IMPACT OF H₂-BASED STAR FORMATION MODEL ON THE z ≥ 6 LUMINOSITY FUNCTION AND THE IONIZING PHOTON BUDGET FOR REIONIZATION

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ABSTRACT

We present the results of a numerical study examining the effect of an H₂-based star formation (SF) model on the rest-frame UV luminosity function and star formation rate function (SFRF) of z ≥ 6 galaxies, and the implications for reionization. Using cosmological hydrodynamical simulations outfitted with an H₂-SF model, we find good agreement with our previous results (non-H₂ SF model) and observations at $M_{\text{uv}} < -18$. However, at $M_{\text{uv}} > -18$, we find that the LF deviates from both our previous work and current observational extrapolations, producing significantly fewer low-luminosity galaxies and exhibiting additional turnover at the faint end. We constrain the redshift evolution of this turnover point using a modified Schechter function that includes additional terms to quantify the turnover magnitude ($M'_{\text{uv}}$) and subsequent slope ($\beta$). We find that $M'_{\text{uv}}$ evolves from $M'_{\text{uv}} = -17.33$ (at $z = 8$) to $-15.38$ (at $z = 6$), while $\beta$ becomes shallower by $\Delta \beta = 0.22$ during the same epoch. This occurs in an $M_{\text{uv}}$ range that will be observable by James Webb Space Telescope. By integrating the SFRF, we determine that even though the H₂-SF model significantly reduces the number density of low-luminosity galaxies at $M_{\text{uv}} > -18$, it does not suppress the total SFR density enough to affect the capability of SF to maintain reionization.

Key words: cosmology: theory – galaxies: evolution – galaxies: formation – methods: numerical

Online-only material: color figures

1. INTRODUCTION

Characterizing the properties of the galaxy population at high redshift is one of the most important goals of modern cosmological study, as star-forming galaxies are the primary candidate for the sources of ionizing photons that reionized the universe. In recent observational (e.g., Bouwens et al. 2012a; Finkelstein et al. 2012; McLure et al. 2012; Schenker et al. 2012; Oesch et al. 2012) and theoretical (e.g., Trenti et al. 2010; Salvaterra et al. 2011; Jaacks et al. 2012a; Kuhlen & Faucher-Giguère 2012) works, characteristics of the $z \geq 6$ UV luminosity function (UV LF) have been measured and predicted with increasing accuracy. They both suggest that the faint-end slope of the UV LF is quite steep, and that the ionizing photon budget is dominated by the low-mass, star-forming galaxies below the current detection limit.

In particular, some cosmological hydrodynamic simulations have found extremely steep faint-end slopes of $\alpha \lesssim -2.0$, which could be the result of too efficient star formation (SF) in their subgrid SF model at high-$z$. Previous SF models (e.g., Springel & Hernquist 2003; Schaye & Dalla Vecchia 2008; Choi & Nagamine 2010) relied on the statistical representation of a locally observed Kennicutt (1998) relationship, which is dependent on the total gas column density. Recent observations (Kennicutt et al. 2007; Leroy et al. 2008; Bigiel et al. 2008) suggest, however, that SF correlates strongly with molecular hydrogen ($H_2$). As the $H_2$ gas is less abundant at higher redshifts due to lower metallicities, the previous SF models that rely only on total gas density may be overproducing stars (Krumholz et al. 2009; Kuhlen et al. 2012), potentially affecting the number density of low-mass galaxies at high-$z$.

In this work, we quantify the effect of an H₂-SF model on our simulated UV LF, star formation rate function (SFRF), and total SFR density (SFRD). This paper is organized as follows. Section 2 briefly discusses the methods and parameters of our simulations, section 3 presents our results, and we summarize in Section 4.

2. SIMULATIONS

We use a modified version of the smoothed particle hydrodynamics (SPH) code GADGET-3 (originally described in Springel 2005). Our code includes radiative cooling by H, He, and metals (Choi & Nagamine 2009), heating by a uniform UV background (UVB; Faucher-Giguère et al. 2009), the UVB self-shielding effect (Nagamine et al. 2010), the initial power spectrum of Eisenstein & Hu (1999), supernova feedback, a sub-resolution multiphase interstellar medium model (Springel & Hernquist 2003), and the Multicomponent Variable Velocity (MVV) wind model (Choi & Nagamine 2011). Our current simulations do not include active galactic nucleus feedback.

