INTRODUCTION

KwaZulu-Natal (KZN), South Africa, lies in the subtropics, next to an anticyclone known as the Mascarene High. Humid air over the warm Mozambique Channel spills westward onto the KZN coast, maintaining a balance between precipitation and evaporation (Tyson and Preston-Whyte, 2000). Orographic uplift by the east-facing escarpment generates ~14 km$^3$ of runoff (Nel, 2009); yet demand for water resources by 12 million people is outpacing supply (Stats SA, 2022). This work is motivated by the need for guidance on the sustainable exploitation of climate-sensitive resources.

Natural climate change can be traced to astronomical orbits and collision events, geological drift, fluctuations of solar output, volcanic eruptions, and bio-chemical evolution (IPCC, 2021). The redistribution of heat and momentum between the ocean and atmosphere, and the accumulation of anthropogenic trace gases and particulates, contribute to multi-year fluctuations of the water budget and long-term warming, respectively. The subtropical climate of South Africa is modulated by the seasonal advance and retreat of tropical moisture, pulsed by transient Rossby waves and alternating subtropical easterly / mid-latitude westerly airflow (Mason and Jury, 1997; Usman and Reason, 2004; Jury, 2012; Howard et al., 2019). During KZN dry spells, troughs intensify near Madagascar in response to a warmer Indian Ocean, Pacific El Niño and expanded circumpolar westerlies (Jury, 1992; Cook, 2000; Dube and Jury, 2000; Rouault and Richard, 2005; Ratnam et al., 2014; Malherbe et al., 2016).

Although many of South Africa’s natural resources are climate-sensitive (Lyon, 2009; Barimalala et al., 2020; Mbokodo et al., 2020) KZN’s humid subtropical climate is more resilient and produces sugarcane (6 Mt yr$^{-1}$), maize (1 Mt yr$^{-1}$), fruit, livestock and forestry, which contributes ~3 billion USD yr$^{-1}$ (Mbhamali and Jury, 2021; Stats SA, 2022) and hosts nature reserves and scenic beaches whose tourism value exceeds 5 billion USD yr$^{-1}$. Hitayezu et al. (2014) review climate change impacts on crop yields in KZN and conclude that warming trends could limit production when accompanied by soil erosion. Prior work using the CMIP5 multi-model ensemble (Jury, 2018) found an upward trend in rainfall over KZN; however, recent analyses suggest a reversal of fortune (Ndlovu and Demlie, 2020).

The research will quantify some of the drivers of climatic trends over KZN in the period 1950–2100, using modern datasets, monthly spatial and temporal statistics, coupled climate model projections, and daily weather reanalyses. Scientific questions include:

- Where in KZN are the trends greatest?
- Which climatic elements show largest change?
- Are multi-year fluctuations below long-term change?
- Are historical and projected trends aligned?
- Are the trends 1st or 2nd order?
- Do model projections achieve consensus?
- What are the drivers of climate change in KZN?

The final question requires analysis of regional circulation trends to establish causal mechanisms – leading to novel outcomes that could guide climate change adaptation. Our key purpose is to understand why the drying trend did not emerge in earlier work.

DATA AND METHODS

Changes in rainfall, air temperature, potential evaporation, vegetation, cloudiness, geopotential height, and low-level winds have been analysed over KwaZulu-Natal. The geographical focus is 25–32.5°S,
28–34.5°E, encompassing an index area 27–30.5°S, 29–32°E excluding sea (Fig. 1a). KZN slopes south-eastward toward the Indian Ocean from western highlands that reach 3 000 m. Deep river valleys feed into a broad coastal plain, intersected on 32°E by the Lubombo Rift. The harbour city of Durban, with a population of 4 million, is centrally positioned for transport and industry.

