QED theory of the nuclear recoil with finite size

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We investigate the modification of the transverse electromagnetic interaction between two point-like particles when one particle acquires a finite size. It is shown that the correct treatment of such interaction cannot be accomplished within the Breit approximation but should be addressed within the QED. The complete QED formula is derived for the finite-size nuclear recoil, exact in the coupling strength parameter $Z\alpha$. Numerical calculations are carried out for a wide range of $Z$ and verified against the $(Z\alpha)^5$ term. The comparison with the $Z\alpha$ expansion identifies the contribution of order $(Z\alpha)^6$, which is linear in the nuclear radius and numerically dominates over the lower-order $(Z\alpha)^5$ term.

**Introduction.**—The relativistic spin-$1/2$ particle in the Coulomb field of the infinitely heavy nucleus is described by the Dirac equation. In contrast to the nonrelativistic case, the finite nuclear mass effects, often called the nuclear recoil, cannot be incorporated into the Dirac equation but should be addressed within QED theory. The QED calculations of the nuclear recoil started with pioneering works of Salpeter [11] in 1952. In late 1980s it was proven [2–6] that the linear nuclear recoil started with pioneering works of Salpeter [1] in 1952. In late 1980s it was proven [2–6] that the linear nuclear recoil started with pioneering works of Salpeter [1] in 1952. In late 1980s it was proven [2–6] that the linear finite-size nuclear recoil correction was derived within the Breit approximation but should be addressed within the QED. The complete QED formula is derived for the finite-size nuclear recoil, exact in the coupling strength parameter $Z\alpha$. Numerical calculations are carried out for a wide range of $Z$ and verified against the $(Z\alpha)^5$ term. The comparison with the $Z\alpha$ expansion identifies the contribution of order $(Z\alpha)^6$, which is linear in the nuclear radius and numerically dominates over the previous-order contribution.

Expansion in the small nuclear charge.—Let us denote by $E_{\text{fns}}$ the shift in the binding energy of a hydrogenic system due to the finite nuclear size (fns). For a light atom we can perform the expansion of $E_{\text{fns}}$ in the small nuclear charge

$$E_{\text{fns}} = E_{\text{fns}}^{(4)} + E_{\text{fns}}^{(5)} + E_{\text{fns}}^{(6)} + \ldots$$

where the superscript indicates the order in $Z\alpha$. The leading-order nuclear contribution is of order $(Z\alpha)^4$ and given by a simple formula,

$$E_{\text{fns}}^{(4)} = \frac{2\pi}{3} Z\alpha \phi^2(0) r_C^2,$$

where $\phi(0)$ is the nonrelativistic wave function of the electron at the position of nucleus, $r_C$ is the root-mean-square charge radius of the nucleus, $r_C^2 = \int d^3 r r^2 \rho(r)$, and $\rho(r)$ is the nuclear charge density. Eq. (2) includes the exact dependence on the finite nuclear mass $M$ through $\phi^2(0) = m_e^2 (Z\alpha)^3/(\pi n^3)$, where $m_e = mM/(m + M)$. The description of fns effects for an arbitrary mass ratio at the order $(Z\alpha)^5$ is much more complicated. We thus briefly discuss the approximations and assumptions needed to derive this correction. Let us start from the general expression for the nuclear-structure contribution of order $(Z\alpha)^5$:

$$E_{\text{nuc}}^{(5)} = - \left( \frac{Ze^2}{2} \right)^2 \phi^2(0) \int \frac{d^4q}{(2\pi)^4} \frac{1}{q^2} \times \left[ T^\mu (I, M) - t^\mu (I, M) \right] t^\nu (1/2, m),$$

where $T^\mu (I, M)$ and $t^\mu (1/2, m)$ are the forward virtual Compton scattering amplitudes off the nucleus (with the spin $I$ and mass $M$), and the electron (with the spin $1/2$ and mass $m$), respectively. Furthermore, $t^\mu (I, M)$ is the point-nucleus limit of $T^\mu (I, M)$. The subtraction of the point-nucleus limit in above equation is necessary because it is already included
into the \((Z\alpha)^5\) nuclear recoil correction \[14\]. For the electron, the scattering amplitude is very simple and given by

\[
p^{\mu\sigma}(1/2, m) = \text{Tr} \left[ \gamma^\nu \frac{1}{m} \frac{1}{p^+ - \vec{q} - m} \gamma^\sigma \frac{\gamma^0 + i}{4} \right] + (q \rightarrow -q),
\]

