A hybrid model for robust design of sustainable closed-loop supply chain in lead-acid battery industry

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Abstract
Considering supply chain efficiency during the network design process significantly affect chain performance improvement. In this paper, the design process of a sustainable lead-acid battery supply chain network was addressed. Because the design of such networks always involves great computational complexity, in the present study, a two-stage model was proposed to overcome this issue. In the first stage, candidate sites of recycling centers were identified using data envelopment analysis (DEA) and based on their efficiency scores. Unlike the previous studies, not only economic criteria but also technical and geographical criteria were employed to select these locations. In the second stage, a bi-objective programming model was developed to simultaneously determine the tactical and strategic decisions of the chain. Since some data was subject to uncertainty, a robust possibilistic approach was presented. The model ensures that the resulting structure for the chain will be robust to noise and disturbance in parameters. A life cycle assessment model based on the ReCiPe 2008 method was developed in SimaPro software. To evaluate the applicability of the presented method, a case study in the automotive industry was used. The results of implementing the DEA method showed that from among 23 available locations, 11 potential places were selected for construct recycling centers. The final results showed that the inappropriate potential locations of recycling centers were eliminated, and the complexity of the mathematical model proposed in the second stage was reduced. The obtained results of environmental protection costs revealed that this criterion changed from 0 to 8,333,874,332. Moreover, the first objective function resulted in a centralized network to minimize costs, and in contrast, the second objective function tended to decentralize the network to minimize environmental impacts.

Keywords Sustainability · Closed-loop supply chain · Life cycle evaluation · Data envelopment analysis · Robust possibilistic programming

Introduction
In recent years, increasing environmental pressures have considerably affected the structure of supply chains, so that ignoring these pressures, especially when designing the network, significantly reduces the chain’s performance. A forward supply chain starts with the suppliers of raw materials and continues to the customers at the end of the chain operation. However, products that do not meet customers’ basic needs are still valuable, and companies should consider this residual value of products as a principle after the reverse logistic process in the production and reconstruction, which may protect the environment (Khalili-Damghani et al. 2014; Karimi and Setak 2018). A closed-loop supply chain (CLSC) embraces reverse and forward logistic activities to better the stable performance of the supply chain (Amin et al. 2017; Shaharudin et al.)
Coupling these two activities leads to an alteration from linear mode to the closed-loop in supply chain management (SCM). CLSC will be effective when an exchange between environmental protection and cost reduction is designed and implemented to help companies (Fahimnia et al. 2015). An effective CLSC also occurs when it considers economic objectives such as maximizing profits or minimizing costs of the entire chain over the product’s life cycle (Govindan and Soleimani 2017). Therefore, managers of organizations will grasp the concept that a properly designed CLSC can help companies increase customer service at a lower cost and decrease environmental impact (Boettke 2010). CLSC design, in conducted studies, is one of the main axes of research at the strategic level, which can be compared with decisions at the operational level such as energy conversion, saving equipment production, and long-term decisions on facility allocation and product distribution and will significantly improve environmental impact and cost control (Ho and Ma 2018; Prajapati et al. 2019).

CLSC managers have to deal with many aspects such as facility allocation, product flow control, environmental protection, and cost reduction to design and optimize their chains (Bazan et al. 2017). One of the most motivating research topics in CLSC is carbon emission cap (for example, see Wang et al. 2019, 2021; Fahimnia and Jabbarzadeh 2016). Generally, carbon emission policy has been vital in the decision-making process of the supply chain managers due to government and environmentalists’ pressures. Therefore, when greenhouse gas emissions in the supply chain is more than expected, a penalty will be imposed on the organization in the form of cost per unit of excess greenhouse gas production, and conversely, if the amount of greenhouse gas emissions is less than expected, there will be a cost reduction per unit of saving on its production (Dogan and Turkekul 2016; Dogan and Seker 2016; Dogan and Inglesi-Lotz 2017; Alamroshan et al. 2022). Focusing on the arrival time factor of vehicles to relief stations, Ali-naghian and Goli (2017) proposed a fuzzy mathematical programming model for the location of temporary health centers. They simultaneously considered the allocation and routing challenges of these centers and moreover introduced an improved harmony search (HS) algorithm to obtain optimal solutions. Shekarian (2020) reviewed the issues related to the CLSC models from the game theory perspective with focus on collaborative and non-collaborative approaches. Moreover, Master et al. (2020) provided a comprehensive review of the uncertain approaches used in CLSC. Lotfi et al. (2022) addressed implication of agility in CLSC. They provided an integrated model based on robust optimization (RO) and stochastic programming for designing a viable CLSC.

A sustainable supply chain (SSC) is a considerable sign of competition among companies and helps them perform well by increasing environmental pressures (Fallahpour et al. 2021a, b). Recently, various research has been conducted on SSC. By applying a dynamic and non-cooperative game, Saberi (2018) designed a SSC network to deal with the pollution stock for the production and product shipment sections. This network was multi-period and optimized the economic and environmental objectives related to the network. Zarbakhshnia et al. (2019) sought to design and program a forward and reverse green logistics network employing a complex integer linear programming (LP) model. This model was associated with a multi-stage, multi-objective problem. The first objective minimized the operating, processes, transportation, and fixed costs. The other objective focused on minimizing CO₂ emissions per gram, while in the third objective, they focused on optimizing the number of devices on the production line. To better analyze the introduced model, they employed a case study in the home appliance industry. Rentizelas et al. (2019) studied international biomass supply chain pathways by considering several criteria related to economic and environmental performance, such as environmental impact, biomass delivery cost, and fossil energy consumption. In their study, a sensitivity analysis was run to analyze the robustness of results under uncertain parameters. The data envelopment analysis (DEA) approach proposed in this research can allow biomass production resources planning and mend the decision-making beneath multiple decision criteria. Lahri et al. (2021) used a two-stage method to design the SSC network. They first used the TOPSIS and the Best–Worst methods to assess the weights of suppliers. Then, they employed a three-objective programming model for optimizing the economic, environmental, and social goals. Pahlevan et al. (2021) addressed the issue of sustainable CLSC design for the aluminum industry. For this purpose, the employed a mixed-integer linear programming (MILP) model. To obtain Pareto solutions, they utilized three different methods of which the multi-objective red deer algorithm worked best.

