Cognitive Rehabilitation of Dementia in Adults with Down Syndrome: A Review of Non-Pharmacological Interventions

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Key Words
Dementia · Down syndrome · Rehabilitation · Therapeutics · Alzheimer’s disease · Early intervention · Geriatrics

Abstract
Background: There is a close genetic relationship between Alzheimer’s disease (AD) and Down syndrome (DS), AD being the most severe mental disorder affecting ageing individuals with DS. The objective of the present study was to evaluate the efficacy of cognitive rehabilitation interventions in DS patients with AD by means of a critical literature review. Summary: Because AD is progressive and irreversible, treatment is aimed at delaying and reducing the cognitive and functional decline in order to preserve or improve quality of life. The effects that pharmacological treatments and cognitive interventions have on elderly individuals with AD are well documented. Recent clinical trials have investigated the use of pharmacological treatment in DS patients with AD, generating preliminary results that have been unfavourable. Key Messages: There is a clear lack of studies addressing the efficacy of cognitive rehabilitation interventions in DS patients with AD, and there is an urgent need for studies providing evidence to inform decisions regarding the appropriate choice of treatment strategies.

Introduction
Neuropsychiatric disorders are among the most severe and disabling age-related diseases [1]. Dementia is a neuropsychiatric disorder that affects cognition and function, having multiple aetiologies. Although the general concept of dementia remains the same and the...
term itself is still used, the Diagnostic and Statistical Manual of Mental Disorders, fifth edition [2], employs a new term: major neurocognitive disorder. It is known that certain genetic and environmental characteristics can influence the development of dementia, the most prevalent form of which is Alzheimer’s disease (AD).

The life expectancy of individuals with Down syndrome (DS) has increased considerably in recent years because of preventive health measures and advances in biomedicine. Nevertheless, atypical or premature ageing is a characteristic of individuals with DS, many of whom develop AD. Several studies have shown evidence of a genetic relationship between DS and AD. Trisomy 21 is associated with early and excessive deposition in the brain of beta-amyloid precursor protein, a protein that is associated with the neuropathological hallmarks of AD [3–5]. However, the diagnosis of dementia in individuals with DS remains challenging because of pre-existing cognitive deficits resulting from intellectual disability [6].

In an epidemiological study involving 506 individuals who had DS and were over 45 years of age, Coppus et al. [7] found the overall prevalence of dementia to be 16.8%. The authors also demonstrated that the prevalence of dementia doubled every 5 years up to the age of 60 years, being 32.1% in individuals in the age bracket of 55–59 years and decreasing in those who were 60 years of age or older, probably due to the higher mortality rates among individuals with dementia. In a study involving 285 individuals who had DS and were in the age bracket of 35–74 years, the prevalence of dementia was found to be 13.3%, the mean age at onset being 54.7 years [8]. In a study involving 646 adults with intellectual disability, the incidence of AD in individuals with DS was found to have increased from 4% in those who were 50 years of age to 67% in those who were 72 years of age [9]. Although not all adults with DS show clinical evidence of AD, all of those who are 40 years of age or older show neuro-pathological features consistent with AD, including early formation of senile plaques and neurofibrillary tangles [4, 10]. Therefore, dementia is the most serious problem faced by ageing individuals with DS [7].

Although AD can be treated pharmacologically or non-pharmacologically, a combination of pharmacological and non-pharmacological interventions increases treatment efficacy. Given that the disease is progressive and irreversible, the treatment of individuals with dementia is aimed at delaying or preventing cognitive decline.

