Strong obstruction of the Berends–Burgers–van Dam spin-3 vertex

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Abstract

In the 1980s, Berends, Burgers and van Dam (BBvD) found a nonabelian cubic vertex for self-interacting massless fields of spin three in flat spacetime. However, they also found that this deformation is inconsistent at higher orders for any multiplet of spin-3 fields. For arbitrary symmetric gauge fields, we severely constrain the possible nonabelian deformations of the gauge algebra and, using these results, prove that the BBvD obstruction cannot be cured by any means, even by introducing fields of spin that are higher (or lower) than 3.

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1. Introduction

One of the main features of higher spin theories is that apparently the only way of building a nonabelian interacting theory is to consider an infinite set of fields with an unbounded value of the spin. Such an interacting theory is by now well known: Vasiliev’s equations [1–3]. These equations admit (anti) de Sitter spacetime (AdS) as an exact solution, but not the flat limit case with vanishing cosmological constant. The theory [1, 2] can be given a Lagrangian formulation [4], albeit of a non-standard type. It has been proved recently [5] that an interacting nonabelian theory built as a perturbative deformation of the free Fronsdal theory in (AdS) spacetime [6] and containing an infinite tower of totally symmetric tensor gauge fields with unbounded spin, does not admit any consistent flat limit. There are thus doubts about the mere existence of a nonabelian theory around Minkowski spacetime.

To emphasize this, we show in this paper that a standard requirement of higher spin nonabelian interactions in Minkowski spacetime cannot, at least in dimension 4, solve the
usual flat-space interaction problems. Some years ago, Berends, Burgers and van Dam (BBvD) exhibited a pure spin-3 nonabelian cubic vertex in flat spacetime [7], and then found that this vertex cannot be further extended to higher orders in deformations if only spin-3 fields are considered in the spectrum of fields [8]. A similar result had also been obtained in [9].

In more technical terms, an obstruction to the existence of pure spin-3 quartic deformations appeared. In the light of this negative result, they brought the idea that the obstruction, as well as others of the same kind, could probably be cured by introducing fields of spin higher than 3. Recursively, this would suggest that every value of the spin is needed in order to build a nonabelian higher spin theory in flat spacetime.

In this paper, we actually prove that the BBvD vertex is in fact strongly obstructed in the dimension strictly higher than 3, in the following sense: even upon introducing higher (and/or lower) spin gauge fields, it is not possible to cure the obstruction brought in by the spin-3 BBvD vertex found in [7]. The very reason for this strong obstruction in flat background is that, as opposed to what happens in (A)dS background, the number of derivatives involved in an expression constitutes a well-defined grading that increases with the value of the spin. In (A)dS instead, the non-zero commutators of covariant derivatives introduce expansions in powers of the cosmological constant involving different numbers of derivatives. Furthermore, these expansions in powers of the cosmological constant are precisely what prevents one from considering a consistent nonabelian flat limit of the (A)dS theories, as was mentioned already in [10, 11].

The paper is organized as follows. In section 2, we review Fronsdal’s theory in the antifield formulation, as well as the cohomological reformulation of the consistent deformation problem. In section 3, we present our theorem on the lowest order deformations of the gauge algebra arising from local cubic interactions between totally symmetric higher spin gauge fields in flat spacetime. This is used in section 4 in order to address the specific case of the BBvD vertex. In section 5, these results are briefly summarized as a conclusion.

2. Antifield formulation and consistent deformations

We use the antifield formalism [12–15] to derive our results on consistent deformations of Fronsdal Lagrangians [16]. The BBvD vertex only involves the spin-3 gauge fields, but since we allow them to mix with other gauge fields, we recall the Fronsdal Lagrangian for an arbitrary spin-gauge field in flat spacetime, as well as the corresponding antifield formulation.

2.1. The Fronsdal Lagrangian

The field denoted by $\phi^a_{\mu_1\ldots\mu_{s_a}}$ is a totally symmetric field of spin $s_a$ and double-traceless:

$$\eta^{\mu_1\mu_2}\eta^{\mu_3\mu_4}\phi^a_{\mu_1\ldots\mu_{s_a}} \equiv 0.$$  

The index $a$ labels a given set of fields with various values of the spin. The Fronsdal tensor reads

$$F_{\mu_1\ldots\mu_{s_a}}^a := \Box \phi^a_{\mu_1\ldots\mu_{s_a}} - s_a \partial^\rho \partial_{(\mu_1} \phi^a_{\rho\mu_2\ldots\mu_{s_a})} + \frac{s_a(s_a - 1)}{2} \partial_{(\mu_1} \partial_{\rho} \phi^a_{\rho\mu_2\ldots\mu_{s_a})},$$  

where $\phi^a$ stands for the trace of $\phi^a$. The Fronsdal tensor is invariant under the gauge transformations:

$$\delta_\xi \phi^a_{\mu_1\ldots\mu_{s_a}} = s_a \partial_{(\mu_1} \xi^a_{\rho} \partial_{\rho)\mu_2\ldots\mu_{s_a})},$$  

where the gauge parameter $\xi^a$ is traceless. The generalized Einstein tensor is defined as

$$G_{\mu_1\ldots\mu_{s_a}}^a := F_{\mu_1\ldots\mu_{s_a}}^a - \frac{s_a(s_a - 1)}{4} \eta_{(\mu_1\mu_2} F^a_{\mu_3\ldots\mu_{s_a})}.$$  

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Finally, the Lagrangian can be written as

\[ L_F = \frac{1}{2} \sum_a \delta \mu_{\mu_1 \ldots \mu_a} G^{\mu_1 \ldots \mu_a}. \]  

(4)

### 2.2. Antifield formulation

A set of fermionic ghosts \( C^a_{\mu_1 \ldots \mu_a} \) is introduced, with the same tensorial structure as the associated gauge parameter. In particular, the ghosts are traceless. They carry a pure ghost number 1 (also denoted \( \text{pgh} C^a = 1 \)). Then, two families of antifields are associated with the fields and the ghosts: the fermionic antifields \( \phi^a_{\mu_1 \ldots \mu_a} \) and the bosonic antifields \( C^a_{\mu_1 \ldots \mu_a} \).

