Mortality, stroke, and heart failure in atrial fibrillation cohorts after ablation versus propensity-matched cohorts

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Background: We sought to determine from key clinical outcomes whether catheter ablation of atrial fibrillation (AF) is associated with increased survival.

Methods and results: Using routinely collected hospital data, ablation patients were matched to two control cohorts using direct and propensity score methodology. Four thousand nine hundred ninety-one ablation patients were matched 1:1 with general AF controls without ablation. Five thousand four hundred seven ablation patients were similarly matched to controls who underwent cardioversion. We examined the rates of ischemic stroke or transient ischemic attack (stroke/TIA), heart failure hospitalization, and death. Matched populations had very similar comorbidity profiles, including nearly identical CHA2DS2-VASc risk distribution (p=0.6948 and p=0.8152 vs general AF and cardioversion cohorts). Kaplan-Meier models showed increased survival after ablation for all outcomes compared with both control cohorts (p<0.0001 for all outcomes vs general AF, p=0.0087 for stroke/TIA, p<0.0001 for heart failure, and p<0.0001 for death vs cardioversion). Cox regression models also showed improved survival after ablation for all outcomes compared with the general AF cohort (hazard ratio [HR]=0.4, 95% confidence interval [95% CI]: 0.3–0.6, p<0.0001 for stroke/TIA; HR=0.4, 95% CI: 0.2–0.6, p<0.0001 for heart failure; HR=0.1, 95% CI: 0.1–0.1, p<0.0001 for death) and the cardioversion cohort (HR=0.6, 95% CI: 0.4–0.9, p=0.0111 for stroke/TIA; HR=0.4, 95% CI: 0.3–0.6, p<0.0001 for heart failure; HR=0.3, 95% CI:0.2–0.5, p<0.0001 for death).

Conclusions: Catheter ablation of AF was associated with very significant reductions in mortality, stroke/TIA, and heart failure compared with a matched general AF population and a matched population who underwent cardioversion. Potential confounding of outcomes was minimized by very tight cohort matching.

Keywords: atrial fibrillation, catheter ablation, cardioversion, ischemic stroke, heart failure

Introduction

Atrial fibrillation (AF) is a powerful independent risk factor for death,1 ischemic stroke,2 heart failure,3 and reduced quality of life.4 It is unclear whether sinus rhythm restoration improves these outcomes. Randomized testing of rhythm control with drugs and cardioversion did not demonstrate mortality benefit over rate control; however, subsequent analyses suggest that the benefit of sinus rhythm has been offset by the deleterious effects of antiarrhythmic drugs and inappropriate interruption of anticoagulation.5 More recent trials have shown reduction in cardiovascular hospitalization or death with newer antiarrhythmics.7
Catheter ablation of AF has proven superior to antiarrhythmic drugs at maintaining sinus rhythm in multiple randomized trials. However, procedural complications are possible and the effect on key clinical outcomes is unknown; thus, it is indicated in guidelines only for symptomatic patients who have addressed the question. Although results of large randomized trials to assess the impact on clinical outcomes – Catheter Ablation versus Antiarrhythmic Drug Therapy for Atrial Fibrillation (CABANA) and Early Treatment of Atrial Fibrillation for Stroke Prevention Trial (EAST) – are awaited, studies using nonrandomized methodologies of increasing sophistication have addressed the question.

We utilized a retrospective cohort design with very large and well-matched cohorts to study the rates of ischemic stroke or transient ischemic attack (stroke/TIA), heart failure hospitalization, and death in hospital for AF patients having catheter ablation in the United Kingdom (UK) compared with AF patients undergoing cardioversion and with a more general AF cohort.

**Methods**

**Data source**

The data source was the Hospital Episode Statistics (HES) database. HES contains over 125 million records annually, comprising all National Health Service (NHS) inpatient episodes, outpatient appointments, and emergency department attendances in England.

The particular dataset utilized for this study included anonymized health records for inpatient and outpatient hospital visits from 1996 to the end of 2013. Within the UK, outpatient visits include office visits for medical specialty care. This source data contained demographics, chronology, primary diagnosis, and procedure codes for each visit, and indicators of the occurrence of other key diagnoses and procedures of interest, whether primary or secondary. The diagnoses and procedures of interest were defined at each visit by observation of recorded International Classification of Diseases, 10th Revision (ICD-10) and Office of Population Censuses and Surveys (OPCS) Classification of Surgical Interventions and Procedures, 4th Revision (OPCS-4) codes.