One of the currently available non-pharmacological interventions is cognitive rehabilitation, which is aimed at optimising overall functioning, improving well-being, minimising losses, developing coping strategies, and avoiding harmful psychosocial processes [11]. Wilson [12] defined cognitive rehabilitation as a process in which patients, family members, and health professionals work together in order to improve cognitive difficulties following brain injury or neurological disease. In a recent review of cognitive training and cognitive rehabilitation for dementia, Bahar-Fuchs et al. [13] stated that the objective of cognitive rehabilitation is to tackle directly the cognitive difficulties considered most relevant by patients and their family members, as well as to target everyday challenges in the context of real-life situations that arise for the patients. Cognitive rehabilitation focusing on emotional and behavioural aspects is designated neuropsychological rehabilitation [14]. In 2011, the World Health Organization defined rehabilitation as a set of procedures and techniques aimed at helping disabled individuals restore or maintain an optimal level of functioning and interact with the environment [15]. Given the contrast between the meaning of the word rehabilitation (i.e., the act of restoring something to its original state) and the irreversibility of dementia, terms such as cognitive training, cognitive intervention, cognitive remediation, and cognitive stimulation are also used in the literature. Experimental studies have shown that cognitive rehabilitation is beneficial and that learning is possible for individuals during normal ageing [16] for those with mild cognitive impairment [17, 18] and for those with dementia [19–22].
Given the relationship between AD and DS, as well as the peculiarities of AD and DS symptom development, it is extremely important to develop and evaluate the efficacy of interventions for individuals with DS and dementia. The pharmacological treatment used in individuals with dementia without DS, which includes drugs such as cholinesterase inhibitors and memantine, has recently been investigated for use in individuals with DS. Although the results are preliminary and have generally been unfavourable [23–26], further studies are currently underway. In addition to the fact that the benefits of pharmacological treatment in individuals with DS have yet to be confirmed, few studies have investigated non-pharmacological interventions for this population. In the present study, we sought to evaluate the efficacy of cognitive rehabilitation in DS patients with dementia by reviewing studies indexed in the PubMed and PsycINFO databases.

**Methods**

Given the lack of studies examining the efficacy of non-pharmacological interventions in DS patients with dementia, we chose not to limit our searches by the year of publication. We included case studies and broadened our search terms. We searched the PubMed and PsycINFO databases using the search terms ‘rehabilitation’, ‘habilitation’, ‘intervention’, ‘training’, ‘cognitive stimulation’, and ‘remediation’, all of which were always used in combination with the terms ‘Down syndrome’ and ‘Alzheimer’, as shown in table 1. The inclusion criteria were as follows: being a clinical trial or a case report, involving adults (i.e., individuals over 18 years of age) with DS, and including reports of cognitive rehabilitation interventions focusing on dementia.

**Results**

The searches performed and the articles retrieved are detailed in table 1. A total of 12 searches were performed in the PubMed and PsycINFO databases collectively. Of the 150 articles initially selected, 6 met the criteria for inclusion in the present review, 5 being studies of interventions for individuals with DS (table 2) and 1 being a study of an intervention focusing on caregivers of individuals with DS (table 3). One study was initially considered for inclusion but was ultimately excluded because the participating DS patients had not been diagnosed with AD; they were designated DS/AD patients because they were over 35 years of age and were therefore assumed to have neuropathological features of AD [27]. In addition, a cognitive rehabilitation study involving a murine model of DS and AD was excluded because it did not address human interventions [28]. The remaining 142 studies were excluded because they did not address non-pharmacological interventions focusing on dementia in adults with DS.

Of the 150 articles initially selected, 59 (39%) were review articles or editorials. Of those 59 articles, only 1 addressed the issue of pre-AD cognitive rehabilitation in DS patients, through the use of compensatory strategies [29], and none addressed the issue of cognitive rehabilitation in DS patients with dementia.

Vogl and Rapp [30] presented the case of a 52-year-old female who had DS and AD. The patient was a regular user of a recreational facility for the social inclusion of individuals with intellectual disability. The authors used a behavioural reinforcement intervention in order to reduce loitering and the theft of items belonging to other users of the facility. The authors performed an 11-day baseline evaluation and compared the results with those of an 11-day intervention consisting of differential reinforcement of other behaviour – which involves reinforcement of behaviours other than the target behaviour – and extinction of the inappro-
Table 1. Articles retrieved from the PubMed and PsycINFO databases on January 27, 2015

