SEMI-EMPIRICAL WHITE DWARF INITIAL–FINAL MASS RELATIONSHIPS: A THOROUGH ANALYSIS OF SYSTEMATIC UNCERTAINTIES DUE TO STELLAR EVOLUTION MODELS

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ABSTRACT

Using the most recent results about white dwarfs (WDs) in ten open clusters, we revisit semiempirical estimates of the initial–final mass relation (IFMR) in star clusters, with emphasis on the use of stellar evolution models. We discuss the influence of these models on each step of the derivation. One intention of our work is to use consistent sets of calculations both for the isochrones and the WD cooling tracks. The second one is to derive the range of systematic errors arising from stellar evolution theory. This is achieved by using different sources for the stellar models and by varying physical assumptions and input data. We find that systematic errors, including the determination of the cluster age, are dominating the initial mass values, while observational uncertainties influence the final mass primarily. After having determined the systematic errors, the initial–final mass relation allows us finally to draw conclusions about the physics of the stellar models, in particular about convective overshooting.

Key words: stars: AGB and post-AGB – stars: evolution – stars: individual (Sirius) – stars: mass loss – white dwarfs – open clusters and associations: individual (Pleiades, Hyades, Praesepe, NGC 1039, NGC 2168, NGC 2099, NGC 2516, NGC 3532, NGC 6819, NGC 7789)

Online-only material: color figures

1. INTRODUCTION

The initial–final mass relation (IFMR) for low- and intermediate-mass stars is an important input for many astrophysical problems. Given the initial main-sequence (MS) mass of a formed star, the IFMR provides the expected mass during its final white dwarf (WD) cooling stage, and is an estimate of the total mass lost by the star during its evolutionary history. A correct assessment of the IFMR is very important when predicting, for example, the chemical evolution history of stellar populations, or their mass-to-light ratio (defined as the ratio of the mass of evolving stars plus remnants—WDs, neutron stars and black holes—to the integrated luminosity of the population), and in general for any problem related to the origin and evolution of gas in stellar populations. It is also a crucial item when using the WD luminosity functions to determine the age of stellar populations (see, e.g., Prada Moroni & Straniero 2007). It also provides an empirical test concerning the upper initial mass value \( M_{\text{up}} \) of stars developing degenerate carbon–oxygen (CO) cores, and thus a lower limit of the mass range of core-collapse supernovae. For a thorough review of the history of the IFMR, we recommend Weidemann (2000).

Theoretical estimates of the IFMR are still prone to large uncertainties. This is due to our poor knowledge of the efficiency of mass-loss processes for low- and intermediate-mass stars but also to uncertainties in the predicted size of CO cores during the Asymptotic Giant Branch (AGB) evolution, resulting mainly from uncertainties in the treatment of the thermal pulse phase, with the associated third dredge-up, hot bottom burning (see, e.g., Iben & Renzini 1983) and also the treatment of rotation (Domínguez et al. 1996). In fact, the recognition that intermediate-mass stars may lose the largest part of their mass during the AGB phase stems from the early IFMRs (Koester & Weidemann 1980).

Starting with the pioneering work by Weidemann (1977), (semi-)empirical routes have been followed to establish the IFMR independently of theoretical modeling the AGB phase, leading to a series of global determinations of the IFMR by, e.g., Weidemann & Koester (1983), Weidemann (1987), Weidemann (2000), Ferrario et al. (2005), and many others.

Methods to estimate the IFMR that make use of the smallest number of assumptions are probably those based on the use of WDs harbored in star clusters (but see also Catalán et al. 2008b, for a very recent study of the IFMR based on WDs in common proper motion pairs). Thanks to a large amount of observational effort by various authors (see, e.g., Koester & Reimers 1993, 1996; Claver et al. 2001; Dobbie et al. 2004; Kalirai et al. 2005; Barstow et al. 2005; Dobbie et al. 2006a) the recent study of the IFMR by Ferrario et al. (2005) makes use of 40 DA (hydrogen-atmosphere) WDs belonging to seven open clusters. Even more recently Kalirai et al. (2008) have added a few more data points below the low-mass end (initial masses below \( \sim 2.0 M_\odot \)) of the Ferrario et al. (2005) sample, by including WDs detected in the old open clusters NGC 6819, NGC 7789, and NGC 6791, while Rubin et al. (2008) have provided WD data for the \( \sim 200 \) Myr old cluster NGC 1039. Therefore, the observational material is increasingly covering not only more objects, but also a larger range of cluster—and thus WD—ages and metallicities, such that even differential investigations may become feasible in the near future. At the same time, high-resolution, high signal-to-noise spectra, and improved spectral analysis methods reduce the intrinsic errors in the determination of the WD masses. It is, therefore, time to investigate the systematic errors arising from stellar evolution theory and models that enter...
these semiempirical methods. This is the main object of this work.

IFMR determinations based on cluster WDs work as follows: after detection, spectroscopic estimates of the WD surface gravity $g$ and $T_{\text{eff}}$ are needed. For a fixed $g$–$T_{\text{eff}}$ pair, interpolation within a grid of theoretical WD models covering a range of masses provides the mass $M_t$ and the age $t_{\text{cool}}$ of the WD. Independent theoretical isochrone fits to the turn-off (TO) luminosity in the cluster color-magnitude diagram (CMD) provide an estimate of the cluster age $t_{\text{clus}}$. The difference $t_{\text{clus}} - t_{\text{cool}}$ is equal to the lifetime $t_{\text{prog}}$ of the WD progenitor from the MS until the start of the WD cooling. Making use of mass–lifetime relationships from theoretical stellar evolution models, the initial progenitor mass $M_i$ is immediately obtained from $t_{\text{prog}}$.

It is clear how and where theoretical models play an important role in this procedure. WD cooling models plus progenitor evolutionary tracks and isochrones are needed to determine the various $t_{\text{clus}}$, $t_{\text{cool}}$, and $t_{\text{prog}}$. Given the very short timescale of the AGB evolution (see also the following section for a short discussion on this issue), AGB modeling and its related uncertainties do not play a role in these types of analyses, but uncertainties entering the previous evolutionary phases and the WD cooling stage can affect the derived IFMR. Another important point is the issue of consistency. First of all, the isochrones employed to determine $t_{\text{clus}}$ should be computed starting from the same evolutionary tracks adopted to determine $M_i$ from the estimated value of $t_{\text{prog}}$. Consistency is not usually achieved in existing estimates of the IFMR. Even in the most recent studies of the IFMR, in several cases cluster ages are obtained from earlier studies that have employed models different from the ones used to estimate $M_i$. Secondly, all cluster ages should be determined following the same method, and—most importantly—employing the same set of isochrones. Instead, results from different sources for the various clusters are used.

As an example, we summarize briefly the work by Ferrario et al. (2005). The Hyades age has been taken from Perryman et al. (1998), who had calculated their own isochrones with an updated version of the CESAM code (Morel 1997). In case of M35, the age quoted in Table 1 of von Hippel (2005) was employed; this age was derived summarizing the results of three different previous works by other authors. The same is true for the Pleiades, where the assumed cluster age comes from a synthesis of the results of several groups, obtained with different generations of stellar evolution models. The isochrones by Schaller et al. (1992) led Meynet et al. (1993) to an age of 141 Myrs for NGC 2516, which was quoted by Ferrario et al. (2005) as 158 Myr. The remaining clusters in that sample also have ages from various isochrone sources, which span more than a decade of progress in stellar evolution modeling, most notably the significant improvements in opacities and the equation of state. The cooling tracks came from Fontaine et al. (2001), and the $t_{\text{prog}} - M_i$ relation from Girardi et al. (2002), taken from the tracks with the metallicity closest to the adopted one, but without interpolation between compositions. It is obvious that there is a certain degree of arbitrariness in the use of stellar evolution theory. This inherent inconsistncity is probably the result of the fact that most works on the IFMR are more focused on acquiring new and improved data with an emphasis on the internal errors of their derived $T_{\text{eff}}$ and $M_i$.

The aim of the present work is instead to concentrate on the stellar evolution models needed for deriving the IFMR. It is obvious from the previous discussion that a consistent use of stellar models and procedures about how to employ them is required to achieve a higher accuracy. We, therefore, will make use of calculations of stellar models that cover all phases from the MS to the WD cooling phase. They will be used for the appropriate metallicity of each cluster in order to have at hand accurate and homogeneous isochrones and progenitor lifetimes. This will provide an IFMR with the highest internal consistency achieved so far.

To get a measure of the errors introduced by using different codes and input physics, we also repeat the procedure by using alternative sets of models for the WD cooling and/or progenitor and cluster ages. While this gives a first indication about the size of the systematic errors resulting from different stellar model sources, we finally quantify the influence of various physical effects important for both the isochrone and WD cooling calculations. Using the Monte Carlo (MC) methods, we determine the total extent of the systematic errors. This allows us also to verify which features of the IFMR are robust and which ones depend on present model uncertainties.

Using the robust results, we can finally exclude some of the stellar models because they lead to internal contradictions. One of our conclusions is that models without overshooting from convective cores on the MS do not yield self-consistent results. On the other hand, the parameterization of overshooting during the AGB phase, which influences the growth of the carbon–oxygen core, cannot be the same in all convective layers as it is at the convective core during the MS phase, because it produces final masses inconsistent with observations. We, thus, can also reduce uncertainties in the physics of stellar interiors.

The structure of the paper is as follows. In Section 2, we outline the procedure to obtain an IFMR, summarize the observational input data we have used for the WDs and the parent clusters, and present the set of the stellar models used for determining the reference IFMR as well as for the evaluation of the systematics. Section 3 then concentrates on the estimates of the global error bars, while Section 4 contains the results and a comparison with theoretical IFMRs. A summary and conclusions follow in Section 5.

2. IFMR DETERMINATION

As discussed in the introduction, the method we follow to determine the IFMR makes use of WDs in star clusters. Observations have to provide us with the following.

1. Surface gravity $g$ and effective temperature $T_{\text{eff}}$ of individual WDs.
2. Observed CMDs, estimates of $[\text{Fe/H}]$ and $E(B - V)$ for the clusters harboring the observed WDs.

Theory has to provide:

1. Sets of stellar evolutionary tracks, plus isochrones—transformed to the CMD—for a range of age and $[\text{Fe/H}]$ values, to determine—in conjunction with theoretical, empirical or semiempirical distance determination methods—the cluster ages, plus stellar lifetimes.
2. WD cooling tracks covering a range of masses, to provide the cooling ages of the observed WDs.

