Piecwise-Linear Motion Planning amidst Static, Moving, or Morphing Obstacles

Bachir El Khadir¹, Jean Bernard Lasserre², Vikas Sindhwani³

Abstract— We propose a novel method for planning shortest length piecewise-linear motions through complex environments punctured with static, moving, or even morphing obstacles. Using a moment optimization approach, we formulate a hierarchy of semidefinite programs that yield increasingly refined lower bounds converging monotonically to the optimal path length. For computational tractability, our global moment optimization approach motivates an iterative motion planner that performs competing sampling-based and nonlinear optimization baselines. Our method natively handles continuous length. For computational tractability, our global moment lower bounds converge monotonically to the optimal path.

Using a moment optimization approach, we formulate a hierarchy of semidefinite programming, Convex Optimization problems in an environment cluttered with static and dynamic obstacles. How should robots – viewed as complex systems of articulated rigid bodies – move from a start to a goal configuration in an environment cluttered with static and dynamic obstacles? Even without considering dynamic feasibility of a desired motion, mechanical and sensor limitations, uncertainty and feedback, the purely geometric motion planning problem is known to be computationally hard [26] in its full generality.

A. The Optimal Motion Planning Problem

We follow a similar notation to that of [12] to describe the Optimal Motion Planning (OMP) problem. Let $X = \mathbb{R}^n$ be the configuration space, where $n \in \mathbb{N}$. We are interested in finding the shortest path $x : [0,T] \to X$ (where $T$ is a positive constant) that starts at a configuration $x(0) = x_0 \in X$, ends at a configuration $x(T) = x_T \in X$, and avoids a time-varying obstacle region $\mathcal{X}_{obs}(t) \subseteq X$ at all times $t \in [0, T]$. Here, we assume that the obstacle-free space $\mathcal{X}_{free}(t) := X \setminus \mathcal{X}_{obs}(t)$ is a closed basic semi-algebraic set, i.e., that there exists a (multivariate, scalar-valued) polynomial function $g_k \in \mathbb{R}[t,x]$ in variables $t$ and $x$ such that

$$\mathcal{X}_{free}(t) := \{x \in \mathbb{R}^n | g_1(t,x) \geq 0, \ldots, g_m(t,x) \geq 0\}.$$  

Our choice for working with polynomial functions to describe obstacles stems from two reasons. On the one hand,

1 IBM Watson Research Center, Yorktown Heights, NY 10598, USA
2 Laboratoire d’Analyse et d’Architecture des Systèmes (LAAS), Institute of Mathematics, University of Toulouse, France lasserre@laas.fr
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3 Robotics at Google, New York City, NY 10011, USA

polynomial functions can uniformly approximate any continuous function over compact sets, and hence are powerful enough for modeling purposes. See figure 1 for an illustration of an obstacle morphing into a complex shape over time, as described by a degree-7 polynomial, and see [5], [7], [8], [24] for more examples on the use of polynomial functions for the purposes of modeling 3D geometry. On the other hand, as we will see in section II, the discovery of recent connections between algebraic geometry and semidefinite programming has resulted in powerful tools that are designed specifically for tackling optimization problems which are described by polynomial data.

More formally, the OMP problem described by data

$$D = (x_0, x_T, \{g_1, \ldots, g_m\}),$$  

where $x_0, x_T \in \mathbb{R}^n$ and $g_1, \ldots, g_m \in \mathbb{R}[t,x]$ is the following minimization problem,

$$\min_{x[0,T] \to \mathbb{R}^n} \int_0^T \|\dot{x}(t)\| \, dt$$  

s.t. $x(0) = x_0$, $x(T) = x_T$,

$$g_k(t, x(t)) \geq 0 \quad \forall t \in [0,T], \forall k \in [m],$$  

OMP(D)

where $\|\cdot\|$ denotes the $\ell_2$ norm, and $[s]$ denotes the set $\{1, \ldots, s\}$. The objective term $\int_0^T \|\dot{x}(t)\| \, dt$ is the length of the path $x(t)$. A path that satisfies the constraints of OMP(D) is said to be feasible. A path that is feasible and has minimum length is said to be optimal.

B. Background on Motion Planning

We first set the stage for describing and motivating our approach in relation to the vast prior literature [18], [9], [20] on motion planning. Most obviously, OMP(D) can be transcribed into a nonlinear optimization problem by using a parametric representation of the path together with time-discretization to construct a finite-dimensional optimization problem [28], [11], [30]. Because of its non-convexity, the effectiveness of such an approach depends on having a good initial guess and in general no guarantees can be provided that the process will not return a sub-optimal stationary point.

Fig. 1: Example of an time-varying obstacle described by the polynomial inequality $g(t,x) < 0$, with $g(t,x) := 2(1-t)(x_1^2 + x_2^2 + x_3^2) + \frac{2}{1500} (320x_1^2 x_2^2 + 36x_1^2 x_3^2 - 5(4x_1^4 + 9x_2^4 + 4x_3^4 - 4))$. The shape of the obstacle changes from a sphere to a heart as time $t$ goes from 0 to 1.
Closely related is the body of work on virtual potential fields [13] where a vector field is designed to pull the robot towards the goal and push it away from obstacles. Unless a restricted class of navigation functions [27] generates the gradient flow, these methods are also susceptible to local minima. By contrast, sampling-based motion planners [19], [12], [10], pervasively used in robotics, are attractive since they can at least offer a guarantee of probabilistic completeness, that is, as the planning time goes to infinity, the probability of finding a solution tends to one. Sampling-based planners rely on a collision checking primitive to construct a data structure, e.g., tree or a graph, that stores a sampling of obstacle-avoiding feasible motions of the robot. In their most common instantiations, sampling methods return feasible paths, not necessarily optimal [12], almost always requiring post processing to reduce jerkiness.

