Some Remarks on the Entanglement Number

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Gudder, in a recent paper, defined a candidate entanglement measure which is called the entanglement number. The entanglement number is first defined on pure states and then it extends to mixed states by the convex roof construction. In Gudder’s article it was left as an open problem to show that Optimal Pure State Ensembles (OPSE) exist for the convex roof extension of the entanglement number from pure to mixed states. We answer Gudder’s question in the affirmative, and therefore we obtain that the entanglement number vanishes only on the separable states. More generally we show that OPSE exist for the convex roof extension of any function that is norm continuous on the pure states of a finite dimensional Hilbert space. Further we prove that the entanglement number is an LOCC monotone, (and thus an entanglement measure), by using a criterion that was developed by Vidal in 2000. We present a simplified proof of Vidal’s result where moreover we use an interesting point of view of tree representations for LOCC communications. Lastly, we generalize Gudder’s entanglement number by producing a monotonic family of entanglement measures which converge in a natural way to the entropy of entanglement.

1 Introduction

Entanglement is a fundamental concept in quantum mechanics. A detailed review article [1] summarized our understanding of entanglement until 2009, but many new results have since appeared. Gudder in [2] introduced a general theory of entanglement which applies even to classical probability measures with discrete support. In the same article, he also introduced a quantity that he called the entanglement number which quantifies entanglement for classical probability measures as well as for density operators. Indeed the formula

$$e(\ket{\psi}) = \sqrt{1 - \sum_i \lambda_i^2}$$

(where \(\sqrt{\lambda_i}\) are the Schmidt coefficients of the pure state \(\psi\), with \(\lambda_i \geq 0\) and \(\sum_i \lambda_i = 1\)) defines the entanglement number \(e(\psi)\) of a pure bipartite state \(\psi\) and can also be naturally extended to classical probability measures. The advantage of the entanglement number, besides the fact that a similar formula makes sense even in classical probability theory, is that it can be computed easily for pure bipartite states. Indeed, in [3, Theorem 4.2] a closed form formula is given for computing the entanglement number of a pure bipartite state \(\psi\). For example, if \(X, Y\) are two finite dimensional Hilbert spaces with orthonormal bases \(\{\ket{x_i}\}\) and \(\{\ket{y_j}\}\) respectively, and \(\psi = \sum_i c_i \ket{x_i} \ket{y_j}\) then the entanglement number \(e(\psi)\) can be computed by

$$e(\psi) = \sqrt{1 - \text{Tr}(|\psi|^2)}$$

(1)
where $C$ is the matrix $(c_{i,j})$. Moreover, it is shown that if $(c_j)$’s are the columns of $C$ then

$$\text{Tr} (|C|^d) = \sum_{r,s} |c_r, c_s|^2. \quad (2)$$

The extension of the entanglement number from pure states to mixed states is done via the convex roof construction:

$$e(\rho) = \inf \left\{ \sum_{i=0}^{\infty} \lambda_i e(\psi_i) : \lambda_i \geq 0, \sum_i \lambda_i = 1, \psi_i \text{ are pure states, and } \sum_{i=0}^{\infty} \lambda_i \langle \psi_i | \rho | \psi_i \rangle \right\} \quad (3)$$

3. $\mu\left(U_1 \otimes U_2 \rho(U_1^* \otimes U_2^*)\right) = \mu(\rho)$ where $U_1$ and $U_2$ are unitaries, (i.e. $\mu$ is invariant under local unitaries).

A function $\mu$ on the states of a Hilbert space is called an LOCC monotone \cite{13} if property 2. is satisfied. LOCC channels are hard to represent, \cite{14}. In Section 3 we provide a tree representation of LOCC channels which contributes to a better understanding of these channels. Our result, Theorem 4.1 which is a slight strengthening of a result of Vidal \cite{13} with a simplified proof, shows that the entanglement number is an LOCC monotone. Therefore we obtain that the entanglement number is indeed an entanglement measure. Furthermore we provide a family of entanglement measures which are defined in a similar manner as the entanglement measure. These entanglement measures are parametrized with $p \in (1, \infty)$ and they are equal to the entanglement number when $p = 2$.

We would like to thank the editors for pointing out that Gudder had answered the question that he posted in \cite{2} in the publication \cite{15}. We also would like to thank Shirokov who made us aware of his paper \cite{16} where an extension of our Theorem 2.1 has been proved that is applicable even for infinite dimensional Hilbert spaces. We were not aware of publications \cite{15} and \cite{16}, and the method used here in the proof of Theorem 2.1 is different that the one used in these publications. We decided to keep our proof of Theorem 2.1 for the completeness of the article.

**2 Existence of Optimal Pure State Ensembles**

In this section we prove that the infimum in the definition of convex roof construction which extends Gudder’s entanglement number from pure states to mixed states, is always achieved. We present the argument in a more general way so it can be applied to other convex roof constructions, (see Section 3 for some applications).

Assume that $X$ is a Hilbert space and $\mu$ is a function defined on the pure states of $\mathcal{H}$ taking values in $\mathbb{R}_{\geq 0}$.

1. $\mu(\rho) = 0$ if and only if $\rho$ is separable, (i.e. $\mu$ is faithful).

2. $\mu(\Lambda(\rho)) \leq \mu(\rho)$ where $\Lambda$ is an LOCC channel, (i.e. $\mu$ is an LOCC monotone). LOCC stands for Local Operations and Classical Communication. See Section 4 for a precise description of these channels.
One can extend $\mu$ to the set of density operators $D(\mathcal{X})$ of a Hilbert space $\mathcal{X}$ by the convex roof construction:

$$\mu(\rho) = \inf \left\{ \sum_{i=0}^{\infty} \lambda_i \mu(\psi_i) : \{ (\lambda_i)_{i=0}^{\infty}, (\psi_i)_{i=0}^{\infty} \} \in \mathcal{CD}(\rho) \right\} \quad (4)$$

$$\mathcal{CD}(\rho) = \left\{ \{ (\lambda_i)_{i=0}^{\infty}, (\psi_i)_{i=0}^{\infty} \} : \lambda_i \geq 0, \sum_{i=0}^{\infty} \lambda_i = 1, \psi_i \in \mathcal{H}, \text{ and } \sum_{i=0}^{\infty} \lambda_i |\psi_i\rangle \langle \psi_i| = \rho \right\} \quad (5)$$

The main result of this section is the following:

**Theorem 2.1.** If $\mu$ is a norm-continuous function on the pure states of a finite dimensional Hilbert space $\mathcal{H}$, then the infimum in Equation (4) is attained for all $\rho \in D(\mathcal{H})$.

**Proof.** An important role in the proof of this theorem will be played by a compact metrizable topological space $(\Pi, \tau)$ that we define now. Throughout the proof, for any Hilbert space $\mathcal{H}$, we will denote by $S(\mathcal{H})$ the unit sphere of $\mathcal{H}$, (i.e. the vectors of $\mathcal{H}$ of norm equal to 1). As usual, the set $S(\mathcal{H})$ is identified with the set of pure states of $\mathcal{H}$. Define

$$P_\infty = \{ (\lambda_i)_{i=0}^{\infty} : \lambda_i \geq 0 \text{ for each } i, \sum_{i=0}^{\infty} \lambda_i = 1 \} \quad (6)$$

i.e., $P_\infty$ is the intersection of $S(\ell^1)$ with positive cone of $\ell^1$. Next we define

$$\Pi = P_\infty \times S(\mathcal{H})^\text{cd} \quad (7)$$

which intuitively can be thought of as the collection of all possible pairings of “convex coefficients” with sequences of pure states. Equip $\Pi$ with the product topology $\tau$ of the weak$^\ast$ topology on $\ell^1$ with the infinite product topology of (any) norm topology of the unit sphere $S(\mathcal{H})$ of the Hilbert space $\mathcal{H}$.

