An Iterative 5G Positioning and Synchronization Algorithm in NLOS Environments With Multi-Bounce Paths

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Abstract—5G positioning is a very promising area that presents many opportunities and challenges. Many existing techniques rely on multiple anchor nodes and line-of-sight (LOS) paths, or single reference node and single-bounce non-LOS (NLOS) paths. However, in dense multipath environments, identifying the LOS or single-bounce assumptions is challenging. The multi-bounce paths will make the positioning accuracy deteriorate significantly. We propose a robust 5G positioning algorithm in NLOS multipath environments to mitigate the effects of multi-bounce paths.

Index Terms—5G positioning, non-line-of-sight, weighted least squares, multi-bounce paths.

I. INTRODUCTION

5 G NEW Radio offers great opportunities for accurate localization by introducing large bandwidth, high carrier frequency, and large antenna array. Most of the state-of-the-art localization techniques [1] and 3GPP positioning standards [2] are designed based on multiple base stations (BSs) and line-of-sight (LOS) paths, or single reference node and single bounce non-LOS (NLOS) paths radio propagation. A low complexity, search-free 5G mmWave localization and mapping method that is able to operate using single-bounce diffuse multipath is proposed in [3], where LOS and specular multipath are not required. In [4], the authors propose a localization algorithm for use in NLOS environments with single bounce scattering, based on time-difference-of-arrival (TDOA), the angle-of-departure (AOD), and the angle-of-arrival (AOA). The proposed algorithm uses the underlying geometry of the radio propagation paths to estimate the position of the mobile station. In [5], based on the measured AOD, AOA, and time-of-arrival (TOA), a three-dimensional (3D) least squares (LS) positioning algorithm is proposed assuming a single-bounce reflection in each NLOS propagation path.

However, for 5G positioning in dense multipath environments, the LOS or single bounce assumptions can be invalid. An ultra-wideband (UWB) raw channel impulse response de-convolution method was proposed in [6] to increase the NLOS/LOS classification accuracy. An NLOS error compensation method is proposed in [7] for UWB-based indoor positioning system. The multi-bounce paths will make the positioning accuracy deteriorate significantly. One option is to remove paths directly based on geometric grounds if they are not LOS or single-bounce, using the angle difference between the LOS path and a possible multi-bounce path [8]. Because the channel gains for the multi-bounce paths are much smaller than that of the LOS and single-bounce NLOS paths, a part of previous research ignores the multi-bounce paths or just uses received power to identify these multi-bounce paths [9]. However, the results in [10] show that it could be challenging to distinguish the single-bounce and double-bounce paths from the multi-bounce paths by using the received power only. Furthermore, the study in [11] indicates that some specific spatial shapes and the material of the surface will also influence the identification. It is shown in [12] that multi-bounce paths should be considered in a real environment because the power and the total number of multi-bounce paths occupy a large proportion.

In this letter, we investigate robust positioning techniques to rely these LOS and single-bounce assumptions using the available channel parameters measurements, such as AOD, AOA, TOA, and channel gain [13]. The main contributions are summarized as follows: (i) A method for single BS-based 5G positioning and synchronization in the presence of both single and multi-bounce paths is proposed; (ii) Based on the generalized likelihood ratio test (GLRT) method, we propose an iterative strategy to distinguish single-bounce and multi-bounce paths; (iii) A numerical study on the measurement error distribution is conducted, demonstrating that the proposed algorithm achieves robust localization performance.

II. PROBLEM FORMULATION

We consider a downlink 3D positioning scenario with a single base station (BS) with known location \( p_t = [x_t, y_t, z_t]^T \) and single user equipment (UE) with unknown location \( p_r = [x_r, y_r, z_r]^T \) and clock bias \( \tau_B \). There are several algorithms to compute orientation from inertial measurement units (IMUs) and magnetic-angular rate-gravity (MARG) units. Once the orientation of BS and UE is available, the relative orientation between BS and UE can be obtained via coordinate transformations. As shown in Fig. 1, the complex propagation environment leads to single-bounce NLOS paths (shown in red) and multi-bounds NLOS paths (shown in blue), in addition to a possible LOS path (not shown).

