Equilibrium Wigner Function for Fermions and Bosons in the Case of a General Energy Dispersion Relation

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Abstract: The approach based on the Wigner function is considered as a viable model of quantum transport which allows, in analogy with the semiclassical Boltzmann equation, to restore a description in the phase-space. A crucial point is the determination of the Wigner function at the equilibrium which stems from the equilibrium density function. The latter is obtained by a constrained maximization of the entropy whose formulation in a quantum context is a controversial issue. The standard expression due to Von Neumann, although it looks a natural generalization of the classical Boltzmann one, presents two important drawbacks: it is conserved under unitary evolution time operators, and therefore cannot take into account irreversibility; it does not include neither the Bose nor the Fermi statistics. Recently a diagonal form of the quantum entropy, which incorporates also the correct statistics, has been proposed in Snoke et al. (2012) and Polkovnikov (2011). Here, by adopting such a form of entropy, with an approach based on the Bloch equation, the general condition that must be satisfied by the equilibrium Wigner function is obtained for general energy dispersion relations, both for fermions and bosons. Exact solutions are found in particular cases. They represent a modulation of the solution in the non degenerate situation.

Keywords: Wigner function; quantum entropy; transport of bosons and fermions

1. Introduction

The use of the Wigner function is one of the way to study quantum transport. Its main advantage is that a description similar to the classical or semiclassical transport is obtained in a suitable phase-space. For example the mean values are expectation values with respect to the Wigner function as it would be a probability density. Moreover, the semiclassical limit of the Wigner transport equation recovers, at least formally, the Boltzmann transport one. There is a huge body of literature regarding the Wigner equation and the way to numerically solve it (see for example [1–3] and references therein). However, the most part of the works in the subject consider a quadratic dispersion relation for the energy. Instead, for several material like semiconductors or semimetal, e.g., graphene, other dispersion relations must be considered [4–7]. From the Wigner transport equation quantum hydrodynamical models have been obtained in [8] for charge transport in silicon in the case of parabolic bands, while in [9] the same has been devised for electrons moving in graphene.

A starting point is the determination of the equilibrium Wigner function. It can be obtained by using the Jaynes approach [6,10–12] of maximizing the entropy under suitable constraints on the expectation values. A crucial issue is the expression of the entropy in the quantum case. In [8,9] the standard prescription proposed by von Neumann has been adopted but it leads to a semiclassical limit...
represented by the Maxwell–Boltzmann distribution. For dilute gases this can constitute an acceptable approximation but for strongly degenerate gases it is necessary to include the appropriate statistics as for example done in [13] where expansions of the equilibrium Wigner functions were determined by using the Moyal formalism.

Moreover, in a closed system the von Neumann entropy is conserved (see the papers [14,15]) because the evolution is described by unitary operators. Arguing on such a remark, in [15] it has suggested to use as entropy only the diagonal contribution and has proved that it increase in time according to the second law of thermodynamics.

Here, similarly to what already considered in [10] and more recently pointed out in [14] and employed in [13], we adopt a diagonal form of the entropy which incorporates also the correct statistics but we use an approach based on the Bloch equation to get the equilibrium Wigner function for a general dispersion relation. Both the case of fermions and bosons are treated. The general solution is very difficult to get analytically and a numerical approach is the only viable approach. Here, exact solutions are obtained in particular cases. They represent a modulation of the solution in the non degenerate situation.

The plan of the paper is as follows. In Section 2 the general features of the quantum transport based on the Wigner function are recalled. In Section 3 the general problem of determining the equilibrium density matrix is tackled while in Section 4 the general equation for determining the equilibrium Wigner equation is deduced. In the last section examples of solutions in the spatially homogeneous case are given both for fermions and bosons. Some details are postponed in the Appendix A.

2. Quantum Transport Based on the Wigner Equation

Let us introduce the single-particle density matrix, \( \rho(r,s,t) \) which is related to the wave function \( \psi \) by

\[
\rho(r,s,t) = \psi(r,t) \overline{\psi}(s,t) \quad \text{for any } r,s \in \mathbb{R}^d,
\]

with \( d \) dimension of the space, e.g., for electrons flowing in graphene \( d = 2 \) while for charge carriers in bulk silicon \( d = 3 \). It satisfies the relation

\[
\rho(r,r,t) = n(r,t),
\]

where \( n(r,t) \) is the position probability density.

The time evolution of the density matrix is described by the quantum Liouville equation

\[
\frac{i\hbar}{\partial t} \rho(r,s,t) = (H_r - H_s) \rho(r,s,t)
\]

where \( H_r \) and \( H_s \) represent the symbols of Hamiltonians acting with respect to the \( r \) and \( s \) variable respectively.

If \( \mathcal{E}(p) \) is the energy band in terms of the crystal momentum \( p = \hbar k \), the symbol of the Hamiltonian reads

\[
H(r,p) = \mathcal{E}(p) + \Phi(r,t)
\]

where the external potential \( \Phi(r,t) \) here is assumed to be real. Moreover, we assume that \( \mathcal{E}(p) \) is a even function of the modulus of \( p \).