The period of historical analysis for most datasets is 1950–2020, but satellite-interpolated products are limited to 1981–2020: CHIRPS rainfall (Funk et al., 2014), EUMETSAT cloudiness (Karlsson et al., 2020), MODIS land surface temperature (Wan, 2014), and NASA vegetation (Pinzon and Tucker, 2014). Most of the climate variables have been derived from ERA5 reanalysis (Hersbach et al., 2020), except for rainfall which is averaged from CHIRPS, CRU4 (Harris et al., 2014), ERA5, and GPCC8 (Schneider et al., 2018). Projected rainfall and potential evaporation (1950–2100) derive from the CNRM- and HAD3-coupled general circulation models (Voldoire et al., 2019; Andrews et al., 2020) using the SSP3-7.0 scenario (Gidden et al., 2019) employed for the CMIP6 assessment. The individual models retain inter-annual variability which a multi-model ensemble tends to suppress (Von Trentini et al., 2020; Vaittinad et al., 2021). For instance, the standard deviation of KZN rainfall for an ensemble of all CMIP6 models is ~ 0.1 mm·day−1 whereas the individual models exhibit ~ 0.5 mm·day−1. Acronyms and datasets are described in Table 1.

Table 1. Datasets employed (sources listed in acknowledgements). Satellite era products denoted by * (1981–2020), other observational products cover the period 1950–2020, models evaluated over 1950-2100. Most datasets are monthly; some are daily.

| ACRONYM | NAME (parameter) | RESOLUTION |
|---------|------------------|------------|
| CHIRPS | Climate Hazards InfraRed Precipitation w/Stations (rainfall) | 25 km * |
| CMIP6 | Coupled Model Intercomparison Project v6 for IPCC AR6 | ~100 km |
| CNRM | Centre National Rech. Meteo. HR model v6 for IPCC AR6 (rainfall, potential evaporation) | 50 km |
| CRU4 | Climate Research Unit of UEA v4 gauge (rainfall) | 50 km |
| ERA5 | European Community Reanalysis v5 climate variables | 25 km |
| EUMETSAT | Meteosat interpolated VIS+IR radiance (cloudiness) | 25 km * |
| GPCC8 | Global Precipitation Climatology Centre v8 gauge (rainfall) | 25 km |
| HAD3 | Hadley earth system MM model v3 for IPCC AR6 (rainfall, potential evaporation) | 100 km |
| MODIS | Moderate Imaging Spectrometer v6 infrared (land surface temperature) | 5 km * |
| NASA | National Aeronautics and Space Administration v3 (vegetation) | 10 km * |
| NCEP | National Center for Environmental Prediction v1 reanalysis | 180 km |
| TUGELA | River discharge estimated from the South African Department of Water and Sanitation gauge | 1 km |
KZN area monthly records were used to calculate the mean annual cycle for selected 20-yr eras from the mid-20th to late 21st century. The continuous time series were smoothed by polynomial filter to eliminate fluctuations < 18 months and analysed for trend slope and regression fit over historical (1950–2020) and projected periods (1950–2100). The historical filtered time series have ~47 degrees of freedom, requiring $r^2 > 0.27$ for 95% confidence. The $r^2$ fit quantifies the relative importance of long-term drift around the inter-annual fluctuations and identifies statistical significance in a straightforward way. Historical trends were extrapolated into the 21st century for comparison with CNRM and HAD3 model projections. KZN rainfall time series 1950–2020 were spatially regressed onto annual fields of 700 hPa wind and specific humidity to identify inter-annual controls in the wider region 12–42°S, 12–52°E. Spectral periods were calculated in the filtered rainfall time series, to determine the amplitude, rhythm, and persistence of oscillations.

