Factorization of the 3d superconformal index with an adjoint matter

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ABSTRACT: We work out the factorization of the 3d superconformal index for $\mathcal{N} = 2$ $U(N_c)$ gauge theory with one adjoint chiral multiplet as well as $N_f$ fundamental, $N_a$ anti-fundamental chiral multiplets. Using the factorization, one can prove the Seiberg-like duality for $\mathcal{N} = 4$ $U(N_c)$ theory with $N_f$ hypermultiplets at the index level. We explicitly show that monopole operators violating unitarity bound in a bad theory are mapped to free hypermultiplets in the dual side. For $\mathcal{N} = 2$ $U(N_c)$ theory with one adjoint matter $X$, $N_f$ fundamental, $N_a$ anti-fundamental chiral multiplets with superpotential $W = \text{tr}X^{n+1}$, we work out Seiberg-like duality for this theory. The index computation provides combinatorial identities for a dual pair, which we carry out intensive numerical checks.

KEYWORDS: Extended Supersymmetry, Duality in Gauge Field Theories, Chern-Simons Theories

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1 Introduction

The 3d superconformal index [1–4] has been used to probe the various dualities for $\mathcal{N} = 2$ supesymmetric gauge theories [5–17]. One of the interesting feature of the 3d index is its factorization into vortex and antivortex partition function [18, 19]. Schematically

$$I(z, \bar{z}) = Z_{\text{vortex}}(z)Z_{\text{antivortex}}(\bar{z}) = |Z_{\text{vortex}}(z)|^2$$

(1.1)

where $z$ traces the vortex number and $\bar{z}$ traces antivortex number. This is the 3d analogue of the 2d conformal blocks. Previously it was shown that such factorization holds for $\mathcal{N} = 2$ $U(N_c)_k$ gauge theory with $N_f$ fundamental chiral multiplets and $N_a$ anti-fundamental chiral multiplets with Chern-Simons (CS) levels satisfying $|\kappa| \leq \frac{(N_f-N_a)}{2}$ [20]. Once we have the factorized form of the 3d index, the proof of the duality is reduced to the proof of some combinatorial identities at the index level [19]. Hence the structure of the duality is much more transparent once such factorized index is available.

We extend the previous proof of the factorization into $\mathcal{N} = 2$ $U(N_c)$ theory with one adjoint chiral multiplets as well as fundamental and anti-fundamental matters. Once we obtain the factorization, we apply the result to two cases. As the 1st application, we consider the Seiberg-like duality for $\mathcal{N} = 4$ $U(N_c)$ gauge theory with $N_f$ hypermultiplets [21–25]. For $N_c \leq N_f < 2N_c - 1$ the theory is called “bad” since it contains the monopole operators
whose conformal dimension violates the unitarity bound. The fate of such monopole operators in IR is interesting. For the case in hand, such monopole operators are flowing to free hypermultiplets in IR and there are some evidences put forward at [23–26]. Here using the factorization of the index, we explicitly prove the conjectured dualities at the index level. Along with that, we can show how pathological $R$-charges in UV can mix with accidental global symmetries in IR to give the correct $R$-charges in IR. As the second application, we consider the dualities of $\mathcal{N} = 2$ U($N_c$) gauge theories with one adjoint $X$, fundamental and anti-fundamental matters [15]. In this case we need to introduce the superpotential $W = \text{tr}X^n$ to have the dual pair. We generalize the previous work [15] to the cases where one can have different number of chiral multiplets and anti-chiral multiplets, which we call chiral-like theories, with Chern-Simons terms. For the above theory and the conjectured dual pair, we work out the factorized index and the equality of the proof is reduced to the proof of combinatorial identities, which we carry out the intensive numerical checks. We also consider the cases where some monopole operators violate the unitarity bounds. For some cases we understand the fate of such operators in IR. However the understanding is rather limited compared with $\mathcal{N} = 4$ cases.

The content of the paper is as follows. In section 2 we work out the factorization of U($N_c$) theory with one adjoint, fundamental and antifundamental matters. In section 3, we consider $\mathcal{N} = 4$ Seiberg-like dualities for $\mathcal{N} = 4$ U($N_c$) theory with fundamental hypermultiplets. The equality of the index for such dual pairs are explicitly proved. Meanwhile we show that the monopole operators which violate the unitarity bound are turned into free hypermultiplets and are decoupled. In section 4, we consider the $\mathcal{N} = 2$ duality for U($N_c$) theory with one adjoint, fundamental and anti-fundamental matters using the factorized index. Technical details are relegated to appendices.

2 Factorization with an adjoint matter

In this section we examine the factorization of the superconformal index of the 3d $\mathcal{N} = 2$ U($N_c$)$_\kappa$ gauge theory with $N_f$ fundamental, $N_a$ antifundamental, one adjoint matters and without superpotential, where $\kappa$ is the CS level. We focus on the values of $\kappa$ satisfying the condition $|\kappa| \leq |N_f - N_a|$ due to a technical issue we will explain. The matters are denoted by chiral multiplets $Q_a, \bar{Q}^b, X$, where $X$ denotes the adjoint chiral multiplet. The theory has the global symmetry $U(1)_R \times SU(N_f) \times SU(N_a) \times U(1)_A \times U(1)_X \times U(1)_T$, where $U(1)_R$ denotes the $R$ symmetry. The global charges of each chiral multiplet are summarized in table 1. The meaning of the global charges should be obvious from the table 1. In particular $U(1)_T$ is the topological charge, which monopole operators carry. Compared to the theory without the adjoint matter, we have additional $U(1)_X$ symmetry, which rotates the phase of the adjoint chiral multiplet $X$. In addition, there are BPS bare monopole states labeled by the GNO [27] charge lattice:

$$|m_1, \ldots, m_{N_c}\rangle.$$  

If $\kappa \pm \frac{N_f - N_a}{2} = 0$, the bare monopole state $|\pm 1, 0, \ldots, 0\rangle$ is gauge invariant and corresponds to the gauge invariant chiral operator $V_{0, \pm}$. In addition, it can be dressed by the adjoint
Table 1. The global symmetry charges of chiral operators. The gauge invariant monopole operators $V_{i,\pm}$ appear when $\frac{N_f + N_a}{2} = 0$.

Let us recall the definition of the superconformal index of the 3d $\mathcal{N} = 2$ theory. The bosonic subgroup of the 3d $\mathcal{N} = 2$ superconformal group is $SO(2;3) = SO(2)$ whose three Cartan elements are denoted by $E; j$ and $R$. Then the superconformal index is defined by [1, 2]

$$I(x; t_i) = \text{Tr}(-1)^F \exp(-\beta' \{Q, S\}) x^{E + j} \left( \prod_i t_i^{F_i} \right)$$ (2.1)

where $Q$ is a supercharge of quantum numbers $E = \frac{1}{2}, j = -\frac{1}{2}$ and $R = 1$, while $S = Q^\dagger$. $x$ is the fugacity for $E + j$ and $t_i$’s are additional fugacities for global symmetries of the theory. The trace is taken over the Hilbert space of the SCFT on $\mathbb{R} \times S^2$, or equivalently over the space of local gauge invariant operators on $\mathbb{R}^3$. As usual, only the BPS states, which saturate the inequality

$$\{Q, S\} = E - R - j \geq 0,$$ (2.2)

contribute to the index. Therefore, the index is independent of the parameter $\beta'$.

The matrix integral formula for the superconformal index of a $U(N_c)$ theory is given by [3, 4]

$$I(x, t, \tilde{t}, \tau, v, w) = \sum_{m \in \mathbb{Z}^N} \frac{1}{|W_m|} \int_{\sum_j = 1}^{N_c} \frac{dz_j}{2\pi i z_j} e^{-S_{\text{CS}}(z, m)} w^{\sum_j m_j} Z_{\text{vector}}(x, z, m) Z_{\text{chiral}}(x, t, \tilde{t}, \tau, v, z, m).$$ (2.3)

Here $|W_m|$ is the Weyl group order of the residual gauge group left unbroken by the magnetic flux $m$. And $z_j$’s are gauge holonomies along the time circle. $e^{-S_{\text{CS}}(z, m)}$ is the
classical contribution of the CS term, which is written as

\[ e^{-S_{CS}(z,m)} = \prod_{j=1}^{N_c} (z_j)^{-\kappa m_j}. \]

(2.4)

\( w \) is the fugacity for the \( U(1)_T \) topological symmetry, whose conserved charge is given by \( T = \sum_j m_j \). If we regard the symmetry as weakly gauged, \( w \) corresponds to the background holonomy of the \( U(1)_T \) symmetry. Therefore, the term \( w^{\sum_j m_j} \) comes from a mixed Chern-Simons term. \( Z_{\text{vector}} \) and \( Z_{\text{chiral}} \) are the 1-loop determinant contribution of the vector multiplet and the chiral multiplets respectively. The vector multiplet contribution is given by

\[ Z_{\text{vector}}(x, z, m) = \prod_{i,j=1 \atop (i \neq j)}^{N_c} x^{-|m_i - m_j|/2} \left( 1 - z_i z_j^{-1} x^{|m_i - m_j|} \right) \]

(2.5)

while the chiral multiplet contribution is given by

\[ Z_{\text{chiral}}(x, t, \tilde{t}, \tau, v, z, m) = Z_X(x, v, z, m) \left( \prod_{a=1}^{N_f} Z_{Q_a}(x, t, \tau, z, m) \right) \left( \prod_{a=1}^{N_{c}} Z_{\tilde{Q}_a}(x, \tilde{t}, \tau, z, m) \right) \]

(2.6)

where\(^1\)

\[ Z_X(x, v, z, m) = \prod_{j=1}^{N_c} \left( x^{\Delta X - 1 - v} \right)^{-|m_i - m_j|/2} \left( \frac{z_i^{-1} z_j - 1 x^{2 - \Delta X + |m_i - m_j|}}{z_i z_j^{-1} x^{\Delta X + |m_i - m_j|} x^2} \right)_{\infty}, \]

(2.8)

\[ Z_{Q_a}(x, t, \tau, z, m) = \prod_{j=1}^{N_c} \left( x^{\Delta_{Q_a} - 1} (-z_j) t_a \right)^{-|m_j|/2} \left( \frac{z_i^{-1} t_j^{-1} t_a^{-1} x^{-1} x^{2 - \Delta_{Q_a} + |m_j|}}{z_i t_j t_a x^{\Delta_{Q_a} + |m_j|} x^2} \right)_{\infty}, \]

(2.9)

\[ Z_{\tilde{Q}_a}(x, \tilde{t}, \tau, z, m) = \prod_{j=1}^{N_c} \left( x^{\Delta_{\tilde{Q}_a} - 1} (-\tilde{z}_j) \tilde{t}_a \right)^{-|m_j|/2} \left( \frac{z_i^{-1} \tilde{t}_a^{-1} \tilde{t}_j^{-1} x^{2 - \Delta_{\tilde{Q}_a} + |m_j|}}{z_i \tilde{t}_j \tilde{t}_a x^{\Delta_{\tilde{Q}_a} + |m_j|} x^2} \right)_{\infty}. \]

(2.10)

They are the contribution of the adjoint, fundamental and antifundamental chiral multiplets respectively. \( t, \tilde{t}, \tau, v \) are the fugacities, or the background holonomies, for the global symmetry \( SU(N_f) \times SU(N_{c}) \times U(1)_A \times U(1)_X \). By definition the fugacities have norms smaller than 1; for example, if we recall the \( E + j \) fugacity, \( x \), it is written as \( x = e^{-\beta} \) where chemical potential \( \beta \), which is related to the radii of \( S^2 \) and \( S^1 \), is a positive real parameter.\(^2\) Thus we assume \( |x| < 1 \). In the same manner, the other fugacities also have the

\(^1\)(\(a; q\))\(_n\) is the \( q \)-Pochhammer symbol defined by

\[ (a; q)_n = \prod_{k=0}^{n-1} \left( 1 - aq^k \right) \]

(2.7)

\(^2\)On the other hand, if we regard those fugacities as background holonomies, their norms are naturally 1. Since we can interpret those variables in both ways, it shouldn’t affect the final result, which is consistent with the fact that the index is analytic in those variables.
norms smaller than 1. Here, for computational purpose, we tune the independent chemical potentials such that $|x| \gg |t, \tilde{t}, \tau, \nu|$. As a result, the locations of the poles are primarily determined by $x$. This restriction can be relaxed after the computation by the analytic continuation. Also note that $x^{\Delta_Q}$ and $x^{\Delta_X}$ always appear together with $\tau$ and $\nu$; i.e., they appear in terms of $x^{\Delta_Q}\tau$ and $x^{\Delta_X}\nu$.

This reflects the fact that the $U(1)_R$ charge can be mixed with other global charges. For simplicity, we replace $x^{\Delta_Q}\tau$ and $x^{\Delta_X}\nu$ by $\tau$ and $\nu$. The original expression can be restored by $\tau \rightarrow x^{\Delta_Q}\tau$ and $\nu \rightarrow x^{\Delta_X}\nu$. When the theory has superpotential, we need to impose additional relations to the various fugacities, as we will see later. We also have included the phase factor $(-1)^{-\kappa \sum m_j - \frac{N_f-N_a}{2} \sum |m_i|}$, which is originated from the definition of the fermionic number operator $F$ in (2.1) [14]. We use $F = 2j + e \cdot m$ where $e$ and $m$ are electric charge and magnetic flux. One can introduce magnetic fluxes of background gauge fields coupled to the global symmetries [9]. However, we turn them off for simplicity.

The contour integral is iteratively evaluated for each $z_j$ along the unit circle, $|z_j| = 1$. Applying the residue theorem, we choose the poles inside the unit circle or alternatively choose the poles outside the unit circle with opposite sign because the sum total of the residues is zero. For a technical reason, we consider $|\kappa| \leq \frac{|N_f-N_a|}{2}$ case, which is called “maximally chiral” in [29]. If $N_f > N_a$, it is convenient to take the outside poles because there is no pole at infinity. If $N_f = N_a$, although there may exist poles both at the origin and at infinity, one can show that the residue at each pole vanishes [19, 20]. On the other hand, for $|\kappa| > \frac{|N_f-N_a|}{2}$ case, which is called “minimally chiral” in [29], both residues at the origin and at infinity do not vanish. In that case factorization of the superconformal index is not clear [20]. Thus, assuming $N_f \geq N_a$ we are going to take the poles outside the unit circle. The relevant poles are as follows:

$$z_j = \begin{cases} t_j^{-1} \tau^{-1} x^{-|m_j| - 2k_j}, & 1 \leq a_j \leq N_f, \quad k_j \geq 0 \\ z_i^{-1} \nu^{-1} x^{-|m_j - m_i| - 2k_j}, & 1 \leq i(\neq j) \leq N_c, \quad k_j \geq 0 \end{cases}$$

(2.11)

where for each $j = 1, \ldots, N_c$, $z_j$ takes either the value in the first line with a choice of $a_j, k_j$ or the value in the second line with a choice of $i$ and $k_j$. Note that the first type of poles comes from the fundamental matter contribution while the second type of poles comes from the adjoint matter contribution. If we carry out the unit contour integration of $z_j$ by picking up outside poles, all poles of eq. (2.11) are lying outside the unit circle irrespective of the value of $z_i$. One can have poles accidentally located outside the unit circle depending on the value of $z_i$. For example the pole can have the form $z_1 = p, z_2 = z_1 r^{-1} \cdots$ with $|p| > |\nu| > 1$. This is not the type of eq. (2.11). However if we sequentially integrate over $z_1, z_2$, then integration over $z_2$ picks up the residue $z_2 = p r^{-1}$, which is outside the unit circle of $z_2$ since $z_1$ takes the specific value $p$. One can show that there is always the cancelation of the residues for such accidentally picked-up poles. Thus we can consider the poles specified at eq. (2.11).

One can see that (2.11) defines linearly independent $N_c$ hyperplanes meeting at the unique point in $\mathbb{C}^{N_c}$. That intersection point can be represented by a labeled forest graph of

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$^3$As defined at the table 1, $\Delta_Q, \Delta_X$ are the $R$-charge of the fundamental and adjoint chiral multiplet respectively. Due to the BPS condition, this is equal to the conformal dimension of the multiplet.
Figure 1. An example of a forest graph for the U(5) theory with three flavors. The graph contains five nodes, which form two trees in this case. Each root node is labeled by \((j, a_j, k_j)\) while each non-root node is labeled by \((j, k_j)\).

ordered \(N_c\) nodes, which is a graph of multiple trees whose nodes are ordered by a suitable permutation \(\sigma(1) \cdots \sigma(N_c)\) and labeled by some additional integers. The precise definition of the node order will not be important and one definition of such order will be presented for a simple example. A hyperplane defined by the first line of (2.11) with given \(j, a_j, k_j\) corresponds to a root node labeled by \((j, a_j, k_j)\). A hyperplane defined by the second line of (2.11) with given \(j, i, k_j\) corresponds to a non-root node labeled by \((j, k_j)\). This node is attached to a node containing the integer \(i\) either in \((i, a_i, k_i)\) or in \((i, a_i)\). For instance, let us consider a U(5) theory with three flavors. The forest graph corresponding to a pole determined by

\[
\begin{align*}
    z_1 &= t_1^{-1} x^{-|m_1|}, \\
    z_2 &= z_1 v^{-1} x^{-|m_2-m_1|-20}, \\
    z_3 &= t_3^{-1} v^{-1} x^{-|m_3|-4}, \\
    z_4 &= z_5 v^{-1} x^{-|m_5-m_4|-2}, \\
    z_5 &= z_1 v^{-1} x^{-|m_4-m_1|-2}
\end{align*}
\]  

(2.12)

is shown in figure 1. Our convention of the node order is to count the node from bottom to top and from left to right. Thus the node order of the graph in 1 is 1, 5, 4, 2, 3. In this case, there are two hyperplanes of the first type and three hyperplanes of the second type. Thus, the corresponding forest graph contains two root nodes and three non-root nodes, which are labeled and connected by the rule we explained. In this way, all relevant poles shown in (2.11) can be organized by all possible labeled forest graphs we described. As far as we know the counting of the poles using the forest graph did not appear before.

