NALCN channelopathies
Distinguishing gain-of-function and loss-of-function mutations

ABSTRACT

Objective: To perform genotype–phenotype analysis in an infant with congenital arthrogryposis due to a de novo missense mutation in the NALCN ion channel and explore the mechanism of pathogenicity using a Caenorhabditis elegans model.

Methods: We performed whole-exome sequencing in a preterm neonate with congenital arthrogryposis and a severe life-threatening clinical course. We examined the mechanism of pathogenicity of the associated NALCN mutation by engineering the orthologous mutation into the nematode C elegans using CRISPR-Cas9.

Results: We identified a de novo missense mutation in NALCN, c.1768C>T, in an infant with a severe neonatal lethal form of the recently characterized CLIFAHDD syndrome (congenital contractures of the limbs and face with hypotonia and developmental delay). We report novel phenotypic features including prolonged episodes of stimulus-sensitive sustained muscular contraction associated with life-threatening episodes of desaturation and autonomic instability, extending the severity of previously described phenotypes associated with mutations in NALCN. When engineered into the C elegans ortholog, this mutation results in a severe gain-of-function phenotype, with hypercontracture and uncoordinated movement. We engineered 6 additional CLIFAHDD syndrome mutations into C elegans and the mechanism of action could be divided into 2 categories: half phenocopied gain-of-function mutants and half phenocopied loss-of-function mutants.

Conclusions: The clinical phenotype of our patient and electrophysiologic studies show sustained muscular contraction in response to transient sensory stimuli. In C elegans, this mutation causes neuronal hyperactivity via a gain-of-function NALCN ion channel. Testing human variants of NALCN in C elegans demonstrates that CLIFAHDD can be caused by dominant loss- or gain-of-function mutations in ion channel function.

GLOSSARY

CLIFAHDD = congenital contractures of the limbs and face with hypotonia and developmental delay; CRISPR = clustered regularly interspaced short palindromic repeats; IHPRF = infantile hypotonia with psychomotor retardation and characteristic facies.

NALCN is a conserved cation channel related to voltage-gated sodium and calcium channels. The NALCN family of channels is expressed throughout the nervous system in all metazoans studied and conducts a persistent sodium leak current that contributes to tonic neuronal excitability.¹,²

Null mutations in orthologs of NALCN in mice, flies, and worms cause generalized paralysis with disruption of periodic behaviors such as breathing, circadian rhythms, or rhythmic motor circuits.¹⁻⁴ Loss of these behaviors is likely caused by hyperpolarized membrane potentials in the neurons of these mutants.¹,⁵ Loss-of-function mutations in the human NALCN have been

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described, which cause an autosomal recessive condition resulting in infantile hypotonia with psychomotor retardation and characteristic facies (IHPRF [MIM #615419]).

However, a new class of autosomal dominant NALCN mutations was identified in humans with a novel phenotype characterized by congenital distal arthrogryposis and pursed facial expression suggesting a hypercontracted phenotype—designated congenital contractures of the limbs and face with hypotonia and developmental delay (CLIFAHDD syndrome [MIM #616266]). Because there is a significant degree of phenotypic overlap in patients with IHPRF, these mutations were hypothesized to function as dominant negative proteins that cause a loss-of-function phenotype. However, overexpressing a mutant NALCN ortholog in Caenorhabditis elegans led one group to conclude that the dominant channelopathy is caused by gain-of-function NALCN.

To determine whether CLIFAHDD syndrome is caused by gain or loss of NALCN function, we engineered the orthologous missense mutation from our patient and 6 other published individuals into the C. elegans genome by CRISPR-Cas9. We found that the underlying pathologic mechanism of our patient’s mutation is a gain-of-function change in NALCN. However, the additional mutations modeled in C. elegans demonstrate that the condition can be caused by both gain-of-function and loss-of-function changes in the ion channel.

