Global algebras of nonlinear generalized functions with applications in general relativity

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Abstract

We give an overview of the development of algebras of generalized functions in the sense of Colombeau and recent advances concerning diffeomorphism invariant global algebras of generalized functions and tensor fields. We furthermore provide a survey on possible applications in general relativity in light of the limitations of distribution theory.

Keywords: Colombeau algebra; nonlinear generalized function; distributional geometry; Geroch Traschen class; smoothing kernel; full and special Colombeau algebra; general relativity; functional analytic approach; kernel theorem

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1 Introduction

In physics there is the natural need to reduce the complexity of real-world physical systems for the formulation of mathematical models and therefore

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to work with idealizations. A well-known example of this is the notion of
a point particle, which represents an object whose properties like mass or
electric charge are concentrated in a single point.

Such a point charge is classically represented by Dirac’s delta function \( \delta \),
originally introduced as a useful notation for dealing with a certain kind of
infinities [20, p. 58]:

“To get a precise notation for dealing with these infinities, we in-
troduce a quantity \( \delta(x) \) depending on a parameter \( x \) satisfying
the conditions \( \int_{-\infty}^{\infty} \delta(x) \, dx = 1 \), \( \delta(x) = 0 \) for \( x \neq 0 \). \[ \ldots \] \( \delta(x) \) is
not a function according to the usual mathematical definition of
a function, which requires a function to have a definite value for
each point in its domain, but is something more general, which we
may call an ‘improper function’ to show up its difference from a
function defined by the usual definition. Thus \( \delta(x) \) is not a quan-
tity which can be generally used in mathematical analysis like an
ordinary function, but its use must be confined to certain simple
types of expression for which it is obvious that no inconsistency
can arise.”

Its mathematical justification had to wait for the introduction of the theory
of distributions by S. L. Sobolev [60] and L. Schwartz [57], who defined dis-
tributions as continuous linear functionals on certain spaces of test functions.

At that time the theory of distributions not only furnished the means for a
rigorous formulation of several previously vague uses of generalized functions
in physics, but very quickly brought strong results in particular in the field
of differential equations. As the most prominent example, the theorem of
Malgrange-Ehrenpreis [17, 22, 52] states that every linear partial differential
operator with constant coefficients has a distributional fundamental solution.
Another result of foundational importance is Schwartz’ kernel theorem [59],
which allows one to represent operators between general spaces of distribu-
tions by distributional kernels. These results reflect and in effect are made
possible by the strong footing of distribution theory in the theory of locally
convex spaces. At the same time, it is noteworthy that the basic definitions of
distribution theory are very simple, which certainly makes it more accessible
also for non-specialists and partly explains its success in many fields.

It is clear from the quote above that there are certain restrictions on what
one can do with distributions compared with ordinary functions. Being an
inherently linear theory, distribution theory does not allow for an intrinsic
definition of an associative product of distributions preserving the pointwise product of continuous functions, as can be seen from the relations
\[0 = (\delta(x) \cdot x) \cdot \text{vp} \left( \frac{1}{x} \right) = \delta(x) \cdot (x \cdot \text{vp} \left( \frac{1}{x} \right)) = \delta(x),\]

since \(\delta(x) \cdot x = x|_{x=0} = 0\) and \(x \cdot \text{vp}(1/x) = 1\), where \(\text{vp}(1/x)\) denotes the Cauchy principal value of \(1/x\). A theory where \(\delta = 0\) would be pointless, since we explicitly want to model shocks and point charges. Similarly, one can see that commutativity and the Leibniz rules cannot hold simultaneously. This impossibility is stated in precise terms by the Schwartz impossibility result [58]:

**Theorem 1.** Let \(\mathcal{A}\) be an algebra containing the algebra \(C(\mathbb{R})\) of all continuous functions on \(\mathbb{R}\) as a subalgebra such that the constant function \(1 \in C(\mathbb{R})\) is the unit in \(\mathcal{A}\). Assume there exists a linear map \(D: \mathcal{A} \to \mathcal{A}\) extending the derivation of continuously differentiable functions and satisfying the Leibniz rule. Then \(D^2(|x|) = 0\).

Because \(D^2(|x|) = 2\delta\) this implies that \(\mathcal{A}\) cannot contain \(\delta\) (for a further discussion of the problem of multiplying distributions see [51] Chapter I).

Hence, one way to define a product of distributions is to restrict to subalgebras (e.g., Sobolev spaces \(H^s\) with \(s\) large enough) or certain pairs of distributions (e.g., \(L^p \times L^q\) with \(1/p + 1/q = 1\)) for which the product again makes sense as a distribution; a different way is to weaken the requirement that \(C(\mathbb{R})\) is a subalgebra.

Both approaches have their merits. In Section 2 we first look at the uses and limitations of the first approach in the context of distributional geometry, where one only considers distributions such that all desired calculations can be carried out. After that, we will examine algebras of nonlinear generalized functions in the sense of Colombeau, which contain the space of smooth functions as a subalgebra. After recalling the basic idea and original definitions in Section 3 we describe how they split up into two different variants in Section 4 and present some recent applications to general relativity in Section 5. In Section 6 we then describe the previous attempts on obtaining diffeomorphism invariant Colombeau algebras, including the tensor case. Finally, in Section 7 we present a new approach to Colombeau algebras, unifying and simplifying previous work and leading the way to further developments.
2 Linear distributional geometry

2.1 Basic definitions

We briefly recall the basic definitions of the theory of distributions on an open subset $\Omega \subseteq \mathbb{R}^n$. For introductory texts we refer to [25, 57, 21, 36, 64].

The space of test functions $\mathcal{D}(\Omega)$ consists of all functions $\varphi : \Omega \to \mathbb{C}$ which are smooth (infinitely differentiable) and have compact support. This space is endowed with a certain locally convex inductive limit topology which turns it into an LF-space. The space of distributions $\mathcal{D}'(\Omega)$ then is defined as its topological dual endowed with the strong topology. The action of a distribution $u$ on a test function $\varphi$ is commonly denoted by $\langle u, \varphi \rangle$.

Locally integrable functions $f$ can be embedded into $\mathcal{D}'(\Omega)$ via integration; the image of $f$ in $\mathcal{D}'(\Omega)$ then is called a regular distribution and is given by the functional
\[
\varphi \mapsto \int f(x)\varphi(x) \, dx \quad (\varphi \in \mathcal{D}(\Omega)).
\] (1)

This formula also provides the basis on which many classical operations are extended to distributions: replacing $f$ by its $i$-th partial derivative $\partial_i f$ and integrating by parts, or replacing $f$ by its product $g \cdot f$ with a smooth function $g$, one obtains from (1) formulas for differentiating a distribution $u$ or multiplying it by a smooth function:
\[
\begin{align*}
\langle \partial_i u, \varphi \rangle &:= -\langle u, \partial_i \varphi \rangle, \\
\langle g \cdot u, \varphi \rangle &:= \langle u, g \cdot \varphi \rangle.
\end{align*}
\]

If one wants to extend the notion of distributions to a manifold $M$ (which will always be assumed to be finite-dimensional, paracompact and orientable), the definition of regular distributions as in (1) requires the product of $f$ and $\varphi$ to be an $n$-form, as these are the objects which can be integrated on a manifold. For this reason, one takes compactly supported $n$-forms $\omega \in \Omega^n_c(M)$ instead of test functions and defines $\mathcal{D}'(M)$ as the dual of $\Omega^n_c(M)$. As above, the Lie derivative of functions extends to $\mathcal{D}'(M)$ by setting $\langle L_X u, \omega \rangle := -\langle u, L_X \omega \rangle$ for $u \in \mathcal{D}'(M)$, $\omega \in \Omega^n_c(M)$ and $X$ a smooth vector field on $M$.

For considering e.g. distributional sources in linear field theories or singular metrics in general relativity one needs a notion of distributional sections of vector bundles or, more specifically, distributional tensor fields. The latter are most easily defined as tensor fields with distributional coefficients,
hence we say that distributional tensors of rank \((r, s)\) are given by the tensor product

\[ \mathcal{D}^r_s(M) := \mathcal{D}(M) \otimes_{C^\infty(M)} \mathcal{T}^s_r(M), \]

where \(\mathcal{T}^r_s(M)\) is the space of smooth \((r, s)\)-tensor fields on \(M\) and \(C^\infty(M)\) the space of smooth functions on \(M\) (see [30] for a thorough introduction to vector bundle valued distributions on manifolds).

Trying to extend classical operations to distributional tensor fields one encounters already the first difficulty: applying the method of continuous extension to multilinear operations on smooth tensor fields allows at most one factor to be distributional, the others have to be smooth. For instance, one can only take the tensor product of a distributional tensor field with a smooth tensor field, but not with another distributional tensor field. Furthermore, this places restrictions on the definition of distributional metrics and connections ([30, Section 3.1.5]). The underlying reason can be seen from the respective coordinate expressions, which would involve multiplication of distributions.

### 2.2 The Geroch-Traschen class

Geroch and Traschen [27] tried to find a wide class of metrics whose curvature tensors make sense as distributions — the so-called Geroch-Traschen class of metrics (or \(gt\)-regular metrics in [63]). For this purpose they defined certain restrictions on the metric and the covariant derivative in order to make all desired quantities well-defined.

