Identifying Sources of Inter-Annotator Variation: Evaluating Two Models of Argument Analysis

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Abstract

This paper reports on a pilot study where two Models of argument were applied to the Discussion sections of a corpus of biomedical research articles. The goal was to identify sources of systematic inter-annotator variation as diagnostics for improving the Models. In addition to showing a need to revise both Models, the results identified problems resulting from limitations in annotator expertise. In future work two types of annotators are required: those with biomedical domain expertise and those with an understanding of rhetorical structure.

1 Introduction

Given the vast and growing body of biomedical research literature being published there is a need to develop automated text mining tools that will assist in filtering out the information most useful to researchers. Previous studies applying Argumentative Zoning (AZ) (Teufel et al. 1999) and Zone Analysis (ZA) (Mizuta et al. 2005) have shown that an analysis of the argumentative structure of a text can be of use in Information Extraction (IE). As an alternative approach, it was believed that Toulmin’s work on informal logic and argument structure (1958/2003) could reflect the rhetorical strategies used by the authors of biomedical research articles.

In order to compare and evaluate these approaches two Models of argument were applied to the same set of biomedical research articles. Inter-annotator agreement/disagreement between and within Models was examined. Given that human-annotated data are ultimately to be used for machine learning purposes, there is growing recognition of the need to analyze coder disagreements in order to differentiate between systematic variation and noise (e.g. Reidsma and Carletta 2008). The goal of this study was to identify systematic disagreements as diagnostics for improving the Models of argument.

2 Annotation Project

The two Models of rhetoric (argument) in Tables 1 and 2 were applied to a corpus of 12 articles downloaded at random from the BMC-series (BioMed Central) of journals. The corpus covered nine different domains, with a total of 400 sentences; the three annotators worked independently. Although the entire articles were read by the annotators, only the sentences in the Discussion section were argumentatively categorized. The annotators were the study coordinator (B, a PhD student in Computational Linguistics and current author) and two fourth year undergraduate students from the Bachelor of Medical Sciences program at The University of Western Ontario (J and K).

Coders annotated one article at a time, applying each of the two Models; no sentence was allowed to be left unannotated. In cases where an annotator was conflicted between categories guidelines for ‘trumping’ were provided with the Models. (For details on the Models, trumping systems, instructions to annotators, corpus data and a sample annotated article please see www.csd.uwo.ca/~mercer/White_Thesis09.pdf.)

The first model (Model 1) of argumentation to be applied stems from work in AZ and ZA and was adapted by White. It focuses on the content of a text, essentially differentiating ‘new’ from ‘old’ information, and results from analysis (Table 1). The second model is based on the concepts and language of Toulmin (1958/2003). Jenick applied Toulmin to create a guide for writing medical research articles (2006) and Graves (personal communications 2008, 2009) further adapted these ideas to work with our corpus (Model 2). Its main focus is to identify ‘Claims’ being made by the authors, but it also differentiates between internal and external evidence, as
well as categories of explanation and implication (Table 2).

| Category             | Specifications                                |
|----------------------|----------------------------------------------|
| CONTEXT (1)          | Background, accepted facts, previous work, motivation |
| METHOD (2)           | Methods, tools, processes, experimental design |
| CURRENT RESULTS (3)  | Findings of current experiment               |
| RESULTS COMPARED (4) | Current results support or contradict previous work |
| ANALYSIS (5)         | Possible interpretations or implications of current or previous results, significance or limitations of their study |

Table 1: Model 1 categories (White 2009)

| Category              | Specifications                                |
|-----------------------|----------------------------------------------|
| EXTRANEOUS (0)        | Statements extraneous to authors’ argumentation, not related to a CLAIM |
| CLAIM (1)             | Proposition put forward based on analysis of results |
| GROUNDS (2)           | Internal evidence from current study         |
| WARRANT/ BACKING (3)  | Understanding of the problem, or data, from other studies |
| QUALIFIER (4)         | Possible explanations for results, comparisons with external evidence |
| PROBLEM IN CONTEXT (5)| Implications for the field, future research directions |

Table 2: Model 2 categories (Toulmin 1958, Jenicek 2006, Graves 2009)

2.1 Results

Data were compiled on individual annotator’s argument category choices for each of the 400 sentences, for each Model of rhetoric. This allowed comparisons to be made between the two Models, within Model by category, and between annotators. Although the coders had different backgrounds, they were treated as equals i.e. there was no ‘expert’ who served as a benchmark. There were three possible types of inter-annotator agreement: we all agreed on a choice of category, we all differed, or two annotators agreed and the third disagreed. This latter group of two-way agreement (also implying two-way variation) was broken down into its three possibilities: J and K agreed, and differed from B (JK–B), J and B agreed, and differed from K (JB–K), or B and K agreed, and differed from J (BK–J) (Table 3).

