The Stalagmite Record of Southern Arabia: Climatic Extremes, Human Evolution and Societal Development

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The fluctuating climatic conditions of the Saharo-Arabian deserts are increasingly linked to human evolutionary events and societal developments. On orbital timescales, the African and Indian Summer Monsoons were displaced northward and increased precipitation to the Arabian Peninsula which led to favorable periods for human occupation in the now arid interior. At least four periods of climatic optima occurred within the last 130,000 years, related to Marine Isotope Stages (MIS) 5e (128–121 ka BP), 5c (104–97 ka BP), 5a (81–74 ka BP) and 1 (10.5–6.2 ka BP), and potentially early MIS 3 (60–50 ka BP). Stalagmites from Southern Arabia have been key to understanding climatic fluctuations and human-environmental interactions; their precise and high-resolution chronologies can be linked to evidence for changes in human distribution and climate/environment induced societal developments. Here, we review the most recent advances in the Southern Arabian Late Pleistocene and Early Holocene stalagmite records. We compare and contrast MIS 5e and Early Holocene climates to understand how these differed, benchmark the extremes of climatic variability and summarize the impacts on human societal development. We suggest that, while the extreme of MIS 5e was important for *H. sapiens* dispersal, subsequent, less intense, wet phases mitigate against a simplistic narrative. We highlight that while climate can be a limiting and important factor, there is also the potential of human adaptability and resilience. Further studies will be needed to understand spatio-temporal difference in human-environment interactions in a climatically variable region.

Keywords: Arabia, monsoon, dispersal, *Homo sapiens*, stalagmite, isotope, climate

INTRODUCTION

The fluctuating palaeoclimate conditions of Southern Arabia are frequently related to broad changes in hominin distribution as well as regional societal developments. Intensifications and expansions of the monsoon domain increased precipitation across Southern Arabia during periods of increased solar insolation, following orbitally-paced cycles (Burns et al., 1998; Burns et al., 2001; Fleitmann et al., 2003b; Fleitmann et al., 2011; Parton et al., 2015b; Jennings et al., 2015; Nicholson et al., 2020). During the last 130 krys, at least four prolonged periods of increased precipitation have been identified and dated to MIS 5e (128–121 ka BP), MIS 5c (104–97 ka BP), MIS 5a (81–74 ka BP) and the Early Holocene (10.5–6.2 ka BP), and perhaps early MIS 3 (60–50 ka BP), each lasting for a few millennia (Burns et al., 2001; Fleitmann et al., 2011; Parton et al., 2013; Nicholson et al., 2020). These extreme increases in rainfall permitted the formation of large, deep and perennial lakes and other
waterbodies in the now arid interiors (Rosenberg et al., 2011; Rosenberg et al., 2012; Parton et al., 2013; Parton et al., 2015a). As well as increased surface water availability and refilling of aquifers, increased rainfall led to “greening” events of Arabia, in which grassland environments expanded into the now arid desert interiors and supported the spread of large mammals and human settlement (Rose et al., 2011; Petraglia et al., 2012; Groucutt et al., 2015; Stimpson et al., 2016; Groucutt et al., 2018; Stewart et al., 2020a; Stewart et al., 2020b; Groucutt et al., 2021; Scerri et al., 2021).

Speleothems (stalagmites, stalactites and flowstones) have been key sources of terrestrial palaeoclimatic information in Southern Arabia. Unlike other terrestrial archives (e.g., lacustrine and alluvial records), their subterranean location protects them from desert weathering conditions (Burns et al., 1998; Vaks et al., 2010; El-Shenawy et al., 2018; Burstyn et al., 2019; Henselowsky et al., 2021). Additionally, speleothem growth requires a positive precipitation-evaporation balance, and can thus inform the timing of prolonged soil humidity above the cave. Stalagmites are particularly useful for palaeoclimate reconstructions; their laminated growth permits the development of precise climatic records through U-Th dating and analyses of calcite oxygen ($\delta^{18}O_{\text{calcite}}$) and carbon ($\delta^{13}C_{\text{calcite}}$) stable-isotopes, which can be linked to archaeological (and historical) records. Since 1998, a series of publications have provided a unique insight into the palaeoclimate of Southern Arabia using stalagmites collected from Hoti (23.08° N, 57.35° E), Mukallah (14.91° N, 48.59° E), and Qunf (17.16° N, 54.3° E) caves (Figure 1A). Specific site descriptions of the caves are available elsewhere (Burns et al., 1998; Neff et al., 2001; Fleitmann et al., 2003b; Fleitmann et al., 2003a; Fleitmann et al., 2007; Fleitmann et al., 2011). Here, we summarize these works and provide a comparison between two of these climatically extreme periods: MIS 5e and the Early-Mid Holocene.
TIMING OF INCREASED RAINFALL DURING THE LAST 130 KYRS

