Simple Type-Level Unsupervised POS Tagging

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Abstract

Part-of-speech (POS) tag distributions are known to exhibit sparsity — a word is likely to take a single predominant tag in a corpus. Recent research has demonstrated that incorporating this sparsity constraint improves tagging accuracy. However, in existing systems, this expansion comes with a steep increase in model complexity. This paper proposes a simple and effective tagging method that directly models tag sparsity and other distributional properties of valid POS tag assignments. In addition, this formulation results in a dramatic reduction in the number of model parameters thereby, enabling unusually rapid training. Our experiments consistently demonstrate that this model architecture yields substantial performance gains over more complex tagging counterparts. On several languages, we report performance exceeding that of more complex state-of-the-art systems.\(^1\)

1 Introduction

Since the early days of statistical NLP, researchers have observed that a part-of-speech tag distribution exhibits “one tag per discourse” sparsity — words are likely to select a single predominant tag in a corpus, even when several tags are possible. Simply assigning to each word its most frequent associated tag in a corpus achieves 94.6% accuracy on the WSJ portion of the Penn Treebank. This distributional sparsity of syntactic tags is not unique to English — similar results have been observed across multiple languages. Clearly, explicitly modeling such a powerful constraint on tagging assignment has the potential to significantly improve the accuracy of an unsupervised part-of-speech tagger learned without a tagging dictionary.

In practice, this sparsity constraint is difficult to incorporate in a traditional POS induction system (Mérialdo, 1994; Johnson, 2007; Gao and Johnson, 2008; Graça et al., 2009; Berg-Kirkpatrick et al., 2010). These sequence models-based approaches commonly treat token-level tag assignment as the primary latent variable. By design, they readily capture regularities at the token-level. However, these approaches are ill-equipped to directly represent type-based constraints such as sparsity. Previous work has attempted to incorporate such constraints into token-level models via heavy-handed modifications to inference procedure and objective function (e.g., posterior regularization and ILP decoding) (Graça et al., 2009; Ravi and Knight, 2009). In most cases, however, these expansions come with a steep increase in model complexity, with respect to training procedure and inference time.

In this work, we take a more direct approach and treat a word type and its allowed POS tags as a primary element of the model. The model starts by generating a tag assignment for each word type in a vocabulary, assuming one tag per word. Then, token-level HMM emission parameters are drawn conditioned on these assignments such that each word is only allowed probability mass on a single assigned tag. In this way we restrict the parameterization of a

\(^1\)The source code for the work presented in this paper is available at http://groups.csail.mit.edu/rbg/code/typetagging/.
Table 1: Upper bound on tagging accuracy assuming each word type is assigned to majority POS tag. Across all languages, high performance can be attained by selecting a single tag per word type.

| Language | Original case |
|----------|---------------|
| English  | 94.6          |
| Danish   | 96.3          |
| Dutch    | 96.6          |
| German   | 95.5          |
| Spanish  | 95.4          |
| Swedish  | 93.3          |
| Portuguese | 95.6        |

The extent to which this constraint is enforced varies greatly across existing methods. On one end of the spectrum are clustering approaches that assign a single POS tag to each word type (Schutze, 1995; Lamar et al., 2010). These clusters are computed using an SVD variant without relying on transitional structure. While our method also enforces a single tag per word constraint, it leverages the transition distribution encoded in an HMM, thereby benefiting from a richer representation of context.

Other approaches encode sparsity as a soft constraint. For instance, by altering the emission distribution parameters, Johnson (2007) encourages the model to put most of the probability mass on few tags. This design does not guarantee “structural zeros,” but biases towards sparsity. A more forceful approach for encoding sparsity is posterior regularization, which constrains the posterior to have a small number of expected tag assignments (Grac¸a et al., 2009). This approach makes the training objective more complex by adding linear constraints proportional to the number of word types, which is rather prohibitive. A more rigid mechanism for modeling sparsity is proposed by Ravi and Knight (2009), who minimize the size of tagging grammar as measured by the number of transition types. The use of ILP in learning the desired grammar significantly increases the computational complexity of this method.

