General anesthesia and positive pressure ventilation suppress left and right ventricular myocardial shortening in patients without myocardial disease – a strain echocardiography study

Keti Dalla¹*, Odd Bech-Hanssen² and Sven-Erik Ricksten¹

Abstract

Background: Myocardial deformation imaging using speckle-tracking echocardiography to assess global longitudinal strain (GLS) is today considered a more sensitive measure of left ventricular (LV) systolic function than ejection fraction. General anesthesia and positive pressure ventilation (PPV) are known to change the right ventricular (RV) and LV loading conditions. However, little is known about the effects of anesthesia and PPV on RV free wall and LV GLS. We studied the influence of general anesthesia and PPV on RV and LV longitudinal strain in patients without myocardial disease.

Methods: Twenty-one patients scheduled for non-cardiac surgery were included. The baseline examination was performed on the un-premedicated patients within 60 min of anesthesia. The second examination was performed 10–15 min after induction of anesthesia (propofol, remifentanil), intubation and start of PPV. The examinations included apical four-, two- and three-chamber projections, mitral and aortic Doppler flow velocities and tissue Doppler velocities of tricuspid and mitral annulus. LV end-systolic elastance (Ees) and aortic elastance were determined (Ea).

Results: General anesthesia and PPV reduced the mean arterial blood pressure (−29%, p < 0.0019), stroke volume index (−13%, p < 0.001) and cardiac index (−23%, p < 0.001). RV end-diastolic area index and LV end-diastolic volume index decreased significantly, while systemic vascular resistance was not significantly affected. Ees decreased significantly with the induction of anaesthesia (−23%, p = 0.002), while there was a trend for a decrease in Ea (p = 0.053). The ventriculo-arterial coupling, Ea/Ees, was not significantly affected by the anesthetics and PPV. The LV GLS decreased from −19.1 ± 2.3% to −17.3 ± 2.9% (p < 0.001) and RV free wall strain decreased from −26.5 ± 3.9% to −24.1 ± 4.2% (p = 0.001). One patient (5%) had at baseline a LV GLS > −16% compared with 6 patients (28%) during general anesthesia and PPV. Three patients (14%) had a RV free wall strain > −24% compared to 8 patients (38%) during general anesthesia and PPV.

Conclusions: General anesthesia and PPV reduces systolic LV and RV function to levels considered indicating dysfunction in a substantial proportion of patients without myocardial disease.

Keywords: Ventricular function, Left ventricular elastance, Strain echocardiography, Anaesthesia, Positive pressure ventilation
**Background**

Conventional two-dimensional echocardiography is the method of choice for the evaluation of left (LV) and right (RV) ventricular global and regional myocardial function in patients undergoing surgery and in the critically ill patient. Two-dimensional speckle tracking echocardiography is a relatively new method, which is increasingly used to detect LV and RV dysfunction [1]. Speckle tracking echocardiography is an angle-independent method, which quantifies systolic function of LV and RV by the assessment of systolic myocardial deformation, strain. Strain is a negative dimensionless variable, describing percentage changes in myocardial segment length [1–3]. The most frequently used strain variable, global longitudinal strain (GLS), measures the contractile function of longitudinally oriented subendocardial myocardial fibers, which are more sensitive to ischemia and increased wall stress [4]. Longitudinal RV free wall strain is also a robust measure of RV systolic function. Intra-observer and inter-observer reproducibility of myocardial strain measurements is good and in many cases superior to conventional echocardiographic measurements [5].

Experimental studies have shown that myocardial strain is a load-dependent index [6–9]. Data, however, in conscious patients are divergent [10–13]. Changes in cardiac loading condition, such as hypotension, are commonly seen in patients undergoing total intravenous anaesthesia for surgical procedures. Propofol is a commonly used intravenous anaesthetic, which is usually combined with an opioid (e.g. remifentanil). There are, to our knowledge, no studies investigating the effects of propofol/remifentanil on myocardial function assessed by LV GLS or RV free wall strain.

Mechanical ventilation with the application of positive end-expiratory pressure (PEEP) increases intrathoracic pressure, which will affect venous return and cardiac output [14] and thus has the potential to affect cardiac loading conditions. There are, however, no data on the effects of the transition from spontaneous breathing to positive pressure breathing on myocardial strain of the left and right ventricle in patients with normal cardiac function.

