Mapping copy number variation by population-scale genome sequencing

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Genomic structural variants (SVs) are abundant in humans, differing from other forms of variation in extent, origin and functional impact. Despite progress in SV characterization, the nucleotide resolution architecture of most SVs remains unknown. We constructed a map of unbalanced SVs (that is, copy number variants) based on whole genome DNA sequencing data from 185 human genomes, integrating evidence from complementary SV discovery approaches with extensive experimental validations. Our map encompassed 22,025 deletions and 6,000 additional SVs, including insertions and tandem duplications. Most SVs (53%) were mapped to nucleotide resolution, which facilitated analyzing their origin and functional impact. We examined numerous whole and partial gene deletions with a genotyping approach and observed a depletion of gene disruptions amongst high frequency deletions. Furthermore, we observed differences in the size spectra of SVs originating from distinct formation mechanisms, and constructed a map of SV hotspots formed by common mechanisms. Our analytical framework and SV map serves as a resource for sequencing-based association studies.

Introduction

Unbalanced structural variants (SVs), or copy number variants (CNVs), involving large-scale deletions, duplications and insertions form one of the least well studied classes of genetic variation. The fraction of the genome affected by SVs is comparatively larger than that accounted for by single nucleotide polymorphisms (SNPs), implying significant consequences of SVs on phenotypic variation. SVs have already been associated with diverse diseases, including autism1,2, schizophrenia3,4 and Crohn’s disease5,6. Furthermore, locus-specific studies suggest that diverse mechanisms may form SVs de novo, with some mechanisms involving complex rearrangements resulting in multiple chromosomal breakpoints7,8. Initial microarray-based SV surveys focused on large gains and losses9,10, with recent advances in array technology widening the accessible size spectrum towards smaller SVs11,12. Microarray-based surveys commonly mapped SVs to approximate genomic locations. However, a detailed SV characterization, including analyses of SV origin and impact, requires knowledge of precise SV sequences. Advances in sequencing technology have enabled applying sequence-based approaches for mapping SVs at a fine scale13–21. These approaches include: (1) paired-end mapping (or read pair ‘RP’ analysis) based on sequencing and analysis of abnormally mapping pairs of clone ends14–22 or high-throughput sequencing fragmenting15,17,18; (2) read-depth (‘RD’) analysis, which detects SVs by analysing the read depth-of-coverage16,21,25–27; (3) split-read (‘SR’) analysis, which evaluates gapped sequence alignments for SV detection28,29; and (4) sequence assembly (‘AS’), which enables the fine-scale discovery of SVs, including novel (non-reference) sequence insertions30–32. Sequence-based SV discovery approaches have previously been applied to a limited (<20) number of genomes, leaving the fine-scale architecture of most common SVs unknown.

Sequence data generated by the 1000 Genomes Project (1000GP) provide an unprecedented opportunity to generate a comprehensive SV map. The 1000GP recently generated 4.1 terabases of raw sequence

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in two pilot projects targeting whole human genomes (Supplementary Table 1). These studies comprise a population-scale project, termed ‘low-coverage project’, in which 179 unrelated individuals were sequenced with an average coverage of 3.6×, including 59 Yoruba individuals from Nigeria (YRI), 60 individuals of European ancestry from Utah (CEU), 30 of Han ancestry from Beijing (CHB), and 30 of Japanese ancestry from Tokyo (JPT; the latter two were jointly analysed as JPT+CHB). In addition, a high-coverage project, termed the ‘trio project’, was carried out, with individuals of a CEU and a YRI parent-offspring trio sequenced to ≥42X coverage on average.

We report here the results of analyses undertaken by the Structural Variation Analysis Group of the 1000GP. The group’s objectives were to discover, assemble, genotype and validate SVs of base pairs (bp) and larger in size, and to assess and compare different sequence-based SV detection approaches. The focus of the group was initially on deletions, a variant class often associated with disease, for which rich control data sets and diverse ascertainment approaches exist. Less focus was placed on insertions and duplications and none on control data sets and diverse ascertainment approaches exist.

