Did You Enjoy the Last Supper?
An Experimental Study on Cross-Domain NER Models for the Art Domain

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Abstract

Named entity recognition (NER) is an important task that constitutes the basis for multiple downstream natural language processing tasks. Traditional machine learning approaches for NER rely on annotated corpora. However, these are only largely available for standard domains, e.g., news articles. Domain-specific NER often lacks annotated training data and therefore two options are of interest: expensive manual annotations or transfer learning.

In this paper, we study a selection of cross-domain NER models and evaluate them for use in the art domain, particularly for recognizing artwork titles in digitized art-historic documents. For the evaluation of the models, we employ a variety of source domain datasets and analyze how each source domain dataset impacts the performance of the different models for our target domain. Additionally, we analyze the impact of the source domain’s entity types, looking for a better understanding of how the transfer learning models adapt different source entity types into our target entity types.

1 Introduction

Cultural heritage archives contain vast amounts of unstructured data where valuable knowledge resides. This data can be analyzed and valuable information can be extracted using natural language processing (NLP) tools. Nowadays, most of the NLP tasks are performed using deep learning models which rely on large amounts of training data.

One of the core NLP tasks is named entity recognition (NER) which consists of finding mentions of named entities from a usually pre-defined set of entity types. Machine learning models learn entity and context patterns from labeled corpora allowing them to discover new entity mentions from unseen text.

In a scenario where there is a previously annotated large corpus, these models achieve good performance and can find new named entities from the pre-defined set of entity types. In the past, such datasets have been built for domains such as news wire (Tjong Kim Sang and De Meulder, 2003) and biomedical texts (Stubbs and Uzuner, 2015) containing annotations for entity types, such as person, location, organization, or protein, and gene expression.

Large labeled corpora are expensive and time-consuming to obtain. For less popular domains, large annotated corpora typically don’t exist. There is especially a lack of annotated data for domain-specific entity types. One specific domain that requires non-standard entity types to be extracted is the cultural heritage domain. In this paper, we focus on digitized art-historic archives, in particular on the entity type artwork. For this particular entity type, there are no extensive datasets. The entity type artwork is different from the standard ones (person, location, organization, date) and poses some interesting challenges (Jain and Krestel, 2019). Not only is the entity type different, but also the structure and noise of digitized art-historic texts are different from news wire or biomedical text collections.

One particular challenge is the ambiguity inherent to the definition of such titles due to the fact that sometimes these titles describe a scene or contain other named entities. For instance, the painting titled ‘Girl before a mirror’ by Pablo Picasso, depicts a girl before a mirror. Only the context of the mention identifies this phrase as a painting title.

Moreover, a big percentage of art-historic archives need to be digitized first using optical character recognition (OCR) software. This routinely introduces errors such as mis-identified characters, the addition of noise, and the loss of formatting structure(van Strien et al., 2020; Lin, 2003; Ro-
Further, the quality of OCR

texts strongly depends on the print quality of the
original documents (Traub et al., 2015; Rodriquez
et al., 2012; Mieskes and Schmunk, 2019).

Given the aforementioned challenges, different
alternatives for solving the task could be explored.
One would be manually annotating a large corpus
with artwork title information. But besides being a
time-consuming and expensive task, it would not
scale to further cultural heritage entities such as
galleries, art styles, or art movements. Another
would be focusing on gazetteers and rule-based
approaches. But, listing all possible artwork titles
would not only be cumbersome, but would also not
solve the ambiguity problem for phrases such as
‘Girl before a mirror’.

The most promising approach is to make use of
existing, previously annotated corpora from other
domains and transfer the learned patterns to the
new domain. In combination with deep learning
models, this domain adaptation via transfer learn-
ing or multi-task learning has shown good results
for popular domains (Rodriguez et al., 2018). Dif-
ferent models have been proposed in the past under
the concept of cross-domain NER to solve the
problem. These models learn to identify named entities
within a target domain based on patterns learned
from a large, labeled dataset from a source domain.

The goal of this paper is to evaluate the perfor-
mance of some of the best of those models for the
artwork recognition task. The paper is structured as
follows: In Section 2 we describe the existing cross-
domain NER models. In Section 3 we describe the
existing NER datasets available for different do-
mains and the construction of a target dataset used
for the training and evaluation of artwork recogni-
tion. In Section 4 we describe the evaluation setup
and in Section 5 the results are outlined and finally,
in Section 6, the conclusion and future work are
proposed.

