Linearity conditions on the Jacobian ideal and logarithmic–meromorphic comparison for free divisors

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Abstract

In this paper we survey the role of D-module theory in the comparison between logarithmic and meromorphic de Rham complexes of integrable logarithmic connections with respect to free divisors, and we present some new linearity conditions on the Jacobian ideal which arise in this setting.

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Key words: Free divisor, Jacobian ideal, logarithmic forms, D-module, Bernstein polynomial

Introduction

The comparison between the meromorphic and the logarithmic de Rham complexes of a vector bundle endowed with a logarithmic integrable connection originally appeared in the case of normal crossing divisors [14].

In [31], the notion of free divisor was introduced, and it produced reasonable logarithmic de Rham complexes with applications to Singularity theory.

In [10], a logarithmic-meromorphic comparison (for the trivial bundle) was proved for locally quasi-homogeneous free divisors. The proof is topological and is based on Grothendieck’s comparison theorem.

In the appendix A of [15], for the normal crossing case, and in [3], for general free divisors, D-module theory enters the scene and the bases for an algebraic treatment of the logarithmic-meromorphic comparison (at least for free divisors) were established.

D-module theory has been used for characterizing the logarithmic-meromorphic comparison for the trivial bundle with respect to a free divisor in [12], [30], [6], and in [6], [8] for general integrable connections, and also for proving algebraically the logarithmic-meromorphic comparison under the additional purely

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algebraic hypothesis that the Jacobian ideal is of linear type. This hypothesis had been previously proved for locally quasi-homogeneous free divisors in [5].

In this paper we survey the above results and we highlight some (we believe) new linearity type conditions which appear in many examples and which deserve further study. These conditions seem to play a role in understanding algebraically the logarithmic-meromorphic comparison, and also have interesting interactions with Bernstein polynomials and Bernstein functional equations.

Let us now comment on the content of this paper.

Section 1 is a survey on the use of D-module theory in the logarithmic-meromorphic comparison and on the previous results.

In section 2 we first recall the notions of “commutative linear type” and “differential linear type”. We also give an algebraic criterion for checking the (pre)Spencer property. Second, we introduce some generalized linear type properties, which are illustrated in the examples in the third section, and we study their relationship with “classical” properties. We end the section with a list of open questions.

In section 3 we have collected several examples. As the reader can guess, the computational complexity is often hard and we comment on the strategies we have followed.

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1 A survey on the logarithmic comparison problem for free divisors

1.1 Notations and basic notions

Let $X$ be a $n$-dimensional complex analytic manifold and $D \subset X$ a hypersurface (= divisor), and let us denote by $j : U = X - D \hookrightarrow X$ the corresponding open inclusion. We denote by $\mathcal{O}_X$ the sheaf of holomorphic functions on $X$, $I_D \subset \mathcal{O}_X$ the ideal of $D$, $\mathcal{D}_X$ the sheaf of linear differential operators on $X$ (with holomorphic coefficients), $\text{Gr} \mathcal{D}_X$ the graded ring associated with the filtration $F$ by the order and $\sigma(P)$ the principal symbol of a differential operator $P$. If $J \subset \mathcal{D}_X$ is a left ideal, we denote by $\sigma(J)$ the corresponding graded ideal of $\text{Gr} \mathcal{D}_X$. We denote by $\omega_X$ the sheaf of $n$-differential forms on $X$, which carries a canonical structure of right $\mathcal{D}_X$-module. Given a complex of left $\mathcal{D}_X$-modules $\mathcal{M}$, we will denote by $\text{DR} \mathcal{M}$ its de Rham complex:

$$\text{DR} \mathcal{M} = R \text{Hom}_{\mathcal{D}_X}(\mathcal{O}_X, \mathcal{M}) = \left( \omega_X \otimes_{\mathcal{D}_X} \mathcal{M} \right)[-n].$$

If $\mathcal{M}$ is a single left $\mathcal{D}_X$-module, the above definition coincides with the classical one

$$\text{DR} \mathcal{M} = \mathcal{M} \to \Omega^1_X \otimes_{\mathcal{O}_X} \mathcal{M} \to \cdots \to \Omega^n_X \otimes_{\mathcal{O}_X} \mathcal{M}.$$
Let us denote by $\text{Jac}(D) \subset \mathcal{O}_X$ the Jacobian ideal of $D \subset X$, i.e. the coherent ideal of $\mathcal{O}_X$ whose stalk at any $p \in X$ is the ideal generated by $h, \frac{\partial h}{\partial x_1}, \ldots, \frac{\partial h}{\partial x_n}$, where $h \in \mathcal{O}_{X,p}$ is any reduced local equation of $D$ at $p$ and $x_1, \ldots, x_n \in \mathcal{O}_{X,p}$ is a system of local coordinates centered at $p$.

For any bounded complex $\mathcal{K}$ of sheaves of $\mathbb{C}$-vector spaces on $X$, let us denote by $\mathcal{K}^\vee = R\text{Hom}_{\mathcal{O}_X}(\mathcal{K}, \mathcal{O}_X)$ its Verdier dual.

If $A$ is a commutative ring (or a sheaf of commutative rings) and $M$ an $A$-module, we will denote by $\text{Sym}_A(M)$ its symmetric algebra. If $I \subset A$ is an ideal, we will denote by $R(I) = \bigoplus_{i=0}^\infty I^d t^i \subset A[t]$ its Rees algebra.

The sheaf of meromorphic functions along $D$ is denoted by $\mathcal{O}_X(*D)$. It is filtered by the invertible sheaves $\mathcal{O}_X(rD)$, $r \geq 0$, formed by meromorphic functions with poles along $D$ of order at most $r$. As usual, let us also denote by $\mathcal{O}_X(-rD)$ the ideal of $\mathcal{O}_X$ of functions vanishing at $D$ with order at least $r$. We have $\mathcal{O}_X(0D) = \mathcal{O}_X$, $\mathcal{O}_X(-D) = I_D$, $\mathcal{O}_X(rD) \otimes_{\mathcal{O}_X} \mathcal{O}_X(sD) = \mathcal{O}_X((r+s)D)$ and $\mathcal{O}_X(-rD) = \mathcal{O}_X(rD)^*$ for $r, s \in \mathbb{Z}$. A key result in $D$-module theory is that $\mathcal{O}_X(*D)$ is a left holonomic $\mathcal{D}_X$-module.

Let us denote by $\Omega^t_X(*D)$ the sheaf of meromorphic $t$-forms with poles along $D$, for $t = 0, \ldots, n$, and $\Omega^*_X(*D)$ the meromorphic de Rham complex (along $D$).

We say that a meromorphic $t$-form (with poles along $D$) $\omega$ is logarithmic if both $\omega$ and $d\omega$ have simple poles along $D$. The sheaf of logarithmic $t$-forms along $D$ is denoted by $\Omega^t_X(\log D)$. By definition, logarithmic forms along $D$ endowed with the exterior differential give rise to a subcomplex of $\Omega^*_X(*D)$, which is called logarithmic de Rham complex (along $D$) and is denoted by $\Omega^*_X(\log D)$.

The $\mathcal{O}_X$-module of (holomorphic) vector fields on $X$, or equivalently, the $\mathbb{C}$-derivations of $\mathcal{O}_X$, will be denoted by $\text{Der}_\mathbb{C}(\mathcal{O}_X)$. A (holomorphic) vector field $\delta$ on $X$ is called logarithmic (along $D$) if the ideal of $D$ is fixed by $\delta$, i.e. if it is tangent to $D$ at the smooth locus. The sheaf of logarithmic vector fields (along $D$) is denoted by $\text{Der}(\log D)$. The bracket of two logarithmic vector fields is still logarithmic.

Let $x_1, \ldots, x_n$ be a system of local coordinates on an open neighborhood $U$ of a point $p \in D$ and let $h$ be a reduced local equation of $D$ on $U$. The module of syzygies $(a_0, a_1, \ldots, a_n)$ of $(h, x'_1, \ldots, x'_n)$ is isomorphic to the sheaf of logarithmic vector fields on $U$ through the correspondences

$$(a_0, a_1, \ldots, a_n) \mapsto \sum_{i=1}^n a_i \frac{\partial}{\partial x_i},$$

$$\left(-\frac{\delta(h)}{h}, \delta(x_1), \ldots, \delta(x_n)\right) \mapsto \delta$$

and so $\text{Der}(\log D)$ is a coherent module.

The above construction can be performed without choosing local coordinates by considering the map

$$P \in F^1\mathcal{D}_X \mapsto P(h) \in \text{Jac}(D)$$

This definition of Jacobian ideal is independent of the (reduced) equation $h$ and does not match the usual definition in Singularity Theory, where the Jacobian ideal is generated by $\frac{\partial h}{\partial x_1}, \ldots, \frac{\partial h}{\partial x_n}$ and depends on $h$. 

3
provided that $D$ has a reduced global equation $h : X \to \mathbb{C}$.

The usual pairing of vector fields and differential forms establishes a perfect $\mathcal{O}_X$-duality between $\text{Der}(\log D)$ and $\Omega^1_X(\log D)$ and then both modules are reflexive \cite{31}, cor. (1.7).

We say that $D$ is a free divisor \cite{31} if the $\mathcal{O}_X$-module $\text{Der}(\log D)$ is locally free (necessarily of rank $n$), or equivalently if the $\mathcal{O}_X$-module $\Omega^1_X(\log D)$ is locally free (of rank $n$).

Normal crossing divisors, plane curves, free hyperplane arrangements (e.g. the union of reflecting hyperplanes of a complex reflection group), discriminants of stable mappings or bifurcation sets of holomorphic functions are examples of free divisors. More recently, another interesting source of examples of free divisors has been studied in \cite{17}, \cite{2}.

Is $D$ is a free divisor, then for any $t \geq 1$ we have $\Omega^t_X(\log D) = \bigwedge^t \Omega^1_X(\log D)$ \cite{31}.

The logarithmic-meromorphic comparison problem for $D$ consists of whether the inclusion $\Omega^*_X(\log D) \hookrightarrow \Omega^*_X(\star D)$ is a quasi-isomorphism or not, or equivalently by Grothendieck’s comparison theorem \cite{19}, whether the canonical morphism $\Omega^*_X(\log D) \to Rj_*\mathcal{C}U$ is an isomorphism (in the derived category) or not.

The meromorphic de Rham complex can be described in terms of $\mathcal{D}_X$-module theory as $\Omega^*_X(\star D) = \text{DR}\mathcal{O}_X(\star D)$. In sections \ref{section1.6} and \ref{section1.7} we will explain how, at least in the case of free divisors, the logarithmic de Rham complex can be also described in terms of $\mathcal{D}$-module theory (see corollary \ref{corollary1.6.2}) and how the logarithmic-meromorphic comparison problem can be translated into a comparison between certain $\mathcal{D}_X$-modules (see theorem \ref{theorem1.7.1}).

1.2 Lie algebroids

The module $\text{Der}_\mathbb{C}(\mathcal{O}_X)$ of vector fields on $X$ is at the same time an $\mathcal{O}_X$-module and a sheaf of $\mathbb{C}$-Lie algebras. The interplay between both structures can be summarized by the equality

$[\delta, f\delta'] = f[\delta, \delta'] + \delta(f)\delta'$

for any vector fields $\delta, \delta'$ and any holomorphic function $f$.

In order to understand how logarithmic differential operators (see section \ref{section1.3}) and logarithmic vector fields are related, at least in the case of free divisors, and the formal analogies with vector fields and differential operators, it is useful to consider the notion of Lie algebroid. A general reference for this notion is \cite{22}. See also \cite{13}.

\textbf{(1.2.1) Definition.} A Lie algebroid (on $X$) is an $\mathcal{O}_X$-module $\mathcal{L}$ endowed with a structure of sheaf of $\mathbb{C}$-Lie algebras and a $\mathcal{O}_X$-linear morphism $\rho : \mathcal{L} \to \text{Der}_\mathbb{C}(\mathcal{O}_X)$, called anchor morphism, which is also a morphism of sheaves of Lie algebras and satisfies $[\lambda, f\lambda'] = f[\lambda, \lambda'] + \rho(\lambda)(f)\lambda'$ for any local sections $\lambda, \lambda'$ of $\mathcal{L}$ and any holomorphic function $f$.

The notion of morphism of Lie algebroids is clear and is left up to the reader.
1.2.2 Example. 1) The first example of Lie algebroid is \( \mathcal{L} = \text{Der}_C(\mathcal{O}_X) \) with the identity as anchor morphism.