In the ICU, myocardial dysfunction occurs frequently and speckle tracking echocardiography has the ability to detect impaired LV systolic function not appreciated by conventional echocardiography [15, 16]. Patients admitted to ICU often require sedation and positive-pressure ventilation which may potentially change the LV and RV loading conditions and myocardial contractility. However, little is known about the combined effects of anesthesia/sedation and positive pressure ventilation, per se, on myocardial strain. The aim of the present study was therefore to investigate the influence of general anaesthesia and positive pressure ventilation on myocardial longitudinal strain in patients without myocardial disease.

**Methods**

The study was approved by the Regional Ethical Review Board in Gothenburg (www.epn.se) (protocol no. 477–17, approved: July 27th 2017). Written informed consent was obtained from all patients.

**Study population**

Patients scheduled for non-cardiac surgery were included in this study. The inclusion criteria were: a) Low-risk (ASA I-II) elective surgery, b) surgery planned to be performed under total intravenous anaesthesia and positive pressure ventilation and c) informed consent was obtained. Exclusion criteria were: a) history or clinical or laboratory signs of cardiac, pulmonary or systemic disease, b) any cardiac or antihypertensive medication, c) abnormal ECG d) age < 18 year and e) a body mass index ≥30 kg m⁻².

**Echocardiography**

Two transthoracic 2D echocardiographic examinations were performed with a 5-MH transducer (Vivid E9, General Electric Medical System, Horten, Norway) before and one directly after the induction of anaesthesia and initiation of IPPV. The examinations included apical four-, two- and three-chamber projections, mitral and aortic Doppler flow velocities. Standard measurements of LV systolic function included LV volumes (indexed to body surface area, BSA) left ventricular ejection fraction (LVEF) by the modified Simpson’s rule, time velocity integral in the LV outflow tract (TVI-LVOT) and stroke volume (SV) (= π x LVOT radius² x TVI-LVOT). Stroke volume index (SVI) was calculated as SV/BSA. Mitral and aortic Doppler flow profiles were recorded for measurements of LV isovolumetric relaxation time, maximum flow velocity during LV early (E-max) and late (A-max) diastolic filling. RV systolic function was assessed by using tricuspid annular plane systolic excursion (TAPSE) by M-mode and tricuspid lateral annulus tissue Doppler systolic velocity. RV end-diastolic and end-systolic area were measured (indexed to BSA) and RV fractional area change (%) were calculated.

**Haemodynamic measurements**

Systolic (SAP) and diastolic (DAP) arterial blood pressure were measured non-invasively and intermittently at 5 min interval, using an occluding upper-arm cuff of suitable size in the supine position and mean arterial pressure (MAP) were calculated. Heart rate and arterial blood pressure were recorded just before and during the echocardiographic examination. Systemic vascular resistance index was calculated according to standard formula (MAP/cardiac output) x 80 /BSA.

Effective arterial elastance (Ea) was measured as 0.9 x SAP / SV. Ea incorporates all elements of total LV...
afterload, including vascular resistance, arterial compliance and characteristic impedance.

The LV end-systolic elastance Ees, a load-independent measure of myocardial contractility, was calculated according to single-beat method described by Chen et al. [17] using the following formulas:

\[
Ees(sb) = \frac{[DBP - (ENd(est) \times SBP \times 0.9)]}{[SV \times ENd(est)]},
\]

where:

- \(Ees(sb)\) is the single-beat LV end-systolic elastance.
- \(ENd(est)\) is the noninvasively estimated normalized elastance at the onset of ejection and is calculated as:
  \[
  ENd(avg) = \sum a_i \times tNd_i,
  \]
  where \(a_i\) are \(0.35695, -7.2266, 74.249, -307.39, 684.54, -856.92, 571.95, -159.1\) for \(i = 0\) to \(7\), respectively and \(tNd\) is the ratio of pre-ejection period to total systolic period.

Strain echocardiography

Strain measurements were performed off-line in the four-chamber, long axis- and two-chamber views. All off-line analyses were performed by an investigator experienced in speckle tracking analysis using the EchoPAC workstation version 201(GE Medical Systems, Milwaukee, Wisconsin, USA). From the strain analysis, we calculated the longitudinal strain of the free RV wall and the global longitudinal strain (GLS) for the LV. Myocardial strain (S) is presented as fractional change (%) in length between two time points, end-diastole (L0) and end-systole (L) and calculated as: \((L - L_0)/L_0 \times 100\). Negative values of strain indicate myocardial shortening. Impaired LV GLS and RV free wall strain was defined as > −16% [18] and > −24% [19] respectively.

