Identification of Novel Neisseria gonorrhoeae Lineages Harboring Resistance Plasmids in Coastal Kenya

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**Background.** Africa has the highest incidence of gonorrhea in the world. However, little is known about gonococcal populations in this continent or mechanisms of antimicrobial resistance (AMR).

**Methods.** Whole-genome sequence data were analyzed from 103 Neisseria gonorrhoeae isolates from 73 patients, mainly men who have sex with men, from coastal Kenya. We annotated loci, defined the core genome, defined mechanisms of AMR, and performed phylogenetic analysis. For patients with multiple episodes of gonorrhea, we determined whether infections occurred with related strains.

**Results.** We identified 3 clusters of isolates that are phylogenetically distinct from isolates found elsewhere. Plasmids were virtually ubiquitous: pTetM and pblaTEM were found in 97%, and 55% of isolates, respectively. This was associated with high doxycycline use for undiagnosed sexually transmitted infections. Twenty-three percent of multiple episodes of gonorrhea in the same individual were caused by a related strain, suggesting inadequate treatment or reinfection.

**Conclusions.** The prevalence of plasmid-mediated AMR in Kenyan gonococci contrasts with that in wealthy countries, where AMR is largely chromosomally mediated. Antimicrobials have a profound effect on the maintenance of lineages harboring plasmids. Doxycycline can select for tetracycline and penicillin resistance, through plasmid cooperation. Understanding the mechanisms of AMR in high-risk groups is required to inform treatment strategies.

**Keywords.** Neisseria gonorrhoeae; antimicrobial resistance; whole-genome sequencing; MSM; plasmids.
Here we characterized *N. gonorrhoeae* isolates predominantly from MSM in coastal Kenya by WGS. Results reveal that gonorrhea in this high-risk group is caused by a unique population of gonococcal lineages not found in other parts of the world. In contrast to Europe and the United States, where AMR is predominantly chromosomally mediated [10, 11], AMR in gonococci from a high-risk group in coastal Kenya is mainly conferred through the acquisition of plasmids *pbla*TEM and pTetM. This is associated with high doxycycline use for undiagnosed STIs. Our findings demonstrate how antibiotic policies influence gonococcal populations through selective pressure and have significant implications for the management of gonococcal disease and other STIs in resource-poor settings.

**MATERIALS AND METHODS**

**Clinical Setting and Samples**

Between June 2010 and May 2015, 103 *N. gonorrhoeae* isolates were obtained from 73 patients (aged 18–49 years), including sex workers and MSM, who were participating in cohort studies at the Kenyan Medical Research Institute clinic in Mtwapa. Ethical approval was granted by the Kenya Medical Research Institute (KEMRI) Scientific and Ethical Review Unit (approval 2842). Gonorrhea was diagnosed in men with urethral or rectal discharge, in men who reported receptive anal intercourse, and in women irrespective of symptoms. Samples were obtained by swabbing and screening for *N. gonorrhoeae* by Gram stain, the oxidase test, and API-NH (bioMerieux, France). A total of 31 of 73 patients (42.5%) were HIV positive. Of 103 *N. gonorrhoeae* isolates, 84 (81.6%) were urethral, 17 (16.5%) were rectal, and 2 (1.9%) were cervical (Supplementary Table 1). Seventeen patients had multiple episodes of gonococcal infection (Supplementary Table 2). Of these, most had 2 (8 patients [47%]) or 3 (6 patients [35%]) episodes and attended the clinic within 2 years (median, 231 days; range, 0–1071 days) of the previous diagnosis.

**Susceptibility to Antimicrobials**

Disk diffusion testing [12] was used to determine susceptibility to penicillin and tetracycline, and the Etest [13] was used to determine minimal inhibitory concentrations (MICs) of ciprofloxacin, cefixime, penicillin, tetracycline, azithromycin, and doxycycline (bioMerieux, France). Gonococcal strains ATCC 31426 and ATCC 49226 [12] and WHO F, WHO G, WHO L, ST-1580, ST-1584, ST-1588, ST-1596, ST-1901, ST-7822, ST-8122, ST-9363, and ST-11990 were chosen in addition to strains from STs found also in coastal Kenya (ie, ST-1583, ST-1599, ST-1893, ST-1903, and ST-1931). Chromosomal and plasmid genes and intergenic regions implicated in gonococcal AMR are defined in the pubMLST *Neisseria* database [17]. The type of *pbla*TEM was determined by polymerase chain reaction as previously described [18]. Plasmid alignments were built using Easyfig [19].