Spatial maps of linear trends were calculated at regional scale via NCEP reanalysis (Kalnay et al., 1996), 850–700 hPa winds and specific humidity 1950–2020. Similarly, linear trend maps were calculated at local scale for cloudiness, rainfall, potential evaporation, and vegetation to determine the pattern and impact of climate change. Although monthly data have been used in most analyses, daily values of CHIRPS rainfall, ERA5 dewpoint temperature (Td) and 925 hPa zonal winds (U) were averaged over KZN to form time series 1981–2020. A scatterplot analysis of daily Td and U wind was used to link the local circulation to moisture fluctuations. Hydrological responses were quantified by the Tugela River discharge at 28.74°S, 30.66°E, elevation 444 m in the period 1950–2020, representing a catchment ~40 000 km².

Figure A1 (Appendix) compares maps of mean rainfall for gauge-satellite and model-simulated datasets. Figure A2 (Appendix) analyses the Gaussian distribution of smoothed rainfall and air temperature histograms, to justify using Pearson’s $r$-squared as an indicator of explained variance for linear trends. Figure A3 (Appendix) illustrates scatterplots of station and model monthly rainfall and air temperature data, as a quality check for bias and coherence. Figure A4 (Appendix) considers running variance, trends per month, and river discharge.

RESULTS

KZN climate and data density

The map of linear trends in ERA5 surface air temperature 1950–2020 (Fig. 1b) shows rapid warming over the coastal plains and Tugela Valley (+0.025°C yr⁻¹) whereas weaker trends (+0.01°C yr⁻¹) are evident over the ocean. The gauge network and ship reports are well distributed and frequent (Fig. 1c), lending support to products based on data assimilation and model interpolation. The mean annual cycle of KZN rainfall over 20-yr eras is compared for observed and simulated datasets (Fig. 1d; designated by the middle year, eg. 1960 = 1951–1970). Rainfall has declined ~ 7% evenly across the annual cycle from 1960 to 2010, comprised of maxima and minima of ~4.4 mm·day⁻¹ (Jan) and ~0.5 mm·day⁻¹ (Jul). The CNRM model follows observations closely, whereas the HAD3 model crests earlier (Nov–Jan) and exceeds 5 mm·day⁻¹ by 2090. The CNRM projected mean annual cycle reflects drying from 2010 to 2090, particularly in late summer (Feb–Apr).

Rainfall trends and variability

The inter-annual filtered KZN rainfall time series (Fig. 2a) fluctuates between 2 and 3 mm·day⁻¹ and reveals a decline in multi-year wet spells since 2001. The linear trend, extrapolated from the historical record, declines from 2.6 to 2.1 mm·day⁻¹ by the end of the 21st century, but the $r^2$ variance is only 0.04. The same variance emerges from the linear trend in CNRM/HAD3 rainfall projections over the 1950–2100 period; far below statistical significance. Tests with 1st and 2nd order trends reveal that linear regression achieves a better fit to KZN-area time series.

The 10-yr running standard deviation of inter-annual filtered rainfall stays in the range 0.3–0.7 mm·day⁻¹ (Fig. A4, Appendix), indicating little change in the amplitude of multi-year wet/dry oscillations. CNRM-projected KZN rainfall declines to 2.3 mm·day⁻¹ by 2100, whereas the HAD3 output shows little change, due to a shift in rainfall from Feb–Apr to Nov–Jan (cf. Fig. 1d). Spectral analysis (Fig. 2b) identifies oscillations of 2–3 yr and 10–12 yr across the 21st century, which derive from the Indian Ocean Dipole, decadal component of the Pacific El Niño Southern Oscillation and the Southern Annular Mode (Jury, 2015; Morioka et al., 2015).

Spatial maps of linear trends in gauge interpolated rainfall are given in Fig. 2c, d. Both products show downward trends ~0.01 mm·day⁻¹: the drying in GPCC8 spreads inland 1950–2020 while CHIRPS is confined to the eastern coastal plains 1981–2020, similar to Ndlovu and Demlie (2020, their Fig. 6). Considering linear trends per month, Jan, Feb and May exhibit declines in KZN-area rainfall over the 70-yr historical record (Fig. A4).