| Model 1 | Model 2 |
|---------|---------|
| All agree | 242 | 60.50% | 157 | 39.25% |
| All disagree | 15 | 3.75% | 33 | 8.25% |
| JK–B | 32 | 8.00% | 71 | 17.75% |
| JB–K | 42 | 10.50% | 68 | 17.00% |
| BK–J | 69 | 17.25% | 71 | 17.75% |
| Total | 400 | 100% | 400 | 100% |

Table 3 Number of sentences in agreement groups

The overall (three-way) inter-annotator agreement was higher for Model 1 at 60.5%, with Model 2 at 39.25%. All annotators were less familiar with Model 2 than Model 1, and the former had one more category, thus there was more opportunity to disagree. Although there is no guarantee that three-way agreement implies we were all ‘right’, it does suggest a shared understanding of what the Model categories describe. On the other hand, there were instances of sentences under both Models where three different categories had been chosen but they could all seem to legitimately apply. In addition, in sentences which are argumentatively and/or grammatically complex, where one is forced to choose only one categorization, it is often difficult to decide which is the most appropriate.

Given the difference in academic background of the annotators, one hypothesis had been that J and K would be more likely to agree with each other and differ from B, the coder who was not knowledgeable in the biomedical sciences. As can be seen in Table 3, however, this did not turn out to be the case.

3 Sources of Inter-Annnotator Variation

It was crucial to examine inter-annotator disagreement within each Model in order to determine the categories that were particular sources of variation. As a reference point for this, and for looking at individual annotator preferences, I present in Tables 4 and 5 the overall distribution of argument categories within Model. These are calculated on the basis of all 1200 annotation tokens (400 sentences * 3 annotators) across the corpus.
3.1 Model 1

| Category               | Tokens | Percent |
|------------------------|--------|---------|
| CONTEXT (1)            | 337    | 28.0%   |
| METHOD (2)             | 128    | 10.7%   |
| CURRENT RESULTS (3)    | 189    | 15.8%   |
| RESULTS COMPARED (4)   | 114    | 9.5%    |
| ANALYSIS (5)           | 432    | 36.0%   |
| **Total**              | 1200   | 100%    |

Table 4 Overall distribution by category – Model 1

The CONTEXT category was developed in order to filter out background (‘old’) material. Although this seemed straightforward, the results showed that CONTEXT was the largest source of inter-annotator variation under Model 1: of the 158 sentences that had some degree of inter-annotator variation, almost two-thirds (100) involved some variation between CONTEXT and another category. The primary reason for this was that frequently sentences in our corpus that included category (1) material also included material suited to other categories (typically ANALYSIS or RESULTS COMPARED) i.e. they were complex sentences. There was also inter-annotator disagreement between CURRENT RESULTS (3) and RESULTS COMPARED (4); this was to be expected given the potential overlap of content when discussing the authors’ current study, especially in complex sentences.

3.2 Model 2

| Category             | Tokens | Percent |
|----------------------|--------|---------|
| EXTRANEOUS (0)       | 250    | 20.8%   |
| CLAIM (1)            | 185    | 15.4%   |
| GROUNDS (2)          | 218    | 18.2%   |
| WARRANT/BACKING (3)  | 215    | 18.0%   |
| QUALIFIER (4)        | 256    | 21.3%   |
| PROBLEM IN CONTEXT (5)| 76     | 6.3%    |
| **Total**            | 1200   | 100%    |

Table 5 Overall distribution by category – Model 2

The EXTRANEOUS category had been developed for sentences of a ‘background’ nature, which did not fit into the Toulmin argument structure i.e. they did not seem to relate directly to any CLAIM. Of the 243 sentences with some degree of inter-annotator variation under Model 2, 101 involved the EXTRANEOUS category. This variation a) showed that there were problems in understanding argument structure, and b) reflected the differences in annotator preferences (Table 7).

Model 2 is crucially a CLAIMS-based system, so variation between CLAIMS and other categories is particularly significant, especially since it is assumed that this might be the category of greatest interest to biomedical researchers. There were 52 sentences which involved some variation between CLAIM (1) and QUALIFIER (4), a fact which revealed a need to make clearer distinctions between these two categories. Many sentences in our corpus seemed to meet the specifications for both categories at the same time i.e. they were both an explanation and a conclusion. There were 46 sentences involving some disagreement between (4) and WARRANT/BACKING (3). The source of this variation seemed to be the difficulty deciding whether the ‘compare and contrast with external evidence’ aspect of (4) or the straightforward ‘external evidence’ of (3) was more appropriate for certain, especially complex, sentences.