Even in the time-independent, purely geometric path planning setting, the general problem of finding a feasible path, or correctly reporting that such a path does not exist, has been shown by Reif [26] to be PSPACE-hard. If \( \lambda_{\text{free}} \) is semialgebraic, then its cylindrical cell decomposition [29] allows for a doubly-exponential (in the configuration space dimension \( n \)) solution to the motion planning problem. Canny’s Roadmap [6] gives an improved single-exponential solution based on the notion of a roadmap, a network of one-dimensional curves preserving the connectivity of the free space that can be reached from any configuration. However, despite their completeness guarantee, these techniques are considered computationally impractical for all but simple or low-degree-of-freedom problems.

It should be no surprise that dynamic environments where obstacles can appear, disappear, move or morph only magnify the hardness of general motion planning [25], even when the obstacle motion is pre-specified as a function of time. Many planners can be adapted to this setting by simply defining the problem in a time-augmented state space. Then, the primary complication stems from the requirement that time must always increase along a path. An alternative is to decouple space and time planning by first finding a collision-free path in the absence of moving obstacles, and then determining a time scaling function. In any case, planners for time-varying problems may also become prone to failure simply due to discretization of time.

C. Statement of Contributions

In this paper, we focus on solving the optimal motion planning problem for piecewise-linear motions. At the outset, it should be noted that even with this restriction, the problem remains PSPACE-hard [31]. With this setting, our contributions are as follows. First, we introduce a new arsenal of algorithmic and complexity-characterization tools from polynomial optimization and semidefinite programming (SDP) to the motion planning literature. Specifically, for any optimal motion problem \( \text{OMP}(\mathcal{D}) \) described by data \( \mathcal{D} \) as in (1), and for any number of pieces \( s \), we present a hierarchy of semidefinite programs \( \text{SDP}(r, s; \mathcal{D}) \) indexed by a scalar \( r \). Every level of this hierarchy provides a lower bound \( \rho(r, s; \mathcal{D}) \) on the minimum length \( \rho(s; \mathcal{D}) \) attained by piecewise-linear paths that are feasible to \( \text{OMP}(\mathcal{D}) \) and have \( s \) pieces. Importantly, we provide the asymptotic guarantee that \( \rho(r, s; \mathcal{D}) \rightarrow \rho(s; \mathcal{D}) \) as \( r \rightarrow \infty \). This notion of asymptotic completeness is analogous to probabilistic completeness in sampling-based methods, in the sense that in the limit of increasing computation, we are guaranteed to optimally solve the problem, or declare that no solution exists.

To remain computationally competitive with practical motion planners, we also derive a sequential SDP-based method called Moment Motion Planner (MMP). Unlike previously proposed planners for dynamic obstacle avoidance, MMP natively handles continuous-time constraints, does not require any discretization, and relies on semidefinite programs whose size scales polynomially in configuration space dimensionality. On several benchmark problems involving static, moving and morphing obstacles in dimension 2, 3, and 4, including a bimanual planar manipulation task, MMP consistently outperforms RRT and nonlinear programming based baselines, while returning smoother paths in comparable solve time.

II. MOMENT-BASED APPROACH FOR TIME-VARYING OPTIMIZATION PROBLEMS

The last few decades have known the emergence of a powerful moment-based approach for solving optimization problems that are described by polynomial data [17]. One of the main challenges one faces when applying this moment approach to the motion planning problem \( \text{OMP}(\mathcal{D}) \) is the fact that solutions (and the constraints on these solutions) vary continuously with time. For clarity of presentation, we first ignore the complexities arising from this time dependence and present the basic ideas behind this approach. Then, we present a result from real algebraic geometry on sum of squares representations of univariate polynomial matrices that will allow us to impose time-varying constraints on time-varying solutions.

Let us recall some standard notation. For any vector \( \alpha \in \mathbb{N}^n \), \( |\alpha| \) denotes \( \sum_{i=1}^n \alpha_i \). We denote by \( \mathbb{N}_0^n \) the set of vectors \( \alpha \in \mathbb{N}^n \) that satisfy \( |\alpha| \leq d \). We denote by \( \mathbb{R}[y] \) the set of (scalar valued) polynomial functions in the variables \( y_1, \ldots, y_n \). For \( \alpha \in \mathbb{N}^n \), the monomial \( y_1^{\alpha_1} \cdots y_n^{\alpha_n} \) is denoted by \( y^\alpha \), and the coefficient of a polynomial \( p \in \mathbb{R}[y] \) corresponding to the monomial \( y^\alpha \) is denoted by \( \nu_{\alpha} \). The degree of the monomial \( y^\alpha \) is \( |\alpha| \), and the degree deg \( p \) of a polynomial \( p \in \mathbb{R}[y] \) is the maximum degree of its monomials. We denote by \( \mathbb{R}_d[y] \) the set of polynomials of degree smaller than or equal to \( d \).

A. Moment Approach for Polynomial Optimization Problems

A polynomial optimization problem is a problem of the form

\[
\begin{align*}
p^* &= \min_{y \in \mathbb{R}^n} p(y) \\
\text{s.t. } &h_k(y) = 0 \quad k \in [m_1], \\
\text{s.t. } &g_k(y) \geq 0 \quad k \in [m_2],
\end{align*}
\]

where \( p, h_1, \ldots, h_{m_1}, g_1, \ldots, g_{m_2} \in \mathbb{R}[y] \). In general, problem \( (P) \) is nonconvex and is very challenging to solve. In fact it is NP-hard even when \( m_1 = 0, m_2 = 0 \), and \( p \) is
a polynomial of degree four (see, e.g., [21]). An approach pioneered in [14] has been to replace the feasible set
\[ K := \{ y \in \mathbb{R}^n \mid h_k(y) = 0 \forall k \in [m_1], g_k(y) \geq 0 \forall k \in [m_2] \} \]
with the set \( \mathcal{M}(K)_+ \) of nonnegative Borel measures on \( K \) of total mass equal to one, leading to the optimization problem
\[ \min_{\mu \in \mathcal{M}(K)_+} \int p(y) \, d\mu. \tag{2} \]
It is not hard to see that the optimal value of problem (2) is equal to that of (P). Moreover, problem (2) has a linear objective function and a convex (infinite-dimensional) feasible set.

