Molecular, functional, and pathological aspects of TDP-43 fragmentation

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SUMMARY
Transactive response DNA binding protein 43 (TDP-43) is a DNA/RNA binding protein involved in transcriptional regulation and RNA processing. It is linked to sporadic and familial amyotrophic lateral sclerosis and frontotemporal lobar degeneration. TDP-43 is predominantly nuclear, but it translocates to the cytoplasm under pathological conditions. Cytoplasmic accumulation, phosphorylation, ubiquitination and truncation of TDP-43 are the main hallmarks of TDP-43 proteinopathies. Among these processes, the pathways leading to TDP-43 fragmentation remain poorly understood. We review here the molecular and biochemical properties of several TDP-43 fragments, the mechanisms and factors mediating their production, and their potential role in disease progression. We also address the presence of TDP-43 C-terminal fragments in several neurological disorders, including Alzheimer’s disease, and highlight their respective implications. Finally, we discuss features of animal models expressing TDP-43 fragments as well as recent therapeutic strategies to approach TDP-43 truncation.

INTRODUCTION
Transactive response (TAR) DNA binding protein 43 (TDP-43) is involved in multiple aspects of transcriptional regulation and post-transcriptional RNA processing (Buratti and Baralle, 2001; Tollervey et al., 2011) and binds to thousands of target RNA molecules (Tollervey et al., 2011; Sephton et al., 2011and Xiao et al., 2011). Mutations in the TDP-43-encoding gene TARDBP cause Amyotrophic lateral sclerosis (ALS), a progressive and incurable neurodegenerative condition characterized by loss of motor neurons, disability and death. TDP-43 is also linked to tau-negative frontotemporal lobar degeneration (FTLD) and a significant number of patients with ALS also develop FTLD (Liscic et al., 2008). Pioneering studies in the field found cytosolic translocation and accumulation of phosphorylated, ubiquitinated, and truncated TDP-43 in the brains of patients with FTLD and ALS (Neumann et al., 2006). This and subsequent studies established TDP-43 as a major pathological component of ALS and FTLD, which are also known as “TDP-43 proteinopathies” (Prasad et al., 2019). Notably, the majority of ALS and FTLD cases are sporadic in nature, where wild-type TDP-43 (WT TDP-43) translocates to the cytosol and forms pathological aggregates in neuronal cells (Figure 1). An obvious question here is: what causes the WT TDP-43 protein to take the pathological pathway? What can confer toxicity to native forms of TDP-43? A broader answer to this might be explained directly by protein modifications of TDP-43, such as phosphorylation, ubiquitination, and truncation (Arai et al., 2006; Cairns et al., 2007; Davidson et al., 2007; Neumann et al., 2006; Zhang et al., 2008). Phosphorylation and ubiquitination are widely used as markers of TDP-43 pathology; however the truncated forms of TDP-43 are less appreciated in this regard.

TDP-43 was initially identified as a transcriptional repressor of the human immunodeficiency virus 1 (Ou et al., 1995). Later, it was found to be involved in alternative splicing of cystic fibrosis transmembrane conductance regulator (CFTR) leading to its exon 9 skipping (Buratti et al., 2001). Despite the initial discovery of the functional role of TDP-43 was made in 1995, its pathological involvement in neurodegeneration was not demonstrated until over a decade later (Neumann et al., 2006). TDP-43 proteinopathies are highly aggressive and patients with ALS reach a fatal outcome within 2–5 years from symptoms onset. The reason why this disease triggers such degree of aggressiveness remains elusive. A possible explanation is that multiple pathways may contribute synergistically to accelerate neuronal loss. For instance, ER stress, oxidative stress, nuclear transport dysfunction, axonal transport defects, and apoptosis are present in cells that display TDP-43 pathology (Ferrari et al., 2011; Goh et al., 2017; Ratti et al., 2020; Walker et al., 2015; Zhang et al., 2009). These and other reports led to a consensus that toxic TDP-43 accumulation is linked to
disruption of multiple cascades. However, a couple of pioneer studies suggest that TDP-43 toxicity is primarily driven by cleavage and accumulation of toxic TDP-43 C-terminal fragments (CTFs) (Walker et al., 2015; Zhang et al., 2009). Yet, it is not clear whether truncated forms of TDP-43 are main culprits of the disease and, if so, how they interfere with the cellular machinery. Here, we compile and discuss data regarding the potential pathological role of truncated TDP-43 fragments in TDP-43 proteinopathies beyond the ALS/FTLD spectrum.

**TDP-43 FRAGMENTATION: GAIN- AND LOSS-OF-FUNCTION MECHANISMS**

A leading pathological hallmark of TDP-43 proteinopathies is the cytoplasmic accumulation of TDP-43 aggregates in neuronal cells, which seem to present a toxic “gain-of-function” threat in the cytosolic milieu. Conversely, since TDP-43 is primarily a nuclear protein, it is possible that its translocation to the cytosol may have a negative impact in the nucleus, leading to a “loss-of-function” hypothesis (Xu, 2012). Another mechanism of action has been also proposed where a 35kDa truncated form of TDP-43, referred to as TDP-35, confers toxicity via gain-of-function mechanisms (Che et al., 2015). In this case, TDP-35 sequesters TDP-43 through various RNAs and makes cytosolic inclusions with other RNA-binding proteins, resulting in a gain-of-toxic function. However, this sequestration eventually leads to nuclear depletion of TDP-43 and thus TDP-43 CTFs can clearly contribute to both gain- and loss-of-function mechanisms. Consistently, a recent review on the controversial role of TDP-43 aggregates argues that neurotoxicity may not be due merely to TDP-43 aggregation but rather to both loss and gain-of-function processes (Hergesheimer et al., 2019).

**Figure 1. Effects of TDP-43 truncation in disease pathogenesis**

The scheme illustrates that TDP-43 functions normally as dimer or oligomer conformers in the nuclear compartment. However, upon translocation to the cytoplasm, truncation of TDP-43 promotes aggregation (gain-of-function). These aggregates hamper RNA stabilization in the cytoplasm leading to abnormal translational regulation, proteasomal degradation and sequestration/depletion of nuclear TDP-43 (loss-of-function). RP: RNA Polymerase complex.

