Long-Term Remission with Ipilimumab/Nivolumab in Two Patients with Different Soft Tissue Sarcoma Subtypes and No PD-L1 Expression

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Abstract
Checkpoint inhibitor therapy has been shown to improve outcomes in multiple solid malignancies; however, data are limited in soft tissue sarcoma. We present two cases of patients with advanced soft tissue sarcoma of different subtypes (dedifferentiated liposarcoma and myxofibrosarcoma) with zero percent PD-L1 expression by immunohistochemistry who were treated with ipilimumab and nivolumab followed by maintenance nivolumab. Both patients had failed multiple lines of systemic treatment and experienced long-term remission after starting ipilimumab and nivolumab. Genetic testing revealed that no genetic mutations were found in common between the two cases. One patient received concurrent cryoablation, which may have sensitized his tumor to immunotherapy. Checkpoint inhibitor therapy may improve outcomes in soft tissue sarcoma regardless of PD-L1 status, especially when combined with cryoablation. Studies are needed to evaluate whether treatment response varies by sarcoma subtype and what molecular markers can be used to guide patient selection.
**Introduction**

Checkpoint blockade has shown promising efficacy in multiple malignancies [1–5], but limited data exist regarding the efficacy and tolerability in soft tissue sarcoma (STS). The ALLIANCE trial demonstrated the superiority of ipilimumab in combination with nivolumab over single-agent nivolumab in STS [6], with an objective response rate of 15%. However, there are limited data on the efficacy of ipilimumab and nivolumab in real-world clinical practice, and little is known regarding the correlation of treatment effect with PD-L1 status. In this report, we present two cases at our institution of patients with advanced STS of different subtypes (dedifferentiated liposarcoma and myxofibrosarcoma) that had excellent long-term responses to ipilimumab and nivolumab despite negative PD-L1 status.

**Case Report**

**Case 1**

A 67-year-old Caucasian male had resection of a right axillary dedifferentiated liposarcoma in May 2013 with positive margins followed by adjuvant radiation. In January 2016, he had biopsy-proven local recurrence without metastatic disease. He had stable disease with 8 cycles of doxorubicin and olaratumab followed by 8 cycles of maintenance olaratumab. He had disease progression and was treated with gemcitabine and docetaxel. In February 2018, due to disease progression, he was switched to pazopanib. His course was complicated by soft tissue infection related to the axillary mass and systolic heart failure attributed to anthracycline-related cardiomyopathy in the setting of increased afterload from tyrosine kinase inhibitor (left ventricular ejection fraction 21%). In April 2018, PET scan showed progression of right axillary mass and diffuse metastatic disease involving the lungs, pleura, and liver. The patient began off-label treatment with ipilimumab (1 mg/kg) and nivolumab (3 mg/kg) every 3 weeks for four cycles followed by maintenance nivolumab (3 mg/kg) every 2 weeks. His cardiac function recovered shortly after starting ipilimumab/nivolumab. The patient received concurrent cryoablation of the right axillary mass in June 2018 (Fig. 1). Subsequent restaging CT scans demonstrated a significant response in the right axillary mass, pulmonary nodules, and liver lesions (Fig. 2). After completion of four cycles of ipilimumab and nivolumab and two cycles of maintenance nivolumab, he developed autoimmune colitis and was treated with prednisone taper for 8 weeks. He developed pneumonia in the setting of immunosuppression that was complicated by bacteremia and atrial fibrillation and experienced an acute decline in cardiac function. After hospital discharge, he continued maintenance nivolumab at 6 mg/kg every 4 weeks. He remained on maintenance nivolumab, with impressive radiographic and clinical response including disappearance of his axillary mass. In December 2018, he passed away from sudden cardiac death.