Assessment of SV discovery methods

We incorporated the SV discovery methods into a pipeline (Fig. 1a, b), with the goal of ascertaining different SV types and each method for its ability to discover SVs. The methods detected SVs by analysing RD, RP, SR and AS features, or by combining RP and RD features (abbreviated as ‘PD’). Altogether we generated 36 SV call-sets by applying the methods on trio and low-coverage whole genome sequence data, and by identifying SVs as genomic differences relative to a human reference, corresponding to the reference genome, or to a set of individuals (that is, population reference; Supplementary Table 2).

We initially identified SVs as deletions, tandem duplications, novel sequence insertions and mobile element insertions (MEIs) relative to the human reference. Subsequent comparative analyses involving primates enabled us to classify SVs as deletions, duplications, or insertions relative to inferred ancestral genomic loci, reflecting mechanisms of SV formation (see below). DNA reads analysed by SV discovery methods were initially mapped to the human reference genome using a variety of alignment algorithms. Most of these algorithms mapped each read to a single genomic position, although one algorithm (mapR (16)) also considered alternative mapping positions for reads aligning to repetitive regions (see Supplementary Tables 2–4 for method-specific parameters and full SV call-sets). We filtered each call-set by excluding SVs <50 bp, which are reported elsewhere. Many SVs showed support from distinct SV discovery methods, as exemplified by a common deletion, previously associated with body-mass index (BMI), that we identified with RP, RD and SR methods (Fig. 1c). Nonetheless, we observed notable differences between methods (Fig. 2a–c) in terms of genomic regions ascertained (Supplementary Fig. 1). Accessible SV size-range (Fig. 2a), and breakpoint precision (Fig. 2c, Supplementary Fig. 2).

To estimate call-set specificity, we carried out extensive validations (Methods), including PCRs for over 3,000 candidate loci and microarray data analyses for 50,000 candidate loci (Supplementary Tables 3, 4 and Supplementary Fig. 3). We combined PCR and array-based analysis results to estimate false discovery rates (FDRs), and found that eight call-sets (three deletion, one tandem duplication and four insertion call-sets) met the pre-specified specificity threshold (FDR ≤ 10%), whereas the other call-sets yielded lower specificity (FDRs of 13–89%).
merging approach that was aware of each call-set’s precision in SV breakpoint detection (Supplementary Fig. 5 and Methods). Most SVs in the release set (61%) were contributed by individual methods meeting the pre-defined specificity threshold (FDR ≤ 10%). The remaining 39% of calls were contributed by lower specificity methods following experimental validation. Altogether, the release set comprised 22,025 deletions, 501 tandem duplications, 5,371 MEIs and 128 non-reference insertions (Table 1, Supplementary Table 7). With our gold standard we estimated an overall sensitivity of deletion discovery of 82% in the trios, and 69% in low-coverage sequence (Fig. 2b) using a 1-bp overlap criterion. When instead applying a stringent 50% reciprocal overlap criterion for sensitivity assessment (which required SV sizes inferred on different experimental platforms to be in close agreement), our sensitivity estimates decreased by 12% and 18%, respectively, in trio and low-coverage sequence (Supplementary Table 8). We examined further an alternative SV discovery approach that involved the pairwise integration of deletion discovery methods, and tested its ability to discover SVs without relying on the inclusion of lower specificity calls following experimental validation (this approach resulted in the generation of the ‘algorithm-centric set’; Fig. 1b). Whereas this alternative approach resulted in an increased number (by ~13%) of high-specificity (FDR < 10%) calls compared to the release set (Supplementary Text), overall it resulted in fewer SV calls owing to its decreased sensitivity at the lower (~<200 bp) SV size range. In the following analyses we thus focused on the release set.

**Extent and impact of our SV discovery set**

We next assessed the extent and impact of our SV discovery (release) set. The median SV size was 729 bp (mean = 8 kilobases), approximately four times smaller than in a recent tiling CGH-based study, reflecting the high resolution of DNA-sequence-based SV discovery. We also compared our set to a recent survey of SVs in an individual genome\(^\text{6}\) based on capillary sequencing and array-based analyses, and observed a similar size distribution for deletions, but differences in the size distributions of other SV classes, reflecting underlying differences in SV ascertainment (Supplementary Fig. 6). By comparing our SVs to databases of structural variation and to additional personal genome data sets, we classified 15,556 SVs in our set as novel, with an enrichment of low frequency SVs and small SVs amongst the novel variants (Methods and Supplementary Text).

