \frac{n\pi y}{a}$, and the radiation pressure force per unit area $f(x, y, t)$ to have a Gaussian spatial distribution, with spot size $\omega_0$, centered at $(x_0, y_0)$:

$$f(x, y, t) = \frac{2 F_{\text{RP}}(t)}{\pi \omega_0^2} e^{-2((x-x_0)^2 + (y-y_0)^2)/\omega_0^2}$$

(3)

Here $F_{\text{RP}}(t)$ is the total radiation pressure force

$$F_{\text{RP}}(t) = \int \int f(x, y, t) \, dx \, dy$$

(4)

equal to

$$F_{\text{RP}}(t) = \frac{2}{c} |u(t)|^2$$

(5)

where $u(t)$ is the amplitude of the electric field circulating in the cavity, and units are chosen such that $|u(t)|^2$ has dimensions of power. Evaluating the integral in Eqn. (2),

$$F_{mn}(t) = F_{\text{RP}}(t) \exp \left( -\frac{\pi^2 \omega_0^2}{8a^2} \left( m^2 + n^2 \right) \right) u_{mn}(x_0, y_0)$$

(6)

$$\approx F_{\text{RP}}(t) \phi_{mn}(x_0, y_0)$$

(7)

where the final approximation assumes low-order modes $m$ and $n$ and $\omega_0 << a$. 

1
2 Dynamics of circulating optical field

Substituting our normal mode decomposition of the membrane motion

\[ z(t) = \sum_{m,n=1}^{\infty} q_{mn}(t) \phi_{mn}(x_0, y_0) \]  

into the equation for the amplitude \( u(t) \) of the field circulating in the Fabry-Perot cavity, and making the ansatz \( q_{mn}(t) = z_{mn} \sin \omega_{mn} t \), we obtain

\[ \dot{u}(t) + \left( -i \omega_{mn} \chi_{mn} \sin \omega_{mn} t \right) u(t) = i \Delta \nu \sqrt{T_1 P_m} \]  

Note that \( z_{mn} \) is the amplitude of the membrane oscillation at antinodes of the mechanical mode \( mn \), and \( z_{mn} \phi_{mn}(x_0, y_0) \) is the amplitude at the center of the optical spot. Taking \( \alpha = \gamma - i \delta \omega \) and defining the dimensionless parameter

\[ \chi_{mn} \equiv 2 \frac{z_{mn} \phi_{mn}(x_0, y_0)}{\lambda \Delta \nu \nu_{mn}} \]  

where \( \omega_{mn} = 2\pi \nu_{mn} \), the equation of evolution for \( u \) becomes

\[ \dot{u}(t) + \left( \alpha - i \sum_{m,n=1}^{\infty} \omega_{mn} \chi_{mn} \sin \omega_{mn} t \right) u(t) = i \Delta \nu \sqrt{T_1 P_m} \]  

We consider only two modes, which we label \( A \) and \( B \), so that Eq. (11) becomes

\[ \dot{u} + \left( \alpha - i \left( \omega_A \chi_A \sin \omega_A t + \omega_B \chi_B \sin \omega_B t \right) \right) u = i \Delta \nu \sqrt{T_1 P_m} \]  

Using an integrating factor, the steady-state solution of this equation is

\[ u(t) = i \sqrt{T_1 P_m} \Delta \nu e^{-\alpha t - i(\chi_A \cos \omega_A t + \chi_B \cos \omega_B t)} \int_t^\infty e^{\alpha t' + i(\chi_A \cos \omega_A t' + \chi_B \cos \omega_B t')} dt' \]  

where we have expanded the exponential in the integrand and used the identity

\[ e^{ix \cos \phi} = \sum_{k=-\infty}^{\infty} i^k J_k(\chi)e^{ik\phi} \]  