Concerning the cooling tracks, the metallicity of the WD models is irrelevant, as the envelopes are of pure H (He and metals have settled below the envelope due to diffusion), and the previous core composition may influence only the chemical profile of the core. This will be investigated in a broader context later in this study.
Table 1
Adopted Data for Our WD Sample, Taken from the Literature (see Section 2.1)

| System | WD Name | Teff (K) | \sigma(T_{\text{eff}}) | \log(g) (cm s^{-2}) | \sigma(\log(g)) | ID |
|--------|---------|----------|------------------------|---------------------|-----------------|----|
| Pleiades | LB1497 | 32841 | 170 | 8.630 | 0.040 | 1 |
| Hyades | 0352+098 | 16630 | 350 | 8.160 | 0.050 | 2 |
| | 0406+169 | 15180 | 350 | 8.300 | 0.050 | 3 |
| | 0421+162 | 19570 | 350 | 8.090 | 0.050 | 4 |
| | 0425+168 | 24420 | 350 | 8.110 | 0.050 | 5 |
| | 0431+125 | 21340 | 350 | 8.040 | 0.050 | 6 |
| | 0438+108 | 27390 | 350 | 8.070 | 0.050 | 7 |
| | 0437+138 | 15340 | 350 | 8.260 | 0.050 | 8 |
| Praesepe | 0836+197 | 21950 | 350 | 8.450 | 0.170 | 9 |
| | 0836+201 | 16630 | 350 | 8.010 | 0.050 | 10 |
| | 0836+199 | 14060 | 630 | 8.340 | 0.060 | 11 |
| | 0837+185 | 17100 | 350 | 8.320 | 0.050 | 12 |
| | 0840+200 | 14180 | 350 | 8.230 | 0.050 | 13 |
| | 0843+184 | 14498 | 200 | 8.220 | 0.040 | 14 |
| NGC 2516 | 2516−1 | 28170 | 310 | 8.480 | 0.170 | 20 |
| | 2516−2 | 17100 | 350 | 8.320 | 0.050 | 21 |
| | 2516−3 | 14060 | 350 | 8.340 | 0.060 | 22 |
| | 2516−5 | 14180 | 350 | 8.230 | 0.050 | 23 |
| NGC 3532 | 3532−8 | 23370 | 1065 | 7.713 | 0.148 | 24 |
| | 3532−9 | 29800 | 616 | 7.827 | 0.229 | 25 |
| | 3532−10 | 19270 | 974 | 8.143 | 0.266 | 26 |
| NGC 2099 (M37) | 2099−WD2 | 19900 | 900 | 8.110 | 0.160 | 27 |
| | 2099−WD3 | 18300 | 900 | 8.230 | 0.210 | 28 |
| | 2099−WD4 | 16900 | 1100 | 8.400 | 0.260 | 29 |
| | 2099−WD5 | 18300 | 1000 | 8.330 | 0.220 | 30 |
| | 2099−WD7 | 17800 | 1400 | 8.420 | 0.320 | 31 |
| | 2099−WD9 | 15300 | 400 | 8.000 | 0.080 | 32 |
| | 2099−WD10 | 19300 | 400 | 8.200 | 0.070 | 33 |
| | 2099−WD11 | 23000 | 600 | 8.540 | 0.100 | 34 |
| | 2099−WD12 | 13300 | 1000 | 7.910 | 0.120 | 35 |
| | 2099−WD13 | 18200 | 400 | 8.270 | 0.080 | 36 |
| | 2099−WD14 | 11400 | 200 | 7.730 | 0.160 | 37 |
| | 2099−WD16 | 13100 | 500 | 8.340 | 0.100 | 38 |
| NGC 2168 (M35) | NGC 2168 LAWDS1 | 32400 | 512 | 8.400 | 0.125 | 39 |
| | NGC 2168 LAWDS 2 | 32700 | 603 | 8.340 | 0.080 | 40 |
| | NGC 2168 LAWDS 5 | 52600 | 1160 | 8.240 | 0.095 | 41 |
| | NGC 2168 LAWDS 6 | 55200 | 897 | 8.280 | 0.065 | 42 |
| | NGC 2168 LAWDS 15 | 29900 | 318 | 8.480 | 0.060 | 43 |
| | NGC 2168 LAWDS 27 | 30500 | 397 | 8.520 | 0.061 | 44 |
| Sirius | Sirius B | 25193 | 37 | 8.566 | 0.010 | 45 |
| NGC 7789 | NGC 7789−5 | 31213 | 238 | 7.904 | 0.054 | 46 |
| | NGC 7789−8 | 24319 | 447 | 8.004 | 0.066 | 47 |
| | NGC 7789−9 | 20939 | 727 | 7.838 | 0.115 | 48 |
| NGC 6819 | NGC 6819−6 | 21094 | 252 | 7.832 | 0.036 | 49 |
| | NGC 6819−7 | 15971 | 197 | 7.908 | 0.038 | 50 |
| NGC 1039 | NGC 1039 LAWDS 15 | 25900 | 1100 | 8.380 | 0.120 | 51 |
| | NGC 1039 LAWDS 17 | 24700 | 1100 | 8.440 | 0.120 | 52 |
| | NGC 1039 LAWDS S2 | 31200 | 1100 | 8.320 | 0.120 | 53 |

The method works as already summarized in the introduction; for each cluster WD with an estimated pair of \( g \) and \( T_{\text{eff}} \) values, linear interpolation within a grid of theoretical WD models provides the mass \( M_f \) (mainly fixed by the value of \( g \)) and the age \( t_{\text{cool}} \) (mostly determined by the value of \( T_{\text{eff}} \)) of the WD. Isochrone fits to the TO region of the observed \( V - (B-V) \) CMD determine the cluster age \( t_{\text{clus}} \). If the actual cluster [Fe/H] does not correspond to any of the values in the isochrone grid used, we determined the age with the two closest grid values bracketing the cluster [Fe/H]. The final cluster age is then obtained by linear interpolation between these two values. The difference \( t_{\text{clus}} - t_{\text{cool}} \) represents the lifetime \( t_{\text{prog}} \) of the WD progenitor, from the MS until the start of the WD cooling. Theoretical mass–lifetime relationships for the cluster metallicity, obtained from the same evolutionary calculations, finally provide the initial progenitor mass \( M_i \). We employ a
in cooling ages at the observed $T_{\text{eff}}$ is well below the error bars we derive from our analysis, as reported in Table 5. This implies that the analysis of WD No. 8 with DB models would provide mass and cooling age compatible (within the 1σ errors) with the results obtained from the DA models employed in this analysis. The reason is that the mass–radius relation is not strongly affected by the atmospheric composition, and also at the relatively high $T_{\text{eff}}$ of this object, the evolutionary timescales of DA and DB models are similar. Moreover, given that this WD does not have a pure He envelope, differences will be even more negligible.

In addition to a large sample of cluster WDs, we also include Sirius B in our analysis. The data for Sirius B (also included in Ferrario et al. 2005) in Table 1 are from the recent determination by Barstow et al. (2005). From the estimated mass and radius of the primary MS star (Sirius A) one can derive its age by comparing with stellar evolutionary tracks in the mass–radius plane. We assumed $[\text{Fe/H}] = 0.0$ for Sirius A, following Liebert et al. (2005), with which we associated an 0.10 dex $1\sigma$ error. The age of the star is obtained from models bracketing the metallicity of the system (if different from the values provided by the employed isochrone grid). Linear interpolation in $[\text{Fe/H}]$ between the two bracketing results gives then the age for the appropriate $[\text{Fe/H}]$. The age of Sirius A provides the age of the system $t_{\text{sys}}$. Sirius B cooling time $t_{\text{cool}}$ and mass are determined from the spectroscopic $T_{\text{eff}}$ and $g$ estimates, as described before. The difference $t_{\text{sys}}-t_{\text{cool}}$ provides the age of the Sirius B progenitor, hence $M_1$ after using a grid of theoretical lifetimes for solar metallicity.

### 2.2. Cluster Data

The sources for the cluster $[\text{Fe/H}]$, $E(B-V)$, and their CMDs used for age determinations, are listed in Table 2.

Values for $[\text{Fe/H}]$ have been taken mainly from the compilation by Gratton (2000), who presents a collection of $[\text{Fe/H}]$ estimates for a large sample of open clusters, recalibrated against results from high-resolution spectroscopy. Alternative estimates have been adopted for NGC 2099, which is not in the Gratton (2000) compilation, NGC 6819 and NGC 1039, for which recent high-resolution spectroscopic measurements have appeared in the literature (see Table 2).

Reddenings are taken from the compilation by Loktin et al. (2001). These are weighted averages of estimates obtained with various methods, all based essentially on color–color relationships. For NGC 6819, we employed a very recent $E(B-V)$ determination based on spectroscopy (Bragaglia et al. 2001), and for the Pleiades reddenings to individual stars have been adopted, as discussed in Percival et al. (2003). The case of NGC 7789 is discussed below.

In case of the Hyades and Praesepe, we have assumed—as usual—zero reddening. Throughout this paper, we use $A_V = 3.2E(B-V)$. We adopted a 0.02 mag uncertainty in $E(B-V)$ when no error is quoted by the source of the data.

To estimate the age of the whole cluster sample, we have followed a two-step procedure where we tried to minimize the inputs from theoretical isochrones. First, cluster distance moduli have been determined using a fully empirical MS fitting to the $V-(B-V)$ CMDs that makes use of the sample of field dwarf with accurate Hipparcos parallaxes and $[\text{Fe/H}]$ presented by Percival et al. (2003). Details about the method can be found in the same paper.

Here, we just recall that for each cluster an MS fiducial line has been derived by plotting color histograms in $V$-magnitude
bins, and considering the mode of the resulting distribution. In this way, one minimizes the impact of unresolved binaries. The resultant points are fitted to a cubic function that provides a good photometry in the MS magnitude range of our template field dwarf sample. The distance modulus of this cluster has been obtained by minimizing the impact of unresolved binaries. For objects like NGC 2168 or the Pleiades, with an extremely well-defined and thin (in color) MS, the separation of the binary sequence is very evident, and a cubic fit directly to the single-star MS is performed in order to derive the fiducial line.

In case of NGC 2352, we could not find available data with good photometry in the MS magnitude range of our template field dwarf sample. The distance modulus of this cluster has been obtained by comparison with Praesepe that has the same [Fe/H] within errors (and also a comparable age). The larger (as compared to our other estimates) error bar on its distance (hence on its age) reflects this less direct determination of the distance modulus.

As a final remark, we wish to note that, as discussed, e.g., in Percival et al. (2003), a simultaneous MS fitting to both VI and BV data can provide both the cluster distance modulus and reddening. This procedure has been applied (using the same field dwarf sample that has homogeneous BV1 photometry) to NGC 7789, employing additionally the VI data by Gim et al. (1998). Our adopted $E(B-V)$ for NGC 7789, used also in Percival & Salaris (2003), has been determined in this way. This method to determine the reddening could not, however, be applied to the whole cluster sample because of the lack of suitable BV and VI photometry for all clusters.

For a few clusters, we have used distances already published in the literature, obtained with the same technique and the same Hipparcos field dwarf sample. More in detail, for the Hyades, we have used the result by Percival et al. (2003) that is in perfect agreement with the Hipparcos distance by Perryman et al. (1998). For the Pleiades, we have used the distance modulus determined by Percival et al. (2005), while for NGC 7789, we have employed the result by Percival & Salaris (2003). The cluster NGC 6791, which is also part of the investigation by Kalirai et al. (2008) was not considered in our analysis because its metallicity [Fe/H] $\sim +0.45$ (Gratton et al. 2006) is higher than the upper [Fe/H] range of the field dwarf sample ([Fe/H] = $+0.30$), and therefore we could not apply this empirical method to determine its distance. Since we did not want to introduce a second, inconsistent method, we disregarded this cluster.

Second, isochrone fits to the TO region (in the $V-(B-V)$ plane), employing the distance moduli derived in the previous step, determine the cluster age.

The final ages for various choices of the isochrone grid, as well as the adopted cluster [Fe/H] and $E(B-V)$ values, are reported in Table 3. Age uncertainties are due to both distance (which is in turn affected by the assumed [Fe/H] and $E(B-V)$ values and their errors) and [Fe/H] uncertainties. Figure 1 compares the ages obtained with the BaSTI overshooting isochrones (that throughout the paper will be considered as our reference set of models to determine the IFMR; see Section 2.3) with the ages adopted by Ferrario et al. (2005) for the clusters.