A major advantage of sequence-based SV discovery is the nucleotide resolution mapping of SVs. We initially mapped the breakpoints of 7,066 deletions and 3,299 MEIs using SR and AS features. Using the TIGRA-targeted assembly approach (Chen, L. et al., unpublished results) we further identified the breakpoints of an additional 4,188 deletions and 160 tandem duplications, initially discovered by RD, RP and PD methods (Methods, Supplementary Tables 3, 4). Altogether, we mapped ~15,000 SVs at nucleotide resolution, 48% of which were novel. Few deletion loci (4.4%) displayed different SV breakpoints in different samples, which is explainable by rare TIGRA misassemblies, or alternatively, by recurrently formed, multi-allelic SVs (Supplementary Text). TIGRA further enabled us to validate an additional 7,359 SVs by identifying the SVs’ breakpoints (Methods), and to evaluate the mapping precision of SV discovery methods (Fig. 2c, Supplementary Fig. 2).

We assessed the putative functional impact of SVs in our set further by relating them to genomic annotation. Many SVs (1,775) affected coding sequences, resulting in full gene overlaps or exon disruptions (Table 2), many of which led to out-of-frame exons (Supplementary Text). We assessed the sensitivity of deletion discovery methods further by collating data from four earlier surveys,\(^\text{13,12,22,28}\) into a gold standard (Methods, Supplementary Tables 5, 6 and Supplementary Fig. 4a), and specifically assessing the detection sensitivity for an individual sequenced at high-coverage (NA12878) and low-coverage (NA12156), respectively. All depicted estimates are summarized in Supplementary Tables 3, 4 and 6. Vertical dotted lines correspond to the specificity threshold (FDR ≤ 10%). c. Breakpoint mapping resolution of three deletion discovery methods (the respective method names are in Supplementary Table 2). The blue and red histograms are the breakpoint residuals for predicted deletion start and end coordinates, respectively, relative to assembled coordinates (here assessed in low-coverage data). The horizontal lines at the top of each plot mark the 98% confidence intervals (labelled for each panel), with vertical notches indicating the positions of the most probable breakpoint (the distribution mode).

**Construction of our SV discovery set**

To construct our SV discovery set (‘release set’), we joined calls from different discovery methods corresponding to the same SV with a

**Table 1 | Summary of discovered structural variation**

| Deletions | Tandem duplications | Mobile element insertions | Novel sequence insertions | Total |
|-----------|---------------------|--------------------------|--------------------------|-------|
| Individual call-sets < 10% FDR | 11,215 | 501 | 5,371 | – | 17,087 |
| Validated experimentally* | 10,810 | – | – | 128 | 10,938 |
| Release set | 22,025 | 501 | 5,371 | 128 | 28,025 |

* Only tabulates validated calls which were not already present in individual call-sets with less than 10% FDR.

**Figure 2 | Comparative assessment of deletion discovery methods.**

a. Deletion size-range ascertained by different modes of SV discovery. Three groups are visible, with AS and SR, PD and RP, as well as RD and ‘RL’ (RP analysis involving relatively long range (≥1 kb) insert size libraries, resulting in a different deletion detection size range compared to the predominantly used <500 bp insert size libraries), respectively, ascertaining similar size-ranges. Pie charts display the contribution (%) of different SV discovery modes to the release set. Outer pie is based on the number of SV calls; inner pie is based on the total number of variable nucleotides. Of note, not all approaches were applied across all individuals (see Supplementary Table 2). b. Sensitivity and FDR estimates for individual deletion discovery methods based on gold standard sets for individuals sequenced at high (NA12878) and low-coverage (NA12156), respectively. All depicted estimates are summarized in Supplementary Tables 3, 4 and 6. Vertical dotted lines correspond to the specificity threshold (FDR ≤ 10%). c. Breakpoint mapping resolution of three deletion discovery methods (the respective method names are in Supplementary Table 2). The blue and red histograms are the breakpoint residuals for predicted deletion start and end coordinates, respectively, relative to assembled coordinates (here assessed in low-coverage data). The horizontal lines at the top of each plot mark the 98% confidence intervals (labelled for each panel), with vertical notches indicating the positions of the most probable breakpoint (the distribution mode).
Table 2 | Functional impact of our fine resolution SV set