Notice that $P_\infty$ is compact since it is a closed subspace of a weak$^\ast$ compact space. And as long as dim $(\mathcal{H}) < \infty$, $S(\mathcal{H})$ is norm compact. This implies that space of all sequences of pure states, $S(\mathcal{H})^\text{cd}$ is norm compact by Tychonoff’s theorem. Thus $\Pi$ is the product of two compact spaces and must therefore be compact. Note that we had to restrict ourselves to finite dimensional Hilbert spaces since in general the set of pure states is not weak$^\ast$ compact, (see for example [17, Theorem 2.8]).

Also notice that $(\Pi, \tau)$ is metrizable. Indeed the weak$^\ast$ topology on bounded subsets of $\ell^1$ is metrizable since the predual of $\ell^1$, (which is usually denoted by $c_0$), is separable. Also $S(\mathcal{H})^\text{cd}$ is the countable product of metric spaces, hence its product topology is metrizable. Thus $(\Pi, \tau)$ must be metrizable.

We split the rest of the proof into two Claims in order to make it more readable.

**Claim 1:** If $\mathcal{H}$ is a finite dimensional Hilbert space, then for every $\rho \in D(\mathcal{H})$, the set $\mathcal{CD}(\rho)$ is $\tau$-compact.

In order to prove Claim 1, assume that $\mathcal{H}$ is a finite dimensional Hilbert space and define $f : \Pi \to D(\mathcal{H})$ by

$$((\lambda_i)_{i=0}^{\infty}, (\psi_i)_{i=0}^{\infty}) \mapsto \sum_{i=0}^{\infty} \lambda_i |\psi_i\rangle \langle \psi_i| \quad (8)$$

Since the $\lambda_i$’s are summable and the target space of $f$, (i.e. $D(\mathcal{H})$), is complete, this series will always converge. Moreover notice that $f^{-1}(\{\rho\})$ is the set of all possible convex decompositions of $\rho$ into pure states, i.e. $f^{-1}(\{\rho\}) = \mathcal{CD}(\rho)$. Since $f^{-1}(\{\rho\})$ is a subset of the compact space $\Pi$, in order to show that $f^{-1}(\{\rho\})$ is compact, it is enough to show that $f$ is continuous on $\Pi$. Since both spaces, $\Pi$ and $D(\mathcal{H})$ are metrizable, we will use sequences to check the continuity of $f$, i.e. we will prove that $\pi_n \to \pi$ in $\Pi$ implies that $f(\pi_n) \to f(\pi)$ in $D(\mathcal{H})$.

So let $\pi_n = ((\lambda_i)_{i=0}^{\infty}, (\psi_i)_{i=0}^{\infty})$ be a sequence in $\Pi$ converging to $\pi = ((\lambda_i)_{i=0}^{\infty}, (\psi_i)_{i=0}^{\infty})$ as $n$ goes to infinity, and let $\varepsilon > 0$. Then there exists some $I \in \mathbb{N}$ such that

$$\sum_{i=I+1}^{\infty} \lambda_i < \varepsilon, \text{ and so } \sum_{i=0}^{I} \lambda_i \geq 1 - \varepsilon. \text{ Moreover, since } (\lambda_i)_{i=0}^{\infty} \to (\lambda_i)_{i=0}^{\infty} \text{ in } \ell^1, \text{ there exists some } N_0 \in \mathbb{N} \text{ such that } \|((\lambda_i)_{i=0}^{n}, (\psi_i)_{i=0}^{n}) - ((\lambda_i)_{i=0}^{\infty}, (\psi_i)_{i=0}^{\infty})\|_1 < \varepsilon \text{ for all } n \geq N_0. \text{ And so } \sum_{i=0}^{\infty} \lambda_i^\prime \geq 1 - 2\varepsilon \text{ which yields that } \sum_{i=I+1}^{\infty} \lambda_i^\prime < 2\varepsilon. \text{ Thus we have the following inequalities:}$$

$$\|f(\pi_n) - f(\pi)\|_1 \leq \left| \sum_{i=0}^{I} \lambda_i^\prime |\psi_i^\prime\rangle \langle \psi_i^\prime| - \sum_{i=0}^{I} \lambda_i |\psi_i\rangle \langle \psi_i| \right| + \left| \sum_{i=I+1}^{\infty} \lambda_i^\prime |\psi_i^\prime\rangle \langle \psi_i^\prime| - \sum_{i=I+1}^{\infty} \lambda_i |\psi_i\rangle \langle \psi_i| \right|_1$$
Now since weak-* convergence implies coordinate-wise convergence in \(\ell^1\), we have that for all \(n\) large enough, \(|\lambda^n_i - \lambda_i| < \frac{\epsilon}{2m}\) for each \(i \in \{0, 1, \ldots, I\}\). We can also make sure that for all such \(n\) and \(i\), \(\|\psi^n_i\|_1 - \|\psi_i\|_1 < \frac{\epsilon}{2m}\), since \(\psi^n_i\) converges to \(\psi_i\). Thus for large enough \(n\), we get that \(\|f(\pi_n) - f(\pi)\|_1 < 5\epsilon\), which implies that \(f\) is continuous. This finishes the proof of Claim 1.

Next we define \(g : \Pi \to \mathbb{R}_{\geq 0}\) by

\[
((\lambda_i)_i, (\psi_i)_i) \mapsto \sum_{i=0}^{\infty} \lambda_i \mu(\psi_i)
\]

Thus the statement that the infimum in Equation (4) is always achieved, is equivalent to the fact that \(g\) always attains its infimum on the set \(CD(\rho)\), for any density matrix \(\rho\). Since by Claim 1, \(CD(\rho)\) is always \(\tau\)-compact, the proof of Theorem [2.1] will be complete once we prove the following:

Claim 2: If \(\mu\) is a norm-continuous function on the set of pure states of a finite dimensional Hilbert space, then \(g\) is continuous on \((\Pi, \tau)\).

The argument for the continuity of \(g\) will be almost exactly the same as that for \(f\), that is given in the Claim 1. Since \(\mu\) is norm-continuous on the unit sphere of a finite dimensional Hilbert space which is norm-compact, we have that \(\mu\) is bounded, there exists some finite number \(M\) such that \(\mu(\psi) \leq M\) for all pure states \(\psi\). Again, let \(\pi_n = ((\lambda^n_i)_{i=0}^{\infty}, (\psi^n_i)_{i=0}^{\infty})\) be a sequence in \(\Pi\) converging to \(\pi = ((\lambda_i)_{i=0}^{\infty}, (\psi_i)_{i=0}^{\infty})\) as \(n\) goes to infinity, and let \(\epsilon > 0\). Then using the same choice of \(I\) as in the proof of the continuity of \(f\), we have the following inequalities:

\[
|g(\pi) - g(\pi_n)| \leq \sum_{i=0}^{I} \lambda_i \mu(\psi_i) - \sum_{i=0}^{I} \lambda^n_i \mu(\psi^n_i) + \sum_{i=I+1}^{\infty} \lambda_i \mu(\psi_i) + \sum_{i=I+1}^{\infty} \lambda^n_i \mu(\psi^n_i) + \sum_{i=I+1}^{\infty} \lambda^n_i M
\]

