A genome wide study of genetic adaptation to high altitude in feral Andean Horses of the páramo

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Abstract

Background: Life at high altitude results in physiological and metabolic challenges that put strong evolutionary pressure on performance due to oxidative stress, UV radiation and other factors dependent on the natural history of the species. To look for genes involved in altitude adaptation in a large herbivore, this study explored genome differentiation between a feral population of Andean horses introduced by the Spanish in the 1500s to the high Andes and their Iberian breed relatives.

Results: Using allelic genetic models and Fst analyses of ~50 K single nucleotide polymorphisms (SNPs) across the horse genome, 131 candidate genes for altitude adaptation were revealed (Bonferoni of \( p \leq 2 \times 10^{-7} \)). Significant signals included the \( EPAS1 \) in the hypoxia-induction-pathway (HIF) that was previously discovered in human studies \( (p = 9.27 \times 10^{-8}) \); validating the approach and emphasizing the importance of this gene to hypoxia adaptation. Strong signals in the cytochrome P450 3A gene family \( (p = 1.5 \times 10^{-7}) \) indicate that other factors, such as highly endemic vegetation in altitude environments are also important in adaptation. Signals in tenuerin 2 \( (TENM2, p = 7.9 \times 10^{-14}) \) along with several other genes in the nervous system (gene categories representation \( p = 5.1 \times 10^{-5} \)) indicate the nervous system is important in altitude adaptation.

Conclusions: In this study of a large introduced herbivore, it becomes apparent that some gene pathways, such as the HIF pathway are universally important for high altitude adaptation in mammals, but several others may be selected upon based on the natural history of a species and the unique ecology of the altitude environment.

Keywords: hypoxia, \textit{Equus}, Hypoxia Inducing Factor, Adaptation, Genomes wide association

Background

In addition to hypoxia and high levels of ultraviolet radiation, many aspects of high altitude environments put strong evolutionary pressures on resident species. Extreme temperature and humidity fluctuation, highly endemic vegetation and fauna, and other biological factors relative to a species’ natural history, such as length or timing of the breeding season or level of population isolation can create strong adaptive pressure. Understanding patterns and timing of genetic adaptations through organisms of different life histories is important to elucidate the commonalities in unique adaptation pathways that occur in extreme environments.

The feral horses of the high Andean páramo were originally brought with the Spanish conquistadors in the 1500s. Although most herds have been extirpated, small groups now called the párameros or cerreros persist in Ecuador’s eastern range between the Cotopaxi volcano and Quilindaña. These horses came predominantly from Andalusia, and had a mixture of Jennet, Andalusia, and Berber ancestry. They quickly adapted to the Andes, interbreeding naturally in small bands in isolation [1], with the consequence that they present an ideal natural experiment in adaptation to high altitude conditions.

In addition to hypoxia, the highly inclement páramo presents other physiologic and metabolic challenges. The páramo is cold and humid with yearly rainfalls between 500 to over 3000 mm. Extreme temperature fluctuations, with diurnal ranges from below freezing to up to 30°C and periods of fog, heavy rain, hail or even snow...
Figure 1 Principal components analysis of ~54 K SNPs for the Andean feral herd and Iberian and European breeds. A. Shows Andean horses and Iberian-origin breeds in the Americas cluster with the Andeans close to Paso finos and the US Mangalarga Marchador (USMM). The Colonial Spanish horses (CSH) and Spanish Barbs (SBBA) on the right on PC2 show a tight relationship, possibly related to the fact that several are known to be either from or descents of the Wilber-Cruse herd. B. The Andean population forms a tight cluster defined by PC3. Other breeds shown include Mustang (US Spanish Mustang Registry and the American Heritage Horse), Galacino (GHBA), Quarter Horse (QH), American Paint Horse; Missouri Fox Trotter (MFT), Lipizzaner (LPZ), Sorraia (some cross listed with Horse of the Americas and the American Sorraia Horse Association), and Paso finos both of the North American Peruvian Horse (NAPHA) variety and from the Paso fino horse association.
dominate a good portion of the day during the rainy season. The dry season is very short. Regular subzero temperatures, high UV radiation, and low pH soils have selected for a highly endemic alpine vegetation primarily consisting of tussock grasses, ground rosettes, dwarf shrubs, cushion plants and giant rosettes such as *Espeletia* and *Puya* [2]. Although *Equus* fossils have been found throughout the Andes, all New World Equids went extinct in the Americas at the end of the Pleistocene [3] and in the high Andes, the remaining large ungulates (i.e. Camelids) shifted their center of distribution to the more temperate puna grasslands to the south [4]. The success of the introduced horses in the northern páramo provides a unique model for looking at extreme hypoxic, thermoregulatory and metabolic adaptive pressure over a relatively short time span of ~200 generations.

