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Spatial and temporal variability in nutrients and carbon uptake during 2004 and 2005 in the eastern equatorial Pacific Ocean

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Abstract. The eastern equatorial Pacific plays a great role in the global carbon budget due to its enhanced biological productivity linked to the equatorial upwelling. However, as confirmed by the Equatorial Biocomplexity cruises in 2004 and 2005, nutrient upwelling supply varies strongly, partly due to the tropical instability waves (TIWs). The aim of this study was to examine patterns of spatial and temporal variability in the biological uptake of NO$_3^-$, Si(OH)$_4$ and carbon in this region, and to evaluate the role of biological and physical interactions controlling this variability over seasonal and intraseasonal time scales. Here, high resolution Pacific ROMS–CoSiNE (Regional Ocean Modeling System–Carbon, Silicon, Nitrogen Ecosystem) model results were evaluated with in situ and remote sensing data. The results of model–data comparison revealed a good agreement in domain-average hydrographic and biological rate estimates, and patterns of spatio-temporal variability in primary productivity. We confirmed that TIWs have the potential to enhance phytoplankton biomass through an increased supply of nutrients and elevated local and instantaneous phytoplankton nutrient uptake as opposed to only advecting biomass. Furthermore, we concluded that initial biological conditions (e.g., zooplankton biomass) may play an important additional constraint on biological responses, in particular of large phytoplankton such as diatoms, to TIW-induced perturbations in the physical and biogeochemical fields and fluxes. In order to fully resolve the complexity of biological and physical interactions in the eastern equatorial Pacific, we recommended improving CoSiNE and other models by introducing more phytoplankton groups, variable Redfield and carbon to chlorophyll ratios, as well as resolving the Fe–Si co-limitation of phytoplankton growth.

1 Introduction

The eastern equatorial Pacific (EEP) plays a great role in the global carbon (C) cycle due to its enhanced biological productivity linked to the equatorial upwelling. Encompassing the equatorial upwelling zone (EUZ; 3$^\circ$S–3$^\circ$N, 140$^\circ$W–90$^\circ$W), the EEP provides the largest natural oceanic source of CO$_2$ into the atmosphere at about 0.7 to 1.0 Pg C yr$^{-1}$ (Takahashi et al., 2003). Primary productivity (PP) in the EEP is significantly greater than in any other open ocean province, with an average value of 642 mg C m$^{-2}$ d$^{-1}$ (Pennington et al., 2006). Due to the large areal extent of the EEP, its new production is estimated to account for 18 % of global new production (Chavez and Toggweiler, 1995). Despite the very high biological productivity, the EEP is a so-called “High Nitrate, Low Chlorophyll” (HNLC) region, with excess nitrate (NO$_3^-$) and unexpectedly low chlorophyll $a$ (Chl) concentrations in the surface waters (Minas et al., 1986; Dugdale and Wilkerson, 1998). The EEP is characterized by a strong zonal and meridional gradient in nutrient concentrations (iron – Fe, nitrogen – N, silicon – Si) and in surface Chl concentrations (Pennington et al., 2006). The highly variable supply of nutrients via upwelling into the EEP surface waters constitutes an important factor in regulating local phytoplankton production (Wells et al., 1999; Christian et al., 2002; Gorgues et al., 2010). As a result, phytoplankton (especially diatom) production is said to be controlled by the availability of Fe (Behrenfeld et al., 1996; Aufdenkampe et al., 2002) and Si (Dugdale and Wilkerson, 1998; Dugdale et al., 2007), with both nutrients affecting different components of the phytoplankton, and on different time scales (Brzezinski et al., 2011). El Niño–Southern Oscillation (ENSO)
observed patterns of variability in physical and biological dynamics were suggested to originate from the passage of TIWs (Krause et al., 2011; Parker et al., 2011; Strutton et al., 2011). While Landry et al. (2011) concluded that the HNLC surface waters of the EEP in general exhibit a balance of growth and grazing processes, they also pointed at the possible local and episodic nature of C export in the EEP, likely linked to TIW activity. They suggested that biological fluxes must be calculated at a higher spatial and temporal resolution to estimate the relative role of production, recycling and export fluxes in the overall nutrient and C budgets.

The EBO4 and EB05 cruises provided the much needed in situ measurements which are used to evaluate coupled physical–biogeochemical models sensitive to TIW-scale dynamics. For example, Dugdale et al. (2007) found a good agreement in mean equatorial size-fractionated phytoplankton nutrient uptake rates ($\rho\text{NO}_3$, $\rho\text{NH}_4$, $\rho\text{Si(OH)}_4$) between EB cruises and the CoSiNE model (Carbon, Silicon, Nitrogen Ecosystem model; Chai et al., 2002; Dugdale et al., 2002). Recently, EB cruise nutrient concentration data were also combined with ROMS model (Regional Ocean Modeling System; Wang and Chao, 2004) physical fields to calculate $\text{NO}_3$, $\text{Si(OH)}_4$ and Fe budgets in the EEP during the 2004–2005 period (Palacz et al., 2011).

In this study we use observational data to evaluate high resolution ROMS–CoSiNE model spatio-temporal patterns of variability in coincident physical and biological rate and flux measurements in the EEP, and examine the role of local and instantaneous processes in controlling the highly variable phytoplankton nutrient uptake responses to TIWs. In Sect. 2, we describe the sources of data, our modeling approach and details of statistical analyses. In Sect. 3, we first compare and discuss the differences between model and observed hydrographic conditions (Sect. 3.1), phytoplankton dynamics (Sect. 3.2 to 3.4) and patterns of spatio-temporal variability (Sect. 3.5). In Sect. 3.6, we then analyze the physical and biological responses to a series of identified model TIW events and discuss the results in the context of findings from previous related studies. In Sect. 4, we present our conclusions.

