Several Treatments on Nonconforming Element Failed in the Strict Patch Test

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For nonconforming finite elements, it has been proved that the models whose convergence is controlled only by the weak form of patch tests will exhibit much better performance in complicated stress states than those which can pass the strict patch tests. However, just because the former cannot provide the exact solutions for the patch tests of constant stress states with a very coarse mesh (strict patch test), their usability is doubted by many researchers. In this paper, the non-conforming plane 4-node membrane element AGQ6-I, which was formulated by the quadrilateral area coordinate method and cannot pass the strict patch tests, was modified by three different techniques, including the special numerical integration scheme, the constant stress multiplier method, and the orthogonal condition of energy. Three resulting new elements, denoted by AGQ6M-I, AGQ6M-II, and AGQ6M, can pass the strict patch test. And among them, element AGQ6M is the best one. The original model AGQ6-I and the new model AGQ6M can be treated as the replacements of the well-known models Q6 and QM6, respectively.

1. Introduction

In order to overcome the over-stiffness problem existing in conforming finite elements and improve their performances in regular and distorted meshes, the appearance of the nonconforming elements seems to be inevitable, such as the famous 4-node quadrilateral plane element Q6 proposed by Wilson et al. [1], the rectangular thin plate bending element ACM proposed by Melosh [2], and the triangular thin plate bending element BCIZ proposed by Bazeley et al. [3]. Since the conforming requirements along element edges are relaxed, the stiffness matrices of these elements become much softer. So, they can exhibit better performance than usual conforming element models in most cases. Furthermore, the construction procedures of these formulations are also easier. But, from the viewpoint of the variational principle, these elements violate the principle of minimum potential energy, which leads to that most of them cannot pass the strict patch tests, that is, their convergence cannot be guaranteed.

The patch test proposed by Irons et al. [3, 4] has been taken as a standard for examining the convergence of the nonconforming elements for a long time. In such test, the nonconforming elements are required to produce exact solutions for a constant strain/stress patch with a very coarse mesh. Although it has been adopted very broadly, there are still some further discussions [5–8] on it. The patch test usually includes two types: the "strict" form and the "weak" form [9]. The strict patch test requests that the element can yield exact solutions under fixed coarse meshes and has been accepted by most researchers, while the weak form only requires the element to provide convergent solution for constant stress/strain problem as the mesh is repeatedly subdivided. Actually, the thought of the weak form of the patch test is more consistent with the concept of convergence.

Many efforts have been made for developing 4-node nonconforming plane elements without any problem in convergence, such as the element QM6 proposed by Taylor et al. [10], QP6 by Wachspress [11], NQ6 by Pian and Wu [12], the
2. Brief Reviews on Element AGQ6-I

The 4-node quadrilateral plane membrane element AGQ6-I was formulated by the quadrilateral area coordinate methods [17]. Its displacement fields contain two parts: a generalized conforming low-order displacement part which is interpolated by the nodal displacements, and an additional second-order nonconforming part.

2.1. Low-Order Displacement Interpolation Formulae. For a 4-node quadrilateral plane element, the degrees of freedom can be expressed by the nodal displacement vector:

\[ \mathbf{q} = [u_1 \ v_1 \ u_2 \ v_2 \ u_3 \ v_3 \ u_4 \ v_4]^T. \]  

Then, the low-order displacement fields can be assumed as

\[ u^0 = \alpha_1 + \alpha_2 (L_3 - L_1) + \alpha_3 (L_4 - L_2) \]
\[ + \alpha_4 (L_3 - L_1) (L_4 - L_2), \]
\[ \nu^0 = \beta_1 + \beta_2 (L_3 - L_1) + \beta_3 (L_4 - L_2) \]
\[ + \beta_4 (L_3 - L_1) (L_4 - L_2) \]  

in which \( L_i \) (\( i = 1, 2, 3, 4 \)) are quadrilateral area coordinates and have been defined in [18]. In order to solve the underdetermined parameters \( \alpha_i \) and \( \beta_j \) (\( i = 1, 2, 3, 4 \)), two nodal conforming conditions and two generalized conforming conditions along the perimeter \( \partial A^e \) of the element are introduced for both \( u^0 \) and \( \nu^0 \). Taking \( u^0 \) as the example, these conditions are as follows:

\[ \sum_{i=1}^{4} (u^0 - \bar{u}) \xi_i \eta_i = 0, \]
\[ \sum_{i=1}^{4} (u^0 - \bar{u}) \xi_i \eta_i = 0, \]  

