Empowering Language Models with Knowledge Graph Reasoning for Question Answering

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Abstract

Answering open-domain questions requires world knowledge about in-context entities. As pre-trained Language Models (LMs) lack the power to store all required knowledge, external knowledge sources, such as knowledge graphs, are often used to augment LMs. In this work, we propose knOwledge REAsOning empoweRed Language Model (OREOLM), which consists of a novel Knowledge Interaction Layer that can be flexibly plugged into existing Transformer-based LMs to interact with a differentiable Knowledge Graph Reasoning module collaboratively. In this way, LM guides KG to walk towards the desired answer, while the retrieved knowledge improves LM. By adopting OREOLM to RoBERTa and T5, we show significant performance gain, achieving state-of-art results in the CloseD-Book setting. The performance enhancement is mainly from the KG reasoning’s capacity to infer missing relational facts. In addition, OREOLM provides reasoning paths as rationales to interpret the model’s decision.

1 Introduction

Open-Domain Question Answering (ODQA), one of the most knowledge-intensive NLP tasks, requires QA models to infer out-of-context knowledge to the given single question. Following the pioneering work by Chen et al. (2017), ODQA systems often assume to access an external text corpus (e.g., Wikipedia) as an external knowledge source. Due to the large scale of such textual knowledge sources (e.g., 20GB for Wikipedia), it cannot be encoded in the model parameters. Therefore, most works retrieve relevant passages as knowledge and thus named Open-Book models (Roberts et al., 2020), with an analogy of referring to textbooks during an exam. Another line of Closed-book models (Roberts et al., 2020) assume knowledge could be stored implicitly in parameters of Language Models (LM, e.g. BERT (Devlin et al., 2019) and T5 (Raffel et al., 2020)). These LMs directly generate answers without retrieving from an external corpus and thus benefit from faster inference speed and simpler training. However, current LMs still miss a large portion of factual knowledge (Pörner et al., 2020; Lewis et al., 2021a), and are not competitive with Open-Book models.

To improve the knowledge coverage of LM, one natural choice is to leverage knowledge stored in Knowledge Graph (KG, e.g. FreeBase (Bollacker et al., 2008) and WikiData (Vrandecic and Krötzsch, 2014)), which explicitly encodes world knowledge via relational triplets between entities. There are several good properties of KG: 1) a KG triplet is a more abstract and compressed representation of knowledge than text, and thus KG could be stored in memory and directly enhance LM without using an additional retrieval model; 2) the structural nature of KG could support logical reasoning (Ren et al., 2020) and infer missing knowledge through high-order paths (Lao et al., 2011; Das et al., 2018). Taking the question “what cheese is used to make the desert cannoli?” as an example, even if this relational fact is missing in KG, we could still leverage high-order relationships, e.g., both Ricotta Cheese and Cannoli are specialties in Italy, to infer the answer “Ricotta Cheese.”
In light of the good properties of $\mathcal{KG}$, there are several efforts to build Knowledge Base Question Answering (KBQA) systems. As is illustrated in Figure 1(a), most KBQA models use LM as a parser to map textual questions into a structured form (e.g., SQL query or subgraph), and then based on $\mathcal{KG}$, the queries could be executed by symbolic reasoning (Berant et al., 2013) or neural reasoning (e.g., Graph Neural Networks) (Sun et al., 2019) to get the answer. Another recent line of research (Verga et al., 2021; Yu et al., 2022b) tries to encode the knowledge graph as the memory into LM parameters. However, for most methods discussed above, LM is not interacting with $\mathcal{KG}$ to correctly understand the question, and the answer is usually restricted to a node or edge in $\mathcal{KG}$.

In this paper, we propose knOwledged REAsOning empowered Language Model (OREOLM), a model architecture that can be applied to Transformer-based LMs to improve Closed-Book ODQA. As is illustrated in Figure 1(b), the key component is the Knowledge Interaction Layers (KIL) inserted amid LM layers, which is like cream filling within two waffles, leading to our model’s name OREO. KIL interacts with a $\mathcal{KG}$ reasoning module, in which we maintain different reasoning paths for each entity in the question. We formulate the retrieval and reasoning process as a contextualized random walk over the $\mathcal{KG}$, starting from the in-context entities. Each KIL is responsible for one reasoning step. It first predicts a relation distribution for every in-context entity, and then the $\mathcal{KG}$ reasoning module traverses the graph following the predicted relation distribution. The reasoning result in each step is summarized as a weighted averaged embedding over the retrieved entities from the traversal.

By stacking $T$ layers of KIL, OREOLM can retrieve entities that are $T$-hop away from in-context entities and help LM to answer open questions that require out-of-context knowledge or multi-hop reasoning. The whole procedure is fully differentiable, and thus OREOLM learns and infers in an end-to-end manner. We further introduce how to pre-train OREOLM over unlabelled Wikipedia corpus. In addition to the salient entity span masking objective, we introduce two self-supervised objectives to guide OREOLM to learn better entity and relation representations and how to reason over them.

We test OREOLM with RoBERTa and T5 as our base LMs. By evaluating on several single-hop ODQA datasets in closed-book setting, we show that OREOLM outperforms existing baselines with fewer model parameters. Specifically, OREOLM helps more for questions with missing relations in $\mathcal{KG}$, and questions that require multi-hop reasoning. We further show that OREOLM can serve as a backbone for open-book setting and achieves comparable performance compared with the state-of-the-art QA systems with dedicated design. In addition, OREOLM has better interpretability as it can generate reasoning paths for the answered question and summarize general relational rules to infer missing relations.

This key contributions are as follows:

- We propose OREOLM to integrate symbolic knowledge graph reasoning with neural LMs. Different from prior works, OREOLM can be seamlessly plugged into existing LMs.
- We pretrain OREOLM with RoBERTa and T5 to on the Wikipedia corpus. OREOLM can bring significant performance gain on ODQA.
- OREOLM offers interpretable reasoning paths for answering the question and high-order reasoning rules as rationales.

