Paternal Smoking Before Conception and During Pregnancy Is Associated With an Increased Risk of Childhood Acute Lymphoblastic Leukemia: A Systematic Review and Meta-Analysis of 17 Case-Control Studies

Yue Cao, MSc,* Jing Lu, MD,† and Jing Lu, PhD‡

Objective: Current evidence regarding the association between paternal smoking before conception or during pregnancy and the risk of childhood acute lymphoblastic leukemia (ALL) are inconsistent. We aimed to systematically summarize the current evidence regarding this potential association.

Methods: Following the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) and the Meta-analysis of Observational Studies in Epidemiology (MOOSE), we systematically retrieved PubMed, Embase, Web of Science, and Scopus, screened relevant literature, and assessed the methodologic quality of the included studies. We calculated the pooled estimates using random-effects models. We assessed statistical heterogeneity by F values and χ² tests for the Cochrane Q statistic. We further investigate the dose-response relation using 2-stage nonlinear models.

Results: A total of 17 case-control studies were identified, and the synthesized risk ratios (RRs) for smoking before conception (RR = 1.15, 95% confidence interval: 1.04-1.27) and during pregnancy (RR = 1.20, 95% confidence interval: 1.12-1.28) were both statistically significant. Moreover, the dose-response analysis showed a positive association as well.

Conclusion: Current evidence from observational studies suggests the association between paternal smoking before conception or during pregnancy and the increased risk of childhood ALL, which needs to be confirmed in prospective studies.

Key Words: leukemia, cancer, epidemiology, child health, systematic review, meta-analysis

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From the Departments of *Science and Technology; †Pharmacy, West China Hospital; and ‡Department of Pediatrics, West China Women's and Children's Hospital, Sichuan University, Chengdu, Sichuan Province, China.

The authors declare no conflict of interest.

Reprints: Jing Lu, PhD, Department of Pharmacy, West China Hospital, Sichuan University, No. 37 Guoxue Road, Chengdu 610041, Sichuan Province, China (e-mail: lujing1209@163.com).

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METHODS

Search Strategy

A systematic literature search of 4 electronic databases (PubMed, Embase, Web of Science, and Scopus) was performed.
on March 1, 2019, using keywords and controlled vocabularies that were related to “smoking,” “child,” and “leukemia” (Supplementary A, Supplemental Digital Content 1, http://links.lww.com/JPHO/A333). We also hand-searched the reference lists of previous systematic reviews of similar topics to obtain more eligible studies.

Study Identification

We followed the instructions of the Meta-analysis of Observational Studies in Epidemiology (MOOSE) and established restrictive selection criteria before study identification. In title/abstract screening, we considered studies that met the following criteria: (1) observational epidemiology study (a case-control, cohort, cross-sectional, nested case-control, and case-cohort study); (2) the exposure of interest was paternal smoking before conception or during pregnancy; (3) the outcome of interest was the risk of childhood ALL; and (4) written in English. In the full-text review process, we read the articles that were identified in the title/abstract screening process to determine whether they met the additional criteria: (1) full-text written in English; (2) reported the disease subtype (should be childhood ALL); (3) reported the exposure time window (before conception vs. during pregnancy); and (4) reported effect measure for paternal smoking or raw data that could be used to calculate unadjusted effect measure. The title/abstract screening and the full-text review were performed independently by reviewer pairs. Any discrepancy between the reviewers was resolved by discussion. Studies selected from the full-text review were included for systematic review and meta-analysis, and we performed data extraction and quality assessment for them independently.

The process of study identification and selection is presented in Figure 1 which includes essential details according to the requirement of Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA).

Data Extraction and Quality Assessment

After reading the selected articles, reviewers recorded information about the study characteristics, participants, exposure/outcome measurement, and measures of association; particularly, risk ratio (RR) was treated as the proximate measure of odds ratio due to the fact that childhood ALL was rare among the population. Moreover, we referred to The Newcastle-Ottawa Scale for assessing the quality of nonrandomized studies in meta-analyses to evaluate the quality of included studies regarding aspects of representativeness, measurement reliability, and statistical adjustment (Supplementary B, Supplemental Digital Content 2, http://links.lww.com/JPHO/A334). This process was also performed in an independent manner by reviewers, and discrepancies were solved by discussion.

