Supermetallic and Trapped States in Periodically Kicked Lattices

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A periodically driven lattice with two commensurate spatial periodicities is found to exhibit super metallic states characterized by enhancements in wave packet spreading and entropy. These resonances occur at critical values of parameters where multi-band dispersion curves reduce to a universal function that is topologically a circle and the effective quantum dynamics describes free propagation. Sandwiching every resonant state are a pair of anti-resonant trapped states distinguished by dips in entropy where the transport, as seen in the spreading rate, is only somewhat inhibited. Existing in gapless phases for the spectrum, a sequence of these peaks and dips are interspersed by gapped phases associated with flat band states where both the wave packet spreading as well as the entropy exhibit local minima.

Periodically driven systems exhibiting novel topological properties have been the subject of numerous recent studies, motivated by new methods to achieve and control topological structures by external driving $^{[1]}$ $^{[2]}$. In addition to $Z_n$ invariants, the Chern numbers, non-equilibrium systems exhibit nontrivial topology that require new invariants as such as the winding number of the quasienergy. Topological aspects characterized by the winding numbers have no analog in the corresponding static systems and their importance has emerged in several recent studies on topological insulators, seen as exotic states of matter that are insulating in the bulk but conduct along the edges $^{[3]}$. In particular, winding numbers of the quasienergy have been associated with topologically protected edge modes $^{[2]}$, in a manner unrelated to the Chern numbers.

In contrast to previous studies that focus on insulating states of matter with topologically protected gapless edge modes, the central focus of this paper is on metallic phases exhibiting ballistic transport, characterized by the quadratic spreading of wave packets in time. We find that at special parameter values, where the quasienergy bands are associated with integer winding numbers, metallic states are found to exhibit strong enhancement in the transport properties. We refer to this behavior as super metallic and show that these are resonances where the multi-band structure collapses to a single band with a dispersion curve resembling a Lissajous figure. The resonances for different windings are described by a universal function that gives rise to an effective Hamiltonian exhibiting free-particle dynamics. These Lissajous resonant states are accompanied by another type of behavior characterized by a sharp drop in the entropy associated with an evolving wavepacket though there is little corresponding effect on the spreading. This latter phenomenon is accompanied by a phase jump of $\pi$ at neighboring sites near the localization center, suggestive of destructive interference. It should be noted that both behaviors occur only when the spectrum is gapless corresponding to metallic transport at all temperatures.

Though we stress situations where the spectrum is gapless, we also find a special set of parameter values corresponding to gapped phases that exist inbetween the metallic regions. At these critical values transport as measured by wave packet spreading exhibits local minima as does the entropy. These points are found to correlate with novel band structure as the quasienergy bands exhibit a partial flattening and hence correspond to zero group velocity. Overall, the system under investigation exhibits extremely rich and complex band structure that leads to a number of interesting dynamical effects.

The periodically kicked spatially modulated lattice considered is described by the following Hamiltonian.

$$
H = \frac{1}{2} \sum_m \left( \hat{b}_m^{\dagger} \hat{b}_{m+1} + H.c. \right) + K \sum_m \cos (2\pi \sigma m) \hat{b}_m^{\dagger} \hat{b}_m \sum_n \delta(t/\tau - n),
$$

where $\hat{b}^{\dagger}$ is the creation operator for the quantum particle, be it bosonic or a fermion. Here $J$ is the nearest-neighbor hopping term and $K$ describes the strength of the stroboscopic time dependent onsite potential with period $\tau$. The parameter $\sigma$ introduces a competing periodicity and with the choice $\sigma = 1/q$, where $q$ is an integer, the resulting superlattice is periodic with a period $q$. In the time-independent situation where the onsite potential is always on, periodic lattices are characterized by the band structure and Bloch states, namely the eigenstates and eigenvalues of the Hamiltonian. Analogously, periodically driven systems are studied in terms of the properties of their time-evolution operators $U(\tau)$ acting over one full period of the drive. Each eigenstate of $U$, called a Floquet state, accumulates a phase over one period of the driving which is the quasienergy associated with that state. However, because the quasienergy is defined as a phase variable, it is periodic with period $2\pi$. This periodicity introduces a topological structure, associated with the winding of quasienergy, which has no analog in static systems.

