Optimal Design of Integrated Energy Supply System for Continuous Greenhouse Effect: A Study on Carbon Emission and Operational Cost

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The cultivation of crops in greenhouses is well established in China. However, the greenhouse climate is complex, rendering it difficult to analyze the greenhouse load and control the energy supply system. It is important to ensure the optimal design of greenhouse operation to enable optimal crop growth and maintain low operation costs for improving the greenhouse crop production efficiency and economic benefits. To reduce the energy consumption, we propose a new integrated energy supply system. This system uses a combined cooling, heating and power system, and an air source heat pump.

A two-stage integrated optimization model of the integrated energy supply system was built, with "minimum average daily economic cost" and "maximum CO2 emission reduction rate (ERR)" as the objectives in the first stage. The characteristics of the countryside were taken into consideration for optimizing the capacity of the combined cooling, heating and power supply, energy storage and air source heat pump. In the second stage, the objectives were "maximum annual operating saving rate (OSR)" , "maximum CO2 emission reduction rate", and "maximum primary energy saving rate (PESR)". The capacity of the equipment designed in the first stage is used as the constraint to optimize the operating output of the combined cooling, heating and power supply, air source heat pump. Finally, a greenhouse is used as a prototype to carry out simulation calculations for two typical days in summer and winter to verify the effectiveness of this method. The simulation results showed that after the first stage of optimization, the average daily operating cost was significantly lower and the CO2 emission rate was reduced by 49.53%. After the second stage, annual operating saving rate, CO2 emission reduction rate, and primary energy saving rate were 39.51, 48.88, and 27.57% for a typical summer day and 52.96, 50.18, and 50.13% for a typical winter day, respectively.

Therefore, compared to the existing energy supply systems, the integrated energy supply system and the integrated optimization design of the system could effectively avoid energy wastage, significantly improve the degree of match between the system design and operation, reduce operating cost, and reduce CO2 emission.

Keywords: greenhouse, combined cooling heating and power (CCHP), energyplus, two-stage optimization design, C-NSGA-II, integrated energy supply system (IESS)
1 INTRODUCTION

Energy shortages and environmental pollution are serious global concerns. Energy saving, emission reduction, development of renewable energy, and distribution of energy supply systems are the need of modern economies. In a modern agronomic facility with high efficiency and high energy consumption, efficient regulation of greenhouses is an inevitable requirement for high crop yield and quality. However, greenhouse regulation is energy-dependent, for which energy consumption is generally high (Vadée and Martin, 2013). In addition to the characteristics of greenhouses, such as strong coupling, nonlinearity, and strong perturbation (Lin et al., 2019), excessive energy consumption in greenhouses is a major factor that hinders their development (Ahmad et al., 2021). Unlike that in ordinary buildings, each parameter in the greenhouse environment has a significant influence on the entire greenhouse. The greenhouse climate is a nonlinear dynamic system whose temperature depends on several factors such as solar radiation, outdoor temperature, wind speed, and the type of plants cultivated (Jung et al., 2020). In addition, the greenhouse structure and the operation of internal energy supply equipment influences the greenhouse climate significantly (Lin et al., 2020). Therefore, it is extremely difficult to perform load analysis for greenhouses. To reduce the energy consumption in greenhouse operations, optimal design of greenhouse energy consumption and equipment operation is necessary. This could effectively improve the energy efficiency of agricultural greenhouses, while reducing the cost of greenhouse operation and shortening the energy recovery cycle.

To address the difficulties in greenhouse load analysis, we propose a load analysis method for greenhouse energy supply, using an integrated energy supply system (IESS). The IESS consists of a combined cooling, heating, and power (CCHP) system and an ASHP. Combining a CCHP system with an ASHP enables building a highly efficient energy system that provides greater flexibility in meeting the load requirement (Wegener et al., 2021). The IESS contains different types of energy conversion units, which can provide three forms of energy simultaneously: cooling, heating, and electricity. In addition, it regulates its own operation with a high degree of flexibility to mitigate external disturbances in the face of multiple external factors.

The CCHP system can improve energy efficiency significantly. The system structure is flexible and diverse; therefore, it can simultaneously reduce the emission of pollutants effectively. The CCHP system has wide applications; it improves the overall performance of the system and simultaneously increases the complexity of the relationship between the system structure and energy. Therefore, optimized design of the system and the accurate matching of energy supply and demand are important prerequisites for the efficient operation of CCHP system. This has to account for the changes in the cooling, heating, and electric loads and the actual demand of users. To match the energy demand and improve the performance of CCHP systems, Wegener et al. (2018) and Gao et al. (2019) proposed a system design and optimization method for a CCHP system. For the optimal design of a CCHP system, Ren et al. (2021) proposed a hybrid CCHP system, which consisted of a gas turbine, absorption chiller, photovoltaic (PV) panel, and Battery. Song et al. (2020a) investigated the optimal configuration for a hybrid CCHP system and studied the corresponding optimal economic and energy performance, using a multi-objective optimization model. Wang et al. (2020) determined the capacity configuration of a CCHP system that provided the best integrated performance and quantitatively evaluated the sustainability index of the system. Yan et al. (2021) proposed a multi-objective optimization method for optimizing the capacity configuration of a CCHP system. Tian et al. (2018) proposed a decoupling method to decompose the energy, and a matrix optimization model to optimize the capacity of the CCHP system. Liu et al. (2013) optimized the capacity configuration of power generator unit (PGU) to achieve the optimal performance of the CCHP system. Ghersi et al. (2021) proposed a new operation strategy for the CCHP system and optimized its capacity configuration using a multi-objective optimization algorithm. Wang et al. (2014) added biomass gas to the CCHP system and used a genetic algorithm to optimize the capacity of the system. Kang et al. (2021) constructed a multi-objective optimization model and optimized capacity configurations for four types of building CCHP systems.

