Nambu-Goldstone bosons with fractional-power dispersion relations

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We pin down the origin of a peculiar dispersion relation of domain wall fluctuation, the so-called ripplon, in a superfluid-superfluid interface. A ripplon has a dispersion relation \( \omega \propto k^{3/2} \) due to the nonlocality of the effective Lagrangian, which is mediated by gapless superfluid phonons in the bulk. We point out the analogy to the longitudinal phonon in the two-dimensional Wigner crystal.

I. INTRODUCTION

The dispersion relation of Nambu-Goldstone bosons (NGBs) determines the low-energy property of systems with spontaneous symmetry breaking. It is directly connected to the temperature dependence of thermodynamic quantities, such as heat capacitance. The softness of NGBs also sets the severeness of infrared divergence, from which one can determine the stability of the symmetry-breaking ground state [1].

The dispersion relation of NGBs is usually an integer power in the long-wavelength limit; i.e., \( \omega \propto |\vec{k}|^n \) with \( n_i \in \mathbb{Z} \) for \( n_i = 1 \), and magnons in a Heisenberg ferromagnet have a quadratic dispersion relation, \( \omega \propto |\vec{k}|^2 \) (\( n_i = 2 \)). In a helical magnet with the spiral vector along the \( z \) axis, the dispersion relation of helimagnons takes an anisotropic form, \( \omega \propto \sqrt{k_x^2 + C(k_x^2 + k_y^2)^2} \) [2]. Namely, it is linear in the \( z \) direction \( (n_z = 1) \) and quadratic in the \( x, y \) directions \( (n_x = n_y = 2) \).

We can actually “prove” the integer power by assuming a local effective Lagrangian. In Fourier space, the quadratic effective Lagrangian can be expressed as \( \frac{1}{2} \pi^a \tilde{\pi}^a \left( \frac{1}{2} \pi^a \right)^* \). Although there are several analytical and numerical studies that support this weird dispersion relation [5–9], the physically intuitive picture behind it remains unclear in the existing literature. In this paper, we pin down its origin as the breakdown of its effective Lagrangian obtained by integrating out only higher-energy modes is still local. However, when the system contains an interaction of two subsystems \( S_{A,B} \) of different dimensionality, it may be useful to integrate out \( S_B \)—regardless of its gap—to get an effective theory of \( S_A \) alone. A nonlocal effective Lagrangian in real space is equivalent to a non-analytic dependence on momentum in Fourier space, which may lead to a dispersion relation with a fractional power as we shall see below.

II. EFFECTIVE LAGRANGIAN OF RIPPLON

We consider a domain wall in a two-component Bose-Einstein condensate. When the intercomponent repulsion is much stronger than the intracomponent one, a spontaneous phase separation occurs and a domain wall is formed between the components. Since the domain wall spontaneously breaks the translational symmetry in the direction perpendicular to the plane, there should be a gapless Nambu-Goldstone mode corresponding to the fluctuation (ripple) of the interface. Such a mode is referred to as a “ripplon.” Here we rederive its dispersion relation by deriving an effective Lagrangian written solely in terms of the displacement field \( u \).

A. Model

Let us take a domain wall at \( z = 0 \) in the equilibrium and describe its fluctuation by a displacement field \( u = \)}
of the velocity at the boundary. This boundary condition main wall and the superfluids have the same fields that describe Bogoliubov phonons in superfluids. The pressure balance at the ground state without a superflow. We consider small fluctuations above it at zero temperature; hence there is no normal component of the fluid.

The variation of the Lagrangian (2) with respect to \( u \) gives Laplace’s law, \((\mathcal{P}_1 - \mathcal{P}_2)\big|_{z = u} = \sigma \nabla \cdot (S^{-1} \nabla u)\), which relates the pressure difference across the surface to the surface tension. The pressure balance at the ground state requires \( \mu_1^2/2 g_1 = \mu_2^2/2 g_2 \).

Our goal is to derive an effective field theory of the surface fluctuation in terms of the displacement field \( u(\vec{x}_\parallel, t) \). Our strategy is simply to integrate out bulk degrees of freedom \( \varphi_{1,2} \).