The coordinate expression of the curvature tensor \(R\) of a semi-Riemannian manifold \((M, g)\) reads

\[ R^i_{jkl} = \frac{\partial \Gamma^i_{kj}}{\partial x^l} - \frac{\partial \Gamma^i_{lj}}{\partial x^k} + \sum_{m=1}^{n} \Gamma^i_{lm} \Gamma^m_{kj} - \sum_{m=1}^{n} \Gamma^i_{km} \Gamma^m_{lj}, \]

where the Christoffel symbols \(\Gamma^k_{ij}\) are given by

\[ \Gamma^k_{ij} = \frac{1}{2} \sum_{m=1}^{n} g^{km} \left( \frac{\partial g_{jm}}{\partial x^i} + \frac{\partial g_{im}}{\partial x^j} - \frac{\partial g_{ij}}{\partial x^m} \right). \]

Here, \(g_{ij}\) denotes the components of the metric and \(g^{ij}\) those of its inverse. The crucial observation of Geroch and Traschen was that the following conditions are sufficient for \(R^i_{jkl}\) to define a distribution:
(i) The inverse of $g$ exists everywhere and $g_{ij}, g^{ij}$ are locally bounded.

(ii) The weak first derivative of $g_{ij}$ exists and is locally square integrable.

This conditions are however not necessary, as can be seen in [26]. On the other hand the broader class of metrics given in [26] (yielding distributional curvature) is not stable under appropriate approximations via smooth metrics. More on smoothing of gt-regular metrics, non-degeneracy and compatibility with the Colombeau approach can be found in [63]. It should be noted that there is also a coordinate-free way to derive the Geroch-Traschen class of metrics ([15]).

2.3 Limitations

The most important result in the context of applicability of distribution theory to general relativity is [27, Theorem 1]: if one has a gt-regular distribution $u \neq 0$ concentrated on a submanifold $S$ (i.e., supp$(u) \subseteq S$) then the codimension of $S$ has to be equal to one. Thus in four dimensions this excludes strings and points and only allows concentration of matter on shells, which suggests that the use of distributions in general relativity is quite limited. We will discuss this in some more detail.

The application of distribution theory in general relativity has a long history, for example with problems involving metrics of low regularity, including shocks and thin shells (cf. [11, 57, 38, 40, 2, 1, 3] and [62] for a survey). As emphasized in [62] this approach is severely limited in its range of applications since on one hand the theory of distributions is a linear theory and on the other hand general relativity is inherently nonlinear (due to Einstein’s field equations). We will exemplify this problem in the case of impulsive pp-waves (plane fronted waves with parallel rays), where the nonlinearity comes from the geodesic equations.

2.4 Impulsive pp-waves

Impulsive waves were introduced by Penrose ([53, 54]) using a “cut-and-paste” approach, which involves cutting Minkowski space-time along a null hypersurface and reattaching the two regions with a suitable warp. These waves can also be considered as idealizations (impulsive limit) of sandwich waves of infinitely short duration. We refer to [28, Chapter 20] for a general introduction to this topic. Impulsive pp-waves can be described by the so-called
Brinkmann form of the pp-wave metric (7)

\[ ds^2 = f(x, y)\delta(u)du^2 - dv + dx^2 + dy^2, \]

where \( f \in C^\infty(\mathbb{R}^2) \), \( \delta \) denotes the delta distribution, \( u, v \) are null coordinates such that \( \partial_v \) is covariantly constant and \( x, y \) are Cartesian coordinates spanning the wave surfaces. From this form of the metric one can easily see that the space-time is flat except on the null hyperplane \( u = 0 \), where a \( \delta \)-like impulse is located. To be more precise, the impulse has “strength” \( f(x, y) \) at the point \( (x, y, 0, v) \). Clearly this space-time cannot be described within classical general relativity due to the \( \delta \)-like impulse. Moreover, it is not even gt-regular, i.e., it does not belong to the Geroch-Traschen class of metrics. Nevertheless these space-times are “tame” enough to calculate the Ricci tensor within distribution theory and hence the Einstein vacuum equations may be formulated giving \( \Delta f = 0 \) on the hypersurface \( u = 0 \).

So what aspects of this space-time cannot be handled in distribution theory? In light of the problem of multiplying distributions we see that when trying to solve the geodesic equations for impulsive pp-wave space-times described by the metric (7), we are in general multiplying distributions, not classical functions. To describe this problem in more detail, we consider the geodesic equations for the metric (7). Since \( \ddot{u} = 0 \), we use \( u \) as a new affine parameter and thereby exclude only trivial geodesics parallel to the shock hypersurface. In summary we get

\[
\begin{align*}
\ddot{v}(u) &= f(u)\delta(u) + 2 \left( \frac{\partial f}{\partial x}(u)x(u) + \frac{\partial f}{\partial y}(u)y(u) \right) \delta(u), \\
\ddot{x}(u) &= \frac{1}{2} \frac{\partial f}{\partial x}(u)\delta(u), \\
\ddot{y}(u) &= \frac{1}{2} \frac{\partial f}{\partial y}(u)\delta(u).
\end{align*}
\]

We try to solve these equations in \( \mathcal{D}' \): for \( u \neq 0 \) the right hand sides vanish, so we expect that the geodesics are broken (and possibly refracted) straight lines. By integrating and simplifying we get an expression for \( x \), namely \( x(u) = x_0 + \frac{1}{2}(\partial f/\partial x)(x_0)H(u)u \), where \( H \) denotes the Heaviside function and \( x_0 = x(0) \) is an arbitrary initial condition. Consequently, in equation (4) we have the product \( H \cdot \delta \) which is ill-defined in classical distribution theory. Now we see that the problem is that the distributional “solutions” do not obey the original equations unless one is willing to impose certain ad hoc multiplication rules. The correct idea to solve this problem is to approximate the delta distribution by a net of smooth functions \( (\delta_\varepsilon)_\varepsilon \) (\( \varepsilon \in (0, 1] \)) and solve the
equations in $C^\infty$ for each $\varepsilon$. This idea can be made mathematically rigorous in the Colombeau setting. See [61, 44, 43] for a treatment of impulsive pp-waves in the context of Colombeau algebras.

3 Algebras of nonlinear generalized functions

Theorem 1 implies that one cannot hope to embed distributions into a differential algebra such that the product of continuous functions is preserved. However, it was discovered by J. F. Colombeau [14, 13] that one can construct associative commutative differential algebras containing $D'$ as a linear subspace and $C^\infty$ as a subalgebra. In the following we will briefly sketch his original ideas.

Colombeau’s algebras emerged in the context of his work on calculus in infinite dimensional locally convex spaces. Clearly, products of distributions on an open subset $\Omega \subseteq \mathbb{R}^n$ can be naturally seen as monomials on $D(\Omega)$ (in the sense of [19]) or, more generally, smooth functions on $D(\Omega)$. The notion of smoothness employed by Colombeau originally was that of Silva-differentiability ([12]) but can be replaced with the (here equivalent) notion of smoothness in the sense of calculus on convenient vector spaces ([41]), which we shall also use in the sequel because it appears to be somewhat simpler for our purposes.

One might define the product of $u, v \in D'(\Omega)$ as the mapping $D(\Omega) \to \mathbb{C}$ given by

$$(u \cdot v)(\varphi) := \langle u, \varphi \rangle \cdot \langle v, \varphi \rangle \quad (\varphi \in D(\Omega)).$$

Obviously $C^\infty(D(\Omega))$, the space of smooth functions from $D(\Omega)$ into $\mathbb{C}$, is an algebra containing $D'(\Omega)$. It possesses partial derivatives $\partial_i$ ($i = 1 \ldots n$) extending those of distributions by setting, for $R \in C^\infty(D(\Omega))$,

$$\left(\partial_i R\right)(\varphi) := -dR(\varphi) \cdot \partial_i \varphi \quad (\varphi \in D(\Omega))$$

where $dR$ denotes the differential of $R$ ([41, 3.18]). The product of smooth functions $f, g$ is not preserved in this algebra because the expression

$$\int f(x)\varphi(x) \, dx \cdot \int g(x)\varphi(x) \, dx - \int f(x)g(x)\varphi(x) \, dx$$

is nonzero in general. It vanishes, however, if $\varphi$ is replaced by $\delta_z$, the delta distribution at any point $z \in \Omega$. Colombeau’s brilliant idea here was to characterize elements of the form [7] in $C^\infty(D(\Omega))$ by their asymptotic behavior
on certain sequences of test functions and hence define an ideal containing
them. The corresponding quotient algebra would then preserve the product
of smooth functions. We will explain this idea in some more detail because
of its fundamental importance for the rest of this article; for the original
account, see [13].

First, $C^\infty(\Omega)$ as a reflexive space is topologically isomorphic to its bidual
$L_b(\mathcal{E}'(\Omega), \mathbb{C})$, where $\mathcal{E}'(\Omega)$ denotes the strong dual of $C^\infty(\Omega)$ and, given
locally convex spaces $E$ and $F$, $L_b(E, F)$ denotes the space of all continuous
linear mappings from $E$ to $F$ endowed with the topology of bounded con-
vergence. We see that the subalgebra of $C^\infty(D(\Omega))$ generated by $C^\infty(\Omega)$ is
contained in $C^\infty(E'(\Omega))$:

\[
\begin{align*}
\mathcal{D}'(\Omega) & \quad \xrightarrow{\sim} C^\infty(D(\Omega)) \\
C^\infty(\Omega) \quad & \cong L(\mathcal{E}'(\Omega), \mathbb{C}) \subseteq C^\infty(\mathcal{E}'(\Omega))
\end{align*}
\]

Slightly generalizing (7), we can say that we are looking for an ideal of
$C^\infty(D(\Omega))$ containing the set
\[
\{ R \in C^\infty(\mathcal{E}'(\Omega)) \mid R(\delta_x) = 0 \ \forall x \in \Omega \}. \tag{8}
\]
The following proposition characterizes elements of this set in terms of their
restriction to $D(\Omega)$ ([13, Proposition 3.3.3]). It utilizes the sets

\[
\mathcal{A}_q(\mathbb{R}^n) := \{ \varphi \in \mathcal{D}(\mathbb{R}^n) : \int \varphi(x) \, dx = 1, \int x^\alpha \varphi(x) \, dx = 0 \ \forall 1 \leq |\alpha| \leq q \} \quad (q \in \mathbb{N}_0) \tag{9}
\]
using the usual multiindex notation $x^\alpha = x_1^{\alpha_1} \cdots x_n^{\alpha_n}$ for $x = (x_1, \ldots, x_n) \in \mathbb{R}^n$ and $\alpha = (\alpha_1, \ldots, \alpha_n) \in \mathbb{N}_0^n$.

