The SkyMapper DR1.1 Search for Extremely Metal-Poor Stars

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1 INTRODUCTION

The Big Bang produced hydrogen and helium and small amounts of light elements such as lithium: all other chemical elements have their origin in stellar nucleosynthetic processes. Such a simple sentence glosses over the enormous amount of physics that underlies the formation and evolution of stars and galaxies, but with observation and theory we can progress towards understanding these processes in detail. In particular, the stars that formed from the original pristine gas, the so-called Population III stars, are generally thought to have been relatively massive and short-lived (see, for example Bromm 2013, and references therein). The massive stars in this generation ended their lives in supernovae, leaving behind remnants that are now thought to be the seeds of the first galaxies.
nova explosions and enriched the surrounding gas with their nucleosynthetic products. No genuine (long-lived and low mass) Population III star has yet been discovered, but we can learn about the properties of these first-generation stars through the study of element abundances in the second and subsequent generations, as these contain low-mass long-lived stars that are observable at the present day (see, e.g., the review article of Frebel & Norris (2015, and references therein). The rapid rate of star formation at early times in the evolution of the Milky Way with the accompanying rapid increase in stellar metallicity, as well as the Galaxy’s subsequent evolution, means that second-generation stars are exceedingly rare. Yet the search for such stars, which are characterized by extremely low abundances of elements such as iron, relative to the solar abundance, has been a quest for decades.

Previous and on-going spectroscopic and photometric surveys for such metal-poor stars include the HK survey (Beers et al. 1992), the HES (Christlieb et al. 2008; Frebel et al. 2006), the ToPoS survey (Caffau et al. 2013), ‘Best and Brightest’ (Schlaufman & Casey 2014), the EMBLA survey (Howes et al. 2016), and more recently the Pristine Survey (Starkenburg et al. 2017), the SDSS/BOSS/LAMOST surveys (Aguado et al. 2017, 2018a, and references therein), and the J-PLUS survey, with its southern hemisphere equivalent S-PLUS (Whitten et al. 2019, and references therein). However, at the present time only about 30 stars are known with well-established [Fe/H] values that are less than −4.0 (e.g. Abohalima & Frebel 2018), and of these only five have [Fe/H] < −5.0 dex. These are SMSS J0313–6708 (Keller et al. 2014; Bessell et al. 2015; Nordlander et al. 2017), J0023+0307 (Aguado et al. 2018a; Frebel et al. 2019; Aguado et al. 2019) and J0815+4729 (Aguado et al. 2018b), which have upper limits on [Fe/H] of −6.5, −6.3 and −5.8, respectively. HE 1327–2362 with [Fe/H] = −5.5 (Frebel et al. 2005; Aoki et al. 2006) and HE 0107–5240 with [Fe/H] = −5.4 (Christlieb et al. 2002, 2004). With such small numbers it is, for example, difficult to distinguish with any statistical rigour between theoretical models that predict an abundance cutoff and a stochastic distribution at lower abundances, from models that predict an on-going smooth decline in the metallicity distribution function. A larger sample of such stars is clearly required.

As discussed in Keller et al. (2007, see also Wolf et al. (2018)), one of the principal science aims of the SkyMapper Southern Sky Survey 2 of the southern hemisphere sky is the identification of candidate extremely metal-poor (EMP) stars. This is achieved through the inclusion in the SkyMapper filter set of an intermediate-band v filter (λc = 3825Å, FWHM = 310Å) that includes the H- and K-lines of Ca II in the band pass. The temperatures corresponding to F, G, and K spectral types, lower metallicity stars have less blan-

As will be discussed in more detail below, metallicity information can be obtained from SkyMapper photometry via a two-colour diagram in which a metallicity index m1, defined as (v − g)0 − 1.5 (g − i)0 (Keller et al. 2007)4, is plotted against (g − i)0, a proxy for effective temperature.

The search for EMP-stars based on SkyMapper photom-

etry was initiated during the commissioning of the tele-

scope. This ‘commissioning-era’ survey, which is superseded by the current work, led to the discovery of the most iron-poor star known SMSS J031300.36–670839.3 (usually abbreviated to SMSS J0313–6708), which has [Fe/H] < −6.5 (3D, NLTE) (Keller et al. 2014; Bessell et al. 2015; Nordlander et al. 2017). A total of 139 additional Galactic halo EMP candidates from the commissioning-era survey were also follow-up at high dispersion to provide detailed abundance information: 44 stars were shown to have [Fe/H] ≤ −3.0 and 3 have [Fe/H] ≈ −4.0 (Jacobson et al. 2015; Marino et al. 2019). Full descriptions of the derived abundances and abundance ratios for these stars are given and discussed in Jacobson et al. (2015) and Marino et al. (2019). SkyMapper commissioning-era photometry was also employed by Howes et al. (2016) in a search for EMP stars in the Galactic Bulge. The most metal-poor object found has [Fe/H] = −3.94 ± 0.16 based on high dispersion spectroscopic follow-up (Howes et al. 2015). In the present work we describe a new search for EMP-stars, based on SkyMapper data release DR1.15.

The paper is arranged as follows. In the next section we describe the SkyMapper source photometry and the selection process employed to identify photometric EMP-candidates. Included in this section is verification of the selection process via globular cluster and known EMP-stars as well as a brief discussion of its limitations. Section 3 details the low resolution spectroscopic follow-up of photometric candidates, and the derivation of stellar parameters from the spectra. Section 4 describes the metallicity distribution function resulting from the observed sample of stars and the potential biases that result from the selection and observing processes. The results are discussed in §5 while the final section provides a summary and outlines potential future developments in the search process.

2 PHOTOMETRIC SELECTION

We use as the fundamental source for candidates SkyMapper Southern Sky Survey Data Release DR1.1. As detailed at http://skymapper.anu.edu.au/data-release/dr1/#dr1p1_intro, the release contains photometry in all six SkyMapper bands for objects across an area of approximately 17,200 deg2 in the southern hemisphere sky. In particular, DR1.1 is an update to the original DR1 release (which is no longer publicly available) that provided a significant enhancement to the homogeneity of the photometric calibration across the sky. The data come from the Shallow Survey that reaches approximately AB-mag = 18 in the ugriz filters while the limit in the v-band is ~0.5 mag brighter (Wolf et al. 2018).

1 A sixth star, SMSS J160540.18–144323.1 first identified in this work, has also been shown to have [Fe/H] < −5; see Nordlander et al. (2019).
2 see http://skymapper.anu.edu.au
3 Beers & Christlieb (2005) use the terminology ‘extremely’, ‘ul-
tera’ and ‘hyper’ metal-poor to designate stars with [Fe/H] < −3.0, −4.0 and −5.0, respectively. We have not specifically followed this convention, although our usage of ‘extremely metal-poor’ does generally signify [Fe/H] ≤ −3.0.

4 Note that the y-axis label in Fig. 13 of Keller et al. (2007) is incorrect. It should be (v − g)0 − 1.5 (g − i)0.
5 http://skymapper.anu.edu.au/data-release/dr1,
DOI: 10.4225/41/593620ad5b574
limiting magnitudes are not a concern for the selection of EMP candidates as $g \approx 16$ is a practical limit for follow-up high dispersion spectroscopy on 8m-class telescopes.

The initial selection from the DR1.1 database used the following joint criteria (see Wolf et al. 2018, for detailed parameter descriptions): $\text{class-star} > 0.9$ to ensure that the object is stellar in nature; $\text{flags} \leq 3$ so that there are no apparent issues with the SExtractor photometry; $\text{nch}_{\text{max}} = 1$ so that there is a single source for each filter$^6$; $\text{ngood}_{\text{min}} \geq 1 \text{ AND } \text{vngood} \geq 2$ to ensure at least one measurement in all of $\text{ugriz}$ and at least two measurements in the $v$-band given its importance; $\text{psf} \leq 16.1$ so that candidates can be followed-up at high dispersion on 8m-class telescopes in reasonable integration times; $e_{v,\text{psf}} \leq 0.03$ and $e_{v,\text{psf}} < 0.05$ mag as a compromise between photographic precision and number of candidates selected; $\text{ebmv}_{\text{sd}} < 0.25$ mag to avoid large reddening corrections to the photometry and to avoid areas of the sky where the photometry is less well calibrated and frequently affected by image crowding; and, $\text{proz} > 7.5 \text{ AND } \text{tmass}_{\text{dist}} > 7.5$ so that there is no other DR1.1 or additional 2MASS source within $7^{\prime\prime}/5$ of the target.

Application of these criteria to the DR1.1 database then resulted in over 7 million candidates$^7$. The metallicity-index $m_1 = (v - g)(g - i) - 1.5$ ($g - i$) versus ($g - i$) colour-colour diagram for these stars is shown in Fig. 1. In calculating the reddening corrected magnitudes we have used the rescaled $E(B - V)_{\text{sFD}}$ colour excesses, calculated as described in Wolf et al. (2018), together with the reddening coefficients given in that paper. Specifically, we employ $A_v$, $A_g$ and $A_i$ values of 4.026, 2.986 and 1.588, respectively (Wolf et al. 2018).

Inspection of the figure shows that there is a cloud of stars that extends to bluer (i.e. more negative) values of $m_1$ at a given ($g - i$), particularly for the approximate colour range $0.3 \leq (g - i) \leq 1.0$. This is where we expect the index to be sensitive to metallicity (Keller et al. 2007), and thus this region is where the EMP-candidates are expected to occur. Note that beyond ($g - i) \approx 1.2$ a bifurcation is visible in this diagram as the index becomes primarily gravity rather than metallicity sensitive; the upper sequence is for cool dwarfs and the lower for cool giants both at approximately solar metallicity. We now turn to verifying and calibrating the metallicity-index diagram in order to be able to refine the selection to generate a tractable sample.

### 2.1 Verification

Since the SkyMapper photometry, particularly in regard to the $v$ magnitudes, is effectively a new photometric system, it is important to verify the relation between location in the metallicity-sensitive diagram and abundance, which we represent by $[\text{Fe/H}]$. We do this particularly for metal-poor stars since these are our focus. Other studies, such as Casagrande et al. (2019), have investigated the situation at higher metallicities.

#### 2.1.1 Metal-poor Globular Cluster Red Giants

NGC 4590 (M68) and NGC 7099 (M30) are among the most metal-poor globular clusters in the Galaxy: the most recent on-line$^8$ version of the catalogue of Harris (1996) lists metallicities of $[\text{Fe/H}] = -2.23$ and -2.27, respectively. Given the similar metallicities the natural expectation is that the cluster red giants should define the location of an iso-metallicity locus in the metallicity-sensitive diagram. To investigate this we have cross-matched red giant branch (RGB) stars for these clusters selected from the photometric standards database maintained by Dr. Peter Stetson$^9$ with the SkyMapper DR1.1 database, employing essentially the same selection criteria as above. The specific stars used are generally known cluster members from AAT/AO-Omega spectroscopy (e.g. Da Costa 2016) that are brighter than the cluster horizontal branch. The location of these globular cluster red giants in the metallicity-sensitive diagram is shown in Fig. 2. Also shown in the figure is the location of a theoretical isochrone for an age of 12.5 Gyr, abundance $[\text{Fe/H}] = -2.25$ and $[\alpha/\text{Fe}] = +0.4$ from the Dartmouth Stellar Evolution Database isochrone set (Dotter et al. 2008).

The agreement between the observations and the theoretical isochrone is good: for $0.6 \leq (g - i) \leq 0.8$ where the isochrone is approximately constant at $m_i = 0.029$ mag, the mean of the globular cluster stars is $m_i = 0.033$ with a standard deviation $\sigma = 0.040$ mag. The observed scatter in the globular cluster $m_i$ values is large but there is no obvious indication of a systematic offset between the observations and the theoretical isochrone. The scatter is presumably driven by uncertainties in the $v$ magnitudes as the corresponding $i_0$, ($g - i_0$) combined colour-magnitude diagram (generated using an appropriate relative distance modulus offset) shows only a small scatter in $(g - i_0)\sigma (g - i_0) = 0.021$ mag about the mean RGB locus.

