Complying with Data Handling Requirements in Cloud Storage Systems

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Abstract—In past years, cloud storage systems saw an enormous rise in usage. However, despite their popularity and importance as underlying infrastructure for more complex cloud services, today’s cloud storage systems do not account for compliance with regulatory, organizational, or contractual data handling requirements by design. Since legislation increasingly responds to rising data protection and privacy concerns, complying with data handling requirements becomes a crucial property for cloud storage systems. We present PRADA, a practical approach to account for compliance with data handling requirements in key-value based cloud storage systems. To achieve this goal, PRADA introduces a transparent data handling layer, which empowers clients to request specific data handling requirements and enables operators of cloud storage systems to comply with them. We implement PRADA on top of the distributed database Cassandra and show in our evaluation that complying with data handling requirements in cloud storage systems is practical in real-world deployments such as microblogging and distributed storage of email.

Index Terms—cloud computing, data handling, compliance, distributed databases, privacy, public policy issues

1 INTRODUCTION

Noradays, it is common for web services to outsource the storage of data to cloud storage systems. While this offers multiple benefits, clients and lawmakers frequently insist that storage providers comply with different data handling requirements (DHRs), ranging from restricted storage locations or durations [1], [2], [3] to certain properties of the storage medium such as full disk encryption [4], [5]. However, cloud storage systems do not support compliance with DHRs today. Instead, the decision on which nodes to store data is primarily taken with the intention to optimize reliability, availability, and performance, and thus mostly ignoring the demand for support of DHRs. Even worse, DHRs are becoming increasingly diverse, detailed, and difficult to check and enforce [6], while cloud storage systems are becoming more versatile, spanning different continents [7] or infrastructures [8], and even different second-level providers [9], [10]. Hence, clients currently have no control over compliance with DHRs when their data is outsourced to cloud storage systems.

This apparent lack of control is not merely an academic problem. Since customers have no influence on the treatment of their data after it has passed into today’s cloud storage systems, a large set of customers cannot benefit from the advantages of cloud storage systems. The Intel IT Center surveys [11] among 800 IT professionals, that 78% of their organizations have to comply with regulatory mandates. Again, 78% of these organizations are concerned that cloud offers are unable to meet their requirements. In consequence, 57% of these organizations actually refrain from outsourcing regulated data to the cloud. The lack of control over the treatment of data in cloud storage hence scares away a large set of clients. This especially holds for the healthcare, financial, and government sectors [11].

Supporting powerful DHRs enables these clients to dictate adequate treatment of their data and thus allows cloud storage operators to break into new markets. Additionally, it empowers operators to efficiently handle the differences in regulations [12] (e.g., data protection and privacy). Although the demand for DHRs is widely acknowledged, practical support is still severely limited [11], [13], [14]. Related work primarily focuses on enforcing DHRs while processing data [15], [16], [17], limits itself to location requirements [18], [19], or treats the storage system as a black box and tries to enforce DHRs at a coarse granularity from the outside [14], [20], [21]. Practical solutions for enforcing arbitrary DHRs when storing data in cloud storage systems are still missing – a situation that is disadvantageous to both the clients and operators of cloud storage systems.

Our contributions. In this paper, we present PRADA, a general key-value based cloud storage system that offers rich and practical support for DHRs to overcome current compliance limitations. Our core idea is to add one layer of indirection, which flexibly and efficiently routes data to storage nodes according to the imposed DHRs. We demonstrate this approach along classical key-value stores, while our approach also generalizes to more advanced storage systems such as Google’s Spanner [22], Clustrix [23] and VoltDB [24], which are widely used in real-world deployments. Specifically, we make the following contributions:

1) We comprehensively analyze DHRs and the challenges they impose on cloud storage systems. Our analysis shows that a wide range of DHRs exist, which clients and operators of cloud storage systems have to address.
2) We present PRADA, our approach for supporting DHRs in cloud storage systems. PRADA adds an indirection layer on top of the cloud storage system to store data tagged with DHRs only on nodes that fulfill these requirements. Our design of PRADA is incremental, i.e., it does not impair data without DHRs. PRADA supports all DHRs that can be expressed as properties of storage nodes as well as any combination thereof. As we show, this covers a wide range of actual use cases.

3) We prove the feasibility of PRADA by implementing it for the distributed database Cassandra and by quantifying the costs of supporting DHRs in cloud storage systems. Additionally, we show PRADA’s applicability along two use cases on real-world datasets, i.e., a Twitter clone storing two million authentic tweets and a distributed email store handling half a million emails.

A preliminary version of this paper appears as “Practical Data Compliance for Cloud Storage” in the proceedings of the 2017 IEEE International Conference on Cloud Engineering [25]. We extend and improve on our previous work in the following ways: First, we provide a detailed analysis and definition of the challenge of DHR compliance in cloud storage systems and develop a formalization of DHRs. Second, we extend PRADA with mechanisms for failure recovery, especially during processing of database operations. Third, we provide details on a full implementation of PRADA on top of Apache Cassandra. Fourth, we show the applicability of PRADA by using it to realize compliance with DHRs in two real-world use cases: a microblogging system and a distributed email management system. Finally, we consider a broader range of related work and generally provide more detail on all aspects of related work as well as the design, implementation, and evaluation of PRADA.

Structure of this paper. In Section 2, we analyze DHRs, derive a formalization for supporting arbitrary DHRs, and set out goals for realizing support for DHRs in cloud storage systems. We provide an overview of PRADA, our design for supporting DHRs in cloud storage systems, in Section 3. Then, we detail our design of PRADA with respect to individual storage operations in Section 4, replication in Section 5, load balancing in Section 6, and failure recovery in Section 7. Subsequently, we describe PRADA’s implementation in Section 8 and evaluate its performance and applicability in Section 9. We present related work in Section 10 and conclude with a discussion in Section 11.

2 Data Compliance in Cloud Storage

With the increasing demand for sharing data and storing it with external parties [26], obeying with DHRs becomes a crucial challenge for cloud storage systems [13], [14], [27]. To substantiate this claim, we outline our setting and rigorously analyze existing DHRs and those that might arise in the future. Based on this, we derive a formalization of DHRs that allows us to account for all possible types of DHRs. We conclude with a set of goals that must be reached to adequately support DHRs in cloud storage systems.

2.1 Setting

In this paper, we tackle the challenge of supporting data compliance in cloud storage systems. We consider a cloud storage system that is realized over a set of diverse nodes that are spread over different data centers [26]. To explain our approach in a simple yet general setting, we assume that data is addressed by a distinct key, i.e., a unique identifier for each data item. Key-value based cloud storage systems [29], [30], [31], [32] provide a general, good starting point for our line of research, since they are widely used and their underlying principles have been adopted in other, more advanced cloud storage systems [22], [23], [24]. We discuss how our approach can be applied to other types of cloud storage systems in Section 11.

