Introduction

Sound localization is an important function of human hearing. It allows listeners to accurately localize the direction of incoming sounds in their everyday listening and communication. With this ability, listeners can construct auditory images and have a sense of the environment they are in. It is especially critical for a listener to know the direction of surrounding sounds in certain situations for safety reasons. For instance, when walking across a street, it is important to be able to hear from which direction motor vehicles are coming. In addition, localization is an important hearing aid outcome, which is closely related to hearing aid satisfaction. Therefore, sound localization has received considerable research attention from both clinicians and hearing scientists.

How do human beings localize sounds? Three types of acoustic cues contribute to this ability. They are interaural level differences (ILDs), interaural time differences (ITDs), and monaural spectral cues. Details of these three cues can be found in Blauert. Briefly, ILDs are based on the loudness difference between the right and the left ears and they are dominant for high frequency sounds (above 1500 Hz) due to the head shadow effect. ITDs are based on the difference in arrival time between the two ears and they are dominant for low frequency sounds below 800 Hz. Monaural spectral cues are used to localize the front/back location of a sound source on a horizontal plane and the elevation of a sound source. A pinna (or auricle) provides monaural spectral cues, together with the head and the torso of the listener, to resolve front/back confusions on the horizontal plane and for elevation hearing. In this review, we focus on localization cues that relate to the use of a pinna.

This systematic review evaluated the impact of using digital pinna-cue preserving technologies (PPT) on front/back sound localization for adult hearing aid users. Two peer-reviewed studies and two non-peer-reviewed studies were included. Lab-based and self-report outcomes were both assessed. The overall findings suggested that PPT was superior to omni-directional and full directional settings in a relatively quiet, well-controlled laboratory environment but not in the real world. However, observed individual differences in self-report measures suggested that PPT was potentially beneficial to certain hearing aid users. PPT candidacy was discussed and the importance of a pre-fitting interview/consultation was emphasized to assist clinicians in making a solid evidence-based and cost-effectiveness decision when prescribing hearing aids to adults with hearing impairment.

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Digital Pinna-Cue Preserving Technologies

localization performance compared to listeners with normal hearing. Moreover, ILD cues can be severely deteriorated by asymmetrical hearing loss. Further, listeners with high frequency hearing loss may not be able to utilize the spectral cues for front/back and elevation localization because they receive insufficient high frequency spectral cues.

Hearing aids are commonly used by listeners with hearing loss to restore audibility of residual hearing. However, existing research has shown that aided localization performance is poorer than unaided localization performance. The acoustic cues for localizing sounds are altered by certain factors related to hearing aid use, such as amplification, physical design of the hearing aids, and actual hearing aid features. As mentioned previously, a pinna provides substantial amount of monaural spectral cues. Placement of the hearing aid microphone can have a large impact on the availability of these cues. Acoustic cues delivered by a pinna are largely maintained when in-the-ear, in-the-canal, or completely-in-the-canal hearing aids are used because the microphone of these hearing aids is located at the concha or in the ear canal, where incoming sounds are picked up after they are filtered by the pinna. In contrast, when using a behind-the-ear (BTE) style hearing aid, incoming sounds are picked up by the hearing aid microphones before the sounds reach the pinna. Therefore, monaural spectral cues are compromised, which may then result in poor front/back and up/down localization performance. Previous research has shown that better aided front/back localization performance occurs with microphones that are placed in the concha or ear canal compared to microphones placed above the ear. Sivonen measured the binaural directivity patterns for these two microphone placements on an artificial head. Sizeable directivity advantages were observed at frequencies above 1000 Hz when the microphone was located at the entrance to the ear canal. This finding indicated the importance of preserving the acoustic cues afforded by the pinna for the most accurate aided localization performance.

