Cyclic PaO₂ oscillations assessed in the renal microcirculation: correlation with tidal volume in a porcine model of lung lavage

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Abstract

Background: Oscillations of the arterial partial pressure of oxygen induced by varying shunt fractions occur during cyclic alveolar recruitment within the injured lung. Recently, these were proposed as a pathomechanism that may be relevant for remote organ injury following acute respiratory distress syndrome. This study examines the transmission of oxygen oscillations to the renal tissue and their tidal volume dependency.

Methods: Lung injury was induced by repetitive bronchoalveolar lavage in eight anaesthetized pigs. Cyclic alveolar recruitment was provoked by high tidal volume ventilation. Oscillations of the arterial partial pressure of oxygen were measured in real-time in the macrocirculation by multi-frequency phase fluorimetry and in the renal microcirculation by combined white-light spectrometry and laser-Doppler flowmetry during tidal volume down-titration.

Results: Significant respiratory-dependent oxygen oscillations were detected in the macrocirculation and transmitted to the renal microcirculation in a substantial extent. The amplitudes of these oscillations significantly correlate to the applied tidal volume and are minimized during down-titration.

Conclusions: In a porcine model oscillations of the arterial partial pressure of oxygen are induced by cyclic alveolar recruitment and transmitted to the renal microcirculation in a tidal volume-dependent fashion. They might play a role in organ crosstalk and remote organ damage following lung injury.

Keywords: Ards, Cyclic recruitment, Lung injury, Organ crosstalk, Renal failure

Background

Adverse effects of remote organ crosstalk is a common and severe problem in intensive care patients and a novel field of research [1]. Organ dysfunctions, especially acute respiratory distress syndrome (ARDS) and acute kidney injury, can be caused by the same external etiology, but also trigger each other. The combination of both is linked to prolonged intensive care stays and increased mortality [2–4]. The underlying pathways are not fully understood, but are likely linked to increased inflammation [5]. Respiratory cycle-dependent recruitment and derecruitment of lung parenchyma (cRD), defined as atelectotrauma, plays an important role in ventilator-induced lung injury by applying shearing forces to lung tissue, which lead to pulmonary and/or systemic inflammation [6]. In addition to pulmonary injury, cRD generates varying shunt fractions within the respiratory cycle that lead to ultrafast oscillations of the arterial oxygen partial pressure (PaO₂) and can be detected in macrocirculation, microcirculation, and even in brain tissue [7–10]. A novel technique based on multi-frequency phase fluorimetry (MFPF) can be used to detect PaO₂ oscillations in real-time. For this purpose a ruthenium-covered probe is inserted into the arterial circulation. Currently, an advanced version of this technique allows continuous real-time measurements [8, 11].

Several experimental studies recently addressed the influence of various respiratory patterns or modes on the occurrence and extent of these oscillations [12–15]. Preclinical data suggest that the occurrence of intermittent oxygen oscillations per se might represent a
trigger for remote organ failure following lung injury [6, 16–19]. The role of the tidal volume as driving force for PaO₂ oscillations, their transmission to various distant organ microcirculatory sites and particularly the kidney is yet unknown.

We hypothesized that oscillations of PaO₂ that are induced by cRD are (I) transmitted to the renal tissue and change oxygen saturation at the capillary level, and that (II) the magnitude of this effect depends on the respiratory tidal volume. This transmission may be a possible pathway of the injured lung directly affecting renal integrity.

