Precision Small Scattering Angle Measurements of Elastic Proton-Proton Single and Double Spin Analyzing Powers at the RHIC Hydrogen Jet Polarimeter

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The Polarized Atomic Hydrogen Gas Jet Target polarimeter is employed by the Relativistic Heavy Ion Collider (RHIC) to measure the absolute polarization of each colliding proton beam. Polarimeter detectors and data acquisition were upgraded in 2015 to increase solid angle, energy range and energy resolution. These upgrades and advanced systematic error analysis along with improved beam intensity and polarization in RHIC runs 2015 ($E_{\text{beam}} = 100$ GeV) and 2017 (255 GeV) allowed us to greatly reduce the statistical and systematic uncertainties for elastic spin asymmetries. $A_N(t)$ and $A_{2N}(t)$, in the Coulomb-nuclear interference momentum transfer range $0.0013 < -t < 0.018$ GeV$^2$. For the first time hadronic single spin-flip $r_s$ and double spin-flip $r_2$ amplitude parameters were reliably isolated at these energies and momentum transfers. Measurements at two beam energies enable a separation of Pomeron and Regge pole contributions to $r_s(s)$ and $r_2(s)$, indicating that the spin component may persist at high energies.

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Introduction.—Study of the spin-averaged elastic $pp$ hadronic amplitude at high energies has a more than 50 year history [1] and is continuing at the Large Hadron Collider. An essential contribution to this study relates to forward scattering for which the optical theorem and Coulomb-nuclear interference (CNI) provide an opportunity to separate the real and imaginary parts of an amplitude. Regge theory, based on the analyticity of a scattering amplitude, is a recognized method of understanding the energy dependence of amplitudes [2].

An explanation of the unexpected discovery in the seventies of a growing $pp$ cross section at high energies [3] was found [4] in the Pomeron concept, which is now associated with the exchange of nonperturbative QCD gluons [5]. Currently, the Pomeron and Regge pole picture of unpolarized elastic $pp$ scattering is commonly considered as well established in the $\sqrt{s} = 5\text{ GeV} - 13\text{ TeV} \text{ c.m. energy range}$ [1], though some new results, e.g. from the TOTEM experiment [6], call for a revision [7]. However, the accuracy of existing polarized high energy experimental data [8–11] was insufficient to identify a Pomeron contribution, if any, to the $pp$ spin-dependent amplitudes.

In this Letter, we report new measurements of the single spin $A_N(t)$ and double spin $A_{2N}(t)$ analyzing powers in the small angle elastic collision of RHIC’s polarized proton beams with Polarized Atomic Hydrogen Gas Jet Target [15] (HJET) at $\sqrt{s} = 13.76$ and 21.92 GeV. The precision has been significantly improved compared to previous HJET publications [9, 10] and this has allowed us to not only isolate hadronic spin-flip amplitudes but also to incorporate spin dependence in a Regge pole analysis. It appears that forward elastic $pp$ scattering has nonvanishing single and double spin-flip hadronic amplitudes at high energy where the Pomeron dominates. The results of the analysis facilitate extrapolation of the measured $A_N(t)$ to a wide range of energies, essential for CNI polarimetry. Additional measurements at the RHIC injection energy ($E_{\text{beam}} = 24$ GeV) might yield an improved Reggeon fit and the possibility [12] of experimentally resolving the Odderon issue [7].

The HJET provides an absolute proton beam polarization measurement averaged across a beam. Typically, $\langle P_{\text{beam}} \rangle \sim 55 \pm 2.0_{\text{stat}} \pm 0.3_{\text{syst}}$% [13] for an 8-h RHIC store. The achieved accuracy satisfies the requirements of hadron polarimetry for planned and future accelerators such as the Electron Ion Collider (EIC) [14]. This work is based on the technique of high energy beam polarization measurement developed at RHIC. The methodology can be recommended for EIC including a possible extension of it using other polarized nuclei such as $^3\text{He}$.

HJET Polarimeter at RHIC.—The HJET [15] acts like a fixed target that measures absolute polarization of 24–255 GeV proton beams at RHIC. It consists of three main components: an atomic beam source, a Breit-Rabi polarimeter to measure atomic hydrogen polarization, and a recoil spectrometer to determine the beam and vertically polarized atomic hydrogen target (the jet) spin correlated asymmetries of the detected recoil protons. Polarizations of both RHIC beams (alternating spin up/down bunches), so-called blue and yellow, are measured concurrently and continuously.