2 Methodology

Preliminary We denote a Knowledge Graph $\mathcal{KG} = (\mathcal{E}, \mathcal{R}, A = \{A_r\}_{r \in \mathcal{R}})$, where each $e \in \mathcal{E}$ and $r \in \mathcal{R}$ is entity node and relation label. $A_r \in \{0, 1\}^{|\mathcal{E}| \times |\mathcal{E}|}$ is a sparse adjacency matrix indicating whether relation $r$ holds between a pair of entities. The task of knowledge graph reasoning aims at answering a factoid query $(s, r, ?)$, i.e., which target entity has relation $r$ with the source entity $s$. If $\mathcal{KG}$ is complete, we could simply get answers by checking the adjacency matrix, i.e., $\{\forall t : A_r[s, t] = 1\}$. For incomplete $\mathcal{KG}$ where many relational facts are missing, path-based reasoning approaches (Lao et al., 2011; Xiong et al., 2017; Das et al., 2018) have been proposed to answer the one-hop query via finding multi-hop paths. For example, to answer the query $(s, \text{Mother}, ?)$, a path $s \xrightarrow{\text{Father}} j \xrightarrow{\text{Wife}} t$ could reach the target answer $t$. In this paper we try to integrate symbolic $\mathcal{KG}$ reasoning into neural LMs and help it deal with ODQA problems.

Overview of OREOLM We illustrate the overall architecture of OREOLM in Figure 2. All the light blue blocks are our added components to
Figure 2: Model architecture of OREOLM. Three key procedures are highlighted in red dotted box: 1) Relation Prediction (Sec. 2.1.1): Knowledge Interaction Layers (KIL) predicts relation action for each entity mention. 2) One-step State Transition (Sec. 2.1.2): Based on the predicted relation, KG re-weights each graph and conduct contextualized random walk to update entity distribution state. 3) Knowledge Integration (Sec. 2.2): A weighted aggregated entity embedding is added into a placeholder token as retrieved knowledge.

support KG reasoning, while the dark blue Transformer layers are knowledge-injected LM. The key component of OREOLM for conducting KG reasoning is the Knowledge Interaction Layers (KIL), which are added amidst LM layers to enable deeper interaction with the KG.

Given a question \( q = \text{“The Bauhaus represented Germany’s recovery from which event?”} \), QA model needs to extract knowledge about all \( n \) in-context entity mentions \( M = \{m_i\}_{i=1}^n \), e.g., the history of “Germany” at the time when “Bauhaus” is founded, to get the answer \( a = \text{“World War I”} \). Such open-domain Q&A can be abstracted as \( P(a|q, M) \).

Starting from each mentioned entity \( m_i \), we desire the model to learn to walk over the graph to retrieve relevant knowledge and form a \( T \)-length reasoning path for answering this question, where \( T \) is a hyper-parameter denote the longest reasoning path required to answer the questions. We define each reasoning path starting from the entity mention \( m_i \) as a chain of entities (states) random variables \( \rho_i = \{e_t^i\}_{t=0}^T \), where each mentioned entity is the initial state, i.e., \( e_0^i = m_i \). The union of all paths for this question is defined as \( \varrho = \{\rho_i\} \), which contains the reasoning paths from each mentioned entity to answer the question.

OREOLM factorizes \( P(a|q, M) \) by incorporating possible paths \( \varrho \) as a latent variable, yielding:

\[
P(a|q, M) = \sum_{\varrho} P(\varrho|q, \{m_i\}_{i=1}^n) \cdot P(a|q, M, \varrho) \\
= \sum_{\varrho} \prod_{i=1}^n P(\rho_i|m_i) \cdot P(a|q, \{m_i, \rho_i\}_{i=1}^n) \\
= \sum_{\varrho} \prod_{i=1}^n \prod_{t=1}^T P(e_t^i|q, e_{t-1}^i) \cdot P(a|q, \{e_t^0\}_{t=1}^T)_{KGR \text{Reasoning (2.1)}} \cdot P(a|q, \varrho)_{KGR \text{Integrated LM (2.2)}}
\]

We assume (1) reasoning paths starting from different entities are generated independently; and (2) reasoning paths can be generated autoregressively.

In this way, the QA problem can be decomposed into two entangled steps: 1) KG Reasoning, which autoregressively walks through the graph to get a path \( \rho_i \) starting from each entity mention \( m_i \); and 2) knowledge-injected LM, which benefits from the reasoning paths to obtain the out-context knowledge for answer prediction.

The relational path \( \rho_i \) in KG Reasoning requires the selection of next entity \( e_t^i \) at each step \( t \). We further decompose it into two steps: 1.a) relation prediction, in which LM is involved to predict the next-hop relation based on the current state and context; and 1.b) the non-parametric state transition, which is to predict the next-hop entity based on the KG and the predicted relation. Formally:
representing the relation distribution to guide walking through the graph. Denote the corresponding [REL] token as \( \text{REL}[i] \) (and similarly for other special tokens). The contextual embedding \( \text{LM}_{\text{REL}}[t] \) encode the relevant information in question \( q \) that hints next relation. We maintain a global relation key memory \( K_{\text{rel}} \in \mathbb{R}^{|R| \times d} \) storing each relation’s \( d \)-dimensional embedding. To calculate similarity, we first get relation query \( Q_{\text{REL}}[t] \) by projecting relation token’s embedding into the same space of key memory via a projection head \( \text{Q-Proc} \) followed by a LayerNorm (abbreviated as LN), and then calculate dot-product similarity followed by softmax:

\[
\begin{align*}
\varphi_{\text{REL}}[t] &= \text{LN}( \text{Q-Proc}( \text{LM}_{\text{REL}}[t] ) ) , \\
\gamma_{i}[t] &= P_{\text{rel}}(r_{i}[t]|q,e_{<t}) = \text{Softmax}(Q_{\text{REL}}[t]K_{\text{rel}}^{T}) .
\end{align*}
\]

We highlight the three procedures in red dotted box in Figure 2. We take the first reasoning step starting from entity mention “Bauhaus” as an example. In the first red box within KIL, we predict which relation action should be taken for entity “Bauhaus”, and send the prediction (e.g. “Founded”) to KG. In the second red box, KG reweights the graph and conducts contextualized random walk to update entity distribution, where “Walter” has the highest probability. Finally, weighted by the entity distribution, an aggregated entity embedding is sent back to KIL and added into a placeholder token as the knowledge, so the later LM layer knows to focus on the retrieved “Walter”. We introduce these steps in the following.

