Braden Scale for Assessing Pneumonia After Acute Ischaemic Stroke

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Abstract

Background

The prevention of pneumonia is critical for patients with acute ischaemic stroke (AIS). The six subscales in the Braden Scale seem to be related to the occurrence of pneumonia. We aimed to evaluate the feasibility of using the Braden Scale to predict the occurrence of pneumonia after AIS.

Methods

We studied a series of consecutive patients with AIS who were admitted to the hospital. The cohort was subdivided into pneumonia and no pneumonia groups. The scores on the Braden Scale, demographic characteristics and clinical characteristics were obtained and analysed by statistical comparisons between the two groups. We investigated the predictive validity of the Braden Scale by receiver operating characteristic (ROC) curve analysis.

Results

A total of 414 patients with AIS were included in this study. Of those 414 patients, 57 (13.8%) patients fulfilled the criteria for post-stroke pneumonia. There were significant differences in age and histories of chronic obstructive pulmonary disease (COPD), dysphagia and Glasgow Coma Scale (GCS) score between the two groups, and the National Institutes of Health Stroke Scale (NIHSS) score in the pneumonia group was significantly higher than that in the no pneumonia group (P < 0.01). The mean score on the Braden Scale in the pneumonia group was significantly lower than that in the no pneumonia group (P < 0.01). The six subscale scores on the Braden Scale were all significantly different between the two groups. The area under the curve (AUC) for the Braden Scale for the prediction of pneumonia after AIS was 0.883 (95% CI = 0.828-0.937). With 18 points as the cutoff point, the sensitivity was 83.2%, and the specificity was 84.2%.

Conclusion

The Braden Scale with 18 points as the cutoff point is likely a valid clinical grading scale for predicting pneumonia after AIS at presentation. Further studies on the association of
the Braden Scale score with stroke outcomes are needed.

Background

Currently, ischaemic stroke is one of the most important causes of death and disability in China, which results in substantial social and economic burdens [1]. Pneumonia is a common medical complication after acute ischaemic stroke (AIS) [2, 3], resulting in a longer length of hospital stay and higher risks of mortality and morbidity [4]. Effective prevention is more critical than the treatment of pneumonia. Factors that have been associated with pneumonia after AIS include older age, dysarthria/aphasia, cognitive impairment, stroke severity, long-term bedridden status, dysphagia, and decreased body resistance. We hope to find an effective scale to predict the risk of pneumonia in patients with AIS according to these risk factors.

The Braden Scale is one method used to determine a patient’s risk for developing a pressure ulcer, and it involves six different risk factors: sensory perception, meaning the ability to respond meaningfully to pressure-related discomfort; skin moisture, meaning the degree to which skin is exposed to moisture; activity, meaning the degree of physical activity; mobility, meaning the ability to change and control body position; nutrition, meaning the usual food intake pattern; and friction and shear [7]. These indexes in the Braden Scale seem to be related to the occurrence of pneumonia. In this paper, we retrospectively analysed the correlation between the Braden Scale score and pneumonia after AIS in the stroke centre of our hospital, to evaluate the feasibility of using the Braden Scale to predict the occurrence of pneumonia after AIS.

Full Text

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**Abstract**

**Background** The prevention of pneumonia is critical for patients with acute ischaemic stroke (AIS). The six subscales in the Braden Scale seem to be related to the occurrence of pneumonia. We aimed to evaluate the feasibility of using the Braden Scale to predict the occurrence of pneumonia after AIS.

**Methods** We studied a series of consecutive patients with AIS who were admitted to the hospital. The cohort was subdivided into pneumonia and no pneumonia groups. The scores on the Braden Scale, demographic characteristics and clinical characteristics were obtained and analysed by statistical comparisons between two groups. We investigated the predictive validity of the Braden Scale by receiver operating characteristic (ROC) curve analysis.

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pneumonia group was significantly lower than that in the no pneumonia group ($P < 0.01$). The six subscale scores on the Braden Scale were all significantly different between the two groups. The area under the curve (AUC) for the Braden Scale for the prediction of pneumonia after AIS was 0.883 (95% CI = 0.828–0.937). With 18 points as the cutoff point, the sensitivity was 83.2%, and the specificity was 84.2%.

