ORIGINAL RESEARCH ARTICLE

Time to Treatment Discontinuation in German Patients with Schizophrenia: Long-Acting Injectables versus Oral Antipsychotics

Jörg Mahlich1,2 · Kerstin Olbrich1 · Adrian Wilk3 · Antonie Wimmer4 · Claus Wolff-Menzler5

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Abstract
Background and Objective Long-acting injectable antipsychotics (LAIs) are associated with better treatment adherence and persistence than oral antipsychotics (OAPs) in patients with schizophrenia. However, real-world evidence assessing the impact of treatment with LAIs in Germany is limited. To fill this gap, we compared antipsychotic medication adherence and risk of treatment discontinuation (TD) among schizophrenia patients newly initiated on LAI or who switched their OAP regimen (overall cohort; OC).

Methods Claims data of German schizophrenia patients who initiated LAIs or switched their OAP during 2012–2016 (index date) were retrospectively analyzed. Treatment switch was defined as add-on medication to existing prescription or terminating the existing prescription and initiating another OAP. Adherence and time to treatment discontinuation (TTD) were estimated. Determinants of treatment discontinuation were analyzed using two Cox regression models. Model 1 controlled for age, sex, and Charlson Comorbidity Index (CCI); model 2 also included insurance status, and medication, visit, and psychiatric inpatient stay costs. Sensitivity analysis on patients who terminated existing prescriptions and initiated new OAPs (complete switch cohort; CSC) was performed.

Results In OC (n = 2650), LAI users had better adherence (35.4% vs. 11.6%), persistence (no 60-day gap; 40.7% vs. 19.8%), and longer TTD (median [95% confidence interval (CI)] 216 [193–249] vs. 50 [46–56] days) than OAP users. OAP usage (hazard ratio [HR] 1.89, 95% CI 1.73–2.06; p < 0.001) and greater CCI (HR 1.04, 95% CI 1.00–1.07; p = 0.023) were associated with greater risk of TD in model 1. Model 2 showed similar results. LAI users in CSC also had better adherence, persistence, and longer TTD. In CSC too, OAP usage and greater CCI were associated with greater risk of TD in model 1, but only CCI was significant in model 2. Higher pre-index psychiatric inpatient costs were associated with lower risk of TD (HR 0.99, 95% CI 0.98–1.00; p = 0.014).

Limitations Inherent limitations of claims data and lack of control on OAP administration may have influenced the results.

Conclusion This real-world study associates LAIs with better medication adherence and lower antipsychotic discontinuation risk than OAPs.

1 Introduction
Schizophrenia is a severe mental disorder with characteristics that include disruptions in thinking, sense of self, perception, and language [1]. The Robert Koch Institute has reported the prevalence of schizophrenia in Germany to be between 0.80 and 1% [2]. The negative effect of schizophrenia on cognitive performance [3] impairs daily activities, work productivity, and social functioning [4], resulting in increased economic burden. The annual economic burden of schizophrenia for Germany was estimated to be €9.6–13.5 billion in 2008 [5].

Pharmacologic treatment remains the cornerstone of the management of schizophrenia [6]. However, the efficacy of antipsychotics depends on medication adherence [7], which is low among patients with schizophrenia [8, 9]. Evidence shows non-adherence to be associated with a greater risk of hospitalization in the USA [10–13] and Europe [14, 15]. A systematic review by Higashi et al. [16] also reported hospitalization to be a major consequence of non-adherence.
Switch from an oral antipsychotic to a long-acting injectable antipsychotic was associated with better treatment persistence and lower risk of treatment discontinuation than a switch from an oral antipsychotic to another oral antipsychotic in a real-world cohort of German patients with schizophrenia.

Patients suffering from comorbidities also had a higher risk of treatment discontinuation.

Usage of long-acting injectable antipsychotics (LAIs) is one of the strategies employed to improve adherence in patients with schizophrenia, as LAIs have been shown to increase medication adherence and reduce hospitalization [17–23], as well as to be more cost-effective compared with oral antipsychotics (OAPs) [23–28]. Recent studies have evaluated the difference in medication adherence between LAIs and OAPs using claims data from the USA [20, 25, 29]. Greene et al. [20] reported that LAI users had a higher mean proportion of days covered (PDC) than OAP users (0.55 vs. 0.50; *p* < 0.001), while OAP users had a higher risk of treatment discontinuation (hazard ratio [HR] 1.20, 95% confidence interval [CI] 1.12–1.28; *p* < 0.001). Pilon et al. [25] reported the odds of being adherent to the prescribed treatment (PDC ≥ 0.8) were higher among users of second-generation antipsychotic (SGA) LAIs compared with OAP users (odds ratio [OR] 1.28, 95% CI 1.18–1.40; *p* < 0.001). Joshi et al. [29] reported similar results as users of paliperidone palmitate LAI were more adherent than OAP users (PDC ≥ 0.8: 48.1% vs. 32.6%; *p* < 0.001).

Although evidence supporting the use of LAIs to improve medication adherence is available elsewhere, similar studies have not been conducted in Germany. To address this knowledge gap, we compared medication adherence and persistence of LAIs and OAPs using data from a large German claims database.