**Methods**

**Preparation**

The protocol was approved by the State and Institutional Animal Care Committee (Rhineland-Palatinate, Germany, ID G12–1-059). Pilot experiments were performed to develop the protocol. Eight pigs (27–28 kg) were acquired through a local farmer and delivered to the laboratory following sedation (Azaperone, Ketamine). Induction of anesthesia was accomplished with fentanyl (4 μg/kg) and propofol (5–6 mg/kg) via an ear vein cannula. Anesthesia was maintained by continuous infusion of propofol (5–10 mg/kg/h) and fentanyl (0.05–0.1 mg/kg/h). Atracurium (0.5 mg/kg) was administered intravenously only to facilitate endotracheal intubation. Basic monitoring included pulse oximetry (Masimo Radical 7, Irvine California, USA) and spirometric monitoring (S/5, GE-Datex-Ohmeda, Chalfont St. Giles, United Kingdom). The animals were ventilated using a volume-controlled mode (AVEA, Carefusion, San Diego, USA) with a tidal volume of 7 ml/kg, positive end-expiratory pressure (PEEP) of 5 mbar, fraction of inspired oxygen (FiO₂) of 0.4 and respiratory rate oriented on the end-tidal CO₂. Femoral vascular access was achieved using Seldinger’s technique after ultrasound-guided puncture for placement of a central venous line, an arterial introducer for ultrafast PaO₂ measurement, and a pulse contour cardiac output catheter (PICCO, Pulsion Medical, Munich, Germany). The data from all devices was monitored and stored continuously. Balanced electrolyte fluid was administered at an infusion rate of 5–15 ml/kg/h. To compensate fluid deficiency and blood loss during the instrumentation 500 ml of hydroxyethyl starch 130/0.4 were administered over 2 h. If necessary, noradrenaline was administered to maintain a mean arterial pressure above 60 mmHg.

**Ultrafast PaO₂ measurement in the macrocirculation using multi-frequency phase fluorimetry**

A ruthenium-covered probe was inserted via the femoral artery introducer (Foxy-AL300, Ocean Optics, USA) as previously reported [13, 14]. The tip of the probe is covered with ruthenium-based fluorescent molecules, whose fluorescence is quenched by the presence of molecular oxygen. A fiber optic bundle sends blue LED light to the sensor and the resulting fluorescence is relayed back through the bundle to the detector (NeoFox, Ocean Optics, USA). The decay profile of the fluorescent molecules changes in the presence of molecular oxygen, and arterial PaO₂ is calculated automatically by the dedicated software with a time resolution of up to 10 Hz.

**Ultrafast renal oxygen saturation (SrO₂) measurement**

Access to the kidney was achieved surgically and the probe was placed manually on the surface of the kidney at the height of the hilus. SrO₂ was measured by means of laser Doppler flowmetry and white light spectroscopy (O2C, LEA Medizintechnik, Giessen, Germany) as previously reported by Klein et al. for assessment of peripheral and cerebral microcirculation [8, 10]. The light absorption of hemoglobin varies depending on the oxygenation saturation. White light is emitted by the probe, and the spectrum of measured light allows the calculation of the tissue saturation. The amplitude of measured light allows the calculation of hemoglobin amount. Capillary and small venous vessels contain the majority of tissue hemoglobin and therefore contribute the most to the measurement.

**Study protocol**

ARDS was induced by surfactant washout using repetitive bronchoalveolar lavages. The endotracheal tube was closed during inspiration. 30 ml/kg of warmed balanced saline solution were instilled by gravity and immediately removed. This procedure was repeated until the PaO₂/FiO₂ ratio remained less than 200 mmHg over 30 min. To provoke considerable cRD and PaO₂ oscillations, a dedicated algorithm that excludes the occurrence of relevant oscillations under healthy conditions and an aggressive respiratory regime was used: tidal volume of 25–30 ml/kg, respiratory rate of 5–7 per minute, zero PEEP, FiO₂ 1.0, and inspiratory to expiratory ratio of 1:4 [13, 14]. Aortal PaO₂, SrO₂ and hemodynamic and respiratory data were continuously monitored in a time-synchronized manner. The tidal volume was reduced by decrements of 5 ml/kg until the PaO₂ oscillations vanished. Each tidal volume was maintained for 5 min to ensure adequate equilibrium and steady-state conditions. Samples for blood gas analysis were withdrawn at each step very slowly over several respiratory cycles. The experiment was ended in deep general anesthesia by injection of 200 mg of propofol and 40 mmol of potassium chloride.

**Statistics**

Values are given as averages of individual contributors and mean standard deviation. Statistical analysis was
performed using SigmaPlot 12.5 (Systat Software Inc., San Jose, CA, USA). Oscillation amplitudes refer to peak to peak differences and were calculated semi-automatically via a previously described Fourier-analysis-routine using MathCad (Parametric Technology Corporation, Needham, MA, USA) [12]. To compare values before and after intervention, the Wilcoxon-test was used. To determine correlations, the Pearson-coefficient was used. P-values of less than 5% were considered to be statistically significant.