#### 2.1.2 Known EMP-stars

An alternative approach is to investigate the location of known EMP-stars in the metallicity-sensitive diagram. To perform this task we have compiled from the literature a list of stars with $[\text{Fe/H}] \approx -2.5$, as determined from high-dispersion spectroscopy. The list was then cross-matched with the SkyMapper DR1.1 database. In carrying-out the match-up we have used tighter limits on the SkyMapper photometry in order to reduce the effects of photometric uncertainty on the location of the stars in the metallicity-sensitive diagram. Specifically, we required $e_{g,\text{psf}} \leq 0.02$, $e_{i,\text{psf}} \leq 0.03$, and $E(B - V)_{\text{sFD}} < 0.1$ mag, and this resulted in a sample of 294 stars. The majority of the stars are drawn from Yong et al. (2013), Jacobson...
Figure 1. The SkyMapper metallicity-sensitive diagram, $m_i = (v-g)_0 - 1.5 (g-i)_0$ versus $(g-i)_0$, for all objects satisfying the basic DR1.1 selection criteria. Metal-poor stars are found at bluer (more negative) values of $m_i$ for $0.3 \leq (g-i)_0 \leq 1.0$ mag. The relative (square root) density grey-scale is shown on the right side of the figure.

Figure 2. SkyMapper metallicity-sensitive diagram for probable red-giant members of the metal-poor globular clusters NGC 4590 (filled circles) and NGC 7099 (open star symbols) compared with a 12.5 Gyr, [Fe/H] = –2.25, [$\alpha$/Fe] = +0.4 Dartmouth isochrone. The dashed outline is the final adopted selection window as discussed in §2.2.

et al. (2015), Barklem et al. (2005), Roederer et al. (2014) and Cohen et al. (2013); the effective temperatures, gravities and metallicities from the latter two sources have been adjusted to ensure consistency with the other sources. The location of the known-abundance stars in the metallicity-sensitive diagram is shown in Fig. 3. We note that the most iron-poor star known, star SMSS J031300.36–670839.3, is the dark coloured 5-point star symbol at $((g-i)_0, m_i) = (0.62, -0.09)$ in the figure, while the star HE 0107–5240, which has [Fe/H] $\approx -5.4$, is the dark coloured 5-point star symbol at $(0.59, -0.135)$. The sub-giant star HE 1327–2326 ([Fe/H] $\approx -5.7$) is located at $(0.29, 0.19)$, and is also plotted as a dark coloured 5-point star symbol in Fig. 3. In general, the majority of the stars plotted are consistent with expectations in that they lie at notably bluer $m_i$ values at a given $(g-i)_0$ than the bulk of the population. However, this is clearly not the case for a small number of known-EMP stars that fall well below their ‘expected’ location in the metallicity-sensitive diagram. As will be discussed in more detail in §4.1, generally these stars are strongly enhanced in carbon.

Fig. 3 also shows isochrones from the Dartmouth Stellar Evolution Database isochrone set (Dotter et al. 2008) for metallicities ranging from solar to [Fe/H] = –4.0 dex\(^{10}\). In each case the adopted age is 12.5 Gyr and the [$\alpha$/Fe] values assumed are 0.0 for [Fe/H] = 0.0 and –0.5, +0.2 for [Fe/H] = –1.0 and [$\alpha$/Fe] = +0.4 for all other metallicities. Note that only a portion of the full isochrone is shown in each case: the section from just below the main sequence turnoff to the tip of the RGB. We have chosen not to plot the lower main sequence portion of the isochrones as, given the relatively bright apparent magnitude cutoff at $g \approx 16$, extremely metal-poor cool dwarfs are unlikely to occur in the survey in any great number given their intrinsically low luminosity.

In order to test the agreement of the location of the isochrones and the known EMP-star photometry, we first selected from the sample of 294 stars those with $0.4 \leq (g-i)_0 \leq 1.0$ for which [C/Fe] was measured and had a value in the range $-1.0 < [C/Fe] < +0.7$ dex (i.e., we excluded carbon-enhanced metal-poor (CEMP) stars). We further required that the $T_{\text{eff}}$ and log $g$ values were consistent with a subgiant or red giant branch classification in order to remove any

\(^{10}\) The Dartmouth Stellar Evolution Database contains isochrones only to a metallicity [M/H] = –2.5 dex. The isochrones for lower [M/H] values were calculated specifically for us by Dr. Aaron Dotter, but are otherwise equivalent to those in the database.
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Figure 3. SkyMapper metallicity-sensitive diagram for all objects satisfying the basic selection criteria. Overplotted as coloured circles are the locations in this diagram of known EMP-stars with [Fe/H] \(\leq -2.5\), determined from high dispersion spectroscopic studies, and with photometry in SkyMapper data release DR1.1 meeting our criteria. The colour-bar giving the corresponding high dispersion spectroscopy derived metallicities is on the right side of the figure. Shown also are the location of isochrones from the Dartmouth Stellar Evolution Database for the metallicities, in order of decreasing metallicity index, [Fe/H] = 0.0, –0.5, –1.0, –1.5, –2.0, –2.5, –3.0, –3.5, and –4.0. The isochrones are for an age of 12.5 Gyr and have \([\alpha/Fe] = 0.0\) for [Fe/H] = 0.0 and –0.5, \([\alpha/Fe] = +0.2\) for [Fe/H] = –1.0 and \([\alpha/Fe] = +0.4\) for all other metallicities. They are labeled with the metallicity. Note that the lower main sequence portions of the isochrones are not plotted. The hyper metal-poor stars SMSS J031300.36–670839.3, HE 0107–5240 and HE 1327–2326 lie at \((g-i)_0, m_i\) = (0.62, –0.09), (0.59, –0.135) and (0.29, 0.19), respectively, and are plotted as 5-point star symbols with the appropriate colour. The final adopted selection window is shown by the magenta dashed lines.

Dwarfs and any post-AGB stars. The stars were then split into groups of \(\pm 0.25\) dex in metallicity centered at [Fe/H] = –2.5, –3.0, –3.5 and –4.0, respectively. We then computed for each group the mean value of the difference between the \(m_i\) index for the star and that of the corresponding isochrone at the star’s \((g-i)_0\) colour, employing mild sigma-clipping \((\pm 2\sigma)\) in the calculation of the means. Unlike the case for the metal-poor globular clusters, the offsets were significantly different from zero with values of 0.019 \((\sigma = 0.027, N=41)\), 0.037 \((0.040, 59)\), 0.033 \((0.045, 30)\), and 0.028 \((0.048, 7)\), respectively, in the sense that the stars lie at larger values of \(m_i\).

Given the consistency of the offsets, we decided to shift the isochrones redder in \(m_i\) by 0.027 mag, the overall mean offset value. Such an offset is not unexpected given the uncertainty in the overall zero point of the DR1.1 \(v\) magnitudes (Wolf et al. 2018; Casagrande et al. 2019). The isochrones shown in Fig. 3 have had this offset applied, and for simplicity we have assumed that it also applies to the isochrones with [Fe/H] = –2.0 and higher. Regarding the metal-poor globular cluster red giants, application of the offset means that there is now a systematic difference (–0.023 mag relative to the shifted isochrones), but that difference remains within a 1\(\sigma\) uncertainty given the spread in the globular cluster star \(m_i\) values. The difference in inferred isochrone offsets between the globular cluster stars and the known abundance stars may well be an indicator of field-to-field variations in the zero point of the DR1.1 \(v\) photometry, in which case the offset derived from the known metallicity stars is the appropriate one to apply as it is based on stars that are scattered across many SkyMapper fields.

These same groups of known metallicity stars, which we note have been selected to lie on the subgiant or red giant branches, have precise photometry and low reddening, and which exclude CEMP stars, also demonstrate that the \(m_i\) index retains metallicity sensitivity at low metallicities. In particular, the mean offsets in \(m_i\) from the adjusted [M/H] = –2.0 isochrone are –0.054, –0.070, –0.102 and –0.138 mag for the groups centered on metallicities of –2.5, –3.0, –3.5 and –4.0, respectively. Given that the standard errors for these mean values are less than 0.01 mag (the standard deviations and numbers are given above), the values demonstrate conclusively that, for precise photometry and excluding CEMP stars, the \(m_i\) index does retain metallicity sensitivity to low abundances.

For completeness we note that if the isochrone offset exercise is repeated for the bluer stars (i.e., those with \((g-i)_0\) \(\leq 0.4\)), which are predominantly lower subgiant branch and main sequence turnoff stars, then the computed offset is 2–3\(\times\) larger though less well determined because of the smaller number of stars. This effect is evident in Fig. 3 where the

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isochrones tend to lie above the stars in the vicinity of the main sequence turnoff.

2.2 The Adopted Selection Window

We now consider how to use the information presented in Fig. 3 to define a photometric sample of EMP-candidates that is practical in size, a necessity driven by the uncertainties in the \( v \) magnitudes which are sufficiently large that we cannot simply take the stars with the lowest \( m_i \) values directly to a 8m-class telescope for high dispersion spectroscopic follow-up. An intermediate winnowing step is necessary, and that requires a tractable sample to work from. We proceed as follows: first, the location of the \(-2.5\) and lower metallicity isochrones in Fig. 3 suggest that the RGB at low temperatures and gravities and are therefore somewhat more complicated as regards atmospheric abundance analyses. The luminosity function on the RGB is also declining steeply as the RGB-tip is approached. Consequently, given these factors, we have chosen to limit our selection window to stars with \((g - i)_0 \leq 1.0\) (\( T_{\text{eff}} \approx 4550 \)) K.

In a similar fashion, inspection of Fig. 3 also reveals that at bluer colours, \((g - i)_0 \leq \sim 0.4\) mag, the separation between the known EMP stars and the bulk of the stars (here presumably relatively young approximately solar metallicity disk dwarfs) is much smaller than it is for redder colours. EMP-stars are inherently rare so that any investigation of stars in this \((g - i)_0\) colour regime, even at blue \( m_i\) colours, is likely to be significantly contaminated by non-EMP objects. For that reason we have chosen to place the blue colour selection limit at \((g - i)_0 = 0.4\) mag (\( T_{\text{eff}} \approx 5750 \)). We recognise that in adopting this colour cut we are selecting against EMP-stars in the vicinity of the main sequence turnoff.

As regards limits on the \( m_i \) values, we have chosen to select only stars with \( m_i > -0.2\) mag. As Fig. 3 shows, the isochrones become increasingly closer together as the abundance drops, and the known EMP-stars are within this limit. Limited low-resolution spectroscopic follow-up (see §3) of objects with \( m_i \leq -0.2\) shows that they are frequently young stars with \( \text{Ca II H+K} \) emission or extragalactic objects such as QSOs and AGNs.

The most crucial choice for the EMP-selection window is the placing of the red (more positive) boundary for the \( m_i \) values. As is evident in Fig. 3, at fixed \((g - i)_0\) the number of potential candidates increases extremely rapidly with increasing \( m_i \). A relatively high value for the \( m_i \) limit would therefore yield a large number of candidates, but would likely not miss any genuine EMP-stars assuming they could be found within the much more numerous non-EMP stars. On the other hand, a bluer (lower) value for the \( m_i \) cut produces a smaller sample but possibly misses some genuine EMP stars whose \( m_i \) are erroneously high. We decided to compromise by adopting the (adjusted) location of the \(-2.0\) isochrone as the red boundary in \( m_i \) for the selection window. The final adopted selection window is outlined by the dashed boundary shown in Figs. 2 and 3.

It is worth emphasizing that the selection process, and the consequent contamination of the selected sample by more metal-rich objects, is essentially driven by uncertainties in the \( v \)-band photometry. Replacing \((g - i)_0\) with other Skymapper colours, or non-Skymapper photometry such as the \( \text{Gaia} \) DR2 \((G_{BP} - G_{RP})_0\) colour, does not make any appreciable difference to the sample selection. The \( v \)-band errors result from both intrinsic measurement error from the 20 sec exposures and the uncertainty in the uniformity of the calibration of the zero point across the sky. Casagrande et al. (2019) has shown, for example, that there are systematic zero points trends with Galactic latitude for both \( v \) and \( v \) in the Skymapper DR1.1 photometry.