**Proposition 2.** Let $R \in C^\infty(\mathcal{E}'(\Omega))$ be given. Then $R(\delta_x) = 0$ for all $x \in \Omega$
if and only if $\forall q \in \mathbb{N} \ \forall \varphi \in \mathcal{A}_q(\mathbb{R}^n) \ \forall K \subseteq \Omega$ compact there are $c > 0$ and
$\eta > 0$ such that

\[
|R(\varphi_{\varepsilon,x})| \leq c\varepsilon^{q+1} \quad \forall 0 < \varepsilon < \eta \text{ and } x \in K,
\]

where $\varphi_{\varepsilon,x} \in \mathcal{D}(\Omega)$ is defined by $\varphi_{\varepsilon,x}(y) := \varepsilon^{-n}\varphi((y-x)/\varepsilon)$ for small $\varepsilon$.

This suggests to define an ideal of $C^\infty(D(\Omega))$ containing (8) as the set of all
elements satisfying this condition. However, one has to restrict to a smaller
subalgebra (still containing all distributions) for this to be an ideal. The definition of the original algebra of nonlinear generalized functions introduced by Colombeau hence takes the following form.

**Definition 3.** If \( R \in C^\infty(D(\Omega)) \) we say that \( R \) is moderate if for every compact subset \( K \) of \( \Omega \) and every multiindex \( \alpha \in \mathbb{N}_0^n \) there is an \( N \in \mathbb{N} \) such that \( \forall \varphi \in \mathcal{A}_N(\mathbb{R}^n) \exists c > 0 \) and \( \eta > 0 \) such that \( |\partial^\alpha R(\varphi_{\varepsilon,x})| \leq c \varepsilon^{-N} \). The set of all moderate elements is denoted by \( \mathcal{E}^o_M(\Omega) \).

If \( R \in \mathcal{E}^o_M(\Omega) \) is called negligible if for every compact set \( K \) of \( \Omega \) and every multiindex \( \alpha \in \mathbb{N}_0^n \) there is an \( N \in \mathbb{N} \) such that \( \forall \varphi \in \mathcal{A}_q(\mathbb{R}^n) \) with \( q \geq N \exists c > 0 \) and \( \eta > 0 \) such that \( |\partial^\alpha R(\varphi_{\varepsilon,x})| \leq c \eta^{-N} \). The subset of all negligible elements is denoted by \( N^o(\Omega) \).

We set \( \mathcal{E}^o(\Omega) := C^\infty(D(\Omega)) \) and \( \mathcal{G}^o(\Omega) := \mathcal{E}^o_M(\Omega)/N^o(\Omega) \).

For clarity, let us state that \( \partial^\alpha R(\varphi_{\varepsilon,x}) \) denotes the derivative of order \( \alpha \) of the map \( x' \mapsto R(\varphi_{\varepsilon,x'}) \).

The desired properties are easily verified: distributions are canonically embedded into \( \mathcal{G}^o(\Omega) \), \( C^\infty(\Omega) \) is contained as a subalgebra and the partial derivatives given by \( \mathcal{E}^o_M(\Omega) \) extend those of distributions. Furthermore, many concepts of classical analysis (point values, integrals, Fourier transform etc.) have direct equivalents in the context of generalized functions (cf. \[13, 14, 51, 30\]).

It should be stressed at this point that Colombeau’s algebra of generalized functions is not an alternative to but an extension of distribution theory. In fact, through the concept of association it is possible in many cases to relate certain generalized functions in \( \mathcal{G}^o(\Omega) \) to distributions (\[13\] Definition 3.5.2):

**Definition 4.** Let \( R \in \mathcal{E}^o(\Omega) \) be a representative of an element of \( \mathcal{G}^o(\Omega) \). If for every \( \psi \in D(\Omega) \) there is \( q \in \mathbb{N} \) such that for all \( \varphi \in \mathcal{A}_q(\mathbb{R}^n) \) the limit

\[
\lim_{\varepsilon \to 0} \int R(\varphi_{\varepsilon,x}) \cdot \psi(x) \, dx
\]

exists independently of \( \varphi \), and as a function of \( \psi \) this limit defines a distribution on \( \Omega \), we say that \( R \) admits an associated distribution \( u \) defined by the formula

\[
\langle u, \psi \rangle := \lim_{\varepsilon \to 0} \int R(\varphi_{\varepsilon,x}) \cdot \psi(x) \, dx \quad (\psi \in D(\Omega)).
\]
As an example, the classical product of continuous functions is not preserved in $\mathcal{G}^o(\Omega)$, but it is so on the level of association. The point of association is that whenever a calculation makes sense distributionally one obtains the same result if one formulates the same problem in the Colombeau algebra, does the same calculations there, and finds the associated distribution.

These definitions are classical and have since been subject to many modifications and adaptations, of which we will describe the most important ones in Section 4. For the many applications of Colombeau algebras in the fields of partial differential equations, numerics or geometry we refer to the monographs [13, 6, 51, 30].

4 Full and special Colombeau algebras

After the presentation of $\mathcal{G}^o$ in [13], two main variants of Colombeau algebras emerged. The first one, so-called full algebras, possess a canonical embedding of distributions and were the basis for the development of a diffeomorphism invariant theory which eventually should lead to a global formulation on manifolds and also an algebra of generalized tensor fields. The second one, so-called simplified or special algebras, have a very simple formulation and thus allow for a direct transfer of many classical notions, but have no canonical embedding of distributions anymore and suffer from a lack of diffeomorphism invariance.

At the basis of these variants lie different interpretations of generalized functions in $\mathcal{G}^o(\Omega)$ on a rather symbolic level. In fact, by Definition 3 generalized functions $R \in \mathcal{E}^o(\Omega)$ are determined by all their values

$$R(\varphi, \varepsilon, x) \quad (\varphi \in \mathcal{A}_1(\mathbb{R}^n), \varepsilon \in (0, 1], x \in \Omega).$$

(10)

By varying how the dependence on $\varphi$, $\varepsilon$ and $x$ is incorporated in the basic space the following prototypical variants can be derived.

4.1 Full algebras

The idea of the elementary full algebra presented in [14] is to view generalized functions as mappings in both $\varphi$ and $x$. This allows one to shift the burden of differentiation to the $x$-variable alone, for fixed $\varphi$, and formulate the theory without recourse to calculus on infinite dimensional locally convex spaces.
The corresponding definitions are as follows (see [14, Chapter 1] and [30, Section 1.4]).

**Definition 5.** We set
\[
U(\Omega) := \{(\varphi, x) \in A_0(\mathbb{R}^n) \times \Omega \mid \text{supp } \varphi + x \subseteq \Omega\},
\]
\[
\mathcal{E}^e(\Omega) := \{R: U(\Omega) \to \mathbb{C} \mid R(\varphi,.) \text{ is smooth } \forall \varphi \in A_0(\mathbb{R}^n)\}.
\]

We say that an element \( R \in \mathcal{E}^e(\Omega) \) is moderate if for every compact subset \( K \) of \( \Omega \) and every multiindex \( \alpha \in \mathbb{N}^n_0 \) there is \( N \in \mathbb{N} \) such that if \( \varphi \in A_N(\mathbb{R}^n) \) then there exist \( \eta > 0, c > 0 \) such that \( |\partial^\alpha R(S_\varepsilon \varphi, x)| \leq c\varepsilon^{-N} \), where \( (S_\varepsilon \varphi)(y) := \varepsilon^{-n} \varphi(y/\varepsilon) \). We denote by \( \mathcal{E}^e_M(\Omega) \) the set of all moderate elements of \( \mathcal{E}^e(\Omega) \).

We say that an element \( R \) of \( \mathcal{E}^e(\Omega) \) is negligible if for every compact subset \( K \) of \( \Omega \), every multiindex \( \alpha \in \mathbb{N}^n_0 \) and every \( m \in \mathbb{N} \) there is \( q \in \mathbb{N} \) such that if \( \varphi \in A_q(\mathbb{R}^n) \) then there exist \( \eta > 0, c > 0 \) such that \( |\partial^\alpha R(\varphi, x)| \leq c\varepsilon^q \). We denote by \( \mathcal{N}^e(\Omega) \) the set of all negligible elements.

We set \( \mathcal{G}^e(\Omega) := \mathcal{E}^e_M(\Omega)/\mathcal{N}^e(\Omega) \).