TDP-43 functions as a dimer or as homo-oligomer through an N-terminal linkage (Afroz et al., 2017; Kuo et al., 2009; Mompeán et al., 2017) and cleavage in the N-terminus would lead to generation of toxic CTFs. The N-terminal fragments (NTFs) would remain in the nuclei and get quickly degraded, while the
CTFs are exported to the cytoplasm, resulting in aggregation (Pesiridis et al., 2011). Aggregation of CTFs may drive the gain-of-toxic function mechanisms, while reduced functional dimerization/oligomerization may contribute to loss-of-function paradigms. Interestingly, the farthest N-terminus of TDP-43 has also been shown to form cytoplasmic aggregates in vivo (Zhang et al., 2013; Sasaguri et al., 2016). However, more work is needed to establish the pathological role of this TDP-43 region.

CHARACTERISTICS AND PROPERTIES OF CLEAVED TDP-43 FRAGMENTS

The spectrum of TDP-43 truncated forms is considerably large in terms of their size and properties. Whether or not these differences in size determine the degree of their neurotoxicity is still a major puzzle in the field. To date, most studies have investigated the role of TDP-43 CTFs, and only a few groups are evaluating whether the NTFs contribute to pathogenesis. Nonaka et al. suggested that TDP-43 fragments encompassing residues 1–161 are aggregation-prone species (Nonaka et al., 2009). The N-terminal end of TDP-43 is critically involved in mediating RNA binding and functional assembly, although some reports indicate that the NTFs can be insoluble in nature too (Dammer et al., 2012; Weskamp et al., 2020). Interestingly, recent studies also indicate that the N-terminal domain of TDP-43 retains its capability of being assembled without any aberrant structural deformations (Tsoi et al., 2017). These studies support the idea that C-terminal, but not N-terminal, TDP-43 fragments drive the TDP-43-associated toxicity in pathophysiological conditions. Nonetheless, most studies used antibodies that recognize only the C-terminal region of TDP-43 and the scarcity of data on NTFs may be due to lack of specific detection. We anticipate that the development of new detection tools, such as the recently reported MS-PRM quantification assay (Feineberg et al., 2020), will help to clarify the role of TDP-43 fragments in the future.

TDP-43 contains two RNA recognition motifs (RRMs) toward the N-terminus, a nuclear localization signal (NLS), and a prion-like glycine-rich domain at the C-terminal end (Figure 2). A putative nuclear export sequence (NES) is recognized by several protein domain finders, but its function is highly controversial. Recent studies imply that this sequence may have little to no role in the export of TDP-43, which is probably driven by its size in a passive manner (Pinarbasi et al., 2018). On the other side, multiple exporter factors including XPO1, XPO7, and NXF1 also contribute to TDP-43 nuclear egress (Archbold et al., 2018), suggesting that its trafficking occurs through partially redundant and overlapping pathways and that other uncharacterized transport motifs may exist within TDP-43.

TDP-35 lacks the N-terminus from within NLS and TDP-25 further losses the RRM1 and part of RRM2 in addition to NLS (Figure 2). The presence or absence of certain motifs will have important functional and pathological implications (Li et al., 2015). For instance, TDP-43 participates in the formation of stress granules, which retain RNAs transiently and release them as needed to the ribosome for translation (McDonald et al., 2011). However, the absence of one or both RMRs in TDP-43 CTFs disrupts the equilibrium between stress granules formation and translational regulation and can lead to the formation of proteinaceous aggregates (Wang et al., 2013). To further understand the role of different TDP-43 motifs or domains in protein...
aggregation, Jiang et al. generated several constructs that express various truncated forms of TDP-43. The authors showed that TDP-43 functions as a 135Å-long homodimer, which was later found to be critical for the splicing activities of TDP-43 (Jiang et al., 2017). Removal of the RRM2 not only leads to disruption of RNA binding capabilities but also makes TDP-43 molecules incapable of forming functional dimers. Moreover, a pathological truncation in TDP-43 within the RRM2 domain (at residue 208) leads to the formation of beta sheet fibrils in neuronal cells but not when the RRM2 is intact. These amyloid beta-like fibrillary structures were apparent in electron microscopy, yet remain undetected by Thioflavin T or amyloid specific antibodies, probably due to amorphous nature of TDP-43 amyloids (Capitini et al., 2014). These studies emphasize the role of beta sheets in pathophysiology through amyloidogenesis. On the other hand, Wang et al. proposed a model where the C-terminal end of TDP-43 forms functional aggregates (larger than oligomers but still well organized) that, after cleavage, transform into pathological aggregates (Wang et al., 2012a) (Figure 1). A separate study defined the thermodynamic and electrostatic characteristics of TDP-43 CFTs through a repulsion model for amyloid formation (Mompeán et al., 2016) and reported that a decreased electrostatic charge in CFTs leads to greater aggregation tendencies. Lastly, TDP-43 CFTs cleaved at different sites exert significant differences in solubility among various fragment sizes (Furukawa et al., 2011). This is consistent with the distinct histopathological properties associated with different TDP-43 fragments. For instance, TDP-25 makes toxic Ub-positive inclusion bodies (IBs), while TDP-35 makes less toxic Ub-negative IBs (Kitamura et al., 2016).

Cell culture paradigms have been instrumental in the study of TDP-43 CFTs. In 2014, Liu et al. generated the first stable cell line expressing TDP-25 and proved that this TDP fragment is preferentially processed through proteasomal degradation (Liu et al., 2014). This may explain the role of CFTs in the secondary TDP-43 pathology seen in various neurodegenerative conditions, where proteasomal degradation is compromised (Chhangani and Mishra, 2013). Structural insights into TDP-43, TDP-35, and TDP-16 (lacking both RRM domains) were recently provided using solid-state nuclear magnetic resonance (Shenoy et al., 2019). TDP-43 and TDP-35 display similar amyloidogenic properties compared to TDP-16. This suggests that the RRM domains play an important role in amyloid formation as TDP-16 tends to make ordered amyloids. In this regard, TDP-25 lacks both RRMs and the NLS. This could help TDP-25 to be retained in the cytosol while absence of RRMs allows amyloid formation to be more ordered, an inherited property of TDP-43 aggregates (Capitini et al., 2014). All these data support the notion that pathological aggregates of TDP-43 in the cytosol comprised full length as well as its various truncated forms.