Despite the fact that using BTE hearing aids may result in poor front/back and up/down localization performance, BTE users have been increasing rapidly in recent years because of their advantages of having more space for housing powerful electronic components and using larger batteries. Moreover, in addition to traditional BTE hearing aids with standard earhooks and earmolds, open-fit BTE hearing aids and receiver-in-the-canal BTE hearing aids using slim tubing have become popular. A report in 2009 showed that 63.4% of hearing aids sold in the United States were BTEs. This number has been increased to 69.9% in 2011. Because the microphone placement effect of BTE hearing aids distorts the monaural spectral cues coming from the pinna, researchers and engineers are attempting to restore the effect of pinna using digital signal processing. They hope that the compensation of monaural spectral cues will improve front/back and up/down localization.

Currently, a common way to digitally restore the effect of pinna to BTE hearing aids is to manipulate the polar patterns of the multi-channel directional microphone. Basically, the polar patterns for low frequency channels are set to an omni-directional mode, while the polar patterns for high frequency channels (usually above 1000 Hz) are set to a front-facing directional mode (e.g., hypercardioid). Thus, this feature also is called high frequency directionality. By introducing such directional microphone settings, the directivity index is similar to that of an unoccluded ear (Fig. 1). Several hearing aid manufacturers have employed this feature in many of their hearing aids now on the market. These manufacturers market this technology differently. Examples are ReSound split-band directionality, Phonak Real Ear Sound, Siemens TruEar, and Widex Digital Pinna. Clinicians may run into a question of whether this technology is effective and whether they should prescribe hearing aids with this feature to their patients. Given the fact that this technology has been around for nearly 10 years, evidence of whether it is effective is surprisingly sparse. There is a need to perform an evidence-based study to provide audiologists with further evidence for prescribing this feature. The research question to be explored in this paper is: does the existing evidence support prescribing hearing aids with pinna-cue preserving technologies (PPT) to improve front/back discrimination on a horizontal plane? Henceforth, PPT will refer herein to the pinna-cue preserving technologies used in different BTE hearing aids.

![Fig. 1. A demonstration of Directivity Index for (1) unoccluded ear, (2) Omni-directional microphone, and (3) PPT (cutoff frequency of 1 kHz) measured with a KEMAR in an anechoic chamber. Adapted from Keidser, et al. Int J Audiol 2009;48:789-803. PPT: pinna-cue preserving technologies.](image-url)
Literature Review

Different technical terms have been used to denote PPT, such as split-band directionality, high frequency directionality, and frequency dependent microphone. Thus, a variety of key words were used for literature search, including “frequency dependent microphone,” “high-frequency directionality,” “split-band directionality,” “pinna,” “hearing aids,” and “localization.” Since the published research on this topic is already known to be limited, a broad literature review was conducted using the key words: “pinna,” “hearing aids,” and “localization” to gather as many related research studies as possible. This search in PubMed found 24 articles, but only one was retained after a full abstract review. From the reference list of this article, one more article was identified. With the same three key words, a separate search in CINAHL was performed. Four more articles were identified. However, none of these were retained after reviewing their abstracts.

Since only two peer-reviewed articles were identified, the literature search was expanded to non-peer-reviewed articles. Despite the fact that they are not reviewed by experts, useful information could still be extracted from non-peer-reviewed articles as evidence as long as they have good control of their experimental designs. Rationales for the inclusion of non-peer reviewed articles were described in articles by Cox and Keiders. Another run of search in Google Scholar was conducted using the key words “frequency dependent microphone,” “high-frequency directionality,” and “split-band directionality.” Four non-peer-reviewed journal articles were identified. After reviewing their abstracts, two were excluded because one focused on sound quality when using PPT and the other focused on the benefits of using PPT relative to that of unaided hearing.

After the literature search, a total of four articles were included in this evidence-based review and they are summarized in Table 1. Outcome measures for this review included laboratory-based localization measurements and self-report measures. The following section evaluates each retained article according to the criteria described in Cox and using the rating categories provided in Table 2. Effect sizes were also computed for each study to provide precise statistical evidence for a clinical recommendation.

