Germline mutations in Chinese ovarian cancer with or without breast cancer

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Abstract
Background: Ovarian and breast cancers are known to have significant genetic components. Considering the differences in the mutation spectrum across ethnicity, it is important to identify hereditary breast and ovarian cancer (HBOC) genes mutation in Chinese for clinical management.

Methods: Two cohorts of 451 patients with ovarian cancer only (OV) and 93 patients with both breast and ovarian (BROV) cancers were initially screened for BRCA1, BRCA2, TP53, and PTEN. 109 OV and 43 BROV patients with extensive clinical risk and were being tested negative, were then further characterized by 30-gene panel analysis.

Results: Pathogenic BRCA1/2 variants were identified in 45 OV patients and 33 BROV patients, giving a prevalence of 10% and 35.5%, respectively. After the extended screening, mutations in other HBOC genes were identified in an additional 12.8% (14/109) of the OV cohort and 14% (6/43) in the BROV cohort. The most commonly mutated genes in the OV cohort were MSH2 (4.6%) while in the BROV cohort were MSH2 (4.7%) and PALB2 (4.7%). With this extended multi-gene testing strategy, pathogenic mutations were detected in 12.8% of OV patients (BRCA: 10%; additional genes: 12.8%) and 40.9% (BRCA: 35.5%; additional genes: 14%) of BROV patients.

Conclusion: Extended characterization of the contributions of HBOC genes to OV and BROV patients has significant impacts on further management in patients and their families, expanding the screening net for more asymptomatic individuals.

KEYWORDS
Chinese, germline mutation, hereditary breast and ovarian cancer

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1 | BACKGROUND

Hereditary breast and ovarian cancer (HBOC) syndrome is an inherited cancer predisposition syndrome causing breast and ovarian cancer at higher risk than the general population. Individuals with HBOC also have an increased risk of other types of cancers, including pancreatic cancer, prostate cancer, and melanoma (Petrucci et al., 1998). BRCA1/2 plays key roles in homologous recombination (HR) which govern the repair of double-strand DNA breaks (Roy et al., 2011) and mutations in these two genes are the most common cause of the syndrome (Stanislaw et al., 2016). Breast cancer is the most common malignancy in individuals with a germline BRCA1/2 pathogenic variant, resulting in a lifetime risk ranging from 46% to 87% (Chen et al., 2006; Ford et al., 1994; Sankaran et al., 2006). BRCA germline pathogenic variants also confer an excessive risk for ovarian cancers (including fallopian tube and primary peritoneal cancers) ranging from 16.5% to 63% (Chen et al., 2006; Easton et al., 1995). Mutations in BRCA1/2 genes were detected in approximately 12%–25% of patients with high-grade serous ovarian carcinoma (HGSC) (Chao et al., 2016; Sakamoto et al., 2016; Walsh et al., 2011) while the prevalence of BRCA1/2 mutation was (3.7%–4.7%) in women with breast cancer, aged between 40 and 59 years (Buys et al., 2017). The BRCA1/2 mutation rate in 18 Chinese cohorts with metachronous BROV malignancies was as high as 38.9% (Chao et al., 2020). There were well-established counseling strategies and management guidelines for early intervention or prevention through increased surveillance, such as clinical breast examination every 6–12 months and addition of annual breast MRI, mammography at such as clinical breast examination every 6–12 months and addition of annual breast MRI, mammography at age 25, and consideration of risk-reducing interventions including chemoprevention and prophylactic mastectomy or salpingo-oophorectomy (Petrucci et al., 1998; Smith, 2012).

Multiple cancer predisposition genes, other than BRCA1/2 genes, have been identified during the last decade to the deliberate risk of breast or ovarian cancer, such as TP53, PTEN, CDH1, ATM, CHEK2, PALB2, and RAD50 (Apostolou & Fostira, 2013; Bradbury & Olopade, 2007; Kwong et al., 2020; Ripperger et al., 2009). Due to the rapid advancement and reduced cost in high-throughput sequencing, multigene panel testing becomes a standard risk assessment tool in clinical practice (Bradbury et al., 2015; Domchek et al., 2013; Fecteau et al., 2014; Lincoln et al., 2015; Minion et al., 2015; Rainville & Rana, 2014; Tung et al., 2015). Together an with increased number of clinical trials and recommendations from National Comprehensive Cancer Network (NCCN) guidelines on other cancer predisposition genes, high-risk individuals, particularly those with strong family history and an early onset of cancer are more prevalent. Hence, strategic selection for genetic screening in Chinese ovarian cancer patients is required to identify patients with high cancer risk.

In this study, we compared the results of mutation screening we adopted earlier on the 4 most common HBOC genes and combined data with a more recent strategy using 30 gene-panel between women with ovarian cancers (OV) only and women with both breast and ovarian cancers (BROV). We observed a difference in the spectra of mutated genes in these 2 cohorts which is important in clinical management and planning of screening strategies for patients and their family members.

