Recrystallization and grain growth induced by ELMs-like transient heat loads in deformed tungsten samples

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Tungsten has been chosen as the main candidate for plasma facing components (PFCs) due to its superior properties under extreme operating conditions in future nuclear fusion reactors such as ITER. One of the serious issues for PFCs is the high heat load during transient events such as ELMs and disruption in the reactor. Recrystallization and grain size growth in PFC materials caused by transients are undesirable changes in the material, since the isotropic microstructure developed after recrystallization exhibits a higher ductile-to-brittle transition temperature which increases with the grain size, a lower thermal shock fatigue resistance, a lower mechanical strength, and an increased surface roughening. The current work was focused on careful determination of the threshold parameters for surface recrystallization, grain growth rate, and thermal shock fatigue resistance under ELM-like transient heat events. Transient heat loads were simulated using long pulse laser beams for two different grades of ultrafine-grained tungsten. It was observed that cold rolled tungsten demonstrated better power handling capabilities and higher thermal stress fatigue resistance compared to severely deformed tungsten. Higher recrystallization threshold, slower grain growth, and lower degree of surface roughening were observed in the cold rolled tungsten.
PFC was of the proposed solutions to mitigate radiation damage due to higher grain boundary area24. Recent research25,26 has investigated such effect. Moreover, ultrafine-grained tungsten was shown to have improved ductility and enhanced mechanical properties27. Such materials, however, have to be examined under high heat loads and temperature stresses relevant to reactor conditions.

In this work, we determine the threshold parameters for surface recrystallization, grain growth rate, and thermal shock fatigue resistance under ELM-like transient heat events simulated using long pulse laser beams for two different types of ultrafine-grained tungsten (severely deformed tungsten and cold rolled tungsten). In order to determine the threshold parameters, surface modification, and grain size evolution after recrystallization with increasing heat flux parameter, we conducted the power scan experiment with surface power density ranging from 0.49 GW/m² to 1.5 GW/m². Surface modification, grain size evolution, and thermal shock fatigue resistance under repetitive transient heat events were investigated in the pulse number experiment with the number of laser pulses increasing from 1 up to 1000 at the fixed power density.

Results
In order to investigate the material response to thermal loading using laser pulses, two different schemes of experiments were performed, viz. power scan and pulse number scan. In the power scan experiment the power density at the target surface is varied from 0.49 GW/m² to 1.5 GW/m² and the target is exposed to 100 pulses. Heat loads were expressed using heat load parameter $F = P/t_P$, which is directly proportional to the surface power load multiplied by square root of pulse duration. The corresponding changes in the heat flux are from 15.4 to 46.2 MJ/m²s^{1/2}. In the pulse number scan experiment, the heat flux parameter was fixed at 35.7 MJ/m²s^{1/2}, with the number of pulses varied from 1–1000.

Starting microstructures. The microstructure of the severely deformed tungsten by chip formation is summarized in Fig. 1. The TEM image, shown in Fig. 1(a) clearly shows the highly refined structure in this sample; grains as small as 50 nm can also be seen. The inset to Fig. 1(a) shows the diffraction pattern from the area in the image; the arc shaped patterns are indicative of the preferred crystallographic (texture) in the sample. The cross-sectional SEM images in Fig. 1(b) demonstrates that the grains in the severely deformed tungsten before laser-induced recrystallization were elongated and at an angle of around 45 degrees from the sample surface. The EBSD analysis of the sample surface is shown in Fig. 1(c). The microstructure is primarily comprised of low-angle (<15°) grain boundaries, as evident from the boundary misorientation plot. The pole figures also showed two partial texture fibers: $<111>$ fiber and $<110>$ fiber. These texture components arise as a result of simple shear deformation in machining.

