Orthostatic tremor: a cerebellar pathology?

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Primary orthostatic tremor is characterized by high frequency tremor affecting the legs and trunk during the standing position. Cerebellar defects were suggested in orthostatic tremor without direct evidence. We aimed to characterize the anatomo-functional defects of the cerebellar motor pathways in orthostatic tremor. We used multimodal neuroimaging to compare 17 patients with orthostatic tremor and 17 age- and gender-matched healthy volunteers. Nine of the patients with orthostatic tremor underwent repetitive transcranial stimulation applied over the cerebellum during five consecutive days. We quantified the duration of standing position and tremor severity through electromyographic recordings. Compared to healthy volunteers, grey matter volume in patients with orthostatic tremor was (i) increased in the cerebellar vermis and correlated positively with the duration of the standing position; and (ii) increased in the supplementary motor area and decreased in the lateral cerebellum, which both correlated with the disease duration. Functional connectivity between the lateral cerebellum and the supplementary motor area was abnormally increased in patients with orthostatic tremor, and correlated positively with tremor severity. After repetitive transcranial stimulation, tremor severity and functional connectivity between the lateral cerebellum and the supplementary motor area were reduced. We provide an explanation for orthostatic tremor pathophysiology, and demonstrate the functional relevance of cerebello-thalamo-cortical connections in tremor related to cerebellar defects.
Introduction

Primary orthostatic tremor is a rare condition characterized by singular symptoms including a high frequency 13–18 Hz tremor of the legs and trunk that appears in the standing position, and which is associated with a strong feeling of instability, fear of falling, fatigue, and often pain (Thompson et al., 1986; Deuschl et al., 1998; Gerschlager et al., 2004; Piboolnurak et al., 2005; Mestre et al., 2012; Yaltho and Ondo, 2014; Ganos et al., 2016). Subjective unsteadiness worsens over time, while posturographic measurements reveal an increase of the total sway path without concomitant change of the tremor frequency (Ganos et al., 2016). Whether impaired balance control is the consequence of a pathological orthostatic tremor (Fung et al., 2001) or whether the tremor is the response to a primarily abnormal control of balance (Sharott et al., 2003) is still under debate. This latter hypothesis came from the finding that, in healthy humans, postural instability caused by galvanic stimulation or leaning backwards elicited coherent EMG bursts at ~16 Hz (Sharott et al., 2003), similar to the orthostatic tremor frequency.

Cerebellar dysfunction in orthostatic tremor has been suggested (Feil et al., 2015), but was never directly demonstrated. Indeed, the increase of total sway path, the presence of a second frequency peak at 3–5 Hz—the usual frequency of cerebellar tremor—point toward cerebellar dysfunction in orthostatic tremor (Ganos et al., 2016). In addition, orthostatic tremor was found in some patients with lesions of the cerebellum or the pons (Benito-León et al., 1997; Setta and Manto, 1998; Setta et al., 1998). This raises another question related to the nature of the cerebellar network dysfunction, whether it would be specific to orthostatic tremor given the singularity of the symptoms, or whether it would share cerebellar dysfunction with other pathological tremors like essential tremor (Schnitzler et al., 2009; Gallea et al., 2015) or parkinsonian tremor (Timmermann et al., 2003). The few neuroimaging studies performed in orthostatic tremor (Wills et al., 1996; Guridi et al., 2008) do not clearly answer this question. In a single case study using fluorodeoxyglucose (FDG)-PET, a patient with an orthostatic tremor had bilateral primary motor cortex and cerebellar vermis hypermetabolism, which returned to normal when the tremor was suppressed by deep brain stimulation of the ventral intermediate nucleus of the thalamus receiving cerebellar inputs (Guridi et al., 2008). In the same line of evidence, bilateral stimulation of the ventral intermediate nucleus partially improved orthostatic tremor in other studies (Espay et al., 2008; Guridi et al., 2008; Magariños-Ascone et al., 2010; Yaltho and Ondo, 2011; Lyons et al., 2012; Contarino et al., 2015). Yet the improvement was less obvious than in essential tremor, suggesting that the pathophysiology of orthostatic tremor and essential tremor engages different parts of the cerebellar motor loops or different pathways. The other potential oscillators proposed in orthostatic tremor are in the centres regulating stance and tone located in the brainstem (Wu et al., 2001; Kiziltan et al., 2012), and in spinal cord (Norton et al., 2004). A self-escalating deleterious cycle between proprioceptive feedback and tremor might also participate in orthostatic tremor: the tremor degrades the proprioceptive afferent feedback from the legs, which triggers an increase of muscle contraction to stabilize the lower limbs, eventually resulting in tremor worsening (Fung et al., 2001).

The first aim of this study was to characterize, in orthostatic tremor, the structural and functional defects in the cerebellar motor pathways, particularly those involved in postural control. To that end, we used voxel-based morphometry, and resting-state functional MRI to analyse the amplitude of low frequency fluctuations (ALFF) of the blood oxygen level-dependent (BOLD) signal. The fluctuations of resting-state BOLD signals are generally observed to be present between 0.01 and 0.08 Hz frequency band (Biswal et al., 1995), and the amplitude is reported for these low frequency fluctuations (Yang et al., 2007). Recent studies have observed an overlap between changes in regional ALFF and functional connectivity in several brain regions (Xuan et al., 2012), suggesting that ALFF reflects changes of neural activity. Changes in ALFF in the cerebellum-thalamic-cortical network were observed in patients with essential tremor compared to healthy controls (Gallea et al., 2015). Altogether, this suggests that ALFF is a meaningful tool to investigate network disorders. We compared 17 patients with orthostatic tremor with homogeneous symptoms in the lower limbs and 17 age- and gender-matched healthy volunteers. The second aim was to assess the relationship of functional neuroanatomical changes of the cerebellar motor pathways with the clinical and electrophysiological characteristics of tremor. In a subgroup of nine patients, we tested the functional relevance of the long-range connections between the cerebellum and both the primary and secondary motor areas. To that end we modulated the cerebellar activity using repeated sessions of repetitive transcranial magnetic stimulation (TMS) of the cerebellum to measure its impact on the clinical scores, electrophysiological recordings and resting state functional connectivity in the cerebellar motor pathways.