2) The sheaf of differential operators of degree \( \leq 1 \), \( F^1\mathcal{D}_X = \mathcal{O}_X \oplus \text{Der}_C(\mathcal{O}_X) \), with the projection \( F^1\mathcal{D}_X \rightarrow \text{Der}_C(\mathcal{O}_X) \) as anchor morphism, is a Lie algebroid.

3) Any submodule \( \mathcal{L} \subset \text{Der}_C(\mathcal{O}_X) \) which is closed for the bracket is a Lie algebroid with the inclusion as anchor morphism. This applies in particular to \( \mathcal{L} = \text{Der}(\log D) \).

An \( \mathcal{O}_X \)-ring is a sheaf of rings \( R \) on \( X \) endowed with a morphism of sheaves of rings \( \mathcal{O}_X \rightarrow R \). We say that the \( \mathcal{O}_X \)-ring \( R \) is central over \( \mathbb{C} \) if the map \( \mathcal{O}_X \rightarrow R \) sends the constant sheaf \( \mathbb{C}_X \) into the center of \( R \). For instance, the sheaf \( \mathcal{D}_X \) of linear differential operators is an \( \mathcal{O}_X \)-ring central over \( \mathbb{C} \).

1.2.3 Definition. If \( (\mathcal{L}, \rho) \) is a Lie algebroid on \( X \) and \( R \) is an \( \mathcal{O}_X \)-ring central over \( \mathbb{C} \), we say that a \( \mathbb{C} \)-linear map \( \varphi : \mathcal{L} \rightarrow R \) is admissible if the following properties hold:

(a) \( \varphi \) is left \( \mathcal{O}_X \)-linear.

(b) \( \varphi(\lambda, \lambda') = \varphi(\lambda)\varphi(\lambda') - \varphi(\lambda')\varphi(\lambda) \) for any local sections \( \lambda, \lambda' \) of \( \mathcal{L} \).

(c) \( \varphi(\lambda)f = f \varphi(\lambda) + \rho(\lambda)(f)1_R \) for any local section \( \lambda \) of \( \mathcal{L} \) and any holomorphic function \( f \).

Any Lie algebroid \( \mathcal{L} \) has a functorially associated enveloping algebra \( U\mathcal{L} \) (cf. [30] and [7], th. (1.6)), which is an \( \mathcal{O}_X \)-ring central over \( \mathbb{C} \), with a universal admissible map \( \mathcal{L} \rightarrow U\mathcal{L} \). The enveloping algebra \( U\mathcal{L} \) is filtered with \( F^0 U\mathcal{L} = \mathcal{O}_X \), \( F^1 U\mathcal{L} = \mathcal{O}_X \oplus \mathcal{L} \) and its graded algebra is commutative. We have then a canonical morphism of commutative \( \mathcal{O}_X \)-algebras

\[ \text{Sym}_{\mathcal{O}_X} \mathcal{L} \rightarrow \text{Gr} U\mathcal{L}. \] (2)

1.2.4 Example. 1) In the case of \( \mathcal{L} = \text{Der}_C(\mathcal{O}_X) \) the enveloping algebra is nothing but the ring \( \mathcal{D}_X \) of differential operators with the filtration by the order.

2) In the case of \( \mathcal{L} = F^1\mathcal{D}_X \) the enveloping algebra is the polynomial ring \( \mathcal{D}_X[s] \), where \( s \) is a central variable, endowed with the total order filtration \( F_T \) given by

\[ F_T^k \mathcal{D}_X[s] = \sum_{i=0}^{k} (F^i \mathcal{D}_X) s^{k-i}, \quad \forall k \geq 0. \]

The universal admissible morphism \( F^1\mathcal{D}_X = \mathcal{O}_X \oplus \text{Der}_C(\mathcal{O}_X) \rightarrow \mathcal{D}_X[s] \) is the identity on \( \text{Der}_C(\mathcal{O}_X) \) and sends any holomorphic function \( f \) to \( fs \).

3) The case \( \mathcal{L} = \text{Der}(\log D) \) will be treated in the following section when \( D \) is a free divisor.

We have the following version of the Poincaré-Birkhoff-Witt theorem. Its proof can be easily deduced from the global case of Lie-Rinehart algebras [30], th. 3.1.
(1.2.5) Theorem. If $\mathcal{L}$ is a Lie algebroid on $X$ which is locally free of finite rank as $\mathcal{O}_X$-module, then the canonical morphism (2) is an isomorphism.

(1.2.6) Let us assume that $\mathcal{L}$ is a Lie algebroid on $X$ which is locally free of finite rank as $\mathcal{O}_X$-module and let $\mathcal{E}$ be a left $\mathcal{U}_L$-module. The Cartan-Eilenberg-Chevalley-Rinehart-Spencer complex $\text{Sp}_L \mathcal{E}$ is defined in \cite{8}, (1.1.7). It is a complex of left $\mathcal{U}_L$-modules and carries an obvious natural augmentation

$$\varepsilon^0 : \text{Sp}_L^0 \mathcal{E} = \mathcal{U}_L \otimes_{\mathcal{O}_X} \mathcal{E} \to h^0 (\text{Sp}_L \mathcal{E}) = \mathcal{E}.$$ 

One easily sees (\cite{8}, prop. (1.1.8)) that if the left $\mathcal{U}_L$-module $\mathcal{E}$ is locally free of finite rank over $\mathcal{O}_X$ then $\text{Sp}_L \mathcal{E}$ is a $\mathcal{U}_L$-locally free resolution of the $\mathcal{U}_L$-module $\mathcal{E}$, that will be simply called Spencer resolution of $\mathcal{E}$.

1.3 Logarithmic differential operators

The Malgrange-Kashiwara $V$-filtration with respect to $\mathcal{D}$ on the sheaf $\mathcal{D}_X$ of linear differential operators on $X$ is defined by

$$V^D_k \mathcal{D}_X = \{ P \in \mathcal{D}_X \mid P(I^m_{\mathcal{D}}) \subset I^m_{\mathcal{D}} - k \forall m \in \mathbb{Z} \}, \quad k \in \mathbb{Z}.$$ 

It is an increasing filtration and $V^D_0 \mathcal{D}_X$ is a subsheaf of rings of $\mathcal{D}_X$ with $F^0 V^D_0 \mathcal{D}_X = \mathcal{O}_X$ and $F^1 V^D_0 \mathcal{D}_X = \mathcal{O}_X \oplus \text{Der}(\log \mathcal{D})$. We have then a canonical morphism of commutative $\mathcal{O}_X$-algebras

$$\text{Sym}_{\mathcal{O}_X} \text{Der}(\log \mathcal{D}) \to \text{Gr}_F V^D_0 \mathcal{D}_X$$ 

and a canonical morphism of non commutative $\mathcal{O}_X$-rings

$$\text{U} \text{Der}(\log \mathcal{D}) \to V^D_0 \mathcal{D}_X.$$ 

The 0' th term of the Malgrange-Kashiwara filtration with respect to $\mathcal{D}$ on the sheaf $\mathcal{D}_X$, $V^D_0 \mathcal{D}_X$, is also denoted by $\mathcal{D}_X(\log \mathcal{D})$, or simply by $\mathcal{V}_0$ if no confusion is possible, and its sections are called logarithmic differential operators with respect to $\mathcal{D}$.

The following theorem is not explicitly stated in \cite{3}, but it is essentially contained in proposition 2.2.5 of \textit{loc. cit.} (see also \cite{33}).

(1.3.1) Theorem. If $\mathcal{D}$ is a free divisor, then the canonical morphism (4) is an isomorphism.

The proof of the above theorem given in \cite{3} is based on the corollary 2.1.6 of \textit{loc. cit.}, which states that if $\mathcal{D}$ is free the morphism (4) is an isomorphism of commutative $\mathcal{O}_X$-algebras. Let us note that, for proving corollary 2.1.6, it is possible to avoid theorem 2.1.4 and to obtain it directly from proposition 2.1.2 in \cite{3}.

As a consequence of theorem (1.3.1) we deduce that if $\mathcal{D}$ is free, then $\mathcal{V}_0$ is coherent and it has noetherian stalks of finite global homological dimension. If $\mathcal{D}$ was originally defined only for smooth $\mathcal{D}$. 

\textit{6}
{\delta_1, \ldots, \delta_n} is a local basis of the logarithmic vector fields on a connected open set \( V \), any differential operator in \( \Gamma(V, \mathcal{V}_0) \) can be written locally in a unique way as a finite sum
\[
\sum_{\alpha \in \mathbb{N}^n |\alpha| \leq d} a_\alpha \delta_1^{\alpha_1} \cdots \delta_n^{\alpha_n},
\] where the \( a_\alpha \) are holomorphic functions on \( V \).

1.4 Integrable logarithmic connections with respect to a free divisor

Let \( E \) be a \( \mathcal{O}_X \)-module. A logarithmic connection with respect to \( D \) on \( E \) is a \( \mathbb{C} \)-linear morphism \( \nabla : E \to \Omega^1_X(\log D) \otimes \mathcal{O}_X E \) satisfying Leibniz’s rule, i.e. \( \nabla( ae) = a \nabla(e) + da \otimes e \) for any holomorphic function \( a \) and any section \( e \) of \( E \). The data of such a logarithmic connection is equivalent to the data of a left \( \mathcal{O}_X \)-linear map \( \nabla_* : \text{Der}(\log D) \to \text{End}_\mathbb{C}(E) \), where the Leibniz rule becomes \( \nabla_*(ae) = a \nabla_*(e) + \delta(a)e \) for any logarithmic vector field \( \delta \), any holomorphic function \( a \) and any section \( e \) of \( E \).

From now on let us assume that \( D \) is a free divisor and that \( \nabla \) is a logarithmic connection on \( E \). As in the classical case (cf. [14], chap. I, 2.10) we define a sequence of \( \mathbb{C} \)-linear morphisms
\[
E \overset{\nabla}{\longrightarrow} \Omega^1_X(\log D) \otimes E \overset{\nabla}{\longrightarrow} \Omega^2_X(\log D) \otimes E \overset{\nabla}{\longrightarrow} \cdots \overset{\nabla}{\longrightarrow} \Omega^n_X(\log D) \otimes E. \tag{6}
\]
We say that \( \nabla \) is integrable if the sequence \( (6) \) is a complex of sheaves of complex vector spaces. As in the classical case cf. [14] chap. I, 2.12–2.14, \( \nabla \) is integrable if and only if the morphism \( \nabla_* \) preserves Lie brackets (i.e. it is admissible in the sense of definition (1.2.3)), or merely if \( \nabla^2 : E \to \Omega^2_X(\log D) \otimes E \) vanishes.

If \( \nabla \) is integrable then the complex \( (6) \) will be called the logarithmic de Rham complex of \( E \) (endowed with \( \nabla \)) and will be denoted by \( \Omega^\bullet_X(\log D)(E) \).

The following proposition is a straightforward consequence of theorem (1.3.1) (see [3], cor. 2.2.6) and it is similar to the well known case of integrable connections and left \( \mathcal{D}_X \)-module structures.

(1.4.1) Proposition. To give an integrable logarithmic connection on \( E \) is equivalent to giving a structure of left \( \mathcal{D}_X(\log D) \)-module on \( E \) extending its structure of \( \mathcal{O}_X \)-module.

The following theorem is the first step in describing logarithmic de Rham complexes in terms of \( \mathcal{D} \)-module theory (see corollary (1.6.2)). It is a consequence of theorem (1.3.1) and of the existence of the Spencer resolution of \( \mathcal{O}_X \) (1.2.6).

(1.4.2) Theorem. ([3], cor. 3.2.2) For any left \( \mathcal{V}_0 \)-module \( E \) there is a canonical isomorphism in the derived category \( R\text{Hom}_{\mathcal{V}_0}(\mathcal{O}_X, E) \simeq \Omega^\bullet_X(\log D)(E) \).
From now on we will only consider integrable logarithmic connections on \(\mathcal{O}_X\)-modules which are locally free of finite rank, and such an \(\mathcal{O}_X\)-module endowed with an integrable logarithmic connection (with respect to \(D\)) will be simply called an \(ILC\) (with respect to \(D\)). In other words, an \(ILC\) will be a left \(\mathcal{V}_0\)-module which is locally free of finite rank over \(\mathcal{O}_X\).

