Detect and Perturb: Neutral Rewriting of Biased and Sensitive Text via Gradient-based Decoding

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Abstract
Written language carries explicit and implicit biases that can distract from meaningful signals. For example, letters of reference may describe male and female candidates differently, or their writing style may indirectly reveal demographic characteristics. At best, such biases distract from the meaningful content of the text; at worst they can lead to unfair outcomes. We investigate the challenge of re-generating input sentences to ‘neutralize’ sensitive attributes while maintaining the semantic meaning of the original text (e.g. is the candidate qualified?). We propose a gradient-based rewriting framework, Detect and Perturb to Neutralize (DEPEN), that first detects sensitive components and masks them for regeneration, then perturbs the generation model at decoding time under a neutralizing constraint that pushes the (predicted) distribution of sensitive attributes towards a uniform distribution. Our experiments in two different scenarios show that DEPEN can regenerate fluent alternatives that are neutral in the sensitive attribute while maintaining the semantics of other attributes.

1 Introduction
Language data often carries implicit biases or contains sensitive information that may have negative consequences for human and machine understanding. For example, a person’s choice of vocabulary can reveal their social identity (age, gender, or political affiliation) (Nguyen et al., 2013); a few examples are shown in Table 1. Such information can potentially bias machine predictions as well as human judgment, leading to unfair outcomes.

Hiding sensitive information in textual data—including text that carries implicit bias—is an essential task. In this paper we consider the setting of graduate school admissions as a case-study, where fair evaluation of applicants should depend on academic performance or research potential, irrespective of nationality, gender, etc. Text from reference letters is colored by many biases: letter writers may (possibly unintentionally) write about male and female candidates differently, or may use language that reflects their (the writer’s or the applicant’s) cultural background. Eliminating these attributes from the decision making process is challenging because (1) the sensitive information is often implicit and confounded with other attributes, and (2) a parallel corpus with unbiased text is not available.

Based on these motivations, we define our task as: given an input sentence associated with both meaningful and sensitive attributes (e.g. a discussion of a female student’s research potential), regenerate the input in a way that neutralizes one or many sensitive attributes with minimal edits, i.e., so as to maintain the fluency, coherency, and semantic meaning of the original sentence.

To this end, we propose a gradient-based decoding framework for text re-generation by neutralizing a sensitive attribute: Detect and Perturb to Neutralize (DEPEN). We realize the framework in two steps (Figure 1). First we automatically detect the parts of the input sentence that reveal the sensitive attribute, and mask them; while this can be as...
simple as a gendered pronoun (‘he/she’), we find many cases where choices of adjectives or phrasing are associated with group identity. Second, we regenerate a complete sentence from the unmasked part of the input so that the output no longer reveals the sensitive attribute. We do this by perturbing the final hidden states of a conditional language model that is finetuned to generate a complete sentence from masked tokens. Perturbation is done to modify the hidden states in a ‘neutral’ (i.e., so that the hidden state cannot predict the sensitive attribute) direction while maintaining fluency and semantic meaning. We conduct two experiments to show that DEPen generalizes across scenarios. We first experiment with a Graduate Admissions Reference letter dataset where DEPen rewrites the sentences from a letter to neutralize attributes such as gender or nationality. So that we can release a reproducible benchmark, we also experiment with Goodreads review data (Wan and McAuley, 2018); here we treat genres as a sensitive attribute (i.e., maintain the essence of a review without revealing the genre).

2 DEPen

As shown in Figure 1, our neutralizing approach DEPen\(^1\) has two stages: Detect and Perturb.

2.1 Detect: mask the sensitive parts

First we detect parts of the original input sentence \(x\) that are predictors of the target sensitive attribute \(A\). Suppose we have a corpus containing \(N\) documents and their associated label \(y\) for \(A\); we train a classifier \(f_0\) to minimize \(\frac{1}{M} \sum_{i=1}^{N} \sum_{j=1}^{|X^i|} \mathcal{L}(f(x^i_j; \theta), y^i_j)\), where \(X^i\) is the \(i\)-th document and \(x^i_j\) is the \(j\)-th sentence, \(M\) is the number of sentences, and \(\mathcal{L}\) is the cross-entropy loss for classifying sensitive attributes.

