Quantization and its breakdown in a Hubbard–Thouless pump

Geometric properties of wave functions can explain the appearance of topological invariants in many condensed-matter and quantum systems. For example, topological invariants describe the plateaux observed in the quantized Hall effect and the pumped charge in its dynamic analogue—the Thouless pump. However, the presence of interparticle interactions can affect the topology of a material, invalidating the idealized formulation in terms of Bloch waves. Despite pioneering experiments in different platforms, the study of topological matter under variations in interparticle interactions has proven challenging. Here we experimentally realize a topological Thouless pump with fully tuneable Hubbard interactions in an optical lattice and observe regimes with robust pumping, as well as an interaction-induced breakdown. We confirm the pump’s robustness against interactions that are smaller than the protecting gap for both repulsive and attractive interactions. Furthermore, we identify that bound pairs of fermions are responsible for quantized transport at strongly attractive interactions. However, for strong repulsive interactions, topological pumping breaks down, but we show how to reinstate it by modifying the pump trajectory. Our results will prove useful for further investigations of interacting topological matter, including edge effects and interaction-induced topological phases.

Ultracold quantum gases provide a versatile platform for investigating topological phenomena, in which atoms take on the role of mobile charges. Although atoms are electrically neutral, effective magnetic fields can be generated via periodic modulation. However, the simultaneous presence of interactions and periodic driving often leads to detrimental energy absorption and population of highly excited modes. Most experiments have so far been restricted to the non-interacting regime or the interactions remained fixed. Conversely, realizing a many-body system with topology and variable interactions is still a challenge, despite substantial and ongoing theoretical interest.

In our experiment, we create a dynamically tuneable superlattice by overlaying phase-controlled standing waves with an additional running-wave component and study topological charge pumping in the periodically driven, interacting Rice–Mele model:

\[
\hat{H}(\tau) = - \sum_{j,\sigma} \left[ t \left( \hat{c}_{j+1\sigma}^\dagger \hat{c}_{j\sigma} + \text{h.c.} \right) + \delta(\tau) \left( \hat{c}_{j\sigma}^\dagger \hat{c}_{j\sigma} + 1 \right) + U \sum_{j} \hat{n}_{j\uparrow} \hat{n}_{j\downarrow} \right] + \Delta(\tau) \sum_{j,\sigma} (-1)^{j} \hat{c}_{j\sigma}^\dagger \hat{c}_{j\sigma} + U \sum_{j} \hat{n}_{j\uparrow} \hat{n}_{j\downarrow}.
\]

The interactions enter as the Hubbard U for two fermions of opposite spin \( \sigma \in \{\uparrow, \downarrow\} \) occupying the same lattice site \( j \). The fermionic annihilation and number operators are denoted by \( \hat{c}_{j\sigma} \) and \( \hat{n}_{j\sigma} \), respectively. Both bond dimerization \( \delta(\tau) \) and sublattice site offset \( \Delta(\tau) \) are

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sinusoidally varied in time $t$ with period $T$, but out of phase with respect to each other. This cyclic and adiabatic modulation describes a quantum pump, which manifests itself in a drift of the many-body polarization. For an insulator or a homogeneously filled band of free fermions, the Rice–Mele model encompasses a rich many-body phase diagram, including the ionic Hubbard model with maximum site offset $\Delta_0$ and no dimerization $\delta_0$. Here $\Delta_0$ corresponds to half of the gap in the ionic Hubbard model ($\delta_0 = 0$).

The experiments are performed using a balanced spin mixture of ultracold potassium-40 atoms in three-dimensional optical lattice (Fig. 1, Extended Data Fig. 1 and Methods). The total lattice potential comprises interfering laser beams in the $x$–$z$ plane and additional non-interfering standing waves in all the three spatial directions, namely, $x$, $y$, and $z$ (ref. 50). These potentials combine to form one-dimensional superlattices along $x$. The phase between the interfering ('long') lattice with respect to the non-interfering ('short') lattice along $x$ is dynamically controlled, inspired by the self-oscillating mechanism discussed in another work. This traces an elliptical path of the Rice–Mele Hamiltonian (equation (1)). For finite interactions ($U \neq 0$), the Rice–Mele model encompasses a rich many-body phase diagram, including the ionic Hubbard model with maximum site offset $\Delta_0$ and no dimerization $\delta_0$, as well as the interacting Su–Schrieffer–Heeger model with maximum dimerization $\delta_0$ and zero site offset.