Synthesis Methods

For qualitative synthesis, we summarized the study characteristics of each individual study; for studies treating smoking as an ordinal variable, we first summarized the RRs of each exposure level qualitatively (Supplementary C, Supplemental Digital Content 3, http://links.lww.com/JPHO/A335).
before the subsequent quantitative synthesis. In the overall meta-analysis, we pooled the measures of the association from studies treating smoking as a dichotomous variable by a random-effects model, and we weighted the RRs according to the SEs of ln(RR). A sensitivity meta-analysis was performed by including studies adjusting for certain covariates (child age at diagnosis, sex, race/ethnicity, parental age at birth, socioeconomic status, and maternal alcohol consumption during pregnancy) and studies with good quality in exposure measurement. In the dose-response analysis, we first synthesized studies reporting RRs of ordinal exposure by using RRs of the highest smoking consumption in a random-effects model. Then, we utilized a 2-stage restricted cubic spline model with 3 knots to investigate the dose-response association between frequency of paternal smoking and risk of childhood ALL. We used a relatively conservative approach to determine the dose in the restricted cubic spline model; particularly, the dose was determined by using the middle point of a range (eg, if the smoking was 10 to 20 cigarettes/d, the dose = 15 cigarettes/d) or the lower bound (eg, for smoking >20 cigarettes/d, the dose = 20 cigarettes/d) if the range was not reported.

To investigate statistical heterogeneity, we calculated the Cochrane Q statistic and I². The former was a weighted sum of squares following the χ² distribution; I² was an indicator for inconsistency across studies, and it was interpreted as the proportion of variation between different studies among the total variation observed. Substantial statistical heterogeneity existed if the P-value derived from the Cochrane Q statistic was <0.05, and we also used “I² > 50%” as the evidence of substantial statistical heterogeneity.

To investigate publication bias, we visually inspected the funnel plots and performed Egger tests for studies included in the overall meta-analysis. The Egger test indicated a publication bias if P-value <0.05. Trim and fill were utilized to obtain an adjusted RR if publication bias existed.

The statistical analyses were all performed with stratification based on time window (smoking before conception vs. during pregnancy), and they were performed in STATA 13.0 (StataCorp, LLP, College Station, TX).

RESULTS

Included Studies

We retrieved 2127 articles from the electronic databases, and we identified 2 additional articles from reference lists. After deduplication, we read the titles and abstracts of 971 articles and excluded 940 of them. In the full-text review, we read the full-text of 31 articles and excluded 14 of them. Finally, 17 studies were included in the systematic review and meta-analysis. The reasons for exclusion are shown in Figure 1.

Study Characteristics

The study characteristics are summarized in Table 1. The included studies encompassed a wide time span (1977-2011), and they were conducted in various geographic locales. They yielded a total of 9127 childhood ALL cases. Among them, 8 studies reported effect measures for paternal smoking before conception and during pregnancy, 3 studies reported only reported effect measures for smoking during pregnancy, and 6 studies only reported effect measures for smoking before conception. Seven studies reported RRs of dichotomous exposure (smoking vs. nonsmoking), 5 studies reported RRs of ordinal exposure, and 5 studies reported RRs on both scales. The self-report approach was utilized to collect information about paternal smoking, and 7 studies collected paternal smoking data via surrogate measurement based on answers from the mothers. In terms of the study setting, most studies were population-based, and only 2 studies were clinic-based.

The included studies had some methodologic strengths. For example, all of the studies ascertained childhood ALL cases by robust approach (eg, medical record, diagnosis report, and cancer registry); in addition, they all used matched method when selecting controls, which benefited statistical efficiency. However, they also had some methodologic limitations. First, the studies measured paternal smoking via answers from mothers were less reliable than direct measurement, which might lead to misclassification. Second, 1 study only had 85 cases, which could introduce imprecision to effect measure. Third, 2 of the included studies were clinic-based; of them, the study populations were systematically different from the community populations with respect to exposure status and general health status, which introduced some methodologic heterogeneity. Moreover, these studies were conducted in different time periods and locations, and these temporal and socioeconomic heterogeneities could not be adjusted by the random-effects model.

Overall Meta-Analysis

In overall meta-analysis for RRs of dichotomous exposure, 8 studies were included to investigate the association between paternal smoking before conception and childhood ALL risk, and 9 studies were included to investigate smoking during pregnancy. The synthesized RR for smoking before conception (Fig. 2) was 1.15 (95% confidence interval [CI]: 1.04-1.27), and all individual studies showed point estimates >1. For smoking during pregnancy (Fig. 2), all of the included studies had point estimates >1, and a positive and statistically significant synthesized effect measure (RR = 1.20, 95% CI: 1.12-1.28) was obtained as well. In addition, we did not observe substantial statistical heterogeneity in either of these subgroups stratified by time window (before conception: I² = 16.8%, Pcochrane = 0.298; during pregnancy: I² = 0.0%, Pcochrane = 0.476).

