On the inverse elastic scattering by interfaces using one type of scattered waves

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Abstract

We deal with the problem of the linearized and isotropic elastic inverse scattering by interfaces. We prove that the scattered P-parts or S-parts of the far field pattern, corresponding to all the incident plane waves of pressure or shear types, uniquely determine the obstacles for both the penetrable and impenetrable obstacles. In addition, we state a reconstruction procedure. In the analysis, we assume only the Lipschitz regularity of the interfaces and, for the penetrable case, the Lamé coefficients to be measurable and bounded, inside the obstacles, with the usual jumps across these interfaces.

1 Introduction

Assume $D \subset \mathbb{R}^3$ to be a bounded domain such that $\mathbb{R}^3 \setminus \overline{D}$ is connected. Let the boundary $\partial D$ to be Lipschitz regular. We assume that the Lamé coefficients $\lambda$ and $\mu$ are measurable and bounded and satisfy the conditions $\mu > 0$ and $2\mu + 3\lambda > 0$ and $\mu(x) = \mu_0$, $\lambda(x) = \lambda_0$ for $x \in \mathbb{R}^3 \setminus \overline{D}$ with $\mu_0$ and $\lambda_0$ being constants. In addition to that we set $\lambda_D := \lambda - \lambda_0$ and $\mu_D := \mu - \mu_0$ and assume that $|\mu_D| > 0$ and $2\mu_D + 3\lambda_D \geq 0$. We formulate the direct scattering problems as follows. Let $u^i$ be an incident field, i.e. a vector field satisfying $\mu_0 \Delta u^i + (\lambda_0 + \mu_0) \nabla \cdot u^i + \kappa^2 u^i = 0$ in $\mathbb{R}^3$, where $\kappa$ is the frequency, and $u^s(u^i)$ be the scattered field associated to the incident field $u^i$. In the impenetrable case, the scattering problem reads as follows

$$\begin{cases}
\mu_0 \Delta u^s + (\lambda_0 + \mu_0) \nabla \cdot u^s + \kappa^2 u^s = 0, & \text{in } \mathbb{R}^3 \setminus \overline{D} \\
\sigma(u^s) \cdot \nu = -\sigma(u^i) \cdot \nu, & \text{on } \partial D \\
\lim_{|x| \to \infty} |x| \left( \frac{\partial u^s}{\partial |x|} - i \kappa_s u^s_p \right) = 0, & \text{and } \lim_{|x| \to \infty} |x| \left( \frac{\partial u^s}{\partial |x|} - i \kappa_s u^s_s \right) = 0,
\end{cases}$$

where the last two limits are uniform in all the directions $\hat{x} := \frac{x}{|x|} \in S^2$ where $\sigma(u^s) \cdot \nu := (2\mu \partial_\nu + 2\nu \nabla) u^s$ and the unit normal vector $\nu$ is directed into the exterior of $D$. In the penetrable obstacle case, the total field $u^t := u^s + u^i$ satisfies

$$\begin{cases}
\nabla \cdot (\sigma(u^t)) + \kappa^2 u^t = 0, & \text{in } \mathbb{R}^3 \\
\lim_{|x| \to \infty} |x| \left( \frac{\partial u^t}{\partial |x|} - i \kappa_s u^t_p \right) = 0, & \text{and } \lim_{|x| \to \infty} |x| \left( \frac{\partial u^t}{\partial |x|} - i \kappa_s u^t_s \right) = 0.
\end{cases}$$

Let us introduce some further notations. For any displacement field $v$, taken to be a column vector, the corresponding stress tensor $\sigma(v)$ can be represented as a $3 \times 3$ matrix: $\sigma(v) = \lambda(\nabla \cdot v)I_3 + 2\mu(\epsilon(v))$, where $I_3$ is the $3 \times 3$ identity matrix and $\epsilon(v) = \frac{1}{2}(\nabla v + (\nabla v)^\top)$ denotes the infinitesimal strain tensor. Note that for $v = (v_1, v_2, v_3)^\top$, $\nabla v$ denotes the $3 \times 3$ matrix whose $j$-th row is $\nabla v_j$ for $j = 1, 2, 3$. Also for a $3 \times 3$ matrix function $A$, $\nabla \cdot A$ denotes the column vector whose $j$-th component is the divergence of the $j$-th row of $A$ for

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\[ u^p := -\kappa_p^{-2} \nabla \text{div} u^s \] to be the longitudinal (or the pressure) part of the field \( u^s \) and \( u^s := \kappa_s^{-2} \text{curl} \text{curl} u^s \) to be the transversal (or the shear) part of the field \( u^s \). The constants \( \kappa_p := \frac{\kappa}{\sqrt{2\mu_0 + \lambda_0}} \) and \( \kappa_s := \frac{\kappa}{\sqrt{\mu_0}} \) are known as the longitudinal and the transversal wave numbers respectively. We have the well known decomposition of the total field \( u \) as the sum of its longitudinal and transversal parts, i.e. \( u = u_p + u_s \). It is well known that the scattering problems \( (1.1) \) and \( (1.2) \) are well posed using integral equations or variational methods, see for instance \( 7 \) \( 14 \) \( 15 \) \( 16 \) and \( 8 \). The scattered field \( u \) has the following asymptotic expansion at infinity:

\[
u(x) := \frac{e^{i\kappa_p|x|}}{|x|} u^\infty_p(\hat{x}) + \frac{e^{i\kappa_s|x|}}{|x|} u^\infty_s(\hat{x}) + O\left(\frac{1}{|x|^2}\right), \quad |x| \to \infty \tag{1.3}\]

uniformly in all the directions \( \hat{x} \in S^2 \), see \( 11 \) for instance. The fields \( u^\infty_p(\hat{x}) \) and \( u^\infty_s(\hat{x}) \) defined on \( S^2 \) are called correspondingly the longitudinal and transversal parts of the far field pattern. The longitudinal part \( u^\infty_p(\hat{x}) \) is normal to \( S^2 \) while the transversal part \( u^\infty_s(\hat{x}) \) is tangential to \( S^2 \). As incident waves, we use pressure (or longitudinal) plane waves or shear (or transversal) plane waves. They have the analytic forms \( u^p_i(x, d) := d e^{i\kappa_p d \cdot x} \) and \( u^s_i(x, d) := d^t e^{i\kappa_s d \cdot x} \) respectively, where \( d^t \) is any vector in \( S^2 \) orthogonal to \( d \). Remark that \( u^s_i(\cdot, d) \) is normal to \( S^2 \) and \( u^p_i(\cdot, d) \) is tangential to \( S^2 \).

We denote by \((u^{\infty-p}_i(\cdot, d), u^{\infty-p}_i(\cdot, d))\) the far field pattern associated with the pressure incident field \( u^p_i(\cdot, d) \). Correspondingly, we set \((u^{\infty-s}_i(\cdot, d), u^{\infty-s}_i(\cdot, d))\) to be the far field pattern associated with the shear incident field \( u^s_i(\cdot, d) \). We write these patterns in a matrix form

\[
(u^p_i, u^s_i) \mapsto F(u^p_i, u^s_i) := \begin{bmatrix}
u^{\infty-p}_p(\hat{x}, d) & \nu^{\infty-s}_p(\hat{x}, d) \\ \nu^{\infty-p}_s(\hat{x}, d) & \nu^{\infty-s}_s(\hat{x}, d) \end{bmatrix} \tag{1.4}
\]

In this paper, our concern is to show that the knowledge of any component in \((1.4)\), for all \((\hat{x}, d) \in S^2 \times S^2\), is enough to determine \( D \) and describe a reconstruction procedure.

From the knowledge of the full far field map \( F \), the first uniqueness result was derived by Hahner and Hsiao, see \( 10 \). Later on the sampling type methods for solving this obstacle inverse scattering problem have been developed by Alves and Kress \( 1 \), Arens \( 2 \), A. Charalambopoulos, D. Gintides and K. Kiriaki \( 4 \) \( 5 \) \( 8 \) using the full matrix \((1.4)\) for all directions \( \hat{x} \) and \( d \) in \( S^2 \). In \( 9 \), D. Gintides and M. Sini, show that any one of the entries in the matrix \((1.4)\), for all \( \hat{x}, d \) in \( S^2 \), is enough. In their approach they assumed a \( C^4\)-regularity of the scatterer to derive the exact asymptotic expansion of the so-called probe (or singular sources) indicator function. Recently in \( 11 \), Hu, Kirsch and Sini reduced the regularity assumption for the rigid impenetrable obstacles in 3D using the data \( u^{\infty-p}_i(\cdot, d) \) or \( u^{\infty-s}_i(\cdot, d) \).

In this paper, we show a systematic way of solving this problem for impenetrable or penetrable obstacles with Lipschitz regularity assumptions on the interfaces using any component of \((1.4)\). The analysis is based on some key estimates obtained in \( 13 \) for both the impenetrable obstacle and the penetrable cases. These estimates combined with some precise analysis of the \( P \)-parts and \( S \)-parts of the elastic fundamental tensor allows us to justify the needed blow up property of the probe / singular sources indicator functions, see Theorem \( 3 \). Regarding the impenetrable case, we consider the free boundary condition. However, as it can be seen, the analysis, based on variational inequalities, can be done for other boundary conditions as well (i.e. Dirichlet type, Third type or Forth type boundary conditions, \( 15 \)).