The data points and error bars correspond to the mean and standard error estimated from the uncertainty of the fit and those in the $x$–$y$ direction correspond to the propagated error from lattice fluctuations. All the measurements in this figure were taken at a fixed period of $T = 41.5(1.5) \tau/l$. In a first experiment, we track the many-body polarization by measuring the centre-of-mass (c.m.) position of the atomic cloud within five pumping cycles for $U/\Delta_0 = [-3.2(1), 0, 3.1(2)]$ (red, grey and blue data points, respectively).
Numerical simulations of the many-body ground state at half-filling with a density matrix renormalization group (DMRG) algorithm on 4–64 lattice sites and find that the pumping efficiency drops to zero for large $U$ (Extended Data Fig. 3). The remaining pumping efficiency of 0.4–0.5 for large repulsive interactions in the experimental data is a result of the non-zero fraction of atoms in singly occupied unit cells. This agrees with an independent measurement of the initial state, where 55(7)% of atoms are found in doubly occupied unit cells. Our observation, therefore, support the picture of pair pumping in the strongly attractive regime. The solid grey and dashed red lines indicate the maximum attainable DO fraction given by our lattice loading scheme. Each data point and error bar corresponds to the mean and standard error of six individual measurements split equally between the pumping directions. The measured efficiency is plotted versus pumping period in units of tunnelling times for $U = -3.0(2)$ (red squares) and $U = 0$ (grey points). The data points correspond to the fitted slopes of the c.m. drift over two pumping cycles averaged over at least nine iterations and the pumping direction. The data point at $T = 36.5\hbar/t$ is taken from the dataset for Fig. 1c at $U/\Delta_0 = -3.1(2)$. The error bars correspond to the propagated error estimated from the uncertainty of the fit.

The observation of pair pumping for strong attractive interactions is substantiated by additional observables, including the evolution of DO fraction and the timescale for adiabatic following. We detect the fraction of pairs over half a trajectory for the non-interacting ($U = 0$, grey data points) and strongly attractive ($U/\Delta_0 = -3.0(1)$, red data points) systems (Fig. 2b). The solid grey and dashed red lines (Fig. 2b) indicate the maximum accessible DO given by our lattice loading, which is equal to the fraction of the initially doubly occupied unit cells determined via an additional measurement (Methods). In the absence of interactions, the delocalization of atoms within a unit cell leads to a finite DO at $r = 0$ and $\Delta = 0$. A large negative $U$ gives rise to an increased initial DO. Although the DO increases by more than 0.2 over the course of a cycle for $U = 0$ when reaching the maximum site offset $\Delta_0$, the high fraction in the attractive system only increases by half as much. Thus, we can conclude that the pairs for $U/\Delta_0 = -3.0(1)$ largely remain bound over the pumping cycle. The residual modulation in DO over half the pumping cycle is also reflected by DMRG simulations (Extended Data Fig. 4). By analogy, quantized pumping should also be possible with repulsively bound pairs $^{2}$ for $U > 0$, which we plan to investigate in the future.

The pumping of pairs also manifests itself in a change in adiabaticity timescale, compared with single atoms. Generally, the timescale for adiabatic following is determined by the minimum energy gap to the first excited state over a pumping cycle, which—in the non-interacting Rice–Mele model—corresponds to the second Bloch band of the bipartite lattice. In the experiment, the transport efficiency for the attractive pairs drops at longer periods compared with $U = 0$. In Fig. 2c, exponential fits to the data points yield $1/e$ times of $2.7(4)\hbar/t$ for $U/\Delta_0 = -3.0(2)$ (dashed red line) and $1.0(2)\hbar/t$ for $U/\Delta_0 = 0$ (solid grey line). The increase in the adiabaticity timescale indicates that the energy gap becomes smaller in the attractive regime and agrees with the estimate $2\hbar^2/U_0 = 0.33(1)t_0$ (at $r = 0$; Extended Data Fig. 1) for the effective tunnelling of hardcore bosons.