(4)

with \(\nu = q^0\) and \(t = (1, 0, 0, 0)\). By contrast, for the nuclear scattering amplitude \(T^{\mu\sigma}\) we usually do not have much information. Nevertheless, the gauge invariance requires that \(q_{\mu} T^{\mu\sigma} = 0\) and therefore \(T^{\mu\sigma}\) can be expressed in terms of only two Lorentz invariant functions \(T_1\) and \(T_2\),

\[
T^{\mu\sigma} = -\left( q^{\mu\sigma} - \frac{q^{\mu} q^{\sigma}}{q^2} \right) \frac{T_1}{M} + \left( t^{\mu} - \frac{\nu}{q^2} q^{\mu} \right) \frac{T_2}{M}. \tag{5}
\]

Using this parametrization, we evaluate Eq. (3) as

\[
E^{(5)}_{\text{nuc}} = -2 (Z e^2)^2 \phi^2(0) \frac{m}{M} \int \frac{d^4q}{(2\pi)^4} \frac{1}{i} \left[ \rho^2(-q^2) - 1 \right] \times \left[ T_2 - t_2(I, M) \right] (q^2 - \nu^2) + \frac{T_1 - t_1(I, M)}{q^2} (q^2 + 2\nu^2), \tag{6}
\]

where \(t_1\) and \(t_2\) are the point-nucleus limits of \(T_1\) and \(T_2\), respectively.

Let us now split the nuclear contribution into the fns and polarizability parts, \(E^{(5)}_{\text{nuc}} = E^{(5)}_{\text{fns}} + E^{(5)}_{\text{pol}}\). The separation is not unique and was carried out in different ways in the literature. We here separate the fns part by assuming that nucleus is described only by the elastic formfactors; this definition is often referred to as the Born contribution. For the spin-zero nuclei, there is only the charge formfactor \(\rho(-q^2)\). For an arbitrary spin \(I\), there are in addition the magnetic, quadrupole and possibly other formfactors. However, to the zeroth and the first order in \(m/M\) only the charge formfactor contributes. Under this assumption, the fns contribution becomes

\[
E^{(5)}_{\text{fns}} = -2 (Z e^2)^2 \phi^2(0) \frac{m}{M} \int \frac{d^4q}{(2\pi)^4} \frac{1}{i} \left[ \rho^2(-q^2) - 1 \right] \times \frac{t_2(I, M) (q^2 - \nu^2) - t_1(I, M) (q^2 + 2\nu^2)}{q^2 (q^2 + 4 m^2 \nu^2)}. \tag{7}
\]

We now claim that the nonrecoil and the leading recoil corrections do not depend on the nuclear spin, which allows us to set \(I = 1/2\) and obtain \(t_1, t_2\) from Eq. (4). Next we perform the angular integration in the Euclidean momentum space,

\[
E^{(5)}_{\text{fns}} = - (Z\alpha)^2 \phi^2(0) m \int_0^\infty \frac{dp}{p} T(p^2), \tag{8}
\]

and expand \(T(p^2)\) in large \(M\) as

\[
T(p^2) = T^{(0)}(p^2) + \frac{T^{(1)}(p^2)}{M} + O\left( \frac{1}{M} \right)^2. \tag{9}
\]

The leading term \(T^{(0)} = (16/p^3) \left[ \rho^2(p^2) - 1 - 2 p^2 \rho'(p^2) \right]\) corresponds to the non-recoil limit. Performing the momentum integration as

\[
\int_0^\infty \frac{dp}{p} T^{(0)} = \frac{r_F^3}{3},
\]

(10)

where \(r_F^3 = \int d^3r_1 \int d^3r_2 \rho(r_1) \rho(r_2) |r_1 - r_2|^3\), we reproduce the well-known Friar correction \[15\].