**Case 2**

A 66-year-old Asian female had margin negative resection of myxofibrosarcoma of the right calf in March 2012 followed by adjuvant radiation. In May 2013, she had a margin negative resection of a recurrence in her right upper thigh. In August 2015, she had a resection of a recurrence extending to more proximal thigh and pelvis followed by radiation. In August 2016, she had resection of right upper thigh and gluteus minimus sarcoma. She started treatment with pazopanib, which was complicated by severe hypertension requiring multiple anti-hypertensives. She was started on doxorubicin and olaratumab due to disease progression in November 2016. She had initial treatment response but demonstrated disease progression in July 2017, and she was started on ipilimumab (1 mg/kg) and nivolumab (3 mg/kg) every 3 weeks for four cycles followed by maintenance nivolumab (3 mg/kg) every 2 weeks. His cardiac function recovered shortly after starting ipilimumab/nivolumab. The patient received concurrent cryoablation of the right axillary mass in June 2018 (Fig. 1). Subsequent restaging CT scans demonstrated a significant response in the right axillary mass, pulmonary nodules, and liver lesions (Fig. 2). After completion of four cycles of ipilimumab and nivolumab and two cycles of maintenance nivolumab, he developed autoimmune colitis and was treated with prednisone taper for 8 weeks. He developed pneumonia in the setting of immunosuppression that was complicated by bacteremia and atrial fibrillation and experienced an acute decline in cardiac function. After hospital discharge, he continued maintenance nivolumab at 6 mg/kg every 4 weeks. He remained on maintenance nivolumab, with impressive radiographic and clinical response including disappearance of his axillary mass. In December 2018, he passed away from sudden cardiac death.
**Fig. 1.** Response of axillary dedifferentiated liposarcoma to treatment with ipilimumab and nivolumab: 1 week before starting treatment (A), cycle 2 day 11 (B), cycle 2 day 21 (C), cycle 4 day 1 (D), and cycle 7 day 1 (E). The patient received four cycles of induction therapy about 21 days each; cycle 3 was delayed by 2 days, and cycle 4 was delayed by 1 day. After completing induction therapy, the patient received maintenance therapy about every 14 days for cycles 5 and 6, and about every 28 days starting cycle 7. The patient received concurrent cryoablation on cycle 3 day 20.

**Fig. 2.** Baseline and follow-up radiographic imaging of the patient with dedifferentiated liposarcoma treated with ipilimumab and nivolumab followed by maintenance nivolumab therapy, with concurrent cryoablation. Top: cycle 1 day 1, axillary mass measuring $8.1 \times 5.9 \times 9.8$ cm with SUV max 12.2; middle: cycle 5 day 8, largely resolved mass with SUV max 4.7; bottom: cycle 10 day 1, stable or improving posttreatment changes. A yellow arrow indicates the lesions.
4 weeks for four cycles followed by maintenance nivolumab (6 mg/kg) every 4 weeks. Her subcutaneous nodules decreased in response to treatment (Fig. 3). In December 2017, she was admitted for progressive dyspnea on exertion, orthopnea, and lower extremity edema, with workup confirming new-onset acute systolic heart failure with ejection fraction 29%. Troponin peaked at 0.15, and coronary angiogram did not reveal any obstructive coronary disease. No delayed enhancement was seen on cardiac MRI. She was evaluated by cardiology,
and given the lack of myocarditis or scar on MRI and prior significant anthracycline dose, cardiology favored anthracycline effect as etiology of her non-ischemic cardiomyopathy. She underwent diuresis and was started on carvedilol and lisinopril for optimal medical management of heart failure. Given her continued response to nivolumab, it was resumed January 2018 with the plan to continue until progression or unacceptable toxicity. Her cardiac function recovered to baseline by May 2018. As of July 2020, she has received 50 cycles of immunotherapy and remains on maintenance nivolumab with complete response.

