Alternative stacking sequences in hexagonal boron nitride

S Matt Gilbert, Thang Pham, Mehmet Dogan, Sehoon Oh, Brian Shevitski, Gabe Schum, Stanley Liu, Peter Ercius, Shaul Aloni, Marvin L Cohen and Alex Zettl

1 Department of Physics, University of California at Berkeley, Berkeley, CA 94720, United States of America
2 Materials Sciences Division, Lawrence Berkeley National Laboratory, Berkeley, CA 94720, United States of America
3 Berkeley and the Lawrence Berkeley National Laboratory, Kavli Energy NanoScience Institute at the University of California, Berkeley, CA 94720, United States of America
4 Department of Materials Science and Engineering, University of California at Berkeley, Berkeley, CA 94720, United States of America
5 Molecular Foundry, Lawrence Berkeley National Laboratory, Berkeley, CA 94720, United States of America
6 Author to whom any correspondence should be addressed.

E-mail: azettl@berkeley.edu

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Abstract
The relative orientation of successive sheets, i.e. the stacking sequence, in layered 2D materials is central to the electronic, thermal, and mechanical properties of the material. Often different stacking sequences have comparable cohesive energy, leading to alternative stable crystal structures. Here we theoretically and experimentally explore different stacking sequences in the van der Waals bonded material hexagonal boron nitride (h-BN). We examine the total energy, electronic bandgap, and dielectric response tensor for five distinct high symmetry stacking sequences for both bulk and bilayer forms of h-BN. Two sequences, the generally assumed AA′ sequence and the relatively unknown (for h-BN) AB (Bernal) sequence, are predicted to have comparably low energy. We present a scalable modified chemical vapor deposition method that produces large flakes of virtually pure AB stacked h-BN; this new material complements the generally available AA′ stacked h-BN.

Introduction
In recent years there has been a dramatic resurgence in interest in van der Waals bonded layered materials, including graphite, boron-nitride, and transition metal dichalcogenides [1, 2]. These materials display strong intraplane (typically covalent) bonding and weak van der Waals interplane bonding, which facilitates exfoliation into mono-layer or few-layer forms, and further allows custom stack-ups or laminations of sheets with different chemical composition or crystallographic orientation [1–8]. Even for a material composed of identical sheets, the stacking order of the successive sheets or layers, which may be translationally and/or rotationally shifted, can profoundly influence the overall physical properties [9–12]. For example, for naturally occurring graphite the usual stacking sequence is Bernal (AB) stacking, but rhombohedral (ABC) stacking is also possible, which has a completely different electronic band structure [13, 14].

Hexagonal boron nitride (h-BN) is structurally very similar to graphite, with successively stacked (and van der Waals bonded) sheets of hexagonally arranged sp2-bonded boron and nitrogen [5]. However, unlike graphite, h-BN is purely synthetic, with a wide electronic band gap (hence the nickname ‘white graphite’) [15–17]. Virtually all synthesis methods for h-BN lead to an AA′ stacking sequence, where atoms in one layer all lie directly above atoms in the next layer [4, 18–20]. Successive layers are rotated such that all nitrogens lie above borons, and all borons lie above nitrogens [20]. Potential alternative stacking sequences for h-BN are of great theoretical and experimental interest.

Here we explore these alternative h-BN stacking sequences. We employ density functional theory (DFT) to determine the total energy, electronic band structure, and dielectric tensor elements for five different high-symmetry h-BN stackings. We find that Bernal (AB) stacked h-BN has a total energy comparable to, and indeed a bit lower than, that for conventional AA′ stacked h-BN. This suggests that Bernal...
stacked h-BN should be stable. We present a modified low-pressure chemical vapor deposition (LP-CVD) method that reliably produces large flakes of few-layer Bernal stacked h-BN. This new form of h-BN is characterized by electron diffraction and atomic-resolution transmission electron microscopy measurements.

**h-BN stacking sequences**

Figure 1 schematically shows five high-symmetry stacking sequence possibilities for h-BN. Boron and nitrogen atoms are indicated in gold and blue, respectively. In each schematic, only two superposed atomic sheets or layers are shown, and for visual clarity atoms in the top layer have been drawn slightly smaller than atoms in the bottom layer. Because there is no nomenclature consensus in the literature for all of these stacking sequences, we here adopt a naming convention where 'prime' denotes a 60° rotation, and the letters A and B are used in the standard way as for graphite.

