Photoprecursor approach as an effective means for preparing multilayer organic semiconducting thin films by solution processes

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The vertical composition profile of active layer has a major effect on the performance of organic photovoltaic devices (OPVs). While stepwise deposition of different materials is a conceptually straightforward method for controlled preparation of multi-component active layers, it is practically challenging for solution processes because of dissolution of the lower layer. Herein, we overcome this difficulty by employing the photoprecursor approach, in which a soluble photoprecursor is solution-deposited then photoconverted in situ to a poorly soluble organic semiconductor. This approach enables solution-processing of the p-i-n triple-layer architecture that has been suggested to be effective in obtaining efficient OPVs. We show that, when 2,6-dithienylanthracene and a fullerene derivative PC71BM are used as donor and acceptor, respectively, the best p-i-n OPV affords a higher photovoltaic efficiency than the corresponding p-n device by 24% and bulk-heterojunction device by 67%. The photoprecursor approach is also applied to preparation of three-component p-i-n films containing another donor 2,6-bis(5-(2-ethylhexyl)-(2,2'-bithiophen)-5-y)anthracene in the i-layer to provide a nearly doubled efficiency as compared to the original two-component p-i-n system. These results indicate that the present approach can serve as an effective means for controlled preparation of well-performing multi-component active layers in OPVs and related organic electronic devices.

The active layer of organic photovoltaic devices (OPVs) is composed of p-type and n-type semiconductors, and its vertical composition profile significantly affects the efficiency of charge-carrier generation and transportation, thus has substantial impact on the photovoltaic performance1-3. Accordingly, controlled preparation of active layers is one of the central issues in the development of OPVs. A conceptually simple approach for achieving a desirable vertical composition profile is sequential, layer-by-layer deposition of different materials, which can be done relatively straightforwardly via vacuum evaporation. On the other hand, this approach is a considerable challenge for solution processes owing to dissolution of the lower layer during deposition of the upper layer. In order to overcome this problem, several strategies have been proposed including the use of orthogonal solubility4-11 and post-deposition fixation12,13; however, the range of applicable materials and the variety of processing conditions are still limited.

Herein, we present a unique ‘photoprecursor approach’ as a new option for layer-by-layer preparation of multi-component organic semiconducting films through solution processes. Our approach employs α-diketone-type photoprecursors of acenes that can be converted to corresponding acenes by extrusion of CO molecules upon visible light irradiation14. We have previously shown that this type of photoprecursors can be employed in preparation of solution-processed organic field-effect transistors to yield good semiconducting performances comparable to those observed in vacuum-deposited films15,16. Additionally, the quality of resulting films can be tuned by photolabelling conditions such as intensity and duration16. By taking advantage of this photoprecursor approach, we have also solution-processed p-n double-layer structures containing acene compounds that are hardly soluble17. The present work expands the scope of photoprecursor approach to fabrication of the p-i-n
relatively simple structural modification already leads to nearly
twofold enhancement in PCE. These results demonstrate that the
photoprecursor approach serves as an effective means for controlled
preparation of well-performing multi-component organic active
layers.

Results and Discussion

The deposition process of organic active layers via the photoprecursor
approach is illustrated in Figure 2. The p-layer was prepared by spin-coating of DTADK in chloroform (250 µl, 800 rpm, 30 s) fol-
lowed by photoirradiation (470 nm LED, 550 mW cm\(^{-2}\), 30 min) to
effect the in-situ conversion of DTADK to DTA. The i-layer was
deposited in the same manner by using a mixed solution
(DTADK: PC\(_{71}\)BM or EH-DTADK: PC\(_{71}\)BM). The anthracene-
diketone skeleton can be smoothly converted to anthracene even in
the presence of PC\(_{71}\)BM\(^{27}\). The optimal weight ratio between
donor and acceptor in the mixed solution was experimentally deter-
mined to be 2:1 (Supplementary Table S1 and Figure S11) which was
employed for deposition of i-layers throughout this study. The n-
layer was prepared by spin-coating of a chloroform solution of
PC\(_{71}\)BM (250 µl, 800 rpm, 30 s). The thicknesses of organic layers
were controlled by solution concentration (Table 1).