At least four South Arabian Humid Periods (SAHPs) occurred during the Late Pleistocene and Early Holocene. At Mukallah Cave, stalagmite deposition was recorded during MIS 5e (~128–121 ka BP; SAHP 4), 5c (~104–97 ka BP; SAHP 3), and the Early Holocene (~10–6 ka BP; SAHP 1). At Hoti cave, stalagmite deposition occurred during MIS 5e, 5a (~85–74 ka BP; SAHP 2) and the Holocene (10–5.2 ka BP and 2.6 ka BP to present) (Fleitmann et al., 2007). Stalagmite growth is also recorded at Qunf Cave (Q5), Defore Cave (S3, S4, S6, S9) and Dimarshim (D1). An almost continuous climatic record (~10.6–0.3 ka BP) is provided by Q5, whereas S3 and S4 are only active before and after SAHP 1 and D1 grows from ~4.2 to 0 ka BP. Determination of stalagmite fluid inclusion water δ18O and δD values from Mukallah and Hoti caves have shown that increased precipitation during MIS 5e and the Early-Mid Holocene were delivered by the African Summer Monsoon (ASM) and the Indian Summer Monsoon (ISM) (Fleitmann et al., 2003b; Nicholson et al., 2020). This is in good coherence with other records of ASM and ISM intensity, particularly sapropel layers S5 (~128.3–121.5 ka), S4 (107.8–101.8 ka), S3 (85.8–80.8 ka) and S1 (10.5–6.1 ka) from Mediterranean Sea core ODP 967 (Rohling et al., 2015; Grant et al., 2017).

Stalagmite distribution, size and shape can reveal changes in precipitation amounts in arid environments. An estimated annual precipitation >300 mm yr⁻¹ for SAHPs was established using the distribution of active stalagmite growth in the Negev desert (Vaks et al., 2006; Vaks et al., 2010; Vaks et al., 2013), suggesting palaeo-precipitation doubled current amounts at Mukallah and Hoti Caves (Fleitmann et al., 2011; Nicholson et al., 2020; Figure 1A). MIS 5e stalagmites (Y99 and H13) are large (width >30 cm; and height >1 m), suggesting annual rainfall was considerably higher than 300 mm yr⁻¹. This is supported by deposition of a large MIS 5e flowstone at Hoti Cave, which indicates flowing water on the cave floor, deposition fluvio-lacustrine sediments in Northern Arabia (Rosenberg et al., 2013; Parton et al., 2018) and Southern Arabia (Rosenberg et al., 2011; Rosenberg et al., 2012; Matter et al., 2015; Parton et al., 2015a), the deposition of sapropel S5 caused by ~8 times higher Nile outflow (Amies et al., 2019) and modeled rainfall amounts of 300–600 mm yr⁻¹ during MIS 5e (Otto-Bliesner, 2006; Jennings et al., 2015; Figure 1B). Stalagmites from later growth periods, such as Y97-4 and Y97-5, are comparatively smaller (Fleitmann et al., 2011), suggesting that annual rainfall was less than during SAHP 4. This is consistent with modeled Early Holocene rainfall of 200–300 mm yr⁻¹ over Mukallah Cave (Fordham et al., 2017; Brown et al., 2018).