## Methods

### 2.1 Data Source

De-identified patient data were obtained from a claims database provided by Team Gesundheit, Essen, Germany. This database comprises comprehensive patient-level electronic records of health insurance claim information on inpatient, outpatient, and prescription drugs. Over 3.7 million members of the German statutory health insurance are covered by this database. Moreover, the age and sex distribution resemble that of the general population insured with statutory health insurance. This database has been extensively utilized for health services research [30–32]. In 2018, around 87.7% of the population (72.8 million [33] among 83 million people [34]) was covered by statutory health insurance, while 12.3% of the population was privately insured.

### 2.2 Study Population

This retrospective cohort study included patients who were diagnosed with schizophrenia according to ICD-10-GM (International Classification of Diseases German Modification, 10th Revision) code F20.x (see Online Supplementary Material (OSM), Table 1) from 2011 to 2016 with a maximum follow-up till 2017 (OSM Fig. 1). Diagnoses made in the inpatient setting (primary and secondary diagnosis) or outpatient setting (verified diagnosis) were considered. Patients who were new LAI users, as defined by a documented LAI prescription, or had switched their OAP during 2012–2016, were identified. Treatment switch for OAP users was defined as add-on medication to the existing OAP prescription or termination of the existing OAP prescription and initiation of a new OAP. The following LAIs were identified: fluphenazine, haloperidol, flupenthixol, zuclopenthixol, fluspirilene, olanzapine, risperidone, aripiprazole, and paliperidone (OSM Table 2). The identified OAPs are reported in OSM Table 3. The index date was defined as the first prescription of the LAI for LAI users and as the first prescription after switching from one OAP regimen to another for OAP users.

An ICD-10 F20.x diagnosis in the quarter of the index date or in the preceding quarter, age ≥ 18 years at the index date, and presence of at least 365 days of continuous enrollment prior to and after the index date was essential for inclusion in the study. Patients who were prescribed an LAI before 2012 and patients who were identified with certain comorbidities such as attention deficit/hyperactivity disorder (ICD-10 F90.x–F98.x), epilepsy (ICD-10 G09.x, G40.x, G41.x, I69.4, and O99.3), or dementia (ICD-10 F0.x, G30.x, G31.x, G10.x, G20.x, B22.0, and E75.6) in the quarter of the index date or in the preceding quarter were excluded (Fig. 1) as patients with these comorbidities often receive off-label antipsychotic medications [35, 36].

### 2.3 Covariates

The selection of covariates was conducted in line with previous studies [31, 37]. Age, sex, common comorbidities,
and the Charlson Comorbidity Index (CCI) [38] were the baseline covariates of interest. The CCI included 19 comorbidities as reported in OSM Table 4 (myocardial infarction, congestive heart failure, peripheral vascular disease, cerebrovascular disease, dementia, chronic pulmonary disease, rheumatic disease, peptic ulcer disease, mild liver disease, diabetes without chronic complication, diabetes with chronic complication, hemiplegia or paraplegia, renal disease, tumors without metastasis, lymphoma, leukemia, moderate or severe liver disease, metastatic solid tumors, and AIDS/HIV) and assigned a weight between 1 and 6 to each comorbidity. Higher CCI indicates greater patient morbidity.

2.4 Outcomes

Medication adherence was assessed using PDC in the 1 year after the index date. PDC was defined as the number of available days of index therapy divided by 365 (number of days in a year) [39]. The days’ supply for each LAI claim was set to the minimum time between injections as per the individual drug’s labeled dosing schedule [40]. For OAPs, PDC was calculated using the days’ supply based on the daily defined doses as reported by the German Institute of Medical Documentation and Information [41]. Adherence was defined as PDC ≥0.80. Persistence was defined in three ways: proportion of patients with no 30-day supply gap, no 60-day gap, and no 90-day gap during 1 year after the index date.

Time to treatment discontinuation was calculated with the Kaplan–Meier method. The persistence period was defined as the time from treatment initiation (index date) until discontinuation of the index medication. Patients were considered to have discontinued the index treatment if: (1) a gap exceeding 60 days (grace period) after the end of supply of the index medication was found, or (2) the patient switched from the index medication to another treatment(s). This definition of drug survival was consistent with that employed in other studies on persistence [37, 42].

2.5 Statistical Analysis

We analyzed all the outcomes in two cohorts: an overall cohort (OC) and a subset of the OC named the complete switch cohort (CSC). Primary analysis comprised patients in the OC, i.e. those who initiated a LAI or switched their OAP. Sensitivity analysis was performed using the CSC, i.e. those who initiated a LAI and those who terminated their existing OAP prescription and initiated a new OAP. Patients who had a medication added onto their existing OAP prescription were excluded from the sensitivity analysis.

