Discovering QCD-Coupled Axion Dark Matter with Polarization Haloscopes

Asher Berlin\textsuperscript{1,2,}\textsuperscript{*} and Kevin Zhou\textsuperscript{3,}\textsuperscript{†}

\textsuperscript{1} Theory Division, Fermi National Accelerator Laboratory, Batavia, IL 60510, USA
\textsuperscript{2} Superconducting Quantum Materials and Systems Center (SQMS), Fermi National Accelerator Laboratory, Batavia, IL 60510, USA
\textsuperscript{3} SLAC National Accelerator Laboratory, 2575 Sand Hill Road, Menlo Park, CA 94025, USA

In the presence of QCD axion dark matter, atoms acquire time-dependent electric dipole moments. This effect gives rise to an oscillating current in a nuclear spin-polarized dielectric, which can resonantly excite an electromagnetic mode of a microwave cavity. We show that with existing technology such a “polarization haloscope” can explore orders of magnitude of new parameter space for QCD-coupled axions. If any cavity haloscope detects a signal from the axion-photon coupling, an upgraded polarization haloscope has the unique ability to test whether it arises from the QCD axion.

I. INTRODUCTION

The QCD axion is a long-standing, well-motivated dark matter candidate [1–7] that can also explain why the neutron’s electric dipole moment (EDM) is at least $10^{10}$ times smaller than generically expected [8]. It is a pseudoscalar field $\alpha$ defined by its coupling to gluons

$$\mathcal{L} \supset \theta_a \frac{\alpha_s}{8\pi} G^{\mu\nu} \tilde{G}_{\mu\nu},$$

where $\theta_a \equiv a/f_a$ and $f_a$ is the axion decay constant. At temperatures below the QCD phase transition, this effect gives rise to an oscillating current in a nuclear spin-polarized dielectric, which can resonantly excite an electromagnetic mode of a microwave cavity. We show that with existing technology such a “polarization haloscope” can explore orders of magnitude of new parameter space for QCD-coupled axions. If any cavity haloscope detects a signal from the axion-photon coupling, an upgraded polarization haloscope has the unique ability to test whether it arises from the QCD axion.

Currently, the most stringent laboratory constraints on axion dark matter at GHz frequencies come from cavity haloscopes [27, 28], which rely on the axion’s coupling to photons, $\mathcal{L} \supset g_{a\gamma\gamma} F^{\mu\nu} F_{\mu\nu}/4$. In these experiments, axion dark matter produces an effective current $j_{a\gamma\gamma} = g_{a\gamma\gamma} B \partial_t a$ inside a microwave cavity with background magnetic field $B$, which can resonantly excite a mode of angular frequency $m_a$. While there are many other recent proposals to search for the axion (see Refs. [29–31] for reviews), the cavity haloscope concept is currently the most well-developed, with many collaborations reporting new results [32–50] and some operating near or beyond the standard quantum limit [34, 36, 37, 42]. These experiments are well-motivated, as the axion-gluon coupling of Eq. (1) is known to induce an axion-photon coupling. However, their relation is indirect: the coefficient $g_{a\gamma\gamma}$ can vary by orders of magnitude within simple models [51–54], and an axion with an electromagnetic coupling is not necessarily the QCD axion. Definitively discovering or excluding the QCD axion thus requires confronting the axion-gluon coupling directly.

In this work, we present the first method to probe the axion-gluon coupling at GHz frequencies. In the presence of axion dark matter, atoms have oscillating EDMs of $10^{-14}$. Other potential detection avenues involve nuclear magnetic resonance [15–17], spin precession in storage rings [18–23], atomic and molecular spectroscopy [24, 25], and mechanical oscillations in piezoelectric materials [26]. However, none of these probes are sensitive at the GHz frequencies motivated by standard misalignment production of axion dark matter.