In contrast to these approaches, our method directly incorporates these constraints into the structure of the model. This design leads to a significant reduction in the computational complexity of training and inference.

Another thread of relevant research has explored the use of features in unsupervised POS induction (Smith and Eisner, 2005; Berg-Kirkpatrick et al., 2010; Hasan and Ng, 2009). These methods demonstrated the benefits of incorporating linguistic features using a log-linear parameterization, but requires elaborate machinery for training. In our
work, we demonstrate that using a simple naïve-Bayes approach also yields substantial performance gains, without the associated training complexity.

3 Generative Story

We consider the unsupervised POS induction problem without the use of a tagging dictionary. A graphical depiction of our model as well as a summary of random variables and parameters can be found in Figure 1. As is standard, we use a fixed constant \( K \) for the number of tagging states.

**Model Overview** The model starts by generating a tag assignment \( T \) for each word type in a vocabulary, assuming one tag per word. Conditioned on \( T \), features of word types \( W \) are drawn. We refer to \((T, W)\) as the lexicon of a language and \( \psi \) for the parameters for their generation; \( \psi \) depends on a single hyperparameter \( \beta \).

Once the lexicon has been drawn, the model proceeds similarly to the standard token-level HMM: Emission parameters \( \theta \) are generated conditioned on tag assignments \( T \). We also draw transition parameters \( \phi \). Both parameters depend on a single hyperparameter \( \alpha \). Once HMM parameters \((\phi, \theta)\) are drawn, a token-level tag and word sequence, \((t, w)\), is generated in the standard HMM fashion: a tag sequence \( t \) is generated from \( \phi \). The corresponding token words \( w \) are drawn conditioned on \( t \) and \( \theta \).\(^2\)

Our full generative model is given by:

\[
P(T, W, \theta, \psi, t, w | \alpha, \beta) = \\
P(T, W, \psi | \beta) \quad \text{[Lexicon]} \\
P(\phi, \theta | T, \alpha, \beta) \quad \text{[Parameter]} \\
P(w, t | \phi, \theta) \quad \text{[Token]}
\]

We refer to the components on the right hand side as the lexicon, parameter, and token component respectively. Since the parameter and token components will remain fixed throughout experiments, we briefly describe each.

**Parameter Component** As in the standard Bayesian HMM (Goldwater and Griffiths, 2007), all distributions are independently drawn from symmetric Dirichlet distributions:

\[
P(\phi, \theta | T, \alpha, \beta) = \prod_{t=1}^{K} (P(\phi_{t} | \alpha)P(\theta_{t} | T, \alpha))
\]

The transition distribution \( \phi_{t} \) for each tag \( t \) is drawn according to \text{DIRICHLET}(\alpha, K), where \( \alpha \) is the shared transition and emission distribution hyperparameter. In total there are \( O(K^2) \) parameters associated with the transition parameters.

In contrast to the Bayesian HMM, \( \theta_{t} \) is not drawn from a distribution which has support for each of the \( n \) word types. Instead, we condition on the type-level tag assignments \( T \). Specifically, let \( S_{t} = \{i | T_{i} = t\} \) denote the indices of the word types which have been assigned tag \( t \) according to the tag assignments \( T \). Then \( \theta_{t} \) is drawn from \text{DIRICHLET}(\alpha, S_{t}), a symmetric Dirichlet which only places mass on word types indicated by \( S_{t} \). This ensures that each word will only be assigned a single tag at inference time (see Section 4).

Note that while the standard HMM, has \( O(Kn) \) emission parameters, our model has \( O(n) \) effective parameters.\(^3\)

**Token Component** Once HMM parameters \((\phi, \theta)\) have been drawn, the HMM generates a token-level corpus \( w \) in the standard way:

\[
P(w, t | \phi, \theta) = \\
\prod_{(w, t) \in (w, t)} \left( \prod_{j} P(t_j | \phi_{t_{j-1}})P(w_j | t_j, \theta_{t_j}) \right)
\]

Note that in our model, conditioned on \( T \), there is precisely one \( t \) which has non-zero probability for the token component, since for each word, exactly one \( \theta_{t} \) has support.