Materials and methods

Study design

This study includes (i) a neuroimaging study aiming to describe the neuronal network involved in orthostatic tremor; and (ii) an open label trial combining repetitive TMS and functional MRI recordings aiming to test the modulation of this network through cerebellar external stimulation.
Subjects

Neuroimaging study

We enrolled 17 patients with orthostatic tremor (12 females and five males; aged 63±10.5 years) and 17 healthy volunteers matched for age and gender (12 females and five males; aged 62.7±11.2 years). All subjects underwent a clinical assessment and a neuroimaging protocol. The diagnosis of orthostatic tremor was based on the Movement Disorders Consensus Criteria (Deuschl et al., 1998). Clinical characteristics of the patients are reported in Table 1. All the patients had prominent bilateral lower limbs orthostatic tremor with a tremor frequency within the 13–18 Hz, in the lower limbs and coherence between the two legs (Table 1). None of the participants had any neurological problem apart from orthostatic tremor for the patients. All patients but five were taking low dose (1.5 mg) clonazepam at the time of the study. Patients were also tested for global cognitive capabilities [Mini-Mental State Examination (MMSE)] and for quality of life (SF36). All the participants gave their written informed consent. The protocol was approved by the local ethics committee.

Repetitive transcranial magnetic stimulation trial over the cerebellum

Nine (six females, mean age 64.8±7.5 years, range 52–79) of the 17 patients of the main study participated in an open label trial aiming to influence the functional connectivity between the cerebellum and the thalamo-cortical components of the cerebello-thalamo-cortical network. Fifteen minutes of repetitive TMS were delivered daily for five consecutive days over each cerebellar hemisphere. The first stimulation session took place after completion of the clinical examination and imagery protocol of the main study. The clinical assessment, electrophysiological quantification of tremor and imagery protocol were repeated right after the last stimulation (Day 5). Clinical assessment and electrophysiological quantification of tremor were also performed during two follow-up sessions 12 (Day 12) and 26 (Day 26) days, respectively, after the first stimulation session.

The procedure for cerebellar stimulation has already been used in patients with essential tremor (Popa et al., 2013) and will be only summarized here. The first step was to calculate the resting motor threshold (RMT), defined as the minimum stimulus intensity that resulted in motor-evoked potentials of 50 μV in at least 5 of 10 trials in the right first dorsal interosseous muscle. In a second step, repetitive TMS was delivered, under neuronavigation (Nexitim Ltd), daily for five consecutive days over the lobule VIII of each cerebellar hemisphere, using a figure-of-eight coil connected to a RapidStim2 machine (Magstim). Nine-hundred pulses were delivered consecutively to each side with a frequency of 1 Hz and at an intensity of 90% of the RMT for a total duration of 15 min for each cerebellar hemisphere.

Clinical examination and evaluation of orthostatic tremor

The clinical assessment was performed by a movement disorders specialist (E.R.) using the Fullerton Advanced Balance (FAB) rating scale (Rose et al., 2006), designed to evaluate postural instability. Lower scores were associated with greater postural stability. We also measured the duration that the patients could maintain upright station. Patients answered three questionnaires related to their postural instability and fear of falling: (i) the Falls Efficacy Scale-International (FES-I); (ii) the Survey of Activities and Fear Of Falling in the Elderly (SAFFE); and (iii) the Consequences of Falling (COF).

Electrophysiological quantification of tremor was performed from electromyographic (EMG) recordings of the tibialis anterior muscles. EMG signals were recorded during two 30-s

Table 1 Characteristics of the patients

|    | Age (y) | Age at onset (y) | FAB | Duration of upright position | W    | A   | Medication |
|----|---------|-----------------|-----|-------------------------------|------|-----|------------|
| T01| 74      | 64              | 28  | 120                           | 14.34| 0.295| No         |
| T02| 71      | 52              | 35  | 330                           | 16.75| 0.325| Rivotril   |
| T03| 69      | 52              | 28  | 150                           | 16.2 | 0.4  | Rivotril   |
| T04| 62      | 53              | 27  | 65                            | 14.08| 0.31 | Rivotril   |
| T05| 52      | 42              | 24  | 55                            | 17.7 | 0.4  | Rivotril   |
| T06| 60      | 49              | 25  | 0                             | 13.555| 0.295| No         |
| T07| 58      | 42              | 28  | 84                            | 16.125| 0.335| Rivotril   |
| T08| 58      | 44              | 19  | 14                            | 17.885| 0.47 | Rivotril   |
| T09| 79      | 62              | 24  | 111                           | 15.64 | 0.32 | Rivotril   |
| T10| 78      | 44              | 29  | 90                            | 16.885| 0.378| Rivotril   |
| T11| 67      | 56              | 31  | 83                            | 15.288| 0.518| No         |
| T12| 66      | 61              | 16  | 35                            | 17.169| 0.710| Rivotril   |
| T13| 38      | 27              | 34  | 180                           | 16.120| 0.291| No         |
| T14| 60      | 51              | 17  | 120                           | 16.440| 0.485| No         |
| T15| 68      | 67              | 33  | 210                           | 14.102| 0.555| No         |
| T16| 47      | 39              | 38  | 187                           | 15.714| 0.319| Rivotril   |
| T17| 77      | 70              | 23  | 285                           | 17.199| 0.562| Rivotril   |
| Average | 63.765 | 51.471 | 27.00 | 124.647 | 15.952 | 0.410 | 1.947 |
| SD  | 10.871 | 10.895 | 6.019 | 88.052 | 1.273 | 0.117 | 2.137 |

W = width of the power spectrum; A = area under the curve; F = frequency peak value; SD = standard deviation.
sessions of active upright station. All identifiable information was removed from the EMG files and replaced with a code known only to the principal investigator (S.M.). The EMG data were analysed by an expert physicist (A.P.L.), blinded to the subjects identity and status. The EMG signals were analysed in frequency space and characterized by the peak frequency (F), area (A), and width (w) of a Gaussian curve fitting the tremor peak of the power spectrum. Area is considered to reflect the tremor severity and width the frequency dispersion.

