Elevated serum matrix metalloprotease (MMP-2) as a candidate biomarker for stable COPD

Durga Mahor  
ICMR-National Institute for Research in Environmental Health

Vandana Kumari  
National Institute of Malaria Research

Kapil Vashisht  
National Institute of Malaria Research

Ruma Galgalekar  
ICMR-National Institute for Research in Environmental Health

Ravindra M Samartha  
Bhopal Memorial Hospital and Research Centre

Pradyumna K Mishra  
ICMR-National Institute for Research in Environmental Health

Nalok Banerjee  
ICMR-National Institute for Research in Environmental Health

Rajnikant Dixit  
National Institute of Malaria Research

Rohit Saluja  
AllIMS, Hyderabad

Sajal De  
ICMR-National Institute for Research in Environmental Health

Kailash C Pandey (✉ kailash.pandey@icmr.gov.in)  
National Institute of Malaria Research  https://orcid.org/0000-0003-3936-4357

Research article

Keywords: Biomarker, COPD, Serine proteases, Cysteine proteases, Metallo proteases, Caspases, Protease inhibitors

DOI: https://doi.org/10.21203/rs.3.rs-34463/v1

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Abstract

Background

The increasing trend of Chronic Obstructive Pulmonary Disease (COPD) in becoming the third leading cause of deaths by 2020 is of great concern, globally as well as in India. Dysregulation of the protease/anti-protease balance in COPD has been reported to cause tissue destruction, inflammation and airway remodelling; which are peculiar characteristics of COPD. Therefore, it is imperative to explore various serum proteases involved in COPD pathogenesis, as candidate biomarkers.

Methods

The study was conducted on stable COPD patients, where we qualitatively and quantitatively analysed various serum proteases by ELISA and mass-spectrometry techniques. Statistical analysis for estimation of \( p \)-value was performed using unpaired t-test with 95% confidence interval.

Results

Amongst the significantly elevated proteases in COPD patients vs the controls- neutrophil elastase (NE) \( [P = 0.0241] \), caspase-7 \( [P < 0.0001] \) and matrix metalloprotease-2 (MMP-2) \( [P < 0.0001] \) were observed, along with increased levels of reactive oxygen species (ROS) \( [P < 0.0001] \). The serum dipeptidyl peptidase-IV (DPP-IV) \( [P < 0.0006] \) concentration was decreased in COPD patients as compared to controls. Interestingly, a distinct elevation in MMP-2 was observed only in COPD patients, but not in Asthma, as compared to controls. Mass spectrometry analysis further identified significant alterations (fold-change) in various proteases, anti-proteases and signalling mediators.

Conclusions

The preliminary study of various serum proteases in stable COPD patients identified elevated MMP-2 as a candidate biomarker for COPD, subject to its validation in large cohort studies.

Background

Chronic Obstructive Pulmonary Disease (COPD) is a common, preventable & treatable disease, characterized by the irreversible & progressive airflow obstruction in the lungs, usually due to the exposure to noxious particles or gases. COPD is the most significant chronic respiratory disease with high mortality rates, both globally as well as in India. Approximately, 55.3 million cases of COPD were reported in 2016 from India, with an increase in prevalence from ~ 3.3% (1990) to ~ 4.2% (2016)(1). COPD has been estimated to be responsible for 75.6% disability-adjusted life-years (DALYs) of all the chronic respiratory diseases in the Indian context(1). Globally, COPD has been projected to be the third leading
cause of deaths by 2020 (GOLD report, 2019), with inevitable increase in future due to aging population and continued exposure to the COPD risk factors (air pollution, tobacco smoke etc.). Tobacco smoke is considered the most common risk factor for COPD, in addition to the other factors such as indoor air pollution, occupational exposures, host genetic factors, age/sex, lung growth/development, and socioeconomic status(2).

