Highly accurate local basis sets for large-scale DFT calculations in CONQUEST

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Abstract. Given the widespread use of density functional theory (DFT), there is an increasing need for the ability to model large systems (beyond 1,000 atoms). We present a brief overview of the large-scale DFT code CONQUEST, which is capable of modelling such large systems, and discuss approaches to the generation of consistent, well-converged pseudo-atomic basis sets which will allow such large scale calculations. We present tests of these basis sets for a variety of materials, comparing to fully converged plane wave results using the same pseudopotentials and grids.

1. Introduction

Over the last thirty years, density functional theory (DFT) has emerged as the leading electronic structure modelling technique, used in fields as diverse as biochemistry and electronic engineering, alongside physics, chemistry and materials science. The ability to model the atomic and electronic structure of molecules, liquids, nanoparticles and solids has become a key part of the scientific method.

However, almost all simulations are performed on a very restricted number of atoms: typically a few hundred, and very rarely beyond a thousand. There are various reasons
for the restriction, the most often-cited of which is scaling: the asymptotic scaling with
the number of atoms of standard DFT is cubic in time and quadratic in memory. While
there has been considerable effort in developing linear scaling approaches to DFT[1, 2]
which have been demonstrated to be capable of calculations on millions of atoms[3, 4],
these introduce approximations (typically integrating over energy, so that eigenstates
are not easily available, though can be calculated within a range[5]). There is therefore
a significant gap in the sizes of systems that can be modelled exactly, lying in the range
of thousands to tens of thousands of atoms.

There are numerous examples of simulations that require this size of system,
for instance: realistic doping in semiconductors; biological molecules with or without
explicit water; nanostructures; compounds with very dilute compositions; and large scale
defects such as dislocations. There are, of course, many possible approaches to large
scale electronic structure calculations. Approximate methods, such as density functional
tight binding (DFTB)[6 7] are effective, but introduce some empiricism and/or fitting,
reduce basis sets and remove explicit calculation of certain terms. Full DFT calculations
can be made both more efficient and to scale better in parallel by judicious choice of basis
sets. The largest example of a full DFT calculation used a real-space finite-difference
approach to perform calculations on 10,000+ atom systems[8] with a demonstration
that 100,000 atoms are possible[9].

Localised basis sets are a common choice for efficient, large-scale DFT approaches.
Using such a basis, it is easy to form sparse matrices, and to diagonalise the Hamiltonian
exactly. Examples of these approaches include the pseudo-atomic orbitals found in the
widely-used siesta code[10] and the Gaussian basis sets in the CP2K code[11].

Our focus in this paper is the large-scale DFT code, Conquest, which is capable
of exact calculations on systems with several thousand atoms[5, 12] and linear scaling
calculations on millions of atoms[3, 4]. Conquest uses a basis set of support functions
(SFs) to represent both the Hamiltonian and either the density matrix or the Kohn-Sham
eigenstates. These support functions can be further represented by other functions:
either a systematic basis of blip functions[13]; or numerical pseudo-atomic orbitals
(PAOs)[14] (though Conquest often uses a 1:1 mapping of PAOs to SFs). Conquest
can solve for the electronic ground state using three key methods: linear scaling
DFT[3, 15, 16] which we will not discuss further here; multi-site support functions[17–
20], where a small number of support functions are made from the PAOs from several
atoms (hence multi-site), with the Hamiltonian solved by exact diagonalisation; and the
primitive PAO basis set (one PAO is one SF), also solved using diagonalisation.

PAOs are convenient and easily generated, but are not systematic in their
convergence. In this paper, we will describe our approach to generating basis
sets of varying sizes, and will demonstrate that it is possible to produce relatively
modest basis sets that closely reproduce converged plane-wave results using the same
pseudopotentials.
2. Implementation details

The CONQUEST code has been described in detail elsewhere\cite{15, 16, 20, 22}; nevertheless, some details of the implementation may be useful to assist the reader, and we summarise these here.

