Detection of phosphatidylserine-positive exosomes for the diagnosis of early-stage malignancies

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Background: There has been increasing interest in the detection of tumour exosomes in blood for cancer diagnostics. Most studies have focussed on miRNA and protein signatures that are surrogate markers for specific tumour types. Because tumour cells and tumour-derived exosomes display phosphatidylserine (PS) in their outer membrane leaflet, we developed a highly sensitive ELISA-based system that detects picogram amounts of exosomal phospholipid in plasma as a cancer biomarker.

Methods: This report describes the development of a highly specific and sensitive ELISA for the capture of PS-expressing tumour exosomes in the blood of tumour-bearing mice. To monitor the relationship between tumour burden and tumour exosome plasma concentrations, plasma from one transplantable breast cancer model (MDA-MB-231) and three genetic mouse models (MMTV-PyMT; breast and KIC and KPC; pancreatic) were screened for captured exosomal phospholipid.

Results: We show that quantitative assessment of PS-expressing tumour exosomes detected very early-stage malignancies before clinical evidence of disease in all four model systems. Tumour exosome levels showed significant increases by day 7 after tumour implantation in the MDA-MB-231 model while palpable tumours appeared only after day 27. For the MMTV-PyMT and KIC models, tumour exosome levels increased significantly by day 49 (P < 0.0002) and day 21 (P < 0.001) while tumours developed only after days 60 and 40, respectively. For the KPC model, a significant increase in blood exosome levels was detected by day 70 (P = 0.023) when only preinvasive lesions are microscopically detectable.

Conclusions: These data indicate that blood PS exosome levels is a specific indicator of cancer and suggest that blood PS is a biomarker for early-stage malignancies.

There is increasing evidence that, in contrast to normal non-tumourigenic cells, many tumour cells expose PS on their surface (Connor et al, 1989; Utsugi et al, 1991; Riedl et al, 2011; Bujak et al, 2015; Vallabhappurapu et al, 2015) by a mechanism that is unrelated to PS externalisation commonly seen in dying apoptotic cells (Dong et al, 2009; Vallabhappurapu et al, 2015). Because tumour cells are known to secrete large amounts of plasma membrane-derived exosomes to the bloodstream (Keller et al, 2009; Logozzi et al, 2009; Rabinowits et al, 2009; Khan et al, 2012; Noerholm et al, 2012; Szajnik et al, 2013; Melo et al, 2015; Cappello et al, 2017), we tested whether the presence of PS-expressing exosomes in blood would be diagnostic for cancer. For this purpose, we developed a highly stringent ELISA for the detection of PS in patient blood. Our data showed that cancer patient blood, but not blood from tumour-free individuals, contained significant amounts of tumour-derived PS-expressing exosomes, the presence of which was diagnostic for cancer (Lea et al, 2017). Although this retrospective study predicted with 100% accuracy, the presence

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of disease in patients with suspect ovarian malignancies, the test would need to be significantly more sensitive for the detection of indolent disease in asymptomatic patients.

The stringency of the PS ELISA was ensured by employing a binary PS-dependent test system consisting of beta-2-glycoprotein 1 (β2GP1)-mediated PS-specific exosome capture (Wurm, 1984; Willems et al., 1996) with a tetravalent β2GP1 antibody and detection with PS-specific annexin V. However, maximum test sensitivity was likely compromised because (1) annexin V requires 1–2 nm calcium for PS binding while Ca\(^{2+}\) is known to impede the binding of β2GP1 to PS (Wurm, 1984; Willems et al., 1996), (2) phospholipids are highly mobile in the plane of bilayer membranes raising the possibility that a large fraction of the exosomal PS could have been sequestered to the β2GP1/annexin V contact points precluding detection with annexin V and (3) individual patient levels of β2GP1 could have a significant impact on particle capture to the antibody-coated ELISA plate.

