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Higher-order kinetic expansion of quantum dissipative dynamics: Mapping quantum networks to kinetic networks

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We apply a new formalism to derive the higher-order quantum kinetic expansion (QKE) for studying dissipative dynamics in a general quantum network coupled with an arbitrary thermal bath. The dynamics of system population is described by a time-convoluted kinetic equation, where the time-nonlocal rate kernel is systematically expanded of the order of off-diagonal elements of the system Hamiltonian. In the second order, the rate kernel recovers the expression of the noninteracting-blip approximation method. The higher-order corrections in the rate kernel account for the effects of the multi-site quantum coherence and the bath relaxation. In a quantum harmonic bath, the rate kernels of different orders are analytically derived. As demonstrated by four examples, the higher-order QKE can reliably predict quantum dissipative dynamics, comparing well with the hierarchic equation approach. More importantly, the higher-order rate kernels can distinguish and quantify distinct nontrivial quantum coherent effects, such as long-range energy transfer from quantum tunneling and quantum interference arising from the phase accumulation of interactions. © 2013 AIP Publishing LLC. [http://dx.doi.org/10.1063/1.4812781]

I. INTRODUCTION

Quantum dissipation plays a key role in understanding quantum dynamic processes. The interaction between a quantum system and its surrounding environment causes an irreversible loss of the energy and coherence of the quantum system. The relaxation and decoherence times are the limiting factor of the quantum computation and quantum information.1 In the Caldeira-Leggett model, the change of the dissipation strength can interpret quantum tunneling and localization in macroscopic systems.2,3 For many years, the solvent modulation in chemical reactions and quantum transport processes have attracted a lot of attention.2,5 Incorporated with the description of the solvent reorganization, the Marcus theory is able to explain essential features of electron transfer.8 In the recent two-dimensional (2D) electronic light spectroscopy, long-lived quantum coherence and wavelike dynamics have been found in natural light-harvesting protein complexes7 and organic conjugated polymers,8 which also triggers studies on the energy transfer optimization from the dissipation induced by the protein environment.9–15 To understand the nontrivial effect of quantum coherence in the energy transfer, we need to study the underlying quantum dissipative dynamics beyond the conventional Förster resonance energy transfer (FRET) theory.16

An accurate and reliable approach to compute quantum dissipative dynamics is a long-lasting but difficult theoretical problem. A large number of methods have been developed under many different frameworks, such as the Nakajima-Zwanzig projection operator,17,18 the Feynman-Vernon influence functional approach,19 and quantum stochastic noises formulation.20–22 The second-order perturbation methods, such as Fermi’s golden rule rate, Redfield equation,23 generalized Bloch-Redfield equation,24 and noninteracting-blip approximation (NIBA),3 are derived in the limit of either a weak or strong system-bath interaction. In the variational polaron approach,25 a self-consistent reference can partially improve the prediction of the second-order perturbation. With a classical bath, the Haken-Strobl-Reineker (HSR) model26–29 and other quantum-classical mixed methods30–33 describe dissipative dynamics at high temperatures. The dissipative dynamics under a quantum harmonic bath can be evaluated by many sophisticated methods, such as the semiclassical initial value representation (SC-IVR),34 the iterative linearized density matrix (ILDM) propagation,35 the quasi-adiabatic propagator path integral (QUAPI),36 the path integral Monte Carlo,37,38 etc. Despite their successes, these methods can be numerically expensive and become difficult for long-time dynamics. If the time correlation of the harmonic bath can be expanded as a sum of exponentially decaying functions, the hierarchy equation approach can accurately predict quantum dissipative dynamics by expanding over auxiliary fields.39–43 However, the hierarchy equation is numerically difficult for large-scale systems and the bath with a long-tail correlation, and converges slowly for strong system-bath interactions and low temperatures.

Hopping kinetics of the Fermi’s golden rule rate is often considered as a “classical” description of quantum dissipative dynamics, although the two-“site” quantum coherence is included in the rate expression.11,29 The interesting quantum phenomena beyond the second-order hopping kinetics can be attributed to nontrivial quantum effects of multi-“site” coherence, e.g., long-range transfer (tunneling) and quantum interference. The temporal correlation of bath is also crucial in
understanding the full quantum dynamics. The higher-order bath relaxation effect is caused by the deviation from the system-bath factorized reference state. In addition, the second-order hopping kinetics cannot predict the detailed balance of quantum dynamics, i.e., the Boltzmann equilibrium distribution including both the system and the bath.\textsuperscript{44, 45} The comparison of the second-order hopping kinetics and the full quantum dynamics in our previous paper\textsuperscript{11} has revealed the integrated behavior of the above effects, together with the flux network analysis. To distinguish and quantify nontrivial quantum effects, we need a systematic expansion procedure to calculate every higher-order correction beyond the second-order hopping kinetics, which is almost impossible in many sophisticated theoretical methods discussed above. Following the stationary approximation for the coherent term, the kinetic mapping of quantum dynamics allows us to identify the multi-site quantum coherence term by term in the HSR model.\textsuperscript{29} However, the theoretical method for a general quantum bath is still missing.

To address the above concerns, we will apply a general non-Markovian quantum kinetic equation, where the time-nonlocal rate kernel is obtained by a systematic expansion approach. This higher-order quantum kinetic expansion (QKE) method presents a rigorous mapping from a quantum network to a kinetic network, which helps us to identify nontrivial quantum effects and bath relaxation beyond the traditional classical description. In addition, the higher-order QKE is expected to serve as a numerically reliable method to calculate the population dynamics. For simplicity, we focus on a product state between the system and the bath at zero time, and assume that quantum system is initially prepared in the entangled system and bath, i.e., \( \rho \) is implicitly a quantum operator of bath and implicitly includes information of the bath relaxation and the multi-site coherence under the same framework for a multi-site quantum network.

The paper is organized as follows: In Sec. II, we will integrate the time evolution of quantum coherence and project the Liouville equation to be a closed dynamic equation of system population. In Sec. III, we will develop the non-Markovian higher-order quantum kinetic expansion method. The time-nonlocal kinetic rate kernel is generalized from the simplest second-order, i.e., the NIBA expression, to an arbitrary \( k \)th order. The time correlation function formalism in the Hilbert space provides rigorous expressions of rate kernels in an arbitrary bath. In Sec. IV, we will focus on the harmonic bath and apply the displacement operator and the cumulant expansion to derive the analytical expressions of rate kernels. In Sec. V, the higher-order QKE will be applied to four model systems for its reliability. In addition to its numerical accuracy, we will identify the bath-induced slow-down in the quantum transport rate, and two nontrivial quantum coherent effects, quantum tunneling and quantum phase interference. In Sec. VI, we will conclude and discuss the higher-order QKE method.

II. POPULATION DYNAMICS PROJECTED FROM THE LIOUVILLE EQUATION

For an arbitrary open quantum network, the total Hamiltonian is written as \( H = H_S + H_B + H_{SB} \), where \( H_S \) and \( H_B \) denote the bare system Hamiltonian and the bath Hamiltonian, respectively. The interaction between the system and the bath is described by \( H_{SB} \). The bare system Hamiltonian \( H_S \) is defined in its \( N \)-dimensional (\( N \)-D) Hilbert space. In the single-excitation manifold of a Frenkel exciton system, the \( n \)th basis of the Hilbert space, \( |n⟩ = |0, \ldots, 1, 0, \ldots⟩ \), represents a combination of one excitation state at the \( n \)th chromophore site and the ground state at all the other chromophore sites.\textsuperscript{4} The total Hamiltonian \( H \) can be also expanded in the \( N \)-D system Hilbert space using \( |n⟩|b⟩ = |0, b_1; \ldots; 1, b_n; 0, b_{n+1}; \ldots⟩ \), where \( |b_n⟩ = |b_{n+1}, b_{n+2}, \ldots, b_{M(n)}⟩ \) is the complete basis set of \( M \)-\( (\rightarrow \infty) \) bath modes surrounding \( |n⟩ \). Here we consider a bath-induced fluctuation, \( H_{SB,n} = \sum_{b,b'} H_{SB,n,b,b'} |b⟩⟨b'| \), over each diagonal element (\( \varepsilon_n \)) of \( H_S \). The fluctuation over off-diagonal elements (\( J_{mn} \)) of \( H_S \) is not included in our current model; this approximation is often applied in the study of energy and charge transfer.\textsuperscript{4, 5} The total Hamiltonian is given as

\[
H = \sum_n H_n |n⟩⟨n| + \sum_{m \neq n} J_{mn} |m⟩⟨n|,
\]

where \( H_n = \varepsilon_n + H_B + H_{SB,n} \) is implicitly a quantum operator of bath.

