Screening of COVID-19 in outpatient children with cancer or solid organ transplantation: preliminary report

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Abstract
Clinical presentation of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) infection in pediatric immunosuppressed patients is unknown. Emerging data describe a milder or asymptomatic course in children compared with adults in this scenario. We present the seroprevalence and clinical features of coronavirus disease 2019 in a prospective cohort of 114 immunosuppressed children and adolescents from three groups: kidney transplantation, liver transplantation, and cancer patients. Among the thirty-five (30.7%) patients who had a positive serological test for SARS-CoV-2, 77% did not report previous symptoms and none of them developed any complications of coronavirus disease 2019 (COVID-19) after 30 or more days of follow-up. Among those who were symptomatic, diarrhea, fever, and cough were the most common findings.

Conclusion: Seroprevalence of SARS-CoV-2 infection is high among immunosuppressed children and adolescents. COVID-19 has a mild or asymptomatic course in most of these patients.

What is Known:
- The number of immunosuppressed patients with coronavirus disease 2019 is increasing.
- Viral infections have the potential for greater severity in immunocompromised children.

What is New:
- Seroprevalence for severe acute respiratory syndrome coronavirus 2 in immunocompromised pediatric patients was 31%.
- A quarter of the serology-positive patients reported mild symptoms and none of them developed multisystem inflammatory syndrome in children associated with coronavirus disease 2019.

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Introduction

Viral infections are frequent in children and have the potential for greater severity in immunocompromised patients [1]. Clinical presentation of severe acute respiratory syndrome caused by the new coronavirus (SARS-CoV-2) in pediatric immunosuppressed patients is still unknown. Immunosuppressive drugs alter the patient’s immune response, acting on humoral, cellular immunity, and neutrophil function, increasing the risk of serious viral infections [1–3]. Severe cases of COVID-19 have been associated with an imbalance between the inflammatory system and the immune system which determine an exacerbated reaction with the release of pro-inflammatory and anti-inflammatory cytokines. Such fact can generate “cytokine storm syndrome,” resulting in multiple organ dysfunction syndrome [4].

The March 2020 report from the Papa Giovanni XXIII Hospital in Bergamo, a major liver transplant center in Italy, showed that the number of transplant patients infected with COVID-19 increased steadily, but they did not see greater severity and complications in this group of patients compared with the general population [5]. A survey that included 18 kidney transplant patients aged 0-19 years with COVID-19 in 11 countries described a mild clinical course in this specific group of patients [6].

The present study aims to describe our preliminary results on the prevalence of viral infection by SARS-CoV-2 in a cohort of immunosuppressed children and adolescents from three groups: kidney transplantation, liver transplantation, and cancer patients. We also analyzed the epidemiological profile and clinical evolution of these patients. Our hypothesis is that immunosuppression may act as protection for symptomatic presentations, especially for severe forms of COVID-19.

Materials and methods

This is a prospective study of a cohort of immunosuppressed patients, from 0 to 18 years old, in a tertiary hospital in Rio de Janeiro, Brazil. All patients who were being followed up at the hospital at the beginning of the COVID-19 pandemic and who came for follow-up appointments after kidney or liver transplantation, or during cancer treatment, from June 30 to August 28, 2020 were included in this preliminary report. After agreeing to participate by signing an informed consent form, all patients were tested for the presence of IgM/IgG antibodies against SARS-CoV-2 using a rapid colloidal gold immunochromatography test (Beijing LEPU-Medical Technology). Patient and caregivers were asked about any symptoms suggestive of COVID-19 and about contact with a suspected case. The electronic medical records of each patient were also reviewed for demographic, clinical, and laboratory data.

In the first 2 weeks of the study, the RT-PCR test for SARS-CoV-2 was only available to patients admitted for treatment of COVID-19. From the third week on, it became available and was collected from all IgM-positive and/or symptomatic. From those, a nasopharyngeal swab was collected and the viral RNA extracted with QIAamp viral RNA kit (Qiagen) and submitted to real-time RT-PCR to detect the SARS-CoV-2 E gene (Charité protocol, Berlin, Germany), and targets N1 and N2 (CDC protocol, USA). Any return to hospital and/or hospitalization for SARS-CoV-2 infection or occurrence of multisystem inflammatory syndrome in children (MIS-C) was recorded. On continuity of the study, all patients will be followed up at the hospital and repeat the rapid serological test (IgM/IgG antibodies against SARS-CoV-2) 6 months after the first test. The study was approved by the institution’s research ethics committee and is registered in ClinicalTrials.gov (protocol ID: NCT04511429).

Results

From the 115 eligible patients, 114 were included (1 refused to participate): 72 transplant patients (69.4% kidney, 30.6% liver) and 42 undergoing cancer treatment (54.8% with acute lymphoblastic leukemia). The median age was 11 years, there was no gender predominance, and the majority were non-whites (65%). Median follow-up time from transplant to the day of the test was 866 days. Among cancer patients, the median time between the start of chemotherapy and the test was 238 days. All transplant patients were receiving immunosuppressive drugs (84% were under 2 or more drugs). Of the group of cancer patients, the majority (92.8%, n = 39) were undergoing chemotherapy, 6 of which were in the induction phase and 7 in the maintenance phase. Only 3 patients of this group were in the control phase, all of them had received chemotherapy until 15 days to 2 months before the test. The most common drug among transplant patients was tacrolimus, followed by prednisone and mycophenolate sodium. In the cancer group, most patients were treated with AEIOP-BFM-ALL Protocol 2009 version 2013 and ALL-BFM-IC 2009. One patient in the kidney transplant group had received
intravenous immunoglobulin (IVIG) 1 month before the test. This patient had negative IgM and IgG for SARS-CoV-2.

