Secondary Degeneration Detected by Combining Voxel-Based Morphometry and Tract-Based Spatial Statistics in Subcortical Strokes with Different Outcomes in Hand Function

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Secondary Degeneration Detected by Combining Voxel-Based Morphometry and Tract-Based Spatial Statistics in Subcortical Strokes with Different Outcomes in Hand Function

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ABSTRACT

BACKGROUND AND PURPOSE: Secondary degeneration of the pyramidal tract after focal motor pathway stroke has been observed by diffusion tensor imaging. However, the relationships between outcomes in hand function and secondary degeneration in widespread regions are not well understood. For the first time, we investigated the differences of secondary degeneration across the whole brain between subgroups of patients with stroke.

MATERIALS AND METHODS: We selected 23 patients who had a subcortical stroke in the left motor pathway and displayed only motor deficits. The patients were divided into 2 subgroups: CPH (11 patients) and PPH (12 patients). Twelve healthy controls matched for age and handedness were also recruited. We used both optimized VBM and TBSS to explore differences of FA across the whole brain between CPH and PPH. Furthermore, ROI analysis was carried out in the identified regions detected by VBM analysis to further quantify the degree of secondary degeneration in the CPH and PPH and compare these with healthy controls.

RESULTS: Compared with PPH, FA was significantly decreased in the CPH in widespread regions of the motor system remote from the primary lesion, including the ipsilesional brain stem, medial frontal gyrus, precentral gyrus, superior temporal gyrus, supplementary motor area, and contralesional postcentral gyrus. In addition, FA within these identified regions correlated with Fugl-Meyer Assessment scores (hand+wrist).

CONCLUSIONS: This study suggests a potential biomarker for outcome differences in hand function after subcortical stroke.

ABBREVIATIONS: CPH = completely paralyzed hands; FA = fractional anisotropy; PPH = partially paralyzed hands; TBSS = tract-based spatial statistics; VBM = voxel-based morphometry

Secondary degeneration of the pyramidal tract after focal motor pathway stroke has been detected by DTI. A strong correlation between degeneration in the cerebral peduncle and functional outcome of the upper limbs has been detected in patients with chronic stage stroke. Longitudinal studies have also demonstrated a progressive decrease of FA along the pyramidal tract in the cerebral peduncle below the primary lesion. However, these studies have mainly focused on descending degeneration at the level of the cerebral peduncle, and ROI or segment-of-corticospinal tract analyses were often carried out based on a priori hypotheses, which are time consuming and do not easily allow for comparisons of many brain regions or large subject groups. Therefore, secondary degeneration in more widespread regions of the motor system has rarely been studied.

VBM is commonly used in whole-brain analyses to localize abnormalities in the presence of pathologic changes. Usually, brain images from different subjects are transformed to a standard space by registering them to a template. Thus, intersubject voxelwise comparisons across the whole brain can be performed by use of the unified coordinate system. Because the frequently used normalization techniques (eg, performing in SPM or FSL) are impossible to achieve a perfect match, smoothing is subsequently applied to reduce the individual variability and misalignments. However, smoothing may increase the partial volume effects. In contrast, TBSS is an automated, observer-independent approach for assessing group-wise microstructural differences in the major
white matter pathways of the brain. It does not require smoothing and has more precise alignment. However, the result of TBSS cannot cover the regions of the whole brain, except on the main skeleton of white matter. Afzali et al, in a previous study assessing microstructure of white matter, used both VBM and TBSS to confirm their findings. In our study, we also used both VBM and TBSS as complementary methods to demonstrate abnormalities of the microstructure of white matter across the whole brain. We adopted an optimized strategy to register FA maps by using individual $b=0$ images (nondiffusion weighted), which are less affected by white matter degeneration compared with FA images.

In clinical observation, many patients with stroke seem to have similar location and extent of lesion but actually have prodigiously different outcomes in hand function. Some regain certain practical aspects of hand function, whereas some lose the practical function completely. The former are regarded as PPH and the latter as CPH. Changes in motor-related brain activation patterns might occur not as a function of time after stroke but as a function of motor recovery. Cerebral reorganization has been consistently demonstrated in patients with stroke by use of functional MR imaging, which depends on the integrity of the corticospinal system. However, regions where secondary degeneration contributes to differences of cerebral reorganization have not been completely identified. Moreover, the relationships between outcomes in hand function and secondary degeneration of the motor system are not clearly understood. We hypothesized that CPH and PPH have different degrees of secondary degeneration in widespread regions of the motor system and that the degree of secondary degeneration correlates with outcomes in hand function.

