Abstract
Chaotic semiconductor lasers have been widely investigated for generating unpredictable random numbers, especially for lasers with external optical feedback. Nevertheless, chaotic lasers under external feedback are hindered by external feedback loop time, which causes correlation peaks for chaotic output. Here, we demonstrate the first self-chaotic microlaser based on internal mode interaction for a dual-mode microcavity laser, and realize random number generation using the self-chaotic laser output. By adjusting mode frequency interval close to the intrinsic relaxation oscillation frequency, nonlinear dynamics including self-chaos and period-oscillations are predicted and realized numerically and experimentally due to internal mode interaction. The internal mode interaction and corresponding carrier spatial oscillations pave the way of mode engineering for nonlinear dynamics in a solitary laser. Our findings provide a novel and easy method to create controllable and robust optical chaos for high-speed random number generation.

Introduction
Random numbers are crucial in the generation of cryptographic keys for classical and quantum cryptography systems, the reliability of modern networked society, and stochastic simulation, etc.\(^1\)–\(^4\). Pseudorandom numbers can be generated by using deterministic algorithm programs but with limited unpredictability, which reduces the randomness and associated security. Physical entropy sources, such as electronic and photon noise, thermal noise in resistors, and frequency jitter in oscillators, have been applied to generate non-deterministic truly random number sequences\(^5\)–\(^7\). However, due to the low signal level associated with physical noise, non-deterministic random number sequence generation rates from stochastic processes are usually less than 100 Mbit s\(^{−1}\). High-bandwidth chaotic semiconductor lasers have been widely investigated for the generation of random numbers\(^8\)–\(^21\) and secure communications\(^22\)–\(^24\). Based on decay rates of electric field, population inversion, and polarization, lasers were classified as class A, B, and C governed by one, two, and three equations, respectively\(^25\). The chaotic laser was first demonstrated without an external perturbation for a class C laser. As class B lasers, semiconductor lasers governed by two equations are hard to exhibit chaotic output without external perturbations. But semiconductor lasers are extremely sensitive to external perturbations because their lasing frequency is affected by carrier density. Chaotic semiconductor lasers were realized by using external optical feedback\(^8\)–\(^14\) and optical injection\(^20\),\(^26\). Furthermore, chaotic behaviors were investigated for integrated semiconductor lasers with a passive feedback cavity, or with a mutual coupling integrated microlasers\(^15\)–\(^19\),\(^27\).

Under continuous perturbations of an external optical injection or delayed optical feedback, semiconductor lasers can exhibit strong nonlinear dynamics, such as periodic oscillations and chaos. However, time-delay periodicity imprinted in the laser output leads to recurrences in the outcome from chaotic lasers with external optical feedback\(^26\),\(^29\), which reduces the randomness and
security in random number generation, and post-processing is usually required to obtain truly random numbers. Moreover, the dynamical behaviors are sensitive to the parameters of the perturbations and precise adjustment is essential to realize specific nonlinear dynamics of interest. A chaotic solitary laser without external perturbations is a prominent configuration for random number generation due to its robust and simple scheme. Low-dimensional chaos in polarization-resolved output power was reported for a free-running quantum-dot vertical-cavity surface-emitting laser, which was generated by nonlinear mode competition including carrier spin relaxation.\textsuperscript{30,31} Lasing of multiple transverse modes was demonstrated in wave-chaotic microcavity lasers for suppressing lasing instabilities,\textsuperscript{32} and spatiotemporal interference of many lasing modes with stochastic spontaneous noises were used for massively parallel ultrafast random bit generations in a specially designed chip-scale laser.\textsuperscript{33} In the past decades, deformed microcavities have attracted a great attention, especially for realizing direction emission microlasers, because of the transition of incident angles for mode light rays inside the microcavity.\textsuperscript{34} Recently, the internal field patterns of different optical modes in deformed silicon microdisks were experimentally mapped for different dynamic states.\textsuperscript{35} A phase-space tailoring scheme was reported to regulate photon transport in a chaotic microcavity, and control of far-field pattern was verified experimentally for a quantum-dot microlaser.\textsuperscript{36} However, a chaotic mode is not directly related to chaotic output for a deformed microcavity laser.

