Universal far-from-equilibrium dynamics of a holographic superconductor

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Symmetry-breaking phase transitions are an example of non-equilibrium processes that require real-time treatment, a major challenge in strongly coupled systems without long-lived quasiparticles. Holographic duality provides such an approach by mapping strongly coupled field theories in D dimensions into weakly coupled quantum gravity in D + 1 anti-de Sitter spacetime. Here we use holographic duality to study the formation of topological defects—winding numbers—in the course of a superconducting transition in a strongly coupled theory in a 1D ring. When the system undergoes the transition on a given quench time, the condensate builds up with a delay that can be deduced using the Kibble–Zurek mechanism from the quench time and the universality class of the theory, as determined from the quasinormal mode spectrum of the dual model. Typical winding numbers deposited in the ring exhibit a universal fractional power law dependence on the quench time, also predicted by the Kibble–Zurek Mechanism.
Non-equilibrium quantum phenomena are of wide importance across several disciplines of physics. Despite their fundamental relevance, few widely applicable principles are known for field theories far from equilibrium\(^1\)–\(^3\). Gauge–gravity duality is a powerful tool in this respect, as it provides a well-defined first-principles framework to study strongly coupled field theories in the non-equilibrium setting. These theories are strongly correlated in the sense that there exists no weakly coupled quasi-particle picture on which one could base a perturbative treatment. This makes understanding their dynamics, even near equilibrium, an extremely challenging problem. Consequently, progress has been confined mostly to cases in which the dynamics is integrable\(^4\)–\(^5\).

Holography\(^6\) is a powerful tool enabling us to explicitly analyse such theories without having to rely on integrability: One maps the quantum-field theory of interest to a dual gravity problem in asymptotically Anti-de Sitter (AdS) spacetime, which can be solved in great detail. This duality can reveal significant new insights, for example, it provides new examples of interaction-driven localization transitions\(^9\), as well as modelling asymptotically Anti-de Sitter (AdS) spacetime, which can be the quantum-field theory of interest to a dual gravity problem in such theories without having to rely on integrability: One maps as Kibble pointed out\(^17\), these disparate choices can crystalize into topological defects that may have significant consequences for the subsequent evolution of the Universe.

The resulting mosaic of broken-symmetry vacua will—in the Hubble horizon. As a consequence, distinct domains of the Universe will choose broken-symmetry vacua on their own. This implies that the ‘reflexes’ of the system are characterized by the universal power law,

\[
\tau(\epsilon) \sim \tau_0 |\epsilon|^{-\gamma},
\]

where \(x\) and \(v\) are the dynamic and correlation length critical exponents, respectively, and \(\tau_0\) is a microscopic parameter. An arbitrary cooling ramp as a function of time, \(t\), can be linearized around the critical point

\[
\epsilon = -\frac{t}{\tau_0}.
\]

As a result of critical slowing down, the system loses the ability to adjust to the change even when it happens slowly, on any finite quench timescale \(\tau_0\). The instant \(t\) when the system can no longer keep up with the change of \(\epsilon\) happens when its relaxation time becomes comparable with \(\epsilon/\dot{\epsilon}\), the rate of change of \(\epsilon\). This leads\(^18\) to the equation:

\[
\tau(\dot{\epsilon}(t)) = \epsilon/\dot{\epsilon} = \dot{t}.
\]

Using the critical slowing down scaling relation, equation (2), one obtains:

\[
\dot{t} = \left(\frac{\tau_0}{\tau_0^*}\right)\frac{1}{1+\gamma}.
\]

This timescale allows us to split the crossing of the phase transition into a sequence of three stages in which the dynamics is first adiabatic \((t < \dot{t})\), then effectively impulse during the interval \((\dot{t} < t < \dot{t})\), and finally adiabatic again deep in the broken-symmetry side of the transition \((t > \dot{t})\). The value of \(\dot{t}\) corresponding to the timescale separating the frozen and adiabatic stages,

\[
\dot{t} = \left(\frac{\tau_0}{\tau_0^*}\right)^{1/\gamma},
\]

enters into the sonic horizon estimate, the analogue of the causal horizon in the early universe. As a result, the characteristic size \(\xi\) of the domains that can coordinate the choice of broken symmetries exhibits a universal power law dependence\(^18\) on the quench time:

\[
\xi = \xi_0 e^{-\gamma} = \xi_0^{1/\gamma} \left(\frac{\tau_0}{\tau_0^*}\right)^{1/\gamma},
\]

where \(\xi_0\) is a microscopic parameter. The density of topological defects can then be estimated by recognizing that a \(\xi\)-sized fragment of defect can be expected within a volume \(\xi\)-sized domain, the sonic horizon size. Here the velocity of the relevant sound assumes the role of the speed of light in the relativistic cosmological setting. This reasoning is expected to yield correct scaling of the density of defects, but only an order of magnitude estimate of the prefactor. The scenario just described is often referred to as the ‘Kibble–Zurek mechanism’ and we will refer to it throughout this paper as ‘KZM’.