The central part of the CONQUEST code relates to the creation of matrices and their use in finding the ground state of the system being studied. When using PAOs as the basis set (as in this paper), we form the overlap matrix elements, and the kinetic and non-local pseudopotential contributions to the Hamiltonian matrix elements using the high accuracy approach pioneered by the SIESTA code\cite{10}. The remaining parts of the Hamiltonian matrix elements (from the local part of the pseudopotential as well as the Hartree and exchange-correlation potentials) are calculated by integration on a uniform spatial grid.

The ground state of the system is found using either exact diagonalisation of the general eigenproblem (using SCALAPACK routines) or a linear scaling approach\cite{15}. For exact diagonalisation, Bloch’s theorem is applied and a Monkhorst-Pack mesh of points in reciprocal space is generated. For linear scaling, which is purely real-space, this is not needed. Forces\cite{22} and stresses are calculated as exact derivatives of the energy (including Pulay forces and stresses from the movement of the basis functions).

2.1. Pseudopotentials

Over the last thirty years, pseudopotentials have become steadily more accurate; during this period, various approaches have been developed to soften and smooth the potentials, and reduce the necessary plane wave cutoff, including ultra-soft pseudopotentials (USPP)\cite{23} and the projector-augmented wave (PAW)\cite{24}. However, these add complications to any implementation, and it is important to note that Hamann’s extension of norm-conserving pseudopotentials\cite{25} to use multiple projectors for each angular momentum (as suggested by Vanderbilt\cite{23}) permits extremely accurate norm-conserving pseudopotentials to be developed.

Another important recent development in the area of pseudopotentials is the “delta” study\cite{26, 27}, which compared the accuracy of all the various pseudopotential methods to different all-electron approaches for a wide sampling of elemental solids. This study demonstrated that it is perfectly possible to develop libraries of norm-conserving pseudopotentials that are as accurate as the best PAW and USPP libraries. To date, there are two sets of these optimised norm-conserving (or ONCV) pseudopotentials\cite{25} freely available: the PseudoDojo\cite{28} and SG15\cite{29} libraries. We have developed a PAO generation code for CONQUEST which reads any ONCV pseudopotential generated by Hamann’s code, which includes both of these sets. In this paper we use the potentials from the PseudoDojo library, regenerated for these tests using version 3.3.1 of Hamann’s code, along with version 1.0.1 of the CONQUEST PAO generation code.

The only drawback to using these potentials is that almost all elements have partial core corrections\cite{30} included, which can require a fine integration grid. Moreover,
many of the heavier elements include semi-core states in the valence electrons, requiring more bands to be solved. These inclusions are necessary for high accuracy; we intend to develop interfaces to other pseudopotential generation schemes that will allow less accurate but faster calculations, which will be reported in future work.

3. Basis set sizes and defaults

All basis sets have advantages and disadvantages; the well-known advantages of plane waves (simplicity and systematic convergence) apply for relatively small systems, where the efficiency of fast Fourier transforms (FFTs) also applies; for large systems, however, the poor parallel scaling of FFTs becomes significant. Moreover, plane waves, being solutions for the free electron, are poorly adapted to atomic calculations (leading to the use of pseudopotentials) and more generally to the solid state (leading to large numbers of basis functions).

There are approaches to systematic basis sets with localised orbitals, where support functions (also known as non-orthogonal generalised Wannier functions, among other names) are defined by a radius and a grid spacing equivalent to a plane wave cutoff. Examples of these include: the blip functions used in CONQUEST [13]; periodic sinc functions [31, 32]; wavelets [33]; and Lagrange functions [34]. It is also possible to use the grid points directly as basis functions with real space techniques [35] including finite elements [36, 37] and finite differences [38].

There is, however, a simplicity and intuition which comes from using atomic orbitals, or (in the case of a pseudopotential calculation) pseudo-atomic orbitals, which consist of radial functions, normally tabulated on a fine radial mesh, multiplied by appropriate spherical harmonics. Integrals between basis functions can be simplified, with angular parts found analytically and radial grids so fine as to give effectively analytic results. The determination of the basis set then relies on three questions: how are the radial functions calculated?; how large an angular momentum is required?; and how many functions should there be for each angular momentum?

