Structural basis for nucleotide-modulated p97 association with the ER membrane

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Association of the cytosolic AAA (ATPases associated with various cellular activities) protein p97 to membranes is essential for various cellular processes including endoplasmic reticulum (ER)-associated degradation. The p97 consists of two ATPase domains and an N domain that interacts with numerous cofactors. The N domain of p97 is known to undergo a large nucleotide-dependent conformation switch, but its physiological relevance is unclear. Here we show p97 is recruited to canine ER membranes predominantly by interacting with VCP-interacting membrane protein (VIMP), an ER-resident protein. We found that the recruitment is modulated through a nucleotide-dependent conformation switch of the N domain in wild-type p97, but this modulation is absent in pathogenic mutants. We demonstrate the molecular mechanism of the modulation by a series of structures of p97, VIMP and their complexes and suggest a physiological role of the nucleotide-dependent N domain conformation switch. The lack of modulation in pathogenic mutants is caused by changes in interactions between the N and D1 domain, as demonstrated by multiple intermediate positions adopted by N domains of mutant p97. Our findings suggest the nucleotide-modulated membrane association may also have a role in other p97-dependent processes.

Keywords: AAA protein; p97/VCP; IBMPFD/MSP; VIMP/SelS; p97-VIMP complex

Cell Discovery (2017) 3, 17045; doi:10.1038/celldisc.2017.45; published online 12 December 2017

Introduction

Protein quality control eliminates misfolded or unwanted proteins to maintain protein homeostasis and is an essential cellular process that has been identified in every subcellular compartment. In the endoplasmic reticulum (ER), an organelle that houses most nascent secretory and membrane proteins, misfolded or unwanted protein substrates are recognized by chaperones, directed to the ER membrane for retrotranslocation or dislocation to the cytosol, and subsequently targeted for degradation by the ubiquitin–proteasome system. This process has been dubbed ER-associated degradation (ERAD, for reviews, see Vembar and Brodsky [1] and Meyer and Weihl [2]). Retrotranslocation of substrates across the ER membrane requires the assembly of several large membrane protein complexes in a dynamic, yet poorly defined manner. In many cases, assembly of the mammalian ERAD apparatus is thought to be initiated by the association of luminal substrates with a member of the rhomboid pseudoprotease family consisting of Derlin-1, 2 and 3. This is followed by the recruitment of Hrd1, a ubiquitin ligase thought to form a retrotranslocation channel, and p97, an ATPase, together with its adaptor proteins Ufd1 and Npl4 (UN). Although the interaction of the p97-Ufd1-Npl4 complex with the ER membrane in mammalian cells appears to be mediated by several proteins residing in the ER membrane, including gp78, UbxD8, Erasin and Derlins [3], VCP-interacting membrane protein (VIMP) was identified as a major adaptor for p97 in studies using canine pancreas ER membranes [4–6].

Mammalian p97 (also called valosin-containing protein or VCP, cdc48 in yeast, and Ter94 in
Drosophila) is a highly conserved AAA (ATPases associated with various cellular activities) protein with a homo-hexameric ring structure; each p97 subunit is composed of two AAA ATPase domains (D1 and D2) preceded by an N-terminal domain (N domain) [7]. ATP hydrolysis of p97 is required for the translocation of ERAD substrates from the ER to the cytosol [8]. The essential role of p97 in ERAD has been further recognized by mutations identified from patients with multisystem proteinopathy 1 (MSP1), also known as inclusion body myopathy associated with Paget disease of the bone and frontotemporal dementia (IBMPFD), which causes accumulation of ERAD substrates [9, 10]. Although the ATPase activity of the D2 domain has been associated with substrate unfolding activity [11, 12], the function of the ATPase activity of the D1 domain remains unclear, even though the D1 domain was shown to control the conformation switch of the N domain [13], which may also involve the release of polyubiquitinated substrates [11]. Curiously, despite the lack of any transmembrane domains, a significant portion of p97 is found to be associated with cellular membranes [14–17]. This association allows p97 to gain access to membrane substrates as in ERAD and other membrane-associated degradation processes, but how this process is regulated is unclear.

The subcellular localization of p97 appears to be regulated by a large number of p97-interacting adaptor and/or cofactor proteins, through which it exerts diverse functions in different cellular pathways [8, 18–22]. More than 30 cofactors and adaptors have been identified; most of these proteins interact with the N domain of p97 via several conserved binding motifs [23–28]. Interestingly, although for some well-studied adaptor proteins such as p47, Ufd1-Npl4 and FAF1, a 1:1 binding stoichiometry was observed between these adaptor proteins and isolated p97 N domains, a lower stoichiometry of 1–3 molecules of adaptor proteins per hexameric p97 is often seen [25, 29, 30], suggesting the presence of a mechanism in full-length p97 to limit the number of bound adaptor proteins.

VIMP, also known as selenoprotein S, is a 21 kDa ER membrane protein. It is predicted to span the ER membrane once with a short luminal segment, and has a long C-terminal cytosolic segment of 140 residues [31]. The cytosolic segment of VIMP consists of an N-terminal half (residues 51–122) of two helices (PDB:2Q2F, unpublished) and an intrinsically disordered C-terminal half [32]. Toward the C-terminal end of the polypeptide is the selenocysteine residue (SeC188), which gives VIMP its seleno-dependent oxidoreductase activity and presumably provides protection for cellular oxidative stress [32, 33]. VIMP, together with Derlins, is thought to contribute to the association of the p97-Ufd1-Npl4 complex with the ER membrane, forming an ER membrane-associated module in ERAD [4–6, 34]. A putative 11-residue (RX_2AA_2X_2R) VCP-interacting motif (VIM) was identified at the helical region (residues 78–88) of VIMP [35] and is similar to the VIM peptide in the ubiquitin ligase gp78, which is known to bind to the N domain of p97 [36]. However, inconsistencies exist in the literature with regard to the exact location of the VIMs for VIMP. In one case, the region consisting of residues 50–71 was proposed for p97 binding based on an nuclear magnetic resonance (NMR) study [32]. In another, the C-terminal disordered region of VIMP was shown to be critical for p97 interaction [37]. Thus, the controversy over the precise sequence motif that binds p97 in VIMP needs to be resolved. Furthermore, whether or not p97 membrane recruitment is linked to its ATPase cycle and how disease-associated p97 mutations affect this regulation remain unclear.