3.1 Lexicon Component

We present several variations for the lexical component \( P(T, W | \psi) \), each adding more complex parameterizations.

**Uniform Tag Prior (1TW)** Our initial lexicon component will be uniform over possible tag assignments as well as word types. Its only purpose is

\(^2\)Note that \( t \) and \( w \) denote tag and word sequences respectively, rather than individual tokens or tags.

\(^3\)This follows since each \( \theta_{t} \) has \( S_{t} = 1 \) parameters and \( \sum_{t} S_{t} = n \).
VARIABLES
\[ W: \text{Word types} \ (W_1, \ldots, W_n) \ (\text{obs}) \]
\[ T: \text{Tag assigns} \ (T_1, \ldots, T_n) \]
\[ w: \text{Token word seqs} \ (\text{obs}) \]
\[ t: \text{Token tag assigns} \ (\text{det by} \ T) \]

PARAMETERS
\[ \psi: \text{Lexicon parameters} \]
\[ \theta: \text{Token word emission parameters} \]
\[ \phi: \text{Token tag transition parameters} \]

Figure 1: Graphical depiction of our model and summary of latent variables and parameters. The type-level tag assignments \( T \) generate features associated with word types \( W \). The tag assignments constrain the HMM emission parameters \( \theta \). The tokens \( w \) are generated by token-level tags \( t \) from an HMM parameterized by the lexicon structure. The hyperparameters \( \alpha \) and \( \beta \) represent the concentration parameters of the token- and type-level components of the model respectively. They are set to fixed constants.

Word Type Features (FEATS): Past unsupervised POS work have derived benefits from features on word types, such as suffix and capitalization features (Hasan and Ng, 2009; Berg-Kirkpatrick et al., 2010). Past work however, has typically associated these features with token occurrences, typically in an HMM. In our model, we associate these features at the type-level in the lexicon. Here, we consider suffix features, capitalization features, punctuation, and digit features. While possible to utilize the feature-based log-linear approach described in Berg-Kirkpatrick et al. (2010), we adopt a simpler naive Bayes strategy, where all features are emitted independently. Specifically, we assume each word type \( W \) consists of feature-value pairs \((f, v)\). For each feature type \( f \) and tag \( t \), a multinomial \( \psi_{t,f} \) is drawn from a symmetric Dirichlet distribution with concentration parameter \( \beta \). The \( P(W|T, \psi) \) term in the lexicon component now decomposes as:

\[
P(W|T, \psi) = \prod_{i=1}^{n} \prod_{(f,v) \in W_i} P(v|T_i, \psi_{t,f})
\]
4 Learning and Inference

For inference, we are interested in the posterior probability over the latent variables in our model. During training, we treat as observed the language word types $W$ as well as the token-level corpus $w$. We utilize Gibbs sampling to approximate our collapsed model posterior:

$$P(T,t|W,w,\alpha,\beta) \propto P(T,t,W,w|\alpha,\beta)$$

$$= \int P(T,t,W,w,\psi,\phi,\omega|\alpha,\beta) d\psi d\phi$$

Note that given tag assignments $T$, there is only one setting of token-level tags $t$ which has mass in the above posterior. Specifically, for the $i$th word type, the set of token-level tags associated with token occurrences of this word, denoted $t^{(i)}$, must all take the value $T_i$ to have non-zero mass. Thus in the context of Gibbs sampling, if we want to block sample $T_i$ with $t^{(i)}$, we only need sample values for $T_i$ and consider this setting of $t^{(i)}$.

