ABSTRACT

Introduction: Radiofrequency ablation (RFA) is an interventional procedure that provides pain relief by using thermal energy to disrupt peripheral nerves carrying nociceptive signals back to the central nervous system. In the past, having implantable hardware at the planned site of RFA was considered to confer increased risk of adverse outcomes given the theoretical risk of heating of the hardware components. The present study examines patient outcomes to determine whether the efficacy of RFA was affected by the presence of implanted hardware directly at the site.

Methods: This was a retrospective case–control study that included 52 patients who received RFA procedures in the presence of hardware at the site of RFA and a control group of 170 patients who received RFA procedures in the absence of hardware. Data were collected from electronic medical records entered into an Excel sheet and then analyzed using SPSS version 22. Outcomes tested included post procedure pain scores, percent, and duration of improvement, if any.

Results: We found no statistically significant difference in measured outcomes between either group.

Conclusions: This study provides some evidence in support of the theory that RFA procedures performed on patients with pre-existing hardware have similar efficacy when compared to their hardware-free counterparts.

Keywords: Chronic pain; Hardware; Pain; Radiofrequency ablation
Key Summary Points

This study examines the efficacy of radiofrequency ablation, a commonly utilized pain management procedure, in the population of patients with implanted hardware such as joint replacements.

This study provides further guidance for treatment of pain that was not relieved by the use of implantable hardware.

Our hypothesis was that implantable hardware would have no effect on the efficacy of the radiofrequency ablation procedure.

We saw no difference in pain reduction or in relief duration between patients with and patients without implantable hardware near the site of radiofrequency ablation.

Through this study, we have demonstrated that radiofrequency ablation is as effective in patients who have been previously treated with implantable hardware as it is in those without such hardware.

Further study is needed with a larger number of patients, but this study serves as a starting point for further research into this procedure to provide pain relief to a patient population that is lacking safe and effective options.

INTRODUCTION

Chronic pain is an insidious and complex disease with considerable personal and economic impacts [1]. Although it is typically considered a presentation secondary to an ongoing disease, such as cancer, or the result of a work- or liferelated trauma [2], it is also a disease state in and of itself with its own pathology, genetic factors, and medical definition [3, 4].

“The Global Burden of Disease Study 2016 reaffirmed that the high prominence of pain and pain-related diseases is the leading cause of disability and disease burden globally [5].”

“Measuring years lived with disability; low back and neck pain have consistently been the leading causes of disability internationally, with other chronic pain conditions featuring prominently in the top 10 causes of disability [5].”

Back pain is one of the most frequent reasons for visiting a physician in the United States and is the most common type of pain reported by Americans. “More than $100 billion [6] is spent annually in the United States on low back pain, which is the leading cause of work-related disability and economic burden in the United States [7, 8].” Simply put, chronic pain has a significant effect on the economy and on the quality of life of those afflicted with it.

One of the most common causes of pain is facet joint degeneration [9–13]. “Radiofrequency neurotomy/ablation (RFN/RFA) of the medial branch nerves, the nerves which supply the facet joints, is a commonly used procedure and is one of the only proven efficacious interventional modalities for treating facet joint pain [14–16].” In a systematic review of randomized controlled trials (RCT) of RFA for chronic low back pain evidence was found to support RFA as an efficacious therapy for lumbar facet joint pain in five of six RCT analyzed [17]. In another meta-analysis looking at RFA in patients with chronic pain originating from the facet joints, RFA was found to be more efficacious than corticosteroid injections and found to result in better pain improvement for an entire year [18]. Specifically, ”RFA is a procedure that may offer pain relief for patients without a known pathology [17].”

DIGITAL FEATURES

This article is published with digital features, including [list digital features available e.g., a summary slide and video abstract], to facilitate understanding of the article. To view digital features for this article go to https://doi.org/10.6084/m9.figshare.12988268.
RFA is often a treatment modality that is considered after surgical procedures that involved the placement of metallic hardware [19]. This is because patients who have undergone surgery often suffer from further joint degeneration secondary to the surgery itself [20], which leads to additional, subsequent pain that is best treated with RFA [19]. Unfortunately, “there is a theoretical risk involved with treating patients with existing spinal hardware with RFA, as the needle tip used for the procedure ends up in close proximity to this metal hardware [21].” The ultimate concern is that the metal hardware will serve as a heat sink, increasing the risk of thermal energy damage in the tissues surrounding it as well as drawing heat energy away from the intended site and thus reducing overall efficacy [21].