Differing rainfall amounts between SAHPs are confirmed by stalagmite δ18Oca values, which are influenced by the intensity of ASM (Mukallah) and ISM (Hoti) rainfall (Fleitmann et al., 2011; Nicholson et al., 2020). SAHP 4 (MIS 5e) has the most negative δ18Oca values (increased rainfall), whereas SAHP 1 (Holocene) has the most positive δ18Oca values (drier conditions) (Nicholson et al., 2020). The competing effects of high-latitude glacial-boundary conditions and low-latitude insolation are both considered to control the expansion, contraction and intensity of the monsoon domain (Burns et al., 2003; Cheng et al., 2009; Beck et al., 2018) and are key differentiating factors of SAHPs (Nicholson et al., 2020). While precipitation intensities of SAHP 4, 3 and 2 follow the declining intensity of glacial-boundary minima, SAHP 1 contradicts this trend, as positive δ18Oca occurred during an interglacial maximum. Instead, SAHP δ18Oca values consistently follow the pattern of declining low-latitude summer Northern Hemisphere Insolation (NHI) maxima, which are regulated on orbital eccentricity (100 kyr) and precession (21 kyr) cycles (Figure 1B). Low-latitude insolation is a key control on the interhemispheric pressure gradient, whereby greater solar heating of the Tibetan Plateau and northern Indian Ocean results in enhanced low pressure and intensification of northern hemisphere cyclones (Burns et al.,...
2003; Fleitmann et al., 2007; Parton et al., 2015b; Beck et al., 2018). Thus, comparatively low insolation values during SAHP 1 are matched by a weaker response of monsoon intensity compared to preceding SAHPs. Importantly, SAHPs had differing climatic conditions and likely brought unique environmental responses and challenges for human populations.

**RAINFALL TRENDS DURING MIS 5E AND THE HOLOCENE**

**MIS 5e**

Precise $^{230}$Th ages of stalagmites combined with $\delta^{18}$O$_{\text{ca}}$ values have provided records of MIS 5e and Holocene climatic variability. The Y99 (Mukallah) $\delta^{18}$O$_{\text{ca}}$ and $\delta^{13}$C$_{\text{ca}}$ records cover SAHP 4 in Yemen, with onset and termination of stalagmite growth at 127.8 and 121.1 ka BP (Nicholson et al., 2020; Nicholson et al., 2021a). There are four distinct features of the Y99 $\delta^{18}$O$_{\text{ca}}$ curve: 1) onset of enhanced rainfall is characterized by negative $\delta^{18}$O$_{\text{ca}}$ values, suggesting this was abrupt, perhaps within <500 years as suggested by other ASM records (e.g., Bar-Matthews et al., 2003). 2) There is a clear relationship to the July 30°N isolation curve, demonstrating rainfall intensity was modulated by low-latitude insolation (Figures 1B, 2B). 3) While there is considerable variability, $\delta^{18}$O$_{\text{ca}}$ values are consistently more negative (wetter conditions) than succeeding wet phases. 4) There is an abrupt increase in $\delta^{18}$O$_{\text{ca}}$ and $\delta^{13}$C$_{\text{ca}}$ (drier conditions) at the termination of the wet period as the tropical rain-belt retreated southwards and annual rainfall fell below the threshold for large stalagmite formation (Nicholson et al., 2020). Additionally, sub-annually resolved H13 (Hoti) $\delta^{18}$O$_{\text{ca}}$ and $\delta^{13}$C$_{\text{ca}}$ records shows MIS 5e was characterised by increased seasonality (wetter summers and drier winters) dominated by a monsoon-driven precipitation regime (Nicholson et al., 2020). This was likely echoed by a seasonal vegetation response, as indicated by the presence of C4 plants (Bretzke et al., 2013; Nicholson et al., 2020), with potentially significant implications for animals and human hunter-gatherers.

