Modeling isovolumetric phases in cardiac flows by an Augmented Resistive Immersed Implicit Surface method

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Abstract

A major challenge in the computational fluid dynamics modeling of the heart function is the simulation of isovolumetric phases when the hemodynamics problem is driven by a prescribed boundary displacement. During such phases, both atrioventricular and semilunar valves are closed: consequently, the ventricular pressure may not be uniquely defined, and spurious oscillations may arise in numerical simulations. These oscillations can strongly affect valve dynamics models driven by the blood flow, making unlikely to recovering physiological dynamics. Hence, prescribed opening and closing times are usually employed, or the isovolumetric phases are neglected altogether. In this article, we propose a suitable modification of the Resistive Immersed Implicit Surface (RIIS) method (Fedele et al., Biomech Model Mechanobiol 2017, 16, 1779–1803) by introducing a reaction term to correctly capture the pressure transients during isovolumetric phases. The method, that we call Augmented RIIS (ARIIS) method, extends the previously proposed ARIS method (This et al., Int J Numer Methods Biomed Eng 2020, 36, e3223) to the case of a mesh which is not body-fitted to the valves. We test the proposed method on two different benchmark problems, including a new simplified problem that retains all the characteristics of a heart cycle. We apply the ARIIS method to a fluid dynamics simulation of a realistic left heart geometry, and we show that ARIIS allows to correctly simulate isovolumetric phases, differently from standard RIIS method. Finally, we demonstrate that by the new method the cardiac valves can open and close without prescribing any opening/closing times.

KEYWORDS
cardiac hemodynamics, cardiac modeling, valves

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1 | INTRODUCTION

During the heart cycle, there are two phases in which all cardiac valves are closed and the action of the ventricular displacement affects blood pressure without a net flow. In the left ventricle (the same applies for the right part of the heart), during the isovolumetric contraction, the intraventricular pressure raises up to the point in which the aortic valve opens for the systolic ejection, while in the isovolumetric relaxation the ventricular pressure decreases until reaching the atrial one, thus leading to the opening of the mitral valve. Cardiac valve dynamics is mainly driven by transvalvular pressure drop. Hence, an accurate modeling of the isovolumetric phases in which the intraventricular pressure undergoes rapid changes is an essential prerequisite to capture valve opening and closing, and to properly model their effect on the flow.

The behavior of blood pressure in the heart chambers is determined by the contraction and relaxation of the myocardium. With this in mind, Fluid–Structure Interaction (FSI) models coupling the blood flow with the heart mechanics have been proposed in the literature, or even more realistic electrophysiology-mechanics-hemodynamics models as in, for example, References. However, these coupled models typically entail a high computational cost, and they require a challenging calibration of a huge number of physical parameters, especially in pathological conditions. Because of this, uncoupled (or one-way coupled) approaches have been proposed, to address the sole Computational Fluid Dynamics (CFD) component of the system, with the ventricular displacement prescribed as data coming from analytical functions, clinical measurements, or from electromechanical simulations. Such models mainly differ in the treatment of the valve geometry and dynamics. Mesh-conforming approaches are based on a classical Arbitrary Lagrangian–Eulerian formulation of the flow equations, and they include the Resistive Immersed Surface (RIS) method. These methods sharply track the valve surfaces, but they entail possible issues regarding large mesh deformations and topological changes at valve closure. To avoid the need of a complete remeshing of the computational domain, while maintaining a sharp description of the valve surface, different XFEM/cutFEM methods have been proposed, but their use to simulate cardiac flows at the organ scale has been limited by their relatively high computational cost. On the other hand, fully Eulerian approaches, such as the immersed boundary method, or the Resistive Immersed Implicit Surface (RIIS) method, hinge upon an implicit representation of the leaflets and do not require mesh conformity between the fluid domain and the valves. This allows to track the fluid-valve interface, possibly moving in time, without requiring the fluid mesh to follow the valve. For further details and comparisons among different valve models, we refer the reader to References.

In most of the abovementioned simulations, however, the isovolumetric phases of the heartbeat are neglected due to the non-unique definition of pressure in the ventricle when all valves are closed. This shortcoming is related to the absence of a stress condition on the fluid domain, that would otherwise ensure a correct description of the pressure during isovolumetric phases. This is observed for instance in References, where fully-coupled FSI models are used. Modeling accurately these phases is crucial to simulate the entire cardiac cycle, but also for opening and closing cardiac valves in a way that is driven by the blood flow. Indeed, if the pressure is not correctly simulated in these phases, but is instead subject to large and spurious oscillations, it cannot be used as a driver to open and close cardiac valves.

Some studies have circumvented this issue by introducing a slight compressibility of blood—see, for example, References. However, this assumption may affect the simulation results also in the ejection and filling phases, and the assumption of blood incompressibility is quite established in the cardiovascular modeling community. A way to overcome pressure non-determination, while preserving incompressibility, is provided by the Augmented Resistive Immersed Surface (ARIS) proposed in Reference: when both the mitral and the aortic valves are closed, the RIS method is augmented with a source term concentrated on the valves, to impose a prescribed value for the pressure.

In this work, we introduce an Augmented Resistive Immersed Implicit Surface (ARIIS) method that extends the ARIS capability of treating isovolumetric phases to the framework of the RIS method, thus supporting valves whose mesh is independent of the background fluid mesh and that can move independently of it (cf. Table 1). To quantitatively assess

| TABLE 1 | Features characterizing the RIS, RIIS, ARIS, and ARIIS methods. |
|-----------------|--------------------------|
| **Conforming mesh** | **Non-conforming mesh** |
| No isovolumetric phases | RIS$^{29}$ | RIIS$^{65}$ |
| Isovolumetric phases | ARIS$^{29}$ | ARIIS |

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the results of the method, we propose a simulation setting in a simplified geometry that retains all the characteristics of the heart cycle and may be employed as a benchmark for cardiac hemodynamic solvers. Moreover, we discuss the application of our method to a realistic geometry of the left heart, with a prescribed displacement coming from electromechanical simulations.

The structure of the article is the following. In Section 2, we recall the RIIS method and derive the ARIIS method to prescribe the intraventricular pressure. Then, in Section 3, we assess our new method in different scenarios: first, in Section 3.1, we analyze the idealized case discussed in Reference 29; then, in Section 3.2, we propose a simplified benchmark setting entailing ventricular contraction; a cardiac case in a realistic geometry is considered in Section 3.3. Finally, in Section 3.4, we show that the novel method allows to open and close the valves according to the blood flow conditions, without prescribing opening and closing times a priori.

2 | MATHEMATICAL MODEL

In this section, we describe the cardiac hemodynamic model and we introduce a new augmented version of the RIIS method. Specifically, Section 2.1 is devoted to the Navier–Stokes equations in ALE framework with RIIS modeling of valves, and Section 2.2 to the derivation of the ARIIS method.

2.1 | The RIIS method for Navier–Stokes equations in ALE form

In heart chambers, blood can be considered as an incompressible, viscous, and Newtonian fluid. Let \( \mathbf{u} : \Omega \times (0,T) \to \mathbb{R}^3 \) and \( p : \Omega \times (0,T) \to \mathbb{R} \) be the fluid velocity and pressure, respectively, where \( T \) is the final computational time, and \( \Omega \) the domain in current configuration at time \( t \), with \( t \in (0,T) \). The domain at any time \( t \) is defined in terms of a displacement field \( \mathbf{d} : \Omega_0 \times (0,T) \to \mathbb{R}^3 \) as follows:

\[
\Omega = \left\{ \mathbf{x} \in \mathbb{R}^3 : \mathbf{x} = \mathbf{x}_0 + \mathbf{d}(\mathbf{x}_0, t), \mathbf{x}_0 \in \Omega_0 \right\}.
\]

Notice that \( \Omega \) is a time-dependent domain, but we omit the subscript \( t \) to keep the notation simpler, and \( \Omega_0 \) is the domain in its reference configuration. Furthermore, we denote by \( \mathbf{u}_{\text{ALE}} : \Omega \times (0,T) \to \mathbb{R}^3 \) the ALE velocity\(^{77,78}\) and we compute it by deriving \( \mathbf{d} \) with respect to time. The domain displacement is the solution of the following harmonic extension problem:

\[
\begin{align*}
-\nabla \cdot (\psi \nabla \mathbf{d}) &= 0 & \text{in } \Omega_0 \times (0,T), & \quad (a) \\
\mathbf{d} &= \mathbf{d}_{\partial \Omega}(\mathbf{x},t) & \text{on } \partial \Omega_0 \times (0,T), & \quad (b)
\end{align*}
\]

where \( \mathbf{d}_{\partial \Omega} : \partial \Omega_0 \times (0,T) \to \mathbb{R}^3 \) is the boundary displacement (which is prescribed), and \( \psi(\mathbf{x},t) : \Omega_0 \times (0,T) \to \mathbb{R} \) is a spatially varying stiffening factor used to avoid mesh element distortion. The definition of \( \psi \) is given in Section 3 depending on the test cases considered.