These risks remain theoretical due to a paucity of high-quality studies that directly explore the possibility that heat transfer does occur and that this occurrence, if it exists, has any impact on the safety and efficacy of the procedure. At this time, the limited research that has been done has focused on the transfer of heat versus the efficacy of the procedure in these types of patients. Gazelka et al. [22], in a cadaver study, found that regardless if the RFA cannula was placed on or near the pedicle hardware, there was a significant increase in temperature of the hardware and that these “results suggested that pedicle screws could serve as a possible source of tissue heating and thermal injury during RFA [22]”; therefore, further precautions should be taken when performing this procedure on patients who had existing hardware at the site of the RFA procedure [22]. Lamer et al. [19] looked directly at patients who had existing spinal hardware. The results of their study aligned with those found by Gazelka et al. That is, temperature increases were seen in 60% of pedicle screws [19] with warnings that “a practitioner should weigh the risks and benefits of lesioning the medial branch nerve at a level adjacent to a pedicle screw to minimize the risk of heat energy transfer to the hardware and the surrounding tissue [19].” Klessinger [23] was one of the few to look at safety and efficacy of lumbar RFN in the presence of pedicle screws via a retrospective practice audit, which ultimately included 38 patients. Although they found strong evidence that the pedicle screws did ‘sustain significantly increased temperatures,’ no effect from heated metal devices was detected [22]. Even more, “patients reported no adverse effects or worsening pain. Therefore, despite the potential risk, RFA in the presence of pedicle screws appears to be a safe procedure [23].”

The purpose of our study was to specifically explore the efficacy of patients receiving RFA who also have existing hardware at the same site. We started at a place of accepting and anticipating that the hardware would be subjected to temperature increases, as this has now been validated by a minimum of three studies [19, 22, 23]. What we sought to elucidate was if this temperature increase had, in turn, any negative consequences on the outcomes of the procedure from an efficacy standpoint as there is continued theoretical concern that the dissipation of heat into the hardware can lead to a smaller lesion, which can reduce efficacy.

METHODS

This was a retrospective practice audit of a review of the charts of all RFA procedures done between January 2015 and January 2018. Ethics compliance was achieved by applying for exemption from the University of Wisconsin IRB board. The IRB board determined that the use of patient data met the criteria for federal exemption. This research was completed in compliance with the Helsinki Declaration of 1964. The total number of patients included in this review was 222. One hundred and seventy of these RFA procedures did not have pre-existing hardware while 52 of these RFA procedures did have existing hardware at the direct site of the RFA. Of those without hardware, 51 were geniculate nerve RFA, two were femoral nerve RFA, 91 were lumbar medial branch RFA, 15 were lumbosacral medial branch RFA, two were sacral lateral branch RFA, and nine were cervical medial branch RFA. Of those with hardware, 16 were geniculate nerve RFA, 32 were lumbar medial branch RFA, three were lumbosacral medial branch RFA, and one was
cervical medial branch RFA. RFA procedures in both groups were completed utilizing fluoroscopic guidance. All procedures were completed using cooled RFA at 60°C. The duration of ablation ranged from 150 to 180 s based on physician preference. Data collected included demographics, pre-procedure pain scores, post-procedure pain scores, percent improvement after procedure, and duration of improvement. Duration of improvement was defined as the time period in which the patient maintained 50% of total benefit from the procedure.

Data were collected in an Excel sheet and then were analyzed using SPSS 22. Data were presented as median and percentiles for numeric data and numbers for categorical data. Fisher’s exact test was used for comparing categorical data between both groups; Wilcoxon signed-rank test was used for comparing pre- and post-procedure pain scores. A p value of 0.05 or less was considered statistically significant.

### RESULTS

This study included 222 patients who received RFA procedures. Fifty-two patients received RFA procedures with the presence of hardware at the site of RFA and 170 patients received RFA procedures with the absence of hardware at the site of RFA.

Of the patients with existing hardware, there was a relatively even split between male and female patients (27 vs. 25, respectively). This was less so in the hardware-absent group, where 63.5% (108) of the patients were women (Table 1). Over 95% of all study patients were Caucasian, likely a reflection of the region in which the study took place (Wisconsin, USA) more than anything else (Table 1).

Perhaps most notably, there was a significant difference (p value 0.00) between the ages of these two groups. The median age of the hardware-present group was 64 years while the median age of the hardware-absent group was 54 years (Table 1).

### Table 1 Patients’ demographics

|                  | Sex Male | Female | Race Caucasian | African American | Other | Age Median (25th–75th percentile) | p value |
|------------------|----------|--------|----------------|------------------|-------|-----------------------------------|---------|
| Hardware present | 27       | 25     | 51             | 0                | 1     | 64 (55–74)                        | 0.00*   |
| N = 52           | 51.9%    | 48.1%  | 98.1%          | 0.0%             | 1.9%  |                                   | –       |
| Hardware absent  | 62       | 108    | 166            | 3                | 1     | 54 (44–64)                        | –       |
| N = 170          | 36.5%    | 63.5%  | 97.6%          | 1.8%             | 0.6%  |                                   | –       |