**MATERIALS AND METHODS**

**Participants**

From May 26, 2010 to November 28, 2011, a total of 23 patients with pure motor deficits from a subcortical stroke that occurred in the left motor pathway were selected from both outpatient and inpatient services at the Huashan Hospital affiliated with Fudan University. The patients were divided into 2 subgroups: PPH (12 patients, 11 men) and CPH (11 patients, 7 men). Twelve healthy controls (5 men) matched for age and handedness were also recruited from local communities. The Mini-Mental State Examination was used to evaluate the cognitive performance of patients with stroke, and a score of >24 on the Mini-Mental State Examination is regarded as having sufficient cognitive performance. Fugl-Meyer Assessment was performed to evaluate the motor functions of patients with stroke. To investigate hand function, we focused on the sum of Fugl-Meyer Assessment scores on the hand and wrist in our current study. Inclusion criteria were as follows: 1) all patients had first-onset stroke, 2) all participants were right-handed, 3) all participants had sufficient cognitive abilities (Mini-Mental State Examination >24), 4) at least 3 months from stroke onset was required for patients with PPH and 6 months for patients with CPH (because 6 months was identified as a prerequisite for CPH), and 5) age was 45–80 years. Exclusion criteria were as follows: 1) a contraindication to MR imaging, 2) quadriplegia, 3) a history of neurologic or psychiatric disorders, 4) diabetes, and 5) previous hand dysfunctions. Patient clinical characteristics and demographic data are summarized in Table 1, and the lesion with the maximal area in each patient is shown in Fig 1. The protocol of this prospective study was approved by the Institutional Ethics Committee of East China Normal University.
Shanghai, China, and all participants or their guardians signed the informed consent forms.

We identified CPH and PPH by using the Paralyzed Hand Function Assessment, which involves 5 practical actions of the hand in daily life (On-line Fig. 1). We used this assessment to evaluate all of the patients. All those who could not complete any action were regarded as CPH, and those who could complete at least 1 of the 5 actions were regarded as PPH. The assessment was performed by 2 experienced physicians from the Department of Rehabilitation Medicine, Huashan Hospital.

Data Acquisition
All images were acquired on a Trio 3T MR imaging scanner (Siemens, Erlangen, Germany) at the Shanghai Key Laboratory of Magnetic Resonance, East China Normal University. The protocol for DTI included the collection of 30 images with noncollinear diffusion gradients \((b = 1000 \text{ s/mm}^2)\) and 1 non–diffusion-weighted image \((b = 0 \text{ s/mm}^2)\). Use of an integrated parallel acquisition technique with an acceleration factor of 2 reduced the acquisition time and the distortion from susceptibility artifacts. We used single-shot echo-planar imaging with detailed parameters as follows: number of axial sections, 40; section thickness, 3 mm; gap, none; acquisition matrix, 128 × 128 (interpolation to 256 × 256); TR, 6100 ms; TE, 110 ms; field of view, 256 mm × 256 mm; and average, 2. To identify the location and size of the lesion, we acquired T2-weighted images by using a turbo-spin-echo sequence: number of axial sections, 30; section thickness, 5 mm; gap, none; TR, 6000 ms; TE, 93 ms; field of view, 220 mm × 220 mm; flip angle, 120°; and acquisition matrix, 320 × 320.