The equation for sampling a single type-level assignment $T_i$ is given by,

$$P(T_i,t^{(i)}|T_{-i},W,t^{(-i)},w,\alpha,\beta) = P(T_i|W,T_{-i},\beta)P(t^{(i)}|T_{i},t^{(-i)},w,\alpha)$$

where $T_{-i}$ denotes all type-level tag assignment except $T_i$ and $t^{(-i)}$ denotes all token-level tags except $t^{(i)}$. The terms on the right-hand-side denote the type-level and token-level probability terms respectively. The type-level posterior term can be computed according to,

$$P(T_i|W,T_{-i},\beta) \propto P(T_i|W,T_{-i},\beta) \prod_{(f,v) \in W_i} P(v|T_i,f,W_{-i},T_{-i},\beta)$$

All of the probabilities on the right-hand-side are Dirichlet distributions which can be computed analytically given counts.

The token-level term is similar to the standard HMM sampling equations found in Johnson (2007). The relevant variables are the set of token-level tags that appear before and after each instance of the $i$th word type; we denote these context pairs with the set $\{(t^b,t^a)\}$ and they are contained in $t^{(-i)}$. We use $w$ to represent the $i$th word type emitted by the HMM:

$$P(t^{(i)}|T_i,t^{(-i)},w,\alpha) \propto \prod_{(t^b,t^a)} P(w|T_i,t^{(-i)},w^{(-i)},\alpha)$$

$$P(T_i|t^b,t^{(-i)},\alpha) P(t^a|T_i,t^{(-i)},\alpha)$$

All terms are Dirichlet distributions and parameters can be analytically computed from counts in $t^{(-i)}$ and $w^{(-i)}$ (Johnson, 2007).

Note that each round of sampling $T_i$ variables takes time proportional to the size of the corpus, as with the standard token-level HMM. A crucial difference is that the number of parameters is greatly reduced as is the number of variables that are sampled during each iteration. In contrast to results reported in Johnson (2007), we found that the performance of our Gibbs sampler on the basic 1TW model stabilized very quickly after about 10 full iterations of sampling (see Figure 2 for a depiction).

5 Experiments

We evaluate our approach on seven languages: English, Danish, Dutch, German, Portuguese, Spanish, and Swedish. On each language we investigate the contribution of each component of our model. For all languages we do not make use of a tagging dictionary.
Table 3: Multi-lingual Results: We report token-level one-to-one and many-to-one accuracy on a variety of languages under several experimental settings (Section 5). For each language and setting, we report one-to-one (1-1) and many-to-one (m-1) accuracies. For each cell, the first row corresponds to the result using the best hyperparameter choice, where best is defined by the 1-1 metric. The second row represents the performance of the median hyperparameter setting. Model components cascade, so the row corresponding to +FEATS also includes the PRIOR component (see Section 3).

| Language | # Tokens | # Word Types | # Tags |
|----------|----------|--------------|--------|
| English  | 1173766  | 49206        | 45     |
| Danish   | 94386    | 18356        | 25     |
| Dutch    | 203568   | 28393        | 12     |
| German   | 699605   | 72325        | 54     |
| Portuguese| 206678  | 28931        | 22     |
| Spanish  | 89334    | 16458        | 47     |
| Swedish  | 191467   | 20057        | 41     |

Table 2: Statistics for various corpora utilized in experiments. See Section 5. The English data comes from the WSJ portion of the Penn Treebank and the other languages from the training set of the CoNLL-X multilingual dependency parsing shared task.

5.1 Data Sets

Following the set-up of Johnson (2007), we use the whole of the Penn Treebank corpus for training and evaluation on English. For other languages, we use the CoNLL-X multilingual dependency parsing shared task corpora (Buchholz and Marsi, 2006) which include gold POS tags (used for evaluation). We train and test on the CoNLL-X training set. Statistics for all data sets are shown in Table 2.

5.2 Setup

Models To assess the marginal utility of each component of the model (see Section 3), we incrementally increase its sophistication. Specifically, we evaluate three variants: The first model (1TW) only encodes the one tag per word constraint and is uniform over type-level tag assignments. The second model (+PRIOR) utilizes the independent prior over type-level tag assignments \( P(T|\psi) \). The final model (+FEATS) utilizes the tag prior as well as features (e.g., suffixes and orthographic features), discussed in Section 3, for the \( P(W|T, \psi) \) component.