Quality Assessment

The quality of the identified studies was evaluated by applying the following criteria: 1) randomization procedure; 2) blinding; and 3) number of participants. A summary of the quality of the identified studies is offered in Table 3. Of the four studies, one used a randomized crossover design, while the other three used a non-randomized intervention design. This led to a level of evidence rating of 2 and 3, respectively, based on the categories listed in Table 2. All four studies were single blinded. Three of the studies had more than ten participants. Regarding potential weakness, one study had only nine participants; participants’ aided localization performance in two of the studies was evaluated without acclimatization (Table 3).

Outcome Measures

The outcome measures used in the four studies included objective lab-based localization performance measurements and subjective self-report measurements through which real-world performance was assessed. Results from the identified studies were reviewed based on these two outcome measure categories. In the studies, advantages of using PPT were evaluated by comparing the outcomes using PPT to the outcomes using omni-directional and full directional microphone settings. In addition, the advantages of using PPT were further demonstrated by using an effect size analysis for inter-study comparisons. For readers who may not be familiar with the concept of effect size, it is a way to display standardized magnitude of a difference by comparing the findings from different studies. There are many ways to compute an effect size. Interested readers can refer to Lipsey and Wilson for more details. In the present review, a standardized mean difference effect size was used. This value also is referred to Cohen’s d and is computed by dividing the difference between two group means by the pooled standard deviation of the two groups. According to Cohen, Cohen’s d values that are no more than 0.2 are considered to constitute a small effect; values that are around 0.5 are considered to be a moderate effect, while values that calculate as being no less than 0.8 are considered a large effect.

Lab-based localization performance

In the selected studies that were analyzed for this review, objective horizontal localization performance was examined in either an anechoic chamber or a sound-treated booth. A loudspeaker array was used for an evaluation of front/back localization performance. Test stimuli were presented from the front and also from the back of the test participant with or without masking noises. Test participants reported the direction after the presentation of each test stimulus. Root-mean-square (RMS) error is a performance measure reported in these studies. Computation of an RMS error is thoroughly described in Van den Bogaert, et al. In general, the results from the selected studies showed that using PPT yielded less front/back confusion compared to using omni-directional or full directional settings (Table 1). These lab-based data supported the efficacy of PPT and
Table 1. Summary of studies about the effect of PPT for systematic review

| Reference            | Hearing loss                  | Intervention                                                                 | Stimulus                                                                 | Localization measures                           | Results                                                                 |
|----------------------|-------------------------------|------------------------------------------------------------------------------|--------------------------------------------------------------------------|-------------------------------------------------|------------------------------------------------------------------------|
| Keidser, et al.      | Symmetrical mild sloping to moderate-severe SNHL | PPT setting: high frequency directional microphone > 1000 Hz Bilateral fitting BTEs using NAL-NL1 with suitable vented earmolds Acclimatization: 3 weeks | 0.4 kHz octave band-filtered pulsed pink noise 3 kHz octave band-filtered pulsed pink noise Cockatoo noise (high frequency emphasis) Traffic noise (low frequency emphasis) Speech No masking noise | Objective: 360° array of 20 loudspeakers Subjective: SSQ and exit interview | PPT significantly improved F/B localization relative to Omni (10°) and full DIR (8°) when using 3 kHz pulsed pink noise after 3 weeks of acclimatization SSQ scores showed no significant difference between PPT and Omni, and between PPT and full DIR |
| O’Brien, et al.      | Mild sloping to moderate-severe SNHL | PPT setting: high frequency directional microphone > 1300 Hz Bilateral fitting BTEs using NAL-NL1 Acclimatization: 4 and 8 weeks | A broadband, pulsed, pink-noise at 70 dB Leq No masking noise | Objective: 360° array of 20 loudspeakers Subjective: exit interview | PPT significantly improve F/B localization by an average of 4.5° relative to Omni Difference in subjective ratings between PPT and Omni was small and not statistically significant |
| Groth and Laureyns   | Mild sloping to moderate-severe SNHL | PPT setting: high frequency directional microphone > 900 Hz Bilateral fitting BTEs with appropriate coupling strategies without acclimatization | A broadband-weighted noise Multi-talker noises were presented from -90° and 90° | Objective: 180° array of 13 loudspeakers, only 7 odd number loudspeakers were used | PPT (M=23, SD=9), Omni (M=30, SD=9), Unaided (M=22, SD=9) These results showed performance of F/B localization with PPT was similar to unaided but better than that with Omni |
| Kuk, et al.          | Symmetrical mild-to-moderate SNHL | Bilateral fitting RICs using NAL-NL2 with appropriate coupling strategies No acclimatization | 300 ms steady-state high pass signal (> 2000 Hz) at 30 dB SL or most comfortable level No masking noise | 360° array of 12 loudspeakers | Front-to-back error with PPT was 10% less than that with Omni Back-to-front error with PPT was 30% less than that with Omni |

