Cost-effectiveness of left ventricular assist devices as destination therapy in the United Kingdom

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Abstract

Aims Continuous-flow left ventricular assist devices (LVADs) as destination therapy (DT) are a recommended treatment by National Institute for Health and Care Excellence England for end-stage heart failure patients ineligible for cardiac transplantation. Despite the fact that DT is frequently used as an LVAD indication across other major European countries and the United States, with consistent improvements in quality-of-life and longevity, National Health Service (NHS) England does not currently fund DT, mainly due to concerns over cost-effectiveness. On the basis of the recently published ENDURANCE Supplemental Trial studying DT patients, we assessed for the first time the cost-effectiveness of DT LVADs compared with medical management (MM) in the NHS England.

Methods and results We developed a Markov multiple-state economic model using NHS cost data. LVAD survival and adverse event rates were derived from the ENDURANCE Supplemental Trial. MM survival was based on Seattle Heart Failure Model estimates in the absence of contemporary clinical trials for this population. Incremental cost-effectiveness ratios (ICERs) were calculated over a lifetime horizon. A discount rate of 3.5% per year was applied to costs and benefits. Deterministic ICER was £46 207 per quality-adjusted life year (QALY). Costs and utilities were £204 022 and 3.27 QALYs for the LVAD arm vs. £77 790 and 0.54 QALYs for the MM arm. Sensitivity analyses confirmed robustness of the primary analysis.

Conclusions The implantation of the HeartWare™ HVAD™ System in patients ineligible for cardiac transplantation as DT is a cost-effective therapy in the NHS England healthcare system under the end-of-life willingness-to-pay threshold of £50 000/QALY, which applies for VAD patients.

Keywords Mechanical circulatory support; Ventricular assist device; Destination therapy; Cost-effectiveness

Introduction

The sole cardiovascular disease with rising prevalence in the United Kingdom (UK) is heart failure (HF).1 HF currently affects approximately 750 000 individuals in the UK with an increasing burden2,3 mainly due to aging population demographics, earlier recognition and increasing rates of diagnosis, and greater longevity with the disease.4 In the 2020 report of the National Heart Failure Audit, 78% of patients requiring admission with HF were associated with symptoms at New York Heart Association (NYHA) class III or IV and 35% with NYHA class IV.5 UK primary and secondary health records from 2002 to 2014 stated an absolute increase in the yearly number of new diagnoses of HF by 12% while the absolute prevalence of HF cases increased by 23%.2 Patients with advanced or end-stage HF with symptoms refractory to guideline-based medical therapy are potential candidates for heart transplantation or left ventricular assist device (LVAD) therapy.6
Superiority of LVAD therapy over optimal medical management (MM) in patients with end-stage HF (NYHA class IV) and contraindications to transplant has been well established since the era of the REMATCH trial. LVADs were originally developed for use as bridge to transplantation (BTT) for short-term support but are now increasingly used for longer periods of time either in BTT patients facing a long extended time on the waiting list for heart transplantation or by patients ineligible for cardiac transplantation who remain on device support permanently as destination therapy (DT). The number of patients on LVAD support as DT has increased dramatically the last few years, representing 73% of implants in the United States (US) and 41% in the Netherlands and Belgium have estimated ICERs between €82 000 and €94 100. Both studies used clinical data from a previous generation LVAD that is not widely used anymore in most European countries nor in the US. A recent US study using the latest clinical evidence found substantial improvements in cost-effectiveness reflecting the improvements in the DT LVAD therapy in terms of better clinical management of patients and latest generation devices used.

However, there is no cost-effectiveness study using the more contemporaneous LVAD DT clinical data in a European healthcare setting, and there is no DT cost-effectiveness study assessing the associated costs in the framework of the UK healthcare system. It is important to update cost-effectiveness with recent clinical data; in order to evaluate how the latest LVAD innovation and patient management improvements translate into clinical and economic value for the healthcare system and impact patient therapy access.

Therefore, the aim of this study is to evaluate the cost-effectiveness of the HeartWare™ HVAD™ System in the DT indication under the NHS perspective.