The photovoltaic performances of the p–n, BHJ, and p–i–n devices
prepared using different solution concentrations are summarized in
Table 1. We firstly compare three devices A, B, and E in order to
elucidate differences originating from the device architecture. Note
that the concentrations of deposition solutions are consistent within
each layer type (i.e., p-, n-, or i-layer) among these three devices.
Their \(J–V\) curves in the dark and under AM1.5G illumination are
shown in Figure 3. All of the three devices showed open circuit
voltages (\(V_{OC}\)) of \(\approx\) 0.8 V, which is reasonable if one considers the
relatively large energy difference between the highest occupied
molecular orbital (HOMO) of DTA (\(-5.5\) eV\(^{23}\)) and the lowest
unoccupied molecular orbital (LUMO) of PC\(_{71}\)BM (\(-4.3\) eV\(^{29}\)).
Here, the Voc in an OPV generally correlates to the energy difference
between the LUMO of acceptor and the HOMO of donor minus the
exciton binding energy\(^{30–32}\). High Voc of up to ca. 1 V have been
observed in polymer/PC\(_{71}\)BM systems in which the polymers are
similar to DTA in terms of the HOMO level\(^{17}\). The p–n device A
yielded a PCE of 1.21% associated with a short circuit current density
(\(J_{SC}\)) of 2.81 mA cm\(^{-2}\), the highest fill factor (FF) of 53.6%, the lowest
series resistance (\(R_s\)) of 36 Ω cm\(^2\), and the highest shunt resistance
(\(R_{sh}\)) of 2134 Ω cm\(^2\). The BHJ device B exhibited a higher \(J_{SC}\)
of 2.92 mA cm\(^{-2}\), but a significantly lower FF of 29.3%, resulting in a
PCE of 0.90%. The homo p–i–n device E gave a good electrical
properties with a higher FF of 46.1% and a lower \(R_s\) of 51 Ω cm\(^2\),
keeping the Voc of 1 V. However, the homo p–i–n device E gave a
good electrical properties with a higher FF of 46.1% and a lower \(R_s\)
of 51 Ω cm\(^2\), in spite of the greater overall film thickness (123 nm) as compared to
device B (67 nm). As a result, device E achieved the highest \(J_{SC}\)
of 3.64 mA cm\(^{-2}\) and PCE of 1.38% among the three devices.

The external quantum efficiency (EQE) and estimated internal
quantum efficiency (IQE) of the three devices are plotted with
UV–vis absorption spectra of the organic films in Figure 4. Since
the absorption of DTA extends only up to ca. 450 nm\(^{26}\), PC\(_{71}\)BM is
mostly responsible for the photocurrent sensitivity in the longer
wavelength region (450–700 nm). The p–n device A showed higher
absorbance than the BHJ device B; however, it gave significantly
lower EQE of only 20% at maximum (Figure 4a) and thus lower
IQE. This low IQE in device A is assumed to originate from the
planar-junction structure, which is disadvantageous for charge
photogeneration because of the limited donor–acceptor (D–A) inter-
face area and the short exciton diffusion length in organic films (ca.
3.1 nm in PC\(_{71}\)BM)\(^{26}\). Device B showed weaker absorption peaks
(Figure 4b) because of the lower PC\(_{71}\)BM content, while its active-
layer thickness is similar to that of the p–n device A (75 and 67 nm
for devices A and B, respectively). Nevertheless, the BHJ device
showed higher sensitivity than the p–n device, associated with much
higher IQE of 50% at the absorption maximum of DTA around

![Figure 1](https://example.com/figure1.png)

**Figure 1** | Photo-induced generation of anthracene-based p-type
semiconductors from the corresponding α-diketone-type precursors; (a)
DTA from DTADK, (b) EH-DTADK from EH-DTADK.
Figure 2 | Schematic description of fabrication procedure of the four different types of OPVs studied in this work (BHJ, p–n, homo p–i–n, and hetero p–i–n devices). DTA and EH-DBTA are deposited through the photoprecursor approach using DTADK and EH-DBTADK as photoprecursor, respectively. Note that the homo p–i–n device contains the same p-type material in the p- and i-layers, while the hetero p–i–n device has different p-type materials between those two layers.

