Disentangling ASR and MT Errors in Speech Translation

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Abstract

The main aim of this paper is to investigate automatic quality assessment for spoken language translation (SLT). More precisely, we investigate SLT errors that can be due to transcription (ASR) or to translation (MT) modules. This paper investigates automatic detection of SLT errors using a single classifier based on joint ASR and MT features. We evaluate both 2-class (good/bad) and 3-class (good/bad$_{ASR}$/bad$_{MT}$) labeling tasks. The 3-class problem necessitates to disentangle ASR and MT errors in the speech translation output and we propose two label extraction methods for this non trivial step. This enables - as a by-product - qualitative analysis on the SLT errors and their origin (are they due to transcription or to translation step?) on our large in-house corpus for French-to-English speech translation.

Index Terms: Spoken Language Translation, Automatic Speech Recognition, Confidence Estimation, Quality Estimation, ASR and MT errors detection.

1 Introduction

This paper addresses a relatively new quality assessment task: error detection in spoken language translation (SLT) using both automatic speech recognition (ASR) features and machine translation (MT) features. To our knowledge, the first attempts to design error detection for speech translation, using both ASR and MT features, are our own work (Besacier et al., 2014, 2015) which is further extended in this paper submission.

Contributions (1) This paper extends previous work (Besacier et al., 2014, 2015) in 2-class (good/bad) error detection in SLT using a single classifier based on joint ASR and MT features (2) in order to disentangle ASR and MT errors in SLT, we extend error detection to a 3-class problem (good/bad$_{ASR}$/bad$_{MT}$) where we try to find the source of the SLT errors (3) two methods are compared for setting such 3-class labels on our corpus and a first attempt to automatically detect errors and their origin in a SLT output is presented at the end of this paper.

Outline The outline of this paper goes simply as follows: Section 2 formalizes error detection in SLT and presents our experimental setup. Section 3 proposes two methods to disentangle ASR and MT errors in SLT output and presents statistics on a large French-English corpus. Section 4 presents our 2-class and 3-class error detection results while section 5 concludes this work and gives some perspectives.
2 Automatic Error Detection in Speech Translation

2.1 Formalization

A quality estimation (or error detection) component in speech translation solves the equation:

\[ \hat{q} = \underset{q}{\arg\max} \{ p_{\text{SLT}}(q|x_f, f, \hat{e}) \} \] (1)

where \( x_f \) is the given signal in the source language; \( \hat{e} = (e_1, e_2, ..., e_N) \) is the most probable target language sequence from the spoken language translation (SLT) process; \( f = (f_1, f_2, ..., f_M) \) is the transcription of \( x_f \); \( q = (q_1, q_2, ..., q_N) \) is a sequence of error labels on the target language and \( q_i \in \{ \text{good, bad} \} \). This is a sequence labeling task that can be solved with several machine learning techniques such as Conditional Random Fields (CRF) (Lafferty et al., 2001). However, for that, we need a large amount of training data for which a quadruplet \((x_f, f, e, q)\) is available.

As it is much easier to obtain data containing either the triplet \((x_f, f, q)\) (ASR output + manual references and error labels inferred from WER) or the triplet \((f, e, q)\) (MT output + manual post-editions and error labels inferred using tools such as TERp-A (Snover et al., 2008)) we can also recast error detection with the following equation:

\[ \hat{q} = \underset{q}{\arg\max} \{ p_{\text{ASR}}(q|x_f, f)^\alpha \ast p_{\text{MT}}(q|e, f)^{1-\alpha} \} \] (2)

where \( \alpha \) is a weight giving more or less importance to error detector on transcription compared to error detector on translation.

2.2 Dataset, ASR and MT Modules

2.2.1 Dataset

In this paper, we use our in-house corpus made available on a github repository for reproducibility. The dev set and tst set of this corpus were recorded by french native speakers. Each sentence was uttered by 3 speakers, leading to 2643 and 4050 speech recordings for dev set and tst set, respectively. For each speech utterance, a quintuplet containing: ASR output \((f_{hyp})\), verbatim transcript \((f_{ref})\), text translation output \((e_{hyp})\), speech translation output \((e_{hyp,slt})\) and post-edit of translation \((e_{ref})\) is available. The total length of the union of dev and tst is 16h52 (42 speakers - 5h51 for dev and 11h01 for tst).