Here we show that VIMP has an essential role in recruiting p97 to the ER membrane. The recruitment of p97 is modulated by the nucleotide state of p97. In p97 mutants, however, this modulation is diminished. We further provide evidence by determining the structures of p97 in complex with VIMP to explore the molecular basis of this nucleotide-dependent modulation. Our results reveal that an N domain conformation switch in p97 might drive a cycle of p97 membrane attachment and detachment, which does not occur in pathogenic mutants. The defective function of mutant p97 is likely caused by altered interactions between the N and D1 domains, as evidenced by multiple intermediate positions of the N domains in the structures of the p97-VIMP complex, which were captured when mutants were studied.

Results

VIMP is the p97 receptor on canine ER membranes

As part of an ER membrane protein complex that mediates retrotranslocation, VIMP was shown previously to interact with p97 in conjunction with Derlin-1 and this interaction was found to be independent of Ufd1-Npl4 [34]. VIMP was further suggested to bridge the interaction between Derlin-1 and p97 on the basis of intracellular expression of these components [34]. To eliminate the possibility that these observed interactions might be the result of intracellular protein overexpression, we carried out an in vitro VIMP depletion experiment. Using canine pancreas microsomes, we
found that the amount of p97 associated with microsomes was dramatically reduced (by as much as 90%) as a result of co-depletion when VIMP was depleted biochemically (Figure 1a). This was in comparison with control microsomes, in which both VIMP and p97 protein were detected (Figure 1a). This result is consistent with a previous finding that the complex of Derlin-1 and VIMP was the major component co-precipitated with p97 from these membranes, further supporting VIMP as a major mediator of p97 membrane association in the context of pancreatic ER membranes.

Association of wild-type full-length p97 with the ER membrane is modulated by ATP

As the N domain of p97 undergoes nucleotide-dependent conformational change [13, 38–40], we asked whether the membrane recruitment of p97 is sensitive to the types of nucleotides present. Employing a membrane-binding assay, we tested this idea by incubating isolated ER microsomes with purified full-length wild-type p97 (p97wt) in the presence of either ADP or the non-hydrolysable ATP analog ATPγS. The microsomes were subsequently sedimented by ultracentrifugation. Immunoblotting analyses showed consistently that a significantly lower amount of p97wt bound to the membranes in the presence of ADP than in the presence of ATPγS (Figure 1b), suggesting nucleotide-dependent modulation of membrane binding. In the presence of ATPγS, pathogenic p97 variants (p97mt) bearing MSP1-associated mutations (R155H, L198W or A232E) bound to microsomes with similar efficiency to p97wt (Figure 1c), which was largely unaffected by the presence of ADP (Figure 1c). These results suggest that the association of p97wt to the ER membrane is modulated by ATP, whereas in pathogenic mutant p97, this modulation is abolished.

Binding of p97mt to VIMP is also modulated by ATP

As VIMP is a major interaction partner for p97 in canine pancreas microsomes, we tested whether the interaction of p97 with VIMP could be modulated by ATP using isolated protein components. As the cytosolic segment of VIMP (VIMpc, residues 49–187) was previously shown to be responsible for interacting with the N domain of p97 [34], we first used Glutathione S-transferase (GST)-tagged VIMpc to pull-down p97wt (Figure 2a and c). Consistent with the results of the membrane-binding assay, p97wt maintained a strong interaction with VIMpc in the presence of ADP, whereas in pathogenic mutant p97, this modulation is abolished.

Figure 1. VIMP-mediated ER membrane association of p97. (a) Co-depletion of p97 with VIMP. DeoxyBigCHAP (1%) solubilized canine pancreas microsomes were centrifuged. The supernatant was incubated with Protein A beads containing anti-VIMP antibodies three times. Immunoblotting of the unbound fractions showed the co-depletion of ER membrane-associated p97. The top panel shows the amount of p97 before VIMP depletion. The middle and bottom panels show the amount of remaining p97 and VIMP after VIMP depletion, respectively. A nonspecific protein band labeled * was used as a loading control. (b) Differential binding of p97wt to ER microsomes probed by anti-His tag antibody in the presence of 2 mM ATPγS or ADP. Calreticulin, a protein specific to the ER, was used as an ER marker. (c) Binding of three p97mt to ER microsomes in the presence of ATPγS or ADP.

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of ATPγS or AMP-PNP but this interaction weakened significantly in the presence of ADP (Figure 2a and c). By contrast, this nucleotide-regulated interaction was not observed for various p97 variants, as they showed similar binding efficiency to VIMPc, regardless of the nucleotide present (Figure 2a and c).

A minimal fragment containing 40 residues of VIMP is required for interaction

To resolve the inconsistencies in the literature with respect to the region of VIMP interacting with p97 [32, 37] and the actual p97-interacting sequence, we determined the minimal VIMP segment required for the interaction. A series of GST-VIMPc fragments were generated (Figure 3a) with deletions from either the C- or N-terminus of VIMPc. The minimal fragment of VIMP capable of interacting with p97 consists of 40 residues (A69-E108). Compared with the putative VIM (P-VIM) sequence (RΧ5AΑΧ2R), this minimal binding motif contains 20 additional residues C-terminus to the P-VIM. The nucleotide-sensitive interaction between FL p97 and VIMP is preserved among all VIMP fragments that are capable of binding FL p97 (Figure 3c).

Structure of VIMP consists of two helices jointed with a flexible elbow

The C-terminal portion (residues 123–189) of VIMP is highly disordered with no apparent secondary structures.