Simulations are set up with either 2 × 400³, 2 × 500³, or 2 × 600³ particles for both gas and dark matter. Multiple runs were made with comoving box sizes of 10 h⁻¹ Mpc, 34 h⁻¹ Mpc, and 100 h⁻¹ Mpc to cover a wide range of halo and galaxy masses. Throughout this work, they will be referred to as N400L10, N500L34, and N600L100 runs. See Table 1 for simulation details. The adopted cosmological parameters are consistent with the latest WMAP7 data: $\Omega_m = 0.26$, $\Omega_{\Lambda} = 0.74$, $\Omega_b = 0.044$, $h = 0.72$, $\sigma_8 = 0.80$, $n_s = 0.96$ (Komatsu et al. 2011). We note that the Fiducial runs used the Salpeter (1955) stellar initial mass function (IMF), while the H₂ runs used the Chabrier (2003) IMF for historical reasons of our work. Galaxies are identified and grouped based on the baryonic density field (see Nagamine et al. 2004 for more details).

Since the estimation of the $H_2$ mass fraction is dependent upon metallicity, the details regarding our feedback and enrichment...
models are relevant. When SF takes place, metals are also produced with an instantaneous yield of 0.02, and thereafter tracked by the code based on a closed box model for each gas particle (i.e., no diffusion). Our MVV wind model is designed to account for both energy-driven and momentum-driven winds (Choi & Nagamine 2011). Wind velocity is determined by $v_{\text{wind}} = \xi v_{\text{esc}}$, where $v_{\text{esc}} = 130(SFR)^{1/3} (1 + z/4)^{1/2} \text{ km s}^{-1}$. We adopt the standard values of $\xi = 1.5$ for high-density regions (momentum driven) and $\xi = 1$ for low-density regions (energy driven), chosen by Choi & Nagamine (2011). The mass loading factor is $\eta = (\sigma_0/\sigma_{\text{gal}})^2$ for the energy-driven case and $\eta = \sigma_0/\sigma_{\text{gal}}$ for the momentum-driven case, where $\sigma_0 = 300 \text{ km s}^{-1}$ and $\sigma_{\text{gal}} = v_{\text{esc}}/2$ is the velocity dispersion of a galaxy. For the full details and physical justifications for this model, see Choi & Nagamine (2011).

Our “Fiducial” runs use the “Pressure-SF model” (Schaye & Dalla Vecchia 2008; Choi & Nagamine 2010), while the present work uses the H2–SF model of Krumholz et al. (2009), implemented by Thompson et al. (2013, hereafter H2 runs). This equilibrium analytic model calculates the SFR based on the H2 mass density rather than the total cold gas density, and Krumholz & Gnedin (2011) have shown that it is in good agreement with more computationally expensive, non-equilibrium calculations by Gnedin et al. (2009). The details of the implementation and the basic results of this model have been presented by Thompson et al. (2013).

In principle, our implementation of the H2–SF model of Krumholz et al. (2009) must be similar to the previous work by Kuhlen et al. (2012) on the most basic level. The primary difference between the two work is in the class of code in which it was implemented, Enzo (AMR) versus GADGET (SPH). We will further discuss the basic differences and potential effects in Sections 3.1 and 4.

3. RESULTS

3.1. Modified Schechter Luminosity Function

We combine the results of our three runs to create a composite LF, which covers a much wider dynamic range than is possible with a single cosmological run. In Figure 1, we present our composite LF for $z = 6, 7, 8$ (red triangles, blue circles, green squares) for the H2 run, in comparison to the Schechter (1976) fits for our Fiducial runs (dashed red, blue, green lines; Jaacks et al. 2012a) with the Pressure-SF model. We also show the observed LF fit range (gray shade; Bouwens et al. 2011). A small, constant extinction $E_{B-V}$ is required to fall within the observational range for both runs (Jaacks et al. 2012a), although the H2 runs at $z = 7$ & 8 require less extinction by $\Delta E_{B-V} = 0.025$ than the Fiducial runs, suggesting a trend of decreasing $E_{B-V}$ with increasing redshift.

