Simeprevir with peginterferon α-2a/ribavirin for chronic hepatitis C virus genotype 1 infection in treatment-experienced patients: an open-label, rollover study

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Abstract

**Background:** This Phase 3, open-label, rollover study (NCT01323244) investigated the efficacy and safety of simeprevir plus peginterferon α-2a (PegIFNα-2a) and ribavirin (RBV) in a well-characterized population of HCV genotype 1 (GT1)-infected treatment-experienced patients.

**Methods:** Patients who had failed PegIFNα/RBV treatment in the placebo arm of a previous Phase 2/3 simeprevir study (Phase 2/3 group, \(n=125\)), or had been exposed to HCV direct-acting antivirals (simeprevir or other) for up to 14 days in a selected Phase 1 study (Phase 1 group, \(n=16\)), were eligible. Phase 2/3 group patients were classified according to prior relapse, breakthrough, or non-response (null response, partial response, non-classifiable non-response) to PegIFNα/RBV. Eight patients in the Phase 1 group received short-term (≤14 days) simeprevir.

Treatment comprised simeprevir 150 mg once daily (QD) plus PegIFNα-2a/RBV for 12 weeks followed by PegIFNα/RBV for 12 or 36 weeks (using response-guided therapy [RGT] to determine total treatment duration in Phase 2/3 prior relapers or breakthrough) or 36 weeks fixed (Phase 2/3 group non-responders and Phase 1 group). The primary endpoint was sustained virologic response 12 weeks after planned end of treatment (SVR12).

**Results:** Phase 2/3 group: SVR12 rate was 69.6% (87/125) overall; 92.7% (51/55), 60.0% (6/10), 64.3% (18/28), and 36.7% (11/30) in patients with prior relapse, viral breakthrough, partial response, or null response, respectively. SVR12 rates were similar for patients with HCV GT1a (66.0% [33/50]) and GT1b infection (72.0% [54/75]) and among HCV GT1a-infected patients with/without a baseline Q80K polymorphism (66.7% [8/12] and 65.8% [25/38], respectively). The majority of RGT-eligible patients (prior viral relapse or breakthrough) met RGT criteria (89.2% [58/65]); of these, 89.7% (52/58) achieved SVR12. Overall, 16.0% (20/125) of patients experienced on-treatment failure and 14.4% (18/125) experienced post-treatment failure (15 relapses, 3 missing data). Phase 1 group (simeprevir-naïve and -experienced patients combined): SVR12 rate was 37.5% (6/16). Safety and tolerability findings were comparable to those of the feeder studies.

**Conclusions:** The majority of RGT-eligible patients met criteria for shortening treatment to 24 weeks in total. Simeprevir 150 mg QD with PegIFNα-2a/RBV led to a high SVR rate among prior relapers with HCV GT1 infection. No new safety signals were noted.

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Background

Until 2011, the standard of care for chronic hepatitis C virus (HCV) was peginterferon-α (PegIFNα) with ribavirin (RBV). This combination led to sustained virologic response (SVR) rates of 38–66% in treatment-naïve patients infected with HCV genotype 1 (GT1) [1, 2], 14% in patients who had relapsed after PegIFN-based therapy [3], and 9% in prior non-responders [3]. This suboptimal efficacy against HCV GT1 infection, together with long treatment durations (typically 48 weeks) and high rates of treatment discontinuations and dose reductions due to adverse events (AEs), made PegIFNα/RBV an unsatisfactory regimen [4, 5].

Direct-acting antiviral agents (DAAs) have significantly improved treatment options for chronic HCV infection; firstly, in combination with PegIFN and RBV and, more recently, as IFN-free DAA combination regimens. Simeprevir, an oral, once-daily (QD) HCV NS3/4A protease inhibitor with antiviral activity against HCV GT 1, 2, 4, 5, and 6 [6–9], is approved in combination with PegIFN and RBV for chronic HCV GT1 and GT4 infection with or without human immunodeficiency virus (HIV) co-infection in the USA and European Union (EU). Simeprevir is also approved as part of an IFN-free combination with sofosbuvir (a QD pangenotypic HCV polymerase inhibitor) for HCV GT1 infection in the USA, and GT1, GT4, and HCV/HIV co-infection in the EU [10, 11].

Prior to the availability of IFN-free therapies, in two Phase 2 studies, SVR rates of 81–86% and 67–80%, respectively, were achieved in treatment-naïve and treatment-experienced patients (prior non-responders, partial responders, and relapsers to therapy with PegIFN/RBV) with HCV GT1 infection treated with simeprevir plus PegIFNα/RBV (PILLAR [6] and ASPIRE [9]). In three Phase 3 studies in HCV GT1-infected patients, simeprevir for 12 weeks combined with 24 or 48 weeks of PegIFNα/RBV achieved significantly higher SVR rates compared with 48 weeks of PegIFNα/RBV (treatment naïve: 80% vs. 50% in QUEST-1 [12] and 81% vs. 50% in QUEST-2 [13]; prior PegIFN relapers: 79% vs. 36% in PROMISE [14]). Furthermore, nearly 90% of patients met response-guided treatment (RGT) criteria and were eligible to shorten the duration of PegIFNα/RBV treatment to 24 weeks.

Here we report the results of a Phase 3 study that provided access to simeprevir in combination with PegIFNα-2a/RBV to HCV GT1-infected patients who had failed PegIFNα/RBV in the placebo arm of a Phase 2/3 simeprevir study (Phase 2/3 group: did not achieve undetectable HCV RNA levels at the end of treatment [EOT] or had relapsed [confirmed detectable HCV RNA] within 1 year after EOT) OR had been exposed to a DAA for up to 14 days in a selected Phase 1 study (Phase 1 group) were enrolled. All patients were required to have completed the last study-related assessment in their previous study.

Methods

Patients

Patients with HCV GT1 infection who had failed PegIFNα/RBV in the placebo arm of a Phase 2/3 simeprevir study (Phase 2/3 group: did not achieve undetectable HCV RNA levels at the end of treatment [EOT] or had relapsed [confirmed detectable HCV RNA] within 1 year after EOT) OR had been exposed to a DAA for up to 14 days in a selected Phase 1 study (Phase 1 group) were enrolled. All patients were required to have completed the last study-related assessment in their previous study.