The issue of CLSC sustainability has recently attracted the attention of some researchers. Zhen et al. (2019) proposed an integrated approach for establishing a sustainable and green CLSC network with uncertain demand. Proposing a model to address the total operating costs and CO₂ emissions issues was their other action. They also adopted a scenario-based approach to show demand
uncertainty in a randomized mathematical programming model and then presented a Lagrangian relaxation approach to solve the proposed model. Johari and Hosseini-Motlagh (2019) proposed an analytical coordination model for CLSC. Their model encompassed all three sustainability dimensions and aligned diverse decisions related to competitive forward and reverse logistics. Fazli-Khalaf et al. (2019) introduced a proper model to design a CLSC network by employing a reliability method. They declared that this model can design a network under various kinds of disruptions. The presented model can also find the network design’s minimum overall costs. Tosarkani and Amin (2019) designed a multi-echelon network for the lead-acid battery CLSC. To achieve this purpose, they employed fuzzy- and stochastic programming approaches to optimize the transportation cost among the various centers. They also examined and compared two different scenarios of transportation service fees. Yavari and Zaker (2020) studied the disruption of supply chains and power networks. They addressed a resilient-green CLSC and then used some resilient strategies to consider these disruptions. Their proposed model was a bi-objective mixed-integer one that minimized supply chain’s total costs and total carbon emissions. Sherif et al. (2020) presented a strategic approach to manage transportation costs and control CO2 emission in a battery manufacturing industry. To this end, they proposed a multi-echelon and multi-product model. To specify the potential location of centralized depots, they employed a K-means clustering algorithm. Nayeri et al. (2020) developed a sustainable CLSC under an uncertain environment by adopting fuzzy and robust approaches. For this purpose, they considered social, environmental, and economic criteria. Moreover, they utilized the goal programming technique to solve the proposed model. Using a non-cooperative game, Tang et al. (2020) present an analytical approach to improve the efficacy of the CLSC with remanufacturing. They consider a manufacturer and a retailer as the leader and the follower, respectively. They declared that the proposed approach is applicable in a competitive market. They utilized a fuzzy possibilistic flexible approach to overcome the uncertainties of parameters and constraints. Pourmehdi et al. (2020) presented a multi-objective scenario-based model to design a steel CLSC considering sustainability measures, which dealt with the current uncertainty of parameters through stochastic programming. In their model, the economic, environmental, and social parts were used to construct objective functions. Tao et al. (2020) introduced a stochastic model to evaluate the impact of various carbon policies in the sustainable CLSC of emerging markets. They employed the branch and bound-based integer L-shape technique to solve the model. De and Giri (2020) presented a multi-objective model to consider carbon control policies. Their model is a nonlinear mixed-integer programming one. Zahedi et al. (2021) developed a CLSC network with multi-mode transportation. They considered some clusters for customers. Their mathematical model was one-objective in which the net present value was maximized. Considering carbon emission and the uncertainty of demand, Soleimani et al. (2021) designed a carbon-efficient CLSC. They formulated a bi-objective mathematical model considering the economic and environmental effects and also used RO approach to cope with the existing uncertainty. Taking into account economic, environmental, and social features, Mirzagoltabar et al. (2021) addressed design of CLSC with fuzzy demand prices in the lighting industry. They announced that the chain is two-channel and can also be used for the new product development. Salehi-Amiri et al. (2021) designed a sustainable CLSC in the walnut industry. They provided a comprehensive overview of the issue and also formulated a MILP model to address forward and reverse logistics of the network. To obtain optimal solutions, they first employed the various exact and meta-heuristic methods and then used Taguchi technique to find the best optimal solutions. Considering the nature of expected movement and expected coverage, Keramatlou et al. (2021) addressed a green CLSC to determine the best allocation and location of facilities. Fazli-Khalaf et al. (2021) addressed a sustainable and resilient CLSC network in the tire industry. They introduced a four-objective model, including optimization of the costs, the network reliability, the total CO2 emissions, and the social responsibility. There are also recent works reported on CLSC, which considered a case in animal and agricultural areas like shrimp CLSC (Mosallanezhad et al., 2021), Sugarcane CLSC (Chouhan et al., 2021), Walnut CLSC (Salehi-Amiri et al., 2021), and Avocado CLSC (Salehi-Amiri et al., 2022). Considering the conditions and limitations of COVID-19, Babaee Tirkolaee et al. (2022) proposed a MILP model to design a sustainable mask CLSC Network. Since the presented model is NP-Hard, they used two meta-heuristic algorithms to solve it. Jauhari (2022) provided a mathematical model to address some fundamental decisions related to the inventory in CLSC by considering the carbon tax policy. For this end, he utilized the game theory approach with a manufacturer and some retailer. The research results showed that by focusing on the flexibility factor, not only emissions and energy consumption can be controlled, but also the total cost of the chain can be minimized. Seydanlou et al. (2022) presented a NP-Hard model for design
of a CLSC network. To show the efficacy of developed model, they used a case study related to the olive industry. The model was multi-objective and included sustainability criteria and further, four meta-heuristic algorithms were employed to solve it. Tavana et al. (2022) developed a MILP model for designing a sustainable CLSC by considering cross-docking, time window, and location-inventory-routing. The model formulated in the uncertain condition; Hence, they applied a fuzzy approach to obtain the optimal solutions. Salehi-Amiri et al. (2022) proposed a MILP model for designing a CLSC network in the avocado industry. To validate the developed model, they performed various sensitive analyses on changing the demand, capacity, and transportation and purchasing costs per product. Asadi et al. (2022) addressed the CLSC design from the perspective of the economic, environmental, and responsiveness criteria. Since most was uncertain, they employed a robust possibilistic programming approach. They argued that environmental detrimental impacts are directly related to the size of demand but inversely related to the responsiveness level. Amirian et al. (2022) formulated a bi-objective fuzzy model for developing a green CLSC in the heavy tire industry. For this purpose, they utilized the economic pricing concept. Moreover, they employed the ε-constraint technique to determine Pareto optimal solutions.

One of the weaknesses of past research is that the supply chain efficiency has not been considered while paying attention to this issue can have a meaningful impact on chain performance. Certainly, different methods have been proposed to evaluate the performance of the supply chain, but the evaluation of the chain performance during its design has received less attention from the researcher. Various methods and tools were exercised to assess and ameliorate the supply chain performance. DEA is one of the efficient tools in measuring supply chain performance. DEA is a mathematical programming approach used to compute the efficiency values of homogeneous decision-making units (DMUs) (Amirkhan et al. 2018a). One significant challenge of employing the conventional DEA approach to real-world problems is the uncertainty in some data of DMUs. The conventional DEA benefits from the production boundary generated by the crisp inputs and outputs of DMUs to evaluate efficiencies (Esfandiari et al. 2017; Toloo and Mensah 2019; Amirkhan et al. 2018b). Dehghani et al. (2018) focused on alternative, environmentally friendly energy sources. They introduced a two-stage method based on DEA and RO approaches to design a solar energy supply chain under uncertain conditions. The results of their study showed that all uncertainty parameters were sustainable. Finally, the performance of the developed method has been investigated by a real case study. Dobos and Vörösmarty (2019b), concerning the criteria of supplier selection obtained from the DEA method, ranked suppliers and then determined the best supplier according to the complicated aspects of purchasing and cost management, process management, and green management of suppliers. Dobos and Vörösmarty (2019a) used a DEA model to parameterize relevant data to select green suppliers. Using fuzzy DEA models, they solved the problem related to uncertain data using LP to select green suppliers. Torres-Ruiz and Ravindran (2019) employed interval DEA for sustainable supplier selection. To this end, they considered both economic and environmental criteria. Yokota and Kumano (2013) used the DEA method to determine an optimal allocation of mega-solar in Japan. Solgi et al. (2019) employed a tailored DEA model to assess and rank the suppliers of complex product systems. Input and output data of the problem consisted of geographic, economic, and technical aspects. They utilized standard envelopment and inverted envelopment analysis to better estimate the efficiency frontiers. Rafigh et al. (2021) introduced a stochastic programming model to design a sustainable CLSC under the COVID-19 pandemic condition. They declared that the proposed model takes into account both tactical and strategic decisions. Due to the complexity of the model, they used a meta-heuristic algorithm to solve it. By employing a hybrid approach based on balanced scorecard, multi-criteria decision-making (MCDM), path analysis, and game theory. Goli and Mohammad (2021) introduced an intelligent method to asset the performance of the petrochemical supply chain. Amirkhan (2021) formulated two robust DEA model to cope with the continuous uncertainty of input/output data. He claimed that the presented models can be used for single-stage networks under both constant and variable return to scale conditions.

In addition to the topics discussed above, uncertain data is another major challenge in designing supply chain network (SCN), which can be attributed to the lack of crisp and accurate information and the dynamics and complexity of supply chain components. Therefore, besides considering environmental impacts and existing policies, an effective CLSC network design must respond to stochastic demand in uncertain markets. In recent years, the uncertain demand has also been considered in the CLSC studies (for example, see Vickers 2017; Chan et al. 2018). Tosarkani and Amin (2018) presented a fuzzy model to design a battery CLSC network. In the proposed model, uncertainty is observed in both parameters and variables. They used the analytic network
process and the real data extracted from Google Maps to evaluate the qualitative factors and obtain the real transportation costs. Goli et al. (2019) utilized the Bertsimas and Sim’s (Bertsimas and Sim 2004) and Ben-Tal and Nemirovski’s (Ben-Tal and Nemirovski 2000) approaches to propose two robust portfolio optimization models with uncertain parameters. They used a real case study of dairy products to indicate the efficacy of the developed models. The statistical results showed that both robust models have acceptable performance for finding solutions, although the robust model based on the Bertsimas and Sim’s approach operates better in some cases. Goli and Malimir (2020) addressed and perused allocation and routing challenges for relief vehicles under disaster conditions. They employed a mathematical model with uncertain data and also used the HS algorithm to obtain the best solutions corresponding to the vehicle routing problem. Goli et al. (2021) introduced an integrated approach based on meta-heuristic algorithms and different varieties of neural networks to predict the dairy product demand.

It should be noted that on the issue of green and sustainable CLSC, uncertainty in demand has been less discussed. Using a stochastic mixed-integer LP model, Guarnaschelli et al. (2020) developed a diary SCN. The network was two-stage and covered the production and distribution. Gholizadeh et al. (2020a, b) addressed the issue of sustainable logistics and procurement utilizing big data. Since some problem parameters were uncertain, they used a hybrid approach based on fuzzy set theory, RO, and stochastic programming. RO can be considered as an appropriate method to solve the uncertain problems of linear optimization. Although the robust approach is relatively widely used in recent studies, it has been shown that it is essential in many real-world applications. Since RO is employed to deal with the uncertainty of input presented in deterministic models, it is utilized as an alternative approach that immunizes uncertain parameters (Gholizadeh et al. 2020a, b; Solgi et al. 2021). Golpîra and Javanmardan (2021) employed a robust LP model to design a decentralized CLSC. This model was formulated as bi-level and under uncertain conditions. Moreover, the used robust approach was risk-based.

Due to the adoption of new global regulations and laws on supply chains, environmental sustainability is vital for decision-makers. Moreover, the supply chain uses various carbon cap mechanisms to align the green supply chain and make it more complicated. This paper presents a comprehensive plan for the procurement of an environmentally friendly, sustainable CLSC of lead-acid battery.

1. Small acid batteries are the first choice of health care in hospitals and nursing homes due to their low cost, reliability, and low maintenance requirements. Larger acid batteries are used as backup power sources in cellular towers, Internet hubs, banks, hospitals, airports, etc. Other common applications include starter batteries in motorcycles, on–off operation for micro-hybrid vehicles, and maritime transport. Due to the undeniable benefits of this type of batteries, their daily usage is increasing in the world. Figure 1 indicates the size market of this battery in USA.

