Internal guide RNA interactions interfere with Cas9-mediated cleavage

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The CRISPR/Cas system uses guide RNAs (gRNAs) to direct sequence-specific DNA cleavage. Not every gRNA elicits cleavage and the mechanisms that govern gRNA activity have not been resolved. Low activity could result from either failure to form a functional Cas9–gRNA complex or inability to recognize targets in vivo. Here we show that both phenomena influence Cas9 activity by comparing mutagenesis rates in zebrafish embryos with in vitro cleavage assays. In vivo, our results suggest that genomic factors such as CTCF inhibit mutagenesis. Comparing near-identical gRNA sequences with different in vitro activities reveals that internal gRNA interactions reduce cleavage. Even though gRNAs containing these structures do not yield cleavage-competent complexes, they can compete with active gRNAs for binding to Cas9. These results reveal that both genomic context and internal gRNA interactions can interfere with Cas9-mediated cleavage and illuminate previously uncharacterized features of Cas9–gRNA complex formation.

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The CRISPR/Cas system is a revolutionary genome-editing technology in which the Cas9 protein binds to a guide RNA (gRNA) that directs sequence-specific cleavage via complementarity to a DNA target. The cleavage activities of thousands of Cas9 gRNAs have been tested and have revealed rules for high gRNA activity, including GC content of >50% and a preference for guanine adjacent to the PAM motif at position 20 (refs 6–11). However, these rules are not sufficient to explain observed cutting rates in vivo. For example, zebrafish gRNAs that obey these rules do not always elicit high rates of mutagenesis8,11. Identifying the underlying reasons is of great importance, both to improve our understanding of the mechanisms that control gRNA–Cas9 activity and to develop better tools for Cas9-mediated genome editing.

There are two potential mechanisms that might contribute to poor gRNA performance: first, some gRNAs could be inherently poor at forming active Cas9–gRNA complexes, or second, they do form active complexes but their target sites could be refractory in vivo. By measuring both the in vitro cleavage and in vivo activity in zebrafish embryos, we find that there are gRNAs that fail for both reasons. Our data suggest that gRNAs that cleave well in vitro but not in vivo, are blocked by sequence-specific genomic factors and chromatin. Further dissection of the gRNAs that fail to form a functional Cas9 complex, we found that they contain internal gRNA interactions, providing experimental support for suggestions that gRNA secondary structure modulates Cas9 cleavage efficiency9,10,12. Although their fold prevents recognition activity, we found that these gRNAs can still bind to the Cas9 protein, competing effectively with active gRNAs. Strikingly, some inactive complexes can regain high levels of activity when internal gRNA interactions are disrupted. These results contribute to the groundwork for future structural studies on inactive Cas9–gRNA complexes and the mechanism of gRNA loading, and provide new rules for improving gRNA design methods.

**Results**

**Short genomic regions can be refractory to Cas9 cleavage.** To determine in vitro cleavage rates, we used single-turnover enzyme cleavage assays1–13, in which the concentration of Cas9 protein was varied in the presence of a lower amount of DNA substrate and an excess of gRNA. Activity was defined as the concentration of Cas9 that yielded half-maximal cleavage (EC_{1/2max}), determined by quantifying fraction of substrate and product at multiple Cas9 concentrations. Comparing the results of this assay with cleavage in zebrafish embryos8, we identified gRNAs with high in vitro cleavage activity (low EC_{1/2max}) but low in vivo mutagenesis rates (Fig. 1a). To determine whether these differences might be caused by target sites that are refractory in vivo to the Cas9 complex, we probed the cleavability of the region containing each of these five target sites by testing additional gRNAs that overlap with and surround the original gRNA binding sequence. We found that four of the five gRNAs (labelled in vivo inactive gRNAs 1–5) were located within short, sometimes fewer than 50-nucleotide, regions that were refractory to cleavage (Fig. 1b,c). For each of the five refractory regions we evaluated whether low gRNA activity might be sequence dependent or whether chromatin might play a role. We identified genomic sequences with partial sequence complementarity to in vivo inactive gRNAs 1 and 2, and found that some of these sites were also refractory to cleavage (Supplementary Fig. 2). For gRNA 3 we identified putative binding sites for CTCF, a protein involved in genome organization14. Target sites containing putative CTCF motifs (Fig. 1d) were often refractory to in vivo cleavage (Fig. 1e and Supplementary Table 1), whereas closely neighbouring sites were cleaved with very high efficiency (Fig. 1f). We found that the target sites of in vivo inactive gRNA 5 and in vivo inactive gRNA 4, the one gRNA for which we did not find clear borders of the refractory region, are both bound by modified histones15,16, whereas the other three are not (Supplementary Fig. 3). These results reveal that gRNAs that are active in vitro can be inactive at short genomic regions in vivo, and that many of these regions have identifiable characteristics that enable potential prediction and avoidance.

