Electronic spectrum and superconductivity in the $t$-$J$ model on the honeycomb lattice

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A microscopic theory of electronic spectrum and superconductivity within the $t$-$J$ model on the honeycomb lattice is formulated. The Dyson equation for the normal and anomalous Green functions for the two-band model in terms of the Hubbard operators is derived by applying the Mori-type projection technique. The self-energy is evaluated in the self-consistent Born approximation for electron scattering on spin and charge fluctuations induced by the kinematical interaction for the Hubbard operators. Superconducting pairing mediated by the antiferromagnetic exchange and spin fluctuations is discussed.

Key words: strong electron correlations, $t$-$J$ model, honeycomb lattice, superconductivity

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1. Introduction

One of the crucial issues in the current theory of condensed matter is the study of superconductivity in strongly correlated electronic systems, as in the cuprate high-temperature superconductors (see, e.g., [1]). The basic model commonly used in these studies is the Hubbard model which is specified by two parameters: the single-electron hopping matrix element $t$ and the single-site Coulomb energy $U$. A rigorous analytical treatment of the model is based on the Hubbard operator (HO) technique [2] since in this representation the local constraint of no double occupancy of any lattice site is rigorously implemented by the Hubbard operator algebra. A superconducting pairing due to the kinematical interaction in the Hubbard model in the limit of strong electron correlations ($U \to \infty$) was first considered by Zaitsev and Ivanov [3] who used a diagram technique for the HOs. Subsequently, superconducting pairing in the Hubbard model was studied by Plakida and Stasyuk [4] by applying the equation of motion method for the thermodynamic Green functions (GFs) [7,8]. In the later studies [9,10] of the $t$-$J$ model within the Mori-type projection technique [11,12], a formula for the superconducting temperature similar to [3] was obtained in the mean-field approximation (MFA). A self-consistent solution of Dyson equations beyond the MFA for normal and anomalous GFs and respective self-energies within the $t$-$J$ model was performed in [13] that confirmed the results of the previous studies in MFA [9,10]. Detailed studies of the extended Hubbard model on the square lattice were performed in [14,15]. Taking into account an intersite Coulomb repulsion and electron-phonon interaction, a microscopic theory of superconductivity in cuprates was formulated. It was proved that the spin-fluctuation pairing mechanism induced by the strong kinematical interaction is the leading interaction which results in high-temperature superconductivity.

In recent years, the two-dimensional carbon honeycomb lattice, the graphene, has been extensively studied due its peculiar electronic properties (for a review see [16,17]). Studies of the graphene beyond the simple model of noninteracting electrons by taking into account the Coulomb interaction reveal a rich phase diagram with phase transitions to the antiferromagnetic (AF) state, spin-density wave (SDW), charge-density wave (CDW), and nonconventional superconductivity (SC). Superconducting phase transitions in the Hubbard model on the honeycomb lattice have been considered in several
publications. The renormalization group approach was used in [18] to study phase transitions in the extended Hubbard model with a moderate on-site interaction $U$. Close to half-filling, the SDW or CDW orders occur, while for a large doping, $f$-wave triplet-pairing and $d + id$-wave singlet-pairing appear. Using the dynamic cluster approximation for the Hubbard model with $U/t = 2 - 6$, a transition from the $d + id$-wave singlet-pairing at weak coupling to the $p$-wave triplet pairing at larger coupling was observed in [19].

In the limit of strong correlations, $U \gg t$, two conduction bands of electrons in the honeycomb lattice split into the singly- and doubly-occupied Hubbard subbands. In this limit, the Hubbard model can be reduced to the two-band $t$-$J$ model for the projected electron operators. A detailed study of the $t$-$J$ model on the honeycomb lattice was presented in [20]. The ground-state energy and the staggered magnetization in the AF phase as function of doping $\delta$ have been calculated using the Grassmann tensor product state approach, exact diagonalization and density-matrix renormalization methods. The occurrence of the time-reversal symmetry breaking $d + id$-wave SC at large doping was found. Moreover, a coexisting of the SC and AF order was observed for low doping, $0 < \delta < 0.1$, where the triplet pairing is induced.

In the above cited papers, mostly the phase diagram of the models with the Coulomb interaction on the honeycomb lattice was studied at zero temperature by numerical methods. To investigate temperature and doping dependence of the phase diagram and, in particular, superconduction phase transition analytical methods should be used. The honeycomb Heisenberg model at half-filling over the whole temperature region both in the AF and paramagnetic phases was studied in [21]. The electronic and spin-fluctuation methods should be used. The honeycomb Heisenberg model at half-filling over the whole temperature region both in the AF and paramagnetic phases was studied in [21]. The electronic and spin-fluctuation methods should be used. The honeycomb Heisenberg model at half-filling over the whole temperature region both in the AF and paramagnetic phases was studied in [21]. The electronic and spin-fluctuation methods should be used. The honeycomb Heisenberg model at half-filling over the whole temperature region both in the AF and paramagnetic phases was studied in [21]. The electronic and spin-fluctuation methods should be used.

In section 2 we formulate the $t$-$J$ model in terms of Hubbard operators. The Dyson equation is derived in section 3. The results of calculation of the electronic spectrum and the superconduction gap function are presented in section 4. The conclusion can be found in section 5.