\[
E^{(5)}_{\text{fns}}(M = \infty) = -\frac{\pi}{3} \phi^2(0) (Z\alpha)^2 m r_F^3. \tag{11}
\]

The leading recoil term in expansion of \(T\) in the mass ratio is

\[
T^{(1)} = \frac{8}{p^3} \left[ \sqrt{1 + a^2} - (1 + \sqrt{1 + a^2})^{-2} \right] \left[ 1 - \rho^2(p^2) \right] + 16 a \rho'(p^2),
\]

(12)

where \(a = 2 m/p\). The momentum integral is represented in the coordinates space as

\[
\int_0^\infty \frac{dp}{p} T^{(1)} = \left\{ \frac{7}{6} - 2 \gamma - 2 \ln(m r_L) \right\} r_C^2, \tag{13}
\]

with the effective radius \(r_L\) defined by

\[
\int d^3r_1 \int d^3r_2 \rho(r_1) \rho(r_2) |r_1 - r_2|^2 \ln(m |r_1 - r_2|) = 2 r_L^2 \ln(m r_L). \tag{14}
\]

Finally, the fns recoil correction of order \((Z\alpha)^5\) is

\[
E^{(5)}_{\text{recfns}} = - \frac{m}{M} \phi^2(0) (Z\alpha)^2 \left[ \frac{7}{6} - 2 \gamma - 2 \ln(m r_L) \right] r_C^2, \tag{15}
\]

where we omitted the reduced-mass correction in Eq. (11), since it is two orders of magnitude smaller for normal "electronic" atoms. The effective radius \(r_L\) for the exponential model amounts to 1.74 \(r_C\) and should not significantly differ for other nuclear charge distributions. In comparison to the leading fns effect given by Eq. (2), \(E^{(5)}_{\text{recfns}}\) is decreased by a factor of \(Z\alpha m/M\) but enhanced by \(\ln(m r_L)\), which is \(\approx -5.6\) for hydrogen.

\((Z\alpha)^5\) effects beyond the finite nuclear size.--- It is well known that the treatment of a nucleus as a finite-size particle omits numerous nuclear-structure effects, often termed as the nuclear polarizability contribution. Subtracting from \(T_1\) and \(T_2\) the fns parts, one writes the nuclear polarizability correction as

\[
E^{(5)}_{\text{pol}} = -2 (Z e^2)^2 \phi^2(0) \frac{m}{M} \int \frac{d^4q}{(2\pi)^4} \frac{1}{i} \frac{T_2(q^2 - \nu^2) - T_1(q^2 + 2\nu^2)}{q^2 (q^2 + 4 m^2 \nu^2)}.
\]

(16)

An approach used in the literature is to employ dispersion relations in the variable \(\nu\) to express \(T_1(\nu, -\nu^2)\) and \(T_2(\nu, -\nu^2)\)
in terms of structure functions that in principle can be measured in the electron-nucleus scattering. In the case of $T_1$, a subtracted dispersion relation is needed, giving rise to the subtraction function $T_1(0, -q^2)$, which can not be measured directly but needs to be calculated from the nuclear theory, with a condition that its small-$q$ behavior is governed by the magnetic dipole polarization $T_1 = \alpha / M q^2 \beta_M + O(q^4)$.

The structure functions are known experimentally only for the proton, deuteron, and helium, and only for a part of the kinematic space. Generally, usage of dispersion relations for nuclei heavier than proton requires significant input from the nuclear theory. Such calculations were recently performed for the deuteron in Refs. [16, 17].