Based on a channel parameter estimation method, we obtain, for each resolvable path \( n \), estimates of the channel gain (amplitude) \( \gamma_n \geq 0 \), the azimuth and elevation angles of...
AOD, denoted by $(\phi_{d,n}, \theta_{d,n})$; the azimuth and elevation angles of AOA, denoted by $(\phi_{a,n}, \theta_{a,n})$, the TOA $\tau_n = d_n/c + \tau_B$, where $d_n$ is the total propagation distance, $c$ is the speed of light, and $\tau_B$ is the unknown clock bias caused by imperfect synchronization between BS and UE. For each path, it is unknown whether it is LOS, single-bounce, or multiple-bounce.

Similar to [4], [5], we make use of the following geometric relations, which hold for LOS and single-double-bounce paths, but not for multi-bounce paths larger than two [3]:

\[ d_n = |p_{d,n} - p_r| + |p_{a,n} - p_{d,n}| + |p_{a,n} - p_r| \] (1a)
\[ \phi_{a,n} = \pi + \arctan(2(y_{a,n} - y_r, x_{a,n} - x_r)) \] (1b)
\[ \theta_{a,n} = \arcsin((z_{a,n} - z_r)/|p_{a,n} - p_r|) \] (1c)
\[ \phi_{d,n} = \arcsin((z_{d,n} - z_r)/|p_{d,n} - p_r|) \] (1d)
\[ \theta_{d,n} = \arcsin((z_{d,n} - z_r)/|p_{d,n} - p_r|) \] (1e)

where $||$ is Euclidean norm. Our goal is to estimate the UE location $p_r$, based on the estimated channel parameters. We tackle the problem based on the methods from [3].

III. PROPOSED METHOD

In order to solve the positioning problem, we first establish identities that hold for each path. Then we describe a method that can estimate the UE position and clock bias from at least 2 path (which should be either LOS or single-bounce paths). Finally, we use both these results to propose our final method.

A. Identities for 5G Positioning and Synchronization

Before describing the proposed method, we first list identities valid for any path $n$, be it LOS, single-bounce, or multi-bounce. We first define

\[
f_{t,n} = \begin{bmatrix} \cos(\hat{\theta}_{d,n}) \cos(\hat{\phi}_{d,n}) \\ \cos(\hat{\theta}_{d,n}) \sin(\hat{\phi}_{d,n}) \end{bmatrix}, \quad \text{(2)}
\]

which points along the AOD of path $n \in \{1,2,\ldots,N\}$; and $f_{r,n}$ is defined equivalently for the AOA, pointing from the UE towards the $n$-th artificial specular point $p_{a,n}$:

\[
f_{r,n} = \begin{bmatrix} \cos(\hat{\theta}_{a,n}) \cos(\hat{\phi}_{a,n}) \\ \cos(\hat{\theta}_{a,n}) \sin(\hat{\phi}_{a,n}) \end{bmatrix}, \quad \text{(3)}
\]

Then, we have the following relations, valid for double bounce, single bounce and direct paths:

\[
\begin{cases}
p_{d,n} = p_t + \xi_{d,n} f_{t,n} \\
p_{a,n} = p_c + \xi_{a,n} f_{r,n} \\
\xi_{d,n} + \xi_{a,n} \leq 1, \quad \text{and} \quad 0 < \xi_{d,n}, \xi_{a,n} < 1
\end{cases}
\] (4)