The paper is organized as follows. In Section 2, we state the indicator functions linking the used far field parts to the corresponding \( P \)-part or \( S \)-part of the elastic fundamental tensor, see \( 2.3 \), \( 2.11 \), \( 2.15 \) and \( 2.16 \) respectively. In Section 3, we state the lower and upper estimates of these indicator functions, see Theorem \( 3 \) and then apply them to show the uniqueness results and to justify the reconstruction algorithm (which is based on the probing method, see \( 12 \) and \( 17 \)). In Section 4 and Section 5, we justify Theorem \( 3 \) for the impenetrable and penetrable obstacle cases respectively. We finish the paper by an Appendix containing some needed computations concerning the elastic fundamental tensor.
2 The indicator functions linking the used farfield parts to the elastic fundamental tensor

We start with the following identity, see for instance Lemma 3.1 in [1]:

$$\int_{\partial D} \left( \mathbf{u} \cdot \mathbf{\sigma}(\mathbf{v}) \cdot \mathbf{\nu} - \mathbf{\tau}_h \cdot \mathbf{\sigma}(\mathbf{u}) \cdot \mathbf{\nu} \right) ds(x) = 4\pi \int_{S^2} \left( u_p^\infty \cdot h_p(x) + u_s^\infty \cdot h_s(x) \right) ds(\hat{x}) \quad (2.1)$$

for all radiating fields $\mathbf{u}$ with far field pattern $(u_p^\infty, u_s^\infty)$, where $v_h$ is the Herglotz field with density $h = (h_p, h_s) \in L^2_2(S^2) \times L^2_2(S^2)$, i.e. $v_h(x) := \int_{S^2} e^{i\kappa \mathbf{x} \cdot \mathbf{d}} h_p(\mathbf{d}) + e^{i\kappa \mathbf{x} \cdot \mathbf{d}} h_s(\mathbf{d}) |ds(d)$ with $L^2_2(S^2) := \{ u \in (L^2(S^2))^3; u(d) \times d = 0 \}$ while $L^2_2(S^2) := \{ u \in (L^2(S^2))^3; u(d) \cdot d = 0 \}$. Recall that $\Phi(x, y)$ is the Green’s elastic tensor and we set $G_p(x, y)$ and $G_s(x, y)$ as the Green’s function associated to the Helmholtz operators i.e. $G_t(x, y) = \frac{e^{i\kappa |x-y|}}{4\pi |x-y|}, \ t = p \ or \ s$. Also recall that the fundamental tensor of the elasticity is of the form

$$\Phi(x, y) := \frac{\kappa^2}{4\pi \kappa^2} \frac{e^{i\kappa |x-y|}}{|x-y|} I + \frac{1}{4\pi \kappa^2} \nabla_x \nabla_y^T \left[ \frac{e^{i\kappa |x-y|}}{|x-y|} - \frac{e^{i\kappa |x-y|}}{|x-y|} \right] \quad (2.2)$$

where $I$ is the identity matrix. We denote the $p$-part of the elastic fundamental tensor by $\Phi_p(x, y)$. It is of the form

$$\Phi_p(x, y) := -\frac{1}{\kappa^2} \nabla_x \nabla_y^T G_p(x, y)$$

$$=-\frac{1}{\kappa^2} \begin{pmatrix}
\frac{\partial^2 G_p(x, y)}{\partial x_1 \partial x_2} & \frac{\partial^2 G_p(x, y)}{\partial x_1 \partial x_3} & \frac{\partial^2 G_p(x, y)}{\partial x_1 \partial x_4} \\
\frac{\partial^2 G_p(x, y)}{\partial x_2 \partial x_1} & \frac{\partial^2 G_p(x, y)}{\partial x_2 \partial x_3} & \frac{\partial^2 G_p(x, y)}{\partial x_2 \partial x_4} \\
\frac{\partial^2 G_p(x, y)}{\partial x_3 \partial x_1} & \frac{\partial^2 G_p(x, y)}{\partial x_3 \partial x_2} & \frac{\partial^2 G_p(x, y)}{\partial x_3 \partial x_4}
\end{pmatrix}$$

$$=(\Phi^\perp_p, \Phi^\parallel_p),$$

where $\Phi^\perp_p, j = 1, 2, 3$ are the column vectors of the $p$-part of the elastic fundamental tensor. The $s$-part of the elastic fundamental tensor denoted by $\Phi_s(x, y)$ is of the form

$$\Phi_s(x, y) := \frac{1}{\kappa^2} \text{curl}_x \text{curl}_y (G_s(x, y)I)$$

$$=\frac{\kappa^2}{\kappa^2} G_s(x, y)I + \frac{1}{\kappa^2} \nabla_x \nabla_y^T G_s(x, y)$$

$$=\frac{1}{\kappa^2} \begin{pmatrix}
k^2 G_s + \frac{\partial^2 G_s}{\partial x_1 \partial x_1} & \frac{\partial G_s}{\partial x_1 \partial x_2} & \frac{\partial G_s}{\partial x_1 \partial x_3} \\
\frac{\partial G_s}{\partial x_2 \partial x_1} & k^2 G_s + \frac{\partial^2 G_s}{\partial x_2 \partial x_2} & \frac{\partial G_s}{\partial x_2 \partial x_3} \\
\frac{\partial G_s}{\partial x_3 \partial x_1} & \frac{\partial G_s}{\partial x_3 \partial x_2} & k^2 G_s + \frac{\partial^2 G_s}{\partial x_3 \partial x_3}
\end{pmatrix}$$

$$=(\Phi^\perp_s, \Phi^\parallel_s),$$

where $\Phi^\perp_s, j = 1, 2, 3$ are the column vectors of the $s$-part of the elastic fundamental tensor. Note that both $\Phi^\perp_p$ and $\Phi^\perp_s$ satisfy $\mu_0 \Delta u + (\lambda_0 + \mu_0) \nabla \div u + \kappa^2 u = 0$ for $x \neq y$ and $j = 1, 2, 3$. Let $y \in \mathbb{R}^3 \setminus \overline{D}$. Consider a $C^2$-smooth domain $B$ such that $D \subset B$ and $y \notin B$. Now we define the Herglotz wave operator $H : (L^2(S^2))^3 \to (L^2(\partial B))^3$ corresponding to the Lamé model by $(Hh)(x) := v_h(x)$. We can find a sequence of densities $(h^n_p)_n$ and $(h^n_s)_n$ such that the Herglotz waves $v_{h^n_p}(\cdot, y)$ and $v_{h^n_s}(\cdot, y)$ converges to $\Phi^\perp_p(\cdot, y)$ and $\Phi^\perp_s(\cdot, y)$ respectively on any domain $B$ with $y \notin B, D \subset B \subset \Omega$. These sequences can be obtained as follows.

2.1 Using longitudinal waves

We define the Herglotz wave operator $H_p : L^2(S^2) \to L^2(\partial B)$ corresponding to the Helmholtz operator $\Delta + \kappa^2_p$ by $(H_p g)(x) := \int_{S^2} e^{i\kappa \mathbf{x} \cdot \mathbf{d}} g(\mathbf{d}) |ds(d)$. We know that if $\kappa^2_p$ is not a Dirichlet-Laplacian eigenvalue on $\Omega$, then
$H_p$ is injective and has a dense range, see \cite{[2.3]}. As the eigenvalues are monotonic in terms of the domains, then we change $\Omega$ slightly if needed so that $\kappa_p^2$ is not an eigenvalue anymore. Note that $y \in \mathbb{R}^3 \setminus B$. Hence $G_p(\cdot, y) \in L^2(\partial B)$ and then there exists a sequence $g_n^p \in L^2(\mathbb{S}^2)$ such that $H_p g_n^p \rightarrow G_p(\cdot, y)$ in $L^2(\partial B)$ as $n \rightarrow \infty$. Recall that both $H_p g_n$ and $G_p(\cdot, y)$ satisfy the interior Helmholtz problem in $B$. By the well-posedness of the interior problem and the interior estimate, we deduce that $H_p g_n^p \rightarrow G_p(\cdot, y)$ in $C^\infty(B)$ since $D \subset B \subset \subset \Omega$. Hence, see \cite{[2.3]}, $-\frac{\kappa_p^2}{4\pi} \nabla \cdot \left( \frac{\partial^2 (H_p g_n^p)}{\partial x_1^2} \right) \cdot \nabla (H_p g_n^p) + \frac{\partial^2 (H_p g_n^p)}{\partial x_1 \partial x_2} - \frac{\partial^2 (H_p g_n^p)}{\partial x_2 \partial x_3} \rightarrow \Phi_p^1(\cdot, y)$ and then $H h_{n,1}^p \rightarrow \Phi_p^1(\cdot, y)$ in $C^\infty(B)$, where $h_{n,1}^p := \frac{\kappa_p^2}{4\pi} d_1 d g_n^p(\hat{d})$ with $\hat{d} = (d_1, d_2, d_3)^\top$.