**Patient selection**

All patients in the study were required to have their first record of AF (ICD-10 code I48) seen during or after April 2005 with at least one record of AF observed during or after 2008 in order to assure a contemporary population. Patient cohorts included subsets of patients with or without treatment with pulmonary vein ablation (PVA), meaning percutaneous transluminal AF ablation incorporating pulmonary vein isolation. Patients with evidence of valvular disease or confounding pacing or ablation procedures, as discerned via ICD-10 or OPCS-4 codes, were excluded (Supplementary Table).

**Cohort definition**

In addition to the general inclusion and exclusion criteria, three cohorts were identified through the application of cohort-specific criteria. The treatment cohort of interest, the PVA cohort, was required to have a record of percutaneous transluminal PVA for AF (ICD-10 procedure code K621) concurrent with a primary diagnosis of AF, and with no other type of catheter or surgical ablation at any time. The cardioversion cohort was the subset of the general AF control cohort with a primary procedure code for direct current cardioversion (OPCS4 procedure code X501 or X502) recorded with a primary diagnosis code for AF.

**Definitions of timing, risk factors, and outcomes**

The index date, or “time zero,” after which all outcomes were measured, was defined as the first PVA for the ablation cohort, the second hospital record for the AF control cohort, and the first cardioversion for the cardioversion cohort.

Risk factors at baseline were defined via diagnosis and procedure codes observed within 3 years prior to the index date (Supplementary Table). These risk factors include stroke or TIA, hypertension, diabetes, heart failure, peripheral vascular disease, coronary artery disease, sleep apnea, hemorrhagic stroke, cardiomyopathy, obesity, other arrhythmias or conduction disorders, chronic kidney disease, chronic obstructive pulmonary disease, hyperlipidemia, and pulmonary disease. In addition, coronary artery bypass graft and percutaneous coronary intervention procedures were included as evidence of vascular disease. CHADS2 and CHA2DS2-VASc scores for stroke risk were also calculated.

The outcomes of interest were the diagnosis of ischemic stroke/TIA (ICD-10 diagnosis codes I63-I64x, G45), patient hospitalization for heart failure (ICD-10 diagnosis codes I50, I110, I130, I132), and all-cause inpatient death.

In addition to the occurrence of stroke, heart failure, and death, the timing of these outcomes was also of interest. All events occurring on or after a patient’s index date were considered to be the outcomes of interest, with the first occurrence of each event type defined as the survival outcome.
Cohort matching

To reduce selection bias and create cohorts of clinically well-matched patients, a combination of propensity and direct matching was used to match ablation patients in a 1:1 ratio with patients in the general AF control cohort, and separately with patients in the cardioversion cohort.

Propensity scores, defined as the patients’ probabilities of having PVA treatment given their individual profile of observed characteristics at or prior to their index date, were calculated separately for each control cohort via logistic regression models. These models used 17 baseline comorbidity condition indicators as potential predictors, including diagnoses of ischemic stroke or TIA, hemorrhagic stroke, hypertension, diabetes, heart failure, hyperlipidemia, peripheral vascular disease, coronary artery disease, sleep apnea, cardiomyopathy, obesity, other arrhythmias, chronic kidney disease, chronic obstructive pulmonary disease, and other pulmonary diseases, as well as coronary artery bypass graft and percutaneous coronary intervention procedures. Baseline indicators for each comorbidity were defined as any record of the condition within 3 years prior to a patient’s index date. Stepwise selection was used to retain only conditions that significantly impacted treatment cohort as covariates within each logistic model. The resulting sets of propensity scores summarize each patient’s individual probability of being in the ablation cohort versus the control cohort.

The two sets of propensity scores, one for each control group, were used simultaneously with several additional metrics to match ablation patients with general AF patients, and separately with cardioversion patients. An SAS macro called gmatch, developed by the Mayo Clinic Biomedical Statistics and Informatics Division, was used to match patients in a 1:1 ratio on age, sex, calendar year of index date, and time from AF diagnosis to index date, as well as on the resulting comorbidity-based propensity scores.21

Statistical models

Survival analysis methods, including Kaplan–Meier and Cox regression, were used to model the time to event for each of the three outcomes of interest. These methods correctly incorporate the information from both censored and uncensored patients, with censored patients defined as those with no event through 2013. Stroke/TIA outcomes that occurred on the index date through day 6 postindex were excluded from statistical models in order to avoid violating the proportional hazard assumption via inclusion of treatment-related events, but are reported separately.

Product limit estimates of the cumulative proportion of patients experiencing stroke/TIA, heart failure hospitalization, and death in a hospital setting were calculated at yearly intervals, by matched cohorts, using Kaplan–Meier survival models. Kaplan–Meier models are unadjusted nonparametric models of time-to-event outcomes as a function of a single predictor variable. Thus, six models were used to model the three outcomes of interest for each of the two matched populations, with cohort as the single predictor in each model.

