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Study protocol

Keywords: Auricular acupressure, Hot flashes, Prostate cancer, Hormonotherapy, Randomized controlled trial

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Auricular acupressure for hot flashes in patients with prostate cancer: protocol for a pilot randomized controlled trial

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Abstract

Background: Hot flashes, characterized by intense heat sensation and diaphoresis, are common side effects resulted from hormonotherapy in patients with prostate cancer. Cumulated studies have revealed beneficial role of acupuncture as complementary and alternative recipe for the management of hot flashes. However, little is known about the auricular acupressure (AA), a micro-acupuncture technique whose therapeutic purpose is similar with conventional acupuncture. Therefore, this current study aims to explore the effects and determine the feasibility of AA for hot flashes in patients with prostate cancer.

Methods/Design: This proposed pilot study is a two-arm parallel, single-blinded, randomized sham-controlled trial. A total of 72 participants of prostate cancer suffered with hot flashes will be recruited and randomly allocated into two groups in a 1:1 ratio. Equal randomization is conducted using a computer-generated random allocation sequence. Sheng Zhi Qi (TF2), Nei Fen Mi (CO18), Shen Men (TF4), Shen (CO10) and Pi Zhi Xia (AT4) are selected as experimental acupressure points, and five helix points (HX 8-12) are used as sham control acupressure points. Participants in the experimental group and control group will receive AA and sham-AA treatment, respectively. The duration of the treatment is 6 weeks with two sessions per week, and the follow-up period is 12 weeks. The primary outcome is Hot Flash Score (HFS). The secondary outcomes include Quality of Life (QoL), Pittsburgh Sleep Quality Index (PSQI) and Hamilton Anxiety Scale (HAS). All outcomes measurement will be conducted before and through treatment period as well as follow-up period. Safety assessment will be carried out through treatment and follow-up period.

Discussion: This pilot study will for the first time advance our knowledge on feasibility of AA in alleviating hot flashes in patients of prostate cancer and provide preliminary evidence for a further full-scale trial.
**Trial registration**: Chinese Clinical Trial Registry, ChiCTR1900026694. Registered on 19 October 2019.

**Background**

Prostate cancer is one of the most common cancer types and the second leading cause of cancer related death in men worldwide [1]. Hormonotherapy, carried out by surgical or medical castration combined with antiandrogen, has been standard modality for the treatment of locally advanced and metastatic prostate cancer [2,3]. Although this therapy can be quite efficacious, it, concomitantly, is associated with a range of side effects [4-6]. One of the well-known and uncomfortable side effects is the experience of hot flashes, which occurs in 50% to 80% of patients with advanced prostate cancer receiving hormonotherapy [7-9]. Hot flashes, characterized by subjective sensations of heat or sweating, are often associated with psychosomatic disorders and adversely affect the quality of life [10,11]. Given that the hormonotherapy is usually lifelong for patients of advanced prostate cancer, manipulation of potential hot flashes accompanied is urgently needed.

The pathophysiology of hot flashes is quite complex and has not been fully understood, thus management of these symptoms remains challenging [12-14]. A variety of medical options have been evaluated for alleviating hot flushes, including hormonal replacement therapies (e.g., estrogen, progesterone analogs and cyproterone acetate), non-hormonal drug treatments such as gabapentin, clonidine and selective serotonin reuptake inhibitors (e.g., venlafaxine, paroxetine) [15-17]. Most of these treatments have been assessed mainly in postmenopausal women and particularly breast cancer patients undergoing hormonotherapy [18]. There have been far fewer studies in men for patients of prostate cancer treated with hormonotherapy. While moderately effective, both hormonal and non-hormonal therapies are costly and have been associated with a series of unfavorable complications including nausea,
dizziness, and anxiety [15-17]. Thus, more effective treatments with fewer side effects remain to be explored for hot flashes.

Complementary and alternative therapies have been used successfully to treat a variety of health problems for few or no side effects. Previous studies demonstrated that traditional Chinese medicine formula [19], medicinal herb [20], as well as phytoestrogens [21] were effective in treating hot flashes. Acupuncture, a popular and recognized complementary and alternative therapy, has also shown beneficial effects for the management of hot flashes in post-menopausal women with or without breast cancer [22], and in men with prostate cancer [23]. Auricular acupressure (AA), a micro-acupuncture technique whose therapeutic purpose is similar with conventional acupuncture, has been investigated in improving sleep quality, relieving menopausal anxiety and hot flashes [24-26]. Harding et al. reported that auricular acupuncture might be a useful treatment for hot flashes in men with prostate cancer upon medicinal castration treatment [27]. However, little is known about AA for the treatment of hot flashes in patients with prostate cancer.