**Early-Mid Holocene**

The Early-Mid Holocene is characterized by another period of increased rainfall in Arabia, known as the Holocene Humid Period (HHP), or SAHP 1 in Southern Arabia (Burns et al., 1998; Burns et al., 2001; Fleitmann et al., 2003a; Fleitmann et al., 2007; Fleitmann and Matter, 2009; Lézine, 2009; Rosenberg et al., 2011; Engel et al., 2012; Rosenberg et al., 2013). Stalagmite records from Hoti (H5 and H12), Qunf (Q5) and Defore (S3 and S4) caves provide information of rainfall variability throughout the Holocene. While at Hoti Cave $\delta^{18}$O$_{\text{ca}}$ values show shifting dominances of winter (derived from the Mediterranean Sea) vs. summer (derived from the Indian Ocean) precipitation, Qunf Cave $\delta^{18}$O$_{\text{ca}}$ values record ISM precipitation intensity. Whereas Hoti Cave $\delta^{18}$O$_{\text{ca}}$ values indicate that winter precipitation has been dominant over the last ~6 kyrs, the Early Holocene is marked by more negative $\delta^{18}$O$_{\text{ca}}$ values reflecting increased summer precipitation (Neff et al., 2001; Burns et al., 2003; Fleitmann et al., 2007; Shakun et al., 2007). This is coeval to more negative $\delta^{18}$O$_{\text{ca}}$ values at Qunf Cave which indicate an intensification of the ISM. At both caves $\delta^{18}$O$_{\text{ca}}$ values show:

1) Intensification of summer precipitation between 10.6 and 9.4 ka BP, which slightly lags low-latitude insolation due to comparatively high glacial-boundary forcing (Fleitmann et al., 2007). 2) Considerable multi-decadal variability within both H5 and Q5, displaying clear relationships with GRIP, NGRIP and DYE-3 ice-core $\delta^{18}$O records (Johnsen et al., 2001; Neff et al., 2001; Fleitmann et al., 2003a; Fleitmann et al., 2007; Fleitmann and Matter, 2009). More negative ice-core $\delta^{18}$O (colder northern-hemisphere conditions) were reflected by more positive (drier conditions) stalagmite $\delta^{18}$O$_{\text{ca}}$ values. 3) A distinct increase of $\delta^{18}$O$_{\text{ca}}$ values (drier conditions) is observed between ~8.2–8.0 ka BP and is related to the so-called “8.2-kyr event”; a global climatic event caused by the collapse of Atlantic Overturining Meridional Circulation (AMOC) due to draining of Hudson Bay glacial lakes and freshwater influx into the Atlantic (Barber et al., 1999; Kobashi et al., 2007). $\delta^{18}$O$_{\text{ca}}$ values of H14 and H5 (Hoti Cave) show this period was characterised by a weakening of rainfall and led to a hiatus of H14 growth (Cheng et al., 2009b). 4) Summer precipitation declines at ~6.2 ka BP. At Qunf Cave, this decline is gradual and closely follows the 30°N isolation-curve (for an extended discussion, see Fleitmann et al., 2007). At Hoti Cave, this precipitation decline is more abrupt (identifiable by change point analysis; Figure 2A) and related to winter rainfall becoming the dominant source of precipitation in northern Oman (Fleitmann et al., 2007). As Hoti Cave provides solid timing on the shifting dominance of winter vs. summer precipitation, the H5 record has been used to define the duration of SAHP 1 and is consistent with the $^{230}$Th ages of Holocene stalagmites from Mukallah Cave (Fleitmann et al., 2011; Nicholson et al., 2020). Whereas the Y99 record indicates SAHP 4 (during MIS 5e) persisted for ~6.5 kyrs, the Hoti Cave composite record indicates SAHP 1 lasted for a shorter period of ~4 kyrs. These patterns follow established conditions during SAHP 1, which in Southern Arabia are also evidenced by vegetation expansion (Fuchs and Buerkert, 2008), vegetation that requires adequate precipitation (Parker et al., 2004), and palaeolake and river formation (Faraj and Harvey, 2004; Preston, 2011; Berger et al., 2012). Across Arabia, these changes are asynchronous (Preston and Parker, 2013; Preston et al., 2015), with northern Arabia experiencing a truncated period of increased rainfall compared to the south.

