THE EFFECT OF AN ISOTHERMAL ATMOSPHERE ON THE PROPAGATION OF THREE-DIMENSIONAL WAVES IN A THERMALLY STRATIFIED ACCRETION DISK

G. I. OGILVIE\textsuperscript{1,2} AND S. H. LUBOW\textsuperscript{2,3}

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ABSTRACT

We extend our analysis of the three-dimensional response of a vertically polytropic disk to tidal forcing at Lindblad resonances by including the effects of a disk atmosphere. The atmosphere is modeled as an isothermal layer that joins smoothly on to an underlying polytropic layer. The launched wave progressively enters the atmosphere as it propagates away from the resonance. The wave never propagates vertically, however, and the wave energy rises to a (finite) characteristic height in the atmosphere. The increase of wave amplitude associated with this process of wave channeling is reduced by the effect of the atmosphere. For waves of large azimuthal mode number \(m\) generated by giant planets embedded in a disk, the increase in wave amplitude is still substantial enough to be likely to dissipate the wave energy by shocks for even modest optical depths \((\tau > 10)\) over a radial distance of a few times the disk thickness. For low-\(m\) waves generated in circumstellar disks in binary stars, the effects of wave channeling are less important and the level of wave nonlinearity increases by less than a factor of 10 in going from the disk edge to the disk center. For circumbinary disks, the effects of wave channeling remain important, even for modest values of optical depth.

Subject headings: accretion, accretion disks — hydrodynamics — waves

1. INTRODUCTION

Tidally generated waves can play a significant role in the dynamics of gaseous disks and the tidal perturbers. The Lindblad resonances (LRs) are important sites of wave generation in disks (Goldreich & Tremaine 1979). Most previous studies have approximated the disk as two dimensional (2D) by ignoring effects in the vertical direction (perpendicular to the orbital plane). For disks whose structure and thermodynamic response are locally vertically isothermal, the 2D approximation accurately describes the wave that is generated at an LR, which is indeed a 2D sound wave. In many cases of astrophysical interest, however, the disk is thermally stratified (optically thick) in the vertical direction. Such cases include the planet-forming regions of protostellar disks (Bell et al. 1997) and cataclysmic variable (CV) disks (La Dous 1994).

In an earlier paper (Lubow & Ogilvie 1998, hereafter Paper I), we analyzed the linear response of a thin, vertically polytropic disk to tidal forcing at LRs. We found that the dominant mode of excitation was the \(f\) mode of even symmetry. Close to the resonance, this mode behaves compressibly and occupies the full vertical extent of the disk, like the 2D mode in an isothermal disk. However, as the wave propagates away from the resonance through a radial distance of order \(r_L/m\) (for resonance radius \(r_L\) and azimuthal mode number \(m\)), it behaves incompressibly, like a surface gravity mode, and becomes confined close to the disk surface. The degree of confinement increases with distance from the resonance. As a consequence of this wave-channeling process, there is usually a strong increase in wave amplitude with radial distance from the LR on the scale \(r_L/m\). Although this analysis did not include damping or nonlinear effects explicitly, we estimated that shocks would sometimes develop and damp the wave. Other damping mechanisms such as radiative damping (Cassen & Woolum 1996) or viscous damping may also be of importance.

Once the wave energy is channeled to a region close to the disk surface, the wave's properties are determined by the structure of the disk's outer layers. In particular, the effects of a disk atmosphere can be important. Such effects are not well represented by a vertically polytropic disk. In the case of a polytrope, there is a definite surface on which the density and temperature fall to zero. With an atmosphere, the density falls more gradually with height and the temperature is approximately constant. In fact, there is no actual disk surface in the isothermal case. The density falls as a Gaussian function with height above the midplane. In the limit of very high (vertical) optical depth in the disk, the polytropic approximation should be adequate because the atmosphere contains an insignificant fraction of the disk's mass. In the opposite limit of an optically thin disk, the disk structure is vertically isothermal and the wave launched at resonance behaves as a 2D sound wave.

The purpose of this paper is to determine to consequences of a finite, nonzero optical depth on the propagation of a wave launched from an LR. For disks of optical depth of about 100, which is thought to be typical in planet-forming regions of protostellar disks, nearly 1% of the disk's mass resides in the atmosphere. As a result of wave channeling, the wave energy could be contained within the atmosphere. The purpose of this paper is to explore the consequences of a disk atmosphere on the propagational properties of the waves generated at LRs. We aim to determine whether the wave in the disk atmosphere would propagate upward into very low density regions where shock dissipation would occur. Such behavior has been predicted by Lin, Papaloizou, & Savonije (1990). More generally, we want to determine how much the wave amplitudes can be amplified by the wave-channeling process in the presence of a disk atmosphere as a function of disk optical depth.