The time evolution of the total density matrix \( \rho(t) \) for the system-bath Hamiltonian is governed by the Liouville equation, \( \dot{\rho} = -i \mathcal{L} \rho \), where \( \mathcal{L} = [H, \ldots] \) is the Liouville superoperator. The Planck constant \( \hbar \) is treated as a unit throughout this paper. With respect to the system basis \( \{|n⟩\} \), we divide \( \rho \) into two sets: population \( \rho_P = \{|n⟩\} \) and coherence \( \rho_C = \{|m⟩⟨n|\} \). Each element, \( \rho_{nn} \) or \( \rho_{mn} \), is a quantum operator of bath and implicitly includes information of the entangled system and bath, i.e., \( \rho_{nn} = \sum_{b,b'} \rho_{nn,b,b'} |b⟩⟨b'| \) and \( \rho_{mn} = \sum_{b,b'} \rho_{mn,b,b'} |b⟩⟨b'| \). In this paper, we will derive
our theory using both Hilbert and Liouville frameworks. To distinguish notations in these two frameworks, we will use “state” to specify a density state (population and coherence) in the Liouville space, unless otherwise explained. The wavefunction basis of the Hilbert space will be referred as “site,” consistent with the single-excitation manifold in the multi-site exciton network. The original Liouville equation is divided into two coupled equations,

\[ \dot{\rho}_P = -i L_P \rho_P - i L_{PC} \rho_C, \quad (2a) \]

\[ \dot{\rho}_C = -i L_C \rho_C - i L_{CP} \rho_P, \quad (2b) \]

where the two subscripts P and C denote population and coherence of the system, respectively. The total Liouville superoperator is expressed in a block matrix form,

\[ \mathcal{L} = \begin{pmatrix} L_P & L_{PC} \\ L_{CP} & L_C \end{pmatrix}. \]

Next the coherence vector is integrated to yield

\[ \rho_C(t) = U_C(t) \rho_C(0) - i \int_0^t dt_2 \int_0^{t_2} dt_1 \delta_{t_2,t_1} U_C(t_2) L_{CP} \rho_P(t_1), \quad (4) \]

where \( U_C(t) = \exp(-i L_C t) \) is the time evolution matrix of coherence in the Liouville space. For simplicity, we assume zero initial coherence, \( \rho_C(0) = 0 \), so that the first term on the right hand side of Eq. (4) vanishes. More complicated initial conditions will be left in the future. In the two-“site” system, \( \mathcal{L}_C \) and \( U_C \) are diagonal in the basis, i.e., \( \mathcal{L}_{C;12;21} = 0 \) and \( U_{C;12;21} = 0 \). In the \( N(>2) \)-“site” systems, \( \mathcal{L}_C \) is no longer diagonal, but diagonal and off-diagonal elements might be distinguished by their orders of magnitude, e.g., \(| \mathcal{L}_{Cmn,m'n'} \| \gg |\mathcal{L}_{Cmn,m'n' \neq mn}| \), in the strong damping limit. We express \( \mathcal{L}_C \) as a sum of the diagonal matrix \( \mathcal{L}_{C0}^{(0)} = \mathcal{L}_{C,nn} \delta_{m,n} \delta_{m',n} \), and the remaining term, \( \mathcal{L}_{C1}^{(1)} = \mathcal{L}_C - \mathcal{L}_{C0}^{(0)} \). As shown in Sec. III D, the separation of \( \mathcal{L}_{C0}^{(0)} \) and \( \mathcal{L}_{C1}^{(1)} \) is equivalent to the separation of \( H_{0} \) and \( J_{mn} \).

Expanding the coherence vector \( \rho_C \) in the order of \( \mathcal{L}_{C1}^{(1)} \) and substituting the result into Eq. (2a), we obtain a closed time evolution equation of population,

\[ \dot{\rho}_P(t) = -i L_P \rho_P(t) + \sum_{k=2}^{\infty} \int_0^{\infty} \left( -(i)^k L_{PC} U_C^{(0)}(t_k) \right) \times \mathcal{L}_{C}^{(1)} U_C^{(0)}(t_{k-1}) \cdots \mathcal{L}_{C}^{(1)} U_C^{(0)}(t_2) L_{CP} \rho_P(t_1). \]

Equation (5) shows that a population flow always passes intermediate quantum coherence states in the Liouville space of density states. In the two-site system, population and coherence states appear subsequently, since the direct interconversion is not allowed between the two coherence states, \( \rho_{12} \) and \( \rho_{21} (\mathcal{L}_{C;12;21} = 0) \). In the \( N(>2) \)-site system, the direct interconversion between coherence states is allowed by a nonzero “interaction,” \( \mathcal{L}_{C}^{(1)} \), from the multi-site quantum coherence. The interactions responsible for the transition between coherence and population states are \( L_{PC} \) and \( L_{CP} \). All the three terms, \( \mathcal{L}_{C}^{(1)}, \mathcal{L}_{PC}, \mathcal{L}_{CP} \), arise from the off-diagonal elements \( J_{mn} \) of the bare system Hamiltonian, and will be counted together in the expansion order of the final quantum kinetic equation. For conciseness, we introduce the \( k \)th order time-nonlocal population transition matrix,

\[ \mathcal{W}^{(k)} = -(i)^k L_{PC} U_C^{(0)}(t_k) L_{C}^{(1)} U_C^{(0)}(t_{k-1}) \cdots L_{C}^{(1)} U_C^{(0)}(t_2) L_{CP}. \]

where \( k \) is the total number of \( L \) terms, including \( (L_{C}^{(1)}, L_{PC}, L_{CP}) \). Using the complete transition matrix, \( \mathcal{W} = \mathcal{W}^{(2)} + \mathcal{W}^{(3)} + \mathcal{W}^{(4)} + \cdots \), we formally rewrite the time evolution equation of population as

\[ \dot{\rho}_P(t) = -i L_P \rho_P(t) - \mathcal{W} \rho_P, \quad (7) \]

de where the symbol \( \ast \) represents a general time convolution form,

\[ X \ast Y = \int_0^t dt_1 \cdots dt_d \delta_{t_i+\cdots+t_d, t} X(t_1, \ldots, t_i) \times Y(t_{i+1}, \ldots, t_d) \delta_{t_1+\cdots+t_d, t}, \]

for two arbitrary functions \( X(t_1, \ldots, t_d) \) and \( Y(t_{i+1}, \ldots, t_d) \) of time. Equation (7) is equivalent to the projection of the original Liouville equation onto the population subspace without averaging over bath. A practical computation of the reduced system population dynamics relies on further simplifications introduced in Sec. III.

III. NON-MARKOVIAN HIGHER-ORDER QUANTUM KINETIC EQUATION

A. Local Born approximation and multi-site quantum coherence

In microscopic quantum systems, a useful physical observation is the time scale separation between different degrees of freedom. In this subsection, we assume that each local bath \( b_i \) can instantaneously relax to its Boltzmann equilibrium density state, \( \rho_{eq} = \exp(-\beta H_B) / \text{Tr}(\exp(-\beta H_B)) \), at any moment. Thus, the \( n \)th element of the transient total population vector is written in a product form,

\[ \rho_{P(t)}^{(n)} = P_{\mathcal{B}}(t) \rho_{eq}^{(n)}. \]

This locally fast bath (Born) approximation is different from \( \rho(t) = \rho_0(t) \rho_{eq} \) and \( \rho_{eq} \propto \exp(-\beta H_B) \) in the Redfield equation.\(^{23}\) The time scale separation in many realistic systems is not always satisfied, so that we will relax the above local Born approximation in Sec. III B and systematically include the contribution of bath relaxation.

