Fast and Sensitive Alignment of Microbial Whole Genome Sequencing Reads to Large Sequence Datasets on a Desktop PC: Application to Metagenomic Datasets and Pathogen Identification

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Abstract

Next generation sequencing (NGS) of metagenomic samples is becoming a standard approach to detect individual species or pathogenic strains of microorganisms. Computer programs used in the NGS community have to balance between speed and sensitivity and as a result, species or strain level identification is often inaccurate and low abundance pathogens can sometimes be missed. We have developed Taxoner, an open source, taxon assignment pipeline that includes a fast aligner (e.g. Bowtie2) and a comprehensive DNA sequence database. We tested the program on simulated datasets as well as experimental data from Illumina, IonTorrent, and Roche 454 sequencing platforms. We found that Taxoner performs as well as, and often better than BLAST, but requires two orders of magnitude less running time meaning that it can be run on desktop or laptop computers. Taxoner is slower than the approaches that use small marker databases but is more sensitive due the comprehensive reference database. In addition, it can be easily tuned to specific applications using small tailored databases. When applied to metagenomic datasets, Taxoner can provide a functional summary of the genes mapped and can provide strain level identification. Taxoner is written in C for Linux operating systems. The code and documentation are available for research applications at http://code.google.com/p/taxoner.

Introduction

Metagenomic shotgun sequencing can be used for direct taxonomic profiling of complex microbial communities, thus enabling a faster and more accurate alternative in comparison to culture-based identifications. Shotgun sequencing data analysis usually involves alignment to one large reference (e.g. the human genome), which is easily accomplished with a standard PC. Microbial shotgun sequencing data analysis is a more complex problem, since each read has to be compared to many, and sometimes millions of relatively small reference sequences which is a bottleneck for most existing analysis techniques (for reviews see [1,2,3]).

Current computational approaches fall into two broad categories. The first group—marker-based methods—seeks to bypass the bottleneck via search space reduction, using dedicated, small datasets. A typical example is 16S RNA analysis wherein a dataset of short sequence items is searched with sensitive alignment techniques, such as BLAST [4]. While this is the traditional standard for taxonomic identification, it has well known limitations, including the need for PCR amplification that introduces extra overhead as well as experimental bias. Alternatively, word-based techniques combined with artificial intelligence can be used to construct a database of clade-specific recognizers that make it possible to use rapid string matching techniques for species identification [5]. Finally, the MetaPhlAn program uses a small clade-specific sequence marker database built from the genome sequences of the known taxa that can be searched with general-purpose aligners. This search is extremely fast and accurate for determining taxa and their approximate proportions within large microbial communities. A potential common drawback of marker-based approaches is the frequent lack of lower (e.g. strain-level) taxon identification, as the markers are often identical for many strains. This may cause problems in identifying pathogenic strains of common commonly occurring bacteria such as E. coli.
In the second group of metagenome sequencing approaches, whole genome shotgun sequencing reads are directly aligned against a comprehensive sequence database. In this group of approaches database search is a critical step since aligning a large set of reads against a comprehensive database using high quality aligners such as BLAST is either too time consuming or requires computational resources that that are not readily available for all research groups. Good alternatives to BLAST style alignment are the dedicated aligners developed for next generation sequencing. Examples of these include Bowtie2 [6], BWA [7], mrFAST [8] for a review, see [9]. These aligners are extremely fast but often require an excessive amount of memory for storing the indexed database, especially when comprehensive sequence databases are used.

A crucial step in all approaches is taxon assignment [10] which is often carried out via various flavors of lowest common ancestor search within a taxonomic hierarchy. Briefly, alignment programs assign reads either to one taxon (say, an E. coli strain), or to several taxa (say 100% identity with an E. coli strain and an E. fergusoni strain), and in the latter case the lowest common taxonomic ancestor (the genus Escherichia) is reported. This principle is used in popular programs such as MEGAN [5,11], Mothur [12] and SOrt-ITEMS [13].

The variety of computational approaches available suggests that there is a need for further computational improvements. For example, the need for dedicated tools for specific challenges is clear since most of the current software tools are developed for general research purposes. A further issue is that in research examples, the presence of E. coli reads in an output may be a safe indication for E. coli being present in an environmental sample, but the number of the identified reads is not necessarily a quantitative measure of the abundance of the species. Currently, only MetaPhlAn is considered a reliable quantitative indicator for species abundance in metagenome analyses [14]. Finally, diagnostic settings pose a separate problem: here one has to precisely detect whether or not a pathogen is present above a certain threshold level, while the exact quantity is not necessarily important.