In this work, we present the first method to probe the axion-gluon coupling at GHz frequencies. In the presence of axion dark matter, atoms have oscillating EDMs of magnitude $d_A$ directed along their nuclear spin [55], analogous to the neutron EDM in Eq. (4). A dielectric thus carries a polarization density $P_{\text{EDM}} \sim n_A d_A$, where $n_A$ is the density of nuclear spin-polarized atoms. A time-varying polarization induces a physical electromagnetic current $J_{\text{EDM}} = \partial_t P_{\text{EDM}}$, which can be resonantly amplified by placing the dielectric in a microwave cavity with a mode of angular frequency $m_a$. We call this system, depicted in Fig. 1, a polarization haloscope.

To quickly estimate its potential, we may compare the current in a polarization haloscope to that produced in a typical cavity haloscope. For the benchmark DFSZ model,
Figure 1. Atoms carry EDMs proportional to the field line (left), aligned with the nuclear spin \( I \). The axion's time variation thus produces a current \( J_{\text{EDM}} \) in a nuclear spin-polarized dielectric, whose effect can be amplified in a resonant cavity. For higher axion masses, the geometric overlap factor in Eq. (19) can be maximized using layers of inert dielectric (top) or alternating spin polarization (bottom).

where \( g_{a\gamma\gamma} \simeq 0.87 \times 10^{-3} / f_a \) [56, 57], the ratio is

\[
\frac{J_{\text{EDM}}}{J_{a\gamma\gamma}} \simeq 10^{-3} \times \frac{d_A}{d_n} \left( \frac{n_A}{5 \times 10^{22} \text{ cm}^{-3}} \right) \left( \frac{8 \text{ T}}{B} \right),
\]

which suggests that the signal in a cavity haloscope is larger. Furthermore, \( J_{\text{EDM}} \) is more difficult to calculate, as it depends sensitively on nuclear, atomic, and material properties. For these reasons, the polarization haloscope idea was briefly raised and discarded thirty years ago [58]. However, the rapid recent progress in cavity haloscopes motivates a thorough analysis of its potential. In section II we show that \( d_A \sim d_n \) can be achieved for certain atoms. We then consider the factors necessary to develop an effective polarization haloscope, such as cavity design (section III), material choice (section IV), and nuclear spin polarization (section V). We estimate experimental sensitivity in section VI and conclude in section VII, laying out a path towards reaching the QCD axion.

II. AXION-INDUCED EDMs

The dominant nuclear contribution to the EDM of an atom with atomic number \( Z \) arises from the \( P, T \)-violating piece of the effective nuclear electric potential [59–64]

\[
\phi_N^{(\text{eff})}(x) = \left( 1 + \frac{1}{Ze} \mathbf{d}_N \cdot \nabla \right) \phi_N(x),
\]

which includes the usual electric potential \( \phi_N \) of the nucleus and the response of the atomic electrons to the nuclear EDM \( \mathbf{d}_N \). The leading \( P, T \)-violating term in a multipole expansion of \( \phi_N^{(\text{eff})} \) is the dipole, but it simply vanishes, in accordance with Schiff’s theorem [65] which states that the nuclear EDM is efficiently screened by the atomic electrons. The next \( P, T \)-violating term is the octupole. Its traceless part corresponds to an electric octupole moment, whose effects are suppressed by the centrifugal barrier near the nucleus [59]. The traceful part yields the dominant contribution to the atomic EDM and is described by the Schiff moment [60],

\[
\mathbf{S} = \frac{1}{10} \int d^3x \rho_N(x) r^2 \left( \mathbf{x} - \frac{5}{3} \frac{\mathbf{d}_N}{Ze} \right),
\]

where \( \rho_N \) is the nuclear charge density; \( \mathbf{S} \) sources a \( P, T \)-violating electric field that polarizes the atomic electrons, perturbing the electronic Hamiltonian by

\[
V_S = - \sum_{i=1}^{Z} e \mathbf{S} \cdot \nabla \delta^3(x_i),
\]

where the nucleus is at the origin. The interaction \( V_S \) mixes opposite parity states, which to first order in perturbation theory gives rise to a non-vanishing atomic EDM, parallel to the nuclear spin \( I \), of the form

\[
d_A \simeq \sum_n \frac{\langle n|V_S|0\rangle \langle 0|\mathbf{D}|n\rangle}{E_n - E_0} + \text{h.c.},
\]