### Site of radiofrequency ablation

|                  | Geniculate nerve | Femoral nerve | Lumbar medial branch | Lumbosacral medial branch | Sacral lateral branch | Cervical medial branch |
|------------------|------------------|---------------|----------------------|--------------------------|----------------------|------------------------|
| Hardware present | 16               | 0             | 32                   | 3                        | 0                    | 1                      |
| N = 52           | 30.8%            | 0%            | 61.5%                | 5.8%                     | 0%                   | 1.9%                   |
| Hardware absent  | 51               | 2             | 91                   | 15                       | 2                    | 9                      |
| N = 170          | 30.0%            | 1.2%          | 53.5%                | 8.8%                     | 1.2%                 | 5.3%                   |

* Significant difference in age between both groups

△ Adis
There was no significant difference in patients with or without hardware in response to their RFA procedures (Table 2). Pain reduction was seen in both groups with a median change of 3.0 (p value 0.749) based on a 0–10 scale. Percent pain reduction averaged around 50% (p value 0.836) with an average duration of relief being roughly 90 days (p value 0.559). Most significant for our purposes is the simple fact that the RFA procedure produced similar results and relief irrespective of if the patient had existing hardware or not.

DISCUSSION

The global burden of pain-related disease is significant. The burden of chronic pain often outstrips that experienced by diabetes or asthma [24]. Furthermore, “studies consistently demonstrate that every measured dimension of health is worse when chronic pain is present than when it is not, and, in addition to this physical and emotional burden [24], the financial cost to society is huge: over €200 billion per annum in Europe, and $635 billion per annum in the USA in 2008 [25].”

RFA is a commonly used technique to address multiple types of chronic pain. It is also one of the few interventional treatments that have proven efficacious over time to treat chronic pain, and studies have shown that a significantly greater improvement in pain symptoms, global perception of improvement, and quality of life were observed after 6 months in patients who received the treatment [26].

Despite the effectiveness of RFA as a therapy, there remains a paucity of literature studying the efficacy and safety of RFA procedures on patients with existing hardware; patients who, despite surgery, often still experience chronic and refractory pain. To date, there are two studies that have exclusively looked at the safety of performing RFA on patients with existing hardware [19, 22]. Both of these studies did demonstrate that heat transfer occurred to pedicle screws but that this transfer did not, itself, present any contraindication for an RFA procedure. Rather, caution and logical risk mitigation was advised.

Klessinger [23] was the first doctor to perform a retrospective practice audit on the efficacy and safety of performing RFA on individuals with existing spinal hardware. His research bolstered the belief that although heating of existing metal hardware did occur, no effect, adverse or otherwise, was observed. There was no worsening of pain felt in the patients, no other adverse events, and no significant impact on efficacy. In the end, this study stated that “despite the potential risk, RFN in the presence of pedicle screws appears to be a safe procedure [23].”

Our study informs these existing works. Working under the assumption that existing metallic devices would experience temperature increases/heat dissipation, we focused on if the efficacy of the RFA procedure would be affected in any clinically significant way. Our results showed no statistically significant difference in the outcomes of patients with or without pre-existing hardware. The amount of pain reduction as well as the duration of reduction was statistically similar in both groups. These outcomes indicate that RFA procedures performed in the growing population of patients with

| Hardware present | Hardware absent | p value |
|------------------|----------------|---------|
| Median (25th–75th percentile) | Median (25th–75th percentile) |         |
| Pre-procedure pain score | 7 (6–8) | 7 (5–8) | 0.612 |
| Post-procedure pain score | 3 (1–5) | 3 (1–5) | 0.749 |
| Percent pain reduction | 50 (17–83) | 53 (20–78) | 0.836 |
| Duration of pain reduction (days) | 92 (2–168) | 90 (3–197) | 0.559 |

Table 2: Comparison of outcome measures between both groups
existing hardware are not associated with decreased efficacy as measured by pain reduction and pain relief duration.

The studies performed thus far lend credence to both the efficacy and safety of performing RFA procedures on patients with existing hardware. There is a clear need for further studies to be performed, as this population will only continue to grow.

As we have discussed, our research has provided further evidence to formally validate the perspective that providing RFA therapy to patients with existing hardware is not only safe but also effective. Although there is evidence to suggest that the stainless-steel and titanium screws commonly used in spinal surgery are capable of sustaining significant increases in temperature during RFA in the clinical setting [19, 22] no effect, negative or otherwise, was detected by practitioners or patients as a result of this reality.

Limitations

This was a retrospective study, and retrospective studies do not accommodate for all confounders. Additionally, the low number of patients in the hardware group affects the generalizability of this work.

CONCLUSIONS

Our study showed no difference in efficacy when RFA was performed in patients with hardware at site of procedure as compared to patients with no hardware.

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Compliance with Ethic Guidelines. Ethics compliance was achieved by applying for exemption from the University of Wisconsin IRB board. The IRB board determined that the use of patient data met the criteria for federal exemption criteria. This research was completed in compliance with the Helsinki Declaration of 1964.

Data Availability. The datasets generated during and/or analyzed during the current study are not publicly available because the contents contain private health information.

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