Continuous Paravertebral Analgesia versus Continuous Epidural Analgesia after Video-Assisted Thoracoscopic Lobectomy for Lung Cancer: A Randomized Controlled Trial

Jielan Lai, MD,*1,2 Dongrong Situ, MD,*1,3,4 Manxiu Xie, MD,1,2 Ping Yu, MD,1,2 Junchao Wang, MD,1,2 Hao Long, MD,1,3,4 and Renchun Lai, MD1,2

Background: Whether continuous thoracic epidural analgesia (TEA) and continuous paravertebral block (PVB) have similar analgesic effects in patients undergoing video-assisted thoracic surgery (VATS) lobectomy was compared in this study.

Methods: In all, 86 patients undergoing VATS lobectomy were enrolled in the prospective, randomized clinical trial. Group E received TEA. Group P received PVB. The primary endpoint was postoperative 24-hour visual rating scale (VAS) on coughing. Side effects and postoperative complications were also analyzed.

Results: Pain scores at rest or on coughing at 24 and 48 h postoperatively were significantly lower in group E than in group P (P < 0.05). At 24 h postoperatively, more patients in group E suffered from vomiting (32.6% vs 11.6%, P = 0.019), dizziness (55.8% vs 12.9%, P = 0.009), pruritus (27.9% vs 2.3%, P = 0.002), and hypotension (32.6% vs 4.7%, P = 0.002) than those in group P. Patients in group E were more satisfied (P = 0.047). Four patients in group P and two patients in group E suffered from pulmonary complications (P > 0.05). The length of hospital and intensive care unit (ICU) stays were not significantly different.

Conclusions: Though TEA has more adverse events than PVB, it may be superior to PVB in patients undergoing VATS lobectomy.

Keywords: paravertebral analgesia, epidural analgesia, video-assisted thoracoscopic surgery, lobectomy

1Collaborative Innovation Center for Cancer Medicine, State Key Laboratory of Oncology in South China, Sun Yat-Sen University Cancer Center, Guangzhou, China
2Department of Anesthesiology, Sun Yat-Sen University Cancer Center, Guangzhou, China
3Lung Cancer Research Institute, Sun Yat-sen University, Guangzhou, China
4Department of Thoracic Surgery, Sun Yat-Sen University Cancer Center, Guangzhou, China

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Corresponding author: Lai Renchun. Collaborative Innovation Center for Cancer Medicine, State Key Laboratory of Oncology in South China, Sun Yat-Sen University Cancer Center, 651 Dongfeng Road East, Guangzhou, Guangdong 510060, China
Email: lairch@sysucc.org.cn

*Authors, Jielan Lai and Dongrong Situ, contributed equally to this work.

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Introduction

Recently, video-assisted thoracic surgery (VATS) has been increasingly used as an alternative to thoracotomy for lobectomy in the treatment of early-stage non-small-cell lung cancer. VATS is associated with less postoperative pain and better quality of life than anterolateral thoracotomy for the first year after surgery. However, some patients still suffer from acute pain after VATS lobectomy, and the incidence of chronic pain has been reported to be as high as 30%. Pain control after VATS lobectomy is a contemporary question.

Thoracic epidural analgesia (TEA) is generally considered the gold standard analgesia after thoracotomy not only for its efficient pain relief but also for many other beneficial effects. Paravertebral block (PVB) shows efficacy for pain management after VATS. However, some other studies have reported different results. Only a few existing studies have compared PVB analgesic effects with epidural analgesia after VATS lobectomy. Based on the few publications available in the literature, it is difficult to determine the superiority of any type of anesthesia for VATS lobectomy.

There are three methods used to locate the paravertebral space for catheter insertion: a blind anesthetic approach, during surgery, and an ultrasound-guided PVB approach. The efficacy of PVB depends on accurate catheter placement. Other studies have shown the efficacy of paravertebral analgesia during surgery. The aim of this study was to compare the analgesia efficacy and side effects of continuous paravertebral analgesia and continuous epidural analgesia for postoperative pain after VATS lobectomy.