where \( |n\rangle \) are atomic states of energy \( E_n \) and \( \mathbf{D} = \sum_{i=1}^{Z} e \mathbf{x}_i \) is the atomic EDM operator. The result scales as \( d_A \sim Z^2 S, \) with a moderate relativistic enhancement for the heaviest nuclei. Scaling numeric results for \( ^{225}\text{Ra} \) from Refs. [66–69] yields

\[
d_A \sim - (0.27 \times 10^{-3} \text{ fm}) \langle S_z \rangle / (e \text{ fm}^3)
\]

for \( ^{161}\text{Dy} \), with values within 20% for the other nuclei we will consider below. Here, \( \langle S_z \rangle \) is the lab-frame expectation value of the Schiff moment directed along the nuclear spin for a maximally-polarized nucleus, \( M = I \) [63].

In perturbation theory, the Schiff moment is

\[
\langle S_z \rangle \simeq \sum_n \frac{\langle n|V_{PT}|0\rangle \langle 0|S_z|n\rangle}{E_n - E_0} + \text{h.c.},
\]

which yields the parametric estimate

\[
\langle S_z \rangle \sim 10^{-2} \frac{e R_0^2}{m_n} \theta_a \sim (0.1 \times e \text{ fm}^3) \theta_a \left( \frac{A}{10^2} \right)^2,
\]

in agreement with detailed calculations [26, 59, 60, 70–74]. This yields only a small atomic EDM, \( d_A \ll d_n \), but for nonspherical nuclei there can be a large intrinsic Schiff moment \( S_{\text{int}} \) in the body-fixed frame. Evaluating Eq. (7) gives \( S_{\text{int}} \propto \beta_2 \beta_3 Ze R_0^3 \), where \( \beta_2 \) and \( \beta_3 \) parametrize the quadrupole and octupole deformation of the nuclear radius. The lab-frame Schiff moment is then determined by
Applying Eq. (10), we find that for these nuclei, $d_a$ which, as anticipated above, is comparable to $d_a$. It can be calculated perturbatively with an expression analogous to Eq. (11), the main difference being that octupole deformations imply states with small energy gaps, $E_n - E_0 \sim 50$ keV. For significantly octupole-deformed nuclei, $\beta_3 \sim \beta_3 \sim O(0.1)$, various numeric factors cancel, leaving [70–74]

$$\langle S_z \rangle \sim 10^{-2} \frac{ZeR_0^3}{m_n} \theta_a,$$

which is crucially enhanced by $Z$ relative to Eq. (15). Applying Eq. (10), we find that for these nuclei,

$$|d_A| \sim (\text{few} \times 10^{-3}) \ e \ \text{fm} \times \theta_a \left(\frac{Z}{10^2}\right)^3 \left(\frac{A}{10^2}\right)^{\frac{3}{2}},$$

which, as anticipated above, is comparable to $d_a$.

Most octupole-deformed nuclei are short-lived and thus infeasible to gather in the macroscopic quantities required. Of the nuclei highlighted in Refs. [69, 75, 79], we identify $^{161}$Dy, $^{153}$Eu, and $^{155}$Gd as the most promising. They are absolutely stable and, as indicated in Table I, are inexpensive and expected to possess fairly large axion-induced Schiff moments and atomic EDMs. However, the existence of octupole deformation in these nuclei is not completely settled [80]. This work motivates further experimental study. Even if none of these nuclei are octupole deformed, it may still be possible to achieve comparable EDMs via magnetic quadrupole moments, which are enhanced by well-established nuclear quadrupole deformations [75].

### III. CAVITY EXCITATION

The axion field oscillates with a phase offset and amplitude varying over the coherence time $\tau_a \sim Q_a/m_a$, where $Q_a \sim 10^6$. For all axion masses we consider, spatial gradients of the axion field are negligible. The cavity response is therefore very similar to that of a conventional haloscope, with $J_{\alpha \gamma \gamma}$ replaced by $J_{\text{EDM}} \simeq m_a n_A d_A$. In our case, there is also an associated physical charge density $\rho_{\text{EDM}} = -\nabla \cdot \mathbf{P}_{\text{EDM}}$ in the cavity, which produces small electric fields, but it is not of interest because it cannot excite resonant modes [81–83].

