Health and Psychosocial Outcomes of a Telephonic Couples Behavior Change Intervention in Patients With Poorly Controlled Type 2 Diabetes: A Randomized Clinical Trial

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OBJECTIVE
To compare glycemic control and secondary outcomes of a 4-month telephonic couples behavioral intervention to individual intervention, and to education, for adults with type 2 diabetes.

RESEARCH DESIGN AND METHODS
A randomized trial with the following three arms: couples calls (CC) \((n=104)\); individual calls (IC) \((n=94)\); and diabetes education (DE) \((n=82)\). All arms had self-management education (two calls). CC and IC had 10 additional behavior change calls. CC addressed collaboration and relationships/communication. Participants consisted of 280 couples, among whom one partner had type 2 diabetes and an A1C level \(\geq 7.5\%\). Blinded assessments occurred at 4, 8, and 12 months. The primary outcome was change in A1C; and secondary outcomes were BMI, waist circumference, blood pressure, depressive symptoms, diabetes self-efficacy, and diabetes distress.

RESULTS
Patients had a mean age of 56.8 years; 61.6% were male, and 30.4% were minorities. The baseline mean A1C level was 9.1%. Intention-to-treat analyses found significant A1C reductions for all (12 months: CC \(-0.47\%, IC \,-0.52\%, DE \,-0.57\%)\), with no differences between arms. Preplanned within-arm analyses were stratified by baseline A1C tertiles: lowest tertile (7.5–8.2%), no change from baseline; middle tertile (8.3–9.2%), only CC led to significantly lower A1C level; and highest tertile (\(\geq 9.3\%\)), significant improvement for all interventions. For BMI, CC showed significant improvement, and CC and DE led to decreased waist circumference. The IC group showed greater blood pressure improvement. Results for secondary psychosocial outcomes favored the CC group.

CONCLUSIONS
In adults with poorly controlled type 2 diabetes, a collaborative couples intervention resulted in significant, lasting improvement in A1C levels, obesity measures, and some psychosocial outcomes. For those with exceedingly high A1C levels, education alone was beneficial, but additional intervention is needed to achieve glycemic targets.
For patients with type 2 diabetes, good glycemic control can reduce or forestall complications (1); however, 36–69% do not achieve glycemic targets (2). Poor glycemic control increases the risks of serious complications, poor quality of life, high health care costs, and mortality (3). Although behavioral interventions have led to improved glycemic control, benefits are often short lived, and behavior changes are not sustained (4). Social Ecological Theory (5) suggests that including partners might enhance intervention effects, as the partner might serve as the ongoing reinforcer of behavior change.

There are positive associations between strong marital bonds and better health outcomes (6). A partner’s impact may be strong for patients with type 2 diabetes, whose self-care regimen (e.g., food purchase/preparation) often involves partners (7). Although partner/family member involvement can enhance positive health outcomes (8), the relationship between marital quality and diabetes outcomes is unclear (9,10). Little has been done to intervene at the family level for adults with type 2 diabetes (8,11), with no published reports we are aware of describing interventions with spouses/partners.

We hypothesized that a couples-focused behavior change intervention to enhance self-management would lead to improved glycemic control and improved health and psychosocial outcomes, in the short and longer term, compared with one targeting the individual alone, and that both would be superior to diabetes education (DE) for adults with type 2 diabetes who had poor glycemic control.

Another need is to increase reach to individuals who are unlikely to attend face-to-face interventions (e.g., because they had no transportation or live in rural areas) (12). In couples interventions, two partners must be engaged, a double challenge. Use of the phone may increase reach, although the evidence is inconclusive (13).

We report data from the Diabetes Support Project (DSP), a practical, randomized controlled trial (RCT) of a telephonic couples behavioral diabetes intervention. We present primary (hemoglobin A1C) and secondary (BMI, waist circumference [WC], blood pressure [BP]) health outcomes, and secondary psychosocial outcomes (diabetes distress [DD], depressive symptoms [DS], diabetes self-efficacy [DSE]). Interventions were delivered solely via telephone. This is the first RCT we are aware of that tests the efficacy of a couples intervention for adults with type 2 diabetes. Also, this is an especially strong design because it included an individual intervention comparator.