Next, we investigate how to recover quantized transport in the strongly repulsive regime where pumping breaks down (Fig. 1d). To that end, we modify the pump trajectory and increase the maximum site offset $\Delta_0$ (Fig. 3a, paths 2 and 3), compared with the initial trajectory (path 1), whereas keeping the starting point and interactions fixed. Path 1 corresponds to the data point with the same absolute $U$ in Fig. 1e ($U/\Delta_0 = 2.8(1)$; $\Delta_0 = 8.0(3)t_0$). As a result of increasing $\Delta_0$, single occupancies and DOs become resonantly coupled by tunnelling. Thus, an asymmetric charge distribution within a unit cell becomes energetically allowed.

In the strongly attractive regime, the pumping mechanism is a result of the tunnelling of pairs of fermions. Measured DO fraction over half a pumping cycle for $U/\Delta_0 = 0$ (grey points) and $U/\Delta_0 = -3.0(1)$ (red squares). The large fraction of DOs and its small modulation over a pumping cycle for $U/\Delta_0 = -3.0(1)$ compared with $U = 0$ supports the picture of pair pumping in the strongly attractive regime. The solid grey and dashed red lines indicate the maximum attainable DO fraction given by our lattice loading scheme. Each data point and error bar corresponds to the mean and standard error of six individual measurements split equally between the pumping directions. The measured efficiency is plotted versus pumping period in units of tunnelling times for $U = -3.0(2)$ (red squares) and $U = 0$ (grey points). The data points correspond to the fitted slopes of the c.m. drift over two pumping cycles averaged over at least nine iterations and the pumping direction. The data point at $T = 36.5\hbar/t$ is taken from the dataset for Fig. 1c at $U/\Delta_0 = -3.1(2)$. The error bars correspond to the propagated error estimated from the uncertainty of the fit.

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This asymmetry manifests in the change in polarization and is necessary for transport. We demonstrate this process in our experiment by measuring the DO fraction for all three trajectories (Fig. 3a) over half a pumping cycle (for paths 1 to 3, $\Delta_{0} = 0.35(1), 0.50(1), 0.61(1)$ with fixed $U$). The initial fraction is below 0.1 for all the paths considered here, reflecting the identical initialization. Although the DO for path 1 remains below 0.17, it reaches values of 0.33(3) and 0.48(2) for paths 2 and 3, respectively, as the line of $\Delta = U/2$ is crossed and pair formation is restored. For path 3, the measured fraction even reaches the maximum possible value within error (Fig. 3b, black dash– dot line), determined by the initially doubly occupied unit cells (Methods). The observation is qualitatively consistent with numerical calculations (Extended Data Fig. 5). The influence of resonant pair formation on transport becomes clear with a measurement of efficiency versus maximum site offset $\Delta_{0}$ (Fig. 3c). For low values of $\Delta_{0}$, the pump efficiency is roughly constant at around 0.4. Increasing $\Delta_{0}$ leads to a growth in efficiency up to unity as the resonance condition for tunnelling is fulfilled.

In conclusion, we have experimentally characterized the topological properties of interacting Thouless pumps covering the full range of Hubbard $U$, from strongly attractive through intermediate to strongly repulsive. Remarkably, we observe a clear asymmetry between large attractive and large repulsive interactions. Although the robustness of quantized pumping of the former can be explained by an effective hardcore boson picture, the latter experiences a marked breakdown of transport. The experimental tools presented in this work also provide a pathway to study how interactions affect the role of spatial and temporal disorders, as well as edge physics. Furthermore, our approach could enable topological transport that has no counterpart in the limit $U \rightarrow 0$, leading to novel interaction-induced topological states.