\textsuperscript{1} Institute of Astronomy, University of Cambridge, Madingley Road, Cambridge CB3 0HA, United Kingdom.
\textsuperscript{2} Isaac Newton Institute, University of Cambridge, 20 Clarkson Road, Cambridge CB3 0EH, United Kingdom.
\textsuperscript{3} Space Telescope Science Institute, 3700 San Martin Drive, Baltimore, MD 21218.
2. DESCRIPTION OF THE MODEL.

The semianalytic approach taken in Paper I can be extended to include the disk atmosphere, which is modeled as an isothermal layer that extends from some height above the midplane outward. Beneath this layer resides a thermally stratified polytropic layer that extends vertically down to the midplane. For convenience, we treat the transition as abrupt, although in reality it may occur over a distance comparable to a scale height. A similar model was used by Lin et al. (1990).

As will be shown, physical solutions to the wave equations in the isothermal layer can be obtained analytically. The upper boundary condition of the isothermal layer is that no waves carry energy from great distances above the disk midplane downward toward the midplane. That is, there are no external sources of energy above the disk. The solutions allow, in principle, for the possibility of reflection in the disk atmosphere and propagation to great (or infinite) heights in the atmosphere.

These atmospheric solutions contain some freedom that needs to be constrained by the underlying polytropic layer. The isothermal solutions provide constraints that serve as an outer boundary condition for the numerical solution of the wave equations in the polytropic layer. Taken together, vertically global solutions are obtained that connect the two layers in a physically meaningful manner. The ratio of the temperature at midplane to the temperature at the layer interface determines the disk optical depth through the $T^{-	au}$ relation.

3. EQUILIBRIUM OF THE DISK

The disk is considered to be a steady, axisymmetric fluid rotating in a constant, axisymmetric gravitational potential. With reference to cylindrical polar coordinates $(r, \phi, z)$, the fluid has angular velocity $\Omega(r, z)$, density $\rho(r, z)$, and pressure $p(r, z)$, while the gravitational potential is $\Phi(r, z)$. The disk is considered to be thin, so that the angular velocity can be considered to be independent of $z$ and given by

$$r\Omega^2 = \frac{\partial^2 \Phi}{\partial r^2} \mid_{z=0},$$

while the epicyclic frequency $\kappa$ is given by

$$\kappa^2 = 4\Omega^2 + 2r\Omega \frac{d\Omega}{dr}. \quad (2)$$

The effective gravitational acceleration is $\ddot{z}g(r, z)e_z$, where

$$g = \Omega^2 z,$$

and $\Omega_\perp(r)$ is the vertical frequency given by

$$\Omega_\perp^2 = \frac{\partial^2 \Phi}{\partial z^2} \mid_{z=0}. \quad (4)$$

In particular, we will be interested in a Keplerian disk about a spherical mass $M$, for which the potential is

$$\Phi = -GM(r^2 + z^2)^{-1/2}$$

and $\kappa = \Omega_\perp = \Omega$. However, the notational distinction between $\Omega$, $\kappa$, and $\Omega_\perp$ is retained, partly to aid the interpretation of the equations in the case of a Keplerian disk, and partly to allow a generalization to non-Keplerian disks.

The local vertical equilibrium of the disk is determined by the equation

$$\frac{\partial p}{\partial z} = -\rho g.$$

This may be expressed in terms of an approximate effective
optical depth $\tau$ at the midplane according to the relation (see, e.g., Bell et al. 1997)

$$T_m^4 = \frac{3}{8} \tau T_i^4,$$

which gives

$$\tau = \frac{8}{3} \left(1 - \frac{z^2}{H_*^2}\right)^{-4}.$$  \hspace{1cm} (18)

The ratio $\Sigma_1/\Sigma_o$ of the surface densities of the two layers can be expressed in terms of $z_1/H_*$, but only by using higher transcendental functions.

We assume that the effective adiabatic exponent $\gamma$ is constant and greater than unity in the central layer, but equal to unity in the outer layers. This reflects the fact that the thermal timescale is short compared to the dynamical timescale in the isothermal layers.

The buoyancy frequency may then be evaluated as

$$|z| < z_1: N^2 = \left[s - \left(\frac{s + 1}{\gamma}\right)\left(\frac{2\sigma^2}{H_*^2 - z^2}\right)\right]_0^1,$$  \hspace{1cm} (19)

$$|z| > z_1: N^2 = 0,$$  \hspace{1cm} (20)

and is discontinuous at $|z| = z_1$.