2 | METHODS

2.1 | Ethical compliance

The study was performed following the Declaration of Helsinki. Written informed consent was obtained from all participants recruited in this study. This study was approved by the Institutional Review Board of the University of Hong Kong/Hospital Authority West Cluster and respective authorities of other contributing hospitals in Hong Kong.

2.2 | Patients

A cohort of 451 patients with OV cancer and 93 patients with both BROV cancer regardless of age and family history were recruited by the Hong Kong Hereditary and High-risk Breast Cancer Family Registry from 2012 to 2018. Clinicopathologic characteristics of patient cohorts were shown in Table S1. Known BRCA1/2 mutations (positive control) and normal local individuals (negative control) were included for validation of the next generation sequencing (NGS) and to evaluate the performance characteristics of NGS.

2.3 | DNA extraction

Genomic DNA for NGS or conventional Sanger sequencing were extracted from peripheral blood samples with QIAamp DNA Blood Mini Kit (Qiagen, Hilden, Germany) or QIAsymphony DNA Mini Kit (Qiagen) on QIAsymphony SP instrument (Qiagen), according to the manufacturer’s protocol. Extracted DNA was quantified using Qubit dsDNA BR Assay Kit and Qubit 2.0 Fluorometer (Life Technologies, USA).
2.4 | Four-gene and 30-gene sequencing panel

Extracted DNAs (OV cohort: 451; BROV: 93) were first sequenced with a 4-gene panel including \(BRCA1\), \(BRCA2\), \(TP53\), and \(PTEN\) using a previously published in-house protocol (Kwong et al., 2016). Those negative cases with extensive clinical risk (OV cohort: 109; BROV cohort: 43) were selected for further analysis with a 30-gene test panel (Color Genomics Laboratory, Burlingame, CA) (Figure 1). This panel includes genes that are associated with an elevated risk of a number of hereditary cancers in breast, ovarian, uterine/endometrial, colorectal, melanoma, pancreatic, prostate, and stomach (Neben et al., 2019). The list of genes includes \(APC\) (OMIM: 611731), \(ATM\) (OMIM: 607585), \(BAP1\) (OMIM: 603089), \(BARD1\) (OMIM: 601593), \(BMPR1A\) (OMIM: 601299), \(BRCA1\) (OMIM: 113705), \(BRCA2\) (OMIM: 600185), \(BRIP1\) (OMIM: 605882), \(CDH1\) (OMIM: 192090), \(CDK4\) (OMIM: 123829), \(CDKN2A\) (\(p14\)ARF and \(p16\)INK4a) (OMIM: 600160), \(CHEK2\) (OMIM: 604373), \(EPCAM\) (OMIM: 185535), \(GREM1\) (OMIM: 603054), \(MLH1\) (OMIM: 120436), \(MSH2\) (OMIM: 609309), \(MSH6\) (OMIM: 600678), \(MUTYH\) (OMIM: 604933), \(NBN\) (OMIM: 602667), \(PALB2\) (OMIM: 610355), \(PMS2\) (OMIM: 600259), \(POLD1\) (OMIM: 174761), \(POLE\) (OMIM: 174762), \(PTEN\) (OMIM: 601728), \(RAD51C\) (OMIM: 602774), \(RAD51D\) (OMIM: 602954), \(SMAD4\) (OMIM: 600993), \(STK11\) (OMIM: 602216), and \(TP53\) (OMIM: 191170). The majority of these genes were assessed for variants within all coding exons (±20 bp flanking each exon) and noncanonical splice regions. In \(PMS2\), exons 12–15 were not analyzed. In several genes, only specific positions known to impact cancer risk were analyzed: \(CDK4\) — only chr12:g.58145429–58,145,431 (codon 24), \(MIF\) — only chr3:g.70014091 (including c.952G > A), \(POLD1\) — only chr19:g.50909713 (including c.1433G > A), \(POLE\) — only chr12:g.133250250 (including c.1270C > G), \(EPCAM\) — only large deletions and duplications including the 3’ end of the gene, and \(GREM1\) — only duplications in the upstream regulatory region (reference to GRCh37, hg19).

2.5 | Massive parallel DNA sequencing

Sequencing libraries from the above-mentioned panels were synthesized and purified as previously described (Kwong et al., 2016; Neben et al., 2019). Pooled libraries were sequenced on MiSeq or NextSeq 500/550 instrument (Illumina, San Diego, CA). In the case of splicing variant analysis at the transcript level, RNA samples were reverse transcribed to cDNA. All detected pathogenic variants were further validated by conventional Sanger bi-directional DNA sequencing. The sequencing data were co-analyzed by our in-house developed bioinformatics pipeline.