| SV class         | Gene overlap |                      |                      | Total gene overlap | Total intergenic |
|------------------|--------------|----------------------|----------------------|--------------------|------------------|
|                  | Full gene overlap | Coding exon affected, partial | UTR overlap | Intron overlap |
| Deletions        | 654 (631)      | 1,093 (1,031)        | 315 (290)           | 7,319 (6,481)      | 9,381 (8,433)   |
| Tandem duplications | 2 (2)                 | 7 (6)                | 9 (5)             | 197 (62)           | 215 (75)        |
| Mobile element insertions | 3 (2)                 | –                    | –                 | 1,304 (97)         | 1,348 (112)     |
| Novel sequence insertions | –                   | –                    | 2 (2)            | 49 (49)            | 51 (51)         |
| Sum              | 656 (633)      | 1,119 (1,040)        | 351 (309)          | 8,869 (6,689)      | 10,995 (8,671) |

Figures in parentheses indicate numbers of validated SVs per category. We inferred gene overlap with Gencode gene annotation.

Table 9). We related gene disruptions to gene functions, and observed significant enrichments for several functional categories, including cell defence and sensory perception (Supplementary Table 10). High levels of structural variation, including copy number variation, were described previously for both processes. These SVs might be maintained in the population by selection for the purpose of functional redundancy. Whereas most SVs intersecting with genes were deletions, several validated tandem duplications and MEIs also intersected with coding sequences (Table 2).

Population genetic properties of deletions

We next sought to generate genotypes for deletions discovered in the 1000GP data, both to facilitate population genetics analyses and to make our SV set amenable to association studies in the form of a reference genotype set. In this regard, the Genome STRiP genotyping method was developed (Handsaker, R. E., Korn, J. M., Nemesh, J. and McCarroll, S. A., unpublished results), a method combining information from RD, RP, SR and haplotype features of population-scale sequence data for genotyping (Methods, Supplementary Text). Using this approach we generated genotypes for 13,826 autosomal deletions in 156 individuals. The genotypes displayed 99.1% concordance with this approach we generated genotypes for 13,826 autosomal deletions in 156 individuals. The genotypes displayed 99.1% concordance with

Next we assessed the allele frequencies of gene deletions. Similar to a recent array-based study, we observed a depletion of high-frequency alleles among deletions intersecting with protein-coding sequence compared to other deletions (P = 2.2 × 10^{-18}, KS test), consistent with purifying selection keeping most gene deletions at low frequency (Fig. 3d). Nonetheless, several coding sequence deletions were observed with high allele frequency (>80%). Most of these occurred in regions annotated as segmental duplications, consistent with lessened evolutionary constraint in functionally redundant gene categories. Intriguingly, common gene deletions also affected many unique genes with no obvious paralogues. We further analysed the abundance of gene deletions in different populations and observed highly differentiated loci, albeit with no statistically significant relationship between differentiation and particular categories of gene overlap, that is, intronic versus exonic (Supplementary Text).

By comparing deletion genotypes with genotypes of nearby SNPs, we found, consistent with earlier studies, that deletions in genomic regions accessible to short read sequencing display extensive linkage disequilibrium (LD) with SNPs. Most common deletions (81%) had one or more SNPs with which they are strongly correlated (r² > 0.8; Supplementary Fig. 7). This indicates that many deletions mapped in our study will be identifiable through tagging SNPs in future studies (Supplementary Text). On the other hand, a fifth of the genotyped deletions were not tagged by HapMap SNPs (a figure similar to the fraction of SNPs that are not tagged by HapMap SNPs), implying that these SVs should be genotyped directly in association studies. Furthermore, the LD properties of complex SVs (for example, multiallelic SVs) have not yet been fully ascertained as methods for genotyping such SVs with similar accuracy are still being developed.

SV formation mechanism analysis

Nucleotide resolution breakpoint information enables inference of SV formation mechanisms. Recent studies broadly distinguished between several germline rearrangement classes, some of which may comprise more than one SV formation mechanism: non-allelic homologous recombination (NAHR), associated with long sequence similarity stretches around the breakpoints; rearrangements in the absence of extended sequence similarity (abbreviated as ‘non-homologous’ or NH), associated with DNA repair by non-homologous end-joining (NHEJ) or with microhomology-mediated break-induced replication (MBBIR); the shrinking or expansion of variable number of tandem repeats (VNTRs), frequently involving simple sequences, by slippage; and MEIs. We distinguished among the classes NAHR, NH, VNTR and MEI by examining the breakpoint junction sequences of SVs that had initially been discovered as deletions or tandem duplications relative to a human reference.