The first examples of \(ILC\) are the invertible \(\mathcal{O}_X\)-modules \(\mathcal{O}_X(mD) \subset \mathcal{O}_X(\ast D)\), \(m \in \mathbb{Z}\). If \(f = 0\) is a reduced local equation of \(D\) at \(p \in D\) and \(\delta_1, \ldots, \delta_n\) is a local basis of \(\text{Der}^{\log}(D)_p\) with \(\delta_i(f) = \alpha_i f\), then \(f^{-m}\) is a local basis of \(\mathcal{O}_{X,p}(mD)\) over \(\mathcal{O}_{X,p}\) and from (5) we have the following local presentation over \(\mathcal{D}_{X,p}(\log D)\)

\[
\mathcal{O}_{X,p}(mD) \simeq \mathcal{D}_{X,p}(\log D)/\mathcal{D}_{X,p}(\log D)(\delta_1 + m\alpha_1, \ldots, \delta_n + m\alpha_n).
\]

For \(E = \mathcal{O}_X\) we have \(\Omega^\bullet_X(\log D) = \Omega^\bullet_X\).

For any \(ILC\ \mathcal{E}\) and any integer \(m\), the locally free \(\mathcal{O}_X\)-modules \(\mathcal{E}(mD) := \mathcal{E} \otimes_{\mathcal{O}_X} \mathcal{O}_X(mD)\) and \(\mathcal{E}^* := \text{Hom}_{\mathcal{O}_X}(\mathcal{E}, \mathcal{O}_X)\) are endowed with a natural structure of left \(\mathcal{V}_0\)-module (cf. [6], §2 and [7], §2), and they are again \(ILC\), and the usual isomorphisms \(\mathcal{E}(mD)(m'D) \simeq \mathcal{E}((m + m')D)\), \(\mathcal{E}(mD)^* \simeq \mathcal{E}^*(-mD)\) are \(\mathcal{V}_0\)-linear.

For any \(ILC\ \mathcal{E}\) the complex \(\text{Sp} \mathcal{E} := \text{Sp}_{\text{Der}(\log D)} \mathcal{E}\) is a resolution of \(\mathcal{E}\) as left \(\mathcal{V}_0\)-module. In particular, any \(ILC\) is coherent as left \(\mathcal{V}_0\)-module.

1.5 Koszul and Spencer properties

In this section we recall two natural properties which appear when studying free divisors and the logarithmic-meromorphic comparison problem.

From now on \(D \subset X\) is assumed to be a free divisor.

(1.5.1) Definition. ([3], def. 4.1.1) The (free) divisor \(D\) is said to be Koszul at a point \(p \in D\) if the symbols of any (or some) local basis \(\{\delta_1, \ldots, \delta_n\}\) of \(\text{Der}(\log D)_p\) form a regular sequence in \(\text{Gr} \mathcal{D}_{X,p}\). We say that \(D\) is a Koszul divisor if it is so at any point \(p \in D\).

For the free divisor \(D\), to be Koszul is equivalent to being holonomic in the sense of [31], def. (3.8), i.e. the logarithmic stratification of \(D\) is locally finite\(^3\).

Any plane curve is a Koszul free divisor (see the proof of corollary 4.2.2 in [3]). Any locally quasi-homogeneous\(^4\) free divisor \(D\) is Koszul [5]. Examples of locally quasi-homogeneous free divisors are free hyperplane arrangements or discriminants of stable maps in Mather’s “nice dimensions”.

(1.5.2) Definition. ([11], def. 3.3) The (free) divisor \(D\) is said to be Spencer if the complex of \(\mathcal{D}_X\)-modules \(\mathcal{D}_X \otimes_{\mathcal{V}_0} \text{Sp} \mathcal{O}_X\) is holonomic and concentrated in degree 0.

\(^3\)This was noticed by M. Schulze.

\(^4\)i.e. for which for any \(p \in D\) there is a system of local coordinates \(x\) centered at \(p\) such that the germ \((D, p)\) has a reduced weighted homogeneous defining equation (with strictly positive weights) with respect to \(x\).
Since $Sp\mathcal{O}_X$ is a locally free resolution of the $Der(\log D)$-module $\mathcal{O}_X$, we have $D_X \otimes_{V_0} \mathcal{O}_X = D_X \otimes_{V_0} Sp\mathcal{O}_X$ and so $D$ is Spencer if and only if $h^i \left( D_X \otimes_{V_0} \mathcal{O}_X \right) = 0$ for $i \neq 0$ and $h^0 \left( D_X \otimes_{V_0} \mathcal{O}_X \right) = D_X \otimes_{V_0} \mathcal{O}_X = D_X / D_X Der(\log D)$ is holonomic.

(1.5.3) Definition. The (free) divisor $D$ is said to be pre-Spencer if the complex of $D_X$-modules $D_X \otimes_{V_0} Sp\mathcal{O}_X$ is concentrated in degree 0.

We have the following result ([6], prop. 1.2.3).

(1.5.4) Proposition. Any Koszul free divisor is Spencer.

There are lots of examples of Spencer free divisors which are not Koszul (see for instance the examples in section 3).

It should be noted that (pre)Spencer property is not easy to check algebraically and in principle one needs to check that each $h^i \left( D_X \otimes_{V_0} Sp\mathcal{O}_X \right)$ vanishes, for $i = 1, \ldots, n$. However, see proposition (2.2.17), corollary (2.2.18) and corollary (1.6.4).

1.6 Duality

In this section we review some results of [6] on the relationship between the duality of integrable logarithmic connections with respect to a free divisor $D \subset X$ and the duality of $D$-modules.

Let us denote by $D_D_X : D^b_{coh}(D_X) \rightarrow D^b_{coh}(D_X)$ the duality functor of $D$-module theory (cf. [24], def. 1.4.1.6). It is defined by

$$D_D_X \mathcal{M} = \text{Hom}_{D_X}(\omega_X, R\text{Hom}_{D_X}(\mathcal{M}, D_X))[n].$$

The duality functor $D_D_X$ is a contravariant involutive self-equivalence of the derived category $D^b_{coh}(D_X)$ of bounded complexes of left $D_X$-modules with coherent homologies, and it induces a contravariant involutive self-equivalence of the abelian category of left holonomic $D_X$-modules.

If we start with an ILC (with respect to $D$) $\mathcal{E}$, we can take first its dual (as ILC) and second the scalar extension from $V_0$ to $D_X$, and we obtain $D_X \otimes_{V_0} \mathcal{E}^*$, or we can reverse the order and take first its scalar extension and second its dual (as $D_X$-module), and we obtain $D_D_X(D_X \otimes_{V_0} \mathcal{E})$. How are both results related? The following theorem gives a precise answer to this question.

(1.6.1) Theorem. ([6], cor. 3.1.2) Let $\mathcal{E}$ be an ILC (with respect to $D$). There is a natural isomorphism in $D^b_{coh}(D_X)$:

$$D_D_X \left( D_X \otimes_{V_0} \mathcal{E} \right) \simeq D_X \otimes_{V_0} \mathcal{E}^*(D).$$
As a corollary of the above theorem and of theorem \([1.4.2]\) we obtain the following description of logarithmic de Rham complexes in terms of the de Rham functor in D-module theory.

\((1.6.2)\) Corollary. \(([6], \text{cor. 3.1.5})\) Let \(E\) be an ILC (with respect to \(D\)). There is a natural isomorphism in the derived category of bounded complexes of sheaves of complex vector spaces \(D^b(C_X)\):

\[
\Omega_X^\bullet(X_{\log D})(E) \simeq \text{DR} \left( D_X \otimes_{V_0} \mathcal{E}(D) \right).
\]

The following theorem is proved in \([6]\), cor. 3.1.6 and 3.1.8. It uses the deep properties of the de Rham functor (cf. \([24]\), th. II.4.1.5, th. I.10.13), the local duality theorem in D-module theory (cf. \([24]\), th. I.4.3.1; see also \([28]\)) and the faithful flatness of \(D^\infty_X\) over \(D_X\) (\([32]\); see also \([29]\)).

\((1.6.3)\) Theorem. Let \(E\) be an ILC (with respect to \(D\)). The following properties are equivalent:

1) The complex \(D_X \otimes_{V_0} \mathcal{E}(D)\) is holonomic and concentrated in degree 0.

2) The complex \(D_X \otimes_{V_0} \mathcal{E}^\star\) is holonomic and concentrated in degree 0.

3) The complex \(\Omega_X^\bullet(\log D)(E)\) is a perverse sheaf.

4) The complex \(\Omega_X^\bullet(\log D)(\mathcal{E}^\star(-D))\) is a perverse sheaf.

Moreover, if the above properties hold there is a natural isomorphism of perverse sheaves \(\Omega_X^\bullet(\log D)(E) \simeq \Omega_X^\bullet(\log D)(\mathcal{E}^\star(-D))^\vee\).

The formula \(\Omega_X^\bullet(\log D)(E) \simeq \Omega_X^\bullet(\log D)(\mathcal{E}^\star(-D))^\vee\) has been proved in \([15]\), (A.2) in the case of a normal crossing divisor.

The following corollary deals with the case of the trivial ILC \(E = \mathcal{O}_X\).

\((1.6.4)\) Corollary. The following properties are equivalent:

1. The divisor \(D\) is Spencer.

2. The logarithmic de Rham complex \(\Omega_X^\bullet(\log D)\) is a perverse sheaf.

3. The complex \(\Omega_X^\bullet(\log D)(\mathcal{O}_X(-D))\) is a perverse sheaf.

\((1.6.5)\) Remark. In the above corollary, if \(h = 0\) is a local reduced equation of \(D\), then the complex \(\Omega_X^\bullet(\log D)(\mathcal{O}_X(-D))\) is nothing but \(h\Omega_X^\bullet(\log D)\), which has been used in \([27]\) to describe the Gauss-Manin connection on the cohomology of families of free divisors.
1.7 Logarithmic-meromorphic comparison

In this section we assume that \( D \) is a free divisor and \( \mathcal{E} \) is an ILC along \( D \).

Let us denote by \( \mathcal{D}_X(\star D) \) the sheaf of meromorphic linear differential operators with poles along \( D \). One has obvious left and right \( \mathcal{O}_X(\star D) \)-linear isomorphisms
\[
\mathcal{O}_X(\star D) \otimes_{\mathcal{O}_X} \mathcal{D}_X \cong \mathcal{D}_X(\star D) \cong \mathcal{D}_X \otimes_{\mathcal{O}_X} \mathcal{O}_X(\star D).
\]
The induced maps \( \mathcal{O}_X(\star D) \otimes_{\mathcal{O}_X} \mathcal{V}_0 \to \mathcal{D}_X(\star D) \to \mathcal{V}_0 \otimes_{\mathcal{O}_X} \mathcal{O}_X(\star D) \) are also isomorphisms and so, if \( \mathcal{E} \) is a left \( \mathcal{V}_0 \)-module, the localization \( \mathcal{E}(\star D) := \mathcal{O}_X(\star D) \otimes_{\mathcal{O}_X} \mathcal{E} \) is a left \( \mathcal{D}_X(\star D) \)-module, and by scalar restriction, a left \( \mathcal{D}_X \)-module. Moreover, if \( \mathcal{E} \) is an ILC, then \( \mathcal{E}(\star D) \) is a meromorphic connection (locally free of finite rank over \( \mathcal{O}_X(\star D) \)) and so it is a holonomic \( \mathcal{D}_X \)-module (cf. \[26\], Th. 4.1.3). Actually, \( \mathcal{E}(\star D) \) has regular singularities on the smooth part of \( D \) (it has logarithmic poles! \[14\]) and so it is regular everywhere \[25\], Cor. 4.3-14, which means that if \( \mathcal{L} \) is the local system of horizontal sections of \( \mathcal{E} \) on \( U = X - D \), the canonical morphism \( D \mathcal{R}_E(\star D) = \Omega^\bullet_X(\mathcal{E}(\star D)) \to Rj_*\mathcal{L} \) is an isomorphism in the derived category.

We say that \( \mathcal{E} \) satisfies the logarithmic comparison theorem (LCT) if the canonical morphism \( \Omega^\bullet_X(\log D)(\mathcal{E}) \to Rj_*\mathcal{L} \) is an isomorphism in the derived category. If \( \mathcal{O}_X \) satisfies the LCT, we simply say that the divisor \( D \) satisfies the LCT.

In \[10\] it has been proved that the LCT holds for any free divisor \( D \) which is locally quasi-homogeneous.

