The role of immune cell subpopulations in the growth and rejection of TC-1/A9 tumors in novel mouse strains differing in the H2-D haplotype and NKC domain

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Abstract. The present study aimed to elucidate the role of cluster of differentiation (CD)8+, CD4+, natural killer (NK), and myeloid (CD11b+) cells in the course of the growth and rejection of experimental major histocompatibility complex (MHC) class I-deficient, HPV16 E6/E7-associated TC-1/A9 tumors in mice. Stable mouse lines (F₁₀) generated by inbreeding of Balb/c and C57BL/6 strains, which were characterized by H-2Db⁺/NK1.1neg (B6-neg) and H-2Db⁻/NK1.1high (Balb-high) phenotypes, were used for the present study. The novel strains spontaneously regressed tumors in 70-90% of cases. Ex vivo histological analysis of the tumor microenvironment in cryosections showed an indirect correlation between the growth of the transplanted tumor (progressor vs. regressor mice) and the proportion of immunocompetent cell infiltration in the tumors. The regressor mice exhibited a higher infiltration of tumors with CD4⁺ and CD8⁺ cells, and in Balb-high with NK cells as well, compared with the progressors. All tumor transplants also indicated a huge infiltration of CD11b⁺ cells, but this infiltration was not dependent on the stage of the TC-1/A9 tumor development. Depletion of individual cell subpopulations in vivo exhibited different effects on the tumor development in the two strains. Elimination of CD8⁺-positive cells enhanced growth of TC-1/A9 tumor transplants in both hybrid stains, whereas CD4⁺ cell depletion affected rejection of TC-1/A9 tumors in the B6-neg mice only. Depletion of NK cells with anti-asialo GM1 antibody in the Balb-high strain led to enhancement of tumor growth, which was more pronounced after depletion of the NK1.1⁺ subpopulation. On the other hand, depletion of NK cells with anti-asialo GM1 in B6-neg mice did not affect the regression of TC-1/A9 tumor transplants, but increased the CD11b⁺ cell infiltration. In summary, these results indicate that co-operation of particular subsets of immunocompetent cells is essential for the rejection of TC-1/A9 tumor transplants. In B6-neg mice, the co-operative action of CD8⁺ and CD4⁺ cells is required, whereas in Balb-high mice, the synergy of CD8⁺ and NK1.1⁺ cells is of major importance.

Introduction

The antitumor immune response is based on the tight co-operation between the components of innate and adaptive immunity, and is strongly influenced by the active role of the tumor microenvironment manifested by immune cell suppression and selection of non-immunogenic tumor cell variants (1). In the surveillance of major histocompatibility complex class I (MHC I)-deficient tumors, natural killer (NK) cells are primarily involved, but they also participate in priming the specific, MHC I-restricted immune responses via IFN-γ secretion leading to upregulation of MHC class I expression on tumors, potentiating the cytotoxic T lymphocyte-mediated response (2,3).

To get deeper insight into the mechanisms playing a role in the antitumor innate and adaptive immunity, we used stable mouse hybrids of Balb/c and C57BL/6 strains of H-2Db⁺/NK1.1neg (B6-neg) and H-2Db⁻/NK1.1high (Balb-high) phenotypes, differing in the H2-D haplotype and NKC domain. These novel mouse strains expressed unique features of spontaneous tumor regression in the MHC-I-negative TC-1/A9 experimental model, possibly mediated by the
involved in NKC polymorphisms and H-2D haplotype in the effector-target cell interaction. The homozygosity in NKC domain was proved by PCR genotyping of Nkr-pl and Ly-49 polymorphic gene families. The genes shared by Balb and B6 parental mice (i.e., Nkr-pla, Nkr-plf, Ly-49e, CD69, and Nkg2) were identified in both hybrid strains. Nkr-plb and Nkr-plf<sub>aza</sub> gene isoforms of Balb were present in B6-neg mice, whereas Nkr-pld and Nkr-plf<sub>aza</sub> of B6 origin were present in Balb-high mice; it means that the NKC domain was inherited as a whole. Our previous results also demonstrated the higher relative distribution of CD4+ cells in B6-neg and Balb strains (4).