Experimental protocol

The first (baseline) transthoracic echocardiography (TTE) was performed after the arrival in the preoperative area within 60 min before induction of anesthesia, with the patient awake, un-premedicated and in a partial left lateral position. Ten to fifteen minutes after induction of anaesthesia, intubation and start of intermittent positive pressure ventilation (IPPV), the second echocardiographic examination was performed also in a partial left lateral position by the same investigator. General anaesthesia was induced and maintained by infusion of propofol and remifentanil. Rocuronium 0.6 mg kg\(^{-1}\) was administered before the tracheal intubation. PPV to normocapnia (end-tidal carbon dioxide 4.5–5 kPa) was commenced with ventilator settings at the discretion of the attending anaesthesiologist. Hypotension, defined as a MAP < 60 mmHg was treated with i.v. bolus doses of 50 mg phenylephrine or 5 mg ephedrine.

Statistics

The intra-observer agreement of RV free wall strain and LV global longitudinal strain were assessed by the coefficients of variation for paired observations of RV and LV strain from the measurements of the first (baseline) examination. Our data were normally distributed and expressed as mean ± SD. To detect a difference in LV GLS of 1.5% units, 17 patients were needed to be included at a standard deviation of the mean differences of paired measurements of 2. Paired t-test was used to compare the means before and after induction of anaesthesia. A probability level (p-value) of less than 0.05 was considered to indicate statistical significance. Statistical analysis was performed using SPSS for Mac version 21.

Results

Twenty-one patients were included in the study, 11 male and 10 females with a mean age of 47 ± 15 years (Table 1).

| Table 1 Patient characteristics, anaesthetics and mode of ventilation | \(n = 21\) |
|---|---|
| Age (years) | 47 ± 15 |
| Female gender (%) | 47 |
| Body surface area (m\(^2\)) | 1.8 ± 0.3 |
| Propofol (mg/kg/h) | 8.6 ± 2.9 |
| Remifentanil (μg/kg/min) | 0.11 ± 0.04 |
| Bispectral index (%) | 39 ± 9 |
| Respiratory rate (breaths/min) | 13 ± 2 |
| Tidal volume (ml) | 422 ± 73 |
| Inspired fraction of oxygen (%) | 32 ± 3 |
| Positive end-expiratory pressure (mmHg) | 5 ± 3 |

Data are presented as means ± SD
volume index ($-13\%, p < 0.001$), cardiac index ($-23\%, p < 0.001$) and heart rate ($-8\%, p = 0.038$), while systemic vascular resistance index was not affected. Ees decreased significantly with the induction of anaesthesia ($-23\%, p = 0.002$), while there was a trend for a decrease in Ea ($p = 0.053$). The ventriculao-arterial coupling, Ea/Ees, were not significantly affected by the anesthetics and PPV ($p = 0.102$).

**Echocardiographic variables (Table 3, Figs. 1, 2, 3 and 4)**

**Left ventricle**
After induction of anaesthesia combined with positive pressure ventilation a decrease was observed in LV GLS ($-19.1\% \pm 2.3\%$, $p < 0.001$), LV end-diastolic volume index ($-17\%, p = 0.012$) and TVI-LVOT ($-7\%, p < 0.001$), while LVEF or LV end-systolic volume index was not affected. A decrease was observed in E-max ($-17\%, p < 0.001$) and A-max ($-27\%, p < 0.001$), while LV isovolumic relaxation time was not affected after induction anaesthesia combined with positive pressure ventilation.

**Right ventricle**
After induction of anaesthesia combined with positive pressure ventilation a decrease was observed in RV free wall strain ($-10\%, p = 0.001$), tricuspid annular peak systolic velocity ($-19\%, p < 0.001$), tricuspid annular plane systolic excursion ($-21\%, p < 0.001$), RV fractional area change ($-15\%, p = 0.013$) and RV end-diastolic area index ($-7\%, p = 0.007$). RV end-systolic area index was not affected by anaesthesia with PPV.