In this article, we propose a novel approach to manipulate the temporal dynamics of a solitary semiconductor microcavity laser by nonlinearly coupling of two transverse modes inside the microcavity. Chaotic output is realized from the total output of the deformed microcavity laser without external optical or electric perturbations, which allows to form a simple, small, and robust random signal source. As in a microdisk resonator, polygonal resonators can also support high-Q whispering-gallery modes with the mode light rays undergoing total internal reflection. A dual-mode lasing square microcavity was demonstrated with an adjusted mode frequency interval,\textsuperscript{37} and a circular-sided hexagonal resonator was designed to enhance mode Q factors. Here, we design a circular-sided hexagonal microresonator (CSHM) to enhance passive mode Q factors and adjust the mode frequency interval. For a microcavity with high passive mode Q factors, we can realize dual-mode lasing for the fundamental and first order transverse modes with near threshold gain, mainly determined by the other losses, e.g., material absorption loss. In a dual-mode lasing microlaser, mode beating can lead to oscillations of the photon density and carrier density caused by stimulated emission, especially as the mode interval is close to the laser relaxation oscillation frequency. Moreover, the oscillation of the carrier density will result in side peaks for lasing modes as under external electric modulation, and lead to nonlinear coupling for the two lasing modes because the oscillation frequency is the frequency interval of the two lasing modes. Using a rate equation model including internal mode interaction, we predict nonlinear dynamic states including chaotic state for a dual-mode lasing microlaser. Furthermore, we fabricate CSHM lasers and demonstrate nonlinear dynamical states including period-oscillation states and chaotic state, using a solitary CSHM laser. The chaotic state is verified with a positive $K_2$-entropy of $K_2 = 2.9 \text{ ns}^{-1}$, and physical random numbers at 10 Gb s$^{-1}$ verified by statistical tests are obtained directly from the total output intensity of the microlaser. The solitary microcavity laser with chaotic total output intensity and period-oscillations provides a simple scheme for high-speed random number generation and the investigation of nonlinear dynamics.

**Results**

**Chaotic principle for a dual-mode microlaser**

To realize dual-mode lasing with a tunable mode frequency interval, we choose a circular-side hexagon microcavity with a ring electrode. The circular-side can enhance mode Q factors for realizing dual mode lasing of the fundamental and first order transverse modes, and the deformation magnitude of circular-side can be used for adjusting mode interval to enhance nonlinear dynamics inside the microcavity. Furthermore, a ring-pattern electrode is designed for further adjusting the mode interval as for the square microcavity laser in.\textsuperscript{37} A CSHM is simulated for transverse electric (TE) modes, i.e., the domination polarization for a compressively stressed laser wafer. As shown in Fig. 1a, a deformation amplitude $\delta$ is defined as $\delta = r - \sqrt{r^2 - (a/2)^2}$, where $a$ and $r$ are the hexagonal flat-side length, the radius of the circular arc, and $w$ and $\vartheta$ are the width of the output waveguide and the acute angle between the waveguide and the diagonal of the hexagon, respectively. The wavelengths of the fundamental and first-order transverse modes ($H_0$ and $H_1$) versus deformation amplitude $\delta$ are plotted in Fig. 1b, for the CSHM with $a = 10 \mu m$ and $w = 1.5 \mu m$. The wavelengths of $H_0$ and $H_1$ redshift with $\delta$ at different rates and cross at about $\delta = 1.01 \mu m$. In addition, a refraction index offset $\Delta n$ inside a ring with external and inside radii of 9.5 and 4.5 $\mu m$ is applied to model the influence of a current injection window. As $\Delta n$ increases with injection current due to the temperature rising, the wavelength of $H_0$ approaches to that of $H_1$ and becomes longer eventually, which indicates the mode frequency interval can also be adjusted by injection current. The passive mode Q factors are plotted in Fig. 1c, where the mode Q factors of
$H_0$ and $H_1$ are greater than $1 \times 10^4$ suitable for dual-mode lasing, as the practical mode $Q$ factors limited by material absorption loss are in the order of $10^3$. In addition, two degenerate modes with near mode $Q$ factors are obtained for $H_0$ and $H_1$ respectively. The insets in Fig. 1c are $z$-direction magnetic field distributions $|H_{0z}|$ and $|H_{1z}|$ for $H_0$ and $H_1$ modes at the wavelengths of 1550.83 and 1550.92 nm, respectively. Based on the mode field distributions, we can define a cross coefficient proportional to $H_{0z}H_{1z}$ as shown in Eq. (S2) (see the Supplementary Information). The real part of the cross coefficient is plotted in Fig. 1d, which indicates in-phase and antiphase sections of the mode fields in red and blue colors. The in-phase and antiphase sections will result in positive and negative mode beating intensities, and carrier density will oscillate at the beating frequency of the two modes caused by the variation of stimulated emission. In the following, a rate equation model was setup for a dual-mode lasing microcavity laser with internal interaction including the oscillation of carrier density in the two sections due to the mode beating.

