Single base mutations in the nucleocapsid gene of SARS-CoV-2 affects amplification efficiency of sequence variants and may lead to assay failure

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A B S T R A C T

Reverse transcriptase quantitative PCR (RT-qPCR) is the main diagnostic assay used to detect SARS-CoV-2 RNA in respiratory samples. RT-qPCR is performed by specifically targeting the viral genome using complementary oligonucleotides called primers and probes. This approach relies on prior knowledge of the genetic sequence of the target. Viral genetic variants with changes to the primer/probe binding region may reduce the performance of PCR assays and have the potential to cause assay failure. In this work we demonstrate how two single nucleotide variants (SNVs) altered the amplification curve of a diagnostic PCR targeting the Nucleocapsid (N) gene and illustrate how threshold setting can lead to false-negative results even where the variant sequence is amplified. We also describe how in silico analysis of SARS-CoV-2 genome sequences available in the COVID-19 Genomics UK Consortium (COG-UK) and GISAID databases was performed to predict the impact of sequence variation on the performance of 22 published PCR assays. The vast majority of published primer and probe sequences contain sequence mismatches with at least one SARS-CoV-2 lineage. We recommend that visual observation of amplification curves is included as part of laboratory quality procedures, even in high throughput settings where thresholds are set automatically and that in silico analysis is used to monitor the potential impact of new variants on established assays. Ideally comprehensive in silico analysis should be applied to guide selection of highly conserved genomic regions to target with future SARS-CoV-2 PCR assays.

1. Introduction

The SARS-CoV-2 pandemic required rapid implementation of diagnostic testing. The only method capable of meeting this need was reverse transcriptase quantitative PCR (RT-qPCR) which worked by detecting SARS-CoV-2 RNA. Reliable RT-qPCR assays played an important role in guiding patient management and limiting the onward transmission of SARS-CoV-2 [1]. Diagnostic laboratories across the world are currently using a wide variety of different commercial and locally developed RT-qPCR assays that target a range of SARS-CoV-2 genes.

SARS-CoV-2 genetic variants exist that have the potential to lead to phenotypic changes manifesting as differences in viral infectivity, burden, immunogenicity and tropism during the course of infection [2–8]. Genetic variants form a natural part of infection as SARS-CoV-2, a zoonotic virus, evolves with its new human host and can become established in the population. Variants of concern (VOC) are variants of SARS-CoV-2 that may be more infectious, cause more severe disease or lead to vaccine or immunological escape [9]. Consequently accurate and rapid detection of VOCs is important to manage a pandemic. RT-qPCR is performed by using short DNA molecules (primers and probes) that complement and directly bind the SARS-CoV-2 genome, therefore genetic variants may affect assay performance. An emerging sequence variant could escape detection in molecular assays. This may be an infrequent event, but if it occurs the impact could be very significant. Individuals could be incorrectly told they are not infected, potentially increasing the spread of a new variant. This is even more challenging during the SARS-CoV-2 pandemic, as new sequence variants arise frequently [10,11]. Consequently it is important that diagnostic developers and those applying RT-qPCR for SARS-CoV-2 diagnosis are aware of the

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potential impact of VOCs on their assays both in terms of surveillance and RT-qPCR output. In this report we investigate how sequencing can be used locally to determine the sequence lineages of 332 consecutive samples that were SARS-CoV-2 PCR positive in our laboratory between 26th March 2020 and 17th December 2020. We also explored the effect two single-nucleotide variants (SNVs) have on the performance of an RT-qPCR diagnostic PCR assay and if different analysis parameters (such as threshold setting or applying Cq cut offs) can lead to different results. We then use this sequence data to perform in silico analysis of primer and probe sequences from 22 published SARS-CoV-2 PCR assays to predict potential impact of VOCs on these approaches and make recommendations for a proactive and standardised approach to assay design and monitoring.

2. Materials and methods

2.1. Samples

Nasopharyngeal swabs and aspirates, collected from patients and healthcare workers tested for SARS-CoV-2 by reverse-transcriptase quantitative PCR (RT-qPCR) between 26th March 2020 and 17th December 2020. These samples were tested as part of the routine diagnostic service at Great Ormond Street Hospital NHS Foundation Trust (GOSH) and included both symptomatic and asymptomatic individuals. Samples were selected for sequencing if they were the first positive sample from an individual and had a sufficient viral load for successful sequencing determined by a quantification cycle (Cq, also described as cycle threshold, Ct) lower than 34. A total of 332 samples were sequenced.