**Discussion**

We present two cases of patients with advanced STS of different subtypes (dedifferentiated liposarcoma and myxofibrosarcoma) who had excellent long-term responses to ipilimumab and nivolumab despite negative PD-L1 status. The patient with dedifferentiated liposarcoma had an almost complete response in the axillary mass and partial response in the lungs and liver but passed away from congestive heart failure that predated his immunotherapy treatment and was attributed to anthracycline-related cardiomyopathy. The patient with myxofibrosarcoma has had a complete response for over 3 years and remains without disease on treatment. Both patients had failed multiple lines of systemic treatment and experienced long-term remission after starting ipilimumab and nivolumab. Genetic testing (suppl. Table 1; see www.karger.com/doi/10.1159/000512828) revealed no genetic mutations in common between the two cases.

The excellent response to PD-1 blockade despite negative PD-L1 status could be due to numerous mechanisms. Cryoablation has been suggested to sensitize tumors to immunotherapy [7, 8]. In one proposed mechanism, tumor antigens released after cryoablation are taken up by antigen-presenting cells, which mature and induce tumor-specific T-cell activation and proliferation [9]. PD-1 inhibition prevents these tumor-specific T cells from developing anergy. Cryoablation combined with immunotherapy has shown promise in a pilot study of early-stage breast cancer treated with preoperative percutaneous tumor cryoablation, ipilimumab, or both, followed by mastectomy [10]. Cryoimmunotherapy has also been investigated in a pilot trial in newly diagnosed oligometastatic prostate cancer with whole-prostate cryoablation combined with short-term androgen deprivation and pembrolizumab [11] based on research in prostate cancer murine models [12]. There is an ongoing phase II clinical trial investigating the combination of percutaneous cryoablation and ipilimumab/nivolumab for advanced STS (NCT04118166).

Similarly, radiotherapy may sensitize tumor to checkpoint blockade. PD-L1 status may turn positive after radiation, which has been shown in non-small cell lung cancer [13]. PD-L1 is regulated by at least two mechanisms in tumors: intrinsic immune resistance and adaptive resistance, the former in responding to oncogenic signaling, the latter in responding to cytokines like IFN-γ. It is possible that PD-L1 frequently acts as a marker of adaptive immune resistance in response to engaged CD3+ and CD8+ tumor-infiltrating lymphocytes, and represents a negative feedback mechanism rather than a constitutive biomarker [14]. While high PD-L1 expression is associated with greater benefit from immunotherapy, patients with low PD-L1 expression at time of testing have better survival than with standard of care [15, 16].

B cells have also been gaining attention in clinical tumor immunology as a biomarker for response to immunotherapy. Previously, B cells were largely thought to inhibit the inflammatory anti-tumor response as regulatory B cells. Studies in melanoma show tumor-associated B cells also sustain the inflammatory tumor microenvironment through plasmablast-like cells. These plasmablast-like cells can in turn increase PD-1+ T cell activation through
anti-PD-1 blockade, and their frequency predicts response and survival to immune checkpoint blockade [17, 18]. In STS, enrichment of B cells was a hallmark of response to checkpoint blockade [19].

Conclusion

In conclusion, immune checkpoint blockade has shown promising efficacy in multiple malignancies [1–5]; however, limited data exist regarding the efficacy and tolerability in STS. Our experience suggests that ipilimumab and nivolumab can provide long-term disease control for patients with advanced STS in real-world clinical practice, irrespective of PD-L1 status.

Statement of Ethics

Written informed consent was obtained from the deceased patient's next of kin (case 1) and from the patient directly (case 2) for publication of this case report and any accompanying images.

Conflict of Interest Statement

The authors have no conflicts of interest to disclose.

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Author Contributions

M.Z. drafted the manuscript, M.Z., N.B., G.H., and K.G. revised the manuscript; all authors were substantially involved in the conception and design of the case report, as well as in the acquisition, analysis, and interpretation of the data; all authors approved the final version.

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Zhou et al.: Remission with Ipilimumab/Nivolumab in Two Patients without PD-L1 Expression

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