In order to address the above issues we have developed Taxoner, a simple, parallelizable pipeline that allows one to align millions of reads against the full NT database of NCBI [15] on a standard personal computer or laptop. Bowtie2 carries out the alignment and the output includes the alignments and the taxa assigned. Unlike most metagenome analysis programs, Taxoner allows identification and functional overview of the genes that received hits. We are particularly concerned with the problem of detecting low abundance species in complex datasets. This includes detecting spurious bacterial pathogens in human or animal samples. In this task, Taxoner is approximately at least as accurate as, and at times even more accurate than BLAST + MEGAN and it requires considerably (two orders of magnitude) less CPU time.

Results

Principle

Taxoner is a program written in C that identifies taxa, primarily bacteria, by mapping NGS reads to a comprehensive sequence database such as the NCBI NT database or its predefined subsets [15]. The program is developed so as to run on standard desktop or laptop computers under the Linux operating system.

The idea behind Taxoner comes from a technical problem. Running fast aligners such as Bowtie2 on a large number of microbial genomes is prohibitively time consuming since, at least in principle, each of the small genomes have to be indexed separately. However if we concatenate the small bacterial genomes into larger units, i.e. concatenated FASTA files that we term “artificial chromosomes”, the problem becomes more manageable. In such an artificial chromosome, a genome is a segment that is annotated by various identifiers including taxonomic name and GI identifier. As such the number of reads matching a particular genome can be counted at various taxonomic levels which corresponds to the well known principle of taxonomic binning [16]. The only prerequisite is to know the starting and endpoints of the genomes and/or other segments incorporated into the “artificial chromosome”, which is solved by pre-calculated index files.

Importantly, this process is analogous to the mapping of reads to an annotated genome wherein the segments – i.e. the genes – are named according to such schemes as COG [17,18], GO [19] etc. Namely, in both cases, we map a read to a large sequence consisting of annotated segments, and the segments are named according to various ontologies. As a consequence, this algorithm can be used both for taxon identification and for function prediction based on NGS datasets. We highlight that mapping of counts to ontologies, sometimes also referred to as “ontology binning” is a problem known in other fields of medical informatics [20]. Further analogies can be found in protein sequence similarity searching wherein BLAST hits (HSPs) are mapped to domains annotated within proteins [21,22,23,24,25].

The algorithm consists of three phases. i) In the preprocessing phase in which a database of an arbitrary number of species is combined into partitions (“artificial chromosomes”) that are then indexed with the Bowtie2-build program of the Bowtie2 package [6]. Alternatively, pre-built indices can be downloaded from the project site (http://code.google.com/p/taxoner). ii) Alignment is then carried out with Bowtie2 and the taxa are identified with a lowest common ancestor search algorithm. The standard output of this phase is a summary of the found taxa and alignments in the SAMtools format. iii) Unlike other metagenome analysis programs Taxoner can optionally provide a list of genes identified at the species level, along with their predicted functions. It also contains a utility that can produce a summary of the found functions based on the COG-eggNog scheme of functional descriptors [17,18] and by using a B-tree index. In addition, the read alignments provided in the SAM (Sequence Alignment/Map) format can be further processed with other taxon assignment programs such as MEGAN [11].

Details of the algorithm and datasets used are in the Methods section.

The source code is freely available from http://code.google.com/p/taxoner/. The program runs on Linux computers and includes a simple html graphical interface for local use. The program can be operated in the command line mode and allows evaluation of large read datasets on personal computers or laptops with at least 8 GB RAM. A demo web server, with a capacity to process datasets of up to 100,000 reads and test cases can be found at http://pongor.itk.ppke.hu/taxoner and http://pongor.itk.ppke.hu/taxoner/examples respectively.

