Evolution of relativistic thin discs with a finite ISCO stress – I. Stalled accretion

Andrew Mummery* and Steven A. Balbus**
Oxford Astrophysics, Denys Wilkinson Building, Keble Road, Oxford OX1 3RH, UK

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ABSTRACT
We present solutions to the relativistic thin disc evolutionary equation using an $\alpha$-model for the turbulent stress tensor. Solutions with a finite stress at the innermost stable circular orbit (ISCO) give rise to bolometric light curves with a shallow power-law time dependence, in good agreement with those observed in tidal disruption events, or TDEs. In general, the late time luminosity emerging from such a TDE is expected to exhibit a light curve $L(t)$ that varies as a power law in time $t$ with index $n$: $L \sim t^n$. This is of observational interest because the index $n$ can in principle be used as a model diagnostic. A recent source compilation by Auchettl, Guillochon & Ramirez-Ruiz (2017; hereafter AGR) is revealing. The late time power-law indices of X-ray sources that AGR designate as ‘confirmed’ centre around $n \approx -0.75$. This is a much more shallow fall-off than predicted by most theoretical models. The original Rees (1988) fallback model, which assumed equal mass in equal energy intervals (and ballistic dynamics), leads to $n = -5/3$. The post-disruption formation of a disc extends the duration of the emission somewhat; standard disc models (Cannizzo, Lee & Goodman 1990) typically yield an index of $n \approx -1.2$. The association of discs with TDEs has been recently strengthened, as evidence suggests that the late time evolution of many TDEs seems to be governed by accretion disc dynamics. Van Velzen et al. (2019) cleanly detected six TDE sources several years after their initial flares, and have found that the late time FUV emission from these sources are well described by thermal emission from accreting discs.

Recently, Balbus & Mummery (2018, hereafter BM18) revisited the thin disc accretion models for TDEs. These models have limitations, of course: there are many possible complications and additional components that may well be present in real discs, and prudence would suggest regarding this approach as a sort of baseline study. These authors found, somewhat surprisingly in view of previous results, that the observed shallow light-curve power-law values are in fact in good agreement with the BM18 evolving thin disc models. While similar in some ways to the classic models put forward by Cannizzo et al. (1990), the new models differ in two important respects: the first is the inclusion of Kerr geometry (ISCO) give rise to bolometric light curves with a shallow power-law time dependence, in good agreement with those observed in tidal disruption events, or TDEs. These solutions correspond to an extended period of relaxation of the evolving disc which, like the light curves they produce, is not sustainable indefinitely. Cumulative departures from the approximation of exact circular orbits cause the power-law index to evolve slowly with time, leading eventually to the steeper fall-off associated with traditional zero ISCO stress models. These modified solutions are discussed in detail in a companion paper.

Key words: accretion, accretion discs – black hole physics – turbulence.

1 INTRODUCTION

The accretion of a debris disc formed when a wayward star has been disrupted by gravitational tides upon venturing too close to a supermassive black hole can produce X-ray flares from otherwise quiescent galactic centres. These are often referred to as tidal disruption events, or TDEs. In general, the late time luminosity emerging from such a TDE is expected to exhibit a light curve $L(t)$ that varies as a power law in time $t$ with index $n$: $L \sim t^n$. This is of observational interest because the index $n$ can in principle be used as a model diagnostic. A recent source compilation by Auchettl, Guillochon & Ramirez-Ruiz (2017; hereafter AGR) is revealing. The late time power-law indices of X-ray sources that AGR designate as ‘confirmed’ centre around $n \approx -0.75$. This is a much more shallow fall-off than predicted by most theoretical models. The original Rees (1988) fallback model, which assumed equal mass in equal energy intervals (and ballistic dynamics), leads to $n = -5/3$. The post-disruption formation of a disc extends the duration of the emission somewhat; standard disc models (Cannizzo, Lee & Goodman 1990) typically yield an index of $n \approx -1.2$. The association of discs with TDEs has been recently strengthened, as evidence suggests that the late time evolution of many TDEs seems to be governed by accretion disc dynamics. Van Velzen et al. (2019) cleanly detected six TDE sources several years after their initial flares, and have found that the late time FUV emission from these sources are well described by thermal emission from accreting discs.

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Key words: accretion, accretion discs – black hole physics – turbulence.

* E-mail: andrew.mummery@wadham.ox.ac.uk (AM);
steven.balbus@physics.ox.ac.uk (SAB)

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neglected modes has significant observational consequences at later times.

In this paper we develop and extend these findings in two important ways. The first is to model the anomalous stress present in thin discs in a more general, and one might argue more physical, manner. BM18 modelled the turbulent stress as a specified function of radius $r$. In this paper, we solve the equivalent problem using an $\alpha$-disc formalism for the stress. Essentially all analytic and semi-analytic treatments of accretion discs rely on the use of a so-called ‘anomalous stress tensor’ to account for the enhanced angular momentum transport and thermal energy dissipation associated with the accretion process. First invoked by Shakura & Sunyaev (1973), a measured turbulent stress tensor may now be extracted from numerical simulations of discs, as a consequence of the magnetorotational instability (or MRI; Balbus & Hawley 1998). But even with explicit numerical studies, turbulence remains at best poorly understood. There is no consensus fundamental theory that allows one, for example, to express a stress tensor in terms of background mean disc properties. There remains an ongoing need for the use of $\alpha$-based phenomenological modelling.

The second and more far-reaching goal of this paper, as well as its companion (Mummery & Balbus 2019; hereafter paper II), is to deepen our understanding of the mean fluid flow in the inner regions of relativistic discs by exploring the limits of the model. We demonstrate that when a finite ISCO stress is present, which is natural when Maxwell stresses dominate the transport, standard time-dependent disc modelling leads to an extended phase in which the accretion is effectively stalled. While there is observational evidence for this in several TDE light curves, it cannot be the ultimate resolution of the accretion process. By re-examining the assumption that fluid elements move precisely on Kerr circular orbits, we set the stage for a more general approach, developed in paper II, that leads to a long-term resolution of the stalling problem.