Let $(u_{n,p}^\infty, v_{n,s}^\infty)$ be the far field associated to the incident field $d e^{i\kappa_p d \cdot x}$. By the principle of superposition, the far field associated to the incident field

$$v_{h_{n,1}}(x) := \int_{S^2} \frac{\kappa^2_p}{4\pi} d_1 d g_n^p(\hat{d}) d e^{i\kappa_p d \cdot x} ds(\hat{d})$$

is given by

$$u_{h_{n,1}}^\infty(\hat{x}) := (u_{h_{n,1}}^\infty(\hat{x}), v_{h_{n,1}}^\infty(\hat{x})) = \left( \int_{S^2} u_p^\infty(\hat{x}, \hat{d}) \frac{\kappa^2_p}{4\pi} d_1 g_n^p(\hat{d}) ds(\hat{d}), \int_{S^2} v_s^\infty(\hat{x}, \hat{d}) \frac{\kappa^2_p}{4\pi} d_1 g_n^p(\hat{d}) ds(\hat{d}) \right).$$

From \cite{[2.1]}, we obtain

$$\frac{4\pi \kappa_p^4}{\kappa^4_p} \int_{S^2} \int_{S^2} [u_p^\infty(\hat{x}, \hat{d}) d_1 g_n^p(\hat{d}) \cdot [\hat{x} \cdot g_n^p(\hat{x})] ds(\hat{d}) ds(\hat{d})$$

$$= \int_{\partial D} (u^s(\Phi_{p_{(1)}}(x, y)) \cdot (\sigma(\Phi_{p_{(2)}}(x, y)) \cdot \nu(x)) - v_{h_{n,1}}^\infty(\hat{x}) \cdot (\sigma(u_{h_{n,1}}^\infty(\hat{x})) \cdot \nu(x))] ds(x)$$

where $u^s(v_{h_{n,1}}^\infty(\hat{x}))$ is the scattered field associated to the Herlght field $v_{h_{n,1}}^\infty$. The dot $\cdot$ in the left hand side is vector product. Now, using the fact that $v_{h_{n,1}}^\infty(\hat{x}) \rightarrow \Phi_p^1(x, y)$ in $C^\infty(B)$, the trace theorem and the well-posedness of the scattering problem, we obtain

$$\frac{4\pi \kappa_p^4}{\kappa^4_p} \lim_{n \rightarrow \infty} \int_{S^2} \int_{S^2} [u_p^\infty(\hat{x}, \hat{d}) d_1 g_n^p(\hat{d}) \cdot [\hat{x} \cdot g_n^p(\hat{x})] ds(\hat{d}) ds(\hat{d})$$

$$= \int_{\partial D} (u^s(\Phi_{p_{(1)}}(x, y)) \cdot (\sigma(\Phi_{p_{(2)}}(x, y)) \cdot \nu(x)) - \Phi_p^1(\hat{x}, \hat{y}) \cdot (\sigma(u^s(\Phi_p^1(x, y))) \cdot \nu(x))] ds(x).$$

Similarly, we can find sequences of other Herlght fields $h_{n,j}^p$ so that the sequence converges to $\Phi_p^j$ with $j = 2, 3$. Applying the steps, we obtain

$$\frac{4\pi \kappa_p^4}{\kappa^4_p} \lim_{n \rightarrow \infty} \int_{S^2} \int_{S^2} [u_p^\infty(\hat{x}, \hat{d}) d_1 g_n^p(\hat{d}) \cdot [\hat{x} \cdot g_n^p(\hat{x})] ds(\hat{d}) ds(\hat{d})$$

$$= \int_{\partial D} (u^s(\Phi_{p_{(j)}}(x, y)) \cdot (\sigma(\Phi_{p_{(j)}}(x, y)) \cdot \nu(x)) - \Phi_p^j(\hat{x}, \hat{y}) \cdot (\sigma(u^s(\Phi_p^j(x, y))) \cdot \nu(x))] ds(x)$$

for $j = 2, 3$. Hence\footnote{Due to some singularity issues we need to sum up all the corresponding terms, see the proof of Lemma \cite{[2.2]}.}.

$$\frac{4\pi \kappa_p^4}{\kappa^4_p} \lim_{n \rightarrow \infty} \sum_{j=1}^{3} \int_{S^2} \int_{S^2} [u_p^\infty(\hat{x}, \hat{d}) d_1 g_n^p(\hat{d}) \cdot [\hat{x} \cdot g_n^p(\hat{x})] ds(\hat{d}) ds(\hat{d})$$

$$= \sum_{j=1}^{3} \int_{\partial D} (u^s(\Phi_{p_{(j)}}(x, y)) \cdot (\sigma(\Phi_{p_{(j)}}(x, y)) \cdot \nu(x)) - \Phi_p^j(\hat{x}, \hat{y}) \cdot (\sigma(u^s(\Phi_p^j(x, y))) \cdot \nu(x))] ds(x).$$
Let us now derive a corresponding formula to (2.8) for \( u_\infty^p \). Let \( y \in \mathbb{R}^3 \setminus D \). We define the Herglotz wave operator \( H_s : L^2(S^2) \to L^2(\partial B) \) corresponding to the Helmholtz operator \( \Delta + \kappa^2 \) by \( (H_s g)(x) = \int_{S^2} e^{i\kappa x \cdot d} g(d) ds(d) \). Using the density argument similar as before, we can find a sequence \( g_n^{\infty} \in L^2(S^2) \) such that \( H_s g_n^{\infty} \to G_s(\cdot, y) \) in \( L^2(\partial B) \), where \( B \) is a \( C^2 \)-smooth bounded domain containing \( D \) and avoiding \( y \) \( (y \notin B) \) in which the Dirichlet-Laplacian has no eigenvalues. Therefore \( \frac{1}{\kappa^2} (k_s^2 H_s g_n^{\infty} + \frac{\partial^2}{\partial x_1^2} (H_s g_n^{\infty}), \frac{\partial^2}{\partial x_2^2} (H_s g_n^{\infty}), \frac{\partial^2}{\partial x_3^2} (H_s g_n^{\infty})) \to \Phi_s^1(\cdot, y) \) in \( C^\infty(B) \) and hence \( HH_n^{h_{n,1}} \to \Phi_s^1(\cdot, y) \), where \( h_{n,1} := \frac{k^2}{\kappa^2} (e_1 - d_1 d) g_n^{\infty} (d) \) with \( d = (d_1, d_2, d_3)^T \) and \( e_1 = (1,0,0)^T \). From (2.7), we obtain

\[
\frac{4\pi \kappa^2 \kappa^2}{\kappa^4} \int_{S^2} \int_{S^2} [u_s^\infty(\hat{x}, \hat{d}) d_1 g_n^{\infty}(d)] \cdot \langle (e_1 - \hat{x} \hat{d}) g_n^{\infty}(\hat{x}) \rangle ds(d) ds(\hat{x}) \\
= \int_{\partial D} [u^s(v_{n,1}^{h_{n,1}}(x)) \cdot (\sigma(v_{n,1}^{h_{n,1}}(x)) \cdot \nu(x) - v_{n,1}^{h_{n,1}}(x)) \cdot (\sigma(u^s(v_{n,1}^{h_{n,1}}(x))) \cdot \nu(x))] ds(x) 
\]

(2.9)

where \( u^s(v_{n,1}^{h_{n,1}}) \) be the scattered field associated to the Herglotz wave \( v_{n,1}^{h_{n,1}} \). Using the fact that \( v_{n,1}^{h_{n,1}} \to \Phi_p^1(\cdot, y) \) and \( v_{n,1}^{h_{n,1}} \to \Phi_p^1(\cdot, y) \) in \( C^\infty(B) \), the trace theorem and the well-posedness of the scattering problem we obtain

\[
\frac{4\pi \kappa^2 \kappa^2}{\kappa^4} \lim_{n \to \infty} \int_{S^2} \int_{S^2} [u_s^\infty(\hat{x}, \hat{d}) d_1 g_n^{\infty}(d)] \cdot \langle (e_1 - \hat{x} \hat{d}) g_n^{\infty}(\hat{x}) \rangle ds(d) ds(\hat{x}) \\
= \int_{\partial D} [u^s(\Phi_p^1(x, y)) \cdot (\sigma(\Phi_p^1(x, y)) \cdot \nu(x)) - \Phi_p^1(x, y) \cdot (\sigma(u^s(\Phi_p^1(x, y)))) \cdot \nu(x))] ds(x). 
\]

(2.10)

Considering the 2nd and 3rd columns of the \( p \)-part and \( s \)-part of the elastic Green’s tensor, we obtain the following formulas for \( j = 2, 3 \) respectively

\[
\frac{4\pi \kappa^2 \kappa^2}{\kappa^4} \lim_{n \to \infty} \sum_{j=1}^3 \int_{S^2} \int_{S^2} [u_s^\infty(\hat{x}, \hat{d}) d_j g_n^{\infty}(d)] \cdot \langle (e_j - \hat{x} \hat{d}) g_n^{\infty}(\hat{x}) \rangle ds(d) ds(\hat{x}) \\
= \int_{\partial D} [u^s(\Phi_{p,j}^1(x, y)) \cdot (\sigma(\Phi_{p,j}^1(x, y)) \cdot \nu(x)) - \Phi_{p,j}^1(x, y) \cdot (\sigma(u^s(\Phi_{p,j}^1(x, y)))) \cdot \nu(x))] ds(x). 
\]

(2.11)