The question of angular momentum is in large part determined by the valence electrons being considered: these angular momenta must be represented in the basis set. It is then useful to consider polarisation functions; following the Siesta code [10] we can consider this as the effect of a local electric field on the highest valence shell. For elements up to the lanthanides, this ensures that the PAO basis set includes angular momenta up to \( l = 2 \); unless an atom contains valence \( f \) electrons, this is generally sufficient.

The radial functions are always found with some form of confinement (to ensure sparsity of matrices and efficiency). While this confinement can be a simple radial cutoff, it is not clear how to choose that cutoff: a smaller cutoff will give greater efficiency, but may not yield as good a basis function, particularly in materials where long-range or weak interactions are key. The Siesta code introduced the idea of a uniform energy shift for all pseudo-atomic orbitals [10] to allow for a consistent definition of confinement for
different angular momenta. It also introduced a flexible confinement potential to allow optimisation of the radial functions\cite{39}.

Of course, with more than one radial function per angular momentum it is possible to choose different radii, but the question of how to set these is still difficult. The \textsc{siesta} code uses a split norm idea for subsequent radial functions: using a smooth polynomial from the origin to a certain point, and beyond that point matching the original. OpenMX calculates a set of five or six excited states (solutions with increasing numbers of nodes) for each angular momentum in a hard confining potential\cite{40} and then combines these primitive functions into a number of composite functions (typically with two radial functions per valence state and one per polarisation state), fitted to dimers or diatomic molecules\cite{41,42}. It is also possible to optimise confining potentials by fitting to the eigenstates of converged plane wave calculations\cite{43}. As well as pseudo-atomic orbitals, Gaussian orbitals are in use, for instance in CP2K\cite{44}; Gaussian orbitals are the primitive basis for the original method on which \textsc{Conquest}'s multi-site support functions are based\cite{17}.

In developing PAO basis sets for \textsc{Conquest}, we have considered the fact that the basis functions need to give variational flexibility to the Kohn-Sham eigenstates, and therefore need to span a range of radii. The simplest way, then, is to start with the idea of energy shifts and to specify radii on the basis of one energy that will give a highly confined orbital and one that will give a loosely confined orbital. We have found, empirically, that energy shifts of 2 eV and 0.02 eV fulfil these criteria well, and have also found that for situations where we specify a third radial function, using an intermediate value of 0.2 eV is effective. In fact, for most systems this gives three relatively evenly spaced confinement radii.

We define one set of PAOs by this equal energy criterion: a basis set with one radial function uses 0.02 eV; a set with two functions uses 2 eV and 0.02 eV; and with three functions uses 2 eV, 0.2 eV and 0.02 eV. We then define four basis sizes: minimal (one radial function, valence only or SZ); small (one radial function for valence and polarisation, or SZP); medium (two radial functions for valence and one for polarisation, or DZP); and large (three radial functions for all angular momenta, or TZTP) though in this paper we will not test the minimal basis.

We also consider an alternative, specifying equal radii for all angular momenta. We do this by finding the radii for all angular momenta for a given confinement energy, and taking their mean. This gives a uniform set of radii for all angular momenta. This option tends to be more efficient, as the overall radius of the largest support function is smaller than the equal energy construction; however, it can require a finer integration grid as the more diffuse functions are compressed. We will see below that the two approaches typically produce similar results, though the equal radii approach is maybe a little better overall.
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4. Tests

Our concern in this section is to test the accuracy of different PAO basis sets against the converged plane wave result. For this purpose we use the PWSCF code from the QuantumEspresso suite\cite{45}, which reads the same pseudopotentials as CONQUEST and allows a direct comparison. We note that we do not compare the results to experiments as this is not our aim: this type of comparison has been performed extensively elsewhere.