AA is the simplest stacking sequence; here the atoms in consecutive layers exactly align (B on B, and N on N). AA′ is obtained from AA by rotating every other layer by 60° and aligning the hexagons (B on N). AB is obtained from AA by shifting every other layer, as demonstrated by the red arrow in the upper right panel of the figure, which yields a structure where half of the B atoms align with half of the N atoms, and the remaining half of the atoms align with the centers of the hexagons in the neighboring layers. Shifting every second layer in AA′, as demonstrated by the red arrows in the lower right two panels of figure 1, yields AB1′ and AB2′. In AB1′ (AB2′), all the B (N) atoms are aligned, and all the N (B) atoms align with the centers of the hexagons in the neighboring layers. Applying to AA the lateral shift that we use to obtain AB2′ from AA′ yields an equivalent structure to AB; therefore, there are a total of five physically distinct structures that preserve the three-fold symmetry. We reiterate that AB stacking, also called Bernal stacking, is the stacking sequence most prevalent in naturally-occurring crystalline graphite [21], while virtually all h-BN produced today and used in laboratories worldwide has the stacking sequence AA′ [4, 15, 18, 20].

**Theoretical results**

Using DFT, we explore the total cohesive energy, electronic band structure, and dielectric response tensor for the infinite crystal (bulk) and bilayer forms of h-BN, in the five physically distinct stacking sequences presented in figure 1. Similar calculations for total energy and band structure have been published previously by various other groups; unfortunately since the results are highly sensitive to the computational details such as the exchange-correlation functional and the van der Waals scheme, they yield inconsistencies [22–27].

Table 1 presents the total energies of bulk and bilayer h-BN in the different stacking sequences, as computed in DFT. We take the AA′ stacking to be the reference and set its energy to zero. We also include the results from previous studies for comparison. We find AB to be the ground state (i.e. it has the lowest overall energy) for both bulk and bilayer BN. However, AA′ is quite close in energy (3 meV per unit cell higher for bulk). AB1′ is next closest in energy (8 meV per unit cell.

![Figure 1](image-url)
This hierarchy is consistent with some reports in the literature [24, 27] but at odds with others [22, 25]. We also find that AA and AB2', while close to each other in energy, are significantly higher in energy than the other three stackings. This can be understood by simple arguments such as those suggested in [11]. Aligning negatively charged nitrogen atoms with large electron clouds causes a repulsive Pauli interaction between the layers, increasing the total energies and the interlayer distances to 3.64 Å for AA and 3.54 Å for AB2' (from 3.33 Å for AA', AB and AB1'). We note that these two high-energy stackings are also energetically unstable, i.e. they do not correspond to local energy minima in the configuration landscape and relax to one of the stable configurations when the atoms are slightly perturbed.

Table 1. Computed total energies of the five high-symmetry stackings of hexagonal BN in its bulk and bilayer forms. In the present work, we use DFT with the Perdew–Burke–Ernzerhof generalized gradient approximation (PBE GGA) [36] and norm-conserving pseudopotentials. To include the interlayer van der Waals (vdW) interactions, we have used the Tkatchenko–Scheffler (TS) correction as described in the supplement. Energies are referenced to the commonly known AA' stacking, and are in meV per unit cell (two B and two N atoms).

| Energy (meV per cell) Method | AA | AA' | AB | AB1' | AB2' |
|----------------------------|----|-----|----|------|------|
| **bulk**                   | Present GGA + TS vdW | 48  | ≡0 | −3   | 5    | 42   |
| Liu et al [23]             | LDA | 56  | 0  | 0    | 8    | 48   |
| Ooi et al [22]             | LDA | 51  | 0  | 6    | 25   | 48   |
| Hu et al [24]              | LDA | 52  | 0  | −2   | 6    | 47   |
| Constantinescu et al [25]  | LMP2| 80  | 0  | 3    | 25   | 82   |
| **bilayer**                | Present GGA + TS vdW | 21  | ≡0 | −2   | 2    | 18   |
| Riberio and Peres [26]     | GGA | 13  | 0  | 0    | 3    | 10   |
| Constantinescu et al [25]  | LMP2| 40  | 0  | 0    | 9    | 33   |
| Fujimoto and Saito [27]    | LDA | 24  | 0  | −2   | 2    | 22   |

