Engineering local strain for single-atom nuclear acoustic resonance in silicon

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Mechanical strain plays a key role in the physics and operation of nanoscale semiconductor systems, including quantum dots and single-dopant devices. Here we describe the design of a nanoelectronic device where a single nuclear spin is coherently controlled via nuclear acoustic resonance (NAR) through the local application of dynamical strain. The strain drives spin transitions by modulating the nuclear quadrupole interaction. We adopt an AlN piezoelectric actuator compatible with standard silicon metal-oxide-semiconductor processing, and optimize the device layout to maximize the NAR drive. We predict NAR Rabi frequencies of order 200 Hz for a single $^{123}\text{Sb}$ nucleus in a wide region of the device. Spin transitions driven directly by electric fields are suppressed in the center of the device, allowing the observation of pure NAR. Using electric field gradient-elastic tensors calculated by density-functional theory, we extend our predictions to other high-spin group-V donors in silicon, and to the isoelectronic $^{73}\text{Ge}$ atom.

Mechanical strain is a key design parameter for modern solid-state devices, both classical and quantum. In classical microelectronics, strain is used to increase carrier mobility and has been crucial to advancing device miniaturization. Strained heterostructures can confine highly mobile two-dimensional electron gases, used both in classical high-frequency devices and in quantum applications such as quantum dots, quantum Hall devices and topological insulators. It is well established that local strain strongly affects the properties of gate-defined quantum dots and dopants in silicon.

The above examples pertain to static strain. Dynamic strain, and its quantized limit (phonons), constitute instead the “next frontier” of hybrid quantum systems. Circuit quantum acoustodynamics aims at hybridizing acoustic excitations with other quantum systems on a chip. Pioneering experiments coupled superconducting qubits to localized acoustic modes of mechanical resonators or traveling modes of surface acoustic waves. Proposals exist for hybridizing phonons with the valley-orbit states of donors in silicon. Recent efforts include the coherent drive of spins in solids such as diamond and silicon carbide, and the strong coupling between magnons and phonons. Phonic quantum networks can be designed to link acoustically driven quantum systems.

In this paper, we assess the possibility of controlling the quantum state of a single nuclear spin using dynamic mechanical strain, i.e. the nuclear acoustic resonance (NAR) of a single atom. NAR was observed long ago in bulk antiferromagnets and semiconductors. It is a very weak effect, and its development has been essentially abandoned after the 1980s. However, the recent demonstration of nuclear electric resonance (NER) in a single $^{123}\text{Sb}$ nuclear spin in silicon shows that it is possible to coherently drive a nuclear spin by resonant modulation of the electric field gradient (EFG) $\gamma_{\alpha\beta} (\alpha, \beta = x, y, z)$ at the nucleus. Here we study the case where the EFG is caused by a time-dependent local strain $\varepsilon_{\alpha\beta}$ produced by a piezoelectric actuator. The relation between EFG and strain is described by the gradient-elastic tensor $S_{\alpha\beta\gamma\delta}$, which was also obtained from the NER experiment in Ref. [30]. We expand our analysis by using $S$ values obtained from ab-initio density functional theory (DFT) models, covering the $^{75}\text{As}$, $^{123}\text{Sb}$ and $^{209}\text{Bi}$ donor nuclei, and the isoelectronic $^{73}\text{Ge}$ element.

Consider a nuclear spin $I$ with gyromagnetic ratio $g_n$, placed in a static magnetic field $B_0 \parallel z$. For the purpose of this discussion we assume that the nucleus is isolated, i.e. it is not hyperfine- or dipole-coupled to an electron. A coupled electron is necessary during the readout phase, but can be removed at all other times. The isolated nucleus is described in the basis of the states $|m_f\rangle$, $m_f = -I \ldots I - 1, I$ representing the projections of the spin along the $z$-axis, i.e. the eigenvectors of the Zeeman Hamiltonian (in frequency units)

$$\hat{H}_Z = -g_n B_0 \hat{I}_z.$$  \hfill (1)

