The impact of high co-expression of Sp1 and HIF1α on prognosis of patients with hepatocellular cancer

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Abstract. Transcription factor specificity protein 1 (Sp1) and hypoxia-inducible factor 1α (HIF1α) serve vital roles in tumor growth and metastasis. The present study aimed to evaluate the impact of co-expression of Sp1 and HIF1α on the prognosis of patients with hepatocellular cancer (HCC) using The Cancer Genome Atlas (TCGA) database and to validate the association between the expression levels of Sp1/HIF1α in HCC specimens and patient survival using immunohistochemical analysis. A total of 214 eligible patients with HCC from TCGA database were collected for the study. The expression profile of Sp1 and HIF1α were obtained from the TCGA RNAseq database. Clinicopathological characteristics, including age, height, weight, gender, race, ethnicity, family cancer history, serum α-fetoprotein (AFP), surgical procedures and TNM stage were collected. The Cox proportional hazards regression model and Kaplan-Meier curves were used to assess the relative factors. Receiver operating characteristic (ROC) curves for cancer-specific survival (CSS) prediction were plotted to compare the prediction ability of expression of Sp1 and HIF1α and their co-expression. The location and expression of Sp1 and HIF1α in the HCC tissues were detected by immunohistochemistry (IHC) to verify the association between these two genes and CSS. The results demonstrated that the expressions of Sp1 and HIF1α were significantly increased in the succumbed group (P=0.001), compared with the surviving group. The CSS rates were 60.1% at 3 years (1,067 days), 35.8% at 5 years (1,823 days) and 9.5% at 10 years (3,528 days). Multivariate Cox regression analysis demonstrated that only the high expression levels of Sp1 and HIF1α (≥2x10³) were independent predictors for cancer mortality, with P=0.001 and P=0.029, respectively. The area under the curve for the ROC was found to be higher using the combination testing for two genes (0.751) in predicting cancer mortality, compared to a single gene (0.632 for Sp1 and 0.717 for HIF1α). Based on the cutoff points for gene expression, patients were divided into 3 groups: G1 (both genes <2x10³), G2 (either gene ≥2x10³) and G3 (both genes ≥2x10³). The risk of cancer mortality increased with high expression of genes, and G3 exhibited a greater risk than G2 when compared with the G1 group (HR=5.420, 95% CI 2.767-10.616, P=0.001; HR=3.270, 95% CI 1.843-5.803, P=0.001). The IHC staining results indicated that patients who died of cancer presented with significantly higher expression levels of these genes compared with those that did not (P=0.001). In summary, high expression levels of Sp1 and HIF1α in HCC tissues were associated with poor prognosis; in particular, the co-expression of these two genes increased the risk of cancer mortality.

Introduction

Hepatocellular cancer (HCC) is one of the most common malignant tumors with an annually increasing incidence and mortality rate in China (1). Approximately 394,770 estimated
Liver cancer cases were newly diagnosed in 2012, and the number continues to increase annually (1). Patients diagnosed with HCC typically present with tumors that are more aggressive and advanced, and they also present with more frequent distant metastases. Although surgical resection and liver transplantation provide a certain chance to cure it, the prognosis of HCC remains unfavorable due to the high risk of recurrence and distant metastasis postoperatively (2). Therefore, it is important to find convincing molecular markers that correlate with poor prognosis to tailor the treatment for high-risk patients.

Transcription factor specificity protein 1 (Sp1) is a sequence specific DNA binding protein, which has been reported to be abnormally expressed and activated in tumor tissues in a previous study (3). Sp1 is involved in the regulation of tumor cell proliferation, invasion, angiogenesis, and other biological functions (3,4). Recently, Sp1 has been proposed as an indicator for poor prognosis in patients with gastric, pancreatic, breast and thyroid tumor cells in certain studies (5-7). Meanwhile, hypoxia-inducible factor 1 (HIF1), composed of one α and one β subunit, has been suggested to be an important molecule in cell response to hypoxia involving in the regulation of angiogenesis, cell adhesion, energy metabolism, and apoptosis (8). HIF1-inducing angiogenesis serves an important role in tumor growth; HIF1 expression is elevated in HCC tissues and highly associated with poor prognosis (9). However, the combined expression of Sp1 and HIF1 in HCC and its impact on prognosis have not previously been reported in HCC.