| Aspect                                      | PubMed                  | PsycINFO               |
|---------------------------------------------|-------------------------|------------------------|
| Search terms:                               |                         |                        |
| Down syndrome AND dementia                  |                         |                        |
| Total                                       | 14 19 43 31 0 2         | 5 14 0 20 0 2          |
| Review article or editorial                 | 2 9 12 18 0 0           | 2 6 0 9 0 1            |
| Pharmacological intervention                | 1 3 3 0 0 0             | 0 0 0 0 0 0            |
| Intervention for adults with DS             | 0 0 0 3 0 1             | 0 3 0 2 0 0            |
| Prospective study                           | 0 0 1 0 0 0             | 0 0 0 0 0 0            |
| Evaluation study                            | 7 0 21 1 0 0            | 1 2 0 3 0 0            |
| Validity study                              | 1 0 1 1 0 0             | 0 1 0 0 0 0            |
| Epidemiological study                       | 1 1 2 2 0 0             | 0 0 0 4 0 0            |
| Intervention for children with DS           | 1 0 1 1 0 0             | 0 0 0 0 0 0            |
| Genetic and biochemical study               | 0 4 1 2 0 0             | 0 0 0 0 0 0            |
| Murine model study                          | 0 2 0 1 0 1             | 0 1 0 0 0 0            |
| Case report without intervention            | 0 0 1 0 0 0             | 0 0 0 0 0 0            |
| Education                                   | 0 0 0 1 0 0             | 0 0 0 0 0 0            |
| Post-mortem study                           | 0 0 1 0 0 0             | 0 0 0 0 0 0            |
| Other                                       | 1 0 0 0 0 0             | 1 1 0 2 0 1            |
| Total number of studies selected            | 0 0 0 2 0 0             | 0 3 0 3 0 0            |

*a Due to overlap among the search terms, the final number of studies evaluated was 6.
Table 2. Studies of interventions for individuals with Down syndrome

| Variable | Vogl and Rapo [30], 2011 | Horowtitz et al. [31], 2010 | Devenny et al. [32], 2002 | Temple et al. [33], 2001 | Bowman [34], 1996 |
|----------|--------------------------|-----------------------------|----------------------------|--------------------------|------------------|
| Number of participants | 1 female with DS and AD | 1 male with DS, AD, and anxiety disorder | 35 individuals with DS and AD | 19 with DS and 8 suspected of having AD | 6 individuals with DS and AD |
| Time to diagnosis of dementia | 3 years (regarding the diagnostic method) | No data | No data | No data | No data |
| Level of education | No data | No data | EG: 19 with DS and ADCG1: 75 with DS but without ADCG2: 66 with ID but with no DS or AD | No data | No data |
| Time since diagnosis of ID | <3 years | No data | ~3 years (regarding the diagnostic method) | No data | No data |
| Age, years | 52 | 53 | >30 | 29 | 50 |
| Degree of disability | Severe ID | Mild to moderate ID | Mild to severe ID | Mild to severe ID | Severe ID |
| IQ of 2 years before diagnosis | IQ of 32 at 28 years of age | No data | No data | No data | No data |
| Level of education | No data | Non-schooling and inappropriate, excessive, and inappropriate behaviour | No data | No data | No data |
| Institutionalisation/duration of institutionalisation | No data | Yes | Yes/43 years | Yes/2 years | No data |
| Cognitive/behavioural problem on which the intervention was focused | Loitering and theft | Non-compliance and inappropriate, sexualised behaviour | Memory deficit | General protective factors/stimulation | Elopement |
| Type of study | Case report | Case report | Non-randomised controlled study | Retrospective controlled study | Case report |
| Format | Individual | Individual | Individual | Individual | Individual |
| Treatment | Reinforcement and extinction | Reinforcement | Memory training with a CRT (3 trials, 12 items presented, each accompanied by a verbal cue) | No Reinforcement | No Reinforcement |
| Control group | No | No | Yes | Yes | No |
| Study duration | Baseline evaluation, 11 days; intervention, 11 days | Baseline evaluation, 13 weeks; intervention, 17 weeks | One testing phase consisting of three trials, once a year | One testing phase of 6–12 months | At baseline (in comparison with the local staff) |
| Outcome measured | Maladaptive behaviour as recorded by the local staff, and the IA and the QABF scale scores | Maladaptive behaviour as recorded by the local staff, and the IA and the QABF scale scores | Results on neuropsychological tests, interview with an informant, and the DSDS | Results on neuropsychological tests, interview with an informant, and the DSDS | Results on neuropsychological tests, interview with an informant, and the DSDS |
| Results | 100% reduction in the target behaviour | Reduction in the target behaviour after the intervention (by 61.11%) and at follow-up (by 85.22%) | No significant learning between tests in the individuals with DS and AD (F = 0.039 vs. those with DS but without AD), borderline results in individuals at a mild or pre-clinical stage of decline | Better cognitive functioning predicted lower risk of AD (p = 0.01) | None |

