A new ecological risk assessment index for metal elements in sediments based on receptor model, speciation, and toxicity coefficient by taking the Nansihu Lake as an example

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ABSTRACT

This study focuses on the establishment of a new ecological risk assessment method called as multiparameter evaluation index (MPE) by a combination of speciation, toxicity coefficient, and receptor model of metal elements in sediments and the application of MPE in the assessment of ecological risks of Be, Sb, and Tl in the Nansihu Lake. Because of lack of toxicity coefficient values for Be, Sb, and Tl, their toxicity coefficients were calculated in this study. Speciation analysis showed that Sb had better mobility and bioavailability than Be and Tl. Source apportionment results showed that Be and Sb were mainly from human activities and introduced minerals. Tl was mainly an inherent mineral. MPE results showed that the degree of ecological risk was Be > Sb ≫ Tl. MPE could distinguish the ecological risk levels more accurately than current indices such as RI and RAC.

1. Introduction

Harmful metal elements are usually sparingly biodegradable, but they can accumulate in organisms; expand through food chains; and therefore have long-term, concealed, and hysteretic adverse effects on ecological environment and human health. Metal pollution has been a global concern since many years. The harmful metal content in water significantly increases because of industrial waste discharge, fossil fuel combustion, domestic sewage, water-borne transport, and agricultural irrigation (Prica et al., 2008; Yang et al., 2012; Zhuang et al., 2016). Sediments are the sources and sinks of various pollutants in the water body. Various pollutants to the water body are adsorbed deposited onto the sediments; conversely, the pollutants in the sediments are released by combination reaction and redox reaction under the action of animals, plants, microbes, etc., to the water body, resulting in secondary pollution of water environment (Harter, 1968; Ciblin, 1997). As typical harmful metal elements, Beryllium (Be), antimony (Sb), and thallium (Tl) have bioaccumulation and biomagnification effects and have been listed among 13 preferably restricted metal pollutants in water environment by U.S. Environmental Protection Agency (USEPA, 1979). Be can cause pulmonary granulomatous lesions and lung cancer; thus, it is a harmful substance that causes occupational diseases and environmental pollution (Zhang et al., 2011; Hulo et al., 2016). Sb can cause chronic obstructive lung diseases, cardiovascular diseases, cerebrovascular diseases, and apoplexia, and it is a long-spreading global pollutant (Wu et al., 2008; Zhu et al., 2010; Antoine et al., 2015; Fort et al., 2016). Tl causes cardiovascular diseases and has toxicity similar to that of As (Wappelhorst et al., 2000; Peter and Viraraghavan, 2005). Thermal, chemical, and environmental risks of heavy metals such as As, Cd, Cr, Hg, Ni, Pb, and Zn have been studied by many researchers, but those of Be, Sb, and Tl have been studied by few researchers. Be, Sb, and Tl are typical disseminated elements in nature. Despite their broad distribution in the earth's crust, their contents are very low and they are applied not so widely as other metal elements. This may be the reason for their environmental risks not being evaluated by relevant researchers and governmental authorities.
There are many methods for the assessment of pollution by metal elements in sediments. The basic principle mainly includes the following: (1) Ratio of total metal content to background value, for example, Geoaccumulation index ($I_{geo}$, Müller, 1969) and Enrichment factor (EF, Alexander et al., 1993); (2) speciation of metal element, for example, Risk assessment code (RAC; Perin et al., 1985); (3) Håkanson (1980) initially introduced the concept of toxicity coefficient and determined the toxicity coefficient values of several metal elements; the metal with higher toxicity coefficient has higher toxicity. Håkanson established potential ecological risk index (RI). The relationship between total metal content and background value and the toxicity of different metals were considered in this method. However, the speciation of metal elements in sediments was not considered in this method.

The receptor model for absolute principal component scores–multivariate linear regression (APCS-MLR) was proposed by Thurston and Spengler in 1985. The basic principle is that the principal component score for analysis is converted to APCS before MLR of the receptor content. The regression coefficient is used to calculate the contribution of the corresponding emission source of each component to this substance in the receptor (Thurston and Spengler, 1985).

In this paper, an ecological risk assessment index for metal elements in sediments based on the receptor model of APCS-MLR, speciation, and toxicity coefficient was established for the assessment of ecological risks of Be, Sb, and Tl in surface sediments of the Nansihu Lake as Chinese typical lake. The toxicity coefficient of Be, Sb, and Tl has not been determined. Thus, their toxicity coefficient values were calculated first according to the Håkanson calculation principle.

2. Materials and methods

2.1. Studied area and sample collection

South-to-North Water Diversion Project is a strategic infrastructure to transfer water resources across different regions and also one of the biggest water transfer projects in the world. This project covers ChangJiang River, Huaihe River, Yellow River, and Haihe River in over
Table 1: Summary of the BCR sequential extraction protocol. For each sample, 0.5 g (dry weight) of sediment was used, and 0.1 g of residue (dry weight) from step 3 was used for F4 digestion.