Here we consider the setting where the phase transition happens in a ring of circumference \(C\). In view of our above discussion, one can expect that the broken-symmetry will be chosen independently in sections of size \(\xi\), so there will be \(C/\xi\) fragments of the ring that select broken symmetry independently\(^18\). Consequently, phase mismatch resulting from a random walk of phase with \(C/\xi\) steps needed to circumnavigate \(C\) is expected to lead to: \(\Delta \Theta \approx \sqrt{\frac{\pi}{\xi}}\). The net ‘phase distance’ \(\Delta \Theta\)
will then have to settle to a multiple of $2\pi$, defining the winding number, $W$, as

$$W = \frac{\Delta \Theta}{2\pi} \approx \frac{1}{2\pi} \sqrt{\frac{C}{\xi}}.$$  \hfill (8)

It follows that the dispersion of the values of $W$ will scale with the quench rate as $^{19,20}$:

$$\sigma(W) = \frac{1}{2} \sqrt{\frac{C}{3\zeta_0}} \left(\frac{\xi_0}{\tau_0}\right)^{-\frac{1}{\pi + \pi \tau}}.$$ \hfill (9)

This universal power law is expected to hold whenever $C/\xi \gg 1$, away from the onset of adiabatic dynamics. The prefactor has to be taken with the proverbial ‘grain of salt’. As seen in previous numerical experiments, such KZM calculations yield correct scalings, but tend to overestimate the density of defects $^{19,21–23}$ as well as the typical winding numbers $^{20,24}$.

A superconducting ring in holography. We study a one-dimensional superconducting ring of circumference $C$. The quantity of interest is the winding number

$$W = \int_C \frac{d\Theta(\phi)}{2\pi} \in \mathbb{Z},$$ \hfill (10)

where $\phi$ is the angle along the ring. It follows from gauge invariance that in equilibrium we have $\hat{f}(d\Theta - A) = 0$ so that a non-vanishing winding number is accompanied by a non-vanishing line integral of the vector potential around the loop. It should be noted that $W$ as defined above is gauge invariant under single-valued gauge transformations.

We consider the so-called ‘bottom-up’ holographic superconductor model $^{25,26}$ in three-dimensional $\text{AdS}^3$, denoted from here on $\text{AdS}_3$ and work in the probe limit. This amounts to neglecting the effect of the charged components of the system on the neutral ones $^{15,25}$. From the gravity point of view this corresponds to neglecting the bulk gravitational backreaction of the charged scalar and the Maxwell field, but keeping the backreaction of the scalar and Maxwell field on each other. This means that we consistently study the dynamics of a Maxwell field $A$ coupled to a scalar field $\psi$ on a fixed gravitational background. We choose the scalar field to have vanishing mass, which means that it is dual to a classically marginal operator in the boundary field theory. We do not expect our results to differ qualitatively for other choices of the mass, as long as it remains in the range corresponding to a marginal or relevant operator (we refer here to the renormalization group, ‘RG’, scaling dimension in the ultraviolet, ‘UV’). The details of our bulk action and equations of motion can be found in the Methods section. Maxwell theory without the charged scalar in $\text{AdS}_3$ and its dual field theory have been studied previously in refs $^{28,30}$, taking advantage of the fact that one may conveniently dualize the bulk vector field to a bulk scalar. A different holographic approach to superconducting rings, using probe branes, was developed in refs $^{31,32}$.

Studying the field theory at finite temperature corresponds to studying the bulk system in the background of a black hole, in our case the three-dimensional black hole (the BTZ black hole, after Bañados, Teitelboim and Zanelli$^{33}$), which is characterized by the parameter $z_0$, its horizon radius. For more details, see Fig. 1. With these data, we have the Hawking temperature

$$T_H = \frac{1}{2\pi z_h}.$$ \hfill (11)

Via the $\text{AdS/CFT}$ correspondence (CFT stands for conformal field theory), this is directly translated into the temperature of the dual field theory $^{34}$. This theory is therefore studied in a thermal ensemble at temperature $T_H$, which is an external parameter in our dynamics. To fully specify the ensemble (in the equilibrium case), as well as the dynamics, we must augment the equations of motion with suitable boundary conditions at the ultraviolet boundary, $z = 0$. We impose in addition to the finite temperature $T$ a fixed charge density $\rho$ corresponding to Neumann boundary conditions on the bulk gauge field. We give Dirichlet boundary conditions for the scalar field. From this, it follows that the field theory is studied in the absence of a source for the order parameter density. This condition ensures that any symmetry breaking occurring will be spontaneous. To compare our full non-equilibrium results to the prediction of KZM, we first need to determine the universality class of the field theory just defined, as well as the microscopic parameters $\tau_0$ and $\zeta_0$. As is usual in holography, this boils down to computing the so-called quasinormal modes of the bulk black hole$^{35}$.

Near-equilibrium universality. To determine the critical exponents $\zeta$, $\nu$, as well as the microscopic parameters $\tau_0$ and $\zeta_0$, it is sufficient to study the holographic superconductor near equilibrium. We will study the non-compact case, since the finite size of the ring in our simulations does not affect the results for local correlations, so long as the healing length $\xi$ is much smaller than the circumference. The non-compact spatial boundary direction is denoted $x$ here. Since $\xi$ formally diverges near $T_c$, this assumption will break down for extremely slow quenches, but we

