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The Ultramassive White Dwarfs of the Alpha Persei Cluster

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Abstract

We searched through the entire Gaia EDR3 candidate white dwarf catalog for stars with proper motions and positions that are consistent with them having escaped from the Alpha Persei cluster within the past 81 Myr, the age of the cluster. In this search we found five candidate white dwarf escapees from Alpha Persei and obtained spectra for all of them. We confirm that three are massive white dwarfs sufficiently young to have originated in the cluster. All these are more massive than any white dwarf previously associated with a cluster using Gaia astrometry, and possess some of the most massive progenitors. In particular, the white dwarf Gaia EDR3 4395978097863572, which lies within 25 pc of the cluster center, has a mass of about 1.20 solar masses and evolved from an 8.5 solar-mass star, pushing the upper limit for white dwarf formation from a single massive star, while still leaving a substantial gap between the resulting white dwarf mass and the Chandrasekhar mass.

Unified Astronomy Thesaurus concepts: White dwarf stars (1799); Stellar evolution (1599); Star clusters (1567)

1. Introduction

The maximum mass of a stable white dwarf (WD) has a widely accepted value of about 1.38 $M_\odot$ (Nomoto 1987); the maximum mass of a WD precursor star, however, is much more contentious. Theory suggests this value should be around 8 $M_\odot$ (Weidemann & Koester 1983), but for this limit to hold, the observed type II supernovae (SNe) rate should be much higher (Horiuchi et al. 2011). This dearth of observed type II SNe may point to a higher maximum mass, with some initial mass functions suggesting a maximum progenitor mass closer to 12 $M_\odot$ (e.g., Kroupa & Weidner 2003). Better constraining this limit is important as it has a profound impact on a number of astrophysical quantities, including, but not limited to, the formation rates of compact objects and the metal enrichment rates of galaxies.

To probe this limit we have been searching for massive WDs that are members of young open star clusters. Identifying massive WDs in young clusters is advantageous as it allows us to use the WD cooling age to estimate the progenitor mass as the main-sequence turnover mass of the cluster at the time the WD was born. The breadth of modern stellar surveys greatly expands our ability to search for these objects; in particular, the precise parallaxes and proper motions measured by the Gaia survey (Gaia Collaboration et al. 2016) allow us to select high-confidence cluster members using only astrometry and photometry. Recently, a wide search for massive WDs in young clusters (Richer et al. 2021) identified new young and high-mass WDs as cluster members, but failed to identify any cluster member WDs with masses in excess of 1.1 $M_\odot$ or with progenitors over 6.2 $M_\odot$, leaving a gap in the high-mass region of the WD initial–final mass relation (IFMR).

As the most massive WDs are the first to be born in a cluster, and since escape velocities in open clusters are quite small, the missing massive WDs might have escaped their host clusters. Open clusters are in fact known to be deficient in WDs (Fellhauer et al. 2003), and this deficit is thought to occur from WDs receiving a natal velocity kick of a few kilometers per second at birth (see Fregeau et al. 2009; Heyl 2007, and references therein). In order to increase the number of potential massive WD cluster members, we expanded our search to include WDs that may have escaped from their host clusters. In previous work, we developed a technique that uses five-dimensional phase-space information from Gaia EDR3 (Gaia Collaboration et al. 2020) to trace stars back to their potential birth clusters (Heyl et al. 2021a). This technique was applied to a sample volume around each of the five nearest open clusters whose ages are less than 200 Myr old (Heyl et al. 2021b). In the current Letter, we expand the analysis for one of these young nearby clusters, Alpha Persei, and search the entire Gentile-Fusillo Gaia EDR3 WD catalog (Gentile Fusillo et al. 2021) for candidate escapees.

We describe the methodology used to identify escaped WDs in Section 2, examine candidate massive WDs in Section 3, discuss their implication to the WD IFMR in Section 4, and summarize our findings in Section 5. In this search, we have identified five candidate massive WD escapees from the Alpha Persei cluster, two of which were also found in the aforementioned search in Heyl et al. (2021b). We obtained follow-up spectroscopy for each of these five objects, and were able to confirm three of the WDs as high-confidence escapee massive WDs. These three are the most massive cluster WDs identified thus far. We estimate the most massive of these WDs to have a precursor whose mass is beyond the theoretical limit of 8 $M_\odot$. Given that the WD has a mass of about 1.2 $M_\odot$, notably below the Chandrasekhar limit of approximately 1.38 $M_\odot$, this finding hints at the idea that the upper limit on the mass of a star that can end its life as a WD is well above 8 $M_\odot$, and that single-star evolution does not produce WDs with masses all the way up to the Chandrasekhar limit.