In the current study, we aim to perform a pilot, randomized and controlled trial (RCT) to determine whether AA is effective and safe as compared to a sham-AA control for the management of hot flashes in patients with prostate cancer. The results of this trial will form an informative basis of feasibility and provide preliminary evidence guiding a further full-scale trial.

Methods/Design

Design

This pilot study is a prospective, two-arm parallel, single-blinded, randomized, sham-controlled clinical trial, and the protocol is presented according to items recommendation of SPIRIT 2013 Checklist (Additional file 1). Eligible patients will be randomly divided into the
AA experimental group and the sham-AA control group in a 1:1 allocation ratio. Equal randomization will be conducted using a computer-generated random allocation sequence. All participants will be required to sign the informed consent before proceeding into the trial. The schematic flow chart of the study process is shown in Figure 1, and the participant timeline with events schedule is provided in Figure 2.

**Participants**

**Setting and recruitment**

This trial will be conducted in The Second Affiliated Hospital of Guangzhou University of Chinese Medicine. Participants of the study is recruited through the outpatient clinic, hospital-based advertising, and posters. The trial protocol is in accordance with the principles of the Declaration of Helsinki and has been approved by Institutional Ethics Committee review board (approval number: B2017-119-01) of Guangdong Provincial Hospital of Chinese Medicine (The Second Affiliated Hospital of Guangzhou University of Chinese Medicine). Written informed consent will be obtained from each participant. This trial was registered at the Chinese Clinical Trial Registry (ChiCTR1900026694).

**Eligibility criteria**

**Inclusion criteria**

1) Histologic diagnosis of prostate cancer with a history of hormonotherapy use;
2) Experienced hot flashes at least a month before study entry;
3) Men 18 years or older with expectative life expectancy more than three months;
4) Willingness to participate in the study and sign informed consent;
5) Karnofsky Performance Status ≥ 60.
**Exclusion criteria**

1. Patients with a primary malignancy other than prostate cancer;
2. Under treatment for hot flashes control by using gabapentin, venlafaxine, etc.;
3. Unable to receive AA treatment and known allergy constitution;
4. Those who with severe heart, brain, kidney, liver, infectious or mental disease;
5. Difficulties in cooperating with the researchers and filling out the study documents.

**Withdrawal criteria**

Participants will be withdrawn from this study in the following situations:

1. When a participant requests to withdraw from the study, for any reason, at any time;
2. When worsening disease or severe adverse events or reactions take place.

The data of these participants will be gathered and included in further analysis.

**Randomization, allocation concealment and blinding**

Eligible participants will be randomly assigned to either an experimental group receiving AA intervention, or a control group receiving sham-AA intervention in a 1:1 ratio. Equal randomization will be carried out using a computer-created random allocation sequence through the method of stratified block randomization by the SAS 9.2 software (SAS Institute Inc., Cary, NC, USA). The research coordinator will access for the treatment allocation information for each eligible participant through an online system, which was developed by the Key Unit of Methodology in Clinical Research, The Second Affiliated Hospital of Guangzhou University of Chinese Medicine. The other personnel, including clinical physicians, AA practitioners, and assessors, will not be authorized to apply for randomization numbers. Treatment allocations will be blinded to participants, assessors and statisticians, and will not be revealed until the trial is completed. In order to avoid the
influence of the Rosenthal and Hawthorne effects, the AA practitioners will be restricted to communicate with the participants [28,29].

**Interventions**

This trial includes a 6-week treatment period with two sessions per week, and a 12-week post-treatment period with follow-up of every 3 weeks. AA and sham-AA will be conducted in participants of experimental group and control group, respectively. The auricular points in both groups are illustrated in Figure 3, and the locations of these points are listed in Table 1, which are both in accordance with the National Standards of the Nomenclature and Location of Auricular Acupoints published in China [30].

AA manipulation will be delivered through pressure stimulation on auricular points using *Semen Vaccaria* seeds (Wang-Bu-Liu-Xing). Briefly, after sterilization with 75% alcohol, a 1.0cm × 1.0cm adhesive plaster with one bead imbedded will be attached and fixed on the specific auricular points. The patients will be asked to press the auricular points by themselves 4-6 times a day for a 3-minutes duration each time. The AA manipulation will be conducted alternatively between the two ears every 2 days. The plaster with seeds will be exchanged for a fresh set once a week.