2.2 Using shear incident waves

Let \( (u_{p,j}^\infty, v_{p,j}^\infty) \) be the far field associated to the incident field \( (e_j - d_j d) e^{i\kappa x \cdot d} \), \( j = 1, 2, 3 \). Observe that \( e_j - d_j d \in d^\perp \). By the principle of superposition, the farfield associated to the incident field

\[
v_{g_{n,j}}(x) := \int_{S^2} \frac{\kappa^2}{\kappa^4} (e_j - d_j d) g_n(d) e^{i\kappa x \cdot d} ds(d) 
\]

is given by

\[
u_{g_{n,j}}^\infty(\hat{x}) := (u_{g_{n,j}}^{\infty,p}(\hat{x}), u_{g_{n,j}}^{\infty,s}(\hat{x})) \\
= (\int_{S^2} u_{p,j}^\infty(\hat{x}, \hat{d}) \frac{\kappa^2}{\kappa^4} g_n(d) ds(d), \int_{S^2} u_{s,j}^\infty(\hat{x}, \hat{d}) \frac{\kappa^2}{\kappa^4} g_n(d) ds(d)). 
\]

(2.12)

From (2.1), we have

\[
\frac{4\pi \kappa^2}{\kappa^4} \int_{S^2} \int_{S^2} [u_{s,j}^\infty(\hat{x}, \hat{d}) g_n(d)] \cdot \langle (e_j - \hat{x} \hat{d}) g_n(\hat{x}) \rangle ds(d) ds(\hat{x}) \\
= \int_{\partial D} [u^s(v_{n,j}^{h_{n,j}}) \cdot (\sigma(v_{n,j}^{h_{n,j}}) \cdot \nu(x)) - v_{n,j}^{h_{n,j}} \cdot (\sigma(u^s(v_{n,j}^{h_{n,j}}))) \cdot \nu(x))] ds(x) 
\]

(2.13)
where \(u^s(v_{h, j})\) is the scattered associated to Herglotz field \(v_{h, j}\). The dot \(\cdot\) in the left hand side is the vector product. Now using the fact \(v_{h, j} \to \Phi^s_\ell(\cdot, y)\) in \(C^\infty(B)\), the trace theorem and well-posedness of the scattering problem, we obtain

\[
\frac{4\pi}{\kappa^4} \lim_{n \to \infty} \sum_{j=1}^3 \int_{\partial \mathbb{S}^2} \int_{\mathbb{S}^2} [u^s_{n,j}(\hat{x}, d) g^s_n(d)] \cdot \left[\left(e_j - \hat{x}_j \hat{x}\right) g^s_n(\hat{x})\right] ds(d)ds(\hat{x})
\]

\[
\int_{\partial D} |u^s(\Phi^s_\ell(x, y)) \cdot (\sigma(\Phi^s_\ell(x, y)) \cdot \nu(x)) - \Phi^s_\ell(x, y) \cdot (\sigma(u^s(\Phi^s_\ell(x, y))) \cdot \nu(x))| ds(x)
\]

Summing up we obtain

\[
\frac{4\pi}{\kappa^4} \lim_{n \to \infty} \sum_{j=1}^3 \int_{\partial \mathbb{S}^2} \int_{\mathbb{S}^2} [u^s_{n,j}(\hat{x}, d) g^s_n(d)] \cdot \left[\left(e_j - \hat{x}_j \hat{x}\right) g^s_n(\hat{x})\right] ds(d)ds(\hat{x})
\]

\[
\int_{\partial D} |u^s(\Phi^s_\ell(x, y)) \cdot (\sigma(\Phi^s_\ell(x, y)) \cdot \nu(x)) - \Phi^s_\ell(x, y) \cdot (\sigma(u^s(\Phi^s_\ell(x, y))) \cdot \nu(x))| ds(x)
\]

where \(\Phi_s = (\Phi^1_s, \Phi^2_s, \Phi^3_s)\). Similarly we also obtain,

\[
\frac{4\pi}{\kappa^4} \lim_{n \to \infty} \sum_{j=1}^3 \int_{\partial \mathbb{S}^2} \int_{\mathbb{S}^2} [u^s_{n,j}(\hat{x}, d) g^s_n(d)] \cdot \left[\left(e_j - \hat{x}_j \hat{x}\right) g^s_n(\hat{x})\right] ds(d)ds(\hat{x})
\]

\[
\int_{\partial D} |u^s(\Phi^s_\ell(x, y)) \cdot (\sigma(\Phi^s_\ell(x, y)) \cdot \nu(x)) - \Phi^s_\ell(x, y) \cdot (\sigma(u^s(\Phi^s_\ell(x, y))) \cdot \nu(x))| ds(x)
\]

2.3 The indicator functions

We recall that \((u^s_{p,j}, u^s_{n,j})\) correspond to the incident wave \(e^{ikp(x-d)}\) and \((u^s_{p,j}, u^s_{n,j})\) correspond to the incident wave \((e_j - d_j)d)\) \(e^{ikp(x-d)}\). With these data, we set

\[
I_{pp}(y) := \frac{4\pi}{\kappa^4} \lim_{n \to \infty} \sum_{j=1}^3 \int_{\partial \mathbb{S}^2} \int_{\mathbb{S}^2} [u^s_{p,j}(\hat{x}, d) g^s_n(d)] \cdot \left[\left(e_j - \hat{x}_j \hat{x}\right) g^s_n(\hat{x})\right] ds(d)ds(\hat{x})
\]

\[
I_{ps}(y) := \frac{4\pi}{\kappa^4} \lim_{n \to \infty} \sum_{j=1}^3 \int_{\partial \mathbb{S}^2} \int_{\mathbb{S}^2} [u^s_{n,j}(\hat{x}, d) g^s_n(d)] \cdot \left[\left(e_j - \hat{x}_j \hat{x}\right) g^s_n(\hat{x})\right] ds(d)ds(\hat{x})
\]

\[
I_{ps}(y) := \frac{4\pi}{\kappa^4} \lim_{n \to \infty} \sum_{j=1}^3 \int_{\partial \mathbb{S}^2} \int_{\mathbb{S}^2} [u^s_{n,j}(\hat{x}, d) g^s_n(d)] \cdot \left[\left(e_j - \hat{x}_j \hat{x}\right) g^s_n(\hat{x})\right] ds(d)ds(\hat{x})
\]

\[
I_{sp}(y) := \frac{4\pi}{\kappa^4} \lim_{n \to \infty} \sum_{j=1}^3 \int_{\partial \mathbb{S}^2} \int_{\mathbb{S}^2} [u^s_{p,j}(\hat{x}, d) g^s_n(d)] \cdot \left[\left(e_j - \hat{x}_j \hat{x}\right) g^s_n(\hat{x})\right] ds(d)ds(\hat{x})
\]

where \(y \in \mathbb{R}^3 \setminus \overline{D}\). Therefore, the indicator function \(I_{pp}\) is defined based on \(p\)-parts of the far field associated to \(p\)-incident wave. Correspondingly \(I_{ps}\) depends on \(s\)-part of the far field associated to \(p\)-incident wave, \(I_{ps}\) depends on \(s\)-part of the far field associated to the \(s\)-incident wave and finally \(I_{sp}\) depends on \(p\)-part of the far field associated to the \(s\)-incident wave.

3 Reconstruction scheme and uniqueness

The main theoretical result of this work is the following theorem. Using these estimates, i.e \(3.1\), we state a reconstruction procedure and in particular we derive a uniqueness result on the identifiability of the obstacle \(D\) from either \(p\) or \(s\) parts of the far field patterns.
Theorem 3.1. Under the assumption on the problems (1.1) and (1.2), described in the introduction, we have the following estimate of the indicator functions $I_{ij}(y)$, $(ij) = (ss), (pp), (sp)$ or $(ps)$,

$$c_1 \int_D \frac{1}{|x-y|^8} dx > |I_{ij}(y)| > c_2 \int_D \frac{1}{|x-y|^8} dx + \text{lower order term}, \quad y \in \Omega \setminus \bar{D},$$

where $c_1$ and $c_2$ are positive constants independent on $y$.

3.1 Reconstruction procedure

We describe a procedure to reconstruct $D$ based on Theorem 3.1. We proceed in the following steps.

1. Let us consider $\Omega$ as large known domain such that $D \subset \Omega$.
2. We start by taking a point $y \in \Omega$ but located near $\partial \Omega$.
3. Take a domain $B \subset \Omega$ such that $y \notin B$ and $\partial B$ close enough to $\partial \Omega$ so that $D \subset B$.
4. Solve the integral equation of the first kind

$$H_p g = G_p(\cdot, y) \text{ on } \partial B.$$  

Note that the above equation is ill-posed. So, we apply regularization methods and select the solution $g := g_m^y$, where $m$ is related to the regularization parameter.
5. From the information of the far field $u_{p}^{\infty}(\hat{x}, d)$ and from Step 4, we calculate the indicator function

$$I_{pp}(y) := \frac{4\pi\kappa^4}{\kappa^4} \lim_{n \to \infty} \sum_{j=1}^{3} \int_{S^2} \int_{S^2} [u_{p}^{\infty}(\hat{x}, d) d_f g_{n}^{p}(d)] \cdot \overline{[\hat{x} \hat{x} g_{n}^{p}(\hat{x})]} ds(d) ds(\hat{x}).$$

6. If $|I_{pp}(y)|$ is not so large, then $y$ is away from $\partial D$. In this case take another point near $y$ and apply the step 1, 2, 3, 4.
7. If $|I_{pp}(y)|$ is large then $y$ is near $\partial D$. In this case we select the point $y$. Therefore we approximate $\partial D$ by collecting all these selected points.

We can also reconstruct the obstacle $D$ using the other types of the far field data.

3.2 A uniqueness result

Using Theorem 3.1 we obtain the following uniqueness result.