SNHL: sensorineural hearing loss, F/B: front/back, Omni: omni-directional, DIR: full directional, PPT: pinna-cue preserving technologies, BTE: behind-the-ear, SSQ: Speech, Spatial and Qualities of Hearing Scale, NAL-NL: National Acoustics Laboratory Non-Linear, RIC: receiver in the canal
provided an internal validity of the findings. The details for each of the four studies are elaborated on below.

Keidser, et al.\textsuperscript{17} evaluated the effectiveness of PPT used in Siemens Acuis S hearing aids. Lab-based localization performance was assessed using five different stimuli with different frequency emphases (Table 1) in quiet. They found statistically significant benefits in using the PPT setting relative to both the omni-directional and full directional settings when using stimuli with high frequency emphasis after a 3-week acclimatization period. Across the five test stimuli, mean RMS front/back errors with PPT were 0.7–13.2° smaller than those with the omni-directional setting and 2.9–7.1° smaller than those with the full directional setting. The advantages of using PPT showed large effects when test stimuli were with sufficient high frequency components, i.e., 3000 Hz pink noise, cockatoos, and speech (Table 4). Compared to the full directional setting, the PPT setting showed a moderate to large effect for all test stimuli (Table 4).

The findings of Keidser, et al.\textsuperscript{17} were endorsed by another study conducted by O’Brien, et al.\textsuperscript{18} In this later study, the effect of PPT used in Phonak Naida V SP hearing aids was assessed. Front/back localization tests were conducted in a quiet environment after one, four, and eight weeks of acclimatization. Taking acclimatization into consideration, this current review used the results based on the data collected after four and eight weeks. These results showed that front/back RMS error with PPT was on average 4.5° less than that with the omni-directional setting. Moreover, the improvement in front/back localization was greater after 4 weeks than after 8 weeks of acclimatization. Similar to Keidser, et al., a large effect size was obtained when it was measured after 4 weeks of acclimatization. Such an effect was reduced to a medium effect size, however, after 8 weeks of acclimatization.

Groot and Laureyns\textsuperscript{19} evaluated the effect of PPT used in ReSound Live 71D hearing aids. Front/back localization performance with PPT was compared to the performance under omni-directional and unaided conditions. In that study, listeners’ front/back localization performance was evaluated in the presence of a masking noise (Table 1). Unfortunately, the pre-

### Table 2. Levels of evidence*

| Level | Type of evidence |
|-------|------------------|
| 1     | Systematic reviews and meta-analyses of randomized controlled trials |
| 2     | Randomized controlled trials |
| 3     | Non-randomized intervention studies |
| 4     | Descriptive studies (cross-sectional surveys, cohort studies, case-control designs) |
| 5     | Case studies |
| 6     | Expert opinion |

*a adapted based on Table 1 in Cox\textsuperscript{20}*

### Table 3. Summary of quality of the identified studies

| Study          | Randomization procedure | Blinding | Number of participant | Weakness of the study | Level of evidence according to Cox (2005) |
|----------------|-------------------------|----------|-----------------------|-----------------------|----------------------------------------|
| Keidser, et al.\textsuperscript{17} | Randomized crossover   | Single   | 21                    |                       | 2                                      |
| O’Brien, et al.\textsuperscript{18} | Non-randomized intervention | Single | 23                    |                       | 3                                      |
| Groot and Laureyns\textsuperscript{19} | Non-randomized intervention | Single | 14                    | No acclimatization     | 3                                      |
| Kuk, et al.\textsuperscript{20} | Non-randomized intervention | Single | 9                     | Small number of participants | 3                                      |