(3)

Thus, the shape functions of the low-order displacement fields can be obtained:

\[ N_i^0 = -\frac{1 - g_i}{2} + L_i + L_{i+1} + \xi_i \eta_i (1 - g_i) \bar{P}, \quad (i = 1, 2, 3, 4), \]

(4)

with \( \bar{P} = \frac{1}{g_2 g_4 + g_3 g_1} \left[ (L_3 - L_1) (L_4 - L_2) - \frac{1}{2} (g_2 g_4 - g_3 g_1) \right] \)

(5)

in which \( g_i \) (\( i = 1, 2, 3, 4 \)) are the shape parameters for a quadrangle and have been defined in [18].

Then, the corresponding strain matrix can be expressed by

\[ \mathbf{B}_q = \begin{bmatrix} \mathbf{B}_{q1} & \mathbf{B}_{q2} & \mathbf{B}_{q3} & \mathbf{B}_{q4} \end{bmatrix}, \]

(6)

where

\[ \frac{\partial N_i^0}{\partial x} = \frac{b_i}{2A} + \frac{b_j}{2A} + \frac{\xi_i \eta_j g_k}{2A (1 + g_1 g_3 + g_2 g_4)} S_x, \]
\[ \frac{\partial N_i^0}{\partial y} = \frac{c_i}{2A} + \frac{c_j}{2A} + \frac{\xi_i \eta_j g_k}{2A (1 + g_1 g_3 + g_2 g_4)} S_y, \]  

(8a)

(\( i = 1, 2, 3, 4; \ j = 2, 3, 4, 1; \ k = 3, 4, 1, 2 \)),
\[ S_x = \sum_{i'=1}^{4} b_i \xi_i \eta_i \left( 3 \left( L_{j'} - L_m \right) + \left( g_{j'} - g_k \right) \right), \]

\[ S_y = \sum_{i'=1}^{4} c_i \xi_i \eta_i \left( 3 \left( L_{j'} - L_m \right) + \left( g_{j'} - g_k \right) \right), \]

(8b)

\[ \left( i' = 1, 2, 3, 4 \right) \quad \left( j' = 2, 3, 4 \right) \quad \left( k' = 3, 4, 1, 2 \right) \quad \left( m' = 4, 1, 2, 3 \right), \]

(9)

in which \((x_i, y_i)\) \((i = 1, 2, 3, 4)\) are the Cartesian coordinates of the four corner nodes.

2.2. The Additional Second-Order Displacement Interpolation Formulae. The additional displacements are assumed to be

\[ u_a = \lambda_1 L_1 L_3 + \lambda_2 L_2 L_4, \]

\[ v_a = \lambda'_1 L_1 L_3 + \lambda'_2 L_2 L_4. \]

(10)

Thus, the \(c\) matrix \(B_a\) is given by

\[ B_a = \begin{bmatrix} b_1 L_3 + b_2 L_1 & 0 & b_2 L_4 + b_1 L_2 & 0 \\ 2A & c_1 L_3 + c_2 L_1 & 2A & c_2 L_4 + c_1 L_2 \\ c_1 L_3 + c_2 L_1 & 2A & c_2 L_4 + c_1 L_2 & 2A \\ 2A & cb L_3 + db L_1 & 2A & cb L_4 + db L_2 \end{bmatrix}. \]

(11)

2.3. The Element Stiffness Matrix. Finally, the element stiffness matrix of the element can be expressed by

\[ K' = K_{qq} - K_{kq} [K_{kq}^{-1} K_{kq}] K_{kq}. \]

(12)

with

\[ K_{qq} = \int_A B_q^T DB_q t \, dA, \]

\[ K_{kq} = \int_A B_k^T DB_q t \, dA, \]

(13)

\[ K_{kq} = \int_A B_k^T DB_k t \, dA, \]

where \(t\) is the thickness of element; \(D\) is the elasticity matrix

\[ D = \frac{E}{1 - \mu^2} \begin{bmatrix} 1 & \mu & 0 \\ \mu & 1 & 0 \\ 0 & 0 & 1 - \mu \end{bmatrix}. \]

(14)

The resulting element model is the element AGQ6-I. It can be easily shown that the values of the additional displacement fields given by (10) are zero at four element nodes. However, without any other restrictions along element edges, element AGQ6-I is a nonconforming element. On the other hand, since the relationship between area and Cartesian coordinates is linear, the displacement fields of AGQ6-I are actually a second-order complete polynomial in Cartesian coordinates. This makes the element AGQ6-I insensitive to mesh distortion in higher-order problems. So, it cannot only present the exact solution for pure bending problem but also be free of MacNeal's trapezoid locking problem.