Note added to proof: During the review of this manuscript, we became aware of related works.

**Online content**

Any methods, additional references, Nature Portfolio reporting summaries, source data, extended data, supplementary information, acknowledgements, peer review information; details of author contributions and competing interests; and statements of data and code availability are available at https://doi.org/10.1038/s41567-023-02145-w.

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Results in many copies of the ground state of half-filled double wells and $-3/2$ and $-9/2$ mixture, which is lost from the trap. A magnetic gradient is used to cool the atoms in the $-7/2$ (or $-5/2$) state. The Landau–Zener sweeps, transferring the atoms in the $-7/2$ to the $-5/2$ magnetic sublevel, are then converted to one atom in the lowest band and one in the higher, whereas singlets form DOs in the lowest band. These single occupancies or DOs are detected with the same method as previously described for DOs. For normalization of the fraction of atoms in half-filled unit cells, we take the number of atoms $N$ from the same measurement as the one done to assess the number of DOs.

**Detection methods**

After pumping the system for varying times, we either measure the in situ c.m. position of our atomic cloud or detect the DO fraction.

**Position of c.m.** We detect the in situ c.m. position of our atomic cloud by taking an absorption image directly after the ramp of the phase, with an incoming, linearly polarized light is rotated by $26^\circ$ after passing the chequerboard using $XZ$ plays a crucial role in our pumping scheme, which is based on sliding a varying chequerboard lattice over a square lattice. The sliding is achieved by ramping the relative phase $\varphi$, which is stabilized using a locking scheme, as detailed in the next section. Without the imbalance (that is, $I_{XZ} = 1$), as was the case in our previous work, the phase $\varphi$ would enter as an overall amplitude $\cos(\varphi)$. However, in case of $I_{XZ} < 1$, the interference terms proportional to $\sqrt{V_{\text{int}}/V_{\text{Z}}}$ in equation (2) acquire a $\varphi$-dependent position, explaining the ability to slide the chequerboard using $\varphi$. We rotated the $l/4$ waveplate such that the incoming, linearly polarized light is rotated by $26^\circ$ after passing the plate twice. This results in imbalance factors of $I_{\text{int}} = 0.98(2)$ and $I_{XZ} = 0.81(2)$, which are independently calibrated using lattice modulation spectroscopy.

The Rice–Mele parameters in equation (1) are calculated via the basis of maximally localized Wannier states, spanning the space of solutions to the single-particle Hamiltonian with potential equation (2). Overlap integrals between these Wannier states yield the relevant tight-binding tunneling elements, on-site energies and interactions $U$. The values of $\Delta$, $\delta$ and $\Delta_0$ are plotted in Extended Data Fig. 1c as a function of $\varphi \in [0, 2\pi]$. Typical parameters are $\Delta_0 \approx 3.0 \ell$ and $\delta_0 \approx 1.5 \ell$, leading to small variations in the single-particle bandgap between $1.8 \Delta_0$ and $2.0 \Delta_0$ over one period. Sinusoidal fits to this data simplify the theoretical description; the resulting fit parameters are listed in Table 1. Due to the strong confinement along $y$ and $z$, the tunnellings along those directions $t_{xz}$ are below 20 Hz over the whole pump cycle. The on-site interaction $U$ is 995 Hz for a reference scattering length of 100 Bohr.
radii, which varies by about 3% over the pump cycle, and the interaction between neighbouring sites is always below 50 Hz.