ID = Intellectual disability; IQ = intelligence quotient; DSDS = Dementia Scale for Down Syndrome; ICD-10 = International Classification of Diseases, 10th revision; FAI = Functional Assessment Interview; QABF = Questions About Behavioural Function; ATE = alternative to employment; eg = experimental group; CG = control group.
appropriate behaviour (theft), follow-up evaluations being performed at 2 and 3 months after the intervention. With the objective of reducing loitering, the patient was offered the possibility of manipulating coloured materials and engaging in art activities. The intervention targeting the problem of theft involved removing stolen items from patient lockers on a daily basis. The results showed that the intervention reduced loitering and theft, the follow-up evaluations at 2 and 3 months showing that reductions in both target behaviours were maintained over time. Methodological problems included the recording of data by the local staff and the fact that a detailed functional analysis was not performed, making it difficult to conclude that the change in behaviour was due to the intervention. Nevertheless, the fact that the target behaviour was extinguished suggests that the intervention was effective. The follow-up period was imposed because the patient required hospitalisation for dehydration. However, no functional measurements were performed before or after hospitalisation, which might have influenced the results.

Horovitz et al. [31] presented the case of a 53-year-old male patient with DS, AD, and anxiety disorder. The patient had been institutionalised since he was 10 years old and displayed inappropriate, sexualised behaviours. Before the intervention, the patient had been receiving reinforcement with verbal praise and attention, non-compliance resulting in the reinforcement being withheld. However, that strategy had not yielded favourable results. Compliance training and contingent reinforcement were used in order to reduce sexualised behaviours. Compliance with requests to allow assistance in getting dressed was reinforced

Table 3. Study of an intervention for caregivers of individuals with DS and AD

| Variable | Kalsy et al. [35], 2007 |
|----------|------------------------|
| Caregivers | 97 |
| Centres for DS and AD | 3 |
| Duration of employment, months | 60.7±67.4 |
| Age, years | 42.2±10.63 |
| Gender | |
| Male | 32 (33) |
| Female | 65 (67) |
| Level of education and appropriate training | No data |
| Focus of the intervention | Education on ageing, dementia, and ID |
| Type of study | Randomised uncontrolled study |
| Format | Group intervention and individual analysis |
| Cognitive/behavioural problem on which the intervention was focussed | Loitering and theft |
| Format | Individual |
| Treatment | Psychoeducation with the use of 4 vignettes |
| Control group | No |
| Follow-up | None |
| Outcome measures | Controllability of Beliefs Scale score; knowledge of ageing and ID; optimism question/mixed factorial design for analysis of diagnosis of AD or no diagnosis; behavioural excesses or deficits; and pre- or post-training |
| Results | Significant increase in knowledge after training (t_{85} = 4.1; p < 0.001), and training significantly decreased the attribution of controllability (F_{2} = 28.95; p < 0.001). |

Values are means ± SD or n (%). ID = intellectual disability.
by a combination of verbal praise, physical contact, and edible reinforcers. The interventions were performed every day of the week in the afternoon (after working hours of the patient), when the target behaviour occurred most frequently. The intervention was initially performed by two psychologists, subsequently by one psychologist and, finally, by a trained caregiver. The baseline evaluation lasted 13 weeks. The intervention lasted 17 weeks, the patient being subsequently followed for 4 weeks. The primary outcome measure was the occurrence of behavioural problems as recorded by the local staff. Non-compliance was found to have decreased by 61.11% after the intervention and by 85.22% at the end of follow-up. The study demonstrated the efficacy of contingent reinforcement in compliance training as well as the importance of carefully selecting a rewarding reinforcer. The authors concluded that it is possible to intervene to improve behaviour and compliance in individuals with DS and AD. The authors reported no data on patient literacy, patient level of education, time since diagnosis, or diagnostic methods.