We will now explain how to obtain a finite dimensional, convex relaxation of (2). The key idea is to view (2) not as an optimization problem over measures \( \mu \in \mathcal{M}(K)_+ \), but as an optimization problem over sequences of moments \( \{ \int y^a \, d\mu \}_{a \in \mathbb{N}^n} \) of measures \( \mu \in \mathcal{M}(K)_+ \).

This is possible because the objective function \( \int p(y) \, d\mu = \sum_{a \in \mathbb{N}^n} \phi_a \mathbb{E}[q^a] \) of problem (2) only depend on the measure \( \mu \) through its first few moments.

Before we move further with the explanation of the moment approach, we need to introduce some additional notation. For any integer \( r \in \mathbb{N} \), we denote by \( \mathcal{M}_{r,n} \) the set of truncated sequences of "pseudo-moments" in \( n \) variables, i.e., elements of the form \( \phi_a \mathbb{E}[q^a] \), where \( \phi_a \in \mathbb{R} \) for every \( a \in \mathbb{N}^n \). Note that any measure \( \mu \) gives rise to an element of \( \mathcal{M}_{r,n} \), namely, \( \{ \int y^a \, d\mu \}_{a \in \mathbb{N}^n} \in \mathcal{M}_{r,n} \), but a general element of \( \mathcal{M}_{r,n} \) might not come from a measure.

For any \( \phi \in \mathcal{M}_{r,n} \), we introduce the so-called Riesz functional \( L_\phi : \mathbb{R}^r[y] \to \mathbb{R} \) defined by
\[ q \left( \sum_{a \in \mathbb{N}^n} q_\alpha \phi_\alpha \right) \mapsto \sum_{a \in \mathbb{N}^n} \phi_a q_\alpha. \]
The functional \( L_\phi \) is to "pseudo-moments" what the expectation operator is to genuine moments. For \( \phi \in \mathcal{M}_{r,n} \) and \( q \in \mathbb{R}^r[y] \), we denote by \( M_\phi(q) \) the localization matrix associated with \( q \) and \( \phi \), i.e., the matrix
\[ M_\phi(q)_{\alpha,\beta} = L_\phi(y^\alpha y^\beta q(y)) \quad \forall \alpha, \beta \in \mathbb{N}^n_{\{r-deg \, q\}/2}, \]
whose rows and columns are labeled by elements of \( \mathbb{N}^n_{\{r-deg \, q\}/2} \), where \( \lfloor \cdot \rfloor \) is the floor function.

Now, for an integer \( r \) larger than the maximum of the degrees of the polynomials \( p, h_1, \ldots, h_{m_1}, g_1, \ldots, g_{m_2} \), consider the moment relaxation of order \( r \) of problem (2) given by
\[ \min_{\phi \in \mathcal{M}_{r,n}} L_\phi(p) \quad \text{s.t.} \quad L_\phi(1) = 1; \quad M_\phi(1) \succeq 0; \quad L_\phi(y^a h_k) = 0 \forall \alpha \in \mathbb{N}^n_{r-deg \, h_k}, \forall k \in [m_1], \quad M_\phi(g_k) \succeq 0, \forall k \in [m_2]. \tag{3} \]
To see that problem (3) is indeed a relaxation of problem (2), take an arbitrary candidate measure \( \mu \in \mathcal{M}(K)_+ \) with corresponding objective value \( v := \int p(y) \, d\mu \) for problem (2), and let us extract from it the truncated sequence of moments \( \phi := (\int y^a \, d\mu)_{a \leq r} \in \mathcal{M}_{r,n} \) and show that \( \phi \) is (i) feasible to problem (3) and (ii) has \( v \) as objective value. To show (i), note that \( L_\phi(1) = \int d\mu = 1 \), and that the matrix \( M_\phi(1) \) is positive semidefinite because for all polynomials \( q \in \mathbb{R}[y] \), \( q^T M_\phi(1) q = \int q^2(y) \, d\mu \geq 0 \), where \( q \) is the vector of coefficients of the polynomial \( q \). A similar reasoning shows that \( \phi \) satisfies all of the remaining constraints of problem (3). To show (ii), simply observe that \( L_\phi(p) = \int p(y) \, d\mu = v \). The constraints \( M_\phi(1) \geq 0 \) do not depend on the data of the problem at hand. We refer to them as moment consistency constraints.

In general, it is not always possible to extract an optimal solution \( y \in \mathbb{R}^n \) of (P) from a "pseudo-moment" solution \( \phi \in \mathcal{M}_{r,n} \). However, under some conditions that hold generically (see, e.g., [22], [23]), there exists an order \( r \) for which the optimal value of (3) is equal to that of (P), and an optimal solution \( y \) of (P) can be recovered from \( \phi \) by a linear algebra routine. For more details related to extraction of solutions from moment relaxations, the interested reader is referred to [17].

For any \( r \in \mathbb{N} \), problem (3) is an SDP that can be readily solved by off-the-shelf solvers such as MOSEK [2]. We remind the reader that an SDP is the problem of optimizing a linear function subject to linear matrix inequalities. SDPs can be solved to arbitrary accuracy in polynomial time. See [32] for a survey of the theory and applications of this subject.