An alternative approach is to calculate the total nuclear structure correction by considering the nucleus as a system of individual interacting nucleons and do not introduce the fns effect at all. Such calculations are nowadays feasible for light nuclei. Specifically, the nuclear contribution of order $(Z\alpha)^5$ for a light composite nucleus is written as [18]

\[
E^{\text{nuc1}}_\text{nucl}(5) = E^{\text{nuc1}}_{\text{nucl1}} + E^{\text{nuc1}}_{\text{nucl2}} + E^{\text{pol}}_{\text{nucl}},
\]

\[
E^{\text{nuc1}}_{\text{nucl1}} = -\frac{\pi}{3} m \alpha^2 \phi^2(0) \left[ Z R^3_{pF} + (A - Z) R^3_{nF} \right],
\]

\[
E^{\text{nuc1}}_{\text{nucl2}} = -\frac{\pi}{3} m \alpha^2 \phi^2(0) \sum_{i,j=1}^Z \langle \phi_N | \vec R_i - \vec R_j | \phi_N \rangle.
\]

Here $E^{\text{nuc1}}_{\text{nucl1}}$ comes from the two-photon exchange with the same nucleon, $E^{\text{nuc1}}_{\text{nucl2}}$ is due to the two-photon exchange with different nucleons, and $E^{\text{pol}}_{\text{nucl}}$ is the nuclear polarizability correction originating from the low-energy two-photon exchange. The parameters $R_{pF}$ and $R_{nF}$ are the effective proton and neutron radii, correspondingly. They represent the complete two-photon exchange (with subtracted point-proton contribution) and thus include the recoil with individual nucleons. We extract them from the calculation of Tomalak [19], with the result $R_{pF} = 1.947 \, \text{fm}$ and $R_{nF} = 1.43 \, \text{fm}$.

Unfortunately, it is not feasible at present to extend this approach to nuclei consisting of many nucleons or to effects of higher orders in $Z\alpha$. For complex nuclei, the only currently available way is to assume the charge form factor model and separately account for the nuclear polarizability effects as was done in Refs. [20,21]. We thus return to the description of nuclei through the elastic charge formfactor, but keep in mind the limitations of this very simplified picture.

**Photon propagator in the modified Coulomb gauge.** In order to obtain a formula for the relativistic recoil correction that is valid for an arbitrary $Z$, we shall construct the photon propagator with one finite-size vertex in the Coulomb gauge. First we consider the Feynman gauge. In this case the photon propagator with the charge formfactor is given by

\[
G^\mu_\nu(k) = -g^{\mu\nu} / k^2 \rho(-k^2),
\]

where we assumed that the formfactor can be analytically continued into the complex plane with possible poles and branch cuts on the negative real axis $-k^2 < 0$. In the Coulomb gauge we require that the scalar part of the propagator coincides with the Coulomb potential of an extended nucleus, namely $G_C^{\rho_0} = \rho(k^2) / k^2$. Then the transverse part of the propagator has to be of the form

\[
G^\mu_\nu(k) = \frac{\rho(-k^2)}{k^2} \left( \delta^{ij} - \frac{k_i k_j}{(k^0)^2} \right) - \frac{k_i k_j}{(k^0)^2} \rho(k^2) / k^2.
\]

The above formula is justified by the equivalence of $G_F$ and $G_C$ that follows from the gauge transformation

\[
G^\mu_\nu = G^\mu_\nu_C + k^\mu f^\nu + f^\mu k^\nu,
\]

with $f^0 = -k^0 f$, $f^i = k^i f$, and

\[
f = \frac{1}{2} \rho(k^2) / k^2 + \rho(-k^2) / k^2.
\]

The coordinate-space representation of the transverse part of the propagator is obtained as

\[
G^\mu_\nu_C(\omega, r) = \delta^{ij} \frac{\nabla_i \nabla_j}{\omega^2} [D(\omega, r) - D(0, r)],
\]

where $\omega \equiv k^0$ and

\[
D(\omega, r) = \int \frac{d^3k}{(2\pi)^3} e^{ik\cdot r} \frac{\rho(k^2 - \omega^2)}{\omega^2 - k^2}.
\]

The Breit-approximation formula for the transverse electron-nucleus interaction is obtained by taking the limit $\omega \rightarrow 0$, with the result

\[
G^\mu_\nu_C(0, r) = \frac{1}{2} \left( \delta^{ij} - \frac{r_i r_j}{r} \frac{d}{dr} \right) D(0, r).
\]

It coincides with the result obtained previously in Ref. [22] but disagrees with the later work [12].

