The magnetic field dependence of the electronic specific heat of $Y_{0.8}Ca_{0.2}Ba_2Cu_3O_{6+x}$

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We have measured the electronic specific heat of $Y_{0.8}Ca_{0.2}Ba_2Cu_3O_{6-\delta}$ using a high-resolution differential technique from liquid helium temperature to room temperature in an applied magnetic field up to 13T. The field dependence of the electronic specific heat at low temperatures in the superconducting state behaves differently in the overdoped and underdoped regimes, varying as $\sqrt{H}$ in the overdoped regime but as $H$ in the underdoped regime. An entropy loss is observed in the normal state in optimal and underdoped samples, which can be attributed to the opening of a normal state pseudogap. From the temperature and field dependences of the free energy, the temperature dependence of the upper critical field $H_{c2}$ is determined. For the overdoped sample ($x = 0.79$), we find $H_{c2}$ to have a negative curvature.

I. INTRODUCTION

Measurements of electronic specific heat have played an important role in clarifying the nature of superconducting pairing symmetry $\mathcal{P}$, normal state pseudogap $\delta$, quantum criticality $\mathcal{Q}$, and many other fundamental properties of high-$T_c$ superconductors $\mathcal{H}$. While the mechanism of high-temperature superconductivity is not understood, there is an emerging consensus that the superconducting state itself is not particularly exotic, in the sense that it consists of a BCS-like pair state with well-defined quasiparticle excitations. Specific heat experiments are insensitive to the phase of the order parameter, but can provide bulk information on the behavior of low energy density states. It has been confirmed that at very low temperatures below $T_c$ the zero field specific heat varies as $T^2$ and the field dependent specific heat scales as $\sqrt{H}$, consistent with the d-wave pairing scenario of high-$T_c$ superconductivity. Revaz et al. also showed that the field dependent term obeys a scaling function of $T/\sqrt{H}$ proposed by Volovik. The loss of the entropy deduced from the specific heat data well above $T_c$ in underdoped cuprates has revealed much important information on the normal state pseudogap.

In this paper we report our experimental data of the electronic specific heat of $Y_{0.8}Ca_{0.2}Ba_2Cu_3O_{6+x}$ measured from $\sim 7K$ to room temperatures in an external magnetic field up to 13T. We choose Calcium doped $YBa_2Cu_3O_{6+x}$ (YBCO) samples because the substitution of $Y^{3+}$ by $Ca^{2+}$ adds holes to the material. This allows physical properties of YBCO-type cuprates to be investigated in both over- and under-doped regimes.

The data reported in this paper were taken on poly-crystalline $Y_{0.8}Ca_{0.2}Ba_2Cu_3O_{6+x}$ samples of about 1g in weight each, prepared by conventional solid state reaction. The oxygen content was controlled by the annealing temperature and determined from the weight loss after annealing, with an uncertainty 0.01 in x. We measured the specific heat using a differential technique in which the difference in specific heat between the sample under investigation and a related reference sample is measured with a resolution of about $1/10^4$ (i.e. 1% of the electronic contribution). The majority of the phonon contribution is backed off by the reference sample and a simple and reliable correction for residual differences in phonon terms allows us to separate accurately the electronic specific heat $C_{el}$ from the total $C_T$. In this paper we are mostly concerned with the change of the specific heat between a finite field and a zero field determined in separate runs. Whilst this quantity is independent of the phonon specific heat, the inherent reproducibility of the differential technique coupled with the elimination of the bulk of the phonon term greatly increases the reliability with which small field dependent changes can be determined.

The rest of the paper is arranged as follows. In Sec. I, our experimental results are presented and discussed. Emphasis is given to the scaling behavior of the specific heat in a finite field and the temperature dependence of the upper critical field. Sec. II gives a brief summary.

II. RESULTS AND DISCUSSIONS

We have measured seven 20% Ca substituted YBCO samples. Zero field measurements on this system have
been discussed previously [7]. Fig 1 shows the critical transition temperature $T_c$ for all the samples. The optimal doping is located at $x = 0.7$ and $T_c = 84K$. The condensation energy at zero temperature $U(0)$, rescaled by $T_c^2$, is also shown in this figure. The value of $U(0)$, as will be discussed later, is determined from the difference of the free energy density between the normal and superconducting states. $U(0)/T_c^2$ is nearly doping independent when $x > 0.79$ but drops rapidly with decreasing $x$ when $x < 0.79$. $U(0)/T_c^2$ at the optimal doping $x = 0.7$ ($T_c = 84K$) is about half of the value at $x = 0.79$ ($T_c = 80K$). This rapid decrease in $U(0)$ is due to the opening of the normal state pseudogap at a planar hole density $p_{crit} \sim 0.19$ holes/CuO$_2$ [14]. The abrupt change in the doping dependence of the condensation energy around $x = 0.79$ might be a manifestation of quantum critical phenomena. Similar changes have also been found in the doping dependence of the superfluid stiffness [14] as well as many other physical quantities [15].

