ALDH1A1-overexpressing cells are differentiated cells but not cancer stem or progenitor cells in human hepatocellular carcinoma

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ABSTRACT

Aldehyde dehydrogenase 1A1 (ALDH1A1) is considered to be a cancer stem cell marker in several human malignancies. However, the role of ALDH1A1 in hepatocellular carcinoma (HCC) has not been well elucidated. In this study, we investigated the relationship between ALDH1A1 and clinicopathological findings and examined whether ALDH1A1 deserves to be a cancer stem cell marker in HCC. Sixty HCC samples obtained from surgical resection were collected for immunohistochemical (IHC) staining. Of these 60 samples, 47 samples of HCC tumorous and non-tumorous tissues were evaluated with qRT-PCR. There was no significant difference in the ALDH1A1-mRNA level between tumorous and non-tumorous tissues. Tumorous ALDH1A1-mRNA level had no relationship with the clinicopathological features. Immunoreactivity of ALDH1A1 was classified into two groups based on the percentage of ALDH1A1-overexpressing cells. The ALDH1A1-high group was significantly associated with low serum levels of α-fetoprotein, small tumor diameter, very little lymphovascular invasion, more differentiated pathology and good stage. The ALDH1A1-high group showed more favorable prognosis for recurrence-free survival. In double-staining IHC, ALDH1A1 was not co-expressed with BMI1, EpCAM, CD13, CD24, CD90 and CD133, which reported as cancer stem cell markers in HCC. In conclusion, ALDH1A1-overexpressing cells could appear to be differentiated cells rather than cancer stem cells in HCC.

INTRODUCTION

Aldehyde dehydrogenase (ALDH) is a ubiquitous intracellular enzyme that catalyzes the irreversible oxidation of a variety of cellular aldehydes. The ALDH1 family comprises three isoforms (ALDH1A1, ALDH1A2 and ALDH1A3), which synthesize retinoic acid (RA) from the retinal and are crucial regulators for the RA signaling pathway [1]. RA induces gene transcription and thereby modulates a wide variety of biological process like cell proliferation, differentiation, cell cycle arrest and apoptosis [1, 2]. Because of these characteristics of “stemness,” the ALDH1 family is considered to be a stem cell marker [3].

ALDH1A1 particularly serves as a cancer stem cell marker in several human malignancies, although it also serves as a stem cell marker in somatic stem cells such as hematopoietic stem cells and neural stem cells [4, 5].
Several reports discuss the relationship between ALDH1A1 expression level and clinicopathological findings, which may vary depending on the type of cancer present [1, 6–16]. It has been reported that ALDH1A1 expression could be a predictor of poor prognosis in a wide range of cancers such as ovarian carcinoma [6], lung cancer [7], breast cancer [8, 9], esophageal squamous cell carcinoma [10], prostate cancer [11], papillary thyroid carcinoma [12], colorectal carcinoma [13, 14] and gastric cancer [15]. In contrast, it has been reported that ALDH1A1 expression was a favorable prognostic factor in pancreatic cancer [16]. However, the role of ALDH1A1 in hepatocellular carcinoma (HCC) has not been well elucidated. It is still controversial whether ALDH1A1 deserves to be a marker of normal tissue stem cell in liver and cancer stem cells in HCC, and there are several conflicting reports with both affirmative [17, 18] and negative opinions [19] on this issue.

Cancer stem cells (CSCs) are characteristically quiescence, and the dormancy of these small populations protects them from elimination following cancer treatment contributing to cancer relapse [20]. It is important to focus on and eliminate quiescent CSCs in future enhancing long-term cures for cancer patients. In case of HCC, recent immunohistochemical studies of stem cell markers suggest that HCC are histologically heterogeneous and contain a subset of cells expressing a variety of stem cell markers, such as EpCAM [21], BMI1 [22–25], CD13 [26], CD24 [27], CD90 [28] and CD133 [29–32].