**Inactive gRNAs bind to Cas9 protein.** We also identified inactive gRNAs that had poor cleavage activity both in vivo and in vitro. In these cases, a gRNA might not effectively associate with Cas9 protein or it might associate with Cas9 but fail to form a cleavage-competent complex. We reasoned that in the latter case, inactive gRNAs could compete with active gRNAs for Cas9 protein binding. To test this idea, we compared cleavage with an active gRNA against its cognate site in the absence or presence of an equivalent amount of an inactive gRNA (Fig. 2a, inactive gRNAs 1–9). The majority of inactive gRNAs tested reduced the cleavage activity of the active gRNA compared with controls in which the same amount of random RNA or no competitor was added (Fig. 2b and Supplementary Fig. 4). The cleavage inhibition caused by inactive gRNAs was comparable to that of active gRNAs. Moreover, a 15-min incubation of the inactive gRNA with Cas9 protein before adding the active gRNA almost completely abolished cleavage activity (Fig. 2c). Competition between gRNAs also interferes with in vivo cleavage, both when Cas9 messenger RNA (Fig. 2d) or Cas9 protein are used (Supplementary Fig. 5). Interestingly, only one of the two inactive gRNAs that were tested in vivo competed efficiently, although both were comparable in vitro (Fig. 2b). These results indicate that many inactive gRNAs do not fail to complex with Cas9, rather they generate non-productive complexes both in vitro and in vivo, and can sequester available Cas9 protein away from productive gRNAs.

**Inactive gRNAs contain hairpins that inhibit cleavage.** To understand the root cause of low activity, we compared inactive gRNAs with a large set of gRNAs with high activity. In cases where a similar but active gRNA could be identified, the differences between the two were dissected by testing chimeric gRNAs. This approach identified single base substitutions that rendered a previously inactive gRNA active (Supplementary Figs 6 and 7). For example, changing a C:G pairing between positions 13 and 20 to an A:G mismatch increased activity by over tenfold (Supplementary Fig. 6). Closer inspection of gRNA sequences revealed that the activating substitutions were located in potential gRNA hairpins in the target-specific portion of the gRNA. To further investigate whether internal gRNA interactions lead to a general reduction in activity, we introduced different mutations to modulate self-complementarity in 23 gRNAs and tested their activity. Substitutions that were predicted to eliminate or strengthen hairpins yielded the expected outcome: breaking predicted hairpins in low-activity gRNAs improved cleavage, whereas control substitutions in other areas of the gRNA did not (Fig. 3a and Supplementary Fig. 8). gRNAs with these activating substitutions were even able to cleave the originally targeted site in vivo, if the genomic mismatch was not within the gRNA seed sequence17 (Supplementary Fig. 9).

Not every gRNA containing a predicted hairpin sequence showed low in vitro cleavage activity. We hypothesized that these hairpins might not be sufficiently energetically favourable to form within the Cas9–gRNA complex. Indeed, adding an additional base pair of complementarity reduced the activity of these gRNAs.
Analogously, substantially reduced activity also resulted when gRNA sequences containing hairpins with G:U wobble base pairings (determined with Mfold) were modified to replace the G:U with a stronger G:C base pair (Fig. 3c and Supplementary Figs 11 and 12). These results are consistent with the idea that strong internal gRNA interactions can interfere with Cas9 activity.