Now let us define a map \(p\) such that \(p(j) = i\) if the \(j\)-th node is a child node of the \(i\)-th node. For convenience, we also assign \(p(j) = 0\) when the \(j\)-th node is a root node. We also

\footnote{This definition can be more precisely formulated as follows. Any forest graph is located in 2-d plane so one can associate any node with its 2-d coordinate \((x, y)\). We define \((x_1, y_1) < (x_2, y_2)\) if \(x_1 < y_1\) or \(x_1 = y_1\) with \(x_2 < y_2\). We order the node according to the order of its 2d coordinate. But any other consistent node order is fine with our purpose.}
define \( p^n = p \circ p \circ \cdots \), thus acting \( p \) \( n \) times. If we act \( p \) iteratively, the initial position of the node is descending toward the tree node it belongs in figure 1. \( p(4) = 5, p^2(4) = 1 \) and so on. Then the intersection point can be written as

\[
z_j = t_j^{-1} \tau^{-1} u - l_j + 1 \times - \sum_{n=0}^{l_j-1} (mp_n(j) - mp_{n+1}(j) + 2b_p(j)) \tag{2.13}
\]

where \( b_j = a_i \) when \( j \)-th child node is connected to the \( i \)-th root node. Formally one can define \( b_j = a_g^{j-i}(j) \) and \( l_j \) is the level of the \( j \)-th node, which is the smallest positive integer such that \( p^{l_j}(j) = 0 \). For example, a root node has the level 1. Note that the nodes in the same tree have the same \( b_j \). Also we have defined \( m_0 = 0 \). One should note that the above poles do not guarantee the non-vanishing residues. Indeed, we will see that the non-vanishing residues come from the forest graphs with just one-branch trees.\(^5\)

Now we need to evaluate the residue at each intersection point. We slightly modify the expressions of the 1-loop contributions, which makes evaluating the residue easier. Firstly one can align the monopole charges as \( m_1 \geq \ldots \geq m_{N_c} \) using the Weyl symmetry of the gauge group. Then the 1-loop contributions of the vector multiplet and the adjoint chiral multiplet can be written as follows:

\[
Z_{\text{vector}}(x, z, m) = \prod_{i,j=1 \atop i \neq j}^{N_c} x^{-|m_i - m_j|/2} \left( 1 - z_i z_j^{-1} x^{|m_i - m_j|} \right) \tag{2.14}
\]

\[
Z_X(x, u, z, m) = \prod_{i,j=1 \atop i < j}^{N_c} (x^{-1} u)^{-|m_i - m_j|/2} \left( z_i^{-1} z_j^{-1} u^{-1} x^{2 + |m_i - m_j|}; x^2 \right)_\infty \left( z_i^{-1} z_j^{-1} u x^{m_i - m_j}; x^2 \right)_\infty
\]

\[
= \left( \frac{u^{-1} x^2; x^2_\infty}{(u; x^2)_\infty} \right)^{N_c} \left( z_i^{-1} z_j^{-1} u^{-1} x^{2 + m_i - m_j}; x^2 \right)_\infty
\]

\[
\times \left( z_i^{-1} z_j^{-1} u x^{m_i - m_j}; x^2 \right)_\infty, \tag{2.15}
\]

Furthermore, using the identity

\[
\prod_{j=1}^{N_c} (x^{-1}(-z_j) t_a \tau) (|m_j - m_j|/2) \left( z_j^{-1} t_a \tau^{-1} x^{2 + m_j}; x^2 \right)_\infty (|m_j - m_j|/2) = 1, \tag{2.16}
\]

\(^5\)We call a tree a one-branch tree if each node has at most one child node.
the contributions of the fundamental and antifundamental chiral multiplets are written as follows:

\[
Z_{Q_a}(x, t, \tau, z, m) = \prod_{j=1}^{N_c} (x^{-1} z_j t_a \tau)^{-|m_j|/2} \left( \frac{z_j^{-1} t_a^{-1} \tau^{-1} x^2 + |m_j|; x^2}{z_j t_a \tau; x^2} \right)_{\infty}
\]

\[
= \prod_{j=1}^{N_c} (x^{-1} (-z_j) t_a \tau)^{-|m_j|/2} \left( \frac{z_j^{-1} t_a^{-1} \tau^{-1} x^2 + m_j; x^2}{z_j t_a \tau; x^2} \right)_{\infty}
\]

\[
Z_{Q_b}(x, \bar{t}, \tau, z, m) = \prod_{j=1}^{N_c} (x^{-1} \bar{t}_j^{-1} \tau)^{-|m_j|/2} \left( \frac{\bar{t}_j^{-1} \tau^{-1} x^2 + |m_j|; x^2}{\bar{t}_j \tau; x^2} \right)_{\infty}
\]

\[
= \prod_{j=1}^{N_c} (x^{-1} (-\bar{t}_j) \tau)^{-|m_j|/2} \left( \frac{\bar{t}_j^{-1} \tau^{-1} x^2 + m_j; x^2}{\bar{t}_j \tau; x^2} \right)_{\infty}
\]

(2.17)

(2.18)

We then insert (2.13) into the above expressions of the 1-loop contributions and sum their product over the poles we choose, which are represented by the labeled forest graphs we explained. From now on it is convenient to use \( m_j = m_j - m_{p(j)} \) instead of \( m_j \). \( m_j \) is written in terms of \( m_j \) as \( m_j = \sum_{n=0}^{l_j} m_{p^n(j)} \). Then carefully organizing the whole expression, we observe that the index is factorized into three parts, which we call the perturbative part, the vortex part and the antivortex part respectively. The perturbative part is independent of \( \nu_j = (|m_j| + m_j)/2 + k_j \) and \( \bar{\nu}_j = (|m_j| - m_j)/2 + k_j \). The vortex part depends on \( \nu_j \) but not on \( \bar{\nu}_j \) while the antivortex part depends on \( \bar{\nu}_j \) but not on \( \nu_j \). The detailed computation for each part is relegated to appendix A. After the computation, the superconformal index is written in the following factorized form:

\[
I(x, t, \bar{t}, \tau, v, w) = \sum_{p} I^p_{\text{pert}}(x, t, \bar{t}, \tau, v) Z^p_{\text{vortex}}(x, t, \bar{t}, \tau, v, w) Z^p_{\text{antivortex}}(x, t, \bar{t}, \tau, v, w)
\]

(2.19)

where \( w = (-1)^{-\nu - \frac{N_f - N_a}{2}} \) and \( \nu = (p_1, \ldots, p_{N_f}) \) is a partition of integer \( N_c \) into \( N_f \) nonnegative integers satisfying \( \sum_{a=1}^{N_f} p_a = N_c \). The perturbative part is given by

\[
I^p_{\text{pert}}(x, t = e^{iM}, \bar{t}, \tau, v = e^{i\nu}) = \left( \prod_{a,b=1}^{N_f} \prod_{q=1}^{p_a} \prod_{r=1}^{p_b} \right) 2 \sinh \frac{iM_a - iM_b + i\nu(q-r)}{2} \left( \prod_{a,b=1}^{N_f} \prod_{q=1}^{p_a} \prod_{r=1}^{p_b} \right)
\]

\[
\left( \prod_{a,b=1}^{N_f} \prod_{q=1}^{p_a} \prod_{r=1}^{p_b} \left( t_a^{-1} b_q^{-1} \tau^{-1} x^2; x^2 \right)_{\infty} \right)
\]

\[
\times \left( \prod_{a=1}^{N_f} \prod_{q=1}^{p_a} \left( t_a^{-1} b_q^{-1} \tau^{-1} x^2; x^2 \right)_{\infty} \right)
\]

(2.20)
where the prime symbol indicates that the zero factor in the \(q\)-Pochhammer symbol is omitted. Next the vortex part and the antivortex part are given by

\[
Z_{\text{vortex}}^p(x, t, \tilde{t}, \tau, v, w) = \sum_{n_1 \geq 0} \mathcal{A}_{n_1}^{1-j} \mathcal{A}_{n_0}^j \mathcal{Z}_{(n_j)}^p(x, t, \tilde{t}, \tau, v), \tag{2.21}
\]

\[
Z_{\text{antivortex}}^p(x, t, \tilde{t}, \tau, v, w) = \sum_{n_1 \geq 0} \mathcal{A}_{n_1}^{1-j} \mathcal{A}_{n_0}^j \mathcal{Z}_{(n_j)}^p(x^{-1}, t^{-1}, \tilde{t}^{-1}, \tau^{-1}, v^{-1}), \tag{2.22}
\]

\[
\mathcal{Z}_{(n_j)}^p(x = e^{-\gamma}, t = e^{iM}, \tilde{t} = e^{iM}, \tau = e^{i\mu}, v = e^{i\nu})
= e^{-S_{(n_j)}^p(x, t, \tau, v)} \frac{\prod_{a,b=1}^{N_f} p_a p_b}{\prod_{r=1}^{N_a} \sinh \frac{iM_a - iM_b + i\nu(r-r') + 2\gamma k}{2}} \left( \frac{\prod_{r=1}^{N_a} \sinh \frac{iM_a - iM_b + i\nu(r-r') + 2\gamma k}{2}}{\prod_{r=1}^{N_b} \sinh \frac{iM_a - iM_b + i\nu(r-r') + 2\gamma k}{2}} \right), \tag{2.23}
\]

where

\[
e^{-S_{(n_j)}^p(x, t, \tau, v)} = \prod_{b=1}^{N_f} \prod_{r=1}^{p_b} \left( f_b \tau^{r-1} \prod_{n=1}^{N_a} n_{(b,n)} \right) \sum_{n_1 = 1}^{p_a} \prod_{n=1}^{N_a} n_{(b,n)} \tag{2.24}
\]

\(n_{(b,n)}\) is a shorthand notation for \(n_{\sum_{a=1}^{b-1} p_a + n}\). Note that the antivortex part is obtained from the vortex part by inverting all the fugacities, \(x, t, \tilde{t}, \tau, v, w \to x^{-1}, t^{-1}, \tilde{t}^{-1}, \tau^{-1}, v^{-1}, w^{-1}\).

We expect that this is the vortex partition function of \(\mathbb{R}^2 \times S^1\) of the \(\mathcal{N} = 2 \ U(N_c)\) gauge theory with \(N_f\) fundamental, \(N_a\) antifundamental and one adjoint matter under the condition \(|\chi| \leq \frac{N_f - N_a}{2}\). As far as we know, there is no explicit computation of the vortex partition function of a 3d \(\mathcal{N} = 2\) theory with an adjoint matter. Nevertheless, from the Higgs branch localization of the 3d superconformal index \([20, 30]\) or the consideration of holomorphic blocks \([18]\), we call the “vortex” (“antivortex”) parts should correspond to the vortex (antivortex) partition function of a 3d \(\mathcal{N} = 2\) theory with an adjoint matter. For the special case of \(\mathcal{N} = 4\) theories, the above reduces the known results of the vortex partition function \([23]\).

### 3 3d \(\mathcal{N} = 4\) Seiberg-like duality

Our first application is the 3d \(\mathcal{N} = 4\) \(U(N_c)\) gauge theories with fundamental matters. The theory has the \(SO(4)_R\) R-symmetry as well as the global symmetry \(SU(N_f) \times U(1)_T\). Those theories are classified into 3 classes according to the number of flavors: good, ugly, bad \([21, 22]\). A theory is “good” if the number of flavors \(N_f\) and the rank of the gauge group \(N_c\) satisfy \(N_f > 2N_c - 1\). In that case the monopole operators appearing in the theory have the \(R\)-charges larger than 1/2. Accordingly they have the conformal dimensions larger
than 1/2 in IR, which is required for the unitarity of an IR fixed point. A theory is “ugly” if \( N_f = 2N_c - 1 \). In that case the theory has a monopole operator having the \( R \)-charges, hence the conformal dimensions, 1/2. Therefore, they are decoupled in the IR fixed point. It is also known that the theory has a Seiberg-like dual description, the \( U(N_c - 1) \) theory with \( N_f \) fundamental matters and one decoupled free twisted hypermultiplet \([21, 22]\). The original and dual theory flow to the same IR fixed point. The decoupled monopole operator of the original theory corresponds to the decoupled hypermultiplet of the dual theory. A theory is “bad” if \( N_c \leq N_f < 2N_c - 1 \). Such a theory has monopole operators whose UV \( R \)-charges are less than 1/2, and even less than zero. If those UV \( R \)-charges are preserved to IR along the RG flow, the corresponding monopole operators have the unitarity violating conformal dimensions. However, if there is accidental global symmetries in IR, the \( R \) symmetry in IR can be mixed with such global symmetries. Hence the IR \( R \)-charge i.e., the conformal dimension of the monopole operators does not need to be less than 1/2. Indeed, those monopole operators are expected to have the conformal dimensions exactly 1/2 and thus decouple from the theory. Therefore, a “bad” theory has the IR fixed point consisting of a decoupled free sector and an interacting sector. Interestingly there is another UV description describing those two sectors separately. In that description, the decoupled sector is described by \( 2N_c - N_f \) free twisted hypermultiplets while the interacting sector is described by the \( U(N_f - N_c) \) theory with \( N_f \) fundamental matters \([23-25]\). Note that there is no interacting sector if \( N_f = N_c \). This is the \( \mathcal{N} = 4 \) version of the conjectured 3d Seiberg-like duality for \( U(N_c) \) gauge theories.

Although the localization computations of various partition functions usually provide powerful tools for testing dualities, they have convergence issues for the “bad” theories. The definition (2.1) defines the index as a power series in \( x \). However, the matrix integral (2.3) is not analytic at \( x = 0 \) for bad theories since it contains the BPS monopole operators of negative conformal dimensions. In order to avoid those issues, one can try to find a quantity that is free of the convergence issue, e.g., the vortex partition function on \( \mathbb{R}^2 \times S^1 \) in \([23]\), or to use the analytically continued version of the partition function, e.g., the \( S^3_b \) partition function in \([24]\). Our strategy is similar. In fact, since the factorized index is written in terms of the vortex partition functions, the comparison of the indices of a duality pair reduces to that of the vortex partition functions, which is numerically performed in \([23]\). Here we provide an analytic proof of the agreement of the vortex partition functions and that of the perturbative parts as well. Thus, we provide a complete proof of the index agreement. This also clarifies the role of accidental \( R \)-symmetry relating UV and IR quantities. Previously comparisons have been made for some limits of the superconformal index, which correspond to the Hilbert series \([26, 28]\).

### 3.1 SCI under duality

The superconformal index of a 3d \( \mathcal{N} = 4 \) theory can be defined as

\[
I(x, t_i) = \text{Tr}(-1)^F \exp(-\beta'\{Q, S\}) e^{i E + j R_H - RC} \prod_i t_i^{E_i}
\]  \hspace{1cm} (3.1)
where $R_H$ and $R_C$ are the charges of the Cartans of $\text{SO}(4)_R = \text{SU}(2)_H \times \text{SU}(2)_C$ $R$-symmetry and the other variables have the same meaning as in the $\mathcal{N} = 2$ case. The BPS condition is given by
\[
\{Q, S\} = E - R_H - R_C - j \geq 0. \tag{3.2}
\]

It is convenient to set $y = \tau^2$ such that $\tau$ plays the role of the $\text{U}(1)_A$ fugacity in the $\mathcal{N} = 2$ language. The factorized index of a $\mathcal{N} = 4$ theory can be obtained from the $\mathcal{N} = 2$ result by appropriately adjusting fugacities. Firstly we substitute $\tilde{t}_a = t_a^{-1}$ and shift $\tau \rightarrow \tau x^{1/2}$ because the fundamental and antifundamental chiral multiplets form the hypermultiplets whose $\text{U}(1)_R$ charges are fixed to $1/2$. In addition, since the adjoint chiral multiplet is now a part of the $\mathcal{N} = 4$ vector multiplet, which is a triplet of $\text{SU}(2)_C$, it has $\text{U}(1)_R$ charge 1 and the $\text{U}(1)_A$ charge $-2$. There is no $\text{U}(1)_X$ symmetry independently rotating the adjoint chiral multiplet because of the superpotential term $\bar{Q}XQ$. Therefore, we need to substitute $\nu = \tau^{-2}x$. Then it is easy to see that $I_{\text{pert}}$ vanishes if $p_a > 1$ in eq. (2.19). Thus, for a $\mathcal{N} = 4$ theory the nontrivial poles are labeled by the choices of $N_c$ distinct numbers between 1 and $N_f$, which is the same as in the $\mathcal{N} = 2$ case without an adjoint matter [19].

Therefore, the superconformal index of the 3d $\mathcal{N} = 4$ $\text{U}(N_c)$ gauge theory with $N_f$ fundamental matters can be written as follows:
\[
I(x, t, \tau, w) = \sum_{1 \leq b_1 < \ldots < b_N \leq N_f} I^{(b_1)}_{\text{pert}} (x, t) Z^{(b_1)}_{\text{vortex}} (x, t, \tau, w) Z^{(b_1)}_{\text{antivortex}} (x, t, \tau, w) \tag{3.3}
\]

where
\[
I^{(b_1)}_{\text{pert}} (x, t = e^{iM}, \tau) = \left( \prod_{i,j=1}^{N_c} 2 \sinh \frac{iM_{b_i} - iM_{b_j}}{2} \right) \left( \prod_{j=1}^{N_c} \prod_{a \in \{b_j\}} N_f \frac{\bar{b}_j}{a} (t_a t_a^{-1} x^2) \sinh \frac{t_a t_a^{-1} \tau x^2}{2} \right) \prod_{a \in \{b_j\}} N_f \frac{\bar{b}_j}{a} (t_a t_a^{-1} x^2) \sinh \frac{t_a t_a^{-1} \tau x^2}{2}, \tag{3.4}
\]
\[
Z^{(b_j)}_{\text{vortex}} (x, t, \tau, w) = \sum_{n_j \geq 0} w^{N_c - 1} \sum_{n_j \geq 0} \sinh \frac{iM_a - iM_{b_j} + 2\mu + 2\gamma (k - 1/n_j)}{2} \sinh \frac{iM_a - iM_{b_j} + 2\gamma (k - 1/n_j)}{2}, \tag{3.5}
\]
\[
Z^{(b_j)}_{\text{antivortex}} (x, t, \tau, w) = \sum_{n_j \geq 0} w^{N_c - 1} \sum_{n_j \geq 0} \sinh \frac{iM_a - iM_{b_j} + 2\mu + 2\gamma (k - 1/n_j)}{2} \sinh \frac{iM_a - iM_{b_j} + 2\gamma (k - 1/n_j)}{2}, \tag{3.6}
\]
\[
3^{(b_j)}_{(n_j)}(x = e^{-\gamma}, t = e^{iM}, \tau = e^{i\mu}) = \prod_{j=1}^{N_c} N_f \frac{\bar{b}_j}{a} \left( \prod_{i=1}^{N_c} \sinh \frac{iM_a - iM_{b_j} + 2\mu + 2\gamma (k - 1/n_j)}{2} \sinh \frac{iM_a - iM_{b_j} + 2\gamma (k - 1/n_j)}{2} \right). \tag{3.7}
\]

We will show each part is exactly the same as that of the dual theory, the $\text{U}(N_f - N_c)$ theory with $N_f$ fundamental matters possibly with the additional hypermultiplets. Firstly let us consider the perturbative part, $I^{(b_1)}_{\text{pert}}$, which is straightforward to prove. Let us have
a look at the following factor:

$$
\prod_{j=1}^{N_c} \prod_{a=1(\neq b_j)}^{N_f} \left( \frac{t_{b_j} t_a^{-1} x^2; x^2}{t_{b_j}^{-1} t_a; x^2} \right)_\infty.
$$

It can be decomposed into two parts as follows:

$$
\prod_{j=1}^{N_c} \prod_{a=1(\neq b_j)}^{N_f} \left( \frac{t_{b_j} t_a^{-1} x^2; x^2}{t_{b_j}^{-1} t_a; x^2} \right)_\infty = \left( \prod_{i,j=1}^{N_c} \left( \frac{t_{b_i} t_a^{-1} x^2; x^2}{t_{b_i}^{-1} t_a; x^2} \right)_\infty \right) \left( \prod_{j=1}^{N_c} \prod_{a \in \{b_j\}^c} \left( \frac{t_{b_j} t_a^{-1} x^2; x^2}{t_{b_j}^{-1} t_a; x^2} \right)_\infty \right).
$$

Note that the flavor indices are decomposed into $N_c$ flavors and $N_f - N_c$ flavors. Therefore, the perturbative part can be written as

$$
I_{pert}^{\{b_j\}, N_c, N_f} (x, t = e^{iM}, \tau) = \prod_{j=1}^{N_c} \prod_{a \in \{b_j\}^c} \left( \frac{t_{b_j} t_a^{-1} x^2; x^2}{t_{b_j}^{-1} t_a; x^2} \right)_\infty \left( \frac{t_{b_j} t_a^{-1} \tau^2 x^2; x^2}{t_{b_j}^{-1} t_a; x^2} \right)_\infty
$$

$$
= \prod_{a \in \{b_j\}^c} \prod_{j=1}^{N_f - N_c} \left( \frac{t_{b_{j}} t_a^{-1} r^2 x^2; x^2}{t_{b_{j}}^{-1} t_a; x^2} \right)_\infty \left( \frac{t_{b_{j}}^{-1} t_a \tau^2 x^2; x^2}{t_{b_{j}}^{-1} t_a; x^2} \right)_\infty
$$

$$
= I_{pert}^{\{\tilde{b}_j\}, N_f - N_c, N_f} (x, t^{-1} = e^{-iM}, \tau).
$$

where $\{\tilde{b}_j\}$ is defined by $\{\tilde{b}_j\} = \{b_j\}^c$. This shows that the perturbative part with a given choice of $\{b_j\}$ is the same as that of the dual theory with the conjugate choice $\{\tilde{b}_j\} = \{b_j\}^c$.