The jet density profile in the horizontal direction is well...
approximated by a Gaussian distribution \( (\sigma_{\text{jet}} \approx 2.6 \text{ mm}) \), with \( 1.2 \times 10^{12} \text{ atoms/cm}^2 \) in the center. Since the RF-transition efficiency exceeds 99.9\%, the polarization, \( P_{\text{jet}} \approx 0.96 \), is defined by the strength (1.2 kG) of the holding field magnet [10]. The atomic hydrogen spin direction is reversed every 5–10 min.

The recoil spectrometer is sketched in Fig. 1. For elastic pp scattering, the spectrometer geometry allows us to detect recoil protons with kinetic energy up to \( T_R \approx 10–11 \text{ MeV} \), i.e., to \( -t = 2m_p T_R \approx 0.02 \text{ GeV}^2 \). To reconstruct the kinetic energy of punch through protons \( (T_R > 7.8 \text{ MeV}) \), signal waveform shape analysis was carried out.

In most measurements of single spin-flip electromagnetic amplitude, these corrections can be represented by the following substitutions:

\[
- t = \frac{2 \mu_p t}{\Lambda^2} \text{ and } \frac{2 \mu_p t}{\Lambda^2} \approx 0.02 \text{ [20].}
\]

Recently, it has been pointed out [21] that Eqs. (3)–(4) were derived in Ref. [20] with some simplifications. For the increased precision of the HJET measurements, corrections to \( A_N(t) \) and \( A_{NN}(t) \) should be applied. Some of them have been outlined in Ref. [22], in particular, (i) the difference between pp electromagnetic and hadronic form factors and (ii) an additional term \( \sim m_p^2 / s \) in the single spin-flip electromagnetic amplitude. These corrections can be represented by the following substitutions:

\[
t' = t_c \times \left[ 1 + \frac{(r_p^2/3 - B/2 - x/2m_p^2)}{t_c/2} \right],
\]

\[
\rho' = \rho + \left( \frac{r_p^2/3 - 4\Lambda^2 - x/2m_p^2 - \langle x \rangle^2 / 4m_p^2} {2t_c} \right),
\]

\[
\tilde{\rho} = \rho - \frac{4\Lambda^2 - B - 2t_c}{t_c},
\]

\[
\tilde{x} = (x - 2m_p^2 / s) / (1 - \mu_t / 4m_p^2)
\]

where \( \Lambda^2 = 0.71 \text{ GeV}^2 \), and \( r_p = 0.875 \text{ fm} \) (CODATA [23]) is a proton charge radius.

In most measurements of \( \rho \), the pp electromagnetic form factor \( F_{em}(t) \) was approximated in data analysis by \( (1 - t / \Lambda^2)^{-4} \) derived from the electric form factor in dipole form [24]. Therefore, the value of \( \rho' - \rho \approx 0.002 \)

Spin correlated asymmetries.—To measure the proton beam polarization, we studied the spin-correlated differential cross section [16, 17]

\[
\frac{d^2\sigma}{dt d\varphi} \propto \left[ 1 + A_N(t) \sin \varphi \left( P_j + P_b \right) + A_{NN}(t) \sin^2 \varphi P_j P_b \right]
\]

dependence on azimuthal angle \( \varphi \). At HJET, \( \sin \varphi = \pm \)1 depending on right or left position of the Si detector relative to the beam. \( P_{j, b} \) are the jet and beam polarizations, respectively. To determine analyzing powers \( A_N(t) \) and \( A_{NN}(t) \), the single spin (jet and beam) and double spin asymmetries

\[
a_N^j = A_N \left| P_j \right|,
\]

\[
a_N^b = A_N \left| P_b \right|,
\]

\[
a_{NN} = A_{NN} \left| P_j P_b \right|
\]

were derived [12] from the selected elastic event counts \( N_{j, b}^{(l/r)} \) discriminated by the right/left (RL) detector location and the beam (\( \uparrow \downarrow \)) and jet (\( \pm \)) spin directions.