In this study, we evaluated the ALDH1A1 expression level in surgical specimens of HCC with two methods, immunohistochemical (IHC) staining and qRT-PCR, and further investigated its relationship with clinicopathological findings and prognosis in HCC patients.

RESULTS

Expression level of ALDH1A1-mRNA in tumorous and non-tumorous tissues in HCC sections

To investigate whether the transcriptional activity of ALDH1A1 contributes to HCC carcinogenesis, qRT-PCR assays were performed to determine the transcriptional level of ALDH1A1 in 47 paired HCC and adjacent non-tumorous tissues. There was no significant difference in the mRNA level of ALDH1A1 between tumorous and non-tumorous tissues (tumor: 1.36 ± 1.26 vs. non-tumor: 1.00 ± 0.53, \( P = 0.8858 \)) (Fig. 1). According to the ratio of the mRNA expression levels between the tumorous and non-tumorous tissues, the 47 HCC samples were divided into two groups: the ALDH1A1-mRNA-low group (in tumor ≤ in adjacent non-tumorous tissue, \( n = 22 \)) and the ALDH1A1-mRNA-high group (in tumor > in adjacent non-tumorous tissue, \( n = 25 \)). Of interest, there was no significant difference between the two groups in the clinicopathological features listed in Table 1. For reference, the relative expression of ALDH1A1 in HCC

![Figure 1](image-url): Relative expression of ALDH1A1-mRNA in 47 HCC tumorous tissues and corresponding adjacent non-tumorous tissues.
tissues according to the ALDH1A1 score (described in Materials and Methods) is shown in Fig. 2.

Characteristics and evaluation of ALDH1A1 expression in IHC analysis

Next, to investigate the expression level and localization of ALDH1A1 protein, we performed IHC staining with ALDH1A1 antibody. We first confirmed the expression of ALDH1A1 in liver tissues. All non-tumorous tissues in HCC specimens homogenously expressed ALDH1A1 at low levels, with slightly strong expression in the perivascular area around central vein or portal vein, regardless of the various disease backgrounds such as fibrosis or virus infection (Fig. 3). In contrast, HCC tumors contained cells with much higher levels of ALDH1A1 expression, compared to expression levels of perivascular area in non-tumorous tissues, at varying frequencies (Fig. 3). Based on the above characteristics of ALDH1A1 expression, the immunoreactivity of ALDH1A1 was classified as follows based on the percentage of ALDH1A1-overexpressing cells adopted by Suzuki et al. [19]: score 0 (0%), score 1 (1–9%), score 2 (10–20%) and score 3 (>20%) according to the percentage of ALDH1A1-overexpressing cells present (Fig. 4). The numbers of HCC samples scored as 0, 1, 2 and 3 were 17, 12, 14 and 17, respectively.

IHC analysis of ALDH1A1 expression and its relationship with the clinicopathological parameters

We analyzed the relationship between ALDH1A1 expression and clinicopathological characteristics in HCC.
Figure 2: Relative expression of $ALDH1A1$-mRNA in 47 HCC tumorous tissues according to $ALDH1A1$ score. Asterisk (*) indicates $P < 0.05$ as determined by ANOVA.

Figure 3: Hematoxylin-eosin (H&E) staining and immunohistochemical staining of $ALDH1A1$ in tumorous and non-tumorous tissue in HCC. $ALDH1A1$-overexpressing cells (arrows) were defined as more intensely stained cells, compared with perivascular hepatocytes (arrowheads) around central vein (CV) or portal vein (PV) with moderately strong expression. Scale bars indicate 100 μm.
patients. Pathological evaluation was performed based on the “General Rules for the Clinical and Pathological Study of Primary Liver Cancer” [33] which is in common use in Japan, and tumor differentiation was classified into three stages (wel, mod, por, [+ undifferented]) by the degree of cellular and structural atypism, which has been considered to be equivalent of Edmondson classification. Further, based on the scoring system, the primary HCC samples were divided into two groups, the ALDH1A1-low group (score 0 or 1) and the ALDH1A1-high group (score 2 or 3).