**Remark 1 (Notation for vector-valued variables):** In the rest of the paper, we will often deal with variables that are vector valued. To lighten our notation, we use \( \mathbb{R}[y_1, \ldots, y_s] \) (resp. \( \mathcal{M}_{r,n_1+\ldots+n_s} \)) to denote the set of polynomials (resp. truncated sequences of pseudo-moments) in all of the entries of the vector-valued variables \( y_1 \in \mathbb{R}^{n_1}, \ldots, y_s \in \mathbb{R}^{n_s} \). We also write \( (y_1, \ldots, y_s)_{(\alpha_1, \ldots, \alpha_s)} \) to denote the monomial \( y_1^{\alpha_1} \cdots y_s^{\alpha_s} \), where for each \( i \in [s] \), \( \alpha_i \) is an integer vector of the same size as \( y_i \).

**B. Extension to the time-varying setting.**

In this paper, we are interested in a variation of problem (P) where the inequality constraints are time-varying, i.e., a variation where inequalities are of the form
\[ g(t, y) \geq 0 \quad \forall t \in [0, T], \]
where \( g \in \mathbb{R}[t, y] \). Such a constraint can be viewed as a continuum of constraints \( g_t(y) \geq 0 \) indexed by \( t \in [0, T] \), where \( g_t := g(t, \cdot) \in \mathbb{R}[y] \). If we denote the univariate polynomial matrix \( t \mapsto M_t(g_t) \) by \( X(t) \), then the moment approach explained above leads to the constraint
\[ X(t) \succeq 0 \quad \forall t \in [0, T]. \tag{4} \]

The observation that the coefficients of the polynomial matrix \( X \) depend linearly on the elements of \( \phi \) combined with proposition 1 allows us to rewrite constraint (4) as a (nonvarying) semidefinite programming constraint on \( \phi \). This allows us to circumvent the need for time discretization.

In the statement of proposition below, \( S_m^n \) (resp. \( \mathbb{R}_d^n \times \mathbb{R}_d^{\ell m} \)) denotes the set of symmetric matrices of size \( m \) whose entries are elements of \( \mathbb{R} \) (resp. \( \mathbb{R}_d^{\ell m} \)) for any positive integers \( m \) and \( d \).
Proposition 1 ([4] Univariate matrix Positivstellensatz): Let $m$ and $d$ be positive integers. There exist two (explicit) linear maps

\[ \lambda_1 : S^{\lfloor \frac{d}{2} \rfloor + m} \rightarrow \mathbb{R}^{d \times m} \] 

and

\[ \lambda_2 : S^{\lfloor \frac{d}{2} \rfloor + m} \rightarrow \mathbb{R}^{d \times m} \] 

such that for any $X \in \mathbb{R}^{d \times m}$, $X(t) \geq 0 \; \forall t \in [0, T]$ if and only if there exist positive semidefinite matrices $Q_1$ and $Q_2$ of appropriate sizes that satisfy the equation $X = \lambda_1(Q_1) + \lambda_2(Q_2)$.

III. Exact Moment Optimization Over Piecewise-Linear Paths

A. Search for Piecewise-Linear Paths

We propose to approximate the shortest path of OMP(D) by piecewise-linear paths with a fixed number of pieces. We choose to work with the family of piecewise linear functions for two reasons. First, they can uniformly approximate any path over the time interval $[0, T]$ as the number of pieces grows. Second, fixing a low number of pieces often leads to simpler and smoother paths.

More concretely, we fix a regular subdivision $\{0, T, 2T, \ldots, T\}$ of the time interval $[0, T]$ of size $s$, and we parametrize our candidate trajectory $x(t)$ as follows:

\[ x(t) = u_i + tv_i \quad \forall t \in \left[ \frac{(i-1)T}{s}, \frac{iT}{s} \right], \forall i \in [s], \]

(5)

where $u_i, v_i \in \mathbb{R}^n$ for $i = 1, \ldots, s$. We rewrite the objective function and constraints of OMP(D) in this setting directly in terms of $u := (u_1, \ldots, u_s)$ and $v := (v_1, \ldots, v_s)$. The objective function in OMP(D) can be expressed as $\frac{1}{r} \sum_{i=1}^{n} \|v_i\|$, and the obstacle-avoidance constraints become

\[ g_k(t, u_i + tv_i) \geq 0 \quad \forall t \in \left[ \frac{(i-1)T}{s}, \frac{iT}{s} \right], \forall i \in [s], k \in [m]. \]

To ensure continuity of the path $x(t)$ at the grid point $\frac{iT}{s}$, for $i = 0, \ldots, s$, we need to impose the additional constraint

\[ h_i(u, v) = 0 \]

with

\[ h_i(u, v) := u_i + \frac{iT}{s}v_i - \left( u_{i+1} + \frac{iT}{s}v_{i+1} \right), \]

and the convention that $u_0 = x_0$, $v_0 = 0$, $u_{s+1} = x_T$, and $v_{s+1} = 0$.