In this subsection, we discuss high-order corrections of system coherence, i.e., multi-site coherence,\(^{11,29}\) under the local Born approximation. After averaging over bath in Eq. (7), the time evolution equation of the population system is given by

\[ \dot{P}(t) = -\text{Tr}_B \left[ \mathcal{W}^{(2)} + \mathcal{W}^{(3)} + \mathcal{W}^{(4)} + \cdots \right] \ast \rho_{eq} \ast P, \]

where \( \rho_{eq}^{\mathcal{B}} \) is the vector of the equilibrium bath density state at each local site, i.e., \( \rho_{eq}^{\mathcal{B}} = (\rho_{eq}^{b_1}, \rho_{eq}^{b_2}, \ldots)^T \). Here the super-script \( T \) denotes matrix transpose, and the symbol \( \ast \) defines a matrix product, \( X \ast Y_{mn} = X_{mn} Y_{nm} \), of a matrix \( X \) and a vector \( Y \). To be consistent, each local bath is in equilibrium initially,
i.e.,  \( \rho_{p,n}(0) = P_{n}(0) \rho_{b}^{eq} \), which belongs to the class-B preparation in Ref. 52.

For conciseness, we introduce two quantum bath operators, \( (X = \text{Tr}_{b}(X, \text{and} \ X) = X \star \rho_{b}^{eq}) \). Consequently, we define the bath average, \( \langle X \rangle = \text{Tr}_{b}(X \star \rho_{b}^{eq}) \), and the projection onto the bath equilibrium density state, \( \langle \ast \rho_{b}^{eq} \rangle = \text{Tr}_{b}( \) \( \ast \rangle \rangle \). Equation (9) is simplified to a time-evolution form, \( P(t) = -(\mathcal{V} \ast P) \). Compared to the second-order truncation approaches such as Fermi’s golden rule rate and NIBA, Eq. (9) systematically includes time-nonlocal corrections of multi-site quantum coherence, \( \{W^{(3)}, W^{(4)}, \ldots \} \), resulting from direct interconversion of coherence in the Liouville space.

**B. Bath relaxation effect**

Normally, the bath requires a characteristic time scale to adjust to the system change and relax back to equilibrium. The resulting memory kernel can be crucial for long-lived quantum coherence in light-harvesting systems \(^7,^8\) and solvent-modified electron transfer reactions.\(^5\) Therefore, we need a systematic and reliable way to include the contribution of bath relaxation beyond the Born approximation. To compute higher-order corrections from both quantum coherence and bath relaxation, we extend an approach previously for the two-site system\(^46\) to the general \( N \)-site system.

Integrating the time differential equation in Eq. (7), the total population vector in a non-equilibrium bath is written explicitly as a time-convolution form, \( \rho_{p}(t) = \mathcal{U}_{P}(t) \rho_{p}(0) - \mathcal{U}_{P} \ast \mathcal{V} \ast \rho_{p} \), where \( \mathcal{U}_{P}(t) \) is the time evolution matrix of population, \( \mathcal{U}_{P}(t) = \exp(-i \mathcal{L}_{P} t) \). For a product initial state, \( \rho_{P,n}(0) = P_{n}(0) \rho_{b}^{eq} \), the bath average leads to the system population in the form of

\[
P(t) = P(0) - [\ast 1(W^{(2)} \ast)] P(0) - [\ast 2(W^{(3)} \ast)] P(0) + [\ast 3(W^{(4)} \ast)] P(0) + \cdots ,
\]

where the expansion order is the total number of the Liouville superoperators, including \( \mathcal{L}_{C}^{(1)}, \mathcal{L}_{PC} \), and \( \mathcal{L}_{CP} \). Similar to that in Ref. 46, the notation of \( [\ast X \ast] \) defines a time convolution with the unit function in both the first \( (\tau_1) \) and final \( (\tau_k) \) time steps, i.e.,

\[
[\ast X \ast] = \int_{0}^{t} d\tau_{1} d\tau_{2} \cdots d\tau_{k} X(\tau_{2}, \ldots, \tau_{k-1}) \delta_{\tau_{1} + \tau_{2} + \cdots + \tau_{k}}.
\]

On the other hand, we can formally assign a time-nonlocal kinetic equation,

\[
P(t) = -\mathcal{K} \ast P,
\]

then leads to

\[
P(t) = P(0) - [\ast 1(K^{(2)} \ast)] P(0) - [\ast 2(K^{(3)} \ast)] P(0) + [\ast 3(K^{(4)} \ast)] P(0) + \cdots .
\]

The term-by-term comparison between Eqs. (10) and (13) determines the explicit forms of the quantum rate kernels, e.g.,

\[
K^{(2)}(\tau_1, \ldots, \tau_k) = \langle W^{(2)} \rangle - \langle W^{(3)} \rangle K^{(3)}(\tau_1, \ldots, \tau_k),
\]

\[
K^{(3)}(\tau_1, \ldots, \tau_k) = \langle W^{(3)} \rangle - \langle W^{(4)} \rangle K^{(4)}(\tau_1, \ldots, \tau_k),
\]

\[
K^{(4)}(\tau_1, \ldots, \tau_k) = \langle W^{(4)} \rangle - \langle W^{(5)} \rangle K^{(5)}(\tau_1, \ldots, \tau_k) + \cdots .
\]

**C. Higher-order quantum rate kernels and kinetic mapping**

Extending the procedure in Subsection III B to higher orders, we can straightforwardly derive the general form of the \( k \)th rate kernel, given by

\[
K^{(k>3)}(\tau_1, \ldots, \tau_k) = \langle W^{(k)} \rangle - \sum_{k_1, k_2 \geq 2} \delta_{k_1+k_2} \langle W^{(k_1)} U_{P} W^{(k_2)} \rangle - K^{(k_1)} K^{(k_2)} + \cdots
\]

\[
- \sum_{k_1, k_2, k_3 \geq 2} \delta_{k_1+k_2+k_3} \langle W^{(k_1)} U_{P} W^{(k_2)} U_{P} W^{(k_3)} \rangle - K^{(k_3)} K^{(k_2)} K^{(k_1)} + \cdots .
\]

The right-hand side of Eq. (15) is terminated when each index \( k_i \) of \( K^{(k_1)} \ldots K^{(k_k)} \ldots \) in the final summation term is equal to either 2 or 3. The summation terms subsequently change between positive and negative signs. The time variable sequence follows the same ordering, \( [\tau_2, \ldots, \tau_{k-1}, \tau_1] \), in each summation term. Comparing Eq. (15) with the expression in Sec. III A, we observe that in addition to the correction \( \langle W^{(k)} \rangle \) from multi-site quantum coherence, the bath relaxation (system-bath entanglement) also influences quantum dynamics due to the fluctuation around the reference density state of the local Born approximation (the local equilibrium density state of bath). For example, the second term of \( K^{(4)} \) can be simplified to \( \langle W^{(2)} \delta U_{P} W^{(2)} \rangle \), where \( \delta U_{P} = U_{P} - \langle U_{P} \rangle \) represents the deviation from the local Born approximation. Compared to the expression in Ref. 47, Eq. (15) includes the odd-\( k \) terms from the imaginary accumulated phases.

The non-Markovian quantum kinetic equation in Eq. (12) together with kinetic rate kernels in Eqs. (14) and (15) constructs a rigorous theoretical framework, i.e., the higher-order QKE, to compute the time evolution of the system population in a quantum network. The quantum dynamics of the density matrix in the \( N \)-D Liouville space is mapped onto kinetics in the \( N \)-D population space. In the HSR model, such kinetic mapping was developed based on the stationary approximation of coherence.\(^29\) In our current formalism, kinetic mapping is generalized for the arbitrary \( N \)-D quantum network using the non-Markovian rate kernel \( K \). The
leading-order expansion, \(K^{(2)}\), is the same as the rate kernel in the NIBA approach. The time integration of \(K^{(2)}\) recovers Fermi’s golden rule rate, which is often considered as the “classical” description of kinetics. As corrections to \(K^{(2)}\), higher-order rate kernels \(K^{(k)}\) can identify and quantify various nontrivial quantum coherent effects, which will be demonstrated by examples in Sec. V.

