Diclofenac Hypersensitivity: Antibody Responses to the Parent Drug and Relevant Metabolites

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Abstract

Background: Hypersensitivity reactions against nonsteroidal antiinflammatory drugs (NSAIDs) like diclofenac (DF) can manifest as Type I-like allergic reactions including systemic anaphylaxis. However, except for isolated case studies experimental evidence for an IgE-mediated pathomechanism of DF hypersensitivity is lacking. In this study we aimed to investigate the possible involvement of drug- and/or metabolite-specific antibodies in selective DF hypersensitivity.

Methodology/Principal Findings: DF, an organochemically synthesized linkage variant, and five major Phase I metabolites were covalently coupled to carrier proteins. Drug conjugates were analyzed for coupling degree and capacity to crosslink receptor-bound IgE antibodies from drug-sensitized mice. With these conjugates, the presence of hapten-specific IgE antibodies was investigated in patients’ samples by ELISA, mediator release assay, and basophil activation test. Production of sulfidoleukotrienes by drug conjugates was determined in PBMCs from DF-hypersensitive patients. All conjugates were shown to carry more than two hapten per carrier molecule. Immunization of mice with drug conjugates induced drug-specific IgE antibodies capable of triggering mediator release. Therefore, the conjugates are suitable tools for detection of drug-specific antibodies and for determination of their anaphylactic activity. Fifty-nine patients were enrolled and categorized as hypersensitive either selectively to DF or to multiple NSAIDs. In none of the patients’ samples evidence for drug/metabolite-specific IgE in serum or bound to allergic effector cells was found. In contrast, a small group of patients (8/59, 14%) displayed drug/metabolite-specific IgG.

Conclusions/Significance: We found no evidence for an IgE-mediated effector mechanism based on haptenation of protein carriers in DF-hypersensitive patients. Furthermore, a potential involvement of the most relevant metabolites in DF hypersensitivity reactions could be excluded.

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Introduction

Diclofenac (DF) is one of the most popular drugs world-wide belonging to the family of nonsteroidal antiinflammatory drugs (NSAIDs). Adverse drug reactions (ADRs), which occur in about every fifth patient, are well-known side effects mainly caused by the NSAIDs’ acidic properties [1]. More serious are drug hypersensitivity reactions (DHRs) to DF, which clinically manifest as Type-I-like allergic reactions with sudden and unpredictable occurrence and can progress into anaphylactic shock. Two pathomechanisms are currently thought to be involved in NSAIDs hypersensitivity reactions: (i) multiple NSAIDs hypersensitivity (also those involving DF) has been considered as pharmacokinetic disorders due to non-selective inhibition of cyclooxygenase (COX)-1 leading to shifts in the prostaglandin and leukotriene levels [2,3]; (ii) in contrast, for selective NSAID hypersensitivities including DF, an involvement of the immune system is still being postulated [4]. Accordingly, drug-specific IgE has been reported in patients with DHRs to ASA, ibuprofen [5], propyphenazone [6], and sporadically to DF [7,8]. Nevertheless, skin tests in DF-hypersensitive patients have persistently given negative results. Therefore, it is conceivable that various bioactivation and haptenation processes may play a role in DF hypersensitivity.

However, adequate reagents for skin testing or in vitro assays are not available despite the fact that several Phase I metabolites of DF have been identified in human plasma, bile, and urine [9]. In addition, DF Phase II metabolites have been described in hepatocyte cultures [10]. The ability of Phase I metabolites to
form immunogenic protein conjugates has been shown in animal studies [11,12] and in cases of DF-induced immune hemolytic anemia [13]. Although protein conjugates of Phase I metabolites have not been described, it is possible that reactive intermediates of DF might haptenize to proteins in an alternative orientation.

In this study we performed a detailed investigation of drug-specific antibody responses in patients suffering from selective DHRs to DF. The possible role of drug-metabolism and bioactivation was examined using five major Phase I metabolites and one linkage variant of DF as haptons. The presence of drug/metabolite-specific IgE antibodies was investigated in serum and on basophils isolated from patients.