As a basis for our discussion, we illustrate our setting in Figure 1. Clients (end users and companies) insert data into the cloud storage system and annotate it with their desired DHRs. These requirements are in textual form and can be parsed and interpreted by the operator of the cloud storage system. The process of annotating data with DHRs is also known as sticky policies [33], [34] or data handling annotations [13], [27]. Each client of the storage system might impose individually and varying DHRs for each single data item inserted into the storage system.

In this setting, compliance with DHRs then has to be achieved and enforced by the operator of the cloud storage system. Only the operator knows about the characteristics of the storage nodes and only the operator can thus make the ultimate decision on which node to store a specific data item. Different works exist that propose cryptographic guarantees [16], accountability mechanisms [35], information flow control [6], [36], or even virtual proofs of physical reality [37] to relax trust assumptions on the cloud operator, i.e., providing the client with assurance that DHRs are (strictly) adhered to. Our goals are different: Our main aim is for functional improvements of the status quo. Thus, these works are orthogonal to our approach and can possibly be combined if the cloud operator is not sufficiently trusted.

2.2 Data Handling Requirements

We analyze DHRs from client and operator perspective and identify common classes, as well as the need to support also future and unforeseen requirements.

Client perspective. DHRs involve constraints on the storage, processing, distribution, and deletion of data in cloud storage. These constraints follow from legal (laws and regulations) [38], [39], contractual (standards and specifications) [40], or intrinsic requirements (user’s or company’s individual privacy requirements) [41], [42]. Especially for businesses, compliance with legal and contractual obligations is important to avoid serious (financial) consequences [43].
**Location requirements** relate to the storage location of data. On one hand, these requirements address concerns raised when data is stored outside of specified legislative boundaries \[3, 13\]. The EU data protection directive 95/46/EC \[39\] requires data controllers from outside the EU that process data originating from the EU to follow DHRs. **Future requirements.** DHRs are likely to change and evolve just as legislation and cloud storage technologies are changing and evolving over time. Location requirements developed, e.g., since cloud storage systems began to span multiple regions with different legislations. Anticipating all possible future changes in DHRs is impossible. Hence, it is crucial that solutions for supporting DHRs in cloud storage systems can easily adapt to new requirements.

**Data handling requirements.** To design for maximum flexibility and thus be able to cope with future requirements and cloud architectures, we base our approach on a formalized understanding of DHRs that also covers future, yet unforeseen requirements. To this end, we distinguish between different types of DHRs \(T_i = (P_i, f_i)\) where \(P_i = \{p_{i,1}, \ldots, p_{i,n}\}\) defines all possible properties which storage nodes (can) support for a type of DHRs and \(f_i(p_{i,1}, p_{i,2}, \ldots, p_{i,m}) \rightarrow \{true, false\}\) constitutes a comparison function for two properties of the same type. This comparison function enables evaluating whether properties demanded by clients are supported by storage nodes. Hence, it is possible to compute the set of eligible nodes for a specified type of DHRs, i.e., those nodes that offer the desired properties.

A simple example for a type \(T_i\) of DHRs is storage location. In this example, the properties \(p_i\) consist of all possible storage locations and the comparison function \(f_i\) tests two storage locations for equality. In a more complicated example, we consider as DHR type \(T_i\) the security level of full-disk encryption. Here, the properties \(p_i\) range from 0 bits (no encryption) to different bits of security (e.g., 192 bits or 256 bits), with more bits of security offering a higher security level \[52\]. In this case, the comparison function implements \(\geq\), i.e., all storage nodes that provide at least the security level requested by the client are eligible to store the data.

By combining different types of DHRs and allowing clients to specify a set of requested properties (e.g., different storage locations) for each type, we provide them with powerful means to express their DHRs. We provide more detail on how clients can combine different types of DHRs in Section 4 and how we integrate our formalization of DHRs into Cassandra’s query language in Section 5.

**2.3 Goals**

Our analysis of real-world demands for DHRs based on legislation, business interests, and future trends emphasizes the importance to support DHRs in distributed cloud storage. We now derive a set of goals that any approach that addresses this challenging situation should fulfill:

**Comprehensiveness:** To address a wide range of DHRs, the approach should work with any DHRs that can be expressed as properties of a storage node and support the combination of multiple, different DHRs. In particular, it should support the requirements stated in Section 2.2 and be able to evolve once new DHRs emerge.

**Minimal performance effort:** Cloud storage systems are highly optimized and trimmed for performance. Thus, the impact of DHRs support on the performance of a cloud storage system should be minimized.

**Traits requirements** further define how data should be stored. As an example, the US Health Insurance Portability and Accountability Act (HIPAA) \[38\] requires health data to be securely deleted before a storage medium is disposed or reused. Likewise, for the banking and financial services industry in the USA, the Gramm-Leach-Bliley Act (GLBA) \[4\] requires the proper encryption of stored customer data. Additionally, to protect against theft or seizure, clients may choose to store their data only on volatile \[47\] or fully encrypted \[5\] storage device.

**Operator perspective.** The support of DHRs presents clear business incentives to cloud storage operators as it opens new markets and eases regulation compliance. **Business incentives** are given by the unique selling point that DHRs present to the untapped market of clients that are not able to outsource their data to cloud storage systems nowadays due to unfulfillable DHRs \[11\]. Indeed, we observe that cloud providers in the past already adapted to some carefully selected requirements. To be able to sell its services to the US government, e.g., Google created the segregated “Google Apps for Government” and had it certified at the FISMA-Moderate level, which enables use by US federal agencies and their partners \[43, 48\]. Furthermore, cloud providers continue to open data centers around the world to address location requirements of their clients \[8\]. From a different perspective, regional clouds, e.g., the “Europe-only” cloud currently discussed in the EU \[49, 50\], aim at increasing governance and control over data. Additionally, offering clients more control over their data reduces risks for loss of reputation and credibility \[51\].

**Compliance with legislation** is important for operators independent of their specific business goals and incentives. As an example, the business associate agreement of HIPAA \[38\] essentially requires the operator to comply with the same requirements as its clients when transmitting electronic health records \[1\]. Furthermore, the EU data protection directive 95/46/EC \[39\] requires data controllers outside the EU to follow DHRs.
Cluster balance: In existing cloud storage systems, the storage load of nodes can easily be balanced to increase performance. Despite having to respect DHRs (and thus limiting the set of possible storage nodes), the storage load of individual storage nodes should be kept balanced.

Coexistence: Not all data will be accompanied by DHRs. Hence, data without DHRs should not be impaired by supporting DHRs, i.e., it should be stored in the same way as in a traditional cloud storage system.

