Large needle aspiration biopsy and galectin-3 determination in selected thyroid nodules with indeterminate FNA-cytology

Thyroid fine-needle aspiration biopsy (FNA)-cytology is widely used for the preoperative characterisation of thyroid nodules but this task is difficult for follicular lesions, which often remain undefined. We propose a strategy for improving the preoperative characterisation of selected follicular thyroid proliferations, which is based on large needle aspiration biopsy (LNAB) and galectin-3 expression analysis. Eighty-five thyroid specimens were obtained by LNAB (20-gauge needles) from thyroid nodules with indeterminate follicular FNA-cytology. Aspirated material was processed as a tissue microcopy to obtain cell blocks for both cytological and phenotypic diagnostic approach were 91.6, 97.2 and 95.3%, respectively. In conclusion, LNAB plus galectin-3 expression analysis when applied preoperatively to selected thyroid nodules candidate to surgery can potentially reduce unnecessary thyroid resections.

Keywords: thyroid cancer; galectin-3; preoperative diagnosis; immunohistochemistry; cell blocks technique

Preoperative characterisation of thyroid nodules is a common clinical problem. It has been estimated that about 4% of the American population between the ages of 30 and 60 years has one or more palpable thyroid nodules.

As the majority of these lesions are benign, their preoperative evaluation should be as selective as possible in the recommendation for surgical removal. Thyroid fine-needle aspiration biopsy (FNA) is a well-established diagnostic technique, which, in the right hands, significantly reduces the number of thyroid surgical resections (Kini, 1987; Hamburger et al, 1979, 1989; Gharib, 1994; Carpi et al, 1996; Baloch et al, 1998; Khurana et al, 1999). Although FNA cytology of microfollicular nodules shows in some series a relatively high predictive value for the diagnosis of follicular neoplasms (Basu and Jayaram, 1992; Gutman and Henry, 1998), this method has important intrinsic limitations in distinguishing benign from malignant follicular lesions. This task, in fact, requires the unequivocal demonstration of capsular and/or vascular invasion, which is possible only at postoperative histology (Rosai et al, 1992; Bartolazzi, 2000). However, practice guidelines for the clinical management of thyroid nodules suggest that an initial FNA is more useful and cost-effective than other forms of diagnostic procedures (Hamburger et al, 1989). Large studies from single specialised Institutions reported a sensitivity and specificity higher than 90% leading many clinicians to recommend thyroid FNA-cytology as the initial diagnostic test in the evaluation of thyroid nodules.

Surprisingly, a large prospective cohort study indicates that thyroid FNA-cytology was used as the initial diagnostic procedure in about 53% of the cases (Hundahl et al, 2000). Furthermore, an European survey on the clinical management of nodular thyroid lesions showed that thyroid FNA-cytology was indicated as the first diagnostic procedure by 41% of the responders, if they had to choose only one diagnostic test, and by only 25% when more than
one test could be considered (Baldest et al., 1989). These data clearly confirm that the clinician’s confidence in thyroid FNA-cytology is still low. The fact mostly reflects the large variability of thyroid FNA performance in not specialised Thyroid Hospitals and Cancer Centres.

In Community Hospitals, in fact, thyroid FNA-cytology is likely more affected by subjective evaluations, technical skills and bias of interpretations. As a consequence, the prevalence of indeterminate cytological reports indicating ‘follicular proliferations not otherwise specified’ is quite high.

In this report, we investigate whether the use of large needle aspiration biopsy (LNAB), mostly performed with 20-gauge needles, from which tissue microbiopsies and cell blocks can be prepared (Carpi et al., 1996, 1998, 2000), may improve the preoperative evaluation of selected follicular thyroid nodules. In addition, we wished to evaluate whether LNAB could provide better substrates for galectin-3 expression analysis compared to conventional FNA-cytology (Gasbarri et al., 2004).