The canonical embedding \( \iota: \mathcal{D}'(\Omega) \to \mathcal{G}^e(\Omega) \) is determined by assigning to \( u \in \mathcal{D}'(\Omega) \) the class of
\[
(\varphi, x) \mapsto \langle u, \varphi(.-x) \rangle \quad ((\varphi, x) \in U(\Omega)).
\]

Smooth functions are embedded via the map \( \sigma: C^\infty(\Omega) \to \mathcal{G}^e(\Omega) \) which assigns to \( f \in C^\infty(\Omega) \) the class of
\[
(\varphi, x) \mapsto f(x) \quad ((\varphi, x) \in U(\Omega)).
\]

Finally, partial derivatives extending those of distributions are given by
\[
(\partial_i R)(\varphi, x) := \partial_i (R(\varphi, .))(x).
\]

Comparing this formula for \( \partial_i R \) with \([10]\) and the basic space \( \mathcal{E}^e(\Omega) \) with \( \mathcal{E}^o(\Omega) \), one sees that one does not need calculus on infinite-dimensional spaces anymore for the formulation of this algebra, hence the name elementary algebra. Colombeau algebras possessing a canonical embedding of distributions, like \( \mathcal{G}^o \) or \( \mathcal{G}^e \), are traditionally called full algebras.
4.2 Special algebras

A different simplification comes from fixing $\varphi$ in (10) and viewing generalized functions as functions depending on $\varepsilon$ and $x$. This gives rise to the so-called simplified (or special) algebra, where generalized functions are represented by nets in $\varepsilon$ of smooth functions in $x$. This approach links particularly well with the sequential approach to distributions of Mikusiński [48]. The definitions are as follows ([30, 51, 15]), where we employ the notation $K \subset\subset \Omega$ for saying that $K$ is a compact subset of $\Omega$:

**Definition 6.** We set

\[
I := (0, 1],
\]
\[
\mathcal{E}^s(\Omega) := (C^\infty(\Omega))^I,
\]
\[
\mathcal{E}^s_M(\Omega) := \{(u_\varepsilon) \in \mathcal{E}^s(\Omega) \mid \forall K \subset\subset \Omega \forall \alpha \in \mathbb{N}_0^n \exists N \in \mathbb{N} : \sup_{x \in K} |\partial^\alpha u_\varepsilon(x)| = O(\varepsilon^{-N})\},
\]
\[
\mathcal{N}^s(\Omega) := \{(u_\varepsilon) \in \mathcal{E}^s(\Omega) \mid \forall K \subset\subset \Omega \forall \alpha \in \mathbb{N}_0^n \forall m \in \mathbb{N} : \sup_{x \in K} |\partial^\alpha u_\varepsilon(x)| = O(\varepsilon^m)\},
\]
\[
\mathcal{G}^s(\Omega) := \mathcal{E}^s_M(\Omega)/\mathcal{N}^s(\Omega).
\]

Smooth functions are trivially included as constant nets via

\[
\sigma : C^\infty(\Omega) \to C^\infty(\Omega)^I,
\]
\[
f \mapsto (f_\varepsilon).
\]

In order to have $\iota$ and $\sigma$ agree on $C^\infty(\Omega)$, $\varphi$ has to satisfy all moment conditions defining the spaces $\mathcal{A}_q(\mathbb{R}^n)$ at once, which forces it to be an element of the Schwartz space $\mathcal{S}(\mathbb{R}^n)$. As a consequence, one can directly embed only compactly supported distributions at first and construct an embedding of $\mathcal{D}'(\Omega)$ using the sheaf property afterwards (see [30, Section 1.2.2] for details). However, one can also write this embedding in the form

\[
\iota u := (x \mapsto \langle u, \tilde{\psi}_\varepsilon(x) \rangle)_\varepsilon \quad (u \in \mathcal{D}'(\Omega))
\]

for some net $(\tilde{\psi}_\varepsilon)_\varepsilon \in C^\infty(\Omega, \mathcal{D}(\Omega))^I$ (cf. [50]).

The special algebra is particularly convenient for direct extensions of operations on smooth functions because one can define them componentwise, i.e., for fixed $\varepsilon$. Spaces of generalized functions on manifolds and generalized
sections of vector bundles are defined similarly to Definition (6); for example, the space \( \hat{G}_r^s(M) \) of generalized \((r,s)\)-tensor fields on \( M \) consists of nets \((t_\varepsilon)_\varepsilon \in (T^r_s(M))^I\) which are subject to the usual Colombeau-type quotient construction. A generalized metric then is an element of \( G_0^0(M) \) such that each \( t_\varepsilon \) is a pseudo-Riemannian metric of constant index (locally and for small \( \varepsilon \)) and which is non-degenerate in a certain sense. The development of the foundations of semi-Riemannian geometry in this setting came very naturally ([45]). Analogously, one can define a space \( G[R,M] \) of generalized mappings on \( \mathbb{R} \) with values in the manifold \( M \) ([42]).

However, special algebras suffer from a major drawback: they fail to be diffeomorphism invariant and hence are only of limited use in a geometrical setting. Furthermore, the embedding \( \iota \) does not commute with arbitrary Lie derivatives.

We will see in Section 7 how these two essentially different approaches, full and special algebras, can be reconciled in a bigger picture.

5 Applications to general relativity

The two papers [62, 65] give a comprehensive overview of applications of distributions and generalized functions to general relativity. Additionally to the works mentioned in Section 2, these articles discuss applications to Schwarzschild and Kerr space-times, ultrarelativistic black holes, conical singularities, cosmic strings, weak singularities and generalized hyperbolicity. Therefore we only discuss more recent works, starting with the description of an important class of wave-type space-times.

5.1 Geodesic completeness of non-smooth space-times

This class of space-times, the so-called \( N \)-fronted waves with parallel rays \((NPWs)\) for short, are defined as a product \( M = N \times \mathbb{R}^2 \), with metric

\[
l = \pi^*(h) + 2dudv + F(., u)du^2,
\]

where \( h \) denotes the metric of an arbitrary, connected Riemannian manifold \((N, h)\), \( \pi: M \to N \) is the projection and \( u, v \) are global null-coordinates on the 2-dimensional Minkowski space \( \mathbb{R}^2_1 \). Moreover, \( F \in C^\infty(N \times \mathbb{R}) \) is classically assumed to be smooth and is called the profile function of this space-time. At first these models were studied by Brinkmann in the context of
conformal mappings of Einstein spaces \( [8] \). In the last ten years NPWs were investigated with respect to their geometric properties and causal structure in \([9, 23, 10, 24]\), where these space-times are called “general plane waves”. Due to the geometric interpretation given in \([55]\) of \( N \) as the wave surface of these waves, it seemed more natural to call them \( N \)-fronted waves, rather than plane-fronted waves. Note that plane-fronted waves with parallel rays (pp-waves) (cf. \([28, \text{Chapter 17}]\)) are a special case of NPWs \( (N = \mathbb{R}^2 \text{ with the Euclidean metric}) \).

Impulsive NPWs (iNPWs for short) are a generalization of impulsive pp-waves. Here the profile function \( F \) is given as a product \( f \cdot \delta \), where \( f \in C^\infty (N) \) and \( \delta \) denotes the Dirac distribution on the hypersurface \( u = 0 \). As already shown in \([44]\), impulsive pp-waves can be thought of as being geodesically complete in the sense that all geodesics (in the generalized sense) can be defined for all time. This result also holds for the general class of iNPWs without any growth restrictions on the profile function \( f \), which is in contrast to the smooth case where one needs “subquadratic” behavior of the profile function in the \( N \)-component (see \([24, \text{Theorem 4.1}]\)). Approximating the \( \delta \) distribution by a strict delta net \( (\rho_\varepsilon) \), we obtain a family of smooth metrics

\[
l_\varepsilon = \pi^*(h) + 2dudv + f(\rho_\varepsilon(u))du^2.
\]

Then the precise statement is the following (see \([55, \text{Theorem 3.2}]\)):

Theorem 7. Let \((N, h)\) be a connected and complete Riemannian manifold. Then for all \( x_0 \in N \), \( \dot{x}_0 \in T_{x_0}N \) and all \( v_0, \dot{v}_0 \in \mathbb{R} \) there exists \( \varepsilon_0 \) such that the solution \((x_\varepsilon, v_\varepsilon)\) of the geodesic equation with initial data \( x_\varepsilon(-1) = x_0 \), \( \dot{x}_\varepsilon(-1) = \dot{x}_0 \), \( v_\varepsilon(-1) = v_0 \), \( \dot{v}_\varepsilon(-1) = \dot{v}_0 \) is defined for all \( u \in \mathbb{R} \), provided \( \varepsilon \leq \varepsilon_0 \).

Note that \( \varepsilon_0 \) depends on the initial conditions and in general one cannot find an \( \varepsilon_0 \) that works for any initial condition. One could say that “in the limit as \( \varepsilon \searrow 0 \)” the space-time is complete. But without the use of Colombeau generalized functions one cannot make this intuitive notion precise. Hence we arrive at the following definition \([56]\):

Definition 8 (Geodesic completeness for generalized metrics). Let \( g \in \mathcal{G}_2^0(M) \) be a generalized metric of Lorentzian signature. Then the generalized space-time \((M, g)\) is said to be geodesically complete if every geodesic can be defined on \( \mathbb{R} \), i.e., every solution of the geodesic equation

\[
c'' = 0
\]

is in \( \mathcal{G}[\mathbb{R}, M] \).
In this sense iNPWs are geodesically complete (cf. [56]) and one can see the need to use Colombeau generalized functions to give a mathematically rigorous meaning to that notion. This is an example were one can observe that (just) using distributions one is unable to formulate in a mathematical precise manner a physically interesting concept. The above discussion provides a strong incentive to use Colombeau algebras when dealing with non-smooth space-times.