Different rate equation models were used to analyze nonlinear dynamics of a solitary laser system. For example, bistable operation and chaotic state were studied for a two-section semiconductor laser under sinusoidal current modulation, using a rate equation with inhomogeneous excitation and spatial distribution of carrier lifetime. The complex nonlinear behaviors were caused by different parameters, such as lifetimes, of the two sections. In addition, complex periodic and chaotic intensity fluctuations were characterized for a solid-state laser with intra-cavity frequency doubling crystal using an intensity rate equation model. Nonlinear dynamic behaviors of low-frequency mode intensity fluctuations were investigated using the rate equations with multiple modes in two orthogonal polarizations. But longitudinal mode beating phenomena were not accounted in the intensity equations. By using field equations, we set up a rate equation model including the influence of mode beating intensity and internal mode injections for a dual-mode lasing microcavity. As shown in Fig. 1d, the in-phase and anti-phase for the mode fields occupy about a half region, which will interchange at the mode frequency interval between $H_0$ and $H_1$. By simply dividing the microcavity into two sections of $A$ and $B$ with the same sign of the products, we expect the carrier densities in each section will oscillate with the beating optical intensity due to the consumption of stimulated emission. As shown in Fig. 2a, the mode beating intensity and carrier oscillation at the beating frequency will cause side peaks for lasing modes at the mode frequency interval and result in strong mode interactions. Accounting the

![Fig. 1 Simulated diagram and mode characteristics.](attachment:image1.png)
internal mode interaction between $H_0$ and $H_1$ and dividing the microcavity into the $A$ and $B$ sections, we set up a comprehensive rate equation model for the CSHM laser similar as in\textsuperscript{41,42}

\begin{equation}
\begin{aligned}
\frac{dN_m}{dt} &= \frac{d}{dt} - \nu_2 \sum_{n \neq m} K_{nm} g^p \text{Re}(E_m E_n^*) \quad (m, m' = 0, 1; p = A, B) \\
\frac{dE_m(t)}{dt} &= -\frac{1}{\tau_m} E_m(t) + \frac{1}{2} \nu_2 (1 + i \omega) \sum_{n} (K_{nm}^A g^A + K_{nm}^B g^B) E_n(t)
\end{aligned}
\end{equation}

where the slowly varying complex field of transverse mode $m$ is $E_m(t) = |E_m(t)| \exp(i \Phi_m(t))$, the mode phase is $\Phi_m(t) = \phi_m(t) + (\omega_m - \Omega) t$ with a reference frequency $\Omega$, and the mode frequency interval is $\Delta f = (\omega_1 - \omega_0)/2\pi$. $m$ and $m'$ are 0 and 1 is corresponding to the fundamental and first order transverse mode, respectively, $\tau_m$ is mode lifetime determined by passive mode $Q$ factor and internal absorption loss, $\alpha$ is the linewidth enhancement factor, $p = A$ and $B$ represents the two sections, $N^p, g^p$ and $K_{nm}^\text{intp}$ are carrier density, gain and the mode field overlap coefficient of mode $m$ and $m'$ in section $p$ (see Supplementary Information for more details).