4. DESCRIPTION OF THE LOCAL DISPERSION RELATION

4.1. Basic Equations

The equations governing free, linear waves in a thin accretion disk have been derived and solved in different cases by Lubow & Pringle (1993, hereafter LP), Korycansky & Pringle (1995), Ogilvie (1998), and in Paper I. The separation of scales between the horizontal and vertical directions allows all wave quantities to be expressed as WKB functions in $r$, except in the neighborhood of resonances, which are also turning points for the waves. In particular, a wave quantity $X$ assumes the form

$$\hat{X}(r, \phi, z, t) = \text{Re} \left\{ \tilde{X}(r, z) \exp \left[-i \omega t + i m \phi + i \int k(r) dr \right] \right\},$$  \hspace{1cm} (21)

where $\omega$ is the frequency eigenvalue, $m$ is the azimuthal wavenumber, and $k(r)$ is the radial wavenumber. The tilde will be omitted hereafter. The equations satisfied by the Eulerian velocity perturbation $(u, v, w)$ and pressure perturbation $p'$ at each radius may be written

$$\rho(\dot{\omega}^2 - k^2)u = \dot{\omega} p',$$  \hspace{1cm} (22)

$$\rho(\dot{\omega}^2 - N^2)w = -i\omega \left(\frac{\partial p'}{\partial z} + \frac{g p'}{v_s^2}\right),$$  \hspace{1cm} (23)

and

$$-i\omega p' = -\gamma p \left(iku + \frac{\partial w}{\partial z}\right) + \rho gw,$$  \hspace{1cm} (24)

where $v_s = (\gamma p/\rho)^{1/2}$ is the sound speed and

$$\dot{\omega} = \omega - m\Omega$$  \hspace{1cm} (25)

is the intrinsic frequency of the wave. The azimuthal velocity perturbation is related to the radial one by

$$-i\omega v + 2Bu = 0,$$  \hspace{1cm} (26)

where

$$B = \Omega + \frac{r}{2} \frac{d\Omega}{dr}$$  \hspace{1cm} (27)

is the usual Oort parameter.

4.2. Analytical Solutions in the Isothermal Layer

LP solved analytically for the vertical structure of modes in a purely isothermal disk by obtaining series solutions of the equations about $z = 0$. The relevant solutions were identified as terminating power series (i.e., polynomials) of either even or odd symmetry, multiplied by an exponential factor. In the present case, we must consider more general solutions because different boundary conditions apply at the interface between the two layers.

In the isothermal layer, equations (22), (23), and (24) may be rewritten as

$$\frac{\partial u}{\partial z} = \left(\frac{\dot{\omega}^2 - k^2}{\dot{\omega}^2 - \Omega^2}\right)_{ikw}$$  \hspace{1cm} (28)

and

$$\frac{\partial w}{\partial z} = \left(1 + \frac{1}{ik}\right) \left[k^2 - \left(\frac{\dot{\omega}^2 - k^2}{\Omega^2}\right) \frac{1}{H^2}\right] u + \left(\frac{z}{H}\right) w.$$  \hspace{1cm} (29)

There exists a 2D mode consisting of a purely horizontal motion independent of $z$, which satisfies the dispersion relation

$$\dot{\omega}^2 = k^2 + c^2 k^2.$$  \hspace{1cm} (30)

For all other modes, equations (28) and (29) can be combined to give a single, second-order equation for $w$ in the form

$$\frac{\partial^2 w}{\partial z^2} - \left(\frac{z}{H}\right) \frac{\partial w}{\partial z}$$

$$+ \left[\left(\frac{\dot{\omega}^2 - \Omega^2}{\Omega^2}\right) \frac{1}{H^2} - \left(\frac{\dot{\omega}^2}{\Omega^2}\right) k^2\right] w = 0.$$  \hspace{1cm} (31)

This equation can be transformed into the parabolic cylindrical equation (Abramowitz & Stegun 1965, hereafter AS). We will be concerned with solutions for which both $k$ and $\dot{\omega}$ are real.