2.2.2 ASR Systems

To obtain the speech transcripts \((f_{hyp})\), we built a French ASR system based on KALDI toolkit (Povey et al., 2011). Acoustic models are trained using several corpora (ESTER, REPÈRE, ETAPE and BREF120) representing more than 600 hours of french transcribed speech. We use two 3-gram language models trained on French ESTER corpus (Galliano et al., 2006) as well as on French Gigaword (vocabulary size are respectively 62k and 95k). ASR systems LM weight parameters are tuned through WER on dev corpus. Table 1 presents the performances obtained by both ASR systems.

2.2.3 SMT System

We used moses phrase-based translation toolkit (Koehn et al., 2007) to translate French ASR into English \((e_{hyp})\). This medium-size system was trained using a subset of data provi-

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1. written simply \( e \) for convenience in any other equations
2. at this point \( q_i \) takes two values (G/B) but will evolve to 3 labels later on in section 3
3. https://github.com/besacier/WCE-SLT-LIG/
ded for IWSLT 2012 evaluation (Federico et al., 2012): Europarl, Ted and News-Commentary corpora. The total amount is about 60M words. We used an adapted target language model trained on specific data (News Crawled corpora) similar to our evaluation corpus (see (Potet et al., 2010)).

2.3 Obtaining Error Labels for SLT

After building an ASR system, we have a new element of our desired quintuplet: the ASR output \( f_{hyp} \). It is the noisy version of our already available verbatim transcripts called \( f_{ref} \). This ASR output \( f_{hyp} \) is then translated by the SMT system (Potet et al., 2010) already mentioned in subsection 2.2.3. This new output translation is called \( e_{hyp} \) and it is a degraded version of \( e_{hyp_{mt}} \) (translation of \( f_{ref} \)). To infer the quality (G, B) labels of our speech translation output \( e_{hyp} \), we use TERp-A toolkit (Snover et al., 2008) between \( e_{hyp} \) and \( e_{ref} \) (more details can be found in our former paper (Besacier et al., 2015)). Table 1 summarizes baseline ASR, MT and SLT performances obtained on our corpora, as well as the distribution of good (G) and bad (B) labels inferred for both tasks. Logically, the percentage of (B) labels increases from MT to SLT task in the same conditions and it decreases when ASR system improves.

| Task       | ASR (WER) | MT (BLEU) | % G (good) | % B (bad) |
|------------|-----------|-----------|------------|-----------|
|            | dev set   | tst set   | dev set    | tst set   | dev set  | tst set |
| MT         | 49.13%    | 57.87%    | 76.93%     | 81.58%    | 23.07%   | 18.42%  |
| SLT (ASR1) | 21.86%    | 17.37%    | 26.73%     | 36.21%    | 62.03%   | 70.59%  |
| SLT (ASR2) | 16.90%    | 12.50%    | 28.89%     | 38.97%    | 63.87%   | 72.61%  |

Table 1. ASR, MT and SLT performances on our dev set and tst set.

3 Disentangling ASR and MT Errors

In previous section, we only extract good/bad labels from the SLT output while it might be interesting to move from a 2-class problem to a 3-class problem in order to label our SLT hypotheses with one of the 3 following labels: good (G), asr-error (B_ASR) and mt-error (B_MT).

Before training automatic systems for error detection, we need to set such 3-class labels on our dev and test corpora. For that, we propose, in the next sub-sections, two slightly different methods to extract them. The first one is based on word alignments between SLT and MT and the second one is based on a simpler SLT-MT error subtraction.

3.1 Method 1 - Word Alignments between MT and SLT

In machine translation, fertility of a source word designs to how many output words it translates. If we transpose this definition to our disentangling problem, then fertility of an MT error designs how many erroneous words - in the SLT output - it is aligned to. From this simple definition, we derive our first way (Method 1) to generate 3-class annotations.

Let \( e_{slt} = (e_1, e_2, \ldots, e_n) \): the set of SLT hypotheses \( e_{hyp_{slt}} \); \( e_{k_i} \) denotes the \( j \)th word in the sentence \( e_{k_i} \), where \( 1 \leq k \leq n \).