2. The $t$-$J$ model

We consider the $t$-$J$ on the honeycomb lattice. The honeycomb lattice is bipartite with two triangular sublattices A and B. Each of the $N$ sites on the A sublattice is connected to three nearest-neighbor (nn) sites belonging to the B sublattice by vectors $\delta_n$, and $N$ sites on B are connected to A by vectors $-\delta_n$:

$$
\delta_1 = \frac{a_0}{2}(\sqrt{3}, -1), \quad \delta_2 = -\frac{a_0}{2}(\sqrt{3}, 1), \quad \delta_3 = a_0(0, 1).
$$  \hspace{1cm} (2.1)

The basis vectors are $a_1 = \delta_3 - \delta_2 = (a_0/2)(\sqrt{3}, 3)$ and $a_2 = \delta_3 - \delta_1 = (a_0/2)(-\sqrt{3}, 3)$, the lattice constant is $a = |a_1| = |a_2| = \sqrt{3}a_0$, where $a_0$ is the nn distance; hereafter we put $a_0 = 1$. The reciprocal lattice vectors are $k_1 = (2\pi/3)(\sqrt{3}, 1)$ and $k_2 = (2\pi/3)(-\sqrt{3}, 1)$.

In conventional notation, the $t$-$J$ model reads:

$$
H = -t \sum_{\langle i,j \rangle \sigma} \hat{a}_{i,\sigma}^\dagger \hat{a}_{j,\sigma} - \mu \sum_{i,\sigma} n_{i,\sigma} + \frac{J}{2} \sum_{\langle i,j \rangle} \left( \mathbf{S}_i \cdot \mathbf{S}_j - \frac{1}{4} n_i n_j \right),
$$  \hspace{1cm} (2.2)

where for the two-sublattice representation A, B of the site indices on the honeycomb lattice, a shorter notation $i \sigma \rightarrow i$ is used. The projected electron operators in the singly occupied Hubbard subband in the model [22] are defined as $\hat{a}_{i,\sigma}^\dagger = \hat{a}_{i,\sigma}^\dagger (1 - n_{i,\sigma})$ and $\hat{a}_{i,\sigma} = \hat{a}_{i,\sigma} (1 - n_{i,\sigma})$ for creation and annihilation of an electron with spin $\sigma/2$ ($\sigma = \pm 1$, $\bar{\sigma} = -\sigma$), $n_{i,\sigma} = \hat{a}_{i,\sigma}^\dagger \hat{a}_{i,\sigma}$, and $n_i = \sum_{\sigma} n_{i,\sigma}$. Here, $t$ is the nn electron hopping energy and $J = 4t^2/U$ is the nn AF exchange interaction for electronic spins $\mathbf{S}_i$.

To take into account, on a rigorous basis, the no-double-occupancy constraint for the projected electron operators $\hat{a}_{i,\sigma}^\dagger$, we employ the Hubbard operator (HO) technique [2]. The HO's $X_{i,m}^{nm} = |i, n\rangle \langle i, m|$
determine transitions between three possible states on a lattice site $i$: $|i, n⟩ = |i, 0⟩$ and $|i, σ⟩$ for an empty site and for a singly occupied site by an electron with spin $σ/2$, respectively. The electron number operator and the spin operators in terms of HOs are defined as

$$n_i = \sum_{σ} X_{iσ} = X_{i}^{++} + X_{i}^{--},$$

(2.3)

$$S_{iσ} = X_{i}^{σ+−}, \quad S_{i}^{z} = (σ/2) \{X_{i}^{σσ} - X_{i}^{σ+−}\}.$$  

(2.4)

The completeness relation for the HOs, $X_{i}^{00} + \sum_{σ} X_{i}^{σσ} = 1$, rigorously preserves the constraint of no-double-occupancy for a quantum state $|i, n⟩$ on any lattice site $i$. From the multiplication rule $X_{i}^{nm} X_{i}^{kl} = δ_{mk} X_{i}^{nl}$ for HOs, there follow the commutation relations:

$$[X_{i}^{nm}, X_{j}^{kl}]_± = δ_{ij} \{ δ_{mk} X_{i}^{nl} \pm δ_{nl} X_{i}^{km} \}.$$  

(2.5)

The upper sign refers to Fermi-type operators such as $X_{i}^{0σ}$, while the lower sign refers to Bose-type operators such as $n_i$ (2.3) or the spin operators (2.4).

Using the Hubbard operator representation for $δ_{ij}$, $\bar{X}_{i}^{σ}$, and equations (2.3) and (2.4), we write the Hamiltonian of the $t$-$J$ model (2.2) in the form:

$$H = -t \sum_{⟨i,j⟩σ} X_{i}^{σ0} X_{j}^{0σ} - μ \sum_{σ} X_{i}^{σσ}\sigma + J/4 \sum_{⟨i,j⟩σσ} \left( X_{i}^{σ+−} X_{j}^{σ+−} - X_{i}^{σσ} X_{j}^{σσ} \right).$$

(2.6)

The chemical potential $μ$ depends on the average electron occupation number $n = n_A = n_B$,

$$n_σ = \frac{1}{N} \sum_{iσ} ⟨n_{iσ,σ}⟩,$$

(2.7)

where $N$ is the number of unit cells and $⟨...⟩$ denotes the statistical average with the Hamiltonian (2.6).

### 3. Dyson equation

To consider superconductivity within the model (2.6), we introduce the anticommutator two-time matrix Green function (GF) [7, 8]

$$G_{ijσ}(t - t') = -i \theta(t - t') \{ [\hat{X}_{iσ}(t), \hat{X}_{jσ}(t')] \} \equiv ⟨⟨\hat{X}_{iσ}(t), \hat{X}_{jσ}(t')⟩⟩,$$

(3.1)

where $X(t) = e^{tH} X e^{-tH}$ and $θ(x)$ is the Heaviside function. Here, we use Nambu notation and introduce the Hubbard operators in the two-sublattice representation $\hat{X}_{iσ}$ and $\hat{X}_{jσ}$ where

$$\hat{X}_{iσ} = \begin{pmatrix} X_{iA}^{0σ} \\ X_{iB}^{0σ} \\ X_{iA}^{σσ} \\ X_{iB}^{σσ} \end{pmatrix}, \quad \hat{X}^\dagger_{jσ} = \begin{pmatrix} X_{jA}^{σ0} X_{jB}^{0σ} \\ X_{jA}^{σσ} X_{jB}^{σσ} \end{pmatrix}.$$  

