Molecular detection of \textit{Helicobacter pylori} antibiotic resistance in stool vs biopsy samples

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**AIM**

To compare (1) demographics in urea breath test (UBT) vs endoscopy patients; and (2) the molecular detection of antibiotic resistance in stool vs biopsy samples.

**METHODS**

Six hundred and sixteen adult patients undergoing endoscopy or a UBT were prospectively recruited to the study. The GenoType HelicoDR assay was used to detect \textit{Helicobacter pylori} (\textit{H. pylori}) and antibiotic resistance using biopsy and/or stool samples from CLO-positive endoscopy patients and stool samples from UBT-positive patients.

**RESULTS**

Infection rates were significantly higher in patients referred for a UBT than endoscopy (overall rates: 33\% vs 19\%; treatment-naive patients: 33\% vs 14.7\%, respectively). \textit{H. pylori}-infected UBT patients were younger than \textit{H. pylori}-infected endoscopy patients (41.4 vs 48.4 years, respectively, \(P < 0.005\)), with a higher percentage of \textit{H. pylori}-infected males in the endoscopy-compared to the UBT-cohort (52.6\% vs 33.3\%, \(P = 0.03\)). The GenoType HelicoDR assay was more accurate at detecting \textit{H. pylori} infection using biopsy samples than stool samples [98.2\% (\(n = 54/55\)] vs 80.3\% (\(n =53/66\), \(P < 0.005\)]. Subset analysis using stool and biopsy samples from CLO-positive endoscopy patients revealed a higher detection rate of...
resistance-associated mutations using stool samples compared to biopsies. The concordance rates between stool and biopsy samples for the detection of *H. pylori* DNA, clarithromycin and fluoroquinolone resistance were just 85%, 53% and 35%, respectively.

**CONCLUSION**

Differences between endoscopy and UBT patients provide a rationale for non-invasive detection of *H. pylori* antibiotic resistance. However, the GenoType HelicoDR assay is an unsuitable approach.

**Key words:** Helicobacter pylori; Antibiotic resistance; Clarithromycin; Fluoroquinolone; Molecular methods

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Core tip: The successful detection of clarithromycin and/or fluoroquinolone resistant *Helicobacter pylori* (*H. pylori*) infections by non-invasive methods would enable a widespread assessment of resistance rates. Here we evaluate the GenoType HelicoDR assay for the detection of clarithromycin and fluoroquinolone resistance using DNA isolated from stool samples compared to biopsy samples. Although results using this assay on biopsy tissue have previously been shown to correspond well with culture and antimicrobial susceptibility testing, there was weak correlation between results obtained using biopsy vs stool samples in our study. Further studies are required to optimise the non-invasive detection of clarithromycin and fluoroquinolone resistant *H. pylori* infection.

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**INTRODUCTION**

*Helicobacter pylori* (*H. pylori*) is a gram-negative bacterium that specifically colonizes the epithelium of the human stomach, in particular the gastric antrum. It infects approximately 50% of the world’s population. The prevalence of *H. pylori* varies globally, increasing with older age and lower socio-economic status. Most infected individuals will not develop any clinically significant complications; however the most common symptoms of infection are gastritis and gastric or duodenal ulcers. The diagnosis and treatment of *H. pylori* infection are critical factors in the prevention and management of these conditions[1-3]. *H. pylori* infection can be detected by invasive and non-invasive means, using a variety of diagnostic tests. The Maastricht IV/Florence Consensus Report recommends the “Test and Treat” strategy for patients presenting with uncomplicated dyspepsia with no alarm symptoms associated with an increased risk of gastric cancer[2,3]. In the Irish healthcare setting, the urea breath test (UBT) is the current gold standard non-invasive test for *H. pylori* infection in patients managed by the “Test and Treat” strategy. The UBT is highly accurate with a sensitivity of 88%-95% and specificity of 95%-100%[4]. For patients presenting with new onset dyspepsia (above 45 years; European guidelines) or dyspepsia along with accompanying alarm symptoms such as weight loss, gastrointestinal bleeding, abdominal mass or iron deficient anaemia, endoscopy is recommended[5]. When an endoscopy is performed, *H. pylori* infection can be diagnosed using gastric biopsy specimens. The most common test employed is the rapid urease-test for *Campylobacter*-like organisms (CLO), which has a sensitivity of > 90% and specificity of > 95%[6].