| Step | Fraction Extracted | Extraction Method | Components |
|------|--------------------|-------------------|------------|
| 1    | Exchangeable and carbonate bound | Shaking at 22 ± 5 °C for 16 h; collect supernatant after 10 min of centrifugation at 4000 rpm; the residue is retained for the next step | Fe/Mn oxides or hydrous oxide bound |
| 2    | Fe/Mn oxide or hydrous oxide bound | Shaking at 22 ± 5 °C for 16 h; collect supernatant after 10 min of centrifugation at 4000 rpm; the residue is retained for the next step | Organic substance and sulfate bound |
| 3    | Organic substance and sulfate bound | Digesting at room temperature for 1 h with occasional manual shaking, then cover the tube and heat at 85 ± 2 °C for 1 h; then remove the cover and reduce the volume to about 50% by drying; digesting at 22 ± 5 °C; collect supernatant after 10 min of centrifugation at 4000 rpm; the residue is retained for the next step | Metals within lithogenic minerals |
| 4    | Metals within lithogenic minerals | Refer to 2.2.1 total metal element content analysis (Zhuang et al., 2016) | Refer to 2.2.1 total metal element content analysis (Zhuang et al., 2016) |

10 provinces. The east route of this project is 1467 km long and was formally transferred water in November 2013, with a transfer scale of 14.8 billion m³/a. This project makes important contribution to mitigation of serious water scarcity in Northern China (Zhuang, 2016).

The Nansihu Lake is the sixth largest freshwater lake in China and the biggest natural surface water resource reservoir in Shandong Province. The Nansihu Lake is not only a drive hub in the east route of South-to-North Water Diversion Project but also the largest reservoir lake in the east route. The Nansihu Lake is very important to improve water quality along water transfer lines because it absorbs harmful metals and reduces organic pollutants. Thus, the water quality of the Nansihu Lake is closely associated with not only the ecological system in the lake but also the water safety of the east route of South-to-North Water Diversion Project.

The Nansihu Lake runs from northwest to southeast and consists of Nanyang Lake, Dushan Lake, Zhaoyang Lake, and Weishan Lake without invisible boundary. Its average depth is 1.5 m. The Nansihu Lake belongs to warm temperate and semi-humid monsoon continental climate, with four distinctive seasons, abundant light and heat resources, hot rainy season, an average annual temperature of 13.7 °C, and an average annual precipitation of 700 mm, of which, the summer rainfall accounts for 60%–80% (Lu et al., 2003; Ma et al., 2014). The Nansihu Lake has a wide surface and narrow middle portion, is about 126 km long from south to north, and about 5–25 km wide from east to west and covers 1266 km². The Nansihu Lake receives water from more than 50 rivers with 31,700 km² drainage area in Shandong, Jiangsu, Henan, and Anhui. In 1960, the Erji Dam was completed in the narrowest part of the Zhaoyang Lake to divide this lake into the upper and lower sections from north to south. The upper and lower sections cover 602 and 664 km², respectively.

The surface sediments were sampled in April, 2017. Twenty-six sampling sites were provided for the Nansihu Lake (Fig. 1) in the principle that the upper section has more sampling points than the lower section and the sampling points are as close as possible to the industrial park in the northeast bank or lake intake. The lower and upper sections have nine sampling sites, i.e., No. 1–No. 9, and seventeen sampling points, i.e., No. 10–No. 26, respectively. The coordinates of the sites are listed in Appendix 2. The surface sediments were sampled using a stainless steel grab sampler. The well-mixed sample was packed with a PE bag, which is rapidly placed in an insulated box with ice bags. The PE bag was immersed in 10% HNO₃ (v/v) for over 48 h in a laboratory before sampling and rinsed with Milli-Q water (Resistivity: not less than 18 MΩ·cm). The sample was transported to the laboratory and stored at 4 °C.

2.2. Chemical analysis of the surface sediments

2.2.1. Total metal element content analysis

The sample was frozen and dried by a freeze drier, ground, and selected through a 200 mesh sieve; 0.100 ± 0.0010 g of sample was accurately weighed to PTFE digestion tank and digested using HNO₃, HF, and HClO₄. See another paper for details (Zhuang et al., 2016). Total Be, Sb, and Tl contents were determined using ICP-MS (PerkinElmer, NexION 350D). Al, Fe, and Mn contents were determined using ICP-OES (PerkinElmer, Optima 8000DV).

2.2.2. Speciation analyses of Be, Sb, and Tl

A method invented by the former European Community Bureau of Reference (the BCR method for short) is a common method to distinguish different forms of the metals in the sediments (Rauret et al., 1999). According to the BCR method, the metal elements in the sediments could be divided into the following four forms (Karbbasi and Shankar, 2005):

(1) Acid-soluble (including exchangeable and carbonate bound): mainly produced from human activity, with high bioavailability
and high environmental risk.

(2) Reducible (Fe/Mn oxides or hydrous oxide bound): can be released from the sediments to the water body by reduction, and bioavailable.

(3) Oxidable (organic substance and sulfide bound): can be released to the water body after the combined organic substances are decomposed into inorganic substances in oxidizing environment.

(4) Residual: inert components of metal elements in the sediments, mainly mineral facies, bound in the crystal lattices of the sediments, from nature, and barely biologically used.

The operation steps of BCR are shown in Table 1 (Rauret et al., 1999; Gao et al., 2010). Further in this paper, the four chemical forms are briefly named as F1, F2, F3, and F4. The F1, F2, and F3 contents of Be, Sb, and Tl were determined by ICP-MS. F4 content was total metal content – (F1 + F2 + F3).

The reference material GBW07437 was used to ensure the accuracy of extraction. Recoveries of all the four chemical forms of Sb were higher than 87%. However, there is no reference values for Be and Tl in GBW07437. To inspect the accuracy of the BCR method for Be and Tl, five samples randomly selected after the F3 extraction step were selected for F4 digestion and determination. The results showed that the difference between sum of the measured F1–F4 contents and total measured value did not exceed 15%.

