Systematic review of host genetic association with Covid-19 prognosis and susceptibility: What have we learned in 2020?

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Summary
Biomarker identification may provide strategic opportunities to understand disease pathophysiology, predict outcomes, improve human health, and reduce healthcare costs. The highly heterogeneous Covid-19 clinical manifestation suggests a complex interaction of several different human, viral and environmental factors. Here, we systematically reviewed genetic association studies evaluating Covid-19 severity or susceptibility to SARS-CoV-2 infection following PRISMA recommendations. Our research comprised papers published until December 31st, 2020, in PubMed and BioRXiv databases focusing on genetic association studies with Covid-19 prognosis or susceptibility. We found 20 eligible genetic association studies, of which 11 assessed Covid-19 outcome and 14 evaluated infection susceptibility (five analyzed both effects). Q-genie assessment indicated moderate quality. Five large-scale association studies (GWAS, whole-genome, or exome sequencing) were reported with no consistent replication to date. Promising hits were found on the 3p21.31 region and ABO locus. Candidate gene studies examined ACE1, ACE2, TMPRSS2, IFITM3, APOE, Furin, IFNL3, IFNL4, HLA, TNF-α genes, and ABO system. The most evaluated single locus was the ABO, and the most sampled region was the HLA with three and five candidate gene studies, respectively. Meta-analysis could not be performed. Available data showed the need for further reports to replicate claimed associations.

KEYWORDS
candidate genetic variants, Covid-19, genetic association, polymorphisms, SARS-CoV-2, susceptibility

Abbreviations: ABO, ABO blood group system; ACE1, angiotensin-converting enzyme-1; ACE2, angiotensin-converting enzyme-2; APOE, apolipoprotein E; CLUAP1, Clusterin Associated Protein 1; Covid-19, Coronavirus disease; DES, Desmin; Dnah7, Dynemin Axonemal Heavy Chain 7; Golga8b, Golgin A8 Family Member B; GWAS, Genome-wide association study; HLA, Human leukocyte antigen; IFITM3, Interferon Induced Transmembrane Protein 3; IFNL3, Interferon Lambda 3; IFNL4, Interferon Lambda 4; IRF7, Interferon Regulatory Factor 7; Muc2, Mucin 2; Pcdh15, Protocadherin Related 15; Pcr, Polymerase chain reaction; Rimp3, Rims binding protein 3; RT, reverse transcriptase; Sars-CoV-2, Severe acute respiratory syndrome coronavirus 2; Speg, Striated Muscle Enriched Protein Kinase; Ssp, sequence-specific oligonucleotide; STREGA, Strengthening the reporting of genetic association studies; Stxbp5, Syntaxin Binding Protein 5; Tlr3, Toll-Like Receptor 3; Tmem189, Transmembrane protein 189; Tmprss2, Transmembrane protease, serine 2; Tnf-α, Tumor necrosis factor-alpha; Tomm7, Translocase of Outer Mitochondrial Membrane 7; Ubnev1, Ubiquitin Conjugating Enzyme E2 V1; WsB1, WD Repeat and SOCS Box Containing 1.
Coronavirus disease (Covid-19) pandemic remains overwhelming healthcare systems and damaging economies. People infected with the severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) present a wide range of severity of illness, from asymptomatic or mild to severe disease and death. Recent results estimate over 20.5 million life-years have been lost due to Covid-19 globally. The highly heterogeneous Covid-19 clinical manifestations suggest a complex interaction of several different human, viral and environmental factors playing a role in Covid-19 prognosis. Understanding mechanisms leading to severe cases is of great importance for therapeutic development and pandemic control. Furthermore, infection susceptibility has been associated with several factors.

As to social-environmental aspects, the pandemic exposed pre-existing health and social differences between historically vulnerable populations. A remarkable contrast between the mortality rate from Covid-19 in minority groups exists compared to privileged social stratum. As to the pathogen aspects, the SARS-CoV-2 genome has almost 30,000 base pairs with structural genes (spike, nucleocapsid, membrane, and envelope) and non-structural proteins (involved in replication). SARS-CoV-2 genome research has demonstrated viral diversity may be related to pathogenicity, transmissibility, and, more recently, mortality. Variability on the S viral gene seems relevant since it codes for the spike protein that interacts with two crucial cell entry factors: the human angiotensin-converting enzyme-2 (ACE2) receptor and the cellular serine protease TMPRSS2. Recent results indicate other possible human targets (e.g., cathepsin L).