We have chosen various solid systems for our tests: three of the elemental semiconductors (carbon, silicon and germanium, giving an excellent sampling of different gap sizes); simple oxides (two polymorphs of SiO$_2$ and MgO); perovskite oxides, including materials with semi-core states (SrTiO$_3$, PbTiO$_3$ in the cubic phase and the distorted perovskite MgSiO$_3$); an example of a metallic system, non-magnetic bcc iron; and two weakly bonded systems (the ordinary form of ice, ice XI, which has hydrogen bonds; and a layered material, hexagonal boron nitride). These systems represent different forms of bonding and environment, and offer a good test of the different basis sets sizes and truncation approaches.

The calculations used either the PBE functional\cite{46} (Fe, SiO$_2$, MgO, MgSiO$_3$, ice XI and BN) or the PBEsol functional\cite{47} (C, Si, Ge, SrTiO$_3$ and PbTiO$_3$); the differences between the functionals are relatively small, though PBEsol is considered generally better for solids. The same functional has been used for all calculations of the same system (both QE and CONQUEST). We use the LibXC library\cite{48} for PAO generation and CONQUEST calculations.

CONQUEST uses a regular grid for certain integrals, which is set using an energy cutoff. In these calculations the energy has been converged with respect to this grid. In plane wave calculations the charge density grid is generally taken to have an energy cutoff four times that of the plane waves, and we note that the CONQUEST grid energy cutoff is often around four times the QE plane wave cutoff. Details of cutoffs and Brillouin zone sampling (using Monkhorst-Pack grids) are given in the table captions.

For the elemental semiconductors, shown in Table 1, we see good agreement with

| Basis  | Carbon $a_0$ (Å) | Silicon $B_0$ (GPa) | Germanium $a_0$ (Å) | Silicon $B_0$ (GPa) | Germanium $B_0$ (GPa) |
|--------|-----------------|---------------------|---------------------|---------------------|--------------------|
| PW     | 3.558           | 449.3               | 5.431               | 93.28               | 5.676              |
| SZP(R) | 3.603           | 415.1               | 5.514               | 82.81               | 5.765              |
| DZP(R) | 3.573           | 444.1               | 5.458               | 90.96               | 5.692              |
| TZTP(R)| 3.562           | 452.9               | 5.437               | 91.70               | 5.690              |
| SZP(E) | 3.600           | 425.4               | 5.533               | 81.20               | 5.741              |
| DZP(E) | 3.574           | 441.1               | 5.446               | 92.58               | 5.704              |
| TZTP(E)| 3.565           | 448.3               | 5.439               | 91.79               | 5.682              |

Table 1. Structural parameters for C, Si and Ge. Equal radii PAOs are indicated with (R), equal energy PAOs with (E). All calculations used a reciprocal space mesh of $9 \times 9 \times 9$, a plane wave cutoff of 40Ha and an integration grid cutoff of 250Ha.
Figure 1. Binding energy curves for bulk Ge calculated with plane waves, and the three equal radii PAO basis sets. Parameters as in the caption to Table 1.

Table 2. Parameters for SiO$_2$ in $\alpha$-quartz and stishovite structures. Note that these are non-cubic, so the volume is given. SiO$_2$ calculations used a plane wave cutoff of 40Ha and an integration grid cutoff of 200Ha with reciprocal space meshes of $3 \times 3 \times 3$ and $3 \times 3 \times 6$ for $\alpha$-quartz and stishovite, respectively. MgO used a plane wave cutoff of 60Ha, an integration grid of 260Ha and a reciprocal space mesh of $4 \times 4 \times 4$.