To model the cardiac valves with the RIIS method, we consider a time-dependent surface \( \Gamma_k(t) \) immersed in \( \Omega \), with \( k \in I_v \) (the set of immersed surfaces). We impose kinematic coupling between the surface and the fluid by penalizing the mismatch between the relative fluid velocity \( \mathbf{u} - \mathbf{u}_{\text{ALE}} \) and the velocity of the immersed surface \( \mathbf{u}_{\Gamma_k} \), that is, by adding to the Navier–Stokes momentum equation the term

\[
\frac{R_k}{\varepsilon_k} \delta_k(q_k)(\mathbf{u} - \mathbf{u}_{\text{ALE}} - \mathbf{u}_{\Gamma_k}).
\]

For each surface, \( q_k : \Omega \times (0,T) \to \mathbb{R} \) denotes its signed distance function, such that, for all \( k \in I_v \), \( |q_k(\mathbf{x},t)| = \text{dist}(\mathbf{x},\Gamma_k(t)) \) and \( \Gamma_k(t) = \{ \mathbf{x} \in \Omega : q_k(\mathbf{x},t) = 0 \} \). \( \Gamma_k \) is characterized by a resistance coefficient \( R_k \) and a parameter \( \varepsilon_k \) representing the half-thickness of the valve. The penalization is imposed in a narrow layer around \( \Gamma_k \), represented by the smoothed Dirac delta function \( \delta_k \), defined as
\[
\delta_k(\varphi_k) = \begin{cases} 
\frac{1 + \cos(\pi \varphi_k / \varepsilon_k)}{2\varepsilon_k} & \text{if } |\varphi_k| \leq \varepsilon_k, \\
0 & \text{if } |\varphi_k| > \varepsilon_k, 
\end{cases}
\]

with \( \mathbf{x} \in \Omega \) and for all \( k \in \mathcal{I}_v \). If the ratio \( R_k / \varepsilon_k \) is sufficiently large, the term (2) weakly imposes the condition \( \mathbf{u} - \mathbf{u}_{\text{ALE}} = \mathbf{u}_{\Gamma_a} \) over \( \Gamma_k \).

The immersed surfaces \( \Gamma_k \) can move over time. Let us denote by \( \Gamma_k^0 \) a fixed reference configuration. The time-dependent displacement of the immersed surface is obtained by summing the ALE displacement \( \mathbf{d} \) to a known displacement field \( \mathbf{d}_k : \Gamma_k^0 \times (0,T) \to \mathbb{R}^3 \) that describes the surface’s change of configuration (e.g., from the closed to the open state for cardiac valves). We assume that \( \mathbf{d}_k(x,t) = c_k(t) \mathbf{d}_k(x) \), and assume that \( \mathbf{d}_k \) is known, while the opening coefficient \( c_k(t) \) can be either prescribed or computed according to a suitable model\(^{79}\) or flow-based rule.\(^{30}\) The time-dependent configuration of the immersed surface is given by

\[
\Gamma_k(t) = \{ \mathbf{x} = \mathbf{x}_0 + \mathbf{d}(\mathbf{x}_0) + \mathbf{d}_k(\mathbf{x}_0,t), \; \mathbf{x}_0 \in \Gamma_k^0 \}. \tag{3}
\]

In some situations, it may be useful to have the surface appear and disappear to simulate the closing and opening of a valve. In that setting, the coefficient \( c_k \) varies instantaneously between 0 (closed configuration) and 1 (open configuration), and the resistive term is turned off when \( c_k = 1 \). This is the strategy followed in the tests of Sections 3.1 and 3.2. For additional details on the RIIS method, we refer the reader to References 21,65.

The incompressible Navier–Stokes equations in the ALE framework with RIIS modeling of cardiac valves read as follows\(^{21}\):

\[
\begin{align*}
\rho \left( \frac{\partial \mathbf{u}}{\partial t} + ((\mathbf{u} - \mathbf{u}_{\text{ALE}}) \cdot \nabla) \mathbf{u} \right) - \nabla \cdot (\mu (\nabla \mathbf{u} + \nabla^T \mathbf{u})) + \nabla p + \sum_{k \in \mathcal{I}_v} \frac{R_k}{\varepsilon_k} \delta_k(\varphi_k) \mathbf{u} = 0 & \quad \text{in } \Omega \times (0,T) \quad (a) \\
\nabla \cdot \mathbf{u} = 0 & \quad \text{in } \Omega \times (0,T) \quad (b) \\
\mathbf{u} = \mathbf{g} & \quad \text{on } \Gamma_D \times (0,T) \quad (c) \\
\mu (\nabla \mathbf{u} + \nabla^T \mathbf{u}) \mathbf{n} - \rho \mathbf{n} = \mathbf{h} & \quad \text{on } \Gamma_N \times (0,T) \quad (d) \\
\mathbf{u} = \mathbf{u}_0 & \quad \text{in } \Omega \times \{0\} \quad (e),
\end{align*}
\]

where, \( \Gamma_D \) and \( \Gamma_N \) are Dirichlet and Neumann boundaries, respectively, and \( \mathbf{g}, \mathbf{h} \) and \( \mathbf{u}_0 \) are suitable initial and boundary data. We denote the different terms appearing in (4a) as follows:

- inertial term: \( I(\mathbf{u}) = \rho \left( \frac{\partial \mathbf{u}}{\partial t} + ((\mathbf{u} - \mathbf{u}_{\text{ALE}}) \cdot \nabla) \mathbf{u} \right) \);
- viscous term: \( D(\mathbf{u}) = \nabla \cdot (\mu (\nabla \mathbf{u} + \nabla^T \mathbf{u})) \);
- resistive term: \( \mathcal{R}(\mathbf{u}) = \sum_{k \in \mathcal{I}_v} \frac{R_k}{\varepsilon_k} \delta_k(\varphi_k) \mathbf{u} - \mathbf{u}_{\text{ALE}} - \mathbf{u}_{\Gamma_a} \).

Let us introduce the following function spaces:

\[
V = \{ \mathbf{v} \in [H^1(\Omega)]^3 : \mathbf{v} = \mathbf{g} \text{ on } \Gamma_D \},
\]

\[
V_0 = \{ \mathbf{v} \in [H^1(\Omega)]^3 : \mathbf{v} = 0 \text{ on } \Gamma_D \},
\]

\[
Q = L^2(\Omega).
\]

Then, the weak formulation associated to the problem, derived with standard techniques,\(^{80}\) reads: find \( \mathbf{u}(t) \in V \) and \( p \in Q \) such that \( \mathbf{u}(0) = \mathbf{u}_0 \) and, for all \( \mathbf{v} \in V_0 \) and \( q \in Q \), there holds
\[
\left( \rho \left( \frac{\partial \mathbf{u}}{\partial t} + (\mathbf{u} - \mathbf{u}_{\text{ALE}}) \cdot \nabla \mathbf{u} \right), \mathbf{v} \right) + \left( \mu (\nabla \mathbf{u} + \nabla \mathbf{u}^T), \nabla \mathbf{v} \right) - (p, \nabla \cdot \mathbf{v}) + \sum_{k \in I_v} \left( \frac{R_k}{\delta_k} (\mathbf{u} - \mathbf{u}_{\text{ALE}} - \mathbf{u}_k), \mathbf{v} \right) + (q, \nabla \cdot \mathbf{u}) = \langle \mathbf{h}, \mathbf{v} \rangle_{\Gamma_N},
\]

where \((\cdot, \cdot)\) denotes the \(L^2(\Omega)\) inner product, and \((\cdot, \cdot)_{\Gamma_N}\) denotes the \(L^2(\Gamma_N)\) inner product.

### 2.1.1 Numerical discretization

We rely on the finite element method for the spatial discretization of the model equations (1) and (4). The domain \(\Omega\) is discretized using a tetrahedral mesh, and the immersed surfaces \(\Gamma_k\) are discretized using a triangular mesh. We use piecewise linear finite elements for all variables (\(d\), \(u\) and \(p\)). Navier–Stokes equations are endowed with either SUPG-PSPG or VMS-LES stabilization, to allow using equal order elements for velocity and pressure (see Section 3).

We discretize in time using finite differences of the first order. Let \(\Delta t\) be the temporal discretization step, \(t^n = n\Delta t\) be the discrete times, and let us denote with the superscript \(n\) the approximation of time-dependent quantities at time \(t^n\) (e.g., \(u^n \approx u(t^n)\)). The domain displacement, surface displacement and fluid equations are solved in a segregated way, as described by Algorithm 1. The non-linearity induced by the advection term in (4a) is treated in a semi-implicit way.