Treatment for *H. pylori* infection is recommended in all symptomatic individuals. However, eradication rates have fallen in many countries in recent years[6-8] mainly due to poor patient compliance and the emergence of antibiotic resistant strains of *H. pylori*, particularly to clarithromycin and levofloxacin[9-11]. The European *Helicobacter* and Microbiota Study Group (EHMSG) and the most recent Maastricht IV/Florence Consensus recommend local surveillance of existing and emerging antibiotic resistance and that the combination of antibiotics for *H. pylori* eradication should be chosen according to the local resistance patterns[2,10]. Clarithromycin-based first-line triple therapy is no longer recommended in regions where antibiotic resistance surveillance indicates that clarithromycin resistance is above 15%-20%[2]. Since *H. pylori* is a fastidious bacterium, culture and antimicrobial sensitivity testing is time-consuming. The sensitivity of culture of *H. pylori* from gastric biopsy samples has been reported to be as low as 55%[11]. Molecular testing represents an attractive alternative to culture-based methods and has been recommended by the Maastricht Consensus guidelines to detect *H. pylori* and both clarithromycin and fluoroquinolone resistance when standard culture and sensitivity testing are unavailable[2]. Single point mutations (most commonly A2146C, A2146G and A2147G) within the *H. pylori rrl* gene that encodes the 23S ribosomal subunit confer clarithromycin resistance[11,12]. The most significant mutations conferring fluoroquinolone resistance are located at positions 87 (N87K) and 91 (D91N, D91G, D91Y) of the *H. pylori gyrA* gene, which encodes the A subunit of the DNA gyrase enzyme[11,12]. The GenoType HelicoDR assay allows for the molecular genetic identification of *H. pylori* and its resistance to clarithromycin and fluoroquinolones, such as levofloxacin. The assay has been reported to be efficient at detecting mutations predictive of antibiotic resistance when applied to *H. pylori* cultures or gastric biopsy samples.
specimens,[13–16] with a sensitivity and specificity of 94%-100% and 86%-99% for detecting clarithromycin resistance and 83%-87% and 95%-98.5% for detecting fluoroquinolone resistance, respectively.[16,17]

Currently, H. pylori antibiotic resistance surveillance is based primarily on patients undergoing invasive testing by means of endoscopy. However, most patients are diagnosed by non-invasive methods such as the UBT. As such, antibiotic resistance data obtained solely from endoscopy patients may not reflect the true prevalence of H. pylori infection and the rates of antibiotic resistance in symptomatic patients. The aims of this study were to (1) compare demographics and prevalence of H. pylori infection in patients referred for endoscopy with those of patients referred for a UBT; and (2) evaluate the potential use of the GenoType HelicoDR assay for the non-invasive detection of H. pylori and antibiotic resistant infection using stool samples.

MATERIALS AND METHODS

Study design and ethics
A prospective study was carried out in a tertiary referral teaching hospital (Adelaide and Meath Hospital, Dublin, Ireland) affiliated with Trinity College Dublin. Patients who had been referred to the endoscopy clinic were included from August 2014 until March 2016. The study received ethical approval from the Adelaide and Meath Hospital Research Ethics Committee. Informed consent was obtained from all patients before enrolment.

Study population
Inclusion criteria were (1) ability and willingness to participate in the study and to provide informed consent; and (2) confirmed H. pylori infection by UBT or a positive rapid urease test (TRI-MED Distributors, PTY LTD, Washington, United States) at 60 min performed and/or histology.

Exclusion criteria were (1) age less than 18 years; (2) pregnancy or lactation; (3) severe inter-current illness; (4) current PPI use or recent antibiotic use (within 4 wk); and (5) bleeding problems or use of blood thinning drugs (for endoscopy patients).

