Riverbank Filtration Impacts on Post Disinfection Water Quality in Small Systems—A Case Study from Auburn and Nebraska City, Nebraska

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Riverbank Filtration Impacts on Post Disinfection Water Quality in Small Systems—A Case Study from Auburn and Nebraska City, Nebraska

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Abstract: Small water systems can experience a fluctuating quality of water in the distribution system after disinfection. As chlorine is the most common disinfectant for small systems, the occurrence of disinfection byproducts (DBPs) represents a common problem for these systems. Riverbank filtration (RBF) can be a valuable solution for small communities located on riverbanks. The objectives of this study were to evaluate (i) the improvements in water quality at two selected RBF systems, and (ii) the potential lower concentrations of DBPs, in particular, trihalomethanes (THMs), in small systems that use RBF. Two small communities in Nebraska, Auburn and Nebraska City, using RBF were selected. Results from this study highlight the ability of RBF systems to consistently improve the quality of the source water and reduce the occurrence of THMs in the distribution water. However, the relative removal of THMs was directly impacted by the dissolved organic carbon (DOC) removal. Different THM concentrations and different DOC removals were observed at the two RBF sites due to the different travel distances between the river and the extraction wells.

Keywords: riverbank filtration; small communities; disinfection by-products; trihalomethanes

1. Introduction

Small water systems (served population <10,000) represent more than 97% of the USA public water systems and often experience a fluctuating quality of water in the distribution system after disinfection [1]. Dissolved organic carbon (DOC) present in surface waters contributes to the formation of several disinfection byproducts (DBPs) when chlorine is used as the disinfectant [2–5]. Systems that use chloramine also experience the depletion of chlorine residuals due to nitrification in summer months [6–8]. DBPs have been detected in concentration up to few mg L⁻¹ and many of them are suspected or known human carcinogens [9–11]. Among halogenated DBPs, trihalomethanes (THMs) have been widely detected [2,10,12,13]. In order to reduce general public exposure to DBPs and lower the potential of cancer and reproductive and developmental risks, in 2005, the U.S. Environmental Protection Agency (EPA) issued a Stage 2 Disinfectants and Disinfection Byproducts Rule (DBPR) requiring all drinking water treatment plants to maintain levels of total THMs (TTHM) below the annual average maximum contaminant level (MCL) (80 µg L⁻¹) on the location running annual average (EPA Stage 1 and 2) [14]. Small water systems, especially in rural communities, may struggle to comply with the USEPA Stage 2 DBPR due to source water variation, limited resources, aging infrastructures, and low-cost efficiency [2]. For example, Hua et al., investigating three small drinking water systems in rural communities in Missouri, observed consistently higher THMs in finished water than the MCL (80 µg L⁻¹) [2]. Nationally, it has been identified that small systems using surface waters...
experience three times the rate of Stage 1 DBP violations than systems that serve populations above 10,000 [15]. Therefore, there is a need to provide cost-effective solutions to improve the source of water by reducing its variations and lowering its level of organic carbon. Natural filtration, a technology that has been used for communities of various sizes to fully treat or pretreat surface water before supply, can represent a valuable solution [16,17]. Natural filtration includes two primary technologies: Riverbank filtration (RBF) and slow sand filtration (SSF) [18,19]. Both of these types of technologies have been shown to produce water of consistent quality and remove a significant amount of organic carbon and microorganisms present in surface water. Additionally, natural filtration is resistant to rapid contamination [18–23]. Particularly, RBF is potentially ideal for small communities that are located on riverbanks. The use of groundwater in many areas (e.g., Nebraska) can be an issue due to high levels of nitrate (>MCL, 10 mg L\(^{-1}\)) [24] [25–28]. State regulatory agencies frequently request case studies for the application of technologies to address specific regulations before approving plans and funding [29]. There is a scarcity of research in small communities about how water produced from RBF wells or SSF affects the formation and subsequent fate of DBPs when chlorine or chloramines are used as disinfectants. Preliminary investigations have shown the ability of RBF to reduce DBP precursors (i.e., DOC) [30–34]. Increased understanding of the formation and dispersal of DBPs in the disinfection system and other contaminants of concern will provide greater protection of public health.

This study investigates (i) the improvements in water quality (i.e., total organic carbon, TOC, DOC, total coliforms, and \textit{Escherichia coli}) at two selected RBF systems and (ii) the potential lower concentrations of DBPs, in particular, THMs, in small systems that use natural filtration compared to systems that directly use surface water. Improvements in water quality were measured by comparing the quality of river waters and the filtrate and examining the response of the systems to hydrologic forcing, such as spring runoff or low flow events in rivers.