We remark that, at any discrete time \(t^n\), the immersed surface \(\Gamma^n_k\) is computed by suitably displacing the nodes of the associated mesh, and the signed distance function \(\varphi^n_k\) is subsequently recomputed. This is different from the strategy adopted in References 21, 65, where the signed distance function for a given valve configuration is obtained by suitably interpolating the distance functions associated to the open and closed valve configurations. Moreover, we point out that the surfaces can move independently of the background fluid mesh, since the two discretizations are not required to be conforming. However, for the smoothed Dirac delta function to be represented correctly, and thus for the method to be effective in imposing the kinematic condition, a sufficient number of fluid mesh elements should lie within distance \(\epsilon\) of the immersed surface. As empirically shown in Reference 65, a sufficient condition for this to hold is that \(\epsilon \geq 1.5h\), where \(h\) is the mesh size in the vicinity of the surface.

### 2.2 The ARIIS method

In this section, we derive the ARIIS method starting from the equations of the fluid model. To keep the notation light, we drop henceforth the explicit dependence on time of the domain and its subsets.

Following the derivation of the original ARIS method, the left heart can be schematically outlined as a three-chambers domain as sketched in Figure 1: the Left Atrium (LA) \(\Omega_{\text{LA}}\), the Left Ventricle (LV) \(\Omega_{\text{LV}}\) and the Ascending
Aorta (AA) ΩAA. These chambers are separated by two surfaces representing the Mitral Valve (MV) ΓMV and the Aortic Valve (AV) ΓAV, thus $\mathbf{I}^V = \{\text{MV, AV}\}$.

We denote by $\Omega$ the whole domain, such that $\Omega = \Omega_{LA} \cup \Omega_{LV} \cup \Omega_{AA}$. The domain boundary $\partial \Omega$ is partitioned into the inlet section $\Sigma_{\text{in}}$, the outlet section $\Sigma_{\text{out}}$ and the wall $\Sigma_{\text{wall}}$, as shown in Figure 1. We introduce the sets

$$\Omega_k = \left\{ x \in \Omega : \operatorname{dist}(x, \Gamma_k) = \min_{y \in \Gamma_k} \| x - y \| < \epsilon_k \right\}, \quad k \in \{\text{MV, AV}\},$$

where $\epsilon_k$ is the half thickness of $\Omega_k$ (characterizing the RIIS method and already introduced in Section 2.1), banded in Figure 1, for $k \in \{\text{MV, AV}\}$. These regions have nontrivial intersections with the chambers defined above.

With reference to Figure 2, we introduce two geometric assumptions that will be used in the derivation of the augmented method of Section 2.2.

**Assumption 1.** (Flat valve surfaces) For $k \in \{\text{MV, AV}\}$, the normal vector $\mathbf{n}_k$ to the valve surface $\Gamma_k$ (pointing outwards w.r.t. $\Omega_{LV}$) is constant over $\Gamma_k$.

**Remark 1.** If Assumption 1 is satisfied, we can define a constant vector field extending the definition of the valve normal vector $\mathbf{n}_k$ to the whole valve region $\Omega_k$. We denote such field with the same symbol $\mathbf{n}_k : \Omega_k \rightarrow \mathbb{R}^3, k \in \{\text{MV, AV}\}$.
**Assumption 2.** (Valves orthogonal to the wall) By denoting with \( \mathbf{n} \) the normal vector of \( \partial \Omega \), \( \mathbf{n} \cdot \mathbf{n} = 0 \) on \( \Sigma^\text{wall}_k \), for \( k \in \{ \text{MV, AV} \} \), where \( \Sigma^\text{wall}_k = \Sigma^\text{wall} \cap \partial \Omega_k \).

**Remark 2.** By introducing \( \partial \Omega_k^- = \partial \Omega_k \cap \Omega_\text{LV} \) and \( \partial \Omega_k^\text{AV} = \partial \Omega_k \setminus (\partial \Omega_k^- \cup \Sigma^\text{wall}_k) \) (cfr. Figure 2) we observe that \( |\partial \Omega_k^-| = |\partial \Omega_k^\text{AV}| = |\Gamma_k|, k \in \{ \text{MV, AV} \} \).

Moreover, we make the following assumptions:

**Assumption 3.** (Constant pressure in the compartments) Pressure is constant in space within \( \Omega_\text{LA}, \Omega_\text{LV} \) and \( \Omega_\text{AA} \). We will denote the respective constant values with \( p_\text{LA}(t), p_\text{LV}(t) \) and \( p_\text{AA}(t) \).

**Assumption 4.** (Negligible inertia and viscosity within valves) For \( k \in \{ \text{MV, AV} \} \), inertial and viscous terms in (4a) are negligible in \( \Omega_k \): \( Iu(t) \approx 0 \) and \( D_\mathbf{u}(t) \approx 0 \).

When MV and AV are closed, the intraventricular pressure is prone to spurious oscillations, due to the ventricle being fully enclosed by boundaries on which a Dirichlet-type condition on the velocity is imposed (either strongly or through the RIIS penalty term). Thus, as done in Reference 29, we augment Equation (4) with a reaction term to impose \( p_\text{LV}(t) = p^\star(t) \), where \( p^\star : (0, T) \to \mathbb{R} \) is a prescribed value of the ventricular pressure (constant in space by Assumption 3). As for the ARIS method, 29 the ARIIS method assumes that the desired ventricular pressure \( p^\star(t) \) is known a priori. This information can be derived, for instance, from patient-specific experimental data. Alternatively, it can be provided by another mathematical model, such as an electromechanics simulation, as done in Reference 29 and in the present work.

We assume the perturbation term to be in the form 29:

\[
\sum_{k \in \{ \text{MV, AV} \}} C_k \delta \mathbf{n}_k, \tag{6}
\]

with \( C_k \in \mathbb{R} \), for \( k \in \{ \text{MV, AV} \} \). This choice of the reaction term (6) is such that the augmented formulation acts on the valves only and does not perturb the momentum equation outside \( \Omega_k \).

The perturbation term represents the force that the blood exerts on the closed valves during isovolumetric phases. We derive it to enforce that the ventricular pressure matches the reference one \( p^\star \). Thus, following 29 we derive an estimation of the ventricular pressure \( p_\text{LV}(t) \) when both valves are closed. The estimate will be used to determine the corrective term \( C_k \) in (6).

From (4a) and Assumption 4, we deduce 29

\[ \nabla p + \mathcal{R}(\mathbf{u}) = \mathbf{0} \quad \text{in} \ \Omega_k, \]

for all \( k \in \{ \text{MV, AV} \} \). Multiplying by \( \mathbf{n}_k \) 29 and integrating over \( \Omega_k \), we get

\[ \int_{\Omega_k} (\nabla p + \mathcal{R}(\mathbf{u})) \cdot \mathbf{n}_k = 0. \]

By Assumption 1, we can take \( \mathbf{n}_k \) out of the integral and integrate by parts the pressure term yielding

\[ \left( \int_{\partial \Omega_k^-} p \mathbf{n}_k + \int_{\Omega_k} \mathcal{R}(\mathbf{u}) \cdot \mathbf{n}_k \right) = 0, \]

\[ \int_{\partial \Omega_k^\text{AV}} p \mathbf{n}_k - \int_{\Omega_k} \mathcal{R}(\mathbf{u}) \cdot \mathbf{n}_k = 0. \]

Using Assumptions 1 and 2, we get
\begin{equation}
\left( p_{LV} - p_{\text{ext},k} \right) |_{\Gamma_k} - \int_{\Omega_k} \mathcal{R}(u) \cdot n_k = 0,
\end{equation}

where, \( p_{\text{ext},k} = p_{LA} \) for \( k = \text{MV} \) and \( p_{\text{ext},k} = p_{AA} \) for \( k = \text{AV} \). Finally, summing (7) for both valves,\(^{29}\) we obtain:

\begin{equation}
\left( p_{LV} - p_{LA} \right) |_{\Gamma_{\text{MV}}} + \left( p_{LV} - p_{AA} \right) |_{\Gamma_{\text{AV}}} - \sum_{k \in \{\text{MV,AV}\}} \int_{\Omega_k} \mathcal{R}(u) \cdot n_k = 0,
\end{equation}

from which we derive

\begin{equation}
p_{LV} = \frac{1}{|\Gamma_{\text{MV}}| + |\Gamma_{\text{AV}}|} \left( |\Gamma_{\text{MV}}| p_{LA} + |\Gamma_{\text{AV}}| p_{AA} + \sum_{k \in \{\text{MV,AV}\}} \int_{\Omega_k} \mathcal{R}(u) \cdot n_k \right).
\end{equation}

Repeating these calculations including the perturbation term (6), (8) rewrites as

\begin{equation}
\sum_{k \in \{\text{MV,AV}\}} \left( \left| p_{LV} - p_{\text{ext},k} \right| - \int_{\Omega_k} \mathcal{R}(u) \cdot n_k - C_k \int_{\Omega_k} \delta_k \right) = 0,
\end{equation}

so that, if the perturbation satisfies

\begin{equation}
\sum_{k \in \{\text{MV,AV}\}} \int_{\Omega_k} C_k \delta_k = \sum_{k \in \{\text{MV,AV}\}} \left( \left| p^* - p_{\text{ext},k} \right| - \int_{\Omega_k} \mathcal{R}(u) \cdot n_k \right),
\end{equation}

then our estimate for \( p_{LV} \) becomes \( p_{LV} = p^* \).