Applying the adopted selection window to our SkyMapper DR1.1 sample then results in a total sample of 26,600 stars with \( g \leq 16.1\) that are predicted on the basis of the photometry to have \([\text{Fe/H}] < -2.0\); underlying uncertainties in the photometry mean that attempting to calculate specific metallicities for specific stars from the photometry is not appropriate or meaningful. Since we are seeking new EMP candidates, we then excluded from the sample 232 stars that have existing \([\text{Fe/H}]\) estimates, whether from high or low dispersion spectroscopy. We also excluded the 191 candidates that lie within the tidal radius of a Galactic globular cluster, using the information given in the current on-line version of the Harris (1996) catalogue. This latter approach may exclude some genuine EMP-stars but it avoids accidental recovery of metal-poor globular cluster members. After this process 26,237 stars remain of which 15,925 have \( 15.0 < g \leq 16.1 \); 9,442 have \( 13.0 < g \leq 15.0 \) and 870 are brighter than \( g = 13.0\) mag. These data sets then form the basis of the low-resolution spectroscopic program that endeavours to separate the EMP-star ‘wheat’ from the contaminating ‘chaff’.

Because of the restriction on the allowed reddening of the candidates, the selected stars are expected to be predominantly halo, as distinct from disk, red giants and this expectation is confirmed by the distribution of the photometric EMP-candidates on the sky. As shown in Fig. 4, the on-sky distribution of the candidates shows a clear concentration in the direction of the Galactic Centre, consistent with a population dominated by halo stars. Fig. 4 also shows some potential structure or grouping of EMP candidates on smaller scales, but we are reluctant to consider any such substructure as real until the large-spatial-scale uncertainties in the \( v \)-band photometry are minimized.

3 LOW RESOLUTION SPECTROSCOPIC FOLLOW-UP

Commencing in 2016 February we have observed SkyMapper photometric EMP-candidates with the ANU 2.3m telescope at Siding Spring Observatory on a regular basis. The program has been allocated approximately 6 nights per month and useful data has been obtained for \sim 60\% of the time. The program is on-going and the results presented here include data from observing runs up to and including 2018 November.

The 2.3m observing program commenced with candidates selected from an initial internal pre-release version of the Skymapper Early Data Release (EDR) and subsequently from the EDR itself (DOI: 10.4225/41/572FF2C5EBD30) when it was made accessible to the Australian Astronomy community on 2016 May 9. The EDR covered about one-third of the southern hemisphere sky. The source of candi-
Figure 4. A Mollweide projection of the southern sky in Galactic coordinates on which the SkyMapper DR1.1 EMP photometric candidates are plotted as small purple dots on top of the complete photometric selection (grey background). White areas indicate regions where SkyMapper DR1.1 data are lacking or incomplete. The EMP candidates are clearly concentrated towards the Galactic Centre as would be expected for a population dominated by halo stars.

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Since the release of DR1.1, it has been the source of the photometric EMP-candidates, chosen using the selection criteria and the selection window discussed above. However, because of variations in the photometry databases and in the basis for selecting candidates, a number of stars have been observed at the 2.3m prior to the release of DR1.1 that either fail the adopted selection criteria, or which fall outside the selection window. For the present we will ignore these stars and concentrate on those whose DR1.1 characteristics are such that they meet the selection criteria and which fall within the adopted selection window. As of 2018 November there are 2618 individual EMP-candidates (from the total of 26,237) that meet these criteria and which have at least one 2.3m spectroscopic observation. A further 937 stars, that do not meet the final photometric selection criteria, also have at least one 2.3m spectroscopic observation.

The 2.3m observations are conducted with the WiFeS integral field spectrograph (Dopita et al. 2010) using the B3000 and R3000 gratings to yield resolution $R \approx 3000$ spectra. The blue spectra cover the wavelength interval $\lambda 3400$–$5800$Å and exposure times are set to yield a S/N per pix of $\sim 20$ at the H and K lines of Ca ii. Because WiFeS is an integral field spectrograph useful spectra can still be obtained in poor-seeing conditions. The observations were carried out by a number of different observers. No specific priority was given to any of the candidates in the selection window, but as discussed in detail in §3.5, in practice the observers tended to prefer candidates with more negative $m_i$ values for observation, in the expectation that such an approach would enhance the chances of finding extremely metal-poor stars.

3.1 Spectrophotometric fits

The raw observed spectra are extracted, sky-subtracted, wavelength-calibrated and most importantly, (relative) flux-calibrated via observations of a number of known flux standards each night. Specifically, all stars are observed with the atmospheric dispersion direction parallel to the IFU slits to minimize flux loss, and the majority of the observations were carried out at relatively low airmass ($X \lesssim 1.4$). The flux standards used are a subset of those in the Hubble Space Telescope CALSPEC database (see Bohlin et al. 2014) which have well-established flux distributions at optical wavelengths. Typically at least two observations of four or more flux standards are observed each night, and we find that the calibrations from the different standards agree well.

The (relative) absolute flux calibration used is the mean from all the standards observed each night, and we find that the calibration is stable from night-to-night and from month-to-month. In particular, the slope from $\lambda 4000$Å to $5800$Å in the fluxed spectra, which is sensitive to both temperature and gravity for an assumed reddening, is very well defined.

The flux calibrated spectra are then compared with a grid of MARCS 1D model atmosphere fluxes (Gustafsson et al. 2008) using the fitter code, described in Norris et al. (2013a), and the best-fit determined. Specifically, the model fluxes are convolved to a resolution equivalent to the observations and normalized by the mean flux between $\lambda 4500$ and $5500$Å. The observed spectrum is shifted to rest wavelength using the velocity determined from a cross-correlation with a standard set of model spectra, and is then also normalized, again by the mean flux in the $\lambda 4500$ and $5500$Å region. As outlined in Norris et al. (2013a), in the first pass the model ($\alpha$-enhanced for $[M/H] \lesssim -1.5$) fluxes are interpolated to produce a new grid with spacings of 100 K in $T_{\text{eff}}$, 0.5 in $\log g$ and 0.25 dex in $[M/H]$. Each model spectrum is then compared with the observed spectrum via a simple $\chi^2$ calcu-
lation with the minimum used to determine the parameters of the best-fitting model. In making these initial fits no assumption is made regarding the effective temperature or the metallicity of the star.

A new grid centered on these parameters is then generated with a finer spacing of 25 K in $T_{\text{eff}}$ and 0.125 in log $g$. The $\chi^2$ calculation process is then repeated to select the final best-fitting parameters. An example of the fitter output is shown in Fig. 5 of Norris et al. (2013a). In computing the best-fits the effect of reddening is also considered, with the initial choice for the adopted reddening being that from Schlegel et al. (1998) modified (reduced) as described in Wolf et al. (2018). By deliberate choice (see §2), $E(B - V)_{\text{SFD}} < 0.25$, so that $E(B - V)_{\text{adopted}} < 0.18$ mag. Generally the fit with the adopted reddening is satisfactory; however, the residuals at the Balmer lines are inspected and used to judge whether the adopted reddening needs to be modified, in which case the fitting process is reiterated. Overall, uncertainty in the appropriate reddening value is a larger contributor to the uncertainty in the derived temperatures compared to any uncertainty arising from the flux calibration.

Overall, the best-fit temperature and gravity are generally well determined. Only in the small number of cases where the S/N of the spectra is low, particularly in the vicinity of the Balmer jump so that the gravity is not well constrained, do we move the $T_{\text{eff}}$, log $g$ estimate along the valley of the minimum $\chi^2$ to force agreement with a metal-poor giant branch isochrone. In this process the $T_{\text{eff}}$ estimate changes by no more than 25–50 K but the log $g$ change can be significant. The metallicity, quantized at the 0.25 dex level, then follows by maximizing agreement between the observed and the model spectrum with the best-fit temperature and gravity, using the strengths of metal-lines particularly, in the metal-poor regime, the Ca $\text{II}$ H and K and Mg $\text{I}$ b features. However, because of the known diversity in the $\text{[C/Fe]}$ ratio among metal-poor stars, the region of the G-band of CH is generally not used in determining the best-fit metallicity value. Examples of observed spectra and the corresponding spectrophotometric fits are shown Fig. 5.

We now concentrate primarily on the 142 stars that meet the photometric selection criteria, that have $\text{[Fe/H]}_{\text{fitter}} < -3.0$ and for which, as far as we are aware, there are no published abundance studies based on high-dispersion spectra. In Fig. 6 we plot the fitter log $g$ values against log $T_{\text{eff}}$. As expected for a sample that is presumably dominated by halo red giants, the temperature-gravity relation is consistent with that for the red giant branch of an old metal-poor isochrone: the figure shows the isochrones for $\text{[M/H]} = -4.0$, -3.5 and -2.5 with $\text{[α/Fe]} = +0.4$ and age = 12.5 Gyr (see §2.1.2). Given the errors, $\pm 0.01$ in log $T_{\text{eff}}$ and $\pm 0.35$ in log $g$, the agreement with the isochrones is satisfactory, and there is only one definite outlier in this plot – the six-point star symbol at (log $T_{\text{eff}}$, log $g$) = (3.712, 0.5), which is SMSS J100231.91–461027.5.

We have subsequently recognised this object as an unusual star: it is the variable ASASSN-V J100232.04–461027.9 (Jayasinghe et al. 2018) that has a well-determined Cepheid-like light curve with a period of 26.597d and $V$ amplitude of 0.54 mag. The star has a very strong mid-IR excess with WISE W1, W2, W3 and W4 magnitudes of 9.89, 8.73, 4.31 and 2.03, respectively, indicating large amounts of circumstellar dust. The star is most likely a post-AGB star in which depletion onto dust grains is responsible for the apparently low photospheric abundances, particularly for the elements with high condensation temperatures (e.g. Mathis & Lamers 1992; Aoki et al. 2017). Given the likely post-AGB nature of the star, the $T_{\text{eff}}$ and log $g$ values estimated from the 2.3m low-resolution spectrum are likely valid, i.e., consistent with a post-AGB evolutionary track.

The relationship between the fitter log $T_{\text{eff}}$ values and the SkyMapper DR1.1 $(g - i)_0$ colours is shown in Fig. 7. Shown also on the figure are the colour-temperature relations for the $\text{[M/H]} = -4.0$, -3.5 and -2.5 isochrones with $\text{[α/Fe]} = +0.4$ and age = 12.5 Gyr (see §2.1.2); the isochrones are quite consistent with the observed values. A parabolic least-squares fit to the data has an $\text{rms}$ of 0.01 in log $T_{\text{eff}}$ which, for the median log $T_{\text{eff}}$ of 3.692, corresponds to a temperature uncertainty of $\pm 100$ K. As for uncertainty in the fitter log $g$ values, the $\text{rms}$ about a linear least-squares fit to the points in Fig. 6 suggests that the uncertainty is 0.3–0.35 dex. The $1\sigma$ errors in the individual $(g - i)_0$ values are of order $\pm 0.03$ mag (see §2).

### 3.2 Metallicity Comparisons

In order to judge the utility of the $\text{[Fe/H]}_{\text{fitter}}$ values for selecting stars to follow-up with high-dispersion spectrographs on 8m class telescopes, we have compared the $\text{[Fe/H]}_{\text{fitter}}$ values with those in the literature for a set of stars whose abundances have been determined from the analysis of high-dispersion spectra. This set of 30 stars includes both known stars that were deliberately observed at the 2.3m telescope.
for this purpose, as well as those that represent the serendipitous rediscovery of known stars among the set of SkyMapper EMP candidates observed.