Run times and examples

We evaluate the performance of Taxoner in comparison to MetaPhlAn [14], BLAST (legacy blast) [26] and BLAST + (dc-megablast) [27], both in combination with the MEGAN taxon assignment program [5,11]. MetaPhlAn was selected because of its speed and accuracy in estimating taxon composition, while BLAST was selected because of its reputation in alignment. It is
noted that comparison is a complex task since, for instance, MetaPhlAn compares reads to its own small taxon-marker database of about 367 million nucleotides that includes only bacteria. BLAST and Taxoner, on the other hand can run on comprehensive databases such as NCBI NT (52 billion nucleotides), which includes all species, or on a bacterial subset (typically 15.5 billion nucleotides). The search of the database thus impacts the speed and the accuracy of the results.

The actual alignment times for Taxoner, MetaPhlAn and BLAST depend on the size of the database and the number of threads used for the calculation, and naturally on the length and the number of the reads to be evaluated. The input read datasets used for testing are listed in methods and are deposited as Table S1). We carried out a number of comparisons (given as Table S1), with typical results shown in Table 1.

The alignment times of Taxoner are thus in between those of BLAST and MetaPhlAn (see Table 2). Importantly, the analysis by Taxoner is fast enough to be performed on an ordinary desktop PC or on a laptop. The indexing of the database is more time consuming for Taxoner however (see Methods).

A typical output is shown in Figure 1, with the reads indexed according to the NCBI taxonomy. Mapping reads to genes is provided by the Taxoner gene assignment module (see methods), which uses a pre-built dataset. This process typically takes more time than the alignment. Its time requirements are not included in Table 1. Typical results are shown in Figure 2 (A: list of functions, B: Bar diagram).

**Compatibility with various sequencing platforms**

The development of sequencing technologies has given rise to a number of sequencing platforms in recent years. The performance of read aligners often varies on the basis of reads produced by the various sequencing platforms. We compared the performance of aligner programs on read datasets selected from *Staphylococcus aureus* sequencing data (dataset A, B and C with results shown in Table 2). BLAST aligners had the highest alignment rate, which is not surprising since BLAST is a sensitive local aligner. MetaPhlAn had the lowest alignment rates, which is, again, expected since MetaPhlAn only aligns reads to a unique subset of the bacterial database (i.e. clade-specific markers). In general, all aligners had the best performance on the 454 dataset, since the 454 reads are longer and thus easier to analyze. All programs performed well at genus level. An important aspect of metagenome analysis is the identification of taxa at the lowest possible taxonomic levels such as species or strains. At the species level taxonomic levels such as species or strains. At the species level Taxoner showed the best performance with the exception of 454 reads where BLAST performed better. On the other hand, none of the programs identified strains very reliably (strain level identification is not even available with MetaPhlAn). The discrepancies may however be caused by the fact that the sequencing data used in this comparison were partly taken form uncharacterized strains (dataset B, Ion Torrent data) or from a strain not in the database of *Staphylococcus aureus* subsp. *aureus* 67–331 (NCBI taxon id: 585131, dataset C, Illumina data).

**Analyzing known and unknown strains**

Given the very high sequence variability of bacterial genomes, it is crucial to know whether or not the genome of a bacterium to be detected by NGS is included in the database. This is a very important question since unknown strains represent the majority of microorganisms found in environmental samples. In the context of data analysis, a strain is known when its genome or draft genome is included in the database. With this in mind we compared the detection probability of two *Bacillus anthracis* strains (Table 3). The “known” strain was the Sterne strain, used for vaccination, and the dataset consisted of 100 bp long overlapping segments (“artificial reads”) of the genome, offset by 50 bp (dataset F). This is, therefore, a perfect dataset on which no mistakes are expected. The unknown strain was a Japanese isolate that was not included in the database at the time of this analysis. The dataset contained 7.7 million Illumina reads (dataset E).

The number of errors detected (false negatives) was substantially higher for the strain not included in the database. The synthetic reads generated from a genome included in the database are perfectly detected by Taxoner, which is not surprising (they are perfectly detected also by BLAST, data not shown). What is somewhat unexpected is that MetaPhlAn detects a substantial % of species not present in the samples, and even in the synthetic reads. Even though we cannot explain this finding, it is good to remember that *B. anthracis* belongs to the *B. cereus* group that includes three highly related species, *B. cereus*, *B. thuringiensis* and *B. anthracis*. This is reflected by the fact that a large percentage (about 75%) of the synthetic reads generated from the Sterne strain are 100% identical in all three species (data not shown). MetaPhlAn assigns these reads to the *Bacillus* genus, while Taxoner (and MEGAN) assigns the reads to the *B. cereus* group. This illustrates the fact that species % reported by the various