(3.2)

The Fourier representation in $(k, ω)$-space is defined by

$$G_{ijσ}(t - t') = \int_{-∞}^{∞} \frac{dω}{2π} e^{-iω(t - t')} \frac{1}{N} \sum_{k} e^{i(kr_i - r_j)} G_{σ}(k, ω).$$

(3.3)

The $4 \times 4$ matrix GF (3.1) can be written as

$$G_{ijσ}(ω) = ⟨⟨\hat{X}_{iσ} | \hat{X}^\dagger_{jσ}⟩⟩_ω = \begin{pmatrix} \hat{G}_{ijσ}(ω) & \hat{G}_{iσ}(ω) \\ \hat{G}_{jσ}(ω) & -\hat{G}_{ijσ}(-ω) \end{pmatrix}.$$  

(3.4)
where the normal $\hat{G}_{ij\sigma}$ and anomalous $\hat{F}_{ij\sigma}$ components of the GF are $2 \times 2$ matrices, which are coupled by the symmetry relations for the anticommutator retarded GF [7,8].

To calculate the GF (3.1), we use the equation of motion method. Differentiating the GF with respect to time $t$, its Fourier representation leads to the equation

$$\omega G_{ij\sigma}(\omega) = \delta_{ij} Q + \langle \{[\tilde{X}_{i\sigma}, H], \tilde{X}^\dagger_{j\sigma}\}\rangle_\omega, \tag{3.5}$$

where $Q = \langle \{\tilde{X}_{i\sigma}, \tilde{X}^\dagger_{i\sigma}\}\rangle = \tilde{\epsilon}_0 Q$. Here, $\tilde{\epsilon}_0$ is the 4 $\times$ 4 unit matrix and in a paramagnetic state, the coefficient $Q = \langle X_{i\sigma}^{\text{eq}} + X_{i\sigma}^{\text{prop}}\rangle = 1 - n_i/2$ depends on the occupation number of electrons (2.7) only.

For a system of strongly correlated electrons as in the $t$-$J$ model, there is no well-defined quasi-particle (QP) excitations specified by zeroth-order kinetic energy. Therefore, it is convenient to choose the mean-field contribution to the energy of QPs in the equations of motion (3.5) as the zeroth-order QP energy. To identify this contribution, we use the projection operator method developed for the GF [12].

To this end, we write the operator $\tilde{Z}_{i\sigma} = [\tilde{X}_{i\sigma}, H]$ in (3.5) as a sum of the linear part, proportional to the original operator $\tilde{X}_{i\sigma}$, and the irreducible part $\tilde{Z}^\text{ir}_{i\sigma}$ orthogonal to $\tilde{X}_{i\sigma}$:

$$\tilde{Z}_{i\sigma} = [\tilde{X}_{i\sigma}, H] = \sum_t E_{ij\sigma} \tilde{X}_{j\sigma} + \tilde{Z}^\text{ir}_{i\sigma}. \tag{3.6}$$

The orthogonality condition $\langle \{\tilde{Z}^\text{ir}_{i\sigma}, \tilde{X}^\dagger_{j\sigma}\}\rangle = \langle \tilde{Z}^\text{ir}_{i\sigma} \tilde{X}^\dagger_{j\sigma} + \tilde{X}^\dagger_{j\sigma} \tilde{Z}^\text{ir}_{i\sigma}\rangle = 0$ determines the linear part, the frequency matrix:

$$E_{ij\sigma} = \langle \{[\tilde{X}_{i\sigma}, H], \tilde{X}^\dagger_{j\sigma}\}\rangle Q^{-1} = \begin{pmatrix} \hat{E}_{ij} & \hat{\Delta}_{ij\sigma} \\ \hat{\Delta}_{ij\sigma}^\dagger & -\hat{E}_{ij} \end{pmatrix}. \tag{3.7}$$

Frequency matrix (3.7) determines QP spectrum $\hat{E}_{ij}$ and the gap function $\hat{\Delta}_{ij\sigma}$ in the GMFA. The corresponding zeroth-order GF in the Fourier representation reads

$$G^0_{\sigma\sigma}(\mathbf{k}, \omega) = \left[\omega \tilde{\epsilon}_0 - E_{\sigma}(\mathbf{k})\right]^{-1} Q, \quad \mathbf{E}(\mathbf{k}) = \begin{pmatrix} \hat{E}(\mathbf{k}) & \hat{\Delta}_{\sigma}(\mathbf{k}) \\ \hat{\Delta}_{\sigma}^\dagger(\mathbf{k}) & -\hat{E}(\mathbf{k}) \end{pmatrix}. \tag{3.8}$$

Differentiating the multiparticle GF $\langle \{\tilde{Z}_{i\sigma}(t), \tilde{X}^\dagger_{j\sigma}(t')\}\rangle$ in (3.5) with respect to the second time $t'$ and using the same projection procedure as in (3.6) leads to the Dyson equation for the GF (3.1). In the $(\mathbf{k}, \omega)$-representation, the Dyson equation reads

$$[G_{\sigma\sigma}(\mathbf{k}, \omega)]^{-1} = [G^0_{\sigma\sigma}(\mathbf{k}, \omega)]^{-1} - \Sigma_{\sigma\sigma}(\mathbf{k}, \omega). \tag{3.9}$$

