Abstract

Representation learning for proteins has primarily focused on the global understanding of protein sequences regardless of their length. However, shorter proteins (known as peptides) take on distinct structures and functions compared to their longer counterparts. Unfortunately, there are not as many naturally occurring peptides available to be sequenced and therefore less peptide-specific data to train with. In this paper, we propose a new peptide data augmentation scheme, where we train peptide language models on artificially constructed peptides that are small contiguous subsets of longer, wild-type proteins; we refer to the training peptides as “chopped proteins”. We evaluate the representation potential of models trained with chopped proteins versus natural peptides and find that training language models with chopped proteins results in more generalized embeddings for short protein sequences. These peptide-specific models also retain information about the original protein they were derived from better than language models trained on full-length proteins. We compare masked language model training objectives to three novel peptide-specific training objectives: next-peptide prediction, contrastive peptide selection and evolution-weighted MLM. We demonstrate improved zero-shot learning performance for a deep mutational scan peptides benchmark.

1 Introduction

Proteins are integral to all cellular functions in living organisms. Proteins of length less than 50 amino acids, called peptides, can have different functional properties from larger proteins partly due to physical characteristics (shorter length and simpler three-dimensional folded structures) and partly due to their distinct biological or therapeutic roles\[13, 20\]. Naturally occurring peptides typically act as chemical messengers within and outside cells by interacting with other molecules like cellular receptors or antigens \[2\]. They also play key roles as interaction partners and messengers in the immune system of multicellular organisms \[19\]. Given their functional properties and ease of
modification using laboratory techniques, peptide drug development has emerged as a promising area of therapeutic research and development [31].

While there has been several prior works in self-supervised protein models [24, 11, 12, 15, 16, 17, 9, 22], unfortunately the ability of these models to represent peptide sequences is understudied, as there are relatively few known naturally occurring peptides to experiment with. Few works [7, 25] have focused on peptide sequences, training transformer and convolutional models to predict peptide detectability by mass spectrometry. However, these methods involve supervised training over mass-spectra data, and do not explore leveraging large-scale proteomic data with self-supervised learning.

In this work we train self-supervised learning models for peptides inspired from natural language modeling with the input representation being the primary sequence. We propose a new peptide-specific data augmentation framework, which we call “chopped proteins.” Our data augmentation strategy involves simulating the primary sequence of novel peptides by randomly sampling contiguous subsets of longer protein primary sequences available in common databases, such as UniRef[27]. This sub-sampling scheme is inspired by intracellular proteasomal cleavage mechanisms [28], where proteins are degraded by being chopped into smaller peptides by protease enzymes. We train and evaluate the peptide models on chopped proteins using different training objectives. We use standard masked language modeling (MLM) [10] objective, and explore additional alternatives, exploiting pairwise peptide relationships and prior evolutionary information.

2 Methods

Baseline model, ESM1b.— ESM1b [24] is a protein transformer model, composed of 33 layers with embedding dimension $d = 1280$. It was trained over 30M cluster representative amino-acid sequences (i.e. primary structures), from the UniRef50 [27] dataset, using BERT-like MLM self-supervised training. ESM1b has previously achieved state-of-the-art performance, in comparison to competitive sequence based models, in mutational effect, secondary structure, and long-range contact prediction tasks. In addition, the authors have released the pretrained model weights [3]. Hence, we have chosen to use this model as our baseline, while utilizing the same architecture and initialization from the provided pretrained weights for our peptide-based training.

Chopped proteins from UniRef50.— In order to apply large scale self-supervised training for short length peptide sequences, we utilize available large protein data, and randomly “chop” small contiguous subsets from the given long protein sequences, as illustrated in Figure 3a. When “chopping”, peptide sequence length is uniformly sampled from 8 to 50. As in ESM1b, we also utilize the UniRef50 [27] dataset. UniRef database provides clustered sets of sequences (from UniProt and UniParc) to obtain complete coverage of sequence space at several resolutions. UniRef50 consists of clustered sequences at 50% sequence identity level while hiding redundant sequences. Like ESM1b, we only utilize cluster representative sequences, which contain the most biological information. This dataset, also known as UniRef50-sparse (UR50-S), contains 30M cluster representative protein sequences. We use the test set provided by ESM1b and randomly split the remaining sequences between training (90%) and validation (10%) sets.