Several recent genome wide studies have focused on human adaptation to hypoxia. Comparisons of high-altitude Tibetan populations with low-altitude Han Chinese populations uncovered strong signatures of selection in the hypoxia inducing factor (HIF) response pathway in the region of endothelial PAS domain 1 (*EPAS1*) [5-7]. Several other genes in this pathway were also associated including peroxi-some proliferator-activated receptor-a (*PPARA*) (Tibetans) and egl 9 homolog 1 (*EGLN1*) (Tibetans and Andeans [5-9]), and the protein kinase, AMP-activated a 1 catalytic subunit (*PRKAA1*) (Andeans [10,11]). Selection in the HIF pathway is detected in most human studies; however, several other pathways such as methylation, pathogen resistance, or DNA damage repair do not clearly overlap between study groups [12]. This could reflect differences in evolutionary paths, time scale, or other natural history variables that have not been elucidated yet.

As a large-bodied herbivore, the introduced Andean horse provides a unique comparative perspective for further understanding whether the HIF pathway is a universal target of natural selection for hypoxia, and how time, altitudinal ecosystem, and species life history influence genetic adaptation to extreme environments. This study explores which genomic regions appear to be under selection in the Andean horse, and how these genes relate to previous studies and to the natural history of the horse and the páramo.

**Table 1 Most significant allelic divergences between feral Andean horses and breed out-groups**

| Rank | p-value | Chr | SNP Position | Allele 1 | Allele 2 | P    | Fst | block    | gene   |
|------|---------|-----|-------------|----------|----------|------|-----|-----------|--------|
| 1    |         | 14  | BIEC2-25079 | 12656702 | A        | G    | 7.90 × 10^{-14} | Y 12,646,702-12,746,386 | TENM2* |
| 2    |         | 14  | BIEC2-25080 | 12656714 | A        | G    | 7.90 × 10^{-14} | Y 12,646,702-12,746,386 | TENM2* |
| 3    |         | 13  | BIEC2-207499 | 7283092  | G        | A    | 3.01 × 10^{-8}   | Y 6,917,885-7,474,810 | CYP3A93* |
| 4    |         | 13  | BIEC2-207503 | 7359845  | G        | A    | 1.14 × 10^{-13}  | CYP3A89 |
| 5    |         | 13  | BIEC2-207537 | 7369733  | G        | A    | 1.48 × 10^{-11}  | CYP3A94-97 |
| 6    |         | 23  | BIEC2-625752 | 45817096 | G        | A    | 2.02 × 10^{-13}  | Y 45,807,996-45,855,318 | LINGO2* |
| 7    |         | 23  | BIEC2-625758 | 45845236 | A        | C    | 2.19 × 10^{-8}   | LINGO2* |
| 8    |         | 23  | BIEC2-625761 | 45845318 | A        | G    | 1.36 × 10^{-9}   | LINGO2* |
| 9    |         | 7   | BIEC2-100766 | 75718493 | G        | A    | 2.08 × 10^{-12}  | 75,708,493-75,744,878 | CYP3A89 |
| 10   |         | 7   | BIEC2-100774 | 7574878  | C        | A    | 2.42 × 10^{-10}  | 75,708,493-75,744,878 | UBR3, Sp5 |
| 11   |         | 31  | BIEC2-837371 | 13819214 | C        | A    | 3.48 × 10^{-12}  | 13,793,328-13,819,214 | MYO3B* |
| 12   |         | 18  | BIEC2-412366 | 49758616 | A        | G    | 1.33 × 10^{-8}   | Y 49,492,862-50,094,655 | UBR3, Sp5 |
| 13   |         | 9   | BIEC2-1106047 | 77359939 | G        | A    | 4.73 × 10^{-11}  | 77,356,508-77,367,776 | SLC22A11 |

A * indicates that the SNP listed is within the gene.
Figure 3 (See legend on next page.)
Results and discussion

Population structure

The feral population of horses is made up of several small bands located on lands adjacent to the Cotopaxi National Park, including Hacienda Yanahurco, a private wildlife reserve of ~7300 acres, and other unpopulated land to the south. The populations size fluctuates from ~100 to over 200 individuals due to extreme weather fluctuations and removal of animals by wranglers.