Data Preprocessing
We preprocessed DTI data by using the FMRIB Software Library (FSL 4.1; http://www.fmrib.ox.ac.uk/fsl) by the following procedures: 1) all diffusion-weighted images were checked visually for apparent artifacts; 2) for each participant, diffusion-weighted images were registered to the corresponding \(b = 0\) images with an affine transformation to correct eddy-current distortion; 3) we obtained a binary brain mask of each participant from the respective \(b = 0\) images by using the Brain Extraction Tool; 4) by using FMRIB’s diffusion tools, we calculated the diffusion tensor of each voxel within the brain mask by a linear least-square fitting algorithm, and after diagonalization of the diffusion tensor, we obtained 3 diffusion tensor eigenvalues \((\lambda_1, \lambda_2, \lambda_3)\); and 5) FA maps were derived according to the following equation:

\[
\text{FA} = \frac{3\lambda_1 + \lambda_2 + \lambda_3}{2(\lambda_1^2 + \lambda_2^2 + \lambda_3^2)}
\]
Thus, we obtained a new template of the averaged size of 20 voxels. Montreal Neurological Institute space. Subsequently, individual 1344 

VBM Analysis of FA Maps between the CPH Group and the PPH Group

In our current study, we performed VBM of FA maps by using statistical parametric mapping (SPM8, http://www.fil.ion.ucl.ac.uk/spm). However, registration plays a critical role in achieving precise VBM analysis, especially if registration is performed directly with FA maps, which may be affected by white matter degeneration caused by neurologic diseases or brain injury. To improve the accuracy of registration, we used an optimized VBM analysis for FA maps as follows. First, \( b = 0 \) images of each HC were normalized to Montreal Neurological Institute space on the basis of an echo-planar imaging template, and normalized \( b = 0 \) images of all healthy controls were averaged. Next, the averaged \( b = 0 \) images were spatially smoothed by use of an isotropic Gaussian filter with a full width at half maximum of 6 mm. Thus, we obtained a new template of \( b = 0 \) image in the Montreal Neurological Institute space. Subsequently, individual \( b = 0 \) images of all patients and healthy controls were registered to the new template (the normalization used the nonlinear registration method in SPM, including affine transformation and non-linear transformation), and corresponding transformation matrices were also obtained. Then, FA maps of each participant were normalized to Montreal Neurological Institute space by using their corresponding transformation matrix and smoothed by using an isotropic Gaussian filter with a full width at half maximum of 6 mm. Finally, a 2-sample \( t \) test was performed for smoothed FA maps between CPH and PPH. The threshold was set at a combined cutoff value of \( P < .001 \) (uncorrected) and a minimal cluster size of 20 voxels.

TBSS Analysis of FA Maps between the CPH Group and the PPH Group

We performed TBSS analysis by using FMRIB’s free software FSL by the following procedures.7 1) After preprocessing, we first created a FA target image by averaging the normalized FA maps of all healthy controls in Montreal Neurological Institute space, which were obtained in the VBM analysis. Second, we estimated 2 transformations: nonlinear transformations from all individual FA maps to the FA target image and an affine transformation from the FA target image to a \( 1 \times 1 \times 1 \)-mm\(^3\) FA template in Montreal Neurological Institute 152 space, called FMRIB58_FA (the normalization used the nonlinear registration tool FNIRT in FSL). Finally, we combined the 2 transformations to take each original FA map directly into Montreal Neurological Institute 152 space. 2) We created the mean of all aligned FA images and applied “thinning” (nonmaximal suppression perpendicular to the local tract structure) to create a skeletonized mean FA image. The threshold was set at 0.2 to suppress areas of low mean FA and/or high intersubject variability. 3) We projected each participant’s (aligned) FA image onto the skeleton by filling the skeleton with FA values from the nearest relevant tract center. This was achieved, for each skeleton voxel, by searching perpendicular to the local skeleton structure for the maximal value in the participant’s FA image. 4) We carried out voxelwise statistics between CPH and PPH on the FA data in skeleton space. The threshold was set at \( P < .001 \) (uncorrected), and the statistical result was shown on the TBSS skeleton with the thickened image, which was performed by using the script of tbss_fill in FSL.

ROI Analysis of FA Maps

According to the significant differences between CPH and PPH FA maps detected by VBM analysis, we performed ROI analysis in the identified ROIs to further quantify the degree of secondary degeneration in CPH and PPH and to compare these with healthy controls. First, we made binary masks by using the clusters with significant group differences of FA maps between CPH and PPH. Then, the respective binary masks were applied to the normalized FA maps of all participants (CPH, PPH, and healthy controls). Finally, each ROI value for the patients was obtained by averaging all voxel values within each mask. In addition, correlation analysis was carried out between Fugl-Meyer Assessment scores (hand+wrist) and each ROI value across all patients. For ROI analysis, a \( P \) value < .05 was considered to be statistically significant.