2 Model and data

2.1 Coupled physical–biogeochemical model

Model calculations are performed using the biogeochemical model CoSiNE coupled with the ROMS circulation model. This coupled model was first configured for the Pacific Ocean domain ($45^{\circ}$S to $65^{\circ}$N, $99^{\circ}$E to $70^{\circ}$W) with realistic geometry and topography by Wang and Chao (2004) at a 50 km spatial resolution, and later at an increased resolution of 12.5 km by Xi and Chai (2010). Initialized with climatological temperature and salinity from the World Ocean Atlas (WOA) 2001 (Ocean Climate Laboratory National
Oceanographic Data Center, 2002), the Pacific ROMS model has been forced with the climatological NCEP/NCAR reanalysis of air–sea fluxes (Kalnay et al., 1996) for several decades in order to reach quasi-equilibrium. The model is then integrated for the period of 1991 to 2010 forced with daily air–sea fluxes of heat and fresh water from the NCEP/NCAR reanalysis (Kalnay et al., 1996). The heat flux is derived from short- and long-wave radiations and sensible and latent heat fluxes calculated using the bulk formula with prescribed air temperature and relative humidity. The multiple satellite blended daily sea wind with a resolution of 0.25° (Zhang et al., 2006) is used to calculate the surface wind stress based on the bulk formula of Large and Pond (1982). Detailed physical and biological model configuration can be found in Liu and Chai (2009), Chai et al. (2009), and Xi and Chai (2010).

Here, we analyze the results from a coupled physical–biogeochemical model at the 12.5 km spatial and three-day temporal resolution that is capable of resolving mesoscale features in physical circulation (Xiu et al., 2010) and biogeochemical fluxes (Xiu et al., 2011; Xi and Chai, 2011). In this study, model domain is equal to the EEP box delineated between 140° W–110° W and 5° S–5° N (Fig. 1), but a lot of spatial averaging is done over the 1° S–1° N latitude band to match the area most heavily sampled during both EB cruises (Fig. 1).

The results are a combination of on-line and off-line model calculations – an approach that was validated in Palacz et al. (2011) and which allowed us to optimize the model performance specifically in the EEP region. In this study, we use on-line calculated three-day averaged net short-wave radiation flux (which is converted to light field to calculate biological production), temperature and nutrient concentration fields. These variables provide input for off-line calculated vertical light profiles, phytoplankton and zooplankton biomass, specific growth rates, nutrient uptake rates and grazing. Model equations are the same as in Chai et al. (2002). Our model results include two groups of phytoplankton, small (S1) and large phytoplankton (S2), and two groups of zooplankton, micro- (ZZ1) and mesozooplankton (ZZ2). Size-fractionated phytoplankton nutrient uptake rates (ρNO₃, ρSi(OH)₄ and ρNH₄) are calculated explicitly. Total N uptake (ρNO₃ + ρNH₄) is converted into C units using a fixed Redfield ratio of 6.6 to estimate PP. Although there is no Fe component in the CoSiNE model, most nutrient and phytoplankton dynamics can be captured accurately using only Si-limitation of large phytoplankton. Model sensitivity to effects of variable Fe supply was performed implicitly by varying the light-limited photosynthesis parameter (Chai et al., 2007).

Off-line calculations offer several advantages for this modeling study. First, they are computationally less costly and more time efficient. By performing more model runs, we are able to test different model configurations and parameter values in order to simulate the EEP conditions best. For example, we distinguish between using daily-averaged and diurnal cycles of light as an energy source for phytoplankton growth. Second, we conduct series of sensitivity studies to find an optimum set of biological parameters, including ρSi(OH)₄ and ρNH₄ half-saturation constants, Si : N uptake ratio and zooplankton grazing rates. While many parameters in the biological model are the same as in Chai et al. (2002), others, listed in Table 1, are modified based either on the findings of the EB project or are derived from model sensitivity runs.

An important aspect of this off-line modeling exercise is that it allowed us to “turn off” the process of advection of phytoplankton and zooplankton biomass. Growth rates, nutrient uptake rates and plankton biomass are calculated off-line every hour and later averaged into three-day estimates. Considering that turnover of phytoplankton biomass is comparable to the three-day period during which two on-line variables (surface light and nutrients) are updated, we do not anticipate any large inconsistencies between on-line physical and nutrient fields and the off-line biological fields. On the other hand, this exercise enables to investigate the local and instantaneous effect of passing TIWs (or TIVs) in the absence of otherwise important processes of plankton advection considered elsewhere (e.g., Gorgues et al., 2005; Vichi et al., 2008; Evans et al., 2009).

2.2 Cruise data

Evaluation of the 12.5 km Pacific ROMS–CoSiNE nutrient cycling results is based on comparison with corresponding measurements conducted during the two EB cruises aboard the R/V Revelle, EB04 in December 2004 and EB05 in September 2005. On 10 December 2004, EB04 started observations at 5° N, 110° W, then headed south along 110° W to complete a transect along the equator between 110° W and 140° W by 29 December 2004. On 8 September 2005, EB05 commenced observations at 4° N, 140° W, headed south along 140° W and then east along 0.5° N to about 125° W, completing observations on 24 September 2005.

![Fig. 1. Location of the eastern equatorial Pacific Ocean study area. Red lines indicate the location of EB04 cruise sampling transects from December 2004, and blue lines indicate the location of EB05 cruise sampling transects from September 2005.](image-url)
Table 1. Optimized CoSiNE model parameters. Key model parameters used in this study are compared with the early CoSiNE model parameterization applied by Chai et al. (2002).