2 Related Work

In this section, we discuss previously proposed
cross-domain NER models and focus on the do-
main adaptations that those models propose.

Cross-domain NER models could be divided into
two main categories. The models in which the
source and target domain share the entity types but
have differences in terms of the vocabulary, and the
models which consider the disparity between the
entity types in the source and the target domain.

For instance, one traditional task for the first
group would be the transfer of persons, locations
and organization from the news domain into the
social media domain. In this case, the persons men-
tioned in the news domain might be different from
the persons mentioned in social media. Moreover,
the language used in social media is different from
the language used in news articles. Artifacts, such as
emojis, hashtags, or ‘@’ as well as the structure
of sentences differ between domains. However, the
entity types remain constant in the domain adap-
tation task. Liu et al. (2020a) propose a model
for low-resource target domains combining multi-
task learning (MTL) and a mixture of entity ex-
perts (MoEE), aiming to improve generalization
and reduce the over-fitting effect when a model
learns entities from a source domain. Zhou et al.
(2019) propose a general neural transfer framework
called Dual Adversarial Transfer Network (DAT-
Net), Wang et al. (2020) extend the popular Bi-
LSTM-CRF architecture for multi-domain NER,
dividing the domain-specific and independent com-
ponents of the network, to achieve adaptation over
multiple genres.

Other models deal with different entity types
in the target domain compared with the source
domain. This is the case for domain-specific en-
tity types where extensively annotated corpora are
missing. Artwork mentions, for instance, are not
annotated in traditional NER datasets, therefore we
focus our study on this kind of domain adaptation.
Within these models, Lee et al. (2018) proposed
to transfer the weights of a Bi-LSTM-CRF model
with both word and character embeddings. The
weights were trained on the source domain and
then fine-tuned on the smaller target dataset. They
experimented with transferring different parts of
the network to the target domain and concluded
that transferring the weights from the lower layers
of the network, particularly the character Bi-LSTM
layer, improved the performance of the NER model
on the target domain compared to a model trained
only with the target dataset (no transfer). A simi-
lar model proposed by Lin and Lu (2018), called
CDMA-NER augmented the idea of using a pre-
trained model by including adaptation layers on
top of it to perform the domain adaptation with-
out the need of retraining the source model. The
adaptation between domains is based on the bottom
layer of the Bi-LSTM model, particularly on the
adaptation of word embeddings.
A different kind of domain adaptation is used by models which simultaneously train the source and the target domain in a multi-task learning approach (Bhatia et al., 2018), (Beryozkin et al., 2019), (Jia and Zhang, 2020). In the multi-task model proposed by Jia and Zhang (2020) (Multi-Cell Compositional LSTM for NER Domain Adaptation) which is based on an LSTM network, each entity type has an independent cell state. Additionally a compositional cell combines all the entity type cells into the final output which is then passed to the domain-specific conditional random field (CRF). The domain adaptation is performed on the entity type level, and the model leverages the context embeddings provided by BERT (Devlin et al., 2019). In their experiments, they transferred information from the news domain to the biomedical and the social media domain.

Another recently proposed model called Cross-NER (Liu et al., 2021) introduces domain-adaptive pre-training (DAPT) as a technique to continue the pre-training of language models such as BERT with domain-specific raw texts by masking spans of tokens instead of random tokens for training. They experimented with different masking strategies as well as different corpora selection criteria and concluded that the best performance is obtained when DAPT is performed in a set of sentences containing general and task specific entities. The entities used for corpora selection are chosen from predefined resources, such as gazetteers or knowledge graphs. Besides the pre-trained language model, which is trained in the target domain, the model uses a linear layer on top. They experimented with training the whole model on only the target domain, jointly training the source and target domain, and pre-training in the source domain followed by fine-tuning on the target domain. Their results show that pre-training followed by fine-tuning yields better results. In their paper, they also introduce a new dataset with a diverse set of annotated texts from different domains with domain-specific entity types.

### 3 Datasets

As mentioned in Section 2, cross-domain NER relies on the knowledge of a source domain. This knowledge can be in the form of an annotated corpus with domain-specific entity types or a pre-trained model specialized in recognizing them. We use a diverse set of source datasets for this purpose. Regarding the target domain, we created a dataset with sentences containing art-related entity mentions. In the following subsections, we describe the datasets considered in our experiments as source datasets, as well as the target domain dataset which will serve as a training, validation, and test dataset.