On account of the quantum mechanics correspondence principle \( p \rightarrow -i\hbar \nabla_r \), the quantum Liouville equation reads

\[
\frac{i\hbar}{\partial t} \rho(r,s,t) = (\mathcal{E}(-i\hbar \nabla_r) - \mathcal{E}(-i\hbar \nabla_s)) \rho(r,s,t) + \left[ (\Phi(r,t) - \Phi(s,t)) \right] \rho(r,s,t).
\]
Given a function \( g \in L^1(\mathbb{R}^d) \) let us denote by \( \mathcal{F}[g](\eta) \) its Fourier transform

\[
\mathcal{F}[g](\eta) = \int_{\mathbb{R}^d} g(v) e^{-i\eta \cdot v} dv,
\]

and let us denote by \( \mathcal{F}^{-1} \) the inverse Fourier transform

\[
\mathcal{F}^{-1}[h(\eta)] = \frac{1}{(2\pi)^d} \int_{\mathbb{R}^d} h(\eta) e^{i\eta \cdot \eta} d\eta.
\]

with \( h(\eta) = \mathcal{F}[g](\eta) \).

In order to derive a transport equation, let us introduce the single particle Wigner quasi-distribution \( w(x, p, t) \), depending on the position \( x \), momentum \( p \) and time \( t \), defined as

\[
w(x, p, t) = \mathcal{F} \left[ \rho \left( x + \frac{y}{2}, x - \frac{y}{2}, t \right) \right](x, p, t) = \int_{\mathbb{R}^d} \rho \left( x + \frac{y}{2}, x - \frac{y}{2}, t \right) e^{-ip \cdot y / \hbar} dy.
\]

That satisfies the following equation obtained from (1) by a Wigner transformation (see Appendix A for the details)

\[
\frac{\partial w(x, p, t)}{\partial t} + S[\mathcal{E}]w(x, p, t) + \theta[\mathcal{E}]w(x, p, t) = C[w],
\]

(2)

which is the quantum counterpart of the semiclassical Boltzmann transport equation and sometimes is referred to the Wigner–Boltzmann equation.

\( S[\mathcal{E}] \) and \( \theta[\mathcal{E}] \) represent the pseudo-differential operators

\[
S[\mathcal{E}]w(x, p, t) = \frac{i}{\hbar(2\pi)^d} \iint_{\mathbb{R}^d \times \mathbb{R}^d} \left[ \mathcal{E} \left( p + \frac{\hbar}{2} \nu, t \right) - \mathcal{E} \left( p - \frac{\hbar}{2} \nu, t \right) \right] w(x', p', t) e^{-i(x' - x) \cdot \nu} dx' d\nu,
\]

(3)

\[
\theta[\mathcal{E}]w(x, p, t) = \frac{i}{\hbar(2\pi)^d} \iint_{\mathbb{R}^d \times \mathbb{R}^d} \left[ \Phi \left( x + \frac{\hbar}{2} \eta, t \right) - \Phi \left( x - \frac{\hbar}{2} \eta, t \right) \right] w(x, p', t) e^{i(p' - p) \cdot \eta} dp' d\eta.
\]

(4)

and \( C[w] \) is a sort of collision term.

In realistic cases the expression of \( C[w] \) is rather complex (see for example [1]). A simplified model is based on the relaxation time approximation

\[
C[w] = -v \left( w - w_{eq} \right).
\]

where \( w_{eq} \) is the equilibrium Wigner function and \( v \) plays the role of a collision frequency which in general can depend on \( p \). Moreover, several hydrodynamical models introduce quantum corrections just from the equilibrium Wigner function, see for example [8,16]. Therefore, a crucial step is to find out the expression of \( w_{eq} \).

3. Equilibrium Density Function

Let us denote with \( \hat{\rho} \) the density matrix operator. It is related to \( \rho \) by

\[
(\hat{\rho} \phi)(x, t) = \int_{\mathbb{R}^d} \rho(x, y, t) \phi(y) dy,
\]

for any suitable test function \( \phi \). In other words, \( \rho(x, y, t) \) is the kernel of \( \hat{\rho} \). The latter solves the operatorial quantum Liouville equation

\[
i\hbar \frac{\partial}{\partial t} \hat{\rho} = [\hat{H}, \hat{\rho}],
\]
where \( \hat{H} \) is the Hamiltonian and \( [\hat{H}, \hat{\rho}] = \hat{H}\hat{\rho} - \hat{\rho}\hat{H} \) is the commutator. In a steady state, and in particular at equilibrium, \( \frac{d}{dt}\hat{\rho} = 0 \) and therefore \( [\hat{H}, \hat{\rho}] = 0 \).