2.3. Estimation of sediment contamination

2.3.1. Risk assessment code (RAC)

As a common ecological risk evaluation index, RAC was calculated from the formula (Perin et al., 1985):

\[
\text{RAC} = \text{Exc} \% + \text{Carb} \%
\]

where Exc\% and Carb\% represent the percentages of exchangeable and carbonate bound, respectively, in total metal content, i.e., F1 in this study. According to RAC, the sediments were categorized into five levels: (1) RAC ≤ 1\%, no risk; (2) 1\% < RAC ≤ 10\%, low risk; (3) 10\% < RAC ≤ 30\%, moderate risk; (4) 30\% < RAC ≤ 50\%, high risk; (5) 50\% < RAC, very high risk. This method, which was created based on the geochemical form of metal, could reflect the percentage of biologically effective components in total metal content better than total content.

2.3.2. Potential ecological risk index (RI)

According to Håkanson’s (1980) viewpoint, metals hazard to humans and the aquatic ecosystem include two aspects, i.e., the abundance principle and the release effect. The potential bioxicity of metal elements is inversely proportional to their abundances. In this research, toxicity coefficients of Be, Sb, and Tl were determined considering their effective components from both human activities and nature. F1, F2, and F3 contain the element i (i.e., contents of F1, F2, and F3).

RI included single-factor potential ecological RI (Eii), and comprehensive potential ecological index (ERI). Eii was calculated as shown below (Håkanson, 1980):

\[
E_{ii} = T_{ii} \times C_{ii}^{p}
\]

\[
C_{ii} = C_{ii}^{p} + C_{ii}^{n}
\]

where \(T_{ii}\) is the toxic response factor of the element i; \(C_{ii}^{p}\), \(C_{ii}^{n}\), and \(C_{ii}^{a}\) are the pollution coefficient of element i, element i concentration in the sediments, and its environmental background value, respectively. According to \(E_{ii}\), the sediments were categorized into five levels: (1) \(E_{ii} \leq 40\), low risk; (2) \(40 < E_{ii} \leq 80\), moderate risk; (3) \(80 < E_{ii} \leq 160\), moderate to high risk; (4) \(160 < E_{ii} \leq 320\), high risk; (5) \(320 < E_{ii}\), very high risk.

ERI was calculated as shown below (Håkanson, 1980):

\[
E_{RI} = \sum_{i=1}^{n} E_{ii}^p = \sum_{i=1}^{n} T_{ii} \times C_{ii}^p
\]

According to \(E_{RI}\), the sediments were categorized into four levels: (1) \(E_{RI} \leq 150\), low risk; (2) \(150 < E_{RI} \leq 300\), moderate risk; (3) \(300 < E_{RI} \leq 600\), high risk; and (4) \(E_{RI} > 600\), very high risk.

2.3.3. Multiparameter evaluation index (MPE)

2.3.3.1. Calculation according to the receptor model for APCS-MLR. The Be, Sb, and Tl contents of the samples from all the sampling sites were normalized first before calculation according to the following formulas (Thurston and Spengler, 1985):

(1) An artificial sample with 0 content was introduced, and the component score of 0 content sample was calculated according to the following formula:

\[
Z_{ii} = \frac{0 - C_{ii}}{\sigma_i} = -\frac{C_{ii}}{\sigma_i}
\]

(2) APCS of each element was calculated according to the rotated component score and 0 content artificial sample.

(3) The absolute principal component was used as the independent variable, and the metal element content in each sample was used as the dependent variable for MLR:

\[
C_i = b_{0i} + \sum_{p=1}^{4} (b_{pi} \times APCS_{pi})
\]

where \(C_i\) is the arithmetic average content of the metal element i, \(\sigma_i\) is the standard deviation of the content of the element i, \(C_i\) is the content of the element i, \(b_{0i}\) is the constant term of MLR, \(b_{pi}\) is the regression coefficient of MLR, APCS is the absolute principal component score, and \((b_{pi} \times APCS_{pi})\) is the content contribution of the pth principal component (i.e., source p) to \(C_i\).

2.3.3.2. Multiparameter evaluation index analysis. In this paper, an ecological risk assessment index for metal elements in sediments based on receptor model, speciation, and toxicity coefficient was established and referred to as multiparameter evaluation index (MPE), according to the following formula:

\[
\text{MPE} = T_{ii} \times P_i \times \text{NonR}_i
\]

where \(T_{ii}\) is the toxic response factor of the element i; \(P_i\) is the contribution rate of principal components (PCs) from human activity to the element i; \(\text{NonR}_i\) is the content of nonresidual portion of the element i (i.e., contents of F1, F2, and F3).

In general, most metals in the form of F4 are from natural sources and exist in the form of minerals in nature. F1, F2, and F3 contain the components from both human activities and nature. It is not stringent that only percentage of F1 is used as the pollution index of metal elements in RAC. Thus, the contribution of the elements from human activities was introduced to MPE as a weight, and the absolute nonresidual metal content replaced the F1 percentage content in RAC. \(T_{ii}\) was used to distinguish the biotoxicity of different metal elements. The metal element pollution could not be graded by this index because of lack of relevant biotoxicity test and statistical data. This index was only for reference for research in relevant fields.