Unfortunately, as a nonconforming element like Wilson's Q6, element AGQ6-I cannot give the exact solutions for strict patch test either. Although the results can converge to the exact solutions by subdividing the mesh (weak patch test), further improvements on its convergence are valuable.

3. Treatments on the Convergence of Element AGQ6-I

3.1. Special Numerical Integration Scheme from QM6 [10]. In order to make the element Q6 [1] present exact solution for constant stress/strain problem with fixed coarse mesh, the following restriction condition was imposed by Taylor et al. [10] on its additional strain matrix:

\[ \int_{V'} B_q^T dV = \int_{-1}^1 \int_{-1}^1 B_q^T \left| J \right| t d\xi d\eta = 0. \]

(15)

For satisfying the previous equation, the value of determinant \(\left| J \right|\) of Jacobi matrix must be a constant. So, when evaluating the differentials of \(x\) and \(y\) to \(\xi\) and \(\eta\) in matrix \(K_{qk}\) (see (13)) by numerical integration, the isoparametric coordinates of element centroid, \(\xi = \eta = 0\), were used to replace the coordinates of Gauss’s integral points. Then, the value of \(\left| J \right|\) would be always a constant, and the resulting element, denoted by QM6, can pass the strict patch test.

In order to employ the previous technique to modify element AGQ6-I, the quadrilateral area coordinates can be rewritten in terms of the isoparametric coordinates:

\[ L_1 = \frac{1}{4} \left( 1 - \xi \right) \left[ g_2 \left( 1 - \eta \right) + g_3 \left( 1 + \eta \right) \right], \]

\[ L_2 = \frac{1}{4} \left( 1 - \eta \right) \left[ g_4 \left( 1 - \xi \right) + g_3 \left( 1 + \xi \right) \right], \]

\[ L_3 = \frac{1}{4} \left( 1 + \xi \right) \left[ g_1 \left( 1 - \eta \right) + g_4 \left( 1 + \eta \right) \right], \]

\[ L_4 = \frac{1}{4} \left( 1 + \eta \right) \left[ g_1 \left( 1 - \xi \right) + g_2 \left( 1 + \xi \right) \right]. \]

(16)

Then, after substituting (16) into (11), the additional strain matrix \(B_a\) of element AGQ6-I in (11) can be rewritten in terms of the isoparametric coordinates.

It is obvious that the additional strain matrix \(B_a\) of element AGQ6-I is different with that of element Q6. For element Q6, there are only terms of \(\xi, \eta, \) and \(\xi\eta\) available in \(B_a\), and the integral interval of (15) is \([-1, 1]\). So long as
the $|J|$ is a constant, (15) will be satisfied, and the modified version of Q6, element QM6, can pass the strict patch test. Compared with Q6, the additional strain matrix $B_A$ of AGQ6-I contains not only the terms of $\xi, \eta,$ and $\xi\eta$ but also some constant terms which Q6 does not have. This leads to that the result of (15) is not zero matrix. Therefore, the special numerical integration scheme mentioned previously cannot be directly used by element AGQ6-I. The influence of these constants must be considered.

The constants in the additional strain matrix $B_A$ are as follows:

$$
C_1 = b_1 \left( g_1 + g_4 \right) + b_2 \left( g_2 + g_3 \right),
C_2 = b_2 \left( g_1 + g_2 \right) + b_4 \left( g_3 + g_4 \right),
C_3 = c_1 \left( g_1 + g_4 \right) + c_3 \left( g_2 + g_3 \right),
C_4 = c_2 \left( g_1 + g_2 + g_3 + g_4 \right).
$$

In order to eliminate the influence of these constants, the modified additional strain matrix $\bar{B}_A$ of element AGQ6-I can be rewritten as

$$
\bar{B}_A = B_A - \left[ \begin{array}{cccc}
C_1 & 0 & C_2 & 0 \\
0 & C_3 & 0 & C_4 \\
C_3 & C_1 & C_4 & C_2
\end{array} \right].
$$

Using this modified additional strain matrix and the numerical integral method of QM6, the resulting element will pass the strict patch test.

The new model is named as AGQ6-M-I.

