Primary Care Providers’ Perceptions and Experiences of Family-Centered Care for Older Adults: A Qualitative Study of Community-Based Diabetes Management in China

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Research Article

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Abstract

Background: Family-centered care, as a contemporary model of health service delivery, involves a mutually beneficial partnership between healthcare providers, patients and their families. Although evidence on the positive effects of family-centered care on older adults and their families is accumulating, less is known about the providers’ beliefs, attitudes and practices related to family-centeredness, especially regarding community-based primary healthcare services for the rapidly-ageing Chinese population.

Methods: This study investigated Chinese primary care providers’ perceptions and experiences of family-centered care for older adults, using community-based diabetes management services as an example. Ten focus-group interviews involving 48 community health professionals were conducted. Major themes were identified using thematic analysis.

Results: The interviews revealed that the providers acknowledged the importance of the family in older patients’ diabetes management, while their current scope of practice with the patients’ families was limited and informal. The barriers to implementing family-centered care were attributed to structural and environmental obstacles associated with the patients’ families and the community healthcare context and culture. To engage patients’ families more effectively, the providers suggested that family-centered values endorsed by their healthcare organizations and reinforced by policies, a trained interdisciplinary team of health professionals and community social workers, and also that the utilization of technology would be beneficial.

Conclusions: Our study extends the evidence of family-centered care for older adults in Chinese community-based healthcare settings, contributing to the design of a tailored healthcare delivery model embodying ageing in place.

Introduction

With a rapidly ageing population and large proportion of older adults with chronic diseases and disabilities, the healthcare system in China has shifted its main objective from pure disease treatment to prevention and management at the population level [1]. The National Basic Public Health Service Program, established in 2009, targets the major chronic diseases (e.g. hypertension and diabetes), and particularly focuses on the health management of community-dwelling older adults [2]. Moreover, residents are able to register with a family doctor team, who can provide them with integrated preventive and primary care, plus continued referral services [3].

This community-based disease prevention and management approach offers the advantages of wide coverage and easy accessibility for patients and their families [4]. Family involvement in medical care occurs frequently, and has long been identified as a critical factor for health management [5]. Family members’ behavior concordance and daily support can compensate for the limited doctor-patient interactions, which are largely confined to a brief consultation time and care facilities [6]. The idea of
actively engaging family in care conveys the vision of mutually beneficial partnerships between healthcare providers, patients and their families [7], and the family-centered care model has been promoted as a contemporary model of health service delivery over the last few decades [8].

While evidence of the positive effects of family-centered care on older adults and their families is increasing [9, 10], less is known about the providers’ beliefs, attitudes and current practices associated with family involvement in care delivery [11]. Some research, based in hospital or nursing home settings, suggests that providers generally agree about the benefits of involving patients’ families in planning and implementing care [12]. Contradictory to this belief, they tend to express negative attitudes about working effectively with families due to negotiation failures, power struggles, as well as a lack of time and incentives to educate patients’ families [13]. Providers question whether their scope of practice should include patients’ family members, considering the heavily burdened, under-staffed and task-oriented healthcare context [13, 14].

The discrepancies between the vision of family-centered care and its adoption by providers require further investigation, especially in the context of Chinese community-based primary healthcare. Research indicates that the traditional Chinese Confucian morals may cultivate harmonious doctor-family-patient relationships, whereby the family’s involvement in healthcare would happen naturally and be well-accepted by the providers [15]. It is also suspected that the community- and home-oriented practice environment of the community healthcare centers would better accommodate family-centeredness [16] compared with institutional healthcare settings [14, 17]. Therefore, the present study addressed these gaps in knowledge by investigating the Chinese primary care providers’ perceptions and experiences of family-centered care for older adults, as well as the barriers and facilitators that influence their partnerships with patients’ families, using the community-based diabetes management service as an example.

**Methods**

**Setting and participants**

We employed a qualitative analysis of group interviews with primary care providers. Focus group interviews were carried out from March 2019 to July 2020 in Guangzhou, Guangdong, China. As the capital city of Guangdong, Guangzhou has a huge population base and 18% of which were aged 60 years and above, reaching 1.69 million by the end of 2018 [18].