![Figure 1](https://www.grandviewresearch.com/industry-reports/lead-acid-battery-market)

For this purpose, a two-stage model is developed based on DEA and the bi-objective robust possibilistic programming (BRPP) model. In the first stage, candidate locations of recycling centers are identified by using DEA. The advantages of this stage include the following:

1) Considering the economic, technical, and geographical criteria for selecting the proper potential locations of recycling centers, a multi-objective model is employed to determine the optimal locations.

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1. Lead Acid Battery Market Size, Share & Trends Analysis Report, By Product (SLI, Stationary, Motive), By Construction Method (Flooded, VRLA), By Application, By Region, And Segment Forecasts, 2020 – 2027.
recycling centers, determining more reliable and practical locations of recycling centers.

2) Reducing the size of the problem by eliminating unsuitable potential locations of recycling centers helps reduce the mathematical model complexity used in the other stage.

In the second stage, using the BRPP model, strategic, and tactical decisions in the battery supply chain are simultaneously determined. This model seeks to minimize sustainable procurement costs in the first objective and also minimize environmental considerations in the second objective. Meanwhile, the BRPP model ensures that the obtained supply chain structure will be sustainable to changes of the parameters, and the obtained solutions will not be sensitive to them. On the other hand, considering strategic and tactical decisions together obtains optimal solutions and reduces the cost of products. A life cycle assessment model based on the ReCiPe 2008 method has been developed in SimaPro software to quantify and assess environmental impacts. The augmented $\varepsilon$-constraint technique is employed to find strong and optimal Pareto solutions. Ultimately, to evaluate the effectiveness and applicability of the abovementioned approach, a case study related to the supply chain of lead-acid batteries in the automotive industry is used, and then significant management results are presented. Therefore, this paper seeks to design a sustainable CLSC network by employing the DEA and BRPP approaches adding uncertainty and studied lead-acid batteries in the automotive industry. In subsequent, there is a brief of innovations that recognize this research from others and can enrich the literature in this field:

- This study develops a sustainable CLSC network by using DEA and BRPP for lead-acid batteries in the automotive industry.
- Candidate sites of recycling centers are evaluated using the DEA technique. As a result, different criteria are employed to select the appropriate locations for recycling centers. The main achievements of this stage include the following: (1) considering technical, economic, and geographical criteria for selecting the proper potential locations of recycling centers; (2) determining the more prestigious and practical locations for recycling centers; and (3) reducing the size of the problem by eliminating inappropriate points for potential locations of recycling centers, which helps to decrease model's complexity presented in the second stage.
- Using a BRPP model, strategic and tactical decisions in the lead-acid batteries supply chain are simultaneously determined. Furthermore, this model ensures that the resulting structure for the supply chain will be robust to noise and disturbance in parameters. On the other hand, simultaneous strategic and tactical decisions provide optimal global solutions to these decisions and reduce product costs. Finally, by using the simulation approach, the solutions are compared with the uncertain model.
- To develop a life cycle assessment model and to quantify and evaluate environmental impacts, the ReCiPe 2008 method in SimaPro software was employed.
- An augmented $\varepsilon$-constraint technique is utilized to solve the bi-objective model, determine strong Pareto solutions, and avoid weak Pareto solutions.
- Finally, a study on lead-acid batteries in the automotive industry was run to assess the effectiveness and efficacy of the presented method, and through it, the beneficiary results are presented.

This paper is organized as follows. In the next section, the problem under consideration is defined.
Section 3 describes the DEA model. A mathematical model is presented in Section 4. In Section 5, a robust approach is used. In Section 6, an approach including ReCiPe 2008 and the augmented $\varepsilon$-constraint technique is used to solve the models. A case study is presented in Section 7, and finally, in Section 8, the paper concludes and provides guidelines for future research.

**Problem definition**

The model developed in this paper is based on the lead-acid battery supply chain appropriate in the automotive industry. The importance of SSC design is that these batteries are composed of lead, propylene, and acid. The use of raw materials in such batteries makes this product dangerous under the Basel convention. Dead batteries are a dangerous source of metals that endanger human health, producing acidic and toxic substances. Note that recycling expired batteries reduces the environmental impact of wastes and helps conserve natural resources for future generations. In this regard, SCN design helps to conserve natural resources. Also, SCN design helps to manage expired products using reverse SCM and recycling. These can better the quality of human life in terms of environmental issues.

Another critical point is that the processes performed at levels of SCN and the products transported among the various layers require fuel consumption, leading to greenhouse gas emissions. Therefore, SCN design minimizes environmental impacts and can reduce the destructive effects of environmental issues. Reducing air pollution and paying attention to environmental issues improves human health and increases customer loyalty due to the attention of the network. The green SCN consists of companies, distributors, and customers in the forward network. Moreover, this network consists of recycling and disposal centers in the reverse network. As shown in Fig. 2, companies supply raw materials from suppliers and recycling locations. In continue, the products are delivered to customers by distributors. In the reverse network, some of the expired products are gathered from collection centers. Reusable and the residual expired goods are respectively sent to related centers. In the recycling stations, battery raw materials are recycled and sent to companies. Distributors are assumed to be vulnerable.

The main assumptions of the considered network are as follows:

- The location and number of customers, disposal centers, supplier, and companies are pre-determined and fixed.
- The numbers of locations and capacity levels of possible distributors, collection, and recycling centers are considered variables of strategic decision.
- The numbers and locations the possible distributors are first determined in stage 1 by using the DEA method and then determined in stage 2 by employing the mathematical programming model and BRPP.
- Customer demands must be fully met, and shortages are not authorized.
- Pull and push mechanisms are respectively utilized to shape the operation base for forward and backward networks.
- Customer demand and costs are uncertain, and BRPP is used to address the uncertainty.

Given the above, the primary aim of the presented model is to specify strategic and tactical decisions to minimize costs and adverse environmental effects. It should be noted that ReCiPe 2008 method has been utilized to measure environmental issues, which is discussed in Section 6.

**Research gap**

Recycling centers play a vital role in supply chain performance. Failure can entirely or partially destroy the recycling center’s capacity. A reliable or unreliable recycling center can be activated anywhere as a strategic decision to deal with the destructive effects of failure. Failure will not influence the reliable recycling center. Nonetheless, the fixed cost of secure centers is higher than unsecured ones. Failures and the effect of failures are modeled through a scenario planning model, and the pre-determined probability that marks the failure capacity of recycling centers are attributed to each scenario. According to the reviewed literature, it is observed that the models developed on the sustainable CLSC determine the location of recycling centers mainly based on economic and environmental criteria, and geographical criteria including distance to strategic and effective locations have received less attention. In addition, the computational complexity of locating facilities in the sustainable CLSC network, which has always reduced the efficiency of the models, has led researchers to take approaches to address this shortcoming. In the present study, by using the DEA method, not only the first shortcoming has been eliminated, but also the computational complexity has been reduced as much as possible. On the other hand, in such networks, the optimal solutions of the problem are very sensitive to the noise of data, and therefore, any disturbance in the input parameters of the problem may lead to a change in the optimal solutions and even the infeasibility of the
model. To overcome this challenge, a robust possibilistic programming approach has been adopted.

**Methodology**

In this method, the two-stage model, shown in Fig. 3, is developed based on the DEA and BRPP approaches to design and plan the lead-acid battery SCN. In the first stage, using DEA, candidate locations for recycling centers are determined based on criteria. In the other stage, using the BRPP model, tactical, and strategic decisions of CLSC are simultaneously determined. The proposed model ensures that the resulting structure of the supply chain will be robust to changes in parameters and that the resulting responses will not be sensitive to these changes. On the other hand, considering strategic and tactical decisions together provides optimal solutions to these decisions and reduces chain costs.

**DEA approach**

The efficiency of a group of DMUs can be assessed by applying the DEA approach. The DEA model employed in this research has both desirable and undesirable outputs. In order to apply, the DEA model assumed that $\text{DMU}_j$ ($j = 1, ..., n$) is a candidate point for recycling centers. In the unified DEA (UDEA) model proposed by Babazadeh et al. (2017a, b), $g$ indicates the desired outputs, and $b$ specifies the undesirable ones. Moreover, $s$ and $h$ indicate their numbers. It is also assumed that these outputs are positive for all DMUs. Desirable outputs are denoted by the vector $G_j = (g_{1j}, g_{2j}, ..., g_{sj})$, and undesirable outputs are denoted by the vector $B_j = (b_{1j}, b_{2j}, ..., b_{hj})$.

In the presented UDEA model, $\lambda_j^d$ and $\lambda_j^u$ are the structural variables, so that the former is used for desirable outputs and the latter is used for undesirable outputs. $d^g_j$ and $d^b_j$ are the surplus variables for the “$r$th” and the “$f$th”
outputs, respectively. The subsequent model is solved \( n-1 \) times to specify the performance scores level of DMUs.

\[
\text{Max} Z = \sum_{r=1}^{s} R_r^e d_r^e + \sum_{f=1}^{h} R_f^b d_f^b
\]  

(1)

\[
\sum_{j=1}^{n} g_{rj} \lambda_j^e - d_r^e = g_{rh}, \quad r = 1, \ldots, s
\]  

(2)

\[
\sum_{j=1}^{n} \lambda_j^b = 1
\]  

(3)

\[
\sum_{j=1}^{n} b_{fj} \lambda_j^b - d_f^b = b_{fh}, \quad f = 1, \ldots, h
\]  

(4)

\[
\sum_{j=1}^{n} \lambda_j^b = 1
\]  

(5)

\[
\lambda_j^e, \lambda_j^b, d_r^e, d_f^b \geq 0
\]  

(6)

The convex combinations of desirable outputs are shown in Eqs. (2) and (3), on the other hand, Eqs. (4) and (5) are the convex combinations of undesirable outputs. Furthermore, Eq. (6) ensures that all variables of the model are non-negative.