The equilibrium density matrix can be obtained by employing a generalisation of the Maximum Entropy Principle (hereafter MEP) in a quantum context [10,17,18] (for the semiclassical case see [6,9,12,19–22]). According to the quantum version of MEP the equilibrium density matrix is obtained by maximising the quantum entropy \( S_q \) under suitable constraints on the expectation values.

If we consider particles moving in a thermal bath, e.g., electrons in a semiconductor keeping phonons at equilibrium with temperature \( T \), the equilibrium density matrix has to satisfy the constraints

\[
\text{tr} \hat{\rho} = 1, \quad <\hat{H}> = \text{tr}(\hat{\rho}\hat{H}),
\]

where tr is the trace operator. Since at equilibrium \( \hat{H} \) commutes with \( \hat{\rho} \), there exists an orthonormal basis such that both \( \hat{H} \) and \( \hat{\rho} \) have a diagonal representation (for the sake of simplicity we assume that the spectrum is discrete)

\[
\hat{H} = \sum_i E_i |\psi_i><\psi_i|, \quad \hat{\rho} = \sum_i \rho_i |\psi_i><\psi_i|.
\]

A controversial question is the appropriate expression of \( S_q \). The standard formulation is that of von Neumann [23]

\[
S_q = -k_B \text{tr}(\hat{\rho} \ln \hat{\rho}),
\]

which is the natural generalization to a quantum context of the Maxwell–Boltzmann one or, in information theory, the generalization of the Shannon entropy. Maximizing the entropy (6) under the constraints (5) means maximizing the objective function

\[
-\sum_i k_B \rho_i \ln \rho_i + \alpha \left(1 - \sum_i \rho_i\right) + \beta \left(<\hat{H}> - \sum_i \rho_i E_i\right),
\]

with \( \alpha \) and \( \beta \) Lagrange multipliers. One gets, after an obvious renormalization of the Lagrange multipliers,

\[
\rho_i = \exp \left(-\beta (E_i - \phi_F)\right)
\]

where \( \phi_F \) is the quasi-Fermi potential and \( \beta = \frac{1}{k_B T} \).

Therefore, the equilibrium density matrix operator is given by the following. We recall that if \( \hat{A} \) is a Hermitian operator and \( f \) a function regular enough, \( f(\hat{A}) \) is defined as follows. In a basis where \( \hat{A} \) has a diagonal representation

\[
\hat{A} = \sum_i a_i |\psi_i><\psi_i|,
\]

with \( a_i \) eigenvalues of \( \hat{A} \), we set

\[
f(\hat{A}) = \sum_i f(a_i) |\psi_i><\psi_i|,
\]

provided \( f(a_i) \) makes sense.

\[
\hat{\rho}_{eq} = \exp(-\beta(\hat{H} - \phi_F)),
\]

which appears as the natural counterpart of the Maxwell–Boltzmann density.

Now, we have to face two important problem related to the von Neumann entropy. In case of fermions or bosons the equilibrium Wigner function does not include the Fermi or the Bose statistics.
Moreover (see the papers [14,15]), in a closed system $S_q$ is conserved because the evolution of the system is described by unitary operators. However, in an open system, like a semiconductor electron device, there is a fast decay of the off-diagonal terms and practically only the diagonal contribution to $S_q$ survives. Arguing on such a remark, Polkovnikov [15] has suggested to use as entropy only the diagonal contribution $S_d$ and has proved that it increase in time according to the second law of thermodynamics. A further modification, already considered in [10], more recently pointed out in [14] and employed in [13], which incorporate also the correct statistics is to define the quantum entropy as

$$S = -k_B \sum_k [\rho_{kk} \ln \rho_{kk} \mp (1 \pm \rho_{kk}) \ln(1 \pm \rho_{kk})],$$

where the $\rho_{kk}$'s are the expectation values of the diagonal elements of $\rho$ which can be interpreted as occupation numbers, the upper sign being valid for bosons and the lower one for fermions. This could solve the problem of the limit of the equilibrium Wigner function even if the needed calculations become much more involved to carry out analytically [13].

Maximizing the entropy (8) under the constraints (5) means maximizing the objective function

$$-k_B \sum_k [\rho_{kk} \ln \rho_{kk} \mp (1 \pm \rho_{kk}) \ln(1 \pm \rho_{kk})] + \alpha \left(1 - \sum_k \rho_{kk}\right) + \beta \left(<H> - \sum_k \rho_{kk}E_{kk}\right),$$

with $\alpha$ and $\beta$ Lagrange multipliers. One gets, after an obvious renormalization of the Lagrange multipliers,

$$\rho_{kk} = \frac{1}{\exp(\beta(\hat{E}_{kk} - \phi_F)) \pm 1}$$

where again $\phi_F$ is the quasi-Fermi potential and $\beta = \frac{1}{k_B T}$.