Figure 2 shows our band structure results for h-BN bilayers (assumed suspended in vacuum). The zeros of the energy levels are set to the vacuum level for each structure so that there is a common reference between the plots. For additional insight we have projected the Kohn–Sham wavefunctions onto the atomic orbitals for each point along the bands we have computed. The resulting atomic orbital characteristics are designated by red (blue) coloring for boron (nitrogen) $n = 2$ (2s & 2p) orbitals. We observe that the top of the valence band mostly consists of nitrogen $n = 2$ orbitals, and the bottom of the conduction band mostly consists of boron $n = 2$ orbitals, which verifies the ionic character of the intralayer bonds in h-BN, and is consistent with a large-gap insulator.

We summarize the band gaps we have obtained for h-BN in its bulk and bilayer forms in table 2. Due to the underestimation of band gaps in DFT, the values tabulated are expected to be much lower than experimental measurements, which are in the 4–7 eV range [22]. However, the relative values of the computed gaps can be expected to be mostly accurate. For instance, in [24, 28], the bandgaps are computed with and without a GW correction, respectively, and it is found that the GW correction adds about 1.6 eV to the value of each gap. We also expect the shapes of the bands to be correctly predicted by DFT; therefore, the predictions as to whether a band gap is direct or indirect are reliable. We find that both AA' and AB have indirect gaps. However, the AB structure allows an optical transition at the K point with an energy only 1% larger than the band gap. This is a key feature distinguishing the electronic structure of AB stacking from AA' stacking in h-BN.
The response is described by the dielectric response dominates. In this case, the dielectric tensor can be thought of as stationary, and the electronic response, for high-frequency electric field response, is denoted as \( \varepsilon \) (which we compute via the \( \varepsilon \) = \varepsilon_{\infty}, for high and low frequency, respectively. In the Born–Oppenheimer approximation, for high-frequency electric field response, the nuclei can be thought of as stationary, and the electronic response dominates. In this case, the dielectric response is described by \( \varepsilon_{\infty} \), which we compute via the density functional perturbation theory \[29\]. For low-frequency electric-field response, \( \varepsilon_{\infty} \), we compute the relaxed-ion dielectric tensor, following the methodology proposed by \[30\].

We find that the dielectric tensor values for AA', AB and AB1' are very close, and larger than the values for AA and AB2' by 0.2–0.4. This simple trend can be understood as a result of the difference in the interlayer distance between these two groups of stackings. In the former group, which has smaller interlayer distances, the dielectric material simply occupies a larger portion of the space, leading to a larger dielectric tensor. Our results for the relaxed-ion tensors indicate that the response of the nuclei to a finite electric field does not significantly depend on the stacking, further emphasizing the weakness of the interlayer ionic interactions. We have also compared our results with \[31\] for the AA' stacking, which, to our knowledge, is the only available calculation of these values in the literature. Our results are in good agreement for the in-plane dielectric constant values, and in reasonable agreement for the out-of-plane values. For the \( \varepsilon \) values in the bilayer case, we have carefully corrected for the existence of vacuum in our simulations by applying the combined capacitor rules, as described in \[31\]. For the slab thickness we have used twice the value of the interlayer distance in each stacking.

**Motivation for experiment**

The total energy calculation results presented in table 1 suggest that of the 5 high symmetry stacking sequences of h-BN, AA and AB2' structures are likely highly unstable. The remaining three sequences, AA', AB, and AB1' are relatively close in energy, with AB representing the ground state. The original synthesis of h-BN by Balmain in 1842 \[32, 33\] yielded material with AA' stacking, and this situation continues today: virtually all h-BN produced by all synthetic routes has virtually all h-BN produced by all synthetic routes has AA' stacking, which is the only available calculation of these values in the literature. Our results are in good agreement for the in-plane dielectric constant values, and in reasonable agreement for the out-of-plane values. For the \( \varepsilon \) values in the bilayer case, we have carefully corrected for the existence of vacuum in our simulations by applying the combined capacitor rules, as described in \[31\]. For the slab thickness we have used twice the value of the interlayer distance in each stacking.