2. Materials and Methods

2.1. Description of the RBF Sites

Auburn and Nebraska City, two small towns in Nebraska, were selected. The two cities have a population of 3460 and 7289, respectively [35]. Temperature ranged between \(-27\) °C and 38 °C in both towns [36]. At the time of the study, the town of Auburn drew its drinking water from eight wells (1, 2, 3, 4, 5, 7, 13, and 19) located on the bank of the Little Nemaha River (distance well–river >88 m). The wells are placed in different locations along the river on straight stretches of the river as well as on limited curves (Figure S1). The outside well diameter ranges between 0.46 and 0.91 m, the well screen length is about 4.64 m (ranging between 4.58 and 4.72 m), and the well depth between 13.41 and 18.75 m (average: 15.72 m) (Table S1).

The aquifer sediments at the RBF site in Auburn consist of a superficial layer of brown/gray clay (1.5 to \(~7.0\) m below ground level, bgl) followed by fine sand to coarse (\(~7.0\) to \(~16.0\) m bgl) with traces of gravel and boulders overlaying blue shale (\(~16.0\) m bgl) (Table S2).

After the water is collected, the current treatment practice for municipal use consists of aeration, adsorption clarification, high-rate gravity sand filters, fluoridation, and chlorination. Well 4, due to the high level of nitrate (\(~7.5\) mg NO\(_3\)-N L\(^{-1}\)), is not being used. The water treatment plant is capable of producing 2 million gallons per day of drinking water.

At the time of the study, the town of Nebraska City drew its drinking water from eleven wells (1–11) located near the Missouri River (distance well–river >15.2 m). The outside well diameter ranges between 0.46 and 0.64 m, the well screen length is about 11 m (ranging between 8.53 and 13.41 m), and the well depth between 25.3 and 29.26 m (average: 26.72 m) (Table S1). In contrast with Auburn, the wells are placed in a single location along the river on a limited curve of the river (Figure S1). The aquifer sediments at the RBF site in Nebraska City show a more heterogeneous distribution compared to those of Auburn (Tables S2 and S3). In fact, aquifer sediments near wells 1, 2, 5, 6, and 7 mostly consist of sand with blue clay (\(~3.0\) to \(~4.5\) m bgl) and gravel (\(~18.3\) to \(~23.0\) m bgl), while superficial
occurrence of clay (0 to ~5 m bgl) was observed followed by fine and coarse sand and limestone at the bottom near Wells 4, 8, 10, and 11 (Table S3). The aquifer sediments near Wells 3 and 9 mostly consist of fine and coarse sand with gravel and traces of clays (~15.0 m bgl) (Table S3). After the water is collected, the current treatment practice for municipal use consists of aeration, filtration, addition of lime, and chlorination.

The monitoring program started in May 2016 and continued until June 2017. Monthly samples were collected throughout the study (low flow period), while biweekly samples were collected in May 2016 (moderately high river flow period). River water samples were collected approximately 50 cm deep and 2 m from the riverbed of the Little Nemaha River and Missouri River, at the same locations throughout the study. Well water samples were collected from available well house spigots at the identified wells after water quality parameters (i.e., temperature, pH, dissolved oxygen, etc.) had stabilized. Water samples were also collected at the two water treatment plants, before additional treatment (referred herein as “pre”) and after chlorination (water entering the distribution system; referred herein as “post”).

2.2. Water Quality Analysis

Certified glass vials for TOC with Teflon lined, and septa (VWR, Thorofare, NJ, USA) were used to collect samples for TOC, DOC, ultraviolet absorbance (UVA), and THM analysis. DOC samples were filtered through a 0.45 µm glass microfiber (VWR, Thorofare, NJ, USA). Sulfuric acid was added to preserve the TOC and DOC samples. The collected samples were stored at 4 °C before analysis. Major anions, THMs, total coliforms, and E. coli were analyzed within a few hours of collection. Major anions (bromide, chloride, fluoride, nitrate, nitrite, orthophosphate, and sulfate) were measured using a Dionex ICS-90 ion chromatograph with a Dionex IonPac AS14 column (diameter: 4 mm and length: 250 mm) (Dionex, Bannockburn, IL, USA). TOC and DOC were measured by hot persulfate oxidation using an OI 1010 carbon analyzer (OI Analytical, College Station, TX, USA). The four most common THMs (bromodichloromethane, bromoform, chlorodibromomethane, and chloroform) [37,38] were measured using purge and trap gas chromatography/mass spectrometry with an OI 4552 Analytical autosampler and Eclipse Purge-and-Trap Sample Concentrator OI 4660 (OI Analytical, College Station, TX, USA) coupled with a 6890N GC/MS (Agilent Technologies, Santa Clara, CA, USA). Specific ultraviolet absorbance (SUVA), defined as the ratio between DOC and UVA at 254 nm, was used to estimate the nature of the organic matter present in the natural water [38–40]. The absorbance at 254 nm was measured with a Lambda 25 UV/VIS spectrometer (PerkinElmer Instruments, Akron, OH, USA). Table S4 shows the method detection limits of the different analytes.