**Figure 2** Interaction of p97 variants and VIMPc. GST-tagged VIMPc was used to pull-down p97 and its variants. Purified FL p97 or ND1 p97 (wild-type or pathogenic mutants R155H, L198W or A232E) was incubated with VIMPc in the presence of different nucleotides, as labeled, followed by washing. The glutathione-conjugated beads were subsequently analyzed by sodium dodecyl sulfate–polyacrylamide gel electrophoresis (SDS-PAGE). (a) A representative result of the pull-down assays showing the nucleotide-sensitive interaction of VIMPc with FL p97, but not with pathogenic FL p97. Three repeats of the pull-down assays were done for each p97 variant and results are plotted as a bar representation normalized to FL p97 in the presence of ADP. (b) A typical result of the pull-down assays with ND1 p97 variants showing they interact with VIMPc under all conditions. Three repeats of the pull-down assays were done for each ND1 p97 variant and results are plotted as bar representations normalized to the ND1 p97 in the presence of ADP. (c) Controls for the pull-down experiment showing 10% of the input p97 protein (left two panels); and control experiments in the absence of the GST-tagged protein (right two panels).
structures, as shown by an nuclear magnetic resonance (NMR) study [32]. We also showed that the segment of VIMP in the residue range 69–108 is essential for interacting with p97. We therefore used the fragment of VIMP encompassing the minimal p97-interacting motif (residues 49–122, VIMPx) to investigate the structural basis of its interaction with p97. First, we obtained crystals of VIMPx alone, which diffracted X-rays at synchrotron to about 2 Å resolution. The structure was solved by molecular replacement using a deposited structure (PDB:2Q2F, residues 51–122, unpublished) as a search model and refined to 2.2 Å resolution (Table 1). The structure of VIMPx consists of two long α-helices (H1 and H2) with an elbow bend at residues V70-P72 forming an elbow angle of 132.9° (Figure 4a). The minimal p97-interacting fragment (residues 69–108) we identified is located in helix H2, immediately following the bend between the two helices, overlapping with the P-VIM (residues 78–88) [36] (Figure 4a).

Superposition of the VIMPx structure with PDB:2Q2F revealed a large root-mean-square deviation of 1.25 Å using 65 superposed Cα atoms. The main difference between the two structures is the elbow angle, which is 10° larger for PDB:2Q2F, suggesting that a degree of flexibility exists between the two α-helices H1 and H2.

### Structures of the hetero complex between pathogenic p97 and VIMPx

It is well established that isolated hexameric FLp97wt has prebound or occluded ADP closely associated with the D1 domains of a subset of subunits, leading to asymmetry in subunit conformations and difficulty in crystallization in the presence of ATP analogs [13, 41, 42]. Taking advantage of the pathogenic p97 mutants that are able to achieve uniform subunit conformation in the presence of ATP analogs, we successfully obtained crystals of VIMPx in complex with two ND1p97 mutants (residues 1–460), each carrying a single pathogenic mutation either in the N-D1 linker region (L198W, ND1p97L198W) or in the D1 domain (A232E, ND1p97A232E), in the presence of the non-hydrolyzable ATP analog AMP-PNP. Structures were solved and refined to resolutions of 3.41 Å and 2.79 Å for the ND1p97A232E and ND1p97L198W complexes, respectively (Table 1).

The structural solution of ND1p97A232E alone allowed calculation of a difference Fourier map that revealed additional electron densities attributable to both AMP-PNP and VIMPx (Supplementary Figures S1a and b). For the first time, the AMP-PNP moiety bound at the D1 nucleotide-binding pocket was observed in a p97 crystal structure. The binding of AMP-PNP causes the N domain to move above the D1 ring (Figure 4b), which was analogous but not identical to the Up-conformation previously observed in the presence of ATPγS [13] (see Discussion section). To obtain an anchor point for sequence assignment of VIMPx in the complex, we also expressed and purified selenomethionine (SeMet)-derivatized VIMPx (SeMet-VIMPx) for co-crystallization with ND1p97A232E (Table 1). The anomalous difference Fourier map identified a large peak in the middle of the tubular VIMPx electron density (Supplementary Figure S1c), which was assigned to M89. Based on the position of M89, we built 33 residues (76–108) of VIMPx into the density, corresponding to helix H2 of VIMPx (Supplementary Figure S1d). This assignment agrees well with the result from the determination of the minimal fragment required for p97 interaction. The refined structure achieved an Rwork and Rfree of 19.0 and 25.3, respectively.

A second complex between the p97 mutant L198W (ND1p97L198W) and VIMPx was crystallized. This crystal has a larger unit cell (Table 1) sufficient for two ND1p97L198W subunits (chains A and B) and two VIMPx molecules (chains C and D) in a crystallographic asymmetric unit, which, after symmetry expansion, represent two separate hexameric rings packed back-to-back in the crystal lattice (Supplementary Figure S1e). AMP-PNP moieties were found bound to the D1 domains of both subunits. A difference Fourier map showed densities corresponding to VIMPx molecules binding to each p97 subunit. A 38-residue fragment (residues 73–110) representing helix H2 was assigned to the VIMPx bound to chain A, and

![Figure 3](cell_disc.png) Identification of the minimal p97-interacting fragment for VIMP. (a) Schematic diagram showing different constructs of VIMP used in the present study. The predicted transmembrane (TM) segment and the putative VCP-interacting motif (P-VIM) are represented in boxes. Those that bind to p97 are check-marked in the column on the right. (b) Representative result of the pull-down assays performed in the presence of 2 mM AMP-PNP showing the interactions or lack of interaction between FLp97wt and GST-tagged VIMP fragments. Three repeats of the pull-down assays were performed for each VIMP fragment and results are plotted as bar representations normalized to the VIMP fragment C51. (c) The pull-down assay of VIMP variants with FLp97 (wild-type and pathogenic mutant A232E) in the presence of indicated nucleotides.
| Bound nucleotide | VIMPx | M2p97/VIMPx | M2p97/ND1p97/VIMPx |
|------------------|-------|-------------|-------------------|
| None             | AMP-PNP | AMP-PNP | AMP-PNP |

**Data collection**

| Space group   | C2 | P622 | P622 |
|---------------|----|------|------|
| Unit cell (a, b, c, Å) | 121.7, 18.0, 34.0, 90.0, 95.6, 153.8, 153.8, 240.6, 90.0, 90.0, 90.0, 120.0, 120.0, 120.0 | 145.0, 145.0, 119.8, 90.0, 90.0, 143.9, 143.9, 119.3, 90.0, 90.0, 120.0 |
| Resolution (Å) | 50–2.20 (2.30–2.20) | 50–2.34 (2.48–2.34) | 50–2.79 (2.89–2.79) |
| Completeness (%) | 97.6 (92.5) | 97.2 (79.7) | 98.3 (85.6) |
| Total observation | 11211 | 197220 | 159420 |
| Unique reflections | 3854 | 23015 | 18668 |
| Rmerge (%) | 9.1 (33.9) | 11.8 (36.2) | 8.0 (77.9) |
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**Refinement statistics**

| Resolution (Å) | 2.20 | 3.41 | 2.79 |
|----------------|------|------|------|
| Rfree (%)      | 26.2 (28.9) | 29.3 (49.7) | 25.3 (46.9) |
| Rwork (%)      | 20.7 (25.6) | 23.3 (47.7) | 19.0 (42.5) |
| Rmsd bond length (Å) | 0.011 | 0.016 | 0.016 |
| Rmsd bond angle (°) | 1.33 | 1.94 | 1.90 |
| Coordinate error (Å) | 0.25 | 0.49 | 0.34 |
| NCS symmetry | 1 | 2 | 1 |
| Number of non-H atoms | 643 | 7845 | 3887 |
| Number of residues | 76 | 991 | 487 |
| Number of solvent atoms | 12 | 1 | 21 |
| Number of AMP-PNP | — | 2 | 1 |
| Number of Mg²⁺ ions | — | 2 | 1 |