4.2.1. Transformation of the Equation

The substitution

$$w(z) = y(x) \exp\left(\frac{1}{4} x^2\right),$$  \hspace{1cm} (32)

where

$$x = \frac{z}{H},$$  \hspace{1cm} (33)

transforms equation (31) into

$$\frac{d^2 y}{dx^2} - \left(\frac{1}{4} x^2 + a\right) y = 0,$$  \hspace{1cm} (34)

where

$$a = \left(\frac{\dot{\omega}^2}{\dot{\omega}^2 - \Omega^2}\right) k^2 H^2 - \left(\frac{\dot{\omega}^2}{\Omega^2}\right) \frac{1}{2}.$$  \hspace{1cm} (35)
for the solution $U(a, x)$ and $V(a, x)$, defined by AS. The asymptotic forms as $x \to +\infty$ are (AS, eq. [19.8])

$$U(a, x) \sim x^{-(a+1/2)} \exp \left( -\frac{1}{4} x^2 \right),$$
$$V(a, x) \sim \left( \frac{2}{\pi} \right)^{1/2} x^{a-1/2} \exp \left( \frac{1}{4} x^2 \right).$$

4.2.2. Conditions at $z = \pm \infty$

In order to select physically acceptable solutions, we must examine the behavior of the wave action as $|z| \to \infty$. A suitable energy wave action, whose density, averaged over time, is

$$S^{(e)} \equiv \frac{\rho \omega}{2\omega} (|u|^2 + |w|^2),$$

and whose vertical flux, also averaged over time, is

$$F_z^{(e)} = \text{Re}(w^*p'),$$

can be defined.

In the case of the isothermal layer, the limiting behavior of the wave action as $z \to +\infty$ is

$$S^{(e)} \propto x^{1-2a} \exp \left( -\frac{1}{2} x^2 \right),$$

$$F_z^{(e)} \propto x^{-2a} \exp \left( -\frac{1}{2} x^2 \right)$$

for the solution $U(a, x)$, and

$$S^{(e)} \propto x^{1+2a} \exp \left( \frac{1}{2} x^2 \right),$$

$$F_z^{(e)} \propto x^{2a} \exp \left( \frac{1}{2} x^2 \right)$$

for the solution $V(a, x)$. It is clear that only the solution $U(a, x)$ is acceptable at $z = +\infty$. Similarly, only the solution $U(a, -x)$ is acceptable at $z = -\infty$. These solutions represent evanescent waves at infinity.

The solutions that we accept have the wave action localized in the vertical direction. The waves are therefore confined within the disk as in a waveguide. Although the velocity may diverge as $z \to \infty$, leading inevitably to some nonlinear effects at large $z$, we assert on physical grounds that, when only a small fraction of the wave action is subject to nonlinearity, the linear solution remains a valid description throughout most of the height of the disk. If, as the wave propagates radially, it happens that a substantial fraction of the wave action becomes subject to nonlinearity, we must accept that the linear solution is no longer accurate and we estimate that the wave shocks near this point.

4.2.3. Modes in a Purely Isothermal Disk

When the disk is purely isothermal without a polytropic layer, we recover the results of LP as follows. An acceptable solution for $y(x)$ must be proportional to both $U(a, x)$ and $U(a, -x)$. However, these functions are linearly dependent on only when $a = -n - \frac{1}{2}$, with $n$ a nonnegative integer. In that case,

$$U(-n - \frac{1}{2}, x) = 2^{-n/2} H_n(2^{-1/2}x) \exp \left( -\frac{1}{4} x^2 \right),$$

where $H_n$ is the Hermite polynomial of degree $n$ (AS, eq. [19.13.1]). This condition leads to the dispersion relation

$$n = \left( \frac{\omega^2 - \Omega_1^2}{\Omega_1^2} \right) - \left( \frac{\omega^2}{\Omega_1^2 - \kappa^2} \right) k^2 H^2,$$

equivalent to equation (54) of LP for the case $\gamma = 1$.

4.3. Numerical Solutions in the Polytropic Layer

The equations in the polytropic layer must be solved numerically, as in Korycansky & Pringle (1995). In a form similar to equations (28) and (29), they are

$$\frac{\partial u}{\partial z} = \left[ s - \left( \frac{s+1}{\gamma} \right) \left( \frac{2z}{H_s^2 - z^2} \right)^2 \right] u + \left( \frac{ik}{\omega^2 - \kappa^2} \right)$$

and

$$\frac{\partial w}{\partial z} = \left( \frac{1}{ik} \right) \left[ k^2 - \left( \frac{s+1}{\gamma} \right) \frac{\omega^2 - \kappa^2}{\Omega_1^2} \left( \frac{2}{H_s^2 - z^2} \right)^2 \right] u + \left( \frac{s+1}{\gamma} \right) \left( \frac{2z}{H_s^2 - z^2} \right) w.$$

The boundary conditions at $z = 0$ are the usual symmetry conditions,

$$\frac{\partial u}{\partial z} = w = 0$$

for an even mode and

$$u = \frac{\partial w}{\partial z} = 0$$

for an odd mode. At $z = z_1$, the solutions must be matched on to the solutions in the isothermal layer. Both $u$ and $w$ must be continuous.

