Nanoparticle functionalised small-core suspended-core fibre – a novel platform for efficient sensing

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Abstract: Detecting small quantities of specific target molecules is of major importance within bioanalytics for efficient disease diagnostics. One promising sensing approach relies on combining plasmonically-active waveguides with microfluidics yielding an easy-to-use sensing platform. Here we introduce suspended-core fibres containing immobilised plasmonic nanoparticles surrounding the guiding core as a concept for an entirely integrated optofluidic platform for efficient refractive index sensing. Due to the extremely small optical core and the large adjacent microfluidic channels, over two orders of magnitude of nanoparticle coverage densities have been accessed with millimetre-long sample lengths showing refractive index sensitivities of 170 nm/RIU for aqueous analytes where the fibre interior is functionalised by gold nanospheres. Our concept represents a fully integrated optofluidic sensing system demanding small sample volumes and allowing for real-time analyte monitoring, both of which are highly relevant within invasive bioanalytics, particularly within molecular disease diagnostics and environmental science.

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1. Introduction

The non-invasive detection of life-threatening disease is a major challenge in biomedicine, since it requires identifying pathogens of extremely low concentration or even on the single molecular level [1–3]. One promising detection strategy providing such sensitivity and selectivity, without the necessity of molecular labelling, relies on attaching molecular probes to metallic nanoparticles (NPs) [4]. The electron ensemble of such NPs is collectively excited by an external electromagnetic wave at a characteristic wavelength, creating localised surface plasmon resonances (LSPRs) [5]. Functionalising NPs with specific molecular probes then allows detection of molecular binding events via a macroscopic change of the plasmonic resonance [6–9]. Many of the currently used plasmonic devices rely on planar fabrication technology, i.e. planar integrated photonic structures, examples of which include nanohole arrays, nanochannel flow-through sensing, and hybrid optical cavities [10–13]. Despite their success, these devices can show limited device performance due to short light-matter interaction lengths, complex and inefficient launching schemes, and intrinsically high optical loss.

An alternative approach places plasmonic NPs into the evanescent fields of the modes of optical fibres [14,15]. Fibre platforms in general offer profound advantages particularly with respect to bioanalytical application such as: spectral and spatial multiplexing, flexible handling, precise control of modal properties, and the potential for in-vivo applications [16–18]. So far almost all fibre-integrated plasmonic sensors involve multi- or single-mode optical tapers which have been coated either with continuous metallic nanofilms [19,20] or plasmonic NPs [21–23]. Although these systems have displayed refractive index (RI) sensing capabilities, the NPs are located on the outer surface of the taper, yielding non-integrated and delicate-to-handle devices with further disadvantages including the requirement for large analyte volumes with only a fraction used for the actual sensing.

An important class of novel optical fibres, possessing great potential within the field of bioanalytics, are suspended-core fibres (SCFs) (Fig. 1) [24,25]. These microstructured fibres contain axial air channels which extend along the entire fibre length and have diameters of several tens of micrometres. Typically three or four of these channels are arranged such to form a central micrometre-size glass core resembling the geometry of a step index fibre, with the propagating mode deeply penetrating into the channel via its evanescent field. These fibres are particularly attractive from the bioanalytical perspective, as they allow real-time probing of liquid analytes flowing through the channel regions by using the light propagating inside the fibre core. Promising experiments indicate successful application of analytics in SCFs, examples of which include sensing of ionic liquid [24,26] and dynamic detection of
trans/cis isomerisation processes [27]. First attempts to integrate small metallic NPs into SCFs have been recently conducted [28–30], wherein the LSPRs were probed perpendicular to the fibre axis, which does not exploit the advantage of the fibre geometry.

Here we show that a nanoparticle-functionalised suspended core fibre can act as a highly integrated optofluidic platform for efficient RI sensing. Due to the small core diameter, we obtain strong interaction of plasmon and guided mode, allowing us to access two orders of magnitude of nanoparticle densities at moderate sample lengths. The measured sensitivity is of the same order compared to particles in solution, making this monolithic system highly attractive for applications within bioanalytics [31].

Fig. 1. (a) Schematic of the plasmonic nanoparticle-functionalised suspended-core fibre. (b) Scanning-electron micrograph (SEM) of the microstructured section of the investigated fibre. Grey areas correspond to silica glass, the black regions to air. The dashed cyan circle highlights the core section relevant for the interaction between light and localised surface plasmons.

2. Working principle

The fundamental working principle of NP-enhanced SCFs relies on the interaction of the propagating guided mode with the LSPR of the NPs, whereby the characteristics of the plasmonic resonance are impressed on the spectral distribution of the transmitted light. Therefore a spectral shift of the LSPR imposed by, for instance, a change of the nanoscale RI environment of the NP, will be visible in the modal attenuation spectrum.