**Conclusion** The Braden Scale with 18 points as the cutoff point is likely a valid clinical grading scale for predicting pneumonia after AIS at presentation. Further studies on the association of the Braden Scale score with stroke outcomes are needed.

**Keywords:** the Braden Scale; acute ischaemic stroke; pneumonia

**BACKGROUND**

Currently, ischaemic stroke is one of the most important causes of death and disability in China, which results in substantial social and economic burdens [1]. Pneumonia is a common medical complication after acute ischaemic stroke (AIS) [2, 3], resulting in a longer length of hospital stay and higher risks of mortality and morbidity [4]. Effective prevention is more critical than the treatment of pneumonia. Factors that have been associated with pneumonia after AIS include older age, dysarthria/aphasia, cognitive impairment, stroke severity, long-term bedridden status, dysphagia, and decreased body resistance. We hope to find an effective scale to predict the risk of pneumonia in patients with AIS according to these risk factors.

The Braden Scale is one method used to determine a patient’s risk for developing a pressure ulcer, and it involves six different risk factors: sensory perception, meaning the ability to respond meaningfully to pressure-related discomfort; skin moisture, meaning the degree to which skin is exposed to moisture; activity, meaning the degree of physical activity; mobility, meaning the ability to change and control body position; nutrition,
meaning the usual food intake pattern; and friction and shear [7]. These indexes in the Braden Scale seem to be related to the occurrence of pneumonia. In this paper, we retrospectively analysed the correlation between the Braden Scale score and pneumonia after AIS in the stroke centre of our hospital, to evaluate the feasibility of using the Braden Scale to predict the occurrence of pneumonia after AIS.

**METHODS**

**Study participants**

This retrospective study included AIS patients who were admitted to the stroke centre of our hospital between December 2015 and December 2018. The inclusion criteria were as follows: 1) aged ≥18 years; 2) hospitalized with the primary diagnosis of AIS according to the World Health Organization criteria [8]; and 3) AIS confirmed by brain CT or MRI. The exclusion criteria were as follows: 1) transient ischaemic attack or subarachnoid haemorrhage and 2) pneumonia that occurred before admission. Pneumonia after AIS was diagnosed according to the Centers for Disease Control and Prevention criteria [9] for hospital-acquired pneumonia, on the basis of clinical and laboratory indexes of respiratory tract infection (fever, productive cough with purulent sputum, auscultatory respiratory crackles, bronchial breathing, or positive sputum culture) and supported by abnormal chest radiographic findings.

**Data collection**

Demographic and clinical characteristics were obtained at admission including demographic data (age and sex), associated risk factors (hypertension; hyperlipidaemia; diabetes; past stroke or transient ischaemic attack history; history of smoking and drinking; history of chronic obstructive pulmonary disease (COPD), dysphagia and Glasgow Coma Scale (GCS)), physical examination (systolic blood pressure and diastolic blood
pressure), laboratory examination (total cholesterol, triglyceride, low-density lipoprotein cholesterol, high-density lipoprotein cholesterol, fasting blood glucose, glycosylated haemoglobin and serum creatinine levels), aetiological classification of ischaemic stroke (large atherosclerotic stroke, arteriolar occlusive stroke, cardiogenic cerebral embolism, other stroke with definite aetiology and stroke of unknown aetiology) and the National Institutes of Health Stroke Scale (NIHSS) score. The Braden Scale is measured at 24h after admission by nurses and is composed of six subscales: sensory perception, skin moisture, activity, mobility, nutrition, and friction and shear. The minimum score for each item is 1 (worst), and the maximum score is 4 (best), except for the scores for friction and shear, which range from 1 to 3. The summed scores range from 6 to 23, with lower scores associated with a higher risk [10].