Figure 2 summarizes the cold rolled sample microstructure. Elongated, pancaked microstructure can be seen in Figs. 2(a) and (b). The level of microstructure refinement in this sample is smaller than that seen in the severely deformed sample (Fig. 1). The microstructure in this case can be seen to consist of elongated grains, ~500 nm in width, which are further comprised of dislocation substructures within. The expected deformation strain imposed in these samples is lower compared to severely deformed samples. The diffraction pattern (shown in inset to Fig. 2(a)) shows the single-crystal like, spotted pattern, indicative of low misorientation angles between the grains and a strong texture. Grains in the cold rolled sample before laser irradiation were elongated and parallel to the sample

Figure 1 | Starting microstructure of severely deformed tungsten: (a) bright-field TEM image, (b) SEM image of the sample cross-section and (c) EBSD analysis (scan step size 0.2 μm).
As evident from the EBSD analysis (Fig. 2(c)), the microstructure indeed consisted of a large fraction (~0.4) of low-angle boundaries, similar to that observed in the severely deformed sample. The pole figures showed that the predominant texture component is the [001]<110> fiber. This fiber is commonly referred to as the α-fiber, and results due to the plane-strain compression deformation during the rolling process.

Recrystallization. From the SEM and EBSD observations of the laser spots on the samples surface, the recrystallization heat flux threshold was found to be 15.4 MJ/m²s⁰.⁵ for the severely deformed tungsten samples and 24.7 MJ/m²s⁰.⁵ for the cold rolled tungsten ones. Figure 3 shows the recrystallized microstructures of the severely deformed and cold rolled samples at these heat fluxes. As seen from the EBSD images, the microstructure transforms into equiaxed type, accompanied with increase in the fraction of high-angle grain boundaries. Numerical analysis based on the 1-D diffusion model described elsewhere was used to estimate the laser induced heating at the sample surface at different fluxes⁰. The calculated temperature for recrystallization was found to be around 1000 °C for the severely deformed tungsten and around 1550 °C for the cold rolled tungsten. The lower recrystallization threshold heat flux parameter for the severely deformed tungsten is most likely due to the higher rate of recovery caused by higher dislocation density and stored energy. Meyers and co-workers⁰ have shown that the dynamic recovery/recrystallization during high rate deformation occurs via dislocation rearrangement into cells. Further accumulation of dislocations at the cell boundary increases the misorientation angle, finally resulting in a recrystallized structure with high angle grain boundaries. It is likely a similar mechanism is responsible for the microstructural transformations observed here considering the thermal and pressure gradients due to the Gaussian nature of the laser shots and the corresponding generated stresses⁰. Beyond the threshold heat flux, the recrystallized grain size was found to increase with the power load, as well as the number of pulses.

Grain growth during power scan experiment. Figure 4 shows the grain size evolution with increasing power density. It was observed for both types of tungsten samples that the grain size decreases radially from the recrystallized center outward, following the thermal gradient. The difference in grain sizes becomes more noticeable at higher heat fluxes. The corresponding grain sizes in the center and the edge of the irradiation spot are shown in the figure. The dashed lines show the average grain size trend. As seen in the figure, the average grain size of the severely deformed tungsten sample is larger than that of the cold rolled sample. Moreover, the grain size for the severely deformed tungsten sample seems to level off at higher power fluxes when surface melting occurs, whereas the grain size of the cold rolled sample continues to rise steadily without any sign of melting. Surface melting and crack formation was observed in severely deformed tungsten at heat fluxes above F = 36.3 MJ/m²s⁰.⁵. Formation of the fairly large, roughly equiaxed, cellular grains during solidification indicates rapid cooling of the material typical for e-beam or laser welding⁰. The grain sizes of the re-solidified material in the center of the laser spot at the heat flux parameters above surface melting threshold for the severely deformed tungsten seems to depend less on the power load than the grain sizes in the recrystallized material. The size of the grains developed in the re-solidified tungsten is determined by the surface temperature, the cooling rate, nucleation rate and grain growth rate, base pressure, and material purity⁰. Surface melting and
micro-scale cracks formation observed in the severely deformed tungsten at high heat flux parameters can significantly affect the power distribution over the exposed area and likely affect the average grain size in these samples. For example, the grains outside the molten pool and the cracks remain small even under increasing heat flux because of increased energy absorption in the molten pool/cracked regions. The grain size trend of the severely deformed tungsten was similar to that of van Eden34 (shown in the figure). However, the average grain sizes reported by them were slightly higher. This likely due to the differences in the starting microstructure.