Materials and Methods

Ethics statement

The study was approved by the Ethics Committee for Experiments Involving Humans and/or Animals at the University of Salzburg complying with the Helsinki Declaration as revised in 1983 and all patients participating gave their written informed consent.

Mice were maintained in the animal facility at the University of Salzburg and all animal experiments were conducted according to National guidelines approved by the Austrian Ministry of Science (BMWF-66.012/0011-II/10b/2010). The protocol was approved by the Ethics Committee for Experiments Involving Humans and/or Animals at the University of Salzburg. Blood draws were done from the tail vein making all efforts to minimize suffering.

Study population and clinical characterization

From 2005-2008, 59 patients (36 female, 23 male; mean age 53.9) with a history of acute hypersensitivity to DF (anaphylaxis I-IV, classification according to Ring and Messmer [14]) referred to the allergy centers of the university hospitals of Salzburg and Graz were studied. Patients underwent detailed clinical history and subjects with aspirin-exacerbated respiratory disease or chronic urticaria as underlying diseases were excluded. Characterization included skin tests, determination of total serum IgE and allergen-specific antibody responses in patients suffering from selective DF-induced immune hemolytic anemia [11,12] and in cases of DF-induced immune hemolytic anemia. Hapten-carrier conjugates were produced analogously without addition of hapten and used as negative controls.

The coupling degrees of DF/DF5der/DF-metabolite-specific antisera were determined by High-Performance Size-Exclusion Chromatography (HPSEC) online coupled with a triple detector array (TDA302, Viscotek, Houston, TX, USA) as described elsewhere [18] with 100 μg conjugate on column. Detection was calibrated with HSA and values for dn/dc of 0.185 ml/g, da/dc of 0.518 ml/g, and molecular weight of 66472 were set [19]. For all haptons dn/dc of 0.285 ml/g and da/dc of 44.602 ml/g were determined from the quotients of refractive index (RI) and UV280 areas by the amounts DF injected. The concentrations of HSA and DF of eluting fractions were determined by following equations:

\[ \text{RI area} = k_{\text{RI}} \left( \frac{d \text{RI}}{d \text{HSA}} \times c_{\text{HSA}} \right) + \left( \frac{d \text{RI}}{d \text{DF}} \times c_{\text{DF}} \right) \]

\[ \text{UV280 area} = k_{\text{UV}} \left( \frac{d \text{UV}}{d \text{HSA}} \times c_{\text{HSA}} \right) + \left( \frac{d \text{UV}}{d \text{DF}} \times c_{\text{DF}} \right) \]

DF/DF5der/DF-metabolite-specific antisera

Female BALB/c mice (Charles River Laboratories, Wilmington, MA, USA) at 6 to 12 weeks of age were used to obtain polyclonal antisera. Animals were injected subcutaneously (s.c.) with 5 μg DF/DF5der/DF-metabolite-KLH adsorbed to AluLg-S (Serva, Heidelberg, Germany) given as two 50 μl s.c. injections administered bilaterally in the lumbar region and boosted on day 14, 21, and 42. Sera were collected one week after a final boost and stored at −20°C until analysis.

Mediator release assays

Release assay with mouse sera (mRBL): Beta-hexosaminidase release assays were performed with DF/DF5der/DF-metabolite-specific mouse antisera (final dilution 1:10) using RBL-2H3 cells (ATCC: CRL-2256TM) and the respective HSA conjugates (varying concentrations), according to a protocol previously described [20].

Release assay with human sera (huRBL): Patients' sera were tested with the RBL-703/21 cells (optimized subclone of RBL-30/25 [21]), expressing the alpha-chain of the human high-affinity IgE receptor (FcεRI). HuRBL was performed as the mRBL assay with the following modifications: cell attachment (105 cells/well) and passive sensitization with human sera (1:10) were combined in an overnight (ON) incubation step and stimulation buffer contained 50% D2O (Sigma) for enhancement of release. Crosslinking was induced by addition of 1 μg/ml HSA conjugate. In patients with severe (grade III and IV) and immediate (<30 min) reactions crosslinking was measured for 12.5, 2.5, 0.25 μg/ml, 25, 2.5, 0.25 ng/ml HSA conjugate.