In molecular context, COPD pathogenesis is reminiscent of tissue destruction factors, inflammation, airway remodelling and the accompanying pathways/mediators(3). The characteristic emphysema in COPD could be attributed to the dysregulation of the protease/anti-protease balance(4,5). Various classes of proteases (serine, cysteine and metallo proteases) have previously been reported in the pathogenesis of COPD(4). Notably, the serine protease- neutrophil elastase (NE) has been shown to play a crucial role in the destruction of alveolar tissue and development of emphysema(6). Precise regulation of NE activity is regulated by its inhibitor- A1AT (α-1 antitrypsin)(7) and its genetic deficiency (A1AT) has been reported to predispose an individual to early onset of emphysema(8). Another serine exopeptidase- dipeptidyl peptidase-IV (DPP-IV) is crucial in regulating the inflammatory responses in the lungs by antagonising various inflammatory chemokines. Hence, a significant decrease in serum DPP-IV levels in COPD patients has been concluded as a good serological marker of COPD(9). The role of cysteine proteases in the pathogenesis of COPD has been established through destruction of alveolar epithelial and endothelial cells via proteolytic activities of caspases- [3/8/9](10). Degradation of the extracellular matrix is a characteristic feature of COPD, causing emphysema, which is accomplished by various matrix metalloproteinases (MMPs)- 9/12/13(11–15).

In this preliminary study, we set out to explore various serum proteases involved in the pathogenesis of COPD. The goal of the study was to evaluate serum proteases, as candidate serological biomarkers for stable COPD. We performed qualitative and quantitative measurement of various serum proteases in stable COPD patients and controls. Selected proteases with overlapping activities in Asthma were also compared for any distinctive elevation. Further, proteome analysis via mass spectrometry of the sera from stable COPD patients and control was also attempted.

**Methods**

**Sample collection**

The inclusion criteria for the stable COPD patients was- symptoms (dyspnoea, chronic cough/sputum); exposure to risk factors such as smoking; ratio (FEV₁:FVC) < 0.7 in the spirometry test and no exacerbation during the last 3 months. COPD patients with active pulmonary tuberculosis, heart diseases, kidney diseases and cancer were excluded from the study, which could interfere with the expression profile of various serum proteases. Written Informed consent was obtained from all the patients and controls, before sample collection. 3 ml of venous blood was collected from (n = 35) COPD patients and (n = 15) controls under aseptic conditions. The blood samples were allowed to clot by leaving them undisturbed at room temperature, followed by centrifugation at 2,000 g for 1 min.; serum was collected,
 aliquoted and stored at -80 °C till further use. For comparative analysis of specific proteases, a total of (n = 10) asthmatic patients were also enrolled in this study by following the Global Initiative for Asthma (GINA) 2018 guidelines.

**Qualitative measurement of serum NE, DPP-IV, caspases and MMPs**

Direct- Enzyme Linked Immunosorbent Assay (ELISA) was performed to measure the serum proteases-(NE, DPP-IV, caspases-[3 & 7] and MMPs-[2 & 9]); in COPD patients and the controls. The sera samples were diluted (1:100) in 1X PBS and coated in 96-well plates; incubated overnight at 4 °C. After coating the antigen, well contents were aspirated and blocking buffer (0.5% BSA in 1X PBS) was added to each well, followed by incubation for 2 hrs at 25 °C. Primary antibodies [anti-elastase (1:1000); anti-caspase-[3 & 7] (1:2000) and anti-MMP-[2 & 9] (1:1000)], were added and incubated for 2 hrs. at room temperature. After primary antibody incubation, the plates were thoroughly washed with wash buffer (0.05% Tween-20 in 1X PBS) and secondary antibody- anti-mice HRP (1:3000) (Santa Cruz Biotechnology) was added and plates were incubated for 1 hr. The plates were again washed (three times) and the peroxidase substrate solution (o-Phenylenediamine dihydrochloride (OPD) in sodium citrate, pH-5.0 + H₂O₂) was added. As the peroxidase reacted with the OPD, a dark yellow product was formed; the intensity of the yellow colour was proportional to the amount of tested antigens in the sera samples. Stop solution was added to terminate the reaction followed by 30 min. incubation and the absorbance was recorded at 405 nm by using an ELISA plate reader.

**Fluorometric assays for human caspases-3/7 activity**

The activity of the human caspases-3/7 in COPD patients and controls sera were assessed by measuring the cleavage of a fluorogenic substrate [Z-DEVD-AMC] at excitation and emission wavelengths of 355 nm & 460 nm, respectively. Assay was performed using HEPES buffer (50 mM HEPES pH 7.5, 150 mM NaCl & 5 mM DTT). Purified recombinant human caspase-[3 & 7] were used as controls. All the reactions were performed in duplicate, and data was analysed using Graphpad Prism 5.0.