Equation (10) admits infinitely many solutions for the perturbation terms \( C_{\text{MV}} \) and \( C_{\text{AV}} \). In analogy with\(^{29}\), we choose a solution such that the correction due to spurious flow through the immersed surfaces is distributed equally between MV and AV. Observing that \( \int_{\Omega_k} \delta_k = |\Gamma_k| \), the chosen corrective term reads:

\begin{equation}
C_k(u,p) = p^* - p_{\text{ext},k} - \frac{1}{|\Gamma_{\text{MV}}| + |\Gamma_{\text{AV}}|} \sum_{k \in \{\text{MV,AV}\}} \int_{\Omega_k} \mathcal{R}(u) \cdot n_k.
\end{equation}

Thus, the ARIIS method consists in solving the following problem:

\begin{equation}
\begin{cases}
\rho \left( \frac{\partial u}{\partial t} + \left( u - u_{\text{ALE}} \right) \cdot \nabla u \right) - \nabla \cdot \left( \mu \left( \nabla u + \nabla^T u \right) \right) + \nabla p \\
+ \sum_{k \in \{\text{MV,AV}\}} \left( R_k \delta_k (\varphi_k) (u - u_{\text{ALE}} - u_{\Gamma_k}) + \chi_{\text{iso}}(t) C_k(u,p) \delta_k n_k \right) = 0 \quad \text{in } \Omega \times (0,T), \quad (a) \\
\nabla \cdot u = 0 \quad \text{in } \Omega \times (0,T), \quad (b)
\end{cases}
\end{equation}

endowed with suitable initial and boundary conditions. \( \chi_{\text{iso}}(t) \) is a characteristic function equal to 1 during the isovolumetric phases, 0 otherwise: we activate the ARIIS correction term only when both valves are simultaneously closed. \( \chi_{\text{iso}}(t) \) can be prescribed a priori or be determined by pressure jump conditions (to determine the opening and closing of valves).\(^{29}\)

Remark 3. For the derivation of the ARIIS method, we follow a methodology analogous to the one introduced for the derivation of the original ARIS method.\(^{29}\) In particular, our derivation differs from the ARIS\(^{29}\) one for the following aspects.
- The ARIS method\textsuperscript{29} is derived starting from an interface stress jump condition on the immersed surface $\Gamma_k$. This is not applicable in the case of RIIS\textsuperscript{65} (and ARIIS) method. Indeed, the valves are here distributed inside bulk layers around the immersed surfaces. Thus, we carry out all the integrations in volumes ($\Omega_k$) instead of surfaces ($\Gamma_k$).
- We do not need the incompressibility constraint in the derivation.
- The geometrical assumptions 1 and 2 are not needed in the ARIS method\textsuperscript{29} since the integrals are defined on the immersed surface.

Of the assumptions used in the derivation of ARIIS, Assumption 4 holds for $R$ large enough, that is consistent with $R$ being the penalty coefficient for the kinematic condition on the valve, whereas the other three are instrumental in simplifying the derivation of the augmenting term (6). In particular, the violation of Assumptions 1 and 2 would require this corrective term to account for detailed geometric properties of the valve leaflet (e.g., its curvature distribution). Assumption 3 instead could be slightly relaxed without having to modify the derivation, by assuming that pressure is homogeneous only on each $\partial \Omega^+_k$ and $\partial \Omega^-_k$, separately (and not within the chambers). This would require the evaluation of pressure on surfaces not conforming to the mesh. Nonetheless, the numerical tests of Sections 3.2 and 3.3 will show that pressure is indeed substantially constant within each chamber (during the isovolumetric phases), and that the proposed correction term can be used successfully also in cases in which some of the assumptions are not exactly verified.

2.2.1 | Numerical approximation

Spatial discretization follows that used in the RIIS method (see Section 2.1.1). The temporal discretization scheme is modified to compute the ARIIS correction term. At every time $t^{n+1}$, the correction term is computed in an explicit way, that is, using the valve configuration and fluid velocity from the previous time step, as shown in Algorithm 2.

3 | NUMERICAL RESULTS

In this section, we present and discuss the results on the ARIIS method by carrying out numerical simulations of three different problems. All three tests feature valves that open and close. In Section 3.1, we check the validity of our method by considering the simple problem introduced in Reference 29 (Test A). In Section 3.2, we propose a new benchmark problem consisting of the flow in a compliant pipe with ventricle-like shortening (Test B). Finally, in Section 3.3, we apply our method to a cardiac case, that is, the flow in a realistic left heart geometry (Test C).

The physical parameters for blood are density $\rho = 1.06 \times 10^3$ kg/m$^3$ and dynamic viscosity $\mu = 3.5 \times 10^{-3}$ kg/(m s). In all the numerical experiments considered, we apply a null velocity initial condition. Furthermore, similarly to

\begin{algorithm}

\textbf{Algorithm 2} \textit{Solution scheme for the Navier–Stokes equations in ALE formulation with the ARIIS method}

Given the solution up to time $t^n$, to compute the solution at time $t^{n+1}$:

1: solve discretization of (1) to compute the domain displacement $d^{n+1}$ and the domain $\Omega^{n+1}$
2: for $k \in I_v$ do
3: \hspace{1em} if $\chi_{iso}(t^{n+1}) = 1$ then
4: \hspace{2em} compute $C_k^{n+1} = C_k(u^n, p^n)$
5: \hspace{1em} end if
6: \hspace{1em} compute $\alpha_k^{n+1}$
7: \hspace{1em} update $\Gamma^{n+1}_k$
8: end for
9: solve discretized Navier–Stokes equations (4) to compute $u^{n+1}$ and $p^{n+1}$
\end{algorithm}
References 21,30, we use a quasi-static approach by choosing $u_{\Gamma_k} = 0$, that is, we neglect the velocity with which valves move when changing configuration.

We discretize (12) in space with piecewise linear Finite Elements (FE) for velocity and pressure ($P_1 - P_1$) and in time with the backward Euler method. We employ a semi-implicit treatment of the non-linear term. In Sections 3.1 and 3.2, we use a SUPG-PSPG stabilization.81 Differently, in Section 3.3, we use the VMS-LES method acting as both a stabilization method and a turbulence model to account for the transition-to-turbulence flow regime typically occurring in cardiac flows.16,82,83

The lifting problem (1) is discretized with linear FEs. Moreover, in the first two test cases, we set $\psi = 1$ for all $x \in \Omega_0$, so Equation (1) becomes a simple Laplacian problem. Differently, in Test C, to avoid mesh elements distortion, we use the boundary-based stiffening approach proposed in Reference 84. In this method, we define $\psi$ as

$$\psi(x) = \max (d(x), \alpha)^{-\beta} \text{ in } \Omega_0,$$

where $d$ is the distance from the boundary, $\alpha$ and $\beta$ are two parameters that we set equal to $\alpha = 0$ and $\beta = 1$.

We carry out our numerical simulations in life$^\text{X}$,85,86 a high-performance C++ FE library developed within the iHEART project, mainlly focused on cardiac simulations and based on the deal.II finite element core.87–89 The source code of the life$^\text{X}$ module for hemodynamics simulations, referred to as life$^\text{X}$-cfd, has been recently released.90,91

### 3.1 | Test A: a simple benchmark problem

In this section, following,29 we consider a benchmark problem that was originally introduced to test the ARIS method in a simplified setting.

The domain is a cylinder of radius $R_c = 0.01$ m and length $L_c = 0.1$ m. It is divided into three cylindrical compartments, representing, in an idealized context, the LA, LV and AA, of lengths $L_{LA} = 0.02$ m, $L_{LV} = 0.06$ m and $L_{AA} = 0.02$ m, respectively. Two planar surfaces represent the MV and AV. We solve in the time interval $[0, T]$, with $T = 0.2$ s.

The domain is discretized with a tetrahedral mesh of 75,933 elements, for a total of 56,684 degrees of freedom. The mesh is finer near to the immersed surfaces, to better capture their presence, with a minimum element diameter $h_{\text{min}} = 1$ mm and a maximum diameter $h_{\text{max}} = 4.6$ mm (see Figure 3). Simulations ran in parallel using 4 cores of a local workstation, each with an Intel Core i5-9600K@3.70 GHz processor.