The rate equations with the parameters (see Equation S1 and Table S1) were solved using a fourth order Runge–Kutta integration with a time step of 1 ps to generate the time series of the output photon density $S$, and Fourier transforms were used to produce the optical and radio frequency (RF) signal spectra. The extremum-bifurcation diagram in Fig. 2b, calculated by searching for the local maxima and minima of $S$, shows the internal mode injection locking with a constant intensity, as the mode frequency interval $\Delta f$ is smaller than 3 GHz, and strong mode interactions result in a great number of extrema as $\Delta f$ increases from 3 to 13 GHz. In addition to the chaotic region around resonance frequency $f_r$, about 10 GHz, chaotic states appear around the frequency interval $\Delta f = 5$ GHz. As shown in Fig. S1, the RF spectra have strong resonance peak at $f_r$ as $\Delta f = 5$ GHz. The lasing spectra are shown in Fig. 2c at $\Delta f = 10.6, 13$, and
15 GHz, corresponding to chaotic state, period-two, and period-one oscillations, respectively. The nonlinear dynamics change from complex to simple with the further increase of $\Delta f$ because the carrier density oscillates weakly as $\Delta f$ is much larger than the relaxation oscillation frequency.

The simulated output intensities were evaluated using the Grassberger–Procaccia (G–P) algorithm to estimate the correlation dimension $\nu$ and the $K_2$-entropy (Kolmogorov entropy)$^{43,44}$. The output data can be categorized as periodic or quasiperiodic, chaotic, and purely stochastic signals when $K_2$ is zero, positive, and infinity, respectively$^{43}$. For the time series at $\Delta f = 10.6$ GHz, the correlation integral $C_\nu(\varepsilon)$ shows a linear correlation with the radius of the ball $\varepsilon$ at small values in logarithmic scale in Fig. 2d, and the corresponding slope of the curve converges to the correlation dimension of $\nu = 3.50$, as shown in Fig. 2e from $D = 12$ to 15. Taking into accounting 2 GHz bandwidth of ADC (analog-to-digital converter) as in the experiment, we get the correlation dimension $\nu$ of 3.91 in Fig. S2d. Furthermore, the $K_2$-entropy of $K_2 = 19$ and $3.2\text{ ns}^{-1}$ is derived directly using the chaotic output series of the rate equations at $\Delta f = 10.6$ GHz and accounting 2 GHz bandwidth of ADC, respectively, as shown in Fig. 3, which verifies the chaotic characteristic of the time series.

**Experimental realization of a solitary chaotic microlaser**

A CSHM laser with $a = 10$ $\mu$m, $w = 1.5$ $\mu$m, $\delta = 1.01$ $\mu$m and $\theta = 55^\circ$ as shown in inset of Fig. 5a is tested at temperature 287 K controlled by a thermoelectric cooler, which has a ring-patterned contact window for selective electric injection to adjust the mode frequency interval$^{45}$. Figure 4a shows the schematic of the experimental setup.

The lasing spectra at 27 and 31 mA are shown in Fig. 4b with the main lasing modes around 1553 and 1565.5 nm, respectively. The mode wavelengths of $H_0$ and $H_1$ and corresponding wavelength intervals are presented in Fig. 4c versus the injection current. The mode wavelengths increase with the injection current mainly due to the heating effect. But the wavelength interval first increases with the injection current from 24 to 29 mA caused by the increase of carrier density accompanying lasing mode jumping from about 1553 to 1565.5 nm. Then the wavelength interval keeps near constant from 30 to 36 mA due to the compensation of the increases of carrier density and temperature, and finally decreases with the injection current as it is larger than 36 mA mainly caused by heating effect. The wavelength interval decreases with the increasing current, indicating a larger redshift rate of the mode at shorter wavelength side. Taking the simulation results into account, we estimate that the mode at shorter wavelength side is the $H_0$ mode, i.e., the mode wavelength interval in Fig. 4c is $(\lambda_1 - \lambda_0)$.