The antifield number (denoted \( \text{antigh} \)) counts the number of antifields with the following weight: \( \text{antigh} \phi^a_1 = 1 \) and \( \text{antigh} C^a = 2 \).

The longitudinal derivative \( \gamma \) has a vanishing action on every field except \( \phi^a \), for which

\[ \gamma \phi^a_{\mu_1 \ldots \mu_a} = s_a \partial_{(\mu} \phi^a_{\nu_1 \ldots \nu_a)}. \]  

(5)

On the other hand the Koszul–Tate differential has a non-vanishing action only on the antifields:

\[ \delta \phi^a_{\mu_1 \ldots \mu_a} := \frac{\delta L_F}{\delta \phi^a_{\mu_1 \ldots \mu_a}} \quad \text{and} \quad \delta C^a_{\mu_1 \ldots \mu_a} = s_a \partial_{(\mu} [\phi^a_{\nu_1 \ldots \nu_a)} - \frac{(s - 1)(s - 2)}{n + 2s - 6} \eta^{(\mu_1 \mu_2} \phi^a_{\nu_3 \ldots \nu_a)} \rho]. \]  

(6)

where \( n \) denotes the dimension of the flat spacetime.

The generator \( W \), also called ‘solution of the master equation’, is then introduced:

\[ W = \int \left[ L_F + s_a \sum_a \phi^a_{\mu_1 \ldots \mu_a} \partial_{\mu_1} C^a_{\mu_2 \ldots \mu_a} \right] d^p x. \]  

(7)

Let us define the antibracket (we denote collectively the fields and ghosts as \( \Phi^\gamma \) and the antifields as \( \Phi^\gamma \)):

\[ \{A, B\} = \frac{\delta L}{\delta \Phi^\gamma} \frac{\delta B}{\delta \Phi^\gamma} - \frac{\delta A}{\delta \Phi^\gamma} \frac{\delta B}{\delta \Phi^\gamma}. \]  

(8)

The generator satisfies \( (0) W = sA \) where \( s = \delta + \gamma \) is the BRST differential of the theory.

### 2.3. Cohomology of \( \gamma \)

In this section, we introduce our notation for the cohomology of \( \gamma \) (whose elements are called invariants). It has been shown [17] that the local functions of \( H^* \) for a spin-\( s_a \) Fronsdal theory in flat spacetime only depend on the antifields, the Fronsdal tensor \( F^a_{\mu_1 \ldots \mu_a} \), the curvature tensor \( K^a_{\mu_1 \nu_1 \ldots \nu_a \nu_{a+1}} \) (which consists of \( s_a \) curls of the field) and their derivatives, as well as some non-\( \gamma \)-exact ghost tensors, denoted \( U^{(i)}_{\mu_1 \nu_1 \ldots \nu_i \ldots \nu_{a+1}} \) (\( i < s_a \)), that are the traceless part of the \( i \) times antisymmetrized \( i \)th derivatives of the ghosts. For example, \( i = 1 \)

\[ U^{(1)}_{\mu_1 \nu_1 \ldots \nu_i \ldots \nu_{a+1}} = \partial_{\mu_1} C^a_{\nu_1 \ldots \nu_i \ldots \nu_{a+1}} - \frac{(s - 2)}{n + 2s - 4} \eta_{\mu_1 \nu_1 \ldots \nu_i \ldots \nu_{a+1}} \partial^\rho \phi^a_{\nu_1 \ldots \nu_i \ldots \nu_{a+1}}. \]  

(9)

Of course, the zeroth tensor \( i = 0 \) is the undifferentiated ghost itself. More generally, the ghost tensors \( U^{(i)}_{\mu_1 \nu_1 \ldots \nu_i \ldots \nu_{a+1}} \) give irreducible representations of the Lorentz algebra \( \sigma(n - 1, 1) \) labelled by Young diagrams made of two rows of respective lengths \( s_a - 1 \) and
This property will be extremely useful in order to classify the nonabelian cubic deformations.

In the case of a sum of Fronsdal theories, the cohomology of γ is simply the direct product of the cohomologies of the separate theories. The cohomology is thus the following set of functions:

\[ H^\ast(\gamma) = \{ f([\Phi^0], [F^a], [K^a], C^a, U^{(1)a}) \}, \]  

where the square brackets around a field denotes the corresponding field and all its derivatives. The functions \( f \) are polynomials in the local case (and the number of derivatives is bounded from above).

### 2.4. Consistent deformations

The problem of consistently deforming a free theory, like Fronsdal’s theory, into a full, interacting theory, can be reformulated within the antifield formalism \[13\]: if one considers an expansion of the generator \( W \) in terms of a parameter \( g \):

\[ W = \int w = W^{(0)} + g W^{(1)} + g^2 W^{(2)} + \cdots, \]

then the deformation is consistent if the full generator satisfies the master equation \((W, W) = 0\) to all orders in \( g \). Since Fronsdal’s theory is consistent, the initial generator satisfies \((W^{(0)}, W^{(0)}) = 0\), which implies that \( s \) is a differential (\( s^2 = 0 \)).