Following Reference 29, we impose a homogeneous and constant pressure of $p_{\text{in}} = 0$ mmHg at the inlet section, and a homogeneous and constant pressure of $p_{\text{out}} = 75$ mm Hg at the outlet section. The displacement $d_{\text{in}}$ of the lateral boundary is prescribed analytically and mimics the contraction-relaxation cycle of a human ventricle. For a given point $x = (x_1, x_2, x_3)^T$ and time $t$, it is defined as

![Figure 3](image-url)
\[
d_{3\Omega}(x,t) = \begin{cases} \varpi A(t) e_r(x) \exp \left( -\frac{|x_3 - \frac{L_a}{2}|^2}{2\sigma^2} \right) & \text{if } x_3 \in [L_{LA}, L_{LA} + L_{LV}], \\ 0 & \text{otherwise,} \end{cases}
\]

with

\[
e_r(x) = \frac{(x_1, x_2, 0)^T}{\sqrt{x_1^2 + x_2^2}}.
\]

\(A(t)\) is the piecewise linear function depicted in Figure 4A. We set \(\sigma = 0.015\) and \(\varpi = 4.6 \times 10^{-4}\) m, to have the same time evolution of volume as in 29 (see Figure 4B).

We simulate the opening of a valve by instantaneously removing the corresponding surface from the domain. This choice is consistent with the setting proposed in Reference 29. Valves are opened and closed at prescribed times, following the evolution of the volume of the ventricular compartment: when the volume is increasing, the MV is open and the AV is closed; when it is decreasing, the MV is open and the AV is closed; when the volume is constant, both valves are closed. The MV is closed when the simulation starts, while the AV is open. Closing and opening times are reported in Figure 4B.

In this setting, we carry out a comparison of the results obtained with the RIIS method against those obtained with the ARIIS method, using as reference pressure \(p^r(t)\) a piecewise linear function. The evolution of ventricular pressure for both cases, computed with resistance \(R = 10^4\) kg/(m s) and \(\varepsilon = 0.002\) m, is reported in Figure 5. The plots show how the ARIIS method allows the ventricular pressure to accurately follow the provided reference pressure. Differently, the pressure computed by the RIIS method is nonphysical: it remains constant for the overall duration of the isovolumetric phases—instead of decreasing or increasing—and it is equal to the average pressure between the upwind and the downwind chambers. The same trend is also observed in the original ARIS method.29 We believe that this behavior can be explained by the simplified setting characterizing this specific benchmark problem: the domain is symmetric and, during the isovolumetric phases, the prescribed displacement is null. Furthermore, the observed peaks are associated to the simplified and instantaneous way in which valves are opened and closed and to the explicit computation of the corrective term (6).

Moreover, we carry out a sensitivity analysis by varying the resistance coefficient \(R\) in the ARIIS method, to understand how the quality of the results is influenced by it. Results are reported in Figure 6. Although the resistance coefficient varies by several orders of magnitude, no difference is observed on the accuracy of the ventricular pressure. This is evident in particular in Figure 6B, reporting the relative pressure error.
\[
\max_{t \in T_{\text{iso}}} \frac{|P_{\text{LV}} - p^*|}{\max_{t \in T_{\text{iso}}} |p^*|},
\]

where, \( T_{\text{iso}} = \{ t \in (0, T) : \chi_{\text{iso}}(t) = 1 \} \) is the set of times at which both valves are closed. The error is approximately equal to \( 8 \times 10^{-3} \) regardless of the value of \( R \). The ARIIS method, therefore, yields reliable pressure results also with high
values of $R$, that ensure negligible spurious flow through the resistive surfaces. We found that, above the threshold $\varepsilon \geq 1.5h$ (see Section 2.1.1), the results are substantially independent of $\varepsilon$.

We can assess the effectiveness of the ARIIS method also in terms of spurious flow through the closed valve. In Figure 6C, we plot the relative flowrate error through the MV, evaluated as

$$\frac{\max_{t \in T_{in}} Q_{MV}}{\max_{t \in (0, T)} Q_{MV}}.$$ 

We can observe that, as $R \to \infty$, the spurious flow tends to zero with order 1, confirming the effectiveness of the penalization.

Overall, the obtained results indicate that the ARIIS method is successful in its aim of producing a ventricular pressure that closely follows the prescribed reference evolution.

### 3.2 Test B: a benchmark problem including ventricular shortening

As an intermediate step towards cardiac simulations, we introduce a novel test case in a cylindrical domain that mimics the ventricular shortening during contraction. We use the same domain as in Section 3.1, but change the boundary displacement as follows:

$$d_{\partial \Omega}(x, t) = \begin{cases} 0 & \text{if } x_3 \in [0, L_{LA}), \\ d_{\partial \Omega}^x(x, t) + d_{\partial \Omega}^z(x, t) & \text{if } x_3 \in [L_{LA}, L_{LA} + L_{LV}), \\ (0, 0, L_{LV}^*(t) - L_{LV})^T & \text{if } x_3 \in [L_{LA} + L_{LV}, L), \end{cases}$$

with

$$d_{\partial \Omega}^x(x, t) = \left( R_c + c(t) \sin \left( \frac{\pi (x_3 - L_{LA})}{L_{LV}} \right) \right) r(x) - x,$$

$$d_{\partial \Omega}^z(x, t) = \frac{x_3 - L_{LA}}{L_{LV}} (L_{LV}^*(t) - L_{LV}),$$

and

$$c(t) = \frac{4R_c}{\pi} + \sqrt{16R_c^2 L_{LV}^*(t)^2 - 2 \pi L_{LV}^*(t) L_{LV}^*(t) R_c^2 - V_{LV}^*(t)}}{\pi L_{LV}^*(t)}.$$ 

In the above, $L_{LV}^*(t)$ and $V_{LV}^*(t)$ are prescribed time dependent functions for the ventricular length and volume, respectively. The displacement is such that, at any time $t$, the ventricular length and volume in the deformed configuration match the prescribed ones. We take $\psi = 1$ in (1). Valve positions change over time following the domain displacement. Their opening and closing times, determined a priori following the same rule as in Section 3.1, are reported in Figure 7. The MV starts open, and the AV starts closed. Moreover, we set inlet and outlet boundary conditions to $p_{in} = 0$ mmHg and $p_{out} = 80$ mmHg, to replicate the typical range that characterizes the heart function. We remark that the assumptions of the ARIIS derivation are not exactly satisfied by this test case: therefore, the test verifies the robustness of the method with respect to the violation of its assumptions.

Numerical simulations are run in parallel on the GALILEO100 supercomputer† at the CINECA supercomputing center, using 48 cores.

Figures 8 and 9 report snapshots of pressure and velocity in the solution, computed using RIIS and ARIIS. We can observe that the two methods yield equivalent results outside the isovolumetric phases. Differently, when both valves are closed, a considerably different pressure can be observed. Similar conclusions can be drawn from the plots reported...
in Figure 7, representing the average ventricular pressure over time for Test B, using RIIS and ARIIS, setting \( R = 10^4 \) kg/(m s) and \( \varepsilon = 0.002 \) m. The ARIIS simulation yields a pressure that closely follows the provided reference pressure \( p^* \) during isovolumetric phases. Conversely, outside the isovolumetric phases, the two methods correctly produce

\[
R = 10^4 \text{ kg/(m s)} \quad \text{and} \quad \varepsilon = 0.002 \text{ m.}
\]

FIGURE 7  Test B. Prescribed ventricular volume \( V_{LV}(t) \) (left axis) and length \( L_{LV}(t) \) (right axis).

FIGURE 8  Test B. Snapshots of the pressure over one longitudinal slice of the domain, simulated using the RIIS (left) and ARIIS (right) method. The snapshots are taken at the midpoint of isovolumetric contraction (A), ejection (B), isovolumetric relaxation (C), and filling (D). The domain is warped according to the displacement \( \mathbf{d} \) defined in (14).
the same result. Figure 11 highlights the solution on one of the valves during the isovolumetric relaxation phase: with both the RIIS and ARIIS methods, the spurious flow across the valve is negligible (the peak velocity is three orders of magnitude smaller than the peak velocity throughout the simulation), and the resistive term results in a pressure gradient across the valve.

We carry out numerical simulations with the ARIIS method by varying the resistance coefficient $R$ over several orders of magnitude and computing the relative pressure error (13) during isovolumetric phases. We report the results in Figure 12. As before, we observe that the reference pressure is matched accurately during isovolumetric phases, regardless of the value of $R$.

### 3.3 Test C: application to a cardiac test case

In this section, we apply the ARIIS method to a realistic cardiac case. We use the CFD model of a healthy left heart developed in Reference 30. It consists of the 3D fluid dynamics model (12) coupled to the surrounding circulation (described by a 0D closed-loop model$^{92-94}$) and driven by a cardiac electromechanical model.$^{94}$
We consider a realistic left heart geometry provided by Zygote, representing an accurate 3D model of the heart obtained with CT scan data. We report the domain in Figure 13A: its boundary is split as \( \partial \Omega = \Sigma_{\text{in}} \cup \Sigma_{\text{out}} \cup \Sigma_{\text{wall}} \), where \( \Sigma_{\text{in}} \) is the set of pulmonary veins inlet sections, \( \Sigma_{\text{out}} \) the outlet section of the ascending aorta and \( \Sigma_{\text{wall}} \) the wall (endocardium). In addition, we display the immersed surfaces \( \Gamma_{\text{MV}} \) and \( \Gamma_{\text{AV}} \) in their closed configurations. As observed for Test B in Section 3.2, the assumptions of the ARIIS method are not exactly satisfied in this case, and the test verifies how the method behaves when assumptions are violated.