**RESEARCH DESIGN AND METHODS**

**Trial Design**
The DSP, a multicenter, 12-month, randomized clinical trial, involved 280 couples, with one partner having type 2 diabetes with poor glycemic control (2009–2014) (14). Couples were randomized to the following: behavior intervention change couples calls (CC), behavior change intervention individual calls (IC), or individual DE calls. Assessors, who were blind to group assignment, measured outcomes at 4 (i.e., immediately after intervention), 8, and 12 months. Participants were identified through chart review and sent recruitment letters and were recruited by posters and community talks. They were recruited at two sites (upstate New York, northern California), to enhance diversity and generalizability. The trial was approved by the Institutional Review Boards of State University of New York Upstate Medical University and the University of California, San Francisco. Informed participants signed approved consent documents and received compensation for assessments and transportation.

**Participants**
Couples were eligible if patients, with a willing partner able to speak and read English, met the following criteria: had a diagnosis of type 2 diabetes for >1 year (diagnosis confirmed by medical record and/or A1C level); baseline A1C level of ≥7.5% (58 mmol/mol); ≥21 years of age; able to speak and read English; in a self-defined committed relationship for ≥1 year; no severe medical or psychiatric conditions that might interfere with participation; and telephone access.

**Randomization**
Randomization was conducted using a computer-generated random assignment scheme by region. We proposed unequal cell sizes; a smaller DE sample was planned to provide more power to compare CC to IC. The biostatistician created a nonuniform random allocation ratio so that participants were assigned to conditions in the proper proportions (15). We stratified by sex and balanced arms for race/ethnicity to ensure comparable representation.

**Interventions**
All groups participated in two telephone sessions (mean length of calls: 75 min) of comprehensive diabetes education. In the DE arm, there was no further intervention. CC and IC interventions had 10 additional calls (mean length: CC 57 min/call, IC 50 min/call). These behavioral interventions, based on social learning theory (16) (which included knowledge development, goal setting, self-monitoring, and behavioral contracting), promoted changes in diet, activity, medication adherence, and blood glucose testing. The CC intervention was also based on Interdependence Theory (17,18); partners were actively involved in calls and homework. Couples were encouraged to provide mutual support for change, using collaborative problem-solving techniques and recognizing their interdependence (i.e., reciprocal effects on one another). Two sessions were relationship focused, as follows: couples practiced the “speaker-listener technique” (partner shares concern, the other restates it until partner feels understood, then they switch roles) and communication/conflict management around a diabetes-related issue. Both techniques are based on a research-supported behavioral approach to relationship enhancement (19). In the IC arm, the intervention was identical, except partners were not involved, and the two CC relationship-focused calls addressed individual problem solving.

Workbooks included precall readings, content for discussion, goal-setting forms, and diet/blood glucose/activity self-monitoring logs. Educators followed a “script,” but tailored interventions to participants’ cultural preferences and cognitive abilities. Calls occurred weekly for 12 weeks.

Educators were dietitians (certified diabetes educators or with significant diabetes experience); were trained for protocol adherence and to promote interaction within couples; and were audiotaped for supervision until deemed competent, with tapes randomly reviewed.
by an independent team of reviewers for quality assurance. We trained diabetes educators in couples work, not counselors in diabetes-related skills, for two reasons. We believe that diabetes knowledge and patient experience are core educator competencies, which are not easy to teach or to gain experience in. Also, educators are typically more available and, with training, can adapt interventions to couples work, which might increase the likelihood of future replicability and implementation.

**Sample Size and Assessments**

The minimum sample size necessary, based on A1C data obtained from a 3-month pilot study (20), showed that 80 participants/arm (n = 240) would exceed 80% power to detect significant differences between CC or IC and DE interventions. Because we examined subtle differences between CC or IC and DE interventions, and to include attrition, we conservatively aimed for a larger cohort. Participants were assessed four times (baseline, and 4, 8, and 12 months). Assessors were blind to the treatment group.

**Outcomes and Measures**

1. Glycemic control: A1C (21), using the AccuBase A1c Test Kit (Diabetes Technologies, Inc). This mail-in U.S. Food and Drug Administration–approved kit provides highly accurate A1C results. Samples are mailed to a Clinical Laboratory Improvement Amendments–licensed, College of American Pathologist–proficient laboratory. Specimens are screened for abnormal hemoglobin levels, abnormal peaks, and/or red cell distensions.

2. Obesity: BMI (kg/m²) was calculated with weight (nearest 0.1 kg, using portable digital scale, participants wore street clothes, two readings were averaged) and height (stadiometer); WC, using spring tension stretchless Gulick II tape.