**FIGURE 1** Schematic diagram of study samples

*Two of the patients carried double mutations (double \(BRCA1\); \(BRCA1\) & \(PALB2\)).
2.6 | Variant interpretation and annotation

Variants calling bioinformatics were performed as previously described (Kwong et al., 2016; Neben et al., 2019). Paired sequencing reads were mapped to human reference genome sequence GRCh37/hg19. Variants with a minor allele frequency of at least 1% reported by The 1000 Genomes Projects (1000 Genomes Project Consortium et al., 2015) were excluded from manual variant curation. Variants were described according to the recommendations of the Human Genome Variation Society (HGVS). Variant descriptions were further cross-checked with Mutalyzer Name Checker (http://mutalyzer.nl).

2.7 | Statistical analysis

Clinicopathological variables from pathogenic/likely pathogenic mutation carriers and non-carriers were tabulated in contingency tables. Computation was performed using R (version 3.6.0). Statistical tests suitable for categorical data were then considered. Some variables had expected values of less than 5, and most variables did not have a natural ordering. Fisher’s exact test was adopted. Conditional Inference Tree (in R package party kit) was also applied to obtain significant factors among others in predicting mutation. Disease-free survival (DFS) and overall survival (OS) analysis was done on OV patients with surgical information and follow-up data. The cox-proportional hazard model was conducted on the differences in survival across groups with adjustment of other variables. Significance level was set at 5% (p value < .05).

3 | RESULTS

3.1 | Patients’ characteristics of the cohort of ovarian cancers only (OV) and the cohort of breast and ovarian cancers (BROV)

In a cohort of 451 probands with OV only, the median age at diagnosis was 47 years (range 9–78). Of these, 407 (90.2%) were cancers found in the ovary, 27 (6%) were primary peritoneal cancer, 9 (2%) were developed in the fallopian tube, also 8 (1.8%) found synchronous primary gynecological cancers. The majority of the ovarian cancers were epithelial cancer (421, 96.3%) in which many of them were serious tumors (161, 36.9%) and endometrioid tumors (145, 33.3%). Slightly more than half of these tumors were high-grade cancers (274, 63.3%). A positive family history of breast cancer (first- or second-degree relatives) was seen among 92 (20.4%) of these patients. There were 27 (6%) and 140 (31%) of them having family histories of ovarian cancers or other BRCA-related cancers in the first- or second-degree relatives. Detailed clinicopathological characteristics of the OV cohort were shown in Table S1a.

In the second cohort of 93 patients with BROV cancer, the median age at diagnosis was 45 years (range 18–73), which was similar to the OV cohort. Of those gynecological cancers, 79 (84.9%) were cancers of the ovary, 6 (6.5%) were primary peritoneal cancer, 6 (6.5%) were originated from the uterus and 2 (2.2%) were developed in the fallopian tubes. The majority of cancers were epithelial ovarian cancer (84, 96.6%) of which about half of them were serious tumors (42, 48.8%), followed by endometrioid cancer (24, 27.9%). There was a higher proportion of high-grade ovarian cancers (60, 75%). With respect to the profile of their breast cancers, probands were mostly diagnosed with ductal breast carcinoma (60, 70.6%). Of which 9 of them had medullary, lobular, or mucinous carcinoma (10.6%), and 16 (18.8%) had ductal carcinoma in situ (DCIS). There was a high percentage of breast cancers that were hormonal positive (40, 43%), HER2 positive (7, 7.5%), and 15 (16.1%) were triple-negative breast cancers (TNBC). The majority of breast cancers were diagnosed at early stages (0, I or II) (76, 86.4%) and only 31 of the breast cancers (41.3%) were high-grade invasive breast cancers. A positive family history of breast cancer (first- or second-degree relatives) was seen among 36 (38.7%) of the patients. 14 (15.1%) and 29 (31.2%) of them had a family history of ovarian cancers or other BRCA-related cancers in their first- or second-degree relatives. Detailed clinicopathological characteristics of the BROV cohort were shown in Table S1b.

3.2 | Mutations in the heredity breast and ovarian cancers (HBOC) genes in the cohorts

In the OV cohort, the total numbers of pathogenic mutations were found in 59 of the patients. Among these patients, most of them were BRCA mutations 45/451 (10%) and 14/109 (12.8%) harbored mutations other than BRCA1/2 genes (Figure 2a). Patients with pathogenic mutations in genes other than BRCA as included MSH2 (n = 5), RAD51D (n = 3), PALB2 (n = 2), MSH6 (n = 2), BRAD1 (n = 1), and RAD51C (n = 1) (Figure 2c).

On the contrary, the total numbers of pathogenic mutations were found in 38 probands in the BROV cohort. 33/93 (35.5%) probands had BRCA mutations and 1/93 (1.1%) was TP53 mutation carrier using 4-gene panel (Figure 2b). Two of these probands carried double mutations (double BRCA1; BRCA1 & PALB2). The frequency of BRCA mutations was much higher than those in the OV
cohort (35.5% vs 10%). There were 5/43 (11.6%) probands identified with pathogenic mutations by a 30-gene panel including \( MSH2 \) \((n = 2)\), \( PALB2 \) \((n = 2)\), and \( ATM \) \((n = 1)\) as shown in Figure 2d. The 4-gene panel was able to identify 36.6% of patients with pathogenic mutations, while the extended 30-gene panel detected the remaining 5.4% among the whole BROV cohort.