Patients in the Phase 2/3 group were recruited from two Phase 2 studies (ASPIRE [NCT00980330] [9] and PILLAR [NCT00882908] [6]) and from three Phase 3 studies (QUEST-1 [NCT01289782] [12], QUEST-2 [NCT01290679] [13], and PROMISE [NCT01281839] [14]).

Patients in the Phase 2/3 group were classified according to prior viral relapse, viral breakthrough, or non-response (i.e., null response, partial response, or non-classifiable non-response) based on their response to PegIFNα-2b/RBV in the feeder study.

Patients in the Phase 1 group were recruited from the TMC649128HPC1002 Phase 1 study (NCT01391117; data on file), in which patients had received an investigational nucleoside analogue NS5B inhibitor TMC649128 for 10 or 14 days, and from the TMC647055HPC1001 study (NCT01202825 [Cohort 8]), in which patients received the combination of simeprevir with an investigational non-nucleoside analogue NS5B inhibitor TMC647055 for 10 days [15].

Keywords: Chronic hepatitis C, Direct-acting antiviral therapy, Peginterferon, Ribavirin, Safety, Simeprevir, Sustained virologic response
Key exclusion criteria included: hepatic decompensation, newly diagnosed liver disease of non-HCV etiology, hepatocellular carcinoma, co-infection with HCV non-GT1, HIV, or hepatitis B virus (hepatitis B surface antigen positive), any medical condition that contraindicated the use of PegIFNα or RBV, history of malignancy within 5 years of the screening visit, and previous treatment with any HCV DAA therapy, other than short-term (<14 days) DAA treatment in the Phase 1 study. All patients provided written informed consent.

Study design
This was a Phase 3, open-label, multicenter study (ClinicalTrials.gov number: NCT01323244). The study protocol and amendment(s) were reviewed by the Independent Ethics Committees and Institutional Review Boards at each study center. The study was conducted in accordance with the Declaration of Helsinki, and was consistent with Good Clinical Practice and applicable regulatory requirements.

The study comprised a screening period (≤6 weeks), a 24- or 48-week treatment period, and a follow-up period of 24 weeks after the planned EOT. Patients in the Phase 2/3 group with previous relapse or breakthrough received simeprevir (150 mg QD, orally) for 12 weeks in combination with PegIFNα-2a (180 μg/week, subcutaneously) and RBV (1000 mg/day or 1200 mg/day, orally, depending on body weight [<75 kg or ≥75 kg, respectively]) followed by PegIFNα-2a/RBV for 12 or 36 weeks (Fig. 1a). The criteria for RGT were modified during the course of the study. For patients enrolled under the initial study protocol or an early amendment, treatment was stopped at Week 24 when HCV RNA was <25 IU/ml (detectable or undetectable) at Week 4 and <25 IU/ml undetectable at Week 12. For patients enrolled under a later amendment, treatment was stopped at Week 24 if HCV RNA was <25 IU/ml (undetectable) at Week 4.

Patients in the Phase 2/3 group who were classified as non-responders, and those in the Phase 1 group, received simeprevir 150 mg plus PegIFNα-2a/RBV for 12 weeks, followed by 36 weeks of PegIFNα-2a/RBV (Fig. 1b).

Dose adjustments or treatment interruptions were permitted for PegIFNα-2a/RBV in response to AEs or tolerability issues; no dose adjustments of simeprevir were permitted. A single interruption (≤4 days) in simeprevir treatment was allowed in response to AEs.

In accordance with the virologic stopping rules, all study medication was discontinued if HCV RNA plasma level was >1000 IU/ml at Week 4 or 12, or when HCV RNA was confirmed detectable at Week 24 or 36 (initial study protocol). Patients enrolled under a later protocol amendment permanently discontinued all study medication when HCV RNA was ≥25 IU/ml at Week 4 or when HCV RNA was confirmed detectable at Week 12, 24, or 36.

Study endpoints
The primary efficacy endpoint was SVR12 (defined as HCV RNA <25 IU/ml undetectable at the actual EOT and HCV RNA <25 IU/ml detectable or undetectable 12 weeks after the planned EOT).

Secondary endpoints included SVR 24 weeks after the planned EOT (SVR24); undetectable HCV RNA (<25 IU/ml undetectable) and HCV RNA <25 IU/ml detectable or undetectable at Weeks 4, 12, 24, 36, and 48; on-treatment failure (HCV RNA <25 IU/ml detectable or ≥25 IU/ml at EOT); viral breakthrough (>1 log_{10} IU/ml increase in HCV RNA from the lowest level reached, or HCV RNA >100 IU/ml when previously <25 IU/ml); viral relapse (undetectable HCV RNA at EOT and HCV RNA ≥25 IU/ml during follow-up); normalized alanine aminotransferase (ALT) at EOT and SVR time points; and AEs and laboratory abnormalities.

Assessments
Blood samples for the determination of HCV RNA were taken at screening, Days 1, 7, 14, and 28, every 4 weeks thereafter until Week 24, at Weeks 36 and 48 for those continuing treatment until Week 48, and at Weeks 4, 12, and 24 during follow-up. In patients who discontinued study medication early, HCV RNA measurements were obtained at the time of withdrawal, 4 weeks after withdrawal, and every 12 weeks until Week 72. HCV RNA was measured using the Roche COBAS® TaqMan® HCV assay (version 2.0 for use with the High Pure System, Pleasanton, CA, USA; lower limit of quantification 25 IU/ml and limit of detection 15 IU/ml).

Standard population sequencing to assess for simeprevir resistance-associated substitutions in the HCV NS3/4A protease domain was performed at baseline for all patients and post-baseline for patients not achieving SVR. If the interleukin-28b (IL28B; single nucleotide polymorphism rs12979860) status of the patient was unknown at the start of the study, IL28B genotyping was performed on blood samples obtained at screening.

Liver biopsy was required within 3 years prior to screening unless the patient had a contraindication to biopsy. In such cases, noninvasive assessment with Fibroscan or MR-Elastography was permitted. If a liver biopsy, or alternative non-invasive assessment, was not available prior to screening, the liver biopsy, or alternative non-invasive assessment had to be performed during the screening period.
Safety evaluations included AE reporting, clinical laboratory tests, vital sign measurements, and physical examinations. Laboratory abnormalities were classified by severity according to the World Health Organization grading scale (Grade 1: Mild; Grade 2: Moderate; Grade 3: Severe; Grade 4: Potentially life threatening) [16].