The interviewees were recruited from 26 community healthcare centers using a purposive sampling approach. These centers included both urban and rural areas, scattered across the 11 districts of Guangzhou. The participants were purposively selected to include all three roles public health practitioners, clinicians and nurses, involving in community healthcare services provision. For the purpose of this study, we specially recruited those involved in diabetes treatment and management.

**Data collection**
In total, ten focus-group interviews involving 48 health professionals were conducted. The interviews, lasted approximately ninety minutes each, were carried out at either the interviewees’ respective health facilities or the Center for Disease Control and Prevention of Guangzhou when community health professionals from different areas gathered together for meetings. Each interview consisted of 4-6 health professionals, and was interviewed by two researchers, including one moderator and one observer. The moderator was responsible for inquiry and situation control, while the observer took eld notes to capture the contextual information during the interviews. To obtain a broad range of information, interviews were semi-structured, and investigated health professionals’ daily work and practices related to diabetes management, the difficulties they encountered, and their attitudes and practices regarding family-centered care (see Appendix 1 for the interview guide). Saturation was achieved after ten focus groups, indicating that the information provided by the health professionals began to be repetitive and no new themes were emerging.

**Ethical considerations**

The study was approved by the Sun Yat-sen University Institutional Review Board (Approval no. 2019-064). At the time of conducting the interview, the method and aim of the qualitative study were explained to participants. All the participants provided written informed consent, and their statements were analyzed anonymously. All methods were carried out in accordance with relevant guidelines and regulations.

**Data analysis**

All of the group interviews were audio-recorded and transcribed verbatim in Chinese. The transcripts were coded with Nvivo 11 using inductive thematic analysis [19]. The data analysis aimed to provide a rich thematic description of the entire dataset, to explore and interpret the experiences of community health professionals related to managing diabetes with/without support from patients’ families. Informed by the research questions, we focused particularly on identifying the health professionals’ views and practices regarding family-centered care. Two researchers analyzed the data, then compared and discussed the themes and subthemes to reach agreement. The findings were then organized by topic, and verbatim quotations were chosen and translated into English to provide support for each theme.

**Findings**

**Interviewer characteristics**

As shown in Table 1, 67% of our interviewees were female, aged between 30-39 years. Nearly half of the interviewees were clinicians, over one third were nurses, and the rest were public health practitioners. Having practiced in the community for an average of 11.6 years (SD 8.4), our interviewees’ rich experiences greatly contributed to our study.

**Table 1. Characteristics of the primary care providers interviewed (n = 48)**
### Characteristics

| Characteristics | N (%) |
|-----------------|-------|
| **Age, year**   |       |
| 20 – 29         | 7 (14.9) |
| 30 – 39         | 25 (52.1) |
| 40 – 49         | 14 (29.0) |
| 50 – 60         | 2 (4.0) |
| **Gender**      |       |
| Male            | 12 (33.3) |
| Female          | 36 (66.7) |
| **Profession**  |       |
| Clinician       | 20 (41.7) |
| Nurse           | 19 (39.6) |
| Public health practitioner | 9 (18.7) |
| **Years in practice** | mean year (SD†) | 11.6 (8.4) |
| **Community type** |       |
| Rural           | 16 (33.3) |
| Urban           | 32 (66.7) |

†SD: standard deviation

**Attitudes to and experiences of family-centered diabetes care**

**Acknowledging the importance of family involvement**

Nearly all of the interviewees acknowledged the importance of family involvement. They recognized that family arrangements fundamentally impacted patients’ management behaviors, and the family’s coordination was essential for successful diabetes management, particularly for older males who relied on their wife for their daily management (e.g. meal preparation) and became forgetful with age.

Family monitoring is effective (for older adults), say, males like me do not know much about cooking. Many elderly (males) do not cook at all. No matter how much you educate him, he still relies on his wife (for meal preparation), so coordinating the whole family to change will be better. (Interviewee18, clinician, male)

and
Some older adults are stubborn and forgetful. If family members are around to help and monitor, it’d be much better. (Interviewee8, clinician, female)

The providers further noted that, for health professionals, the patients’ family members may greatly shift the diabetes management work and sustain the health education efforts beyond the clinical settings, if a shared understanding can be achieved. On the other hand, for the family members, the providers’ professional knowledge could help them to supervise older adults with diabetes to make behavior changes.