# 3 System Overview

In this section, we describe PRADA, our approach to support data handling requirements (DHRs) in key-value based cloud storage systems meeting the goals stated in Section 2.3. The problem that has prevented support for DHRs so far stems from the common pattern used to address data in key-value based cloud storage systems: Data is addressed, and hence also partitioned (i.e., distributed to the nodes in the cluster), using a designated key. Yet, the responsible node (according to the key) for storing a data item will often not fulfill the client’s DHRs. Thus, the challenge addressed in this paper is how to realize compliance with DHRs and still allow for key-based data access.

To tackle this challenge, the core idea of PRADA is to add an indirection layer on top of a cloud storage system. We illustrate how we integrate this layer into existing cloud storage systems in Figure 2. Whenever a responsible node cannot comply with the stated DHRs, we store the data item at a different node, called target node. To enable the lookup of a data item, the responsible node stores a reference to the target for this data item. As shown in Figure 2, we introduce three new storage components (capability, relay, and target store) to realize PRADA, as described in the following.

**Capability store:** The global capability store is used to look up nodes that can comply with a specific DHR. In the context of this work, we consider all DHRs that describe properties of a storage node and range from rather simplistic properties such as storage location to more advanced capabilities such as the support for deleting data at a specified point in time. Notably, we focus on providing the possibility to enforce such DHRs. The concrete realization (e.g., the actual deletion of data) has to be realized by the operator of the storage system in a second step. To speed up lookups in the capability store, each node keeps a local copy. Depending on the individual cloud storage system, distributing this information can be realized by preconfiguring the capability store for a storage cluster or by utilizing the storage system itself for creating a globally replicated view of node capabilities.

**Relay store:** Each node operates a local relay store containing references to data stored at other nodes. More precisely, it contains references to data the node itself is responsible for but does not comply with the DHRs posed during insertion. For each data item, the relay store contains the key of the data, a reference to the node at which the data is actually stored, and a copy of the DHRs.

**Target store:** Each node stores data that is redirected to it in a target store. The target store operates exactly as a traditional data store, but allows a node to distinguish data that falls under DHRs from data that does not.

Alternatives to adding an indirection layer are likely not viable for scalable key-value based cloud storage systems: Although it is possible to encode very short DHRs in the key used for data access, this requires knowledge about DHRs of a data item to compute the key for accessing it and disturbs load balancing. Alternatively, replication of all relay information on all nodes of a cluster allows nodes to derive relay information locally. This, however, severely impacts scalability of the cloud storage system and reduces the total storage amount to the limited storage space of single nodes.

Integrating PRADA into a cloud storage system requires us to adapt storage operations (e.g., creating and updating data) and to reconsider replication, load balancing, and failure recovery strategies in the presence of DHRs. In the following, we describe how we address these issues.

# 4 Cloud Storage Operations

The most important modifications and considerations of PRADA involve the CRUD (create, read, update, delete) operations of cloud storage systems. In the following, we describe how we integrate PRADA into the CRUD operations of our cloud storage model (as introduced in Section 2.1). To this end, we assume that queries are processed on behalf of the client by one of the nodes in the cluster, the coordinator node (this is the prevalent deployment model for cloud storage [30]). Each node of the cluster can act as coordinator for a query and a client application will select one randomly. For reasons of clarity, we postpone the discussion of the impact of different replication factors and load balancing decisions to Section 5 and Section 6, respectively.

**Create.** The coordinator first checks whether a create request is accompanied by DHRs. If no requirements are specified, the coordinator uses the standard method of the cloud storage system to create data so that the performance of native create requests is not impaired. For all data with DHRs, a create request proceeds in three steps as illustrated in Figure 3. In Step 1, the coordinator derives the set of eligible nodes from the received DHRs, relying on the capability store (as introduced in Section 3) to identify nodes that fulfill all requested DHRs. Now, the coordinator knows which nodes of the cluster can comply with all requirements specified by the user and has to choose from the set of eligible nodes the target node on whom to store the data. It is important

1. Clients can combine different types of DHRs (e.g., location and support for deletion). Nodes are eligible if they support at least one of the specified properties for each requested type (e.g., one out of multiple permissible locations).
to select the target such that the overall storage load in the cluster remains balanced (we defer the discussion of this issue to Section 6). In Step 2, the coordinator forwards the data to the target, who stores it in its target store. Finally, in Step 3, the coordinator instructs the responsible node to store a reference to the actual storage location of the data to enable locating data upon read, update, and delete requests. The coordinator acknowledges the successful insertion after all three steps have been completed successfully. To speed up create operations, the second and third step—although logically separated—are performed in parallel.

**Read.** Processing read requests in PRADA is performed in three steps as illustrated in Figure 3. In Step 1, the coordinator uses the key supplied in the request to initiate a standard read query at the responsible node. If the responsible node does not store the data locally, it checks its local relay store for a reference to a different node. Should it hold such a reference, the responsible node forwards the read request (including information on how to reach the coordinating node for this request) to the target listed in the reference in Step 2. In Step 3, the target looks up the requested data in its target store and directly returns the query result to the coordinator. Upon receiving the result from the target, the coordinator processes the results in the same way as any other query result. If the responsible node stores the requested data locally (e.g., because it was stored without DHRs), it directly answers the request using the default method of the cloud storage system. In contrast, if the responsible node neither stores the data directly nor a reference to it, PRADA will report that no data was found using the standard mechanism of the cloud storage system.

**Update.** The update of already stored data involves the (potentially partial) update of stored data as well as the possible update of associated DHRs. In the scope of this paper, we define that DHRs of the update request supersede DHRs supplied with the create request and earlier updates 2. We thus process update requests the same way as create requests (as it is often done in cloud storage systems). Whenever an update request needs to change the target node(s) of stored data (due to changes in supplied DHRs), the responsible node has to update its relay store. Furthermore, the update request needs to be applied to the data (currently stored at the old target node). To this end, the responsible node instructs the old target node to move the data to the new target node. The new target node applies the update to the data, locally stores the result, and acknowledges the successful update to coordinator and responsible node and the responsible node updates the relay information. As updates for data without DHRs are directly sent to the responsible node, the performance of native requests is not impaired compared to an unmodified system.

**Delete.** In PRADA, delete requests are processed analogously to read requests. The delete request is sent to the responsible node of the key that should be deleted. If the responsible node itself stores the data, it deletes the data as in an unmodified cloud storage system. In contrast, if it only stores a reference to the data, it deletes the reference and forwards the delete request to the target. The target deletes

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2. Other semantics, e.g., combining old and new DHRs, can be realized by slightly adapting the update procedure of PRADA.

