Adversarial Feature Learning

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Abstract

The ability of the Generative Adversarial Networks (GANs) framework to learn generative models mapping from simple latent distributions to arbitrarily complex data distributions has been demonstrated empirically, with compelling results showing generators learn to “linearize semantics” in the latent space of such models. Intuitively, such latent spaces may serve as useful feature representations for auxiliary problems where semantics are relevant. However, in their existing form, GANs have no means of learning the inverse mapping – projecting data back into the latent space. We propose Bidirectional Generative Adversarial Networks (BiGANs) as a means of learning this inverse mapping, and demonstrate that the resulting learned feature representation is useful for auxiliary supervised discrimination tasks, competitive with contemporary approaches to unsupervised and self-supervised feature learning.

1 Introduction

Deep convolutional networks (convnets) have become a staple of the modern computer vision pipeline. After training these models on a massive database of image-label pairs like ImageNet [26], the network easily adapts to a variety of similar visual tasks, achieving impressive results on image classification [5, 25, 32] or localization [9, 21] tasks. In other perceptual domains such as natural language processing or speech recognition, deep networks have proven highly effective as well [2, 12, 28, 30]. However, all of these recent results rely on a supervisory signal from large-scale databases of hand-labeled data, ignoring much of the useful information present in the structure of the data itself.

Meanwhile, Generative Adversarial Networks (GANs) [10] have emerged as a powerful framework for learning generative models of arbitrarily complex data distributions. The GAN framework learns a generator mapping samples from an arbitrary latent distribution to data, as well as an adversarial discriminator which tries to distinguish between real and generated samples as accurately as possible. The generator’s goal is to “fool” the discriminator by producing samples which are as close to real data as possible. GANs produce impressive results on databases of natural images [3, 24]. Interpolations in the latent space of the generator produce smooth and plausible semantic variations [24]. Based on these intuitions from observation of qualitative results, it appears that the generator learned by the GAN framework learns to “linearize the semantics” of the data distribution in the latent space.

A natural question arises from this ostensible “semantic juice” flowing through the weights of generators learned using the GAN framework: can GANs be used for unsupervised learning of rich feature representations for arbitrary data distributions? An obvious issue with doing so is that the generator maps latent samples to generated data, but the framework does not include an inverse mapping from data to latent representation.

Hence, we propose a novel unsupervised feature learning framework, Bidirectional Generative Adversarial Networks (BiGANs). The overall model is depicted in Figure 1. In short, in addition to the generator $G$ and discriminator $D$ from the standard GAN framework [10], we additionally learn an encoder $E$ which maps data $x$ to latent representations $z$. 
BiGANs are a robust and highly generic approach to unsupervised feature learning, making no assumptions about the structure or type of data to which they are applied, as our theoretical results will demonstrate. Our empirical studies of their feature learning abilities will show that despite their generality, BiGANs are competitive with contemporary approaches to unsupervised and weakly supervised feature learning tailor-made for a notoriously complex data distribution – natural images.

Dumoulin et al. [6] independently proposed an identical model in their concurrent work, exploring the case of a stochastic encoder $E$ and the ability of such models to learn in a semi-supervised setting.

2 Preliminaries

Let $p_X(x)$ be the distribution of our data for $x \in \Omega_X$ (e.g. natural images). The goal of generative modeling is capture this data distribution using a probabilistic model. Unfortunately, exact modeling of this probability density function is computationally intractable [13, 27] for all but the most trivial models. Generative Adversarial Networks (GANs) [10] instead model the data distribution as a transformation of a fixed latent distribution $p_Z(z)$ for $z \in \Omega_Z$. This transformation, called a generator, is expressed as a deterministic feed forward network $G : \Omega_Z \rightarrow \Omega_X$ with $p_G(x|z) = \delta(x - G(z))$ and $p_G(x) = \mathbb{E}_{z \sim p_Z}[p_G(x|z)]$. The goal is to train a generator such that $p_G(x) \approx p_X(x)$.

Goodfellow et al. [10] showed that for an ideal discriminator the objective $C(G) := \max_D V(D, G)$ is equivalent to the Jensen-Shannon divergence between the two distributions $p_G$ and $p_X$.

The adversarial objective $1$ does not directly lend itself to an efficient optimization, as each step in the generator $G$ requires a full discriminator $D$ to be learned. Furthermore, a perfect discriminator no longer provides any gradient information to the generator, as the gradient of any global or local maximum of $V(D, G)$ is $0$. To provide a strong gradient signal nonetheless, Goodfellow et al. slightly alter the objective between generator and discriminator updates, while keeping the same fixed point characteristics. They also propose to optimize $1$ using an alternating optimization switching between updates to the generator and discriminator. While this optimization is not guaranteed to converge, empirically it works well if the discriminator and generator are well balanced.

Despite the empirical strength of GANs as generative models of arbitrary data distributions, it is not clear how they can be applied as an unsupervised feature representation. One possibility for learning such representations is to learn an inverse mapping regressing from generated data $G(z)$ back to the latent input $z$. However, unless the generator perfectly models the data distribution $p_X$, a nearly
impossible objective for a complex data distribution such as that of high-resolution natural images, this idea may prove insufficient.

3 Bidirectional Generative Adversarial Networks

In Bidirectional Generative Adversarial Networks (BiGANs) we not only train a generator, but additionally train an encoder $E : \Omega_X \to \Omega_Z$. The encoder induces a distribution $p_E(z|x) = \delta(z - E(x))$ mapping data point $x$ into the latent feature space of the generative model. The discriminator is also modified to take input from the latent space, predicting $P_D(Y|x, z)$, where $Y = 1$ if $x$ is real (sampled from the real data distribution $p_X$), and $Y = 0$ if $x$ is generated (the output of $G(z), z \sim p_Z$).

The BiGAN training objective is defined as a minimax objective

$$\min_{G,E} \max_D V(D, E, G)$$

where

$$V(D, E, G) = \mathbb{E}_{x \sim p_X} \left[ \mathbb{E}_{z \sim p_G(z|x)} \log D(x, z) \right] + \mathbb{E}_{z \sim p_Z} \left[ \mathbb{E}_{x \sim p_G(x|z)} \log (1 - D(x, z)) \right].$$

We optimize this minimax objective using the same alternating gradient based optimization as Goodfellow et al. [10]. See Section 3.4 for details.