Overall, a much faster grain size growth is observed in the severely deformed tungsten for heat fluxes ranging between $F = 15.4 \, \text{MJ/m}^2\text{s}^{1/2}$ (recrystallization threshold) and $F = 36.3 \, \text{MJ/m}^2\text{s}^{1/2}$ (melting threshold). This highlights the trade-off between the strength and propensity for recrystallization/grain growth.

Grain size evolution under repeating heat load events. In order to investigate the thermal shock resistance of the samples against repetitive transient heat load events, the number of pulses was varied at a constant heat flux parameter $F = 35.7 \, \text{MJ/m}^2\text{s}^{1/2}$, slightly below the melting threshold for the severely deformed tungsten; thus, recrystallization would solely be responsible for the grain growth in both tungsten grades. It is estimated that PFC should sustain $10^6$–$10^7$ transients during its lifetime in ITER20. Moreover, some studies had shown that higher ELMs frequency could lead to a lower concentration of tungsten impurities in plasma5,35. Laser pulses at the frequency of 1 Hz were utilized in the current work.

The grain size evolution with the number of laser pulses for both types of tungsten samples is shown in Figure 5. Recrystallization after the first laser pulse was observed for both types of tungsten samples, indicating the extremely short (~millisecond) timescales of the thermally activated transformation processes34. As evident from the figure, the average grain size increases with the number of pulses, accompanied by increasing grain size gradient from the center of the recrystallized region to its edge. The grain size of the severely deformed tungsten is greater than that of the cold rolled sample up to 250 pulses, and then seems to level off at increased number of pulses. In contrast, cold rolled tungsten exhibited a continuous grain size growth (albeit with decreasing rate) with the number of laser pulses.

**Figure 3** | Recrystallized microstructure (IPF map) and grain boundary misorientation distribution for (a) severely deformed tungsten after 100 shots at heat flux $F = 15.4 \, \text{MJ/m}^2\text{s}^{1/2}$ (scan step size 0.05 $\mu$m) and (b) cold rolled tungsten after 100 shots at heat flux $F = 24.7 \, \text{MJ/m}^2\text{s}^{1/2}$ (scan step size 0.15 $\mu$m).
(up to 1000 pulses). These grain growth characteristics are similar to those observed under increasing heat fluxes. It should be noted that major crack formation was observed in the severely deformed tungsten after 250 pulses, and surface melting occurred after 750 pulses. After 250 pulses, cold rolled sample showed surface roughening, but no signs of major crack formation. No surface melting was observed in cold rolled samples even after 1000 laser pulses.

In summary, the propensity for grain growth with increasing power load or repeated pulse events is evidently more in the severely deformed samples compared to the cold rolled samples. Moreover, the cold rolled tungsten samples exhibited a low degree of surface roughening and no surface melting or crack formation, indicating its overall higher thermal shock fatigue resistance.

**Surface roughening.** Under large power loads or large number of pulse events, significant surface roughening of the recrystallized material has been observed in both the severely deformed and cold rolled samples. This is attributed to the repeated plastic deformation of the sample surface. Figures 6 and 7 show this phenomenon in the severely deformed and cold rolled tungsten, respectively. At 100 pulses exposure, appreciable changes in the surfaces morphology were first observed at \( F = 31.3 \text{ MJ/m}^2 \text{s}^{1/2} \). For the severely deformed tungsten samples, surface melting and cracking occurred when the heat flux parameter exceeded 36.3 MJ/m\(^2\)s\(^{1/2}\) with a smooth, fully recrystallized surface inside the molten (and solidified) pool and some roughness outside the pool (Fig. 6(b)). The surface roughness outside the molten area increased with
increasing power density (Fig. 6(c)). The roughness of the area affected by the laser beam for cold rolled tungsten samples increased constantly with the power density without producing surface melting or major cracks (Figure 7).