Methods

Model structure

In line with NICE guidelines, we performed a cost-utility analysis, using the NHS payer perspective, measuring health effects in terms of QALYs and applying a 3.5% discount rate for both benefits and costs. We developed a Markov model to estimate the cost-effectiveness of LVADs in the DT indication for end-stage HF patients ineligible for heart transplantation. We compared costs and QALYs of patients implanted with the HeartWare™ HVAD™ System to patients on optimal MM. The model included two basic health states for the LVAD and MM arms, ‘Alive’ and ‘Dead’ (Figure 1). It applied variable mortality rates in every cycle up to 10 years post-implantation. Each cycle period was 1 month. In each cycle, patients alive were exposed to therapy related adverse events (AEs) and death. Stroke had additional health states applied for when it occurred; every stroke-related state had a different level of stroke severity. We constructed the model and calculated the results in Excel Office 365 (Microsoft®, California).

Mortality and adverse events

In the absence of a randomized control trial comparing continuous flow LVADs to MM patients, the following data were selected as clinical inputs to the model. The last published randomized control trial was REMATCH in 2001, where patients were implanted with a much older device than what is currently used. Individual patient data (IPD) from the most
Figure 1  Model schematic. LVAD, left ventricular assist device; mRS, modified Rankin scale.

Figure 2  Survival curves in the model. DT, destination therapy; SHFM: Seattle Heart Failure.
contemporary DT data available, the ENDURANCE Supplemental Trial\textsuperscript{23} were used for the LVAD arm survival. Mean age was 63.3 (±11.4) years, 18.2% were female, 71.8% white, 80% were INTERMACS levels 1–3 and 67% were on inotropic support (Supporting Information, Table S1).\textsuperscript{23} A Weibull statistical model was fitted to the trial IPD data and informed the predicted survival in the model. Using a Weibull model to extrapolate survival data beyond the available study follow-up period is a common method adopted in the HF economic evaluation literature and was applied for example in the cardiac resynchronization therapy and implantable cardioverter defibrillator NICE technology appraisals.\textsuperscript{24} The Weibull model used the least squares methodology with the sum of the squared residuals below 1\textsuperscript{10}. Other non-parametric models tested (e.g. exponential) failed to produce a similarly good fit. For the MM arm survival, the Seattle Heart Failure Model (SHFM) was used by employing a hazard ratio (HR) derived from its medically managed cohort of end-stage HF patients.\textsuperscript{25} Survival curves for both LVAD and MM are shown in Figure 2.

Adverse event rates were obtained from the ENDURANCE Supplemental Trial.\textsuperscript{23} AEs included in the model were pump exchange for thrombus or VAD failure, ischemic and haemorrhagic stroke, gastrointestinal (GI) bleeding, driveline infection, right-sided HF with and without the need for a right ventricular assist device, and other AEs that require hospitalization. Stroke data were further stratified by functional outcomes using the modified Rankin scale score reported at 24 weeks post-event. Whenever an AE occurred, the related cost and utility decrement was applied. A summary of the AE transition probabilities is presented in Table 1.

### Costs

We employed inpatient costs for the LVAD cohort for the index hospitalization, including the device cost and all major AEs from the NHS national tariffs and reference costs.\textsuperscript{26,27} Monthly outpatient expenses incurred by ‘living with an LVAD’ were taken from a real-world UK database of BTT patients (Table 2). For the MM arm, monthly inpatient and outpatient costs comprehensively were taken from the NHS BTT HTA study.\textsuperscript{3} All costs were inflated to March 2019. All costs are listed in Table 2.

