Deformed pseudospin doublets as a fingerprint of a relativistic supersymmetry in nuclei

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Abstract. The single-particle spectrum of deformed shell-model states in nuclei, is shown to exhibit a supersymmetric pattern. The latter involves deformed pseudospin doublets and intruder levels. The underlying supersymmetry is associated with the relativistic pseudospin symmetry of the nuclear mean-field Dirac Hamiltonian with scalar and vector potentials.

1. Introduction
The concept of pseudospin doublets [1, 2] is based on the empirical observation in nuclei of quasi-degenerate pairs of certain normal-parity shell-model orbitals with non-relativistic single-nucleon quantum numbers
\[(n, \ell, j = \ell + 1/2) \quad \text{and} \quad (n - 1, \ell + 2, j = \ell + 3/2) . \] (1)
The doublet structure is expressed in terms of a “pseudo” orbital angular momentum, \(\tilde{\ell} = \ell + 1\), and “pseudo” spin, \(\tilde{s} = 1/2\), which are coupled to \(j = \ell \pm \tilde{s}\). For example, \((1d_{5/2}, 0g_{7/2})\) and \((2s_{1/2}, 1d_{3/2})\) will have \(\tilde{\ell} = 3\) and \(\tilde{\ell} = 1\), respectively. The states \((n = 0, \ell, j = \ell + 1/2)\), with aligned spin and no nodes, are not part of a doublet. For large \(j\) they are the “intruder” abnormal-parity states, i.e., \(0g_{9/2}, 0h_{11/2}, 0i_{13/2}\), which are unique in the major shell. In the presence of axially-symmetric deformation, the doublets persist [3] with asymptotic (Nilsson) quantum numbers
\[(N, n_3, \Lambda)\Omega = \Lambda + 1/2 \quad \text{and} \quad [N, n_3, \Lambda + 2]\Omega = \Lambda + 3/2 . \] (2)
Here \(N, n_3\), are harmonic oscillator quantum numbers, \(\Lambda\) and \(\Omega\) are, respectively, the components of the orbital and total angular momentum along the symmetry (3rd) axis. In this case, the doublets can be expressed in terms of pseudo-orbital, \(\tilde{\Lambda} = \Lambda + 1\), and pseudospin, \(\tilde{\mu} = \pm 1/2\), projections, to obtain \(\Omega = \tilde{\Lambda} \pm 1/2\). For example, \(([420]1/2, [422]3/2)\) and \(([411]3/2, [413]5/2)\) will have \(\Lambda = 1\) and \(\tilde{\Lambda} = 2\), respectively. The intruder nodeless states, \([N, n_3, \Lambda = N-n_3]\Omega = \Lambda + 1/2\), are not part of a doublet. Pseudospin doublets play a central role in explaining features of nuclei [3], including superdeformation [4] and identical bands [5, 6]. They have been shown to originate from a relativistic symmetry of the Dirac Hamiltonian in which the sum of the scalar and vector mean-field potentials cancel [7]. The generators of this relativistic SU(2) symmetry have been identified [8] and consequences for radial nodes have been considered [9]. Recently, it has been shown that a pseudospin-invariant Dirac Hamiltonian with spherical potentials, gives

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rise to a supersymmetric pattern [10]. In the present contribution we extend this study and show that a supersymmetric pattern occurs also when the relevant potentials are axially deformed [11].

Fig. 1 portrays the level scheme of a subset of deformed pseudospin doublets, Eq. (2), with fixed \( N, \Lambda, \Omega, \Omega' \) and \( n_\perp = (N - \Lambda - n_3)/2 = 1, 2, 3, \ldots \) together with the intruder level \( [N, n_3, \Lambda = N - n_3] \Omega = \Lambda + 1/2, \Omega' \). The single-particle spectrum exhibits towers of pair-wise degenerate states, sharing a common \( \tilde{\Lambda} \) and an additional non-degenerate nodeless intruder state at the bottom of the spin-projection aligned tower. A comparison with Fig. 2 reveals a striking similarity with a supersymmetric pattern of good supersymmetry (SUSY). In what follows we identify the underlying supersymmetric structure associated with a Dirac Hamiltonian possessing a relativistic pseudospin symmetry.