### Table 2

| Name       | [Fe/H]       | $E(B-V)$ | Age(Myr)$^a$ | Age(Myr)$^b$ | Age(Myr)$^c$ |
|------------|--------------|----------|--------------|--------------|--------------|
| Pleiades   | $-0.03 \pm 0.06$ | $0.04$   | $5.74 \pm 0.05$ | $50 \pm 10$  | $85 \pm 10$  | $95 \pm 10$  |
| Hyades     | $0.13 \pm 0.06$ | $0.0$    | $3.33 \pm 0.01$ | $440 \pm 40$ | $640 \pm 40$ | $630 \pm 40$ |
| Praesepe   | $0.04 \pm 0.06$ | $0.0$    | $6.24 \pm 0.04$ | $450 \pm 40$ | $650 \pm 50$ | $640 \pm 40$ |
| NGC 2516   | $-0.16 \pm 0.11$ | $0.10$   | $8.27 \pm 0.07$ | $85 \pm 45$  | $130 \pm 50$ | $140 \pm 50$ |
| NGC 3532   | $0.02 \pm 0.06$ | $0.04$   | $8.40 \pm 0.25$ | $300 \pm 100$| $400 \pm 100$| $400 \pm 100$|
| NGC 2099 (M37)$^d$ | $0.09 \pm 0.15$ | $0.30$   | $12.00 \pm 0.12$| $220 \pm 30$ | $320 \pm 30$ | $320 \pm 30$ |
| NGC 2168 (M35) | $-0.19 \pm 0.15$ | $0.26$   | $11.40 \pm 0.12$| $350 \pm 40$ | $550 \pm 50$ | $540 \pm 50$ |
| NGC 7789   | $0.13 \pm 0.08$ | $0.29$   | $12.12 \pm 0.12$| $1100 \pm 100$| $1500 \pm 100$| $1600 \pm 100$|
| NGC 6819   | $0.09 \pm 0.03$ | $0.14 \pm 0.04$ | $12.60 \pm 0.20$| $1500 \pm 200$| $2000 \pm 200$| $2000 \pm 200$|
| NGC 1039   | $0.07 \pm 0.04$ | $0.07$   | $8.80 \pm 0.15$ | $150 \pm 30$ | $250 \pm 25$ | $250 \pm 25$ |
| Sirius A   | $0.0$         | ...      | $181 \pm 30$   | $170 \pm 50$ | $170 \pm 25$ |

Notes:

$^a$ Ages from BaSTI isochrones without overshooting.

$^b$ Ages from BaSTI isochrones with overshooting.

$^c$ Ages from Padua isochrones with overshooting.

$^d$ For NGC 2099 a second alternative [Fe/H] value was tested (see text for details).

### Table 3

| Name       | [Fe/H]       | $E(B-V)$ | $(m-M)_V$ | Age(Myr)$^a$ | Age(Myr)$^b$ | Age(Myr)$^c$ |
|------------|--------------|----------|-----------|--------------|--------------|--------------|
| Pleiades   | $-0.03 \pm 0.06$ | $0.04$   | $5.74 \pm 0.05$ | $50 \pm 10$  | $85 \pm 10$  | $95 \pm 10$  |
| Hyades     | $0.13 \pm 0.06$ | $0.0$    | $3.33 \pm 0.01$ | $440 \pm 40$ | $640 \pm 40$ | $630 \pm 40$ |
| Praesepe   | $0.04 \pm 0.06$ | $0.0$    | $6.24 \pm 0.04$ | $450 \pm 40$ | $650 \pm 50$ | $640 \pm 40$ |
| NGC 2516   | $-0.16 \pm 0.11$ | $0.10$   | $8.27 \pm 0.07$ | $85 \pm 45$  | $130 \pm 50$ | $140 \pm 50$ |
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| NGC 6819   | $0.09 \pm 0.03$ | $0.14 \pm 0.04$ | $12.60 \pm 0.20$| $1500 \pm 200$| $2000 \pm 200$| $2000 \pm 200$|
| NGC 1039   | $0.07 \pm 0.04$ | $0.07$   | $8.80 \pm 0.15$ | $150 \pm 30$ | $250 \pm 25$ | $250 \pm 25$ |
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$^c$ Ages from Padua isochrones with overshooting.

$^d$ For NGC 2099 a second alternative [Fe/H] value was tested (see text for details).
The open circle shows the results for Sirius A. Obviously, at this time, the uncertainties in the age of 550 Myr for NGC 2099, in close agreement with Ferrario (2005) and discussed in Section 2.4 (see Table 3), we derive an lower metallicity and reddening as suggested by Kalirai et al. age (320 Myr as compared to 520 Myr). However, if we adopt and the errors are those given by Ferrario et al. (2005) or as listed from Table 3. implicitly assumed in Ferrario et al. (2005)—who adopt the age of our derived distance modulus is 0.4 magnitudes larger than using different theoretical isochrones, which constitutes our first cluster parameters dominate the determination over those from in common (plus Sirius A). In spite of the dishomogeneity in terms of methods, data and isochrones employed, the two sets of ages compare well, within the respective error bars. The only exception occurs for NGC 2099 (M37), for which the sets of ages compare well, within the respective error bars. We refer the reader to the original papers quoted below for details of the models and calculations.

2.3. Models

Our reference IFMR is defined by the use of the following set of models. We refer the reader to the original papers quoted below for details of the models and calculations.

1. Pietrinferni et al. (2004) models from the MS to the AGB with the inclusion of core overshooting during the central H-burning phase (hereafter BaSTI models with overshooting), to estimate in a consistent way both the cluster and progenitor models. Effect of overshooting

2. Salaris et al. (2000) WD cooling models (hereafter S00 WD models) to estimate the cooling time. The code employed to compute these WD models is the same as for the progenitors. Some major sources of input physics in the WD models (see S00) are different from what has been employed in the BaSTI models, most notably equation of state and low-temperature opacities. This is somewhat unavoidable, given the different model grids.

3. Different WD model grids. We redetermine the IFMR, employing Girardi et al. (2000) models from the MS to the AGB (hereafter Padua models; they also include core overshooting but with a different formalism compared to BaSTI), keeping the WD models unchanged (S00). Error bars include all uncertainty sources not only different codes, but also—in an unsystematic way—variations of the physics employed and of the WD chemical stratification.

4. Systematic variation in WD input physics and chemical profiles. We redetermine the IFMR, employing the LPCODE WD models, by varying the CO-core stratification, H-envelope thickness, electron conduction opacities, and neutrino energy loss rates. In this case, the progenitor models are unchanged throughout (BaSTI with overshooting).

2.4. The Reference IFMR

We present in this section our reference IFMR, while we defer to subsequent sections the discussion of the uncertainties involved in the IFMR determination. We use the S00 WD models to derive the WD (final) masses and cooling ages. Using our reference cluster ages (fifth column in Table 3) and the BaSTI models with overshooting, we construct the IFMR by deriving the progenitor (initial) masses. Figure 2 displays this reference semiempirical IFMR. Error bars include all uncertainty sources we consider. We defer a detailed discussion to Sections 3 and 4, but note here that the most relevant sources of uncertainty are due to the following effects: (1) errors in the WD $T_{\text{eff}}$ and $g$ values; (2) uncertainties in the cluster [Fe/H]; these affect the age through their influence on the derived cluster distance (mainly)
and on the isochrones to be used for the age determination; (3) errors in the reddening $E(B-V)$, which influence the age determination through the effect on the cluster distance. In case of one star (WD No. 38 in NGC 2099), the progenitor age results to be negative. We did not consider this object in the analysis of the IFMR.

We have performed at this stage a simple check of our reference IFMR, comparing the distribution of $M_i$ and $M_f$ values with Ferrario et al. (2005)—disregarding the attached error bars—by means of a bi-dimensional Kolmogorov–Smirnov (KS) test (Press et al. 1992). We considered only clusters (plus Sirius in common) between the two studies. As customary, we accept the existence of a significant difference between the two IFMRs if the probability $P$ that the two samples of $(M_i, M_f)$ pairs were drawn from different distributions was higher than 95%. We obtain $P$ values smaller than 50%, highlighting the statistical agreement between our reference IFMR and Ferrario et al. (2005) results for the clusters (plus Sirius B) in common.

We have also performed analytical fits to the data. In doing this, we have left out the data from NGC 2099, because our results for this cluster show some anomalies, e.g., a progenitor with negative age (WD No. 38 mentioned above), a 0.45 $M_\odot$ WD with a progenitor mass above 8 $M_\odot$ (WD No. 37), and some other issues to be discussed later in the paper.

In Figure 2, we show two analytic fits to the data. The first one, linear, gives

$$M_f = 0.084 M_i + 0.466$$

(1)

and a reduced chi-squared $\chi^2_r = 3.7$. Approximate values for the 1σ rms dispersion about this relation are: 0.075 $M_\odot$ below 2 $M_\odot$, 0.12 $M_\odot$ between 2.7 and 4 $M_\odot$, 0.09 $M_\odot$ between 4 and 6 $M_\odot$, and then it increases almost linearly up to 0.20 $M_\odot$ at around 8.5 $M_\odot$.

Guided by theoretical models, which show a break in the slope of the IFMR at around 4 $M_\odot$ (see Figure 3), we have also performed a piecewise linear fit with a pivot point at $M_i = 4 M_\odot$ that yields

$$M_f = \begin{cases} 0.134 M_i + 0.331 & 1.7 M_\odot \leq M_i \leq 4 M_\odot \\ 0.047 M_i + 0.679 & 4 M_\odot \leq M_i \end{cases}$$

(2)

This fit gives $\chi^2_r = 2.7$ at the expense of introducing only one more free parameter (we force the fit to be continuous at the pivot point). In this case, the dispersion is reduced to 0.05 $M_\odot$ below 2 $M_\odot$ and to 0.09 $M_\odot$ above 6 $M_\odot$, while it remains basically unchanged anywhere else. The reader should keep in mind that these cluster data do not constrain the IFMR at masses lower than about 1.7 $M_\odot$. As a consequence, the present analytic relations, particularly the piecewise linear fit, should not be extrapolated toward lower initial masses.

We could attempt more complicated fits to the data, but in our opinion the uncertainties in mass determinations, and possibly the existence of an intrinsic spread in the IFMR to be discussed below, render such efforts probably unnecessary. In fact, we have found that polynomial fits beyond second order do not produce any relevant improvement in the goodness of fit.

As an additional check, we performed a direct comparison of our fits with the polynomial relationship from Ferrario et al. (2005) that was derived by combining an assumed star formation rate and initial mass function for the Galactic Disk, with the observed field WD mass distribution. For masses between 2.5 and 6.5 $M_\odot$, the range of initial masses covered in their study—at a given $M_i$, differences in the $M_f$ values are less than 0.04 $M_\odot$, well below the dispersions given above.

Another point, which we wish to address, is the presence of a possible intrinsic spread in the reference IFMR, as noted by Reid (1996) in an analysis of Praesepe WD population. With intrinsic spread, we mean a signature of differential mass loss among stars with the same mass and same initial chemical composition. Catalán et al. (2008a) have investigated very recently the spread around their mean semiempirical IFMR (determined from cluster WDs and WDs in common proper motion pairs) and found no clear cut indication that is either due to a spread in mass loss or to some other individual stellar properties (e.g., the thickness of the envelope layers) that vary between objects.