Since our Hamiltonian is periodic in time, we have

$$
\psi(t) \equiv \psi_\omega(t) = e^{-i\omega t} \phi_\omega(t),
$$

with $\phi_\omega(t + \tau) = \phi_\omega(t)$. The non-equilibrium system is described by the eigenvalue equation,

$$
\tilde{U} \psi_\omega(t) = e^{-i\omega \tau} \psi_\omega(t),
$$

where $\omega$ is the quasienergy of the system, while $\phi_\omega$ is the corresponding quasienergy state. For systems with discrete translational symmetry, we index the quasienergies by the crystal momentum $k$ and define a quasienergy band structure. The
phase factors or quasienergies may exceed a value of $2\pi$ leading to the winding of the quasienergy bands as the Bloch index $\kappa$ runs over the first Brillouin zone (BZ). For simplicity, we will set $\tau = 1$ and therefore, the quasienergies are measured in the unit of the driving frequency $\tau^{-1}$.

For the special case of $\sigma = 1/2$, the system maps onto a spin-1/2 system and can be solved analytically. The quasienergies are given by,

$$\omega_{1,2}(\kappa) = \pm A r c \cos [\cos(\bar{K}) \cos (\bar{K} \zeta)]$$

where $\bar{K} = \frac{K}{2\pi \sigma}$ and $\zeta = \cos (\pi \kappa)$. The corresponding eigenvectors are,

$$\psi_{\omega_1} = \begin{bmatrix} \cos \beta, \sin \beta e^{i \theta} \end{bmatrix},$$

$$\psi_{\omega_2} = \begin{bmatrix} \sin \beta, \cos \beta e^{i \theta} \end{bmatrix},$$

where $\beta$ and $\theta$ are given by $\tan \beta = \frac{\sin(\bar{K}) \cot (\bar{K} \zeta)}{\sqrt{1 - \cos^2 (\bar{K} \cos (\bar{K} \zeta))/(\sin(\bar{K} \zeta))}}$ and $\theta = (\bar{K} + \kappa)$.

With the exception of this exactly solvable $\sigma = 1/2$ case, lattices for all other values of $\sigma$ require numerical diagonalization of a matrix representation of $U$ to obtain their spectral features. In addition to spectral properties, we also consider the temporal dynamics of an initial state. We have investigated a variety of initial conditions including initial states localized at a single or multiple sites as well as Gaussian wave packets. We considered lattices of various sizes up to $2^{15}$, varying also the total number of kicks, where longer time evolutions require bigger lattice sizes in order to avoid boundary effects.

We note that due to the spatial periodicity of the lattice, all quasienergy states are extended. Therefore, irrespective of the value of the parameter $K$, the wave packet grows ballistically in time, with root mean square displacement increasing quadratically, $\sum_m |\psi_m|^2 m^2 \propto t^2$. In order to quantify the temporal dynamics we construct scaled variables defined as,

$$X^2 = \frac{1}{T^2} \sum_m |\psi_m|^2 m^2$$

$$S = \frac{1}{T} e^{-\frac{1}{T} \sum_m |\psi_m|^2 \sum_n \langle m|n|\psi_m|^2},$$

where $T$ is the total number of kicks that an initial condition is subjected to.

Figure 1 illustrates changes in spectral and temporal characteristics as the kicking parameter $K$ is varied. The quasienergy spectrum in the bulk, for both values of $\sigma$, shows gapless as well as gapped phases with considerably more spectral complexity seen in the case of $\sigma = 1/3$. As indicated in the figure, we identify three distinct types of behaviors, referred as super-metallic or Lissajous resonant (R), a contrasting anti-resonant (AR) and finally flat-band (F), where the reasoning behind this nomenclature will soon be made clear. In terms of the transport measures, the R states are distinguished by local maxima in $X^2$ and $S$ while AR states display sharp dips in $S$. There is no clear evidence of AR in $X^2$. By contrast, the F states which appear in the gapped phase exhibit local minima in both $X^2$ and $S$. By scanning the kicking parameter $K$, a cascade of parametric windows hosting these states at special values are observed. The simple $\sigma = 1/2$ case does not exhibit AR behavior and, in our numerical study of various commensurability parameters, $\sigma = 1/3$ is the simplest case that captures the key characteristics and, as such, we will use it to illustrate our findings.