Let \( e_{mt} = (e'_1, e'_2, \ldots, e'_n) \): the set of MT hypotheses \( e_{hyp_{mt}} \); \( e'_{k_i} \) denotes the \( i \)th word in the sentence \( e'_{k_i} \), where \( 1 \leq k \leq n \).

Let \( L = (l_1, l_2, \ldots, l_n) \): the set of the word alignments from sentences in \( e_{hyp_{mt}} \) to related sentences in \( e_{hyp_{slt}} \), where \( l_k \) contains the word alignments from sentence \( e_k \) to relevant sentence \( e'_{k_i} \), \( 1 \leq k \leq n \); \( (e_k, e'_{k_i}) = True \), if there is one word alignment between \( e_k \) and \( e'_{k_i} \); \( (e_k, e'_{k_i}) = False \), otherwise.

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Our algorithm for Method 1 is defined as Algorithm 1. This method relies on word alignments and uses MT labels. We also propose a simpler method in the next section.

**Algorithm 1** Method 1 - Using word alignments between MT and SLT

\[
\text{list_labels_result} \leftarrow \text{empty_list} \\
\text{for each sentence } e_k \in \hat{e}_{\text{slt}} \text{ do} \\
\quad \text{list_labels_sent} \leftarrow \text{empty_list} \\
\quad \text{for } j \leftarrow 1 \text{ to } \text{NumberOfWords}(e_k) \text{ do} \\
\quad \quad \text{if } \text{label}(e_k_j) = \text{'G'} \text{ then} \\
\quad \quad \quad \text{add 'G' to list_labels_sent} \\
\quad \quad \text{else if } \text{Existed Word Alignment} (e_k_j, e'_k_i) \text{ and label}(e'_k_i) = \text{'B'} \text{ then} \\
\quad \quad \quad \text{add 'B_MT' to list_labels_sent} \\
\quad \quad \text{else} \\
\quad \quad \quad \text{add 'B_ASR' to list_labels_sent} \\
\quad \text{end if} \\
\text{end for} \\
\text{add list_labels_sent to list_labels_result} \\
\text{end for} \\
\]

3.2 Method 2 - Subtraction between SLT and MT Errors

Our second way to extract 3-class labels (Method 2) focuses on the differences between SLT hypothesis \((e_{\text{hyp}_{\text{slt}}})\) and MT hypothesis \((e_{\text{hyp}_{\text{mt}}})\). We call it subtraction between SLT and MT errors because we simply consider that errors present in SLT and not present in MT are due to ASR. This method has a main difference with the previous one: it does not rely on the extracted labels for MT.

Our intuition is that the number of \(\text{mt-error}\)s estimated will be slightly lower than for Method 1 since we first estimate the number of \(\text{asr-error}\)s and the rest is considered - by default - as \(\text{mt-error}\)s.

With the same notations of Method 1, but highlighting that \(L = (l_1, l_2, \ldots, l_n)\) is the set of alignments through edit distance between \(e_{\text{hyp}_{\text{slt}}}\) and \(e_{\text{hyp}_{\text{mt}}}\), where \(l_k\) corresponds to “Insertion”, “Substitution”, “Deletion” or “Exact”. Our algorithm for Method 2 is defined as follows.

3.3 Example with 3-label Setting

Table 2 gives the edit distance between a SLT and MT hypothesis while table 3 shows how Method 1 and Method 2 set 3-class labels to the SLT hypothesis. One transcript \((f_{\text{hyp}})\) has 1 error. This drives 3 B labels on SLT output \(e_{\text{hyp}_{\text{slt}}})\), while \(e_{\text{hyp}_{\text{mt}}})\) has only 2 B labels. As can be seen in the cases of Method 1 and Method 2, we respectively have \((1 \text{ B}_{\text{ASR}}, 2 \text{ B}_{\text{MT}})\) and \((2 \text{ B}_{\text{ASR}}, 1 \text{ B}_{\text{MT}})\).

| \(e_{\text{hyp}_{\text{slt}}}\) | surgeons in los angeles it is said |
| \(e_{\text{hyp}_{\text{mt}}}\) | surgeons in los angeles ** have said |
| edit op. | Exact Exact Exact Exact Insertion Substitution Exact |