The effect of increasing the number of pulses at a fixed heat flux $F = 35.7 \text{ MJ/m}^2\text{s}^{-1/2}$, on surface morphology is shown in Figs. 8 and 9 for severely deformed and cold rolled tungsten, respectively. Surface morphology was altered to a higher degree with increasing number of pulses compared to increased power load. As evident from the figures, considerably faster surface roughening with the number of laser pulses was observed for the severely deformed tungsten compared to the cold rolled tungsten. Moreover, micro-scale cracks and surface melting occurred in the severely deformed tungsten samples at larger number of laser pulses. This observation again suggests the better power handling properties and thermal shock resistance of cold rolled samples compared to severely deformed samples.

Microstructural properties after recrystallization. Microstructural properties of tungsten, such as crystallographic orientation and grain boundary type, affect the mechanical behavior, surface properties, and radiation resistance of the material. From EBSD micrographs we observed that the misorientation angle distribution drastically changed after recrystallization for both the severely deformed tungsten and the cold rolled tungsten samples. The fraction of low angle grains in pristine samples was around 40–50% for both tungsten grades (Fig. 1(c) and Fig. 2 (c)). A random distribution of the crystallographic orientations was observed in the pristine the severely deformed sample (Fig. 2 (c)). Cold rolled tungsten had grains preferentially oriented in the $<001>$ direction (Fig. 1(c)). In the case of severely deformed tungsten, the crystallographic orientation of grains remained random after recrystallization as the power load increased in the power scan experiments and for any number of laser pulses in the pulse number experiment (Figs. 10 and 11). At the same time, for cold rolled tungsten, the $<001>$ direction became preferential again after the nucleation phase for higher power loads and number of pulses (Figs. 12 and 13). We attribute the preferential recrystallization texture developed in cold rolled tungsten to the selected growth of grains preferably oriented with respect to the matrix. Such grains grow faster, absorb other grains, and have a tendency to restore the initial texture of the matrix. The fraction of grains oriented in the $<001>$ direction increased with grain growth in both power scan and pulse number scan experiments.

Discussion

The recrystallization threshold for both tungsten grades was determined in the power scan experiment. Possible reasons for the lower threshold heat flux parameter for severely deformed tungsten are the differences in mechanical properties, defect density, stored energy (strain), and impurities concentration. For both types of samples, the Vickers hardness measurement was performed. The Vickers hardness of the severely deformed sample and the rolled sample were around $555 \text{ Kg/mm}^2$ ($5443 \text{ MPa}$) and $525 \text{ Kg/mm}^2$ ($5149 \text{ MPa}$) respectively, indicating higher hardness and therefore, yield strength (approximating the Vickers hardness to be three times the yield strength) for the former. As reported before, the effective strain in the severely deformed tungsten chips was around 2.1 measured by particle image velocimetry (PIV), thus, these chips have higher stored energy and can undergo recrystallization at lower temperatures than the rolled samples. Recently, El-Atwani et al., has reported that the recrystallization of these chips when irradiated with high flux He$^+$ at 1200°C. Energy dispersive spectroscopy (EDX) performed on both types of samples after ion beam (Gallium ions) cleaning (not shown here), indicated similar impurity levels ($\sim 99.5\%$ pure), eliminating the impurity level factor for the recrystallization threshold difference.

