ABSTRACT. In this paper, a simple and unified method is developed that predicts the relativistic alterations of physical measures when the behavior of a natural system is characterized by means of a specific operator equation. Separation of variables is the simple underlying procedure.

Key Words and Phrases. Gravitational redshift, transverse Doppler effect, mass alteration, general and special relativity, separation of variables, partial differential equations.

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1. Introduction.

It is often a difficult problem to find a simple and unified mathematical approach to physical science derivations. The term “derivation” refers to the somewhat informal “proof” method used within the physical sciences that might be formalized when physical axioms are specifically included. Often the relativistic alterations in physical measures are obtained by means of essentially different derivations that are somewhat ad hoc in character and may appear to have no simple underlying approach. With respect to natural system behavior that can be characterized by means of a special operator equation, a unifying approach seems to exist.

In a typical undergraduate differential equations course, the method of separation of variables is introduced in a first attempt to solve the one dimensional heat or wave partial differential equation. This same approach may be the unifying factor that allows one to derive the relativistic alterations for all natural system measures that are modeled by a specific operator equation. Further, from the mathematicians point of view, a derivation in generalized form would be the most appropriate.

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First, consider the Schwarzschild metric

\[ dS^2 = \lambda (cdt^m)^2 - (1/\lambda)(dR^m)^2 - (R^m)^2(\sin^2 \theta^m (d\phi^m)^2 + (d\theta^m)^2), \]  

(1.1)

where, as usual, \( \lambda = (1 - 2GM/(c^2R^m)) \), \( G \) is the gravitational constant, \( M \) the mass of a spherically symmetric homogeneous object, \( R^m \) the radial distance from the center of the object and the superscript \( m \) indicates measurements taken for the behavior of a natural system influenced by the gravitational field. Next consider the basic chronotopic interval (i.e. line element)

\[ dS^2 = (cdt^s)^2 - (dr^s)^2, \]  

(1.2)

where \( c \) is the velocity of light and \( (dr^s)^2 = (dx^s)^2 + (dy^s)^2 + (dz^s)^2 \). Transforming (1.2) into spherical coordinates yields

\[ dS^2 = (cdt^s)^2 - (dR^s)^2 - (R^s)^2(\sin^2 \theta^s (d\phi^s)^2 + (d\theta^s)^2). \]  

(1.3)

The subscripts or superscripts \( s \) represent local measurements at a spatial point where gravity affects the measurements. The subscripts or superscripts \( m \) represent local measurements, where gravitational effects vanish. These measurements are compared. However, expressions comparing gravitational effects at two spatial points within a gravitational field can be obtained immediately by comparing the effects at each point with the m-measurements where the effects vanish. Further, when astronomical and atomic distances are compared, then (1.3) can be assumed to apply approximately to many observers within the universe. This is especially the case if an observer is affected by a second gravitational field, in which case (1.3) is used as a local line element relating measures for laboratory standards.

Following the usual practice for radiation purposes, the representative atomic systems are considered as momentarily at rest. Hence \( dR^m = d\phi^m = d\theta^m = dR^s = d\phi^s = d\theta^s = 0 \). This yields from (1.1) \( dS^2 = \lambda (cdt^m)^2 \) and from (1.3) \( dS^2 = (cdt^s)^2 \). Thus, for this atomic system case, the differentials \( dt^m \) and \( dt^s \) are related by the expression

\[ \gamma dt^m = dt^s, \]  

(1.4)

where \( \gamma = \sqrt{\lambda} \).

2. The Derivation Method.

Suppose that certain aspects of a natural system’s behavior are governed by a function \( T(x_1, x_2, \ldots, x_n, t) \) that satisfies an expression \( D(T) = k(\partial T/\partial t) \),
where $D$ is a (functional) separating operator and $k$ is a universal constant. In solving such expressions, the function $T$ is often considered as separable and $D$ is the identity on temporal functions. In this case, let $T(x_1, x_2, \ldots, x_n, t) = h(x_1, x_2, \ldots, x_n)f(t)$. Then $D(T)(x_1, x_2, \ldots, x_n, t) = (D(h))(x_1, x_2, \ldots, x_n)f(t) = (kh(x_1, x_2, \ldots, x_n))(df/dt)$ and is an invariant separated form.

Let $(x_1^s, x_2^s, \ldots, x_n^s, t^s)$ correspond to measurements taken of the behavior of a natural system that is influenced only by (1.3) and using identical modes of measurement let $(x_1^m, x_2^m, \ldots, x_n^m, t^m)$ correspond to measurements taken of the behavior of a natural system that is influenced by (1.1). [Note that this is a “measurement” and not a “transformation” language derivation.] Now suppose that $T(x_1^s, x_2^s, \ldots, x_n^s, t^s) = h(x_1^s, x_2^s, \ldots, x_n^s)f(t^s)$. Assume that $T$ is a universal function and that separation is an invariant procedure. Hence, let the values $h(x_1^s, x_2^s, \ldots, x_n^s) = H(x_1^m, x_2^m, \ldots, x_n^m)$ and the values $f(t^s) = F(t^m)$ and $T(x_1^m, x_2^m, \ldots, x_n^m, t^m) = H(x_1^m, x_2^m, \ldots, x_n^m)F(t^m)$. One obtains with respect to $s$ by application of the chain rule

$$\lambda^s = \left(\frac{D_s(h)}{h}\right)(x_1^s, x_2^s, \ldots, x_n^s) = k \cdot \frac{1}{f(t^s)} \frac{df}{dt^s} = k \cdot \frac{1}{F(t^m)} \frac{dF}{dt^m} \frac{dt^m}{dt^s}. \quad (2.1)$$