In Eq. (1), \( R_r^e \) and \( R_f^b \) indicate the limits of the above-mentioned UDEA model for the desired and undesired outputs, respectively. \( R_r^e \) and \( R_f^b \) can be determined as follows:

\[
R_r^e = \frac{1}{(m + s + h) \times \{ \max_j \{ g_{rj} \} - \min_j \{ g_{rj} \} \}}
\]  

(7)

\[
R_f^b = \frac{1}{(m + s + h) \times \{ \max_j \{ b_{fj} \} - \min_j \{ b_{fj} \} \}}
\]  

(8)

In Eqs. (7) and (8), \( m \) inputs are employed to produce \( g + s \) outputs. Since the whole data related to outputs are presented without any input, a dummy input should be provided for them. Because the different outputs of the UDEA model may not be on the same scale, the constraints of the model are used to control the surplus variables and the scales. Also, the “\( k \)th” efficiency score is calculated as (9):

\[
\theta = 1 - \left( \sum_{r=1}^{s} R_r^e d_r^e + \sum_{f=1}^{h} R_f^b d_f^b \right)
\]  

(9)

The “\*” sign indicates the optimal condition. It is worth noting that although there are other types of DEA models in which the outputs, instead of the surplus variables, are maximized. Although, the above model is based on a range-adjusted measure that uses non-radial and variable return to scale assumptions, and these conditions are more consistent with the real-life ones (Babazadeh et al. 2017a, b).

### Criteria

Establishing the waste recycling station in urban areas due to its essential impacts on ecology, health, urban landscape, traffic, property value, and so on can disrupt the city system. Therefore, establishing a recyclable waste recycling site in the city should be done with careful and meticulous studies to prevent the spread of disturbances and threats, especially from environmental aspects. This section tries to identify the best and most suitable places to reduce problems and difficulties with precise locations. Due to the social consequences and consequently the creation of environmental and noise pollution, if established without study and the negative consequences they will bring, they will impose many costs on their owners by transferring to the wrong places. Therefore, before any activity, using the DEA technique and considering proper criteria, careful programming should formulate and implement the best strategy for the work process. Table 1 summarizes the criteria to select recycling centers.

### Mathematical model

This section deals with modeling the design and planning of the lead-acid battery supply network in uncertain conditions. After defining the sets, parameters, and decision variables, the model’s objective functions and constraints are described.
Minimizing costs = facility locating cost + production cost + transportation costs + processing costs + raw material purchase costs

Minimizing environmental impacts = facility locating environmental impacts + production environmental impacts + transportation environmental impacts + processing environmental impacts + purchasing environmental impacts

- Demand estimation constraints
- Flow balance constraints
- Facility capacity constraints
- Facility number constraints
- Non-negative and binary variable constraints

Notations

Here, the sets, parameters, and decision variables of the presented model are introduced. The parameters marked with a tilde are uncertain parameters.

Sets

I  Set of companies.
J  Set of distribution centers.
K  Set of customers.
L  Set of collection centers.
M  Set of the recycling center.
N  Set of waste disposal.
T  Capacity level set of distribution centers.
P  Capacity level set of collection centers.
O  Capacity level set of recycling centers.
S  Set of scenarios.

Parameters

\( F̃_{I_{j}} \)  Fixed cost related to unreliable distribution station \( j \) with capacity of \( t \).

\( F̃_{J_{p}} \)  Fixed cost related to reliable distribution station \( j \) with capacity of \( t \).

\( F̃_{M_{mo}} \)  Fixed cost related to recycling station \( m \) with “\( o \)” capacity level.

\( C̃I_{i} \)  Fixed production cost per item in company of \( i \).

\( C̃I_{ji} \)  Cost of transferring each item from company \( i \) to distribution station \( j \).

\( C̃J_{j} \)  Processing cost per unit of the item in distribution station \( j \).

\( C̃K_{jk} \)  Cost of transferring each item from distribution station \( j \) to customer \( k \).

\( C̃L_{kl} \)  Cost of transferring each item from customer \( k \) to collection station \( l \).

\( C̃L_{l} \)  Processing cost per unit of the item in collection station \( l \).

\( C̃M_{mn} \)  Cost of transferring each item from collection station \( i \) to disposal station \( n \).

\( C̃M_{lm} \)  Cost of transferring each item from collection station \( l \) to recycling station \( m \).

\( C̃N_{n} \)  Processing cost for each item in disposal station \( n \).

\( C̃M_{m} \)  Processing cost per unit of the item in recycling station \( m \).

\( C̃M_{mi} \)  Cost of transferring each item from recycling station \( m \) to customer \( i \).
### Decision variable

| Symbol | Description |
|--------|-------------|
| \( Y_{JU_{jt}} \) | (Binary variable), 1, if an unreliable distributor with capacity level \( t \) is activated in site \( j \), and 0, otherwise. |
| \( Y_{JR_{jt}} \) | (Binary variable), 1, if a reliable distributor with capacity level \( t \) is activated in site \( j \), and 0, otherwise. |
| \( Y_{Llp} \) | (Binary variable), 1, if a collection station with capacity level \( p \) is activated in site \( l \), and 0, otherwise. |
| \( Y_{M_{mo}} \) | (Binary variable), 1, if a recycling station with capacity level \( o \) is activated in site \( m \), and 0, otherwise. |
| \( X_{I_{js}} \) | Amount of items transferred from company \( i \) to distributor \( j \) under scenario \( s \). |
| \( X_{J_{jk}} \) | Amount of items transferred from distributor \( j \) to customer \( k \) under scenario \( s \). |

### Environmental parameters

| Symbol | Description |
|--------|-------------|
| \( E_{JU_{jt}} \) | Environmental impact related to the establishment of unreliable distribution station \( j \) with capacity level \( t \). |
| \( E_{JR_{jt}} \) | Environmental impact related to the establishment of reliable distribution station \( j \) with capacity level \( t \). |
| \( E_{Li} \) | Environmental impact related to the production of each unit of the item in company \( i \). |
| \( E_{I_{ij}} \) | Environmental impact of transferring each item-unit from company \( i \) to distributor \( j \). |
| \( E_{i} \) | Environmental impact of processing each item on distributor \( j \). |

### Mathematical Symbols

| Symbol | Description |
|--------|-------------|
| \( \theta \) | Percentage of recycled items returned to collection stations. |
| \( C_{Si} \) | Cost of purchasing each item \( i \) from the supplier. |
| \( D_{ks} \) | Customer demand \( k \) under scenario \( s \). |
| \( R_{ks} \) | The returned item amount from customer \( k \) under scenario \( s \). |
| \( U_{J_{j}} \) | Maximum capacity in distribution station \( j \) at level \( t \). |
| \( U_{M_{mo}} \) | Maximum capacity of recycling station \( m \) at level \( o \). |
| \( U_{Llp} \) | Maximum capacity in collection station \( i \) at level \( p \). |
| \( U_{I_{i}} \) | Maximum capacity of the company \( i \). |
| \( A_{Is} \) | Percentage of capacity lost in distribution station \( j \) for scenario \( s \). |
| \( Q_{s} \) | Probability of occurrence of scenario \( s \). |
| \( E_{JU_{jt}} \) | Environmental impact of transferring each item from distributor \( j \) to customer \( k \). |
| \( E_{KL_{kl}} \) | Environmental impact of transferring each item from customer \( k \) to collection station \( i \). |
| \( E_{Li} \) | Environmental impact of processing each item in collection station \( l \). |
| \( E_{LN_{ln}} \) | Environmental impact of transferring each item from collection station \( l \) to disposal station \( n \). |
| \( E_{LM_{lm}} \) | Environmental impact of transferring each item from collection station \( l \) to recycling station \( m \). |
| \( E_{Nn} \) | Environmental impact of processing each item in disposal station \( n \). |
| \( E_{Mm} \) | Environmental impact of processing each item in recycling station \( m \). |
| \( E_{MI_{mi}} \) | Environmental impact of transferring each item from recycling station \( m \) to the company \( i \). |
| \( E_{SI_{i}} \) | Environmental impact of procuring each item in company \( i \). |

| Symbol | Description |
|--------|-------------|
| \( U_{M_{mo}} \) | Environmental impact related to the establishment of collection station \( m \) with capacity level \( o \). |
| \( E_{I_{i}} \) | Environmental impact related to the production of each unit of the item in company \( i \). |
| \( E_{I_{ij}} \) | Environmental impact of transferring each item-unit from company \( i \) to distributor \( j \). |
| \( E_{I_{j}} \) | Environmental impact of processing each item on distributor \( j \). |
$X_{kl}$ Amount of returned items from customer $k$ to collection station $l$ under scenario $s$.