Masked Language Modeling (MLM).— This objective, originally proposed in BERT [10], is commonly used for learning language and protein representations [24, 11, 15, 1]. For each sequence x, we sample a set of indices $M$ to mask, with probability $p=0.15$, replacing the true token at each index $i$ of the input sequence with either (1) the <MASK> token ($p=0.8$); (2) a random amino acid token ($p=0.1$); or (3) the unchanged $i^{th}$ token ($p=0.1$). For each index $i \in M$, we independently minimize the negative log likelihood of the true amino acid $x_i$ given the masked input sequence $x/M$ as context: $L_{MLM} = -\mathbb{E}_M \left[ \sum_{i \in M} \log p(\hat{x}_i = x_i | x/M) \right]$, where $\log p(\hat{x}_i = x_i | x/M)$ is the logit corresponding to the true $i^{th}$ token. Figure 3a illustrates applying MLM on chopped proteins.

Alternative training objectives.— We additionally explore several alternative training objectives. We consider complementing the MLM objective with an additional, auxiliary, sequence-level, objective for learning pairwise peptide relationship. We propose the ‘Next-Peptide-Prediction’ (NPP) objective, which is equivalent to BERT’s ‘Next-Sentence-Prediction’ objective, and an additional

[^https://github.com/facebookresearch/esm]
Table 1: Exponentiated Cross Entropy (ECE) results for sequence models using an MLM or derivative objective. Lower value is better, lowest value for each trained model is shown in bold.

| Evaluation set | ESM1b | Pept. MLM | Pept. NPP | Pept. C-NPP | Pept. BMLM |
|---------------|-------|-----------|----------|------------|------------|
| peptides      | 6.6144±0.0073 | 6.3136±0.0063 | **6.3131±0.0074** | 6.3386±0.0048 | 6.5331±0.0071 |
| proteins      | 4.8184±0.0087 | 4.4207±0.0095 | **4.3937±0.0061** | 4.5204±0.0020 | 4.5523±0.0049 |

Figure 1: Peptide context prediction accuracy over different peptide length bins. Average accuracy across 4 masked out context residues, beyond the peptide’s edges, is shown.

Peptide models have improved generalization to hold-out peptides and proteins— The most straightforward evaluation for trained sequence models is to assess their performance on hold-out sequences using the exponentiated cross entropy (ECE) metric. ECE is the exponential of the model’s MLM loss averaged per token ($2^{\frac{L_{MLM}}{L}}$). The ECE metric is analogous to perplexity commonly used for evaluating language models. We report ECEs for all models over two subsets of UR50-S test set composed of peptides (short protein sequences) and proteins (of all lengths), respectively (Table 1). The peptide models achieved markedly better ECEs than ESM1b on both evaluation sets.

Peptide models predict amino acids flanking a peptide sequence— By padding peptide sequences with mask tokens, and attempting to recover the correct amino-acids found in corresponding positions in the origin protein sequences, we evaluate model’s ability to predict peptide context (also referred to as peptide’s flanking regions). Despite similarity to MLM, this task is more challenging due to the selection of consecutive regions on the edges as the masked tokens. In addition, this task has biological significance as a peptide’s context plays an important role in proteasomal cleavage [28]. The evaluation was performed on randomly chopped sequences from a subset of long UR50-S test sequences on various sequence length bins, as shown in Figure 1. The results suggest that our peptide transformer models significantly outperform the baseline ESM1b protein model across short and long sequences. However, no statistically significant performance difference was found between the various training objectives. Further, it is important to note that context prediction accuracy consistently improves as sequence length increases, with all models, despite peptide transformer models being
Table 2: Zero-shot mutational effect analysis (experimental measurement modality). Average Spearman’s $\rho$ is shown, aggregated on the measurement modality of the DMS experiments. Number of studies for each modality shown in brackets. ‘Winning’ model for each modality is highlighted.