**D. Quantum kinetic rate kernels expressed in Hilbert space**

To compute quantum kinetic rate kernels, we express the superoperators \(\mathcal{L}\) and \(\mathcal{U}(t)\) as functions of the Hamiltonian \(H\) and the time evolution operator \(U(t)\) in the Hilbert space. Based on its definition, \(\mathcal{L}X \equiv [H, X]\), the Liouville superoperator \(\mathcal{L}\) is expanded to be, \(\mathcal{L}_{mn,kl}X_{kl} = H_{mk}X_{kl}\delta_{n,m,k} - X_{kl}H_{m}\delta_{n,m,k}\), where the positions of \(H_{mk}\) and \(H_{m}\) are usually fixed since these two Hamiltonian elements can be quantum operators of bath, the same for \(X\). In this paper, we ignore the fluctuation around off-diagonal Hamiltonian elements, which leads to the following scalar forms:

\[
\mathcal{L}_{PC,m,kl} = J_{mk}\delta_{m,1} - J_{lm}\delta_{m,k}, \quad \mathcal{L}_{CP,kl,m} = J_{km}\delta_{l,m} - J_{ml}\delta_{k,m}, \quad \mathcal{L}_{C,(1)_{kl,1},k2} = J_{k2}\delta_{l,2} - J_{l2}\delta_{k,2}. \tag{16a,b,c}
\]

The other two Liouville superoperators, \(\mathcal{L}_P\) and \(\mathcal{L}_C^{(0)}\), are diagonal in the system basis. Each diagonal element of the two corresponding time evolution matrices behaves as

\[
\mathcal{U}_{P,m}(t)X = U_{m}(t)XU_{m}^{+}(t), \quad \mathcal{U}_{C,m}^{(0)}(t)X = U_{m}(t)XU_{m}^{+}(t), \tag{17a,b}
\]

where \(U_{n}(t) = \exp(-iH_{n}t)\) is the time evolution operator of the local site basis \(|n, b\rangle\) in the Hilbert space.

Next we substitute Eqs. (16) and (17) into the expressions of quantum kinetic rate kernels derived in Secs. III B and III C. For example, the second-order kinetic rate kernel becomes

\[
K^{(2)}_{mn\neq mn} = -2|J_{mn}|^{2}\text{Re} \text{Tr}_{\{U_{m}^{+}(t)U_{n}(t)\rho^{eq}_{b_{b}}\}}, \tag{18}
\]

where “Re” denotes the real part of a complex variable and the imaginary symbol “Im” will also be used in this paper. The higher-order kinetic rate kernels can be similarly obtained. So far our derivation is rigorous and general: The surrounding bath can be an ensemble of harmonic or anharmonic oscillators with an arbitrary spectral density. The bath can alternatively be defined by nuclear motion of molecules and atoms, following quantum or a classical dynamics. The system-bath coupling \(H_{SB}\) can follow any functional forms in addition to the regular bilinear form. For complex baths, numerical simulation will be required to calculate the rate kernel of different orders.

**IV. HIGHER-ORDER QUANTUM KINETIC EXPANSION FOR A HARMONIC BATH**

In the remainder of this paper, we will focus on a bilinear coupling between the system and a harmonic (Boson) bath. In this section, we will derive analytical expressions of rate kernels required in the higher-order QKE. With the creation \((a_i^+)^{\dagger}\) and annihilation \((a_i)\) operators for the \(i\)th harmonic oscillator, the diagonal Hamiltonian element for each system site \(|n\rangle\) is written as

\[
H_{n} = \varepsilon_{n} + \sum_{i} \omega_{i}a_{i}^{\dagger}a_{i} + \sum_{i} \omega_{i}x_{n}(a_{i}^{\dagger} + a_{i}), \tag{19}
\]

where the quantum zero-point energy \(\omega_{i}/2\) is ignored and the coefficient \(x_{n}\) is the system-bath coupling strength reduced by the frequency \(\omega_{i}\) of the \(i\)th harmonic oscillator. Quantum operators of different harmonic oscillators are assumed to commute with each other, i.e., \([a_{i}^{\dagger}, a_{j}^{\dagger}] = 0\) for \(i \neq j\).

Equation (19) implicitly assumes a universal environment for all the system sites, and an alternative approach is to apply an isolated environment for each site; these two methods can lead to the same result.

**A. Canonical transformation of the displacement operator**

The trace of time-dependent operators over the quantum harmonic bath can be solved by many theoretical techniques, e.g., the path-integral method. Here we will apply a canonical transformation method together with the cumulant expansion.

The displacement operator, \(G_{n} = \exp[\sum_{i} x_{ni}(a_{i}^{\dagger} - a_{i})]\), is used to diagonalize the bath-modulated diagonal Hamiltonian element, resulting in system-bath decomposition,

\[
G_{n}\tilde{H}_{n}G_{n}^{-1} = \tilde{\varepsilon}_{n} + H_{B}, \tag{20}
\]

where a shift appears in the diagonal energy, \(\tilde{\varepsilon}_{n} = \varepsilon_{n} - \sum_{i} \omega_{i}x_{ni}^{2}\). Although the same canonical operator is applied in the polaron method, our general quantum kinetic equation formalism does not rely on the concept of polaron, as stated in Sec. III. The diagonalization in Eq. (20) allows us to factorize the local time evolution operator into a product form,

\[
U_{n}(t) = \tilde{U}_{SB,n}(t)[G_{n}^{-1}U_{B}(t)G_{n}], \tag{21}
\]

and express the local bath equilibrium state operator as

\[
\rho_{n}^{eq} = G_{n}^{-1}\rho_{b_{b}}^{eq}G_{n}, \tag{22}
\]

where \(\tilde{U}_{SB,n}(t) = \exp(-i\tilde{\varepsilon}_{n}t)\) is the time evolution function of the displaced system, and the other two operators, \(U_{B}(t) = \exp(-iH_{B}t)\) and \(\rho_{b_{b}}^{eq} \propto \exp(-\beta H_{B})\), only depend on bath. In the Heisenberg picture, the time-dependent displacement operator is then written as

\[
G_{n}(t) = U_{n}^{+}(t)G_{n}U_{n}(t) = \exp \left\{ \sum_{i} x_{ni}[a_{i}^{\dagger}(t) - a_{i}(t)] \right\}, \tag{23}
\]

where \(a_{i}(t) = a_{i}e^{-i\omega_{i}t}\) and \(a_{i}^{\dagger}(t) = a_{i}^{\dagger}e^{i\omega_{i}t}\) are time-dependent annihilation and creation operators, respectively.
Substituting the above results into the second-order quantum kinetic rate kernel $K^{(2)}$, we arrive at

$$K^{(2)}_{mn}(b) = -2|J_{mn}|^2 \Re e^{i\epsilon_{mn}t} \Tr \left[ G_{nn}(t) G_{mm} \rho_b \right]$$

$$= -2|J_{mn}|^2 \Re e^{i\epsilon_{mn}t} \Tr \left[ G_{nn}(t) G_{mm}/b \right],$$

(24)

with $G_{nn} = G_m G_n^{-1} = \exp \left[ \sum_i x_{mn,i} (b_i^+ - b_i^0) \right]$, $x_{mn,i} = x_{mi} - x_{ni}$, and $\tilde{\epsilon}_n = \tilde{\epsilon}_m - \tilde{\epsilon}_i$. The average, $\langle X \rangle_b = \Tr_b \{ X \rho_b^\text{eq} \}$, is taken over the decoupled bath. By extending this method to higher-order expressions, we observe that all the quantum kinetic kernels are fully determined by multi-time correlation functions of the canonical operator $G_{mn}$.