Statistical analysis
Statistical analyses were performed using SAS® version 9.2 (SAS Institute Inc., Cary, NC, USA). All analyses were performed on the intent-to-treat (ITT) population (all patients who received at least one dose of simeprevir). No formal sample size determination was performed. It was calculated that the placebo groups in the previous Phase 2/3 studies could potentially provide 539 patients. Assuming that 50% of patients from the Phase 2/3 studies would fail treatment for virologic reasons, it was estimated that 270 patients would roll over into the current study. With this sample size, and an expected response rate of 50%, the width of the 95% confidence interval (CI) around the anticipated response was 11.9%. For a sample size of 135 patients, the width of the 95% CI was 16.9%. HCV GT1-infected patients who had received short-term (≤14 days) DAA therapy in the Phase 1 study were also considered for inclusion.

Data from the Phase 2/3 group were analyzed overall and by subgroup according to treatment response in the feeder study. Safety and tolerability were evaluated for the overall population.

The 95% CI was calculated for the proportion of patients achieving SVR12 based on the normal approximation. In addition, a logistic regression model was used to determine the proportion of patients achieving SVR12 and 95% CI in the Phase 2/3 group. This model was adjusted for baseline HCV RNA level, IL28B genotype (CC, CT, TT), HCV geno/subtype (1a/other, 1b) and response to prior PegIFN/RBV treatment.

For all other response parameters in the Phase 2/3 group, 95% CIs were constructed around the observed values and the same logistic regression model as applied for the primary efficacy parameter was used. The time to achieve undetectable HCV RNA was estimated using Kaplan-Meier plots. Descriptive statistics were calculated for the change in log_{10} HCV RNA levels from baseline at all time points.

For the Phase 2/3 group, subgroup analyses were performed based on baseline HCV RNA levels, early viral response criteria, and baseline characteristics of race and age to investigate the possible effect on SVR12. In addition, a multivariate model applying cubic spline functions for continuous factors was fitted on the SVR12 data exploring the combined effect of several factors. Subgroup analyses were also performed based on the IL28B genotype and response in the feeder study.

Due to the limited number of patients in the Phase 1 group, data were assessed descriptively.

Results
Patients
The study was conducted at 75 centers in 22 countries between December 5, 2011 and March 31, 2015. Baseline demographic and clinical characteristics are summarized in Table 1. In both groups, the majority of patients were male (80/125 [64.0%] and 13/16 [81.3%] in the
Phase 2/3 and Phase 1 groups, respectively) and the median age was 52.0 years (51.0 [range: 22–72] and 52.5 [range: 33–65] years in the Phase 2/3 and Phase 1 groups, respectively). Phase 2/3 and Phase 1 groups were combined for the safety analysis.

**Phase 2/3 group**

In total, 125 patients in the Phase 2/3 group received simeprevir treatment in the current study and represented the ITT population. The study was completed by 92.0% (115/125) of patients; the main reasons for study discontinuation were withdrawal of consent and lost to follow-up (both 4.0%). Thirteen patients discontinued simeprevir early (2.4% [3/125] due to AEs [including 2 patients who also stopped RBV and PegIFN-2a due to an AE], 1.6% [2/125] due to withdrawal of consent, and 6.4% [8/125] due to reaching a virologic endpoint). In total, 79.2% (99/125) completed treatment with PegIFN-2a and/or RBV (24 or 48 weeks). Median simeprevir treatment duration was 12.0 weeks. Median PegIFN-2a/RBV treatment duration was 24.1 weeks.

Categorization according to prior treatment response was as follows: viral relapsers (44.0%; 55/125), viral breakthrough (8.0%; 10/125), non-responder (48.0% [60/125]: null response, 24.0% [30/125]; partial response, 22.4% [28/125]; non-classifiable non-responder, 1.6% [2/125]).

The proportion of patients with baseline NS3 polymorphisms was 29.6% [37/125]. NS3 polymorphism Q80K was observed in 24.0% (12/50) of HCV GT1a-infected patients. None of the HCV GT1b-infected patients had a Q80K polymorphism. NS3 polymorphisms reducing simeprevir activity in vitro -- other than the Q80K polymorphism -- were observed in 1 patient carrying D168E at baseline.

**Phase 1 group**

Sixteen patients in the Phase 1 group received simeprevir treatment in the current study and represented the Phase 1 ITT population. The study was completed by 75.0% (12/16) of patients; the main reasons for study discontinuation were withdrawal of consent (18.8%) and...
lost to follow-up (6.3%). One patient discontinued simeprevir early due to withdrawal of consent (6.3% [1/16]). In total, 56.3% (9/16) of patients in the Phase 1 group completed treatment with PegIFNα-2a and/or RBV (24 or 48 weeks). Median simeprevir treatment duration was 12.1 weeks. Median PegIFNα-2a/RBV treatment duration was 48.1 weeks.

Fifty-percent of patients (8/16) were simeprevir treatment-naïve and 50.0% (8/16) were simeprevir treatment-experienced. Of the 8 patients who had not been previously exposed to simeprevir in a Phase 1 study, 6 were prior non-responders to PegIFN/RBV treatment, 1 was a prior relapser to PegIFN/RBV, and 1 was treatment-naïve.

The proportion of patients with baseline NS3 polymorphisms was 50.0% (8/16). NS3 polymorphism Q80K was observed in 21.4% (3/14) of HCV GT1a-infected patients. None of the HCV GT1b-infected patients had a Q80K polymorphism. Among the 8 patients in the Phase 1 group with prior short-term exposure to simeprevir, 6 had an emerging R155K mutation that was still detected in 2 patients at baseline in the current study.

Efficacy

**Phase 2/3 group**

**Sustained virologic response** The SVR12 rate was 69.6% (87/125; 95% CI 61.5–77.7). When patients were classified according to prior PegIFN/RBV response, the highest SVR12 rate was seen for prior relapers (92.7% [51/55]). The SVR12 rate for prior viral breakthrough patients was 60.0% (6/10). For prior non-responders – including partial responders, null responders, and non-classifiable non-responders – SVR12 rates were 64.3% (18/28), 36.7% (11/30), and 50.0% (1/2), respectively. In the Phase 2/3 group, RGT criteria were met by the majority (89.2% [58/65]) of RGT-eligible patients. Of these, 89.7% (52/58) achieved SVR12.