**Table 2.** Electronic band gaps for hexagonal BN in its five high-symmetry stackings, listed for the bulk and bilayer cases. Indirect and direct band gaps are denoted by ‘(i)’ and ‘(d)’, respectively.

| Band gap (eV) | Method | AA | AA' | AB | AB1' | AB2' |
|--------------|--------|----|-----|----|------|------|
| bulk         | Present| GGA + TS vdW | 3.7248 (d) | 4.2932 (i) | 4.3751 (i) | 3.6470 (i) | 3.6245 (i) |
| Liu et al [23]| LDA    | 3.226 (i) | 4.027 (i) | 4.208 (i) | 3.395 (d) | 3.433 (i) |
| Ooi et al [22]| GGA    | 3.12 (d) | 4.28 (i) | 4.39 (i) | 3.66 (i) | 3.23 (i) |
| Hu et al [24]| LDA    | 3.352 (i) | 4.015 (i) | 4.202 (i) | 3.407 (i) | 3.369 (i) |
| Hu et al [28]| GW     | 4.96 | 5.73 | 5.87 | 5.01 | 4.96 |
| bilayer      | Present| GGA + TS vdW | 4.1986 (d) | 4.5249 (i) | 4.5032 (i) | 4.1432 (d) | 4.2569 (i) |
| Riberio and Peres [26]| GGA | 4.23 | 4.69 | 4.60 | 4.29 | 4.52 |
| Fujimoto and Saito [27]| LDA | 4.05 (d) | 4.34 (i) | 4.36 (i) | 4.01 (d) | 4.08 (i) |

**Table 3.** The high frequency (clamped-ion) and low frequency (free-ion) dielectric tensor elements for the five high-symmetry stackings of hexagonal BN. The values are relative to vacuum permittivity \( \varepsilon = \varepsilon_{\infty} \).

|          | AA | AA' | AB | AB1' | AB2' | AA' (Laturia et al) [31] |
|----------|----|-----|----|------|------|-------------------------|
| \( \varepsilon_{\infty} \) | Bulk | 4.41 | 4.71 | 4.80 | 4.78 | 4.49 | 4.98 |
|          | Bilayer | 4.40 | 4.68 | 4.74 | 4.75 | 4.43 | 4.97 |
| \( \varepsilon_{\infty} \) | Bulk | 6.58 | 6.88 | 6.96 | 6.95 | 6.53 | 6.93 |
|          | Bilayer | 6.41 | 6.87 | 6.95 | 6.95 | 6.45 | 6.86 |
| \( \varepsilon_{\infty} \) | Bulk | 2.28 | 2.64 | 2.66 | 2.61 | 2.30 | 3.03 |
|          | Bilayer | 2.27 | 2.61 | 2.66 | 2.60 | 2.29 | 2.91 |
| \( \varepsilon_{\infty} \) | Bulk | 2.80 | 3.17 | 3.15 | 3.02 | 2.59 | 3.76 |
|          | Bilayer | 2.54 | 3.07 | 3.12 | 3.00 | 2.57 | 3.44 |
motivated to develop a viable synthetic route for the reliable synthesis of AB-stacked h-BN, a new form of 2D insulator.

**Experimental results**

We employ LP-CVD on a transition metal surface to select the stacking growth sequence of h-BN. We find that the growth can be tuned from conventional AA′ growth to exclusively Bernal AB growth, on both Cu and Fe substrates. High-resolution transmission electron microscopy (HRTEM) and selected area electron diffraction (SAED) are used to reveal the Bernal-stacking of the resulting multilayer flakes.

