Identification of autophagy-associated MicroRNAs and their prognostic significance in patients with laryngeal squamous cell carcinoma

CURRENT STATUS: UNDER REVIEW

Zaizai Cao
Zhejiang University

Yinjie Ao
Zhejiang University

Yu Guo
Zhejiang University

Shuihong Zhou
Zhejiang University

1190051@zju.edu.cn Corresponding Author
ORCiD: 0000-0002-7163-2289

DOI:
10.21203/rs.3.rs-16365/v1

SUBJECT AREAS
Cancer Biology

KEYWORDS
Laryngeal squamous cancer, Autophagy, Prognosis, MicroRNAs
Abstract

**Background:** Autophagy is a biological process that cells engulf their cytoplasmic proteins or organelles to achieve the needs of metabolic and renewal. microRNAs can affect the development of cancer by regulating cell autophagy. We aim to identify the autophagy-associated MicroRNAs in laryngeal cancer and further explore their roles in the development of cancer.

**Results:** we finally identified 6 key autophagy-associated microRNAs (AAMRs, hsa-miR-100-5p, hsa-miR-143-3p, hsa-miR-3607-5p, hsa-miR-454-3p, hsa-miR-455-5p, hsa-miR-99a-5p) were significantly correlated with prognosis of laryngeal squamous cell carcinoma (LSCC). Pathway enrichment analysis revealed that all key AAMRs were significantly enriched in the mTOR signaling pathway. The Risk index of each sample was calculated according to the result of multivariate COX analysis. Patients with lower risk index have better survival results. The area under the ROC curve for 1-year, 3-year, and 5-year survival rates were 0.7, 0.776 and 0.78 respectively.

**Conclusion:** We identified 6 key AAMRs, which may act as new potential therapeutic targets. A new risk index model based on AAMRs can predict the prognosis of laryngeal squamous cancer.

1 Background

Laryngeal squamous cell carcinoma (LSCC) is common tumors of head and neck, accounting for 13.9% of all head and neck carcinomas. The incidence and mortality of laryngeal cancer were 0.8% and 0.6% respectively[1]. Although the recent report showed that the incidence of LSCC has dropped by 24% in the past 10 years, the five-year survival rate did not change significantly[1]. The chances of survival for patients with LSCC depend significantly on the initial stage of cancer. Compare with 80%-90% survival rate of stage I
and II LSCC patients, the chances for survival fallen to 40% in LSCC patients with stage IV[2]. For the reason above, early detection and accurate diagnosis is a crucial part for patients to receive appropriate treatment.

Autophagy is a process that cells engulf their cytoplasmic proteins or organelles and coats them into vesicles. These vesicles can fuse with lysosomes to form autophagy lysosomes, which degrades the contents they encapsulate, to achieve the needs of metabolic and renewal. Autophagy can occur in various pathological processes, however, whether its role is positive or negative has not been fully elucidated, especially in the study of tumors. In the past decade, many studies have tried to inhibit the tumor growth process by targeting the autophagy pathway[3-5]. Besides, recent studies have demonstrated that autophagy inhibitor can inhibit the tumor growth and therapeutic resistance of laryngeal cancer cells[6]. Therefore, the exploration of potential autophagy targets is important for LSCC.

MicroRNA is a kind of non-coding RNA that can regulate the expression of mRNA at the posttranscriptional level[7], and an increased number of studies have revealed the dysregulation of microRNA remarkably affects various biological processes of cancer including autophagy[8, 9]. Indeed, a great number of studies have revealed that microRNA can regulate many key proteins to affect various steps in the autophagy process[9]. For example, Recent research shows that miR-101-3p may inhibit autophagy through targeting EZH2 in LSCC[10]. Our study aims to establish a co-expression network of autophagy-associated microRNAs (AAMRs) by using bioinformatics methods, which may provide some theoretical basis for the targeted therapy of LSCC.

All in all, considering that AAMRs may play a significant role in LSCC and could be served as potential therapeutic targets. We constructed correlation networks to screen AAMRs and its prognostic value was also be systematically analyzed in this study.
2 Result

2.1 Identification of AAMRs

A total of 117 laryngeal cancer tissue samples and 12 normal samples’ microRNA-seq data were downloaded from TCGA. 32 laryngeal cancer tissue samples and 5 normal samples’ microRNA-seq data were downloaded from GSE124679. The number of differentially expressed microRNAs identified from TCGA and GSE124679 was 120 and 91 respectively (Fig. 2). The ARGs’ expression data of corresponding LSCC tissue were also obtained from two databases. Two autophagy-microRNA co-expression networks were constructed respectively to screen candidate AAMRs (correlation coefficient > 0.3 and p value < 0.05). After intersecting candidate AAMRs from two databases, 13 AAMRs were identified.