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**Table 1. Typical running times for the alignment.**

|                     | Running time 1 thread | Running time 4 threads | Running time 12 threads |
|---------------------|-----------------------|------------------------|-------------------------|
| MetaPhlAn 5         | 14 sec                | 7 sec                  | 6 sec                   |
| Taxoner 5           | 165 sec               | 105 sec                | 90 sec                  |
| NCBI nt Bacteria 5  | 2446 sec              | 2031 sec               | 1866 sec                |
| MEGABLAST 6         | 8.3 h                 | n/a                    | 3.9 h                   |
| MEGABLAST 6         | 37.6 h                | n/a                    | 9.4 h                   |

1 Read dataset: Dataset A, Table 1. Processor: Intel(R) Xeon(R) CPU E5-2640; 2The built-in dataset is 366,988,039 nucleotides (367 MB) and contains only bacterial sequences; 315,400,949,699 nucleotides (15 GB), downloaded on 11/07/2013; 45,238,339,934 nucleotides (54 GB), downloaded on 11/07/2013; 5Times include taxon assignment; 6Time of taxon assignment by MEGAN is not included.

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Table 2. Read assignment for *Staphylococcus aureus* genome sequencing data.

| Level          | Roche 454 (Dataset A) | Ion Torrent (Dataset B) | Illumina (Dataset C) |
|----------------|------------------------|-------------------------|----------------------|
|                | Genus  | Species | Strain | Genus  | Species | Strain | Genus  | Species | Strain |
| Taxoner        |        |         |        |        |         |        |        |         |        |
| Total          | 93692  | 93692   | 93692  | 37175  | 37175   | 37175  | 27531  | 27531   | 27531  |
| Positive       | 93189  | 92728   | 62     | 36482  | 35919   | 0      | 26023  | 17019   | 0      |
| Negative       | 4      | 43      | 875    | 28     | 126     | 17174  | 29     | 121     | 1213   |
| FNR %          | 0.004  | 0.046   | 0.934  | 0.075  | 0.339   | 46.198 | 0.105  | 0.440   | 4.406  |
| MetaPhlAn      |        |         |        |        |         |        |        |         |        |
| Total          | 8525   | 8525    | 8525   | 2522   | 2522    | 2522   | 1692   | 1692    | 1692   |
| Positive       | 8209   | 8063    | N/A    | 2402   | 2399    | 0      | 1650   | 1613    | N/A    |
| Negative       | 0      | 42      | N/A    | 43     | 36      | 0      | 2      | 28      | N/A    |
| FNR %          | 0.000  | 0.493   | N/A    | 1.705  | 1.427   | N/A    | 0.118  | 1.655   | N/A    |
| BLASTALL + MEGAN |      |         |        |        |         |        |        |         |        |
| Total          | 86752  | 86752   | 86752  | 68696  | 68696   | 68696  | 45721  | 45721   | 45721  |
| Positive       | 83718  | 82951   | 156    | 65264  | 63801   | 0      | 41189  | 27375   | 0      |
| Negative       | 25     | 29      | 53     | 114    | 125     | 5310   | 408    | 441     | 3094   |
| FNR %          | 0.029  | 0.033   | 0.061  | 0.166  | 0.182   | 7.730  | 0.892  | 0.965   | 6.767  |
| DC-MEGABLAST + Megan | | | | | | | | | |
| Total          | 84211  | 84211   | 84211  | 64858  | 64858   | 64858  | 48677  | 48677   | 48677  |
| Positive       | 81000  | 80035   | 140    | 61662  | 60199   | 0      | 44161  | 29466   | 0      |
| Negative       | 48     | 68      | 131    | 94     | 128     | 3052   | 81     | 180     | 3421   |
| FNR %          | 0.057  | 0.081   | 0.156  | 0.145  | 0.197   | 4.706  | 0.166  | 0.370   | 7.028  |

1 Random selected reads from experimental data, details in Methods section 4.1.
2 False Negative Rate.
3 Not available.

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Analyzing metagenomic datasets