We set Neumann boundary conditions on the inlet and outlet sections of the domain by prescribing the pressure coming from the coupling between the 3D and the 0D circulation model, as explained in Reference 16. To prescribe the displacement field on the endocardium of the LV, we carry out an electromechanical simulation with the ventricular model proposed in Reference 94, consisting of a 3D electromechanical model fully-coupled to the external 0D circulation. In the electromechanical simulation, the AV and MV are modeled as non-ideal diodes that change their state instantaneously.

We report the complete setup of the electromechanical model in Appendix A. Moreover, since the focus of the article is the correct estimation of the ventricular pressure only, we neglect the motion of the remaining part of the domain by setting homogeneous Dirichlet boundary conditions on the wall of the left atrium and the ascending aorta.
We generate the tetrahedral mesh of the left heart displayed in Figure 13B with vmtk\textsuperscript{96} using the methods and tools discussed in References 30,97. Mesh details are summarized in Table 2. We use as time-step size $\Delta t = 2.5 \times 10^{-4}$ s. Since the electromechanical simulation has a much larger timestep than the CFD one, we use smoothing splines\textsuperscript{98} to approximate the electromechanical displacement field in time.

The values of $R_k$ and $\varepsilon_k$ of the RIIS method are provided in Table 3. These values of $\varepsilon_k$ and $R_k$ prevent flow through the closed immersed surfaces.\textsuperscript{65} Moreover, following,\textsuperscript{65} we choose $\varepsilon_k$ to guarantee that $\varepsilon_k \geq 1.5 h_{\text{min}}$, where $h_{\text{min}}$ is the
minimum mesh size in the valves region. Since the condition number of the linear system associated to the FE
discretization of (12) increases as the ratio $R_k/\varepsilon_k$ increases, we choose the minimum value of $R_k$ that guarantees
impermeable valves, as in Reference 30. In Table 3, we also report the areas of the valve sections needed for the ARIIS method.
Moreover, as reference pressure $p^*(t)$, we use the one computed in the 3D-0D electromechanical ventricular model.\textsuperscript{94}

Numerical simulations are run in parallel using 48 cores from the GALILEO100 supercomputer at the CINECA
supercomputing center.

### 3.3.1 Comparison of RIIS and ARIIS methods

We carry out numerical simulations with the RIIS and the ARIIS methods. We simulate a single heartbeat of period
$T = 0.8$ s. In Figure 14, we display the LV volume with the four heartbeat phases, along with the times corresponding
to the begin and end of isovolumetric phases. Consistently with the electromechanical simulation, the valves open and
close instantaneously (i.e., they switch between the open and closed configurations over a single time step), according
to the evolution of ventricular volume, following the same criterion as in Section 3.1. The opening and closing times
are reported in Figure 14 and Table 3. As reference pressure ($p^*$) for the ARIIS method, we use the LV pressure coming
from the 3D cardiac electromechanical simulation coupled to the 0D cardiocirculatory model.\textsuperscript{94}

We display the ventricular pressure with the RIIS and ARIIS methods in Figure 15. We compute it by space-
averaging the pressure in a control volume downstream of the MV. The RIIS method is not able to correctly capture the
left ventricular pressure, yielding arbitrary pressure values during the isovolumetric phases, with unphysical oscillations.
Differently, with the correction term introduced by the ARIIS method, the ventricular pressure follows the
expected trend given by $p^*$. In addition, out of the isovolumetric phases, the pressure fields are almost identical between

| $k$ | $R_k$ (kg/(m s)) | $\varepsilon_k$ (mm) | $|\Gamma_k|$ (cm$^2$) | Clos. time (s) | Open. time (s) |
|-----|-----------------|---------------------|-----------------|--------------|-------------|
| MV  | $1 \times 10^4$ | 1.0                 | 12.11           | 0.04725      | 0.49350     |
| AV  | $1 \times 10^4$ | 1.0                 | 5.41            | 0.38850      | 0.10600     |

**FIGURE 14** Test C. Volume of left ventricle, with opening and closing times for valves, and valve states.
RIIS and ARIIS methods. Indeed, the correction term is active in the isovolumetric phases only, and it does not influence the remaining phases of the heart cycle, yielding a maximum discrepancy of 0.23 mmHg.

Furthermore, as shown in Figure 16, the largest discrepancies between $p_{LV}$ and $p^*$ in the ARIIS case are attained at the end of the isovolumetric phases. These discrepancies are related to the fact that the isovolumetric phases in realistic cardiac simulations are not exactly volume preserving. This happens due to, on the one hand, the projection of the displacement from the electromechanics (or imaging data) onto the fluid dynamics mesh and, on the other hand, the lifting problem in (1) that does not guarantee, a priori, any kind of volume conservation in the LV subdomain. Moreover, the displacement is characterized by small oscillations in time—introduced by the smoothing splines—that yield oscillations in the ventricular volume as well. Nonetheless, differently from the standard RIIS method, the proposed...
augmented approach allows to simulate the isovolumetric phases, with a pressure evolution that is much more similar to the heart physiology.

In Figure 17, we show the pressure field (in mm Hg) on a clip in the LV apico-basal direction during the isovolumetric phases. The RIIS and ARIIS methods are characterized by different pressures, confirming our previous results. Moreover, we investigate the difference among the two solutions also in terms of velocity field, by showing a surface line integral convolution (LIC) representation on a slice in the LV apico-basal direction colored with velocity magnitude. Consistently with the findings of Reference 29, we notice that the augmented approach does not impact the velocity field and both solutions reproduce the same flow patterns. More quantitatively, we compute the velocity magnitude in a control volume in the LV. When the augmented formulation is active, we compute a maximum discrepancy between the RIIS and the ARIIS velocities equal to $2.21 \times 10^{-4}$ m/s, corresponding to a relative error (divided by the maximum RIIS velocity magnitude) equal to 0.29%.

3.4 Test D: opening and closing the valves according to flow conditions

In this section, we show how the ARIIS method allows to simulate the whole heart cycle by opening and closing the valve in a way that is driven by the blood flow. Since the ventricular pressure is not well defined during isovolumetric phases, the standard RIIS method would enforce us to open and close the valve at prescribed times. On the contrary, we instantaneously (i.e., in a single time step) open and close the valves according to the following rules:

- a valve in closed configuration opens when the pressure jump across it becomes positive; we evaluate the pressure jump by averaging the pressure over spherical control volumes upstream and downstream of each valve (see Figure 18);
- a valve in open configuration closes when the flowrate through it changes sign; the flow rate is evaluated by computing the time derivative of the ventricular volume.

![Figure 17](image-url) Test C. Comparison between RIIS and ARIIS methods during isovolumetric phases: pressure on a clip in the LV apico-basal direction and a section colored according to velocity magnitude with a surface LIC representation.
We remark that this is a proof-of-concept test: a more physically sound model would result from combining the ARIIS method with more sophisticated valve displacement laws such as the models proposed in References 79,99. In the following, we consider both the case of the benchmark problem featuring ventricular contraction introduced in Test B (Section 3.2) and the cardiac case of Test C (Section 3.3).

3.4.1 | Benchmark problem including ventricular contraction

We carry out a simulation in the same setting of Section 3.2, except that the opening and closing times of valves are determined according to the aforementioned rules, rather than being prescribed. The results are reported in Figure 19. We obtain results that are consistent with those of Section 3.2, without the need to choose a priori the times at which valves open and close. As in Test B, very short oscillations can be observed due to the instantaneous closing of the valves, which however do not affect the overall flow and valve dynamics.

3.4.2 | Cardiac test case

We consider the cardiac test case introduced in Section 3.3 and we carry out the ARIIS simulation, opening and closing the valves according to blood flow conditions. We report the results of this simulation in Figure 20. Differently from RIIS, since the ARIIS method produces physiological ventricular pressure and close to the reference pressure $p^*$, we can successfully open both the mitral and the aortic valve when the downstream pressure reaches the upstream one.

4 | CONCLUSIONS, DISCUSSION AND LIMITATIONS

In this article, we proposed an augmented version of the Resistive Immersed Implicit Surface (RIIS) method to correctly simulate the heart hemodynamics during isovolumetric phases. This Augmented RIIS (ARIIS) method extends the previously proposed Augmented Resistive Immersed Surface (ARIS) method to the case of meshes that are non-conforming to cardiac valves.
FIGURE 19  Test D, cylinder benchmark, valves opening and closing according to flow conditions with the ARIIS method. Top: space-averaged pressures in control volumes in the three compartments of the cylinder. Middle: time derivative of the volume of the middle compartment. Bottom: computed (not prescribed) valve states versus time.