The value of $E_{B-V}$ is chosen to be consistent with the value used to match the observed rest-frame UV LF in our previous work (Jaacks et al. 2012a), and it is centered between the following two recent observations: Bouwens et al. (2012b) argued for little to no extinction at the faint end of the LF at $z = 6$, whereas Willott et al. (2013) found a best-fit value of $A_V = 0.75$, which corresponds to $E_{B-V} = 0.19$, assuming $R_V = 4.05$ (Calzetti et al. 2000) at the bright end of the UV LF at $z = 6$. This moderate amount of extinction is also consistent with the estimates by Schaerer & de Barros (2010) and de Barros et al. (2012), who included nebular emission lines in their spectral energy distribution fits. Therefore, the values of $E_{B-V}$ chosen for this work are reasonably consistent with current observations.
parameters as in our previous work, despite a large error bar on $\beta$.

In the leftmost $z = 6$ panel, we have overplotted the Enzo AMR simulation from Kuhlen et al. (2012, black open squares, corrected for dust extinction by the same amount as our simulation), which also used the same Krumholz et al. (2009) H$_2$-SF model. At the brighter side of $M_{uv} < -18$, we see good agreement between the two simulations. However, on the fainter side of $M_{uv} > -18$, the Enzo result drops sharply relative to our SPH results. While the origin of this difference is not entirely clear, one possible explanation is that the AMR simulation is missing the early structure formation of low-mass dark matter halos relative to our SPH simulations (O’Shea et al. 2005). The simulation of Kuhlen et al. (2012) used a comoving box size of 12.5 Mpc, which is not very different from our N400L10 run. However, their root grid is only 256$^3$, which effectively corresponds to 128$^3$ particles of SPH/N-body simulation (O’Shea et al. 2005). Although their highest spatial resolution after recursive refinement might be higher than our simulation, their AMR simulation would miss a large number of low-mass halos at early times due to lack of force resolution at early times, and hence a significantly lower number of dwarf galaxies compared to our SPH simulations, which used 400$^3$ dark matter particles. This is a well-known issue of the AMR cosmological simulation, and has been extensively discussed by O’Shea et al. (2005). If Kuhlen et al. (2012) wanted to resolve the low-mass halos down to the same halo mass as our N400L10 run, then they had to start their simulation with ~800$^3$ root grid and correspondingly low dark matter particle mass. In their Figure 1, Kuhlen et al. (2012) show that their halo mass function starts to deviate from Sheth & Tormen (1999) down to $10^8 M_\odot$, whereas our halo mass function in the N400L10 run agrees very well with Sheth & Tormen (1999) down to $10^8 M_\odot$, as we showed in Figure 17 of Jaacks et al. (2012a). This corroborates our suspicion that the main difference in the two results is coming from the difference in the number of low-mass halos in the two simulations. We also discuss the other possibility of difference in metal diffusion in Section 4.

In Figure 2, we summarize the redshift evolution of best-fit Schechter+ parameters. We see that $M_{uv}^*$ becomes dimmer and the power-law slope $\alpha - \beta$ of $\Phi(L)$ becomes shallower with decreasing redshift. These results support the current paradigm of hierarchical structure formation: as the smaller objects form first and later merge to form larger systems, the number of dim objects decreases, and the LF becomes flatter at the faintest end. Since the predicted $M_{uv}^*$ occurs at $M_{uv} < -16$ at $z = 7 \& 8$, this feature should be observable by future missions such as the James Webb Space Telescope (JWST).