**METHODS** Family. The family described includes the proband, a preterm neonate born at 31 and 4/7 weeks’ gestation, and her unaffected, nonconsanguineous parents of European heritage.

Standard protocol approvals, registrations, and patient consents. We obtained written informed consent for the collection of blood samples for DNA extraction. Counseling was performed before clinical whole-exome sequencing for all participants. The institutional review board at the University of Utah approved this study (IRB 25651, K.J.S.).

Exome sequencing and variant analysis. We performed diagnostic whole-exome sequencing in a trio using DNA extracted from whole blood. Genomic DNA was extracted using a Gentra Puregene Blood Kit (QIAGEN, Valencia, CA). Exons were captured with an Agilent SureSelect kit (Agilent Technologies, Inc., Santa Clara, CA) and sequenced with 2 × 100 base-pair paired ends on an Illumina HiSeq 2500 (Illumina, Inc., San Diego, CA). The sequences were aligned to Hg19 using the Burrows-Wheeler Aligner (0.5.11), and variants were called with Genome Analysis Toolkit (v.1.6). More than 92.7% of bases sequenced had a quality score greater than 10 and variants with a quality score <10 were removed to avoid false positives. We excluded variants with an allele frequency greater than 1% in dbSNP (Single Nucleotide Polymorphism Database), 1000 Genomes Project, and 6500 Exomes. Further filtering removed synonymous variants, deep intronic variants, and those in 5’ and 3’ untranslated regions. We confirmed family relationships with short tandem repeat markers. The NALCN variant was confirmed by Sanger sequencing.

C. elegans strains and genetics. Strains were cultured and maintained using standard methods. All strains and plasmids used in this study are listed (table e-1 at Neurology.org). CRISPR-Cas9 repair templates were made by Gibson cloning into pBlueScript including: 1- to 3-kb homology arms with the orthologous NALCN mutation in nca-1, a loxP-flanked unc-119(+) positive selection cassette (intron 10), and a silent mutation in the PAM recognition site. Guide RNA constructs were made by Golden-Gate oligo annealing. Plasmid DNA was injected into the germline of unc-119(eds3) animals including Cas9-pDD162 (30 ng/μL), repair template (30 ng/μL), guide RNA (30 ng/μL), and coinjection markers for negative selection of extrachromosomal arrays. CRISPR events were detected by unc-119 rescue, survival following peel-1 expression, and the absence of red fluorescence expression. The wild-type control was made by isolating a CRISPR event that included the unc-119(+) selection but no mutation. The unc-119(+) selection marker was excised from the genomes of all strains by injection of CRE recombinase-pDD104 with pBlueScript (50 ng/μL) and coinjection markers.

C. elegans analysis. Sequence alignment and amino acid positions are based on the rescuing isoform, nca-1d (wormbase release WS247). Images were captured on a Zeiss AxioSkop compound microscope with a 20× air objective (Carl Zeiss Microscopy, LLC, Thornwood, NY). Tracks were generated by animals crawling for 3 minutes on fresh OP50 plates. We photographed the tracks on a dissecting scope and traced them in Adobe Photoshop (Adobe Systems Inc., San Jose, CA). Behavioral scoring was conducted blind on plates staged with 10 L4-larva 24 hours before the scoring. All strains included in this study were scored together with replicates randomly mixed. Aldicarb plates were prepared with 2 mM aldicarb in agar. All strains were assayed together in a blinded experiment with a replicate from each strain included for 6 trials. For a trial, 10 animals were placed per plate and paralysis was assessed every 15 minutes. Paralysis was scored based on complete cessation of movement and lack of response to a nose tap.

RESULTS Clinical assessment indicates sustained neuronal activity resulting in muscular hypercontraction. Prenatal/neonatal course. The proband is a female infant born at 31 and 4/7 weeks of gestation, delivered via C-section following detection of polyhydramnios and abnormal fetal heart tracings. Respiratory distress was present from birth. Intubation was attempted but unsuccessful because of small mouth, microretrognathia, and jaw contracture. She ultimately required intubation in the operating room with complete paralysis. She was hypertensive from birth.

