Research Article

Heavy metals in surface sediments of Lake Naivasha, Kenya: spatial distribution, source identification and ecological risk assessment

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Abstract
Heavy metals retained in sediments pose a threat to aquatic ecosystem and society. Knowledge of the status and sustainability of lake sediment in areas undergoing rapid economic development is scarce, and yet it is required in order to achieve the United Nations Sustainable Development goals. We investigated heavy metal concentration in Lake Naivasha sediments using enrichment factor, geoaccumulation index, pollution load index (PLI), risk index (RI) and multivariate statistics to determine metal accumulation, distribution and the lake's pollution status. Sediments sampling followed a grid approach, and seven heavy metals: Co, Cr, Cu, Mn, Pb, Zn and Fe, were analyzed in total; concentrations were determined using portable X-ray fluorescence. The distribution maps were interpolated in a GIS platform, and the toxicity risk of sediments was determined. The concentrations of elements in the sediments are in the following decreasing order: Fe > Mn > Zn > Cu > Cr > Co > Pb. High concentration of heavy metals is found in the southern shoreline and east of the lake due to shoreline discharge and agricultural effluents drained into the lake and shoreline transgression. Pb and Zn are of anthropogenic origin, while Cu and Mn originate from both natural and anthropogenic sources and Fe and Co are from natural sources. In addition, the mean toxicity decreases in the order: Cu > Fe > Co > Pb > Zn > Mn > Cr. The PLI values were above one (> 1), indicating progressive deterioration of the sediment quality. Though the overall ecological risk of the lake is low to moderate (RI 36.73–60.51), hotspots of pollution should be marked for intervention. This assessment also helps to fill the knowledge gap required to formulate the right policies in implementing sustainable development goals in similar lakes.

Keywords Pollution · Ecological risk · Aquatic ecosystem · GIS · SDGs · Africa

1 Introduction

More than half of the world's freshwater lakes face massive ecological threats due to contamination by toxic substances, due to the global increase in use of chemicals in industries, agricultural modernization, mining and urbanization [1–4]. Less than 0.1% of the metals are actually dissolved in the water, and more than 99.9% are stored in sediments [5]. Sediments can either release metals to the water column or serve as a source of metals for bioaccumulation and biomagnification in aquatic organisms such as benthic fauna and fish and cause loss of desirable biodiversity [6–9]. The concentrations of heavy metals in sediment fraction are governed by the physicochemical
parameters of the lake, such as pH, sediment fraction and redox conditions [10, 11]. Changes in these conditions can present a potential health risk to a population through remobilization of the pollutants to the sediment–water interface [12–14].

Due to the negative impacts of heavy metals on aquatic ecosystems, majority of studies done on this topic in the last decade have been in countries undergoing rapid industrial growth such as China, India and Brazil [15–20]. They highlight an increase in various anthropogenic sources of heavy metals. The studies also show that pollution intensity correlates with distance from source. The studies have employed various indices to assess heavy metal contamination, discriminate sources and the associated ecological risk. These include commonly used geochemical normalization approaches such as the enrichment factor (EF) and geoaccumulation index ($I_{geo}$) [21–26]. The potential toxicity and risks are assessed using indices such as sedimentary quality guidelines, potential ecological risk index and pollution load index [17, 23, 25, 27, 28]. These have highlighted that sediments of lakes, with varied morphometric and lake zonation, need spatially resolved assessment approaches to enable proper management interventions. Combining these indices with GIS spatial assessments will help develop needed targeted intervention plans.

Lake Naivasha is an important East African rift lake, with high species diversity and providing a variety of ecosystem services. Over 35% of flowers into Europe are grown within its catchment. However, ecological changes in the lake in the last two decades coincide with increase in horticultural farming [29–33]. Earlier, increase in sediment load to the lake is linked to commercial farming during British colonization [34], and subsequent, high concentrations of heavy metals in sediments, water and fishes have been reported [35–38]. The methodologies employed in these studies were diverse in terms of sampling, depth, density, toxic metals detected and risk assessment. A spatially comprehensive risk assessment of all the lake zones is needed to identify hotspots and help support environmental regulation in Kenya. Thus, the specific objectives of this study are (1) to investigate the spatial distribution and concentration of seven heavy metals (Co, Cr, Cu, Fe, Mn, Pb, Zn) in surface sediments of Lake Naivasha, (2) to identify the possible sources of these metals and (3) to determine the ecological risk posed by the selected heavy metals in the lake and identify hotspots.

2 Materials and methods

2.1 Study area

Lake Naivasha is a freshwater lake on the floor of the central Kenya Rift, located between latitudes 0° 42′–0° 50′ S and longitudes 36° 16′–36° 26′ E (Fig. 1). The lake spans about 160 km² in surface area, roughly circular in shape and comprises three connected water bodies; main lake,
Oloiden and crescent island lake whose depths are 7 m, 6.5 m and 15 m, respectively [39]. The lake is drained by four rivers flowing from the high elevation escarpments, but only two (Malewa and Gilgil rivers) draining the north and northeastern side of the catchment feed the lake directly through the wetland in the north. The other two rivers (Marmanet and Karati) terminate before reaching the lake. There is no surficial river outlet, and the presence of subsurface outflows in the north and south of the lake is thought to be the reason for the lake’s freshness [40]. The basin experiences a bimodal rainfall pattern with a major peak in April–May and a minor peak in October–November coupled with recent climatic changes that lead to heavy rains and prolonged drought patterns [41, 42].