To investigate this issue with our data, we made the following test. We considered objects in a single cluster, namely Praesepe, with $M_i$ values in agreement within their respective 1σ error bars. There are eight stars with $M_i$ between 3.045 and 3.543 $M_\odot$, satisfying this constraint, and we assume that—within the present error bars—they share the same $M_i$, equal
Figure 3. Inferred and theoretical IFMRs. Top panel: results for models and isochrones with core overshooting. Solid lines correspond to theoretical IFMRs derived from the BaSTI models (thick lines—for \([\text{Fe}/\text{H}] = 0.06\)) and LPCODE models (thin line—for \([\text{Fe}/\text{H}] = 0.06\)). The dotted thick line shows the \(M_i - M_{\text{c1TP}}\) relation for the BaSTI models, and the dashed thin line shows the counterpart from LPCODE models. Bottom panel: results corresponding to models and isochrones without core overshooting. In this case only, the theoretical results for the BaSTI models are shown.

(A color version of this figure is available in the online journal.)

to the average value \(\langle M_i\rangle = 3.207 \, M_\odot\). For these objects, the average WD mass is \(\langle M_i\rangle = 0.769 \, M_\odot\) with 1σ rms equal to 0.045 \(M_\odot\). To test whether this observed dispersion in \(M_i\) is due exclusively to the errors in the \(M_i\) estimates, we built a synthetic sample by drawing randomly 10,000 \(M_i\) values for each of the eight objects, according to a Gaussian distribution centered on \(\langle M_i\rangle = 0.769 \, M_\odot\), with a 1σ spread given by their individual error bars. The synthetic distribution has obviously \(\langle M_i\rangle = 0.769 \, M_\odot\), but a 1σ rms equal only to 0.028 \(M_\odot\). We compared statistically the σ values of the two distributions by means of an F-test that returned a probability of \(P = 96.3\%\) that σ of the synthetic sample is different from (smaller than) the observed one. By fixing, as customary, a threshold of \(P = 95\%\) to accept the existence of a real difference between the two σ values, our simple test seems to point to the existence of a spread in the IFMR, at least in this cluster. Adding five more objects in the Hyades and in NGC 3532 (the [Fe/H] estimates for these two clusters plus Praesepe span a range of only \(\sim 0.10\) dex, and overlap within their associated \(\sim 2\sigma\) error bars, see Table 3), with initial masses within the same overlapping \(M_i\) range, and repeating the previous analysis, does not change this conclusion. In fact, for this enlarged sample, \(\langle M_i\rangle = 0.729 \, M_\odot\), with a 1σ rms equal to 0.10 \(M_\odot\). The probability that the corresponding synthetic sample—constructed in the same way as for the eight Hyades WDs—has a different σ than observed is \(P = 96.9\%\).

However, this evidence for an intrinsic spread (i.e., due to a significantly varying mass loss at a fixed mass and chemical composition) in the IFMR is weakened if we take into account theoretical expectations for near-solar metallicities, displayed in Figure 3. Although the \(M_i\) values estimated for these 13 WDs (or just the eight Praesepe objects discussed above) overlap within the 1σ error bars, the same error bars suggest that they may also cover a range of values. Just considering the range covered by the central \(M_i\) estimates for these 13 WDs, theoretical IFMRs (see also another completely independent theoretical IFMR for solar metallicity in Figure 3 of Prada Moroni & Straniero 2007) generally display a steep rise of \(M_i\) with \(M_i\). Over the \(M_i\) range between 3.05 and 3.5 \(M_\odot\), the theoretical IFMRs of Figure 3 predict an increase in \(M_i\) by about 0.1 \(M_\odot\), which corresponds to \(\sim 2\sigma\) of the observed \(M_i\) spread in the eight Praesepe objects,
and to $\sim 1\sigma$ of the observed spread in the 13-object enlarged sample. Without accounting for errors in the estimate of $M_f$, the predicted change within the $M_f$ range allowed by the error bars of the estimated initial masses can potentially explain the observed $M_f$ spread. This would imply that the observed spread is not the result of star-to-star mass-loss variations, but due to, as predicted from theory, the steep increase of $M_f$ with $M_i$ in the relevant mass range.

Moving this analysis to different $M_f$ ranges can hardly provide additional constraints on the existence of an intrinsic spread in the IFMR, because of either smaller numbers of objects in comparable $M_i$ intervals (when moving to lower $M_i$ values) or a combination of much larger error bars on $M_f$ and small numbers (when moving to higher $M_i$ values). It may, however, be interesting to note the situation at $M_i \sim 1.8 \ M_\odot$, where we have five objects (belonging to NGC 7789 and NGC 6819) with formally the same $M_i$, small errors in both $M_i$ and $M_f$ and theoretical predictions for an IFMR essentially flat (see Figure 3). By applying the same statistical analysis described before, we do not find any statistically significant spread in the IFMR for this sample.

Before concluding this section, we discuss briefly another test of our reference IFMR. In the case of NGC 2099, we have considered the alternative value $[\text{Fe/H}] = −0.20$ and reduced reddening ($E(B−V) = 0.23$) used by Kalirai et al. (2005) and given by Deliyannis et al. (2002). Note, however, that Deliyannis (private communication) considers this as a possible but as yet unconfirmed value for $[\text{Fe/H}]$. Using this alternative metallicity and reddening, we derive an age for NGC 2099 of 550 Myr which, compared to 320 Myr derived with $[\text{Fe/H}] = 0.09$, has a dramatic effect in the inferred initial masses (Figure 7). As expected from the relatively large change in the inferred cluster age, changes in $M_i$ due to the different cluster parameters generally exceed the uncertainties in $M_i$ determination.

This test, based on estimates that are admittedly not confirmed, is just to exemplify in a quantitative way how crucial the assessment of the cluster $[\text{Fe/H}]$ and $E(B−V)$ values is.

We will return to this issue in Section 4.

3. GLOBAL ERROR-BAR ESTIMATES

The method used to determine the IFMR has been described in Section 2, where we also presented our reference IFMR. We now focus on a detailed determination of the global uncertainties both in the initial and final masses for each star in our sample. Since the global errors have contributions from various sources, they have been estimated by means of MC simulations. In the following, we detail the procedure followed for each star in our sample.

3.1. White Dwarf Uncertainties

We have tried to cover all major sources of uncertainties in the WD mass and cooling age determinations that are going to be discussed in this section. While we have used S00 models as our standard set of WD models, all the WD evolutionary sequences to test the effect of WD input physics and chemical stratification have been computed with the LPCODE described below. This should not invalidate our procedure, since we are using LPCODE models only to determine differential changes in the WD properties (e.g., core composition), not absolute values. In what follows, $X$ represents the logarithmic values of either the WD mass or the cooling age.

1. Observational uncertainties. The central values and $1\sigma$ uncertainties for $\log g$ and $T_{\text{eff}}$ are listed in Table 1. We leave them unmodified as they are given in the original papers quoted in Section 2.1.

2. Systematic uncertainties from WD cooling tracks. In addition to our standard choice of WD cooling tracks (S00), we have specifically computed a completely independent set of WD cooling models with the LPCODE. The aim is to estimate the systematic uncertainties in the inferred WD properties that arises from using different WD models. We define the $1\sigma$ uncertainty by

$$\sigma_{\text{sys}}(X) = \mid X_{\text{S00}} − X_{\text{LP}} \mid,$$

where $X_{\text{S00}}$ is derived from S00 models and $X_{\text{LP}}$ from models computed with the LPCODE. WD models computed with the LPCODE have been used in Serenelli & Fukugita (2007), where the evolution of a set of stellar models from 1 to 8 $M_\odot$ and solar metallicity has been consistently followed from the main-sequence phase up to the WD phase, including the thermal pulse (TP) AGB phase. It should be noted that, in addition to differences in numerics, $\sigma_{\text{sys}}(X)$ also accounts for differences in input physics between the two sets of models. While S00 and LPCODE models have used the same neutrino energy loss rates (Itoh et al. 1996), conductive opacities in the CO cores (Itoh et al. 1993), and very similar hydrogen envelope thickness and core composition, they differ in other relevant aspects such as the equation of state and low-temperature opacities (see Althaus et al. 2003 and Salaris et al. 2000 for details about the LPCODE and S00 input physics respectively) and the inclusion of gravitational settling in the model envelopes (S00 models mimic the effects of gravitational settling by adopting a pure hydrogen envelope and fixed chemical H/He and He/C/O interfaces, while in LPCODE the chemical profiles are evolved according to time-dependent equations of element diffusion).

3. Neutrino energy loss rates. For the WD evolutionary sequences, we are considering in this work, plasma neutrinos always contribute at least 90% of the total neutrino emission. Uncertainties in the theoretical calculation of plasma-neutrino-emission rates, within the framework of the standard model of particle physics, are at most a few percent (M. Fukugita 2007, private communication), and thus we do not expect them to represent an important source of uncertainty in determining WD cooling ages. Several additional cooling mechanisms that could operate in WD interiors have been proposed, e.g., enhanced neutrino cooling due to a non-null neutrino magnetic dipole moment, axion production or other particles like weakly interacting, massive particles (WIMP; see Raffelt 1996 for a complete discussion of these mechanisms). It is not our aim here to consider the effects on the WD properties—particularly on the cooling rate—of all such mechanisms, especially because they remain purely hypothetical⁷. We do not want, however, ignore the possibility that some additional cooling might be present. Consequently, we have adopted a more

⁷ Among the nonstandard cooling mechanisms, axions are probably the best motivated. Recently, Bischoff-Kim et al. (2008) and Isern et al. (2008) have used WD pulsation properties and the luminosity function of local Disk WDs, respectively, to constrain the axion mass $m_a$. While Bischoff-Kim et al. (2008) find an upper limit $m_a = 13 \text{ meV}$, Isern et al. (2008) rule out values as low as 10 meV and give a preferred value of $m_a = 5 \text{ meV}$. With this mass value, axion cooling is never the dominating WD cooling mechanism (contrary to neutrino cooling that dominates for hot WDs), but it is strong enough that it can have a moderate effect on WD age determinations for WDs with luminosities in the range $−1.2 < \log L/L_\odot \lesssim −1.7$. 
4. Conductive opacity. For the physical conditions and composition of a representative set of WD models, we have computed conductive opacities according to both Itoh and collaborators (Itoh et al. (1993) and references therein—our references set of conductive opacities) and Potekhin and collaborators (see Cassisi et al. 2007 for the most up to date calculations). We find differences between both sets of calculations to be usually below 20% for the range of temperatures and densities relevant to the WD models used in this paper. In analogy with the neutrino-emission rates, we have estimated the effect of electron conduction uncertainties by computing additional WD models where the conductive opacities from Itoh and collaborators have been multiplied by factors of 1.25 and 0.8 and have used these models to define the WD mass and cooling age 1σ uncertainties due to errors in conductive opacities calculations as

\[
\sigma_v(X) = \frac{|X_{2} - X_{0.5}|}{2},
\]

where the subindices refer to quantities corresponding to the WD cooling models with the modified neutrino-emission rates as described above.