**Recombinant expression and purification of MMP-2**

The recombinant construct of MMP-2 was gifted by Dr. Raquel Gerlach, University of Sao Paulo, Ribeirao Preto, SP, Brazil and expressed as per the protocol described earlier(16). Briefly, the recombinant construct was transformed into BL21(DE3)/pLysS *E. coli* cells. Single colonies were grown in LB media containing 100 µg/mL ampicillin, 34 µg/mL chloramphenicol and 20% glucose. The culture was allowed to grow overnight at 37 °C with shaking at 180 rpm. Further, secondary culture (500 ml) was inoculated with 1% of overnight grown culture, with appropriate antibiotics and grown at 37 °C until an OD 600 of 0.5–0.7 was reached. The secondary culture was induced with 0.5-1 mM IPTG and allowed to grow further for 18 hrs at 18 °C. Cells were then harvested and resuspended into the phosphate buffer for lysis by sonication followed by centrifugation at 14000 rpm at 4 °C for 15–20 min. The supernatant was collected and
purified by Ni-NTA affinity chromatography using imidazole gradient. The purified fractions of the protein were analysed by SDS-PAGE.

**Quantitative estimation of DPP-IV, NE and MMP-2 in COPD patients and controls**

The quantitation of DPP-IV was performed using DPP-IV Human ELISA kit (Thermo Fisher Scientific), as per the manufacturer's protocol. To estimate the concentration of the serum NE and MMP-2 in COPD patients and controls, recombinant NE and MMP-2 (1 mg/ml stock) were coated in 96-well plate with a range of (1.25–800 µg) protein per well. Direct ELISA was performed to generate a standard curve for the above proteins. The respective proteins were further estimated by standard curves.

**Measurement of free radicals**

Intracellular reactive oxygen species (ROS) were measured in COPD patients and controls sera. The sera samples were diluted (1:100) in 1X PBS followed by incubation with a cell-permeant dye [1X of H2DCFDA (dichloro-dihydrofluorescein diacetate)] (Sigma) for 30 min. in a 96-well plate. Fluorometric measurements (excitation at 510 nm and emission at 530 nm) were performed in duplicate, and the results were expressed as the mean fluorescence intensity.

**Mass spectrometry analysis of COPD patients and controls**

Proteomics analysis of stable COPD patients and control sera samples was performed by resolving diluted sera samples (1:20) in 15% SDS-PAGE (20 × 18.3 cm). Protein bands with differential expression were selected from SDS-PAGE and preserved for identifying the protein sequence identity. Mass spectrometry was performed at Central Instrumental facility (CIF), South campus, University of Delhi, India.

**Results**

**Neutrophil elastase and DPP-IV (serine proteases)**

NE has been repeatedly implicated in the pathogenesis of COPD due to its potential role in the development of emphysema by degrading the extracellular matrix in the lungs(17). Elevated NE in sputum of Asthma patients and its role in hypersecretion from goblet cells, have been reported previous studies(18,19). Asthma is another important inflammatory respiratory diseases and its symptoms often overlap with COPD such as coughing, wheezing and shortness of breath. Therefore, any specific biomarker for COPD should be able to differentiate Asthma from COPD. In this study, we performed a qualitative analysis of serum NE from equal number of subjects from three groups- controls, COPD and Asthma patients. The qualitative analysis revealed a less profound difference between serum NE from controls and COPD patients \([p = 0.0241; 95\% \text{ CI}]\) as compared to a significant elevation in serum NE between controls and Asthma patients \([p = 0.0002; 95\% \text{ CI}]\) (Fig. 1). Further, the quantitative analysis of
serum NE in COPD patients estimated average concentration of \((0.21 \pm 0.018 \, \mu g/ml)\) as compared to controls \((0.047 \pm 0.014 \, \mu g/ml)\), represented in Table 1.

|                  | Neutrophil elastase (\(\mu g/ml\)) | Matrix metalloprotease-2 (\(\mu g/ml\)) |
|------------------|-------------------------------------|----------------------------------------|
| Controls         | 0.047 \pm 0.014                    | 0.05 \pm 0.0083                        |
| COPD patients    | 0.21 \pm 0.018                     | 0.71 \pm 0.0647                        |