### B. Equation of motion of superfluid phonons

Let us first clarify the equation of motion for \( \varphi_{1,2} \) fields that describe Bogoliubov phonons in superfluids. The variation of the Lagrangian (2) with respect to \( \varphi_a \) requires extra care to the \( u \) dependence of the integration domain. For example, when we integrate by parts the time derivative in a combination \[ \int \cdots \cdots \int x \cdots \cdots \cdots \] \( f(z, t) \partial_t \varphi(z, t) \), \( \partial_t \) may act on \( u(\vec{x}_\parallel, t) \), as well as on \( f(z, t) \) in the integrand. Therefore

\[
\delta S_{\text{eff}} = (-1)^a \int du \int d\varphi_a \varphi_a \left( \frac{\partial_j^2}{\mu_a} \varphi_a \right) \bigg|_{z = u} 
- \int d\varphi_a \varphi_a \left( \frac{\partial_j^2}{\mu_a} \varphi_a \right) \right) \bigg|_{z = u}. 
\]

The first line gives the boundary condition \( \partial_j^2 \varphi_a = \partial_j u \partial_j \varphi_a \) at \( z = u \). Here, \( \partial_j^2 \varphi_a = \partial_j u \partial_j \varphi_a \) is the conserved U(1) current. Using its linearized form, the boundary condition is

\[
\dot{u} = \frac{\partial_j \varphi_a}{m_a} \bigg|_{z = 0}. 
\]

The physical meaning of this condition is clear: the domain wall and the superfluids have the same \( z \) component of the velocity at the boundary. This boundary condition is necessary for the conservation of U(1) charges,

\[
Q_1 = \int d^2 x_{\parallel} \int_u^\infty dz j_1^0, \quad Q_2 = \int d^2 x_{\parallel} \int_u^\infty dz j_2^0. 
\]

The second line of Eq. (3) gives the equation of continuity

\[
\dot{\varphi}_a - v_a^2 (\partial_j^2 + \partial_j^2) \varphi_a = 0, \quad v_a^2 = \frac{\mu_a}{m_a}. 
\]

We solve this equation in the form \( \varphi(\vec{x}_\parallel, z, t) = \varphi_a(\vec{k}_\parallel, \omega) e^{i\vec{k}_\parallel \cdot \vec{x}_\parallel} e^{(-1)^a k_{\parallel} z - i\omega t} \), with \( k_{\parallel} = \sqrt{k_{\parallel}^2 - (\omega/v_a^2)} \) and \( k_{\parallel} = |\vec{k}_\parallel| \), assuming the fluctuation is localized on the domain wall. Combined with the condition in Eq. (4), we find an expression of \( \varphi \) in terms of \( u \):

\[
\varphi_a(\vec{k}_\parallel, \omega) = (-1)^a \frac{m_a (-i \omega)}{\kappa_a} u(\vec{k}_\parallel, \omega). 
\]

### C. The effective domain wall Lagrangian

To get the effective Lagrangian in terms of the displacement field \( u \) only, we substitute the solution of \( \varphi_a \) in Eq. (7) back into the Lagrangian. This is equivalent to integrating out \( \varphi_a \) at the tree level. The bulk term \[ [\varphi_a^2 - (\vec{k}_\parallel)^2 - (\partial_j \varphi_a)^2] / 2 g_a \] does not contribute since this vanishes thanks to the equation of motion. The crucial contribution comes from

\[
\int_d z \varphi_1 + \int_u^\infty dz \varphi_2 \quad (8)
\]

in the Lagrangian (2), where \( n_a = \mu_a / g_a \) is the superfluid (number) density. After integrating by parts, we obtain

\[
\int_d z \varphi_1 + \int_u^\infty dz \varphi_2 \quad (9)
\]

at the linearized level. This combination is quite intriguing since it makes \( u \) and \( (\varphi_1 + \varphi_2) \) canonically conjugate to each other (at least when we neglect higher-order terms \( \varphi_a^2 \)). Such a conjugate relation usually leads to noncommuting symmetry algebra, although all symmetries under consideration \([U(1)_a \text{ and translations}]\) are naively Abelian. We will further discuss this point later.