\[
\leq \sum_{i=0}^{I} \lambda_i \mu(\psi_i) - \sum_{i=0}^{I} \lambda^n_i \mu(\psi^n_i) + \sum_{i=I+1}^{\infty} \lambda_i \mu(\psi_i) + \sum_{i=I+1}^{\infty} \lambda^n_i M
\]

\[
\leq \sum_{i=0}^{I} |\lambda^n_i - \lambda_i| \mu(\psi^n_i) - \lambda_i \mu(\psi_i) + \sum_{i=0}^{I} \lambda_i \mu(\psi^n_i) - \mu(\psi_i) + 3\epsilon M
\]

\[
\leq \sum_{i=0}^{I} |\lambda^n_i - \lambda_i| \mu(\psi^n_i) + \sum_{i=0}^{I} \lambda_i \mu(\psi^n_i) - \mu(\psi_i) + 3\epsilon M
\]

\[
\leq \sum_{i=0}^{I} |\lambda^n_i - \lambda_i| \mu(\psi^n_i) + \sum_{i=0}^{I} \mu(\psi^n_i) - \mu(\psi_i) + 3\epsilon M
\]
Now taking \( n \) large enough so that \( |I^n_i - \lambda_i| < \epsilon/2 \) and \( |\mu(\psi_i^n) - \mu(\psi_i)| < \epsilon/M \) for each \( i \in \{0, 1, \ldots, I\} \), we get that 
\[ |g(\pi) - g(\pi_n)| < 5\epsilon M. \]
Thus \( g \) is also continuous of \( \Pi \). This finishes the proof of Claim 2 and thus the proof of Theorem 2.1.

\[ \square \]

**Terminology:** The decomposition of a density operator \( \rho \) with respect to a convex roof extension of an entanglement measure \( \mu \) which is initially defined on the pure states of a multipartite Hilbert space is usually called an **Optimal Pure State Ensemble**, which is often abbreviated as OPSE \([9, 13]\).

Theorem 2.1 immediately answers the question that Gudder posed in \([2]\): Let \( \rho \) be a density matrix for any density matrix \( \rho \). It is obvious that Equations (1) and (2) define a norm-continuous function \( e \) on the pure states of \( \mathcal{H} \). Hence the result follows from Theorem 2.1. \( \square \)

**Corollary 2.2.** Let \( \mathcal{H} = X \otimes Y \) be a finite dimensional bipartite Hilbert space and consider the entanglement number defined on pure states of \( \mathcal{H} \) via Equations (1) and (2), and extended to mixed states via Equation (3). Then every mixed state \( \rho \in D(\mathcal{H}) \) admits an OPSE.

**Proof.** It is obvious that Equations (1) and (2) define a norm-continuous function \( e \) on the pure states of \( \mathcal{H} \). Hence the result follows from Theorem 2.1. \( \square \)

**Corollary 2.3.** Let \( \mathcal{H} \) be a finite dimensional multipartite Hilbert space i.e. \( \mathcal{H} \) is the tensor product of other Hilbert spaces and we implicitly refer to this decomposition when we mention factorable pure states of \( \mathcal{H} \) or separable states of \( \mathcal{H} \). Let \( \mu \) be a norm-continuous function on the pure states of \( \mathcal{H} \) with values in \( \mathbb{R}_{\geq 0} \) which is faithful, in the sense that it only vanishes on the factorable pure states. Extend \( \mu \) on the set of all states of \( \mathcal{H} \) via the convex roof construction. Then \( \mu \) is faithful, i.e. for any density matrix \( \rho \), we have \( \mu(\rho) = 0 \) if and only if \( \rho \) is separable.

**Proof.** Suppose that \( \rho \in D(\mathcal{H}) \) with \( \mu(\rho) = 0 \). Then by Theorem 2.1, there exist some \((\lambda_i), \{\psi_i\} \in CD(\rho)\) such that
\[ \sum_i \lambda_i \mu(\psi_i) = \mu(\rho). \]

Since \( \mu \) only takes non-negative values, we obtain that \( \mu(\psi_i) = 0 \) for each \( i \). Since \( \mu \) is assumed to be faithful on the pure states, this implies that each \( \psi_i \) is factorable, and so \( \rho \) is the convex combination of some factorable pure states and is therefore separable.

\( \iff \) Conversely, suppose that \( \rho \) is separable. Then \( \rho \) is the convex combination of some factorable pure states in \( \mathcal{H} \). Let \( \rho = \sum_i \lambda_i \psi_i \) where \( \lambda_i \)'s are convex coefficients and \( \psi_i \)'s are factorable pure states of \( \mathcal{H} \). Since \( \mu \) is faithful on the pure states of \( \mathcal{H} \), we have that \( \mu(\psi_i) = 0 \) for every \( i \). Then
\[ \sum_i \lambda_i \mu(\psi_i) = 0 \]

which, by the definition of the convex roof extension, implies that \( \mu(\rho) = 0 \) since each \( \mu \) takes on non-negative values for each \( i \). \( \square \)

### 3 Other Applications to Convex Roof Extensions

Now that we have shown the existence of OPSE for general norm-continuous functions on pure states of finite dimensional Hilbert spaces we can present some applications besides Corollary 2.2. In literature the existence of OPSE has many times been taken for granted, but other times it has been questioned \([2]\), and other times claimed in a particular special case \([9]\).

Recall that for Hilbert spaces \( \mathcal{A} \) and \( \mathcal{B} \) the **entanglement entropy** \( S \) of a pure state \( |\psi\rangle \in \mathcal{A} \otimes \mathcal{B} \) is defined by

\[ S(\psi) = -\text{Tr}(\mathcal{A}\langle \psi | \log(\mathcal{A} \langle \psi |)) = -\text{Tr}(\mathcal{B}|\psi \rangle \log(\mathcal{B}|\psi \rangle)) \]

The entanglement entropy is then extended by the convex roof construction as in Equation (14) by defining

\[ S(\rho) = \inf \left\{ \sum_{i=0}^{\infty} \lambda_i S(\psi_i) : \{(\lambda_i), \{\psi_i\}\} \in CD(\rho) \right\} \]

for all density operators \( \rho \in D(\mathcal{A} \otimes \mathcal{B}) \). The convex roof extension of the entanglement entropy is also often called the **entanglement of formation**. It has been shown that the von Neumann entropy, \( H(\rho) = -\text{Tr} \rho \log \rho \), is a continuous mapping on density operators \([18]\). Moreover it is well known that the partial trace is a bounded linear operator from the space of trace class operators on a bipartite Hilbert space to the space of trace class operators of one of its parts, and therefore continuous. Thus the entanglement entropy is continuous on pure states.
since it is the composition of two continuous maps, and therefore, by Theorem 2.1 it must always exhibit OPSE.

Next we apply our result to the convex roof extended negativity \([10, 19]\) of a state. Recall that the negativity \([19]\) of a state \(\rho \in D(\mathcal{A} \otimes \mathcal{B})\) is defined by

\[
N(\rho) = \frac{1}{d-1} \| |\rho|^{T_\sigma} \| - 1
\]

where \(d = \min(\dim \mathcal{A}, \dim \mathcal{B})\), \(\rho^{T_\sigma}\) is the partial transpose of \(\rho\) on the space \(D(\mathcal{B})\), and the norm is the Hilbert–Schmidt norm on operators on \(\mathcal{A} \otimes \mathcal{B}\). While \(N\) is indeed defined for all density operators, it cannot distinguish bound entangled states and separable states. One solution [10] to this problem is to first define the negativity for pure states \(|\psi\rangle \in \mathcal{A} \otimes \mathcal{B}\) by

\[
N(\psi) = \frac{1}{d-1} \| |\psi|^{\sigma} \| - 1
\]

then extend the domain of \(N\) by the convex roof construction. This definition of \(N\) can then distinguish bound entangled and separable states and is an entanglement measure \([10]\). Now since the norm of a Hilbert space is obviously a norm-continuous function, and partial transpose is also continuous, it follows that \(N\) is continuous on pure states. Therefore, by Theorem 2.1, the generalized concurrence monotones also admit OPSE.