**RESULTS**

**Gonococcal Isolates in Coastal Kenya Are Distinct From Those in the Rest of the World**

To characterize *N. gonorrhoeae* strains causing STI in coastal Kenya, we sequenced the genomes of 103 gonococcal isolates and analyzed them using the BIGSdb genomics platform hosted on PubMLST.org/neisseria. The resultant assemblies contained an average DNA length of 2 211 853 bp and 159 contigs (Supplementary Table 3). A total of 22 STs were found by MLST, with ST-1893 (n = 29), ST-1903 (n = 21), ST-1599 (n = 14), and ST-11366 (n = 11) the most prevalent (Supplementary Table 1). To understand phylogenetic relationships among isolates, we performed cgMLST analysis, which generated a star-burst phylogeny revealing the presence of 3 distinct clusters of isolates: cluster 1 (n = 30), including ST-1903; cluster 2 (n = 36), including ST-1893; and cluster 3 (n = 11), including ST-11366 (Figure 1). There was no association between HIV infection and cluster. The remaining 26 isolates did not belong to any cluster and were located on longer branches of the phylogenetic tree, consistent with them being distantly related and diverse.

To understand how gonococci from coastal Kenya compare with isolates from elsewhere in the world [10, 11], including WHO reference isolates [16], phylogenetic comparisons of gonococcal core loci were undertaken (Figure 2). Clusters 1, 2, and 3 were distinct from isolates commonly found in the United States and United Kingdom, such as ST-1901, ST-9363, and ST-11990.
Based on MLST, which compares fragments of 7 housekeeping genes, isolates belonging to ST-1599 and ST-1893, which are represented in our collection, are also found in the United Kingdom [11]. However, cgMLST provided greater resolution and demonstrated that the strains from the United Kingdom are distinct from those found in Kenya (Figure 2).

High Prevalence of Mobile Genetic Elements Among Gonococcal Isolates in a High-Risk Group

One hundred isolates (97%) harbored pTetM, a conjugative plasmid conferring tetracycline resistance. A further isolate, 45029 (Supplementary Table 1), contained the conjugative plasmid without tetM. Both types of tetM (NEIS2210), Dutch (allele 1) and American (allele 2) [20], were found: allele 1 was only found in strains belonging to cluster 1, while all other isolates contained allele 2. Detailed analysis of pTetM loci revealed that each cluster had a distinct allelic profile, regardless of the type of tetM. Major differences between plasmids were in loci involved in plasmid stability (ε/ζ toxin-antitoxin system and marR regulator) and mating pair formation (trbK and trbL; Figure 3) [20].

We examined patient records to see whether the strikingly high prevalence of pTetM in this population could be explained by antimicrobial use. Clinical data were available for 61 of 73 participants (83.6%) and indicated extensive use of doxycycline: 54 (88.5%) received doxycycline in the 6 months before diagnosis, while 50 (82%) received doxycycline on the day of diagnosis. We therefore examined whether pTetM confers resistance to doxycycline in a panel of representative strains (Supplementary Table 4). Of note, all pTetM-containing isolates were less susceptible to doxycycline (MIC range, 1.5–12 μg/mL) as compared to isolates without tetM (MIC range, from 0.19–0.38 μg/mL; Supplementary Table 4), confirming that pTetM confers doxycycline resistance. This is consistent with the high use of doxycycline selecting for the maintenance of pTetM.

Fifty-seven isolates (55%) contained the nonconjugative plasmid pblaTEM, all of which were of the African type (pJD5) [18]. The highest prevalence of pblaTEM was in isolates belonging to cluster 1 (28 of 30 [93%]; Table 1). In this cluster and in other distantly related isolates, allele 3 of blaTEM (NEIS2357), which encodes TEM-1 β-lactamase, was the most prevalent. We identified 2 novel blaTEM alleles, 10 and 12 (Table 1). These differed from allele 3 by a single amino acid insertion (Q5) and a single substitution (H6Y) for allele 10 or from allele 12 by a single substitution (A224T). Both these alleles were associated