**Figure 1 | Schematic of the holographic mapping.** The dual space-time is a cylinder, with time running vertically upwards. The field theory lives on the mantle, also called the boundary and shown here in red, an infinite proper distance from the bulk. In the interior resides a black hole (BH) with temperature $C/2z_0$, which is also the temperature of the dual field theory. Cooling corresponds to the change of the black hole radius $z_0$. For convenience, all equations in this paper use the coordinate $z \in (0, z_0]$, which is related to the more conventional $\text{AdS}$ radial coordinate via $g = L^2/z \in (L^2, \infty)$. The radial coordinate $r$ is a compactified version of $\psi$, which puts the boundary at a finite distance. (a) Schematically shows the order parameter as function of time, spatially averaged over C. The four time slices, b–e, indicate the condensation process as it happens throughout the bulk. The density profile shown in each slice schematically illustrates the behaviour of the bulk field $\psi$ dual to the order parameter in the boundary theory with blue indicating a vanishing density of $|\psi|^2$ and red a high density of $|\psi|^2$. (b) At the beginning there is no condensate, but the temperature is starting to be lowered through $T_c$, which is reached at time $t_c$, as indicated in a. (c) Sometime after crossing $T_c$, a small, spatially inhomogeneous condensate starts appearing. (d) The condensate grows, amplifying the original inhomogeneities into macroscopic phase domains. (e) Eventually, the condensate settles down to its equilibrium configuration with a given winding number frozen in.
have not found that our simulations entered this regime for the range of $\tau_0$ under study.

Adapting the analysis of ref. 36 to the present situation, the correlation function of the order parameter field $O$ near, but slightly above, $T_c$ takes the momentum-space form

$$\langle O(\omega, k)O(\omega, -k) \rangle := \chi(\omega, k) = \frac{Z(\omega, k)}{i\omega + k^2 + \xi^2}. \quad (12)$$

where the last expression holds for small $\omega, k$ and we introduced the parameters $c$ and $1/\xi^2$. We have defined the dynamical susceptibility of the order parameter $\chi(\omega, k)$ in the first equality. One obtains the correlation function from the susceptibility $\chi(\omega, k)$ by a Fourier transform

$$G(t-t', x-x') = \int \frac{d\omega dk}{(2\pi)^2} e^{i(\omega(t-t')-k(x-x'))} \chi(\omega, k). \quad (13)$$

We can find the relaxation time by looking at the Fourier transform of the zero-momentum response, $\chi(\omega, k = 0)$, which at late times takes the form

$$G(t-t') \propto e^{-\frac{t-t'}{\xi^2}}, \quad t \gg t', \quad \Rightarrow \tau = \xi^2. \quad (14)$$

The equal time correlation function, following from the Fourier transform of the static correlation function $\chi(\omega = 0, k)$, falls off like

$$G(t = t', x-x') \propto e^{-\frac{x-x'}{\xi^2}}, \quad x \gg x', \quad \Rightarrow z = 2. \quad (15)$$

From the fact that the relaxation time is proportional to the square of $\xi$, it follows that the dynamical critical exponent $z = 2$. This leaves us to determine the correlation length critical exponent $\nu$. For this, we must study how the correlation length $\xi$ diverges near the critical point, $\xi \sim \xi_0|\tau - \tau_0|^\nu$. Using the above relations (12) and (14), we deduce that $\tau \sim \tau_0|\tau - \tau_0|^{-2\nu}$ with $\tau_0 = \xi_0^2$. In holography, the poles of two-point functions of boundary operators coincide with the quasinormal modes of the bulk fields dual to the operators appearing in the correlation function. As we saw above, to extract $\xi$ and $\tau$ it is sufficient to study the static susceptibility $\chi(\omega = 0, k)$ and the dynamical susceptibility $\chi(\omega, k = 0)$ separately. As shown in Fig. 2, we find that the spectrum of quasinormal modes of $\chi(\omega, k = 0)$ contains poles only in the lower half complex plane (as demanded by stability), while $\chi(\omega = 0, k)$ has two series of poles along the positive and negative imaginary axis. This structure is also apparent from the correlation function (12). In each case, the relevant poles are the ones closest to the real axis, governing the exponential decay at long timescales (defining the relaxation time $\tau$), and the falloff of correlations at large distances (defining the correlation length $\xi$), respectively. We find from the quasinormal mode analysis that $1/\xi^2 \sim |k|$, which implies $\nu = 1/2$, consistent with the results of ref. 36. By studying the motion of the leading poles as $T \to T_c$, as discussed in Methods, we can also deduce that $\tau_0 = 2.02 \pm 0.01$ and $\xi_0 = 0.28 \pm 0.02$ if the critical point is approached from above and $T_0 = 0.89 \pm 0.01$ and $\xi_0 = 0.39 \pm 0.01$ if it is approached from below. For more details, we refer the reader to Fig. 2.

Far-from-equilibrium dynamics. We simulate cooling of the superconducting ring by numerically evolving the bulk equations