**Ramachandran analysis**

| Most favored (%) | 100 | 88.9 | 90.0 |
| Allowed (%) | 0 | 10.8 | 10.0 |
| Generously allowed (%) | 0 | 0.3 | 0 |
| Disallowed (%) | 0 | 0 | 0 |

**PDB code**

| 5KIU | 5KIW | 5KIY |

*Values in parentheses are for the highest resolution shells.

*Rmerge is defined as Σ|I_h,i - <I_h> |/ ΣI_h, where I_h is the intensity for i_th observation of a reflection with Miller index h, and <I_h> is the mean intensity for all measured I_h's and Friedel pairs.*
the density associated with chain B was fit with a 61-residue model (residues 43−65, 71−109) that included both helices H1 and H2 (Figure 4b). Inclusion of VIMP models led to successful crystallographic refinement of the structure, giving rise to an R_{work} and R_{free} of 23.3 and 29.3, respectively (Table 1). It is worth mentioning that the two crystal forms obtained here resulted from differing amounts of ethanol present in the crystallization conditions rather than a difference in the mutation site, as the L198W mutant can be crystallized in both forms.
The H1 helix in the longer VIMP model of the \textit{ND1}p97\textsuperscript{L198W} VIMPx crystal is stabilized by interactions with an N domain of a neighboring molecule in the crystal (Figure 4b and Supplementary Figure S1f). Although the elbow angle (142.7°) between helices H1 and H2 in the complex (D chain in the \textit{ND1}p97\textsuperscript{L198W} VIMPx) is not very different from those (132.9° and 142.2°) found in the VIMP-alone structures (Figure 4a), the directions of the H1 helix (defined by the swing angle) in these structures are different, further supporting the notion that there is an intrinsic flexibility built into the H1-H2 joint.

**Structural basis of p97 binding to VIMP**

Figure 4c is derived from the highest resolution structure of the p97-VIMP complex (\textit{ND1}p97\textsuperscript{A232E} VIMPx), revealing detailed interactions of the two molecules with the following features: (1) binding to p97 is exclusively mediated via helix H2 of VIMP, which is consistent with the experimentally determined minimal fragment of VIMP required for binding. (2) On the p97 side, the VIMP-binding surface covers a much larger area of the N domain, when compared with previously reported \textit{N}p97-peptide complexes (isolated N domain of p97 in complex with the VIM of ubiquitin ligase gp78 [36] or with the VCP-binding motif (VBM) of rhomboid protease RHBDL4 [28]. Although in all three structures, a single helix is found to interact with the N domain, each binds to a different surface on the N domain, interacting with a different set of residues (Figure 4d). Although the gp78 and RHBDL4 peptides efficiently transverse the surface between the two subdomains of the p97 N domain [36], the binding of the VIMP helix is tilted, spanning a much larger area of the subdomain interface, resulting in interactions with a different set of residues. (3) Binding between the two molecules is mediated by charged, H-bonding and hydrophobic interactions with a buried surface area of 759 Å\textsuperscript{2}. (4) The orientation of VIMPx bound to p97 agrees with its topological position in the ER membrane, as the transmembrane helix immediately before the N-terminus of VIMPx would be in the open space above the hexameric p97 ring, where the lipid bilayer of the ER membrane would be located (Figure 4b).

To ascertain the actual binding surface of VIMP, we substituted alanine for individual residues that interface with p97 and determined the effect on binding in the presence of AMP-PNP using the GST pull-down assay (Figure 4e). Mutations of residues (R78A, L82A, R86A, M89A, L93A and V97A in Figure 4c and Supplementary Figure S1d) that directly face p97, including the two residues within the P-VIM (R87A and K88A), showed significantly weakened binding with \textit{F1}p97. Mutation of the large residue K88 that is to one side of the binding interface also showed reduced binding, suggesting contributions of this residue to the binding of p97. By contrast, residues such as K77 and Q79 that have no interactions with p97 in the complex structure displayed no effect on binding in our assay when mutated to alanine. Thus, the structure of the complex maps out a strip on the surface of the N domain for interaction with VIMP (Figure 4d and Supplementary Figure S1c) and most of the contact surface is provided by the N-terminal double β-barrel subdomain with small contribution from the C-terminal β-barrel subdomain, making contacts with the N-terminal part of VIMP.