Now let us examine the vortex part. As a first step, we show the following identity:

$$
Z_{vortex}^{\{b_j\}, N_c, 2N_c} (x, t, \tau, w) = Z_{vortex}^{\{\tilde{b}_j\}, 2N_c, N_c} (x, t^{-1}, \tau, w).
$$

The left hand side is written as

$$
\sum_{n \geq 0} w^n \left( \sum_{n_j \geq 0, \sum n_j = n} 3^{\{b_j\}}(x, t, \tau) \right).
$$

Following the method used for 2d theories [31], one can show that the coefficient of $w^n$ on each side coincides with each other. Firstly one can write down the coefficient of $w^n$ as the
following integral expression:

\[
\sum_{n_j \geq 0, \sum_n_j = n} 3^{\{b_j\}_I} N_e 2^{N_e} (x = e^{-\gamma}, t = e^{iM}, \tau = e^{i\mu})
\]

\[
= \frac{1}{n!} \int_{\phi_I=0}^{2\pi} \left( \prod_{I=1}^{n} \frac{d\phi_I}{2\pi \sinh \gamma} \right) \left( \prod_{I,J=1}^{n} \frac{\sinh \frac{i\phi_I - i\phi_J}{2}}{\sinh \frac{2(1 - \sinh \gamma)}{2}} \right) (\prod_{a \in \{b_j\}_I} \sinh \frac{iM_a - i\phi_I - 2i\mu + 2\gamma}{2}) (\prod_{a \in \{b_j\}_I} \sinh \frac{iM_a - i\phi_I - 2i\mu - 2\gamma}{2})
\]

\[
\times \left( \prod_{a \in \{b_j\}_I} \sinh \frac{i\phi_I + iM_a + \gamma}{2} \right) (\prod_{a \in \{b_j\}_I} \sinh \frac{i\phi_I + iM_a - \gamma}{2})
\]

(3.11)

where we assume \(-2i\mu > \gamma > 0\) and \(M_a\)'s are real. Regarding the integration as a contour integration along the unit circle \(|z| = |e^{i\phi_I}| = 1\), one can apply the residue theorem. Then the relevant poles inside the unit circle are

\[
i\phi_I = \begin{cases} 
  iM_a - \gamma, & a \in \{b_j\}_I, \\
  i\phi_J - 2\gamma, & 1 \leq I(\neq J) \leq n, \\
  i\phi_J + 2i\mu + \gamma, & 1 \leq I(\neq J) \leq n
\end{cases}
\]

(3.12)

However, if the last type of a pole is chosen, the residue becomes zero because either \(\sinh \frac{i\phi_I - i\phi_J - 2i\mu + 2\gamma}{2}\) or \(\sinh \frac{i\phi_I - iM_a - 2i\mu}{2}\) in the numerator vanishes. Therefore, only the first two types of poles can be chosen. Then the intersection point is written as

\[
i\phi_I = iM_{b_j} - (2k - 1)\gamma, \quad k = 1, \ldots, n_j, \quad \sum_{j=1}^{N_e} n_j = n.
\]

(3.13)

Thus, one can check that the above integral gives rise to the original vortex partition function. On the other hand, one can also take the poles from the outside of the unit circle. In that case, the relevant poles are

\[
i\phi_I = \begin{cases} 
  iM_a + \gamma, & a \in \{b_j\}_I^c, \\
  i\phi_J + 2\gamma, & 1 \leq I(\neq J) \leq n, \\
  i\phi_J - 2i\mu - \gamma, & 1 \leq I(\neq J) \leq n
\end{cases}
\]

(3.14)

but again the last type of a pole gives rise to the vanishing residue. Therefore, the nontrivial intersection point is written as

\[
i\phi_I = iM_{c_j} + (2k - 1)\gamma, \quad k = 1, \ldots, n_j, \quad \sum_{j=1}^{N_e} n_j = n
\]

(3.15)

where \(c_j \in \{b_j\}_I^c\). Then one can check that the residue is given by

\[
\sum_{n_j \geq 0, \sum_n_j = n} 3^{\{b_j\}_I^c} N_e 2^{N_e} (x, t^{-1}, \tau)
\]

Thus, we have proven the identity (3.10).
In order to prove the agreement of the vortex part for $N_f < 2N_c$, we consider the large mass behavior of the vortex partition function. Note that we have assumed $|t_a| = 1$; i.e., $M_a$ is on the real line. From now on we analytically continue $M_a$ to the whole complex plane such that its complex part corresponds to real mass of the $a$-th flavor. In appendix B we examine the behavior of the vortex partition function under the large real mass limit. We have two different limits of the vortex partition function depending on whether the large mass flavor is picked from $\{b_j\}$ or from $\{b_j\}^c$:

$$\lim_{iM_2N_c \to \pm \infty} Z_{\text{vortex}}^{\{b_j\},N_c,N_f}(x, t, \tau, w) = Z_{\text{hyper}}(x, \tau, w)Z_{\text{vortex}}^{\{b_j\},N_c-1,N_f-1}(x, t', \tau, w\tau^{\pm 1}x^{\pm 1}),$$

$$\lim_{iM_2N_c \to \pm \infty} Z_{\text{vortex}}^{\{b_j\},N_c,N_f}(x, t, \tau, w) = Z_{\text{vortex}}^{\{b_j\},N_c,N_f}(x, t', \tau, w\tau^{\pm 1}x^{\pm 1})$$

(3.17)

where $Z_{\text{hyper}}$ is the contribution of the free twisted hypermultiplet whose square gives rise to the index of the hypermultiplet: $I_{\text{hyper}}(x, \tau, w) = Z_{\text{hyper}}(x, \tau, w)Z_{\text{hyper}}(x^{-1}, \tau^{-1}, w^{-1})$. $b$ and $a$ are chosen such that $b \in \{b_j\}$ and $a \in \{b_j\}^c$.

Using those results one can find a set of identities for $N_f < 2N_c$. Firstly we assume $2N_c$ is not in $\{b_j\}$. We then take the limit $iM_2N_c \to \infty$ for (3.10). Using (3.17) we observe that the left hand side becomes

$$\lim_{iM_2N_c \to \infty} Z_{\text{vortex}}^{\{b_j\},2N_c,N_f}(x, t, \tau, w) = Z_{\text{vortex}}^{\{b_j\},2N_c-1,N_f-1}(x, t', \tau, w\tau^{-1}x^{\pm 1})$$

(3.18)

where $t' = (t_1, \ldots, t_{2N_c-1})$. On the other hand, for the right hand side we should use (3.16) because $2N_c$ is an element of $\{b_j\}^c$. Thus, the right hand side becomes

$$\lim_{iM_2N_c \to \infty} Z_{\text{vortex}}^{\{b_j\},2N_c,N_f}(x, t, \tau, w) = Z_{\text{hyper}}(x, \tau, w^{-1/2}x^{1/2}) \times Z_{\text{vortex}}^{\{b_j\},2N_c-1,2N_c-1}(x, t^{-1}, \tau, w^{-1}x^{-1})$$

(3.19)

where the additional contribution of one free twisted hypermultiplet appears. Repeating this procedure, we eventually obtain the following identity:

$$Z_{\text{vortex}}^{\{b_j\},N_c,N_f}(x, t, \tau, w) = \prod_{i=1}^{2N_c-1} Z_{\text{hyper}}(x, \tau, w^{-i}x^{i-1/(2i-1)}) \times Z_{\text{vortex}}^{\{b_j\},N_c-1,N_f-1}(x, t, \tau, w\tau^{-1}x^{\pm 1})$$

(3.20)

or equivalently,

$$Z_{\text{vortex}}^{\{b_j\},N_c,N_f}(x, t, \tau, w) = \prod_{i=1}^{2N_c-1} Z_{\text{hyper}}(x, \tau, w^{-2i}x^{2i-1}) \times Z_{\text{vortex}}^{\{b_j\},N_c-1,N_f-2i+1}(x, t, \tau, w\tau^{-2i}x^{2i-1/(2i-1)})$$

(3.21)
Note that the procedure terminates at $N_f = N_c$ because if $N_f = N_c$, $t$ dependency of the identity completely disappears. Thus, the above identity holds for $N_c \leq N_f \leq 2N_c$.

From (3.9) and (3.21), we conclude that the superconformal index of the $\mathcal{N} = 4$ $U(N_c)$ gauge theory with $N_c \leq N_f \leq 2N_c$ flavors satisfies the following identity:

$$I_{N_c,N_f}^{N_c,N_f}(x, t, \tau, w) = I_{N_f-N_c,N_f}^{N_f-N_c,N_f}(x, t^{-1}, \tau, w) \times \prod_{i=1}^{2N_c-N_f} I_{\text{hyper}}(x, \tau, w \tau^{2N_c-N_f-2i+1} x^{-2(2N_c-N_f-2i+1)/2}),$$

(3.22)

which is strong evidence of the Seiberg-like duality for 3d $\mathcal{N} = 4$ theories. Note that a similar identity is observed for the $S^3_b$ partition functions [24]. In addition, one can rewrite the free twisted hypermultiplet part as follows:

$$\prod_{i=1}^{2N_c-N_f} I_{\text{hyper}}(x, \tau, w \tau^{2N_c-N_f-2i+1} x^{-2(2N_c-N_f-2i+1)/2}) = \prod_{i=1}^{2N_c-N_f} I_{\text{hyper}}(x, \tau^{-2N_c+N_f+2i} x^{2N_c-N_f-2i+1}/2, w).$$

(3.23)

Note that if we assign generic $U(1)_A$ and $U(1)_R$ charges $A$ and $R$ to the twisted hypermultiplet, its index is given by

$$I_{\text{hyper}}(x, \tau^{-A} x^{-R+1/2}, w) = \text{PE} \left[ \frac{\tau^A w^{-R} - \tau^{-A} w^{-1} x^{2-R}}{1 - x^{2}} \right] \times \text{PE} [w \leftrightarrow w^{-1}].$$

(3.24)

Thus, (3.23) is the index contribution of free twisted hypermultiplets of the $U(1)_A$ charges $2N_c - N_f - 2i$ and the $U(1)_R$ charges $-(2N_c - N_f - 2i)/2$ with $i = 1, \ldots, 2N_c - N_f$. Comparing with table 2, those charges are exactly the $U(1)_A$ and $U(1)_R$ charges of monopole operators $V_{i-1,\pm}$ in the original theory. Note that the free hypermultiplets carry nonstandard $U(1)_A$ and $R$-charges. Such features are also present in other dualities with accidental symmetries in IR [13]. The peculiar feature of the current example is that some of the operators are carrying negative $R$-charges in UV. Thus, the above computation supports the expectation that monopole operators of negative UV $R$-charges decouple IR.

3.2 Examples

3.2.1 $N_c = N_f$

Let us examine some examples. Firstly we consider the $U(1)$ theory with one flavor. This theory is “ugly”, and its monopole operator has the conformal dimension $1/2$. Its Seiberg-like dual theory is a free theory of one twisted hypermultiplet. From (3.22) one can see that their indices are exactly the same:

$$I^{1,1}(x, 1, \tau, w) = I_{\text{hyper}}(x, \tau, w).$$

(3.25)

Let us have a look at the chiral ring elements, especially the generators, which describe the moduli space of the theory. Candidates of the chiral ring generators of the original theory
Table 2. The global symmetry charges of chiral operators in the $\mathcal{N} = 2$ language. The $U(1)_R$ and $U(1)_A$ charges are given by $R_H + R_C$ and $2R_H - 2R_C$ respectively where $R_H$ and $R_C$ are spins of the SO(4)$_R = SU(2)_H \times SU(2)_C$ $R$-symmetry.

| Operator | $U(1)_R$ | $SU(N_f)$ | $U(1)_A$ | $U(1)_{T}$ |
|----------|----------|-----------|----------|------------|
| $Q$      | $\frac{1}{2}$ | $\bar{N}_f$ | 1        | 0          |
| $\bar{Q}$ | $\frac{1}{2}$ | $N_f$    | 1        | 0          |
| $X$      | 1        | 1         | -2       | 0          |
| $V_{i,\pm}$ | $\frac{1}{2}N_f - N_c + 1 + i$ | 1        | $-N_f + 2N_c - 2 - 2i$ | $\pm 1$ |

are the meson operator $M = Q\bar{Q}$, two monopole operator $V_{0,\pm}$ and the Casimir invariant $\text{tr}X$. However, the meson operator is lifted due to the superpotential term $\bar{Q}XQ$ and there is a nontrivial relation between the monopole operators and the Casimir invariant: $V_{0,\pm}V_{0,-} = \text{tr}X$ [28]. Therefore, the only chiral ring generators are the monopole operators. Under the duality those monopole operators are mapped to the two chiral multiplets in the free twisted hypermultiplet, which are again two chiral ring generators of the dual theory. Their contribution appears in the index as the lowest nontrivial energy term:

$$I^{1,1}(x, 1, \tau, w) = 1 + \sqrt{x} \left( \frac{w}{\tau} + \frac{1}{\tau w} \right) + x \left( \frac{1}{\tau^2} + \frac{w^2}{\tau^2} + \frac{1}{\tau^2 w^2} \right) + O(x^{3/2})$$  \hspace{1cm} (3.26)

In addition, it is known that for a superconformal theory with large enough supersymmetry, the global symmetry as well as the $R$-symmetry can be read off from the superconformal index because the conserved currents form supermultiplets [32–34]. For a $\mathcal{N} = 4$ theory, the global symmetry current forms a supermultiplet whose lowest component is a spacetime scalar in the representation $3 \times \text{adj}$ of SO(4)$_R \times \mathbb{G}$ where $\mathbb{G}$ is the global symmetry of the theory. It is decomposed under $U(1)_R \times U(1)_A \times \mathbb{G} \subset SO(4)_R \times \mathbb{G}$ as $\text{adj}_{1,-1} + \text{adj}_{0,0} + \text{adj}_{-1,1}$ where adj means the adjoint representation of $\mathbb{G}$ while the subscripts denote the charges of $U(1)_R$ and $U(1)_A$. The index captures the BPS sector of that, $\text{adj}_{1,-1}$, whose index contribution is therefore $\tau^{-2} \chi_{\text{adj}}^3$. In the current example, $x^{1}$ term in the superconformal index is $x^{1} \tau^{-2} (w^2 + 1 + w^{-2}) = x^{1} \tau^{-2} \chi_{\text{adj}}^{\text{SU}(2)}$, which forms the character of the SU(2) adjoint representation. It tells us that the $U(1)_T$ symmetry of the original theory is enhanced to SU(2).