Means and standard deviations for continuous variables and counts and percentages for categorical variables were calculated. The Kaplan–Meier method was used to estimate time to antipsychotic medication discontinuation. Cox regression analysis was performed to examine the association between time to antipsychotic medication discontinuation and usage of OAP or LAI. Cox regression was performed using two approaches. In the first approach, the model was adjusted for age, sex, and CCI, while in the second approach the model was adjusted for age, sex, CCI, insurance status, medication costs, visit costs, and psychiatric inpatient stay costs. All analyses were performed using SAS software (version 9.4; SAS Institute Inc., Cary, NC, USA).

3 Results

3.1 Population Characteristics

Among the 27,363 patients diagnosed with schizophrenia, 2650 were eligible for the study (Fig. 1). The OC included 1132 (42.7%) LAI users and 1518 (57.3%) OAP users. Table 1 shows the baseline characteristics. OAP users were older than LAI users (mean [SD] age 46.9 [14.2] vs. 42.3 [14.5] years). Males comprised a greater proportion of the patients in both groups. A greater proportion of OAP users had compulsory insurance than LAI users (28.5% vs. 21.4%). LAIs were prescribed more to young individuals while OAPs were prescribed more to the elderly. A greater proportion of OAP users were afflicted with comorbidities such as diabetes, liver disease, chronic pulmonary disease, and renal disease compared with LAI users, and this reflected in the higher CCI as well (mean [SD] 0.9 [1.4] vs. 0.6 [1.1]). However, use of substances such as alcohol, opioids, etc. were greater in LAI users than in OAP users.

The initial antipsychotic agent was prescribed more in the LAI group than in the OAP group during the post-index period (mean [SD] 5.6 [4.5] vs. 4.0 [3.3]). SGAs were widely prescribed in both the groups in both the pre- and post-index periods. Paliperidone (36.5%) and risperidone (24.7%) were the most prescribed LAIs during the post-index period (Table 2).

The CSC comprised 533 (69.9%) LAI users and 230 (30.1%) OAP users. Similar to the OC, OAP users in the CSC were older than LAI users (mean [SD] age 47.3 [15.6] vs. 44.1 [15.0] years). The majority of users in both groups were male. A greater proportion of OAP users had compulsory insurance than LAI users (31.7% vs. 22.0%). CCI was higher among OAP users than LAI users (mean [SD] 1.0 [1.6] vs. 0.6 [1.2]) (Table 1). SGAs were widely prescribed in both the groups in both the post- and pre-index periods. Paliperidone (35.5%) and risperidone (23.5%) were the most prescribed LAIs during the post-index period (Table 2).
Fig. 1 Data set for analysis. ADHD attention-deficit/hyperactivity disorder, ICD International Classification of Diseases, LAI long-acting injectable antipsychotic, OAP oral antipsychotic

Patients diagnosed with schizophrenia (ICD-10 F.20x) in the period 2011–2016 (N=27,363) →

Patients prescribed an antipsychotic in the period 2011–2016 (n=23,029) →

New LAI users or those who switched their OAP during 2012–2016 (index date) (n=5,217) →

Patients who were not prescribed rarely used OAPs and vials (n=4,843) →

Patients diagnosed with schizophrenia (ICD-10 F.20x) in the quarter of the index date or the preceding quarter (n=3,864) →

Patients aged ≥18 years at the index date (n=3,858) →

Patients with at least 365 days of continuous enrollment prior to the index date (n=3,726) →

Patients with at least 365 days of continuous enrollment after the index date (n=3,505) →

Eligible patients (n=2,650) →

Sensitivity analysis eligible patients (n=763) →

Patients not diagnosed with schizophrenia (ICD-10 F.20x) in the period 2011–2016 were excluded (n=4,334) →

Patients not prescribed an antipsychotic during 2011–2016 were excluded (n=17,812) →

Experienced LAI users or OAP non-switchers during 2012–2016 (index date) were excluded (n=374) →

Patients who were prescribed rarely used OAPs and vials were excluded (n=979) →

Patients not diagnosed with schizophrenia (ICD-10 F.20x) in the quarter of the index date or the preceding quarter were excluded (n=6) →

Patients aged <18 years at the index date were excluded (n=132) →

Patients without at least 365 days of continuous enrollment prior to the index date were excluded (n=221) →

Patients with comorbid ADHD, epilepsy, or dementia in the quarter of the index date or in the preceding quarter were excluded (n=855) →

Patients who did not completely switch therapy were excluded (n=1,887)
Table 1  Baseline characteristics

