Suppression of orbital ordering by chemical pressure in FeSe$_{1−x}$S$_x$

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We report a high-resolution angle-resolved photo-emission spectroscopy study of the evolution of the electronic structure of FeSe$_{1−x}$S$_x$ single crystals. Isovalent S substitution onto the Se site constitutes a chemical pressure which subtly modifies the electronic structure of FeSe at high temperatures and induces a suppression of the tetragonal-symmetry-breaking structural transition temperature from 87 K to 58 K for $x=0$ and $x=0.15$. With increasing $x$, we find smaller splitting between bands with $d_{xz}$ and $d_{yz}$ orbital character and weaker anisotropic distortions of the low temperature Fermi surfaces. These effects evolve systematically as a function of both S substitution and temperature, providing strong evidence that an orbital ordering is the underlying order parameter of the structural transition in FeSe$_{1−x}$S$_x$. Finally, we detect the small inner hole pocket for $x=0.12$, which is pushed below the Fermi level in the orbitally-ordered low temperature Fermi surface of FeSe.

The nature and origin of the ordered phases found in proximity to high temperature superconductivity relate directly to the mechanism of unconventional superconductivity [1]. In the iron-based superconductors, the parent non-superconducting phases typically exhibit a magnetic stripe ordering, which breaks four-fold symmetry. However in certain regions of the phase diagram, the four-fold symmetry of the lattice is broken at a higher temperature $T_s$ than the onset of magnetism. One explanation is that this so-called nematic phase nevertheless originates from magnetic interactions, which in some models may pick out a particular direction to break fourfold symmetry without long-range magnetic ordering [2]. Alternatively, it has been suggested that $T_s$ corresponds to an orbital instability breaking the degeneracy of the Fe $d_{xz}$ and $d_{yz}$ orbitals, distinct from magnetic order [2–3]. FeSe provides a fascinating test case for these ideas, since it undergoes a structural transition at $T_s=87$ K but does not magnetically order [4] and has been the subject of intense theoretical study [5–7]. Detailed studies of the electronic structure in the tetragonal-symmetry-broken phase [8–12] have found a prominent splitting of bands with $d_{xz}$ and $d_{yz}$ orbital character, which also manifests in strong distortions of the Fermi surface. However, this orbital ordering has been found to be sensitive to both physical pressure [13–14] and substrate-induced strain in multilayer thin film samples [14–15]. Chemical pressure, as in isovalently substituted FeSe$_{1−x}$S$_x$, provides another tuning parameter which can be used to study how the electronic structure evolves as orbital order is suppressed, helping to establish the nature of the order parameter associated with $T_s$, and determining to what extent the orbital fluctuations found in proximity to the ordered phase may play a role in the unconventional superconductivity.

In this paper we report a high-resolution angle-resolved photo-emission spectroscopy (ARPES) study of the electronic structure of S-substituted $\beta$-FeSe single crystals. In the high-temperature tetragonal phase, we find that the sizes of the Fermi surfaces and the Fermi velocities both increase with S substitution. The suppression of the structural transition $T_s$ by S substitution correlates with a reduction of the electronic anisotropy and the splitting of bands with $d_{xz}$ and $d_{yz}$ orbital characters, which onset at $T_s$. This provides strong evidence that the instability towards orbital ordering drives the fourfold symmetry-breaking transition. Finally, we show that the inner hole pocket which is pushed below the Fermi level in the orbitally-ordered low temperature Fermi surface of FeSe [8] does cross the Fermi level in FeSe$_{1−x}$S$_x$ for $x=0.12$.

Experimental Details. Samples were grown by the KCl/AlCl$_3$ chemical vapour transport method [17–18]. ARPES measurements were performed at the I05 beamline at the Diamond Light Source, UK. Single crystal samples with sizes $\sim 1 \times 1$ mm were cleaved in-situ below 15 K at a pressure lower than $2 \times 10^{-10}$ mbar. Samples were carefully oriented with the scattering plane along M-$\Gamma$-M (Fe-Fe bond direction). Measurements were performed using linearly-polarised synchrotron light at 20-80 eV, employing a Scienta R4000 hemispherical electron energy analyser. Band structure calculations were performed in Wien2k [19] using the GGA approximation [20].

High temperature ARPES data. Fig. 1 shows a comparison of ARPES data of FeSe$_{1−x}$S$_x$ single crystals for $x=0$ and $x=0.15$ at temperatures above $T_s$. The quality of the ARPES spectra of the substituted crystals remains high, since the Fe 3$d$ orbitals which dominate the low-energy electronic structure are not directly affected by the substitutional disorder outside of the Fe plane. The comparison between the ARPES spectra and the density functional theory calculations (DFT) in Fig. 1 reveals several important differences, as has been previously reported [8–11]. Firstly, all observed pockets are substantially smaller than DFT predictions [8]. Secondly, the predicted $\gamma$ hole band with $d_{xy}$ character (Fig. 1(c)) is found to not cross the Fermi level but remains $\sim 50$ meV below it (Fig. 1(d)) with a particularly large band renormalisation of $\sim 8$ compared to the other bands [8–16]. Thirdly, the outer electron band $\delta$ with $d_{xy}$ character is not observed in experiments (Fig. 1(g)).