Statistical analysis

Statistical comparisons were made for pneumonia versus no pneumonia after AIS. For normally distributed continuous variables (described as the mean±SD), analysis was performed using unpaired Student’s t tests. For nonnormally distributed continuous variables, analysis was performed using the Mann-Whitney U test. Categorical variables were analysed by the chi-square test or Fisher’s exact test. Statistical analysis was performed using SPSS version 21.0 (SPSS Inc., Chicago, IL, USA). A P-value < 0.05 was considered statistically significant. Then, we investigated the predictive validity of the Braden Scale for pneumonia after AIS by receiver operating characteristic (ROC) curve analysis. An area under the curve (AUC) of 0.97–1.00 indicates excellent accuracy; 0.93 to 0.96 indicates very good accuracy; and 0.75 to 0.92 indicates good accuracy. However, an AUC < 0.75 indicates obvious deficiencies, and an AUC of 0.5 indicates that the test has no predictive ability [11].
RESULTS

Subject characteristics

In total, 525 patients with AIS were admitted to the stroke centre of our hospital between December 2015 and December 2018. Among them, 56 patients were discharged from the hospital within 2 days, and 55 patients had incomplete or missing data. Finally, 414 patients with AIS were included in this study. A total of 57 of the 414 (13.8%) patients fulfilled the criteria for hospital-acquired pneumonia, and 357 (86.2%) had no pneumonia. The study population had a mean age of 71.5 years, ranging from 50 to 89 years. Almost 63.8% of the patients (264) were men, and 36.2% of the patients (150) were women.

Correlations of demographic and clinical characteristics between two groups

The demographic data (sex), associated vascular risk factors (hypertension, hyperlipidaemia, diabetes, past stroke or transient ischaemic attack history, history of smoking and drinking), physical examination (systolic blood pressure, diastolic blood pressure), laboratory examination (total cholesterol, triglyceride, low-density lipoprotein cholesterol, high-density lipoprotein cholesterol, fasting blood glucose, glycosylated haemoglobin, and serum creatinine levels) had no significant differences between the pneumonia and no pneumonia groups. There were significant differences in age, history of COPD, dysphagia and GCS score between the two groups. A significant difference also emerged between the two groups in the NIHSS score, which was significantly higher in the pneumonia group than in the no pneumonia group (13.6 ± 5.0 vs 9.2 ± 3.6, P < 0.01). (Table 1)

The mean score on the Braden Scale in the pneumonia group was 15.263 ± 2.579, which was significantly lower than that in the no pneumonia group (19.546 ± 2.265, P < 0.05).
The six subscale scores on the Braden Scale all had significant differences between the two groups. (Table 2)

**The validity of the association between the Braden Scale/NIHSS score and pneumonia after acute ischaemic stroke**

The AUC for the Braden Scale for the prediction of pneumonia after acute ischaemic was 0.883 (95% CI = 0.828–0.937). Additionally, with 18 points as the cutoff point, the sensitivity was 83.2%, and the specificity was 84.2%. It was suggested that the incidence of pneumonia in patients with AIS can be predicted by a cutoff value of 18 points on the Braden Scale, with a sensitivity of 83.2% and a specificity of 84.2%. (Fig. 1)

The AUC for the NIHSS score for the prediction of pneumonia after AIS was 0.767 (95% CI = 0.697–0.837). With 12 points as the cutoff point, the sensitivity was 73.7%, and the specificity was 73.1%. (Fig. 2)

**DISCUSSION**

The primary objective of the present study was to find an effective and simple scale to identify patients at high risk of pneumonia after AIS. This was the first study to evaluate the feasibility of using the Braden Scale to predict the occurrence of pneumonia after AIS.

Stroke is one of the leading causes of death at the national level in China [12]. Ageing is an important risk factor for stroke [13], and as life expectancy increases, the incidence of stroke also rises. Therefore, exploring the prevention and treatment of stroke and stroke complications is important for reducing the mortality rate of stroke patients.