**Figure 4** Crystal structures of VIMPx and its complex with p97. (a) Superposition of the structures of VIMPx alone (blue) and chain D of \textit{ND1}p97\textsuperscript{L198W} VIMPx (cyan) with PDB: 2Q2F (gray) based on residues 74 – 115 in helix H2. The putative VCP-binding motif (P-VIM, residues 78 – 88) and the minimal binding motif (residues 69–108) identified in the present study are labeled. The elbow angles between helices H1 and H2 were determined to be 132.9°, 142.2° and 142.7° for VIMPx alone, PDB:2Q2F, and chain D of \textit{ND1}p97\textsuperscript{L198W} VIMPx, respectively. The chain D of the \textit{ND1}p97\textsuperscript{L198W} VIMPx complex appears to have a helix unwinding near the elbow. (b) Cartoon representation of the hexameric structure of the \textit{ND1}p97\textsuperscript{L198W} VIMPx complex. With AMP-PNP bound at the D1 domain, the N domain of \textit{ND1}p97\textsuperscript{L198W} is in the Up-conformation. The side and top views of the hexameric complex are shown in the upper and lower panels, respectively. The N domain is colored magenta, D1 domain blue and VIMPx cyan. (c) Stereoscopic pair showing details of the VIMPx binding environment. VIMPx binds to the surface located between the two subdomains of the N domain of p97. The N domain and VIMPx are represented by magenta and cyan ribbons, respectively. Residues contributing to the binding interface are shown in stick models and labeled. (d) Comparison of the interactions of p97 N domain with helical VCP-interacting motifs (VIMs) from various adaptor proteins. The N domains were superposed and are shown as a surface representation in light brown and gray for the double ψ-barrel and β-barrel subdomains, respectively. The VIM peptide of gp78 (PDB:3TIW) [36] and VBM (VCP-binding motif) peptide of rhomboid protease RHBDL4 peptide (PDB:5EPP) [28] are shown as pink and yellow short helices fitting into the groove between the two subdomains of the N domain, whereas the VIMPx in the structure of \textit{ND1}p97\textsuperscript{L198W} VIMPx (cyan) is depicted as a long helix deviating significantly in its binding orientation from those of the other binding partners. (e) Pull-down assay showing the effect of mutations to the binding interaction between \textit{F1}p97\textsuperscript{L198W} and GST-tagged VIM-P-N10 variants. The assay was performed in the presence of 2 μM AMP-PNP.
Using the present structures of the $^{ND1}{p97^{L198W}}$-VIMP complex bound with AMP-PNP and of $^{FL}{p97^{wt}}$ (PDB:3CF3) [43] bound with ADP, we modeled $^{FL}{p97}$ and $^{ND1}{p97}$ in two conformations. In one conformation with AMP-PNP in the D1 domain, the N domain adopts an Up-conformation with its VIMP-binding surface facing outward (Figure 5a and b), allowing VIMP to bind to the periphery of the p97 hexameric ring with the transmembrane region positioned above the N-D1 ring. In this configuration, the bound VIMP does not cause steric clashes with the p97 hexamer, in either $^{FL}{p97}$ or $^{ND1}{p97}$. By contrast, in the second conformation with bound ADP in the D1 domain, the N domain is in the Down-conformation, resulting in the VIMP-binding surface facing downward and placing helix H2 of VIMPx below the D1 ring. Although no steric clashes were found between VIMP and $^{ND1}{p97}$ (Figure 5c), the helix H2 of VIMPx, tucked under the D1 ring, has serious steric clashes with the D2-ring of $^{FL}{p97}$ (Figure 5d). This model predicts that the nucleotide-dependent regulation of VIMPx–p97 interaction should be dependent on the presence of the D2 domain. Indeed, when N-D1 fragments of $^{ND1}{p97^{wt}}$ or $^{ND1}{p97^{mt}}$ were used in the pull-down assay, all $^{ND1}{p97}$ variants bound to VIMPx similarly, regardless of the type of nucleotide present (Figure 2b and c). This conclusion is further supported by isothermal titration calorimetry experiments, showing similar $K_d$ values for binding full-length, N-D1 fragment, or N domain alone of wild-type p97 with VIMPx (Supplementary Table S2).

**Discussion**

Multiple intermediate positions adopted by N domains suggest a weakened interaction between N and D1 domains in p97 pathogenic mutants

Why do p97 pathological mutants lose nucleotide-dependent regulation of VIMP binding? Visual inspection suggested that the two subunits of the $^{ND1}{p97^{L198W}}$-VIMPx complex in the asymmetric unit have different N domain conformations. Indeed, pairwise superposition of the D1 domains from all three AMP-PNP-bound N-D1 structures leads to significant positional and rotational deviations of the N domains (Supplementary Table S1 and Figure 6a and b). To
quantify the movement of the N domain in response to different nucleotide states of the D1 domain, we constructed a triangle linking a fixed point A (G207) in the D1 domain to the center of mass of the N domain in the Down-conformation (point B, PDB:1E32) and to the center of masses of the N domains in various Up-conformations (point C, Figure 6c), which allowed us to compute the swing angle ($\alpha$) between lines AC and AB and the distance of movement (T) of the N domain in reference to the Down-conformation defined by PDB:1E32 (Table 2). Among the three nucleotide states, the movement of the N domain was the greatest in the presence of ATP$\gamma$S, with a mean $\alpha = 21.5^\circ$, $T = 12.2$ Å from the Down-conformation, whereas in the presence of AMP-PNP, the N domain adopted various intermediate conformations, with a wide range of distances of movement T (6.4 Å, 11.3 Å and 9.6 Å).

As AMP-PNP and ATP$\gamma$S are both ATP analogs, we expected to see the N domains adopt the Up-conformation in the presence of AMP-PNP, as shown in the ATP$\gamma$S-bound structure. The fact that the N domains in these AMP-PNP-bound structures of $^{\text{ND1}}$p97$^{\text{mt-VIMP}}$ complexes take three different positions or conformations was a surprise (Figure 6a and c). Given the pull-down results showing that the interactions between VIMP and $^{\text{FL}}$p97$^{\text{mt}}$ are significantly reduced in the presence of ADP compared with AMP-PNP (Figure 2a and c) and those between VIMP and $^{\text{FL}}$p97$^{\text{wt}}$ under the same conditions remain unchanged, we concluded that the interactions between the D1 and N domains are weakened in mutant p97. This conclusion is consistent with the observation that all MSP1 or IBMPFD mutations are located at the interface between the N and D1 domains.