Full length TDP-43 and its aggregation-prone CFTs have also been detected in exosomes in cellular models of TDP-43 proteinopathies, as well as in human brain samples from patients with ALS and FTLD (Nonaka et al., 2013; Riku, 2020). Exosomal detection of TDP-43 in blood plasma is linked to disease progression in ALS (Chen et al., 2020). Since insoluble aggregates of CFTs are enriched in these exosomes, it has been suggested that truncated forms of TDP-43 may serve as seeds for prion-like cell-to-cell propagation (Riku, 2020). But, spreading of TDP-43 can also happen through transsynaptic means (Jamshidi et al., 2020). Thus, even though increasing data support the anatomical spreading of TDP-43, the molecular and cellular mechanisms of this spreading as well as the potential role of CFTs in this phenomenon are unclear and require further investigation.

FACTORS MEDIATING PROTEOLYTIC CLEAVAGE OF TDP-43

The mechanisms underlying TDP-43 truncation are still poorly understood (Berning and Walker, 2019). Several enzymes are known to cleave TDP-43 at various sites along its 414-amino acid length (Figure 2 and Table 1), but many sites are still undetermined. For instance, Igaz et al. observed TDP-43 CFTs ranging from 22 to 24kDa in brain but not in the spinal cord of patients with ALS and FTLD-U and identified Arg208 as a pathological cleavage site after sequencing these truncated forms (Igaz et al., 2008); however, it is still uncertain what causes this cleavage. Caspase 3-mediated cleavage is considered as an important step in TDP-43 toxicity, though Caspase 3-independent cleavage of TDP-43 at various sites has also been reported (Table 1). Caspase 7, but not Caspase 8, can also cleave recombinant TDP-43 into 25 and 35 kDa fragments (Shenoy et al., 2019). Apoptotic conditions can activate caspases due to several stressors such as lower calcium levels (De Marco et al., 2014), leading to TDP-43 truncation. When caspase-cleaved TDP-25 and TDP-35 fragments were comparatively analyzed with full-length TDP-43 in motor neurons, TDP-25 demonstrated to be more aggregation prone (Cicardi et al., 2018). In this report, TDP-43 remains in the nuclei, TDP-35 partially mislocalizes to the cytoplasm making small aggregates, and TDP-25 (which lacks NLS) remains exclusively in the cytosol forming large, irregular and insoluble aggregates associated

with TDP-43 pathology seen in various neurodegenerative conditions, where proteasomal degradation is compromised (Chhangani and Mishra, 2013). Structural insights into TDP-43, TDP-35, and TDP-16 (lacking both RRM domains) were recently provided using solid-state nuclear magnetic resonance (Shenoy et al., 2019). TDP-43 and TDP-35 display similar amyloidogenic properties compared to TDP-16. This suggests that the RRM domains play an important role in amyloid formation as TDP-16 tends to make ordered amyloids. In this regard, TDP-25 lacks both RRMs and the NLS. This could help TDP-25 to be retained in the cytosol while absence of RRMs allows amyloid formation to be more ordered, an inherited property of TDP-43 aggregates (Capitini et al., 2014). All these data support the notion that pathological aggregates of TDP-43 in the cytosol comprised full length as well as its various truncated forms.

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with autophagy impairment in neuronal cells. Interestingly, over-expression of TDP-25 does not alter the functionality of TDP-43 in nuclei, as evidenced by performing typical CFTR exon 9 skipping assays. This suggests that endogenous TDP-43 retains its activity in this context and that TDP-25 toxicity appears to be due to its own toxic gain-of-function in the cytosol. On the other hand, mutant TDP-43M337V was expressed in the brain of monkeys (Rhesus macaque) and mice to compare its distribution and fragmentation (Yin et al., 2019). Mutant TDP-43 translocates to the cytoplasm in the monkey brain but stays primarily in the nuclei of the mouse brain. The primate-specific ER-associated Caspase 4, which is absent in mice, was responsible for cleavage and accumulation of TDP-25 and TDP-35 in the neuronal cytosol. Importantly, when human Caspase 4 was over-expressed in the brain of old TDP-43M337V mice, these animals successfully produced the anticipated CTFs, which confirmed the critical role of Caspase 4 in TDP-43 cleavage. This is relevant because the authors also observed increased levels of Caspase 4 in patients with ALS.

In addition to caspase-mediated cleavage, other important classes of proteases have also been reported to cleave TDP-43 (Table 1). The Ca²⁺-dependent cysteine protease Calpain specifically cleaves mouse and human TDP-43 in Neuro2a cells and such cleavage can be blocked with the specific Calpain inhibitors MDL28170 and ALLN, but not with caspase inhibitors (Yamashita et al., 2012). Interestingly, ALS-linked TDP-43M337V and TDP-43A315T mutant isoforms are highly vulnerable to Calpain cleavage compared to TDP-43 WT. Calpain-dependent TDP-43 fragments were also observed in the spinal cords of patients with ALS, a finding consistent with increased levels of activated Calpain-I and Calpain-II in these specimens (Yamashita et al., 2012). On the other hand, a mass spectrometry analysis in brain samples from patients with FTLD led to the identification of 32 and 35 kDa TDP-43 fragments truncated at N291 and N306. These fragments were cleaved by asparaginyl endopeptidase (AEP) and were absent in AEP null mice (Herskowitz et al., 2012), which validates specificity. AEP can cleave TDP-43 at seven asparagine residues in vitro, but the biological relevance of this finding remains to be elucidated. Taken together, these findings indicate that caspase-dependent and -independent processes (Figure 2) participate in the generation of TDP-43 fragments. Additional studies will be required to fully understand the interplay between these cleavage mechanisms.