Lake Naivasha is a closed lake system vulnerable to changes in the catchment and climatic changes; since 2012, the lake flooded parts of the terrestrial land around it increasing in size from 107.6 km² to 168.87 km² between 2010 and 2013 [43, 44]. The lake is a Ramsar site, a wetland of international importance since 1995 [45] and a biodiversity hotspot with several species of birds and animals that depend on it. A number of economic activities rely on the lake’s freshwater, the main one being irrigation for the thriving horticultural industry around the lake, which has doubled in the twenty-first century bringing with it a tenfold rise in population from additional workers and their dependents [30]. Other activities include cooling of the geothermal power plants, fishing and recreation for residents. Naivasha town is located on the northeastern side of the lake. The main lake experiences a number of ecological threats including high soil erosion from the escarpments in the east and nutrient runoff and pesticide from the farms [26, 35, 46, 47] and beaches that drain directly into the lake. Further from the lake basin in the head waters, farming of food crops is the main activity that threatens the ecology of the lake [37, 38, 48].

2.2 Sample collection, preparation and analysis

A total of 41 surface lake sediments samples were collected from the main lake and Crescent island crater following a 5 × 5 km grid sampling, determined using a handheld geographical position system in May 2016. Samples were obtained from a composite, mixing three subsamples collected at a site at 0–15 cm depth using an Ekman grab sampler. Totally, 250–500 g portion of this well-mixed composite was scooped and stored in PTFE polythene bags to avoid contamination. These were then stored in a cooler box during fieldwork and stored in the freezer at −20 °C. A subsample was later taken to the ICRAF soil Laboratory in Nairobi, Kenya, where they were air-dried in a temperature-controlled room at 60°C, and then sieved through a 2-mm mesh screen to remove unwanted debris. A subsample was taken for texture analysis; the particle sizes were determined with a laser diffraction particle size analyzer (Coulter LS 100, particle size analyzer). The average of two readings was taken for each sample.

The remaining subsample was pulverized in a micronizing mill (<53 μm), and elemental analyses were carried out using energy-dispersive X-ray fluorescence in a portable X-ray fluorescence (pXRF) machine (Bruker III Tracer SD T3S2731; Bruker Kennewick, WA, USA and Tracer 5i) following the procedure by [49, 50]. Analysis time was set for 1 min. Two readings were made for each sample and the average recorded. Quality control assessment of the X-ray fluorescence (pXRF) data included the use of soil-certified reference materials (CRMs): Katumani soil from Kenya with known concentration and a standard was run after 32 samples using a standardization plate (Aluminum 7075F) of known concentrations. For laser particle size analysis, Katumani soil and a commercial standard Nist with known concentrations were used.

2.3 Estimation of contamination intensity

2.3.1 Spatial and statistical analysis

To map the spatial distribution of heavy metal concentration and identify the pollution “hotspot” of each element, ordinary kriging was used for spatial interpolation within ArcGIS 10.2 platform [51].

Descriptive and multivariate statistical methods were performed to assess relationships of the heavy metals and the sampling sites under the SPSS software platform. We used the correlation matrix to identify correlation between sets of variables, and principal component analysis (PCA) was used to simplify and group the data to explain the variance and reveal relationships among the elements [23]. The suitability of data for PCA analysis was determined. In this study, the Kaiser–Meyer–Olkin and Bartlett’s sphericity tests were 0.5 and 0.00, respectively, indicating suitability and PCA was then performed. Factors with eigenvalues > 1 were considered significant and the percent variance explained.

2.3.2 Geoaccumulation index ($I_{geo}$)

$I_{geo}$ is an environmental criteria introduced by Muller [52] used to assess the presence and intensity of anthropogenic pollution in the aquatic sediments. This empirical index compares a given concentration versus a value considered as geochemical background. It is classified into seven classes ranging from Class 0 ($I_{geo} ≤ 0$), which is practically uncontaminated; Class 1 ($I_{geo} 0–1$) is
Enrichment factor (EF)

The second criterion used to estimate contamination intensity in sediments is the enrichment factor (EF). This is a ratio of the abundances of a potentially enriched element with respect to a reference element, used to determine the magnitude of anthropogenic metal pollution in the environment using a normalization element in order to moderate the variations produced by heterogeneous sediments [54].

EF is a useful environmental tool that can be used to determine whether the polluting metal in sediments is of anthropogenic or natural sources [24, 55]. The EF calculation seeks to reduce the metal variability associated with variations in mud/sand ratios, and is used in plotting geochemical trends to reduce substantial variations in the grain ratios in large geographical areas [56]. Different elements (Al, Fe, K, Li, Sc, Ga, Rb, Zr and Ti) are used as normalizing elements [57]. Fe was used in this study as an acceptable normalization element since its distribution is not related to other heavy metals and it has a relatively high natural concentration, with limited anthropogenic enrichment [55, 58].