Sample collection and antimicrobial susceptibility genotyping
A single corpus and antrum biopsy from each patient was placed into DENT transport medium (brain heart infusion broth containing 2.5% (w/v) yeast extract, 5% sterile horse serum and Dent Helicobacter Selective Supplement; Oxoid, Basingstoke, United Kingdom) for transport to the research laboratory. Biopsies were placed into fresh collection tubes and stored at -20 °C until processed for genomic DNA isolation using the QIAamp DNA Plus Kit (STRATEC Molecular GmbH, Berlin, Germany) according to the manufacturers’ instructions. All isolated DNA was stored -20 °C until genotyping for clarithromycin and fluoroquinolone-mediating mutations was performed using the Genotype HelicoDR assay (Hain Lifescience GmbH, Nehren, Germany). Multiplex amplification of DNA regions of interest was performed using the biotinylated primers supplied in the GenoType HelicoDR kit and the Hotstart Taq DNA polymerase kit (Qiagen). PCR products were reverse hybridised to DNA strips containing probes for gene regions of interest, developed and interpreted according to the manufacturers’ instructions. Briefly, all strips were analysed for the presence of a conjugate control band (to indicate successful conjugate binding and substrate reaction), an amplification control band (to indicate a successful amplification reaction), a H. pylori control band (to document the presence of a H. pylori strain) and gene locus control bands for gyrA and 23S (to indicate successful detection of the gene regions of interest). In addition, the strips were analysed for the presence of wild type and/or mutation bands. An infection was considered clarithromycin sensitive when the 23S wild-type probe stained positive and clarithromycin resistant if one of the 23S mutation probes stained positive. As per manufacturers’ instructions, results of both positions of the gyrA gene were combined to draw conclusions about fluoroquinolone resistance. Thus, an infection was only considered fluoroquinolone sensitive when one of the wild-type probes for codon 87 of the gyrA gene stained positive together with a positive wild-type probe for codon 91. Fluoroquinolone resistance was indicated if either the wild-type probes for codon 87 or the wild-type probe for codon 91 stained negative, or if one of the mutant codon 87 or 91 probes stained positive. For all mutations probes, only bands whose intensities were equal to or stronger than the amplification control were considered positive.

Statistical analysis
Statistical analysis was carried out using GraphPad Prism (GraphPad Software Inc., CA, United States). Continuous variables are presented as arithmetic mean and SD. P values for continuous variables were calculated and compared using the two-tailed independent t-test. Categorical variables are presented as percentages and 95% confidence intervals (95%CI). P values for categorical variables were calculated using the Fisher’s exact test/Pearson $\chi^2$ test. In all cases, a $P$ value less than 0.05 was considered significant.

RESULTS

Prevalence of H. pylori infection and demographics of endoscopy and UBT patients
A schematic of patient inclusion and analysis is presented in Figure 1. In all, 616 patients were
The overall prevalence of *H. pylori* infection was significantly higher in the UBT cohort than the endoscopy cohort (33.0% (n = 75) vs 19% (n = 74), P < 0.001; 95%CI: 6.58-21.54) (Figure 1). Of the *H. pylori*-positive endoscopy patients (CLO-positive), 17 had been previously treated for *H. pylori* infection, therefore the prevalence of primary *H. pylori* infection was 14.7% (n = 57). All of the *H. pylori*-positive UBT patients were treatment naïve, thus the prevalence of primary *H. pylori* infection was also significantly higher in patients referred for UBT than for endoscopy (33.0% vs 14.7%, P < 0.001, 95%CI: 11.07-25.65; Figure 1 and Table 1). In keeping with the guidelines recommending endoscopy for symptomatic patients over 45 years, *H. pylori*-positive patients in the endoscopy cohort were significantly older than those in the UBT cohort (48.4 years vs 41.4 years; P < 0.005, 95%CI: 2.19-11.81). There were a greater number of *H. pylori*-positive men in the endoscopy cohort than the UBT cohort (52.6% vs 33.3%, P = 0.03, 95%CI: 1.23-36.29; Table 1). Taken together, these findings indicate significant differences in demographics and the prevalence of both overall and primary *H. pylori* infection rates in patients referred for endoscopy and those referred for the UBT.