Twenty-four subjects (21%) reported contact with suspected cases of COVID-19 (92% a household contact). Thirty-five (30.7%) patients had a positive serological test for SARS-CoV-2, the majority in the liver transplant group (Table 1). The median time in days between presentation of symptoms and the test was 9 days. RT-PCR was performed in nine serology-positive patients and was positive in only one asymptomatic IgM-positive/IgG-negative patient. Among patients with positive serology (n = 35), 77% did not report previous symptoms and, among those who were symptomatic, diarrhea, fever, and cough were the most common findings, but none reported tachypnea or prostration (Table 1). All symptomatic patients were followed up on an outpatient basis and there was no need for hospitalization. None of the patients with a negative serological test reported previous symptoms. The seronegative patients remained asymptomatic in the period of observation.

With 30 or more days of follow-up at the time of this report, no patients developed MIS-C, was admitted to the hospital for complications from COVID-19, or even returned to the outpatient clinic due to symptoms related to SARS-CoV-2 infection. None of them died.

**Discussion**

In this preliminary report, we found a seroprevalence of 31% for SARS-CoV-2 infection in immunocompromised pediatric patients. Reported seroprevalence for SARS-CoV-2 infection in Rio de Janeiro in June 2020 was 7.5% [7]. Only a quarter of

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**Table 1** Contact history, clinical features, and diagnosis confirmation of the cohort (n = 114)

| Characteristic                        | Total (n = 114) | Kidney transplantation (n = 50) | Liver transplantation (n = 22) | Cancer (n = 42) |
|---------------------------------------|----------------|--------------------------------|-------------------------------|----------------|
| Contact with a suspect case           | 24 (21.1)      | 14 (28)                        | 3 (13.6)                      | 7 (16.7)       |
| Household                             | 22 (19.3)      | 12 (85.7)                      | 3 (100)                       | 7 (100)        |
| Other                                 | 2 (1.8)        | 2 (14.3)                       | 0 (0)                         | 0 (0)          |

SARS-CoV-2 infection by positive serology (n = 35)

| Positive serology                     | 35 (30.7)      | 21 (42)                        | 4 (18.2)                      | 10 (23.8)      |
| IgM                                   | 10 (28.6)      | 7 (33.3)                       | 2 (50)                        | 1 (10)         |
| IgG                                   | 15 (42.8)      | 10 (47.6)                      | 1 (25)                        | 4 (50)         |
| IgM and IgG                           | 10 (28.6)      | 4 (19.1)                       | 1 (25)                        | 5 (50)         |

Patients with positive serology (n = 35)

| Asymptomatic\a                        | 27/35 (77.1)   | 17/21 (81)                     | 3/4 (75)                      | 7/10 (70)      |
| Symptomatic\a                         | 8/35 (22.9)    | 4/21 (19)                      | 1/4 (25)                      | 3/10 (30)      |
| Contact with suspect cases\a          | 17/35 (48.6)   | 11/21 (52.4)                   | 1/4 (25)                      | 5/10 (50)      |
| Contact with suspect cases and symptomatic\a | 5/35 (14.3)   | 3/21 (14.3)                    | 0/4 (0)                       | 2/10 (20)      |

Reported signs and symptoms

| Diarrhea                              | 4 (36.4)       | 1 (14.3)                       | 0 (0)                         | 3 (100)        |
| Fever                                 | 4 (36.4)       | 3 (42.9)                       | 0 (0)                         | 1 (33)         |
| Cough                                 | 3 (27.3)       | 3 (42.9)                       | 0 (0)                         | 0 (0)          |
| Neutropenia                           | 2 (18.2)       | 0 (0)                          | 0 (0)                         | 2 (67)         |
| Coryza                                | 2 (18.2)       | 1 (14.3)                       | 1 (100)                       | 0 (0)          |
| Anosmia                               | 2 (18.2)       | 2 (28.6)                       | 0 (0)                         | 0 (0)          |
| Vomiting                              | 1 (9.1)        | 1 (14.3)                       | 0 (0)                         | 0 (0)          |
| Feed refusal                          | 1 (9.1)        | 1 (14.3)                       | 0 (0)                         | 0 (0)          |
| Hypotension                           | 1 (9.1)        | 1 (14.3)                       | 0 (0)                         | 0 (0)          |
| Sore throat                           | 1 (9.1)        | 1 (14.3)                       | 0 (0)                         | 0 (0)          |
| Headache                              | 1 (9.1)        | 1 (14.3)                       | 0 (0)                         | 0 (0)          |
| Rash                                  | 1 (9.1)        | 1 (14.3)                       | 0 (0)                         | 0 (0)          |
| Tachypnea, SpO2 < 92%                 | 0 (0)          | 0 (0)                          | 0 (0)                         | 0 (0)          |
| Dehydration, prostration              | 0 (0)          | 0 (0)                          | 0 (0)                         | 0 (0)          |

SpO2 pulse oximeter oxygen saturation

\a Patients with at least 1 positive test (IgM or IgG)
the antibody-positive patients in our cohort reported mild symptoms, which did not require medical assistance. Diarrhea was the most frequent symptom, followed by fever and cough, and no patient with negative serology reported previous symptoms.