DPP-IV or CD26 is another serine exopeptidase, which has recently been reported to have significantly lower concentration in COPD patients \((9)\). The decreased activity of the soluble DPP-IV has been shown to be an indicator of COPD \((20)\). However, a less profound decrease in serum concentration of DPP-IV in COPD patients as compared to the controls \([p = 0.0006; 95\% \text{ CI}]\) (Fig. 2) was observed in our study. Quantitative analysis estimated a range of \((1200–1800 \, \text{ng/ml})\) in controls group as compared to COPD patients \((900–1100 \, \text{ng/ml})\).

**Caspases-[3 & 7] (cysteine proteases)**

Different caspases have been shown to be the mediators of apoptotic processes in COPD, with probable activation by the extracellular signals or intrinsic pathways (mitochondrial and endoplasmic reticulum) \((10)\). An approximate 3-fold higher caspase-3/7 activity was observed in COPD patients vs controls \([p < 0.0001; 95\% \text{ CI}]\) (Fig. 3a). Further, the quantitative analysis of caspase-[3 & 7] in the sera samples of controls and COPD patients was performed. The serum caspase-3 was not found to be significantly different in COPD patients vs controls \([p = 0.04; 95\% \text{ CI}]\) (Fig. 3b). However, a significant elevation in serum caspase-7 was observed in COPD patients as compared to controls \([p < 0.0001; 95\% \text{ CI}]\) (Fig. 3c).

**MMP-[2 & 9] (matrix metalloproteases)**

MMPs are zinc/calcium-dependent endopeptidases that play crucial role in the extracellular matrix remodelling \((21)\). MMPs are crucial in pathogenesis of both respiratory diseases, COPD and Asthma; therefore, we attempted to assess crucial MMPs, which are distinct for COPD only. In the present study, the qualitative analysis of serum MMP-2 from equal number of subjects from three groups- controls, COPD and Asthma patients, revealed a significant elevation of serum MMP-2 in COPD patients and controls group \([p < 0.0001; 95\% \text{ CI}]\) (Fig. 4a). Previously, the role of MMP-9 has been implicated in various cellular processes such as cellular migration and airway inflammatory responses in COPD \((22)\) and Asthma \((23)\). However, no significant difference in serum MMP-9 was observed in controls and COPD patients \([p = 0.6; 95\% \text{ CI}]\) (Fig. 4b). The quantitative analysis of serum MMP-2 in COPD patients estimated a significant increase with an average concentration of \((0.71 \pm 0.0647 \, \mu g/ml)\) as compared to controls \((0.05 \pm 0.0083 \, \mu g/ml)\) (Table 1).
Increase in ROS levels in COPD patients

A key characteristic of COPD is the disruption of the oxidant-antioxidant balance due to generation of reactive oxygen species (ROS) from exogenous sources such as cigarette smoke, air pollutants or from endogenous sources viz. neutrophils and macrophages(24). Therefore, the generation of ROS is a prominent indicator of the inflammatory reactions occurring in COPD. The present study estimated ROS from controls and COPD patients sera; a significantly elevated ROS in COPD patients vs controls indicated towards disruption of oxidant-antioxidant balance \[ p < 0.0001; 95\% \text{ CI} \] (Fig. 5).