Results

Hemodynamics and ventilation parameters remained stable during all measurements. The alveolar lavage created significant acute lung injury as demonstrated by the PaO$_2$/FiO$_2$ ratio dropping from 505 ± 49 to 202 ± 90 mmHg. Cardio-respiratory measurements during baseline assessment and cRD are summarized in Table 1.

During baseline conditions we neither recorded oscillations in PaO$_2$ nor in SrO$_2$. Application of the aggressive respirator setting in healthy conditions resulted in average oscillation of 22 mmHg without SrO$_2$ oscillations. No inverse oscillations that can be attributed to perfusion alterations were found [20]. Following acute lung injury and cRD provocation, large PaO$_2$ oscillation amplitudes of 155 ± 66 mmHg occurred. These were accompanied by corresponding SrO$_2$ oscillations (2.6 ± 1.5%) as shown in Fig. 1. The PaO$_2$ and SrO$_2$ oscillation amplitudes significantly differed from the baseline value (Fig. 2). The down-titration of the applied tidal volume immediately reduced the PaO$_2$ and SrO$_2$ oscillation amplitudes despite comparable absolute PaO$_2$ (Figs. 2, 3). The corresponding airway driving pressures were 32 ± 4 mbar during initial setting and decreased during tidal volume titration (20 ml/kg: 29 ± 4, 15 ml/kg: 26 ± 4, 10 ml/kg: 23 ± 3 [mbar]). The extent of the PaO$_2$ and SrO$_2$ oscillations significantly correlated with the applied tidal volume (Pearson Coefficient $R = 0.82$ respectively $R = 0.58$, each $p < 0.01$; Fig. 3). Oscillation amplitudes of SrO$_2$ and PaO$_2$ as well correlated with each other (Pearson Coefficient $R = 0.46$, $p = 0.02$). Tidal volume reduction down to 10 ml/kg eliminated ongoing oxygen oscillations. The average of PaO$_2$ measured via MFPF correlated with the corresponding value acquired via blood gas analysis (Pearson Coefficient $R = 0.95$, $p < 0.01$).

Discussion

The present study reveals two key findings: systemic cRD-induced PaO$_2$ oscillations are transmitted to the renal microcirculation and depend on the tidal volume.

To our knowledge, this is the first study that shows a direct alteration of renal capillary oxygen saturation due to fast intratidal changes of shunt and PaO$_2$ within the injured lung.

Dynamic lung stress leading to atelectrauma is proposed to be a major contributor of ventilator-induced damage within the injured lung [21, 22]. cRD exposed lung areas were shown to exert high amounts of inflammatory response [23]. Baumgardner et al. first reported the occurrence of large scale respiratory-dependent PaO$_2$ oscillations caused by cRD in a rabbit model [12]. Interestingly, perfusion-related inverse oscillations that are not induced by cRD can also be provoked in healthy pigs [20]. An occurrence of small oscillation amplitudes (< 30 mmHg) during our aggressive respiratory setting

| Value | Unit | Baseline | cRD | P-value |
|-------|------|----------|-----|---------|
| CO    | l/min | 3.7 ± 0.6 | 3.8 ± 1.0 | n.s. |
| MAP   | mmHg  | 94 ± 16   | 84 ± 11  | n.s. |
| RR    | l/min | 34 ± 4    | 6 ± 1    | <0.01 |
| PaO$_2$/FiO$_2$ | mmHg | 505 ± 49 | 205 ± 90 | <0.01 |
| P$_{plat}$ | mbar | 14 ± 2  | 32 ± 5   | <0.01 |
| PEEP  | mbar  | 5 ± 1     | 0.1 ± 1  | <0.01 |
| V$_t$ | ml/kg | 7.5 ± 0.3 | 27.7 ± 15 | <0.01 |
| pH    | -     | 7.47 ± 0.05 | 7.35 ± 0.06 | <0.01 |
| pCO$_2$ | mmHg | 44.58 ± 4.37 | 62.73 ± 7.61 | <0.01 |

CO – cardiac output, MAP – mean arterial pressure, RR – respiratory rate, P$_{plat}$ – plateau pressure, PEEP – positive end expiratory pressure, V$_t$ – tidal volume, pH – blood pH via blood gas analysis, pCO$_2$ – arterial CO$_2$ pressure via blood gas analysis, n.s. – not significant