Cut-off was set at the mean percent release of negative controls (mock-HSA) plus 3 times the standard deviation (3*SD). Interassay performance of RBL-703/21 cells was controlled with serum from a birch-allergic donor (1:10) and recombinant Bet v 1a (4 ng/ml). Possible nonspecific effects were controlled by stimulating cells with mock-HSA in both assay formats.

ELISA

Plates were coated with 2.5, 1.0, and 0.25 μg/well DF/DF5der/DF-metabolite-HSA conjugates or mock-HSA. After washing and blocking, 50 μl patient sera (1:2 and 1:10) diluted in 25 mM tris-buffered saline pH 7.4, 0.05% Tween 20, 0.5% BSA were added and incubated (ON, 4°C). Extensive washing was followed by incubation (2 h, RT) with 50 μl alkaline phosphatase (AP)-labeled anti-human IgE (1:2000, BD Pharmingen, Schwarz- chat, Austria), mouse anti-human IgG1 (hinge)-AP or anti-human IgG4-AP (each 1:1000, Southern Biotech, AL, USA). For
Results

Defining phenotypes of DF-hypersensitive patients

In this study, 59 patients with acute hypersensitivity to DF were recruited (Table S1). In order to exactly define the patterns of reactivity of patients towards DF and other NSAIDs, 41 patients underwent OPTs with various NSAIDs, but not with DF, seven patients were challenged with DF, and for eleven patients definition of clinical reactivity was based on history alone. Accordingly, patients were divided into two groups: individuals reporting an intolerance reaction exclusively to DF or tolerating other NSAIDs in OPT were considered non-sensitive to DF (DF patients, n = 41); patients reacting with other NSAIDs in OPT or reporting an intolerance reaction to NSAIDs were classified as multiple NSAIDs-reactive (NSAIDs patients, n = 18). 48% (20/41) of DF patients showed anaphylactic reactions with severity grades III and IV. Onset of symptoms was immediate (≤1 h) in 22/41 cases, accelerated (12 h) in 12/41, and not specified in 5/41. In contrast, 83% (15/18) of NSAIDs patients displayed severity grade I or II reactions. Non-immediate onset of symptoms was no exclusion criterion for this study as delays have been observed in verified IgE-mediated reactions and suggested to result from several factors including drug metabolism [22].

Skin test results were positive for three patients, two reacting exclusively with DF (DF patient #59, NSAIDs patient #55) and one with various NSAIDs (ASA, ibuprofen, metamizole, oxicams, NSAIDs patient #31) but not with DF. Parameters of atopy showed no differences between DF and NSAIDs patients with increased levels (>100 kU/l) of total IgE in 29% and 35% and with specific IgE against inhalant allergens (SX1) in 22% and 20% of the patients, respectively.

Physicochemical and functional characterization of hapten-HSA conjugates

Human serum albumin was chosen for chemical conjugation of DF/DF5der/DF-metabolites (Figure 1) as it is the most abundant plasma protein and a physiological carrier of slightly acidic xenobiotics like DF [23]. Mock-HSA was used to control for a formation of neoepitopes, which might result from inter- and intramolecular crosslinkings. Antigenic bivalency as minimum theoretical requirement for a receptor cross-linking activity was given as coupling degrees were shown to exceed 2 DF/HSA in all conjugates as determined from the ratio of UV to RI signals from HPSEC (Figure 2A-C).