Analysis of metagenomic data from NGS reads has two goals: a) establishing the presence or absence of taxa at the lowest possible taxonomic level and if possible at species/strain level, and b) estimating the relative amounts of taxa. We carried out an analysis of a metagenomic dataset published by the Human Microbiome Project that consisted of 6.5 and 1.4 million reads (Dataset G and H, respectively) and consisted of equal amounts of 22 strains representing 22 species. The data presented in Table 4 show that Taxoner can detect taxa at the strain level, which is in contrast to MetaPhlAn (and other programs, such as WGSQUICKR). The accuracy of MetaPhlAn and Taxoner are comparable in this task, but it has to be mentioned that Taxoner can achieve this accuracy only if one sets a minimum threshold for the number of reads necessary to identify a taxon (strain, species, etc). MetaPhlAn uses a similar thresholding approach for improved accuracy. Without setting this threshold, Taxoner will report all spurious similarities, which would result in a very high number of false positives. In this analysis we also included WGSQUICKR, an extremely fast and innovative program that uses compressed sensing principles for finding a number of taxa that can identify the presence of the reads [28]. This analysis is extremely fast, but in our hands, the number of taxa present is apparently underestimated at all taxonomic levels.

Detection of very low abundance reads

When it comes to detecting pathogens, one of the most important questions is the sensitivity of the test, which can be estimated from the number of reads safely detected by the analysis. We tried to estimate this value by randomly selecting a fixed number of reads from an experimental anthrax dataset (dataset E) in conjunction with the NT dataset (Figure 2). MetaPhlAn was used in conjunction with its own dataset. The analysis was repeated for each set of data (see methods for details). It is apparent that at the species level, Taxoner/Bowtie2 performed better than BLAST or MetaPhlAn. At genus and higher levels, all methods performed well even though it was apparent that MetaPhlAn needed one-two orders of magnitude more reads to complete the identification than the other programs. Taxoner and BLAST were able to detect a genus essentially from one read while MetaPhlAn needed 200–350 reads on average for the identification. Species level identification was apparently more difficult for all programs, with Taxoner and BLAST needing 10 or 15 reads for the identification.

Gene and function assignment

In the Taxoner framework, detection of genes and assignment of functions is a problem analogous to function assignment (see Figure 1). This is a standalone utility that takes the alignment output in the Taxoner format and maps the hits to genes specified in GenBank [29]. The identifiers of the genes are stored either in a relational database, prepared with the JBioWH [30] facility, or are stored in the form of a binary file with a B-Tree index. This stored form contains the “from-to” location of each gene, the identifier and pointers to the COG-eggNog [17,18,31] functional classification terms. If a read is mapped to one single genome, and the hit overlaps with one or more genes, each of the concerned genes will receive one hit. If the read is 100% identical with several genomes, then the first genomes in the Bowtie2 alignment will receive the hit. After evaluating all hits in this manner, a potentially large number of genes will have hits assigned. The utility can simply list the genes with the number of hits, or can combine the genes into functional categories using the COG-eggNog scheme. As a result, the hits collected by single genes will be added up to higher categories, and graphical statistics can be made. Examples are shown in Figure 3.

Graphical interface and demo server application

For application in pipelines, Taxoner can be run from the Unix/Linux command line. For individual users we created a graphical interface that can be installed on the user’s computer. We have provided running instructions. The same graphical interface was installed as a demo server at http://pongor.itk.ppke.hu/taxoner and this allows one to analyze default examples as well as datasets up to 50 MB of size.

Discussion

Here we described a pipeline of programs, called Taxoner that uses a fast aligner and a comprehensive database for analyzing metagenomic datasets. As a result of alterations to the indexing used, this pipeline is fast enough to run evaluations on a single PC, and it is highly sensitive so it can be adapted to analysis of problems such as detecting pathogens in human samples. Taxoner is much faster and at times more accurate than BLAST-based
evaluation schemes. In our case we tested BLAST in conjunction with the MEGAN program.

Detection of unknown strains poses problems for most aligners. It is important to remember that strains of the same species isolated from different natural environments can differ in a very large portion of their genome. As such analyzing the metagenome of soil bacteria may require the identification of strains that are largely novel as compared to the current databases. In this sense, approaches based on a comprehensive database, such as Taxoner, are at an advantage as compared to approaches based on marker databases. This is because new strains do not necessarily contain the unique sequences included in a marker database. On the other hand, this is an important problem since detection of hazardous pathogens requires strain level identification. This feature is included in Taxoner, but not in many other programs designed for metagenome analyses. We note that Taxoner uses Bowtie2 and not BLAST, resulting in its sensitivity being at times better than that of BLAST-based methodologies. This shows that fast alignment techniques combined with an appropriate database may provide a useful alternative for sensitive analysis of metagenomic samples.

