Magnetotransport properties of the $\alpha$-$T_3$ model

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Abstract
Using the well-known Kubo formula, we evaluate magnetotransport quantities, such as the collisional and Hall conductivities of the $\alpha$-$T_3$ model. The collisional conductivity exhibits a series of peaks at a strong magnetic field. Each of the conductivity peaks for $\alpha = 0$ (graphene) splits into two in the presence of a finite $\alpha$. This splitting occurs due to a finite phase difference between the contributions coming from the two valleys. The density of states is also calculated to explore the origin of the splitting of conductivity peaks. As $\alpha$ approaches 1, the right split part of a conductivity peak comes closer to the left split part of the next conductivity peak. At $\alpha = 1$, they merge with each other to produce a new series of the conductivity peaks.

1. Introduction
The signatures of Dirac physics in realistic systems have been established after the phenomenal discovery of graphene monolayers [1, 2]. Graphene, a strictly two-dimensional sheet of carbon atoms arranged on a honeycomb lattice (HCL) structure, exhibits low-energy excitations which are linear in momentum. The quasi-particles in graphene obey the pseudospin $S = 1/2$ Dirac–Weyl equation. The conduction band meets with the valence band at the six corner points of the hexagonal first Brillouin zone (BZ), known as the Dirac points. A number of fascinating physical phenomena have emerged in graphene in recent years. The unconventional integer quantum Hall effect [3–6] is one of them, in which quantization occurs due to the quantum anomaly [4] of the zero-energy Landau level.

On the other hand, there exists an analogous lattice, the so-called dice or $T_3$-lattice [7, 8], in which quasi-particles are characterized by the Dirac–Weyl equation with an enlarged pseudospin $S = 1$. A unit cell of the $T_3$-lattice consists of three inequivalent lattice sites. Two of these, usually known as $\text{rim}$ sites, are situated at the corner points of HCL alternatively. The rest of the lattice site is called a $\text{hub}$ site. It is located at the center of HCL and is connected to six NNs. The low-energy excitations near the Dirac points consist of three energy branches in which two are linear in momentum, known as the conic band. The non-dispersive third energy branch is usually termed a flat band. All the six band-touching points in the first BZ lie on the flat band.

The $T_3$-lattice, belonging to a bipartite class, has been extensively investigated within the context of topological localization [7, 8], magnetic frustration [9, 10], Rashba spin–orbit interaction induced effects [11], Klein tunneling [12], plasmon [13], etc. The existence of the $T_3$-model has been proposed recently using ultra-cold atoms [14]. It is also possible to build a $T_3$-lattice by growing trilayer structures of cubic lattices in the $(1 1 1)$ direction [15]. Recently, there has been a growing interest on the lattices which are described by the generalized Dirac–Weyl equation with arbitrary pseudospin $S$ [16–18].

In addition to the $T_3$-lattice, there is a modified lattice, known as the $\alpha$-$T_3$ model [19], in which the hopping strength...
between the hub site and one of the rim sites is proportional to the parameter $\alpha$. A continuous tuning of $\alpha$ demonstrates the crossover between a HCL ($\alpha = 0$) and a $T_3$-lattice ($\alpha = 1$). With appropriate doping [20] a Hg$_{1-x}$Cd$_x$Te quantum well can be mapped onto a $\alpha$-$T_3$ model with an effective $\alpha = 1/\sqrt{3}$. The continuous evolution of $\alpha$ is associated with the Berry phase of the system and has an enormous effect on the orbital magnetic response. Particularly, the orbital susceptibility [19] of the system changes from dia- to paramagnetic behavior as one continuously tunes $\alpha$ from $\alpha = 0$ (HCL) to $\alpha = 1$ ($T_3$). A number of physical observables, including DC Hall conductivity [21], dynamical optical conductivity [21], and magneto-optical conductivity [22, 23] of an $\alpha$-$T_3$ model have been studied recently and the associated behaviors have also been linked with the Berry phase.

In this work, we study the transport properties of the $\alpha$-$T_3$ model in a transverse magnetic field within a linear response regime. We use the Kubo formalism to understand the behavior of the collisional and Hall conductivities with various parameters, such as electron density and magnetic field. In the strong field regime, the collisional conductivity is described by a number of peaks. For a finite $\alpha$, the peaks arising in the longitudinal conductivity split because the contributions coming from different valleys are different in a phase. A finite $\alpha$ introduces additional plateaus exactly at the midway between the Hall plateaus obtained in the case of graphene ($\alpha = 0$). We observe a transition in the Hall conductivity from $\sigma_{xy} = 2(2n + 1)e^2/h$ (for $\alpha = 0$) to $\sigma_{xy} = 4ne^2/h$ (for $\alpha = 1$) with $n = 0, 1, 2, ...$

This paper is presented in the following way. In section 2, we discuss the basic information of the system, including the Hamiltonian, eigen values, wave functions, and velocity. Various magnetotransport related quantities are derived in section 3. Section 4 includes the analysis of the results obtained. We summarize the main outcomes of this paper in section 5.