All patients achieving SVR12 also achieved SVR24; 94.6% (87/92) of patients achieving SVR4 achieved SVR12. Considering both groups of prior non-responder patients together (i.e., patients with prior relapse [RGT eligible] and prior non responders [non RGT eligible]), the SVR12 rate was 81.1% (73/90) in patients with rapid virologic response (RVR, HCV RNA <25 IU/ml undetectable at Week 4).

SVR12 rates according to baseline characteristics are summarized in Table 2. SVR12 rates were similar for patients with HCV GT1a (66.0% [33/50]) and HCV GT1b (72.0% [54/75]) in the Phase 2/3 group. HCV GT1a-infected patients with and without a baseline Q80K polymorphism had SVR12 rates of 66.7% (8/12) and 65.8% (25/38), respectively. The HCV GT1b-infected patient with a D168E at baseline achieved SVR12.

**Table 2 SVR12 according to patient baseline characteristics (ITT population)**

| n/N (%)                  | Phase 2/3 group (N = 125) | Phase 1 group (N = 16)* |
|--------------------------|---------------------------|-------------------------|
| HCV geno/subtype         |                           |                         |
| 1a                       | 33/50 (66.0)              | 5/14 (35.7)             |
| with baseline Q80K       | 8/12 (66.7)               | 2/3 (66.7)              |
| without baseline Q80K    | 25/38 (65.8)              | 3/11 (27.3)             |
| 1b                       | 54/75 (72.0)              | 1/2 (50.0)              |
| IL28B                    |                           |                         |
| CC                       | 17/20 (85.0)              | 1/1 (100.0)             |
| CT                       | 58/87 (66.7)              | 3/9 (33.3)              |
| TT                       | 12/18 (66.7)              | 2/6 (33.3)              |
| HOMA-IR                  |                           |                         |
| <2                       | 39/46 (84.8)              | 2/4 (50.0)              |
| ≥2 to ≤4                 | 25/43 (58.1)              | 3/7 (42.9)              |
| >4                       | 20/31 (64.5)              | 0/3                     |
| METAVIR fibrosis score   |                           |                         |
| F0–F2                    | 64/85 (75.3)              | 5/13 (38.5)             |
| F3–F4                    | 23/40 (57.5)              | 1/3 (33.3)              |
| F3                       | 11/18 (61.1)              | 1/3 (33.3)              |
| F4                       | 12/22 (54.5)              | 0                       |

*Due to small patient numbers, these data should be interpreted with caution.

In the multivariate analysis that considered all factors, baseline homeostatic model assessment of insulin resistance, IL28B interleukin-28b, HOMA-IR intent-to-treat, SVR12 sustained virologic response 12 weeks after planned end of treatment

In the multivariate analysis that considered all factors, baseline homeostatic model assessment of insulin resistance (HOMA-IR), response to prior PegIFN/RBV treatment, complete early virologic response (<25 IU/ml undetectable at Week 12), and HCV RNA <25 IU/ml at Week 4 were the characteristics identified as having a statistically significant effect on the probability of achieving SVR12 (see Additional file 1: Table S1).

Overall, and as expected, the SVR12 rate was higher for patients with IL28B genotype CC (vs. CT or TT), METAVIR F0–F2 (vs. F3–F4), and for patients with HOMA-IR <2 (vs. HOMA-IR ≥2 to ≤4 or >4) (Table 2).

**On-treatment virologic response** During the first 4 weeks of treatment, an initial rapid reduction in plasma HCV RNA was evident (Table 3). RVR was achieved in 72.0% (90/125) of patients.

**Virologic breakthrough, viral relapse, or treatment failure** Viral breakthrough was noted in 9.6% (12/125) of patients in the Phase 2/3 group (of which 10/12 patients had been PegIFN/RBV null responders in the feeder study). None of the patients with viral breakthrough achieved SVR12. All but 1 of the patients with viral breakthrough met a virologic stopping rule; these
comprised 7 patients at Week 4, and 4 patients at Weeks 12, 24, or 36. The proportion of patients with on-treatment failure was 16.0% (20/125) (Table 4).

Post-treatment failure was observed in 14.4% (18/125) of patients, with the majority of patients experiencing viral relapse (12.0% [15/125]). Missing data at the SVR12 assessment time point was the reason for post-treatment failure in 2.4% (3/125) patients. Fifteen of 104 patients (14.4%) with undetectable HCV RNA at EOT had a viral relapse. In all patients with virologic failure, available sequencing data did not suggest any cases of reinfection.

Table 3 On-treatment virologic response for all patients (ITT population)

| n/N (%) | Phase 2/3 group (N = 125) | Phase 1 group (N = 16) |
|---------|---------------------------|------------------------|
| Week 2  | 30/125 (24.0)             | 1/15 (6.7)             |
| <25 IU/ml undetectable          | 99/125 (79.2)            | 5/15 (33.3)            |
| Week 4 | 90/125 (72.0)             | 7/15 (46.7)            |
| <25 IU/ml undetectable (RVR)    | 112/125 (89.6)           | 15/15 (100.0)          |
| Week 12 | 108/114 (94.7)           | 13/15 (86.7)           |
| <25 IU/ml undetectable (cEVR)   | 113/114 (99.1)           | 14/15 (93.3)           |
| Week 24 | 102/105 (97.1)           | 12/13 (92.3)           |
| <25 IU/ml undetectable          | 104/105 (99.0)           | 13/13 (100.0)          |
| Week 36 | 38/44 (86.4)             | 10/11 (90.9)           |
| <25 IU/ml undetectable (cEVR)   | 41/44 (93.2)             | 11/10 (100.0)          |
| Week 48 | 36/39 (92.3)             | 9/9 (100.0)            |
| <25 IU/ml undetectable          | 37/39 (94.9)             | 9/9 (100.0)            |
| EOT      | 105/125 (84.0)           | 13/15 (86.7)           |
| <25 IU/ml undetectable (EOTR)   | 109/125 (87.2)           | 14/15 (93.3)           |

EOTR end of treatment response, ITT intent-to-treat, RVR rapid virologic response

Post-treatment failure was observed in 14.4% (18/125) of patients, with the majority of patients experiencing viral relapse (12.0% [15/125]). Missing data at the SVR12 assessment time point was the reason for post-treatment failure in 2.4% (3/125) patients. Fifteen of 104 patients (14.4%) with undetectable HCV RNA at EOT had a viral relapse. In all patients with virologic failure, available sequencing data did not suggest any cases of reinfection.