2.2. RT-qPCR and sequencing

Dry, flocked swabs were re-suspended with 600 or 1,200 μl nucleic-acid free water (for single or double swabs, respectively). Total nucleic acid was purified from 250 μl swab suspension fluid using the Hamilton STAR robotic liquid handler and Mag-Bind® Viral DNA/RNA kit (Omega Biotek). RNA was eluted in 100 μl elution buffer. Each 250 μl sample was spiked with 1.1 μl Phocine Distemper Virus (PDV) cell culture isolate (cultured in vero cells) before the nucleic acid extraction to act as an internal positive control. PDV is an established qualitative control used in all clinical RT-PCR assays at GOSH to control for PCR inhibition or nucleic acid extraction failure. Negative extraction controls were included alongside the specimen extractions that contained water in place of swab suspension fluid; these were also spiked with PDV [12].

RT-qPCR reactions were performed in 25 μl reaction volumes with 7.5 μl of RNA, 1X One Step PrimeScript III RT-PCR mastermix (Takara); 0.4 μM forward primer (N-gene Taq1); 0.6 μM reverse primer (N-gene Taq 2); 0.3 μM probe (N-gene Taq probe); 0.125 μM forward primer (PDV-F); 0.125 μM reverse primer (PDV-R); 0.125 μM probe (PDV-probe) per reaction, primer and probe sequences previously published and provided in the appendix [12,13]. Thermal cycling was performed on a QuantStudio 5 thermocycler (ThermoFisher) using manufacturer (Takara) recommended fast cycling conditions and 45 cycles. A negative control (water) and a positive control were included in each run. This positive control was an RNA transcript of the SARS-CoV-2 nucleocapsid (N) gene synthesised by in vitro transcription of a linearised plasmid containing the entire N gene. Full length transcripts were confirmed using the 2100 Bioanalyzer system (Agilent) and the RNA concentration was estimated using the Qubit Flurometer (ThermoFisher) and used at a concentration of 100 copies / μl [12]. Sequencing was performed in the UCL Pathogen Genomics Unit (PGU) using either Oxford Nanopore Technologies (ONT) with 2 kb primers [14], ONT with V3 primers [15] or ARTIC Illumina sequencing protocol V.5 [16] as part of the COVID-19 Genomics UK Consortium (COG-UK) [17] and sequences were assigned relevant COG-UK identifiers.

The cycling programmes were run and the data collected using the QuantStudio 5 Dx Software v1.0.2. Cq values were obtained using a manual threshold method (threshold set mid-point through the exponential phase of amplification, as per manufacturer guidance and standard practice in clinical PCR assays at GOSH). A positive result was defined as amplification detected above the threshold within 45 cycles. Images of the amplification curves were taken directly from the analysis software.

2.3. In silico primer probe analysis

Primer and probe sequences from 22 published assays and 11 different sources were analysed (Table 1), sequences are in the appendix. Twenty one of these assays are published on the WHO website [1] and the remaining one is a locally designed assay that has been published [12,13]. SARS-CoV-2 sequence regions on the leading strand, corresponding to primer, probe or gene targets were identified in a SARS-CoV-2 reference sequence Wuhan-Hu-1 (Accession: NC_045512.2). Sequences corresponding to these regions with an additional 75 bases and 15 bases from the 5’ and 3’ ends respectively, were extracted in silico from SARS-CoV-2 consensus sequence data, sequenced in the UCL PGU using the ARTIC protocol with V3 primers [15] and assembled in to consensus sequences following the ARTIC-nCov-bioinformatics SOP-v1.1.0 protocol [18]. These COG-UK sequences correspond to samples processed by GOSH between March and December 2020, alongside 8 selected variant of concern sequences provided by GISAID [19] (COG-UK, GISAID ID’s and lineage information available in Supplementary data, Table S1 and S2). Sequence extraction was performed with Samtools faidx version 1.9 [20] and multiple sequence alignment performed using Clustal Omega version 1.2.4 [21] for identification of SNVs, insertions and deletions. Lineage of SARS-CoV-2 sequences were determined with Pangolin 2.1.7 [22] and lineages version 2020–05–19.

3. Results

3.1. Impact of SNV on qPCR assay

329 clinical samples that were positive for SARS-CoV-2 at our centre between 26th March 2020 and 17th December 2020 were sequenced and assigned to a lineage; three samples were not assigned a lineage due to high number of ambiguous bases (>50% N-content). Lineages assigned to more than one sample are shown in Table 2.