A particularly interesting example is the geometric measure of entanglement \([12]\). Let \(\mathcal{H} = \mathcal{H}_1 \otimes \cdots \otimes \mathcal{H}_n\) be the tensor product of some finite dimensional Hilbert spaces \(\mathcal{H}_i\). Then for pure states \(|\psi\rangle \in \mathcal{H}\), the geometric measure of entanglement is a family of entanglement measures defined by

\[
E_{k_1, \ldots, k_n}(\psi) = \sup_{P_1 \otimes \cdots \otimes P_n} \| P_1 \otimes \cdots \otimes P_n |\psi\rangle \|^2
\]

where each \(P_j\) is a rank \(k_j\) orthogonal projector on the space \(\mathcal{H}_j\) for each index \(j\). The supremum in this definition is achieved if \(\mathcal{H}\) is a finite dimensional Hilbert space, since the set of projections of a finite dimensional Hilbert space is compact with the norm topology. This measure is an example of an increasing LOCC monotone; i.e., \(E\) cannot decrease under LOCC channels. While at first glance such monotones seem to do the opposite of what we discuss in Section 4, there is a one to one correspondence between the set increasing LOCC monotones and the set of (decreasing) LOCC monotones (the type discussed in Section 4). To see this relationship, consider the map \(\mu \mapsto \sup_{\rho} \mu(\rho) - \mu\) for all increasing LOCC monotones \(\mu\). We leave it to the reader to verify that this map is indeed a bijection between the two types of non-negative and bounded monotones. Another difference between the two types of monotones is that increasing monotones are extended to general density operators by a concave roof construction:

\[
\mu(\rho) = \sup \left\{ \sum_{i=1}^{\infty} \lambda_i \mu(\psi_i) : \{ (\lambda_i)_{i=1}^{\infty}, (\psi_i)_{i=1}^{\infty} \} \in CD(\rho) \right\}
\]

But because of the correspondence between the two types of monotones, we have also shown the existence of OPSE for concave roof constructions as well. To apply our result to the geometric measure of entanglement, we must first show that it is continuous on the set of pure states of a general multipartite space \(\mathcal{H}\).

**Proposition 3.1.** Let \(\mathcal{H} = \mathcal{H}_1 \otimes \cdots \otimes \mathcal{H}_n\) be a tensor product of finite dimensional Hilbert space, then \(E_{k_1, \ldots, k_n}\) is continuous for all choices of \(k_j \leq \dim(\mathcal{H}_j)\) for each \(j\).

**Proof.** Let \((\psi_i)_{i=1}^{\infty}\) be a sequence of pure states in \(\mathcal{H}\) converging to \(\psi\) for some pure state \(\psi\). Then

\[
|E_{k_1, \ldots, k_n}(\psi_i) - E_{k_1, \ldots, k_n}(\psi)| = \sup_{P_1 \otimes \cdots \otimes P_n} \| P_1 \otimes \cdots \otimes P_n |\psi_i\rangle \|^2 - \| P_1 \otimes \cdots \otimes P_n |\psi\rangle \|^2
\]

\[
\leq \sup_{P_1 \otimes \cdots \otimes P_n} \| P_1 \otimes \cdots \otimes P_n |\psi_i\rangle \|^2 - \| P_1 \otimes \cdots \otimes P_n |\psi\rangle \|^2
\]
Now using the reverse triangle inequality and the fact that orthogonal projectors have operator norm 1, the right hand side of the last inequality is less than or equal to

$$
\sup_{P_1,\ldots,P_n} \|P_1 \otimes \cdots \otimes P_n |\psi_i\rangle\| - \|P_1 \otimes \cdots \otimes P_n |\phi_i\rangle\| \leq 2 \sup_{P_1,\ldots,P_n} \|P_1 \otimes \cdots \otimes P_n |\phi_i\rangle\| - \|P_1 \otimes \cdots \otimes P_n |\psi_i\rangle\| \leq 2 \|\psi_i - \phi_i\| \leq 2 \|\psi_i - \phi_i\|.
$$

(22)

Now letting $i$ tend to infinity, it follows that $|E_{k_1,\ldots,k_n}(\psi_i) - E_{k_1,\ldots,k_n}(\phi_i)| \to 0$, implying that $E_{k_1,\ldots,k_n}$ is continuous for all possible combinations of ranks $k_1,\ldots,k_n$ of local projections on $\mathcal{H}$.

Thus Theorem 2.1 applies to the geometric measure of entanglement, and therefore this entanglement measure also exhibits OPSE.

While convex roof constructions often appear in the discussion of entanglement measures, they also arise in other contexts in quantum information theory. For instance, the entropy of a channel $\Phi$ with respect to a state $\rho$ [21] is defined by

$$
H_\rho(\Phi) = H(\Phi(\rho)) - \inf \left\{ \sum_{i=1}^{\infty} \lambda_i H(\Phi(\psi_i)) : \{\lambda_i\}_{i=1}^{\infty}, \{\psi_i\}_{i=1}^{\infty} \in C\mathcal{D}(\rho) \right\}
$$

(23)

where $H(\sigma)$ is the von Neumann entropy of a state $\sigma$. The existence of OPSE for this case was proven by Uhlmann [9] but our Theorem 2.1 is applicable to this problem as well. Since the von Neumann entropy is continuous [18] and since all quantum channels are continuous [22], $H(\Phi(\psi))$ is a continuous function on pure states $\psi$ of a Hilbert space. Thus $H_\rho(\Phi)$ exhibits OPSE for all states $\rho$ and fixed channels $\Phi$, verifying Uhlmann’s result.

### 4 LOCC Channels and LOCC Monotones

In this section we show that Gudder’s entanglement number is an LOCC monotone using a slight extension of a criterion due to Vidal [13]. Moreover we simplify Vidal’s proof of this criterion. Furthermore during the course of its proof, we provide a representation of LOCC operations using trees, which we believe gives a better understanding to the complicated notion of LOCC channels.

Vidal [13] shows the following result: Assume that $X$ is a finite dimensional Hilbert space, and $f : D(X) \to \mathbb{R}_{\geq 0}$ is a function which is invariant under unitaries (i.e. $f(U\rho U^*) = f(\rho)$ for every $\rho \in D(X)$ and every unitary operator $U$ on $X$), and concave (i.e. $f(\lambda \sigma_1 + (1 - \lambda) \sigma_2) \geq \lambda f(\sigma_1) + (1 - \lambda) f(\sigma_2)$ for all $\lambda \in [0,1]$ and all $\sigma_1, \sigma_2 \in D(X)$). Then define a function $\mu$ on pure states of $X_1 \otimes X_2$ where $X_1 = X_2 = X$, by

$$
\mu(\psi) = f(\text{Tr}_{X_1} |\psi\rangle\langle\psi|) = f(\text{Tr}_{X_2} |\psi\rangle\langle\psi|)
$$

(24)

Extend the function $\mu$ from the pure states of $X_1 \otimes X_2$ to all states of $X_1 \otimes X_2$ via the convex roof construction. If the infimum in the definition of the convex roof is always attained, (i.e. if OPSE’s exist for every mixed state), then the extension of $\mu$ to mixed states of $X_1 \otimes X_2$ via the convex roof construction is an LOCC monotone.