We suppose a portion $V_p$ of the volume $V$ of the cavity is filled with dielectric of fractional nuclear spin polarization $f_p$ along the $\hat{p}$ direction, so that $n_A = f_p n_0$ where $n_0$ is the number density of relevant nuclei. Adapting a standard result [84], the power deposited to the $i$th mode of the cavity on resonance, $m_i \simeq \omega_i$, is

$$P_{\text{sig}} \simeq m_a (f_p n_0 d_A)^2 (V/\epsilon) \eta^2 \min(Q_a, Q_i),$$

where $d_A$ is now the time-independent amplitude of the atomic EDM, $Q_i$ is the quality factor of the mode, and the last factor accounts for the spectral width of the axion. The typical dielectric permittivity inside the cavity is $\epsilon$, and the geometric overlap factor is

$$\eta_i = \frac{\int_{V_p} d^3 x \mathbf{E}_i \cdot \hat{p}}{\sqrt{V_{V_p} d^3 x (\epsilon/\epsilon) E_i^2}}.$$

This definition is chosen so that $\eta_i \sim 1$ when the cavity is completely filled with dielectric polarized along $\hat{p}$ parallel to the electric field $\mathbf{E}_i$ of the cavity mode. Below, we suppress mode subscripts to simplify notation.

To probe the lowest possible axion masses, a cylindrical cavity can be completely filled with a dielectric with $\hat{p}$ along the cylinder’s axis, which yields $\eta \simeq 0.83$ for the TM$_{010}$ mode. In Fig. 1, we show two concrete ways to guarantee $O(1)$ geometric overlap for heavier axions coupled to higher resonant modes of the cavity. First, one can insert layers of another dielectric. For example, rutile $\text{TiO}_2$ has a high permittivity at cryogenic temperatures, $\epsilon \gtrsim 10^4$ [85], thin layers would suffice to preserve a large overlap factor. Alternatively, the cavity can be filled with dielectric whose spin polarization alternates in direction. In either case, the mode frequency can be coarsely tuned by changing the number of layers, and finely tuned by introducing gaps and moving the dielectric layers or endcaps along the cylinder’s axis.

Such layered structures have been proposed, prototyped, operated, and tuned for haloscopes targeting the axion-photon coupling [86–93]. Axions can also be effectively coupled to higher-order modes by loading cavities with dielectric wedges or cylindrical shells [84, 94–98]. At high axion masses, scanning can become impeded by mode crowding. Many innovative approaches have been considered to avoid this issue, such as open resonators [91, 92], phase-matched, coupled, or sub-divided cavities [39, 47, 99–108], rod or wire metamaterials [109–111], and thin-shell geometries [112, 113]. Most of these ideas can be adapted to polarization haloscopes, though some tuning mechanisms must be adjusted. For concreteness, we take $\eta = 1$, assume a cylindrical cavity with aspect ratio $L/R = 5$, and require the intermediate layers in Fig. 1 be at least 1 cm thick, so that there is a reasonable number to tune. This determines the mass range probed in Fig. 2.
IV. MATERIAL PROPERTIES

To maximize the signal strength, we consider dielectric materials with a high density of the nuclei in Table I. Unlike other approaches that require the material to be ferroelectric [15] or piezoelectric [26], we only require the material to be insulating at low temperatures.

Some semiconducting or insulating candidate materials are nitrides XN [114], oxides XO, and sesquioxides X2O3 for X = Dy, Eu, Gd. Though many alternatives exist, these materials are simple and well-studied, and most are commercially available. For a prototype setup, we consider EuN where the abundance of 153Eu is 52% (see Table I). Following other proposals [15, 26], we assume complete isotope separation for a full-scale experiment, using DyN where the dysprosium is entirely 161Dy. In both cases, the number density of rare earth atoms is $3 \times 10^{22}$ cm$^{-3}$ [115, 116].

The structure of the material also directly affects the strength of the signal. The most important effect, displayed in Eq. (18), is that dielectrics shield electric fields, reducing the signal power by a factor of the permittivity $\varepsilon$. For our projections we take $\varepsilon \simeq 7$, based on the static permittivity of DyN [117]. This choice is conservative, as permittivity decreases at higher frequencies.