5.2 Solution theory of the wave equation and generalized global hyperbolicity

Another recent development is a solution theory for the Cauchy problem on non-smooth manifolds with weakly singular Lorentzian metrics ([34]). In this work a generalization of the (smooth) metric splitting for globally hyperbolic Lorentzian manifolds ([11, 5]) is employed to prove existence and uniqueness for the Cauchy problem with compactly supported initial data in the special Colombeau algebra $G^s$. This notion is called globally hyperbolic metric splitting and its definition is given in ([34, Definition 6.1]). The space-time $(M,g)$ splits in this case as $M \cong \mathbb{R} \times S$, where $S$ is a Cauchy hypersurface (for every representative of $g$). Then the Cauchy problem reads

$$\begin{cases}
\Box u = 0 \text{ on } M, \\
u = u_0 \text{ on } S, \\
\nabla_\xi u = u_1 \text{ on } S. 
\end{cases}$$

Here $u_0, u_1 \in G^s(S)$ have compact support and $\xi$ denotes the unit normal vector field of $S$. [34, Theorem 6.3] states that (12) has a unique solution $u \in G^s(M)$ if one assumes (additionally to $g$ being weakly singular) better asymptotics of the time-derivative of the metric as well as the spatial derivative of $g_{00}$, i.e., condition (B) of [34]. The inhomogeneous problem and the inclusion of lower-order terms can be handled also by these methods (cf. [33]).

This generalization of the smooth metric splitting is well-suited for dealing with the Cauchy problem but it is not directly related to more geometric (existence of a Cauchy hypersurface) or more “physical” characterizations of global hyperbolicity like satisfying the causality condition and having compact causal diamonds. Thus there was the need to see how well-suited this notion of generalized global hyperbolicity is when dealing with concrete non-smooth space-times. In order to settle this question, NPWs with non-smooth profile function were investigated in [35]. There the metric splitting was calculated quite explicitly for a subclass of NPWs with smooth profile function.
and then these explicit constructions were used to approximate the non-smooth metric splitting. One result obtained is that one should allow for a generalized diffeomorphism (in the sense of \cite[Section 4]{43}) in the splitting of $M \cong \mathbb{R} \times S$ (\cite[Proposition 3.3 and Corollary 3.5]{35}). Another result is that if one approximates the non-smooth profile function appropriately then one obtains also an approximation of the metric splitting (\cite[Section 4]{35}).

So the overall conclusion of \cite{35} is that there are reasonable examples of non-smooth space-times possessing the (adapted) globally hyperbolic metric splitting but still it would be desirable to have more geometric generalizations of global hyperbolicity in the non-smooth setting.

In applications (especially to general relativity) it is often more convenient to use the special algebra, but of course it would be more reasonable to use diffeomorphism invariant Colombeau algebras, which are explained in the next section. It is our hope that the functional analytic approach outlined in Section 7 removes a lot of technicalities when dealing with these and hence enables one to take advantage of its merits more directly.

6 Diffeomorphism invariance

Since the first introduction of Colombeau algebras there was the desire to have a functorial version of the theory. More explicitly, any diffeomorphism $\Omega \to \Omega'$ should induce an action $\mathcal{G}(\Omega) \to \mathcal{G}(\Omega')$ in a way respecting composition and the identity, and $\Omega$ should be allowed to be a manifold instead of an open subset of $\mathbb{R}^n$. Such a diffeomorphism invariant construction would ensure independence of any choice of coordinate system and is essential for applications in a geometrical context.

6.1 The diffeomorphism invariant local Colombeau algebra $\mathcal{G}^d$

Accomplishing this goal was a long and tedious undertaking which spanned quite some years, involved several authors, and brought with it a lot of technical difficulties (\cite[39, 29]; see \cite[Section 2.1]{30} for more detailed comments).

The root of the problem lies in the fact that attempts focused on building a diffeomorphism invariant algebra on top of $\mathcal{G}^e$, but this algebra uses the linear structure of $\mathbb{R}^n$ in an essential way for a simple and elementary presentation.
As a consequence, it is particularly ill suited for a global formulation, which is why the necessary adaptations to obtain diffeomorphism invariance were so complicated. One had to 1) replace test objects \( \varphi \in A_0(\mathbb{R}^n) \) by ones depending also on \( \varepsilon \) and \( x \), 2) reintroduce calculus on infinite-dimensional locally convex spaces, 3) deal with poor domains of definition of test objects after being transformed by a diffeomorphism, 4) switch from vanishing moments to asymptotically vanishing moments and 5) establish invariance of moderateness and negligibility under derivatives, which became quite complicated in this setting.

Despite these difficulties, the diffeomorphism invariant local theory, substantially based on previous work of Colombeau and Meril [16] and especially Jelínek [39], was for the first time obtained in [29], where the following definitions were given.

**Definition 9.** We set \( \mathcal{E}^d(\Omega) := C^\infty(U(\Omega)). \)

Let \( C^\infty_b(I \times \Omega, A_0(\mathbb{R}^n)) \) be the space of all smooth maps \( \phi: I \times \Omega \to A_0(\mathbb{R}^n) \) such that the corresponding map \( \hat{\phi}: I \to C^\infty(\Omega, A_0(\mathbb{R}^n)) \) has bounded image.

\( R \in \mathcal{E}^d(\Omega) \) is called moderate if \( \forall K \subset \subset \Omega \ \forall \alpha \in \mathbb{N}_0^n \ \exists N \in \mathbb{N} \ \forall \phi \in C^\infty(I \times \Omega, A_0(\mathbb{R}^n)) : \sup_{x \in K} |\partial^\alpha(R(S_\varepsilon\phi(\varepsilon, x), x))| = O(\varepsilon^{-N}) \), where \( \partial^\alpha(R(S_\varepsilon\phi(\varepsilon, x), x)) \) denotes the derivative of order \( \alpha \) of the map \( x' \mapsto R(S_\varepsilon\phi(\varepsilon, x'), x') \) at \( x \). The set of all moderate elements will be denoted by \( \mathcal{E}^d_M(\Omega) \).

\( R \in \mathcal{E}^d_q(\Omega) \) is called negligible if \( \forall K \subset \subset \Omega \ \forall m \in \mathbb{N} \ \exists q \in \mathbb{N} \ \forall \phi \in C^\infty_b(I \times \Omega, A_q(\mathbb{R}^n)) : \sup_{x \in K} |R(S_\varepsilon\phi(\varepsilon, x), x)| = O(\varepsilon^m) \). The set of all negligible elements will be denoted by \( \mathcal{N}^d(\Omega) \).

We have embeddings \( \iota: D'(\Omega) \to \mathcal{E}^d(\Omega) \) and \( \sigma: C^\infty(\Omega) \to \mathcal{E}^d(\Omega) \) defined by

\[
(\iota u)(\varphi, x) := \langle u, \varphi(\cdot - x) \rangle,
\]

\[
(\sigma f)(\varphi, x) := f(x).
\]

Derivatives are given by

\[
(D_i R)(\varphi, x) := \partial_i (R(\varphi(\cdot)), x)(x).
\]

The pullback of an element of \( \mathcal{E}^d(\Omega') \) along a diffeomorphism \( \mu: \Omega \to \Omega' \) is defined as \( \mu^* R \in \mathcal{E}^d(\Omega) \) given by

\[
(\mu^* R)(\varphi, x) := R(\mu_*(\varphi, x)),
\]

where we set

\[
\mu_*(\varphi, x) := (\varphi(\mu^{-1}(\cdot + \mu x) - x) \cdot |\det D\mu^{-1}(\cdot + \mu x)|, \mu x).
\]

Finally, \( \mathcal{G}^d(\Omega) := \mathcal{E}^d_M(\Omega)/\mathcal{N}^d(\Omega) \).
A glance at the proofs of the basic constitutive properties of $G^d$ (cf. [29]) shows that it is far from being simple and elegant. As will be seen below, it was in the global theory on manifolds where glimpses of a simpler formulation first became visible. On the base of this, the first author presented a short and concise treatment of $G^d$ and $\hat{G}$ in [49]. But even with as much simplification of the proofs as possible, the problem remained that too many technicalities in its basic structure hindered the application and the further development of diffeomorphism invariant Colombeau algebras.

The main difficulties seem to lie in establishing diffeomorphism invariance of the test objects. We will see in Section 7 how all of this can be replaced by a much more cleaner and simpler structure, but we will first sketch the further developments in the manifold setting based on $G^d$.

6.2 The global Colombeau algebra $\hat{G}$

It is evident that the construction of $G^d$ as given above does not directly generalize to the manifold setting because its formulation depends in an essential way on the linear structure of $\mathbb{R}^n$, in particular concerning the test objects. One had to incorporate the formalism used by Jelínek in [39] and find a coordinate-free characterization of the test objects in order to move to manifolds. In the following definition of the test objects for $\hat{G}$ ([31, Definition 3.3]), $\mathfrak{X}(M)$ denotes the space of smooth vector fields on a manifold $M$ and $B_r(p)$ the metric ball around $p \in M$ with radius $r$ with respect to an arbitrary (but fixed) metric.

