Integration of Curved D-Type Optical Fiber Sensor with Microfluidic Chip

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Abstract: A curved D-type optical fiber sensor (OFS) combined with a microfluidic chip is proposed. This OFS, based on surface plasmon resonance (SPR) of the Kretchmann’s configuration, is applied as a biosensor to measure the concentrations of different bio-liquids such as ethanol, methanol, and glucose solutions. The SPR phenomenon is attained by using the optical fiber to guide the light source to reach the side-polished, gold-coated region. Integrating this OFS with a polymethylmethacrylate (PMMA)-based microfluidic chip, the SPR spectra for liquids with different refractive indices are recorded. Experimentally, the sensitivity of the current biosensor was calculated to be in the order of $10^{-5}$ RIU. This microfluidic chip-integrated OFS could be valuable for monitoring subtle changes in biological samples such as blood sugar, allergen, and biomolecular interactions.

Keywords: optical fiber sensor; surface plasmon resonance; biosensor; microfluidic chip

1. Introduction

The surface plasmon resonance (SPR) phenomenon occurs as the consequence of exciting a surface-bound electromagnetic wave at the interface between a metal and a transparent material. Biosensors based on this technique have been designed and constructed for label-free and real-time detection of biomolecular interactions [1–6]. In 2007, 96% of biosensing-related publications were based on SPR-related technologies [7]. To attain the SPR phenomenon, the Kretchmann’s configuration or the surface-grating modification is used [8]. In the Kretchmann’s configuration, a glass prism is usually required to achieve total internal reflection (TIR) [8], and such configuration is applied in both SPR spectroscopy and SPR microscopy [9–13]. As an alternative, the grating-coupled SPR takes place when the light diffracted by the grating has different orders with at least one inducing SPR [14–16]. In these two configurations, bulk optical components and/or complicated surface modifications are required, preventing them from in vivo applications. As a result, optical fibers, characterized by their small size, low cost, and flexibility, provide a TIR configuration for attaining the SPR phenomenon. Various types of SPR-based optical fiber sensors (OFSs) have been proposed for measuring changes in refractive index, pressure, and temperature [17,18], as well as for detecting biomaterials and biomolecules [19–21]. One of the very first SPR-based OFSs was reported by Villuendas and Pelayo in 1990 [22]. The excitation and detection conditions were extensively analyzed in three near-IR wavelengths of 0.85 µm, 1.3 µm, and 1.55 µm. The sensitivity and dynamic range of this OFS in detecting aqueous sucrose solutions were determined to be $3.5 \times 10^{-6}$ RIU and be $3.5 \times 10^{-3}$ RIU, respectively, in the 1.55 µm wavelength. Bardin et al. applied an optical fiber with an inverted graded-index (IGI) profile in measuring changes of the transmitted power caused by refractive-index variations of the surrounding dielectric medium [23]. A resolution of $5 \times 10^{-5}$ RIU was achieved in
a range of the refractive index of the tested medium between 1.33 and 1.39. Hautakorpi et al. proposed a novel SPR-based OFS based on coating the holes of a three-hole microstructured optical fiber with a low-index dielectric layer [24]. The results indicated that the optical loss of the Gaussian guided mode could be made very small by tuning the thickness of the dielectric layer and that the refractive-index resolution for aqueous analytes is $1 \times 10^{-4}$ RIU.