**Finite-size nuclear recoil for an arbitrary nuclear charge.** In order to obtain the finite-size nuclear recoil correction we use the formula originally derived for the point nucleus to all orders in $Z\alpha$ [20,21] and replace the point-nucleus photon propagator in the Coulomb gauge by the finite-nucleus photon propagator. This procedure can be justified by considering the electron-nucleus scattering amplitude. Every photon exchange is described by the propagator $-g^\mu_\nu / k^2$ and a formfactor vertex on the nucleus line. Performing the nonrelativistic limit for the nucleus, we arrive at the scattering amplitude of point-like nonrelativistic particles that interact by means of the modified photon propagator. The nuclear recoil correction was derived assuming the nonrelativistic Hamiltonian for a point nucleus, thus for the finite-size nucleus we obtain

\[
E_{\text{rec}} = \frac{m^2}{M} \frac{i}{2\pi} \int_{-\infty}^{\infty} d\omega \langle a | [p^j - D^j(\omega)] \times G(\omega + \epsilon_a) [p^j - D^j(\omega)] | a \rangle,
\]

where $a$ is the final state of the nucleus.

\[27\]
where $G(E) = [E - H_D(1 - i\epsilon)]^{-1}$ is the Dirac-Coulomb Green function, $D'(\omega) = -4\pi Z\alpha\alpha' G^{ij}_C(\omega,\vec{r})$, and $\alpha, \alpha'$ are the Dirac matrices.

In order to proceed further we need to specify explicitly the model of the nuclear charge distribution. We will use the exponential model, whose kernel in the momentum space is

$$p(k^2) = \lambda^4/(\lambda^2 + k^2)^2,$$

where $\lambda = 2\sqrt{3}/r_C$. Since the recoil correction [27] is calculated after the Wick rotation $\omega \rightarrow i\omega$ (see Ref. [12]), for performing calculations for the $1s$ reference state we need the photon propagator for imaginary energies only. We obtain for $\omega = i\omega_+ + \omega_+ \geq 0$,

$$D(i\omega_+, r) = -\frac{1}{4\pi} \left[ \frac{e^{-\omega_+ r} - e^{-\omega_+ r}}{r} - \frac{\lambda^2 e^{-\omega_+ r}}{2 - \omega_+} \right],$$

(28)

where $\omega_+ = (\omega^2 + \lambda^2)^{1/2}$ and $D(-i\omega_+, r) = D(i\omega_+, r)$.

We performed numerical calculations of the finite-size nuclear recoil correction to all orders in $Z\alpha$ by evaluating Eq. (27) for the extended and the point nuclear models and taking the difference. Results of our numerical calculations are shown in Fig. 1, in comparison with contributions of the $Z\alpha$-expansion corrections. The plotted function depends both on $Z$ and $r_C$, leading to a non-smooth behaviour of the plots in Fig. 1. We observe that the sum $E^{(4)}_{\text{recfns}} + E^{(5)}_{\text{recfns}}$ differs noticeably from the all-order results already for moderate values of $Z$. By varying separately $Z$ and $r_C$ in our numerical calculations, we determined that the reason is the contribution of the next order in $(Z\alpha)$, which depends – very unusually – linearly on $r_C$. We thus deduce the contribution of order $(Z\alpha)^6$ of the form

$$E^{(6)}_{\text{recfns}} = -\frac{m^3}{M} a^{(6)} (Z\alpha)^6 r_C,$$

(29)

where the numerical value of the coefficient $a^{(6)} \approx 1.0$. This approximate equation is obtained for the exponential nuclear model; for other models we might expect a different effective radius instead of $r_C$, but the linear dependence shall remain. Fig. 1 demonstrates that the inclusion of the $(Z\alpha)^6$ contribution significantly improves agreement between the all-order and $Z\alpha$-expansion results.