Although most inactive gRNAs studied contained predicted hairpins within the gene-specific portion of the gRNA, several were instead predicted to have interactions between the gene-specific sequence and the gRNA backbone (Fig. 3d and Supplementary Fig. 13). To test whether these interactions reduce activity, we used a Cas9–gRNA crystal structure to guide the introduction of complementary substitutions in the backbone and gRNA 5 & neighbours

Figure 1 | Genomic regions refractory to Cas9 cleavage are short and can be sequence dependent. (a) Mutagenesis in zebrafish embryos versus in vitro enzymatic cleavage for multiple gRNAs. Points in red represent five gRNAs with high enzymatic cleavage in vitro (low EC1/2max) that induce low mutagenesis. Examples covering a wide range of different gRNA activities are shown in grey. The open circle represents a gRNA with an EC1/2max too high (>1,000 nM) to be accurately measured under tested conditions. (b) Cleavage activity and sequence locations, shown on a continuous region of the genome, of gRNAs surrounding and overlapping with one of the five gRNAs (in vivo inactive gRNA 1, marked with a star) highlighted in a. The cleavage location of the original gRNA is marked with a black triangle. The two gRNAs overlapping with the original gRNA display low mutagenesis, despite high in vitro activity (Supplementary Fig. 1). (c) Cleavage activity and relative location of neighbouring and overlapping gRNAs (Supplementary Table 1) compared with the original cleavage site for three in vivo inactive gRNAs. The relative position is the distance in nucleotides between the cleavage location of the original inactive gRNA and the neighbour. (d) Sequence logo representing CTCF-binding preferences in human cells. The region of in vivo inactive gRNA 3 containing a putative CTCF site is boxed in red. The PAM sequence and first two bases of gRNA target are in lowercase. (e) Cleavage activity of gRNAs containing putative CTCF sequences (Supplementary Table 1), matching the sequence in inactive gRNA 3 shown in d. Three gRNAs (pale grey, 8–10) cleave with high efficiency (>0.4), three are low cleaving (0.2–0.3, 5–7) and four are completely inhibited (<0.1, 1–4). The colours of gRNAs 1, 2, 6 and 7 correspond to colours in f, where neighbouring gRNAs were tested. (f) Cleavage activity of gRNAs closely neighbouring four gRNAs with repressed cleavage and putative CTCF-binding sites. Line colour corresponds to bar colour shown in e. At least two independent assays were completed for each mutagenesis or in vitro cleavage experiment and error bars shown in all panels are s.e.m.
area that were predicted to eliminate the interaction but not interfere with the gRNA binding to Cas9. Strikingly, these mutations dramatically improved cleavage activity (Fig. 3c,f and Supplementary Figs 13 and 14). For example, the replacement of a C and G in the backbone disrupted detrimental interactions with a G at position 13 and a C at position 17, respectively, resulting in a 19-fold increase in activity. Taken together, the consistent decrease or increase of Cas9 activity on making or breaking gRNA hairpins, respectively, highlights the importance of minimizing these interactions as a general principle of gRNA activity (Fig. 3g). To facilitate incorporation of this principle into pipelines for gRNA production, we included a supplementary Python script (Supplementary Software) that screens gRNAs for possible internal interactions and have incorporated these rules into CHOPCHOP\textsuperscript{6}, a popular publically available web server for gRNA design. We assessed the improvements to prediction of gRNA success using our own data and in relationship to previously published data sets and algorithms\textsuperscript{6,10,11} (Supplementary Fig. 15) and found that hairpins are enriched in low-activity gRNAs across multiple data sets and are sometimes missed by published algorithms.