Statistical analysis of clinical scores and electrophysiological measures of tremor

The scores at the FAB rating scale (postural instability impairment), the maximum duration of upright station that patient could maintain, the disease duration, and the three EMG values quantifying tremor (F, A and w) were considered for correlations with the MRI findings using a multiple regression analysis (see ‘Data analysis and statistics’ section).

To evaluate the effect of cerebellar repetitive TMS on tremor severity, all the clinical and electrophysiological measures at Days 5, 12 and 26 were compared to Day 0 (baseline, performed before the beginning of repetitive TMS treatment) using a repeated measure ANOVA.

Neuroimaging study

Data acquisition

MRI data were collected on a Siemens 3 T MAGNETOM Trio equipped with a 12-channel head coil. We first acquired high-resolution T1-MPRAGE images (repetition time/echo time/flip angle = 6.2/3 ms/9°, 1 mm³ isotropic voxel size, field of view = 256 × 256 × 176 mm, 144 sagittal images). Resting state functional images were acquired by T2*-weighted fast echo planar imaging (repetition time/echo time/flip angle = 3.3/30 ms/90°, voxel size = 1.5 × 1.5 × 2.5 mm, 200 volumes acquired per subject) from 46 interleaved axial slices. The resting state functional MRI experiment consisted of a 10-min run in which participants were asked to relax with their eyes closed, without falling asleep. A field map was acquired to correct for echo planar image distortions induced by each subject’s individual magnetic susceptibilities. A quality control was systematically performed on the MRI data. In this control, we verified the wrapping or ghosting in the anatomical images. In addition, for the functional images, we verified the presence of susceptibility artefacts, spiking, ringing, or motion slice artefacts. All images with head motions superior to 3 mm during resting state were excluded from the analysis.

Data analysis and statistics

We first looked for structural differences in grey matter between the patients and controls by using voxel-based analyses (voxel-based morphometry, VBM), both in the whole brain and in specific regions of interest. Second, we looked for functional differences in regions of interest of the cerebello-thalamo-cortical network using ALFF analysis. Third, we looked for differences in functional connectivity in the cerebello-thalamo-cortical network, considering regions that showed significant structural and/or functional differences between patients and matched controls. Finally, we asked whether clinical and electrophysiological variables correlated with grey matter volume, ALFF and functional connectivity levels in the cerebello-thalamo-cortical network. Individual intake of clonazepam was systematically entered as a nuisance co-variable in the VBM and functional MRI analyses.

To verify the specificity of the correlations as being related to orthostatic tremor, we investigated whether other variables not directly related to tremor would be associated with structural and functional measures in the cerebellum and in the cortical motor areas. These other variables included the scores at MMSE, SF36, SAFFE, FES-I and COF.

Regions of interest

We used atlases to define regions of interest containing the cerebellar and brain regions of the involved in voluntary motor control of the lower limb and in postural control. For the VBM analysis, we considered the bilateral cortical motor regions [M1 foot area, supplementary motor area (SMA)], the bilateral cerebellar lobules VI and VII, the bilateral cerebellar lobules IV and IX, the bilateral lobe V and the dentate nuclei. All these regions are involved in voluntary control of the limbs (Kuper et al., 2012). Regions involved in postural motor control included the medial vermis and the fastigial nuclei (Schmahmann et al., 1999; Coffman et al., 2011). The SMA, the cerebellar lobules, cerebellar vermis and deep cerebellar nuclei were extracted from Yebickatlas (http://fimri. wuwmic.edu/software/PickAtlas). The medial primary motor cortex was extracted from the Neurosynth atlas (http://neurosynth.org/; Yarkoni et al., 2011). All these regions of interest were defined in the Montreal Neurological Institute (MNI) space. To define regions of interest in the thalamic nuclei, we considered the YeB atlas for subcortical structures (Yelnik et al., 2007; Bardiné et al., 2009). The ventro-lateral and ventral intermediate thalamic nuclei were automatically registered on the individual space of each participant’s T1 image. Thalamic nuclei were normalized in the MNI space using the transformation obtained from the VBM toolbox.

In the VBM analysis, we could not clearly separate the somatotopic representations in the cerebellar lobules. Thus, some additional precautions were taken for the resting state functional MRI analysis to test whether cerebellar functional abnormalities were specific to lower limbs somatotopic representations. The lateral cerebellar regions (lobules VI, VIII, IV and V) were defined from the global maxima of clusters found in a previous study involving foot and hand movements (Küper et al., 2012). Accordingly, the bilateral cerebellar lobules VI and VIII contained a mixed representation of the foot and hands, the bilateral cerebellar lobules IV and IX contained a representation of the foot specifically, the bilateral lobule V contained a representation of the hands. Regions of interest were defined as a sphere of 3 mm radius, centred on the global maxima obtained in the study of Kuper and collaborators (2012) (see Supplementary Table 1 for spatial coordinates).

In the resting state functional MRI analysis, in addition to the cerebello-thalamo-cortical network, we also studied a control network not directly involved in motor function i.e. the default mode network (DMN, e.g. Greicius et al., 2004). This network included the bilateral medial prefrontal cortex, the default mode network.
dorsolateral prefrontal cortex and the superior parietal cortex extracted from wFupickatlas.

**Voxel-based morphometry**

Images were processed with the VBM8 toolbox (http://dbm.neuro.uni-jena.de/vbm/), of SPM8 software (http://www.fil.ion.ucl.ac.uk/spm/) running under MATLAB R2010b (The MathWorks, Inc., Natick, MA). Normalized and modulated grey and white matter probability maps were obtained from T1-weighted images. Processing included de-noising (Manjón et al., 2010), partial volume estimation (Tohka et al., 2004) and normalization to the MNI space using Dartel toolbox (Ashburner and Friston, 2005). The normalized maps were smoothed with a 10 mm filter-width at half-maximum (FWHM) Gaussian kernel.