For any ILC \( \mathcal{E} \) and any integer \( m \), \( \mathcal{E}(mD) \) is a sub-\( \mathcal{V}_0 \)-module of the regular meromorphic connection (and holonomic \( \mathcal{D}_X \)-module) \( \mathcal{E}(\star D) \), and so we have a canonical morphism in the derived category of left \( \mathcal{D}_X \)-modules
\[
\rho_{\mathcal{E},m} : \mathcal{D}_X \otimes_{\mathcal{V}_0} \mathcal{E}(mD) \to \mathcal{E}(\star D),
\]
given by \( \rho_{\mathcal{E},m}(P \otimes e) = Pe \).

We have the following characterization for the LCT (see \[10\], th. 41 and \[8\], th. (2.1.1)).

(1.7.1) Theorem. Let \( \mathcal{E} \) be an ILC (with respect to the free divisor \( D \)) and let \( \mathcal{L} \) be the local system of its horizontal sections on \( U = X - D \). The following properties are equivalent:

1) \( \mathcal{E} \) satisfies the LCT.

2) The inclusion \( \Omega^\bullet_X(\log D)(\mathcal{E}) \to \Omega^\bullet_X(\mathcal{E}(\star D)) \) is a quasi-isomorphism.

3) The morphism \( \rho_{\mathcal{E},1} : \mathcal{D}_X \otimes_{\mathcal{V}_0} \mathcal{E}(D) \to \mathcal{E}(\star D) \) is an isomorphism in the derived category of left \( \mathcal{D}_X \)-modules.

4) The complex \( \mathcal{D}_X \otimes_{\mathcal{V}_0} \mathcal{E}(D) \) is concentrated in degree 0 and the \( \mathcal{D}_X \)-module \( \mathcal{D}_X \otimes_{\mathcal{V}_0} \mathcal{E}(D) \) is holonomic and isomorphic to its localization along \( D \).
5) The canonical morphism \( j_! \mathcal{L}^\vee \to \Omega_X^\bullet(\log D)(E^*(-D)) \) is an isomorphism in the derived category of complexes of sheaves of complex vector spaces.\(^5\)

In the case of the trivial ILC \( E = \mathcal{O}_X \), we have the following.

(1.7.2) Corollary. The following properties are equivalent:

1) \( D \) satisfies the LCT.

2) The inclusion \( \Omega_X^\bullet(\log D) \to \Omega_X^\bullet(*D) \) is a quasi-isomorphism.

3) The morphism \( \rho = \rho_{\mathcal{O}_X,1} : \mathcal{D}_X \otimes_{\mathcal{O}_X} \mathcal{O}_X(D) \to \mathcal{O}_X(*D) \) is an isomorphism in the derived category of left \( \mathcal{D}_X \)-modules.

4) The complex \( \mathcal{D}_X \otimes_{\mathcal{O}_X} \mathcal{O}_X(D) \) is concentrated in degree 0 and the \( \mathcal{D}_X \)-module \( \mathcal{D}_X \otimes_{\mathcal{O}_X} \mathcal{O}_X(D) \) is holonomic and isomorphic to its localization along \( D \).

5) The canonical morphism \( j_! \mathcal{L}^\vee \to \Omega_X^\bullet(\log D)(\mathcal{O}_X(-D)) \) is an isomorphism in the derived category of complexes of sheaves of complex vector spaces.\(^6\)

(1.7.3) Remark. Let \( f \) be a reduced local equation of \( D \) and \( \delta_1, \ldots, \delta_n \) a local basis of \( \text{Der}(\log D) \) with \( \delta_i(f) = \alpha_i f \). Property 3) in corollary (1.7.2) means the conjunction of the following properties:

a) \( D \) is pre-Spencer.

b) (b-1) The \( \mathcal{D}_X \)-module \( \mathcal{O}_X(*D) = \mathcal{O}_X(f^{-1}) \) is generated by \( f^{-1} \) and (b-2) \( \text{ann}_{\mathcal{D}_X} f^{-1} \) is generated by order one operators, and so by \( \delta_1 + \alpha_1, \ldots, \delta_n + \alpha_n \).

Actually, after [36], prop. 1.3, property (b-2) implies property (b-1) and so we conclude that \( D \) satisfies the LCT if and only if it is pre-Spencer and \( \text{ann}_{\mathcal{D}_X} f^{-1} = \mathcal{D}_X(\delta_1 + \alpha_1, \ldots, \delta_n + \alpha_n) \) (see also [12]).

(1.7.4) Remark. In the case of locally quasi-homogeneous free divisors, property 5) in corollary (1.7.2) holds by [27], Lemma 3.3, (6) and so we obtain another proof of the LCT for such divisors [8], cor. (2.1.4). Let us also note that the same argument can be applied to the case of locally weakly quasi-homogeneous (LWQH) divisors, as it is noted in [9], remark 3.11, even without the hypothesis of being Spencer. In fact, the corollary above says in particular that any LWQH free divisor is Spencer (see also corollary (1.6.4)).

\(^5\)In other words, \( \Omega_X^\bullet(\log D)(E^*(-D))|_D = 0 \), i.e. the complex \( \Omega_X^\bullet(\log D)(E^*(-D)) \) is exact on any point of \( D \).

\(^6\)In other words, \( \Omega_X^\bullet(\log D)\mathcal{O}_X(-D)|_D = 0 \), i.e. the complex \( \Omega_X^\bullet(\log D)(\mathcal{O}_X(-D)) \) is exact on any point of \( D \).

12
2 Linearity conditions on the Jacobian ideal

2.1 The ring \( \mathcal{D}[s] \) and the Bernstein construction

Let us suppose that the divisor \( D \) is given by a (locally) reduced global equation \( f : X \to \mathbb{C} \).

We know from the Poincaré-Birkhoff-Witt theorem \([1.2.5]\) and example \([1.2.4]\) 2), that the canonical map \( \eta : \text{Sym}_{O_X}(F^1\mathcal{D}_X) \to \text{Gr}_{F_0}\mathcal{D}_X[s] \) is an isomorphism of graded \( O_X \)-algebras.

The free module of rank one over \( O_X[f^{-1},s] \) generated by the symbol \( f^s \), \( O_X[f^{-1},s]f^s \), has a natural left module structure over \( \mathcal{D}_X[s] \): the action of a derivation \( \delta \in \text{Der}_C(O_X) \) is given by \( \delta(f^s) = \delta(f)sf^{-1}f^s \) (see \([1]\)).

Let us call \( \varphi_0 : \text{Sym}_{O_X}(F^1\mathcal{D}_X) \to \mathcal{R}(\text{Jac}(D)) \subset O_X[t] \) the composition of the canonical surjective map \( \text{Sym}_{O_X}\text{Jac}(D) \to \mathcal{R}(\text{Jac}(D)) \) with the surjective map \( \text{Sym}_{O_X}(F^1\mathcal{D}_X) \to \text{Sym}_{O_X}(\text{Jac}(D)) \) induced by \([1]\) and

\[
\varphi := \varphi_0 \circ \eta^{-1} : \text{Gr}_{F_0}\mathcal{D}_X[s] \to \mathcal{R}(\text{Jac}(D)).
\]

(7)

For each \( P \in \mathcal{D}_X[s] \) of total order \( d \), we have that \( P(f^s) = Q(s)f^{-d}f^s \) where \( Q(s) \) is a polynomial of degree \( d \) in \( s \) with holomorphic coefficients. Let us denote by \( C_{P,d} \in O_X \) the leading coefficient of \( Q(s) \). A straightforward computation (cf. \([10]\), chap. 1, Prop. 2.3) shows that \( \varphi_0(\sigma_T(P)) = C_{P,d}t^d \), where \( \sigma_T \) denotes the symbol with respect to the total order filtration, and so \( \sigma_T(\text{ann}_{\mathcal{D}_X[s]}f^s) \subset \ker \varphi \).

It is clear that \( F^0_{\mathcal{D}_X[s]}f^s = 0 \) and that

\[
\Theta_{f,s} := F^1_{\mathcal{D}_X[s]} \text{ann}_{\mathcal{D}_X[s]}f^s
\]

(8)
is formed by the operators \( \delta - \alpha s \) with \( \delta \in \text{Der}_C(O_X), \alpha \in O_X \) and \( \delta(f) = \alpha f \).

One easily sees that the \( O_X \)-linear map

\[
\delta \in \text{Der}(\log D) \mapsto \delta - \frac{\delta f}{f} \in \Theta_{f,s}
\]

(9)
is an isomorphism of Lie algebroids on \( X \). We obtain a canonical isomorphism \( \Theta_{f,s} \simeq \text{Gr}_{F_0} \text{ann}_{\mathcal{D}_X[s]}f^s \). Let us denote by \( \text{ann}_{\mathcal{D}_X[s]}^{(1)}f^s \) the left ideal of \( \mathcal{D}_X[s] \) generated by the total order one operators in \( \text{ann}_{\mathcal{D}_X[s]}f^s \), i.e. \( \text{ann}_{\mathcal{D}_X[s]}^{(1)}f^s = \mathcal{D}_X[s] \cdot \Theta_{f,s} \). On the other hand, the homogeneous part of degree one \( [\ker \varphi]_1 \subset \ker \varphi \) is also canonically isomorphic to \( \Theta_{f,s} \), and so we obtain

\[
\text{Gr}_{F_0} \text{ann}_{\mathcal{D}_X[s]}f^s \cong [\sigma_T(\text{ann}_{\mathcal{D}_X[s]}f^s)]_1 = \sigma_T(\Theta_{f,s}) = [\ker \varphi]_1.
\]

2.2 Divisors of linear type

In this section \( D \) will be a hypersurface ( = divisor) of \( X \).

(2.2.1) Definition. (Cf. \([39]\), §7.2) Let \( A \) be a commutative ring and \( I \subset A \) an ideal. We say that \( I \) is of linear type if the canonical (surjective) map of graded \( A \)-algebras \( \text{Sym}_A(I) \to \mathcal{R}(I) \) is an isomorphism.
Ideals generated by a regular sequence are the first example of ideals of linear type. If \( A = \mathbb{C}[x_1, x_2] \) and \( f \in A \) is a reduced polynomial such that the divisor \( D = \{ f = 0 \} \) is not locally quasi-homogeneous, then the Jacobian ideal \((h, \frac{\partial h}{\partial x_1}, \frac{\partial h}{\partial x_2})\) is not of linear type (see proposition (2.3.1)).

(2.2.2) Definition. ([8], def. (1.4.2)) We say that the divisor \( D \) is of commutative linear type at \( p \in D \) if the stalk at \( p \) of its Jacobian ideal is of linear type. We say that \( D \) is of commutative linear type if it is so at any \( p \in D \).

(2.2.3) Definition. We say that the divisor \( D \) is Euler homogeneous at \( p \in D \) if for some (and hence any) reduced local equation \( f \) of \( D \) at \( p \) there is a germ of vector field \( \chi \) at \( p \) such that \( \chi(f) = f \). We say that \( D \) is Euler homogeneous if it is so at any \( p \in D \).

(2.2.4) Example. If \( D \) is Euler homogeneous and has isolated singularities, then \( D \) is of commutative linear type.

The following proposition is proved in [8], remark (1.6.6).

(2.2.5) Proposition. If \( D \) is of commutative linear type (at \( p \)), then \( D \) is Euler homogeneous (at \( p \)).

Theorem (1.7.1) has been used in [8], §3 to give a criterion for the LCT to be satisfied by integrable logarithmic connections with respect to a free divisor of commutative linear type.

(2.2.6) Remark. To say that a divisor \( D \) given by a reduced global equation \( f \) is of commutative linear type is equivalent to saying that \( \ker \varphi \) (see (7)) is generated by its homogeneous part of degree 1, \( |\ker \varphi|_1 = \sigma_T(\Theta_{f,s}) \) (see [8]).

We have the following theorem ([5], th. 5.6).

(2.2.7) Theorem. Any locally quasi-homogeneous free divisor is of commutative linear type.

(2.2.8) Definition. Let \( p \in D \) and let us write \( \mathcal{O} = \mathcal{O}_{X,p} \) and \( \mathcal{D} = \mathcal{D}_{X,p} \). We say that \( D \) is of differential linear type at \( p \in D \) if for some (and hence any) reduced local equation \( f \in \mathcal{O} \) of \( D \) at \( p \), the ideal \( \text{ann}_{\mathcal{D}_{[s]} f^s} \) is generated by total order one operators, i.e. \( \text{ann}_{\mathcal{D}_{[s]} f^s} = \text{ann}_{\mathcal{D}_{[s]} f^s}^{(1)} = \mathcal{D}_{[s]} \cdot \Theta_{f,s} \) (see [8]). We say that \( D \) is of differential linear type if it is so at any \( p \in D \).