One must exercise care with an asymptotically ordered theory in which the angular momentum gradient of the mean motion of the fluid elements, a quantity which would pass through zero at the ISCO for pure circular motion, enters the governing evolution equation as a divisor: any small deviation from a true circular orbit will impart a qualitative change in the disc evolution, first near the ISCO, but then propagating outward. This interesting anomaly is not apparent in either vanishing stress or steady-state modelling. It is only in time-dependent finite ISCO stress modelling that the consequences of a strictly circular orbit assumption become apparent. We emphasize that the stalling behaviour is not in itself unphysical; it emerges as a prolonged intermediate phase of disc evolution. Indeed, not only is stalling supported by observations, something very much like it may have already be seen in numerical disc simulations which have been run for a sufficiently long time (Sadowski et al. 2015).

The layout of this paper is as follows. In Section 2, we describe the formal mathematical problem, which is to find the time-dependent behaviour of an initially localized ring evolving in accord with the relativistic thin disc evolutionary equation. In Section 3, we present direct numerical integration of the idealized ‘zeroth order solution’: a disc evolving with turbulent stress described by an $\alpha$-disc model, which is either finite or vanishing at the ISCO, under the assumption that the mean azimuthal flow is precisely circular orbits. The properties of the zeroth order solutions are discussed in Section 4, where it is demonstrated that a more careful model of the mean fluid flow is required to avoid behaviour that, while novel and not without numerical and observational support, is unsustainable in the long term. Paper II discusses a possible resolution of this problem. Finally, our conclusions are presented in Section 5. Many of the more technical mathematical details pertinent to the analytic discussions are relegated to a set of four appendices.

2 ANALYSIS

2.1 Review of governing equation and notation

We seek the evolution of the azimuthally averaged, height-integrated disc surface density $\Sigma(r, t)$, using standard cylindrical Boyer–Lindquist coordinates for a Kerr disc: $r$ (radial), $\phi$ (azimuthal), $z$ (vertical), and $t$ (time). For simplicity, the disc is assumed to lie in the $z = 0$ symmetry plane. The contravariant four velocity of the disc fluid is denoted $U^\mu$; its covariant counterpart is $U_\mu$. The specific angular momentum corresponds to $U_\phi$, a covariant quantity. We assume that there is an anomalous stress tensor present, $W_\phi^\mu$, due to low-level disc turbulence. This is a measure of the correlation between the fluctuations in $U^\mu$ and $U_\phi$ (Balbus 2017), and could also include correlated magnetic fields. As its notation suggests, $W_\phi^\mu$ is a mixed tensor of rank two. It is convenient to introduce the quantity $Y$,

$$Y \equiv \sqrt{g} \Sigma W_\phi^\mu = r \Sigma W_\phi^r,$$

where $g > 0$ is the absolute value of the determinant of the (mid-plane) Kerr metric tensor $g_{\mu\nu}$. The Kerr metric describes the space–time external to a black hole of mass $M$ and angular momentum $J$. For our choice of coordinates, $\sqrt{g} = r$. The ISCO radius is denoted as $r_I$, and $x \equiv r - r_I$. Other notation is standard: the speed of light is set to unity throughout, the gravitational radius is $r_g = GM$, and the black hole spin parameter $a = JM$.

Under these assumptions, the governing equation for the evolution of the disc is quite generally given by (Eardley & Lightman 1975; Balbus 2017)

$$\frac{\partial \Sigma}{\partial t} + \frac{1}{r U^0} \frac{\partial}{\partial r} \left( \frac{1}{U_\phi} \left( \frac{\partial Y}{\partial r} - U_\phi U^0 (\ln \Omega)' Y \right) \right) = 0,$$

where the primed notation $'$ denotes an ordinary derivative with respect to $r$, and $\Omega = d\phi/dr$. A direct rendering (a sort of ‘relativistic upgrade’) from the original Newtonian equation (Pringle 1981; Balbus & Papaloizou 1999) would end with the first term on the right. The second term is an additional $v^2/c^2$ relativistic correction, which becomes significant near the ISCO, stemming from the radiated photon angular momentum.

2.2 Compact formulation and general inner boundary considerations

In this paper, we work entirely with equation (2). Following Balbus (2017), we define $Q$ by

$$\frac{dQ}{dr} = -U_\phi U^0 (\ln \Omega)' .$$

It may be quite generally shown that $e^{-Q} = U^0$ for particles undergoing circular motion in the equatorial plane of any azimuthally symmetric spacetime, which clearly includes the Kerr geometry. (See Appendix A3.) With

$$\zeta = Ye^Q = Y/U^0,$$

\[ \text{MNRAS 489, 132–142 (2019)} \]
equation (2) becomes
\[
\frac{\partial \Sigma}{\partial t} = \frac{1}{rU^0} \frac{\partial}{\partial r} \left( U^0 \frac{\partial \zeta}{\partial r} \right).
\] (5)

In general, the turbulent stress \( W_\phi \) may depend on the local surface density \( \Sigma \), in which case the most general form of the evolution equation is
\[
\frac{\partial \zeta}{\partial t} = \left( W_\phi + \Sigma \frac{\partial W_\phi}{\partial \Sigma} \right) \frac{1}{(U^0)^2} \frac{\partial}{\partial r} \left( U^0 \frac{\partial \zeta}{\partial r} \right). \] (6)

Some care is needed when specifying what exactly is meant by the 4-velocities and 4-momenta in equation (6). Balbus (2017) splits the disc velocity \( u^\mu \) into a mean component \( (U^\mu) \) and vanishing-mean fluctuating component \( (\delta U^\mu) \)
\[
u^\mu = U^\mu + \delta U^\mu, \] (7)
with asymptotic scalings
\[
\delta U_\phi \ll U_\phi, \quad \delta Ur \sim \delta U_\phi/r \ll r U_\phi. \] (8)

This is the key physical premise upon which the disc evolution equation is derived: to zeroth order the fluid rotates about the origin, with fluctuations at first order due to the low-level disc turbulence. Only at second order (in the fluctuation amplitude) does the fluid radial drift velocity emerge.

Following the assumption that the mean azimuthal flow corresponds to circular orbits, the (spatial) amplitude of the well-behaved radial drift velocity emerge. Only at second order (in the fluctuation amplitude) does the fluid radial drift velocity emerge.

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in turn depends upon the selected ISCO boundary conditions, in particular whether or not the local stress vanishes.