3. Blood pressure: automated monitor (two readings were averaged) and height (stadiometer); WC, using spring tension stretchless Gulick II tape.

4. Diabetes distress: 17-item Diabetes Distress Scale, to measure the perceived emotional burdens of managing diabetes (22).

5. Diabetes self-efficacy: 8-item scale developed for the Stanford English Diabetes Self-Management Study, asks how “confident” the individual is in his/her ability to manage the diabetes self-care regimen (i.e., diet, exercise, managing hypoglycemia, and self-assessment) (23).

6. Depressive symptoms: Patient Health Questionnaire (PHQ-8), a standardized, validated scale, assesses the eight key symptoms of depression (suicidal ideation has been omitted, as is common in research protocols without resources for follow-up) (24).

7. Patient satisfaction questionnaire: three items, satisfaction with the intervention; two items, attitudes toward phone delivery.

**Statistical Analysis**

Longitudinal data were analyzed with mixed linear model procedures using SPSS Mixed and SAS Proc Mixed version 9.3. Treatment arm, assessment number (ordinal, 1–4), and treatment × assessment were fixed factors. Random effects were added and retained or discarded based on improvement in model fit, judged by reduction in −2 log likelihoods, the Akaike information criterion and Bayesian information criterion. Autoregressive covariance structures (AR1) provided the best model fit. Preplanned stratified analyses, to analyze the effect of baseline A1C level on change, included the stratifying variable as a fixed effect in the models. Planned contrasts were used to compare baseline measures of the dependent variables with measures at 4, 8, and 12 months. Between-group measures for outcome variables were also compared. All analyses were conducted with an α priori α = 0.05 (two tailed) and a Sidak correction for significance when indicated. Randomization produced treatment arms that did not differ in any participant characteristics except for BP, and no demographic variables predicted change in A1C levels. We statistically controlled for between-arm differences when analyzing BP, but no covariates were used for other outcomes.

**RESULTS**

Figure 1 shows the flow of participants through the protocol. Of 350 potential couples who were screened for eligibility, 70 were excluded (20%) for not meeting inclusion criteria. A total of 280 couples completed baseline assessments and were randomized (CC arm = 104, IC arm = 94, DE arm = 82). Of these, 268 (95.7%) participated in at least one intervention call (CC arm = 97, IC arm = 93, DE arm = 78) and were included in intention-to-treat analyses. Others were deemed to have failed inclusion criteria because they were unable/unwilling to participate in procedures. Attrition (i.e., no follow-up A1C level) was 17.9% (4 months), 19.8% (8 months), and 25.4% (12 months), with no significant differences in attrition between arms.

**Participants**

The sample of patient participants (61.6% male, 30.4% self-described minority) had a mean (SD) age of 56.8 years (10.9 years), had a diagnosis of type 2 diabetes for a mean (SD) of 12.4 years (7.9 years), and had been in this committed relationship for a mean (SD) duration of 25.5 years (14.8 years) (Table 1). The mean baseline A1C level was 9.1% (76 mmol/mol) (SD 1.5%). Dropouts (n = 54, no follow-up data) were less likely to be white (53% vs. 74%) and retired (11% vs. 32%), and were more likely to be Asian (18% vs. 7%) and single/widowed/separated/divorced (15% vs. 4%).

**Glycemic Control**

Significant reductions in mean A1C levels were observed at all follow-ups for all interventions with no significant differences between groups at any follow-up (Table 2). In preplanned within-arm analyses, we examined whether baseline A1C level was a factor in outcomes by analyzing by baseline A1C tertiles. In the bottom tertile (7.5–8.2% [58–66 mmol/mol]), no significant differences from baseline were observed in any group. In the middle tertile (8.3–9.2% [67–77 mmol/mol]), the mean A1C was significantly lower at all follow-ups for the CC group only. In the top tertile (≥9.3% [78 mmol/mol]), all three interventions showed significant reductions in A1C levels at all follow-ups. Analyses adjusted for baseline A1C level yielded the same pattern of effects.

**Secondary Outcomes**

**BMI**

No significant differences in mean BMI were observed between groups at any follow-up (Table 3). Compared with baseline, there were small, significant reductions in BMI only for the CC group at 4 months (−0.354, P = 0.009), 8 months (−0.393, P = 0.027), and 12 months (−0.474, P = 0.021). Stratifying by baseline tertiles, no differences by treatments were
observed for the bottom and middle tertiles. For the top tertile, the BMI of the CC group was significantly lower than that of the DE group at all assessments, and was lower than that of the IC group at 12 months. Also, mean BMI was significantly lower than baseline for the CC group at 12 months, and for the IC group only at 4 months.