2.2 Identification of key AAMRs and their functional annotation

As described above, we have identified 13 AAMRs. Univariate Cox regression was then performed on these 13 microRNAs and the result showed that 6 of these AAMRs (hsa-miR-100-5p, hsa-miR-143-3p, hsa-miR-3607-5p, hsa-miR-454-3p, hsa-miR-455-5p, hsa-miR-99a-5p) were significantly correlated with the prognosis of LSCC (Fig. 3). These 6 AAMRs were defined as key AAMRs. Functional annotation was conducted based on key AAMRs by using the DIANA-mirPath tool. The result of pathway enrichment analysis showed that all key AAMRs were involved in the pathway of the ‘mTOR’ signaling pathway, which plays a significant role in various neoplastic processes including autophagy (Table 1).

| KEGG pathway                              | p-value | genes | miRNAs |
|-------------------------------------------|---------|-------|--------|
| mTOR signaling pathway                    | 0.018   | 13    | 6      |
| Thyroid hormone signaling pathway         | 0.005   | 16    | 5      |
| GABAergic synapse                         | 0.005   | 13    | 4      |
| Morphine addiction                       | 0.005   | 12    | 4      |
| ECM-receptor interaction                  | 0.003   | 11    | 3      |
| Estrogen signaling pathway                | 0.037   | 16    | 3      |
| Mucin type O-Glycan biosynthesis          | 0.001   | 5     | 2      |
2.3 calculation of risk index

To construct a reliable prognosis model, all six key AAMRs were included in the multivariate Cox analysis. The result showed that there are three AAMRs (hsa-miR-100-5p, hsa-miR-3607-5p and hsa-miR-99a-5p) could be independent factors significantly related to prognosis (Fig. 3B). We used Cytoscape software to construct a network of prognostic microRNAs with their co-expressed autophagy-related genes (Fig. 4). Based on the three prognostic AAMRs and the result of multivariate Cox regression. We calculated the level of the Risk index of each LSCC samples. The formula is as follows: Risk index = expression level of hsa-miR-100-5p * 0.672 + expression level of hsa-miR-3607-5p * -0.3248 + expression level of hsa-miR-99a-5p * -0.4086.

2.4 prognostic value of Risk index in LSCC patients

We used the median level of Risk index to divided LSCC samples into high Risk-index group and low Risk-index group. Figure 5A shows us the distribution of risk index in all included LSCC samples. Figure 5B shows the survival status of LSCC patients in two groups. We also use the heatmap to show the expression situation of prognostic AAMRs in different groups, it is clear that hsa-miR-100-5p expressed higher in high Risk-index group while hsa-miR-3607-5p and hsa-miR-99a-5p expressed higher in low Risk-index group (Fig. 5C). Kaplan-Meier curve shows that the risk index can predict the survival result of LSCC patients to a certain extent (Fig. 5D) and the result of univariate Cox regression further supports this conclusion (Fig. 6A). To investigate if Risk index is an independent predictor for the prognosis of LSCC, multivariate Cox regression analysis also performed on the Risk index. An HR of 2.46 and the p.value < 0.001 indicates that the Risk index could be an independent prognostic index for patients with LSCC (Fig. 6B). Subsequently, we plotted the receiver operating characteristic (ROC) curve to see if the Risk index can
predict the survival result among 5 years. Figure 7 shows that the area under the ROC curve for 1, 3 and 5 years is 0.7, 0.776, and 0.78 respectively. In the end, we explore the correlation between Risk index and clinical characteristic of LSCC (tumor stage and tumor grade), however, there is no evidence support that risk index is related to any clinical features. Detailed analysis results were shown in Fig. 8