For nuclei with $I > 1/2$, a static EFG couples to the electric quadrupole moment $q_n$ via the Hamiltonian

$$\hat{H}_Q = \frac{eq_n}{2(I(2I-1))} \sum_{\alpha\beta} \gamma_{\alpha\beta} \hat{I}_\alpha \hat{I}_\beta,$$  \hfill (2)

where $e$ is the elementary charge and $\hbar$ is Planck’s constant. The quadrupole interaction splits the nuclear resonance frequencies $f_{m_{f_{-1}}+m_f}$ between pairs of eigenstates as:

$$f_{m_{f_{-1}}+m_f} = g_n B_0 + \left( m_f - \frac{1}{2} \right) \frac{eq_n}{2(I(2I-1))} \left( \gamma_{xx} + \gamma_{yy} - 2 \gamma_{zz} \right),$$  \hfill (3)

and allows addressing individual transitions. Spin transitions can be driven by standard nuclear magnetic resonance (NMR), but also by resonant modulation of the EFG via the off-diagonal Hamiltonian

$$\delta \hat{H}_Q = \frac{eq_n}{2(I(2I-1))} \sum_{\alpha\beta} \delta \gamma_{\alpha\beta} \hat{I}_\alpha \hat{I}_\beta,$$  \hfill (4)
where $\delta \gamma_{\alpha\beta}$ denotes the amplitude of the time-varying EFG.

For $\Delta m_I = \pm 1$ transitions, the nuclear quadrupolar Rabi frequency $f^{Rabi}_{m_I-1\rightarrow m_I}$ simplifies to

$$f^{Rabi}_{m_I-1\rightarrow m_I} = \frac{|q_{I}|}{2(2I-1)\hbar} \alpha_{m_I-1\rightarrow m_I} \left| \delta \gamma_{xz} + i \delta \gamma_{yz} \right|,$$

(5)

where $\alpha_{m_I-1\rightarrow m_I} = | \langle m_I-1 | \hat{I}_z \hat{I}_x + \hat{I}_y | m_I \rangle |$ for $\beta = x, y$.

In the case of NAR, a time-dependent strain $\delta \varepsilon_{\alpha\beta}$ periodically deforms the local charge environment of the nucleus and creates an EFG modulation described by the gradient-electric tensor $S$. This effect depends on the host crystal and its orientation with respect to the coordinate system in which $S$ is defined. For the $T_d$ symmetry of a substitutional lattice site in silicon, $S$ is completely defined by two unique elements $S_{11}$ and $S_{44}$. In Voigt’s notation and with the Cartesian axes aligned with the $\langle 100 \rangle$-crystal axis, e.g. $z \parallel [100]$, $x \parallel [010]$, and $y \parallel [001]$: \[ \begin{pmatrix} \delta \gamma_{xx} \\ \delta \gamma_{yy} \\ \delta \gamma_{zz} \\ \delta \gamma_{yz} \\ \delta \gamma_{zx} \\ \delta \gamma_{xy} \end{pmatrix} = \begin{pmatrix} S_{11} & S_{33} & S_{44} \\ S_{33} & S_{11} & S_{44} \\ S_{44} & S_{44} & S_{44} \end{pmatrix} \begin{pmatrix} \delta \varepsilon_{xx} \\ \delta \varepsilon_{yy} \\ \delta \varepsilon_{zz} \end{pmatrix}, \] (6)

where the factor 2 in the shear components arises because the $S$-tensor is defined with respect to engineering strains. Crucially, for a magnetic field $B_0 \parallel z$ aligned with a $\langle 100 \rangle$ crystal orientation, Eq. [5] and [6] yield the NAR driving frequency

$$f^{Rabi, NAR}_{m_I-1\rightarrow m_I} = \alpha_{m_I-1\rightarrow m_I} \frac{|q_{I}|}{2(2I-1)\hbar} 2S_{44} \sqrt{\delta \varepsilon_{xx}^2 + \delta \varepsilon_{yy}^2},$$

(7)

which only depends on shear strain components that couple to the EFG via $S_{44}$. Rotating the magnetic field away from the principal crystal axis, e.g. $z \parallel [110]$, would increase the contribution of uniaxial strain components, proportional to $S_{11}$. Since $S_{44} > S_{11}$ in all cases (see Table[I]), the strongest acoustic drive is obtained when $B_0 \parallel \langle 100 \rangle$.

A dynamic EFG can also be created by a time-dependent electric field $\delta E_{\alpha}$ which distorts the bond orbitals coordinated by the donor. This process, leading to NER\(^{36,37}\), is described by the $I$-tensor

$$\begin{pmatrix} \delta \gamma_{xx} \\ \delta \gamma_{yy} \\ \delta \gamma_{zz} \\ \delta \gamma_{yz} \\ \delta \gamma_{zx} \\ \delta \gamma_{xy} \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & R_{14} & 0 & 0 \\ 0 & 0 & R_{14} & 0 & 0 & 0 \\ R_{14} & 0 & 0 & 0 & 0 & 0 \\ 0 & R_{14} & 0 & 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} \delta E_x \\ \delta E_y \\ \delta E_z \end{pmatrix}. \]

(8)