Putting the pieces together, we find

\[
L_{\text{eff}} = \frac{1}{2} u^* \left( \frac{m_1 n_1 \omega^2}{\sqrt{k_{\parallel}^2 - \omega^2}} + \frac{m_2 n_2 \omega^2}{\sqrt{k_{\parallel}^2 - \omega^2}} - \sigma k_{\parallel}^2 \right) u \quad (10)
\]

in Fourier space \([10]\). In the long-wavelength limit, the Lagrangian correctly describes the known dispersion relation \( \omega^2 = \sigma k_{\parallel}^2 / (m_1 n_1 + m_2 n_2) \). The \( \omega^2 / v_a^2 \) term in the denominator can be consistently neglected for a dispersion relation \( k_{\parallel}^2 \) \((n > 1)\) in the long-wavelength limit.

The effective Lagrangian in real space reads

\[
L_{\text{eff}} = \frac{1}{2} \int d^2 x_{\parallel} \int y_{\parallel} \dot{u}(\vec{x}_\parallel, t) \frac{m_1 n_1 + m_2 n_2}{2 \pi |\vec{y}_\parallel|} \dot{u}(\vec{y}_\parallel, t) 
- \int d^2 x_{\parallel} \frac{1}{2} \sigma |\nabla u(\vec{x}_\parallel, t)|^2 \quad (11)
\]
FIG. 1. (Top panel) Fluctuation of the domain wall (ripple) excites Bogoliubov phonons in the bulk, and the bulk excitation in turn affects the domain wall fluctuation, inducing an effective nonlocal interaction. (Bottom panel) The system of lattice vibration (phonons) of a Wigner crystal and gauge photons in the bulk is perfectly analogous to the ripple example.

to leading order in derivatives. One can observe a Coulomb-type long-range coupling between the velocity of the domain wall. Hence, the effective Lagrangian is nonlocal, invalidating our “proof” of the integer power for a local effective Lagrangian. It may be an interesting future work to examine the Coulomb-type long-range coupling from the dual picture of superfluids. This peculiar form of the time-derivative term may also have other interesting physical consequences, e.g., response to an external force.

D. Discussions

The nonlocality of the effective Lagrangian clearly originates from integrating out gapless bulk modes. When the bulk mode is gapless, a low-energy fluctuation of the domain wall excites bulk modes and, in turn, the bulk oscillation affects a different part of the domain wall, as illustrated in Fig. 1. This is the physical picture behind the nonlocal term mediated by gapless bulk modes. Then the Fourier component of the nonlocal term \( \int d^4x d^2y \pi^a(\vec{x}, t) f_{ab}(\vec{x} - \vec{y}) n^a(\vec{x}, t) \) has a singularity at \( k = 0 \). For the existence of \( \partial_k \cdot \partial_{k_0} f_{ab}(\vec{k})|_{\vec{k}=0} \) we need \( | \int d^4x f_{ab}(\vec{x}) x_1 \cdot \cdots \cdot x_n | < \infty \); thus, \( f_{ab}(\vec{x}) \) should behave as \( x^{-r} (r > n + d) \) as \( x \to \infty \).

If we explicitly break the U(1) symmetry to open the bulk gap by adding \( -M_0^2 \varphi^2/2g_a \) to the Lagrangian (2), the first term of Eq. (10) is replaced by

\[
\frac{m_1 n_1 \omega^2}{\sqrt{M_1^2 + k_{\parallel}^2 - \frac{\omega^2}{v_1^2}}} + \frac{m_2 n_2 \omega^2}{\sqrt{M_2^2 + k_{\parallel}^2 - \frac{\omega^2}{v_2^2}}} \tag{12}
\]

Then the \( k_{\parallel} \) dependence in the denominator becomes subleading and the usual linear dispersion relation \( \omega \propto k_{\parallel} \) is recovered. In real space, the induced term is local \( \propto \vec{u}^2(\vec{x}, t) \) to leading order.