The expression analysis of the β-galactosyl binding protein galectin-3 has been recently proposed for clinical use with the aim to improve the diagnostic performance of conventional FNA-cytology in distinguishing, preoperatively, benign from malignant thyroid lesions (Orlandi et al., 1998; Gasbarri et al., 1999; Inohara et al., 1999; Bartolazzi, 2000; Bartolazzi et al., 2001). Galectin-3 is undetectable in the cytoplasm of normal thyroid follicular cells, but it is almost invariably expressed in malignant transformed thyrocytes and in macrophages (Inohara et al., 1999; Bartolazzi et al., 2001). The biological role of galectin-3 expression in transformed thyrocytes is not completely understood. Interestingly, nuclear expression of galectin-3 regulates gene transcription and induces apoptosis. On the other hand, when galectin-3 accumulates in the cytoplasm of thyroid cells it blocks the apoptotic programme and let the development of cancer (Yoshii et al., 2001). The biological role of galectin-3 expression in transformed thyrocytes is not completely understood. It is interesting, nuclear expression of galectin-3 regulates gene transcription and induces apoptosis. On the other hand, when galectin-3 accumulates in the cytoplasm of thyroid cells it blocks the apoptotic programme and let the development of cancer (Yoshii et al., 2001). The diagnostic potential of galectin-3 expression analysis in distinguishing benign from malignant thyroid nodules has been recently evaluated in a large multicentric study (Bartolazzi et al., 2001). Galectin-3 test method seems to be a promising diagnostic tool for improving the clinical management of patients bearing follicular thyroid proliferations. However, its clinical application is still pending (American Thyroid Association Consensus Guidelines for Thyroid Fine Needle Aspiration Biopsy, 2003; Coop et al., 2006).

In this study, we focus on selected follicular thyroid nodules that remained indeterminate at conventional FNA cytology. For these lesions, it was not possible to analyse galectin-3 expression on cytological preparations for scanty material and/or other technical reasons.

The diagnostic performance of LNAB plus galectin-3 expression analysis was tested on 85 follicular thyroid nodules candidate to surgery and compared with postoperative histology.

**MATERIALS AND METHODS**

**Patients**

Two groups of patients with follicular thyroid nodules were considered in this study.

All of them were referred to surgery because follicular lesions remained undefined after conventional FNA-cytology. A retrospective group included 65 patients, 50 women and 15 men, mean age 44 years. Forty-eight patients out of 65 were referred for a single thyroid nodule, whereas 17 out of 65 showed multiple thyroid nodules. The mean size of the single or dominant nodule object of the study was 2.66 cm (lesions ranging from 1 to 6 cm). Conventional FNA-cytology produced indeterminate reports, namely ‘follicular proliferation not otherwise specified’ in 42 of the instances, whereas presence of ‘follicular proliferations with atypical cells’ was observed in 23 of the cases. The evaluation of galectin-3 expression on FNA-material was not possible for technical reasons (poor cellularity, cell blocks not available, etc.). Large needle aspiration biopsy (mostly by using 20-gauge needles) was performed preoperatively in all the instances and galectin-3 expression analysis was applied retrospectively on LNAB-derived cell blocks.

In the prospective study, 20 patients bearing follicular thyroid nodules were subjected to preoperative LNAB plus galectin-3 expression analysis. Sixteen out of 20 patients were women, mean age 51 years. In 16 of the instances patients were referred for a single thyroid nodule whereas multiple nodules were diagnosed in four of the cases. The mean size of the single or dominant thyroid nodule object of the study was 2.36 cm (ranging from 1 to 4.3 cm). Conventional FNA-cytology resulted indeterminate in 17 out of 20 cases. Coexistence of atypical or suspicious thyroid cells was observed in two of the instances, whereas oncocytic features were detected in one case. All the patients underwent partial or total thyroidectomy and the final histological diagnosis was performed by two independent pathologists and considered as the gold standard.

**LNAB and galectin-3 test method (galectin-3 thyrectest)**

**LNAB procedures** We adopted a simple and safe method of LNAB, which employs usually 20-gauge needles and exceptionally, in the largest nodules, 18-gauge needles introduced percutaneously (Carpi et al., 1996, 2000). When inserted into the lesion, the needle is rotated within the nodule so that its sharp end severs the tissue fragments.

The technique that was first described in 1930 (Martin and Ellis, 1930) does not show consistent differences with respect to conventional FNA-cytology (Hamberg et al., 1979; Carpi et al., 1996, 2000). Patients usually do not experience more discomfort, pain or complications than with conventional FNA method (21–25-gauge needles). Aspirated material and tissue fragments were processed to obtain paraffin-embedded cell blocks.