| AMR profiles | PEN | TET | FLUORO |
|--------------|-----|-----|--------|
| R            | R   | R   | R      |
| R            | R   | R   | S      |
| R            | S   | S   | S      |
| S            | R   | R   | S      |
| S            | R   | S   | S      |
| S            | S   | S   | R      |

| Plasmids     | pTetM and pblaTEM |
|--------------|-------------------|
| pTetM        |
| pblaTEM and markerless plasmid |

| GGI          | GGI-negative isolate |
|--------------|---------------------|

Figure 1. Whole-genome genealogy of *Neisseria gonorrhoeae* isolates in coastal Kenya. A Neighbor-Net graph depicting core genome multilocus sequence typing–based comparison of whole-genome sequencing data. Each branch represents one isolate, with squares and circles color-coded according to Antimicrobial resistance genotype and plasmid type, respectively. Clusters 1, 2, and 3 are indicated. Blue stars indicate the absence of gonococcal genetic island (GGI). FLUORO, fluoroquinolones; PEN, penicillin; R, resistant; S, susceptible; TET, tetracycline.
with high-level resistance to penicillin, with MICs ranging from 16 μg/mL (allele 12, strain 47 547) to 192 μg/mL (allele 10, strains 42 876 and 50 659; Supplementary Table 4).

We also detected a high prevalence of the gonococcal genetic island (GGI), a mobile genetic element encoding a type 4 secretion system implicated in DNA export [5]. The GGI was present in 97 isolates (94%; Figure 1). Compared with GGI-harboring strains, there are no polymorphisms in the dif insertion site and xerC and xerD site-specific recombinases [5] that could explain the absence of the GGI in the 6 strains lacking this mobile element.

Chromosomally Encoded AMR Determinants in Kenyan Isolates

WGS analysis revealed that each cluster has a distinct genotypic AMR profile (Figure 1 and Table 2). All isolates contained chromosomal AMR determinants conferring resistance to fluoroquinolones and penicillin (Table 2). Nonsynonymous mutations in gyrA (NEIS1320), which confer resistance to fluoroquinolones (Table 3) [17], were highly prevalent in isolates from all 3 clusters: 28 of 30 (93%) in cluster 1, 28 of 36 (78%) in cluster 2, and 10 of 11 (91%) in cluster 3 (Table 2). Mutations in parC and parE, also associated with fluoroquinolone resistance [17], were not found.

Isolates in clusters 1 and 2 had nonsynonymous substitutions in penA (NEIS1753) and ponA (NEIS0414; Tables 2 and 3), which confer resistance to β-lactams [17]. In addition, 20 of 30 (66%) and 18 of 30 (60%) in cluster 1 harbored AMR-associated mutations in porB (NEIS2020) and in the promoter of mtrR (pro_NEIS1635), respectively (Tables 2 and 3). No cluster 1 isolates and only 2 cluster 2 isolates harbored premature stop codons in mtrR (NEIS1635), which result in overexpression of the MtrCDE efflux pump (Tables 2 and 3) [17]. However, cluster 2 isolates had no AMR-associated mutations in the mtrR promoter (Table 2). In contrast, cluster 3 isolates did not harbor AMR-associated mutations in penA, but all contained AMR-associated mutations in ponA and harbored internal stop codons in mtrR (Table 2).

Different AMR characteristics were present in the remaining 26 more distantly related isolates (Figure 1). Sixteen of these isolates did not have any chromosomally mediated resistance (excluding resistance-associated mutations in porB) but possessed pTetM and pblaTEM (Figure 1).
We established AMR profiles by measuring MICs for tetracycline, azithromycin, and penicillin in 14 representative isolates. Phenotypic AMR profiles for the strains were concordant with our genotypic analysis (Supplementary Table 4).

Of note, all isolates in our study contained the rpsJ allele encoding S10 protein with Met 57 mutation, which has been shown to increase resistance to tetracycline [21]. However, in our study, the MIC for tetracycline ranges from 0.25 μg/mL (for strains 65 600 and 63 179) to 16 μg/mL (for strains 64 500, 42 974, 64 204, and 47 547; Supplementary Table 4), suggesting that this mutation had no effect on the observed low- or high-level resistance to tetracycline.

