Weak Lensing Analysis of CODEX Clusters using Dark Energy Camera Legacy Survey: Mass-Richness Relation

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ABSTRACT
We present the weak lensing analysis of 279 CODEX clusters using imaging data from 4200 deg² of the DECam Legacy Survey (DECaLS) Data Release 3. The cluster sample results from a joint selection in X-ray, optical richness in the range 20 ≤ λ < 110, and redshift in the range 0.1 ≤ z ≤ 0.2. We model the cluster mass (M200c) and the richness relation with the expression ⟨M200c|λ⟩ ∝ M0(λ/40)Fλ. By measuring the CODEX cluster sample as an individual cluster, we obtain the best-fit values, M0 = 3.24±0.29×10¹⁴M⊙, and Fλ = 1.00±0.22 for the richness scaling index, consistent with a power law relation. Moreover, we separate the cluster sample into three richness groups; λ = 20 - 30, 30 - 50 and 50 - 110, and measure the stacked excess surface mass density profile in each group. The results show that both methods are consistent. In addition, we find an excellent agreement between our weak lensing based scaling relation and the relation obtained with dynamical masses estimated from cluster member velocity dispersions measured by the SDSS-IV/SPIDERS team. This suggests that the cluster dynamical equilibrium assumption involved in the dynamical mass estimates is statistically robust for a large sample of clusters.

Key words: galaxy: clusters – gravitational lensing: weak

1 INTRODUCTION
Presently, the most accurate model to describe the universe is called the Lambda-Cold Dark Matter (ΛCDM) model, also known as the standard cosmological model. Observations suggest that baryons represent a few percent of the energy content of matter in the universe. The rest, identified as dark energy and dark matter, remains today relatively unexplained (e.g., Planck Collaboration et al. 2016a). Dark energy is responsible for the recent acceleration in the expansion of the universe. Assuming it would be homogeneous and isotropic, the accelerated expansion would imply this medium to have a negative pressure. Other models rather propose to modify the equations of general relativity on the largest scales of the universe to account for the accelerating expansion. Dark matter, probably an elementary particle still to be discovered, accumulates in large structures of the universe, such as galaxies, clusters of galaxies and cosmic filaments under the effect of gravity.

Galaxy clusters, the last structures formed in the universe, are very exciting objects for cosmological studies. First, they have a well-defined mass function, whose shape reflects the value of cosmological parameters (Press & Schechter 1974; Warren et al. 2006; Tinker et al. 2008; Bhattacharya et al. 2011; Despali et al. 2016; McClintock et al. 2019b). Second, numerical simulations predict characteristic density profile (e.g., NFW profile; Navarro et al. 1996, 1997; Limousin et al. 2013; Diemer & Kravtsov 2014), sub-halo mass function and triaxiality (Becker & Kravtsov 2011; Angulo et al. 2012; Noh & Cohn 2012), which depend on the properties of the dark mat-
ter particles, although in reality, astrophysical effects tend to mask these effects (e.g. Newman et al. 2013). Finally, their gas-mass ratios reflect the cosmological content (e.g. Allen et al. 2008; Mantz et al. 2016a).

Due to their complex internal physical processes, and their extreme masses, galaxy-clusters have been observed at all wavelengths from X-ray to radio, and large surveys now give us a comprehensive view of their distribution and evolution with time. Cluster mass can be determined from their X-ray brightness, temperature (e.g. Sarazin 1986; Rosati et al. 2002; Donahue et al. 2014), or Sunyaev-Zel’dovich effect (SZE) in the radio domain (e.g. Baxter et al. 2015; Planck Collaboration et al. 2016b; Geach & Peacock 2017; Raghunathan et al. 2019).

Galaxy-cluster richness provides another estimate for cluster masses, based on the number of cluster-member galaxies. The red-sequence Matched-filter Probabilistic Percolation (redMaPPer) method determines cluster-richness from the number of galaxies in an aperture, distributed in a red-sequence in a color-magnitude diagram (Rykoff et al. 2016). The tight correlation between cluster richness and masses determined by other methods demonstrates the reliability of this estimator (Saro et al. 2015, 2017; Farahi et al. 2016; Mantz et al. 2016b; Melchior et al. 2017; Murata et al. 2018, 2019; McClintock et al. 2019a).

Assuming an NFW mass profile and a range of anisotropy profiles, it is also possible to determine cluster masses with the Jean equation and spectroscopic redshifts of cluster-member galaxy (Biviano et al. 2013; Mamon et al. 2013; Munari et al. 2013). This estimation is based on the assumption that galaxy clusters are in the hydrostatic equilibrium state. However, some biases might affect the estimated mass due to complex physical processes or the non-equilibrium states in galaxy clusters, for instance, the infall motion of outer galaxy members (Falco et al. 2013). The cross study between the dynamical analysis and weak lensing analysis helps to probe the assumption that galaxy clusters are in the equilibrium state (e.g. Smith et al. 2016).

Among all the methods to estimate cluster masses, gravitational lensing is recognized as the one with the least amount of assumptions regarding physical processes. In this respect, many methods are calibrated on gravitational lensing is recognized as the one with the least amount of assumptions regarding physical processes. In this respect, many methods are calibrated on gravitational lensing signal around 279 CODEX clusters selected in the redshift range $0.1 \leq z \leq 0.2$. We use these measurements to estimate the parameters of the scaling relation that relates the lensing mass and the richness of the clusters. We compare our scaling relation based on weak lensing with the one determined from the dynamics of the galaxies observed in spectroscopy presented in Capasso et al. (2019).

The structure of this paper is as follows. In Section 2, we describe the preparation of the DECaLS data for the shear measurement and the CODEX cluster catalog. We summarize the method used for the weak lensing analysis in Section 3 and the data analysis in Section 4. Section 5 reports on the results from the weak lensing measurement and also the comparison with the dynamical analysis in Section 5.3. In Section 6, we conclude and discuss future work and analysis. Throughout the paper, we adopt a concordance ΛCDM model with the cosmological values : $\Omega_m = 0.3$, $\Omega_{\Lambda} = 0.7$, $\sigma_8 = 0.8$, $n_s = 0.965$ and a Hubble constant $H_0 = 100 h$ km s$^{-1}$ Mpc$^{-1}$ with $h = 0.7$. Errors are quoted on the 68% confidence level.