### Table 4. Effect of PPT using Cohen’s d

| Study          | Lab-based tests | Self-report outcome |
|----------------|-----------------|---------------------|
|                | PPT vs. Omni    | PPT vs. DIR         | PPT vs. Omni    | PPT vs. DIR         |
| Keidser, et al.\textsuperscript{17} | 3 kHz: 1.51     | 3 kHz: 0.97         | SSQ spatial: -0.38* | SSQ spatial: -0.37** |
|                | 0.4 kHz: 0.99   | 0.4 kHz: 0.50       | Performance rating: 0 | Performance rating: 0.30 |
|                | Cockatoos: 2.13 | Cockatoos: 0.98     |                       |                       |
|                | Traffic: 0.14   | Traffic: 0.75       |                       |                       |
|                | Speech: 1.43    | Speech: 0.68        |                       |                       |
| O’Brien, et al.\textsuperscript{18} | After 4 weeks: 1.00 | NA                 | Performance rating: 0.15 | NA                     |
|                | After 8 weeks: 0.40 | NA                 |                       |                       |
| Groot and Laureyns\textsuperscript{19} | 0.78           | NA                  | NA                    | NA                    |
| Kuk, et al.\textsuperscript{20} | When sounds from front: 0.46 | NA |                       |                       |
|                | When sounds from back: 1.08  | NA                  |                       |                       |

*a negative Cohen’s d indicates that performance with PPT was poorer than that with the microphone setting for comparison. PPT: pinna-cue preserving technologies, Omni: omni-directional, DIR: full directional*
sentation level of the masking noise was not reported by the authors. The effect of the masking noise also was not discussed in that study. It is impossible to estimate the influence of having masking noise in a localization test. Nevertheless, results showed that the percentage of front/back error was 22% with PPT and was 30% with the omni-directional setting. Performance with PPT was similar to unaided, but significantly better than performance with the omni-directional setting. Compared to the omni-directional setting, an effect size of 0.78 was observed.

A recent study by Kuk, et al., evaluated PPT used in Widex Clear 440 Passion hearing aids. The PPT setting was only compared with an omni-directional setting in quiet. Front/back localization in quiet was divided into two parts, namely, front-to-back error and back-to-front error. The former was the percentage of responses that reported sounds perceived from the back when the sounds were presented from the front. The latter was the opposite. A high frequency emphasis signal was used as the test stimulus. Data were collected right after hearing fitting. The results showed that the PPT setting was significantly superior to the omni-directional setting. In terms of front-to-back error, a moderate effect of 0.46 was obtained. In terms of back-to-front error, a large effect of 1.08 was obtained. These findings indicated that PPT had advantages over omni-directional settings in front/back discrimination, especially when sounds emitted from the back.

The self-reported measure

After reviewing the evidence obtained in the lab-based tests, we examined the evidence obtained in the real world. Such measure was often referred to as effectiveness and measured using questionnaires or interviews. Self-report measures were only employed in two of the four studies: Keidser, et al., and O’Brien, et al. According to Table 1, the findings from the two studies showed that the benefit of using PPT in front/back localization was not observed in self-report measures when compared to omni-directional and full directional settings. The Speech, Spatial and Qualities of Hearing Scale (SSQ) and an overall performance rating were used in Keidser, et al., while an overall performance rating was used in O’Brien, et al. The SSQ is a standard questionnaire that is designed to measure self-reported auditory disability in terms of speech perception, spatial hearing, segregation of sounds, ease of listening, and sound quality. Because the focus of this present review was localization performance, only the subscale score for spatial hearing was used. In each of these studies, the mean rating score for the PPT was similar to the mean rating scores for omni-directional and full directional settings. None of the comparisons between PPT and other microphone settings were statistically significant. Based on the reported mean and standard deviations, we were able to compute Cohen’s d values to evaluate the effect of PPT relative to omni-directional and full directional settings, respectively. These results are shown in Table 4. A small to no effect was observed. It is worth noting that, in Keidser, et al., mean SSQ spatial rating scores for the PPT were lower than those for both the omni-directional and full directional settings.