For CNI elastic pp scattering at high energies, the theoretical basis for an experimental parametrization of the analyzing powers was introduced in Refs. [18, 19] and updated [20] for the RHIC spin program. The analyzing powers can be written in terms of the anomalous magnetic moment of a proton \( z = 1.793 \), the unpolarized pp scattering parameters \( \rho(s) \) (forward real-to-imaginary amplitude ratio), \( \sigma_{\text{tot}}(s) \) (total cross section), \( B(s) \) (the nuclear slope) and hadronic single, \( r_s = R_s + iI_s \), and double, \( r_d = R_d + iI_d \), spin-flip amplitude parameters:

\[
\begin{align*}
\frac{m_p}{\sqrt{-t}} A_N(t) &= \frac{[\langle x \rangle (1 - \rho' \delta_C) - 2(R_5 - \delta_C R_5)] t'_c / t - 2(R_5 - \rho' I_5)}{(t_c'/t)^2 - 2(\tilde{\rho} + \delta_C) t_c'/t + 1 + \tilde{\rho}^2}, \\
A_{NN}(t) &= \frac{2(R_2 + \delta_C I_2) t'/t + 2(I_2 + \rho R_2) - (\rho' \langle x \rangle - 4 R_5) \langle x \rangle t_c'/2m_p^2}{(t_c'/t)^2 - 2(\tilde{\rho} + \delta_C) t_c'/t + 1 + \tilde{\rho}^2}.
\end{align*}
\]
should be interpreted as a systematic correction to be applied to the value of $\rho$ obtained from these experiments. This correction might be essential for the Regge pole fit of the unpolarized data; however, it is completely negligible for this work.

The absorptive corrections to $F^{em}(t)$, due to the initial and final state hadronic interactions between the colliding protons [21], are currently unavailable [25] and, consequently, are not included in the fits to the analyzing powers. However, if they effectively modify $F^{em} \rightarrow F^{em} \times [1 + a(s)/t]$ then the result of the fit using Eq. (3) should be corrected [22] by

$$\Delta a R_5 = a_{st} \kappa/2, \quad \Delta n I_5 = -a_{nt} \delta C / 2 \approx 0$$  

(9)

where “sf” and “nf” denote the spin-flip and non-flip absorptive corrections, respectively.

Analyzing power measurements at $\sqrt{s} = 13.76$ GeV and $\sqrt{s} = 21.92$ GeV. Here we analyze HJET data acquired in two RHIC proton-proton runs: Run 15 (100 GeV) [20] and Run 17 (255 GeV) [27]. About $2 \times 10^9$ elastic $pp$ events were selected at HJET in each run. In the data analysis, the values of $\sigma_{tot}(s)$ and $\rho(s)$ were taken from the $pp$ and $\bar{p}p$ data fit [28]. The slopes $B(s)$ were derived from Ref. [29]. The run specific conditions of the measurements can be briefly summarized as Run 15: $\sqrt{s} = 13.76$ GeV, $\rho = -0.079$, $\sigma_{tot} = 38.39$ mb, $B = 11.2$ GeV$^{-2}$, $P_{jet}^{eff} = 0.954$; Run 17: $\sqrt{s} = 21.92$ GeV, $\rho = -0.009$, $\sigma_{tot} = 39.19$ mb, $B = 11.6$ GeV$^{-2}$, $P_{jet}^{eff} = 0.953$; where $P_{jet}^{eff}$ is the effective jet polarization after systematic corrections.

For visual control of consistency between the measured single spin asymmetries $a_N^{j,b}$ and theoretical expectations, it is convenient to use the normalized asymmetry

$$a_n(T_R) = a_N(t)/A_N(t, r_5=0) = P \alpha_5 (1 + \beta_5 t/t_c)$$  

(10)

which is well approximated by a linear function of $t$ with parameters $\alpha_5(r_5) \approx 1 - 2\beta_5/\kappa$ and $\beta_5(r_5) \approx -2R_5/\kappa$. The measured $\beta_5$ must be the same for jet and beam asymmetries. The maximum of $A_N(t, r_5=0)$ is about 0.045 at $T_R = -t/2m_p \sim 1.7$ MeV (see Fig. 6).

Shown in Fig. 2, the experimental dependencies $a_n^{j,b}(T_R)$ are linear functions of $T_R$ in good agreement with expectations.