BiGANs share many of the theoretical properties of GANs [10], while additionally guaranteeing that at the global optimum, both $G$ and $E$ are bijective functions and are each other’s inverse. BiGANs are also closely related to autoencoders with an $\ell_0$ loss function. In the following sections we highlight some of the appealing theoretical properties of BiGANs.

Definitions Let $p_{CZ}(x, z) := p_{C}(x|z)p_{Z}(z)$ and $p_{EX}(x, z) := p_{E}(z|x)p_{X}(x)$ be the joint distributions modeled by the generator and encoder respectively. $\Omega := \Omega_X \times \Omega_Z$ is the joint latent and data space. For a region $R \subseteq \Omega$,

$$p_{EX}(R) := \int_{\Omega_X} p_{EX}(x, z) 1_{[(x, x) \in R]} \, dx = \int_{\Omega_X} p_{X}(x) \int_{\Omega_Z} p_{E}(z|x) 1_{[(x, z) \in R]} \, dz \, dx$$

and

$$p_{CZ}(R) := \int_{\Omega_Z} p_{CZ}(x, z) 1_{[(x, z) \in R]} \, dz = \int_{\Omega_Z} p_{Z}(z) \int_{\Omega_X} p_{C}(x|z) 1_{[(x, z) \in R]} \, dx \, dz$$

are probability measures over that region. We also define

$$P_X(R_X) := \int_{R_X} p_X(x) 1_{[x \in R_X]} \, dx$$

and

$$P_Z(R_Z) := \int_{R_Z} p_Z(z) 1_{[z \in R_Z]} \, dz$$

as measures over regions $R_X \subseteq \Omega_X$ and $R_Z \subseteq \Omega_Z$. We refer to the set of features and data samples in the support of $P_X$ and $P_Z$ as $\Omega_X := \text{supp}(P_X)$ and $\Omega_Z := \text{supp}(P_Z)$ respectively. $D_{\text{KL}}(P || Q)$ and $D_{\text{JS}}(P || Q)$ respectively denote the Kullback-Leibler (KL) and Jensen-Shannon divergences between probability measures $P$ and $Q$. By definition,

$$D_{\text{KL}}(P || Q) := \mathbb{E}_{x \sim P} \left[ \log f_{P|Q}(x) \right]$$

and

$$D_{\text{JS}}(P || Q) := \frac{1}{2} \left( D_{\text{KL}}(P || \frac{P+Q}{2}) + D_{\text{KL}}(Q || \frac{P+Q}{2}) \right),$$

where $f_{P|Q} := \frac{dp}{dq}$ is the Radon-Nikodym (RN) derivative of measure $P$ with respect to measure $Q$, with the defining property that $P(R) = \int_R f_{P|Q} \, dQ$. The RN derivative $f_{P|Q} : \Omega \to \mathbb{R}_{\geq 0}$ is defined for any measures $P$ and $Q$ on space $\Omega$ such that $P$ is absolutely continuous with respect to $Q$: i.e., for any $R \subseteq \Omega$, $P(R) > 0 \implies Q(R) > 0$.

3.1 Optimal discriminator, generator, & encoder

We start by characterizing the optimal discriminator for any generator and encoder, following Goodfellow et al. [10]. This optimal discriminator then allows us to reformulate objective (3), and show that it reduces to the Jensen-Shannon divergence between the joint distributions $P_{CZ}$ and $P_{EX}$.
Proposition 1 For any $E$ and $G$, the optimal discriminator $D_{EG}^* := \arg\max_{D} V(D, E, G)$ is the Radon-Nikodym derivative $f_{EG} := \frac{dP_{EX}}{d(P_{EX} + P_{GZ})} : \Omega \mapsto [0, 1]$ of measure $P_{EX}$ with respect to measure $P_{EX} + P_{GZ}$.

Proof. Given in Appendix A.1.

This optimal discriminator now allows us to characterize the optimal generator and encoder.

Proposition 2 The encoder and generator’s objective for an optimal discriminator $C(E, G) := \max_{D} V(D, E, G) = V(D_{EG}^*, E, G)$ can be rewritten in terms of the Jensen-Shannon divergence between measures $P_{EX}$ and $P_{GZ}$ as $C(E, G) = 2D_{JS}(P_{EX} \parallel P_{GZ}) - \log 4$.

Proof. Given in Appendix A.2.

Theorem 1 The global minimum of $C(E, G)$ is achieved if and only if $P_{EX} = P_{GZ}$. At that point, $C(E, G) = -\log 4$ and $D_{EG}^* = \frac{1}{2}$.

Proof. From Proposition 2, we have that $C(E, G) = 2D_{JS}(P_{EX} \parallel P_{GZ}) - \log 4$. The Jensen-Shannon divergence $D_{JS}(P \parallel Q) \geq 0$ for any $P$ and $Q$, and $D_{JS}(P \parallel P) = 0$ if and only if $P = Q$. Therefore, the global minimum of $C(E, G)$ occurs if and only if $P_{EX} = P_{GZ}$, and at this point the value is $C(E, G) = -\log 4$. Finally, $P_{EX} = P_{GZ}$ implies that the optimal discriminator is chance: $D_{EG}^* = \frac{dP_{EX}}{d(P_{EX} + P_{GZ})} = \frac{dP_{EX}}{2dP_{EX}} = \frac{1}{2}$. □

The optimal discriminator, encoder, and generator of BiGAN are similar to the optimal discriminator and generator of the GAN framework [10]. However, an important difference is that BiGAN optimizes a Jensen-Shannon divergence between a joint distribution over both data $X$ and latent features $Z$. This joint divergence allows us to further characterize properties of $G$ and $E$, as shown below.

3.2 Optimal generator & encoder are inverses

In Appendix A.3 we present an intuitive proof that the optimal generator and encoder invert one another ($E = G^{-1}$) on the set of samples with non-zero probability $\hat{\Omega}_X$ and $\hat{\Omega}_Z$. We start by showing that both functions are bijective and hence invertible. Throughout the proof we make use of the fact that the optimal discriminator must be random, and any discriminator that is not random is due to a suboptimal generator or encoder.

Theorem 2 The optimal $G$ and $E$ are each other’s inverse on $\hat{\Omega}_X$ and $\hat{\Omega}_Z$; i.e., if $G$ and $E$ are optimal, then $\forall x \in \hat{\Omega}_X \ G(E(x)) = x$ and $\forall z \in \hat{\Omega}_Z \ E(G(z)) = z$.