Figure 2 | Susceptibilities in the normal phase. (a,c) Poles in correlation functions at $T/T_c = 1.1$, as deduced from studying the quasinormal modes of the bulk black hole. These modes are linear fluctuations with dissipative boundary conditions at the horizon. The leading poles nearest the real axis, marked by red crosses, determine the behaviour of correlations at large distances (a) and long times (c). Momentum $k$ and frequency $\omega$ are given in units of charge density $\rho$, and temperature $T$ is given in units of the critical temperature $T_c$. (b,d) Divergence of correlation length (b) and critical slowing down (d), as determined from the corresponding poles of $\chi(\omega, k)$ shown in a,c. The dashed curve in b shows a fit to $\tau = \tau_0|\tau - \tau_0|^{-2\nu}$ resulting in $\tau_0 = 0.28 \pm 0.02$ and $\nu = 0.500 \pm 0.002$. The dashed curve in d shows a fit to $\tau = \tau_0|\tau - \tau_0|^{-2\nu}$, resulting in $\tau_0 = 2.02 \pm 0.01$. Furthermore, we can analytically determine $z = 2$. Within numerical accuracy, we find identical values for $z$ and $\nu$ below the critical point, with slightly different $\tau_0 = 0.38 \pm 0.01$ and $\tau_0 = 0.89$. We have the approximate relation $\xi_0^2 \approx \sqrt{2} \xi_0^2$ between $\xi_0$ below and above the transition. This is the opposite of the usual LG mean-field relation $\xi_0^2 = (1/\sqrt{2}) \xi_0^2$. Thus, while the critical exponents have the mean-field values, equilibrium correlations lengths clearly show that the theory we are dealing with is outside of the the LG paradigm.
(equation (20) in Methods) in the black hole background with changing temperature (11). We implement a piece-wise linear protocol starting at an initial temperature of $T_f$ with corresponding $\epsilon_f$, and cooling the system at finite rate according to $\epsilon(t) = -t/t_\alpha$ through the critical point, until the temperature $T_f$ with corresponding $\epsilon_f$ is reached. We implement the temperature ramp by changing the dimensionless ratio $TC = C/2\pi z_\alpha$, while holding the density $\rho C$ fixed. For a precise definition of these quantities, the reader may consult the Methods section. To allow the system to break the symmetry dynamically, one needs to add fluctuations to the classical Einstein equations. To achieve this, we introduce noise into the evolution in a manner consistent with the fluctuation–dissipation theorem for the horizon temperature $T_H$. Thus, we sample the boundary values, $\psi(z, t, \phi)|_{z=\text{zinv}}$, of the scalar field (its real and imaginary parts) from a Wiener process, which ensures that their average values vanish but its dynamics gives rise to a non-vanishing correlation. We treat the amplitude of the noise as a phenomenological parameter, but it would be enlightening to determine its precise form in the future, for example by deriving the relevant fluctuation–dissipation relation from bulk quantum effects (for work in this direction, see refs 38–40). Before the linearly decreasing temperature ramp, we allow the system to thermalize for a fixed time to allow the noise introduced at the boundary to get distributed over all scales in the bulk. To solve the non-linear partial differential equations determining the evolution of the bulk fields, we use a Chebyshev grid in the holographic (‘radial’) direction $z$ and a Fourier decomposition in the periodic direction $\phi$. We use a fixed step to evolve in time. Each quench is started at some $\epsilon > \epsilon_f$ for a given $\tau_0$ and is stopped at a time, $t_{\text{stop}}$ when the condensate, averaged over the ring, has reached a fixed fraction of the equilibrium value at $\epsilon (t_{\text{stop}})$. The time elapsed between the time of crossing the equilibrium phase transition point, and the stopping time $t_{\text{stop}}$ defines the lag time $t_L$. At this point, the winding number $W$ is recorded together with the lag time $t_L$. For the simulations of this work, a fraction of 0.9 was employed. We found that the winding number became frozen, that is, time independent, before that time. Both of the recorded quantities are predicted in the KZM scenario to follow the universal scaling relations (5) and (9) once averaged over noise realizations. The winding number $W$ is extracted from the discretized $\phi$ direction (denote the grid points by $\{\phi_i\}^{N_{\phi}}_{i=1}$) via the sum

$$W = \frac{1}{2\pi} \sum_{i=1}^{N_{\phi}} \text{Arg} \left( C_{i+1} C_{i}^{\dagger} \right).$$

(16)

As in the case of the continuum definition above, the sum as a whole is gauge invariant under single-valued gauge transformations. We find that it stabilizes soon after the ordered phase is formed (see Fig. 3). Winding number becomes a good observable by the time we stop the evolution to extract both $t_L$ and $W$, when it is frozen in at integer values. From this point on, it is no longer susceptible to noise or late-time equilibration dynamics of the ordered phase, as can be seen in Fig. 3.

An example condensation process for $\tau_0 = 12$ is shown in Fig. 4, where boundary and bulk physics leading to a $W = 3$ configuration is illustrated.

We find very good agreement between the full simulations and KZM predictions based on the equilibrium critical exponents deduced from our independent quasinormal mode analysis. From $y = 1/2$ and $z = 2$, it follows that $\langle |W| \rangle \sim \tau_0^{-1/2}$ and $t_L \sim \tau_0^{-2/3}$, while the simulation results in $\langle |W| \rangle \sim \tau_0^{-0.13 \pm 0.02}$ and $t_L \sim \tau_0^{-0.46 \pm 0.005}$.

(17)

The quoted uncertainties give a single s.d. from the fitted value. The discrepancy in the value of $t_L$ is likely a result of the fact that the lag time does not vanish at very small quench times, but rather saturates to a finite value, so that the simple scaling form is no longer a very good fit for the rapid quenches at the fast end of our window of $\tau_0$. In summary, we find good agreement with universal KZM values for the scaling of $t_L$ as well as the dispersion of winding number $\sigma(W)$. Matching the prefactors in (7) with equilibrium predictions usually results in more significant quantitative departures. In the present case we obtain

$$\tau_0^{\text{sim}} = 1.16 \pm 0.21, \quad \tau_0^{\text{sim}} = 3.92 \pm 0.12,$$

(18)

deviating by a factor of $\sim 3$ from the equilibrium values ($\tau_0 = 0.4$ and $\tau_0 = 2.02$) extracted from correlation functions. From past experience, it is to be expected that the KZM values overestimate the number of defects. KZM predictions of $\sigma(W)$ for $\tau_0 = 10$, 100 and 1,000 are 2.44, 1.83 and 1.37 (rounded to two significant digits), compared to 1.44, 1.31, 1.00 (again, rounded) from the full simulation, so KZM overestimates the density of defects. Evidently the numbers in our simulation are in rather good agreement, compared with past simulations where mismatches by factors of $O(10)$ were not uncommon (see, for example, ref. 20). Provided that the numerics is good enough, the degree of agreement depends on the microscopic dynamics. Furthermore, our value for $\tau_0$ is in line with the mismatch encountered in previous work20.