The individual smoothed normalized grey matter maps were included in a two-sample t-test for group comparison. Age and gender were incorporated in the design matrix to remove the variance percentage related to variables of non-interest that could interfere with group differences. Individual values of intracranial volume were considered in the group model in the ‘global calculation’ option in the designation of the two-sample t-test in SPM8 to allow dealing with brain of different sizes.

Regression analysis was performed at the group level to test whether VBM individual measures within the whole brain (grey matter values) correlated with clinical measures (FAB and/or EMG values quantifying tremor, disease duration) and with EMG values quantifying tremor (amplitude A and width w of the EMG frequency peak). We also sought correlations between individual VBM measures in the cerebellum and in the cortical motor areas. Age and gender were incorporated in the design matrix of the regression analysis to remove the variance percentage related to variables of non-interest that could interfere with the correlation.

**Resting-state functional MRI analyses**

Statistical parametric mapping software (SPM8, Wellcome Department of Cognitive Neurology, London, UK) was used for image processing (http://www.fil.ion.ucl.ac.uk/spm/). The functional images were interpolated in time to correct the time delay between slices (up to half the repetition time) during interleaved volume acquisition, and were realigned with the first image of each session. The anatomical image and the realigned functional images of each subject were normalized to a common standard space by using the MNI template. The functional data were spatially smoothed with an 8-mm FWHM Gaussian filter and temporally filtered with a 128 s period high-pass filter. Two types of analysis were performed: the first focused on the ALFF, and the second focused on functional connectivity within the cerebellar motor pathways. For each of these analyses, we look at possible correlation between ALFF or cerebellar functional connectivity with clinical/electrophysiological measures. Finally, we also looked at the modulation of cerebellar functional connectivity by the repetitive TMS procedure performed in a subsample of the orthostatic tremor patient population, and whether it correlated with the changes of clinical/electrophysiological measures.

In the first analysis, we modelled the amplitude of low-frequency fluctuations (ALFF) by using the procedures described in Song et al. (2011). A global linear model was defined for each subject, and the contrast of interest was defined as the effect of the variance of the ALFF fluctuations in each subject. The resulting contrast maps were included in a two-sample t-test to identify changes of ALFF between the patients and the healthy volunteers in the regions of interest (see ‘Regions of interest’ section above).

Multiple regression analyses were used to identify correlations between ALFF in the regions of interest and clinical scores or electrophysiological variables.

Multiple regression analyses were used to identify correlations between functional connectivity in the networks of interest and clinical scores or electrophysiological variables.

**Open label trial: effect of cerebellar repetitive transcranial magnetic stimulation on functional connectivity**

The steps of image processing and the seed regions of the functional connectivity analysis were identical to the ones of the resting-state functional analysis of the main neuromaging study. We ran an analysis using a paired t-test (patients with orthostatic tremor at baseline at Day 0, and patients with orthostatic tremor at Day 4) to calculate the modulation of the cerebellar network after five consecutive days of repetitive TMS applied on the cerebellum. The same procedure was performed to calculate the effect of the repetitive TMS treatment on the DMN (control network).

Regression analysis was performed at the group level to test whether cerebellar functional connectivity correlated with the potential improvement of clinical measures after five consecutive days of repetitive TMS (sFAB, duration of upright station) and with EMG values quantifying tremor (F, A and w) and recorded offline. The same procedure was performed for DMN.

**Statistical threshold**

Threshold of significance for two sample t-tests and multiple regression analyses was set at voxel-wise threshold of
Results

Neuroimaging study

Clinical scores and electrophysiological measures of tremor

Age at disease onset and disease duration did not correlate with any clinical or EMG parameters ($-0.45 < r < 0.47$, $P > 0.05$). However, FAB scores correlated with the width of the tremor frequency peak (Rho = −0.50, $P < 0.05$) and the maximum duration of upright station (Rho = 0.53, $P < 0.05$). Thus, the multiple regression between grey matter volumes and each of the clinical parameters was evaluated taking into account the other related clinical parameters as covariates of non-interest (i.e. if the FAB was the clinical parameter of interest, the width of the tremor frequency peak and the duration of upright station were taken as co-variates of non-interest).

Voxel-based morphometry

Results and statistical values (F- or Z-scores) are shown in Fig. 1 and Table 2. Compared to the healthy volunteers, the whole-brain analysis showed that patients with orthostatic tremor had a bilateral decrease in the grey matter volume of cerebellar lobules VI. In the reverse contrast, the patients exhibited a bilateral increase in grey matter volume in the SMA proper predominating in the right hemisphere, and in the bilateral superior cerebellar vermis. There was no significant difference of grey matter volume in M1 foot/trunk area ($P > 0.09$).

The results of the multiple regression analysis are shown in Table 2. Grey matter volume in the cerebellar lobule VI correlated negatively with disease duration, and positively with the FAB score. In other words, greater atrophy levels in the cerebellar lobule VI were associated with longer disease duration and worse scores of postural instability (Fig. 2A). Though grey matter volume in the cerebellar lobule IX was not decreased, it correlated negatively with disease duration, and positively with the duration of the upright position. In other words, lower grey matter levels in the cerebellar lobule IX were associated with longer disease duration and ability to stand during a shorter period of time (Fig. 2B). The grey matter volume in the superior cerebellar vermis correlated positively with the duration of upright position (Table 2). In other words, greater increase of grey matter volume in the superior vermis was associated with the ability to stand during a longer period of time. Grey matter volume in the bilateral SMA proper correlated positively both with disease duration and with the surface (A) of the frequency peak: greater volumes were associated with longer disease duration and more severe tremor amplitude (Fig. 2C).