The ALDH1A1-high group was significantly associated with low serum levels of α-fetoprotein (AFP) ($P = 0.0168$), small tumor diameter ($P = 0.0019$), very little lymphovascular invasion ($P = 0.0208$), more differentiated pathology ($P = 0.016$) and good stage ($P = 0.0215$) (Table 1).

Next, to perform the prognostic analyses of ALDH1A1 in HCC, survival curves were calculated by Kaplan-Meier method and compared by the log-rank test. During the follow-up period (41.3 ± 37.7 months), 34 patients developed recurrences of HCC, and 9 patients died from HCC. There was no difference between the two groups in overall survival (5-year survival rate was 88.3% in the ALDH1A1-low group vs. 86.4% in the ALDH1A1-high group). Although the ALDH1A1-high group showed a comparatively more favorable prognosis for recurrence-free survival (RFS) than the ALDH1A1-low group, the differences were not statistically significant between the two groups (5-year RFS was 37.9% in the ALDH1A1-low group vs. 54.8% in the ALDH1A1-high group) (Fig. 5).

**Univariate and multivariate analyses of prognostic variables in HCC patients**

Univariate and multivariate analyses were performed to identify the effect of ALDH1A1 expression and other clinicopathological parameters on the prognosis of HCC. The variables with $P < 0.20$ on univariate analysis for RFS were subjected to multivariate analysis. Multivariate Cox regression analysis revealed that HCV non-infection ($P = 0.0375$) and low AFP ($P = 0.0114$) correlated significantly with RFS (Table 2).

**ALDH1A1 and cancer stem cell markers are not co-expressed in liver tissue**

To examine whether the ALDH1A1-overexpressing cells in HCC have the properties of a cancer stem or progenitor cell, we performed double-staining IHC on randomly selected HCC specimens (Fig. 6). Interestingly, ALDH1A1 was not co-expressed with EpCAM (Fig. 6c), BMI1 (Fig. 6e), CD13 (Fig. 6g), CD24 (Fig. 6i), CD90 (Fig. 6k) and CD133 (Fig. 6m) which have been reported to be cancer stem cell markers in HCC. As well, almost none of the ALDH1A1-overexpressing cells were co-expressed with Ki67 (Fig. 6a), a cell proliferation marker. These data

![Figure 4: Immunohistochemical evaluation of ALDH1A1 in HCC.](image)

(0) score 0 (0%), (1) score 1 (1–9%), (2) score 2 (10–20%), (3) score 3 (>20%), according to the percentage of cells strongly expressing ALDH1A1. Scale bars indicate 100 μm.
indicate that ALDH1A1-overexpressing cells might not be cancer stem cells in quiescent status or progenitor cells in proliferation status in the HCC stem cell niche. Further, ALDH1A1-overexpressing cells might be differentiated cells, which are in quiescent status for metabolic function of the liver.

**DISCUSSION**

Recently, ALDH1A1 could be regarded as a cancer stem cell marker in several epithelial malignancies, and the development of ALDH1A1-targeted therapy is expected. However, it is still controversial whether ALDH1A1 deserves to be a cancer stem cell marker in HCC and there are several conflicting reports. In this study, we evaluated the expression level of ALDH1A1 in primary HCC surgical sections with two methods, IHC staining and qRT-PCR, investigated the relationship between ALDH1A1 and clinicopathological findings, and examined whether ALDH1A1 deserves to be a cancer stem cell marker in HCC. As a result, our study showed a negative finding that ALDH1A1 is not a cancer stem cell marker in HCC, but which could propose as an important data for the development of HCC therapy.