The modal attenuation can be calculated by a line integration of the area of the mode along the surface of the SCF core, taking into account the extinction cross section and the density of the NPs [21,32], leading to

$$\gamma = N \sigma \int \frac{A_{eff}}{A_{core}} dl$$

with the number density of the NPs and the extinction cross section, $N$ and $\sigma$, respectively, and the effective modal scattering area on the surface $A_{eff}$. The latter quantity is defined by

$$A_{eff}(r) = \frac{\int S_x(\vec{r}) dA}{S_x(r_0)}$$

with the axial Poynting vector of the guided mode $S_x(\vec{r})$ and the position of the NP on the surface of the core ($r_0$). This parameter is essential for analysing NP scattering on waveguide systems, with the ratio $f = \sigma / A_{eff}$ referring to the fraction of power removed from the propagating mode by one single NP scattering event. Consequently, detecting objects with small extinction cross sections or investigating systems with low NP densities generally requires small core sizes to obtain sufficiently low values of $A_{eff}$.

Using finite element simulation we calculated the spectral distribution of $A_{eff}$ for the SCF fibre geometry investigated here (Fig. 1b) and compared it to a cylindrical silica taper in water with a diameter based on the definition given in Ref [24,33]. It is assumed that the NP is located 1 nm above the glass surface in one hole of the SCF (Fig. 2). Smaller values of $A_{eff}$ are
achieved at longer wavelength due to the larger field modal penetration into the analyte (Fig. 2), suggesting that the optimal operating regime of such kind of sensor device is at longer wavelengths.

![Graph showing spectral distributions of the effective modal scattering areas.](image)

Fig. 2. Comparison of the spectral distributions of the effective modal scattering areas for the 2.8 µm diameter suspended-core fibre investigated here (green curve) and a circular silica taper embedded in water with a diameter of 3.62 µm (purple curve). The dashed and solid lines refer to air or water as analyte medium, respectively. It is assumed that the particle is located 1 nm above the glass surface as indicated by the red dots in the sketches.

Theoretically, a single spherical gold NP of diameter 34 nm at resonance in water (550 nm, $\sigma = 2 \cdot 10^{-3} \mu m^2$) would impose a comparably small reduction of guided power of $f = 1.62 \cdot 10^{-7}$ ($A_{\text{eff}} = 120 \mu m^2$), whereas for instance a 1µm-diameter polymer bead ($\sigma = 1.5 \mu m^2$) reduces the guided power by as much as 1.2%. The latter shows that such small core systems provide a sufficient platform to detect single microparticles [34]. It is interesting to note that the effective modal scattering area of the SCF is almost a factor of three smaller than that of a corresponding taper when using the core diameter definition of Ref [33], emphasising the excellent performance of the SCF platform with regard to sensing.

Equation (1) allows the estimation of the relative change of modal attenuation when exchanging the analyte medium. Simulations of extinction cross section (using the NPs considered here) and modal scattering area reveal an increase of $\sigma$ upon changing the analyte from air to water, whereas a higher analyte RI imposes a decrease in $A_{\text{eff}}$ which is more pronounced at shorter wavelengths (Fig. 2). To obtain a rough estimate of the change of the attenuation, we chose here $\lambda = 700$ nm, which is sufficiently distant from the plasmon resonance. Using the parameters at that wavelength ($\sigma_{\text{air}} = 0.012$ NPs/µm$^2$, $\sigma_{\text{water}} = 0.038$ NPs/µm$^2$, $A_{\text{eff,water}} = 80 \mu m^2$, $A_{\text{eff,air}} = 230 \mu m^2$), a change of modal attenuation of $\Delta \gamma = \gamma_{\text{water}} / \gamma_{\text{air}} = 0.11$ is calculated, implying that the modal attenuation is about a factor 9 higher in case of an aqueous analyte compared to the situation where the cladding medium is air ($\gamma_{\text{water}} > \gamma_{\text{air}}$).

3. Fibre geometry

The suspended fibre geometry used here consists of three air channels with diameters of several tens of micrometres surrounding a triangular silica core (Figs. 1(a) and 1(b)). The fabrication of this type of fibre relies on stacking three capillaries into a silica jacketing tube and drawing this arrangement into fibre. The diameter of the core section is approximately 2.8 µm (based on the definition given in Ref [24,33]), which is a small value compared to typically used NP functionalised fibre systems (e.g., tapers) [7,23]. The core section is suspended by three struts of approximate thickness 500 nm. The holes adjacent to the core
have shapes close to that of 120° circular section with an area of approximately 1,500 µm², which is of the same area as the channels in typical microfluidic devices [35].