Formalin-fixed and paraffin-embedded cell blocks were processed to obtain seriate tissue sections for both morphologic analysis and phenotypic studies.

The morphologic evaluation of LNAB specimens let a preliminary distinction between nodules with a homogeneous microfollicular–trabecular structure, which is suggestive of tumour, from lesions with mixed normal–micro–macrofollicular architecture, which more likely represents a hyperplastic condition (goitre). Furthermore, the nuclear clearing, which represents an important feature of papillary thyroid carcinoma, can be easily appreciated in thyroid preparations from formalin-fixed and paraffin-embedded cell blocks. This is particularly important for the follicular variant of papillary carcinoma, which sometimes remains indeterminate at conventional FNA-cytology.

**Galectin-3 test-method** A purified rat monoclonal antibody (mAb) to galectin-3 (Mabtech, Nacka, Sweden) was used in immunohistochemistry according to the manufacturer’s instructions. Briefly, antigen–retrieval microwave treatment of tissues slides in 0.01 mol l\(^{-1}\) citrate buffer pH 6.0 was applied as required. To minimise the occurrence of false-positive results, a biotin-free immunoperoxidase staining method was considered in this study. This was obtained by using a horseradish-peroxidase-conjugated (HRP-conjugated) rabbit anti-rat immunoglobulins as secondary antibodies, in indirect immunoperoxidase assay (Dako, Glostrup, Denmark). Purified rat mAb directed to galectin-3 was used at a concentration range of 5–10 µg/ml. After incubation with HRP-conjugated secondary antiserum, the enzymatic activity was visualised with 3,3′-diamino-benzidine (Dako).

Positive cases were classified as +1– when a heterogeneous immunostaining was restricted to isolated follicular cells or small
clusters of cells, and + when the majority of thyroid cells in the follicular lesion showed galectin-3 expression. At least two experienced pathologists performed the immunohistochemical evaluation independently.

Statistical analysis

Sensitivity, specificity, positive (PPV) and negative (NPV) predictive values, and diagnostic accuracy of the proposed immunodiagnostic method were assessed as follows:

The final histology (after surgery) was considered as the gold standard.

Sensitivity was defined on the basis of thyroid cancer immunodetection as: no. of carcinomas tested galectin-3 positive/total number of thyroid cancers. Specificity was defined on the basis of benign thyroid lesion immunodetection as: no. of benign lesions tested galectin-3 negative/total number of benign lesions. Frequency was assessed as: no. of thyroid cancers/total no. of individuals in our study. Finally, the PPV and NPV were, respectively, computed as: no. of carcinomas tested positive/total no. of tested positive cases, and no. of benign lesions tested negative/total no. of tested negative cases, respectively. Diagnostic accuracy was calculated as (no. positive + no. negative)/(true positive + false positive + true negative + false negative). STAT 6 statistical software was used to estimate the above reported parameters. This study has been carried out according to the ethical guidelines of the Declaration of Helsinki. Specific authorisation was obtained from each enrolled patient.

RESULTS

Table 1 shows the comparative evaluation of LNAB plus galectin-3 expression analysis and the final histology in 85 follicular nodules with indeterminate FNA-cytology.

Although LNAB procedures, as well as conventional FNA cytology, fail to distinguish between benign and malignant follicular lesions, the former provides a better sampling of the lesion, with more aspirated material and improved architectural view (Figure 1).

In fact, a careful morphologic evaluation of the collected LNAB material let us a preliminary distinction of the follicular thyroid nodules in two major groups: (a) follicular proliferations with homogeneous microfollicular/solid or trabecular architecture, which suggests a thyroid neoplasia, and (b) follicular lesions with mixed micro–normal–macrofollicular structure, which more likely suggests a nodular hyperplasia (thyroid goitre).