EF is calculated as:

\[
EF = \frac{\frac{X}{Fe_{\text{samples}}}}{\frac{X}{Fe_{\text{crust}}}}
\]

where \( \frac{X}{Fe_{\text{samples}}} \) samples and \( \frac{X}{Fe_{\text{crust}}} \) crust refer to the ratios of mean concentrations of the target sample and Fe in the samples and in the continental crust, respectively.

Potential ecological risk index (RI) and pollution load index (PLI)

While EF is used to reflect the influence of human activities on the enrichment of a single heavy metal, it does not consider the bioavailability or combined effects of heavy metals [23]. Therefore, the potential ecological risk index (RI) developed by Hakanson [27] was used to assess the degree of heavy metal pollution in sediments, according to the toxicity of heavy metals and the response of the environment. It provides a quantitative value for the combined contamination risk to a particular ecological system [27, 60].

The sum of all risk factors per sampling site is then calculated in three steps; first the contamination factor \( C_i \) of each metal element is determined which enables the calculation of potential ecological risk factor at each sampling site, and finally the sum of risk factors of each element at a point was estimated

\[
C_i = \frac{\text{Fe}}{\text{Crust}}
\]

\[
E_i = T_r \times C_i
\]

\[
RI = \sum_{i=1}^m E_i
\]

where \( C_i \) is the contamination factor, \( C_b \) is the concentration of heavy metals in sediments, and \( C_n \) is the background value for the metal (mg/kg). \( E_i \) is the potential ecological risk factor of a given metal, and it can be classified into five categories: low, \( E_i < 40 \); moderate, \( 40 \leq E_i < 80 \); considerable, \( 80 \leq E_i < 160 \); high, \( 160 \leq E_i < 320 \); very high, \( E_i \geq 320 \). \( T_r \) is the toxic response factor for the metal. RI is the sum of risk factors for all metals at a sampling point [27]. \( T_r \) values are: Pb 5, Cr 2, Cu 5, Mn 1, Co 5 [27, 61, 62]. The evaluation index by [27] is based on eight pollutants (As, Cd, Cr, Cu, Hg, Pb, Zn and PCBs) in sediments. This study analyzed only 5 of the elements, thus, because the grading criteria introduced by Hakanson [27] would underestimate the potential risk; a modified RI grading criteria as used by Li et al. [16] was adopted, whereby RI < 105 is low risk, 105 < RI < 210 (moderate risk), 210 < RI < 420 (considerable risk), RI > 420 (very high risk). Background values from Wedepohl [53] were used.

The overall pollution status in the sediments of Lake Naivasha is estimated using the pollution load index (PLI).
A PLI value of >1 indicates a polluted area, and a PLI <1 indicates no pollution. This was determined using the equation developed by Tomlinson et al. [21].

\[
\text{PLI} = \left( \frac{\text{CF}_1 \times \text{CF}_2 \times \text{CF}_3 \times \cdots \times \text{CF}_n}{n} \right)
\]

where CF is the calculated contamination factor and n is the number of samples. CF is calculated in Eq. 3.

### 3 Results and discussion

#### 3.1 Sediment texture and heavy metal concentrations

The sediments texture of Lake Naivasha is shown in Table 1 and supplementary 1. Sand fraction varied from a maximum of 66.82% along the southeastern shore of the lake, while the maximum for silt and clay fractions is 47% and 68%, respectively. Clays occur in the north around Malewa river inlet and central portions of the lake, while silt and sand are predominant in the south and southeastern shores of the lake. Similar studies by Tarras-Wahlberg et al. [35] concluded that the high clay at the Malewa river mouth is eroded from the farms in the upper catchment areas. Sediments brought in by the river runoff are mainly from the east and north of the catchment and very minimal in the west as the rivers do not get to the lake.

The concentrations of heavy metals in the sediments are presented in Table 1 and supplementary 1. The abundance of these metals was in the order of Fe > Mn > Zn > Cu > Cr > Co > Pb with mean and ranges of concentrations as follows: Fe 53,655.60 (38,851.30–64,938.26) mg/kg, Mn 1506.87 (1062.47–2653.43) mg/kg, Zn 231.94 (187.96–334.51) mg/kg, Cu 33.74 (23.05–46.93) mg/kg, Cr 27.44 (2.52–68.83) mg/kg, Co 24.04 (17.82–28.75) mg/kg and Pb 22.11 (14.31–45.93) mg/kg. It can be noted that Fe and Mn concentrations are several orders of magnitude higher than the rest of the elements.

The region with the highest concentration of all heavy metals is south of the lake at Kamere beach (L65) and east at Karagita (L105), while the area with the least concentrations in the heavy metals is in the north side of Lake Naivasha. Elevated levels of Mn are found in the east at Crescent Island (112).

All the elements except Cu (background 45 mg/kg) were higher than the shale background levels according to Wedepohl [53], indicating enrichment of the heavy metals in the lake sediments (Table 1). Upper continental crust values were used because published background values of Naivasha are not available.