In this study, pneumonia was found in 13.8% of patients presenting with an AIS, which was similar to the incidence in prior studies, which ranged from 5% to 26% [14–17]. Post-stroke pneumonia is associated with reduced early and long-term survival, longer hospitalization times, and higher degrees of disability at discharge [4]. Therefore, it is very important to
prevent post-stoke pneumonia. However, a systematic review on the efficacy of early antibiotic prophylaxis after stroke failed to show a benefit in patients’ outcomes [18]. This might be due to the inclusion of patients with a low risk of developing post-stoke pneumonia in these studies. It is critical to find an effective scale to predict the occurrence of pneumonia in patients after AIS and to intervene in high-risk patients to prevent pneumonia and improve the outcome. The Braden Scale is composed of six subscales, namely, sensory perception, skin moisture, activity, mobility, nutrition, friction and shear, which seem to be related to the occurrence of pneumonia. One study found that the Braden Scale score can predict the prognosis of elderly people with mobility impairment [19], and our study found that the mean score on the Braden Scale in the pneumonia group was significantly lower than that in the no pneumonia group. Furthermore the scores on the six subscales of the Braden Scale were significantly different between the two groups. The AUC for the Braden Scale for the prediction of post-stoke pneumonia was 0.883, which was identified as good accuracy, as shown above. With 18 points as the cutoff point, the sensitivity and specificity were high. Given that patients with lower Braden scores are at high risk for SAP, they should be screened in a timely fashion and receive early interventions to achieve the goal of reducing SAP. In addition, the use of the Braden Scale score allows medical staff to more accurately identify patients at high risk for developing SAP, increasing clinical care efficiency.

We also found that the NIHSS score in the pneumonia group was significantly higher than that in the no pneumonia group. The NIHSS score has been found to be an independent predictor of pneumonia in some prior prediction models [16, 20–22]. The occurrence of pneumonia in patients with a higher NIHSS score may be due to decreased consciousness or to gastroesophageal reflux because of a supine or recumbent position. This result also suggested that the pneumonia group had a greater neurological deficit. Previous studies
confirmed that patients with cardiogenic embolism tended to have more neurological deficits [23], and our study supported the conclusion that patients with cardiogenic embolism are more likely to develop pneumonia. However, the Braden Scale was better able to quantify the risk factors and evaluate the incidence of post-stroke pneumonia. Several post-stroke pneumonia prediction models have been developed (see Table 3 for an overview of these models); however, these models have not been widely used in clinical practice. It is not our intention to show the superiority of the Braden Scale for the prediction of the occurrence of post-stroke pneumonia compared to the earlier scores; however, we want to point out the differences. Three of these prediction models were also externally validated: Hoffmann et al. [24], Ji et al. [25] and Smith et al. [26]. These three models were derived from and mostly validated in large stroke registries. The other available models for the prediction of post-stroke pneumonia were mostly tested in a smaller number of patients, and often too many predictors were included according to the events per-variable rule, which can lead to worse performance and overfitting of the model [6, 19, 27–30].

Our study had some limitations that deserve comment. First, as a retrospective study, we cannot rule out the possibility that additional baseline variables (unmeasured confounders) might have some impact on the development of post-stroke pneumonia, such as dementia [31,32], the use of angiotensin receptor blockers or the use of angiotensin-converting enzyme inhibitors [33]. Second, the time course for post-stroke pneumonia was unclear. Because we only had information on new-onset post-stroke pneumonia during hospitalization without documentation of the exact date of development, our data did not allow us to draw a conclusion as to whether patients with a longer length of stay were more likely to develop pneumonia or whether the diagnosis of pneumonia led to a longer hospitalization period. Third, the study included only hospitalized patients with AIS, and
those patients who died shortly after admission, were treated in the emergency department, or were treated in outpatient clinics were not included. Fourth, our study was from a single centre with a limited number of patients. Finally, the use of the Braden Scale for the prediction of the occurrence of post-stoke pneumonia needs to be further validated in additional populations.

CONCLUSION

In summary, the Braden Scale with 18 points as the cutoff point is a valid clinical grading scale for predicting pneumonia after AIS at presentation. Further studies on the association of the Braden Scale score with stroke outcomes are needed.

ABBREVIATIONS

AIS: Acute Ischaemic Stroke; COPD: Chronic Obstructive Pulmonary Disease; GCS: Glasgow Coma Scale; NIHSS: National Institutes of Health Stroke Scale; ROC: Receiver Operating Curve; AUC: Area Under the Curve; TIA, Transient Ischaemic Attack.

DECLARATIONS

Ethics approval and consent to participate

The study was approved by the medical ethics committee of Jingjiang People’s Hospital. Written informed consent was obtained from all participants and their informants.

Consent for publication

Not applicable.

Availability of data and material

The datasets analysed during the current study are available from the corresponding author on reasonable request.

Competing interests
None of the authors report a conflict of interest.

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Authors’ contributions

Study concept and design: YL, PT and YLD. Data analysis: YZY and JLN. Data collection: all. Writing of the manuscript: YLD, YZY and JLN.