In conclusion, when specialized to piecewise-linear paths of type (5), problem problem OMP(D) becomes

\[ \rho(s; \mathcal{D}) = \min_{u, v} \frac{T}{s} \sum_{i=1}^{s} \|v_i\| \quad \text{s.t. } h_i(u, v) = 0 \quad \forall i \in \{0, \ldots, s+1\} \]

\[ g_k(t, u_i + tv_i) \geq 0 \quad \forall t \in \left[ \frac{(i-1)T}{s}, \frac{iT}{s} \right], \forall i \in [s], k \in [m]. \]

LMP($s; \mathcal{D}$) is a nonlinear, nonconvex optimization problem in the variables $(u, v)$. The main difficulty comes from the global constraints

\[ g_k(t, u_i + tv_i) \geq 0, \quad \forall t \in \left[ \frac{(i-1)T}{s}, \frac{iT}{s} \right], \forall i \in [s]. \]

B. A hierarchy of SDPs to find the best piecewise-linear path

For any optimal motion problem OMP(D), and for any number of pieces $s$, we present a hierarchy of semidefinite programs $\text{SDP}(r, s; \mathcal{D})$ indexed by a scalar $r$ with the following properties: (i) at every level $r$, the optimal value of $\text{SDP}(r, s; \mathcal{D})$ is a lower bound on that of $\text{LMP}(s; \mathcal{D})$, (ii) under a compactness assumption, the optimal value of $\text{SDP}(r, s; \mathcal{D})$ converges monotonically to that of $\text{LMP}(s; \mathcal{D})$, and (iii) under a compactness and uniqueness assumption, the optimal solution of $\text{SDP}(r, s; \mathcal{D})$ converges to that of $\text{LMP}(s; \mathcal{D})$.

As a preliminary step, for each piece $i \in [s]$, we introduce a scalar variable $z_i$ that represents the length $\|v_i\|$ of that piece. Mathematically, we impose the constraints

\[ h_i^z(u, v, z) = 0 \quad \text{and} \quad z_i \geq 0 \quad i \in [s], \]

(7)

where $h_i^z(u, v, z) = z_i^2 - (\frac{T}{s} \|v_i\|)^2$ for $i \in [s]$. We introduce the auxiliary variable $z_i$ in this seemingly complicated way (instead of simply taking $z_i = \|v_i\|$) to make the functions appearing in the objective and constraints of $\text{LMP}(s; \mathcal{D})$ polynomial functions.

We are now ready to follow the moment approach presented in section II. We fix a positive integer $r$, and we construct the moment relaxation of order $r$ of problem $\text{LMP}(s; \mathcal{D})$. For that, we need to specify the decision variables, objective, and constraints. Our decision variable is a truncated sequence $\varphi \in \mathcal{M}_r((2n+1) \times s)$ (that should be viewed as a sequence of “pseudo-moments” in variables $u \in \mathbb{R}^{n \times s}, v \in \mathbb{R}^{n \times s}$, and $z \in \mathbb{R}^s$ up to degree $r$). Intuitively, $\varphi$ represents a “pseudo-distribution” over candidate paths. Our objective function is $\sum_{i=1}^{s} L_\varphi(z_i)$, and our constraints are the moment consistency constraints

\[ L_\varphi(1) = 1 \quad \text{and} \quad M_\varphi(1) \geq 0, \]

(8)

the continuity constraints

\[ L_\varphi((u, v, z)\alpha h_i(u, v)) = 0 \quad \text{for all } \alpha \in \mathbb{N}_r^{n(2n+1)} \quad \text{and} \quad i \in \{0, \ldots, s\}, \]

(9)

the obstacle avoidance constraints

\[ M_\varphi(g_k(t, u_i + tv_i)) \geq 0 \quad \forall t \in \left[ \frac{(i-1)T}{s}, \frac{iT}{s} \right], \]

(10)

for all $k \in [m]$ and $i \in [s]$, and the constraints

\[ L_\varphi((u, v, z)\alpha h_i^z(u, v, z)) = 0 \quad \text{and} \quad M_\varphi(z_i) \geq 0 \quad \text{for all } \alpha \in \mathbb{N}_r^{n(2n+1)} \quad \text{and} \quad i \in [s]. \]

In conclusion, the moment relaxation of order $r$ of problem $\text{LMP}(s; \mathcal{D})$ is the SDP

\[ \rho(r, s; \mathcal{D}) = \min_{\varphi \in \mathcal{M}_r((2n+1) \times s)} \sum_{i=1}^{s} L_\varphi(z_i) \quad \text{s.t. } \varphi \text{ satisfies (8) to (11)}. \]

SDP($r, s; \mathcal{D}$)

We emphasize that the objective function of SDP($r, s; \mathcal{D}$) is linear, and that its constraints are valid SDP constraints.
Indeed, constraints (8), (9) and (11) are (scalar or matrix) linear inequalities, while the time-varying inequalities in (10) translate to positive semidefinite constraints on the $\phi_i$’s and some additional auxiliary variables in view of Proposition 1.

Theorems 1 and 2 below present the main results of this section. They are related respectively to the optimal value and optimal solution of SDP$(r, s; D)$.

**Theorem 1:** Consider the motion planning problem OMP(D) given by data $D = (x_0, x_T, \{g_1, \ldots, g_m\})$. The sequence $\{\rho(r, s)\}_{r \in \mathbb{N}}$ of optimal values of SDP$(r, s; D)$ is nondecreasing and is upper bounded by the optimal value $\rho(s; D)$ of LMP$(s; D)$. (In particular, if $\rho(r, s; D) = \infty$ for some $r \in \mathbb{N}$, then problem LMP$(s; D)$ is infeasible.) Furthermore, if
\[
g_m(t, x) = R^2 - \|x\|^2 \quad \forall x \in \mathbb{R}^n, \forall t \in \mathbb{R} \text{ for some } R > 0, \tag{12}
\]
then $\rho(r, s; D) \to \rho(s; D)$ as $r \to \infty$.

Assumption (12) is needed for technical reasons but is not restrictive in practice. Indeed, in most motion planning problems, the configuration space is bounded, in which case we can append the polynomial $g(t, x) := R^2 - \|x\|^2$ to the list of polynomials in D without loss of generality.