Scores at MMSE and SF36 questionnaire did not significantly correlate with grey matter volume in cerebellar motor pathways. See Supplementary material for correlations with other clinical scores.

Resting state functional MRI

Results of the first analysis showed that ALFF were higher in patients with orthostatic tremor compared to healthy volunteers bilaterally in the following areas: the posterior SMA proper, the preSMA, the superior part of the vermis (Fig. 3A) and the bilateral cerebellar lobules IX and IV (Fig. 3C). No difference was found in the reverse contrast. No significant difference was found between patients and healthy volunteers in the DMN. The regression analysis showed that higher ALFF in SMA proper and the superior cerebellar vermis (all regions where the grey matter was increased and the ALFF increased) were associated with higher tremor severity (higher ‘A’ values of the frequency peak) and longer durations of upright position maintained by the patients (Fig. 3B and Table 2).

Scores at MMSE and SF36 questionnaires did not significantly correlate with ALFF in cerebellar motor pathways. See Supplementary material for correlations with other clinical scores.
In the second analysis, three cerebellar regions of interest seeded the functional connectivity analysis: (i) the bilateral cerebellar lobule VI showing decreased grey matter volume, containing a mixed somatotopic representation of the hand and foot; (ii) the bilateral cerebellar lobule IX showing increased ALFF, containing a somatotopic representation of the foot; and (iii) the bilateral superior vermis showing an increase of grey matter volume and an increase of ALFF, involved in postural control of axial muscles. In this section, we reported the results of regions of interest that were associated with motor manifestation of tremor. The ALFF in bilateral cerebellar lobule IX was correlated with the motor scores, but also with psychological scores evaluating the fear of falling. Therefore, functional connectivity results of this region of interest are presented in the Supplementary material. When comparing the patients with orthostatic tremor to the healthy volunteers, the cerebellar lobule VI had an increase of functional connectivity

Table 2 Anatomical location of clusters displayed in Figs 1–3 with detailed statistics

| Anatomical localization of clusters | Coordinates of global maxima [x y z] | Z-score | Ke |
|------------------------------------|-------------------------------------|---------|-----|
| **VBM grey matter changes**        |                                     |         |     |
| **OT > HV**                        |                                     |         |     |
| R Medial precentral gyrus (BA 6, preSMA, SMA proper) | 15 3 62 | 3.78 | 157 |
| R Vermis 7                         | 8 –67 –26                           | 3.43    | 2131|
| B Vermis 4.5                       | 3 –51 –3                            | 3.01    |     |
| **OT < HV**                        |                                     |         |     |
| R Cerebellum, lateral lobule VI    | 34 –48 –39                          | 3.22    | 677 |
| L Cerebellum, lateral lobule VI    | –38 –43 –33                         | 3.06    | 66  |
| **Multiple regressions with grey matter** |                                     |         |     |
| **Positive correlation with disease duration** |                                     |         |     |
| B Medial precentral gyrus (BA 6, preSMA, SMA proper) | –15 9 54 | 4.93 | 777 |
| R Dentate nucleus                  | 15 –66 –35                          | 4.29    | 377 |
| L Dentate nucleus                  | –12 –64 –36                         | 3.96    | 213 |
| **Negative correlation with disease duration** |                                     |         |     |
| B Cerebellum, lateral lobule IX, vermis VIII and IX | 8 –52 –54 | 5.63 | 1517|
| L Cerebellum, lateral lobule VI    | –20 –61 –23                         | 4.48    | 930 |
| B Cerebellum, vermis VI            | 3 –75 –21                           | 4.47    | 289 |
| R Cerebellum, lateral lobule VI    | 22 –58 –24                          | 4.24    | 825 |
| **Positive correlation with FAB**  |                                     |         |     |
| L Cerebellum, lateral lobule IV    | –18 –36 –18                         | 6.65    | 257 |
| R Cerebellum, lateral lobule IV    | 8 –49 –2                            | 5.34    | 82  |
| L Cerebellum, lateral lobule VI    | –33 –52 –35                         | 3.77    | 83  |
| **Positive correlation with duration of SUR position** |                                     |         |     |
| R Cerebellum, lateral lobule IX    | 12 –48 –47                          | 6.08    | 375 |
| B Cerebellum, lobule IV, vermis III and IV | 9 –46 –12 | 5.42 | 352 |
| B Cerebellum, vermis VI            | 2 –67 –15                           | 4.13    | 352 |
| **Positive correlation with EMG A** |                                     |         |     |
| B Medial precentral gyrus (BA 6, SMA proper) | 3 0 55 | 3.19 | 172 |
| **ALFF changes**                   |                                     |         |     |
| **OT > HV**                        |                                     |         |     |
| R Cerebellum, lateral lobule IX    | 9 –45 –48                           | 4.14    | 327 |
| L Cerebellum, lateral lobule IX    | –10 –50 –39                         | 3.77    | 448 |
| R Medial precentral gyrus (BA 6, SMA proper) | 16 –8 66 | 3.67 | 706 |
| L Medial precentral gyrus (BA 6, SMA proper) | –6 –16 64 | 3.67 | 876 |
| B Cerebellum, vermis III, IV, V    | –3 –42 –9                           | 3.66    | 907 |
| **Multiple regressions with ALFF** |                                     |         |     |
| **Positive correlation with SUR duration** |                                     |         |     |
| R Medial precentral gyrus (BA 6, SMA proper) | 12 –9 56 | 3.29 | 237 |
| R Cerebellum, lobule IV and V      | 10 –54 –22                          | 5.83    | 1497|
| L Cerebellum, lobule IV and V      | –6 –52 –18                          | 5.64    |     |
| B Cerebellum, vermis VIII          | –3 –64 –40                          | 5.70    | 146 |
| L Paracentral lobule, foot area (BA 4) | –14 –36 –63 | 4.34 | 106 |

GM = grey matter; Bilat = bilateral; R = right; L = left; BA = Brodmann area; Ke = cluster volume (in number of voxels); OT = orthostatic tremor; HV = healthy volunteers; SUR = stand upright. Global maxima without cluster volume are included in the cluster above.
with the bilateral SMA (Fig. 4A and C). Only the cerebellar lobule VI had an increase of functional connectivity with the M1 leg/trunk areas. Higher functional connectivity between the cerebellar lobule VI and the SMA proper was associated with more severe tremor as shown by the positive correlation found between the strength of the functional connectivity and the amplitude of the tremor frequency peak at baseline (Fig. 4B). We did not observe any difference between patients with orthostatic tremor and healthy volunteers regarding the functional connectivity between the superior vermis and the cortical motor areas. Details about the statistics and the anatomical localization of clusters are presented in Table 3.