In principle, these issues could be overcome by employing (1) a Ca\(^{2+}\)-independent assay system, (2) noncompeting exosome capture and detection technologies and (3) a capture antibody that is not dependent on patient β2GP1 levels. Using this rationale for modification of the detection system, we show that a unary system was of sufficient stringency to distinguish between normal- and tumour-derived blood exosomes with >25-fold increase in sensitivity over previously described methodologies (Lea et al., 2017). Here we show the utility of this system using four mouse tumour models where, without exception, tumours were detected much earlier than was clinical evidence of disease. Taken together, these data show that the presence of exosomal PS in blood is a reliable surrogate for the detection of early malignancies.

**MATERIALS AND METHODS**

**Antibodies: expression of an engineered bivalent 'betabody'.** Betabody was generated by fusing domains 1 and 5 of the PS-binding plasma protein, human β2GP1, to the C-termini of the CH3 domains of the Fc fragment of human IgG1. A Gly4Ser linker was inserted between the CH3 domains, domains 1 and 5 of β2GP1. The recombinant betabody was expressed as a dimer. The fusion protein was expressed by transfection of the plasmids in CHO cells. Expressing clones were identified by PS ELISA and stably transfected clones were selected. Fusion proteins were purified from culture supernatants with protein A-Sepharose chromatography, dialysed into PBS and stored at −70 °C.

**Mouse samples.** Longitudinal blood samples (~150 μl) were collected by submandibular bleeds (Golde et al., 2005) at the indicated time points. Blood was collected in 1.5 ml polypropylene tubes containing K\(_3\)EDTA (~0.1 mg). Platelet poor plasma was prepared by centrifugation for 10 min at 700 g to remove blood cells. Plasma was collected, centrifuged again at 12000 g for 5 min to remove platelets and large extracellular vesicles and stored at −20 °C.

**Patient blood.** Blood was collected from patients with confirmed ovarian malignancies and from healthy sex-matched donors obtained from the UT Southwestern Gynecologic Oncology clinics and UT Southwestern Biomarker Research Core, respectively. All samples were collected in accordance with UT Southwestern Institutional Review Board (STU 062010-201 and STU 092014-013). Donors were selected at random without any inclusion or exclusion criteria. Informed consent was obtained before blood collection. Blood was collected in K\(_3\)EDTA vacutainers. Platelet poor plasma was prepared as described above.

**Animal models.** All animals were housed in a pathogen-free facility with access to food and water ad libitum. Experiments were approved and performed in accordance with the Institutional Animal Care and Use Committee at the University of Texas Southwestern Medical Center.

MDA-MB-231. MDA-MB-231 breast carcinoma cells were maintained in monolayer culture in Eagle’s minimum essential medium supplemented with 5% foetal bovine serum, sodium pyruvate, L-glutamine (2 mM), nonessential amino acids and 2× vitamin solution. The cultures were incubated at 37 °C in a humified atmosphere of 5% CO\(_2\) and 95% air. Tumour cells were harvested for passage or inoculation by washing the monolayer with PBS, followed by a brief incubation in 0.25% trypsin/0.02% EDTA. The cells were collected, washed, resuspended in PBS and confirmed >95% viable by trypan blue dye exclusion. For tumour cell implantation, female Balb/c SCID mice were anaesthetised with isoﬂurane and a 5-mm incision was made in the skin over the lateral thorax. The mammary fat pad was exposed and 0.1 ml of cell suspension (4 × 10\(^7\) ml\(^{-1}\)) was injected into the tissue through a 27-gauge needle. Tumour size was measured with calipers at the indicated time points. Tumour volume was calculated by \(D \times d^2 \times 0.5\), where \(D\) is the long diameter and \(d\) is the perpendicular short diameter.

**KIC mice.** LSL-Kras\(^{G12D}\), Cdkn2a\(^{lox/lox}\); p48\(^{Cre}\) (mPDAC) mice were generated as previously described (Aguiro et al., 2003; Dineen et al., 2010). Histologically detectable tumour incidence in this genetically engineered model is 100% at 4–5 weeks of age and median survival of untreated animals is ~60 days (Ostapoff et al., 2013).