### 5 Replication

Cloud storage systems employ replication to realize high availability and data durability [30]. Instead of storing a data item only on one node, it is stored on \( r \) nodes (typically, with a replication factor \( 1 \leq r \leq 3 \)). In key-value based storage systems, the \( r \) nodes are chosen based on the key identifying the data (see Section 3). When accounting for compliance with DHRs specified by clients, we cannot use the same replication strategy. In the following, we thus detail how PRADA instead realizes replication.

**Creating data.** Instead of selecting only one target, the coordinator picks \( r \) targets out of the set of eligible nodes. The coordinator sends the data to all \( r \) targets. Furthermore, the coordinator sends the list of all \( r \) targets to the \( r \) responsible nodes (according to the replication strategy of the cloud storage system). Consequently, each of the \( r \) responsible nodes knows about all \( r \) targets and can update its relay store accordingly.

**Reading data.** To process a read request, the coordinator forwards the read request to all responsible nodes. A responsible node that receives a read request for data it does not store locally looks up the targets in its relay store and forwards the read request to all \( r \) target nodes. Each target that receives a read request sends the requested data to the coordinator for this request. However, in contrast to the standard behavior, a target may receive multiple forwarded read requests. In this case, only the first response is processed and subsequent duplicates are ignored.

**Impact on reliability.** To successfully process a query in PRADA, it suffices if one responsible node and one target node are reachable. Thus, PRADA can tolerate the failure of up to \( r - 1 \) responsible nodes and up to \( r - 1 \) target nodes.
In cloud storage systems, load balancing aims to minimize (long term) load disparities in the storage cluster by distributing stored data and read requests equally among the nodes. Since PRADA drastically changes how data is assigned to and retrieved from nodes, existing load balancing schemes must be rethought. In the following, we describe a formal metric to measure load balance and then explain how PRADA builds a load-balanced storage cluster.

**Load balance metric.** Intuitively, a good load balancing aims at all nodes being (nearly) equally loaded, i.e., the imbalance between the load of nodes should be minimized. While underloaded nodes constitute a waste of resources, overloaded nodes drastically decrease the overall performance of the cloud storage system. We measure the load balance of a cloud storage system by normalizing the global standard deviation of the load with the mean load $\mu$ of all nodes [53]:

$$\mathcal{L} := \frac{1}{\mu} \sqrt{\frac{\sum_{i=1}^{N} (\mathcal{L}_i - \mu)^2}{N}}$$

with $\mathcal{L}_i$ being the load of node $i \in N$. To achieve load balance, we need to minimize $\mathcal{L}$. This metric especially penalizes outliers with extremely low or high loads, following the intuition of a good load balance.

**Load balancing in PRADA.** Key-value based cloud storage systems achieve a reasonably balanced load in two steps: (i) Equal distribution of data at insert time, e.g., by applying a hash function to identifier keys, and (ii) re-balancing the cluster if absolutely necessary by moving data between nodes. More advanced systems support additional mechanisms, e.g., load balancing over geographical regions [22]. Since our focus in this paper lies on proving the general feasibility of supporting data compliance in cloud storage, we focus on the properties of key-value based storage.

Re-balancing a cluster by moving data between nodes can be handled by PRADA similarly to moving data in case of node failures (Section 7). In the following, we thus focus on the challenge of load balancing in PRADA at insert time. Here, we focus on equal distribution of data with DHRs to target nodes as load balancing for indirection information is achieved with the standard mechanisms of key-value based cloud storage systems, e.g., by hashing identifier keys.

In contrast to key-value based cloud storage systems, load balancing in PRADA is more challenging: When processing a create request, the eligible target nodes are not necessarily equal as they might be able to comply with different DHRs. Hence, some eligible nodes might offer rarely supported but often requested requirements. Foreseeing future demands is notoriously difficult [54], thus we suggest to make the load balancing decision based on the current load of the nodes. This requires all nodes to be aware of the load of the other nodes in the cluster. Cloud storage systems typically already exchange this information or can be extended to do so, e.g., using efficient gossiping protocols [55]. We utilize this load information in PRADA as follows. To select the target nodes from the set of eligible nodes, PRADA first checks if any of the responsible nodes are also eligible to become a target node and selects those as target nodes first. This allows us to increase the performance of CRUD requests as we avoid the indirection layer in this case. For the remaining target nodes, PRADA selects those with the lowest load. To have access to more timely load information, each node in PRADA keeps track of all create requests it is involved with. Whenever a node itself stores new data or sends data for storage to other nodes, it increments temporary load information for the respective node. This temporary node information is used to bridge the time between two updates of the load information. As we will show in Section 7.2, this approach enables PRADA to adapt to different usage scenarios and quickly achieve a (nearly) equally balanced storage cluster.

**7 Failure Recovery**

When introducing support for DHRs to cloud storage systems, we must be sure not to break their failure recovery mechanisms. With PRADA, we specifically need to take care of dangling references, i.e., a reference pointing to a node that does not store the corresponding data, and unreferenced data, i.e., data stored on a target node without an existing corresponding reference. These inconsistencies could stem from failures during the (modified) CRUD operations as well as from actions that are triggered by DHRs, e.g., deletions forced by DHRs require propagation of meta information to corresponding responsible nodes.

**Create.** Create requests need to transmit data to the target node and to inform the responsible node to store the reference. Errors occurring during these operations can be recognized by the coordinator due to missing acknowledgments. Resolving these errors requires performing a rollback and/or reissuing actions, e.g., selecting a new target node and updating the reference. Still, also the coordinator itself can fail during the process, which may lead to unreachable data. As such failures happen only rarely, we suggest refraining from including corresponding consistency checks directly into create operations [56]. Instead, the client detects failures of the coordinator due to absent acknowledgments. In this case, the client informs all eligible nodes to remove the unreferenced data and reissues the create operation through another coordinator.

**Read.** In contrast to the other operations, a read request does not change any state in the cloud storage system. Therefore, read requests are simply reissued in case of a failure (identified by a missing acknowledgment) and no further error handling is required.

**Update.** Although update operations are more complex than create operations, failure handling can happen analogously. As the responsible node updates its reference only upon reception of the acknowledgment from the new target node, the storage state is guaranteed to remain consistent. Hence, the coordinator can reissue the process using the same or a new target node and perform corresponding cleanups if errors occur. Contrary, if the coordinator fails, information on the potentially new target node is lost. Similar to create operations, the client resolves this error by informing all eligible nodes about the failure. Subsequently, the responsible nodes trigger a cleanup to ensure a consistent storage state.

**Delete.** When deleting data, a responsible node may delete a reference but fail in informing the target node to carry out the delete. Coordinator and client easily detect this error through the absence of the corresponding acknowledgment.
Again, the coordinator or client then issue a broadcast message to delete the corresponding data item from the target node. This approach is more reasonable than directly incorporating consistency checks for all delete operations as such failures occur only rarely [56].