While the detailed modal solutions depend on the precise specification of the turbulent stress (i.e. the parameters \( \eta \) and \( \mu \)), the gross features of \( \zeta_1 \) or \( \zeta_2 \) themselves are only slightly changed over the parameter range of interest to this study. By way of example, the simplest outer Newtonian solution (\( \eta = \mu = 0 \)) is (BM18)

\[
\zeta = \tau^{1/2} \left[ c_1(s) J_{-1/3} \left( pr^{3/4} \right) + c_2(s) J_{1/3} \left( pr^{3/4} \right) \right],
\]

where \( J_{\pm 1/3} \) are standard Bessel functions of fractional order,

\[
p^2 = \frac{s \sqrt{G M}}{3w}.
\]

and \( c_1 \) and \( c_2 \) are the \( s \)-dependent constants for a given Laplace mode. In the relativistic problem, both the positive and negative Bessel index solutions will generally be required for a smooth match to the inner ISCO solution. The density distribution at a specified time \( t \) is given by a superposition over all modes with a weighting function \( f(s) \)

\[
\zeta(r, t) = \int_0^\infty f(s) e^{-\xi t} \zeta(r, s) \, ds.
\]

A particularly useful identity in this context is the Green’s function linear superposition of Bessel function modes (Gradshteyn & Ryzhik 2014)

\[
\int_0^\infty J_s(\sqrt{s}R) J_s(\sqrt{s}R_0) e^{-\xi t} \, ds = \frac{1}{\tau} \exp \left( \frac{\xi R^2 - \xi R_0^2}{4 \tau} \right) I_s \left( \frac{R R_0}{2 \tau} \right).
\]

At late times, the gross temporal behaviour of a disc’s light curve will depend upon whether the \( c_1 \) or \( c_2 \) mode dominates. For a late time, finite ISCO stress disc, the \( c_1 \) solution (\( v < 0 \)) dominates; the \( c_2 \) solution (\( v > 0 \)) dominates in a vanishing stress disc (BM18). If the late time luminosity behaviour of TDEs arises from an evolving disc, this is likely to be telling us something important about the ISCO stress: shallow power laws (\( |n| < 1 \)) imply a finite stress.

The work discussed in BM18 assumed a power law in \( r \) dependence for the turbulent stress. This paper extends this into an \( \alpha \)-disc regime, which allows a more general turbulent stress parametrization of the form (15).

The key question, both mathematically and physically, is whether we can understand the \( \alpha \)-disc behaviour in a manner analogous to the \( c_1/c_2 \) distinction that applies to the linear formalism. Unlike a linear diffusion equation, a non-linear evolution equation is not amenable to a Green’s function treatment. Fortunately, a set of analytic self-similar solutions to the \( \alpha \)-disc problem are known. These govern the behaviour of the outer disc at late times in a manner very similar to the simpler linear disc equation, and are presented below.

2.5 Newtonian self-similar solutions

2.5.1 General properties

There are self-similar solutions of the non-linear Newtonian limit of the disc evolution equation if the turbulent stress is parametrized in the power-law form given in equation (15). The solutions are conveniently expressed in terms of a dimensionless radius \( x \) and time \( \tau \)

\[
x = r/r_0, \quad \tau = wt/\left( 2 \sqrt{G M r_0^3} \right).
\]

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\[
B = (5\eta + 3 - 2\mu)/(\eta + 1),
\]

(For the present \( r_0 \) is fiducial, but will be defined as part of our initial condition in Section 3.1.) The mathematical solutions then have the general form (Pringle 1991)

\[
\Sigma = \Sigma_0 f(\xi) x^{-3/2} \tau^{-\gamma},
\]

\[
f(\xi) = \xi^\kappa (1 - k\xi)^{1/\kappa},
\]

\[
\xi = \sqrt{x} \tau^{-\gamma}.
\]

The five parametrization constants \( (B, C, k, \chi, \lambda) \) all depend upon \( \eta \) and \( \mu \), and in fact there are two different self-similar solutions of this form, analogous to our \( c_1 \) and \( c_2 \) solutions, that pertain to different inner boundary conditions. This is discussed below.

These self-similar solutions are exact solutions of the non-linear Newtonian evolution equation in their own right, but they are not the most general, and they may be expected to be a good description of an evolving physical disc only at later times. In effect, they govern the behaviour of the disc once the memory of the initial conditions has been lost. The singular behaviour of these solutions at early times \( (t \to 0) \) is therefore not a concern. In what follows, for some purposes we shall treat the self-similar solutions as if they were valid over the entirety of the disc, e.g. in analytically calculating the disc luminosity. Here, an exact treatment, which we carry out numerically, results in relatively small changes of detail. Following BM18, we expect the late time luminosity to be dominated by the self-similar solution associated with the appropriate inner boundary condition, which is set by the behaviour of the turbulent stress at the ISCO.

2.5.2 ISCO feedback: \( c_1 \) solutions

Analogous to the two outer modal solutions in the linear evolution problem (both of which are generally needed, as we have seen), there are two self-similar solutions for each of the Newtonian limits of the non-linear evolutionary equation (16). One of these solutions vanishes smoothly at small \( r \) and is associated with the stress-free ISCO solutions (Cannizzo et al. 1990). The other self-similar solution, with vanishing inner radial derivative (Pringle 1991), is generally discarded. This self-similar solution is associated (again, in a Newtonian setting) with a hard inner torque, and it has a radial velocity which falls to zero at the inner disc edge. The disc is then completely stalled.

For an evolving relativistic disc, the finite stress boundary condition also produces an unusual time-varying radial velocity across the ISCO that is reminiscent of disc ‘stalling’. This interesting and surprising behaviour of the radial velocity flow, which is key to the late time shallow fall-off in \( L(t) \), is an indication that a more sophisticated model is required to describe the long-term evolution of a finite stress disc. While the behaviour presented by these zeroth order solutions is not in itself unphysical, it is not sustainable indefinitely. A discussion of the underlying approximations that break down in these zeroth order disc models, and leads to this interesting behaviour, is given in Section 4.

The five parameters which describe the self-similar solution (equation 23) satisfying the finite stress boundary condition are given explicitly by

\[
B = (5\eta + 3 - 2\mu)/(\eta + 1),
\]
At late times, the luminosity follows a power-law decline (see Appendix A2)

\[ L(t) \sim t^{-(4\eta^2 + 2\mu)/(4\eta + 3 - 2\mu)} \]  

(27)

In terms of the opacity indices \(a\) and \(b\), this is

\[ L(t) \sim t^{-(2a + 14\mu)/(28 + 15a + 2b)} \]  

(31)

For example, an electron scattering opacity \((a = b = 0)\) leads to a power-law index of \(-11/14 \approx -0.79\). Similarly, a disc dominated by Kramers opacity \((a = 1, b = 7/2)\) leads to a power-law index of \(-18/25 = -0.72\). The index is not highly sensitive to the scattering physics, and is robustly less than unity.