Horses are small in stature and many individuals exhibit the heritable “gaited” trait found in breeds such as the Paso fino or Mangalarga Marchador. A principal compnent analysis indicated that the feral horses form a cluster close to these two breeds in PC1 (Figure 1A), and they are a distinct cluster as defined by PC3 (Figure 1B). Several breeds form tight clusters (e.g. Spanish Barb Breed Association, North American Peruvian horses, Galicinos), indicating either under strong artificial selection and/or a small number of founders. The general arrangement of the clusters reflected relationships illustrated in a larger prior analysis of breeds [13].

Genome wide allelic differentiation

Using Allelic Genetic models for association, 129 SNPs in the feral Andean horses show genetic divergence from the mixed breed sample below the Bonferoni cut-off ($p < 2 \times 10^{-7}$) as shown in the Manhattan plot in Figure 2 ($p$-values given in Additional file 1: Table S2). 131 genes are located within the regions identified by these SNPs that may have been under strong selection once horses were introduced into the Andes. Table 1 lists the most significant ten associations, and each region of interest is discussed in turn below.

TENM2 and other nervous system genes

The most significant divergence in allele frequencies in the Andean horse is found in the TENM2 gene, a member of the teneurin family of type II transmembrane glycoproteins ($p = 7.9 \times 10^{-14}$, Figure 3). This signal is also supported by Fst analysis (Figure 3B). The genes for teneurins are highly conserved across invertebrate and vertebrate species. The region on chromosome 14 in Equus shares...
93.7% sequence identity with human TENM2, and 81.7% with chicken TENM2 (formerly ODZ2).

Teneurin proteins are proposed to regulate gene expression in the nervous system during development [14]. TEMN2 is involved in development of neuronal circuits in the visual system [15] and has been shown to be expressed in the developing limbs, somites, and craniofacial mesenchyme [16].
Further support for the nervous system as a focus of adaptation in the feral Andean horse population is that "neurological system process" was the most significantly represented category in a Gene Ontology Biological Processes analysis (GO:0050877, \( p = 5.1 \times 10^{-5} \)) (Additional file 2: Table S3). Eighteen of 87 genes categorized in GO fell into this category (Table 2), including EPAS1. Hypoxia is implicated in several human central nervous system pathologies such as stroke or neurodegenerative disease and a connection between the HIF pathway and neuronal response to hypoxia has been recognized [17]. 12 of the genes were in sensory perception, particularly olfaction, which may indicate that better sensory abilities are a benefit in the wild where individuals must locate mates, maintain herd structure, avoid predators and find appropriate food sources. In addition developmental

**Figure 5** The placement of significant SNPs within the EPAS1 gene. The \(-\log(p\text{-value})\) for individual SNPs in the region is indicated above the assembly of EPAS1 genes. The green line represents genome wide significance. \(D'\) values are shown. The SNP shown, BIEC2–310909 (rs69041973) was highly significant (\( p = 9.27 \times 10^{-8} \)). This SNP is an intronic SNP with no known function.
and functional genes within the nervous system were significant.

**Cytochrome P450 genes**

Significant frequency divergences occur on chromosome 13 in a region containing several cytochrome P450 (CYP) 3A genes (Figure 4). Three SNPs show significant allele frequency divergence meeting the Bonferroni correction of \( p < 2 \times 10^{-7} \) and three additional SNPs meet a lower cut-off of \( 10^{-6} \) (Bonferroni of \( p < 0.05 \), BIEC2-207561, BIEC2-207603, BIEC2-207605). The SNP BIEC2-207449 is located within CYP3A93; however the entire horse CYP3A gene cluster consists of seven genes and one pseudogene in strong linkage disequilibrium covering a region of \(~115\) Kb. Fst analyses also supported this region as a candidate of selection (Figure 4B).

Cytochrome P450 enzymes are a superfamily of membrane bound heme containing monoxygenases whose function is to catalyze the oxidation of organic substances. Best known for their role in drug metabolism, CYPs also are involved in hormone synthesis and breakdown, cholesterol synthesis, vitamin D metabolism, and metabolism of toxic compounds. The horse CYP3A gene cluster is more extensive than the human CYP cluster. It has been suggested that the horse CYP gene cluster is more complex because horses must digest a range of plant toxins in different habitats [18]. In the páramo ~60% of plant species are endemic and include largely bunchgrasses, shrubs, mosses and lichens which are highly evolved, likely in response to high UV, low pH soil and temperature and humidity fluctuation. This vegetation would differ greatly from that originally found in the habitats of the founding horses. It should be noted that members of this gene family were found in genome-wide hypoxia adaptation studies of Tibetan human populations [5] and frogs [19] and experimental data from rabbits indicate hypoxemia up-regulates the expression of CYP3A6 [20].