**Propagating target node actions.** CRUD operations are triggered by clients. However, data deletion or relocation, which may result in dangling references or unreferenced data, can also be triggered by the storage cluster or by DHRs that, e.g., specify a maximum lifetime for data. To keep the state of the cloud storage system consistent, storage nodes perform data deletion and relocation through a coordinator as well, i.e., they randomly select one of the other nodes in the cluster to perform update and delete operations on their behalf. Thus, the correct execution of deletion and relocation tasks can be monitored and repair operations can be triggered without requiring the presence of a client.

### 8 Implementation

For the practical evaluation of our approach, we fully implemented PRADA on top of Cassandra [39]. Cassandra is a distributed database that is actively being employed as a key-value based cloud storage system by more than 1500 companies with deployments of up to 75,000 nodes [57] and offers high scalability even over multiple data centers [58], which makes it especially suitable for our scenario. Cassandra also implements advanced features that go beyond simple key-value storage such as column-orientation and queries over ranges of keys, which allows us to showcase the flexibility and adaptability of our design. The implementation of PRADA into Cassandra can also be conceptually applied to other key-value based cloud storage systems.

**Background on Cassandra.** Cassandra realizes a combination of a structured key-value store and the column-oriented paradigm [59]. To this end, data in Cassandra is divided into multiple logical databases, called key spaces. A key space consists of tables which are called column families and contain rows and columns. Each row has a unique key and consists of several columns. Notably and in contrast to traditional column-oriented databases, rows of the same table do not need to have the same set of columns and columns can be added to one or more rows anytime [60].

To partition rows based on their key, Cassandra uses a distributed hash table with murmur3 as hash function. In contrast to peer-to-peer systems, each node knows about all other nodes and their ranges of the hash table. Cassandra uses the gossiping protocol Scuttlebutt [55] to efficiently distribute this knowledge as well as to detect node failure and exchange node state, e.g., the load of individual nodes.

**Information stores.** PRADA relies on three information stores: the global capability store as well as relay and target stores (cf. Section 5). We implement these as individual key spaces in Cassandra as detailed in the following. First, we realize the global capability store as a globally replicated key space initialized at the same time as the cluster. On this key space, we create a column family for each DHR type (as introduced in Section 7). When a node joins the cluster, it inserts those DHR properties it supports for each DHR type into the corresponding column family. This information is then automatically replicated to all other nodes in the cluster. For each regular key space of the database, we additionally create a corresponding relay store and target store as key spaces. Here, the relay store inherits the replication factor and replication strategy from the corresponding regular key space to achieve replication for PRADA as detailed in Section 5, i.e., the relay store will be replicated in exactly the same way as the regular key store. Hence, for each column family in the corresponding key space, we create a column family in the relay key space that acts as the relay store. We follow a similar approach for realizing the target store, i.e., we create for each key space a corresponding key space to store actual data. However, to ensure that DHRs are adhered to, we implement a DHR-agnostic replication mechanism to ensure adherence to DHRs. For each column family in the corresponding key space, we create an exact copy in the target key space to act as the target store.

While the global capability store is created when the cluster is initiated, relay and target stores have to be created whenever a new key space and column family is created, respectively. To this end, we hook into Cassandra’s CreateKeyspaceStatement class for detecting requests for creating key spaces and column families and subsequently initialize the corresponding relay and target stores.

**Creating data and load balancing.** To allow clients to specify their DHRs when inserting or updating data, we support the specification of arbitrary DHRs in textual form for INSERT requests (cf. Section 4). To this end, we add an optional postfix with REQUIREMENTS to INSERT statements by extending the grammar from which parser and lexers for CQL3 [61], the SQL-like query language of Cassandra, are generated using ANTLR [62]. Using the WITH REQUIREMENTS statement, arbitrary DHRs can be specified separated by the keyword AND, e.g., `INSERT ... WITH REQUIREMENTS location = { 'DE', 'FR', 'UK' } AND encryption = { 'AES-256' }`. In this example, any node located in Germany, France, or the United Kingdom that supports AES-256 encryption is eligible to store the inserted data. This approach enables users to specify any DHRs covered by our formalized model of DHRs (cf. Section 2.2).

To detect and process DHRs in create requests (cf. Section 4), we extend Cassandra’s QueryProcessor, specifically its `getStatement` method for processing INSERT requests. When processing requests with DHRs (specified using the WITH REQUIREMENTS statement), we base our selection of eligible nodes on the global capability store. Nodes are eligible to store data with a given set of DHRs if they provide at least one of the specified properties for each requested type (e.g., one out of multiple permitted locations). We prioritize nodes that Cassandra would pick without DHRs, as this speeds up reads for the corresponding key later on, and otherwise choose nodes according to our load balancer (cf. Section 5). Our load balancing implementation relies on Cassandra’s gossiping mechanism [80], which maintains a map of all nodes of a cluster together with their corresponding loads. We access this information using Cassandra’s `getLoadInfo` method and extend the load information with local estimators for load changes. Whenever a node sends a create request or stores data itself,
we update the corresponding local estimator with the size of the inserted data. To this end, we hook into the methods that are called when data is modified locally or forwarded to other nodes, i.e., the corresponding methods in Cassandra’s ModificationStatement, RowMutationVerbHandler, and StorageProxy classes as well as our own methods for processing requests with DHRs.

**Reading data.** To allow reading redirected data as described in Section 3, we modify Cassandra’s ReadVerbHandler class for processing read requests at the responsible node. This handler is called whenever a node receives a read request from the coordinator and allows us to check whether the current node holds a reference to another target node for the requested key by locally checking the corresponding column family within the relay store. If no reference exists, the node continues with a standard read operation for locally stored data. Otherwise, the node forwards a modified read request to each target node using Cassandra’s sendOneWay method, in which it directly requests the data from the respective target stores on behalf of the coordinator. Subsequently, the target nodes send the data directly to the coordinator node (whose identifier is included in the request). To correctly resolve references to data for which the coordinator of a query is also the responsible node, we additionally have to add corresponding checks to the LocalReadRunnable subclass of the StorageProxy class.

9 Evaluation

We perform benchmarks to quantify query completion times, storage overhead, and traffic consumption as well as show RADA’s applicability in two real-world use cases. Furthermore, we study RADA’s load behavior through simulation. Our evaluation shows that RADA meets our set goals of minimal performance effort, cluster balance, and coexistence (cf. Section 2.3).

9.1 Benchmarks

First, we benchmark query completion time, consumed storage space, and bandwidth consumption. In all settings, we compare the performance of RADA with the performance of an unmodified Cassandra installation as well as RADA*, a system running RADA but receiving only data without DHRs. This enables us to verify that data without DHRs is indeed not impaired by RADA.