Corollary 3.2. Let $D$ and $\tilde{D}$ be two scatterers having Lipschitz regular boundaries such that

$$u_{p}^{\infty}(d, \hat{x}, D) = u_{p}^{\infty}(d, \hat{x}, \tilde{D}), \text{ for all } \hat{x}, d \in S^2$$

then $D = \tilde{D}$. The results holds also for the other types of far field data.

Proof. of the corollary. We prove this corollary by the standard Isakov’s contradiction argument. Suppose that $D \neq \tilde{D}$ and $D \cup \tilde{D} \subset \Omega$. Hence, we have a point $z \in \partial D$ such that $z \notin \tilde{D}$. Let $y \in \Omega \setminus (D \cup \tilde{D})$ and select a sequence $(g_{n}^{p})_{n \in \mathbb{N}}$ as in step 4 in the reconstruction scheme. Since $u_{p}^{\infty}(d, \hat{x}, D) = u_{p}^{\infty}(d, \hat{x}, \tilde{D})$, we obtain

$$I_{pp}(y) = I_{pp}(y),$$
where $I_{pp}(y)$ and $\tilde{I}_{pp}(y)$ are the indicator functions corresponding to $D$ and $\tilde{D}$ respectively. From Theorem 3.1, we have for $y \in \Omega \setminus (D \cup \tilde{D})$,

\[
c_1 \int_D \frac{1}{|x-y|^8} dx \geq |I_{pp}(y)| = |\tilde{I}_{pp}(y)|
\]

\[
\geq c_2 \int_D \frac{1}{|x-y|^8} dx + \text{lower order terms}
\]

\[
\geq c_2 [d(y, D)]^{-8} + \text{lower order terms.}
\]

Based on (3.2), we observed the following. When $y$ approaches to $z$ the indicator function $I_{pp}(y)$ $D$ blows up to infinity since $z \in \partial D$. On the other hand, as $z \notin \tilde{D}$, then the indicator function $\tilde{I}_{pp}(y)$ is finite. This contradicts the fact that $I_{pp}(y) = \tilde{I}_{pp}(y)$, $\forall y \in \Omega \setminus (D \cup \tilde{D})$. Hence $D = \tilde{D}$.

4 Proof of Theorem 3.1 for the impenetrable case

In this section, we prove Theorem 3.1 in the impenetrable obstacle case. We set

\[
I(v, w) := \int_{\partial D} [u^s(v) \cdot (\sigma(w) \cdot v) - \sigma(u^s(v)) \cdot v] ds(x) \tag{4.1}
\]

where $u^s(v)$ is the scattered field associated to the incident field $v$ and $v, w$ are assumed to be column vectors which satisfy the Lamé system in domains containing $\tilde{D}$. Hence from (2.8), (2.11), (2.15) and (2.10), we have

\[
I_{pp}(y) = \sum_{j=1}^3 I(\Phi_p^j, \Phi_p^j), \quad I_{ps}(y) = \sum_{j=1}^3 I(\Phi_p^j, \Phi_s^j), \quad I_{ss}(y) = \sum_{j=1}^3 I(\Phi_s^j, \Phi_s^j), \quad I_{sp}(y) = \sum_{j=1}^3 I(\Phi_s^j, \Phi_p^j).
\]

Since, $y \notin \tilde{D}$, then both $\Phi_p^j$ and $\Phi_s^j$ satisfy the Lamé system in $\mathbb{R}^3 \setminus \{y\}(\supset \tilde{D})$. Using integration by parts and the boundary conditions, we can write

\[
\int_{\partial D} u^s(v) \cdot (\sigma(w) \cdot v) ds(x)
\]

\[
= -\int_{\partial D} u^s(v) \cdot (\sigma(u^s(w)) \cdot v) ds(x)
\]

\[
= -\int_{\partial \Omega} u^s(v) \cdot (\sigma(u^s(w)) \cdot v) ds(x) + \int_{\Omega \setminus \tilde{D}} \sigma(u^s(w)) \cdot (\nabla u^s(v))^{\top} dx - \kappa^2 \int_{\Omega \setminus \tilde{D}} u^s(v) \cdot u^s(w) dx
\]

and

\[
\int_{\partial D} \sigma(w) \cdot (\sigma(u^s(v)) \cdot v) ds(x) = -\int_{\partial D} \sigma(v) \cdot (\sigma(v) \cdot v) ds(x) = -\int_D \sigma(w) \cdot (\nabla v)^{\top} dx + \kappa^2 \int_D v \cdot w dx.
\]

Note that here we define the product of two matrices by $A \cdot B = \sum_{j=1}^3 a_{ij} b_{ij}$, for any matrices $A = (a_{ij})$ and $B = (b_{ij})$. Hence (1.1) becomes:

\[
I(v, w) = -\int_{\partial \Omega} u^s(v) \cdot (\sigma(u^s(w)) \cdot v) ds(x) + \int_{\Omega \setminus \tilde{D}} \sigma(u^s(w)) \cdot (\nabla u^s(v))^{\top} dx - \kappa^2 \int_{\Omega \setminus \tilde{D}} u^s(v) \cdot u^s(w) dx
\]

\[
+ \int_D \sigma(w) \cdot (\nabla v)^{\top} dx - \kappa^2 \int_D v \cdot w dx. \tag{4.2}
\]

Key inequalities for $I_{ss}$ and $I_{pp}$: In this case, we take $v = w$. Hence, we have

\[
I(v, v) \geq -\int_{\partial \Omega} u^s(v) \cdot (\sigma(u^s(v)) \cdot v) ds(x) + \int_D \sigma(v) \cdot (\nabla v)^{\top} dx - \kappa^2 \int_{\Omega \setminus \tilde{D}} |u^s(v)|^2 dx - \kappa^2 \int_D |v|^2 dx.
\]
By the ellipticity condition of the elasticity tensor and the Korn inequality, we obtain
\[
\int_D \sigma(v) \cdot \nabla v \, dx = \int_D \rho(\epsilon(v)) \epsilon(v) \, dx \geq c_1 \int_D \epsilon(v) \cdot \epsilon(v) \, dx \geq \frac{c_1}{C_K} \| \nabla v \|_{L^2(D)}^2 - c_1 \| v \|_{L^2(D)}^2
\]
where \( C_K \) is the Korn constant and \( \rho \) is the elasticity tensor. Hence
\[
I(v, v) \geq - \int_{\partial \Omega} u^*(v) \cdot (\sigma(u^*(v)) \cdot \nu) ds(x) + c_2 \| \nabla v \|_{L^2(D)}^2 - \kappa^2 \int_{\Omega \setminus \overline{D}} |u^*(v)|^2 \, dx - (\kappa^2 + c_1) \int_D |v|^2 \, dx. \tag{4.3}
\]

**Key inequalities for \( I_{sp} \) and \( I_{ps} \):** In this case, we take \( v \neq w \). We use then the form: \( I(v, w) = -I(v, v) + I(v, U) \) where \( U := v + w \). Using the well posedness of the forward scattering problem and the trace theorem, we show that \( |I(v, U)| \leq C \left( \frac{1}{\epsilon} \| \nabla U \|_{L^2(D)}^2 + \epsilon \| \nabla v \|_{L^2(D)}^2 \right) \) for \( 0 < \epsilon \ll 1 \). Combining this estimate with (4.3), we obtain
\[
-I(v, w) \geq I(v, v) - C \left( \frac{1}{\epsilon} \| \nabla U \|_{L^2(D)}^2 + \epsilon \| \nabla v \|_{L^2(D)}^2 \right). \tag{4.4}
\]

In the following lemma we estimate the boundary integral term and the scattering term in (4.3) by terms involving only the incident wave.

**Lemma 4.1.** Let \( v \) be solution of the Lamé system in a domain containing \( \overline{D} \) and let \( u^*(v) \) be the corresponding scattered wave, i.e. solution of (1.1) replacing \( u^* \) by \( v \). For \( \frac{1}{2} \leq t < 1 \), we have the following two estimates
\[
| \int_{\partial \Omega} u^*(v) \cdot (\sigma(u^*(v)) \cdot \nu) ds(x) | \leq C \| v \|_{H^{1+\tau/2}(D)}^2, \tag{4.5}
\]
\[
\| u^*(v) \|_{L^2(\Omega \setminus \overline{D})}^2 \leq \| v \|_{H^{1+\tau/2}(D)}^2. \tag{4.6}
\]

**Proof.** See (13), subsection 5.2.2. \( \square \)

Now we choose \( \frac{1}{2} < t < 1 \), then by interpolation and using the Young inequality we obtain:
\[
\| v \|_{H^{1+\tau/2}(D)}^2 \leq \epsilon \| \nabla v \|_{L^2(D)}^2 + \frac{C}{\epsilon} \| v \|_{L^2(D)}^2 \tag{4.7}
\]
with some \( C > 0 \) fixed and every \( \epsilon > 0 \). Therefore combining (4.3), Lemma 4.1 and (4.7), we deduce that
\[
-I(v, v) \geq \epsilon \| \nabla v \|_{L^2(D)}^2 - C \| v \|_{L^2(D)}^2, \quad \tau > > 1. \tag{4.8}
\]

In the case when \( v \neq w \), we use the form
\[
I(v, w) = -I(v, v) + I(v, U) \tag{4.9}
\]
where \( U = v + w \). Combining the estimate
\[
| I(v, U) | \leq C \left( \frac{1}{\epsilon} \| \nabla U \|_{L^2(D)}^2 + \epsilon \| \nabla v \|_{L^2(D)}^2 \right) \quad \text{for} \quad 0 < \epsilon \ll 1
\]
together with (4.8) and the form (4.3), we deduce that
\[
I(v, w) \geq (c - \epsilon) \| \nabla v \|_{L^2(D)}^2 - C \| v \|_{L^2(D)}^2 - \frac{c_1}{\epsilon} \| \nabla U \|_{L^2(D)}^2. \tag{4.10}
\]

**Lemma 4.2.** Let \( y \in \mathbb{R} \setminus \overline{D} \). We have the following estimates
1.
\[
\| \Phi_k (., y) \|_{L^2(D)}^2 \leq C \int_D \frac{1}{|x - y|^6} \, dx \tag{4.11}
\]
2. \[
\sum_{j=1}^{3} \| \nabla \Phi_j^i (\cdot, y) \|_{L^2(D)}^2 \geq C \int_D \frac{1}{|x-y|} dx + \text{lower order terms}
\] (4.12) recalling that \(\Phi_j^i\) is the \(j\)-th column of the \(p\)-part of the fundamental tensor of the elasticity for all \(j = 1, 2, 3\). The estimates (4.11) and (4.12) are valid for \(\Phi_j^i, j = 1, 2, 3\), the \(j\)-th column of the corresponding \(s\)-parts.