The first-order equation is \((W^{(0)}, W^{(1)}) = s W^{(1)} = 0\). In the case of a local deformation, \( W \) must be the integral of a local \( n \)-form \( a \) := \( i \) and the equation \( s W^{(1)} = 0 \) becomes a \( s \)-cocycle relation modulo \( d \):

\[ sa + db = 0, \]  

where the operator \( d \) denotes the total exterior differential. Since \( s \)-exact and \( d \)-exact terms in the cocycle \( i \) correspond to trivial deformations, the first-order inequivalent deformations are described by the cohomology class \( H^{0,n}(s|d) \), see \[13, 14\].

The obstructions under consideration in this paper arise when checking whether the first-order vertices satisfy the master equation at second order, the local form of which reads

\[ (W^{(1)}, W^{(1)}) d^a x = -\frac{1}{2} s W^{(2)} + de. \]  

### 2.5. Cubic vertices

It has been shown, for values of the spin up to 4, that the only first-order nonabelian solutions of the local master equation in flat spacetime are cubic in the fields, ghosts or antifields \[5, \ 18–20\]. For the spin-2 fields, this allows one to show \[19\] that Einstein’s gravity is the only nonabelian consistent deformation with at most two derivatives of the free Pauli–Fierz theory in the dimension \( n > 3 \). For the spin-3 case, we know \[20\] that the BBvD vertex is one of the only two possible nonabelian first-order deformations of the spin-3 Fronsdal theory. For values of the spin strictly greater than 4, it is still not proved within the antifield formalism whether other kinds of deformations are possible, for example starting with a quartic first-order vertex. However, the problem consisting in computing cubic first-order deformations can be addressed. As will be shown in the next subsection, the classification of the candidates is severe enough to put strong constraints on the allowed number of derivatives and on the gauge structure of the deformation, depending on the spins involved. Let us also mention the very powerful light-cone gauge method used in \[21, 22\].
The components of the generator \( w \) carry a ghost number 0, which means that their antifield number is equal to their pure ghost number. Hence, for cubic deformations, only expressions with at most antifield number 2 will appear, since the pure ghost number of any individual field is at most 1 in Fronsdal’s theory. Therefore, the cubic first-order deformation can be expanded in the antifield number
\[
a = a_0 + a_1 + a_2
\]
and the master equation decomposes into the following system of equations:
\[
\begin{align*}
\gamma a_2 &= 0, \\
\delta a_2 + \gamma a_1 + d b_1 &= 0, \\
\delta a_1 + \gamma a_0 + d b_0 &= 0.
\end{align*}
\]

The component \( a_2 \) contains the information about the first-order deformation of the (a priori on-shell) gauge algebra. The nonabelian deformations are thus characterized by a non-vanishing \( a_2 \) component. A cubic \( a_2 \) is linear in the number 2 antifields and quadratic in the ghosts; it does not depend on the fields. Consequently, the gauge algebra closes off-shell at first order in the deformation, for cubic vertices. Moreover, the top form \( a_2 \) is \( \gamma \)-closed by equation (13) and any \( \gamma \)-exact term is trivial in the sense that it is the antifield number 2 part of an \( s \)-exact term in \( a \). Thus, \( a_2 \) can efficiently be written as a representative of \( H^2(\gamma) \). Finally, \( a_2 \) is defined modulo \( d \), which allows one to only consider undifferentiated antifields. Therefore, without loss of generality, the general structure of \( a_2 \) reads schematically
\[
a_2 = f_{a b c} U^{(i)} U^{(j)} C^* d^n x,
\]
where \( f_{b c(i)(j)} \) are the internal coefficients. This expression of \( a_2 \) encodes the structure constants of the gauge algebra, at first order in the deformation. A Poincaré invariant \( a_2 \) is Lorentz-invariant (the spacetime indices must all be contracted) and does not explicitly depend on the spacetime coordinates; therefore, the coefficients \( f_{b c(i)(j)} \) are constants.

Finally, the component of the maximal antifield number of the second-order equation (12), which is the test that we use to exhibit the obstructions, reads
\[
(a_2, a_2) d^n x = \gamma c_2 + d e_2.
\]
This equation is the translation, within the antifield formalism, of the lowest order component of the Jacobi identity for the gauge algebra.

3. General results on the gauge algebra deformations

In this section, we provide general arguments that simplify the classification of the cubic nonabelian deformations for an arbitrary spin configuration. For any cubic configuration of the type \( s - s' - s'' \) (i.e. including fields of respective spins \( s, s' \) and \( s'' \)), with \( s \leq s' \leq s'' \), there is a small number of possibilities of building consistent \( a_2 \) expressions, and only some of them are related to a consistent \( a_1 \). As we said previously, a cubic \( a_2 \) can always be written in the form
\[
a_2 = C^* U^{(i)} U^{(j)} d^n x + \gamma \ldots,
\]
where the \( U^{(i)} \) are non-\( \gamma \)-exact ghost tensors. A strong constraint on such candidates \( a_2 \) is that the product \( U^{(i)} U^{(j)} \) of ghost tensors (in general, with implicit contractions of indices) must be contracted with the antighost \( C^* \) which is itself a symmetric Lorentz tensor. The Littlewood–Richardson rules will be used throughout this section in order to analyse all possible contractions of the indices from the two ghost tensors and the antifield.\(^3\) With the
\[\text{More precisely, the specific rules for the product and division of the Young diagrams are applied here (see e.g. the appendix A of \cite{17} for a self-contained review of these Littlewood–Richardson rules).}\]
help of these rules, we will show that the previous constraint implies several strong conditions on the allowed values of the numbers of derivatives $i$, $j$ and of the spins $s$, $s'$ and $s''$.