| Measurement                  | ESM1b | Pept. BMLM | Pept. C-NPP | Pept. MLM | Pept. NPP |
|------------------------------|-------|------------|-------------|-----------|-----------|
| E1 reactivity (1)            | 0.1839| 0.2124     | 0.2872      | 0.3332    | 0.3034    |
| Enzyme function (3)          | 0.4290| 0.4375     | 0.4258      | 0.4399    | 0.4307    |
| Growth (20)                  | 0.4110| 0.4198     | 0.4465      | 0.4589    | 0.4575    |
| Ligase activity (1)          | 0.4169| 0.4153     | 0.4294      | 0.3955    | 0.4405    |
| MIC (1)                      | 0.6607| 0.6374     | 0.6602      | 0.6703    | 0.6672    |
| Peptide binding (2)          | 0.5477| 0.4932     | 0.6146      | 0.5719    | 0.5857    |
| Viral replication (5)        | 0.3920| 0.4075     | 0.3624      | 0.3857    | 0.3951    |
| Yeast growth (3)             | 0.3951| 0.3930     | 0.3948      | 0.4049    | 0.4030    |

Table 3: Clustering efficiency in recapitulating phyla memberships of proteins in three Pfam families. For each family, performance is reported as average 1 nearest-neighbor generalization error for recovering phyla affiliations. Lower is better, lowest value for each Pfam family is shown in blue.

| Pfam family     | Untrained | ESM1b | Pept. BMLM | Pept. MLM | Pept. NPP | Pept. C-NPP | Pept. BMLM |
|-----------------|-----------|-------|------------|-----------|-----------|-------------|------------|
| Beta-lactamase  | 0.2504    | 0.0935| 0.0934     | 0.0975    | 0.1238    | 0.0933      |
| SH3             | 0.3364    | 0.1685| 0.1694     | 0.2063    | 0.2363    | 0.1737      |
| WW              | 0.3762    | 0.2262| 0.2357     | 0.2842    | 0.2957    | 0.2234      |

only fine-tuned on short peptides, thus suggesting these models may preserve information learned from full proteins when fine-tuned on short peptides.

Functional analysis with zero-shot mutation effect prediction.— Evaluating the effects mutant protein sequence over its Wild-Type (WT) counterpart is a fundamental problem for understanding and designing proteins. Previous study [18] found that pretrained protein language model can be used to score mutational effects without any training (zero-shot transfer). We took 36 previously published deep mutational scans (DMS) [23], each of which experimentally quantifies a set mutant sequences over the WT protein. The goal of this task is to regress the mutant effects over its WT with zero-shot transfer. The WT marginal scoring scheme previously used in the ESM1v publication [18] was used for this task. Results, calculated as Spearman’s $\rho$ for the effect measured in each study’s set of mutated sequences versus the predicted likelihood ratios over the WT counterparts, are shown in Table 2 (Table A4 shows the same results when aggregated to the organism level). Distributions of sequence lengths are further described for each of these modality groups in Appendix Tables A2-A3.

Evolutionary information enrichment in sequence embeddings.— Previous studies [24, 11, 9] have illustrated that the representation space learned by protein models reflect evolutionary information. As such, without further supervision, these models are able to cluster evolutionarily related protein sequences closer than less related ones. We probe the impact of the continued training of protein models on chopped sequences on this ability. We sample common Pfam protein families [4], including beta-lactamase (PF00144), SH3 domain (PF00018), and WW domain (PF00397), and apply t-SNE [29], for each family (Fig 5), on the extracted protein representations. We follow van der Maaten et al. [30] and calculate the generalization error from 1-nearest neighbor classifiers that are trained on the low-dimensional data representation. Our results (Table 3) show that the BMLM peptide model outperforms ESM1b on beta-lactamase and WW families, while achieving competitive performance on SH3 family. Notably, the three representative protein families cover a range of sequence lengths: beta-lactamase (324 AAs), SH3 (47 AAs), and WW (30 AAs).
Table 4: **Sequence type generalization analysis.** Comparison of models trained on natural vs. artificial (“chopped”) short sequences. Models were trained with MLM objective. (FT) stands for models finetuned from ESM1b. We compare ECE (Lower is better) across test sets of each data type.