Devenny et al. [32] conducted a study in which memory training was performed with the use of a cued recall test (CRT). However, rather than focussing on neuropsychological rehabilitation, the study focussed on the use of the CRT in the early diagnosis of memory deficits in adults with DS and mild to moderate intellectual disability. Nevertheless, the study showed relevant results regarding memory training with the CRT. The CRT performance of 19 adults with DS and AD was compared with that of two control groups: one comprising 75 adults with DS without dementia and the other comprising 66 adults with intellectual disability without DS. All adults were over 30 years of age and were participants in a 14-year follow-up study. The CRT consisted of a training period in which 12 items were presented, 4 at a time, each item being accompanied by a verbal category cue. Two measures were obtained: a free recall score (spontaneous recall of the list of 12 items); and a total score (free recall score plus items recalled when the category cue was provided). The authors concluded that the CRT can aid in the diagnosis of dementia and in the identification of a pre-diagnosis decline in memory function (sensitivity, 94.7%; specificity, 93.9%; positive predictive value, 81.9%). With regard to rehabilitation, the study showed no significant learning between tests in the individuals with DS and AD, whose magnitude of learning was lower than that of individuals with DS without dementia (F1,89 = 28.79; p < 0.001). Despite this finding, the authors concluded that individuals with early-stage dementia can learn by undergoing the CRT.

Temple et al. [33] performed a retrospective analysis of cognitive stimulation throughout the life of individuals with DS and the relationship between DS and AD, taking into consideration their level of education, employment, recreational activities, duration of institutionalisation, and level of cognitive functioning, all of which are considered to be protective factors in the general population. To that end, the authors analysed 35 adults with DS in the age bracket of 29–67 years. Multiple regression analyses revealed that a higher level of cognitive functioning predicted less decline and a lower risk of developing AD (p = 0.01). In addition, the level of cognitive functioning appeared to be associated with environmental factors such as level of education, years institutionalised, and employment (p = 0.0001). This suggests that the relationship of these variables with symptoms is complex and indirect, calling for further investigation.

Bowman [34] presented the case of a 45-year-old male with DS and AD. The patient had been institutionalised for 30 years, and the intervention focussed on changing his elopement behaviour. The patient had attempted to elope on several previous occasions. On each occasion, he was taken back to the institution by car. Functional behavioural analysis revealed that the car rides and the attention given to the patient on those occasions reinforced his elopement behaviour, which was displayed again years later, when he developed the initial symptoms of dementia. The intervention consisted of eliminating the reinforcers of the undesirable behaviour and reinforcing the desired behaviour (i.e., staying in the common areas of
Elopement was reduced from 5 times a month at baseline to twice in the first month of intervention and only once in the second month. In the subsequent 3 months, he did not elope at all. However, the behaviour re-emerged in the following month. The author discussed the difficulties of and adjustments to the programme as a result of real-life limitations, having raised the hypothesis that dementia-related memory deficits interfered with the effects of the intervention after a few months.

Kalsy et al. [35] investigated the effects that psychoeducation regarding ageing, dementia, and intellectual disability had on 97 caregivers working with adults with DS and AD at three different centres. The mean age of the participants was 42.2 years, and the mean duration of employment was 60.7 months. The authors used a mixed factorial design in order to investigate three factors: diagnosis (dementia or no dementia), behaviour (deficits or excesses), and time (pre- vs. post-training). To that end, participants were randomly assigned one of four vignettes describing individuals with DS displaying behavioural deficits or excesses. Two vignettes described the person as having a diagnosis of AD, whereas the other two suggested that further investigation was required in order to establish a diagnosis. Before and after training, participants completed the Controllability of Beliefs Scale [36] and a 20-item questionnaire on their knowledge of ageing and intellectual disability [37], as well as answering a question related to optimism regarding the potential for a change in the challenging behaviour [36]. There was a significant increase in knowledge after training (p < 0.001), and training significantly decreased the attribution of controllability (p < 0.001). The results suggest that training focusing on aspects of change relevant to behaviour can favourably influence caregiver knowledge and attributions of controllability within the context of individuals with DS and dementia.

None of the studies reviewed here included interventions that primarily focused on cognitive rehabilitation for dementia. Three studies employed behavioural interventions [30, 31, 34]; one study employed a memory training intervention with the use of a CRT to aid in the diagnosis of AD [32]; one study retrospectively analysed the role of cognitive stimulation throughout the life of individuals with DS as a protective factor for AD [33], and one study focussed on psychoeducational interventions for caregivers of DS patients with AD rather than for the patients themselves [35]. Common limitations of the studies reviewed here were as follows: being a case report or having a small sample size; having a non-randomised design; lack of generalisability of the results to the daily life of patients; lack of data on patient literacy; lack of investigation into the effects of interventions on activities of daily living, mood, behaviour, quality of life, stress, and well-being of caregivers; lack of use of the depression, anxiety, and stress scales; lack of investigation into emotional issues, and involving a short follow-up period or no follow-up.