| CoSiNE model parameter               | Symbol | This study        | Chai et al. (2002)         | Unit       |
|--------------------------------------|--------|-------------------|-----------------------------|------------|
| Light                                | \( I \) | Diurnal cycle     | Diurnal cycle               | W m\(^{-2}\) |
| NH\(_4\) inhibition for small phyto. | \( \Psi_{S1} \) | 10.00             | 5.59                        | (mmol m\(^{-3}\))\(^{-1}\) |
| NH\(_4\) inhibition for large phyto.| \( \Psi_{S2} \) | 4.00              | 5.59                        | (mmol m\(^{-3}\))\(^{-1}\) |
| Half-saturation for \( \rho \)NH\(_4\) by small phyto. | \( K_{S1,\text{NH}_4} \) | 0.10              | 0.05                        | mmol m\(^{-3}\) |
| Half-saturation for \( \rho \)NH\(_4\) by large phyto. | \( K_{S2,\text{NH}_4} \) | None              | 1.00                        | mmol m\(^{-3}\) |
| Half-saturation for \( \rho \)NO\(_3\) by small phyto. | \( K_{S1,\text{NO}_3} \) | 0.50              | 0.50                        | mmol m\(^{-3}\) |
| Half-saturation for \( \rho \)NO\(_3\) by large phyto. | \( K_{S2,\text{NO}_3} \) | 1.00              | 0.50                        | mmol m\(^{-3}\) |
| Half-saturation for \( \rho \)Si(OH)\(_3\) | \( K_{\text{Si(OH)}_3} \) | 3.50              | 3.00                        | mmol m\(^{-3}\) |
| Large phyto. Si : N uptake ratio     | Si : N | Dynamic > 1       | 1.00                        | –          |
| Max specific growth rate of small phyto. | \( \mu S_{1\text{max}} \) | 2.00              | 2.00                        | d\(^{-1}\) |
| Max specific growth rate of large phyto. | \( \mu S_{2\text{max}} \) | 2.50              | 3.00                        | d\(^{-1}\) |

Approximate cruise transects are showed in Fig. 1. Details of station locations and hydrographic conditions from both cruises can be found in Strutton et al. (2011). Sampling and data analysis methods of \( \rho \)NO\(_3\) and \( \rho \)NH\(_4\) measurements are described in Dugdale et al. (2007) and Parker et al. (2011). Krause et al. (2011) give a detailed procedure for calculating \( \rho \)Si(OH)\(_3\) rates, while Balch et al. (2011) provide information on estimating total community and size-fractionated C fixation rates.

### 2.3 Statistical analysis

The skill of the Pacific ROMS–CoSiNE model is assessed using comparisons with data collected in situ during EB cruises. Apart from mean and variability estimates, we calculate model bias (\( B \); representing the difference between the means of the two fields) and the centered pattern root mean square difference (RMSD\(_{cp}\); equivalent to an unbiased RMSD representing the differences in the variability of the two fields). Combining B and RMSD\(_{cp}\), we obtain the total RMSD (RMSD\(_{tot}\)) which provides a valuable overall comparison of model and data fields (Campbell et al., 2002; Friederichs et al., 2009).

Model–data comparison of nutrient uptake rates is illustrated by means of a target diagram (Jolliff et al., 2009). Target diagrams are used to visualize B, RMSD\(_{cp}\) and RMSD\(_{tot}\) on a single plot. On the target diagram, these statistical metrics are normalized by standard deviation of the data (\( \sigma_d \)). On this plot, normalized B is on the y-axis and normalized RMSD\(_{cp}\) is on the x-axis. Concentric circles represent normalized RMSD\(_{tot}\) isolines with the solid circle representing the normalized deviation of the data. If model RMSD\(_{tot}\) exceeds the standard deviation of observations, i.e., RMSD\(_{tot}\) > 1, then the model is showing less skill than does the mean of observations.

### 3 Results and discussion

In this section, we describe and discuss patterns of variability in model phytoplankton nutrient and C uptake in the EEP during 2004–2005. First, we verify the capability and skill of the Pacific ROMS–CoSiNE model to reproduce the hydrographic conditions (\( T \), \( O \), nutrient concentration fields) encountered during the EB04 and EB05 cruises (Strutton et al., 2011). Second, we compare the EB cruise and modeled biological environment of the EEP, including phytoplankton growth and nutrient uptake rates, biomass and species composition. Third, we present two-year temporal and spatio-temporal patterns of nutrient and C uptake rates and compare them with in situ and satellite model estimates when available. Fourth, we describe and discuss patterns of variability in the model physical and biological responses to several identified TIW events. Considering only a two-year period of analysis, we do not analyze the effects of lower frequency modulations. However, we acknowledge that the biological and physical conditions in this region were affected by the Central Pacific Niño that was reported during the 2004–2005 period (Lee and McPhaden, 2010).

#### 3.1 Hydrographic conditions

Fig. 2 reveals that there is a wide range of \( T \) in the top 150 m of the water column (Fig. 2a), suggesting a steep thermocline that is indicative of a strong cold water upwelling in the EUZ. There is a high and statistically significant linear correlation between in situ measured and modeled \( T \) during December 2004 (\( r = 0.97, \ p < 0.01 \)) and September 2005 (\( r = 0.91, \ p < 0.01 \)). A small but consistent negative bias indicates that the model tends to slightly underestimate \( T \) with respect to both EB04 (bias of \(-1.53^\circ\)C) and EB05 (bias of \(-2.71^\circ\)C) observations. The bias is larger in the lower range of \( T \), corresponding to shallower depths (Fig. 2a). Nevertheless, this bias, describing the difference between the means of two datasets, does not contribute much to RMSD\(_{tot}\). The
RMSD$_{cp}$, or the unbiased RMSD, which is used to assess the differences in variability, is relatively low for $T$ (0.95 and 1.35 $^\circ$C in 2004 and 2005, respectively). This good overall relationship is also evident in the domain-average mean and standard deviation model and data estimates (Table 2).

The model also does well when simulating the distribution of $O_2$ (Fig. 2b), a proxy for both upwelling of deep waters and biological remineralization activity. There is a high correlation between model and data, especially in September 2005 ($r = 0.93$, $p < 0.01$). The model simulates the observed variability very well, with a small difference in amplitude of variability between the two years (Fig. 2b and Table 2). A consistent positive bias present in the model is more visible than in the case of $T$ (compare Fig. 2b with 2a), but shows little statistical difference between 2004 and 2005 (29.0 vs. 29.3 mg $O_2$ m$^{-3}$). Fig. 2b reveals that the largest bias occurs at mid $O_2$ range.

$NO_3$ and Si(OH)$_4$ concentrations are compared in Fig. 2c and Fig. 2d, respectively. Both relationships are very highly correlated and statistically significant at the 99% level ($r > 0.90$). We note that model $NO_3$ is overestimated in its lower values (at the surface) but underestimated it its higher values (below the euphotic zone). This is likely related to the bias in $T$ identified in Fig. 2a. The $\sigma_m/\sigma$ of $NO_3$ reveals that the model also underestimates the amplitude of variability, more so in September 2005 than in December 2004 (0.42 vs. 0.77). Table 2 confirms that while the mean model and data fields are very similar, the model $NO_3$ variability (standard deviation) is underestimated relative to other hydrographic factors.