Figure S1 (stacks.iop.org/TDM/6/021006/mmedia) shows the furnace setup used for the synthesis. Similar to [17] and our previous work [38, 39], we employ a two-zone heating approach in which the gaseous thermal decomposition products of solid ammonia-borane (heated at 70 °C–90 °C in region $T_0$ in figure S1) react to form h-BN on a transition metal catalyst (heated at at 1025 °C in region $T_1$ in figure S1). Our synthesis method for Bernal-stacked h-BN differs from previous ammonia-borane based LP-CVD growths of h-BN in its combination of relatively low precursor temperatures (75 °C–85 °C) and high hydrogen gas flows (100–200 sccm) [17, 37, 40, 41]. Additional details on the growth are available in the supplementary methods section.

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Figures 3(a) and (b) show two representative Scanning Electron Microscope (SEM) images of few-layer h-BN flakes grown on Fe via the LP-CVD method....
The concentric triangular shape and 40+ $\mu$m size of the flakes are representative of those grown over much of the entire metal substrate, with an average total substrate coverage by h-BN of approximately 50%–90% depending on the growth conditions.

The stacking sequence of the h-BN flakes is examined by SAED and HRTEM. SAED has been demonstrated as an effective means to characterize the stacking of graphene [42–44]. By comparing the intensity of the first-order ($(\pm 100)$, $(0 \pm 10)$, and $(\pm 1 \pm 10)$) and second-order ($(\pm 2 \mp 10)$, $(0 \pm 10)$, and $(\pm 1 \mp 20)$) diffraction peaks, the stacking of the layers can be deduced.

Figure 3(c) shows an SAED pattern of an h-BN flake originally grown via LP-CVD on an Fe substrate. The ratio of the intensities of the first-order (010) and second-order (110) diffraction peaks is ~0.3 (figure S2). We simulate the diffraction patterns of the stable bulk configurations of h-BN with AA’ and AB stackings using the Single Crystal software package (as shown in figure S2) and compare with our measured values. Although ABC-stacked rhombohedral BN does not belong to the h-BN class, we also simulate the diffraction patterns for it to rule out this stacking in our material [45, 46]. The experimental first-order to second-order ratio of ~0.3 is consistent with the simulated ratio of 0.28 for AB stacked h-BN while the simulation of AA’ yields a ratio of 1.1 and ABC yields a ratio of 0. These ratios of intensities are comparable to results in graphene [44]. This result indicates that the as grown h-BN is either AB, AB1’, or AB2’ stacked, as they possess similar intensity ratios.

To differentiate between these stackings, we perform HRTEM on a bilayer region of material. Figures 3(d) and (e) show aberration corrected HRTEM focal series reconstruction acquired at 80 kV.
(described in the methods section of the supplement) of two nearby mixed monolayer/bilayer regions of the multilayer h-BN as cropped from the full image shown in figure S3. The red dashed triangle denotes the boundary between the bilayer and monolayer/vacuum regions that were formed due to electron irradiation in the TEM.

The atomic positions in each region are deduced from figures 3(d) and (e) and are sketched in figure 3(f). Based on the atomic positions as sketched, the two layers are AB stacked (see figure 1). We deduce the atomic positions shown in figure 3(f) by assuming that all edge-atoms of the triangular holes are N as is well-reported in the literature at ambient conditions [20, 38, 47–50]. We thereby determine the position of all other atoms in both layers of the bilayer area accordingly, as illustrated by the ball-and-stick model in figure 3(f).

We note that the monolayer regions in figures 3(d) and (e) are two different layers. The regions shown in figures 3(d) and (e) were close enough to be captured in the same HR-TEM (figure S3) image allowing us to directly correlate their structures. The atoms of the monolayer in figure 3(d), which align with the referenced yellow line connecting bright contrast spots running through the entire bilayer area (the bright contrast spots are attributed to the overlapping of two atoms in the top and bottom layers), are all B. On the other hand, the atoms of the monolayer, which also align with the referenced yellow line as indicated in figure 3(e), are all N. As the result, these monolayer regions reside in different layers. More importantly, one layer translates with respect to the other by a single atomic bond length (1.4 Å) [20] along the yellow reference line. This translation reflects AB (Bernal) stacking as shown in figure 1. This is the first conclusive observation to our knowledge of non-overlapping vacancies in two separate layers of a 2D material.