3.3 Annotators

Under Model 1 the three annotator columns show a relatively similar distribution (Table 6). The exception is that J was less inclined to select the CONTEXT category, and more inclined to select RESULTS COMPARED, than either B or K.

| Category       | B     | J     | K     | Total |
|----------------|-------|-------|-------|-------|
| CONTEXT (1)    | 121   | 92    | 124   | 337   |
| METHOD (2)     | 39    | 43    | 46    | 128   |
| CURRENT RESULTS (3)| 59 | 67   | 63    | 189   |
| RESULTS COMPARED (4) | 36 | 57   | 21    | 114   |
| ANALYSIS (5)   | 145   | 141   | 146   | 432   |
| **Total**      | 400   | 400   | 400   | 1200  |

Table 6 Category distribution by annotator – Model 1

Under Model 2 we see an extreme range among annotators in the number of sentences they identified as EXTRANEOUS with J having more than twice as many as B (Table 7). This degree of annotator bias guaranteed that category
(0) would be involved in considerable inter-annotator disagreement. The other notable skewing occurred in categories (1) and (4) where B and J shared similar numbers as opposed to K: K had 91 sentences as CLAIM, almost twice as many as B or J, and only 50 sentences as QUALIFIER, roughly half as many as B or J.

| Category                | B    | J    | K    | Total |
|-------------------------|------|------|------|-------|
| EXTRANEOUS (0)          | 54   | 116  | 80   | 250   |
| CLAIM (1)               | 45   | 49   | 91   | 185   |
| GROUNDS (2)             | 86   | 61   | 71   | 218   |
| WARRANT/BACKING (3)     | 81   | 49   | 85   | 215   |
| QUALIFIER (4)           | 108  | 98   | 50   | 256   |
| PROBLEM IN CONTEXT (5)  | 26   | 27   | 23   | 76    |
| **Total**               | 400  | 400  | 400  | 1200  |

Table 7 Category distribution by annotator – Model 2

In addition to the systematic annotator preferences discussed above there were instances of ‘errors’, choices which appear to be violations of category specifications. These may be the result of haste or inattention, insufficient training or a lack of understanding of the article’s content or the Models.

### 3.4 Corpus Data

It was assumed that longer sentences would be more likely to be complex and thus more likely to involve inter-annotator variation. The results showed that the articles with the smallest (19) and largest (31) average number of words per sentence did exhibit this pattern: the former ranked highly in three-way annotator agreement (first under Model 1 and second under Model 2) and the latter second lowest under both Models. However, between these extremes there was no clear relationship between sentence length and overall coder agreement under either Model. The most striking finding was the wide range of three-way coder agreement among the twelve articles in the corpus: from 36% to 81% under Model 1 and 8% to 69% under Model 2. The averages in Table 3 mask this source of inter-annotator variation.

### 4 Conclusion

The problem of choosing a single argument category for a complex sentence was at the core of much of the inter-annotator variation found under both Models. The issue of sentences which are rhetorically but not grammatically complex e.g. those with a single tensed verb that seemed to qualify as both a CLAIM and a QUALIFIER under Model 2 should be dealt with where possible by revising the category specifications. However sentences that are grammatically complex should be divided into clauses (one for each tensed verb) as a pre-annotating process. Although this creates more units and thus more opportunities for coders to disagree, it is believed that reducing uncertainty by allowing a different argument category for each clause would be worth the trade-off.

Although Model 1 had higher average three-way agreement at 60.5% than Model 2, this was still relatively poor performance. As discussed above the clear problem with this Model is the CONTEXT (1) category. Research scientists are always working within and building on previous work – their own and others’; thus ‘old’ and ‘new’ information are inherently intertwined. Therefore this category needs to be revised, possibly separating specific previous studies from statements related to the motivation for or goals of the current experiment. As discussed above, the EXTRANEOUS category of Model 2 needs to be redefined, and the CLAIM and QUALIFIER categories must be clearly distinguished. Despite the relatively poor performance of Model 2, with the above improvements it is believed that a CLAIMS-based Model is still a good candidate for developing future IE tools.

Annotator bias reflects the fact that coders did not have sufficient understanding of rhetorical techniques and structure, but also the problems with category specifications noted above. The extreme ‘inter-article’ variation (Section 3.4) indicates that when texts are not clearly written, an annotator’s lack of knowledge of biomedicine and/or argument are even more problematic. Since the quality of writing in a corpus is a factor that cannot be controlled ‘team’ annotations are recommended: a biomedical domain expert should work together with an expert in rhetoric.

It must be admitted, however, that even with improvements to the Models of argument and using annotators with more domain expertise, some degree of inter-annotator disagreement will inevitably occur as a result of individual differences. Ultimately annotators are making judgments – about texts and arguments that were created by others – that are somewhat subjective.
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