As reported in Table 1, nodules showing at LNAB a homogeneous microfollicular/solid architecture were observed in 39 out of 85 instances (45.9%). In about 50% of these cases, the final histology confirmed the presence of benign or malignant tumours: 13 adenomas (33.3% of the cases) and six carcinomas (15.4%). Nodules with mixed follicular structure were detected in 45 out of 85 cases tested (52.9%). Postoperative histology showed thyroid hyperplasia in 34 of the instances (75.5% of the cases). In this group of lesions, six (13.3%) adenomas were histologically detected whereas thyroid malignancies, which were invariably represented by follicular variant of papillary carcinomas, were five (11.1%). The proportion of suspected neoplastic or hyperplastic nodules between the two groups of follicular proliferations, as evaluated by preoperative LNAB, was statistically significant (z² = 4.36; P = 0.0244).

The immunophenotypic analysis of microfollicular/solid nodules evaluated on LNAB specimens, showed galectin-3 expression in five out of 39 cases (Figure 2). All of them were finally diagnosed as thyroid malignancies (Table 1). Postoperative histology demonstrated, in fact, the presence of two follicular variants of papillary carcinoma, a papillary carcinoma with solid/poorly differentiated areas, a follicular carcinoma with oncocytic features and one conventional follicular carcinoma. The latter showed a heterogeneous expression of galectin-3, with several negative neoplastic cells.

Among the 34 galectin-3-negative microfollicular/solid lesions, 13 were histologically classified as follicular adenomas (39.3%), 20 as nodular hyperplasias (58.8%) and one as follicular carcinoma. The latter should be considered a true galectin-3-negative carcinoma because the lack of expression of galectin-3 was confirmed by immunohistochemistry after surgery.

On the other hand, among the 45 LNAB cases with mixed follicular architecture, which were suspicious for benign thyroid conditions, postoperative histology confirmed hyperplasia in 34 of

Table 1 Preoperative LNAB plus Galectin-3 expression analysis vs postoperative histology

| LNAB                      | Preoperative diagnosis | Galectin-3 expression | Postoperative histology |
|---------------------------|------------------------|-----------------------|-------------------------|
|                           |                        |                       | Cancer | Adenoma | Hyperplasia |
| Microfollicular/solid 39  |                        | Gal-3+                | 5      | 0       | 0          |
|                           |                        | Gal-3−                | 34     | 1       | 13 (33.3%) |
| Atypical cells 1          |                        | Gal-3+                | 1      | 0       | 0          |
|                           |                        | Gal-3−                | 0      | 0       | 0          |
| Follicular/mixed architecture 45 |                 | Gal-3+                | 8      | 5       | 3* (40%)   |
|                           |                        | Gal-3−                | 37     | 0       | 3 (75.5%) |
| Total 85                  |                        |                       | 85     | 12      | 19 (54%)   |

*Two of these galectin-3-positive adenomas showed capsular impingement of neoplastic cells.

% = percentage in Table 1 are referred to the total number of cases.
Gutman and Henry, 1998). Combination of high cellularity, et al. diagnosis of a follicular neoplasm (Rojeski and Gharib, 1985; Kini, morphologic features to be considered for a better cytological colloid and presence of microfollicular structures are the principal authors reported that high cellularity, nuclear features, scanty is widely considered as the 'grey zone of FNA-cytology'. Many For this reason, the characterisation of follicular thyroid nodules is restricted to thyroid specialised hospitals and cancer centres. FNA-cytology is widely used for the preoperative evaluation of thyroid nodules, in fact, can produce false positive results because foamy thyroid macrophages, endothelial cells and some activated lymphocytes normally express galectin-3 (Bartolazzi et al., 2001; Bartolazzi and Bussolati, 2006). The use of reverse transcriptase (RT-PCR)–polymerase chain reaction technique for evaluation of galectin-3 expression in thyroid nodules, although, it is well known that thyroid FNA-cytology has many reports have show major methodological problems (Herrmann et al., 2002; Bartolazzi et al., 2003; Bartolazzi and Bussolati, 2006). The use of purified monoclonal antibodies to galectin-3 in a biotin-free detection system is advisable in order to reduce the occurrence of false-positive results. This may be important when galectin-3 test method is used for the diagnostic purpose (Herrmann et al., 2002; Bartolazzi et al., 2003; Bartolazzi and Bussolati, 2006).