Proof. Given in Appendix A.3.

While Theorem 2 characterizes the encoder and decoder at their optimum, due to the non-convex nature of the optimization this optimum might never be reached. Experimentally, Section 4 shows that on standard datasets, the two are approximate inverses; however, they are rarely exact inverses. It is thus also interesting to show what objective BiGAN optimizes in terms of $E$ and $G$. Next we show that BiGANs are closely related to autoencoders with an $\ell_0$ loss function.

3.3 Relationship to autoencoders

Theorem 3 The encoder and generator objective given an optimal discriminator $C(E, G) := \max_D V(D, E, G)$ can be rewritten as an $\ell_0$ autoencoder loss function

$$C(E, G) = \mathbb{E}_{x \sim P_X} \left[ 1_{E(x) \in \hat{\Omega}_Z \land G(E(x)) = x} \log f_{EG}(x, E(x)) \right] + \mathbb{E}_{z \sim P_Z} \left[ 1_{G(z) \in \hat{\Omega}_X \land E(G(z)) = z} \log (1 - f_{EG}(G(z), z)) \right]$$

with $\log f_{EG} \in (-\infty, 0)$ and $\log (1 - f_{EG}) \in (-\infty, 0)$ almost everywhere on both $P_{EX}$ and $P_{GZ}$.

Proof. Given in Appendix A.4.

Here the indicator function $1_{E(x) = x}$ is equivalent to an autoencoder with $\ell_0$ loss, while the objective further encourages the functions $E(x)$ and $G(z)$ to produce valid outputs in the support...
We evaluate the feature learning capabilities of BiGANs by first training them unsupervised as a feature representation for related tasks, as shown later in this section.

The fact that the real data $p_\mathbf{x}$ distributions exactly – i.e., $G$ perfectly generates the data distribution $p_\mathbf{x}$ – in practice, for highly complex data distributions $p_\mathbf{x}$, such as the distribution of natural images, the generator will almost never achieve this perfect result. The fact that the real data $\mathbf{x}$ are never input to this type of encoder limits its utility as a feature representation for related tasks, as shown later in this section.

3.4 Learning

In practice, as in the GAN framework [10], each BiGAN module $D, G,$ and $E$ is a parametric function (with parameters $\theta_D, \theta_G,$ and $\theta_E$, respectively). As a whole, BiGAN can be optimized using alternating stochastic gradient steps. In one iteration, the discriminator parameters $\theta_D$ are updated by taking one or more steps in the positive gradient direction $\nabla_{\theta_D} V(D, E, G)$, then the encoder parameters $\theta_E$ and generator parameters $\theta_G$ are updated together by taking a step in the negative gradient direction $-\nabla_{\theta_E, \theta_G} V(D, E, G)$. In both cases, the expectation terms of $V(D, E, G)$ are estimated using mini-batches of $n$ samples $\{x^{(i)} \sim p_\mathbf{x}\}_{i=1}^n$ and $\{z^{(i)} \sim p_\mathbf{z}\}_{i=1}^n$ drawn independently for each update step.

Goodfellow et al. [10] found that an objective in which the real and generated labels $Y$ are swapped provides stronger gradient signal to $G$. We similarly observed in BiGAN training that an “inverse” objective provides stronger gradient signal to $G$ and $E$. For efficiency, we also update all modules $D, G,$ and $E$ simultaneously at each iteration, rather than alternating between $D$ updates and $G, E$ updates. See Appendix B for details.

4 Evaluation

We evaluate the feature learning capabilities of BiGANs by first training them unsupervised as described in Section 3.4, then transferring the encoder’s learned feature representations for use in auxiliary supervised learning tasks. To demonstrate that BiGANs are able to learn meaningful feature representations both on arbitrary data vectors, where the model is agnostic to any underlying structure, as well as very high-dimensional and complex distributions, we evaluate on both permutation-invariant MNIST [20] and on the high-resolution natural images of ImageNet [26].

In all experiments, each module $D, G,$ and $E$ is a parametric deep (multi-layer) network. The BiGAN discriminator $D(\mathbf{x}, \mathbf{z})$ takes data $\mathbf{x}$ as its initial input, and at each linear layer thereafter, the latent representation $\mathbf{z}$ is transformed using a learned linear transformation to the hidden layer dimension and added to the non-linearity input.

4.1 Baseline methods

Besides the BiGAN framework presented above, we considered alternative approaches to learning feature representations using different GAN variants.

**Discriminator** The discriminator $D$ in a standard GAN takes data samples $\mathbf{x} \sim p_\mathbf{x}$ as input, making its learned intermediate representations natural candidates as feature representations for related tasks. This alternative is appealing as it requires no additional machinery, and is the approach used for unsupervised feature learning in [24]. On the other hand, it is not clear that the task of distinguishing between real and generated data requires or benefits from intermediate representations that are useful as semantic feature representations. In fact, if $G$ successfully generates the true data distribution $p_\mathbf{x}(\mathbf{x})$, $D$ may ignore the input data entirely and predict $P(Y = 1) = P(Y = 1|\mathbf{x}) = \frac{1}{2}$ unconditionally, not learning any meaningful intermediate representations.

**Latent regressor** We consider an alternative encoder training by minimizing a reconstruction loss $\mathcal{L}(\mathbf{z}, E(G(\mathbf{z})))$, after or jointly during a regular GAN training, called latent regressor or joint latent regressor respectively. We use a sigmoid cross entropy loss $\mathcal{L}$ as it naturally maps to a uniformly distributed output space. Intuitively, a drawback of this approach is that, unlike the encoder in a BiGAN, the latent regressor encoder $E$ is trained only on generated samples $G(\mathbf{z})$, and never “sees” real data $\mathbf{x} \sim p_\mathbf{x}$. While this may not be an issue in the theoretical optimum where $p_G(\mathbf{x}) = p_\mathbf{x}(\mathbf{x})$ exactly – i.e., $G$ perfectly generates the data distribution $p_\mathbf{x}$ – in practice, for highly complex data distributions $p_\mathbf{x}$, such as the distribution of natural images, the generator will almost never achieve this perfect result. The fact that the real data $\mathbf{x}$ are never input to this type of encoder limits its utility as a feature representation for related tasks, as shown later in this section.
Table 1: One Nearest Neighbors (1NN) classification accuracy (%) on the permutation-invariant MNIST [20] test set in the feature space learned by BiGAN, Latent Regressor (LR), Joint Latent Regressor (JLR), and an autoencoder (AE) using an \( \ell_1 \) or \( \ell_2 \) distance.

|         | BiGAN | \( D \) | LR | JLR | AE (\( \ell_2 \)) | AE (\( \ell_1 \)) |
|---------|-------|--------|----|-----|-----------------|-----------------|
| Accuracy| 97.39 | 97.30  | 97.44 | 97.13 | 97.58          | 97.63          |

Figure 2: Qualitative results for permutation-invariant MNIST BiGAN training, including generator samples \( G(z) \), real data \( x \), and corresponding reconstructions \( G(E(x)) \).