where $\xi_{d,n}$ and $\xi_{a,n}$ are unknown and represent the fraction of the delay $\tau_n$ that is attributed to the line from BS to the first scatter point $p_{d,n}$ and from UE to the second scatter point $p_{a,n}$. Note that $\xi_{d,n} + \xi_{a,n} = 1$, and $0 < \xi_{d,n}, \xi_{a,n} < 1$ for single bounce paths and the LOS path. We now introduce $e_n = p_{d,n} - p_{a,n}$, then we can express (4) as

\[
p_r = p_t + e_n + (\xi_{d,n} q_{t,n} - \xi_{a,n} q_{r,n}), \quad \forall n
\] (5)

where $q_{t,n} = d_n f_{t,n}$ and $q_{r,n} = d_n f_{r,n}$. For multi-bounce paths, we have $|e_n| > 0$. Because $e_n$ is an unknown variable, the range of the feasible solutions for (5) is unbounded. Therefore, it is challenging to estimate the UE position by solving a set of linear equations using weighted least squares (WLS) methods.

B. WLS-Based 5G Positioning and Synchronization

For LOS and single-bounce cases, we have $e_n = 0$, and $\xi_{a,n} = 0$, then (5) can be simplified as

\[
p_r = p_t + \xi_{d,n} q_{t,n} - (1 - \xi_{d,n}) q_{r,n}.
\] (6)

The UE position can be determined if there are multiple single-bounce paths. Specifically, from (6), we establish

\[
p_r = p_t + \xi_{d,n} f_{t,n} - c(\tau_n - \tau_B) (1 - \xi_{d,n}) f_{r,n} = p_t - c\tau f_{r,n} + \xi_{d,n} x_{t,n} - c\tau_{d,n} f_{p,n} + f_{r,n},
\] (7)

where $\delta_n = p_t - c\tau f_{r,n}$, $u_n = c\tau f_{t,n} + f_{r,n}$. We further rewrite (7) as

\[
[I_3 - u_n - c f_{r,n} \ v_n] \begin{bmatrix} p_r \\ \xi_{d,n} \\ \tau_B \\ \xi_{d,n} \end{bmatrix} = \delta_n,
\] (8)

where $v_n = c(f_{t,n} + f_{r,n})$ and $\tau_{d,n} = \tau_B \xi_{d,n}$. With the estimations of $N$ sets of multipath channel parameters, we can establish $3N$ linear equations with $(4 + 2N)$ unknowns $\mu = [p_T, \xi_{d,1}, \ldots, \xi_{d,N}, \tau_B, \xi_{c,1}, \ldots, \xi_{c,N}]^T$. Therefore, with $N \geq 2$ multipath components, we have $U \in \mathbb{R}^{3N \times 1}$ and $U \in \mathbb{C}^{3N \times (2N+4)}$ is defined as

\[
U = \begin{bmatrix} I_3 & -u_n & -c f_{r,n} & v_n \\ 0 & \vdots & \vdots & \vdots & \vdots & \vdots \\ I_3 & 0 & -u_n & -c f_{r,n} & 0 & v_N \end{bmatrix}
\]

We introduce the block diagonal matrix $W = \text{blkdiag}(w_1 I_3, w_2 I_3, \ldots, w_N I_3) \in \mathbb{R}^{3N \times 3N}$, which accounts for the weight of each path, e.g., based on the error variances or per-path-SNR.

Remark 1 (Weights From Error Variances): Focusing on a single path $n$, where the TOA error variance is $\sigma_{\tau,n}^2$ and the error covariance of $f_{r,n}$ is $R_n$, with tr$(R_n) = \sigma_{f,n}^2$. Then we can set $w_n^{-1} = c^2 \tau_n^2 \sigma_{f,n}^2 + c^2 \sigma_{d,n}^2$, from the covariance of $\delta_n$.  

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Since $\sigma_{f,n}^2$ and $\sigma_{n}^2$ are both inversely proportional to the SNR, $v_n$ is proportional to per-path-SNR.