Another example is the $U(2)$ theory with two flavors whose dual theory is the free theory of two twisted hypermultiplets. This theory has two pairs of monopole operators of the UV $R$-charges 0 and 1: $V_{0,\pm}, V_{1,\pm}$. It is the simplest example of a “bad” theory, for which there exist monopole operators of unitarity violating UV $R$-charges. Thus, if we compute its index using the UV content, we have infinitely many zero energy terms:

$$I^{2,2}(x, t, \tau, w) = \sum_{n \geq 0} \sum_{\bar{n} \geq 0} w^{n - \bar{n}} + O(x)$$  \hspace{1cm} (3.27)

where the term $w^{n - \bar{n}}$ corresponds to the operator $V_{0,\pm}^n V_{0,-}^\bar{n}$. For this reason, usual perturbative analysis of the index by series expansion is not allowed in this case. Indeed, the
index we compute is not fully refined because there should be additional IR symmetries which are not visible in UV. Nevertheless, since we have the analytic identity (3.22). We can observe that the indices of the original and dual theories coincide if we assign specific global charges to the free twisted hypermultiplets on the dual side:

\[ I_{\text{hyper}}^{2,2}(x, t, \tau, w) = I_{\text{hyper}}(x, \tau, w \tau x^{-\frac{1}{2}}) \times I_{\text{hyper}}(x, \tau, w \tau^{-1} x^{\frac{1}{2}}). \]  

(3.28)

Furthermore, this identity allows us to compare IR symmetry and UV symmetry of the original theory. First of all, since the dual theory is free, its index is completely determined by the UV content although the right hand side of (3.28) is not fully refined. We can introduce fugacities \( w_1 \) and \( w_2 \) for \( U(1)_{B;1} \) and \( U(1)_{B;2} \), each of which rotates each hypermultiplet independently. Then the right hand side of (3.28) can be refined as

\[ I_{\text{hyper}}(x, \tau, w_1) \times I_{\text{hyper}}(x, \tau, w_2). \]  

(3.29)

Due to duality the refined index (3.29) should be that of the original theory. The refined index is the index with the fugacities of all the global symmetry. The index (3.29) is reduced to the unreefined index (3.28) by \( w_1 \rightarrow w_1 x \), \( w_2 \rightarrow w_2 \). Therefore, one can identify the UV \( R \)-charges and global charges with the IR \( R \)-charges and global charges as follows:

\[ R_{\text{UV}} = R_{\text{IR}} - \frac{1}{2} B_{1\text{IR}} + \frac{1}{2} B_{2\text{IR}}, \]  

(3.30)

\[ A_{\text{UV}} = A_{\text{IR}} + B_{1\text{IR}} - B_{2\text{IR}}, \]  

(3.31)

\[ T_{\text{UV}} = B_{1\text{IR}} + B_{2\text{IR}} \]  

(3.32)

where \( R_{\text{UV/IR}} \) and \( A_{\text{UV/IR}} \) are \( U(1)_R \times U(1)_A \) charges in UV/IR; \( T_{\text{UV}} \) is \( U(1)_T \) charge in UV while \( B_{1\text{IR}}, B_{2\text{IR}} \) are \( U(1)_{B;1} \times U(1)_{B;2} \) charges in IR. Note that only the diagonal \( U(1) \) of \( U(1)_{B;1} \times U(1)_{B;2} \), which corresponds to \( U(1)_T \), is visible in UV on the original side. From the above equations, with the standard \( R \)-charge and \( U(1)_A \) assignment of free hypermultiplets, one can read off the original UV charges. For example, one finds that \( R \)-charges of two hypermultiplets are 0, recovering the previous assignments. In addition, we can again read off the global symmetry from the superconformal index. Series expanding (3.29):

\[ I_{\text{hyper}}(x, \tau, w_1) \times I_{\text{hyper}}(x, \tau, w_2) \]

\[ = 1 + \sqrt{x} \left( \frac{w_1}{\tau} + \frac{w_2}{\tau} + \frac{1}{\tau^2 w_2} + \frac{1}{\tau w_1} \right) \]

\[ + x \left( \frac{2}{\tau} + \frac{w_1^2}{\tau^2} + \frac{w_2 w_1^2}{\tau^2} + \frac{1}{\tau^2 w_2} + \frac{1}{\tau^2 w_1} + \frac{w_2}{\tau^2 w_2} + \frac{1}{\tau^2 w_1} \right) \]

\[ + O(x^{3/2}) \]  

(3.33)

one can see that the \( x^1 \) term can be written as \( x \tau^{-2} \chi_{\text{adj}}^{\text{Sp}(2)} \), which represents the BPS sector of the lowest component of the global current supermultiplet. It implies that the theory
has a conserved current in the adjoint representation of Sp(2). Therefore, the enhanced IR symmetry is Sp(2). We already denoted its Cartan as $U(1)_{B,1} \times U(1)_{B,2}$ with the fugacities $w_1, w_2$. For general $N_c = N_f = N$, one can see that the UV global symmetry $U(1)_T$ is enhanced to Sp($N$) in IR.\footnote{We adopt the convention that the rank of Sp($N$) is $N$.}

### 3.2.2 $N_c < N_f < 2N_c - 1$

Let us consider the $U(N_c)$ gauge theory with $N_f$ flavors where $N_c < N_f < 2N_c - 1$. Its dual theory is the $U(N_f - N_c)$ gauge theory with $N_f$ flavors and $2N_c - N_f$ decoupled free twisted hypermultiplets. One can check that the dual theory is a “good” theory. Thus, we expect no additional IR symmetry emerges for the dual theory. Then we are able to write down the refined index of the dual theory by only using the UV content. It is given by

$$I^{N_f-N_c,N_f}(x, t^{-1}, \tau, w) \times \prod_{i=1}^{2N_c-N_f} I_{\text{hyper}}(x, \tau, w_i). \quad (3.34)$$

Again this refined index should be that of the original theory. Comparing with (3.22), the refined index is reduced to the partially refined index (3.22) with $w_i \rightarrow w_i^{2N_c-N_f-i+1}x^{-(2N_c-N_f-2i+1)/2}$. Therefore, the UV charges and IR charges are identified as follows:

$$R^{UV} = R^{IR} - \frac{1}{2} \sum_{i=1}^{2N_c-N_f} (2N_c - N_f - 2i + 1)B^IR_i, \quad (3.35)$$

$$A^{UV} = A^{IR} + \sum_{i=1}^{2N_c-N_f} (2N_c - N_f - 2i + 1)B^IR_i, \quad (3.36)$$

$$T^{UV} = T^{IR} + \sum_{i=1}^{2N_c-N_f} B^IR_i. \quad (3.37)$$

This result is consistent with the general pattern observed in [35]. The monopole operators $V_{i,\pm}$ in the $U(N_c)$ theory are mapped to either free twisted hypermultiplets or the monopole operators $\tilde{V}_{i,\pm}$ in the dual $U(N_f - N_c)$ theory:

$$(V_{i,\pm}, V_{2N_c-N_f-1-i,-}) \leftrightarrow \text{free twisted hypers}, \quad i = 0, \ldots, 2N_f - N_c - 1$$

$$V_{i,\pm} \leftrightarrow \tilde{V}_{N_f-2N_c+i,\pm} \quad i = 2N_c - N_f, \ldots, N_c \quad (3.38)$$

Recall that $T^{IR}$ was absent when $N_c = N_f$. For $N_c \neq N_f$, the UV global symmetry $SU(N_f) \times U(1)_T$ is enhanced to $SU(N_f) \times U(1)_T \times \text{Sp}(2N_c - N_f)$ in IR. For instance, if we consider the $U(3)$ theory with four flavors, its refined index is obtained from the dual
theory index as follows:

\[
I^{1,3}(x, t^{-1}, \tau, w) \times \prod_{i=1}^{2} I_{\text{hyper}}(x, \tau, w_i)
\]

\[
= 1 + \sqrt{x} \left( \frac{w_1}{\tau} + \frac{w_2}{\tau} + \frac{1}{\tau w_2} + \frac{1}{\tau w_1} \right) + x \left( 3\tau^2 + \frac{t_2 \tau^2}{t_1} + \frac{t_3 \tau^2}{t_1} + \frac{t_4 \tau^2}{t_2} + \frac{t_4 \tau^2}{t_2} \right)
+ \frac{t_4 \tau^2}{t_3} + \frac{t_1 \tau^2}{t_2} + \frac{t_1 \tau^2}{t_3} + \frac{t_2 \tau^2}{t_3} + \frac{t_2 \tau^2}{t_4} + \frac{t_3 \tau^2}{t_4} + \frac{3}{\tau^2}
+ \frac{w_1^2}{\tau^2} + \frac{w_2^2}{\tau^2} + \frac{w_1 w_2}{\tau^2} + \frac{w_2}{\tau^2 w_2} + \frac{w_1}{\tau^2 w_1} + \frac{1}{\tau^2 w_1 w_2} + \frac{1}{\tau^2 w_1^2} + \frac{1}{\tau^2 w_2^2} + O \left( x^{3/2} \right).
\]

(3.39)

The \( x^1 \) term is written as \( x(\tau^2 \lambda_{\text{adj}}^{SU(4)} + \tau^{-2} + \tau^{-2} \lambda_{\text{adj}}^{Sp(2)}) \), which indicates that the global symmetry is \( SU(4) \times U(1)_T \times Sp(2) \).

### 4 3d \( \mathcal{N} = 2 \) Seiberg-like duality with an adjoint

The second application of the factorization is the duality of 3d \( \mathcal{N} = 2 \) \( U(N_c) \) gauge theories with \( N_f \) fundamental \( q_a \), \( N_a \) antifundamental \( \bar{q}_a \), one adjoint matter \( X \) and the superpotential \( W = \text{tr}X^{n+1} \). The Chern-Simons coupling \( \kappa \) should satisfy the condition \( \kappa + \frac{N_f + N_a}{2} \in \mathbb{Z} \) due to the quantization of the effective CS coupling. Also we restrict our interest, as in section 2, to the cases with \( |\kappa| \leq \frac{|N_f - N_a|}{2} \). The theory has the global symmetry \( SU(N_f) \times SU(N_a) \times U(1)_A \times U(1)_T \) as well as the \( R \)-symmetry \( U(1)_R \). \( U(1)_X \) doesn’t exist due to the superpotential. The \( U(1)_R \) charge of the adjoint chiral multiplet is fixed to \( \frac{2}{n+1} \).

If \( N_f = N_a \), it has been proposed that the theory has a Seiberg-like dual, \( U(nN_f - N_c) \) gauge theory with \( N_f \) pairs of fundamental \( q_a \) and antifundamental \( \bar{q}^a \), one adjoint \( Y \), and \( n(N_f^2 + 2) \) singlet matters \( M^b_a \) and \( V_{i, \pm} \) where \( i = 0, \ldots, n - 1 \) [15]. The theory has the superpotential

\[
W = \text{tr}Y^{n+1} + \sum_{i=0}^{n-1} M^b_a \bar{q} Y^{n-1-i} q + \sum_{i=0}^{n-1} (V_{i,+} + V_{n-1-i,-} + V_{i,-} + V_{n-1-i,+})
\]

(4.1)

where \( v_{i, \pm} \)’s are the monopole operators of the dual theory with the minimal fluxes. Let us call it KP duality. The global symmetry and charges are summarized in table 3.

#### 4.1 Generalization of KP duality to chiral-like theories

In this subsection, we investigate the generalization of the KP duality for chiral-like theories, which may include the CS coupling under the condition \( |\kappa| \leq \frac{|N_f - N_a|}{2} \).

Recall that the theory with \( N_f = N_a \) and \( \kappa = 0 \) has two \( SU(N_f) \) global symmetries, which we denote by \( SU(N_f)_1 \) and \( SU(N_f)_2 \). The former rotates \( Q \) while the latter rotates \( \bar{Q} \). Considering the combination with the axial symmetry \( U(1)_A \) and the diagonal \( U(1)_G \) of the gauge symmetry, the symmetries can be written as \( SU(N_f)_1 \times SU(N_f)_2 \times U(1)_A \times U(1)_G \).
SU(N_f) × U(N_f) × U(1)_G. Then we will consider a real mass deformation for U(N_f)₂. In particular, denoting the Cartan subgroup of U(N_f)₂ by \( \prod \bar{a}^{\nu} = U(1)_{\bar{a}^{\nu}} \), we turn on real masses of U(1)\(_{\bar{a}^{\nu}}\) for \( \bar{a}^{\nu} = N_a + 1, \ldots, N_f \) so that \( N_f - N_a \) of the antifundamental matters are integrated out. The real mass corresponds to turning on the scalar vev for the background vector multiplet of interest. In this procedure, each charged massive fermion of mass \( m \) generates a CS term at level \( \Delta \kappa = \frac{1}{2} \text{sign}(m) \) for the gauge symmetry. In fact, it can also generate a mixed CS term at level

\[
\Delta \kappa_{ij} = \frac{1}{2} q_i q_j \text{sign}(m)
\]

for each pair of abelian factors of the symmetries labeled by \( i, j \). \( q_i, q_j \) are corresponding abelian charges of the fermion. We want to avoid extra mixed CS terms associated with the residual global symmetries after integrating out the fermions. Thus, we first redefine abelian global symmetries such that the massive fermions are not charged under them:

\[
R_{\text{new}} = R - (\Delta Q - 1) \sum_{\bar{a}^{\nu} = N_a + 1}^{N_f} F_{\bar{a}^{\nu}},
\]

\[
A_{\text{new}} = A - \sum_{\bar{a}^{\nu} = N_a + 1}^{N_f} F_{\bar{a}^{\nu}}
\]

where \( R, A \) are the U(1)\(_R\), U(1)\(_A\) charges and each \( F_{\bar{a}^{\nu}} \) is the U(1)\(_{\bar{a}^{\nu}}\) charge. Then the new charges of \( Q \) and \( \bar{Q} \) are given in table 4. In this way, one can avoid the mixed CS terms associated with the global symmetries U(1)\(_R\)\(_{\text{new}}\) × U(1)\(_A\)\(_{\text{new}}\) × U(1)\(_T\) × SU(N_f)₁ × SU(N_f)₂.

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**Table 3.** The global symmetry charges for the KP duality.
SU($N_f$). However, the mixed CS terms associated with the abelian factors of SU($N_f$)$_2$ are unavoidable. The massive fermions generate extra mixed CS terms between U(1)$_{\tilde{a}}$ and U(1)$_{\tilde{b}}$'s, which look like shifts of the FI coupling in the low-energy theory. Thus, we introduce a bare FI coupling to the theory so that its low-energy theory doesn’t have the FI term. In particular, if we turn on $N_+$ positive real masses and $N_-$ negative real masses for antifundamental matters, the low-energy effective theory is a U($N_c$); $N_f$ theory with $N_a = N_f + N_+ - N_-$ antifundamental matters as well as the $N_f$ fundamental and one adjoint matters. The CS and FI couplings $\kappa, \zeta$ are given by

$$\kappa = \frac{1}{2}(N_+ - N_-), \quad \zeta = \zeta_0 - \frac{1}{2} \left( \sum_{b' = N_a + 1}^{N_a + N_+} m_{\tilde{b}'} - \sum_{b' = N_a + N_+ + 1}^{N_f} m_{\tilde{b}'} \right)$$

(4.5)

where $\zeta_0$ is the bare FI coupling and $m_{\tilde{b}'}$ is real mass for U(1)$_{\tilde{b}'}$, which is positive for $\tilde{b}' = N_a + 1, \ldots, N_a + N_+$ or negative for $\tilde{b}' = N_a + N_+ + 1, \ldots, N_f$. Thus, by taking a bare

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9The N=2 SUSY completion of the mixed Chern-Simons term $A_{\tilde{a}} \wedge F_{U(1)_{\tilde{a}}}$ has the term $\sigma_{\tilde{a}} D_{U(1)_{\tilde{a}}} \sim m D_{U(1)_{\tilde{a}}}$ where $A_{\tilde{a}}$ and $\sigma_{\tilde{a}}$ are respectively the vector potential and scalar of $N = 2$ background vector multiplet of U(1)$_{\tilde{a}}$. 

---

Table 4. The new symmetry charges for the KP duality. The indices $\tilde{a}', \tilde{b}'$ and $\tilde{b}$ run over $\tilde{a}', \tilde{b}' = N_a + 1, \ldots, N_f$ and $\tilde{b} = 1, \ldots, N_a$. We will turn on real masses for $\prod_{\tilde{a}' = N_{\tilde{a}} + 1}^{N_f} U(1)_{\tilde{a}'}$. 

|                  | U(1)$_{\tilde{a}}^{\text{new}}$ | SU($N_f$) | SU($N_a$) | U(1)$_{\tilde{a}}$ | U(1)$_{\til{a}}^{\text{new}}$ | U(1)$_T$ |
|------------------|---------------------------------|-----------|-----------|------------------|-------------------------------|----------|
| $Q$              | $\Delta Q$                      | $N_f$     | 1         | 0                | 1                             | 0        |
| $\tilde{Q}^\tilde{b}$ | $\Delta Q$                  | 1         | $N_a$     | 0                | 1                             | 0        |
| $\tilde{Q}^{\tilde{b}'}$ | 1                                 | 1         | 1         | $\delta_{\tilde{a}'\tilde{b}'}$ | 0                     | 0        |
| $X$              | $\frac{2}{n+1}$                 | 1         | 1         | 0                | 0                             | 0        |

Table 4. The new symmetry charges for the KP duality. The indices $\tilde{a}', \tilde{b}'$ and $\tilde{b}$ run over $\tilde{a}', \tilde{b}' = N_a + 1, \ldots, N_f$ and $\tilde{b} = 1, \ldots, N_a$. We will turn on real masses for $\prod_{\tilde{a}' = N_{\tilde{a}} + 1}^{N_f} U(1)_{\tilde{a}'}$. 

| $q_b$            | $\frac{2}{n+1} - \Delta Q$     | 1         | $N_a$     | 0                | -1                             | 0        |
| $q_{\tilde{b}'}$ | $\frac{2}{n+1} - 1$            | 1         | 1         | $-\delta_{\tilde{a}'\tilde{b}'}$ | 0                     | 0        |
| $\tilde{q}$      | $\frac{2}{n+1} - \Delta Q$     | $N_f$     | 1         | 0                | -1                             | 0        |
| $Y$              | $\frac{2}{n+1}$                 | 1         | 1         | 0                | 0                             | 0        |
| $M_{\tilde{b}a}$ | $2 \Delta Q + \frac{2i}{n+1}$  | $N_f$     | $N_a$     | 0                | 2                             | 0        |
| $M_{\tilde{b}'}$ | $\Delta Q + 1 + \frac{2i}{n+1}$ | $N_f$     | 1         | $\delta_{\tilde{a}'\tilde{b}'}$ | 1                     | 0        |
| $V_{i,\pm}$      | $\frac{1}{2} (1 - \Delta Q) (N_f + N_+) + \frac{2i}{n+1} (N_c - 1 - i)$ | 1         | 1         | $-\frac{1}{2}$ | $-\frac{N_f + N_+}{2}$ | $\pm 1$ |
| $V_{i,\pm}$      | $\frac{1}{2} (1 - \Delta Q) (N_f + N_+) + \frac{2i}{n+1} (N_c + 1 + i)$ | 1         | 1         | $\frac{1}{2}$ | $N_f$ | $\pm 1$ |
the low-energy effective theory has the vanishing FI term. There are also mixed CS terms among U(1)’s, whose effect is trivial when the background flux for the symmetries is absent. Note that in this low-energy theory, a gauge invariant bare monopole operator exists only when the effective CS level at $\sigma \to \pm \infty$,

$$\kappa_{\text{eff}}(\sigma \to \pm \infty) = \kappa \pm \frac{N_f - N_a}{2} = \pm N_\pm, \quad (4.7)$$

vanishes; i.e., $N_\pm = 0$. Alternatively the monopole operators for chiral-like theories carry a nonzero zero-point charge, which effectively changes the Chern-Simons level [4].

The real masses in the original theory are translated to real masses in the dual theory dictated by the global symmetries. Table 4 again shows charges under the new symmetries (4.3) in the dual theory. One can see that the fundamental matter $q^\dagger$ has real mass $m_{q^\dagger}$, which is negative for $\hat{b}' = N_a + 1, \ldots, N_a + N_+$ or positive for $\hat{b}' = N_a + N_{+} + 1, \ldots, N_f$. Similarly, the singlet matter $M^i_{\hat{a}}$ has real mass $m_{M^i_{\hat{a}}}$. On the other hand, real masses of $V_\pm$ also include contributions of the FI coupling:

$$m_+ = -\frac{1}{2} \sum_{\hat{b}' = N_a + 1}^{N_f} m_{\hat{b}'} - \zeta_0 = - \sum_{\hat{b}' = N_a + N_{+} + 1}^{N_f} m_{\hat{b}'}, \quad (4.8)$$

$$m_- = -\frac{1}{2} \sum_{\hat{b}' = N_a + 1}^{N_f} m_{\hat{b}'} - \zeta_0 = - \sum_{\hat{b}' = N_a + N_{+} + 1}^{N_f} m_{\hat{b}'}, \quad (4.9)$$

each of which vanishes for $N_- = 0$ or for $N_+ = 0$ respectively.¹⁰ This is consistent with the fact that on the original U($N_c$) theory side, a gauge invariant bare monopole operator exists only when $N_\pm = 0$. The CS and FI couplings in the low-energy theory are

$$\kappa_{\text{dual}} = -\frac{1}{2} (N_+ - N_-) = -\kappa, \quad (4.10)$$

$$\zeta_{\text{dual}} = -\zeta_0 + \frac{1}{2} \left( \sum_{\hat{b}' = N_a + 1}^{N_a + N_+} m_{\hat{b}'} - \sum_{\hat{b}' = N_a + N_{+} + 1}^{N_f} m_{\hat{b}'} \right) = 0. \quad (4.11)$$

Thus, integrating out the massive fields, the low-energy effective theory of the dual theory is a U($nN_f - N_c - \kappa$) theory with $N_a$ fundamental $q$, $N_f$ antifundamental $\bar{q}$, one adjoint $Y$, $nN_f$ $N_a$ singlets $M_i$ and possibly $n$ singlet matters $V_{i, +}$ or $V_{i, -}$ depending on $\kappa$. Again there exists a bare monopole operator in the dual low-energy theory if $N_+ = 0$ or $N_- = 0$.