We found that inoculation of B6-neg mice with TC-1/A9 tumor cells led to temporal tumor growth up to days 10-12, and then the tumors started to quickly regress. In the Balb-high mice, the period of tumor growth was prolonged to 20 days, similarly to the Balb/c parental strain (4). In the prophylactic immunization experimental settings using irradiated TC-1/A9 tumor cells in C57BL/6 mice, a remarkable role of NK1.1-positive cells in the development of immunity was observed (5).

Tumor-infiltrating leukocytes (TIL) represent important, although controversial, markers associated with the clinical outcome. Their defectiveness has been attributed to various mechanisms, including inadequate antigen presentation, recruiting of inhibitory subpopulations (Treg, MDSC), or inactivation by immunosuppressive factors in the local tumor microenvironment or by signaling through co-inhibitory molecules (6-8). However, analysis of the TIL presence can provide important evidence about the antitumor immune reactions in vivo (9). The novel mouse strains can serve as a good model for elucidation of the TIL role in tumor regression.

In this communication, we examined the role of distinct subpopulations of co-operating in vivo immunocompetent cells, i.e., CD8<sup>+</sup>, CD4<sup>+</sup>, CD11b<sup>+</sup>, and NK cells, in the growth and rejection of TC-1/A9 tumor transplants in the newly established mouse strains. The results of in vivo experiments were completed by detailed immunohistological analysis of tumor-infiltrating leukocytes to show the relationship between depletion of particular effector cells and tumor growth in the novel mouse strains.

Materials and methods

**Experimental animals.** Eight-week-old inbred female C57BL/6 (B6) (Charles River Laboratories, Munich, Germany) and Balb/c (Breading Units of Animal Facility of IMG CAS, Prague, Czech Republic) mice and the newly generated eight-week-old inbred female C57BL/6 (B6) (Charles River Laboratories, Munich, Germany) and Balb/c (Breading Units of Animal Facility of IMG CAS, Prague, Czech Republic) mice were used for exclusion of non-viable cells.

**Flow cytometry.** The efficacy of particular cell depletion from the spleens of individual mice was verified by flow cytometry using monoclonal antibodies NK1.1-APC (clone PK136), CD3-3D-Horizon V450, CD4-PerCP, CD8-APC-eFluor780, NKp46-FTC (BD Biosciences, San Diego, CA, USA) and corresponding antibodies on days -7, -5, -2, +4, +11, +18 for NK cell depletion, rabbit anti-asialo GM1 polyclonal antibody (Sigma-Aldrich; Merck KGaA, Darmstadt, Germany) supplemented with 10% FCS (PAN-Biotech GmbH, Aidenbach, Germany) and antibodies. Cells were cultured at 37°C in humidified atmosphere, continuously tested for H-2Db, d negativity by FACS before injection.

**In vivo depletion experiments.** For in vivo depletion, monoclonal antibodies anti-CD8 (clone 2.43), anti-CD4 (clone GK1.5), anti-NK1.1 (clone PK136) (Exbio, Praha, Czech Republic) and rabbit anti-asialo GM1 polyclonal antibody (Wako Pure Chemicals Inc., Osaka, Japan) were used. The effectiveness of depletion in vivo was tracked using flow cytometric analysis. The results are summarized in Table I.

TC-1/A9 tumor cells (5.10<sup>6</sup> cells) were transplanted s.c. on day 0. CD8-<sup>+</sup>, CD4-<sup>+</sup>, and NK1.1-positive cells were depleted with corresponding antibodies on days -7, -5, -2, +4, +11, +18 (0.1 mg/mouse, i. p.). For NK cell depletion, rabbit anti-asialo GM1 was applied on days -1, 0, +3, +7 (0.2 mg/mouse, i. p.), according to the manufacturer's instructions. Mice were observed twice a week, Two perpendicular diameters of the tumors were measured with a caliper and the tumor size was expressed as the tumor area. Tumor area (cm<sup>2</sup>) was determined by measurement of the largest diameter of the tumor by the
Table I. Expression of CD4⁺, CD8⁺, NK (CD335⁺) and NK1.1⁺ specificities on the spleen cells of control and depleted mice with transplanted TC-1/A9 tumors (day 21).