2. Preliminary information of the system

2.1. Hamiltonian

Within the framework of the $\alpha$-$T_3$ model, there exists three atoms, namely, P, Q, and R, in a unit cell, as shown in figure 1(a). The atoms P and Q form a honeycomb lattice structure analogous to graphene with a hopping amplitude $t$. The atom R is connected to the atom P via a hopping amplitude $\alpha t$. The parameter $\alpha$ is the key element of this model. The magnitude of $\alpha$ varies from 0–1. The two limiting values of $\alpha$, namely, $\alpha = 0$ and $\alpha = 1$ represent graphene and the dice lattice, respectively.

Within the tight-binding approximation, the low-lying energy states near the Dirac point in a particular valley are described by the Hamiltonian [19]

$$H(p) = \begin{pmatrix} 0 & f_p \cos \phi & 0 \\ f_p \cos \phi & 0 & f_p \sin \phi \\ 0 & f_p \sin \phi & 0 \end{pmatrix}. \tag{1}$$

where $f_p = v_F(\hat{p}_n - ip_y)$ with $v_F$ being the Fermi velocity.

The valley index $\zeta = \pm 1$ represents K and $K'$ valley, i.e. two inequivalent Dirac points in the first BZ, respectively. The angle $\phi$ is connected to the parameter $\alpha$ via $\alpha = \tan \phi$.

In the presence of an external magnetic field $B = B_z\mathbf{e}_z$, transverse to the crystal plane, we make the following Pierls substitution $\mathbf{p} = \mathbf{p} + e\mathbf{A}$, where the vector potential $\mathbf{A}$ is chosen in the Landau gauge as $\mathbf{A} = (-By, 0, 0)$. Hence, the Hamiltonian near the Dirac point in the K-valley takes the following form

$$H_K = \gamma_B \begin{pmatrix} 0 & \cos \phi \hat{a} & 0 \\ \cos \phi \hat{a}^\dagger & 0 & \sin \phi \hat{a} \\ 0 & \sin \phi \hat{a}^\dagger & 0 \end{pmatrix}. \tag{2}$$

where $\gamma_B = \sqrt{2}hv_F/l_0$ with $l_0 = \sqrt{\hbar/(eB)}$ being the magnetic length. The annihilation and creation operators are given by $\hat{a} = v_F\Pi /\gamma_B$ and $\hat{a}^\dagger = v_F\Pi /\gamma_B$, respectively, with $\Pi = \Pi_x \pm i\Pi_y$. The operators do obey the commutation relation $[\hat{a}, \hat{a}^\dagger] = 1$ and their actions on the Fock states $|n\rangle$ are the following: $\hat{a}|n\rangle = \sqrt{n}|n - 1\rangle$ and $\hat{a}^\dagger|n\rangle = \sqrt{n + 1}|n + 1\rangle$. The Hamiltonian corresponding to the $K'$-valley is obtained through the substitution $\hat{a} \rightarrow -\hat{a}$. 

Figure 1. Sketch of (a) the geometric structure and (b) energy spectrum of an $\alpha$-$T_3$ lattice.
2.2. Conic band

In the absence of a magnetic field, the conic band of the $\alpha$-$T_3$ model consists of conduction and valence bands which disperse linearly with momentum (see figure 1(b)). A perpendicular magnetic field causes the continuous energy branches to break into the Landau levels.

On diagonalizing equation (2), the energy spectrum of the system can be obtained in the following form

$$\epsilon_{n, \zeta} = \lambda n \sqrt{n + \chi_{\zeta}},$$

where $n = 0, 1, 2, \ldots$ and $\lambda = \pm 1$ denotes the conduction band and valence band, respectively. The quantity $\chi_{\zeta}$ depends on the valley index $\zeta$ through $\chi_{\zeta} = (1 - \zeta \cos 2\phi)/2$.

The eigenfunction for $n > 0$ corresponding to the K-valley is given by

$$\Psi_{n, K}^{\lambda}(r) = \frac{1}{\sqrt{2}} \begin{pmatrix} \sqrt{n + \chi_{\zeta}} \Phi_{n-1}(y) & \sqrt{n + \chi_{\zeta}} \Phi_{n+1}(y) \\ \lambda \Phi_{n}(y) & \sqrt{n + \chi_{\zeta}} \Phi_{n}(y) \end{pmatrix} e^{i k_x x}$$

(4)

Here, $\Phi_n(y) = (1/\sqrt{2\pi n!}) e^{-y^2/4} H_0(y)$ with $y_0 = \xi k_x$ is the usual harmonic oscillator wave function.