Sensitivity Analysis

Table 2 presents the results of sensitivity analysis. By synthesizing studies adjusting for age at diagnosis, socioeconomic status, sex, race/ethnicity, and maternal alcohol consumption during pregnancy, we observed that the synthesized RRs were all statistically significant without substantial statistical heterogeneity in both exposure time windows. For studies adjusting for parental age at birth, the synthesized RR was only statistically significant for smoking during pregnancy; however, the CIs of synthesized RRs of the 2 exposure time windows were overlapped to a great extent, which indicated a statistical homogeneity between these pooled RRs. By synthesizing studies with good quality in exposure measurement, we observed that the synthesized RRs of the 2 exposure time windows were statistically homogeneous and marginally significant without substantial statistical heterogeneity.
| References          | Data Collection | Location           | Sample Size | Setting | Time Window | Smoking Category | Exposure Ascertainment                                                                 | Adjusted Variables                                                                 |
|---------------------|-----------------|--------------------|-------------|---------|-------------|-----------------|------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|
| Brondum et al²⁵     | 1989-1993       | United States      | Case: 1801  | PO      | BC PR       | DM              | SP in interview                                                                          | Annual income, race, and paternal education                                       |
| Castro-Jimenez and  | 2000-2005       | Colombia           | Case: 85    | PO      | BC          | DM              | SP in interview                                                                          | Sex and age at diagnosis or index date                                           |
| Orozco-Vargas       |                 |                    | Control: 85 |         |             |                 |                                                                                          |                                                                                  |
| Chang et al²⁷       | 1995-2002       | United States      | Case: 281   | PO      | BC          | DM              | SP in interview and self-administered questionnaire (surrogate measure via spouse)      | Household income, age, sex, maternal race, and Hispanic ethnicity                 |
| Farioli et al²⁸     | 1998-2003       | Italy              | Case: 557   | PO      | BC PR       | OD              | SP via self-administered questionnaire                                                  | Birth order, birth weight, duration of breastfeeding, parental age at delivery,   |
|                     |                 |                    | Control: 855|         |             |                 |                                                                                          | education, and occupational exposure to benzene                                  |
| Ji et al²⁹          | 1985-1991       | China              | Case: 114   | PO      | BC          | OD              | SP in interview                                                                          | Birth weight, income, paternal age, education, and alcohol drinking              |
| John et al³         | NM              | United States      | Case: 223   | PO      | BC PR       | DM              | SP in interview                                                                          | Father’s education                                                                |
| Lee et al³⁰         | 2003-2005       | Korea              | Case: 106   | CL      | BC PR       | DM OD           | SP in interview                                                                          | Children’s age, sex, birth weight, and father’s education                        |
| MacArthur et al³⁰   | 1990-1994       | Canada             | Case: 351   | PO      | BC PR       | OD              | SP in interview                                                                          | Mother’s age at birth, maternal education, household income, ethnicity, and      |
|                     |                 |                    | Control: 399|         |             |                 |                                                                                          | number of residences since birth                                                 |
| Menegaux et al³¹    | 1995-2008       | France             | Case: 407   | PO      | BC          | OD              | SP (surrogate measure via spouse)                                                      | Children’s age, sex, region, socioprofessional category and birth order           |
| Metayer et al³²     | 1995-2008       | United States      | Case: 767   | PO      | BC PR       | DM              | SP in interview and self-administered questionnaire (surrogate measure might be        | Children’s age at diagnosis/reference date, sex, and Hispanic status, maternal    |
|                     |                 |                    | Control: 975|         |             |                 | conducted via spouse)                                                                  | race, and household annual income                                                |
| Milne et al³³       | 2003-2007       | Australia          | Case: 328   | PO      | BC PR       | DM OD           | SP in interview and self-administered questionnaire (surrogate measure might be        | Children’s age group, sex, state of residence, maternal age group, birth order,  |
|                     |                 |                    | Control: 749|         |             |                 | conducted via spouse)                                                                  | parental education, birth defects, child’s ethnicity, and maternal alcohol        |
|                     |                 |                    |             |          |             |                 |                                                                                          | consumption during pregnancy                                                    |
| Orsi et al³⁷        | 2010-2011       | France             | Case: 618   | PO      | PR          | DM OD           | SP (surrogate measure via spouse)                                                      | Age, sex, mother’s age at child’s birth, mother’s education, and birth order      |
| Pang et al³⁴        | 1991-1994       | United Kingdom     | Case: 1630  | PO      | BC          | DM              | SP in interview                                                                          | Paternal age and deprivation                                                    |
| Rudant et al³⁰      | 2003-2004       | France             | Case: 6987  | PO      | PR          | DM OD           | SP in interview (surrogate measure via spouse)                                          | Stratification variables, children’s age, sex, parental professional category and |
|                     |                 |                    | Control: 647|         |             |                 |                                                                                          | maternal age at birth                                                            |
| Shu et al³¹         | 1983-1988       | United States,     | Case: 302   | PO      | BC PR       | DM OD           | SP in interview                                                                          | Sex, paternal age, education, and maternal alcohol consumption during pregnancy   |
|                     |                 | Canada, and        | Control: 558|         |             |                 |                                                                                          |                                                                                  |
| Sorahan et al³⁵     | 1977-1981       | Australia          | 771 pairs   | PO      | PR          | DM              | SP in interview (surrogate measure via spouse)                                          | Sex and date of birth                                                            |
| Sorahan et al³⁶     | 1980-1983       | United Kingdom     | Case: 139   | CL      | BC          | OD              | SP in interview                                                                         | Maternal and paternal age at childbirth, socioeconomic grouping, and             |
|                     |                 |                    | Control: 132|         |             |                 |                                                                                          | ethical origin                                                                  |