In Table 1 we present our results of the all-order (in $Z\alpha$) calculation in comparison with the sum of the $Z\alpha$-expansion contributions up to $(Z\alpha)^6$. We observe excellent agreement of the two methods in the low-Z region. By contrast, for high $Z$ the all-order results become larger than the $Z\alpha$-expansion estimates by an order of magnitude. In the last column of Table 1 results of previous approximate treatment [13, 23, 24] are listed (recalculated for the nuclear model and nuclear radii adopted in this work). The previous treatment was incomplete because the transverse part of the finite-size photon propagator was not known at that time. As seen from the table, this incompleteness leads to effects ranging from 1.5% for $Z = 1$ to 9% for $Z = 92$.

Conclusions.— In this Letter we performed rigorous QED calculations of the finite-size nuclear recoil (recfns) effect for the Lamb shift of hydrogen-like ions, both within the $Z\alpha$-expansion and to all orders in $Z\alpha$. The resulting correction for the $1S-2S$ transition frequency in hydrogen is $-1.62$ kHz, which may be compared with the experimental uncertainty of 0.01 kHz [25, 26] in hydrogen, 5.4 kHz [27] in antihydrogen, and the total theoretical uncertainty of 1.6 kHz [28]. The higher-order $(Z\alpha)^5$ contribution is quite small for light ions (−0.04 kHz for the $1S-2S$ transition in hydrogen) but becomes increasingly important with growth of $Z$. Generally, the recfns correction is comparable in magnitude with the nuclear-structure effects and should be included into consideration for obtaining high-precision theoretical predictions of the Lamb shift. In particular, the recfns effect contributes to nonlinearities of the so-called King’s plots, which are nowadays considered as a promising tool for searches for new particles [29, 30].

The developed approach for describing the recoil effect with a finite-size nucleus to all orders in $Z\alpha$ may find many applications in precision studies of simple atomic systems. It will lead to more accurate theoretical predictions of the

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**TABLE I. Finite-size nuclear recoil correction for the 1s state of H-like ions, in terms of function $\delta P = E_{\text{recfns}}/[(m^2/M)(Z\alpha)^2]/\pi$.**

| $Z$ | $r_C$ [fm] | $Z\alpha$-expansion | All-order | Refs. [13, 24] |
|-----|------------|----------------------|-----------|----------------|
| 1   | 0.8409     | −0.00419             | −0.00419  | −0.00425       |
| 2   | 1.6755     | −0.00849             | −0.00850  | −0.00874       |
| 3   | 2.4440     | −0.01229             | −0.01233  | −0.01281       |
| 5   | 2.4060     | −0.00775             | −0.00782  | −0.00829       |
| 10  | 3.0055     | −0.00752             | −0.00780  | −0.00850       |
| 20  | 3.4776     | −0.00829             | −0.00962  | −0.01042       |
| 30  | 3.9283     | −0.01079             | −0.01506  | −0.01572       |
| 40  | 4.2694     | −0.0137              | −0.0246   | −0.0247        |
| 50  | 4.6519     | −0.0174              | −0.0429   | −0.0414        |
| 60  | 4.9123     | −0.0210              | −0.0764   | −0.0717        |
| 70  | 5.3108     | −0.0258              | −0.148    | −0.137         |
| 80  | 5.4648     | −0.0296              | −0.298    | −0.274         |
| 92  | 5.8571     | −0.0358              | −0.819    | −0.757         |
bound-electron $g$-factor and to improved spectra of muonic atoms. In particular, it opens a way to a non-perturbative treatment of the vacuum-polarization combined with the nuclear recoil in muonic atoms. More specifically, in muonic atoms the vacuum-polarization, the nuclear recoil, and the fns effects are of comparable magnitude and are difficult to be accounted for by perturbation theory. Our approach allows one to account for the nuclear recoil modified not only by the fns but also by the Uehling vacuum-polarization, without any expansion in $Z\alpha$, which has not been accomplished so far [31, 32]. Furthermore, the developed approach can be used for deriving the exact (in $Z\alpha$) formulas for the recoil effect to the hyperfine splitting, which is presently unknown for medium- and high-$Z$ electronic and muonic atoms.

K.P. acknowledges support from the National Science Center (Poland) Grant No. 2017/27/B/ST2/02459. V.A.Y. was supported by the Russian Science Foundation Grant No. 20-62-46006.

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