### 4.2 Permutation-invariant MNIST

We first present results on permutation-invariant MNIST [20]. In the permutation-invariant setting, each \( 28 \times 28 \) digit image must be treated as an unstructured \( 784 \)-D vector [11]. In our case, this condition is met by designing each module as a multi-layer perceptron (MLP), agnostic to the underlying spatial structure in the data (as opposed to a convnet, for example). See Appendix C.1 for more architectural and training details. We set the latent distribution \( p_Z = [U(-1, 1)]^{50} \) – a 50D continuous uniform distribution.

Table 1 compares the encoding learned by a BiGAN-trained encoder \( E \) with the baselines described in Section 4.1, as well as autoencoders [14] trained directly to minimize either \( \ell_2 \) or \( \ell_1 \) reconstruction error. The same architecture and optimization algorithm is used across all methods. All methods, including BiGAN, perform at roughly the same level. This result is not overly surprising given the relative simplicity of MNIST digits. For example, digits generated by \( G \) in a GAN nearly perfectly match the data distribution (qualitatively), making the latent regressor (LR) baseline method a reasonable choice, as argued in Section 4.1. Qualitative results are presented in Figure 2.

### 4.3 ImageNet

Next, we present results from training BiGANs on ImageNet LSVRC [26], a large-scale database of natural images. GANs trained on ImageNet cannot perfectly reconstruct the data, but often capture some interesting aspects. Here, each of \( D, G, \) and \( E \) is a convnet. In all experiments, the encoder \( E \) architecture follows AlexNet [19] through the fifth and last convolution layer (conv5). We also experiment with an AlexNet-based discriminator \( D \) as a baseline feature learning approach. We set the latent distribution \( p_Z = [U(-1, 1)]^{200} \) – a 200D continuous uniform distribution. See Appendix C.2 for more architectural and training details.

#### Qualitative results

The convolutional filters learned by each of the three modules are shown in Figure 3. We see that the filters learned by the encoder \( E \) have clear Gabor-like structure, similar to those originally reported for the fully supervised AlexNet model [19]. The filters also have similar “grouping” structure where one half (the bottom half, in this case) is more color sensitive, and the other half is more edge sensitive. (This separation of the filters occurs due to the AlexNet architecture maintaining two separate filter paths for computational efficiency.)

In Figure 4 we present sample generations \( G(z) \), as well as real data samples \( x \) and their BiGAN reconstructions \( G(E(x)) \). The reconstructions, while certainly imperfect, demonstrate empirically that the BiGAN encoder \( E \) and generator \( G \) learn approximate inverse mappings, as shown theoretically in Theorem 2. In Appendix C.2, we present nearest neighbors in the BiGAN learned feature space.

#### ImageNet classification

Following Noroozi and Favaro [23], we evaluate by freezing the first \( N \) layers of our pretrained network and randomly reinitializing and training the remainder fully supervised for ImageNet classification. Results are reported in Table 2.
Despite making no assumptions about the underlying structure of the data, the BiGAN unsupervised feature learning framework offers a representation competitive with existing self-supervised and even weakly supervised feature learning approaches for visual feature learning, while still being a purely generative model with the ability to sample data $x$ and predict latent representation $z$. Furthermore, BiGANs outperform the discriminator ($D$) and latent regressor (LR) baselines discussed in Section 4.1, confirming our intuition that these approaches may not perform
Table 2: Classification accuracy (%) for the ImageNet LSVRC [26] validation set with various portions of the network frozen, or reinitialized and trained from scratch, following the evaluation from [23]. In, e.g., the conv3 column, the first three layers – conv1 through conv3 – are transferred and frozen, and the last layers – conv4 and conv5, as well as all fully connected layers – are reinitialized and trained fully supervised for ImageNet classification. Despite the specificity to the visual domain of the referenced approaches, BiGANs, as a generic feature learning framework, are competitive with these contemporary visual feature learning methods.

| trained layers | Classification (% mAP) | Detection (% mAP) | Segmentation (% mIU) |
|----------------|------------------------|-------------------|----------------------|
| ImageNet [19] | 77.0 78.8 78.3 | 56.8 | 48.0 |
| Random (k-means) [18] | 32.0 39.2 56.6 | 45.6 | 32.6 |
| Agrawal et al. [1] | 31.2 31.0 54.2 | 43.9 | - |
| Wang & Gupta [31] | 27.4 51.4 58.4 | 44.0 | - |
| Doersch et al. [4] | 44.7 55.1 65.3 | 51.1 | - |
| Discriminator (D) | 30.7 40.5 56.4 | - | - |
| Latent Regressor (LR) | 36.9 47.9 57.1 | - | - |
| Joint LR | 37.1 47.9 56.5 | - | - |
| Autoencoder ($\ell_2$) | 24.8 16.0 53.8 | 41.9 | - |
| BiGAN (ours) | 37.5 48.7 58.9 | 46.2 | 34.9 |

Table 3: Classification and detection results for the PASCAL VOC 2007 [7] test set, and segmentation results on the PASCAL VOC 2012 [7] validation set, under the standard mean average precision (mAP) or mean intersection over union (mIU) metrics for each task. Classification models are trained with various portions of the AlexNet [19] model frozen. In the fc8 column, only the linear classifier (a multinomial logistic regression) is learned – in the case of BiGAN, on top of randomly initialized fully connected (FC) layers fc6 and fc7. In the fc6-8 column, all three FC layers are trained fully supervised with all convolution layers frozen. Finally, in the all column, the entire network is “fine-tuned”. BiGANs outperform the GAN-based feature learning baselines described in Section 4.1, and are competitive with contemporary unsupervised feature learning approaches despite also being a very generic and fully generative approach, unlike the other purely discriminatively trained approaches.

well in the regime of highly complex data distributions such as that of natural images. We finally note that the results presented here constitute only a preliminary exploration of the space of model architectures possible under the BiGAN framework, and we expect results to improve significantly with advancements in generative image models and discriminative convolutional networks alike.