Therefore, the equilibrium density matrix operator is given by

$$\hat{\rho}_{eq} = \frac{1}{\exp(\beta(\hat{H} - \phi_F)) \pm 1}$$

4. Equilibrium Wigner Function

Once the equilibrium density function has been determined, the equilibrium Wigner function can be obtained by a direct evaluation of the following Fourier transform

$$w_{eq}(x, p, t) = \mathcal{F}[\rho_{eq} \left(x + \frac{y}{2}, x - \frac{y}{2}, t\right)](x, p, t) = \int_{\mathbb{R}^2} \rho_{eq} \left(x + \frac{y}{2}, x - \frac{y}{2}, t\right) e^{-i p \cdot y / \hbar} dy.$$
where the commutation relation between $\hat{H}$ and $\hat{\rho}_{eq}$ has been used. For any suitable test function $\varphi$, we have

$$\int_{\mathbb{R}^2} \frac{\partial \rho_{eq}(r, s, \beta)}{\partial \beta} \varphi(s) ds = -\frac{1}{2} \int_{\mathbb{R}^2} [H_{eq}\rho_{eq}(r, s, \beta) + H_{eq}\rho_{eq}(r, s, \beta)] +$$

$$\pm H_{eq}^2(r, s, \beta) \mp H_{eq}^2(r, s, \beta) - 2\phi_F\rho_{eq}(r, s, \beta) \pm 2\phi_F^2\rho_{eq}(r, s, \beta)]\varphi(s) ds.$$ 

From general considerations in quantum mechanics, we require that $\hat{H}$ must be self-adjoint

$$\int_{\mathbb{R}^2} \rho_{eq}(r, s, \beta) H_{eq}\varphi(s) ds = \int_{\mathbb{R}^2} H_{eq}\rho_{eq}(r, s, \beta)\varphi(s) ds$$

and therefore from the previous relations we get

$$\frac{\partial \rho_{eq}(r, s, \beta)}{\partial \beta} = -\frac{1}{2} [H_{eq}\rho_{eq}(r, s, \beta) + H_{eq}\rho_{eq}(r, s, \beta)]$$

$$\pm \frac{1}{2} [H_{eq}^2(r, s, \beta) + H_{eq}^2(r, s, \beta)] + \phi_F\rho_{eq}(r, s, \beta) \mp \phi_F^2\rho_{eq}(r, s, \beta),$$

which is named Bloch equation.

Fourier transforming the Bloch equation, one finds the following equation for the equilibrium Wigner function

$$\frac{\partial w_{eq}(x, k, \beta)}{\partial \beta} = -\frac{1}{2} \mathcal{F} \left[ \epsilon(p + \frac{h}{2}v) + \epsilon(p - \frac{h}{2}v) \right] u(x, y, \beta)$$

$$+ \left[ \Phi(x + \frac{1}{2}y) + \Phi(x - \frac{1}{2}y) \right] u(x, y, \beta) \delta(x, k, \beta)$$

$$\mp \phi_F \mathcal{F} \left[ u^2(x, y, \beta) \right] (x, k, \beta). \quad (10)$$

Since for $\beta = 0$ we must have $\hat{\rho}_{eq} = 1$, it follows

$$\int_{\mathbb{R}^d} \rho(r, s, 0)\varphi(s) ds = \phi(r),$$

which implies ($\delta(r)$ denotes the Dirac distribution)

$$\rho(r, s, 0) = \delta(s - r),$$

wherefrom the condition

$$w_{eq}(x, p, 0) = 1. \quad (11)$$

Equation (10) augmented with the initial condition (11) is the basic relation of this work. It represents the general condition that the equilibrium Wigner function has to satisfy for a general dispersion relations in the degenerate case.

Equation (10) is very difficult to tackle analytically. A form more amenable to sought for analytical solutions is obtained by introducing the following approximations up to first order in $h^2$

$$\epsilon(p + \frac{h}{2}v) + \epsilon(p - \frac{h}{2}v) \approx 2\epsilon(p) + \frac{h^2}{4} \frac{\partial^2\epsilon}{\partial p_i \partial p_j} v_i v_j = 2\epsilon(p) + \frac{1}{4} \frac{\partial^2\epsilon}{\partial p_i \partial p_j} v_i v_j,$$