Small positive model bias in surface $NO_3$ and low $NO_3$ variability is unlikely to create a positive bias in phytoplankton new production. This is because of predominant Si–Fe co-limitation of large phytoplankton (Brzezinski et al., 2011). In the model it is assumed that Si(OH)$_4$ availability plays the main role in controlling large phytoplankton growth and new production because they share the functional properties of diatoms.

A very good model–data comparison of Si(OH)$_4$ and other hydrographic parameters (Table 2 and Fig. 2), provides confidence in Pacific ROMS–CoSiNE’s ability to simulate the characteristic low Si(OH)$_4$ HNLC conditions of the EEP region, and justifies the use of our model approach to perform the biological rate calculations described in the next sections.

### 3.2 Nutrient and C uptake rates

The model is able to capture the mean state of all biological rates in the EEP domain, but in general performs better in December 2004 (Table 2). The only visible large discrepancy between model and data occurs for $\rho NH_4$ during September 2005. There is a consistent positive bias associated with $\rho Si(OH)_4$ that originates from the fact that all large phytoplankton in the model take up Si(OH)$_4$, while in the field diatoms comprise only a fraction of that population (Parker et al., 2011; Taylor et al., 2011). One might suspect that overestimated model $\rho Si(OH)_4$ would lead to overestimated PP. However, mean model PP is in very good agreement with EB measurements (Table 2). Most model rate estimates are within a factor of two from the mean in situ measurements. Such a good box-average agreement is the basis for positive model performance evaluation.

Additionally, in Fig. 3 we use a target diagram to illustrate the normalized biased and unbiased statistical metrics used to compare ROMS–CoSiNE and EB macronutrient and C uptake rates, as well as satellite-derived PP estimates. We conclude that the spatial variability in uptake rates is modeled reasonably well, with the exception of $\rho Si(OH)_4$ that was shown to be overestimated for reasons discussed above. It is clear that there are large year to year discrepancies in the model bias. On the other hand, the unbiased RMSD$_{cp}$ shows no such trend, and remains relatively low for both uptake rate estimates.

Results shown in Fig. 3 also reveal a certain paradox by which the model provides a consistently good representation of PP over the two year sampling periods, but less satisfying results of $\rho Si(OH)_4$, $\rho NO_3$ and $\rho NH_4$ in 2005 than in 2004. If total C uptake by phytoplankton is linked to the uptake of total N by means of a constant Redfield ratio (Arrigo, 2005, and references therein) as it is done in the ROMS–CoSiNE model ($PP = \text{Redfield ratio} \times (\rho NO_3 + \rho NH_4)$), then we would expect no discrepancy in the model bias and variability of N and C uptake between the two time periods.
Table 2. The 2004–2005 model–data comparison of monthly and domain average (1° S–1° N and 140° W–110° W) hydrographic conditions (T, O₂, NO₃, Si(OH)₄), depth-integrated total phytoplankton nutrient (ρNO₃, ρNH₄, ρSi(OH)₄) and C (PP) uptake rates, total phytoplankton specific growth rates (μ) and biomass (S), as well as total and size-fractionated f-ratios. Mean and standard deviations come from the along-equatorial profiles between 140° W and 110° W. PP data from Balch et al. (2011), ρNO₃ and ρNH₄ from Parker et al. (2011), and ρSi(OH)₄ from Krause et al. (2011), μ data from Selph et al. (2011) and biomass estimates from Balch et al. (2011). Cruise f-ratios were calculated by Parker et al. (2011). Depth integration is over the top 75 m depth, beneath which there is little nutrient uptake by phytoplankton (Aufdenkampe et al., 2002; Parker et al., 2011).

| Variable | Unit                  | December 2004       | September 2005      |
|----------|-----------------------|---------------------|---------------------|
|          |                       | EB data          | Model              | EB data          | Model              |
| T        | (°C)                  | 23.1 ± 3.29        | 21.6 ± 2.76        | 22.0 ± 4.14      | 20.5 ± 2.52        |
| O₂       | (mg O₂ m⁻³)           | 161 ± 27.9         | 190 ± 28.2         | 148 ± 34.6       | 177 ± 37.2         |
| NO₃      | (mmol N m⁻³)          | 9.62 ± 4.18        | 10.3 ± 3.21        | 11.4 ± 7.05      | 11.4 ± 2.97        |
| Si(OH)₄ | (mmol Si m⁻³)         | 5.04 ± 3.09        | 6.68 ± 3.48        | 6.15 ± 3.07      | 7.64 ± 3.20        |
| PP       | (mmol C m⁻² d⁻¹)      | 62.4 ± 9.2         | 53.1 ± 5.5         | 59.3 ± 18.6      | 64.1 ± 6.2         |
| ρNO₃    | (mmol N m⁻² d⁻¹)      | 3.59 ± 1.32        | 2.46 ± 0.63        | 4.17 ± 1.82      | 2.67 ± 0.76        |
| ρSi(OH)₄| (mmol Si m⁻² d⁻¹)     | 1.70 ± 0.56        | 3.18 ± 1.02        | 1.23 ± 0.61      | 3.58 ± 1.25        |
| ρNH₄    | (mmol N m⁻² d⁻¹)      | 7.94 ± 5.22        | 5.58 ± 0.88        | 17.1 ± 3.7       | 7.05 ± 0.78        |
| μ        | (d⁻¹)                 | 0.53 ± 0.11        | 0.45 ± 0.03        | 0.39 ± 0.11      | 0.48 ± 0.03        |
| S        | (mg Chl m⁻²)          | 26.5 ± 3.4         | 28.4 ± 4.6         | 32.4 ± 5.1       | 32.1 ± 4.9         |
| f-ratio (S1) |                  | 0.38            | 0.25           | 0.10           | 0.22            |
| f-ratio (S2) |                  | 0.34            | 0.39           | 0.33           | 0.36            |
| f-ratio (total) |             | 0.28            | 0.31           | 0.16           | 0.27            |

Fig. 3. Target diagram of PP and nutrient uptake rates in the EEP during 2004–2005. Diagram shows the normalized B and RMSDCP (unbiased RMSD) for the model PP, ρNO₃, ρSi(OH)₄, ρNH₄ and satellite VGPM (Vertically Generalized Production Model) PP estimates relative to the EB observations from December 2004 and September 2005. Concentric circles represent RMSD₀₀₀ isolines.