2 DATA

In this paper, we present a weak lensing analysis of the COnstrain Dark Energy with X-ray galaxy clusters (CODEX) sample. We produce our lensing catalog, based on the photometric catalogs produced by the Dark Energy Camera Legacy Survey (DECaLS) Data Release 3. This imaging survey covers 4200 deg$^2$ in $grz$ bands, and partly overlaps with the CODEX catalog footprint. The CODEX cluster sample is the intersection between the redMaPPPer cluster sample, and clusters identified by detecting overdensities in X-ray observations from the ROSAT All-Sky Survey (RASS, Voges et al. 1999). This catalog is supposed to be less contaminated by fake detections, and thus more reliable to constrain cosmological parameters. We measure the lensing signal around 279 CODEX clusters selected in the redshift range $0.1 \leq z \leq 0.2$. We use these measurements to estimate the parameters of the scaling relation that relates to the lensing mass and the richness of the clusters. We compare our scaling relation based on weak lensing with the one determined from the dynamics of the galaxies observed in spectroscopy presented in Capasso et al. (2019).

Figure 1. The depth of the DECaLS DR3 objects in three optical bands from the DECaLS DR3.
2.1 DECaLS

The Dark Energy Camera Legacy Survey (DECaLS)\(^1\) uses the Dark Energy camera installed on the Blanco 4 meters telescope, located at the Cerro Tololo Inter-American Observatory in Chile. This imaging survey is part of the Dark Energy Spectroscopic Instrument (DESI) Legacy Imaging Survey (Dey et al. 2019). It provides the optical imaging for targeting 2/3 of the DESI footprint at declination DEC < 32. DR3 (PI: D. Schlegel and A. Dey)\(^2\) includes the images observed from August 2014 to March 2016 and also incorporates data from the Dark Energy Survey (DES) acquired between September 2012 and March 2016. We note that only DECaLS data were used for this study. The DECaLS DR3 data contain the images covering 4300 deg\(^2\) in g-band, 4600 deg\(^2\) in r-band and 8100 deg\(^2\) in z-band. In total 4200 deg\(^2\) has been observed in all three optical bands.

In Figure 1, we show the depth of the DECaLS DR3 objects in three optical bands; g, r and z. The DECaLS is about 1.5 to 2.0 magnitudes deeper than SDSS in r-band (Dey et al. 2019). Forced photometry is performed with the tool Tractor (Lang et al. 2014).

In the DECaLS DR3 catalog, the sources from the Tractor catalog are separated into five morphological models; Point sources (PSF), Simple galaxies (SIMP), DeVaucouleurs (DEV), Exponential (EXP), and Composite model (COMP). Sky-subtracted images are stacked in five different ways: one stack per band, one “flat” Spectral Energy Distribution (SED) stack of all bands, one “red” SED stack of all bands (g − r = 1 mag and r − z = 1 mag). Sources above 6σ detection limit in any stack are kept as candidates. PSF (delta function) and SIMP models are adjusted on individual images convolved by their own PSF models. PSF models for the individual exposure are determined with the tool PSFEx (Bertin 2011).

A source is retained if its penalized \(\chi^2\) is improved by 25; sources below this threshold are removed. Then, the source is classified as the better of PSF or SIMP, unless adjusting a DEV or EXP profile improves the \(\chi^2\) by 9 (approximately 3σ improvement). A source is upgraded as COMP (composite between DEV and EXP model), if the penalized \(\chi^2\) improves by another 9. These selections imply that any extended source classification corresponds to at least a 5.8σ detection, and a 6.5σ detection for the COMP model.

2.1.1 Bias correction

Galaxy ellipticity parameters \(\varepsilon_1\) and \(\varepsilon_2\) are free parameters of the SIMP, DEV, EXP and COMP models. They are estimated by a joint fit on the three optical grz bands. We model potential measurement bias with a multiplicative (\(m\)) and additive bias (\(c\)) (e.g. Heymans et al. 2012; Miller et al. 2013). The additive bias is known to arise from residuals in the anisotropic PSF correction, and depends on galaxy sizes. The multiplicative bias arises from shear measurement, which can be generated by many effects, such as measurement method, blending, and crowding. (see e.g. Euclid Collaboration et al. 2019). In order to calibrate the DECaLS DR3 shear catalog, we cross-match the DECaLS objects with the Canada France Hawaii Telescope (CFHT) Stripe 82 objects and compute the correction parameters. The CFHT Stripe 82 (CS82) is a survey covering \(\approx 170\) square degrees of the Sloan Digital Sky Survey (SDSS) Stripe 82 in the equatorial region of the South Galactic Cap. Imaging data are of high quality and have been obtained in excellent seeing conditions between 0.4 - 0.8 arcsec with an average of 0.59 arcsec. It was primarily designed for lensing analysis (see e.g. Shan et al. 2014; Liu et al. 2015).

For each model SIMP, EXP and DEV, we compute the correction parameters defined by

\[
\varepsilon_i^{\text{obs}} = (1 + m_i) \varepsilon_i^{\text{true}} + c_i ; i = 1, 2
\]  

where \(\varepsilon^{\text{obs}}\) is the observed shape of the source and \(\varepsilon^{\text{true}}\) is the true shape. For the multiplicative bias, we define the correction factor which is given by

\[
1 + m = \frac{a_0 \exp(-a_1 \times r_g \times \text{mag} z)}{\text{log}_{10}\text{mag} z}.
\]  

where \(r_g\) is a radius of the objects, \(\text{mag} z\) is a magnitude of the object in the z band, \(a_0\) and \(a_1\) are the result from the fitting with the CS82 data described in Appendix A. In Figure

\[\text{Figure 2.} \ A \ source \ density \ distribution \ of \ the \ DECaLS \ DR3 \ shear \ catalog \ and \ the \ samples \ of \ CODEX \ clusters \ (black \ dots) \ in \ Equatorial \ coordinates.\]
2.1.2 Redshift distribution

In this section, we describe how we compute the redshift distribution of the DECaLS sources. We use the photometric redshifts measured in the COSMOS field (Laigle et al. 2016). The photometric redshifts of the COSMOS 2015 catalog are computed by using the “Le Phare” program with a chi-square fitting method between the theoretical and observed photometric catalog same as Ilbert et al. (2013). We match each source of the DECaLS catalog in position with the COSMOS catalog, with maximum separation of 1 arcsec. We manage to match 100% of the DECaLS shear catalog with the COSMOS 2015 catalog and compute the histogram of the photometric redshift distribution for the DECaLS DR3 shear catalog as shown in Figure 3. We model the photometric redshift distribution by fitting with the following probability distribution function

\[ P(z_s) \propto A \left( \frac{z_s}{z_0} \right)^{B-1} \exp \left[ -\frac{1}{2} \left( \frac{z_s}{z_0} \right)^2 \right], \]

and find good agreement as seen in Figure 3. We obtain the fitting parameters, \( A = 2.261 \pm 0.172, \) \( B = 1.801 \pm 0.173 \) and \( z_0 = 0.432 \pm 0.035 \). This function is used to calculate the excess mass density profile as described in Section 3.1.1. In Figure 3, we show the comparison between the redshift distribution of the DECaLS sources from the COSMOS 2015 catalog and the probability function defined in Equation 3.