The matching condition is

$$\frac{u}{w} = ik H \left[ k^2 H^2 - \left( \frac{\omega^2 - \kappa^2}{\Omega_1^2} \right) \right]^{-1} \frac{U(a, x_1) - x_1}{U(a, x_1) - x_1}.$$

where $x_1 = z_1/H$ and the prime denotes differentiation with respect to the (second) argument. (If this ratio diverges, the matching condition is $w = 0$).

The numerical method is as follows. A value of $k$ and a symmetry (either even or odd) are chosen. The values of $u$ and $w$ at $z = 0$ are determined by the appropriate symmetry condition and by an arbitrary normalization condition. The value of $\omega$ must be guessed. The equations are then integrated from $z = 0$ to $z = z_1$, and the value of $\omega$ is tuned so that the matching condition is satisfied.

To evaluate the function $U(a, x)$ and its derivative numerically, we use the following method. When $a > 0$ and $x^2 + 4a \gg 1$, Darwin's expansion (AS, eq. [19.10.2]) provides an accurate asymptotic approximation. If these conditions are not satisfied, we choose a positive integer $N$ such
that \( U(a + N, x) \) and \( U(a + N + 1, x) \) can be evaluated accurately using Darwin’s expansion. These values are used to initialize the recurrence relation

\[
U(a + n - 1, x) = xU(a + n, x) + \left( a + n + \frac{1}{2} \right)U(a + n + 1, x)
\]

(51)

(AS, eq. [19.6.4]), which is then iterated to determine \( U(a, x) \). (The recurrence is stable in this direction.) Finally, the recurrence relation

\[
U'(a, x) = -\frac{1}{2}xU(a, x) - \left( a + \frac{1}{2} \right)U(a + 1, x)
\]

(52)

(AS, eq. [19.6.1]) provides the derivative.

### 4.4. Asymptotic Solutions in the Limit \( kH \rightarrow \infty \)

As waves propagate radially away from the resonances where they are excited, the dispersion relation is often followed into a limit in which \( kH \) is large. This can lead to wave channeling, as described in Paper I, and it is important to determine the behavior of the modes in this limit so that the effects of nonlinear dissipation can be estimated.

In a purely polytropic disk, Ogilvie (1998) showed that the \( f, p, \) and \( g \) modes all become trapped near the surfaces of the disk in this limit and have \( \hat{\omega}^2/\Omega_\perp^2 = O(kH) \). In contrast, the \( r \) modes become trapped near the midplane and have \( \hat{\omega}^2/\Omega_\perp^2 = O((kH)^{-1}) \). (In the exceptional case when the disk is marginally stable to convection, the \( r \) modes have \( \hat{\omega}^2/\Omega_\perp^2 = O(kH)^{-2} \) and do not become localized.)

In a purely isothermal disk, the limiting behavior of the dispersion relation (eq. [45]) is easily found to be

\[
\hat{\omega}^2 = c^2k^2 + \kappa^2 + (n + 1)\Omega_\perp^2 + O((kH)^{-2})
\]

for the \( p \) modes, and

\[
\hat{\omega}^2 = (n + 1)\frac{k^2}{k^2H^2} + O((kH)^{-4})
\]

for the \( r \) modes. Evidently the 2D mode may be considered to correspond to the case \( n = -1 \) in equation (53).

For the mixed model considered in this paper, it is clear that the limiting behavior of the \( r \) modes will agree with the purely polytropic case and will not be affected by the outer layers (except in the marginally stable case). This cannot be true of the other modes, however, since the surface at which they would have become concentrated is no longer present. Instead, the wave action moves out into the isothermal layer. We seek a solution in which, in accordance with the behavior of all modes other than \( r \) modes in the purely isothermal disk,

\[
\hat{\omega}^2 = c^2k^2 + \kappa^2 + \left( \frac{1}{2} - \hat{\alpha} \right)\Omega_\perp^2 + O((kH)^{-2/3}),
\]

where \( \hat{\alpha} \) is a constant to be determined. (The scaling of the remainder term will be seen later to be justified.) Then

\[
a = \hat{\alpha} + O((kH)^{-2/3}).
\]

The matching condition at \( z = z_i \) is, from equation (50),

\[
\frac{u}{w} = -\frac{ikH}{(1/2 - \hat{\alpha})}\left[ \frac{U'(\hat{\alpha}, x_i)}{U(\hat{\alpha}, x_i)} - \frac{x_i}{2} \right] + O((kH)^{1/3}).
\]