D-shaped and D-type OFSs have also been reported for their easy fabrication and high sensitivity. In a D-shaped OFS, the cladding is partially polished, while in a D-type OFS, the core is side-polished. Lo et al. proposed a four-layer D-shaped fiber SPR sensor for strain sensing applications, where the phase difference between the p- and s-polarized waves is measured using a common-path heterodyne interferometer [25]. The experimental results have shown that the sensitivity of this OFS (around $2.19 \times 10^4$ deg/ε) is significantly higher than that of a conventional polarimetric fiber sensor (around $5.2 \times 10^2$ deg/ε). Luan et al. presented a D-shaped hollow core microstructured optical fiber (MOF)-based SPR sensor, where the air hole in the fiber core can lower the refractive index of a Gaussian-like core mode to match with that of a plasmon mode [26]. A D-type OFS with a thin gold film was reported by Wang et al. to have a sensitivity of $8 \times 10^{-5}$ RIU [27]. By optimizing parameters of the OFS, such as the fiber size, the coating thickness, the wavelength, and the incident angle, the sensitivity could be enhanced to $2.5 \times 10^{-5}$ RIU [28]. In 1996, Homola and Slavik reported the first curved D-type OFS with a sensitivity of $2 \times 10^{-5}$ RIU in the refractive index range of 1.41–1.42 [29]. These curved fiber sensors were considered to be more sensitive and more stable than other types of OFSs [18], yet due to the nature of the curvature, the simulation and fabrication of these curved devices were much more complicated than others. Recently, Hsu et al. studied the curved D-type OFSs in detail by calculating the number of reflections within the fiber sensing region and simulating the reflectance at combined parameters of gold thickness of the deposited film, the incident angle, and the refractive index of ambient [30]. Experiments were also performed to monitor the SPR phenomena of ethylene glycol solutions with different refractive indexes. Sensitivities of $2.03 \times 10^{-5}$ RIU and $2.05 \times 10^{-4}$ RIU were derived theoretically and experimentally, respectively, with a wide dynamic range of 1.33–1.43.

For liquid sensing, the sensing region of the OFS is covered with drops of liquids whose refractive indices are to be determined. However, being a biosensor for detecting biomolecular interactions, the performance of the OFS is limited by the number of analytes present near the sensing region. In situations where analytes have to diffuse and propagate through the bulk solution to reach the sensing region, the mass-transport effect could affect the detection limit, response time, and kinetic analysis of the sensor [31–33]. This mass-transport effect could, to some extent, be overcome by continuously flowing fresh solutions across the sensing surface, which is realized by combing the biosensor with the microfluidic device. Microfluidic devices provide a miniaturized platform with advantages of low reagent consumption, shortened processing time, low cost, and easy fabrication. For example, Hsu et al. reported the integration of the fiber optic-particle plasmon resonance (FO-PPR) biosensor with a polymethylmethacrylate (PMMA)-based microfluidic channel of $4.0 \text{ cm} \times 900 \mu \text{m} \times 900 \mu \text{m}$ to reduce response time and improve detection limit [34]. The resolution of the refractive index of various sucrose solutions was enhanced by 2.4-fold in the microfluidic system. Makiabadi et al. also integrated an optical fiber SPR sensor with a microfluidic system to monitor in real-time the sensitivity of OFS for each kinetic reaction occurring at the surface [35]. In this paper, we combined a curved D-type optical fiber sensor with a microfluidic chip for sensing bio-liquids with different refractive indices. This work corresponds to the experimental part of the reference [30]. Experiments were performed to attain a sensitivity of $10^{-5}$ RIU. The results suggest that this microfluidic chip-integrated OFS can serve as a biosensor for sensitive, label-free, real-time, and in-situ monitoring of biomolecular interactions.
2. Materials and Methods

2.1. SPR Theory

As shown in Figure 1a, the core of a D-type OFS is side-polished and coated with a thin gold film to achieve the SPR phenomenon. Figure 1b shows the three-layered Kretchmann’s configuration, where an evanescent wave propagates along the metal-dielectric interface. This evanescent wave can interact with the plasma waves on the surface, excite the plasmons, and then cause resonance. Detailed calculation of the SPR theory was presented in the reference [30].

![Figure 1](image)

Figure 1. The schemes of (a) the optical fiber sensor and (b) the Kretchmann's configuration.

2.2. Simulation

The simulation includes two parts: (1) Calculating the number of reflections and the incident angles under different fiber curvature and core-polished depth; (2) Using an optical thin-film software (the Essential Macleod software package from the Thin Film Center Inc., Tucson, AZ, USA) to simulate the dependence of reflectance on the fiber curvature, the core-polished depth, and the gold-film thickness. The simulation results, showing a sensitivity of around $2 \times 10^{-5}$ to $3 \times 10^{-5}$ RIU, were detailed in the reference [30].