Dysmorphic features. Distinctive craniofacial features and distally predominant limb contractures were evident at birth (figure 1).
Abnormal motor activity. She demonstrated rhythmic hypercontraction of arms and legs described as cycling, facial grimacing, and eyelid myotonia with inability to relax for up to several minutes. Such episodes occurred up to 80 per day, and treatment trials of carbamazepine, phenobarbital, levetiracetam, or phenytoin were ineffective; however, clonazepam modestly lessened the frequency and severity of rhythmic limb activity. With severe episodes, she developed whole body rigidity and oxygen desaturation despite ventilation.

Autonomic instability. Over the ensuing weeks, she experienced recurrent life-threatening autonomic crises associated with apnea, bradycardia, worsening hypertension, hyperthermia, and recurrent desaturation events in response to routine handling including suctioning or repositioning.

Electrophysiologic studies. Central nervous system. EEGs were performed on 4 occasions, followed by several days of continuous bedside monitoring for seizure activity. EEGs revealed abnormal background activity characterized by occasional rhythmic slowing. However, we observed no epileptiform activity during spells of rhythmic leg cycling, apnea, bradycardia, hypertension, or increased muscular tone.

Peripheral nervous system. EMG and nerve conduction testing demonstrated severely diminished ulnar, median, and peroneal compound muscle action potential amplitudes (<10% expected normal values) with preserved median sensory response. Needle insertion in both proximal and distal muscles during EMG elicited abnormal persistent motor unit recruitment for up to 5 minutes in association with sustained visible muscular contraction, despite the absence of further needle movement. Occasional neuromyotonia and complex repetitive discharges were observed, but were limited to distally innervated intrinsic hand muscles. No myotonia was observed in limb muscles, even after distal cooling. We noted moderately reduced recruitment of relatively normal-appearing motor units in distally innervated muscles, but recruitment in more proximal muscles appeared

Figure 1  Clinical features in the proband

(A) Note overall characteristic pattern of limb contractures most significantly affecting distal upper extremities, with campodactyly, adducted thumbs, and ulnar deviation, and less obvious foot, knee, and elbow contractures. (B) Notable facial features (partially obscured by endotracheal tube) include pursed lips and small mouth with associated jaw contracture, microretrognathia, eyelid and facial myotonia, short palpebral fissures, square face, and frontal bossing. (C) Facial appearance during period of relatively greater relaxation and attempted eye opening following partial resolution of eyelid myotonia.
normal. Overall, the pattern of abnormalities on EMG and nerve conduction studies supported a predominantly neurogenic process most severely affecting distally innervated limb muscles. However, the most striking clinical abnormality appeared to be a markedly delayed relaxation of muscles following activation resulting in involuntary sustained muscular contraction most predominantly affecting the masseter, perioral, and periorcular facial muscles, laryngeal, chest, and proximal upper extremity muscles. One episode during EMG testing resulted in involuntary jaw closure and laryngeal muscular hyperactivity associated with desaturation persisting for a full 5 minutes. During this time, motor unit appearance and recruitment in the masseter muscle appeared entirely normal, but was involuntarily sustained, indicating possible motor neuron/axon hyperexcitability.

Neuroimaging studies. Brain MRI revealed punctate and linear nonhemorrhagic foci in the periventricular white matter on T2 fluid-attenuated inversion recovery and T1-weighted images, consistent with prematurity-associated injury. Magnetic resonance angiography and magnetic resonance spectroscopy were normal.

Clinical overview. Initial differential diagnoses included a variant of Freeman-Sheldon syndrome (FSS or DA2A) based on the pattern of limb contractures and pursed facial appearance, or Stuve-Wiedemann syndrome. Clinical genetic testing for mutations in MYH3 and LIFR were negative. At 2 months of age, tracheostomy and gastrostomy tubes were placed, but recurrent crises continued. Because of her increasing distress and inability to maintain sleep, comfort measures were instituted and respiratory support was withdrawn at 4.5 months of age. The patient died quickly following exruberation and the parents declined further studies.