Now consider the limiting form of the equations in the polytropic layer. A first examination of equations (46) and (47) yields

\[
\frac{\partial u}{\partial z} \sim ikw
\]

and

\[
\frac{\partial w}{\partial z} \sim -ik\left( \frac{z_i^2 - z^2}{H_i^2 - z^2} \right)w,
\]

which imply

\[
\frac{\partial^2 u}{\partial z^2} \sim k^2\left( \frac{z_i^2 - z^2}{H_i^2 - z^2} \right)u.
\]

The solution in \( z < z_i \) is an evanescent WKB function, but there is a turning point at \( z = z_i \). In the neighborhood of the interface, the solution must be described using an Airy function. For \( (z_i - z)/z_i = O((kH)^{-2/3}) \), one finds

\[
u \sim A_i\left( \frac{2z_i}{H_i^2 - z_i^2} \right)^{1/3}k^{2/3}(z_i - z),
\]

\[
w \sim ik^{-1/3}\left( \frac{2z_i}{H_i^2 - z_i^2} \right)^{1/3}A_i\left( \frac{2z_i}{H_i^2 - z_i^2} \right)^{1/3}k^{2/3}(z_i - z),
\]

subject to an arbitrary normalization, and so

\[
\frac{u}{w} \sim -ik^{1/3}\left( \frac{2z_i}{H_i^2 - z_i^2} \right)^{-1/3}\frac{A_i(0)}{A_i(0)} = O((kH)^{1/3}),
\]

at the interface. For consistency with equation (57), we require

\[
\frac{U'(^\ast \alpha, x_i)}{U(^\ast \alpha, x_i)} - \frac{x_i}{2} = 0.
\]

This transcendental equation for \( ^\ast \alpha \) has infinitely many roots, each of which corresponds to the limiting form of one branch of the dispersion relation, according to equation (55).

### 5. Propagation of the \( f \) Mode

#### 5.1. Numerical Results

As in Paper I, we focus on the properties of the \( f^e \) mode, since it is by far the dominant mode excited at an LR. In obtaining numerical solutions, we have considered a Keplerian disk whose polytropic layer has index \( s = 3 \) and adiabatic exponent \( \gamma = 5/3 \). We have examined four different values of the effective optical depth: \( \tau = 10, 100, 1000, \) and 10,000. The parameters of the four models are summarized in Table 1. The quantities given are the ratio \( \Sigma/\Sigma_p \) of the surface densities of the isothermal and polytropic layers, the ratio \( T_j/T_m \) of the temperature of the isothermal atmosphere to the temperature at the midplane, the dimensionless height \( z_j/H_0 \) of the interface, the dimensionless scale height \( H/H_0 \) of the atmosphere, the fraction \( f \) of the torque exerted at an LR that is carried by the \( f^e \) mode, and the eigenvalue \( ^\ast \alpha \) of equation (64) corresponding to the \( f^e \) mode.
TABLE 1
PARAMETERS OF THE FOUR MODELS

| τ          | Σ/Σ₀ | T/T₀ | z₁/Hₛ | H/Hₛ | f   | a    |
|------------|------|------|-------|------|-----|------|
| 10…       | 1.266×10⁻¹ | 0.7186 | 0.5305 | 0.2997 | 0.9771 | −2.558 |
| 100…      | 8.872×10⁻³ | 0.4041 | 0.7719 | 0.2248 | 0.9687 | −5.751 |
| 1000…     | 8.025×10⁻⁴ | 0.2272 | 0.8791 | 0.1685 | 0.9679 | −10.587 |
| 10000…    | 7.670×10⁻⁵ | 0.1278 | 0.9339 | 0.1264 | 0.9678 | −18.529 |

In Figure 1 we plot the dispersion relation for the $f^e$ mode in the four models. This is compared with the dispersion relation for a purely polytropic disk and also with the limiting form given by equation (55). It can be seen that the purely polytropic dispersion relation provides a good approximation until the wave action of the mode migrates into the atmosphere, at which point the approximation given by equation (55) becomes good. The value of $kH_s$ at which this transition occurs increases with increasing $τ$. Note that the eigenvalue $a$ is a negative number whose magnitude increases with increasing $τ$. According to the correspondence $a = −n − 2$, this means that, in an optically thick disk, the atmospheric part of the eigenfunction of the $f^e$ mode does not resemble the 2D mode of a purely isothermal disk but is more like the tail of a high-order $p$ mode after its final node.