In our analysis, we consider all galaxies along the line-of-sight. Given the limited color information in our galaxy sample, we do not remove the foreground galaxies from the shear measurement. We did not find any selection in color to yield a higher signal-to-noise in the lensing measurement than the case of no removal of the foreground galaxies.

By construction, foreground galaxies contain no lensing signal. Therefore on average, they decrease the amplitude of the lensing signal. To quantify the impact of the contamination by foreground galaxies, we compare the integrated lensing critical surface densities \( \Sigma_{cr} \) defined in Equation 14, when we include all galaxies \( (z_{\text{source}} > 0) \), and when we remove galaxies located in front of a cluster at redshift \( z_{\text{cluster}} \). In Figure 4, we show the amplitude correction \( (A) \) defined as

\[ A (\%) = \frac{\Sigma_{cr}(z_{\text{source}} > 0) - \Sigma_{cr}(z_{\text{source}} > z_{\text{cluster}})}{\Sigma_{cr}(z_{\text{source}} > 0)} \times 100\%. \]  

We find that the amplitude correction increases quickly with cluster redshift. However, in the ideal case where we could remove foreground galaxies, the lensing signal would only be increased by \( \approx 4\% \). This is marginal, given that our signal-to-noise at \( R = 5 \ h^{-1}\text{Mpc} \) is about \( S/N = 10 \). Nonetheless, since this amplitude correction increases quickly, it prevents us from studying the mass-richness relation for clusters at redshift \( z_{\text{cluster}} > 0.2 \).

2.2 Weak lensing sample of CODEX clusters

The CODEX cluster catalog is constructed by identifying faint (selected down to a 4\( \sigma \) significance of a source detection on spatial scales of 3-12 arcminutes) X-ray sources in the ROSAT All SKy Survey data (Voges et al. 1999) using redMaPPer algorithm (Rykoff et al. 2014). The catalog contains a cluster redshift, a richness, a position of the optical center, selected according the redMaPPer rules, but constrained to be within 3’ from the X-ray center, and a catalog of member galaxies. The set of redMaPPer parameters identified at the optical center are noted using the OPT
3 MODELISATION

3.1 Mass profile

To ease comparison with previous works, we use the Navarro-Frenk-White profile (Navarro et al. (1996), hereafter NFW profile) to model the dark matter halo of the galaxy cluster, complemented by a second term that accounts for the correlated matter distribution at large-scale. Cluster richness is evaluated at two positions, the X-ray ($\lambda_{\text{SDSS}}$) and the optical ($\lambda_{\text{OPT}}$). The results of the SDSS-IV spectroscopic follow-up using the catalog of member galaxies show a high degree of cluster confirmation at $z < 0.4$ (Clerc et al. 2016), which covers the redshift range used in this work (SDSS-IV; Dawson et al. 2016; Blanton et al. 2017). The results of a similar catalog construction in DES (Klein et al. 2018) show that for the redshift range considered here (0.1 $\leq z_{\text{cluster}}$ $\leq$ 0.2), the use of a richness cut $J$ $\geq$ 20 results in the catalog pure at 99%. In Figure 5, we compare the two CODEX cluster richness estimates in the DECaLS DR3 footprint. We observe a scatter of about 20% at richness $\lambda_{\text{OPT}}$ = 20, which decreases to $\sim$ 5% at $\lambda_{\text{OPT}}$ = 120. In the following, we use redMaPPer parameters with the OPT suffix for the lensing analysis, because it yields higher signal-to-noise measurements.

Clerc et al. (2016) highlighted that $\lambda_{\text{OPT}}$ is systematically larger than the redshift obtained in spectroscopy with the SPIDERS data, for clusters at redshift $\lambda_{\text{OPT}} < 0.1$. This will affect the richness estimate, as the aperture for galaxy selection requires distance information taken from the redshift. For this reason, we select clusters at redshift 0.1 $\leq z$ $\leq$ 0.2, and optical richness 20 $\leq \lambda_{\text{OPT}}$ $\leq$ 110. This way, we exclude low richness clusters, which might be contaminated by projected structures along the line-of-sight.

We cross-match the CODEX clusters with the area of the DECaLS DR3 data and select the galaxy clusters located in the DECaLS DR3 survey footprint. The final subsample of CODEX clusters contains 279 clusters for our weak lensing analysis.

In practice, we use the excess surface mass density as an estimator (Mandelbaum et al. 2005), which is given by two terms,

$$\Delta \Sigma(R) = \Delta \Sigma_{\text{NFW}}^z(R) + \Delta \Sigma_{\text{opt}}^z(R).$$

We measured the signal in the radius range $1.0 \leq R < 30.0$ Mpc, to avoid the mis-centering effect at small-scale and to maximize the constraints by increasing the number of bins at large-scale as discussed in Appendix B.