3. Results and discussions

3.1. Toxicity coefficient determination for Be, Sb, and Tl

Håkanson (1980) proposed that the toxic factor should primarily give information about the potential transport avenues of toxic substances and the threat to human. Secondly, the toxic factor should
Table 2

| Element | Igneous rock | Soil | Freshwater | Terrestrial plant | Terrestrial animal |
|---------|--------------|------|------------|------------------|-------------------|
| As      | 1.8          | 6.0  | 0.0004     | 0.2              | 0.2               |
| Cd      | 0.2          | 0.06 | 0.00031    | 0.6              | 0.5               |
| Cr      | 100          | 100  | 0.0018     | 0.23             | 0.075             |
| Cu      | 55           | 20   | 0.01       | 14               | 2.4               |
| Hg      | 0.08         | 0.415| 0.00008    | 0.015            | 0.046             |
| Pb      | 12.5         | 10   | 0.005      | 2.7              | 2.0               |
| Zn      | 70           | 50   | 0.01      | 100              | 160               |
| Be      | 3.0          | 1.95 | 0.00019    | 0.05             | 0.01              |
| Sb      | 0.2          | 1    | 0.0002     | 0.05             | 0.1               |
| Tl      | 0.75         | 0.2  | 0.00005    | 0.25             | 0.1               |

also give information about the even more complex threat to the aquatic ecological system. The main course of contaminants is as follows: water–sediment–biota–fish–human. The potential toxicological effect of an element is proportional to the abundance, or rather the rarity in nature of this element. Håkanson chose igneous rocks, soil, freshwater, land plants, and land animals as representatives of different types of geological and biological media. He also pointed that when reliable data become available from other types of media, especially from the freshwater environment, it would be natural and beneficial to make a revision of the results given in this context (Håkanson, 1980).

Table 2 lists the abundance of Be, Sb, Tl, As, Cd, Cr, Cu, Hg, Pb, and Zn in igneous rock, soil, freshwater, terrestrial plants, and terrestrial animals (Schoer, 1984; Chen et al., 1989; Sun and Dong, 1991; Qi et al., 1992; Slobodan et al., 1993; Cleven and Fokkert, 1994; Taylor and McLennan, 1995; Zhang et al., 1997; Filella et al., 2002; Kenneth et al., 1992; Slobodan et al., 1993; Cleven and Fokkert, 1994). Relative abundance of elements having the highest abundance in one media was identified as 1.0. For example, Cr having the highest abundance in igneous rock was assigned to 1.0; Cd having 500 times smaller abundance than Cr was assigned to 500 (Table 3).

Total relative abundance of one element was the sum of relative abundance of the element in all the five media listed in Table 3. The highest value of the five relative abundances of an element was neglected to prevent inappropriate weight of the total relative abundance. The sum of the other four relative abundances was divided by 4.4 (the smallest value of total relative abundance, i.e., value of Zn) to obtain the average relative abundance of an element: Zn < Cu < Pb < Cr < As < Cd < Tl < Be < Sb < Hg.

Håkanson (1980) pointed out that the relative abundance of an element was associated with its toxicity coefficient but was not simply equal to its toxicity coefficient. Release effect can indicate sedimentation trends of different metals in sediments. Release effect was calculated using the relative abundance.

Metal release coefficient = Metal background value in freshwater/Metal background value in sediment before industrialization

The calculated release coefficients of the above metals are listed in Table 4 (Tech, 1986; Mou, 1999; Bai et al., 2004; Xu et al., 2008). The release coefficients of 10 metals in sediments calculated according to this method are listed in an increasing sequence: Cr < Tl < As < Sb < Zn < Be < Pb < Cu < Cd < Hg. For each metal element, its average relative abundance is multiplied by release coefficient to obtain the corrected relative abundance; see Table 4.

The corrected relative abundances of the 10 metals were used to indicate their toxicity coefficients. Elements with higher relative abundance and release coefficient have higher toxicity, i.e., release coefficient was associated with abundance.

In application, the corrected relative abundance of metal elements was divided by 57.5 (corrected relative abundance of Zn, the smallest of the 10 elements) to obtain As = 62.9, Cd = 1248.5, Cr = 3.9, Cu = 11.8, Hg = 6443.3, Pb = 16.1, Be = 535.0, Sb = 355.7, and Tl = 42.8, the square roots of which are extracted and rounded off to the integers, i.e., As = 8, Cd = 35, Cr = 2, Cu = 3, Hg = 80, Pb = 4, Zn = 1, Be = 23, Sb = 19, and Tl = 7. These are the ultimate values of toxicity coefficients.

The calculation results showed that Hg had the highest toxicity coefficient, followed by Cd, Be, and Sb. Toxicity coefficients of Tl and As were approximate. Håkanson considered the toxicity coefficient of Hg might be overestimated; hence, the value was halved in practice, i.e., 40. Håkanson determined the toxicity coefficients for As, Cd, Cr, Cu, Hg, Pb, and Zn as 10, 30, 2, 5, 40, 5, and 1, respectively. Slight differences between the toxicity coefficients determined by Håkanson and those in this study could be found; this maybe due to the fact that the abundances of elements in the five media considered in this study were different from the abundances taken by Håkanson. However, the calculated toxicity coefficients were highly consistent. In addition, the toxicity coefficients defined by Håkanson were multiples of 2 or 5; hence, the toxicity coefficients of Be, Sb, and Tl were determined as 25, 20, and 10, respectively.