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Table and figure legends

**Table 1. Demographic and clinical characteristics of the two groups.**

The NIHSS score in the pneumonia group was significantly higher than that in the no pneumonia group. The mean score on the Braden Scale in the pneumonia group was significantly lower than that in the no pneumonia group.

TIA: transient ischaemic attack; COPD: chronic obstructive pulmonary disease; GCS: Glasgow Coma Scale; NIHSS: National Institutes of Health Stroke Scale.

**Table 2. The Braden Scale scores in the two groups (mean ± SD).**

The scores on the six subscales of the Braden Scale were all significantly different between the two groups.

**Table 3. Models to predict post-stroke pneumonia**

NIHSS: National Institutes of Health Stroke Scale; NR: not reported; COPD: chronic obstructive pulmonary disease; GCS: Glasgow Coma Scale; RCT: randomized controlled trial.
Figure 1. ROC curve for the Braden Scale.

The AUC for the Braden Scale for the prediction of pneumonia after acute ischaemic stroke was 0.883 (95% CI = 0.828–0.937). With 18 points as the cutoff point, the sensitivity was 83.2%, and the specificity was 84.2%.

Figure 2. ROC curve for the NIHSS score.

The AUC for the NIHSS score for the prediction of pneumonia after acute ischaemic stroke was 0.767 (95% CI = 0.697–0.837). With 12 points as the cutoff point, the sensitivity was 73.7%, and the specificity was 73.1%.

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**Statistical analysis**

Statistical comparisons were made for pneumonia versus no pneumonia after AIS. For normally distributed continuous variables (described as the mean±SD), analysis was performed using unpaired Student’s t tests. For nonnormally distributed continuous variables, analysis was performed using the Mann-Whitney U test. Categorical variables were analysed by the chi-square test or Fisher’s exact test. Statistical analysis was performed using SPSS version 21.0 (SPSS Inc., Chicago, IL, USA). A P-value < 0.05 was considered statistically significant. Then, we investigated the predictive validity of the Braden Scale for pneumonia after AIS by receiver operating characteristic (ROC) curve analysis. An area under the curve (AUC) of 0.97–1.00 indicates excellent accuracy; 0.93 to 0.96 indicates very good accuracy; and 0.75 to 0.92 indicates good accuracy. However, an
AUC < 0.75 indicates obvious deficiencies, and an AUC of 0.5 indicates that the test has no predictive ability [11].

Results

Subject characteristics

In total, 525 patients with AIS were admitted to the stroke centre of our hospital between December 2015 and December 2018. Among them, 56 patients were discharged from the hospital within 2 days, and 55 patients had incomplete or missing data. Finally, 414 patients with AIS were included in this study. A total of 57 of the 414 (13.8%) patients fulfilled the criteria for hospital-acquired pneumonia, and 357 (86.2%) had no pneumonia. The study population had a mean age of 71.5 years, ranging from 50 to 89 years. Almost 63.8% of the patients (264) were men, and 36.2% of the patients (150) were women.

Correlations of demographic and clinical characteristics between two groups

The demographic data (sex), associated vascular risk factors (hypertension, hyperlipidaemia, diabetes, past stroke or transient ischaemic attack history, history of smoking and drinking), physical examination (systolic blood pressure, diastolic blood pressure), laboratory examination (total cholesterol, triglyceride, low-density lipoprotein cholesterol, high-density lipoprotein cholesterol, fasting blood glucose, glycosylated haemoglobin, and serum creatinine levels) had no significant differences between the pneumonia and no pneumonia groups. There were significant differences in age, history of COPD, dysphagia and GCS score between the two groups. A significant difference also emerged between the two groups in the NIHSS score, which was significantly higher in the pneumonia group than in the no pneumonia group (13.6 ± 5.0 vs 9.2 ± 3.6, P < 0.01).