Grain growth was observed above the recrystallization threshold for both tungsten grades with an increase in the power load and the number of laser shots. Higher grain growth rate vs. power density was observed in severely deformed tungsten samples in the power scan experiment for the heat flux parameter ranging from $15.4 \text{ MJ/m}^2\text{s}^{-1/2}$ to $36.3 \text{ MJ/m}^2\text{s}^{-1/2}$ below melting threshold for the samples. In the pulse number scan experiment, larger grains were observed in the severely deformed tungsten samples up to 500 shots from which the cold rolled tungsten started to have larger grains. This is attributed to crack formation in the severely deformed tungsten samples after 250 shots before which the maximum grain size is observed (Fig. 5). Large cracks could alter the power distribution (absorbing energy during crack propagation) and affect grain size distribution. However, from the evolution of sample surface morphology in both experiments, we concluded that cold rolled tungsten demonstrated considerably better power handling capabilities and thermal shock fatigue resistance.

The superior characteristics of cold rolled tungsten were reattributed to the difference in macro- and micro- properties of the two tungsten grades, such as preferential crystallographic orientation...
restored in cold rolled tungsten after recrystallization and preferential special orientation of the grains parallel to the specimen surface in the pristine sample. The effect of special orientation of grains on the material behavior under transient heat events is well-studied\textsuperscript{21,36,43}. Moreover, it is known that strong texture inhibit grain growth\textsuperscript{44,45}. Assuming grain boundary migration is limited to grain boundary diffusion, grains of similar orientation (low-angle boundaries) would migrate slower than grains of large misorientation (large-angle grain boundaries). Figures 12 and 13 show strong texture of the recrystallized rolled samples explaining the slower grain growth than the severely deformed tungsten samples (Figs. 10 and 11).

Recrystallization texture could be explained by two theories: oriented growth and oriented nucleation\textsuperscript{46}. In oriented nucleation theory explained by Burgers\textsuperscript{47}, grains of certain orientation dictates texture which forms due to large number of crystals nucleated in preferred orientation. In growth oriented theory explained by Beck and Hu\textsuperscript{48}, the nucleated crystals could be random but the texture is dictated by large crystals formed due to selective growth rates. Figure 12 (a) shows the initial recrystallization process of the cold rolled sample. No large number of preferred oriented crystals is observed which could indicate that the preferred texture formed on the cold rolled samples follows oriented growth theory.

Moreover, preferential crystallographic orientation of the cold rolled tungsten grade after recrystallization was expected to affect the properties of the material. It is commonly known that materials with preferential crystallographic orientation or single crystals exhibit anisotropy in their mechanical, thermal, and other properties\textsuperscript{23}. Subhash et al.\textsuperscript{49} reported that $<001>$ single crystal tungsten exhibits higher ductility and flow stress compared to $<011>$ single crystal and fine-grained polycrystalline samples. Makhankov et al.\textsuperscript{37} compared different polycrystalline tungsten grades and single crystal tungsten ($<110>$ and $<111>$ directions) under fusion relevant

![Figure 8](image) | Surface morphology evolution with number of laser pulses for severely deformed tungsten: (a) 10 pulses, (b) 100 pulses, (c) 250 pulses, and (d) 750 pulses.

![Figure 9](image) | Surface morphology evolution with a number of laser pulses for cold rolled tungsten: (a) 1 pulse, (b) 250 pulses, (c) 500 pulses, and (d) 1000 pulses.

![Figure 10](image) | EBSD micrographs (IPF maps) and (001) pole figures of severely deformed tungsten samples from the center of the affected region after 100 shots at (a) F = 15.4 MJ/m$^2$s$^{1/2}$ (scan step size 0.05 $\mu$m), (b) 31.3 MJ/m$^2$s$^{1/2}$ (scan step size 1 $\mu$m), and (c) 46.2 MJ/m$^2$s$^{1/2}$ (scan step size 2.5 $\mu$m). Note different scale bars in the images.
power loads simulated with a pulsed electron beam. The strong dependence of the material resistance to high heat fluxes on the orientation of the tungsten grains was reported. According to the authors, no intense surface crack formation or severe surface damage was observed in the single crystal tungsten after disruption simulations and thermal fatigue loading. These superior properties of single crystal tungsten were credited to its higher ductility and absence of grain boundaries\textsuperscript{37}. The effect of preferential crystallographic orientation on power handling capability and thermal shock fatigue resistance under repetitive transient heat events of the cold rolled tungsten sample due to anisotropy of material properties requires further investigation.