Detailed lasing spectra are plotted in Fig. 5, which indicate complicated nonlinear dynamical states due to mode interaction and mode redshift. Near single-mode operation at short wavelength side is obtained at 20 mA with a side-mode suppression ratio about 10 dB. Four main peaks around 1552.3 nm with intensity differences less than 2 dB and mode frequency intervals of 4–9 GHz are observed at 24 mA in Fig. 5a, which can be assigned as the fundamental and first-order transverse modes including degenerate modes, and similar spectra are observed from 22 to 24 mA. More lasing mode will result in strong mode competition. In fact, it was found that dual-mode lasing state is easy to realize chaotic state than single mode lasing state under external optical feedback$^{46}$. Multi-mode lasing with such small intervals can result in complicated nonlinear processes as predicted in Fig. 2. Two longitudinal modes marked as $H_A$ and $H_B$ with a free spectral range of about 12 nm have near the same intensity as shown in Fig. 5a and the inset of Fig. 5b at 26 mA, due to the redshift of the gain spectrum with the increase of injection current. At 38 mA, two main lasing modes around 1567.2 nm with a frequency interval 18.2 GHz are
observed with two clear four-wave mixing (FWM) peaks in Fig. 5b, and additional peaks of period-two oscillation appear at 44 mA due to the small mode frequency interval of 16.5 GHz, as carriers can oscillate with a large amplitude at 16.5 GHz.

**Identification of chaos output**

Furthermore, the output of the CSHM laser was amplified by an erbium-doped fiber amplifier (EDFA) and converted to an electrical signal by a 40 GHz-bandwidth photodetector, and the AC component with AC coupling was measured by an oscilloscope with 2 GHz-bandwidth and 8-bit ADC. The output radio-frequency (RF) spectra was measured by an oscilloscope with 2 GHz-bandwidth photodetector, and the AC component with AC coupling converted to an electrical signal by a 40 GHz-bandwidth photodetector, and then transformed to electrical signal by a photodetector (PD). The electrical spectrum and the temporal waveform are then measured by an electric spectrum analyzer (ESA) and an oscilloscope (OSC).

**Discussion**

In summary, internal mode interaction has been proposed and demonstrated for realizing chaotic and period oscillation states without external perturbation in a dual-mode lasing microcavity laser. A rate equation model based on field equations is set up to account the internal
**Fig. 5** Characteristic lasing spectra of the CSHM laser. a Lasing spectra at 20, 24, and 26 mA to show the spectral broadening and mode hopping from a group $H_A$ to $H_B$, inset: the microscopic image of a CSHM laser. b Lasing spectra with main lasing modes in $H_B$. Period-one and Period-two states can be observed clearly at 38 and 44 mA, respectively; inset: lasing spectra versus current, including two groups of modes $H_A$ and $H_B$ in the whole scale.

**Fig. 6** Chaotic output and statistics analysis for the CSHM laser. a Output RF spectra at 22, 23, and 24 mA and noise spectrum of the test system. b Temporal output waveform and c corresponding autocorrelation function at 25.6 mA, inset: zoom-in view of ACF. d The correlation integral curve of $C_D(\epsilon)$ versus the radius of the ball $\epsilon$ in logarithmic scale, and e corresponding slope of the integral curve in (d). f Derived $K_2$-entropy from the integral curve. All the curves tend to converge to a common value about $K_2 \approx 2.9 \text{ ns}^{-1}$ as $D \to \infty$. 
mode interaction including the oscillations of mode beating intensity and carrier density. Dual-mode lasing of the fundamental and the first order transverse modes guarantees strong carrier oscillation inside the laser cavity due to mode beating, which enhances the mode interaction. Chaotic dual-mode lasing laser is predicted using the rate equation without external perturbation. The chaotic semiconductor microlaser and physical random number generation are demonstrated experimentally, with the results in good agreement with the theoretical prediction. The procedure in revealing the underlying mechanism of the internal interaction between two transverse modes gives a new understanding of the nonlinear dynamical process in the semiconductor microlasers. Enhancing mode Q factors and tuning mode intervals by using circular sides and a ring electrode pave the way for mode engineering in polygonal microcavities. The mode engineering to enhance the nonlinear dynamics in semiconductor microlasers provides stable and robust optical sources for random number generations.