The concentrations of Cu, Pb, Fe, Mn and Zn from our study are higher than previously published results from ten sites in Lake Naivasha by Otachi, Körner [38]. However, compared to other freshwater lakes in the tropics considered highly polluted with industrial and agricultural pollutants, e.g., in Yilong, Poyang and Dongping lakes in China [23, 63, 64], Zn concentrations are higher, while Pb concentrations were lower than these lakes.

The heavy metals Co, Cu and Fe have a strong positive correlation with clay at \(P < 0.01\), Co (0.76), Cu (0.41), Fe (0.81) (Table 2), while no correlation exists between clay and Mn and Zn. Sandy sediments are negatively correlated with Co, Cr, Cu, Fe and Pb and weak positive correlations with Mn and Zn. The high positive correlations for Fe, Co and clay can be attributed to surface adsorption and ionic attraction [65]. And the strong association between Fe and Co could mean that the concentration of Co in Lake Naivasha sediments is controlled by Fe oxides and hydroxides. Cardoso, Boaventura [66] indicated that clays are the most important source of Fe and Cu in sediments.

### Table 1 Summary statistics of heavy metal contents in surface sediments from Lake Naivasha background values and guideline values of freshwater sediment quality in mg/kg

|           | Clay% | Sand% | Silt% | Co   | Cr   | Cu   | Fe   | Mn   | Pb   | Zn   |
|-----------|-------|-------|-------|------|------|------|------|------|------|------|
| Mean      | 32.89 | 30.27 | 36.84 | 24.04| 27.44| 33.74| 53,655.60| 1506.87| 22.11| 231.94|
| Min       | 11.80 | 6.09  | 13.45 | 17.82| 2.52 | 23.05| 38,851.30| 1062.47| 14.31| 187.96|
| Max       | 58.51 | 66.85 | 47.47 | 28.75| 68.83| 46.93| 64,948.26| 2658.43| 45.93| 334.8 |
| SD        | 14.79 | 16.97 | 6.99  | 3.42 | 3.42 | 5.12 | 80,544.55| 332.30| 5.54 | 23.42 |
| Shale\(^a\) | 19    | 90    | 45    | 47,200| 850 | 20    | 95   |
| TEL       | 60    | 33.9  | 15    | 90   |
| PEL       | 90    | 196.6 | 91.3  | 314.8|

The gaps are missing data

\(\text{Min}\) minimum, \(\text{Max}\) maximum, \(\text{SD}\) standard deviation; \(^a\)Background levels [53]; \(\text{TEL}\) threshold effect level, \(\text{PEL}\) probable effect level [28]
Spatial distribution of heavy metals in Lake Naivasha

The spatial distribution of heavy metals in Lake Naivasha is shown in Fig. 2. The maps created from spatial interpolation of each element illustrate the distinct zones of high or low metal element concentrations in the lake sediments. There is no uniform spatial pattern for the distribution of all the elements; however, Fe and Co have a similar spatial distribution both showing high levels in the central portion of the lake and low on the southwestern shoreline. The other five elements: Cr, Cu, Pb, Mn and Zn, are all enriched in the southern shore; additionally Cr and Mn are also enriched in the northern lake shore at the river mouths. High concentrations of Zn occur in three locations away from the river inlet occurring extensively in the northwest and southwest and in small zones on the northeastern side of the lake.

3.2 Spatial distribution patterns of heavy metals in lake surface sediments

Table 2: Spearman correlation matrix for heavy metals concentrations in surface sediments from Lake Naivasha

| Clay  | Silt   | Sand  | Co  | Cr  | Cu  | Fe  | Mn  | Pb  | Zn  |
|-------|--------|-------|-----|-----|-----|-----|-----|-----|-----|
| 1     | -0.040 | 1     | -0.259 | 1  | -0.284 | 1  | 0.003 | 1  | 0.201 |
| Silt  | 0.756  | -0.056 | -0.684 | 1  | 0.172 | -0.429 | 0.427 | 0.201 | 1   |
| Sand  | 0.406  | -0.125 | -0.004 | 0.003 | 0.172 | -0.429 | 0.427 | 0.201 | 1   |
| Co    | 0.808  | -0.022 | -0.731 | 0.987 | -0.012 | 0.427 | 0.201 | 1   | 0.201 |
| Cr    | 0.076  | 0.154  | -0.389 | 0.452 | 0.214 | 0.666 | 0.437 | 0.140 | 1   |
| Cu    | 0.406  | -0.125 | -0.429 | 0.427 | 0.201 | 1   | 0.201 | 1   | 0.201 |
| Fe    | 0.376  | 0.154  | -0.389 | 0.452 | 0.214 | 0.666 | 0.437 | 0.140 | 1   |
| Mn    | 0.376  | 0.154  | -0.389 | 0.452 | 0.214 | 0.666 | 0.437 | 0.140 | 1   |
| Pb    | 0.376  | 0.154  | -0.389 | 0.452 | 0.214 | 0.666 | 0.437 | 0.140 | 1   |
| Zn    | 0.376  | 0.154  | -0.389 | 0.452 | 0.214 | 0.666 | 0.437 | 0.140 | 1   |

*P values are significant at 0.05; **means P values are significant at 0.01
The high concentration of Cr, Cu, Pb, Mn and Zn in the southern shoreline of the lake could be linked to the human activities (removal of buffering plants such as papyrus and increased cultivation of the flower farms) in the area which have led to high erosion on land as evidenced by the dominance of sand and silty fractions and high magnetic susceptibilities found to be well above background [67]. Furthermore, the lake levels in the last decade have fluctuated, and at the time of sampling the southern shoreline had transgressed into former terrestrial land. Higher concentrations of Cr and Mn and Pb metals occur on the northern side, at Malewa and Karati river mouths. This can be attributed to the inflow from the rivers and inputs from the catchment into the lake.