### Role of D1 domains in modulation of p97 association with the membrane

In this work, we have presented the structures of $^{\text{ND1}}$p97$^{\text{mt-VIMPx}}$ complexes in the presence of AMP-PNP, in which the N-D1 fragment used is the so-called

### Figure 6 Trajectory of N domain movement revealed by $^{\text{ND1}}$p97 structures bound with different nucleotides.

(a) Superposition of $^{\text{ND1}}$p97 structures bound with AMP-PNP and ADP. The three independently determined AMP-PNP-bound p97 structures solved in the present study were superposed with the ADP-bound structure (PDB:1E32 [55]) based on the D1 domain. Chain A (magenta) and chain B (cyan) of $^{\text{ND1}}$p97$^{\text{L198W-VIMPx}}$, $^{\text{ND1}}$p97$^{\text{R155H}}$ (PDB:4KO8 [44]) in red, $^{\text{ND1}}$p97$^{\text{R86A}}$ (PDB:3HU2 [13]) in green and $^{\text{ND1}}$p97$^{\text{R95G}}$ (PDB:3HU1 [13]) in gold, and shown as cartoon diagrams. (b) Superposition of $^{\text{ND1}}$p97 structures with bound ATP$\gamma$S and AMP-PNP. Based on the D1 domain, chain B of $^{\text{ND1}}$p97$^{\text{R155H-VIMPx}}$ (cyan) was superposed with three ATP$\gamma$S-bound structures, $^{\text{ND1}}$p97$^{\text{R155H}}$ (PDB:4KO8 [44]) in red, $^{\text{ND1}}$p97$^{\text{R86A}}$ (PDB:3HU2 [13]) in green and $^{\text{ND1}}$p97$^{\text{R95G}}$ (PDB:3HU1 [13]) in gold, and shown as cartoon diagrams. (c) A schematic diagram showing the center of mass (COM) positions of the N domains corresponding to different nucleotide states of the D1 domain. All structures were superposed based on the D1 domain (residues 210–370), and the COMs calculated for the N domains (residues 30–180) in different states are shown as spheres in different colors. Points A and B of the triangle represent two anchor points: A for the Cα atom of G207 (black square) and B for the COM of the N domain in the Down-conformation represented by PDB:1E32. Points C are COMs of N domains corresponding to different nucleotide states of the D1 domain. The distance of movement (T) is a measure of the length from Point B to various Points C; and the angle ($\alpha$) of the N domain movement has a unit in degrees.
short form containing 460 residues (1–460). This is in contrast to the longer form containing 480 residues (1–480) that we previously used [13, 42, 44]. Although there are reported differences between the short and long forms of N-D1 fragments in terms of ATPase activities and asymmetry in subunit organization [42, 45], both forms can form complexes with VIMPx. Crystals of VIMPx in complex with the longer version of the N-D1 fragment were also obtained and diffracted X-rays to better than 2.8 Å resolution (Supplementary Table S3). Thus, there is no apparent difference between the short and long forms of the N-D1 fragment with respect to its nucleotide sensitivity in VIMP binding.

We noticed that membrane association or VIMP binding was not completely abolished by FLp97wt in the presence of ADP, using either ER microsomes or isolated VIMPx, (Figures 1b, c and 2a). In either case, a significant portion of FLp97wt remains attached to the membrane or bound to VIMPx. Thus, the hexameric FLp97wt is capable of interacting with VIMPx even under the ADP conditions, albeit at a low level.

In an earlier study, we proposed that the D1 domain of an FLp97wt subunit has four nucleotide states: an ATP state, ADP-locked state, ADP-open state and empty state [13]. The presence of an ADP-locked state is supported by the prebound or occluded ADP that was co-puriﬁed with the protein, diﬃcult to remove, and exclusively associated with the D1 domains [13, 41, 44]. We also proposed that the ADP-locked state has to be converted to an ADP-open state before nucleotide exchange. The hallmark of ADP-open state is its weakened interactions between the N and D1 domains. The presence of the ADP-open state is supported by the observations that in MSP1 or IBMPFD mutants the prebound ADP can be replaced by ATP [44].

For both isolated FLp97wt and NDp97wt, the amount of co-puriﬁed or occluded ADP in the D1 domains is approximately 3–4 molecules per hexamer [41, 44]. These subunits are in the ADP-locked state and not available for VIMP binding by FLp97wt. In the presence of ADP, the empty sites are occupied by ADP and are in the ADP-open state, which permits VIMP binding and is likely the reason for the observation of VIMP binding in the presence of ADP (Figures 1 and 2). This strongly supports our hypothesis of the existence of a balance or equilibrium between the ADP-locked and ADP-open states for wild-type p97 [13]. This balance is tipped in the IBMPFD mutants in favor of the ADP-open state, which explains the loss of nucleotide-sensitive binding to VIMP or microsomal membranes for the three mutants. Mechanistically, the

| PDB code | Chain | Bound nucleotide | VIMP binding | AMP-PNP | ADP | ATPγS |
|----------|-------|-----------------|--------------|--------|-----|------|
| 5KIW     | A     | Yes             | No           | No     | No  |      |
| 5K1W     | B     |                 |              |        | No  |      |
| 5K1Y     | A     |                 |              |        | No  |      |
| 1G2Y     | A     |                 |              |        | No  |      |
| 4KD1     | A     |                 |              |        | No  |      |
| 4Y1D     | A     |                 |              |        | No  |      |
| 3HUI     | A     |                 |              |        | No  |      |
| 3H2U     | A     |                 |              |        | No  |      |
| 4K08     | A     |                 |              |        | No  |      |
| 4K0N     | A     |                 |              |        | No  |      |

Table 2 Rotation (°) and translational (Å) movements measured for the N domain in AMP-PNP-bound and in ATPγS-bound forms in the N-D1 fragment of p97 in relation to the ADP-bound form of the N domain.

*aAll structures were aligned with Cα atoms of p97 D1 domain (PDB:1E32, residues 210–370). Residue G207 was identiﬁed as the reference point (Point A ﬁxed in all alignments in Figure 5c) for subsequent calculations. The center of mass (COM) of the N domain (residues 30–180) in each structure was calculated. The COM in 1E32 was set as Point B to represent the Down-conformation, and the COMs in other structures were set as Points C. The translational movement of the N domain was quantiﬁed by measuring the distance from Point B to Point C, and the angular movement (α) is deﬁned as the angle between lines AB and AC.
tightly controlled ADP-locked state allows for more precise control over the movement of the N domain.