The self-energy operator $\Sigma_{\sigma\sigma}(\mathbf{k}, \omega)$ is defined by the proper part of the scattering matrix which has no parts connected by the zeroth order GF (3.3):

$$\Sigma_{\sigma\sigma}(\mathbf{k}, \omega) = Q^{-1}\langle \{\tilde{Z}_{i\sigma}(t), \tilde{X}^\dagger_{j\sigma}(t')\}\rangle^{\text{prop}} Q^{-1}. \tag{3.10}$$

The self-energy operator (3.10) can be written in the same form as GF (3.3):

$$\Sigma_{ij\sigma}(\omega) = Q^{-1} \begin{pmatrix} \hat{M}_{ij}(\omega) & \hat{\Phi}_{ij\sigma}(\omega) \\ \hat{\Phi}_{ij\sigma}(\omega)^\dagger & -\hat{M}_{ij}(\omega) \end{pmatrix} Q^{-1}. \tag{3.11}$$

The normal $\hat{M}$ and anomalous (pair) $\hat{\Phi}$ components of the self-energy operator (3.11) are given by the $2 \times 2$ matrices [see (3.22), (3.23)].

The system of equations for the $(4 \times 4)$ matrix GF (3.4) and the self-energy (3.11) can be reduced to a system of equations for the normal $G_{\sigma\sigma}(\mathbf{k}, \omega)$ and anomalous $\hat{F}_{\sigma\sigma}(\mathbf{k}, \omega)$ $(2 \times 2)$ matrix components. By using representations for the frequency matrix (3.7) and the self-energy (3.11), we derive the following system of matrix equations for them:

$$\hat{G}_{\sigma\sigma}(\mathbf{k}, \omega) = \left[\hat{G}_N(\mathbf{k}, \omega)^{-1} + \phi_{\sigma\sigma}(\mathbf{k}, \omega) \hat{G}_N(\mathbf{k}, -\omega) \phi_{\sigma\sigma}(\mathbf{k}, \omega)\right]^{-1} Q, \tag{3.12}$$

$$\hat{F}_{\sigma\sigma}(\mathbf{k}, \omega) = -\hat{G}_N(\mathbf{k}, -\omega) \phi_{\sigma\sigma}(\mathbf{k}, \omega) \hat{G}_N(\mathbf{k}, \omega). \tag{3.13}$$
In (3.12), we introduced the normal state matrix GF and the matrix superconducting gap function:

\[
\hat{G}_\sigma^0(k, \omega) = \left[ \omega \tau_0 - \hat{\epsilon}(k) - \hat{\Phi}(k, \omega) / \hat{Q} \right]^{-1},
\]

(3.14)

\[
\phi_\sigma(k, \omega) = \hat{\Delta}_\sigma(k) + \hat{\Phi}_\sigma(k, \omega) / \hat{Q}.
\]

(3.15)

To obtain a closed system of equations, we should evaluate the multiparticle GF in the self-energy operator (3.11) which describes the processes of inelastic scattering of electrons on charge and spin fluctuations due to the kinematic interaction.

### 3.1. Mean-field approximation

The superconducting pairing in the Hubbard model already occurs in the MFA and is caused by the kinetic exchange interaction as proposed by Anderson [24, 25]. It is, therefore, reasonable to consider the MFA described by zeroth-order GF (3.8) separately. Using commutation relations for Hubbard operators [25], we evaluate the frequency matrix (3.7). The matrix \( \hat{E}(k) \) determines the QP spectrum in the normal phase (see [22]):

\[
\hat{E}(k) = \frac{1}{N} \sum_{i,j} \exp[ik(r_i - r_j)] E_{ij} = \begin{pmatrix} e_A(k) & e_{AB}(k) \\ e_{BA}(k) & e_B(k) \end{pmatrix},
\]

\[
e_A(k) = \langle \left\{ [X_{k\alpha}^\sigma, H], X_{k\alpha}^{\sigma^0} \right\} \rangle Q^{-1},
\]

\[
e_{AB}(k) = \langle \left\{ [X_{k\alpha}^\sigma, H], X_{k\beta}^{\sigma^0} \right\} \rangle Q^{-1},
\]

\[
e_{BA}(k) = (e_{AB}(k))^\dagger.
\]

(3.16)

The solution of the matrix equation for the zero-order GF (3.8) in the normal state reads:

\[
\hat{G}_\sigma^0(k, \omega) = \begin{pmatrix} G_{A\sigma}^0(k, \omega) & G_{AB}^0(k, \omega) \\ G_{BA}^0(k, \omega) & G_{B\sigma}^0(k, \omega) \end{pmatrix} = \frac{\hat{Q}}{D(k, \omega)} \begin{pmatrix} \omega - e_B(k) & e_{AB}(k) \\ e_{BA}(k) & \omega - e_A(k) \end{pmatrix},
\]

(3.17)

where

\[
D(k, \omega) = [\omega - e_A(k)][\omega - e_B(k)] - |e_{AB}(k)|^2 = [\omega - e_+(k)][\omega - e_-(k)].
\]

(3.18)

The electronic spectrum has two branches:

\[
\epsilon_\pm(k) = \frac{1}{2} [e_A(k) + e_B(k)] \pm \frac{1}{2} \left[ |e_A(k) - e_B(k)|^2 + 4|e_{AB}(k)|^2 \right]^{1/2} = \epsilon(k) \pm |e_{AB}(k)|,
\]

(3.19)

where we take into account the fact that in the paramagnetic state, the sublattices are equivalent and, therefore, \( e_A(k) = e_B(k) = \epsilon(k) \). We calculate the spectrum and consider the Fermi surface in the normal state in the next section.