RESULTS

Clinical Data

No significant differences between the 2 subgroups were observed in age (PPH: mean ± SD, 60.92 ± 7.81 years; CPH: mean ± SD, 62.73 ± 7.21 years; \( P > .1 \)), time post stroke (PPH: mean ± SD, 18.25 ± 14.82 months; CPH: mean ± SD, 29.00 ± 25.14 months; \( P > .1 \)), lesion volume (PPH: mean ± SD, 35.73 ± 36.14 mL; CPH: mean ± SD, 40.24 ± 41.87 mL; \( P > .1 \)), and Mini-Mental State Examination (PPH: mean ± SD, 28.58 ± 1.44; CPH: mean ± SD, 28.45 ± 1.44; \( P > .1 \)). We found a significant difference of the Fugl-Meyer Assessment score (hand+wrist) between the 2 subgroups (PPH: mean ± SD, 15.42 ± 6.54; CPH: mean ± SD, 1.27 ± 1.49; \( P < .01 \)). In addition, no significant correlation between lesion volume and Fugl-Meyer Assessment score (hand+wrist) was observed (\( R = −0.13; P > .1 \)).

VBM Analysis of FA Maps between the CPH Group and the PPH Group

Compared with PPH, FA was significantly decreased in CPH in widespread regions of the motor system, including the ipsilesional medial frontal gyrus (cingulate gyrus), precentral gyrus, superior temporal gyrus, supplementary motor area, sublobar areas (internal capsule, thalamus, basal ganglia), brain stem, and the contral- esional postcentral gyrus (Fig 2A and Table 2).

TBSS Analysis of FA Maps between the CPH Group and the PPH Group

FA was significantly decreased in CPH compared with PPH in the ipsilesional medial frontal gyrus (cingulate gyrus), precentral gyrus, postcentral gyrus, superior temporal gyrus, internal capsule, brain stem, and contral- esional postcentral gyrus (Fig 2B).

We observed that patterns of the FA difference between the CPH and PPH were similar between VBM analysis and TBSS analysis. The patterns consistently involved 4 parts of the pyramidal tract: ipsilesional cortical sensorimotor areas, perile-
sional areas, brain stem, and contralesional cortical sensorimotor areas.

**ROI Analysis of FA Maps**

Compared with PPH, FA was decreased in CPH in the ROIs in the ipsilesional brain stem \((P < .01)\), medial frontal gyrus \((P < .01)\), precentral gyrus \((P < .01)\), superior temporal gyrus \((P < .01)\), supplementary motor area \((P < .01)\), sublobar areas \((P < .01)\), and contralesional postcentral gyrus \((P < .01)\).

Compared with healthy controls, FA was decreased in CPH in the ROIs in the ipsilesional brain stem \((P < .01)\), medial frontal gyrus \((P < .01)\), precentral gyrus \((P < .01)\), superior temporal gyrus \((P < .01)\), supplementary motor area \((P < .01)\), sublobar areas \((P < .01)\), and contralesional postcentral gyrus \((P < .01)\).

In a similar fashion, FA was decreased in PPH in the ROIs in the ipsilesional brain stem \((P < .01)\), medial frontal gyrus \((P < .01)\), precentral gyrus \((P < .01)\), superior temporal gyrus \((P > .05)\), supplementary motor area \((P < .01)\), sublobar areas \((P < .01)\), and contralesional postcentral gyrus \((P < .05)\) compared with healthy controls (On-line Fig 2).

In addition, FA value correlated with Fugl-Meyer Assessment scores (hand+wrist) across all patients in the identified ROIs in the ipsilesional brain stem \((r = 0.83; P < .01)\), medial frontal gyrus \((r = 0.79; P < .01)\), precentral gyrus \((r = 0.84; P < .01)\), superior temporal gyrus \((r = 0.79; P < .01)\), supplementary motor area \((r = 0.69; P < .01)\), sublobar areas \((r = 0.90; P < .01)\), and contralesional postcentral gyrus \((r = 0.80; P < .01)\) (On-line Fig 3).