Following Jain et al. (2020), we take self-attention scores of all input tokens w.r.t. the [CLS] token (Devlin et al., 2018) from the final hidden layers and normalize them to measure how salient each token is for predicting \(A\). We use BERT as the attribute classifier \(f\).

Next, we mask the top-\(k\)% (\(k\) is a hyperparameter) salient tokens to obtain the intermediate output as \(\hat{x}^i_j\) that does not contain any significant predictor of \(A\) according to \(f\).

2.2 Perturb to Neutralize

To regenerate a neutral version \(\tilde{x}\) of the original input sentence \(x\) we need a generative model that can reconstruct a sentence from the unmasked tokens. For this we train a sequence-to-sequence (Seq2Seq) model that takes \(\hat{x}^i_j\) as input and \(x^i_j\) as output. We finetune a BART model as our base Seq2Seq model \(g\). Ideally, we want \(g\) to regenerate a version that remains neutral to the attribute \(A\). But since we do not have attribute-neutral ground-truth, we cannot guarantee that inference from \(g\) will hold attribute neutrality. Hence, we guide \(g\) using a gradient-based inference method so that the regenerated output remains attribute-neutral. We are inspired by PPLM (Dathathri et al., 2019) that introduced gradient-based inference from transformer-based language models. Similar inference-time perturbation approaches also have been proposed for applications such as clarification question generation (Majumder et al., 2021b) and dialog generation (Majumder et al., 2021a).

PPLM primarily performs gradient-based decoding that encourages the generation to maintain fluency according to the base autoregressive generative model while honoring a discriminative constraint, such as maintaining a particular attribute. In our work, we modify PPLM to accommodate a new decoding constraint for achieving neutrality. We also adapt a Seq2Seq transformer model as a base model to perform autoregressive inference using PPLM-style gradient decoding.

Generate with Neutralizing Constraints

Contrary to PPLM, which boosts the log-likelihood (LL) of a certain attribute, our case requires the generation is neutral toward an attribute (e.g. the text should be neither ‘female’ nor ‘male’). Since
we do not have explicit labels for neutrality, we modify our decoding constraint in the following.

Suppose there are $|C|$ categories for $A$ and we want to re-generate a sentence $\tilde{x}_j$ which minimizes the KL-divergence between a uniform distribution over $C$ and the discriminative distribution of the sensitive attribute $A$. We define it as our neutralization constraint $L_{\text{neutral}}$

$$\arg\min_{\tilde{x}_j} D_{KL} \left( \mathbb{U}^{C} \left\| p(y^t | \tilde{x}_j) \right\| \right)$$

$$= \arg\min_{\tilde{x}_j} \ H \left( \mathbb{U}^{C}, p(y^t | \tilde{x}_j) \right) - H \left( \mathbb{U}^C \right)$$

$$= \arg\min_{\tilde{x}_j} - \sum_{a \in C} \frac{1}{|C|} \log p(y^t = a | \tilde{x}_j)$$

where $H(\cdot)$ is the entropy and $U(\cdot)$ denotes the uniform distribution.

Since ground truth is not available, we resort to an unsupervised decoding technique using the left-to-right decoder from the Seq2Seq model. During inference, we keep the encoder of the base model $g$ fixed while perturbing the hidden states of the decoder. A gradient w.r.t. the neutralization loss $L_{\text{neutral}}$ shifts the hidden state representations toward neutrality during backpropagation. To realize the effect of backward gradient updates, we accumulate gradients for multiple passes and then update the hidden representations. Once we update decoder hidden states, a forward pass is made to maintain the fluency of the base language model. Backward and forward pass alternate until we see the desired neutralization effect in the generated text.

3 Experiments

3.1 Datasets

Reference letters a real-world dataset of students considered for admission to a graduate program of a large US university, containing applicant profiles including reference letters, binary gender information, nationality, and a binary admission decisions. We consider 18,865 applicants with 29,170 reference letters, among which 22,201 letters are used for training classifiers and 6,969 for testing or rewriting. We conduct two experiments with gender and nationality (processed to be 4 dominant classes) as sensitive attributes separately, and use admission decisions as the outcome for further evaluating whether the ‘signal’ is preserved.