| Parameter                        | Overall cohort | Complete switch cohort |
|---------------------------------|----------------|------------------------|
|                                 | LAI (n=1132)   | Oral (n=1518)          | LAI (n=533)     | Oral (n=230)  |
| Age, years, mean (SD)           | 42.3 (14.5)    | 46.9 (14.2)            | 44.1 (15.0)     | 47.3 (15.6)   |
| Age group, n (%)                 |                |                        |                |               |
| 18–24                            | 102 (9.0)      | 49 (3.2)               | 40 (7.5)       | 14 (6.1)      |
| 25–34                            | 324 (28.6)     | 293 (19.3)             | 139 (26.1)     | 40 (17.4)     |
| 35–44                            | 227 (20.1)     | 331 (21.8)             | 97 (18.2)      | 42 (18.3)     |
| 45–54                            | 232 (20.5)     | 412 (27.1)             | 117 (21.9)     | 63 (27.4)     |
| 55–64                            | 161 (14.2)     | 259 (17.1)             | 85 (16.0)      | 37 (16.1)     |
| ≥ 65                             | 86 (7.6)       | 174 (11.5)             | 55 (10.3)      | 34 (14.8)     |
| Sex, n (%)                       |                |                        |                |               |
| Male                             | 634 (56.0)     | 780 (51.4)             | 291 (54.6)     | 118 (51.3)    |
| Female                           | 498 (44.0)     | 738 (48.6)             | 242 (45.4)     | 118 (48.7)    |
| Index year, n (%)                |                |                        |                |               |
| 2012                             | 254 (22.4)     | 586 (38.6)             | 127 (23.8)     | 96 (41.7)     |
| 2013                             | 212 (18.7)     | 359 (23.6)             | 92 (17.3)      | 50 (21.7)     |
| 2014                             | 245 (21.6)     | 250 (16.5)             | 115 (21.6)     | 38 (16.5)     |
| 2015                             | 212 (18.7)     | 167 (11.0)             | 95 (17.8)      | 23 (10.0)     |
| 2016                             | 209 (18.5)     | 156 (10.3)             | 104 (19.5)     | 23 (10.0)     |
| Insurance status, n (%)          |                |                        |                |               |
| No compulsory insurance          | 4 (0.4)        | 9 (0.6)                | 1 (0.2)        | 1 (0.4)       |
| Compulsory insurance             | 242 (21.4)     | 432 (28.5)             | 117 (22.0)     | 73 (31.7)     |
| Voluntary insurance              | 104 (9.2)      | 136 (9.0)              | 40 (7.5)       | 18 (7.8)      |
| Unemployed person                | 192 (17.0)     | 110 (7.2)              | 96 (18.0)      | 24 (10.4)     |
| Pension claimant                 | 8 (0.7)        | 4 (0.3)                | 3 (0.6)        | 1 (0.4)       |
| Retired                          | 398 (35.2)     | 610 (40.2)             | 199 (37.3)     | 75 (32.6)     |
| Special group of persons         | 13 (1.1)       | 18 (1.2)               | 5 (0.9)        | 2 (0.9)       |
| Family member                    | 106 (9.4)      | 132 (8.7)              | 48 (9.0)       | 24 (10.4)     |
| Unknown                          | 65 (5.7)       | 67 (4.4)               | 24 (4.5)       | 12 (5.2)      |
| CCI, mean (SD)                   | 0.6 (1.1)      | 0.9 (1.4)              | 0.6 (1.2)      | 1.0 (1.6)     |
| Comorbidities, n (%)             |                |                        |                |               |
| MI                               | 7 (0.6)        | 14 (0.9)               | 4 (0.8)        | 8 (3.5)       |
| CHF                              | 34 (3.0)       | 51 (3.4)               | 20 (3.8)       | 13 (5.7)      |
| Peripheral vascular disease      | 19 (1.7)       | 56 (3.7)               | 9 (1.7)        | 11 (4.8)      |
| Cerebrovascular disease          | 32 (2.8)       | 62 (4.1)               | 14 (2.6)       | 9 (3.9)       |
| Dementia                         | 8 (0.7)        | 6 (0.4)                | 3 (0.6)        | 2 (0.9)       |
| Chronic pulmonary disease        | 184 (16.3)     | 295 (19.4)             | 83 (15.6)      | 47 (20.4)     |
| Rheumatic disease                | 15 (1.3)       | 20 (1.3)               | 6 (1.1)        | 2 (0.9)       |
| Peptic ulcer disease             | 12 (1.1)       | 18 (1.2)               | 7 (1.3)        | 5 (2.2)       |
| Mild liver disease               | 88 (7.8)       | 164 (10.8)             | 35 (6.6)       | 24 (10.4)     |
| Diabetes without chronic complication | 105 (9.3)   | 223 (14.7)             | 50 (9.4)       | 44 (19.1)     |
| Hemiplegia or paraplegia         | 6 (0.5)        | 16 (1.1)               | 2 (0.4)        | 1 (0.4)       |
| Renal disease                    | 28 (2.5)       | 65 (4.3)               | 13 (2.4)       | 11 (4.8)      |
| Diabetes with chronic complication | 29 (2.6)     | 76 (5.0)               | 18 (3.4)       | 14 (6.1)      |
| Tumor                            | 22 (1.9)       | 61 (4.0)               | 14 (2.6)       | 14 (6.1)      |
| Leukemia                         | 1 (0.1)        | 4 (0.3)                | 1 (0.2)        | 0 (0.0)       |
| Lymphoma                         | 3 (0.3)        | 3 (0.2)                | 2 (0.4)        | 0 (0.0)       |
| Moderate or severe liver disease | 2 (0.2)        | 2 (0.1)                | 2 (0.4)        | 1 (0.4)       |
| Metastatic solid tumor           | 1 (0.1)        | 5 (0.3)                | 1 (0.2)        | 1 (0.4)       |
| AIDS/HIV                         | 2 (0.2)        | 2 (0.1)                | 1 (0.2)        | 0 (0.0)       |
3.2 Outcomes

3.2.1 Adherence and Persistence

LAI users had better antipsychotic medication adherence than OAP users in the OC (35.4% vs. 11.6% and mean [SD] PDC: 0.6 [0.3] vs. 0.3 [0.3]). In addition, a greater proportion of LAI users were observed to be persistent with their medication compared with OAP users (no 30-day gap: 26.4% vs. 9.6%, no 60-day gap: 40.7% vs. 19.8%, and no 90-day gap: 48.2% vs. 28.3%; Table 3).