**Theorem 2:** Consider the motion planning problem OMP(D) given by data $D = (x_0, x_T, \{g_1, \ldots, g_m\})$. Under assumption (12), for any $r \in \mathbb{N}$, the optimal value of SDP$(r, s; D)$ is attained by some element $\phi^r \in M_r((2n+1) \times s)$. Furthermore, if LMP$(s; D)$ has a unique optimal solution
\[
x^*(t) := u^*_t + t v^*_t, \quad t \in \left[\frac{(i-1)T}{s}, \frac{iT}{s}\right], \quad i \in [s], \tag{13}
\]
then $L_{\phi^r}(u_t) \to u^*_t$ and $L_{\phi^r}(v_t) \to v^*_t$ as $r \to \infty$ for $i \in [s]$.

**C. Detecting optimality of a solution to SDP$(r, s; D)$**

The results of theorems 1 and 2 presented in the previous section are asymptotic. For a given number of pieces $s$ and a given relaxation order $r$, the optimal value of SDP$(r, s; D)$ provides only a lower bound on that of LMP$(s; D)$. Recovering the shortest piecewise-linear path or its corresponding length requires taking $r$ to infinity in general. The following proposition shows that, if some conditions that are easily checkable hold, we can get the same recovery guarantees for finite $r$.

**Proposition 2:** For integers any integers $s$ and $n$, if an optimal solution $\phi \in M_{r,(2n+1) \times s}$ of SDP$(r, s; D)$ satisfies
\[
L_{\phi}(\|u_t\|^r) = L_{\phi}(u_t)^r, \quad L_{\phi}(\|v_t\|^r) = L_{\phi}(v_t)^r, \quad \text{and} \quad L_{\phi}(z^*_t) = L_{\phi}(z_t)^r \quad \text{for} \quad i \in [s],
\]
then the piecewise-linear path
\[
x^*(t) := L_{\phi}(u_t) + t L_{\phi}(v_t) \quad \forall t \in \left[\frac{(i-1)T}{s}, \frac{iT}{s}\right], \quad \forall i \in [s],
\]
is optimal for LMP$(s; D)$. Other than its obvious practical benefit, the result of proposition 2 inspires the iterative approach we present in section IV.

**Example 1:** Consider the simple instance of OMP(D) in dimension $n = 2$ given by data $D = (x_0, x_T, \{g_1, \ldots, g_5\})$, where $x_0 = (0, -1)^T$, $x_T = (0, 1)^T$, $g_1(t, x) = 1 - x_1$, $g_3(t, x_3) = 1 + x_1$, $g_4(t, x) = 1 - x_2$, $g_5(t, x) = x_1^2 + (x_2 - 1)^2 - t(x_1 + \frac{1}{2})^2 - (\frac{1}{2})^2$. (See figure 2 for a plot of this setup.) We search for paths that are piecewise-linear of the form in (5) with $s = 2$ pieces. By computing the optimal values of SDP$(r, s; D)$ for $r \in \{3, 4, 5, 6\}$, we obtain the nondecreasing sequence of lower bounds
\[
\begin{array}{|c|c|c|c|c|}
\hline
r & 3 & 4 & 5 & 6 \\
SDP$(r, s; D)$ & 0.75 & 1.81 & 2.09 & 2.14 \\
\hline
\end{array}
\]
on the length of any piecewise-linear path with 2 pieces that starts in $x_0$, ends at $x_T$, and avoids the obstacles given by the polynomials $\{g_1, \ldots, g_5\}$. In particular, no such path has length smaller than 2.14. We check numerically that for $r = 6$, the optimal solution $\phi$ of SDP$(r, s; D)$ returned by the solver satisfies the requirements of proposition 2, and we extract from $\phi$ the path plotted in figure 2 whose length is 2.14.

**D. A sparse version of the SDP hierarchy SDP$(r, s; D)$**

In this section we briefly describe how one may reduce the size of the semidefinite programs SDP$(r, s; D)$ by exploiting an inherent sparsity of LMP$(s; D)$. If we partition the decision variables $(u, v, z)$ of LMP$(s; D)$ as $V_1 \cup \cdots \cup V_s$, where for each $i \in [s]$, $V_i := \{u_{i1}, v_{i1}, z_{i1}, u_{i+1}, v_{i+1}, z_{i+1}\}$, then each constraint that appear in (9), (10), or (11) involves only the variables of exactly one of the $V_i$’s. Furthermore, the family $\{V_1, \ldots, V_s\}$ satisfies the Running Intersection Property (RIP), that is,
\[
\forall i \in [s-1], \exists k \leq i, (V_1 \cup \cdots \cup V_i) \cap V_{i+1} \subset V_k. \quad \text{(RIP)}
\]

Following [33], [15], we replace the single truncated sequence of “pseudo-moments” $\phi \in M_{r,(2n+1) \times s}$ in all variables of $V$ with $s$ truncated sequences $\phi_1, \ldots, \phi_s \in M_{r,2n+1}$, where for each $i \in [s]$, $\phi_i$ is a truncated sequence of “pseudo-moments” in the variables of $V_i$. Intuitively, $\phi_i$ represents a “pseudo-distribution” from which the $i$-th piece of our candidate piecewise-linear path is sampled. Without entering into details beyond the scope of this paper we can prove that theorems 1 and 2 hold if SDP$(r, s; D)$ is replaced with the SDP

---

1The proofs of these results were omitted to conserve space. They can be found in [1]
where for each $i \in \{0, \ldots, s\}$, $\tilde{h}_i$ is the polynomial function such that $\tilde{h}_i(V_i, V_{i+1}) = h_i(\mathbf{u}, \mathbf{v})$, and for each $i \in [s]$, $\tilde{h}_i^z$ is the polynomial function such that $\tilde{h}_i^z(V_i) = h_i^z(\mathbf{u}, \mathbf{v}, z)$. The main feature of SparseSDP$(r, s; D)$ when compared to SDP$(r, s; D)$ is that its constraints involve localizing matrices with pseudo-moments on $2(2n + 1)$ variables (instead of $s(2n + 1)$ variables in SDP$(r, s; D)$). For more details about the use of sparsity in polynomial optimization problems, interested reader is referred to [16].