| Evaluation Set       | ESM1b Chopped (FT) | UR50-S Chopped (FT) | Peptide Atlas (FT) | UR50-S Shorts (FT) | UR50-S Chopped | Peptide Atlas | UR50-S Shorts |
|----------------------|--------------------|--------------------|--------------------|--------------------|----------------|---------------|---------------|
| UR50-S Chopped       | 7.0837             | 5.9511             | 6.3835             | 6.3526             | 6.2687         | 7.6378        | 6.5923        |
| Peptide Atlas        | 7.4915             | 6.1710             | 5.3595             | 6.5857             | 6.3551         | 5.3868        | 6.7195        |
| UR50-S Shorts        | 6.6286             | 6.3135             | 7.0923             | 5.9930             | 6.5024         | 8.3490        | 6.8436        |

**Sequence type analysis - Chopped vs. Natural** we compare our chopped-sequence models with models trained on natural peptides, derived either from short UR50-S sequences (∼1M peptides) or from Peptide Atlas [8] (∼3.5M sequences), which is the largest collection of mass-spectrometry identified peptides. In comparison to the chopped-sequence training, in which all ∼30M UR50 sequences are considered, and the random on-the-fly chopping adds sequence variability between different epochs, we get a much larger data scale of almost ∼30M * #epochs (we use 25 epochs in our experimental setup, and assume here that most sequences are long enough to produce different unique chopped sequences at each epoch). We compare performance of each model across the various test sets and observe, at Table[4] that while each model performs best on it’s dedicated data type, the models trained on chopped sequences generalize better than others to unseen sequence types.

Next, we seek to quantify the intrinsic differences of between chopped and natural peptide sequences and how such differences are perceived by different models (trained on different sequence types). We performed a balanced sampling of peptides from natural and chopped sequence test sets, and obtained their embeddings from models. To quantify the differences of those embeddings from the chopped and natural sequences, we examine the t-SNE projections of the embeddings and calculate the nearest-neighbor (NN) generalization error. Lower NN-error indicates the two populations are more distinctive whereas higher NN-error indicates the two populations are more intermixed in the embedding space. We use one-hot encoded sequence representations as the baseline trend for the intrinsic differences. ESM1b without finetuning was used as the baseline model. As shown in Fig[2] both ESM1b and its chopped-sequence finetuned variant learn to bring the embeddings from two populations closer than the baseline (one-hot encoding), whereas language models finetuned on naturals alone, learnt distinct embeddings for either set. All models showed a trend of generating more distinct embeddings for chopped and natural sequences as their length increases.

**4 Conclusion**

Proteins perform many essential functions in biological systems and can be successfully developed as bio-therapeutics. Here we presented an approach for self-supervised training on shorter chopped protein sequences. Our empirical results showed that these learned representations are beneficial for downstream peptide-related tasks, while slightly sacrificing the performances on some of the longer protein-focused tasks. Specifically, we observed peptide models outperformed ESM1b on context prediction accuracy in all length ranges, with improvement ranging from 24.5% on the longest to 41.7% for the shortest sequence lengths. We also found albeit having some differences with natural peptides, chopped protein sequences leads to models with improved generalization performance on out-of-distribution data. This indicates chopped proteins is a powerful data augmentation method for training protein language models.
Figure 2: **Protein sequence representations encode evolutionary information.** The differences in peptide representations quantified by 1-NN generalization error on test sequences is plotted at peptide lengths ranging from 8 to 50. Each line represents a distinct model. Lower error indicates the model embeddings from the two sequence populations (chopped and naturals) are more distinct.
Appendix