C uptake during EB was measured independently (Balch et al., 2011) and is prone to a different sampling error than ρNO₃ and ρNH₄ (Parker et al., 2011). However, both methods of calculating uptake rates have been performed side by side in the equatorial Pacific during previous cruises and are unlikely to carry a measurement error large enough to explain this difference. Thus, it is likely that the model assumption of a constant Redfield ratio needs to be reevaluated in order to more accurately capture the dynamics of phytoplankton C and nutrient uptake rates in the EEP.

In order to fully inspect the model skill in describing the phytoplankton nutrient uptake dynamics in the EEP, we further verify how the model captures the mean phytoplankton specific growth rate (μ) and phytoplankton biomass (S), the product of which determines the total nutrient uptake rates.

3.3 Specific growth rates and biomass

The analysis of EEP box mean μ and S is particularly useful in illustrating why the total N and C uptake rates are well modeled under December 2004 but less so under September 2005 conditions (Fig. 3 and Table 2). The mean total phytoplankton μ, calculated on the basis of a seawater dilution method (Selph et al., 2011), equals 0.53 ± 0.11 d⁻¹ in December 2004 and is well matched and only slightly underestimated by the model mean equal to 0.45 ± 0.03 d⁻¹ (Table 2). However, in September 2005, the model overestimates μ despite displaying much lower standard deviation associated with spatial variability (Table 2). At the same time, S, defined as total amount of Chl pigment in cells > 0.45 μm in diameter, was estimated at 26.5 ± 3.4 mg Chl m⁻² during EB04 (Balch et al., 2011). This is in very good agreement with the modeled 28.4 ± 4.6 mg Chl m⁻². The comparison is
even better in September 2005 when the model $S$ matches well both in terms of the mean and variability (Table 2).

The conclusions derived from these results shed more light on the year-to-year discrepancy in model performance in simulating total nutrient and C uptake rates. It appears that the model does a very good job in capturing the mean state of total phytoplankton biological production described by PP, total $\mu$ and $S$. However, when looking at $\rho NO_3$, $\rho NH_4$ and $\rho Si(OH)_4$, we need to consider what the species composition of the phytoplankton community looks like. The model, which only uses a simple two phytoplankton group setup and assumes that all large phytoplankton have the same functional behavior as diatoms, does not simulate all phytoplankton growth dynamics well, as revealed by the discrepancy in 2004 and 2005 $\rho NH_4$ or the consistent positive bias in $\rho Si(OH)_4$. In the following section we explore the possible role of this limitation in preventing the model from fully resolving the patterns of variability in biological production in the EEP.

### 3.4 Phytoplankton species composition

The numerous studies integrated within the EB project reveal a very dynamic phytoplankton community with relative abundances of several autotrophic functional groups shifting on small spatial and temporal scales (Selph et al., 2011; Stukel et al., 2011; Taylor et al., 2011). Parker et al. (2011) speculated that the role of diatoms in total $\rho NO_3$ could be several times higher than their biomass contribution ($< 10\%$). At the same time, they pointed out the importance of accounting for large dinoflagellates, which obtain their $N$ from both mixotrophy and $\rho NO_3$. Large dinoflagellates have a big share in new production while constituting for much of the larger size fraction of phytoplankton biomass. Since the CoSiNE model only takes into account two functional types of phytoplankton, we cannot distinguish between diatoms and dinoflagellates in the large phytoplankton size fraction. However, through a comparison of large phytoplankton cell biomass obtained from size-fractionated Chl measurements (Balch et al., 2011) and pigment-specific diatom concentration (Taylor et al., 2011), we can comment on the role of diatoms in nutrient uptake relative to their biomass, and place this discussion in the context of the disparate September 2005 N, Si and C flux estimates discussed above.

While diatoms comprise on average only 6.5% of the total autotrophic community in the study periods (Taylor et al., 2011), Parker et al. (2011) documented that diatoms make up between 13 and 37% of the total phytoplankton community. However, at several biological “hotspots” along the equator, they constituted 37 and 45% of the $> 5 \mu m$ phytoplankton size group in December 2004 and September 2005, respectively (Parker et al., 2011). These hotspots, partly associated with strong upwelling close to the leading or trailing edge of a TIW (Parker et al., 2011, their figure 9), also strongly affect $\rho NO_3$ and $\rho Si(OH)_4$ variability along the equator (Parker et al., 2011; Krause et al., 2011). In the model, large phytoplankton biomass oscillates between 24 and 44% of total biomass across the entire 2004–2005 period but is on average equal to 42% during the two months when EB cruises took place. This estimate is higher than 6.5% diatom biomass contribution measured in the field, and also an overestimate with respect to the 20–30% contribution of the $> 5 \mu m$ phytoplankton biomass reported by Balch et al. (2011).

Large ($> 5 \mu m$) dinoflagellates, which do not have an Si-requirement for growth and thus do not obscure the $\rho Si(OH)_4$ model–data comparison, can have a large contribution to total $\rho NO_3$ whenever their $N$ demand is not satisfied by phagotrophy (Stukel et al., 2011). Assuming that diatoms compete for both NO$_3$ and NH$_4$, as it is also assumed in the model, Parker et al. (2011) estimated that dinoflagellates account on average for 55% of $\rho NO_3$ in the $> 5 \mu m$ fraction. The EB cruises reported that diatoms on average would account for 45% of $\rho NO_3$ (Parker et al., 2011). This is in good agreement with the model large phytoplankton $\rho NO_3$ of 48% in December 2004, and 50% in September 2005. We also find a good agreement between model diatom f-ratios and the $> 5 \mu m$ size-fractionated f-ratio from EB04 and EB05 (Table 2). Diatom contribution to PP in the model is 40% in December 2004 and 37% in September 2005. Landry et al. (2011) calculated that large eukaryotic phytoplankton constituted between 15 and 62% of primary production in the EEP, with diatoms contributing on average 18% of total autotrophic production. This indicates minor fluctuations in the otherwise overestimated role of model diatoms in PP variability in the EEP.