### Utilities

We used LVAD-specific IPD data from the quality of life (QoL) questionnaires collected during contemporary HeartWare HVAD (Medtronic, Minneapolis, USA) trials to calculate the utilities (Table 2). For the LVAD arm, the ‘Living with LVAD’ utility was calculated as the mean value across all available time points from patients with no major AEs from the ENDURANCE and the ENDURANCE Supplemental EQ-5D-3L and 5L questionnaires.\textsuperscript{23,31} We estimated the utility decrements for the AEs included in the model using the ADVANCE BTT + CAP (EQ-5D-3L),\textsuperscript{32} the ENDURANCE (EQ-5D-3L), and the ENDURANCE Supplemental (EQ-5D-5L) questionnaires.\textsuperscript{23,31} We used the average of the before–after score difference by patient as decrements. We estimated the ‘Living on MM’ utility based on the pre-implant measurement of the ENDURANCE and ENDURANCE Supplemental Trials.\textsuperscript{23,31} All the utilities were converted to reflect UK QoL values using the Dolan 1997 algorithm.\textsuperscript{33}

### Sensitivity and scenario analyses

In addition to the base-case analysis, we performed several sensitivity and scenario analyses to test the robustness of our results. First, we performed a deterministic (one-way) sensitivity analysis (DSA) for each of the major LVAD AEs, including stroke, driveline infection, GI bleeding, right HF, and pump exchange (minimum was zero, and maximum was +100% increase from the base case values). The results

### Table 1 Transition probabilities

| Event                  | Event rate (EPPY) | Transformed monthly event rate DT as used in the model |
|------------------------|-------------------|---------------------------------------------------------|
| Stroke                 |                   |                                                         |
| Ischemic               | 0.17              | 0.014                                                   |
| Haemorrhagic           | 0.06              | 0.005                                                   |
| Pump exchange          |                   |                                                         |
| VAD thrombus           | 0.06              | 0.005                                                   |
| VAD failure            | 0.01              | 0.001                                                   |
| Driveline infection    | 0.24              | 0.020                                                   |
| GI bleed               | 0.57              | 0.048                                                   |
| RHF                    | 0.28              | 0.025                                                   |
| RVAD                   | 0.02              | 0.007                                                   |
| Other AEs              | 0.45              | 0.038                                                   |

AE, adverse event; DT, destination therapy; EPPY, events per patient year; GI, gastrointestinal; IPD, individual patient data; MM: medical management; RHF: right heart failure; RVAD: right ventricular assist device; VAD: ventricular assist device.

\textsuperscript{a}Percentage of the RHF population.

\textsuperscript{b}ENDURANCE Supplemental data, Medtronic data on file.
from the one-way sensitivity analyses are shown in the Tornado diagram (Figure 3) which sequentially graphs the variables with the largest impact on the ICER outcomes.

Second, we ran probabilistic sensitivity analyses where default inputs were varied ±25% and 1000 simulations were performed. We also ran two scenario analyses. First, we substituted LVAD and MM utilities with values from the NHS HTA of LVADs for patients eligible for heart transplantation in the BTT indication,3 and second, we used two different HRs for the MM survival - a lower (0.105)7,33 and a higher (0.52)7 value than the base case (0.23).

Results

Base case

We found a deterministic ICER of £46 207 per QALY and £34 907 per life-year (LY) (Table 3). The total cost for patients on LVAD support was £204 022, and for patients on MM therapy £77 790. LVAD patients accrued more QALYs and LYs than MM patients (3.27 vs. 0.54 QALYs and 4.63 vs. 1.01 LYs).

Sensitivity and scenario analyses—results

One-way DSA for the major LVAD AEs overall confirmed the robustness of our model. Variation in ICER for pump exchange and ischemic stroke rates were higher than changes for right HF or haemorrhagic stroke rates; however, for all scenarios, the change in the ICER was small with the lowest estimated ICER being £40 987/QALY and highest being £51 381/QALY (Figure 3). Changes in the ICER for the worse-case values of the DSA analyses remained around the £50 000/QALY threshold.

The probabilistic sensitivity analyses found a probabilistic ICER of £46 258/QALY (95% confidence interval £20 009–£72 255/QALY). ICERs were 63.1% below £50 000/QALY (Figures 3 and S1).