Cobalt and iron are concentrated in the central parts of the lake which could be related to the source and also be due to relatively slow velocity of the water in this part which can promote desorption of suspended particles leading to metal deposition if the middle of the lake basin in Naivasha could be taken to represent background concentrations for the lake [67]. Similar conclusions were drawn for Qaroun Lake where part of the association of Fe and Co was most of a natural source [68]. Mn is concentrated in the lake sediments on the eastern side. The high concentration could be related to the bathymetry differences between the deep Crescent island crater and the shallow main lake that can lead to remobilization of Mn from deeper layers [57]. While in the northern side around the Malewa river mouth a high concentration of Mn is due to input from the rivers, a similar observation was made by Kamau et al. [36]. The spatial distribution mirrored parts of Poyang Lake in China. High concentrations of Cr, Cu, Cd, Pb and Zn around the river mouth were attributed to contaminants and the deposition processes. In contrast, Cr and Pb were highly concentrated in the middle section of the lake [64]. Similarly in the Mwanza Gulf, in Lake Victoria, Tanzania, high levels of Pb and Zn were recorded around the shore lines [69].

### 3.3 Source identification and Heavy metal contamination

#### 3.3.1 Geoaccumulation Index (I$_{\text{geo}}$)

The mean $I_{\text{geo}}$ values of Lake Naivasha sediments are shown in Table 3 and Fig. 3. The mean $I_{\text{geo}}$ values for the elements are: Co (0.4), Cr (−1.17), Cu (0.6), Mn (0.86), Pb (−0.24) and Zn (1.56). Thus, it can be arranged in the following increasing order Cr < Pb < Co < Cu < Mn < Zn. This range of $I_{\text{geo}}$ values falls in three classes (class 0, class 1 and class 2) indicating unpolluted to moderate pollution in sediments. $I_{\text{geo}}$ values are less than 1 for 3 elements: Cr (range −3.32 to 0.39) Co (range −0.01 to 0.68) and Pb (range −0.83 to 0.85), showing that the lake sediments are uncontaminated to moderately contaminated in these elements. Sediments are unpolluted in Pb in 88% of the lake, while $I_{\text{geo}}$ for Cu, Mn and Zn ranging between −2.32 to 1.16, 0.39 to 1.76 and 1.1 to 2.1, respectively, falls into class 1 and class 2 showing from uncontaminated to moderately contaminated sediments. While these classes are low, sections of the lake are of concern as they are moderately contaminated in certain sites, for example 22% of Mn are in class 1. The higher $I_{\text{geo}}$ values for Mn are found in two locations, i.e., in the southeast at Crescent Island, further away from river inflow and in the north at Malewa river mouth (1.52) the largest river in the catchment with farming activities.

#### Table 3 Mean and range of EF and $I_{\text{geo}}$ of heavy metals in sediments in Lake Naivasha

| Element | $I_{\text{geo}}$ Mean | $I_{\text{geo}}$ Range | EF Mean | EF Range |
|---------|------------------------|------------------------|---------|----------|
| Co      | 0.4                    | 0.01 to 0.68           | 1.20    | 1.15 to 1.42 |
| Cr      | <0                     | −3.32 to 0.39          | 0.45    | 0.03 to 1.30 |
| Cu      | 0.6                    | −2.32 to 1.16          | 1.38    | 1.06 to 2.27 |
| Mn      | 0.9                    | 0.39 to 1.76           | 1.68    | 1.15 to 2.90 |
| Pb      | <1                     | −0.83 to 0.85          | 0.76    | 0.58 to 1.87 |
| Zn      | 1.6                    | 1.10 to 2.10           | 2.62    | 1.91 to 4.45 |

The mean $I_{\text{geo}}$ values of heavy metals in sediments in Lake Naivasha can be arranged in the following decreasing order: Zn > Mn > Cu > Co > Pb > Cr (Fig. 4, Table 3), which is similar to the order of the $I_{\text{geo}}$ values. EF helps to assess anthropogenic contributions in lake sediments; values above 1.5 indicate that the metal elements are of anthropogenic origin, while lower values indicate natural enrichment [59]. The lowest EF values for Cr and Co, which are below 1.5, indicate a lithogenic origin, while Cu, Pb and Mn have intermediate EF values ranging from <1.5 to >1.5 showing both natural and anthropogenic enrichment. Totally, 63% of the sites indicate anthropogenic