For $n = 0$ the eigenfunction is given by

$$\Psi_{0, K}^{0}(r) = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & \sqrt{n + \chi_{\zeta}} \Phi_{0}(y) \\ \lambda \Phi_{0}(y) & 0 \end{pmatrix} e^{i k_x x}$$

(5)

2.3. Flat band

In addition to the spectrum (equation (3)), there exists a non-dispersive energy band $\varepsilon_F = 0$ for $n$, known as a flat-band.

The corresponding eigenfunctions for the K-valley can be obtained as

$$\Psi_{n, K}^{F}(r) = \begin{pmatrix} \sqrt{n + \chi_{\zeta}} \Phi_{n-1}(y) \\ \sqrt{n + \chi_{\zeta}} \Phi_{n+1}(y) \\ \sqrt{n + \chi_{\zeta}} \Phi_{n}(y) \end{pmatrix} e^{i k_x x}$$

(6)

and

$$\Psi_{0, K}^{F}(r) = \begin{pmatrix} 0 \\ \sqrt{n + \chi_{\zeta}} \Phi_{0}(y) \\ \sqrt{n + \chi_{\zeta}} \Phi_{0}(y) \end{pmatrix} e^{i k_x x}$$

(7)

for $n > 0$ and $n = 0$, respectively.

The eigenfunctions corresponding to the K'-valley are given in appendix A.

2.4. Velocity operators

The components of the velocity for the K-valley can be obtained in the following matrix form

$$v_x = \frac{\partial H}{\partial p_x} = v_F \begin{pmatrix} 0 & \cos \phi & 0 \\ \cos \phi & 0 & \sin \phi \\ 0 & \sin \phi & 0 \end{pmatrix}$$

(8)

and

$$v_y = \frac{\partial H}{\partial p_y} = v_F \begin{pmatrix} 0 & -i \cos \phi & 0 \\ i \cos \phi & 0 & -i \sin \phi \\ 0 & i \sin \phi & 0 \end{pmatrix}$$

(9)

To find the velocity components for the K'-valley, we need to make the replacement $v_x \rightarrow -v_x$ and $v_y \rightarrow v_y$.

3. Magnetotransport coefficients

In the regime of linear response theory, where the electric field is weak enough, we will derive here the analytical expressions for the components of the conductivity tensor. To do this we employ the well-known Kubo formalism [24]. The diagonal components of the conductivity tensor, known as longitudinal conductivity, consists of diffusive and collisional contributions. In the presence of a perpendicular magnetic field, the diffusive contribution would give a vanishing result since the diagonal elements of the velocity matrix are zero. Hence, the contribution in the longitudinal conductivity comes entirely from the collisional or hopping process. The off-diagonal component is usually termed the transverse or Hall conductivity.

3.1. Collisional conductivity

Within the Kubo formalism, the general expression for the collisional conductivity is given by [25–28]

$$\sigma_{yx} = \frac{\beta e^2}{S} \sum_{\xi \zeta} f(\varepsilon_{\xi}) (1 - f(\varepsilon_{\zeta})) W_{\xi\zeta}(\varepsilon_y - \varepsilon_{\xi})^2$$

(10)

where $\xi \equiv (n, k_x, \lambda)$ represents the set of all quantum numbers, $S$ is the area of the sample, $\beta = 1/(k_B T)$ with $T$ being the temperature of the system, $\xi_\zeta = \langle \xi \| \xi \rangle$, and $f(\varepsilon_{\xi}) = [e^{\beta(\varepsilon_{\xi} - \mu)} + 1]^{-1}$ is the Fermi–Dirac distribution function with $\mu$ as the chemical potential. In addition, $W_{\xi\zeta}$ denotes the probability by which an electron makes a transition from an initial state $|\xi\rangle$ to a final state $|\zeta\rangle$. In the case of elastic scattering by static impurities, its expression is given by

$$W_{\xi\zeta} = \frac{2\pi n_m}{h S} \sum_q |U(q)|^2 |F_{\xi, q}|^2 \delta(\varepsilon_{\xi} - \varepsilon_{\zeta})$$

(11)

where $n_m$ is the density of impurities and $U(q)$ is the Fourier transform of the screened Coulomb potential $U(r) = e^2e^{-kr}/(4\pi\epsilon_0\epsilon_r r)$ with $\epsilon_0$, $\epsilon_r$, and $k_s$ as the free space permittivity, dielectric constant of the medium, and screened potential.
wave vector, respectively. The expression of $U(q)$ is given by
$U(q) = e^2/(4\pi \epsilon_0 q^2 + k_f^2)$. Finally, $F_{\xi', \xi}$ denotes the form factor which is defined as $F_{\xi', \xi} = (\xi'|e^{iq}\xi|\xi)$. The square of $F_{\xi', \xi}$ for the valley $\zeta$ can be obtained as

$$|F_{\xi', \xi}|^2 = \frac{n^4!}{n^4!} u^{-2} e^{-\frac{n'(1 - \chi_\zeta)}{\sqrt{(n + \zeta)(n' + \chi_\zeta)}} L_{n''-1}(u)
+ \frac{(n + 1)\chi_\zeta}{n + \chi_\zeta} L_{n''-1}(u) + \lambda^2 L_{n''-1}(u)} \delta_{\xi', \xi}.$$  

(12)

where $u = q^2/2$.