2. Dirac Hamiltonian with axially-deformed potentials

A relativistic mean-field description of nuclei employs a Dirac Hamiltonian, \( H = \hat{\alpha} \cdot \hat{\rho} + \hat{\beta}(M + V_S) + V_V \) for a nucleon of mass \( M \) moving in external scalar, \( V_S \), and vector, \( V_V \), potentials. When the potentials are axially-symmetric, \( i.e., \) independent of the azimuthal angle \( \phi \), \( V_{S,V} = V_{S,V}(\rho, z), \rho = x^2 + y^2 \), then the \( z \)-component of the angular momentum operator, \( \hat{J}_z \), commutes with \( H \) and its half-integer eigenvalues \( \Omega \) are used to label the Dirac wave functions

\[
\Psi_\Omega(\rho, \phi, z) = \begin{pmatrix}
g^+(\rho, z) e^{i(\Omega-1/2)\phi} \\
g^-(\rho, z) e^{i(\Omega+1/2)\phi} \\
f^+(\rho, z) e^{i(\Omega-1/2)\phi} \\
f^-(\rho, z) e^{i(\Omega+1/2)\phi}
\end{pmatrix}.
\]

Here \( g^\pm \equiv g^{\pm}(\rho, z) \) and \( f^\pm \equiv f^{\pm}(\rho, z) \) are the radial wave functions of the upper and lower components, respectively. The Dirac equation, \( H \Psi = E \Psi \), leads to a set of four coupled partial differential equations for these components. For each solution with \( \Omega > 0 \), there is a degenerate time-reversed solution with \( -\Omega < 0 \), hence, we confine the discussion to solutions with \( \Omega > 0 \).

Of particular interest are bound Dirac valence states with \( 0 < E < M \) and normalizable wave functions. The potentials enter the Dirac equation through the combinations

\[
A(\rho, z) = E + M + V_S(\rho, z) - V_V(\rho, z),
B(\rho, z) = E - M - V_S(\rho, z) - V_V(\rho, z).
\]

For relativistic mean-fields relevant to nuclei, \( V_S \) is attractive and \( V_V \) is repulsive with typical values \( V_S(0) \sim -400, V_V(0) \sim 350, \text{MeV} \). The potentials satisfy \( \rho V_S(\rho, z), \rho V_V(\rho, z) \to 0 \)
for $\rho \to 0$ and $V_S(\rho,z), V_V(\rho,z) \to 0$ for $\rho \to \infty$ or $z \to \pm \infty$. The boundary conditions imply that the radial wave functions fall off exponentially for large distances and behave as a power law for $\rho \to 0$. Furthermore, for $z = 0$ and $\rho \to \infty$, $f^-/g^+ \propto (M-E) > 0$ and $g^-/f^+ \propto (M+E) > 0$, while for $z = 0$ and $\rho \to 0$, $f^-/g^+ \propto B(0)\rho$ and $g^-/f^+ \propto -A(0)\rho$. These properties have important implications for the structure of radial nodes. In particular, it follows that for potentials with the indicated asymptotic behaviour and $A(0), B(0) > 0$, as encountered in nuclei, a necessary condition for a nodeless bound valence eigenstate of a Dirac Hamiltonian is [11]

$$g^- = 0 \quad \text{or} \quad f^+ = 0 . \quad (5)$$

3. Relativistic pseudospin symmetry in nuclei

A relativistic pseudospin symmetry occurs when the sum of the scalar and vector potentials is a constant [7]

$$V_S(\rho,z) + V_V(\rho,z) = \Delta_0 . \quad (6)$$

The symmetry generators, $\hat{S}_i$, commute with the Dirac Hamiltonian and span an SU(2) algebra [8]

$$\hat{S}_i = \begin{pmatrix} U_p \hat{s}_i U_p & 0 \\ 0 & \hat{s}_i \end{pmatrix} \quad i = x,y,z \quad U_p = \frac{\sigma \cdot p}{p} . \quad (7)$$