3.1.1 NFW profile

Numerical simulation is an effective tool to probe the large-scale structure problems. Navarro et al. (1996) used the N-body simulation in the cold dark matter cosmogony to investigate the density profile of the dark matter halos that obeys the following double power-law form,

$$\rho_{\text{NFW}}(r) = \rho_c \left(\frac{r}{r_s}\right)^{\gamma} \left(1 + \frac{r}{r_s}\right)^{-\beta},$$

where $r$ is the distance from the cluster center in three dimensions, $r_s$ is the scale radius characteristic of the distribution of matter in the cluster, and $\delta_c$ is the linear overdensity threshold at which a halo collapses is defined as

$$\delta_c = \frac{200}{3} \left[ \ln(1 + c) - c / (1 + c) \right].$$

where $c$ is the dimensionless parameter which is called the concentration parameter. The critical density of the universe can be written as

$$\rho_c = \frac{3}{800\pi} \frac{M_{200c}}{r_{200}^3},$$

where $M_{200c}$ is the cluster mass equal to the total mass inside that radius ($r_{200}$) or 200 times the critical density of the universe. We assume that, on average, galaxy clusters are spherically symmetric, therefore the tangential shear of background sources at the redshift $z_r$ induced by the cluster lens at the redshift $z_l$ is given by

$$\gamma_l(R) = \frac{\Sigma(< R) - \bar{\Sigma}(R)}{\Sigma_{\text{cr}}(z_l, z_r)} = \frac{\Delta \Sigma_l(R)}{\Sigma_{\text{cr}}(z_l, z_r)},$$

where $\bar{\Sigma}(R)$ is the mean surface density at the radius $R$,

$$\bar{\Sigma}(R) = \frac{\int_{-\infty}^{\infty} \Sigma_{\text{NFW}}(\chi) d\chi}{\int_{-\infty}^{\infty} d\chi}.$$

Figure 5. Comparison of the SDSS richnesses estimated by the redMaPPer algorithm within $3'$ from the X-ray center ($\lambda_{\text{SDSS}}$) and at the optically identified center ($\lambda_{\text{OPT}}$) of the CODEX clusters in the DECaLS DR3 survey footprint.

Figure 6. The distribution of the optical richness and cluster redshift of our weak lensing sample of CODEX clusters.
and \( \Sigma(<R) \) is the mean surface density inside that radius,
\[
\Sigma(<R) = \frac{2}{R^2} \int_0^R \Sigma(R') R' dR',
\]
and \( \Delta \Sigma(R) \) is called the excess surface mass density. In Equation 10, \( \xi_{1h}^{\text{NFW}} \) is the halo-matter correlation function of the 1-halo term of the NFW profile,
\[
\xi_{1h}^{\text{NFW}} = \frac{P_{1h}^{\text{NFW}}}{P_{1h}} - 1,
\]
where \( P_{1h} \) is the power spectrum of the 1-halo term of the NFW profile.

3.1.2 The 2-halo term

Galaxy clusters reside at the node of the cosmic web, with dense filament and massive nearby halos. The mass measurement of a galaxy cluster is not perfectly consistent with the underlying matter density field, suggesting that the galaxies are not exactly tracking the underlying mass distribution (see e.g. Dekel & Lahav 1999; Jullo et al. 2012). The matter distribution around galaxy clusters shapes the mass profile at large scale (Hoekstra et al. 2011; Jauzac et al. 2012). We can express the excess surface mass density of the second dark matter halo by
\[
\Delta \Sigma^{\text{NFW}}(R) = \gamma(R) \left( \xi^{-1}_{\text{cr}}(z) \right)^{-1}.
\]

where \( \xi(r) \) is the scale dependence of halo bias which is more significant at the radius \( R \leq 3 \, h^{-1} \, \text{Mpc} \), described in Tinker et al. (2005). In this study, we use the halo bias prescription derived from cosmological simulations by Tinker et al. (2010). The nonlinear matter correlation function is the Fourier transform of the nonlinear matter power spectrum \( P_{nl} \),
\[
\xi_{nl}(r) = \frac{1}{2\pi} \int k^2 P_{nl}(k) j_0(kr) dk,
\]
where \( j_0(kr) \) is the zeroth order of spherical Bessel function of the first kind. We use the revised halo fit model (Takahashi et al. 2012) and the Code for Anisotropies in the Microwave Background (CAMB) program (Lewis & Challinor 2011) to compute the nonlinear matter power spectrum by evaluating the mean redshift of stacked clusters.

3.2 Concentration-Mass relation

The NFW profile is described by two parameters; the concentration (c) and the total mass (\( M_{200c} \)). The results from the numerical simulations show that the concentration and the total mass (c-M) are related. Data from observations are also used to test the c-M relation (e.g. Okabe et al. 2010). The relation between the concentration and the total mass is an important tool to test the cosmological models and the physical processes in a galaxy cluster. There are many studies in different datasets to characterize this relation. Duffy et al. (2008), for example, studied the c-M relation of the NFW profile using N-body simulations in the Wilkinson Microwave Anisotropy Probe year 5 (WMAP5) cosmology, and found an additional dependency between halo masses and redshift, best modeled by a power-law. The results show that the concentration decreases as a function of the total mass and redshift. Later, Dutton & Macciò (2014) studied the c-M relation in the Planck cosmology. They found that the c-M relation is higher than the study in the WMAP5 cosmology. They provided the following expression for the concentration mass relation, defined as a power-law
\[
\log_{10} M_{200c} = a + b \log_{10} (M_{200c}/[10^{12} \, h^{-1} M_\odot]),
\]
where a and b are given by
\[
a = 0.520 + (0.905 - 0.520) \exp(-0.617z^{1.21}),
\]
\[
b = -0.101 + 0.026z.
\]

For this analysis, the signal-to-noise of our measurement is quite low. We, however, use this relation to reduce the number of free parameters in our model. However, we note that this c-M relation determined from a complete set of simulated clusters, might differ from one of our samples, especially at low mass, where we might miss some clusters. Nonetheless, the previous study from Chibika et al. (2017) on the CODEX clusters shows an excellent agreement between the simulation from Dutton & Macciò (2014) and observations.

4 ANALYSIS

4.1 Weak lensing estimator

As we discussed, the weak lensing analysis requires deep imaging data. The density of background galaxies of the
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where $i$ and $j$ indicate the radial bins, $\Delta \Sigma^m$ is the excess surface mass density for each of the $m$-th Jackknife configuration. In equation above, the mean excess surface mass density of the Jackknife configurations is defined by

$$\bar{\Delta \Sigma} = \frac{1}{N_{\text{JK}}} \sum_{m=1}^{N_{\text{JK}}} (\Delta \Sigma_{\text{true}}^m - \Delta \Sigma_{\text{random}}^m),$$

where $\Delta \Sigma_{\text{true}}$ is the mean excess surface mass density of true cluster positions and $\Delta \Sigma_{\text{random}}$ is the mean excess surface mass density of random positions in each Jackknife configuration. Notwithstanding, the covariance matrix of the Jackknife is underestimated due to the noise level, we therefore multiply the inverse covariance matrix $(C^{-1})$ by the Hartlap factor: $H = (N_{\text{JK}} - p - 2)/(N_{\text{JK}} - 1)$, where $N_{\text{JK}}$ is the number of Jackknife configurations and $p$ is the number of measured radial bins (Hartlap et al. 2007).