One patient (5%) had an impaired GLS at baseline (GLS < $-16\%$) compared with six patients (20%) during general anesthesia and positive pressure ventilation (Fig. 1). Three patients (14%) had impaired RV free wall strain at

| Table 2 Haemodynamic data |
|---------------------------|
|                           |
| **Awake patient**         | **Anaesthesia + PPV** | **p-value** |
| Mean arterial pressure (mmHg) | 91 ± 14 | 65 ± 8 | $< 0.001$ |
| Systolic arterial pressure (mmHg) | 124 ± 21 | 93 ± 10 | $< 0.001$ |
| Diastolic arterial pressure (mmHg) | 76 ± 13 | 54 ± 8 | $< 0.001$ |
| Stroke volume index(ml/m²) | 37 ± 11 | 32 ± 9 | $< 0.001$ |
| Cardiac output (l/min) | 4.6 ± 1.1 | 3.6 ± 0.9 | $< 0.001$ |
| Cardiac index (l/min/m²) | 2.6 ± 0.7 | 2.0 ± 0.7 | $< 0.001$ |
| Heart rate (beats/min) | 72 ± 16 | 66 ± 14 | 0.038 |
| Left ventricular elastance (mmHg/ml) (Ees) | 2.6 ± 0.7 | 2.0 ± 0.7 | 0.002 |
| Arterial elastance (mmHg/ml) (Ea) | 1.8 ± 0.4 | 1.6 ± 0.3 | 0.053 |
| Ea/Ees | 0.71 ± 0.12 | 0.94 ± 0.65 | 0.102 |
| SVRI (dynes x sec/cm²/m²) | 906 ± 189 | 879 ± 257 | 0.565 |

PPV: positive pressure ventilation, SVRI: systemic vascular resistance index

| Table 3 Echocardiographic data |
|-------------------------------|
|                               |
| **Awake patient**             | **Anaesthesia + PPV** | **p-value** |
| Left ventricular global longitudinal strain (%) | $-19.1\% \pm 2.3\%$ | $-17.3\% \pm 2.9\%$ | $< 0.001$ |
| Left ventricular end-diastolic volume index (ml/m²) | 57 ± 18 | 47 ± 18 | 0.012 |
| Left ventricular end-systolic volume index (ml/m²) | 23 ± 8 | 20 ± 9 | 0.084 |
| Time velocity integral of the LV outflow tract (cm) | 186 ± 3.0 | 173 ± 2.9 | $< 0.001$ |
| Left ventricular ejection fraction (%) | 59 ± 8 | 56 ± 10 | 0.130 |
| E-max (cm/sec) | 69 ± 15 | 57 ± 13 | $< 0.001$ |
| A-max (cm/sec) | 59 ± 19 | 43 ± 14 | 0.001 |
| Left ventricular isovolumic relaxation time (ms) | 69 ± 17 | 67 ± 21 | 0.781 |
| Right ventricular free wall strain (%) | $-26.8\% \pm 3.9\%$ | $-24.1\% \pm 4.2\%$ | 0.001 |
| Tricuspid annular peak systolic velocity (cm/sec) | 114 ± 3.0 | 92 ± 2.4 | $< 0.001$ |
| Tricuspid annular plane tissue doppler systolic excursion (mm) | 2.4 ± 0.5 | 1.9 ± 0.4 | $< 0.001$ |
| Right ventricular end-diastolic area index (cm²/m²) | 13 ± 3 | 12 ± 2 | 0.007 |
| Right ventricular end-systolic area index (cm²/m²) | 7 ± 2 | 7 ± 1 | 0.782 |
| Right ventricular fractional area change (%) | 40 ± 2 | 12 ± 1 | 0.001 |

PPV: positive pressure ventilation, E-max; maximum flow velocity during early LV diastolic filling, A-max; maximum flow velocity during late diastolic LV filling
baseline (> − 24%), while eight (38%) had impaired RV free wall strain during general anesthesia and positive pressure ventilation (Fig. 2).

The intra-observer coefficient of variation for repeated measurements of RV free wall and LV global longitudinal strain were 11.9 and 8.8% respectively.