**Waist Circumference**

No significant differences in mean WC were observed between groups at any follow-up. Compared with baseline, there were significant WC reductions for the CC arm at all follow-ups (P < 0.001), and for the DE arm at 4 and 12 months (Table 3). We stratified by baseline tertiles and found that, for the bottom tertile, means were significantly lower at all follow-ups for the CC group only; and for the top tertile, only means for the CC group were significantly lower at 8 and 12 months.

**Systolic and Diastolic BP**

**Systolic BP**
The IC group mean was significantly lower than the DE group mean at 8 months (P = 0.021). No significant differences from baseline were observed for any intervention at any follow-up (Table 3). Stratifying by tertiles, there was a significant increase in systolic BP (SBP) for the bottom tertile, and no differences for the middle tertile for any arm. For the top tertile, the IC arm showed significant declines at all follow-ups, whereas the CC arm showed significant declines at 4 months only.

**Diastolic BP**
The IC group mean was significantly lower than the DE group mean at 8 months (P = 0.032) and was lower than the CC group mean at 12 months. Compared with baseline, IC group mean diastolic BP (DBP) was significantly lower at 8 and 12 months; for the CC arm, only the 4-month DBP was significantly lower, and the DE group showed no differences (Table 3). Stratifying into baseline DBP tertiles, the IC group mean was significantly lower than the CC group mean at 12 months in the top tertile.

**Diabetes Distress**
The CC group mean was significantly lower than the DE group mean at 12 months (P = 0.009) and was marginally lower at 8 months (P = 0.057). CC group mean was significantly lower than baseline at 4 months (P < 0.001), 8 months (P = 0.003), and 12 months (P < 0.001); this was also true for the IC group at 4 months (P = 0.006) and 12 months (P = 0.003). The DE group mean was lower only at 4 months (P = 0.014) (Table 3).

**Diabetes Self-Efficacy**
The CC group mean was marginally greater than the DE group mean at 4 months (P = 0.058), and no other group differences emerged. Compared with baseline, both the IC and CC groups improved, with baseline-adjusted means higher at all follow-ups (all P values > 0.081). The DE group showed no improvement (all P values > 0.081) (Table 3).

**Depressive Symptoms**

There were no differences between group means. Compared with baseline, the CC group had lower PHQ-8 scores at 4 months (P = 0.001) and 8 months (P = 0.014). The IC group improved only at
4 months ($P = 0.009$). The DE group showed no improvement (Table 3).

**Participant Satisfaction**
Interventions are only effective if participants value them. We examined several indices of satisfaction. The mean numbers of sessions completed (of 12) were 10.43 (CC group) and 9.83 (IC group) (1.94, of 2, in the DE group). This very high attendance is strong evidence for participant engagement. On the participant satisfaction questionnaire, participants were asked about “satisfaction with amount of help received”; 83.5% (CC group), 70.3% (IC group), and 41.3% (DE group) were “very satisfied,” the CC arm reported higher satisfaction than the IC arm ($P = 0.05$), and the percentages for both the CC and IC group were greater than those for the DE group ($P < 0.001$). Only 1.3% of CC group participants and 0% of IC group participants were “mostly” or “very dissatisfied” versus 22.2% of DE group participants. Asked to what extent the DSP helped them manage diabetes more
effectively, 82% (CC group), 66% (IC group), and 38% (DE group) responded “a great deal,” with CC group percentages greater than those for the IC group ($P = 0.02$) and the DE group ($P < 0.001$), and percentages for the IC group greater than those for the DE group ($P < 0.001$). Asked whether they would recommend the DSP to a friend/family member, 85% (DE group) said “yes, enthusiastically,” with both the CC and IC groups greater than the DE group ($P < 0.001$). Including those replying simply “yes” finds near total acceptance for both the CC and IC interventions. If offered face-to-face interventions, 24% said they were “somewhat” or “very unlikely” to participate.

**CONCLUSIONS**

**Value of a Couples Intervention**

For the primary outcome of glycemic control, although there were no between-group differences, subgroup within-arm analyses found that the CC intervention was efficacious in lowering A1C levels for individuals with a high A1C level (i.e., 8.3–9.2% [67–77 mmol/mol]), although a comparable individual intervention, and DE alone, were not. And, it is highly significant that these benefits were sustained for a full 8 months after the intervention concluded. In contrast, all three interventions were efficacious for those with very high A1C level (i.e., ≥9.3% [78 mmol/mol]).