Thirty samples were the B.1.1.7 lineage, a UK variant of concern (VOC 202,012/01) and 11 samples were the B.1.177.19 lineage. Both were shown by in silico analysis to have sequence variation in the primer and probe binding sites of the N-gene assay used in our laboratory 
[12,13]. In both cases this was a single nucleotide variant (SNV). Fig. 1b shows the amplification plots for six samples, two that were B.1.1.7 lineage, two that were B.1.177.19 lineage and one each of the wild-
3.2. In silico analysis reveals multiple SNVs in published primer probe sequences

Sequence data from 332 samples that tested positive at GOSH during the period 26th March 2020 and 17th December 2020 was analysed for sequence variation in primer and probe binding regions of the 22 published PCR assays listed in Table 1. Eighteen of the 22 assays had at least one SNV in one or more of the primer or probe sequences in ≥ 1% of the SARS-CoV-2 sequences analysed (Fig. 2). Twenty-six individual primer/probe sequences had at least one SNV with sequences from one or more of the lineages included in the analysis (Table 3).

Six primer/probe sequences had at least one SNV in every sequence analysed: To et al. [26] (RdRp gen, R primer), Japan (N gene, R2 primer), Charite (Corman et al. [24]) (RdRp gene, P1 probe and R1 primer), Chan et al. [27] (RdRp gene, F primer and R primer). One primer sequence (China CDC (Wang et al. [23]) (N gene, F primer)) had at least one SNV in 57% of sequences analysed.

4. Discussion

Viral variant genetic changes can result in primer or probe sequences that no longer perfectly complement the genetic region they target. Sequences changes to the primer and probe binding regions can have no, marginal or a catastrophic effect on PCR performance. Sequence mismatches in the five prime region of a primer or probe rarely affect assay performance whereas those in the three prime region can have a greater impact. We demonstrated how two different SNVs can impact on the performance of a real-time PCR assay targeting the N gene of SARS-CoV-2 (Fig. 1). These outcomes can be predicted from in silico analysis as the SNV in the probe may impact on binding or hydrolysis (Fig. 1a: aligned assay to genome), as has been previously documented for SARS-CoV-2 [29-31]. The result was a different shaped amplification curve which also had reduced amplitude when the B.1.177.19 samples are analysed.

Observation of the new curve allows an experienced user to set an alternative threshold for a better assessment of the Cq value (dotted blue line Fig. 1b), crucially the optimal threshold differs and the two curves should not be compared using the same threshold. However, automatic threshold setting, which is commonly applied in commercial assays, especially in high-throughput settings, would compare all curves at the same threshold. If this threshold was set based on the assay targeting the original sequence it would lead to the Cq of the assay targeting B.1.177.19 being estimated high, closer to the curve plateau. If
the threshold was set at a higher fluorescence, or amplitude was further reduced as a result of the SNV, then the B.1.177.19 could be considered negative, even when the viral quantity was high (solid blue line Fig. 1b). Consequently in the absence of visual inspection of the amplification plots, automatic threshold settings could lead to false-negative results when assay performance is impacted in this way. Patients infected with variants of concern, with high viral burden, could potentially be told they do not have COVID-19.

PCR is vulnerable to sequence variation in primer and probe binding sites, even minor sequence changes can lead to assay failure and false-negative results [31]. Multiplexed PCR assays targeting two or more different genes within a single pathogen improve the reliability of the
assay for detecting variants of concern, as the chance of mutations affecting all targets is smaller. Many commercial assay providers favour this approach. Ultimately, it would be prudent to redesign the affected assay of a multiplexed solution when sequence variation is detected due to the fact that variants may accumulate and eventually affect all assays. One downside of multiple target assays is that they are difficult to incorporate into a routine clinical service where multiplexing of targets is used to include a number of different pathogens that cause the same clinical syndrome. Another consideration when applying a multiplex approach is that there may be a reduction in the performance of the assays due to optimisation challenges.

A simple way to determine whether PCR assays may be affected by sequence variation is to perform in silico analysis of primer and probe binding regions of all circulating variants of SARS-CoV-2. The data we have presented represents the SARS-CoV-2 in our centre during this time period and out of the 22 published assays included in the analysis, only three assays had perfectly complementary primer and probe sequences for all SARS-CoV-2 sequences included in the analysis. Similar findings were observed in another study performing in silico analysis [32].

Many of the sequence changes were a single SNP in one primer or the probe and may not actually affect wet-laboratory performance of the assay; however this cannot easily be determined based on in silico approaches alone as other factors (reagent choice, assay optimum, etc.) will also affect how the PCR will perform. Furthermore, the effect of mutations can be cumulative, therefore it would seem prudent to modify the assays as soon as possible to avoid impact of further genetic changes over time. However, this may be challenging as SVs are occurring frequently, especially as it will not be clear which will become VOCs. Therefore, sequence data should be proactively monitored for additional SNVs and lineages known to have SVs in regions targeted by assays should be monitored for sudden increase in frequency. Ultimately, in silico analysis can guide the re-design of PCR assays to target the most conserved genomic regions of SARS-CoV-2.