Regional controls, daily features

By regressing the observed KZN rainfall time series (Fig. 2a) onto fields of 700 hPa specific humidity and wind, a map is generated that illustrates the drivers of moist convection (Fig. 3a). This will be useful for comparison with linear trends over the period 1950–2020. A low over the Zambezi (17°S, 23°E) and a high near Madagascar (27°S, 48°E) form a 700 hPa circulation pair that draws tropical air southward over Mozambique. The poleward flow undergoes gradual rising motion due to increasing Coriolis, entraining moisture toward southern Africa at the expense of northern Madagascar. The tropical airflow penetrates into the mid-latitude westerlies and sustains wet spells to KZN.

A scatterplot analysis of daily zonal winds and dewpoint temperature reveals how the airflow modulates moisture (Fig. 3b). Surface easterly flow from the warm Mozambique Channel rises upslope and lifts KZN dewpoint temperature above 15°C during summer wet spells. Conversely, in winter dry spells, surface westerly flow comes from the Kalahari Desert, descends the escarpment, and warms by compression. Dewpoint temperature changes >25°C relate to zonal wind alternations < 9 m·s⁻¹. Ndara et al. (2020) suggests that shallow convection associated with rising high pressure cells may decline under global warming, a topic for further study.

Local trends in vegetation, clouds, evaporation, discharge

Local trend maps over the satellite era are analysed in Fig. 4a, b. Despite the tendency for drying, the vegetation colour fraction exhibits greening +0.01 yr⁻¹ over the coastal plains 1981–2020, reaching +0.03 yr⁻¹ near Port St Johns (31.5°S, 29°E). This feature may derive from photosynthetic response to rising CO₂ (Zhu et al., 2016) and to increased irrigation of sugarcane. The east coast of KZN and urban sprawl around harbour cities show downward trends of vegetation colour fraction (cf. Lawal et al., 2019). Linear trends in satellite cloud fraction (Fig. 4b) show diminishing cover over the escarpment (~0.2% yr⁻¹), in contrast with marine zones and the Agulhas Current (~0.2% yr⁻¹). Declining cloudiness over the Lesotho highlands could impact river discharge and water resources in KZN (Taylor et al., 2016).

Maximum land surface temperatures over the 2001–2020 period are illustrated in Fig. 4c using MODIS IR data. These reveal two main zones >50°C: the Tugela Valley and Lubombo Rift located 100 km inland. Such hot dry weather coincides with descending westerlies (berg winds) in late spring (Sep–Nov), leading to potential evaporation > 10 mm·day⁻¹ and soil moisture deficits (Jury, 2021).
Figure 2. (a) Observed and projected smoothed KZN-area rainfall time series and linear trends, (b) wavelet spectra of projected KZN rainfall with blue to red colours having 95% to 99% confidence; cone of validity on edges. Map of linear trend in: (c) GPCC8 rainfall 1950–2020, (d) CHIRPS rainfall 1981–2020; both use same scale (mm·day⁻¹·yr⁻¹). r-squared variance listed in (a) applies to all three time series; rainfall trends are insignificant.

Figure 3. (a) Regression of observed KZN rainfall time series (Fig. 2a) onto 700 hPa specific humidity (shaded g·kg⁻¹) and wind (vector m·s⁻¹) 1950–2020; (b) scatterplot regression of daily KZN dewpoint temperature vs 925 hPa zonal wind
Figure 4. Map of linear trends 1981–2020 in: (a) NASA vegetation fraction (NDVI) with elevation contours, and (b) EUMETSAT cloud cover (%). (c) Map of MODIS maximum daytime land surface temperature in the period 2001–2020.