### THE ROLE OF PHOSPHORYLATION IN TDP-43 CLEAVAGE

TDP-43 hyperphosphorylation is widely accepted as hallmark of TDP-43 pathology. Indeed, phosphorylation of TDP-43 in C. elegans drives TDP-43 proteinopathy, and inhibition of phosphorylation prevents neurodegeneration in this model (Liachko et al., 2010, 2013). However, the role of TDP-43 phosphorylation in the cleavage process is controversial. For instance, hyperphosphorylation of TDP-43 seems to modulate its aggregation in a cooperative manner through phosphorylation at five different serine residues (Li et al., 2011). Mutations that mimic a hyperphosphorylated state in these five residues reduce aggregation in vitro and in vivo. Since TDP-43 phosphorylation occurs post-truncation in this work, it was suggested that hyperphosphorylation may serve as a defensive mechanism to reduce TDP-43 aggregation and also to increase solubility of TDP-43 and its truncated forms. Another study reported that, after heat-shock

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**Table 1. TDP-43 cleavage sites and responsible enzymes**

| Enzyme | Cleavage site | Fragment size (kDa) and type | Experimental system | References |
|--------|---------------|-----------------------------|---------------------|------------|
| Caspase 3 | 89, 219, 247 | 25, 35, 42 CTF | Cell culture | (Zhang et al., 2007) |
| Caspase 4 | 174 | 25 CTF | in vitro and in monkey | (Li et al., 2015) |
| Caspase 7 | 89, 219 | 25, 35, 42 CTF | Cell culture | (Zhang et al., 2007) |
| Caspase (unknown) | 169 | 27 CTF | Cell culture | (Suzuki et al., 2011) |
| Calpain 1 and Calpain 2 | 229, 243, 246, 286, 295, 297, 318, 324 | 32, 33, 36 CTF and NTF | Cell culture, mouse and human ALS specimens | (Yamashita et al., 2012; Yang et al., 2014b) |
| Asparaginyl endopeptidase (AEP) | 70, 76, 279, 291, 285, 306, 372 | 22-37 CTF | Cell culture and Mouse | (Herskowitz et al., 2012) |
| Undetermined | 55, 108, 208, 130, 164, 214, 266, 400 | 15-43 NTF and CTF | Mouse | (Igaz et al., 2008; Kametani et al., 2016) |
induction, TDP-43 is double phosphorylated (T153,Y155) by MEK, a heat shock response-dependent kinase that contributes to protein homeostasis. Interestingly, this phosphorylated form does not aggregate and remains soluble under protein misfolding conditions, suggesting that this particular modification might also be non-pathogenic and rather critical to regulate TDP-43 function (Li et al., 2017). A different group proved that phosphorylation at positions 403/404 and 409/410 makes TDP-43 more resistant to Calpain cleavage (Yamashita et al., 2016). Phosphorylation of these sites by enzymes like Casein kinases (CK1/CK2) or Tau tubulin kinases (TTBK1/TTBK2) helps retaining non-cleaved forms resulting in lower toxicity. These results suggest that the phosphorylated forms of TDP-43 might be the consequence of a cellular strategy to overcome proteotoxic stress. Lastly, even if the CTFs are more likely to get phosphorylated, their inclusion formation properties seem to be independent of such modification (Zhang et al., 2009). This suggests that TDP-43 truncation and phosphorylation may occur independently, yet phosphorylation can influence aggregation of TDP-43 and its truncated forms in a cytoprotective manner.

ROLE OF PATHOGENIC MUTATIONS IN TDP-43 FRAGMENTATION

To date, more than 50 mutations in TDP-43 have been found to cause ALS (Buratti, 2015). Numerous studies confirm the toxic effects of mutant forms of TDP-43 and their different truncated products, but only a few reports correlate TDP-43 mutations with its truncation. For instance, expression analysis in Neuro2a cells indicated that TDP-43 with mutation D169G is more susceptible to caspase 3 cleavage than the wild-type version, resulting in high levels of TDP-35 with increased thermal stability (Chiang et al., 2016). Nonaka et al. also expressed two GFP-tagged TDP-43 fragments, GFP-TDP162-414 and GFP-TDP218-414, with and without pathological mutations to investigate their effect on TDP-43-mediated toxicity (Nonaka et al., 2009). The authors demonstrated that 14 different mutations linked to ALS pathology significantly enhance the aggregation of GFP-TDP162-414. However, no significant effect was found on GFP-TDP218-414, probably due to a masking effect of the higher aggregation propensity of this shorter form. Interestingly, no aggregation was observed in cells expressing full length TDP-43 with the same mutations. This suggests that truncation is a prerequisite for TDP-43 aggregation, which in turn can be potentiated through pathological mutations. Furthermore, a recent study in cultured neuroblastoma cells showed that TDP-43 disrupts the nuclear membrane structure, nuclear pore complex functionality and RNA export (Chou et al., 2018). This effect was significantly higher with CTFs compared to WT or even ALS-linked mutant TDP-43. In addition, CTFs co-aggregate with nucleoporin proteins (Nups) through their similar low complexity domains (prion-like GC-rich domain in CTFs and FG-rich domain in Nups). This information expands the functional features of CTFs and supports their role in influencing the overall nucleo-cytoplasmic transport within cells. Also, compared to full-length wild-type or mutant TDP-43 overexpression, CTFs led to high levels of cell death through apoptotic pathways (Chou et al., 2018). Lastly, TDP-43 can spread intercellularly and, therefore, extracellular levels of TDP-43 and its truncated forms may have an impact on this phenomenon. To test this hypothesis, wild-type TDP-43 as well as two ALS-linked mutants (M337V and A315T) were targeted to the extracellular space (Zhao et al., 2015). In this study, mutant TDP-43 activates microglia through upregulating NOX2, TNF-α, and IL-1β. The wild-type isoform also activates microglia in similar fashion but at lesser extent. Interestingly, when these mutations were tested in the context of TDP-25, activation of microglia was significantly higher compared to the wild type counterpart. This suggests that disease-associated mutations have the ability to enhance cytotoxicity of truncated TDP-43.