However, in the process of capacity configuration, the accessibility to renewable energy deepens the complexity of the CCHP system multi-energy flow and introduces several random factors into the operation strategy. This overturns the traditional operation mode of the CCHP system and creates difficulty in source-load matching. This further deepens the coupling between the capacity configuration and operation strategy of the system. For the operational design of the CCHP system, Li et al. (2018) adopted the traditional operation modes of the following electrical load (FEL) and following thermal load (FTL). The system was considered economical for developing an optimal and cooperative strategy for an integrated energy system comprising multiple energy stations and energy storage devices. Kang et al. (2017) and Das et al. (2018) investigated the system performance under FEL and FTL; these two operation strategies could not fully utilize the system advantages for meeting the various demands. Therefore, there is a need for an optimal operation model that is based on specific parameters such as time-of-day tariffs and energy prices, which are combined with load forecasting to achieve work mode switching. Ren et al. (2021) and Gheresi et al. (2021) used multi-objective optimization algorithms to optimize the design of the CCHP system operation strategy. They developed the Pareto Frontier solution applied in the multi-objective optimization model, considering the economic, energy, and environmental performances of the model. Li et al. (2020) proposed a CCHP system operation strategy that followed the thermoelectric load balance. Considering the interaction between the capacity configuration and operation strategy, Ma et al. (2017) took seasonality into account in the equipment operation strategy. They analyzed the capacity configuration and operation strategy of the equipment in the system using a multi-objective optimization method. Song et al. (2020b) modeled the CCHP system and the sub-supply system separately, and optimized them for an optimal capacity...
configuration and operation strategy. This results in further deepening of the coupling between the capacity configuration and operation strategy of the core equipment of the CCHP system. Therefore, the traditional CCHP system optimization design method can no longer cope with the requirements of renewable energy characteristics and variable operating conditions. Further studies on the two-stage optimization design of the equipment capacity and operation strategy of the renewable energy IESS, are required.

To address these issues, we proposed a two-stage optimal design method for the IESS capacity configuration and operation strategy based on C-NSGA-II. In the first stage, we optimized the capacity configuration of the core equipment of the designed IESS. In the second stage, we used the optimized design results as constraints, to further optimize the operation strategy of the designed core equipment. Therefore, a two-stage interaction mechanism is established between the system capacity configuration and operation strategy, while improving the efficiency and comprehensive performance of the IESS.

This method establishes an interactive feedback between the two stages and builds a two-stage optimization design architecture that can optimize the systems’ capacity and operation. EnergyPlus can calculate the building’s cooling and heating loads, by analyzing the physical composition of the building and the mechanical system. This can be used to maintain the indoor room temperature through the heating, ventilation, and air conditioning (HVAC) system. We first simulated the energy consumption of the greenhouse’s cooling, heating, and electric loads using EnergyPlus. We used the simulated load data as the input for the CCHP system. Based on this, we constructed a two-stage optimization model of the IESS; in the first stage, the optimization objectives of “minimum average daily economic cost” and “maximum CO₂ emission reduction rate” were established based on the results of the second stage optimization design. The C-NSGA-II algorithm was used to optimize the capacity configuration of the core equipment in the IESS. In the second stage, the optimization objectives of “maximum annual operation saving rate,” “maximum CO₂ emission reduction rate,” and “maximum primary energy saving rate” were established. The C-NSGA-II algorithm was used to further optimize the operation strategy of the core equipment, using the capacity of the equipment optimized in the first stage as the constraint. Finally, a prototype greenhouse was used as a case study to evaluate the advantages of the method. The simulation results indicated that our two-stage method for the optimization of IESS effectively avoided the wastage of resources, reduced the cost, and lowered the CO₂ emissions.

This study uses an innovative approach to improve the functioning of greenhouses. High energy consumption and difficulty in load analysis in the greenhouses is attributed to the challenges in controlling the energy supply system. Therefore, we constructed an integrated energy supply system consisting of CCHP, and ASHP to overcome these challenges.

The introduction of indirect renewable energy in the CCHP system has deepened the coupling between the capacity configuration and operation strategy of the integrated energy supply system. Therefore, we proposed a two-stage optimization for the integrated energy supply system. In the first stage, the optimal capacity of the integrated energy supply system was designed considering the optimization results of the second stage. In the second stage, the optimal capacity of the equipment in the first stage was used as the constraint, for optimizing the design of the optimal operation strategy of the integrated energy supply system. The synergistic optimization in the two stages results in a higher degree of coherence between the system design and operation, greater economy, and energy efficiency.

The remainder of this paper is structured as follows. Section 2 presents the structure of the constructed integrated energy supply system and the models of each device in the system. Section 3 presents the specific principles and methods for the two-stage optimization design of the integrated energy supply system, including the optimization objectives, optimization variables, constraints, and optimization algorithms for each stage. In Section 4, a simulation case study is conducted with a greenhouse as a prototype to verify the effectiveness of the proposed integrated energy supply system and its optimization design method. Finally, in Section 5, the research methods and results of this paper are summarized.

2 SYSTEM STRUCTURE AND ENERGY SUPPLY EQUIPMENT MODEL

The CCHP system is an energy system with low environmental impact and high efficiency. Compared to the conventional energy systems, CCHP systems can achieve sustainable energy savings, typically 10–30%. Therefore, using these systems can significantly reduce CO₂ emissions (Song et al., 2020), which is an ideal way for energy conservation and emission reduction. They also ensure the efficient use of new energy sources, and is therefore, highly promising. This study has considered the aspects of rural resource endowment and has built an integrated energy supply system based on CCHP, distributed new energy generation, and ASHP devices.

2.1 Analysis of Integrated Energy Supply System for Agriculture

The CCHP system makes full use of the “energy-ladder” principle by recovering waste heat for power generation, cooling, and heating, simultaneously. A high-quality CCHP system can achieve a global efficiency of 42% ensuring an efficient integrated supply of heating and cooling electric energy (Lombardo et al., 2021). The structure diagram of the IESS system built based on CCHP is shown in Figure 1.

The system consists of three parts: power supply, cooling, and heating, which are explained below:

The power supply consists of an internal combustion engine (ICE), wind turbine (WT), and PV. The electric energy produced by the system supplies electricity to the users and the system itself, and the system was “grid-connected”; therefore, it could purchase electricity from the grid when the produced electricity was...
The energy was fed back into the grid when there was an excess of electricity generated (Li et al., 2021).

The heating system includes the waste heat generated by the ICE-consuming biomass gas, gas boiler, and ASHP. The ICE generated cylinder liner water waste heat and flue gas waste heat during operation. These were recovered using a cylinder liner water heat exchanger and flue gas heat exchanger, respectively. The recovered waste heat was partly used to operate the absorption chiller for cooling and partly to supply heating to the customers. The ASHP was used for heating during winter. When the waste heat generated by the ICE and the heating provided by the ASHP were insufficient to meet the demand of the customers, the gas boiler was used for auxiliary heating. In cases when excessive heat was available, the thermal storage equipment stored the excess heat from the gas boiler and the waste heat recovery equipment.