### 3.1. Product of ghost tensors

Let us consider a product of two ghost tensors $U^{(i)}$ and $U^{(j)}$, corresponding respectively to the spins $s_1$ and $s_2$ (with $s_1 \leq s_2$).

(A) Firstly, we may study the minimal number of free indices in that product (in other words, the maximal number of contracted indices).

(A.1) If $i \leq j$, all of the indices of $U^{(i)}$ can be contracted with $s_1 + i - 1$ indices of $U^{(j)}$.

Let us visualize in terms of the Young diagrams that the symmetry properties of the tensors resulting from the maximal contraction of indices:

$U^{(i)}$: \begin{bmatrix} s_1 - 1 \\ i \end{bmatrix}, \quad U^{(j)}: \begin{bmatrix} s_2 - 1 \\ j \end{bmatrix}$

$\Rightarrow$ Maximal contraction:

\begin{itemize}
  \item $[s_2 - s_1] \otimes \begin{bmatrix} j - 1 \end{bmatrix}$ if $j < s_1$
  \item $\bigoplus_a [s_2 - s_1 + j - a - 1] \otimes \begin{bmatrix} j - i \end{bmatrix}$ if $j \geq a \geq j - s_1 + 1 > 0$
\end{itemize}

Since $U^{(j)}$ bears $s_2 + j - 1$ indices, the minimal number $N_{\text{min}}$ of free indices is $s_2 - s_1 + j - i$. Furthermore, these free indices can be symmetrized if $j < s_1$ since there is a component $[s_2 - s_1 + j - 1]$ in the tensor product. If $j \geq s_1$, no contraction of the two tensors $U$ can be symmetrized and thus no Lorentz invariant $a_2$ can be built. Consequently,

$$\max\{i, j\} < s_1$$

in order to have symmetrizable free indices, as can be seen for the other case as well.

(A.2) If $j < i < s_1 \leq s_2$, let us visualize the ghost tensors as

$U^{(i)}$: \begin{bmatrix} s_1 - 1 \\ i \end{bmatrix}, \quad U^{(j)}: \begin{bmatrix} s_2 - 1 \\ j \end{bmatrix}$

The maximal contraction is obtained by contracting the $s_1 - 1$ boxes and the $j$ boxes, which leaves one with a product: $[s_2 - s_1] \otimes \begin{bmatrix} j - 1 \end{bmatrix}$, which always involves a totally symmetric component. Explicitly, this reads

$$U^{(j)}[\mu_1 \nu_1 \ldots \nu_{j-1} \mu_j \nu_j \ldots \mu_{s_2-1}] \otimes \begin{bmatrix} s_2 - s_1 \end{bmatrix} \otimes \begin{bmatrix} j - 1 \end{bmatrix}. \quad (18)$$

The $\beta$ indices are free and there are $s_2 - s_1$ free $\mu$ indices. The minimal number $N_{\text{min}}$ of free indices in this case is thus $s_2 - s_1 + i - j$.

The two cases can be gathered as

$$N_{\text{min}} = s_2 - s_1 + |i - j|. \quad (19)$$

(B) Secondly, the maximal number of free indices that can be symmetrized in a product $U^{(i)} U^{(j)}$ may also be studied.

(A.1) If $i \leq j < s_1$, then $j$ pairs have to be contracted:

$U^{(i)}$: \begin{bmatrix} j \\ i \end{bmatrix}, \quad U^{(j)}: \begin{bmatrix} s_2 - 1 \\ j \end{bmatrix}$

If one contracts less than $j$ pairs of indices, some indices remain in the second line of $U^{(j)}$ and the result cannot contain any totally symmetric component. This leaves us with $s_1 + s_2 + i - j - 2$ free indices.
(A.2) If $i \geq j$, in the same way $i$ pairs have to be contracted, leaving $s_1 + s_2 + j - i - 2$ free indices.

Thus, the maximal number of free and symmetrizable indices in the tensor product of the two ghost tensors is

$$N_{\text{max}} = s_1 + s_2 - |i - j| - 2.$$  \hspace{1cm} (21)

3.2. Bound on the difference of the number of derivatives

After these general considerations on allowed products of two ghost tensors $U^{(i)}$ and $U^{(j)}$, let us now consider again a candidate for $a_2$, for a configuration $s - s' - s''$ with $s \leq s' \leq s''$.

Firstly, it is noted that there are no nonabelian deformations if $s'' \geq s + s'$. For example, there is no way of building a $1 - 1 - s$ deformation if $s \geq 2$, or a $2 - 2 - s$ deformation with $s \geq 4$. This property comes from the fact that the product of two Young diagrams whose first rows have lengths $s$ and $s'$ cannot contain a Young diagram whose first row has length $s''$ with $s'' \geq s + s'$.

Secondly, we can show a stronger property that involves the numbers of derivatives $i$ and $j$. Three cases have to be studied, related to the spin of the antifield. In the case of a spin-$s$ antifield $C^{\mu_1 \cdots \mu_{s+1}}$, the minimum number of free indices in the product $U^{(i)}U^{(j)}$ is $s'' - s' + |i - j|$. In order for $a_2$ to be Lorentz-invariant, every index must be contracted; hence, the former number must be lower or equal to the number of indices of the antifield. We thus obtain the following relation: $s'' - s' + |i - j| \leq s - 1$. In the case of a spin-$s'$ antifield, the same argument can be applied; it leads to the relation $s'' - s + |i - j| \leq s' - 1$, which is the same as the first one. Finally, in the case of a spin-$s''$ antifield, the minimal condition $s' - s + |i - j| \leq s'' - 1$ is always satisfied, since $i < s$ and $j < s$ imply $|i - j| - s < 0$ while, moreover, $s' \leq s''$. On the other hand, in this case, we have to consider the fact that the maximum number of free symmetrizable indices must be greater or equal to the number of indices of the antifield: $s + s' - |i - j| - 2 \geq s'' - 1$, and we obtain once again the same condition.