The effect of substituting smaller S ions onto the Se site results in a lattice contraction [21] and intuitively might re-
result in a greater orbital overlap, increasing the bandwidth. In order to perform a quantitative comparison, we extract the band positions from constrained fits to the momentum distribution curves (MDCs), focusing on the high-symmetry cuts through the Z and M points, as shown in Fig. 1(d,h) for $x=0$ and $x=0.15$ and summarized in Table I in the Supplementary Material (SM). We find two systematic features as a function of S substitution; the Fermi surfaces generally increase in size, and additionally the Fermi velocities ($dE/dk$ at $E_F$) increase, although both only by $\sim 10\%$. These two trends may explain the suppression of $T_s$, since an increase in the size of Fermi surfaces is likely to reduce the degree of particle-hole Fermi surface nesting (which would be perfect in the limit of point-like Fermi surfaces), while the increase in Fermi velocities corresponds to a reduction in the density of states at the Fermi level, reducing the bare susceptibility and thereby suppressing the orbital instability.

**Suppression of orbital ordering with S substitution.** In Figs. 2(a-h) we show how the electron pockets observed in a cut through the M point evolve with S substitution, above and below $T_s$. At high temperatures, only the $\epsilon$ band (with $d_{xz}/d_{yz}$ orbital character and defined in Fig. 1) is observed \(^{(22)}\). A prominent feature of ARPES studies of FeSe in both bulk crystals and multilayer thin films is a splitting of intensity at the M point below $T_s$, which we denote $\Delta_M$. This splitting, reaching $\Delta_M = 49(2)$ meV for bulk FeSe, corresponds to a splitting of the bands with $d_{yz}$ and $d_{xz}$ orbital characters at the M point in the Brillouin zone \(^{(8-11)}\), which are degenerate in the high-temperature fourfold-symmetric phase. The value of $\Delta_M$ is much larger than what would be expected from DFT calculations simply taking into account the small orthonhombic distortion of the lattice \(^{(8)}\), which was taken to be one indication that the structural transition at $T_s$ is primarily an electronic instability, and more specifically due to orbital ordering. Therefore, the splitting $\Delta_M$, extracted from the positions of peaks in the energy distribution curve (EDC) at the M point (Fig. 2(k)), is one experimental proxy order parameter for the orbital ordering which can be studied as a function of temperature and S substitution.

The orbital ordering, which can alternatively be described as the development of an orbital polarisation $\Delta n = n_{xz} - n_{yz}$, also manifests as a distortion of the Fermi surface. As shown in the low-temperature Fermi surface map in Fig. 2(j)), sections of the electron pockets with $d_{yz}$ orbital character contract while $d_{xz}$ sections expand, leaving an elongated and anisotropic Fermi surface, which is observed to form a cross-shape due to effect of sample twinning. Experimentally, we can extract the Fermi $k_F$-vector of the $d_{yz}$ portion of the electron pocket from peak fits of the MDC at $E_F$ (see Fig. 2(e-h)) and follow the Fermi surface distortion as a function of temperature, as shown in Fig. 2(l)). This provides another proxy order parameter for the orbital ordering, which we define as $\Delta k_F = k_F - k_{F0}$ \(^{(8)}\), where $k_{F0}$ is the Fermi k-vector just above $T_s$ in the tetragonal phase.

Figs. 2(m,n) show how these two proxy order parameters for the orbital ordering, the band splitting $\Delta_M$ and Fermi surface distortion $\Delta k_F$, both evolve systematically as a function of temperature and S substitution. The onset of both parameters coincides with $T_s$ (as determined separately from anomalies in resistivity measurements \(^{(23)}\)) and both follow an order-parameter-like temperature dependence, as shown in Fig. 2(m,n). The fact that both order parameters change systematically with S substitution is strong evidence that the reduction of orbital ordering is directly related to the suppression of $T_s$ in FeSe\(_{1-x}S_x\) (see also Fig. 3).