GoodReads a book review dataset (Wan and McAuley, 2018) containing user reviews, star ratings, and genres. We randomly sample 3000 reviews each from the Children’s and Mystery genres. We use 5000 reviews for training and the rest for testing. We define the binary genre as the sensitive attribute, and quantize ratings to three levels (positive, negative, neutral) as the outcome.

3.2 Evaluation Metrics

Bias: We use the accuracy (Acc.) and confidence (Conf.) of a sensitive classifier to evaluate bias. Fluency: We use the Pseudo Log-Likelihood (PLL) of Salazar et al. (2020) to measure the fluency of our generated model. Coherence: We use the BLEU4 score of the generated sentence w.r.t. its input and accuracy of an outcome (Out.) classifier to measure how much content is maintained.

3.3 Baseline Models

We evaluate four debiasing approaches (all of which generate without parallel ground truth) and two variants of DEPE\textsuperscript{N} as baselines:

- Rule-based (RB): replace words with rules (e.g. he/she → they, see Appendix A.1).
- Weighed Decoding (WD): a decoding method (Ghazvininejad et al., 2017) by reducing the generation probability of detected sensitive tokens to a hyperparameter $\alpha$ (we set $\alpha = 0.2$).
- Adversarial Training (ADV): a Seq2Seq autoencoder with a gradient reversal layer (Ganin and Lempitsky, 2015) that propagates gradients of the sensitive discriminator to the encoder.
- Privacy-Aware Text Rewriting (PATR): we reimplement the adversarial back-translation rewriting model of Xu et al. (2019).
- DE\textsuperscript{N}: DE\textsuperscript{N} w/o Perturb, generates $\tilde{x}$ from $\hat{x}$ with the finetuned base model $g$.
- DE\textsuperscript{N}: DE\textsuperscript{N} w/o Detect, generates $\tilde{x}$ from $x$ by neutrally perturbing a normal Seq2Seq.

3.4 Results and Analysis

Results are shown in Table 2. For debiasing metrics, DE\textsuperscript{N} leads to a decrease (as desired) in Acc. and Conf. to around 0.5 for all experiments. We note that PEN generates sentences with a normal BART designed for common Seq2Seq tasks like summarization or translation, so in spite of a somewhat better accuracy drop, regenerated sentences...
differ vastly from inputs, which can be seen from low BLEU4 scores (0.0825 for gender and 0.06 for nationality). WD also lowers bias, but it can abruptly interrupt the generation by reducing the probabilities of certain (sensitive) tokens affecting the overall language model fluency. We also report the accuracy of predicting outcome variables (Out.), i.e., admission decisions or review sentiment (which are not used for training). For fluency DeN has the highest (i.e., best) PLL but fails to debias (high Acc. and Conf.). DePeN maintains high fluency while also debiasing. RB has the highest coherence, though we find that regenerated sentences are extremely similar to the input (with many biased terms persisting) due to simple replacement rules. RB has extremely high BLEU4 scores (0.9974 for nationality and 0.9699 for GoodReads). PATR also demonstrates its effectiveness on language quality (fluency and coherence) due to the paraphrasing capability of back-translation, however it fails to debias well as it still shows high Acc. and Conf. in bias classification (more in Appendix A.2). DePeN beats the baselines by achieving a balance across bias mitigation, fluency, and coherence, and fidelity w.r.t. the predicted outcome. Manual inspection revealed that automatic metrics are suggestive of how humans perceive neutrality.

### 3.5 Case Study

We provide an example in Table 3, in which a referrer comments on the mock classes of a student. More examples and findings are shown in Appendix A.2. Besides the obvious gender indicators Her/girl, the words lovely and popular are also considered as gender-predictive. For RB, such adjectives strain the ability of humans to design perfect rules, not only because it is hard to enumerate all such words but also due to their context-dependence (e.g. ‘elegant’ may carry different bias if it describes a student versus a student’s theorem). Simple replacement (e.g. their) also yields ungrammatical sentences. For WD and DeN, without a neutralization constraint, they select candidates that satisfy the language model, but may choose (e.g.) man, leading to no reduction in attribute sensitivity, and (e.g.) active which changes the semantic meaning. As a black-box rewriting method with strong reconstruction signals, it’s harder to control ADV to meet all expectations simultaneously. PATR also fails to debias. However, DePeN can edit the sensitive parts while maintaining fluency and semantic meaning.