Similar results were observed in the CSC. LAI users had better antipsychotic medication adherence than OAP users (33.0% vs. 21.7% and mean [SD] PDC: 0.6 [0.3] vs. 0.4 [0.3]). Although the difference was not as great as that observed in the OC, a greater proportion of LAI users in the CSC were observed to be persistent with their medication compared with OAP users (no 30-day gap: 25.9% vs. 18.7%, no 60-day gap: 38.1% vs. 33.0%, and no 90-day gap: 46.0% vs. 42.6%; Table 3).

3.2.2 Time to End of Therapy

A greater proportion of LAI users than OAP users were censored (22.2% vs. 9.2%) in the OC. The median [95% CI] time to end of therapy was also longer in the LAI group than the OAP group (216 [193–249] vs. 50 [46–56]) days (Fig. 2). Presence of a >60-day gap in treatment was a major reason for end of therapy among LAI users, while OAP users were majorly considered to have discontinued therapy as they had used another agent after their last documented prescription (Table 4).

Cox regression models were used to identify the factors associated with a lower risk of discontinuation of antipsychotic treatment in the OC. The variables age, sex, and CCI are described in Table 1, while insurance status, medication costs, visit costs, and psychiatric inpatient stay costs are described in OSM Table 5. In model 1, age, sex, and CCI were controlled. Here, the factors associated with greater risk of antipsychotic discontinuation were usage of OAPs (hazard ratio [HR] 1.89; 95% CI 1.73–2.06; p < 0.001) and greater CCI (HR 1.04; 95% CI 1.00–1.07; p = 0.023). In model 2, age, sex, CCI, insurance status, medication costs, visit costs, and psychiatric inpatient stay costs were controlled. Here too, OAP usage (HR 1.87; 95% CI 1.70–2.05; p < 0.001) and greater CCI (HR 1.04; 95% CI 1.00–1.08; p = 0.026) were associated with greater risk of antipsychotic discontinuation (Table 5).

In the CSC, the median [95% CI] time to end of therapy was longer in the LAI group than the OAP group (211 [163–247] vs. 119.5 [86–157]) days (Fig. 3). Unlike in the OC, similar proportions of LAI and OAP users ended therapy due to presence of a > 60-day gap in treatment and usage of another agent after their last documented prescription (Table 4). The factors associated with greater risk of antipsychotic discontinuation in model 1 were usage of OAPs (HR 1.19; 95% CI 1.00–1.42; p = 0.05) and greater CCI (HR 1.09; 95% CI 1.02–1.16; p = 0.007). In model 2, greater CCI (HR 1.08; 95% CI 1.01–1.15; p = 0.032) was associated with greater risk of antipsychotic discontinuation. OAPs only had a numerically bigger risk of treatment discontinuation over LAIs in model 2 (HR 1.08; 95% CI 0.89–1.31). Greater pre-index psychiatric inpatient stay costs were associated with a lower risk of antipsychotic discontinuation (HR 0.99; 95% CI 0.98–1.00; p = 0.014) (Table 5).
### Table 2  Medication characteristics and changes from pre-index to post-index period