There was no significant difference at the age of diagnosis for the mutation carriers and non-carriers in the OV cohort. The majority of the tumors were diagnosed at a later stage \((p \text{ value} < .001)\) and with higher grade \((p \text{ value} < .001)\) compared with non-carriers. The site of cancers and histological subtypes of serous and endometrioid cancer showed a significant difference between mutation carriers and non-carriers \((p \text{ value} = .0456, < .001 \text{ and } .001, \text{ respectively})\). Mutation carriers had a stronger family histories of breast cancers (35.6% vs 18.1%), ovarian cancers (16.9% vs 4.3%), and other \( BRCA \)-related cancers (among first- and second-degree relatives) \((45.8\% \text{ vs } 28.8\%)\) than non-carriers \((p \text{ value} = .003, .001 \text{ and } .011, \text{ respectively})\). Detailed characteristics of the pathogenic mutation carriers were shown in Table S1a. There were significant differences in cancer sites, histology, stage, grade, and family history of breast or ovarian cancer in \( BRCA \) carriers and non-carriers. However, other mutation gene carriers (beyond \( BRCA1/2 \)) only showed significant differences in family history in \( BRCA \)-related cancers when compared with non-carriers (Table S2a).

Among the BROV cohort, the median age at diagnosis for the mutation carriers and non-carriers were 43 years and 48 years \((p \text{ value} = .030, \text{ respectively})\). The majority of the ovarian tumors were diagnosed at a later stage and with higher grade, compared with non-carriers \((p \text{ value} = .021 \text{ and } 0.044)\). Many of the gynecological tumors in these mutation carriers were serous in histology \((28, 75.7\%, p \text{ value} < .001)\). Most of the breast tumors were TNBC \((12, 31.6\%, p \text{ value} = .001)\). Moreover, positive family histories of breast cancer, ovarian cancer, and other \( BRCA \)-related cancers (among first and second-degree relatives) were seen in 21 (55.3%), 10 (26.3%) and 17 (44.7%) mutation carriers, respectively; compared with 15 (27.3%), 4 (7.3%), and 12 (21.8%) in non-carriers \((p \text{ value} = .009, .017 \text{ and } .024, \text{ respectively})\). Detailed characteristics of the BROV probands that carried pathogenic mutations were shown in Table S1b. \( BRCA \) carriers have a younger diagnosis age \((\leq 50)\), cancer specifically occurs in the ovary with high-grade and high-stage serous ovarian cancer. Significant stronger family histories of breast or ovarian cancer were also seen. No significant difference was identified in breast histology. However, beyond \( BRCA1/2 \) carriers only showed significant differences in cancer sites when compared with non-carriers (Table S2b).

The median age of the first diagnosis of cancers in the OV cohort and the BROV cohort was 50 years and 43 years \((p \text{ value} = .020, \text{ respectively})\). The majority of \( BRCA1/2 \) carriers in the BROV cohort had a significant earlier age of diagnosis \((\leq 50 \text{ of age})\) than in the OV cohort \((p \text{ value} = .005)\). \( BRCA1/2 \) mutation carriers in the BROV probands had a stronger family history of breast cancer than the OV probands \((p \text{ value} = .040)\) (Table 1).