**Input** Initially, we first identify all \( N \) entity mentions \( \{m_{i}\}_{i=1}^{N} \) in the input question \( q \) as well as the corresponding KG entities\(^1\). For each mention \( m_{i} \) we add three special tokens as the interface for Knowledge Interaction Layers (KIL) to send instruction and receive knowledge: we add a \([S-\text{ENT}]\) token before, and \([\text{REL}],[\text{T-\text{ENT}}] \) tokens after each entity mention \( m_{i} \). KIL can be flexibly inserted into arbitrary LM intermediate layer. By default, we just insert each KIL every \( N \) Transformer-based LM layers, thus the input to the \( t \)-th KIL are contextualized embeddings of each token \( k \) as \( \text{LM}_{k}^{(t)} \), including added special tokens.

### 2.1 LM involved KG Reasoning

We first introduce the reasoning process

\[
P(e_{i}|q,e_{<t}) = \sum_{r_{i}} P(r_{i}|q,e_{<t}) \cdot P(e_{i}|r_{i},e_{<t}^{<t}) .
\]

We denote a non-linear MLP projection as \( \text{X-Proj}(h) = W_{2}^{T} \sigma(W_{1}^{T}h + b_{1}) + b_{2} \), where \( X \) have different instantiations.

### 2.1.1 Relation Prediction.

For each entity mention \( m_{i} \), we desire to predict which relation action should take \( r_{i} \) as instruction to transit state. We define the predicted relation probability vector

\[
\gamma_{i}[t] = P_{\text{rel}}(r_{i}[t]|q,e_{<t}) = \text{Softmax}(Q_{\text{REL}}[t]K_{\text{rel}}^{T}) .
\]

\(^{1}\)Throughout the paper, all vectors are row-vectors.
\( \tilde{A}^{(t)}_i \in \mathbb{R}^{|\mathcal{E}| \times |\mathcal{E}|} \) by adjusting the edge weight:

\[
\tilde{A}^{(t)}_i = \sum_{r \in \mathcal{R}} w_r \cdot \gamma^{(t)}_{i,r} \cdot A_{r},
\]

where \( w_r \) is a learnable importance weight for relation \( r \) that helps solving downstream tasks, and \( \gamma^{(t)}_{i,r} \) is the probability corresponding to relation \( r \) in \( \gamma^{(t)}_i \). With the transition matrix \( \tilde{M}^{(t)}_{crw,i} \), the state transition is defined as \( \pi^{(t)}_i = \pi^{(t-1)}_{i} \tilde{M}^{(t)}_{crw,i} \).

CRW allows each reasoning path \( r_i \) to have its transition matrix. However, as the total number of entity nodes \( |\mathcal{E}| \) could be huge (e.g., 5M for WikiData), we cannot afford to update the entire adjacency matrix for every in-batch mention. We thus adopt a scatter-gather pipeline to implement graph walking as shown in Algorithm 1. We first gather the entity and relation probability to each edge, and then scatter the probability to target nodes. This allows us to simultaneously conduct message passing with modified adjacency weight \( \tilde{A}^{(t)}_i \) for all entity mention \( m_i \) in parallel.

**Algorithm 1:** Pytorch Pseudocode of CRW

```python
def ContextualizedRandomWalk(i_init, KG, w_deg, w_rel, p_ent, p_rel, # initial entity index and Graph inv(degree) and relation weights entity and predicted relation distributions)

1: -> FloatTensor

# Get <src, rel, tgt> edge list of k-hop subgraph
i_src, i_rel, i_tgt = k_hop_subgraph(i_init, KG)
# Gather entity and relation probability to edge
p_src = (p_ent * w_deg)[i_src, :].unsqueeze(1)
# Gather entity probability to target node
p_tgt = scatter_add(src=p_edge, idx=i_tgt, dim=1)

return p_ent[:, (t+1)-th step's entity distribution]
```

The complexity is \# of in-batch entities times \# of edges in \( T \)-hop subgraph starting from these entities, i.e., \( O(n \times \#edge) \), and thus this operation is not expensive. Another concern is why not using Graph Neural Networks (GNNs). We provide discussion in Sec. C in Appendix.

### 2.2 Knowledge-Injected LM

After we get the updated entity distribution \( \pi^{(t)}_i \), we want to inject such information back to the LM without harming its overall structure. We maintain a global entity embedding value memory \( V_{ent} \in \mathbb{R}^{|\mathcal{E}| \times d} \) storing entity embeddings. We only consider the entities within the sampled local subgraph in each batch. We thus get an entity index list \( I \) as the query to sparsely retrieve a set of candidate entity embeddings and then aggregate them weighted by entity distribution and embedding table. We then use a Value Projection block to map the aggregated entity embedding into the space of LM, and then directly add the transformed embedding back to the output of \( T \)-ENT.

\[
V^{(t)}_i = V \cdot \text{Proj}^{(t)}(\pi^{(t)}_i \cdot V_{ent}[:I]),
\]

\[
\tilde{L}^{(t)}_{T-ENT}[i] = \text{LN}^{(t)}(\tilde{L}^{(t)}_{T-ENT}[i] + V^{(t)}_i).
\]

Then, we just take all \( \tilde{L}^{(t)}_{T-ENT} \) as input to next Transformer-based LM layer to learn the interaction between the retrieved knowledge with in-context words via self-attention.

By repeating the KIL for \( T \) times, the final representation \( \hat{L}^{(t)}_{T-ENT} \) is conditioned on the reasoning paths \( r_i = e_i^{(t)}, T \), which reaches entities that are \( T \)-hop away from initial entity \( m_i \) in the question. Finally, we can predict the answer of open questions \( P(a | q, e_i^{(t)}, T) \) by taking knowledge-injected representation \( \hat{L}^{(t)}_{T-ENT} \) for span extraction, entity prediction or direct answer generation.