Now, we evaluate the anomalous component \( \hat{\Delta}_{i,j\sigma} \) of the matrix (3.7), which determines the superconducting gap. Similar to the normal-state frequency matrix (3.16), we obtain the representation

\[
\hat{\Delta}_\sigma(k) = \frac{1}{N} \sum_{i,j} \exp[ik(r_i - r_j)] \begin{pmatrix} \Delta_{ij,\sigma} & \Delta_{ij,\sigma} \\ \Delta_{ij,\sigma} & \Delta_{ij,\sigma} \end{pmatrix} = \begin{pmatrix} \Delta_{A\sigma}(k) & \Delta_{AB\sigma}(k) \\ \Delta_{BA\sigma}(k) & \Delta_{B\sigma}(k) \end{pmatrix},
\]

\[
\Delta_{A\sigma}(k) = \langle \left\{ [X_{k\alpha}\sigma, H], X_{k\alpha}^{\sigma^0} \right\} \rangle Q^{-1},
\]

\[
\Delta_{AB\sigma}(k) = \langle \left\{ [X_{k\alpha}\sigma, H], X_{k\beta}^{\sigma^0} \right\} \rangle Q^{-1},
\]

\[
\Delta_{BA\sigma}(k) = \Delta_{\sigma}(k) \dagger.
\]

(3.20)

Diagonalization of the gap matrix (3.20) shows a two-gap structure:

\[
\Delta_{\sigma}(k) = \frac{1}{2} [\Delta_{A\sigma}(k) + \Delta_{B\sigma}(k)] \pm \frac{1}{2} \left[ |\Delta_{A\sigma}(k) - \Delta_{B\sigma}(k)|^2 + 4|e_{AB}(k)|^2 \right]^{1/2}
\]

\[
\Delta_\sigma(k) \pm |\Delta_{AB\sigma}(k)|.
\]

(3.21)

where we take into account the fact that in the paramagnetic state, the sublattices are equivalent and, therefore, \( \Delta_{A\sigma}(k) = \Delta_{B\sigma}(k) = \Delta_\sigma(k) \). We consider the gap components (3.20) in the next section.
3.2. Self-energy operator

The normal $\hat{M}$ and anomalous (pair) $\Phi$ components of the self-energy operator (3.11) are given by the $2 \times 2$ matrices:

$$
\hat{M}_{ij}(\omega) = \frac{1}{2} \sum_{\sigma,\sigma'} \langle \langle \hat{X}_{iA}^{0\sigma} | [H, \hat{X}_{jA}^{0\sigma}] \rangle \rangle_{\omega},
$$

(3.22)

$$
\Phi_{ij\sigma\sigma'}(\omega) = \frac{1}{2} \sum_{\sigma,\sigma'} \langle \langle \hat{X}_{iA}^{0\sigma} | [H, \hat{X}_{jA}^{0\sigma}] \rangle \rangle_{\omega},
$$

(3.23)

where $[X_{ia}^{0\sigma}, H]$ are the irreducible parts of the commutators determined by equation (3.6). Taking into account equations for the $[X_{ia}^{0\sigma}, H]$ operators, we obtain the following representation for the matrix elements of the normal self-energy:

$$
M_{ijA}(\omega) = i^2 \sum_{l,\sigma', k,\sigma''} \langle \langle \bar{B}_{iA}^{\sigma\sigma'} X_{kB}^{0\sigma'} | X_{kB}^{0\sigma''0\bar{B}_{jA}^{\sigma''\sigma}} \rangle \rangle_{\omega},
$$

(3.24)

$$
M_{ijAB}(\omega) = i^2 \sum_{l,\sigma', k,\sigma''} \langle \langle \bar{B}_{iA}^{\sigma\sigma'} X_{kB}^{0\sigma'} | X_{kB}^{0\sigma''0\bar{B}_{jA}^{\sigma''\sigma}} \rangle \rangle_{\omega},
$$

(3.25)

where

$$
\bar{B}_{iA}^{\sigma\sigma'} = (S_{iA}^z - n_{iA}/2) \delta_{\sigma,\sigma'} + X_{iA}^{\sigma\sigma'} \delta_{\sigma',\sigma}, \quad (\bar{B}_{iA}^{\sigma\sigma'})^\dagger = \bar{B}_{iA}^{\sigma'\sigma}. \tag{3.26}
$$

In (3.24), (3.25), we take into account only the diagonal in respect to the interaction terms. Using equations for the operators $[X_{ia}^{0\sigma}, H]$, a similar representation is derived for the anomalous matrix elements (3.23):

$$
\Phi_{ijA}(\omega) = \langle \langle X_{iA}^{0\sigma} | [H, \hat{X}_{jA}^{0\sigma}] \rangle \rangle_{\omega}, \tag{3.27}
$$

$$
\Phi_{ijAB}(\omega) = \langle \langle X_{iA}^{0\sigma} | [H, \hat{X}_{jA}^{0\sigma}] \rangle \rangle_{\omega}. \tag{3.28}
$$

To calculate the self-energy matrix elements in (3.24), (3.25), and (3.27), (3.28), we use the SCBA for the multiparticle GFs. For this, it is convenient to write the self-energy in terms of time-dependent correlation functions. In particular, for the normal component (3.24), we have the spectral representation:

$$
M_{ijA}(\omega) = \sum_{l,\sigma', k,\sigma''} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \frac{e^{i\omega t} + 1}{\omega - z} dt \, dz \, f_{iA}^{\omega z t}
$$

$$
\times \left[ i^2 \langle \langle X_{kB}^{0\sigma'} \bar{B}_{jA}^{\sigma''\sigma} \bar{B}_{iA}^{\sigma''\sigma'}(t) X_{kB}^{0\sigma'}(t) \rangle \rangle_{\omega} + \frac{f_{iA}^{\omega z t}}{3} \langle \langle X_{kB}^{0\sigma'} \bar{B}_{jA}^{\sigma''\sigma} \bar{B}_{iA}^{\sigma''\sigma'}(t) X_{kB}^{0\sigma'}(t) \rangle \rangle_{\omega} \right]. \tag{3.29}
$$