When comparing the mutations carriers of \( BRCA1/2 \) and those with mutations in genes other than \( BRCA1/2 \) in the OV cohort, the median age of the first diagnosis of cancer were 50 years and 42.5 years, respectively, showing a statistically significant difference \((p \text{ value} = .016)\). The majority of beyond \( BRCA1/2 \) carriers have an early diagnosis...
|                          | Ovarian cancer probands (N = 451) | Breast and ovarian cancer probands (N = 93) | p value<sup>a</sup> |
|--------------------------|----------------------------------|---------------------------------------------|---------------------|
|                          | BRCA mutation carriers (n = 45)  | Beyond BRCA mutation carriers (n = n = 33* )| Ov BRCA vs BrOv BRCA| BrOv BRCA vs Beyond BRCA | Ov BRCA vs BrOv BRCA | Ov beyond BRCA vs BrOv beyond BRCA |
| Age, median (range, yr)  | 50 (17–74)                      | 42.5 (30–59)                                | 0.0155              | 0.4492                  | 0.0199              | 1                                      |
| Diagnosis age < 50      | 22 (48.9%)                      | 12 (85.7%)                                  | 0.0279              | 0.5669                  | 0.0045              | 1                                      |
| Ovarian cancer stage    |                                 |                                             |                     |                        |                     |                                        |
| Stage I                 | 2 (4.5%)                        | 4 (28.6%)                                   | 0.0449              | 0.1560                  | 0.1230              | 3182                                    |
| Stage II                | 4 (9.1%)                        | 2 (14.3%)                                   |                      |                        |                     |                                        |
| Stage III               | 26 (59.1%)                      | 4 (28.6%)                                   |                      |                        |                     |                                        |
| Stage IV                | 12 (27.3%)                      | 4 (28.6%)                                   |                      |                        |                     |                                        |
| Not stated              | 1                               | 0                                           |                      |                        |                     |                                        |
| Ovarian cancer site     |                                 |                                             |                      |                        |                     |                                        |
| Ovarian                 | 35 (77.8%)                      | 13 (92.9%)                                  | 0.2390              | 0.0025                  | 0.8948              | 0.0103                                  |
| Fallopian tube          | 3 (6.7%)                        | 0 (0%)                                      |                      |                        |                     |                                        |
| Peritoneal              | 6 (13.3%)                       | 0 (0%)                                      |                      |                        |                     |                                        |
| Uterus                  | 0 (0%)                          | 0 (0%)                                      |                      |                        |                     |                                        |
| Mixed                   | 1 (2.2%)                        | 1 (7.1%)                                    |                      |                        |                     |                                        |
| Ovarian cancer histology|                                 |                                             |                      |                        |                     |                                        |
| Epithelial              | 45 (100%)                       | 12 (100%)                                   |                      |                        |                     |                                        |
| Germ cell               | 0 (0%)                          | 0 (0%)                                      |                      |                        |                     |                                        |
| Stromal                 | 0 (0%)                          | 0 (0%)                                      |                      |                        |                     |                                        |
| Mixed                   | 0 (0%)                          | 0 (0%)                                      |                      |                        |                     |                                        |
| Not stated              | 0                               | 2                                           |                      |                        |                     |                                        |
| Ovarian cancer histology subtype |               |                                             |                      |                        |                     |                                        |
| Serous                  | 39 (86.7%)                      | 4 (33.3%)                                   | 0.0006              | 0.0094                  | 0.7507              | 1                                      |
| Non serous              | 6 (13.3%)                       | 8 (66.7%)                                   | 2 (33.3%)           | 4 (66.7%)               | 4338                | 0.2941                                  |
| Not stated              | 0                               | 2                                           |                      |                        |                     |                                        |
| Ovarian cancer histology subtype |               |                                             |                      |                        |                     |                                        |
| Endometrioid            | 3 (6.7%)                        | 5 (41.7%)                                   | 0.0074              | 0.0053                  | 0.4338              | 0.2941                                  |
| Non Endometrioid        | 42 (93.3%)                      | 7 (58.3%)                                   | 4 (12.5%)           | 4 (66.7%)               |                     |                                        |
| Not stated              | 0                               | 2                                           |                      |                        |                     |                                        |
### TABLE 1 (Continued)

| Ovarian cancer probands (N = 451) | Breast and ovarian cancer probands (N = 93) | p value* |
|-----------------------------------|---------------------------------------------|----------|
|                                   | BRCA mutation carriers (n = 45) | Beyond BRCA mutation carriers (n = 33a) | Beyond BRCA mutation carriers (n = 6a) | Ov BRCA vs Ov beyond BRCA | BrOv BRCA vs BrOv beyond BRCA | Ov BRCA vs Ov beyond BRCA | Ov beyond BRCA vs BrOv beyond BRCA |
|-----------------------------------|---------------------------------------------|----------|
| Ovarian cancer grade              |                                       |           |           |   |   |   |   |
| 1                                 | 0 (0%) | 1 (8.3%) | 1 (3.3%) | 1 (16.7%) | .0277 | .0064 | .64 | .4134 |
| 2                                 | 1 (2.3%) | 2 (16.7%) | 0 (0%) | 2 (33.3%) |        |        |   |   |
| 3                                 | 43 (97.7%) | 9 (75%) | 29 (96.7%) | 3 (50%) |           |           |   |   |
| Not stated                        | 1 | 2 | 3 | 0 |           |           |   |   |
| Family history of cancer          |                                       |           |           |   |   |   |   |
| Breast                            | 17 (37.8%) | 4 (28.6%) | 21 (63.6%) | 1 (16.7%) | .7506 | .0142 | .0395 | .5304 |
| Ovarian                           | 9 (20.0%) | 1 (7.1%) | 10 (30.3%) | 1 (16.7%) | .4249 | .3067 | .4264 | 1 |
| BRCA related                      | 16 (35.6%) | 11 (78.6%) | 14 (42.4%) | 3 (50.0%) | .0063 | .6443 | .4872 | .5696 |
| Breast cancer stage               |                                       |           |           |   |   |   |   |
| Stage 0                           | NA | NA | 1 (3.4%) | 2 (33.3%) | NA | .0962 | NA | NA |
| Stage I                           | NA | NA | 14 (48.3%) | 2 (33.3%) | NA |        | NA | NA |
| Stage II                          | NA | NA | 9 (31%) | 2 (33.3%) | NA |        | NA | NA |
| Stage III                         | NA | NA | 5 (17.2%) | 0 (0%) | NA |        | NA | NA |
| Stage IV                          | NA | NA | 0 (0%) | 0 (0%) | NA |        | NA | NA |
| Not stated                        | NA | NA | 4 | 0 | NA |        | NA | NA |
| Breast cancer histology           |                                       |           |           |   |   |   |   |
| Ductal                            | NA | NA | 26 (89.7%) | 4 (66.7%) | NA | .0717 | NA | NA |
| Non-ductal                        | NA | NA | 2 (6.9%) | 0 (0%) | NA |        | NA | NA |
| In situ                           | NA | NA | 1 (3.4%) | 2 (33.3%) | NA |        | NA | NA |
| Not stated                        | NA | NA | 4 | 0 | NA |        | NA | NA |
| Breast cancer grade               |                                       |           |           |   |   |   |   |
| Low                               | NA | NA | 11 (44%) | 2 (40%) | NA | 1 | NA | NA |
| High                              | NA | NA | 14 (56%) | 3 (60%) | NA | .1438 | NA | NA |
| Not stated                        | NA | NA | 8 | 1 | NA | .1521 | NA | NA |
| Breast cancer molecular subtype   |                                       |           |           |   |   |   |   |
| HER2+                             | NA | NA | 2 (6.1%) | 0 (0%) | NA | 1 | NA | NA |
| ER/PR+                            | NA | NA | 8 (24.2%) | 3 (50.0%) | NA | .1438 | NA | NA |
| TNBC                              | NA | NA | 12 (36.4%) | 0 (0%) | NA | .1521 | NA | NA |