It is clear that being of commutative or differential linear type for a divisor are open conditions.

The following result is proved in [5], prop. 3.2.

(2.2.9) Proposition. If the divisor \( D \) is of commutative linear type (at \( p \in D \)), then it is of differential linear type (at \( p \in D \)) and if \( f : X \to \mathbb{C} \) is a reduced equation of \( D \), then \( \text{Gr}_{F_T} \text{ann}_{\mathcal{D}_{X,s} f^s} = \sigma_T(\text{ann}_{\mathcal{D}_{X,s} f^s}) = \ker \varphi \).

\(^7\)One easily sees that this condition does not depend on the choice of the local equation.
(2.2.10) Remark. Let us note that property
\[ \text{Gr}_{F^r} \text{ann}_{D_X[s]} f^s = \ker \varphi \]
may hold without assuming any linearity condition. For instance, in examples 3.1 3.2 the divisor \( D \) is not of differential linear type but property (10) holds.

(2.2.11) Question. A natural question is whether other locally quasi-homogeneous non-necessarily free divisors, for instance arbitrary hyperplane arrangements, are of commutative linear type (hence of differential linear type) or not. One could try to imitate the proof of the theorem (2.2.7) and a new question appears: for which locally quasi-homogeneous divisors the symmetric algebra of its Jacobian ideal is Cohen-Macaulay?

(2.2.12) Remark. Let us note that if property (10) holds, let’s say at a point \( p \in D \), then if \( \{P_1, \ldots, P_r\} \) is an involutive basis of \( \text{ann}_{D_X[s]} f^s \), i.e. \( \{\sigma_T(P_1), \ldots, \sigma_T(P_r)\} \) is a basis of \( \text{Gr}_{F^r} \text{ann}_{D_X[s]} f^s = \ker \varphi_p \), then \( \{f, P_1, \ldots, P_r\} \) is an involutive basis of \( D[s] f + \text{ann}_{D_X[s]} f^s \). This is due to the fact that \( \ker \varphi_p \) is a prime ideal and \( (\ker \varphi_p) : f = \ker \varphi_p \).

In what follows we assume that \( D \) is a free divisor.

The following proposition is proved in [8], prop. (1.6.7). It had been pointed out to us by Torrelli. See also [34], cor. 3.12 in the polynomial case.

(2.2.13) Proposition. If \( D \) is a free divisor of commutative linear type (at \( p \)), then it is Koszul (at \( p \)).

Let \( p \in D \) and let us write \( \mathcal{O} = \mathcal{O}_{X,p} \) and \( \mathcal{D} = \mathcal{D}_{X,p} \). Let us consider the following properties:

(GK) Property (GK) holds at any \( p \in D \).

We have the following result [8], prop. (1.6.2).

(2.2.14) Proposition. If \( D \) is Koszul then \( D \) satisfies property (GK).

(2.2.15) Example. In examples 3.1 3.3.1 3.3.2 \( D \) satisfies (GK) but is not Koszul. Example 3.2 does not satisfy (GK).

(2.2.16) Proposition. Let us assume that \( D \) is an Euler homogeneous free divisor. Let \( f \) be a reduced local equation of \( D \) at a point \( p \) and \( \{\delta_1, \ldots, \delta_n\} \) a
local basis at $p$ of the logarithmic vector fields (with respect to $D$), that we can take in such a way that $\delta_i(f) = 0$ for $i = 1, \ldots, n - 1$ and $\delta_n(f) = f$. The following properties are equivalent:

(a) $D$ satisfies property $(GK)_p$ at $p$.

(b) $\sigma(\delta_1), \ldots, \sigma(\delta_{n-1})$ is a regular sequence in $\text{Gr}_F D$.

Proof. Let $x_1, \ldots, x_n$ be a system of coordinates centered at $p$ and let us write $\xi_i = \sigma(\partial/\partial x_i)$ and $\sigma_i = \sigma(\delta_i)$. We have

$$\text{Gr}_{F} D[s] = O[s, \xi] \supset \text{Gr}_F D = O[\xi].$$

It is clear by faithful flatness that $\sigma_1, \ldots, \sigma_{n-1}, \sigma_n - s$ is a regular sequence in $O[s, \xi]$ if and only if $\sigma_1, \ldots, \sigma_{n-1}$ is a regular sequence $O[\xi]$. $\square$

The following proposition (with its corollary) gives a criterion to prove that a free divisor is pre-Spencer.

(2.2.17) Proposition. Let us assume that $D$ is given by a global reduced equation $f : X \to \mathbb{C}$ and that the complex $D_X[s] \otimes_{V_0} \Theta_{f,s}$ is concentrated in degree 0, i.e. it is a $D_X[s]$-resolution of $D_X[s]/D_X[s] \cdot \Theta_{f,s}$ (see (3)). Then, the following properties are equivalent:

(a) The complex $D_X \otimes_{V_0} \Theta_{f,s}$ is exact in degree $-1$.

(b) The module $D_X[s]/D_X[s] \cdot \Theta_{f,s}$ has no $s$-torsion.

(c) The divisor $D$ is pre-Spencer.

Proof. To prove the proposition we can proceed locally at any point $p \in D$. Let $x_1, \ldots, x_n$ be a system of coordinates centered at $p$ and let us write $\xi_i = \sigma(\partial/\partial x_i)$ and $\sigma_i = \sigma(\delta_i)$. We have

$$\text{Gr}_{F} D[s] = O[s, \xi] \supset \text{Gr}_F D = O[\xi].$$

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$$\text{Gr}_{F} D[s] = O[s, \xi] \supset \text{Gr}_F D = O[\xi].$$

It is clear by faithful flatness that $\sigma_1, \ldots, \sigma_{n-1}, \sigma_n - s$ is a regular sequence in $O[s, \xi]$ if and only if $\sigma_1, \ldots, \sigma_{n-1}$ is a regular sequence $O[\xi]$. $\square$
By taking $s = 0$, we obtain $0 = \sum_{i=1}^{n} A_i(0)\delta_i$ and from (a) we deduce that

$$(A_1(0), \ldots, A_n(0)) = \sum_{i<j} c_{ij} R_{ij}.$$ 

Let us consider

$$(B_1(s), \ldots, B_n(s)) = (A_1(s), \ldots, A_n(s)) - \sum_{i<j} c_{ij} R_{ij}(s).$$ 

By taking $s = 0$ again we obtain that all the $B_i(0)$ vanish, so $B_i(s) = s B'_i(s)$,

$$sP(s) = \sum_{i=1}^{n} A_i(s) (\delta_i - \alpha_i s) = \sum_{i=1}^{n} B_i(s) (\delta_i - \alpha_i s) = s \sum_{i=1}^{n} B'_i(s) (\delta_i - \alpha_i s)$$ 

and $P(s) \in \mathcal{D}[s] \cdot \Theta_{f,s}$.

(b) $\Rightarrow$ (c) Let $C$ be the stalk at $p$ of $\mathcal{D}_X[s] \otimes_{\mathcal{U} \Theta_{f,s}} \text{Sp} \Theta_{f,s} \mathcal{O}_X$. It is a free resolution of $M := \mathcal{D}[s]/\mathcal{D}[s] \cdot \Theta_{f,s}$. The complex $C' := \mathcal{D} \otimes_{\mathcal{D}[s]} M$ can be computed through the $\mathcal{D}[s]$-free resolution of $\mathcal{D}$ given by $\mathcal{D}[s] \rightarrow \mathcal{D}[s]$ and so, since $M$ has no $s$-torsion, the complex

$$C' = \left( \mathcal{D} \otimes_{\mathcal{D}[s]} M \right) = \left( -1^s, 0 \right)$$

is concentrated in degree 0. But $C'$ can be also computed as $C' = \mathcal{D} \otimes_{\mathcal{D}[s]} C$ and the second complex is nothing but the stalk at $p$ of $\mathcal{D}_X \otimes_{\mathcal{O}_X} \mathcal{O}_X$, and so it is concentrated in degree 0.

(c) $\Rightarrow$ (a) It is trivial. □

(2.2.18) Corollary. Let us assume that $D$ is given by a global reduced equation $f : X \rightarrow \mathbb{C}$ and that $D$ satisfies property (GK). Then, the following properties are equivalent:

(a) The complex $\mathcal{D}_X \otimes_{\mathcal{O}_X} \text{Sp} \mathcal{O}_X$ is exact in degree $-1$.

(b) The module $\mathcal{D}_X[s]/\mathcal{D}_X[s] \cdot \Theta_{f,s}$ has no $s$-torsion.

(c) The divisor $D$ is pre-Spencer.

Proof. It is enough to prove that the property (GK) implies that the complex $L = \mathcal{D}_X[s] \otimes_{\mathcal{U} \Theta_{f,s}} \text{Sp} \Theta_{f,s} \mathcal{O}_X$ is concentrated in degree 0, but this is easily proved by filtering $L$ in such a way that its graded complex is the Koszul complex associated with the sequence

$$\delta_1 - \frac{\delta_1(f)}{f} s, \ldots, \delta_n - \frac{\delta_n(f)}{f} s$$
over $\text{Gr}_{F^s}D_X[s] = \text{Sym}_{O_X}^s F^1 D_X$, where $\{\delta_1, \ldots, \delta_n\}$ is a local basis of the logarithmic vector fields (with respect to $D$). See [8], prop. (1.5.3) for the details.

\[(2.2.19)\text{ Example.}\] Corollary \[(2.2.18)\] is applied in examples 3.1, 3.3.1, 3.3.2 to deduce the pre-Spencer property. However, it does not apply to example 3.2.

In [37] the reader can find some related linearity properties and their relationship with the LCT.

\[(2.2.20)\text{ Remark.}\] The property of being pre-Spencer is a non-commutative version of the notion of regular sequence for $\delta_1, \ldots, \delta_n$. However, in the non-commutative case the pre-Spencer property is not inherited by subsequences, when that makes sense (see example 3.2).

### 2.3 Further linearity conditions: examples and questions

For a divisor $D \subset X$, the properties of being of commutative linear type or of differential linear type are very restrictive. In dimension 2 we have the following proposition.

\[(2.3.1)\text{ Proposition.}\] Let assume that $\dim X = 2$, and so $D$ is automatically free. The following properties are equivalent:

\begin{enumerate}[(a)]
    \item $D$ is of commutative linear type.
    \item $D$ is of differential linear type.
    \item $D$ satisfies the LCT.
    \item $D$ is Euler homogeneous.
    \item $D$ is locally quasi-homogeneous.
\end{enumerate}

\textbf{Proof.} The implication \((a) \Rightarrow (b)\) comes from proposition \[(2.2.9)\] The equivalences \((c) \Leftrightarrow (d) \Leftrightarrow (e)\) have been proved in [4]. The implication \((e) \Rightarrow (a)\) is a consequence of theorem \[(2.2.7)\]

For the remaining implication \((b) \Rightarrow (d)\), we use some results of T. Torrelli (see [8], remark (1.6.6), c)). We can proceed locally and assume that $D$ has a reduced equation $f = 0$. Since $\dim X = 2$, we know that $D$ is free and Koszul. Let $\{\delta_1, \delta_2\}$ be a local basis of the logarithmic derivations, with $\delta_i(f) = \alpha_i f$. Property (b) means that $\text{ann}_{D[s]} f^\ast$ is generated by $\delta_1 - \alpha_1 s, \delta_2 - \alpha_2 s$. But the Bernstein polynomial of $f$ has no integer roots less than $-1$ (cf. [38]), and so $\text{ann}_{D} f^{-1}$ is generated by $\delta_1 + \alpha_1, \delta_2 + \alpha_2$. We can now apply proposition 4.1 and lemma 4.3 of [36] to conclude that $f$ belongs to the ideal generated by its partial derivatives, i.e. $D$ is Euler homogeneous.

\[\square\]

In higher dimension, the relationship between the properties in the above proposition, even for free divisors, is not clear (see conjecture 1.4 in [4]) and
For instance, examples in Section 3 satisfy the LCT but they are not of differential linear type. Nevertheless, some generalized linear type conditions appear.

Let us denote by \( D_X(s) := \mathbb{C}(s) \otimes_{\mathbb{C}} D_X \) (resp. \( D(s) := \mathbb{C}(s) \otimes_{\mathbb{C}} D \)), with the filtration \( \mathbb{C}(s) \otimes_{\mathbb{C}} F \), that we will also denote by \( F \).