Trends in ERA5 potential evaporation are mapped in Fig. 5a and display positive values +0.005 mm·day⁻¹·yr⁻¹ in the Tugela Valley and its northern tributaries. Evaporative losses derive from increased net solar radiation / reduced cloudiness (cf. Fig. 4b) and sensible heat flux, which extract soil moisture and put stress on water resources. The discharge of the lower Tugela River (Fig. A4) has declined –0.7 m³·s⁻¹·yr⁻¹ 1950–2020 (cf. Kusangaya et al., 2014) but its linear trend accounts for only $r^2$ ~0.05 variance due to large inter-annual oscillations. Reductions of discharge tend to occur in Sep–Nov; whereas trends in other seasons are flat.

Changes in the annual cycle of potential evaporation are evident (Fig. 5b) in Sep–Dec months. Values have increased from 4.0 to 4.4 mm·day⁻¹ from 1981–2000 to 2001–2020. Potential evaporation is projected to rise from –3.6 to 4.3 mm·day⁻¹ by 2100 (Fig. 5c). The CNRM and HAD3 model-simulated potential evaporation also rises +0.01 mm·day⁻¹·yr⁻¹, and both historical and projected linear trends are significant ($r^2 > 0.41$), indicating that long-term change exceeds short-term variability. Thus climate change is leading to water deficits, as higher surface temperatures (cf. Fig. 4c) accelerate losses.

Regional trends in circulation

To better describe atmospheric drivers of climate change, regional trend maps and sections of specific humidity and winds 1950–2020 are studied in Fig. 6a–c. The low-level airflow has become more easterly in the mid-latitudes, due to the retreat of circumpolar westerlies / advance of the Mascarene High (42°S, 45°E). In the tropical zone there is a trend of low-level westerlies from Angola, across the Zambezi, toward a low pressure cell near Madagascar (27°S, 43°E). The low–high pair to the east of KZN (Fig. 6a) causes a diffluent airflow and desiccation. Although the Angola outflow has moistened over time, it is directed into NW cloud bands over Madagascar. The height sections illustrate a retreating jet-stream (easterly trend) above 400 hPa linked to rising motion from 42–52°E. Although moistening is evident over the Mozambique Channel (Fig. 6b, 35–52°E) it does not penetrate into KZN (Fig. 6c) due to subsidence (700–500 hPa, 27–32°S, 22–32°E). These novel results identify how the regional circulation brings climate change to KZN.

Long-term trends may derive from the marine environment, which is studied in Fig. 7a, b. Sea surface temperatures (SST) exhibit a warming trend +0.02°C·yr⁻¹ in the Agulhas Current source and outflow regions, whereas off KZN the SST trends are weaker 1950–2020 (Fig. 7a). The net surface heat flux exhibits a trend of +1 W·m⁻²·yr⁻¹ along the northern flank of the Mascarene High (Fig. 7b) in the Mozambique Channel. On the other hand, changes in heat flux over KZN are relatively weak. It is speculated that stronger land–sea temperature contrasts could intensify the pressure gradient, another topic for further study.

Trends in the upper level circulation are illustrated in Fig. 7c. The retreating jet-stream is evident in the latitude band 27–42°S. Mid-latitude easterlies turn northward in the Mozambique Channel to join a westerly trend across the tropics. Over the South Atlantic there is a trend of increasing 2–7 day variance in 200 hPa geopotential height, indicative of growing Rossby wave amplitudes over the warming Agulhas outflow (off Cape Town). This feature diminishes near KZN, typical of a storm ‘graveyard’ that could inhibit rainfall.
Figure 5. Potential evaporation: (a) map of linear trend 1950–2020 (mm·day⁻¹·yr⁻¹), (b) ERA5 mean annual cycle in two periods and upper quintile, and (c) time series of smoothed KZN area trends in ERA5 (obs.), CNRM, HAD3 potential evaporation with slope and fit.