2.3. Fabrication of the OFS

Multi-mode optical fibers with a core diameter of 62.5 μm, a cladding diameter of 125 μm, and a numerical aperture (NA) of 0.272 were used in this study, providing higher “light-gathering” capacity than single-mode fibers. First, a trench with a curvature of 580 mm was created, using a trench-cutting machine, on a 4 cm × 4 cm × 0.5 cm glass substrate. As shown in Figure 2a, a thickness of 275 μm to 375 μm was left on the top of the glass slide for polishing. Then an optical fiber was pushed against the trench and embedded inside by filling the trench with a UV-curing adhesive. Figure 2b shows a picture of the embedded curved optical fiber. This curvature-trench method has the following advantages: (1) The glass substrate with a large surface area can reduce the pressure and prevent the fiber from breaking during the polishing process; (2) the curvature of the fiber can be precisely controlled for calculating the core-polished depth.

Next, a grinding machine was used to polish the optical fiber-embedded glass slide. The grinding powders with different grain sizes, from ~11.3 μm of Al₂O₃ powder #800, ~7.1 μm of Al₂O₃ powders
#1200, to <0.3 μm of CeO₂ burnishing powders, were mixed with water, step-by-step, for different polishing process. A red semiconductor laser of 633 nm was induced to the fiber. As the fiber core was partially polished, a leak of light occurred in the desired sensing region. As shown in Figure 2b, the core-polished depth (y) can be related to the curvature of the fiber (R = 580 mm) and the length of the light leak (L) as

\[ y = R - \sqrt{R^2 - \left(\frac{L}{2}\right)^2} \]  

(1)

By coupling the red semiconductor laser beam into the fiber, the length of the light leak was measured to be around 1.1–1.25 cm. This resulted in a core-polished depth to be about 26–33.7 μm.

Finally, a thin gold film was deposited on the fiber-embedded glass substrate. Before sputtering, the chamber was vacuumed to a background pressure of 2 × 10⁻⁵ Torr. During sputtering, Ar gas at a rate of 14 sccm was flowed into the chamber to attain a working pressure of 2 × 10⁻³ Torr. With a DC sputtering power of 60 W, the thickness of the deposited film around 10–12 nm was in-situ monitored with a quartz crystal thickness monitor and ex-site checked with an ellipsometer (VASE M-2000U, J. A. Woollam Company, Lincoln, NE, USA).

Figure 2. The (a) side-view scheme and (b) picture of the embedded curved optical fiber.

2.4. Fabrication of the Microfluidic Chip

The design of the microfluidic chip is shown in Figure 3. The pattern was drawn in AutoCAD (version 2014, Autodesk, San Rafael, CA, USA) and then loaded into a CO₂ laser scriber (ILS2, Laser Tools & Technics Corp., Hsinchu City, Taiwan) to ablate the desired patterns on polymethylmethacrylate (PMMA) substrates and double-sided tapes (8018, 3M, St. Paul, MN, USA) [36–39]. The top PMMA layer had two holes for solution inlet and outlet. The middle double-sided tape, having a channel size of 2 cm × 0.5 cm × 26 μm, served as the flow cell. This tape bound to the bottom OFS to form the integrated device.
2.5. Materials

Bio-liquids with different refractive indices were prepared and flowed across the sensing region of the OFS for observing the SPR spectra. Four sets of liquids were made, and their refractive indices were calculated and measured using an Abbe refractometer.

1. For ethanol, the following equation is applied:

\[ n_{\text{mix}} = n_{\text{water}} + 0.0522 \times \left( \frac{V_{\text{eth}}}{V_{\text{tot}}} \right) \]  \hspace{1cm} (2)

In this equation [40,41], \( n_{\text{mix}} \) and \( n_{\text{water}} \) are the refractive indices of ethanol-water mixture and water, respectively, and \( V_{\text{eth}}/V_{\text{tot}} \) is the volume ratio of ethanol to total solution. Assuming \( n_{\text{water}} = 1.33 \), refractive indices of 1.3412, 1.3429, 1.3456, 1.3499, and 1.3587 were obtained by suitably adjusting \( V_{\text{eth}}/V_{\text{tot}} \). These values were further measured to be 1.3411, 1.3427, 1.346, 1.3507, and 1.358.

2. For methanol, the following equation is applied:

\[ n_{\text{mix}} = n_{\text{water}} + 0.01738 \times \left( \frac{V_{\text{meth}}}{V_{\text{tot}}} \right) \] \hspace{1cm} (3)

Using this equation, refractive indices of 1.3354, 1.336, 1.3368, 1.3383, and 1.3412 were obtained by suitably adjusting \( V_{\text{meth}}/V_{\text{tot}} \). These values were further measured to be 1.335, 1.3362, 1.3375, 1.3385, and 1.3402.