RNAs can form internal structures such as hairpins when the RNA folds concurrently with its transcription\textsuperscript{12}. We therefore reasoned that transcription might lead to inactive gRNA structures and hypothesized that refolding of the gRNA could correct these detrimental interactions if the active form is thermodynamically favourable. Indeed, heating and slowly cooling some gRNAs before cleavage assays resulted in substantial activity increases (Fig. 4a). For example, refolding of inactive gRNA 1 resulted in a sevenfold increase in activity. Over half of the inactive gRNAs were responsive to this procedure (Fig. 4b and Supplementary Fig. 16) and activity was improved \textit{in vivo} as well (Fig. 4c and Supplementary Fig. 17). Refolding substantially worsened the activity of one gRNA, inactive gRNA 3, which indicates that the inactive structure is more energetically favourable than the cleavage-competent structure. These results provide additional evidence that gRNA secondary structure can interfere with its activity and suggest that some inactive gRNAs can be rescued by refolding them after transcription.

Discussion

In summary, our study of the \textit{in vivo} and \textit{in vitro} activities of gRNAs provides two major conclusions as follows:

First, short genomic elements can be refractory \textit{in vivo} to gRNAs that are active \textit{in vitro}. The factors determining whether an active Cas9 complex can cleave \textit{in vivo} are still unclear but one possibility is that high-affinity interactions of protein complexes with gRNA target sites prevent access of the Cas9–gRNA complex. Despite this potential roadblock to gRNA activity, we found that at the genomic sites we tested, refractory regions were short, allowing the use of neighbouring gRNAs to target a specific locus. Our results identify candidate factors such as the CTCF genome-organization factor as potential recognition or cleavage inhibitors, opening the door to future improved prediction and avoidance of refractory regions. More detailed analyses of regions that cannot be readily cleaved could also lead to insights into the three-dimensional structure of chromatin or to the discovery of additional molecules that block access to the genome.

Second, internal gRNA interactions hinder the formation of a cleavage-competent complex and compete with active gRNAs for

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\caption{Inactive gRNAs bind to Cas9 protein. (a) Example target site cleavage traces for a highly active gRNA–Cas9 complex combined with an equivalent amount of competitor inactive gRNA (Supplementary Table 2), active gRNA (Supplementary Table 3) or control random RNA (Supplementary Methods). The inactive gRNAs elicit no cleavage at the enzyme concentrations tested. (b) EC\textsubscript{1/2}\textsubscript{max} values for a highly active gRNA–Cas9 complex without a competitor (black striped bars) or combined with an equivalent amount of nine inactive gRNAs, two active gRNAs or control random RNA. (c) After prior incubation of 125 \textmu M Cas9 protein with nine inactive gRNAs, two active gRNAs or control random RNA for 15 min, the cleavage for an active gRNA at its target was measured. (d) \textit{In vivo} cleavage activity for a highly active gRNA (active gRNA 3, Supplementary Table 3) with Cas9 mRNA combined with a varying amount of each of two inactive gRNAs. At least two independent assays were completed for each mutagenesis or \textit{in vitro} cleavage experiment and error bars shown in all panels are s.e.m.
\end{figure}
Figure 3 | Internal hairpins in gRNAs reduce cleavage activity. (a) Breaking a gRNA interaction in a gRNA with low activity increases cleavage activity. The interacting region is indicated by bold and underlined text, whereas the single base-pair substitution is shown in purple. (b) Extending the potential hairpin of an active gRNA reduces cleavage activity. (c) Substitution of a G:U base pair with the canonical G:C base pair reduces cleavage activity. (d) Potential hairpins between the gRNA sequence and the constant region of the gRNA for two inactive gRNAs (Supplementary Table 2) and a mutated backbone that was designed to eliminate the interactions. (e) Substituted nucleotides in the new backbone shown in d, using pdb code 4O08 (ref. 19) for visualization. (f) Cleavage activity of the two inactive gRNAs with the original and newly designed constant gRNA backbone. (g) Summary of the EC1/2max differences for gRNAs with small numbers of substitutions that alter cleavage activity. The grey dashed y = x line represents the expected location of the points if the substitution did not have an effect on cleavage. Open shapes represent gRNAs with an EC1/2max too high (>1,000 nM) to be accurately measured under tested conditions. At least two independent assays were completed for each in vitro cleavage experiment and error bars shown in all panels are s.e.m.
Refolding of inactive gRNAs can increase cleavage activity. (a) Cleavage traces for two gRNAs before and after refolding. (b) EC$_{1/2}$max values for nine inactive gRNAs (Supplementary Table 2) before and after refolding. Dashed bars represent gRNAs with an EC$_{1/2}$max too high (>1,000 nM) to be accurately measured under tested conditions. (c) Cleavage activity in vivo for two inactive gRNAs, before and after gRNA refolding, combined with 13 nM Cas9 protein (0.5 nL of 6.5 μM injected).