Table 1 | Active-layer thicknesses and photovoltaic parameters of the best performing devices prepared through the photoprecursor approach.\(^{[a,b]}\)

| Device | Structure | Solution concentration (mg ml\(^{-1}\))\(^{[c]}\) | Active-layer thickness (nm) | \(J_{SC}\) (mA cm\(^{-2}\)) | \(V_{OC}\) (V) | FF (%) | PCE (%) | \(R_S\) (\(\Omega\) cm\(^2\)) | \(R_{SH}\) (\(\Omega\) cm\(^2\)) |
|--------|-----------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|
| A      | p–n       | 5/10            | 75              | 2.81            | 0.80            | 53.6            | 1.21            | 36              | 2134            |
| B      | BHJ       | 10              | 67              | 2.92            | 1.05            | 29.3            | 0.90            | 165             | 521             |
| C      | 20        | 124             | 1.82            | 1.01            | 25.4            | 0.47            | 465             | 574             |
| D      | 30        | 199             | 1.39            | 0.97            | 24.6            | 0.33            | 647             | 673             |
| E      | Homo p–i–n| 5/10/10         | 123             | 3.64            | 0.82            | 46.1            | 1.38            | 51              | 1247            |
| F      | 5/20/10   | 153             | 3.78            | 0.89            | 44.7            | 1.50            | 59              | 1174            |
| G      | 5/30/10   | 223             | 3.99            | 0.88            | 38.4            | 1.34            | 85              | 721             |
| H      | Hetero p–i–n| 5/5/5         | 71              | 4.82            | 0.86            | 52.6            | 2.17            | 24              | 1193            |
| I      | 5/10/5    | 110             | 5.78            | 0.91            | 55.0            | 2.89            | 19              | 1327            |
| J      | 5/20/5    | 164             | 5.32            | 0.93            | 51.0            | 2.53            | 26              | 1164            |

\(^{[a]}\)Average and standard deviations are calculated from at least four devices and summarized in Table S1 in Supporting Information.

\(^{[b]}\)General device structure: [ITO/PEDOT:PSS (30 nm)/active layer/Ca (10 nm)/Al (80 nm)].

\(^{[c]}\)Concentration of each deposition solution; e.g., '5/10' for the p–n device A means the p-layer is deposited from a 5 mg ml\(^{-1}\) solution and the n-layer from a 10 mg ml\(^{-1}\) solution.
420 nm. This indicates that the blend film prepared by the photo-precursor approach is advantageous for charge-carrier photogeneration owing to the large D–A interface area within the film, allowing more excitons to reach the interface to dissociate as in the case of those BHJ films prepared by conventional deposition methods. Device E has the thickest active layer (123 nm) among the three devices; however, its IQE remains at a relatively high level to reach around 45% at maximum (Figure 4c). Thus, the employment of triple-layer structure in this case did not negatively affect the photocurrent generation efficiency as compared to the BHJ system.

Table 1 includes data from other devices with greater active-layer thicknesses (devices C, D, F, and G). In the BHJ series, increasing the thickness from 67 nm for device B to 124 nm for C, and to 199 nm for D resulted in gradual decrease of both $J_{SC}$ and FF (Table 1 and Supplementary Figure S12). The PCE also decreased from 0.90% for A to 0.33% for C. On the other hand, in the homo p–i–n series, increasing the thickness from 123 nm for device E to 153 nm for F, and to 223 nm for G brought about slight increase of $J_{SC}$ and decrease of FF (Table 1 and Figure 5). Thus, there is a trade-off relation between $J_{SC}$ and FF. As a result, device F gave the best PCE of 1.50% with a $J_{SC}$ of 3.78 mA cm$^{-2}$, a FF of 44.7%, and a $R_S$ of 59 Ω cm$^{-2}$.