We set up a cluster of 10 identical nodes (Intel Core 2 Q9400, 4 GB RAM, 160 GB HDD, Ubuntu 14.04) interconnected via a gigabit Ethernet switch. Additionally, we use one node with the same configuration to interface with the cloud storage system to perform CRUD operations. We assign each node a distinct DHR property. When inserting or updating data, clients request a set of exactly three of the available properties uniformly randomly. Each row of data consists of 200 B (+ 20 B for the key), spread over 10 columns. These are rather conservative numbers as the relative overhead of RADA decreases with increasing storage size. For each result, we performed 5 runs with 1000 operations each and depict the mean value for performing one operation with 99% confidence intervals.

**Query completion time.** The query completion time (QCT) denotes the time the coordinator takes for processing a query, i.e., from receiving it until sending the result back to the client. It is influenced by the round-trip time (RTT) between nodes in the cluster and the replication factor. We first study the influence of RTTs on QCT for a replication factor \( r = 1 \). To this end, we artificially add latency to outgoing packets for inter-cluster communication using netem to emulate RTTs of 100 to 250 ms. Our choice covers RTTs observed in communication between cloud data centers around the world [64] and verified through measurements in the Microsoft Azure cloud. In Figure 5, we depict the QCTs for the different CRUD operations and RTTs. We make two observations. First, QCTs of RADA* are indistinguishable from those of the unmodified Cassandra. Hence, data without DHRs is not impaired by RADA. Second, the additional overhead of RADA lies between 15.4 to 16.2% for create, 40.5 to 42.1% for read, 48.9 to 50.5% for update, and 44.3 to 44.8% for delete. The overheads for read, update, and delete correspond to the additional 0.5 RTT introduced by the indirection layer and is slightly worse for updates as data stored at potentially old target nodes needs to be deleted. QCTs below the RTT result from corner cases where the coordinator is also responsible for storing data.

From now on, we fix RTTs at 100 ms and study the impact of replication factors \( r = 1, 2, \text{and} 3 \) on QCTs as shown in Figure 6. Again, we observe that the QCTs of RADA* and Cassandra are indistinguishable. For increasing replication factors, the QCTs for RADA* and Cassandra reduce as it becomes more likely that the coordinator also stores the data. In this case, Cassandra optimizes queries. When considering the overhead of RADA, we witness that the QCTs for creates (overhead increasing from 14 to 46 ms) and updates (overhead increasing from 46 to 80 ms) cannot benefit from these optimizations, as this would require the coordinator to be responsible and target node at the same time, which happens only rarely. Furthermore, the increase in QCTs for creates and updates results from the overhead of handling \( r \) references at \( r \) nodes. For reads, RADA shows
an average overhead of 37 to 43 ms due to the additional 0.5 RTT for the indirection layer. For deletes, the overhead decreases from 41 to 12 ms for an increasing replication factor, which results from an increased likelihood that the coordinator node is at least either responsible or target node which avoids additional communication.

**Consumed storage space.** To quantify the additional storage space required by PRADA, we measure the consumed storage space after data has been inserted, using the cfstats option of Cassandra’s nodetool utility. To this end, we conduct insertions for payload sizes of 200 and 400 B (plus 20 B for the key), i.e., we fill 10 columns with 20 respective 40 B payload in each query, with replication factors of \( r = 1, 2, \) and \( 3 \). We divide the total consumed storage space per run by the number of insertions and show the mean consumed storage space per inserted row over all runs in Figure 7. Each additional replica increases the required storage space by roughly 90\% for Cassandra. PRADA adds an additional constant overhead of roughly 115 B per replica. While the precise overhead of PRADA depends on the encoding of DHRs and relay information, the important observation here is that it does not depend on the size of the stored data. If deemed necessary, the required storage space can be further reduced by efficiently encoding DHRs, e.g., using a storage space-efficient privacy policy language [65].

**Bandwidth consumption.** We measure the traffic consumed by the individual CRUD operations. Figure 8 depicts the mean total generated traffic per single operation. Our results show that using PRADA comes at the cost of an overhead that scales linearly in the replication factor. When considering Cassandra and PRADA\(^*\), we observe that the consumed traffic for read operations does not increase when raising the replication factor from 2 to 3. This results from an optimization in Cassandra that requests the data only from one replica and probabilistically compares only digests of the data held by the other replicas to perform post-request consistency checks. We did not include this optimization in PRADA and hence it is possible to further reduce the bandwidth consumed by PRADA by applying the same optimization. For the other operations, the overhead introduced by our indirection layer ranges from 2.4 to 3.3 kB for a replication factor of 3. For a replication factor of 1, the highest overhead introduced by PRADA peaks at 1.1 kB. Thus, we conclude that the traffic overhead of PRADA allows for a practical operation in cloud storage systems.

### 9.2 Load Distribution

To quantify the impact of PRADA on the load distribution of the overall cloud storage system, we rely on a simulation approach as this enables a thorough analysis of the load distribution and considering a wide range of scenarios.

**Simulation setup.** As we are solely interested in the load behavior, we implemented a custom simulator in Python, which models the characteristics of Cassandra with respect to network topology, data placement, and gossip behavior. Using the simulator, we realize a cluster of \( n \) nodes, which are equally distributed among the key space [60] and insert \( m \) data items with random keys. For simplicity, we assume that all data items are of the same size. The nodes operate Cassandra’s gossip protocol [55], i.e., synchronize with one random node every second and update its own load information every 60 s. We randomize the initial offset before the first gossip message for each node individually, as in reality not all nodes perform the gossip at the same point in time. We repeat each measurement 10 times with different random seeds [66] and show the mean of the load balance \( \mathcal{L} \) (cf. Section 6) with 99\% confidence intervals.

**Influence of throughput.** We expect the load distribution to be influenced by the freshness of the load information as gossiped by other nodes, which correlates with the throughput of create requests. A lower throughput results in less data being inserted between two load information updates and hence the load information remains relatively fresher. To study this effect, we perform an experiment where we simulate different insertion throughputs and hence vary the gossiping delay. We simulate a cluster with 10 nodes and \( 10^7 \) create requests, each accompanied by a DHR. Even for high throughput, this produces enough data to guarantee at least one gossip round. To challenge the load balancer, we synthetically create two types of DHRs with two properties, each supported by half of the nodes such that each combination of the properties of the two types of DHRs is supported by two or three nodes. For each create request we randomly select one of the resulting possible DHRs, i.e., demanding one property for one or two of the DHRs types.