3. For ethanol-methanol mixture, the following equation is applied:

\[ n_{\text{mix}} = n_{\text{water}} + 0.01738 \times \left( \frac{V_{\text{meth}}}{V_{\text{tot}}} \right) + 0.0522 \times \left( \frac{V_{\text{eth}}}{V_{\text{tot}}} \right) \] \hspace{1cm} (4)

Using this equation, refractive indices of 1.3412, 1.3552, 1.3569, 1.3578, and 1.3587 were obtained by suitably adjusting \( V_{\text{meth}}/V_{\text{tot}} \) and \( V_{\text{eth}}/V_{\text{tot}} \). These values were further measured to be 1.3402, 1.3547, 1.3553, 1.3563, and 1.358.

4. For glucose solution, the following equation is applied:

\[ n_{\text{mix}} = n_{\text{water}} + 0.101567 \times \left( \frac{M_{\text{glu}}}{V_{\text{tot}}} \right) \] \hspace{1cm} (5)

In this equation, \( M_{\text{glu}}/V_{\text{tot}} \) is the mass concentration (g/mL) of the solution. By suitably adjusting \( M_{\text{glu}}/V_{\text{tot}} \), refractive indices of 1.343, 1.353, 1.363, 1.3731, and 1.383 were obtained. These values were further measured to be 1.3431, 1.3531, 1.3635, 1.3735, and 1.379.

![Figure 3](image_url)
2.6. Experimental Setup

As shown in Figure 4, the experimental setup contained a light source, the microfluidic chip-integrated OFS, a photoluminescence (PL) spectrometer, and a photomultiplier (PMT). A tungsten halogen lamp (LS-1, Ocean Optics, Dunedin, FL, USA) with a spectrum between 400 nm and 900 nm was used as the unpolarized light source. This light source was directly coupled into the optical fiber at incident angles between 80° and 90° in the polished region, and the output light was measured with the PL spectrometer (Triax 320, HORIBA, Kyoto, Japan). The integration time was set to be 0.1 s, and the scanning wavelength was set to range from 400 nm to 900 nm with a resolution of 0.06 nm. Finally, a PMT (R5108, Hamamatsu Photonics, Tokyo, Japan), having a detection range of 400 nm–1200 nm and the strongest response at 800 nm, converted the light signals to electronic signals before they were further processed by a computer [30]. The bio-liquid to be analyzed was continuously flowed across the sensing region of the OFS using a syringe pump (NE-300, New Era, Farmingdale, NY, USA) at a flow rate of 40 μL/min. A stable SPR spectrum was recorded after about 10 min of waiting. For statistical analysis, four SPR spectra were collected for one bio-liquid (twice from large \( n \) to small \( n \), and twice the reversed way).

![Figure 4](image-url)  
**Figure 4.** The experimental setup composed of a light source, the microfluidic chip-integrated OFS, a spectrometer, and a photomultiplier (PMT).