**Replication of associations between EPAS1 and life at high altitude**

EPAS1 has been found to be associated with altitude in several studies of Tibetans [5-7,21]. EPAS1 encodes a transcription factor involved in the induction of genes regulated by oxygen, which is induced as oxygen levels fall. In the horse, BIEC2–310909 (rs69041973) was highly significant (\( p = 9.27 \times 10^{-8} \)) as shown in Figure 5. This SNP is an intronic SNP with no known function. It has been suggested that in Tibetans, the association between EPAS1 intronic SNP with no known function. It has been suggested that in Tibetans, the association between EPAS1 intronic SNP with no known function. It has been suggested that in Tibetans, the association between EPAS1 and lower Hb levels is an adaptive response that lowers hypoxia-induced erythropoiesis in Tibetans such that the negative effects of this hypoxia response do not occur [6,22]. A more in-depth follow-up study will be needed to confirm the result and elucidate the exact mechanism for the difference, but the commonality of this gene association in horses with the studies in humans is intriguing.

**Other signals**

Other genes with high allelic frequency differences within the Andean herd include LING02, which was recently associated with BMI [23] and Parkinson disease [24,25], and a region containing ubiquitin protein ligase E3 component n-recognin 3 (UBR3), Sp5 transcription factor, glutamate decarboxylase 1 (GAD1) and myosin IIIB (MYO3B). Solute carrier family 22 (organic anion/urate transporter), member 11 (SLC22A11) (\( p = 5.7 \times 10^{-8} \)) is part of the SLC22A gene family that mediates the absorption, distribution, and excretion of a diverse array of environmental toxins [26].

Most Fst signals reflected the significant association signals. The highest region of allele frequency divergence as measured by Fst was observed on chromosome 11 (Figure 6), and contained the following genes: TOM1L1, COX11, STXBP4, HLF, MMD, TMEM100, and PCTP. The Fst data was corroborated by a highly significant association found between COX11/STXBP4 (\( p = 1.44 \times 10^{-9} \)). These two genes have been explored for their association to human breast cancer in several studies [27-30]. They are in strong LD in both humans and horses. COX11 is a nuclear encoded mitochondrial protein and the terminal component of the mitochondrial respiratory chain. COX11 has been found to be up-regulated in chronic hypoxia of cyanotic patients undergoing repair of heart defects, suggesting a role in dealing with lack of oxygen, possibly by...
acting as an heme biosynthetic enzyme which transports copper to heme A [31,32].

**Conclusions**

Several large allelic divergences between the feral Andean horses and a comparison group of primarily Iberian horse breeds have been observed in this study. Many of the genes identified in this study appear to be biologically relevant, however one cannot rule out type 1 error due to genetic drift or the composition of the comparison group. A recent study of artificial selection in 33 horse breeds found strong selection for aesthetics and performance traits resulted in high homozygosity within breeds and large divergence between breeds [33]. The Andean population was likely founded by a mixed sample of Iberian breeds; however, by combining the descendants of those breeds in the comparison group, many of which have undergone strong subsequent artificial selection since their introduction to the Americas, one effectively makes a comparison to a more heterogeneous out-group. This may increase the potential for false positives. The replication of the significance of the EPAS1 loci suggests that many genes found in this study are the result of strong selection in the wild population. The replication of this signal in a large herbivore in a relatively short evolutionary time span further implicates HIF- response pathway as essential to high altitude. Additional signals in the nervous system and the cytochrome-P450 gene family, which may be in response to the local endemic plants, suggest that high altitude adaptation is complicated, and that life history of the species in question and local ecology of the environment are both important factors in evolutionary adaptation.

**Methods**

**Population samples**

Population samples from the feral horse herd (n = 97) were collected on the southeastern side of the Cotopaxi National Park in Ecuador (0°41′28.97″ S 78°16′44.69″ W) in November 2006 and March 2007 during the annual “round-up” which was started in the late 1990s. DNA in this study is from pulled hair because it is non-evasive and can be collected rapidly; however, blood and small skin clippings were collected when possible and preserved in RNALater (Ambion, Inc). No samples were taken from foals born during the current season to avoid excess stress. The round-up employs Chagras from adjacent villages around the area, it was necessary to estimate relatedness between samples. Of the remaining 52,294, 50,023 SNPs had >2 Mendel Errors based on the 3 trios included in the genotyping. 108 SNPs were excluded with missingness >5% between case and controls. Of the remaining 52,294, 50,023 had a minor allele frequency of >0.01 and were included in subsequent analyses. Of these, 584 (0.01%) were monomorphic within Andeans.