Mass spectrometric analysis of COPD patients and control sera samples

The mass spectrometric analysis is an extremely sensitive technique and has become a method of choice for analysing the proteome of disease samples vs the controls. Signature proteins can be quickly identified from a relatively small sample volume. After the biochemical analysis of various serum proteases, we performed proteomics analysis of 7 COPD patients and 1 control. The proteomics analysis enabled us to identify differentially expressed proteins in COPD patients. Amongst the differentially expressed proteins some of the proteins were in higher orders of expression as compared to the control and vice-versa (Table 2). The major proteins which had a negative fold-change in COPD patients vs controls were protease inhibitors- Preg. Zone protein, α-2 Macroglobulin (A2MG), Peptidase Inhibitor (PI16). The decreased expression of protease inhibitors strongly pointed towards an altered protease-antiprotease balance, as higher protease activities correlated well with decreased protease inhibitor concentrations in COPD. Another protein found to have negative fold-change was Serotransferrin (TRFE_Human), which is also an important part of the defense against oxidative damage and also corroborated the increased ROS in COPD patients. Interestingly, among the proteins with positive fold-change were proteases such as Carboxy peptidase B2 (CBPB2), Matrix Metalloprotease-2 (MMP-2) and Human Leukocyte Elastase (HLE). In our study, the positive fold-change of various proteases represented the degradative processes as observed in COPD patients. Another protein (cytokine suppressor (SOCS-3) was also identified with positive fold-change, which has been reported to be involved in the negative regulation of cytokines, correlating well with abrupt cytokine signaling in COPD.
Table 2
List of proteins with altered expression in COPD patients vs the controls, as per the MALDI sequencing analysis.

| S. No. | Name of the identified proteins          | Fold change | Predicted function                                                                 |
|--------|------------------------------------------|-------------|------------------------------------------------------------------------------------|
| 1      | Preg. Zone Protein (PZP)                 | -3.6        | Protease inhibitor, able to inhibit all four classes of proteases                   |
| 2      | α-2 Macroglobulin(A2MG)                  | -2.5        | Protease inhibitor, able to inhibit all four classes of proteases                   |
| 3      | Peptidase Inhibitor(PI16)                | -2.3        | Serine Protease Inhibitor                                                        |
| 4      | Serotransferrin TRFE_Human)              | -2.0        | To prevent oxidative damage                                                       |
| 5      | Cytokine suppressor (SOCS-3)             | 3.1         | SOCS-3; Suppressor of cytokine signaling. SOCS3 involved in the negative regulation of cytokines. |
| 6      | Carboxy peptidase B2, (CBPB2)            | 2.4         | Carboxy peptidase (cleave basic amino residues), plays a major role in the breakdown of the extracellular matrix |
| 7      | Matrix Metallo Pro tease − 2 (MMP-II)    | 2.6         | MMP-2 or Gelatinase A or type IV Collagenase, breakdown extracellular matrix        |
| 8      | Human Leukocyte Elastase (HLE)           | 2.8         | Serine Protease that hydrolyzes many proteins in addition to Elastin               |

Discussion

COPD is the most common respiratory disease and is characterized by various degradative processes, remodelling of the extracellular matrix (ECM) and oxidative damage in the lung environment. It is imperative to distinctly identify robust biomarkers for COPD as many of the symptoms of COPD often overlap with other respiratory diseases such as Asthma. In this study, we investigated various serum proteases, which can be exploited as candidate biomarkers for COPD. Notably, serum NE in COPD have been implicated in multiple studies- altered ratio of serum NE (protease) and α-1 antitrypsin (A1AT) (antiprotease) have been shown to be directly correlated with the disease severity(7); in vivo NE activity...
has been reported as a marker for cross-sectional COPD disease severity (25). Although serum NE has consistently been argued as a preliminary biomarker of COPD, our study reports elevated serum NE in both the respiratory illnesses (COPD and Asthma). Therefore, questioning the distinctiveness of serum NE as a biomarker for COPD. Suppression of inflammatory responses by DPP-IV has been previously reported in tumor biology by inactivating the neuropeptides, peptide hormones and chemokines. The quantitative analysis of DPP-IV from our study also corroborated the decrease in serum DPP-IV concentrations as an indicator of COPD. Due to the versatile inflammatory responses, resulting in altered DPP-IV activity, its specific role in COPD as a biomarker would be challenging to validate.

Caspase-7 has been termed as an executioner caspase with implications in cell death and proteolysis. It has also been previously reported to be upregulated in case of acute brain tissue injury in rats, suggesting its role in neuronal cell death (26). It is known that caspase-7 in association with caspase-12 has been linked to the endoplasmic reticulum pathway of apoptosis which is induced via stress, and further activates the effector caspase-3 (6). The elevated caspase-7 (executioner caspase) could be responsible for the induction of inflammatory responses and cell death via apoptosis in COPD. An increased MMP-2 expression in the lung periphery has been reported to be associated with worsened lung function and increased emphysema, thus it is important for lung tissue remodelling and inflammation in COPD (27).