Table 2. Example of edit distance between SLT and MT.
Algorithm 2  Method 2 - Subtraction between SLT and MT errors

\[
\text{list_labels_result} \leftarrow \text{empty_list}
\]

\[
\text{for each sentence } e_k \in \hat{e}_{\text{slt}} \text{ do}
\]

\[
\text{list_labels_sent} \leftarrow \text{empty_list}
\]

\[
\text{for } j \leftarrow 1 \text{ to } \text{NumberOfWords}(e_k) \text{ do}
\]

\[
\text{if } \text{label}(e_k_j) = \text{`G'} \text{ then}
\]

\[
\text{add } \text{`G'} \text{ to list_labels_sent}
\]

\[
\text{else if } \text{NameOfWordAlignment}(l_k_i) \text{ is `Insertion' OR `Substitution'} \text{ then}
\]

\[
\text{add } \text{`B_ASR'} \text{ to list_labels_sent}
\]

\[
\text{else}
\]

\[
\text{add } \text{`B_MT'} \text{ to list_labels_sent}
\]

\[
\text{end if}
\]

\[
\text{end for}
\]

\[
\text{add list_labels_sent to list_labels_result}
\]

\[
\text{end for}
\]

| \(f_{\text{ref}}\) | \(f_{\text{hyp}}\) | \(e_{\text{hyp,ASR}}\) | \(e_{\text{hyp,MT}}\) | \(e_{\text{hyp,SLT (2-label)}}\) | \(e_{\text{hyp,SLT (Method 1)}}\) | \(e_{\text{hyp,SLT (Method 2)}}\) | \(e_{\text{ref}}\) |
|-----------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|
| \(f_{\text{ref}}\) | les chirurgiens | de | los | angeles | ont | dit |
| \(f_{\text{hyp}}\) | les chirurgiens | de | los | angeles | on | dit |
| \(e_{\text{hyp,ASR}}\) | G | G | G | G | B | G |
| \(e_{\text{hyp,MT}}\) | surgeons | in | los | angeles | have | said |
| \(e_{\text{hyp,SLT (2-label)}}\) | G | B | G | G | B | G |
| \(e_{\text{hyp,SLT (Method 1)}}\) | G | B_MT | G | G | B_ASR | B_MT | G |
| \(e_{\text{hyp,SLT (Method 2)}}\) | G | B_MT | G | G | B_ASR | B_ASR | G |
| \(e_{\text{ref}}\) | the surgeons | of | los | angeles | said |

Table 3. Example of quintuplet with 2-label and 3-label.

These differences are due to slightly different algorithms for label extraction. As Table 3 presents, “is” (SLT hypothesis) is aligned to “have” (MT hypothesis) and “have” (MT hypothesis) is labeled by “B”. It can therefore be assumed that “is” (SLT hypothesis) should be annotated with word-level labels by B_MT according to Method 1. However, using Method 2, “is” (SLT hypothesis) could be labeled by B_ASR because the type of word alignment between “is” (SLT hypothesis) and “have” (MT hypothesis) is substitution (S), as shown in Table 2.

3.4 Statistics with 3-label Setting on the Whole Corpus

Table 4 presents the summary statistics for the distribution of good (G), asr-error (B_ASR) and mt-error (B_MT) labels obtained with both label extraction methods. We see that both methods give similar statistics but slightly different rates of B_ASR and B_MT.

As can be seen from Table 4, it is interesting to note that while ASR system improves from ASR1 to ASR2, the rate of B_ASR labels logically decreases by more than 2 points, while the rate of B_MT remains almost stable (less than 1 point difference) which makes sense since the MT system is the same in both ASR1 and ASR2. These statistics show that intersection between both methods is probably a good estimation of disentangled ASR and MT errors in SLT.
Table 4. Statistics with 3-label setting for ASR1 and ASR2.