5. WD core composition. The mass fraction of the central oxygen \(X_0\) in S00 WD models ranges from 0.65 up to 0.80, depending on the mass of the WD. The internal CO stratification is, however, subject to uncertainties due to the uncertainty on the C\(^{12}+\alpha\) reaction rate (see, e.g., S00) and, very important, the treatment of mixing (seconvection, breathing pulses and the mechanism to suppress them) during the central He-burning phase (Straniero et al. 2003). These effects are larger than the expected change in CO profiles due to the small metallicity range covered by the cluster sample. In our analysis, we have been conservative and have defined the 1σ uncertainty due uncertainties in the core composition as

\[
\sigma_{core}(X) = \frac{|X_{0.9} - X_{0.3}|}{2},
\]

where \(X_{0.9}\) and \(X_{0.3}\) are derived from the WD cooling tracks, where the original chemical profiles have been rescaled to have \(X_0 = 0.9\) and 0.3 at the center, respectively.

6. Hydrogen envelope thickness (\(M_{H}\)). As shown by, e.g., Provençal et al. (1998) the H-envelope thickness of DA WDs displays a range of values. To include this effect in our error analysis, in addition to the standard thick envelopes considered in our LPCODE calculations (usually \(10^{-4} M_{\odot}\), but larger values were used for WD masses below \(0.6 M_{\odot}\)) we have computed also cooling sequences with thinner H-layers of \(10^{-6} M_{\odot}\). Similar to the above procedures, we have defined the corresponding 1σ errors

\[
\sigma_{env}(X) = \frac{|X_{\text{thick}} - X_{\text{thin}}|}{2},
\]

where \(X_{\text{thick}}\) and \(X_{\text{thin}}\) are derived from the WD models with thick and thin hydrogen envelopes respectively. We have performed an additional test to explore very thin hydrogen envelopes (as in Catalán et al. 2008a) by computing a 0.6 and a 1 \(M_{\odot}\) WD models with hydrogen envelopes of \(M_{H}\) \(\sim 10^{-10} M_{\odot}\). When compared to models with thick envelopes, we have found that differences in the cooling ages never exceeded 11% in both cases, and are usually at the level of 7% for the 0.60 \(M_{\odot}\) model and 5% for the more massive one in the range of effective temperatures of interest to this work. Asteroseismology studies of ZZ Ceti stars disclose a wide range of hydrogen envelope thickness, ranging between \(\sim 10^{-4} M_{\odot}\) and \(\sim 10^{-9} M_{\odot}\) (see, e.g., Castanheira & Kepler 2008). In this regard, the changes in the cooling ages due to uncertainties in the H-envelope thickness quoted above can probably be taken as robust upper limits.

For neutrino-emission rates, conductive opacities, core composition and hydrogen-envelope thickness we have assumed that uncertainties distribute normally. In the case of systematic uncertainties from different WD cooling tracks we have assumed uniform distributions of uncertainties (\(f_x\)) which are defined, in each case, by

\[
\int_{\alpha_{sys}}^{
\alpha_{sys}} f_X \, dX = 0.683.
\]

We tabulate \(\sigma_v(X), \sigma_\sigma(X), \sigma_{env}(X), \sigma_{core}(X), \sigma_{sys}(X)\) in (log \(g, T_{\text{eff}}\)) grids for using them in the MC simulations. We summarize the results for the WD uncertainties, both for mass and cooling age, in Table 4, where for a small subset of (\(\log g, T_{\text{eff}}\)) values, we give the 1σ fractional uncertainties in WD mass and cooling age as defined by Equations (3)–(7), but transformed to linear scale to facilitate interpretation of the results.

3.2. Progenitor Star Uncertainties

Progenitor masses \(M_i\) are obtained from the estimated progenitor lifetime and stellar models. In addition to the uncertainty sources discussed in the previous section for \(t_{\text{cool}}\), the determination of \(M_i\) is affected by

1. Cluster age. Progenitor lifetimes are obtained simply as \(t_{\text{prog}} = t_{\text{clus}} - t_{\text{cool}}\), so uncertainties in \(t_{\text{clus}}\) directly affect the determination of \(M_i\). Central values and adopted 1σ uncertainties for the cluster ages determined for this paper are listed in Table 3. As our standard choice, we have adopted uniform distributions \(f_i(t_{\text{clus}})\) for cluster age uncertainties, where \(f_i\) is a constant defined by the simple relation

\[
\int_{-t_{\text{clus}}}^{t_{\text{clus}}} f_i \, dt_{\text{clus}} = 0.683.
\]

2. Stellar metallicity (\(\Delta [\text{Fe/Fe}]\)). \(\Delta [\text{Fe/Fe}]\) affects the determination of \(M_i\) in two different ways. The first and most important relates to the determination of the cluster age (mainly through the change in the distance modulus obtained via MS fitting) and has already been taken into account in the uncertainty of \(t_{\text{clus}}\). Additionally, \(\Delta [\text{Fe/Fe}]\) introduces uncertainty in the determination of the progenitor mass because, for different metallicities, a fixed \(t_{\text{prog}}\) corresponds to different progenitor masses. We treat this effect by adopting the central values and uncertainties in the metallicities listed in Table 3 to generate stellar metallicity distributions (normal distributions are our standard choice). For a fixed \(t_{\text{prog}}\), we then compute \(M_i\) for the corresponding metallicity distribution.
3. **Different stellar models and isochrones.** In order to estimate the systematic uncertainties arising from using different sets of stellar models and isochrones, we have proceeded in a similar fashion as in the case of the WD cooling tracks and define as the 1σ uncertainty

\[ \sigma_{\text{sys}}(M_i) = |M_{i, \text{BaSTI}} - M_{i, \text{Padua}}|, \]

where \( M_{i, \text{BaSTI}} \) represents the progenitor masses derived with the Basti stellar models and isochrones and \( M_{i, \text{Padua}} \) those derived with the Padua models and isochrones. We have adopted a uniform distribution for systematic uncertainties. It should be noted here again that consistency in the calculations requires the same set of models and isochrones be used to derive \( M_i \) and \( t_{\text{prog}} \), i.e., the cluster age. It is in principle not correct to adopt a cluster age derived with, let us say the BaSTI isochrones, and then the Padua stellar models to derive \( M_i \) from the calculated \( t_{\text{prog}} \). As already stated in the introduction, such inconsistencies are ubiquitous in the current literature and can introduce noticeable systematic changes in the derived \( M_i \), particularly for \( M_i \gtrsim 5 \, M_\odot \).

We close this section by stressing that both codes, BaSTI and Padua, are employing up-to-date physics input, and therefore are, at least nominally, quite similar in their treatment of stellar evolution. Systematic uncertainties arising from code-to-code differences are, therefore, possibly underestimated in the above error analysis, if they arise from different physical assumptions, as, for example, the inclusion or neglect of convective overshooting, which we did not include in the discussion of this section (we provide separate results for models without core overshooting).

When considering models without core overshooting, the systematic effect of using different models and isochrones was taken into account with a slightly different approach because Padua calculations are only available for one chemical composition, i.e., \( Z = 0.019 \) and \( Y = 0.273 \). This pair of \((Y,Z)\) values corresponds to \([\text{Fe/H}] = 0.04\) when considering the Grevesse & Noels (1993) solar mixture (that provides \( Z/X = 0.0245 \pm 0.005 \) for the present Sun) employed in those stellar model calculations. Also, the BaSTI models employ the Grevesse & Noels (1993) solar mixture, and the \((Y,Z)\) pair closest to the Padua one around the solar metallicity is \( Z = 0.0198, Y = 0.2734 \) that corresponds to \([\text{Fe/H}] = 0.06\). In this case, and just for estimating this systematic uncertainty,

## Table 4

| \( \log(g) \) (cm s\(^{-2}\)) = \( \log(T_{\text{eff}}) \) (K) | Fractional Uncertainties for WD Mass | Fractional Uncertainties for WD Cooling Age |
|---------------------------------|-----------------|-----------------|
| 4.60 | 0.017 | 0.015 | 0.016 | 0.016 | 0.003 | 0.826 | 0.564 | 0.287 | 0.335 | 0.377 |
| 4.45 | 0.016 | 0.015 | 0.014 | 0.010 | 0.011 | 0.036 | 0.262 | 0.291 | 0.174 | 0.006 |
| 4.30 | 0.015 | 0.014 | 0.013 | 0.007 | 0.017 | 0.072 | 0.064 | 0.012 | 0.057 | 0.159 |
| 4.15 | 0.012 | 0.011 | 0.011 | 0.003 | 0.035 | 0.358 | 0.114 | 0.080 | 0.029 | 0.109 |
| 4.00 | 0.009 | 0.006 | 0.010 | 0.000 | 0.061 | 0.368 | 0.020 | 0.073 | 0.064 | 0.122 |

### Notes
- a Columns and rows are labeled according to \( \log(g) \) and \( \log(T_{\text{eff}}) \) respectively. Definitions of how the uncertainties were computed are given in equations (3)–(7). Note, however, that for the sake of readability, uncertainties given here are linear (not logarithmic) in mass and cooling age and have been assumed symmetric. Fractional uncertainties smaller than 0.001 are shown as null values.
we have computed all progenitor masses for both BaSTI and Padua non-overshooting models assuming a fixed [Fe/H] = 0.04 for Padua and [Fe/H] = 0.06 for BaSTI. As above, the difference between the masses has been adopted as a measure of the systematic uncertainty, and we have assumed that this difference does not depend on the actual [Fe/H] value. This is, in fact, a reasonable approximation and, in addition, systematic uncertainties from isochrones and models turn out to be a small contribution to the overall uncertainty budget, so our simplified treatment should not affect our results and conclusions.

3.3. Monte Carlo Simulations

For each star in our sample, the MC simulations consist of the following steps.

1. Generate \{log \( g \), \( T_{\text{eff}} \), \} \( i \) \( j \) \( N \) distributions according to observational uncertainties and use S00 WD models to get the initial WD mass \( \{M_{0,f,j}^i \} \) \( j \) \( N \) and cooling age \( \{t_{\text{cool}}^i,j \} \) \( j \) \( N \) distributions. Here \( N(=10^3) \) is the total number of trials in the MC simulations and quantities with the \( j \) suffix denote results of each one of the trials.

2. For each trial \( j \), include additional WD mass and cooling age uncertainties according to the details given in Section 3.1. Each mass in the final WD mass distribution \( \{M_{f,j} \} \) \( j \) \( N \) (analogously for the WD cooling age) is given by

\[
M_{f,j} = M_{0,f,j} + \sum_{k=1,5} \delta M_{j,k}, \tag{9}
\]

where each \( \delta M_{j,k} \), the individual contributions to the WD mass global uncertainty, is randomly drawn from distributions constructed as described in Section 3.1. The final WD cooling age distribution \( \{t_{\text{cool}} \} \) \( j \) \( N \) is computed in the same way.

3. Generate the cluster age distribution \( \{t_{\text{clus}} \} \) \( j \) \( N \) and then the progenitor age distribution \( \{t_{\text{prog.}} \} \) \( j \) \( N \), where for each trial \( t_{\text{prog.},j} = t_{\text{clus},j} - t_{\text{cool},j} \).

4. From \( \{t_{\text{prog.}} \} \) \( j \) \( N \) get the initial progenitor mass distribution \( \{M_{0,\text{prog.}} \} \) \( j \) \( N \) using the BaSTI stellar models.