Figure 9 shows the deviation from an even load for increasing throughput. We compare the results with the load distribution of a traditional Cassandra cluster. Additionally, we calculated the optimal solution under a posteriori knowledge by formulating the corresponding quadratic program for minimizing the load balance \( \mathcal{L} \) and solving it using CPLEX [67]. In all cases we observe that the resulting optimum leads to a load balance of 0, i.e., all nodes are equally loaded, and hence omit these results in the plot. Seemingly large confidence intervals result from the high resolution of our plot (in all scenarios, PRADA deviates less than 0.5\% from even load). The results show that
PRADA surprisingly even outperforms Cassandra for very small throughput (the load imbalance of Cassandra results from the hash function) and the introduced load imbalance for the other scenarios stays below 0.5%, even for a high throughput of 100,000 insertions/s (Dropbox processed less than 20,000 insertions/s on average in June 2015 [68]). These results indicate that frequent updates of node state result in a better load balance for PRADA.

**Influence of DHR fit.** In PRADA, one of the core influence factors on the load distribution is the accordance of clients’ DHRs with the properties provided by storage nodes. If the distribution of DHRs in create requests heavily deviates from the distribution of DHRs supported by the storage nodes, it is impossible to achieve an even load distribution. To study this aspect, we consider a scenario where each node has a storage location and clients request exactly one of the available storage locations. We simulate a cluster of 100 nodes that are geographically distributed according to the IP address ranges of Amazon Web Services [69] (North America: 64%, Europe: 17%, Asia-Pacific: 16%, South America: 2%, China: 1%). First, we insert data with DHRs whose distribution exactly matches the distribution of nodes. Subsequently, we worsen the accuracy of fit by subtracting 10 to 100% from the location with the most nodes (i.e., North America) and proportionally distribute this demand to the other locations (in the extreme setting, North America: 0%, Europe: 47.61%, Asia-Pacific: 44.73%, South America: 5.74%, and China: 1.91%). We simulate $10^7$ insertions at a throughput of 20,000 insertions/s. To put our results into perspective, we calculate the optimal load using a posteriori knowledge by equally distributing the data on the nodes of each location. Our results are depicted in Figure 10. We derive two insights from this experiment: i) the deviation from an even cluster load scales linearly with decreasing accordance of clients’ DHRs with node capabilities and ii) in all considered settings PRADA manages to achieve a cluster load that is extremely close to the theoretical optimum (increase < 0.03%). Hence, PRADA’s approach of load balancing indeed perfectly adapts to the challenges imposed by complying with DHRs in cloud storage systems.

**9.3 Applicability**

We show the applicability of PRADA by using it to realize two real-world use cases: a microblogging system and a distributed email management system. To this end, we emulate a globally distributed cloud storage using our cluster of 10 nodes (cf. Section 9.1) by modeling a worldwide distribution of nodes based on measurements we performed in Microsoft’s Azure Cloud. We emulate one node in each of the following regions: Asia-east, Asia-southeast, Canada-east, Eu-north, Eu-west, Japan-east, Us-central, Us-east, Us-southcentral, Us-west. To this end, we use netem to add delay between the nodes in our cluster according to measurements of this topology we performed using hping3 [70] in Microsoft’s Azure Cloud. The resulting RTTs between the nodes of our cluster range from 24.3 ms (Eu-north → Eu-west) to 286.2 ms (Asia-east → Eu-west).

**Microblogging.** Microblogging services such as Twitter frequently utilize cloud storage systems to store messages. To evaluate the impact of PRADA on such services, we use the database layout of Twissandra [71], an exemplary implementation of a microblogging service for Cassandra, and real tweets from the twitter7 dataset [72]. For each user, we uniformly at random select one of the storage locations and attach it as DHR to all their tweets. We perform our measurements using a replication factor of $r=1$ and measure the QCTs for randomly chosen users for retrieving their userline (most recent messages of a user) and their timeline (most recent messages of all users a user follows). To this end, we insert 2,000,000 tweets from the twitter7 dataset [72] and randomly select 1000 users among those users who have at least 50 tweets in our dataset. For the userline measurement, we request 50 consecutive tweets of each selected user. As the twitter7 dataset does not contain follower relationships between users, we request 50 random tweets for the timeline measurements of each selected user.

Our results in Figure 11 show that the runtime overhead of supporting DHRs for microblogging in a globally distributed cluster corresponds to a 18% (24%) increase in query completion time for fetching the timeline (userline). Here, PRADA especially benefits from the fact that identifiers are spread along the cluster and thus the unmodified Cassandra also has to contact a large number of nodes. Our...
results show that PRADA can be applied to offer support for DHRs in microblogging at reasonable costs with respect to query completion time. Especially when considering that not each tweet will likely be accompanied by DHRs, this modest overhead is well worth the additional functionality.

**Email Storage.** Email providers increasingly move storage of emails to the cloud [73]. To study the impact of supporting DHRs on emails, we analyzed Cassandra-backed email systems such as Apache James [74] and Elasticbox [75] and derived a common database layout consisting of one table for metadata (for creating an overview of a complete mailbox) and one table for full emails (for fetching individual emails). To create a realistic scenario, we utilize the Enron email dataset [76], consisting of about half a million emails of 150 users. For each user, we uniformly at random select one of the available storage locations as DHR for their emails and meta information.

Figure 12 compares the mean QCTs per operation of Cassandra and PRADA for fetching the overview of the mailbox for all 150 users and fetching 10,000 randomly selected individual emails. For fetching of mailboxes, we cannot derive a difference between Cassandra and PRADA as query completion times are dominated by the transfer of metadata (up to 28,465 rows). The rather large confidence interval result from the small number of operations (only 150 mailboxes) and huge differences in mailbox sizes, ranging from 35 to 28,465 messages. When considering the fetching of individual messages, we observe an overhead of 47% for PRADA’s indirection step, increasing query completion times from 103 to 152 ms. Hence, we can provide compliance with DHRs for email storage with a modest increase of 47% for fetching individual emails, while not increasing the time for generating an overview of all emails in the mailbox.

10 **Related Work**

We categorize our discussion of related work by the different types of DHRs they address. In addition, we discuss approaches for providing assurance that DHRs are respected.

**Distributing storage of data.** To enforce storage location requirements, a class of related work proposes to split data between different storage systems. Wuchner et al. [14] and CloudFilter [20] add proxies between clients and operators to transparently distribute data to different cloud storage providers according to DHRs, while NubiSave [21] allows combining resources of different storage providers to fulfill individual redundancy or security requirements of clients. These approaches can treat individual storage systems only as black boxes. Consequently, they do not support fine-grained DHRs within the database system itself and are limited to a small subset of DHRs.