The electron diffraction and HR-TEM results as shown in figure 3 are representative across 100% of our samples grown on both iron and copper using this synthesis process (except in regions where multiple flakes overlap at their edge resulting in twisted multilayers at the interface). Moreover, we do not observe any high-symmetry orientation other than AB stacking anywhere in our samples.

In order to elucidate the growth mechanism for Bernal-stacked h-BN, we explore the role of this increase in hydrogen to elucidate the growth mechanism for Bernal-stacked h-BN. Figure 4(a) shows SEM images of four h-BN samples grown on Cu with varying hydrogen gas flows (low 20 sccm, high 200 sccm) and growth time (20 min and 1 h). In figures 4(a)(iii)–(iv), low hydrogen flows, when combined with low precursor temperatures, lead to monolayer h-BN domains that merge into continuous films with additional growth time. While some small regions of ad-layer h-BN are present (white dots or triangles within the larger, darker h-BN monolayer triangle), the multilayer regions do not appear to grow with increased times once the film fully covers the catalyst (figure S4).

Conversely, for higher hydrogen flows the crystals quickly reach their final lateral size and then ultimately do not merge into films as shown in figures 4(a) (i)–(ii). For short growth times (20 min), the triangular h-BN domains grown with high hydrogen flow (figure 4(a)(i)) are slightly smaller in comparison to those with low hydrogen flow (figure 4(a)(iii)), but these smaller crystals have a higher coverage of multilayered ad-layers. As the growth time is increased, the h-BN crystals grown with high hydrogen-flow do not significantly increase in size, but the size and coverage of multilayers increases as shown in figure 4(a)(ii).

We attribute the preference towards forming multilayer flakes rather than continuous monolayer films to the etching properties of the hydrogen gas. Hydrogen gas is known to etch the edges of h-BN domains at high temperatures [40, 51]. Therefore, by utilizing a high hydrogen flow as in figures 4(a)(iii–iv), the edges of the h-BN flakes are etched throughout the growth, and the domains are prevented from merging into films after reaching their equilibrium lateral size. While both the low and high hydrogen flow case lead to ad-layer formation, it appears their growth is halted once a continuous film has formed (figure S4).

Based on this observation, we propose an underlayer growth model for Bernal-stacked h-BN, as sketched in figure 4(b). This type of mechanism has been previously described for multilayer graphene and h-BN [52–55]. In our LP-CVD process, low flows of precursor gas and high flows of hydrogen cause the edges of the h-BN domains to be continuously etched. This prevents the formation of a full coverage layer and allows the gaseous precursor to access the transition metal catalyst for the entire length of our procedure. The precursor gas can thereby adsorb onto or dissolve into the metal and form ad-layers below the first layer.

It has been previously reported that an h-BN layer grown on metal foil by CVD will have its orientation uniquely determined by the local configuration of the catalyst at the nucleation site of the h-BN [56]. Since each layer of h-BN grows directly on the catalyst in our process, its rotation can be controlled by the metal. Generally, we find that the layers of our Bernal-stacked h-BN grow as aligned triangles with a common centroid; this suggests the layers all form at a common nucleation point, forcing each layer to align to the metal. This mechanism results in aligned (0° interlayer rotation) layers that relax into the AB ground state by interlayer sliding on the order of 1 Å at the elevated growth temperatures. This process also therefore excludes the stable AA’ and AB1’ stackings which have anti-aligned (60° interlayer rotation) layered structures, as shown in figure 1. We therefore suggest that our relatively high hydrogen gas flows allow successive h-BN layers to form below the existing layers where they align to the metal resulting in Bernal-stacked h-BN. Since this process relies on the metal only to
serve as a catalyst and alignment template, other metal catalysts used for h-BN CVD beyond Fe and Cu (such as Ni, Pt, Rh, or Ir) may also support the growth of Bernal stacked h-BN [56–59].

We note that this growth mechanism suggests that we could grow heterostructures of different materials with aligned stacking or single crystals with arbitrary twist angles by similar processes. By changing the precursor during the growth, the composition of the under-layer could be changed. By inducing nucleation at the grain boundaries of a polycrystalline metals, the orientation of the under-layer could be tuned.

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