The cooling system consists of two parts: an absorption chiller and an ASHP. The cooling load of users is mainly provided by the absorption chillers. The ASHP fulfills the cooling load.

### 2.1.1 Core Equipment Model of Agricultural Energy Supply System

#### 2.1.2 Wind Turbine (WT)

The relationship between wind power characteristics, mainly output power and wind speed, can be expressed as follows (Zhang et al., 2020):

\[
P_{\text{WT}}(t) = \begin{cases} 
0, & v(t) \leq v_{\text{in}} \text{ or } v(t) \geq v_{\text{out}} \\
\frac{v(t)^3 - v_{\text{in}}^3}{v_{\text{r}}^3 - v_{\text{in}}^3} P_r, & v_{\text{in}} \leq v(t) \leq v_{\text{r}} \\
P_r, & v_r \leq v(t) \leq v_{\text{out}} 
\end{cases}
\]  

where \(P_{\text{WT}}(t)\) is the output power of WT at time \(t\), \(P_r\) is the rated power of WT, \(v(t)\) is the actual outdoor wind speed at time \(t\), \(v_r\) is the rated wind speed of WT, \(v_{\text{in}}\) is the cut-in wind speed of WT, and \(v_{\text{out}}\) is the cut-out wind speed of WT.

#### 2.1.2.1 Photovoltaic (PV) Output Power

The variation in the PV output power, with respect to the solar radiation and temperature, can be expressed as follows:

\[
P_{\text{PV}}(t) = \frac{P_{\text{STC}}I(t)[1 + k(T_{\text{PV}}(t) - T_r)]}{I_{\text{STC}}}
\]

where \(P_{\text{PV}}(t)\) is the output power of PV at time \(t\), \(I(t)\) is the solar radiation at time \(t\), \(P_{\text{STC}}\) is the maximum test power under standard test conditions (\(I_{\text{STC}} = 1000W/m^2\), \(T_r = 25^\circ C\)), \(k\) is the power temperature coefficient, and \(T_{\text{PV}}(t)\) is the temperature of PV at time \(t\), which was estimated using the test ambient temperature. \(T_{\text{PV}}(t)\) was calculated using the following equation:

\[
T_{\text{PV}}(t) = T_0(t) + 0.03I(t)
\]

where, \(T_0(t)\) is the outdoor temperature at time \(t\).
2.1.2.2 Internal Combustion Engine (ICE)

The operating conditions of the internal combustion generator set are complex and changeable.

The mathematical model of the ICE can be expressed as follows (Yan et al., 2018):

\[
\begin{align*}
G_{ICE}(t) &= \frac{E_{ICE}(t)}{\eta_{pe}(t)\eta_{eff}(t)} \\
G_{ICE}(t)(1 - \eta_{pe}(t)) &= Q_{sw}(t) + Q_{exh}(t) + Q_{loss}(t) \\
Q_{re}(t) &= Q_{sw}(t)\eta_{pe}(t) + Q_{exh}(t)\eta_{eff}(t)
\end{align*}
\]  
\tag{4}

where, \( G_{ICE}(t) \) is the amount of biomass gas consumed by the ICE at time \( t \), \( E_{ICE}(t) \) is the amount of electricity generated by ICE at time \( t \); \( \eta_{pe}(t) \) is the efficiency of electricity generation at time \( t \) affected by PLR; \( \eta_{eff}(t) \) is the efficiency of heat generation at time \( t \) affected by PLR; \( Q_{sw}(t) \) is the recovered heating of ICE at time \( t \), \( Q_{sw}(t) \) is the waste heating of the cylinder sleeve water at time \( t \); \( \eta_{pe}(t) \) is the efficiency of the cylinder sleeve water heating exchanger at time \( t \), \( Q_{exh}(t) \) is the waste heating of the flue gas heating exchanger at time \( t \), and \( \eta_{eff}(t) \) is the efficiency of the flue gas heating exchanger at time \( t \), \( Q_{loss}(t) \) is the lost heating at time \( t \).

2.1.2.3 Biomass Gas Boiler

The mathematical model of the gas boiler can be expressed as follows (Jia et al., 2021):

\[
Q_{b}(t) = F_{b}(t)L_{NG}\eta_{b}
\]  
\tag{5}

where \( Q_{b}(t) \) is the heat capacity of the gas boiler at time \( t \), \( F_{b}(t) \) is the biomass gas volume of the gas boiler at time \( t \), \( L_{NG} \) is the biogas gas caloric value, and \( \eta_{b} \) is the heating efficiency of the gas boiler.

2.1.2.4 Absorption Chiller

The mathematical model of absorption chiller can be expressed as follows (Zhao et al., 2021):

\[
Q_{ac}(t) = Q_{ac,in}(t)\text{COP}_{ac}
\]  
\tag{6}

where \( Q_{ac}(t) \) is the cooling capacity of the absorption chiller at time \( t \), \( Q_{ac,in}(t) \) is the input heating of the absorption chiller at time \( t \), and \( \text{COP}_{ac} \) is the energy efficiency rate of the absorption chiller.

2.1.2.5 ASHP

\[
\begin{align*}
Q_{hp,h}(t) &= E_{hp,h}(t)\text{COP}_{hp,h} \\
Q_{hp,c}(t) &= E_{hp,c}(t)\text{COP}_{hp,c}
\end{align*}
\]  
\tag{7}

where \( Q_{hp,h}(t) \) is the heat capacity of the ASHP at time \( t \), \( Q_{hp,c}(t) \) is the cooling capacity of the ASHP at time \( t \), \( E_{hp,h}(t) \) is the power consumption of the ASHP (when heating) at time \( t \), \( E_{hp,c}(t) \) is the power consumption of the ASHP (when cooling) at time \( t \), \( \text{COP}_{hp,h} \) is the heating energy efficiency rate, and \( \text{COP}_{hp,c} \) is the cooling energy efficiency rate.