### B. Time correlation functions of position shift operator

In this subsection, we derive the general form of multi-time correlation functions of $G_{mn}$ in the harmonic bath.

Applying the quantum thermal average of the harmonic bath, we obtain the analytical form of the two-time correlation function,

$$\langle G_{m,n_2}(t) G_{m,m_1}(0) \rangle_b = \exp \{-g_{m,n_2,m_1}(t)\},$$

(25)

where

$$g_{m,n_2,m_1}(t) = \sum_i x_{m,n_2,i} x_{m_1,n_2,i} \left[ (1 - \cos \omega_i t) \coth(\beta \omega_i/2) + i \sin \omega_i t \right].$$

(26)

In practice, we can assume a “spatial” correlation, $x_{m,n} = \epsilon_{mn} x_{1,2}^2$, between each pair of system sites, $|m\rangle$ and $|n\rangle$. In the spin-boson model, a perfect negative correlation, $\epsilon_{mn} = 2\delta_{m,n} - 1$, can be deduced from the Pauli matrix $\sigma_z$. In energy transfer systems, a zero spatial correlation, $\epsilon_{mn} = \delta_{m,n}$, is often used. For a continuous bath, the spectral density is defined by $J(\omega) = \sum_i \epsilon_i^2 x_{1,2}^2 \delta(\omega - \epsilon_i)$, and Eq. (26) is simplified to $g_{m,n_2,m_1}(t) = s_{m_1,m_2,n_2} g(t)$ with

$$s_{m_1,m_2,n_2} = \{ \epsilon_{m_1} + \epsilon_{n_2} - \epsilon_{m_2} - \epsilon_{m_1} \},$$

(27a)

$$g(t) = \int_0^\infty d\omega [J(\omega)/\omega^2] \left[ (1 - \cos \omega t) \coth(\beta \omega/2) + i \sin \omega t \right].$$

(27b)

The above procedure can be straightforwardly extended to multi-time correlation functions following the cumulant expansion of the Gaussian distributed noise. In general, the $k$th-order time correlation function reads

$$\langle G_{m_{k_1},n_{k_2}}(t_k) G_{m_{k-k-1}}(t_{k-1}) \cdots G_{m_{n_1}}(t_1) \rangle_b$$

$$= \exp \left\{ - \sum_{j=2}^{k} \sum_{j'=1}^{j-1} g_{m_{n,j},m_{n,j'}} (t_j - t_{j'}) \right\},$$

(28)

where the index set, $\{m_k, m_{k-1}, \ldots, m_1\}$, is the permutation of the original set of indices, $\{n_k, n_{k-1}, \ldots, n_1\}$. An additional constraint, $m_k = n_1$, is needed to close the index loop, as required by taking the trace.

### C. Three leading-order quantum rate kernels in the harmonic bath

Through a tedious but straightforward derivation, we obtain the analytical forms of quantum rate kernels in the harmonic limit. Here we summarize and discuss the result of the three leading order rate kernels, which will be applied to examples in Sec. V.

In the second-order quantum rate kernel, i.e., the NIBA rate kernel, each off-diagonal element is written as

$$K^{(2)}_{mn}(s_2) = -2|J_{mn}|^2 \Re e^{i\epsilon_{mn}t} \exp \{-i \tilde{\epsilon}_{mn} t_2 + s_{mn} g(t_2)\},$$

(29)

with $s_{mn} = s_{mn,mn} = 2(1 - \epsilon_{mn})$. The diagonal element $K^{(2)}_{nn}$ is calculated by a summation, $K^{(2)}_{nn} = - \sum_{m \neq n} K^{(2)}_{mn}$. Following the original equation of the total density matrix in Eq. (5), we can interpret each term of the time convolution, $\mathcal{K} \ast P_s$, as a dynamic trajectory of density states in the Liouville space, which determines the population evolution of system at the next moment. The diagrammatic representation of dynamic trajectories can clarify the effects of quantum coherence and bath relaxation in each term of $\mathcal{K}$. Figure 1(a) presents such a dynamic transition, $P_0 P_{eq} \to \rho_{mn} \to P_{m} P_{eq}$, accounted in $K^{(2)}_{mn}$. Here different circles of density states (population and coherence) are connected by arrow lines, representing the direction of the dynamic transition. Each arrowed line is associated with a coupling $J$, which is the interaction responsible for the transition from one density state to the next one. Our diagrammatic representation resembles the pathways in Ref. 47, but emphasizes the topology of the system Hamiltonian so that it is closer to kinetic mapping representation in Ref. 29 and easier to extract different dynamic behaviors in terms of expansion order. In addition, the factorized and un-factorized population states are plotted together to highlight the reduced kinetics in the population subspace.

The higher-order rate kernels are corrections to $K^{(2)}$, related to the multi-site quantum coherence and the bath

![Diagram](http://jcp.aip.org/about/rights_and_permissions)
relaxation. In detail, the third-order rate kernel is given by

\[
\begin{aligned}
K^{(3)}_{mn,(\neq m)}(\tau_2, \tau_3)
&= 2\text{Im}\left[ J_{mn}J_{nk}J_{km}\left( e^{i(\varepsilon_{nm}\tau_2 + \varepsilon_{kn}\tau_3)} - F_{\text{eq},km} \right) + e^{i(\varepsilon_{nk}\tau_2 + \varepsilon_{km}\tau_3)} - F_{\text{eq},mn} \right],
\end{aligned}
\]  

(30)

with \( F^{\pm}_{,abc} = s_{ac,cb}g(\tau_2) + s_{ab,ac}g(\pm \tau_3) + s_{ba,bc}g(\tau_2 + \tau_3) \). The summation over the extra system basis index \( k \) is implied in Eq. (30), and the same notation is applied to the other higher-order rate kernels. A typical dynamic transition, \( P_nP_{\text{eq}} \rightarrow \rho_{mn} \rightarrow \rho_{nk} \rightarrow P_mP_{\text{eq}} \), from the RHS of Eq. (30) is plotted in Fig. 1(b). The nonzero prefactor, \( J_{mn}J_{nk}J_{km} \), requires a closed interaction loop in the system, so that \( K^{(3)} \) does not appear in a 1D chain model under the nearest neighbor interaction.\(^{47,50}\) For complex interactions, quantum phase interference can be significant in \( K^{(3)} \), which will be demonstrated by an example of the three-site system in Sec. V D.

The fourth-order quantum rate kernel can be divided into two terms depending on the time evolution operator in the intermediate step: \( K^{(4)}_{\text{bath}} \) due to the bath relaxation (\( \delta U_{\text{bath}} \)) and \( K^{(4)}_{\text{coherence}} \) due to the multi-site coherence (\( U_{\text{eq}}^{(4)} \)). The first term \( K^{(4)}_{\text{bath}} \) is explicitly written as

\[
K^{(4)}_{\text{bath}}(\tau_2, \tau_3) = 2\text{Re}\left[ J_{mn}J_{nk}J_{km}\left( e^{i(\varepsilon_{mn}\tau_2 + \varepsilon_{kn}\tau_3)} - F_{\text{eq},mn} \right) + e^{i(\varepsilon_{nk}\tau_2 + \varepsilon_{km}\tau_3)} - F_{\text{eq},nk} \right],
\]

(31)

with \( F^{\pm}_{,4A} = g(\pm \tau_3) - g(\tau_2 + \tau_3) - g(\pm (\tau_1 + \tau_3)) + g(\tau_2 + \tau_3 + \tau_4) \). As shown in Fig. 2, the dynamic transitions in \( K^{(4)}_{\text{bath}} \) can be categorized into three types of diagrams: (a) The interaction prefactor is \( |J_{mn}|^2 \), and the dynamic transition is within the sub-Liouville space of the starting and ending system sites, \( |n| \) and \( |m| \). A typical transitions is \( P_nP_{\text{eq}} \rightarrow \rho_{mn} \rightarrow \rho_{nk} \rightarrow P_mP_{\text{eq}} \), where an intermediate population fluctuation occurs at site \( n \) because the non-equilibrium bath is entangled with the system. (b) The interaction prefactor is \( |J_{mn}|^2|J_{mn}|^2 \). In the dynamic transition, a coherent state between \( |m|(|n|) \) and an additional site \( |k|(|\neq m|, |n|) \) is involved but the intermediate population fluctuation is still caused by the bath entangled with site \( |m| \) or \( |n| \). A typical transition is \( P_nP_{\text{eq}} \rightarrow \rho_{mn} \rightarrow \rho_{mk} \rightarrow P_mP_{\text{eq}} \). (c) The interaction prefactor is \( |J_{mn}|^2|J_{nk}|^2 \), so that the intermediate population fluctuation is caused by the bath entangled with the additional site \( |k| \). A typical transition is \( P_nP_{\text{eq}} \rightarrow \rho_{nk} \rightarrow \rho_{nk} \rightarrow P_mP_{\text{eq}} \). In the two-site system, only the first type of trajectories can appear.\(^{46}\) In the \( N \)-site system, the bath relaxation can also induce a long-range transport from the second and third types of trajectories in Figs. 2(b) and 2(c), in addition to the multi-site coherence.