BC indicates before conception; CL, clinic-based; DM, dichotomous; NM, not mentioned; OD, ordinal; PO, population-based; PR, during pregnancy; SP, self-report by interview/questionnaire.
Dose-Response Analysis

For smoking before conception (Fig. 3), a total of 8 studies9,11,28–31,33,36 were included in the random-effects model by using RRs of the highest level of exposure; the synthesized RR was marginally significant (RR = 1.33, 95% CI: 0.99-1.80) with substantial statistical heterogeneity ($I^2 = 58.8\%$, $P_{Cochrane} = 0.018$). The 2-stage nonlinear curve (Fig. 4A) showed that the effect measure increased gradually as daily smoking consumption increased; however, it was not significant until the daily consumption reached 16 cigarettes/d, and the synthesized RR reached 2.0 at about 35 cigarettes/d. For smoking during pregnancy (Fig. 3), 5 studies10,28,30,33,37 were synthesized in the random-effects model by the same approach as mentioned before; the synthesized RR was statistically significant (RR = 1.32, 95% CI: 1.09-1.60) with substantial statistical heterogeneity ($I^2 = 50.9\%$, $P_{Cochrane} = 0.086$). The 2-stage nonlinear curve (Fig. 4B) showed a similar pattern as compared with Figure 4A; a significant effect measure was observed after 11 cigarettes/d, and it reached 1.4 at 20 cigarettes/d.

Publication Bias

On the basis of the visual inspection of funnel plots and the results of Egger tests (Fig. 5), we observed evidence for
publication bias for both groups. After trim and fill adjustment, the synthesized RR was 1.11 (95% CI: 0.96-1.28) for smoking before conception, and it was 1.19 (95% CI: 1.11-1.27) for smoking during pregnancy.

**DISCUSSION**

According to the results, paternal smoking before conception and during pregnancy were both associated with an increased risk of childhood ALL. This association was further examined and confirmed by sensitivity analysis and trim and fill adjustment as the estimate did not change too much after these adjustments. We also observed a monotonic nonlinear dose-response relation between paternal smoking and risk of childhood ALL in both time windows, and the risk was shown to be significant when daily smoking consumption was higher than a certain threshold of usage.

A recent systematic review and meta-analysis of this topic was updated in 2011. Liu et al. came to the same conclusion as ours, and they reported that paternal smoking was positively associated with the risk of childhood ALL regardless of the exposure time windows. However, Liu et al. did not utilize the linear or nonlinear model to investigate dose-response relation; instead, they utilized RRs of the highest smoking consumption in the meta-analysis.
when smoking was reported as an ordinal variable. Moreover, they did not conduct trim and fill to adjust for publication bias in meta-analysis. These issues were carefully considered and addressed in our study, which made our outcome more robust.