**KPC mice.** LSL-Kras\(^{G12D/+}\); LSL-Trp\(^{R172H/+}\); P48\(^{Cre}\) (KPC) were obtained from National Cancer Institute (NCI) Mouse Repository (Hingorani et al., 2005). In this model, preinvasive lesions become histologically detectable by 10 weeks of age, whereas primary well-differentiated glandular pancreatic ductal adenocarcinoma is evident at ~4 months of age. Median survival of these animals is 5 months and 100% die by 12 months (Hingorani et al., 2005).

**Liposome standards.** Large unilamellar vesicles (LUV) were prepared by extrusion through 0.2 μm membranes (Avanti mini-extruder, Avanti Polar Lipids, Birmingham, AL, USA). Briefly, liposomes were prepared by mixing PS, phosphatidylethanolamine (PE) and phosphatidylcholine (PC) (0.33 mg each) in CHCl\(_3\). The lipids were dried under N\(_2\), resuspended in 1 ml of HEPES–saline and extruded though the membrane 15 times. Median size of the prepared vesicles was determined by dynamic light scattering and found to be ~171 nm in diameter (Supplementary Figure S1). For standard curves, we assumed that 50% of each phospholipid species localised in the outer leaflet of the LUV. Thus 1 mg of LUV containing 33.3% PS and 33.3% PE would present with 165 μg of PS per ml and 165 μg of PE per ml accessible for binding and detection, respectively.

**ELISA assay.** Immulon 1B U-bottomed ELISA plates were coated with 100 μl of KL1SC (10 μg ml\(^{-1}\)) overnight at 4 °C. The plates were then washed with PBS and blocked with BSA (2% in PBS) at 37 °C for 1 h. The plates were again washed and the wells were loaded with 100 μl of PS/PE/PC (1/1/1) LUV (double diluted from 6 μg ml\(^{-1}\) in 50% normal plasma) or 100 μl of a 1/2 dilution solution. The plates were coated with 100 μl of KL1SC (10 μg ml\(^{-1}\)) overnight at 4 °C.
of test plasma in PBS. The plates were then incubated at 37 °C for 3 h and washed with PBS. In all, 100 µl of 500 ng ml⁻¹ of biotinylated duramycin (Molecular Targeting Technologies, West Chester, PA. no. B1003) in PBS was added for 1 h at 20 °C. The plates were then washed with the same buffer and HRP-streptavidin (1/1000 100 µl in PBS) was incubated for 10 min at 20 °C. After washing with PBS, the plates were developed with 100 µl of OPD (0.5 mg ml⁻¹) and H₂O₂ (1 µl ml⁻¹) in 50 mM citrate phosphate buffer, pH 4.3. The reaction was stopped with 0.18 M H₂SO₄ and absorbance was determined at 490 nm using a Synergy H1 reader (BioTek Industries, Winoosk, VT, USA).

Statistics. Student’s t-test was used to evaluate differences in the blood PS levels between tumour-bearing mice and their control littermates. Statistical tests were two sided, and a P<0.05 was considered statistically significant. Analysis was performed using SlideWrite Plus V7 (Advanced Graphics Software, Inc., San Diego, CA, USA).

RESULTS

β2GP1 is a plasma protein that is known to bind negatively charged PS at low affinity (~14 µM) through a positively charged sequence present in domain 5. Upon dimerisation with β2GP1 antibodies however, its affinity to PS increases >300-fold (Willems et al, 1996). Because antibody-mediated binding of β2GP1 to PS-expressing membranes is also dependent on the affinity of the antibodies to β2GP1, we elected to eliminate this dependency by generating an engineered bivalent ‘betabody’, coined KL15C, consisting of human IgG1 Fc fused to domains 1 and 5 (the PS-binding domain) of β2GP1 (Figure 1 and Supplementary Figure S2). In principle, such a bivalent construct will not only have high affinity but will also negate potential assay dependence on β2GP1, the amounts of which are highly variable between individuals.