### 3.2. Star Formation Rate Function (SFRF)

A recent work by Smit et al. (2012) presented the observed SFRF from dust-corrected UV LF by converting $L_{uv}$ into SFR using the Kennicutt (1998) conversion. The SFRD is then easily obtained by integrating the Schechter-like fit to the SFRF down to a certain lower limit, culminating in the commonly used Lilly–Madau diagram (Lilly et al. 1996; Madau et al. 1996). Comparing the SFRF of our simulated galaxies to the observed results is an excellent test of our SF model, since SFR is one of the most basic outputs of our simulations. It is also a more intrinsic comparison for us as it does not require any assumptions on our part regarding the amount of extinction in our simulated galaxies as this correction is included in the observational estimates.
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Figure 2. Redshift evolution of Schechter+ parameters for both our simulated LF (red squares) and simulated SFRF (blue diamonds) at \(z = 6, 7, \& 8\), shown with 1σ error bars. The SFRF \(\beta\) value is offset by \(\Delta z = 0.1\) for readability. The horizontal dash-dotted line in the top panel represents the approximate JWST observable limit (\(M_{\text{uv}} \approx -16\)).

(A color version of this figure is available in the online journal.)

Figure 3 shows the SFRF of simulated galaxies at \(z = 6, 7, \& 8\) (red triangles, blue circles, and green squares, respectively). We find good agreement with the observed results (Smit et al. 2012, cyan diamonds), especially at \(z = 7\). Deviation at the low-SFR end of \(z = 6\) is expected, given a similar deviation in the LF at the low-end shown in Jaacks et al. (2012a, 2012b). This deviation could either indicate that our simulation is still overproducing stars at \(z = 6\), or it could also be due to uncertainties in the faint-end observations (i.e., missed faint galaxies) and/or assumptions regarding extinction.

The SFRF has a similar functional form as the LF; therefore we utilize the same Schechter+ function to fit it: \(\phi(\text{SFR}) \equiv \frac{dn}{d \log(\text{SFR})} \propto \ln(10) \Phi(\text{SFR})\), and

\[
\phi(\text{SFR}) = \ln(10)\Phi^* \left(\frac{\text{SFR}}{\text{SFR}^*}\right)^{(1+\alpha)} \exp\left(-\frac{\text{SFR}}{\text{SFR}^*}\right) \\
\times \left[1 + \left(\frac{\text{SFR}}{\text{SFR}^*}\right)^\beta\right]^{-1},
\]

where \(\Phi^*, \text{SFR}^*, \& \alpha\) are the usual Schechter parameters as in Equation (1): \(\text{SFR}^*\) is the location of the second turnover, and \(\beta\) is related to the low-SFR end power-law slope which is proportional to \(1+\alpha-\beta\) at \(\text{SFR} \ll \text{SFR}^*\). The best-fit results to all five parameters are shown in Figure 3 with solid lines. Similarly to the rest-frame UV LF, the rightmost panel shows the increase in normalization and brightening of \(\text{SFR}^*\) clearly from \(z = 8\) to \(z = 6\).

3.3. SFRD and Reionization

Having a continuous representation of the SFRF allows for easy integration and acquisition of the SFRD at each redshift. In Figure 4, we show the results of this integration (red solid and green lines) plotted against observational results by Bouwens et al. (2011, black squares) and Oesch et al. (2012, blue diamond). The red solid line represents an integration down to \(\log(\text{SFR}_{\text{lim}}[\text{M}_\odot \text{yr}^{-1}]) = 0.0\), which is approximately consistent with the lowest SFR value of the observational data. The green solid crossed line is the SFRD value when integrated down to \(\log(\text{SFR}_{\text{lim}}) \approx -1.0\). This value is chosen to be consistent with a galaxy stellar mass \(M_\star = 10^7 \text{M}_\odot\) via the \(M_\star - \text{SFR}\) relationship found in our simulations (\(\text{SFR} \propto M_\star^{1.0}\)). The mass of \(M_\star = 10^7 \text{M}_\odot\) also represents the minimum galaxy resolution of the N400L10 run (\(\sim 100\) star particles). The solid observational data points were obtained assuming the Salpeter IMF, therefore we also show the same data points corrected for the Chabrier IMF with open symbols by applying a simple factor (see Section 3.2.1 of Thompson et al. 2013). Our conclusions are not affected by the change in the IMF.