As to the host aspects, structural data analysis proposed that ACE2 gene variants can alter host-virus interaction and Covid-19 susceptibility. Apart from ACE2, several other proteins have been associated with Covid-19 pathogenesis and immune response. Immunomodulatory molecules seem to play a crucial role (e.g., cytokine storm). It would be possible to hypothesize that polymorphisms in their genes could contribute to Covid-19 prognosis.

Here, we systematically reviewed genetic association studies evaluating Covid-19 severity or susceptibility to SARS-CoV-2 infection.

Two independent researchers conducted the screening of the articles. Inclusion criteria were primary articles covering human genetics association with Covid-19 susceptibility and/or prognosis, while exclusion criteria were review articles or primary articles not covering genetic association with Covid-19 susceptibility and/or prognosis. A systematic review flowchart was prepared following PRISMA specifications.

### 2.2 Article quality analyses

We assessed study quality using the Q-Genie tool performed by two independent researchers. This instrument contains 11 questions to be marked on a seven-point Likert scale examining several aspects of a genetic association study: scientific basis for the development of the research question, ascertainment of comparison groups (e.g., cases and controls), technical and non-technical classification of tested genetic variants (e.g., genotyping call rates, blinded experiments), classification of the outcome (e.g., sampling strategy, definition criteria), discussion of sources of bias, appropriateness of sample size, description of planned statistical analyses, statistical methods applied, test of assumptions in the genetic studies (e.g., Hardy–Weinberg equilibrium) and appropriate interpretation of the results. Since all studies used a case-control design, cut-offs were ≤35 for poor, >35 for moderate, and ≤45 for good quality, according to Sohabi et al. (total sum may vary from 7 to 77 points).

### 3 RESULTS

Our literature search returned 1633 records from the two databases (Figure 1). Three additional articles were added from other sources (e.g., cross-referencing), leading to 1636 records. We excluded 1587 articles after reading titles and abstracts. We removed another 29 manuscripts following full-text analysis (supplementary material II). In the end, 20 studies were eligible for the qualitative synthesis.

We found 11 studies addressing genetic influence on the prognosis of Covid-19 (Table 1) and 14 studies exploring the susceptibility to Sars-CoV-2 infection (Table 2). Five studies worked with both approaches. Two studies proposed to work with prognosis, but the outcome was susceptibility. Study quality assessment resulted in six studies with poor quality, seven moderate, and seven classified as good. The mean quality score reached moderate classification (mean 41.56; standard deviation 9.05). One of the most valuable pieces of information from the Q-Genie usage is evaluating quality dimensions across studies, thus identifying systematic issues. We consistently observed inadequate description of the genotyping process leading Q-Genie item number five to have the lowest mean score. We report that most studies failed to inform whether researchers performed genotyping blinded from case-control information or whether any randomization occurred across cases and controls to avoid batch effects. On the other hand, we found that the most successful quality aspect was presenting the rationale to
conduct a genetic association study (Q-Genie item number one—rationale for analysis).

Evidence of genetic association was reported in six of the 11 studies addressing the Covid-19 clinical outcome.19-21,25-28 Three of these studies were large-scale association with either whole-genome sequencing or GWAS approach.21,26,27 Hu et al.27 reported GWAS significant signals on the DNAH7 CLUAP1, DES, SPEG, STXB5, TOMM7, PCDH15, and WSB1 genes. Zhang et al.26 focused on rare variants associated with a monogenic contribution to life-threatening Covid-19 and found 10 variants in the TLR3 and IRF7 genetic pathways. Still, their results were not replicated by Povysil et al.29 Wang et al. found an association with severity on the TMEM189-UBE2V1 gene locus (rs6020298) using whole-genome sequencing.21 Another three candidate gene studies indicated statistically significant loci, each evaluating genes related to immune response (rs12252 IFITM325; multiples alleles of HLA-A; HLA-B; HLA-C; HLA-DRB119) and ACE2 expression (rs4646994 ACE126).