2.1.2.6 Battery

Notably, in the process of charging and discharging, the state of energy storage at each moment is related to the state of energy storage at the previous moment, amount of charging and discharging at the moment, and amount of power decay of the energy storage capacity of the system, which can be expressed as follows:

\[
E_{BT}(t) = E_{BT}(t - 1) + \left[ \mu_{\text{charge}}P_{BT,\text{charge}}(t) - \frac{\mu_{\text{discharge}}}{\eta_{discharge}}P_{BT,\text{discharge}}(t) \right] \Delta t
\]  
\tag{9}

where \( E_{BT}(t) \) is the remaining capacity of the battery at time \( t \), \( E_{BT}(t-1) \) is the remaining capacity of the Battery at time \( t-1 \), and \( P_{BT,\text{charge}}(t) \) and \( P_{BT,\text{discharge}}(t) \) are the average power of the battery charging and discharging, respectively, at time \( t \). \( H_{\text{charge}} \) and \( \eta_{discharge} \) are the charging and discharging efficiencies of the battery, respectively; \( \mu_{\text{charge}} = 1 \) in the storage charging state and 0 in the non-charging state, and \( \mu_{\text{discharge}} = 1 \) in the storage discharging state and 0 in the non-discharging state.

2.1.3 Energy Flow Analysis of Agricultural Greenhouse Energy Supply System

The electric balance of the agricultural greenhouse energy supply system at moment \( t \) can be expressed as follows:

\[
E_{ICE}(t) + E_{PV}(t) + E_{WT}(t) + E_{grid}(t) + E_{BT,\text{discharge}}(t) = E_{L}(t) + E_{BT,\text{charge}}(t) + E_{hp}(t)
\]  
\tag{10}

where \( E_{PV}(t) \) is the power generation of the PV panel at time \( t \), \( E_{WT}(t) \) is the power generation of the WT at time \( t \), \( E_{grid}(t) \) is the system-grid interaction at time \( t \), \( E_{L}(t) \) is the electric load required by the user at time \( t \), and \( E_{BT,\text{charge}}(t) \) and \( E_{BT,\text{discharge}}(t) \) are the production and energy storage of the battery at time \( t \), respectively.

The heating balance of the agricultural greenhouse energy supply system at moment \( t \) can be expressed as follows:

\[
Q_{re}(t) + Q_{b}(t) + Q_{hp,h}(t) = Q_{l,L}(t) + \frac{Q_{ac}(t)}{\text{COP}_{ac}}
\]  
\tag{11}

where \( Q_{l,L}(t) \) is the heating load required by the user at time \( t \), and the other components are the same as that expressed in the earlier equation.

The cooling balance of the agricultural greenhouse energy supply system at time \( t \) can be expressed as follows:

\[
Q_{ac}(t) + Q_{hp,c}(t) = Q_{c,L}(t)
\]  
\tag{12}

where \( Q_{c,L}(t) \) is the cooling load required by the user at time \( t \).

3 TWO-STAGE OPTIMIZATION OF AGRICULTURAL GREENHOUSE ENERGY SUPPLY SYSTEM

The introduction of renewable energy renders the structure of the IESS more complex; the coupling relationship between the capacity configuration and operation strategy of the core equipment is further deepened. In this study, we analyzed the...
relationship logic between capacity configuration and operation strategy. We adopted a two-stage method for optimizing the capacity configuration and operation strategy of the system. In the second stage, the capacity configuration obtained from the first-stage was used as the constraint for the operation and strategy optimization design; the interaction between the two-stage design was realized, economic and environmental indicators were selected as the optimization targets, and a two-stage optimization model was established. The logical relationship between the two stages is shown in Figure 2.

3.1 System Capacity Configuration Optimization Model

3.1.1 Optimization Objectives

3.1.1.1 Economic Indicators

Economy is a prerequisite for the large-scale application of renewable energy IESS; reducing the costs and improving the economy are critical for promoting the new system. Therefore, in the first-stage of the optimization model, we considered the minimum average daily economic cost of the system as one of the optimization objectives, which can be expressed as follows:

$$
\min C_r = C_{cap} + \frac{1}{N_d} \sum_{i} N_i C_{i,var}
$$

(13)

where $C_r$ is the average daily economic cost of the system; $C_{i,var}$ is the daily operating cost of each equipment of the system, such as the cost of biomass gas consumption, cost of purchased electricity, and operation and maintenance cost of each equipment. Their calculation is expressed in the second stage; $N_d$ is the number of typical days, and $C_{cap}$ is the daily acquisition cost of each piece of equipment of the system converted by the conversion factor, which can be expressed as follows:

$$
C_{cap} = \frac{1}{365} \sum_{i} N_i r C_{i,v} r (1 + r)^{T_i} (1 + r)^{T_i} - 1
$$

(14)

where $N_i$ is the capacity of the $i$th equipment, $C_{i,v}$ is the unit acquisition cost of the $i$th equipment, $r$ is the conversion factor (8%), and $T_i$ is the lifespan of the $i$th equipment.

3.1.1.2 Environmental Indicators

CO$_2$ is the main cause of the greenhouse effect; therefore, the maximum CO$_2$ emissions reduction rate was considered as another optimization objective. The CO$_2$ emissions during the operation cycle of the IESS were calculated, using the equation given below:

$$
CO_2 E_{IESS} = \mu_g G_{gas} + \mu_e E_{grid}
$$

(15)

where $CO_2 E_{IESS}$ is the total CO$_2$ emission of the IESS, $\mu_g$ and $\mu_e$ are the CO$_2$ emission factors of biomass gas and grid generation, respectively, and $G_{gas}$ is the amount of biomass gas consumed by the IESS, which is numerically equal to the sum of biomass gas consumed by the ICE and gas boiler.
The energy supply of the existing greenhouse setup is mainly purchased from the grid; therefore, its CO₂ emissions can be expressed as follows:

\[ CO_2E = E_t \mu_t \]  \hspace{1cm} (16)

where, \( CO_2E \) is the CO₂ emissions of existing energy supply system.

The emission reduction potential of the IESS relative to that of the existing energy supply system can be further evaluated by defining the ERR of the IESS, which can be expressed as follows (Wen et al., 2022):

\[
\max F_{ERR} = \frac{CO_2E - CO_2E_{IESS}}{CO_2E} \]  \hspace{1cm} (17)

where, \( F_{ERR} \) is the maximum CO₂ emission reduction rate.