| Parameter                                                                 | Overall cohort | Complete switch cohort |
|---------------------------------------------------------------------------|----------------|------------------------|
|                                                                           | LAI (n = 1,132) | Oral (n = 1,518)       | LAI (n = 533) | Oral (n = 230) |
| Number of days between first and second prescription among patients with second prescription, mean (SD) | 53.6 (60.8) | 63.7 (70.5) | 58.7 (67.8) | 46.2 (37.9) |
| Number of injections of initial agent, mean (SD)                          |                |                        |                |                |
| During post-index period                                                  | 12.8 (10.6)    | –                      | 12.4 (9.5)     | –              |
| During post-index period until switch to other LAI                        | 12.7 (10.6)    | –                      | 12.4 (9.5)     | –              |
| Number of injections of initial agent during post-index period until switch to other LAI, n (%) |                |                        |                |                |
| 1                                                                         | 92 (8.1)       | –                      | 38 (7.1)       | –              |
| 2                                                                         | 56 (4.9)       | –                      | 26 (4.9)       | –              |
| 3                                                                         | 43 (3.8)       | –                      | 17 (3.2)       | –              |
| 4–6                                                                       | 209 (18.5)     | –                      | 106 (19.9)     | –              |
| 7–10                                                                      | 150 (13.3)     | –                      | 84 (15.8)      | –              |
| 11–20                                                                     | 389 (34.4)     | –                      | 175 (32.8)     | –              |
| 21+                                                                       | 193 (17.0)     | –                      | 87 (16.3)      | –              |
| Number of prescriptions of initial agent, mean (SD)                       |                |                        |                |                |
| During post-index period                                                  | 5.6 (4.5)      | 4.0 (3.3)              | 5.5 (4.5)      | 5.0 (3.3)      |
| During post-index period until switch to other LAI                        | 5.6 (4.5)      | 4.0 (3.3)              | 5.5 (4.5)      | 5.0 (3.3)      |
| Number of prescriptions of initial agent during post-index period until switch to other LAI, n (%) |                |                        |                |                |
| 1                                                                         | 247 (21.8)     | 516 (34.0)             | 115 (21.6)     | 49 (21.3)      |
| 2                                                                         | 133 (11.7)     | 205 (13.5)             | 67 (12.6)      | 21 (9.1)       |
| 3                                                                         | 104 (9.2)      | 119 (7.8)              | 53 (9.9)       | 17 (7.4)       |
| 4–6                                                                       | 279 (24.7)     | 344 (22.7)             | 126 (23.6)     | 75 (32.6)      |
| 7–10                                                                      | 169 (14.9)     | 272 (17.9)             | 83 (15.6)      | 57 (24.8)      |
| 11–20                                                                     | 193 (17.0)     | 60 (4.0)               | 87 (16.3)      | 10 (4.3)       |
| 21+                                                                       | 7 (0.6)        | 2 (0.1)                | 2 (0.4)        | 1 (0.4)        |
| Number of patients with at least one prescription during pre-index period, n (%) | 809 (71.5) | 1518 (100.0)          | 278 (52.2)    | 230 (100.0)    |
| Last prescribed agent during pre-index period, n (%)                      |                |                        |                |                |
| Levomepromazine                                                           | 12 (1.1)       | 14 (0.9)               | 4 (0.8)        | 3 (1.3)        |
| Fluphenazine                                                              | 6 (0.5)        | 17 (1.1)               | 2 (0.4)        | 5 (2.2)        |
| Perphenazine                                                               | 4 (0.4)        | 8 (0.5)                | 3 (0.6)        | 4 (1.7)        |
| Perazine                                                                  | 15 (1.3)       | 30 (2.0)               | 3 (0.6)        | 8 (3.5)        |
| Haloperidol                                                               | 36 (3.2)       | 44 (2.9)               | 12 (2.3)       | 12 (5.2)       |
| Melperone                                                                 | 13 (1.1)       | 17 (1.1)               | 5 (0.9)        | 2 (0.9)        |
| Pipamperone                                                               | 33 (2.9)       | 30 (2.0)               | 9 (1.7)        | 6 (2.6)        |
| Benperidol                                                                | 4 (0.4)        | 15 (1.0)               | 1 (0.2)        | 1 (0.4)        |
| Ziprasidone                                                               | 9 (0.8)        | 56 (3.7)               | 4 (0.8)        | 7 (3.0)        |
| Flupentixol                                                               | 20 (1.8)       | 45 (3.0)               | 8 (1.5)        | 6 (2.6)        |
| Chlorprothixene                                                           | 28 (2.5)       | 31 (2.0)               | 5 (0.9)        | 4 (1.7)        |
| Pimozide                                                                  | 1 (0.1)        | 9 (0.6)                | 0 (0.0)        | 4 (1.7)        |
| Clozapine                                                                 | 42 (3.7)       | 199 (13.1)             | 11 (2.1)       | 10 (4.3)       |
| Olanzapine                                                                | 79 (7.0)       | 212 (14.0)             | 29 (5.4)       | 29 (12.6)      |