The present study aimed to evaluate whether the expression levels of Sp1 and HIF1 are correlated with each other and other clinicopathological factors using The Cancer Genome Atlas (TCGA) database, and to investigate the prognostic impact of combination testing in HCC.

Materials and methods

Patients and methods. The data files were used to analyze Sp1 and HIF1 expression, and the level 3 clinical data of 351 HCC patients were initially downloaded from the TCGA (http://cancergenome.nih.gov/) data portal website, by using the data matrix link to access RNASeq data for liver HCC dataset and by using the UNC (IlluminaHiSeq_RNAseqV2) data platform. The reads per kilobase per million mapped reads value was used to represent the expression level of each gene. The gene expression data above for each individual TCGA sample with hepatic cancer during 1996-2013 were organized into an Excel file [system object model (SOM) file, labeled SOM Butler complete gene expression set]. Samples were excluded for any of the following reasons: If they possessed in situ or incomplete tumor-node-metastasis (TNM) staging, no evaluation on lymph nodes or differentiation grade or histological type pathologically, died within 10 days following surgery, or had multiple primary malignant neoplasms as determined by Extent of Disease Codes (10). Clinicopathological parameters, including age, height, weight, sex, race, ethnicity, relative family cancer history, serum α-fetoprotein (AFP), surgical procedures, and TNM stage were also assessed. These data were then used to determine the correlations between HIF1α, Sp1 RNA levels and prognosis, as indicated in the results. The guidance of the 2010 TNM classification of American Joint Committee on Cancer (AJCC)/International Union Against Cancer (11,12) was followed for postoperative evaluation of staging.

The paraffin-embedded HCC specimens of 50 patients (25 succumbed to hepatic cancer and 25 survived in the follow-up period) who underwent a surgical procedure were obtained from Fudan University Shanghai Cancer Center in Shanghai, China. All cases were histologically confirmed. Patients were regularly followed up every 3-6 months. Events, such as tumor recurrence, progression, metastasis, and mortality, were recorded. The study was approved by Ethics Committee of Fudan University Shanghai Cancer Center, and written informed consent was obtained from all patients.

Immunohistochemical staining (IHC). IHC was performed according to a standard protocol. Briefly, paraffin-embedded samples were cut into 4-μm sections and placed on polylysine-coated slides. Paraffin sections were baked overnight at 58˚C, deparaffinized in xylene, rehydrated through graded ethanol, quenched for endogenous peroxidase activity in 0.3% hydrogen peroxide (diluted in methanol; #216763, Sigma-Aldrich, St. Louis, MO, USA) at 37˚C for 15 min, and processed for antigen retrieval by high pressure cooking in citrate antigen retrieval solution (pH 6.0; #MVS-0066, MXB, Fuzhou, Fujian, China) for ~10 min. Sections were incubated at 37˚C for 1.5 h with rabbit polyclonal antibodies against Sp1 (1:200, ab114977, Abcam, Cambridge, MA, USA) in a moist chamber. Immunostaining was performed using the GtVisetm™ III Detection System/Mo & Rb kit (Gene Tech, Shanghai, China), which resulted in a brown-colored precipitate at the antigen site. Subsequently, sections were counterstained with hematoxylin (Sigma-Aldrich) and mounted in a non-aqueous mounting medium. All repetitions included a no primary antibody control. The immunohistochemically stained tissue sections were scored separately by two pathologists blinded to the clinical parameters. The staining intensity was scored as 0 (negative), 1 (weak, +), 2 (medium, ++) or 3 (strong, +++) or 3. The extent of staining was scored as (0, <5%; 1, 5-25%; 2, 26-50%; 3, 51-75%; and 4, >75%) according to the percentages of the positive staining areas in relation to the whole carcinoma area. Scores for staining intensity and percentage positivity of cells were then multiplied to generate the immunoreactivity score for each case. Tumors with a final immunoreactivity score of >4 were considered to be low (-), and those with a score of ≥4 were considered to be high [4, +; 6, ++; or ≥8, +++].