The results are shown in Fig. 8 and are given in Table 1 and in the online supplementary material, together with the sources of the literature [Fe/H] values, which are those from 1D, LTE analyses. We note that here we have not attempted to correct the literature [Fe/H] values for the potentially different $T_{\text{eff}}$ scales adopted in the cited papers. Nevertheless, for these 30 stars the mean difference, in the sense literature [Fe/H]$_{\text{high dispersion}}$ minus [Fe/H]$_{\text{fitter}}$, is $0.04 \pm 0.07$ with a standard deviation of 0.38 dex. Given that the [Fe/H]$_{\text{fitter}}$ values are quantized at the 0.25 dex level, the lack of any systematic difference is reassuring, while the spread suggests that a typical uncertainty in [Fe/H]$_{\text{fitter}}$ is of order 0.3 dex.

Overall Fig. 8 suggests that selecting stars with [Fe/H]$_{\text{fitter}} < -3.0$ for high-dispersion spectroscopic follow-up is likely to result in a high rate of return. Table 2, and the online supplementary material, list the SkyMapper designation, J2000 position, $g$, $(g-i)_0$ and metallicity index $m_1$ from the DR1.1 photometry, G-band (CH) equivalent width (see §3.4), and the spectrophotometric fit parameters for the 142 stars with [Fe/H]$_{\text{fitter}} < -3.0$ dex. High dispersion spectroscopic follow-up is presented and discussed in Nordlander et al. (2019) for the most metal-poor object SMSS J160540.18–144323.1, and in Yong et al. (2019) for a large fraction of the other stars in Table 2 based on observations obtained with the MIKE echelle spectrograph on the Magellanic Clay 6.5m telescope. For completeness we give in Table 3, and in the online supplementary material, the same information as for Table 2 for the 29 stars observed at the 2.3m which have [Fe/H]$_{\text{fitter}} < -3.25$ that either fail the DR1.1 photometric selection criteria and/or lie outside the adopted selection window.

### 3.3 Selection Efficiency

Of the 2618 individual EMP-candidates meeting the SkyMapper DR1.1 photometric database requirements that fall within the adopted selection window, i.e., have a photometric metallicity $\leq -2.0$ (see §2.2), and which also have a least one 2.3m spectrophotometric observation, 1081 or 41.4% have [Fe/H]$_{\text{fitter}} \leq -2.75$, while 485 (18.6%) have [Fe/H]$_{\text{fitter}} \leq -3.0$ dex. Overall 93% of the stars observed have [Fe/H]$_{\text{fitter}} \leq -2.0$, and only 6.7% have [Fe/H]$_{\text{fitter}} > -2.0$, i.e., are true contaminants. These figures indicate a high selection efficiency for metal-poor stars, supporting the original premise of adding the $\nu$-filter to the SkyMapper survey filter set. Comparisons of efficiency to other previous and on-going large-area surveys (e.g. Beers et al. 1992; Frebel et al. 2006; Christlieb et al. 2008; Li et al. 2015; Schlaufman & Casey 2014) are not straightforward as the base samples are often not well-defined. Nevertheless, some comparisons are warranted. For example, for the objective prism based HK-survey, Beers et al. (1992) report that intermediate-resolution spectroscopic follow-up reveals $\sim$7% of stars selected as metal-poor candidates have [Fe/H] $\leq -3$ dex. Similarly, Schlaufman & Casey (2014) indicate, based on both low and high resolution spectroscopic follow-up, that approximately 4% of their EMP candidates, which are selected using optical, near- and mid-infrared photometry, have [Fe/H] $\leq -3.0$ dex.
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Table 1. Comparison of $[\text{Fe/H}]_{\text{fitter}}$ values with those from high-dispersion spectroscopy literature values (complete Table available electronically).

| ID                | $[\text{Fe/H}]_{\text{high dispersion}}$ | $[\text{Fe/H}]_{\text{fitter}}$ | Reference       | Notes |
|-------------------|-----------------------------------------|----------------------------------|-----------------|-------|
| SDSS J1024+1201   | -4.34                                   | -3.50                            | Placco et al. (2015) |       |
| CD –38o 245       | -4.15                                   | -4.25                            | Yong et al. (2013) |       |
| HE 2139–5432      | -4.02                                   | -3.75                            | Yong et al. (2013) | 1     |
| HE 2302–2154      | -3.90                                   | -3.50                            | Holleck et al. (2011) |       |
| HE 0013–0256      | -3.82                                   | -3.25                            | Holleck et al. (2011) |       |
| CS2172–002        | -3.77                                   | -3.75                            | Yong et al. (2013) |       |
| HE 0926–0546      | -3.73                                   | -4.00                            | Cohen et al. (2013) |       |
| HE 2318–1621      | -3.67                                   | -3.50                            | Holleck et al. (2011) |       |
| CS22172–002       | -3.64                                   | -3.75                            | Placco et al. (2015) |       |
| SMSS J033005.90–681352.5 | -3.44      | -3.50                            | Jacobson et al. (2015) |       |
| HE 0926–0546      | -3.30                                   | -3.75                            | Cohen et al. (2013) |       |
| 2MASS J12570460–1054072 | -2.96 | -4.00                            | Schlaufman & Casey (2014) |       |

Notes: (1) Star has $[\text{C/Fe}] \approx +2.6$, fit to the 2.3m spectrum modified to exclude strong CH features

Table 2. Details of the derived parameters for the observed stars with $[\text{Fe/H}]_{\text{fitter}} < -3.0$ (complete Table available electronically).

| ID            | RA (J2000) | Dec (J2000) | $g$ | $(g - i)_0$ | $m_i$ | W(G) (Å) | $T_{\text{eff}}$ | log g | $[\text{Fe/H}]_{\text{fitter}}$ | Notes |
|---------------|------------|-------------|-----|-------------|-------|----------|-----------------|-------|-------------------------------|-------|
| SMSS J160540.18–144323.1 | 16 05 40.18 | -14 43 23.1 | 15.999 | 0.718 | -0.106 | 7.09 | 4925 | 2.0 | -4.75 | 1 |
| SMSS J054650.97–471407.8  | 05 46 50.97 | -47 14 07.8 | 14.066 | 0.583 | -0.168 | 1.95 | 5125 | 1.75 | -4.5 | 2 |
| SMSS J205601.91–061329.7  | 20 50 01.91 | -06 13 29.7 | 13.298 | 0.565 | -0.122 | 2.84 | 5100 | 2.125 | -4.25 | 2 |
| SMSS J230702.23–063718.5  | 23 07 02.23 | -06 37 18.5 | 14.558 | 0.809 | -0.040 | 2.68 | 4800 | 1.125 | -4.1 | 2 |
| SMSS J081112.13–065423.7  | 08 11 12.13 | -06 54 23.7 | 15.830 | 0.956 | -0.140 | 2.06 | 4400 | 1.5 | -4.0 | 2 |
| SMSS J102731.70–111305.5  | 10 27 31.70 | -11 13 05.5 | 13.298 | 0.565 | -0.122 | 2.84 | 5100 | 2.125 | -4.25 | 2 |
| SMSS J185624.55–421733.7  | 18 56 24.55 | -42 17 33.7 | 14.235 | 0.827 | -0.056 | 1.33 | 4775 | 1.0 | -4.0 | 2 |
| SMSS J194222.58–481437.8  | 19 42 22.58 | -48 14 37.8 | 15.084 | 0.813 | -0.106 | 3.66 | 4750 | 1.5 | -4.0 | 2 |
| SMSS J211747.91–045122.2  | 21 17 47.91 | -04 51 22.2 | 14.970 | 0.579 | -0.088 | 1.49 | 5150 | 2.125 | -4.0 | 2 |

Notes: (1) High dispersion spectroscopic follow-up in Nordlander et al. (2019)
(2) High dispersion spectroscopic follow-up in Yong et al. (2019)

Table 3. Details of the derived parameters for additional candidates with $[\text{Fe/H}]_{\text{fitter}} < -3.0$ (complete Table available electronically).

| ID            | RA (J2000) | Dec (J2000) | $g$ | $(g - i)_0$ | $m_i$ | W(G) (Å) | $T_{\text{eff}}$ | log g | $[\text{Fe/H}]_{\text{fitter}}$ | Notes |
|---------------|------------|-------------|-----|-------------|-------|----------|-----------------|-------|-------------------------------|-------|
| SMSS J084457.17–264325.3 | 08 44 57.17 | -26 43 25.3 | 15.489 | 0.715 | -0.070 | 1.54 | 4875 | 1.5 | -3.75 | 1 |
| SMSS J091716.23–273716.5 | 09 17 16.23 | -27 37 16.5 | 15.184 | 0.944 | -0.003 | 4.06 | 4225 | 1.5 | -3.75 | 1 |
| SMSS J163040.08–715639.0 | 16 30 40.08 | -71 56 39.0 | 14.943 | 0.492 | 0.020 | 1.54 | 5250 | 2.25 | -3.75 | 1 |
| SMSS J092357.06–503022.8 | 09 23 57.06 | -50 30 22.8 | 14.356 | 0.726 | -0.095 | -0.01 | 4925 | 1.5 | -4.0 | 2 |
| SMSS J110354.33–370444.4 | 11 03 54.33 | -37 04 44.4 | 16.026 | 0.239 | 0.153 | 1.29 | 5600 | 1.5 | -3.5 | 1 |
| SMSS J102049.27–481043.1 | 10 20 49.27 | -48 10 43.1 | 12.435 | 0.143 | 0.226 | 1.55 | 5300 | 1.75 | -3.5 | 2 |
| SMSS J134416.03–235714.9 | 13 44 16.03 | -23 57 14.9 | 16.058 | 0.416 | -0.030 | 0.90 | 5400 | 1.5 | -3.5 | 2 |
| SMSS J154634.19–081030.9 | 15 46 34.19 | -08 10 30.9 | 15.094 | 0.583 | -0.009 | 1.20 | 5050 | 2.125 | -3.5 | 1 |
| SMSS J181200.10–463148.7 | 18 12 00.10 | -46 31 48.7 | 13.837 | 0.850 | -0.112 | 1.92 | 4650 | 1.0 | -3.5 | 1 |

Notes: (1) Fails one of more DR1.1 photometric selection criteria
(2) Lies outside selection window (may also have failed the photometric selection criteria)
ties \( [\text{Fe/H}] \) is directly related to the selection window – in this study, because of our deliberate choice of requiring \((g - i)_0 \gtrsim 0.4\) for the spectroscopic follow-up of EMP-candidates, we have effectively excluded EMP-stars near the turnoff, resulting in a sample that is very much dominated by giants. This is in contrast to surveys such as the ToPos survey (Caffau et al. 2013), for example, which is specifically focussed on EMP-candidates in the vicinity of the main sequence turnoff.

3.4 Carbon abundance estimates

As is now well established, the carbon-to-iron abundance ratio \([C/\text{Fe}]\) in metal-poor stars exhibits increasing diversity as \([\text{Fe/H}]\) decreases (e.g. Yong et al. 2013; Placco et al. 2014). Two classes of objects are conventionally recognised – one, the CEMP stars, which have \([C/\text{Fe}] \gtrsim +0.7\) (Aoki et al. 2007) and for which there are a number of sub-classes (see, for example, Placco et al. 2014, for the definitions), and, two, the stars which have \([C/\text{Fe}] < +0.7\) dex that we will refer to as “carbon-normal”. The CEMP-stars are dominant at the lowest metallicities (e.g. Placco et al. 2014; Yoon et al. 2018). Consequently, we have investigated the \([C/\text{Fe}]\) values for the stars with abundances \([\text{Fe/H}] \lesssim -3.0\) dex.