| Quetiapine                                                                | 120 (10.6)     | 237 (15.6)             | 40 (7.5)       | 35 (15.2)      |
| Sulpiride                                                                 | 4 (0.4)        | 14 (0.9)               | 3 (0.6)        | 4 (1.7)        |
| Amisulpride                                                               | 53 (4.7)       | 151 (9.9)              | 23 (4.3)       | 18 (7.8)       |
| Lithium                                                                   | 11 (1.0)       | 30 (2.0)               | 2 (0.4)        | 6 (2.6)        |
| Prothipendyl                                                              | 11 (1.0)       | 17 (1.1)               | 3 (0.6)        | 1 (0.4)        |
| Risperidone                                                               | 213 (18.8)     | 209 (13.8)             | 72 (13.5)      | 47 (20.4)      |
| Aripiprazole                                                              | 84 (7.4)       | 113 (7.4)              | 36 (6.8)       | 13 (5.7)       |
| Parameter          | Overall cohort | Complete switch cohort |
|--------------------|----------------|------------------------|
|                    | LAI (n = 1,132) | Oral (n = 1,518)       | LAI (n = 533) | Oral (n = 230)       |
| **Agents used during pre-index period, n (%)** |                |                        |                |                        |
| Levomepromazine    | 31 (2.7)       | 46 (3.0)               | 6 (1.1)       | 6 (2.6)               |
| Fluphenazine       | 12 (1.1)       | 26 (1.7)               | 2 (0.4)       | 5 (2.2)               |
| Perphenazine       | 6 (0.5)        | 14 (0.9)               | 3 (0.6)       | 4 (1.7)               |
| Perazine           | 34 (3.0)       | 58 (3.8)               | 5 (0.9)       | 12 (5.2)              |
| Thioridazine       | 1 (0.1)        | 9 (0.6)                | 0 (0.0)       | 3 (1.3)               |
| Haloperidol        | 74 (6.5)       | 76 (5.0)               | 21 (3.9)      | 16 (7.0)              |
| Melperone          | 40 (3.5)       | 45 (3.0)               | 14 (2.6)      | 3 (1.3)               |
| Pipamperone        | 79 (7.0)       | 62 (4.1)               | 23 (4.3)      | 9 (3.9)               |
| Benperidol         | 12 (1.1)       | 21 (1.4)               | 3 (0.6)       | 3 (1.3)               |
| Ziprasidone        | 29 (2.6)       | 75 (4.9)               | 9 (1.7)       | 7 (3.0)               |
| Flupentixol        | 51 (4.5)       | 72 (4.7)               | 17 (3.2)      | 12 (5.2)              |
| Chlorprothixene    | 73 (6.4)       | 79 (5.2)               | 15 (2.8)      | 7 (3.0)               |
| Zuclopenthixol     | 24 (2.1)       | 14 (0.9)               | 6 (1.1)       | 1 (0.4)               |
| Pimozide           | 3 (0.3)        | 11 (0.7)               | 2 (0.4)       | 5 (2.2)               |
| Clozapine          | 72 (6.4)       | 236 (15.5)             | 14 (2.6)      | 13 (5.7)              |
| Olanzapine         | 181 (16.0)     | 287 (18.9)             | 51 (9.6)      | 30 (13.0)             |
| Quetiapine         | 249 (22.0)     | 341 (22.5)             | 58 (10.9)     | 42 (18.3)             |
| Sulpiride          | 7 (0.6)        | 23 (1.5)               | 3 (0.6)       | 5 (2.2)               |
| Amisulpride        | 99 (8.7)       | 223 (14.7)             | 37 (6.9)      | 25 (10.9)             |
| Lithium            | 26 (2.3)       | 56 (3.7)               | 2 (0.4)       | 6 (2.6)               |
| Prothipendyl       | 30 (2.7)       | 47 (3.1)               | 4 (0.8)       | 3 (1.3)               |
| Risperidone        | 304 (26.9)     | 281 (18.5)             | 98 (18.4)     | 58 (25.2)             |
| Aripiprazole       | 178 (15.7)     | 183 (12.1)             | 53 (9.9)      | 19 (8.3)              |
| **Agents used during post-index period, n (%)** |                |                        |                |                        |
| Levomepromazine    | 40 (3.5)       | 102 (6.7)              | 9 (1.7)       | 8 (3.5)               |
| Fluphenazine       | 47 (4.2)       | 17 (1.1)               | 20 (3.8)      | 0 (0.0)               |
| Perazine           | 44 (3.9)       | 104 (6.9)              | 8 (1.5)       | 13 (5.7)              |
| Haloperidol        | 138 (12.2)     | 148 (9.7)              | 49 (9.2)      | 18 (7.8)              |
| Melperone          | 53 (4.7)       | 186 (12.3)             | 16 (3.0)      | 10 (4.3)              |
| Pipamperone        | 99 (8.7)       | 264 (17.4)             | 15 (2.8)      | 17 (7.4)              |
| Benperidol         | 16 (1.4)       | 26 (1.7)               | 0 (0.0)       | 3 (1.3)               |
| Ziprasidone        | 23 (2.0)       | 106 (7.0)              | 4 (0.8)       | 10 (4.3)              |
| Flupentixol        | 155 (13.7)     | 110 (7.2)              | 60 (11.3)     | 7 (3.0)               |
| Chlorprothixene    | 103 (9.1)      | 196 (12.9)             | 21 (3.9)      | 11 (4.8)              |
| Zuclopenthixol     | 52 (4.6)       | 22 (1.4)               | 18 (3.4)      | 1 (0.4)               |
| Fluspirilene       | 105 (9.3)      | 0 (0.0)                | 59 (11.1)     | 0 (0.0)               |
| Clozapine          | 78 (6.9)       | 255 (16.8)             | 15 (2.8)      | 14 (6.1)              |
| Olanzapine         | 195 (17.2)     | 396 (26.1)             | 59 (11.1)     | 51 (22.2)             |
| Quetiapine         | 255 (22.5)     | 555 (36.6)             | 49 (9.2)      | 74 (32.2)             |
| Sulpiride          | 9 (0.8)        | 22 (1.4)               | 3 (0.6)       | 2 (0.9)               |
| Tiapride           | 5 (0.4)        | 15 (1.0)               | 2 (0.4)       | 2 (0.9)               |
| Amisulpride        | 58 (5.1)       | 311 (20.5)             | 10 (1.9)      | 38 (16.5)             |
| Lithium            | 36 (3.2)       | 69 (4.5)               | 4 (0.8)       | 8 (3.5)               |
| Prothipendyl       | 50 (4.4)       | 161 (10.6)             | 10 (1.9)      | 13 (5.7)              |
| Risperidone        | 417 (36.8)     | 412 (27.1)             | 154 (28.9)    | 48 (20.9)             |
| Aripiprazole       | 255 (22.5)     | 438 (28.9)             | 103 (19.3)    | 51 (22.2)             |
| Paliperidone       | 413 (36.5)     | 0 (0.0)                | 189 (35.5)    | 0 (0.0)               |
Discussion