| Task - ASR1 | dev set | tst set |
|-------------|---------|---------|
|             | %G     | %B_ASR | %B_MT | %G     | %B_ASR | %B_MT |
| label/m1:Method 1 | 62.03  | 19.09  | 18.89 | 70.59  | 14.50  | 14.91 |
| label/m2:Method 2 | 62.03  | 22.49  | 15.49 | 70.59  | 16.62  | 12.79 |
| label/same(m1, m2) | 62.03  | 18.09  | 14.49 | 70.59  | 13.58  | 11.88 |
| label/diff(m1, m2) | 0      | 1.00   | 4.40  | 0      | 0.92   | 3.03  |

| Task - ASR2 | dev set | tst set |
|-------------|---------|---------|
|             | %G     | %B_ASR | %B_MT | %G     | %B_ASR | %B_MT |
| label/m1:Method 1 | 63.87  | 16.89  | 19.23 | 72.61  | 11.92  | 15.47 |
| label/m2:Method 2 | 63.87  | 17.78  | 16.34 | 72.61  | 13.58  | 13.81 |
| label/same(m1, m2) | 63.87  | 16.05  | 15.50 | 72.61  | 11.12  | 13.01 |
| label/diff(m1, m2) | 0      | 0.84   | 3.73  | 0      | 0.80   | 2.46  |

3.5 Qualitative Analysis of SLT Errors

Our new 3-label setting procedure allows us to analyze the behavior of our SLT system.

We can observe sentences with Table 5 presents, as an example, few ASR and MT errors leading to many SLT errors. Indeed, this is a good way of detecting flaws in the SLT pipeline such as bad post-processing of the SLT output (numerical or text dates, for instance).

As shown in Table 6, on the contrary, there are many ASR errors leading to few SLT errors (ASR errors with few consequences such as morphological substitutions - for instance in French: de/des, déficit/déficits, budgétaire/budgétaires).

Finally, ASR errors as presented in Table 7 have different consequences on SLT quality (on a sample sentence, 2 ASR errors of system 1 and 2 lead to 14 and 9 SLT errors, respectively).

Figure 1 shows how our speech utterances are distributed in the two-dimensional ($B_{ASR}$, $B_{MT}$) error space.

4 Automatic Error Detection for SLT

In this paper, we use Conditional Random Fields (Lafferty et al., 2001) (CRFs) as our machine learning method, with WAPITI toolkit (Lavergne et al., 2010), to train our error detector based on MT and ASR engineered features. For ASR, we extract 9 features, which come from the ASR graph, from language model scores and from a morphosyntactic analysis. These detail-
Figure 1. Example of the rate (%) of ASR errors (x-axis) versus (%) MT errors (y-axis) - for dev/ASR1 and tst/ASR2.

Table 6. Example 2 - SLT hypothesis annotated with two methods - having many asr-errors, a few mt-errors and a few slt-errors such as 8 B_ASR1, 1 B_ASR2, 1 B_MT, 2 B_SLT1, 2 B_SLT2.
Table 7. Example 3 - SLT hypothesis annotated with two methods - having the same number of asr-errors, but the different number of slt-errors extracted from ASR1 and ASR2 such as 2 B_ASR1, 2 B_ASR2, 12 B_MT, 14 B_SLT1, 9 B_SLT2.

led features could be found in (Besacier et al., 2014). For MT, we use a total of 24 major feature types which can be extracted with our word confidence estimation toolkit for MT (more details are given in (Servan et al., 2015)).

4.1 Experiments on 2-class Error Detection

| Exp         | MT+ASR feat. | Joint feat. |
|-------------|--------------|-------------|
|             | $P_{ASR}(q|x_f, f)^\alpha$ | $P(q|x_f, f, e)$ |
| $F$-avg1 (ASR1) | 58.07% | 64.90% |
| $F$-avg2 (ASR2) | 53.66% | 64.17% |

Table 8. Error Detection Performance (2-label) on SLT output for tst set (training is made on dev set).

In this experiment, we evaluate the performance of our classifiers by using the average between the F-measure for good labels and the F-measure for bad labels that are calculated by the common evaluation metrics: Precision, Recall and F-measure for good/bad labels. Since two ASR systems are available, $F$-avg1 is obtained for SLT based on ASR1 whereas $F$-avg2 is obtained for SLT based on ASR2. The classifier is evaluated on the tst part of our corpus and trained on the dev part.
We report in Table 8 the baseline error detection results obtained using both MT and ASR features for a 2-class problem (error detection). More precisely we evaluate two different approaches (combination and joint):

- First system (MT+ASR feat.) combines the output of two separate classifiers based on ASR and MT features. In this approach, ASR-based confidence score of the source is projected to the target SLT output and combined with the MT-based confidence score as shown in Equation 2 (we did not tune the $\alpha$ coefficient and set it a priori to 0.5).