Statistical analysis. R 3.1.2 software (Institute for Statistics and Mathematics, Vienna, Austria) was used to organize and process the data downloaded from the TCGA to analyze the correlation between specific gene expression and prognosis. Statistical analyses were performed using SPSS 13.0 (SPSS Inc., Chicago, IL, USA). Student's t-test, χ² test, or Mann-Whitney test, were performed as appropriate. Patients who were alive and did not relapse were censored at the date of their last follow-up visit. Cancer-specific survival (CSS) was defined as the time between the date of initial surgery and the cancer-specific mortality. Survival rates were estimated by the Kaplan-Meier method (13). The hazard ratio (HR) for relationships between each variable and recurrence were calculated.
using binary Cox regression model (14). Receiver operating characteristic (ROC) curves for CSS prediction were plotted to verify the prediction ability of co-expression of Sp1 and HIF1α and each single gene. The correlations between Sp1 and HIF1α expression (scores derived from staining intensity by IHC in HCC specimens) and vital status in HCC patients (succumbed/survived) were analyzed by χ² test. A P<0.05 was considered to indicate a statistically significant difference. All confidence intervals (CIs) were stated at the 95% confidence level.

Results

Patient characteristics. A total of 214 eligible patients with hepatic cancer were identified in the TCGA database during the 17-year study period. There were 146 (68.2%) males and 68 (31.8%) females. The median age was 64 (range, 20-88) years, and the median follow-up period was 414 (range, 10-3,258) days. Patient demographics and clinicopathological features are summarized in Table I.

Clinicopathological differences between the two groups according to vital status. When compared with surviving patients, those patients who succumbed to cancer were more likely to have a relative family cancer history (P=0.011), to have received a partial incision surgical procedure (P=0.025), to have an advanced G3/G4 tumor grade (P=0.011), and to have an AJCC stage of advanced stage III/IV (P=0.042). The expression levels of HIF1α and Sp1 were significantly higher in patients who succumbed to cancer (P=0.001). However, with regards to other clinicopathological features, no significant differences between the two groups were found (Table I).

Impact of gene expression on survival outcomes in hepatic cancer. The CSS rates were 60.1% at 3 years (1,067 days), 35.8% at 5 years (1,823 days) and 9.5% at 10 years (3,528 days). Given the significant influences of HIF1α and Sp1 expression levels (as continuous variables) on survival in univariate analysis, the present study attempted to differentiate the patients with a high-risk for cancer mortality by investigating the certain cutoff points of expressions for both of the two genes. The X-tile program (http://www.tissuearray.org/rimmlab/) was applied for the analysis, by identifying the cutoff with the minimum P-values from log-rank χ² statistics for the categorical value of gene expression in terms of survival (15). X-tile plots were constructed and the maximum of χ² log-rank values was produced applying both of 2 (x10³) as cutoff values to divide the cohort into high and low subsets in terms of CSS according to the expressions of Sp1 and HIF1α (Fig. 1A and B). When the gene expression levels (as binary variables), associated with those independent variables identified by univariate analysis, were included in multivariate Cox regression analysis, only high expression levels of Sp1 and HIF1α (≥2x10³) were independent predictors for cancer mortality (Table II).

Impact of combination testing for Sp1 and HIF1 expressions on survival outcomes. When cancer mortality was analyzed as a binary variable, an intermediate AUC for the ROC curve