| Mouse strain | Cell depletion | CD4⁺ | CD8⁺ | NK (CD335⁺) | NK1.1⁺ |
|--------------|----------------|------|------|-------------|--------|
| B6-neg       | Control        | 61.00±4.42 | 38.45±4.56 | 3.59±1.40 | - |
|              | Depleted⁺      | 3.69±3.21a | 4.26±0.86a | 1.90±1.49b | - |
| Balb-high    | Control        | 55.58±5.33 | 44.20±5.44 | 3.43±1.01 | 6.60±0.74 |
|              | Depleted⁺      | 0.67±0.39a | 5.28±1.05a | 1.00±0.57a | 1.02±1.20a |
| B6           | Control        | 55.76±3.87 | 39.11±3.57 | 3.08±0.55 | 7.55±0.82 |
|              | Depleted⁺      | 0.51±0.43a | 1.59±0.12a | 1.88±0.89a | 0.60±0.98a |
| Balb/c       | Control        | 66.06±5.07 | 30.89±1.56 | 5.68±2.17 | - |
|              | Depleted⁺      | 0.37±0.23a | 4.17±1.08a | 2.22±0.29a | - |

CD4⁺-positive cells, CD3⁺NKp46/CD4⁺CD8⁺; CD8⁺-positive cells, CD3⁺NKp46/CD4⁺CD8⁺; NK cells, CD3⁺NKp46⁺; *Corresponding subpopulations. CD, cluster of differentiation; SD, standard deviation. aP<0.001; bP<0.05; t-test, n=6-8.

Results

Inoculation of B6-neg mice with TC-1/A9 cells led to temporal tumor growth up to days 10-12, and then the tumors started to regress. In the Balb-high mice, the period of tumor growth was prolonged to 20 days, similarly to the Balb/c parental strain. The comparison of tumor transplant development is summarized in Fig. 1A and B. During the inbreeding process, 10-20% of mice displayed progressively growing tumors and were used in the experiments comparing the difference in the immune response of regressors and progressors. Parallel evaluation of the tumor cryosections on day 12 demonstrated higher infiltration of the tumor tissue by immunocompetent cells in the regressor mice. Evaluation of these samples showed an indirect correlation between the growth of tumor transplants in progressor vs. regressor mice and the infiltration with CD4⁺, CD8⁺, and NK cells. Cryosections of tumor specimens of regressor B6-neg mice showed higher infiltration by CD4⁺ and CD8⁺ cells. The number of NK and CD11b⁺ cells remained unchanged (Fig. 1C). In the Balb-high regressors, increased numbers of CD4⁺, CD8⁺, as well as NK cells were visible. In the control settings, infiltration of TC-1/A9 tumors with immunocompetent cells in Balb (regressors’ model) and B6 mice (progressors’ model) was compared with the hybrid strains (Fig. 1C). Comparing the B6 and Balb parental strains, similar infiltration with CD4⁺, CD8⁺, and NK cells and markedly higher numbers of CD11b⁺ cells in tumor specimens of B6 mice was observed.

Following the results summarized in Fig. 1, the next sets of experiments were focused on the role of CD8⁺, CD4⁺, and NK cells in the TC-1/A9 tumor elimination in regressor B6-neg and Balb-high mice employing in vivo depletion of particular populations. Fig. 2 shows the results of the experiments documenting the development of TC-1/A9 tumors inoculated after pretreatment of mice with anti-CD8 mAb. The CD8⁺ cells depletion enhanced growth of the TC-1/A9 tumor transplants in both hybrid strains (P<0.05, ANOVA Fig. 2A, P<0.05-B6-neg mice, P<0.01-Balb-high mice, t-test, Fig. 2B, day 21). The analysis of tumor cryosections demonstrated that...
Figure 1. Development of TC-1/A9 tumors in parental and hybrid mouse strains. (A) Growth of TC-1/A9 tumor transplants, n=10, *P<0.05, ANOVA, B6 vs. Balb/c; ANOVA, B6-neg, progressors vs. B6-neg, regressors and Balb-high, progressors vs. Balb-high, regressors. (B) Mean tumor area of TC-1/A9 on day 21, n=10, *P<0.01, B6 vs. Balb/c; *P<0.05, B6-neg, progressors vs. B6-neg, regressors and Balb-high, progressors vs. Balb-high, regressors. (C) Infiltration of the TC-1/A9 tumor microenvironment (regressors x progressors) with immunocompetent cells (cryosections, day 12, n=3). Parental strains B6 and Balb, hybrid strains Balb-high and B6-neg. Figure shows representative data of two experiments performed. Ex vivo tumor samples were obtained from the mice inoculated with TC-1/A9 cells in the parallel in vivo experiments.