Table 3

| Element | Igneous rock | Soil | Freshwater | Terrestrial plant | Terrestrial animal | ∑^4_{i=1} | Average relative abundance |
|---------|--------------|------|------------|------------------|-------------------|---------|---------------------------|
| As      | 55.6         | 16.7 | 25.0       | 500.0            | 800.0             | 597.2   | 135.7                     |
| Cd      | 500.0        | 1666.7| 32.3       | 166.7            | 320.0             | 1018.9  | 231.6                     |
| Cr      | 1.0          | 1.0  | 55.6       | 434.8            | 2133.3            | 492.3   | 111.9                     |
| Cu      | 1.8          | 5.0  | 1.0        | 7.1              | 66.7              | 15.0    | 3.4                       |
| Hg      | 1250.0       | 241.0| 125.0      | 6666.7           | 3478.3            | 5094.2  | 1157.8                    |
| Pb      | 8.0          | 10.0 | 2.0        | 37.0             | 80.0              | 57.0    | 13.0                      |
| Zn      | 1.4          | 2.0  | 1.0        | 1.0              | 1.0               | 4.4     | 1.0                       |
| Be      | 33.3         | 51.3 | 52.6       | 2000.0           | 1600.0            | 2137.2  | 485.7                     |
| Sb      | 500.0        | 100.0| 50.0       | 2000.0           | 1600.0            | 2250.0  | 511.4                     |
| Tl      | 133.3        | 500.0| 200.0      | 400.0            | 1600.0            | 1233.3  | 300.5                     |

∑^4_{i=1} is the sum of four items after removal of the highest abundant element in igneous rock, soil, freshwater, terrestrial plants, and terrestrial animals.

3.2. Spatial distribution characteristics of Be, Sb, and Tl

According to the survey data from the 26 sampling sites, the spatial distribution of Be, Sb, and Tl in the surface sediments of the Nansihu Lake was analyzed as shown in Fig. 2. The surface sediments of the Nansihu Lake had average Be, Sb, and Tl contents of 2.89, 1.23, and 0.374 μg/g, respectively. In addition, the upper section had a higher average Be content but lower average Sb and Tl contents of the surface sediments than those in the lower section, indicating that they came from different sources. On the basis of the spatial variability characteristics, Be, Sb, and Tl variability coefficients were very small, 13.27%, 17.14%, and 14.63%, respectively, indicating that the three elements distributed uniformly in the surface sediments of the Nansihu Lake.
Maximum Be content of 3.38 μg/g occurred at Site 24, which was in the farthest north and very close to Jining City Industry Park; maximum Sb content of 1.77 μg/g occurred near the mouth of Beijing-Hangzhou Canal; maximum Tl content of 0.506 μg/g occurred near the ship lock at the culture area, showing that human activity made significant contribution to the three elements in the sediments.

There was lack of environmental background value of sediments containing Be, Sb, and Tl in the Nansihu Lake. However, the Nansihu Lake was the former place where ancient Sishui flowed through. In the 12th century, Yellow River trespassed southward Sishui waterway, resulting in unobstructed drain and leading to the formation of a lake (Guo, 1990). The background values of the Yellow River sediments proposed by Zhao and Yan (1992) are mostly used in the study of the heavy metals in the sediments of the Nansihu Lake (Yang et al., 2003; Li and Zhang, 2012). The samples of the Yellow River sediments collected by Zhao and Yan (1992) were from Zhengzhou, Pingyin, Jinan, and Binzhou, the latter three cities are all in Shandong Province and closest to the study area. Thus, Be, Sb, and Tl contents of the sediments of the Yellow River were selected as the environmental background values in this study (Guo, 1990; Dong, 2009; Zhuang et al., 2016).

The Be, Sb, and Tl background contents of the sediments of the Yellow River were 1.7, 0.62, and 0.45 μg/g, respectively (Zhao and Yan, 1992). The average Be and Sb contents of the sediments of the Nansihu Lake were higher but with a slightly lower average Tl content than the corresponding environmental background value in the sediments of the Yellow River.

### Table 4

| Element | Background value in freshwater (μg/g) | Content in lacustrine sediments before industrialization (μg/g) | Release coefficient \((x 10^{-6})\) | Average relative abundance | Corrected relative abundance |
|---------|--------------------------------------|-------------------------------------------------------------|-----------------------------------|---------------------------|-----------------------------|
| As      | 0.0004                               | 15                                                          | 26.7                              | 135.7                     | 3619.5                      |
| Cd      | 0.00031                              | 1                                                           | 310.0                             | 231.6                     | 71787.9                     |
| Cr      | 0.00018                              | 90                                                          | 2.0                               | 111.9                     | 223.8                       |
| Cu      | 0.01                                 | 50                                                          | 200.0                             | 3.4                       | 680.0                       |
| Hg      | 0.00008                              | 0.25                                                        | 320.0                             | 1157.8                    | 370489.1                    |
| Pb      | 0.005                                | 70                                                          | 71.4                              | 13.0                      | 925.9                       |
| Zn      | 0.01                                 | 175                                                         | 57.1                              | 1.0                       | 57.5                        |
| Be      | 0.00019                              | 3                                                           | 63.3                              | 485.7                     | 30763.4                     |
| Sb      | 0.0002                               | 5                                                           | 40.0                              | 511.4                     | 20454.5                     |
| Tl      | 0.00005                              | 5.7                                                         | 8.8                               | 280.3                     | 2458.8                      |

Fig. 2. The spatial variations of studied metals in total concentrations of the surface sediments from the Nansihu Lake; the horizontal solid lines represent their corresponding background values (BGVs).
3.3. Be, Sb, and Tl speciation characteristics

The toxicity and bioavailability of the metal elements in the sediments depended on their chemical forms in the sediments; hence, the study on the form characteristics of metal elements reflected better their environmental effects. The distribution of Be, Sb, and Tl forms in the surface sediments is shown in Fig. 3. Most sediments from the sampling sites had the same Be, Sb, and Tl forms. The percentage of F4 of the three elements was the highest, over 65%, 70%, and 84%, respectively, showing that they mainly originated from minerals. The percentages of various Be, Sb, and Tl forms were in the following descending sequences: F4 > F3 > F2 > F1, F4 > F1 > F2 > F3 and F4 > F3 > F1 > F3.