Recall that a function $\mu$ defined on density operators of a multipartite Hilbert space $\mathcal{H}$ and taking non-negative real values is called an LOCC monotone if $\mu(X(\rho)) \leq \mu(X(\sigma))$ whenever $X(\rho) \leq X(\sigma)$.
\(\mu(\rho)\) for all density operators \(\rho \in D(\mathcal{H})\) and all LOCC channels \(\Lambda\). The image of an LOCC channel may not be the density operators on the tensor product of two identical Hilbert spaces, and indeed it can be easily verified that Vidal’s proof extends to that case very easily. Moreover, it is well known that for any two finite dimensional Hilbert spaces \(X_1\) and \(X_2\), and for every normalized vector \(\psi\) of \(X_1 \otimes X_2\), the set of non-zero eigenvalues of \(\text{Tr}_{X_1} \vert \psi\rangle \langle \psi \vert\) is equal, (or at least have equal dimension), then the matrices \(\text{Tr}_{X_1} \vert \psi\rangle \langle \psi \vert\) and \(\text{Tr}_{X_2} \vert \psi\rangle \langle \psi \vert\) are unitarily equivalent. Thus if the Hilbert spaces \(X_1\) and \(X_2\) are equal, (or at least have equal dimension), then the matrix \(\text{Tr}_{X_2} \vert \psi\rangle \langle \psi \vert\) depends only on the nonzero eigenvalues of the densities matrices. Moreover, our proof is simpler than Vidal’s proof, and along the proof we give a pictorial tree representation of LOCC channels that helps to understand this notion. More precisely, our main result of this section is the following:

**Theorem 4.1.** Assume that a function \(f\) is defined on the density operators of all finite dimensional Hilbert spaces and takes values in non-negative real numbers. Assume that \(f\) is concave, and it depends only the non-zero eigenvalues of its argument. Let \(\mu\) be defined on pure states of any bipartite Hilbert space via Equation (24), and extended to all mixed states of the bipartite Hilbert space via Equation (4), and assume that the infimum in (4) is always achieved. Then \(\mu\) is an LOCC monotone.

Before providing the proof of this result, we will provide a discussion on the structure of LOCC channels using the notion of the tree.

Let \(\mathcal{A}\) be a Hilbert space whose states can be manipulated by only one party Alice, and \(\mathcal{B}\) be a Hilbert space whose states can be manipulated by only one party Bob (local operations). Then Alice may perform quantum channels on her space which will be of the form \(\Phi_\mathcal{A}(X) = \sum_i A_i X A_i^*\) and Bob may perform channels on his space which may be of the form \(\Phi_\mathcal{B}(Y) = \sum_j B_j X B_j^*\), where \(\sum_i A_i^* A = I_\mathcal{A}\) and \(\sum_j B_j^* B_j = I_\mathcal{B}\). Note that for simplicity, we keep the domains and ranges of each channel vague and simply write \(\mathcal{A}\) to be the current space of Alice and \(\mathcal{B}\) to be the current space of Bob, even though these spaces \(\mathcal{A}\) and \(\mathcal{B}\) keep changing during the application of every channel of the LOCC communication. Now suppose that Alice and Bob share a state \(\rho \in D(\mathcal{A} \otimes \mathcal{B})\), then Alice could perform \(\Phi_\mathcal{A}\) and Bob could perform \(\Phi_\mathcal{B}\) and the post operation state would be \((\Phi_\mathcal{A} \otimes \Phi_\mathcal{B})(\rho)\). But operations of this form do not explicitly allow for communication between the parties and therefore do not adequately describe LOCC channels. In order to incorporate classical communications, we must have that each party’s channels depend on the other’s in some way.

Consider the following operation on a state \(\rho \in D(\mathcal{A} \otimes \mathcal{B})\): Alice performs the channel \(\Phi_\mathcal{A}\) then measures the outcomes of her operation and sends the result to Bob via some method of classical communication. To an outside observer, the operation on \(\rho\) would appear as

\[
\Phi_\mathcal{A}(\rho) = \sum_i E_i(\rho)\text{ where } E_i(\rho) = (A_i \otimes I_\mathcal{B})\rho(A_i^* \otimes I_\mathcal{B})
\]

(25)

The outcome states of such an operation would be given by

\[
\rho_i = \frac{E_i(\rho)}{\text{Tr}(E_i(\rho))} = \frac{(A_i \otimes I_\mathcal{B})\rho(A_i^* \otimes I_\mathcal{B})}{\text{Tr}((A_i^* A_i \otimes I_\mathcal{B})\rho)}
\]

(26)

with probability \(\text{Tr}((A_i^* A_i \otimes I_\mathcal{B})\rho)\) for each \(i\).

Alice then measures the state on her system and sends the result to Bob. Then Bob acts accordingly and applies the channel

\[
\Phi_i(\sigma) = \sum_j E_{i,j}(\sigma)\text{ where } E_{i,j}(\sigma) = (I_\mathcal{A} \otimes B_j)\sigma(I_\mathcal{A} \otimes B_j^*)
\]

(27)

when he learns that the measured state was \(\rho_i\). Since \(\Phi_i\) is a channel for every fixed \(i\), we obtain that,

\[
\sum_j B_i^* B_{ij} = I_\mathcal{B}
\]

(28)

and the channel that describes the final outcome of the scenario above will be of the form

\[
\Lambda(\rho) = \sum_{i,j} (A_i \otimes B_{ij})\rho(A_i \otimes B_{ij}^*)
\]

\[
= \sum_i \sum_j E_{i,j} \circ E_i(\rho).
\]

(29)

But not all LOCC channels will be this simple. This scenario was only one round of operations and the communication only went in one direction. To extend the definition to more rounds of communication going in...
where $\rho_x$ represents the unnormalized state that occurs after the $x$-th Krauss operator has been applied to previous normalized state. Moreover, each row of the tree represents a single party applying conditionally operations while the other party does nothing. Hence, each node in the tree $\rho_y$ is of the form

$$\rho_y = (A_y \otimes I_B) \frac{\rho_y}{\text{Tr} \rho_y} (A_y^* \otimes I_B) \text{ or } \rho_y$$

$$= (I_A \otimes B_y) \frac{\rho_y}{\text{Tr} \rho_y} (I_A \otimes B_y^*)$$

where $y \in I(x)$, $A_y$ is an operation on Alice’s space, and $B_y$ is an operation on Bob’s space. The physical significance of this construction being that $\frac{\rho_y}{\text{Tr} \rho_y}$ is the state observed with probability $\text{Tr} \rho_y$ after the previous party’s operations. In order to refer to the Krauss operators at each node, we can define the maps $E_y$ by

$$E_y(X) = (A_y \otimes I_B)X(A_y^* \otimes I_B) \text{ or } E_y(X)$$

$$= (I_A \otimes B_y)X(I_A \otimes B_y^*)$$

depending on which party is applying operations at node $y$. Thus using this notation, we express an LOCC channel $\Lambda$ as

$$\Lambda(\rho) = \sum_{y_1 \in I(0)} \cdots \sum_{y_n \in I(y_{n-1})} E_{y_1} \circ \cdots \circ E_{y_n}(\rho_0)$$

(32)

where the $y_n$ are the final nodes of the tree $T$. Then to prove LOCC monotonicity, we need to ensure

$$\mu \left( \sum_{y_1 \in I(0)} \cdots \sum_{y_n \in I(y_{n-1})} E_{y_1} \circ \cdots \circ E_{y_n}(\rho_0) \right) \leq \mu(\rho_0).$$

(33)

But before we prove this we will need the following lemma to ensure the self containment of the proof of our main result:

**Lemma 4.2.** Let $H = A \otimes B$ be a finite dimensional Hilbert space, then the partial traces $\text{Tr}_A$ and $\text{Tr}_B$ are cyclic on the spaces $A$ and $B$ respectively.