In order to understand the discrepancy between the modeled and the observed nutrient and C uptake rates, it is important to mention the significant differences in phytoplankton composition reported during EB cruises (Selph et al., 2011; Stukel et al., 2011; Taylor et al., 2011). In September 2005, contribution of Prochlorococcus towards total autotrophic biomass increased by more than twofold (Taylor et al., 2011). These small cells rely on NH$_4$ as their principal source of N for growth, and their elevated biomass enhanced $\rho NH_4$ more than twofold during EB05 (Table 2; Parker et al., 2011). $\rho NO_3$ by large phytoplankton increased as well (Parker et al., 2011) but without a concomitant elevation in $\rho Si(OH)_4$ (Krause et al., 2011). Parker et al. (2011) demonstrated that in December 2004 $\rho NO_3$ by cells $> 5 \mu m$ was equal or greater than the estimated diatom demand for N. In September 2005, it was much lower than the diatom demand, suggesting an increase in relative contribution of large dinoflagellates (that do not take up Si) to $\rho NO_3$. At the same time, in situ observations reported only a small change in the EEP mean PP relative to EB04 (Balch et al., 2011).

We conclude that while the CoSiNE model simulates the overall phytoplankton nutrient uptake dynamics well, its size-fractionated nutrient uptake is not always adequate to represent the interaction between shifts in phytoplankton species composition and nutrient and C uptake rates.
Regardless, overestimated role of diatoms and $\rho\text{Si(OH)}_4$ does not seem to affect the model–data PP comparison significantly, at least under the conditions measured during EB cruises.

3.5 Patterns of spatio-temporal variability

In Fig. 4a we reveal that the EEP-averaged PP oscillates strongly on intraseasonal time scales, with visible low frequency modulations of the variability amplitude. The 2004–2005 mean PP equals 54.7 mmol C m$^{-2}$ d$^{-1}$, with a minimum of 37.8 and a maximum of 68.3 mmol C m$^{-2}$ d$^{-1}$. Mean $\rho\text{NO}_3$ is estimated at 2.49 mmol N m$^{-2}$ d$^{-1}$ but varies by more than a factor of three across the time period, from a minimum of 1.19 to a maximum of 4.12 mmol N m$^{-2}$ d$^{-1}$ (Fig. 4b). $\rho\text{Si(OH)}_4$ has a minimum value of 2.07 mmol Si m$^{-2}$ d$^{-1}$ and a maximum of 5.37 mmol Si m$^{-2}$ d$^{-1}$ (Fig. 4c). Mean $\rho\text{Si(OH)}_4$ equals 3.65 mmol Si m$^{-2}$ d$^{-1}$.

The September 2005 mismatch between mean model and data $\rho\text{NH}_4$, attributed to a twofold increase in Prochlorococcus abundance (Parker et al., 2011; Taylor et al., 2011), is now better explained by a relatively low $\rho\text{NH}_4$ variability in the EEP across the two year period (Fig. 4d). The 2004–2005 model mean $\rho\text{NH}_4$ is 5.81 mmol N m$^{-2}$ d$^{-1}$, with the maximum domain average uptake rate of 7.66 mmol N m$^{-2}$ d$^{-1}$. $\rho\text{NH}_4$ data presented by Parker et al. (2011) are in the range of values reported from the JGOFS EqPac studies (Pena et al., 1994; McCarthy et al., 1996). However, Parker et al. (2011) admit that their EB $\rho\text{NH}_4$ measurements had limitations originating from the need for $^{15}$N enrichment to stimulate any $\rho\text{NH}_4$ under very low ambient NH$_4$ concentrations in the collected water samples. Such an interpretation of overestimated in situ $\rho\text{NH}_4$ is consistent with the results of the theoretical calculations of C uptake derived from total N using a 6.6–7.3 Redfield ratio range conversion. The observed high $\rho\text{NH}_4$ would require C uptake much higher than the PP measured in situ (Balch et al., 2011), which however is in good agreement with our model PP estimates (Figs. 3 and 4, Table 2).

It is difficult to constrain $\rho\text{NH}_4$ in the model because of two reasons. First, rapid turnover time of NH$_4$, on an order of 1 h, makes modeling NH$_4$ dynamics very difficult. Second, the major source of NH$_4$ is from regeneration processes that depend on zooplankton biomass, another biological factor that is difficult to constrain and capture in the model. Regardless of the error associated with the $\rho\text{NH}_4$ estimates, in situ and model alike, availability of NH$_4$ is not expected to limit phytoplankton growth and thus is not a deterministic factor in regulating C cycling in the EEP. On the other hand, noting the discrepancies in model and in situ $\rho\text{NH}_4$ estimates is crucial to understanding when and how it is possible to obtain very similar values of PP under different nutrient limiting conditions implicitly described by $\rho\text{Si(OH)}_4$ (e.g., in September 2005, Fig. 4a vs. Fig. 4c).