A. Specific heat and the scaling behavior

The specific heat is an important quantity in characterizing low-energy excitations (see for example ref. [14]). It provides a direct measure of thermal excitations (spectral weight) in the electronic spectrum. In a Fermi liquid system, the temperature dependence of the electronic specific heat coefficient, $\gamma(H,T) \equiv C_{el}(H,T)/T$ at low temperatures reflects the energy dependence of the density of states of low-lying excitations.

Fig. 2 shows the change of the specific heat coefficient $\Delta \gamma(H,T) \equiv \gamma(H,T) - \gamma(0,T)$ in four fields $H = 2T$, 4T, 9T and 13T for $Y_{0.8}Ca_{0.2}Ba_2Cu_3O_{6+\delta}$. Since no corrections from the lattice contribution to the raw data of $\Delta \gamma$ are involved, there is no ambiguity in this quantity. In the normal state ($T > T_c + 10K$), $\gamma$ is unchanged by the applied fields within an experimental uncertainty of $\sim 0.01 mJ/gat.K^2$. At zero field (see the inset of Fig 2, $\gamma$ shows a sharp peak around $T_c$. This peak is gradually suppressed by increasing field.

![FIG. 2. Changes of the electronic specific heat coefficient $\Delta \gamma(H,0)$ at four different magnetic fields for $Y_{0.8}Ca_{0.2}Ba_2Cu_3O_{6.79}$. $H = 2T$, 4T, 9T, and 13T from bottom to top.](image)

In type-II superconductors in an applied magnetic field, there are two types of low-lying excitations: vortex core bound states and extended quasiparticle states which evolve smoothly into the bulk zero-field quasiparticle states at large distances from the vortex [12]. In a conventional $s$-wave superconductor, the low energy density of states is dominated by the core bound states, since the extended states are fully gapped and the core level spacing is about a factor $\Delta/\varepsilon_F$ smaller than the quasiparticle excitation gap, where $\Delta$ is the energy gap and $\varepsilon_F$ is the Fermi energy. Since the number of vortices scales linearly with $H$, the low energy density of states is also proportional to $H$. This means that at low temperatures and when $H \ll H_{c2}$, the specific heat scales linearly with $H/H_{c2}$, i.e. $C_{el}(H) \sim H$.

However, in the mixed state of a superconductor with line nodes, Volovik [12] pointed out that the low energy density of states is dominated by contributions from extended quasiparticle states rather than the core bound states. It was shown that the core bound states contribute less to the entropy than the extended quasiparticle states. This is due to the effect of the Doppler shift caused by supercurrents circulating around a vortex. Since the inter-vortex distance is proportional to $H^{-1/2}$ and the number of vortices is proportional to $H$, it follows that the density of states at the Fermi level is proportional to $H^{1/2}$ and the specific heat varies as $T\sqrt{H}$ in the limit $T \rightarrow 0$. Furthermore, from the $\sqrt{H}$ scaling behavior of the excitation spectrum, Simon and Lee [14] showed that low temperature thermal and transport coefficients of a $d$-wave superconductor should scale with...
\(\sqrt{H/T}\). In particular, they predicted that the specific heat has a simple scaling form

\[
C_{el} = T \sqrt{H} F_C \left( \frac{T}{\sqrt{H}} \right),
\]

(1)

where \(F_C\) is a undetermined scaling function. This scaling prediction holds for clean d-wave superconductors and for energy scales small compared to the maximum gap scale. In the dirty limit, Kubert and Hirschfeld [17] showed that the low-lying excitations are still dominated by the extended states, but the specific heat behaves as \(H \ln H\) at low fields. The \(\sqrt{H/T}\) term of the specific heat was first identified by Moler et al [1] for YBCO single crystals and later by other groups [2, 3]. The \(\sqrt{H/T}\) scaling behavior has also been reported in the specific heat measurements of YBCO [4, 5, 3].

For Ca doped YBCO, we find that the field dependent \(\Delta \gamma\) varies linearly with temperature at low temperatures (Fig. 3) and can be fitted by the equation

\[
\Delta \gamma(H, T) \approx \Delta \gamma(H, 0) + \alpha(H) T
\]