The variable $\mu$ can then be estimated with a weighted least-squares solution as

$$\hat{\mu} = (U^H W U)^{-1} U^H W \delta,$$  \hspace{1cm} (9)

Note that $W = I_{3N}$ is the conventional LS solution. Finally, the estimated UE position is $\hat{p}_r = \hat{\mu}[1:3]$.

C. WLS With Change Detection

We first order the paths, e.g., based on delay (from smallest to largest), or based on the amplitude (from largest to smallest). Generally speaking, the first two arrival paths are usually LOS or single-bounce paths, because most multi-bounce paths have larger TOA. We thus use the first $k = 2$ paths in (9) to determine an initial estimate, say $p_r^{(1)}$. Similarly, we compute $p_r^{(t)}$ from the first $k + t - 1$ paths, $t = 2, 3, \ldots$, using (9). From these estimates, we compute the instantaneous relative estimation error,

$$\Delta_t = \|p_r^{(t)} - p_r^{(1)}\|,$$ \hspace{1cm} (10)

and constructing the following positioning residual vector (see also Table I)

$$\Delta^{(t)} = [\Delta_1 \Delta_2 \cdots \Delta_t]^T.$$ \hspace{1cm} (11)

From (5), we recall that for multi-bounce paths, $\|e_n\| > 0$, so that for the proposed estimator in (9), as shown in Fig. 2, we expect a larger relative UE estimation error $\Delta_t$ when a multi-bounce path is included for WLS estimation. Since paths later in the ordering are more likely to be multi-bounce,1 we can interpret this as a single-sensor change detection problem with observations $\Delta^{(t)}$.

1) Change Point Detection: Change point detection is an active research area in statistics due to its importance across a wide range of applications. The change point can be modeled as a shift in the means of the observations, which is good for modeling an abrupt change [14]. However, in many applications, the change point may cause a gradual change to the observations, which can be well approximated by a slope change in the means of the observations [15]. Under the hypothesis of no change, the observations are drawn from $\mathcal{N}(\mu, \sigma^2)$, i.e., with a fixed mean $\mu$ and variance $\sigma^2$. When a change occurs at $\kappa$ (the unknown change-point), then the mean of the observations changes linearly from the change-point time $\kappa + 1$, which is given by $\mu + s(t - \kappa)$ for all $t > \kappa$, and the variance remains $\sigma^2$. Here, the unknown rate of change is $s \neq 0$. The above setting can formulate as the following hypothesis testing problem:

$$H_0 : \Delta_i \sim \mathcal{N}(\mu, \sigma^2), \ i \geq 1$$

$$H_1 : \Delta_i \sim \left\{ \begin{array}{ll}
\mathcal{N}(\mu + s(\tau - \kappa), \sigma^2) & i \leq \kappa \\
\mathcal{N}(\mu, \sigma^2) & i > \kappa.
\end{array} \right.$$ \hspace{1cm} (12)

Our goal is now to establish a detection rule that detects as soon as possible after a change point occurs and avoids raising false alarms when there is no change. It can be solved efficiently by the generalized likelihood ratio test (GLRT) method [16]. Since the observations are independent, for an assumed change-point location $\kappa = k$, the log-likelihood for observations up to time $t > k$ is given by [15]

$$l_{k,t,s} = \frac{1}{2\sigma^2} \sum_{i=k+1}^{t} \left[ 2s(\Delta_i - \mu)(i - k) - s^2(i - k)^2 \right].$$ \hspace{1cm} (13)

The unknown rate-of-change $s$ can be replaced by its maximum likelihood estimator. Given the current number of observations $t$ and an assumed change-point location $k$, by setting the derivative of the log-likelihood function to 0, we have [15]

$$\hat{s}_{k,t} = \frac{\sum_{i=k+1}^{t} (i - k)(\Delta_i - \mu)}{\sum_{i=k+1}^{t} (i - k)^2}.$$ \hspace{1cm} (14)