**Definition 10.** Set $\hat{A}_0(M) := \{ \omega \in \Omega^n_0(M) \mid \int \omega = 1 \}$.

We denote by $\tilde{A}_0(M)$ the set of all $\Phi \in C^\infty(I \times M, \tilde{A}_0(M))$ satisfying

(i) $\forall K \subset \subset M \ \exists \varepsilon_0, C > 0 \ \forall p \in K \ \forall \varepsilon \leq \varepsilon_0: \ \text{supp } \Phi(\varepsilon, p) \subseteq B_{C}(p)$,

(ii) $\forall K \subset \subset M \ \forall l, m \in \mathbb{N}_0 \ \forall \zeta_1, \ldots, \zeta_l, \theta_1, \ldots, \theta_m \in \mathfrak{X}(M):$

$$\sup_{p \in K, q \in X} \| L_{\theta_1} \ldots L_{\theta_m} (L'_{\zeta_1} + L_{\zeta_1}) \ldots (L'_{\zeta_l} + L_{\zeta_l}) \Phi(\varepsilon, p)(q) \| = O(\varepsilon^{-(n+m)}).$$

where $L'_\zeta \Phi(\varepsilon, p)(q) := L_\zeta(p \mapsto \Phi(\varepsilon, p)(q)).$

For $k \in \mathbb{N}$ we denote by $\tilde{A}_k(M)$ the set of all $\Phi \in \tilde{A}_0(M)$ such that $\forall f \in C^\infty(M)$ and $\forall K \subset \subset M$:

$$\sup_{p \in K} \| f(p) - \int_M f(q) \Phi(\varepsilon, p)(q) \| = O(\varepsilon^{k+1}). \quad (13)$$
Elements of $\tilde{A}_0(M)$ were called “smoothing kernels” in [31], but we propose a different terminology where we will call these objects “nets of smoothing kernels” instead, the meaning of which will become clear in Section 7.

The spaces $\tilde{A}_k(M)$ correspond, via scaling and translation ([31, Lemma 4.2]), to the spaces $C_c^\infty(I \times \Omega, A_q(\mathbb{R}^n))$ of local test objects in $C_c^\infty$ from Definition 9, but note that they still are not easily seen to be diffeomorphism invariant — the proof of this property rests entirely on the local theory.

With this, one can define the space of scalar generalized functions on a manifold as follows:

**Definition 11.** We set $\hat{\mathcal{E}}(M) := C^\infty(\hat{\mathcal{A}}_0(M) \times M)$. $R \in \hat{\mathcal{E}}(M)$ is called moderate if $\forall K \subset\subset M \forall l \in \mathbb{N}_0 \exists N \in \mathbb{N} \forall \zeta_1, \ldots, \zeta_l \in \mathfrak{X}(M) \forall \Phi \in \hat{\mathcal{A}}_0(M)$: $\sup_{p \in K}|L_{\zeta_1} \cdots L_{\zeta_l}(R(\Phi(\varepsilon, p), p))| = O(\varepsilon^{-N})$, where $L_{\zeta_1} \cdots L_{\zeta_l}(R(\Phi(\varepsilon, p), p))$ denotes the iterated Lie derivative of $p' \mapsto R(\Phi(\varepsilon, p'), p')$ at $p$. The subset of moderate elements of $\hat{\mathcal{E}}(M)$ is denoted by $\hat{\mathcal{E}}_M(M)$.

$R \in \hat{\mathcal{E}}(M)$ is called negligible if $\forall K \subset\subset M \forall l, m \in \mathbb{N}_0 \exists k \in \mathbb{N} \forall \zeta_1, \ldots, \zeta_l \in \mathfrak{X}(M) \forall \Phi \in \hat{\mathcal{A}}_k(M)$: $\sup_{p \in K}|L_{\zeta_1} \cdots L_{\zeta_l}(R(\Phi(\varepsilon, p), p))| = O(\varepsilon^m)$. The subset of negligible elements of $\hat{\mathcal{E}}(M)$ is denoted by $\hat{\mathcal{N}}(M)$.

We have embeddings $\iota: \mathcal{D}'(\Omega) \rightarrow \hat{\mathcal{E}}(M)$ and $\sigma: C^\infty(\Omega) \rightarrow \hat{\mathcal{E}}(M)$ defined by

$$(\iota u)(\omega, p) := \langle u, \omega \rangle,$$

$$(\sigma f)(\omega, x) := f(x).$$

The Lie derivative of $R \in \hat{\mathcal{E}}(M)$ with respect to a vector field $X \in \mathfrak{X}(M)$ is defined as

$$(\hat{L}_X R)(\omega, p) := -d_1 R(\omega, p)(L_X \omega) + L_X(R(\omega, .))|_p,$$

where $d_1$ is the differential with respect to the first variable, and the action of a diffeomorphism $\mu: M \rightarrow N$ is given by

$$(\mu_* R)(\omega, p) := \mu_*(R(\mu^* \omega, \mu^{-1} p)).$$

We set $\hat{\mathcal{G}}(M) := \hat{\mathcal{E}}_M(M)/\hat{\mathcal{N}}(M)$.

As in $G^d$, pullback and Lie derivatives commute with the embeddings $\iota$ and $\sigma$ here. It should be noted that if one takes these definitions as the starting point also for $G^d$ the local theory gets somewhat more transparent. An essential advantage of this approach then is that one has no problems at all with
the domain of definition of generalized functions and of test objects, so part of the difficulties in $G^d$ is simply avoided without any real drawbacks. Furthermore, the equivalence between local and global formalism becomes much more easier this way, as is evidenced in [49]. However, the difficulties with showing stability of moderateness and negligibility under diffeomorphisms and derivatives remains.

After the introduction of the global algebra $\hat{G}$ it was naturally very desirable to have an extension to a theory of generalized sections of vector bundles, in particular a theory of nonlinear generalized tensor fields for applications in (semi-)Riemannian geometry, but this faced some serious difficulties which we will describe next.

6.3 Generalized tensor fields $\hat{G}_s^r$

Coming from $\hat{G}$, the basic problem encountered in the tensor case is that one cannot use a coordinatewise embedding and simply take the definition $\hat{G}_s^r(M) := \hat{G}(M) \otimes_{C^\infty(M)} T^r_s(M)$, where $\hat{G}(M)$ is the scalar algebra defined above. This cannot succeed due to a consequence of the Schwartz impossibility result [32, Proposition 4.1].

The underlying reason is that Colombeau algebras always involve some kind of regularization; this is visible either in the basic space and the embedding (special algebras) or in the testing procedure (full algebras), and in any case in the form embedded distributions take when they are being tested for moderateness or negligibility (see Section 7 below). But in order to regularize non-smooth or distributional sections of a vector bundle one needs to transport vectors between different fibers of the bundle; this is intuitively clear if one tries to generalize to sections the usual method of regularizing by convolution with a smooth mollifier. On these grounds, the vector bundle of transport operators was introduced in [32, Appendix A] as follows:

$$TO(M, M) := \bigcup_{(p,q) \in M \times M} \{ (p, q) \} \times L(T_p M, T_q N).$$

This means that the fiber over $(p, q) \in M \times M$ consists of all linear maps from $T_p M$ to $T_q N$, where $T_p M$ is the fiber over $p$ of the tangent bundle of $M$. Using a transport operator $A \in TO(M, M)$ and a smoothing kernel $\Phi \in C^\infty(M, \Omega^r_{\mathrm{c}}(M))$ one can then approximate non-smooth vector fields $X$ by smooth ones $\tilde{X}$ as in

$$\tilde{X}(p) := \int_M A(q, p) X(q) \Phi(p)(q).$$
where, roughly speaking, $\tilde{X}$ will be close to $X$ if $A$ is close to the identity near the diagonal and $\Phi(p)$ is close to $\delta_p$ for all $p \in M$. This formula also applies to distributional $X$.

Based on these ideas, in [32] an algebra $\bigoplus_{r,s} \hat{G}_r^s$ of generalized tensor fields was constructed whose elements are represented by smooth functions $R(\omega, p, A)$ depending on a compactly supported $n$-form $\omega$, a point $p \in M$, and a transport operator $A \in TO(M, M)$.

This generalized tensor algebra, however, suffers from serious drawbacks. First of all, it inherits all the technical difficulties from $G_d$ and $\hat{G}$ and adds even more on top of it. Second, it is no sheaf: the corresponding proof which worked in all previous algebras breaks down due to the failure of the test objects to be ‘localizing’ in a certain sense. And third, there was no way to define a covariant derivative $\nabla_X$ on $\hat{G}_r^s$ which is $C^\infty$-linear in the vector field $X$, which is an indispensable necessity for geometrical applications. The reason for this is that on scalars, the covariant derivative should agree with the Lie derivative, but the natural choice of the latter cannot be $C^\infty$-linear in $X$ again because of the Schwartz impossibility result. In sum, despite its achievements $\hat{G}_r^s$ still was unsatisfactory and raised the following questions:

(i) What is the deeper reason for $\hat{G}_r^s$ not to be a sheaf and not to allow for the introduction of a covariant derivative?

(ii) Which adaptations are necessary for obtaining these features?

(iii) Is there any way to obtain a more natural, less technical formulation of the whole theory?

As we will see in the next section, there is indeed an approach which completely answers these questions in a very satisfactory way.

7 The functional analytic approach

The shortcomings of $\hat{G}_r^s$, i.e., the missing sheaf property and the impossibility of devising a covariant derivative for it, brought the need for a more structured approach to Colombeau algebras, even more so because the previous ad-hoc approaches leading to $G_d$, $\hat{G}$ and $\hat{G}_r^s$ gave no insight on how to proceed further.
In the following we will describe a functional analytic approach to Colombeau algebras [50] which not only contains those algebras described above as special cases, but also gives a clear answer on how an algebra of generalized tensor fields with the desired properties can be successfully constructed. Furthermore, this setting seems to be very promising also for other aspects of Colombeau theory.