Figure 2. Enhanced growth of TC-1/A9 tumors in Balb-high and B6-neg mice after CD8⁺ cell depletion. (A) Growth of tumor transplants; n=6, *P<0.05, ANOVA, B6-neg, untreated vs. B6-neg, anti-CD8⁻ treated, Balb-high untreated vs. Balb-high, anti-CD8⁻ treated. (B) Effect of CD8-positive cell depletion on day 21, n=6, *P<0.05, B6-neg, untreated vs. B6-neg, anti-CD8⁻ treated, **P<0.01 (Balb-high, untreated vs. Balb-high, anti-CD8⁻ treated, t-test.). (C) Infiltration of tumor tissue with immunocompetent cells (cryosections), day 12, n=3. Monoclonal antibodies: anti-CD4, anti-CD8, anti-CD11b, anti-NKp46. Ex vivo tumor samples were obtained from the mice inoculated with TC-1/A9 cells in the parallel in vivo experiments. Representative data from three independent experiments with similar results are depicted.
intraperitoneal administration of monoclonal anti-CD8 antibody diminished the infiltration of tumors by CD8+ cells in both novel mouse strains. Further, the number of CD11b+ cells increased, that of CD4+ cells decreased, and the number of NK cells was not changed in B6-neg mice. In the Balb-high strain, the tumor infiltration by immunocompetent cells remained unchanged, except for missing CD8+ cells after the depletion (Fig. 2C).

Depletion of CD4+ cells had a different effect on the growth of tumors in B6-neg compared to Balb-high mice. Application of anti-CD4 antibodies significantly enhanced growth of the TC-1/A9 tumors transplanted in B6-neg mice (P<0.05, ANOVA, Fig. 3A, P<0.01, t-test, Fig. 3B, day 21). The effect was accompanied by decreased infiltration of tumor tissue by CD4+ cells, while other immunocompetent cells (CD8+, NK, CD11b+) were not influenced (Fig. 3C). Interestingly, elimination of CD4+ cells in Balb-high mice had no significant effect on the growth and immune cell infiltration of TC-1/A9 tumors (data not shown).

Depletion of NK cells with anti-asialo GM1 antibody in the Balb-high mice was assessed (Fig. 4). Depletion of NK cells with anti-asialo GM1 antibody in the Balb-high mice led to enhancement of TC-1/A9 tumor growth (P<0.05, ANOVA, Fig. 4A, and P<0.05 t-test, day 21, Fig. 4B), but not in B6-neg mice (Fig. 4A and B). The tumor growth-promoting effect was accompanied by a decrease in NK cells, increased infiltration by CD11b+ cells, and no changes in CD4+ and CD8+ cells in both strains (Fig. 4E). Reduction of NK cells in Balb-high mice was achieved by depletion of NK1.1+ cells using PK 136 antibody. The depletion of NK1.1+ cells induced rapid growth of TC-1/A9 tumors (P<0.05, ANOVA, Fig. 4C, and P<0.05, t-test, day 21, Fig. 4D). These results, together with the accompanied diminishing of NK1.1-positive cells infiltration (Fig. 4F) have proved that the NK1.1+ subpopulation plays an important role in the TC-1/A9 tumor rejection (Fig. 4F). The effectiveness of depletion of the respective cells was proved by FACS analysis of splenocytes on day 12 post TC-1/A9 inoculation, which showed good results in the case of CD8+ and CD4+ cells. The NK cell depletion was generally limited when anti-asialo GM1 was used compared to the results obtained with the PK 136 antibody in the Balb-high strain (Table I). We can conclude that NK cells in the NK1.1-negative strain (B6-neg) do not influence the TC-1/A9 regression process, but take part in the regulation of the infiltration of the tumor microenvironment by CD11b+ cells.