$X_{lns}$ Amount of expired items transferred from collection station $i$ to disposal center $n$ under scenario $s$.

$X_{lm}$ Amount of raw material of expired items transferred from collection station $i$ to recycling station $m$.

$X_{mix}$ Amount of raw material of expired items transferred from recycling station $m$ to company $i$ under scenario $s$.

$X_{s}$ Amount of raw material purchased from the supplier by company $i$ under scenario $s$.

The model of the green SCN design is formulated as follows:

$$\text{Min } Z_1 = \sum_{i} \left[ \sum_{j} F_{j} X_{Jj} X_{J} + \sum_{k} F_{kj} X_{Kj} + \sum_{p} F_{lp} Y_{lp} \right] + \sum_{m} \sum_{o} F_{mo} Y_{mo}$$

$$+ \sum_{i} \left[ \sum_{s} \left( C_{i} X_{Ji} + \sum_{k} C_{kj} X_{Kj} + \sum_{p} C_{lp} Y_{lp} \right) + \sum_{m} C_{mo} X_{mo} \right]$$

$$+ \sum_{i} \sum_{s} \left( C_{ms} X_{ns} + \sum_{m} \left( C_{ms} + C_{ms} \right) X_{mo} \right)$$

Constraints

$$\sum_{j} X_{Jj} \geq D_{ks} \quad \forall k, s \quad (12)$$

$$\sum_{k} X_{Kj} \geq R_{ks} \quad \forall k, s \quad (13)$$

$$\sum_{i} X_{Ii} = \sum_{k} X_{Jk} \quad \forall j, s \quad (14)$$

$$\sum_{m} X_{mix} + X_{s} = \sum_{j} X_{Ii} \quad \forall i, s \quad (15)$$

$$\theta \sum_{k} X_{Kj} = \sum_{m} X_{lm} \quad \forall l, s \quad (16)$$

$$\sum_{k} X_{Kj} = \sum_{m} X_{lm} \quad \forall l, s \quad (17)$$

$$\sum_{i} X_{lns} = \sum_{i} X_{mix} \quad \forall m, s \quad (18)$$

$$\sum_{i} X_{lns} \geq \sum_{o} U_{mo} Y_{mo} \quad \forall m, s \quad (19)$$

$$\sum_{k} X_{Kj} \leq \sum_{p} U_{lp} Y_{lp} \quad \forall i, s \quad (20)$$

$$\sum_{i} X_{Ii} \leq \sum_{j} U_{Ji} (A_{Jj} + Y_{Jr}) \quad \forall j, s \quad (21)$$

$$\sum_{i} X_{Ii} \leq \sum_{j} U_{Ji} (A_{Jj} + Y_{Jr}) \quad \forall j \quad (22)$$

$$\sum_{i} Y_{lp} \leq 1 \quad \forall l \quad (23)$$

$$\sum_{m} Y_{mo} \geq 1 \quad \forall m \quad (24)$$

$$\sum_{m} Y_{mo} \leq 1 \quad \forall m \quad (25)$$

$$Y_{mo}, Y_{lp}, Y_{Ji}, Y_{Jr} \in \{0, 1\} \quad (26)$$

$$X_{Ii}, X_{JkJ}, X_{Kj}, X_{lns}, X_{mix}, X_{lns}, X_{s} \geq 0 \quad (27)$$

The first objective function ($Z_1$) minimizes the costs of the network. The first to fourth terms of Eq. (10) are respectively the location cost of potential facilities, including distributors, recycling, and collection stations. Average costs of processing and transportation between layers of the chain are minimize through other terms of $Z_1$. Processing costs include production costs in manufacturing companies, maintenance costs in distributors, collecting products and checking for expired in collection centers, costs of extracting raw materials in recycling centers, and safe disposal costs in disposal centers. Transportation costs include the cost of transporting products between successive layers of the chain. The second objective function ($Z_2$) is related to the design of
the SSC network. In other words, this objective function minimizes the emission of harmful CO₂ gases resulting from the various activities of SCN. The first to fourth terms of Z₂ are related to greenhouse gas emissions for the location of companies, recycling centers, and recycling centers. The remaining expressions of greenhouse gases are related to the production of final products, storage of products in distributors, collecting products and checking them in collection centers, and recycling of expired products. Constraint (12) ensures that a shortage in the form of backorder is impossible, and demand must be met. Constraint (13) guarantees that all expired products are collected from customers. As a result, expired products must be collected through collection centers to reduce the adverse effects of wastes. Constraint (14) assures that the balance between total inputs of each distributor’s final products and the final products delivered to the customers. Constraint (15) guarantees that each company’s production and transferring of products to various distributors should match the raw materials supplied by recycling stations and suppliers. Constraint (16) ensures that the amounts of raw materials recycled and transported to various companies must be equal to the amounts of expired products collected. Constraint (17) imposes that the total amount of unused products transported to disposal stations must be equal to the amounts of products collected from customers. Constraint (18) ensures the equality between amounts of recyclable products of collection centers and sent raw materials by each recycling station to companies. Generally, constraints (14) to (18) consider the equilibrium flow between the supply chain layers. Constraint (19) guarantees that the amounts of final products produced in production stations and transferred to distributors is limited by maximum capacity of each company and must be less than or equal to it. Constraint (20) imposes the capacity limitation on the amount of stored and transported products by considering the activation of distributors.

Constraint (21) assures that the maximum capacity of collection and inspection in each collection station must be greater than or equal to the maximum amounts of expired products collected from various customers. Constraint (22) guarantees that recycled and transferred raw materials from recycling stations must not be greater than the recycling capacity of each recycling station and at maximum can be equal to it. It is necessary to noted that capacity constraints on facilities are included in constraints (19) to (21). These constraints prevent flows from being allocated to inactive facilities. Constraints (25) and (26) ensure that only one capacity level should be defined for each company, recycling, or collection center. Note that constraint (23) guarantees that only one facility at a distributor location can be activated. Constraints (27) and (28) show the problem decision variables.

**Robust possibilistic programming**

In some factual conditions, there may not be enough historical data. In other words, it is not easy to specify the distribution functions of uncertain data. Epistemic uncertainty is a challenge that parameters might face under such conditions. In this condition, fuzzy mathematical programming is an efficient approach. Flexible and possibilistic programming are two general categories of this approach. The first-class method controls the elasticity of the objective function values and the flexibility of the constraints (Inuiguchi and Ramík 2000). The second-class method considers the ambiguity in objective functions and constraint parameters, which is usually modeled according to the decision maker’s mental data with possibilistic distributions. Due to the existence of imprecise parameters in the model presented in subsection 4–1, the second-class method is appropriate. It is vital to consider changes in parameters over a long period to prepare and enable a robust structure for the supply chain and make less sensitive decisions again changes; this causes us to seek a robust and feasible solution under diverse requirements (model robust). Moreover, this solution is close to the optimality (solution robust). To attain the properties and the profits of both the fuzzy set theory and robust optimization, Pishvaee et al. (2012) introduced a robust possibilistic programming (RPP) method. RPP is based on possibilistic chance-constrained programming (PCCP). In this method, the possibility of trapezoidal distribution (as addressed in Fig. 4) is used for uncertain parameters.
In the presented method, both robustness of feasibility and optimality and the average value of the objective function is possible. Here, the details of RPP are explained. First, observe the following model:

\[ \begin{align*}
\text{Min } w &= \bar{g}y + \bar{q}x \\
D_1 x &\leq \bar{U}z \\
D_2 x &\leq 0 \\
D_3 y &= 1 \\
y &\in \{0, 1\} \land x \geq 0 \land \text{Integer}
\end{align*} \quad (28) \]

where \( \bar{g} \) is the objective function coefficient and related to binary variables. Another objective function coefficient is \( \bar{q} \), which is applied for continuous variables. \( \bar{U} \) is related to the right-hand side coefficients in a constraint that is deterministic. Also, \( D_1, D_2, \) and \( D_3 \) are matrix coefficients. In the above model, it is assumed that \( \bar{g}, \bar{q}, \) and \( \bar{U} \) have epistemological uncertainty. The necessity measure, as a conservative fuzzy one that is very close to the deterministic condition, is applied to formulate the chance constraints of ambiguous parameters. The average value agent (E[.]) is employed to formulate the possibilistic counterpart of the objective function. According to the explanations provided, the PCCP model is presented as follows:

\[ \begin{align*}
\text{Min } E[w] &= E[\bar{g}]y + E[\bar{q}]x \\
D_1 x &\leq 0 \\
\text{Nec}\{D_2 x \leq \bar{U}y\} &\geq \alpha \\
D_3 y &= 1 \\
y &\in \{0, 1\} \land x \geq 0 \land \text{Integer}
\end{align*} \quad (33) \]

where \( \alpha \) is the lowest confidence level of chance constraint. The robust counterpart of the model presented above is as (Dubois and Prade, 2015; Inuiiguchi and Ramik, 2000):

\[ \begin{align*}
\text{Min } E[w] &= g_1 + g_2 + g_3 + g_4 y + q_1 + q_2 + q_3 + q_4 x \\
D_1 x &\leq 0
\end{align*} \quad (34) \]