**Experimental group**

- Acup. 1. *Sheng Zhi Qi* (Internal Genitals, TF2)
- Acup. 2. *Nei Fen Mi* (Endocrine, CO18)
- Acup. 3. *Shen Men* (Spiritual Gate, TF4)
- Acup. 4. *Shen* (Kidney, CO10)
- Acup. 5. *Pi Zhi Xia* (Subcortex, AT4)
Control group

Participants in the control group receive sham-AA treatment on five helix points (HX 8-12), which are clearly remote from the inner ear area. These auricular points lack evidence for hot flashes control. Previous studies indicated that these kinds of auricular points had minor effects on subjective symptoms regulation including improving sleep quality and relieving anxiety situation, which could serve as placebo effect [31,32].

Outcome measures

Primary outcome

To quantify the hot flashes, the eligible subjects will be required to document the frequency and severity of hot flashes, by using daily hot flash diary described previously [33]. Briefly, each participant will record how many hot flashes he experiences each day, meanwhile how many are mild, moderate, severe, or very severe. The Hot Flashes Score (HFS) of each day can be calculated into 1, 2, 3, or 4, respectively, by multiplying the number of hot flashes recorded as mild, moderate, severe, or very severe with adding distinct values to obtain a composite score.

Secondary outcomes

Secondary outcome measures include changes in Quality of Life (QoL), Pittsburgh Sleep Quality Index (PSQI) and Hamilton Anxiety Scale (HAS) [34]. All the primary and secondary outcome measures will be evaluated at baseline visit and repeated among treatment period as well as follow-up period.

Safety assessment
All participants will undergo laboratory tests, including evaluation of hematologic and urinary routine tests, blood biochemical tests (renal and hepatic function) and electrocardiograph, before the start of treatment and after 6 weeks of treatment. The participants will be asked to report information about potential adverse events (AEs) such as local skin irritation and discomfort, light tenderness or pain, and dizziness during AA treatment [35]. In case of severe AEs, AA treatment will be discontinued immediately. All AEs will be fully recorded on the AEs pages of the case report forms (CRFs). The researcher will confirm the occurrence of AEs and record all details including the occurrence date, duration, degree, and causal relationship with the treatment. Emergency medical assistance will be provided if any serious AEs occurs, and all details will be noted.

Sample size estimation

This study aims to evaluate clinical trial feasibility and to investigate basic information about the efficacy and safety of AA for the treatment of hot flashes in patients with prostate cancer, rather than hypothesis testing. Therefore, the sample size was decided based on a rationale for feasibility, which were unable to calculate the statistical power formally. In reference of a previous similar study of acupuncture for the treatment of hot flashes in breast cancer patients [36], the present research thus incorporated a sample size of 30 for each group. Considering an estimated 15% dropout rate, a required sample size in each group was estimated to 36. In total, 72 participants will be enrolled in this trial.

Data collection and management

All data will be recorded on the hard copy of CRFs. Data regarding the demographic characteristics and the baseline assessment will be collected by the screeners when the participants are recruited. Outcome measurements will be performed by assessors through the
treatment period and the follow-up period. Data of prescription and any AEs reported by
c participants will be collected by clinicians. A research coordinator will perform quality
control of data collection and be responsible for data access.

**Monitoring**

The Key Unit of Methodology in Clinical Research of Guangdong Provincial Hospital
of Chinese Medicine (The Second Affiliated Hospital of Guangzhou University of Chinese
Medicine) is the Monitoring Committee for Medical Data in this trial. All data will be
recorded by designated outcome assessors on the hard copy of CRFs, and double-entered into
the electronic CRFs, which are established and monitored by the Key Unit of Methodology in
Clinical Research. Monitors will audit the data every three months. AA practitioners and
statisticians will have no access to these data during the evaluation process.

**Statistical methods**

All data will be presented as means and standard deviations or number (percentage), and
all analyses will be based on the intention-to-treat principle. For the description of baseline
characteristics, the mean with standard deviation or range with the minimum and maximum
values for continuous data and frequency with percentage for dichotomous data will be
reported. Homogeneity between the two groups in terms of baseline characteristics will be
tested using the two-sample *t* test for continuous data and the chi square ($\chi^2$) test for
dichotomous data. Analysis of covariance (ANCOVA) or logistic regression will be used for
analysis and adjustment of baseline characteristic that differ significantly between the two
groups.

**Discussion**
As far as we know, this will be the first study to evaluate the effectiveness and safety of AA for the treatment of hot flashes in patients of prostate cancer receiving hormonotherapy. Although AA has been practiced as a complementary treatment for anxiety, insomnia, peri- and early postmenopausal symptoms, there is still an important gap of AA for hot flashes control in patients of prostate cancer. The results of this study are expected to offer preliminary evidence regarding for AA in treating hot flashes in patients of prostate cancer.