In the SCBA, a propagation of excitations described by the Fermi-like operators $\hat{X}_{iA}^{0\sigma}$ and the Bose-like operators $\bar{B}_{iA}^{\sigma\sigma'}$ for $i \neq j$ is assumed to be independent. Therefore, the corresponding time-dependent multiparticle correlation functions can be written as a product of fermionic and bosonic correlation functions,

$$
\langle \langle X_{kB}^{0\sigma'} \bar{B}_{iA}^{\sigma''\sigma} \bar{B}_{iA}^{\sigma''\sigma'}(t) X_{kB}^{0\sigma'}(t) \rangle \rangle = \delta_{\sigma,\sigma'} \langle \langle X_{kB}^{0\sigma'} \bar{B}_{iA}^{\sigma''\sigma}(t) \rangle \rangle \langle \langle \bar{B}_{iA}^{\sigma''\sigma} \bar{B}_{iA}^{\sigma''\sigma'}(t) \rangle \rangle. \tag{3.30}
$$

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The time-dependent correlation functions in (3.30) are calculated self-consistently using the corresponding GFs, as e.g.,

\[
\langle X_{0A}^{0\sigma} X_{0B}^{0\bar{\sigma}}(t) \rangle = \int_{-\infty}^{\infty} d\omega N(\omega) e^{-i\omega t} [-1/(\pi)] \Im \langle \langle X_{0A}^{0\sigma} \mid X_{0B}^{0\bar{\sigma}} \rangle \rangle_{\omega}, \tag{3.31}
\]

\[
\langle B_{1A}^{\sigma\sigma} B_{1A}^{\bar{\sigma}\bar{\sigma}}(t) \rangle = \int_{-\infty}^{\infty} d\omega N(\omega) e^{-i\omega t} [-1/(\pi)] \Im \langle \langle B_{1A}^{\sigma\sigma} \mid B_{1A}^{\bar{\sigma}\bar{\sigma}} \rangle \rangle_{\omega}, \tag{3.32}
\]

where \( n(\omega) \) and \( N(\omega) \) are the Fermi- and Bose-function, respectively. Integration over time \( t \) in (3.29) yields

\[
M_{ijA}(\omega) = \sum_{l,k} \sum_{\sigma} \int_{-\infty}^{\infty} \frac{d\omega_1 d\omega_2}{\pi^2} \frac{1 - n(\omega_1) + N(\omega_2)}{\omega - \omega_1 - \omega_2} \times \left[ \left( \frac{3}{4} \right) \Im \langle \langle X_{0A}^{0\sigma} \mid X_{0B}^{0\bar{\sigma}} \rangle \rangle_{\omega_1} \Im \langle \langle B_{1A}^{\sigma\sigma} \mid B_{1A}^{\bar{\sigma}\bar{\sigma}} \rangle \rangle_{\omega_2} \right.
\]

\[
\left. + \left( \frac{1}{4} \right) \Im \langle \langle S_{1A}^{\sigma\sigma} \mid S_{1A}^{\bar{\sigma}\bar{\sigma}} \rangle \rangle_{\omega_1} \Im \langle \langle \chi_{0A}^{\bar{\sigma}0} \mid X_{0B}^{0\bar{\sigma}} \rangle \rangle_{\omega_2} \right]. \tag{3.33}
\]

Taking into account the definition of the bosonic operator (3.26), the bosonic GFs in these equations can be written as

\[
\langle \langle \chi_{0A}^{\bar{\sigma}0} \mid \chi_{0B}^{\sigma0} \rangle \rangle_{\omega} = \frac{1}{4} \langle \langle n_{0A} \mid n_{0B} \rangle \rangle_{\omega} \delta_{\sigma\bar{\sigma}} + \langle \langle S_{1A}^{\sigma\sigma} \mid S_{1A}^{\bar{\sigma}\bar{\sigma}} \rangle \rangle_{\omega} \delta_{\sigma\bar{\sigma}} + \langle \langle X_{0A}^{\bar{\sigma}0} \mid X_{0A}^{\sigma0} \rangle \rangle_{\omega} \delta_{\sigma\bar{\sigma}}. \tag{3.34}
\]

After summation over \( \sigma' \) in equation (3.33) for the bosonic GF (3.34) and taking into account that the normal GF in the paramagnetic state is independent of spin, the spin-fluctuation contribution can be written in the form:

\[
\langle \langle S_{1A}^{\sigma\sigma} \mid S_{1A}^{\bar{\sigma}\bar{\sigma}} \rangle \rangle_{\omega} + \langle \langle X_{0A}^{\bar{\sigma}0} \mid X_{0A}^{\sigma0} \rangle \rangle_{\omega} = \langle \langle S_{1A} \mid S_{1A} \rangle \rangle_{\omega} = -\chi_{ijA}(\omega). \tag{3.35}
\]

Introducing the \( k \)-representation for the GFs in the self-energy (3.33), we obtain the expression:

\[
M_{ijA}(k, \omega) = \frac{1}{N} \sum_{q} \int_{-\infty}^{+\infty} \frac{dz}{\pi} \int_{-\infty}^{+\infty} d\Omega \frac{1 + N(\Omega) - n(z)}{\omega - z - \Omega} \times \left[ \left( \frac{3}{4} \right) \Im \langle \langle X_{0A}^{0\bar{\sigma}} \mid X_{0B}^{0\bar{\sigma}} \rangle \rangle_{\omega} \Im \chi_{AB}(k - q, \Omega)
\]

\[
\left. + \left( \frac{1}{4} \right) \Im \langle \langle X_{0A}^{\bar{\sigma}0} \mid X_{0B}^{0\bar{\sigma}} \rangle \rangle_{\omega} \Im \chi_{BA}(k - q, \Omega) \right]. \tag{3.36}
\]

The SCBA for \( M_{ijAB}(\omega) \) (3.28) and for the anomalous self-energies (3.21), (3.28) gives similar results.