No isolate harbored mutations in 23s ribosomal RNA and ribosomal protein S5 (NEIS0149), which are associated with resistance to azithromycin and spectinomycin, respectively [17]. Additionally, no isolates had mosaic penA alleles associated with resistance to third-generation cephalosporins [17]. Consistent with this, all 103 isolates were susceptible to cefixime (MIC range, 0.016–0.064 μg/mL), and a panel

![Figure 3. pTetM plasmids in coastal Kenya. A. Each cluster of isolates has a distinct allelic profile of loci involved in antimicrobial resistance (tetM), plasmid stability (ε/ζ toxin-antitoxin and marR), and mating pair formation (trbK and trbL). Only the most represented alleles in each cluster are listed. B. Alignment of mating pair formation (blue arrows), genetic load (yellow arrows), and conjugative transfer (red arrows) regions in pTetM from clusters 1, 2, and 3 and World Health Organization reference strain N (WHO N). The tetM allele is depicted in pink. Isolates used for alignment are 64 500 (cluster 1), 43 305 (cluster 2), and 64 203 (cluster 3). Sequence similarity between genes is depicted as shades of red, as indicated at the bottom right corner of the figure. pTetM from WHO N, which carries a Dutch tetM allele, is used as a comparison in both panels. hypo, hypothetical protein; n/a, locus not present.

![Table 1. Distribution of β-Lactamase Plasmids With Specific blaTEM Alleles](image)
of representative isolates were susceptible to azithromycin (Supplementary Table 4).

A Significant Proportion of Multiple Episodes of Gonorrhea are Caused by Closely Related Strains

Seventeen patients had multiple episodes of gonorrhea (47 isolates; Supplementary Table 2). We performed cgMLST analysis to determine whether these episodes were caused by closely related or unrelated strains. Two isolates (64 203 and 64 204; Supplementary Table 2) were obtained from 1 individual on the same day from different sites (urethra and rectum) and exhibited only 1 difference by cgMLST, so they were likely the same strain. Most pairs of isolates differed by a median of 884 loci (range, 67–990 loci). However, 5 pairs of isolates (10 of 47 [21%]) from 4 patients had ≤18 locus differences by cgMLST (median, 3 loci; range, 1–18 loci; Supplementary Table 2), consistent with the multiple episodes resulting from inadequate treatment or reinfection from the same source. These closely related pairs of isolates were recovered from urethral swabs, except one (43 346), which was from a rectal swab. Four of the closely related pairs of isolates were from 3 HIV-positive individuals, and the time between episodes ranged from 22 to 67 days (median, 24 days; Figure 4 and Supplementary Table 2).

DISCUSSION

This study provides the first WGS analysis of gonococcal isolates from Africa where the highest rates of gonorrhea have been documented [7]. Comparison of genes core to the gonococcus revealed the presence of distinct gonococcal lineages clustering by AMR genotype, which are phylogenetically distinct from those found elsewhere in the world. Moreover, strains that are prevalent in other parts of the world and have spread globally [10, 11] were not found in coastal Kenya. Of note, the strains from our high-risk group possess a remarkably high frequency of mobile genetic elements.

Plasmid-mediated AMR among this collection is the highest observed in any gonococcal population, with 97% possessing pTetM and 55% possessing pblaTEM. The prevalence of pTetM varies between countries, ranging from 6% in cefixime-resistant isolates in the United States [10] to 73.3% in South Africa [22]. The unique lineages in coastal Kenya may have adaptive chromosomal mutations that compensate for the fitness costs that are often associated with harboring a plasmid [23]. The prevalence of pTetM is most likely a consequence of the high use of doxycycline in this cohort, as isolates with pTetM exhibit reduced susceptibility to this antibiotic. Where diagnostic facilities are limited, doxycycline is used in line with WHO guidelines for treatment of nongonococcal urethritis, proctitis, and cervicitis [24] and/or prior to laboratory confirmation of disease. pTetM is a conjugative plasmid [20], so it can rapidly disseminate in a population with appropriate selection pressure, such as the use of doxycycline. Furthermore, pTetM can facilitate the transfer of nonconjugative plasmids, such as pblaTEM, which are not self-mobilizable [6]. Therefore, use of doxycycline can coselect for pblaTEM and pTetM, resulting in spread of resistance to penicillin and tetracycline in a gonococcal population. Consistent with our findings, the frequency of pblaTEM mirrors that of pTetM in other gonococcal populations [10, 22, 25].