**DISCUSSION**

**MIS 5e**

What do the varied conditions between SAHPs mean for discussions of human populations and climatic extremes? There is a growing body of evidence which relates Pleistocene human movements between Arabia and Africa to periods of enhanced precipitation. Archaeological remains at Jebel Faya were dated to MIS 5e and may evidence the earliest instance of *H. sapiens* in the region (Armitage et al., 2011). Outside of
Arabia, MIS 5 H. sapiens fossils uncovered at Skhul, Qafzeh (Israel, Millard, 2008) and Fuyan Cave (≥80 ka BP, China; Liu et al., 2015) represent some of the earliest instances of Late Pleistocene humans outside of Africa. MIS 5e saw the most intense enhancement of precipitation, highlighting that this period may have been particularly favorable for hominin occupation and dispersal across the Saharo-Arabian deserts (Larrasoaña et al., 2013; Nicholson et al., 2021b). Such a large increase of precipitation was likely echoed by a greater vegetation response than later SAHPs, as evidenced by Mukallah Cave (Nicholson et al., 2020) and the Jebel Faya phytolith record (Bretzke et al., 2013). It is thus likely that the carrying capacity of the Arabian Peninsula was greater during SAHP 4 compared to subsequent SAHPs, meaning population expansions and/or dispersals could have been rapid (Nicholson et al., 2021b). Additionally, the longer duration of SAHP 4 indicates that “green” environments were longer-lived than in SAHP 1, offering potentially longer-term occupation of the now arid interior. In this sense, climatic conditions during MIS 5e were at one extreme of Southern Arabia climatic variability and should not be understated as an optimal period for human dispersal.

However, it must be noted that archaeological finds are also dated to MIS 5c, 5a and 3 (Petraglia et al., 2011; Rose et al., 2011; Delagnes et al., 2012; Groucutt et al., 2018). While MIS 5e may therefore be the most extreme period of increased rainfall, other periods were still able to support human populations despite being “less favorable”. Do these climatic differences suggest that strategies of survival differed between SAHPs (e.g., Bretzke and Conard, 2017)? Were subsequent dispersals more limited in terms of numbers of people and other animals? What do statistically significant differences in δ18Oca values translate to in terms of annual rainfall differences, as well as spatio-temporal variance on long (e.g., millennial) and short (e.g., annual) timescales? Or were the additional benefits of SAHP 4 compared to other SAHPs simply not that important for human occupation (i.e., humans could make do with less)? Additionally, the presence of H. sapiens in Arabia within MIS 3 suggests either occupation throughout the MIS 4 glacial or re-entry despite a “drier” climate (Armitage et al., 2011; Delagnes et al., 2012). While these are questions for future research, one message we may take from this is resilience/adaptation despite climatic differences, and that - while the stalagmite record provides useful information on the timing of major climate changes and major H. sapiens biogeographic shifts - providing a climatic “bench-mark” for Late Pleistocene occupations from the stalagmite record is too deterministic and overlooks taphonomical biases and dating uncertainties within the archaeological record.

One thing that is perhaps clearer is that the termination of these wet periods saw a substantial change in environmental conditions. The termination of SAHP 4 likely meant annual rainfall declined to <300 mm yr⁻¹ and was echoed by a decline in vegetation resources. In terms of the “lived” experiences of humans, such a decline would have likely required a shift in survival strategies (Nicholson et al., 2021b). This may have included increased home-range foraging size and mobility patterns, retraction to high-resource retaining areas (such as the Yemeni Highlands; Delagnes et al., 2012; Delagnes et al., 2013) or in some cases dispersal out of Arabia (Nicholson et al., 2021b). Such responses to declining precipitation were also likely variable and not simplistic. Recent archaeological finds in Northern Arabia hint at techno-cultural continuity between Mid-Pleistocene wetter phases (Scerri et al., 2021), perhaps suggesting human resilience to increasingly unfavorable climatic conditions.

### Early-Mid Holocene

The key precipitation changes during the HHP/SAHP 1 of gradual intensification of summer precipitation (~10.6–9.4 ka BP) that only declines following ~6.2 ka BP, and temporarily during the 9.2-kyr and 8.2-kyr events (Fleitmann et al., 2008), influenced humans and communities living in Arabia (for full summaries, see Parker et al., 2006; Goudie and Parker, 2010; Groucutt et al., 2020; Petraglia et al., 2020).