#### 3.1 Source Datasets

For source domain datasets, we consider the widely used CoNLL03 (Tjong Kim Sang and De Meulder, 2003) English dataset which consists of news texts annotated with the traditional named entity types: person, location and organization plus miscellaneous.

To study the impact of the source domain and its entity types, we also consider the dataset published by Liu et al. (2021): a collection of manually annotated corpora from five domains (artificial intelligence, music, literature, politics and science) that was labeled with domain-specific entity types. The variety in entity types is important in our evaluation because we focus on domain adaptation approaches that specifically need to deal with different entity types. In their paper, Liu et al. (2021) used the newly labeled corpora as target domains, and the goal was to perform domain adaptation from the news domain to these, therefore the target training set was smaller than the validation and the test set, thus limiting the amount of labeled data in the target domain. In our experiment we consider those datasets as source datasets, therefore we split the corpora in a different way to increase the size of the training set.

Also these datasets contain only sentences mentioning at least one entity. Therefore, to have a fair comparison between source datasets, we filter the CoNLL03 dataset to keep only the sentences that mention an entity, we refer to the filtered dataset as the news dataset. This comprises the following reduction of sentences for the news dataset: the training set is reduced from 14,041 to 11,132 sentences, and the validation set is reduced from 3,250 to 2,605 sentences.

The resulting group of source datasets is referred to in our experiments as the unbalanced source datasets, due to the difference in sizes.
| Dataset  | Balanced | Balanced | Unbalanced | Unbalanced |
|----------|----------|----------|------------|------------|
|          | Train    | Val.     | Train      | Val.       |
| News     | 781      | 100      | 11132      | 2605       |
| AI       | 781      | 100      | 781        | 100        |
| Literature | 781    | 100      | 816        | 100        |
| Music    | 781      | 100      | 845        | 100        |
| Politics | 781      | 100      | 1192       | 200        |
| Science  | 781      | 100      | 993        | 200        |

Table 1: Number of Sentences in Source Domain Datasets

|        | Train | Val. | Test |
|--------|-------|------|------|
| Sentences | 180  | 70   | 294  |
| Mentions  | 51   | 21   | 74   |

Table 2: Art Target Domain Dataset

4 Experimental Setup

Our evaluation aims to shed light on the power of different cross-domain NER models to adapt to the art domain and recognize artwork mentions. For our experiments, we focus on the models CDMA-NER proposed by Lin and Lu (2018), Multi-Cell LSTM proposed by (Jia and Zhang, 2020) and CrossNER proposed by Liu et al. (2020b). To compare the performance, we train each of these models using datasets from a set of source domains and a single target domain training dataset. We measure the F1 score for the task of recognizing artwork mentions in the target test set.

For the experiments with CDMA-NER, GloVe (Pennington et al., 2014) word embeddings are used, and for both, Multi-Cell LSTM and CrossNER, which are designed to use pre-trained language models, we use BERT base model (cased) as well as an adaptation to the art domain following the domain-adaptive pre-training used in CrossNER.

4.1 Domain-Adaptive Pre-Training

We further pre-train the BERT base model (cased) for Multi-Cell LSTM and CrossNER with a set of raw art-related texts, we generated a set of 500,000 sentences extracted from digitized art-historic documents containing artwork titles from the Getty vocabularies (Harpring, 2010). Specifically, we perform a string match of sentences against the Cultural Objects Named Authority (CONA) vocabulary\(^1\) and the Union List of Artist Names (ULAN)\(^2\) containing titles of artwork and architecture, and artist names, respectively. With the 500,000 sentences, pre-training is performed for 15 epochs as proposed by Liu et al. (2020b).

4.2 Training

Each model was trained for a maximum of 500 epochs with early stopping and the validation set was used to determine when the model did not need further training and the best model was evaluated against the target test dataset. In the case of CDMA-NER and CrossNER, the source validation dataset was used to determine the best source model, before transferring the weights to the target domain.

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\(^1\)Getty CONA (2017), [http://www.getty.edu/research/tools/vocabularies/cona](http://www.getty.edu/research/tools/vocabularies/cona), accessed October 2021.