Figure 1. X-tile analysis of survival data for (A) HIF1α and (B) Sp1 expression levels from The Cancer Genome Atlas registry. X-tile analysis was performed on patient data from the Surveillance, Epidemiology, and End Results Program registry, which was equally divided into training and validation sets. X-tile plots of training sets are presented in the left panels, with plots of matched validation sets shown in the smaller inset. The construction of X-tile plots is more fully described in previous articles (13). The optimal cutoff point highlighted by the black circle in the left panels is shown on a histogram of the entire cohort (middle panels), and a Kaplan-Meier plot (right panels). P-values were determined by using the cutoff point defined in the training set and applying it to the validation set. Figures shows HIF1α and Sp1 divided at the optimal cutoff point (2, χ²=116.376, P<0.001). HIF1α, hypoxia-inducible factor 1α; Sp1, transcription factor specificity protein 1.
| Variables                                | Alive (n=141) | Cancer mortality (n=73) | P-value |
|------------------------------------------|---------------|-------------------------|---------|
| Total number of patients (n=214)         |               |                         |         |
| Male patients                            | 104 (71.2)    | 42 (58.5)               | NS      |
| Age at diagnosis (years)                 | 61.0±12.0     | 61.0±13.0               | NS      |
| Height (cm)                              | 168.5±9.1     | 166.9±15.8              |         |
| Weight (kg)                              | 87.9±111.0    | 88.2±109.8              |         |
| Race                                      |               |                         | NS      |
| White                                    | 69 (48.9)     | 44 (60.3)               |         |
| Black or African American                | 8 (5.7)       | 5 (6.8)                 |         |
| Asian                                    | 59 (41.8)     | 19 (26.0)               |         |
| Other                                    | 5 (3.5)       | 5 (6.8)                 |         |
| Ethnicity                                |               |                         | NS      |
| White                                    | 69 (48.9)     | 44 (60.3)               |         |
| Hispanic or Latino                       | 4 (2.8)       | 4 (5.5)                 |         |
| Other                                    | 137 (97.2)    | 69 (94.5)               |         |
| Relative family cancer history           | 41 (29.1)     | 34 (46.6)               | 0.011   |
| History of risk factors                  |               |                         | NS      |
| Hepatitis B/C                            | 58 (41.1)     | 26 (35.6)               |         |
| Alcohol consumption                      | 17 (12.1)     | 10 (13.7)               |         |
| Both                                     | 17 (12.1)     | 5 (6.8)                 |         |
| None                                     | 49 (34.8)     | 32 (43.8)               |         |
| $\alpha$-fetoprotein value               |               |                         | NS      |
| $\leq$400 ng/ml                          | 96 (68.1)     | 42 (57.5)               |         |
| >400 ng/ml                               | 22 (15.6)     | 19 (26.0)               |         |
| Unknown                                  | 23 (16.3)     | 12 (16.4)               |         |
| Surgery                                  |               |                         | 0.025   |
| Partial excisiona                        | 90 (63.8)     | 36 (49.3)               |         |
| Extended excisionb                       | 38 (27.0)     | 33 (45.2)               |         |
| Other                                    | 13 (9.2)      | 4 (5.5)                 |         |
| Histology diagnosis                      |               |                         | NS      |
| Hepatocellular carcinoma                 | 137 (97.2)    | 71 (97.3)               |         |
| Hepatocellular cholangiocarcinoma         | 2 (1.4)       | 2 (2.7)                 |         |
| Fibrolamellar carcinoma                  | 2 (1.4)       | 0 (0.0)                 |         |
| Tumor grade                              |               |                         | 0.011   |
| G1/2                                     | 91 (64.5)     | 34 (46.6)               |         |
| G3/4                                     | 50 (35.5)     | 39 (53.4)               |         |
| Vascular tumor invasion                  |               |                         | NS      |
| None                                     | 100 (70.9)    | 47 (64.4)               |         |
| Micro invasion                           | 32 (22.7)     | 16 (21.9)               |         |
| Macro invasion                           | 3 (2.1)       | 7 (9.6)                 |         |
| Unknown                                  | 6 (4.3)       | 3 (4.1)                 |         |
| Residual tumor                           |               |                         | NS      |
| R0                                       | 129 (91.5)    | 65 (89.0)               |         |
| R1                                       | 6 (4.3)       | 2 (2.7)                 |         |
| R2                                       | 0 (0.0)       | 1 (1.4)                 |         |
| Rx                                       | 6 (4.3)       | 5 (6.8)                 |         |
| Child classification                     |               |                         | NS      |
| Grade 1                                  | 109 (77.3)    | 50 (68.5)               |         |
| Grade 2                                  | 10 (7.1)      | 7 (9.6)                 |         |
| Unknown                                  | 22 (15.6)     | 16 (21.9)               |         |
was found to be higher using the combination testing for two genes (0.751) in predicting cancer mortality, compared to either single gene (0.632 for Sp1 and 0.717 for HIF1α) (Fig. 2). This indicated that combination testing may be an appropriate and effective approach in the prediction of poor prognosis for hepatic cancer patients. Based on the cutoff points for gene expressions, the samples were divided into 3 groups: G1 (both genes, <2x10^3), G2 (either gene, ≥2x10^3), and G3 (both genes, ≥2x10^3). The risk of cancer mortality increased with high expression of the two genes, and G3 exhibited a greater risk than G2 when compared to the G1 group (HR=5.420, 95% CI 2.767-10.616, P=0.001; HR=3.270, 95% CI 1.843-5.803, P=0.001) (Table III, Fig. 3).