Thus, for any combination of the fields, the spins have to satisfy the inequality

$$s + s' - s'' > |i - j| \geq 0.$$  \hspace{1cm} (22)

This provides an upper bound on the difference between the numbers of derivatives in the two ghost tensors.

3.3. Conditions on the total number of derivatives

If we want to build Lorentz-invariant and parity-even expressions, the total number of indices has to be even. For an antifield of spin $s_3$ and the ghost tensors $U^{(i)}$ of spin $s_1$ and $U^{(j)}$ of spin $s_2$, the numbers of indices are $s_3 - 1$, $s_1 + i - 1$ and $s_2 + j - 1$, for a total of $s_1 + s_2 + s_3 + i + j - 3$. Thus, we find that, for a configuration $s \leq s' \leq s''$,

$$s + s' + s'' + i + j \equiv 1 \pmod{2}.$$  \hspace{1cm} (23)

Furthermore, let us emphasize that the total number of derivatives $i + j$ is bounded. As was mentioned in subsection 3.1, the numbers $i$ and $j$ must be strictly lower than the spins of the two ghost tensors in order for the free indices to be symmetrizable. If $U^{(i)}$ is of spin $s_1$ and

Note that this property follows from purely diagrammatic reasoning and therefore also applies in AdS. Indeed, it can be checked that the structure constants of the higher spin algebra in $[3]$ obey this bound.
$U^{(j)}$ is of spin $s_j$ with $s_1 \leq s_2$, then $i \leq s_1 - 1$ and $j \leq s_1 - 1$. Thus, we obtain the condition $i + j \leq 2s_1 - 2$. If we consider a candidate for $a_2$, this condition immediately shows that

$$i + j \leq 2s' - 2.$$  

(24)

More, precisely, if the spin-$s$ antifield is considered, then the upper bound is $2s' - 2$. If either the spin-$s'$ or $s''$ antifield is considered, the upper bound is even lower: $i + j \leq 2s - 2$.

3.4. A general theorem and a particular candidate

Let us summarize all previous considerations in the following theorem.

**Theorem.** Given a cubic configuration of fields with the spins $s \leq s' \leq s''$, the possible 

Poincaré invariants $a_2 = C^* U^{(j)} U^{(j)} d^n x$ are contractions of an undifferentiated antifield number 2 antighost and of two ghost tensors, involving $i$ and $j$ derivatives. The spins and the numbers of derivatives have to satisfy the following properties.

- $0 \leq |i - j| < s + s' - s''$.
- $s + s' + s'' + i + j$ is odd.
- In the case of a spin-$s$ antifield: $i + j \leq 2s' - 2$.
- In the case of a spin-$s'$ or $s''$ antifield: $i + j \leq 2s - 2$.

To end up this section, let us mention that the candidate $a_2$ with the highest number of derivatives $i + j = 2s' - 2$ always satisfies equation (17) due to the large number of derivatives involved in it. Let us also show that the same candidate $a_2$ satisfies equation (14), i.e. a corresponding first-order deformation of the gauge transformations exists. This is less obviously seen than the previous property: we do not provide the corresponding $a_1$ explicitly but the equation ensures that it exists. In the case of an even number of derivatives (in other words when the sum $s + s' + s''$ is odd), the candidate with $2s' - 2$ derivatives reads

$$a_2 = C^{\mu_1 \ldots \mu_{s'}} U^{(s'-1)}_{\rho_1 \ldots \rho_{s'-1}} \times U^{(s'-2)}_{\rho_1 \ldots \rho_{s'-2}} d^n x,$$

(25)

where $\lambda = \frac{s' + s'' - s - 1}{2}$. In terms of Young diagrams, this contraction can be seen as follows:

$$C^{\lambda} : \begin{array}{c}
\lambda = s' - \lambda - 1 \\
\lambda = s'' - \lambda - 1 \\
\lambda = s - 1
\end{array}, \begin{array}{c}
U^{(s'-1)}_{\rho_1 \ldots \rho_{s'-1}} \\
U^{(s'-2)}_{\rho_1 \ldots \rho_{s'-2}} \\
\ldots
\end{array}, \begin{array}{c}
U^{(s'-1)}_{\rho_1 \ldots \rho_{s'-1}} \\
U^{(s'-2)}_{\rho_1 \ldots \rho_{s'-2}} \\
\ldots
\end{array}.$$

(26)

The variation of this expression under delta takes the form

$$\delta a_2 = d(\ldots) + s \left[ C^{\mu_1 \ldots \mu_s} \frac{(s - 1)(s - 2)}{2(n + 2s - 6)} \eta^{\mu_1 \ldots \mu_{s'}} U^{(s'-1)} U^{(s'-1)} \right] \delta_{\mu_1 \ldots \mu_{s'}}.$$
where \( \lambda = (s' + s'' - s - 2)/2 \) and \( \alpha, \beta \) are coefficients. In terms of Young diagrams, these contractions read

\[
C^* : E - \lambda = 1 \quad s'' - \lambda = 2, \quad U_{s''}^{(s' - 1)} : \frac{\mu \nu}{s'' = 2}, \quad U_{s''}^{(s' - 2)} : \frac{\mu \nu}{s'' = 2},
\]

and

\[
C^* : E - \lambda = 2 \quad s'' - \lambda = 1, \quad U_{s''}^{(s' - 2)} : \frac{\mu \nu}{s'' = 2}, \quad U_{s''}^{(s' - 1)} : \frac{\mu \nu}{s'' = 2}.
\]

This time, the computation of \( \delta a_2 \) consists of four terms. The term involving the derivative of the spin-\( s' \) tensor \( U_{s''}^{(s' - 1)} \) is automatically \( \gamma \)-exact and the term where the spin-\( s'' \) tensor \( U_{s''}^{(s' - 1)} \) is differentiated is \( \gamma \)-exact, thanks to the same arguments as for the odd case. On the other hand, the terms where the \( U_{s''}^{(s' - 2)} \) tensors are differentiated are problematic. Fortunately, the non-\( \gamma \)-exact terms that appear are the same in the two expressions and the coefficients \( \alpha \) and \( \beta \) can be fitted to obtain a \( \gamma \)-exact result.