3 Discussion

Laryngeal cancer is a very aggressive tumor, the prognosis of which largely depends on the stage of the tumor at the time of the initial diagnosis. Over the past twenty years, High-throughput biological technologies let people begin to predict the result of the tumor by detecting the expression of genes and microRNAs. Dysregulated miRNAs were proved to play a significant role in oncogenesis and tumor progression by acting either as cancer suppressor or proto-oncogenes. Autophagy is a really important biological phenomenon. In some models, cancer may stimulate the occurrence of autophagy to maintain the function of mitochondrial and energy balance[11]. Therefore, inhibiting the process of autophagy could be beneficial for cancer treatment. A great number of studies have shown that microRNAs can inhibit the development of various cancer by regulating the process of autophagy[12-14]. However, up to now, there has been no systematic method to identify Autophagy-associated microRNAs in LSCC. Therefore, it is necessary to find a way to screen AAMRs and explore their roles in the occurrence and development of LSCC. In our study, we intersect the candidate AAMRs screened from two datasets (TCGA and GSE124679) to investigate the prognosis of AAMRs. According to the result of the Cox regression analysis, we identified 6 key AAMRs. Based on the result of multivariate step Cox regression, we constructed a new risk index model divided LSCC patients into high-risk group and low-risk group. It is found that the survival result of LSCC is better in low-risk group and risk index could independently related to the prognosis of LSCC. Our study
does not support the correlation between risk index and clinical feature of LSCC, which does not meet our expectations. We suspect that this may be related to the deletion of some samples with incomplete clinical information when we included LSCC samples. Among 6 key AAMRs (hsa-miR-100-5p, hsa-miR-143-3p, hsa-miR-3607-5p, hsa-miR-454-3p, hsa-miR-455-5p, hsa-miR-99a-5p) identified by our studies, most of them have been shown to regulate autophagy in various cancer cells[15–19]. However, only a few studies have explored the relationship between microRNAs and autophagy in LSCC. In recent research, Chen et. al. reported that miR-101 can inhibit autophagy, proliferation through targeting EZH2 in LSCC[10]. Wang et.al. indicated that miR-26b may inhibit the development of LSCC via autophagy by regulating PTEN/AKT pathway[20]. Anyway, microRNA may play an important role in regulating autophagy and affecting the development of LSCC. Our research provides new ideas and targets for further exploration of this neighborhood. Specifically, all 6 key AAMRs were enriched in the mTOR signaling pathway, which plays a vital role in the regulation of cell growth, survival and autophagy. mTOR protein could function as a sensor of energy and nutrient levels, thus further negatively regulated the occurrence of autophagy[21]. The research showed that inhibition of mTOR can significantly affect the proliferation and apoptosis in laryngeal cancer cell lines[22]. Our result indicates that AAMRs may affect the development of LSCC through autophagy and mTOR signaling pathways.

Our study dose has some limitations. Firstly, the data source for our analysis was based on TCGA and GEO database, thus making it impossible to obtain all patient information. Secondly, GSE124679 dataset does not offer the clinical information of LSCC samples, therefore, the new prognostic model needs to be validated by other independent studies. Thirdly, more experiments are needed to validate the role of AAMRs in the autophagy process of
laryngeal cancer cells.

4 Conclusion

In conclusion, through constructing the autophagy-microRNA correlation network and using Cox regression analysis, 6 key autophagy-associated microRNAs, which have prognostic value for LSCC, were identified. These 6 key AAMRs may act as new potential therapeutic targets. Besides, we also constructed a new model based on the risk index to better predict the prognosis of LSCC patients. LSCC samples in high-risk group and low-risk group may show different autophagy states.

5 Method

5.1 screen of microRNAs and autophagy-related genes

The profiles of microRNAs and autophagy-related genes were downloaded from the GEO dataset (GSE124679) and the TCGA dataset. The list of autophagy-related genes (ARGs) (n = 223) was obtained from the Human Autophagy Database (http://autophagy.lu/clustering/index.html). Differential analyses were performed on microRNAs data between tumor tissue and normal tissue. The Pearson correlation network was constructed to explore the relationship between ARGs and differentially expressed microRNAs (DE-miRNAs). We defined the correlation coefficient > 0.3 and p value < 0.05 as the inclusion criteria of candidate autophagy-associated microRNAs (AAMRs). Finally, we intersected the candidate AAMRs from two datasets as AAMRs. A detailed flow chart for screening AAMRs was shown in Fig. 1.

5.2 Clinical information acquisition

The corresponding clinical data of each LSCC samples in TCGA database was also downloaded. Samples with detailed clinical information (overall survival time, overall survival status, age, gender, tumor stage, tumor grade) were included in our study for
5.3 MicroRNA enrichment analysis and Calculation of Risk index

Firstly, univariate Cox regression analyses were performed to seek significant prognostic indicators among all selected AAMRs. The microRNAs with P.value < 0.05 were considered as key AAMRs. Pathway enrichment analyses were performed on all key AAMRs by using the bioinformatics tool DIANA-mirPath v.3. Secondly, we brought key AAMRs into multivariate Cox regression analyses to evaluate the level of the risk index of each LSCC sample. The formula to calculate the level of risk index was as follows: Risk index = $\sum \beta_{\text{micorRNA}_i} \times \text{expr}_{\text{micorRNA}_i}$. The $\beta$ value was obtained by the logarithmic transformation of hazard ratio in multivariate Cox regression analysis. We used the median of the Risk index to divide all LSCC samples into the high-risk group and low-risk group.