The samples from Sites 1, 2, and 4 had much higher nonresidual Sb and Tl (F1 + F2 + F3) contents than those from other sampling sites. Site 1 was located at lake mouth of Beijing-Hangzhou Canal, and Sites 2 and 4 were close to Beijing-Hangzhou Canal, which was the main waterway in the east route of South-to-North Water Diversion Project. Thus, nonresidual Sb and Tl in the sediments from Sites 1, 2, and 4 would have mainly come from the South-to-North Water Diversion Project.

The average F1 content of Be was about 4.8%, and the average F2 and F3 contents were 7.82% and 10.78%, respectively, with small difference in form content between the sampling sites. Except for F4, the F1 content of Sb was the highest, 7% of total content on average, whereas F2 and F3 contents were negligible, showing that Sb was significantly influenced by human activity, and Sb combined the sediments so weakly as to readily return to the water body resulting in secondary pollution. Average F1 content of Tl was only above 1%, resulting in very low bioavailability. In nonresidual Tl, F2 content was almost negligible and F3 content was relatively high. The surface sediments in the studied area had average Eh of −76.5 mV and therefore was anoxic or anaerobic, suggesting that nonresidual Tl and Be more readily combined organic matters, but not Fe or Mn oxides, in the sediments of the Nansihu Lake.

3.4. Assessment of sediment contamination

3.4.1. Risk assessment code

RAC was proposed on the basis of different binding forces of different forms of metal elements in the sediments. This method regarded carbonate bound and ion exchangeable (F1) as the effective parts of
metal. The metal bioavailability in the sediments was evaluated by calculating F1 in total metal content to further evaluate the environmental risk. Higher the metal bioavailability, higher the environmental risk was, and vice versa.

RAC analysis results are shown in Fig. 3. Total Be content was the highest, 1.7 times the environmental background value, but F1 content was between 0.601% and 8.29%, only 4.81% on average, indicating only low ecological risk. Total average Sb content was 2 times the environmental background value, and F1 content was between 3.85% and 20.59%, 7.03% on average. Most sampling sites had RAC of Sb between 1% and 10%, indicating low environmental risk, whereas Sites 2, 4, and 9 had RAC of Sb of 20.59%, 17.00%, and 10.653%, respectively, indicating moderate risk. Total Tl content did not exceed the environmental background value, but RAC was between 0.73% and 2.58%, 1.33% on average, indicating a potential risk, but a low risk level as a whole.

On the whole, RAC analysis results showed that Sb had the highest environmental risk, followed by Be, and Tl had the lowest environmental risk.
3.4.2 Potential ecological risk index

The Eri and ERI analysis results of Be, Sb, and Tl of the studied area are shown in Fig. 4. Be, Sb, and Tl had Eri values of 31.36–49.63, 29.53–56.95, and 6.44–11.24, and average of 42.52, 39.69, and 8.32, respectively. Tl in all the sampling sites had Eri values much less than 40, indicating very low probability of ecological risk. For Be, only 4 of the total 9 sites in the lower section of the lake had Eri values higher than 40, reaching moderate ecological risk, while only 3 of 17 sites in the upper section of the lake had the Eri values lower than 40, and the others had Eri values higher than 40, reaching moderate ecological risk. Different from Be, about half of the sites in the upper and lower sections of the lake had Eri values higher than 40 for Sb, reaching moderate ecological risk.

Coal development and utilization was the primary source of Be and Sb pollution in environment (Bai et al., 2004; Hulo et al., 2016; He and Wan, 2004; Cai et al., 2016). Thus, the industries of metallurgy, mining, thermal power generation, etc., in Jining, Zhaozhuang, Xuzhou, etc., around the Nansihu Lake were the sources of Be and Sb in environment. However, Sb was a long-spreading global pollutant (Cloy et al., 2005; Shotyk et al., 2005; Krachler et al., 2005). Thus, Sb in the sediments of the Nansihu Lake was mainly from air settlement in addition to minerals. This was an important reason for the significantly different distribution characteristics of Sb compared to Be in the lake.

ERI was an ecological risk evaluation method to comprehensively consider the ecological hazards of metal elements in sediments. Be, Sb, and Tl in the surface sediments in the studied area had ERI values between 72.05 and 108.73, much lower than the minimum risk threshold, 150, resulting in very low probability of combined ecological risk.

3.4.3 Multiparameter evaluation index

Before assessing ecological risks by MPE index, principal component analysis (PCA) was performed first to determine the human activity source of the PCs. According to relevant calculation results of receptor model for APCS/MLR, the contribution of human activity source to Be, Sb, and Tl in the surface sediments in the studied area had EAI values between 72.05 and 108.73, much lower than the minimum risk threshold, 150, resulting in very low probability of combined ecological risk.