### Comparison of *H. pylori* detection and the prevalence of antibiotic resistance-mediating mutations using the GenoType HelicoDR assay in endoscopy vs UBT patients using biopsies and stool samples, respectively

The GenoType HelicoDR assay is based on DNA strip technology that enables the molecular genetic identification of *H. pylori* and resistance to fluoroquinolones and/or clarithromycin by detecting the most frequent mutations in the *gyrA* gene (codons 87 and 91) and the *23S* gene (positions 2146 and 2147), respectively. Previous studies have demonstrated strong correlations between results obtained using the GenoType HelicoDR assay and resistance tests using micromethods.

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**Table 1** Demographics of endoscopy and urea breath test patients

|                      | Endoscopy | UBT | P value | 95%CI    |
|----------------------|-----------|-----|---------|----------|
| Total                | n = 389   | n = 227 |         |          |
| Mean age (SD)        | 52.3 (16.4) | 39.6 (12.6) | < 0.001 | 10.22-15.18 |
| Male                 | 42.2% (n = 164) | 30.4% (n = 69) | < 0.005 | 3.68-19.60 |
| Primary *H. pylori* infection | 14.7% (n = 57) | 33.0% (n = 75) | < 0.001 | 11.07-25.65 |
| Mean age (SD)        | 48.4 (14.9) | 41.4 (13.0) | < 0.005 | 2.19-11.81 |
| Male                 | 52.6% (n = 30) | 33.3% (n = 25) | 0.03 | 1.23-36.29 |
Helicobacter pylori infection and resistance-mediating mutations using biopsies from CLO-positive endoscopy patients and stool samples of Urea Breath Test-positive patients.

### Table 2

|                  | Biopsy Specimens | Stool specimens | P value | 95%CI |
|------------------|------------------|-----------------|---------|-------|
| Total analysed   | n = 55           | n = 66          |         |       |
| *H. pylori* DNA positive | 98.2% (n = 54/55) | 80.3% (n = 53/66) | < 0.005 | 6.10-29.66 |
| Clarithromycin resistant | 51.9% (n = 28/54) | 96.2% (n = 51/53) | < 0.001 | 27.70-58.71 |
| Fluoroquinolone resistant | 9.3% (n = 5/54) | 13% (n = 6/46) | 0.55 | -9.99-18.28 |

Fluoroquinolone and clarithromycin mutations present. *H. pylori: Helicobacter pylori.*

### Table 3

|                  | Biopsy Specimens | Stool specimens | Concordance |
|------------------|------------------|-----------------|-------------|
| Total analysed   | n = 20           | n = 20          |             |
| *H. pylori* DNA positive | 95% (n = 19/20) | 90% (n = 18/20) | 85% (n = 17/20) |
| Clarithromycin resistant | 52.9% (n = 9/17) | 100.0% (n = 17/17) | 52.9% (n = 9/17) |
| Fluoroquinolone resistant | 23.5% (n = 4/17) | 52.9% (n = 9/17) | 35.3% (n = 6/17) |

Only samples where *H. pylori* DNA was detected were analysed. *H. pylori: Helicobacter pylori.*

Evaluation of the GenoType HelicoDR assay for the detection of resistance-mediating mutations by comparing stool and biopsy analyses from individual Campylobacter-like organisms-positive endoscopy patients.