To derive an analytical expression for the longitudinal conductivity, we note that $\chi_\zeta = k_f^2$ and $\chi_\zeta = k'_f^2$. With the virtue of $\delta_{\xi', \xi}$, we can write $\chi_\zeta = \chi_\zeta$. We now restrict ourselves to consider only the intra-band ($\lambda' = \lambda$) and intra-level ($n' = n$) scattering because of the presence of the term $\delta(\xi - \zeta)$ in equation (11). With this consideration, the form factor for a particular valley $\zeta$ simplifies as

$$|F_{\xi', \xi}|^2 = \frac{1}{4} e^{-\frac{n'(1 - \chi_\zeta)}{n + \chi_\zeta} L_{n''-1}(u) + \lambda^2 L_{n''-1}(u)} \delta_{\xi', \xi}.$$  

(13)

For $n = 0$, we have $|F_{\xi', \xi}|^2 = e^{-u(1 - u - 2)/2}$ for both the valleys. Note that both $|F_{\xi', \xi}|^2$ and $|F_{\xi', \xi}|^2$ are independent of $\lambda$.

The sharp Landau levels broaden due to the presence of the impurities in the system. Assuming Lorentzian broadening, we may write $\delta(\xi - \zeta) = (\Gamma_0/\pi)|(\xi - \zeta)/2 + \Gamma_0/2|$, where $\Gamma_0$ is the broadening parameter. It may depend on the magnetic field, quality of samples, etc. For intra-level and intra-band scattering, we may further write $\delta(\xi - \zeta) \approx 1/(\pi(\xi|\xi|2))$. Because of the presence of the term $e^{-u}$ in the expressions of $|F_{\xi', \xi}|^2$, only small values of $q^2$ are favorable. Hence, $U(q)$ can be approximated as $U(q) \approx e^2/(4\pi \epsilon_0 q^2 + k_f^2)$. We also note that $\sum_{k_\zeta} \rightarrow S(2\pi)^2 \int dq d\theta$, where $\theta$ is the polar angle of $q$.

Combining all these, one may arrive at the following formula

$$\sigma_{xy} = \frac{e^2}{\pi \hbar \Gamma_0 2} \sum_{\lambda, \zeta, \pm} \int_{-\infty}^{\infty} F_{\lambda, \zeta}^2 \, du \times (1 - f(\xi|\xi|2)).$$  

(14)

Using the orthogonality of the Laguerre polynomials, i.e., $\int_{-\infty}^{\infty} e^{-u} L_n(x) L_m(x) \, dx = \delta_{nm}$ and the recurrence relation $(n + 1)L_{n+1}(x) = (2n + 1 + x)L_n(x) - nL_{n-1}(x)$, one can do the integration in equation (14). Finally, we have

$$\sigma_{xy} = \frac{e^2}{\pi \hbar \Gamma_0 2} \sum_{\lambda, \zeta, \pm} \int_{-\infty}^{\infty} F_{\lambda, \zeta}^2 \, du \times (1 - f(\xi|\xi|2)).$$  

(15)

where

$$\Gamma_0 = (2n - 1)A_\zeta \Lambda_\zeta^2 + (2n + 1) + (2n + 3)B_\zeta^2 - 2n\Lambda_\zeta^2 (n + 1)B_\zeta^2$$

with $A_\zeta = n(1 - \chi_\zeta)/(n + \chi_\zeta)$ and $B_\zeta = (n + 1)\chi_\zeta/(n + \chi_\zeta)$.

Additionally, the zeroth Landau level would contribute the following amount to the conductivity

$$\sigma_{xy}^0 = \frac{e^2}{\pi \hbar \Gamma_0 2} \sum_{\lambda, \zeta} \int_{-\infty}^{\infty} F_{\lambda, \zeta}^2 \, du \times (1 - f(\xi|\xi|2)).$$  

(16)

3.2. Hall conductivity

Another important quantity of the linear response theory is the Hall conductivity. Its general expression is given by [26–29]

$$\sigma_{xy} = \frac{\text{Im} c_\xi}{\xi} \sum_{\zeta, \xi} \frac{(f_\xi - f_\zeta)}{(\xi|\xi|2 + \chi_\zeta/2 + \Gamma_0)}.$$  

(17)