Pilot studies, also known as feasibility studies, are prospective comparative trials commonly designed for providing preliminary evidence towards the clinical efficacy of a specific treatment or intervention [37]. A standardized protocol will be used to ensure the reproducibility of the pilot randomized controlled trial. This study has been designed carefully in accordance with the Consolidated Standards of Reporting Trials (CONSORT) Statement of RCTs and presented the protocol according to the Standard Protocol Items: Recommendations for Interventional Trials (SPIRIT) Statement (Additional file 1). Methodological benchmarks such as randomization, allocation concealment and blinding has been robustly met in the protocol. The chosen primary outcome is change in frequency and severity of hot flashes by HFS, which is known to be valid to treatment effects [33].

There are several limitations in this pilot study. First of all, this pilot trial will include a small sample size of participants and hypothesis testing will not be involved. For this reason, the results of this trial are not capable to generate adequate data for assessing the efficacy and safety of AA for the treatment of hot flashes. In addition, the treatment of hot flashes with AA is not based on syndrome differentiation, which is the major concern in traditional Chinese medicine. The selection of auricular points is standardized and put on every participant, this may be convenient for better use of the treatment over different individuals. Moreover, AA practitioner will not be blinded because of the nature of the intervention. In order to avoid the influence of the Hawthorne and Rosenthal effects, the AA practitioners
will be restricted to communicate with the participants and will not be involved in assessing outcomes or the data analysis.

To summarize, this study protocol describes the first randomized, sham-controlled trial for evaluating feasibility of AA in alleviating hot flashes in patients of prostate cancer. Our results will inform men suffering with hot flashes and both conventional and Traditional Chinese Medicine healthcare professionals on the potential role, if any, of AA in the treatment of hot flashes. The findings of the study will provide evidence for a further full-scale RCT trial.

**Trial status**

This trial is currently in ongoing phase. The protocol version number is PRO1.1. Patient recruitment began in January 2020 and is expected to be completed by December 2022.

**Additional files**

Additional file 1: SPIRIT 2013 Checklist: recommended items to address in a clinical trial protocol and related documents.

**Abbreviations**

AA: auricular acupressure; Sham-AA: Sham auricular acupressure; RCT: Randomized controlled trial; HFS: Hot Flashes Score; QoL: Quality of Life; PSQI: Pittsburgh Sleep Quality Index; HAS: Hamilton Anxiety Scale; AEs: Adverse events; CRFs: Case report forms; CONSORT: Consolidated Standards of Reporting Trials; SPIRIT: Standard Protocol Items: Recommendations for Interventional Trials.

**Authors’ contributions**
JZ designed the trial and drafted the manuscript. RL assisted and prepared documents for ethics review. XL, LL coordinated and provided important suggestions. SW and ZC supervised the work with critical revision of the manuscript. JZ, ZW and SX obtained funding for supporting this trial. ZW and SX contributed to the conception and finalized the manuscript. All authors read and approved the final manuscript.

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Availability of data and materials
Ethics approval and consent to participate

This study protocol had been reviewed and approved by Institutional Ethics Committee review board (approval number: B2017-119-01) of Guangdong Provincial Hospital of Chinese Medicine (The Second Affiliated Hospital of Guangzhou University of Chinese Medicine). Any important modifications will be immediately communicated to the Institutional Ethics Committee and Data Monitoring Committee for amendments. The modifications will also be updated on the Chinese Clinical Trial Registry. Written informed consent will be obtained from all eligible participants before the allocation.

Consent for publication

Not applicable.

Conflict of interest

The authors declared that no conflict of interest exists.

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Figure Legends

Figure 1 Schematic flow chart of the study process.

Figure 2 Participant timeline with events schedule. AA: auricular acupressure; Sham-AA: Sham auricular acupressure; HFS: Hot Flashes Score; QoL: Quality of Life; PSQI: Pittsburgh Sleep Quality Index; HAS: Hamilton Anxiety Scale.

Figure 3 Auricular acupoints. Red circle indicates auricular acupoints used in experimental group receiving auricular acupressure (AA), while green circle regards auricular acupoints selected in control group undergoing sham-auricular acupressure (sham-AA).

Table 1 Locations of auricular acupoints used in the trial.
Figure 1

Schematic flow chart of the study process.
**Figure 2**

Participant timeline with events schedule. AA: auricular acupressure; Sham-AA: Sham auricular acupressure; HFS: Hot Flashes Score; QoL: Quality of Life; PSQI: Pittsburgh Sleep Quality Index; HAS: Hamilton Anxiety Scale.
Figure 3

Auricular acupoints. Red circle indicates auricular acupoints used in experimental group receiving auricular acupressure (AA), while green circle regards auricular acupoints selected in control group undergoing sham-auricular acupressure (sham-AA).

Supplementary Files
This is a list of supplementary files associated with this preprint. Click to download.

- SPIRIT2013Checklist.docx