Here $\hat{s}_i = \sigma_i/2$ are the usual spin operators, defined in terms of Pauli matrices. The Dirac eigenfunctions in the pseudospin limit satisfy

$$\hat{S}_z \Psi^{(\tilde{\mu})}_\Omega = \tilde{\mu} \Psi^{(\tilde{\mu})}_\Omega \quad \tilde{\mu} = \pm 1/2$$

$$(\hat{S}_x + i \hat{S}_y) \Psi^{(-1/2)}_{\Omega_1} = \Psi^{(1/2)}_{\Omega_1}$$

and form degenerate SU(2) doublets. Their wave functions have been shown to be of the form [12]

$$\Psi^{(-1/2)}_{\Omega_1=\tilde{\Lambda}-1/2} = \begin{pmatrix} g^+ e^{i(\tilde{\Lambda}-1)\phi} \\ -g e^{i\tilde{\Lambda}\phi} \\ if e^{i\tilde{\Lambda}\phi} \end{pmatrix} , \quad \Psi^{(1/2)}_{\Omega_1=\tilde{\Lambda}+1/2} = \begin{pmatrix} g e^{i\tilde{\Lambda}\phi} \\ g^- e^{i(\tilde{\Lambda}+1)\phi} \\ if e^{i\tilde{\Lambda}\phi} \end{pmatrix} \quad (9)$$

where $\tilde{\Lambda} = \Omega - \tilde{\mu} \geq 0$ is the eigenvalue of $\hat{J}_z - \hat{S}_z$. Near the pseudospin limit, eigenstates with $\tilde{\Lambda} \neq 0$ occur in degenerate doublets. An exception to this rule are eigenstates whose radial components have no nodes and, therefore, are not part of a doublet. The latter property follows from the fact that a nodeless bound Dirac state satisfies the criteria of Eq. (5), hence has a wave function $\Psi^{(-1/2)}_{\tilde{\Omega}_1=\tilde{\Lambda}-1/2}$, as in Eq. (9), with $g^+, g, f \neq 0$ and $f/g^+ > 0$. Its pseudospin partner state has a wave function $\Psi^{(1/2)}_{\tilde{\Omega}_2=\tilde{\Lambda}+1/2}$. The radial components satisfy $Bg^- = [B - 2(\tilde{\Lambda}/\rho)f/g^+]g^+$, where $B$ is defined in Eq. (4). This relation is satisfied, to a good approximation, for mean-field potentials relevant to nuclei, and the r.h.s. is non-zero and, consequently, $g^- \neq 0$. If so, then the partner state, $\Psi^{(1/2)}_{\tilde{\Omega}_2=\tilde{\Lambda}+1/2}$, is also nodeless, but it cannot be a bound valence eigenstate since its radial components do not fulfill the condition of Eq. (5).

The relativistic pseudospin symmetry has been tested in numerous realistic mean-field calculations of nuclei and was found to be obeyed to a good approximation, especially for

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doublets near the Fermi surface \[12, 13, 14, 15, 16, 17, 18\]. The dominant upper components of the states in Eq. (9), involving \(g^{-}\) and \(g^{+}\), correspond to the non-relativistic pseudospin doublets of Eq. (2). The nodeless Dirac eigenstates correspond to the non-relativistic intruder states, \([N, n_{3}, \Lambda = N - n_{3}]\Omega = \Lambda + 1/2\), mentioned above. In what follows we show that the ensemble of deformed pseudospin doublets and intruder levels, forms a supersymmetric pattern whose origin can be traced to the relativistic pseudospin symmetry of the Dirac Hamiltonian.

### 4. Relativistic pseudospin symmetry and supersymmetric quantum mechanics

The essential ingredients of supersymmetric quantum mechanics [19] are the supersymmetric Hamiltonian, \(\mathcal{H}\), and charges \(Q_{+}, Q_{-} = Q_{\Lambda}^{\dagger}\), which generate the supersymmetry (SUSY) algebra

\[
[\mathcal{H}, Q_{\pm}] = 0 , \quad \{ Q_{\pm}, Q_{\pm} \} = 0 , \quad \{ Q_{-}, Q_{+} \} = \mathcal{H} .
\]

Accompanying this set is a Hermitian \(Z_{2}\)-grading operator satisfying

\[
\mathcal{P}^{\dagger} = \mathcal{P} , \quad [\mathcal{H}, \mathcal{P}] = 0 , \quad \{ Q_{\pm}, \mathcal{P} \} = 0 , \quad \mathcal{P}^2 = \mathbb{1} .
\]

The \(+1\) and \(-1\) eigenspaces of \(\mathcal{P}\) define the “positive-parity”, \(H_{+}\), and “negative-parity”, \(H_{-}\), sectors of the spectrum, with eigenvectors \(\Psi^{(+)}\) and \(\Psi^{(-)}\), respectively. The SUSY algebra imply that if \(\Psi^{(+)}\) is an eigenstate of \(\mathcal{H}\), then also \(\Psi^{(-)} = Q_{-}\Psi^{(+)}\) is an eigenstate of \(\mathcal{H}\) with the same energy, unless \(Q_{-}\Psi^{(+)}\) vanishes or produces an unphysical state, (e.g., non-normalizable). The resulting spectrum consists of pairwise degenerate levels with a non-degenerate single state (the ground state) in one sector when the supersymmetry is exact. If all states are pairwise degenerate, the supersymmetry is said to be broken. Typical spectra for good and broken SUSY are shown in Fig. 2.