## 4. Proof of the strong obstruction on the BBvD vertex

The BBvD first-order deformation [7] has been obtained in the antifield formulation in [20]. We denote the spin-3 ghost tensors \( T^A_{\mu} = U_{\mu}^{(1)} \) and \( U_{\mu}^{(2)} \). The antifield number 2 component \( a_2 \) of the BBvD deformation contains two derivatives and reads

\[
a_{2, BBvD} = f_{ABC} f_{D(E} C_{A}^{B} \left[ T^B_{\mu} C^C_{\nu} - 2 T^B_{\mu} C^C_{\nu} + \frac{3}{2} C^{[B} T^C_{\mu]} C^C_{\nu} \right] \delta^4 x + \gamma c_2, \tag{28}
\]

where the capital internal indices span the multiplet of spin-3 fields. The corresponding cubic vertex \( d_{0, BBvD} \) contains three derivatives. It has been shown [20] that the second-order expression, \( (a_{2, BBvD}, d_{2, BBvD}) \) as in equation (17), presents an obstruction containing terms of the structure \( C^* T T U \) and \( C^* C U \), that are not \( \gamma \)-exact modulo \( d \), and cannot be eliminated. The coefficient of the obstruction is \( f_{ABC} f_{D(E} \), whose vanishing implies the vanishing of the deformation itself. Let us note that, in dimension 3, since \( U_{\mu}^{(2)} \equiv 0 \), the BBvD candidate passes the test. In dimension 4, some Schouten identities could imply the weaker associativity condition \( f_{ABC} f_{D(E} \equiv 0 \); however, this still implies the vanishing of \( f_{ABC} f_{D(E} \) in the end.

Furthermore, a new nonabelian cubic vertex with five derivatives was found in [20]. However, it vanishes in dimension 3 where the BBvD candidate involves the maximal possible number of derivatives. In dimension 4, it has been shown that Schouten identities imply the vanishing of the corresponding component of \( a_2 \); thus the five-derivative deformation is abelian in that case. Let us remark that the case of dimension 3 is a bit special, since traceless tensors associated with a Young diagram whose first two columns have length 2 identically vanish.\(^5\) This implies that every field strength vanishes on-shell, which only allows topological theories, for spin \( \geq 2 \).

\(^5\) More generally, in dimension \( n \), any tensor associated with an irreducible representation of \( \mathfrak{g}(n) \) (and thus traceless), whose Young diagram is such that the sum of the heights of the first two columns is greater than \( n \), identically vanish (see [23], p 394). Let us remark that, for \( n \geq 4 \), two-row tensors, such as the traceless part of the curvature or the strictly non-\( \gamma \)-exact ghost tensors, are never constrained by this condition.
We now want to prove that no other $a_2$’s can provide the same kind of terms that could compensate the obstruction. First, the antibracket $(a_2, BBvD, a_2, BBvD)$ is of course quartic in the spin-3 fields (in the extended meaning of fields, ghosts or antifields) and it contains four derivatives. It can only be compensated by terms in another antibracket $(a_2, a_2)$ which have exactly the same structure. The only possibility of getting terms quartic in the spin-3 fields is to take $(a_2, a_2)$ of two expressions with the same spin configuration $s - 3 - 3$. Then, the first rule of our general theorem ensures that $1 \leq s \leq 5$. The nonabelian $1 - 3 - 3$ and $2 - 3 - 3$ deformations have been completely classified [5, 24]: in both cases, there is only one solution, whose $a_2$ is linear in the antifield with lowest spin (1 or 2) and antifield number 2. These candidates satisfy trivially $(a_2, a_2) = 0$; hence they cannot help for the BBvD obstruction.

To complete the argument, we have to investigate the $3 - 3 - 4$ and $3 - 3 - 5$ cases. It is rather simple: we will prove that the only $a_2$ candidates that are related to $a_1$ contain at least three derivatives, which is sufficient to be sure that no obstruction containing four derivatives will arise. The results of those two cases are presented in the next two subsections. The results are sufficient to establish the inconsistency of the BBvD deformation in the dimension greater than 3, in the parity-invariant case, thereby invalidating the hopes expressed by the authors of [7, 8] concerning a possible solution of their problem by the addition of totally symmetric higher spin contributions. Therefore, in flat spacetime, their spin-3 self-coupling is definitely inconsistent and no totally symmetric higher spin field can cure this problem contrary to the general belief. It is only in (A)dS that this candidate can play a role, as suggested by the Fradkin–Vasiliev cubic vertices [10, 11].

Remark. The $a_2$ components that are considered in the following for the $3 - 3 - 4$ and $3 - 3 - 5$ cases are not proved to be part of consistent first-order solutions. Anyway, since we seek a negative result, it is obvious that if the obstruction remains when considering all of the candidates, it would remain a fortiori if these candidates are obstructed at first order.

4.1. Study of $a_2$ in the $3 - 3 - 4$ case

Let us use the theorem of subsection 3.4, with $s = s' = 3$ and $s'' = 4$. The sum of the spins is even; thus, the number of derivatives in $a_2$ has to be odd. The maximum is $2s' - 3 = 3$.