**Proof.** Let $A_1 \in L(A_1, A_2)$, $A_2 \in L(A_2, A_1)$, $B_1 \in L(B_1, B_2)$, and $B_2 \in L(B_2, B_1)$, then using the cyclicity of the trace operator on $A$ we have that

$$\text{Tr}_A (A_1 A_2 \otimes B_1 B_2) = (\text{Tr} \otimes I_{B_2}) (A_1 A_2 \otimes B_1 B_2)$$

$$= \text{Tr} (A_1 A_2) B_1 B_2$$

$$= \text{Tr} (A_2 A_1) B_1 B_2$$

$$= (\text{Tr} \otimes I_{B_1}) (A_2 A_1 \otimes B_1 B_2)$$

$$= \text{Tr}_A (A_2 A_1 \otimes B_1 B_2)$$

(34)
which completes the proof. □

Henceforth, instead of writing the precise space that is being traced away, we will use the convention that $\text{Tr}_\mathcal{A}$ traces out the space on the left, no matter what Hilbert space $\mathcal{A}$ is, and $\text{Tr}_\mathcal{B}$ traces out the Hilbert space on the right. With this convention, the previous lemma can be written as

$$\text{Tr}_\mathcal{A}(A_1A_2 \otimes B_1B_2) = \text{Tr}_\mathcal{A}(A_2A_1 \otimes B_1B_2)$$ (35)

Proof. Let $\{|i\rangle\}_{i \in I}$ and $\{|j\rangle\}_{j \in J}$ be orthonormal bases for the Hilbert spaces $\mathcal{A}_1$ and $\mathcal{B}$ respectively. Then every $\rho \in D(\mathcal{A}_1 \otimes \mathcal{B})$ can be written as $\rho = \sum_{i,j,k,l} \rho_{i,j,k,l} |i\rangle \langle k| \otimes |j\rangle \langle l|$. Thus

$$\text{Tr}_\mathcal{A}((A \otimes I_\mathcal{B})\rho(A^* \otimes I_\mathcal{B})) = \sum_{i,j,k,l} \rho_{i,j,k,l} \text{Tr}_\mathcal{A}((A \otimes I_\mathcal{B})|i\rangle \langle k| \otimes |j\rangle \langle l|)(A^* \otimes I_\mathcal{B}))$$

$$= \sum_{i,j,k,l} \rho_{i,j,k,l} \text{Tr}_\mathcal{A}(A |i\rangle \langle k| A^* \otimes |j\rangle \langle l|)$$

$$= \sum_{i,j,k,l} \rho_{i,j,k,l} \text{Tr}_\mathcal{A}(A^*A |i\rangle \langle j|) = \text{Tr}_\mathcal{A}((A^*A \otimes I_\mathcal{B})\rho)$$ (37)

which is the desired result. □

Finally we are ready to give the

Proof of Theorem 4.4. Let $\rho \in D(\mathcal{A} \otimes \mathcal{B})$ and let $\Lambda$ be an LOCC channel with its corresponding tree $T$ with root $\rho_0 = \rho$. In order to show that $\mu$ decreases under $\Lambda$, we will first show that

$$\mu \left( \frac{\rho_x}{\text{Tr}\rho_x} \right) \geq \sum_{y \in I(x)} \text{Tr}\rho_y \mu \left( \frac{\rho_y}{\text{Tr}\rho_y} \right)$$ (38)

where $x \in T \setminus F(T)$ and the $\rho_x$ and $\rho_y$ are the unnormalized states at nodes $x$ and $y$ respectively. Thus we need to show that $\mu$ decreases on average at each node in the tree. So let $x \in T$ be a non-final node and let $\rho_x$ be the unnormalized state at node $x$. Then without loss of generality we can write

$$\rho_y = (A_y \otimes I_\mathcal{B}) \frac{\rho_x}{\text{Tr}\rho_x} (A_y^* \otimes I_\mathcal{B})$$ (39)

for each $y \in I(x)$, where

$$\sum_y A_y^*A_y = I_\mathcal{A}. \quad (40)$$

Thus the normalized state at node $y$ will be of the form $\frac{\rho_y}{\text{Tr}\rho_y}$. Now let $\{(\lambda_i), (\psi_i)\}$ be an OPSE for $\frac{\rho_x}{\text{Tr}\rho_x}$. Then

$$\mu \left( \frac{\rho_x}{\text{Tr}\rho_x} \right) = \sum_i \lambda_i \mu(\psi_i) = \sum_i \lambda_i \text{Tr}_\mathcal{A}([\psi_i \rangle \langle \psi_i]) = \sum_i \lambda_i \text{Tr}_\mathcal{A} \left( \sum_{y \in I(x)} (A_y \otimes I_\mathcal{B}) |\psi_i\rangle \langle \psi_i| (A_y^* \otimes I_\mathcal{B}) \right)$$ (41)

where the last equality follows from Corollary 4.3 and Equation (40).

Notice that for every $y \in I(x)$ we have

$$\sum_i \lambda_i (A_y \otimes I_\mathcal{B}) |\psi_i\rangle \langle \psi_i| (A_y^* \otimes I_\mathcal{B}) = (A_y \otimes I_\mathcal{B}) \frac{\rho_x}{\text{Tr}\rho_x} (A_y^* \otimes I_\mathcal{B}) = \rho_y. \quad (42)$$
Next define \( p_{iy} = \langle \psi_i | A_y^* A_y \otimes I_B | \psi_i \rangle \) and \( \psi_{iy} \) by \( | \psi_{iy} \rangle = \frac{A_y \otimes I_B | \psi_i \rangle}{\sqrt{p_{iy}}} \) for each \( i \) and each \( y \in I(x) \) so that

\[
\sum_i A_i \frac{p_{iy}}{\text{Tr} \rho_y} | \psi_{iy} \rangle \langle \psi_{iy} | = \frac{\rho_y}{\text{Tr} \rho_y}, \quad (43)
\]

Now taking the trace of both sides of the above equation we get \( \sum_i p_{iy} A_i = 1 \). This implies that \( \left\{ \frac{A_i p_{iy}}{\text{Tr} \rho_y} | \psi_{iy} \rangle \right\} \) is a pure state ensemble (not necessarily optimal) of \( \frac{\rho_y}{\text{Tr} \rho_y} \) for each \( y \in I(x) \). Thus

\[
\sum_i A_i f \left( \text{Tr} \rho_y \sum_{y \in I(x)} (A_y \otimes I_B) | \psi_i \rangle \langle \psi_i | (A_y^* \otimes I_B) \right) = \sum_i A_i f \left( \sum_y p_{iy} \text{Tr} \rho_y \rho_{iy} | \psi_{iy} \rangle \langle \psi_{iy} | \right) \geq \sum_y \lambda_i p_{iy} \mu \left( \text{Tr} \rho_y \rho_{iy} | \psi_{iy} \rangle \langle \psi_{iy} | \right) = \sum_i A_i p_{iy} \mu (\rho_{iy}) \quad (44)
\]