¹⁰ $-\frac{1}{2} \sum_{\hat{b}' = N_a + 1}^{N_f} m_{\hat{b}'}$ of eq. (4.8) corresponds to the scalar vevs of U(1)$_{\hat{b}' = N_a + 1, \ldots, N_f}$ and $\zeta_0$ is the scalar vev of U(1)$_Y$ vector multiplet. We have the BF term $A_{U(1)_Y} \wedge F_{U(1)_G}$ so that monopole operator is charged under U(1)$_Y$. 

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In particular, when $N^+_+ = 0$, both $V_{i,+}$ and $v_{i,+}$ exist, so the low-energy theory inherits the superpotential terms

$$\sum_{i=0}^{n-1} V_{i,+} v_{n-1-i,-}. \quad (4.12)$$

Those superpotential terms are crucial for the duality because they lift the monopole operators $v_{i,-}$, which do not appear in the original theory. Likewise, when $N^- = 0$, both $V_{i,-}$ and $v_{i,-}$ exist, so the low-energy theory inherits the superpotential terms

$$\sum_{i=0}^{n-1} V_{i,-} v_{n-1-i,+}, \quad (4.13)$$

which lift the monopole operators $v_{i,+}$.

In contrast to the original $U(N_c)$ theory, one should note that there are massive fermions charged under $U(1)_{\text{new}}$ in the dual theory. They generate a mixed CS term between $U(1)_R$ and $U(1)_G$ at level

$$\kappa_{RG} = \frac{n}{n+1} (N^+_+ - N^-) = \frac{2kn}{n+1} \quad (4.14)$$

since the $R$ charge of the fermion partner of $q_{a'}$ is given by $2 \frac{n}{n+1} - 2$. The additional minus sign is due to the negative real mass for $q_{a'}$.

This mixed CS term shifts the $R$-charges of monopole operators by

$$\Delta R = \frac{2 kn}{n+1} T \quad (4.15)$$

where $T$ is the $U(1)_T$ charge.\footnote{Using the operator-state correspondence of the conformal field theory, this can be understood from Gauss’ law for $U(1)_R$ in the presence of mixed Chern-Simons term $A_R \wedge F_{U(1)_G}$ on $\mathbb{R} \times S^2$. Gauss’ law has the form $\kappa_{RG} \text{ Flux}_{U(1)_G} = R$-charge. Since $A_R$ is not dynamical, we do not have to impose the constraint that the right hand side vanishes. Thus, Gauss’ law simply dictates the $R$-charge contribution of the mixed CS term carried by the monopole operator.}

This shift is crucial for the duality. Let us consider the $N^+_+ = 0$ case first. In that case, the dual low-energy theory has the gauge invariant monopole operators $v_{i,-} \sim X^i [1, \ldots, 0]$. Without the shift (4.15), the $R$-charge of a bare monopole state of flux $m$ is determined by \cite{3, 4} \cite{23}

$$\Delta(m) = \frac{1}{2} \sum_{\Phi} (1 - \Delta_\Phi) \sum_{\rho \in R_\Phi} |\rho(m)| - \frac{1}{2} \sum_{\alpha \in G} |\alpha(m)| \quad (4.16)$$

where $\Phi$ denotes every charged chiral multiplet, which is in the representation $R_\Phi$. $\rho$ is a weight of the representation $R_\Phi$ and $\alpha$ is a root of the gauge group $G$. (4.16) implies that the $R$-charges of $v_{i,-}$ are

$$R_{\kappa_{RG}=0} = -\frac{1}{2} (1 - \Delta_\Phi) (N_f + N_a) + \frac{2}{n+1} (N_c + 1 + i) - \frac{n}{n+1} (N_f - N_a). \quad (4.17)$$

With those $R$-charges of $v_{i,0}$, the superpotential terms (4.12) are not available because their $R$-charge, $2 - \frac{n}{n+1} (N_f - N_a) \neq 2$, is anomalous. Indeed, the shift (4.15)

$$\Delta R = \frac{n}{n+1} N^- \quad (4.18)$$

is
exactly cancels the last term in \((4.17)\) and compensates the anomalous \(R\)-charge of the superpotential terms. Thus, the superpotential terms \((4.12)\) are available only in the presence of the mixed CS term \((4.14)\). For the same reason, when \(N_\pm = 0\), the superpotential terms \((4.13)\) are only available in the presence of the mixed CS term \((4.14)\), which shifts the \(R\)-charges of \(v_{i,\pm}\). The shifted \(R\)-charges are in table 4.

In conclusion, assuming \(N_f > N_a\) we propose that

- \(\text{U}(N_c)\) theory with \(N_f\) fundamental \(Q_a\), \(N_a\) antifundamental \(\tilde{Q}^\dagger\), one adjoint \(X\) and the superpotential \(W = X^{n+1}\)

has a Seiberg-like dual description

- \(\text{U}(nN_f - N_c)\) theory with \(N_a\) fundamental \(q_b\), \(N_f\) antifundamental \(\tilde{q}^a\), one adjoint \(Y\), and

\(- nN_fN_a\) singlet matters \(M_i^{\tilde{b}}\) with \(i = 0, \ldots, n - 1\) and the superpotential

\[
W = \text{tr} Y^{n+1} + \sum_{i=0}^{n-1} M_i \tilde{q} Y^{n-1-i} q
\]  

\((4.19)\)

if \(|\kappa| < \frac{N_f - N_a}{2}\).

\(- nN_fN_a + n\) singlet matters \(M_i^{\tilde{b}}\) and \(V_{i,\pm}\) with \(i = 0, \ldots, n - 1\) and the superpotential

\[
W = \text{tr} Y^{n+1} + \sum_{i=0}^{n-1} M_i \tilde{q} Y^{n-1-i} q + \sum_{i=0}^{n-1} V_{i,\pm} v_{n-1-i,\pm}
\]  

\((4.20)\)

if \(\kappa = -\frac{N_f - N_a}{2}\).

\(- nN_fN_a + n\) singlet matters \(M_i^{\tilde{b}}\) and \(V_{i,-}\) with \(i = 0, \ldots, n - 1\) and the superpotential

\[
W = \text{tr} Y^{n+1} + \sum_{i=0}^{n-1} M_i \tilde{q} Y^{n-1-i} q + \sum_{i=0}^{n-1} V_{i,-} v_{n-1-i,-}
\]  

\((4.21)\)

if \(\kappa = \frac{N_f - N_a}{2}\).

The global symmetry and charges are summarized in table 5. The dual theory also has the mixed CS term at level \((4.14)\) between the \(U(1)_R\) \(R\)-symmetry and the diagonal \(U(1)_G\) of the gauge symmetry.\(^1\) The superpotentials \((4.19)-(4.21)\) are crucial for the duality because they lift dual theory operators \(\tilde{q} Y^i q\) and \(v_{i,\pm}\), which do not appear in the original theory. Note that this duality is also a generalization of the Seiberg-like duality for chiral-like theories without an adjoint matter \([29]\). If \(n = 1\), the duality we propose is reduced to that of \([29]\) by integrating out the adjoint matter.

The superconformal indices of KP duality pairs were computed as power series around \(x = 0\) in \([15]\). It was checked for several values of \(N_c, N_f, n\) that those two indices coincide.

\(^1\)There are also mixed CS terms among the global symmetries not associated with the gauge symmetry. However, their effect is trivial when the background flux for the symmetries is absent.
Table 5. The global symmetry charges for the proposed duality for chiral-like theories. The monopole operators $V_{i,\pm}$ and $v_{i,\mp}$ only appear when $\kappa = \frac{N_f - N_a}{2} = 0$.

which provides strong evidence of the duality. However, such comparisons were restricted to the cases satisfying the condition

$$N_f - \frac{2}{n+1} (N_c - 1) > 0 \quad (4.22)$$

because only in those cases, the superconformal indices are analytic at $x = 0$ such that the power series of the indices around $x = 0$ exist. One might think that such cases are enough because only in those case, the monopole operators of the theory have the positive UV $R$-charges. However, as we have seen in the previous $N = 4$ example, the UV $R$-symmetry can be corrected by accidental IR symmetries such that the IR $R$-charges are larger than or equal to 1/2, which do not violate the unitarity. Therefore, we need a tool for testing the cases not satisfying the condition (4.22). Indeed, the factorized index we obtained is such a tool since it doesn’t require analyticity at $x = 0$. We will see that one can compare the factorized indices of a duality pair even if the condition (4.22) is not satisfied.

Furthermore, we investigate exact relations of the factorized indices for the duality we propose above for chiral-like theories. Those relations are nontrivial evidence of the proposed duality.

4.2 SCI under duality

In the presence of the superpotential $W = \text{tr} X^{n+1}$, the adjoint chiral multiplet $X$ has the $R$-charge $\frac{2}{n+1}$ and no other global charge. Let us call that value of the $R$-charge $\delta$. Therefore, in order to obtain the factorized index for this case, we need to substitute $v = x^{\frac{2}{n+1}} = x^\delta$. Then one can easily see that $I_{\text{pert}}$ vanishes if $p_a > n$ in eq. (2.19). Thus,
the superconformal index for this case is given by

\[
I(x, t, \tilde{t}, \tau, x^\delta, w) = \sum_{0 \leq p_a \leq n, \sum p_a = N_c} \mathcal{P}_{\text{pert}} \left( x, t, \tilde{t}, \tau, x^\delta, w \right) Z_{\text{vortex}}^p \left( x, t, \tilde{t}, \tau, x^\delta, w \right) Z_{\text{antivortex}}^p \left( x, t, \tilde{t}, \tau, x^\delta, w \right)
\]

(4.23)

where \( w = \left( -1 \right)^{-\tilde{N}_f - \tilde{N}_a / 2} w \). \( p = (p_1, \ldots, p_{N_f}) \) is a partition of integer \( N_c \) constrained under conditions \( 0 \leq p_a \leq n \) and \( \sum_{a=1}^{N_f} p_a = N_c \). Each component is also given by

\[
\mathcal{P}_{\text{pert}} \left( x, t = e^iM, \tilde{t}, \tau, x^\delta = e^{-\delta} \gamma \right) = \left( \prod_{a,b=1}^{N_f} \prod_{q=1}^{p_a} p_b \right) 2 \sinh \frac{iM_a - iM_b - \delta \gamma (q - r)}{2} \prod_{a,b=1}^{N_f} \prod_{q=1}^{p_a} \left( t_{a} t_{b}^{-1} x^\delta (q - r - 1) + 2 ; x^2 \right)_\infty \prod_{a,b=1}^{N_f} \prod_{q=1}^{p_a} \left( t_{a} t_{b}^{-1} x^\delta (q - r + 1) + 2 ; x^2 \right)_\infty \right).
\]

(4.24)

\[
Z_{\text{vortex}}^p \left( x, t, \tilde{t}, \tau, x^\delta, w \right) = \sum_{n_j \geq 0} \mathcal{N}_{n_j \geq 0} \sum_{\Sigma_{n=0}^{j-1} n_{j}} \mathcal{N}_{(n_j)} \left( x, t, \tilde{t}, x^\delta \right),
\]

(4.25)

\[
Z_{\text{antivortex}}^p \left( x, t, \tilde{t}, \tau, x^\delta, w \right) = \sum_{n_j \geq 0} \mathcal{N}_{n_j \geq 0} \sum_{\Sigma_{n=0}^{j-1} n_{j}} \mathcal{N}_{(n_j)} \left( x^\tau, t^\tau, \tilde{t}^\tau, x^\delta \right),
\]

(4.26)

\[
\mathcal{N}_{(n_j)} \left( x = e^{-\gamma}, t = e^iM, \tilde{t} = e^i\bar{M}, \tau = e^{i\mu}, x^\delta = e^{-\delta} \gamma \right) = e^{-S_{(n_j)} \left( x, t, \tau, u \right)} \left( \prod_{a,b=1}^{N_f} \prod_{q=1}^{p_a} p_b \right) \sum_{n=1}^{n_{(n_j)}} \prod_{k=1}^{N_f} \sinh \frac{iM_{a} - iM_{b} - \delta \gamma (q - r - 1) + 2 \gamma_{k}}{2} \prod_{k=1}^{N_f} \sinh \frac{iM_{a} - iM_{b} - \delta \gamma (q - r + 1) + 2 \gamma_{k}}{2}
\]

\[
\times \left( \prod_{a,b=1}^{N_f} \prod_{q=1}^{p_a} p_b \sum_{n=1}^{n_{(n_j)}} \prod_{k=1}^{N_f} \frac{\sinh iM_{a} - iM_{b} - \delta \gamma (q - r - 1) + 2 \gamma_{k}}{2} \sinh iM_{a} - iM_{b} - \delta \gamma (q - r + 1) + 2 \gamma_{k}}{2} \right),
\]

(4.27)

where

\[
e^{-S_{(n_j)} \left( x, t, \tau, u \right)} = \prod_{b=1}^{N_f} \prod_{r=1}^{p_b} \left( t_{b} \tau u^{r - 1} x^{\Sigma_{n=1}^{n_{(n_j)}} n_{(b,n)}} \right) \kappa^{\Sigma_{n=1}^{n_{(n_j)}} n_{(b,n)}}.
\]

(4.28)

\( n_{(b,n)} \) is a shorthand notation for \( n_{\Sigma_{n=1}^{n_{(n_j)}} n_{(a,n)}} \). Again the prime symbol indicates that the zero factor in the \( q \)-Pochhammer symbol is omitted.
Now we attempt to rephrase the index agreement for a KP duality pair in terms of the factorized index. Each component is mapped under duality as follows:

\[ I_{\text{pert}}^{p,N_c,N_f,N_f} (x, t, \tilde{t}, \tau) = I_{\text{pert}}^{n-p,N_f-N_c,N_f,N_f} (x, t^{-1}, \tilde{t}^{-1}, \tau^{-1}x^\delta) \times \prod_{a,b=1}^{N_f} \prod_{q=1}^{n} \frac{Z_{\text{chiral}} (t_a \tilde{t}_b - 2 \delta(q-1))}{Z_{\text{chiral}} (t_a^{-1} \tilde{t}_b^{-1} \tau - 2 x^2 - \delta(q-1))}, \]  

(4.29)

\[ Z_{\text{vortex}}^{p,N_c,N_f,N_f} (x, t, \tilde{t}, \tau, w) = Z_{\text{vortex}}^{n-p,N_f-N_c,N_f,N_f} (x, t^{-1}, \tilde{t}^{-1}, \tau^{-1}x^\delta, w^{-1}) \times \prod_{i=1}^{n} \frac{Z_{\text{chiral}} (x, w^{-1} - N_f x^{\Delta_i})}{Z_{\text{chiral}} (x, w_T^{-1} N_f x^{2 - \Delta_i})}, \]  

(4.30)

\[ Z_{\text{antivortex}}^{p,N_c,N_f,N_f} (x, t, \tilde{t}, \tau, w) = Z_{\text{antivortex}}^{n-p,N_f-N_c,N_f,N_f} (x, t^{-1}, \tilde{t}^{-1}, \tau^{-1}x^\delta, w^{-1}) \times \prod_{i=1}^{n} \frac{Z_{\text{chiral}} (x, w^{-1} - N_f x^{\Delta_i})}{Z_{\text{chiral}} (x, w^{-1} - N_f x^{2 - \Delta_i})}, \]  

(4.31)

where \( n - p = (n - p_1, \ldots, n - p_N_f). \Delta_i = N_f - \frac{2}{n+1}(N_c - 1 - i) \) is the R-charge of a monopole operator of the original theory. \( Z_{\text{chiral}} \) is defined by

\[ Z_{\text{chiral}} (x, w) = \frac{1}{(w; x^2)^\infty} = \text{PE} \left[ \frac{w}{1 - x^2} \right] \]  

(4.32)

such that the index of a singlet chiral multiplet is written in terms of \( Z_{\text{chiral}} \) as follows:

\[ I_{\text{chiral}} (x, w) = Z_{\text{chiral}} (x, w) \times Z_{\text{chiral}} (x^{-1}, w^{-1}). \]  

(4.33)

The generalization of (4.29) for the chiral version of the KP duality is straightforward. We will provide its explicit form and proof shortly. For (4.30) and (4.31), we will show that the generalizations of them can be obtained by examining large mass behavior of the vortex partition function. Those identities together imply the index agreement for the duality we propose for chiral-like theories.