Although both cleave more efficiently in vivo after gRNA refolding, inactive gRNA 2 is more responsive to the protocol. At least two independent assays were completed for each mutagenesis or in vitro cleavage experiment and error bars shown in all panels are s.e.m.

**Methods**

**Production of gRNAs.** Templates for gRNA transcription with T7 polymerase were generated by two-oligo PCR, using one oligo containing the T7 promoter and gene-specific sequence with overlap to a second reverse complement oligo containing the constant region of the gRNA backbone. An optimized gRNA constant region was used, except in Supplementary Fig. 13 where two constant regions are compared for one gRNA. As in previous work, the bases at the first two positions in vivo were always substituted for guanine to accommodate preferences of T7 RNA polymerase. The product was purified with the E.Z.D.A. Cycle-Pure kit (Omega Bio-tek, Norcross, GA). All gRNAs were transcribed with the Megascript T7 kit (Thermo Fisher Scientific, Waltham, MA), using half-size (10 μl) reactions and DNase treated. Purification of the gRNA was either done with ammonium acetate-ethanol precipitation or with column purification, using RNA Clean & Concentrator columns (Zymo Research, Irvine, CA), if precise quantification of gRNA concentration was required (Fig. 2). For column-purified gRNAs, RNA concentration was determined using a Nanodrop spectrophotometer and all gRNAs were visualized with agarose gel electrophoresis. The random RNA control was concentration matched to gRNAs and similarly sized, as well as equivalently column purified.

**Fish husbandry and microinjection.** All protocols and procedures involving zebrafish were approved by the Harvard University/Faculty of Arts & Sciences Standing Committee on the Use of Animals in Research and Teaching (IACUC; Protocol #25-08).

Zebrafish TLAB embryos were collected and injected at the one-cell stage with 300 ng of mRNA:Cas9, mRNA:gRNA (1:1) for each experiment, and each gRNA was tested for activity in five experiments. In vivo competition experiments with Cas9 mRNA, 50 pg of active gRNA was used, to more accurately replicate experimental conditions in which five or more gRNAs are multiplexed.

**Zebrafish somatic mutagenesis data.** Zebrafish genomic DNA was extracted from pools of 10–20 embryos at 24–30 hpf, using the HotSHOT method. Mutagenesis rate was determined using MiSeq sequencing, as previously described, by dividing the number of reads with mutations at the gRNA cleavage site by the sum of these reads and those that maintain the wild-type sequence.

The large set of gRNAs determined to be active and used as a comparison for low activity guides were previously published or assessed using T7 endonuclease assays, MiSeq sequencing or gel/microwave transmission data. At least two independent assays were completed for each mutagenesis experiment and error bars shown in all figures are s.e.m. All experiments in which gRNAs are being compared with each other were conducted with the same preparation of Cas9 mRNA, to minimize differences due to overall mRNA activity and quality, and the injections were conducted side-by-side on the same day.

**Cas9 cleavage assays.** His-tagged Cas9 endonuclease (Addgene plasmid 47327) was produced as follows, using auto-induction, growing for 12 h at 37 °C, followed by 24 h expression at 18 °C. Cells were lysed with sonication and Cas9 protein was purified using Nickel-NTA resin (G-Bioscences), using Tris, 200 mM KCl and 10 mM MgCl$_2$ at pH 8, 30 mM Imidazole and 500 mM NaCl for washes, and 20 mM Tris pH 8, 500 mM Imidazole and 500 mM NaCl for elution. Protein was buffer exchanged into 20 mM Tris, 200 mM KCl and 10 mM MgCl$_2$ and aliquots were stored at −80 °C. The protein was confirmed to be of >95% purity by SDS-PAGE and Coomassie staining. All cleavage assays were done under single-turnover conditions. Twofold serial dilutions of enzyme (between 600 and 31 nM, depending on the experiment and gRNAs being tested) were made in a reaction buffer consisting of 200 mM KCl, 10 mM MgCl$_2$ and 20 mM Tris–HCl pH 8.0.