Now since \( \left\{ \frac{A_i p_{iy}}{\text{Tr} \rho_y} | \psi_{iy} \rangle \right\} \) is not necessarily an optimal decomposition of \( \frac{\rho_y}{\text{Tr} \rho_y} \), we arrive at the inequality

\[
\sum_i \lambda_i p_{iy} \mu (\rho_{iy}) \geq \mu \left( \frac{\rho_y}{\text{Tr} \rho_y} \right) \quad (45)
\]

for each \( y \in I(x) \). It then follows that

\[
\sum_y \lambda_i p_{iy} \mu (\rho_{iy}) = \sum_y \lambda_i p_{iy} \frac{\text{Tr} \rho_y}{\text{Tr} \rho_y} \mu (\rho_{iy}) = \sum_y \text{Tr} \rho_y \sum_i \lambda_i p_{iy} \frac{\rho_y}{\text{Tr} \rho_y} - \mu (\rho_{iy}) \geq \sum_y \text{Tr} \rho_y \mu (\frac{\rho_y}{\text{Tr} \rho_y}) \quad (46)
\]

And therefore

\[
\mu \left( \frac{\rho_x}{\text{Tr} \rho_x} \right) \geq \sum_{y \in I(x)} \text{Tr} \rho_y \mu (\frac{\rho_y}{\text{Tr} \rho_y}) \quad (47)
\]

Thus \( \mu (\rho_0) \geq \sum_{y \in I(0)} \text{Tr} \rho_{y_0} \mu (\frac{\rho_{y_0}}{\text{Tr} \rho_{y_0}}) \geq \sum_{y \in I(0)} \text{Tr} \rho_{y_0} \sum_{y \in I(0)} \text{Tr} \rho_{y_2} \mu (\frac{\rho_{y_2}}{\text{Tr} \rho_{y_2}}) \geq \cdots \geq \sum_{y \in I(0)} \text{Tr} \rho_{y_0} \cdots \sum_{y \in I(0)} \text{Tr} \rho_{y_n} \mu (\frac{\rho_{y_n}}{\text{Tr} \rho_{y_n}}) \quad (48)
\]

where each \( \rho_{y_j} \) is the unnormalized state at node \( y_j \in \mathcal{T} \) and \( y_n \) are the final nodes of \( \mathcal{T} \). Many authors [1,5,13,14,23] consider the above inequality the defining quality of an LOCC monotone and say that \( \mu \) decreases on average under local operations and classical communication. But for a more functional result, we will go a step further and show that \( \mu (\rho_0) \geq \mu (\lambda (\rho_0)) \). In this last step we will repeatedly use the fact that \( \mu \) is convex which is an immediate consequence of the definition of convex roof extension. This repeated use of the convexity of \( \mu \) corresponds to loss of information to the communicating parties, (Alice and Bob), and can be thought as black boxes in Alice’s and Bob’s labs dismissing states produced by the LOCC channel without informing Alice or Bob, (see [13] pp. 359-360]). Continuing the previous argument, we use convexity in the nodes \( y_n \) to obtain

\[
\sum_{y_1 \in I(0)} \text{Tr} \rho_{y_1} \cdots \sum_{y_n \in I(y_{n-1})} \text{Tr} \rho_{y_n} \mu \left( \frac{\rho_{y_n}}{\text{Tr} \rho_{y_n}} \right) \geq \sum_{y_1 \in I(0)} \text{Tr} \rho_{y_1} \cdots \sum_{y_{n-1} \in I(y_{n-2})} \text{Tr} \rho_{y_{n-1}} \mu \left( \sum_{y_n \in I(y_{n-1})} \rho_{y_n} \right) \quad (49)
\]

Now can assume without loss of generality that \( \rho_{y_n} = \mathcal{E}_{y_n} \left( \frac{\rho_{y_{n-1}}}{\text{Tr} \rho_{y_{n-1}}} \right) \) for each \( y_n \in I(y_{n-1}) \). Using this expression for \( \rho_{y_n} \), it follows that

\[
\sum_{y_n \in I(y_{n-1})} \rho_{y_n} = \sum_{y_n \in I(y_{n-1})} \mathcal{E}_{y_n} \left( \frac{\rho_{y_{n-1}}}{\text{Tr} \rho_{y_{n-1}}} \right) \quad (50)
\]
is a normalized state, which allows us to use convexity in the index \( y_{n-1} \) so that

\[
\sum_{y_{n-1} \in I(y_{n-2})} \text{Tr} \rho_{y_{n-1}} \mu \left( \sum_{y_{n} \in I(y_{n-1})} \rho_{y_{n}} \right) \geq \mu \left( \sum_{y_{n-1} \in I(y_{n-2})} \sum_{y_{n} \in I(y_{n-1})} \mathcal{E}_{y_{n}}(\rho_{y_{n-1}}) \right)
\]

(51)

for each \( y_{n-1} \in I(y_{n-2}) \). We then iterate the above argument to arrive at the inequality

\[
\mu(\rho_0) \geq \mu \left( \sum_{y_{n} \in I(\emptyset)} \cdots \sum_{y_{n} \in I(y_{n-1})} \mathcal{E}_{y_{n}} \circ \cdots \circ \mathcal{E}_{y_{1}}(\rho_0) \right) = \mu \left( \Lambda(\rho_0) \right)
\]

(52)

\[\square\]

**Corollary 4.4.** The entanglement number is an entanglement measure.

**Proof.** Instead of using the definitions of the entanglement number given in Equations (1) and (2), we will use an alternative definition (23) so that we can invoke our proof for establishing Theorem 5.3 in the next section.

First define a function \( f \) on density operators of finite dimensional Hilbert spaces by

\[
f(\rho) = \sqrt{1 - ||\rho||_{2}^{2}},
\]

(53)

where \( || \cdot ||_{2} \) denotes the Hilbert–Schmidt norm. Then if \( \mathcal{A} \otimes \mathcal{B} \) is a bipartite Hilbert space, and \( |\psi\rangle \) is a pure state of \( \mathcal{A} \otimes \mathcal{B} \), we can define the entanglement number by

\[
e(\psi) = f(\text{Tr} \mathcal{A} |\psi\rangle \langle \psi|) = f(\text{Tr} \mathcal{B} |\psi\rangle \langle \psi|).
\]

(54)

Finally extend the definition of the entanglement number \( e \) to all mixed states of \( \mathcal{A} \otimes \mathcal{B} \) using the convex roof construction.

As Gudder proved, the entanglement number is faithful when restricted to pure states \( \mathcal{A} \). Moreover since the function \( f \) is norm-continuous, the entanglement number is norm-continuous on pure states by Equation (54). Therefore by Corollary 2.3 the entanglement number is faithful, i.e. it vanishes only on separable states.

In order to apply Theorem 4.1 to the entanglement number, (and deduce that the entanglement number is an LOCC monotone), notice that the function \( f \) defined in Equation (53) is concave since the Hilbert–Schmidt norm is convex, the square function is increasing and convex on the positive numbers, and the square root function is increasing and concave on the positive numbers. Moreover \( f(\rho) \) depends only on the singular numbers (and hence on the non-zero eigenvalues) of the density matrix \( \rho \) for every density matrix \( \rho \). Furthermore, the extension of the entanglement number to the mixed states of \( \mathcal{A} \otimes \mathcal{B} \) via the convex roof function guarantees the existence of OPSE for all mixed states by Corollary 2.2. Thus by Theorem 4.1 the entanglement number is an LOCC monotone.