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A Additional proofs

A.1 Proof of Proposition 1 (optimal discriminator)

Proposition 1 For any $E$ and $G$, the optimal discriminator $D_{EG}^* := \arg \max_{D} V(D, E, G)$ is the Radon-Nikodym derivative $f_{EG} := \frac{dP_{EEX}}{d(P_{EEX} + P_{GZ})} : \Omega \mapsto [0, 1]$ of measure $P_{EEX}$ with respect to measure $P_{EEX} + P_{GZ}$.

Proof. For measures $P$ and $Q$ on space $\Omega$, with $P$ absolutely continuous with respect to $Q$, the RN derivative $f_{PQ} := \frac{dP}{dQ}$ exists, and we have

$$E_{x \sim P} [g(x)] = \int_{\Omega} g dP = \int_{\Omega} g \frac{dP}{dQ} dQ = \int_{\Omega} g f_{PQ} dQ = E_{x \sim Q} [f_{PQ}(x) g(x)].$$ (4)

Let $P_{EG} := \frac{P_{EEX} + P_{GZ}}{2}$, a probability measure, denote the average of measures $P_{EEX}$ and $P_{GZ}$. Because $P_{EEX}$ and $P_{GZ}$ are each absolutely continuous with respect to $P_{EG}$, the RN derivatives $f_{EG} := \frac{dP_{EEX}}{d(P_{EEX} + P_{GZ})} = \frac{1}{2} \frac{dP_{EEX}}{dP_{EG}}$ and $f_{GE} := \frac{dP_{GZ}}{d(P_{EEX} + P_{GZ})} = \frac{1}{2} \frac{dP_{GZ}}{dP_{EG}}$ exist and we can use (4) to rewrite the objective (3) as a single expectation over $P_{EG}$:

$$V(D, E, G) = E_{(x,z) \sim P_{EEX}} \left[ \log D(x, z) \right] + E_{(x,z) \sim P_{GZ}} \left[ \log (1 - D(x, z)) \right]$$
$$= E_{(x,z) \sim P_{EG}} \left[ 2 f_{EG}(x, z) \log D(x, z) \right] + E_{(x,z) \sim P_{EG}} \left[ 2 f_{GE}(x, z) \log (1 - D(x, z)) \right]$$
$$= 2 E_{(x,z) \sim P_{EG}} \left[ f_{EG}(x, z) \log D(x, z) + f_{GE}(x, z) \log (1 - D(x, z)) \right].$$ (5)

Note that $\arg \max_{D} \{ a \log y + b \log (1 - y) \} = \frac{a}{a+b}$ for any $(a, b) \in (\mathbb{R}_{>0} \setminus \{(0,0)\})$. Then, for any $(x, z)$ with $(f_{E}(x, z), f_{G}(x, z)) \neq (0,0)$, the argument of the expectation in (5) is maximized in $D$ by the proposed choice of $D_{EG}^* = \frac{f_{EG}}{f_{EG} + f_{GE}} = \frac{f_{EG}}{1} = f_{EG}$, using the fact that $f_{EG}$ and $f_{GE}$ have constant sum

$$f_{EG} + f_{GE} = \frac{dP_{EEX}}{d(P_{EEX} + P_{GZ})} + \frac{dP_{GZ}}{d(P_{EEX} + P_{GZ})} = \frac{d(P_{EEX} + P_{GZ})}{d(P_{EEX} + P_{GZ})} = 1.$$ (6)

This result ($f_{EG} + f_{GE} = 1$) also implies that there do not exist points $(x, z) \in \Omega$ where $f_{EG}(x, z) = f_{GE}(x, z) = 0$. Finally, observe that $f_{EG} \in [0, 1]$, with $f_{EG} \geq 0$ from the RN derivative definition and $f_{EG} = 1 - f_{GE} \leq 1$ following from (6) and $f_{GE} \geq 0$. Thus, $f_{EG}$ is a valid discriminator $D$, having the same $[0,1]$ range as $D$, per its definition. □

A.2 Proof of Proposition 2 (encoder and generator objective)

Proposition 2 The encoder and generator’s objective for an optimal discriminator $C(E, G) := \max_{E, G} V(D, E, G) = V(D_{EG}^*, E, G)$ can be rewritten in terms of the Jensen-Shannon divergence between measures $P_{EEX}$ and $P_{GZ}$ as $C(E, G) = 2 D_{JS}(P_{EEX} \parallel P_{GZ}) - \log 4$.

Proof. Using the fact shown in Proposition 1 that $D_{EG}^* = \frac{dP_{EEX}}{d(P_{EEX} + P_{GZ})} = f_{EG}$, along with (6) for the fact that $f_{EG} + f_{GE} = 1$ (implying $f_{GE} = 1 - f_{EG}$), we have $1 - D_{EG}^* = 1 - f_{EG} = f_{GE}$ and therefore,

$$C(E, G) = \max_{D} V(D, E, G) = V(D_{EG}^*, E, G)$$
$$= E_{(x,z) \sim P_{EEX}} \left[ \log D_{EG}^*(x, z) \right] + E_{(x,z) \sim P_{GZ}} \left[ \log (1 - D_{EG}^*(x, z)) \right]$$
$$= E_{(x,z) \sim P_{EEX}} \left[ \log f_{EG}(x, z) \right] + E_{(x,z) \sim P_{GZ}} \left[ \log f_{GE}(x, z) \right]$$
$$= E_{(x,z) \sim P_{EEX}} \left[ \log \left(2 f_{EG}(x, z)\right)\right] + E_{(x,z) \sim P_{GZ}} \left[ \log \left(2 f_{GE}(x, z)\right)\right] - \log 4$$
$$= D_{KL}(P_{EEX} \parallel P_{EG}) + D_{KL}(P_{GZ} \parallel P_{EG}) - \log 4$$
$$= D_{KL}(P_{EEX} \parallel \frac{P_{EEX} + P_{GZ}}{2}) + D_{KL}(P_{GZ} \parallel \frac{P_{EEX} + P_{GZ}}{2}) - \log 4$$
$$= 2 D_{JS}(P_{EEX} \parallel P_{GZ}) - \log 4. \square$$