Methods

This was a prospective randomized study performed between November 2017 and December 2018 at the Sun Yat-sen University Cancer Center. The institutional Review Board approved the study, and the study was registered at www.chictr.org.cn (ChiCTR-INR-17012928). Each patient provided written informed consent for participation. Consecutive patients undergoing VATS lobectomy for lung cancer were screened for inclusion. Eligible patients were randomly allocated into the continuous PVB group (group P) or the continuous TEA group (group E). The following inclusion criteria were applied: patients aged 18–80 years old who were undergoing VATS lobectomy, American Society of Anesthesiologists (ASA) physical status class I–III, an understanding of the principle of visual rating scale (VAS) pain assessment and no chronic pain. The exclusion criteria were as follows: patients who were on anticoagulation, patients taking opioids for greater than three weeks prior to surgery, patients with a contraindication to regional anesthesia such as infection close to the site of puncture, allergy to local anesthetics, and those with a history of chronic pain, severe cardiovascular disease, liver or renal insufficiency, a change in surgery type, conversion of VATS to thoracotomy, accidental catheter slipping, and patient refusal. Randomization was performed using a computer-generated randomization sequence by an investigator not involved in patient care or perioperative assessment.

Anesthesia and surgery procedure

No premedication was administered. All patients had standardized anesthetic delivery and postoperative pain control. Induction anesthesia included dexmedetomidine 0.5 μg/kg, propofol 1.5 mg/kg, sufentanil 0.5 μg/kg, and cisatracurium 0.15 mg/kg. Intubation was performed using a double-lumen endobronchial tube. Anesthesia was maintained with sevoflurane and remifentanil. All patients received 0.25 mg of intravenous palonosetron by the end of the surgery. VATS lobectomy was performed by Dr. Long Hao’s surgical group. During the VATS, we used a slightly modified version of the four-port technique. In short, a 10 mm incision with a trocar was made in the seventh or eighth intercostal space in the mid-axillary line. About 4 cm incision was made between the fourth or fifth ribs. Two 10–15 mm incisions without trocars were made below the tip of the inferior scapular angle and near the rib curvature. After the surgery was finished, one or two chest drain tubes were placed.

Catheter insertion procedure

After VATS lobectomy, paravertebral catheters were placed by the surgeon under sterile conditions upon completion of surgery, as described by Fibla et al. In short, the point of percutaneous puncture is about 10 cm away from the midline. 18 g of Tuohy needle passed through the chest wall vertically until the tip of the needle was close to the paravertebral pleura. 20 mL normal saline was given to form a detachment bag. Under the direct control of the surgeon, the catheter was inserted into the paravertebral space of 10 cm. After suction, there was no blood and cerebrospinal fluid reflux. 4 mL of 2% lidocaine containing 5 μg/mL epinephrine was...
injected through the catheter to confirm the correct position.

The patient was given epidural puncture and catheterization under conscious local anesthesia. T7-8 was selected as the puncture point. Under local anesthesia of 2% lidocaine 1 mL, the puncture was performed with a 17G Tuohy puncture needle. The position of epidural space was determined by resistance loss method. The epidural catheter was inserted into the epidural space about 4–5 cm. 3 mL test dose of 1.5% lidocaine confirmed that the catheter position was correct.

**Postoperative analgesia management and patient assessment**

The epidural infusion consisted of ropivacaine 0.15% with 6 mcg/mL of hydromorphone and was administered via a pump (Opon, Jiangsu aipeng Medical Technology Co., Ltd, Nantong, China) starting at 2 mL/h for 48 h postoperatively. Patients in the paravertebral group received an initial bolus of 0.5% ropivacaine 0.1 mL/kg and then 0.1 mL/kg/h infusion for 48 h postoperatively. All patients received parecoxib 40 mg before the incision followed by parecoxib 40 mg every 12 h for 2 postoperative days. All patients also benefited from IV patient controlled analgesia (PCA) of oxycodone 50 mg and palonosetron 0.075 mg mixed with normal saline to a total volume of 100 mL. The disposable PCA device was set to deliver no background infusion and 2 mL on-demand bolus with a lockout time of 5 min. The postoperative care was the same for all the patients.