The other fourth-order term, \( K^{(4)}_{\text{coherence}} \), due to the multi-site coherence is explicitly given by

\[
K^{(4)}_{\text{coherence}}(\tau_2, \tau_3) = 2\text{Re}\left[ J_{nk}J_{kl}J_{lm}J_{mn}\left( e^{i(\varepsilon_{nk}\tau_2 + \varepsilon_{lm}\tau_3 + \varepsilon_{mn}\tau_1)} - F_{\text{eq},nk}\right) + e^{i(\varepsilon_{nl}\tau_2 + \varepsilon_{lm}\tau_3 + \varepsilon_{mn}\tau_1)} - F_{\text{eq},nl}\right],
\]

(32)

with \( F^{\pm}_{,4A} = g(\pm \tau_3) - g(\tau_2 + \tau_3) - g(\pm (\tau_1 + \tau_3)) + g(\tau_2 + \tau_3 + \tau_4) \). As shown in Fig. 2, the dynamic transitions in \( K^{(4)}_{\text{bath}} \) can be categorized into three types of diagrams: (a) The interaction prefactor is \( |J_{mn}|^2 \), and the dynamic transition is within the sub-Liouville space of the starting and ending system sites, \( |n| \) and \( |m| \). A typical transitions is \( P_nP_{\text{eq}} \rightarrow \rho_{mn} \rightarrow \rho_{nk} \rightarrow P_mP_{\text{eq}} \), where an intermediate population fluctuation occurs at site \( n \) because the non-equilibrium bath is entangled with the system. (b) The interaction prefactor is \( |J_{mn}|^2|J_{mn}|^2 \). In the dynamic transition, a coherent state between \( |m|(|n|) \) and an additional site \( |k|(|\neq m|, |n|) \) is involved but the intermediate population fluctuation is still caused by the bath entangled with site \( |m| \) or \( |n| \). A typical transition is \( P_nP_{\text{eq}} \rightarrow \rho_{mn} \rightarrow \rho_{mk} \rightarrow P_mP_{\text{eq}} \). (c) The interaction prefactor is \( |J_{mn}|^2|J_{nk}|^2 \), so that the intermediate population fluctuation is caused by the bath entangled with the additional site \( |k| \). A typical transition is \( P_nP_{\text{eq}} \rightarrow \rho_{nk} \rightarrow \rho_{nk} \rightarrow P_mP_{\text{eq}} \). In the two-site system, only the first type of trajectories can appear.\(^{46}\) In the \( N \)-site system, the bath relaxation can also induce a long-range transport from the second and third types of trajectories in Figs. 2(b) and 2(c), in addition to the multi-site coherence.

\[
K^{(4)}_{\text{coherence}}(\tau_2, \tau_3) = 2\text{Re}\left[ J_{nk}J_{kl}J_{lm}J_{mn}\left( e^{i(\varepsilon_{nk}\tau_2 + \varepsilon_{lm}\tau_3 + \varepsilon_{mn}\tau_1)} - F_{\text{eq},nk}\right) + e^{i(\varepsilon_{nl}\tau_2 + \varepsilon_{lm}\tau_3 + \varepsilon_{mn}\tau_1)} - F_{\text{eq},nl}\right],
\]

(32)
the fourth order, and each type is further divided into two transition structures. As shown in Figs. 3(a) and 3(b), the first type of $K^{(2)}_{\text{coherence, mn}}$ involves one additional system site: (a) The site $k$ interacts with either the starting ($|n\rangle$) or the ending ($|m\rangle$) site, and the interaction prefactor is $|J_{mn}|^2 |\langle n|m_k|k\rangle|^2$. One example dynamic transition is $P_{n}\rho_{mn}^{\text{eq}} \rightarrow \rho_{mn} \rightarrow \rho_{mk} \rightarrow \rho_{mn} \rightarrow P_{m}\rho_{km}^{\text{eq}}$. (b) The site $|k\rangle$ interacts with both $|m\rangle$ and $|n\rangle$, and the interaction prefactor is $|J_{mk}|^2 |\langle m|n_k|k\rangle|^2$. One example transition is $P_{n}\rho_{mn}^{\text{eq}} \rightarrow \rho_{kn} \rightarrow \rho_{mn} \rightarrow \rho_{mk} \rightarrow \rho_{mk} \rightarrow P_{m}\rho_{km}^{\text{eq}}$. As shown in Figs. 3(c) and 3(d), the second type of $K^{(2)}_{\text{coherence, mn}}$ involves two additional system sites, $|k\rangle$ and $|l\rangle$, which interact with both $|m\rangle$ and $|n\rangle$ and form a closed loop: (c) The starting and ending sites, $|m\rangle$ and $|n\rangle$, are interacted. One example transition is $P_{n}\rho_{ln}^{\text{eq}} \rightarrow \rho_{mn} \rightarrow \rho_{ml} \rightarrow \rho_{mn} \rightarrow P_{m}\rho_{mn}^{\text{eq}}$ with the interaction prefactor $J_{mn}J_{nk}J_{kl}$. (d) The two sites, $|m\rangle$ and $|n\rangle$, are not interacted. One example transition is $P_{n}\rho_{mn}^{\text{eq}} \rightarrow \rho_{kn} \rightarrow \rho_{mn} \rightarrow \rho_{mk} \rightarrow \rho_{mn} \rightarrow P_{m}\rho_{mn}^{\text{eq}}$ with the interaction prefactor $J_{mn}J_{nk}J_{kl}$.

The long-range quantum transport in the linear chain system is explained by the first type of trajectories, whereas the four-site quantum interference is described by the second type of trajectories.

The physical spirit of the higher-order QKE in our paper is to project the total dynamics into kinetics in the population subspace, which has been applied in different approaches previously. Instead of using the projection operator directly, we apply an indirect approach beginning with a formal block matrix manipulation to remove the coherence dynamics. After the standard expansion, we further use a term-by-term comparison with a designed kinetic equation to extract all the rate kernels. This approach originates from an early paper for the spin-boson model. Besides, for the harmonic bath, we introduce the concept of “spatial correlation” to unify different models. For example, the spatial correlation, $c_{m}c_{m+1} = -1$, can recover a generalized spin-boson model while the $\delta$-correlation, $c_{m}c_{m} = \delta_{m,n}$, is consistent with the individual bath approximation for the energy transfer.