**Open label trial: effect of cerebellar repetitive transcranial magnetic stimulation on functional connectivity**

Only one patient reported any subjective improvement of the tremor after 5 days of cerebellar stimulation. Accordingly the mean maximum duration that they could maintain an upright position was similar between baseline (107 ± 94.4 s), Day 5 (147 ± 143 s), Day 12 (113 ± 108 s) and Day 26 (137 ± 108 s) [repeated ANOVA: $F(3,24) = 1.8$, $P = 0.2$]. Also their postural instability was not improved [score on the FAB scale: 26 ± 4 at baseline,
28 ± 6 at Day 5, 29 ± 5 at Day 12, 29 ± 8 at Day 26; repeated ANOVA: $F(3,24) = 1.5, P = 0.2$ (Fig. 5A).

Contrasting with the lack of clinical improvement the amplitude of the tremor was significantly reduced as shown by the decrease of the surface ($A'$) of the peak of the tremor power spectrum [baseline: $3.8 \pm 3.2$ $(m/s^2)^2$, Day 5: $2.4 \pm 2.2$ $(m/s^2)^2$, Day 12: $1.9 \pm 1.1$ $(m/s^2)^2$, Day 26: $1.5 \pm 1.6$ $(m/s^2)^2$]; repeated ANOVA: $F(3,24) = 3.1 P < 0.04$; post hoc Bonferroni test: Day 1 versus Day 26, $P < 0.006$ (Fig. 5A).
After 5 days of cerebellar stimulation, the bilateral cerebellar lobule VI had a decreased functional connectivity with both the SMA and the M1 leg/trunk area compared to baseline (Fig. 5B and Table 3). There was no functional connectivity change between the superior vermis and the cortical motor areas. Before cerebellar repetitive TMS, higher functional connectivity between the cerebellar lobule VI and the SMA proper was associated with more severe tremor in the nine patients participating in the open label trial (Fig. 5C), similarly to the results obtained on the whole population (Fig. 4C). At Day 5, cerebellar stimulation cancelled the relationship between functional connectivity of the cerebello-cortical network and the surface ‘A’ of the peak of the tremor power spectrum (Fig. 5C, graph on the middle panel). When looking at prolonged behavioural effects, functional connectivity between the cerebellar lobules VI and the SMA proper after 5 days of cerebellar stimulation predicted the decrease of the surface ‘A’ of the peak of the tremor power spectrum at day 26 (Fig. 5C, graph of the right panel). In this case, lower functional connectivity between the cerebellar lobule VI and SMA proper was associated with more severe tremor. Functional connectivity of cerebellar lobule IX did not correlate with the surface ‘A’ of the peak of the tremor power spectrum at Day 26. Details about the statistics and the anatomical localization of clusters are presented in Table 3.

After 5 days of cerebellar stimulation, the bilateral medial prefrontal cortex had equal level of functional connectivity with both the bilateral dorsolateral prefrontal cortex and the bilateral superior parietal cortex compared to baseline. The multiple regression analysis did not show any correlation between functional connectivity of the DMN at Day 5 and the amplitude of the tremor frequency peak at Day 5 or Day 26.

**Discussion**

This study highlights (i) the involvement of the lateral posterior cerebellum (lobule VI) in the core pathological process of orthostatic tremor; (ii) the contribution of its output pathways to the premotor and motor cortices in the postural imbalance of patients with orthostatic tremor; and (iii) the compensatory role of the cerebellar vermis. The present findings shed a new light on the structural defects and intrinsic functional substrates of unsteadiness and imbalance in orthostatic tremor.

The patients with orthostatic tremor exhibited cerebellar atrophy, as revealed by lower grey matter volumes in bilateral lobule VI. In the whole brain analysis, no other subcortical or cortical zone exhibited decreased grey matter volume in patients compared to controls. The fact that greater atrophy levels in cerebellar lobule VI were associated with longer disease duration and worse scores of postural instability (Fig. 2A) highlights the functional significance of these structural changes and associate them with the core disorder. Though the grey matter volume was not decreased in bilateral lobule IX in the group comparison, it is likely that lobule IX impairment is also part of the core disorder because lower grey matter volume in lobule IX was associated with longer disease duration and more severe unsteadiness (Fig. 2B). It is worth noting that functional changes in cerebellar lobule IX were also associated with non-motor manifestations (scores at COF measuring psychological consequences of the fear of falling).
of orthostatic tremor (Supplementary Fig. 1). It is possible that functional changes seen in this area may be related to the tremor effect on posture and gait.