3. For all \(j = 1, 2, 3\)
\[
\| \nabla \Phi_j^i (\cdot, y) \|_{L^2(D)}^2 \leq C \int_D \frac{1}{|x-y|} dx
\]
where \(\Phi_j^i\) is the \(j\)-th column of the fundamental tensor of the elasticity.

Proof. 1. From the explicit form of \(\Phi_j^i\) we obtain
\[
\| \Phi_j^i \|_{L^2(D)}^2 = \frac{1}{(\kappa^2)^2} \left[ \frac{\partial^2 G_p}{\partial x_j \partial x_1} \right]_{L^2(D)}^2 + \frac{\partial^2 G_p}{\partial x_j \partial x_2} \right]_{L^2(D)}^2 + \frac{\partial^2 G_p}{\partial x_j \partial x_3} \right]_{L^2(D)}^2 \right) \right.
\leq C \left[ \int_D \frac{1}{|x-y|^2} dx + \int_D \frac{1}{|x-y|^4} dx + \int_D \frac{1}{|x-y|^6} dx \right].
\] (4.13)

2. To estimate the gradient term, we need to write clearly the explicit form of the gradient term which is of the form
\[
\nabla \Phi_j^i = -\frac{1}{\kappa^2} \begin{pmatrix}
\frac{\partial^3 G_p}{\partial x_1 \partial x_j \partial x_1} \\
\frac{\partial^3 G_p}{\partial x_1 \partial x_j \partial x_2} \\
\frac{\partial^3 G_p}{\partial x_1 \partial x_j \partial x_3} \\
\frac{\partial^3 G_p}{\partial x_2 \partial x_j \partial x_1} \\
\frac{\partial^3 G_p}{\partial x_2 \partial x_j \partial x_2} \\
\frac{\partial^3 G_p}{\partial x_2 \partial x_j \partial x_3} \\
\frac{\partial^3 G_p}{\partial x_3 \partial x_j \partial x_1} \\
\frac{\partial^3 G_p}{\partial x_3 \partial x_j \partial x_2} \\
\frac{\partial^3 G_p}{\partial x_3 \partial x_j \partial x_3}
\end{pmatrix}
\]
The norm can be written as
\[
\| \nabla \Phi_j^i \|_{L^2(D)}^2 = \frac{1}{\kappa^4} \sum_{l,m=1}^{3} \int_D \left| \frac{\partial^3 G_p}{\partial x_l \partial x_j \partial x_m} \right|^2 dx.
\]
The idea of the proof is as follows. First we have to look for the dominating term of the each entry of this matrix and second we use the \(\epsilon\)-inequality. Let us consider the term \(\frac{\partial^3 G_p}{\partial x_1} \) for \(l = 1, 2, 3\). The dominating term of \(\frac{\partial^3 G_p}{\partial x_1} \) is \(\frac{1}{\kappa^4} \epsilon^{|x-y|} |x-y|^{2l} \), for \(l = 1, 2, 3\) see (6.1) in the appendix. Now using \(\epsilon\)-inequality (precisely for any \(a, b\) we know that \( (a-b)^2 \geq (1-\epsilon)a^2 + (1-\epsilon)b^2 \)) we have for \(l = 1, 2, 3\)
\[
\left| \frac{\partial^3 G_p}{\partial x_1} \right|^2 \geq C \left[ \frac{3(x_l - y_l)}{|x-y|^3} - 15 \frac{(x_l - y_l)^3}{|x-y|^7} \right]^2 + \text{lower order terms}
\]
Similarly for \(l \neq m\), with \(l, m = 1, 2, 3\), compare (6.2), we have
\[
\left| \frac{\partial^3 G_p}{\partial x_l \partial x_m} \right|^2 = \left| \frac{\partial^3 G_p}{\partial x_l \partial x_m} \right|^2 = \left| \frac{\partial^3 G_p}{\partial x_m \partial x_l \partial x_m} \right|^2 \geq C \left[ \frac{3(x_l - y_l)}{|x-y|^3} - 15 \frac{(x_m - y_m)^2 (x_l - y_l)}{|x-y|^7} \right]^2 + \text{lower order terms}
\]
and for \(k \neq l \neq m\) with \(k, l, m = 1, 2, 3\), compare (6.3), we have
\[
\left| \frac{\partial^3 G_p}{\partial x_k \partial x_l \partial x_m} \right|^2 \geq C \left[ -15 \frac{(x_k - y_k)(x_l - y_l)(x_m - y_m)}{|x-y|^7} \right]^2 + \text{lower order terms}
\] (4.14)
Therefore,
\[
\sum_{j=1}^{3} \sum_{l,m=1}^{3} \left| \frac{\partial^3 G_p}{\partial x_l \partial x_j \partial x_m} \right|^2 \geq C \frac{9 \times 7}{|x-y|^8} - \frac{3 \times 90}{|x-y|^{12}} \left( \sum_{k=1}^{3} (x_k - y_k)^2 \right)^2 + \frac{(15)^2}{|x-y|^{12}} \left( \sum_{k=1}^{3} (x_k - y_k)^2 \right)^2
\]
+ lower order terms
\[= \frac{18 C}{|x-y|^8} + \text{lower order terms.}\]

Hence,
\[
\sum_{j=1}^{3} \left\| \nabla \Phi_p(x, y) \right\|_{L^2(D)}^2 = \frac{1}{k^4} \int_D \sum_{j=1}^{3} \sum_{l,m=1}^{3} \left| \frac{\partial^3 G_p}{\partial x_l \partial x_j \partial x_m} \right|^2 dx
\geq C \int_D \frac{1}{|x-y|^8} dx + \text{lower order terms.}
\]

3. Note that \( \Phi(x, y) = (\Phi_{ij}(x, y))_{i,j} \), where \( \Phi_{ij} \) as in (6.4). For \( l \neq i, j \), the term (6.5) can be written as
\[
\frac{\partial \Phi_{ij}}{\partial x_l} = -\frac{1}{8\pi} \delta_{ij} \left( \frac{1}{\mu_0} + \frac{1}{\lambda_0 + 2\mu_0} \right) (x_l - y_l) |x-y|^{-3}
+ \frac{1}{4\pi} \sum_{n=1}^{\infty} \frac{i^n}{(n+2)n!} \left( \frac{n+1}{\mu_0 \lambda_0^{n+2}} + \frac{1}{(\lambda_0 + 2\mu_0)^{n+2}} \right) \kappa^n \delta_{ij} (n-1)(x_l - y_l) |x-y|^{-n-3}
- \frac{3}{\lambda_0 (\mu_0 + 2\mu_0)} (x_l - y_l) (x_i - y_i) (x_j - y_j) |x-y|^{-5}
- \frac{1}{4\pi} \sum_{n=1}^{\infty} \frac{i^n (n-1)}{(n+2)n!} \left( \frac{1}{\mu_0^{n+2}} - \frac{1}{(\lambda_0 + 2\mu_0)^{n+2}} \right) \kappa^n (n-3)(x_i - y_i) (x_j - y_j) |x-y|^{-n-5}
:= A + B + C + D.
\]

Remark that \( A \) and \( C \) are the higher order terms and \( B \) and \( D \) are the convergent series with the lower order terms. Therefore, the upper bound of \( \frac{\partial \Phi_{ij}}{\partial x_l} \) for \( l \neq i, j \) can be viewed as
\[
\left| \frac{\partial \Phi_{ij}}{\partial x_l} \right|^2 \leq c |A^2 + B^2 + C^2 + D^2| \leq c \frac{1}{|x-y|^4}.
\]

Similarly, we have
\[
\left| \frac{\partial \Phi_{ij}}{\partial x_i} \right|^2 \leq c \frac{1}{|x-y|^4},
\]
\[
\left| \frac{\partial \Phi_{ij}}{\partial x_j} \right|^2 \leq c \frac{1}{|x-y|^4}
\]
where \( c \) to be constant. Summing up we obtain
\[
\left\| \nabla \Phi \right\|_{L^2(D)}^2 = \int_D \sum_{l=1}^{3} |\nabla \Phi_l(x, y)|^2 dx
\leq c \int_D \frac{1}{|x-y|^4} dx.
\]
\[\square\]

\(^2\)We need to sum up all the terms as it can happen that \( \left\| \nabla \Phi_p \right\|_{L^2(D)} \) has lower estimate then \( \int_D |x-y|^{-8} dx \), see (111).
Combining Lemma 4.2 and the inequality (4.10) we deduce that

\[-I_{pp}(y) = -\sum_{j=1}^{3} I(\Phi_p^j, \Phi_p^j) \geq \sum_{j=1}^{3} \left[ c\|\nabla \Phi_p^j\|_{L^2(D)}^2 - C\|\Phi_p^j\|_{L^2(D)}^2 \right] \geq C' \int_D \frac{1}{|x-y|^s} dx + \text{lower order terms}\]

where \(x \neq y, y \in \Omega \setminus \bar{D}\). Similarly, we can prove Theorem 3.1 by using the \(s\)-part of the fundamental solution.