To reduce the possibility of compromised assay sensitivity because of (1) sequestration of exosomal PS to the KL15C capture points that would effectively decrease the density of PS available for binding to (labelled)-annexin 5 and (2) loss of KL15C-captured particles because of competition between the annexin 5 and KL15C, we investigated the use of a PS-independent detection system. Because tumour exosomes contain large amounts of PE (Supplementary Figure S3) and the mole fraction of PE in biological membranes is significantly higher than any membrane protein, we used the PE-specific binding agent, duramycin (Hayashi et al, 1990; Zimmermann et al, 1993), for detection of PS-expressing exosomes. Figure 2A shows the high specificity of duramycin and KL15C to PE and PS, respectively. Capture of LUV containing 50% (wt/wt) PE or PS in PC showed that there was no cross-reactivity between KL15C and duramycin and duramycin and annexin 5. At high concentrations, however, PE appeared to bind to the KL15C-coated plates. Additional experiments indicated this was due to non-specific binding of PE to BSA used as a blocking agent (Supplementary Figure S4). Taken together, these results suggest that combining KL15C/PS-dependent tumour exosome capture with a duramycin/PE-based detection system would significantly improve assay sensitivity. Figure 2B shows approximately five-fold differential in PS levels between two confirmed ovarian carcinoma patients and two healthy sex-matched individuals using PS capture together with PS detection (KL15C with annexin 5), while using the same plasma, KL15C capture combined with PE detection (duramycin) increased sensitivity >25-fold (>140-fold difference between malignant and healthy). Interestingly, an identical experiment using duramycin-dependent PE capture in lieu of KL15C-dependent PS capture resulted in a dramatic loss in sensitivity (Figure 2C). This was likely due to a significant decrease in assay stringency because, while KL15C captures only PS-expressing tumour exosomes, duramycin captures all exosomes of which only a fraction are tumour exosomes. As previously suggested (Logozzi et al, 2009; Cappello et al, 2017), these data indicate that cancer patient blood contains significantly more exosomes than blood from normal individuals. Nonetheless, the presence of a malignancy cannot be confirmed in the absence of a tumour-specific biomarker such as PS. Taken together, these data show that an ELISA employing PS-dependent capture with PE-dependent detection significantly improves assay sensitivity without compromising system specificity.

Animal studies. Several mouse model systems were employed to determine the minimal tumour burden required for detection of PS-expressing tumour-derived exosomes in blood. These included a transplantable xenogeneic human breast cancer model (MDA-MB-231) and three genetic models, breast (PyMT) and pancreatic (KIC and KPC) cancers, that produce spontaneous tumours at 2–5 months of age.

MDA-MB-231. Five 8-week-old SCID mice were injected orthotopically with 4 × 10⁶ cells. The animals were bled just before tumour implantation and weekly thereafter. Plasma was pooled and stored at −20 °C until assayed. Although the smallest palpable tumours were first observed at day 28, increased blood PS levels were already detected at day 7 and continued to increase with tumour size (Figure 3A). Assessment of blood exosome numbers did not reveal measurable increases until day 14 (Figure 3B), 7 days later than tumour could be detected by PS ELISA (Figure 3A). It should be
noted that while there was a direct relationship between blood PS levels and the number of exosomes through day 28, the ratio of PE/exosome decreased after day 28 (Figure 3B). This result is consistent with the apparent saturation of detectable PE shown in Figure 3A. MMTV-PyMT. Five PyMT transgenic mice and four littermate controls were bled beginning at day 35 of age and weekly thereafter and monitored for tumour growth. Figure 3C shows that blood tumour exosome levels seemed to increase at day ~42 although the differences between the two groups were not statistically significant. By day 49, however, statistically significant differences were observed (P < 0.0002; Figure 3D). Palpable tumours were observed only after ~60 days. No tumours were detected in the littermate control animals. Values from individual mice and their littermate controls are shown in Supplementary Figure S5.