### 3.1.1.3 Constraints

WT and PV are important renewable energy generation systems. Their capacity has a great impact on the overall performance of the integrated energy supply system. ICE is the core power equipment of the integrated energy supply system. The capacity of biomass gas boiler, absorption chiller and other equipment is determined by the ICE. ASHP is an important link between cooling and heating, the capacity size affects the degree of matching system operation. Battery is the main energy storage equipment of the integrated energy supply system; it can play the role of peak load shifting. Therefore, the capacity of WT, PV, ICE, ASHP, and battery are selected as the optimization variables in the first stage. The system operation needs to meet certain capacity limits; therefore, there was a range of constraints on the capacity configuration of the system equipment. Notably, the optimization objectives were required to meet the following constraints, as shown below:

\[
0 < N_{WT} < N_{WT,max} \]  \hspace{1cm} (18)

\[
0 < N_{PV} < N_{PV,max} \]  \hspace{1cm} (19)

\[
0 < N_{ICE} < N_{ICE,max} \]  \hspace{1cm} (20)

\[
0 < N_{hp,h} < N_{hp,h,max} \]  \hspace{1cm} (21)

\[
0 < N_{hp,c} < N_{hp,c,max} \]  \hspace{1cm} (22)

\[
N_{BT,min} \leq N_{BT} \leq N_{BT,max} \]  \hspace{1cm} (23)

where \( N_{WT}, N_{PV}, N_{ICE} \) and \( N_{BT} \) are the configured capacities of WT, PV, ICE, and battery, respectively. \( N_{hp,h} \) and \( N_{hp,c} \) are the configured capacities of the ASHP for heating and cooling, respectively. \( N_{PV,max}, N_{ICE,max} \) and \( N_{BT,max} \) are the maximum capacities of the PV, ICE, and battery, respectively. \( N_{hp,h,max} \) and \( N_{hp,c,max} \) are the maximum capacities of the ASHP for heating and cooling, respectively. and \( N_{BT,min} \) is the minimum capacity of battery.

### 3.2 System Operation Strategy Optimization Model

#### 3.2.1 Optimization Objectives

##### 3.2.1.1 Economic Indicators

For the second stage of optimization, we first considered the economy of the system. The maximum annual operation saving rate of the system was one of the optimization objectives. It mainly includes the cost of electricity purchased from the grid, cost of biomass gas consumption, and maintenance cost of each equipment. The total annual operation saving rate of the IESS, compared to that of the existing energy supply system of the greenhouse, was calculated using the equations given below:

\[
\max C_{OSR} = \frac{\sum_{t=1}^{8760} C(t) - \sum_{t=1}^{8760} C_{IESS}(t)}{\sum_{t=1}^{8760} C(t)} \]  \hspace{1cm} (24)

where \( C(t) \) is the cost of the existing greenhouse energy supply system at time \( t \), and \( C_{IESS}(t) \) is the cost of the IESS at time \( t \).

\[
C_{IESS} = \sum_{t=1}^{8760} \left( C_{grid}(t) + C_{gas}(t) + C_{com}(t) \right) \]  \hspace{1cm} (25)

where \( C_{grid}(t) \) is the cost of electricity purchased from the grid at time \( t \), \( C_{gas}(t) \) is the cost of biomass gas consumed by the system at time \( t \), and \( C_{com}(t) \) is the operation and maintenance cost of the system equipment at time \( t \). The costs were calculated using the equations given below:

\[
C_{grid}(t) = c_{grid}(t)P_{grid}(t) \]  \hspace{1cm} (26)

\[
C_{gas}(t) = c_{gas}(t)G_{ICE}(t) + F_b(t) \]  \hspace{1cm} (27)

\[
C_{com}(t) = \sum_{i=1}^{N} c_iP_i(t) \]  \hspace{1cm} (28)

where \( c_{grid}(t) \) and \( c_{gas}(t) \) are the grid electric price and biomass gas unit price purchased from the grid at time \( t \), respectively. \( C_i \) is the operation and maintenance cost of the \( i \)-th equipment, \( P_i(t) \) is the \( i \)-th equipment in the system operating power at moment \( t \), and \( P_{grid}(t) \) is the power purchased from the grid at moment \( t \).

#### 3.2.1.2 Environmental Indicators

Similar to that in the first stage of optimization, during the second stage of optimization, we considered the emissions of the pollutant gases during system operation. In this study, the maximum ERR of the system was the optimization objective, and its expression was consistent with that in the first stage.

#### 3.2.1.3 Energy-Saving Indicators

The primary energy consumed by the system was estimated using the following equations:

\[
G_{IESS}(t) = G_{ice,IESS}(t) + G_b(t) + G_{ICE}(t) \]  \hspace{1cm} (29)

\[
\begin{aligned}
G_{ice,IESS}(t) &= \frac{E_{grid}(t)}{\eta_{grid}} \\
G_b(t) &= F_b(t) \\
G_{ICE}(t) &= F_{ICE}(t)
\end{aligned} \]  \hspace{1cm} (30)

where \( G_{IESS}(t) \) is the primary energy consumed by the system at time \( t \); \( G_{ice,IESS}(t) \) is the converted energy consumption of the IESS interacting with the grid at time \( t \); \( G_b(t) \) is the amount of biomass gas consumed by the gas boiler of the IESS at time \( t \); \( G_{ICE}(t) \) is the amount of biomass gas consumed by the gas generator at time \( t \), and \( \eta_{grid} \) is the power plant generation efficiency, and \( \eta_{grid} \) is the grid transmission efficiency.
Energy-saving indicators are important indicators for evaluating the primary energy use of a system. The primary energy saving rate is given below:

\[
F_{\text{PESR}} = \sum_{t=1}^{8760} \frac{E_L(t) + Q_{c,L}(t) + Q_{h,L}(t)}{G_{\text{IESS}}(t)}
\]  

(31)

### 3.2.2 Constraints

The ICE is the source of power generation and heat production in the integrated energy supply system. Therefore, it is the key to determining whether the whole system operates efficiently and is an important link between cooling and heating. A reasonable hour-by-hour operation strategy of the ASHP can match the cooling and heating loads more reasonably and improve the efficiency of the integrated energy supply system. The battery is the main energy storage device; it can be designed to further improve the effect of peak load shifting. Therefore, for the second stage, the hour-by-hour operation of the ICE, ASHP, and battery were selected as the optimization variables. The constraints of the optimization variables are shown as follows.

\[
0 < E_{\text{ICE}} < N_{\text{ICE}}
\]  

(32)

\[
0 < Q_{\text{hp,h}} < N_{\text{hp,h}}
\]  