We first compared these SVs to orthologous primate genomic regions to distinguish deletions from insertions/duplications with respect to reconstructed ancestral loci using the BreakSeq classification approach. This analysis showed that of the 11,254 nucleotide-resolution SVs discovered as deletions relative to a human reference, 21% actually represented insertions and 2% represented tandem duplications relative to the putative ancestral genome. Of the remaining SVs, 60% were classified as deletions relative to ancestral sequence, whereas the ancestral state of 17% was undetermined. By comparison,
out of 160 nucleotide-resolution SVs identified as tandem duplications relative to the reference genome, 91.6% were classified as duplications relative to the ancestral genome, whereas the ancestral state of 8.4% remained undetermined (Supplementary Text). Our breakpoint analysis revealed that 70.8% of the deletions and 89.6% of the insertions exhibited breakpoint microhomology/homology ranging from 2–376 bp in size, with distribution modes of 2 bp (attributable to NH) and 15 bp (attributable to MEI), respectively (Fig. 4a, Supplementary Text). As expected, a small portion of the deletions (16.1%) displayed non-template inserted sequences at their breakpoint junctions. By comparison, the tandem duplications showed extensive stretches displaying ≥95% sequence identity at the breakpoints linearly correlating in length with SV size (Fig. 4a). In addition, most tandem duplications displayed 2–17 bp of microhomology at the breakpoint junctions (Supplementary Text).

We subsequently applied BreakSeq to infer formation mechanisms for all SVs classified with regard to ancestral state. Using BreakSeq, we inferred NH as the dominating deletion mechanism, and MEI as the dominating insertion mechanism (Fig. 4b, c and Supplementary Table 11). Furthermore, an abundance of microhomology at tandem duplication breakpoints suggested frequent formation of this SV class by a rearrangement process acting in the absence of homology (NH). When relating SV formation to the variant size spectrum, we observed marked insertion peaks for MEIs at 300 bp, homology (NH). When relating SV formation to the variant size spectrum, we observed marked insertion peaks for MEIs at 300 bp, and MEI as the dominating insertion mechanism (Fig. 4b, c and Supplementary Text). Furthermore, an abundance of microhomology at tandem duplication breakpoints suggested frequent formation of this SV class by a rearrangement process acting in the absence of homology (NH). When relating SV formation to the variant size spectrum, we observed marked insertion peaks for MEIs at 300 bp, corresponding to Alu elements, and at 6 kb, corresponding to the L1 class of long interspersed elements (LINEs) (Fig. 4c). By comparison, NH- and NAHR-based mechanisms occurred across a wide size-range, whereas VNTR expansion/shrinkage, consistent with earlier findings, led to relatively small SV sizes (Fig. 4c, d).

Furthermore, when displaying the genomic distribution of SVs (Fig. 5a), we observed a notable clustering of SVs into ‘SV hotspots’. We analysed this clustering in detail by examining the distribution of non-overlapping, adjacent SVs, and observed a marked clustering of SVs formed by NAHR, VNTR and NH, respectively, a signal extending to hundreds of kilobases (Fig. 5b). The clustering was influenced by an abundance of VNTR near the centromeres and NAHR near the telomeres (Fig. 5a). A significant enrichment of NAHR near recombination hotspots (P = 1.3 × 10^{-15}) and segmental duplications (P = 3.1 × 10^{-17}) further contributed to the clustering (Supplementary Table 13).

To further explore this clustering we devised a segmentation approach for predicting SV hotspots (Methods), which yielded a map of 51 putative SV hotspots (Supplementary Table 14). Most of the hotspots (80%) mainly comprised SVs originating from a single formation mechanism (Fig. 5c). Most of these corresponded to NAHR hotspots, although hotspots dominated by NH and VNTR were also evident. These observations indicate that SV formation is frequently associated with the locus-specific propensity for genomic rearrangement.

Conclusions and discussion

By generating an SV set of unprecedented size along with breakpoint assemblies and reference genotypes, we demonstrate the suitability of population-scale sequencing for SV analysis. Nucleotide resolution data allow the construction of reference data sets and make SVs readily assessable across different experimental platforms using genotyping approaches. Our fine-scale map enabled us to examine the functional impact of SVs, as exemplified by the set of gene disruption variants we reported, which will be of value for genome and exome sequencing studies.