Nine of the 14 studies investigating genetic association with susceptibility found significant evidence of increased risk in several loci: 21,23,25,26,31,33-36. Using whole-genome sequencing, Wang et al.21 indicated a possible contribution of rs200975425 located in the GOLGA8B gene, rs200584390 in RIMBP3, and a novel missense variant found in MUC2. Using the GWAS approach, Ellinhaus et al. found a hit on chromosome 3p21.31 region.23 Studies with candidate gene approach suggested roles for several alleles on HLA region (C*07:29, B*15:27, B*27:07, DRB1*15:01, DQB1*06:02, C*06:02 and DRB1*07:01 in Novelli et al.20; HLA-C*07:29 and HLA-B*15:27 in Wang et al.,28 and HLA-C*04:01 in Littera et al.15) and in genes associated with the viral cell cycle (rs61735794 and rs61735792 located in TMPRSS221 and APOE allele e426). Genetic variance of the ABO blood system was also significant: while A-type subjected showed increased susceptibility, O-type individuals were less likely to be infected.32,33

4 | DISCUSSION

Biomarker identification may provide a strategic opportunity to understand disease pathophysiology and predict outcomes, therefore improving human health and reducing healthcare costs. Thus far, the most promising prognosis predictors are age,24 sex,35 comorbidities,36,37 and viral load at the moment of infection.38 Host genetic variants have been suggested as prognostic and infection susceptibility markers in other infectious diseases, for example, CCR-5 delta
| Author, year | Country | Sample description | Total sample (n) | Severity (n) | Genotyping | Genes/variants | Results |
|-------------|---------|--------------------|------------------|-------------|------------|---------------|---------|
| Zhang et al., 2020 | China | Confirmed Covid-19 Patients from Youan Hospital, Beijing | 80 | Mild (56); Severe (24) | Sanger sequencing | IFITM3 (rs12252) | Increased severity for CC genotype carriers (\(p = 0.00093\); OR = 6.37) |
| Novelli et al., 2020 | Italy | Confirmed Covid-19 patients from Tor Vergata University Hospital (89) and Bambino Gesù Children’s Hospital (42), Rome | 131 | Asymptomatic (17); mild (16); moderate (43); severe (55) | Whole exome sequencing | ACE2 (rs140312271, rs2285666 and rs41303171) | No association |
| Gómez et al., 2020 | Spain | Confirmed Covid-19 patients from the region of Asturias | 204 | Mild (137); severe (67) | PCR and PCR-RFLP | ACE1 (rs4646994); ACE2 (rs2285666) | Increased severity for ACE1-D carriers (total patients \(p = 0.049\), and male patients: \(p = 0.043\)) |
| Lorente et al., 2020 | Spain | Confirmed Covid-19 patients from 8 Intensive care Units from 6 hospitals of canary Islands | 72 | Death (10); Survival (62) | PCR-SSP | HLA-A (*11); HLA-C (*01); HLA-DQB1 (*04) | No association |
| Amodio et al., 2020 | Italy | Confirmed Covid-19 patients from University Hospital "P. Giaccone" of Palermo, western Sicily | 381 | Death (32); Intensive care hospitalization (21); Hospitalization (93); Home isolation (235) | PCR-SSP | IFNL3 (rs12979860); INF4 (rs368234815) | No association |
| Rosenbaum et al., 2020 | Several | Alleged (23) and confirmed (18) Covid-19 patients affected by spondyloarthritis from 65 countries | 41 | 10-level scale, being 1 extremely mild symptoms and 10 life-threatening symptoms: Level 1 (1); level 2 (2); level 3 (5); level 4 (4); level 5 (7); level 6 (6); level 7 (7); level 8 (6); level 9 (2); level 10 (1) | Not reported | HLA-B (*27) | No association |