3. Results

3.1. The SPR Spectra

Figure 5a shows the normalized SPR spectra of ethanol solutions with different refractive indices, which were measured by the Abbe refractometer, from small \( n (n_{\text{air}} = 1.0003) \) to large \( n \) (\( n = 1.358 \) for \( V_{\text{eth}}/V_{\text{tot}} = 1 \)). The enlarged figure indicates the maximum intensities, being 1, 0.829, 0.816, 0.802, 0.788, 0.774, and 0.754 for \( n = 1.003, 1.3325, 1.3411, 1.3427, 1.346, 1.3507, \) and 1.358, respectively (all refractive indices were measured values). These peak values decreased with increasing refractive index. Figure 5b shows the normalized SPR spectra of methanol solutions with different refractive indices from small \( n \) (\( n_{\text{air}} = 1.0003 \)) to large \( n \) (\( n = 1.3402 \) for \( V_{\text{meth}}/V_{\text{tot}} = 1 \)). As indicated in the enlarged figure, the maximum intensities decreased with increasing refractive index, being 1, 0.884, 0.835, 0.825, 0.81, 0.795, and 0.782 for \( n = 1.003, 1.3325, 1.335, 1.3362, 1.3375, 1.3385, \) and 1.3402, respectively (all refractive indices were measured values). Figure 6a shows the normalized SPR spectra of ethanol-methanol solutions with different refractive indices from small \( n (n_{\text{air}} = 1.0003) \) to large \( n \)
(n = 1.358 for $V_{\text{eth}}/V_{\text{tot}} = 1$ and $V_{\text{meth}}/V_{\text{tot}} = 0$). The enlarged figure indicates decreased maximum intensities with increasing refractive index, being 1, 0.856, 0.847, 0.844, 0.831, 0.83, and 0.828 for $n = 1.003, 1.3325, 1.3402, 1.3547, 1.3553, 1.3563, \text{and} 1.358$, respectively (all refractive indices were measured values). Figure 6b shows the normalized SPR spectra of glucose solutions with different refractive indices from small $n$ ($n_{\text{air}} = 1.0003$) to large $n$ ($n = 1.379$ for $M_{\text{glu}}/V_{\text{tot}} = 0.5$). As indicated in the enlarged figure, the maximum intensities decreased with increasing refractive index, being 1, 0.877, 0.849, 0.835, 0.824, 0.81, and 0.788 for $n = 1.003, 1.3325, 1.3431, 1.3531, 1.3635, 1.3735, \text{and} 1.379$, respectively (all refractive indices were measured values).

**Figure 5.** The normalized surface plasmon resonance (SPR) spectra of (a) ethanol and (b) methanol solutions with different refractive indices.

**Figure 6.** The normalized SPR spectra of (a) ethanol-methanol and (b) glucose solutions with different refractive indices.
### 3.2. The Sensitivity

The sensitivity $S$ (in RIU) of the OFS can be defined as [27]

$$S = \frac{\Delta T}{\Delta A/\Delta n}$$ (6)

where $\Delta T$ is the resolution in the intensity of the light source, $N$ is the normalization factor, and $\Delta A/\Delta n$ is the slope of the maximum intensity to the refractive index. In Figures 5 and 6, all SPR spectra are normalized to the peak value of $n_{air}$. Thus, the value of $(\Delta A/\Delta n)$ can be derived by plotting the normalized maximum intensity with respect to the refractive index, as shown in Figure 7. In each panel, all points are linearly fitted to obtain a negative slope due to the decreasing maximum intensities with increasing refractive index. With a light source resolution of 0.01%, the sensitivity was calculated by using Equation (6). Table 1 lists the slopes and sensitivities for different bio-liquids.

**Figure 7.** The maximum intensities as a function of the refractive index for (a) ethanol solutions; (b) methanol solutions; (c) ethanol-methanol solutions; and (d) glucose solutions. In each panel, the slope $\Delta A/\Delta n$ was derived by linearly fitting all points.

**Table 1.** The slopes and sensitivities for different bio-liquids.

| Bio-Liquids          | Refractive Index | Slope  | Sensitivity               | Previous Report Sensitivity |
|----------------------|-----------------|--------|---------------------------|-----------------------------|
| Ethanol solutions    | 1.3325–1.358    | –3.21  | $3.12 \times 10^{-5}$ RIU | 3–500 ppm [42]; 0.06% or 60 ppm [43]; 5%–40% [44] |
| Methanol solutions   | 1.3325–1.3402   | –14.48 | $6.91 \times 10^{-6}$ RIU | 6.5 ppm [45]; 1.5 ppm [46] |
| Ethanol-methanol     | 1.3325–1.358    | –1.05  | $9.52 \times 10^{-5}$ RIU | Methanol 0.5% [47]          |
| Glucose solutions    | 1.3325–1.379    | –1.5   | $6.67 \times 10^{-5}$ RIU | 10 mg/dL [48,49]; 1.08 mg/dL [50] |
4. Discussion