In addition, the effective atomic EDM may be modified within a crystal, where atomic orbitals are deformed. This effect is quantified by the “electroaxionic” tensor defined in Ref. [26], and calculating the tensor components requires a dedicated relativistic many-body calculation for each material. In PbTiO₃, two groups found suppressions of 25% [118] and 50% [119], but with comparably large uncertainties. Thus, for this initial study we simply take $d_A$ to be the value for an isolated atom.

The other key material property is the dielectric loss tangent $\tan \delta$. For a cavity entirely filled with dielectric, the quality factor $Q$ of a mode obeys $1/Q = 1/Q_c + \tan \delta$, where $Q_c$ is the quality factor due to cavity wall losses. Thus, to realize a desired $Q$, one must have $\tan \delta \lesssim 1/Q_c$.

At room temperature, dielectrics display high losses due to thermal phonons. However, these “intrinsic” losses fall steeply with temperature [120], and are negligible at the cryogenic temperatures of polarization haloscopes. Instead, extrinsic losses due to defects and impurities dominate [121, 122] and depend on crystal quality. Very low losses have been measured [123–126], at the level of $10^{-9}$ for sapphire and $10^{-8}$ for rutile and YAG.

These are all centrosymmetric crystals, and thereby avoid additional loss mechanisms that would appear in more complex crystals, e.g. through acoustic phonons in piezoelectrics [120] or domain wall motion in ferroelectrics [127]. The candidate materials we have listed above are also all simple centrosymmetric crystals. However, their dielectric losses are unknown, and dedicated cryogenic measurements in high-quality crystals are needed. These should be carried out at low electric field amplitudes, because high field amplitudes can mask losses due to two-level systems [128–130].

V. NUCLEAR SPIN POLARIZATION

The current in a polarization haloscope is proportional to the fractional nuclear spin polarization $f_p$, which is $O(1\%)$ in thermal equilibrium in typical cavity haloscope conditions (see Table I). However, for both polarization haloscopes and other approaches [15, 26] an $O(1)$ polarization is required for optimal sensitivity. Below we describe two potential approaches to realize this.

First, one could simply subject the dielectric to a high magnetic field $B \gtrsim 10$ T and ultra-low temperature. At $T = 2$ mK, as achieved by specialized dilution fridges [131, 132]. $^{153}$Eu nuclei possess an $O(1)$ equilibrium polarization. For this technique, the key unknown is the time needed to thermalize the spins. At such high $B/T$, theoretical estimates suggest that it is prohibitively long [133, 134], but measured spin-lattice relaxation times are much shorter than predicted [135, 136], which could be explained by exotic relaxation mechanisms [137–139]. Relaxation times might be further reduced by the electric quadrupole moments of the nuclei we consider, which coupler more strongly to the lattice than magnetic dipole moments [140], or by the addition of relaxation agents [141, 142].

Another option is frozen spin dynamic nuclear polarization (DNP), in which electrons are polarized in a few-Tesla field at $T \sim 1$ K, and their polarization is transferred to the nuclear spins by applying $\sim 1$ W/kg of microwave power. This method achieves almost complete proton spin polarization and has been extended to heavier nuclei for NMR studies [143–146]. It requires the sample to contain a concentration $\sim 10^{-3}$ of paramagnetic centers, produced by chemical doping or ionizing radiation. To “freeze” the nuclear spins, the microwave field is removed and the sample is further cooled to slow relaxation.

This approach has been used for decades to polarize targets for particle physics experiments [147, 148]: notably, the Spin Muon Collaboration at CERN produced frozen spin targets of liter scale [149]. Currently, frozen spin DNP is primarily developed in nuclear physics experiments [150–155]. The resulting spin polarization is robust, with spin-lattice relaxation times of nearly a year observed in practice [156]. For polarization haloscopes, the next step is to see how this approach can be scaled to larger volumes, while maintaining low dielectric losses.