Considering the above model, the robust chance-constrained programming one can be written as follows:

\[ \begin{align*}
\text{Min } obj &= E[w] + \gamma (w_{\text{max}} - w_{\text{min}}) + \delta [\alpha U_1 + (1 - \alpha) U_2 - U_1]y \\
D_1 x &\leq 0 \\
D_2 x &\leq [\alpha U_1 + (1 - \alpha) U_2]y \\
D_3 y &= 1 \\
y &\in \{0, 1\} \land x \geq 0 \land \text{Integer} \land 0.5 < \alpha \leq 1
\end{align*} \quad (43) \]

Similar to the PCCP model, the first term of the objective function represents the average value of the total system performance. The second term of the objective function, i.e., \( \gamma (w_{\text{max}} - w_{\text{min}}) \), displays the difference between the two boundary amounts of \( w \). Here, \( w_{\text{max}} \) and \( w_{\text{min}} \) are calculated as (48–49):

\[ w_{\text{max}} = g_4 y + q_4 x \quad (48) \]
\[ w_{\text{min}} = g_1 y + q_4 x \quad (49) \]

Also, \( \gamma \) shows the influence of this term relative to other ones of the objective function. This part intends to meter the optimal sustainability of solution space. Another term of the objective function, i.e., \( \delta [\alpha U_1 + (1 - \alpha) U_2 - U_1] \), represents the feasible penalty function utilized to forfet a transgression of a constraint. \( [\alpha U_1 + (1 - \alpha) U_2 - U_1] \) is equal to the difference between the worst amount of the parameter and the amount employed in the chance constraint. Moreover, \( \delta \) represents the weight of this part. Unlike the PCCP model, the possibilistic constraint confidence level (i.e., \( \alpha \)) is a decision-making variable, and the optimization model must determine its value. Hence, this model prevents subjective judgments about \( \alpha \) and determine its overall optimal value. Considering the explanations provided, different parts of objective function involve (1) average performance, (2) optimality robustness, and (3) feasibility robustness.

Now, the counterpart deterministic model of the one described in Section 4.1 is formulated as follows:
Min $Z_1 = \sum \sum \left( \frac{FJU_{ij} + FJR_{ij} + FJR_{ij} + FJR_{ij}}{4} \right) YJU_j + \sum \sum \left( \frac{FJU_{ij} + FJR_{ij} + FJR_{ij} + FJR_{ij}}{4} \right) YJR_j + \sum \sum \left( \frac{FM_{mo} + FM_{mo} + FM_{mo} + FM_{mo}}{4} \right) YM_{mo}$

First step: Applying ReCipe 2008 method to measure and assess environmental issues

Second step: Converting proposed uncertain model into a robust model

Third step: Applying augmented $c$ constraint approach to convert bi-objective model onto a single objective model

Fourth step: Solving considered single objective function to obtain the Pareto answers

Fifth step: Interactive repeating the fourth step together with decision maker until reaching the desired results.

$$\sum_j X_{Ijs} + XS_{Iis} = \sum_j XI_{Ijs} \quad \forall i, s$$ (55)

$$\theta \sum_k XK_{kl} = \sum_m XLM_{lms} \quad \forall l, s$$ (56)

$$\left(1 - \theta\right) \sum_k XK_{kl} = \sum_m XLM_{lms} \quad \forall l, s$$ (57)

$$\sum_l XLM_{lms} \leq \sum_o ULM_{mo} \quad \forall m, s$$ (58)

$$\sum_k XK_{kl} \leq \sum_p YL_k YL_p \quad \forall l, s$$ (59)

$$\sum_j XI_{Ijs} \leq UI_i \quad \forall i, s$$ (60)

$$\sum_j XI_{Ijs} \leq \sum_j UI_j \left( AJ_{js} + YJU_j + YJR_j \right) \quad \forall j, s$$ (62)
Method of solving the developed model

There are several problems that the mathematical model presented in the previous section faces, which are mentioned below: (1) quantifying environmental parameters to solve the model is necessary. Accordingly, a lifecycle-based approach should be used to quantify environmental parameters, (2) some parameters in the equations of the proposed model have uncertainties, so a suitable method for linearizing these equations is needed to convert it to the equivalent form of crisp, and (3) the proposed model considers not only the economic objective function but also the environmental objective function. This process leads to a bi-objective function, which requires a multi-objective functions method to find Pareto solutions. Therefore, a solution method consisting of five steps is presented that can solve the problems mentioned above. The steps of this solution approach are described in Fig. 5.

Environmental impact analysis

To move towards a sustainable environmental design of the lead-acid battery SCN, evaluating environmental effects of all upstream and downstream supply chain processes is a prerequisite (Mavrotas 2009a). Indeed, the lifecycle evaluation is the most comprehensive framework for assessing these impacts. This evaluation is performed by recognizing materials, energy, and waste entering the environment (Desideri et al. 2012). This method complies with ISO14040 and ISO14044 standards and, as shown in Fig. 6, and has four steps: (1) defining the purpose and scope, (2) life cycle inventory analysis, (3) evaluating the life cycle impacts, and (4) analysis and interpretation (Peng et al. 2013). As direct use of life cycle assessment is very time-consuming, complex, and costly, ReCiPe 2008, available in SimaPro software, has been used. This software is a comprehensive and all-inclusive one with the latest database and scientific tools for collecting and analyzing environmental impacts and calculating these parameters for various products and services in industries (Rai et al. 2011).

The second advantage is the inclusion of recent advances in environmental sciences due to the up-to-date method. The third advantage of this method is assessing environmental impacts, using mid- and endpoint impacts. Finally, this method’s fourth advantage is an extensive evaluation method that usually considers most mid- and endpoint impacts (Pishvae et al. 2014). ReCiPe 2008 shifts the effects of hazardous material extraction and emissions into 18 midpoints, in the first place. Then, the results are summarized in the three endpoints, including (1) ecosystem variety, (2) human health, and (3) resource accessibility. Finally, the results are presented as a single score by the weighting approach. ReCiPe 2008 has three different approaches regarding the diverse cultural perspectives, and the “average” version is commonly used. In this version, the value of 20, 40, and 40% is considered for resource availability, ecosystem diversity, and human health, respectively. Note that the final score has no weight. However, the dimension of this score is denoted by “point” (pt) (Pishvae et al., 2014; Babazadeh et al. 2017a, b; Boons et al. 2011). In the next step, to improve the environmental aspects and economics and study the life cycle evaluation, the results obtained from the effect evaluation of each section in the mathematical model are used. For more information, interested parties can refer to the book of Goedkoop et al. (2009).
Augmented ε-constraint method

In models with multi-objective functions, it is almost impossible to reach a solution that optimizes all functions simultaneously. In such matters, Pareto solutions are considered. In other words, solutions that do not improve any objective functions without at least one objective function worsen. Generally, the existing methods for solving multi-objective problems can be classified into three groups (Hwang and Masud 2012): (1) priori, (2) interactive, and (3) posteriori. In the first class, the weights of the functions must be specified prior to the solution process, which is an effortful duty (Mavrotas 2009b). Interactive approaches, the decision-maker interactively and gradually reaches the acceptable solutions (Chowdhury and Quaddus 2015). Being unable to provide a picture of Pareto solutions, focusing only on the decision maker’s desired solutions, and neglecting the rest of the efficient solutions, is the most obvious weakness of these approaches. In the latter methods, a set of Pareto solutions is identified first, and then, other solutions will be generated if these solutions are not attractive to him. The latter methods, while not computationally reasonable, obtain efficient solutions from the entire Pareto set. All the methods are widely used in multi-objective problem solving, but the obvious problem is that these methods must find efficient solutions and not provide weak Pareto solutions (Ehrgott 2005). The augmented ε-constraint method is a powerful and efficient posterior method applied to find optimal Pareto solutions for multi-objective problems. Here, one objective is optimized, and the others are added to the problem as constraints as shown (69) (Görmez et al. 2011; Vahidi et al. 2018):

\[
\begin{align*}
\min & \quad f_1(x) \\
\text{S.t.} & \quad f_p(x) \leq \epsilon_p, \; \forall p = 2, \ldots, P \\
& \quad x \in X
\end{align*}
\]  

(69)

where \( x \) is the vector of the decision variables, \( X \) is the feasible space, and \( f_1(x) \) is also the objective function that must be minimized. By parametric changing to the right of the functions in the constraint (i.e., \( \epsilon_p, \forall p = 2, \ldots, P \)), Pareto solutions are obtained.