Twenty-seven of 33 patients (81.8%) with treatment failure and available sequencing data had emerging substitutions at NS3 amino acid positions 80, 122, 155, and/or 168 at the time of failure (Table 5).

**Normalization of ALT levels** The majority of patients (71.2% [42/59]) had normalization of their ALT during treatment. Median time to normalization of ALT was 8.0 (95% CI 2.0–24.1) weeks.

**Phase 1 group**

Sustained virologic response The SVR12 rate was 37.5% (6/16; 95% CI 13.8–61.2), and no difference in SVR12 rate was observed between simprevir-naive and experienced patients (both 3/8 [37.5%]) [Fig. 2]. All patients who achieved SVR4 (37.5% [6/16]) also achieved SVR12 and SVR24. Among patients with RVR, the SVR12 rate was 42.9% (3/7).

Two of the 3 HCV GT1a-infected patients with Q80K polymorphism at baseline achieved SVR12 (Table 2). One of the 2 HCV GT1a-infected patients with an R155K at baseline achieved SVR12. Of note, the R155K was found emerging in both patients during previous short-term exposure to simprevir in the Phase 1 study, and was still present at baseline of the current study.

The SVR12 rate was higher for patients with **IL28B** genotype CC (vs. CT or TT), METAVIR F0–F2 (vs. F3–F4), and for patients with HOMA-IR <2 (vs. HOMA-IR ≥2 to ≤4 or >4) (Table 2).
On-treatment virologic response During the first 4 weeks of treatment, an initial rapid reduction in plasma HCV RNA was evident (Table 3). RVR was achieved in 7/15 (46.7%) patients.

Virologic breakthrough, viral relapse, or treatment failure Three patients experienced on-treatment failure (3/16 [18.8%]), none of whom achieved SVR12 (Table 4). One of 15 patients (6.7%) experienced viral breakthrough and did not achieve SVR12; this patient met the virologic stopping rule at Week 12.

Post-treatment failure was observed in 7/16 (43.8%) patients, with the majority of patients experiencing viral relapse (5/16 [31.3%]). Missing data at the SVR12 assessment time point was the reason for post-treatment failure in 2/16 (12.5%) of patients. Five of 12 patients (41.7%) with undetectable HCV RNA at EOT had a viral relapse. In all patients with virologic failure, available sequencing data did not suggest any cases of reinfection.

Seven of 8 patients (87.5%) with treatment failure and sequencing data available had emerging substitutions at NS3 amino acid positions 80, 122, 155, and/or 168 at the time of failure (Table 5).

Normalization of ALT levels The majority of patients (5/6 [83.3%]) had normalization of their ALT during treatment. Median time to normalization of ALT was 24.1 (95% CI 2.1–36.1) weeks.

Safety The majority (90.8%) of patients experienced at least one AE during the simeprevir plus PegIFNα-2a/RBV treatment phase. The most common AEs (>20% of patients) were fatigue (32.6%), neutropenia (22.7%), and influenza-like illness (22.0%). The majority of AEs were Grade 1 or 2 in severity; Grade 3 or 4 AEs were reported in 29.1% of patients and were considered at least possibly related

### Table 5 Patients with emerging mutations\(^a\) in patients with failure,\(^b\) by baseline Q80K polymorphism (ITT population)

| n/N (%) | Phase 2/3 group (N = 125) | Phase 1 group (N = 16) |
|---------|---------------------------|------------------------|
| Failure | 38 | 10 |
| Sequecing data | | |
| Available\(^c\) | 33/38 | 8/10 |
| Not available | 5/38 | 2/10 |
| Emerging mutations at failure | | |
| Without Q80K at baseline | 24/33 (72.7) | 7/8 (87.5) |
| D168V | 9/33 (27.3) | 4/8 (50.0) |
| Q80L + R155K | 2/33 (6.1) | 0/8 |
| D168E/V + F169I | 1/33 (3.0) | 0/8 |
| Q80L + D168V | 1/33 (3.0) | 0/8 |
| Q80L + I132L + R155K | 1/33 (3.0) | 0/8 |
| Q80R | 1/33 (3.0) | 0/8 |
| Q80R + D168E | 1/33 (3.0) | 0/8 |
| Q80R + D168E/V | 1/33 (3.0) | 0/8 |
| Q80R + R155K | 1/33 (3.0) | 0/8 |
| R155K | 1/33 (3.0) | 0/8 |
| R155K + D168A/N/T | 1/33 (3.0) | 0/8 |
| R155K + D168E/V + I170V | 1/33 (3.0) | 0/8 |
| R155K + N174K | 1/33 (3.0) | 0/8 |
| R155K + N174S | 1/33 (3.0) | 0/8 |
| D168A/V + I170V | 0/33 | 1/8 (12.5) |
| Q80K | 0/33 | 1/8 (12.5) |
| S122R + R155K | 0/33 | 1/8 (12.5) |
| With Q80K at baseline | 3/33 (9.1) | 0/8 |
| R155K | 2/33 (6.1) | 0/8 |
| D168E | 1/33 (3.0) | 0/8 |
| No emerging mutation at failure | 6/33 (18.2) | 1/8 (12.5) |
| Without Q80K at baseline | 6/33 (18.2) | 0/8 |
| With Q80K at baseline | 0/33 | 1/8 (12.5) |

\(^a\)Considering NS3 positions 36, 41, 43, 54, 55, 80, 107, 122, 132, 138, 155, 156, 158, 168, 169, 170, 174, and 175

\(^b\)Failures: all patients with failure

\(^c\)Only patients with baseline and post-baseline sequencing data are considered ITT intent-to-treat
to simprevir in 6.4% of patients (Table 6). The most frequent Grade 3/4 AE (>5% of patients) was neutropenia (14.2%). AEs leading to permanent discontinuation of study drugs were uncommon. Three (2.1%) patients permanently discontinued simprevir due to an AE (Grade 3 hyperbilirubinemia, panic attack, Grade 3 photosensitivity reaction; 1 patient each). The panic attack and photosensitivity reaction AEs also led to permanent discontinuation of RBV and PegIFNα-2a. Serious AEs (SAEs) were reported in 5 patients (3.5%): gastritis and bronchitis [both Grade 2], pancytopenia, hand fracture, and renal cell carcinoma [all Grade 3]). None of the SAEs were reported in more than 1 patient and none were considered possibly related to study medication, with the exception of pancytopenia, which was considered very likely related to RBV and possibly related to PegIFNα-2a. No deaths were reported.