The prevalence of plasmids carrying blaTEM-1 is a significant concern because only a few single-nucleotide polymorphisms are required for TEM-1 to evolve into an extended-spectrum β-lactamase [26], which would result in rapidly transmissible cephalosporin resistance in gonococcus, a major public health threat [27].

Table 2. Chromosomal Antimicrobial Resistance Determinants Present in Each Cluster

| Determinant                  | Cluster 1, No. (%) | Cluster 2, No. (%) | Cluster 3, No. (%) |
|-----------------------------|--------------------|--------------------|--------------------|
| NEIS1320 (gyrA)             | 28 (93)            | 28 (78)            | 10 (91)            |
| NEIS1753 (penA)             | 30 (100)           | 30 (83)            | 0 (0)              |
| NEIS0414 (porA)             | 30 (100)           | 35 (97)            | 11 (100)           |
| NEIS1635 (mtrR)             | 0 (0)              | 2 (5)              | 11 (100)           |
| pro_NEIS1635 (mtrR promoter region) | 18 (60)          | 0 (0)              | 0 (0)              |
| NEIS2020 (porB)             | 20 (66)            | 5 (14)             | 4 (36)             |

n = total number of isolates in this cluster.
in TEM-1 with the occurrence of 2 novel blaTEM alleles (NEIS2357 alleles 10 and 12) associated with high-level resistance to penicillin. NEIS2357 allele 10 carries alterations in N-terminal signal peptide, which may enhance export of the enzyme into the periplasm, resulting in increased resistance [28]. A single substitution, A224T, is present in NEIS2357 allele 12; so far, this allele has only been observed in the laboratory and its effects on resistance are unknown [29].

Our results indicate that the use of doxycycline for empirical therapy of STIs might impact the nature and mechanisms of gonococcal AMR, and this should be considered when devising global treatment strategies for STIs. In addition, the high prevalence of pblaTEM in a high-risk population emphasizes the need for a combined therapy to prevent the emergence of mobile resistance to third-generation cephalosporins [30], even where local strains are susceptible to both cefixime and azithromycin, the WHO recommended therapies [31].

As well as plasmids, the GGI is highly prevalent in the gonococcal strains in this population. The GGI is associated with the spread of AMR through unknown mechanisms and is thought to have contributed to the expansion of the ST-1901 lineage in the western hemisphere [17]. Interestingly, 94% of isolates in our study harbored the GGI and plasmids, unlike other gonococcal populations, where they tend to be mutually exclusive [17].

In this study, we characterized gonococcal isolates from patients with multiple episodes of gonorrhoea. Four patients (23% of those with repeated episodes), 3 of whom were HIV positive, were infected with closely related strains at different times. This frequency differs from a larger study in the United Kingdom, in which only 5% of multiple episodes were caused by closely related strains [11]. The reisolation of phylogenetically similar strains from an individual, in one instance up to 67 days later, indicates that the strain is either undergoing intense transmission or that the patient has not been treated adequately.

These findings highlight the need for appropriate test of cure and intense contact tracing to ensure that initial infections are eliminated in high-risk populations.

Core groups of high-risk individuals have the potential to transmit gonorrhoea and other STIs to a large number of sex partners [9]. Comprehensive monitoring, treatment, and understanding of gonococcal transmission in high-risk groups are therefore essential for the effective management and control of gonorrhoea in such settings. Africa is an area with high prevalence of gonococcal disease [7], and it is therefore critical to continue the surveillance of AMR on this continent and monitor the emergence of novel gonococcal lineages. Data presented here provide compelling evidence that gonococcal populations become structured by antibiotic use as a result of selection pressure, and they emphasize the need for a global strategy to effectively control this infection [27]. Our data highlight the need to acquire globally distinct gonococcal collections, in which antimicrobial selection pressures will be different, thus enhancing our understanding of the emergence of AMR in this important pathogen.

**Supplementary Data**

Supplementary materials are available at *The Journal of Infectious Diseases* online. Consisting of data provided by the authors to benefit the reader, the posted materials are not copyedited and are the sole responsibility of the authors, so questions or comments should be addressed to the corresponding author.

**Notes**

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