To determine the possible values for the \( \epsilon \) vector, the pay-off table must first be constructed by optimizing the \( P - 1 \) objective functions individually, i.e., those that are in the constrain, to specify the values range of the objectives in the constraints. Then, the obtained range is divided into \( n_p \) intervals as follows (Esmaili et al. 2011):

\[
\text{range}_p = f_p^{\max} - f_p^{\min}, \quad \epsilon_p = f_p^{\max} - \frac{\text{range}_p}{n_p}, \; \forall p \neq 1, \; l = 0, 1, n_p - 1
\]  

(70)

where \( f_p^{\max} \) and \( f_p^{\min} \) are the maximum and minimum values of the “\( p \)” objective. However, as Mavrotas (Mavrotas, 2009a) points out, the general form of the \( \epsilon \)-constraint method does not guarantee an efficient solution for \( \epsilon \). To prevent this defect, a developed form of this technique, called the augmented \( \epsilon \)-constraint, is used. By applying this method to the problem of several objective functions (i.e., minimizing \( P \) objective functions, simultaneously), the following model is obtained:

\[
\begin{align*}
\min & \quad \theta f_1(x) - \text{range}_1 \times \delta \times \left( \theta_2 \frac{s_2}{\text{range}_2} + \theta_3 \frac{s_3}{\text{range}_3} + \ldots + \theta_p \frac{s_p}{\text{range}_p} \right) \\
\text{S.t.} & \quad f_p(x) + s_p = \epsilon_p, \; \forall p = 2, \ldots, P \\
& \quad x \in X, \; s_p \in \mathbb{R}^n
\end{align*}
\]  

(71)

where \( \delta \) is a very small number, \( \theta_p \) is the priority value of the \( p \)th target function, and \( s_p \) is the amount of the corresponding constraint deficiency variable. Note that the complementary expression \( \theta_p \frac{s_p}{\text{range}_p} \) guarantees that only an efficient solution is obtained for the vector \( \epsilon \).

Case study

An acid (or lead-acid) battery is a kind of reloadable battery invented in 1859 by the French physicist Gaston Plante. This battery is used in motor vehicles due to its low cost and high supply, despite its low energy storage and weight and volume. A lead-acid battery structure is a combination of chemicals, electrical components, retainers, and mechanical formers. Generally, the acid battery consists of 4 general parts: (1) anode, (2) cathode, (3) electrolyte, and (4) separator. A positive electrode or plate is also called an anode; this pole or plates absorbs electrons during discharge. In lead-acid batteries, the chemical raw material that makes up positive plates is the “lead oxide (\( \text{PbO}_2 \))”. The negative electrode or plate is called a cathode, in which electrons are released during discharge. The main chemical component of negative electrodes is lead (\( \text{Pb} \)). It must be said that lead or its oxides are not mechanically suitable for forming and are often shaped by the addition of various alloys and retaining networks. They are also called Active Materials. This is because the chemical reaction inside the battery is mainly done with lead and oxide. The electrolyte fills the electrodes’ surroundings and provides a bed for the charge to pass through the positive and negative electrodes. In these batteries, both poles are immersed in a 25 to 40% concentration of sulfuric acid (\( \text{H}_2\text{SO}_4 \)) and approximately 60 to 75% water (\( \text{H}_2\text{O} \)). The composition of water and sulfuric acid causes sulfuric acid to ionize to \( \text{H}^+ \) and \( \text{HSO}_4^- \) ions.
Separators are the other part of these batteries. Their main function is to isolate the positive and negative poles from each other electrically. The portion of the technology for making the batteries is related to the design of these electromechanical insulators. In some species of these batteries that do not have the size limit, this isolation is made by creating a physical distance between the electrodes, making the battery cheaper but increasing its volume. The main advantage of these batteries over others is the relatively low price of this type of battery and their high instantaneous current capability, making lead-acid batteries the best choice for various uses such as cars and ships. Of course, along with this advantage, we should also mention the main weakness of the lead-acid battery:

- High weight and volume
- Higher sensitivity and instability of lead-acid batteries than nickel–cadmium batteries in cases where the battery is fully discharged.

In this study, a sustainable CLSC will be designed for lead-acid batteries, which, in addition to economic issues, also considers environmental issues. It should be noted that considering CLSC for lead-acid batteries has two critical advantages: (1) assistance to the environmental aspects of the network and the use of raw material recycling and (2) cost savings by using recycled materials in SCN.

### Computational results

#### DEA results

To locate recycling centers, 23 potential locations were considered. They were evaluated using the DEA method described in the previous chapter and based on the criteria of distance from residential and commercial areas, distance from urban thoroughfares, distance from the river, distance from hospitals and educational centers, and distance from hotels, banks, and offices. The mathematical model is encoded in GAMS software, and the Cplex solver is used to obtain optimal solutions. All experiments were performed using a PC with specifications of Intel Core i5 CPU, 2.5 GHz, and 4 GB of RAM. The results obtained in this regard are given in Table 2.

The obtained scores were used to filter suitable locations for recycling centers. From a managerial point of view, decision-making units (DMUs) with a score of more than 0.8 are determined as potential locations for the construction of recycling centers. Therefore, according to Table 2, 11 places, including 17, 11, 21, 15, 12, 9, 4, 2, 3, 5, and 10 places, will be selected as candidate places to construct recycling centers. The solutions obtained from the DEA model will be used in the next step in the presented model to determine the exact options among recycling centers. The solutions obtained from the DEA model will be used in the next step in the presented model to determine the exact options among recycling centers. Therefore, according to Table 2, 11 places, including 17, 11, 21, 15, 12, 9, 4, 2, 3, 5, and 10 places, will be selected as candidate places to construct recycling centers. The solutions obtained from the DEA model will be used in the next step in the presented model to determine the exact options among recycling centers. Therefore, according to Table 2, 11 places, including 17, 11, 21, 15, 12, 9, 4, 2, 3, 5, and 10 places, will be selected as candidate places to construct recycling centers. The solutions obtained from the DEA model will be used in the next step in the presented model to determine the exact options among recycling centers. Therefore, according to Table 2, 11 places, including 17, 11, 21, 15, 12, 9, 4, 2, 3, 5, and 10 places, will be selected as candidate places to construct recycling centers. The solutions obtained from the DEA model will be used in the next step in the presented model to determine the exact options among recycling centers.

| DMUs   | Efficiency | Rank |
|--------|------------|------|
| DMU01  | 0.77       | 12   |
| DMU02  | 0.86       | 9    |
| DMU03  | 0.85       | 10   |
| DMU04  | 0.89       | 8    |
| DMU05  | 0.82       | 11   |
| DMU06  | 0.73       | 17   |
| DMU07  | 0.92       | 6    |
| DMU08  | 0.7        | 22   |
| DMU09  | 0.99       | 6    |
| DMU10  | 0.93       | 20   |
| DMU11  | 0.71       | 2    |
| DMU12  | 0.67       | 5    |
| DMU13  | 0.94       | 19   |
| DMU14  | 0.77       | 22   |
| DMU15  | 1          | 4    |
| DMU16  | 0.77       | 12   |
| DMU17  | 1          | 1    |
| DMU18  | 0.77       | 12   |
| DMU19  | 0.76       | 15   |
| DMU20  | 0.72       | 18   |
| DMU21  | 0.96       | 3    |
| DMU22  | 0.69       | 21   |
| DMU23  | 0.76       | 15   |

### Table 3 Demand considered in the mathematical model

| Customer | Demand               |
|----------|----------------------|
| 1        | (150,300,450,600)    |
| 2        | (150,300,450,600)    |
| 3        | (300,600,900,1200)   |
| 4        | (175,350,525,700)    |
| 5        | (200,400,600,800)    |
| 6        | (175,350,525,700)    |
| 7        | (100,200,300,400)    |
| 8        | (325,650,975,1300)   |
| 9        | (250,500,750,1000)   |
| 10       | (200,400,600,800)    |
| 11       | (200,400,600,800)    |
| 12       | (250,500,750,1000)   |

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Mathematical model solving

This section uses various numerical experiments and presents the results related to the mathematical model. In the proposed model, eight potential locations are considered for distributors. Also, the network in question consists of 12 customers whose location is fixed, as previously explained. Expired products are also collected from 7 collection centers. The number and location of the collection centers are not pre-determined, and each has two levels of capacity. A percentage of the collected products is transferred to recycling centers, and the remaining percentage is transferred to 5 disposal centers. The number and centers of disposal are fixed and pre-determined, and also, each center has two levels of capacity. A percentage of the collected products is transferred to recycling centers, and the remaining percentage is transferred to 5 disposal centers. The number and centers of disposal are fixed and pre-determined. Table 3 shows the demand of 11 customers. As can be seen, demands are uncertain and considered as trapezoidal numbers.

Table 4  Computational results for different values of vector $\mathbf{e}$

| Number | Objective function values | Active facilities number | Total established facilities number | Environmental protection costs |
|--------|---------------------------|--------------------------|-----------------------------------|-------------------------------|
|        | Economic objective function (million Rials) | Environmental objective function (million pt) | Recycling centers | Reliable distributors | Unreliable distributors | |
| 1      | 8,362,140,000             | 5023.36                  | 4                                  | 4                             | 4                             | 12 | 8,333,874,332 |
| 2      | 2,501,892,000             | 5072.77                  | 4                                  | 4                             | 4                             | 12 | 2,473,626,332 |
| 3      | 1,260,498,000             | 5122.18                  | 4                                  | 4                             | 4                             | 12 | 1,232,232,332 |
| 4      | 542,499,000               | 5171.59                  | 4                                  | 4                             | 4                             | 12 | 514,233,332 |
| 5      | 147,700,200               | 5221                     | 4                                  | 4                             | 4                             | 12 | 119,434,532   |
| 6      | 28,271,049                | 5270.41                  | 3                                  | 3                             | 3                             | 9  | 5381          |
| 7      | 28,266,507                | 5319.82                  | 3                                  | 3                             | 3                             | 9  | 839           |
| 8      | 28,265,668                | 5369.23                  | 3                                  | 3                             | 3                             | 9  | 0             |

Fig. 7  Relation between the importance of optimality robustness and the number of activated facilities
Economic and environmental objective functions were considered in the primary objective function and a constraint in the augmented $\epsilon$-constraint technique. Afterward, the problem of multi-objective functions for various values of vector $\epsilon$ is solved, and the computational results are shown in Table 4.