Analysis

The reviewed studies measured PPT features equipped in behind-the-ear style hearing aids from different major manufacturers. Thus, the conclusions drawn from this review had good generalizability. The results showed sound evidence of using PPT to improve front/back confusion for adult listeners relative to using omni-directional and full directional microphone settings according to lab-based horizontal localization tests. The computed effect size values showed a moderate to large effect (Table 4). However, the self-report data did not show the same advantage of using PPT. The mixed findings of this review were in agreement with Keidser. Her brief review concluded that the PPT was less effective in the real world than in the laboratory. The reasons of these contradicting findings were unclear. Keidser, et al. suspected that it was due to insufficient acclimatization of sound localization to actual amplification in day-to-day listening. A longer acclimatization period may allow hearing aid users to establish new localization cues and improve their real-world localization performance. Another suspicion was that the lab-based measured did not reflect everyday listening environments, for instance, lack of visual cues and head movement. Furthermore, existing standard questionnaires or overall performance ratings might not be sensitive enough to reflect specific changes in front/back localization performance, especially in complex acoustic environments, like real world listening situations. These suspicions suggest interpretation of the obtaining results with caution.

In terms of clinical applications, the overall findings of the present review suggested that PPT is not a critical factor to consider when prescribing hearing aids for an adult patient in the real world. However, this finding did not mean to leave PPT out of consideration when prescribing hearing aids. It is worth noting that in the two studies that included self-report measures, individual variations on the reported benefit of using PPT were observed. This indicated that some of the participants actually did notice a benefit from using PPT in their everyday lives. Despite the fact that the acclimatization effect, as suggested by Keidser, et al., might indeed come into play, such observations also suggested that at least some hearing aid us-
The information needed for assessment of PPT candidacy was provide valuable information about the patient, including life and the specific sound characteristics for localization can using PPT. Information regarding the communication environment components, then this patient also is likely to benefit from using PPT. In addition, if the sounds everyday communication situations are not reverberant, then that patient needs to locate have substantial high frequencies. It is likely to benefit from using PPT. In that study, lab-based localization testing and a self-report measurement were performed. They concluded that preserving pinna cues could provide benefit under certain conditions for hearing aid users with mild to moderate hearing loss.

When PPT candidacy is determined, appropriate hearing aids are then selected on the basis of factors that are normally considered in a clinical hearing aid prescription protocol along with PPT. It is important to note that the benefit of using PPT could not be guaranteed for each PPT candidate. Hearing aid orientation and consultation are crucial to informing the patient on how to make use of PPT in real world situations and helping the patient establish realistic expectations for using amplification. It is a mistake to select hearing aids for a PPT candidate based solely on PPT availability. PPT should be considered as an additional feature that can potentially benefit certain hearing aid users in some listening environments. Audiologists should make good use of the collected information from the pre-fitting interview/consultation to better understand each patient’s goals for amplification and then select the appropriate hearing aids or hearing aid features that best suit the patient’s daily needs. In addition, aural rehabilitation should not be ignored to maximize hearing aid success.

Conclusions and Recommendations

PPT is designed to mimic the frequency-specific directivity of the human outer ear by using digital signal processing to improve front/back discrimination. The findings reported here after a systematic review of four studies indicated that this feature could yield a substantial reduction in front/back confusion for adult hearing aid users when those users were evaluated in laboratories. However, the advantages of using PPT could not be observed in the real world. Thus, it appears that PPT is advantageous in a lab environment, but it has limited real-world application. However, the observed individual difference in real-world performance did suggest that some hearing aid users could potentially benefit from using PPT to improve their front/back sound discrimination performance. It is important to conduct a pre-fitting interview/consultation to gather infor-
mation regarding a given patient for determination of PPT candidacy. The collected information also will assist audiologists in making the best evidence-based and cost-effectiveness decisions when prescribing hearing aids to their patients.

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