To clarify the relationship between film structure and device performance, surface morphologies of a pure DTA film (p-layer) and a DTA : PC$_{71}$BM blend film (i-layer) were observed by atomic force microscopy (AFM) as shown in Figure 6a and b. The pure DTA film exhibited granular features of several hundred nanometers in diameter; in contrast, the blend film exhibited a “sea–island” structure where aggregated grains (“islands”) of ca. 200–250 nm were observed in the “sea” having a smooth surface. The root-mean square (RMS) values of surface roughness are 19.4 nm for the pure film and 14.1 nm for the blend film. The shapes of grains observed in the DTA : PC$_{71}$BM blend film is similar to those in the DTA neat film, suggesting that the major component of these grains is DTA. In contrast, no pronounced structure was seen in the sea part, implying that grains of DTA are eroded by mixing with PC$_{71}$BM, and the smooth composite based on relatively well-mixed DTA and PC$_{71}$BM fills the gap between grains. (More detailed analysis of the component distribution in this “sea–island” structure will be reported elsewhere.)

The film structures were also analyzed by out-of-plane XRD measurements (Figure S10a and b). The pure DTA film showed a series of peaks assignable to the (h00) reflections (Figure S10a). The diffraction peak at $2\theta = 4.89$° corresponding to a $d$-spacing of 18.1 Å was in good agreement with the (200) reflection of the single-crystal structure ($a = 34.2$ Å$^2$). In contrast, the DTA : PC$_{71}$BM blend film did not give any peaks (Figure S10b) suggesting its considerably attenuated crystallinity compared to the neat DTA film. Based on these results, it can be assumed that the pure DTA film (p-layer) has higher crystallinity and is more suitable for carrier extraction and transport, while the less crystalline blend film (i-layer) provides a larger p–n junction that is advantageous for exciton harvesting.

The homo p–i–n devices showed superior photovoltaic performance to the p–n and BHJ counterparts. This improvement can be attributed to the combination of the complementary strengths of the p–n and BHJ devices; i.e., efficient charge carrier extraction in the p–n device and efficient charge carrier photogeneration in the BHJ device. Indeed, the estimated IQE of the homo p–i–n device E is similar to that of the BHJ device B, and the FF is close to that of the p–n device A. In addition, there are two benefits assumed for the p–i–n architecture. One is the increase of p–n junction area at the p–i
and i–n interfaces. When the lateral dimensions of domains in the i-layer are larger than the thickness of the layer, which would be the case for device E considering that the active-layer thickness of device B is 67 nm and the size of grains ranges well over one hundred nanometers, these interfaces would provide a considerable addition in the p–n junction area, thereby improving the carrier photogeneration efficiency. The other benefit is the improvement of rectification behavior as a diode in the dark. Device E showed a larger forward current in the dark than device B (Figure 3), indicating that the pure p- or n-film at the electrode–organic interface is more suitable for carrier injection than the blend film. These observations suggest that the high resistance of the i-layer could be redeemed by sandwiching it between the pure p and n-layers, and consequently, the p–i–n devices afforded the enhanced carrier photogeneration efficiencies.

Encouraged by these results, we next explored the feasibility of employing tailor-made materials in each layer of the p–i–n structure via the photoprecursor approach. In this proof-of-concept study of solution-processed 'hetero p–i–n' devices, EH-DBTA (Figure 1b) was chosen for the i-layer and DTA was used in the p-layer as p-type materials. EH-DBTA was assumed to be a superior option to DTA for the i-layer because of two factors: (1) better photoabsorption capability owing to the more π-extended structure and (2) higher miscibility with the n-type material (PC 71BM) owing to the alkyl substituents. On the other hand, DTA would serve as a better option for the p-layer because of its higher transparency.

The photovoltaic performances of the hetero p–i–n devices are summarized in Table 1 (runs 8–10) and their J–V curves are plotted in Figure S14. The highest PCE of 2.89% associated with a Voc of 0.91 V and a FF of 55.0% was obtained when the p-, i-, and n-layers were deposited from solutions of 5, 10, and 5 mg ml⁻¹, respectively (device I). The PCE is nearly twice as high as that of the best homo p–i–n device (device F, PCE = 1.50%). Figure 7a compares the J–V curves of devices F and I, clearly showing that the improvement in J_{SC} (from 3.78 to 5.78 mA cm⁻²) mostly accounts for the enhanced PCE.