An incorrect value of $\rho$ used in the calculation of $A_N(t, r_5=0)$ may result in a false nonlinearity of Eq. (10). In the fits with $\rho$ being a free parameter we obtained $\rho = -0.050 \pm 0.025$ (100 GeV) and $\rho = -0.028 \pm 0.018$ (255 GeV), values which agree with unpolarized $pp$ data to about 1 standard deviation. So, this test does not indicate any statistically significant discrepancy with the theoretical expectation (10).

To determine the hadronic spin-flip amplitude ratio $r_5$, we fit all four measured asymmetries $a_N^{j,b}(t) = P_{1,b} A_N(t, r_5)$ with unknown blue and yellow beam polarizations as free parameters. Nonzero values of $r_5 = R_5 + iI_5$ were found

100 GeV: $R_5 = (-16.4 \pm 0.8_{stat} \pm 1.5_{syst}) \times 10^{-3}$, (11)
$\quad I_5 = (-5.3 \pm 2.9_{stat} \pm 4.7_{syst}) \times 10^{-3}$, (12)
255 GeV: $R_5 = (-7.9 \pm 0.5_{stat} \pm 0.8_{syst}) \times 10^{-3}$, (13)
$\quad I_5 = (19.4 \pm 2.5_{stat} \pm 2.5_{syst}) \times 10^{-3}$, (14)

The correlation parameters between $R_5$ and $I_5$ are $\rho_{R_5, I_5} = -0.884$, $\rho_{R_5, I_5} = -0.868$ (100 GeV) and $\rho_{R_5, I_5} = -0.882$, $\rho_{R_5, I_5} = -0.075$ (255 GeV). The specified systematic errors do not include the effects of uncertainties in the external parameters ($\rho$, $\sigma_{tot}$, $B$, and $r_p$). For both beam energies, the corresponding corrections to $r_5$ can be approximated with sufficient accuracy by

$$\Delta R_5 = -0.11 \times \Delta \rho - (0.0019 \text{ mb}^{-1}) \times \Delta \rho_{tot}$$
$$+ (0.0010 \text{ GeV}^2) \times \Delta B - (0.024 \text{ fm}^{-1}) \times \Delta r_p$$, (15)

$$\Delta I_5 = 0.86 \times \Delta \rho - (0.0085 \text{ mb}^{-1}) \times \Delta \rho_{tot}$$
$$- (0.0011 \text{ GeV}^2) \times \Delta B$$, (16)

Assessing the values of the external parameters is beyond the scope of this work.

For the double spin asymmetry $a_{NN}$ (Fig. 3), the jet spin correlated systematic uncertainties cancel in the ratio $a_{NN}(T_R)/a_N^{j,b}(T_R)$. This statement was verified by comparing the ratio for data with and without background subtraction. Therefore, for the experimental de-
termination of the double spin analyzing power $A_{NN}(t)$ it is convenient to use the following relation:

$$A_{NN}(t) = \frac{A_{N}(t,r_5)}{(P_b)} \times \frac{a_{NN}(t)}{a_5}(t). \quad (17)$$

For $r_5$ and $\langle P_b \rangle$ taken from the single spin fit, the experimental uncertainty in (17) is strongly dominated by the statistical uncertainties of $a_{NN}(T_R)$:

- 100 GeV: $R_2 = (-3.65 \pm 0.28_{\text{stat}}) \times 10^{-3}$, \quad (18)
- $I_2 = (-0.10 \pm 0.12_{\text{stat}}) \times 10^{-3}$, \quad (19)
- 255 GeV: $R_2 = (-2.15 \pm 0.20_{\text{stat}}) \times 10^{-3}$, \quad (20)
- $I_2 = (-0.35 \pm 0.07_{\text{stat}}) \times 10^{-3}$, \quad (21)

The correlation parameters are $\rho_{2}^{\text{stat}} = 0.860$ (100 GeV) and $\rho_{2}^{\text{stat}} = 0.808$ (255 GeV). Obviously, non-zero values of $\langle r_2 \rangle$ are well established for both beam energies.