5. The final progenitor mass distribution \( \{M_{f,j} \} \) \( j \) \( N \) is obtained by adding the star metallicity and stellar models systematic uncertainties, i.e., \( M_{f,j} = M_{0,\text{prog.},j} + \delta M_{\text{Fe/H}} + \delta M_{\text{syst}} \) as discussed in Section 3.2.

4. RESULTS AND COMPARISONS WITH THEORY

4.1. Global Error Assessment

Table 5 displays the results about cooling age and mass for all the WDs in our sample. The central values have been obtained using S00 WD models and the uncertainties are the result of the MC simulations described in the previous section and thus include all sources of uncertainty considered in this work. We also provide, as additional information, the bolometric luminosity of the WDs, as obtained from the interpolation among the WD model grid in log \( g \) and \( T_{\text{eff}} \). It is important to note that none of the objects have yet entered the crystallization phase. This minimizes the effect of uncertainties in the interior CO profiles that play a very important role during the phase separation associated with the crystallization process (S00).

Our reference IFMR relation (BaSTI with core overshooting plus S00 models) is displayed in Table 6 (labelled “ov”). For the WD masses, the quoted uncertainties are the average of \( \sigma_{M_1}(M_1) \) and \( \sigma_{M_2}(M_1) \) given in Table 5. For the progenitor masses, we give the results of our MC simulations that show strongly asymmetric error bars arising from the highly nonlinear relation between evolutionary lifetime and mass for MS stars. Results for the BaSTI models without core overshooting are also shown (labelled “non-ov”).

As already discussed, our estimates of the global uncertainties in the IFMR include systematic effects due to the use of different sets of stellar models and isochrones, and different WD cooling models. It is instructive, however, to try to single out the influence of the stellar models on the final error. To this aim, Figure 4 shows a comparison between the progenitor masses obtained with two different sets of stellar models and isochrones (BaSTI and Padua). In both cases, we used S00 WD models. When compared with the error bars on \( M_1 \) shown in Figure 3, Figure 4 leads us to conclude that systematic uncertainties from using different sets of isochrones and stellar models do not contribute appreciably to the global error budget on \( M_1 \). As long as the assumptions concerning the stellar physics are similar.

We emphasize again that to achieve internal consistency the same set of isochrones and stellar models must be used to determine the cluster ages and to obtain progenitor masses from the progenitor lifetimes.

We next study the changes in the IFMR from using different WD models. Figure 5 displays our reference IFMR (S00 + BaSTI with overshooting) and that resulting from using the LPCODE WD models. Although WD masses derived from both sets of WD models are very similar, the effect on the progenitor mass is much larger, especially for progenitor masses above \( \sim 5 \ M_\odot \). The changes are due to differences in the WD cooling ages obtained with the different WD models. Both S00 and LPCODE models give WD ages consistent within the observational errors in log \( g \) and \( T_{\text{eff}} \), however, the short lifetime of massive stars makes the determination of \( M_1 \) very sensitive to small differences in \( t_{\text{cool}} \) for higher progenitor masses.

Figure 6 gives an overview of the relative contributions of observational and model (cooling tracks, including systematic effects due to variations of input physics and chemical stratification) uncertainties to the total error budget for the final WD masses and ages. We display also the relative contribution of cluster age (due to isochrones, cluster metallicity, reddening, distance) and WD age (due to cooling tracks) uncertainties to the total error budget for the progenitor masses and ages. These fractional contributions are expressed in terms of \( (\sigma_i/\sigma_{\text{tot}})^2 \) for each WD, denoted by its number (as in Table 1), whilst vertical dashed lines separate the various clusters. The uncertainty of the WD mass appears to be completely dominated by the observational errors in log \( g \) and \( T_{\text{eff}} \), while in case of WD ages the systematic uncertainties of the cooling tracks dominate for some clusters (NGC 3532 and NGC 2099). For the progenitor ages and masses (lower panels of Figure 6) cluster ages are the largest contributors to the total error, except mainly for NGC 2099.

In closing this section, we comment on the possibility that systematic uncertainties (to be added to the quoted errors) may be present in the empirical determination of WDs \( T_{\text{eff}} \) and log \( g \) (see, e.g., Napierwotzki et al. 1999). We have not included this source of uncertainty in our global error assessment simply because it is not quantified in the literature. We have performed, however, a simple test to understand how such hypothetical uncertainties may influence the determinations of WD masses and cooling ages. We have considered that \( T_{\text{eff}} \) determinations have systematic uncertainties amounting up to \( \pm 1000 \) K that we represent
| ID | log t_{cool}(yr) | σ log t_{cool} | σ log t_{cool} | M_f (M_{⊙}) | σ log M_f | σ log L_f/ L_{⊙} |
|----|-----------------|----------------|----------------|-------------|-----------|-----------------|
| Pleiades | | | | | | |
| 1 | 7.667 | -0.185 | 0.162 | 1.028 | -0.031 | 0.031 |
| 9 | 8.113 | -0.072 | 0.065 | 0.901 | -0.034 | 0.034 |
| Hyades | | | | | | |
| 2 | 8.262 | -0.063 | 0.056 | 0.713 | -0.031 | 0.031 |
| 10 | 8.159 | -0.076 | 0.066 | 0.622 | -0.028 | 0.032 |
| NGC 2516 | | | | | | |
| 20 | 7.746 | -0.298 | 0.192 | 0.926 | -0.106 | 0.105 |
| 21 | 7.543 | -0.364 | 0.297 | 1.008 | -0.071 | 0.070 |
| Praesepe | | | | | | |
| 34 | 8.155 | -0.125 | 0.121 | 0.448 | -0.129 | 0.123 |
| NGC 3532 | | | | | | |
| 24 | 7.373 | -0.216 | 0.219 | 0.482 | -0.085 | 0.076 |
| 25 | 7.064 | -0.303 | 0.161 | 0.563 | -0.169 | 0.145 |
| 26 | 8.047 | -0.284 | 0.213 | 0.708 | -0.150 | 0.164 |
| NGC 2099 (M37) | | | | | | |
| 7 | 7.971 | -0.215 | 0.150 | 0.690 | -0.093 | 0.096 |
| 11 | 8.185 | -0.193 | 0.167 | 0.759 | -0.128 | 0.133 |
| 27 | 8.411 | -0.214 | 0.245 | 0.864 | -0.163 | 0.164 |
| Sirius B | | | | | | |
| 45 | 7.996 | -0.063 | 0.062 | 0.978 | -0.016 | 0.016 |
| NGC 7789 | | | | | | |
| 46 | 6.998 | -0.137 | 0.135 | 0.601 | -0.028 | 0.029 |
| NGC 819 | | | | | | |
| 49 | 7.609 | -0.153 | 0.150 | 0.540 | -0.021 | 0.019 |
| NGC 1039 | | | | | | |
| 51 | 7.801 | -0.239 | 0.161 | 0.863 | -0.075 | 0.075 |
| NGC 2168 (M35) | | | | | | |
| 20 | 7.746 | -0.298 | 0.192 | 0.926 | -0.106 | 0.105 |
| 21 | 7.543 | -0.364 | 0.297 | 1.008 | -0.071 | 0.070 |
| 22 | 7.888 | -0.116 | 0.087 | 0.970 | -0.045 | 0.045 |
| 23 | 7.858 | -0.202 | 0.154 | 1.075 | -0.078 | 0.078 |
| 32 | 8.264 | -0.083 | 0.072 | 0.614 | -0.044 | 0.051 |
| 33 | 8.900 | -0.094 | 0.074 | 0.742 | -0.044 | 0.044 |
| 34 | 8.111 | -0.108 | 0.097 | 0.959 | -0.065 | 0.064 |
| 35 | 8.380 | -0.133 | 0.120 | 0.561 | -0.080 | 0.080 |
| 36 | 8.222 | -0.082 | 0.075 | 0.783 | -0.050 | 0.052 |
| 37 | 8.455 | -0.125 | 0.121 | 0.448 | -0.129 | 0.123 |
| 38 | 8.667 | -0.097 | 0.103 | 0.818 | -0.064 | 0.066 |
| 39 | 7.343 | -0.339 | 0.355 | 0.884 | -0.078 | 0.079 |
| 40 | 7.223 | -0.310 | 0.327 | 0.849 | -0.051 | 0.050 |
| 41 | 6.351 | -0.213 | 0.208 | 0.820 | -0.055 | 0.056 |
| 42 | 6.292 | -0.218 | 0.217 | 0.845 | -0.041 | 0.040 |
| 43 | 7.634 | -0.219 | 0.200 | 0.928 | -0.040 | 0.040 |
| 44 | 7.644 | -0.215 | 0.186 | 0.955 | -0.041 | 0.041 |
| 45 | 7.996 | -0.063 | 0.062 | 0.978 | -0.016 | 0.016 |
| 46 | 6.998 | -0.137 | 0.135 | 0.601 | -0.028 | 0.029 |
| 47 | 7.480 | -0.181 | 0.179 | 0.637 | -0.037 | 0.039 |
| 48 | 7.627 | -0.205 | 0.192 | 0.543 | -0.059 | 0.058 |
| 49 | 7.609 | -0.153 | 0.150 | 0.540 | -0.021 | 0.019 |
| 50 | 8.141 | -0.071 | 0.063 | 0.566 | -0.023 | 0.021 |
| 51 | 7.801 | -0.239 | 0.161 | 0.863 | -0.075 | 0.075 |
| 52 | 7.934 | -0.179 | 0.126 | 0.899 | -0.076 | 0.076 |
| 53 | 7.308 | -0.312 | 0.339 | 0.834 | -0.072 | 0.076 |
| ID  | $M_i(M_\odot)$ | $\sigma(M_i)$ | Pleiades | Hyades | Praesepe | NGC 2516 | NGC 3532 | NGC 2099 (M37); [Fe/H] = 0.09 | NGC 2168 (M35) | Sirius B | NGC 7789 | NGC 6819 | NGC 1039 |
|-----|----------------|--------------|----------|--------|----------|---------|---------|--------------------------------|----------------|----------|---------|---------|---------|
| 1   | 1.028          | 0.031        | 7.847    | −1.257 | 3.069    | 15.52   | −3.986 | 99.90                          | 7.847          | −1.257   | 3.069   | 15.52   | −3.986  |
| 2   | 0.713          | 0.031        | 3.067    | −0.100 | 0.107    | 3.690   | −0.192 | 0.224                          | 0.713          | 0.031    | 3.067   | 3.690   | −0.192  |
| 3   | 0.798          | 0.032        | 3.383    | −0.174 | 0.208    | 4.438   | −0.454 | 0.813                          | 0.798          | 0.032    | 3.383   | 4.438   | −0.454  |
| 4   | 0.679          | 0.031        | 2.887    | −0.076 | 0.079    | 3.385   | −0.128 | 0.142                          | 0.679          | 0.031    | 2.887   | 3.385   | −0.128  |
| 5   | 0.699          | 0.031        | 2.771    | −0.067 | 0.066    | 3.184   | −0.108 | 0.111                          | 0.699          | 0.031    | 2.771   | 3.184   | −0.108  |
| 6   | 0.652          | 0.032        | 2.815    | −0.071 | 0.071    | 3.261   | −0.116 | 0.122                          | 0.652          | 0.032    | 2.815   | 3.261   | −0.116  |
| 7   | 0.684          | 0.031        | 2.746    | −0.063 | 0.064    | 3.144   | −0.097 | 0.105                          | 0.684          | 0.031    | 2.746   | 3.144   | −0.097  |
| 8   | 0.773          | 0.032        | 3.284    | −0.151 | 0.172    | 4.240   | −0.349 | 0.549                          | 0.773          | 0.032    | 3.284   | 4.240   | −0.349  |