Here, $f_\xi = f(\xi|\xi|2)$. The expressions for the matrix elements of the velocity operators, i.e., $\langle \xi|\xi|2\rangle$ with $i = x, y$ are given in appendix B. As those contain $\delta_{\xi', \xi}$, the summation in equation (17) can be simplified as

$$\sum_{\xi, \zeta} \rightarrow g_\xi \frac{\text{Im} c_\xi}{\xi} \sum_{\zeta, \xi} \frac{(f_\xi - f_\zeta)}{(\xi|\xi|2 + \chi_\zeta/2 + \Gamma_0)}.$$  

(18)

Finite broadening of the Landau levels has been considered for the collisional conductivity. In the case of the Hall conductivity, only the transition between different Landau levels is important. For sharp levels, the results would be more appropriate. Hence, we take $\Gamma_0 = 0$ for simplicity. Now, equation (17) becomes

$$\sigma_{xy} = \frac{\text{Im} c_\xi}{\xi} \sum_{\zeta, \xi} \frac{(f_\xi - f_\zeta)}{(\xi|\xi|2 + \chi_\zeta/2 + \Gamma_0)}.$$  

(19)

where $Q_\lambda^\gamma = \langle \Psi_\gamma^\lambda |\Psi_\gamma^\lambda\rangle \langle \Psi_\gamma^\lambda |\Psi_\gamma^\lambda\rangle \langle \Psi_\gamma^\lambda |\Psi_\gamma^\lambda\rangle$. Note that the valley index $\zeta$ is omitted from equation (18). We will calculate $\sigma_{xy}$ individually for different valleys and restore the index $\zeta$ later.

It is worth mentioning that it is possible for two different kinds of transition to occur. One is the transition between various states within the conic band. The other type is the transition from the flat band to the conic band and vice-versa. Let us discuss both the contributions one by one.

3.2.1. Transitions within the conic band. Since the wave function corresponding to $n = 0$ and $n > 0$ Landau levels are different, we expand the summation in equation (18) explicitly as
\[ \sigma_{yx}^{CF} = \frac{g_0 e^2}{2 h} \sum_{\lambda, \chi} \sum_{n=0}^{\infty} (n+1) P_{\lambda, \chi, n}^{\prime - \prime} + f_{\lambda, \chi, n}^{\prime + +} f_{\lambda, \chi, n}^{\prime - -}, \]

where \( P_{\lambda, \chi, n}^{\prime - \prime} = \left[ 1 + \left( \frac{\delta_0}{\delta_n} \right)^2 \right] \left[ 1 - \chi_{\lambda, \chi} \right]^2 \left[ 1 + \left( \frac{\delta_{n+1}}{\delta_n} \right)^2 \right]^2 \chi_{\lambda, \chi} \]

with \( \delta_n = \sqrt{n + \chi_{\lambda, \chi}}. \)

### 3.2.2. Transition between conic band and flat band

The electronic transitions from the flat band to the conic band and vice-versa produce a finite contribution to the Hall conductivity. In particular, it is crucial for low-density where a small number of Landau levels contribute to the summation. The resulting contribution can be written as

\[ \sigma_{yx}^{CF} = 2D \sum_{\lambda, \chi} \left[ f_{\lambda, \chi, n}^{\prime + -} - f_{0, \lambda, \chi}^{\prime - +} Q_{\lambda, \chi}^{\prime + -} + f_{\lambda, \chi, n}^{\prime - +} - f_{0, \lambda, \chi}^{\prime + -} Q_{\lambda, \chi}^{\prime - +} \right] + \sum_{n=1}^{\infty} \frac{f_{\lambda, \chi, n}^{\prime + -} - f_{\lambda, \chi, n}^{\prime - +}}{\varepsilon_n - \varepsilon_0} Q_{\lambda, \chi}^{\prime + -} + \sum_{n=1}^{\infty} \frac{f_{\lambda, \chi, n}^{\prime + -} - f_{\lambda, \chi, n}^{\prime - +}}{\varepsilon_n - \varepsilon_0} Q_{\lambda, \chi}^{\prime - +}, \]

where \( Q_{\lambda, \chi}^{\prime + -} = \langle \Psi_{\lambda, \chi}^{\prime +} | \langle \Psi_{\chi}^{\prime -} | V_e | \Psi_{\lambda, \chi}^{\prime +} \rangle \rangle. \)

### 4. Results and discussions

Here, we discuss various features of the collisional and Hall conductivities obtained through the numerical evaluation of equations (15), (16), (23) and (26). To do this we use the following parameters: \( \varepsilon_r = 2.5, k_i = 10^8 \text{ m}^{-1}, \) and \( n_{im} = 1.5 \times 10^{13} \text{ m}^{-2}. \) We also consider \( \Gamma_0 = 0.07 \gamma_B \) that means \( \Gamma_0 \propto \sqrt{B}. \) The existence of this behavior of \( \Gamma_0 \) has been confirmed in [3, 30].