Discussion

The work reported here constitutes the first demonstration of universal KZ scaling of defects spontaneously created in the far-from-equilibrium dynamics of a strongly correlated field theory without quasiparticles. Holography allowed us to map the time dependence of this system to the evolution of a set of non-linear partial differential equations together with stochastically sampled boundary conditions. Our set-up is comparable to a holographic version of the Gross–Pitaevskii equation, applicable for systems without quasiparticles.

We introduced stochasticity by sampling boundary conditions from a Wiener process characterized by a phenomenological parameter $\sigma$. This is natural, because it constitutes only a minimal extension of the usual holographic dictionary, which tells us how to map bulk to boundary quantities, but has the limitation that there is a free parameter. While we found that the universal results concerning the scaling law as a function of the cooling rate are very robust to changes in $\sigma$, and the parameters $\tau_0^{\text{sim}}$ and $\sigma_0^{\text{sim}}$ of our study show only weak dependence, it would nevertheless be desirable to derive it from first principles. This means deriving the fluctuation–dissipation relation associated with the thermal Hawking radiation of the bulk black hole, which will force us to take into account quantum effects in the bulk gravity model along the lines of refs 38–40.

Our scaling results are consistent with exponents obtained in the mean field approximation of the boundary theory, even though the theory is not described by a simple Landau–Ginzburg (LG) model, as evidenced, for example, by a violation of the standard LG relation between correlation length above and below the transition (see Fig. 2 and its caption). The mean field-like scaling results are a consequence of working in the classical gravity limit, which, however, does manage to capture some features beyond mean field, notably the ratio of correlation lengths above and beyond the phase transition. In holography, this quantity takes the form of a ratio of bulk integrals44, and thus receives contributions from all scales, including the horizon, the source of dissipation in our holographic representation. The fact that dissipative dynamics are naturally incorporated into the theory from first principles makes holography a powerful tool to investigate strongly coupled superfluid and superconductor dynamics, as previously stressed.
in ref. 15, where the holographic origin of dissipation was crucial in establishing a turbulent direct cascade. In more conventional approaches, such as the stochastic Gross–Pitaevskii or LG approaches, dissipation has to be added by hand. A tempting next goal would be to investigate quench dynamics in theories that do not result in mean-field scalings. A much more ambitious (but perhaps not completely unrealistic) goal would be to study strongly coupled theories directly relevant to condensed matter physics.

An open question left unanswered by the present investigation is the origin of the saturation we observed. There are several plausible explanations, and we hope to return to a detailed investigation of the reasons for the saturation in the model we have presented here in forthcoming work.
Another interesting future project would be to study the effect of the noise in more detail. In addition to the first-principles derivation of the properties of the Wiener process, we have already noted, two distinct phenomenological tacks can be considered. We have already begun to investigate the effect of the amplitude of the Wiener process (our parameter $a$) applied at the boundary. The preliminary conclusion is that—at least within the range we have explored—the quantities we have followed are insensitively to suitably small $a$, with the dependence likely to be sublogarithmic. More detailed characterization of this dependence would be desirable. Furthermore, one can consider noise applied throughout the AdS$_3$ interior (rather than only on the boundary). This raises the possibility of seeding topological defects in the interior, and may pose the question of the relation between them and the behaviour of the field on the boundary.

Note added in proof: Related work by Chesler et al. recently explored KZ scaling in two-dimensional holographic superfluids.

**Methods**

**Bulk action and details of the holographic mapping.** The full action for the AdS$_3$ holographic superconductor reads

$$ S = \frac{1}{16\pi G_3} \int d^3x \sqrt{-g} \left( R + \frac{2}{3} \right) - \frac{q}{4} \sqrt{-g} d^3x \left( \frac{1}{4} F^2 + |D\phi|^2 + m^2 |\psi|^2 \right). $$

(19)

where $D\phi = \nabla\phi - A_\mu \partial_\mu \phi$ and we choose the case $m = 0$. We denote bulk indices running over $x, y, z$ by $\alpha, \beta, \gamma$ and boundary indices, running over $x, y, z$, with $t$. Taking $q \to \infty$ has the result of decoupling the gravity part from the matter part,

$$ j^\alpha = \nabla^\alpha \phi - A^\alpha \partial_\alpha \phi. $$

(20)

Covariant derivatives are taken with respect to a fixed background metric, which we take to be the metric of the BTZ black hole, written in ingoing Eddington–Finkelstein coordinates

$$ dx^2 = -f dt^2 - (z^2 + z d\Omega^2). $$

(21)

The coordinate $x$ is identified $x \sim x + C$ for the dynamical simulations, where for numerical convenience a rescaled coordinate $\psi = 2\pi x/C$ is used. The Eddington–Finkelstein time coordinate $t$ reduces to boundary time at $z = 0$, warranting the use of the same symbol for both. The coordinate $x$ is kept unidentified for the calculations of the quasinormal modes in section D. The length $L$ sets the AdS curvature scale; its role is to fix the number of degrees of freedom $N$ of the dual theory, which must be large for classical gravity to apply. At leading order in large $N$, this quantity scales out of the equations we solve. The metric function takes the fixed form $f = 1 - z^2/z_c^2$. For the scalar field, one finds the asymptotic expansion

$$ \phi(t, x) = \phi_0(t, x) + \phi_1(t, x)z^2 + \cdots $$

(22)