Although galectin-3 test method may be further optimised in order to be widely used in the clinical practice on conventional cytological preparations, the results of a large prospective multicentric study, which is running at national level, seems to confirm its diagnostic value (work in preparation).

HBME1, CK19, CD26/DPPIV, TPO and c-met have also been reported to be useful markers for improving the preoperative characterisation of thyroid nodules (De Micco et al., 1994, 1999; Ruco et al., 2001; Arabets et al., 2002; Rosai, 2003; Maruta et al., 2004; Mechanick, 2004; Weber et al., 2004; Papotti et al., 2005; Prasad et al., 2005; Saggiorato et al., 2005, de Matos et al., 2005). Among the aforementioned molecules, TPO is the largest preoperatively investigated tumour marker with a high overall accuracy (De Micco et al., 1994, 1999; Christensen et al., 2000; Weber et al., 2004). However, for the majority of these molecules the biological rationale of their preferential expression in thyroid cancer is still missing.

Anyway it should be stressed that LNAB and cell-block technique can eventually provide optimal substrates for comparative immunohisto-cytochemistry (on seriate tissue sections) directed to evaluate the expression of galectin-3 and other potential markers of thyroid cancer. This analysis is almost impossible to be performed on conventional cytological smears.

In this report, we show that LNAB plus galectin-3 determination led to correctly identify almost all of the benign thyroid nodules (97%), which were considered as suspicious following conventional FNA cytology. If it is true that FNA-derived cellular specimens are obtained and handled more easily and cheaply than LNAB-derived small tissue fragments, it should be mentioned that the occurrence of inadequate cytological specimens is higher with FNA than with LNAB preparations obtained with 20-gauge needle (Carpi et al., 1996, 1998). It has been recently reported that about 23% of thyroid aspirates have a low cellularity and are not suitable for cell-block preparation and galectin-3 immunostaining (Mills et al., 2005). In our experience, LNAB performed with 20-gauge needle retrieves much more tissue than FNA to be processed as paraffin-embedded cell block (Gasbarri et al., 2004). Most important, LNAB-derived cell blocks remain available for repe-
tion of the galectin-3 test as well as for testing of different markers at any time.

Thyroid LNA B is safe as reported in series of thousands consecutive examinations (Crile and Hawk, 1973; Hamburger et al., 1979; Carpi et al., 1996, 2000). One of us (A Carpi) performed more than 3000 LNA Bs in the last decade with a few (about 10) minor complications consisting in relatively large haematomas with spontaneous resolution. However, the purpose of this method is not for routine cyto/histological evaluation of thyroid nodules, but it should be restricted to those selected lesions referred to surgery because indeterminate at conventional FNA-cytology. In our series, a preliminary distinction between neoplastic nodules (benign or malignant) and hyperplasia (goitre) was possible on morphological bases alone in more than 73% of the cases, by using cell-block preparations conventionally stained with haematoxylin and eosin (microhistology). When galectin-3 thyroid test was applied on the same substrates, the diagnostic accuracy of the method improved consistently up to 95%. Interestingly, among the 12 thyroid carcinomas detected at the final histology, only one did not express galectin-3. However, this lesion, although indeterminate at conventional FNA-cytology, was considered morphologically suspicious for neoplasia, because a microfollicular structure with clear nuclei was evident at LNA B microhistology (paraffin-embedded and formalin-fixed tissue section). Most important, none of the histologically confirmed hyperplastic conditions (goitres) showed expression of galectin-3. Therefore, the NPV of the proposed integrated diagnostic method is very high (>-97%) when the galectin-3 test method is correctly applied (Bartolazzi et al., 2001, 2003; Bartolazzi and Bussolati, 2006).

Regarding the detection of some galectin-3-positive follicular adenomas, we previously reported that these lesions may likely represent early follicular carcinomas, in which capsular and/or vascular invasion cannot be demonstrated yet (Bartolazzi et al., 2001).

In this study, we detected three galectin-3-positive follicular adenomas out of 19 tested.

We believe that surgical excision of these specific lesions may be advisable and does not represent a real overtreatment. In fact, the expression of galectin-3 in thyroid cells drives the block of apoptosis, a biological feature that is not observed in normal cells.

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