Energy dependence of $r_5(s)$ and $r_2(s)$: For unpolarized protons, elastic $pp$ ($\bar{p}p$) scattering can be described at low $-t$ with a Pomeron $P$ and the subleading $C = \pm 1$ Regge poles for $I = 0, 1$, encoded by $R^+$ for $(f_2, a_2)$ and $R^-$ for $(\omega, \rho)$ [30]. In this approach, the unpolarized $pp$ amplitude may be presented as a sum of Reggeon contributions

$$\sigma_{\text{tot}}(s) \times [\rho(s) + i] = \sum_{R=R^+,R^\pm} \mathcal{R}(s). \quad (22)$$

A basic simple pole approximation assumes

$$\mathcal{R}(s) \propto (1 + \zeta_R e^{-i\pi\alpha_R}) (s/4m_p^2)^{2\alpha_R+1} \quad (23)$$

with signature factors $\zeta_R = \pm 1$, $\zeta_p = +1$ and “standard” intercepts $\alpha_{R^+} = 0.5$ and $\alpha_{P} = 1.1$.

Here though, we use functions $\mathcal{R}(s)$ as shown in Fig. 4 where [28] the Pomeron is represented by a Froissarom parametrization

$$P(s) \propto f_P \ln s/4m_p^2 + i \left(1 + f_P \ln s/4m_p^2\right) \quad (24)$$

with $f_P = 0.0090$ and the $R^\pm$ intercepts are $\alpha_{R^+} = 0.65$ and $\alpha_{R^-} = 0.45$.

In the HJET measurements, $|\text{Im} r_{5,2}|$ (i.e., both $|\text{Im} r_5|$ and $|\text{Im} r_2|$) grew with energy indicating that there is a noticeable Pomeron contribution to both single and double spin-flip amplitudes. Moreover, an increasing $|r_5|$ suggests that the Pomeron component dominates in $r_5$ already at HJET energies.

Because of a limited number of the experimental spin-flip entries and following Ref. [31], we expanded $r_{5,2}(s)$ using the above nonflip functions $\mathcal{R}(s)$ scaled by real (because of analyticity in $s$) spin-flip factors $f_{5,2}^R$

$$\sigma_{\text{tot}}(s) \times r_{5,2}(s) = \sum_{R=P,R^\pm} f_{5,2}^R \mathcal{R}(s). \quad (25)$$

In a combined fit of the 100 and 255 GeV HJET data, we find

$$f_5^P = 0.045 \pm 0.002_{\text{stat}} \pm 0.003_{\text{syst}}, \quad (26)$$
$$f_5^{R^+} = -0.032 \pm 0.007_{\text{stat}} \pm 0.014_{\text{syst}}, \quad (27)$$
$$f_5^{R^-} = 0.622 \pm 0.023_{\text{stat}} \pm 0.024_{\text{syst}}. \quad (28)$$

Similarly, for the double spin-flip amplitude expansion we obtain

$$f_2^P = -0.0020 \pm 0.0002_{\text{stat}}, \quad (29)$$
$$f_2^{R^+} = 0.0162 \pm 0.0007_{\text{stat}}, \quad (30)$$
$$f_2^{R^-} = 0.0297 \pm 0.0041_{\text{stat}}. \quad (31)$$

At high energies where the contributions $R^\pm$ decay, the model (25) used gives the following spin-flip parameters:

$$r_{5,2}(s) = f_{5,2}^R \times [\rho(s) + i]. \quad (32)$$

In terms of the Pomeron anomalous magnetic moment introduced in Ref. [32], the fit yields $M_P = 2f_5^P = 0.09 \pm 0.01$. The provisional value of $r_{P} \sim 0.03$ [20] derived from $\pi p$ data [33] at 6–14 GeV/c can, using assumption (25), be related to $f_5^P \approx r_P$ in reasonable agreement with Eq. (26).

The value of $f_5^P = 0.10 \pm 0.01$ [31] estimated from $p^7\text{C}$ data is noticeably larger than in Ref. (26). However, this estimate required a model dependent conversion from proton-nucleus asymmetries to proton-proton $r_5$ and, also, was strongly based on unpublished experimental results [34] with undetermined systematic uncertainties.
The $r_5(s)$ and $r_2(s)$ dependencies on the beam energy are illustrated in Fig. 5 where the extrapolations to $\sqrt{s} = 200\text{ GeV}$, based on the Froissaron parametrization (24), are labeled “3.” Consistency between the extrapolation of $r_5$ and the STAR Collaboration measurement [11] was observed, though the STAR experimental uncertainties are not inconsiderable.