Furthermore, the difference between the numbers of derivatives acting on the two ghosts obeys $|i - j| < s + s' - s'' = 2$, and is thus equal to 1. The possible strictly non-$\gamma$-exact Lorentz-invariant expressions with one derivative read

\begin{align}
(1)_{AB} &= C^{+\mu\nu\rho} T^B_{\alpha\beta\gamma\delta}, \\
(2)_{AB} &= C^{+\mu\nu\rho} T^B_{\alpha\beta\gamma\delta} C^{\alpha\beta\gamma\delta}, \\
(3)_{AB} &= C^{+\mu\nu\rho} C^{\alpha\beta\gamma\delta} U^{(1)}_{\mu\nu\rho\sigma}. 
\end{align}

Those with three derivatives are

\begin{align}
(4)_{AB} &= C^{+\mu\nu\rho} T^A_{\alpha\beta\gamma\delta}, \\
(5)_{AB} &= C^{+\mu\nu\rho} T^B_{\alpha\beta\gamma\delta} U^{(2)}_{\mu\nu\rho\sigma}, \\
(6)_{AB} &= C^{+\mu\nu\rho} U^{B}_{\alpha\beta\gamma\delta} U^{(1)}_{\mu\nu\rho\sigma}. 
\end{align}

The spin-4 internal indices have not been written explicitly since no symmetries can arise involving them (similarly, in the next section, the spin-5 indices are not written as well). Let us check that the candidates with three derivatives are related to $a_1$:

\begin{align}
\delta (4)_{AB} &= \text{divergence} + \gamma(\ldots) + 4\phi^{+\mu\nu\rho\sigma} U^{A}_{\mu\sigma\nu\rho\beta} U^{B}_{\alpha\beta\gamma\delta} = \frac{6}{n + 2} \phi^{+\mu\nu\rho\sigma} U^{A}_{\mu\sigma\nu\rho\beta} U^{B}_{\alpha\beta\gamma\delta}. 
\end{align}
This term is antisymmetric in $AB$; thus, a symmetric set of coefficients ensure the vanishing of the non-$\gamma$-exact terms. The variation under $\delta$ of the two other terms provides the same non-$\gamma$-exact term $\phi^*_{\alpha\mu\nu\rho} U_{\alpha\mu\nu\rho} |_{\gamma} U_{\gamma(2)}^{\rho}$, so they vanish if $i^A B$ and $i^A B$ have opposite coefficients. Finally, we get as candidates with three derivatives

$$a_{2,3} = k_{(AB)} C^\gamma_{\mu\nu\rho} T^{\alpha\beta}_{\mu\nu\rho} \gamma U_{\gamma(2)}^{\rho} d^4 x + i_{AB} C^\gamma_{\alpha\beta\mu\nu} \left[ T^{\alpha\beta}_{\mu\nu} U_{\gamma(2)}^{\rho} - U_{\gamma(2)}^{\rho} U_{\gamma(2)}^{\rho} U_{\gamma(2)}^{\rho} \right] d^4 x. \quad (32)$$

On the other hand, the candidates involving one derivative are obstructed:

$$\delta i^A B = \text{divergence} + \gamma(...) + 4 \left( \phi^*_{\mu\nu\rho} - \frac{3}{n+2} \phi^{*\gamma}_{\mu\nu\rho} \right) \left[ -T^{\gamma A}_{\alpha\beta\mu\nu} T^{\beta}_{\alpha\nu} + C^{\alpha A}_{\mu\nu} U_{\gamma(1)}^{\rho} \right]. \quad (33)$$

All the terms vanish if $i^A B$ is multiplied by a symmetric coefficient, except one proportional to the trace of $\phi^*$: $\frac{1}{n+2} \phi^{*\gamma}_{\mu\nu\rho} C^{\mu\nu\rho} U_{\gamma(2)}^{\rho}$. This obstruction cannot be removed. The variation under $\delta$ of $i^A B$ and $i^A B$ contains the obstructions $\phi^*_{\alpha\mu\nu\rho} U_{\alpha\mu\nu\rho} |_{\gamma} U_{\gamma(2)}^{\rho}$ and $\phi^*_{\alpha\mu\nu\rho} U_{\alpha\mu\nu\rho} |_{\gamma} U_{\gamma(2)}^{\rho}$. Finally, the only possible $3 - 3 - 4$ deformation contains three derivatives in $a_2$. Even if the vertex exists, which is not sure, the only terms in $(a_{2,3}, a_{2,3})$ contain six derivatives. This cannot remove the obstruction of the BBvD deformation.

### 4.2. Study of $a_2$ in the $3 - 3 - 5$ case

The theorem of subsection 3.4 ensures that the number of derivatives in $a_2$ is even, and is not greater than 4. Furthermore, the two ghosts bear the same number of derivatives, since $|i - j| < 3 + 3 = 1$. There are candidates with four, two and zero derivatives. Once again, only the candidates with four derivatives satisfy equation (14). The possible terms with no derivatives are

$$u^{(1)} A B = C^\gamma_{\mu\nu\rho} C^{\alpha A}_{\mu\nu\rho} \quad \text{and} \quad u^{(2)} A B = C^{\alpha A}_{\mu\nu\rho} C^{\beta B}_{\mu\nu\rho}. \quad (34)$$

Those with two derivatives are

$$u^{(3)} A B = C^\gamma_{\mu\nu\rho} T^{A A}_{\mu\nu\rho} \quad \text{and} \quad u^{(4)} A B = C^{\alpha A}_{\mu\nu\rho} U_{\gamma(1)}^{\rho}. \quad (35)$$