Fig. 5. Source contribution ratios of the studied metals in the surface sediments (Source 1: inherent mineral; Source 2: transport and fossil fuel combustion; Source 3: introduced mineral).
and P value < 0.05 indicated that the normalized data were suitable for PCA. PCA was conducted to analyze the association of Be, Sb, and Tl with Al, Fe, Mn, Cr, Cu, Ni, Pb, and Zn (Table 5). This paper did not focus on heavy metals such as Cr, Cu, Ni, Pb, and Zn; hence, their contents were used only for reference to analyze Be, Sb, and Tl sources and are listed in Appendix 1. Two common PCs were extracted to explain a sum of 78.72% of the total variance, among which 42.42% and 36.30% could be explained by PC1 and PC2, respectively.

PC1 had high Al, Fe, and Mn loads, which were indicators of natural sources (Duan et al., 2010; Qiao et al., 2013). Therefore, PC1 represents the natural source component of Be, Sb, and Tl. Although the previous speciation analysis results showed high residual Be content, it was concluded that Be was not formed naturally in the Nansihu Lake because of its high negative load in PC1. According to the above mentioned, Be content was much higher than the background value, and mining usually was the important source of Be in environment. Surrounding cities such as Jining, Tengzhou, and Zaozhuang are traditional coal-producing areas. Thus, PC1 represented Be, Sb, and Tl from natural sources. Be was mainly from introduced mineral.

PC2 had low Al, Fe, and Mn loads, especially the Al load being a negative value. Boat repair, vessel painting, transport, and others were the important sources of heavy metals such as Cu, Zn, and Ni in environment; PC2 had high Cu, Zn, and Ni loads (Zhang et al., 2013; Jain, 2004; Turner, 2010). As mentioned above, fossil fuel combustion is the important source of Sb in environment. Thus, PC2 represented sources of human activity such as transport and air settlement including fossil fuel combustion.

According to the above-mentioned method, two component scores for component analysis were converted to absolute component scores, which were used along with the content of each element for MLR to obtain MLR equation of each element and two absolute component score. Finally, the contribution rates of the two sources of Be, Sb, and Tl were calculated. MLR equation had the degrees of fitting > 80%, and p values < 0.01, showing this model had high confidence.

The PCA method was used to obtain the Be, Sb, and Tl contributions from nature and human activities in sediments of the Nansihu Lake. However, according to the results of the receptor model, there were other sources that could not be explained by PCA in addition to the above two sources. Be was mainly from introduced mineral as mentioned above. Thus, other sources were included under natural sources. The contributions of Be, Sb, and Tl from all the sources in the sediments of the Nansihu Lake are shown in Fig. 5.

The MPE values of Be, Sb, and Tl in each site were calculated, as shown in Fig. 6, according to the previously calculated toxicity coefficients of Be, Sb, and Tl, contribution rates of human activities, and nonresidual contents. The MPE values of Be were between 517.26 and 1198.52, averagely 770.85; the MPE values of Sb were between 69.21 and 512.22, averagely 153.71; the MPE values of Tl were between 0.97 and 6.67, averagely 2.04. Be had 5 and 588 times higher average ecological risk than Sb and Tl, respectively. Sb had 75 times higher average ecological risk than Tl.

The average F1 contents of Be, Sb, and Tl were about 4.8%, 7%, and 1.3%, respectively. Hence, RAC analysis results showed that Sb had the highest ecological risk, followed by Be and Tl. In fact, the absolute content and percentage of the F1 form of Be were both much higher than that of Sb. But only the percentage (not the absolute content) of F1
was used in RAC, and this was not strict. In addition, different elements had different toxicities, i.e., different toxicity coefficient value, for the same concentration of different elements; higher the toxicity coefficient, higher the ecological risk level was.

Be, Sb, and Tl had average $E_i$ values of 42.52, 39.69, and 8.32, respectively; hence, the ecological risk degree was $Be > Sb > Tl$. These results contradicted those of RAC analysis. Usually, only non-residual metal elements had stronger biotoxicity, and it was believed that residual metal elements had no potential ecological risk. Except RAC, speciation was neglected in common ecological risk-assessment methods. Therefore, there are obvious defects in both RAC and RI.

In addition to speciation and toxicity coefficient, the metal elements in water body during human activities were generally active and easily biologically reusable, resulting in ecological risk. Comprehensive consideration of the three factors enhanced the ecological risk assessment result. Thus, MPE could more intuitively distinguish the ecological risk levels of different elements than RAC and RI and more easily distinguish the ecological risk level of one element in different sampling areas. However, no value of toxicity graded by MPE was given in this paper because of lack of relevant experimental data. Only a reference method was provided for the researchers in relevant fields.

### 4. Conclusions

In this paper, a new metal element ecological risk-assessment method, referred to as multiparameter evaluation index (MPE), was created by a combination of receptor model, metal element speciation characteristics in sediments, and metal element toxicity coefficient. Results of the macroanalysis showed that Be and Sb contents in sediments of the Nansihu Lake were obviously higher than the environmental background values, Tl contents were a little lower than the background value. Source apportionment indicated that Be was mainly from sources of human activities and exogenous minerals. Sb was mainly from human activities in addition to endogenic minerals, and exogenous mineral sources only contributed a low proportion. Atmospheric deposition was the main way of access for exogenous Sb into the Nansihu Lake. Tl was mainly from endogenic minerals followed by exogenous mineral, and human activities sources contributed a very low proportion. The results of MPE showed the ecological risk degree of the three metals were in the following order: $Be > Sb > Tl$, which was highly consistent with the results obtained by the other risk assessment methods. The research results showed that this index could more effectively distinguish the ecological risk level of different elements in sediments and more easily distinguish the ecological risk level of one element in different sampling areas than traditional assessment indices such as RI and RAC. This method could also be used for other water sediments or soil.