What is clear from Table 4 is that the economic and environmental objective functions conflict. It means that when one objective function gets better, the other gets worse values. The decision-maker can choose the most desirable solutions in the optimal set of Pareto solutions. To achieve this goal, various efficient solutions are first generated from the Pareto set of solutions. Then, if the decision-maker does not accept the obtained solutions, the vector $\epsilon$ is corrected. This process is done repeatedly until the most desirable solution is obtained (Pishvaee et al. 2012; Mohseni and Pishvaee 2016). Note that budget constraints and environmental regulations can influence the choice of a decision-maker. Based on the conflict between the objective functions, it can be seen that companies have to pay additional costs for environmental issues. Hence, an indicator called “environmental protection cost” is defined, presented in the eighth column of Table 4. For each efficient solution of the optimal Pareto set, this index is determined by subtracting the economic objective from the best quantity of this function. The cost of environmental protection is vital in two ways. First, firms and managers can use this index to indicate and prove their efforts to improve environmental issues to their stakeholders (for example, government, customers, and local communities). Second, the government can consider this indicator for corporate incentive policies. Moreover, the results display that the first objective function results in a centralized SCN to minimize costs. Rather, the second function tends to decentralize the network to minimize environmental impacts.

Figure 7 shows the number of different facilities concerning the importance of optimality robustness. As clear, the number of facilities increases as the importance of optimality robustness increases. Increasing the number of facilities reduces the difference between two endpoints in the objective function and provides a solution close to the optimal one under different quantities of uncertain parameters.

Figure 8 shows the percentage of discarded and recycled products for different values of the second function. Based on Fig. 8, when the amount of the second objective function takes on worse values, the disposal rate of expired products increases. This means that if more products are disposed, it will have adverse environmental effects.

A comparison between solutions of the deterministic and robust model to evaluate the robustness and utility of solutions corresponding with the robust model. For this purpose, the approach shown in Fig. 9 was designed. As shown in Fig. 9, both deterministic and robust solutions are extracted separately from the models. Moreover, for each uncertain parameter, a random number is generated. Note that to obtain each simulated $a_{real}$ parameter, it is generated as follows:

$$a_{real} = \frac{\xi_1 + \xi_4}{2} + \eta_{real} \left( \xi_4 - \frac{\xi_1 + \xi_4}{2} \right) j \in J$$  \hspace{1cm} (72)
In Eq. (72), $\eta$ is the maximum level of disruption. Note that $\eta$ will be selected between the $[-1, 1]$ interval. In the next step, the solutions are obtained and then the parameters are placed in the simulation model. The compressed formulation of the simulation model is as follows:

$$\text{Min} \text{Obj}_1 = g_{\text{real}} x^* + c_{\text{real}} y^* + \sum_i \theta R_i$$

$$\text{Obj}_2 \leq \varepsilon$$

$$Ay^* + R_i \geq D_{\text{real}} \forall i$$

$$By^* = 0$$

$$Dy^* = 0$$

$$Ny^* \leq Ex^*$$

$$R_i \geq 0 \forall i$$  \hspace{1cm} (73)

The $g_{\text{real}}$, $c_{\text{real}}$, and $D_{\text{real}}$ vectors are related to the simulated values of construction costs, variable costs, and electricity demand, respectively. $\text{Obj}_2$ and $\varepsilon$ also denote the environmental objective function and the vector $\varepsilon$. The vectors $x^*$ and $y^*$ correspond to the binary and continuous variables obtained from the fixed and definite models, respectively. The matrices $A$, $B$, $D$, and $N$ also represent the technical coefficients of the constraints. Moreover, $R_i$ is a decision-making variable that measures the amount of constraint violation, and $\theta$ indicates the amount of the fine.

The simulation process is performed alternately for a certain number of repetitions. Figure 10 indicates the results pertaining to the mean and standard deviation of the objective function values for the performed simulations.

According to the results of Fig. 10, it can be said that when the maximum level of noise is small, the deterministic model and the sustainable model have the same performance. The superiority of the sustainable model raises with the increase in the maximum noise level. The results also show that according to the standard deviation, the
sustainable model has a decisive advantage over the deterministic model. This remarkable result is very valuable in SCM.

Conclusion, managerial insights, and future scopes

With the expansion and intensification of the competitive environment these days, SCM has become one of the fundamental problems facing businesses. In the current paper, a multi-objective model for the sustainable CLSC network design was developed. Also, the performance and efficacy of the presented model were measured using a case study of lead-acid batteries in the automotive industry. The model was two-stage and utilized DEA and RPP approaches. In the first stage, the candidate locations of recycling centers were determined using the DEA method. From the perspective of managers, this stage had two advantages:

The more credible and functional locations for recycling centers were obtained.
The complexity and the size of the mathematical model used in the second stage were reduced by eliminating the inappropriate points of potential locations of recycling centers.

Strategic and tactical decisions in the lead-acid battery CLSC were simultaneously determined in the second stage using the BRPP model. On the other hand, considering strategic and tactical decisions simultaneously resulted in optimal global solutions for these decisions. The proposed model ensured that the resulting configurations for the supply chain would be robust to any parameter noise.

In the following, the problem of sustainable CLSC design and the assumptions considered in modeling were defined. Then, the methodology of the problem was described, and the DEA approach and the required proper criteria for selecting the potential recycling centers were mentioned. Afterward, the mathematical model of the defined problem, including sets, parameters, decision variables, objective functions, and constraints were explained. Because of the uncertainty of the parameters related to demand and costs, the fuzzy sustainable optimization method was employed. Also, an approach based on life cycle evaluation was used to determine the number of environmental parameters and their quantification. Finally, the augmented $\epsilon$-constraint technique was explained to solve the multi-objective model of the problem. Then, selection between the candidate cities to locate recycling centers is done using DEA and based on definition criteria. Then, the mathematical model was coded in GAMS software, and meaningful results were obtained.

The final solutions showed that the first objective function results in a centralized SCN to minimize costs. The other objective function tended to decentralize the network to minimize environmental impacts. Also, the number of different facilities based on the importance of optimality robustness was shown. The results of this research indicated that the number of facilities increases as the importance of optimal sustainability increases. Moreover, increasing the number of facilities reduces the difference between the two endpoints in the objective function and provides a solution for the model that is close to the optimal solution under different values of uncertain parameters. One of the significant results was that the economic and environmental objective functions are in contradiction, which means that when one objective function gets better, the other gets worse values. The decision-maker can choose the most desirable solution in the Pareto optimal set of solutions. Also, the number of different facilities based on the importance of optimality robustness was shown. Disposal percentage of expired products enhanced with taking worse values by the second objective function, which means that if there will be more disposed products, it will have adverse environmental effects. Also, to assess the robustness and desirability of solutions obtained from the robust model, these solutions compared to the ones obtained from the deterministic model. The results from a managerial perspective showed that when the maximum disturbance level is small, the deterministic and robust models have the same performance. Moreover, when the maximum level of noise increases, the superiority of the sustainability model increases. Based on the standard deviation results, the robust model has a considerable and decisive superiority over the
Deterministic model. It should be noted that these results are precious in SCM.

The research findings indicate that the selection of potential locations of distribution centers before optimizing the whole chain plays a significant role in the chain performance and also reduces computational complexity. It is recommended that this procedure be developed to determine the potential locations of other centers. In addition, appropriate and effective criteria should be used to determine the potential locations of each center to improve the whole performance network.

Lack of access to information about the transportation of materials and goods among different centers, uncertainty in the quality status of returned products, and lack of coordination within and among facilities are the main limitations of this research.

This study presented a new work in a sustainable CLSC network design. For future research, the researcher can focus on the following cases:

- In the present study, the economic, technical, and geographical criteria were used to determine the potential location of distributors. For future research, depending on the circumstances of the problem, other criteria such as time, reliability, quality, etc., can be employed.
- The development of sustainable optimization models representing the conflict of interest among the various facilities of the acid battery supply chain network can be considered by researchers for future research.
- Another valuable future research direction is to utilize the resilience strategies in the model.
- Pricing strategy of sustainable CLSC can be considered by researchers for future research.
- In this study, the different modes of transportation of materials and goods among various centers were not considered. For future research, addressing this issue will yield significant results.
- Finally, risk measures can be incorporate in the sustainable CLSC of lead-acid battery.

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Mohammad Amirkhan: Supervision, investigation, validation, and writing-reviewing and editing.
Hossein Amoozad-Khalili: Conceptualization, methodology, writing-original draft preparation, and visualization.
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