Total coliform and E. coli were quantified using a commercial most probable number (MPN) test, Colilert 18, with a Quanti-Tray 2000 from IDEXX Laboratories (Westbrook, ME, USA) [41,42]. Due to its simplicity, the IDEXX method has been used in RBF investigations [32,43,44]. Samples were collected aseptically from the rivers as well as after RBF wells. 100 mL or an appropriate dilution of the sample was mixed with the reagent, poured into sterile trays, heat sealed, and incubated at 35 °C for 18 h to detect total coliform and E. coli.

3. Results

3.1. Improvement in Water Quality: Auburn RBF Site

The Little Nemaha River flows through S–E Nebraska and drains into the Missouri River. During the investigation, the Little Nemaha River, in Auburn, had a discharge ranging between 4 and 63 m³ s⁻¹ (Figure S2) [45]. At the Auburn RBF site, pH ranged between 6.96 and 8.41 in the Little Nemaha River water samples, and between 6.44 and 8.45 at the investigated wells (Figure S3a, Table S5). Electrical conductivity (EC) in the river ranged between 304 and 551 µS cm⁻¹ and between 441 and 687 µS cm⁻¹ at the investigated wells (Figure S4a, Table S5). Among the different anions, fluoride (MCL: 4 mg L⁻¹ [24]) and phosphate were consistently below 0.4 mg L⁻¹ in the river as well as at the wells.
(data not shown). Nitrate (measured as NO$_3$-N) (MCL: 10 mg L$^{-1}$ [24]) ranged between 2.3 and 7.0 mg L$^{-1}$ in the river and between 0.2 mg L$^{-1}$ and 1.5 mg L$^{-1}$ at Wells 6 and 13. High levels of nitrate (7.8 to 8.7 mg L$^{-1}$) were observed at Well 4 (Figure 1a). Due to the high levels of nitrate, Well 4 was not being used. Higher values of sulfate (14.8 to 180 mg L$^{-1}$) and chloride (5.9 to 31.9 mg L$^{-1}$) were observed at the wells than in the river (15.8 to 78.0 mg L$^{-1}$ and 4.1 and 14.3 mg L$^{-1}$, respectively) (Figures S5a and S6a). Nitrite and bromide were below 0.0239 mg L$^{-1}$ (data not shown).

TOC and DOC along the Little Nemaha River ranged between 1.8 and 17.6 mg L$^{-1}$ and between 1.6 and 7.2 mg L$^{-1}$, respectively. In RBF well water, TOC and DOC ranged between 0.3 and 2.7 mg L$^{-1}$ and 0.2 and 2.1 mg L$^{-1}$ (Figure 2a,c, Table S5). TOC removal through RBF (water collected at investigated wells vs. stream water) ranged between 58 and 96% throughout the study. Similarly, DOC removals ranged between 53 and 90% (Table S6). Removal from RBF of TOC and DOC was not impacted by TOC and/or DOC values in the Little Nemaha River (Table S6). SUVA ranged between 2.3 and 8.8 L mg$^{-1}$ m$^{-1}$ at the Little Nemaha River and between 1.3 and 8.8 L mg$^{-1}$ m$^{-1}$ in extracted water from RBF wells. Lower values were observed at the water facility. After chlorination, SUVA ranged between 0.6 and 5.0 L mg$^{-1}$ m$^{-1}$ (Figure S7a). TTHM ranged between 11.2 and 30.5 µg L$^{-1}$. Among the THMs investigated, chloroform (1.16 to 4.03 µg L$^{-1}$) and dichlorobromomethane (3.24 to 14.97 µg L$^{-1}$) showed the lowest and highest concentrations, respectively (Figure 3a, Table S7). The RBF facility was also able to consistently remove bacteria. Total coliforms, 2.06 x 10$^3$ to 8.30 x 10$^6$ MPN/100 mL,
and *E. coli*, $1.34 \times 10^2$ to $6.31 \times 10^2$ MPN/100 mL, present in the Little Nemaha River, decreased to <1 MPN/100 mL (IDEXX detection limit) in RBF well water throughout the study.