4. Results

To find out the normal state GF (3.14) beyond the MFA, the self-energy matrix elements (3.24), (3.25) should be calculated. Similar calculations of the anomalous self-energy (3.27), (3.28) give an equation for the frequency dependent gap (3.15). However, implementation of this program demands complicated self-consistent numerical computations for the two-band \( t-J \) model which are much more complicated than similar calculations performed for the one-band \( t-J \) model on the square lattice in [13]. Therefore, in the present paper, we restrict our consideration only to the results in MFA and study the complete system of equations later.
4.1. Electronic spectrum

Calculation of the matrix elements (3.16) gives the following results:

\[ \varepsilon(k) = -\mu + \frac{3J}{Q} D_1 - \frac{3J}{4} n_\alpha + \frac{3J}{2Q} C_1 = -\tilde{\mu}, \]  

(4.1)

where we introduce the nn correlation functions for electrons and spins,

\[ D_1 = \langle X_{i\alpha,\sigma}^c X_{i+\delta_i,\sigma,\beta} \rangle, \quad C_1 = \langle S_{i\alpha,\sigma}^z S_{i+\delta_i,\beta}^z \rangle. \]  

(4.2)

For the off-diagonal energy, we have:

\[ \varepsilon_{AB}(k) = -\tilde{t} \gamma_1(k), \quad \tilde{t} = tQ \left( 1 + \frac{3C_1}{2Q^2} \right) + J D_1. \]  

(4.3)

where \( \gamma_1(k) = \sum_\alpha \exp(ik\delta_\alpha) \) and \( |\gamma_1(k)|^2 = 1 + 4 \cos(\sqrt{3}k_x/2)[\cos(\sqrt{3}k_x/2) + \cos(3k_y/2)]. \) Thus, the electronic spectrum has two branches

\[ \varepsilon_{\pm}(k) = -\mu \pm \tilde{t} |\gamma_1(k)|, \]  

(4.4)

which is similar to the spectrum of the graphene (see, e.g., reference [10]) but with the renormalized chemical potential \( \tilde{\mu} \) due to strong correlations, equation (4.1), and the hopping parameter \( \tilde{t} \), equation (4.3). Therefore, the spectrum shows a cone-type behaviour at Dirac points \( K \) and \( K' \) at the corners of the graphene Brillouin zone (BZ) as shown in figure 1. In figure 2 the BZ and the Fermi surfaces (FS) are shown for holes at the electronic occupation numbers \( n = 0.95, 0.76, \) and 0.7. At \( n \approx 1 \), the hole FS is small and centered at the \( \Gamma \) point. With a decreasing \( n \), the FS becomes larger, and at some characteristic value \( n_0 = 0.76 \), the FS touches the BZ at M-points. At a larger hole doping, six pockets centered at the \( K \)-points emerge which shrink to points for the half-filled band at \( n = 2/3 \).

For the diagonal GF, we have

\[ G_{\alpha\alpha,\sigma}(k, \omega) = \frac{Q}{2} \left[ \frac{1}{\varepsilon_+(k) - \omega} + \frac{1}{\varepsilon_-(k) - \omega} \right]. \]  

(4.5)

The average occupation number of electrons in one sublattice is equal to

\[ n_{\alpha\sigma}(k) = \langle X_{i\alpha,\sigma}^c X_{i\alpha,\sigma} \rangle = \langle X_{i\alpha,\sigma}^c X_{i\alpha,\sigma} \rangle = \int_{-\infty}^{\infty} \frac{d\omega}{e^{\omega/T} + 1} \left[ -\frac{1}{\pi} \right] \text{Im} G_{\alpha\alpha,\sigma}(k, \omega), \]  

(4.6)

Figure 1. Electronic spectrum \( \varepsilon_{\pm}(k) \) in units of \( \tilde{t} \) at \( \tilde{\mu} = 0. \)
where the symmetry relations were used:

\[ \langle \cdot \rangle_{\pm} \]

Using the commutation relations for the HOs, for these functions we obtain the following representation:

\[ 4.2. \text{Gap equation} \]

These results can be used in calculating the self-energy in the normal state (3.36).

\[ 4.2. \text{Gap equation} \]

The matrix elements of the gap function (3.20) are given by

\[ \Delta_{ij,\sigma} = \langle [X_{iA}^{\sigma,0}, H, X_{jA}^{\sigma,0}] \rangle Q^{-1}, \quad \Delta_{ij,AB\sigma} = \langle [X_{iA}^{\sigma,0}, H, X_{jB}^{\sigma,0}] \rangle Q^{-1}. \]

Using the commutation relations for the HOs, for these functions we obtain the following representation:

\[ \Delta_{ij,\sigma} = -t \sum_{k,\sigma'} \langle [B_{iA}^{\sigma',\sigma}, X_{kB}^{\sigma,0}, X_{jA}^{\sigma,0}] \rangle Q^{-1} + \frac{J}{2} \sum_{k,\sigma'} \langle [B_{kB}^{\sigma',\sigma} - \delta_{\sigma',\sigma}, X_{iA}^{\sigma,0}, X_{jA}^{\sigma,0}] \rangle Q^{-1} \]

\[ = -t \delta_{ij} \sum_{k} \langle X_{iA}^{\sigma,0}, X_{kB}^{\sigma,0}, X_{jA}^{\sigma,0} \rangle Q^{-1} + \frac{J}{2} \sum_{\delta_{\sigma}} \langle X_{iA}^{\sigma,0}, X_{jB}^{\sigma,0}, X_{iA}^{\sigma,0} \rangle Q^{-1}, \]