This understanding helps us to generalize our analysis. For example, the domain wall of the \( \mathbb{Z}_2 \) symmetry-broken phase of the real scalar \( \phi^4 \) theory should have the ordinary linear dispersion relation since the bulk is gapped. Indeed, such domain wall fluctuation can be well described by the (local) Nambu-Goto action [11].

Even when the bulk is gapped, if there are additional gapless degrees of freedom on the domain wall, type B Nambu-Goldstone bosons [12] are possible, as recently discussed in Ref. [13]. However, they cannot have a fractional dispersion relation unless one introduces a nonlocal term by hand.

Another essential ingredient in the above derivation is a finite particle density. That is, the same phenomenon cannot be realized by relativistic superfluids at the zero chemical potential. This can be easily seen by setting \( n_a = 0 \) (or equivalently \( \mu_a = 0 \)) in Eqs. (9) and (10). In the absence of the \( \omega^2/k_0^2 \) term in Eq. (10), we add the leading order term \( O(\omega^2) \) to the Lagrangian and again find a linear dispersion relation. This basically means that, when the Lagrangian does not have the \( -n_a \varphi_a \) term, the domain wall fluctuation \( u \) and the bulk phase fluctuation \( \varphi_a \) are completely decoupled to quadratic order in the fluctuations.

As pointed out by many authors [4, 8, 9, 14], the fluctuation of a fluid surface with the dispersion relation \( \omega \propto k^{3/2} \) is known to occur even in classical hydrodynamics [15]. In this context, the mode is called a capillary wave. In the classical fluid mechanics, the velocity field of an irrotational flow can be written as \( \vec{v} = \vec{\nabla} \phi \), where \( \phi \) is called the velocity potential. Further assuming the incompressibility and neglecting the dissipation and the gravitational potential, the pressure of a classical fluid can be expressed as [15]

\[
P = p_0 - \rho \ddot{\phi} - \frac{\rho}{2}(\vec{\nabla} \phi)^2 + \cdots, \tag{13}
\]

where \( \rho \) is the mass density of the fluid. This should be compared to the pressure of the superfluid,

\[
P = \frac{\mu^2}{2g} - n \dot{\varphi} - \frac{n}{2m}(\vec{\nabla} \varphi)^2 + \frac{n}{2mv^2} \dot{\varphi}^2 + \cdots. \tag{14}
\]

We notice the formal correspondence \( \varphi = m \dot{\phi} \). Therefore, the above derivation goes without changes for classical fluids, except that (i) we replace \( P \) in Eq. (2) with the pressure Eq. (13) for a classical fluid and (ii) we take the infinite speed of sound limit \( v \to \infty \) in, e.g., Eq. (10) to be consistent with the assumption of incompressibility. For example, it is known that the potential on the surface \( \phi|_{z=0} \) and the position of the surface \( u \) are canonically conjugate to each other [16]. This fact can be understood by Eq. (9) and the correspondence \( \phi = \varphi/m \).

Finally, let us comment on the effect of the gravitational potential. Naively, it explicitly breaks the translational symmetry in the \( z \) direction; hence, the NGB
associated with the translational symmetry should open a gap. Indeed, the effective Lagrangian may obtain a “mass term” \(-M^2 u^2/2\) with \(M^2 \equiv (m_1 n_1 - m_2 n_2)g > 0\) [17]. However, it turns out that the domain wall fluctuation remains massless thanks to the interplay with bulk gapless modes.