**Materials and methods**

**Mode characteristics simulation**

The mode characteristics of the deformed hexagonal micro resonator were simulated by using a two-dimensional finite element method (FEM) (COMSOL Multiphysics 5.0) with a cell step of 25 nm. The CSHM has a constant refractive index of 3.2 corresponding to an AlGaInAs/InP laser wafer, and laterally confined by divinylsiloxane-bisbenzocyclobutene (DVS-BCB) with a refractive index of 1.54, which is coated for the planarization of the fabricated microlasers. A perfectly matched layer (PML) is used to terminate the calculation region, and the distances between the PML and the resonator edge are larger than 2 μm to ensure the accuracy of the numerical calculation.

**Experimental data acquisition**

The CSHM lasers were fabricated using an AlGaInAs/InP compressively-strained multiple quantum wells laser wafer by contacted photolithography and inductively coupled plasma etching technique. The lasers were cleaved over the output waveguide and bonded with the p-side up on an AlN submount. The optical output from the CSHM laser is collected by a single mode fiber and then analyzed by an optical spectrum analyzer (OSA, YOKOGAWA, AQ6370C) with a resolution of 0.02 nm. Alternatively, the collected beam is amplified by an EDFA and then filtered out the aimed longitudinal group (H_A or H_B depending on the interest) by a band pass filter (BPF) to reduce the influence of the other longitudinal modes. The electric signal converted from the filtered light beam by a photodetector (PD, 40 GHz bandwidth) are divided into two lines. One of the lines is measured by an electric spectrum analyzer (ESA, ROHDE&SCHWARZ, FSU, 26.5 GHz bandwidth) and the other line is measured by an oscilloscope (OSC, ROHDE&SCHWARZ, RTO1024, 2 GHz bandwidth, 10 GSa s⁻¹) to measure the temporal waveform for random number generation.

**Correlation dimension and the entropy**

The outputs are evaluated using the G–P algorithm to estimate the chaotic behavior. To make the G–P algorithm efficient for finite time series and reduce the noise
influence on the experimental data, we applied a modified version of G–P algorithm\(^{38}\). For time series data \(F(m)\) with \(N\) points \(m = 1\) to \(N\), as one-dimensional chaotic trajectory, we can reassemble the data into \(D\)-dimensional trajectory as \(N\)-D points of \((F(1), F(2), ...F(D)), (F(2), F(3), ...F(D+1), ...), (F(N-D), F(N-D+1), ...F(N))\), with the embedding dimension of \(D\). A correlation integral \(C_D(\epsilon)\) is defined as the average number of points inside a ball of radius \(\epsilon\) centered at one point, where \(\epsilon\) is the distance between two points in the \(D\)-dimensional space. \(C_D(\epsilon)\) will grow with the increasing of the radius as a power law of \(C_D(\epsilon) \propto \epsilon^{\nu}\). The correlation dimension \(\nu\) and \(K_2\)-entropy are then estimated from the correlation integral curve by

\[
\nu = \frac{d \ln(C_D(\epsilon))}{d \ln(\epsilon)}
\]

and

\[
K_2 = \frac{\ln[C_D(\epsilon)/C_{D+1}(\epsilon)]}{\tau}
\]

as \(D\) and \(\epsilon\) approach infinity and zero, respectively, where \(\tau\) is the sampling rate of the time series. The number of temporal points used for the G–P algorithm is 4000 for both the simulation and experimental data. For re-embedding procedure\(^{38}\), we use a window size of 12 and three principal components. Generally, infinite is out of range numerically, but these functions show good convergence when the embedding dimension \(D\) is larger than \(2\nu + 1\)^{49}. So, we look for convergence in these functions as we increase the vector size \(D\).

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Author contributions
C.G.M. contributed device characterization and data processing. J.L.X. and Y.D.Y. contributed device fabrication and characterization, Z.X.X. contributed device fabrication and initial characterization, Y.Z.H. conducted project supervision and prepared the manuscript.

Conflict of interest
The authors declare no competing interests.

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