Allergic functionality of the HSA conjugates was demonstrated by mRBL-assay using DF/DF5der/DF-metabolite-specific mouse antiserum. HPSEC-derivatized fractions containing DF-HSA monomer (5.0 DF/HSA) or DF-HSA aggregate (9.5 DF/HSA) and unfractiﬁed DF-HSA (7.6 DF/HSA) were analyzed in a dilution series from 0.25 ng/ml to 12.5 µg/ml. As shown in Figure 2D, FcεRI-crosslinking capacity of the DF-HSA conjugates was independent of aggregation state, a plateau was reached at 0.25 µg/ml, and the bell-shaped dose-response curve extended over >3 orders of magnitude. Further experiments were conducted with unfractiﬁed conjugates only and 1 µg/ml was determined adequate (center of bell-shaped dose-response curve) to investigate IgE-mediated degranulation. All HSA conjugates had functional IgE receptor-crosslinking capacities at this concentration as shown in Figure 2E. Comparability of mediator release assays using RBL-2H3 and RBL-30/25 cells for determination of specific IgE in murine and human sera has been shown previously [24].

Potential cytotoxicity of DF/DF5der/DF-metabolite-HSA was controlled in BAT by whole blood basophils activated with 10 µg/ml anti-human IgE in presence of 1 or 20 µg/ml conjugate. Reactivity of basophils in costimulated samples was not affected compared to positive stimulation control (taken as 100% reactivity), showing that HSA conjugates were not cytotoxic (Figure 2F).

Hapten-HSA conjugates as tools to detect drug-specific antibody responses

Four approaches were used to investigate antibody responses against DF and metabolites thereof. Antibodies in serum were determined by ELISA and mediator release assay. Fifty-nine patient sera and sera from six DF-tolerant controls were tested in ELISA for DF/DF5der/DF-metabolite-specific IgE, IgG1, and IgG4 antibodies. Only two sera showed IgE reactivities, one against DF-HSA and the other against DF5der-HSA. Surprisingly, one of these sera was from a DF-tolerant control. IgG-reactivities against DF-, 4’OH-DF-, 5OH-DF-, and 4’5diOH-DF-HSA could be observed in seven patients (five DF patients, two NSAIDs patients) and were mainly of the IgG4 subtype. Three of these patients with positive IgG results had a history of severe
anaphylactic reactions. In one patient with grade IV reactions, IgG against DF-, 4’OH-DF-, and 4’5diOH-DF-HSA could be detected. No antibody reactivity could be detected for 3’OH-DF- and 3’OH4’metO-DF-HSA conjugates. Results of observed reactivities are summarized in Table 1.

Mediator release assay with RBL-703/21 cells was performed as this method may be more sensitive for the detection of low levels of drug-specific IgE antibodies. Sera from 39 patients (26 DF patients, 13 NSAIDs patients) including all three individuals with grade IV anaphylactic reactions were analyzed. Five sera had to be excluded and results of eight sera were ambiguous, as viabilities of huRBL cells were ±20% and ±45%, respectively. Upon stimulation with all hapten-HSA conjugates no β-hexosaminidase release above background (≥9.5%) was observed with any of the tested patients’ sera (n = 26).

Reactivity of cell-bound antibodies was assayed by BAT and CAST in selected patients (i) with ≤2 years interval between hypersensitivity reactions and present study as sensitization to drugs was shown to be lost with time [25] and (ii) without immunomodulatory medication. Basophils from 19 patients (15 DF patients, 4 NSAIDs patients) and three DF-tolerant controls were analyzed by flow cytometry for surface-bound DF/DF-metabolite-specific IgE. Furthermore, basophils of all three selective DF-hypersensitive and provocation test-positive patients (#52, 53, and 58) were stimulated with the linkage variant, DF5der-HSA (Table S2). DF patient #20 was excluded from evaluation because basophils were not responsive to positive stimulation control, NSAIDs patient #54 because of high activation background (44.5%). DF/DF5der/DF-metabolite-HSA did not cause CD63 upregulation in basophils of the remaining 17 patients indicating lack of membrane-bound drug-specific IgE.

De novo production of sLTs was measured in supernatants of human peripheral blood mononuclear cells (PBMCs) from 19 patients (15 DF patients, four NSAIDs patients) and three DF-tolerant controls after stimulation with hapten-HSA conjugates (1 μg/ml). Only with PBMCs of DF patient #58 a slightly elevated sLT value of 194 pg/ml in response to 3’OH-DF-HSA was observed (Table S2).