ALTERNATIVE SPlicing ALSO CREATES NEUROTOXIC TDP-43 FRAGMENTS

A very intriguing study proposed that a truncated form of TDP-43 can be also generated via alternative splicing mechanisms (Xiao et al., 2015). The authors unraveled a new splice variant of TDP-43 that is upregulated four-fold in the spinal cord of patients with ALS. The corresponding transcript, known as TDP-43 r.(106-196del), has 91-nt spliced out in exon 2 and uses the third ATG Met85 of full-length TDP-43 as initiation codon to generate Met85-TDP-35 (Figure 3). Interestingly, ATG Met85 is located immediately upstream of the caspase-3 recognition site involved in the generation of cleaved TDP-35, which complicates the precise identification of TDP-35 species. To address this problem, neo-epitope antibodies against the N-terminus sequences of the Met85- or caspase 3-cleaved TDP-35 were generated (Xiao et al., 2015). The use of these two antibodies revealed that the TDP-35 species found in motor neurons of ALS tissues are due to a masking effect of the higher aggregation propensity of this shorter form. Interestingly, no aggregation was observed in cells expressing full length TDP-43 with the same mutations. This suggests that truncation is a prerequisite for TDP-43 aggregation, which in turn can be potentiated through pathological mutations. Furthermore, a recent study in cultured neuroblastoma cells showed that TDP-43 disrupts the nuclear membrane structure, nuclear pore complex functionality and RNA export (Chou et al., 2018). This effect was significantly higher with CTFs compared to WT or even ALS-linked mutant TDP-43. In addition, CTFs co-aggregate with nucleoporin proteins (Nups) through their similar low complexity domains (prion-like GC-rich domain in CTFs and FG-rich domain in Nups). This information expands the functional features of CTFs and supports their role in influencing the overall nucleo-cytoplasmic transport within cells. Also, compared to full-length wild-type or mutant TDP-43 overexpression, CTFs led to high levels of cell death through apoptotic pathways (Chou et al., 2018). Lastly, TDP-43 can spread intercellularly and, therefore, extracellular levels of TDP-43 and its truncated forms may have an impact on this phenomenon. To test this hypothesis, wild-type TDP-43 as well as two ALS-linked mutants (M337V and A315T) were targeted to the extracellular space (Zhao et al., 2015). In this study, mutant TDP-43 activates microglia through upregulating NOX2, TNF-α, and IL-1β. The wild-type isoform also activates microglia in similar fashion but at lesser extent. Interestingly, when these mutations were tested in the context of TDP-25, activation of microglia was significantly higher compared to the wild type counterpart. This suggests that disease-associated mutations have the ability to enhance cytotoxicity of truncated TDP-43.
More recently, iPSC-derived neuronal cells were used to demonstrate that hyperexcitability triggers TDP-43 pathology through upregulation of two atypical, shortened TDP-43 (sTDP-43) isoforms (Weskamp et al., 2020). These truncated isoforms lack the conventional C-terminus and acquire a distinct 18 amino acids C-terminal tail (brown rectangle). This new sequence contains a functional putative nuclear export sequence (NES) that promotes its cytosolic accumulation as well as sequestration of normal TDP-43, resulting in neurotoxicity.

TRUNCATED TDP-43 – A COMMON PLAYER IN VARIOUS PROTEINOPATHIES

Several studies indicate that TDP-43 abnormalities are not limited to ALS and are common in Alzheimer’s disease (AD) and other neurodegenerative conditions (Prasad et al., 2019). Soon after reporting the pathological role of TDP-43 in ALS and FTLD, Neumann’s group found TDP-43 proteinopathy in nearly one-fourth of corticobasal degeneration (CBD) cases and demonstrated the presence of TDP-25 in CBD brains (Uryu et al., 2008). Another earlier report documented TDP-43 pathology (aggregation and
phosphorylation) in almost 50% of AD cases (Wilson et al., 2011). A more recent study evaluating 14 different cerebral regions from 193 AD brains reported that almost all the specimens were positive for TDP-43 inclusions in later stages of AD (Josephs et al., 2016). Unfortunately, the use of an antibody that recognizes C-terminal region of TDP-43 did not distinguish the truncated forms from the full length TDP-43 in this work. However, a very recent report classifies AD pathology based on the presence of full length or CTFs of TDP-43 (Tomé et al., 2020). Here, AD pathology is categorized as AD without TDP-43 (ADTDP/C0), AD with TDP-43 full length (ADFL) and AD with CTFs of TDP-43 (ADCTF). Interestingly, most AD cases (60%) in this work showed truncated TDP-43 inclusions. In this regard, Herman et al. made an interesting finding that could, in part, explain the increased TDP-43 deposition associated with disease progression (Herman et al., 2012). The authors demonstrated that lentiviral-mediated expression of Aβ42 in rats induces phosphorylation of TDP-43 and promotes its cleavage to 35kDa forms. The authors also found that TDP-43 expression triggers abnormalities in APP processing, resulting in higher production of amyloidogenic fragments. These findings uncovered a molecular cross talk between TDP-43 and APP metabolism and highlighted the importance of studying TDP-43 truncation in AD pathogenesis.

In addition to AD, TDP-43 CTFs are also present in other neurodegenerative conditions (Table 2). For instance, TDP-25 and TDP-35 were found associated with Lewy bodies and Hirano bodies in postmortem cases of Parkinson’s disease (PD) and dementia with Lewy bodies (DLB) (Kokoulina and Rohn, 2010). Interestingly, truncated fragments of TDP-43 co-localize with alpha-synuclein within the substantia nigra, suggesting that TDP-43 cleavage may play a key role in both of these alpha-synucleinopathies. On the other hand, it has been shown that polyglutamine expansions in ataxin 2 activate the Caspase 3 pathway, leading to TDP-43 truncation and subsequent toxicity (Hart and Gitler, 2012). Caspase inhibitors rescue this pathological condition, which supports the toxic contributions of TDP-43 fragmentation. Secondary TDP-43 pathology has been also documented in other polyglutamine disorders including Huntington Disease (Tada et al., 2012) and Spinocerebellar ataxia (SCA) forms such as SCA2, SCA3, and SCA7 (Alves et al., 2016; Tan et al., 2009; Toyoshima et al., 2011). However, the presence of TDP-43 truncation in these diseases remains elusive. Taken together, these studies highlight the presence of TDP-43 fragments in multiple neurological conditions (Figure 4), but their direct contributions to disease pathogenesis remains to be clarified.

**Table 2. Detection of TDP-43 fragments in human neurological conditions**

| Disease                        | TDP-43 truncated forms | Reference                      |
|--------------------------------|------------------------|--------------------------------|
| Amyotrophic lateral sclerosis  | 23-37 kDa fragments    | (Neumann et al., 2006)         |
| Frontotemporal lobar degeneration | 23-27 kDa fragments | (Igaz et al., 2008)            |
| Alzheimer’s disease            | TDP-25                 | (Rohn, 2008)                   |
| Corticobasal degeneration      | TDP-25                 | (Uryu et al., 2008)            |
| Pick disease                   | TDP-25 and TDP-35      | (Rohn and Kokoulina, 2009)     |
| Parkinson’s disease            | TDP-25 (in Lewy bodies)| (Kokoulina and Rohn, 2010)     |
| Traumatic brain injury         | 35 kDa fragments       | (Yang et al., 2014b)           |

DO TDP-43 FRAGMENTS INTERACT WITH OTHER TRUNCATED PROTEINS INVOLVED IN NEURODEGENERATION?