**Phase lock**

Topological pumping is realized by shifting the interference phase $\varphi$ in time. Extended Data Fig. 7 illustrates the scheme for controlling $\varphi$, taking the $x$ direction as an example. The setup is replicated on the $y$ axis, which is not shown in Extended Data Fig. 7 for clarity. Active stabilization of the light phase is necessary since the optical fibre introduces considerable phase noise. In short, back-reflection from the optical lattice forms a Michelson interferometer together with a reference beam, which does not pass through an optical fibre. In this manner, the absolute phase of the lattice can be measured, assuming a perfectly stable reference arm. We shift the phase of the lattice beam by using the frequency modulation input of a Rohde & Schwarz function generator (SMC100A), creating the RF frequency for the acousto-optic modulator. A small frequency shift will result in a phase shift of the laser beam at the position of the atoms (Extended Data Fig. 7, red cloud). We additionally correct for small deviations to the absolute phase by shifting the phase of the output of the Rohde & Schwarz generator to the acousto-optic modulator with a phase shifter. The setpoint of the phase can now be varied in two different ways: for long pumping periods (longer than 5 ms), an arbitrary waveform generator (Keysight 33500B) generates a sawtooth signal as the setpoint of the phase lock, which results in a linear phase ramp. For frequency shift of 400 Hz on the RF signal of the acousto-optic modulator leads to a pumping slope of $\Delta \varphi/\Delta t = 2 \pi/5$ ms.

**DMRG calculations**

Numerical results of pumping efficiency and DO dynamics presented are calculated with DMRG using the TeNPy Python package$^{43}$ (version 0.6.1). The polarization and DO dynamics (Extended Data Figs. 3–5) are calculated using open-boundary conditions, where we assume $L = 64$ and half-filling (one of each spin in one unit cell). Throughout the calculation, we have selected the maximum bond dimension of $\chi = 100$. The tight-binding parameters used in the simulation are identical to those used in the corresponding experiments. The polarization, that is, the c.m. of the ground state $|\Psi(t)\rangle$ is defined by

$$P_{\text{open}}(t) = \frac{1}{L} \sum_{j=0}^{L-1} \sum_{\alpha} \langle j-\alpha | \hat{n}_j \rangle | j\rangle |\Psi(t)\rangle,$$

and the DO fraction $\mathcal{D}$ is defined as the fraction of atoms on doubly occupied lattice sites as

$$\mathcal{D} = \frac{2}{N} \sum_j \langle \hat{n}_j \hat{n}_j \rangle,$$

where $N$ is the total atom number.

**Data availability**

Source data are provided with this paper. All data files are available from the corresponding authors on request.

**Code availability**

Source codes for the data processing and numerical simulations are available from the corresponding authors upon request.

**References**

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**Author contributions**

A.-S.W., Z.Z., M.G., J.M. and K.V. measured and analysed the data. A.-S.W. and Z.Z. performed the numerical calculations. K.V. and T.E. supervised the work. All authors contributed to planning the experiment, discussions and preparation of the manuscript.

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**Competing interests**

The authors declare no competing interests.

**Additional information**

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Extended Data Fig. 1 | Optical lattice setup and Rice–Mele parameters.