In the PACU, patients rated their pain at rest and on coughing using an 11-point VAS scale (0 = no pain, 10 = worst imaginable pain). Patients in the PACU were assessed for pain every hour and treated with intravenous oxycodone when the pain score at rest was >=4 or upon patient request. Pain was assessed using VAS, and pain scores were obtained twice per day by an investigator. The scores were recorded for the first 3 days postoperatively. If severe nausea or vomiting occurred, patients received 10 mg metoclopramide. If severe vomiting did not improve despite pharmacological treatment or if severe dizziness or severe pruritus occurred, PCA was stopped temporarily. PCA was restarted after these symptoms subsided. Adverse effects, such as hypotension, postoperative nausea and vomiting (PONV), pruritus, dizziness, and postoperative pulmonary complications such as atelectasis, pneumonia, acute lung injury (ALI), and acute respiratory distress syndrome (ARDS), were recorded. The following parameters were also recorded: total remifentanil dose, anesthesia time, duration of surgery, the total amount of PCA oxycodone used, chest tube indwelling time, length of intensive care unit (ICU) stay, and length of hospital stay. Another investigator blinded to the group allocation collected all outcome and perioperative data. The presence of adverse events each day was noted in the patient’s chart. Patient satisfaction was defined as 1 = very satisfied, 2 = satisfied, 3 = fair, and 4 = dissatisfied.

**Outcomes**

The primary endpoints were 24 h postoperative pain on coughing. Secondary endpoints were 24 h postoperative pain at rest, 0 (at PACU), 2, 6, 48, and 72 h postoperative pain at rest and on coughing, cumulative oxycodone consumption and adverse effects (nausea, vomiting, dizziness, and pruritus), and patient satisfaction.

**Statistical analysis**

Collected data were subject to statistical analysis performed with the use of SPSS package 22.0 (SPSS Inc., Chicago IL, USA). The clinically significant VAS difference of 14 mm was accepted for sample size calculations. In the pilot study, the standard deviation of 24 h dynamic VAS was 22.5 mm. Using these data, we determined that we would need 86 patients to achieve 90% power with 5% alpha. Each group should have included a minimum of 43 patients. We assumed that 10% would be lost to follow-up. Data are presented as the mean ± standard deviation, mean (CI), median (interquartile range), or number (%). Groups with normally distributed data were compared with the Mann–Whitney U test, and categorical variables were compared with the χ² test or Fisher’s exact test. Changes in the pain severity in both groups were analyzed using a general linear model (GLM) with repeated measures. P values were not adjusted for multiple comparisons and should be interpreted cautiously. P <0.05 was considered statistically significant.

**Results**

A flow chart of the trial process is depicted in Fig. 1. Of the 94 eligible patients, three patients were excluded because of patient refusal and the applied exclusion criteria. Five patients were excluded from analysis because of surgery conversion to open thoracotomy or the catheter accidentally slipping out during follow-up. Consequently, 86 patients completed the study. There were no
significant differences between the two groups in terms of demographics (Table 1).

The comparative analysis of pain using GLM demonstrated slight intergroup differences. The U test showed significant differences in pain at 24 h at rest and on coughing ($P = 0.001$ and $P < 0.001$, respectively) and at 48 h at rest and on cough ($P = 0.004$ and $P < 0.001$, respectively). There were no differences between the two groups at other time points (Figs. 2 and 3).

There were no differences in the duration of drain placement, length of hospital stay, or length of ICU stay between the two groups ($P > 0.05$). Four patients suffered from pulmonary complications in group P, while two patients in group E suffered from pulmonary complications ($P > 0.05$), as shown in Table 2. One patient died in group E.

At 24 h postoperatively, the incidence rates of adverse events were significantly higher in group E than in group P (vomiting 32.6% in group E vs. 11.6% in group P, $P = 0.019$; pruritus 27.9% in group E vs. 2.3% in group P, $P = 0.002$; hypotension 32.6% in group E vs. 4.7% in group P, $P = 0.002$; dizziness 55.8% in group E vs. 12.9% in group P, $P = 0.009$). The patients in group P received more cumulative oxycodone doses than those in group E. Although the incidence of adverse events was higher in group E, the patients reported higher satisfaction in group E ($P = 0.047$). At 48 h postoperatively, the incidence rates of adverse events, cumulative oxycodone dose, and patient satisfaction were not different between the two groups ($P > 0.05$) (Table 2).