Another feature of orthostatic tremor, in addition to the cerebellar atrophy, was an increased grey matter volume of the preSMA and SMA proper, which was accompanied by increased amplitude of low frequency fluctuation of the BOLD signal. The SMA plays an important role in postural control, for example a positive correlation was found between the postural task-related SMA activity and individual balance ability in stroke patients (Mihara et al., 2015). The SMA is thought to contribute to the modulation of anticipatory postural adjustments (Bolzoni et al., 2012) leading to step initiation. Both SMA activation and step initiation are impaired in Parkinson’s disease with freezing of gait (Fling et al., 2014). Premotor areas including the SMA are a well-documented target of the cerebellum lobule VI, which is part of the sensorimotor cerebellar network (Habas, 2010; O’Reilly et al., 2010; Buckner et al., 2011). The SMA could receive constant erroneous proprioceptive afferents in the cerebellar lobules, when compared to controls. In addition, the

### Table 3 Anatomical location of clusters displayed in Figs 4–5 with detailed statistics

| Functional localization of clusters | Coordinates of global maxima [x y z] | Z-score | Ke |
|-------------------------------------|-------------------------------------|---------|----|
| **FC cerebellum Lobule VI (Fig. 4A)** |                                      |         |    |
| OT > HV                             |                                      |         |    |
| L Medial precentral gyrus, paracentral lobule (BA 4,3,1, M1 foot area) | –4 –32 68 | 5.40 | 160 |
| R Medial precentral gyrus, paracentral lobule (BA 4,3,1, M1 foot area) | 4 –22 69  | 4.30 |    |
| R Medial precentral gyrus (BA 6, SMA proper) | 12 –4 72 | 5.29 | 25  |
| L Medial precentral gyrus (BA 6, preSMA)  | –10 6 72  | 4.77 | 43  |
| **Multiple regressions with FC cerebellum lobule VI (Fig. 4B)** |                                      |         |    |
| Positive correlation with EMG A at Day 1 |                                      |         |    |
| L Medial precentral gyrus (BA 6, preSMA) | –6 20 58 | 5.88 | 106 |
| L Medial precentral gyrus (BA 6, SMA proper) | –10 –14 68 | 5.66 | 93  |
| R Medial precentral gyrus (BA 6, SMA proper) | 10 –16 62 | 5.65 | 258 |
| **Open label trial: effect of rTMS on functional connectivity (Fig. 5)** |                                      |         |    |
| FC cerebellum lobule VI (Fig 5B) |                                      |         |    |
| Baseline > rTMS                      |                                      |         |    |
| R Paracentral lobule, medial postcentral gyrus (BA 3,2,1), medial precentral gyrus (BA 4, M1 foot area) | 2 –44 62 | 8.11 | 1316 |
| R Precentral gyrus (BA 6, SMA proper) | 12 –8 72 | 6.93 |    |
| B Medial precentral gyrus (BA 6, SMA proper) | 0 –5 7 | 6.91 |    |
| L Precentral gyrus (BA 4, M1 foot area) | –14 –33 70 | 6.62 | 82  |
| **Multiple regressions with FC cerebellum lobule VI (Fig 5C)** |                                      |         |    |
| Positive correlation with EMG A (Day 5) |                                      |         |    |
| L Precentral/postcentral gyrus (BA 4,3, M1 foot area) | –16 –34 69 | 7.21 | 46  |
| R Medial precentral gyrus (BA 6, SMA proper) | 14 –20 54 | 6.20 | 39  |
| R Precentral/postcentral gyrus (BA 4,3, M1 foot area) | 6 –42 68 | 5.99 | 147 |
| L Medial precentral gyrus (BA 6, SMA proper) | –9 –8 56 | 5.30 | 60  |
| **Positive correlation with EMG A (Day 26)** |                                      |         |    |
| R Medial precentral gyrus (BA 6, SMA proper) | 14 –6 52 | 16.35 | 49  |
| L Medial precentral gyrus (BA 6, SMA proper) | –12 –4 64 | 10.63 | 375 |
| L Medial precentral gyrus (BA 6, SMA proper) | –3 –4 50 | 7.98 | 75  |
| R Precentral/postcentral gyrus (BA 4,3, M1 foot area) | 6 –26 74 | 7.54 | 38  |

Bilat = bilateral, R = right, L = left, BA = Brodmann area. Ke = cluster volume (number of voxels); FC = functional connectivity; rTMS = repetitive TMS; OT = orthostatic tremor; HV = healthy volunteers. Global maxima without cluster volume are included in the cluster above.
connectivity within the cerebellar lobules VI-SMA network correlates with the amplitude of the tremor frequency peak quantified from the EMG at baseline (Fig. 4): higher level of functional connectivity was associated with higher amplitude of the tremor frequency peak. This suggests that cerebellar structural changes may have cascading effects on the functional involvement of the output pathways from these lobules to the motor (lower limb) and the SMA.

The results of the repetitive TMS trial support the functional relevance of cerebello-cerebral connections involving the SMA for orthostatic tremor. However, controlled studies are needed to rule out a possible placebo effect. After five consecutive days of cerebellar 1 Hz repetitive TMS, the amplitude of the EMG frequency peak reflecting the tremor severity was reduced. In addition, the relationship between functional connectivity between lobule VI–SMA and the
amplitude of the EMG frequency peak is reversed. The stimulation could modulate the cerebellar processing of the lower limb proprioceptive afferences and decrease the amount of erroneous messages exchanged with the SMA. This suggests that functional engagement of cerebellar motor pathways involving the SMA is less needed when tremor is reduced. The stimulation induced specific changes of the lateral cerebello-cortical connectivity yet no change in the medial cerebello-cortical connectivity involving the vermis, nor in the DMN.