Given the high rate of clarithromycin resistance detected using stool specimens from UBT-positive patients (96.2%; Table 2) and the lack of published data on the use of the GenoType HelicoDR assay for stool sample analysis, we next set out to directly compare a stool DNA sample with that of a biopsy DNA sample isolated from a subset of the CLO-positive endoscopy patients. In all, stool and biopsy samples from 20 CLO-positive patients were analysed (mean age 46.8 ± 15.8 years, 50% male). *H. pylori* DNA was detected in 95% (n = 19/20) of biopsy samples and 90% (n = 18/20) of stool samples from the CLO-positive patients. Concordance between results from biopsy and stool samples of individual patients for the detection of *H. pylori* DNA was 85% (n = 17/20; Figure 1 and Table 3). In terms of antibiotic resistance, results were compared in the 17 patients with concordant results for the presence of *H. pylori* DNA in
both their stool and biopsy samples. Concordance for the analysis of stool and biopsy samples of individual patients was just 52.9% ($n = 9/17$) for clarithromycin resistance and 35.3% ($n = 6/17$) for fluoroquinolone resistance (Figure 1, Table 3). Higher rates of both clarithromycin and fluoroquinolone resistance were detected in stool samples compared to biopsy samples obtained from the same patient (Table 3), suggesting a lack of specificity of the assay for the detection of antibiotic resistance-mediating mutations using DNA isolated from stool samples.

**DISCUSSION**

As the recommended first-line therapy for *H. pylori* infection should be guided by the local prevalence of primary clarithromycin resistance and third-line and subsequent treatment regimens should be guided by antimicrobial susceptibility testing[2], methods for detecting antibiotic resistance are of great interest. Antimicrobial susceptibility testing for *H. pylori* is mainly performed using biopsy specimens obtained by invasive means at endoscopy. As a result, findings on the prevalence of *H. pylori* infection and antibiotic resistance based solely on this patient cohort may not represent the true rates of resistance in a given population. In order to determine whether *H. pylori*-infected endoscopy patients are representative of the wider *H. pylori*-infected population, we compared the prevalence of infection and patient demographics between endoscopy patients with those referred for non-invasive *H. pylori* diagnoses by the UBT. Indeed we found significant differences between the two patient cohorts. Both the overall infection rate and the prevalence of primary infection in *H. pylori* treatment-naïve patients were significantly higher in patients referred for a UBT than endoscopy (overall infection rates of 33% vs 19% respectively, and primary infection rates of 33% vs 14.7%, respectively). *H. pylori*-infected UBT patients were also significantly younger than *H. pylori*-infected endoscopy patients (41.4 vs 48.4 years, respectively), with a higher percentage of *H. pylori* infected males in the endoscopy compared to UBT cohort (52.6% vs 33.3%). Both age and sex have been reported as risk factors for *H. pylori* antibiotic resistance, for example age > 50 years has been reported as a risk factor for levofloxacin resistance and being female has been associated with metronidazole resistance in the most recent pan-European study on antimicrobial resistance[10]. Thus the statistically significant differences in age and sex between endoscopy and UBT patients in our study suggests that *H. pylori*-infected endoscopy patients are likely not representative of the wider *H. pylori*-positive cohort, providing a strong rationale for performing more widespread antimicrobial susceptibility testing.