FIGURE 20  Test D, cardiac application: valves opening and closing according to flow conditions with the ARIIS method. Top: space-averaged pressures in control volumes located in LA, LV, AA (see Figure 18) and reference ventricular pressure versus time. Middle: time derivative of the LV volume. Bottom: computed (not prescribed) valve states versus time.
Starting from the RIIS method, and following analogous steps of the original ARIS method, we derived the correction term required to simulate the intracardiac hemodynamics when both valves are closed. Specifically, as done in Reference 29, we introduced an additional term to the momentum balance of the Navier–Stokes equations that only acts on the valves and is only active during the isovolumetric phases. From the ARIIS derivation, and analogously with the original formulation of Reference 29, we found that the corrective term depends on the external pressure, the valve areas, the resistive term itself, and a prescribed (reference) pressure representing the intraventricular pressure transient when both valves are closed. The reference pressure can be imposed, for instance, from electromechanical simulations or from patient-specific data.

We applied the ARIIS method to three different problems: the same cylindrical toy problem introduced in 29 for the sake of validation of the proposed method, a novel benchmark problem retaining characteristics of a heart cycle, and the flow in a realistic human left heart geometry (with endocardium displacement obtained from electromechanical simulations).

All tests showed that the ARIIS method yields a ventricular pressure that closely follows the prescribed reference evolution. Moreover, we found that the accuracy of the results is not affected by resistance coefficient values. Since the ARIIS method produces physiological pressure transients during isovolumetric phases, we also showed that our method allows to open and close the valves in a way that is completely driven by the blood flow (i.e., according to pressure jump and reverse flow conditions). We believe this represents one of the main achievements of our work, since it avoids the need to prescribe opening and closing valve times.

The ARIIS method is very sensitive to small volume variations and oscillations during isovolumetric phases. Thus, further investigations are advisable for the employment of a better interpolant or approximant (in time) of the input displacement field. Moreover, we observed some mismatch between the fluid pressure and the electromechanical one, yielding an unphysical jump from the isovolumetric contraction to the ejection phase. This mismatch suggests a deeper investigation of the similarities and differences between electromechanics and CFD models, which will be the subject of future work. Additionally, a better reproduction of physiological data may be attained by relying on in-vivo pressure measurements in the definition of the reference pressure \( p^* \): this represents an important direction of further investigation.

To conclude, the standard RIIS method yielded a ventricular pressure with large oscillations in time and inconsistent with physiology. Thus, these phases are often neglected in CFD cardiac simulations or, if included, valve opening and closing must be prescribed a priori. On the contrary, the perturbation term introduced by the proposed ARIIS method provided a valid approach to produce a far more physiological ventricular pressure. This allowed us to correctly simulate the isovolumetric phases, and hence to open and close the valves in a way that is completely driven by the blood flow, making the overall CFD model more physiologically sound. A further development in this direction will be the combination of the ARIIS method with more sophisticated valve displacement models based on the fluid stress exerted on the leaflets.

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**DATA AVAILABILITY STATEMENT**

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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APPENDIX A

A.1 | THE ELECTROMECHANICAL MODEL

In this section, we briefly describe the electromechnanical model used to provide the boundary displacement in Section 3.3. We refer to Reference 94 for additional details on the models and on the methods used for their solution. Let $\Omega$ be the domain occupied by the ventricular walls, in reference (undeformed and stress-free) configuration. The unknowns of the model are the following variables:

- $v: \Omega \times (0, T) \to \mathbb{R}$: transmembrane potential,
- $w: \Omega \times (0, T) \to \mathbb{R}^{N_{ion}}$: ionic state variables,
- $s: \Omega \times (0, T) \to \mathbb{R}^{N_{act}}$: activation state variables,
- $d: \hat{\Omega} \times (0, T) \to \mathbb{R}^{3}$: solid displacement,
- $c: (0, T) \to \mathbb{R}^{N_{circ}}$: circulation state variables.
The evolution of the ionic variables is regulated by the model by Tusscher and Panfilov,\textsuperscript{100} which can be expressed as the following system of ODEs:

\[
\begin{align*}
\frac{\partial \mathbf{w}}{\partial t} &= F_{\text{ion}}(\mathbf{w}, v) \quad \text{in } \hat{\Omega} \times (0, T), \\
\mathbf{w} &= \mathbf{w}_0 \quad \text{in } \hat{\Omega} \times \{0\}.
\end{align*}
\]

We refer to Reference \textsuperscript{100} for the definition of \( F_{\text{ion}}(\mathbf{w}, v) \). One of the entries of \( \mathbf{w} \) is the intracellular calcium concentration, denoted by \([\text{Ca}^{2+}]_i\). The evolution of the transmembrane potential is described by the monodomain equation with mechano-electrical feedbacks:\textsuperscript{101,102}

\[
\begin{align*}
\begin{cases}
  J v - \nabla \cdot (J F^{-1} D_m F^{-T} \mathbf{n} v) + J I_{\text{ion}}(v, \mathbf{w}) = J I_{\text{app}} \\
  J F^{-1} D_m F^{-T} \mathbf{n} v = 0 \\
  v = v_0
\end{cases}
\end{align*}
\quad \text{in } \hat{\Omega} \times (0, T), \quad \text{on } \partial \Omega \times (0, T), \quad \text{in } \hat{\Omega} \times \{0\},
\]

(A1)

In the above, \( F = I + \mathbf{V} d \), \( J = \text{det} F \), and \( D_m \) is a conductivity tensor, defined as

\[
D_m = \sigma_m \frac{F \mathbf{f}_0 \otimes F \mathbf{f}_0}{\| F \mathbf{f}_0 \|} + \sigma_m F \mathbf{s}_0 \otimes F \mathbf{s}_0 + \sigma_m F \mathbf{n}_0 \otimes F \mathbf{n}_0,
\]

where, \( \{ \mathbf{f}_0, \mathbf{s}_0, \mathbf{n}_0 \} \) is an orthonormal triplet that, at every point in \( \hat{\Omega} \), describes the local orientation of muscular fibers, sheets of fibers and fiber normal direction. In (A1), \( J I_{\text{app}} \) is an applied current providing the initial stimulus, and \( J I_{\text{ion}} \) is defined by the ionic model.\textsuperscript{100}

The state of contraction, described by the vector \( \mathbf{s} \), evolves according to the RDQ20-MF model,\textsuperscript{103} which can be expressed as a system of ODEs:

\[
\begin{align*}
\frac{\partial \mathbf{s}}{\partial t} &= F_{\text{act}}(\mathbf{s}, [\text{Ca}^{2+}]_i, \mathbf{d}, \mathbf{d} t) \quad \text{in } \hat{\Omega} \times (0, T), \\
\mathbf{s} &= \mathbf{s}_0 \quad \text{in } \hat{\Omega} \times \{0\}.
\end{align*}
\]

The model defines an active stress tensor as

\[
P_{\text{act}}(\mathbf{d}, \mathbf{s}) = T_{\text{act}}(\mathbf{s}) \frac{F \mathbf{f}_0 \otimes \mathbf{f}_0}{\| F \mathbf{f}_0 \|}.
\]

We refer to Reference \textsuperscript{103} for the definition of the functions \( F_{\text{act}} \) and \( T_{\text{act}} \).

The evolution of the displacement \( \mathbf{d} \) is regulated by the elastodynamics equation

\[
\begin{align*}
\rho_s \frac{\partial^2 \mathbf{d}}{\partial t^2} - \nabla \cdot P(\mathbf{d}, \mathbf{s}) &= 0 \quad \text{in } \hat{\Omega} \times (0, T), \\
\mathbf{d} &= 0 \quad \text{on } \Gamma_{\text{base}} \times (0, T), \\
P(\mathbf{d}, \mathbf{s}) \mathbf{n} &= - (\mathbf{n} \otimes \mathbf{n}) (K^{\text{epi}} \mathbf{d} + C^{\text{epi}}) - (I - \mathbf{n} \otimes \mathbf{n}) (K^{\text{pl}} \mathbf{d} + C^{\text{pl}}) \quad \text{on } \Gamma_{\text{epi}} \times (0, T), \\
P(\mathbf{d}, \mathbf{s}) \mathbf{n} &= - J F^{-T} P_{\text{LV}} \quad \text{on } \Gamma_{\text{endo}} \times (0, T), \\
\dot{\mathbf{d}} &= \dot{\mathbf{d}}_0 \quad \text{on } \hat{\Omega} \times \{0\}, \\
\frac{\partial \mathbf{d}}{\partial t} &= 0 \quad \text{in } \hat{\Omega} \times \{0\}.
\end{align*}
\quad \text{in } \hat{\Omega} \times \{0\}.
\]

(A2)

In the above, \( \rho_s \) is the solid density, and \( P(\mathbf{d}, \mathbf{s}) \) is the stress tensor, defined as
\[ P(\mathbf{d},s) = P_{\text{pas}}(\mathbf{d}) + P_{\text{act}}(\mathbf{d},s). \]

The passive contribution \( P_{\text{pas}}(\mathbf{d}) \) is defined according to the Guccione constitutive law, as reported in Reference 104. \( \Gamma_{\text{base}}, \Gamma_{\text{epi}}, \Gamma_{\text{endo}} \) are the portions of \( \partial \Omega \) corresponding to the ventricular base, epicardium and endocardium respectively. The coefficients \( k_{\text{epi}}, C_{\text{epi}}, k_{\text{endo}}, C_{\text{endo}} \) account for the interaction of the ventricle with the pericardial sac and the surrounding organs. The pressure \( p_{\text{LV}} \) is one of the entries of the circulation state vector \( \mathbf{c} \).