Discussion

Stable mouse hybrids of Balb/c and C57BL/6 strains, B6-neg and Balb-high, differing in the NKC domain and H2-D haplotype, were used for getting insight into the participation of CD8+, CD4+, CD11b+, and NK cell subpopulations in the
rejection of MHC class I-deficient, HPV16 E6/E7-associated TC-1/A9 tumors. The results presented here indicate the essential role of CD8+ T cells, as well as of in vivo co-operation of CD4+, CD8+ and CD11b+ cells with NK cells, during the process of tumor rejection. However, the engagement of particular cells was different in the two hybrid strains. In B6-neg mice, co-operation of CD8+ and CD4+ cells is required, whereas in Balb-high mice, mainly CD8+ and NK (NK1.1+) cells are important.

The TC-1/A9 tumor displayed higher infiltration of leukocytes, which was probably associated with the MHC-I deficiency of TC-1/A9 cells that does not correlate with the in vitro cytotoxic effector function of spleen cells in B6 mice (6). In our experimental settings, the in vivo depletion of CD4+, CD8+, or NK1.1+ cells led to elimination of these cells from both the tumor tissue and spleen, resulting in significant enhancement of the TC-1/A9 tumor growth.

The antitumor immune response, based on the tight co-operation between the components of innate and adaptive immunity, is also strongly influenced by the active role of the tumor microenvironment manifested by immunosuppression and selection of non-immunogenic tumor cell variants (1). The presence or lack of infiltrating immunocompetent cells (TILs) in the tumor tissue is considered one of the key characteristics

Figure 4. (A-F) Depletion of NK cells in TC-1/A9-inoculated Balb-high and B6-neg mice by polyclonal rabbit anti-asialo-GM1 (A, B and E) and monoclonal anti-NK1.1 (PK136) antibody (C, D and F). Effect of NK cell depletion (A) on the growth of tumor transplants. n=6, ANOVA, B6-neg, untreated, vs. B6-neg, anti-asialoGM1-treated. *P<0.05, ANOVA, Balb-high, untreated vs. Balb-high, anti-asialoGM1-treated. (B) Effect of anti-asialoGM1 antibody on the tumor size on day 21, t-test, B6-neg untreated, vs. B6-neg, anti-asialoGM1-treated; *P<0.05 t-test, Balb-high untreated vs. Balb-high, anti-asialoGM1-treated. (E) Infiltration of tumor tissue with immunocompetent cells (cryosection) on day 12 was detected by monoclonal antibodies: anti-CD4, anti-CD8, anti-CD11b, anti-NKp46, n=3. (F) Depletion of NK1.1+ cells in Balb-high mice by PK136 antibody enhanced the growth of TC-1/A9 tumor transplants. n=6; *P<0.05, ANOVA, Balb-high, untreated vs. Balb-high, anti-NK1.1-treated. (D) Effect of NK1.1+ cell depletion on the size of tumors was in correlation with enhanced tumor growth, *P<0.05, t-test, Balb-high, untreated, vs. Balb-high, anti-NK1.1-treated. (F) Cryosections of tumor specimens, day 12, n=3. Ab: anti-NK1.1 (PK 136); representative data of three independent experiments are shown. Ex vivo tumor samples were obtained from the mice inoculated with TC-1/A9 cells in the parallel in vivo experiments. Representative data of three independent experiments with similar results are shown.
and predictive factors of tumor regression or expansion. In the neoplastic process, the tumor microenvironment, which is largely regulated by inflammatory cells, is an indispensable participant fostering the migration, survival and proliferation of TILs. However, the frequent functional defectiveness of TILs has been attributed to various mechanisms, including inadequate antigen-presenting and/or costimulatory capacity of tumor cells leading to T cell ignorance or anergy and production of immune suppressive factors by the tumors (16).

The important role of CD8+ T cells responding to antigens presented by MHC I molecules could be diminished by partial renewal of the H2-Db marker on the tumor cell surface under the pressure induced by the growing tumor transplants in B6 and B6-neg mice (14) (Indrová, unpublished). In our study, we found that after elimination of CD8+ cells, the CD4+ cell infiltration increased in the tumor tissue. Although CD4+ T cells along with CD8+ T cells represent the majority of T lymphocytes, they can differentiate into specific subpopulations mediating the immune response through secretion of specific cytokines. However, depending on the cytokine milieu in the tumor microenvironment, they play dual roles: They may activate or suppress the immune reactions (17,18).