4.2.2 Individual cluster covariance

In addition, we measure the covariance of the excess surface mass density profile for a typical cluster in our sample, to be used later in Section 5.2. To this end, we estimate the covariance matrix by

$$C = \frac{1}{N - 1} \sum_{i=1}^{N} (\Delta \Sigma_i - \bar{\Delta \Sigma}) \cdot (\Delta \Sigma_i - \bar{\Delta \Sigma})^T,$$

where $\Delta \Sigma$ is a two-dimensional matrix, in which rows and columns correspond to the radial bins, the individual cluster measurements respectively. The vector $\bar{\Delta \Sigma}$ is the average of the excess surface mass density profile of all clusters (e.g. McClintock et al. 2019a). We assume that cluster measurements are uncorrelated, and therefore, that the covariance matrix is diagonal. This statement is supported by the fact that off-diagonal terms derived from Equation 27 are extremely noisy.

4.3 MCMC method

The Markov Chain Monte Carlo (MCMC) method is a re-sampling technique based on probability distributions. We use the MCMC method from the emcee package written in Python (Foreman-Mackey et al. 2013) to fit our model to the measured density profiles. We set the number of walkers, burn-in(s) and production samples to 48, 1000 and 10000 respectively. In our analysis, we assume that the log-likelihood function of the observed data $(\Delta \Sigma_{\text{obs}})$ is given by

$$\ln L(\Delta \Sigma_{\text{obs}}|\Delta \Sigma_{\text{model}}) \propto -\frac{1}{2} D^T C^{-1} D$$

where $D = (\Delta \Sigma_{\text{obs}} - \Delta \Sigma_{\text{model}})$ is the differences between the observed and modeled excess surface mass density, and $C$ is the covariance matrix described in Section 4.2 (e.g. McClintock et al. 2019a).

5 RESULTS

5.1 Samples of CODEX clusters

We split our CODEX clusters sample into three richness groups: $4 = 20 - 30$, 30 - 50 and 50 - 110. In each group,
we stack the profiles and fit them with the model defined in Equation 5 in the radial range \(1.0 \leq R < 30.0 \text{ Mpc}\). We use the MCMC method to estimate confidence intervals and set the uniform prior on the cluster mass parameter, \(M_{200c} = [10^{13}, 10^{15}]\).

We find that the excess surface mass density at large radius (e.g., \(R > 5 \text{ Mpc}\)) has a large scatter, which might affect our mass estimates. Therefore, we repeat the measurement in the inner region only (\(1.0 \leq R < 5.5 \text{ Mpc}\)), and fit only the first halo term (\(\Delta \Sigma_{1h}^{\text{NFW}}\)). We report the best-fit values associated with their 1\(\sigma\) uncertainties in Table 1. The results show that the mass of CODEX clusters increases as a function of the optical richness. Furthermore, we find consistent results between two fitting procedures, suggesting that the Tinker et al. (2010) bias model is consistent with the 1h-term mass estimate. In Figure 7, we plot the excess surface mass density profile from the weak lensing measurement (black dots) and the solid line shows our theoretical model.

### 5.2 Mass-Richness Relation of the CODEX clusters

In this section, we assess the robustness of our estimated mass-richness relation with an alternative but equivalent method. Instead of adjusting a theoretical lensing signal to cluster density profiles distributed in bins of richness, we adjust a lensing signal to each profile based on the cluster richness and a parametrized mass-richness relation. We define the relation between the expected mass, richness and cluster redshift as

\[
(M_{200c}\vert \lambda) = M_0 \left( \frac{\lambda}{\lambda_0} \right)^{F_1},
\]

(29)

where \(M_0\) and \(F_1\) are the free parameters of this relation. We set the pivot values \(\lambda_0 = 40\), close to the mean optical richness of CODEX cluster samples. Our data is not sensitive to more complicated Mass-richness models, we therefore exclude the redshift evolution term from this relation, because the narrow range in redshift of our clusters sample does not provide enough constraint to estimate the redshift scaling index with precision. In addition, previous studies on this scaling relation found a minimal redshift evolution (e.g. Mc Clintock et al. 2019a).

We use the MCMC method with the following priors on the mass-richness relation parameters, \(\log_{10} M_0 = [13.0, 15.0]\) and \(F_1 = [-10.0, 10.0]\) with the initial values, \(\log_{10} M_0 = 14.0\) and \(F_1 = 0.1\). For consistency with previous measurements, we restrict our analysis to the inner region (\(1.0 \leq R < 5.5 \text{ Mpc}\)) and fit each cluster with the NFW profile as described in Equation 15. In Figure 8, we plot the best fit estimate of the mass-richness relation parameters \(\log_{10} M_0\) and \(F_1\). We estimate the mean mass of our CODEX cluster sample, \(M_{200c}\), and the richness scaling index

\[
F_1 \approx 1.00^{+0.22}_{-0.22}.
\]

(31)

Clearly, the cluster mass and richness of our samples are strongly correlated, and consistent with a power-law relation given that the reduced chi-squared is \(\chi^2/\text{dof} \approx 0.99\). We also

Table 1. The results from the mass measurements in three richness bins. The excess surface mass density profiles have been fitted with the theoretical model in two regions; the inner region (\(1.0 \leq R < 5.5 \text{ Mpc}\)) modeled with the NFW profile only (\(\Delta \Sigma_{1h}^{\text{NFW}}\)), and the whole region (\(1.0 \leq R < 30.0 \text{ Mpc}\)) modeled with the two halo terms (\(\Delta \Sigma_{1h}^{\text{NFW}} + \Delta \Sigma_{2h}\)). The chi-square goodness of fit test and the degree of freedom (dof) reveal the best fits between observed data and the theoretical profile.