2. Sample

Using Gaia EDR3 astrometry and radial velocities from Gaia DR2, Heyl et al. (2021b) calculated the mean velocity of the stars in the Alpha Persei cluster relative to the Sun, as well as

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the displacement of the center of the cluster relative to the Sun as

\[ v_{\text{cluster}} = (-13.9 \pm 0.8, -24.2 \pm 0.4, -6.83 \pm 0.2) \text{ km/s}, \]

(1)

\[ r_{\text{cluster}} = (-146.5 \pm 0.7, 93.5 \pm 0.4, -19.9 \pm 0.1) \text{ pc}, \]

(2)
in Galactic coordinates.

To look for potential escapees from the Alpha Persei cluster, we determine the distance from the cluster of each object within the entire Gentile Fusillo EDR3 WD catalog (Gentile Fusillo et al. 2021) as a function of time, \( d(t) \), assuming no relative acceleration and an arbitrary radial displacement (\( \delta r \))

\[ d(t)^2 = [r - r_{\text{cluster}} + t(v_{2D} - v_{\text{cluster}}) + \delta r]^2, \]

(3)

where \( r \) is the displacement of the star from the Sun, and \( v_{2D} \) is the velocity of the star in the plane of the sky. We then determine the time when the star and the cluster were or will be the closest together as

\[ t_{\text{min}} = \frac{\Delta r \cdot \Delta v - (\Delta r \cdot \hat{r})(\Delta v \cdot \hat{r})}{(\Delta v \cdot \hat{r})^2 - (\Delta v)^2}, \]

(4)

where \( \Delta r = r - r_{\text{cluster}} \) and \( \Delta v = v_{2D} - v_{\text{cluster}} \).

This also yields an estimate of the radial displacement and velocity of the star as

\[ \delta r = v_r t_{\text{min}} = -\hat{r} \cdot (\Delta r + t_{\text{min}} \Delta v), \]

(5)

and

\[ \hat{v}_{3D} = v_{2D} + v_r \hat{r} \]

(6)

so

\[ \Delta \hat{v}_{3D} = \hat{v}_{3D} - v_{\text{cluster}}. \]

(7)

where the caret denotes that this is the reconstructed velocity. To be deemed a candidate escapee, we take the distance of closest approach to be \( d_{\text{min}} < 15 \text{ pc} \), and the time of closest approach to be during the lifetime of the cluster (Basri & Martin 1999; Heyl et al. 2021b), \(-81 \text{ Myr} < t_{\text{min}} < 0 \text{ Myr} \); also, we impose \( |\Delta \hat{v}_{3D}| < 5 \text{ km s}^{-1} \), determined by looking at the cumulative distribution of reconstructed relative 3D velocities of sample stars that met escapee criteria for \( d_{\text{min}} \) and \( t_{\text{min}} \) (Heyl et al. 2021b). Furthermore, to identify the potential WD escapees we further restrict the sample to WDs whose age is estimated to be less than 250 Myr and whose mass is greater than 0.85 M_\text{e} from their Gaia EDR3 photometry, thus still allowing for the possibility that interstellar reddening and absorption could make the objects appear older and less massive than their true values.

### 3. Candidate White Dwarf Escapees

This search yielded five new candidates from the Alpha Persei cluster as shown in Figure 1. Because of their current proximity to the cluster (within 25 pc) and small relative proper motions, Lodieu et al. (2019) have identified two of these white dwarfs (WD1 and WD2) as candidate members of the cluster. These two objects were also identified in a search of the entire Gaia EDR3 database as candidate escapees of the cluster (Heyl et al. 2021b). The three others are now more than 100 pc away from the center of the cluster. The astrometric, spectroscopic, and derived quantities for all five objects are presented in Tables 1 and 2.