2.5.3 Standard accretion: \(c_2\) solutions

If the turbulent stress vanishes at the ISCO, then so must the local surface density and luminosity. In an earlier study of disc models for the late time luminosity arising from TDEs, this class of self-similar solution was analysed by Cannizzo et al. (1990), and has become the canonical ‘viscous model’ for TDEs. Their parameters may be summarized as

\[ B = (4\eta + 3 - 2\mu)/(\eta + 1), \]  

(33)

\[ C = (3\eta + 2 - 2\mu)/(\eta + 1), \]  

(34)

\[ k = \eta/[4(4\eta + 3 - 2\mu)(5\eta + 3 - 2\mu)]. \]  

(35)

\[ \lambda = 1/(5\eta + 3 - 2\mu). \]  

(36)

\[ \chi = 2/(5\eta + 3 - 2\mu). \]  

(37)

At late times, the luminosity follows a power-law decline (Appendix A2),

\[ L(t) \sim t^{-(5\eta^4 + 2\mu)/(5\eta + 3 - 2\mu)} \]  

(38)

or equivalently

\[ L(t) \sim t^{-(38 + 18\mu + 4b)/(32 + 17\mu + 2b)} \]  

(39)

For the fiducial cases of electron scattering or Kramers opacity, the indices are \(-19/16 \approx -1.19\) and \(-5/4 \approx -1.25\), respectively.

It is interesting to note that Cannizzo et al. set the emitted luminosity proportional to the rate of change of disc mass \(M\), whereas we have performed a direct calculation of the locally emitted energy. There is an exact agreement between our two methods for the vanishing stress case. The case of a finite ISCO stress however, essentially a model with feedback, requires an explicit calculation of the luminosity.

2.6 Recovery of linear theory from non-linear theory

The results of BM18 are recovered in the linear \(\eta \to 0\) limit. In this limit some care is required with handling the self-similar function

\[ f(\xi) = \xi^C (1 - k \xi^B)^{1/\eta}. \]  

(40)

In both the finite and vanishing stress cases as \(\eta \to 0\) the coefficient \(k \to 0\). Simultaneously the exponent of \(f(\xi)\) diverges, so that some additional attention is required. This involves making use of the well-known definition of the exponential function

\[ \lim_{t \to \infty} (1 + X/t)^t = e^X. \]  

(41)

Using this, we rewrite the function \(f(\xi)\) in equation (23) in a form appropriate for the linear case

\[ f(\xi) = \xi^C (1 - k \xi^B)^{1/\eta} \to \xi^C \exp \left[ -\frac{\xi^B}{(3 - 2\mu)^2} \right]. \]  

(42)

Using the above result, equation (23) becomes

\[ \Sigma \propto \frac{r^{-(\mu + 3/4)} / \tau}{(3 - 2\mu)^2} \exp \left[ -\frac{(r/r_0)^2}{(3 - 2\mu)^2} \right] \frac{r^{4 \pm 1/4} / \tau}{(3 - 2\mu)^2}. \]  

(43)

where the +/− sign is taken for the \(c_2/c_1\) solutions respectively, and \(q \equiv (3 - 2\mu)/4\) as defined in BM18. Apart from a trivial difference in definition of \(\tau\), this is exactly the expression for the late time expansion of the Green’s function solutions of the linear evolution equation in BM18. Even though no information of the initial condition is explicitly present in these self-similar solutions, the exact late time behaviour of an initially narrow disc centred at a distance \(r_0\) from the black hole is recovered.

3 NUMERICAL SOLUTIONS

The purpose of this section is to re-examine the BM18 solutions in the case of a non-linear version of the relativistic diffusion equation by numerical means. The key findings to be verified are that there is a bimodal response of the late time luminosity behaviour of accretion discs – the luminosity falls off at a rate either shallower or steeper than \(t^{-1}\), depending upon whether the turbulent stress vanishes at the ISCO. We shall also see that the actual late luminosity decay indices are well approximated by those of the appropriate outer solutions of the Newtonian regime disc equation.

The evolution equation in its compact has been used for the numerical integrations (equation 16). The solution was found using essentially the same techniques described in appendix A1 of BM18. For \(\alpha\)-disc models, account needs to be taken of the non-linear character of the equation, and a predictor-corrector implicit method therefore must be used, rather than a simple implicit method.

3.1 Numerical formulation

Our canonical run, which is more generally representative, is the evolution of a Gaussian ring at \(t = 0\). The initial condition is

\[ \Sigma(t, r = 0) = \Sigma_0 \exp \left[ -\frac{(r - r_0)^2}{d^2} \right]. \]  

(44)

The central radius \(r_0\) is set to \(15 r_g\), roughly the tidal radius of a solar mass star around a \(4 \times 10^6\) solar mass black hole. With \(r_0\) set, \(\Sigma_0\)
and $d$ are related by the initial disc mass. The initial spread is $d = 3.165$ gravitational radii, and the amplitude $\Sigma_0$ has been chosen so that the initial debris mass was 1 solar mass. While the short-term evolution depends upon the initial radius and dispersion of debris, the long-term evolution of the extended disc does not. All solutions were carried out for a rapidly (prograde) rotating black hole, $\alpha r_g = 0.9$.

At the inner edge of the evolving disc, corresponding to the ISCO, a boundary condition must be enforced; the precise nature of which depends upon the behaviour of the ISCO turbulent stress. If a vanishing stress condition is imposed, the surface density (or equivalently $\zeta$) must vanish. Assuming Kerr metric circular orbits, it is the radial gradient of $\zeta$ that must vanish if the ISCO stress is non-zero. Numerically, the value of $\zeta$ at the innermost ISCO grid point is then set equal to its value at the adjacent external grid point.