Finally we note that pathogen identification is a specific task that sharply differs from metagenome analysis in many respects. Taxoner offers three possibilities that can help pathogen identification: i) The capability to filter out reads of a host organism (by specifying a host genome); ii) A Megan compatible output format.

Figure 2. Detection of low abundance strains. Number of reads necessary on average to detect an unknown species at various taxonomy levels. Error bars indicate standard deviation of the mean, calculated from 400 repetitions.

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that can be submitted to Megan, so that the user can manually identify expected pathogens, and iii) The possibility to use dedicated databases. For instance, the user can create a dedicated pathogen database which can then be directly used for the analysis (or simply use standard virus/pathogen databases which can be downloaded from our repository).

### Method

The databases and datasets used in this study are listed in Table 3.

#### The Taxoner pipeline

Taxoner is a pipeline written in C and currently uses Bowtie2 [6] (2.0.0-beta5).

The input is a reference nucleotide database in the form of concatenated FASTA file, and a set of nucleotide sequence reads typically 40–500 bp in length, in fastq format. The Taxoner database is freely available from http://pongor.itk.ppke.hu/taxoner/databases/bowtie2/. The NCBI Taxonomy retrieved on 11/07/2013 was used for taxon assignment. For function assignment, Taxoner uses a preformatted dataset that contains two binary files which can be easily created from a pipeline that uses an SQL script and the JBioWH framework [30]. The binary files can be downloaded from http://pongor.itk.ppke.hu/taxoner/databases/geneassignment/and the pipeline description can be found in the Taxoner Google Code web site.

#### Algorithm outline

The algorithm performs a fast alignment with Bowtie2 against the NT (or subset of NT) database. After alignment, the lowest common ancestor for each read is determined for each database subset, which is then merged, where the final common ancestor identification is performed.

### Analysis

For the analysis the user must provide the input parameters (listed and explained below, under command line usage). During analysis, Taxoner first runs Bowtie2 using the default or user specified parameters and writes the alignments into a SAM (Sequence Alignment/Map) [32] file.

The sequence alignment with Taxoner is done using the Bowtie2 aligner and the pre-indexed databases. Since the NT database is too large to fit in a single fasta file, the input sequencing reads have to be aligned separately against each sub-database. Fortunately Bowtie2 is a multithreaded aligner, which enables faster alignments using multithreaded processors. After all reads are aligned against each database, the taxonomic evaluation can be done. The main difficulty here is that a read can align with the same alignment quality to each database file. For this reason, Taxoner

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### Table 3. Analysis of known and unknown B. anthracis strains.

| Taxa assigned | MetaPhlAn1 | Taxoner2 |
|--------------|------------|----------|
| All          | 991 reads  | 104,573 reads |
| Genus Bacillus | 100.00% | 100.00% |
| Species Bacillus anthracis | 76.60% | 100.00% |
| Species Bacillus cereus | 15.40% | 0.00% |
| Species Bacillus thuringiensis | 8.00% | 0.00% |
| Species other | 0.00% | 0.00% |
| False negative%3 | 23.40% | 0.00% |

B) Strain not included in the database (B. anthracis strain BA104 (NCBI taxon id: Not Available), 7.7 million Illumina reads, Dataset E)

| Taxa assigned | MetaPhlAn1 | Taxoner2 |
|--------------|------------|----------|
| All          | 96,045 reads | 7,379,118 reads |
| Genus Bacillus | 99.58% | 100.00% |
| Species Bacillus anthracis | 65.30% | 96.50% |
| Species Bacillus cereus | 18.70% | 0.80% |
| Species Bacillus thuringiensis | 15.60% | 0.40% |
| Species other | 0.00% | 2.30% |
| False negative | 34.44% | 3.50% |

1The values are taken from the standard output of the program.
2Values indicate the number of reads expressed as % of the total.
3False negative is the % of taxa (MetaPhlAn) and reads (Taxoner) detected but not present.