The interpretation of $\phi_0(t, x)$ is that it sources the symmetry-breaking operator $O(t, x)$, while $\phi_1(t, x)$ gives its expectation value

$$ \langle \phi_1 \rangle = \frac{1}{27} \langle O \rangle. $$

(23)

Therefore, the requirement that the symmetry be broken spontaneously means that we must set the source $\phi_0(t, x)$ to zero for all time. This translates into a homogenous Dirichlet boundary condition on the field $\phi(t, x, z)$ in the ultraviolet.

$$ \phi(t, x, z)|_{z = 0} = 0. $$

(24)

The vector field in AdS$_3$ is more subtle. Its asymptotic behaviour is given by

$$ A_j(t, x, z) = j^\mu(t, x, z) \delta(\lambda z^2) = \frac{1}{\lambda^2} A_j(t, x). $$

(25)

where the vector $j \mu$ is an external current in the boundary theory, not to be confused with the bulk current $j^\mu(t, x, z, \lambda)$. We introduced the scale $\Lambda$ to make the argument of the logarithm dimensionless. We shall see that our chosen boundary condition on $A_j$ is independent of this scale. Note that in the normal phase the solution is $j_\mu \log(z_0 \Lambda)$ and $\Lambda = z_0$ is enforced by regularity at the horizon. It is convenient to work in axial gauge so that $A_{\phi} = 0$ and thus the current $j_\phi(t, x)$ has components only in the field theory directions, $j_\phi(t, x)$. Note that this is not the same as choosing axial gauge in the Schwarzschild like coordinate system (39), in which the bulk metric is diagonal. In the latter choice of coordinates, the equations of motion imply the equation

$$ \partial_\mu \phi^\mu = 0. $$

(26)

Thus the current is conserved in the absence of a source for the operator $O(t, x)$ (We have denoted the current evaluated in the Schwarzschild like coordinates as $\phi^\mu$). From the point of view of the boundary field theory, this is simply the Ward identity for the one-point function of the current. Operationally, the conservation condition follows from the $z$-component of the Maxwell equations, which gives rise to a constraint. Turning to the gauge field, the boundary condition is

$$ \Pi \phi^\phi = \lim_{z \to \infty} \sqrt{-g} D^\mu \phi_{\mu} = J_\phi $$

(27)

where $\Pi \phi^\phi$ is the momentum conjugate to $A_\phi$, with respect to $z$ slicing $x$.

This is a Neumann boundary condition. Thus, we are free to fix $j(x, \phi)$ subject to the conservation condition, and leave $\phi(x, t, z)$ free to fluctuate. As stated above, the scale $\Lambda$ drops out from the boundary condition (27). This choice corresponds to a dual-vector operator of dimension $D = 1$, the right dimension for a dynamical gauge field in the boundary theory. Indeed, the residual gauge transformation preserving axial gauge $\phi(t, x, z)\to \phi(t, x, z) - i(x, t)$ acts on this as a standard field theory gauge transformation $a(t, x)\to a(t, x) + i(t, x)$, so that $a(t, x)$ is a bona fide fluctuating gauge field. We fix a constant background charge density, so that the current has the only non-vanishing component $j_\phi = \rho$.

**Details on numerics.** The simulations in this article were performed on a pseudo-spectral spatial grid comprised of 21 Chebyshev points in the radial direction and 111 plane waves in the angular direction of the boundary.

For each run, we start the system at the initial time slice in the normal phase, defined by setting to zero the field $\phi$ and giving the gauge field a non-trivial time component $\phi = \rho$. We then evolve forward in time, updating the noise according to the rule (16), implemented as a discrete Wiener process. We average over $O(10^3)$ noise realizations for each value of $t_0$ to compute $\langle \phi \rangle$. Computer codes used in the simulations of this work are available on request.

**Evolution scheme.** For the simulations reported on in this article, we employed a characteristic evolution scheme for the Maxwell and scalar fields. For gauge fixing $A_\phi = 0$, denoting $A_{\mu}(t, x, z) = \Pi_{\mu}(t, x, z), A_{\mu}(t, x, z) = X(t, x, a)$ and writing $\psi(t, x, z) = a(t, x, z) + i(x, t)$ we have four evolution equations and one constraint equation. In the numerical evolution, it proves convenient to work with rescaled fields, rather than the ‘bare’ ones appearing in the action (19). We furthermore subtract the leading log terms. Thus defining $\tilde{Z} = \tilde{Z}(t + \rho \log z)$ and $x = 2x$ we find the equations

$$ \left[ \frac{\partial_\rho - \frac{1}{2} \Phi^\nu \Phi^\nu = \tilde{S}[a, b, t, X] \right] $$

(30)

where the fields $\Phi^\nu$ are all fields other than $\tilde{Z}$. The sources $\tilde{S}$ and $\tilde{S}^\nu$ depend non-linearly on the fields as well as their spatial derivatives. In addition, the radial (component) of the Maxwell equation gives the constraint equation

$$ (1 - 2\theta_0 + 2z^2) \tilde{Z}_t^2 = \tilde{Z}^2 \left( 2 \int b_0 da - a \theta_0 \right) + z^2 \tilde{Z}^2 \tilde{X} + \tilde{Z}_0 \tilde{X}. $$