| Basis   | $V_0$(Å$^3$) | $B_0$(GPa) | $V_0$(Å$^3$) | $B_0$(GPa) | $V_0$(Å$^3$) | $B_0$(GPa) |
|---------|-------------|------------|-------------|------------|-------------|------------|
| PW      | 210.5       | 195.3      | 47.89       | 301.0      | 76.92       | 149.1      |
| SZP(R)  | 222.0       | 160.4      | 49.95       | 283.9      | 80.32       | 137.2      |
| DZP(R)  | 215.6       | 177.1      | 49.16       | 289.2      | 78.49       | 141.5      |
| TZTP(R) | 213.0       | 193.5      | 48.25       | 295.0      | 78.51       | 148.3      |
| SZP(E)  | 220.8       | 165.9      | 49.82       | 260.4      | 80.31       | 149.7      |
| DZP(E)  | 215.4       | 176.3      | 49.09       | 278.4      | 78.57       | 141.4      |
| TZTP(E) | 212.6       | 190.9      | 48.26       | 291.8      | 78.50       | 148.4      |

DZP basis sets (differences up to 2-3% of the bulk modulus and 0.5% of the lattice constant) and excellent agreement with TZTP basis sets (differences typically less than 1% of bulk modulus and 0.2% of lattice constant). For context, when plotting binding energy curves (energy versus volume), a difference of 1% in the bulk modulus can barely be distinguished (after correcting for differences in lattice parameters). We illustrate the worst agreement, for Ge, in Fig. 1 and the PAOs generated with equal radii (note that all the curves are plotted relative to the fitted minimum volume and energy). In this case, the TZTP basis set gives very slightly worse agreement than the DZP, which is almost indistinguishable from the fully converged plane wave result. The SZP is a little too soft, but is still respectable. There is little difference between the equal radii and equal energy basis sets, except at SZP where the equal energy approach is maybe a little better.

The simple oxides, with data found in Table 2, show similar performance to the elemental semiconductors. The TZTP basis sets are converged to within 1% of the bulk modulus for the equal radii, but to within 2% for the equal energies, while the
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Table 3. Parameters for cubic perovskites SrTiO$_3$ and PbTiO$_3$ and the distorted perovskite MgSiO$_3$. STO and PTO used a plane wave cutoff of 40Ha, an integration grid cutoff of 350Ha and a reciprocal space mesh of $9 \times 9 \times 9$. MgSiO$_3$ used a plane wave cutoff of 50Ha, an integration grid cutoff of 200Ha and a reciprocal space mesh of $3 \times 3 \times 2$.

| Basis | SrTiO$_3$ | PbTiO$_3$ | MgSiO$_3$ |
|-------|-----------|-----------|-----------|
|       | $V_0$(Å$^3$) | $B_0$(GPa) | $V_0$(Å$^3$) | $B_0$(GPa) | $V_0$(Å$^3$) | $B_0$(GPa) |
| PW    | 58.79     | 186.4     |           |           |           |           |
| SZP(R) | 60.99     | 170.0     | 61.16     | 189.2     | 170.5     | 198.2     |
| DZP(R) | 60.15     | 180.7     | 60.69     | 190.9     | 168.0     | 223.7     |
| TZTP(R) | 59.67     | 169.9     | 60.62     | 190.3     | 165.0     | 253.2     |
| SZP(E) | 60.76     | 182.6     | 61.44     | 183.0     | 175.4     | 192.7     |
| DZP(E) | 60.52     | 180.0     | 61.06     | 186.2     | 172.8     | 217.5     |
| TZTP(E) | 60.08     | 183.4     | 60.83     | 187.8     | 169.7     | 246.1     |

Equilibrium volumes are all with 1% (for both $\alpha$-quartz and stishovite the unit cells are non-cubic, so we use equilibrium volume rather than lattice constant).