#### 3.3.2 Enrichment factor

EF of the elements Cr, Co, Cu, Pb, Zn, Mn ranges as follows <0–1.3, 1.15–1.42, 1.06–2.27, 1.06–2.27, 1.91–4.45 and 1.15–2.9, respectively, as shown in Table 3. The mean EF values of the elements can be arranged in the following decreasing order: Zn > Mn > Cu > Co > Pb > Cr (Fig. 4, Table 3), which is similar to the order of the $I_{\text{geo}}$ values. EF helps to assess anthropogenic contributions in lake sediments; values above 1.5 indicate that the metal elements are of anthropogenic origin, while lower values indicate natural enrichment [59]. The lowest EF values for Cr and Co, which are below 1.5, indicate a lithogenic origin, while Cu, Pb and Mn have intermediate EF values ranging from <1.5 to >1.5 showing both natural and anthropogenic enrichment. Totally, 63% of the sites indicate anthropogenic
Fig. 3  Box plots showing $I_{\text{geo}}$ values of heavy metals in Lake Naivasha

Fig. 4  Box plot showing EF values of elements in Lake Naivasha
enrichment for Mn in the lake. Zn has the highest EF values in the lake sediments (1.91–4.45, Fig. 4) and also has high $I_{\text{geo}}$ values showing anthropogenic enrichment in all the sites. The maximum EF values of Cu (2.27), Pb (1.87) and Zn (4.45) occur in the same site on the southern shoreline of the lake along Kamere beach, while the area with the lowest EF was nearer to the central regions of the lake.

The higher EF for Zn found in the northeast around river mouths and sewage point and the public beach area on southern side of the lake could be linked with the effluents from sewage and boating activities occurring in those regions. Enrichment of Zn and Cu around river mouths in Kaohsuing harbor Taiwan [72] and along the shores of Thermaikos gulf compared to the deeper sediments [73] was attributed to catchment activities such as wash off from the land-based activities and high amount of discharge from nearby industrial plant and shores. Additionally, a high EF for Zn in Rawal Lake in Egypt was attributed to domestic agricultural runoff [58], while in Pulicat Lake in India Zn was contributed by domestic and sewage wastes [74]. In contrast, the minimum EF and $I_{\text{geo}}$ values for Zn were along Malewa River mouth, and thus agricultural activities along Malewa are not contributing to Zn pollution in the lake. The anthropogenic sources of Zn in the sediments can be attributed to intense boating activities along the beaches. The expansion of the lake area to the south and northeast due to flooding [43] incorporated more heavy metals along these shores. Previous studies have shown that Lake Naivasha sediments are enriched with Mn whose source is both natural and anthropogenic [36, 75].

### 3.3.3 Multivariate statistical analysis

Multivariate statistical analyses were performed to deduce which metals are from similar sources in the lake sediments. PCA reduced the number of the variables to three principal components which explained about 85% of the data variance, as shown in Table 4. PC1 explained 38% of the total variance and is dominated by Zn, Pb and Cu, while PC2 explained 18% and is dominated by Fe and Co and PC3 is primarily dominated by Mn and Cr and accounts for 19% of the total variance. Additionally, Zn, Pb and Cu are positively correlated, implying similar origin; their mean enrichment factor values are above 1.5 which point to an anthropogenic source. In Poyang Lake, similar results were reported of correlations between Zn, Cu, Pb and attributed to anthropogenic origins [64]. Fe and Co are also positively correlated, their $I_{\text{geo}}$ values point to a lithogenic origin, and Fe is a major component of rock forming minerals in the area. Mn and Cr have no correlation though their EF values point to an anthropogenic origin. In general, the inference on the sources deduced from PCA analysis is similar to the EF for all elements except in the case of Cu which had a range from natural and anthropogenic enrichment; therefore, we suppose that because the concentrations of Cu are generally low, our observation agrees with [23] conclusion that PCA is less effective in differentiating contamination sources when the pollution levels of a given metal are low.

### 3.3.4 Analysis of the potential ecological risk of heavy metals in sediments

The potential ecological risk and the sum of all risk factors of heavy metals in the sediments of Lake Naivasha are shown in Table 5. Cu has the highest potential ecological risk $E_i$, while Cr has the least value at 11.80 and 1.55, respectively. The mean toxicity can be ranked in the decreasing order: Cu > Fe > Co > Zn > Mn > Cr. However, all elements show low ecological risks in Lake Naivasha because the $E_i$ value for each element studied is < 40.

Sediment Quality Guidelines (SQGs) are very important for protection of benthic organisms in freshwater ecosystems and can be used to assess sediment ecosystem health. Of the elements Pb, Zn, Cr and Cu, 95% of Pb are below TEL, Cr, 87.8%, Cu, 58.54% and Zn = 0% (Table 6). Only 5% for Pb are between TEL and PEL, 98% of Zn are between TEL and PEL, 12.19% of Cr are between TEL and PEL and 36% the Cu are between TEL and PEL; only Zn has 2% above PEL, while the others are zero. In 98% of sites sampled, Zn levels are above the threshold effect level (TEL) and lower than the probable effect levels (PEL) and only 2% are above the PEL. The TEL is intended to identify contaminant concentrations below which harmful effects on benthic organisms are not expected, while the PEL identifies contaminant concentrations above which