Notably, the resulting NER driving frequency

$$f^{Rabi, NER}_{m_I-1\rightarrow m_I} = \alpha_{m_I-1\rightarrow m_I} \frac{|q_{I}|}{2(2I-1)\hbar} R_{14} \sqrt{\delta E_x^2 + \delta E_y^2}$$

(9)

only depends on electric field components perpendicular to $B_0 \parallel z$. In a device where NAR is driven by a piezoelectric actuator, the time-varying strain is necessarily accompanied by a time-varying electric field, but the above observations will allow us to engineer a layout that maximizes NAR while largely suppressing NER.
be used to deliver oscillating electric fields. A group-V donor or isoelectronic center with nuclear spin \( I > 1/2 \) is introduced by ion implantation. To address an isoelectronic center like \(^{77}\)Ge, the structure should further include a lithographically-defined quantum dot to host an additional electron, hyperfine-coupled to the nucleus, as recently demonstrated with \(^{29}\)Si.

We introduce two changes to the standard layout. First, we include a strip of piezoelectric material, placed on top of the implantation region between the gates and the SET, to create a time-dependent local strain \( \delta \varepsilon_{ab} \) upon application of an oscillating voltage \( V_{RF} \) to the gates. Second, we align the piezoelectric and the gates with the [100] crystal direction, along which a static external magnetic field \( B_0 \sim 1 \text{ T} \) is applied (\( z \)-axis). This requires rotating the device layout by 45° compared to standard donor devices, where \( B_0 \) and gates are aligned along [110]10, which is the natural cleaving face for silicon wafers.

We model the device geometry in the modular COMSOL multiphysics software. A 2 \( \mu \text{m} \times 2 \mu \text{m} \times 2 \mu \text{m} \) silicon substrate is capped by an 8 nm thick SiO\(_2\) layer. The aluminum gates, covered by 2 nm of Al\(_2\)O\(_3\) through oxidation, and the piezoelectric actuator are placed on top. We use the ‘AC/DC Module’ to compute the electrostatics, the ‘Structural Mechanics Module’ for thermal deformation, and combined multiphysics simulations for the piezoelectric coupling. The static strain, created upon cooling the device from 850 °C to 0.2 K in two stages by the difference in thermal expansion coefficients among different materials in the stack, is modelled as described in Ref. 30. Fig. 1 shows the components of the static strain that cause the splitting \( f_Q \) between nuclear resonance frequencies in Eq. 3.

\[
f_Q = \frac{e q n}{2(2I-1)h} \frac{3}{2} S_{11} (\varepsilon_{xx} + \varepsilon_{yy} - 2 \varepsilon_{zz}). \tag{10}
\]

In the center of the implantation region, near the Si/SiO\(_2\) interface, we predict \( f_Q = 14 \text{ kHz} \) for the \(^{123}\)Sb nucleus (see Table 1 for other nuclei), ensuring that the resonance lines are well resolved. In the electrostatic simulations, the idle gate voltages are set to \( V_{LB} = 0 \text{ V}, V_{RB} = 0 \text{ V}, V_{PL} = 0 \text{ V}, V_{TG} = 1.8 \text{ V}, V_{LD} = 0 \text{ V}, V_{RD} = 0 \text{ V}, \) and \( V_{MW} = 0 \text{ V} \). Additionally, we ground the Si/SiO\(_2\) interface under the SET to model the effect of the conducting electron channel. The COMSOL material library conveniently provides all other parameters.

We choose aluminum nitride (AlN) as the piezoelectric actuator. Although other materials such as ZnO and PZT (Pb\([\text{Zr},\text{Ti}_{1-x}]\)O\(_3\)) have stronger piezoelectric response, AlN has the key advantage of being compatible with the MOS fabrication flow. Other piezoelectrics contain fast-diffusing elements which would contaminate the device and potentially the process tools.

Figure 2 shows the maps of dynamical strain \( \delta \varepsilon_{ab} \) along a vertical cross-section of the device, assuming that \( V_{RF} \) has opposite phase on the left and right gates, and 100 mV peak amplitude. The model clearly shows that the shear strain \( \delta \varepsilon_{zz} \) and \( \delta \varepsilon_{xx} \) is the dominant component in the center of the device, as required for fast acoustic drive as per Eq. 7.

To assess the strength of the electric contribution to the nuclear drive, we use COMSOL to model the amplitude of the electric field change \( \delta E_{eff} \) produced by \( V_{RF} \) plotted in Fig. 3. Our chosen device layout, having mirror symmetry around the \( z = 0 \text{ plane} \), and the applied \( V_{RF} \) having opposite phase on the left and right gates, make \( \delta E_x \) and \( \delta E_y \) vanish in the center of the device.