7.1 Basic spaces

The intuitive understanding of Colombeau algebras until now has been that some kind of smoothing has to be involved either in the embedding or in testing. Formally, this can be seen by examining the form embedded distributions take when they are being tested. The following table lists the corresponding expressions in the various Colombeau algebras we have considered so far.

| Variant | Test of $vu$, $u \in \mathcal{D}'$ |
|---------|----------------------------------|
| $\mathcal{G}^o$ | $\langle u, \varphi_{\varepsilon,x} \rangle$ |
| $\mathcal{G}^e$ | $\langle u, (S_\varepsilon \varphi)(\cdot - x) \rangle$ |
| $\mathcal{G}^s$ | $\langle u, \psi_\varepsilon(x) \rangle$ |
| $\mathcal{G}^d$ | $\langle u, S_\varepsilon \phi(\varepsilon, x)(\cdot - x) \rangle$ |
| $\hat{G}$ | $\langle u, \Phi(\varepsilon, p) \rangle$ |

Close inspection reveals that the argument of $u$ in every case is a test function depending on $\varepsilon$ and $x$ which converges to $\delta_x$ in a certain manner for $\varepsilon \to 0$. The precise link between this observation and the intuitive idea of smoothing is given by a variant of Schwartz’ kernel theorem [59, Théorème 3], which reads

$$L_b(\mathcal{D}'(\Omega), C^\infty(\Omega)) \cong C^\infty(\Omega, \mathcal{D}(\Omega)).$$

This means that if we want to regularize distributions in a reasonable (i.e., linear and continuous) way, this is done exactly by letting them act on elements of $C^\infty(\Omega, \mathcal{D}(\Omega))$, so-called smoothing kernels. This space carries the topology of uniform convergence on compact sets in all derivatives. Explicitly, the correspondence between $\Phi \in L_b(\mathcal{D}'(\Omega), C^\infty(\Omega))$ and $\varphi \in C^\infty(\Omega, \mathcal{D}(\Omega))$ is given by

$$(\Phi u)(x) := \langle u, \varphi(x) \rangle \quad (u \in \mathcal{D}'(\Omega), x \in \Omega)$$

$$\varphi(x) := \Phi^t(\delta_x) \quad (x \in \Omega)$$

where $\Phi^t \in L_b(C^\infty(\Omega)', \mathcal{D}(\Omega))$ is the transpose of $\Phi$. In light of this isomorphism, generalized functions in the sense of Colombeau in fact are, in the
most encompassing sense, mappings from the space of smoothing kernels into the space of smooth functions. This viewpoint suggests the introduction of the basic space

\[ \mathcal{E}(\Omega) := C^\infty(\text{SK}(\Omega), C^\infty(\Omega)) \]

where \( \text{SK}(\Omega) := C^\infty(\Omega, D(\Omega)) \) is the space of smoothing kernels. This basic space trivially contains both distributions and smooth functions via the embeddings

\[ \iota: D'(\Omega) \to \mathcal{E}(\Omega), \quad \iota(u)(\vec{\varphi})(x) := \langle u, \vec{\varphi}(x) \rangle, \]
\[ \sigma: C^\infty(\Omega) \to \mathcal{E}(\Omega), \quad \sigma(f)(\vec{\varphi}) := f. \]

We remark that this idea was also followed in [17, 18] on a more abstract level; what will be important for us is to connect this approach back to existing Colombeau theory.

It turns out very quickly that one needs to restrict this space in order to have any hope of obtaining sheaf properties. The simple observation that \((\iota u)(\vec{\varphi})(x) = \langle u, \vec{\varphi}(x) \rangle\) depends only on \(\vec{\varphi}(x)\) led to the introduction of the following so-called locality conditions:

**Definition 12.** A function \( R \in \mathcal{E}(\Omega) = C^\infty(\text{SK}(\Omega), C^\infty(\Omega)) \) is called

(i) local if for all open subsets \( U \subseteq \Omega \) and smoothing kernels \( \vec{\varphi}, \vec{\psi} \in \text{SK}(\Omega) \) the equality \( \vec{\varphi}|_U = \vec{\psi}|_U \) implies \( R(\vec{\varphi})|_U = R(\vec{\psi})|_U \);

(ii) point-local if for all \( x \in \Omega \) and smoothing kernels \( \vec{\varphi}, \vec{\psi} \in \text{SK}(\Omega) \) the equality \( \vec{\varphi}(x) = \vec{\psi}(x) \) implies \( R(\vec{\varphi})(x) = R(\vec{\psi})(x) \);

(iii) point-independent if for all \( x, y \in \Omega \) and smoothing kernels \( \vec{\varphi}, \vec{\psi} \in \text{SK}(\Omega) \) the equality \( \vec{\varphi}(x) = \vec{\psi}(y) \) implies \( R(\vec{\varphi})(x) = R(\vec{\psi})(y) \).

We denote by \( \mathcal{E}_{\text{loc}}(\Omega), \mathcal{E}_{\text{ploc}}(\Omega) \) and \( \mathcal{E}_p(\Omega) \) the subsets of \( \mathcal{E}(\Omega) \) consisting of local, point-local and point-independent elements, respectively, and by \( \mathcal{L}_{\text{loc}}(\Omega), \mathcal{L}_{\text{ploc}}(\Omega) \) and \( \mathcal{L}_p(\Omega) \) the corresponding subsets of \( \mathcal{L}(\Omega) := L_b(\text{SK}(\Omega), C^\infty(\Omega)) \).

Now one can very easily see the following isomorphisms, which recover the basic spaces of \( \mathcal{G}_o \) and \( \mathcal{G}_d \) as well as distributions and distributions depending smoothly on a parameter as subspaces of \( \mathcal{E}(\Omega) \):

**Theorem 13.** (i) \( \mathcal{E}_p(\Omega) \cong C^\infty(D(\Omega)) \),

(ii) \( \mathcal{E}_{\text{ploc}}(\Omega) \cong C^\infty(D(\Omega), C^\infty(\Omega)) \),
(iii) $L_{pl}(\Omega) \cong \mathcal{D}'(\Omega)$,
(iv) $L_{ploc}(\Omega) \cong C^\infty(\Omega, \mathcal{D}'(\Omega))$.

The importance of Theorem 13 lies in the fact that $\mathcal{E}(\Omega)$ is not simply one more of many possible basic spaces, but in a sense the most general one, and still contains common basic spaces as subalgebras. We will see below that using $\mathcal{E}_{loc}$ (or any subalgebra of it) as a basic space, the resulting Colombeau algebra will be a sheaf if the right test objects are used for testing. This was not the case for $\hat{\mathcal{G}}^r_s$, which is why it fails to be a sheaf.

7.2 Testing

Having identified $\mathcal{E}(\Omega)$ as the basic space underlying all Colombeau algebras, the next question was how the quotient construction could be transferred to it in a natural way. Suppose we are given a net of smoothing kernels $(\tilde{\varphi}_\varepsilon)_\varepsilon \in \text{SK}(\Omega)^I$, corresponding to a net of smoothing operators $(\Phi_\varepsilon)_\varepsilon \in L_b(\mathcal{D}'(\Omega), C^\infty(\Omega))^I$. What conditions do we need for the usual Colombeau-type quotient construction?

The intuitive understanding of test objects always has been that asymptotically, they behave like delta functionals. This is seen clearly in the local prototypical smoothing kernels $\varphi_{\varepsilon,x}(y) := \varepsilon^{-n} \varphi((y-x)/\varepsilon)$ with $\varphi \in \mathcal{A}_q(\mathbb{R}^n)$. Using the language of smoothing operators, this asymptotic behavior can be formulated precisely as follows:

$$\Phi_\varepsilon \to \text{id \ in } L_b(\mathcal{D}'(\Omega), \mathcal{D}'(\Omega)).$$

(15)

The moment condition (9), or (13) on a manifold, corresponds to convergence to the identity $\text{id}_{C^\infty(\Omega)}$ on $C^\infty(\Omega)$ in the following sense:

$$\forall p \in \text{cs}(L_b(C^\infty(\Omega), C^\infty(\Omega))) \forall m \in \mathbb{N} : p(\Phi_\varepsilon|_{C^\infty} - \text{id}) = O(\varepsilon^m).$$

(16)

Here, $\text{cs}(E)$ denotes the set of continuous seminorms of a locally convex space $E$. Note that here we abolished the grading on the space of test objects known from full algebras, which is a relict of the fact that a nonzero test function $\varphi \in \mathcal{D}(\Omega)$ cannot have all its moments vanishing at once. Instead, we use the kind of convergence used in special algebras with our full basic space.

These conditions do not yet guarantee that embedded distributions are moderate; for this, we furthermore have to impose that

$$\forall p \in \text{cs}(L_b(\mathcal{D}'(\Omega), C^\infty(\Omega))) \\exists N \in \mathbb{N} : p(\Phi_\varepsilon) = O(\varepsilon^{-N}).$$

(17)
(15), (16) and (17) are the essential conditions test objects have to satisfy for the quotient construction to work, in general. We shall add another (optional) condition which ensures the sheaf property if we test on a basic space whose elements are at least local (cf. [50]):

**Definition 14.** A net \((\varphi_\varepsilon)_{\varepsilon} \in \text{SK}(\Omega)\) is called localizing if \(\forall x \in \Omega \ \exists\) an open neighborhood \(V\) of \(x\) \(\forall r > 0 \ \exists \varepsilon_0 \in \mathbb{N} \ \forall \varepsilon \leq \varepsilon_0 \ \forall x \in V: \text{supp} \varphi_\varepsilon(x) \subseteq \overline{B}_r(x)\).