This is an open question in the field. Fragmentation of proteins into smaller truncated forms has been observed in other proteinopathies associated with neurodegenerative diseases. However, whether TDP-43 CTFs can interact with those truncated peptides to alter neuropathology has not been investigated yet. As mentioned above, TDP-43 CTFs co-localize with alpha-synuclein in Lewy bodies and Hirano bodies within the substantia nigra in Parkinson’s disease and dementia with Lewy bodies (Kokoulina and Rohn, 2010), suggesting a potential direct interaction between the two proteins. Alpha-synuclein exhibits extensive post-translational modifications within pathological inclusions and approximately 15–20% is C-terminally truncated (Sorrentino and Giasson, 2020). C-terminal truncations of alpha-synuclein enhance amyloid aggregation in vitro and in vivo (Sorrentino and Giasson, 2020). However, the study of their interactions with TDP-43 fragments is prevented by lack of reliable truncation-specific tools. Another limitation is that removal of exon 5 in the alpha-synuclein mRNA through alternative splicing generates splice variants lacking C-terminal residues, which may behave similarly to C-terminally truncated isoforms (Gámez-Valero and Beyer, 2018).
Cumulative evidence suggest that Tau proteolysis can also influence neurodegeneration in a fragment-dependent manner and more than 50 proteolytic tau fragments have been reported (Quinn et al., 2018). Among them, Tau45-230 was recently found at high levels in motor neurons in patients with ALS (Vintilescu et al., 2016). This 17kDa fragment triggers neuronal death and behavioral deficits when expressed in the hippocampus of transgenic mice (Lang et al., 2014), suggesting that its presence in ALS may alter the course of neuropathology. However, the potential interaction of this fragment with full-length and/or truncated TDP-43 remains to be determined. On the other hand, the potential formation of hybrid TDP-43/amyloid beta and TDP-43/alpha-synuclein oligomers has been documented in AD brains (Guerrero-Munoz et al., 2014), but the precise nature of these structures is unclear, as well as the involvement of the respective truncated products. The presence of multiple neurotoxic protein fragments in a variety of conditions provides an opportunity to investigate their potential pathological associations and molecular interactions. Thus, implementation of new diagnostic reagents and tools is critical to reliably address this fundamental question in the field.

**INSIGHTS FROM ANIMAL MODELS CARRYING TDP-43 CTFs**

Numerous animal models, ranging from yeast to primates, have been generated to study TDP-43 proteinopathies in vivo. Overexpression of both mouse and human WT TDP-43 in mice induces neurodegeneration (Tsai et al., 2010; Wils et al., 2010), whereas loss of function of TDP-43 leads to embryonic lethality (Lutz, 2018; Philips and Rothstein, 2015). Partial loss of function of TDP-43 also appears to be neurodegenerative, but mice still die within a few months (Yang et al., 2014a). It is worth mentioning that detection of TDP-43 fragments correlates with very aggressive phenotypes in mice over-expressing both WT and mutant TDP-43 (Stallings et al., 2010; Wegorzewska et al., 2009; Wils et al., 2010; Xu et al., 2010). However, these data should be interpreted with caution because manipulation of TDP-43 in mice appears to be challenging. In fact, it has been reiterated that TDP-43 toxicity in mice is dose-dependent, and that a few folds increase of WT or mutant TDP-43 leads to a range of toxicity from mild neuronal defects to severe lifespan reduction (Barmada et al., 2014; Da Cruz and Cleveland, 2011; Janssens et al., 2013; Wegorzewska and Baloh, 2011). Most robust mouse models either produce TDP-43 CTFs after cleavage or express CTFs transgenes on their own (Table 3). A knock-in mouse model expressing human mutant TDP-43 was recently created, which exhibits the full spectrum of ALS-like pathology (Huang et al., 2020). In these mice, the presence of TDP-43 fragments accumulate in various neurodegenerative disorders

Cytoplasmic accumulation of TDP-35 and TDP-25 CTFs can be found in primary (ALS and FTLD) and secondary (AD, CBD, PD, TBI) TDP-43 proteinopathies. Although TDP-43 pathology is also present in Huntington Disease and several Spinocerebellar ataxia (SCA) forms such as SCA2, SCA3 and SCA7, the presence of TDP-43 fragments in these polyglutamine disorders remain elusive.
truncation is associated with disease onset which is followed by progressive neurodegeneration, motor defects, and death. This physiologically relevant model shows high levels of TDP-43 in the spinal cord but not in brain. Accordingly, the levels of TDP-35 are also elevated in the spinal cord, but in an age-dependent manner, exerting great analogy to ALS. Previous knock-in models failed to exhibit such robust phenotypes probably due to dose-dependency of the mutant transgenes (Ebstein et al., 2019; White et al., 2018). TDP-43 regulates its own expression (Budini and Buratti, 2011). This autoregulation can be impaired under certain pathological conditions, inducing abnormal levels of endogenous TDP-43 or even higher levels of transgenic TDP-43 protein in model organisms. In the case of mutant transgenic TDP-43, its stability may increase (Ling et al., 2010), resulting in higher TDP-43 protein levels. To avoid uncontrolled overexpression of the transgene, a knock-in mouse model was created using CRISPR-Cas9 technology (White et al., 2018). This pathophysiologically improved mouse model showed cognitive impairment and interneuronal dysfunction, yet no motor defects in spite of vast arrays of abnormal splicing. Another study reported that knock-in TDP-43M337V mice display mild neuronal defects but high dysregulation of TDP-43-linked splicing mechanisms (Watanabe et al., 2020). Here, the authors suggested that the short life span of mice (~2–2.5 years) may be insufficient to observe a significant gain-of-function phenotype via splicing dysregulations in the mutant mice, consistent with earlier reports (Ebstein et al., 2019; Fratta et al., 2018). In contrast, as shown in Table 3, TDP-25 mice and rat models show reduced motor function (Caccamo et al., 2015; Dayton et al., 2013). Truncation of RRM domains in this case is expected to result in dysfunctional splicing (Figure 2). However, the mechanism of splicing dysfunction and its role in neurodegeneration remain to be elucidated.