3.2. The Constant Stress Multiplier Method. The second approach is the constant stress multiplier method proposed by Pian and Wu [12], and can also make a nonconforming element pass the strict patch test. After introducing constant stress multiplier to eliminate the nonconforming energy on element sides, the final energy functional of the element can be written as

$$
\prod_p^e = \prod_p^f - \oint_{\partial\prod^e} \sigma_C^T n^T u_A \, ds.
$$

Finally, the new form of the element stiffness matrix can be obtained as

$$
K_p^e = \left[ \begin{array}{cc}
K_{ij} & K_{i3}^T \\
K_{i3} & K_{33}
\end{array} \right] - \left[ \begin{array}{c}
0 \\
G^T
\end{array} \right],
$$

where

$$
G = \int_{\prod^e} B_C^T DB_A \, dV
$$

in which $B_C$ is the constant strain part of the matrix $B_i$ given in (6).

The final element stiffness matrix can be derived by usual static condense procedure. The resulting new element is denoted by AGQ6 M-II and can also pass the strict form of patch test.

3.3. The Orthogonal Condition of Energy. In order to ensure the element to pass the strict patch test, the strain energy under constant stress state caused by the nonconforming strains is required to be zero:

$$
\int_{\prod^e} \sigma_C^T \epsilon_A \, dV = 0,
$$

where $\epsilon_A = n^T u_A$ is the nonconforming strains of element. Equation (22) was called the orthogonal condition of energy in reference [29].

By eliminating the constant factor in (22), the patch test of constant stress/strain for any element can be rewritten as

$$
\oint_{\partial\prod^e} n^T u_A \, ds = \int_{\prod^e} \epsilon_A \, dV = 0.
$$

Equation (23) can be further decoupled into three cases corresponding to the states subjected to one stress component of $\sigma_x$, $\sigma_y$, and $\tau_{xy}$.

Thus, four constants $C_i$ $(i = 1, 2, 3, 4)$ can be added to modify the additional strain field of AGQ6-I as follows:

$$
\epsilon_x = \left( \frac{b_1}{2A} L_3 + \frac{b_2}{2A} L_1 + C_1 \right) \lambda_1,
$$

$$
\epsilon_y = \left( \frac{c_1}{2A} L_3 + \frac{c_2}{2A} L_1 + C_3 \right) \lambda_1 + \left( \frac{c_2}{2A} L_4 + \frac{c_4}{2A} L_2 + C_4 \right) \lambda_2,'
$$

$$
\epsilon_{xy} = \left( \frac{b_1}{2A} L_3 + \frac{b_2}{2A} L_1 + C_1 \right) \lambda_1 + \left( \frac{b_1}{2A} L_4 + \frac{b_2}{2A} L_2 + C_2 \right) \lambda_2.'
$$

From $\int_{\prod^e} \epsilon_x \, dA = 0$, we obtain

$$
\int_{\prod^e} \left[ \left( \frac{b_1}{2A} L_3 + \frac{b_2}{2A} L_1 + C_1 \right) \lambda_1 + \left( \frac{b_1}{2A} L_4 + \frac{b_2}{2A} L_2 + C_2 \right) \lambda_2 \right] \, dA = 0.
$$

Its stronger forms can be written as

$$
\int_{\prod^e} \left( \frac{b_1}{2A} L_3 + \frac{b_2}{2A} L_1 + C_1 \right) \, dA = 0,
$$

$$
\int_{\prod^e} \left( \frac{b_1}{2A} L_4 + \frac{b_2}{2A} L_2 + C_2 \right) \, dA = 0.
$$
Then, $C_1$ and $C_2$ can be solved:

$$C_1 = -\frac{1}{6A} \left[ b_1 \left( 1 - g_2 g_3 \right) + b_3 \left( 1 - g_4 g_1 \right) \right],$$

$$C_2 = -\frac{1}{6A} \left[ b_2 \left( 1 - g_1 g_4 \right) + b_4 \left( 1 - g_1 g_2 \right) \right].$$

Similarly, from $\int_A \varepsilon_y \, dA = 0$, $C_3$ and $C_4$ can be obtained:

$$C_3 = -\frac{1}{6A} \left[ c_1 \left( 1 - g_2 g_3 \right) + c_3 \left( 1 - g_4 g_1 \right) \right],$$

$$C_4 = -\frac{1}{6A} \left[ c_2 \left( 1 - g_1 g_4 \right) + c_4 \left( 1 - g_1 g_2 \right) \right].$$

And it can be proved that all previous $C_i$ ($i = 1, 2, 3, 4$) satisfy $\int_A \varepsilon_{xy} \, dA = 0$ automatically.