**Discussion**

Hypersensitivities caused by a single NSAID with concomitant tolerance to other NSAIDs clearly argue against a pharmacokinetic imbalance as the driving mechanism. Based on this assumption we sought to carefully define the phenotype of DF-hypersensitive patients in order to investigate the possible involvement of drug-specific immune responses. Due to the risk of anaphylaxis, in the present study we followed current recommendations [26] and did not perform double-blind placebo-controlled drug challenges. Exceptions involve a few patients who have been challenged with DF during their individual diagnosis procedure. In order to distinguish individuals selectively reactive to DF but tolerant to other NSAIDs (DF patients) from multiple NSAIDs-reactive subjects, patients were subdivided into two groups according to history and OPT with alternative NSAIDs. Interestingly, a higher percentage of DF patients displayed more severe (grade III/IV) reactions than the multiple NSAIDs-reactive group. Positive skin tests found in three patients from both groups confirmed the low predictive value of using unhaptenized drugs for diagnosis. In this respect, the need for in vitro diagnostics has been recently pointed out by the EAACI/ENDA task force for classification, diagnosis, and management of NSAIDs hypersensitivity [4].

As the observed specificity in DF patients is suspected to be immune-mediated, drug-specific antibody responses were investigated. Because haptenation and drug metabolism may play a role we used a panel of HSA conjugates of drug and metabolites. IgE in serum samples and bound to basophils was determined in DF and multiple NSAIDs patients, as well as in DF-tolerant controls.

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**Figure 1. Coupling strategy.** Bioactivation resulting in haptenation was mimicked by linkage of native DF (A) via position 5 or (B) via position 1 and linkage of five Phase I DF-metabolites (C) 3’OH-DF, (D) 4’OH-DF, (E) SOH-DF, (F) 4’5diOH-DF, (G) 3’OH4’metO-DF via position 1 to HSA as protein carrier.

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Specific serum IgE was not detected in hypersensitive patients, except for one DF patient showing marginal levels of DF5der-specific IgE in ELISA. Interestingly, IgG reactivity against DF, 4’OH-DF-, 5OH-DF-, and 4’5diOH-DF-HSA was observed in some individuals highlighting the immunogenic potential of the investigated molecules. This is in line with reports on formation of erythrocyte neoantigens and anti-4’OH-DF-specific antibodies in patients with DF-induced immunohemolytic anemia [12,27] and reports on oxidized 5OH-DF as antigenic determinant for T cell proliferation in metabolite-immunized mice [11]. Thus, the importance of investigating bioactivation and metabolism in DF hypersensitivity is clearly demonstrated.

Figure 2. Characterization of hapten-HSA conjugates. (A) Coupling degree of eluting HPSEC fractions derived from hapten-HSA conjugates was determined from (B) the ratio of refractive index (black) to UV signal (gray) resulting in (C) several haptens per carrier molecules for all conjugates compared to respective mock controls; determined coupling degree for total, monomeric, and aggregated HPSEC fractions are illustrated in black, light, and dark gray, respectively. (D) Determination of optimal concentration of DF-HSA conjugate for FcγRI-crosslinking/mediator release; determined values for total, monomeric, and aggregated HPSEC fractions are illustrated in black, light, and dark gray, respectively, mock controls in white. (E) All hapten-HSA conjugates (dark gray) showed mediator release (n = 1–4) from rat basophil leukemia cells when incubated with sera from immunized mice; mock controls in light gray. (F) Investigation of cytotoxicity of hapten-HSA conjugates coincubated at 1 (dark gray) and 20 μg/ml (light gray) with anti-IgE by basophil activation test (100% without conjugate).