Results presented in Fig. 4 address an important issue of how much vital information about temporal variability in phytoplankton and nutrient dynamics remains unaccounted for when comparing results from consecutive cruises substantially separated in time. In absence of in situ time series of biological production, in Fig. 5 we compare the modeled three-day average PP with the remote-sensing-derived eighth-day composite net primary production (NPP) from two Vertically Generalized Production Models (VGPMs), standard and Eppl ey-modified, and the updated C-based Production Model (CbPM) (http://www.science.oregonstate.edu/ocean.productivity/). NPP from VGPMs is a function of Chl available light, and the photosynthetic efficiency (Behrenfeld and Falkowski, 1997). The CbPM relates NPP to phytoplankton C biomass and $\mu$ by retrieving information about particle backscatter and absorption (Westberry et al., 2008). In general, the mean and variability of the modeled PP are in the middle of the range of values obtained from the three satellite-derived NPP estimates. The amplitude of CbPM variability is close in line with the modeled one, though its mean value is higher than that of ROMS–CoSiNE. The two VGPMs are visibly lower in both their mean and range of variability. A similar discrepancy between in situ and satellite PP estimates was noted by McClain et al. (2002).
While it is possible that ROMS–CoSiNE overestimates PP, its mean value is closer to the EB cruise measurements than the VGPM one (Fig. 3). The most significant difference in model and satellite PP time series is in Fall 2005 when the elevated PP values persist much longer and are of greater magnitude in the CoSiNE model. We suspect that the difference could be attributed to a relatively higher small phytoplankton population seen in the model during October and November 2005, also reported during the EB05 cruise (Parker et al., 2011). Blooms of small phytoplankton, as indicated by high Prochlorococcus biomass during EBO5, typically occur below the surface (Selph et al., 2011), and it is possible that the satellite VGPM model of PP does not take this into account. Even though the VGPM model attempts to estimate depth-integrated and not only surface PP, its algorithm is inherently dependent on the estimates of surface optical properties predominantly. A longer time series comparison would help answer the question of whether these results provide evidence for any consistent bias in satellite estimates of PP, and how much could be attributed to model uncertainty (Friedrichs et al., 2009).

In Fig. 6 we extend the model–satellite (standard VGPM) comparison onto the spatio-temporal patterns of variability in PP. Both the 1° S–1° N and the 1–3° N area-averaged time-longitude plots reveal a good general agreement. At first glance, the model seems to capture the key features such as a westward decreasing gradient in PP consistent with westward decreasing nutrients and Chl distribution (Jiang et al., 2003; Wang et al., 2006; Kaupp et al., 2011), seasonal variability (Dandonneau et al., 2004; Wang et al., 2006) and intraseasonal variability likely attributed to passing TIWs (e.g., Strutton et al., 2011). There is a consistent positive bias in model PP indicated by higher background levels, consistent with Figs. 3 and 5. In Fig. 6c, d, further away from the equator, the westward propagating bands of alternating increased and decreased PP are especially well visible in both the satellite and model PP fields. Wavelength and period of these features is consistent with known properties of TIWs. Power density spectra of model PP at the equator and at 2° N (Figs. S3 and S4) reveal a dominant peak in longer than 100 days period, but also a significant peak in the TIW-dominated 20–35 day period window. The latter has a particularly large contribution to total PP variability at 2° N, consistent with more visible westward propagating features in Fig. 6d. It must be pointed out that the individual waves seen in the model PP fields are definitely not identical to the ones seen by the satellites. This is to be expected considering the differences between the real and model-applied atmospheric forcing.

3.6 Physical and biological responses to TIWs

In this section we examine the variability of physical and biological responses to individual TIWs passing through a narrow time–longitude band between 130° W and 120° W, from
June to December 2004 – the period of most intense TIW activity and one which the model represents accurately compared to satellite and in situ observations (Figs. 4 and 5). During EB cruises, the selected longitude band was characterized by strong ephemeral diatom blooms occurring in response to passing TIWs (Parker et al., 2011). In order to separate patterns of variability due to TIWs from seasonal as well as high-frequency perturbations, we apply a 20–35 day one-dimensional band-pass Butterworth filter to time–longitude maps of SST and Si(OH)₄ vertical flux (w·Si(OH)₄) variability at 0° N and 2° N (Fig. 7).

At the equator, it is possible to identify individual TIWs in the filtered SST field but rather impossible to do so in the filtered w·Si(OH)₄ field (Fig. 7a, b). This is consistent with a lack of one distinct peak in the power density spectrum of the latter (Fig. S1). At 2° N six individual TIW events can be clearly identified from lines of maximum negative SST variability (Fig. 7c). When translated onto the filtered w·Si(OH)₄ field at 2° N, the probable TIW tracks coincide with the highest both positive and negative variability, but with negative values being in general more prevalent. A dominant TIW signature in this frequency band in nutrient upwelling fluxes is consistent with a marked peak in the periodogram (Fig. S2) and with previous findings of Friedrichs and Hofmann (2001).

We identify 6 TIW events in the June to December 2004 period, and from now on refer to them as TIWs I-VI, with TIW I occurring the earliest. Estimated TIW tracks approximately follow the lines of maximum negative SST variability during a TIW event (Fig. 8a). Assuming that the leading edges of TIWs coincide with lines of largest negative SST gradient, we note that the leading edge also coincides with a maximum positive gradient in surface Si(OH)₄ concentration in all six cases (Fig. 8e). This is not the case for w and w·Si(OH)₄ at 75 m depth, which decrease markedly during the onset of TIW events but increase either in the trailing edge of a passing wave (close to the maximum positive SST gradient) or in between TIW events (Fig. 8b, c). These patterns are different when considering w averaged over the top 100 m.

Our observations are consistent with the analysis of w fields inside a passing TIW performed by Kennan and Flament (2000), and the analysis of mean upwelling nutrient fluxes at TIW scales of Vichi et al. (2008). The distribution of w and w·Si(OH)₄ is very patchy. Thus, we can even observe areas of positive upwelling flux close to the leading edge of a wave (e.g., TIW V in late November 2004). Although local nutrient upwelling flux is unlikely to explain the observed patterns of elevated surface Si(OH)₄ (Fig. 8e), it appears to match the timing and extent of at least some areas of elevated Si(OH)₄ at 75 m depth (TIWs III and V). At the onset of these two events, we also observe marked shallowing of the Si nutricline (from 100 to around 50 m; not shown) which, assuming similar variability in Fe and Si supply, might partially support the hypothesis of Vichi et al. (2008), who concluded that if the iron nutricline is shallower than the wave’s vertical scale, then the wave can enhance iron availability in the euphotic zone thus leading to a net local increase of phytoplankton biomass. On the other hand, there are no statistically significant peaks in the 20–35 day period window in periodograms of Si(OH)₄ at 75 m depth (Fig. S2). Additionally, during TIWs III and V the discrepancies between the distribution of Si(OH)₄ at 75 m depth and at the surface appear the largest, possibly suggesting that there are other mechanisms, such as meridional distribution of nutrients by TIWs (Gorgues et al., 2005; Vichi et al., 2008; Evans et al., 2009), that regulate the observed pattern of surface Si(OH)₄ during this moderately intense summer to winter 2004 TIW season (Strutton et al., 2011).