Figure 4 demonstrates that, when integrated down to a minimum SFR (\(\log(\text{SFR}_{\text{lim}}) = -0.10\)), the \(H_2\) run agrees very well with the Fiducial run and observational estimates. This is expected, since the \(H_2\)-SF model did not affect the number

Figure 3. SFRF of simulated galaxies at \(z = 6, 7, \& 8\), shown as red triangles, blue circles, and green squares, respectively. The observational estimates (Smit et al. 2012) are shown by filled cyan diamonds. Solid red, blue, and green lines represent the best-fit Schechter+ functions (Equation (2)) to the simulation data.

(A color version of this figure is available in the online journal.)
density of objects within the observed range of $M_{\text{uv}} \lesssim -18$ (Figure 1). We note that the observed points were calculated by integrating the UV LF to a limiting $M_{\text{uv}} \sim -17.74$ (Bouwens et al. 2012b), which corresponds to log(SFR) $\approx -0.10$ when converted via the Kennicutt (1998) relation.

The green crossed line, representing the integration limit of log(SFR)$_{\text{lim}}$ $= -1.0$, shows that the total SFRD in our simulations is significantly higher than what is currently observed. This is consistent with our previous findings (Choi & Nagamine 2012; Jaacks et al. 2012a). The reduction of SFRD at $z \geq 6$ is also consistent with findings by Krumholz et al. (2009), Gnedin & Kravtsov (2010), and Kuhlen et al. (2012), who show that an H$_2$-SF model reduces high-$z$ SFRD due to metallicity effect (Thompson et al. 2013). However, the degree of reduction may still be different among different simulations and models.

To determine whether or not our simulated galaxy population is sufficient to maintain reionization, we utilize the theoretical prescription presented in Madau et al. (1999), which quantifies the minimum SFRD required to keep the intergalactic medium (IGM) ionized (shaded gray contour):

$$\dot{\rho}_e \approx 2 \times 10^{-3} \left( \frac{C}{f_{\text{esc}}} \right) \left( \frac{1+z}{10} \right)^3 \left[ M_\odot \text{yr}^{-1} \text{Mpc}^{-3} \right]. \quad (3)$$

This depends on the redshift and the ratio of IGM clumping factor ($C$) and escape fraction ($f_{\text{esc}}$) of ionizing photons from galaxies. Given that the exact values of both $C$ and $f_{\text{esc}}$ are still debated and uncertain, we show a wide range of $7 \leq C/f_{\text{esc}} \leq 50$ (gray shade in Figure 4), which are consistent with works by Iliev et al. (2006), Pawlik et al. (2009), Finlator et al. (2011), and Kuhlen & Faucher-Giguère (2012).

We also include an updated estimation of the critical SFRD by Shull et al. (2012), blue dot-dashed line that includes considerations for the Ly-continuum production rates and the temperature scaling of the recombination rate coefficient. Their calculation uses a fiducial value of $C/f_{\text{esc}} = 15$.

Figure 4 reinforces our previous arguments (Jaacks et al. 2012a) that the abundant, low-luminosity galaxies which are currently beyond the detection threshold of HST, dominate the total SFRD at $z \geq 6$. When this population is considered, there is a sufficient amount of ionizing photons available to maintain ionization by $z = 6$--$7$ for a reasonable value of $C/f_{\text{esc}}$.

### 4. CONCLUSIONS AND DISCUSSIONS

Using GADGET-3 cosmological SPH simulations equipped with an H$_2$-SF model, we examined the UV LF, the SFRF, and the contribution of low-luminosity galaxies to the total SFRD at $z \geq 6$. Our major conclusions are as follows.

1. We find that at $M_{\text{uv}} \lesssim -18$, the H$_2$-based SF model does not change the faint-end slope from our Fiducial runs with $\alpha < -2.00$ at $z \geq 6$ (Jaacks et al. 2012a). However, at the fainter end of $M_{\text{uv}} > -18$, we find a significant reduction in number density of faint galaxies due to the metallicity effect of H$_2$-SF model (Thompson et al. 2013).