VI. PROJECTED SENSITIVITY

The signal-to-noise ratio is given by the Dicke radiometer equation [160],

$$\text{SNR} \simeq \frac{P_{\text{sig}}}{T_n} \sqrt{\frac{t_{\text{int}}}{\Delta \nu_s}}, \quad (20)$$

where $t_{\text{int}}$ is the time spent probing each axion mass, and $\Delta \nu_s = m_a/(2\pi \max(Q, Q_0))$ is the signal bandwidth. The noise temperature $T_n = T + T_{\text{amp}}$ receives comparable contributions from thermal noise, determined by the
When thermal noise dominates, we assume the cavity is
with a quality factor of the cavity mode with dielectric losses
improves the SNR by a factor of $Q/m_a$. Following existing haloscope experiments, we as-
sume an operating temperature of $T = 40$ mK [40] and an amplifier operating at the quantum limit,
$T_{\text{amp}} \simeq m_a$. When thermal noise dominates, we assume the cavity is
optimally overcoupled to the readout, which modestly
improves the SNR by a factor of $\sqrt{T/T_{\text{amp}}}$ [161].

The “prototype” projection, shown in dark blue, is modeled on the ADMX haloscope [34] and assumes a volume $V = 100$ L, quality factor $Q = 10^5$, and magnetic field $B = 8$ T, which produces a thermal spin polarization $f_p \simeq 5\%$ for $^{155}$Eu. This benchmark shows that new parameter space can be explored with minimal investment.
(However, this parameter space may be in tension with the stability of white dwarfs [162].)

The light blue projection considers a cubic meter cavity with $Q = 10^6$ and complete spin polarization, $f_p = 1$. Such an experiment would require a large dilution fridge, like those developed for other precision experiments [163–167], and several tons of dielectric material. In other words, it would require investment comparable to ongo-
ing WIMP dark matter searches [168, 169]. Though it does not reach the canonical QCD axion line defined by Eq. (2), it could probe orders of magnitude of unexplored parameter space, including non-minimal, mildly tuned QCD axion models which solve the strong CP problem with exponentially smaller $m_a f_a$ [170, 171].

If ADMX, CAPP, or any other GHz-frequency halo-
scope [172–176] detects a signal consistent with axion
dark matter, a “post-discovery” setup, shown in dashed blue, can probe the same mass. Since it sits at a single fre-
duency, the SNR is enhanced by $Q_d^{1/2} \sim 10^3$ for $t_{\text{int}} = 1$ yr, as compared to a scanning experiment. We assume noise is reduced, relative to the counter meter setup, by cooling to 10 mK and reducing amplifier noise by 34 dB using demonstrated vacuum squeezing techniques [37]. We also assume a quality factor of $Q = 10^8$. To achieve this quality factor one needs a material with $\tan \delta \lesssim 10^{-8}$, which has been measured for a number of compounds. As for wall losses, one can achieve $Q_c \gg 10^6$ with a superconducting cavity, since polarization haloscopes do not require large static magnetic fields. Alternatively, the mode profile can be shaped with dielectrics, a technique which has achieved $Q \sim 10^7$ in a liter-scale copper cavity [97]. With these enhancements, a polarization haloscope has the unique ability to probe the minimal QCD axion.

**VII. DISCUSSION**

The QCD axion is an exceptional dark matter candi-
date, which arises automatically in theories which solve
other problems of the Standard Model, with a simple and
predictive production mechanism. The minimal QCD ax-
ion also has the unique advantage of possessing a defining
coupling to the Standard Model, which provides a sharp
target for laboratory searches.

A polarization haloscope naturally targets higher
frequencies than nuclear magnetic resonance experi-
ments [15]. Both approaches detect the electromagnetic fields generated by spin polarized nuclei, but polarization haloscopes do not involve changes in the spin direction and hence do not require long spin coherence times. One could also target kHz to MHz frequencies with our approach by replacing the magnetic field in an LC circuit haloscope [177–179] with a polarized dielectric.

We have laid out a path towards definitively prob-
ing the QCD axion with polarization haloscopes. No
fundamentally new technologies are required, but many
uncertainties remain. Precisely computing the signal re-
quires expertise in theoretical nuclear, atomic, and solid
state physics, while the cavity design and the selection
and polarization of the material require experimental in-
vestigation. Together, such efforts may enable the next
definitive search for dark matter.
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