Corroborating the elevated MMP-2 in COPD, we report a significant increase in MMP-2 expression in COPD patients as compared to controls. The absence of lung tissue remodelling processes in Asthma as compared to COPD, also aligns well with our observation for non-significant difference in serum MMP-2 in controls and Asthma patients.

The mass spectrometric analysis of COPD proteome also identified positive fold-change in MMP-2 expression. We speculate that the difference in serum MMP-2 in COPD vs Asthma can be exploited as a differentiating biomarker between Asthma and COPD, along with other respiratory diseases in a larger cohort. The increased ROS in COPD patients is an indication of the elevated protease activities that results in upregulation of the cellular oxidative stress. Moreover, the increased ROS could also be correlated with the altered ionic balance and release of inflammatory cytokines which aid in the severity of the disease.

From the present study, following inferences have been made- 1) Serum NE cannot be used as distinctive biomarker of COPD, as we observed significantly higher serum NE in Asthma also; 2) decrease in DPP-IV could be due to suppression of inflammatory responses and hence does not specifically represent COPD signatures; 3) caspase-7, an executioner caspase which would have been recruited from multiple inflammatory signals, not specifically from COPD; 4) elevated ROS could also be a representation of higher protease activities and hence cannot be sourced alone from COPD and 5) increased MMP-2 expression, validated by ELISA as well as by mass spectrometric analysis, correlates well with emphysema in COPD, as well as in distinguishing Asthma from COPD.

**Conclusions**
The dysregulation of proteases-antiproteases in COPD has been reported previously in various studies. NE has been repeatedly shown to be a biomarker of COPD, but elevation of serum NE in both COPD and Asthma, can limit its specificity as a distinctive biomarker for COPD. Owing to the role of MMP-2 in extracellular remodelling processes in COPD alone and correlating the increased expression in COPD, we speculate that MMP-2 can serve as a distinctive biomarker for stable COPD. Moreover, the elevated serum MMP-2 have also been quantitatively estimated and further validated by the mass spectrometry data. Therefore our study concludes that MMP-2 should be validated as a candidate biomarker for COPD; further subjected to its rigorous validation by conducting large cohort studies.

Declarations

Ethics Statement

The present study was approved by the Institutional Ethics Committee (IEC) of ICMR-National Institute for Research in Environmental Health, India, under the approval no.- NIREH/BPL/IEC-7/2016-17/393.

Availability of data and materials

All the related data is presented in the manuscript.

Funding

This study was funded by grant no. (65/2/KP/NIREH/2016-NCD-II) from Indian Council of Medical Research, Delhi, India.

Acknowledgements

Kamini provided technical support for ELISA and biochemical assays; Srikant & Dharmendra provided technical assistance in collections of patient’s samples) and Gangandeep performed the spirometry test of COPD patients. We are thankful to Dr. Raquel F. Gerlach (Department of Morphology, Stomatology and Physiology, Dental School of Ribeirao Preto, University of Sao Paulo, Ribeirao Preto, SP, Brazil), for his generous gift of MMP-2 clone.

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**Figures**
Figure 1

Qualitative analysis of serum NE from sera of controls vs COPD vs Asthma patients. The p-values are calculated by unpaired t-test with 95% confidence interval using Graphpad prism 5.0 software.
Figure 2

Quantitative measurement of the serum DPP-IV in controls vs COPD patients. The p-values are calculated by unpaired t-test with 95% confidence interval using Graphpad prism 5.0 software.
Figure 3

Activity measurement and qualitative analysis of serum caspases-3 & 7. 3a) estimation of caspase 3/7 activity; 3b) qualitative estimation of serum caspase-3; and 3c) caspase-7 from controls and COPD patients. The p-values are calculated by unpaired t-test with 95% confidence interval using Graphpad prism 5.0 software.
Figure 4

Qualitative analysis of serum MMP-2 in controls vs COPD vs Asthma patients and serum MMP-9 from controls and COPD patients. The p-values are calculated by unpaired t-test with 95% confidence interval using Graphpad prism 5.0 software.
Figure 5

Measurement of reactive oxygen species (ROS) in controls and COPD patients. The p-values are calculated by unpaired t-test with 95% confidence interval using Graphpad prism 5.0 software.