### 3.2 Stress parametrization

We consider four different parametrizations of the turbulent stress behaviour: electron scattering and Kramers opacity, with vanishing and finite ISCO stress. In our ‘standard model’ the disc is dominated by electron scattering opacity and gas pressure. In this case, the opacity is a constant $\kappa_0$, with $a = b = 0$ in equation (13). This implies

$$W' = w \left( \frac{\Sigma}{\Sigma_0} \right)^{3/2} \left( \frac{r}{r_0} \right)^{1/2}. \quad (45)$$

The Kramers opacity model assumes free–free or bound-free scattering throughout the disc. The functional dependence of the opacity on temperature and density is $a = 1, b = 7/2$ (equation 13). This implies

$$W' = w \left( \frac{\Sigma}{\Sigma_0} \right)^{3/7} \left( \frac{r}{r_0} \right)^{4/7}. \quad (46)$$

As both stress parametrizations depend on a positive power of the surface density, it is sufficient to set the surface density to zero at the ISCO to enforce a vanishing stress boundary condition.

### 3.3 Summary and discussion

We have solved numerically for the time-dependent solutions of equation (16), the relativistic thin disc equation, under the assumption of Kerr metric circular orbits. Two different inner boundary conditions were explored, that of vanishing stress at the ISCO and that of non-zero ISCO stress. For the former $\zeta$ vanishes at the ISCO, whereas for the latter the radial gradient of $\zeta$ vanishes.

Figs 1 and 2 show example light curves for the case of electron-scattering opacity with the stress remaining finite (Fig. 1) or vanishing at the ISCO (Fig. 2). Table 1 presents a comparison between analytical predictions and numerical solutions for the four different turbulent stress parametrizations considered in this section. We see very good general agreement between prediction and simulations. An exact match would not be expected, as we have neglected any luminosity emitted from the inner strong field zone in the analytic modelling. Note, however, that regardless of the exact behaviour of the inner disc, it must always join smoothly on to the self-similar solutions out at larger radius, and so it cannot have a significantly different time dependence.

Recall that for the linear case treated in BM18, at late times the outer evolving disc is well approximated by one of two exponential self-similar solutions described earlier in this paper (equation 21). It is therefore not surprising that in the non-linear theory, the late time

|                | Finite ISCO stress | Vanishing ISCO stress |
|----------------|--------------------|-----------------------|
| $n$ (num)      | $-0.72$            | $-0.79$               |
| $n$ (equation 32) | $-1.14$            | $-1.19$               |
| $n$ (num)      | $-0.66$            | $-0.72$               |
| $n$ (equation 39) | $-1.25$            | $-1.25$               |
behaviour of the disc is well modelled by the solutions presented in Section 2.5.2 for discs with a finite ISCO stress, and by the solutions presented in Section 2.5.3 for discs for a vanishing ISCO stress.

Once again, we find two very distinct late time behaviours for the integrated luminosity \( L(t) \): the finite stress solutions fall off less rapidly than \( t^{-3} \); the vanishing stress solutions fall off more rapidly than \( t^{-4} \). This is insensitive to how the stress is modelled exterior to the ISCO. Examination of equations (31) and (38) shows that this bimodal behaviour in late time luminosity decay indices can be explained purely in terms of which set of self-similar solutions are present in the late time Newtonian disc regime. This is a direct consequence of the properties of the turbulent stress at the ISCO.

These numerical results show that the findings of BM18 remain valid for the more general \( \alpha \) parametrizations of turbulent stress (equation 15).

4 A CLOSER EXAMINATION OF THE FINITE STRESS DISC SOLUTIONS

In the sense that they give a very good approximate description of the disc behaviour at large times, the self-similar solutions presented in Section 2.5.2 are proper mathematical solutions of the relativistic evolution equation for the problem posed – the evolution of a disc where the stress is allowed to be non-zero at the ISCO, and Kerr circular motion is assumed. The question is, are these solutions physically sustainable in a real thin disc? By examining the radial fluid motion within the evolving finite stress discs we will demonstrate that these ‘zeroth order’ solutions are not indefinitely sustainable, and that a more sophisticated orbital model must be developed. We examine here the underlying model assumptions in the presence of a finite ISCO stress, and suggest how a more accurate, quasi-circular orbit model could in principle resolve the difficulties. This more sophisticated model is then solved fully in a companion paper.

4.1 Radial fluid motion in a finite stress disc

The notion that magnetic stresses could exert sizeable torques on the inner regions of accretion discs has a long history (Page & Thorne 1974; Gammie 1999; Krolik 1999). Numerical studies over the past 20 yr have generally shown that the magnetic fields that drive the MRI, the process thought to drive angular momentum transfer in discs, lead to extended evolutionary phases with non-zero stresses at the ISCO (e.g. Noble, Krolik & Hawley 2010).

In a disc with a non-zero ISCO stress, angular momentum is transported from the unstable disc region \( r < r_I \), back into the stable disc region \( r > r_I \). By the time they reach the event horizon, fluid elements (in numerical simulations) typically have angular momenta of order 5–15 per cent less than what is required of a circular orbit at the ISCO (Noble et al. 2010). This liberated angular momentum slows the rotation of the inner edge of the stable disc region, and results in the presence of an angular momentum flux from the ISCO neighbourhood. As time progresses, this effective torquing of the inner disc edge leads to the dominance of our \( c_1 \) solution.

In this picture, a continuous flow of disc material over the ISCO is required to produce the inner disc stress. It is theoretically possible for a disc with no net accretion to have a non-zero torque at the ISCO, e.g. by field lines attached to a spinning black hole threading the inner disc edge. While such torques are potentially quite interesting, this work our focus is upon the internal turbulent stress self-consistently produced by the disc material itself.

Figure 3. Plots of the (normalized) dynamical variable \( \zeta \) at three different times. Each curve is labelled by its dimensionless time \( \tau \). The blue solid curves and green dashed curves represent regions in which the disc is inflowing and outflowing, respectively. Eventually, \( \zeta(r_I) \) becomes a global maxima, at which point the disc is outflowing at all external radii: the accretion stalls.

Is this type of finite ISCO stress model physically sustainable? To answer this question we consider the motion of the fluid elements within the disc. The fluid outside of the ISCO is assumed to move to leading order on circular orbits, at first order to fluctuate therefrom, and only at second order to exhibit a radial ‘drift’ velocity. The latter is caused by the turbulent stress of the product of correlated first-order fluctuations. This determines the radial disc evolution. This velocity hierarchy is encapsulated in the scaling arguments of equation (8).