Exome sequencing identified a heterozygous mutation in NALCN. Exome sequencing of the proband and parents identified a de novo mutation in NALCN (c.1768C>T; p.Leu590Phe) (figure e-1). The mutation was novel at the time, but the exact same mutation has been published since then in an unrelated patient with CLIFAHDD syndrome. The mutation maps to the S6 segment in the second domain—a region that forms the channel gate in related voltage-gated sodium channels (figure 2, A and B). This mutation could create a constitutively open channel that results in a dominantly gain-of-function defect. In addition, a duplication of approximately 409 kb at 4q32.3 was detected by SNP microarray. The genes in this region are not known to be associated with disease, and given the small size of the duplication, is unlikely to contribute to the patient’s phenotype.

Validation of pathogenicity of NALCN mutation in C elegans. To test this model, we turned to C elegans, in which both loss-of-function and gain-of-function alleles have been characterized in the orthologous NCA channels. There are 2 redundant NALCN family members expressed in C elegans, nca-1 and nca-2. Loss-of-function mutations in both homologs cause a recessive phenotype: animals have normal body posture but when stimulated by touch, crawl away then suddenly halt in a stereotyped manner termed “fainting.” By contrast, gain-of-function variants in nca-1 are dominant and result in hypertonia, smaller body size, and curly posture (figure 3B).

We engineered the patient’s NALCN mutation (L590F) into the orthologous position in the C elegans gene using the CRISPR-Cas9 system to generate NCA-1(V637F) in the native locus (figure 3A). Animals with this single residue change resembled the characterized gain-of-function mutation NCA-1(A643V), which results in a constitutively open channel. Moreover, the strain with the human mutation was indistinguishable from the very severe gain-of-function mutant NCA-1(D647E). All 3 of these missense mutations led to small body size and curly posture compared to the wild-type control (figure 3B). We made the control strain by the same strategy as the mutant; however, no mutation was introduced. The mutants are easily distinguishable from the wild-type control in a blinded behavioral scoring assay (figure 3C). Given 3 minutes on fresh food, wild-type animals will explore a distance greater than 20 mm. In contrast, animals with the A643V, D647E, or V637F mutation display dramatically reduced locomotion (figure 3D). All 3 variants exhibit semidominant inheritance; heterozygous animals exhibit an intermediate phenotype (figures 3, B and D).

Uncoordinated locomotion and curly posture are consistent with neuronal dysfunction, but this phenotype could be attributable to an increase or decrease in synaptic transmission. To test neurotransmitter release, the animals were assayed for sensitivity to the acetylcholine esterase inhibitor aldicarb. This drug blocks acetylcholine degradation and causes hypercontraction and excitatory paralysis. Strains with excess transmission at neuromuscular junctions are hypersensitive to the paralyzing effect of the aldicarb. The known gain-of-function mutations (A643V and D647E) and the patient’s mutation (V637F) caused aldicarb hypersensitivity, indicating an increase in neurotransmitter release at C elegans neuromuscular junctions (figure 3E). Of note, the null mutation in nca-1 has no effect on aldicarb sensitivity or behavior since the nca-1 and nca-2 genes are functionally
The D647E and V637E mutations are especially severe, and the time on aldicarb required to paralyze 50% of the worms (t1/2 for paralysis) is significantly shorter than the wild-type control (figure 3F). The A643V strain displayed a relatively weak hypersensitivity; however, the rate of paralysis (slope) was significantly greater than the wild-type control (figure 3G). Together, these findings support the classification of L590F in human NALCN as a dominant gain-of-function, pathogenic mutation.