#### 3.1. Spectroscopic Analysis

We obtained optical spectroscopy for WD1 (Gaia EDR3 439597809786357248) and WD2 (Gaia EDR3 24400369345718860) with the 8.1 m Gemini-North telescope using the
Gemini Multi-Object Spectrograph (GMOS; Hook et al. 2004; Gimeno et al. 2016) in long-slit mode, using the B600 grating with no filter centered at 520 nm. Employing a 1′000 focal plane mask, we binned 2 × 2 in both the spectral and spatial directions, providing a pixel scale of 0.161 arcsec pixel−1, for a post binning resolution of ≈1 Å. Total exposure time was 1 hr 3 minutes for WD1, and 1 hr 19 minutes for WD2. For WD5 (Gaia EDR3 1983126553936914816), we obtained spectra with the 10 m Keck I Telescope (HI USA) and the Low Resolution Imaging Spectrometer (LRIS; Oke et al. 1995; McCarthy et al. 1998), using the R600 grism for the blue arm (R = 1100) and the R600 grating for the red arm (R = 1400) with 2 × 2 binning, for a total exposure time of 600 s. Spectra for WD3 (Gaia EDR3 1924074262608187648) and WD4 (Gaia EDR3 1990559596140812544) were obtained with the Double-Beam Spectrograph (DBSP; Oke & Gunn 1982) on the 200-inch Hale telescope at Palomar observatory, with the R600 grating on the blue arm and the R316 grating on the red arm, for a total exposure time of 20 minutes each.

The spectra, showing a blue continuum and broad hydrogen Balmer absorption lines, confirm that the five stars are WDs with hydrogen-dominated atmospheres (DA). The lack of notable Zeeman splitting of the spectral lines excludes the possibility of a strong magnetic field in any candidate. We analyze the spectroscopic data to obtain estimates for the surface gravities and temperatures of the five WDs. We employ atmospheric models developed by Gianninas et al. (2010) and by Tremblay et al. (2011). In both sets of models, the hydrogen atmosphere is computed without the assumption of local thermodynamic equilibrium; the main difference is that in the former, the composition of the atmosphere includes carbon, nitrogen, and oxygen at solar abundance ratios, while the latter are made of pure hydrogen. The addition of metals in the atmosphere is important for very hot WDs, where metal levitation in the intense radiation field can change the shape of the Balmer lines (Gianninas et al. 2010). Our fitting method to the Balmer lines is similar to the routine outlined in Liebert et al. (2005): we fit the spectrum with a grid of spectroscopic models combined with a polynomial in λ (up to λ4) to account for calibration errors in the continuum; we then normalize the spectrum using this smooth function picking normal points at a fixed distance in wavelength to the lines and finally use our grid of model spectra to fit the Balmer lines and extract the values of the effective temperature (Teff) and logarithm of the surface gravity (log g). The nonlinear least-squares minimization method of Levenberg-Marquardt is used in all our fits.

We initially fit each spectrum using the pure hydrogen atmosphere models of Tremblay et al. (2011). As WDs 1, 2, and 5 all appear to be very hot WDs above 40,000 K, we additionally fit using the Gianninas et al. (2010) models that include the influence of metals in the atmosphere. These models were developed because the presence of metals in the atmosphere, although not abundant enough to appear as additional metal lines, modify the shape of the Balmer lines, preventing simultaneous fitting of these lines. We find that WD1 does not display the Balmer line problem and the fit is not improved using metal-influenced models, while for WDs 2 and 5 the metal-influenced models notably improve the quality of the simultaneous fit to the Balmer lines. The small differences in the model fitting quality for these WDs is not surprising as the effective temperature of WD1 is approximately 42,000 K, where the effects of metal levitation are supposedly very weak (Gianninas et al. 2010), while WDs 2 and 5 are at somewhat higher temperatures, closer to Teff = 47,000 K. The best fits are shown in Figure 2 using Tremblay et al. (2011) pure hydrogen atmosphere models for WDs 1, 3, and 4, and Gianninas et al. (2010) metal-influenced models for WDs 2 and 5. The resulting values of Teff and log g are listed in Table 2.