\(^2\)Getty ULAN (2017), [http://www.getty.edu/research/tools/vocabularies/ulan](http://www.getty.edu/research/tools/vocabularies/ulan), accessed October 2021.
training. For all models their publicly available implementations were adapted to use the dataset configuration proposed in this paper.

Additionally, a baseline model was trained without using source domain data. This baseline model is based on the Bi-LSTM-CRF model originally proposed by Lample et al. (2016), and implemented using FlairNLP (Akbik et al., 2019). It was trained ten times using BERT base (cased) as the embedding model, the average F1 over the 10 runs is reported in Table 4.

The under-sampling process to generate the size-balanced source datasets is repeated 5 times to generate random subsets of the data. For the smaller AI source dataset, 5 shuffled versions with the same sentences are used to train the models. The results for the size-balanced experiments in Table 4 show the average performance over the 5 runs.

5 Results

Table 4 shows an overview of the results in terms of F1-measure for each of the evaluated models using the different source datasets, plus the performance of the baseline model. The first observation is that the baseline model achieves very competitive results in comparison to the cross-domain models. In only one occasion the other models were able to outperform the baseline, which suggests that the transfer learning approach seems to work in very specific settings.

Another observation is that DAPT is in general not improving the language model for the CrossNER model, which performs better with the original BERT model. One possible reason is the digitization noise introduced into the raw text used to perform DAPT. For Multi-cell LSTM, the average improvement is very small. The CDMA-NER model in general performs worse than the other models and the baseline, and the reason could be the lack of contextualized representation of words in the GloVe embeddings.

Generally, the CrossNER model performs better than the other two models and its performance is similar to the baseline, although the model is relatively simple in comparison to Multi-Cell. This suggests that the traditional LSTM-CRF combination might not be suitable for transfer learning to complex entities such as artworks. The combination of LSTM and CRF is positive for NER as shown by the performance of the baseline model, but as the architecture becomes more complex, the performance is compromised. Another reason why Multi-
Cell LSTM models might be performing worse than CrossNER is the fact that there is no overlap between source and target entity types, therefore the weights within the LSTM cells are not being strongly shared among domains.

The results of training the models with the unbalanced datasets reveal that the size of the source dataset does not guarantee a good target performance. The adapted news dataset is 13 times bigger than the music and literature datasets, but the performance is comparable when training the CrossNER$_{BERT}$ model. One reason for this behavior is the more general definition for entity types in CoNLL03, different from the more specialized entity types in the music and literature datasets.

One of the aspects which differentiate the various domains is the set of entity types that are relevant for the domain and are present in the different datasets. To study the impact on the performance of artwork recognition we remove individual entity types from the full music dataset. For each of the 13 entity types in this dataset, we generate an alternative version of the dataset in which the entity type is not considered in the annotations. This means that the tokens which were previously labeled as part of those named entities will remain in the dataset but without the annotation. Each altered dataset is used to train the 5 studied models. In Figure 1, the models’ performance after altering the dataset is displayed as relative performance change with respect to the original experiment with the complete dataset. This way, we intend to analyze how each model depends on the source entity types to be able to transfer that knowledge to the recognition of artwork mentions.

From the figure it is clear that the Multi-cell LSTM model suffers a greater decrease in performance when the musical artists and bands are not present in the source dataset. This is an indicator of the manner in which this model learns the connections between the source and target entity types through the entity-typed LSTM cells. It is interesting, however, that in some cases the performance improves when removing entity types. This suggests that the model is sensitive to the similarity between the source and target entity types. Thus, depending on the type of entities we would like to recognize in the target domain, we should select the source dataset. Best results are achieved with the most similar entity types in the source domains. To phrase it in terms of the artwork recognition task, it would make sense to first analyze which domains contain titles of human-created creative works and then use those entity types exclusively.