The family member is familiar with the patient's living environment, can interact with the patient anytime, and is familiar with the patient but we (health professionals) can hardly go into the family. We can only update his/her (the patient’s) status when he/she comes (for an outpatient visit), or make a telephone call to intervene; we can only do these things. Family involvement is indeed good. (Interviewee20, head nurse, female)

and

Sometimes a couple came to visit, and I could feel that one partner did not follow the health guide, so the other (the wife) brought the husband to the doctor. 'Listen, the doctor said that!' she'd say. I knew he did not listen to the wife but, if the doctor suggested, he'd listen, so the two came together. The wife persuaded the husband through our doctor’s mouth. (Interviewee30, clinician, female)

**Limited scope of practice with the family**

In most cases, it is one family member who helps the other to take medicine. Probably the patient has a mobility issue or has to work. We may also ask (the family member) a little about the patient’s blood sugar or blood pressure levels. (Interviewee31, clinician, female)

The idea (to involve the family) has been there for some time as, when we promoted the family doctor program, it included the idea of family-centeredness. However, it has been implemented slowly. At the beginning, we planned to get a whole family to register with one (community) doctor. However, it ended up with individual patients registering with individual doctors. (Interviewee23, public health practitioner, female)

**Barriers to involving the family in diabetes care**

The providers perceived several barriers as affecting their practice of family-centered care, as listed in the upper panel of Table 2 and detailed below.

**Table 2. Factors influencing Chinese primary care providers’ practice of family-centered care**
| Barrier                                      | Explanation                                      |
|---------------------------------------------|--------------------------------------------------|
| **Community healthcare context**            | Shortage of staff                                |
|                                             | Heavy workload                                   |
| **Institutional culture**                   | A focus on disease treatment and control         |
|                                             | Task-performance oriented                        |
| **Family structure and arrangement**        | Patient’s family dynamics                        |
|                                             | Small family living far-apart                    |
|                                             | Weakened family connections                      |
| **Facilitator**                             | **Explanation**                                  |
| **Institutional endorsement**               | Clear guidance and support                       |
|                                             | Reinforcement of family-centered policies        |
|                                             | Trained interdisciplinary teams                   |
| **Community partner collaboration**         | Collaboration with social service organizations  |
|                                             | Promotions by the government                     |
| **Technology utilization**                  | Flexible and timely communications with the patient family |
|                                             | Mobilizing intergenerational support             |

**Shortage of staff and heavy workload**

The shortage of staff and heavy workload in the community healthcare center made actively involving the patients’ families challenging. The providers mentioned that there were increasing numbers of adults with chronic conditions like diabetes in their catchment area, and many articulated a high imbalance in the provider-patient ratio, such as “There were over 1000 adults with diabetes (in my community), while we only have one (public health practitioner) in charge of (all) diabetes cases”. They complained about the difficulties of delivering the required diabetes management services with the limited personnel, and commented “the so-called four follow-up clinical visits (per year) is merely a formality”. Besides, they were overwhelmed with the other services of the National Basic Public Health Program, and were also the frontline response to public health emergencies.

During the COVID-19 pandemic, although the pandemic was not very serious here, we were on alert. Besides our regular services, we need to track each patient’s travelling path over the past 10 days, and conduct a door-to-door survey to check the residents’ health conditions. Recently, we took charge of a physical checkup for those travelling to China from abroad, and are about to carry out a physical checkup in primary school. (Interviewee23, nurse, female)
Family-centeredness is very good, but we could hardly go that far. It's best if we can convince the (patient's) family...but we don't have the energy to do that, and we're under constant pressure for various tasks. (In my community healthcare center), we don't even have a person fully in charge of diabetic education and management. (Interviewee34, clinician, female)

A disease- and task-performance-oriented healthcare culture

The providers’ practice behavior was directly related to the work culture of the community healthcare center. Their work performance was mainly evaluated based on figures like the percentage of diabetes cases under control over all registered residents with diabetes. They thus paid more attention to achieving quantity rather than improving quality, and were occupied with paperwork and administration duties. They felt uncertain that taking the initiative to involve the family in the practice would be appreciated by their colleagues, managers and the organization. Without clear support and incentives from the administration, they were also worried about creating negative consequences and work conflict.