$$\Phi(x + \frac{h}{2}v) + \Phi(x - \frac{h}{2}v) \approx 2\Phi(x) + \frac{h^2}{4} \frac{\partial^2\Phi}{\partial x_i \partial x_j} v_i v_j = 2\Phi(x) + \frac{1}{4} \frac{\partial^2\Phi}{\partial x_i \partial x_j} v_i v_j.$$
After substituting into (10), one obtains
\[
\frac{\partial w_{eq}(x, k, \beta)}{\partial \beta} = -\epsilon(p) w_{eq}(x, k, \beta) + \frac{1}{8} \frac{\partial^2 \epsilon}{\partial k_i \partial k_j} \frac{\partial^2 w_{eq}}{\partial x_i \partial x_j} + \Phi(x) w_{eq}(x, k, \beta) + \frac{1}{8} \frac{\partial^2 \Phi}{\partial k_i \partial k_j} \frac{\partial^2 w_{eq}}{\partial x_i \partial x_j} + \frac{1}{(2\pi)^d} \int_{\mathbb{R}^2} w_{eq}(x, k', \beta) w_{eq}(x, k - k, \beta) d\mathbf{k}'
\]
\[
\pm \frac{1}{(2\pi)^d} \int_{\mathbb{R}^2} w_{eq}(x, k', \beta) w_{eq}(x, k - k, \beta) d\mathbf{k}'
\]
\[
\pm \frac{1}{(2\pi)^d} \int_{\mathbb{R}^2} w_{eq}(x, k', \beta) w_{eq}(x, k - k, \beta) d\mathbf{k}' + \Phi_F w_{eq}(x, k, \beta) + \frac{\Phi_F}{(2\pi)^d} \int_{\mathbb{R}^2} w_{eq}(x, k', \beta) w_{eq}(x, k - k, \beta) d\mathbf{k}' + o(h^2).
\]

(12)

Of course the general solution is still difficult to get analytically. However, in order to compare the results with those already known in the literature, in the next section particular cases will be considered where explicit analytical solutions are found.

5. Particular Cases

Let us consider the homogeneous case. We have \( \Phi(x) = \Phi = \text{constant} \), and the Wigner function does not depend on \( x \), that is \( w = w(k, \beta) \). Moreover, we assume that the first Brillouin zone is finite, that is, \( w_{eq}(k, \beta) \) is zero outside a compact set \( \mathbb{B} \), which is symmetric with respect to the origin. Under these assumption, Equation (10) reads
\[
\frac{\partial w_{eq}(k, \beta)}{\partial \beta} = -\epsilon(k) w_{eq}(k, \beta) + \Phi \int_{\mathbb{B}} w_{eq}(k', \beta) w_{eq}(k - k, \beta) d\mathbf{k}'.
\]

(13)

Solving this equation is a very difficult task, and here, we propose two possible ways to find an approximate solution that satisfies the condition \( \lim_{\beta \to 0^+} w_{eq}(k, \beta) = 1 \) (see [24]).

We assume the ansatz
\[
w_{eq}(k, \beta) = \left( 1 + \sum_{m=1}^{n} \lambda_m(k) \beta^m \right) e^{-\beta \epsilon(k)}
\]
with \( n \in \mathbb{N} \) and \( \lambda : \mathbb{B} \to \mathbb{R} \).

Substituting in (13) and considering the lower order terms, one obtains
\[
\lambda_1(k) = \phi - \phi \pm \frac{\epsilon(k) + \phi - \phi_F}{(2\pi)^d} \mu(\mathbb{B})
\]
where \( \mu(\mathbb{B}) \) is the measure of the first Brillouin zone. The equilibrium Wigner function approximated at the first order reads
\[
w_{eq}(\beta, k) = \left[ 1 + \left( \phi - \phi \pm \frac{\epsilon(k) + \phi - \phi_F}{(2\pi)^d} \mu(\mathbb{B}) \right) \beta \right] e^{-\beta \epsilon(k)} + o(\beta).
\]
The other terms \( \lambda_m(k) \) with \( m \geq 2 \) can be found with an iterative procedure.
Another analytical result can be found around the origin of the first Brillouin zone, that is, for \( |\mathbf{k}| \ll 1 \). About the dispersion relation, the natural assumption \( \epsilon(\mathbf{k}) = a\mathbf{k}^2 + o(|\mathbf{k}|^2) \), with \( a \in \mathbb{R}^+ \), is made for \( |\mathbf{k}| \ll 1 \).

We look for solutions according to the ansatz \( w_{\text{eq}}(\mathbf{k}, \beta) = \gamma(\mathbf{k}, \beta)e^{-\beta\epsilon(\mathbf{k})} \) with \( \gamma(\mathbf{k}, \beta) \), a slowly varying function with respect to \( \mathbf{k} \): \( \gamma(\mathbf{k}, \beta) \approx \gamma_0(\beta) + \gamma_1(\beta) o(|\mathbf{k}|) \).

By substituting in (13), one obtains

\[
\frac{d\gamma_0(\beta)}{d\beta} + \frac{d\gamma_1(\beta)}{d\beta} o(|\mathbf{k}|) = (\phi_F - \phi) (\gamma_0(\beta) + \gamma_1(\beta) o(|\mathbf{k}|)) \\
\pm \frac{e^{\beta\epsilon(\mathbf{k})}}{2\pi^2} (\epsilon(\mathbf{k}) + \phi - \phi_F) \int_\mathbb{B} [\gamma_0(\beta) + \gamma_1(\beta) o(|\mathbf{k}|)]^2 e^{-\beta(\epsilon(\mathbf{k}') + \epsilon(\mathbf{k}' - \mathbf{k}))} d\mathbf{k}'.
\] (14)