2. To characterize the new LF’s turnover magnitude ($M'_{\text{uv}}$) and subsequent slope ($\beta$), we utilize the Schechter+ function (Equation (1)) and find that $M'_{\text{uv}}$ evolves with redshift from $M'_{\text{uv}} = -15.33$ ($z = 6$) to $M'_{\text{uv}} = -17.69$ ($z = 8$), while the second power-law slope $(1 + \alpha - \beta)$ becomes steeper over the same redshift range with $\Delta \beta = 0.22$ (Figure 2).

3. We present the SFRF of simulated galaxies (Figure 3) and find good agreement with observations. We also find similar evolution in the Schechter+ parameters of SFRF: as log(SFR') becomes slightly lower with increasing redshift, and the second power-law $(1 + \alpha - \beta)$ becomes steeper over the same redshift range with $\Delta \beta = 0.52$ (Figure 2).

4. Although the H$_2$-SF model reduces the number density of low-luminosity galaxies, there is still sufficient SFRD (i.e., ionizing photons) to maintain reionization at $6 \leq z \leq 8$ (Figure 4) for a wide parameter range of clumping factor ($C$) and escape fraction ($f_{\text{esc}}$).

When examining the results of numerical simulations, the resolution effect is always a concern. The N400L10 run used in the present work is reaching densities similar to that of giant molecular clouds (GMCs), but it is not resolving the formation and internal structure of GMCs adequately. The model of Krumholz et al. (2009) is meant to capture the general behavior of GMCs on average, and Thompson et al. (2013) have shown that the H$_2$-SF model has a number of advantages over the previous SF models. Nevertheless, as discussed by Thompson et al. (2013), the H$_2$-SF model has some inherent resolution dependency. Although we believe that the observed turnover in the UV LF and SFRF are physical in nature, the exact values of $M'_{\text{uv}}$ and log(SFR') may vary with resolution.

Another concern is the impact of UVB on the faint-end slope of LF. Earlier work using semi-analytic models (e.g., Babul & Rees 1992; Bullock et al. 2000; Benson et al. 2003) and simulations (e.g., Thoul & Weinberg 1996; Gnedin 2000; Hoefnagle et al. 2006; Okamoto et al. 2008; Finlator et al. 2012) has shown that the UBV suppresses the formation of low-mass galaxies via photoionization of gas in shallow potential wells. In particular, Okamoto et al. (2008) have shown that the gas fraction drops rapidly from cosmic fraction ($\Omega_b/\Omega_m$) at $M_{\text{tot}} \sim 10^7 M_\odot$ to almost zero at $M_{\text{tot}} \sim 10^9 M_\odot$. It is therefore plausible that the turnover seen in our LF and SFRF could be the result of photoevaporation of gas from low-mass halos and not a feature.
of the implemented H$_2$-SF model. In order to verify that the turnover at $M^{\text{turn}}_{uv}$ and SFR' in the H$_2$ runs are not affected by the UVB, we ran two test simulations with and without UVB. As a result, we found that the turnover still exists even in the no-UVB run, and only the normalization of UV LF decreased slightly due to photoionization. The overall shape of the LF and SFRF remained unchanged, i.e., the values of $M^{\text{turn}}_{uv}$ and SFR' did not change significantly. Therefore, while the UVB reduces the number of low-mass galaxies in our simulations, we confirmed that the turnover at $M^{\text{turn}}_{uv}$ and SFR' is due to the H$_2$-SF model.

In Section 3.1, we discussed the differences between the results of Enzo AMR simulation and our GADGET SPH simulations. Another fundamental difference between the two codes is in metal mixing, which could have an effect on these results. In our SPH code, metals are linked to the individual SPH particles, thus limiting mixing and diffusion of metals. In contrast, grid-based codes follow the fluid element in cells, which may allow for more natural mixing and diffusion within and between the cells. Conversely, in low-resolution regions, this could lead to oversmoothing of metals. At the moment, it is unclear how much impact the metal mixing and diffusion would have on the results presented in this paper, unless we perform more rigorous comparison tests between the two codes. We intend to investigate the issue of metal mixing and diffusion in the future using both SPH and AMR codes.

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