**Discussion**

In the present study we evaluated the influence of general anesthesia and positive pressure ventilation (PPV) on myocardial systolic function evaluated by LV global longitudinal strain (GLS) and RV free wall strain. The main findings of the study were that general anaesthesia plus PPV induced a significant reduction of LV GLS and RV free wall strain and that in some patients the reduction of GLS and RV free wall strain reached values considered to indicate LV or RV dysfunction. This could be explained by changes in myocardial loading conditions and myocardial contractility caused by the intravenous anaesthetics combined with PPV. Thus, in the evaluation of myocardial function in anaesthetised/sedated mechanically ventilated patients during surgery, or in the critical care unit, systolic function may be underestimated by strain echocardiography.

In the present study, anaesthesia and PPV caused a decrease in preload, as assessed by the fall in RV end-diastolic area index (RVEDAI) and LV end-diastolic volume index (LVEDVI). The reduction in preload is also supported by a decrease in maximum flow velocity during LV early (E-max) and late (A-max) diastolic filling. The fall in LVEDVI may to some extent also explain the decrease in stroke volume and cardiac output. The decrease in cardiac output explained the fall in MAP, as systemic vascular resistance was not affected by anaesthesia and PPV. Previous experimental studies have shown that strain is a preload-dependent index [6–9]. However, the preload-dependency of strain has been investigated in conscious patients with divergent results [10–13]. Abali et al. showed that 500 ml of blood donation from healthy volunteers decreased LV strain, measured by tissue Doppler [11]. Mendes et al. evaluated the effects of haemodialysis on systolic and diastolic function in patients with end-stage renal disease using STE and tissue Doppler imaging. They found that the preload reduction had no effects on strain [12]. Burns et al. induced a preload reduction on patients by nitroglycerin, which caused a substantial fall in LV end-diastolic filling pressure and volume, as well as, arterial blood pressure [13]. This preload reduction increased LV strain. The same authors also increased preload by saline fluid loading in this patient group and found that volume loading did not affect LV strain. Finally, Andersen et al. could not show a significant influence on LV systolic strain by load alterations using passive leg elevation or administration of nitroglycerin sublingually in healthy volunteers [10]. This could be explained by the fact that changes in preload (nitroglycerin, hypovolemia, volume loading) or afterload (nitroglycerin, phenylephrine) will induce arterial- and cardiac baroreceptor-mediated reflex counterregulatory changes in cardiac sympathetic activity, which will increase/decrease cardiac contractility depending on the haemodynamic stimulation and thereby affect LV strain.

In a recent clinical strain-echocardiographic study, Fredholm et al. evaluated the load-dependence of myocardial deformation variables in mechanically ventilated propofol-sedated postcardiac surgery patients. Propofol is known to induce a considerable attenuation of the
baroreflex sensitivity [21] and therefore could the confounding effects of baroreceptor-mediated changes in cardiac performance to a considerable extent be eliminated [22]. In that study it was shown that myocardial strain is particularly sensitive to changes in cardiac preload and not to changes in heart rate (pacing) or afterload (phenylephrine).

What are then the mechanisms behind the fall in cardiac preload as a response to anaesthesia combined with PPV? A propofol-induced hypotension has been ascribed to reductions in preload and afterload by direct dilation of venous capacitance vessels [23, 24] and systemic resistance vessels [23–26] and decreased sympathetic activity [27, 28]. Moderate doses of remifentanil doesn’t seem to affect systemic capacitance vessels as it does not reduce cardiac filling pressures, stroke volume or cardiac output [29, 30]. Remifentanil has been shown to decrease heart rate and systemic vascular resistance [29, 30]. In the present study, propofol/remifentanil anaesthesia affected neither systemic vascular resistance nor arterial elastance, a measure of LV afterload, suggesting that the fall in cardiac index and MAP could to some extent be explained by a propofol/remifentanil-induced dilation of venous capacitance vessels, causing a preload decrease, together with a heart rate decrease.

In addition to the effects of propofol on LV GLS and RV free wall strain, one should also consider the effects of PPV on cardiac filling and thereby strain. During anaesthesia and in critically ill patients, PPV increases intrathoracic pressure, which will severely affect venous return and cardiac output [14]. It has been shown that the application of PPV plus positive end-expiratory pressure (PEEP) in mechanically ventilated patients decreases intra-thoracic blood volume [31] and LV and RV end-diastolic volumes as assessed by conventional echocardiography [32–35]. It is therefore likely that, at least to some extent, the lower LVEDVI and RVEDAI seen during anaesthesia and PPV, in the present study, were
explained by PPV with the application of 5 cmH₂O PEEP, which will decrease not only preload but also LV GLS and RV free wall strain. Franchi et al. investigated the effects of mechanical ventilation with PPV on speckle tracking-derived myocardial strain in ICU patients under a multimodal ICU treatment and shown that increasing levels of PEEP causes a decrease in RV strain [36].