A more challenging test for default PAOs (rather than PAOs tuned or optimised to an environment) is to compare the equilibrium phase ($\alpha$-quartz) to a high pressure phase (stishovite). The coordination of the Si atoms changes from four to six between these two phases. We compare the energy difference per formula unit (i.e. per SiO$_2$ unit) for the basis sets to the converged plane-wave result (-0.28 eV/unit). The TZTP basis sets give a good comparison (-0.20 eV/unit for equal energies and -0.22 eV/unit for equal radii). The DZP basis sets using perturbative polarisation (the default setting) give the correct ordering (-0.08 eV/unit for equal energies, and -0.05 eV/unit for equal radii) if a less accurate magnitude; however, generating $l = 2$ orbitals simply as excited eigenstates of the confined atom gives the incorrect ordering for both DZP (+0.15 eV/unit for equal energies and +0.19 eV/unit for equal radii) and SZP (+1.46 eV/unit for equal energies and +0.52 eV/unit for equal radii; these values are improved with perturbative polarisation but still have the incorrect sign). To test the dependence further, we added a second polarisation function (giving DZDP), which has an excellent result: -0.19 eV/unit for equal energies, and -0.20 eV/unit for equal radii (for perturbative polarisation functions; non-perturbative functions give a similar result). Clearly comparisons of stability of structures require radial flexibility in all angular momentum channels, and we would recommend use of at least DZDP whenever considering this kind of problem with default (i.e. not optimised) basis sets. For structural properties of the individual phases, however, the performance of the DZP basis sets is reasonable, though not quite as accurate as for the elemental semiconductors.

The perovskite structures, shown in Table 3, feature elements with semi-core states ($4s$ and $4p$ states in Sr and $3s$ and $3p$ in Ti; the $5d$ states for Pb might be considered semi-core but are not in this case) which are described with a single radial function. MgSiO$_3$ combines the elements seen in the simple oxides (we note that the pseudopotential in
use here includes the 2s and 2p states, and that they are treated as semi-core). The performance is excellent for PbTiO$_3$ and good for SrTiO$_3$ (though the bulk modulus for TZTP with equal radii is surprisingly inaccurate) and the equilibrium volume for both these materials is very close to the plane wave result. It is possible that treating the Sr 4p states as valence, with further radial functions, might improve the performance. The bulk modulus for MgSiO$_3$ is significantly worse than either MgO or SiO$_2$, with errors of nearly 5%. Nevertheless, these results give confidence in the default basis sets.

Metallic bonding is very different to the covalent and ionic bonding studied thus far, but the performance of the default basis sets for non-magnetic bcc Fe, shown in Table 4 is excellent (we chose non-magnetic Fe simply for convenience; Conquest is capable of spin-polarised operation as simply as non-spin-polarised). In this case, both TZTP basis sets reproduce the plane wave results (the equal energy case has errors of 0.5% while the equal radii 2% in bulk modulus) while the DZP give excellent results. The equal energy SZP is still reasonable, though the equal radii is a little inaccurate. (For the Fe atom, both 3s and 3p states are included as semi-core states.)

Weakly bound systems offer a larger challenge to local orbital basis sets (putting to one side the issues that DFT has with these systems, which will be the same for any other basis set). We start with hydrogen bonding, considering the optimum unit cell for

Table 4. Parameters for non-magnetic bcc Fe. Calculations used a plane wave cutoff of 50Ha, an integration grid cutoff of 200Ha and a reciprocal space mesh of $5 \times 5 \times 5$.

| Basis   | $a$(Å) | $b$(Å) | $c$(Å) |
|---------|--------|--------|--------|
| PW      | 2.758  | 271.4  |
| SZP(R)  | 2.819  | 223.7  |
| DZP(R)  | 2.764  | 272.3  |
| TZTP(R) | 2.758  | 276.5  |
| SZP(E)  | 2.785  | 290.8  |
| DZP(E)  | 2.768  | 279.1  |
| TZTP(E) | 2.769  | 272.2  |

Table 5. Ice XI relaxed cell parameters (using PBE). Calculations used a plane wave cutoff of 40Ha, an integration grid cutoff of 150Ha and a reciprocal space mesh of $3 \times 2 \times 2$.