The pathogenesis mechanism of childhood ALL is not fully understood, but it may be related to sperm DNA damage and oxidative stress. Jenkins et al reported smoking was associated with increased risk of the variance in sperm DNA methylation patterns, and it might explain the relationship between paternal smoking and the risk to child health. One of the major carcinogenic polycyclic aromatic hydrocarbons in tobacco smoke is benzo[a]pyrene, which can form DNA adducts in sperm cells and cause subsequent damage. According to the 2-hit hypothesis of neoplasm, carcinogenesis is more likely to be initiated during the early life cycle if the zygote with impaired germ-line DNA grows up. Thus, the impaired sperm cell DNA, after zygote formation, may increase the risk of childhood ALL among offspring. Benzene is another major carcinogen in tobacco smoke. A previous systematic review reported that exposure to excessive benzene during pregnancy could increase the risk of childhood ALL; Zhou et al reported a positive association (odds ratio = 1.25, 95% CI: 1.09-1.45) between benzene and risk of childhood ALL by synthesizing data from 28 case-control studies. In addition, smoking can diminish the levels of antioxidants and cause excessive oxidative stress in germ-line cells, which can also increase the risk of gene mutation; for example, Fraga et al reported that seminal alpha-tocopherol levels were decreased in smokers by ~30% as compared with nonsmokers. In addition to smoking, Donkin and Barres demonstrated that environmental factors may alter the phenotype of the next generation through remodeling of the epigenetic blueprint of spermatozoa.

The human fetal liver serves as the primary organ for hematopoiensis during part of the embryonic period, which suggests that pathogenesis in fetal liver can be associated with subsequent risk of hematopoietic malignancies. Ning et al found a positive dose-response relationship between benzene levels in tobacco smoke and the frequency of micronucleus-containing polychromatic erythrocytes in blood from mouse fetal livers, indicating its potential harm to the hematopoietic system; also, DeMarini reported that the offspring of environmental tobacco smoke-exposed female mice exhibited increased levels of micronuclei in liver tissues and peripheral blood, and they also found that such exposure could increase the risk of sister chromatid exchanges in mouse fetal livers.

At the genetic level, overexpression or down-regulation of important fetal genes can be associated with the risk of childhood ALL. For example, Amson et al reported that human pim-1 proto-oncogene (PIM) was overexpressed in fetal liver and hematopoietic malignancies but not in normal human tissues; this suggested the possibility that carcinogens in tobacco smoke might increase the risk of subsequent hematopoietic malignancies by affecting the structure of PIM or influencing regulatory genes of PIM. By inspecting umbilical cord blood of infants whose mothers were exposed to passive smoking during pregnancy, Votavova et al detected the down-regulation of several genes that were associated with cellular defense responses and cellular immunity (GNLY, CD160, CD40, PRDM1, and SOCS3); this indicated that paternal smoking during pregnancy might affect the immune surveillance of the offspring, which could increase the risk of childhood ALL.

Our study has several strengths. First, we searched articles in 4 electronic databases, which generated a broad scope. Second, we utilized a 2-stage nonlinear dose-response model to investigate dose-response relation, which has never been done in previous systematic review and meta-analysis. However, our study still has some limitations. First, leukemia carcinogenesis can be related to the synergistic effect of both
parents smoking. However, none of the included studies adjusted for maternal smoking status in the multivariable model. Second, all of the included studies used case-control design, which could not examine the temporality; in addition, in case-control studies, recall bias was likely to be introduced when researchers used a self-report method to collect smoking data. Given that the cases’ parents were more likely to recall smoking status correctly than the controls’ parents, a differential measurement error was likely to be introduced in the original studies, which could bias the estimate. Third, smoking is a time-dependent variable, but case-control studies cannot measure the smoking prospectively and longitudinally as compared with cohort studies, which makes it difficult for us to consider the influence of smoking variation during pregnancy to the effective measures. More importantly, paternal smoking during pregnancy might not be the direct source of passive smoking or environmental tobacco smoke; for example, fathers and mothers might be at different geographic sites when fathers consumed tobacco, which could influence the actual exposure levels to mothers. Fourth, the approach used to determine the dose in 2-stage nonlinear model was conservative, as we did not know the distribution of each exposure level; thus, choosing the middle point or using the lower bound as a surrogate might lead to bias and imprecision to the pooled estimate. Fifth, only 2 of the included studies were conducted in Asia, and this makes our conclusion less generalizable to Asian population. Last, articles that are not in English were excluded, which may lead to relevant articles were omitted.

In conclusion, we observe that paternal smoking before conception or during pregnancy is associated with an increased risk of childhood ALL; the dose-response analysis also confirms this relation and suggests the possibility of carcinogenesis threshold for paternal smoking. More large sample size cohort studies are needed in the future in which multiple measurements of smoking should be conducted. Our study has some public health implications; particularly, our results support the etiologic role of paternal smoking to childhood ALL, and this can make it justifiable for health practitioners to initiate health education or smoking cessation programs for potential fathers.

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