![Figure 2. Total organic carbon (TOC) in (a) Auburn and (b) Nebraska City and dissolved organic carbon (DOC) in (c) Auburn and (d) Nebraska City. LNR: Little Nemaha River; MR: Missouri River; Pre: Inflow water to the water treatment plant prior to any additional treatment; Post: Water collected at the two water treatment plants after chlorination.](image)

3.2. Improvement in Water Quality: Nebraska City RBF Site

The Missouri River flows through S–E Nebraska and receives the Little Nemaha River south of Auburn. During the investigation, in Nebraska City, the discharge of the Missouri River ranged between 960 and 1910 m$^3$ s$^{-1}$ (Figure S2) and the water temperature between 0 and 30 °C [45]. pH ranged between 7.10 and 8.30 in the Missouri River water samples, and between 6.68 and 8.00 at the investigated wells (Figure S3b, Table S5). EC in the river ranged between 579 and 763 μS cm$^{-1}$ and between 548 and 856 μS cm$^{-1}$ at the investigated wells (Figure S4b, Table S5). Among the different anions, phosphate was consistently below 0.0517 mg L$^{-1}$, while fluoride was consistently below 0.5 mg L$^{-1}$ in the river as well as at the wells (data not shown). Nitrate ranged between 0.9 and 4.7 mg L$^{-1}$ in the river (consistently <MCL), while no detection occurred at the investigated wells throughout the study (Figure 1b). Slightly higher values of sulfate (86.7 to 399 mg L$^{-1}$) and chloride (10.9 to 25.5 mg L$^{-1}$) were observed in the river than at the wells (80.4 to 353 mg L$^{-1}$ and 5.3 and 22.3 mg L$^{-1}$, respectively) (Figures S5b and S6b). Nitrite and bromide were below 0.0239 mg L$^{-1}$ throughout the study (data not shown).

TOC and DOC in the Missouri River water samples ranged between 1.7 and 10.5 mg L$^{-1}$ and between 1.6 and 5.8 mg L$^{-1}$ respectively. In the RBF well water, TOC and DOC ranged between 1.2 and 3.4 mg L$^{-1}$ and between 1.3 and 4.6 mg L$^{-1}$ (Figure 2b,d, Table S5). TOC removal ranged between 14.2 and 78.2%, DOC removal ranged between 15.2 and 62.8% (Table S7). Low removal of TOC and DOC was achieved in the presence of low TOC and DOC values (<2.7 mg L$^{-1}$) in the Missouri River. SUVA
ranged between 1.51 and 6.69 L mg\(^{-1}\) m\(^{-1}\) at the Missouri River and between 1.53 and 6.16 L mg\(^{-1}\) m\(^{-1}\) in RBF well water. Slightly lower values were observed at the water facility. After chlorination, SUVA ranged between 0.82 and 5.46 L mg\(^{-1}\) m\(^{-1}\) (Figure S7b). The total concentration of THMs ranged between 28.9 and 98.6 µg L\(^{-1}\). Among the THMs investigated, bromoform (0.4 to 11.4 µg L\(^{-1}\)) and chloroform (15.6 to 53.8 µg L\(^{-1}\)) showed the lowest and highest concentrations, respectively (Figure 3a, Table S7). By the results obtained in RBF well water in Auburn, the RBF facility was also able to consistently remove bacteria. Total coliforms, 2.60 \(\times\) 10\(^3\) to 4.35 \(\times\) 10\(^4\) MPN/100 mL, and \(E.\) coli, 24.6 to 2.00 \(\times\) 10\(^3\) MPN/100 mL, present in the Missouri River, decreased to <1 MPN/100 mL (IDEXX detection limit) in RBF well water throughout the study.

Figure 3. Total Trihalomethanes (TTHM) in (a) Auburn and (b) Nebraska City. Water samples were collected at the two water treatment plants after chlorination. Total TTHM maximum contaminant level (MCL): 80 µg L\(^{-1}\) (Stage 1 and Stage 2 Disinfectants and Disinfection Byproducts Rules. Available online: http://water.epa.gov/lawsregs/rulesregs/sdwa/stage2/regs_factsheet.cfm).
4. Discussion