a, schematic of the optical setup in the $x$–$z$ plane. The $\lambda/4$ waveplate produces an intensity imbalance between the different contributing beams in such a way that the phase $\varphi$ can be used to move the chequerboard over the square lattice, realising pumping. b, idealised cut through the lattice potential in $x$-direction, corresponding to the two points along the pump cycle shown in d. The site-offset case (2) is exaggerated for clarity. c, tight-binding parameters during one pump cycle. The phase $\varphi$ (defined in Eq. 2) is ramped from 0 to $2\pi$. In the main text the time dependence of the average tunnelling $t$ has been dropped for clarity. In contrast to previous realisations of the Rice–Mele pump with cold atoms\textsuperscript{23,25}, the site offset $\Delta$ and dimerisation $\delta$ follow a sinusoidal waveform over a pump cycle (solid lines corresponds to the fitted sinusoid and the corresponding parameters are summarized in Table 1. The single-particle gap is dominated by the dimerised tunneling at $\tau = 0$ and by the site offset at $\tau = T/4$. d, elliptical trajectory of $\delta$ and $\Delta$ over one pump cycle. The solid line corresponds to the fitted curves in c. Single-particle band gap (e) and bandwidth (f) in units of $\Delta_0$ over a pump half-cycle (the second half is symmetric).
Extended Data Fig. 2 | Lattice and interaction ramps for two different loading schemes. a, The ‘conventional’ lattice ramps with final depths \([V_X, V_{\text{int}}, V_Y, V_Z]\) of \([5.40(5), 0.09(2), 15.02(6), 17.04(8)]\) are made up of s-shaped ramps at the final scattering length for the targeted \(U\). b, The improved scheme includes an intermediate ramp into a deep checkerboard lattice at strongly attractive scattering lengths to maximise the fraction of atoms in half-filled unit cells. Intended interactions are then reached by ramping the magnetic field strength, for the \(-9/2, -7/2\) mixture, or a ramp and a prior RF pulse for the \(-9/2, -5/2\) mixture. Splitting the single cells of the checkerboard pattern in two then yields the final lattice depths \([6.02(4), 0.37(3), 14.98(3), 17.0(3)]\).
Extended Data Fig. 3 | Effect of finite system size. Pumping efficiency vs. Hubbard $U$ at different system size $L$ in unit of lattice site, calculated with DMRG, assuming half-filling in OBC. The tight-binding parameters are chosen to be the same as in Fig. 1e. Smaller system sizes, which we expect from our loading scheme, only slightly change the position and steepness of the transition between the quantised and break down regime versus $U$. 
Extended Data Fig. 4 | Numerical simulation of double occupancy fraction over half a pumping cycle with different Hubbard interactions $U$.

The numerical simulations assume $L = 64$ lattice sites in OBC and half-filling. The tight-binding parameters are chosen to be the same as in Fig. 2b. Compared to the simulations, we record an overall lower double occupancy in the experiment (Fig. 2b) as a result of an overall average filling lower than one half.
Extended Data Fig. 5 | Numerical simulation of double occupancy (DO) fraction over half pumping cycle with different pumping trajectories.

The numerical simulations assume $L = 64$ lattice sites in OBC and half-filling. The maximum site offset $\Delta_0$ for path 1, 2, 3 and the Hubbard $U$ are chosen to be the same as in Fig. 3b. The experimental data (Fig. 3b) shows an overall lower double occupancy as a result of an average filling lower than one half. For path 1 the ground state simulation and experimental data do not exhibit the same behaviour versus $\tau$. We attribute the difference to: (i) imperfect double occupancy detection around $\tau = 0$ and $\tau = T/2$. Due to a large tunnelling rate, the ramp to a square lattice for the DO detection (Methods) is not fast enough to completely freeze the dynamics of the atoms, which yields a slightly lower DO fraction than its actual value, (ii) at $\tau = T/4$, the system can be characterized by an ionic Hubbard model, which exhibits gapless spin excitations. Therefore, the ideal adiabatic following of the instantaneous ground state is hindered, resulting in a lower than expected DO fraction after $\tau = T/4$. 
Extended Data Fig. 6 | Pumping efficiency vs $U$ for two different loading schemes and lattices. The pump efficiency with the improved loading scheme (dark circles, $[\Delta_0, \delta_0, t] = [1750, 900, 625]$ Hz) exhibits a more pronounced plateau for attractive interactions, compared to conventional lattice loading (grey squares, $[\Delta_0, \delta_0, t] = [847, 460, 403]$ Hz). The significant improvement of the signal on the attractive side is a result of both the new loading scheme, as well as a different final lattice configuration. In the improved lattice, the energy gap is roughly twice as large (3.6 kHz compared to 1.84 kHz in the conventional lattice) which reduces the higher band population during the loading. Likewise, the breakdown of transport on the repulsive side shows a steeper decline beyond a critical $U$, compared to conventional lattice loading. Error bars correspond to the propagated error estimated from the uncertainty of the linear fit.
Extended Data Fig. 7 | Schematic of the phase control. The back-reflected lattice beam forms a Michelson interferometer together with the reference path before the optical fibre. A linear increase in the interference phase can be realized either by linearly ramping the set-point (a) or by using a square waveform as input for the frequency modulation, which will also result in a linear phase ramp (b).