In addition to ALS models, TDP-43 CTFs were also expressed in a conditional mouse model of FTLD (Walker et al., 2015). In this work, cytosolic accumulation of truncated TDP-43 resulted in phosphorylation of endogenous full-length TDP-43 and hippocampal neurodegeneration. These phenotypes were alleviated when CTFs expression was silenced in old mice, supporting the pathogenic role of TDP-43 CTFs. On the other side, amyloid beta (Aβ) expression has been reported to trigger TDP-43 pathology with significant TDP-43 truncation in AD mouse models (Herman et al., 2011), but TDP-43 depletion has also been linked to neurodegeneration in AD mice (LaClair et al., 2016). These observations highlight an important cross talk between Aβ and TDP-43-mediated pathologies. Truncated TDP-43 was also observed in rats that survived from acute ischemic strokes (Kanazawa et al., 2011; Thammisetty et al., 2018) and mislocalization, phosphorylation and cleavage of TDP-43 into TDP-25 and TDP-35 were evident in mouse and rat models of traumatic brain injury (Huang et al., 2017; Tan et al., 2018; Wang et al., 2015a). These studies suggest that TDP-43 insults could be determined, at least in part, through mechanisms involving production of CTFs, even beyond the ALS-FTD spectrum.

Invertebrate organisms have also contributed to understand TDP-43 function and pathology. For instance, Drosophila null models of TBPH, the fly ortholog of human TDP-43, display motor dysfunction along with

### Table 3. Animal models expressing truncated TDP-43

| CTF transgene | Model organism | Phenotypes and key characteristics | Reference |
|---------------|----------------|------------------------------------|-----------|
| TDP-25        | Rat (Rattus)   | Forelimb impairment                | (Dayton et al., 2013) |
| TDP-25        | Mouse (Mus musculus) | Reduced motor function, impaired autophagy and proteasomal function | (Caccamo et al., 2015) |
| hTDP-25       | Fruit fly (Drosophila melanogaster) | Reduced longevity and locomotor function when expressed in motor neurons | (Gregory et al., 2012) |
| TDP-43CTF (~25 kDa) | " | Reduced longevity and induced Caspase-3 activation when expressed in motor neurons | (Voigt et al., 2010) |
| Flag-TDP-35   | " | Pupal lethality when expressed in the eye | (Crippa et al., 2016) |
| Flag-TDP-25   | " | Mild disorganization of retinal structures when expressed in the eye | (Crippa et al., 2016) |
| TDP-25-YFP    | Worm (Caenorhabditis elegans) | Protein aggregation and locomotor defects | (Zhang et al., 2011) |
defective neuromuscular junctions in larvae (Diaper et al., 2013), which supports the fundamental role of TDP-43 in vivo. In contrast, expression of the human TDP-43M337V mutant in flies leads to dose-dependent toxicity (Ritson et al., 2010), the severity of which correlates with higher accumulation of TDP-35 and TDP-25. Transgenic worm models of C. elegans expressing several ALS-linked mutants of TDP-43 (G290A, A315T and M337V) exhibit phosphorylation, ubiquitination and truncation of the protein along with motor dysfunction (Liachko et al., 2010). To better understand how different CTFs behave in vivo, Drosophila models that independently express TDP-25 and TDP-35 at similar expression levels were created (Crippa et al., 2016). In this case, TDP-25 showed mild toxicity, while TDP-35 induced lethality compared to flies expressing full-length TDP-43. We anticipate that conditional expression of TDP-35 in adult flies will help to define its precise pathological role.

TDP-43 proteinopathies have diverse symptoms ranging from motor defects in ALS to cognitive impairments in FTLD and AD. The animal models discussed above provide insights into how TDP-43 fragments may correlate with neuropathological and disease-linked behavioral changes. However, understanding the relevance of TDP-43 fragments in phenotypic manifestation has been challenging. As shown in Table 3, several models of truncated TDP-43 show motor defects along with neurodegeneration. This is controversial because, unlike many studies, some reports do not show TDP-43 fragments in animal models (Wegorzewska and Baloh, 2011). Varied range of phenotypes in different models may be attributed to the use of different expression systems, distinct mutations and even different methods of detection. Species-specific mechanisms may also influence the outcome as significantly higher CTFs were detected in primates compared to mice using the same mutant TDP-43M337V transgene (Yin et al., 2019). Also, the splicing function of TDP-43 may affect phenotypes differently in animal models. For instance, a mediator of motor neuron growth, STMN2, is not regulated by TDP-43 in mice (Klim et al., 2019; Melamed et al., 2019). A similar argument can be made for TDP-43-mediated repression of non-conserved cryptic exons (Ling et al., 2015). On the other side, elimination of the aggregation prone C-terminal domain in TDP-ΔC mice results in mild age-related motor dysfunction (Nishino et al., 2019), suggesting that CTF aggregation may not be required for age-dependent neurodegeneration in mice. Hence, the extent to which truncated forms of TDP-43 contribute to disease pathogenesis is still unclear.