Hazard ratios (HRs) for treatment cohort, as well as for additional statistically significant predictors of stroke/TIA, heart failure, and death, were also derived from multivariable Cox regression models. Again, a total of six models were used, in this case to produce adjusted HR estimates. The confounders retained in each model were chosen via stepwise selection from among age, sex, index year, and all comorbid risks observed within 3 years prior to the index date, as defined previously.

All statistical analyses in this study were performed using SAS software, version 9.2 (SAS Institute, Inc., Cary, NC, USA). All data used to perform this analysis were de-identified and accessed in compliance with the Health Insurance Portability and Accountability Act. As a retrospective analysis of a de-identified database, the research was exempt from the Institutional Review Board review under 45 CFR 46.101(b)(4).

Results

A total of 1,409,106 patients had a diagnosis of AF within the required timeframes for the study. Of these AF patients, 6,356 met all inclusion and exclusion criteria for the catheter ablation cohort. An additional 81,189 patients met all criteria for inclusion in the cardioversion cohort, and 1,312,339 patients met the criteria for remaining in the general AF cohort. These cohorts were then used for matching ablation patients with similar cardioversion patients, and separately with similar general AF patients.

Matched cohorts

The final analysis populations included 4,991 patients from the PVA cohort that were matched 1:1 with patients from the general AF control cohort, and 5,407 PVA patients similarly matched with patients from the cardioversion control cohort. Each matched population had very similar demographic and comorbidity profiles across cohorts (Table 1). In addition, both the CHADS2 and CHA2DS2-VASc risk score profiles were nearly identical across the PVA versus general AF match (p=0.5588 and p=0.6948, respectively) and across the PVA versus cardioversion match (p=0.7428 and p=0.8152,
### Table 1 Baseline patient characteristics

| Comorbid conditiona | PVA vs AF match (N=4,991 per cohort) | PVA vs CV match (N=5,407 per cohort) |
|---------------------|--------------------------------------|--------------------------------------|
|                     | PVA       | AF Control | PVA       | CV Control |
| Arrhythmias – other than AF | 1.7%      | 1.7%       | 0.8%      | 0.6%       |
| Chronic obstructive pulmonary disease | 1.7%      | 1.6%       | 1.7%      | 1.4%       |
| Chronic kidney disease | 0.7%      | 0.6%       | 1.4%      | 1.5%       |
| Diabetes            | 5.5%      | 5.3%       | 5.5%      | 5.3%       |
| Heart failure       | 0.5%      | 0.4%       | 0.6%      | 0.5%       |
| Hyperlipidemia      | 15.2%     | 15.0%      | 16.7%     | 16.6%      |
| Hypertension        | 33.4%     | 33.1%      | 37.4%     | 37.5%      |
| Obesity             | 2.2%      | 2.4%       | 3.0%      | 2.9%       |
| Stroke (ischemic) or TIA | 1.4%      | 1.5%       | 1.9%      | 1.8%       |
| Stroke (ischemic)   | 0.8%      | 1.3%       | 1.1%      | 1.3%       |
| TIA                 | 0.7%      | 0.2%       | 0.9%      | 0.6%       |
| Vascular disease    | 14.8%     | 14.4%      | 13.5%     | 13.2%      |
| Age group (years)   |           |            |           |           |
| <35                 | 3.0%      | 3.2%       | 2.6%      | 2.6%       |
| 35–44               | 9.1%      | 8.8%       | 8.1%      | 8.1%       |
| 45–54               | 22.6%     | 22.5%      | 21.5%     | 21.4%      |
| 55–64               | 35.9%     | 36.2%      | 37.3%     | 37.4%      |
| 65–74               | 25.4%     | 24.9%      | 26.4%     | 26.4%      |
| 75–84               | 4.0%      | 4.2%       | 4.0%      | 4.0%       |
| ≥85                 | 0.1%      | 0.2%       | 0.1%      | 0.1%       |
| Gender              |           |            |           |           |
| Female              | 30.9%     | 30.9%      | 29.6%     | 29.6%      |
| Male                | 69.1%     | 68.9%      | 70.4%     | 70.4%      |
| Undetermined        | 0.0%      | 0.2%       | 0.0%      | 0.0%       |
| Year of the index date |           |            |           |           |
| 2007                | 2.0%      | 2.3%       | 2.0%      | 1.9%       |
| 2008                | 6.8%      | 6.5%       | 6.4%      | 6.7%       |
| 2009                | 10.2%     | 10.5%      | 9.7%      | 9.4%       |
| 2010                | 12.7%     | 13.9%      | 12.8%     | 12.8%      |
| 2011                | 17.8%     | 17.7%      | 17.5%     | 17.4%      |
| 2012                | 23.4%     | 23.2%      | 23.3%     | 23.4%      |
| 2013                | 24.9%     | 24.3%      | 26.3%     | 26.3%      |
| 2014                | 2.3%      | 1.6%       | 2.1%      | 2.1%       |
| Days from the first AF to index date |           |            |           |           |
| Mean                | 450       | 444        | 630       | 165        |
| Median              | 284       | 278        | 399       | 0          |
| Interquartile range | 676       | 678        | 991       | 393        |
| Months of follow-up after the index date |           |            |           |           |
| Mean                | 28.1      | 28.9       | 27.5      | 27.8       |
| Median              | 24.0      | 25.0       | 24.0      | 23.0       |
| Interquartile range | 11–42     | 12–44      | 11–41     | 11–42      |
| CHA2DS2-VASc risk score |           |            |           |           |
| 0                   | 34.6%     | 33.8%      | 33.5%     | 33.5%      |
| 1                   | 30.9%     | 31.7%      | 30.6%     | 30.8%      |
| 2                   | 19.4%     | 19.9%      | 19.8%     | 20.0%      |
| 3                   | 10.3%     | 10.1%      | 11.1%     | 10.9%      |
| 4                   | 3.4%      | 3.4%       | 3.6%      | 3.8%       |
| 5                   | 1.2%      | 0.9%       | 1.2%      | 0.9%       |
| 6                   | 0.2%      | 0.1%       | 0.2%      | 0.1%       |
| ≥7                  | 0.0%      | 0.0%       | 0.0%      | 0.0%       |