Degenerate doublets, signaling a supersymmetric structure, can emerge in a quantum system with a Hamiltonian \(H\), from the existence of two Hermitian, conserved and anticommuting operators, \(\hat{R}\) and \(\hat{B}\)

\[
[H, \hat{R}] = [H, \hat{B}] = \{ \hat{R}, \hat{B} \} = 0 .
\]

The operator \(\hat{R}\) has non-zero eigenvalues, \(r\), which come in pairs of opposite signs. \(\hat{B}^2 = \hat{B}^{\dagger}\hat{B} = f(H)\), is a function of the Hamiltonian. A \(Z_{2}\)-grading operator, \(\mathcal{P}_{r}\), and Hamiltonian supersymmetric charges, \(Q_{1}, Q_{2}\) and Hamiltonian \(\mathcal{H}\) can now be constructed as

\[
\mathcal{P}_{r} = \hat{R}/|r| , \quad Q_{1} = \hat{B} \quad , \quad Q_{2} = iQ_{1}\mathcal{P}_{r} \quad , \quad \mathcal{H} = Q_{1}^{2} = f(H) .
\]

The triad of operators \(Q_{\pm} = (Q_{1} \pm iQ_{2})/2\) and \(\mathcal{H}\) form the standard SUSY algebra of Eq. (10).

Focusing the discussion to the relativistic pseudospin limit, the elements of the SUSY algebra are

\[
Q_{1} = 2(M + \Delta_{0} - H)\hat{S}_{x} , \quad Q_{2} = 2(M + \Delta_{0} - H)\hat{S}_{y} , \quad \mathcal{P}_{r} = 2\hat{S}_{z} , \quad \mathcal{H} = Q_{1}^{2} = (M + \Delta_{0} - H)^{2} ,
\]

\[\Lambda = 0 \quad \Lambda = 1 \quad \Lambda = 2 \quad \Lambda = 3 \quad \Lambda = 4 \quad \Lambda = 5\]
Table 1. Conserved, anticommuting operators, $\hat{R}$ and $\hat{B}$, for Dirac Hamiltonians (H), with axially-deformed scalar and vector potentials, exhibiting a supersymmetric structure [11]. Here $\hat{S}_s = \left( \begin{array}{c} U_p \sigma_i \rho \ 0 \\ 0 & \sigma_i \end{array} \right)$ and $\hat{S}_t = \left( \begin{array}{cc} \sigma_i & 0 \\ 0 & \sigma_i \end{array} \right)$ are the pseudospin and spin generators, respectively.

$$\hat{R}_s = [M + V_s(z)] \beta \hat{S}_s + \gamma_5 \hat{p}_z$$ and $\hat{R}_p = [M + V_s(p)] \hat{S}_t - i \beta \gamma_5 (\hat{\Sigma} \times \hat{p})_3$, where $\hat{\Sigma}_i = \left( \begin{array}{cc} \sigma_i & 0 \\ 0 & \sigma_i \end{array} \right)$.