Our evaluation for (diabetes) management is based on the rate of diabetes under control over all registered residents with diabetes. Sometimes, we have to find more (potential patients) to meet the quota. We devote all of our efforts to achieving the figure. (Interviewee34, clinician, female)

The leader required us to prepare a perfect file and record for evaluation. This happened twice a year, and each evaluation lasted for one to two months. If the evaluation result was poor, the (government) fund to our center would be cut. We had to devote more time to that (paper work), and so the amount of time left to spend with the patient and their family was reduced. If there had been fewer evaluation indices, we could have offered more practical services to the patients. (Interviewee33, nurse, female)

Family dynamics and changing structures

When an old woman has diabetes, it is ideal if her husband and daughter care for her and take part in the disease management but, often, the reality is that her husband doesn't pay much attention to it and her daughter is too busy to get involved. Besides, many families would not tell us (health professionals) much about their family issues. This involves their family relationships, which are difficult for us to intervene in. (Interviewee36, clinician, female)

Sometimes, the situation does not permit the younger generation to be involved. In our town, there are many hollow villages, with only older adults living there. Young people move to the city to work or study, and only return during vacations. How can you expect them to take care of their parents? (Interviewee35, clinician, male)

In our (urban) community, older adults often live independently of their (adult) children. Some are close to their children's houses while others are far apart but, in general, young people are busy with their own business, and rarely accompany their parents to our center. (Interviewee38, nurse, female)

Facilitators for family-centered care in community
In view of the barriers outlined above, our interviewees nonetheless provided constructive suggestions about how to facilitate the attempts to improve family-centered care in community healthcare centers (Table 2 lower panel).

**Endorsing a family-centered practicing environment**

Overloaded by routine primary care services, the providers stated that it would be beneficial to have clear guidance and support from the administrators and leaders of their institutions on how they might enhance the involvement of the family in patients’ care. They reiterated that incentives and resources were needed to overcome the current obstacles.

The community healthcare center leader’s support is essential, because family involvement programs for diabetic patients are not our routine work and do not count toward our performance-based assessment. Only with the leader’s support will we have the staff and resources to carry it out. (Interviewee7, nurse, female)

and

Many resources and a lot of energy are wasted on administrative work...If family-centeredness was included as one of the evaluation indices, replacing an existing useless one, probably we would have more motivation to carry it out. (Interviewee35, clinician, male)

The further reinforcement of family-centered policies, such as the family doctor program, were essential to bring about changes in their current practicing environment. Some suggested that reform on the providers’ side, such as the rearrangement of the healthcare team, would be helpful.

We now organize our healthcare providers into teams (as suggested in the family doctor program): a clinician, a public health practitioner and a nurse. They can then share the healthcare work: ideally, the clinician focuses on the medical consultation, the public health practitioner conducts the follow-up, while the nurse gathers both sets of information and spends more time communicating with the older adults and their families. (Interviewee23, nurse, female)

**Collaborating with community partners**

Moreover, the providers indicated that the coordination between community healthcare centers, neighborhood committees (or village committees in the rural areas) and social service organizations should be strengthened in order to facilitate family involvement. They recognized that these community partners had wider, closer connections with the residents and their families, and could ideally compensate for the health professionals’ limited skill set.

Community healthcare centers can only access those who seek medical care. The neighborhood committee and the family service center (a type of social work organization) can more easily access the residents and their families. However, our center collaborates very little with these community-based
organizations. The other two institutions will not do things that are currently not their responsibility. (Interviewee1, nurse, female)

and

Our target population and services overlap those of the neighborhood committee and the social service organization. They do not have medical expertise but we do, and they are more familiar with the local residents than us; hence we can help each other, but we do not collaborate with them much. A consensus has not been reached. (Interviewee42, nurse, female)

As acknowledged by the providers, their current chronic disease management services rarely involved community partners. However, this collaboration could work smoothly and successfully if it were promoted by the government, as in the case of combatting the COVID-19 pandemic.