From the duality we propose, we expect (4.29) is generalized as follows:

\[ I_{\text{pert}}^{p,N_c,N_f,N_a} (x, t, \tilde{t}, \tau) = I_{\text{pert}}^{n-p,N_f-N_c,N_f,N_a} (x, t^{-1}, \tilde{t}^{-1}, \tau^{-1}x^\delta) \times \prod_{a=1}^{N_f} \prod_{b=1}^{N_a} \prod_{q=1}^{n} \frac{Z_{\text{chiral}} (t_a \tilde{t}_b x^\delta(q-1))}{Z_{\text{chiral}} (t_a^{-1} \tilde{t}_b^{-1} \tau - 2 x^2 - \delta(q-1))}. \]  

(4.34)

In order to show the generalized identity (4.34), we start from noticing that the following identity holds:

\[ \left( \prod_{a,b=1}^{N_f} \prod_{q=1}^{p_a} \prod_{r=0}^{p_b-1} (t_a \tilde{t}_b x^\delta(q-r-1) + 2; x^2)^\infty \right) = \left( \prod_{a,b=1}^{N_f} \prod_{q=1}^{p_a} \prod_{r=1}^{p_b} (t_a^{-1} \tilde{t}_b x^\delta(-q+r+1); x^2)^\infty \right) = \left( \prod_{a,b=1}^{N_f} \prod_{q=1}^{p_a} \prod_{r=1}^{p_b} 2 \sinh \frac{i M_a - i M_b - \delta \gamma(q-r)}{2} \right)^{-1}. \]  

(4.35)

\[ - 27 - \]
It cancels out the first factor of $I_{\text{pert}}^p$ so that the remaining factors are simply given by

$$\left( \prod_{a,b=1}^{N_f} \prod_{q=1}^{p_a} \frac{(t_{a}^{-1} t_{b}^{-1} x^\delta(q-p_a+1);x^2)_\infty}{(t_{a}^{-1} t_{b}^{-1} x^\delta(q-p_a);x^2)_\infty} \right) \left( \prod_{a=1}^{N_f} \prod_{b=1}^{N_a} \prod_{q=1}^{p_a} \frac{(t_{a}^{-1} t_{b}^{-1} x^\delta(-q+p_a+1);x^2)_\infty}{(t_{a}^{-1} t_{b}^{-1} x^\delta(-q+p_a);x^2)_\infty} \right).$$

(4.36)

Then let us examine each factor. The first factor can be written as

$$\left( \prod_{a,b=1}^{N_f} \prod_{q=p_a-n+1}^{p_a} \frac{(t_{a}^{-1} t_{b}^{-1} x^\delta(q-p_a+1);x^2)_\infty}{(t_{a}^{-1} t_{b}^{-1} x^\delta(-q+p_a);x^2)_\infty} \right) \left( \prod_{a=1}^{N_f} \prod_{b=1}^{0} \frac{(t_{a}^{-1} t_{b}^{-1} x^\delta(-q+p_a+1);x^2)_\infty}{(t_{a}^{-1} t_{b}^{-1} x^\delta(q-p_a+1);x^2)_\infty} \right)$$

$$= \left( \prod_{a,b=1}^{N_f} \prod_{q=1}^{n-p_a} \frac{(t_{a}^{-1} t_{b}^{-1} x^\delta(q-p_a+1);x^2)_\infty}{(t_{a}^{-1} t_{b}^{-1} x^\delta(-q+p_a);x^2)_\infty} \right) \left( \prod_{a=1}^{N_f} \prod_{b=1}^{n-p_a} \frac{(t_{a}^{-1} t_{b}^{-1} x^\delta(-q+p_a+1);x^2)_\infty}{(t_{a}^{-1} t_{b}^{-1} x^\delta(q-p_a+1);x^2)_\infty} \right)$$

(4.37)

where it is used that the first factor after the first equality is simply 1. It shows that the factor is invariant under the change $t_{a} \rightarrow t_{a}^{-1}$ and $p_{a} \rightarrow n - p_{a}$. This is a crucial feature when we match the indices of a duality pair. Next the second factor is written as

$$\left( \prod_{a=1}^{N_f} \prod_{b=1}^{N_a} \prod_{q=1}^{p_a} \frac{(t_{a}^{-1} t_{b}^{-1} x^\delta(-q+p_a+1);x^2)_\infty}{(t_{a}^{-1} t_{b}^{-1} x^\delta(q-p_a);x^2)_\infty} \right) \left( \prod_{a=1}^{N_f} \prod_{b=1}^{N_a} \prod_{q=1}^{n-p_a} \frac{(t_{a}^{-1} t_{b}^{-1} x^\delta(-q+p_a+1);x^2)_\infty}{(t_{a}^{-1} t_{b}^{-1} x^\delta(q-p_a+1);x^2)_\infty} \right)$$

(4.38)

where the first factor of the right hand side is nothing but the contribution of $n N_f N_a$ singlets $M_{i_a}^b$. Combining the results, we prove the identity (4.34), which supports our proposal.

Now, let us examine large mass behavior of the vortex partition function. Especially we are interested in the cases that real mass of an antifundamental matter goes to $\pm \infty$. Thus, let us choose the $N_f$-th antifundamental matter and take its mass large. We first observe that $3^p_{(n_j)}$ has asymptotic behavior

$$3^p_{(n_j)}(x,t,\tilde{t},\tau) \sim 3^p_{(n)}(x,t,\tilde{t},\tau) \times \prod_{b=1}^{N_f} \prod_{r=1}^{p_b} \prod_{k=1}^{n-r} \left( -\tilde{t}_{N_f}^\dagger \tilde{t}_{N_f}^\dagger \frac{1}{\tau^{r-1} x^{k-1}} \right)^{\pm 1}$$

(4.39)

as $\tilde{M}_{N_f} \rightarrow \pm \infty$ where $\tilde{t}^r = (\tilde{t}_1, \ldots, \tilde{t}_{N_f-1})$. Thus, in order to obtain a regular expression, we also need to scale $\tilde{w}$ such that $\tilde{w} \sim \tilde{t}_{N_f}^{\dagger\frac{1}{2}}$. As a result, the left hand side of (4.30) has
the following large mass limits:

\[
\lim_{iM_{N_f} \to \pm \infty} Z^p_{\text{vortex}}(x, t, \bar{t}, \tau, w, t^{\frac{1}{2}} f, \tau^{\frac{1}{2}} f, x^{\pm \frac{1}{2}}, \bar{t}^{\pm \frac{1}{2}}) = Z^p_{\text{vortex}}(x, t, \bar{t}, \tau, \mp w).
\]  

(4.40)

On the other hand, the right hand side of (4.30) is a little bit complicated because there are additional factors from the singlet chiral multiplets. Firstly we observe that \(Z^{n-p}_{\text{antivortex}}\) has similar limits:

\[
\lim_{iM_{N_f} \to \pm \infty} Z^{n-p,n_{N_f} - p_{N_f}, N_f}_{\text{antivortex}}(x, t, \bar{t}, \tau, w, t^{\frac{1}{2}} f, \tau^{\frac{1}{2}} f, x^{\pm \frac{1}{2}}, \bar{t}^{\pm \frac{1}{2}}) = Z^{n-p,n_{N_f} - p_{N_f}, N_f}_{\text{antivortex}}(x, t, \bar{t}, \tau, \mp w). 
\]  

(4.41)

For the singlet chiral part, it apparently is independent of \(t_{N_f}\), because \(\prod_{a=1}^{N_f} t_{a} = \prod_{a=1}^{N_f} \bar{t}_{a} = 1\). However, when we take the limits \(iM_{N_f} \to \pm \infty\), we should relax that condition by shifting \(\tau \to \tau \prod_{a=1}^{N_f} t_{a} \bar{t}_{a}\) because we utilize a holonomy of \(U(N_f) \sim SU(N_f) \times U(1)_{\lambda}\), not that of \(SU(N_f)\). Therefore, again putting \(w = w' t^{\frac{1}{2}} f, \tau^{\frac{1}{2}} f, x^{\pm \frac{1}{2}}, \bar{t}^{\pm \frac{1}{2}}\), we have the following limit of the singlet chiral part:

\[
\lim_{iM_{N_f} \to \pm \infty} \frac{n}{\prod_{i=1}^{n}} Z^{\text{chiral}}_{\text{antivortex}}(x, w' t^{\frac{1}{2}} f, \tau^{\frac{1}{2}} f, x^{\pm \frac{1}{2}}, \bar{t}^{\pm \frac{1}{2}}, \tau_{N_f}^{1/2}, x^{\pm \frac{1}{2}}) = \prod_{i=1}^{n} Z^{\text{chiral}}(x, w' t^{\frac{1}{2}} f, \tau^{\frac{1}{2}} f, x^{\pm \frac{1}{2}}, \bar{t}^{\pm \frac{1}{2}}, \tau_{N_f}^{1/2}, x^{\pm \frac{1}{2}}) \delta_{\pm,-}^{\text{chiral}, \pm,+}.
\]  

(4.42)

where \(t = \prod_{a=1}^{N_f} t_{a} \bar{t}_{a}\) and \(t' = t/\bar{t}_{N_f}\). \(\delta_{\pm,-}\) and \(\delta_{\pm,+}\) are the Kronecker delta symbol and not related to \(\delta = \frac{2}{n+1}\). Combining the results, we obtain the following relation for \(N_f - 1\) antifundamental matters:

\[
Z^{p,n_{N_f}, N_f - 1}_{\text{vortex}}(x, t, \bar{t}, \tau, \mp w) = Z^{n-p,n_{N_f} - p_{N_f}, N_f}_{\text{antivortex}}(x, t, \bar{t}, \tau, w, t^{\frac{1}{2}} f, \tau^{\frac{1}{2}} f, x^{\pm \frac{1}{2}}, \bar{t}^{\pm \frac{1}{2}}) \delta_{\pm,-}^{\text{chiral}, \pm,+}. 
\]  

(4.43)

Furthermore, repeating this procedure, we finally obtain a relation for \(N_a\) antifundamental matters as follows:

\[
Z^{p,n_{N_f}, N_a, \kappa}_{\text{vortex}}(x, t, \bar{t}, \tau, w) = Z^{n-p,n_{N_f} - p_{N_f}, N_a, \kappa}_{\text{antivortex}}(x, t, \bar{t}, \tau, w, t^{\frac{1}{2}} f, \tau^{\frac{1}{2}} f, x^{\pm \frac{1}{2}}, \bar{t}^{\pm \frac{1}{2}}) \delta_{\pm,-}^{\text{chiral}, \pm,+}. 
\]  

(4.44)
where \( w = (-1)^{-N_f - N_a} \) and \( \Delta_i = \frac{N_f + N_a}{2} - \frac{2}{n+1}(N_c - 1 - i) \). Note that only the the
\( \text{CS} \) coupling \( \kappa \) satisfying \( |\kappa| \leq \frac{N_f - N_a}{2} \) is obtained in this way. The left hand side is the vortex partition function for a theory with \( N_a \) antifundamental matters. The right hand side is the vortex partition function for a \( U(nN_f - N_c) \) theory with \( N_f \) fundamental, \( N_a \) antifundamental, one adjoint and additional singlet matters, or equivalently \( U(nN_f - N_c) \) theory with \( N_a \) fundamental, \( N_f \) antifundamental, one adjoint and additional singlet matters. The appearance of additional singlet matters depends on the values of \( \kappa \pm \frac{N_f - N_a}{2} \).

For (4.31), exactly the same thing happens if we change \( x, t, \tilde{t}, \tau, w \to x^{-1}, t^{-1}, \tilde{t}^{-1}, \tau^{-1}, w^{-1} \). Thus, we have

\[
Z^\text{antivortex}_{N_c, N_f, N_a, \kappa}(x, t, \tilde{t}, \tau, w) = Z^\text{vortex}_{n-1, nN_f - N_c, N_f, N_a, \kappa}(x, t^{-1}, \tilde{t}^{-1}, \tau^{-1}, x^\delta, w^{-1}, x^{-\kappa(2-\delta)})
\times \prod_{i=1}^{n} Z_{\text{chiral}}(x, w^{-1}x^{-\frac{N_f + N_a}{2}x^\Delta_i}x^{\frac{N_f + N_a}{2}x^{2\Delta_i}}x^{-\kappa N_f - N_a, 2\kappa - \kappa } \) (4.45)

For (4.44) and (4.45), it is important to note that \( w^{-1}x^{-\kappa(2-\delta)} \) appears on the right hand side instead of \( w^{-1} \). The extra factor \( x^{-\kappa(2-\delta)} \) indicates the presence of the mixed \( \text{CS} \) term (4.14). Indeed, the identities (4.34), (4.44) and (4.45) together imply the index agreement for the duality we propose for chiral-like theories, which is nontrivial evidence of the proposed duality. We carry out heavy numerical checks for the identities (4.44) and (4.45).

4.3 Examples

Let us consider some interesting examples.

\( N_c = nN_f \) case. The first example is \( N_c = nN_f \) case. In that case, since the dual gauge group is absent, the dual theory is only described by singlet matters. Those singlet matters would be coupled via a nontrivial superpotential. However, when singlet matters have unitarity violating \( R \)-charges, additional IR symmetries would emerge and correct their unitarity violating \( R \)-charges. Then the superpotential becomes irrelevant such that the theory flows to the free theory in IR. For example, let us consider the \( U(2) \) theory with \( N_f = N_a = 1 \) flavor \( Q, \bar{Q} \), one adjoint \( X \) and the superpotential \( W = X^3 \), which was examined in [15]. Its dual theory is described by singlet matters \( V_{0, \pm}, V_{1, \pm}, M_0, M_1 \) with the superpotential

\[
W \sim V_{1, +}V_{1, -}M_0 + V_{1, +}V_{0, -}M_1 + V_{0, +}V_{1, -}M_1 + V_{0, +}V_{0, -}M_0 + V_{0, +}V_{0, -}M_1 + (V_{0, +}V_{0, -}M_0)^3. \] (4.46)

Preserving that superpotential, those six matters cannot have \( R \)-charges larger than or equal to 1/2 simultaneously, which is however required due to the unitarity. In fact, we expect that in IR new symmetries emerge and mix with the \( R \)-symmetry such that their IR \( R \)-charges become 1/2. Then those matters must be free and accordingly the superpotential is also irrelevant.
There are many other examples that the dual gauge group is absent and some singlet matters have even negative $R$-charges. For example, the $U(nN_f)_{0}$ theory with $N_f = N_a \geq \frac{2}{n-1}$ flavors has monopole operators of zero or negative $R$-charges. Even in that case, one can check the index agreement using the factorized index we obtained. Indeed, we have checked many of such cases for several values of $N_f$ and $n$. Thus, we expect, in those cases, the theories flow to free theories in IR.

$N_c = 1$ case. Another interesting example is $N_c = 1$ case. In that case, the original theory is an abelian theory while the dual theory is the $U(nN_f - 1)_{-\kappa}$ theory. However, the adjoint matter is perturbatively decoupled from the abelian gauge theory. If we assume there is no nonperturbative effect coupling the adjoint matter to the gauge interacting sector, the theory is decomposed into two sectors decoupled from each other: the gauge interacting sector and the singlet matter sector with the superpotential $W = X^{n+1}$. Interestingly the gauge interacting sector has another Seiberg-like dual description with the gauge group $U(N_f - 1)_{-\kappa}$ [29], which is also known as the Aharony duality if $\kappa = N_f - N_a = 0$ [6]. Therefore, we have three different UV theories flowing to the same IR fixed point:

- $U(1)_{\kappa}$ gauge theory with $N_f$ fundamental and $N_a$ antifundamental matters + a decoupled matter $X$ with the superpotential $W = X^{n+1}$.
- $U(nN_f - 1)_{-\kappa}$ gauge theory with $N_a$ fundamental, $N_f$ antifundamental, one adjoint matters; additional singlet matters and a superpotential determined by (4.19)–(4.21).
- $U(N_f - 1)_{-\kappa}$ gauge theory with $N_a$ fundamental, $N_f$ antifundamental matters; additional singlet matters and a superpotential determined by (4.19)–(4.21) with $n = 1$ with a decoupled matter $X$ with the superpotential $W = X^{n+1}$.

We have checked for several values of $\kappa, N_f, N_a$ and $n$ that the three indices of them coincide.

A Detailed computations for factorization

In appendix A we examine the detailed computation to obtain the factorized form of the 3d $\mathcal{N} = 2$ superconformal index in the presence of an adjoint matter. As explained in section 2, the strategy is that we explicitly compute the contour integral (2.3)

$$I(x, t, \tilde{t}, \tau, v, w) = \sum_{m \in \mathbb{Z}N_c/S_{N_c}} \frac{1}{|W_m|} \oint_{|z_j|=1} \left( \prod_{j=1}^{N_c} \frac{dz_j}{2\pi i z_j} \right) e^{-\text{SCS}(z,m)w} \sum_{j=1}^{m_j} Z_{\text{vector}}(x, z, m)Z_{\text{chiral}}(x, t, \tilde{t}, \tau, v, z, m)$$

by evaluating the residue at the following type of a pole:

$$z_j = t^{-1}l^{-1}u^{-l_j+1}x^{-\sum_{n=0}^{j-1} (|m_{p^{(j)}_{n}}-m_{p^{(j)}_{n+1}}| + 2k_{p^{(j)}_{n}})}.$$

(A.1)
Each pole is represented by a forest graph which determines $b_j, l_j, p(j)$ and $k_j$. The detailed rules are explained in section 2. Now recall the 1-loop determinant contributions (2.14)–(2.18) of the vector multiplet and the various chiral multiplets:

\[
Z_{\text{vector}}(x, z, m) = \prod_{i<j} \left( 1 - z_i z_j^{-1} x^{m_i - m_j} \right) (1 - z_j z_i^{-1} x^{m_i - m_j}) ,
\]

\[
Z_X(x, v, z, m) = \left( \frac{(v^{-1} x^2; x^2)_\infty}{(v; x^2)_\infty} \right)^{N_c N_v} \prod_{i<j} (x^{-1} v)^{-\left( m_i - m_j \right)} \left( z_j^{-1} z_i^{-1} u^{2+m_i-m_j} x^2 \right)_\infty \times \left( z_j z_i^{-1} u x^{m_i-m_j} x^2 \right)_\infty ,
\]

\[
Z_{Q_a}(x, t, t, z, m) = \prod_{j=1}^{N_c} \left( x^{-1} (-z_j) t_a \right)^{-m_j/2} \left( z_j t_a^{-1} x^{-1} t_j m_j x^2 \right)_\infty ,
\]

\[
Z_{\bar{Q}^\delta}(x, \bar{t}, t, z, m) = \prod_{j=1}^{N_c} \left( x^{-1} (-z_j) -1 t_a \right)^{-m_j/2} \left( z_j t_a^{-1} x^{-1} t_j m_j x^2 \right)_\infty ,
\]

where $X, Q_a, \bar{Q}^\delta$ denote the adjoint, fundamental and antifundamental matters. At the pole (A.1), those 1-loop contributions have the following values:

\[
Z_{\text{vector}}(x, z, m) = \prod_{i<j} \left( 1 - t_i^{-1} t_j^{-1} x^{-1} l_i + t_j^{-1} x^{-1} l_j \right) \left( 1 - t_j^{-1} t_i^{-1} x^{-1} l_j + t_i^{-1} x^{-1} l_i \right) ,
\]

\[
Z_X(x, v, z, m) = \left( \frac{(v^{-1} x^2; x^2)_\infty}{(v; x^2)_\infty} \right)^{N_c N_v} \prod_{i<j} (x^{-1} v)^{-\left( m_i - m_j \right)} \left( \sum_{n=0}^{l_j-1} \left( \sum_{m=0}^{l_i-1} \left( m_i^p \right) - m_j^p \right) \right) \left( \sum_{n=0}^{l_j-1} \left( \sum_{m=0}^{l_i-1} \left( m_i^p \right) + m_j^p \right) \right) \left( \sum_{n=0}^{l_j-1} \left( \sum_{m=0}^{l_i-1} \left( m_i^p \right) + m_j^p \right) \right) ,
\]

\[
Z_{Q_a}(x, t, t, z, m) = \left( \frac{(v^{-1} x^2; x^2)_\infty}{(v; x^2)_\infty} \right)^{N_c N_v} \prod_{i<j} (x^{-1} v)^{-\left( m_i - m_j \right)} \left( \sum_{n=0}^{l_j-1} \left( \sum_{m=0}^{l_i-1} \left( m_i^p \right) - m_j^p \right) \right) \left( \sum_{n=0}^{l_j-1} \left( \sum_{m=0}^{l_i-1} \left( m_i^p \right) + m_j^p \right) \right) \left( \sum_{n=0}^{l_j-1} \left( \sum_{m=0}^{l_i-1} \left( m_i^p \right) + m_j^p \right) \right) ,
\]

\[
Z_{\bar{Q}^\delta}(x, \bar{t}, t, z, m) = \left( \frac{(v^{-1} x^2; x^2)_\infty}{(v; x^2)_\infty} \right)^{N_c N_v} \prod_{i<j} (x^{-1} v)^{-\left( m_i - m_j \right)} \left( \sum_{n=0}^{l_j-1} \left( \sum_{m=0}^{l_i-1} \left( m_i^p \right) + m_j^p \right) \right) \left( \sum_{n=0}^{l_j-1} \left( \sum_{m=0}^{l_i-1} \left( m_i^p \right) + m_j^p \right) \right) \left( \sum_{n=0}^{l_j-1} \left( \sum_{m=0}^{l_i-1} \left( m_i^p \right) + m_j^p \right) \right) .
\]
\[ Z_{Q_n}(x, t, \tau, z, m) = \prod_{j=1}^{N_c} \left( -\sum_{n=0}^{l_j-1} m_n^{j-1} \left( \sum_{n=0}^{l_j-1} \left( (m_n^j + 2k_n^j) t_{b_j} t_a t_j + 1 \right) x^2 \right) \right)^{\infty} \]

\[ \times \left( t_{b_j} t_a^{-1} t_j x^2 + \sum_{n=0}^{l_j-1} \left( m_n^j + m_n^j + 2k_n^j \right) x^2 \right)^{\infty} \]

\[ Z_{Q_{\tilde{n}}}(x, \tilde{t}, \tau, z, m) = \prod_{j=1}^{N_c} \left( -\sum_{n=0}^{l_j-1} m_n^{j-1} \left( \sum_{n=0}^{l_j-1} \left( (m_n^j + m_n^j + 2k_n^j) t_{b_j} \tilde{t}_a t_j - 1 \right) x^2 \right) \right)^{\infty} \]

\[ \times \left( t_{b_j} \tilde{t}_a^{-1} \tilde{t}_j x^2 + \sum_{n=0}^{l_j-1} \left( m_n^j + m_n^j + 2k_n^j \right) x^2 \right)^{\infty} \]

where we have defined \( m_j = m_j - m_{p(j)} \) and use shorthand notations \( m_n^j = m_{p(j)}^n \) and \( k_n^j = k_{p(j)}^n \). The prime symbol indicates that the zero factor in the q-Pochhammer symbol is omitted. Now \( m_j \) and \( k_j \) always appear as a combination of \((m_j + m_j)/2 + k_j \) and \((m_j - m_j)/2 + k_j \). Thus, we define \( n_j = (m_j + m_j)/2 + k_j \) and \( \tilde{n}_j = (m_j - m_j)/2 + k_j \), which will be interpreted as vortex charges.