### Table 1

| N | Gaia EDR3 Source ID | R.A. (deg) | Decl. (deg) | Abs G (mag) | Parallax (mas) | Rp − Rp (mag) |
|---|---------------------|-----------|------------|------------|---------------|---------------|
| 1 | 439597809786357248  | 44.6805   | 50.3478    | 11.052     | 6.4358        | −0.379        |
| 2 | 244003693457188608  | 59.2417   | 45.0198    | 11.578     | 5.9335        | −0.243        |
| 3 | 1924074262608187648 | 354.1364  | 42.7338    | 11.321     | 6.6031        | −0.295        |
| 4 | 1990559596140812544 | 344.6288  | 53.7945    | 11.467     | 6.3103        | −0.254        |
| 5 | 1983126553936914816 | 337.0616  | 45.5762    | 11.472     | 6.6416        | −0.430        |

**Note.** We use an age of 81 ± 6 Myr for the cluster (Heyl et al. 2021b) and the Padova models to determine the initial masses. The quantity vr is the reconstructed radial velocity of the star that brings it closest to the cluster center in the past.

### Table 2

| N | Teff (10^4 K) | log g (cm s^{-2}) | ΔV_{2D} (km s^{-1}) | d_{present} (pc) | vr (km s^{-1}) | d_{min} (pc) | ΔV_{3D} (km s^{-1}) | t_{escape} (Myr) | Mass (M_\odot) | t_{cool} (Myr) | Initial Mass (M_\odot) | Comments |
|---|---------------|-------------------|---------------------|------------------|--------------|--------------|---------------------|------------------|----------------|---------------|---------------------|------------|
| 1 | 41.6(2)       | 9.05(3)           | 2.11                | 24               | −6.1         | 8.98         | 4.08                | 5                | 1.20(1)        | 45(4)        | 8.5(9)              | ONe Core   |
| 2 | 46.2(3)       | 8.98(4)           | 1.55                | 20               | 2.2          | 5.40         | 1.61                | 12               | 1.17(1)        | 14(4)        | 6.3(3)              | ONe Core   |
| 3 | 23.9(10)      | 8.56(10)          | 4.23                | 113              | −14.8        | 4.68         | 4.73                | 23               | 0.97(6)        | 13(11)       | …                   | non-member |
| 4 | 21.2(10)      | 8.58(10)          | 4.49                | 117              | −17.5        | 9.82         | 4.60                | 25               | 0.98(6)        | 20(11)       | …                   | non-member |
| 5 | 47.5(5)       | 8.84(5)           | 4.29                | 136              | −19.2        | 6.61         | 4.43                | 30               | 1.12(2)        | 3(1)         | 5.9(2)              | ONe Core   |

**Note.** The atmospheric parameters are derived using the Gianninas et al. (2010) models, which include the influence of metals in the atmosphere. The differences in the model fitting quality for these WDs is not surprising as the effective temperature of WD1 is approximately 42,000 K, where the effects of metal levitation are supposedly very weak. The best fits are shown in Figure 2 using Tremblay et al. (2011) pure hydrogen atmosphere models for WDs 1, 3, and 4, and Gianninas et al. (2010) metal-influenced models for WDs 2 and 5.
For each WD, we determine the mass and cooling age from two sets of high-mass WD cooling models: the Camisassa et al. (2019) models, with an oxygen–neon (ONe) core composition and hydrogen-dominated atmosphere as well as the Bédard et al. (2020) thick hydrogen atmosphere models with a carbon–oxygen (CO) core. WDs should have a mass of at least $1.05 \, M_\odot$ to contain ONe cores (Siess 2007). In Table 2, we list the masses and cooling ages of the five WDs, and, for WDs that have a mass above $1.05 \, M_\odot$, we include the results of both the ONe and the CO fitting. Though we cannot strictly rule out the possibility of CO cores, theory suggests these three ultramassive WDs are all likely to have ONe cores. The CO core fit for WD1 implies a very massive precursor that would be a significant outlier in the IPMR, providing evidence that ONe is the preferred core composition for ultramassive massive WDs. Going forward, we will consider only the ONe results for these stars.