At the lowest order, we have

\[
\frac{d\gamma_0(\beta)}{d\beta} = (\phi_F - \phi) \gamma_0(\beta) \pm \frac{1}{(2\pi)^2} (\phi - \phi_F) [\gamma_0(\beta)]^2 \int_\mathbb{B} e^{-2\beta|\mathbf{k}|^2} (1 - 2a\beta\mathbf{k}' \cdot \mathbf{k}) \ d\mathbf{k}'.
\] (15)

Due to the symmetry of \( \mathbb{B} \)

\[
\int_\mathbb{B} e^{-2\beta|\mathbf{k}|^2} \mathbf{k} \cdot d\mathbf{k}' = 0
\]

and, therefore, \( \gamma_0(\beta) \) satisfies the equation

\[
\frac{d\gamma_0(\beta)}{d\beta} = (\phi_F - \phi) \gamma_0(\beta) \pm \frac{1}{(2\pi)^2} (\phi - \phi_F) [\gamma_0(\beta)]^2 \int_\mathbb{B} e^{-2\beta|\mathbf{k}|^2} d\mathbf{k}'
\] (16)

for which the solution is

\[
\gamma(\beta) = \frac{e^{\beta(\phi_F - \phi)}}{1 + \int_0^\beta a(\beta') e^{\beta(\phi_F - \phi)} d\beta'}
\]

with

\[
a(\beta) = \frac{(\phi - \phi_F)}{(2\pi)^d} \int_\mathbb{B} e^{-2\beta|\mathbf{k}|^2} d\mathbf{k}'.
\]

Therefore, the equilibrium Wigner function around the center of the first Brillouin zone is

\[
w_{\text{eq}}(\mathbf{k}, \beta) = \frac{e^{-\beta(\epsilon(\mathbf{k}) + \phi - \phi_F)}}{1 + \int_0^\beta a(\beta') e^{\beta(\phi_F - \phi)} d\beta'}.
\] (17)

In order to present some numerical results, let us consider a tridimensional gas of non-interacting particles with a quadratic dispersion relation \( \epsilon(\mathbf{k}) = a\mathbf{k}^2 \), \( a \in \mathbb{R}^+ \). To analytically evaluate the term \( a(\beta), \mathbb{B} \) is extended to \( \mathbb{R}^3 \), obtaining

\[
a(\beta) = \sqrt{\frac{\pi}{2a\beta}} \frac{(\phi - \phi_F)}{(2\pi^2)^{\frac{3}{2}}},
\]

which, inserted into (17), gives

\[
w_{\text{eq}}(\epsilon, \beta) = \frac{e^{-\beta(\epsilon + \phi - \phi_F)}}{1 + \sqrt{\frac{\pi}{2a\beta}} \frac{\phi - \phi_F}{4\pi^2} \int_0^\beta \frac{1}{\sqrt{\beta'}} e^{-\beta(\phi - \phi_F)} d\beta'}.
\] (18)

In Figure 1, the Wigner function (18) is plotted versus energy for several values of the parameters \( \beta \) and \( \phi - \phi_F \). For comparison, the Maxwell–Boltzmann distribution \( w^* = e^{-\beta(\epsilon + \phi - \phi_F)} \) is also shown.

It is important to observe that, at high temperatures, that is, low \( \beta \)'s, the equilibrium Wigner functions
for Bosons and Fermions are both close to the Maxwell–Boltzmann distribution; therefore, Bosons and Fermions tend to have the same behaviour for $\beta \to 0^+$. Moreover, in the plotted cases, the equilibrium Wigner function is positive. At low temperatures (high values of $\beta$), the behaviour strongly depends on $\phi - \phi_F$. When $\phi - \phi_F = 0.5$, the equilibrium Wigner functions for Bosons and Fermions are still very close and positive. If $\phi - \phi_F = -0.5$, the equilibrium Wigner functions of Bosons and Fermions and the Maxwell–Boltzmann distribution have relevant differences: the most remarkable one is that the Fermions Wigner function is negative while the others are positive.

As a last remark, although apparently simple, the case of constant potential has physically relevant applications. If one considers the transport of phonons in a crystal lattice without any mechanical deformation, they do not undergo any external field but have a dispersion relation that is not usually quadratic. For example, acoustic phonons have a linear dispersion relation near the center of the first Brillouin zone (the Debye approximation), that is

$$\epsilon(p) = c_s \hbar |p|$$

where $c_s$ is the sound speed.

**Figure 1.** Plots of the equilibrium Wigner function versus energy (in eV) for several values of the parameters $\beta$ and $\phi - \phi_F$. **Left top:** $\beta = 1, \phi - \phi_F = 0.5$. **Right top:** $\beta = 1, \phi - \phi_F = -0.5$. **Left bottom:** $\beta = 10, \phi - \phi_F = 0.5$. **Right bottom:** $\beta = 10, \phi - \phi_F = -0.5$. We set $a = 0.7$. Arbitrary units are used.