We begin with a detailed analysis of the super metallic resonant states which occur for parameter values resulting in a gapless spectrum. We note that the band structure for arbitrary $\sigma = 1/q$ consists of a family of $q$-dispersion curves that overlap in energy. In a periodically driven system, where the quasienergy is defined as a phase factor and may change by an integer multiple of $2\pi$ as one traverses the BZ, the possibility emerges that the quasienergy bands could meet (actually intersect tangentially) at critical values of the parameter governing the spectrum ($K$). In view of the periodicity of both
the quasienergy and the reciprocal space, for integer windings of
the quasienergy, a union of q-dispersion results in curves in a
band structure that resembles a Lissajous figure as illustrated
in the Fig. 2. Furthermore, the Lissajous figure seen in the
fundamental domain of the BZ can be mapped to a single curve,
also shown in Fig. 2, that is not only continuous but also an
analytic function of the Bloch index $\kappa$ in an extended BZ of
size $q$ times the size of the original BZ. Away from these spe-
cial $K$ values, this intriguing union of dispersion curves does
not occur as seen in Fig. 1 by contrasting the band structure
at and near $R$. For $\sigma = 1/3$, the Lissajous resonances occur at $K_n^\ast = 2n(2\pi\sigma)^2$ with the band structure for different $n$-values de-
scribed, in the extended BZ, is found to fit into a single uni-
versal function,

$$\frac{\omega^\ast(\kappa)}{2\pi} = n(1 - \sigma)[1 - \cos 2\pi\sigma(\kappa - \kappa_0)],$$

with $\kappa_0 = \frac{1}{2}$. As illustrated in Fig. 2 (upper panel), the
band in the extended BZ with net winding number zero can be
identified with three winding numbers, $(0, n, -n)$ cor-
responding to the winding numbers in each of the three regions
of BZ that form the extended zone. Furthermore, the band is
topologically a circle, suggestive of a metallic state that may
to be topologically nontrivial.

The spectral function $\omega^\ast(\kappa)$ can be viewed as resulting
from an effective Hamiltonian of a free particle, resulting in
free propagation of matter waves. Taking into account the fact
that $X^2$ exhibits a peak as well at these $K$ values indicating
maximal transport, we will refer to these resonant states as su-
per metallic. This nomenclature is supported on viewing the
Bloch states describing the super metallic states which have
equal amplitudes at all sites, that is independent of the Bloch
index $\kappa$ (see lower panel in Fig. 2), except at isolated points
where the curves intersect. The resonant state can be viewed
as a kind of ergodic state as all allowed quasienergy states
are uniformly populated, differing only in their phases that
assume all possible values. The existence of these Lissajous
bands is a unique feature of driven lattices with no counterpart
in the equivalent static systems where energy has an upper and
lower bounds. We note that the resonant behavior character-
ized by the functional form $\cos(2\pi\kappa)$ appears to be a generic feature of the system valid for all values of $\sigma$ as verified nu-
merically for $\sigma = 1/4, 1/5, 1/6$ and by analytic calculation
for $\sigma = 1/2$ shown below.

The integrable case of $\sigma = 1/2$, that exhibits resonances
at semimetallic diabolic points [4] which occur at $K^\ast = n(2\pi\sigma)^2$, provides a simple analytic illustration of the vari-
ous characteristics associated with the resonant behavior de-
scribed. At these critical values, the quasienergy dispersion
(3) simplifies to $\frac{\omega^\ast}{2\pi} = \pm n(1 - \sigma)(1 + \cos 2\pi\sigma\kappa)$. In
the extended BZ, these two curves can be described by a single
curve $\frac{\omega^\ast}{2\pi} = 2n(1 - \sigma)\cos 2\pi\sigma\kappa$. Furthermore, as seen from
Eq. (4), the magnitude of the quasienergy wave functions be-
comes $\kappa$ independent and all states are equally populated with
$\beta = \frac{\pi}{2}$ and $\theta = \pm \kappa$ illustrating ergodic character of the reso-
nances mentioned above.