### 4 Related Work

**Debiasing Language Generation**

There are three main streams to debias NLG tasks: counterfactual data augmentation (Lu et al., 2020; Chen et al., 2018); training-time methods (Huang et al., 2020; Liu et al., 2020a,b; Kaneko and Bollegala, 2021; Pryzant et al., 2020); and inference-time methods. Saunders and Byrne (2020) mitigate gender bias in machine translation via transfer learning using handcrafted gender-balanced datasets. Sheng et al. (2020) generate with well-formulated bias
triggers based on (Wallace et al., 2019) to equalize biases between demographics. Dathathri et al. (2019) propose a gradient-based method for controllable generation and show its efficacy in toxicity reduction. However, all these methods require explicit labels or parallel data regarding the desired attribute.

Re-writing Here specific parts of the original text are revised to be more aligned with a target attribute (Thompson, 2013). Representative approaches use an encoder-decoder setup with a discriminator (e.g. style) (Romanov et al., 2018; Dai et al., 2019; John et al., 2019; Aho and Ullman, 1972; Majumder et al., 2021a,b), backtranslation (Lample et al., 2018; Prabhumoye et al., 2018; Xu et al., 2019), pretraining (Duan et al., 2020; Zhou et al., 2021), or use retrieval framework (Sudhakar et al., 2019). A few approaches adapt these techniques for debiasing. Zmigrod et al. (2019) mitigate gender bias by converting between masculine- and feminine-inflected sentences with data augmentation; Ma et al. (2020) jointly train a reconstruction and an out-of-domain paraphrasing task to correct bias, which requires a parallel corpus with attribute-sensitive (e.g. gender) verbs assigned and masked. In contrast, we aim to rewrite neutrally without human guidance.

5 Conclusion

In this work, we propose a gradient-based rewriting framework, DePeN, to neutralize a text that carries sensitive information (e.g., gender) by detecting the sensitive-predictable parts and perturbing the regeneration via a neutralization constraint. The constraint will shift the re-generated sentences to be uniform distributed for the sensitive attribute (e.g., neither male nor female) with minimal editing to maintain the semantic content.

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Ethical considerations

While a debiasing system is intended to mitigate fairness issues in natural language, such a system could certainly have unwanted side effects. Most critically, removing bias may to some extent eliminate meaningful signal from the data, or subtly alter the intended meaning of a sentence. A malicious user could adversarially maximize the neutralization constraint which would result in enhancing the bias in the input sentence. A system like ours should likely not be used as a ‘black box,’ but would best be used in a setting where its outputs can be ‘audited’ to ensure that semantic meaning is preserved, e.g. by a letter writer trying to improve their own writing or by a neutral third party.

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A Appendix

A.1 Details about Baselines

Rule-based Model Detailed rules are described in Table 4. For gender, we follow the handout for mitigation. For nationality, though we have masked the sensitive information with Named Entity Recognition (NER), there are a few cases where NER fails, such as “Chinese Mathematical Olympiad”; to handle this we delete a list of country/city/nationality names. Since we can’t precisely formulate the special patterns corresponding to applicants from different nationalities, we count unique bi-grams in the top-100 bi-gram list of each category as additional rules. For GoodReads, we use the listed featured words for mystery and children’s books, and handcraft their replacements.

Privacy-Aware Text Rewriting (PATR) We re-implement Xu et al. (2019)’s adversarial rewriting model with Huggingface pretrained translators. We first translate English input to French, then translate it back to English.

A.2 Case Studies

Case Study 1 (Gender) In Table 5, besides the pronoun her, adorable is also a strong predictor of female gender (the word ‘ributes’ is a typo by the referrer). Whether the adjectives are gendered depends on context (e.g., “beautiful work” may not predict gender but “beautiful person” does). This is a difficult case for RB and WD to distinguish or to select the best replacements. ADV replaces the gendered but positive word adorable with a neutral but less positive word third. This reveals that while ADV substitutes a less biased word, it lacks the ability to maintain the high-level semantic meaning. PATR shows its advantage of paraphrasing due to the back-translation, however, it fails to identify biased words and debias them.