**Implications concerning ERAD and defects in ERAD because of MSP1 mutations**

Our present work demonstrates, both with isolated proteins and with canine pancreas ER microsomes, that VIMP is a major adaptor that recruits p97 to the ER membrane under certain conditions (Figure 1a), although we cannot exclude other ER membrane receptors such as gp78, Hrd1, Derlins and UbxD8 having a similar or redundant role. The regulation of p97 recruitment to the ER membrane is likely affected by the relative abundance, affinity, and conformational dynamics of these adaptors in a tissue-specific manner. In fact, reducing the level of VIMP by CRISPR in HEK293 cells does not significantly affect p97 association with the ER membrane (data not shown). Nevertheless, our experiments suggest that in addition to the expression level of adaptors, the amount of ER-associated p97 could also be modulated by the ATP hydrolysis cycle of p97. The role of VIMP in recruiting p97 may have evolved for two reasons: it allows a timely and specific response to ER stress, as VIMP is a constituent of the ER. Second, the nucleotide-modulated attachment and detachment of p97 from VIMP and thus the ER permits a reversible mechanism to engage p97 function. This model may be applicable to other p97-dependent processes such as mitochondrial-associated degradation [46]. Clearly, performing these site-specific functions requires site-specific localization of p97, which can be achieved via site-specific adaptors. Consistent with this view, a comparison of the structures of N-D1 p97-VIMPx complex with p97-VBM or p97-VIM illustrates convincingly that similar helical VIMs in VIMP, RHBDL4 and gp78 can interact with different surfaces of the N domain.

Our study raises the question of whether the observed nucleotide-dependent interaction of p97 with VIMP can serve as a general paradigm for p97– adaptor interaction. Support for this model has been found with respect to other p97 adaptor proteins such as p47 and p37, which not only show nucleotide-dependent binding affinity changes but also display altered ATPase activity of p97 upon interaction [47–49]. Recently, it was also shown that the binding of the ERAD-specific cofactors Ufd1/Npl4 (UN) is able to influence the intrinsic ATPase activity of p97 [11, 12]. The binding of VIMP, on the other hand, does not appear to have an influence on the ability to p97 to hydrolyze ATP (Supplementary Figure S2). We also tested the nucleotide dependency of p97 binding with SVIP (via VIM motif), but did not observe any discernable difference in binding in the presence of different nucleotides using a pull-down assay. Thus, the phenomenon of nucleotide-dependent interaction only applies to a certain subset of p97 adaptors/cofactors.

Our new data are also consistent with the role of the D1 domain in regulating p97 function. Such regulation includes but is not limited to substrate release [11] and site-specific interactions (VIMP). Conceivably, the regulatory role of the D1 domain does not require heavy-duty ATP hydrolysis but demands precise control and timing. One way to accomplish this task is to include an additional nucleotide state such as the ADP-locked state, allowing more precise control of N domain conformation and imposing asymmetry. One consequence of failure to properly regulate the D1 domain function of p97 is MSP. MSP mutant p97 has a reduced ADP-binding affinity, a lower amount of prebound ADP and un-coordinated movement of the N domain [13, 44]. In the present study, we showed that full-length MSP mutants lose the ability to modulate their interaction with VIMP by ATP, further supporting the hypothesis of weakened control of the N domain conformation by the D1 domain in these mutants. As a result, pathogenic p97 mutants can constitutively interact with VIMP during the ATP hydrolysis cycle and conceivably fail to coordinate with the conformational changes generated by the D2 domains, leading to ERAD substrate accumulation and age-dependent tissue damage as a result of cellular stress [9, 10].

**Materials and Methods**

**Plasmid construction, protein expression and purification of p97 and VIMP variants**

Expression and purification of full-length (residues 1–806), N-D1 short (residues 1–460) and N-D1 long (residues 1–480) p97 fragments were done as described previously [13, 42, 44]. Construction of the GST-VIMPc fusion plasmid (pET42-GST-VIMPc) was previously reported [34]. Variants of GST-VIMP constructs were generated by introducing a stop codon at different positions using a QuickChange site-directed mutagenesis kit (Agilent Technology, Santa Cruz, CA, USA). VIMPx (pET42-His-VIMPx) was constructed by introducing a stop codon after residue M122, and replacing the GST-tag with a hexahistidine-tag on the pET42-GST-VIMPc.

The pET42-His-VIMPx plasmid was transformed into *Escherichia coli* BL21(DE3) for expression. Cells were grown at 37°C until OD600 reached ~1.0. A final 1 mM isopropyl B-D-1-thiogalactopyranoside (IPTG) was then added to induce VIMPx expression at 25°C for 20 h. Cells were harvested, resuspended in Buffer A (25 mM Tris, pH 7.5, 0.3 M NaCl, 10% glycerol) supplemented with 50 mM imidazole and a protease-
inhibitor cocktail (Sigma-Aldrich, St Louis, MO, USA), and disrupted by sonication. Cell debris was removed by centrifugation at 15,000 × g at 4°C for 30 min. The supernatant was incubated with Ni-NTA resin (Qiagen, Valencia, CA, USA) pre-equilibrated with Buffer A supplemented with 50 mM imidazole for 1 h at 4°C with shaking. The resin was washed with Buffer A supplemented with 100 mM imidazole and VIMPx was eluted with Buffer A supplemented with 250 mM imidazole. Fractions with VIMPx were pooled and concentrated using an Amicon Ultra-4 centrifugal filter unit (Millipore, Billerica, MA, USA). The concentrated sample was further purified by running it through a Superdex 75 size exclusion chromatographic column with a buffer containing 25 mM Tris, pH 8.0, 200 mM NaCl. The fractions were pooled, concentrated and dialyzed against 25 mM Tris, pH 8.0, 150 mM NaCl.

The selenomethionine derivative VIMPx (SeMetVIMPx) was obtained by growing cells in M9 minimal media. Amino acids including selenomethionine were added when OD₆₀₀ reached ~1. A final 1 mm isopropyl B-D-1-thiogalactopyranoside (IPTG) was added to the culture media for induction of expression 15 min after the addition of amino acids, which were allowed to grow at 25°C for a further 20 h. Cells were harvested, resuspended in Buffer B (25 mM Tris, pH 7.5, 0.3 M NaCl, 2 mM EDTA, 2 mM DTT, 10% glycerol) supplemented with 50 mM imidazole and a protease-inhibitor cocktail (Sigma-Aldrich), and disrupted by sonication. Purification procedures similar to those for the native protein were used, except that 2 mM DTT was added during all purification steps and cOmplete His-Tag Purification Resin (Roche, Indianapolis, IN, USA) was used.

**Crystallization and X-ray diffraction data collection**

All crystals were grown using the sitting-drop vapor diffusion method at 16°C. Crystallization of VIMPx was set up by mixing a protein solution of 14 mg/ml with a well solution containing 0.1 M 2-(N-morpholino)ethanesulfonic acid (MES), pH 6.0, 20% 2-propanol, 20% polyethylene glycol monomethyl ether (PEG MME) 2000 in a 1:1 ratio. Needle crystals were cryoprotected with the well solution, with glycerol concentration increased to 25% stepwise and flash-cooled in liquid propane.