(33)

\[
0 < Q_{\text{hp,c}} < N_{\text{hp,c}}
\]  

(34)

\[
E_{\text{BT, min}} \leq E_{\text{BT}} \leq E_{\text{BT, max}}
\]  

(35)

\[
P_{\text{BT, charge, min}} \leq P_{\text{BT, charge}} \leq P_{\text{BT, charge, max}}
\]  

(36)

\[
P_{\text{BT, discharge, min}} \leq P_{\text{BT, discharge}} \leq P_{\text{BT, discharge, max}}
\]  

(37)

where \( E_{\text{BT, min}} \) and \( E_{\text{BT, max}} \) are the upper and lower limits of the energy storage state of the battery, respectively; \( P_{\text{BT, charge, min}} \) and \( P_{\text{BT, charge, max}} \) are the upper and lower limits of the battery charging, \( P_{\text{BT, discharge, min}} \) and \( P_{\text{BT, discharge, max}} \) are the upper and lower limits of the battery discharging.

### 3.3 Optimization Algorithm

In case of the system multi-objective optimization problem, pure mathematical analysis is limited by the objective function; therefore, it has limited use. In case of the IESS operation strategy problem, the objective function and the system model have nonlinear and discrete characteristics. In this study, we observed a strong coupling between the two stages, which was difficult to solve using traditional analytical methods. In addition, the optimization model had some limitations. Therefore, a hybrid algorithm of non-dominated ranking genetic algorithm and multi-objective particle swarm optimization
(C-NSGA-II) was used to solve the multi-objective optimization model. It combines chaotic mapping, multi-objective genetic algorithm (NSGA-II), and multi-objective particle swarm algorithm (MOPSO), with NSGA-II algorithm as the main body. It uses the traversal feature of chaotic mapping to initialize the population, and the speed and position update mode of MOPSO to reconstruct the variation operator, for improving the convergence speed of the algorithm. This could have a significant impact on the field of multi-objective optimization (Zhang et al., 2013).

4 CASE STUDY

In this study, the characteristics of a greenhouse were analyzed using a prototype of a continuous greenhouse with actual crops. The energy supply system was a wet curtain fan and a heat pump system. This often results in energy waste because it is difficult to control the wet curtain fan and heat pump. Therefore, we adopted the IESS for the energy supply and used a two-stage optimization design method for optimizing the energy consumption of the greenhouse.

4.1 Energy Simulation Software

In this study, energy consumption simulations for continuous greenhouses were performed using EnergyPlus, developed by the United States Department of Energy as a whole building energy simulation program based on a modular structure (Mazzeo et al., 2020). EnergyPlus has several modules that are integrated and solved simultaneously. It is a complete energy simulation software that requires a large amount of data input; and, it is time consuming to manually enter all the data. Therefore, to achieve a complete energy simulation, a 3D model of the greenhouse was created using SketchUp and OpenStudio, which allows designers to create intuitive ideas directly and is an excellent tool for creating 3D architectural design solutions. OpenStudio is a plug-in for SketchUp; together, they were used to build a geometric model of the building simulated by EnergyPlus. OpenStudio uses EnergyPlus to simulate the energy consumption of a building and provides it as a visual user interface for EnergyPlus.

4.2 Energy Simulation Data

The construction data of this continuous greenhouse are shown in Table 1. A 3D physical model of the continuous greenhouse, drawn using SketchUp and OpenStudio, is shown in Figure 3. After importing the physical model into EnergyPlus, the thermal properties, as well as the structural data, were assigned to its various parts.

The greenhouse cooling, heating, and electric load data were simulated using EnergyPlus, and the annual load data are shown in Figure 4. The solar radiation and temperature variation curves for the typical summer and typical winter days are shown in Figure 5A and Figure 5B, respectively. The greenhouse loads on typical summer and typical winter days are shown in Figure 6 and Figure 7, respectively.

Tomato was used as the crop; it requires a temperature range of 10–30°C for optimal growth. Temperatures higher than 35°C or lower than 10°C (Ro et al., 2021) affect the growth of tomatoes.
Therefore, the cooling temperature was set to 30°C and heating temperature was set to 15°C in EnergyPlus. Agricultural greenhouses can reach a maximum heating load of approximately 12,000 kW and maximum cooling load of approximately 17,500 kW, which is much higher than the heating and cooling loads of ordinary buildings (Figure 4). The radiation and temperature on a typical summer day were significantly higher than that on a typical winter day (Figure 5A and Figure 5B). Additionally, the load on a typical summer day was dominated by the cooling load, and the load on the typical winter day was dominated by the heating load (Figure 6, Figure 7).

### 4.3 System Optimization Parameters
The basic parameters of the agricultural greenhouse energy supply system are listed in Table 2 (Yan et al., 2018; Wegener et al., 2020). The cost and lifespan of each equipment in the agricultural greenhouse energy supply system are listed in Table 3 (Yan et al., 2018; Wegener et al., 2020).

### 5 ANALYSIS OF SIMULATION RESULTS
To demonstrate the improvements in the optimization method proposed in this study, we compared our method to the existing energy supply model of the greenhouse; we analyzed the advantages of our method in several aspects.

The IESS was used to supply energy to the greenhouse. The annual load simulation data were simulated using EnergyPlus, and then, used as the parameter input for the two-stage optimization. The set of Pareto feasible solutions optimized in the first-stage capacity configuration is shown in Figure 8. The optimized Pareto feasible solution sets for a typical summer day and typical winter day obtained in the second stage of the operation strategy are shown in Figure 9A and Figure 9B, respectively. The greenhouse load in summer is mostly generated at noon, which is the same time as the high power

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**Figure 6** | Greenhouse load on a typical summer day.