At the time of writing this report, we have found no prospective study describing the seroprevalence and clinical features of COVID-19 in immunocompromised pediatric patients, regardless of the presence of symptoms. Since the beginning of the COVID-19 pandemic, efforts have been made to determine the characteristics of this disease in specific groups of patients. It is already known that children have a milder course of the disease and better outcomes than adults [8], and the few studies that evaluated immunosuppressed patients infected with SARS-CoV-2 did not show a more severe course of the disease as compared with general population [5, 9]. This may be partially explained by the impaired immune response in these patients, as the severity of the disease depends in part on the host’s response to infection [5, 10].

A recent systematic review identified 16 articles on SARS-CoV-2 infection in 110 immunosuppressed patients, only four of whom were children [3]. The seroprevalence in our report was about one-third and only a quarter of patients reported mild symptoms, especially diarrhea and fever, without severe respiratory symptoms. This differs from a study that included immunocompetent children with COVID-19, who had fever and cough as the main symptoms [11], and from a systematic review that described gastrointestinal symptoms in only 17% of children [9]. In our cohort, the majority of confirmed patients reported no symptoms. The frequency of asymptomatic children infected with SARS-CoV-2 in the general pediatric population is unknown. In China, 4.4% and 15.8% of asymptomatic children were found in two large cohorts of the general pediatric population with confirmed and suspected cases of COVID-19, based on clinical manifestations or history of exposure [2, 6]. These preliminary findings suggest that immunocompromised children infected with SARS-CoV-2 are predominantly asymptomatic and that symptomatic patients have mild forms of the disease. Severe cases of COVID-19 appear to be infrequent in this population.

This study has some limitations: The main one is the sensitivity of immunoassay test used. Although the manufacturer reports a sensitivity of 98.9% and specificity of 97.6%, a recent meta-analysis showed a sensitivity of 66% and a specificity of 97% for this type of test [12]. As the test used has a lower sensitivity than the test recommended by the WHO (RT-PCR), we consider that the seroprevalence for SARS-CoV-2 may be even greater than that found in this study, mainly in the group of asymptomatic patients. Another limitation is the time when the test was performed. We performed the test during the medical appointment and it had no temporal relationship with symptoms or contact with suspected cases. Therefore, some patients may have been infected in a short period before the test and may have not yet developed antibodies to be detected by the test. But this would also further increase the prevalence found.

In conclusion, the seroprevalence of SARS-CoV-2 infection is high among immunosuppressed children and adolescents. COVID-19 has a mild or asymptomatic course in most of these patients.

Abbreviations

AEIOP, Associazione Italiana Ematologia ed Oncologia Pediatrica; ALL, Acute lymphoblastic leukemia; BFM, Berlin-Frankfurt-Munich; CDC, Center for Diseases Control; COVID-19, Coronavirus disease 2019; IC, Intercontinental; IVIG, Intravenous immunoglobulin; MIS-C, Multisystem inflammatory syndrome in children; RT-PCR, Reverse transcription-polymerase chain reaction; SARS-CoV-2, Severe acute respiratory syndrome coronavirus 2; WHO, World Health Organization

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Authors’ contributions

Dr Thaís Lira Cleto-Yamane, Dr Maria Clara de Magalhães-Barbosa, Dr Antonio José Ledo Alves da Cunha, and Dr Amaldo Prata-Barbosa conceptualized and designed the study, coordinated the data collection, and reviewed and revised the manuscript.

Dr Gustavo Rodrigues-Santos and Dr Fernanda Lima-Setta designed the study, carried out the initial analyses, designed the data collection instruments, coordinated and supervised the data collection, and reviewed and revised the manuscript.

Dr Patrícia Gomes Moura, Dr Rafael Dias Vasconcelos, Dr Jaqueline Leal Santos Gouveia, Dr Anne Louise de Oliveira, Dr Fernanda Couto Ferreira, Dr Ana Leticia Shalders, and Mariana Barros Genuino de Oliveira collected the data and reviewed and revised the manuscript.

All authors approved the final manuscript as submitted and agree to be accountable for all aspects of the work.

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Availability of data and material

Data is available in a data repository.

Code availability

Not applicable.

Declarations

Ethics approval

Approval was obtained from the ethics committee of D’Or Institute for Research and Education. The procedures used in this study adhere to the tenets of the Declaration of Helsinki.

Consent to participate

Written informed consent was obtained from the parents.

Consent to publish

Parents signed informed consent regarding publishing their children/adolescent data.

Competing interests

The authors declare no competing interests.
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