Those with four derivatives are

$$u^{(5)} A B = C^\gamma_{\mu\nu\rho} U_{\gamma(1)}^{\rho} \quad \text{and} \quad u^{(6)} A B = C^{\alpha A}_{\mu\nu\rho} U_{\gamma(2)}^{\rho}. \quad (36)$$

Let us note that $u^{(5)} A B$, $u^{(3)} A B$, and $u^{(4)} B$ are naturally antisymmetric over $AB$. It is quite obvious that $\delta u^{(5)} A B$ is $\gamma$-exact modulo $d$, because the third derivative of the spin-3 ghost is $\gamma$-exact. Then, we can consider $\delta u^{(5)} A B$, which is $\gamma$-exact modulo $d$, for the same reason than the previous one and because $\partial_{\rho} U_{\gamma(2)}^{\rho(\gamma\delta\mu\nu)}$ is $\gamma$-exact. On the other hand, obstructions arise for any of the other candidates. For $u^{(3)} A B$, one of the trace term remains, which is proportional to $\phi^*_{\alpha\mu\nu\rho} U_{\alpha\mu\nu\rho} |_{\gamma} T^{\beta}_{\alpha\nu}$. For $u^{(4)} A B$, the obstruction consists of two terms, proportional to $\phi^*_{\alpha\mu\nu\rho} U_{\alpha\mu\nu\rho} |_{\gamma} U_{\gamma(2)}^{\rho} C^{\alpha A}_{\mu\nu\rho}$ and $\phi^*_{\alpha\mu\nu\rho} U_{\alpha\mu\nu\rho} |_{\gamma} U_{\gamma(2)}^{\rho}$. Finally, with no derivatives, the obstruction of $u^{(1)} A B$ arises once again in the trace terms, it is proportional to $\phi^*_{\alpha\mu\nu\rho} T^{\beta}_{\alpha\nu} C^{\gamma}_{\beta\gamma \rho}$. The obstruction of $u^{(2)} A B$ consists of two terms proportional to $\phi^*_{\alpha\mu\nu\rho} C^{\gamma}_{\beta\gamma \rho} U_{\gamma(1)}^{\rho}$ and $\phi^*_{\alpha\mu\nu\rho} C^{\gamma}_{\beta\gamma \rho} U_{\gamma(1)}^{\rho}$. None of those obstructions can be removed; the only possible cubic $a_2$ thus involves four derivatives. Thus, any $(a_2, a_2)$ term involves eight
derivatives, this can of course not remove the BBvD obstruction. Since we have considered a spin greater than 4, we are not sure if the cubic deformations are the only possible ones, but any solution of degree higher than 3 will provide terms of power higher than 4 in \((a_2, a_2)\), which cannot compensate the BBvD obstruction either.

5. Conclusion

Within the antifield formalism and in the case of cubic vertices between symmetric tensor gauge fields of any integer spins, we have introduced a set of criteria for the construction of consistent deformations of the gauge algebra. Equivalently, these criteria are conditions on the structure constants of the gauge algebra at first order in the coupling constants. We have then shown that the Berends–Burgers–van Dam spin-3 vertex is obstructed at second order in the coupling constants, even if one introduces other symmetric tensor gauge fields in the theory. This invalidates, in Minkowski spacetime, the argument according to which the obstructions arising for a given set of values of the spins can be cured by terms involving higher values. This argument is related to the standard lore that an infinite tower of fields with unbounded spin is needed in any consistent higher spin gauge theory. While this general expectation is not questioned, our result confirms some doubts about the mere existence of any consistent nonabelian Lagrangian formulation for higher spin gauge fields in four-dimensional\(^6\) Minkowski spacetime, which would be obtained as a perturbative local\(^7\) deformation of Fronsdal’s theory. For flat spacetimes of higher dimensions, our results suggest that presumably all nonabelian cubic vertices containing only totally symmetric gauge fields and involving a number of derivatives which does not saturate the upper bound that we found become inconsistent at second order because their gauge algebra does not close\(^8\). More precisely, our argument essentially relies on the numbers of derivatives. Consistent first-order vertices must involve a minimal number of derivatives. This minimum number increases with the values of the spin of the three fields contained in the cubic vertex. The number of derivatives is a good grading in flat spacetime, so the second-order equations involving different types of vertices are, most of the time, linearly independent (because they contain different numbers of derivatives). We can conjecture that many consistent cubic deformations in Minkowski spacetime are strongly obstructed in the same way. However, in (A)dS the number of derivatives is not a proper grading and we expect that the obstructions exhibited for the flat-spacetime vertices do not show up in (A)dS, so that the Fradkin–Vasiliev cubic Lagrangian \([10, 11]\) (see also \([27, 28]\)) could be completed to give a fully consistent nonabelian Lagrangian theory to all orders in the coupling constant.

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\(^6\) The pure spin-3 nonabelian cubic vertex found in \([20]\) exists only in higher dimensions \((n > 4)\), but this one is not obstructed at the level of the gauge algebra. Nevertheless, the existence of a corresponding quartic vertex remains an open issue.

\(^7\) Our assumption of perturbative locality means that each term in the power expansion in terms of the coupling constants must be local (e.g. each term in the expansion of the cubic string field exponential vertex is local, order by order in the string length).

\(^8\) These remarks only concern nonabelian deformations, i.e. such that the algebra of gauge transformations is noncommutative. Note that examples of nonlinear Lagrangians for massless higher spin fields in flat spacetime which are consistent at all orders, do exist (e.g. the interactive theories \([25]\) obtained from the tensionless limit of cubic string field theory) but their gauge algebra is abelian (see, however, the recent paper \([26]\)).
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