Fig. 1 Exemplary real-time data of recruitment and derecruitment induced PaO$_2$ oscillations, corresponding transmission to the renal microcirculation (oscillations of renal tissue saturation, SrO$_2$) and correlation to the airway pressure (P$_{AW}$), which indicates the respiratory-dependent character

Table 1 Measurements during baseline assessment and cRD with maximum tidal volume
even in healthy state is in line with previous reports [14]. The present proof of concept study did not directly assess the underlying mechanism of cRD and its correlation to PaO₂ oscillations. This seems reasonable as it was sufficiently certified by several approaches [12, 14, 24, 25]. Due to the lack of clinical or bedside devices for detection of fast intratidal variations such as cRD, the detection of respiratory-dependent PaO₂-oscillations was proposed as an indicator for indirect quantification of cRD [7].

cRD-induced variations of the PaO₂ are transmitted into peripheral microcirculation and may lead to cyclically occurring episodes of hyper- or hypoxia [8]. Various cell types are compromised when exposed to cyclic oxygen variations both in a hyperoxic and hypoxic range: both ranges trigger were shown to be associated with inflammatory response [16, 17]. Furthermore, oxidative
stress may play a role for oscillations leading to intermit-
tent hyperoxia [16, 17]. Systemic PaO₂ oscillations are
also transmitted to the cerebral tissue [10]. Long-term
exposure to PaO₂ oscillations furthermore causes
neuronal injury and inflammatory response within the brain,
which may represent a mechanism of deleterious
organ crosstalk following single organ failure. Acute kid-
ey injury is the most common organ failure in intensive
care units [26]. In combination with ARDS it prolongs
intensive care unit stays and significantly increases mor-
tality, whereas ARDS itself is a risk factor for subsequent
kidney injury [27]. Recent studies suggest several mecha-
nisms for this organ crosstalk, e.g. an increase of sys-
temic inflammatory markers, [4] changes in blood flow,
edema, ischemia [28] as well as increased inflammation
following antibiotics against bacterial sepsis [29]. How-
ever, the crosstalk between lung and kidney is not fully
understood and respiratory-dependent SrO₂ oscillations
may add one more pathway of remote kidney injury that
needs to be addressed in future research, because neither
kidney damage nor function was directly assessed in this
pilot study.

In previously published animal models that focused on
occurrence and behavior of cRD-related PaO₂ oscilla-
tions aggressive ventilator settings with highest tidal vol-
umes were applied to provoke substantial cyclically
recruitment of lung tissue [6, 8, 11–13, 23, 30]. The
present study is the first one to focus on the tidal vol-
ume as a primary driving force for this phenomenon: a
down-titration of the applied tidal volume to 10 ml/kg
eliminates the PaO₂ oscillations. This tidal volume is still
considerably higher than those applied in ARDS patients
in lung-protective therapy regimes. Computer tomo-
graphic clinical studies in ARDS patients document the
occurrence of cRD even during lung protective tidal vol-
ume ventilation of 4–6 ml/kg [31–33]. Several aspects
should be taken into account to understand these contro-
versial results. Insensitivity of PaO₂ oscillations in the
detection of cRD and occurrence only in large areas of
cyclically recruiting lung tissue cannot be fully excluded.
However, Hartmann et al. showed that even small cyclic-
ally recruiting shunt fractions (lower than 5%) can cause
detectable PaO₂ oscillations [13]. The present study
makes use of two advanced technical approaches at two
locations within the bloodstream to depict cRD-related
oxygen oscillations that correspond to tidal volume
down-titration. Acute lung injury was induced by repeti-
tive bronchoalveolar saline lavage, which is a commonly
used model for rapid development of atelectasis and
ARDS-like gas exchange impairment. The lavage model
is particularly appropriate for studies concerning respira-
tory physiology or characteristics of atelectasis [34, 35].
The lavage model, though, is criticized for not appropri-
ately reflecting the pattern of human ARDS. cRD is not
an exclusive pattern of the lavage model and also occurs
in different experimental conditions or models [36, 37]
as well as in clinical ARDS studies. Tidal volume indeed
seems to be the major determinant for cRD in this acute
porcine model, which does not necessarily depict the
clinical scenario of ARDS. The non-occurrence of PaO₂
oscillations in lower tidal volume ranges in the present
study cannot be generalized to clinical ARDS. In this
context, combined laser-Doppler flowmetry and white
light spectrometry [8] as well as rapid measurement of
peripheral oxygen saturation [24] may allow for non-
invasive, bedside detection of cRD-related PaO₂ oscil-
lations in lung injured patients. Formenti et al. re-
cently developed a platinum-based sensor for ultrafast
PaO₂ measurement that overcomes the toxicity con-
cerns of this study’s ruthenium-based device and may
become available for measurement of real-time or
respiratory-dependent PaO₂ variations in humans [30,
38]. The analysis of PaO₂ oscillations showed stronger
p-values and better tidal volume correlation than the
SrO₂ oscillations. But the average PaO₂ of 205 ± 90 mmHg
during cRD limits the effect onto saturation due to the oxyhemoglobin disso-
ciation curve. Additionally the effect may be limited by the
autoregulation of renal blood flow.