DeN and DePeN successfully neutralize adorable → commendable or praiseworthy which express not only the same semantic meaning but also the same high-level sentiment. Noting that we don’t have any sentiment guidance or constraint, this advantage is achieved by grasping the core content and inferring the underlying attitude. DeN and DePeN can correct the typo ributes with a plausible replacement (work).

Another interesting phenomenon is when DePeN accidentally generates a gendered word (Her), it compensates by correcting this to a proper noun (essentially an ‘invented name’ Her → Heragur); the new word still plays the same grammatical role in the sentence (e.g., Her and Heragur’s are possessive pronouns with the same POS tag). This could perhaps be further improved by preventing the decoder from generating proper nouns at all, or otherwise by combining our decoding strategy with additional rules.

Case Study 2 (Gender) As shown in Table 6, He, lover and basketball are predictive of (male) gender. Although WD, ADV and DeN find a close replacement (sports for basketball), the sentences still predict the male gender (they fail to correct the pronoun he). While it replaces lover with a more neutral word (enthusiast), PART still generates he and basketball. PeN again rewrites the sentence in a way that differs drastically from the input. DePeN neutralizes the highlighted parts with suitable replacements.

Case Study (Nationality) Table 7 shows a sentence in a reference letter written for a US student. We find that ‘extracurricular’ activities (both the word itself and the topic in general) tend to appear more in letters for US (and to some extent Indian) students compared to (e.g.) Chinese students; as such the word is detected as a predictor of nationality. From Table 7, although RB eliminates the indicator extracurricular, it causes ambiguity by simply deleting it. DePeN replaces the indicator extracurricular with social/cultural which is not only semantically similar but also less predictive of nationality (note that the pronoun ‘her’ is not removed from this sentence as it is not a sensitive attribute in this experiment).

Case Study (GoodReads) Table 8 shows a sentence from a review of a children’s book, where models rewrite to hide genre information while maintaining content (especially the review sentiment). This example gives another illustration about why rule-based (RB) methods fail: children in this context does not refer to the genre but describes a specific character. Distinguishing such differences would demand a more nuanced rule-based
model, requiring significant handcrafting. DEPEN can overcome this problem by doing inference automatically.

A.3 Data Preprocessing

For the Reference Letter dataset, we first exclude invalid reference letters if the letter (1) is too short (less than 2 sentences), or (2) contains too many named entities (more than 90%, presumably due to OCR problems), or (3) is not written in English. For GoodReads dataset, we sample 3000 samples each from Children’s and Mystery’s genre.

A.4 Details of Model

A.4.1 Number of Parameters

In all experiments, we use BERT in the Detect stage, which has 110M parameters; we use BART as our base Seq2Seq model in the Perturb stage, which has 117M parameters. All classifiers are finetuned BERT.

A.4.2 Hyperparameters

We use 64 as the batch size for finetuning all BERT classifiers and use 8 as the batch size for the BART Seq2Seq model for finetuning or generation. We use AdamW\(^7\) as the optimizer with initial learning rate of 1e-4. The whole pipeline is implemented with PyTorch\(^8\), and all transformers are implemented based on the libraries of Hugging Face\(^9\).

In our Petrub stage, we tried several \(k\) (\(k = 10, 20, 30\)) during our implementation and we found that our results are not sensitive to the choice of \(k\).

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Table 4: Detailed replacement rules used in our rule-based baseline.

| Sensitive Attr. | Rules |
|-----------------|-------|
| Gender          | Replace he/she → they, his/him/her/hers → them/their, boy/girl → person Delete Mr., Ms., Miss, Mrs. Replace chairman/chairwoman → chair, actor/actress → actor, freshman → first-year student ... |
| Nationality     | Delete country/city/nationality names, e.g., China/Chinese, America/American, India/Indian, Taiwan ... Category 1: Replace intellectual curiosity → ability Category 2: Replace solid foundation → understanding Category 3: Replace financial/finance aid/support/situation → support/situation Category 4: Replace senior project → project |
| Genre           | Replace children/child/kid/boy/girl/daughter/son → reader, picture/children/fairy book/story → book/story Delete murder, mystery, crime, suspect, suspense, victim, killer, investigation ... |

A.5 Details of Datasets

We download the GoodReads book review dataset by genre from the official website\(^10\).