**Methods**

**Materials.** Two starting ultrafine-grained microstructures of pure tungsten were considered for the study, because of their high-strength characteristics. Since the microstructures were comprised of highly elongated grains, the smallest distance between the grain boundaries was taken as the grain size. Herein, the samples having grain sizes in the 100–500 nm are defined ultrafine-grained (UFG), and those below 100 nm as nanocrystalline. Nanocrystalline samples were processed using severe plastic deformation (SPD) involved in chip formation in machining. The UFG...
severely deformed tungsten samples were processed using orthogonal machining process which is detailed elsewhere\textsuperscript{21,22}. The UFG samples, processed using cold rolling, were provided by Alfa Aesar. Samples were mechanically polished to a final roughness of $<1$ μm for the laser experiments.

**Experimental setup.** A long pulse neodymium-doped yttrium aluminium garnet (Nd:YAG) laser (GI-S Limonics) operating at 1064 nm and at 1 Hz repetition rate with a pulse width of 1 ± 0.1 ms was used to simulate the transient heat load events on the samples. All the experiments were performed at the base pressure $\sim 10^{-7}$ Torr in a high vacuum chamber. To provide the same experimental conditions for each shot during the power load scan experiments, as well as during the pulse number scan experiments, all or most of the shots were done on the same target mounted on an XY translational stage. All the experimental runs were carried out at room temperature. The laser beam was allowed to pass through a window of the chamber and focused perpendicularly on the sample surface using a focusing lens ($f = 40$ cm) with a spot diameter of $\sim 1$ mm.

**Materials characterization.** For analyzing the surface modification, microstructure and grain size high resolution scanning electron microscopy (SEM) was performed using a Hitachi S4800 FESEM. Cross-sectional imaging was performed using an FEI Nova 200 NanoLab Dual Beam Focused ion beam/scanning electron microscope (FIB/SEM). Transmission electron microscopy (TEM) characterization of the samples was performed using FEI-Tecnai 80/200 LaB6 TEM. The TEM samples were prepared using the twin-jet electropolishing technique with a 0.5% NaOH – water solution at RT.

**Figure 13** | EBSD micrographs and pole figures of cold rolled tungsten sample from the center of the affected region at $F = 35.7$ MJ/m$^2$\textsuperscript{2/3} after (a) 1 shot (scan step size 0.25 μm), (b) 100 shots (scan step size 0.54 μm), and (c) 250 shots (scan step size 1.6 μm). Note different scale bars in the images.
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### Acknowledgments
This work was supported by the National Science Foundation (NSF), PIRE project and the U.S. DOE, Office of Fusion Energy Sciences (OFES)

### Author contributions
The experimental set-up was designed by A.S., O.E., and A.H. The samples were prepared by A.S. and O.E. The experiments were conducted by A.S. Characterization of the samples was performed by A.S., O.E. and D.S. Data analysis was made by A.S. with consult from O.E. and S.H. All authors discussed the results. Manuscript was written by A.S. and O.E. with improvements from all authors.

### Additional information
Competing financial interests: The authors declare no competing financial interests.

How to cite this article: Suslova, A., El-Atwani, O., Sagapuram, D., Harilal, S.S. & Hassanein, A. Recrystallization and grain growth induced by ELMs-like transient heat loads in deformed tungsten samples. *Sci. Rep.* 4, 6845; DOI:10.1038/srep06845 (2014).

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