Figure 6. Linear trends in specific humidity (shaded g·kg⁻¹·yr⁻¹) and wind (vector m·s⁻¹·yr⁻¹), (a) in map format averaged 850–700 hPa, and as height section: (b) E–W avg 27–30.5°S, (c) N–S avg 29–32°E over KZN. Topographic profile given, vertical motion exaggerated in (b), (c).
CONCLUSIONS

The climate of KwaZulu-Natal, South Africa, has been evaluated for historical and projected trends in the period 1950–2100. A variety of modern observed, satellite, reanalysis, and model datasets have been employed, underpinned by sophisticated numerical data assimilation at fine spatio-temporal resolution (cf. Table 1). Although most of the outcomes from reanalysis and model projections coincide with historical observations and satellite measurements, climate change evaluations should be considered an ongoing scientific endeavour in need of regular updating. Here the statistical extraction of slope and $r^2$ variance in KZN area-averaged time series and in regional linear trend maps for selected parameter fields over the period 1950–2100 quantified the significance: low for rainfall; high for potential evaporation. Tests with 1st and 2nd order regression fit for KZN filtered time series found that linear trends covered more variance. The various datasets and models yielded coherent outcomes, suggesting that differences are minor, and enable interpretations to guide climate change adaptation.

A linear drying trend has spread from the Tugela Valley (cf. Fig. 5a), characterized by declining wet spells (cf. Fig. 2a) and river discharge, and rising potential evaporation (cf. Fig. 5c). Although coastal vegetation is greening and sea temperatures in the Agulhas Current are warming (+0.02°C·yr$^{-1}$), cloud cover is declining over KZN (cf. Fig. 4b). Tropical winds across southern Africa have gradually turned toward Madagascar in recent decades (cf. Fig. 6a), providing an answer to the motivating question: why is there a drying trend? Heat fluxes over the southern Mozambique Channel (Fig. 7b) are entrained in a vortex over Madagascar, inhibiting convection over KZN (cf. Fig. 6a). Long-range coupled model projections of monthly rainfall display weak trends over the 21st century (~0.01 mm·day$^{-1}$·yr$^{-1}$) that are overshadowed by multi-year fluctuations ($r^2 = 0.04$). In contrast, drying trends in potential evaporation are significant ($r^2 = 0.41$).

Given that extrapolated and simulated trends show consensus, climate change impacts in KZN could be mitigated by prediction of multi-year dry spells. Further studies will consider how the changing circulation will alter the moisture supply to KZN. If a semi-arid climate spreads over the interior (Jury 2021), natural resources over the south-eastern coastal plains may need greater exploitation to keep pace with South Africa’s growing demand.

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Figure 7. Linear trends in: (a) SST (°C/yr) with mean upper ocean currents (max vector 0.7 m/s) and place names, (b) net heat flux (W·m$^{-2}$·yr$^{-1}$), and (c) 2–7 day variance of upper geopotential height (shaded m) and upper winds (vector m·s$^{-1}$·yr$^{-1}$) at 200 hPa, all for the period 1950–2020.
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APPENDIX

Figure A1. Intercomparison of mean rainfall for (left to right) CHIRPS reanalysis, CNRM and HAD3 model simulations. All use same scale (mm·day$^{-1}$) and period 1981–2020.

Figure A2. Histogram of smoothed KZN rainfall and gaussian distribution having skewness 0.63 mm, using data from Fig. 2a; (lower) histogram of smoothed KZN surface air temperature and Gaussian distribution having skewness 0.10°C, $N = 492$, 1980–2020.
Figure A3. Scatterplot comparison of gauge and model rainfall datasets; (lower) scatterplot of station and model surface air temperature; both unfiltered KZN-area time series N = 492, 1980–2020. Mean elevation of the study area is ~1 200 m.

Figure A4. (a) 10-year running standard deviation of filtered KZN observed and CNRM projected rainfall, quantifying the amplitude of multi-year variance (from Fig. 2a). (b) Linear trends in observed KZN rainfall per month, indicating greatest drying trends in Jan–Feb and May (mm·day⁻¹·yr⁻¹) red line; 95% confidence is delineated by green lines. (c) Discharge of the lower Tugela River in monthly and inter-annual format; gauge shown in Fig. 1a.