\[ \Delta_{ij,AB\sigma} = \frac{J}{2} \sum_{\delta_{\sigma'}} \delta_{\sigma',\sigma} \langle X_{jB}^{\sigma,0}, X_{iA}^{\sigma,0} \rangle Q^{-1} - \delta_{\sigma',\sigma} \langle X_{jB}^{\sigma,0}, X_{iA}^{\sigma,0} \rangle Q^{-1} \]

\[ = -J \langle X_{iA}^{\sigma,0}, X_{jB}^{\sigma,0} \rangle Q^{-1}, \]

where the symmetry relations were used: \( \langle X_{jB}^{\sigma,0} X_{iA}^{\sigma,0} \rangle = -\langle X_{iA}^{\sigma,0} X_{jB}^{\sigma,0} \rangle \) and \( \langle X_{jB}^{\sigma,0} X_{iA}^{\sigma,0} \rangle = -\langle X_{iA}^{\sigma,0} X_{jB}^{\sigma,0} \rangle. \)
Fourier transformation results in the gap function components:

\[
\Delta_{A\sigma}(k) = 2t \sum_{\delta_\alpha} \langle X_0^{0\bar{r}} X_{i+\delta_\alpha B}^{0\sigma} \rangle Q^{-1},
\]

\[
\Delta_{AB\sigma}(k) = -J \sum_{\delta_\alpha} \exp[ik\delta_\alpha] \langle X_0^{0\bar{r}} X_{i+\delta_\alpha B}^{0\sigma} \rangle Q^{-1},
\]

where \(\delta_\alpha = \delta_1, \delta_2, \delta_3\). Introducing the bond-dependent anomalous correlation functions

\[
F_{AB\sigma}^{\alpha} = \langle X_0^{0\bar{r}} X_{i+\delta_\alpha B}^{0\sigma} \rangle,
\]

the gaps can be written as

\[
\Delta_{A\sigma} = 2t \sum_{\delta_\alpha} F_{AB\sigma}^{\alpha} Q^{-1}, \quad \Delta_{AB\sigma}(k) = -J \sum_{\delta_\alpha} \exp[ik\delta_\alpha] F_{AB\sigma}^{\alpha} Q^{-1}.
\]

Here, the nn correlation function (4.16) is calculated using the spectral representation:

\[
\langle X_0^{0\bar{r}} X_{iB}^{0\sigma} \rangle = \int_{-\infty}^{\infty} d\omega \sin(\omega) \Im \langle \langle X_0^{0\bar{r}} | X_{iB}^{0\sigma} \rangle \rangle_{\omega}.
\]

For the bond-independent correlation functions (s-wave pairing), \(F_{AB\sigma}^{\alpha} = F_{AB\sigma}\), we have the results similar to the normal state energy:

\[
\Delta_{A\sigma} = 6tF_{AB\sigma} Q^{-1}, \quad \Delta_{AB\sigma}(k) = -J\gamma(k)F_{AB\sigma} Q^{-1}.
\]

Using the GMFA for the anomalous GF in (4.18), we can derive the Bardeen-Cooper-Schrieffer (BCS) type equations as was proposed in several publications for electrons with the Dirac spectrum. In particular, in [23], the s-wave BCS model was considered with a phenomenological coupling constant. In the case of the two-subband honeycomb lattice, we expect to obtain a more complicated pairing symmetry, e.g., \(d + id\) singlet pairing or \(p\) triplet pairing as proposed in [20]. In the case of the \(t-J\) on the square lattice in [13], we have also found a more complicated \(d\)-wave pairing induced by spin-fluctuations. A self-consistent solution of the gap equations (4.17) with microscopic coupling constants and anomalous GF (3.13) and a resulting equation for determining the superconduction transition temperature will be considered in a subsequent publication.

5. Conclusions

In the present paper, a microscopic theory of the electron spectrum and superconductivity within the two-band \(t-J\) model for strongly correlated electrons on the honeycomb lattice is presented. Using the projection operator method for thermodynamic GFs, we derived a self-consistent system of equations for the matrix GF and the self-energy in the SCBA. The latter is similar to the Migdal-Eliasberg strong-coupling theory for the electron-phonon system. In the present paper, we consider only the results obtained in the MFA. However, as it was pointed out in the microscopic theory of superconductivity formulated for the Hubbard model on the square lattice in [14,15], consideration of the self-energy effects is very important. The normal self-energy component determines a reduction of the QP weight which can be quite large. The anomalous (pair) self-energy component strongly enhances the superconduction pairing induced by the kinematical interaction of electrons with spin-fluctuations. This interaction being proportional to the hopping parameter \(t\) [see equation (3.36)] is an order of magnitude larger than the exchange interaction \(J\) considered in the Anderson’s theory of the resonating valence bonds [24,25]. We are planning to take into account the self-energy effects in a subsequent publication.

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**Електронний спектр та надпровідність в \(-t-J\) моделі на стільниковій ґратці**

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Сформульована мікроскопічна теорія електронного спектра та надпровідності в рамках \(-t-J\) моделі на стільниковій ґратці. З допомогою техніки проекційних операторів Морі отримано рівняння Дайсона для нормальних і аномальних функцій ґріна для двозонної моделі в термінах операторів Хаббарда. Властивості інеретична частина розрахована у самоусподобленому борнівському наближенні для розсіяння електронів на спинових і зарядових флуктуаціях індукованих кінетичною взаємодією для операторів Хаббарда. Обговорюється можливість надпровідного спарювання через антиферомагнітний обмін та спинові флуктуації.

**Ключові слова:** сильні електронні кореляції, \(-t-J\) модель, стільниковата ґратка, надпровідність