**DISCUSSION**

To the best of our knowledge, this study is the first that combines VBM and TBSS to investigate secondary degeneration in subgroups of patients who have had a stroke with different outcomes in hand function, and the differences in FA maps between CPH and PPH detected by the 2 methods were extremely consistent. Moreover, further quantitative analysis based on ROIs detected

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**Table 2: VBM analysis of FA maps between the CPH group and the PPH group**

| Regions                                      | MNI Coordinates | Peak t Score | Number of Voxels | Volume (mL) |
|----------------------------------------------|-----------------|--------------|------------------|-------------|
| Brain stem (IL)                              | −2 −25 −21     | 5.53         | 220              | 660         |
| Sublobar (internal capsule/basal ganglia/thalamus) (IL) | −25 −14 27   | 6.95         | 556              | 1668        |
| Superior temporal gyrus (IL)                 | −42 −45 15     | 4.81         | 68               | 204         |
| Precentral gyrus (IL)                        | −20 −18 54     | 4.92         | 122              | 366         |
| Medial frontal gyrus (cingulate gyrus) (IL)   | −13 −4 51      | 5.05         | 62               | 186         |
| Supplementary motor area (IL)                | −11 −12 60     | 5.23         | 54               | 162         |
| Postcentral gyrus (CL)                       | 18 −36 57      | 5.67         | 55               | 165         |

Note: The threshold was set at a combined cutoff value of \(P < .001\) (uncorrected) and a minimal cluster size of 20 voxels. IL indicates ipsilesional; CL, contralesional; MNI, Montreal Neurological Institute.

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**FIG 2.** Significantly decreased FA was detected by VBM analysis (A) and TBSS analysis (B) in CPH compared with PPH. The threshold was set at a combined cutoff value of \(P < .001\) and a minimal cluster size of 20 voxels (for VBM analysis) or \(P < .001\) (for TBSS analysis). Color scale = t values; L = left; R = right. Arabic numeral indicates the location of each coronal section. Lesions were located on the left side.
by group differences in our VBM analysis demonstrated that sec-
ondary degeneration arose in widespread regions of normal-ap-
pearing white matter after stroke, and the degree of degeneration
related to outcomes in hand function.

Secondary degeneration of the pyramidal tract after focal mo-
tor pathway stroke has been reported in previous studies with use
of DTI. At early or chronic stages, there is a reduction in FA value
on the affected side in the fiber tract distal to the primary lesion.
Moreover, the early decrease of FA correlates positively with mo-
tor deficits, and the more pronounced the degeneration of the
pyramidal tract, the higher the motor deficit. A strong corre-
lation between degeneration in the cerebral peduncle and func-
tional outcome of the upper limbs has been detected in patients
with chronic stage stroke. In addition, longitudinal studies have
demonstrated that a progressive decrease of FA occurs along the
pyramidal tract in the cerebral peduncle below the primary le-
sion. In accordance with previous studies, we found that FA of
the ipsilesional brain stem significantly decreased in both CPH
and PPH compared with healthy controls, and FA also signifi-
cantly decreased in CPH compared with PPH. Furthermore, FA
related positively with Fugl-Meyer Assessment scores (hand+wrist) across all patients. Our results not only demon-
strate descending degeneration after focal motor pathway stroke
but also further suggest that the degree of secondary degeneration
in the ipsilesional brain stem contributes to different outcomes in
hand function.

In addition, FA decreased in widespread regions of the motor
system above the primary lesion in both CPH and PPH compared
with healthy controls, including the ipsilesional medial frontal
gyrus, precentral gyrus, superior temporal gyrus, supplementary
motor area, and contralesional postcentral gyrus. A case of pon-
tine infarction with retrograde degeneration has been detected
and confirmed postmortem, and the progressive reduction of
FA value in the fiber tract above the initial infarction also reflects
retrograde degeneration proximal to the primary lesion. Two
types of fiber tracts have been proposed in previous studies: 1) one
is from neurons in the motor cortex, descending from the subcor-
tex to the spinal cord and production pyramidal tract; and 2) the
other is derived from relay nuclei in the thalami that consist of
basal ganglia-thalamocortical circuits. Moreover, Alexander et al (1986) suggested that the 5 basal ganglia-thalamocor-
tical circuits are organized in parallel and that each circuit engages
specific regions of the cerebral cortex involving the motor cortex,
somatosensory cortex, supplementary motor area, cingulate mo-
tor cortex, and superior temporal gyrus. It is possible that de-
creased FA in widespread regions of the motor system above the
primary lesion may be considered retrograde degeneration of dif-
derent tract pathways, which leads to motor deficits after stroke.