5.4 statistical analysis

AAMRs, which were significantly associated with the prognosis of LSCC in multivariate Cox regression analyses, were included in the co-expression network constructed by using Cytoscape software (version 3.6.1). Differential analyses were performed using the ‘limma’ package in R 3.6.0. |log2 FC|>1 and adjust P value < 0.05 were thought as the criterial for screening differentially expressed microRNAs. The Pearson correlation network was established by using ‘WGCNA’ R package and Cox regression analysis was performed using the ‘survival’ R package. Kaplan–Meier curve was plotted to visualize the prognostic value of risk index in LSCC patients. We also applied the Wilcoxon test to compare the level of risk index among different groups of clinical characteristics.

Abbreviations

AAMRs, autophagy associated microRNAs
LSCC, laryngeal squamous cell carcinoma
TCGA, The Cancer Genome Atlas Database
OS, Overall survival
ROC, receiver operating characteristic

Declarations

Acknowledgements
We thank all the members of Otolaryngology Department for kind support.

Author contributions
ZZC and SHZ designed and wrote the paper; ZZC and YG collected the related studies;
ZZC and YJA analysis the datasets and made the figures and tables.

Conflict of interest
The authors declare no potential conflicts of interest.

Availability of data and materials
The data were obtained from TCGA (https://portal.gdc.cancer.gov/) and GEO
(https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE124679) database.

Consent for publication
Not applicable.

Ethics approval and consent to participate
This study was approved by the First Affiliated Hospital of Zhejiang University institutional
review board. Informed consent has been obtained from all individual participants
included in the study was approved by the Institutional review Board.

Funding
Not applicable.

References
1. Obid R, Redlich M, Tomeh C. The Treatment of Laryngeal Cancer. Oral Maxillofac Surg Clin North Am 2019;31(1):1-11. doi:10.1016/j.coms.2018.09.001.

2. National Cancer Institute. SEER: cancer stat facts: larynx cancer. 2018. http://seer.cancer.gov/statfacts/html/laryn.html. Accessed 10 Feb 2018.

3. Janku F, McConkey DJ, Hong DS et al. Autophagy as a target for anticancer therapy. Nat Rev Clin Oncol 2011;8(9):528-539. doi:10.1038/nrclinonc.2011.71.

4. Amaravadi RK, Lippincott-Schwartz J, Yin X-M et al. Principles and current strategies for targeting autophagy for cancer treatment. Clin Cancer Res 2011;17(4):654-666. doi:10.1158/1078-0432.CCR-10-2634.

5. Sui X, Chen R, Wang Z et al. Autophagy and chemotherapy resistance: a promising therapeutic target for cancer treatment. Cell Death Dis 2013;4(10):e838-e838. doi:10.1038/cddis.2013.350.

6. Guo Y, Feng Y, Cui X et al. Autophagy inhibition induces the repolarisation of tumour-associated macrophages and enhances chemosensitivity of laryngeal cancer cells to cisplatin in mice. Cancer Immunol Immunother 2019;68(12):1909-1920. doi:10.1007/s00262-019-02415-8.

7. Ambros V. microRNAs: tiny regulators with great potential. Cell 2001;107(7):823-826. doi:10.1016/s0092-8674(01)00616-x.

8. Zhang B, Pan X, Cobb GP et al. microRNAs as oncogenes and tumor suppressors. Dev Biol 2007;302(1):1-12. doi:10.1016/j.ydbio.2006.08.028.

9. Gozuacik D, Akkoc Y, Ozturk DG et al. Autophagy-Regulating microRNAs and Cancer. Front Oncol 2017;7:65-65. doi:10.3389/fonc.2017.00065.

10. Chen L, Jia J, Zang Y et al. MicroRNA-101 regulates autophagy, proliferation and apoptosis via targeting EZH2 in laryngeal squamous cell carcinoma. Neoplasma 2019;66(4):507-515. doi:10.4149/ne_2018_180811N611.
11. White E, Mehnert JM, Chan CS. Autophagy, Metabolism, and Cancer. Clin Cancer Res 2015;21(22):5037-5046. doi:10.1158/1078-0432.CCR-15-0490.