Hyperparameters Our model has two Dirichlet concentration hyperparameters: \( \alpha \) is the shared hyperparameter for the token-level HMM emission and transition distributions. \( \beta \) is the shared hyperparameter for the tag assignment prior and word feature multinomials. We experiment with four values for each hyperparameter resulting in 16 \((\alpha, \beta)\) combinations:

| \( \alpha \) | \( \beta \) |
|-------------|-------------|
| 0.001, 0.01, 0.1, 1.0 | 0.01, 0.1, 1.0, 10 |

Iterations In each run, we performed 30 iterations of Gibbs sampling for the type assignment variables \( W \).\(^4\) We use the final sample for evaluation.

Evaluation Metrics We report three metrics to evaluate tagging performance. As is standard, we report the greedy one-to-one (Haghighi and Klein, 2006) and the many-to-one token-level accuracy obtained from mapping model states to gold POS tags. We also report word type level accuracy, the fraction of word types assigned their majority tag (where the mapping between model state and tag is determined by greedy one-to-one mapping discussed above).\(^5\)

For each language, we aggregate results in the following way: First, for each hyperparameter setting,
we perform five runs with different random initialization of sampling state. Hyperparameter settings are sorted according to the median one-to-one metric over runs. We report results for the best and median hyperparameter settings obtained in this way. Specifically, for both settings we report results on the median run for each setting.

**Tag set** As is standard, for all experiments, we set the number of latent model tag states to the size of the annotated tag set. The original tag set for the CoNLL-X Dutch data set consists of compounded tags that are used to tag multi-word units (MWUs) resulting in a tag set of over 300 tags. We tokenize MWUs and their POS tags; this reduces the tag set size to 12. See Table 2 for the tag set size of other languages. With the exception of the Dutch data set, no other processing is performed on the annotated tags.

### 6 Results and Analysis

We report token- and type-level accuracy in Table 3 and 6 for all languages and system settings. Our analysis and comparison focuses primarily on the one-to-one accuracy since it is a stricter metric than many-to-one accuracy, but also report many-to-one for completeness.

#### Comparison with state-of-the-art taggers

For comparison we consider two unsupervised taggers: the HMM with log-linear features of Berg-Kirkpatrick et al. (2010) and the posterior regularization HMM of Graça et al. (2009). The system of Berg-Kirkpatrick et al. (2010) reports the best unsupervised results for English. We consider two variants of Berg-Kirkpatrick et al. (2010)’s richest model: optimized via either EM or LBFGS, as their relative performance depends on the language. Our model outperforms theirs on four out of five languages on the best hyperparameter setting and three out of five on the median setting, yielding an average absolute difference across languages of 12.9% and 3.9% for best and median settings respectively compared to their best EM or LBFGS performance. While Berg-Kirkpatrick et al. (2010) consistently outperforms ours on English, we obtain substantial gains across other languages. For instance, on Spanish, the absolute gap on median performance is 10%.

Our second point of comparison is with Graça et al. (2009), who also incorporate a sparsity constraint, but does via altering the model objective using posterior regularization. We can only compare with Graça et al. (2009) on Portuguese (Graça et al. (2009) also report results on English, but on the reduced 17 tag set, which is not comparable to ours). Their best model yields 44.5% one-to-one accuracy, compared to our best median 56.5% result. However, our full model takes advantage of word features not present in Graça et al. (2009). Even without features, but still using the tag prior, our median result is 52.0%, still significantly outperforming Graça et al. (2009).

#### Ablation Analysis

We evaluate the impact of incorporating various linguistic features into our model in Table 3. A novel element of our model is the ability to capture type-level tag frequencies. For this experiment, we compare our model with the uniform tag assignment prior (1TW) with the learned prior (+PRIOR). Across all languages, +PRIOR consistently outperforms 1TW, reducing error on average by 9.1% and 5.9% on best and median settings respectively. Similar behavior is observed when adding features. The difference between the feature-less model (+PRIOR) and our full model (+FEATS) is 13.6% and 7.7% average error reduction on best and median settings respectively. Overall, the difference between our most basic model (1TW) and our full model (+FEATS) is 21.2% and 13.1% for the best and median settings respectively. One striking example is the error reduction for Spanish, which reduces error by 36.5% and 24.7% for the best and median settings respectively. We observe similar trends when using another measure – type-level accuracy (defined as the fraction of words correctly assigned their majority tag), according to which

|          | Top 5 | Bottom 5 |
|----------|-------|----------|
| Gold     | NNP   | JJ CD NNS |
| TTW      | CD    | WRB NNS VBN NN |
| +PRIOR   | CD JJ NNS WPS NN | -RRB - $” |
| +FEATS   | JJ NNS CD NNP UH | , PRPS #” |