### Discussion

Our study demonstrated that TEA resulted in lower postoperative pain scores than PVB during the first two postoperative days in patients undergoing VATS lobectomy, which was consistent with the results of Marret et al. The results of Kashiwagi et al.’s study conflicted with this evidence. In our study, the infusion catheter was inserted beneath the parietal pleura under direct thoroscopic vision. Some authors believe that continuous PVB using the classical landmark puncture technique is not satisfactorily predictable and effective. After the separation of pleural adhesions, the integrity of the pleura may be destroyed. It led to the leakage of local

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**Table 1** Demographic comparison of study groups

| Parameter                      | Group E (n = 43) | Group P (n = 43) | $P$ value |
|--------------------------------|-----------------|-----------------|-----------|
| Age (years)                    | 57 ± 9          | 59 ± 9          | 0.245     |
| Sex (female, %)                | 20 (46.5)       | 16 (37.2)       | 0.382     |
| Height (cm)                    | 164 ± 7         | 163 ± 7         | 0.766     |
| Weight (kg)                    | 59.6 ± 8.4      | 60.5 ± 9.5      | 0.666     |
| BMI (kg/m²)                    | 22.2 ± 2.4      | 22.6 ± 2.8      | 0.463     |
| ASA physical status (I/II/III) | 2/41/0          | 4/38/1          | 0.411     |
| Lung function                  |                 |                 |           |
| FVC (L)                        | 3.29 ± 0.81     | 3.31 ± 0.68     | 0.907     |
| FEV₁ (L)                       | 2.69 ± 0.75     | 2.57 ± 0.55     | 0.388     |
| FEV₁/FVC × 100 (%)             | 80.9 ± 10.8     | 82.4 ± 8.9      | 0.485     |
| History of smoking             | 19 (44.2)       | 13 (30.2)       | 0.181     |
| History of dizziness           | 2 (4.7)         | 5 (11.6)        | 0.433     |
| History of hypertension        | 14 (32.6)       | 9 (20.9)        | 0.223     |
| History of diabetes mellitus   | 3 (7.0)         | 4 (9.3)         | 1.000     |
| Duration of anesthesia (min)   | 178 ± 41        | 185 ± 42        | 0.445     |
| Operation time (min)           | 137 ± 37        | 146 ± 38        | 0.258     |
| Blood loss                     | 110 ± 89        | 89 ± 38         | 0.157     |
| Total intraoperative dose of remifentanil | 583 ± 203 | 562 ± 165 | 0.566 |
| Number of inserted drains      |                 |                 | 0.483     |
| 1                              | 3               | 6               |           |
| 2                              | 40              | 37              |           |

Data are presented as the mean ± standard deviation or number of patients (%).

ASA: American Society of Anesthesiologist; BMI: body mass index; FEV₁: forced expiratory volume in 1 s; FVC: forced vital capacity
anesthetics into the chest and resulted in poor analgesic effect. VAS at 2 h, 6 h, and 72 h, at rest or on coughing, showed no significant differences between the two groups. Less cumulative opioid doses were needed when patients received postoperative epidural analgesia. This was similar to some previous studies.\textsuperscript{7,9)