WDs 3 and 4 have masses close to $1 \, M_\odot$ and cooling ages that are much longer than the age of the cluster. They cannot be former members of Alpha Persei, and we remove them from our sample. WD1 escaped from the Alpha Persei cluster about 5 Myr ago with a 3D escape velocity of $4.08 \, \text{km s}^{-1}$. The ONe-model fit suggests a very massive WD with a mass of $1.20 \pm 0.01 \, M_\odot$ and a cooling age of $45 \pm 4$ Myr. Combined with the cluster age of $81 \pm 6$ Myr (Basri & Martín 1999; Heyl et al. 2021b), this yields a precursor main-sequence lifetime of $35 \pm 7$ Myr, corresponding to a $8.5 \pm 0.9 \, M_\odot$ progenitor according to the Padova isochrone models (Bressan et al. 2012; Tang et al. 2014; Chen et al. 2014, 2015; Marigo et al. 2017; Pastorelli et al. 2019, 2020). WD2 is currently about 20 pc away from the cluster, having escaped approximately 12 Myr ago with a small escape velocity of $1.61 \, \text{km s}^{-1}$. ONe models suggest a mass of $1.17 \pm 0.01 \, M_\odot$ and a cooling age of $14 \pm 4$ Myr, giving a progenitor mass of $6.3 \pm 0.3 \, M_\odot$ from the Padova models. WD5 was possibly still a main-sequence star when it escaped the cluster approximately 30 Myr ago; a higher escape velocity of $4.43 \, \text{km s}^{-1}$ pushed the WD out to 136 pc away from the cluster. WD5 has a mass of $1.12 \pm 0.02 \, M_\odot$ with a cooling age of $3 \pm 1$ Myr, corresponding to a $5.9 \pm 0.2 \, M_\odot$ progenitor.

3.2. The Possibility of Interlopers

Because WD1 and WD2 were found in the well-defined sample of Heyl et al. (2021b), we can estimate the number of young massive WDs that we would expect to appear in this sample by chance. Heyl et al. (2021b) looked for stars in a volume of $6.4 \times 10^6 \, \text{pc}^3$ surrounding the cluster. Of the 463,917 stars in this volume, only 698 have kinematics consistent with having left the cluster within the last 100 Myr, and of these 698 stars about 300 may be interlopers (Heyl et al. 2021b). On the other hand, Fleury et al. (2021) determined that there are 100 WDs with masses greater than $0.95 \, M_\odot$ and ages less than 250 Myr within 200 pc of the Sun. Combining these results we find that 0.012 young massive WDs would lie within the phase-space volume probed in the survey by chance. WD1 is both significantly younger and more massive than our thresholds, so the a posteriori chance of such a massive young WD being an interloper is a factor of 15 smaller (Fleury et al. 2021), less than $10^{-3}$. An alternative calculation that ignores the small relative proper motions between WD1 and WD2 and the cluster, but focuses on the small distance between them, is the number of massive young WDs that one would expect in an average sphere of 25 pc; the result is 0.04 WDs younger than 50 Myr and more massive than $0.95 \, M_\odot$ (Fleury et al. 2021).

The remaining WDs, WD3 to WD5, were discovered in a broader search over the Gentile Fusillo et al. (2021) catalog, so we cannot assess the relative probabilities that WDs come from the cluster versus the field in the same manner as WD1 and WD2; however, in this case we can obtain an estimate by looking at all of the WDs that meet the kinematic criteria to have escaped from Alpha Persei (65 WDs). The vast majority of these are too old to have originated from the cluster, and they are therefore clear interlopers. Fleury et al. (2021) have found that only 1 out of 1000 WDs within 200 pc are more massive than $0.95 \, M_\odot$ and younger than 250 Myr, yielding an expectation of finding only 0.06 WDs with these properties as chance interlopers within the sample. Given this estimate, it is somewhat surprising that we did find two massive and relatively young interlopers in the sample, WD3 and WD4. Their presence allows us to investigate the possibility that the phase-space volume corresponding to escapees from Alpha Persei contains a relative overabundance of massive stars and
therefore massive WDs. Heyl et al. (2021b) have argued that the Alpha Persei cluster lies on an orbit with a small inclination with respect to the Galactic plane. If we assume that among these massive WDs (from 0.95 to 1.25 \( M_\odot \)) the usual relative distribution in mass and age occurs (Fleury et al. 2021), we can estimate the possibility that WD5 is also an interloper. The mean age of WD3 and WD4 is 167 Myr (14 times older than WD5), and they come from a population at least twice as large as that of WD5: WDs more massive than 0.95 \( M_\odot \) compared to those more massive than 1.10 \( M_\odot \). This yields a probability for WD5 to be an interloper of 0.07, larger than the results for WD1 and WD2, but still very small.