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Table 4. Detection of species in a metagenomic datasets.

A) Illumina sequenced HMP Mock Community sample1 (dataset G)

| No of positives (taxa present) | MetaPHAn | Taxoner2 | WGSQUICKR |
|-------------------------------|----------|----------|-----------|
|                              | TP3      | FN4      | FP5       | F-measure |
| strain                       |          |          |           |           |
| 22                           | NA       | NA       | NA        | NA        | 14       | 7        | 8        | 0.65      |
| species                      | 22       | 21       | 7         | 0.84      | 20       | 2        | 0        | 0.95      |
| genus                        | 19       | 18       | 5         | 0.86      | 17       | 2        | 0        | 0.94      |
| family                       | 18       | 18       | 6         | 0.86      | 17       | 1        | 0        | 0.97      |

B) 454 sequenced HMP Mock Community sample1 (dataset H)

| No of positives (taxa present) | MetaPHAn | Taxoner2 | WGSQUICKR |
|-------------------------------|----------|----------|-----------|
|                              | TP3      | FN4      | FP5       | F-measure |
| strain                       |          |          |           |           |
| 22                           | NA       | NA       | NA        | NA        | 9        | 12       | 19       | 0.37      |
| species                      | 22       | 19       | 3         | 0.93      | 19       | 3        | 0        | 0.93      |
| genus                        | 19       | 16       | 3         | 0.91      | 16       | 3        | 0        | 0.91      |
| family                       | 18       | 16       | 2         | 0.94      | 16       | 2        | 0        | 0.94      |

1The data was a mock community dataset provided by the Human Microbiome Project and consisted of 22 strains.
2Only hits (read-taxon assignments) were considered where the worst alignment score was at least 0.9. Positive taxa predicted by Taxoner are those that received at least 1000 hits (dataset G).
3True positives.
4False negatives.
5False positives.
6Not available.
7Hits (read-taxon assignments) were only considered where the worst alignment score was at least 0.9. Positive taxa predicted by Taxoner are those that received at least 100 hits (dataset H).

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calculates a “local” common ancestor (LCA) for each read in each alignment file and then merges the results into one file. In the final step, the merged file is sorted by read name and a final LCA is calculated for each read using their “local” lowest common ancestor. The output is a file that contains read names, alignment information, nearest neighbor taxon ID, start and ending positions of the alignment against the best hit and the Genome accession number of the best hit. An optional output is a MEGAN compatible output, which enables the post analysis and visualization of the results.

Function assignment is carried out by a scheme that is analogous to taxon assignment and it is performed on reads assigned to strains or to species. Briefly, a read mapping a (protein or RNA coding) gene within a strain contribute one count to that function. Reads assigned at species level are assigned to the highest-ranking gene’s function in the toplist. It is assumed that genes within a species have identical functions so if there are several hits within the same species the read is assigned to the highest-ranking annotated gene. The result of function assignment is a list of functions with the corresponding read counts. The COG-eggNog scheme of functional descriptors [17,18] is used for functional assignments, in conjunction with a pre-calculated database file that uses the B-tree index [33] for fast function retrieval. The B-tree index algorithm was implemented in C. Its implementation is part of a C library developed by our group and is freely available at https://code.google.com/p/bioc/.

Output file generation. The output of this phase is a file with a list of GenBank accession number(s), taxonomy id, NCBI protein id, NCBI gene symbol, the number of reads hitting the gene, a list of COG-eggNog ids and NCBI Protein Cluster ids. Examples are deposited at http://pongor.itk.ppke.hu/taxoner/examples/.

Command line usage
For usage in large-scale pipelines, Taxoner can be run from the command line. An example is:

```
./taxoner -dbPath path/to/database/fasta/ --taxpath path/to/nodes/nodes.dmp -seq path/to/fastq/illumina.fastq -p 6 -o Results/
```

where the -dbPath tells Taxoner where to find the sub-databases (and Bowtie2 indexes), the -taxpath is the path to the NCBI