The results obtained after NK cell in vivo depletion also indicate a relevant role for NK cells in the development and growth of TC-1/A9 tumor transplants. NK cells also participate in priming specific, MHC I-restricted immune responses via IFN-γ secretion leading to up-regulation of MHC class I expression on tumor cells. This process can diminish the surveillance of (MHC I)-deficient tumors because it influences the lytic activity of cytotoxic T lymphocytes (1,3). For NK cell depletion, two types of antibodies were used, polyclonal anti-asialo GM1 and monoclonal anti-NK1.1 (PK136), which are commonly used to elucidate the in vivo functions of NK cells in mice. Anti-asialo GM1-mediated NK cell depletion is effective in a variety of mouse strains, whereas anti-NK1.1-mediated NK cell depletion acts only in certain strains such as B6 but not in strains lacking the NK1.1 allotype, for example Balb. Of note, the expression of asialo-GM1 is not strictly confined to NK cells among hematopoietic cells and is detected on a subpopulation of NKT, CD8+ T, and γδ T cells and some activated form of CD4+ T cells, macrophages, and eosinophils under certain experimental conditions. At least NKT cells, characterized by expression of T cell and NK cell receptors, are important immune regulators that can either promote or suppress antitumor immunity and could play a role in the growth and regression of TC-1/A9 tumors (19-21). Nevertheless, anti-asialo GM1-mediated NK cell depletion still remains a powerful tool to analyze the in vivo functions of NK cells (22). The anti-asialo GM1 antibody administration or elimination of NK1.1 cells with anti-NK1.1 antibody (PK136) resulted in significant enhancement of tumor growth in the Balb-high strain. On the other hand, the anti-asialo GM1 antibody administration did not affect the tumor growth in the B6-neg tumor strain. These findings, indicating the crucial role of NK1.1+ NK cell subpopulation in the development and growth of transplanted TC-1/A9 tumors, correspond to those obtained in our laboratory in the B6 mouse model, which showed an important role of NK1.1+ cells in the development of protective immunity against TC-1/A9 tumors (5). Activity of NK cells is downregulated by Tregs, having important role in the resulting anti-tumor immunity (12,23). NK cells contribute also to adaptive immunity through interaction with dendritic cells (DC). NK-DC communication regulates T cell-mediated immune responses and DC itself has been considered in adjuvant therapeutic modality of tumors. Such effect could be direct, T cell-mediated, or indirect when APC were treated. (24). Further, Zalli et al showed that neural regulation of immune response, namely through -2 adrenergic receptor, which potentiates NK killing (25). This is in correlation with our preliminary results using differential expression (DE) analysis of RNA isolated from splenocytes of tumor regressors in comparison with progressor mice that showed the involvement -2 adrenergic receptors. These findings need to be verified by further molecular analysis.

In this study, the increased infiltration of tumors with CD11b+ cells in anti-asialo GM1-treated mice is probably related to the regulatory role of NK cells in the monocyte (MDSC) number (26).

In both newly established mouse strains, the high infiltration of CD11b+ monocytes was confirmed. CD11b, an integrin family member, is considered to be a pan-macrophage marker, which is expressed on a huge variety of leukocytes and can be upregulated on activated cells irrespective of their naive expression status. CD11b is also a marker for myeloid-derived suppressor cells (MDSC). MDSCs are a heterogeneous population of undifferentiated cells characterized in mice by markers of monocytes (CD11b) and neutrophils (Gr-1). Among leukocyte-infiltrating tumors, MDSCs represent one of the key players mediating immunosuppression (27-31). Therefore, the number of CD11b+ infiltrating cells did not itself exhibit any effect on the development, growth and rejection of TC-1/A9 tumors in novel mouse strains.

Taken together, these results extend the findings published earlier (4) and provide more detailed information about the changes in the repertoire of immune cells during the development of transplanted TC-1/A9 (H2-Db-d-) tumors in the novel mouse strains differing in the H-2D high haplotype and NKC domain. We have demonstrated the important role of NK1.1+ NK cells in the development, growth and rejection of TC-1/A9 tumors and in the regulation of antitumor immunity in general.

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