*aOne of the patient carried both BRCA and PALB2 mutation, clinical data was counted in both BRCA and Beyond BRCA group but was excluded in Fisher’s exact test.
less than or equal to 50 years of age, showing a significant difference (p value = .028) compared with BRCA1/2 carriers. BRCA1/2 mutations were associated with a higher stage and higher grade of disease when compared with other cancer-associated gene mutations (p value = .045 & .028). BRCA1/2 mutations were more commonly identified in serous epithelial cancers and a non-endometrioid tumor, while patients with a mutation in genes other than BRCA mutations were seen in neither serous nor endometrioid tumor (p value = .001, p value = .007, respectively) (Table 1). Beyond BRCA1/2, carriers also have a stronger family history of BRCA-related cancers (p value = .006).

Similar patterns were also seen in the BROV cohort, BRCA1/2 mutations were both commonly identified in serous epithelial cancers and non-endometrioid tumors while a majority of the patients with mutations in genes besides BRCA1/2 mutations were seen in non-serous but in endometrioid cancers (p value = .009, p value = .005 respectively). BRCA1/2 mutations were associated with a higher grade of disease when compared with other cancer-associated gene mutations (p value = .006). In cases with mutations in BRCA, most of their gynecological cancers were developed inside the ovary, and patients with mutations in genes other than BRCA1/2 mutation in the BROV probands, their gynecological cancers were developed in either ovary or uterus (p value = .003) shown in Table 1. No significance different in breast histology in the BROV cohort was observed.

Comparing the mutation carriers in genes besides BRCA1/2 in the OV cohort and carriers in the BROV cohort, there was no significant difference in the age of diagnosis, histology, and cancer stage and grade (data not shown). The majority of the tumors in the OV cohort developed in the ovary while only half of the tumors in the BROV cohort were from the ovary (p value = .010) (Table 1). MSH2 and PALB2 mutation carriers were seen in both OV and BROV cohorts. The positive rate of MSH2 was similar in both co- horts, while the positive rate for PALB2 was higher in the BROV cohort. Mutation carrier of ATM was only found in the BROV cohort but not in the OV cohort (Figure 2c,d).

Interestingly, the BRCA1/2-positive rate was similar among different age groups in the OV cohort, which was different from the BROV cohort, as well as the BRCA-positive breast cancer patients in our previous study (Kwong et al., 2009). The majority of these two groups of cancer patients were diagnosed at the age between 20 and 44 or later (Table S3a). Families with both breast and ovarian cancer history were more likely to carry BRCA1/2 mutation than those with either a family history of breast cancers or family history of ovarian cancer history (p value < .001). Moreover, families with ovarian cancer history showed a significantly higher mutation rate in genes other than BRCA1/2 (p value = .001) (Table S3b). Correlation of patients with mutations in genes besides BRCA1/2 with demographic data of the OV cohort and the BROV cohort were illustrated in Figure 3a,b.

Classification and regression tree modeling were performed on clinicopathological variables for the OV cohort. The variables included first diagnosis age, personal cancers other than breast and ovarian, site of cancer, histology, grade, stage, family history of breast/ovarian cancer in first- and second-degree relatives. It has already been reported that most of the BRCA mutations were found in probands with serous epithelial ovarian cancer (Manchana et al., 2019) and this has also been seen in our cases. Apart from the serous epithelial ovarian cancer, patients with a family history of ovarian cancer were more likely to carry BRCA1/2 mutations regardless of their family histories. Histological subtypes appeared not pivotal and were shown to be independent of age in this cohort (Figure 4a). In the BROV cohort, patients with serous epithelial ovarian cancer with triple-negative breast cancer were more likely to carry a BRCA1/2 mutation (Figure 4b). This provided strong evidence that histological diagnosis needs to be taken into serious consideration in ovarian cancer patients.