KIC. Fourteen KIC transgenic mice and 14 littermate controls were bled beginning at day 21 of age and weekly thereafter. Mice were killed when their body weights decreased >1 g from the previous week’s value and the weights of the pancreas were

Figure 2. Determination of blood exosomal PS levels for the detection of tumours. (A) ELISA plates coated with KL15C (PS capture) and duramycin (PE capture) were incubated with the indicated amounts of vesicles containing 50% PS or 50% PE (wt/wt) in PC. After washing, the plates were incubated with biotinylated annexin 5 in the presence of Ca²⁺ (2 mM) or biotinylated duramycin (no Ca²⁺) and the amounts of PS or PE captured was quantified with HRP–streptavidin. The amounts of phospholipid indicated on the abscissa represents the assumed amounts of aminophospholipids expressed on the vesicle surface that is available for binding (50% aminophospholipid in PC with half of that in the particles outer leaflet). Closed circles, PS/PC vesicles; open circles, PE/PC vesicles; solid line, annexin 5 detection (PS); dotted line, duramycin detection (PE). (B and C) Human EDTA plasma (100 μl of 50% diluted plasma in PBS) from two confirmed ovarian carcinoma patients (dark grey and light grey) or two sex-matched healthy individuals (diagonal lines and crossed lines) were incubated on ELISA plates coated with (B) KL15C or (C) duramycin. After exosome capture, the plates were developed with both probes as described for the synthetic vesicles in (A). The values shown in (B and C) were calculated from the standard PS and PE curves shown in (A) (PS, left; PE, right) and are the average of two confirmed cancer patients and two healthy individuals.
determined (Supplementary Table S1). Figure 3E shows that the average blood tumour exosome levels were already significantly higher than the control group at the first bleed on day 21 ($P<0.001$; Figure 3F). Tumour-positive sonograms, however, could only be obtained after day 40 (Supplementary Figure S6B).

In all, 100% of the KIC mice developed pancreatic tumours (see one exception, Supplementary Table S1). No tumours were observed in the littermate controls (Supplementary Figure S6C). Values from individual mice and their littermate controls are shown in Supplementary Figure S6A.
KPC. Nine KPC transgenic mice and eight littermate controls were bled beginning at 21 days of age and weekly thereafter. Mice were killed when their body weights decreased >1 g from the previous weeks’ value and the weights of the pancreas were determined (Supplementary Table S2). Figure 3G shows that the average tumour exosome levels began to increase by day 35 and was significantly higher (P = 0.023) than the littermate controls by day 70 (Figure 3H).

DISCUSSION

Analysis of blood exosomes for cancer biomarkers has recently come to the forefront of liquid biopsy-based cancer diagnostics. For the most part, these studies are based on increased blood exosome levels (Logozzi et al, 2009; Cappello et al, 2017) and the isolation (Melo et al, 2015; De Palma et al, 2016; Dong et al, 2016; Welton et al, 2016) or capture (Jorgensen et al, 2013; Jakobsen et al, 2015) of plasma exosomes, which are then probed for specific RNA (De Palma et al, 2016; Dong et al, 2016; Fais et al, 2016) or protein signatures (Jakobsen et al, 2015; Melo et al, 2015; Fais et al, 2016; Welton et al, 2016). Although specific biomarkers can be diagnostic for a certain tumour type, a surrogate pan-cancer biomarker could find utility in the diagnosis of many cancer types. This is particularly relevant for cancers for which there are no routine screening protocols (e.g., renal, brain, bone and pancreatic cancer). Moreover, such a biomarker could be predictive of recurrence and response to therapy.