It is interesting to note that the values of $r_5$ and $r_2$, when projected from $\sqrt{s} = 14–22$ to 200 GeV, have smaller uncertainties than those of the measurements. This may be explained by decay of the $R^{\pm}$ pole contributions at large $s$ and by using functions $R(s)$ that are too tightly constrained (which, for the selected model, is a good approximation in the energy range considered). However, many models [30] are used to parametrize $\sigma_{\text{tot}}(s)$ and $\rho(s)$ which may render $R(s)$ more uncertain.

To estimate the dependence of a Reggeon analysis on a particular model, we also fitted the HJET data using a sum of simple poles (23). These extrapolations of $r_5$ and $r_2$ to $\sqrt{s} = 200\text{ GeV}$ are labeled “4” in Fig. 5. Since, at HJET energies, the double spin-flip amplitude is dominated by an $R^+$ contribution, the $r_2$ projection to 200 GeV is strongly affected by a variation of $\alpha_{R^+}$.

The expansions (25) fit the measurements with statistically insignificant discrepancies $\chi^2 = 2.2$ [Eqs. (26)–(28)] and $\chi^2 = 1.6$ [Eqs. (29)–(31)] for ndf=1 showing consistency between the experimental data and Eq. (25).

To evaluate a possible difference between single spin-flip (sf) and nonflip functions $P(s)$, we determined the ratio $\tilde{f}_{F}^{(sf)} = f_{F}^{(sf)}/f_{F}$ in a combined analysis including the STAR Collaboration result. For a fixed $\alpha_{R^+} = 0.65$, we obtained $f_{F}^{(sf)} = 0.5 \pm 0.5$ and $\alpha_{R^+} = 0.62 \pm 0.11$. However, $f_{F}^{(sf)}$ strongly depends on the $\alpha_{R^+}$ selection. The fit of the Pomeron spin-flip intercept [using a simple pole for $P(s)$] is stable in a wide range of $0.3 < \alpha_{R^+} < 0.8$. It gives

$$\Delta P^{(sf)} = \alpha_P^{(sf)} - 1 = 0.117 \pm 0.031 \text{ stat+syst}, \quad (33)$$

which agrees with the unpolarized $\Delta P = 0.096^{+0.012}_{-0.009}$ [35], and $\sigma_R^{(sf)} = 0.65 \pm 0.11$.

Summary.— In RHIC polarized proton runs 2015 (100 GeV) and 2017 (255 GeV), we have measured elastic pp analyzing powers in the CNI region $0.0013 < -t < 0.018\text{ GeV}$ with accuracy $|\delta A_{NN}(t)| \sim 2 \times 10^{-4}$ [12] as shown in Fig. 6. To graph $A_N(t)$, we substituted the fitted values of $r_5$ from Eqs. (11)–(14) in Eq. (3), taking into account statistical and systematic uncertainties and their covariances. In fact, this is equivalent to determining $A_N(t)$ directly from the linear fit of the normalized asymmetries $\alpha_n(T_R)$. Thus, the result is not greatly affected by absorptive corrections, nor by possible variations in $\rho$, $\sigma_{\text{tot}}$, $B$, and $p_F$.

The accuracy achieved in the determination of $A_N(t)$ allows one to use a higher density unpolarized hydrogen jet target in a high precision absolute polarimeter, e.g., at a future EIC [14]. For a 30-fold increase in jet density, the expected statistical and systematic uncertainties of the polarization measurement would be $\Delta^{\text{stat}} P \lesssim 1\%/h$ and $\Delta^{\text{syst}} P/P \lesssim 1\%$.

The hadronic spin-flip amplitude ratios $r_5$ and $r_2$ were reliably isolated at both energies. Applying the corrections indicated in Eqs. (5)–(8) to the expression [20] for $A_N(t)$ resulted in a change of the measured $r_5$ by about the size of the experimental uncertainty. The absorptive corrections were not included in the data analysis, but, if they become available, a simple correction to Re $r_5$ could be applied.

Measurements at two energies permitted a Regge pole analysis of elastic pp scattering to be extended to the spin dependent case. A Reggeon expansion of the spin-flip parameters $r_5(s)$ and $r_2(s)$ indicated that Pomeron single and double spin-flip couplings were well determined and found to be significantly different from zero. However, the absorptive corrections when available, might require a re-analysis of the expansion.

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