To calculate the radial drift velocity, we use equation (29) of Balbus (2017), which follows from a combination of mass and angular momentum conservation

\[
\sum U'_\phi U'_r + \frac{1}{r} \frac{\partial}{\partial r} \left( r \Sigma W'_\phi \right) = \Sigma W'_\phi U'_\phi (\ln \Omega)' = 0.
\] (47)

Defining \( \zeta \) as in Section 2, after a simple manipulation we have

\[
U' = -\frac{W'_\phi \zeta'}{U'_\phi \zeta}.
\] (48)

If we assume Kerr metric circular orbits, then the ISCO values of both \( U'_\phi \) and \( \zeta' \) vanish, leaving – by l’Hopital’s rule

\[
U'(r \to r_I) = -\frac{W'_\phi \zeta''}{U'_\phi \zeta},
\] (49)

where we note that

\[
U'_\phi(r_I) > 0.
\] (50)

This result ensures that in a finite ISCO stress disc, unlike a vanishing ISCO stress disc, the radial velocity is formally non-infinite at the ISCO.

Inspection of equation (48) demonstrates that the disc fluid flows in the direction of decreasing \( \zeta \). Accretion is therefore cut-off if \( \zeta \) ever reaches a maximum at the ISCO, since this would produce a positive radial velocity at all points in the disc. In the numerical finite ISCO stress solutions, this ISCO maximum is indeed seen (Fig. 3). A systemic radial outflow at all points within the disc is an unsustainable result, as a real disc would be unable to maintain a non-zero ISCO stress indefinitely – not without continued accretion. In a real disc, the lack of accreting gas flowing inward of the ISCO...
4.3 Modelling the angular momentum gradient in the inner disc

The presence of a non-zero radial velocity means that the azimuthal motion is only approximately Kerr metric circular. We have argued that the correction is second order in the fluctuation amplitude, but \( U_\phi' \), the derivative of the angular momentum, appears as a singular denominator in the evolution equation. Since strict adherence to the idealized model leads to long-term unsustainable behaviour, it is of interest to consider a generic change to the angular momentum gradient of the form

\[
U_\phi' = (V_\phi')' + \epsilon(r).
\]

where now \( U_\phi \) indicates the mean fluid angular momentum of equation (10), and \( V_\phi \) is the idealized angular momentum of a Kerr circular orbit. The function \( \epsilon(r) \) is left somewhat arbitrary, but it must satisfy two simple properties: (i) it reaches a maximum at the ISCO, and (ii) it vanishes sharply at large radius. The idea is that the assumption of purely circular orbits is a good approximation at large radii, but become progressively worse as the ISCO is approached.

The development of this theory is the topic of the companion paper. We note here, however, that a modification of the angular momentum gradient in the inner disc regions would also affect the steady-state modelling of relativistic thin discs. The steady-state solution of equation (10) leads to a disc temperature profile

\[
\sigma T^4 = -\frac{U_0 U_\phi}{2r} \ln \frac{F_1}{r} \left[ \int_{r_i}^{r} \frac{U_\phi'}{U_0} dr + F_2 \right],
\]

where \( F_1 \) and \( F_2 \) are constants related to the mass and angular momentum flux throughout the disc, respectively. A substantial modification of \( U_\phi' \) in the inner – and therefore hottest – disc regions would lead to changes in the predicted observational properties of the disc.

It is in time-dependent modelling where the effects of the modified angular momentum gradient are most apparent. In the companion paper we solve, both analytically and numerically, the thin disc evolution equation (10) with an exponential form for \( \epsilon(r) \). This appears to fully resolve the issues highlighted in Section 4.1, allowing for both an extended stalled phase of accretion, but ultimately a long-term return to a more standard disc model (and a finite luminosity integral). Moreover, these new solutions display a rich set of behaviours which are compatible with the observed variations in TDE light curves. The new solutions can no longer be described by just one of the sets of self-similar solutions in Section 2.5.2 and Section 2.5.3, but require instead characteristics of both in order to understand their behaviour.

4.4 The Lightman–Eardley instability

An important, and possibly consequential, simplification used in this work (in common with Cannizzo et al. 1990) is the neglect of the Lightman–Eardley (1974) instability in the inner regions of the disc, where the dynamical pressure becomes radiation dominated. If the turbulent stress is proportional to the dominant radiation pressure, as opposed to the gas pressure, the resulting sensitive dependence on temperature leads to a formal instability in \( \alpha \)-disc models. Although local 3D disc simulations with radiative hydro do exhibit unstable behaviour (Jiang, Stone & Davis 2013), as do global 2D hydro simulations (Fragile et al. 2018), observations of X-ray binaries are compatible with stable thermal discs (e.g. Done, Gierliński & Kubota 2007). To what extent this process manifests
as a true physical instability in real discs is unclear at the present time. The point of view adopted in this work is that the Lightman–Eardley instability, if indeed present in nature, will result in some reasonably well-defined stress tensor \( W_{\phi}' \), perhaps not of the explicit \( \alpha \)-form used here, but in any case it will not completely disrupt the inner disc. The principal conclusions of our study do not appear to be sensitive to the precise functional form of \( W_{\phi}' \): so as long as there exists some self-consistent local stress tensor, neglect of the formal Lightman–Eardley instability in the first instance seems a reasonable strategy.

5 CONCLUSIONS

The work in this paper has served a dual purpose. The first is to extend the findings of BM18 into the (non-linear) \( \alpha \)-disc regime. We have demonstrated that under the normal assumptions of thin disc theory, relativistic discs display bimodal late time behaviour, depending upon the nature of the turbulent stress boundary condition at the ISCO. The luminosity emergent from finite ISCO stress discs falls off with time shallower than \(-1\), as opposed to steeper than \(-1\) for vanishing ISCO stress discs. This may be understood quantitatively by examination of the two self-similar solutions of the underlying equation in its Newtonian limit. The more shallow fall-off from finite stress solutions appears to be a much better match to the observed light curves of confirmed TDEs (Auchettl et al. 2017).

It is important to note that finite bandwidth observations, even if broad, could produce light curves with somewhat different behaviour than the formal bolometric luminosity presented here. In a forthcoming paper (paper II) this dependence on observational bandpass is examined in detail. It is possible to derive useful closed form analytical expressions for the time-dependent luminosity in both UV and X-ray bands. The mathematical solutions presented here are well adapted to a detailed spectral analysis, with the result that different disc models, particularly with regard to the presence or absence of an ISCO stress, may be distinguished.