.1 Alternative training objectives

Next Peptide Prediction (NPP).— For the NPP objective, firstly we reinterpret the ‘next sentence prediction’ task from NLP, as proposed in the BERT paper [10], as ‘next peptide prediction’. As in Ref. [10], we concatenate two peptide sequences from the chopped protein dataset, with a special <SEP> token in between, as the input sequence to the model. Given the first peptide before the <SEP> token, the second peptide is either the correct next peptide in the parent protein sequence or a randomly sampled one. The objective is a binary cross-entropy loss applied over a linear projection from the <CLS> token output representation. Despite the ‘next-sentence-prediction’ objective being criticized in recent NLP publications [32, 3], no analogous research has been done for amino-acid sequences. We believe that due to the nature of the task, vocabulary and underlying chemical and structural considerations, NPP might be more challenging and hence lead to improved learned representations.

Contrastive NPP (C-NPP).— We introduce a contrastive extension of the NPP objective, where the model needs to learn to detect the correct next peptide sequence from the parent protein out of a large pool of candidates. Specifically, a batch of $N$ peptide samples will be composed of $N/2$ ‘first peptides’ and their corresponding $N/2$ ‘next peptides’. The <CLS> token output representation is projected to a lower dimension, using two separate sets of learned projection weights. Cosine similarity is computed to provide an estimated compatibility score between each possible pair of ‘first’ vs ‘next’ peptide sequences. The objective is composed of cross-entropy loss, following the contrastive objective defined in SimCLR [6], defined as follows for each positive pair of first and next peptides: $L_{C-NPP}(i) = -\log \frac{\exp(S(f_i, n_i)/\tau)}{\sum_{k=0}^{N/2} \exp(S(f_i, n_k)/\tau)}$, where $f_i$ is the projected representation of the $i$th first peptide, $n_i$ is the projected representation of the corresponding $i$th next peptide, $S$ stands for cosine similarity between the two elements, and $\tau \in \mathbb{R}^+$ corresponds to a real temperature scaling factor. For efficient evaluation, the potential candidate pool is restricted to the true next peptides from different samples within the same batch. In addition, we extended this objective with an equivalent loss term for predicting the previous (first) peptide, from the pool of first peptide candidates, for each next peptide. MLM loss is applied in addition to these two loss terms. A similar contrastive objective has been shown to improve performance of protein language-style models trained on full protein sequences [26].

BLOSUM MLM (BMLM).— For the BLOSUM MLM objective, as in MLM, each input sequence is modified by replacing a fraction of the amino acid tokens with a special mask token. The network is trained to predict the missing tokens from the modified sequence. The idea is to “smooth” the...

**Figure 3:** Self-supervised training procedures on chopped proteins. (a) Masked language modeling on chopped proteins. (b) NPP - a pair of chopped sequences is concatenated and processed jointly. A binary cross-entropy loss, over projected <CLS> token representation, optimizes classification of true vs fake next peptide. (c) C-NPP - first and next chopped peptides are processed separately. Compatibility between all first and next candidate peptides in the batch is computed via cosine similarity over projected <CLS> token representations. A contrastive cross-entropy loss is optimized to ensure correct pairs will receive higher compatibility scores than non-matching pairs.
one-hot removed token targets, based on the BLOSUM62 substitution matrix, and assign pseudo-probabilities to each amino-acid token based on the probability that it could substitute the removed token. The final objective is a KL-divergence loss between target and prediction distribution as follows: \( L_{BMLM} = \mathbb{E}_{M} \left[ \sum_{i \in M} D_{KL}(p(\hat{x}|x/M)||blosum(x_i)) \right] \), where \( M \) is the set of masked elements, \( D_{KL} \) denotes KL-divergence and \( \text{blosum}(x_i) \) is the “pseudo-probability” function for the amino acid \( x_i \). It returns a 1-d vector with 20 elements corresponding to a row in the BLOSUM matrix. The BLOSUM rows are transformed into pseudo-probabilities with Softmax.

2 Additional results

**Supervised evaluation on TAPE Stability task.**— We evaluated the performance of protein and peptide language models on the TAPE stability prediction task \([21]\). This task was selected because it contains exclusively peptides of length 45 amino acids. For this task, models were evaluated over 3 random seeds. Training was done with early stopping until validation loss plateaued over 10 epochs. A warm-up schedule was applied for the learning rate with 5000 warm-up steps.