Let \( p \in D \) and let us write \( \mathcal{O} = \mathcal{O}_{X,p} \) and \( \mathcal{D} = \mathcal{D}_{X,p} \). Let us consider the following properties

\[ (GDL)_p \text{ For some (or any) reduced local equation } f \in \mathcal{O} \text{ of } \mathcal{D} \text{ at } p, \text{ the ideal } \text{ann}_{\mathcal{D}[s]} f^s \text{ is generated by order one operators, i.e. (see (8)) } \text{ann}_{\mathcal{D}[s]} f^s = \mathcal{D}(s) \cdot \Theta_{f,s}. \]

\( (GDL) \) Property \( (GDL)_p \) holds for any \( p \in D \).

It is clear that the property \( (GDL) \) is an open condition.

\textbf{(2.3.2) Remark.} Since \( \text{ann}_{\mathcal{D}[s]} f^s \) is an ideal finitely generated of \( \mathcal{D}[s] \), property \( (GDL)_p \) holds if and only if there is a non zero polynomial \( \beta(s) \in \mathbb{C}[s] \) such that \( \beta(s) \cdot \text{ann}_{\mathcal{D}[s]} f^s \subset \text{ann}(1)_{\mathcal{D}[s]} f^s \). A sufficient condition for the existence of such polynomial is that the quotient \( \text{ann}_{\mathcal{D}[s]} f^s / \text{ann}(1)_{\mathcal{D}[s]} f^s \) is holonomic as \( \mathcal{D} \)-module. However this condition is not necessary as shown in example 3.1.

It is clear that any divisor of differential linear type satisfies \( (GDL) \).

\textbf{(2.3.3) Example.} The divisors of the examples in Section 3 satisfy \( (GDL) \) but are not of differential linear type.

Let us assume that \( X \) is an open subset of \( \mathbb{C}^n \) with coordinates \( x_1, \ldots, x_n \) and that \( D \) has reduced global equation \( f : X \to \mathbb{C} \). Morphism \( \varphi \) in (7) is then given explicitly by

\[
\varphi : F(s, \xi) \in \mathcal{O}_X[s, \xi_1, \ldots, \xi_n] \mapsto F(f, f'_1, \ldots, f'_n) \in \mathcal{R}(\mathcal{O}_X(f, f'_1, \ldots, f'_n))
\]

for each homogeneous polynomial \( F \) of degree \( d \), where \( \xi_i \) denotes the symbol of \( \frac{\partial}{\partial x_i} \). Let us denote by \( \ker(1) \varphi \) the ideal of \( \mathcal{O}_X[s, \xi] \) generated by \( [\ker \varphi]_1 \equiv \Theta_{f,s} \).

To say that \( D \) is of commutative linear type means that \( \ker \varphi = \ker(1) \varphi \).

\textbf{(2.3.4) By shrinking } X \text{ if needed, let us take a system of generators of } \text{Der}(\log D) \text{ on } X, \delta_i = \sum_{j=1}^{n} a_{ij} \frac{\partial}{\partial x_j}, \quad 1 \leq i \leq m, \text{ with } \delta_i(f) = \alpha_i f. \text{ In other words, } (-\alpha_i, a_1, \ldots, a_m), 1 \leq i \leq m, \text{ is a system of generators of the syzygies of } f, f'_1, \ldots, f'_n. \text{ Clearly the homogeneous polynomials } \Delta_i = -\alpha_i s + a_1 \xi_1 + \cdots + a_m \xi_n, 1 \leq i \leq m, \text{ generate } \ker(1) \varphi.

In examples in Section 3 the divisors are not of differential linear type but they do satisfy the following property:

\text{One easily sees that this condition does not depend on the choice of the local equation.}
(GCL) There is an integer \( N \geq 0 \) such that \( s^N \ker \varphi \subset \ker^{(1)} \varphi \).

In other words, if we consider the map

\[
\varphi' : \mathcal{O}_X[s, s^{-1}, \xi] \to \mathcal{R}(\mathcal{O}_X(f, f'))_{ft} \subset \mathcal{O}_X[t]_{ft}
\]

induced by \( \varphi \), property (GCL) exactly means that \( \ker \varphi' \) is generated by \( \ker^{(1)} \varphi \).

Let us note that property (GCL) does not depend on the particular equation \( f \) and so it is a property of \( D \). It is clear that any divisor of commutative linear type satisfies (GCL).

(2.3.5) Proposition. If \( D \) satisfies property (GCL), then it is Euler homogeneous.

Proof. The proof is analogous to the proof of proposition (2.2.5). Let \( N > 0 \) be such that \( s^N \ker \varphi \subset \ker^{(1)} \varphi \). We can proceed locally at each point \( p \in D \).

We know that \( f \) belongs to the integral closure of the gradient ideal \( I = (f'_{x_1}, \ldots, f'_{x_n}) \subset 0 \) (cf. [35], §0.5, 1), i.e. there is an integer \( d > 0 \) and elements \( a_i \in I^{-d} \) such that \( f^d + a_{d-1}f^{d-1} + \cdots + a_0 = 0 \). Equivalently, there is a homogeneous polynomial \( F \in \mathcal{O}(s, \xi) \) of degree \( d > 0 \) such that \( F(f, f'_{x_1}, \ldots, f'_{x_n}) = 0 \) and \( F(s, 0, \ldots, 0) = s^d \). Then \( F \in \ker \varphi_p \) and \( s^N F = \sum_{i=1}^m Q_i \Delta_i \), where the \( \Delta_i \) have been defined in (2.3.4). By taking \( \xi_1 = \cdots = \xi_n = 0 \) we deduce that \( s^{N+d} = -\sum_i Q_i(s, 0, \ldots, 0)\alpha_i s \) and so at least one of the \( \alpha_i \) is a unit, i.e. \( f \in (f'_{x_1}, \ldots, f'_{x_n}) \) and \( D \) is Euler homogeneous at \( p \). \( \square \)

(2.3.6) Corollary. If \( \dim X = 2 \) and \( D \) satisfies (GCL), then it is of commutative linear type.

(2.3.7) Remark. Proposition (2.2.13) suggests that property (GCL) could imply property (GK), but the divisor in example 3.2 satisfies (GCL) and not (GK).

Proposition (2.2.9) suggests the following question.

(2.3.8) Question. We do not know whether the implication (GCL) \( \Rightarrow \) (GDL) is true or not. In the same vein, we do not know whether there is some relationship between \( N \) and the degree of \( \beta(s) \) or not, where \( s^N \ker \varphi \subset \ker^{(1)} \varphi \) and \( \beta(s) \) \( \text{ann}_{D[X]} f^* \subset \text{ann}^{(1)}_{D[X]} f^* \) (see examples in 3).

(2.3.9) Question. Concerning property (GDL), we do not know any “direct” algorithm\(^9\) to find generators of the annihilator of \( f^* \) over the Weyl algebra \( \mathbb{Q}(s)[x, \partial] \), for a given polynomial \( f \in \mathbb{Q}[x] \).

(2.3.10) Question. All the examples of free divisors satisfying the LCT we have been able to compute (see for instance examples in section 3) satisfy properties (GCL) and (GDL), but we do not know whether this a general fact or not.

\(^9\)Not based on the known algorithms for computing the annihilator of \( f^* \) over \( \mathbb{Q}[s, x, \partial] \).
(2.3.11) **Question.** Let $b(s)$ be the Bernstein polynomial of $f$ at a point $p \in D$. We have a functional equation $b(s)f^s = P f^{s+1}$ with $P \in D[s]$, which means that $b(s) - Pf \in \text{ann} D[s], f^s$. If $D$ satisfies (GDL) at $p$, then there is a non-zero polynomial $\beta(s) \in \mathbb{C}[s]$ such that $\beta(s) \text{ann} D[s], f^s \subset \text{ann} D[s], f^s$, and so there is a functional equation $b'(s)f^s = P'f^{s+1}$ with $b'(s) - P'f \in \text{ann} D[s], f^s$. In the examples in [3], property (GCL) is always satisfied (an also the LCT), but more precise properties hold. Namely, $b(s)$ is a multiple of $\beta(s)$ and the functional equation $b(s)f^s = P f^{s+1}$ for the Bernstein polynomial already satisfies $b(s) - Pf \in \text{ann} D[s], f^s$ without multiplying by $\beta(s)$. We do not know whether these properties are a consequence of the property (GCL), and eventually of the LCT. On the other hand, a more precise relationship between the factors of $\beta(s)$ and the tower

$$\text{ann} D[s], f^s \subset \text{ann} D[s], f^s \subset \cdots \subset \text{ann} D[s], f^s = \text{ann} D[s], f^s$$

appears in examples in section [3.3].

(2.3.12) **Question.** A natural question is to check whether linear free divisors satisfy or not properties (GCL) or (GDL). For instance, all the divisors in section 3 are free LWQH.

### 3 Examples

In this section we study some examples of free divisors in the affine space $X = \mathbb{C}^n$, for $n = 3, 4$ with a polynomial equation, from the point of view of properties (GCL), (GDL) and relatives.

As a matter of notation, every time we have a global basis $\{\delta_1, \ldots, \delta_n\}$ of the logarithmic derivations, we write $\sigma_i = \sigma(\delta_i) \in \mathbb{C}[x, \partial] \subset \Gamma(X, \text{Gr} D_X) = \partial X \langle X \rangle[\xi]$. The computations are done at the global level of Weyl algebras $\mathcal{W} = \mathbb{C}[x, \partial]$ or $\mathcal{W}[s]$ or polynomial rings, and we have used [21] and [18].

#### 3.1 The example of “four lines” revisited

In this example $X = \mathbb{C}^3$ and $D = \{f = 0\}$ with $f = x_1x_2(x_1 + x_2)(x_1 + x_2x_3)$ (see [3] Remark 4.2.4, [5] ex. 6.2 and [4] 4). There is a global basis of $\text{Der}(\log D)$, $\{\delta_1, \delta_2, \delta_3\}$, with $\delta_1(f) = \delta_2(f) = 0$, $\delta_3(f) = 4f$.

Since $(\sigma_1, \sigma_2) : \sigma_3 = (\sigma_1, \sigma_2, \tau)$, with $\tau$ a certain homogeneous polynomial in $\xi$ of degree 2, and $(\sigma_1, \sigma_2) : \tau = (x_1, x_2)$, we deduce that $D$ is not Koszul at any point of the line $x_1 = x_2 = 0$, which is strictly contained in the singular locus of $D$.

---

10 A similar question can be considered in the global polynomial case.
On the other hand, it is possible to lift property (GCL). We have \( \sigma \) is not of differential linear type.

\[ \text{We know that for any } p \in X, \text{ we have } M(2.2.18). \]

Moreover (ker \( \varphi \)) \( \cap O_X[\xi_1, \xi_2, \xi_3] = O_X[\xi](\sigma_1, \sigma_2, \tau), \tau \notin O_X[\xi] (\sigma_1, \sigma_2, \sigma_3 - 4s) \) and ker \( \varphi = (\ker^{(1)} \varphi) : f. \)

We have \( s \tau \in (\sigma_1, \sigma_2, \sigma_3 - 4s) \) and so \( s (\ker \varphi) \subset \ker^{(1)} \varphi. \) In particular, \( D \) satisfies property (GCL).

On the other hand, it is possible to lift \( \tau \) to an operator \( T \in \mathbb{W} \) such that \( \sigma = T = \tau \) and \( T(f^s) = 0. \) Then, we deduce that

\[ \text{ann}_{\mathbb{D}_X[s]} f^s = \mathbb{D}_X[s](\delta_1, \delta_2, T, \delta_3 - 4s), \quad \text{ann}_{\mathbb{D}_X} f^s = \mathbb{D}_X(\delta_1, \delta_2, T), \]

and

\[ \text{Gr}_{\mathbb{F}_r} (\text{ann}_{\mathbb{D}_X[s]} f^s) = \ker \varphi, \quad \text{Gr}_{\mathbb{F}} (\text{ann}_{\mathbb{D}_X} f^s) = (\ker \varphi) \cap O_X[\xi]. \]

(i.e. \( D \) satisfies property (10)) and \( D \) is not of differential linear type.

We also have \( T f \in \mathbb{W}(\delta_1, \delta_2) \) and so \( \text{ann}_{\mathbb{D}_X} f^s = \{ A \mid A \in \mathbb{D}_X(\delta_1, \delta_2) \} \).