Let us first discuss the incompressible limit \(v_n \to \infty\). Adding the mass term to the effective Lagrangian (10), one finds [5, 18]

\[
\omega = \sqrt{\frac{(m_1 n_1 - m_2 n_2)g}{m_1 n_1 + m_2 n_2}} .
\]

The dispersion is proportional to \(k_{||}^{1/2}\) in the long-wavelength limit. This mode is called the gravity wave of a fluid surface and exists in the incompressible classical fluid as well [15]. For a finite \(v_n\), one can no longer neglect \(\omega^2 / v^2\)’s in the denominator of (10) for a small \(k_{||}\) limit [9]. The dispersion in Eq. (15) is valid only for the wave vector sufficiently bigger than

\[
\max_{a=1,2} \frac{(m_1 n_1 - m_2 n_2)g}{m_1 n_1 + m_2 n_2} v_a^2 ,
\]

but smaller than \(\min_a 2\pi / \xi_a\), with \(\xi_a = (2m_a u_a)^{-1}\) being the coherence length.

E. Commutation relations

Now let us come back to the consequence of Eq. (9). This type of quadratic term linear in the time derivative usually implies that conserved charges associated with these fields do not commute [1, 12].

Indeed, from Noether’s theorem, the \(z\) component of the momentum operator \(P_z\) is found to be

\[
P_z = \int d^2 x_{||} \left[ \int_0^u dz (j^z_1 \partial_z \varphi_1 + j^z_2 \partial_z \varphi_2) + \right. \int_{-\infty}^u dz (j^z_2 \partial_z \varphi_2) \right] \simeq \int d^2 x_{||} (-n_1 \varphi_1 + n_2 \varphi_2)_{z=0} .
\]

The formula for U(1) charges \(Q_a\) in Eq. (5) can also be linearized as

\[
Q_1 \simeq -\int d^2 x_{||} n_1 u, \quad Q_2 \simeq \int d^2 x_{||} n_2 u .
\]

The canonical commutation relation mentioned below Eq. (9) then suggests that the algebra of symmetry generators is centrally extended due to the presence of the domain wall:

\[
[P_z, Q_1] = i A n_1, \quad [P_z, Q_2] = -i A n_2 ,
\]

where \(A = \int d^2 x_{||}\) is the total area of the domain wall that diverges in the thermodynamic limit. This result is counterintuitive since \(Q_2\) and \(P_z\) naively commute. Also, the Jacobi identity among \(J_x, P_y, Q_a\) (\(\bar{J}\) is the angular momentum) appears to prohibit such central extensions. However, in the presence of the domain wall, \(J_x\) is no longer well defined, as it changes the field configuration at the spatial boundary and we do not have to impose the Jacobi identity (as discussed in Ref. [19]).

The noncommuting algebra (central extensions) as a consequence of topological solitons or domain walls are recently discussed in Refs. [13, 19]. Here we confirmed that the superfluid-superfluid interface of phase-separated two-component Bose-Einstein condensates is an example of such an extension.

Note that the counting rule of NGBs discussed in Refs. [1, 12, 20, 21] can be violated when the effective Lagrangian fails to be local because the proof assumes a local effective Lagrangian.

III. PHONONS IN WIGNER SOLID IN 2 + 1 DIMENSIONS

The longitudinal phonon in a two-dimensional crystal sheet of electrons (Wigner crystal) embedded in three-dimensional space is completely analogous to the ripplon example. It has the dispersion relation \(\omega \propto \sqrt{k_{||}}\), due to the long-range Coulomb interaction [22]. Here we revisit this well-known example to clarify the similarity.

The long-range Coulomb interaction is mediated by photons propagating in the three-dimensional space. To explicitly deal with this physics, let us take the free photon Lagrangian plus the interaction to charges in the system:

\[
- \int d^3 x \left[ \frac{1}{16\pi} F_{\mu \nu} F^{\mu \nu} + A_{\mu} (j^\mu_1 + j^\mu_2) \right]
\]

where \(F_{\mu \nu} = \partial_\mu A_\nu - \partial_\nu A_\mu\) and

\[
\begin{align*}
(j^\mu_1) &= n_0 e \delta(z)(1 - \nabla \cdot \vec{u}, \bar{u}, \bar{u}_z, 0), \\
(j^\mu_2) &= -n_0 e \delta(z)(1, 0, 0, 0)
\end{align*}
\]

are the electron current including the effect of the lattice deformation and the background positive charge that neutralizes the total electric charge density at the equilibrium. Here we consider only the in-plane fluctuation \(\bar{u} = (u_x, u_y)\).