Comparison of fine-tuning and linear probing on the TAPE stability task indicated improved generalization with linear probing. Results reported in this paper are from linear probing. Two peptide transformers, namely those trained with MLM and BMLM objectives, significantly outperformed the ESM1b baseline protein language model on the stability task. ESM1b is the current state-of-the-art on this task, achieving a Spearman’s \( \rho \) of 0.7365. When averaging over 3 random seeds, the Peptide BMLM and MLM models yield \( \rho = 0.7650 \pm 0.0067 \) and \( \rho = 0.7673 \pm 0.0154 \), respectively. The other peptide objectives did not exceed the performance of ESM1b, giving \( \rho = 0.6707 \pm 0.0185 \), and \( \rho = 0.6460 \pm 0.0141 \), using C-NPP, and NPP, respectively. To our knowledge, BMLM and MLM peptide transformers achieve a new state-of-the-art performance on this task.
Table A1: **Sequence length statistics for our UR50-S dataset splits.** Number of protein sequences shown in brackets in the first column.

| Split          | Average length | Minimum length | Maximum length |
|----------------|----------------|----------------|----------------|
| Train (24.4M)  | 311.7          | 16             | 36,991         |
| Validation (2.7M) | 311.6          | 16             | 34,984         |
| Test (3M)      | 311.6          | 16             | 34,674         |

Table A2: **Sequence length statistics for DMS datasets, based on experimental measurement modality.** Number of studies for each modality shown in brackets in the first column.

| Measurement               | Average length | Minimum length | Maximum length |
|---------------------------|----------------|----------------|----------------|
| E1 reactivity (1)         | 76             | 76             | 76             |
| Enzyme function (3)       | 345.3          | 189            | 501            |
| Growth (20)               | 220.5          | 72             | 439            |
| Ligase activity (1)       | 104            | 104            | 104            |
| MIC (1)                   | 263            | 263            | 263            |
| Peptide binding (2)       | 68.5           | 36             | 101            |
| Viral replication (5)     | 456.4          | 114            | 686            |
| Yeast growth (3)          | 164.3          | 101            | 243            |

Table A3: **Sequence length statistics for DMS datasets, based on model system for experiments.** Number of studies for each modality shown in brackets in the first column.

| Model system | Average length | Minimum length | Maximum length |
|--------------|----------------|----------------|----------------|
| ECOLX (4)    | 215.3          | 72             | 263            |
| env (2)      | 686            | 686            | 686            |
| HUMAN (8)    | 178.1          | 36             | 360            |
| Other (12)   | 331.9          | 114            | 565            |
| RODENT* (2)  | 102.5          | 101            | 104            |
| YEAST (8)    | 119.4          | 75             | 240            |

Table A4: **Zero-shot mutational effect analysis (experimental model system).** Average Spearman’s $\rho$ is shown, aggregated on the model system used in the DMS experiments. Number of studies for each modality shown in brackets in the first column. *Winning* model for each modality is highlighted.

| Model system | ESM1b  | Pept. BMLM | Pept. C-NPP | Pept. MLM | Pept. NPP |
|--------------|--------|------------|-------------|-----------|-----------|
| HUMAN (8)    | 0.3948 | 0.3778     | 0.3954      | 0.3933    | 0.3907    |
| ECOLX (4)    | 0.4358 | 0.4121     | 0.4245      | 0.4303    | 0.4287    |
| env (2)      | 0.4655 | 0.4336     | 0.3681      | 0.4668    | 0.4441    |
| RODENT* (2)  | 0.4174 | 0.3896     | 0.4789      | 0.4512    | 0.4715    |
| Other (12)   | 0.4536 | 0.4551     | 0.4536      | 0.4520    | 0.4596    |
| YEAST (8)    | 0.4374 | 0.4246     | 0.4765      | 0.5072    | 0.5027    |
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