The second example is a two-site system in a quantum bath (see Fig. 4(a)). This model is widely applied in the study of quantum transport and quantum phase transition. Without the coherence-coherence transition ($\mathcal{L}_C(1) = 0$), all the terms...
with $\forall \lambda(t>0)$ disappear and higher-order corrections only arise from the bath relaxation effect, differing from the pure multi-site coherence effect in the HSR model. In Ref. 46, the bath relaxation effect in the spin-boson model has been studied following the short-time asymptotic expression of $g(t)$. We will extend the calculation to the donor-acceptor pair with the zero spatial correlation, $c_{nn}=\delta_{mn}$. To compare with the exact quantum dynamics, we consider a quantum bath described by the Debye spectral density, which can be alternatively solved by the hierarchy equation.\(^{39-43}\)

The Debye spectral density is given by

$$J(\omega) = \Theta(\omega) \left( \frac{2\lambda}{\pi} \right) \frac{\omega \omega_D}{\omega^2 + \omega_D^2},$$

where $\Theta(\omega)$ is the Heaviside step function of $\omega$, $\lambda$ is the reorganization energy, and $\omega_D$ is the Debye frequency. The inverse of $\omega_D$ represents the characteristic time scale of bath relaxation, and the quantum coherence can be largely preserved as $\omega_D$ decreases. To reduce the computation cost in the hierarchy equation, the high-temperature approximation is applied to the time correlation function, resulting in

$$g(t) \approx 2\lambda \frac{\beta}{\omega_D} \left[ |t| - \frac{1 - e^{-\omega_D|t|}}{\omega_D} \right] + i \text{Sign}(t) \lambda \frac{1 - e^{-\omega_D|t|}}{\omega_D},$$

where $\text{Sign}(t)$ is the sign function of $t$. In the short-time limit ($|t| \to 0$), $g(t)$ asymptotically follows $g(t) \sim \lambda^2 |t|/\beta + i \lambda t$, which is applied in the study of electron transfer.\(^{46-50}\) In the long-time limit ($|t| \to \infty$), the asymptotic time dependence becomes $g(t) \sim 2\lambda |t|/\beta \omega_D + i \text{Sign}(t) \lambda \omega_D$, which resembles the result in the HSR model. To be consistent, the high-temperature approximation is also used in our higher-order QKE approach.

The parameters of our numerical calculation, $\varepsilon_{12} = 100 \text{ cm}^{-1}$, $\omega_D^{-1} = 100 \text{ fs}$, and $T = 300 \text{ K}$, are taken from Ref. 41. Two different site-site couplings, $J_{12} = 20$ and $100 \text{ cm}^{-1}$, are chosen to test the reliability of the higher-order QKE. The results of the effective forward rate ($k_{A-D}$) from the donor to the acceptor from the higher-order QKE and the hierarchy equation are plotted in Fig. 5. Here, the effective rate is defined based on the time integration over a non-Markovian kinetic equation, representing the overall transfer behavior even though the detailed population evolution can be oscillatory with time. For the small site-site coupling ($J = 20 \text{ cm}^{-1}$), with the change of the reorganization energy $\lambda$, a difference up to $<40\%$ can be resolved between $k_{A-D}$ calculated from the hierarchy equation and from the second-order kinetic rate $k^{(2)}$ ($i.e.$, the Förster rate). As a comparison, $k_{A-D} \approx k^{(2)} + k^{(4)}$ after the leading order correction $k^{(4)}$ converges to the result of the hierarchy equation. With the Padé approximation,\(^{46,47}\) we apply the partial resummation technique, $k_{A-D} \approx [k^{(2)}]^2/[k^{(2)} - k^{(4)}]$. This improved prediction agrees perfectly with the result of hierarchy equation for an arbitrary $\lambda$. To further demonstrate that the higher-order QKE is not limited in the regime of small site-site coupling, we test a much larger value, $J_{12} = 100 \text{ cm}^{-1}$, where the transport does not follow the simple hopping picture and the Förster rate $k^{(2)}$ can be three times larger than the exact result. Although $k^{(4)}$ causes an unphysical overcorrection to $k^{(2)}$, the prediction after the Padé approximation compares very well with the result of the hierarchy equation in the whole regime of $\lambda$, especially in both coherent ($\lambda < 20 \text{ cm}^{-1}$) and incoherent limits ($\lambda \sim 1000 \text{ cm}^{-1}$). By combining the higher-order QKE method with the Padé approximation, the higher-order QKE can thus improve the theoretical prediction of quantum dissipative dynamics with a tolerable increased computation cost.

### C. Long-range energy transfer in a three-site bridge system

In one model Hamiltonian of the seven-site Fenna-Matthews-Olson (FMO) protein complex,\(^{4,11,53,54}\) the first energy transfer pathway (sites 1 $\rightarrow$ 2 $\rightarrow$ 3) carries a barrier crossing event at site 2, and sites 1 and 3 are weakly coupled to each due to their long distance. In classical kinetics, such a pathway is hindered by the barrier crossing from site 1 to site 2, becoming less favorable compared to the alternative downhill pathway, 6 $\rightarrow$ (5, 7) $\rightarrow$ 4 $\rightarrow$ 3. However, our
previous quantum-classical comparison\(^{11}\) has showed that the first pathway can dominate even at the room temperature \((T = 300\, \text{K})\) when the electronic excitation is initialized at site 1. The adjustment of the energy transfer pathway is mainly caused by the direct energy transfer from site 1 to site 3 through multi-site quantum coherence.

To demonstrate the long-range energy transfer phenomenon in a simple but transparent manner, we select the sub-system of the first energy transfer path in our seven-site FMO model. We further set zero dipole-dipole coupling between site 1 and site 3 (see Fig. 4(b)) to neglect the irrelevant third-order correction \(K^{(3)}\) but focus on the leading-order corrections: the multi-site quantum coherence \(K^{(4)_{\text{coherence}}}\), and the bath relaxation \(K^{(4)_{\text{bath}}}\). Following our previous papers,\(^{9,11}\) the Hamiltonian of our three-site system is given by

\[
H_S = \begin{pmatrix}
280 & -106 & 0 \\
-106 & 420 & 28 \\
0 & 28 & 0
\end{pmatrix} \text{cm}^{-1}.
\]  

(39)

The Debye bath with the physiological condition is considered: \(\lambda = 35\, \text{cm}^{-1}, \omega_D = 50\, \text{fs},\) and \(T = 300\, \text{K}\), together with the zero spatial correlation, \(\epsilon_{mn} = \delta_{mn}\).

The population dynamics predicted by the higher-order QKE are plotted in Fig. 6(a), together with the result of the hierarchy equation. We find that in the second order, the quantum kinetic equation using the Förster rate is unable to reliably predict both the short-time quantum oscillations and the long-time kinetics. Following the Padé approximation, the fourth-order corrections \(K^{(4)_{\text{bath}}}\) and \(K^{(4)_{\text{coherence}}}\) are included in the rate matrix. With these leading-order corrections, the prediction of the higher-order QKE is significantly improved, compared with that of the hierarchy equation. To avoid the overcorrection of these two terms, the Padé approximation is used for each correction term in our calculation. The overall higher-order corrections can be extracted by the comparison between the second-order equation and the exact solutions, e.g., the hierarchy equation\(^{11}\) and the path integral Monte Carlo.\(^{55}\) The term-by-term expansion in the QKE can systematically distinguish the detailed contributions from different higher-order corrections. One advantage of the kinetic expansion is the possibility to treat large quantum networks without exponential scaling. As shown in Fig. 6(b), we find that \(K^{(4)_{\text{bath}}}\) can quantitatively describe the profile of slow-down (\(<\,400\, \text{fs}\)) in the time evolution of populations at sites 1 and 2, although the exact quantum dynamics behaves as an under-damped oscillator. Further improvement requires the non-Markovian form of the time-nonlocal rate kernel \(\mathcal{K}\). Our comparison also determines that the bath relaxation does not affect the long-time dynamics (\(\sim\,400\, \text{fs}\)), possibly due to the fact that the bath relaxation time (50 fs) is still much shorter than the overall energy transfer time (\(\sim\,\text{ps}\)). The more relevant multi-site coherence correction \(K^{(4)_{\text{coherence}}}\) is shown to describe long-time population dynamics in a quantitatively reliable way. We find that population accumulation at the trap site 3 is doubled compared to the prediction using the Förster rate in 2 ps. More importantly, we observe a direct evidence of the long-range energy transfer: The majority of the fast increase in \(P_3(t)\) after \(t > 200\, \text{fs}\) arises from the decrease of \(P_1(t)\) instead of \(P_2(t)\), which is consistent with the calculation of the flux network in the seven-site FMO model.\(^{11}\) Overall, the nontrivial quantum coherent effect and the bath relaxation effect are identified and distinguished through \(K^{(4)_{\text{bath}}}\) and \(K^{(4)_{\text{coherence}}}\).