The fall in RV free wall strain and LV GLS induced by anaesthesia and PPV could to some extent be explained by a propofol-induced negative inotropic effect. Experimental data have provided evidence that propofol impairs myocardial contractility [37–41], while clinical data are somewhat controversial. Thus, Lepage et al. studied the effects of propofol on LV function by the use of radionuclide ventriculography and found that propofol induced a fall in cardiac output, stroke volume and cardiac filling pressures with no effects on LVEF or systemic vascular resistance, and therefore concluded that the propofol-induced decrease in cardiac output was caused by a fall in preload and not in impaired myocardial performance [42]. On the other hand, studies in patients on the effects of propofol on the end-systolic pressure-volume relationship have demonstrated that propofol impairs myocardial contractility [43, 44]. In the present study, we measured LV end-systolic elastance non-invasively according to the so-called single beat method [17] and found that propofol impaired myocardial contractility. This method has been shown to have a good agreement with invasively measured LV end-systolic elastance [17].

One limitation of the present study is that we cannot distinguish the effects of the anesthetics themselves to those of PPV on RV free wall strain and LV GLS, in the present study, as the patients need to be intubated and mechanically ventilated within minutes after induction of anaesthesia. Furthermore, the use of single bolus doses of ephedrine and phenylephrine in three patients could have attenuated the fall in blood pressure, cardiac filling and myocardial contractility. The strength is that we provide, for the first time, data on the effects of mechanical ventilation with PEEP on RV systolic function, as assessed by speckle tracking-derived myocardial strain of the LV and RV.

**Conclusion**
General anesthesia combined with PPV reduces LV global longitudinal and RV free wall strain in patients with no heart disease. The fall in myocardial strain was most likely caused by a decrease in ventricular preload, caused by a propofol-induced dilation of venous capacitance vessels and PPV, together with a negative inotropic effect of propofol. These effects should be taken into account when evaluating heart function in surgical or critically ill patients subjected to anaesthesia /sedation and PPV.

**Ethical protocol**
Protocol no. 477–17, approved by the Regional Ethical Review Board in Gothenburg: July 27th 2017 (www.epn.se).

**Abbreviations**
A-max: Maximum flow velocity during late diastolic LV filling; ASA: American society of Anesthesiologists; BIS: Bispectral index; BSA: Body surface area; Ch: Chamber; Ch: Chamber; DAP: Diastolic arterial pressure; E-max: Maximum flow velocity during early diastolic LV filling; ICU: Intensive care unit; IVRT: Isovolumetric relaxation time; LV EF: Left ventricular ejection fraction; LV GLS: Left ventricular global longitudinal strain; LV: Left ventricular; LVEDVI: Left ventricular end-diastolic volume index; MAP: Mean arterial pressure; MAP: Mean arterial pressure; PEEP: Positive end-expiratory pressure; PPV: Positive pressure ventilation; RV: Right ventricular; RVEDAI: Right ventricular end-diastolic area index; SAP: systolic arterial pressure; SV: Stroke volume; SVI: Stroke volume index; SVRI: Systemic vascular resistance index; TDI-LVOT: Time velocity integral of the LV outflow tract

**Acknowledgements**
The authors of this article would like to express their sincere gratitude to the staff in the operative theaters as well as the Department of Clinical Physiology for their contribution and support during the study period.

**Authors’ contributions**
KD: participated in the study design, collected and prepared the data and performed the statistical analysis wrote the first version of manuscript and approved the final manuscript. OBH: participated in the study design, supervised the strain analysis and participated in the analysis and interpretation of data and approved the final manuscript. SER: participated in the study design and analysis and interpretation of data, participated in writing the manuscript and approved the final manuscript. All authors read and approved the final manuscript.

**Funding**
Not applicable.

**Availability of data and materials**
The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

**Consent for publication**
Not applicable.

**Competing interests**
The authors declare that they have no competing interests.

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Received: 7 April 2019 Accepted: 28 July 2019
Published online: 10 August 2019

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