During the COVID-19 outbreak, all community parties were mobilized and united: the neighborhood committee tracked and identified any positive case, notified us to make further medical verification, and then we managed the patient and their family together. If chronic illness management can be organized like an anti-pandemic effort, it would work far better. (Interviewee33, nurse, female)

**Advancing connections with patients’ families through the use of technology**

The providers suggested that mobile technology and telecommunication applications would facilitate the communication and connections with the patients and their families. Some of them had experience of organizing online patient consultations and education groups, especially during the peak of COVID-19, when the regular onsite medical services were interrupted.

The providers at our community center have organized remote consultations and live-streamed health education via popular social media and applications, like WeChat. Their record was to have over a hundred local residents participate online, a much larger group than we could normally achieve in offline education courses, which usually consist of, say, around 20 people. (Interviewee23, nurse, female)

They commented that these technology-powered initiatives provided more flexibility and possibility to collaborate with the patients’ families, and were particularly welcomed by the younger generation, who can assist older adults to adopt new technologies and behavior change.

We set up two (WeChat online) groups: one for diabetic patients and one for those with hypertension. We share health information (in the group) regularly...I believe this is the trend. For these older adults, who are unfamiliar with this new method of information communication, I encourage their children to teach them slowly. (Interviewee40, clinician, female)

and

We need to take advantage of the family’s influence. The information about diabetes or high blood pressures is more likely to reach young people. They could help us to spread the health information to
their family, and promote behavior change within the family. (Interviewee45, public health practitioner, male)

Discussion

The present study explored Chinese primary care providers’ perceptions and experiences of family-centered diabetic care for community-dwelling older adults. Our findings revealed that the providers appreciated the importance of the family’s involvement in patients’ diabetes management, while their current scope of practice with the family was limited and informal. Barriers and facilitators related to implementing family-centered care for older adults in community healthcare settings were identified.

Our study contributes to the family-centered care literature by generating empirical evidence about the community-based primary healthcare setting in a Chinese culture context. Originating from pediatric medicine [20], the principles of family-centered care have been mainly applied in the context of hospitals [21, 22], long-term care [23], and end-of-life and palliative care [13]. Less is known about the application of family-centered care in community-based primary care services [8]. As health care moves from the hospital into the community, the interactions between healthcare providers, the patients and their families become increasingly frequent, dynamic and complex. While, in a hospital setting, the providers are typically regarded as the authority figures; in a home- and community-based care setting, the family members tend to exert a greater influence on the patients’ care plan [24]. The family’s involvement in patient care activities [25] and medical decision-making [15] is particularly apparent within the Chinese family-centered Confucian culture, whereby a moral obligation is associated with family caregiving for older adults [26]. Regarding diabetes management, that permeates patients’ daily routines, a previous study has shown that the Chinese family is un/consciously involved in managing patients’ health and behaviors [27]. As an invaluable source of informal care, the family may nevertheless impede diabetes management due to their misunderstanding and poor health literacy. The providers interviewed in our present study were well aware of the substantial influence of the Chinese family on older adults’ diabetes management, and interacted with the patients’ families in their practice to varying degrees. When a shared understanding could be reached between them and the patient’s family, the providers also acknowledged a mutual beneficial partnership, as highlighted in the family-centered care model [7].

Yet, our study further revealed that the providers’ practice of family-centered care was limited and informal. Although the family doctor program had been conducted for several years, their current practice was primarily focused on individual patients only and from a bio-medical perspective. The barriers mentioned include a shortage of staff and heavy workload, a task-performance-oriented healthcare culture, and the family dynamics and changing structures. Similar obstacles have been reported in previous studies on institutional [13, 28] and home-based [14, 24] healthcare services for older adults, where professionals found coordinating or supporting the needs of the patients’ families required extra effort and time, lay outside their job responsibilities, and might not be supported by the current healthcare culture.
The similarity between the barriers that were identified conflicted with our hypothesis that a community-based care setting would accommodate family-centeredness better than institutional healthcare. It is noted that our interviewees tend to attribute their low involvement with the patients’ families to structural and environmental barriers within both the patients’ families and the healthcare system. This may actually reflect attitudinal issues and suggests that the primary care providers may feel more comfortable and confident about practicing a paternalistic care model [8] rather than partnering with patients and their families, regardless of their awareness of the importance of family-centeredness. Apart from the providers’ attitudes, contextual factors also appear to be key determinants in influencing their actual involvement with the patients’ families. Limited resources and a healthcare culture that lacks a clear vision for family-centered care have been found to be the strongest factors affecting doctor-patient-family interactions within clinical practice [29]. The majority of community healthcare centers in China still struggle due to a shortage and poor competency of health workers [30]. Without sufficient resources, welfare benefits and concrete implementation strategies devoted to family-centered care by the centers, providers would still spend most of their time on disease treatment and control, attending to cost and efficiency, rather than truly meeting the care needs of the patients and their families [31].