Fig. 3. (a) Density of the nanospheres inside the suspended-core fibre as a function of the concentration ratio of APTES and PROSI (pink points) used. The solid line is a parabolic fitting curve (parameters given in the text). The right-handed images ((b)-(g)) are SEM images of the various achieved densities inside one hole of the respective suspended-core fibre: (b) 2 NPs/µm²; (c) 8 NPs/µm²; (d) 22 NPs/µm²; (e) 30 NPs/µm²; (f) 180 NPs/µm²; (g) 500 NPs/µm². The scale bars in (b) to (f) correspond to 200 nm and in (g) to 100 nm.

4. Fabrication – NP deposition

The various NP densities (Figs. 3(b)-3(g)) were realised using a nanoparticle layer deposition (NLD) technique as implemented in Refs [28,29]. This is a dynamic low-pressure chemical deposition based on self-assembled monolayers, using amino modification of the interior channel surfaces as adhesive layers for the NPs.

The empty SCF is connected to a peristaltic pump with PVC tubes and microfluidic adaptors for the delivery and exchange of liquids. Following the cleaning and activation of the interior silica surface, the subsequent silane modification involves the perfusion of a ratio of bonding and nonbonding silane volumes, namely (3-Aminopropyl)triethoxysilane (APTES, containing amino group) and n-propyltriethoxysilane (PROSI) respectively (Sigma-Aldrich), whereby the actual volume ratio of APTES and PROSI, \( \Delta c \), allows control of the NP density inside the channels. The NP solution (Au Nanospheres 34 nm, fabricated by Turkevich-Frens Method [36,37]) is then introduced into the functionalised channels with a low flow rate, allowing the immobilisation of NPs along the entire SCF length.

Up to 6 m coverages of NPs inside the SCF, with homogeneous nanoparticle density (confirmed by taking short sample sections from along the length of the deposited fibre and SEM imaging the pieces’ interiors at their end faces), have been achieved independent of the curvature of the channels. The obtained homogeneity compares well with a recently presented deposition approach, over 50cm, relying on directly synthesizing Rh-NPs at the inner walls of a hollow-core optical fibre through reduction of metallic precursor in a gaseous atmosphere [38]. The resulting dependence of NP density on APTES/PROSI ratio yields a curve fit, \( N = A + B \log_{10} \Delta c + C \left( \log_{10} \Delta c \right)^2 \) with the constants \( A = 179.95 \) NPs/µm², \( B = 78.00 \) NPs/µm² and \( C = 8.41 \) NPs/µm², allowing a guideline for tailored density depositions.
5. Experimental

The experimental setup used herein consists of a broadband transmission setup, including an optofluidic sample holder for the simultaneous excitation of the core mode and analyte exchange within the microstructured channels (Fig. 4).

The broadband light (450 nm to 2.4 µm) emitted from a supercontinuum source (SuperK COMPACT, NKT Photonics) passes a beam splitter and the front window of the optofluidic mount and is launched into the fibre core with a high-NA objective (Nikon TU Plan Fluor, 100x objective, NA 0.9). The output mode is either coupled into an optical spectrum analyzer (OSA) or imaged onto a combination of a camera and a narrow bandpass filter to select the wavelength to be imaged. To ensure optimal launching conditions, the spot of the input beam on the fibre front facet is imaged onto a camera using various lenses and a Köhler illumination. This setup ensures excitation of a clean fundamental mode in the SCF for all relevant wavelengths (modal images at selected wavelengths are shown on the right-handed side of Fig. 4).

The modal attenuation has been determined by applying the cutback technique on each of the NP-functionalised fibre samples when the channels are filled with air. Using the aforementioned setup without the optofluidic mount (Fig. 4, configuration A), the fibre end-facet was repeatedly cleaved and the corresponding spectra recorded (typically 4-5 measurements). Due to the high scattering induced by the NPs and the limited dynamic range of the OSA, cutback measurements were performed on short sample lengths, typically starting...
at 1 cm and ending 2 mm. Fibre samples with lower densities allowed longer working lengths (up to 9 cm) however the greater plasmonic effect was observed for higher densities.

To determine the RI sensitivity of the deposited SCFs, a sample with a NP density of 22 NPs/µm² was chosen, which represents a compromise between strong plasmon/waveguide mode interaction (i.e., short samples) and an reasonable sample length of about 1-2 cm. Using the abovementioned setup (Fig. 4, configuration B) the SCF was flushed with different RI oils (Oil series AAA, Cargille Laboratories) using a pressurised syringe pump. To avoid difficulties (i.e, sample breakage) involved during mounting and flushing a sample of such short length, an uncoated delivery SCF was butt-coupled to the NP-functionalised sample, with the joining point sealed within a 140 µm capillary while optimising the output mode and transmission. The empty SCF end was then fixed within the input optofluidic mount, ensuring stable and reproducible launching conditions with no significant increase of the overall modal attenuation. The individual RI oil was pumped through the fluidic holder and fibre system until all air bubbles (detectable on camera) were eliminated. A coverslip at the output end of the NP-fibre ensured a flat transmission face, which is essential for efficiently coupling the light into the OSA. Between each spectral series, the system was rinsed (pump and suction) repeatedly with ethanol and air bubbles induced, in order to eliminate remnants of the previous analyte.