The variation of the collisional conductivity \( (\sigma_{yx}) \) with electron density \( (n_e) \) is depicted in figure 2 for a constant magnetic field, namely, \( B = 10 \text{ T} \) and different values of \( \alpha, \) namely,
When \( \alpha = 0,0.2,0.4,0.6,0.8,1 \). When \( \alpha = 0 \) (resembles the case of graphene), \( \sigma_\rho \) displays oscillatory behavior consisting of a number of peaks. This situation changes dramatically as we switch on the parameter \( \alpha \). For a finite \( \alpha \), each conductivity peak splits into two peaks which are unequal in magnitude. The splitting of the peaks can be attributed to the phase difference between the contributions arising from different valleys. Additionally, a single peak appears at a very low density which was absent in the case of graphene. This appearance is a direct consequence of the fact that, unlike graphene, the \( n = 0 \) Landau level is not shared by the conduction and valence bands. They are distinct in energy separated by a gap of \( \sqrt{\gamma \zeta} \) for valley \( \zeta \). In other words, the so called ‘quantum anomaly’ of the \( n = 0 \) level for graphene is absent in the \( \alpha-T_\parallel \) model. With the increase of \( \alpha \), the gap between the two split peaks increases. More specifically, the two peaks move in opposite directions. Furthermore, the position of the single peak moves towards a higher density. Eventually, it merges with the left split-peak of the 2nd peak when \( \alpha \) reaches 1. Similarly, as \( \alpha \) approaches 1 the right split-peak of the 2nd peak merges with the left split-peak of the 3rd peak, and so on. As a result, for \( \alpha = 1 \), we obtain a new set of conductivity peaks whose positions are completely different from graphene.

The origin of the splitting of the conductivity peaks will become more transparent if we explore the behavior of the density of states (DOS) at the Fermi energy. The motivation behind this is the fact that any transport related quantity is proportional to the DOS at the Fermi energy. Generally, the DOS of the Landau levels is given by \( D(\varepsilon) = \sum \delta(\varepsilon - \varepsilon_{n,\zeta}) \). Since the levels are broadened by impurities, we can replace the \( \delta \)-function by a Lorentzian distribution as discussed in section 3.1. Hence, the DOS has to be calculated numerically. However, it is possible to obtain the following approximate analytical expression of the DOS (the derivation is given in appendix C),

\[
D(\varepsilon_F) = \frac{2\varepsilon_F}{\pi T_B^2} \sum_\zeta \left\{ 1 + 2 \sum_{k=1}^{\infty} \exp \left[ -2k \left( \frac{2\pi l_0 \varepsilon_{F}}{T_B^2} \right)^2 \right] \right\} \times \cos \left[ 2\pi \left( \frac{\varepsilon^2}{2T_B^2} - \chi \right) \right].
\]

(27)
The DOS at the Fermi energy, calculated numerically and from equation (27), are depicted in figure 3 for a given $\alpha = 0.5$. From figure 3, we may conclude that $D_\varepsilon (x)$ displays similar features as $\sigma_{xy}$, i.e. splitting of peaks and the position of the peaks in $D_{\varepsilon (x)}$ and $\sigma_{xy}$ are the same. By considering the most dominant first harmonics ($k = 1$ term) only in equation (27), we may write $D_{\varepsilon (x)} \sim \cos(\kappa^2 \xi_n - 2\pi \chi_n)$. This clearly indicates that two valleys contribute different amounts to the DOS which differ by a phase $2\pi (\chi_- - \chi_+) = 2\pi (1 - \alpha^2)/(1 + \alpha^2)$. This phase difference lies entirely behind the splitting of the conductivity peaks.

In figure 4, we have shown the behavior of the Hall conductivity as a function of the electron density. We fix $B = 10$ T and tune $\alpha$ from 0–1. For $\alpha = 0$ (graphene), $\sigma_{xy}$ contains a series of Hall plateaus of values 2,6,10,... in units of $e^2/h$. This type of quantization occurs due to the ‘quantum anomaly’ of the lowest Landau level [4]. A finite $\alpha$ introduces a new series of plateaus situated midway between every two plateaus. Additionally, a plateau at which $\sigma_{xy} = 0$ appears due to the fact that the lowest Landau-level has non-zero energy and its degeneracy is lifted by a factor of 2' in the presence of a finite $\alpha$. Thus at finite $\alpha$, one obtains the following Hall quantization $\sigma_{xy} = 2ne^2/h$ with $n = 0,1,2,...$. The width of each new plateau increases and that of each old plateau shrinks with $\alpha$. Finally, a new series of plateaus of values 0,4,8,... in units of $e^2/h$ is obtained for $\alpha = 1$. Our results are similar to the findings of [21] in which DC Hall conductivity was indirectly derived from magnetization using the Streda formula [31]. Here, we obtain Hall quantization directly via the implementation of the Kubo formalism. In [21], the contribution of the flat band was completely ignored due to its zero energy. However, in our treatment, the transitions between the flat and conic band play a crucial role, particularly at a lower density, in order to get accurate quantization of the Hall conductivity.