We find that \( (s + 1/2)T \in \mathbb{W}[\delta_1, \delta_2, 2, \delta_3 - 4s] \) and so

\[ (s + 1/2) \text{ann}_{\mathbb{D}_X[s]} f^s \subset \text{ann}_{\mathbb{D}_X[s]}^{(1)} f^s, \quad (11) \]

i.e. \( D \) satisfies property (GDL). However, the \( \mathbb{D}_X \)-module

\[ M = \text{ann}_{\mathbb{D}_X[s]}(f^s)/\text{ann}_{\mathbb{D}_X[s]}^{(1)} f^s \]

is not holonomic: we have \( M = \mathbb{D}_X \cdot \overrightarrow{P} \) and a syzygy computation shows that

\[ \text{ann}_{\mathbb{D}_X[s]} \overrightarrow{P} = \mathbb{D}_X[s](x_1, x_2, s + 1/2), \quad \text{ann}_{\mathbb{D}_X} \overrightarrow{P} = \mathbb{D}_X(x_1, x_2) \]

and so \( M \) is not holonomic.

The global Bernstein polynomial of \( f \) is

\[ b_f(s) = (s + 1)^3(s + 1/2)(s + 3/4)(s + 5/4). \]

From (11) and the fact that the \( b_f(s) \) does not have any integer root less than \(-1\) we deduce that \( \text{ann}_{\mathbb{D}_X} f^{-1} \) is generated by operators of order 1, even if \( D \) is not of differential linear type.

Let us explain now "why" \( D \) is pre-Spencer without testing the definition (1.5.2) itself. Let \( p \) be any point of \( D \) and let us write \( \mathcal{O} = \mathcal{O}_{X,p}, \mathcal{D} = \mathcal{D}_{X,p}. \)

For the exactness of \( \mathcal{D}_X \otimes_{\mathcal{O}_X} \text{Sp} \mathcal{O}_X \) in degrees \( \neq 0 \) we can apply criterion (2.2.18). We know that \( \sigma_1, \sigma_2, \sigma_3 - 4s \) is a regular sequence in \( \text{Gr}_{\mathbb{F}_r} \mathcal{D}[s]. \) We
need to prove that \( \mathcal{D}[s]/\text{ann}^{(1)}_{\mathcal{D}[s]}(f^s) \) has no \( s \)-torsion. For that, let \( Q \in \mathcal{D}[s] \) and suppose that \( sQ \in \text{ann}^{(1)}_{\mathcal{D}[s]}(f^s) \). It is clear that \( Q \in \text{ann}_{\mathcal{D}[s]}(f^s) \) and we know from (11) that \((s + 1/2)Q \in \text{ann}^{(1)}_{\mathcal{D}[s]}(f^s)\), but

\[
sQ, (s + 1/2)Q \in \text{ann}^{(1)}_{\mathcal{D}[s]}(f^s) \Rightarrow Q \in \text{ann}^{(1)}_{\mathcal{D}[s]}(f^s).
\]

On the other hand, by specializing (11) at \( s = 0 \) we deduce that \( T \in \mathcal{D}(\delta_1, \delta_2, \delta_3) \) and so

\[
(\sigma_3) + (\ker \Phi_p) \cap \mathcal{O}[\xi] = (\sigma_1, \sigma_2, \sigma_3, \tau) \subset \text{Gr}_{\mathcal{F}} \mathcal{D}(\delta_1, \delta_2, \delta_3).
\]

But

\[
\dim \left( \frac{\mathcal{O}[\xi]}{(\sigma_1, \sigma_2, \sigma_3, \tau)} \right) = \dim \left( \frac{\mathcal{O}[\xi]}{(\sigma_1, \sigma_2, \tau)} \right) - 1 = \dim \mathcal{R} (\text{Jac}(D)_p) - 1 = 3
\]

and \( \mathcal{D} \otimes \mathcal{D}(\log D) \mathcal{O} = \mathcal{D}/\mathcal{D}(\delta_1, \delta_2, \delta_3) \) is holonomic.

Finally, we check that there exists an operator \( P \) in the Weyl algebra \( \mathcal{W} \) of order 6 such that the Bernstein functional equation \( b_f(s)f^s = Pf^{s+1} \) holds, but for which \( b_f(s) - Pf \) is not on only in \( \text{ann}_{\mathcal{W}[s]}f^s \), but in \( \mathcal{W}[s](\delta_1, \delta_2, \delta_3 - 4s) \).

### 3.2 The example of “five lines”

In this example \( X = \mathbb{C}^4 \) and \( D = \{ f = 0 \} \) with \( f = x_1x_2(x_1 + x_2)(x_1 + x_2x_3)(x_1 + x_2x_4) \). There is a global basis of \( \text{Der}(\log D), \{ \delta_1, \delta_2, \delta_3, \delta_4 \} \), with \( \delta_1(f) = \delta_2(f) = \delta_3(f) = 0, \delta_4(f) = 5f \).

We have

\[
a := (\sigma_1, \sigma_2) : \sigma_3 \neq (\sigma_1, \sigma_2), \quad (\sigma_1, \sigma_2) : a = (x_1, x_2)
\]

and so \( D \) is neither Koszul nor satisfies property (GK)_p (i.e. \( \sigma_1, \sigma_2, \sigma_3, \sigma_4 - 5s \) is not a regular sequence in \( \text{Gr}_{\mathcal{F}} \mathcal{D}_{X,p}[s] = \mathcal{O}_{X,p}[s] \)) at any \( p \) in the plane \( x_1 = x_2 = 0 \), which is strictly contained in the singular locus of \( D \). So we cannot use criterion [2.2.18] to prove that \( D \) is pre-Spencer. Nevertheless, a global computation in \( \mathcal{W} \) shows that the complex \( \mathcal{D}_{X} \otimes_{\mathcal{V}_0} \text{Sp} \mathcal{O}_X \) is exact in degrees \( \neq 0 \).

The vanishing of \( h^{-1} \) \( (\mathcal{D}_{X} \otimes_{\mathcal{V}_0} \text{Sp} \mathcal{O}_X) \) exactly means that the syzygies of \( \delta_1, \delta_2, \delta_3, \delta_4 \) are generated by those of “Spencer type”, i.e. those coming from the relations expressing the brackets \( [\delta_i, \delta_j], 1 \leq i < j \leq 4 \), as linear combinations of the \( \delta_k, 1 \leq k \leq 4 \) (see the proof of proposition [2.2.17]). However, the syzygies of \( \delta_1, \delta_2, \delta_3 \) are not generated by those of “Spencer type”. That represents a big difference with regular sequences in the commutative case.

The kernel of \( \varphi : \mathcal{O}_X[s, \xi] \rightarrow \mathcal{R}(\text{Jac}(D)) \) is generated by \( \sigma_1, \sigma_2, \sigma_3, \tau_1, \tau_2, \tau_3, \sigma_4 - 5s \), where the \( \tau_i \in \mathbb{C}[x_1, \xi] \) are homogeneous in \( \xi \) of degree 2 and \( \tau_i \notin \text{ker}^{(1)} \varphi \).
Moreover \((\ker \varphi) \cap \mathcal{O}_X[\xi] = \mathcal{O}_X[\xi](\sigma_1, \sigma_2, \sigma_3, \tau_1, \tau_2, \tau_3)\) and \(\ker \varphi = \left( \ker^{(1)} \varphi \right) : f\).

As in example 3.1, we have \(s(\ker \varphi) \subset \ker^{(1)} \varphi\) and so \(D\) satisfies property (GCL).

On the other hand, there are operators \(T_i \in \text{ann}_{\mathcal{D}_X} f^s\) such that \(\sigma(T_i) = \tau_i, i = 1, 2, 3\). We deduce that \(\text{ann}_{\mathcal{D}_X} f^s = \mathcal{D}_X[s](\delta_1, \delta_2, \delta_3, T_1, T_2, T_3, \delta_4 - 5s)\), \(\text{ann}_{\mathcal{D}_X} f^s = \mathcal{D}_X(\delta_1, \delta_2, \delta_3, T_1, T_2, T_3)\) and

\[
\text{Gr}_{F_{\varphi}} (\text{ann}_{\mathcal{D}_X} f^s) = \ker \varphi, \quad \text{Gr}_{F} (\text{ann}_{\mathcal{D}_X} f^s) = (\ker \varphi) \cap \mathcal{O}_X[\xi]
\]

(i.e. \(D\) satisfies property (10)) and \(D\) is not of differential linear type.

We also have \(T_i f \in \mathcal{W}(\delta_1, \delta_2, \delta_3)\) and so \(\text{ann}_{\mathcal{D}_X} f^s = \{ A \mid Af \in \mathcal{D}_X(\delta_1, \delta_2, \delta_3)\}\).

We also have the following

\[ (s + 2/5) \text{ann}_{\mathcal{D}_X} f^s \subset \text{ann}_{\mathcal{D}_X}^{(1)} f^s, \]

i.e. \(D\) satisfies property (GDL).

The global Bernstein polynomial of \(f\) is

\[ b_f(s) = (s + 1)^4(s + 2/5)(s + 3/5)(s + 4/5)(s + 6/5). \]

From (12) and the fact that the \(b_f(s)\) does not have any integer root less than \(-1\) we deduce that \(\text{ann}_{\mathcal{D}_X} f^{-1}\) is generated by operators of order 1, even if \(D\) is not of differential linear type.

To conclude that \(D\) is Spencer, we have to prove that \(\mathcal{D}_X \otimes \mathcal{O}_0 \mathcal{O}_X = \mathcal{D}_X / \mathcal{D}_X(\delta_1, \delta_2, \delta_3, \delta_4)\) is holonomic, but this can be done in a completely similar way as in the example 3.1.

Finally \(D\) satisfies the LCT after remark (1.7.3).

### 3.3 The family \(D_k = \{ f_k = (x_1 x_3 + x_2)(x_1^k - x_2^k) = 0 \}\)

This family of examples has been studied in [12]. All of them satisfy the LCT. Here we study their behavior with respect to properties (GCL), (GDL) and relatives.

#### 3.3.1 The case \(k = 4\)

There is a global basis \(\{ \delta_1, \delta_2, \delta_3 \} \) of \(\text{Der}(\log D_4)\) with \(\delta_1(f_4) = \delta_2(f_4) = 0\) and \(\delta_3(f_4) = 5f_4\). We see that \(\sigma_1, \sigma_2\) is a regular sequence and so \(D_4\) satisfies property (GK) (see proposition (2.2.16)) but

\[ a := (\sigma_1, \sigma_2) : \sigma_3 \neq (\sigma_1, \sigma_2), \quad (\sigma_1, \sigma_2) : a = (x_1, x_2) \]
and so $D_4$ is not Koszul at any $p$ in the line $x_1 = x_2 = 0$, which is strictly contained in the singular locus of $D_4$.

The kernel of $\varphi : \mathcal{O}_X[s, \xi] \to \mathcal{R}(\text{Jac} D_4)$ is generated by $\sigma_1, \sigma_2, \sigma_3 - 5s, \tau$ where $\tau$ is homogeneous in $\xi$ of degree 2 and $\tau \notin \ker(\varphi)$. Moreover $(\ker(\varphi)) \cap \mathcal{O}_X[\xi] = (\sigma_1, \sigma_2, \tau)$ and $\ker \varphi = \left( \ker(\varphi) \right) : f_4$.

In this example we find that $s^2 (\ker(\varphi)) \subset \ker(\varphi)$ (but $s (\ker(\varphi)) \not\subset \ker(\varphi)$) and so $D_4$ satisfies property (GCL).

In this example, property (H) does not hold, i.e. $\text{Gr}_F \text{ann}_{\mathcal{D}_X[s]} f_4^I \neq \ker(\varphi)$, or equivalently $\text{Gr}_F \text{ann}_{\mathcal{D}_X} f_4^I \neq (\ker(\varphi)) \cap \mathcal{O}[\xi]$.

Let us call $\text{Gr}_l \text{ann}_{\mathcal{D}_X} f_4^I$ the ideal of $\mathcal{D}_X$ generated by $\text{Gr}_l \text{ann}_{\mathcal{D}_X} f_4^I$ for $i \leq l$, and $\text{ann}_{\mathcal{D}_X} f_4^I$ the left ideal of $\mathcal{D}_X$ generated by $F^l \text{ann}_{\mathcal{D}_X} f_4^I$.