| Dataset ID | Sequencing platform and number of spots; average read length | Taxon | Note | Table 5. Benchmark datasets. |
|------------|-------------------------------------------------------------|-------|------|-------------------------------|
| A SRR292150 | 454 GS 20 (183203;110.31) | Staphylococcus aureus subsp. aureus USA300_TCH859 (NCBI taxon id: 450394) | Randomly selected1, Supplementary file2 | |
| B ERR236069 | Ion Torrent PGM (1338465;262.05) | Staphylococcus aureus (NCBI taxon id: 1280) | Randomly selected1, Supplementary file2 | |
| C SRR017390 | Illumina Genome Analyzer II (26391487;76) | Staphylococcus aureus subsp. aureus 67–331 (NCBI taxon id: 585131) | Randomly selected1, Supplementary file2 | |
| D DRR000184 | Illumina Genome Analyzer II (7631281;50) | Bacillus anthracis BA104 (NCBI taxon id: Not Available) | Randomly selected1, Supplementary file2 | |
| E DRR000184 | Illumina Genome Analyzer II (7631281;50) | Bacillus anthracis BA104 (NCBI taxon id: Not Available) | Whole run3 | |
| F AE017225 | NA (104574;99.99) | Bacillus anthracis str. Sterne (NCBI taxon id: 260799) | Full genome sampling4, supplementary file5 | |
| G SRR053380 | Illumina Genome Analyzer II (6562065; 75.00) | HMP Mock Community even sample | Whole genome sequencing | |
| H SRR030841 | 454 GS FLX Titanium (1386198; 530.22) | HMP Mock Community even sample | Whole genome sequencing | |

1 Random selected datasets were produced by a python script that uniformly sampled the read collection without replacement. The sample size was 100000.  
2 Supplementary files are deposited at http://pongor.itk.ppke.hu/taxoner/examples/.  
3 The whole run was analyzed.  
4 The genome was sampled with overlapping reads. The read length was uniformly 100 bp and the overlap between the adjacent reads was 50 bp.
nodes.dmp file, the -seq is the input fastq reads, -p specifies the number of threads and -o is the output folder for the results.

As an alternative, where the user wants to align reads to only a part of the database (say all the bacteria and archaea), an extra parameter --dbNames can be added, with a semicolon separated list of prefixes for each database subset:

```
./taxoner -dbPath path/to/database/fasta/ -taxpath/path/to/ nodes/nodes.dmp -seq/path/to/fastq/illumina.fastq -p 6 -o Results/ -o dbNames bacteria;archaea
```

Graphical interface and demo server

Taxoner includes a web based graphical interface that helps users to run the programs and parse the results. This interface is a Javascript-based set of web services developed using AngularJS and running over a NodesJS server.

This graphical interface is designed to be used locally on a PC. It offers input forms for the different components of the Taxoner system. The starting script will open a web site running on http://127.0.0.1:8081 (the local computer) and creates a series of web services running in the same IP address but in different ports.

It is well known that modern web browsers do not have access to the local file system due to a security issue. As such a set of different web services must be created to allow access to the local files from the web browser.

The Taxoner web services are running on ports from 8081 to the 8084. The port 8081 is for the HTTP web site (the user interface). The rest are ports for internal uses: port 8082 offer a web service to read and parse the log files of Taxoner, 8083 is a web service to run system commands from the web browser and 8084 is a web service to create the Taxoner summaries from the output files.

Three web forms are available on the graphical interface—a form to run the Taxoner program, a form to run the gene assignment program and a form to parse the outputs of both programs and show a summary of them.

Full description and images of the graphical interface can be seen at https://code.google.com/p/taxoner/wiki/06_Graphical.

In addition, a demo server was developed for demonstration purposes using the same look and feel of the local graphical interface. This web server offers the same features as the graphical interface but has a file size limitation for the input files. The demo server is available from http://pongor.itk.ppke.hu/taxoner.

Parameter settings and other programs used for comparison

The performance and accuracy of Taxoner was compared with several popular mapping tools using the following parameter settings:

- MetaPhAn [14] (with the recommended parameter settings)
- legacy BLAST (blastall program with the default parameter settings)
- BLAST + (blastn program with de-megablast task with the default parameter settings)
- WGSQUIKR [28] (run with the default parameter settings)
- Taxoner (this work) (default parameters)

Supporting Information

Table S1 List of files used for comparison.

(DOCX)

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Author Contributions

Conceived and designed the experiments: LSP RV. Performed the experiments: BL RV. Analyzed the data: LSP BL RV. Contributed to the writing of the manuscript: LSP BL RV.

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