(2)

within experimental errors. The first term is just the coefficient of the INITIAL linear \(T\) term of \(C_{el}\) and is predicted to vary as \(\sqrt{H}\) in an ideal d-wave superconductor. For overdoped \(Y_{0.8}Ca_{0.2}Ba_2Cu_3O_6+x\) (the upper panel of Fig. 4), we indeed find that \(\Delta \gamma(H, 0) \sim \sqrt{H}\), with slopes comparable with that found for optimally doped single crystal YBCO [2, 3] and the theoretical predication [12]. However, for underdoped \(Y_{0.8}Ca_{0.2}Ba_2Cu_3O_{6+x}\) (the lower panel of Fig. 4), we find that \(\Delta \gamma(H, 0)\) varies linearly with \(H\). This is different from the \(\sqrt{H}\) scaling behavior of a clean d-wave superconductor and also from the \(H \ln H\) scaling behavior predicted for a dirty d-wave superconductor. We do not know if this violation of the \(\sqrt{H}\) scaling law of \(C_{el}\) in these underdoped samples is due to the effect of normal state pseudogap or just because the temperatures we have measured are not low enough to probe the characteristic low-energy feature of a d-wave superconductor. Further investigation on the field dependence of the specific heat of underdoped high-\(T_c\) materials at low temperatures is desired.

![Graph](image)

**FIG. 3.** Low temperature behaviours of \(\Delta \gamma(H, T)\) at five different fields for \(Y_{0.8}Ca_{0.2}Ba_2Cu_3O_{6.79}\). The solid lines are linear fits to the data.

![Graph](image)

**FIG. 4.** The specific heat coefficient \(\Delta \gamma(H, 0)\) as a function of \(\sqrt{H}\) for the overdoped \(Y_{0.8}Ca_{0.2}Ba_2Cu_3O_{6+x}\) (upper panel) and a function of \(H\) for the underdoped \(Y_{0.8}Ca_{0.2}Ba_2Cu_3O_{6+x}\) (lower panel).

The field dependence of \(\alpha(H)\) is more complicated and less accurately determined than \(\Delta \gamma(H)\). However, for the \(x = 0.79\) sample, we find that \(\alpha(H)\) varies almost linearly with \(H\) (Fig. 5). This linear \(H\) dependence of \(\alpha(H)\) is not what one may expect from the scaling relation (3).

![Graph](image)

**FIG. 5.** \(\alpha(H)\) versus \(H\) for \(Y_{0.8}Ca_{0.2}Ba_2Cu_3O_{6.79}\).
B. Entropy

The electronic entropy $S$ is one of the parameters sensitive to both superconducting condensation and normal states pseudo-gap and can be determined from the temperature integration of the specific heat coefficient

$$S = \int_0^T \gamma(T) dT \quad (3)$$

Our data of $S$ for 20% Ca doped YBCO at zero field are shown in Fig. 6. There is an abrupt change in the slope of $S$ at $T_c$ due to the specific heat jump. For the overdoped samples ($x=0.93, 0.87$ and $0.79$), the normal state entropy extrapolates to zero at zero temperature. However, for the optimal and underdoped samples ($x=0.7, 0.64, 0.56$ and $0.48$) the normal state entropy extrapolates to a negative value at zero temperature. This means that some of the entropy is lost in the normal state in these materials. This loss of entropy has been attributed to the opening of the normal state pseudo-gap. Similar results have been observed in other cuprate systems. [6,8]

![Fig. 6. Electronic entropy versus temperature for Y$_{0.8}$Ca$_{0.2}$Ba$_2$Cu$_3$O$_{6+x}$. The value of $x$ is given besides each curve. The external field affects strongly the condensation energy but has almost no effect on the normal state entropy.](image)

C. Free energy

From the entropy, one can further find out the free energy density. In particular, by integrating out the entropy difference between the normal and superconducting states at zero field, the change of the free energy
\[
\Delta F_{N,S}(T) = F_N(0,T) - F_S(0,T) = \frac{H_c^2(T)}{8\pi} \tag{4}
\]
can be obtained, where \(H_c(T)\) is the thermodynamic field. At zero temperature \(\Delta F_{N,S}(0)\) is the condensation energy \(U(0)\). Our results of \(\Delta F_{N,S}(T)\) are shown in Fig. 8(a) for different oxygen contents. The behavior of this quantity is different for overdoped and underdoped samples. With decreasing temperatures, \(\Delta F_{N,S}(T)\) increases more rapidly in the overdoped samples than in the underdoped ones.

The change of the free energy density in a field in the superconducting state \(\Delta F_S(H,T) = F_S(H,T) - F_S(0,T)\) can be similarly obtained. Fig. 8(b) shows \(\Delta F_S(H,T)\) for the \(x = 0.79\) sample. The largest \(\Delta F_S\) at 13T and 0K is about 220mJ/gat, much smaller than \(U(0) \sim 1550mJ/g.at\). This indicates that the upper critical field \(H_{c2}\) at zero temperature is much larger than our maximum field of 13T.