**Discussion**

Our review of studies retrieved from the PubMed and PsycINFO databases and examining rehabilitation interventions for individuals with DS and dementia revealed an alarming lack of studies confirming the efficacy of such interventions. Although many studies have examined the efficacy of cognitive rehabilitation interventions for dementia patients in general [17, 18, 20], few have examined the specificities of such interventions in individuals with DS presenting with evidence of pathological cognitive decline.

The heterogeneity of the studies precludes any detailed analysis of the current state of the art in non-pharmacological interventions. It is unclear whether individuals with DS and AD benefit from cognitive rehabilitation or other interventions. The few studies found in our review, the limitations of the relevant studies identified, and the fact that none focussed on...
The studies reviewed here involved behavioural interventions, memory training as an evaluation measure, investigation of the protective role of cognitive stimulation throughout life, and psychoeducation for caregivers. The studies focused primarily on behavioural analysis rehabilitation, possibly because changes in behaviour have been identified as an important feature that precedes or occurs concomitantly with cognitive decline in individuals with DS [38–40]. There have been few studies examining cognitive rehabilitation in DS patients with AD. Future studies should explore this issue, focusing on the efficacy of compensatory and restorative strategies, errorless learning, spaced retrieval, name-face association, visual imaging, use of cues, categorisation, hierarchical organisation, internal and external strategies, computerised training, functional magnetic resonance imaging, rehabilitation in combination with psychotherapy, rehabilitation involving family members, psychoeducation, generalisation to real life, and long-term maintenance of benefits. There is an urgent need for studies to support and justify the current therapeutic practices in this area.

Because of the high probability of AD in individuals with DS and the uncertainty regarding the efficacy of pharmacological treatments, cognitive rehabilitation interventions that focus on preventing and attenuating the development of AD and that can be performed at the first clinical signs of the disease (and even before the confirmation of neurodegeneration) are of great importance. It is known that there are a number of factors that increase cognitive reserve and can compensate for the effects of cognitive decline, reducing the clinical signs of AD in the general population; such factors include a healthy lifestyle, a challenging professional career, and adequate schooling [41–44]. However, it remains unclear whether the risk factors for AD in individuals with DS are the same as those for AD in the general population. In general, the aforementioned protective factors are compromised in individuals with intellectual disability, either because of the clinical features of the underlying disease or because of environmental factors (including social deprivation and low stimulation). The results of one of the studies included in the present review [33] confirm the hypothesis that, in individuals with intellectual disability, a higher level of cognitive functioning (developed throughout life) translates to less decline and a lower risk of developing AD. Therefore, one of the objectives of cognitive rehabilitation for individuals with intellectual disability without dementia is to bolster these protective factors by stimulating such individuals to fulfil their potential and by supporting their participation in the workforce, as well as by encouraging physical exercise, socialisation, personal satisfaction, and an active lifestyle. Future studies investigating the risk factors for AD in individuals with DS might clarify this relationship and indicate preventive treatments that are more effective. The fact that virtually all individuals with DS show neuropathological features of AD from the age of 30 onward [4] and the fact that such individuals have cholinergic deficits that are comparable to those found in the brains of individuals with AD [45] make individuals with DS a natural model of the neuropathological hallmarks of AD. Therefore, the development of interventions for this population can also be examined in terms of their applicability in and generalisability to elderly individuals with dementia.

The main limitation of the present study is the fact that we included only articles indexed in the PubMed and PsycINFO databases. Our literature review revealed that there is a large gap between research and practice regarding non-pharmacological interventions for DS patients with AD. This might be because cognitive rehabilitation has undergone major scientific breakthroughs in recent years and because the use of cognitive rehabilitation is becoming increasingly more common in specific areas. Nevertheless, it is impossible to explain the lack of studies evaluating the effects of currently used interventions in order to provide scientific evidence for their use and reproducibility. These interventions must be scientifically evaluated so that they can be disseminated and their quality can be controlled.
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Disclosure Statement

None of the authors have any conflicts of interest.

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