To convert family-centered care from theory to practice, the providers interviewed emphasized that family-centered values should be endorsed by their healthcare organizations and reinforced by policies. Only when supported by their colleagues and appreciated by the administrators and the organization will providers feel encouraged to make proactive attempts to engage with the patients’ families. Additionally, they indicated that inter-professional collaboration between healthcare providers and community social partners would be beneficial, as this would enable both parties to share the communication burden and provide social- and medical- supports to the older residents’ families. As highlighted by N Ruggiano and D Edvardsson [32], social workers could help to overcome the cultural and structural barriers to extending person-centeredness into family-oriented long-term care, through connecting the fragmented medical and non-medical services, empowering older patients to participate actively in their care plans, and lobbying policy-makers and administrators about older adults’ needs. Furthermore, the providers suggested that utilizing telecommunication technology and social media would significantly increase the feasibility and flexibility of communicating with patients and their families who may live far apart, and particularly suit the preference of the younger generation. These reflections are well supported by the literature, where it has been found that the use of technology strengthens intergenerational communication [33], and ensures that medical prescriptions and advice are timely and accurately conveyed between healthcare providers, social workers, and patients’ families [24], which tends to be particularly valuable during public health crises [34].

Strengths And Limitations

We interviewed a diverse group of public health practitioners, clinicians and nurses from all 11 districts of Guangzhou, covering both rural and urban areas. Frontline primary care providers spoke of their observations and experience of the family’s involvement in routine practices for older adults before, during and after the COVID-19 pandemic. These findings thus provide unique evidence for healthcare
providers, researchers and policy-makers who are interested in planning and implementing family-centered care in the community. Nevertheless, we note that our participants were recruited via purposive sampling in a first-tier city in China. Individuals, such as administrators and senior leaders, who might offer different perspectives on family-centered care, were not included. As a qualitative study, the findings here may not be generalized to other contexts and may not represent the situation in less-developed cities.

**Conclusions**

In summary, our qualitative study examined Chinese primary care providers’ perceptions and practices of family-centered care for older adults with diabetes in the community, providing cultural- and contextual-specific evidence regarding family-centeredness in health services. The healthcare providers interviewed generally perceived the importance of the family’s involvement in older patients’ diabetes management. Their limited engagement with the family was related to the family dynamics and an overloaded task-performance-based healthcare culture, while a family-centered practice environment endorsed by the organizations and policies, wide collaborations with community partners and the utilization of technology, would facilitate the implementation of family-centered care. The understanding of the dynamics between the providers, patients and their families in community healthcare settings would allow a clearer distinction to be drawn between the providers and patients’ families’ roles and needs regarding healthcare planning for China’s rising ageing population.

**Declarations**

**Ethics approval and consent to participate**

The study was approved by the Sun Yat-sen University Institutional Review Board (Approval no. 2019-064). At the time of conducting the interview, the method and aim of the qualitative study were explained to participants. All the participants provided written informed consent, and their statements were analyzed anonymously. All methods were carried out in accordance with relevant guidelines and regulations.

**Consent for publication**

Consent for publication was obtained from each participant included in the study.

**Availability of data and materials**

The audio records and the transcripts collected during the current study are stored in a file on a local computer of Department of Public Health, Sun Yat-sen University for data security, which are available from the corresponding author on reasonable request. Participants’ personal information is confidential and is not shareable.
Competing interests

The authors declare that they have no competing interests.

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Authors’ contributions

JL and TJ conceptualized the study, analyzed the findings and detailed the main content of the paper.

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