![Diagram](image)

Fig. 5. (a) Measured spectral distribution of the attenuation of the fundamental core mode in the nanoparticle-functionalised suspended-core fibre (microchannels filled with air). The various curves correspond to different nanoparticle densities (most dark green: 8 NPs/µm²; dark green: 22 NPs/µm²; green: 180 NPs/µm²; light green: 500 NPs/µm²). (b) Extinction spectrum of the used nanoparticle ensemble in the case where the nanoparticles are probed transverse to the fibre axis in water (bulk measurement, N = 180 NPs/µm²). (c) Modal attenuation at the most prominent attenuation peak of the individual attenuation curve in (a) as function of nanoparticle density.

### 6. Result

The spectral distribution of the modal attenuation of the NP-functionalised SCF shows a strong increase in the spectral vicinity of the bulk LSPR (Figs. 5(a) and 5(b)). As suggested by Eq. (1) an increasing NP density leads to increasing modal attenuation (Fig. 5(c)), whereas due to the small core diameter both extremely small NP densities (2 NPs/µm²) as well as very high coverages of up to 500 NPs/µm² can be accessed with our device. Particularly for the two highest coverage densities the average inter-particle edge-to-edge distance (gap size) g is of the order of several tens of nanometres only (180 NPs/µm² – g = 40 nm, 500 NPs/µm² – g = 10 nm, Figs. 3(f) and 3(g)) which allows the LSPRs of neighbouring NPs to interact, overall imposing that the linear dependency between density and attenuation defined in Eq. (1) does not hold (Fig. 5(c)). The samples with the two highest densities show pronounced double peak
features, which presumably arise from both the interaction of neighbouring particles (i.e., plasmonic hybridization) and the polarisation dependence of the scattering process, since the dominant electric field component of the guided mode is different at the various NP locations along the core surface. As shown in [39] the coupling of adjacent particles causes a red shift for in-plane electric fields and a blue shift for out-of-plane fields with closer NPs imposing a stronger shift as confirmed in the experiment presented here.

The RI sensitivity measurements, which have been conducted by pumping various RI oils (series AAA, Cargille Laboratories) into the microchannels of the SCF, show an increase of the wavelength of the transmission dip for higher analyte indices (Fig. 6), which is a result of the shift of the LSPR of the NPs towards longer wavelength (inset of Fig. 6, details of the sensing experiments can be found in the Experimental section (Sec. 5)). Linear fitting of the data points yields a RI sensitivity of 167 nm/RIU, which is within the typically range of sensitivity values of spherical plasmonic NPs [40] and is about 1.5 times higher than the corresponding value when the LSPR are probed transverse to the fibre axis (inset of Fig. 6).

7. Conclusion

The specific detection of target species is of major importance within bioanalytics, in particular from the perspective of disease diagnostics. One promising method is to sense molecular binding events via the shift of plasmonic resonances of metallic nanoparticles, which strongly respond to changes in the nanoscale refractive index environment. Ideally this approach combines a monolithic microfluidic platform with an optical waveguide [41–45], where the nanoparticles should be located as close as possible to the guiding core.

Here we introduce the concept of suspended-core fibres with immobilised nanoparticles as an integrated optofluidic platform for efficient refractive index sensing over two orders of magnitude of nanoparticle density. Due to the small guiding core and the microfluidic
channels being in direct contact with that core over a distance of several centimetres, intense interaction of localised plasmons and propagating mode is observed, allowing us to investigate sparse particle ensembles as well as ensembles with extremely high densities. Furthermore, the direct immobilisation of nanoparticles allows for a reusable sensing system.

The core, which to our knowledge has the smallest diameter being used for NPs immobilisation so far, is fully encapsulated inside the fibre and supported by radial strands, leading to superior handling properties compared to freely-suspended waveguide systems such as tapers. The refractive index sensitivity of our sensor when functionalised with gold nanospheres of average diameter 34 nm was measured as 170 nm/RIU for an aqueous analyte, that being 1.5 times higher compared to measurements taken perpendicular to the fibre axis (transverse configuration, upper left-hand inset in Fig. 6) and to sensitivity values of similar NPs dispersed in water [46].

Due to the small core sizes and the integrated arrangement of optical core and microfluidic channels our fibre represents a fully integrated optofluidic sensing system requiring only small sample volumes and allowing for real-time monitoring of the analyte. Therefore we anticipate application of our concept within the area of non-invasive bioanalytics, particular within molecular disease diagnostics and environmental science.

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