(31)

After the rescaling, the boundary conditions on $\tilde{Z}$ and $\tilde{X}$ are now

$$ \tilde{Z}(t, x, z)|_{z = 0} = \tilde{X}(t, x, z)|_{z = 0} = 0. $$

(32)

Note that equation (30) are linear equations for the time derivatives suggesting the following evolution scheme. Assume that at time $t = t_0$, the values of the fields $a, b, X$ are known. We can now use the constraint equation to solve for $\tilde{Z}(t_0, x, z)$ and then solve the linear equations for $x$ to obtain the time derivatives of $a, b, X$. We can then use our favourite time evolution scheme to obtain the values of $a, b, X$ on the next time slice $t_0 + \Delta t$. We have used explicit RK4 integration as well as simple forward Euler with good results. For 111 Fourier modes in the spatial boundary direction, the step size was taken to be $0.003/N_a$, where $N_a$ is the number of grid points in the radial direction. The scaling analysis of Fig. 5 was obtained on a grid of 21 points in the radial direction and 111 Fourier modes in the annular direction choosing a characteristic size of the ring $C = 50\Lambda$. It would be desirable to repeat the analysis for a larger ring with higher spatial resolution, which is likely to require more significant computing power, especially if higher statistics on noise realizations is desired.

The linear equations for $\Phi^\nu$ on a given time slice can then be solved efficiently and in parallel for each spatial direction. For the above-mentioned spatial grid $\Phi^\nu$, we choose a fixed time step of $\Delta t = 0.003/N_a$. To achieve stable long-time evolution, we filter out high-frequency modes in the $\phi$ direction using the Orszag 2/3 rule every five time steps. This can be achieved very efficiently using Fast Fourier Transform (FFT) and inverse Fast Fourier Transform (iFFT) methods. Owing to the presence of logarithmic terms in the asymptotics of the fields, one does not expect spectral accuracy, and this is borne out in preliminary convergence tests. We found that our evolution scheme is stable even in the presence of stochastic
Figure 5 | Universal scaling laws for the lag time and the dispersion of winding number. (a) Best fit to winding number scaling gives excellent agreement with values predicted by KZM. Shown is a fit to $\sigma(W)$, which gives $\sigma(W) = aW^b$, with $a = 2.34 \pm 0.25$ and $b = -0.126 \pm 0.02$, which should be compared with the prediction $\gamma_0 T_0^{-1/8}$, where equilibrium estimates for $\gamma_0$ give the value 3.99. The error bars in a were computed as the s.d. of the mean for each $T_0$ in our data set for winding numbers. The error bars in b give a single s.d. from the mean for each $T_0$ in the data set. We see that the dispersion in winding number saturates for rapid quenches. These deviations are accompanied by a deviation from the naive scaling prediction of $t^\gamma$ at the onset of saturation for $\sigma(W)$ (see also c and its description below). (b) Best fit to scaling of the lag time $\ell_\tau$. (c) Order parameter $O(t)$ averaged over the ring as a function of time, given in units of $t$. This last quantity is computed from the near-equilibrium critical behaviour of our system. We show four different values of the quench time, $T_0 = 10$ in black, $T_0 = 35$ in red, $T_0 = 120$ in blue and finally $T_0 = 300$ in green. The scaling collapse for lag time becomes more and more accurate for slower quenches, in accordance with the results in a,b, showing more significant deviations for fasts quenches.

boundary conditions simulating thermal noise and gives accurate results (for example, by comparing the dynamical solutions at late time to the equilibrium results obtained in the standard way from solving ODEs). We now turn to a detailed description of our implementation of stochastic boundary conditions.

Holographic renormalization. In axial gauge, and setting $\psi_{(0)} = 0$, the equations of motion (20) have solutions with asymptotic behaviour

$$A(x, t) = \psi_{(0)}(x) \log(x) + \psi_{(1)}(x) + \cdots$$

$$\psi_{(0)}(x) = x^2 \psi_{(2)}(x) + \cdots$$

We regularize the on-shell action by introducing a finite cutoff $x = \epsilon$, so that we now have

$$S = -\frac{1}{2 \epsilon} \int d^d x \sqrt{-g} \left[ \frac{1}{2} \left( (D \psi)(n) \right)^2 + \psi_{(0)}(x) \right] + E.M. + \cdots$$

$$= -\frac{1}{2 \epsilon} \int d^d x \sqrt{-g} \left[ \frac{1}{2} \left( \psi_{(0)}(x) \right)^2 + \psi_{(1)}(x) \right] + \cdots$$

where $n$ is the outward pointing unit normal to the boundary and $\gamma = \text{det}(g_{ij})$ the determinant of the induced metric. The omitted terms depend only on the boundary conditions $\psi_{(0)}$ and vanish in the limit $\epsilon \to 0$. The divergent terms can be cancelled by adding a counterterm

$$S_{\text{CT}} = -\frac{1}{2 \epsilon} \int d^d x \sqrt{-g} F_{ij} F^{ij} \log \epsilon.$$ 

As shown in ref. 29, this counterterm becomes a manifestly local boundary quantity when written in terms of a dual scalar field $S$, obtained from the two-form gauge field $F$ via $F = * d S$.