Let $\tau = t - k$ be the number of samples after the change-point $k$ and $U_{k,t} = (A_{\tau})^{-1/2} W_{k,t}$, where $A_{\tau} = \sum_{i=1}^{t} I_{\tau}^2$ and $W_{k,t} = \sum_{i=k+1}^{t} (i - k)(\Delta_i - \mu)/\sigma^2$. Substitution of (14) into (13), we obtain the following GLRT procedure

$$t^* = \inf \left\{ t : \max_{0 \leq k < t} \left[ U_{k,t}^2 / 2 \right] \geq h \right\},$$ \hspace{1cm} (15)

where $h$ is a prescribed threshold. Since the distribution of $\Delta_i$ under $H_0$ is known or can be estimated from the measure, $h$ can be chosen based on the desired false alarm probability.

2) Final Method: At each iteration $t$, the slope change detection technique introduced in Section III-C, is utilized on $\Delta^{(t)}$ to find the first abrupt change. Stop until the first abrupt change point is detected or reaches the maximum iteration number $N - 1$. The proposed algorithm is summarized in Algorithm 1.

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### Table I

| Step | Number of paths | Estimated $p_r$ | Relative estimation error |
|------|-----------------|-----------------|---------------------------|
| 1    | 2               | $p_r^{(1)}$     | 0                         |
| 2    | 3               | $p_r^{(2)}$     | $\Delta_1 = \|p_r^{(2)} - p_r^{(1)}\|$ |
| 3    | 4               | $p_r^{(3)}$     | $\Delta_2 = \|p_r^{(3)} - p_r^{(1)}\|$ |

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1This statement will be corroborated in the numerical results.
Algorithm 1 5G Positioning and Synchronization Algorithm

Input: \( N \geq 2 \) sets of channel parameters \( \{\tau_j \mid \tau_j < \tau_{j+1}\} \)

1: if \( N = 2 \) then
2: Estimate \( p_r \) from the \( k = 2 \) paths using (9).
3: else
4: Estimate \( \mu_r^{(1)} \) from the first \( k = 2 \) paths by (9).
5: \( t = 1 \).
6: while \( t < N - k + 1 \) do
7: Estimate \( \mu_r^{(t)} \) from the first \( k + t \) paths by (9).
8: Construct \( A^{(t)} \) using (10)–(11).
9: Estimate \( t^* \) using (15).
10: if \( \exists t^* \) then
11: Estimate \( p_r \) using the selected \( t^* \) paths.
12: Break.
13: else
14: \( t = t + 1 \)
15: end if
16: end while
17: if \( \not\exists t^* \) then
18: Estimate \( p_r \) using all the paths.
19: end if
20: end if

IV. NUMERICAL Results

In this section, we evaluate the performance of the proposed method based on realistic ray-tracing data.

A. Simulation Scenario

In the following simulations, 3D Wireless Prediction Software Wireless InSite is utilized to generate the channel measurements [17]. The BS is located at \( p_B = [621, 447, 30]^T \), and 10 different UE positions are considered, for the \( i \)th UE position

\[
p_i = [600, 499 + i, 1.5]^T, \text{ where } i = 1, 2, \ldots, 10. \tag{16}
\]

The clock bias is set to \( \tau_B = 330 \) ns. Gaussian noises are added to the path parameters, \( \mathcal{N}(0, \sigma_n) \) for AOA and AOD measurements, and \( \mathcal{N}(0, \sigma_t) \) for TOA measurements. As shown in Fig. 3, a complex urban and mixed path environment is considered. Based on this environment, the ray-tracer determines all feasible NLOS propagation paths and returns their channel gain \( \gamma_n \geq 0 \), AOA \( (\phi_{d,n}, \theta_{d,n}) \), AOD \( (\phi_{a,n}, \theta_{a,n}) \), and propagation distance \( d_n \). Table II shows the bias and standard deviation (std) of the estimated channel parameters using [18]. The results are obtained by averaging over all the paths. It is interesting to observe that all the paths are resolvable for the given setup. Because the paths can be distinguished from 5 different dimensions, even though some of the paths may have similar angles or TOAs (as shown in Fig. 4).