There were no unexpected observations in terms of the frequency or severity of AEs of special/clinical interest, including rash (any type), pruritus, anemia, photosensitivity conditions, neutropenia, and dyspnea (Table 6). The majority of the AEs of special/clinical interest were Grade 1/2 in severity. Grade 3 and Grade 4 neutropenia AEs were reported in 11.3% and 3.5% of patients, respectively. Other Grade 3 AEs of special/clinical interest were reported in ≤3.5% of patients and there were no other Grade 4 AEs of special/clinical interest.

None of the AEs of special/clinical interest were serious and only a small number resulted in permanent discontinuation of study treatment: Grade 3 hyperbilirubinemia (increased bilirubin AE) led to permanent discontinuation of simprevir in 1 patient (0.7%) (protocol-driven) and a Grade 3 photosensitivity reaction (rash AE, which was also a photosensitivity condition) led to permanent discontinuation of simprevir, PegIFNα-2a, and RBV in 1 patient (0.7%; mentioned previously). The patient with Grade 3 hyperbilirubinemia, considered probably related to simprevir, also experienced Grade 1 rash, Grade 3 pruritus, Grade 2 ALT, and Grade 1 aspartate aminotransferase (AST) AEs. The Grade 3 photosensitivity reaction which led to discontinuation of simprevir, PegIFNα-2a, and RBV was considered to be very likely related to simprevir and was subsequently reported as resolved following treatment discontinuation.

The most frequently noted laboratory parameter abnormalities (>25% of patients) during the simprevir plus PegIFNα-2a/RBV treatment phase for which Grade ≥3 was noted included decreases in neutrophil and precursor count (79.3%, any grade), hyperbilirubinemia (53.6%, any grade), and decreases in platelet count (25.7%, any grade). Increases in direct and indirect bilirubin levels above normal limits (any grade) were reported in 46.4% and 30.7% of patients, respectively. Decreases in neutrophil and precursor count were the only graded treatment-emergent laboratory abnormalities for which Grade 3 or 4 abnormalities were observed in >10% of patients (19.3%). Grade 3 hyperbilirubinemia was observed in 9 (6.4%) patients and Grade 4 hyperbilirubinemia in 1 (0.7%) patient.

Grade 3 ALT or AST AEs were experienced by 2.1% (3/141) of patients during the simprevir plus PegIFNα-2a/RBV treatment phase (ALT, 2 patients; AST, 1 patient). Grade 3 ALT and AST events were not considered to be related to the study drug. No Grade 4 ALT/AST AEs were reported during treatment with simprevir.

**Discussion**

This open-label study was designed to provide access to simprevir treatment in combination with PegIFNα-2a and RBV for a well characterized treatment-experienced

| Table 6 Summary of AEs (ITT population) |
|----------------------------------------|
|                                      | SMV + PegIFNα-2a/RBV treatment phase | Entire treatment phase |
| n (%)                               | (N = 141)                          | (N = 141)              |
| Any AE                               | 128 (90.8)                         | 131 (92.9)             |
| Worst Grade 1 or 2                   | 87 (61.7)                          | 91 (65.0)              |
| Worst Grade 3                        | 36 (25.5)                          | 45 (31.9)              |
| Worst Grade 4                        | 5 (3.5)                            | 5 (3.5)                |
| Worst Grade 3/4, at least possibly related to SMV | 9 (6.4) | 9 (6.4) |
| Treatment-related AE                 | 127 (90.1)                         | 131 (92.9)             |
| At least possibly related to SMV     | 93 (66.0)                          | 93 (66.0)              |
| At least possibly related to RBV     | 99 (70.2)                          | 109 (77.3)             |
| At least possibly related to PegIFNα-2a | 123 (87.2) | 126 (89.4) |
| Any AE with fatal outcome            | 0                                  | 0                      |
| Any SAE                              | 5 (3.5)                            | 9 (6.4)                |
| At least possibly related to SMV     | 0                                  | 0                      |
| AE leading to permanent stop of at least one drug | 6 (4.3) | 9 (6.4) |
| SMV                                 | 3 (2.1)                            | 3 (2.1)                |
| PegIFNα-2a and/or RBV                | 3 (2.1)                            | 6 (4.3)                |
| Events of special interest           | 17 (12.1)                          | 17 (12.1)              |
| Increased bilirubin                   | 17 (12.1)                          | 17 (12.1)              |
| Events of clinical interest          | 78 (55.3)                          | 87 (61.7)              |
| Rash (any type)                      | 31 (22.0)                          | 36 (25.5)              |
| Pruritus                             | 25 (17.7)                          | 26 (18.4)              |
| Photosensitivity conditions           | 8 (5.7)                            | 8 (5.7)                |
| Neutropenia                          | 33 (23.4)                          | 38 (27.0)              |
| Anemia                               | 11 (7.8)                           | 23 (16.3)              |
| Dyspnea                              | 15 (10.6)                          | 17 (12.1)              |

*Without regard to PegIFNα-2a and RBV

**Includes photosensitivity conditions

AE adverse event; ITT intent-to-treat, PegIFNα-2a/RBV peginterferon α-2a with ribavirin, SAE serious adverse event, SMV simprevir
patient population with HCV GT1 infection in terms of baseline characteristics and prior response to PegIFN/RBV, after they had completed participation in a prior study and at a time when current therapeutic IFN-free alternatives were not yet available. The Phase 2/3 group comprised a high proportion of prior relapers (44.0%); null responders and partial responders (each approximately 25%) to PegIFNα/RBV. Fewer than 10% of patients had experienced viral breakthrough. The SVR12 rate (primary efficacy endpoint) was 69.6% (87/125); all patients who achieved SVR12 also went on to achieve SVR24. The majority (89.2%) of patients eligible for RGT met RGT criteria and received a shorter 24-week duration of PegIFNα-2a/RBV therapy. The SVR12 rate in this group of patients was 89.7%. There was a small difference in the number of patients (50/51 [98.0%]) who qualified for shorter treatment duration using the original RGT as compared to those who qualified for shorter treatment duration with the modified criteria in the same group of patients (45/51 [88.2%]) retrospectively. SVR12 was achieved in 45 of 50 (90%) patients who qualified for shorter treatment duration with the original RGT versus 41 of the 45 (91.1%) patients from the same group who qualified for shorter treatment with the modified RGT retrospectively.