To do this we measured an index, denoted by \(W(G)\), on the velocity-corrected continuum-normalized spectra for the 142 stars in the sample with \([\text{Fe/H}]_{\text{fitter}} < -3.0\) dex. Here \(W(G)\) is the pseudo-equivalent width of the CH G-band feature obtained by numerically integrating the residual flux between the wavelengths 4277–4318Å relative to the continuum defined by the regions 4230–4265Å and 4410–4440Å. The results are shown in the panels of Fig. 9 where we have separated the stars into three metallicity groups: \([\text{Fe/H}]_{\text{fitter}} \lesssim -3.75\) (lower panel), \([\text{Fe/H}]_{\text{fitter}} = -3.5\) (middle panel) and \([\text{Fe/H}]_{\text{fitter}} = -3.25\) (upper panel). Based on stars with multiple 2.3m WiFeS spectra, the typical error in the individual \(W(G)\) values, shown in the lower right section of each panel, is small: \(1\sigma \approx 0.3\)Å.

What is apparent from the figure is that, not unexpectedly, there is a large range in \(W(G)\) values in each metallicity group. While some of the scatter is likely due to errors in the spectrophotometric temperatures and in the \([\text{Fe/H}]_{\text{fitter}}\) values, it is clear that there is a large intrinsic range in the \(W(G)\) values at fixed \(T_{\text{eff}}\) and therefore, most probably, a substantial range in \([C/\text{Fe}]\) amongst these stars. Part of this variation is likely due to evolutionary mixing – as a star ascends the red giant branch the convective envelope expands inwards reaching layers affected by CN-cycling. The resulting mixing drives a reduction of the surface carbon abundance below the original natal abundance while increasing the surface nitrogen abundance. The size of the evolutionary mixing effect on the surface abundance of carbon is a function of the star’s \(T_{\text{eff}}\), \(g\) and \([\text{Fe/H}]\) (e.g. Placco et al. 2014) being largest for cool metal-poor stars near the RGB-tip.

More specifically, using the tool available at http://vplacco.pythonanywhere.com, which implements the Placco et al. (2014) corrections, the effects of evolutionary mixing can be estimated. We find that the corrections to the observed abundances are only significant (\(\Delta[C/\text{Fe}] \gtrsim 0.3\) dex) for \([\text{Fe/H}] \lesssim -3.25\) if \(g \lesssim 1.5\). The corrections range from \(\sim 0.35\) dex at \(g = 1.5\) to \(\sim 0.7\) dex at \(g = 1.0\). However, since the majority of the stars with \([\text{Fe/H}]\)

Figure 8. The relation between \([\text{Fe/H}]_{\text{high dispersion}}\), which are literature values derived from high-dispersion spectroscopy, and \([\text{Fe/H}]_{\text{fitter}}\), the values derived from the spectrophotometric fits to the 2.3m low resolution WiFeS spectroscopy. The dashed-line is the 1:1 relation.

The most appropriate comparison as regards our selection efficiency is that with the results of the Pristine survey (Starkenburg et al. 2017), which employs photometry from a narrow-band filter centered on the Ca II H and K-lines combined with SDSS broad-band photometry to define a photometric metallicity \([\text{Fe/H}]_{\text{Pristine}}\). Starkenburg et al. (2017) estimate their efficiency by comparing the photometric \([\text{Fe/H}]_{\text{Pristine}}\) estimates with SDSS/SEGUE metallicities \([\text{Fe/H}]_{\text{SSPP}}\) that are derived from low-resolution spectra (e.g. Lee et al. 2008). They find that 24% of stars with \([\text{Fe/H}]_{\text{Pristine}} \leq -3.0\) also have \([\text{Fe/H}]_{\text{SSPP}} \leq -3.0\) and that 90% of stars with \([\text{Fe/H}]_{\text{Pristine}} \leq -2.5\) have \([\text{Fe/H}]_{\text{SSPP}} \leq -2.0\) dex. Such efficiencies are quite similar to those found here.

Youakim et al. (2017) have also discussed the efficiency of the Pristine survey through directly obtaining low-resolution spectroscopy of a sample of Pristine stars that were selected to meet specific criteria (see Youakim et al. 2017, for details). In a similar process to that followed here, temperatures, gravities and metal abundances were derived from the low-resolution spectra via comparisons with a grid of synthetic spectra (Allende Prieto et al. 2014; Youakim et al. 2017). Youakim et al. (2017) find that for the 130 stars with low-resolution spectra for which the Pristine photometry predicts \([\text{Fe/H}] \leq -2.5, 91\% \) or \(70\%\) have \([\text{Fe/H}]_{\text{low-res}} \leq -2.5\), and of these 10 or 8% have \([\text{Fe/H}]_{\text{low-res}} \leq -3.0\) dex. Similarly, Youakim et al. (2017) note that for the 46 stars predicted by Pristine photometry to have \([\text{Fe/H}] \leq -3.0\), 10 stars were found to have \([\text{Fe/H}]_{\text{low-res}} \leq -3.0\) representing an efficiency of 22%. These efficiencies are again similar to those for the SkyMapper sample.

Of course it must be kept in mind that the selection efficiency is directly related to the selection window – in this case, we have reduced the window by requiring \((g - i)_0 \gtrsim 0.4\) for the spectroscopic follow-up of EMP-candidates, we effectively excluded EMP-stars near the turnoff, resulting in a sample that is very much dominated by giants. This is in contrast to surveys such as the ToPos survey (Caffau et al. 2013), for example, which is specifically focussed on EMP-candidates in the vicinity of the main sequence turnoff.

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< −3.0 in our sample have log $g \geq 1.5$ (see Fig. 6) we have not attempted to apply the corrections.

Nevertheless, while evolutionary mixing undoubtedly contributes to the intrinsic scatter in Fig. 9, particularly at the cooler temperatures, it is likely that a substantial range in natal [C/Fe] values is present. For example, the most metal-poor star in this sample, SMSS J160540.18−1414323.1, which is the blue filled square symbol in the bottom panel of Fig. 9 and which has one of the highest $W(G)$ values, is shown in Nordlander et al. (2019) to be strongly carbon-enhanced ([C/Fe]$_{1D, LTE}$ = 3.9 ± 0.2). The other two stars with [Fe/H]$_{fitter}$ below −4 (the red filled triangle and the magenta open circle in Fig. 9) also appear to be C-rich.

We illustrate the likely range in [C/Fe] present by making use of synthetic spectrum calculations: synthetic spectra were calculated, using the 1D, LTE approximation, for a range of $T_{eff}$ values and for the median log $g$ for each set of [Fe/H]$_{fitter}$ values, i.e., [Fe/H] = −3.25, −3.5, −3.75 and −4.0 (see Nordlander et al. 2019). The [C/Fe] values employed were −0.5, 0.0 and 1.0 dex. The resulting synthetic spectra were then convolved to the observed resolution, continuum normalized in the same way as for the observed spectra and the resulting $W(G)$ indices measured. The results are shown as the solid and dashed lines in Fig. 9. Evidently there is a substantial range in [C/Fe] among the stars in this sample, but aside from the most metal-poor star (blue filled square symbol) and the post-AGB star (the six-point star symbol at 5150, 3.9) there are no clear indications of any stars in the current sample having observed [C/Fe] significantly greater than +1.0 dex.

Two examples are shown in Fig. 10, noting that these are not detailed fits of the synthetic spectra to the observed spectra, rather they are simply a comparison of appropriate models with observed spectra. The upper panel shows the star SMSS J191147.60−351911.7 ([Fe/H]$_{fitter}$ = −3.25, $W(G)$ = 7.93) for which the observed [C/Fe] is approximately +1, given that the CH-features for [C/Fe] = +1.5 are too strong compared with the observations. The lower panel shows SMSS J054650.97−471407.8 ([Fe/H]$_{fitter}$ = −4.5, $W(G)$ = 1.95), which has observed [C/Fe] ≈ 1.2 dex, given the strengths of the CH features compared to the models at [C/Fe] = +1.0 and +1.5 dex. Note that for this star the $T_{eff}$ used for the synthetic spectra (4800 K) is somewhat cooler than the $T_{eff}$ value (5125 K) in order to match the strength of the Hγ line in the observed spectrum. In both cases the evolutionary mixing corrections to the observed carbon abundance are of order 0.35 dex (natal abundance higher). Both are likely CEMP stars. We have not calculated further spectral fits for [C/Fe] determinations as for a large fraction of the stars plotted in Fig. 9, particularly for those in the lower two panels, detailed carbon abundances have been determined from Magellan/MIKE high dispersion spectra and are discussed in Yong et al. (2019).

### 3.5 The Observed Sample

The 2618 stars observed spectroscopically at low resolution represent almost exactly 10% of the total sample of 26,237 stars in the adopted selection window. The upper panel of Fig. 11 shows the location within the selection window of these stars, while the lower panel shows the full photometric sample. Not surprisingly, the distribution of the stars actually observed is clearly not a random sample of the underlying distribution — the 2.3m observers have tended to select stars for observation that mostly have negative values of the metallicity index in the expectation that this will enhance the chances of finding extremely metal-poor stars. This effect is illustrated in Fig. 12 where in the upper panel we show both the number of candidates observed and the number of candidates available as a function of $\delta m_3$, the difference in magnitudes at the $(g − i)_0$ of the star between the star’s metallicity index and the value of $m_3$ for the lower boundary of the selection window. The lower panel shows the ratio of these two quantities where it is evident that while we have at least 50% completeness for $\delta m_3 \leq -0.17$, the completeness drops rapidly as the number of candidates increases towards the lower boundary of the selection window.

We note that the observed sample is also not a random sample with respect to the distribution of $(g − i)_0$ colours — the 2.3m observers have tended to avoid the bluest and reddest candidates, particularly for more positive $m_3$ values. We assume that this latter bias does not introduce any systematic effects. Similarly, as regards the magnitude distribution of the stars observed, again observers have shown a preference for the brighter candidates: 25.2% of the $g \leq 13.0$
Figure 10. A comparison of observed spectra with synthetic spectra in the vicinity of the G-band (CH). **Upper panel:** observed spectrum (black line) for the star SMSS J191147.60–351911.7, for which the fitter determined values of $T_{\text{eff}}$, log $g$ and $[\text{Fe/H}]$ are 4825/1.625/–3.25 respectively, compared with a synthetic spectrum for 4800/1.5/–3.25 and $[\text{C/Fe}] = +1.0$ (red line). **Lower panel:** Observed spectrum for the star SMSS J054650.97–471407.8 (black line), which has fitter values of 5125/1.75/–4.5, with synthetic spectra (red lines) for 4800/1.5/–4.5 and $[\text{C/Fe}] = +1.0$ and +1.5 dex.

candidates have 2.3m spectra (219 stars observed), while for $13 < g \leq 15$ the observed fraction is 14.9% (1409 stars) and for $15 < g \leq 16.1$ it is 6.2% (990 stars).

The question then is: does this “observer bias” for more negative $m_i$ values result in the preferential discovery of more metal-poor stars than might be expected from the observation of a random (unbiased) selection of candidates from the input list? The answer, perhaps unexpectedly, is basically “no” in the sense that there is only a minor increase in the relative yield of the lowest metallicity stars compared to more metal-rich candidates. We illustrate this by considering the relation between the $\delta m_i$ values and $[\text{Fe/H}]_{\text{fitter}}$; nominally the expectation is that $\delta m_i$ will be more negative for more metal-poor stars. Fig. 13 then shows the mean values of $\delta m_i$ for the sets of stars with $–4.0 \leq [\text{Fe/H}]_{\text{fitter}} \leq –2.0$ dex against $[\text{Fe/H}]_{\text{fitter}}$ values. Shown also on the plot are the standard deviations in the $\delta m_i$ values for each metallicity group.

Fig. 13 reveals that the stars with $[\text{Fe/H}]_{\text{fitter}} \leq –3.5$ do have slightly more negative mean $\delta m_i$ values than the stars with $[\text{Fe/H}]_{\text{fitter}} \geq –3.25$, but the difference is small: given the standard deviations, a specific $\delta m_i$ value can correspond to basically any metallicity below –2.0 dex. While in some sense this is a disappointing outcome (though it should be kept in mind that contamination by more metal-rich stars is very minor) it does mean that there is little metallicity bias in the observed sample, and any bias present is in the

11 Recall that the $[\text{Fe/H}]_{\text{fitter}}$ values are quantized at the 0.25 dex level.
ple is also not consistent with a randomly selected sample as regards the distribution on the sky. Observations are conducted year round, but as Fig. 4 shows, the full sample is strongly concentrated in the part of the sky towards the Galactic Centre. Consequently, we have observed a smaller fraction of the total number of candidates in that direction than is the case, for example, at higher Galactic latitudes. The on-sky distribution of all the candidates within the photometric selection window and which have been observed at the 2.3m is shown in Fig. 14. There does not appear to be any obvious dependence on metallicity in this distribution.