A.3 Proof of Theorem 2 (optimal generator and encoder are inverses)

Proposition 3 The optimal $G$ and $E$ are injective.
Proof. Assume two data samples \( x_1 \neq x_2 \) map to the same latent feature \( z = E(x_1) = E(x_2) \). The generator \( G \) produces only a single data sample \( x = G(z) \), hence an optimal discriminator could distinguish either \((x_1, z)\) or \((x_2, z)\) from \((x, z)\). Following Theorem 1, this discriminator would not be optimal and the encoder \( E \) would not be optimal. It follows by contradiction that no two \( x_1 \neq x_2 \) map to the same \( z = E(x_1) = E(x_2) \) and \( E \) is injective. The proof for \( G \) is analogous. \( \square \)

**Proposition 4** The optimal \( G \) and \( E \) are surjective on \( \hat{\Omega}_X \) and \( \hat{\Omega}_Z \) respectively.

Proof. Assume that there is a latent feature \( \zeta \in \hat{\Omega}_Z \) that is never produced by \( E \). An optimal discriminator could distinguish \((G(\zeta), \zeta)\) from any \((x, E(x))\), hence \( E \) is not optimal. It follows by contradiction that \( E \) produces all values on \( \hat{\Omega}_Z \) and is surjective. The proof for \( G \) is analogous. \( \square \)

**Proposition 5** The optimal \( G \) and \( E \) are bijective and hence invertible on \( \hat{\Omega}_X \) and \( \hat{\Omega}_Z \) respectively.

Proof. Follows directly from Propositions 3 and 4. \( \square \)

**Theorem 2** The optimal \( G \) and \( E \) are each other’s inverse on \( \hat{\Omega}_X \) and \( \hat{\Omega}_Z \); i.e., if \( G \) and \( E \) are optimal, then \( \forall x \in \hat{\Omega}_X \), \( G(E(x)) = x \) and \( \forall z \in \hat{\Omega}_Z \), \( E(G(z)) = z \).

Proof. From Proposition 5 we know that both \( E \) and \( G \) are invertible. Let’s assume that \( E \) does not invert \( G \); i.e., there exists a \( \hat{\zeta} \in \hat{\Omega}_Z \) such that \( E(G(\hat{\zeta})) \neq \hat{\zeta} \). Then \( p_{EX}(G(\hat{\zeta}), \hat{\zeta}) = 0 \) but \( p_{GZ}(G(\hat{\zeta}), \hat{\zeta}) = p_Z(\hat{\zeta}) > 0 \), so the two distributions \( p_{EX} \neq p_{GZ} \) can be distinguished by a discriminator at \( \hat{\zeta} \) and hence \( E \) and \( G \) are not optimal. By contradiction we have that \( E(G(z)) = z \ \forall z \in \hat{\Omega}_Z \). Analogously we can show that \( G(E(x)) = x \ \forall x \in \hat{\Omega}_X \). \( \square \)

A.4 Proof of Theorem 3 (relationship to autoencoders)

As shown in Proposition 2 (Section 3), the BiGAN objective is equivalent to the Jensen-Shannon divergence between \( P_{EX} \) and \( P_{GZ} \). We now go a step further and show that this Jensen-Shannon divergence is closely related to a standard autoencoder loss. Omitting the \( \frac{1}{2} \) scale factor, a KL divergence term of the Jensen-Shannon divergence is given as

\[
D_{KL}(P_{EX} \| P_{EX} + \frac{1}{2} P_{GZ}) = \log 2 + \int_{\Omega} \log \frac{d P_{EX}}{d (P_{EX} + \frac{1}{2} P_{GZ})} d P_{EX}
\]

\[
= \log 2 + \int_{\Omega} \log f(\xi) d P_{EX},
\]

where we abbreviate as \( f \) the Radon-Nikodym derivative \( f_{EG} := \frac{d P_{EX}}{d (P_{EX} + \frac{1}{2} P_{GZ})} \in [0, 1] \) defined in Proposition 1 for most of this proof.

For deterministic \( E \) and \( G \) (i.e., where conditionals \( p_E(z|x) \) and \( p_G(x|z) \) correspond to delta functions), the definitions given in Section 3 of measures \( P_{EX} \) and \( P_{GZ} \) may be rewritten:

\[
P_{EX}(R) = \int_{\Omega x} p_X(x) \int_{\Omega z} p_E(z|x) \delta(z - E(x)) d z d x
\]

\[
= \int_{\Omega x} p_X(x) \left( \int_{\Omega z} \delta(z - E(x)) d z \right) d x
\]

\[
P_{GZ}(R) = \int_{\Omega z} \frac{1}{2} p_Z(z) \delta(z) d z
\]

We’ll denote the integral term of the KL divergence expression given in (7) over a particular region \( R \subseteq \Omega \) by

\[
F(R) := \int_R \log \frac{d P_{EX}}{d (P_{EX} + \frac{1}{2} P_{GZ})} d P_{EX} = \int_R \log f(\xi) d P_{EX}.
\]

Next we will show that \( f > 0 \) almost everywhere on \( P_{EX} \), and hence \( F \) is always well defined and finite. We then show that \( F \) is equivalent to an autoencoder-like reconstruction loss function.

**Proposition 6** \( f > 0 \) almost everywhere on \( P_{EX} \).
Proof. Let $R_f^1 := \{(x, z) \in \Omega : f(x, z) = 1\}$ be the region of $\Omega$ in which $f = 1$. Using the definition of the Radon-Nikodym derivative $f$, the measure $P_{EX}(R_f^1) = \int_{R_f^1} d(P_{EX} + P_{GZ}) = \int_{R_f^1} d(P_{EX}) = 0$ is zero. Hence $f > 0$ almost everywhere on $P_{EX}$. □

Proposition 6 ensures that $\log f$ is defined almost everywhere on $P_{EX}$, and $F(R)$ is well-defined. Next we will show that $F(R)$ mimics an autoencoder with $\ell_0$ loss, meaning $F$ is zero for any region in which $G(E(x)) \neq x$, and non-zero otherwise.

**Proposition 7** The KL divergence $F$ outside the support of $P_{GZ}$ is zero: $F(\Omega \setminus \text{supp}(P_{GZ})) = 0$.