| Richness Group | \(N_{\text{cluster}}\) | \(z_{\text{mean}}\) | \(\lambda_{\text{opt, mean}}\) | \(M_{200c}^{1h}\) \(10^{14} \text{ M}_\odot\) | \(\chi^2_{1h}/\text{dof}\) | \(M_{200c}^{1h} + 2h\) \(10^{14} \text{ M}_\odot\) | \(\chi^2_{1h} + 2h/\text{dof}\) |
|---------------|----------------|----------------|----------------|-------------------|----------------|-------------------|----------------|
| [20, 30]      | 109            | 0.148          | 24.83 ± 2.98  | 2.19^{+0.39}_{-0.37} | 1.17 / 4 | 1.91^{+0.27}_{-0.27} | 6.91 / 10 |
| [30, 50]      | 110            | 0.152          | 38.90 ± 5.86  | 2.98^{+0.42}_{-0.40} | 3.70 / 4 | 2.37^{+0.32}_{-0.32} | 9.58 / 10 |
| [50, 110]     | 60             | 0.158          | 63.86 ± 11.65 | 5.91^{+0.32}_{-0.79} | 7.15 / 4 | 5.02^{+0.32}_{-0.50} | 13.52 / 10 |
fit the two halo terms model in the radial range $1.0 \leq R < 30.0$ Mpc and obtain the mean mass of the CODEX clusters, $M_0 = 2.79^{+0.24}_{-0.22} \times 10^{14} M_\odot$, and the richness scaling index, $F_L = 0.98^{+0.20}_{-0.20}$.

Finally, in Figure 10, we compare our estimated masses in bins of richness with the best fit mass-richness relation found above and find a good agreement between both measurements. This gives us confidence that our two methods are equivalent and our mass-richness relation in Equation 29 is a good model of the data.

5.2.1 Accuracy of richness estimates

Our determination of the mass-richness relation relies on an accurate estimate of the cluster richness. However, a biased estimate of the richness may lead to a biased relation (Rozo et al. 2009). We must investigate whether the observable richness is actually tracing the cluster mass.

In our analysis, we use the optical richness as a mass tracing parameter. In Capasso et al. (2019), the authors determine a correction factor ($\eta$) on the optical richness parameter of the CODEX clusters. This factor corrects the richness of clusters that are at the limit of the observational capabilities. This factor also enters in the calculation of the Poisson variance, and must be taken into account in the fit of the mass-richness relation. Another term is the intrinsic scatter $\sigma_{\text{int}}$ in the richness (e.g. Simet et al. 2017). It is constant for all clusters, whatever their richness is. Therefore, we can express the variance of the mass-richness relation as

$$\text{Var}(\ln M|\lambda) = \frac{\sigma^2}{\lambda} + \sigma_{\text{int}}^2,$$

(32)

The introduction of this variance in the fit will down-weight both low and high richness clusters, either because the precision on low richness values is Poisson noise dominated or because the precision on high richness values is intrinsically limited.

We include $\sigma$ and $\sigma_{\text{int}}$ as free parameters in our model and use the MCMC technique to find their best fit values. We find that the low signal-to-noise in the shear signal of each cluster prevents us from determining these values with good precision. In order to reach a 7% precision as in Simet et al. (2017), we would need roughly four times more clusters. Therefore, in order to test their effect, we set $\alpha = 1$ and $\sigma_{\text{int}} = 0.22$. Doing so, we assume that our clusters at low redshift are not significantly affected by observational considerations. Also, these values were already determined in Capasso et al. (2019) for the CODEX clusters as well. Taking into account these two variance terms, we find a mean cluster mass

$$M_0 = 3.12^{+0.28}_{-0.26} \times 10^{14} M_\odot,$$

(33)

and a richness scaling index

$$F_L = 1.01^{+0.22}_{-0.21}.$$

(34)

These results are in statistical agreement with the results determined without taking into account the variance terms, which means that the richness estimates in our low redshift cluster sample are robust.

5.2.2 CODEX clusters comparison with redMaPPer clusters

Differences in the selection functions of the redMaPPer and CODEX clusters have already been detailed in Section 2.2. However, it is interesting to compare the mass-richness relation determined with our CODEX sample and with the redMaPPer clusters. As a reference for the redMaPPer clusters sample, we use the results from DES Year 1 analysis in McClintock et al. (2019a). They constrain the mass-richness relation for redMaPPer galaxy clusters in the redshift range $0.2 \leq z \leq 0.65$ and with richness values $\lambda \geq 20$. They obtain a richness scaling index $F_L = 1.356 \pm 0.051$ (stat) and a
redshift scaling index \( G_z = -0.30 \pm 0.30 \) (stat). In comparison, our estimate of the richness scaling index is smaller. This suggests that at low richness, CODEX clusters are more massive than redMaPPer clusters. We attribute this difference to the completeness and selection function of the CODEX clusters, which have been confirmed with X-ray observations, and therefore are less contaminated by possible line-of-sight confusions.

### 5.3 Weak Lensing and Dynamical Analysis

As detailed in the introduction, there are many methods to estimate cluster masses. In this section, we compare the weak lensing masses from our analysis with the dynamical masses determined from the velocity dispersion of galaxy cluster members. Usually, dynamical analyses are based on the assumption that galaxy clusters are in a dynamical equilibrium state. The cross study between weak lensing analysis and dynamical analysis can be used to calibrate a cluster mass and study the dynamical equilibrium state in galaxy clusters. However, within the limit of the survey, we only compare the scaling relation between both methods in order to track the cluster mass as a function of richness.

We compare our measurements with the dynamical analysis performed by Capasso et al. (2019), assuming the clusters observed by the SPIDERS program in spectroscopy constitute a random subsample of the parent CODEX cluster sample used in our analysis. They measured a sample of 428 galaxy clusters up to redshift \( z \approx 0.66 \) and model the scaling relation as \( \lambda \sim A_{\lambda} M_{200c}^{\beta} (1+z)^{\gamma} \), where \( A_{\lambda} = 38.6^{+3.1}_{-4.1} \), \( B_{\lambda} = 0.99^{+0.06}_{-0.07} \) and \( \gamma_{\lambda} = -1.13^{+0.12}_{-0.14} \). In Figure 9, we plot the weak-lensing and the dynamically-based scaling relations of the CODEX clusters. For both relations, we set the mean cluster redshift \( \bar{z} = 0.15 \). The width of the shaded areas corresponds to the 1σ confidence level. We found an excellent agreement between the two scaling relations. This result supports our claim that the SPIDER clusters constitute a random subsample of the parent CODEX sample, and that the spectroscopic selection function introduces no significant bias. It also suggests that the dynamical equilibrium state assumption involved in the dynamical mass determination is appropriate on average.