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Evidence for the existence of drug/metabolite-specific IgE

However, neither of these two methods provided convincing broncho- and vasoactive mediators of the allergic reaction. In the case of tolmetin [34], suggesting that this type of metabolites share reactive 2’,3’-oxide resulting in 2’-OH-mofoxifenac linked to glutathione via position 3’, which were found in human liver microsomal preparations [35]. In principle, such metabolites might escape the glutathione detoxification process and form haptenic intermediates that escaped detoxification by glutathione [30,31]. In this study, no evidence for DF/DF5der/DF-metabolite-specific serum IgE was found in ELISA or mediator release assay. However, these findings have not excluded all the possibilities of IgE-mediated reactions to DF yet. In fact, we observed that basophils from birch-allergic donors collected off-season were reactive in BAT, but the corresponding pollen-specific serum IgE was negative or borderline when determined by ELISA (data not shown). As basophils have been recently shown to be key players in the immune system [32], we investigated DF/DF5der/DF-metabolite-specific IgE bound on patients’ basophils by BAT and 5LT production. These methods analyze two consecutive cellular events in response to IgE-receptor crosslinking: upregulation of basophil activation markers due to degranulation followed by release of preformed mediators and production of 5LTs as broncho- and vasoactive mediators of the allergic reaction. However, neither of these two methods provided convincing evidence for the existence of drug/metabolite-specific IgE antibodies.

This study represents the first comprehensive mechanistic investigation of a potential IgE-mediated mechanism in selective DF hypersensitivity. In addition to the drug itself the most relevant DF metabolites were examined. Two known DF metabolites were not included in this study. First, an alternative side product of the reactive DF acyl glucuronide, which was described for the NSAID tolmetin [33]. However, immunization of mice with tolmetin acyl glucuronide led to the production of antibodies cross-reactive to tolmetin [34], suggesting that this type of metabolites share relevant epitopes with the parental NSAIDs. Second, derivatives of DF hypersensitivity. In addition to the drug itself the most relevant DF metabolites were examined. Two known DF metabolites were not included in this study. First, an alternative side product of the reactive DF acyl glucuronide, which was described for the NSAID tolmetin [33]. However, immunization of mice with tolmetin acyl glucuronide led to the production of antibodies cross-reactive to tolmetin [34], suggesting that this type of metabolites share relevant epitopes with the parental NSAIDs.

**Table 1.** Summary of positive ELISA results of antibody reactivity against hapten-HSA conjugates.

| ID | Reactive to | Grade | α-DF | α-DF5der | α-3’OH-DF | α-4’OH-DF | α-5OH-DF | α-4’SOH-DF | α-3’OH4’metO-DF |
|---|---|---|---|---|---|---|---|---|---|
| #5 | DF | II | neg | neg | neg | neg | IgG1 | neg | neg |
| #20 | DF | III | neg | neg | neg | neg | IgG4 | neg | neg |
| #25 | DF | II | neg | IgE | neg | neg | IgG1 | neg | neg |
| #42 | DF | IV | IgG4 | neg | neg | pos | IgG4 | neg | neg |
| #46 | DF | II | neg | neg | neg | IgG1, IgG4 | neg | neg | neg |
| #50 | DF | II | neg | neg | neg | IgG4 | neg | neg | neg |
| #4 | NSAID | I | neg | neg | neg | neg | IgG4 | neg | neg |
| #23 | NSAID | II | neg | neg | neg | IgG1 | neg | neg | neg |
| CG02 | control | 0 | IgE | neg | neg | neg | neg | neg | neg |

ELISA results were considered positive exceeding the cut-off defined as the means of negative controls (mock-HSA) plus 3 standard deviations and an absorbance index >2. Only patients with any positive reactivity are listed.

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**Supporting Information**

| Table S1 Characteristics of the study group |
|---|---|
| Found at: | doi:10.1371/journal.pone.0013707.s001 (0.17 MB DOC) |

| Table S2 Combined results of BAT and CAST analyses |
|---|---|
| Found at: | doi:10.1371/journal.pone.0013707.s002 (0.13 MB DOC) |

**Author Contributions**

Conceived and designed the experiments: MH. Performed the experiments: AH MH. Analyzed the data: AH RL RG TH WA MH. Contributed reagents/materials/analysis tools: RL RG MB TH WA LV WS FF MH. Wrote the paper: AH FF MH.

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