**Sticky policies.** Similar to our idea of specifying DHRs, the concept of sticky policies proposes to attach usage and obligation policies to data when it is outsourced to third-parties [33]. In contrast to our work, sticky policies mainly concern the purpose of data usage, which is primarily realized using access control. One interesting aspect of sticky policies is their ability to make them “stick” to the corresponding data using cryptographic measures which could also be applied to PRADA. In the context of cloud computing, sticky policies have been proposed to express requirements on the security and geographical location of storage nodes [34]. However, so far it has been unclear how this could be realized efficiently in a large and distributed storage system. With PRADA, we present a mechanism to achieve this goal.

**Policy enforcement.** To enforce privacy policies when accessing data in the cloud, Betgé-Brezetz et al. [15] monitor access of virtual machines to shared file systems and only allow access if a virtual machine is policy compliant. In contrast, Itani et al. [16] propose to leverage cryptographic coprocessors to realize trusted and isolated execution environments and enforce the encryption of data. Espling et al. [17] aim at allowing service owners to influence the placement of their virtual machines in the cloud to realize specific geographical deployments or provide redundancy through avoiding co-location of critical components. These approaches are orthogonal to our work, as they primarily focus on enforcing policies when processing data, while PRADA addresses the challenge of supporting DHRs when storing data in cloud storage systems.

**Location-based storage.** Focusing exclusively on location requirements, Peterson et al. [18] introduce the concept of data sovereignty with the goal to provide a guarantee that a provider stores data at claimed physical locations, e.g., based on measurements of network delay. Similarly, LoSt [19] enables verification of storage locations based on a challenge-response protocol. In contrast, PRADA focuses on the more fundamental challenge of realizing the functionality for supporting arbitrary DHRs.

**Controlling placement of data.** Primarily focusing on distributed hash tables, SkipNet [77] enables control over data placement by organizing data mainly based on string names. Similarly, Zhou et al. [78] utilize location-based node identifiers to encode physical topology and hence control data placement at a coarse grain. In contrast to PRADA, these approaches need to modify the identifier of data based on the DHRs, i.e., knowledge about the specific DHRs of data is required to locate it. Targeting distributed object-based storage systems, CRUSH [79] relies on hierarchies and data distribution policies to control placement of data in a cluster. These data distribution policies are bound to a predefined hierarchy and hence cannot offer the same flexibility as PRADA. Similarly, Tenant-Defined Storage [80] enables clients to store their data according to DHRs. However and in contrast to PRADA, all data of one client needs to have the same DHRs. Finally, SwiftAnalytics [81] proposes to control the placement of data to speed up big data analytics. Here, data can only be put directly on specified nodes without the abstraction provided by PRADA’s approach of supporting DHRs.

**Hippocratic databases.** Hippocratic databases store data together with a purpose specification [82]. This allows them to enforce the purposeful use of data using access control and to realize data retention after a certain period. Using Hippocratic databases, it is possible to create an auditing framework to check if a database is complying with its data disclosure policies [35]. However, this concept only considers a single database and not a distributed setting where storage nodes have different data handling capabilities.

**Assurance.** To provide assurance that storage operators adhere to DHRs, de Oliveira et al. [83] propose an architecture to automate the monitoring of compliance to DHRs when
transferring data within the cloud. Bacon et al. [36] and Pasquier et al. [6] show that this can also be achieved using information flow control. Similarly, Massonet et al. [43] propose a monitoring and audit logging architecture in which the infrastructure provider and service provider collaborate to ensure data location compliance. These approaches are orthogonal to the work presented in this paper and could be used to verify that operators of cloud storage systems run PRADA in an honest way.

11 Discussion and Conclusion

Accounting for compliance data handling requirements (DHRs), i.e., offering control over where and how data is stored in the cloud, has become increasingly important due to legislative, organizational, or customer demands. Despite these incentives, practical solutions to address this need in existing cloud storage systems are scarce. In this paper, we have proposed PRADA, which allows clients to specify a comprehensive set of fine-grained DHRs and enables cloud storage operators to enforce them. Our results show that we can indeed achieve support for DHRs in cloud storage systems. Of course, the additional protection and flexibility offered by DHRs comes at a price: We observe a moderate increase for query completion times, while achieving constant storage overhead and upholding a near optimal storage load balance even in challenging scenarios. Notably, data without DHRs is not impaired by PRADA. Hence, clients can choose (even at a granularity of individual data items), if DHRs are worth a modest performance decrease.

PRADA’s design is built upon a transparent indirection layer, which effectively handles compliance with DHRs. This design decision limits our solution in three ways. First, the overall achievable load balance depends on how well the nodes’ capabilities to fulfill certain DHRs matches the actual DHRs requested by the clients. However, for a given scenario, PRADA is able to achieve nearly optimal load balance as shown in Figure 10. Second, indirection introduces an overhead of 0.5 round-trip times for reads, updates, and deletes. Further reducing this overhead is only possible by encoding some DHRs in the key used for accessing data [27], but this requires everyone accessing the data to be in possession of the DHRs, which is unlikely. A fundamental improvement could be achieved by replicating all relay information to all nodes of the cluster, but this is viable only for small cloud storage systems and does not offer scalability. We argue that indirection can likely not be avoided, but still pose this as an open research question. Third, the indirection layer realizes functionality to support DHRs within the cloud storage system. Thus, the question arises how clients can be assured that an operator indeed enforces their DHRs. This has been widely studied [18], [35], [43], [55] and the proposed approaches such as audit logging, information flow control, and provable data possession can also be applied to PRADA (cf. Section 10).

While we limit our approach for providing data compliance in cloud storage to key-value based storage systems, the key-value paradigm is also general enough to provide a practical starting point for storage systems that are based on different paradigms. Additionally, the design of PRADA is flexible enough to extend (with some more work) to other storage systems. For example, Google’s globally distributed database Spanner (rather a multi-version database than a key-value store) allows applications to influence data location (to increase performance) by carefully choosing keys [22]. PRADA could be applied to Spanner by modifying Spanner’s approach of directory-bucketed key-value mappings. Likewise, PRADA could realize data compliance for distributed main memory databases, e.g., VoltDB, where tables of data are partitioned horizontally into shards [24]. Here, the decision on how to distribute shards over the nodes in the cluster could be taken with DHRs in mind. Similar adaptations could be performed for commercial products, such as Clustrix [23], that separate data into slices.

To conclude, PRADA resolves a situation, i.e., missing support for DHRs, that is disadvantageous to both clients and operators of cloud storage systems. By offering the enforcement of arbitrary DHRs when storing data in cloud storage systems, PRADA enables the use of cloud storage systems for a wide range of clients who previously had to refrain from outsourcing storage, e.g., due to compliance with applicable data protection legislation. At the same time, we empower cloud storage operators with a practical and efficient solution to handle differences in regulations and offer their services to new clients.

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