### Table 4 Principal component analysis (PCA) for elements in the surface sediments of Lake Naivasha

| Variables | PC1 | PC2 | PC3 |
|-----------|-----|-----|-----|
| Co        | 0.059 | 0.988 | 0.062 |
| Cr        | 0.318 | −0.048 | 0.796 |
| Cu        | 0.789 | 0.409 | 0.071 |
| Fe        | 0.06  | 0.991 | 0.012 |
| Mn        | −0.216 | 0.112 | 0.837 |
| Pb        | 0.896 | 0.09  | 0.148 |
| Zn        | 0.907 | −0.178 | −0.137 |

| Eigenvalues | 2.67  | 1.96  | 1.33  |
| % Variance  | 38     | 28     | 19     |
| Cumulative variance | 38     | 66     | 85     |

*PC1 first principal component, PC2 second principal component, PC3 third principal component*
harmful effects on benthic organisms are expected to occur frequently [28]. Thus, Zn is the only element whose levels in Lake Naivasha have the potential to cause adverse effects to biota.

Compared to the Sedimentary Quality Guidelines, the mean for Cu is almost similar to the threshold effect level (TEL—below which no adverse effect can occur); however, in 37% of the sites the levels are between the threshold effect level and probable effect level (above which adverse effects on biota is expected), as shown in Table 6, indicating that the levels of Cu in Lake Naivasha can occasionally cause adverse effects. There is low concentration of Cu in the sediments, and we speculate that there could be more

| Sample | ErCo | ErCr | ErCu | ErFe | ErMn | ErPb | Zn | RI | PLI |
|--------|------|------|------|------|------|------|----|----|-----|
| 54L    | 10.59| 0.60 | 14.43| 10.5 | 2.73 | 7.80 | 4.82| 51.4| 1.8 |
| 56L    | 8.40 | 2.06 | 8.78 | 8.07 | 2.65 | 5.42 | 4.24| 39.6| 1.7 |
| 57L    | 7.68 | 0.50 | 8.62 | 7.55 | 2.88 | 5.14 | 4.69| 37.1| 1.4 |
| 59L    | 8.33 | 1.02 | 8.21 | 8.13 | 2.76 | 4.21 | 4.07| 36.7| 1.5 |
| 60L    | 11.70| 1.46 | 13.70| 11.72| 2.67 | 6.89 | 4.42| 52.5| 2.0 |
| 61L    | 7.88 | 0.50 | 11.59| 7.80 | 2.02 | 5.29 | 4.94| 40.0| 1.4 |
| 62L    | 11.94| 1.63 | 12.83| 11.80| 3.45 | 6.22 | 4.47| 52.3| 2.1 |
| 63L    | 8.36 | 1.84 | 10.48| 8.31 | 2.07 | 5.18 | 4.41| 40.6| 1.7 |
| 64L    | 9.46 | 2.01 | 10.91| 9.26 | 2.93 | 7.01 | 4.77| 46.3| 1.9 |
| 65L    | 8.86 | 3.77 | 16.41| 8.68 | 2.86 | 13.51| 6.43| 60.5| 2.5 |
| 66L    | 8.15 | 2.08 | 12.25| 8.08 | 2.96 | 6.38 | 4.45| 44.3| 1.9 |
| 67L    | 9.93 | 1.85 | 14.61| 9.68 | 3.35 | 8.70 | 5.27| 53.3| 2.0 |
| 68L    | 8.62 | 1.22 | 12.60| 8.71 | 3.34 | 7.13 | 4.71| 46.3| 1.8 |
| 69L    | 9.57 | 1.19 | 9.86 | 9.61 | 3.20 | 5.70 | 4.47| 43.6| 1.7 |
| 70L    | 12.29| 0.53 | 13.31| 12.56| 2.40 | 7.09 | 4.68| 52.8| 1.7 |
| 71L    | 10.20| 1.00 | 11.39| 10.26| 2.85 | 10.94| 4.45| 51.0| 1.9 |
| 72L    | 10.44| 1.51 | 8.95 | 10.14| 3.94 | 5.14 | 4.29| 44.4| 1.9 |
| 73L    | 11.98| 0.14 | 11.00| 12.28| 2.48 | 5.91 | 4.55| 48.3| 1.4 |
| 74L    | 8.50 | 0.98 | 8.06 | 8.54 | 2.59 | 5.50 | 3.98| 38.2| 1.6 |
| 75L    | 11.32| 0.91 | 13.29| 11.41| 2.48 | 6.21 | 4.54| 50.2| 1.8 |
| 77L    | 9.00 | 0.43 | 11.71| 12.06| 2.48 | 6.37 | 4.43| 49.4| 1.6 |
| 78L    | 11.77| 1.32 | 11.18| 12.01| 2.50 | 7.07 | 4.44| 50.3| 1.9 |
| 81L    | 12.13| 1.86 | 13.55| 12.28| 2.63 | 7.44 | 4.72| 54.6| 2.2 |
| 82L    | 11.54| 2.00 | 12.93| 11.62| 2.82 | 6.18 | 4.36| 51.4| 2.1 |
| 83L    | 9.88 | 2.48 | 12.70| 10.17| 2.57 | 6.19 | 4.33| 48.3| 2.0 |
| 94L    | 10.14| 2.15 | 12.71| 10.41| 2.93 | 6.41 | 4.40| 49.2| 2.1 |
| 95L    | 11.33| 3.93 | 11.26| 11.34| 4.30 | 6.45 | 3.61| 52.2| 2.3 |
| 96L    | 11.85| 1.70 | 12.00| 11.83| 2.67 | 6.39 | 4.37| 50.8| 2.0 |
| 97L    | 11.23| 1.49 | 12.94| 11.51| 2.82 | 6.36 | 4.17| 50.5| 2.0 |
| 98L    | 11.69| 3.01 | 10.68| 11.73| 3.82 | 5.86 | 4.15| 50.9| 2.2 |
| 100L   | 12.39| 1.81 | 12.72| 12.55| 2.71 | 7.60 | 4.54| 54.3| 2.2 |
| 102L   | 11.87| 1.37 | 12.17| 12.04| 2.41 | 6.12 | 4.32| 50.3| 1.9 |
| 103L   | 12.38| 1.49 | 12.11| 12.61| 2.74 | 6.81 | 4.58| 52.7| 2.1 |
| 104L   | 12.19| 1.83 | 12.89| 12.53| 2.63 | 7.78 | 4.59| 54.4| 2.2 |
| 105L   | 9.17 | 1.36 | 11.27| 9.37 | 2.20 | 5.67 | 4.64| 43.7| 1.8 |
| 106L   | 10.19| 1.99 | 11.95| 10.56| 2.25 | 6.05 | 4.45| 47.4| 1.9 |
| 107L   | 9.57 | 0.32 | 12.48| 9.95 | 2.28 | 5.53 | 4.13| 44.3| 1.4 |
| 108L   | 9.41 | 1.82 | 11.79| 9.69 | 2.53 | 6.07 | 4.50| 45.8| 1.9 |
| 109L   | 8.67 | 1.26 | 9.36 | 8.74 | 2.21 | 4.50 | 3.62| 38.3| 1.5 |
| 110L   | 10.73| 0.89 | 11.03| 10.39| 5.04 | 5.13 | 3.78| 47.0| 1.0 |
| 112L   | 10.74| 2.26 | 13.05| 10.88| 4.12 | 5.27 | 4.11| 50.4| 2.1 |
| Mean   | 10.29| 1.55 | 11.80| 10.42| 2.86 | 6.50 | 4.46|     |     |
of Cu in the labile fractions (water and suspended materials) and not adsorbed in sediments.