We find the following facts:

$l = 2$: $\text{Gr}_2 \text{ann}_{\mathcal{D}_X} f_4^I = (\sigma_1, \sigma_2, x_1\tau)$ and $\text{ann}_{\mathcal{D}_X} f_4^I = \mathcal{D}_X(\delta_1, \delta_2, T_1)$ with $\sigma(T_1) = x_1\tau$. We also find that the commutative relation $sx_1\tau \in \ker(\varphi)$ lifts to the differential relation $(s + 3/5)T_1 \in \text{ann}_{\mathcal{D}_X[s]} f_4^I$. Moreover,$$
\text{ann}_{\mathcal{D}_X[s]} f_4^I : (s + 3/5) = \mathcal{D}_X[s](\delta_1, \delta_2, \delta_3 - 5s, T_1) = \text{ann}_{\mathcal{D}_X[s]} f_4^I.
$$

$l = 3$: $\text{Gr}_3 \text{ann}_{\mathcal{D}_X} f_4^I = (\sigma_1, \sigma_2, x_1\tau, \xi_3\tau)$ and $\text{ann}_{\mathcal{D}_X} f_4^I = \mathcal{D}_X(\delta_1, \delta_2, T_1, T_2)$ with $\sigma(T_2) = \xi_3\tau$. We have $(s + 2/5)T_2 \in \text{ann}_{\mathcal{D}_X[s]} f_4^I$. Moreover,$$
\text{ann}_{\mathcal{D}_X[s]} f_4^I : (s + 2/5) = \text{ann}_{\mathcal{D}_X[s]} f_4^I.
$$

We check that $\text{ann}_{\mathcal{D}_X[s]} f_4^I = \text{ann}_{\mathcal{D}_X[s]} f_4^I$, $\text{ann}_{\mathcal{D}_X[s]} f_4^I = \{ A | Af_4 \in \mathcal{D}_X(\delta_1, \delta_2) \}$ and $\delta_1, \delta_2, T_2$ is a (non involutive) basis of $\text{ann}_{\mathcal{D}_X} f_4^I$.

We have then that $\beta(s) \text{ann}_{\mathcal{D}_X[s]} f_4^I \subset \text{ann}_{\mathcal{D}_X[s]} f_4^I$, with $\beta(s) = (s + 3/5)(s + 2/5)$, and $D_4$ satisfies property (GDL).

We conclude as in example 3.1 that $D_4$ is Spencer.

The global Bernstein polynomial of $f_4$ is $b_{f_4}(s) = (s + 1)^3(s + 6/5)(s + 3/5)(s + 4/5)(s + 2/5)$ and it is a multiple of $\beta(s)$.

Although the elements $f, \delta_1, \delta_2, \delta_3 - 5s$ are not an involutive basis of the ideal of $\mathcal{D}_X[s]$ that they generate, it is possible to check, starting from the commutative relation $s^7 \in \mathbb{C}[x][s, \xi](f, \sigma_1, \sigma_2, \sigma_3 - 5s)$,
that there exist $P, A_1, A_2, A_3$ in $\mathbb{C}[\varphi, \delta, s]$ such that
\[ b f_4(s) - Pf_4 = A_1 \delta_1 + A_2 \delta_2 + A_3 (\delta_3 - 5s) \in \text{ann}^{(1)}_{W[s]} f_4^s, \]
with $P$ of total order equal to $7 = \deg b f_4(s)$ (that means that the Bernstein polynomial satisfies a “regular” functional equation [20]).

### 3.3.2 The case $k = 7$

There is a global basis $\{\delta_1, \delta_2, \delta_3\}$ of $\text{Der}(\log D)$ with $\delta_1(f_7) = \delta_2(f_7) = 0$ and $\delta_3(f_7) = 8 f_7$. We see that $\sigma_1, \sigma_2$ is a regular sequence and so $D_7$ satisfies property (GK) (see proposition [2.2.16]) but
\[ a := (\sigma_1, \sigma_2) : \sigma_3 \notin (\sigma_1, \sigma_2), \quad (\sigma_1, \sigma_2) : a = (x_1, x_2) \]
and so $D_7$ is not Koszul at any $p$ in the line $x_1 = x_2 = 0$, which is strictly contained in the singular locus of $D_7$.

The kernel of $\varphi : \mathcal{O}_X[s, \xi] \to \mathcal{R}(\text{Jac} D_4)$ is generated by $\sigma_1, \sigma_2, \sigma_3 - 8s, \tau_1, \tau_2, \tau_3$ where $\tau_i$ is homogeneous in $\xi$ of degree $i + 1$, $1 \leq i \leq 3$, and $\tau_i \notin \ker^{(1)} \varphi$.

Moreover, $\ker \varphi \cap \mathcal{O}_X[2] = (\sigma_1, \sigma_2, \tau_1, \tau_2, \tau_3)$ and $\ker \varphi = (\ker^{(1)} \varphi)[f_7]$. In this example we find that $s^5 (\ker \varphi) \subset \ker^{(1)} \varphi$ (but $s^4 (\ker \varphi) \not\subset \ker^{(1)} \varphi$) and so $D_7$ satisfies property (GCL).

In this example, property [10] does not hold either, i.e. $\text{Gr}_{F^*} \text{ann}_{D_X[s]} f_7^s \neq \ker \varphi$, or equivalently $\text{Gr}_F \text{ann}_{D_X} f_7^s \neq (\ker \varphi) \cap \mathcal{O}[\xi]$.

\[
\begin{align*}
\text{l = 2:} &\quad \text{ann}_{D_X} f_7^s = (\sigma_1, \sigma_2, x_1 \tau_1) \quad \text{and} \quad \text{ann}_{D_X} f_4^s = \mathcal{D}_X(\delta_1, \delta_2, T_1) \quad \text{with} \quad \sigma(T_1) = x_1 \tau_1. \\
&\quad \text{We also find that the commutative relation } sx_1 \tau \in \ker^{(1)} \varphi \text{ lifts to the differential relation } (s + 3/4)T_1 \in \text{ann}_{D_X[s]} f_7^s. \\
&\quad \text{Moreover,} \\
&\quad \text{ann}_{D_X[s]} f_7^s : (s + 3/4) = D_X[s](\delta_1, \delta_2, \delta_3 - 8s, T_1) = \text{ann}_{D_X[s]} f_7^s.
\end{align*}
\]

\[
\begin{align*}
\text{l = 3:} &\quad \text{ann}_{D_X} f_7^s = (\sigma_1, \sigma_2, x_1 \tau_1, \xi_3 \tau_1) \quad \text{and} \quad \text{ann}_{D_X} f_4^s = \mathcal{D}_X(\delta_1, \delta_2, T_1, T_2) \quad \text{with} \quad \sigma(T_2) = \xi_3 \tau_1. \\
&\quad \text{We have } (s + 5/8)T_2 \in \text{ann}_{D_X[s]} f_7^s. \\
&\quad \text{Moreover,} \\
&\quad \text{ann}_{D_X[s]} f_7^s : (s + 5/8) = \text{ann}_{D_X[s]} f_7^s.
\end{align*}
\]

\[
\begin{align*}
\text{l = 4:} &\quad \text{ann}_{D_X} f_7^s = (\sigma_1, \sigma_2, x_1 \tau_1, \xi_3 \tau_1, x_3 \xi_3 \tau_2) \quad \text{and} \quad \text{ann}_{D_X} f_4^s = \text{ann}_{D_X} f_7^s + \mathcal{D}_X(T_3) \quad \text{with} \quad \sigma(T_3) = x_1 \xi_3 \tau_2. \\
&\quad \text{We have } (s + 1/2)T_3 \in \text{ann}_{D_X[s]} f_7^s. \\
&\quad \text{Moreover,} \\
&\quad \text{ann}_{D_X[s]} f_7^s : (s + 1/2) = \text{ann}_{D_X[s]} f_7^s.
\end{align*}
\]
$l = 5$: $\text{Gr}^{(5)} \text{ann}_{D_X} f^2_{T} = (\sigma_1, \sigma_2, x_1 \tau_1, \xi_3 \tau_1, x_1 \xi_3 \tau_2, x_1 (x_1 \xi_2 - 8 \xi_3) \tau_3)$ and $\text{ann}^{(5)}_{D_X} f^2_{T} = D_X(\delta_1, \delta_2, T_1, T_2, T_3, T_4)$ with $\sigma(T_4) = x_1 (x_1 \xi_2 - 8 \xi_3) \tau_3$. We have $(s + 3/8)T_4 \in \text{ann}_{D_X[s]} f^2_{T}$. Moreover,

$$\text{ann}_{D_X[s]} f^2_{T} : (s + 3/8) = \text{ann}^{(5)}_{D_X[s]} f^2_{T}.$$ 

$l = 6$: $\text{Gr}^{(6)} \text{ann}_{D_X} f^2_{T} = (\sigma_1, \sigma_2, x_1 \tau_1, \xi_3 \tau_1, x_1 \xi_3 \tau_2, x_1 (x_1 \xi_2 - 8 \xi_3) \tau_3, (x_1 \xi_2 - 8 \xi_3)^2 \tau_3)$ and $\text{ann}^{(6)}_{D_X} f^2_{T} = D_X(\delta_1, \delta_2, T_1, T_2, T_3, T_4, T_5)$ with $\sigma(T_5) = (x_1 \xi_2 - 8 \xi_3)^2 \tau_3$. We have $(s + 1/4)T_5 \in \text{ann}^{(5)}_{D_X[s]} f^2_{T}$. Moreover,

$$\text{ann}_{D_X[s]} f^2_{T} : (s + 1/4) = \text{ann}^{(6)}_{D_X[s]} f^2_{T}.$$ 

We check that $\text{ann}_{D_X[s]} f^2_{T} = \text{ann}^{(6)}_{D_X[s]} f^2_{T}$, $\text{ann}_{D_X} f^2_{T} = \{ A \mid A f_7 \in D_X(\delta_1, \delta_2) \}$ and $\delta_1, \delta_2, T_5$ (resp. $\delta_1, \delta_2, T_1, T_2, T_3, T_4, T_5$) is a non involutive (resp. involutive) basis of $\text{ann}_{D_X} f^2_{T}$. We have then that $\beta(s) \text{ann}_{D_X[s]} f^2_{T} \subset \text{ann}^{(1)}_{D_X[s]} f^2_{T}$, with $\beta(s) = (s + 3/4)(s + 5/8)(s + 1/2)(s + 3/8)(s + 1/4)$, and $D_T$ satisfies property (GDL). We conclude as in examples 3.1 and 3.3.1 that $D_4$ is Spencer.

The global Bernstein polynomial of $f_7$ is

$$b_{f_7}(s) = (s + 1)^3(s + 1/2)(s + 7/8)(s + 9/8)(s + 5/8)(s + 3/4)(s + 3/8)(s + 1/4)$$

and it is a multiple of $\beta(s)$.

In this case we check that $s^{10} \notin (f_7) + \ker \varphi$ and so there is no regular functional equation $b_{f_7}(s)f_7 = Pf_{f_7}^{s+1}$ with $P \in \mathbb{W}[s]$ of total order 10.

Nevertheless we are able to find a functional equation

$$b_{f_7}(s) - Pf_7 = A_1 \delta_1 + A_2 \delta_2 + A_3 (\delta_3 - 8s) \in \text{ann}^{(1)}_{\mathbb{W}[s]} f^2_{T},$$

with $P$ of total order 15, but the computations are quite involved. In fact, we have not been able to find $P$ and the $A_i$ by using directly [21, 18]. What we have done is to find a new system of generators of the ideal $I = \mathbb{W}(f_7, \delta_1, \delta_2, \delta_3 - 8s)$ which “approximates” an involutive basis by iterating several times the following process:

(1) If we start with generators $Q_1, \ldots, Q_r$ of $I$, we compute a system of generators of the syzygies of $\sigma_T(Q_1), \ldots, \sigma_T(Q_r)$, and with each of them we check whether their lifting to $\mathbb{W}[s]$ produce a linear combination $Q'$ of the $Q_t$ whose total symbol is in the ideal $(\sigma_T(Q_1), \ldots, \sigma_T(Q_r))$ or not. If the answer is not, we add this $Q'$ to our system of generators.

Once we have constructed a convenient system of generators $Q_1, \ldots, Q_s$ containing the original one $f_7, \delta_1, \delta_2, \delta_3 - 8s$, we start from the commutative relation $s^{10} \in \mathbb{C}[s, \xi](\sigma_T(Q_1), \ldots, \sigma_T(Q_s))$ and we find [18].

Let us note that all examples above are (IWQH) and after remark [1.7.4] we know that they are Spencer and satisfy the LCT.
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