(Table 1)

The mean score on the Braden Scale in the pneumonia group was 15.263 ± 2.579, which was significantly lower than that in the no pneumonia group (19.546 ± 2.265, P < 0.05).
The validity of the association between the Braden Scale/NIHSS score and pneumonia after acute ischaemic stroke

The AUC for the Braden Scale for the prediction of pneumonia after acute ischaemic was 0.883 (95% CI = 0.828-0.937). Additionally, with 18 points as the cutoff point, the sensitivity was 83.2%, and the specificity was 84.2%. It was suggested that the incidence of pneumonia in patients with AIS can be predicted by a cutoff value of 18 points on the Braden Scale, with a sensitivity of 83.2% and a specificity of 84.2%. (Fig. 1)

The AUC for the NIHSS score for the prediction of pneumonia after AIS was 0.767 (95% CI = 0.697-0.837). With 12 points as the cutoff point, the sensitivity was 73.7%, and the specificity was 73.1%. (Fig. 2)

Discussion

The primary objective of the present study was to find an effective and simple scale to identify patients at high risk of pneumonia after AIS. This was the first study to evaluate the feasibility of using the Braden Scale to predict the occurrence of pneumonia after AIS. Stroke is one of the leading causes of death at the national level in China [12]. Ageing is an important risk factor for stroke [13], and as life expectancy increases, the incidence of stroke also rises. Therefore, exploring the prevention and treatment of stroke and stroke complications is important for reducing the mortality rate of stroke patients.

In this study, pneumonia was found in 13.8% of patients presenting with an AIS, which was similar to the incidence in prior studies, which ranged from 5% to 26% [14-17]. Post-stroke pneumonia is associated with reduced early and long-term survival, longer hospitalization times, and higher degrees of disability at discharge [4]. Therefore, it is very important to prevent post-stroke pneumonia. However, a systematic review on the efficacy of early
antibiotic prophylaxis after stroke failed to show a benefit in patients’ outcomes [18]. This might be due to the inclusion of patients with a low risk of developing post-stroke pneumonia in these studies. It is critical to find an effective scale to predict the occurrence of pneumonia in patients after AIS and to intervene in high-risk patients to prevent pneumonia and improve the outcome. The Braden Scale is composed of six subscales, namely, sensory perception, skin moisture, activity, mobility, nutrition, friction and shear, which seem to be related to the occurrence of pneumonia. One study found that the Braden Scale score can predict the prognosis of elderly people with mobility impairment [19], and our study found that the mean score on the Braden Scale in the pneumonia group was significantly lower than that in the no pneumonia group. Furthermore the scores on the six subscales of the Braden Scale were significantly different between the two groups. The AUC for the Braden Scale for the prediction of post-stroke pneumonia was 0.883, which was identified as good accuracy, as shown above. With 18 points as the cutoff point, the sensitivity and specificity were high. Given that patients with lower Braden scores are at high risk for SAP, they should be screened in a timely fashion and receive early interventions to achieve the goal of reducing SAP. In addition, the use of the Braden Scale score allows medical staff to more accurately identify patients at high risk for developing SAP, increasing clinical care efficiency.

We also found that the NIHSS score in the pneumonia group was significantly higher than that in the no pneumonia group. The NIHSS score has been found to be an independent predictor of pneumonia in some prior prediction models [16, 20-22]. The occurrence of pneumonia in patients with a higher NIHSS score may be due to decreased consciousness or to gastroesophageal reflux because of a supine or recumbent position. This result also suggested that the pneumonia group had a greater neurological deficit. Previous studies confirmed that patients with cardiogenic embolism tended to have more neurological
deficits [23], and our study supported the conclusion that patients with cardiogenic embolism are more likely to develop pneumonia. However, the Braden Scale was better able to quantify the risk factors and evaluate the incidence of post-stoke pneumonia.

Several post-stroke pneumonia prediction models have been developed (see Table 3 for an overview of these models); however, these models have not been widely used in clinical practice. It is not our intention to show the superiority of the Braden Scale for the prediction of the occurrence of post-stroke pneumonia compared to the earlier scores; however, we want to point out the differences. Three of these prediction models were also externally validated: Hoffmann et al. [24], Ji et al. [25] and Smith et al. [26]. These three models were derived from and mostly validated in large stroke registries. The other available models for the prediction of post-stroke pneumonia were mostly tested in a smaller number of patients, and often too many predictors were included according to the events per-variable rule, which can lead to worse performance and overfitting of the model [6, 19, 27-30].