D. Quantum phase interference in a closed three-site loop model

In addition to quantum tunneling, another unique and nontrivial quantum effect is the interference of quantum phases. In this subsection, we use a closed three-site loop model as our last example (see Fig. 4(c)) to demonstrate the quantum phase interference successfully predicted by the higher-order QKE. The result of the classical white noise in Eq. (36) is extended to the quantum Debye noise, and the fourth-order corrections are also included as a comparison.

For simplicity, we consider a degenerate three-site system with the following Hamiltonian:

\[
H_S = \begin{pmatrix}
0 & J_{12} & J_{13} \\
J_{12}^* & 0 & J_{23} \\
J_{13}^* & J_{23}^* & 0
\end{pmatrix}.
\]  

(40)

All the site-site couplings are further assumed to be the same in the amplitude, \(|J_{12}| = |J_{23}| = |J_{13}| = 20\, \text{cm}^{-1}\). We assume all the other couplings are real positive and check two phases for the coupling between sites 1 and 3: (a) \(J_{13} = 20\, \text{cm}^{-1}\), and (b) \(J_{13} = 20\, \text{i}\, \text{cm}^{-1}\). The imaginary phase in the second case might be generated by a coherent laser pulse. As shown in the study of the HSR model,\(^{29}\) the quantum phase interference can cause a significant difference in quantum dynamics, leading to the optimization of energy transfer with the variation of quantum phase.

Here we apply the Debye spectral density (\(\lambda = 50\, \text{cm}^{-1}\) and \(\omega_D = 10\, \text{fs}\)) together with a high temperature \((T = 300\, \text{K})\) approximation to model the bath. The initial system is populated at site 1. Under this particular reorganization (\(\lambda = 2.5|J|\)), it is expected that the short-time quantum
VI. SUMMARY AND DISCUSSIONS

A. Summary

In this paper, we have applied a new formalism to derive a higher-order QKE approach to study quantum dissipative dynamics for a multi-"site" system. After the integration of quantum coherence and the average over the local equilibrium bath, we derived a closed non-Markovian quantum kinetic equation to describe the time evolution of system populations. In this time-convolution equation, the kinetic rate kernel $\hat{K}$ is rigorously and systematically expanded in the order of the site-site coupling $J$, i.e., off-diagonal elements of the system Hamiltonian. The second-order rate kernel $K^{(2)}$ recovers the result of the NIBA method, and its time integration gives Fermi’s golden rule rate. The higher-order corrections, $K^{(i-2)}$, include the contribution from the multi-site quantum coherence (the direct coherence-coherence transition) and the bath relaxation (the system-bath entangled population state). For a harmonic bath, the analytical expression of the kinetic kernel $\hat{K}$ is obtained using the displacement operator and the Gaussian cumulant expansion. Our higher-order QKE approach is examined in four model systems to demonstrate its reliability. In the HSR model, the higher-order QKE leads to the identical kinetic mapping previously derived by the stationary approximation of coherence.29 Under a quantum Debye noise, the prediction of the higher-order QKE together with the Pade approximation agrees very well with the exact result of the hierarchy equation.

Compared to many other theoretical approaches of quantum dissipative dynamics, the higher-order QKE can quantify higher-order corrections to the second-order prediction, i.e., the Fermi’s golden-rule expansion. For example, the bath relaxation can slow down the direct transfer from donor to acceptor, and the exact quantum transfer rate is consistently smaller than the Förster rate (see Fig. 5). For the three-site bridge model in Sec. V C, the higher-order QKE predicts that the bath relaxation slows down the short-time dynamics ($<$200 fs) whereas the multi-site coherence speeds up the long-range transfer process afterwards (see Fig. 6). For the closed three-site loop model in Sec. V D, the quantum interference described by $K^{(3)}$ breaks the symmetry in the “classically” incoherent dynamics (see Fig. 7). All these examples have confirmed that our higher-order QKE can clarify distinct nontrivial effects of multi-site quantum coherence and bath relaxation, which are crucial for understanding nontrivial quantum effects.

The theoretical studies in this paper and our previous two papers11,29 form a self-consistent methodology of quantum-classical comparison and kinetic mapping for quantum dissipative dynamics. Compared to kinetic mapping of the HSR model in Ref. 29, the expansion technique in this paper has been extended from a classically white noise to an arbitrary quantum bath. As a result, the bath relaxation and the multi-site coherence are unified in the same theoretical framework. Consistent with the quantum-classical comparison in Ref. 11, the long-range energy transfer is now quantified in the detailed time evolution and isolated from the short-time bath relaxation. In principle, the higher-order QKE can be applied to an arbitrary quantum dissipative dynamic system for the investigation of the nontrivial quantum effects.

B. Discussions

The calculation of the four examples in this paper shows the numerical accuracy from the higher-order QKE. Using the hierarchy equation as the benchmark for the quantum Debye
noise, the higher-order QKE can provide reliable, sometime accurate, description for both the average transfer rate and the detailed time evolution. The quantum dynamics of the $N$-site system is described by the time evolution of the reduced density matrix in the $N^2$-D Liouville space. The dimensionality of the rate matrix for the hierarchy equation grows roughly $\sim N^{h+2}$ with the hierarchy expansion order $h$ under the high-temperature approximation for the Debye noise. On the other hand, the rate kernel $K$ in the higher-order QKE is always restricted in the $N$-D population subspace. Although the computation time in our method increases with the expansion order similarly, the Markovian approximation dramatically reduces the cost by changing the time-nonlocal kernels into the average rate matrix. The Markovian higher-order QKE can predict the overall features of time evolution. In addition, the partial re-summation technique based on the Pade approximation further accelerates the convergence of the rate matrix $K^{(h)}$. For example, with a large site-site coupling ($J_{12} = |\varepsilon_{12}|$), the re-summation of the leading-order correction, $K^{(h)}$, has already resulted in an almost quantitative prediction of the transfer rate. Thus, the higher-order QKE promises the potential of predicting the quantum dissipative dynamics with an acceptable computational cost.

In the higher-order QKE, the quantum dynamic system is defined as a general $N$-“site” network form. The so-called “site” can be further generalized as any basis set of the system Hilbert space. Thus, the higher-order QKE is not restricted in energy transfer and electron transfer, but can be extended to other quantum dynamic processes. The surrounding bath is also defined generally in the higher-order QKE. Many sophisticated deterministic or stochastic methods, such as the hierarchy equation, the SC-IVR, the QUAPI, the polaron-based methods, the path integral Monte Carlo, etc., are based on a presumed harmonic bath, which is in general a good approximation under many conditions. However, theoretical methods for the anharmonic environments is also highly required. Since the expressions of the time-nonlocal rate kernels $K^{(h)}$ in Eqs. (14) and (15) are independent of the bath model, the formulation in the Hilbert space, e.g., Eq. (24), can work as the starting point of studying quantum dissipative dynamics in a complicated environment. Numerical implementation will be worth in the higher-order QKE along this direction.

Our current derivation needs an incoherent preparation for the initial product state, which can be a strong assumption considering the experimental designs, e.g., a coherent laser pulse can generate different initial states. With an additional expansion, the improvement of including the initial quantum coherence can be derived in the future. Together, the time evolution of quantum coherence needs to be derived after the higher-order QKE. A more important question is about the expansion parameter of the higher-order QKE, i.e., the site-site coupling $J_{nn}$. The different site-site couplings are also not necessarily on the same order of magnitude. To solve this difficulty, we can introduce the sub-system concept and construct the expansion based on the weak coupling between sub-systems. For example, the multichromophore Förster resonance energy transfer (MC-FRET) rate theory can serve as the second-order prediction in the extended higher-order QKE of multiple sub-systems instead of multiple sites. The higher-order corrections then help reveal nontrivial quantum effects beyond the MC-FRET result. Overall, the higher-order QKE requires future improvements to solidify its construction and extend its applications.

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