We first examined the mRNA expression levels of ALDH1A1 in the surgical sections. There was no significant difference in the mRNA level of ALDH1A1 between the tumorous and non-tumorous tissues. Moreover, there was no significant relationship between the mRNA expression levels of HCC tumorous tissue and clinicopathological features. In contrast, a significant relationship was detected in IHC evaluation between ALDH1A1 and the clinicopathological features. These inconsistent results could be explained by the following reason. In mRNA evaluation, we divided the primary HCC samples into two groups based on the ratio of the mean values of mRNA between the tumorous and non-tumorous tissues. Meanwhile in IHC evaluation, we divided the primary HCC samples into two groups based on the percentage of ALDH1A1-overexpressing cells.

| Variables                  | Relative risk | 95% CI   | P      | Relative risk | 95% CI   | P      |
|---------------------------|---------------|----------|--------|---------------|----------|--------|
| Age (>70 years)           | 1.432         | 0.873–2.347 | 0.1547 | 1.758         | 0.744–4.155 | 0.2006 |
| Sex (male)                | 1.779         | 0.898–3.524 | 0.0986 | 2.371         | 0.807–6.966 | 0.1182 |
| Liver cirrhosis           | 1.147         | 0.695–1.895 | 0.5920 |               |          |        |
| HBV infection             | 0.949         | 0.563–1.597 | 0.8425 |               |          |        |
| HCV infection             | 1.439         | 0.890–2.328 | 0.1378 | 2.457         | 1.058–5.707 | 0.0375 |
| V (+)                     | 1.299         | 0.824–2.048 | 0.2608 |               |          |        |
| Tumor size (>30 mm)       | 1.539         | 0.951–2.489 | 0.0793 | 1.503         | 0.619–3.649 | 0.3701 |
| Pathology (contain por)   | 0.446         | 0.165–1.199 | 0.1095 | 0.413         | 0.108–1.578 | 0.1981 |
| Stage (≥3)                | 1.318         | 0.840–2.067 | 0.2297 |               |          |        |
| ALDH1A1 high expression   | 0.739         | 0.471–1.158 | 0.1862 | 0.871         | 0.344–2.203 | 0.7714 |
| AFP (>100 ng/ml)          | 1.364         | 0.877–2.119 | 0.1681 | 3.502         | 1.332–9.203 | 0.0114 |
| Albumin (≥3.5 g.dl)       | 1.143         | 0.672–1.944 | 0.6221 |               |          |        |
| Platelets (≥15 × 104/μl)  | 1.019         | 0.644–1.612 | 0.9376 |               |          |        |
| Total bilirubin (≥1.0 mg/dl) | 1.125     | 0.686–1.846 | 0.6412 |               |          |        |

Statistically significant values are displayed in **boldface**. Abbreviations: HCC, hepatocellular carcinoma; CI, confidence interval; HBV, hepatitis B virus; HCV, hepatitis C virus; V, lymphovascular invasion; por, poorly differentiated; AFP, α-fetoprotein.
Since the evaluation methods are different, there could be the discrepancy in the results between protein by IHC and mRNA levels.

The important thing to note in the present IHC evaluation is that we have focused on ALDH1A1-overexpressing cells. We defined ALDH1A1-overexpressing cells as more intensely stained cells, compared with perivascular hepatocytes, which show moderately strong expression in the surrounding normal liver tissue. We found ALDH1A1 to be expressed very heterogeneously and non-uniformly within the tumor tissue of the HCC specimens. It is unknown whether these ALDH1A1-overexpressing cells are the equivalent of “ALDH-bright cells” [34]. ALDH bright cells can be detected with ALDEFLUOR reagent by using flow cytometry or fluorescent microscopy. ALDH bright cells have been found in cancer tissues including breast, liver, colon and acute myelogenous leukemia. ALDH bright cells are regarded as cancer stem cells based on their proliferation rates, migration and adhesion ability. The metastatic potential of ALDH bright cells is greater than that of ALDH low cells, and ALDH bright cells contribute to cancer chemoresistance. Previous reports on the liver suggested that high ALDH activity evaluated by flow cytometry could be a marker of liver progenitor cells in normal liver [17] and cancer stem cells in HCC [18]. ALDH bright cells are identified by ALDEFLUOR assay based on the enzymatic activity of ALDH1A1. Meanwhile, ALDH1A1-overexpressing cells are identified by IHC based on the localization of ALDH1A1. From the points of difference in cell identification methods or stemness characteristics, we consider ALDH1A1-overexpressing cells are different from ALDH bright cells in HCC.