The UV–vis absorption, EQE, and IQE spectra of the hetero p–i–n device I are plotted in Figure 7c. Compared to the homo p–i–n device E (Figure 4c), the EQE of this hetero device is considerably increased.
in the 350–550 nm range corresponding very well to the absorption peaks of the EH-DBTA film, which are much stronger than those of the DTA film (Figure 7b). However, the enhancement in absorbivity does not fully explain the improved photocurrent sensitivity. Comparison of IQE curves of the homo and hetero devices indicates that the conversion of absorbed light to current is more efficient in the hetero device independent of the wavelength. Specifically, the maximum IQE reaches 76% around 470 nm for the hetero p–i–n device, while it is only 46% around 435 nm for the homo p–i–n device; in addition, the IQE in the longer wavelength region (500–700 nm) is higher for the hetero device, although the sensitivity in this region thoroughly depends on PC71BM.

With these in consideration, it was presumed that an appreciable portion of the improvement in Jsc might be due to the change in film morphology. AFM observation of an EH-DBTA : PC71BM blend film indeed showed a much smoother surface and a more finely mixed morphology (Figure 6d) as compared to the DTA : PC71BM film (Figure 6b). This seems reflecting the lower tendency of EH-DBTA, in comparison with DTA, to form large self-aggregates (Figures 6a and 6c). The morphology of the EH-DBTA : PC71BM blend film should have led to a considerable increase in charge acceptor–donor heterojunction area within the i-layer, thereby enhancing carrier generation in comparison with the case of DTA : PC71BM. Here, it would be worth noting that the corresponding BHJ device based on EH-DBTA gave the maximum PCE of only 0.85% (Jsc = 3.71 mA cm−2, Voc = 0.78 V, FF = 29.4%, and Rs = 169 Ω cm−2) (Supplementary Table S4 and Figure S13), which is not improved from the best BHJ device based on DTA (PCE = 0.90%, Table 1, run 2). These results strongly support the effectiveness of the p–i–n structure in improving PCE by redrawing the high resistance of BHJ films and increasing carrier transport efficiency.

Finally, the superiority of hetero p–i–n devices to corresponding homo p–i–n devices was further confirmed by examining homo p–i–n devices based on EH-DBTA (Supplementary Table S6 and Figure S15). In this case again, the hetero device showed higher performance (the best PCEs are 2.89% for the hetero device and 2.46% for the homo device) associated with generally higher EQE values regardless of the wavelength. Thus, it was experimentally confirmed that not only the higher transparency of DTA, but also other factors such as higher hole-transport capability contributed to the enhanced photovoltaic performance of the hetero p–i–n device.

**Conclusions**

Organic active layers of the p–i–n structure were solution-processed via the photoprecursor approach employing α-diketone-type precursors of anthracene derivatives. The photovoltaic performance of homo p–i–n devices based on DTA was more than 20% higher as compared to the corresponding p–n and BHJ systems. The systematic comparison of different types of devices indicated that the neat p- and n-layers enhanced charge extraction and carrier injection, and the blend i-layer improved charge photogeneration. We also examined the prototype hetero p–i–n system in which EH-DBTA was used for the i-layer and DTA for the p-layer. The resulting devices afforded significantly enhanced photovoltaic performance compared to the homo p–i–n devices. With these very simple p-type materials, the efficiency of hetero p–i–n device is already comparable to those obtained with much more exotic molecular materials or benchmark polymers as poly(3-hexylthiophene) or other multi-component organic active layers via solution techniques. This proof-of-concept study may open a new way to highly efficient, cost-effective solution-processed OPVs and related organic electronic devices. Towards this goal, future work will involve careful optimization of photoprecursor materials for each applications and in the 350–550 nm region.
examination of compatibility of the photoprecursor approach with low-cost patterning or printing technologies.