Our map further enabled us to examine size spectra of SV formation mechanisms and led us to identify genomic SV hotspots that are commonly dominated by a single formation mechanism. Recurrent rearrangements, implicated in genomic disorders, are hypothesized to be associated with local genome architecture, for example, with segmental duplications that facilitate NAHR. Also, DNA rearrangement in the absence of homology, that is, MMBIR, has been implicated...
in recurrent SV formation. In this regard, we noticed that out of the hotspots we report, six fall into critical regions of known genetic disorders associated with recurrent de novo deletions, including Miller–Dieker syndrome and Léri–Weil dyschondrostose (Supplementary Table 14). Irrespective of potential disease relevance, or inferred mechanism of formation, our analysis revealed a map of SV hotspots that may constitute local centres of de novo SV formation, consistent with the concept that local genome architecture contributes to genomic instability.

Our study focused on characterizing deletions, which are often associated with disease. Facilitated by ancestral analyses of SV loci, we also characterized insertions and tandem duplications, albeit in less detail than deletions. Companion papers with more detailed analyses of MEIs and copy number variation within segmental duplications are published elsewhere (Stewart, C. et al., unpublished results, and ref. 34). Of note, most SV discovery methods depend on mapping reads onto their genomic locus of origin, that is, the ‘accessible’ fraction of the genome, a fraction lessened in segmental duplications that are of high interest to SV analysis. Nonetheless, owing to the abilities of SV discovery methods in detecting SVs in these regions and in interpreting reads with multiple mapping positions, the ‘accessible’ fraction of the genome is higher for SVs than for SNPs.

The average abundance of NAHR-classified SVs in NAHR hotspots was 70% (compared with 77% for VNTR-hotspots; 69% for NH). The grey bar (‘mixed’) corresponds to SV hotspots with no single mechanism dominating.

**METHODS SUMMARY**

**Samples.** Whole genome sequencing data for 179 unrelated individuals and six individuals from parent-offspring trios were obtained as part of the 1000GP. These data were generated with Illumina/Solexa, Roche/454 and Life Technologies/SOLiD sequencing technology platforms.

**SV discovery and breakpoint assembly.** The SV discovery methods we applied comprised six RP, four RD, three SR, four AS, and two PD based methods. TIGRA (Chen, L. et al., unpublished results) was used for targeted breakpoint assembly.

**Experimental validation.** We validated SV calls by PCR, array CGH and SNP microarrays, targeted assembly, and custom microarray-based sequence capture. PCR was performed in various different laboratories. CGH analysis was performed based on tiling array data provided by the Genome Structural Variation Consortium (ArrayExpress E-MTAB-40), and SNP array analysis based on data obtained from the International HapMap Consortium (http://hapmap.ncbi.nlm.nih.gov).

**Genotyping.** Genome STRIP (Handsaker, R. E., Korn, J. M., Nemesh, J. and McCarroll, S. A., unpublished results) was used for deletion genotyping in low-coverage sequence data. Initial genotype likelihoods were derived with a Bayesian model and imputation into a SNP genotype reference panel from the HapMap49 (Hapmap3r2) was achieved with Beagle (v3-1; http://faculty.washington.edu/browning/beagle/beagle.html).

**SV formation mechanism analysis.** SV breakpoints mapped at nucleotide resolution were analysed with BreakSeq to classify SVs relative to putative ancestral loci and to infer SV formation mechanisms. SV hotspots were mapped with custom Perl and R scripts.

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**Supplementary Information** is linked to the online version of the paper at www.nature.com/nature.

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**Author Information** Data sets described here can be obtained from the 1000 Genomes Project website at www.1000genomes.org (July 2010 Data Release). Individual SV discovery methods can be obtained from sources mentioned in Supplementary Table 2; or upon request from the authors. Reprints and permissions information is available at www.nature.com/reprints. The authors declare competing financial interests: details accompany the full-text HTML version of the paper at www.nature.com/nature. Readers are welcome to comment on the online version of this article at www.nature.com/nature. Correspondence and requests for materials should be addressed to J.O.K. (jan.korbel@embl.de).