An intriguing aspect of the quantum dynamics in the metal-
lic gapless phase is the presence of characteristics dips in the
entropy, occurring in close proximity to the resonant points.
These are in fact satellite dips that accompany every resonance
as revealed in our studies with different values of $\sigma$. We em-
phasize that these dips occur inside the parametric windows
where the bands overlap. However, the dips are not associated
with any special spectral features of the bulk and the wave
packet spreading remains relatively immune to these sharp
decreases in the entropy as illustrated in Fig. 1. Further,
as seen from Fig. 3, a time evolved initial condition shows
distinct characteristics both in terms of the amplitude at lat-
tice sites as well as in the phase of the projections. The spatial
profile of the time evolved state displays both localized and
extended components. It should be noted that the wave packet
remains localized at the initial site for all finite times, with
the height of the peak decreasing very slowly with increasing
$T$. The phase of the wave packet projected onto lattice sites
shows a clear phase jump of $\pi$ for neighboring sites near the
localization center. This is in sharp contrast to what is seen
at the Lissajous resonances, where the wavepacket is seen to

\[\text{FIG. 2: (color online) (Top row) The two figures illustrate the col-
lapse of the multi-band structure into a single band at } K^\ast. (\text{Left}) \text{ the three bands are shown while in (Right) their representation as a dis-
} \text{persion curve in the extended Brillouin zone is shown. This occurs at all the loca-
tions exhibiting resonant (R) behavior seen in Figure 1. We note that periodicity of}\ \omega \text{ by multiples of } 2\pi n \text{ along with the periodicity of the BZ is essential in this construction and the \figure is topolog} \text{y}}\text{ally a circle. As seen from the figure, with the net wind-
ing number equal to zero, the extended BZ consisting of three BZ can be associated with winding numbers 0, 2 and } -2. \text{ The lower panel shows the corresponding Bloch states as a function of Bloch index } \kappa \text{ at (in red) and near (in black) the AR behavior. The AR Bloch states have equal amplitudes for all values of } \kappa \text{ except where the bands intersect.} \]
amination of the band structure at these points reveal partially flattened band structure as seen in the F spectrum shown in Fig. 1. We note that these points have analogies with the integrable case of \( \sigma = 1/2 \) where the minima occur at \( K = \frac{\pi}{2} \) where the quasienergy band collapses to \( \omega_n = \pm \frac{\pi}{2} \) for all values of the Bloch index \( \kappa \). Critical parameters corresponding to local minima in \( X^2 \) are also accompanied by a local minima in the entropy. At these points, as expected from these features, the temporal evolution of an initial condition exhibits strong localization at the starting location.

Arising from the integer windings of the quasienergies, and characterized by a universal band structure that is topologically a circle, the super metallic states are robust against various perturbations due to their insensitivity to continuous deformations of the quasienergy spectrum. This suggests that these resonant states may describe metallic states that are topologically nontrivial. With no counterpart in the corresponding static system, this phenomena results from the commensurability of quasienergies, the phase factors accumulated during quantum evolution, and the periodicity of the reciprocal space. We would like to reiterate that the super-metallic behavior described here refers to enhancement in the transport that is ballistic, as root mean square displacement grows quadratically with time, and hence is quite distinct from other phenomena referred to as super diffusive. Also the possible topological aspect is unrelated to the states that have been referred as topological-metallic states [5]. Further, the dynamically generated anti-resonant states which accompany this behavior are particularly intriguing and deeper understanding of their existence remains somewhat elusive.

Finding new topological states of matter continues to remain an active frontier in condensed matter and AMO physics. With intense research focused on topological insulators, our results suggest new avenues involving states that are metallic and whose origin in tied to invariants that describe global properties of quasienergy states. These resonances suggest new ways to engineer band structures that leads to states of enhanced conductivity. Similarly, the associated anti-resonances that correspond to almost localized wave packet may provide a new method to create trapped states.

Superlattices have been studied in ultracold [7] and also photonic laboratories [8]. Furthermore, periodically driven systems have been realized in laboratories as Floquet topological insulators [9] and also in a context related to quantum chaos [10]. We note that the resonances observed here may have counterparts in the semiclassical limit and hence may be analogous to transitions described in earlier studies [11]. Finally, periodically driven superlattices may serve as new paradigms to explore recent emergent phenomena seen in chains that are open to the environment [12] and may simulate new avenues of exploration in periodically driven quantum Hall [13] as well as in superconducting chains that host Majorana modes [14].

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