When compared to MIS 5e however, precipitation increases and associated vegetation response were less intense (Fleitmann et al., 2011; Bretzke et al., 2013; Nicholson et al., 2020). Despite this, and similar to MIS 5c, 5a and 3 (see above), there remains archaeological evidence for human occupation. Mustatils appear in northern Arabia from 9.2 ka BP (Kennedy, 2017; Guagnin et al., 2020; Thomas et al., 2021), and desert kites are evidenced in Jordan from 10 ka BP (Al Khasawneh et al., 2019). In southern Arabia, occupation of Jebel Qara took place ~10.5–9.5 ka BP (Cremaschi et al., 2015), pastoralism is evidenced by 8.0 ka BP (Drechsler, 2007; Drechsler, 2009; Martin et al., 2009), graves are attested 7.2–6.0 ka BP (Kiesewetter, 2006) and monumental stone platforms are evidenced 6.4 ka BP (McCorriston et al., 2012; Magee, 2014).

Reduced rainfall following ~6.2 ka BP led to a temporary end of Neolithic herding in the desert interiors, shrinking population numbers, and migration to areas with greater ecological diversity, perhaps suggesting a minimum amount of precipitation is required for human occupation in these marginal environments (Uerpmann, 1992; Vogt, 1994; Uerpmann, 2002; Potts et al., 2003; Goudie and Parker, 2010). However, human communities returned to the interior of Southern Arabia from ~5.2 ka BP without amelioration of climate, which even aridified further; varied occupation continues until the modern day (Magee, 2014; Petraglia et al., 2020). Therefore, it seems likely that drier climates create challenges for human populations, but these can be overcome by technological (e.g., mustatils, pottery, water management; camels domestication) and strategic (e.g., mobility, pastoralism) adaptations (Petraglia et al., 2020).

Finally, stability and variance of precipitation (which can be hard to detect in palaeoclimate records) may have been more influential to humans than long-term changes in amounts (Thornton et al., 2014). A temporary transition to herding practices occurred in some parts of Arabia during the 8.2 ka event (Drechsler, 2009; Crassard and Drechsler, 2013), whilst more positive δ18Oca values (drier conditions) are observed at Hoti cave (Figure 2A). Conversely, Cremaschi et al. (2015) suggested that—although increasing precipitation ~10.5–9.5 ka
BP facilitated occupation—overly “wet” landscapes at Jebel Qara after 9.5 ka BP led to site abandonment and a preference for coastal settings, hinting at the varied human responses to fluctuating climatic conditions.

CONCLUSION

Overall, when compared to other periods, stalagmite climate records indicate that the African and Indian Summer Monsoons were most intense during MIS 5e, which was one extreme of climatic variability in the last 130 kyrs. This was likely an important period for the dispersal of *H. sapiens* from Africa, as well as occupation in the now desert interiors of Arabia, and the subsequent decline back to more arid conditions likely impacted survival strategies in Southern Arabia. A comparatively weaker intensification of precipitation (yet long-term trends are comparable) occurred during the Early Holocene. The expansion of human populations into the now arid interior was similar to MIS 5e but the responses to climatic variability and subsequent aridification differed. We emphasize that evidence for human occupation during all periods of insolation maxima, and the varying climates of these drier periods, highlights human resilience/adaptation despite climatic differences and mitigates against a simplistic narrative. Future research will benefit from the addition of climate/environmental proxies (trace-element and perhaps aDNA), increased surveys to advance the spatial-temporal coverage of the speleothem record and development of continuous climate records for SAHP 3 and 2. Understanding the shifting survival strategies in the context of declining rainfall and aridification, as Arabia transitioned from one extreme to another, will be of key importance to future debates of *H. sapiens* biogeography, behavioral flexibility, and both past and future climate-induced socio-political change.

AUTHOR CONTRIBUTIONS

SLN acted as primary author for the article, conceptualizing the manuscript, producing the initial draft and figures and ongoing editing of the manuscript. MJ acted as secondary author, assisting with the initial draft, and editing of the manuscript. RH and DF supervised and edited the manuscript.

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SUPPLEMENTARY MATERIAL

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