### 2.3 Pre-Train OREOLM to Reason

The design of OREOLM allows end-to-end training given QA datasets. However, due to the small coverage of knowledge facts for existing QA datasets, we need to pretrain OREOLM on a large-scale corpus to get good entity embeddings.

**Salient Span Masking** One straightforward approach is to use Salient Span Masking (SSM) objective (Guu et al., 2020) to mask out entities or noun tokens requiring specific out-of-context knowledge. We mainly mask out entities for guiding OREOLM to reason. Instead of randomly masking entity mentions, we explicitly sample a set of entity IDs and mask every mentions linking to these entities. This could prevent the model copy the entity from the context to fill in the blank. We also follow (Yang et al., 2019) to mask out consecutive token spans. We then calculate the cross-entropy loss on each salient span masked (SSM) token as \( L_{SSM} \).

#### 2.3.1 Weakly Supervised Training of KIL

Ideally, OREOLM can learn all the entity knowledge and how to access the knowledge graph by solely optimizing \( L_{SSM} \). However, without a good initialization of entity and relation embeddings, KIL makes a random prediction, and the retrieved entities by KG reasoning are likely to be unrelated.
to the question. In this situation, KIL does not receive meaningful gradients to update the parameters, and LM learns to ignore the knowledge. To avoid this cold-start problem and provide entity and relation embedding a good initialization, We utilize the following two external signals as self-supervised guidance.

Entity Linking Loss To initialize the large entity embedding tables in $V_{ent}$, we use other entities that are not marked as supervision. Similar to Févry et al. (2020), we force the output embedding of [S-ENT] token before the first KIL followed by a projection head E-Proj to be close to its corresponding entity embedding:

$$E_{S-ENT[i]} = LN(E-Proj(LM_{S-ENT[i]}^{(1)})),$$

$$P_{ent}(e|m_i, q) = \text{Softmax}(E_{S-ENT[i]} \cdot V_{ent}[I]^{T}),$$

$$L_{ent} = \sum_{m_i} - \log P_{ent}(e|m_i, q) \cdot \pi_i[I].$$

Similar to Section 2.2, we only consider entities within the batch, denoted by index $I$. This contrastive loss guides each entity’s embedding $V_{ent}[e]$ closer to all its previously mentioned contextualized embedding, and thus memorizes those context as a good initialization for later knowledge integration.

Weakly Supervised Relation Path Loss Entity mentions within each Wikipedia passage are naturally grounded to WikiData $KG$. Therefore, after we mask out several entities, we can utilize the $KG$ to get all reasoning paths from other in-context entities to the masked entities as weakly supervised relation labels.

Formally, we define a Grounded Dependency Graph $DG$, which contains all reasoning paths within $T$-step from other in-context entities to masked entities, and then define $R_{DG}(m_i, t)$ as the set of all relations over every edges for entity mention $m_i$ at $t$-th hop. Based on it, we define the weakly supervised relation label $q^{(t)}_i \in \mathbb{R}^{|R|}$ as the probabilistic vector which uniformly distributed on each relation in set. Note that we call uniformly-weighted $q^{(t)}_i$ as weakly supervised because 1) some paths lead to multiple entities rather than only the target masked entity; 2) the correct relation is dependent on the context. Therefore, $q^{(t)}_i$ only provides all potential candidates for reachability, and more fine-grained signals for reasoning should be learned from unsupervised $L_{SSM}$. We adopt a list-wise ranking loss to guide the model to assign a higher score on these relations than others.

$$L_{rel} = \sum_{m_i} \sum_{t=1}^{T} - \log P_{rel}(r|m_i, q) \cdot q^{(t)}_i$$

Overall, $L_{ent}$ and $L_{rel}$ provide OREOLM with good initialization of the large $KG$ memory. Afterward, via optimizing $L_{SSM}$, the reasoning paths that provide informative knowledge receive a positive gradient, guiding OREOLM to reason.

3 Experiments

The proposed KIL layers can be plugged into most Transformer-based Language Models without hurting its original structure. In this paper, we experiment with both encoder-based LM, i.e. RoBERTa-base ($d = 768, l = 12$), and encoder-decoder LM, i.e. T5-base ($d = 768, l = 12$) and T5-large ($d = 1024, l = 24$). For all LMs, add 1 KIL layer or 2 KIL layers to the encoder layers. The statistics of $KG$ are shown in Table 1. Altogether, it takes about 0.67B parameter for $KG$ memory, which is affordable to load as model parameter. We pretrain all LMs using the combination of $L_{SSM}$, $L_{ent}$ and $L_{rel}$ for 200k steps on 8 V100 GPUs, with a batch size of 128 and default optimizer and learning rate in the original paper, taking approximately one week to finish pre-training of T5-large model, and 1-2 days for base model. Implementation details are elaborated in Appendix A.

3.1 Evaluate for Closed-Book QA

OREOLM is designed for improving Closed-Book QA, so we first evaluate it in this setting.

| Name          | Number | dimension | #param (M) |
|---------------|--------|-----------|------------|
| Number of Entity | 4,947,397 | 128    | 633         |
| Number of Relation | 2,008  | 768    | 1.5         |
| Number of Edges | 45,217,947 | -     | 47          |

Table 1: Statistics and parameter of $KG$ Memory.
Generative QA Task Following the hyperparameters and setting in (Roberts et al., 2020), we directly fine-tune the T5-base and T5-large augmented by our OREOLM on the three single-hop ODQA datasets: Natural Question (NQ) (Kwiatkowski et al., 2019), WebQuestions (WQ) (Berant et al., 2013) and TriviaQA (TQA) (Joshi et al., 2017). To test OREOLM’s ability to solve complex questions, we also evaluate on two multi-hop QA datasets, i.e. Complex WQ (Talmor and Berant, 2018) and HotpotQA (Yang et al., 2018). Detailed dataset statistics and experimental setups are in Appendix B.