4 METALLICITY DISTRIBUTION FUNCTION

In Fig. 15 we plot the Metallicity Distribution Function (MDF) for the stars observed within the photometric selection window in the form of log N versus [Fe/H]$_{\text{fitter}}$. We emphasize that because of our colour-based primary candidate selection (0.4 $\leq$ (g − i)$_0$ $\leq$ 1.0; see §2.2) the MDF is dominated by giants. What is immediately obvious from this figure is an apparent abrupt drop in the number of stars below [Fe/H]$_{\text{fitter}}$ $\leq$ −4.0 dex. Above this metallicity up to the turnover at [Fe/H]$_{\text{fitter}}$ $>$ −2.75, the points are consistent with a power-law distribution, with slope $\Delta \log N/\Delta [\text{Fe/H}] = 1.5 \pm 0.1$ dex per dex. This power slope does not change if the point at [Fe/H]$_{\text{fitter}}$ $=$ −2.75 is excluded from the fit.

Extrapolating the power-law predicts a total of five stars should have been observed with −5.0 $\leq$ [Fe/H]$_{\text{fitter}}$ $\leq$ −4.25 whereas there are three such stars in the current sample, all of which are apparently C-rich (see Fig. 9). Therefore the statistical weight of the apparent drop in star numbers is low if the three C-rich lowest metallicity stars are included. Indeed, assuming the power-law slope remains valid, and no more stars with [Fe/H]$_{\text{fitter}}$ $\leq$ −4.25 are discovered, then a sample that is between 3 and 4× larger, i.e., more than a thousand stars at [Fe/H]$_{\text{fitter}}$ $=$ −3.0, would be needed to establish the reality of the drop in the MDF at [Fe/H]$_{\text{fitter}}$ $<$ −4.0 with a significance exceeding the 3σ level. However, as shown in Fig. 9, the current sample is dominated by carbon-normal stars and there are no such stars in the sample with metallicities below −4 dex. The difference between five predicted stars and zero observed stars is then a 2.2σ result, which is somewhat more significant. A sample size only a factor of two larger would then be sufficient to establish the drop with a significance exceeding the 3σ level, if no carbon-normal stars with [Fe/H]$_{\text{fitter}}$ $\leq$ −4.25 occur in the enlarged sample. For completeness we note that a similar drop in the MDF is seen at [Fe/H] $\approx$ −4.2 in the corrected (log φ) MDF discussed in Yong et al. (2013), which is a completely independent sample. The corrected (log φ) MDF in Yong et al. (2013) also has a power-law slope between [Fe/H] $\approx$ −4.0 and −3.0 of $\sim$−1.7 dex per dex, similar to the value found here.

Before speculating as to any physical interpretation of the apparent drop in the MDF at [Fe/H]$_{\text{fitter}}$ $<$ −4.0, however, it is necessary to investigate possible additional biases present in the observed sample. Fig. 13 indicates that there may be a minor bias towards that inclusion of lower metallicity stars in the sample, but accounting for it would only reduce the number of more metal-poor stars relative to the number at [Fe/H]$_{\text{fitter}}$ $=$ −3.0 dex. It is also necessary to keep in mind that the observed sample is likely a mixture of both inner and outer halo populations (Carollo et al. 2007, 2010; An et al. 2013; Yoon et al. 2018), which may or may not have similar MDFs for [Fe/H] $\leq$ −3 and below: our sample likely includes stars at Galactocentric distances of up to $\sim$50 kpc. We intend to perform a full kinematic and spatial analysis of the current sample in a later study.

The largest possible bias is the extent to which carbon-enhanced metal-poor (CEMP) stars, namely those with [C/Fe] $\geq$ +0.7 dex are, or are not, under-represented in the present sample. In particular, CEMP stars, particularly CEMP-no stars (i.e., those lacking enhancements in n-capture elements) are increasingly common at abundances below [Fe/H] $\approx$ −3.0 and may in fact be dominant for [Fe/H] $<$ −4.0 dex (e.g. Placco et al. 2014; Yoon et al. 2018). Fig. 9 suggests that stars with large carbon enhancements are not common in our observed sample (except perhaps at the lowest metallicities) and so it may be that the drop in the observed MDF below −4.0 in Fig. 4 is a result of missing a number of CEMP stars at and below this metallicity. However, this is unlikely to be the entire explanation as the three stars in the sample with [Fe/H]$_{\text{fitter}}$ $<$ −4.0 and are all apparently C-rich (see Fig. 9), and the so-called ‘commissioning survey’, the pre-cursor of the current work, did discover SMSS J031300.36−670839.3, which has [Fe/H]$_{\text{3D,LTE}}$ $\approx$ −6.5 (Nordlander et al. 2017) and [C/H]$_{\text{3D,LTE}}$ $=$ −2.55 (Bessell et al. 2015), i.e., [C/Fe] $>$ +4 dex. For the most metal-poor star in the current sample, SMSS J160540.18−144323.1, which has [Fe/H]$_{\text{fitter}}$ $<$ −4.75$^{12}$, Nordlander et al. (2019) in fact find [Fe/H]$_{\text{1D,LTE}}$ = −6.2 ± 0.2 and [C/Fe]$_{\text{1D,LTE}}$ = +3.9 ± 0.2 from the analysis of a high dispersion spectrum.

We now discuss the role [C/Fe] plays in modifying, or

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$^{12}$ This star has E(B − V)$_{\text{SPD}}$ = 0.24 and as a result, the Ca II K line in the low resolution 2.3m spectrum, on which the fitter metallicity estimate is largely based, is likely to have a substantial interstellar component; the fitter value is therefore an upper limit on the actual abundance.
Figure 14. As for Fig. 4 except that only the locations of the 2618 stars within the photometric selection window and which have been observed at the 2.3m are shown.

Figure 15. The Metallicity Distribution Function (MDF) for the sample of stars within the photometric selection window with metallicities, \([\text{Fe/H}]_{\text{fitter}}\), determined from low resolution spectroscopy. Error bars based on Poisson statistics are shown. The dashed line is a least squares fit to the points between \([\text{Fe/H}]_{\text{fitter}} = -2.75\) and \(-4.0\) dex. The slope is \(1.5 \pm 0.1\) dex/dex. The three lowest metallicity stars are plotted as open symbols to indicate that all three are likely C-rich objects.

not, the chance that carbon-enhanced stars are included in the observed sample of stars.

4.1 The role of \([\text{C/Fe}]\)
In Fig. 16 we show the location in the SkyMapper metallicity-sensitive diagram of a subset of the 294 stars in our sample of known-EMP stars (see Fig. 3). The subset consists of 172 stars which have both \([\text{Fe/H}]\) and \([\text{C/Fe}]\) derived from high dispersion spectroscopic analyses that have \([\text{Fe/H}] \leq -2.5\), that also have \(0.4 \leq (g-i)_0 \leq 1.0\) in the DR1.1 photometry database, and that meet our photometric selection criteria. In all cases the \([\text{Fe/H}]\) and \([\text{C/Fe}]\) values plotted are those from 1D, LTE analyses. In Fig. 17 we also show the absolute carbon abundance \(A(C)\) against \([\text{Fe/H}]\) for this same sample of 172 stars. We note that there are no stars in common between this sample and the EMP-candidates with low resolution spectroscopy from the 2.3m telescope.

Given the morphology of Fig. 17 where the \([\text{C/Fe}] = +1\) line forms a distinct upper bound to the set of stars for which \([\text{Fe/H}]\) and \(A(C)\) vary together, we have elected to separate the stars into two classes, those with \([\text{C/Fe}] \leq +1\), which we will refer to as the “carbon-normal” set (155 stars), and those with \([\text{C/Fe}] > +1\), which we define as the CEMP stars category (17 stars)\(^{13}\). Our classification is different from the more conventional categorization of CEMP stars as those with \([\text{C/Fe}] \geq +0.7\) (e.g. Aoki et al. 2007). However, since the 7 stars in Fig. 17 with \([\text{C/Fe}]\) between +0.7 and 1.0 also follow the general correlation between \(A(C)\) and \([\text{Fe/H}]\) exhibited by the stars with \([\text{C/Fe}] \leq 0.7\), none of the subsequent discussion is affected by our choice of the \([\text{C/Fe}]\) value to distinguish the two classes.

The expectation for the carbon-normal set is that they all should lie within the selection window, but in fact \(\sim 8\%\) lie outside the window with \(m_i\) values generally just below the lower boundary. The metallicities of the stars outside the selection box do not show any obvious difference in distribution to that for the stars within the selection window: for example, the medians are \([\text{Fe/H}] = -2.84\) (n=13) and \([\text{Fe/H}] = -2.93\) (n=142), respectively. Examples of known\(^{13}\) We note specifically that the \([\text{C/Fe}]\) values employed here are the observed values; we have not made any attempt to correct the carbon abundances for the effects of evolutionary mixing as discussed in Placco et al. (2014).
carbon-normal stars whose photometry in the SkyMapper DR1.1 database places them outside our selection window include SMSS J091210.40–064427.9 ([Fe/H] = –2.64) and SMSS J133532.32–210632.9 ([Fe/H] = –2.73), both of which were identified as EMP-candidates in the SkyMapper commissioning-era photometry and followed-up at high dispersion by Jacobson et al. (2015). The most metal-poor known-abundance carbon-normal star outside the current selection window is the star HE 0057–5959, with [Fe/H] = –4.09 (Yong et al. 2013), which was (re)discovered in the commissioning-era survey (Jacobson et al. 2015). We conclude that errors in the DR1.1 photometry, particularly, the $g$-band, mean that the use of the current selection window results in an underestimate of less than 10% of the expected total number of carbon-normal stars with [Fe/H] $\leq$ –2.5 dex. There does not, however, appear to be any metallicity bias induced by this effect.

The situation is more complex for the CEMP category. For this grouping, six stars are within the window but eleven lie outside the window, with seven by a large margin (see Fig. 16). We address this by considering the absolute carbon abundance $A(C)$ vs [Fe/H] diagram introduced originally by Spite et al. (2013) and discussed extensively in Yoon et al. (2016). The location of the 172 known-abundance stars in this diagram is shown in Fig. 17: symbols are the same as for Fig. 16. Unlike Yoon et al. (2016) we have not restricted the stars plotted in the diagram to those with [C/Fe] $\geq$ +0.7, and this reveals that the ‘Group II’ stars, as classified by Yoon et al. (2016), are simply the carbon-richer section of a sequence where $A(C)$ and [Fe/H] vary together: lower [Fe/H] goes with lower $A(C)$ with the scatter at fixed [Fe/H] likely a combination of evolutionary mixing effects (which can decrease $A(C)$ from the original value) and intrinsic variation in the carbon abundances. These are the “carbon-normal” stars referred to above. For these stars, given the variety of sources from which they are drawn (see §2.1.2), there is no obvious evidence of incompleteness as a function of $A(C)$ or [Fe/H], and this class clearly lacks stars more metal-poor than [Fe/H] $\approx$ –4.2, consistent with the MDF shown in Fig. 15.