Our study had some limitations that deserve comment. First, as a retrospective study, we cannot rule out the possibility that additional baseline variables (unmeasured confounders) might have some impact on the development of post-stroke pneumonia, such as dementia [31,32], the use of angiotensin receptor blockers or the use of angiotensin-converting enzyme inhibitors [33]. Second, the time course for post-stroke pneumonia was unclear. Because we only had information on new-onset post-stroke pneumonia during hospitalization without documentation of the exact date of development, our data did not allow us to draw a conclusion as to whether patients with a longer length of stay were more likely to develop pneumonia or whether the diagnosis of pneumonia led to a longer hospitalization period. Third, the study included only hospitalized patients with AIS, and those patients who died shortly after admission, were treated in the emergency
department, or were treated in outpatient clinics were not included. Fourth, our study was from a single centre with a limited number of patients. Finally, the use of the Braden Scale for the prediction of the occurrence of post-stroke pneumonia needs to be further validated in additional populations.

Conclusions

In summary, the Braden Scale with 18 points as the cutoff point is a valid clinical grading scale for predicting pneumonia after AIS at presentation. Further studies on the association of the Braden Scale score with stroke outcomes are needed.

Abbreviations

AIS: Acute Ischaemic Stroke; COPD: Chronic Obstructive Pulmonary Disease; GCS: Glasgow Coma Scale; NIHSS: National Institutes of Health Stroke Scale; ROC: Receiver Operating Curve; AUC: Area Under the Curve; TIA, Transient Ischaemic Attack.

Declarations

Ethics approval and consent to participate

The study was approved by the medical ethics committee of Jingjiang People’s Hospital. Written informed consent was obtained from all participants and their informants.

Consent for publication

Not applicable.

Availability of data and material

The datasets analysed during the current study are available from the corresponding author on reasonable request.

Competing interests

None of the authors report a conflict of interest.

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**Authors' contributions**

Study concept and design: YL, PT and YLD. Data analysis: YZY and JLN. Data collection: all. Writing of the manuscript: YLD, YZY and JLN.

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Tables

Table 1. Demographic and clinical characteristics of the two groups.
| Items                                           | No pneumonia (n=357) | Pneumonia (n=57) | P   |
|------------------------------------------------|----------------------|------------------|-----|
| Age/year                                       | 71.0±8.9             | 74.7±7.5         | 0.  |
| Male (case, %)                                 | 225(63.0)            | 39(68.4)         | 0.  |
| Smoking status (case, %)                       | 140(39.2)            | 28(49.1)         | 0.  |
| Drinking status (case, %)                      | 147(27.4)            | 22(38.6)         | 0.  |
| Hypertension (case, %)                         | 195(54.6)            | 34(59.6)         | 0.  |
| Hyperlipidemia (case, %)                       | 101(28.3)            | 12(21.1)         | 0.  |
| Diabetes (case, %)                             | 96(26.9)             | 16(28.1)         | 0.  |
| Stroke/TIA (case, %)                           | 36(10.1)             | 5(8.8)           | 1.  |
| COPD (case, %)                                 | 6(1.7)               | 4(7.0)           | 0.  |
| Dysphagia (case, %)                            | 54(15.1)             | 18(31.6)         | 0.  |
| Admission GCS score                            | 14.0±2.2             | 10.9±4.3         | 0.  |
| Fasting blood glucose (mmol/L)                 | 5.9±1.6              | 5.8±0.76         | 0.  |
| Glycosylated hemoglobin (%)                    | 5.8±0.7              | 5.8±0.7          | 0.  |
| Serum creatinine (umol/L)                      | 80.4±20.6            | 82.0±25.1        | 0.  |
| Systolic blood pressure (mmHg)                 | 145.5±17.7           | 143.1±17.4       | 0.  |
| Diastolic blood pressure (mmHg)                | 87.3±10.9            | 89.4±10.0        | 0.  |
| Total cholesterol (mmol/L)                     | 5.0±1.2              | 5.2±1.6          | 0.  |
| Triglyceride (mmol/L)                          | 1.5±0.8              | 1.4±0.5          | 0.  |
| Low density lipoprotein cholesterol (mmol/L)   | 3.1±0.8              | 3.1±0.8          | 0.  |
| High density lipoprotein cholesterol (mmol/L)  | 1.2±0.5              | 1.2±0.4          | 0.  |
| Stroke classification                           |                      |                  |     |
| Large atherosclerotic stroke(case, %)          | 140(39.2)            | 26(45.6)         | 0.  |
| Arteriolar occlusive stroke(case, %)           | 90(25.2)             | 19(33.3)         | 0.  |
| Cardiogenic cerebral embolism(case, %)         | 49(13.7)             | 3(5.3)           | 0.  |
| Other stroke with definite etiology(case, %)   | 34(10.0)             | 3(5.3)           | 0.  |
| Stroke of unknown etiology(case, %)            | 44(12.3)             | 6(10.5)          | 0.  |
| Admission NIHSS score                          | 9.2±3.6              | 13.6±5.0         | 0.  |
| Braden scale at 24h                            | 19.6±2.3             | 15.3±2.5         | 0.  |