**DNA isolation and genotyping**

DNA was isolated with the Qiagen DNeasy Blood and Tissue Kit (Valencia, CA) following the manufacturer’s protocol with the exception of over-night digestion at 56°C for all samples. The Equine SNP50 BeadChip (Illumina, Inc., San Diego, CA) was used to genotype 50,023 SNPs across the horse genome in 151 horses from the Andean population and related breeds. Average spacing between functional SNPs on the chip is 43.1kB with lower coverage on chromosome X (49.44kB) [34]. The average genotyping completeness in this study was 99.7% (92.0–99.9%).

Initial quality control (QC) was performed in Genome Studio version 2010.3 (Illumina, Inc., San Diego, CA). Of the 54,602 SNPs typed, 2200 were excluded due to <60% Gentrain Scores (n = 917), <95% Call frequency (n = 625), or >3 clusters or low intensity scores (n = 657). No SNPs had >2 Mendel Errors based on the 3 trios included in the genotyping. 108 SNPs were excluded with missingness >5% between case and controls. Of the remaining 52,294, 50,023 had a minor allele frequency of >0.01 and were included in subsequent analyses. Of these, 584 (0.01%) were monomorphic within Andeans.

**Estimations of relatedness and population structure**

Because the samples in this study were collected during a round-up of an unmarked herd over a large montane area, it was necessary to estimate relatedness between samples, and then remove all first order relatives from population analyses. Kinship coefficients were based on 33,483 SNPs pruned to remove those in high linkage disequilibrium (independent pairwise pruning, window size = 50, r² = 0.5) in PLINK version 1.07 [35]. 18 feral
individuals had a kinship coefficient > 0.5, of which 8 individuals were removed from subsequent analyses. Kinship coefficients also identified 9 offspring of an Arabian stallion introduced to the riding herd adjacent to the hacienda in 2006, which were not included in downstream analyses. Kinship coefficient distributions from the samples collected indicate the population is not extremely inbred (Additional file 4: Figure S1).

The population structure of the feral Andean horses and several related breeds were analyzed by principle component analyses in Eigenset 3.0 [36] with LD-pruned dataset (32, 672 SNPs). These data confirmed the half Arabian offspring as well 6 horses originally from the wild herd that were now owned by local Chagras.

**Genome–wide statistical analyses**

Selection was analyzed on two levels. First a SNP-by-SNP allelic model was performed in PLINK (version 1.07) [35] between feral Andean horses (n = 78) and the comparison group to look for significant differences in allele frequency between the horses that have been living at altitude (3500-4500 m) for the past 500 years and founding breeds. P-values were based on the Fisher’s exact test. Allelic models for all SNPs in the analyses are shown in Additional file 5. A Bonferroni correction of \( p < 2 \times 10^{-7} \) used to define genome-wide significance.

A second analysis using sliding window Fst was applied to look for signals of selection [37] within each chromosome with window sizes of 100, 50 and 25 SNPs, which were on average 4.7 ± 0.2, 2.3 ±0.2 and 1.2 ±0.2 Mb in size respectively.

**Gene identification**

Genes within statistically significant regions were identified in the *Equus* assembly equCab2.0 (2007) within the UCSC browser [38,39]. Genes were included if they were within ±10Kb from the significant SNP. In cases where large blocks of LD surrounded the SNP, or several adjacent SNPs were significant, these areas were included in for gene identification. Human and other available reference assemblies were aligned to the horse assembly to assist in identification of candidate genes. A GO functional analysis was performed on the genes found with the GWAS method with Database for Annotation, Visualization and Integrated Discovery (DAVID) v6.7 [40]. P-values were based on the Fisher’s exact test.

**Availability of supporting data**

Genotyping data can be found at https://mynotebook.labarchives.com/shares/Horse%2520SNP%2520chip%2520/My4sfD100TgZLzMyvHJZU5vZGUvMjQ0NhE5MjxXN3w5Ljk=.

**Additional files**

**Additional file 1:** Table S2. SNPs found to be associated with the Andean horse population association using the allelic model at \( p<0.05 \) (Bonferroni \( p<1 \times 10^{-6} \)). Additional analyses were limited to \( p<0.01 \) (Bonferroni \( p<2 \times 10^{-7} \)). * = SNP located in gene.

**Additional file 2:** Table S3. Gene Ontology Biological Processes analysis.

**Additional file 3:** Table S1. Horse samples included in the study. No.=The number of individuals within that group.

**Additional file 4:** Table S4. All results from association tests between the Andean horse population and the outgroup using the allelic model. Fisher’s \( p \)-value. A1=Allele 1, A2= Allele 2.

**Competing interests**

The author declares that she has no competing interests.

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