The virologic response for non-responders in the Phase 2/3 group (50%) was comparable to the overall SVR12 rate reported with simeprevir plus PegIFNα-2a/RBV (54%) and telaprevir plus PegIFNα-2a/RBV (55%) in a previous non-responder HCV GT1-infected population in the ATTAIN study [17].

While the HCV genotype/subtype influences response to HCV therapy and higher rates of virologic failure are seen in GT1a-infected patients compared with GT1b [18], the SVR12 rates in HCV GT1a- and GT1b-infected patients in the Phase 2/3 group of the present study were comparable (66.0% and 72.0%, respectively).

Phase 3 studies evaluating the combination of simeprevir with PegIFN/RBV indicated lower SVR rates in HCV GT1a-infected patients with a Q80K baseline polymorphism compared to those without Q80K polymorphisms [12–14]. In the Phase 2/3 group of this study, no difference in SVR12 rates was observed among GT1a patients with and without a Q80K polymorphism (66.7% and 65.8%, respectively).

The proportion of patients with on-treatment failure in the Phase 2/3 group was 16.0%. The incidence of viral breakthrough was <10% and 10/12 patients with viral breakthrough were prior null responders to PegIFN/RBV treatment. Consistent with the simeprevir resistance profile observed in HCV GT1-infected patients who failed simeprevir plus PegIFNα/RBV in Phase 2/3 studies [19], the majority of patients with failure had emerging mutations at NS3 amino acid positions 80, 122, 155, and/or 168 at the time of failure. The impact of these treatment-emergent NS3 mutations on retreatment options is not clear. Although they represent the dominant viral population at the time of virologic failure, these NS3 mutations are rapidly replaced by fitter wild type viruses. In half of patients with failure, and treatment-emergent NS3 mutations at time of failure, in Phase 2/3 simeprevir/PegIFN studies, these mutations were no longer detected at the end of the studies after a median follow-up time of 28 weeks [19].

This observation raises the possibility that patients who fail simeprevir plus PegIFNα/RBV could be retreated with a simeprevir-containing regimen. However, the rapid development of IFN-free combination DAA regimens has provided more effective retreatment options, which are recommended by current treatment guidelines [18, 20].

Consistent with the established association between improved response (SVR) to PegIFN/RBV and presence of the IL28B rs12979860 CC genotype [21, 22], a higher SVR12 rate was seen in patients with CC genotype (85.0%) compared with the CT (66.7%) and TT (66.7%) genotypes in the Phase 2/3 group. SVR12 rates were also somewhat higher among patients with less severe fibrosis and in patients with HOMA-IR <2. The latter finding supports previous reports which suggest an association between lower virologic cure rates and elevated HOMA-IR, a surrogate marker of insulin resistance [23].

The tolerability profile of simeprevir in the current study was comparable to that reported in the previous Phase 2/3 studies investigating simeprevir in combination with PegIFNα-2a/RBV in patients with HCV GT1 infection [6, 9, 12–14]. Fatigue (32.6%), neutropenia (22.7%), and influenza-like illness (22.0%) were the most common AEs and all are known to be associated with PegIFNα-2a/RBV treatment. The majority of AEs were Grade 1 or 2 in severity. There were also no unexpected observations in terms of the frequency or severity of the reported events of special/clinical interest. Decrease in neutrophil and precursor count was the only graded treatment-emergent laboratory abnormality for which Grade 3 or 4 abnormalities were observed in >10% of patients (19.3%).

In the Phase 1 group, half of the patients (8/16) had not been previously exposed to simeprevir in a Phase 1 study and, of these, the majority (6/8, 75%) were prior non-responders to PegIFN/RBV treatment. The SVR12 rate was 37.5% (6/16); all patients who achieved SVR12 also went on to achieve SVR24. Equal proportions of patients with and without prior exposure to simeprevir achieved SVR12 (3/8 patients each). Among the 8 subjects who were not previously exposed to simeprevir, 2 of the 6 prior non-responders to PegIFN/RBV treatment achieved SVR12, one prior...
relapser achieved SVR12, and one treatment-naïve patient did not achieve SVR12. Patients with prior simeprevir exposure were treatment-naïve or prior relapers to PegIFN/RBV. Given the small sample size in these subgroups, it is difficult to draw conclusions for a comparison of the SVR rates to historic controls.

Multiple factors may have contributed to the lower virologic response rate in the Phase 1 group. Missing data at the SVR12 assessment time point was the reason for post-treatment failure in 12.5% of subjects (2/16) in the Phase 1 group. In addition, among the group of 8 patients in the Phase 1 group who had not previously been exposed to simeprevir, 1 patient (prior non-responder) discontinued treatment early at Week 36 due to AEs and 2 patients discontinued treatment early due to consent withdrawal (one prior non-responder on Day 5 and one simeprevir-naïve patient at Week 16). None of these 8 simeprevir-naïve patients had the favorable baseline IL28B CC genotype. Among the group of 8 patients in the Phase 1 group who had previously been exposed to simeprevir, 2 subjects discontinued treatment early due to AEs. One of these 8 simeprevir-experienced patients had the favorable IL28B CC genotype and this patient achieved SVR12. Of note, the R155K substitution was found emerging in 6/8 patients during previous short-term exposure to simeprevir in the Phase 1 study and was still detected in 2 patients using population sequencing at baseline in the current study but may have still been present as minority substitution in other patients at baseline. The presence of this substitution could have also impacted the treatment response in this group of simeprevir-experienced patients.

The proportion of patients with on-treatment failure (18.8%) was similar to that of the Phase 2/3 group. The incidence of viral breakthrough was <10% and 10/12 patients with viral breakthrough were prior null responders to PegIFN/RBV treatment.