These enzyme dilutions were combined 3:1 with ~10 nM of substrate, typically 3 μl of Cas9 endonuclease and 1 μl of 50 μM 1 substrate. This mix of protein and target was immediately combined 4:1 with excess gRNA, unless otherwise noted. The substrates used were column-purified PCR products (1,400–2,000 nucleotides), amplified from target site arrays that were cloned into a plasmid backbone.

Reactions were run for 30 min at 37 °C and halted with a reaction stop buffer, followed by 80 °C incubation for 5–10 min. Adding the stop buffer results in a final concentration of ~15 mM EDTA, 7.5% glycerol, 0.5% SDS and bromophenol blue. The resulting cleavage products were separated by gel electrophoresis on 1.8–2% agarose tris/borate/EDTA (TBE) gel and were visualized by staining with ethidium bromide. Fraction cleavage was calculated by dividing the density of product bands by the total of the product and substrate band densities, as previously described. The spectral densities were quantified using ImageJ and the fraction cleavage was plotted versus Cas9 endonuclease concentration using GraphPad Prism. The EC$_{1/2}$max was calculated by fitting the fraction cleavage to a Hill coefficient. At least two independent assays were completed for each cleavage experiment and error bars shown in all figures are s.e.m.

**gRNA refolding.** gRNAs, resuspended in water after ethanol precipitation, were heated to 98 °C for 2 min and temperature was lowered at a rate of 0.1 °C s$^{-1}$ until 30 °C was reached.

**gRNA interaction algorithm.** To facilitate avoidance of internal gRNA interactions, we have included a Python script (Supplementary Software) and incorporated the same rules into the CHOPCHOP web server. The development
server for CHOPCHOP is available at the following web address: https://chopchop.cshl.edu/dev/

To use the attached script, the name of a file containing the 20 nucleotides of gRNA sequence, with each gRNA on a new line, is passed to the script with the -f option. If the first two bases of the gRNA are going to be experimentally substituted for guanine, as in this work, the -gg option is used. The -bb option needs to be included if an extended gRNA backbone is used, as in this work. The script output provides a list of the gRNAs with hairpin sequences.

Identification of sequence-similar genomic sites. Sites containing sequences complementary to in vivo inactive gRNAs (Fig. 1e and Supplementary Fig. 2) were identified using a previously published29 Position Specific Scoring Matrix search algorithm (https://github.com/justinashworth/psmm). This programme minimizes the number of substitutions in a given sequence. For identifying CTCF-containing sites, searches were completed both to find matches for the full in vivo inactive gRNA 3 target and for just the putative CTCF region (Supplementary Table 1). An example of Position Specific Scoring Matrix, the one used for finding putative CTCF-containing sites, is available in the Supplementary Methods. Several target sites were chosen blindly from among the top-matched candidates that were identified in the Zebrafish version of the zebrafish genome.

Data availability. The data that support the findings of this study are available from the corresponding author on reasonable request. The sequencing data that support the findings of this study are available from NCBI Sequence Read Archive with the accession code SRP073406.

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Author contributions

S.B.T. designed experiments, conducted all in vitro cleavage experiments, collected in vivo cleavage data, wrote the Python algorithm and wrote the paper with input from A.F.S., L.A., T.G.M. and E.D.V. L.A. assisted S.B.T. with embryo injections for collecting cleavage data, wrote the Python algorithm and wrote the paper with input from A.F.S., L.A., T.G.M. and E.D.V. incorporated the new rules for gRNA design into the CHOPCHOP web server.

Additional Information

Accession codes: All relevant MiSeq sequencing data has been deposited in NCBI Sequence Read Archive and can be accessed using SRA accession number SRP073406.

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