The carbon-rich stars in Fig. 17 reveal a similar distribution to that seen in Yoon et al. (2016). Nine have large $A(C)$ values that exceed $A(C) = 7.3$ and these are classified as ‘Group I’ stars in the terminology of Yoon et al. (2016). Yoon et al. (2016) note that the Group I stars are predominately CEMP stars that show enhancements of neutron-capture elements such as the CEMP-s stars. Indeed 8 of the 9 stars with $A(C) > 7.3$ in Fig. 17 are classified as CEMP-s, while one (CS22957-027, a known binary, Hansen et al. 2016) is a CEMP-no star. None of these stars is within 0.1 mag in $m_i$ of the lower boundary of the selection window (see Fig. 16) and most are 0.3 mag or more in $m_i$ away. The obvious conclusion is that the approach adopted here to select EMP stars is very strongly biased against the selection of Group I, i.e. predominantly CEMP-s, stars.

In the classification scheme of Yoon et al. (2016), stars that show little dependence of $A(C)$ on [Fe/H], and which have $A(C) < \sim 7$, are known as Group III stars. There are eight stars in our known-EMP sample that are classifiable as Group III stars in Fig. 17. Six of these stars are in the selection window including the two most Fe-poor stars: SMSS 031300.36–670839.3 with [Fe/H] $< –6.5$ (Nordlander et al. 2017) and HE 0107–5240 at [Fe/H] = –5.4 (Christlieb et al. 2004). Both stars have [C/Fe] $> +3.5$ dex. The other four Group III stars in the selection box are HE 1249–3121 ([Fe/H], [C/Fe]) = (–3.23, 1.81), HE 1351–0536 (–3.45, 1.51), HE 1506–0113 (–3.54, 1.47), and CS22877-001 (–2.72, 1.09), respectively. The two stars outside the selection window are HE 2139–5432 (–4.02, 2.59) and HE 1310–0536 (–4.15, 2.36), respectively. HE 2139–5432 and HE 1249–3121 make an in-
teresting pair: the \((g - i)_0\) values are essentially identical at 0.503 and 0.506, and with \(m_i = 0.076\) HE 1249–3121 lies just inside the selection window, while at \(m_i = 0.120\) HE 2139–5432 lies just outside the selection window. The stars also have essentially identical carbon abundance: \([\text{C/Fe}] \approx -1.4\) dex. Whether the difference \(m_i\) for these two stars is real, or simply a consequence of errors in the \(v\)-band photometry, cannot be definitely established. However, we note that while the known-EMP stars used were specifically selected from DR1.1 to have low tabulated photometric errors, it is likely that systematic variations in the zero point of the \(v\)-band DR1.1 photometry across the sky are present. Indeed, if the sample of 172 known abundance stars, less the 7 objects with \(m_i > 0.3\) (see Fig. 16), are grouped into 0.25 dex metallicity bins and the mean and sigma for the deviations from the base of the photometric selection box calculated (as in Fig. 13), then we again find that the standard deviation in each metallicity bin \((\sigma(\delta m_i) \approx 0.06\) mag) is virtually the same as for the stars observed at the 2.3m telescope. Once more this indicates that there is an overall uncertainty in the DR1.1 \(v\) magnitudes at the \(\sigma \approx 0.06\) mag level. Consequently, the difference in \(m_i\) values between HE 1249–3121 and HE 2139–5432 is less than \(1\sigma\) and the difference from their nominal ‘expected’ location in the metallicity-sensitive diagram is less than \(2\sigma\).

The effect of the contribution of enhanced CH-features in the spectrum of a CEMP star to its location in the SkyMapper metallicity-sensitive diagram is undoubtedly a complex function of effective temperature, \([\text{Fe/H}]\) and \([\text{C/Fe}]\), as well as of \([\text{N/Fe}]\) and \([\text{O/Fe}]\). Norris et al. (2013b) have demonstrated that the CEMP-no stars (C-rich by definition) are also strongly enhanced in O, with typically \([\text{O/Fe}] \approx 1.6\) dex. Enhancements in N are also common among these stars with \([\text{N/Fe}] \approx +1.0\) a typical value (see Fig. 2 of Norris et al. 2013b). The role of enhanced N, in addition to enhanced C, is potentially significant for the SkyMapper EMP-candidate selection process as the violet CN-band head at \(\lambda 3883A\) is within the SkyMapper \(v\)-filter band pass. Indeed Fig. 2 of Starkenburg et al. (2017) illustrates the possible effect of enhanced C and N, though we note that their synthetic spectra are for \(T_{\text{eff}} = 4500\) K, which corresponds to a \((g - i)_0\) colour exceeding 1.0 and is therefore outside our selection window. The synthetic spectra are also calculated for \([\text{Fe/H}] = -3.0\), which enhances the effect and, although not explicitly stated, they have likely used \([\text{O/Fe}] = +0.4\) (Starkenburg et al. 2017), a value which, for the C and N enhanced spectrum, is inconsistent with the results of Norris et al. (2013b).

As a qualitative illustration of the effects at a more relevant temperature and overall abundance, we show in Fig. 18 synthetic spectra, calculated as described in §3.4, for \(T_{\text{eff}} = 5000\) K \((g - i)_0 \approx 0.65\) at \([\text{Fe/H}] = -4.0\) and for the following specific cases: \([\text{C/Fe}] = [\text{N/Fe}] = [\text{O/Fe}] = 0.0; [\text{C/Fe}] = +2, [\text{N/Fe}] = [\text{O/Fe}] = 0.0; and, [\text{C/Fe}] = [\text{N/Fe}] = [\text{O/Fe}] = +2.0\) dex. Shown also in the panels are the bandpass of the SkyMapper \(v\) filter and the blue section of the bandpass for the \(g\) filter (\(g\) extends to \(\sim 6600A\)) taken from Bessell et al. (2011). While there are clear differences in the strengths of the G-band, as shown in the lower panel there are also notable differences between the spectra in the vicinity of \(\sim 3900A\), although they are not large. The synthetic spectra with only C-enhanced and the spectra with C, N and O enhanced are very similar with only a very slight difference between the two visible in the vicinity of the \(\lambda 3883\) A CN-band.

To investigate this further we show in Fig. 19 a synthetic SkyMapper metallicity-sensitive diagram that we have generated by applying the SkyMapper filter bandpasses to the flux distributions of the synthetic spectra, calculated for a set of temperature, gravity, \([\text{Fe/H}]\) and \([\text{C/Fe}], [\text{N/Fe}]\) and \([\text{O/Fe}]\) values. Chiti et al. (2019) present similar calculations. In each case the \(T_{\text{eff}}\) and log \(g\) values follow the giant branch isochrone appropriate for the metallicity. While the normalization, particularly as regards the \(m_i\) values, is not necessarily perfect, it is clearly reasonably consistent with the observations (see Figs. 3 and 16). What is apparent from this figure is that the \(m_i\) values are not strongly sensitive to enhancement in \([\text{C/Fe}]\) alone, or in \([\text{C/Fe}], [\text{N/Fe}]\) and \([\text{O/Fe}]\), for \([\text{Fe/H}] = -4.0\) dex and lower. Specifically, Fig. 19 shows that at \([\text{Fe/H}] = -5\) and \(-4\), the curves for just C-enhanced, or C, N and O enhanced values are virtually indistinguishable and remain within the selection window. Consequently, in the absence of any photometric uncertainties or systematic zero point errors, an unbiased selection of stars falling in the selection window should not exclude any C-rich (or CNO-rich) stars with \([\text{Fe/H}] \leq -4.0\) dex. It appears, therefore, that whether Group III stars with relatively low \([\text{Fe/H}]\) values fall inside or outside the selection box (such as the HE 1249–3121 and HE 2139–5432 pair) may be more related to errors in the \(v\)-filter photometry than to changes in \(v\)-band flux resulting from the higher carbon (and higher C, N and O) abundance.

At \([\text{Fe/H}] = -3.0\), the curves for C-enhanced, and C, N and O enhanced abundances, drop out of the selection box even at relatively blue colours, and become more offset as the temperature decreases. Consequently, in the absence of significant photometric uncertainties, an unbiased sample from within the selection box will likely lack, for \([\text{Fe/H}] \geq -3.0\) dex, stars strongly enhanced in C (or strongly enhanced in C, N and O). This is consistent with the fact that the Group I stars (see Fig. 17) in the known-stars sample fall outside the selection window (see Fig 16); they are C-rich (and likely also N and O-rich) and have \([\text{Fe/H}]\) values at or above \(-3.0\) dex. While to do so is beyond the scope of this paper, it is likely that we could explain the specific location of these stars in Fig. 16 with synthetic photometry and appropriate choices of \(T_{\text{eff}}, [\text{Fe/H}]\) and \([\text{C/Fe}], [\text{N/Fe}]\) and \([\text{O/Fe}]\).

What is clear from this analysis is that, in regard to Group III stars, because of the overall uncertainty in the \(v\)-band photometry and the small number of stars involved, we cannot make any strong statements about completeness from the current set of \([\text{Fe/H}])\) values, which are derived from the low resolution spectra of stars within the photometric selection window. We have revealed at least one new candidate (SMSS J160540.18–144323.1 with \([\text{Fe/H}] < -4.75\) and \([\text{C/Fe}]\) likely exceeding \(+2\); see Nordlander et al. (2019) for the actual values), but it is unclear how many Group III stars at \([\text{Fe/H}] \approx -4\) or thereabouts have been overlooked because, as Fig. 19 shows, the strong CH-features do move the star downwards (particularly at redder colours) in the metallicity-sensitive diagram into the region where the fraction of candidates observed is low, and the ‘contamination’ from somewhat more metal-rich objects is large.
What we can say with some security is that we do not appear to be seriously incomplete as regards the discovery of carbon-normal stars (which includes Group II objects), and that these dominate our sample. Therefore, given that the three stars with $[\text{Fe}/\text{H}]_{\text{fitters}} \leq -4.25$ in our sample are all likely C-rich objects (see Figs 9 and 10), we suggest that the apparent drop in the MDF in Fig. 15 for $[\text{Fe}/\text{H}]$ values below $-4.0$ likely applies principally to the carbon-normal (i.e., C scales with Fe) population. Equally, our sample provides insufficient information to address the form of the MDF for the Group III (CEMP-no) stars — such stars may also exhibit the drop in the MDF or may be stochastically distributed in $[\text{Fe}/\text{H}]$ to lower values.

5 DISCUSSION

As a number of authors (e.g. Beers & Christlieb 2005; Norris et al. 2013b; Frebel & Norris 2015; Norris & Yong 2019) have suggested, the existence of two populations of extremely metal-poor stars with different $[\text{C}/\text{Fe}]$ values implies the existence of two different star-formation processes at early epochs and low $[\text{Fe}/\text{H}]$ values. Specifically, the CEMP-no stars, particularly those classified as Group III where the C and Fe abundances are not strongly correlated, are thought to be objects formed via gas cooling processes that relied on high carbon (and probably oxygen, see, e.g. Norris et al. 2013b) abundances to achieve the necessary conditions for the formation of low-mass long-lived stars (e.g. Bromm & Loeb 2003; Frebel et al. 2007). By contrast, the extremely metal-poor stars where the C and Fe abundances tend to scale together, i.e., our carbon-normal category that includes the Yoon et al. (2016) Group II stars, are thought to be objects where dust cooling is more relevant to the formation of low-mass long-lived stars, i.e., a more ‘normal’ star formation process (e.g. Schneider et al. 2012; Chiaki et al. 2017). Consequently, the Group III stars are regarded as more ‘pristine’ in that, in a given star formation environment, their formation may have preceded that of the carbon-normal stars. Their element abundance ratios then provide constraints on the mass function of the Pop III progenitors (e.g. Frebel & Norris 2015; Nordlander et al. 2017).