Table 5: Type-level English POS Tag Ranking: We list the top 5 and bottom 5 POS tags in the lexicon and the predictions of our models under the best hyperparameter setting.
Table 4: Comparison of our method (FEATS) to state-of-the-art methods. Feature-based HMM Model (Berg-Kirkpatrick et al., 2010): The KM model uses a variety of orthographic features and employs the EM or LBFGS optimization algorithm; Posterior regularization model (Grac¸a et al., 2009): The G10 model uses the posterior regularization approach to ensure tag sparsity constraint.

| Language | Metric | BK10 EM | BK10 LBFGS | G10 | FEATS Best | FEATS Median |
|----------|--------|---------|------------|-----|------------|---------------|
| English  | 1-1    | 48.3    | 56.0       | –   | 50.9       | 47.8          |
|          | m-1    | 68.1    | 75.5       | –   | 66.4       | 66.4          |
| Danish   | 1-1    | 42.3    | 42.6       | –   | 52.1       | 43.2          |
|          | m-1    | 66.7    | 58.0       | –   | 61.2       | 60.7          |
| Dutch    | 1-1    | 53.7    | 55.1       | –   | 56.4       | 51.5          |
|          | m-1    | 67.0    | 64.7       | –   | 69.0       | 67.3          |
| Portuguese | 1-1  | 50.8    | 43.2       | 44.5 | 64.1       | 56.5          |
|          | m-1    | 75.3    | 74.8       | 69.2 | 74.5       | 70.1          |
| Spanish  | 1-1    | –       | 40.6       | –   | 58.3       | 50.0          |
|          | m-1    | –       | 73.2       | –   | 68.9       | 57.2          |

Table 6: Type-level Results: Each cell report the type-level accuracy computed against the most frequent tag of each word type. The state-to-tag mapping is obtained from the best hyperparameter setting for 1-1 mapping shown in Table 3.

| Language | 1TW | +PRIOR | +FEATS |
|----------|-----|--------|--------|
| English  | 21.1| 28.8   | 42.8   |
| Danish   | 10.1| 20.7   | 45.9   |
| Dutch    | 23.8| 32.3   | 44.3   |
| German   | 12.8| 35.2   | 60.6   |
| Portuguese | 18.4| 29.6   | 61.5   |
| Spanish  | 7.3 | 27.6   | 49.9   |
| Swedish  | 8.9 | 14.2   | 33.9   |

our full model yields 39.3% average error reduction across languages when compared to the basic configuration (1TW).

Table 5 provides insight into the behavior of different models in terms of the tagging lexicon they generate. The table shows that the lexicon tag frequency predicted by our full model are the closest to the gold standard.

7 Conclusion and Future Work

We have presented a method for unsupervised part-of-speech tagging that considers a word type and its allowed POS tags as a primary element of the model. This departure from the traditional token-based tagging approach allows us to explicitly capture type-level distributional properties of valid POS tag assignments as part of the model. The resulting model is compact, efficiently learnable and linguistically expressive. Our empirical results demonstrate that the type-based tagger rivals state-of-the-art tag-level taggers which employ more sophisticated learning mechanisms to exploit similar constraints.

In this paper, we make a simplifying assumption of one-tag-per-word. This assumption, however, is not inherent to type-based tagging models. A promising direction for future work is to explicitly model a distribution over tags for each word type. We hypothesize that modeling morphological information will greatly constrain the set of possible tags, thereby further refining the representation of the tag lexicon.

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