| Dirac Hamiltonian | $\hat{R}$ | $\hat{B}$ | $\hat{B}^2 = f(H)$ | SUSY |
|-------------------|-----------|------------|---------------------|------|
| $V_s(r, z) + V_v(r, z) = \Delta_0$ | $\hat{S}_z$ | $2(M + \Delta_0 - H) \hat{S}_z$ | $(M + \Delta_0 - H)^2$ | good |
| $V_s(r, z) - V_v(r, z) = \Xi_0$ | $\hat{S}_z$ | $2(M + \Xi_0 + H) \hat{S}_z$ | $(M + \Xi_0 + H)^2$ | broken |
| $V_s = V_s(z), V_s = \frac{\alpha V}{\rho}$ | $\hat{R}_s$ | $\beta \hat{S}_s \{i \hat{J} \gamma_5 [H - \hat{S} \hat{R}_s] - \frac{\partial \hat{H}}{\partial \hat{S}} \hat{R}_s \}$ | $\hat{R}_s^2 (M^2 + 2\hat{R}_s^2) + \alpha^2 \hat{R}_s^2$ | good |
| $V_s = \frac{\alpha V}{\rho}, V_s = V_s(z)$ | $\hat{R}_p$ | $\hat{S}_s \{i \hat{J} \gamma_5 [M - \hat{S} \hat{R}_p] \hat{R}_p - \frac{\partial \hat{H}}{\partial \hat{S}} \hat{R}_p \}$ | $\hat{R}_s^2 (M^2 + 2\hat{R}_s^2) + \alpha^2 \hat{R}_s^2$ | good |

where $H$ is the pseudospin-invariant Dirac Hamiltonian with potentials satisfying the condition of Eq. (6). The operator $\hat{B} = Q_1$ connects the doublet states of Eq. (9). The spectrum, for each $\lambda \neq 0$, consists of twin towers of pairwise degenerate pseudospin doublet states, with $\Omega_1 = \lambda - 1/2$ and $\Omega_2 = \lambda + 1/2$, and an additional non-degenerate nodeless state at the bottom of the $\Omega_1 = \lambda - 1/2$ tower. Altogether, the ensemble of Dirac states with $\Omega_2 - \Omega_1 = 1$ exhibits a supersymmetric pattern of good SUSY, as illustrated in Fig. 3.

The supersymmetry discussed above, is one of several possible classes of supersymmetries of Dirac Hamiltonians in 3+1 dimensions, with cylindrically-deformed scalar and vector potentials [11]. The supersymmetries associated with the pseudospin and spin limits arise when the potentials obey a constraint on their sum or difference, respectively. Additional supersymmetries arise when one of the potentials has a $1/\rho$ dependence and the second potential depends on $z$. The relevant $\hat{R}$ and $\hat{B}$ operators (12) of the various supersymmetries, are listed in Table 1. A similar analysis can be done for spherical scalar and vector potentials and, as shown in Table 2, the corresponding supersymmetries are associated with the pseudospin ($V_s + V_v = \Delta_0$), spin ($V_s - V_v = \Xi_0$) and Coulomb ($V_s, V_v \propto 1/r$) limits of the Dirac Hamiltonian [10, 20]. The spin (Coulomb) limits are relevant to quark (electron) dynamics in heavy mesons (atoms) [18].
5. Summary
As previously noted, the single-particle spectrum of spherical shell-model states in nuclei, exhibits characteristic features of a supersymmetric pattern. The latter consists of twin towers of pair-wise degenerate spherical pseudospin doublets (with $n = 1, 2, \ldots$) sharing a common $\tilde{\ell}$, and an additional non-degenerate nodeless ($n = 0$) intruder state at the bottom of the spin-aligned tower

$$
\begin{align*}
(n, \ell, j = \ell + 1/2) & \quad (n-1, \ell + 2, j = \ell + 3/2) & n = 1, 2, \ldots \\
(n = 0, \ell, j = \ell + 1/2) & & n = 0
\end{align*}
$$

As discussed in the present contribution, a similar situation is observed in the single-particle spectrum of deformed shell model states. The corresponding supersymmetric pattern consists of twin towers of pair-wise degenerate deformed pseudospin doublets (with $n_\perp = 1, 2, \ldots$) sharing a common $\tilde{\Lambda}$, and an additional non-degenerate nodeless ($n_\perp = 0$) intruder state at the bottom of the spin-projection aligned tower

$$
\begin{align*}
[N, n_3 - 2n_\perp, \Lambda] & \Omega = \Lambda + 1/2 & [N, n_3 - 2n_\perp, \Lambda + 2] & \Omega = \Lambda + 1/2 & n_\perp = 1, 2, \ldots \\
[N, n_3, \Lambda] & \Omega = \Lambda + 1/2 & n_\perp = 0
\end{align*}
$$

with $\Lambda = N - n_3$. In both cases, the underlying supersymmetric algebra is associated with the relativistic pseudospin symmetry of the nuclear mean-field Dirac Hamiltonian, involving scalar and vector potentials. Additional supersymmetries of Dirac Hamiltonians with such mixed Lorentz structure can be identified.

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