Among BRCA1/2 mutations, a total of 37 and 29 BRCA1/2 mutation variants were identified from OV and BROV cohorts, respectively. The number of Asian-specific BRCA mutations identified in the BROV cohort (13.8%, 4/29) were more than that in the OV cohort (5.4%, 2/37) (Figure S1). In both cohorts, around 8%–10% of novel mutations were identified (Table S4). The list of pathogenic variants in both cohorts were shown in Table S4.

### 3.3 Disease-free survival and overall survival of OV cohort

Cox proportional hazard model of DFS and OS analysis of BRCA1/2 mutation group were conducted on OV cohort with adjustment of diagnosis age, stage, and cancer grade. There was no significant difference between the BRCA1/2 mutation group and the negative group (DFS p value = .7440, OS p value = .8044) while stage and grade showed a significant effect on both survivals (Tables 2 and 3). DFS and OS analysis on the BROV cohort was not feasible, owing to the small sample size and the event definition cannot be well defined. Our preliminary data did not con- fine to observations in larger cohorts. A study of 3979 patients with invasive epithelial ovarian cancer, from there, 1232 having a germline mutation in BRCA1/2, showed that patients having mutations in BRCA1/2 was associated with an improved 5-year overall survival, and there was a better prognosis for BRCA2 carriers (Bolton et al., 2012). In our small sample (N = 45), our analysis is vulnerable to confounding variables that affect prognosis, such as age,
This study estimated the prevalence of gene mutations in patients with OV and patients with both BROV. Overall, 12.8% of OV cancer patients carried a mutation and a higher prevalence was seen in BROV cancer patients (40.9%). A high proportion of mutations were in BRCA1/2 genes, they were identified in 10% of OV cancer patients and 35.5% of BROV cancer patients. A high BRCA1/2 mutation rate of 38.9% has also been demonstrated in Taiwan in a cohort of 18 synchronous/metachronous BROV cancer studies (Chao et al., 2020). BRCA1/2 mutations (14.6%–22.8%) were more likely found in high-grade serous carcinoma of ovarian than other types of ovarian cancer (Alsop et al., 2012; Candido-dos-Reis et al., 2015; Harter et al., 2016). We have a slightly higher BRCA mutation rate, 28.8% of our ovarian cancer cases diagnosed with high grade serous were BRCA1/2 positive carcinoma when compared with those in other Asian countries, such as study on 207 epithelial ovarian cancers from Japan (Sugino et al., 2019), and a study on 313 epithelial ovarian cancers from Korea (Seo et al., 2019), both showed 20% and 20.9% BRCA1/2 positive in high-grade serous carcinoma. It is, however, noted that our patients were from a younger cohort (aged 9–78) than those in Japan (aged 32–89) and Korea (aged 42–58). This may be due to the differences in the health care system and cultural variations in these regions, younger patients are allowed to seek medical attention in our locality, hence, identification of much younger patients.

BRCA1/2 mutations were the most common mutation identified in this study. There was no specific genomic regional clustering for the mutations in BRCA1. However, mutations in BRCA2 were mostly located at c.3109C>T (Kwong et al., 2012). Among 37 types of BRCA1/2 mutation variants identified in the OV cohort, 34 of them have previously been identified in our local breast cancer cohort (Kwong et al., 2016). This group of patients had no significant difference between the BRCA1/2 mutation group and the mutation-negative group in DFS and OS with adjustment of diagnosis age, stage, and cancer grade. Note that our data conflicts with the literature including a study conducted by Bolton et al. (2012). Their paper reported that among patients with invasive epithelial ovarian cancer, having a germline mutation in BRCA1/2 was associated with improved 5-year overall survival and that BRCA2 showed the best prognosis. A possible explanation may be of small sample size; confounding variables that affect prognoses such as age, cancer stage or grade, histology of ovarian cancer or chemotherapy regime, etc. The differences in clinical features of BRCA1/2 mutation carriers and those patients with mutations in genes other than BRCA1/2 remained to be established in larger cohorts. It is, however, clear that detection of BRCA1/2 mutation is the most important gene to be screened in these patients. In centers with limited resources, BRCA1/2 and a small panel of related genes might be considered for preliminary screening.

In genes other than the BRCA1/2 panel, MSH2 is a mismatch repair gene known to be conferring susceptibility to ovarian cancer in Lynch Syndrome. The lifetime risk for ovarian cancer in families with Lynch syndrome is ~8% (Nakamura et al., 2014). MSH2 was the most common mutated gene other than BRCA1/2 in both the OV cohort (4.6%) and the BROV cohort (4.7%). Patients in both cohorts had similar mutation rates in MSH2. In a study of 279 women with proven Lynch syndrome, 10% of the ovarian patients carried a MSH2 mutation (Ryan et al., 2017). OV was identified in 14% of these MSH2 mutation carriers and most cases were diagnosed before age 50 (Møller et al., 2017).