Experimental results are shown in Table 7. We use Exact Match accuracy as the metric for all the datasets. On the three single-hop ODQA datasets, OREOLM with 2 KIL blocks achieves 3.3 absolute accuracy improvement to T5-base, and 3.4 improvement to T5-large. Compared with T5 model with more model parameters (e.g., T5-3B and T5-11B), our T5-large augmented by OREOLM could outperform T5-3B on NQ and WQ datasets. In addition, OREOLM could use the generated reasoning path to interpret the model’s prediction. We show examples in Table 10 in Appendix B.

For the two multi-hop QA datasets, the performance improvement brought by OREOLM is more significant, i.e., 7.8 to T5-base and 8.2 to T5-large. Notably, by comparing the T5-3B and T5-11B’s performance on HotpotQA (we take results from (Chen et al., 2022)), T5-large augmented by OREOLM achieves 1.2 higher than T5-11B. This shows that OREOLM is indeed very effective for improving Closed-Book QA performance, especially for complex questions.

Entity Prediction Task Encoder-based LM (i.e., RoBERTa) in most cases cannot be directly used for Closed-Book QA, but more serve as reader to extract answer span. However, Verga et al. (2021) propose a special evaluation setting as Closed-Book Entity Prediction. They add a single \texttt{[MASK]} token after the question, and use its output embedding to classify WikiData entity ID. This restricts that answers must be entities that are covered by WikiData, which they call \textit{WikiData-Answerable} questions. We follow Verga et al. (2021) to use such reduced version of WebQuestionsSP (WQ-SP) (Yih et al., 2015) and TriviaQA (TQA) as evaluation dataset, and finetune the RoBERTa (base) model augmented by OREOLM to classify entity ID. We mainly compare OREOLM with EaE (Févy et al., 2020) and FILM (Verga et al., 2021), which are two KG memory augmented LM. We also run experiments on KEPLER (Wang et al., 2019), a RoBERTa model pre-trained with knowledge augmented task.

Experimental results are shown in Table 3. Similar to the observation reported by Verga et al. (2021), adding KG memory for this entity prediction task could significantly improve over vanilla LM, as most of the factual knowledge required to predict entities are stored in KG. By comparing with FILM (Verga et al., 2021), which is the state-of-the-art model in this setup, OREOLM with reasoning step \((T=2)\) outperforms FILM by 2.9, with smaller memory consumption.

### 3.2 Analyze KG Reasoning Module

In our previous studies, we find that using a higher reasoning step, i.e. \(T = 2\), generally performs better than \(T = 1\). We hypothesize that the KG we use has many missing one-hop facts, and high-order reasoning helps recover them and empowers the model to answer related questions. To test whether OREOLM indeed can infer missing facts, we use EntityQuestions (EQ) (Sciavolino et al., 2021), which is a synthetic dataset by mapping each WikiData triplet to natural questions. We take RoBERTa-base model augmented by OREOLM trained on NQ as entity predictor and directly test

| Models          | #Param | NQ  | WQ  | TQA | ComplexWQ | HotpotQA |
|-----------------|--------|-----|-----|-----|-----------|----------|
| T5 (Base)       | 0.22B  | 25.9| 27.9| 29.1| 11.6      | 22.8     |
| + OREOLM (T=1)  | 0.23B + 0.68B | 28.3| 30.6| 32.4| 20.8      | 24.1     |
| + OREOLM (T=2)  | 0.24B + 0.68B | 28.9| 31.2| 33.7| 23.7      | 26.3     |
| T5 (Large)      | 0.74B  | 28.5| 30.6| 35.9| 16.7      | 25.3     |
| + OREOLM (T=1)  | 0.75B + 0.68B | 30.6| 32.8| 39.1| 24.5      | 28.2     |
| + OREOLM (T=2)  | 0.76B + 0.68B | 31.0| 34.3| 40.0| 27.1      | 31.4     |
| T5-3B (Roberts et al., 2020) | 3B    | 30.4| 33.6| 43.4| -         | 27.8     |
| T5-11B (Roberts et al., 2020) | 11B   | 32.6| 37.2| 50.1| -         | 30.2     |

Table 2: Closed-Book Generative QA performance of Encoder-Decoder LM on Single- and Multi-hop Dataset.
its transfer performance on EQ dataset without further fine-tuning.

To test whether OREOLM could recover missing relation, we mask all the edges corresponding to each relation separately and make the prediction again. The average results before and after removing edges are shown on the left part of Figure 4. When we remove all the edges to each relation, OREOLM with $T = 1$ drops significantly, while $T = 2$ could still have good accuracy. To understand why OREOLM ($T = 2$) is less influenced, in the right part of Figure 4, we generate a reasoning path for each relation by averaging the predicted probability score at each reasoning step and pick the relation with the top score. For example, to predict the “Capital” of a country, the model learns to find the living place of the president, or the location of a country’s central bank. Both are very reasonable guesses. Many previous works (Xiong et al., 2017) could also learn such rules in an ad-hoc manner and require costly searching or reinforcement learning. In contrast, OREOLM could learn such reasoning capacity for all relations end-to-end during pre-training.

Ablation Studies We conduct several ablation studies to validate which model design indeed contributes to the model. As shown in the bottom blocks in Table 3, we first remove the KG reasoning component and provide RoBERTa base model via concatenated KB triplets and train such a model using $L_{SSM}$ over the same WikiDataset. Such a model’s results are close to the KEPLER results but much lower than other models with explicit knowledge memory. We further investigate the role of pre-training tasks. Without pre-training, the OREOLM only performs slightly better than RoBERTa baseline, due to the cold-start problem of entity and relation embedding. We further show that removing $L_{ent}$ and $L_{ent}$ could significantly influence final performance. The current combination is the best choice to train OREOLM to reason.

3.3 Evaluate for Open-Book QA

Though OREOLM is designed for Closed-Book QA, the learned model can serve as backbone for Open-Book QA. We take DPR and FiD models as baseline. For DPR retriever, we replace the question encoder to RoBERTa + OREOLM, fixing the passage embedding and only finetune on each downstream QA dataset. For FiD model, we replace the T5 + OREOLM. We also changed the retriever with our tuned DPR. Results in Table 4 show that by augmenting both retriever and generator, OREOLM improves a strong baseline like FiD, for about 3.1% for Base and 1.8% for Large, and it outperforms the very recent KG-FiD model for 1.6% in base setting, and achieve comparative performance in a large setting. Note that though our results is still lower than some recent models (e.g., EMDR$^2$), these methods are dedicated architecture or training framework for Open-Book QA. We may integrate OREOLM with these models to further improve their performance.