We now consider the propagation of the $f^e$ mode away from an LR, as in Paper I. Away from the resonance, the radial flux of angular momentum associated with the wave (averaged over $t$, integrated over $\phi$ and $z$),

$$ F^{(a)} = \frac{\pi \rho \sigma}{k} \left( \frac{\omega^2 - \kappa^2}{\omega^2} \right) \int \rho |u|^2 dz, \quad (65) $$

is independent of $r$, and this condition determines the relative normalization of the wave at each radius. Our method of estimating the nonlinearity of the wave at each radius is to locate the value of $z$ at which the peak of the density of angular momentum wave action (averaged over $r$),

$$ \mathcal{N}^{(a)} = \left( \frac{m}{2\sigma} \right) \rho (|u|^2 + |w|^2), \quad (66) $$

occurs, and to compute the (isothermal) Mach number of the rms velocity perturbation,

$$ \mathcal{M} = \left( \frac{(1/2) (|u|^2 + |v|^2 + |w|^2)}{p/\rho} \right)^{1/2}, \quad (67) $$

at that height.

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**FIG. 1.**—Local dispersion relation (solid line) for the $f^e$ mode in a Keplerian disk consisting of a polytropic layer with $s = 3$ and $γ = 5/3$ matched to an isothermal atmosphere, dispersion relation for a purely polytropic disk (dotted line), and asymptotic approximation given in eq. (55) (dashed line). The four panels correspond to optical depths $τ = 10, 100, 1000,$ and $10,000$. 
Since this measure depends on the overall amplitude of the wave, we normalize it in terms of its value at the resonance as follows. In the neighborhood of the LR, the horizontal components of the velocity dominate, and the peak of the wave action density occurs on \( z = 0 \). The form of the inner solution is (cf. Paper I)
\[
\begin{align*}
u &\sim Cu(z)[A_i(qx) \pm iG_i(qx)] , \\
v &\sim iCv(z)[A_i(qx) \pm iG_i(qx)] ,
\end{align*}
\]
where \( x = (r - r_L)/r_L, C \) is a constant, \( q \) and \( \bar{u}(z) \) are the \( f \)-mode eigenvalue and eigenfunction discussed in Paper I, and \( v = -(2B/\partial \bar{u}^\omega) \). The constant \( C \) is determined by asymptotic matching to the WKB solution away from the LR. Now the maximum value of \( |A_i(qx)|^2 + |G_i(qx)|^2 \) is approximately 0.3603 and occurs at \( qx \approx -1.845 \). This determines the maximum Mach number at the LR, which we call \( \mathcal{M}_L \). The scalings are such that \( \mathcal{M} / \mathcal{M}_L \) away from resonance is \( \mathcal{O}(H_s/r)^{1/6} \), and for this reason we evaluate the quantity
\[
\left( \frac{\mathcal{M}}{\mathcal{M}_L} \right) \left( \frac{r}{H_s} \right)^{1/6} .
\]

As in Paper I, we have assumed that the disk is Keplerian, so that the dimensionless intrinsic frequency of the wave is given by
\[
\frac{\dot{\omega}}{\Omega} = m \left[ \left( \frac{r}{r_c} \right)^{3/2} - 1 \right] ,
\]
where \( r_c \) is the corotation radius of the mode. We have also assumed that \( H_s \propto H \propto r \) and \( \Sigma \propto r^{-1} \). This variation of parameters was selected so that the wave Mach number would be finite at the radial center of the disk. In this way, we have removed geometrical focusing effects from the wave-channeling effects of interest in this paper.

In Figure 2 we plot the variation with \( r \) of the height \( z_{\text{peak}} \) of the local vertical peak in the wave action density. We consider both inward propagation from the inner LR and outward propagation from the outer LR; we consider azimuthal wave numbers \( m = 2 \) and \( m = 10 \); and we consider the four models with different optical depths. In Figure 3 we plot the quantity in equation (70) for each of these cases. As Figure 2 shows, the \( f \) mode is launched at resonance with the peak of wave action density located at the midplane, and the peak rises away from the midplane as it propagates away from the resonance. When \( z_{\text{peak}} \) reaches the base of the atmosphere, it resides there over a nonzero interval in radius, as is apparent in Figure 2 by the flat slope of the curves. This discontinuous behavior of the slope is caused by our idealization of the transition from the polytropic interior to the isothermal atmosphere as occurring abruptly in the vertical direction. Notice that the rise of \( z_{\text{peak}} \) with distance from the resonance increases with optical depth. However, the peak never rises to great heights in the atmosphere. Figure 4 shows how the wave action density is distributed vertically.