There is only one report, that by Suzuki et al. [19], on IHC evaluation of ALDH1A1 in primary HCC specimens. They adopted the same evaluation method, namely evaluation by the percentage of ALDH1A1-overexpressing cells, and came to the similar conclusion that ALDH1A1-high HCC was significantly associated with low serum levels of AFP, well-differentiated pathology and a favorable clinical outcome. In addition, we further investigated the colocalization between ALDH1A1 and several cancer stem/progenitor markers (EpCAM, BMI1, CD13, CD24, CD90 and CD133) which have discovered recently [21–32] to evaluate “stemness” in ALDH1A1-overexpressing cells in this report. Of interest, but in conflict with previous reports [18], the ALDH1A1-overexpressing cells showed no co-expression with any of these cancer stem cell markers. Considering that the presence of cancer stem cells is generally associated with poor histopathological grade and worse survival [35], our results suggested that ALDH1A1 would not deserve to be called a cancer stem cell marker in HCC.

Several studies reported that ALDH1A1 expression in IHC could correlate with Ki67 expression (indicative of proliferation rate) in pancreas cancer [16] and breast cancer [35]. Conversely, our study revealed that almost none of the ALDH1A1-overexpressing cells were co-expressed with Ki67, which indicates that ALDH1A1-overexpressing cells were not associated with cell proliferation in HCC.
In summary, we focused on the IHC evaluation of ALDH1A1-overexpressing cells. The presence of ALDH1A1-overexpressing cells in high frequency could be a factor indicative of well-differentiated pathology and favorable clinical prognosis. ALDH1A1-overexpressing cells appear to function as a differentiation marker rather than as a cancer stem cell marker in HCC. We should be careful about therapeutic targeting of cancer stem-like or tumor-initiating cells in hepatocellular carcinoma.

**MATERIALS AND METHODS**

**Patients and tissue specimens**

A total of 60 tumor samples were collected from patients with HCC who underwent surgical resection at Gifu University Hospital between 2004 and 2013. Anatomical hepatic resection or partial heptectomy was performed in any case and there was no case of liver transplantation. The following patients were excluded: those diagnosed as combined hepatocellular-cholangiocarcinoma, with multiple tumors, with other therapies such as chemotherapy and embolization before surgery, and with extensive necrosis by pathological examination.

The patients comprised 43 men and 17 women with an average age of 68.9 years (range, 40 to 85 years). The follow-up time for these patients ranged from 0 to 122 months with a median follow-up time of 41.3 months. The characteristics of the 60 patients are listed in Table 1.

The 60 HCC samples for IHC staining were fixed in formalin and embedded in paraffin. Of these 60 samples, 47 HCC tumorous tissues and their corresponding adjacent non-tumorous tissues for qRT-PCR were immediately...
stored at −80°C after surgical resection until use. Informed consent was obtained from each patient.

**Histopathological and immunohistochemical staining**

All paraffin-embedded HCC tissues and surrounding non-tumorous tissues were cut into 3-μm-thick serial sections and deparaffinized. These sections were stained with hematoxylin and eosin (H&E) or were used for IHC. For IHC, the sections were placed in citrate buffer (10 mmol/L, pH 6.0) and then autoclaved at a chamber temperature of 121°C for 1 min to retrieve the antigen. They were then rinsed and blocked in 3% hydrogen peroxide in methanol for 10 min to remove endogenous peroxidase. Non-specific binding sites were blocked in 0.01 M phosphate-buffered saline (pH 7.4) containing 2% bovine serum albumin (Wako Pure Chemical, Osaka, Japan) for 40 minutes. They were incubated with ALDH1A1 antibody (Rabbit IgG, 1:500; abcam, Cambridge, UK) for 90 min at room temperature. Primary antibodies were detected with a biotinylated anti-rabbit IgG (1:300; Dako, Glostrup, Denmark) for 30 min at room temperature, followed by incubation with avidin-coupled peroxidase (Vectastain ABC kit; Vector Laboratories, Burlingame, CA) for 30 min. The bound complex was visualized using diaminobenzidine (DAB) liquid chromogen (SIGMA, St. Louis, MO) and counterstained with hematoxylin.