Verification by IHC staining. The expression and subcellular localization of Sp1 and HIF1α in 50 HCC tissues were then determined by IHC. As shown in Fig. 4, Sp1 was expressed predominantly in the nuclei of HCC cells of the tumor regions,
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The proportions of Sp1 and HIF1α expression levels according to each score in 25 patients who survived and the remaining 25 patients who succumbed to cancer are shown in Table IV. As a result, patients who succumbed to HCC presented significantly higher score proportions than the surviving patients for the two genes according to IHC. A total of 15 samples from patients who succumbed to cancer were evaluated as (+++) for Sp1 and HIF1α (60.0%), while none of the patients who survived exhibited such high scores for either gene. This phenomenon emphasized the adverse influence of Sp1 or HIF1α on prognosis in HCC patients.

**Discussion**

Using a series of samples from TCGA database, the expression of Sp1 and HIF1 in HCC cancer tissues were analyzed, and it was demonstrated that patients with high expression of either gene had a poorer prognosis, especially in the patients with co-expression.

It is generally accepted that tumor recurrence and metastasis are exclusively associated with tumor angiogenesis following initial therapy (16). Tumor angiogenesis may provide nutrients for the invasion of tumor cells; it also promotes cancer cell transfer to other parts of the body through the blood. Therefore, a biomarker that is associated with angiogenesis in tumor cells may be more objective in predicting the risk of recurrence and metastasis in cancer patients following combined treatment, which may allow timely intervention and action.

In previous studies, the expression levels of Sp1 and HIF1 were found to be crucial in the regulation and formation of blood vessels (17-20). Jia et al (21) reported that Spl serves an important regulatory role in human pancreatic tumor angiogenesis, which was subsequently used as an effective target for anti-angiogenic therapy. Similarly, Seznec et al (22) found that mithramycin competitively inhibits Spl binding and interferes with its transcription in glioma angiogenesis. Meanwhile, HIF1 is a transcription factor widespread in mammals under hypoxic conditions; it mediates cellular adaptation to
hypoxic microenvironments and regulates the survival of cells in hypoxic conditions via multiple signaling pathways. A number of types of solid tumor have hypoxic microenvironments, which can induce the release of a variety of cytokines promoting angiogenesis, thereby promoting tumor growth and metastasis (23-26). Overexpressed HIF1 protein is present in a variety of malignant tumors, which is closely associated with poor prognosis (27-29). HIF1 also regulates a variety of angiogenesis-associated target genes such as vascular endothelial growth factor (VEGF) expression (30). In the present study, the co-expression of Sp1 and HIF1 were evaluated for predicting prognosis in HCC. According to the findings of the present study, the cutoff value that differentiated high expression of Sp1 and HIF1α was 2,000; compared with low expression in HCC subjects (<2,000), the patients who expressed these genes at a high level had statistically worse prognosis. These results indicate that patients with high expression of the two genes had a higher risk of mortality compared with patients who expressed one gene at a high level or expressed both genes at a low level. The co-expression of these two genes may enhance tumor angiogenesis, therefore accelerating the invasion and metastasis of tumor cells, resulting in poor prognosis. A number of studies have indicated that patients with combined high expression of Sp1 and HIF1α had a higher risk of mortality compared with those who expressed one gene at a high level or expressed both genes at a low level. Therefore, testing for the combination of two genes may better predict the prognosis of patients with HCC.