We’ll first show that in region $R_S := \Omega \setminus \text{supp}(P_{GZ})$, we have $f = 1$ almost everywhere on $P_{EX}$. Let $R_f^<1 := \{(x, z) \in R_S : f(x, z) < 1\}$ be the region of $R_S$ in which $f < 1$. Let’s assume that $P_{EX}(R_f^<1) > 0$ has non-zero measure. Then, using the definition of the Radon-Nikodym derivative, $P_{EX}(R_f^<1) = \int_{R_f^<1} f d(P_{EX} + P_{GZ}) = \int_{R_f^<1} f dP_{EX} + \int_{R_f^<1} f dP_{GZ} \leq \varepsilon P_{EX}(R_f^<1)$

where $\varepsilon$ is a constant smaller than 1. But $P_{EX}(R_f^<1) < P_{EX}(R_f^<1)$ is a contradiction; hence, $P_{EX}(R_f^<1) = 0$ and $f = 1$ almost everywhere in $R_S$ on $P_{EX}$, implying $\log f = 0$ almost everywhere in $R_S$ on $P_{EX}$. Hence $F(R_S) = 0$. □

By definition, $F(\Omega \setminus \text{supp}(P_{EX})) = 0$ is also zero. The only region where $F$ might be non-zero is $R^1 := \text{supp}(P_{EX}) \cap \text{supp}(P_{GZ})$.

**Proposition 8** $f < 1$ almost everywhere on $P_{EX}$ in $R^1$.

Let $R_f^1 := \{(x, z) \in R^1 : f(x, z) = 1\}$ be the region in which $f = 1$. Let’s assume the set $R_f^1 \neq \emptyset$ is not empty. By definition of the support of $f$, $P_{EX}(R_f^1) > 0$ and $P_{GZ}(R_f^1) > 0$. The Radon-Nikodym derivative on $R_f^1$ is then given by $P_{EX}(R_f^1) = \int_{R_f^1} d(P_{EX} + P_{GZ}) = \int_{R_f^1} f d(P_{EX} + P_{GZ}) = P_{EX}(R_f^1) + P_{GZ}(R_f^1)$, which implies $P_{GZ}(R_f^1) = 0$ and contradicts the definition of support. Hence $R_f^1 = \emptyset$ and $f < 1$ almost everywhere on $R^1$, hence $\log f < 0$ almost everywhere on $P_{EX}$. □

**Theorem 3** The encoder and generator objective given an optimal discriminator $C(E, G) := \max_{D} \mathbb{V}(D, E, G)$ can be rewritten as an $\ell_0$ autoencoder loss function

$$C(E, G) = \mathbb{E}_{x \sim p_X} \left[ 1_{|E(x)\cap G(E(x))=x|} \log f_{EG}(x, E(x)) \right] + \mathbb{E}_{z \sim p_Z} \left[ 1_{|G(z)\cap E(G(z))=z|} \log (1 - f_{EG}(G(z), z)) \right]$$

with $\log f_{EG} \in (-\infty, 0)$ and $\log (1 - f_{EG}) \in (-\infty, 0)$ almost everywhere on both $P_{EX}$ and $P_{GZ}$.

**Proof.** Proposition 7 ($F(\Omega \setminus \text{supp}(P_{GZ})) = 0$) and $F(\Omega \setminus \text{supp}(P_{EX})) = 0$ imply that $R^1 := \text{supp}(P_{EX}) \cap \text{supp}(P_{GZ})$ is the only region of $\Omega$ where $F$ may be non-zero; hence, $F(\Omega) = F(R^1)$. Note that

$$\text{supp}(P_{EX}) = \left\{(x, E(x)) : x \in \hat{\Omega}_X \right\}$$

$$\text{supp}(P_{GZ}) = \left\{(G(z), z) : z \in \hat{\Omega}_Z \right\}$$

$$\implies R^1 := \text{supp}(P_{EX}) \cap \text{supp}(P_{GZ}) = \left\{(x, z) : E(x) = z \land x \in \hat{\Omega}_X \land G(z) = z \land z \in \hat{\Omega}_Z \right\}$$

\footnote{We use the definition $U \cap C \neq \emptyset \implies \mu(U \cap C) > 0$ here.}
So a point \((x, E(x))\) is in \(R^1\) if \(x \in \tilde{\Omega}_X\), \(E(x) \in \tilde{\Omega}_Z\), and \(G(E(x)) = x\). (We can drop the \(x \in \tilde{\Omega}_X\) condition from inside an expectation over \(P_X\) as almost all \(x \notin \Omega_X\) have 0 probability.) Therefore,

\[
D_{KL} \left( P_{EX} \parallel \frac{P_{EX} + P_{GZ}}{2} \right) - \log 2 = F(\Omega) = F(R^1) = \\
= \int_{R^1} \log f(x, z) \, dP_{EX} \\
= \int_{\tilde{\Omega}_X} P_X(x) \mathbb{1}_{\{x, E(x) \in R^1\}} \log f(x, E(x)) \, dx \\
= \mathbb{E}_{x \sim P_X} \left[ \mathbb{1}_{\{x, E(x) \in R^1\}} \log f(x, E(x)) \right] \\
= \mathbb{E}_{x \sim P_X} \left[ \mathbb{1}_{E(x) \in \tilde{\Omega}_Z \land G(E(x)) = x} \log f(x, E(x)) \right].
\]

Finally, with Propositions 6 and 8, we have \(f \in (0, 1)\) almost everywhere in \(R^1\) on \(P_{EX}\), and therefore \(\log f \in (-\infty, 0)\), taking a finite and strictly negative value almost everywhere.