A similar pattern of structural changes in the lateral cerebellum and the SMA was observed in essential tremor. Cerebellar atrophy is often found in essential tremor (see review by Cerasa and Quattrone, 2016), and was recently associated with changes of grey matter volume in SMA (Gallea et al., 2015). SMA abnormal functioning in essential tremor has also been shown using functional MRI (Gallea et al., 2015; Broersma et al., 2016; Yin et al., 2016). In orthostatic tremor, brain structural analysis is missing so far and the few functional data indicate hyperactivity of the SMA (Wills et al., 1996; Garidi et al., 2008). When all the results are put together with our study, cerebellar atrophy and an increase of ALFF in the SMA were commonly found in orthostatic tremor, as in essential tremor. This pattern could represent common morphological changes as a generic intrinsic signature of certain types of tremors. If so, how do we explain that the tremor affects different body parts at different frequencies in essential tremor and orthostatic tremor? One explanation for the involvement of different body parts would be that the pathological process affects different parts of the cerebellar motor homunculus somatotopy. A complex motor somatotopy was described in the human cerebellum by means of functional MRI (Grodd et al., 2001; Schlerf et al., 2010; Küper et al., 2012). Movement-related activations revealed a somatomotor representation in the anterior lobe with the foot representation more anterior and medial (possibly in lobule IV) than the hand representation (possibly in lobule V) [see Figure 5 in Schlerf et al. (2010); and Figure 5 in Küper et al. (2012)]. Another somatomotor representation was found in the posterior cerebellum with hand movements more in lobule VIII and foot movements more in lobule IX (Küper et al., 2012). Schlerf and colleagues (2010) described a third somatomotor representation in lobule VI that would support complex movements and has symmetric motor representations of arms and legs in both hemispheres, with the leg representation more lateral. In keeping with this view, patients with orthostatic tremor had impairments predominantly in those cerebellar zones containing lower limb representations (atrophy in the lateral lobule VI and increased ALFF in lobules IX and IV) while essential tremor patients previously studied (Gallea et al., 2015) had more impairment in the lobules V and VIII.

Another important finding of the present study was the increase of grey matter volume in the cerebellar vermis, which seems to be specific to orthostatic tremor pathology, not being found in patients with essential tremor (Passamonti et al., 2012; Gallea et al., 2015). Larger grey matter volume in the vermis was associated with longer disease duration and better ability to keep a standing position. Taken together, these correlations are suggestive of compensatory mechanisms that might develop in the vermis over the evolution of the disease. The functional implication of the vermis in orthostatic tremor was only reported in one single-case PET study, describing vermis hypermetabolism in a patient with 16 Hz orthostatic tremor (Wills et al., 1996). A potential compensatory role was not suggested.

We speculate that the lower limbs tremor that causes a strong postural impairment could trigger compensatory mechanisms in the vermis through brainstem nuclei and the descending pathways to the spinal cord, as this structure is known to play a major role in posture. It has recently been shown from monkey studies, that the superior cerebellar vermis is a major target of projections from the cerebral cortex (primary motor cortex, SMA) and that these projections could be part of the neural substrate for anticipatory postural adjustments (Coffman et al., 2011). The major output of the vermis is to the fastigial nucleus, which encodes proprioceptive inputs during body motion (Brooks and Cullen, 2009). Twenty-five per cent of the projections of the fastigial nucleus target the ventral intermediate nucleus of the thalamus, while the remaining projections target the descending pathways to the brainstem and the spinal cord (Asanuma et al., 1983; Coffman et al., 2011). The major output from the fastigial nucleus participates in the control of whole body posture, orientation and locomotion. This is in agreement with our functional connectivity results showing no group difference in the communication between the superior vermis, the thalamus and the motor cortical areas. In our patients the vermal hypertrophy was found in the superior vermis and not in the caudal vestibular part of the vermis (IX–X), suggesting that the vestibular cerebellum might not be involved in orthostatic tremor. Moreover, the stimulation of the cerebellar hemisphere decreased the tremor amplitude but did not impact the functional connectivity between the vermis and the premotor area. This further supports that the vermis-induced compensatory effect is likely exerted through the descending vermal projections to the spinal cord.

**Potential limitations**

The engagement of the cerebello-thalamo-cortical in our patients with orthostatic tremor was recorded in the absence of tremor itself. MRI recordings were acquired on patients in supine position when they were free of any tremor. Thus, our results cannot be interpreted in terms of tremor generation, but as subjacent changes associated with this pathology. Nevertheless, some of our results are consistent with the results of PET studies in which brain activation was recorded in concomitance with tremor. A single case study involving an orthostatic tremor patient...
Cerebellar defects in orthostatic tremor

BRAIN 2016: 139; 2182–2197 | 2195

during upright standing showed hypermetabolism in the primary motor cortex and the cerebellar vermis (Guridi et al., 2008). Another study involving four patients with orthostatic tremor in supine position with their outstretched right upper limb causing a 14–16 Hz arm tremor, found hypermetabolism bilaterally in the cerebellar hemispheres, the cerebellar vermis, and in the thalamus (Wills et al., 1996). Thus, the present resting state study was successful in isolating brain networks that show intrinsic abnormalities that are also observed during tremor occurrence. Any parameter extracted from functional MRI data is by its nature indirectly linked to any macroscopic manifestation of a (patho)physiological process.

Due to limited temporal resolution of functional MRI, our study could not provide any information about any possible high frequency oscillatory activity related to the 14–18 Hz tremor travelling within the cerebello-thalamo-cortical network in orthostatic tremor. Future studies using EEG and/or MEG with higher temporal resolution during the occurrence of tremor could investigate the coherence between the EMG and the activation of the nodes of the cerebello-thalamo-cortical network.

Among the 17 patients involved in the study, 11 patients had clonazepam medication. Individual clonazepam intake was considered as a nuisance covariable in all the analyses. Blood monitoring of the unbound clonazepam levels would have helped in removing more precisely the effect of the medication on the ALFF and the functional connectivity analyses. However this procedure was not included in the Institutional Review Board-approved protocol.

As did perform a resting-activation study, we could not superpose directly the somatomotor representations of the lower limbs on the areas with structural or functional defects. Our suggestion that different body part representations are involved in essential tremor and orthostatic tremor is based on a priori somatotopic regions taken from atlases or other studies looking at lower limb representation. However, patients with orthostatic tremor could have abnormal representation of the lower limb, which we did not specifically measure in the present study.

As our repetitive TMS design did not include sham stimulation, we cannot completely rule out a placebo effect. However, such a placebo effect is unlikely, as the stimulation did not induce any subjective improvement; the decrease of tremor amplitude was only noticeable on the EMG analysis. Also, the stimulation induced specific changes of the lateral cerebello-cortical connectivity and no change in the medial cerebello-cortical connectivity involving the vermis, nor in the default mode network.

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Supplementary material

Supplementary material is available at Brain online.

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