The majority of clinical diagnostic laboratories in the UK are currently using SARS-CoV-2 RT-qPCR assays supplied by commercial providers and rely on assay manufacturers to perform validation and on-going quality assurance to ensure assays are fit for purpose. There is an expectation that this includes the impact of sequence variation on assay performance, however there is no standard guidance for commercial assay providers (or diagnostic laboratories) on how to monitor the impact of sequence variation on PCR performance during a pandemic. Such guidance should include the frequency with which the in silico analysis should be performed, the reference database of variant sequences used, recommended bioinformatics tools and how to assess the impact of sequence variation on assay performance.

The in silico analysis we present here could be broadened to include all lineages circulating locally and also any other global lineages of interest in GISAID [19]. The availability of SARS-CoV-2 sequence data in the UK provides an excellent opportunity to perform surveillance of sequence data and to pre-empt the impact of any variation on diagnostic tests. We propose that a standardised approach should be adopted to continuously monitor the impact of sequence variants on diagnostic tests before they become prevalent in the community and guide the selection of highly conserved regions of the SARS-CoV-2 genome to use as PCR targets to improve diagnostic tests in the future.

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Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Supplementary materials

Supplementary material associated with this article can be found, in the online version, at doi:10.1016/j.jcvi.2021.100037.

Appendix: Full list of primer and probe sequenced from published assays used in this analysis. Available from WHO website: https://www.who.int/publications/m/item/molecular-assays-to-diagnose-covid-19-summary-table-of-available-protocols

| China CDC | ORFlab |
|----------|--------|
| (Wang et al. [23]) | | |
| Forward primer | CCC TGT GGG TTT TAC ACT TAA |
| Reverse primer | ACG ATT GTG CAT CAG CTG A |
| Probe (5'-FAM/BHQ1-3') | CGG TCT GGG GTA TGT GGA AAG GTT ATG G |
| N | GGG GAA CTT CTC CTG CTA GAA T |
| Forward primer | CAG ACA TTT TGC TCT GAA GCT G |
| Reverse primer | TTG CTG CCT GAC AGA TT |
| Probe (5'-FAM/TAMRA-3') | |
| Charite | RdRP |
| (Corman et al. [24]) | RdRP_SARSr-F2 |
| RdRP_SARSr-R1 | GTC ARA TGG TCA TGT GTG GCG G |
| RdRP_SARSr-P2 (5'-FAM/BBQ-3') (Specific for 2019-nCoV) | CAR ATG TTA AAS ACA CTA TTA GCA TA |
| RdRP_SARSr-P1 (5'-FAM/BBQ-3') (Pan Sarbeco-Probe) | CAG GTG GAA CCT CAT GAG GAG ATG C |
| E | CCA GTG GDW ACR TCA TCM GGT GAT G GC |
| E,Sarbeco,F1 | ACA GGT ACG TTA ATA GTT AAT AGC GT |
| E,Sarbeco,R2 | ATC TTT CAG TAC GCA CAG A |
| E,Sarbeco,P1 (5'-FAM/BBQ-3') | ACA CTA GCC ATC TCT ACT GCG CTT CG |
| N | CAC ATT GGC ACC GGC AAT C |
| N,Sarbeco,F1 | GAG GAA GGA GAA GAG GCT TG |
| N,Sarbeco,R1 | ACT TCC TCA AGG AAC ATT GAC A |
| N,Sarbeco,P1 (5'-FAM/BBQ-3') | |
| Hong Kong | ORFlb-mp14 |
| (Chu et al. [25]) | HKU-ORFlb-mp14F Sarbeco |
| HKU-ORFlb-mp14R Sarbeco | TGG GGY TTT ACR GGT AAC CT |
| HKU-ORFlb-mp14P (5'-FAM/TAMRA-3') Sarbeco | AAC RCG CTT AAC AAA GCA CTC |
| N | TAG TTG TGA TGC WAT CAT GAC TAG |