**APPROACHES TO PREVENT TDP-43 TRUNCATION AND POTENTIAL TOXICITY**

Given the potential toxic effects of TDP-43 CTFs, various approaches were undertaken to block the generation of TDP-43 fragments as well as to promote the degradation of these toxic species (Table 4). For instance, Lin et al. found that over-expression of heat shock factor 1 (HSF1) reduces TDP-35 fragments after proteasomal inhibition. The authors also showed that HSF1 overexpression reduces the levels of cleaved (activated) Caspase 3, highlighting the potential role of HSF1 in decreasing caspase-dependent TDP-43 truncation (Lin et al., 2016). A separate report also indicated that the Caspase 4 inhibitor LEVD-fmk can reduce TDP-43 truncation (Yin et al., 2019). Since many caspases and other enzymes can also cleave TDP-43, these studies suggest that multiple approaches aimed at blocking enzymes responsible for cleaving TDP-43 may contribute to prevent TDP-43 fragmentation. Additionally, TDP-43 and its CTFs are degraded through proteasomal and autophagy pathways (Wang et al., 2010), both of which are impaired in ALS and FTD (Ling et al., 2013). Neurons are sensitive to TDP-43 levels and autophagy induction can mitigate neurodegeneration through preventing cell death and clearing excess TDP-43 (Barmada et al., 2014). Recently, Cicardi et al. provided evidence that induction of the proteasome or autophagy can promote degradation of TDP-43, TDP-35 and TDP-25 in motor neurons and in myoblasts (Cicardi et al., 2018). Since the ratio of BAG1/BAG3 determines the route of degradation for ubiquitinated proteins (Gamerdinger et al., 2009), the authors used BAG1 overexpression to degrade TDP-43 and its truncated forms through proteasome, while achieving their autophagic degradation through BAG3 overexpression. Interestingly, an interacting partner of BAG3, HSPB8 also reduces truncated TDP-43 (TDP-25 and TDP-35) mediated toxicity in flies and mammalian neuronal cells (Crippa et al., 2016). Further, an efficient degradation of truncated TDP-43 forms was achieved via autophagy by Rapamycin administration, a well-known autophagy inducer. Rapamycin treatment significantly contributes to clearing of both TDP-25 and TDP-35 (Wang et al., 2012b), whileRaloxifene, a drug with estrogenic properties, reduces TDP-25-mediated toxicity through enhancing autophagy and reducing apoptosis in cultured cells (Zhou et al., 2018). Valproate is another drug reported to ameliorate TDP-25 toxicity through regulation of ER stress and autophagy (Wang et al., 2015b). In addition to the clearance mechanisms via autophagy induction, the herbal product Berberine can reverse accumulation of insoluble TDP-25 aggregates in neuronal cell cultures by deregulating mTOR/p70S6K pathway (Chang et al., 2016). Several studies have relied on the mTOR pathway as an...
effective mean of inducing autophagy, but it is considered to be less efficient in neurons (Tsvetkov et al., 2010). Hence, it should be of interest to test novel small molecules to achieve autophagy induction beyond the mTOR pathway while avoiding neurotoxicity. Despite the overall progress in this area (Table 4), we are still far from any robust treatment for TDP-43 proteinopathies. More comprehensive and integrative studies are required to understand the pathobiology of TDP-43 fragmentation and to identify effective therapeutic targets. Integrating the mechanisms that generate TDP-43 fragments through alternative splicing may also contribute to that end.

CONCLUDING REMARKS

The presence of TDP-43 fragments in a broad spectrum of neurodegenerative diseases has been extensively documented. Still, key questions regarding their potential neurotoxic role remain unanswered. Cumulative evidence suggests that TDP-43 CTFs may mediate toxicity through a toxic “gain-of-function” due to their deleterious effects in the cytosol, and also to a “loss-of-function” in the nucleus due to sequestration of WT TDP-43 into cytoplasmic inclusions. TDP-43 is an essential RNA-binding protein that regulates the processing of thousands of target RNAs and, therefore, its loss-of-function effect is not surprising. Considering the pivotal role of TDP-43 CTFs in generating toxic protein aggregates, it is tempting to speculate that these species may serve as key players in the development and/or progression of TDP-43 proteinopathies. These toxic aggregates could facilitate the entrapment of functional WT TDP-43, resulting in a severe “domino effect” with multiple adverse impacts on cellular homeostasis. Thus, it is of paramount importance to continue studying the role of TDP-43 CTFs in the pathogenesis of many age-related neurodegenerative disorders including ALS, FTLD and AD. In this regard, the development of antibodies that could recognize specific CTFs in human tissues as well as tools for reliable detection of TDP-43 amyloids will accelerate progress in the field.

On the other side, it is critical to clarify the controversial role of TDP-43 fragments in disease pathogenesis. Earlier studies demonstrated that TDP-43 CTFs seem to be pathogenic triggers as they appear before the development of symptoms in transgenic mice (Wegorzewska et al., 2009). However, other reports show little or no TDP-43 fragments, questioning their pathological significance. These discrepancies could be due to differences in experimental models and procedures, detection methods, species-specific mechanisms and perhaps differences in cell vulnerability. In addition, the recent discovery of neurotoxic TDP-43 NTFs created by alternative splicing (sTDP43) adds another layer of complexity. These alternative splicing variants accumulate in the cytosol due to a unique NES domain and seem to aggregate before nuclear depletion and cytosolic sequestration of full-length TDP-43, suggesting an early involvement in disease pathogenesis. However, these isoforms have not been studied yet in animal models and the extent and prevalence of sTDP43 pathology in ALS and related disorders are largely unknown. A more integrative framework will be needed to fully address these major questions in the field. Thus, it is still unclear if TDP-43 fragments are triggers, byproducts, or late-stage contributors of pathogenesis.

### Table 4. Therapeutic approaches to ameliorate toxicity of TDP-43 CTFs

| Approach     | Category       | Mechanism of action                                                                 | Reference                        |
|--------------|----------------|-------------------------------------------------------------------------------------|----------------------------------|
| Raloxifene   | Small molecule | Limits TDP-25 toxicity through autophagy                                            | (Zhou et al., 2018)              |
| Valproate    | Small molecule | Attenuates TDP-25 toxicity mediated by ER stress and autophagy                     | (Wang et al., 2015b)            |
| HSF1 overexpression | Transcription factor | Reduces TDP-35 levels, even after proteasomal inhibition | (Lin et al., 2016)             |
| Berberine    | Plant product  | Reverses TDP-25 accumulation                                                        | (Chang et al., 2016)             |
| Rapamycin    | Small molecule | Clears TDP-25 and TDP-35 through autophagy                                           | (Wang et al., 2012b)            |
| LEVD-fmk     | Small molecule | Inhibits Caspase 4 and reduces TDP-43 truncation                                      | (Yin et al., 2019)              |
| HSPB8 overexpression | Chaperone | Reduces TDP-25 levels in motor neurons as well as in myocytes through autophagy | (Cicardi et al., 2018)            |
| KPT-335      | Small molecule | Suppresses CTF-linked toxicity through inhibition of the Xpo1/Crm1 nuclear export pathway | (Chou et al., 2018)             |
SUPPLEMENTAL INFORMATION

Supplemental Information can be found online at https://doi.org/10.1016/j.isci.2021.102459.

ACKNOWLEDGMENTS

We thank all Rincon-Limas lab members for valuable discussions. This work was supported by National Institutes of Health grant R01AG059871 to D.E.R.-L.

AUTHORS CONTRIBUTIONS

D.C. and D.E.R.-L. conceived the original idea and designed the outlines of the study. D.C. performed literature review, wrote the first draft, and prepared the figures. D.C., A.M.P., and D.E.R.-L. revised the manuscript. All authors read and approved the final manuscript.

DECLARATION OF INTERESTS

The authors declare no competing interests.

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