Finally, it is as well to remember that the finite ISCO stress solutions represent only an extended phase of disc evolution, which is then altered on sufficiently long time-scales. Indeed, an important finding of this paper is that resolving the puzzle of the long-term behaviour of finite ISCO stress discs requires consideration of corrections to the profile of the angular momentum gradient. We demonstrate in a companion work (paper II) that introducing these modifications to the inner disc dynamics has profound effects on the long-term global behaviour of thin disc evolution, which appear to be compatible with a range of observations.

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APPENDIX

A.1 Numerical calculations of the disc luminosity

The derivation of the evolution equation (16) is based upon the assumption of small perturbations from circular orbits, and so within the ISCO it will quickly break down. Physically, we expect the fluid elements to quickly inspiral after crossing the ISCO. This occurs on a time-scale similar to, or only somewhat longer than, the free-fall time. This was demonstrated by Shafee et al. (2008), who found nearly laminar flow in full GRMHD simulations interior to the ISCO. During this phase, the fluid elements emit almost no radiation and so hardly contribute to the disc’s spectrum (Penna et al. 2010). Accordingly, numerical integration of equation (16) is restricted to the region of spacetime exterior to the ISCO, which is both mathematically self-consistent and physically sensible.

Once the evolution equation has been solved, the time-dependent luminosity is straightforward to calculate. The local flux \( F \) from each face of the disc annulus is given by (Balbus 2017)

\[
2F = -\Sigma U^0 W_{\phi}' \phi'.
\]  

(A1)

In full detail the luminosity calculation is complicated. Here we seek only the gross late time behaviour, not a detailed spectrum, and for this a simple face-on disc model is sufficient. We retain the gravitational and kinematic redshift effects, which introduce the ratio of observed to emitted flux, \((U^0)^{-2}\), but neglect the photon orbit ("ray tracing") details. The total observed luminosity is then proportional to the integral

\[
L(t) \propto \int_{r_1}^{\infty} \left( g_{rr} g_{\phi\phi} \right)^{1/2} \frac{F}{(U^0)^2} \, dr.
\]  

(A2)

More explicitly, this is

\[
L(t) \propto \int_{r_1}^{\infty} \left( r^2 + a^2 + 2 r g a^2 / r \right)^{1/2} \frac{\zeta(r, t)}{r^2 \left( 1 + a \sqrt{g / r} \right)^2} \, dr.
\]  

(A3)
The integral in equation (A3) was carried out using a standard Simpson algorithm.

A2 Time dependence of luminosity emergent from self-similar disc solutions

The self-similar solutions of the non-linear Newtonian disc equation all have the same functional form (equation (23)), and the time dependence of the luminosity at large times can be found straightforwardly. In the Newtonian limit, the local emergent flux has the form

\[ 2F = -\Sigma W^2 \Omega', \]

(A4)

and the total disc luminosity is given by

\[ L(t) = \int_{r_i}^{r_{out}} 4\pi r F dr. \]

(A5)

Technically, as the self-similar solutions are valid only in the outer Newtonian disc region, they should be used only down to a lower limit \( r_F \), which is close to \( r_i \) and represents the inner edge of validity. We shall assume, however, that the late time dependence of the luminosity is dominated by the bulk of the disc material, and is not significantly changed by the detailed emission between the ISCO and \( r_F \). (This may of course be checked explicitly by working entirely with the exact solutions, as we have done.) The upper integration limit \( r_{out} \) corresponds to the location of the evolving outer edge of the self-similar disc, and is given by

\[ r_{out} = r_F \exp^{2\lambda} - 2^{2/\beta}. \]

(A6)

We next substitute the general forms of the surface density solution (23) and turbulent stress (15) into equation (A5). This produces the following integral

\[ L(\tau) = \frac{L_0 \tau^{-\gamma}}{r_0} \int_{r_i}^{r_{out}} \left( \frac{r}{r_0} \right)^{\delta} \left[ 1 - k \left( \frac{r}{r_0} \right)^{\frac{B}{2}} \right] \tau^{-\lambda B} \frac{d\tau}{\tau}. \]

(A7)

where we have defined \( \gamma, \xi, \) and \( \delta \) as

\[ \gamma = (1 + \eta)(\lambda + \chi), \]

(A8)

\[ \xi = (1 + \eta) \frac{C_{-2}}{3} + \mu - \frac{1}{\tau}, \]

(A9)

\[ \delta = (1 + \eta)/\eta. \]

(A10)

We remind the reader that the parameters \( C, \lambda, \) and \( \chi \) which appear in this luminosity integral depend both upon the specifics of the turbulent stress parametrization ('\( \eta \) and \( \mu \) in equation (15)), and also upon whether the stress vanishes or remains finite at the ISCO (Sections 2.5.2 and 2.5.3).

The integral may be expressed in terms of a hypergeometric function of \( z = k(r/r_0)^B \nu \exp^{\lambda \nu} \), but it is unwieldy. As we are interested only in the behaviour of the emitted luminosity at large times, the leading order behaviour is sufficient. For physically relevant solutions of the disc equation, \( \lambda \) and \( B \) are both greater than zero (Pringle 1991). This means that for a given radius, the function in square brackets

\[ F(r, \tau) = \left[ 1 - k \left( \frac{r}{r_0} \right)^{\frac{B}{2}} \right] \tau^{-\lambda B} \]

(A11)

approaches unity at large times. The luminosity of the disc solutions are dominated by the hot inner, but still robustly Keplerian, regions. For sufficiently large times, \( F(r, \tau) \approx 1 \) over the bulk of the domain. We may therefore read off the time dependence of the emitted luminosity from the lead \( \tau^{-\gamma} \) factor

\[ L \sim \tau^{-\gamma} \sim \tau^{-(\frac{1}{3} + \eta)(\lambda + \chi)}. \]

(A12)

Substituting the appropriate values of \( C, \lambda, \) and \( \chi \) from Section 2.5.2, the late time luminosity from finite ISCO stress discs is

\[ L(t) \sim t^{-(\frac{1}{3} + \eta)(\lambda + \chi)}. \]

(A13)

By contrast, the vanishing stress solutions (Section 2.5.3) follow

\[ L(t) \sim t^{-(\frac{1}{3} + \eta)(\lambda + \chi)}. \]

(A14)

These behaviours are in very good agreement with exact numerical calculations.