We especially found decreased FA in the contralesional pyra-
midal tract near the sensorimotor cortex in both CPH and PPH
compared with healthy controls. Although previous studies have
reported secondary degeneration along the pyramidal tract on the
affected side, often incorporating FA value in the contralesional
regions as controls, the plasticity of the contralesional pyrami-
dal tract has rarely been studied. One previous study reported that
the FA of the normal-appearing white matter of the whole brain
increased within 2 years after stroke, but it did not demonstrate
how FA evolved in the contralesional white matter. More recently,
a longitudinal study has demonstrated that a segment of the cor-
ticospinal tract (from the uppermost section of the cerebral pe-
duncle to the lowest section of the pons) in the unaffected side did
not have obvious plasticity. In line with these findings, we found
no secondary degeneration on the unaffected side that corre-
lated with outcomes in hand function, except the uppermost region of
the pyramidal tract. It is important to note that a longitudinal
study using resting-state functional MR imaging reported de-
creased functional connectivity of the bilateral primary sensori-
motor cortex after stroke, nevertheless, in which the patients had
different degrees of motor recovery. In contrast, our study,
which investigated subgroups of patients with stroke, found de-
creased functional connectivity of the bilateral primary sensori-
motor cortex in CPH compared with healthy controls; however,
this finding was not observed in PPH with healthy con-
trasts (unpublished data). Therefore, we speculate that secondary
degeneration of the contralesional pyramidal tract near the sen-
so-rimotor cortex is associated with functional reorganization of
the bilateral motor cortex and that the degree of the degeneration
may affect outcomes in hand function.

Our results not only show decreased FA in these identified
ROIs in CPH compared with PPH, but they also exhibit a signifi-
cantly positive correlation between FA value and Fugl-Meyer As-
sessment scores (hand+wrist). Recovery of motor function after
stroke may occur for weeks or months and is attributed to cerebral
reorganization. The impaired functional integrity of the corti-
cospinal system may recruit secondary motor networks in both
hemispheres to generate motor output to spinal cord motor neu-ons, but the secondary motor networks are less efficient at gen-
erating motor output, so this type of reorganization can be only
partly successful in reducing motor deficits after stroke. There-
fore, the outcome of motor function is likely to be attributed to
the degree of damage of the primary motor system. Our findings
suggest that the degree of secondary degeneration above the pri-
mary lesion correlates with the outcomes in hand function.

Our study did have some open limitations in methodol-
ogy. For example, ambiguity remains as to whether apparent dif-
ferences highlighted by VBM approaches are attributed to differ-
ces in brain regions or local misalignment. Smoothing can be
used to partly reduce intersubject variability and misalignments,
but it simultaneously increases partial volume effects. TBSS does
not require smoothing and performs alignment across partici-
ants effectively. However, processing FA maps with use of TBSS
is easily affected by white matter degeneration caused by neuro-
logic diseases. In addition, the spatial accuracy of TBSS analysis
is limited by the skeleton and, therefore, does not provide signifi-
cant detail in some locations. Moreover, it compares extremely
different skeletons to replace the complete brain white matter
structure. To confirm the accuracy and validity of our findings,
we combined VBM and TBSS as complementary methods. In ad-
dition, we adopted an optimized strategy for registering FA maps
by using individual b = 0 images, and we carefully examined the
result of normalization for each participant. Better methods and
algorithms for voxel-based analysis across the whole brain are
desired to further validate the reliability of our results. Finally, we
did not consider the extent of leukoaraiosis, which will be involved in a future study.

CONCLUSIONS
Different outcomes in hand function in different subgroups of patients with stroke may be attributed to different degrees of secondary degeneration in widespread regions of the motor system. Therefore, our findings of secondary degeneration in these regions can serve as a potential biomarker for outcomes in hand function after subcortical stroke.

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