4. The Initial–Final Mass Relation

Figure 3 shows the updated IFMR from Richer et al. (2021) including these new escaped cluster member WDs as well as those identified in Heyl et al. (2021a). Each of the three newly identified WDs from this work are more massive than any cluster WDs previously identified. For each of these new WDs we display results of ONe core fits. WD1 has a precursor mass of 8.5 ± 0.9 \( M_\odot \), placing it near the theoretical limit of about 8 \( M_\odot \) (e.g., Woosley et al. 2002). Given that the WD’s mass is still well below the Chandrasekhar mass, this supports an increased main-sequence upper mass limit for WD production, more consistent with expectations from observed SN II rates, or hints to the fact that single-star evolution does not produce WDs with masses all the way up to the Chandrasekhar limit.

While field white dwarfs do not provide the initial mass of the star, as cluster membership is required for that, it is nevertheless instructive to inquire as to the maximum mass of WDs seen outside of clusters. In an analysis of the 100 pc sample from the Montreal White Dwarf Database, Kilic et al. (2021) identified 25 WDs with masses above 1.3 \( M_\odot \) if all possess H atmospheres and CO cores. If the WDs instead have ONe cores, which we expect is likely the case for the majority of WDs in this mass range, just two of them have masses above 1.3 \( M_\odot \). However, 23 of the 25 would have masses above 1.25 \( M_\odot \), well above the most massive WD in the current IFMR. Note, at a minimum, a third of these are merger remnants as revealed by high magnetic fields and rapid rotation. Nevertheless, their findings suggest that WDs are formed well closer to the Chandrasekhar limit than those that have been thus far identified in clusters, which when coupled with our results provides additional support for an increased upper limit on WD production.

5. Conclusions

We employ a technique that we developed in Heyl et al. (2021a) for identifying WDs that may have escaped from open star clusters. In Heyl et al. (2021a) as well as the follow-up paper of Heyl et al. (2021b), this technique was used for a sample volume around five nearby young clusters. Here, we instead search the entire Gentile-Fusillo Gaia EDR3 WD catalog for one specific cluster, Alpha Persei. By tracing the historical position of these catalog WDs, we identified five candidates whose motions suggested they may have escaped from the cluster. Each of these were followed up with spectroscopy from Gemini-North GMOS, Keck LRRS, or Palomar DBSP.

The surface gravity and temperature of each WD was determined from the best-fit NLTE hydrogen atmosphere models. From these results the mass and cooling age were determined using CO core cooling models as well as ONe models for those above 1.05 \( M_\odot \). Of the five WDs, three are consistent with being escaped former cluster members, while the other two have cooling ages that are larger than the age of the cluster, thus eliminating the possibility of membership. The progenitor mass for each of the three escaped members were determined using Padova isochrone models (Bressan et al. 2012; Tang et al. 2014; Chen et al. 2014, 2015; Marigo et al. 2017; Pastorelli et al. 2019, 2020).

Though the results of this work provide valuable insight into the WD IFMR, we have not yet identified any cluster member WDs near the Chandrasekhar limit. Measurement uncertainty likely limits the technique we have used here to a handful of nearby clusters. That said, given that we have identified a significant number of escaped WDs, we are led to believe that many of these objects have also escaped from other clusters and are merely waiting to be identified. We will continue to work to develop techniques to identify these escaped WDs in the future to better constrain the upper mass limit of WD progenitor stars.
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Gemini spectra were processed using the Gemini IRAF package. LRIS spectra were reduced using the Lpipe pipeline (Perley 2019). DBSP Spectra were reduced using the DBSP_DRP pipeline (Roberson et al. 2021).

Facilities: Gaia (DR2 & EDR3), Gemini-North (GMOS), Keck:I (LRIS), Palomar (LRGB)

Software: Astropy (Astropy Collaboration et al. 2013, 2018), WD_models (https://github.com/SihaCheng/WD_models)

Data Availability

We constructed the cluster escapee white dwarf catalog from the Gentile-Fusilo Gaia EDR3 WD catalog available at https://warwick.ac.uk/fac/sci/physics/research/astro/research/catalogues/gaiadr3_wd_main.fits.gz. Data from GALEX and Pan-STARRS1 were obtained with Vizier and used in preliminary analysis.

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