The NIHSS score in the pneumonia group was significantly higher than that in the no pneumonia group. The mean score on the Braden Scale in the pneumonia group was significantly lower than that in the no pneumonia group.

TIA: transient ischaemic attack; COPD: chronic obstructive pulmonary disease; GCS:
Glasgow Coma Scale; NIHSS: National Institutes of Health Stroke Scale.

Table 2. The Braden Scale scores in the two groups (mean ± SD).

| Braden scale            | No pneumonia (n=357) | Pneumonia (n=57) | P val |
|-------------------------|----------------------|------------------|-------|
| Sensory perception      | 3.7±0.5              | 2.8±0.7          | 0.00  |
| Skin moisture           | 4.0±0.2              | 3.7±0.6          | 0.00  |
| Activity                | 3.0±1.0              | 1.6±1.0          | 0.00  |
| Mobility                | 3.5±0.6              | 2.5±0.6          | 0.00  |
| Nutrition               | 3.0±0.3              | 2.8±0.4          | 0.00  |
| Friction and shear      | 2.4±0.6              | 1.9±0.5          | 0.00  |
| Sum score               | 19.6±2.3             | 15.3±2.5         | 0.00  |

The scores on the six subscales of the Braden Scale were all significantly different between the two groups.

Table 3. Models to predict post-stroke pneumonia.

| Author, year            | Study design            | No. of patients | Predictors                          |
|-------------------------|-------------------------|-----------------|-------------------------------------|
| Kwon et al., 2006[20]   | Retrospective cohort    | 286             | Age, sex, NIHSS                      |
| Sellars et al., 2007[6] | Retrospective cohort    | 412             | Age, dysarthria, abbreviated score  |
| Chumbler et al., 2010[27]| Retrospective cohort    | 925             | Age, stroke severity, dy 'foun      |
| Hoffmann et al., 2012[24]| Registry               | 15336           | Age, sex, stroke                     |
| Ji et al., 2013[25]     | Registry                | 8820            | Age, history of atrial fibrillation  |
| Harms et al., 2013[28]  | RCT                     | 114             | Age, GCS, systo                      |
| Smith et al., 2015[26]  | Registry                | 11551           | Age, sex,                          |
| Kumar et al, 2017[29]   | Retrospective cohort    | 1644            | Age, congestive                      |
| Westendorp et al., 2018[30]| RCT                   | 2538            | Age, sex, pre-stroke disai dysphag   |
| Ding et al., 2019       | Retrospective cohort    | 414             | Sensory perception, sk              |

NIHSS: National Institutes of Health Stroke Scale; NR: not reported; COPD: chronic obstructive pulmonary disease; GCS: Glasgow Coma Scale; RCT: randomized controlled
trial.

Figures
Figure 1

ROC curve of the Braden scale. The AUC for the Braden scale predicting pneumonia after acute ischemic was 0.883 (95% CI = 0.828-0.937). And with 18 points as the demarcation score, the sensitivity was 83.2% and the specificity was 84.2%.
Figure 2

ROC curve of the NIHSS score. The AUC for NIHSS score predicting pneumonia after acute ischemic was 0.767 (95% CI = 0.697-0.837). And with 12 points as the demarcation score, the sensitivity was 73.7% and the specificity was 73.1%.

Supplementary Files

This is a list of supplementary files associated with the primary manuscript. Click to download.
data.xlsx
Table. Checklist of Items to ....pdf