Although other behavioral interventions have led to improved glycemic control, analyses examining the data by baseline A1C level often show that it is only the group with the highest A1C levels (i.e., very poor glycemic control) that improves. A three-session intervention for patients plus family member versus usual care reported a 0.4% difference in A1C level ($P = 0.04$) (25). However, stratification by baseline A1C level showed no significant differences within groups with moderately high A1C levels (8.0–8.4% or 8.5–9.4%). Those with baseline A1C levels ≥9.5% drove the positive results, with an A1C decrease of 1.2%. Similarly, in a trial of telephonic education versus education with print materials, positive results (0.4% difference between groups) were driven by significant change only for those with baseline A1C levels >9% (26). It is significant that in the DSP, the couples intervention resulted in a significant decrease in A1C for the high—but not exceedingly high—A1C group, the group that is most commonly seen in clinical practice. Compared with baseline, changes in BMI and WC also favored the CC intervention; however, although statistically significant, changes were clinically small. Changes in BP were more variable, but favored the individual intervention.

We also assessed psychosocial outcomes because partner engagement might have a negative effect on the patient, including erosion of their sense of self-efficacy and increased distress (27). We found that both individual and couples interventions led to improved DSE, with a somewhat stronger short-term effect of the CC intervention. The CC intervention.

### Table 2—Mean (SD) A1C percentages by intervention arm, assessment, and baseline A1C tertile

| Treatment arm | Month | A1C level | A1C level | A1C level | A1C level |
|---------------|-------|-----------|-----------|-----------|-----------|
|               |       | ≤8.20%    | 8.21–9.20%| >9.20%    | Total     |
| CC            | 0     | 7.8 (1.2) | 8.7 (1.2) | 10.8 (1.2)| 8.9 (1.5) |
|               | 4     | 7.6 (1.1) | 8.0 (1.1)*| 9.8 (1.1)*| 8.3 (1.4)* |
|               | 8     | 7.8 (1.1) | 8.1 (1.1)*| 10.0 (1.1)*| 8.5 (1.5)* |
|               | 12    | 7.8 (1.1) | 8.0 (1.1)*| 10.0 (1.1)*| 8.5 (1.5)* |
| IC            | 0     | 7.8 (1.2) | 8.7 (1.2) | 11.0 (1.2)| 9.3 (1.5) |
|               | 4     | 7.9 (1.1) | 8.4 (1.1) | 9.2 (1.1)*| 8.5 (1.4)* |
|               | 8     | 7.8 (1.1) | 8.5 (1.1) | 9.4 (1.1)*| 8.6 (1.4)* |
|               | 12    | 8.0 (1.1) | 8.4 (1.1) | 9.7 (1.1)*| 8.8 (1.4)* |
| DE            | 0     | 7.9 (1.2) | 8.6 (1.2) | 10.7 (1.2)| 9.1 (1.5) |
|               | 4     | 7.6 (1.1) | 8.5 (1.1) | 10.0 (1.1)*| 8.7 (1.5)* |
|               | 8     | 7.9 (1.1) | 8.4 (1.1) | 9.7 (1.1)*| 8.7 (1.4)* |
|               | 12    | 7.6 (1.1) | 8.5 (1.1) | 9.5 (1.1)*| 8.5 (1.4)* |

*$P < 0.05$ for within-treatment comparison with baseline mean. Sidak-adjusted significance levels were used for tertile comparisons.