For the scenario analysis which substituted LVAD and MM utilities with values from the NHS HTA of LVADs for patients...

| Parameter | NHS cost | Utility | Cost reference |
|-----------|----------|---------|----------------|
| MM inpatient & outpatient | £6430 | 0.54 | Clarke et al.16 |
| LVAD Implantation (incl. device cost) | £91 162 | — | National Health Service (NHS) England and Improvement26 |
| Stroke (ischemic or haemorrhagic) index hospitalization | | | |
| Stroke mRS 0 | £1965 | 0.68 | National Health Service (NHS) England and Improvement28 |
| Stroke mRS 1 | £1965 | 0.68 | National Health Service (NHS) England and Improvement28 |
| Stroke mRS 2 | £2757 | 0.59 | National Health Service (NHS) England and Improvement28 |
| Stroke mRS 3 | £3945 | 0.59 | National Health Service (NHS) England and Improvement28 |
| Stroke mRS 4 | £5594 | 0.27 | National Health Service (NHS) England and Improvement28 |
| Stroke mRS 5 | £7681 | 0.27 | National Health Service (NHS) England and Improvement28 |
| Stroke mRS 6 | £12 656 | 0.00 | National Health Service (NHS) England and Improvement28 |
| Stroke (ischemic or haemorrhagic) follow up | | | |
| Stroke mRS 0 | £200 | 0.68 | Luengo-Fernandez et al.29 |
| Stroke mRS 1 | £200 | 0.68 | Luengo-Fernandez et al.29 |
| Stroke mRS 2 | £200 | 0.59 | Luengo-Fernandez et al.29 |
| Stroke mRS 3 | £390 | 0.59 | Luengo-Fernandez et al.29 |
| Stroke mRS 4 | £390 | 0.27 | Luengo-Fernandez et al.29 |
| Stroke mRS 5 | £592 | 0.27 | Luengo-Fernandez et al.29 |
| Pump exchange | | | |
| Pump thrombus | £91 162 | 0.69 | National Health Service (NHS) England and Improvement26 |
| VAD failure | £91 162 | 0.57 | National Health Service (NHS) England and Improvement26 |
| Driveline infection | £7662 | 0.71 | National Health Service (NHS) England and Improvement28 |
| Gastrointestinal bleed | £6899 | 0.69 | National Health Service (NHS) England and Improvement28 |
| Right heart failure | £5976 | 0.69 | National Health Service (NHS) England and Improvement28 |
| RVAD (short-term device) | £60 975 | 0.69 | National Health Service (NHS) England and Improvement28 |
| Other important AEs LVAD | £5976 | 0.72 | National Health Service (NHS) England and Improvement28 |

AE, adverse events; LVAD, left ventricular assisted device; MM, medical management; mRS, modified Rankin scale; NHS, National Health Service; RVAD, right ventricular assist device.

All costs were inflated to March 2019; recovery and stroke follow-up costs are per month; >10 years costs are to be intended as residual annual costs.

NHS heart failure hospitalization cost for cost estimation; event rate post 18 month resource use.30

Wong W, Bottle A. Healthcare utilisation, outcomes and patient pathways for heart failure patients with or without cardiac implantable electronic devices in England. Imperial College London, Medtronic data on file. 2019.
Figure 3  Sensitivity analyses—Tornado diagram & cost-effectiveness acceptability curve. GI, gastrointestinal; ICER, incremental cost-effectiveness ratio; QALY, quality adjusted life years.

Table 3  Base case results

| Variable                  | QALYs   | Life years |
|---------------------------|---------|------------|
|                           | LVAD    | MM         | LVAD    | MM         |
| QALYs/LYs                 | 3.27    | 0.54       | 4.63    | 1.01       |
| Medical costs (£)         | 204 022 | 77 790     | 204 022 | 77 790     |
| ICER (£/QALY/LY)          | 46 207  | 34 907     |         |            |

ICER, incremental cost-effectiveness ratio; LVAD, left ventricular assist device; LY, life year; MM, medical management; QALY, quality-adjusted life year.

eligible for heart transplantation in the BTT indication, the ICER remained similar to our base case value (£44 879/QALY vs. £46 207/QALY, Table S1).

In the second scenario analysis, when the HR for the MM survival was substituted with a lower value than the base case, the ICER increased but remained very close to the £50 000/QALY threshold (£56 357/QALY), and when the HR was substituted with a higher value than the base case, the ICER decreased (£11 017/QALY) (Table S2).