Results from our study highlight the ability of the two RBF systems to consistently improve the quality of the source water. Total coliforms and *E. coli* were consistently removed (below detection limit) throughout the study regardless of the environmental conditions (summer vs. winter and dry vs. rainy period) and the starting counts. High bacterial removals (up to 4 logs) were also observed elsewhere [32–34,46]. RBF also represents a valuable option to provide treated water with low levels of nitrate even in agriculturally intensive areas. However, local conditions may impact the occurrence of nitrate in the treated water. While similar nitrate concentrations, up to 6 mg L$^{-1}$, were detected at the two rivers, different concentrations occurred in RBF well water at both sites. Nitrate was consistently absent in RBF well water in Nebraska City, while it ranged between 0 and 8.7 mg L$^{-1}$ in RBF well water in Auburn. In Auburn, the occurrence of nitrate varied in different wells. Nitrate was almost absent in Well 13 (the newest well), while it was consistently detected at approximately 8 mg L$^{-1}$ in Well 4. The different nitrate behavior may be related to the location of the two RBF sites. The RBF site in Nebraska City is adjacent to a conservation area, while the RBF site in Auburn is located in an agricultural area. The identification of a conservation area (ideal habitat for birds and animals and no agriculture) for the wellfield by the city will provide an ideal buffer around the wells. Therefore, local land use control can help small communities using RBF in dealing with nitrate. If a significant amount of flow for an RBF well is derived from the river and the well is located in a zone that receives limited or no nitrate, the expected concentrations will be low in the well as the background concentration will be low and denitrification is expected to remove a substantial part of the nitrate present in the river water. TOC and DOC removal efficiencies are similar to those observed around the world [25,32–34,47]; this is probably achieved within the first few meters of infiltration and can be due to a combined effect of biodegradation [23,48] and mixing with landside groundwater. The Missouri River is a far greater river than the Little Nemaha River. Its discharge is between 30 and 230 times the discharge of the Little Nemaha River. No to limited correlation was observed between discharge and TOC/DOC ($p$-value > 0.05) throughout the study at the two locations (Figure S8). In fact, except the Little Nemaha River on June 2017, TOC was fairly stable in the presence of low as well as high discharge (Figure S8). Similarly, to the limited impact on TOC/DOC, limited to no correlation ($p$-value > 0.05) was also observed between discharge and nitrate in the two rivers throughout the study (Figure S8). These findings suggest limited to no impact on the levels of nitrate in the two rivers due to increasing discharge associated with snowmelt observed in May 2016 and May 2017 (Figure S2). In cold climates, much of the springtime runoff and streamflow in rivers is associated with snowmelt.

RBF represented a potentially effective option to reduce the production of THMs in small systems. The occurrence of THMs in small systems represents a challenge for local water utilities [2,15,49]. For example, Hua et al. [2], investigating three small drinking water systems in rural Missouri using groundwater, surface water, and reservoir water as source water reported high levels of THMs (>80 µg L$^{-1}$) in finished water. The three systems used chlorine as final treatment. The low removal efficiency of DOC was consistently observed at the three water systems. However, after enhancing DOC removal in one of the three systems by adding powdered activated carbon, THM concentrations in finished water were lowered to approximately 40 µg L$^{-1}$ [2]. In our study, THM values were mostly lower than the MCL. However, the occurrence of THMs was different at the two RBF sites. Historical data collected between 2012 and 2017, showed a higher concentration of THMs in Nebraska City (54.56 ± 27.76 µg L$^{-1}$) compared to Auburn (22.29 ± 6.92 µg L$^{-1}$) [50]. A similar trend was also observed in our study. A higher concentration of THMs was observed in Nebraska City (57.48 ± 25.62 µg L$^{-1}$) compared to Auburn (20.05 ± 6.63 µg L$^{-1}$). The small difference between historical data and our results at the two facilities can be related to the sampling location. THMs increased with increasing residence time [51]. Results from our study showed that the levels of THMs in the post-treatment water were strongly linked to the DOC removal ($p$-values < 0.005), and poorly linked to the DOC level ($p$-value > 0.05) as well as to SUVA ($p$-value > 0.005). The statistical analysis revealed a negative correlation between the levels of THMs in the post-treatment water and the DOC.
Water Work and C. Mayer, M. Lant, and their staff at the Nebraska Water Works for allowing this study. The water collected at the two water treatment plants after chlorination. Figure S6: Sulfate in (a) Auburn and (b) Nebraska City. Table S5: Basic water quality properties (pH, electrical conductivity, EC, total organic carbon (TOC), and nitrate at the two sampling locations along the Little Nemaha River (Auburn) and Missouri River (Nebraska City). Table S1: Characteristics of wells at the two RBF sites. Table S2: Auburn—Geological formations at the riverbank filtration site. Table S3: Nebraska City—Geological formations at the riverbank filtration site. Table S4: Method detection limits. Table S5: Basic water quality properties (pH, electrical conductivity, EC, total organic carbon, TOC, dissolved organic carbon, DOC) at the two RBF sites and water utilities. Table S6: Removal (% of dissolved organic carbon (DOC) at the two water treatment facilities. Table S7: Trihalomethanes at the two water treatment plants. Water samples.

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