A limitation of the study was the small sample size in the Phase 1 group (n = 16). In addition, when the Phase 2/3 group was analyzed according to response to prior treatment, patient numbers were relatively low in some of the groups (viral breakthrough, n = 10, partial responder, n = 28, null responder, n = 30). Similar limitations in terms of patient numbers also applied to other subgroups, for example, grouping according to IL28B genotype.

Conclusions
Treatment with simeprevir 150 mg QD in combination with PegIFNα-2a/RBV for 12 weeks allowed the majority of RGT-eligible patients to achieve SVR12 while the shortening of treatment to 24 weeks in total. As previously described, simeprevir with PegIFNα-2a/RBV achieved a lower SVR rate among patients with HCV GT1 infection who were prior non-responders, compared to different patient populations that have previously been described in other studies [12–14]. The safety profile of simeprevir with PegIFNα-2a/RBV in this small study was consistent both with that described in the approved labels for simeprevir and with that reported in previous large Phase 3 studies.

These results provide yet further evidence to support the added potency that simeprevir offers as part of an IFN-containing regimen. However, the role of simeprevir plus PegIFNα/RBV as the primary treatment for patients with HCV GT1 infection has diminished since the recent development of IFN-free DAA combinations, which provide a shorter, more effective, and better tolerated treatment across most patient populations.

Retreatment of virologic failures to simeprevir plus PegIFNα/RBV will require regimens which include 2 or more DAs without cross-resistance such as an NS5A inhibitor and NS5B inhibitor. Highly effective retreatment options are currently available for patients who have failed prior IFN-free or IFN-containing DAA HCV therapy [18, 20].

Additional files

Additional file 1: Table S1. Baseline characteristics and early response parameters with a statistically significant effect on SVR12 in multivariate analyses in the Phase 2/3 group (ITT population). (DOCX 22 kb)

Additional file 2: List of ethics committees. (DOCX 67 kb)

Abbreviations
AE: Adverse event; ALT: Alanine aminotransferase; AST: Aspartate aminotransferase; BMI: Body mass index; cEVR: Complete early virologic response; CI: Confidence interval; DAA: Direct-acting antiviral agent; EOT: End of treatment; EOTR: End of treatment response; EU: European Union; GT: Genotype; HCV: Hepatitis C virus; HIV: Human immunodeficiency virus; HOMA-IR: Homeostatic model assessment of insulin resistance; IL28B: Interleukin-28B; ITT: Intent-to-treat; NS5B: Non-structural protein 5B; PegIFNα-2a: Peginterferon α-2a; QD: Once daily; RBV: Ribavirin; RGT: response-guided therapy; RVR: Rapid virologic response; SAE: Serious adverse event; SD: Standard deviation; SE: Standard error; SMV: Simeprevir; SVR12: Sustained virologic response 12 weeks after planned end of treatment; SVR24: Sustained virologic response 24 weeks after planned end of treatment; WHO: World Health Organization

Acknowledgements
We thank Julie Adkins and Abigail Li on behalf of Complete Medical Communications who provided medical writing services on behalf of Janssen.

Funding
This study was funded by Janssen. Janssen were responsible for the study design and the collection and interpretation of data. Medical writing support was funded by Janssen.

Availability of data and materials
The datasets generated during and/or analyzed during the current study are available from the corresponding author on reasonable request.

Authors’ contributions
EG, ED, EJ, JG, MD, MHDS, HR, IN, HH, SB, and PF were involved in the acquisition and interpretation of study data, and have been involved in the critical revision of the manuscript for important intellectual content. US, RK,
OL, BF, MB and WJ (medical lead) were involved in the development of the study concept and design, and the acquisition, analysis, and interpretation of study data. They have also been involved in the critical revision of the manuscript for important intellectual content. CC provided statistical analysis of the study data, and has been involved in the critical revision of the manuscript for important intellectual content. All authors read and approved the final manuscript.

Competing interests
EG has served as a consultant for Abbott, Gilead Sciences, Janssen, Roche, and Tibotec, and as a speaker for AbbVie, Gilead Sciences, Janssen, Novartis, and Roche.
ED has received personal fees from Gilead Sciences and Janssen Therapeutics.
EJ has served as a clinical investigator and/or consultant for AbbVie, Bristol-Myers Squibb, Gilead Sciences, Janssen Pharmaceuticals, Merck Sharp & Dohme, Roche, and Vertex Pharmaceuticals.
JG has served as a consultant for Abbott, Gilead, Janssen, Merck Sharp & Dohme, and Roche, and as a speaker for AbbVie, Bristol-Myers Squibb, Gilead, and Roche.
MD has participated in clinical trials and meetings as a speaker for AbbVie, Bristol-Myers Squibb, Gilead, Janssen, Merck Sharp & Dohme, and Roche.
MHDs has no competing interests.
HR serves as a consultant for AbbVie, Alynal, Bristol-Myers Squibb, Boehringer Ingelheim, ENVOY, Gilead Sciences, Janssen-Cilag, Merck, PRA Health Sciences, Regulus, Roche, and R-Pharm, and has received grant/research support from AbbVie, Bristol-Myers Squibb, Boehringer Ingelheim, ENVOY, Gilead Sciences, Janssen-Cilag, Merck, PRA Health Sciences, Regulus, Replicor, and Roche.
IN has acted as a consultant for AbbVie, Gilead Sciences, Bristol-Myers Squibb, and Roche, and as a speaker for Gilead Sciences Bristol-Myers Squibb, AbbVie, and Janssen.
HH has received honoraria as a speaker and has served as an advisory board member for Janssen.
SB has acted as a consultant for AbbVie, Bristol-Myers Squibb, Gilead Sciences, Janssen, and MSD, and has given sponsored lectures for Gilead Sciences and Janssen.
PF has participated in advisory boards for Gilead, Bristol-Myers Squibb, Merck Sharp & Dohme, AbbVie, Wilson Therapeutics, and Univar.
US, RK, OL, BF, CC, MB, and WJ are employees of Janssen and may be Johnson & Johnson stockholders.

Consent for publication
Not applicable.

Ethics approval and consent to participate
The study protocol and amendments(s) were reviewed by the Independent Ethics Committees and Institutional Review Boards at each study center (See Additional file 2). The study was conducted in accordance with the Declaration of Helsinki, and was consistent with Good Clinical Practice and applicable regulatory requirements. All patients provided written informed consent. .

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Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

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Received: 12 January 2017 Accepted: 7 May 2017
Published online: 02 June 2017

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