4 Related Work

Open-Domain Question Answering (ODQA) gives QA model a single question without any context and asks the model to infer out-of-context knowledge. Following the pioneering work by Chen et al. (2017), most ODQA systems assume the model can access an external text corpus (e.g., Wikipedia). Due to the large scale of web corpus (20GB for Wikipedia), it could not be simply encoded in the QA model parameters, and thus most works propose a Retrieval-Reader pipeline, by firstly index the whole corpus and use a retriever model to identify which passage is relevant
to the question; then the retrieved text passage concatenate with question is re-encoded by a separate reader model (e.g., LM) to predict answer. As the knowledge is outside of model parameter, Roberts et al. (2020) defines these methods as Open-book, with an analogy to referring textbooks during exam. Closed-book QA models (mostly a single LM) try to answer open questions without accessing external knowledge. This setting is much harder as it requires LM to memorize all pertinent knowledge in its parameters, and even recent LMs with much larger model parameters is still not competitive to state-of-the-art Open-book models.

Knowledge-augmented Language Models explicitly incorporate external knowledge (e.g. knowledge graph) into LM (Yu et al., 2022d). Overall, these approaches can be grouped into two categories: The first one is to explicitly inject knowledge representation into language model pre-training, where the representations are pre-computed from external sources (Zhang et al., 2019; Liu et al., 2021; Hu et al., 2021). For example, ERNIE (Zhang et al., 2019) encodes the pre-trained TransE (Bordes et al., 2013) embeddings as input. The second one is to implicitly model knowledge information into language model by performing knowledge-related tasks, such as entity category prediction (Yu et al., 2022b) and graph-text alignment (Ke et al., 2021). For example, JAKET (Yu et al., 2022b) jointly pre-trained both the KG representation and language representation by adding entity category and relation type prediction self-supervised tasks.

There also exists several QA works using KG to help ODQA. For example, Asai et al. (2020) and Min et al. (2019) expand the entity graph following wikipedia hyperlinks or triplets in knowledge base. Ding et al. (2019) extract entities from current context via entity-linking and turn them into a cognitive graph, and a graph neural network is applied on top of it to extract answer. Dhingra et al. (2020) and Lin et al. (2020) construct an entity-mention bipartite graph and then model the QA reasoning as graph traversal by filtering only the contexts that are relevant to the question. Lin et al. (2019), Feng et al. (2020) and Yasunaga et al. (2021) parse the question into a sub-graph of knowledge base, and apply graph neural networks as reasoner for extracting one of the entities as the answer.

To encode knowledge (significantly smaller than the web corpus) as memory into LM parameter, a line of works try compressed knowledge including QA pairs (Chen et al., 2022; Lewis et al., 2021b; Yu et al., 2022c), entity embedding (Févry et al., 2020) and reasoning cases (Das et al., 2021, 2022). There’s also several works utilizing Knowledge Graph (KG) to augment LM. FILM (Verga et al., 2021) turns KG triplets into memory. Given a question, LM retrieves most relevant triplet as answer. GreaseLM (Zhang et al., 2022) propose to interact LM with KG via a interaction node.

5 Conclusion

We presented OREOLM, a novel model that incorporates symbolic KG reasoning with existing LMs. We showed that OREOLM can bring significant performance gain to open-domain QA benchmarks, both for closed-book and open-book settings, as well as encoder-only and encoder-decoder models. Additionally, OREOLM produces reasoning paths that helps interpret the model prediction. In future, we’d like to improve OREOLM by training to conduct more reasoning steps, supporting locial reasoning, and apply OREOLM to a broader range of knowledge-intensive NLP tasks.

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6 Limitations

Limited Reasoning Steps In our experiments, we show that using reasoning step \( T = 2 \) has better performance to \( T = 1 \) on one-hop and multi-hop (mostly two) QA datasets. Thus, it’s a natural question about whether we could extend reasoning steps more? As previous KG reasoning mostly could support very long path (with LSTM design) we have the assumption that reasoning paths starting from different entities should be independent. This is not always correct, especially for questions that require logical reasoning, say, have conjunction or disjunction operation over each entity state. And thus our current methods might not work for those complex QA with logical dependencies.

Large Entity Embedding Table requires Pre-Training and GPU resources Our current design has a huge entity embedding table, which should be learned through additional supervision and could not directly fine-tune to downstream tasks. This is restricts our approach’s usage. We hypothesize the following reasons: 1) A large portion of our current model’s improvement relies on the weakly supervised relation pre-training. To do it, we construct a K-hop (K=2 now) subgraph, and sample dependency graph based on it. The larger K we choose, the more noise is included into the generated relation label, in an exponential increasing speed. Thus, it’s harder to get accurate reasoning path ground-truth for high-order T. Another potential reason is that within Transformer model, the representation space in lower and upper layer might be very different, say, encode more syntax and surface knowledge at lower layers, while more semantic knowledge at upper layers. Currently we adopt a MLP projection head, wishing to map integrated knowledge into the same space, but it might have many flaws and need further improvement.

Require Entity Linking Current model design requires an additional step of entity linking for incoming questions, and then add special tokens as interface. A truly end-to-end model should identify which elements to start conducting reasoning by its own without relying on external models.

Only support relational path-based reasoning Though there are lots of potential reasoning tasks, such as logical reasoning, commonsense reasoning, physical reasoning, temporal reasoning, etc. Our current model design mainly focus on path-based relational reasoning, and it should not work for other reasoning tasks at current stage.

Unreasonable Assumption of Path Indepedency When we derive equation 1, we have the assumption that reasoning paths starting from different entities should be independent. This is not always correct, especially for questions that require logical reasoning, say, have conjunction or disjunction operation over each entity state. And thus our current methods might not work for those complex QA with logical dependencies.