Our principle contribution in this area is the demonstration of an apparent abrupt drop in the metallicity distribution function at $[\text{Fe}/\text{H}]_{\text{fitters}} \approx -4.0$ for a sample that we assert is relatively unbiased as regards metallicity and which is dominated by carbon-normal stars, i.e., those where C scales with Fe. Yong et al. (2013), using an entirely independent sample of EMP-stars, found a turnover in the MDF at a similar metallicity though that work did not explicitly connect the MDF-turnover with the carbon-normal population. Further, as is evident in Fig. 17, the 155 carbon-normal objects (i.e., those with $[\text{C}/\text{Fe}]_{1D,\text{LTE}} \lesssim 1.0$) in our sample of known abundance stars, also show a turnover in the metallicity distribution at $[\text{Fe}/\text{H}]_{1D,\text{LTE}} \approx -4.2$ dex, consistent with that seen in Fig. 15. Further, Yoon et al. (2018) using a different sample, have asserted that CEMP-no stars, primarily Group III objects, dominate at metallicities $[\text{Fe}/\text{H}]_{1D,\text{LTE}} \approx -4.0$ and lower, though the number of objects in their sample at the lowest metallicities is small. Norris & Yong (2019) discuss these topics in detail, with a particular emphasis on correcting the derived 1D, LTE carbon and iron abundances for 3D and NLTE effects, which are significant (Asplund 2005; Collet et al. 2006, 2018). They nevertheless find, using a sample that is dominated by that of Yong et al. (2013), that the drop in the relative frequency in carbon-normal stars remains although the location moves...
from \([\text{Fe/H}]_{\text{D,LTE}} \approx -4.2\) to \([\text{Fe/H}]_{\text{D,NLTE}} \approx -4.0\) (Norris & Yong 2019). Consistent with these earlier results, we propose that a metallicity of this order marks, within a specific environment, the transition from dominant carbon (and oxygen) driven gas cooling processes for low-mass star formation to dominant more normal dust cooling driven star formation.

Carbon-normal, or indeed Group II, stars appear to be extremely rare for \([\text{Fe/H}]_{\text{D,LTE}} < -4.2\). The most well-known example is SDSS J102915+172927 (Caffau et al. 2011), which, with \([\text{Fe/H}] < -3.8\), has \([\text{C/Fe}]_{\text{D,LTE}} < 0.9\) and \([\text{A(C)}]_{\text{D,LTE}} < 4.6\) dex. It can therefore arguably be classified as a ‘carbon-normal’ object. More recently, Starkenburg et al. (2018) have reported abundances for the star Pristine_221.8781+9.7844 finding \([\text{Fe/H}]_{\text{D,LTE}} = -4.66\) and \([\text{C/Fe}]_{\text{D,LTE}} < 1.76\) and \([\text{A(C)}]_{\text{D,LTE}} < 5.6\) (Starkenburg et al. 2018). It is not clear how to classify this star – a carbon abundance at least 0.8 dex lower is required if the star is ‘carbon-normal’, while a value \(\sim 0.3\) dex lower would make the star similar to HE 0557–4840\(^{14}\) that has a measured \([\text{Fe/H}]_{\text{D,LTE}} = -4.75\), \([\text{C/Fe}]_{\text{D,LTE}} = 1.66\) and \([\text{A(C)}]_{\text{D,LTE}} = 5.3\) (Norris et al. 2007). This object is perhaps best classified as the most carbon-poor of the Group III stars, and Pristine_221.8781+9.7844 may well be similar.

6 SUMMARY AND OUTLOOK

We have described here an extensive and on-going program that uses a combination of SkyMapper DR1.1 photometry and low-resolution spectroscopic follow-up with the ANU 2.3m telescope to identify extremely metal-poor stars in the Galactic halo. By deliberate choice of the colour range for the candidate stars, the survey targets EMP giants. It has proved to be a very efficient approach with over 40% of the photometric candidates having \([\text{Fe/H}] \leq -2.75\) as derived from the low-resolution spectra. The low-resolution spectra allow the identification of 142 stars within the selection window boundaries that have \([\text{Fe/H}]_{\text{fitter}} < -3.0\) and which, to our knowledge, have not been previously studied. The parameters for these stars are given in Table 2 while Table 3 gives the same data for a further 29 candidates whose DR1.1 photometry falls outside the selection window or which fail the adopted DR1.1 photometric selection criteria.

The majority of these EMP candidates have been observed at high resolution with the MIKE echelle spectrograph on the Magellan Clay 6.5m telescope and the abundance analyses will be presented in a forthcoming paper (Yong et al. 2019). When combined with the high-dispersion follow-up of SkyMapper commissioning-era EMP candidates discussed in Jacobson et al. (2015) and Marino et al. (2019),

\(^{14}\) HE 0557–4840 is in the SkyMapper DR1.1 database but it did not make it into our list of known stars meeting the photometric selection criteria, i.e., the stars plotted in Fig. 17 for example, as with a value of 2, its database entry fails the \(n_{\text{CHISEL}} = 1\) selection criteria. The listed photometry, however, yields \((g - r) = 0.61\) and \(m_g = -0.06\) placing it well within the photometric selection window in Fig. 16. It would then be plotted as a filled-star symbol in Fig. 17.

The results will represent by far the largest sample of consistently selected and analysed element abundance measurements for extremely metal-poor stars. The most metal-poor star discovered in this survey to date is SMSS J160540.18–144323.1 which has \([\text{Fe/H}]_{\text{fitter}} < -4.75\) and \([\text{C/Fe}]\) likely exceeding +2 dex. A detailed discussion of the abundances for this star, again based on Magellan/MIKE spectra, is given in Nordlander et al. (2019) where it is shown that the star has \([\text{Fe/H}]_{\text{D,LTE}} = -6.2 \pm 0.2\) and \([\text{C/Fe}]_{\text{D,LTE}} = 3.9 \pm 0.2\) dex.

We have also demonstrated, using metal-poor stars with known abundances that meet our DR1.1 selection criteria together with spectrum synthesis applied to the low-resolution spectra of the more metal-poor stars, that the observed sample is dominated by ‘carbon-normal’ stars, i.e., those stars in which the carbon abundance scales with iron. Stars with very strong carbon enhancements, particularly CEMP-stars with neutron-capture element enhancements and \([\text{Fe/H}] \geq -3.3\) (approximately), are likely to be entirely absent from the current photometric selection due to the reduced flux, relative to a carbon-normal star, in the bandpass of the \(\nu\)-filter. Nevertheless, CEMP-stars (likely mostly CEMP-no) are present in the current set of stars with 2.3m telescope spectroscopy, but the level of completeness for such stars is a complex function of \([\text{Fe/H}]\), \([\text{C/Fe}]\) and \(T_{\text{eff}}\) uncertainties in the \(\nu\)-band photometry which we have not attempted to quantify in detail.

On the other hand, the sample of carbon-normal stars (i.e., those where the carbon and iron abundances scale together) with low-resolution spectra, which dominate the numbers, is largely unbiased with respect to metallicity, and the metallicity distribution function for these stars appears to drop abruptly for \([\text{Fe/H}]_{\text{fitter}} \sim -4.2\) dex. We argue, as have others, that this limit represents, within a specific environment, the threshold abundance for the formation of low-mass stars via dust-driven gas-cooling process analogous to those of the present-day. In that sense these stars are ‘less primordial’ than the carbon-rich CEMP-no stars (carbon and iron abundances are uncorrelated) for which the low-mass star formation at the earliest epochs is governed by gas-cooling processes involving carbon and oxygen. Unfortunately, the current sample does not provide any significant constraints on the MDF for these latter stars.

As for the future of the SkyMapper search for EMP stars, it is clear that the current selection process is largely constrained by the (systematic and photometric) errors in the \(\nu\)-filter magnitudes in the DR1.1 photometry database. The application of the \(m_i\) index is clearly very useful in determining metal-poor candidates that have \([\text{Fe/H}] \leq -2.0\), but the level of further metallicity discrimination at lower abundances is not strong with the DR1.1 photometry. The SkyMapper DR2 data release (Onken et al. 2019) is likely to be a significant improvement over the DR1.1 photometry for two reasons. First, since DR2 contains the first main survey data, which goes substantially fainter than the shallow survey underlying DR1.1, there should be significant improvements in the photometric precision of the \(\nu\)-magnitudes for the \(g < 16\) stars with main survey photometry. Second, as described in Onken et al. (2019), the calibration of the zero-point of the DR2 photometry across the sky is expected to be much more uniform than for DR1.1 as it is based on Gaia DR2 magnitudes. Both these improvements should lead to
enhanced metallicity discrimination with the DR2 photometry.

Regarding the spectroscopic follow-up, the 2.3m telescope program of low-resolution spectroscopy will continue, aiming primarily at the best DR2 EMP-candidates. We also have ancillary science program status with the forthcoming Taipan survey (da Cunha et al. 2017) in which the allocation of “spare fibres” to EMP-candidates will allow low-resolution spectra to be obtained for stars with $15 \leq g \leq 16$ on an ongoing basis, using the multi-fibre spectroscopic system at the UK Schmidt telescope. The EMP-candidates to be observed in the Taipan survey, typically a few per 6-degree diameter survey field, are likely to provide a largely unbiased sampling of the candidate list, and over the ~4 year duration of the Taipan survey, we expect to obtain 10,000 or more EMP-candidate spectra. Further, since our selection process is strongly giant focussed, we also intend to make use of the astrometric information provided in the Gaia DR2 database (Gaia Collaboration 2018) to remove from the candidate list of any contaminating dwarfs that masquerade as metal-poor (Gaia Collaboration 2018) to remove from the candidate list. The improved DR2 photometry will also make it worthwhile to explore additional selection information that incorporates other SkyMapper photometry beyond just $v_g$, as well as near- and mid-IR photometry (e.g. Schlaufman & Casey 2014). It may then be possible, for example, to specifically identify and target candidate CEMP-no stars. The quest for evermore primordial stars will continue.

ACKNOWLEDGEMENTS

The authors would like to acknowledge the many people, both at Siding Spring Observatory and at Mt Stromlo, who have contributed to the SkyMapper Southern Sky Survey through the on-going operation of the telescope and its systems, the processing of the imaging, and the generation and distribution of the data products. Without these contributions there would be no EMP-star project.

The authors also acknowledge and appreciate the contribution of Aaron Dotter who calculated isochrones consistent with those of the Dartmouth Stellar Evolution Database but which have lower metallicities.

SkyMapper research on EMP stars has been supported in part through the Australian Research Council (ARC) Discovery Projects DP120101237 and DP150103294 (Lead-Cl Da Costa). A. D. M. is supported by an ARC Future Fellowship (FT160100206) and A. R. C. is supported in part by ARC Discovery Project DP160100637. Parts of this research were conducted under the auspices of the ARC Centre of Excellence for All Sky Astrophysics in 3 Dimensions (ASTRO 3D), which is supported through project number CE170100013. The project has also received funding from the European Unions Horizon 2020 research and innovation programme under the Marie Skłodowska-Curie Grant Agreement No. 797100 (beneficiary: A. F. M.)

The national facility capability for SkyMapper has been funded through ARC LIEF grant LE130100104 from the Australian Research Council, awarded to the University of Sydney, the Australian National University, Swinburne University of Technology, the University of Queensland, the University of Western Australia, the University of Melbourne, Curtin University of Technology, Monash University and the Australian Astronomical Observatory. SkyMapper is owned and operated by The Australian National University’s Research School of Astronomy and Astrophysics. The survey data were processed and provided by the SkyMapper Team at ANU. The SkyMapper node of the All-Sky Observatory (ASVO) is hosted at the National Computational Infrastructure (NCI). Development and support the SkyMapper node of the ASVO has been funded in part by Astronomy Australia Limited (AAL) and the Australian Government through the Commonwealth’s Education Investment Fund (EIF) and National Collaborative Research Infrastructure Strategy (NCRIS), particularly the National eResearch Collaboration Tools and Resources (NeCTAR) and the Australian National Data Service Projects (ANDS).

This work has made use of data from the European Space Agency (ESA) mission Gaia (https://www.cosmos.esa.int/web/gaia/dpac/consortium), processed by the Gaia Data Processing and Analysis Consortium (DPAC, https://www.cosmos.esa.int/web/gaia/dpac/consortium). Funding for the DPAC has been provided by national institutions, in particular the institutions participating in the Gaia Multilateral Agreement.

We also acknowledge the traditional owners of the land on which the SkyMapper telescope stands, the Gamilaraay people, and pay our respects to elders past, present and emerging.

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