| Littera et al., 2020 | Italy | Covid-19 confirmed patients from SS. Trinità Hospital in cagliari and asymptomatic or paucisymptomatic patients were confined to home quarantine in cagliari. | 141 | Severe (39); asymptomatic or paucisymptomatic (143) | PCR-SSP and next Generation sequencing | HLA-A: HLA-B; HLA-C; HLA-DRB1 (multiple alleles) | Decreased severity in HLA-A*23 and HLA-DRB1*08 carriers |
| Author, year          | Country | Sample description                                           | Total sample (n) | Severity (n)                                                                 | Genotyping                  | Genes/variants                                      | Results                                                                                       |
|----------------------|---------|--------------------------------------------------------------|------------------|------------------------------------------------------------------------------|-----------------------------|-----------------------------------------------------|-----------------------------------------------------------------------------------------------|
| **Large scale association** |         |                                                              |                  |                                                                               |                             |                                                     |                                                                                              |
| Zhang et al., 2020   |         | Several (COVID human genetic effort) Confirmed Covid-19 patients | 1193             | Asymptomatic/mild (534); life-threatening (659)                               | Whole exome or genome       | 13 loci associated with interferon I response pathway | Increased life-threatening associated with 10 variants in TLR3- and IRF7 in a monogenic model |
| Wang et al., 2020    | China   | Confirmed Covid-19 patients from Shenzhen Third Hospital    | 332              | Asymptomatic (25), mild (12), moderate (225), severe (53), critically (17) | Whole-genome sequencing     | Loci across the whole genome                        | Increased severity for minor allele carriers of TMEM189–UBE2V1–rs6020298 (OR = 1.2)            |
| Hu et al., 2020      | UK      | Confirmed Covid-19 patients from UK biobank                 | 1778             | Death (445); Survival (1333)                                                 | GWAS or next Generation     | Loci across the whole genome                        | Increased mortality for carriers of variants in the following loci: STXBP5/STXBP5–AS1 (OR = 2.91); CPQ (OR = 1.92); CLUAP1 (OR = 2.72); WSBI (OR = 4.23); DNAH7/SLC39A10 (OR = 2.55); DES/SPEG (OR = 2.73); TOMM7 (OR = 2.41); PCDH15 (OR = 2.52) |
| Povysil et al., 2020 | USA; Canada; Saudi Arabian and Qatar | Confirmed Covid-19 patients from four different cohorts |                  |                                                                               | GWAS or next Generation     | 13 loci associated with interferon I response pathway - same as Zhang et al. | No association                                                              |
### Table 2  Genetic contribution to SARS-CoV-2 infection susceptibility