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A Implementation Details

Entity Linking during pre-training We use the 2021 Jan. English dump of Wikidata and Wikipedia. For each Wikipedia page, we link all entity mentions with hyperlinks to WikiData entity entry, augment all other mentions with same aliases, tokenize via each LM’s tokenizer and split into chunks with maximum token length allowed. We then construct induced k-hop subgraphs connecting entities within each chunk for quickly get grounded computational graph.

For entities, Wikipedia provides hyperlinks with ground-truth entity ID, but it doesn’t cover all the entity mentions, mostly hyperlinks only appear when this entity appears for the first time. Therefore, we first collect all entities appeared in hyperlinks as well as their aliases stored in WikiData, and then search any mentions that have any of these alias and link it to the corresponding entity.

Hyperparameters In this work, we don’t have too much hyperparameters to be tuned, as most parameters as well as optimizing setting of LM is fixed. Our random walk part is non-parametric. The only tunable hyperparamter is hidden dimension size. We simply choose one setting, which is 128 for entity embedding, and 768 for relation embedding. The former is because entity is super large (over 5M), so we use a relatively smaller dimension size. Detailed statistics about wikidata memory is in Table 1.

B Dataset Details

Below shows details for each dataset, and the detailed dataset split is shown in Figure 5

Natural Questions (Kwiatkowski et al., 2019) contains questions from Google search queries, and the answers are text spans in Wikipedia. We report short answer Exact Match (EM) performance. The open version of this dataset is obtained by discarding answers with more than 5 tokens.

WebQuestions (WQ) (Berant et al., 2013) contains questions from Google Suggest API, and the answers are entities in Freebase.

TriviaQA (Joshi et al., 2017) contains trivia questions and answers are text spans from the Web. We report Exact Match (EM) performance. We use its unfiltered version for evaluation.

HotpotQA (Yang et al., 2018) is a multi-hop QA dataset. There are two evaluation settings. In the distractor setting, 10 candidate paragraphs are provided for each question, of which there are two golden paragraphs. In the full-wiki setting, a model is required to extract paragraphs from the entire Wikipedia. We report Exact Match (EM) on full-wiki setting.

Complex WebQuestions (Talmor and Berant, 2018) is a dataset that composite simple one-hot questions in WebQuestionsSP by extending entities or adding constraints, so that each question requires complex reasoning to solve.

WebQuestionsSP (Yih et al., 2015) is an annotated dataset from WebQuestions, such that each question is answerable using Freebase via a SQL query.

C Discussion with Previous Works

Compare with FILM Though FILM has the advantage of end-to-end training and easily modification of knowledge memory, it simply stacks KG module on top of LM without interaction, and can only handle one-hop relational query that is answerable by KG. Our approach, OREOLM, follows the same memory idea by encoding KG into LM parameter, and we desire LM and KG reasoning module could interact and collaboratively improve each other.

Notably, OREOLM with T = 1 shares a similar design with FILM. The major differences are: 1) they store every triplet as a key-value pair, while we explicitly keep the KG adjacency matrix and conduct a random walk, which has smaller search space and is more controllable. 2) They add the memory on top of LM, and thus the knowledge could not help language understanding, and FILM could mainly help wikipedia-answerable questions. Instead, we insert the KIL layer amid LM layers to encourage interaction, and thus the model could also benefit encoder-decoder model (as shown above).

Compare with Previous Path-Based Reasoning and Retrieval Pre-Training Note that as our definition of entity state πi and relation action γi are both continuous probabilistic vector, the whole KG Reasoning is fully differentiable and thus could be integrated into LM seamlessly and trained end-to-end. This is different from previous path traversal works such as DeepPath (Xiong et al., 2017) and MINERVA (Das et al., 2018), which defines state
and action as discrete and could only be trained via reinforcement learning rewards. The reasoner training is also different from passage retrieval pre-training (Guu et al., 2020; Sachan et al., 2021a), as the passage are naturally consisted of discrete tokens, and thus the reader is still required to re-encode the question with each passage, and different objectives are required to train retriever and reader separately.

### Discussion of Graph Walking-based Reasoning vs Graph Neural Networks

Recently, Graph Neural Networks (GNNs) have shown superior performance for structured representation learning. There’s also a lot of works trying to use GNNs for Question Answering (Yasunaga et al., 2021; Zhang et al., 2022). The one that has very similar motivation with us is GreaseLM. Therefore, a natural question is, whether could we use GNN instead of the non-parametric random walk module, for ODQA?

To answer this question, let’s consider a simplest setup of GNN. We could identify initial entities, connected them via a k-hop subgraph, and encode graph with text (Zhang et al., 2022) or independently (Yu et al., 2022b). When we want to retrieve knowledge from graph to LM, normally we just take the contextualized node embedding as input for knowledge fusion.

In this setup, say the answer is $K$-hop away from an initial entity, the ground-truth reasoning path is $e_0, r_1, e_1, r_2, \ldots, e_{k-1}, r_k, e_k = a$. Using our method, we first predict $r_1$, transit to $e_1$, and step by step conduct reasoning via walking. However, if we use GNN’s final embedding, it requires to pass information from neighbor to itself. Therefore, suppose we have a $K$-layer GNN, the first step should be identify $r_k$, and pass information from answer $e_k = a$ to $e_{k-1}$. This is counter-intuitive as we normally cannot assume to know the answer, nor knowing the last step to reach the answer. In situations where all candidate answer is given, like CommonSenseQA, where GreaseLM mainly works on, this problem is less harmful as it’s guaranteed to contain the answer in a restricted small graph. However, in open-domain setup, we need to try best to narrow down the search space by following the forward reasoning instead of the backward manner. Therefore, in this work we adopt walking-based reasoning.