Discussion

We used individual-level patient data from a contemporary DT LVAD trial to assess cost-effectiveness of LVADs in the DT indication compared with the MM strategy in the NHS England healthcare setting. Our analysis found that compared with the MM cohort, DT patients implanted with an LVAD incurred higher mean costs but benefited with higher survival and QoL resulting in a favourable deterministic ICER of £46 207/QALY and a slightly higher probabilistic ICER of £46 258/QALY (95% confidence interval £20 009–£72 255/QALY) for a lifetime horizon.

Compared with our findings, previous cost-effectiveness studies showed higher ICER values for a DT population, in both Europe and the US. Until recently, there was a large difference between US and European ICER outcomes in the LVAD cost-effectiveness literature. In contemporary US studies, a significant improvement in cost-effectiveness was observed in all LVAD indications as evidenced by publications of Silvestry et al. and Mahr et al. Both publications used more current LVAD data than historic US studies and accounted for MM survival using the SHFM. Our results are in line with these improved ICER outcomes.

Our observed improvement in ICER value can be explained by several reasons:

1. Cost-effectiveness assessments vary from country to country, as shown in a Western European economic evaluation review for pharmaceuticals, where the estimated ICER varied substantial in more than half of the studies in the analysis.
2. Costs may vary substantially in different healthcare systems. US cost-effectiveness studies such as Rogers et al. and Silvestry et al. found ICERS of $198 184/QALY and $102 587/QALY for DT LVAD population. These studies used US health care costs, which are substantially higher than in England. For example hospitalizations for driveline infection and GI bleed cost £7662 and £6899 in the UK vs. $13 681 and $9990 in the US.
3. Our study uses the most contemporary DT clinical trial data for LVADs. Similar to Rogers et al., Neyt et al., and the KCE HTA study used clinical data from Slaughter et al., studying a DT population with the HeartMate II device in 2009. The use of contemporary LVAD data was a key reason for lower ICERS in recent US published studies. Indeed, survival with DT LVAD has
improved in the contemporary era. For example, 1 and 2 year survival rates were 68% and 58%, respectively, in Slaughter et al.; while comparably, 1 and 2 year survival in ENDURANCE Supplemental—the trial used for this analysis—was 82% and 70%. Improvements in survival can be explained by the use of latest generation LVADs, increasing user experience, and advances in patient management with DT LVAD therapy. For example, results from the ENDURANCE Supplemental Trial demonstrated that improved blood pressure management reduces stroke rates in LVAD subjects, highlighting the importance of tight blood pressure control.

Existing cost-effectiveness analyses regarding the use of LVADs in the UK refer only to patients eligible for heart transplantation in the BTT indication. Our ICER value for DT LVAD is in the range of the seminal cost-effectiveness evaluation of the NHS HTA and Clarke et al. that studied the cost-effectiveness of BTT patients vs. an MM cohort for the UK in 2013. The main difference between the two indications, BTT and DT, is whether patients are eligible for heart transplantation. The difference in survival rates between the LVAD and MM cohort is much higher in patients being considered for DT without the option of heart transplantation as this magnifies the benefit of LVAD over MM for survival.

Our study has several strengths as follows: (i) To our knowledge, this is the first analysis studying the cost-effectiveness of LVADs in the DT indication in the UK. (ii) Further, to our knowledge, this is the first analysis in Europe utilizing DT clinical data from third generation LVAD technology. (iii) We used the most contemporary DT clinical trial data as inputs for the LVAD cohort in our model. (iv) We used IPD for mortality, AEs, and QoL inputs. While most of the previous cost-effectiveness studies used secondary sources from previous generation LVAD devices or from general HF populations, IPD data allowed us to develop and use continuous-flow LVAD specific utilities for the first time. In addition, our model calculates utility decrements specific to each major adverse event of the therapy. This results in a more realistic estimation of utility levels and decreases uncertainty around QoL. (v) Additionally, our analysis models all LVAD related major AEs, including pump exchanges, strokes, driveline infections, GI bleeding and right ventricular assist device implantation due to right HF and assigns NHS costs, respectively. This allows for a detailed and more accurate cost estimation for the LVAD cohort. (vi) Our model was robust to deterministic and probabilistic sensitivity analyses. A change of 100% in major AEs only slightly changed the ICER value.