In this study, we describe an ELISA-based assay system that detects picogram amounts of phospholipid exposed on exosomes in the blood of tumour-bearing mice. Unlike previously described protein-dependent exosome capture and detection ELISAs (Logozzi et al, 2009), the detection system described here is based on highly PS-specific β2GP1-mediated exosome capture and quantitation of exosomal PE with duramycin. This system overcomes potential deficiencies in our recently described assay system (Lea et al, 2017) where quantitation could have been compromised because of varying β2GP1 levels between individuals and competition between the binding (capture) of β2GP1 to PS and exosome detection with annexin 5 that also binds PS. Moreover, as Ca\(^{2+}\) is known to inhibit β2GP1 binding to PS (Willems et al, 1996) but is required for annexin 5 detection of PS, the current Ca\(^{2+}\)-free system eliminates any potential errors that might have occurred due to competition between annexin 5 and β2GP1 that could have led to release of captured exosomes from the plastic plate.

In both breast cancer models, PS-expressing exosomes were detected long before the tumours became palpable. In the MDA-MB-231 and genetic MMTV-PyMT models, detectable increases in tumour exosome blood levels were seen at day 7 after tumour cell inoculation and 42 days of age, while palpable tumours were observed only after days 28 and 63, respectively. Consistent with elevated blood exosome concentrations in cancer patients, the data presented in Figures 3A and B show a concomitant increase in both tumour size and exosome number. In addition, the data show a dramatic increase in the amount of PE/particle through day 28. With continued tumour growth, however, the ratio of PE to particles decreased (Figure 3B). Although this still needs to be confirmed, this was likely due to the saturation of the PE curve at large tumour volumes after day 40 (Figure 3A). In principle, this could be due to saturation of PS-dependent capture and/or PE-dependent detection.

Blood tumour exosome levels were significantly elevated in the pancreatic cancer KIC mice at 21 days of age and in the KPC mice at 70 days of age when only low-grade preinvasive lesions can be seen (Aguirre et al, 2003; Hingorani et al, 2005). For the KIC model, histologically confirmed high-grade adenocarcinomas generally appear at 35 days of age (Aguirre et al, 2003) where blood exosome levels were three-fold higher than the levels of age-matched littermate controls (260 vs 85 pg). The first visible lesions on sonograms appear only after day 40 (Supplementary Figure S6B) where PS exosome levels increase to >350 pg. For the KPC model, histologically confirmed preinvasive pancreatic intraepithelial neoplasia (PanIn) lesions generally appear at 10 weeks of age (Hingorani et al, 2005) where blood exosome levels were ~1.8-fold higher than the levels of age-matched littermate controls (252 vs 143 pg). The first histologically confirmed adenocarcinomas appear at ~4 months of age (Hingorani et al, 2005) when the tumour exosome levels in the KPC mice are ~4-fold higher than in the litter controls (560 vs 142 pg).

In summary, this study provides data that support the high diagnostic power of quantifying PS-expressing tumour exosomes in blood. Although it is difficult to extrapolate these results to tumours in humans, the ability to detect PS-expressing tumour exosomes in the blood of animals earlier than any clinical manifestations of disease suggests that this assay system could find utility in the early detection of human cancers. It should be noted that the assay cannot distinguish between different tumour types or their tissue of origin. From a clinical perspective, this may be viewed as a significant limitation; however, if it indeed diagnoses early disease, further patient workup could determine disease site. Importantly, the test could be useful in population screens by...
detecting indolent asymptomatic disease that would lead to earlier-stage diagnosis resulting in improved clinical outcomes.

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CONFLICT OF INTEREST

The authors declare no conflict of interest.

AUTHOR CONTRIBUTIONS

RS carried out experiments; AJS and RS did the statistical analyses and interpretation of data; AJS, XH and RAB provided administrative and material support; AJS and RS conceived and designed the study; AJS and RS wrote the manuscript. All authors read and approved the final manuscript.

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