A3 Proof that \( e^{-\phi} = U^0 \)

Start with

\[ \frac{dQ}{dr} = -U_\phi U^\phi (\ln \Omega)', \]

(A15)

where the primed ( ) notation on the right denotes \( d/dr \). With

\[ \Omega = \frac{d\phi}{dr} = U^\phi \Omega^0, \]

(A16)

we find

\[ \frac{dQ}{dr} = -U_\phi (U^\phi)' + U_\phi U^\phi (U^0)' / U^0. \]

(A17)

For a particle undergoing circular motion in the equatorial plane,

\[ U_\mu U^\mu = U^{\phi} U^\phi + U^0 U^0 = -1. \]

(A18)

Substituting for \( U_\phi U^\phi \) from (A18) into (A17),

\[ \frac{dQ}{dr} = - (\ln U^0)' - \left[ U_\phi (U^\phi)' + U_\phi (U^0)' \right]. \]

(A19)

To prove that the second grouping on the right vanishes for circular orbits, consider the covariant null 4-vector

\[ X_\mu = g_{\alpha\beta} U^\alpha U^\beta = \frac{1}{2} (U^\alpha U^\alpha) _\mu \equiv 0. \]

(A20)

The middle equality follows as \( g_{\alpha\beta} \) is symmetric and \( g_{\alpha\beta} : \mu = 0 \). (The semicolon as usual denotes a covariant derivative.) Expanding the covariant derivative in the first term on the right, we find

\[ X_\mu = g_{\alpha\beta} U^\alpha \partial_\mu U^\beta + g_{\alpha\beta} \Gamma^\beta_{\alpha\mu} U^\alpha U^\beta. \]

(A21)

where \( \Gamma^\beta_{\alpha\mu} \) is the usual affine connection. Writing the final term explicitly, with \( \partial_\mu \equiv d/dx^\mu \)

\[ g_{\alpha\beta} \Gamma^\beta_{\alpha\mu} U^\alpha U^\beta = \frac{1}{2} \left[ (\partial_\mu g_{\alpha\beta} + \partial_\beta g_{\alpha\mu} - \partial_\alpha g_{\mu\beta}) U^\alpha U^\beta. \]

(A22)

The first and third terms in the above cancel by symmetry. We therefore conclude

\[ X_\mu = g_{\alpha\beta} U^\alpha \partial_\mu U^\beta + \frac{1}{2} (\partial_\mu g_{\alpha\beta}) U^\alpha U^\beta = 0. \]

(A23)

The final term vanishes with the help of the geodesic equation for \( U^\mu \)

\[ \frac{dU^\mu}{dr} = \frac{1}{2} (\partial_\mu g_{\alpha\beta}) U^\alpha U^\beta. \]

(A24)
which for $\mu = r$ must be zero for circular orbits. Hence,

$$X_r = g_{ab}U^a\partial_r U^b = U_\phi(U^\phi)' + U_0(U^0)' = 0. \quad (A25)$$

Equation (A19) then becomes

$$\frac{dQ}{dr} = -\frac{d}{dr}(\ln U^0), \quad (A26)$$

which completes the proof, since we are free to choose $Q \to 0$ as $U^0 \to 1$. (Note that the value of the proportionality constant is not actually needed in the governing equation.)

**A4 Surface density and radial dependence of $W'_\phi$ in an $\alpha$-disc model**

We start with the statement that on extended evolutionary time-scales, the energy locally extracted from the disc shear must balance the locally radiated energy

$$W'_\phi \Sigma \Omega' \propto \frac{T^4}{\tau} \propto \frac{T^4}{\kappa \Sigma}. \quad (A27)$$

Here $\kappa$ is the opacity, $\tau$ the optical depth, $\Sigma$ the surface density, $W'_\phi$ the turbulent stress, $\Omega$ the angular frequency, and $T$ the mid-plane temperature. Note that a standard $\alpha$-disc model is defined through the phenomenological relationship (Shakura & Sunyaev 1973)

$$\tilde{W}_{\phi} \equiv \alpha c_s^2. \quad (A28)$$

The original Shakura & Sunyaev notation included a factor of the surface density $\Sigma$ on the right, but more importantly, $\tilde{W}_{\phi}$ should not, despite the presence and placement of the indices, be regarded as a true covariant tensor (hence the tilde notation). Following the standard formalism of equation (A28), $\alpha$ is assumed to be constant throughout the disc, and $c_s$ is the local sound speed of the orbiting gas. $W'_\phi$ measures correlations between ‘ordinary’ turbulent *velocity* fluctuations, whereas $W'_\psi$ measures correlations between fluctuations in the fluid angular momentum and radial velocity, a true 4-velocity correlation. The two quantities are related by

$$\tilde{W}_{\phi} = W'_\phi/r \propto c_s^2 \propto T. \quad (A29)$$

In the final proportionality on the right, we have used the gas pressure to compute the sound speed, an important assumption that may, as has been noted, break down when the total pressure is dominated by radiation. (In fact, it is probably more accurate to use a magnetic pressure.) In Section 4.4, we discuss in more detail the role of a possible viscous instability that emerges from the use of radiation pressure in (A29). Since our principal conclusions are insensitive to the precise functional form of $W'_\psi$, we follow the simplest path here, bearing in mind its possible limitations.

The disc scale height $H$ is related to the disc sound speed by

$$c_s \propto H \Omega \propto T^{1/2}. \quad (A30)$$

We assume a disc opacity of the form

$$\kappa \propto \rho^a T^{-b}, \quad (A31)$$

which may be used for a wide range of physically plausible models, including electron scattering $a = b = 0$, or a Kramers opacity: $a = 1, b = 7/2$. The density and surface density of the disc are related through the disc scale height

$$\rho \propto \Sigma/H. \quad (A32)$$

Finally, assuming Keplerian rotation

$$\Omega \propto r^{-3/2}, \quad (A33)$$

these relationships suffice to define the parameter dependence of the turbulent stress. Substitution of equations (A29)–(A33) into equation (A27) leads to the result quoted in the paper

$$W'_\phi \propto \Sigma^A r^B. \quad (A34)$$

Where

$$A = (4 + 2a)/(6 + a + 2b), \quad (A35)$$

and

$$B = (3 + 2b - 2a)/(6 + a + 2b). \quad (A36)$$

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