We have identified the following limitations to our model: (i) In absence of a contemporary randomized clinical trial comparing LVAD with MM in patients not eligible for heart transplantation in the DT indication, we used an indirect comparison from the most recent DT clinical trial for the LVAD cohort and the SHFM for the MM comparator. While this might be considered a ‘modelled control’, the SHFM is well-accepted in the clinical community for the simulation of MM survival of HF patients and was used in scenario analyses with little varying results in other cost-effectiveness analyses such as in Clarke et al. An alternate input use for the MM cohort’s survival in previous cost-effectiveness analyses is the REMATCH trial. However, REMATCH does not represent current practice or survival rates. And this can explain the variation and decrease in the ICER value in the scenario analyses. (ii) In the absence of UK DT LVAD data, and similarly to previous European DT cost-effectiveness studies, US clinical outcomes were used in the model. Survival and adverse event rates were taken from the latest US LVAD DT trial assessing patients not eligible for heart transplantation. Utility inputs were based on EQ-5D questionnaires from the US trial but were converted to depict UK QoL values. One-way sensitivity analyses as well as probabilistic sensitivity analysis were performed for all the key clinical inputs. The results were in line with our base-case outcomes. With £46 207/QALY, our deterministic ICER is lower than the NHS willingness-to-pay threshold of £50 000/QALY, which is acceptable for end-of-life care. NHS considers DT patients for the end-of-life criteria. Therefore, our results suggest that the implantation of the HeartWare™ HVAD™ System in patients with the DT indication who are not eligible for heart transplantation is a cost-effective therapy in the NHS England healthcare system.

Currently, DT is not funded by NHS England mainly due to cost-effectiveness concerns. We believe our findings, using the latest available clinical DT trial data, coupled with significant improvements in LVAD therapy make a compelling case to revisit DT LVAD as a viable treatment option for end-stage HF patients ineligible for heart transplantation. LVAD outcomes have vastly improved over the past years due to technological innovations and improved patient management. Recent published data showed 1 and 2 year survival of 89% and 87%, respectively, in BTT patients implanted via thoracotomy, and long-term outcomes in patients intended as BTT with 5 and 7 year survival of 54% and 51% alongside with freedom from any stroke and severely disabling stroke at 6 years of 82% and 89%, respectively. Estimating the ‘unmet need’ for the DT population in the UK is difficult. However, there is a substantial number of end-stage HF patients without current treatment options in the UK despite their suitability as potential DT candidates, while a growing number of DT patients can be observed in other European countries and in the US.

To the best of our knowledge, this is the first cost-effectiveness analysis for DT specifically designed for the UK healthcare system. Our deterministic ICER of £46 207/QALY makes the implantation the HeartWare™ HVAD™ System in patients with the DT indication a
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Conflict of interest

Professor Schueler is advisor for Medtronic and Leviticus Cardio, and Dr Mahr is a consultant and investigator for Medtronic, Abbott, Abiomed, Syncardia and consultant for Carmat. Dr Silvestry is a consultant for Medtronic, and Abbott. Dr Slaughter is a consultant for Medtronic. Dr Levy is a consultant for Medtronic, and Abbott. Mrs Beckman is a consultant for Medtronic, Abbott, Abiomed, and Syncardia. Dr Villinger, Eleni Ismyrloglou, and Stelios Tsintzos are employed by Medtronic. Dr Cheng and Dr Cotts have no relationship with industry.

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Supporting information

Additional supporting information may be found online in the Supporting Information section at the end of the article.

Table S1. Baseline Characteristics – ENDURANCE SUPPLEMENTAL.
Table S2. Scenario Analysis - Literature Derived Utilities.
Table S3. Scenario Analysis - Alternate Hazard Ratios.
Figure S1. Incremental Cost-Effectiveness Ratio Scatterplot.
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