**Notes:** aComorbid conditions denote a diagnosis recorded within 3 years prior to the index date.

**Abbreviations:** AF, atrial fibrillation; CV, cardioversion; PVA, pulmonary vein ablation; TIA, transient ischemic attack.
respectively) \((\text{CHA}_2\text{DS}_2\text{-VASc}, \text{Table 1, Figure S1A, B})\). Patients at low risk for stroke, as defined by a \((\text{CHA}_2\text{DS}_2\text{-VASc})\) score <2, comprised nearly two-thirds of the population in each matched cohort (Table 1).

Each matched patient pair in each of the two matched populations was of the same sex, had index visits within one calendar year, and had propensity scores within 0.0001. The PVA versus general AF control matches were also within 2 years in length of time from initial AF diagnosis to the index date. The PVA versus cardioversion control matches were within 1 year of the same age at their index date. Available follow-up time from index through 2013 was also similar across cohorts for both matches due to matching on the index year (Figure S2A, B).

Baseline patient characteristics with statistical significance at the 0.1 level are shown in Tables 2–4, with \(p\)-values and HRs for each.

Survival models

Kaplan–Meier models show that treatment with PVA is associated with reductions in both stroke and heart failure events, as well as deaths in a hospital setting. In the matched PVA versus general AF cohorts, all \(p\)-values for statistical significance of treatment cohort are <0.0001. For the PVA versus cardioversion match, \(p\)-values range from 0.0087 for the stroke endpoint to <0.0001 for the heart failure and death endpoints. Product limit survival estimates of cumulative event rates for each outcome are plotted by year in Figure 1A–C.

Cox regression models confirm that even after further adjustment for baseline risk factors, PVA is highly predictive of improved survival for each of the stroke, heart failure, and death outcomes, compared with either control cohort. \(p\)-Values range from 0.011 for stroke in the PVA versus cardioversion matched population to <0.0001 for stroke in the PVA versus general AF matched population, and <0.0001 for both heart failure hospitalization and death in hospital for both matches. Baseline patient characteristics with statistical significance at the 0.1 level are shown in Tables 2–4, with \(p\)-values and HRs for each.

The stroke/TIA rates from the index date through day 6, which were not included in the survival models, were higher in the general AF cohort than in the matched PVA cohort (22 vs 10 events, \(N=4,991\) each) and were lower in the
As expected, prior stroke/TIA is a statistically significant predictor of stroke/TIA survival, and prior heart failure is a significant predictor of heart failure hospitalization, regardless of the matched population. The association with a prior similar event is stronger for heart failure (p < 0.0001, HR = 24.6 for the PVA/general AF match; p < 0.0001, HR = 6.8 for the PVA/cardioversion match) than for stroke/TIA (p = 0.0004, HR = 4.1 for the PVA/general AF match; p = 0.0052, HR = 3.0 for the PVA/cardioversion match). Cohort and age are predictive of all three outcomes, with the HR for age based on each 1-year increase. Most components of the CHA2DS2-VASc score are also significant predictors of stroke/TIA survival. In addition to some of the same risk factors seen in the stroke and heart failure models, chronic kidney disease and chronic obstructive pulmonary disease were highly significant predictors of death in hospital for both matched populations (Tables 2–4).