### 4.2 Proof of Theorem 3.1 for the \(I_{sp}\) and \(I_{ps}\) cases

Combining Lemma 4.2 and the inequality (4.10) we deduce that

\[I_{ps}(y) = \sum_{j=1}^{3} I(\Phi_p^j, \Phi_s^j) \geq \sum_{j=1}^{3} \left[ (c - \epsilon)\|\nabla \Phi_p^j\|_{L^2(D)}^2 - C\|\Phi_p^j\|_{L^2(D)}^2 \right] \geq c_0 \int_D \frac{1}{|x-y|^s} dx + \text{lower order terms}\]

where \(x \neq y, y \in \Omega \setminus \bar{D}\) and choose \(\epsilon > 0\) such that \(c - \epsilon > c_0 > 0\). Similarly, we can prove the estimate for the \(sp\) case.

### 5 Proof of Theorem 3.1 for the penetrable case

We consider \(v\) as an incident field and \(u^s(v)\) the scattered field, therefore the total field \(\tilde{v} = v + u^s(v)\) satisfies the following problem

\[
\begin{align*}
\nabla \cdot \left( \sigma(\tilde{v}) \right) + \kappa^2 \tilde{v} &= 0, \quad \text{in } \mathbb{R}^3 \\
\nabla \cdot \left( \sigma(\tilde{v}) \right) + \kappa^2 v &= 0 \quad \text{in } \Omega,
\end{align*}
\]

recalling that \(\sigma(\tilde{v}) = \lambda(\nabla \cdot \tilde{v})I_3 + \mu(\nabla \tilde{v} + (\nabla \tilde{v})^T)\). The incident field satisfies

\[
\nabla \cdot \left( \sigma_0(v) \right) + \kappa^2 v = 0 \quad \text{in } \Omega, \tag{5.2}
\]

where \(\sigma_0(v) = \lambda_0(\nabla \cdot v)I_3 + 2\mu_0 \epsilon(v)\) Accordingly, we will use \(\sigma_D(v)\) to denote \(\sigma(v) - \sigma_0(v)\), i.e. \(\sigma_D(v) = \lambda_D(\nabla \cdot v)I_3 + 2\mu_D \epsilon(v)\). Note that for a matrix \(A = (a_{ij})\), we use \(|A|\) to denote \((\sum_{i,j} |a_{ij}|^2)^{\frac{1}{2}}\). For any matrices \(A = (a_{ij})\) and \(B = (b_{ij})\), we define the product as \(A \cdot B := \sum_{i,j=1}^{3} a_{ij}b_{ij}\). As in the impenetrable case, we set

\[I(v, w) := \int_{\partial D} [u^s(v) \cdot (\sigma(w) \cdot \nu) - \nu \cdot (\sigma(u^s(v)) \cdot \nu)] ds(x)\]  

**Lemma 5.1.** We have the following estimates

\[I(v, w) = -\int_{\Omega} \sigma_D(w) \cdot (\nabla v)^T dx - \int_{\Omega} \sigma_D(u^s(w)) \cdot (\nabla u^s(v))^T dx - I(v, v) \geq \int_{D} \frac{4\mu_0 \mu_D}{3\mu} |\epsilon(v)|^2 dx - \int_{D} \frac{4\mu_0 \mu_D}{9\mu} |(\nabla \cdot v)I_3|^2 dx - k^2 \int_{D} |u^s(v)|^2 dx - \int_{\partial D} (\sigma(u^s(v)) \cdot \nu) \cdot u^s(v) ds(x). \tag{5.3}\]

**Proof.** See Lemma 5.2 of [13]. Note that to prove this lemma we need the condition on \(\mu_D\) and \(\lambda_D\) such that \(\mu_D > 0\) and \(2\mu_D + 3\lambda_D > 0\), stated in the introduction.
Lemma 5.2. Let \( v \) be any incident wave and \( u^s(v) \) be the scattered wave, i.e. \( v + u^s(v) \) is solution of (5.1).

1. We have

\[
| \int_{\partial \Omega} (\sigma(u^s(v)) \cdot \nu) \cdot u^s(v) ds(x) | \leq C \mathcal{F} \| \nabla v \|_{L^2(D)}^2
\]

(5.4)

where \( \mathcal{F} \) is defined by

\[
\mathcal{F} := \int_{B \setminus \Omega} \| (\nabla \Phi(x, \cdot))^T \|_{L^2(D)}^2 dx + \int_{B \setminus \Omega} \| \nabla (\nabla \Phi(x, \cdot))^T \|_{L^2(D)}^2 dx,
\]

with \( B \) as any smooth domain containing \( \Omega \).

2. \((L^2 - L^q)\)-estimate: There exists \( 1 \leq q_0 < 2 \) such that for \( q_0 < q \leq 2 \),

\[
\| u^s(v) \|_{L^2(\Omega)} \leq C \| \nabla v \|_{L^q(D)}
\]

with a positive constant \( C \).

Proof. See Lemma 5.3 and Lemma 5.4 of [13]. \( \square \)

We first recall Korn’s inequality

\[
c \| \nabla u \|_{L^2(D)}^2 \leq \| \epsilon(u) \|_{L^2(D)}^2 + \| u \|_{L^2(D)}^2,
\]

for all column vector \( u \), where \( c > 0 \) is a constant. Note that for \( j = 1, 2, 3 \)

\[
\nabla \cdot \Phi^j_p = -\frac{1}{\kappa^2} \frac{\partial}{\partial x_1} (\Delta G_p) = \frac{\kappa^2}{\kappa^2} \frac{\partial G_p}{\partial x_1}
\]

So, \( \nabla \cdot \Phi^j_p \) behaves as a lower order term. Also, \( \nabla \cdot \Phi^j_\ell = 0 \) for all \( j = 1, 2, 3 \). Hence, applying Korn’s inequality, \( L^2 - L^q\)-estimate, Lemma 5.2 and the estimate (5.3) we obtain, for \( j = 1, 2, 3 \)

\[
-I(\Phi^j_p, \Phi^j_p) \geq (c_1 - c_5 \mathcal{F}) \| \nabla \Phi^j_p \|_{L^2(D)}^2 - c_2 \| \Phi^j_p \|_{L^2(D)}^2 - c_3 \int_D \frac{\partial G_p}{\partial x_j} \| \nabla v \|_{L^q(D)}^2 dx - c_4 \| \nabla \Phi^j_p \|_{L^q(D)}^2,
\]

(5.5)

for \( q < 2 \) and

\[
-I(\Phi^j_s, \Phi^j_s) \geq (c_1 - c_4 \mathcal{F}) \| \nabla \Phi^j_s \|_{L^2(D)}^2 - c_2 \| \Phi^j_s \|_{L^2(D)}^2 - c_3 \| \nabla \Phi^j_s \|_{L^q(D)}^2,
\]

(5.6)

for \( q < 2 \). For the mixed case, we can write, for \( j = 1, 2, 3 \)

\[
I(\Phi^j_s, \Phi^j_p) = -I(\Phi^j_s, \Phi^j_s) + I(\Phi^j_s, \Phi^j),
\]

\[
I(\Phi^j_p, \Phi^j_s) = -I(\Phi^j_p, \Phi^j_p) + I(\Phi^j_p, \Phi^j),
\]

(5.7)

where the \( j \)-th column of the elastic tensor \( \Phi^j = \Phi^j_p + \Phi^j_s \). Using the \( \epsilon \)-inequality, we have

\[
|I(\Phi^j_s, \Phi^j)| \leq C \left( \frac{1}{\epsilon} \| \nabla \Phi^j \|_{L^2(D)}^2 + \epsilon \| \nabla \Phi^j \|_{L^2(D)}^2 \right).
\]

(5.8)

Combining (5.7) and (5.8), we obtain for \( q_0 < q < 2 \),

\[
I(\Phi^j_s, \Phi^j_p), I(\Phi^j_p, \Phi^j_s)
\]

\[
\geq (C - \mathcal{F} - \tilde{C} \epsilon) \| \nabla \Phi^j_s \|_{L^2(D)}^2 + (C - \mathcal{F} - c_2) \| \Phi^j_s \|_{L^2(D)}^2 - c_1 \| \nabla \Phi^j_s \|_{L^q(D)}^2 - \frac{\tilde{C} \epsilon}{\epsilon} \| \nabla \Phi^j \|_{L^2(D)}^2.
\]

(5.9)
5.1 Proof of Theorem 3.1 for the $I_{pp}$ and $I_{ss}$ cases

Recall that $\Phi^j, \Phi^j_p$ and $\Phi^j_s$ are the $j$-th column of the fundamental solution, its $p$-part and $s$-part respectively. Applying the Minkowski inequality we obtain

$$\|\nabla \Phi^j_p\|^2_{L^p(D)} \leq C \left[ \int_D \frac{1}{|x-y|_p} \right]^{\frac{2}{p}} + \text{lower order terms} \quad (5.10)$$

i.e the term $\|\nabla \Phi^j_p\|_{L^p(D)}$ has a lower order behavior than the term $\|\nabla \Phi^j_p\|_{L^2(D)}$ as $p < 2$. Hence from (5.10), we have

$$-I_{pp}(y) = -\sum_{j=1}^3 I(\Phi^j_p, \Phi^j_p) \geq C \int_D \frac{1}{|x-y|^4} dx + \text{lower order terms.}$$

Similarly, using the $s$-part of the fundamental solution of the elasticity we can prove Theorem 3.1 for $I_{ss}$.