3 Radiation pressure force

The circulating optical power is given by

\[ |u(t)|^2 = T_1 P_m \Delta \nu^2 \sum_{k,l,s,q=-\infty}^{\infty} i^{s+q} J_k(\chi_A)J_l(\chi_B)J_{k-s}(\chi_A)J_{l-q}(\chi_B) \frac{e^{i\omega_A t}}{\alpha + i(k\omega_A + l\omega_B)} \frac{e^{i\omega_B t}}{\alpha^* - i((k-s)\omega_A + (l-q)\omega_B)} \]
Clearly the radiation pressure force \( F^{RP} = \frac{2}{c} |u(t)|^2 \) oscillates at all multiples of \( \omega_A, \omega_B \), and an infinite number of sum and difference frequencies. To determine the (anti)damping of mode \( A \), the terms at \( \pm \omega_A \) are of particular importance, since they are the only ones that contribute to the cycle-averaged work done on that mode of the mechanical oscillator. \( F^{RP} \) can then be written

\[
F^{RP}(t) \rightarrow -\frac{4}{c} T_1 \Delta \nu^2 P_m \left\{ \text{Re} \sum_{k,l=-\infty}^{\infty} \frac{J_k(\chi_A)J_{k-1}(\chi_A)}{\alpha + i(k\omega_A + l\omega_B) \alpha^* - i((k-1)\omega_A + l\omega_B)} \sin \omega_A t \right. \\
\left. + \text{Im} \sum_{k,l=-\infty}^{\infty} \frac{J_k(\chi_A)J_{k-1}(\chi_A)}{\alpha + i(k\omega_A + l\omega_B) \alpha^* - i((k-1)\omega_A + l\omega_B)} \cos \omega_A t \right\} + F_{\text{nonresonant}}
\]

We now use \( q_A(t) = z_A \sin \omega_A t \)
\( \dot{q}_A(t) = \omega_A z_A \cos \omega_A t \)
and Eq. (17) to express the generalized force on mode \( A \) as

\[
F_A(t) = -\frac{4\phi_A(x_0, y_0)}{cz_A} T_1 \Delta \nu^2 P_m \left\{ q_A(t)\text{Re} \sum_{k,l=-\infty}^{\infty} \frac{J_k(\chi_A)J_{k-1}(\chi_A)}{\alpha + i(k\omega_A + l\omega_B) \alpha^* - i((k-1)\omega_A + l\omega_B)} \right. \\
\left. + \frac{\dot{q}_A(t)}{\omega_A} \text{Im} \sum_{k,l=-\infty}^{\infty} \frac{J_k(\chi_A)J_{k-1}(\chi_A)}{\alpha + i(k\omega_A + l\omega_B) \alpha^* - i((k-1)\omega_A + l\omega_B)} \right\} + F_{\text{nonresonant}}
\]

Substituting into Eq. (17) and dropping the nonresonant terms, we find

\[
\ddot{q}_A + \left( \Gamma_A^{\text{intr}} + \Gamma_A^{RP}(\chi_A, \chi_B) \right) \dot{q}_A + \omega_A^2 q_A = \frac{F_{\text{th}}(t)}{m_{\text{eff}}}
\]

where an optically-induced modification to the oscillation frequency \( \omega_A \) (not relevant to this work) has been suppressed, and the optical contribution to the mechanical (anti)damping is given by

\[
\Gamma_A^{RP}(\chi_A, \chi_B) = C P_m \left( \frac{\phi_A^2(x_0, y_0)}{\nu_A^2} \right) \text{Im} \left\{ \frac{1}{\chi_A} \sum_{k,l=-\infty}^{\infty} \frac{J_k(\chi_A)J_{k-1}(\chi_A)}{\gamma - i(\delta \omega - (k\omega_A + l\omega_B)) \gamma + i(\delta \omega - ((k-1)\omega_A + l\omega_B))} \right\}
\]

with

\[
C = \frac{4T_1 \Delta \nu^2}{\pi m_{\text{eff}} \lambda c}
\]

The single-mode case is obtained by taking \( \chi_B \rightarrow 0 \), and gives

\[
\Gamma_A^{RP}(\chi_A) = C P_m \left( \frac{\phi_A^2(x_0, y_0)}{\nu_A^2} \right) \text{Im} \left\{ \frac{1}{\chi_A} \sum_{k=-\infty}^{\infty} \frac{J_k(\chi_A)}{\gamma - i(\delta \omega - k\omega_A) \gamma + i(\delta \omega - (k-1)\omega_A)} \right\}
\]