Furthermore, we compute the mean mass ratio \( (\beta; M_{200c}/M_{wl}) \) between the dynamical masses \( (M_{200c}) \) and the weak lensing masses \( (M_{wl}) \) (e.g. Smith et al. 2016), using the results from the scaling relation performed by Capasso et al. (2019). We obtain a mean mass ratio \( \beta = 0.99 \pm 0.03 \) in statistical agreement with \( \beta_X = 0.95 \pm 0.05 \) obtained for the Local Cluster Substructure Survey (LoCuSS) at the redshift \( 0.15 < z < 0.3 \) by Smith et al. (2010).

### 6 CONCLUSION

In this study, we perform a weak lensing analysis of CODEX clusters using the DECaLS DR3 data. Our cluster sample consists of 279 clusters in the optical richness range \( 20 \leq \lambda < 110 \) and in the redshift range \( 0.1 \leq z \leq 0.2 \). The stacked weak lensing profile of CODEX clusters is computed in the radial ranges; \( 1.0 \leq R < 5.5 \) Mpc and \( 1.0 \leq R < 30.0 \) Mpc, to avoid the mis-centering effect at smaller scales.

We split our CODEX cluster sample into three optical richness bins: 20 - 30, 30 - 50 and 50 - 110. In each bin, we measure the stacked excess surface mass density profile \( (\Delta \Sigma(R)) \). Lacking photometric redshift information for each DECaLS source, we compute an effective critical density based on their redshift distribution. We determine this latter by matching sources to the COSMOS 2015 catalog. We model the excess surface mass density profile with a NFW profile. To reduce the number of free parameters in the fitting, we assume that the concentration-mass relation follows the prescription by Dutton & Macciò (2014). We use a MCMC algorithm to estimate the mean cluster masses in each optical richness bin as show in Table 1.

We perform a complementary analysis to assess the robustness of our mass estimates. We assume a power-law relation between the mean cluster mass and optical richness \( \langle M_{200c}\rangle = M_0 (\lambda/10)^{F_1} \). By fitting an individual cluster, we adjust the free parameters involved in this relation and restrict the measurement to the inner region \( (1.0 \leq R < 5.5 \) Mpc), and obtain the mean cluster mass at the pivot richness \( \lambda = 40 \),

\[
M_0 = 3.24^{+0.29}_{-0.27} \times 10^{14} M_\odot, \tag{35}
\]

and the richness scaling index,

\[
F_1 = 1.00^{+0.22}_{-0.22}. \tag{36}
\]

We find a good agreement between this scaling relation, and the cluster masses determined in the three richness bins. This gives us confidence that our power-law model for the scaling relation is appropriate.

In addition, we compare our scaling relation with the one obtained by Capasso et al. (2019) based on a dynamical analysis. Both relations are in good statistical agreement, although the cluster samples are slightly different. This supports the claim that the SPIDERS subsample used in the dynamical analysis is a random subsample of the parent CODEX catalog used in the weak-lensing analysis. It also suggests that the dynamical equilibrium assumption involved in the dynamical analysis is appropriate on average.

We also compare the scaling relation of the CODEX

![Figure 10. The scaling relation of CODEX clusters (blue shaded line) computed with individual cluster same as in Figure 9 compared to the stacked galaxy clusters in three richness groups. The results from both methods are consistent with each other.](image-url)
clusters with the redMaPPer clusters measured by McClintock et al. (2019a). The scaling relation for CODEX clusters is shallower than the redMaPPer clusters, which highlights the different selection functions between the two samples. At similar low optical richness, the CODEX clusters are more massive than the redMaPPer clusters.

Our results demonstrate that weak lensing analysis can be performed with the DECaLS data. We plan on updating the weak-lensing catalog with wider areas and deeper imaging data provided by the final release of the DECaLS catalog. Nonetheless, during this analysis, we found the low density of weak-lensing sources in the DEV category given the imaging depth. We would need to extend our calibration to the other categories to increase the source density. Another option would be to run a weak-lensing dedicated shape measurement tool.

Building on a better weak-lensing catalog, and the advent of forthcoming massive spectroscopic surveys on the same footprint, it becomes tempting to compare weak-lensing and dynamics to high precision in order to study galaxy cluster physics, and test modified gravity models (e.g. Pizzuti et al. 2019).

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APPENDIX A: ELLIPTICITY CORRECTION

The ellipticity parameter was measured for each source. It is different from the usual ellipticity definition in the DECaLS DR3 catalog which is given by

$$\varepsilon = \sqrt{1 - (b/a)^2},$$  \hspace{1cm} (A1)

where $a$ and $b$ are the semi-major and -minor axis of an elliptical source (galaxy). For a gravitational analysis, the ellipticity is a complex number,

$$\varepsilon = \frac{a - b}{a + b} \exp(2i\phi) = \varepsilon_1 + i\varepsilon_2,$$  \hspace{1cm} (A2)

where $\phi$ is the position angle relative to the reference frame with a range of $0^\circ$ to $180^\circ$. This ellipticity has been used in the weak lensing analysis. However, we must calibrate it before using in the measurement. We calibrated the DECaLS DR3 sources with the CS82 data. We define the relation between the observed and corrected ellipticities as

$$\varepsilon_{1,\text{corr}} = (1 + m)\varepsilon_{1,\text{obs}},$$  \hspace{1cm} (A3)

$$\varepsilon_{2,\text{corr}} = (1 + m)\varepsilon_{2,\text{obs}} + c_2,$$  \hspace{1cm} (A4)

where $(1 + m)$ is defined in Equation 2 and the $c_2$ component is defined as

$$c_2 = b_0 + (b_1 \times \text{mag}_z) + (b_2 \times \text{mag}_z^2).$$  \hspace{1cm} (A5)

The calibration parameters of the DECaLS DR3 catalog with the CS82 catalog are shown in Table A1 and the $c_2$ component is given by $b_0 = 0.25577$, $b_1 = -0.02266$, $b_2 = 0.00050$ with the magnitude cut $\text{mag}_z < 21.0$. In Figure A1, we compare the ellipticity 1 ($\varepsilon_1$) between the CS82 and DECaLS catalog before (black solid lines) and after correction (blue solid lines) with the CS82 data for the EXP object in the DECaLS DR3 shear catalog. In addition, the data from DECaLS DR3 catalog were tested with the Obiwan simulations\(^3\). This simulation simulated ELG galaxies with Sersic profiles on top of real DECam images, and re-run the Tractor tool to produce catalogs. After matching the simulated positions and the Tractor positions within a 5 arcsec radius, we obtained approximately 100,000 galaxies for the calibration. From the fitting, we obtained best fit values for the EXP objects, $a_0 = 1.33320$, $a_1 = 0.00656$. For the $c_2$ component, we obtained $b_0 = 0.31341$, $b_1 = -0.02914$, $b_2 = 0.00067$, with the cut in magnitude $\text{mag}_z < 21.3$.