| Basis   | a (Bohr) | b (Bohr) | c (Bohr) |
|---------|----------|----------|----------|
| PW      | 8.20     | 14.41    | 13.36    |
| SZP(R)  | 7.84     | 13.62    | 12.75    |
| DZP(R)  | 7.85     | 13.87    | 13.02    |
| TZTP(R) | 8.30     | 14.28    | 13.38    |
| SZP(E)  | 7.76     | 13.43    | 12.69    |
| DZP(E)  | 7.82     | 13.79    | 12.74    |
| TZTP(E) | 8.29     | 14.29    | 13.44    |
ice XI, the ordinary form of ice, shown in Table 5. With a TZTP basis set, the three parameters are all accurate, comparing to the PW result to better than 1%, while DZP and SZP are much less accurate. This is the first case we have found where the use of a default (non-optimised) DZP basis set might cause a significant error. It is interesting to see how effective the TZTP basis sets are, even for a difficult system like this.

Finally we turn to the layered material, boron nitride, which has dispersion interactions between layers, where the range of the PAOs may play a key role in the DFT part of the interaction; for the CONQUEST calculations, counterpoise corrections were used \[49\] to account for basis-set superposition errors. Data for the minimum distance between layers, and the resulting interaction energy is shown in Table 6. We report results for both standard PBE and PBE with semi-empirical dispersion corrections \[50\]; again, we note that we are testing the accuracy of our default PAO basis sets against converged PW calculations (and not the accuracy of DFT).

Good accuracy in comparison to the PW results is achieved with DZP and TZTP for the equal radii and equal energy basis sets for both approaches, though the equal energy DZP basis is perhaps a little high in the PBE interaction energy. The SZP basis set is less accurate, though it is interesting that the inter-layer spacing is rather close with the PBE-D2 approach, suggesting that the dispersion corrections mask the basis set errors.

### Table 6

| Functional | Basis | Distance (Bohr) | Energy (meV/atom) |
|------------|-------|----------------|------------------|
| PBE        | PW    | 7.86           | 0.99             |
|            | SZP(R)| 6.62           | 6.25             |
|            | DZP(R)| 7.96           | 0.98             |
|            | TZTP(R)| 7.90          | 1.64             |
|            | SZP(E)| 6.66           | 8.79             |
|            | DZP(E)| 7.58           | 4.23             |
|            | TZTP(E)| 7.95          | 1.45             |
| PBE-D2     | PW    | 5.84           | 31.89            |
|            | SZP(R)| 5.72           | 43.20            |
|            | DZP(R)| 5.99           | 28.59            |
|            | TZTP(R)| 6.03         | 28.45            |
|            | SZP(E)| 5.75           | 44.82            |
|            | DZP(E)| 6.02           | 28.73            |
|            | TZTP(E)| 6.02         | 28.27            |

BN optimum inter-layer distances and minimum energies for PBE and dispersion corrected (DFT-D2) PBE. Calculations used a plane wave cutoff of 50Ha, an integration grid cutoff of 150Ha and a reciprocal space mesh of \(3 \times 2 \times 1\).
5. Conclusions

We have introduced an approach to the construction of PAO basis sets for the Conquest code based on increasing energies (spaced by factors of ten). The radial functions are either based on a geometric increase in energy (equal energies) or on equal radii based on the average of the energies for all angular momenta (equal radii). These are both implemented in the Conquest PAO generation code which will be made available with the Conquest code on its release.

We have compared the performance of small (SZP), medium (DZP) and large (TZTP) basis sets with exact diagonalisation to converged plane wave results using the same functionals and pseudopotentials. Overall, the large (TZTP) basis sets are shown to reproduce the plane wave results to better than 1% in bulk modulus and often within 0.1% of the lattice constant for a variety of solids. Except for weakly bonded systems (particularly ice) we found that the medium (DZP) basis sets are nearly as accurate as the large basis sets and will typically be 5–10 times faster (simply from the reduction in matrix size). In general, both approaches (equal energies and equal radii) produce reliable results, though the equal radii approach gives slightly better results overall, and with smaller overall radii will give better efficiency. Alongside results from codes such as FHI-aims\[51\], these results demonstrate that local orbital codes can be as accurate as converged plane wave codes.

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