Different CLIFAHDD mutations cause gain or loss of channel function in C elegans. To test whether all CLIFAHDD mutations cause a gain-of-function channel, we engineered 6 previously described missense changes into C elegans nca-1 (figure 2A). The A643V strain displayed a relatively weak hypersensitivity; however, the rate of paralysis (slope) was significantly greater than the wild-type control (figure 3G). Together, these findings support the classification of L590F in human NALCN as a dominant gain-of-function, pathogenic mutation.

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In contrast, the mutations identified as fainters had no effect on aldicarb sensitivity (figure e-2). In summary, our results suggest that mutations responsible for CLIFAHDD syndrome may disrupt NALCN channel function by increasing or decreasing ion channel function.

**DISCUSSION**

One problem emerging from diagnostic next-generation sequencing is the classification of mutations that are not immediately pathogenic. The proband's NALCN variant is pathogenic in *Caenorhabditis elegans* and phenocopies a known gain-of-function allele. CRISPR modification of nca-1 and CRISPR modification of nca-1 (WT)<559V< (C–E). In contrast, the mutations identified as fainters had no effect on aldicarb sensitivity (figure e-2). In summary, our results suggest that mutations responsible for CLIFAHDD syndrome may disrupt NALCN channel function by increasing or decreasing ion channel function.
novel genetic variants. This difficulty is compounded by phenotypic variation due to genetic background. A possible solution is to compare novel variants in a model organism with a fixed genetic background. Here, we used the nematode *C. elegans* to test 7 de novo mutations in the human *NALCN* gene responsible for CLIFAHDD syndrome. We found that all 7 variants were deleterious with dominantly inherited phenotypes. Thus, the worm appears to be a good model for CLIFAHDD—all mutations result in measurable phenotypes with the same inheritance pattern observed in humans.

Pathogenic mutations in *NALCN* can be categorized by different modes of inheritance. IHPRF syndrome is recessive and appears to be caused by null mutations since they truncate the *NALCN* protein.** CLIFAHDD syndrome is dominantly inherited and caused by pathogenic missense mutations in *NALCN*. Previous reports disagree on the mechanism of CLIFAHDD suggesting it may result from protein loss- or gain-of-function.**–** Our functional assays in *C. elegans* demonstrate that both outcomes are possible. We identified a subset of CLIFAHDD mutations with well-characterized gain-of-function...
phenotypes. These mutations cluster around the gate of the ion channel and likely increase current. By contrast, we also observed a class of mutations that act as dominant-negatives (antimorphs) in the nematode. Animals with these mutations display a stereotyped “fainting” behavior. Antimorphic channels likely cause the aggregation and degradation of wild-type NALCN, similar to mutations described in related sodium and calcium channels.22–23 Thus, 3 mechanisms give rise to NALCN channelopathies: (1) IHPRF—recessive loss-of-function, (2) CLIFAHDD—dominant gain-of-function, and (3) CLIFAHDD—dominant antimorphic.

Unfortunately, the human phenotypes that result from the different genetic mechanisms of NALCN pathology are not so easily placed into these categories. IHPRF and CLIFAHDD "syndromes" are characterized by dysmorphic features and neurodevelopmental disease with a significant number of shared features. Both IHPRF and CLIFAHDD patients display regional hypotonia and intellectual disability, and members of each group experience seizures. The most consistent feature attributed exclusively to CLIFAHDD syndrome is distal arthrogryposis (reported in 18 of 19 patients described to date) (figure 1).2–11 Distal contractures were not noted in the 11 patients described with IHPRF.4–8

Our results complicate this picture further, since it appears that gain- or loss-of-function mutations in NALCN can lead to a CLIFAHDD diagnosis. These results are consistent with the overlap between CLIFAHDD and IHPRF but at odds with the distinctive feature such as arthrogryposis. Given that NALCN is expressed in excitatory and inhibitory neurons, both sides of a balanced circuit will be affected by functional changes to the channel. Therefore, the motor output of a given circuit is difficult to predict and likely reflects homeostatic limits to the system. Therefore, the degree of phenotypic overlap between these syndromes may not be surprising.