IV. MOMENT MOTION PLANNER: AN ITERATIVE OPTIMIZATION PROCEDURE OVER PIECEWISE-LINEAR PATHS

Algorithm 1 MMP: Moment Motion Planner

1: Input: Data $D = \{(\mathbf{x}_0, \mathbf{x}_T; \{g_1, \ldots, g_m\}) \}$ order $r$ of the motion relaxation, number of iterations $N$, trade-off constant $\lambda > 0$.
2: Initialize $\phi^{(0)} = (\phi_1^{(0)}, \ldots, \phi_N^{(0)})$ randomly, where for each $i \in [s]$, $\phi_i^{(0)} \in \mathcal{M}_{r, 2n}$.
3: for $t = 1, \ldots, N$ do
4: Let $\bar{\phi}(t)$ be a minimizer of (18) with $\bar{\phi} = \phi(t)$ subject to (14), (15), and (16).
5: Return the piecewise-linear path defined by

\[
\mathbf{x}^*(t) := L_{\phi_i^{(N)}}(\mathbf{u}_i) + t L_{\phi_i^{(N)}}(\mathbf{v}_i)
\]

for every $t \in \left[\frac{(i-1)T}{s}, \frac{iT}{s}\right]$ and every $i \in [s]$.

As we have seen in the previous section, the optimal values $\rho(r, s)$ of the SDPs in the hierarchy SDP$(r, s; D)$ are nondecreasing lower bounds on the optimal value $\rho(s)$ of OMP$(D)$. As a downside, a feasible path cannot possibly be extracted from a solution of one of these SDPs (at order, say, $r$) unless $r$ is large enough so that $\rho(r, s) = \rho(s)$. The order $r$ needed for that to happen is in general prohibitively large.

To address this issue, we present in algorithm 1 a more practical motion planner called MMP. MMP is also based on a moment relaxation, but has two distinctive features when compared to SDP$(r, s; D)$: (i) it produces feasible paths already for low orders $r$ (taking $r = 2$ produced good results in all of our benchmarks) and (ii) the optimal values produced by MMP are not necessarily lower bounds on $\rho(s)$. In other terms, MMP trades off some of the theoretical guarantees of SDP$(r, s; D)$ for more efficiency.

MMP is an iterative algorithm. At every iteration, we solve an SDP that is similar in spirit to SDP$(r, s; D)$ with a few key differences. First, we drastically decrease the number of decision variables. We completely discard the variable $z$, and we take inspiration from the sparsity considerations reviewed in section III-D to partition the remaining variables $(\mathbf{u}, \mathbf{v})$ of LMP$(s; D)$ as $W_1 \cup \cdots \cup W_s$, where for each $i \in [s]$, $W_i := \{\mathbf{u}_i, \mathbf{v}_i\}$. Then, we take as decision variables of our inner SDP $s$ truncated sequences $\phi := (\phi_1, \ldots, \phi_N)$, where for each $i \in [s]$, $\phi_i \in \mathcal{M}_{r, 2n}$ is a truncated sequence of “pseudo-moments” in variables $W_i$. Intuitively, each $\phi_i$ represents a “pseudo-distribution” from which the $i$-th piece of our candidate piecewise-linear path is sampled. Note that the family of sets $\{W_1, \ldots, W_s\}$ does not satisfy the (RIP) property anymore. This is the main reason why MMP lacks some of the theoretical guarantees of the moment relaxation SDP$(r, s; D)$.

Then, we adapt the constraints of our inner SDP to our new choice of decision variables. In addition to the classical moment-consistency constraints

\[
L_{\phi_i}(1) = 1 \quad \text{and} \quad \mathcal{M}_{\phi_i}(1) \succeq 0 \forall i \in [s],
\]

we impose the the continuity constraints

\[
L_{\phi_i}(\mathbf{u}_i + \frac{iT}{s} \mathbf{v}_i) = L_{\phi_i+1}(\mathbf{u}_{i+1} + \frac{iT}{s} \mathbf{v}_{i+1}) \forall \alpha \in \mathbb{N}_{2r-2}^N
\]

between endpoints of pieces $i$ and $i + 1$ for each $i \in [s]$, and the obstacle-avoidance constraints

\[
M_{\phi_i}(g_k(t, \mathbf{u}_i + t \mathbf{v}_i)) \succeq 0 \forall t \in \left[\frac{(i-1)T}{s}, \frac{iT}{s}\right]
\]

for each obstacle $k \in [m]$ and for each piece $i \in [s]$.

Finally, let us explain our choice of objective function. Motivated by proposition 2, we would ideally like to take the objective function of our SDP to be $\sum_{i=1}^s \|L_{\phi_i}(\mathbf{v}_i)\| + \lambda J(\phi)$, where $\lambda > 0$ and

\[
J(\phi) = \sum_{i=1}^s L_{\phi_i}(\|\mathbf{u}_i\|^r) - \|L_{\phi_i}(\mathbf{u}_i)\|_r + L_{\phi_i}(\|\mathbf{v}_i\|^r) - \|L_{\phi_i}(\mathbf{v}_i)\|_r
\]
TABLE I: Average success, smoothness, and solve-time comparison of RRT, NLP and MMP (proposed) methods over 10 static and dynamic motion planning problems.