The main result of our work is shown in Fig. 4. We calculate the nuclear Rabi frequencies predicted on the basis of both NAR (\( f_{\text{NAR}}, \text{Eq. 7} \)) and NER (\( f_{\text{NER}}, \text{Eq. 9} \)) using the parameters pertaining the \( |5/2 \rangle \leftrightarrow |7/2 \rangle \) transition of a \(^{123}\)Sb nucleus. We find \( f_{\text{NAR}} \approx 200 \text{ Hz} \) in a wide region of the device, at the shallow depths (\( \approx 5 - 10 \text{ nm} \)) expected for donors implanted at \( \approx 10 \text{ keV} \) energy \( 40,41 \). For an ionized donor nuclear spin in isotopically enriched \(^{28}\)Si, where the dephasing time is \( T_{2h} \approx 0.1 \text{ s} \), this value of \( f_{\text{NAR}} \) is sufficient to ensure high-quality coherent control.

Consistent with earlier experimental results \( 30 \), we predict NER Rabi frequencies up to \( f_{\text{NER}} \approx 1.5 \text{ kHz} \). However, our design ensures that \( f_{\text{NER}} \) vanishes in the center of the device. This results in a \( \approx 10 \text{ nm} \) wide region where \( f_{\text{NAR}} > f_{\text{NER}} \) (Fig. 4 c), i.e. wherein pure NAR can be observed.

A side effect of the application of strain is the local modulation of the host semiconductor’s band structure, which can shift the electrochemical potential of the donor with respect to the SET. This must be minimized to ensure that the charge state of the donor does not change during the NAR drive. The effect of strain on the conduction band can be described via...
deformation potentials. The dominant contribution is uniaxial strain that shifts the respective valleys by $\delta E_{\pm a} = \Xi_a \delta \varepsilon_{aa}$, where $\Xi_a = 10.5 \, \text{eV} \, \text{nm}^{-1}$ for silicon. We estimate a worst-case shift $\delta E_{\text{CB}} = 0.525 \, \text{µeV}$ at the SET, and $\delta E_{\text{Donor}} = 3.36 \, \text{µeV}$ at the donor location. These values are orders of magnitude smaller than the electron confinement energies and the Zeeman splitting (the relevant scale for spin readout), and small enough to be cancelled by compensating voltages on the local gates, if required.

The calculations applied above to $^{123}$Sb can be extended to any other $I > 1/2$ nucleus that can be individually addressed in silicon, by simply adapting the values of $S_{11}$ and $S_{44}$. Table I presents values calculated using the projector-augmented wave formalism implemented in the Vienna Ab initio Simulation Package (VASP). For each dopant species, the EFG at the relevant nucleus is calculated using a supercell of 512 atoms with one singly ionized dopant and a wave-plane cutoff of 500 eV. Having previously established a linear relationship between the EFG and strain up to 1% for $^{123}$Sb, we carry out all EFG calculations for 1% strain and determine the tensor components from Eq. [10]. The numbers in Table I were computed using the SCAN exchange-correlation functional. Using other exchange-correlation functionals, LDA[49] and PBE[50], leads to a 2-10% variation in $S_{11}$ and $S_{44}$ with no consistent trends among the species or functionals. As SCAN best reproduces the bulk elastic properties among the functionals considered, we consider those numbers to be the most reliable and have reported them.

In conclusion, our results show that a simple AlN piezoelectric actuator placed within a standard MOS-compatible donor qubit device is capable of driving coherent NAR transitions in a high-spin group-V donor in silicon. The choice of device layout and magnetic field orientation with respect to the Si crystal axes allows to suppress NER in the center of the device.

The experimental realization of this architecture will provide unique insights into the microscopic interplay between strain and spin qubits in silicon. The exceptional intrinsic spin coherence of nuclear spins in silicon, which results in
resonance linewidths < 10 Hz, translates into an equivalent spectroscopic resolution in the static (via $f_0$) and dynamic (via $\gamma_{\text{Rabi,NA}}$) strain, detected by an atomic-scale probe. This information can be further correlated to other properties of the spin qubits hosted in the device, such as spin relaxation times $^{39}$, hyperfine couplings $^{11,13,14}$ or exchange interactions $^{52-54}$. Furthermore, the mechanical drive of a nuclear spin in an engineered silicon device will inform the prospect of coherently coupling nuclear spins to the quantized motion of high-quality mechanical resonators $^{55,56}$, realizing a novel form of hybrid quantum system $^{57}$.

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DATA AVAILABILITY STATEMENT

The data that support the reported findings are available in FigShare at https://doi.org/10.6084/m9.figshare.16529208.v1

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