For double-staining IHC, Ki67 (1:100; Dako), EpCAM (rabbit IgG, 1:150; abcam), BMI1 (rabbit IgG, 1:200; abcam), CD13 (1:50; Santa Cruz Biotechnology, Santa Cruz, CA), CD24 (1:100; Santa Cruz Biotechnology), CD90 (1:150; Epitomics, Burlingame, CA) and CD133/1 (1:10; Miltenyi Biotech, Bergisch Gladbach, Germany) were used as primary antibodies. Signal amplification was performed with alkaline phosphatase-biotin complex (Vectastain) followed by a chromogenic reaction with alkaline phosphatase substrate kit (Vecta Blue; Vector Laboratories) and DAB. Histological evaluation was performed with the support of two experienced pathologists (H.T. and K.T.) who were blinded to the clinical data.

**Evaluation of immunohistochemical staining of ALDH1A1**

HCC tumors contained cells with much higher levels of ALDH1A1 expression, compared to expression levels of non-tumorous tissues. These cells were defined as ALDH1A1-overexpressing cells. Based on the percentage of ALDH1A1-overexpressing cells [19], HCC tissues were classified as follow: score 0 (0%), score 1 (1–9%), score 2 (10–20%) and score 3 (>20%). Moreover, based on the scoring system, HCC tissues were divided in the ALDH1A1-low group (score 0 or 1) and the ALDH1A1-high group (score 2 or 3).

**Real-time quantitative RT-PCR**

Frozen tissues were homogenized (Bullet Blender Storm; Chiyoda Science, Tokyo, Japan), and total RNA was extracted using an RNeasy Mini kit (Qiagen, Tokyo, Japan). cDNA was synthesized from 2 μg RNA, using SuperScript III First-Strand Synthesis System for RT-PCR (Invitrogen, Tokyo, Japan) according to the manufacturer’s instructions. Real-time quantitative RT-PCR of ALDH1A1 was performed using SYBR Premix Ex Taq (Takara Bio, Shiga, Japan) on a Thermal Cycler Dice Real Time System (Takara Bio). The expression of ALDH1A1 was normalized to β-actin expression by using the standard curve method.

Primers for ALDH1A1 and β-actin were designed as follows: ALDH1A1 (forward GCACGCC AGACTTACCTGTC, reverse CCTCCTACGTTGCA GGATAAAG) and β-actin (forward GGCACCGGCT GCTTC, reverse GTTGCGTACAGGTCTTTGC).

**Statistical analysis**

Continuous data are presented as the mean ± standard deviation. Statistical differences between two groups were analyzed with the Student t-test, the Mann-Whitney U test and the chi square test according to the circumstances. Survival curves and RFS were calculated using the Kaplan-Meier method and compared by the log-rank test. The Cox proportional hazard model was used for univariate and multivariate analyses to explore the effects of the clinicopathological parameters and ALDH1A1 expression on RFS. A P value of < 0.05 was considered to be statistically significant. Statistical analysis was performed using MedCalc software Ver. 12.4.0 (MedCalc Software, Ostend, Belgium) and StatMate V for Win&Mac Hybrid (ATMS Co., Ltd., Tokyo, Japan).

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**CONFLICTS OF INTEREST**

None of the authors have any conflicts of interest to declare, and there are no financial disclosures or specific funding to report.

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