Figure 2 depicts results of a similar experiment. In this case only one of the entity types is present in the dataset. Comparing both figures, it is clear that source datasets with just one entity type perform worse than source datasets with more variety in entity types. It is, however, counter-intuitive that the entity types which help the most in the transfer setting towards recognizing artworks are not

| Cross-Domain NER Models | Source Domain | News | AI | Lit | Mus | Pol | Sci | Avg |
|-------------------------|---------------|------|----|-----|-----|-----|-----|-----|
| CDMA-NER                |               | .460 | .368 | .344 | .394 | .409 | .413 | .386 |
| Multi-cell LSTM$_{BERT}$|               | .255 | .509 | .385 | .467 | .438 | .459 | .451 |
| Multi-cell LSTM$_{DAPT}$|               | .343 | .487 | .436 | .464 | .471 | .413 | .454 |
| CrossNER$_{BERT}$       |               | .537 | .519 | .578 | .535 | .521 | .512 | .533 |
| CrossNER$_{DAPT}$       |               | .594 | .488 | .507 | .477 | .482 | .528 | .496 |

Size-balanced experiments

| Cross-Domain NER Models | Source Domain | News | AI | Lit | Mus | Pol | Sci | Avg |
|-------------------------|---------------|------|----|-----|-----|-----|-----|-----|
| CDMA-NER                |               | .332 | .339 | .365 | .336 | .360 | .318 | .344 |
| Multi-cell LSTM$_{BERT}$|               | .495 | .455 | .460 | .446 | .484 | .441 | .457 |
| Multi-cell LSTM$_{DAPT}$|               | .434 | .454 | .489 | .458 | .415 | .463 | .456 |
| CrossNER$_{BERT}$       |               | .522 | .535 | .518 | .543 | .517 | .586 | .540 |
| CrossNER$_{DAPT}$       |               | .475 | .503 | .516 | .560 | .528 | .518 | .525 |

*The results in bold font correspond to values higher than the baseline*

Table 4: F1-Scores for Art Target Domain
Figure 1: Change in F1 score when one entity type is removed from the source dataset 

song or album, which are the entity types in the music domain that resemble closest to the notion of artwork.

Additional details of the results can be found in https://github.com/HPI-Information-Systems/cross-domain-ner

5.1 Qualitative Analysis

Besides the quantitative evaluation, we also performed an error analysis by investigating example predictions of the models. Specifically, we analyse the models trained with the original music source dataset.

Firstly, Table 5 example E1 shows a sentence which contains a correctly recognized entity mention and a typical error in which the model is able to recognize the presence of an artwork but the boundaries are not correctly identified. For other models the determiner of the second mention was part of the title, which is not the case in this particular example E1, but it is a persistent error for all models. The presence of the article in the titles is a complex boundary to define even for humans since there is no clear rule that could be applied.

In example E2, we see a false positive predicted by CDMA-NER and not predicted by any other model. One possible reason for this error is the lack of context in the sentence, the presence of a name at the beginning, and the quotation marks. Without the knowledge that Claude Monet is a painter, it would be hard to distinguish it from an artwork mention, given that many paintings are named after persons.

In example E3, the sentence is particularly long and contains many artwork mentions. The CrossNER\_BERT model, which is the best performing one, is able to identify all the mentions but fails to set the correct initial boundaries for three. One specially interesting observation is that 2 titles follow the pattern ‘Painter {WORD} His {WORD}’ but the model is able to correctly recognize only one of them.

The fourth example E4 exemplifies a very challenging artwork title to recognize. It is a notably long title containing a combination of uppercase and lower case words and references to different locations. In our experiments, no model was able to recognize the artwork mention in that sentence.
6 Conclusions

In this paper, we studied the task of complex NER, specifically recognizing artworks in art-historic texts. We discuss the reasons why this is a hard task and why it is promising to leverage annotations from other domains to compensate for the lack of annotated resources for the art domain. We explained the concept of cross-domain NER using transfer learning which has been investigated in the past to achieve the aforementioned domain adaptation and presented related work connected to this concept. Based on the problem setup and a collection of annotated datasets, we performed a set of experiments to understand the performance of domain-adapted NER to recognize artworks. In the experiments we analyzed both, the models and the datasets, in order to isolate and understand independently different aspects of the presented approaches. From the experimental evaluation of Cross-domain NER approaches for the recognition of artworks we conclude that, although domain adaptation is a promising approach to achieve this goal, a simpler alternative, namely a LSTM-CRF model with BERT base (cased), perform as well as the best Cross-domain NER.

As future work, we would like to investigate the explainability and interpretability of cross-domain NER models to understand better their limitations and propose new models that not only take into account the differences in terms of entity types and language between domains, but also semantic relations between the domains and the named entities. Additionally, it would be of interest to investigate the domain adaptation of other tasks like information extraction and knowledge graph embedding models, which could be jointly trained with NER.

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