Let us have a look at the 1-loop contribution of the vector multiplet (A.2). The first monomial factor is written in terms of \( \bar{n}_j \) and \( \bar{\bar{n}}_j \) in a simple way as follows:

\[ x^{-\sum_{n=0}^{l_j-1} m_n^j + \sum_{n=0}^{l_j-1} m_n^j} = x^{-\sum_{n=0}^{l_j-1} (n_j^j - \bar{n}_j^j) + \sum_{n=0}^{l_j-1} (\bar{n}_j^j - \bar{\bar{n}}_j^j)} \]

where \( n_j^j = n_{p(j)}^j \) and \( \bar{n}_j^j = \bar{n}_{p(j)}^j \). The other factors are also written in terms of \( n_j, \bar{n}_j \). Note that the second factor is independent of \( n_j \) while the third factor is independent of \( \bar{n}_j \). Furthermore, we can decompose them into various factors to extract perturbative contributions, which are independent of \( n_j, \bar{n}_j \). For example, the third line is decomposed as follows:

\[ \left( 1 - t_{b_j}^{-1} t_{b_j} t_a^{-1} t_j + l_j x^2 + \sum_{n=0}^{l_j-1} n_j^j + 2 \sum_{n=0}^{l_j-1} \bar{n}_j^j \right) \]

\[ = \left( t_{b_j}^{-1} t_{b_j} t_a^{-1} t_j + l_j x^2 + \sum_{n=0}^{l_j-1} n_j^j + 2 \sum_{n=0}^{l_j-1} \bar{n}_j^j \right)^{\infty} \]

\[ = \left(-t_{b_j}^{-1/2} t_{b_j}^{-1/2} t_a^{-1} (l_j - l_j)/2 x^2 + \sum_{n=0}^{l_j-1} n_j^j + 2 \sum_{n=0}^{l_j-1} \bar{n}_j^j \right) \left( 2 \sinh \frac{iM_{b_j} - iM_{b_j} + iv(l_i - l_j)}{2} \right) \]

\[ \times \left( \prod_{k=1}^{\sum_{n=0}^{l_j-1} n_j^j} \frac{2 \sinh \frac{iM_{b_j} - iM_{b_j} + iv(l_i - l_j) + 2\gamma}{2}}{2 \sinh \frac{iM_{b_j} - iM_{b_j} + iv(l_i - l_j) + 2\gamma}{2} (k_j - 1 - \sum_{n=0}^{l_j-1} n_j^j)} \right) \]

\[ \times \left( \prod_{k=1}^{\sum_{n=0}^{l_j-1} \bar{n}_j^j} \frac{2 \sinh \frac{iM_{b_j} - iM_{b_j} + iv(l_i - l_j) + 2\gamma}{2}}{2 \sinh \frac{iM_{b_j} - iM_{b_j} + iv(l_i - l_j) + 2\gamma}{2} (k_j - 1 - \sum_{n=0}^{l_j-1} \bar{n}_j^j)} \right) \]
where we have used the following identities:\footnote{Recall \((a;q)_n = \prod_{k=0}^{n-1} (1 - aq^k)\).}

\[
\left( t_{b_j}^{-1} t_{b_j} v^{-l_j - l_i} x^{-2} \sum_{n=0}^{l_j-1} n_n^p + 2 \sum_{n=0}^{l_i-1} n_n^q; x^2 \right)_\infty
\]

\[
= \left( t_{b_j}^{-1} t_{b_j} v^{-l_j - l_i} x^{-2} \right)_\infty \times \left( \prod_{k=0}^{l_j-1} n_k^p \right) \left( t_{b_j} t_{b_j} v^{-l_j - l_i} x^{-2} \sum_{n=0}^{l_j-1} n_n^p; x^2 \right)_\infty \left( \sum_{n=0}^{l_j-1} n_n^p \right)
\]

\[
\left( t_{b_j}^{-1} t_{b_j} v^{-l_j - l_i} x^{-2} \sum_{n=0}^{l_j-1} n_n^p + 2 \sum_{n=0}^{l_i-1} n_n^q; x^2 \right)_\infty
\]

\[
= \left( t_{b_j} t_{b_j} v^{-l_j - l_i} x^{-2} \right)_\infty \times \left( \prod_{k=0}^{l_j-1} n_k^p \right) \left( t_{b_j} t_{b_j} v^{-l_j - l_i} x^{-2} \sum_{n=0}^{l_j-1} n_n^p; x^2 \right)_\infty \left( \sum_{n=0}^{l_j-1} n_n^p \right)
\]

The second line of (A.2) gives the same expression but \(i\) and \(j\) are interchanged and \(n_j\) is replaced by \(\bar{n}_j\). As a result the vector multiplet contribution \(Z_{\text{vector}}\) can be written as

\[
Z_{\text{vector}}(x, z, m) = \prod_{i,j=1}^{N_c} \left( 2 \sinh \frac{iM_{b_i} - iM_{b_j} + i\nu(l_i - l_j)}{2} \right) \left( \prod_{k=1}^{l_j-1} n_k^p \right) \left( \prod_{k=1}^{l_i-1} n_k^q \right)
\]

\[
\times \left( \prod_{k=1}^{l_j-1} n_k^p \right) \sinh \frac{iM_{b_j} - iM_{b_j} + i\nu(l_i - l_j) + 2\gamma k}{2} \left( \prod_{k=1}^{l_i-1} n_k^q \right) \sinh \frac{iM_{b_j} - iM_{b_j} + i\nu(l_i - l_j) + 2\gamma k}{2}
\]

\[
\times \left( \prod_{k=1}^{l_i-1} n_k^q \right) \sinh \frac{iM_{b_i} - iM_{b_j} - i\nu(l_i - l_j) + 2\gamma k}{2} \left( \prod_{k=1}^{l_j-1} n_k^p \right) \sinh \frac{iM_{b_i} - iM_{b_j} - i\nu(l_i - l_j) + 2\gamma k}{2}
\]

One can see that it consists of three parts: the \(n_j\), \(\bar{n}_j\)-independent part, the \(n_j\)-dependent part and the \(\bar{n}_j\)-dependent part. The other 1-loop contributions can be written in the same manner. The adjoint matter contribution \(Z_X\) is given by

\[
Z_X(x, v, z, m) = \left( \frac{v^{-1} x^2; x^2}{v; x^2} \right)_\infty \prod_{i,j=1}^{N_c} \left( t_{b_i} t_{b_j} v^{-l_j - l_i} x^{-2} \right)_\infty \left( \prod_{k=1}^{l_j-1} n_k^p \right) \left( \prod_{k=1}^{l_i-1} n_k^q \right)
\]

\[
\times \left( \prod_{k=1}^{l_j-1} n_k^p \right) \sinh \frac{iM_{b_j} - iM_{b_j} + i\nu(l_i - l_j) - 2\gamma k}{2} \left( \prod_{k=1}^{l_i-1} n_k^q \right) \sinh \frac{iM_{b_j} - iM_{b_j} + i\nu(l_i - l_j) - 2\gamma k}{2}
\]

\[
\times \left( \prod_{k=1}^{l_i-1} n_k^q \right) \sinh \frac{iM_{b_i} - iM_{b_j} - i\nu(l_i - l_j) - 2\gamma k}{2} \left( \prod_{k=1}^{l_j-1} n_k^p \right) \sinh \frac{iM_{b_i} - iM_{b_j} - i\nu(l_i - l_j) - 2\gamma k}{2}
\]
where the following identities are used:

\[
(t_{b_i} t_{b_j}^{-1} u_{l_i}^{l_j} - t_{l_j}^{l_i} x^2)^\infty = \left( \sum_{n=0}^{l_j-1} n_i^n - 1 \right) \prod_{k=0}^{l_j-1} \left( -t_{b_k} t_{b_j}^{-1} u_{l_i}^{l_j} - t_{l_j}^{l_i} x^2 \right)^2 \sum_{n=0}^{l_j-1} n_i^n - 2k \right) \left( t_{b_j} t_{b_i}^{-1} u_{l_i}^{l_j} - t_{l_j}^{l_i} x^2 \right)^{l_j-1} n_i^n \sum_{n=0}^{l_j-1} n_i^n - z \right) \right)
\]

Similarly the fundamental and the antifundamental matter contributions $Z_{Q_a}$ and $Z_{\bar{Q}_b}$ are given by

\[
Z_{Q_a}(x, t, \tau, z, m) = \prod_{j=1}^{N_o} \left( -\sum_{n=0}^{l_j-1} \left( n_j^n - \bar{n}_j^n \right) / 2 \right) \left( t_{b_j} t_{b_i}^{-1} u_{l_i}^{l_j} - t_{l_j}^{l_i} x^2 \right)^\infty
\]

\[
\times \left( \prod_{k=1}^{l_j-1} \frac{2 \sinh i M_a - i M_{b_j} - iv(l_j - 1) + 2\gamma k}{2} \right)^{-1} \left( t_{b_j} t_{b_i}^{-1} u_{l_i}^{l_j} - t_{l_j}^{l_i} x^2 \right)^\infty
\]

\[
\times \left( \prod_{k=1}^{l_j-1} \frac{2 \sinh -i M_a + i M_{b_j} + iv(l_j - 1) - \gamma k}{2} \right)^{-1}
\]

\[
= \left( t_{b_i} t_{b_j}^{-1} u_{l_i}^{l_j} - t_{l_j}^{l_i} x^2 \right)^\infty
\]
\[ Z_{\hat{\phi}}(x, \tilde{t}, \tau, z, m) = \prod_{j=1}^{N_c} (-1)^{\sum_{n=0}^{j-1} (n_j^a - n_j^b)/2} \left( \frac{t_{b_j}^{-1} \tilde{\tau}^{-1} \tau^{-2} v^{-l_j+1} x^2; x^2}{t_{b_j} \tilde{\tau}^2 v^{-l_j-1}; x^2} \right) \]  
\[ \times \left( \prod_{k=1}^{j-1} 2 \sinh \left( -i \tilde{M}_a - i M_{b_k} - 2i\mu - iv(l_j - 1) + 2\gamma(k - 1) \right) \right) \]  
\[ \times \left( \prod_{k=1}^{j-1} 2 \sinh \left( i \tilde{M}_a + i M_{b_k} + 2i\mu + iv(l_j - 1) - 2\gamma(k - 1) \right) \right) \]  
(A.17)

where the following identities are used:

\[ \left( t_{b_j} t_{a}^{-1} v^{-l_j-1} x^2; x^2 \right) \]  
\[ \times \left( \prod_{k=0}^{j-1} t_{b_j}^{-1} t_{a}^{-1} v^{-l_j+1} x^{-2-2k} \right) \]  
\[ \left( t_{b_j}^{-1} t_{a}^{-1} \tilde{\tau}^{-2} v^{-l_j+1} x^{-2-2k} \sum_{n=0}^{j-1} \tilde{a}^n_j \right) \]  
(A.18)

\[ \left( t_{b_j} t_{a}^{-1} v^{-l_j+1} x^2; x^2 \right) \]  
\[ \times \left( \prod_{k=0}^{j-1} t_{b_j}^{-1} t_{a}^{-1} v^{-l_j+1} x^{-2-2k} \right) \]  
\[ \left( t_{b_j}^{-1} t_{a}^{-1} \tilde{\tau}^{-2} v^{-l_j+1} x^{-2-2k} \sum_{n=0}^{j-1} \tilde{a}^n_j \right) \]  
(A.19)

\[ \left( t_{b_j}^{-1} \tilde{\tau}^{-1} \tau^{-2} v^{-l_j+1} x^2; x^2 \right) \]  
\[ \times \left( \prod_{k=0}^{j-1} t_{b_j}^{-1} \tilde{\tau}^{-1} \tau^{-2} v^{-l_j+1} x^{-2-2k} \right) \]  
\[ \left( t_{b_j}^{-1} \tilde{\tau}^{-1} \tau^{-2} v^{-l_j+1} x^{-2-2k} \sum_{n=0}^{j-1} \tilde{a}^n_j \right) \]  
(A.20)

\[ \left( t_{b_j} \tilde{\tau}^{-2} v^{-l_j+1} x^2; x^2 \right) \]  
\[ \times \left( \prod_{k=0}^{j-1} t_{b_j} \tilde{\tau}^{-2} v^{-l_j+1} x^{-2-2k} \right) \]  
\[ \left( t_{b_j} \tilde{\tau}^{-2} v^{-l_j+1} x^{-2-2k} \sum_{n=0}^{j-1} \tilde{a}^n_j \right) \]  
(A.21)

Those 1-loop contributions together with the classical contribution

\[ e^{-\mathcal{S}_{\text{CS}}(z,m)} = \prod_{j=1}^{N_c} (-1)^{-\kappa \sum_{n=0}^{j-1} (\tilde{n}_j^a - \tilde{n}_j^b)} \left( t_{b_j} \tilde{\tau}^{-1} \tau^{-1} x^{-\sum_{n=0}^{j-1} (n_j^a + \tilde{n}_j^a)} \right) \]  
(A.22)

are summed over the poles classified by the labeled forest graphs we introduce in section 2 and over all possible monopole fluxes:

\[ \sum_{m \in \mathbb{Z}^V / S_{N_c}} \frac{1}{|W_m|} \sum_{f \in \delta} \frac{1}{N_c !} \sum_{m \in \mathbb{Z}^V / S_{N_c}} \sum_{f \in \delta} \]  
(A.23)
where the arrow means that they are equivalent sums. $|\mathcal{W}_m|$ is the Weyl group order of the residual gauge group left unbroken by the magnetic flux $m$. $\mathcal{F}$ is a set of the labeled forest graphs of ordered $N_c$ nodes in which each root node is labeled by $(j, a_j, k_j)$ while each non-root node is labeled by $(j, k_j)$; $j \in \{1, \ldots, N_c\}$ is the order of each node and $a_j, k_j$ are additional labeling integers in ranges $1 \leq a_j \leq N_f$, $0 \leq k_j$. Since we sum over all possible labelings, we can extract the $k_j$ assignment for each node as an explicit summation:

$$\frac{1}{N_c!} \sum_{m \in \mathcal{N}_c} \sum_{f \in \mathcal{F}} \leftrightarrow \frac{1}{N_c!} \sum_{m \in \mathcal{N}_c} \sum_{g \in \Phi} \sum_{k_j \geq 0}$$

(A.24)

where $\mathcal{F}$ is a set of the labeled forest graphs that the $k_j$ assignment is removed; i.e., each root node is now labeled by $(j, a_j)$ while each non-root node is only labeled by $j$. Now recall $n_j$ and $\bar{n}_j$, which are defined by $n_j = (|m_j| + m_j)/2 + k_j$ and $\bar{n}_j = (|m_j| - m_j)/2 + k_j$ where $m_j = m_j - m_{p(j)}$. One can reorganize the magnetic flux and $k_j$ summations in terms of $n_j$ and $\bar{n}_j$ as follows:

$$\sum_{m \in \mathcal{N}_c} \sum_{k_j \geq 0} \leftrightarrow \sum_{n_j \geq 0} \sum_{\bar{n}_j \geq 0}$$

(A.25)

Thus, we have the following summation equivalent to (A.23):

$$\sum_{m \in \mathcal{N}_c / S_{N_c}} |\mathcal{W}_m| \sum_{f \in \mathcal{F}} \leftrightarrow \frac{1}{N_c!} \sum_{m \in \mathcal{N}_c} \sum_{g \in \Phi} \sum_{n_j \geq 0} \sum_{\bar{n}_j \geq 0}$$

(A.26)

Since each residue factor consists of three parts: the $n_j, \bar{n}_j$-independent part, the $n_j$-dependent part and the $\bar{n}_j$-dependent part, one can write down the index in the following factorized form:

$$I(x, \tilde{t}, \tau, v, w) = \frac{1}{N_c!} \sum_{g \in \Phi} \mathcal{P}_\text{pert}(x, t, \tilde{t}, \tau, v) Z^g_{\text{vortex}}(x, t, \tilde{t}, \tau, v, w) Z^g_{\text{antivortex}}(x, t, \tilde{t}, \tau, v, w)$$