The potential ecological risk index of the sediments in Lake Naivasha ranges from 36.73 to 60.51, depicting low-to-moderate ecological risk. About half of the lake (49%) has moderate ecological risk (RI > 50). This is the entire central region of the lake and extends N–S, while the other half, the east and western portions of the lake, have low ecological risk.

The overall pollution status of Lake Naivasha sediments determined using the Pollution Load Index (PLI) ranges between 1.42 and 2.58 and also shows moderate-to-considerable pollution levels (Table 5). Moreover, some sites that showed a high ecological risk also have high pollution load indices such as Kamere beach. Tarambeta (2.10), Malewa river mouth (2.32) and Crescent island (2.13); the higher values indicate considerable pollution owing to the impact of anthropogenic activities such as agriculture and beach activities in these areas.

### 3.4 Implications of lake management

From the results, Lake Naivasha classifies as unpolluted to moderately polluted; this is surprising considering the increase in commercial farming and urban development in the catchment area. However, compared to other lakes globally, lakes in areas where mining and industries occur have higher heavy metals in the sediments [24, 64, 76]. The source of heavy metals in the lake is mostly from farming, urban effluents and beach activities. However, increase in the heavy metals can alter the phytoplankton community, and cause a decrease in aquatic species richness [77].

One other factor that could have contributed to increasing the heavy metals load along the northeastern and southeastern shores is the fact that Lake Naivasha has been flooded for the last 7 years, rapidly increasing in area by about 58% between 2010 and 2013 [43, 78] and is currently 158 Km². The flooded areas are the low lying riparian land which were farmlands, public beach and sewage points.

### 4 Conclusions

The grid point sampling and spatial analysis of sediment characteristics were useful to establish the sediment status in all lake zones (sub-habitats) and developed baselines. Most of the heavy metals are of anthropogenic origin Zn, Cu, Pb, Mn and Cr, while Fe and Co are of lithogenic origin. Fe is the most abundant heavy metal element in the lake. Totally, 49% of the sediments are categorized as “unpolluted to moderately polluted” for all heavy metals, except Zn metal that is “moderately to strongly polluted”; hence, Zn threats to aquatic ecosystem should not be ignored. Though the heavy metals pose a low ecological risk (RI < 50) in the lake, proper management plans need to be put in place; and priority areas for remediation interventions are the central portion of the lake areas, and the south and eastern shoreline have a high level of pollution (high PLI and RI values).

Future studies in the lake should be carried out to investigate bioaccumulation of Zn levels in macro-invertebrates and in addition identification of its anthropogenic sources to help with remediation and control plans. Similar studies are needed for other rift lakes experiencing pressure due to increase in commercial farming and industrialization.

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### Compliance with ethical standards

**Conflict of interest** The authors declare that they have no conflict of interest.

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