Finally notice that the entanglement number is invariant under local unitary transformations. Indeed for any pure state \( |\psi\rangle \langle \psi| \) of a bipartite Hilbert space \( \mathcal{A} \otimes \mathcal{B} \), local unitary transformations will not effect the non-zero eigenvalues of \( \text{Tr} \mathcal{A} |\psi\rangle \langle \psi| \) or of \( \text{Tr} \mathcal{B} |\psi\rangle \langle \psi| \), and therefore will not effect \( e(\psi) \) according to Equation (54). This will remain valid under the convex roof extension of the entanglement number to mixed states.

\[\square\]

## 5 p-Number of a State and Its Properties

Motivated by Equations (53) and (54) we now define a family of entanglement measures. We assume that all Hilbert spaces mentioned in this section are finite dimensional. Let \( \mathcal{Z} \) be a (finite dimensional) Hilbert space and \( 1 < p < \infty \) then define \( f_{p} : \mathcal{D}(\mathcal{Z}) \to \mathbb{R}_{\geq 0} \) by

\[
f_{p}(\rho) = \left( 1 - ||\rho||_{p}^{p} \right)^{\frac{1}{p}}
\]

(55)

where \( || \cdot ||_{p} \) is the Schatten \( p \)-norm on \( \mathcal{L}(\mathcal{Z}) \).

**Remark 5.1.** For \( 1 < p < \infty \) the function \( f_{p} \) has the following properties:

1. \( f_{p} \) depends only on the non-zero eigenvalues of its argument;
2. \( f_{p} \) is concave;
3. \( f_{p} \) is norm-continuous.

The proof of the Remark is similar to the corresponding properties of the function \( f \) defined in Equation (53).

Then we define a function \( \mu_{p} \) on pure states of a bipartite Hilbert space \( \mathcal{A} \otimes \mathcal{B} \) by

\[
\mu_{p}(\psi) = f_{p}(\text{Tr} \mathcal{A} |\psi\rangle \langle \psi|) = f_{p}(\text{Tr} \mathcal{B} |\psi\rangle \langle \psi|)
\]

(56)
for all pure states $|\psi\rangle \in \mathcal{A} \otimes \mathcal{B}$, and extending $\mu_p$ by the convex roof construction to all mixed states as in Equation (4). We call $\mu_p$ the $p$-number of a state. Now notice that for $p = 2$, the $p$-number of a state coincides with the entanglement number of the state.

**Remark 5.2.** For all $p \in (1, \infty)$, every mixed state on a bipartite Hilbert space $\mathcal{A} \otimes \mathcal{B}$ admits an OPSE for the convex roof construction defining $\mu_p$.

Indeed since $f_p$ is norm-continuous we obtain that $\mu_p$ is norm-continuous and the Remark follows from Theorem 2.1

**Theorem 5.3.** For all $p \in (1, \infty)$, $\mu_p$ is an entanglement measure.

**Proof.** The proof of Corollary 4.4 repeats verbatim here, with the only addition that needs to be made is to verify that $\mu_p$ is faithful when restricted to pure states, (a statement that for the entanglement number $e$ was proved by Gunder’s [2]).

For pure states, notice that we can compute $\mu_p$ using only the Schmidt decomposition of a state as follows. Let $|\psi\rangle \in \mathcal{A} \otimes \mathcal{B}$ be a pure state with Schmidt decomposition $\sum_k \sqrt{\lambda_k} |\alpha_k\rangle_\mathcal{A} \otimes |\beta_k\rangle_\mathcal{B}$. Then

$$
\text{Tr}_\mathcal{A} |\psi\rangle \langle \psi| = \text{Tr}_\mathcal{A} \left( \sum_{k,l} \sqrt{\lambda_k \lambda_l} |\alpha_k\rangle \langle \alpha_l| \otimes |\beta_l\rangle \langle \beta_k| \right)
$$

$$
= \sum_k \lambda_k |\beta_k\rangle \langle \beta_k|
$$

Notice that a similar result will follow if $\mathcal{B}$ is traced out instead of $\mathcal{A}$ because of the orthonormality of the $(|\alpha_k\rangle)_k$ as well as the $(|\beta_k\rangle)_k$. Thus

$$
\mu_p(\psi) = \left( 1 - \sum_k \lambda_k^p \right)^{\frac{1}{p}}.
$$

Finally we verify that for a pure state $\psi$ in a bipartite Hilbert space $\mathcal{A} \otimes \mathcal{B}$, $\mu_p(\psi) = 0$ if and only if $\psi$ is factorable.

$\implies$ Suppose that $\mu_p(\psi) = 0$ and let $\sum_k \sqrt{\lambda_k} |\alpha_k\rangle_\mathcal{A} \otimes |\beta_k\rangle_\mathcal{B}$ be the Schmidt decomposition of $|\psi\rangle$. Then the Schmidt coefficients satisfy the following system of equations.

$$
\sum_k \lambda_k^p = 1 \quad \text{and} \quad \sum_k \lambda_k = 1.
$$

Since each $\lambda_k \in [0, 1]$, it must follow that $\lambda_l = 1$ for some $l$ and that $\lambda_j = 0$ for all $j \neq l$. Thus $|\psi\rangle = |\alpha_l\rangle \otimes |\beta_l\rangle$ as desired.

$\impliedby$ Conversely, suppose that $|\psi\rangle = |u\rangle \otimes |v\rangle$ for some states $u \in \mathcal{A}$ and $v \in \mathcal{B}$. Then the length of the Schmidt decomposition is 1 with Schmidt coefficient 1. Thus $\mu_p(\psi) = 0$.

We also leave as an exercise to the reader to show that for any pure state $|\psi\rangle$ in a bipartite Hilbert space,

$$
\lim_{p \to 1} \frac{d}{dp} \log \mu_p(\psi) = S(\psi)
$$

The $p$-numbers of a state also obey the following monotonicity property:

**Remark 5.4.** Let $1 < p < q < \infty$, then $0 < \mu_p(\rho) < \mu_q(\rho) < 1$ for all states $\rho \in D(\mathcal{A} \otimes \mathcal{B})$.

This can be easily seen first for pure states using Equation (58) and the fact that the sum of the $p$-th powers of the eigenvalues of any density operator is in the interval $(0, 1]$ for any $p \in (1, \infty)$, and then observing that the monotonicity passes to the mixed states via the convex roof extension.

**Remark 5.5. A measure of entanglement similar to the p-number was proposed by Cirone [24].** Cirone defined his measure $\gamma_p$ only for pure bipartite states by $\gamma_p(\psi) = 1 - \sum_i \lambda_i^p$, where the $\sqrt{\lambda_i}$ are the Schmidt coefficients of the pure state $\psi$. Majorization techniques were used to discuss conversion of pure states to pure states via LOCC operations and LOCC monotonicity was restricted to pure states only. It was left as an open problem to extend $\gamma_p$ to general density matrices. The solution for states on bipartite Hilbert spaces is implied by Gunder’s [2] result on the entanglement number for the case of $p = 2$, and our results in Section 5 for all other $p$’s. Namely $\mu_p^\nu$ is the extension of $\gamma_p$ to all bipartite states.

### 6 Concluding Remarks

We have provided conditions under which the extension of any function from pure states to mixed states via the convex roof construction will exhibit optimal pure state ensembles (OPSE). We applied this result to answer a question of Gunder about the existence of OPSE for the entanglement number. Moreover we proved that the entanglement number is an entanglement measure. In order to prove that the entanglement number is LOCC monotone we used a criterion of Vidal. Furthermore we gave a simpler proof of Vidal’s criterion and we represented LOCC channels using trees. This representation gives an interesting point of view on the LOCC operations. Finally we introduced a family of entanglement measures, the $p$-numbers of a bipartite state, parametrized by $p \in (1, \infty)$, such that the 2-number of a state is equal to the entanglement number.
We do not know whether the entanglement number and the entanglement measures presented in Section 5 can be extended to multipartite scenarios (i.e. tensor product of more than two Hilbert spaces).

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