The same probable TIW tracks are drawn on top of maps of spatio-temporal variability in biological fields (Fig. 9). We can see that positive variability in surface Si(OH)₄ concentration is in general matched by an increase in ρSi(OH)₄ and a lagged increase in S2 (large phytoplankton) biomass (Fig. 9a, b, d). However, response patterns are not the same for all TIWs recorded in this period. During TIW I, there is much less S2 near 130°W even though there is an equal amount of surface Si(OH)₄ along the entire 10° longitudinal spread of the wave's track. The S2 biomass pattern is correlated with a westward decreasing Si(OH)₄ at 75 m depth but not with any vertical flux. On the other hand, we also observe that ZZ2 (mesozooplankton) biomass is very low at the eastern edge of the box but rather high at the western edge. We know that S2 must in fact be growing at 130°W because of consistently high surface ρSi(OH)₄ along the path of the wave. Thus, it is likely that a large local ZZ2 standing stock keeps the S2 biomass low despite favorable biogeochemical conditions.

In Fig. 9c we also note that maximum negative variability in PP is observed after the passing of stronger TIWs IV and V, consistent with Evans et al. (2009), who revealed depressed PP after the passage of stronger TIWs or in seasons of deeper thermocline depth. Elsewhere, Gorgues et al. (2005) concluded that TIWs have a net negative effect on PP in this region due to dominant downwelling and horizontal advection of phytoplankton. Despite dominant downwelling at the onset of the TIWs (Fig. 8b, c), and despite the lack of plankton advection in the model, we observe positive PP variability at the onset of the waves, only then followed by a decline in case of TIWs IV and V (Fig. 9c). At the same time, we note no coinciding relative decrease in ρSi(OH)₄ or S2 biomass.

Different conditions are found during the passage of TIW III. Here we observe the weakest ρSi(OH)₄ and S2 biomass responses to increased surface nutrients. These can be attributed to the high initial population of ZZ2 present in the water column already at the onset of the wave, likely remaining after the previous bloom event. This is confirmed by relatively high PP levels prior to and during TIW III, caused
Fig. 7. Time–longitude diagrams of filtered (20–35 day period) ROMS–CoSiNE model fields of: (A) SST and (B) $w$ at 0° N, and (C) SST and (D) $w$ at 2° N. Time period is from June 2004 to December 2004. Yellow and orange areas correspond to positive, and dark blue areas to maximum negative variability in the TIW-active period domain. Westward passing wave features in the fields at 2° N are identified as TIWs I to VI (from earliest to latest) and their approximate tracks are marked with white lines.

Fig. 8. Time–longitude diagrams of several key physical and chemical fields at 2° N. Period from June 2004 to December 2004 in days and months (dd.mm); longitude band from 120° W to 130° W. Panels from left to right show the unfiltered variability (mean removed) in: (A) SST (°C), (B) $w$ at 75 m (m d$^{-1}$), (C) $w$·Si(OH)$_4$ at 75 m (mmol Si m$^{-2}$ d$^{-1}$), (D) Si(OH)$_4$ concentration at 75 m (mmol Si m$^{-3}$), and (E) surface Si(OH)$_4$ concentration (mmol Si m$^{-3}$). White lines associated with approximate tracks of passing TIWs correspond to likely TIW tracks drawn along maximum negative SST variability in Fig. 7.
by high remineralization activity revealed through elevated $\rho$NH$_4$ by S1 (small phytoplankton) (not shown).

It appears that occasionally the pressure from grazers keeps the S2 population at low levels, even during TIW-induced increases of surface nutrients. This may suggest that the initial population of zooplankton is an important factor regulating spatio-temporal patterns in biological responses to TIW events. We acknowledge the fact that under a more realistic setting, both S2 and ZZ2 would partially be advected away. Nevertheless, changes in the initial phytoplankton and zooplankton community structures would likely contribute to the variability in biological production response to TIWs. While to our knowledge this has not been given much attention in TIW-related studies before, initial zooplankton conditions were shown to affect the fate of artificial iron enrichment experiments. During SEEDS (Subarctic Pacific Iron Experiment for Ecosystem Dynamics Study) and SEEDS II iron fertilization experiments (Tsuda et al., 2003, 2007), it was concluded that a large initial population of zooplankton during SEEDS II (not present during SEEDS) was the key factor responsible for suppressing the phytoplankton bloom in response to added Fe. These conclusions were later supported by a modeling study by Fujii and Chai (2009), who suggested that not only mixed layer depth but also the initial biomass of diatoms and its principle grazers are crucial factors in the response of the phytoplankton community to iron enrichments. Our results indicate that similar considerations should be taken into account when designing model and field experiments that examine the role of TIWs on new and primary production in the EEP.

4 Conclusions

In this study we examined patterns of spatial and temporal variability in the biological uptake of NO$_3$, Si(OH)$_4$ and carbon in this region, and evaluated the role of TIW-related biological and physical interactions controlling this variability. High resolution Pacific ROMS–CoSiNE model results were evaluated with in situ and remote sensing data. The results of model–data comparison revealed a good agreement in domain-average hydrographic and biological rate estimates, and patterns of spatio-temporal variability in primary productivity. We confirmed that TIWs have the potential to enhance phytoplankton biomass through an increased supply of nutrients (horizontal and/or vertical) and elevated local and instantaneous phytoplankton nutrient uptake, as opposed to only advecting biomass.

However, there is a tremendous range of variability in both physical and biological flux responses to individual TIW events, likely reflecting changes in TIW intensity and season. Furthermore, we concluded that initial biological conditions (e.g., zooplankton biomass) at the onset of a TIW event may play an important additional constraint on biological responses, in particular of large phytoplankton such as diatoms, to TIW-induced perturbations in the physical and biogeochemical fields and fluxes. In order to advance our efforts to unravel the roles and interactions of regulatory mechanisms in the equatorial Pacific, we recommend improving the CoSiNE model and ecosystem models in general by introducing more phytoplankton groups, variable Redfield and carbon to chlorophyll ratios, as well as a better resolution of the Fe–Si co-limitation of phytoplankton growth.
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