For ethanol sensing, the sensitivity was calculated to be $3.12 \times 10^{-5}$ RIU. Using Equation (2), this corresponded to a minimum detectable $V_{\text{eth}}/V_{\text{tot}}$ of $6 \times 10^{-4}$, 0.06%, or 60 ppm. This sensitivity was comparable to those observed in other OFSs. For example, Song et al. developed a highly sensitive ethanol sensor based on mesoporous ZnO–SnO$_2$ nanofibers, and it was claimed that this sensor had advantages of high sensitivity, quick response and recovery, good reproducibility, and linearity in the range of 3–500 ppm [42]. Shabaneh et al. reported using a multi-mode, carbon nanotubes (CNT)-coated fiber to detect ethanol solutions with concentration ranges of 5%–80%, and found that the sensor reflectance reduced proportionally [43]. To detect the alcohol concentration in liquors, Morisawa and Muto fabricated an OFS where a mixture polymer of novolac resin and polyvinylidenefluoride (PVDF) was coated as a sensitive cladding layer on the plastic fiber core [44]. Using this sensor, optical detection of the alcohol concentration in real liquors, for example, from beer (5 v/v%) to whisky (40 v/v%) could easily be obtained with a fast response time less than one minute.

For methanol sensing, the sensitivity was calculated to be $6.91 \times 10^{-6}$ RIU. Using Equation (3), this corresponded to a minimum detectable $V_{\text{meth}}/V_{\text{tot}}$ of $4 \times 10^{-4}$, 0.04%, or 40 ppm. Usually, methanol sensors were constructed based on electrochemical techniques. Rahman et al. deposited calcined $\alpha$-Fe$_2$O$_3$ co-doped SnO$_2$ nanocubes (NCs) on a silver electrode (AgE) to give a sensor with a fast response towards methanol in liquid phase [45]. This sensor exhibited good sensitivity and long-term stability, and enhanced electrochemical response, with a detection limit of 0.16 mmol·L$^{-1}$ (about 6.5 ppm). The same group also fabricated a methanol sensor by depositing silver oxide nanoparticles (NPs) on a glassy carbon electrode [46]. The detection limit was claimed to be 36.0 µM (around 1.5 ppm). Compared with these electrochemical methods, the current OFS provides advantages of small size, low cost, flexibility, and easy fabrication, despite a slightly worse sensitivity.

For methanol-ethanol mixture sensing, the sensitivity was calculated to be $9.52 \times 10^{-5}$ RIU. Using Equation (4), this corresponded to a minimum detection limit of $2.73 \times 10^{-3}$, 0.273%, or 273 ppm, if ethanol was replaced by methanol. This OFS could be helpful to distinguishing adulterated from authorized wines. Based on deformed optical fiber cores, Fabian et al. reported an optical fiber evanescent field sensor for methanol and ethanol solvents [47]. Using the visible wavelength at 650 nm, it was shown that the sensitivity of this sensor enabled the determination of methanol concentrations of better than 0.5% and of ethanol concentrations of better than 0.2%.

For glucose sensing, the sensitivity was calculated to be $6.67 \times 10^{-5}$ RIU. Using Equation (5), this corresponded to a minimum detectable $M_{\text{glu}}/V_{\text{tot}}$ of 66 mg/dL. This OFS could be helpful to monitoring the level of bold sugar, and its sensitivity was comparable to those of other sensors. For example, Wang et al. described a fiber loop ring-down glucose sensor using refractive index-difference evanescent field attenuation effect as the sensing mechanism [48]. The estimated theoretical detection sensitivity of this glucose sensor was 10 mg/dL, which was approximately 17 times lower than the glucose renal threshold concentration. Luo et al. reported a highly sensitive, label-free and selective glucose sensor by using excessively tilted fiber grating (Ex-TFG) inscribed in the thin-cladding optical fiber (TCOF) [49]. The experimental results showed that Ex-TFG in TCOF based sensor had a reliable and fast detection of the glucose concentration as low as 10 mg/dL. Li and Walt developed a fiber-optic glucose-oxygen sensor comprised of dual-analyte sensing sites in the defined positions on the distal end of an imaging fiber [50]. The glucose detection limit was shown to be around 0.6 mM (1.08 mg/dL).

5. Conclusions

In this paper, the combination of a curved D-type optical fiber sensor with a microfluidic chip was reported. This OFS was used as a biosensor to measure the refractive indices of different bio-liquids such as ethanol, methanol, and glucose solutions. Its sensitivity was around $10^{-5}$ RIU, and this value was comparable to those derived in other ethanol or glucose sensors. By virtue of the advantages of this microfluidic chip-integrated OFS, e.g., small size, low cost, flexibility, easy fabrication, low sample consumption, and in vivo measurement, this device could have great applications as
a biosensor for monitoring subtle changes in biological samples such as blood sugar, allergen, and biomolecular interactions.

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