Noise. Under classical evolution, in the case in hand corresponding to the classical large $N$ limit of the dual field theory, fluctuations are suppressed and the symmetry of the order parameter cannot be dynamically broken. Said differently, even though below $T_c$ the phase with $\langle O \rangle = 0$ is unstable, there is nothing in the classical evolution equations to push the order parameter off its precarious perch on top of the potential. Taking our lead from the literature on BEC dynamics using the stochastic Gross–Pitaevskii equation\(^7\), we add fluctuations by sampling from boundary conditions from a thermal noise distribution, that is from a Wiener process. Thus, the boundary conditions for $a(t, z, x)$ and $b(t, z, x)$ should be modified. Instead of imposing the strict Dirichlet boundary condition (24), we impose this condition on average, that is, we impose $\langle a_{(0)}(t, x) \rangle = \langle b_{(0)}(t, x) \rangle = 0$, with

$$\langle a_{(0)}(t, x) a_{(0)}(t', x') \rangle = \frac{2}{T_c} \delta(t - t') \delta(x - x')$$

$$\langle b_{(0)}(t, x) b_{(0)}(t', x') \rangle = \frac{2}{T_c} \delta(t - t') \delta(x - x')$$

where $\langle (\cdot, \cdot) \rangle$ denotes noise average and $\psi_{(0)} = a_{(0)} + i b_{(0)}$. Usually, one determines $\sigma$ from a fluctuation–dissipation relation as $\sigma = 2 \gamma T_c$, where $\gamma$ is a damping parameter. In this work, we treat $\sigma$ as a phenomenological parameter. We found that varying $\gamma$, even by several orders of magnitude, has little influence on the scaling results, but we do find a weak dependence of the absolute magnitude of $\ell_\tau$ on $\gamma$. Clearly, it is desirable in future to determine $\gamma$ from a first-principles holographic calculation.

In practice, the noisy boundary condition is realized by sampling each spatial boundary point from an independent normal distribution of zero mean and unit variance $N(0, 1)$. That is, we set

$$a_{(0)}(t, x) = a_0(t) + i b_0(t),$$

where $a_0(t)$ is a Gaussian random variable.

for each boundary point $x$. Note that one cannot choose both the boundary value of $a_0$ and $b_0$, independently, since they are constrained by the current Ward identity (26). This equation is consistent only for $b_0 = 0$, so in what sense can one choose the noisy boundary condition above? This can be seen by considering the noise as a small perturbation $\partial_j \delta \psi_{(0)}$ satisfying

$$\partial_j \delta \psi_{(0)} = \partial_j \left( \delta \psi_{(0)}(O) + \delta \psi_{(0)}(O) \right) = 0.$$
show no detectable dependence on sampling frequency, which implies that we stayed far away from this lower limit throughout. An illustration of the dependence of the evolution on the noise parameter is given in Supplementary Fig 1.

Quasinormal mode analysis. We find it convenient to perform this analysis using Schwarzschild coordinates, in which the background metric takes the form

$$\mathrm{d}s^2 = \frac{\mathrm{d}t^2}{f(z)} - \frac{\mathrm{d}z^2}{f(z)} + \mathrm{d}x^2,$$

with the same function $f = 1 - z^2 / 2$ used throughout and Schwarzschild time $t$. We then expand the full equations of motion to linear order around a background solution. An important simplification is that to determine poles in the correlation function of the order parameter, we only need to consider scalar operators, since these cannot mix with vector modes to first order in perturbation theory. We start with an analysis of the normal phase. Infinitesimal gauge transformations of the background configuration induce first-order changes in the metric scalar and gauge fields. To extract physical poles of correlation functions, it is most convenient to determine the minimal set of gauge-invariant fluctuations contained in the background configuration (here the order-parameter fluctuation). In the broken-symmetry phase, this requires more work, but in the symmetric phase the analysis is very simple. A suitable set of gauge-invariant modes are given by the real and imaginary parts of the complex scalar containing the mode of interest (here the order-parameter fluctuation). In the UV, we only need to consider scalar operators, since we cannot generate a real part of the scalar perturbation in this way, because the combination $a_0$ and $z_0$ transforms as a vector, but the combination $a_0 + iz_0$ transforms as a scalar, which together contribute to the linear equations for the condensate fluctuation.

Since this combination vanishes at zero temperature, it does not appear in the dynamic susceptibility calculation. In the case of the dynamic susceptibility, $k = 0$, the vector-like perturbation $a_0$ decouples, and we have the equations

$$\Phi^*_0 + \left(1 + \frac{1}{2} k^2\right) \Phi_0' + W_1 (T_0) \Phi_0 + W_2 (T_0, a_0, \phi) \Phi_0 = 0,$$

with the coefficients

$$W_1 = \frac{\delta^2 E}{\delta \phi^2} + \frac{1}{f(z)} \frac{\delta^2 f}{\delta z^2} f^{-1},$$

and

$$W_2 = \frac{1}{f(z)} \left[ \frac{1}{f(z)} \frac{\delta^2 f}{\delta z^2} f^{-1} \right] + \frac{1}{f(z)} \left[ \frac{1}{f(z)} \frac{\delta^2 f}{\delta z^2} f^{-1} \right] + \frac{1}{f(z)} \left[ \frac{1}{f(z)} \frac{\delta^2 f}{\delta z^2} f^{-1} \right].$$

For completeness, we also present the decoupled equation for the remaining gauge-invariant mode

$$\Phi^*_0 + \left(1 + \frac{1}{2} k^2\right) \Phi_0' + \frac{\delta^2 E}{\delta \phi^2} + \frac{\delta^2 f}{\delta z^2} f^{-1} = 0.$$