Table 2 Postoperative data

| Parameter                          | Group E (n = 43) | Group P (n = 43) | P value |
|-----------------------------------|-----------------|-----------------|---------|
| At postoperative 24 h             |                 |                 |         |
| Nausea                            | 20 (46.5)       | 12 (12.9)       | 0.074   |
| Vomiting                          | 14 (32.6)       | 5 (11.6)        | 0.019*  |
| Pruritus                          | 12 (27.9)       | 1 (2.3)         | 0.002*  |
| Hypotension                       | 14 (32.6)       | 2 (4.7)         | 0.002*  |
| Dizziness                         | 24 (55.8)       | 12 (12.9)       | 0.009*  |
| Cumulative opioid dose (mg)       | 4.0 ± 1.3       | 8.7 ± 1.3       | 0.013*  |
| Patients satisfaction (1/2/3/4)   | 21/15/7/0       | 11/22/10/0      | 0.047*  |
| At postoperative 48 h             |                 |                 |         |
| Nausea                            | 7 (16.3)        | 3 (7.0)         | 0.313   |
| Vomiting                          | 3 (7.0)         | 1 (2.3)         | 0.616   |
| Pruritus                          | 2 (4.7)         | 0 (0.0)         | 0.494   |
| Hypotension                       | 3 (7.0)         | 0 (0.0)         | 0.241   |
| Dizziness                         | 9 (20.9)        | 4 (9.3)         | 0.228   |
| Cumulative opioid dose (mg)       | 5.1 ± 2.1       | 5.3 ± 1.0       | 0.904   |
| Patients satisfaction (1/2/3/4)   | 24/15/4/0       | 15/23/5/0       | 0.078   |
| Duration of drain placement (hours)| 67.5 ± 36.4    | 66.2 ± 40.2     | 0.878   |
| Length of hospital stay (days)    | 11.3 ± 3.3      | 11.7 ± 3.7      | 0.541   |
| Length of ICU stay (days)         | 1.2 ± 0.7       | 1.5 ± 1.2       | 0.192   |
| Pulmonary complications           | 4 (9.3)         | 2 (4.7)         | 0.676   |
| Death                             | 1 (2.3)         | 0 (0.0)         | 1.000   |

Data are presented as the mean ± standard deviation or number of patients (%).
*Statistically significant differences.
ICU: intensive care unit
Currently, enhanced recovery after surgery (ERAS) protocols raise the importance of a multimodal drug regimen associated with peripheral nerve blockade to obtain the best pain relief. PVB is an effective technique with few adverse effects. TEA has been reported as an alternative to PVB. Compared with TEA, PVB is associated with a lower risk of urinary retention and a lower hypotension effect. Our results showed that the incidence of vomiting, pruritus, dizziness, and hypotension in PVB group was lower than that in TEA group at 24 hours after operation. These results were consistent with previous studies. However, there was no difference in the incidence of complications between the two groups at 48 hours after operation. Although the incidence of complications in TEA group was higher, the overall satisfaction of patients was higher in TEA group, which might be related to the better analgesic effect of TEA. This suggested that TEA may be superior to PVB in patients undergoing thoracoscopic lobectomy. Although previous studies have demonstrated a reduction in the hospital stay length when TEA is incorporated into the analgesic plan, the length of hospital stay, and ICU stay were similar in the two groups in our study. Hospital stay relied on various factors that might depend on postoperative complication occurrence. In our study, there were no differences in pulmonary complications between the two groups.

Several limitations need to be addressed. First, blinding was not performed because it seemed neither feasible nor realistic for this study. Another limitation was the lack of confirmation of complete and accurate positioning of the paravertebral catheter because any catheter mispositioning or plural leakage could affect the results. In our study, we did not evaluate postoperative urinary retention. This is because the urinary tube stayed until 48 h postoperatively. Although cases with severe pleural adhesion were excluded from our study, some patients with mild to moderate pleural adhesion were enrolled in the paravertebral group. We speculated that there might be a higher chance of small pleura tearing during adhesiolysis, which could be an explanation for that.

Conclusion

Though TEA has more adverse events than PVB, it is more analgesic effective than PVB in patients undergoing VATS lobectomy. TEA may be superior to PVB in patients undergoing thoracoscopic lobectomy.

Ethical Approval

The study was approved by Sun Yat-sen University Cancer Center Institutional Review Board.

Author Contribution Statement

Study design and planning: Lai renchun, Long hao, Lai jielan and Situ dongrong; Study conduct: Lai jielan and Situ dongrong; Data analysis: Xie manxiu and Wang junchao, Writing of the paper: Lai jielan and Lai renchun, Revising paper: all authors.

Disclosure Statement

All authors have no conflict of interests to declare.

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