| n  | Methods | Static Obstacles | Dynamic Obstacles |
|----|---------|----------------|------------------|
|    | success rate | length | smoothness | solve time | success rate | length | smoothness | solve time |
| 2  | RRT     | 40%    | 3.73 | 0.06 | 0.02 | 50%     | 3.59 | 0.12 | 0.06 |
|    | NLP     | 0%     | nan  | nan  | nan  | 20%     | 3.4  | 0.06 | 0.06 |
|    | MMP     | 60%    | 3.0  | 0.03 | 0.43 | 50%     | 2.85 | 0.03 | 0.43 |
| 3  | RRT     | 50%    | 3.74 | 0.13 | 0.12 | 40%     | 4.82 | 0.1  | 0.23 |
|    | NLP     | 70%    | 3.44 | 0.05 | 0.12 | 60%     | 3.48 | 0.06 | 0.17 |
|    | MMP     | 100%   | 3.5  | 0.04 | 0.47 | 100%    | 3.55 | 0.04 | 0.47 |
| 4  | RRT     | 60%    | 7.67 | 0.15 | 1.43 | 0%      | nan | nan  | nan  |
|    | NLP     | 80%    | 3.99 | 0.08 | 0.25 | 90%     | 4.34 | 0.1  | 0.2  |
|    | MMP     | 100%   | 4.11 | 0.05 | 0.55 | 100%    | 4.1  | 0.05 | 0.55 |

![Initial configuration](image1)

![Goal configuration](image2)

Fig. 4: Performance comparison of RRT, NLP, and MMP (proposed) methods on a bimanual planar manipulation task.

The intuition is that, if $J(\phi) = 0$ for some $\phi = (\phi_1, \ldots, \phi_s)$ satisfying (15) and (16), then the path

$$x^*(t) := L_{\phi_i}(u_i) + t L_{\phi_i}(v_i) \quad \forall t \in \left[\frac{(i-1)T}{s}, \frac{iT}{s}\right], \forall i \in [s],$$

is feasible to OMP($\mathcal{D}$). The constant $\lambda$ controls the trade-off between minimizing the length of the path and enforcing that the path is feasible. The issue with objective function (17) is that the function $J$ is nonconvex. As a workaround, we replace $J$ in (17) with its linearization around a reference point $\tilde{\phi} = (\tilde{\phi}_1, \ldots, \tilde{\phi}_s)$, leading to the objective function

$$\sum_{i=1}^{s} \|L_{\phi_i}(v_i)\| + \lambda J(\phi; \tilde{\phi}),$$

where $J(\phi; \tilde{\phi})$ is given by

$$J(\phi) + \sum_{i=1}^{s} L_{\phi_i}([u_i]^r) - (r-1)L_{\phi_i}(u_i)][L_{\phi_i}(u_i)]^{r-1} + L_{\phi_i}([v_i]^r) - (r-1)L_{\phi_i}(v_i)][L_{\phi_i}(v_i)]^{r-1}$$

In the $t$-th iteration of our iterative approach, we take the optimal solution $\phi^{(t-1)}$ obtained from solving the inner SDP at iteration $t - 1$, and uses that as $\tilde{\phi}$. The elements of $\phi^{(0)}$ are initialized from some random distribution. Gaussian initialization seems to work well in practice. Note that the overall time complexity of algorithm 1 is polynomial in the dimension $n$, the number of iterations $N$, and the number of pieces $s$.

V. NUMERICAL RESULTS

For animations of motion planning problems and code to reproduce numerical results, please see [1].

A. MMP vs NLP vs RRT

Setup. In each dimension $n \in \{2, \ldots, 4\}$, we generate 10 motion planning problems where the path is constrained to live in the unit box $B = [-1, 1]^n$ and must avoid 10 static or dynamic spherical obstacles. More precisely, each motion planning problem is given by data $\mathcal{D} = \{x_0, x_T, \{g_i, g_{2n+k}\}\}$, where $x_0 = (-1, \ldots, -1) \in \mathbb{R}^n$, $x_T = (1, \ldots, 1) \in \mathbb{R}^n$, $i \in [n]$, $g_i(x) = 1 - x_i$, $g_{2n+k}(t, x) = 1 + x_{2n+k}$ for $i \in [n]$, $g_{2n+k}(t, x) = \left|x - (c_k + t v_k)\right|^2 - \left(\frac{r_k}{2}\right)^2$. The centers $c_k$ are sampled uniformly at random from $B$, the velocities $v_k$ are either identically zero in the static case, or sampled uniformly from $B$ in the dynamic case. See figure 3 for an example of this setup in dimension $n = 2$.

Comparison. In table I, we compare our MMP solver
with $r = 2$, $N = 20$, and $\lambda = 0.1$) against a classical sampling-based technique (RRT) and basic nonlinear programming baseline (NLP) implemented with the help of the KNITRO.jl package [3]. MMP consistently achieves higher success rates, significantly shorter and smoother trajectories (the smoothness of a path $x(t)$ is given by $\int_0^T (x(t) - \int_0^T x(s) \, ds)^2 \, dt$). The solve times are higher but remain highly practical.

Fig. 5: Plot of the paths found by RRT, NLP, and MMP (proposed) for the bimanual manipulation task of figure 4. The red dots depict values of the joint angles $(\alpha, \beta, \gamma)$ that would make the two arms collide. The black wireframe depicts the smallest enclosing ellipsoid containing the red dots.

**B. Bimanual Manipulation**

As a proof of concept, we also consider a bimanual manipulation task requiring two two-link arms working collaboratively to go from an initial to goal configuration without colliding (see figure 4). For visualization, we restrict attention to planar manipulation problems involving planning in a configuration space of 3 joint angles. First, we lift the obstacle set in cartesian space to joint angle space by evaluating all collision configurations on a grid over the $3^3$ obstacle set in cartesian space to joint angle space by.

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