For crystals of the p97-VIMPx complex, 25 μl N₂D₁ p97 protein solution of 7 mg/ml was mixed with MgCl₂ and AMP-PNP to a final concentration of 40 and 4 mM, respectively, and incubated on ice for 30 min. Then, 4 μl of VIMPx (11 mg/ml) was added and incubated at room temperature with mixing for 30 min and then spun down at 18,000 × g for 30 min at 16°C. The supernatant was allowed to set up crystallization. Crystals of N₂D₁ p97 from the N₂D₁ VIMPx and N₂D₁ p97/SeMetVIMPx were grown by mixing the admixture prepared above with well solution containing 1 M Tris, pH 8, 15% ethanol, 100 mM NaCl, 7% 2-methyl-2,4-pentanediol (MPD) in a 1:1 ratio. Crystals of N₂D₁ p97/SeMetVIMPx and N₂D₁ p97/SeMetVIMPx were grown by mixing the admixture with well solution containing 0.1 M Tris, pH 8, 6–7% ethanol, 100 mM NaCl, 30–40% MPD in a 1:1 ratio. The crystals were cryoprotected with the well solution supplemented with 15% PEG400 and flash-cooled in liquid propane.

X-ray diffraction experiments were carried out at 100 K at the SER-CAT beamline of the Advanced Proton Source (APS) at Argonne National Laboratory, Argonne, IL, USA. Diffraction images were recorded with MarCCD detectors (Rayonix, Evanston, IL, USA), and processed and scaled with the HKL2000 package (HKL research, Charlottesville, VA, USA) [50].

**Structure determination**

The crystal structures of VIMPx and the p97-VIMPx complex were determined by molecular replacement with the program Phaser [51] using PDB:2Q2F and PDB:4KO8 [44] as search models, respectively. The following crystal structures were determined: (1) the N₂D₁ p97/SeMetVIMPx complex was crystallized with the symmetry of the P622 space group, having one VIMPx molecule and one p97 subunit per asymmetric unit.

(2) Crystals of N₂D₁ p97/SeMetVIMPx, grown in the presence of AMP-PNP, has the same space group as crystals of the N₂D₁ p97/SeMetVIMPx complex. A data set was obtained to 3.75 Å resolution. (3) The crystals obtained for the p97 mutant L198W (N₂D₁ p97(L198W)) in complex with VIMPx diffracted X-ray to a lower resolution of 3.41 Å. This crystal also has the space group symmetry of P622 but has a larger unit cell. All structures were refined using Refmac [52] in the CCP4 program package [53]. All structural models were manually built using the program COOT [54].

**VIMP depletion, p97 membrane binding and pull-down assays**

Dog pancreas microsomes [34] were solubilized in a buffer containing 1% deoxyBigCHAP followed by centrifugation to remove insoluble materials. The supernatant fractions were repeatedly incubated with Protein A beads containing anti-VIMP antibodies three times. The resulting unbound fractions were analyzed by immunoblotting (Figure 1a).

We mixed 2 μg p97 with 15 μl ER microsomes in a buffer 30 μl in volume and kept the reaction on ice for 20 min. The reaction mixture was then layered on top of a buffer (50 mM HEPES pH 7.3, 150 mM potassium acetate and 10 mM magnesium acetate) containing 40% sucrose. The samples were centrifuged at 100,000 × g for 20 min and the membrane pellets were washed and then solubilized in sample buffer for analysis.

Variants of GST-VIMP were first incubated with glutathione resin (GenScript, Piscataway, NJ, USA) in a binding buffer (20 mM Tris, pH 8.0, 150 mM NaCl, 3 mM MgCl₂, 10% glycerol, 0.1% Triton X-100) for 1 h at 4°C. The beads were recovered, washed three times with binding buffer and then incubated with p97 proteins (full-length or N-1D1 (residues 1–480)) in the binding buffer supplemented with 2 mM nucleotide for 2 h at 4°C. The beads were then washed three times with binding buffer containing 2 mM nucleotide. Proteins that were retained on beads were released with sodium dodecyl sulfate–polyacrylamide gel electrophoresis sample buffer and analyzed by sodium dodecyl sulfate–polyacrylamide gel electrophoresis.

**ATPase activity assay**

The ATPase activity of Fl-p97 was determined as previously described [42]. Various concentrations of His-VIMPx were mixed with p97 before the substrate ATP was added to initiate the hydrolysis reaction.

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Determination of VIMPx binding affinity to p97 by isothermal titration calorimetry

Isothermal titration calorimetry experiments were performed using an iTC200 calorimeter (Malvern Instruments, Malvern, UK). All protein samples were dialyzed against assay buffer (50 mM Tris, pH 8.0, 150 mM NaCl, 2 mM MgCl2, 5% glycerol) overnight. Samples were passed through a 0.22 μm spin filter, and final protein concentration was then determined by optical absorption at A280 using the extinction coefficients 7450, 21430, 35870 and 5500 M⁻¹cm⁻¹ for p97, ND1p97 (residue 1–480), p97 and VIMPx, respectively. All titrations were performed in the assay buffer at 25°C. A final 2 mM of ADP or AMP-PNP was added to both p97 and VIMPx before the titration experiments. A heat exchange background because of dilution was determined by titrating VIMPx to buffer alone and subsequently subtracted from each experimental thermogram. Data were fit using a one-site model using Origin7 software (OriginLab, Northampton, MA, USA). The average binding parameters were obtained from three independent experiments.

Accession codes

Atomic coordinates and structure factors have been deposited in the Protein Data Bank, under accession codes 5KIU (VIMPx), 5KIW (ND1p97198W-VIMPx) and 5KIY (ND1p97A232E-VIMPx).

Conflict of Interest

The authors declare no conflict of interest.

Acknowledgements

We thank staffs from SER-CAT and GM/CA beamlines, Advanced Photon Source, ANL for help with X-ray diffraction data collection. We also like to thank George Leiman for editorial assistance. This research was supported by the Intramural Research Program of the NIH, National Cancer Institute, Center for Cancer Research.

Author contributions

WK, TZ, YY and DX performed the experiments and analyzed the data. WK, YY and DX wrote the manuscript.

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