**Figure 7** | Greenhouse load on a typical winter day.

**Table 2** | Basic parameters of an agricultural greenhouse energy supply system equipment.

| Parameters                                      | Symbol | Value | Unit   |
|--------------------------------------------------|--------|-------|--------|
| Cut-in wind speed of WT                          | \(v_{in}\) | 3     | m/s    |
| Rated wind speed of WT                           | \(v_r\) | 12    | m/s    |
| Cut-out wind speed of WT                         | \(v_{out}\) | 25    | m/s    |
| Temperature coefficient of PV                    | \(k\) | -0.0045 |        |
| Heating efficiency of gas boiler                 | \(\eta\) | 0.8   | -      |
| Calorific value of biogas gas                    | \(L_{AC}\) | 9.7   | (kW h)/m³ |
| COP of absorption chiller                        | \(\text{COP}_{ac}\) | 0.9   | -      |
| Charging efficiency of Battery                   | \(\eta_{charge}\) | 0.95  | -      |
| Discharging efficiency of Battery                | \(\eta_{discharge}\) | 0.95  | -      |
| CO₂ emission factor of biomass gas               | \(\mu_g\) | 220   | g/(kW h) |
| CO₂ emission factor of grid                      | \(\mu_b\) | 968   | g/(kW h) |
| Price of electric                                | \(c_{grid}\) | 0.168 | $/(kW h) |
| (11:00-14:00,18:00-23:00)                        |        | 0.108 |        |
| (7:00-11:00,14:00-18:00)                         |        | 0.057 |        |
| (23:00-7:00)                                     |        | 0.057 |        |
| Unit price of biomass gas                        | \(c_{gas}\) | 0.34  | $/m³   |
| Conversion factor                                | \(r\) | 0.08  | -      |
| the heating energy efficiency rate                | \(\text{COP}_{hp,h}\) | 3.2   | -      |
| the cooling energy efficiency rate                | \(\text{COP}_{hp,c}\) | 3.2   | -      |
generation of PV generating units. The opposite is true in winter, and there is a clear difference between summer and winter based on the optimization results. When the C-NSGA-II algorithm is used to solve the non-dominated solution of the second-stage optimization objective, the constraints contain a large number of non-linear models and the state of charge (SOC) constraints on the energy storage. This results in a more scattered Pareto feasible solution for the summer optimization compared to that for the winter optimization. The results of the load distribution by equipment on a typical summer day are shown in Figure 10.

On a typical summer day (Figure 10A), the electric load and price of electricity were low, and the battery was used to store the electricity generated by the ICE, which could achieve peak load shifting. The cooling load demand was highest around 12:00 h on a typical summer day (Figure 10B). Owing to the lower cost of the gas boiler, absorption chillers mostly absorb heat from the gas boiler to provide the cooling load. The results of the load distribution by equipment on a typical winter day are shown in Figure 11.

On a typical winter day (Figure 11A, the required electric load was less, and the power generated by the ICE and PV was mainly stored in the storage equipment, to achieve the peak load shifting. On a typical winter day (as seen in Figure 11B), the heating load was low, and the heating load generated by the ICE and electric heating equipment was mainly stored in the storage equipment, to meet the high heating load during the rest of the day. The system optimization results are listed in Table 4.

Compared with the existing energy supply system of the greenhouse, the average daily economic cost of the CCHP was USD 5937.89 and the ERR was 49.53% after the first stage optimization design. After the second stage of optimization design, the total annual OSR, ERR, and PESR for a typical summer day was 39.51, 48.88, and 27.57%, respectively, and the OSR, ERR, and PESR for a typical winter day was 52.96, 50.18, and 50.13%, respectively. These data emphasize the unique

### Table 3 | Cost and lifespan of each equipment in an agricultural greenhouse energy supply system.

| Equipment               | Acquisition cost /($/kW) | Operation and maintenance costs /($/h) | Lifespan /year |
|-------------------------|--------------------------|----------------------------------------|----------------|
| WT                      | 770                      | 0.0028                                 | 20             |
| PV                      | 1,230                    | 0.0028                                 | 22             |
| ICE                     | 812                      | 0.16                                   | 10             |
| Battery                 | 300                      | 0.32                                   | 5              |
| Biomass gas boiler      | 46                       | 0.18                                   | 10             |
| ASHP                    | 508                      | Neglected                              | 20             |

Compared with the existing energy supply system of the greenhouse, the average daily economic cost of the CCHP was USD 5937.89 and the ERR was 49.53% after the first stage optimization design. After the second stage of optimization design, the total annual OSR, ERR, and PESR for a typical summer day was 39.51, 48.88, and 27.57%, respectively, and the OSR, ERR, and PESR for a typical winter day was 52.96, 50.18, and 50.13%, respectively. These data emphasize the unique
advantages of the two-stage method applied to the IESS for the optimization of energy consumption of the greenhouse. It can further reduce the comprehensive cost of the enterprise and improve the economic and environmental protection index of the system; therefore, the proposed method has practical applicability and advantages.

6 CONCLUSION

In this study, we propose a two-stage collaborative optimization design method for the integrated energy supply system of agricultural greenhouses. We have combined Energy Plus and MATLAB simulation software to enhance the matching of this

TABLE 4 | System optimization results.

| Indicators                        | Two-stage optimization results | summer typical day | winter typical day (%) |
|----------------------------------|-------------------------------|--------------------|------------------------|
|                                  |                               | 5,937.89 $         | 49.53%                 |
| The first stage                  | Average daily economic cost   |                    |                        |
|                                  | CO₂ emission reduction rate   |                    |                        |
| The second stage                 | Annual operation saving rate  | 39.51%             | 50.18%                 |
|                                  | CO₂ emission reduction rate   | 48.88%             | 50.13%                 |
|                                  | Primary energy saving rate    | 27.57%             | 50.13%                 |

FIGURE 10 | Results of load distribution by equipment on a typical summer day. The results of the load distribution by equipment on a typical summer day are shown in Figure. (A) shows the distribution of electrical load in typical summer day; (B) shows the distribution of cooling load in typical summer day.

FIGURE 11 | Results of load distribution by equipment on a typical winter day. The results of the load distribution by equipment on a typical winter day are shown in Figure. (A) shows the distribution of electrical load in typical winter day; (B) shows the distribution of heating load in typical winter day.
complex nonlinear system in different stages of design and operation. This improves the overall economy, saves energy, and offers environmental protection. We use a combination of the key equipment output optimization and dynamic adjustment of operating parameters, to make the system operation more suitable for the load characteristics of agricultural greenhouses and the use of a higher percentage of renewable energy.

Greenhouse energy supply systems are difficult to control, resulting in high greenhouse energy consumption and difficult load analysis. Therefore, we constructed an integrated energy supply system consisting of a CCHP and an ASHP. We used this system to supply energy to the greenhouse.