In the Lorentz gauge, the equation of motion of the gauge field can be solved as

\[
A^\mu (k_{||}, k_z, \omega) = \frac{4\pi}{k_{||}^2 + k_z^2 - \omega^2} (j^\mu_1 + j^\mu_2)(k_{||}, \omega) .
\]

Hence integrating out photons induces a term,

\[
-\frac{n_0^2 e^2}{2} u_i^* \left[ \int \frac{d k_z}{2\pi} \frac{4\pi (k_z k^z_{||} - \delta^{ij} \frac{k^2_{||}}{c^2})}{k_{||}^2 + k_z^2 - \omega^2} u_j \right]
\]

\[
= \frac{n_0^2 e^2}{2} u_i^* \left[ \frac{2\pi (k_z k^z_{||} - \delta^{ij} \frac{k^2_{||}}{c^2})}{\sqrt{k_{||}^2 - \omega^2 / c^2}} u_j \right] .
\]
After taking the limit $c \to \infty$, this term reduces to the Coulomb interaction between excess charges $-n_0e \nabla \cdot \vec{u}$:

$$-rac{1}{2} \int \! d^3x \! d^3y \nabla \cdot \vec{u} \cdot \vec{u}(x,t) \frac{n_0e^2}{|x-y|} \nabla \cdot \vec{u}(y,t)$$  \hfill (25)

in real space, which is again nonlocal.

To discuss the dispersion relation of the longitudinal mode $u_L(k) \equiv k \cdot \vec{u}/k$, we introduce the kinetic term $\int d^3x (m n_0/2) \vec{u}^2(x,t)$ to the Lagrangian (20). Then, we find the well-known dispersion relation $\omega^2 = 2\pi n_0 k^2/m$, as $k$ in the denominator of Eq. (24) reduces the one power of $k$ in the numerator. This derivation again clarifies the importance of the gapless nature of the surrounding modes. When the difference of the dimensionality between the bulk and the crystal subspace is $m$, integrating out photons produces an interaction $\propto k^{\nu-2}$ among the longitudinal component $k \cdot u_L$, ending up with a $k^{m/2}$ dispersion [23]. Our analysis above corresponds to the case $m = 1$. The three-dimensional Wigner crystal, whose longitudinal phonon is gapped, corresponds to the case $m = 0$. Specifically, $m$ has to be an odd integer to get a fractional power from this mechanism.

Note that long-range interactions between spatial derivatives of fields [e.g., Eq. (25)] make dispersion relations harder, while those among time derivatives [e.g., Eq. (10)] have the opposite effect.

IV. CONCLUSION AND DISCUSSIONS

In this paper, we clarified the origin of the fractional-power dispersion relation of ripplons in a superfluid-superfluid interface. Gapless modes in the bulk induce a nonlocal interaction, which takes a nonanalytic form in Fourier space, leading to a fractional-power dispersion relation of the domain wall fluctuation.

When there are gapless modes localized on the domain wall other than the ripple mode of the domain wall or the in-plane phonon modes, bulk gapless excitation can, in principle, induce a nonlocal coupling for them as well.

Softer modes in the bulk tend to have a more drastic effect on modes localized on the domain wall. It might be an interesting future work to look for a realistic example of an interface of two bulk systems which support NGBs with a softer dispersion relation.

The low-energy physics of a system can be qualitatively modified only by coupling it to other gapless degrees of freedom. When Goldstone modes associated with space-time symmetries are coupled to a Fermi surface, for example, they get overdamped and can no longer be a good particlelike excitation [24]. This is again a result of the interaction with a continuous spectrum of electron-hole pair excitations.

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Note added in proof:—After the completion of our work, we have been informed of several related studies in completely different contexts. Reference [25] discussed a fractional-power spectrum in the entanglement Hamiltonian, which is a result of a nonlocal interaction generated by tracing out gapless modes. Reference [26] obtained a NGB with a fractional-power dispersion relation in the context of holography.

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