**Table 6**

Initial–Final Mass Relation Obtained by Combining BaSTI Progenitor Models with And without Overshooting (Indicated by OV Resp. non-OV) with S00 WD Tracks$^a$.
### Table 6 (Continued.)

| ID  | $M_i(M_\odot)$ | $\sigma(M_i)$ | OV Models | Non-OV Models |
|-----|----------------|---------------|-----------|---------------|
|     | $M_i(M_\odot)$ | $\sigma(M_i)$ | $\sigma_i(M_i)$ | $M_i(M_\odot)$ | $\sigma(M_i)$ | $\sigma_i(M_i)$ |
| 53  | 0.834          | 0.074         | 3.835     | −0.279        | 0.348         | 4.607     | −0.386        | 0.511         |
| NGC 2099 (M37); [Fe/H] = −0.20 |               |               | 0.561     | 0.074         | −0.282        | 0.412     | 5.607     | −0.386        | 0.511         |
| 27  | 0.690          | 0.095         | 2.994     | −0.133        | 0.145         | 3.643     | −0.269        | 0.349         |
| 28  | 0.759          | 0.131         | 3.121     | −0.196        | 0.259         | 4.029     | −0.443        | 0.947         |
| 29  | 0.864          | 0.163         | 3.522     | −0.355        | 1.056         | 5.248     | −1.460        | 99.90         |
| 30  | 0.821          | 0.137         | 3.232     | −0.233        | 0.374         | 4.226     | −0.570        | 1.727         |
| 31  | 0.877          | 0.201         | 3.424     | −0.325        | 1.085         | 4.814     | −1.064        | 99.90         |
| 32  | 0.614          | 0.047         | 3.299     | −0.173        | 0.200         | 4.238     | −0.486        | 0.844         |
| 33  | 0.742          | 0.043         | 3.055     | −0.128        | 0.144         | 3.823     | −0.273        | 0.382         |
| 34  | 0.959          | 0.065         | 3.068     | −0.139        | 0.156         | 3.865     | −0.306        | 0.443         |
| 35  | 0.561          | 0.074         | 3.454     | −0.282        | 0.412         | 4.923     | −1.010        | 4.628         |
| 36  | 0.783          | 0.051         | 3.174     | −0.162        | 0.181         | 4.121     | −0.408        | 0.645         |
| 37  | 0.448          | 0.125         | 3.628     | −0.361        | 0.628         | 6.066     | −2.242        | 99.90         |
| 38  | 0.818          | 0.066         | 5.529     | −1.125        | 9.156         | 99.90     | 99.90         | 99.90         |

**Note.** a Values for initial masses equal to 99.90 $M_\odot$ flag those cases where the central value of the progenitor age $t_{\text{prog}}$, is negative. Similarly, error values of 99.90 indicate that progenitor ages deviating 1σ from the central value $t_{\text{prog}}$ are negative and as a consequence 1σ values for $M_i$ cannot be obtained.

Figure 4. Difference between initial masses obtained employing the Padua and BaSTI isochrones and models versus initial masses obtained with BaSTI isochrones and models. Both sets include overshooting treated with different formulations, but giving similar mass extensions of the core overshooting regions at fixed total stellar mass. S00 WD tracks have been used in both cases.

Figure 5. Comparison between IFMRs obtained using the S00 (dots) and the LPCODE (triangles) WD tracks. BaSTI isochrones and progenitor models with core overshooting have been used in both cases. Each pair connected by a line represents the same star.
Figure 6. Contribution of uncertainty sources to the total error budget for WD mass (upper left), WD age (upper right), progenitor mass (lower left), and progenitor age (lower right panel). The fractional contribution to total sigma $\sigma$ due to various error sources is given for each WD. Vertical lines separate clusters. For the WDs, we show the importance of observational vs. input physics and systematics (different codes, variation of physics inputs, chemical stratification, envelope thickness) for the progenitors that of cluster and WD age.

Figure 7. IFMR for NGC 2099, for the two $[\text{Fe}/\text{H}]$ and $E(B-V)$ pairs given in Table 3. Filled circles refer to $[\text{Fe}/\text{H}] = 0.09$ and empty circles to $[\text{Fe}/\text{H}] = -0.20$. The solid line shows the theoretical IFMR from the BaSTI models with core overshooting, the dotted line displays the $M_i - M_{\text{1TP}}$ relation for the same models. Data points lie systematically below the theoretical $M_i - M_{\text{1TP}}$ values when $[\text{Fe}/\text{H}] = 0.09$ is adopted.

Our results show that uncertainties in log $g$ have a more noticeable effect than those on $T_{\text{eff}}$. This is because WD masses are more robustly predicted by WD models than cooling ages, and consequently the error budget is dominated by observa-

by means of a uniform distribution. Analogously, in the case of log $g$ we have assumed an additional systematic uncertainty of $\pm 0.15$ dex. Note that these are probably very generous systematic errors, because we assume all WDs are similarly affected.
tional uncertainties (as already shown in Figure 6). For about 40% of our sample, uncertainties in WD masses are about a factor of 2 larger when systematic uncertainties as described above are included; these are the stars that show the smallest observational uncertainties in Table 1. For the rest of the stars in our sample, uncertainties are increased by not more than a factor of 1.5. Cooling ages, on the other hand, are much less affected by systematic uncertainties, because the total error budget here is heavily influenced by input physics and systematics in WD models. As a consequence, initial mass determinations are only very mildly affected. Indeed, the largest increase in positive uncertainties for \( M_i \) is only 50%, with only four objects having changes above 40%. There are 40 objects for which positive uncertainties increase by less than 25%. Negative uncertainties, which are always smaller, are less affected, and for 49 objects, increases are smaller than 25%. We, thus, conclude that adding systematic uncertainties to WD observational parameters would only have a very modest influence on the inferred IFMR. Based on these results and also considering that any attempt to quantify systematic uncertainties remains at this point, arbitrary, we have chosen not to include these effects neither in our reference IFMR nor in the discussion of our results.

4.2. Comparison between Predicted and Derived IFMRs

Figure 3 compares the inferred IFMR with that predicted by the same BaSTI models (we chose to display the BaSTI results for \([\text{Fe/}H] = 0.06\) used in the determination of \( t_{\text{prog}} \) and \( M_i \). We display results obtained from progenitor models and isochrones with and without MS core overshooting.

Cluster ages determined from models without overshooting are smaller (see Table 3), hence \( t_{\text{prog}} \) is decreased, resulting in larger progenitor masses.

As a consequence, although the associated error bars are large, models without overshooting predict for some stars progenitor masses well above the maximum possible values allowed by theory. Examples are the WD in the Pleiades and two of the WDs in NGC 2516 (see Table 6), for which the estimated progenitor masses are between \( \sim 12 \) and \( \sim 16 \, M_\odot \). Theoretical stellar evolution models predict an upper limit to the progenitors of carbon/oxygen WDs in the range between \( \sim 6 \) and \( \sim 8 \, M_\odot \), the exact values depending on the chemical composition of the models and on the treatment of mixing in the cores; lower upper limits are obtained when core overshooting during the central H-burning phase is included. In several other cases in Table 6 even negative progenitor ages were obtained.

The relationship between \( M_i \) and the core mass at the onset of the thermal pulses (\( M_{\text{i,TP}} \)) is also displayed in Figure 3. For models without core overshooting, the theoretical IFMR lies largely above the semiempirical determination, especially for \( M_i < 5 \, M_\odot \), while the theoretical \( M_i - M_{\text{i,TP}} \) relationship is in better agreement with the data. This would imply that the progenitor stars did not experience any thermal pulses at all.

On the other hand, for core overshooting models the theoretical IFMR follows much better the semiempirical results, and no very high initial masses are typically derived in this case. The theoretical \( M_i - M_{\text{i,TP}} \) relation constitutes a lower envelope to the data, consistent with general expectations about AGB evolution. This would lead us to conclude that core overshooting during the MS results in a better agreement with the derived IFMR, because of the internal consistency about the end-product of stars with inferred \( M_i \).

Before moving forward in our comparison with theory, we wish to comment briefly on NGC 2099 that represents an interesting case and a warning about the role played by the cluster \([\text{Fe/}H] \) and \( E(B-V) \) estimates. As already mentioned, we have considered two possible values for its metallicity and reddening. Results for both choices are given in Table 6 and are also plotted in Figure 7. It becomes apparent from the figure that data points fall systematically below even the theoretical \( M_i - M_{\text{i,TP}} \) (models and IFMR with overshooting) relation for \([\text{Fe/}H] = 0.09\). The same result is obtained if models without core overshooting are used instead. This inconsistency is strongly alleviated if the alternative \([\text{Fe/}H] \) and \( E(B-V) \) used by Kalirai et al. (2005; see Table 3) are adopted. While the aim of this paper is not to use the IFMR to improve cluster properties, this example demonstrates how informative it can be, when systematic effects are well controlled.

Focusing again on the core overshooting IFMR (Figure 3, top panel), we can finally try to obtain some constraints on TP-AGB evolution by comparing the inferred IFMR with that resulting from sequences with different TP-AGB modeling. To do this, we first compare in Figure 3 the \( M_i - M_{\text{i,TP}} \) relations from BaSTI and LPCODE stellar models (dotted and dashed lines respectively). Both relations are very similar, regardless of differences in the treatment of convective and additional mixing episodes. We recall that in BaSTI instantaneous mixing in the overshooting region beyond the Schwarzschild boundary of the H-burning convective core is assumed, as well as semiconvective mixing during He-core burning, which is briefly described in Pietrinferni et al. (2004), where also the appropriate references are given. On the other hand, no extra mixing is taken into account at the bottom of convective envelopes. LPCODE models include diffusive mixing due to overshooting at all convective boundaries. We also point out that evolutionary timescales until the onset of the TPVs are very similar in the BaSTI models with overshooting and the LPCODE models. Since TP-AGB lifetimes are very short and their contribution to the the total progenitor age can be neglected, we can safely use semiempirical data obtained from the BaSTI models for comparisons with the LPCODE theoretical IFMR.