5.2 Proof of Theorem 3.1 for the $I_{sp}$ and $I_{ps}$ cases

From (5.9), we obtain

$$I_{ps}(y) = \sum_{j=1}^3 I(\Phi^j_p, \Phi^j_s) \geq (C - \mathcal{F} - \mathcal{C}) \int_D \frac{1}{|x-y|^8} dx + \text{lower order terms.}$$

Now, Theorem 3.1 follows by appropriately choosing $\epsilon > 0$ and the smooth domain $B$ such that $|B \setminus \Omega|$ is small enough so that $(C - \mathcal{F} - \mathcal{C}) > c_0 > 0$. Similarly, we can derive the estimate of $I_{sp}(y)$.

6 Appendix

6.1 Derivatives of the Helmholtz fundamental solution

We have, for $x, y \in \mathbb{R}^3$ with $x \neq y$

$$G_p(x, y) = \frac{e^{i\kappa_p|x-y|}}{4\pi|x-y|}.$$

1st order partial derivatives

The first partial derivatives of $G_p$ can be written as:

$$\frac{\partial G_p(x, y)}{\partial x_l} = \frac{1}{4\pi} e^{i\kappa_p|x-y|} \left[ \frac{i\kappa_p(x_l - y_l)}{|x-y|^2} - \frac{(x_l - y_l)}{|x-y|^3} \right],$$

for all $l = 1, 2, 3$.

2nd order partial derivatives

For all $l = 1, 2, 3$

$$\frac{\partial^2 G_p}{\partial x_l^2} = \frac{1}{4\pi} e^{i\kappa_p|x-y|} \left[ \frac{(i\kappa_p)^2 (x_l - y_l)^2}{|x-y|^3} - 3i\kappa_p \frac{(x_l - y_l)^2}{|x-y|^4} + \frac{i\kappa_p}{|x-y|^2} - \frac{1}{|x-y|^3} + 3 \frac{(x_l - y_l)^2}{|x-y|^5} \right].$$

For $l \neq m$ with $l, m = 1, 2, 3$, we have

$$\frac{\partial^2 G_p}{\partial x_m \partial x_l} = \frac{1}{4\pi} e^{i\kappa_p|x-y|}(x_l - y_l)(x_m - y_m) \left[ \frac{(i\kappa_p)^2}{|x-y|^3} - 3i\kappa_p \frac{1}{|x-y|^4} + 3 \frac{1}{|x-y|^5} \right].$$
3rd order partial derivatives
For all \( l = 1, 2, 3 \)

\[
\frac{\partial^3 G_p}{\partial x_1^3} = \frac{1}{4\pi} e^{i\kappa_p |x-y|} (x_i - y_i) \left[ \frac{1}{|x-y|^3} - 9i\kappa_p \frac{1}{|x-y|^4} + (i\kappa_p)^3 \frac{(x_i - y_i)^2}{|x-y|^4} + 3 \frac{1}{|x-y|^5} - 6i\kappa_p \frac{(x_i - y_i)^2}{|x-y|^5} + 15i\kappa_p \frac{1}{|x-y|^6} - 15 \frac{(x_i - y_i)^2}{|x-y|^7} \right].
\]  

(6.1)

For \( l \neq m \) with \( l, m = 1, 2, 3 \) we have

\[
\frac{\partial^3 G_p}{\partial x_l \partial x_m^2} = \frac{\partial^3 G_p}{\partial x_m \partial x_l^2} = \frac{\partial^3 G_p}{\partial x_m \partial x_l \partial x_l} = \frac{1}{4\pi} e^{i\kappa_p |x-y|} (x_l - y_l)(x_m - y_m) \left[ \frac{1}{|x-y|^4} - 6i\kappa_p \frac{1}{|x-y|^5} - 9i\kappa_p \frac{1}{|x-y|^6} - 15 \frac{1}{|x-y|^7} \right].
\]  

(6.2)

At last for \( k \neq l \neq m \) with \( k, l, m = 1, 2, 3 \) we have

\[
\frac{\partial^3 G_p}{\partial x_k \partial x_l \partial x_m} = \frac{1}{4\pi} e^{i\kappa_p |x-y|} (x_k - y_k)(x_l - y_l)(x_m - y_m) \left[ (i\kappa_p)^3 \frac{1}{|x-y|^4} - 6i\kappa_p \frac{1}{|x-y|^5} - 9i\kappa_p \frac{1}{|x-y|^6} - 15 \frac{1}{|x-y|^7} \right].
\]  

(6.3)

6.2 Derivatives of the elastic fundamental tensor
In \([15]\), the fundamental tensor of the elastic model is given. Now the \( ij \)-th element of the fundamental tensor \( \Phi(x, y) \) can be viewed as:

\[
\Phi_{ij}(x, y) = \frac{1}{4\pi} \sum_{n=0}^{\infty} \frac{i^n}{(n+2)!} \left( \frac{n + 1}{\mu_0 \frac{n+2}{2}} + \frac{1}{\mu_0 + 2\mu_0} \frac{n}{\frac{n+2}{2}} \right) \kappa^n \delta_{ij} |x-y|^{n-1} - \frac{1}{4\pi} \sum_{n=0}^{\infty} \frac{i^n(n-1)}{(n+2)!} \left( \frac{1}{\mu_0 \frac{n+2}{2}} - \frac{1}{\mu_0 + 2\mu_0} \frac{1}{\frac{n+2}{2}} \right) \kappa^n |x-y|^{n-3} (x_i - y_i)(x_j - y_j),
\]

(6.4)

where \( x, y \in \mathbb{R}^3 \) with \( x \neq y \), see for more details \([15]\), Chap. 2.

For \( l \neq i,j \)

\[
\frac{\partial \Phi_{ij}}{\partial x_l} = \frac{1}{4\pi} \sum_{n=0}^{\infty} \frac{i^n}{(n+2)!} \left( \frac{n + 1}{\mu_0 \frac{n+2}{2}} + \frac{1}{\mu_0 + 2\mu_0} \frac{n}{\frac{n+2}{2}} \right) \kappa^n \delta_{ij} (n-1)(x_l - y_l)|x-y|^{n-3} - \frac{1}{4\pi} \sum_{n=0}^{\infty} \frac{i^n(n-1)}{(n+2)!} \left( \frac{1}{\mu_0 \frac{n+2}{2}} - \frac{1}{\mu_0 + 2\mu_0} \frac{1}{\frac{n+2}{2}} \right) \kappa^n (n-3)(x_i - y_i)(x_j - y_j)|x-y|^{n-5}.
\]  

(6.5)

Similarly, for \( l = i \)

\[
\frac{\partial \Phi_{ij}}{\partial x_i} = \frac{1}{4\pi} \sum_{n=0}^{\infty} \frac{i^n}{(n+2)!} \left( \frac{n + 1}{\mu_0 \frac{n+2}{2}} + \frac{1}{\mu_0 + 2\mu_0} \frac{n}{\frac{n+2}{2}} \right) \kappa^n \delta_{ij} (n-1)(x_i - y_i)|x-y|^{n-3} - \frac{1}{4\pi} \sum_{n=0}^{\infty} \frac{i^n(n-1)}{(n+2)!} \left( \frac{1}{\mu_0 \frac{n+2}{2}} - \frac{1}{\mu_0 + 2\mu_0} \frac{1}{\frac{n+2}{2}} \right) \kappa^n (n-3)(x_i - y_i)(x_j - y_j)|x-y|^{n-5} + (x_j - y_j)|x-y|^{n-5}.
\]  

(6.6)
and for \( l = j \) we obtain

\[
\frac{\partial \Phi_{ij}}{\partial x_j} = \frac{1}{4\pi} \sum_{n=0}^{\infty} \frac{i^n}{(n+2)n!} \left( \frac{n + 1}{\mu_0 \frac{\lambda_0}{\mu_0}} + \frac{1}{(\lambda_0 + 2\mu_0) \frac{\lambda_0}{\mu_0}} \right) \kappa^n \delta_{ij} (n-1)(x_l - y_l)|x - y|^{n-3} - \frac{1}{4\pi} \sum_{n=0}^{\infty} \frac{i^n(n-1)}{(n+2)n!} \left( \frac{1}{\mu_0 \frac{\lambda_0}{\mu_0}} - \frac{1}{(\lambda_0 + 2\mu_0) \frac{\lambda_0}{\mu_0}} \right) \kappa^n [(n-3)(x_l - y_l)(x_i - y_i)(x_j - y_j)|x - y|^{n-5} + (x_i - y_i)|x - y|^{n-3}].
\]

(6.7)

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