APPENDIX B: MISCENTERING EFFECT

By stacking the weak lensing profile, the cluster center should be correctly defined to provide the true mass profile. In this work, we choose the brightest cluster galaxy (BCG) as the cluster center. However, the center of mass distribution in galaxy clusters can be shifted from the BCG, due to physical processes in cluster cores. In this section, we assess the impact of mis-centering, and demonstrate that our radial cut at $R > 1$ Mpc, safely mitigate any bias due to this effect. Note that the redMaPPer algorithm computed a probability in each galaxy to be the BCG and selects the most likely as the BCG for that cluster. However, the miscentering rate of

\(^3\) https://obiwan.readthedocs.io
Accordingly, the excess surface mass density of miscentering is the excess surface mass density of the NFW profile which can be written by

$$\Delta \Sigma(R) = (1 - f_{\text{mis}}) \Delta \Sigma_{\text{NFW}}^{1h}(R) + f_{\text{mis}} \Delta \Sigma_{\text{NFW}}(R) + \Delta \Sigma_{2h}(R), \quad (B1)$$

where $\Delta \Sigma_{\text{NFW}}$ is the excess surface mass density of NFW profile, $\Delta \Sigma_{\text{NFW}}^{1h}$ is the miscentering profile, $\Delta \Sigma_{2h}$ is the excess surface mass profile of the second dark matter halo and $f_{\text{mis}}$ is the miscentering factor. Formally, the second halo term should also be miscentered, but the effect is so weak that we decided to only apply this effect to the first halo term.

The miscentering profile is given by the projected excess surface mass density of the NFW profile which can be written by

$$\Delta \Sigma_{\text{mis}}(R|P(R_{\text{mis}})) = \int_{R_{\text{mis}}}^{\infty} \Sigma(R|R_{\text{mis}}) P(R_{\text{mis}}) dR_{\text{mis}}, \quad (B2)$$

where $P(R_{\text{mis}})$ is the miscentering distribution chosen by the Rayleigh distribution function with the miscentering radius ($R_{\text{mis}}$) and parameter ($\sigma_{\text{mis}}$) as

$$P(R_{\text{mis}}) = \frac{R_{\text{mis}}}{\sigma_{\text{mis}}^2} \exp \left[ -\frac{1}{2} \left( \frac{R_{\text{mis}}}{\sigma_{\text{mis}}} \right)^2 \right]. \quad (B3)$$

Accordingly, the excess surface mass density of miscentering term of the NFW profile is

$$\Sigma(R|R_{\text{mis}}) = \frac{1}{2\pi} \int_0^{2\pi} \Sigma(r) d\theta, \quad (B4)$$

where $r = \sqrt{R^2 + R_{\text{mis}}^2 - 2RR_{\text{mis}} \cos(\theta)}$ is the projected radius at the coordinates $(R, \theta)$ related to the miscentering radius (see e.g. Yang et al. 2006; Johnston et al. 2007; George et al. 2012; McClintock et al. 2019a). In Figure B1, we plot the stacked excess surface mass density of the CODEX cluster sample for the optical richness bin $\lambda = 50 – 110$ as in Section 5.1. We assess the miscentering effect with $f_{\text{mis}} = 0.25$ and $\sigma_{\text{mis}} = 0.21$ Mpc (i.e. McClintock et al. 2019a; Zhang et al. 2019). The lack of sources and high contamination in the inner region yield a very noisy signal at $R < 1$ Mpc (grey shaded area). Consequently, we decided to exclude this radial range to avoid any bias in our mass estimates.

In our analysis, we adjust a theoretical profile made of only two terms ($\Delta \Sigma_{\text{NFW}} + \Delta \Sigma_{2h}$) as shown in Equation 5. It is sufficient to reproduce the weak lensing signal in the radius range $R > 1$ Mpc. As a result, we decided not to include the miscentering effect.

**APPENDIX C: SYSTEMATIC TESTS**

**C1 Testing on the non-lensing mode**

In this section, we perform a systematic test on the ellipticity parameters of the DECaLS DR3 shear catalog. The non-lensing mode of a shear component (cross shear) has been...
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**Figure C1.** The cross shear profiles per radial bin of the stacked clusters in three richness groups as described in Section 5.1. The error bars estimated from the Jackknife covariance matrix and the degree of freedom is equal to 12 for 12 radial bins.

The cross shear component can be used to reveal biases in the shape measurement operation. We compute the tangential shear \( \gamma_t \) and the cross shear \( \gamma_\times \) from the real and imaginary parts of the ellipticity measurements

\[
\gamma_t = -\text{Re}[\gamma e^{-2i\phi}] \quad \text{and} \quad \gamma_\times = -\text{Im}[\gamma e^{-2i\phi}].
\]

We split our CODEX cluster sample in richness bins as in Section 5.1; \( \lambda = 20 - 30, 30 - 50 \) and \( 50 - 110 \). We plot the cross shear component in Figure C1. It is statistically consistent with zero at all radii and for our three richness bins.

**C2 The dilution effect by lens-source galaxies**

As we discussed in Section 4.1, the correction factor \( C(R) \) is used to correct the contamination in the weak-lensing signal produced by the overdensity of galaxies in the cluster center (Simet et al. 2017). This effect dilutes the amplitude of the shear signal, especially, in the inner region of a galaxy cluster. In this test, we stack CODEX cluster samples and extend the shear measurement to smaller radius in the range \( 0.1 \leq R < 30 \) Mpc. The results in Figure C2 show that in the inner region, the dilution goes up to \( \approx 4\% \). However, in the outer region (ex. \( R > 1 \) Mpc), the correction factor is less than 1\%. Our measurements are therefore very little affected by the lens-source dilution effect.

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