The emergence of the inner hole band for $x=0.12$ at low temperatures. We now consider the evolution of the hole
pockets with S substitution at low temperatures, as shown in Fig. 5. Similar to the electron pockets, the degree of Fermi surface anisotropy on the hole pockets reduces with S substitution, as indicated by the reduced splitting of the two k_F values of the outer hole band, α, as shown in Fig. 5(a-f) and Table I in SM. In all cases at high temperature the inner β hole band forms a tiny 3D pocket around Z (see Fig. 1(a,b)), where a splitting of ~20 meV between the α and β hole bands occurs due to spin-orbit coupling only [24]. At low temperature an extra splitting associated with the orbital ordering [24] pushes the β band below the Fermi surface [8, 12] in FeSe, as can be seen by the lack of features in the Fermi level MDC for FeSe in Fig. 5(a). However, as the orbital ordering is reduced with S substitution, this inner pocket can exist at low temperatures once more, and is clearly observed at x = 0.12 (Fig. 5(c)).

The photon-energy dependence shown in Fig. 5(g) (which is equivalent to a k_x dispersion) shows that it forms a 3D pocket around Z, not extending throughout the Brillouin zone, and also seen in the detailed map of the Fermi surface around the Z point in Fig. 5(h). This pocket will also break four-fold symmetry, as indicated by the inset to Fig. 5(h). This new hole pocket could appear as an additional low frequency in quantum oscillations measurements [23] in addition to those corresponding to three different bands in previous reports [8, 25, 26].

Fig. 5(a) shows a proposed phase diagram of FeSe_{1−x}S_x based on the experimental values of the structural and superconducting transition temperatures extracted from our resistivity measurements (to be presented elsewhere [23]) and Refs. [21, 27]. With S substitution there is a strong suppression of the structural transition, whereas the T_c of the superconducting state remains relatively constant for low x. As shown in Fig. 5(b, c), both the low temperature ∆M and ∆k_F/k_F0 extracted from our ARPES data reduce with x, correlating with T_s in the phase diagram. A similar trend was also found in thin films [9, 15], where ∆M and T_s are found to increase for thinner samples which are more strained. Thus, the systematic change of the degree of orbital ordering with S substitution, as measured by our two experimental proxy order parameters, indicates that the true electronic order parameter associated with the structural transition in FeSe_{1−x}S_x is the orbital polarisation ∆n = n_x−n_y. Therefore, the transition at T_s corresponds to an instability of the electronic structure of this iron-based superconductor in the charge/orbital channel, which is distinct from the stripe spin density wave phase observed in other systems such as BaFe_2As_2.

Studies of FeSe under applied physical pressure have found that the structural transition T_s is initially suppressed in a similar manner to the chemical pressure effect of S substitution [13, 14], although the magnetic phase [13, 28] and high temperature superconductivity [29] found under higher physical pressures have not been reported to occur in FeSe_{1−x}S_x [21, 27] (at ambient pressure [30]). NMR studies found that spin fluctuations in FeSe increase in magnitude with physical pressure [51] while T_s is suppressed [13, 14], suggesting that magnetic interactions are not crucial for the structural instability. On the other hand, our ARPES results show a direct correlation between the decrease in T_s and the suppression of orbital ordering under chemical pressure (Fig. 5). However, the fact that the superconductivity in FeSe_{1−x}S_x seems to be almost independent of the orbital ordering and there is no pronounced superconducting dome in the absence of any
magnetic phase (Fig. 4a)) counts against orbital fluctuations providing a major component of the unconventional pairing mechanism. The small size of all Fermi surfaces and the absence of the inner hole pocket in particular gives FeSe a very different electronic structure to other Fe-based superconductors. However the emergence of the inner hole pocket in FeSe$_{1-x}$S$_x$ could have a significant impact on the susceptibility and pairing interactions, and it is also likely to emerge when orbital ordering is suppressed under physical pressure in FeSe. The exact nature of the orbital ordering is likely to be more complex than simply a uniform site-centred ferro-orbital ordering between $d_{xz}$ and $d_{yz}$ orbitals and the superconducting phase respectively. Evolution of the band splitting, $\Delta_{M}$, and Fermi surface deformation, $\Delta k_F/k_F^0$, with $S$ substitution at low temperature, demonstrating that the magnitude of the orbital ordering is directly correlated with the suppression of $T_c$.

FIG. 3. (color online) Emergence of the small inner hole band at low temperatures for $x=0.12$. a-c) ARPES data for a high-symmetry cut through the centre of the Brillouin zone at 23 eV (near the Z point) at $T \approx 11$ K for $x=0.12$. Solid black lines are the MDCs at the Fermi level, indicating the splitting of the outer hole band $\alpha$ and the emergence of the inner $\beta$ band for $x = 0.12$. d-f) As above, but for a cut at the $\Gamma$ point (37 eV). g) Photon energy-dependence of the Fermi level MDC at $x = 0.12$, indicating that the inner hole band forms a 3D pocket around the Z point, as also shown by the Fermi surface maps at h) the Z (23 eV) and i) $\Gamma$ point (37 eV). Cartoon insets show how the apparent splitting of bands arises from Fermi surface distortion and sample twinning.
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