Using these ingredients, one can build a diffeomorphism invariant Colombeau algebra without much effort, as we will see in the next section.

Concerning the introduction of a covariant derivative of generalized tensor fields, we have mentioned that the problem essentially lies in obtaining a \(C^\infty\)-linear Lie derivative. Because the natural Lie derivative (which is obtained by differentiating with respect to time the pullback along the flow of a given vector field) commutes with the embedding \(\iota\) it cannot be \(C^\infty\)-linear due to the Schwartz impossibility result, hence one needs to introduce another Lie derivative \(\tilde{L}_X\) which is \(C^\infty\)-linear and somehow related to the natural one. The solution is to set \((\tilde{L}_X R)(\varphi) := L_X(R(\varphi))\). In a sense, this means that one differentiates after regularization. This is exactly the approach which is used in special Colombeau algebras, which is why the definition of a covariant derivative was without problems there ([45]). While in the case of \(\mathcal{G}\) and \(\mathcal{G}_s\) the map \(\tilde{L}_X\) cannot be defined (it maps out of the space of point-local elements), this is made possible in our approach by the bigger basic space. Finally, it can be seen that the natural Lie derivative and \(\tilde{L}_X\) agree for embedded distributions on the level of association, which justifies this definition.

### 7.3 A new diffeomorphism invariant algebra of generalized functions

We gave a treatment of both the basic space and the testing procedure in functional analytic terms. In this section we will use this to propose a replacement for \(\mathcal{G}^d\) of [29] which is considerably simpler and directly translates to manifolds and, with some modifications, also to the vector valued case.

We will base our presentation on \(\mathcal{E}(\Omega)\) in order to show the general scheme, but one can do the same without problems on \(\mathcal{E}_{\text{loc}}(\Omega)\) in order to obtain a sheaf, or even on \(\mathcal{E}_{\text{ploc}}(\Omega)\) or \(\mathcal{E}_{\text{pi}}(\Omega)\) as long as one only performs operations preserving (point-)locality or point-independence, respectively.
Hence, the basic definitions take the following form.

**Definition 15.** We define the basic space $E(\Omega)$ and embeddings $\iota: D'(\Omega) \to E(\Omega)$, $\sigma: C^\infty(\Omega) \to E(\Omega)$ by

$$
SK(\Omega) := C^\infty(\Omega, D(\Omega)), \\
E(\Omega) := C^\infty(SK(\Omega), C^\infty(\Omega)), \\
(u\varphi)(x) := \langle u, \varphi(x) \rangle \quad (u \in D'(\Omega), \varphi \in SK(\Omega), x \in \Omega), \\
(\sigma f)(\varphi) := f \quad (f \in C^\infty(\Omega), \varphi \in SK(\Omega)).
$$

Given a diffeomorphism $\mu: \Omega \to \Omega'$, its action $\mu_*: E(\Omega) \to E(\Omega')$ is defined as

$$(\mu_* R)(\varphi) := \mu_* (R(\mu^* \varphi)) = R(\mu^* \varphi) \circ \mu^{-1},$$

where $(\mu^* \varphi)(x) := (\varphi(\mu x) \circ \mu) \cdot |\det D\mu|$ is the natural pullback of smoothing kernels. The directional derivative with respect to a vector field $X \in C^\infty(\Omega, \mathbb{R}^n)$ is defined as

$$(L_X R)(\varphi) := -(dR)(\varphi)(L^X K \varphi) + L_X (R(\varphi)),$$

where $L^X K \varphi = L_X \circ \varphi + L_X \varphi$ is the Lie derivative of smoothing kernels.

It follows directly from the definitions that $\iota$ and $\sigma$ commute with diffeomorphisms. For testing, we need the following spaces of test objects:

**Definition 16.** Let $S$ be the set of all nets $(\varphi_\varepsilon) \in C^\infty(\Omega, D(\Omega))^I$ such that the corresponding sequence $(\Phi_\varepsilon) \in L_b(D'(\Omega), C^\infty(\Omega))^I$ satisfies, for $\varepsilon \to 0$,

(i) $\Phi_\varepsilon \to \text{id}$ in $L_b(D'(\Omega), D'(\Omega))$,

(ii) $\forall p \in \text{cs}(L_b(C^\infty(\Omega), C^\infty(\Omega))) \ \forall m \in \mathbb{N} : p(\Phi_\varepsilon|_{C^\infty(\Omega)}) - \text{id}_{C^\infty(\Omega)} = O(\varepsilon^m),$

(iii) $\forall p \in \text{cs}(L_b(D'(\Omega), C^\infty(\Omega))) \exists N \in \mathbb{N} : p(\Phi_\varepsilon) = O(\varepsilon^{-N}).$

Let $S_0$ be the set of all $(\varphi_\varepsilon) \in C^\infty(\Omega, D(\Omega))^I$ such that $\Phi_\varepsilon$ satisfies

(i) $\Phi_\varepsilon \to 0$ in $L_b(D'(\Omega), D'(\Omega))$,

(ii) $\forall p \in \text{cs}(L_b(C^\infty(\Omega), C^\infty(\Omega))) \forall m : p(\Phi_\varepsilon|_{C^\infty(\Omega)}) = O(\varepsilon^m),$

(iii) $\forall p \in \text{cs}(L_b(D'(\Omega), C^\infty(\Omega))) \exists N \in \mathbb{N} : p(\Phi_\varepsilon) = O(\varepsilon^{-N}).$

We may demand in both cases that $(\Phi_\varepsilon)$ is localizing as in Definition 14.
Note that for $(\vec{\varphi}_e)_e \in S$, $(L_{X_0}^{SK} \vec{\varphi}_e)_e$ will be an element of $S_0$.

**Definition 17.** An element $R \in E(\Omega)$ is called moderate if $\forall p \in \text{cs}(C^\infty(\Omega)) \\forall k \in \mathbb{N}_0 \ \exists N \in \mathbb{N} \ \forall (\vec{\varphi}_e)_e \in S$, $(\vec{\psi}_1^e)_e \ldots (\vec{\psi}_k^e)_e \in S_0$:

$$p \left( (d^k R)(\vec{\varphi}_e)(\vec{\psi}_1^e, \ldots, \vec{\psi}_k^e) \right) = O(\varepsilon^{-N}).$$

The set of all moderate elements of $E(\Omega)$ is denoted by $E_M(\Omega)$.

An element $R \in E(\Omega)$ is called negligible if $\forall p \in \text{cs}(C^\infty(\Omega)) \ \forall k \in \mathbb{N}_0 \ \forall m \in \mathbb{N} \ \forall (\vec{\varphi}_e)_e \in S$, $(\vec{\psi}_1^e)_e \ldots (\vec{\psi}_k^e)_e \in S_0$:

$$p \left( (d^k R)(\vec{\varphi}_e)(\vec{\psi}_1^e, \ldots, \vec{\psi}_k^e) \right) = O(\varepsilon^m).$$

The set of all negligible elements of $E(\Omega)$ is denoted by $N(\Omega)$.

We set $\mathcal{G}(\Omega) := E_M(\Omega)/N(\Omega)$.

We summarize the main properties of these definitions in the following theorem:

**Theorem 18.** (i) $\iota$ and $\sigma$ map into $E_M(\Omega)$;

(ii) $\iota|_{C^\infty(\Omega)} - \sigma$ maps into $N(\Omega)$;

(iii) $\iota$ is injective into $\mathcal{G}(\Omega)$;

(iv) $\iota$ and $\sigma$ commute with diffeomorphisms and Lie derivatives;

(v) sums, products, diffeomorphisms and Lie derivatives preserve moderate-ness and negligibility and hence are well-defined on $\mathcal{G}(\Omega)$;

(vi) the sets $S$ and $S_0$ of test objects are non-empty.

These properties follow directly from the definitions without any effort. We finish with some remarks:

(i) If we replace the basic space with $E_{\text{loc}}$, $E_{\text{plc}}$ or $E_{\text{ps}}$ and test only with localizing elements of $S$ and $S_0$ then $\mathcal{G}$ is a sheaf.

(ii) For the vector-valued case, one essentially only has to replace smoothing operators $L_b(D'(\Omega), C^\infty(\Omega))$ by the corresponding vector smoothing operators. This will be the subject of an upcoming paper of the first author.
(iii) Instead of taking all test objects $S$ (and $S_0$) as above, one can restrict to certain classes of test objects. The properties of the algebra will directly depend on the choice of the class of test objects. Using only one fixed test object, for example, would give the classical special algebra.

8 Conclusion

We have seen that important physical situations lead to problems involving multiplication of distributions. While linear distribution theory in general fails in this setting, the theory of algebras of generalized functions in the sense of Colombeau leads to new, physically meaningful results. However, in order to obtain truly geometric results one needs to work in diffeomorphism invariant algebras, which were for a long time considered to be too technical and not well suited for applications. The approach we presented here is hoped to alleviate this shortcoming and to provide a better basis for further applications of Colombeau theory in general relativity and geometry, and also to provide a functional analytic foundation for and a better understanding of the field of Colombeau algebras.

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