| Author, year          | Country | Cases (n) | Controls (n) | Genotyping                          | Genes/variants                                      | Results                                           |
|-----------------------|---------|-----------|--------------|-------------------------------------|-----------------------------------------------------|--------------------------------------------------|
| Wang et al., 2020     | China   | Confirmed Covid-19 patients from Zhejiang (82) | Negative controls (3548) were obtained from previous studies of bone marrow from Zhejiang | Next Generation sequencing (patients) and PCR (control) | HLA-A; HLA-B (*15:27 and *40:06); HLA-C (*07:29 and *08:01G); HLA-DRB1 (*04:06 and *12:02); HLA-DRB3/4/5; HLA-DQA1; HLA-DQB1; HLA-DPA1; HLA-DPB1 (*04:01 and *36:01) | Increased susceptibility for HLA-C*07:29 and HLA-B*15:27 allele carriers |
| Torre-Fuentes et al., 2020 | Spain | Confirmed Covid-19 patients from 23 families affected by MultipleSclerosis (7) | Negative controls from 23 families affected by multiple Sclerosis (113). (Unclear definition) | Whole-exome sequencing | ACE2 (rs35803318 and rs41303171); TMPRSS2 (rs17854725, rs75603675, rs2298659, rs12329760, rs3787950, rs61735794, rs61735792, rs142750000, rs200291871 and rs141788162); Furin (rs6226, rs753334944, rs16944971, rs73489557, rs6225 and ND (c.1956_1956delG 1) | Increased susceptibility for minor allele carriers of rs61735794 and rs61735792 |
| Fan et al., 2020      | China   | Confirmed Covid-19 patients from Zhongnan Hospital of Wuhan University (105) | Negative controls from Zhongnan Hospital of Wuhan University (103). No history of respiratory infections and other infectious diseases | ABO Blood Typing | ABO (A, B, and O) | Increased susceptibility in A-type (OR = 1.33) |
| Kuo et al., 2020      | England | Confirmed Covid-19 patients affected by dementia or delirium from UK biobank (622) | Negative or not-tested controls affected by dementia or delirium from UK biobank (322.326). PCR negative or not tested | GWAS or next Generation sequencing | APOE (e3 and e4) | Increased susceptibility for e4e4 genotype (OR = 2.31) |
| Gómez et al., 2020    | Spain   | Confirmed Covid-19 patients from the region of Asturias, Northern Spain (204) | Negative controls from the region of Asturias, Northern Spain (536). Healthy population controls (unclear definition) | PCR and PCR-RFLP | ACE1 (rs4646994); ACE2 (rs2285666) | No association |
| Author, year            | Country       | Cases (n)                                                                 | Controls (n)                                                                 | Genotyping                                      | Genes/variants                                      | Results                                                                 |
|------------------------|---------------|--------------------------------------------------------------------------|------------------------------------------------------------------------------|-----------------------------------------------|------------------------------------------------------|-------------------------------------------------------------------------|
| Novelli et al., 2020   | Italy         | Confirmed Covid-19 patients (99)                                         | Negative controls (1017) were previously typed in the laboratory.             | Next-generation sequencing                     | HLA-B (*27:07 and *58:01); HLA-C (*06:02); HLA-DRB1 (*07:01 and *15:01); HLA-DQB1 (*06:02) | Increased susceptibility for HLA-B*27:07; DRB1*15:01; DQB1*06:02; C*06:02, and DRB1*07:01 alleles |
| Zhao et al., 2020      | China         | Covid-19 confirmed patients from the Jinyintan Hospital in Wuhan, Hubei province, China (1775) and Renmin Hospital of Wuhan University, Hubei province, and Shenzhen Third People’s Hospital, Guangdong province, China (398). | Negative controls from Wuhan city (3.694) and Shenzhen city (23.396). Non- covid-19 (unclear definition). | ABO Blood Typing                                    | ABO (A, B, and O)                                                   | Increased susceptibility for A-type (OR = 1.279) and decreased susceptibility for O-type (OR = 0.680) |
| Lorente et al., 2020   | Spain         | Confirmed Covid-19 patients from 8 Intensive care Units of 6 hospitals at the canary Islands (72) | Negative controls from canary Islands (3886). Healthy people (unclear definition) | PCR-SSO                                        | HLA-A; HLA-B; HLA-C; HLA-DRB1; HLA-DQ81                   | No association                                                          |
| Rosenbaum et al., 2020 | -             | Alleged (23) and confirmed (18) Covid-19 patients affected by spondyloarthritis from 65 countries | Negative controls affected by spondyloarthritis from 65 countries (2.795). (Unclear definition) | Not reported                                   | HLA-B (*27)                                          | No association                                                          |
| Benetti et al., 2020   | Italy         | Confirmed Covid-19 patients from with the contribution of centers in Italy (131). | Negative controls from Italy (258). Healthy people (unclear definition).       | Whole-exome sequencing                          | ACE2 (p.(Asn720Asp); p.(Lys26Arg), p.(Gly211Arg), p.(Leu351Val) and p.(Pro389His)) | No association                                                          |
| Saleh et al., 2020     | Egypt         | Confirmed Covid-19 patients from Quarantine Department, Mansoura University Hospital (900) | Health care workers in contact with the patients (184). Health care workers (unclear definition). | PCR                                            | TNF-α G-308 A                                       | No association                                                          |
| Littera et al., 2020   | Italy         | Covid-19 confirmed patients from SS, Trinità Hospital in cagliari (39) and asymptomatic or paucisymptomatic patients were confined to home quarantine (143); (182). | Negative controls from Sardinian (619) RT-PCR negative from a nasopharyngeal swab. | PCR-SSP and next Generation sequencing          | HLA-A; HLA-B; HLA-C; HLA-DRB1 (multiple alleles)         | Increased susceptibility for HLA-C*04:01 allele carriers (OR = 1.8)       |