Table IV. The association between Sp1 and HIF1α according to immunohistochemistry and survival in 50 patients with hepatic cancer.

| Tumor tissue | N   | %   | N   | %   | Tumor tissue | N   | %   | N   | %   |
|--------------|-----|-----|-----|-----|--------------|-----|-----|-----|-----|
| Sp1          |     |     |     |     | HIF1α        |     |     |     |     |
| -            | 4   | 16.0| 1   | 4.0 | -            | 6   | 24.0| 1   | 4.0 |
| +            | 15  | 60.0| 1   | 4.0 | +            | 16  | 64.0| 2   | 8.0 |
| ++           | 3   | 12.0| 5   | 20.0| ++           | 2   | 8.0 | 4   | 16.0|
| +++          | 3   | 12.0| 18  | 72.0| +++          | 1   | 4.0 | 18  | 72.0|

The staining intensity was scored as 0 (negative, -), 1 (weak, +), 2 (medium, ++) or 3 (strong, +++). The correlations between Sp1 expression and vital status in patients (dead/alive) was analyzed by χ² test, P=0.001; The correlations between HIF1α expression and vital status in patients (dead/alive) was analyzed by χ² test, P=0.001. HIF1α, hypoxia-inducible factor 1α; Sp1, transcription factor specificity protein 1.

Figure 4. Expression of Sp1 and HIF1α in situ tumors of HCC patients. Sp1 was expressed predominantly in the nuclei of HCC cells of the tumor regions, and HIF1α was expressed in the nuclei and plasma. The expression levels of Sp1 and HIF1α were markedly higher in (A) patients who had succumbed to disease compared with (B) surviving patients. HIF1α, hypoxia-inducible factor 1α; Sp1, transcription factor specificity protein 1.
as deletion of tumor suppressor genes phosphatase and tension homolog gene (PTEN) on chromosome 10, a gene involved in tumor cell growth, adhesion, metastasis, invasion, apoptosis and other processes (31). Genetic predisposition may be important in the process of HCC, and family cancer history may exert a higher susceptibility to liver cancer. In addition, high tumor grade and advanced staging results in severe vascular and lymphatic invasion in patients, and less-extended resection as initial therapy resulted in a high risk of residual tumor. All of the above factors likely promoted intrahepatic metastasis via the portal vein system and affected prognosis adversely. However, in multivariate analysis, the high co-expression of Sp1 and HIF1α conveyed more risk than any other clinicopathological factor that was significant for poor prognosis in univariate analysis. Notably, previous studies aimed at investigating the association between genes and clinicopathological features in HCC showed that Sp1 and HIF1α were not closely associated with other factors such as age, sex, cirrhosis of the liver, serum AFP and tumor size at the time of diagnosis (32,33). Considering the role of these two genes in the progression of angiogenesis, these results suggested that in HCC patients with high expression of genes at diagnosis, the adverse effect may also promote the recurrence and metastasis after initial therapy, worsening the prognosis. Unfortunately, the insufficient data on relapse in HCC patients from TCGA database limited the analysis on the impact of gene expression for disease-free survival for the present study. Multicenter studies with long-term follow-up are recommended to improve our understanding of the effects of high co-expression of Sp1 and HIF1α on prognosis in HCC patients.

The present study has several potential limitations. First, the TCGA database does not include information regarding the administration of chemotherapy and the quality of surgical care or pathological technique, and all of these factors may affect the multivariate analysis. Second, the TCGA database is a public cancer registry data, so the mechanisms underlying the associations between the gene expression and prognosis may not be further studied. In summary, the present study demonstrated that high expression levels of Sp1 and HIF1α in HCC tissues were correlated with poor prognosis. In particular, the co-expression of two genes increased the highest risk on cancer mortality. For survival benefit, radical surgery and intense follow-up in the HCC patients with the high co-expression of Sp1 and HIF1α are recommended.

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