Successfully extending molecular-based methods to diagnose *H. pylori* non-invasively would greatly enhance our ability to more accurately assess the prevalence of resistance to a range of antibiotics, and enable clinicians to offer personalised antimicrobial susceptibility-based therapy to a wider number of patients. *H. pylori* DNA has been detected in a number of clinical specimens including blood, stool samples and oral cavity specimens[18-22]. Analysis of stool samples has shown the most promise for the molecular detection of clarithromycin resistance-mediating mutations to date[18,23-28]. Studies have demonstrated sensitivity and specificity values of 83%-98% and 98%-100%, respectively, for the detection clarithromycin resistance using the *H. pylori* ClariRes Assay (Ingenetix) to analyse stool samples[23-26]. However, data on the detection of *H. pylori* fluoroquinolone resistance using stool samples is lacking. Although the GenoType HelicoDR assay has proven useful for detecting clarithromycin and fluoroquinolone resistance in biopsy or culture specimens[13-17], evaluation of the assay for the analysis of stool specimens presented herein proved suboptimal. Firstly, the assay detected *H. pylori* infection in a significantly lower percentage of *H. pylori*-infected patients when stool rather than biopsy specimens were analysed (80%-90% vs 95%-98.2%; respectively Tables 2 and 3). As *H. pylori* specifically colonizes the stomach and is not an intestinal bacterium, it is present only in low numbers in the stool, a factor which may have impacted the sensitivity of *H. pylori* detection using stool samples in our study. Additionally, *H. pylori* DNA may be exposed to enzymatic or mechanical degradation during transit from the stomach through the intestines[27]. When results using biopsy samples from individual *H. pylori*-infected patients were directly compared with those obtained from their stool samples, concordance scores for clarithromycin and fluoroquinolone resistance were just 52.9% and 35.6%, respectively. In addition, a higher rate of clarithromycin and fluoroquinolone resistance was detected in DNA isolated from the stool samples compared to DNA isolated from biopsy samples from the same patient (Table 3). Given that previous studies have demonstrated strong correlations between results obtained using the GenoType HelicoDR assay on biopsy specimens compared to culture and antimicrobial testing[14,16,17], this would suggest that stool sample analysis using the GenoType HelicoDR assay is less sensitive than biopsy sample analysis, providing an explanation for the high rates of antibiotic resistance obtained using stool samples from the UBT patients in Table 2. The presence of large amounts of diverse commensal bacteria in the stool may hamper the specificity of the Genotype HelicoDR assay in detection of *H. pylori* antibiotic resistance-mediating mutations. Our findings suggest it is currently unsuitable for the accurate detection of clarithromycin and fluoroquinolone resistance-mediating mutations in stool specimens. Further studies are required to extend approaches for the non-invasive detection of *H. pylori* resistance to include multiple antibiotics. Recent advances in next generation DNA sequencing technologies may provide
more robust opportunities for the accurate analysis of specific resistance-associated DNA regions. The successful optimisation of molecular-based antimicrobial susceptibility testing methods will enable resistance data obtained from patients managed by the "Test and Treat" strategy to be utilised in choosing effective antibiotics for the treatment of *H. pylori*. In this way, eradication rates for *H. pylori* may be improved.

**COMMENTS**

**Background**
Currently antimicrobial susceptibility testing for *Helicobacter pylori* (*H. pylori*) is mainly performed using cultures isolated from tissue biopsy samples obtained at endoscopy by invasive means. However, many patients are diagnosed with *H. pylori* infection by non-invasive means, such as the urea breath test. As such, antibiotic resistance data based solely on endoscopy patients may not truly reflect the prevalence of antibiotic resistance in the wider *H. pylori* infected population.

**Research frontiers**
Molecular methods for the detection of *H. pylori* antibiotic resistance-mediating mutations offer a more rapid alternative to standard culture-based methods. Studies have shown that data generated using molecular methods on tissue biopsy samples correlates well with culture and antimicrobial susceptibility testing. Data on the use of molecular methods, in particular the GenoType HelicoDR assay, for the analysis of stool samples is limited.

**Innovations and breakthroughs**
The present findings suggest that the GenoType HelicoDR assay is not suitable for the accurate detection of antibiotic resistance-mediating mutations using stool samples from *H. pylori* infected patients. Alternative PCR or DNA sequencing-based methods may show more potential.

**Applications**
While the GenoType HelicoDR assay has been shown to be accurate for the analysis of clarithromycin- and fluoroquinolone-mediating mutations using biopsy tissue samples, the present findings indicate that this assay is not suitable for the analysis of stool samples.

**Peer-review**
The authors described an examination of antibiotic resistance in both gastric biopsy and stool samples obtained from patients who underwent testing for a urea breath test or had a gastroscopy performed. The main conclusion is that the Genotype HelicoDR assay is not appropriate for use on stool samples. This seriously limits its use and thus the paper is of importance and deserves to be published. It would have been useful to include formal sensitivity testing to the bacteria isolated on gastric biopsy.

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