D. The upper critical field

In recent years, there has been intensive investigation on the temperature dependence of the upper critical field \(H_{c2}\) in high-\(T_c\) superconductors. MacKenzie et al. [19] measured the field dependence of the in-plane resistivity of overdoped \(\text{TI}_2\text{Ba}_2\text{Cu}_3\text{O}_6\) and found that \(H_{c2}(T)\) shows a positive curvature at low temperatures. Similar temperature dependence of \(H_{c2}\) has also been found in the transport measurements of other overdoped materials [20]. To understand this puzzling upward curvature of \(H_{c2}(T)\), a number of theories have been proposed [21–24], invoking thermal and quantal fluctuations. Recently, Wen et al. measured temperature dependence of the magnetic moment of overdoped \(\text{Bi}_2\text{Sr}_{2-}\text{La}_x\text{Cu}_4\text{O}_{4+}\) and \(\text{La}_{2-x}\text{Sr}_x\text{Cu}_4\text{O}_8\) and found that there are two transitions in the \(M(T)\) curve in an applied field [25,26]. The field dependence of the lower transition (or crossover) temperature indeed exhibits an upturn at low temperatures, similar to the upper critical field found in the transport measurements. However, the field dependence of the upper transition temperature behaves very differently. It shows a negative curvature, same as for the upper critical field in conventional superconductors. They argued that the transition at higher temperature corresponds to the true upper critical field \(H_{c2}\) and the upward curvature of the critical field at lower temperature is in fact due to the formation of a bulk superconducting phase coherence through Josephson coupling between some superconducting clusters with a transition temperature higher than the bulk transition temperature [21].

We can estimate the upper critical field \(H_{c2}\) from the free energy data obtained above if we assume that the magnetisation obeys the London expression (see for example Ref [12]). In the mixed state, the difference of the free energy \(\Delta F_S\) in the superconducting state can be deduced from the reversible magnetization \(M\):

\[
\Delta F_S(H,T) = -\int_0^H M dH. \tag{5}
\]

The London formula of the magnetization in the mixed states is approximately given by [27]:

\[
M = -\frac{H_{c1}}{8\pi \ln \kappa} \ln \frac{bH_{c2}}{H}, \tag{6}
\]

where \(\kappa = \lambda/\xi\) is the Ginzburg-Landau parameter, \(\lambda\) is the penetration depth, and \(b\) is a constant. The lower and upper critical fields \(H_{c1}\) and \(H_{c2}\) are related to the thermodynamic field by the formula \(H_{c1}H_{c2} = H_c^2\ln \kappa\). Substituting (4) into (5) and carrying out the integration, we find that

\[
\Delta F_S(H,T) = \frac{H\Delta F_{N,S}(T)}{H_{c2}(T)} \left[ \ln \frac{bH_{c2}(T)}{H} + 1 \right]. \tag{7}
\]

![FIG. 9. \(\Delta F_S(H,T)\) versus \(H\) at different temperatures for \(Y_{0.8}\text{Ca}_{0.2}\text{Ba}_2\text{Cu}_3\text{O}_6\text{.79}\). The dot and dash lines are the fits using Eq.(7)](image)
overdoped $Bi_{2}Sr_{2-x}La_{x}CuO_{4}$ and $La_{2-x}Sr_{x}CuO_{4}$, but in disagreement with the transport measurement data for overdoped $Tl_{2}Ba_{2}CuO_{6}$ [19] and $Bi_{2}Sr_{2}CuO_{y}$ [20]. The difference between the thermodynamic and transport measurements has been attributed by Wen et al to a macroscopic phase separation of electrons in overdoped materials. Another alternative is that transport measurements are sensitive to flux-flow resistance at temperatures much lower than the thermodynamic critical temperature.

![Graph showing the upper critical field $H_{c2}$ for $Y_{0.8Ca_{0.2}Ba_{2}Cu_{3}O_{6.79}$.](image)

**III. SUMMARY**

In summary, we have measured the electronic specific heat of $Y_{0.8Ca_{0.2}Ba_{2}Cu_{3}O_{6+x}}$ using a differential technique from 7K to room temperature in a magnetic field up to 13T. We have discussed the physical properties of the specific heat, the entropy, the free energy density and the upper critical field. For optimal and overdoped samples, we found that the field dependent specific heat coefficient scales with $\sqrt{H}$ at low temperatures, in agreement with other experimental measurements. However, for underdoped samples, we found that the low temperature specific heat coefficient scales linearly with $H$, inconsistent with the $\sqrt{H}$ scaling law as expected for clean d-wave superconductors. Further investigation on the field dependence of low temperature specific heat in clean underdoped high-$T_c$ superconductors should be carried out to resolve this discrepancy. The upper critical field for the overdoped sample ($x = 0.79$) shows a downward curvature. This agrees with the results of the magnetization measurements but differs from those of the transport measurements. It suggests that the overdoped materials might be very inhomogeneous in an external field and the upward curvature of $H_{c2}$ observed in the resistivity measurement is probably due to the Josephson coupling between the superconducting clusters produced by electronic phase separation.

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