An analogous argument (along with the fact that \(f_{EG} + f_{GE} = 1\)) lets us rewrite the other KL divergence term

\[
D_{KL} \left( P_{GZ} \parallel \frac{P_{EX} + P_{GZ}}{2} \right) - \log 2 = \mathbb{E}_{z \sim P_Z} \left[ \mathbb{1}_{G(z) \in \tilde{\Omega}_X \land E(G(z)) = z} \log f_{GE}(G(z), z) \right] \\
= \mathbb{E}_{z \sim P_Z} \left[ \mathbb{1}_{G(z) \in \tilde{\Omega}_X \land E(G(z)) = z} \log (1 - f_{EG}(G(z), z)) \right].
\]

The Jensen-Shannon divergence is the mean of these two KL divergences, giving \(C(E, G)\):

\[
C(E, G) = 2 \, D_{JS} \left( P_{EX} \parallel P_{GZ} \right) = \log 4 \\
= D_{KL} \left( P_{EX} \parallel \frac{P_{EX} + P_{GZ}}{2} \right) + D_{KL} \left( P_{GZ} \parallel \frac{P_{EX} + P_{GZ}}{2} \right) - \log 4 \\
= \mathbb{E}_{x \sim P_X} \left[ \mathbb{1}_{E(x) \in \tilde{\Omega}_Z \land G(E(x)) = x} \log f_{EG}(x, E(x)) \right] \\
+ \mathbb{E}_{z \sim P_Z} \left[ \mathbb{1}_{G(z) \in \tilde{\Omega}_X \land E(G(z)) = z} \log (1 - f_{EG}(G(z), z)) \right].\]

\[\square\]

### B Learning details

In this section we provide additional details on the BiGAN learning protocol summarized in Section 3.4. Goodfellow et al. [10] found for GAN training that an objective in which the real and generated labels \(Y\) are swapped provides stronger gradient signal to \(G\). We similarly observed in BiGAN training that an “inverse” objective \(\Lambda\) (with the same fixed point characteristics as \(V\)) provides stronger gradient signal to \(G\) and \(E\), where

\[
\Lambda(D, G, E) = \mathbb{E}_{x \sim P_X} \left[ \mathbb{E}_{z \sim P_E(\cdot|x)} \log (1 - D(x, z)) \right] + \mathbb{E}_{z \sim P_Z} \left[ \mathbb{E}_{x \sim P_G(\cdot|z)} \log D(x, z) \right].
\]

Hence, in practice, \(\theta_G\) and \(\theta_E\) are updated by moving in the positive gradient direction of this inverse objective \(\nabla_{\theta_E, \theta_G} \Lambda\), rather than the negative gradient direction of the original objective.

We also observed that learning behaved similarly when all parameters \(\theta_D, \theta_G, \theta_E\) were updated simultaneously at each iteration rather than alternating between \(\theta_D\) updates and \(\theta_G, \theta_E\) updates, so we took the simultaneous updating (non-alternating) approach for computational efficiency. (For standard GAN training, simultaneous updates of \(\theta_D, \theta_G\) performed similarly well, so our standard GAN experiments also follow this protocol.)

### C Model and training details

In the following sections we present additional details on the models and training protocols used in the permutation-invariant MNIST and ImageNet evaluations presented in Section 4.

**Optimization** For unsupervised training of BiGANs and baseline methods, we use the Adam [17] optimizer to compute parameter updates, following the hyperparameters (initial step size \(\alpha = 2 \times 10^{-4}\), momentum \(\beta_1 = 0.5\) and \(\beta_2 = 0.999\)) used by Radford et al. [24]. The step size \(\alpha\) is decayed exponentially to \(\alpha = 2 \times 10^{-6}\) starting halfway through training. The mini-batch size is 128. \(\ell_2\) weight decay of \(2.5 \times 10^{-5}\) is applied to all multiplicative weights in linear layers (but not to the learned bias \(\beta\) or scale \(\gamma\) parameters applied after batch normalization).
Software & hardware  We implement BiGANs and baseline feature learning methods using the *Theano* [29] framework, based on the convolutional GAN implementation provided by Radford *et al.* [24]. ImageNet transfer learning experiments (Section 4.3) use the *Caffe* [16] framework, per the Fast R-CNN [8] and FCN [21] reference implementations. Most computation is performed on an NVIDIA Titan X or Tesla K40 GPU.

C.1 Permutation-invariant MNIST

In all permutation-invariant MNIST experiments (Section 4.2), $D$, $G$, and $E$ each consist of two hidden layers with 1024 units. The first hidden layer is followed by a non-linearity; the second is followed by (parameter-free) batch normalization [15] and a non-linearity. The second hidden layer in each case is the input to a linear prediction layer of the appropriate size. In $D$ and $E$, a leaky ReLU [22] non-linearity with a “leak” of 0.2 is used; in $G$, a standard ReLU non-linearity is used. All models are trained for 400 epochs.

C.2 ImageNet

In all ImageNet experiments (Section 4.3), the encoder $E$ architecture follows AlexNet [19] through the fifth and last convolution layer (conv5), with local response normalization (LRN) layers removed and batch normalization [15] (including the learned scaling and bias) with leaky ReLU non-linearity applied to the output of each convolution at unsupervised training time. (For supervised evaluation, batch normalization is not used, and the pre-trained scale and bias is merged into the preceding convolution’s weights and bias.)

In most experiments, both the discriminator $D$ and generator $G$ architecture are those used by Radford *et al.* [24], consisting of a series of four $5 \times 5$ convolutions (or “deconvolutions” – fractionally-strided convolutions – for the generator $G$) applied with 2 pixel stride, each followed by batch normalization and rectified non-linearity.

The sole exception is our discriminator baseline feature learning experiment, in which we let the discriminator $D$ be the AlexNet variant described above. Generally, using AlexNet (or similar convnet architecture) as the discriminator $D$ is detrimental to the visual fidelity of the resulting generated images, likely due to the relatively large convolutional filter kernel size applied to the input image, as well as the max-pooling layers, which explicitly discard information in the input. However, for fair comparison of the discriminator’s feature learning abilities with those of BiGANs, we use the same architecture as used in the BiGAN encoder.

Preprocessing  To produce a data sample $x$, we first sample an image from the database, and resize it proportionally such that its shorter edge has a length of 72 pixels. Then, a $64 \times 64$ crop is randomly selected from the resized image. The crop is flipped horizontally with probability $\frac{1}{2}$. Finally, the crop is scaled to $[-1, 1]$, giving the sample $x$.

Timing  A single epoch (one training pass over the 1.2 million images) of BiGAN training takes roughly 40 minutes on a Titan X GPU. Models are trained for 100 epochs, for a total training time of under 3 days.

Nearest neighbors  In Figure 5 we present nearest neighbors in the feature space of the BiGAN encoder $E$ learned in unsupervised ImageNet training.
Figure 5: For the query images used in [18] (left), nearest neighbors (by minimum cosine distance) from the ImageNet LSVRC [26] training set in the fc6 feature space of the ImageNet-trained BiGAN encoder $E$. (The fc6 weights are set randomly; this space is a random projection of the learned conv5 feature space.)