**Methods**

**Materials.** 
DADK was synthesized according to the reported procedure\(^5\). The new photoprecursor EH-DADK was synthesized as outlined in Figure S1 in Supporting Information, and characterized by nuclear magnetic resonance spectroscopy (NMR), infrared spectroscopy (IR), and mass spectroscopy. The purities of these compounds were confirmed to be \(>99\%\) by high performance liquid chromatography (HPLC). PC\(_{71}\)BM was purchased from Luminescence Technology Corp. and used as received. Other reagents and solvents were reagent grade purchased from commercial vendors and used without further purification if not specified otherwise in Supporting Information.

**Device fabrication and evaluation.** 
Indium-tin-oxide (ITO)-patterned glass substrates (20 \(\times\) 25 mm, 15 ohm per square) were cleaned by gentle rubbing with an acetone-soaked wipe for ca. 5 s, sonication in acetone and isopropanol for 10 min each, and exposure to boiling isopropanol for 10 min. The washed substrates were further treated in a UV–O\(_3\) cleaner (Filigen, UV235V8) for 20 min, and the poly(3,4-ethylenedioxythiophene):poly(4-styrenesulfonate) layer (PEDOT: PSS, Clevios P VP AI4083) was spin coated at 5000 rpm for 40 s in air followed by a thermal annealing treatment at 120 °C for 20 min in air. The thickness of the resulting PEDOT: PSS layer was about 30 nm. The substrates were then transferred to a N\(_2\)-filled box (<0.5 ppm, O\(_2\)) for preparation of the organic layers. Finally, calcium (10 nm) and aluminum (80 nm) were vapor deposited at high vacuum (\(<10^{-4}\) Pa) through a shadow mask that defined an active area of 4 mm\(^2\).

Current–voltage (I–V) curves were measured using a Keithley 2400 source measurement unit under AM1.5G illumination at an intensity of 100 mW cm\(^{-2}\) using a solar simulator (Bunko-keiki, CEP-2000T). The external quantum efficiency (EQE) spectra were obtained using a monochromatic light illumination of the same system. The UV–vis absorption spectra of the organic films including the PEDOT: PSS layer were recorded using a JASCO V-650 spectrophotometer by the transmittance mode. The internal quantum efficiency (IQE) values were roughly estimated from the EQE. The UV–vis absorption spectra according to the previously employed method by Yu et al.\(^6\). Although deviations from the “true” values are expected, the estimated IQE\(_{s}\) would still provide useful information in systematic comparison between devices.

**Film characterization.** 
The surface morphology of the films was observed by an SII SPI3000/SPI5000/FourierTransform Infrared Spectroscopy microscope (FTIRM) in tapping mode using a silicon probe with a resonant frequency of 138 kHz and a force constant of 16 N m\(^{-1}\) (SII, SI-DF20). The bulk structure of thin-films was evaluated by out-of-plane X-ray diffraction (XRD) measurements using a Rigaku SmartLab diffractometer equipped with a rotating anode (Cu K\(_\alpha\) radiation, \(\lambda = 1.5418\) Å). The thin-film samples were prepared on glass substrates through the photoprecursor approach. The pure DTA film was prepared by spin-coating of a chloroform solution (5 mg ml\(^{-1}\)) 800 rpm, 30 s) of DADK followed by photoirradiation (470 nm LED, 550 mW cm\(^{-2}\), 30 min). The DTA:PC\(_{71}\)BM blend film was prepared by spin-coating of a chloroform solution (10 mg ml\(^{-1}\)) 800 rpm, 30 s) of DADK:PC\(_{71}\)BM solution by photoirradiation (470 nm LED, 550 mW cm\(^{-2}\), 30 min).

1. Campoy-Quiles, M. et al. Morphology evolution via self-organization and lateral and vertical diffusion in polymer: fullerene solar cell blends. Nat. Mater. 7, 158–164 (2008).
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Author contributions

Y.Y. and M.S. contributed equally to this work and wrote the manuscript. Y.Y., M.S., H.Y. and K.N. developed the ideas. M.S., S.S. and S.I. performed material synthesis and characterization. Y.Y., T.M., C.K. and K.T. carried out the fabrication and characterization of OPV devices. Y.Y. and M.S. contributed to film fabrications, AFM measurements, and XRD measurements. All authors agree the contents of the paper.

Additional information

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