The use of a higher proportion of renewable energy deepens the coupling relationship between capacity configuration and operation strategy of the core equipment of the system. In addition, it becomes difficult to find the optimal operation strategy. Therefore, we proposed a two-stage synergistic optimization design method of integrated energy supply system, based on C-NSGA-II algorithm. This would optimize the design of capacity configuration and the operation strategy of the integrated energy supply system. In the first stage, the optimization objectives are “minimum average daily economic cost” and “maximum CO₂ emission reduction rate”. The optimal capacity of each equipment of the integrated energy supply system is optimized using the optimized design results in the second stage. In the second stage, the optimization objectives are “maximum annual operating saving rate”, “maximum CO₂ emission reduction rate”, and “maximum primary energy saving rate”. The capacity of the equipment designed in the first stage is used as the constraint to further optimize the design of the optimal operation strategy of the integrated energy supply system.

A greenhouse was used as a prototype. We carried out an arithmetic study on two typical days, summer and winter, respectively, considering the actual crop characteristics. We used the IESS, for the energy supply, and the two-stage optimization design. After the first stage of optimized design, compared to the existing greenhouse energy supply systems, the average daily operating cost was significantly lower and the CO₂ emission reduction rate was 49.53%. After the second stage, compared to the existing greenhouse energy supply systems, the annual operating saving rate, the CO₂ emission reduction rate, and the primary energy saving rate were 39.51, 48.88, and 27.57% for the typical summer day and 52.96, 50.18, and 50.13% for the typical winter day, respectively. Therefore, the proposed method can effectively alleviate the high energy consumption, improve the energy utilization efficiency, reduce the cost of enterprises, and lower CO₂ emissions. In addition, it enables the system to feed the energy back into the grid to help peak load shifting through peak electricity consumption. This further emphasizes the advancement of the proposed method.

**DATA AVAILABILITY STATEMENT**

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding author.

**AUTHOR CONTRIBUTIONS**

CT: Funding acquisition, Conceptualization, ZS: Software, Conceptualization, Writing- Original draft preparation, Data Curation, RW: Software, Data Curation, YY: Conceptualization, Methodology, Writing- Original draft preparation, Software, XW: Software, Writing- Original draft preparation, AR: Writing- Original draft preparation.

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Conflict of Interest: RW was employed by the State Grid Shandong Integrated Energy Services CO., LTD. AR was employed by the Jinan Power Supply Company.

The remaining authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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GLOSSARY

IESS  integrated energy supply system
CCHP  combined cooling, heating, and power
PGU  power generator unit
PV  photovoltaic
ORC  organic Rankine cycle
FEL  following electrical load
FTL  following thermal load
WT  wind turbine
ICE  internal combustion engine
P_{WT}  output power of WT
P_r  rated power of WT
v  actual outdoor wind speed
v_r  rated wind speed of WT
v_{in}  cut-in wind speed of WT
v_{out}  cut-out wind speed of WT
P_{PV}  output power of PV
I  illumination intensity
P_{STC}  maximum test power of PV
k  power temperature coefficient of PV
T_{PV}  temperature of PV
T_0  outdoor temperature
PLR  part load rate
G_{ICE}  amount of biomass gas consumed by the ICE
E_{ICE}  amount of electricity generated by the ICE
\eta_{pe}  efficiency of electricity generation
\eta_{he}  efficiency of heat generation
Q_{re}  recoverable heating of ICE
Q_{jw}  waste heating of the cylinder sleeve water
\eta_{jw}  efficiency of cylinder sleeve water heating exchanger
Q_{exh}  waste heating of the flue gas heating exchanger
\eta_{exh}  efficiency of flue gas heating exchanger
Q_{loss}  lost heating
Q_h  heat capacity of gas boiler
F_b  biomass gas volume of gas boiler
L_{NG}  biogas gas calorific value
\eta_b  heating efficiency of gas boiler
Q_{ac}  supplied cool capacity of absorption refrigerator
Q_{ac,in}  input heat of absorption refrigerator
COP_{ac}  energy efficiency rate of absorption chiller
E_{BT}  remaining capacity of the battery
P_{BT,charge}  average power of the battery charging
P_{BT,discharge}  average power of the battery discharging
\eta_{charge}  charging efficiency of the battery
E_{PV}  power generation of PV
E_{WT}  power generation of WT
E_{grid}  system-grid interaction
E_L  electric load required
E_{BT,charge}  production of battery
Q_{h,L}  heating load required
Q_{c,L}  cooling load required
C_r  average daily economic cost
C_{l,var}  daily operating cost
C_{cap}  daily acquisition cost
N  capacity of equipment
C_i  unit acquisition cost
r  conversion factor
T_i  lifespan of equipment
C_{CO2}^{2ECCHP}  total CO2 emission of the CCHP system
\mu_g  CO2 emissions factors of biomass gas
\mu_e  CO2 emission factors for grid generation
G_{gas}  amount of biomass gas consumed
C_{CO2}^{2EC}  CO2 emissions of the existing greenhouse energy supply system
C_{OSR}  total annual operation saving rate
C  cost of the existing greenhouse energy supply system
C_{CCHP}  cost of the CCHP system
C_{grid}  cost of electric purchased from the grid
C_{gas}  cost of biomass gas
C_{com}  operation and maintenance cost
c_{grid}  grid electric price
c_{gas}  biomass gas unit price
P_{grid}  power purchased from the grid
G_{CCHP}  primary energy consumed by the system
G_e  energy consumption of the CCHP
G_b  amount of biomass gas consumed by the gas boiler
G_{ICE}  amount of biomass gas consumed by the gas generator
\eta_{e,grid}  power plant generation efficiency.
\eta_{tr}  Grid Transmission Efficiency
F_{ERR}  maximum CO2 emissions reduction rate
N_{WT}  configured capacity of WT
N_{PV}  configured capacity of PV
N_{ICE}  configured capacity of ICE
N_{hp,h}  heating capacity of ASHP
N_{hp,c}  cooling capacity of ASHP
N_{BT}  configured capacity of battery
N_{PV,max}  maximum capacity of PV
N_{ICE,max}  maximum capacity of ICE
\( N_{hp,h, \text{max}} \) maximum heating capacity of ASHP
\( N_{hp,c, \text{max}} \) maximum cooling capacity of ASHP
\( N_{BT, \text{max}} \) maximum capacity of battery
\( N_{BT, \text{min}} \) minimum capacity of battery

HVAC heating, ventilation, and air conditioning
ERR emissions reduction rate
PESR primary energy saving rate
OSR cost saving rate