### Table 3—Mean (SD) values for secondary outcomes by treatment arm and assessment

| Treatment arm | Month | BMI (kg/m²) | WC (cm) | Systolic BP¹ | DBP¹ | DD | DSE | PHQ-8 |
|---------------|-------|-------------|---------|--------------|------|----|------|-------|
|               |       |             |         | (mmHg)       | (mmHg)|    |      |       |
| CC            | 0     | 35.7 (7.5)  | 118.7 (17.5)| 128.1 (12.2)| 74.7 (7.5) | 2.2 (1.0) | 6.8 (1.7) | 5.9 (5.2) |
|               | 4     | 35.3 (6.8)* | 117.4 (15.8)*| 127.4 (12.0)| 72.7 (7.4)* | 1.6 (1.3)* | 7.8 (2.1)* | 4.3 (5.0)* |
|               | 8     | 35.3 (6.8)* | 117.0 (15.9)*| 127.3 (12.1)| 73.6 (7.5) | 1.8(1.2)*  | 7.4 (1.9)* | 4.8 (5.0)* |
|               | 12    | 35.2 (6.7)* | 116.8 (15.5)*| 128.8 (12.2)| 74.3 (7.5) | 1.7 (1.0)* | 7.5 (1.9)* | 5.3 (4.8) |
| IC            | 0     | 36.0 (7.5)  | 117.3 (17.5)| 127.1 (12.3)| 74.5 (7.6) | 2.3 (1.0) | 6.9 (1.7) | 5.8 (5.2)* |
|               | 4     | 35.8 (6.7)  | 116.5 (15.4)| 125.4 (12.3)| 73.1 (7.6) | 1.9 (1.3)* | 7.6 (2.2)* | 4.6 (4.9) |
|               | 8     | 35.9 (6.7)  | 116.3 (15.6)| 124.9 (12.3)| 72.1 (7.6)* | 2.1 (1.1) | 7.5 (1.9)* | 5.2 (4.9) |
|               | 12    | 36.1 (6.7)  | 116.9 (15.5)| 125.4 (12.0)| 71.7 (7.4)* | 1.9 (1.0)* | 7.4 (1.9)* | 5.1 (4.9) |
| DE            | 0     | 36.0 (7.5)  | 118.3 (17.5)| 126.5 (12.1)| 74.1 (7.5) | 2.4 (1.0) | 6.9 (1.7) | 5.8 (5.2) |
|               | 4     | 35.8 (6.9)  | 117.1 (16.0)*| 127.7 (12.2)| 74.9 (7.5) | 2.0 (1.3)* | 7.1 (2.2) | 5.3 (5.0) |
|               | 8     | 35.8 (6.6)  | 117.4 (15.3)| 129.8 (11.9)| 74.9 (7.3) | 2.2 (1.1) | 7.1 (1.9) | 5.3 (4.9) |
|               | 12    | 35.6 (6.5)  | 116.6 (15.2)*| 129.2 (12.0)| 73.7 (7.4) | 2.2 (1.0) | 7.3 (1.9) | 5.5 (4.9) |

¹Baseline-adjusted means are presented. *P < 0.05 for within-treatment comparison with baseline mean.
led to longer-lasting decreases in depressive symptoms. Although both the IC and CC interventions resulted in less DD, again, the CC intervention showed a somewhat stronger effect compared with DE. Again, though statistically significant, some of these changes were small and may not be clinically meaningful, but they do help to allay concerns that partner involvement leads to increased DD or decreased DSE. Finally, the CC intervention yielded the highest levels of participant satisfaction and perceived value.

How do we understand the positive effects of a couples intervention in this clinical trial? This may reflect the benefits of social support, and of having a partner “coach” to reinforce healthy behaviors. This is consistent with the many studies indicating that social support facilitates coping with chronic illness, and that greater partner provision of health-related support and better marital functioning relate to better health outcomes (28). It may also reflect a direct effect of decreased relationship stress on health outcomes (29). Although the underlying mechanisms remain elusive, there have been calls for a “family-focused” approach to disease and diabetes management (8,30). Yet, the couples intervention literature is sparse. Martire et al. (31) performed a meta-analysis of couples interventions for varied diseases and found positive impacts, but only on pain, depression, and relationship quality. A meta-analysis (32) of couples versus individual weight loss interventions found a significant, but small and short-lived, benefit of interventions that included partners. Couples interventions with fibromyalgia patients (33) and for smoking cessation (34) reported no benefit.

For adults with type 2 diabetes, the few family intervention studies are often limited by selection bias, limited follow-up, and lack of RCT standards. A systematic review of family interventions for adults with diabetes found only 10 studies, 6 with randomization and 6 that targeted type 2 diabetes. Of the four studies that measured A1C 6 months after intervention, only one (25) reported significant intervention effects (final n = 12–15/group), and only in those with an A1C level ≥9.5%. An RCT (n = 28/group) comparing a family partnership intervention to usual care reported absolute improvements in both groups, with no significant differences between groups in A1C level or BMI (35).