We have verified that the waves are not artificially confined within the disk in our model, either by the discontinuity in the sound speed at the interface (which results from a change in the effective adiabatic exponent) or by the discontinuity in the buoyancy frequency. If the isothermal layer is treated as having an adiabatic exponent equal to that of the polytropic layer, so that the sound speed is everywhere continuous, the wave migrates into the atmosphere at nearly
the same stage during the radial propagation. The peak of the wave action density rises only slightly higher than in the case of a truly isothermal atmosphere. If, instead, the disk is treated as adiabatically stratified in both layers, so that the buoyancy frequency is everywhere continuous (and zero), the results are almost indistinguishable from those we have presented here. We emphasize that radially propagating waves in an accretion disk are generically confined in the vertical direction because the vertical gravity increases with height above the midplane.

6. SUMMARY AND DISCUSSION

We have analyzed the effects of a disk atmosphere on the propagation of a wave, the \( f \) mode, launched from a Lindblad resonance in a thermally stratified disk. The atmosphere was modeled as an isothermal layer that resides above a polytropic region that extends down to the midplane. The polytropic region represents the (vertically) optically thick interior of the disk. The effects of the atmosphere can be important because the \( f \) mode becomes progressively...
more confined to the disk surface with distance from resonance. The wave energy is concentrated in the vertical direction as the wave propagates radially (see Fig. 4). The peak of wave action density in the disk follows the trajectories shown in Figure 2 for various values of azimuthal wave-number \( m \) and disk optical depth \( \tau \). The dominant amplification of a wave occurs in the thermally stratified region below the disk atmosphere through the process of wave channeling, as was anticipated in Paper I (see the discussion in § 8.2). The disk atmosphere lessens the degree of wave amplification.

As was found in Paper I, the launched wave (\( f \) mode) behaves more like a surface gravity wave as it propagates radially away from the resonance. In a purely vertically polytropic disk with no atmosphere, the vertical extent of the surface gravity wave decreases with increasing radial distance from resonance. As a result, there is usually a substantial increase in wave amplitude on a radial scale of order \( r_{\text{L}}/m \) from resonance, through a process termed wave channeling. In the presence of an atmosphere, the effects of wave channeling are lessened (see Fig. 3). The increase in wave amplitude becomes limited once the wave enters the disk atmosphere. For disks of smaller optical depths, the thermal stratiﬁcation is small and the wave enters the isothermal layer on a radial scale somewhat smaller than \( r_{\text{L}}/m \). Consequently, the wave behaves more like the 2D acoustic mode at most radii, in accordance with the expected limiting behavior of a vertically isothermal disk, in which the wave is 2D at all radii and its peak never rises above the midplane.

As we described in Paper I, our results are very different from the predictions of Lin et al. (1990). Those authors assumed that wave action would be lost from a thermally stratified disk because the waves would immediately refract (over a radial extent of order \( H \)) and propagate vertically upward into the atmosphere until they eventually dissipated. In contrast, we find that the \( f \) mode is confined within the disk and never propagates vertically. Only when wave channeling causes a substantial fraction of the wave action to be subject to nonlinearity is the wave likely to shock and dissipate.

For disks around individual stars (circumstellar disks) in close binary star systems (\( m = 2 \)), the increase in wave Mach number from resonance to disk center is typically less than a factor of 10, and it is somewhat less for lower optical depths (see Fig. 3a). For disks around binary star systems (circumbinary disks) (\( m = 2 \)) the increase in wave Mach number from resonance is large over a scale of order the resonance radius (see Fig. 3c). For waves that are mildly nonlinear at resonance, nonlinear wave damping is likely to be important in such disks of modest vertical optical depth (\( \tau > 10 \)). These effects are much stronger for circumbinary than for circumstellar disks.

For protostellar disks (\( m \sim 10 \); see Figs. 3b and 4b), planet-forming regions are expected to be optically thick (Bell et al. 1997). Typical wave velocities near a giant planet are likely to be mildly supersonic, with Mach number around 0.2 (Goldreich & Tremaine 1979). In such a situation, wave channeling would likely lead to shocks for disks of moderate optical depths (of order 100) on a radial scale of a few times the disk thickness.

We emphasize that the detailed nonlinear outcome of the wave-channeling process remains uncertain. While the wave action is concentrated in the polytropic layer, the motion is approximately incompressible, but this is no longer true once the wave migrates into the atmosphere, where shocks may limit the amplitude. For this reason we have used the Mach number of the wave motion as a measure of nonlinearity. The development of shocks or other nonlinear wave phenomena under these circumstances could be usefully investigated using numerical simulations.

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