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### Appendices

#### Appendix 1

Contents of macroelements and heavy metals in the surface sediment of the Nansihu Lake.

| Site | Al mg/g | Fe mg/g | Mn mg/g | Cr μg/g | Cu μg/g | Ni μg/g | Pb μg/g | Zn μg/g |
|------|---------|---------|---------|---------|---------|---------|---------|---------|
| 1    | 71.07   | 50.99   | 1.92    | 127.04  | 31.50   | 57.54   | 46.65   | 124.52  |
| 2    | 70.84   | 39.97   | 0.89    | 99.63   | 29.23   | 46.56   | 26.42   | 112.84  |
| 3    | 68.05   | 40.79   | 0.92    | 96.53   | 35.12   | 53.09   | 17.29   | 102.15  |
| 4    | 67.86   | 36.54   | 0.88    | 90.48   | 28.33   | 42.97   | 24.92   | 108.36  |
| 5    | 58.89   | 38.73   | 0.73    | 97.14   | 30.11   | 46.49   | 14.08   | 94.79   |
| 6    | 59.34   | 37.36   | 0.88    | 98.01   | 28.92   | 46.66   | 15.83   | 90.56   |
| 7    | 60.99   | 38.33   | 0.95    | 95.37   | 27.66   | 46.20   | 15.34   | 90.79   |
| 8    | 52.87   | 33.24   | 0.50    | 81.39   | 23.63   | 35.97   | 12.71   | 84.36   |
| 9    | 60.34   | 34.20   | 0.60    | 84.10   | 26.80   | 40.36   | 14.62   | 82.34   |
| 10   | 58.56   | 39.17   | 0.88    | 91.86   | 32.42   | 45.63   | 13.49   | 87.71   |
| 11   | 57.06   | 31.90   | 0.70    | 78.16   | 23.73   | 37.91   | 13.69   | 71.91   |
| 12   | 57.05   | 30.57   | 0.57    | 76.49   | 21.67   | 35.12   | 11.40   | 61.92   |
| 13   | 63.57   | 39.86   | 0.81    | 89.51   | 28.13   | 43.71   | 12.98   | 69.37   |
| 14   | 49.74   | 29.20   | 0.65    | 72.15   | 18.71   | 30.50   | 7.26    | 56.33   |
| 15   | 38.38   | 30.99   | 0.81    | 79.72   | 29.34   | 44.26   | 10.71   | 79.89   |
| 16   | 37.47   | 31.11   | 0.67    | 77.60   | 31.89   | 46.07   | 10.80   | 84.02   |
| 17   | 40.95   | 30.76   | 0.68    | 80.15   | 30.60   | 48.21   | 11.37   | 91.33   |
| 18   | 37.08   | 34.65   | 0.34    | 89.17   | 27.23   | 40.86   | 8.55    | 63.07   |
| 19   | 36.59   | 33.24   | 0.71    | 87.73   | 31.97   | 47.43   | 11.30   | 81.74   |
| 20   | 34.55   | 26.83   | 0.60    | 75.15   | 27.83   | 42.87   | 10.72   | 77.99   |
| 21   | 39.41   | 30.64   | 0.46    | 82.75   | 31.89   | 48.26   | 11.07   | 86.77   |
| 22   | 22.08   | 18.44   | 0.30    | 78.96   | 24.07   | 38.49   | 9.57    | 74.10   |
| 23   | 33.01   | 24.34   | 0.57    | 85.29   | 24.27   | 42.67   | 10.38   | 74.54   |
| 24   | 36.55   | 26.45   | 0.29    | 94.82   | 22.62   | 41.37   | 8.88    | 69.36   |
| 25   | 35.50   | 28.85   | 0.50    | 90.89   | 39.33   | 48.04   | 13.49   | 113.24  |
| 26   | 50.06   | 26.95   | 0.43    | 93.39   | 22.55   | 43.07   | 11.68   | 74.43   |
Appendix 2
The coordinates of the sampling sites in the Nanshi Lake.

| Site | Longitude (E) | Latitude (N) | Site | Longitude (E) | Latitude (N) |
|------|---------------|--------------|------|---------------|--------------|
| 1    | 117.361871    | 34.595985    | 14   | 116.780488    | 35.043775    |
| 2    | 117.277765    | 34.622511    | 15   | 116.729867    | 35.063945    |
| 3    | 117.237345    | 34.458190    | 16   | 116.699368    | 35.079535    |
| 4    | 117.266913    | 34.640493    | 17   | 116.692732    | 35.084353    |
| 5    | 117.220900    | 34.688347    | 18   | 116.706633    | 35.100710    |
| 6    | 117.181417    | 34.701417    | 19   | 116.691625    | 35.090498    |
| 7    | 117.157840    | 34.710238    | 20   | 116.662097    | 35.130970    |
| 8    | 117.071500    | 34.808917    | 21   | 116.649875    | 35.193003    |
| 9    | 117.014482    | 34.852883    | 22   | 116.617833    | 35.239595    |
| 10   | 116.923805    | 34.942400    | 23   | 116.652790    | 35.197493    |
| 11   | 116.947450    | 34.914198    | 24   | 116.598643    | 35.263078    |
| 12   | 116.882168    | 35.000642    | 25   | 116.608026    | 35.251622    |
| 13   | 116.847160    | 35.036638    | 26   | 116.614351    | 35.235917    |

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