(Continued)
| China CDC | ORF1ab |
|----------|--------|
| HKU-NF Sarbeco | TAA TCA GAC AGG GAA CTG ATT A |
| HKU-NR Sarbeco | CGA AGG TGT GAC TTC CAT G |
| HKU-NP (5'/FAM/TAMRA-3') Sarbeco | GCA AAT TGT GCA ATT TGC GG |

| Thailand | |
|----------|--------|
| WH-NIC N-F | CTT TCG TGT GAC CCT CAG AT |
| WH-NIC N-R | GCC CAC TGC GTC TTC CAT T |
| WH-NIC N-P (FAM/BHQ1) | CAA CTG GCA GTA ACC A |

| Japan | |
|--------|--------|
| ORF1α | |
| N | |
| WH_1_F501 | TTC GGA TGG TGG AAC TGC ACC |
| WH_1_R913 | CTT TAC CAG CGC GTG TTA GAA GG |
| WH_1_F509 | CTC GAA CTG CAT ATC AGC G |
| WH_1_R854 | CAG AAG TGT TTA TGG ACA TAG C |
| S | |
| WuhanCoV-spk1-f | TGT GCA AAA TCC AAG ACT CAT TTT |
| WuhanCoV-spk2-r | TGT GTG TCA TAA AAA TCC TTT TGT G |
| N | |
| NID_2019-nCOV_N_F2 | AAA TTT TGG GGA CCA GGA AC |
| NID_2019-nCOV_N_R2 | TGG CAG CTC TGT AGG TCA AC |
| NID_2019-nCOV_N_P2 (FAM/BHQ) | ATG TCG GCC ATT GGC ATG GA |
| CDC | |
| N | |
| 2019-nCoV_N1 F | GAC CCC AAA ATC AGC GAA AT |
| 2019-nCoV_N1-R | TCT GTG TAC TGC CAT TGT AAT CTG |
| 2019-nCoV_N1-P (FAM/BHQ) | ACC CGG CAT TAC GTG TTT GAC ACC |
| 2019-nCoV_N2-F | TTA CAA ACA TGG GCC GCA AA |
| 2019-nCoV_N2-R | GGG CGA CAT TCC GAA |
| 2019-nCoV_N2-P (FAM/BHQ) | ACA ATT TGC CCC CAG CTC TTC AG |
| 2019-nCoV_N3-F | GGG AGC CTT GAA TAC ACC AAA A |
| 2019-nCoV_N3-R | TGT ACG AGT ATT GCA TTG |
| 2019-nCoV_N3-P (FAM/BHQ) | AYC ACA TGG GCA CCC GCA ATC CTG |

| Institut Pasteur | |
| RdRp gene / nCoV/IP2 | |
| nCoV/IP2-12660Fw | AGT AGC TTA GTC CGT TTG |
| nCoV/IP2-12759Rv | CTC CCT TGG TTG |
| nCoV/IP2-12696bProbe (HEX/BHQ-1) | AGA TGG CTT GTG CCG GTA |
| RdRp gene / nCoV/IP4 | |
| nCoV/IP4 nCoV/IP4-14059Fw | GGT AAC TGG TAT GAT TCT G |
| nCoV/IP4-14146Fw | CGT GTC AAG GTT AAT AGA |
| nCoV/IP4-14084Probe (FAM/BHQ-1) | TCA TAC AAA CCA CGC CAG G |
| E Sarbeco (GoVE) | AYT GGT AGT TTA ATA GTT AAT AGC GT |
| E Sarbeco F1 | ATA TGG CAG TAC TCA GCA AC |
| E Sarbeco R2 | ACA TTA GGC ATC CTT ACT GCA CTG |
| E Sarbeco P1 (FAM/BHQ) | AYT TGG CCG ATC CTT ACT GCA CTG |

| To et al. [26] | |
| RdRp | |
| RdRp/Helicase Forward | GCC ATA CAG TCT TCC AAG CT |
| RdRp/Helicase Reverse | GTG TGA TGT TGA WAT GAC AGT GTC |
| RdRp/Helicase Probe | TTA AGA TGT GG TGC TGC ATA GGT AGA C |

| Chan et al. [27] | |
| RdRp | |
| RdRp Forward Sarbeco | CAA GTT GGG TAA GGC TAG ACT TT |
| RdRp Reverse Sarbeco | ACT TAG GAT AAT CCC AAC CCA T |

| Lu et al. [28] | |
| RdRp Forward | | |
| RdRp Reverse | | |
| E Sarbeco P1 (FAM/BHQ) | AYT TGG CCG ATC CTT ACT GCA CTG |

| Grant et al. [13] | |
| N | |
| N gene Taq1 | TGT CCA CTG TCA TCA AAG |
| N gene Taq2 | CAC CCA AAG |
| N gene Probe | CTC CCA ACT AAG |

| PDV Internal Control | |
| PDV-F | GGG GGT GCC TTT TAC AAG AAC |
| PDV-R | CAG AAT AAG CAA AAT TGA TAG GAA CCA T |
| PDV-Pr | TAC TCC CTC AAC TCC GTC GTT CAC AAG T |
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