As our focus is on mitigating the effect of multi-bounce paths, we do not limit the measurement resolution and assume that we have enough distance and angular resolutions to resolve the dominant paths. Different levels of measurement error are added, as will be explained shortly.

The performance of the method is evaluated in terms of two performance metrics: positioning root-mean-square error (RMSE) \( \text{RMSE}_{p_r} = \sqrt{\frac{1}{K} \sum_{k=1}^{K} \| \hat{p}_{r,k} - p_r \|^2} \) and clock bias RMSE \( \text{RMSE}_{\tau_B} = \sqrt{\frac{1}{K} \sum_{k=1}^{K} (\hat{\tau}_{B,k} - \tau_B)^2} \), where \( K = 500 \) is the number of independent runs, \( \hat{p}_{r,k} \) and \( \hat{\tau}_{B,k} \) are the estimated UE position and clock bias for the \( k \)th trial, respectively. We set the weights as \( w_n = \gamma_n / (\sum_n \gamma_n) \). As a benchmark, the proposed method is compared by using all the paths (which we expect will degrade performance) and only using the single bounce paths (which is an optimistic performance bound).

B. Results and Discussion

Fig. 4 shows, for each UE position, the amplitude of the paths as a function of delay for the different UE locations. LOS, single and multiple bounce paths are observable as shown by the different colors. We observe that LOS paths arrive first and have the largest power. Generally, single-bounce paths arrive before multi-bounce paths and have a larger power. However, there are cases where multi-bounce paths arrive with greater power than single-bounce paths.

The performance is evaluated by considering 10 different scenarios as shown in Fig. 4, as well as considering different AOA, AOD and TOA measurement errors. The positioning and synchronization performance is shown in Fig. 5 and Fig. 6, respectively, as a function of the AOA and AOD error standard deviation, for different levels of TOA standard

| Table II |
|-----------------------------------------------|
| **URA** | 8x8 (bias, std) | 16x16 (bias, std) | 32x32 (bias, std) |
| AOA (rad) | (0.0139, 0.4332) | (0.0078, 0.1534) | (0.0013, 0.0935) |
| AOD (rad) | (0.0535, 0.4332) | (0.0125, 0.1697) | (0.0055, 0.0950) |
| Distance (m) | (0.8599, 0.9568) | (0.5086, 0.4758) | (0.0469, 0.3042) |
| Channel Gain | (0.6052, 0.7951) | (0.7053, 0.5095) | (0.0009, 0.0574) |

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deviation (expressed in meters). The positioning and probability $p(\hat{\kappa} \leq \kappa)$ performance is shown in Fig. 7, as a function of threshold $h$. The position RMSE is increasing with larger $h$, therefore smaller threshold is preferred.

It can be observed that sub-meter accuracy is achievable when the angle error standard deviation is small (below 0.01 rad) and the TOA error standard deviation is around 0.1 m. The proposed method performs robustly, even in the presence of multi-bounce paths, attaining the performance of using only single-bounce paths. With the increase of AOA and AOD measurement errors, positioning RMSE of all methods increases, but is still better than that of using all paths. This shows that the proposed method can distinguish single- and multi-bounce paths in multipath environments and can control errors to a small level. In terms of clock bias estimation performance, similar conclusions can be drawn.

V. Conclusion

We propose a robust algorithm to mitigate the effect of multi-bounce paths, based on a combination of weighted least squares and a change detection approach. Numerical results are provided to evaluate the performance of the algorithm, and the results show that it can greatly improve positioning accuracy. One of the assumptions we made is that the first two arrival paths are single bounce paths, which may not always be true. Possible avenues of future work include improvements in the algorithm as well as evaluation using real experimental data.

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