(A.27)

$$\mathcal{P}_\text{pert}(x, t) = e^{iM_{P} - iM_{Q} - i\nu(t - \tilde{t})}$$

(A.28)

$$= \left( \prod_{i,j=1}^{N_c} 2 \sinh \left( \frac{iM_{P} - iM_{Q}}{2} \right) \right) \left( \prod_{i,j=1}^{N_c} \frac{(t_{b_i} t_{a_i}^{-1} t_{b_j}^{-1} x_i^{-2} \cdot x_j^2)_{\infty}}{t_{b_i} t_{a_i}^{-1} t_{b_j}^{-1} x_i^{-2} \cdot x_j^2_{\infty}} \right) \prod_{j=1}^{N_f} \frac{\prod_{a=1}^{N_n} (t_{b_j} t_{a_i}^{-2} t_{b_j}^{-1} x_i^{-2} \cdot x_j^2)_{\infty}}{\prod_{a=1}^{N_n} (t_{b_j} t_{a_i}^{-2} t_{b_j}^{-1} x_i^{-2} \cdot x_j^2_{\infty})}$$

(A.29)

$$Z^g_{\text{vortex}}(x, t, \tilde{t}, \tau, v, w) = \sum_{n_j \geq 0} w^{\sum_{j=1}^{N_c} \sum_{n=0}^{j-1} n_j} \bar{Z}^g_{(n)}(x, t, \tilde{t}, \tau, v, w),$$

(A.30)

$$Z^g_{\text{antivortex}}(x, t, \tilde{t}, \tau, v, w) = \sum_{\bar{n}_j \geq 0} w^{\sum_{j=1}^{N_c} \sum_{n=0}^{j-1} \bar{n}_j} \bar{Z}^g_{(n)}(x^{-1}, t^{-1}, \tilde{t}^{-1}, \tau^{-1}, v^{-1}).$$
$S^g_{(a_j)}(x = e^{-\gamma}, t = e^{iM}, \tilde{t} = e^{i\tilde{M}}, \tau = e^{i\mu}, \nu = e^{i\nu})$

$$
e^{-S^g_{(a_j)}(x,t,\tau,\nu)} \prod_{i,j=1}^{N_c} \prod_{k=1}^{l_j-1} \prod_{i \neq j} \frac{\sinh \frac{iM_{b_k} - iM_{a_j} + i\nu(l_j - l_i) + 2\gamma k}{2}}{\sinh \frac{iM_{b_k} - iM_{a_j} + i\nu(l_j - l_i) + 2\gamma(k - 1 - \sum_{n=0}^{l_i-1} n^n_i)}{2}}$$

$$\times \left( \prod_{j=1}^{N_c} \frac{\sum_{n=0}^{l_j-1} n^n_j}{\prod_{a=1}^{N_f} \sinh \frac{-iM_{a} - iM_{b} - 2i\mu - i\nu(l_j - l_i) + 2\gamma(k - 1)}{2}} \right)$$

$$e^{-S^g_{(a_j)}(x,t,\tau,\nu)} = \prod_{j=1}^{N_c} \left( t_{b_j} T U^{l_j - 1} x \sum_{n=0}^{l_j-1} n^n_j \right) \kappa \sum_{n=0}^{l_j-1} n^n_j \quad \text{(A.31)}$$

where $w = (-1)^{-N_f - N_a} x$ and $n^n_j = n^{a_n(j)}_i, \tilde{n}_n^j = \tilde{n}_{a_n(j)}^j$. The prime symbol indicates that the zero factor in the $\varphi$-Pochhammer symbol is omitted. $\Phi$ is a set of the labeled forest graphs of ordered $N_c$ nodes in which each root node is labeled by $(j, a_j)$ while each non-root node is labeled by $j; j \in \{1, \ldots, N_c\}$ is the order of each node and $a_j \in \{1, \ldots, N_f\}$ is an additional labeling integer for a root node. $b_j, l_j$ and $p(j)$ are determined by the forest graph $g \in \Phi$ as explained in section 2.

Indeed, (A.28) tells us that some of $g$’s have the vanishing residues because $I^g_{pert}$ vanishes if $b_i = b_j$ and $l_i = l_j$ for different $i$ and $j$. In other words, the residue vanishes unless the corresponding $g$ only contains one-branch trees whose root nodes all have distinct $a_j$.

In addition, let us consider a permutation of $a_j$:

$$a_j \rightarrow a'_j = a_{\sigma^{-1}(j)}, \quad \sigma \in S_{N_c}.$$  \hspace{1cm} \text{(A.33)}$$

This permutation changes the residue contribution of a given forest graph $g$, which is a product $I^g_{pert} Z^g_{vortex} Z^g_{antivortex}$. However, one can make those three factors remain unchanged by the subsequent permutation $j \rightarrow j' = \sigma(j)$ because $a'_{j'} = a_{\sigma^{-1}(\sigma(j))} = a_j$. Those permutations of $a_j$ and $j$ are nothing but the following relabelings of the nodes:

$$\begin{align*}
(j, a_j) &\rightarrow (j', a'_{j'}) = (\sigma(j), a_j) \quad \text{for a root node}, \\
(j, a_j) &\rightarrow (j', a_{j'}) = (\sigma(j), a_{j'}) \quad \text{for a non-root node}.
\end{align*}$$

Note that those permutations only change the first slot of each label, i.e., the order of the node. In other words, $I^g_{pert} Z^g_{vortex} Z^g_{antivortex}$ is invariant under the reorderings of the nodes in $g$. Thus, we don’t need to repeat the computation for each equivalent ordering. Instead we choose one representative ordering among $|S_{N_c}| = N_c!$ equivalent orderings and multiply

\footnote{The order of the node is given by a suitable permutation of $1, \ldots, N_c$. One such definition is given at the footnote 4 of the section 2.}

\footnote{We call a tree a one-branch tree if each node has at most one child node.}
its contribution by $N_c!$, which cancels out the factor $1/N_c!$ in (A.27). A convenient choice of ordering is as follows. The ordering starts at the tree whose root node has the smallest $a_j$. The nodes in that tree, which has only one branch, are monotonically ordered starting from the root node; i.e, the order is assigned to each node in the monotonically increasing way following the direction of the branch starting from the root node. Then we move to the tree whose root node has the next smallest $a_j$ and again order the nodes in the monotonic way. Repeating this procedure one can determine the unique ordering of the nodes in a given forest graph. One can describe this ordering in a more formal way. For each $a \in \{1, \ldots, N_f\}$ let us call a tree the $a$-th tree if its root node has $a_j = a$. We also call the total number of the nodes in the $a$-th tree $p_a$. If the $a$-th tree is absent, $p_a = 0$. Then we assign the order

$$j = \sum_{a=1}^{b-1} p_a + n$$

(A.35)

to the level $n$ node in the $b$-th tree. Using this ordering the relevant forest graphs are all organized only in terms of $N_f$-tuples of the form $p = (p_1, \ldots, p_{N_f})$ with $p_a$’s being nonnegative integers satisfying $\sum_{a=1}^{N_f} p_a = N_c$. An $N_f$-tuple $p$ is nothing but a partition of integer $N_c$ into $N_f$ nonnegative integers, or the one-dimensional reminiscence of an $N_f$-colored Young diagram of $N_c$ boxes. One can write down $I_{\text{pert}}$ and $\mathfrak{Z}_{(n_j)}$ in terms of $p$ as

---

Since we haven’t determined $j$ yet, $a_j$ here is understood just as a number in the second slot of the label.
follows:

\[ I_{\text{pert}} (x, t = e^{iM}, \bar{t}, \tau, u = e^{i\nu}) \]

\[ = \left( \prod_{a,b=1}^{N_f} \prod_{q=1}^{p_a} \prod_{r=1}^{p_b} 2 \sinh \frac{iM_a - iM_b + iv(q-r)}{2} \right) \left( \prod_{a,b=1}^{N_f} \prod_{q=1}^{N_a} \prod_{r=1}^{N_b} \left( t_a t_b^{-1} y^{r-1} x^{q-2}; x^2 \right)_\infty \right) \times \left( \prod_{a=1}^{N_f} \prod_{q=1}^{p_a} \prod_{r=1}^{p_b} \prod_{b=1}^{N_f} \left( t_a t_b^{-1} y^{r-1} x^{q-2}; x^2 \right)_\infty \right), \tag{A.36} \]

\[ 3^p_{(n_j)} (x = e^{-\gamma}, t = e^{iM}, \bar{t} = e^{iM}, \tau = e^{i\nu}, u = e^{i\nu}) \]

\[ = e^{-S^p_{(n_j)} (x,t,\tau,u)} \left( \prod_{a,b=1}^{N_f} \prod_{q=1}^{p_a} \prod_{r=1}^{p_b} \prod_{k=1}^{\sum_{n=1}^{\nu} n(b,n)} \left( \prod_{a=1}^{N_f} \prod_{r=1}^{N_a} \sinh \frac{iM_a - iM_b + iv(q-r)+2\gamma (k-1-\sum_{n=1}^{\nu} n(a,n))}{2} \right) \right) \times \left( \prod_{b=1}^{N_f} \prod_{r=1}^{N_b} \prod_{k=1}^{\sum_{n=1}^{\nu} n(b,n)} \sinh \frac{iM_a - iM_b - 2\mu + iv(q-r)+2\gamma (k-1)}{2} \right) \times \left( \prod_{b=1}^{N_f} \prod_{r=1}^{N_b} \prod_{k=1}^{\sum_{n=1}^{\nu} n(b,n)} \frac{\sinh \frac{iM_a - iM_b - 2\mu - iv(q-r)+2\gamma (k-1)}{2}}{\sinh \frac{iM_a - iM_b + iv(q-r)+2\gamma (k-1-\sum_{n=1}^{\nu} n(a,n))}{2}} \right), \tag{A.37} \]

where

\[ e^{-S^p_{(n_j)} (x,t,\tau,u)} = \prod_{a=1}^{N_f} \prod_{r=1}^{p_a} \left( t_b t_a^{-r-1} x^{\sum_{n=1}^{\nu} n(b,n)} \right)^k \sum_{n=1}^{\nu} n(b,n). \tag{A.38} \]

\[ n_{(b,n)} \] is a shorthand notation for \[ n_{(a,b)} + n_{(a,n)} \]. We claim that this is the vortex partition function on \( \mathbb{R}^2 \times S^1 \) of the \( N = 2 \) \( U(N_c) \) gauge theory with \( N_f \) fundamental, \( N_a \) antifundamental and one adjoint matter under the condition \[ |\kappa| \leq \frac{N_f - N_a}{2} \]. Note that the antivortex part is obtained from the vortex partition function by inverting all the fugacities, \( x, t, \bar{t}, \tau, u, w \rightarrow x^{-1}, t^{-1}, \bar{t}^{-1}, \tau^{-1}, u^{-1}, w^{-1} \).

### B Large mass behavior of the vortex partition function

In order to prove the agreement of the vortex part for \( N = 4 \) Seiberg-like duality in section 3, we are interested in the large mass behavior of the vortex partition function of a \( N = 4 \) theory. In this appendix we will choose one of the flavors and take its real mass to infinity; i.e., \( iM_a \to \pm \infty \). One should note that for the vortex partition function the flavors are grouped into two distinct sets: \( \{ b_j \} \) and \( \{ b_j \} \). The large mass behavior of the vortex partition function depends on whether the flavor is selected from \( \{ b_j \} \) or from \( \{ b_j \} \). We first consider the latter case because it is rather simple. If we take the limit \( iM_a \to \pm \infty \) with \( a \in \{ b_j \} \), we have

\[ \frac{2 \sinh \frac{iM_a - iM_b - 2\mu + iv(q-r)+2\gamma (k-\frac{1}{2})}{2}}{2 \sinh \frac{iM_a - iM_b + 2\gamma k}{2}} \to \tau^{\pm \frac{1}{2}} x^{\pm \frac{1}{2}}. \tag{B.1} \]
Therefore,
\[
\lim_{iM_b \to \pm \infty} \mathbf{3}_{\{b\},N_c,N_f}^{\{b\},N_c,N_f} (x = e^{-\gamma}, t = e^{iM}, \tau = e^{i\mu}) = (\tau^{\pm 1} x^{\pm \frac{1}{2}}) \sum_{j} n_j \times \prod_{j=1}^{N_c} \prod_{k=1}^{n_j} \frac{2 \sinh \frac{iM_b - iM_{b_j} + 2i\mu + 2\gamma (k - \frac{1}{2} - n_i)}{2}}{2 \sinh \frac{iM_b - iM_{b_j} + 2\gamma (k - 1 - n_i)}{2}} \times \prod_{a \in \{b\} \setminus \{a\}} \frac{2 \sinh \frac{iM_a - iM_{b_j} - 2i\mu + 2\gamma (k - \frac{1}{2})}{2}}{2 \sinh \frac{iM_a - iM_{b_j} + 2\gamma k}{2}} \right) \]
\[
= (\tau^{\pm 1} x^{\pm \frac{1}{2}}) \sum_{j} n_j \mathbf{3}_{\{b\},N_c,N_f}^{\{b\},N_c,N_f} (x = e^{-\gamma}, t' = e^{iM'}, \tau = e^{i\mu})
\]
where \( \mathbf{3}_{\{b\},N_c,N_f}^{\{b\},N_c,N_f} \) contains \( N_f - 1 \) flavors whose corresponding fugacities are given by \( t' = (t_1, \ldots, t_{a-1}, t_{a+1}, \ldots, t_{N_f}) \). From this relation we learn that in the large mass limit the vortex partition function becomes
\[
\lim_{iM_b \to \pm \infty} Z_{\text{vortex}}^{\{b\},N_c,N_f} (x, t, \tau, w) = Z_{\text{vortex}}^{\{b\},N_c,N_f-1} (x, t', \tau, w \tau^{\pm 1} x^{\pm 1}).
\]
It shows that if we take the large mass flavor from \( \{b\} \), the vortex partition function just reduces to that of \( N_f - 1 \) flavors.

On the other hand, if we now take the \( b \)th flavor with \( b = b_i \in \{b\} \) and take the limit \( iM_b \to \pm \infty \), we have
\[
2 \sinh \frac{iM_b - iM_{b_j} + 2i\mu + 2\gamma (k - \frac{1}{2} - n_i)}{2} \to \tau^{\pm 1} x^{\pm \frac{1}{2}},
\]
\[
2 \sinh \frac{iM_b - iM_{b_j} + 2\gamma (k - 1 - n_i)}{2} \to \tau^{\pm 1} x^{\pm \frac{1}{2}},
\]
\[
2 \sinh \frac{iM_a - iM_{b_j} - 2i\mu + 2\gamma (k - \frac{1}{2})}{2} \to \tau^{\pm 1} x^{\pm \frac{1}{2}},
\]
\[
2 \sinh \frac{iM_a - iM_{b_j} + 2\gamma k}{2} \to \tau^{\pm 1} x^{\pm \frac{1}{2}}
\]
where \( i, j \neq j \) and \( a \in \{b\} \). Then we also have
\[
\lim_{iM_b \to \pm \infty} \mathbf{3}_{\{b\},N_c,N_f}^{\{b\},N_c,N_f} (x = e^{-\gamma}, t = e^{iM}, \tau = e^{i\mu}) = \left( \tau^{\pm 1} x^{\pm \frac{1}{2}} \right) \sum_{j \neq j} n_j + (N_f - 2N_e + 1)n_i \times \left( \prod_{k=1}^{n_i} \frac{2 \sinh \frac{iM_b - iM_{b_j} + 2i\mu + 2\gamma (k - \frac{1}{2} - n_i)}{2}}{2 \sinh \frac{iM_b - iM_{b_j} + 2\gamma (k - 1 - n_i)}{2}} \right) \times \prod_{a \in \{b\} \setminus \{a\}} \frac{2 \sinh \frac{iM_a - iM_{b_j} - 2i\mu + 2\gamma (k - \frac{1}{2})}{2}}{2 \sinh \frac{iM_a - iM_{b_j} + 2\gamma k}{2}} \right) \]
\[
= \left( \tau^{\pm 1} x^{\pm \frac{1}{2}} \right) \sum_{j \neq j} n_j + (N_f - 2N_e + 1)n_i \times 3_{\{b\},N_c-1,N_f}^{\{b\},N_c-1,N_f} (x = e^{-\gamma}, 1, \tau = e^{i\mu}) \times 3_{\{b\},N_c-1,N_f-1}^{\{b\},N_c-1,N_f-1} (x = e^{-\gamma}, t'' = e^{iM''}, \tau = e^{i\mu})
\]
where \( t'' = (t_1, \ldots, t_{b-1}, t_{b+1}, \ldots, t_{N_f}) \). Therefore, the vortex partition function becomes

\[
\lim_{iM_b \to \pm \infty} Z_{\text{vortex}}^{(b_j), N_c, N_f} (x, t, \tau, w) = Z_{\text{vortex}}^{1,1} \left( x, 1, \tau, w; \frac{(2N_c-N_f-1)x \pm (2N_c-N_f-1)/2}{2} \right) = Z_{\text{vortex}}^{1,1} \left( x, t'' \right).
\]

where \( Z_{\text{vortex}}^{1,1} \equiv Z_{\text{vortex}}^{(1),1,1} \) is the vortex partition function of the U(1) theory with one flavor. Using the \( q \)-binomial theorem

\[
\sum_{n \geq 0} \left( \frac{a; q}{(q; q)_n} \right) \frac{z^n}{(z; q)_n} = \left( \frac{a z q}{(z; q)} \right)_{\infty},
\]

one can show that \( Z_{\text{vortex}}^{1,1} \) is written as follows:

\[
Z_{\text{vortex}}^{1,1} (x, 1, \tau, w) = \frac{(\tau w x^2; x^2)_{\infty}}{\left( \frac{\tau^{-1} w x^2; x^2}{x^2} \right)_{\infty}}.
\]

Note that the right hand appears as a part of the superconformal index of a free twisted hypermultiplet. If we call the right hand side \( Z_{\text{hyper}} \), the index of the twisted hypermultiplet can be written as

\[
I_{\text{hyper}} (x, \tau, w) = Z_{\text{hyper}} (x, \tau, w) \times Z_{\text{hyper}} (x^{-1}, \tau^{-1}, w^{-1})
\]

where \( Z_{\text{hyper}} \) is given by

\[
Z_{\text{hyper}} (x, \tau, w) = \frac{(\tau w x^2; x^2)_{\infty}}{\left( \frac{\tau^{-1} w x^2; x^2}{x^2} \right)_{\infty}} = PE \left[ \frac{\tau^{-1} w x^2 - \tau w x^2}{1 - x^2} \right] = Z_{\text{vortex}}^{1,1} (x, 1, \tau, w).
\]

The identity \( Z_{\text{vortex}}^{1,1} = Z_{\text{hyper}} \) reflects the \( \mathcal{N} = 4 \) mirror symmetry, or equivalently the \( \mathcal{N} = 4 \) Seiberg-like duality, between the U(1) theory with one flavor and the free twisted hypermultiplet theory. From (B.8) and (B.12) we conclude that if we take the large mass flavor from \( \{b_j\} \), the vortex partition function reduces to the product of the vortex partition function of the U(\( N_c - 1 \)) theory with \( N_f - 1 \) flavors and \( Z_{\text{hyper}} \) of a free twisted hypermultiplet.

We have observed the two different limits of the vortex partition function. Using those results one can find a set of identities applicable to the \( \mathcal{N} = 4 \) Seiberg-like duality as shown in section 3.

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