Many factors have an impact on oxygen supply: venti-
lation, oxygen pressure of inspiratory gas, heart rate, car-
diac output, perfusion distribution (shunt), metabolic
status, oxyhemoglobin dissociation curve. For this study
their impact was limited by the application of a stan-
dardized protocol and a pre-established algorithm for
cRD provocation [13, 14]. Most parameters remained
constant during measurements (e.g. metabolic status).
The Fourier-transformation mathematically eliminated
all impacts not synchronized to the ventilation frequency
(e.g. heart rate). Cardiac output depends not only on
heart rate, but also on intrathoracic pressure and its
variation, which changes periodically at the ventilation
frequency. Its impact cannot be eliminated by Fourier-
transformation, but is unlikely to fully explain the alter-
ation in SrO₂ [8]. Hypercapnia in the ARDS group and a
lower pH modify the oxygen dissociation curve. Their
impact on the oscillations cannot be excluded in this
study. Consistent with the present PaO₂ (Fig. 2) the in-
fluence of the oxygen dissociation curve limits the extent
of SrO₂ oscillations. These showed a strict respiratory-
dependent character and are in line with previous stud-
ies that assessed the transmission of PaO₂ oscillation to
the peripheral microcirculation [8, 24, 36]. This study
served as a proof of concept in a porcine model, but
results from long-term experiments or by means of
clinically applicable techniques in patients are required
to confirm the significance of the reported pathophysio-
logical concept.
Conclusion
The current study confirms two hypotheses in a porcine lung injury model. First, oscillations of PaO2 caused by high tidal volumes in ARDS pigs are transmitted to the renal microcirculation and can be measured at the venous capillary level. This suggests a possible pathway to the injury of organs other than the lung itself. Second, the amplitude of oscillations strongly depends on the tidal volume. This emphasizes the potential role of oxygen variations in deleterious organ crosstalk and highlights the importance of lung-protective ventilation to avoid cyclic alveolar recruitment.

Abbreviations
ARDS: Acute respiratory distress syndrome; CO: Cardiac output; cRD: Cycle-dependent recruitment and derecruitment of lung parenchyma; Fio2: Fraction of inspired oxygen; MAP: Mean arterial pressure; MFPF: Multi-frequency phase fluorimetry; n.s.: Not significant; PaO2: Arterial oxygen partial pressure; Paw: Airway pressure; PCO2: Arterial carbon dioxide partial pressure; PEEP: Positive endexpiratory pressure; Pplat: Plateau pressure; RR: Respiratory rate; Sro2: Renal oxygen saturation; VT: Tidal volume

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Availability of data and materials
The dataset analyzed during the current study is available from the corresponding author on reasonable request.

Authors’ contributions
RT and CM contributed equally to the study. RT was involved in designing the study and collecting the data. He was involved in drafting the manuscript and revising it critically for important intellectual content. CM was involved in designing the study and collecting the data, MD was involved in funding of the study, designing the study and collecting the data. EH was involved in designing the study, collecting the data, drafting the manuscript and revising it critically for important intellectual content. All authors read and approved the final manuscript.

Ethics approval and consent to participate
The protocol was approved by the State and Institutional Animal Care Committee (Rhineland-Palatinate, Germany, ID G12–1059).

Consent for publication
Not applicable.

Competing interests
The authors declare that they have no competing interests.

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