The behavior of the resistivity with the magnetic field for $\alpha = 0.5$ is shown in figure 5. For every jump in the Hall resistivity from a plateau to the next one, a peak appears in the longitudinal resistivity.

5. Summary

In this work, we have explored the magnetotransport properties of the $\alpha$-$T_3$ model by evaluating the Hall and longitudinal Hall conductivities using the standard Kubo formula. At a strong magnetic field, a number of peaks appear in the longitudinal conductivity. The conductivity peaks split into two due to the lifting of the valley degeneracy in the presence of finite $\alpha$, except at $\alpha = 1$. The origin of this splitting has also been explained through the behavior of DOS, calculated numerically and analytically. A new series of conductivity peaks is obtained for $\alpha = 1$ which is different from the $\alpha = 0$ case. Like graphene, the Hall conductivity behaves like $\sigma_{xy} = 2(2n + 1)e^2/h$ with $n = 0,1,2,...$ for $\alpha = 0$. At finite $\alpha$, additional plateaus appear exactly at the mid way between every two Hall plateaus. The width of each new (old) plateau increases (decreases) as $\alpha$ increases from 0–1. Thus, one obtains the following Hall quantization $\sigma_{xy} = 4ne^2/h$ with $n = 0,1,2,...$ for $\alpha = 1$.

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Appendix A. Wave functions in $K'$-valley

For the conic band, the eigen functions corresponding to $n > 0$ and $n = 0$ are given by

$$\psi_{n,k}^{K'}(r) = \frac{1}{\sqrt{2}} \begin{pmatrix} \sqrt{(n+1)\chi_-} \Phi_{n+1}(y) \\ \sqrt{n+\chi_-} - \lambda \Phi_0(y) \\ \sqrt{n(1-\chi_-)} \Phi_{n-1}(y) \end{pmatrix} e^{ikx}, \quad (A.1)$$

and

$$\psi_{0,k}^{K'}(r) = \frac{1}{\sqrt{2}} \begin{pmatrix} \Phi_0(y) \\ -\lambda \Phi_0(y) \\ 0 \end{pmatrix} e^{ikx}. \quad (A.2)$$

The flat-band wave functions are given by

$$\psi_{n,k}^{F,K'}(r) = \begin{pmatrix} -\sqrt{(n+1)\chi_-} \Phi_{n+1}(y) \\ \sqrt{n+\chi_-} \\ 0 \end{pmatrix} \frac{e^{ikx}}{\sqrt{2\pi}}, \quad (A.3)$$

for $n > 0$ and

$$\psi_{0,k}^{F,K'}(r) = \begin{pmatrix} \Phi_0(y) \\ 0 \\ 0 \end{pmatrix} \frac{e^{ikx}}{\sqrt{2\pi}}. \quad (A.4)$$

for $n = 0$.

Appendix B. Matrix elements of the velocity operators

The matrix elements of the velocity components for a given valley $\zeta$ can be obtained as

$$\langle \psi_{n,k}^{\zeta} | v_{k'} | \psi_{n'}^{\zeta} \rangle = \frac{\sqrt{\pi} \hbar}{2} \left( M_{n,n'+1}^{\zeta} + N_{n,n'+1}^{\zeta} \right) \quad (B.1)$$

and

$$\langle \psi_{n,k}^{\zeta} | v_{k'} | \psi_{n'}^{\zeta} \rangle = \frac{\sqrt{\pi} \hbar}{2} \left( M_{n,n'+1}^{\zeta} - N_{n,n'+1}^{\zeta} \right). \quad (B.2)$$

where
\[ M_{\text{ret}}^{\la} = \lambda \frac{\sqrt{n' + 1} \chi_c}{\sqrt{n' + \chi_c}} + \lambda \frac{\sqrt{n} (1 - \chi_c)}{\sqrt{n + \chi_c}}, \quad \text{(B.3)} \]

\[ N_{\text{ret}}^{\la} = \lambda \frac{\sqrt{n' (1 - \chi_c)}}{\sqrt{n' + \chi_c}} + \lambda' \frac{\sqrt{n + 1} \chi_c}{\sqrt{n + \chi_c}}, \quad \text{(B.4)} \]

and \( b = \delta_{n, k} \).