### D Illustration of Pre-Trained Data and Reasoning Paths

The pre-training samples and reasoning paths (generated by T5-large on NQ dataset) is shown from Table 8-11.

| Dataset                     | Train  | Dev    | Test   |
|-----------------------------|--------|--------|--------|
| Natural Questions           | 58880  | 8757, 3610 |
| Trivia QA                   | 60413  | 8837   | 11313  |
| Web Questions               | 2474   | 361    | 2032   |
| Complex WebQ                | 27623  | 3518   | 3531   |
| WebQ-SP (Wiki-answerable)   | 1388   | 153    | 841    |
| FreebaseQA (Wiki-answerable)| 12535  | 2464   | 2440   |

| Models          | #param (B) | WQ-SP | TQA |
|-----------------|------------|-------|-----|
| RoBERTa (Base)  | 0.12       | 47.5  | 40.3|
| + OREOLM ($T=1$) | 0.12 + 0.68 | 89.7  | 61.4 |
| + OREOLM ($T=2$) | 0.13 + 0.68 | 92.4  | 66.8 |

Table 5: Dataset Train/Valid/Test splits.

Table 6: **Closed-Book Entity Prediction** validation performance of Encoder RoBERTa on WikiData-Answerable Dataset.
| Models               | #param | NQ | WQ | TQA | ComplexWQ | HotpotQA |
|---------------------|--------|----|----|-----|-----------|----------|
| T5 (Large)          | 0.74B  | -  | -  | -   | -         | -        |
| + OREOLM (T=2)      | 0.76B + 0.68B | 33.6 | 38.9 | 42.7 | 29.6 | 35.5 |

Table 7: **Closed-Book Generative QA** validation performance of T5.
Table 8: Example of Pre-training data points (Part 1).
| Title               | Masked Text                                                                 | Ground Truth                                                                                       | Dependency Graph                                                                                                                                                                                                 | K-Hop Graph |
|--------------------|----------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|
| Shen Chun-shan     | his memoirs, he suffered his second stroke[rel]                              | ["... however", 'go seigen', 'ani', '2007', he, 'was hospital', 'bsinchu', 'after surgery', 'scale', 'continuing to improve', 'his coma. in..."] | ![Dependency Graph](image1)                                                                                                                                                                                                                                           | ![K-Hop Graph](image2) |
| 2007 Florida Gators | tim[rel] completed 22 of 27 passes for 261 yards passing and also ran for[rel] | ["tebow', '35', 'percy harvin', 'and', 'yards', '30-91', 'renewed their bud- sing', 'gamecocks', 'gator', 'quarter- back', 'set a career- high', 'of these five rushing', 'percy harvin', 'sinus in- fection', 'ators', 'touchdown'] | ![Dependency Graph](image3)                                                                                                                                                                                                                                           | ![K-Hop Graph](image4) |
| Judgment Day       | alan moore[rel] used "judgment day" to reject the violent, deconstructive clichés of 1990s comics inadvertently caused by his own work on "watchmen[rel]" and "saga of the[rel]" and uphold the values of classic superhero comics. | ["... swamp thing', 'himself creates a new backstory', '1997', 'riptide', 'knightsubre appears to be', 'and sw', 'badrock', 'supreme', 'by', 'analyzing', 'cyber- netic young', 'it, and it has', 'ue out', 'administrator for youngblood'] | ![Dependency Graph](image5)                                                                                                                                                                                                                                           | ![K-Hop Graph](image6) |

Table 9: Example of Pre-training data points (Part 2).
| **Question** | **Answer** | **Reasoning Paths as Rationale** |
|--------------|------------|---------------------------------|
| southern soul was considered the sound of what independent record label | ['Motown'] | soulmusic → genre → label → ? |
| who is the bad guy in lord of the rings | ['Sauron'] | the lord of the rings (film series) → theme → characters → ? |
| where was the mona lisa kept during ww2 | ['the Ingres Museum', "Château d'Amboise", 'Château de Chambord', 'the Loc - Dieu Abbey'] | mona lisa → creator → ? |
| world war 2 | | world war 2 → take place → ? |
| who have won the world cup the most times | ['Brazil'] | fifa world cup → parts → land → ? |
| who wrote the song the beat goes on | ['Sonny Bono'] | song → album type → author → ? |
| who plays mrs. potato head in toy story | ['Estelle Harris'] | toy story → series → ? VO → ? |
| who plays caroline on the bold and beautiful | ['Linsey Godfrey'] | the bold and the beautiful → in work → actor → ? |
| where are the fruits of the spirit found in the bible | ['Epistle to the Galatians'] | bible → parts → ? |
| who is the only kaurava who survived the kurukshetra war | ['Yuyutsu'] | kaurava → in work → ? |
| Kurukshetra War | | Kurukshetra War → location → live in → ? |
| what is the deepest depth in the oceans | ['Mariana Trench'] | ocean → in → ? lowest point → ? |
| where did the french national anthem come from | ['Strasbourg'] | national anthem → is a → ? released in → ? |

Table 10: Example of QA prediction with reasoning path on NQ (part 1).
| Question                                                                 | Answer                                                                 | Generated Reasoning Paths as Rationale |
|------------------------------------------------------------------------|------------------------------------------------------------------------|----------------------------------------|
| who sings the song where have all the flowers gone                     | ['Pete Seeger']                                                        | song → album type-R → actor → ?        |
| who discovered some islands in the bahamas in 1492                     | ['Christopher Columbus']                                               | the bahamas → entry-R → ?             |
| which type of wave requires a medium for transmission                  | ['mechanical waves', 'heat energy', 'Sound']                           | wave → belong-R → ?                    |
| land conversion through burning of biomass releases which gas          | ['traces of methane', 'carbon monoxide', 'hydrogen']                  | gas → belong-R → as-R → ?              |
| the sum of the kinetic and potential energies of all particles in the system is called the | ['internal energy']                                                    | kinetic energy → belong-R → ?        |
| who did seattle beat in the super bowl                                 | ['Denver Broncos']                                                     | super bowl → organizer → league-R → ? |
| what is the name of the girl romeo loved before juliet                 | ['Rosaline']                                                           | romeo → in work-R → ?                 |
| who will get relegated from the premier league 2016/17                 | ['Hull City', 'Sunderland', 'Middlesbrough']                          | premier league → league-R → POB → ?   |
| actress in the girl with the dragon tattoo swedish                      | ['Noomi Rapace']                                                       | sweden → speaking → mother tongue-R → ? |

Table 11: Example of QA prediction with reasoning path on NQ (part 2).