6. Conclusions

By considering an expression of the quantum entropy which takes also into account the Fermi and the Bose statistics, a general equation for the equilibrium Wigner function, valid for any energy dispersion relation, has been deduced. Particular solutions have been obtained in the spacial
homogeneous case, generalizing what already known in the literature by assuming as quantum
entropy that proposed by von Neumann.

To find explicit analytical solutions of Equation (10) in the general case is a daunting task. As open
problem it should be interesting to develop appropriate numerical schemes to solve the Equation (10)
or its version up to first order in $\hbar^2$, Equation (12). A viable way could be to modify the approach in [25].
The equilibrium Wigner function can be used into the relaxation time approximation of the collision
term in the Wigner–Boltzmann equation or to introduce quantum corrections in the description of
quantum fluids, e.g., to existing drift-diffusion, energy-transport and hydrodynamical models for
charge transport. A possible application could be a generalization of the model for electron transport
in graphene presented in [26]. We mention also that in view of the enhancement of the importance of
the thermal effects in nanoscale devices, the developed formalism can be also adopted for a quantum
description of phonon transport based on the Wigner equation.

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Appendix A

Here the derivation of the Wigner Transport equation is recalled for a general energy dispersion
relation. We have to specify the meaning of the operator $\mathcal{E}(-i\hbar\nabla r)$. The operator
$\mathcal{E}(-i\hbar\nabla r)\rho(r,s,t)$
is defined as a multiplication operator in the Fourier transform space and then mapped back in the
$r$-space

$$\mathcal{E}(-i\hbar\nabla r)\rho(r,s,t) = \frac{1}{(2\pi\hbar)^d} \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \mathcal{E}(\eta)\rho(r',s,t)e^{-\frac{i}{\hbar}(r'-r)\cdot \eta}d\eta dr'. \quad (A1)$$

where $\eta$ is the momentum conjugate to $r'$.

Now we are denoting with $p$ the momentum conjugate with $y$. Using the change of coordinates

$$r = x + \frac{y}{2}, \quad s = x - \frac{y}{2},$$

and after observing that

$$x = \frac{r+s}{2}, \quad y = r-s,$$

the expressions of $\nabla_r$ and $\nabla_s$ are

$$\nabla_r = \frac{1}{2}\nabla_x + \nabla_y, \quad \nabla_s = \frac{1}{2}\nabla_x - \nabla_y,$$

and the symbols associated to $\mathcal{E}(-i\hbar\nabla_r)$ and $\mathcal{E}(-i\hbar\nabla_s)$ become

$$\mathcal{E}\left(p + \frac{1}{2}\eta\right), \quad \mathcal{E}\left(p - \frac{1}{2}\eta\right),$$

respectively, where the fact that $\mathcal{E}$ is an even function has been used.
If we set \( u(x, y, t) := \rho \left( x + \frac{y}{2}, x - \frac{y}{2}, t \right) \) then \( w = \mathcal{F}[u] \), where the Fourier transform is respect to the variable \( y \), and of course

\[
u(x, y, t) = \mathcal{F}^{-1}[w] = \frac{1}{(2\pi\hbar)^d} \int_{\mathbb{R}^d_p} w(x, p, t) e^{ip \cdot y/\hbar} dp.
\] (A2)

Fourier transforming Equation (1) gives

\[i \hbar \frac{\partial}{\partial t} \mathcal{F}[u](x, p, t) = \mathcal{F} \left[ \left( \mathcal{E} \left( p + \frac{1}{2}\eta \right) - \mathcal{E} \left( p - \frac{1}{2}\eta \right) \right) u(x, y, t) + \left[ (\Phi(\rho, r, t) - \Phi(s, t)) \right] u(x, y, t) \right] (x, p, t).
\]

From (A1) and (4), one has

\[
\mathcal{F} \left[ \left( \mathcal{E} \left( p + \frac{1}{2}\eta \right) - \mathcal{E} \left( p - \frac{1}{2}\eta \right) \right) u(x, y, t) \right] (x, p, t) = \\
= \frac{1}{(2\pi\hbar)^d} \mathcal{F} \left[ \int_{\mathbb{R}^d_x \times \mathbb{R}^d_y} \left( \mathcal{E} \left( p + \frac{1}{2}\eta \right) - \mathcal{E} \left( p - \frac{1}{2}\eta \right) \right) u(x', y', t) e^{i\eta \cdot (x' - x) / \hbar} d\eta dx' \right] = \\
= \frac{1}{(2\pi\hbar)^d} \int_{\mathbb{R}^d_x \times \mathbb{R}^d_y} \left( \mathcal{E} \left( p + \frac{1}{2}\eta \right) - \mathcal{E} \left( p - \frac{1}{2}\eta \right) \right) u(x', y', t) e^{i\eta \cdot (x' - x) / \hbar} d\eta dx' d\eta dx',
\] (A3)