The limited efficacy of reported couples interventions may reflect the limits of underlying models, which typically define a couples intervention simply as one that includes partners. We adopted a “dyad-level” model, reflecting the “interdependence” of partners (36). Interdependence theory (17,18), our theoretical base, suggested an intervention to promote communal coping, effective communication, and shared problem-solving. We may have avoided the trap of partner involvement being experienced as a form of social control, and thus eliciting behavioral resistance and emotional distress (27). Our data support the hypothesis that the active engagement of partners, and promoting their collaborative coping, in diabetes behavior change interventions may result in significant and lasting improvements in glycemic control for individuals with poor glycemic control, and modest improvements in weight and some psychosocial outcomes. It will be important to identify the underlying mechanisms to further refine couples interventions and build on these positive effects.

Value of DE

For glycemic control, there was a benefit of the DE intervention alone, resulting in no significant differences between group means. However, this finding reflected the benefit of DE only for those with very high A1C levels. The meta-analysis of 31 RCTs of education versus usual care by Norris et al. (4) found that there was an initial benefit (~0.76% at post-test) that declined with time (~0.26% at ≥4 months), and contact time was the only predictor of improved A1C level. In a systematic review (37) of behavioral interventions for adults with type 2 diabetes, effect sizes for “minimally intensive” (<10 h) interventions were not “clinically significant” (i.e., <0.04% change in A1C level); thus, longer interventions were recommended. Our two-session DE group showed a 1-year decrease in A1C of 0.57%; but again, the data driving this was the decrease of 1.19% for those in the highest tertile (A1C ≥9.3%). Thus, our data suggest that even brief DE can be helpful for those with very high A1C levels, but these patients require additional interventions to approach glycemic targets (e.g., intensification of medical therapy). The DE group showed a benefit in WC, though not in DSE, DD, or depressive symptoms.

We did not include a no-active-intervention usual care arm; thus, the DE group data may reflect usual care outcomes. However, given the strong evidence for the positive effects of diabetes self-management education (DSME) on glycemic control (38), we feel reasonably confident that the changes in the very high A1C group were due to the DE. The American Diabetes Association takes the formal position that all diabetes patients should receive DSME at diagnosis and as needed, and diabetes self-management support (DSMS) thereafter to ensure sustained change (39). Thus, DSME/DSMS is now the standard for usual care. Because our intervention did not meet specific DSME/DSMS standards (40), the added benefit of our DE intervention after a more comprehensive DSME program would be interesting to assess.

Value of Phone

Analyses report that in-person programs are more effective than those using technology, including telephones, and in-person intervention is recommended (4,37). However, there is a very low rate of participation in DSME. In one study (41), 4% of Medicare patients participated in DSME; in another study (42), 6.8% did so in the year after diagnosis. This is the first study we are aware of to provide a couples intervention by telephone. The very high level of engagement and satisfaction clearly support the feasibility and acceptability of a couples intervention. And, 24% said it was unlikely they would have participated if the intervention were only offered face to face. The high proportion of males enrolled (61.6%) may mean that males are more open to a phone intervention.

Strengths/Limitations

Our study is unique in its targeting of a committed partner relationship. It contains the key elements of valid couples intervention trials (i.e., is theoretically grounded and includes an individual intervention comparison group) (43). Without an individual intervention comparator, one cannot conclude that partner involvement has an effect, even if differences emerge, just that the intervention was
efficacious compared with usual care. Other strengths include an RCT design, blinded assessments, a high proportion of male (61.6%) and minority (30.4%) participants, and a 1-year follow-up. The main limitation was that couples had been in this relationship for many years (mean 25.5 ± 14.8 years, range 1–67 years); thus, the results are not generalizable to relationships of shorter duration. Also, because both partners had to be willing to participate, understanding that a couples intervention was one arm, it is possible that couples were recruited who were specifically interested in a couples intervention, and the results may not generalize to those not interested in one. The attrition rate, and the lack of significant between-group differences for some outcomes, are also concerns in terms of the strength of the conclusions we can draw. Finally, because we did not track changes in treatment over the course of the trial, it is possible that treatment changes were instituted differently across arms.

Conclusions

For adults with poorly controlled type 2 diabetes, engaging their committed partner in a collaborative couples intervention may be needed to yield significant and lasting improvement in glycemic control. For those with exceedingly high A1C levels, diabetes education alone can achieve improvement, but they require additional intervention to approach glycemic targets. A couples intervention appears to benefit participants in other ways, too. This approach shows promise for enhancing the potential positive impact of partners of type 2 diabetes patients in poor glycemic control.

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