The second commonly mutated genes were PALB2 in the OV cohort (1.8%) and the BROV cohort (4.7%). It is noted that there was no MSH2 and PALB2 mutation identified in a 207 ovarian cancer study among Japanese (Sugino et al., 2019). To date, there is no large-scale study has been performed to compare the mutation frequencies in patients with OV and those with BROV. The high mutation rate of PALB2 and MSH2 in the BROV cohort denoted the importance of extended panel sequencing. Individual comparison on the characteristics of non-BRCA genes mutation carriers was not feasible due to limited numbers of positive carriers in the two cohorts.

Interestingly, mutations in RAD51 genes, RAD51C (0.9%) and RAD51D (2.8%) were only seen in the OV cohort but not in the BROV cohort. In another study evaluating the contribution of RAD51 genes, small proportions of pathogenic mutations in RAD51C (14/3429, 0.41%) and RAD51D (12/3429, 0.35%) in invasive epithelial ovarian cancer were identified (Song et al., 2015). In another study, 1.5% (3/207) RAD51 mutations were identified in Japanese ovarian cancer patients (Sugino et al., 2019). Our previous study showed that low frequencies of RAD51C (0.08%) and RAD51D mutations (0.73%) were identified in cohorts of breast cancers only (Kwong et al., 2020). These suggested that RAD51 gene mutations are associated with a higher risk of ovarian cancers than breast cancers.

In future development and consideration on cost-effectiveness, patients with only ovarian cancer worth using the extended panel as the primary screening while for patients with BROV cancers, stepwise strategy with...
a primary screening on the small panel then extended panel exemplified a cost-effective business model in clinical NGS sequencing for hereditary cancer conditions. The main aim would still be trying to increase the size of the

**FIGURE 3** Clinicopathological data of ovarian cancers (OV) and breast and ovarian cancers (BROV) cohort’s mutation carriers. Data expressed the percentage of mutation in different genes in different age of diagnosis (Dx age), in different family history background, and personal medical background. Family history of BRCA-related cancers includes: family history of cancers in colon, stomach, and prostate, and family history of melanoma, cholangiocarcinoma, and pancreatic cancers. Multiple cancers: patient with any other cancers and gynecologic cancers; TNBC: triple-negative breast cancer; Bilateral: bilateral breast cancer. (a) Clinicopathological data in 14 ovarian cancer (OV) cohort’s mutation carriers. BARD1; MSH2; MSH6; RAD51D; RAD51C; PALB2. (b) Clinicopathological data in 6 breast and ovarian cancers (BROV) cohort’s mutation identified by further 30 genes panel. ATM; MSH2; PALB2; TP53

**FIGURE 4** Regression tree for prediction of probability to find BRCA pathogenic mutation carriers. Ov: Ovarian cancers; FH: Family history. (a) Prediction of probability to find BRCA pathogenic mutation carriers with ovarian cancers (OV). (b) Prediction of probability to find BRCA pathogenic mutation carriers with breast and ovarian cancers (BROV).
screening net so as to increase the number of patients and family members for counseling and further surgical surveillance and management. In this study, we have only shown the prognostic contributions of \textit{BRCA1/2} mutations in OV cancers patients and patients with both BROV cancers. The contributions of mutations in genes other than \textit{BRCA}s need to be established in future studies as we expanded our screening strategy.

In conclusion, our data provide insight into the germline mutation spectrum of patients with OV only, and those with both BROV cancers. The study confirms a high prevalence of \textit{BRCA1/2} mutations in both cohorts. The strategy involving initial screening of common 4 genes, followed by an extended screening of the negative cases was cost-effective in patients with BROV cancers but not in patients with ovarian cancers only. In a situation where cost or limited resources is concerned, ovarian cancer patients with serous epithelial ovarian carcinoma or patients with both personal BROV cancers should be selected for screening. The spectrum of mutations identified is important in genetic counseling.

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\section*{Conflict of Interest}
The authors declare that they have no competing interests.

\section*{Author Contributions}
The study was designed by AK, VS, TC, KC, HN, and EM. CH performed the experiments, collected data, and drafted the manuscript. CA performed the bioinformatics analysis. WL and LF assisted in statistical analysis. AK, VS, TC, KC, HN, and EM reviewed the manuscript. All authors read and approved the final manuscript.

\section*{Ethics Approval and Patient Consent}
The study was performed according to the Declaration of Helsinki. Written informed consent was obtained from participants recruited in this study. This study was approved by the Institutional Review Board of the University of Hong Kong/Hospital Authority West Cluster and respective authorities of other contributing hospitals in Hong Kong. All methods were performed in accordance with the relevant guidelines and regulations.

\section*{Data Availability Statement}
Data available in article supplementary material.

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