and

\[
\mathcal{F} \left[ \left( \Phi \left( x + \frac{y}{2} \right) - \Phi \left( x - \frac{y}{2} \right) \right) u(x, y, t) \right] (x, p, t) = \\
= \frac{1}{(2\pi\hbar)^d} \mathcal{F} \left[ \int_{\mathbb{R}^d_x \times \mathbb{R}^d_y} \left( \Phi \left( x + \frac{y}{2} \right) - \Phi \left( x - \frac{y}{2} \right) \right) w(x, p', t) e^{i\eta \cdot y / \hbar} dp' \right] = \\
= \frac{1}{(2\pi\hbar)^d} \int_{\mathbb{R}^d_x \times \mathbb{R}^d_y} \left( \Phi \left( x + \frac{y}{2} \right) - \Phi \left( x - \frac{y}{2} \right) \right) w(x, p', t) e^{i(p' \cdot y - p) / \hbar} dp' dy.
\] (A4)

Altogether, the Wigner function satisfies the equation

\[
\frac{\partial w(x, p, t)}{\partial t} + S[\mathcal{E}] w(x, p, t) + \theta[\mathcal{E}] w(x, p, t) = 0.
\]

with \( S[\mathcal{E}] \) and \( \theta[\mathcal{E}] \) definded in (3–4).

A further generalization includes also the presence of a sort of collision term into the Wigner function obtaining (2).

Approximating with the Taylor expansion centered at \( h = 0 \) we get

\[
\mathcal{E} \left( p + \frac{\hbar}{2}v, t \right) - \mathcal{E} \left( p - \frac{\hbar}{2}v, t \right) = \nabla_p \mathcal{E}(p) \cdot hv + \frac{1}{24} \frac{\partial^3 \mathcal{E}(p)}{\partial p_i \partial p_j \partial p_k} \hbar^3 v_i v_j v_k + O(\hbar^5),
\] (A5)

\[
\Phi \left( x + \frac{\hbar}{2}\eta, t \right) - \Phi \left( x - \frac{\hbar}{2}\eta, t \right) = \nabla_x \Phi(x) \cdot h\eta + \frac{1}{24} \frac{\partial^3 \Phi(x)}{\partial x_i \partial x_j \partial x_k} \hbar^3 \eta_i \eta_j \eta_k + O(\hbar^5).
\] (A6)
Substituting the previous relations into (3) and (4) we obtain

\[
S[\mathcal{E}]w(x, p, t) \approx \frac{i}{\hbar(2\pi)^d} \int_{x', p' \in \mathbb{R}^d} \left[ \nabla_p \mathcal{E}(p) \cdot \hbar \nu + \frac{1}{24} \frac{\partial^3 \mathcal{E}(p)}{\partial p_i \partial p_j \partial p_k} \hbar \nu \eta \nu \hbar \nu \right] w(x', p, t) e^{-i(x'-x) \cdot \nu \cdot x' \cdot dv}
\]

\[
= \frac{i}{(2\pi)^d} \nabla_p \mathcal{E}(p) \cdot \int_{x', p' \in \mathbb{R}^d} v w(x', p, t) e^{-i(x'-x) \cdot \nu \cdot x' \cdot dv}
\]

\[
+ \frac{i}{(2\pi)^d} \frac{1}{24} \frac{\partial^3 \mathcal{E}(p)}{\partial p_i \partial p_j \partial p_k} \hbar \nu \nu \nu \eta \nu \hbar \nu \int_{x', p' \in \mathbb{R}^d} \nu \nu \nu \nu \hbar \nu \nu \eta \nu w(x', p, t) e^{-i(x'-x) \cdot \nu \cdot x' \cdot dv}
\]

\[
= \nabla_p \mathcal{E}(p) \cdot \nabla_x w(x, p, t) - \frac{\hbar^2}{24} \frac{\partial^3 \mathcal{E}(p)}{\partial p_i \partial p_j \partial p_k} \frac{\partial^3 w(x, p, t)}{\partial x_i \partial x_j \partial x_k}
\]

and

\[
\theta[\mathcal{E}]w(x, p, t) \approx \frac{i}{\hbar(2\pi)^d} \int_{x', p' \in \mathbb{R}^d} \left[ \nabla_x \Phi(x) \cdot \hbar \eta + \frac{1}{24} \frac{\partial^3 \Phi(x)}{\partial x_i \partial x_j \partial x_k} \hbar \eta \eta \eta \eta \hbar \eta \eta \eta \eta \right] w(x, p', t) e^{i(x'-x) \cdot \eta \cdot p' \cdot d\eta}
\]

\[
= -\nabla_x \Phi(x) \cdot \nabla_p w(x, p, t) + \frac{\hbar^2}{24} \frac{\partial^3 \Phi(x)}{\partial x_i \partial x_j \partial x_k} \frac{\partial^3 w(x, p, t)}{\partial p_i \partial p_j \partial p_k}.
\]

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