Having assessed the similarity of the \( M_i - M_{\text{i,TP}} \) relations, differences in the IFMRs predicted by BaSTI and LPCODE models can be traced to differences during the TP-AGB phase. While BaSTI models do not include extra mixing during the thermal pulses, LPCODE includes, as mentioned above, diffusive overshooting at all convective boundaries. The theoretical IFMRs are also shown in Figure 3 with thick (BaSTI) and thin (LPCODE) solid lines and do indeed differ. This is unrelated to the choice of mass loss during the AGB phase, and it is mainly due to the presence of very strong third dredge-up episodes in LPCODE sequences, which inhibit the growth of the H-free core for models with \( M_i > 3 \, M_\odot \). These strong third dredge-up episodes can be traced back mainly to the presence of overshooting at the base of the thermal pulse driven convective zone. This was demonstrated by Herwig (2000) and confirmed by us running test models where convective overshooting was included only at separately selected convective boundaries during the AGB evolution. Due to strong third dredge-up events, the final mass of LPCODE sequences with \( M_i > 3 \, M_\odot \) is very similar to \( M_{\text{i,TP}} \), the core mass at the first thermal pulse (Figure 3, top panel). On the other hand in BaSTI sequences the final mass is mainly set by the length of the TP-AGB phase and, thus, by the adopted mass-loss rate. The apparent failure of the theoretical LPCODE IFMR to match the final masses in the range
2.75 $M_\odot \lesssim M_i \lesssim 3.5 \ M_\odot$ is an indication that such strong dredge-up episodes do not take place in real stars, and therefore the adopted exponentially decaying overshooting at the base of the thermal pulse driven convective zone in the LPCODE models is too strong. This allows us to conclude that the overshooting parameter $f$ (see Herwig et al. 1997, for a definition of $f$) must be significantly smaller than $f = 0.016$ employed at the convective core of upper MS stars. This is in line with recent hydrodynamical simulations of AGB thermal pulses which point to a value of $f \lesssim 0.01$ at the bottom of the pulse driven convective zone (Herwig et al. 2007). On the other hand, given the large error bars of the data points, the existence of strong third dredge-up episodes for stars with $M_i \gtrsim 5 \ M_\odot$ cannot be excluded by the same arguments. The IFMR may therefore teach us that overshooting varies a lot between different convective layers and may also depend on stellar mass.

5. SUMMARY AND CONCLUSIONS

Since its earliest determination, the semiempirical IFMR has indicated a monotonic rise of the final WD mass with the initial mass of the progenitor. It is also strong evidence that single stars of intermediate mass do not develop degenerate cores close to the Chandrasekhar limit, most likely due to the effect of strong mass loss on the AGB. These qualitative results have remained unchanged in spite of the significant improvement both in the amount and accuracy of observational data and in the theoretical models. Nevertheless there are still many open questions, such as a dependence on metallicity, the slope of the IFMR at the upper (initial) mass end, changes in slope, and spread around a mean relation. To answer these questions, not only increasingly accurate observations are needed, but also the systematic uncertainties coming from the theoretical input—which is necessary to establish the semiempirical IFMR—have to be controlled. These are related to evolutionary tracks and isochrones that determine the total age of the observed WDs and cooling tracks used for deriving the WD age. Our paper was intended to investigate the influence of such systematic uncertainties and to derive robust features of the IFMR. We also investigated what uncertainties in the theory of stellar evolution would lead to inconsistent results, and therefore could be restricted or excluded.

From the available WD data, we selected a total of 52 objects in ten open clusters, plus Sirius B. There are, in fact, even more objects available, but we disregarded those for which our cluster distance determination (Section 2) would not be possible because of the lack of calibrating field stars at that metallicity (NGC 6791 at [Fe/H] = 0.45). We also did not take into account the objects from Catalán et al. (2008b), because of the more indirect way to obtain the age of the mostly unevolved binary companion. Inclusion of such objects would weaken our aim of highest possible self-consistency.

For the determination of mass and cooling age of the WDs, we used different sets of cooling track, from Salaris et al. (2000) and the LPCODE (Althaus et al. 2003) to evaluate the influence of different codes, input physics and chemical stratification (Section 3.1). We estimated the error ranges quite conservatively, and to evaluate the global error budget, we used MC simulations (Section 3.3), for which we assumed either a normal or uniform distribution of the different error sources. We included the effect of observational errors, too.

Results concerning cooling ages and final masses are quite robust: the accuracy of the WD masses depend completely on the observational uncertainties, while their ages is influenced in most cases (in terms of number of clusters) by the uncertainties of the cooling tracks, mostly systematic differences between different sets of cooling tracks and changes in the neutrino-emission rates.

This result implies that any spread in $M_i$, as seen in Figure 2, which is outside the error bar is real, in the sense that $M_i$ does vary at the same (within the errors in $M_i$) initial mass. Whether this spread is consistent with theoretical expectations, related to a steep increase of $M_i$ with $M_i$ in that mass range, or whether it is due to star-to-star variations in the total mass lost, cannot be decided yet, as the error bars in $M_i$ are too large even in the case of the Praesepe WDs, which we discussed in detail in Section 2.4.

Figure 5 demonstrates that uncertainties due to different WD codes with similar, but not identical physics and model details are essentially irrelevant for the estimate of $M_i$. However, differences in the cooling ages have a significant influence on $M_i$, since they affect directly progenitor lifetimes, and the more massive progenitors evolve on very short timescales. Therefore the two different sets of cooling tracks lead to quite different values for $M_i$, particularly for initial masses above $5 \ M_\odot$. In this regime, $M_i$ differences are between $\sim 0.5$ and $2.0 \ M_\odot$.

The determination of $M_i$ is more complex, as it involves several steps. The age determination of the cluster or binary system requires metallicity, reddening and distance. We have used literature values for the former two, and our own homogenous determination for the latter. Uncertainties from both steps have been taken into account. Using appropriate isochrones the age is then obtained, which, together with the WD cooling age, results in the pre-WD lifetime. As this is, to an accuracy of 1% or slightly more, identical to the lifetime from the MS to the end of the central He-burning phase, all uncertainties concerning the AGB evolution are irrelevant. We employed different sets of stellar models (Pietrinferni et al. 2004; Girardi et al. 2000), which differ slightly in the detailed treatment of the input physics (but both include core overshooting during the MS) as well as additional models from the former source (BaSTI), which do not consider convective overshooting. The first effect—different tracks—has a very minor effect on $M_i$, as we showed in Figure 4. Note that this includes both the cluster age determination and the relation between progenitor age and mass. The neglect of overshooting, however, leads to internal inconsistencies in the semiempirical IFMR: at the high end, initial masses are predicted, for which the models themselves predict that no electron degenerate CO WD would result from the evolution. By discriminating between the different error sources (but omitting the overshooting effect), Figure 6 demonstrates that the cluster age uncertainties (due to uncertainties in the cluster [Fe/H] and reddening estimates) dominate the error on $M_i$, although the above-mentioned WD age can be significant for the more massive progenitors, too.

The case of NGC 2099 illustrates the importance of accurate metallicities and redenning: Figure 7 shows how drastically the semiempirical IFMR changes, two different estimates of [Fe/H] and $E(B-V)$ are assumed. If one accepts this uncertainty as being real, NGC 2099 cannot be used at all to learn about the IFMR.

After we had determined the full extent of the uncertainty associated with our semiempirical IFMR, we compared it with the predictions from the theoretical calculations, both from BaSTI and LPCODE. Both differ in their treatment of convective overshooting during the AGB phase. One should recall that the BaSTI models treat that phase with synthetic models, while LPCODE follows the evolution in full detail. Figure 3 shows
them in comparison with the semiempirical IFMR and the relation between $M_f$ and the mass of the CO-core at the first thermal pulse, $M_{\text{f,TP}}$. As long as stars do not avoid the AGB phase, $M_f \geq M_{\text{f,TP}}$ should be fulfilled. Therefore, this relation is a strong lower limit to the semiempirical IFMR. The agreement between the $M_f - M_{\text{f,TP}}$ relations from both codes is excellent; there are no significant systematic uncertainties in its prediction. This again emphasizes the need for convective overshooting during the MS phase: without it a significantly larger numbers of objects falls below this limiting line.

On the other hand, the theoretical IFMR from the LPCODE tracks is not consistent with the data: In these models overshooting during the AGB, mainly from the lower boundary of the pulse-driven convective zone, limits the growth of the CO-core such that the final core size is almost identical to that at the first pulse. However, most objects have final masses clearly above this line, such that we concluded that the extent of overshooting during the AGB phase must be significantly smaller than on the MS. This is in agreement with hydrodynamical simulations.

The theoretical IFMRs displayed in Figure 3, and in particular those resulting from the BaSTI models display the largest gradient in the same mass range where a significant spread in $M_f$ is observed. We demonstrated that indeed that spread could arise solely from this local steep gradient, such that no other explanation would be needed. If it is not, one would have to invoke a spread in the total mass lost in a given, small mass range. This is not exceptional, as the morphology of horizontal branches in Galactic globular cluster shows, but the reasons for such a star-to-star variation are unclear. In other mass ranges the total error budget is too large to discuss the reality and reason for apparent spreads in $M_f$.

Figure 2 presents our reference semiempirical IFMR, obtained using BaSTI isochrones and tracks with overshooting and SO0 cooling models. The error bars include all errors we have investigated. Compared to Ferrario et al. (2005) our error bars are necessarily larger (we consider more sources of error), but on average only by less than a factor of two, and mostly for $M_f$. We also present a simple linear fit through all the data as well as a piecewise fit, which reflects the properties of the theoretical IFMR based on the BaSTI models.

In summary, our most important results are as follows.

1. None of the WDs employed in current IFMR determinations is close to the Chandrasekhar mass, not even the progeny of the more massive intermediate mass stars.
2. Stellar models without convective overshooting during core hydrogen burning lead to internal inconsistencies in the semiempirical IFMR.
3. Overshooting from convective boundaries during the AGB phase must be significantly reduced (compared to the case of convective cores along the MS) to reproduce the observed $M_f$ values.
4. The uncertainty in $M_f$ is dominated by observational errors.
5. The uncertainty in $M_f$ has several reasons: both cluster parameters and isochrone details influence the cluster age and thus the progenitor mass; the uncertainty on the WD cooling age can sometimes also be the dominant factor.
6. The observed dispersion in $M_f$ at approximately constant $M_f (3.0-3.5 M_\odot)$, in particular for the Praesepe objects, appears to be real. It may follow from the steep increase of $M_f$ with $M_f$ predicted by theoretical IFMRs in that $M_f$ range, rather than being caused by a spread in mass loss among cluster AGB stars.

7. From the general agreement between the theoretical IFMRs and the semiempirical data, we find no evidence that the mass-loss prescriptions used in the stellar evolution calculations grossly disagree with the total mass actually lost by low- and intermediate-mass stars.
8. The case of NGC 2099 illustrates the necessity for accurate cluster parameters; reliable composition data and reddening are required.
9. As long as up-to-date input physics is used for the stellar models, systematic uncertainties do not change the overall appearance of the semiempirical IFMR as determined recently by several groups.

An extension of this analysis to a larger range of [Fe/H] is needed, to investigate a possible metallicity dependence of the IFMR, given the small [Fe/H] range spanned by the systems analyzed in this study (see Table 3). In addition to the relevant observational data, this requires also a careful study of how to extend to a wider [Fe/H] range the empirical MS-fitting technique adopted here to determine cluster distances, if we wish to maintain the same degree of homogeneity in the derivation of the cluster ages. An extension to lower metallicities seems to be preferable, as both calibrating objects and suitable clusters should exist, contrary to the case of an extension to higher that is super-solar clusters.

As most of the clusters used for deriving the IFMR have nearly solar metallicities, the question arises, what the true solar chemical composition is? Asplund et al. (2005) have presented new determinations of the solar heavy element abundance, drastically lower than the standard composition assumed here and in the stellar evolution tracks employed. Both BaSTI and LPCODE progenitor models consider a standard solar mixture with $Z/X \sim 0.0245$, whereas Asplund et al. (2005) redetermination gives $Z/X \sim 0.0165$. Assuming that the differential abundance analyses for our open cluster sample provides the true abundances relative to the sun, also the clusters should be less metal-rich than thought. It would be interesting to see how this will affect the semiempirical IFMR and its agreement with theoretical predictions. An extension of this investigation by using in the computation of progenitor models the new solar abundances is currently under way.

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