Substitution of (27) and (28) into (24) yields the additional strain matrix of a new element by modifying element AGQ6-I. This new model is denoted by AGQ6M.

### 4. Numerical Examples

#### 4.1. Strict Patch Test

The constant strain/stress patch test using irregular mesh is shown in Figure 1. Let Young’s modulus $E = 1000$, Poisson’s ratio $\mu = 0.25$, and thickness of the patch $t = 1$. Element AGQ6-I cannot give the exact solutions under such coarse meshes, while all three new elements with full integration scheme ($2 \times 2$) can produce exact solutions without any problem. It shows that the proposed three methods are all workable for modifying non-conforming elements.

#### 4.2. Cook’s Skew Beam

This example was proposed by Cook et al. [9]. As shown in Figure 2, a skew cantilever beam is subjected to distributed shear load along its free edge. The results of vertical deflection at point $C$ are listed in Table 1.

Compared with the other elements, the accuracy of AGQ6M-II is even poor as Q4 element, while AGQ6 M-I and AGQ6M are as accurate as QM6.

#### 4.3. Cantilever Beam Divided by Five Quadrilateral Elements

The cantilever beam, as shown in Figure 3, is divided by five irregular quadrilateral elements. Two loading cases are considered: (a) pure bending under moment $M$; (b) linear bending under transverse force $P$. The Young’s modulus $E = 1500$, and Poisson’s ratio $\mu = 0.25$. Numerical results of the vertical deflection $v_A$ at point $A$ and the stress $\sigma_{xB}$ at point $B$ are given in Table 2.

From the results listed in Table 2, AGQ6 M-II still exhibits poor performance as Q4. Although AGQ6 M-I has passed
4.4. MacNeal’s Thin Cantilever Beam with Distorted Meshes. Consider the thin beams presented in Figure 4. Three different mesh shapes, rectangular, parallelogram, and trapezoidal, are adopted. This example, proposed by MacNeal and Harder [20], is a famous benchmark for testing the sensitivity to mesh distortion of quadrilateral membrane elements. In the three kinds of mesh, the trapezoidal shapes will cause the element locking easily.

There are two loading cases under consideration: pure bending and transverse linear bending. The Young’s modulus of the beam $E = 10^7$; the Poisson’s ratio $\mu = 0.3$; and the thickness of the beam $t = 0.1$.

The results of the tip deflection are shown in Table 3. From the results listed in Table 3,

(1) both AGQ6 M-II and Q4 suffer from locking problems for the three kinds of mesh distortions ((a) length-width ratio distortion, (b) parallelogram distortion, and (c) trapezoidal distortion) of three different meshes.

(2) As a nonconforming element, Q6 still cannot overcome the locking problem for the trapezoidal mesh. Benefited from the area coordinate methods, AGQ6-I is locking free from each kind of mesh.

(3) All those elements which can pass the strict patch test are locked in the trapezoidal mesh distortion. This verified the theory proposed in [30] again; that is, the trapezoidal locking is unavoidable for an element passed the strict patch test.

4.5. Mesh Distortion. The cantilever beam shown in Figure 5 is divided by two elements. The shape of the two elements varies with the variety of the distorted parameter $e$. When $e = 0$, both elements are rectangular. But with the increase of $e$, the mesh will be distorted more and more seriously. This is another famous benchmark for testing the sensitivity to the mesh distortion. Pure bending $M = 2000$ is considered. The results of the tip deflection at point A are listed in Table 4.

The accuracy of element Q4 and AGQ6M-II is the poorest. Their relative error reaches 72% when $e = 0$. Except Q4, AGQ6 M-I is much more sensitive to mesh distortion than any other element although it can present exact solution while $e = 0$. Compared with other elements which can pass the strict patch test, AGQ6M is the most robust element against mesh distortion.

5. Conclusions

In order to make the nonconforming element AGQ6-I pass the strict patch test, three treatments are used and tested. These methods are special numerical integration scheme used in QM6, constant stress multiplier method, and the orthogonal condition of energy. Numerical results of numerical examples show that each method can achieve the goal, but the performance for complicated stress problem of new elements will degenerate inevitably. Among the three treatments, the constant stress multiplier method made the element so rigid that the accuracy will deteriorate even in rectangular meshes. The special numerical integration scheme can make element
QM6 exhibit good performance, but it is not always suitable for all other elements. The orthogonal condition of energy can effectively improve the compatibility of element AGQ6-I without losing too much accuracy.

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