**Discussion**
Among ~5,000 patients undergoing PVA, we found that the rates of mortality, heart failure, and stroke/TIA were all significantly lower than in very well-matched cohorts of
cardioversion and general AF patients. The HR for individual outcomes with PVA was between 0.1 and 0.6 compared with other cohorts.

Although PVA is superior to drugs in maintaining sinus rhythm, large randomized trials have not yet reported on whether this improves clinical outcomes. Several studies reporting anticoagulation discontinuation after successful PVA have demonstrated very low stroke rates. Other studies have shown continued higher stroke rates after cardioversion and antiarrhythmic drugs. In addition, PVA appears to prevent progression of paroxysmal to persistent AF, a progression associated with increased stroke and mortality. Freedom from AF following PVA is the most powerful predictor of stroke- and death-free survival, which have been shown to occur at rates comparable to age- and sex-matched controls without AF. Additionally, randomized trials have shown significant improvements in key clinical outcomes after PVA versus rate control in heart failure patients.

Several retrospective cohort studies have shown consistent results. An early study found lower rates of death, stroke, and dementia in 4,212 PVA patients than in age- and sex-matched AF controls, comparable to controls without AF. Matching the same PVA cohort by age, sex, and CHADS2 score also demonstrated stroke rates lower than a general AF population and comparable to a population without AF. An initial propensity-matched study comparing 801 patients with PVA between 2005 and 2009 to general AF patients demonstrated significantly lower stroke rates and a nonsignificant reduction in heart failure hospitalization, whereas another with 846 matched pairs between 2003 and 2009 found lower rates of stroke and nonsignificant reductions in death and heart failure hospitalization. Most recently, a propensity-matched study compared 12,122 PVA patients with cardioversion patients and found a significantly lower stroke rate in the PVA group.

This study is notable for the very large PVA cohorts and the quality of the matching across many key baseline risk factors. The significant predictors of all three outcomes identified by Cox regression analysis were highly intuitive (for instance, including almost all elements of the CHA2DS2-VASc score for the stroke/TIA outcome), thus providing a level of validity for the quality of the methodology. The PVA population was also contemporary, with over half of the procedures in 2012 or later.

This is the first propensity-matched analysis to demonstrate significantly lower rates of heart failure hospitalization and death after catheter ablation of AF compared to control cohorts. It is also the first to examine these outcomes relative to a cardioversion cohort. The HRs and significance levels demonstrated a greatly reduced risk for all measured outcomes after PVA compared to matched AF patients (stroke/TIA: HR=0.4, p<0.001; heart failure: HR=0.4, p<0.001; death: HR=0.1, p<0.001) and to matched cardioversion patients (stroke/TIA: HR=0.6, p=0.0111; heart failure: HR=0.4, p<0.001; death: HR=0.3, p<0.001).

**Limitations**

The primary limitations are the risk of confounding by unmeasured variables and the lack of clinical detail because of the retrospective data source. However, the quality of matching was very high, the effect sizes were large, and the results were consistent with other studies. Another limitation relevant to the stroke/TIA outcome is the lack of data on anticoagulation. Inclusion of a cardioversion group helps to mitigate this limitation, and it is notable that in similar cohort studies where anticoagulant data were available, rates of usage in the baseline PVA and general AF cohorts were nearly identical. A further limitation is the lack of primary care data, which may result in underestimated comorbidity rates, CHA2DS2-VASc scores, and outcomes, though we would expect any effect to be proportionate across cohorts. Despite tight cohort matching and exploration of multiple key endpoints, residual confounding due to unmeasured variables remains a potential limitation.

**Conclusion**

In large and well-matched cohorts of UK patients with AF, catheter ablation was associated with significantly lower rates of stroke/TIA, heart failure hospitalization, and death than in patients who did not undergo ablation, including those who underwent cardioversion.

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TDH is an employee of CTI Clinical Trial & Consulting Services, Inc., which is a paid consultant to Biosense Webster. JLM is a paid employee of Biosense Webster. VM reports personal fees from Biosense Webster, outside the submitted work. The authors report no other conflicts of interest in this work.
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