The phenotypes observed in CLIFAHDD and IHPRF do suggest that the NALCN ion channel functions very broadly in the nervous system. Sustained muscular hyperactivity and failure of relaxation of limb and cranially innervated muscles, in conjunction with the observed rhythmic cycling activity of the limbs, suggest that this ion channel functions in both upper and lower motor neurons and associated circuitry in the peripheral nervous system as well as the CNS. Hypereexcitability of the motor unit and the resulting overactivity of muscles innervating both limbs and cranial structures, if present during fetal development, help to explain the characteristic dysmorphology and distally predominant congenital contractures observed in these patients.

Redefining the mechanisms of CLIFAHDD syndrome changes the approach for treating these patients. Gain-of-function variants could be targeted with ion channel blockers to decrease cellular excitability. Further functional studies are needed to identify specific blockers of NALCN. However, current medications in use for epilepsy or other indications may prove to be valuable candidates. Alternatively, NALCN variants identified with a loss-of-function mechanism may benefit from a global increase in cellular excitability. Unfortunately, both avenues will require extensive investigation and clinical trials. What is critical is that care providers practice caution before delivering such drugs because different patients with CLIFAHDD may respond in dramatically different ways. In particular, testing specific variants in C. elegans may lead to more accurate diagnoses and drug treatments.

Comment:
Genotype-phenotype correlation with CRISPR-Cas9—Bedside to bench

Technological improvements and decreasing costs have led to increased use of next-generation sequencing as an a priori approach to clinical diagnosis. This approach lends itself to important discoveries of novel genotypic etiologies and phenotypic associations.

In the current report, the authors present a case of congenital arthrogryposis in an infant with a de novo missense mutation in the NALCN gene identified with whole-exome sequencing.1 Two groups originally reported NALCN mutations in 2013 in association with congenital contractures of the limbs and face with hypotonia and developmental delay (CLIFAHDD) syndrome.2–3 In contrast to earlier reports, Bend et al. describe in their patient the clinical electrophysiologic features of peripheral motor system hyperexcitability, thus expanding the phenotypic spectrum of NALCN-related disorders.

These findings are further investigated by probing the functional consequences of the orthologous missense NALCN mutation from their patient, as well as other previously reported NALCN mutations, using the CRISPR-Cas9 system in the model organism Caenorhabditis elegans. Consistent with their patient’s clinical features of peripheral motor system overactivity, the authors nicely demonstrate in C. elegans a gain of function as a consequence of the patient’s mutation. Furthermore, other mutations previously reported in association with CLIFAHDD also had either loss- or gain-of-function consequences.

The authors’ approach is an excellent example of how to use the CRISPR-Cas9 in a model system to investigate the functional consequences of missense mutations. The authors’ use of motor behavior of C. elegans as a straightforward readout and the conserved nature of the NALCN gene makes the studies more easily interpreted. However, this paradigm may be less suited to the study of other disorders with more complex phenotypic-genotypic relationships, or in the study of less well-conserved genes.

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AUTHOR CONTRIBUTIONS
Eric G. Bend: design and conceptualization of the animal model studies, acquisition of data, analysis or interpretation of data, drafting/revising manuscript for content. Yue Si: acquisition of data, analysis or interpretation of data, drafting/revising manuscript for content. David A. Stevenson: acquisition of data, analysis or interpretation of data, drafting/revising manuscript for content. Tara M. Newcomb: drafting/revising the manuscript for content, study coordination. Erik M. Jorgensen: design and conceptualization of the animal model studies, analysis or interpretation of data, drafting/revising manuscript for content. Kathryn J. Swoboda: design and conceptualization of clinical investigation, data acquisition, drafting/revising the manuscript for content, analysis or interpretation of data, obtaining funding.

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DISCLOSURE
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