- Second system (joint feat.) trains a single error detection system for SLT (evaluating $p(q|x,f,e)$ as in Equation 1 using joint ASR and MT features. ASR features are projected to the target words using automatic word alignments.

Table 8 shows that joint ASR and MT features improve error detection performance over the use of simple combination (MT+ASR). Based on this result, only the joint approach is used in our 3-class experiments of next section. We also observe that F-measure decreases when ASR WER is lower ($F_{avg2}<F_{avg1}$ while $WER_{ASR2}<WER_{ASR1}$). So error detection for SLT might be more complicated as ASR system improves.

These observations lead us to investigate the behaviour of our WCE approaches for a large range of good/bad decision threshold.

While the previous tables provided WCE performance for a single point of interest (good/bad decision threshold set to 0.5), the curves of Figure 2 show the full picture of our WCE systems (for SLT) using speech transcriptions systems ASR1 and ASR2, respectively. We observe that the classifier based on ASR features has a very different behaviour than the classifier based on MT features which explains why their simple combination (MT+ASR) does not work very well for the default decision threshold (0.5). However, for threshold above 0.75, the use of both ASR and MT features is slightly beneficial. This is interesting because higher thresholds improves the F-measure on bad labels (so improves error detection). Both curves are similar whatever the ASR system used. These results suggest that with enough development data for appropriate threshold tuning (which we do not have for this very new task), the use of both ASR and MT features should improve error detection in speech translation (blue and red curves are above the green curve for higher decision threshold).

4.2 Experiments on 3-class Error Detection

We report in Table 9 our first attempt to build an error detection system in SLT as a 3-class problem (joint approach only). We made our experiment by training and evaluating the model on Intersection(m1, m2) which corresponds to high confidence in the labels. We compared two different approaches: One-Step is a single classifier for the 3-class problem while Two-Step first applies the 2 class (G/B) system and a second classifier distinguishes $B_{ASR}$ and $B_{MT}$ errors. Not much difference in F-measure is observed between both approaches. Table 10 also presents the confusion matrix between $B_{ASR}$ and $B_{MT}$ for the correctly detected (true) errors. Despite the relatively low F-scores of table 9, we see that our 3-labels classifier obtains encouraging confusion matrices in order to automatically disentangle $B_{ASR}$ and $B_{MT}$ on true errors.

5 Conclusions

This paper proposed to disentangle ASR and MT errors in speech translation. The binary error detection problem was recast as a 3-class labeling problem (good, asr-error, mt-error). First, two methods were proposed for the non trivial label setting and it was shown that both give

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4. Corresponding to optimization of the F-measure on bad labels (errors).
5. However, we observed (results not reported here) that the use of different label sets (Method 1, Method 2, Intersection(Method 1, Method 2) does not have a strong influence on the results.
Figure 2. Evolution of system performance (y-axis - $F_{mes1}$ - ASR1 and $F_{mes2}$ - ASR2) for \textit{tst} corpus (4050 utt) along decision threshold variation (x-axis) - training is made on \textit{dev} corpus (2643 utt).
Table 9. Error Detection Performance (2-label vs 3-label) on SLT output for tst set (training is made on dev set).

|                | 2-class | 3-class |                |
|----------------|---------|---------|----------------|
|                |         |         | Full Corpus    | Intersection Corpus (m1, m2) |
|                | ASR1    | ASR2    | One-Step ASR1  | One-Step ASR2 |
|                |         |         | ASR1 ASR2      | ASR1 ASR2     |
| \(F_G\)       | 81.79   | 83.17   | 85.00          | 84.00          |
| \(F_B\)       | 48.00   | 45.17   | 44.00          | 42.00          |
| \(F_{avg}\)   | 64.90   | 64.17   | 47.67          | 47.33          |

Table 10. Confusion Matrix on Correctly Detected Errors Subset for 3-class (1) One-Step; (2) Two-Step.

consistent results. Then, automatic detection of error types, using joint ASR and MT features, was evaluated and encouraging results were displayed on a French-English speech translation task. We believe that such a new task (not only detecting errors but also their cause) is interesting to build better informed speech translation systems, especially in interactive speech translation use cases.

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