(Continues)
Throughout last year, 20 genetic association studies were conducted. The most evaluated single locus was the ABO, and the most sampled region was the HLA with three studies and five candidate gene studies, respectively. We did not perform a meta-analysis because there was no replication for the same genetic variant or divergence on phenotype definition. Zhang et al., and Povysil et al. studies were the closest studies regarding experimental design and genetic variants examined, with both aiming to find rare variants associated with disease severity in the interferon I response pathway. They reached divergent results, but different control definitions and confounder variant treatment, such as age, may have contributed. The need for replication studies has been extensively discussed to assess the credibility of the initial association, therefore, avoiding the winner’s curse phenomenon. Whenever possible, replication studies should be performed in larger samples and consider bias due to population stratification, misclassification of clinical outcome, among others.

In 2021, large consortia organized last year published highly expected studies. The COVID-19 Host Genetics Initiative presented results from three genome-wide association meta-analyses comprised of up to 49,562 Covid-19 patients from 46 studies across 19 countries. They report 13 genome-wide significant loci. Of particular interest, the 3p21.31 region seems to be associated with infection susceptibility, while Ellinghaus et al. significantly correlated it with severity. The ABO locus also appeared relevant for susceptibility. Similar results were also found in a study conducted by the 23 and Me using their biobank. Another critical large-scale association study was published reporting data from more than half-million subjects, of which 20,952 had Covid-19. They did not found rare variants associated either exome wide or when specifically focusing on (1) 13 interferon pathway genes in which rare deleterious variants have been reported in individuals with severe COVID-19, (2) 281 genes located in susceptibility loci identified by the COVID-19 Host Genetics Initiative, or (3) 32 additional genes of immunologic relevance and/or therapeutic potential. Therefore, recent results also indicate that additional research is needed.

Quality assessment of the included studies points to several interesting questions. Firstly, control group definition varies across studies aiming to perform genetic association with the same outcome. Two reports examining Covid-19 prognosis used healthy subjects as controls, while other studies with equivalent phenotype used asymptomatic or mild Covid-19 patients. While we believe healthy individuals would be suitable as a control in susceptibility studies, it would be recommended to assume a good prognosis only in SARS-CoV-2 challenged subjects. In other words, healthy subjects from previously organized biobanks may include patients who will present a worse prognosis when infected, thus biasing the control group. Analysis with asymptomatic or paucisymptomatic individuals could also provide relevant results on the genetic basis related to all Covid-19 manifestations. Secondly, we observed divergences in the clinical or molecular inclusion criteria for negative patients. Some studies...
required molecular testing while others didn’t, that is, only clinical symptomatology was assessed. It is also relevant to point out that several studies were not transparent regarding their criteria, as indicated by unclear definition in Table 2. Thirdly, most studies lack basic technical information (e.g., blinded genotyping, randomization, or the number of batches in which samples were processed) that may be different sources of relevant bias. A powerful tool to avoid further inadequate reporting of genetic association studies is the “strengthening the reporting of genetic association studies” (STREGA) report. It includes a detailed checklist with elements that should be presented in a genetic association publication. While the STREGA recommendations do not aim to influence how a genetic association study should be designed, it seeks to enhance reporting transparency, thus also improving reproducibility.

While this review has highlighted many genes that may be potentially associated with Covid-19 prognosis and infection susceptibility, limitations such as lack of reproducibility, quality of reporting, and quality of assessment remain a significant concern. Therefore, results should be taken with caution. Future studies are also warranted in underrepresented ancestries since the allelic frequency, and linkage disequilibrium may vary across different populations.

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AUTHOR CONTRIBUTION
João Locke Ferreira de Araújo, Diego Menezes, Luciana de Lima Ferreira, Renato Santana de Aguiar, and Renan Pedro de Souza wrote the systematic review protocol. João Locke Ferreira de Araújo and Diego Menezes conducted the systematic review. João Locke Ferreira de Araújo and Julia Maria Saraiva-Duarte assessed study quality. João Locke Ferreira de Araújo, Diego Menezes, Julia Maria Saraiva-Duarte, and Renan Pedro de Souza drafted the manuscript. All authors revised and approved the final manuscript version.

DATA AVAILABILITY STATEMENT
All data is available upon request.

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SUPPORTING INFORMATION

Additional supporting information may be found online in the Supporting Information section at the end of this article.