The evolution of the latter is described by a system of ODEs whose unknowns are pressures and blood flows in several compartments of the circulatory system:
\[
\begin{align*}
\frac{\partial \mathbf{c}}{\partial t} &= \mathbf{F}_{\text{circ}}(\mathbf{c}, t) \quad \text{in } (0, T), \\
\mathbf{c}(0) &= \mathbf{c}_0.
\end{align*}
\]

We refer to Reference 94 for the definition of \( \mathbf{F}_{\text{circ}} \). The circulation model is bidirectionally coupled to the mechanics equations (A2), through the boundary condition on \( \Gamma_{\text{endo}} \) and by imposing that the volume of the ventricular chamber as computed by the circulation model is the same as that obtained in the mechanics model.

The coupled electromechanical model is solved by means of the segregated-intergrid-staggered scheme introduced in Reference 94. After the simulation, the endocardial displacement \( \mathbf{d}|_{\Gamma_{\text{endo}}} \) is extracted, extended to zero on the boundaries of atrium and ascending aorta, and used as input for the CFD simulation of Test C (Section 3.3).

The values of the parameters of the monodomain, force generation and mechanics models are reported in Table A1, whereas Tables A2 and A3 report those for the circulation model. The values of parameters for the ionic model are the same as in the original paper.100

| Physics  | Parameter | Value          |
|----------|-----------|----------------|
| EP       | Conductivities | \( \sigma_l \) = 2.00 \times 10^{-4} m^2/s |
|          |           | \( \sigma_m \) = 1.05 \times 10^{-4} m^2/s |
|          |           | \( \sigma_m^2 \) = 0.55 \times 10^{-4} m^2/s |
|          |           | \( \Lambda_{\text{app}} \) = 25.71 V/s |
|          |           | \( \sigma_{\text{app}} \) = 5 \times 10^{-3} m |
|          |           | \( T_{\text{app}} \) = 3 \times 10^{-3} s |
| AFG      | \( \gamma \) = 30 |
|          | \( k_d \) = 0.36 |
|          | \( \alpha_{kd} \) = -0.2083 |
|          | \( K_{\text{off}} \) = 8 l/s |
|          | \( K_{\text{basic}} \) = 4 l/s |
|          | \( \mu_{\text{fp}}^{1} \) = 32.255 l/s |
|          | \( \mu_{\text{fp}}^{0} \) = 0.768 l/s |
|          | \( \sigma_{\text{CHL}} \) = 20 \times 10^{8} Pa |

(Continues)
### TABLE A1 (Continued)

| Physics | Parameter | Value | Unit |
|---------|-----------|-------|------|
| M Guccione | \( \rho_s \) | 1000 | kg/m\(^2\) |
| | \( c \) | \( 8.8 \times 10^{5} \) | Pa |
| | \( a_{dl} \) | 8 | |
| | \( a_{as} \) | 6 | |
| | \( a_{ta} \) | 3 | |
| | \( a_{ls} \) | 12 | |
| | \( a_{tn} \) | 3 | |
| | \( \kappa \) | \( 5 \times 10^{4} \) | Pa |
| Boundary conditions | \( K_{\text{epi}}^{\perp} \) | \( 2 \times 10^{5} \) | Pa/m |
| | \( K_{\text{epi}}^d \) | \( 2 \times 10^{4} \) | Pa/m |
| | \( C_{\text{epi}}^{\perp} \) | \( 2 \times 10^{4} \) | Pa s/m |
| | \( C_{\text{epi}}^d \) | \( 2 \times 10^{3} \) | Pa s/m |
| In. conditions | \( p_0 \) | 1333.2 | Pa |

**Note:** For the force generation model, we only report parameters that are different from the original setting described in Reference 103.

### TABLE A2
Parameters of the circulation model for the ventricular electromechanical simulation: external circulation.

| Parameter | Value | Unit |
|-----------|-------|------|
| Systemic arteries | \( R_{\text{SYS}}^{\text{AR}} \) | 0.3750 | mm Hg s/mL |
| | \( C_{\text{SYS}}^{\text{AR}} \) | 2.048 | mm/mm Hg |
| | \( L_{\text{SYS}}^{\text{AR}} \) | \( 2.7e-3 \) | mm Hg s\(^2\)/mL |
| | \( R_{\text{SYS}}^{\text{upstream}} \) | 0.05 | mm Hg s/mL |
| | \( P_{\text{SYS}}^{\text{AR}}(0) \) | 80.0 | Pa |
| | \( Q_{\text{SYS}}^{\text{AR}}(0) \) | 0.0 | mL/s |
| Systemic veins | \( R_{\text{SYS}}^{\text{VEN}} \) | 0.26 | mm Hg s/mL |
| | \( C_{\text{SYS}}^{\text{VEN}} \) | 60.0 | mL/mm Hg |
| | \( L_{\text{SYS}}^{\text{VEN}} \) | \( 5e-4 \) | mm Hg s\(^2\)/mL |
| | \( P_{\text{SYS}}^{\text{VEN}}(0) \) | 30.9 | Pa |
| | \( Q_{\text{SYS}}^{\text{VEN}}(0) \) | 0.0 | |
| Pulmonary arteries | \( R_{\text{PUL}}^{\text{AR}} \) | 0.05 | mm Hg s/mL |
| | \( C_{\text{PUL}}^{\text{AR}} \) | 10.0 | mL/mm Hg |
| | \( L_{\text{PUL}}^{\text{AR}} \) | \( 5e-4 \) | mm Hg s\(^2\)/mL |
| | \( P_{\text{PUL}}^{\text{AR}}(0) \) | 29.34 | Pa |
| | \( Q_{\text{PUL}}^{\text{AR}}(0) \) | 0.0 | mL/s |
| Pulmonary veins | \( R_{\text{PUL}}^{\text{VEN}} \) | 0.025 | mm Hg s/mL |
| | \( C_{\text{PUL}}^{\text{VEN}} \) | 38.4 | mL/mm Hg |
| | \( L_{\text{PUL}}^{\text{VEN}} \) | \( 2.083e-4 \) | mm Hg s\(^2\)/mL |
| | \( P_{\text{PUL}}^{\text{VEN}}(0) \) | 13.58 | Pa |
| | \( Q_{\text{PUL}}^{\text{VEN}}(0) \) | 0.0 | mL/s |

**Note:** The same parameters are employed for the 3D-0D CFD simulation.
| Parameter | Value |
|-----------|-------|
| $E_A$ (Left atrium) | 0.07 mm Hg/mL |
| $E_B$ (Left atrium) | 0.09 mm Hg/mL |
| $t_c$ (Left atrium) | 0.80 |
| $T_C$ (Left atrium) | 0.17 |
| $T_R$ (Left atrium) | 0.17 |
| $V_{LA}(0)$ (Left atrium) | 79.5 mL |
| $E_A$ (Right atrium) | 0.06 mm Hg/mL |
| $E_B$ (Right atrium) | 0.07 mm Hg/mL |
| $t_c$ (Right atrium) | 0.80 |
| $T_C$ (Right atrium) | 0.17 |
| $T_R$ (Right atrium) | 0.17 |
| $V_{LA}(0)$ (Right atrium) | 64.17 mL |
| $E_A$ (Right ventricle) | 0.55 mm Hg/mL |
| $E_B$ (Right ventricle) | 0.05 mm Hg/mL |
| $t_c$ (Right ventricle) | 0.0 |
| $T_C$ (Right ventricle) | 0.34 |
| $T_R$ (Right ventricle) | 0.15 |
| $V_{LA}(0)$ (Right ventricle) | 148.9 mL |
| $R_{min}$ (Mitral valve) | 0.0164 mm Hg s/mL |
| $R_{max}$ (Mitral valve) | 75,006.2 mm Hg s/mL |
| $R_{min}$ (Aortic valve) | 0.0355 mm Hg s/mL |
| $R_{max}$ (Aortic valve) | 75,006.2 mm Hg s/mL |
| $R_{min}$ (Tricuspid valve) | 0.0075 mm Hg s/mL |
| $R_{max}$ (Tricuspid valve) | 75,006.2 mm Hg s/mL |
| $R_{min}$ (Pulmonary valve) | 0.0075 mm Hg s/mL |
| $R_{max}$ (Pulmonary valve) | 75,006.2 mm Hg s/mL |

Note: Initial time of contraction $t_c$, contraction duration $T_C$ and relaxation duration $T_R$ are relative to the heartbeat period. For the right atrium, right ventricle, tricuspid and pulmonary valves, the same parameters are employed for the 3D-0D CFD simulation.