A.6 Details of Evaluation Metrics

\texttt{nltk.translate.bleu_score.corpus\_bleu} from \texttt{nltk} package is used to calculate the BLEU4 scores.

We use the official repository\(^11\) to calculate the Pseudo-Log-Likelihood scores of generated sentences.

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7\(https://pytorch.org/docs/master/generated/torch.optim.AdamW.html\) 8\(https://pytorch.org/\) 9\(https://huggingface.co/\) 10\(https://sites.google.com/eng.ucsd.edu/ucsdbookgraph/home#h.p_VCP_qovwtnn1\) 11\(https://github.com/awslabs/mlm-scoring.git\)
### Table 5: Re-generated examples with gender as the sensitive attribute

| Model | Re-generated |
|-------|---------------|
| Original | Her desire for perfection, confidence levels, humility and excellent personal attributes are adorable. |
| RB | Their desire for perfection, confidence levels, humility and excellent personal attributes are adorable. |
| WD | Her desire for perfection, confidence levels, humility and excellent personal attributes are adorable. |
| ADV | Her desire for perfection, confidence levels, humility and excellent personal attributes are third. |
| PATR | His desire for perfection, level of confidence, humility and excellent personal attributes are adorable. |
| DeN | Her desire for perfection, confidence levels, humility and excellent personal attributes are commendable. |
| DePeN | Heragur’s desire for perfection, for perfection, confidence levels, humility and excellent personal attributes at work are praiseworthy. |

### Table 6: Re-generated examples with gender as the sensitive attribute.

| Model | Re-generated |
|-------|---------------|
| Original | Meanwhile he is not a keen lover of basketball, but also plays it with skills. |
| RB | Meanwhile they is not a keen learner of basketball, but also plays it with skills. |
| WD | Meanwhile he is not a keen learner of sports, but also plays it with skills. |
| ADV | Meanwhile he is not a keen learner of sports, but also plays it with skills. |
| PATR | Meanwhile he is not a basketball enthusiast, but also plays with skills. |
| DeN | Meanwhile he is not a keen lover of sports, but also plays it with skills. |
| DePeN | Meanwhile: PERSON-I-2189 is not a keen learner of sports, but also plays it with skills. |

### Table 7: Re-generated examples with nationality as the sensitive attribute.

| Model | Re-generated |
|-------|---------------|
| Original | Apart from her studies she has also taken keen interest in extracurricular activities |
| RB | Apart from her studies she has also taken keen interest in activities |
| WD | Apart from her classes she has also taken keen interest in co-curricular activities. |
| ADV | Apart from her studies she has also taken keen interest in extracurricular activities. |
| PATR | Apart from her studies, she also interested herself in extracurricular activities. |
| DeN | Apart from her coursework she has also taken keen interest in extra-curricular activities. |
| DePeN | Apart from her coursework she has also taken keen interest in social/cultural activities |

### Table 8: Re-generated examples with genre as the sensitive attribute.

| Model | Re-generated |
|-------|---------------|
| Original | I didn’t really get this one, although I liked the example of children dealing with a new sibling. |
| RB | I didn’t really get this one, although I liked the example of readers dealing with a new sibling. |
| WD | I didn’t really like this one, although I liked the story about kids dealing with a new sibling. |
| ADV | I didn’t really get this one, although I liked the example of children dealing with a new sibling. |
| PATR | I didn’t really get this one, though I liked the example of kids dealing with a new brother and sister. |
| DeN | I didn’t really like this one, although I liked the idea of siblings dealing with a new sibling. |
| DePeN | Young Wolf stories that deal with siblings siblings. |
| DePeN | I don’t really like this one, although I liked the story of characters dealing with a new sibling. |