For 0 \to n scattering, we have the following matrix elements of \( v_{\text{eff}} \) and \( v_{\text{f}} \):

\[ \langle \Psi_{\text{eff}} | v_{\text{eff}} | \Psi_{\text{eff}} \rangle \equiv \frac{v_{\text{eff}}}{2} b \left[ \lambda \frac{\sqrt{n'} (1 - \chi_c)}{\sqrt{n' + \chi_c}} + \lambda \sqrt{\chi_c} \right] \delta_{n, 1}, \]

and

\[ \langle \Psi_{\text{eff}} | v_{\text{f}} | \Psi_{\text{eff}} \rangle \equiv -\frac{iv_{\text{f}}}{2} b \left[ \lambda' \sqrt{n' (1 - \chi_c)} + \lambda' \chi_c \right] \delta_{n, 1}. \]

### Appendix C. Calculation of DOS

Here, we provide an explicit calculation of the DOS of the Landau levels which are broadened by impurities. To calculate the DOS, we may start from the following expression of the associated self-energy [32, 33],

\[ \Sigma^-(\varepsilon) = \Gamma_1^0 \sum \frac{1}{\varepsilon - \varepsilon_{\text{r}, n} - \Sigma^-(\varepsilon)}. \quad \text{(C.1)} \]

The imaginary part of \( \Sigma^-(\varepsilon) \) is directly related to DOS via

\[ D(\varepsilon) = \text{Im} \left[ \frac{\Sigma^-(\varepsilon)}{\pi^2 \hbar^2 \varepsilon_0^2} \right]. \quad \text{(C.2)} \]

The summation over \( n \) in equation (C.1) can be evaluated with the help of the residue theorem, i.e.,

\[ \sum_n g(n) = \{- \text{Sum of residues of } \pi \cot(\pi z) g(z) \text{ at all poles of } g(z)\}. \]

Inserting \( e_{\text{r}, n} \) in equation (C.1) we can identify \( g(n) = a / (b - c \sqrt{n} + \chi_c) \), where \( a = \Gamma_1^0, b = \varepsilon - \Sigma^-(\varepsilon), \) and \( c = \lambda \gamma_B \).

Now, the function \( g(z) \) has a pole at \( z_0 = b^2/4c^2 - \chi_c \).

The residue of \( \pi \cot(\pi z) g(z) \) is \( -2abc/\pi \cot(\pi b^2/4c^2 - \chi_c) \).

Considering the terms which are only linear in \( \Sigma^-(\varepsilon) \), the self-energy can be approximated to the following form

\[ \Sigma^-(\varepsilon) \approx \frac{2 \pi \Gamma_1^0 \xi^2}{\gamma_B^2} \cot \left[ \frac{\pi (\varepsilon^2 - 2 \xi \Sigma^-(\varepsilon))}{\gamma_B^2} \right] - \chi_c. \quad \text{(C.3)} \]

Separating \( \Sigma^-(\varepsilon) \) into real and imaginary parts, i.e.,

\[ \Sigma^-(\varepsilon) = \Delta(\varepsilon) + i \Gamma(\varepsilon)/2, \]

equation (C.3) can be rewritten as

\[ \Sigma^-(\varepsilon) \approx \frac{2 \pi \Gamma_1^0 \xi^2}{\gamma_B^2} \cot(u - iv), \]

where \( u = \pi (\varepsilon^2 - 2 \xi \Delta(\varepsilon)) / \gamma_B^2 - \chi_c \) and \( v = \pi \Gamma(\varepsilon) / \gamma_B^2 \).

Now, it is straightforward to obtain the imaginary part of the self-energy as

\[ \Gamma(\varepsilon) = \frac{2 \pi \Gamma_1^0 \xi^2}{\gamma_B^2} \left[ \frac{\sinh(2\varepsilon)}{\cosh(2\varepsilon) - \cos(2\varepsilon)} \right] + \sum_{k=1}^{\infty} \exp \left[ -2k \left( \frac{2 \pi \Gamma_1^0 \xi^2}{\gamma_B^2} \right)^2 \right] \times \cos \left[ 2k \pi \left( \frac{2 \xi \Delta(\varepsilon)}{\gamma_B^2} \right) \right]. \quad \text{(C.4)} \]

In the limit \( \pi \xi \Gamma(\varepsilon) / \gamma_B^2 \ll 1 \), \( \Gamma(\varepsilon) \) can be obtained iteratively from equation (C.4). After the first iteration, we get

\[ \Gamma(\varepsilon) = 4 \pi \Gamma_1^0 \xi^2 / \gamma_B^2. \]

Consequently, the DOS is obtained in the following form

\[ D(\varepsilon) = \frac{2 \pi \xi}{\pi \Gamma_1^0 \gamma_B^2} \sum_k \left[ 1 + 2 \sum_{k=1}^{\infty} \exp \left[ -2k \left( \frac{2 \pi \Gamma_1^0 \xi^2}{\gamma_B^2} \right)^2 \right] \times \cos \left[ 2k \pi \left( \frac{2 \xi \Delta(\varepsilon)}{\gamma_B^2} \right) \right] \right]. \quad \text{(C.5)} \]

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