12. Zhang X, Li Z, Xuan Z et al. Novel role of miR-133a-3p in repressing gastric cancer growth and metastasis via blocking autophagy-mediated glutaminolysis. J Exp Clin Cancer Res 2018;37(1):320-320. doi:10.1186/s13046-018-0993-y.

13. Wang Z-C, Huang F-Z, Xu H-B et al. MicroRNA-137 inhibits autophagy and chemosensitizes pancreatic cancer cells by targeting ATG5. Int J Biochem Cell Biol 2019;111:63-71. doi:10.1016/j.biocel.2019.01.020.

14. Tan D, Zhou C, Han S et al. MicroRNA-378 enhances migration and invasion in cervical cancer by directly targeting autophagy-related protein 12. Mol Med Rep 2018;17(5):6319-6326. doi:10.3892/mmr.2018.8645.

15. Ge Y-Y, Shi Q, Zheng Z-Y et al. MicroRNA-100 promotes the autophagy of hepatocellular carcinoma cells by inhibiting the expression of mTOR and IGF-1R. Oncotarget 2014;5(15):6218-6228. doi:10.18632/oncotarget.2189.

16. Liu J, Li M, Wang Y et al. Curcumin sensitizes prostate cancer cells to radiation partly via epigenetic activation of miR-143 and miR-143 mediated autophagy inhibition. J Drug Target 2017;25(7):645-652. doi:10.1080/1061186X.2017.1315686.

17. Bao X, Ren T, Huang Y et al. Knockdown of long non-coding RNA HOTAIR increases miR-454-3p by targeting Stat3 and Atg12 to inhibit chondrosarcoma growth. Cell Death Dis 2017;8(2):e2605-e2605. doi:10.1038/cddis.2017.31.

18. Zhang H, Luo C, Zhang G. LncRNA MCM3AP-AS1 Regulates Epidermal Growth Factor Receptor and Autophagy to Promote Hepatocellular Carcinoma Metastasis by Interacting with miR-455. DNA Cell Biol 2019;38(8):857-864. doi:10.1089/dna.2019.4770.

19. Shang J, Chen ZZ, Wang ZH et al. Association of miRNA-196b-5p and miRNA-99a-5p
with autophagy and apoptosis in multiple myeloma cells. Zhonghua Xue Ye Xue Za Zhi 2018;39(9):766-772. doi:10.3760/cma.j.issn.0253-2727.2018.09.013.

20. Wang S, Guo D, Li C. Downregulation of miRNA-26b inhibits cancer proliferation of laryngeal carcinoma through autophagy by targeting ULK2 and inactivation of the PTEN/AKT pathway. Oncol Rep 2017;38(3):1679-1687. doi:10.3892/or.2017.5804.

21. Guertin DA, Sabatini DM. Defining the role of mTOR in cancer. Cancer Cell 2007;12(1):9-22. doi:10.1016/j.ccr.2007.05.008.

22. Zhao L, Teng B, Wen L et al. mTOR inhibitor AZD8055 inhibits proliferation and induces apoptosis in laryngeal carcinoma. Int J Clin Exp Med 2014;7(2):337-347.

Figures

Flow chart for screening autophagy-associated microRNAs (AAMRs)
The differentially expressed microRNAs in two datasets. Figure 2A Volcano plot showed the DE-miRNAs in TCGA. Figure 2B Volcano plot showed the DE-miRNAs in GSE124679.

The result of Cox regression. Figure 3A, The result of univariate Cox regression for key AAMRs. Figure 3B, The result of multivariate Cox regression for key AAMRs.
Figure 4

Network of prognostic microRNAs with their co-expressed autophagy-related genes
Figure 5

prognostic value of Risk index. Figure 5A Distribution of risk index. Figure 5B Survival status of LSCC patients. Figure 5C expression situation of prognostic AAMRs in different groups. Figure 5D Kaplan–Meier curve of LSCC patients based on the level of risk index.

Figure 6

forest plot. Figure 6A Forest plot of univariate Cox regression for LSCC patients. Figure 6B Forest plot of mutivariate Cox regression for LSCC patients.
Method = Nearest Neighbor Estimation (NNE)

ROC curve validate the prognostic value of risk index.
A boxplot showed the relationship between Risk index and clinical feature. A Correlation between Risk index and tumor grade. B Correlation between Risk index and N stage. C Correlation between Risk index and clinical stage. D Correlation between Risk index and T stage.