With respect to $m,$

$$\left(\frac{D_m(H)}{H}\right)(x_1^m, x_2^m, \ldots, x_n^m) = k \cdot \frac{1}{F(t^m)} \frac{dF}{dt^m} = \lambda^m. \quad (2.2)$$

Consequently, $\gamma \lambda^s = \lambda^m.$

Suppose that $T = \Psi$ is the total wave function, $D$ is the operator $\nabla^2 - p,$ where $n = 3,$ the constant $k,$ and function $p$ are those associated with the classical time-dependent Schrödinger equation for an atomic system as it appears in Evans [2, p. 56]. It is not assumed that such a Schrödinger type equation predicts any other behavior except that it reasonably approximates the discrete energy levels associated with atomic system radiation and that the frequency of such radiation may be obtained, at least approximately, from the predicted energy variations. The eigenvalues for this separable solution correspond to energy levels $E^s$ and $E^m$ for such a radiating atomic system. Thus $\gamma E^s = E^m.$ Radiation occurs when there is a discrete change in the energy levels. This yields

$$\gamma \Delta E^s = \Delta E^m. \quad (2.3)$$

Now simply divide (2.3) by the Planck constant and obtain the basic gravitational frequency redshift expression $\gamma \nu^s = \nu^m$ as stated in Bergmann [1, p. 222].
Since this actual derivation is slightly generalized, other operator expressions can be substituted for $D$. For another prediction, consider substituting the Laplacian $\nabla^2$ for $D$. This would yield for an appropriate object an alteration due to the gravitational field of the usual temperature function obtained when the PDE for internal heat transfer is solved.

3. Additional Applications.

Consider the special theory linear effect line element [3]

$$dS^2 = \lambda (cdt^m)^2 - (1/\lambda) (dr^m)^2,$$  \hspace{1cm} (3.1)

where $\lambda = (1 - v^2/c^2)$ and $v$ is a constant relative velocity. Suppose a special theory relativistic effect is considered to take place within an atomic system itself and is assumed to be the same effect whether motion is transverse or receding or approaching the observer, then this is modeled with respect to special theory effects by letting $dr^m = dr^s = 0$ in (1.2) and (3.1). Hence (1.4) holds for this physical scenario. Now the same argument used to obtain the gravitational redshift can be applied in order to obtain the relativistic (i.e. transverse Doppler) redshift prediction $\gamma \nu^s = \nu^m$. Ives and Stilwell [4] were the first to experimentally verify this prediction.

Finally, consider a freely moving particle of mass $M$ moving in a “straight” line with constant relative velocity $v_{E}$. For a Hamilton characteristic function $S'$, the classical Hamilton-Jacobi equation becomes $(\partial S'/\partial r)^2 = -2M(\partial S'/\partial t)$ [5, p. 451]. Suppose that $S'(r, t) = h(r)f(t)$. Again consider line elements (1.2) and (3.1) while letting the universal nature of $S'$ and invariance of separation imply that $h(r^s) = H(r^m), f(t^s) = F(t^m)$. The same argument used for the relativistic redshift derivation again yields equation (1.4). Let $D = (\partial(\cdot)/\partial r)^2$. The same procedure used to obtain (2.1) and (2.2) yields

$$\left(\frac{\partial h(r^s)}{\partial r^s}\right)^2 \left(\frac{1}{h(r^s)}\right) = -2 \frac{M^s}{f^2(t^s)} \frac{df}{dt^s} = M^s \lambda^s_1 =$$

$$= -2 \frac{M^s}{F^2(t^m)} \frac{dF}{dt^m} \frac{dt^m}{ds} = M^s \lambda^m_1 / \gamma. \hspace{1cm} (3.2)$$

With respect to $m$,

$$\left(\frac{\partial H(r^m)}{\partial r^m}\right)^2 \left(\frac{1}{H(r^m)}\right) = -2 \frac{M^m}{F^2(t^m)} \frac{dF}{dt^m} = M^m \lambda^m_1. \hspace{1cm} (3.3)$$
In (3.2) and (3.3), the quantities $M^s$ and $M^m$ are obtained by means of an identical mode of measurement that characterizes “mass.” Assuming that the two separated forms in (3.2) and (3.3) are invariant, leads to the special theory mass expression $M^m = (1/\gamma)M^s$. These examples amply demonstrate the utility of the separation of variables approach in obtaining various relativistic alterations in measured physical quantities.

References.

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