This retrospective study based on German health-claims data analyzed antipsychotic medication adherence, persistence, and discontinuation among patients with schizophrenia who initiated an LAI and those who switched their OAP regimen. LAI users had better medication adherence (35.4% vs. 11.6%) and better persistence (no 30-day gap: 26.4% vs. 9.6%, no 60-day gap: 40.7% vs. 19.8%, and no 90-day gap: 48.2% vs. 28.3%) during the 1-year post-index period, as well as a 166 days longer median time to discontinuation of antipsychotic treatment compared to OAP users. Sensitivity analysis also showed greater adherence and persistence and a 91.5 days longer median time to discontinuation of antipsychotic treatment among LAI users compared to OAP users.

However, in this analysis the results of the Cox regression indicate only numerical superiority of LAIs.

Similar German studies comparing persistence of LAIs and OAPs are unavailable. However, our results are in line with observational studies of varying sample sizes conducted in the USA [20, 21, 25, 29, 43]. Greene et al. [20] analyzed Medicaid data and reported that a greater proportion of LAI users than OAP users had PDC ≥ 0.8 after a year of follow-up (33.9% vs. 25.5%; p < 0.001). Moreover, the discontinuation rate was lower among LAI users compared with OAP users (63.2% vs. 72.0%; p < 0.001). Another study using Medicaid data reported SGA LAI users to be more adherent than OAP users to their prescribed index medication after a year of follow-up (27.2% vs. 24.6%; p < 0.05) [43]. In addition, a higher persistence rate (no 60-day gap) among SGA LAI users was reported (37.1% vs. 30.2%).
Previous research has also demonstrated the greater risk of antipsychotic treatment discontinuation among OAP users. Greene et al. [20] reported a 20% greater risk of antipsychotic discontinuation among OAP users (HR 1.20; 95% CI 1.13–1.28; p < 0.001), and Pilon et al. [43] reported 46% greater odds of persistence among SGA LAI users compared with OAP users (OR 1.46; 95% CI 1.32–1.62; p < 0.001). Paliperidone palmitate LAI users were observed to have the greatest odds of persistence compared with OAP users (OR 1.53; 95% CI 1.39–1.69; p < 0.001) [25].

In the current study, usage of OAP and greater CCI were associated with increased risk of antipsychotic treatment discontinuation. These results are consistent with previous research. Verdoux et al. [44] compared propensity score-matched French patients with schizophrenia who were initiated on LAIs or OAPs and reported greater treatment discontinuation among OAP users (69%) versus LAI users (57%) (adjusted relative risk 1.6; 95% CI 1.23–2.07). Greene et al. [20] also reported a 20% greater risk of antipsychotic discontinuation among OAP users compared with LAI users. In the current study, we observed a high proportion of LAI and OAP users suffering from comorbid chronic pulmonary disease and diabetes. These patients may have concomitant prescriptions along with their antipsychotic medication.
However, as patients with such conditions are often non-adherent [45], it is likely that their antipsychotic prescriptions were also not adhered to, resulting in the observed greater risk of antipsychotic treatment discontinuation. We did not find factors such as young age to be significantly associated with a higher risk of antipsychotic treatment discontinuation. However, a systematic literature review found younger age (especially < 35 years) to be a significant risk factor for non-adherence to antipsychotics [46]. We have also made similar observations during routine clinical practice, as young patients often fail to grasp the severity of their condition and do not adhere to the prescribed treatment regimen.

Multiple factors have been associated with non-adherence to medication among patients with schizophrenia [16, 47]. Among these factors, lack of insight/awareness of illness, negative attitude towards medication, fear of social stigma if others observe the patient taking medication, and treatment complexity are the key determinants of non-adherence. Although LAIs cannot resolve all the factors associated with non-adherence, a few issues can be tackled. LAIs have a simple treatment regimen (e.g., an injection once every month or every 3 months), are administered in a safe

### Table 5  Risk of discontinuation of treatment

| Variable | Overall cohort | Complete switch cohort |
|----------|---------------|-----------------------|
|          | HR (95% CI)   | p value (Wald test)   | HR (95% CI)   | p value (Wald test)   |
|          |               |                       |               |                       |
| Model 1  |               |                       |               |                       |
| Medication (reference LAI) |               |                       |               |                       |
| Oral     | 1.89 (1.73–2.06) | < 0.001               | 1.19 (1.00–1.42) | 0.050                 |
| Age      | 1.00 (0.99–1.00) | 0.226                 | 0.99 (0.99–1.00) | 0.100                 |
| Sex (reference men) |               |                       |               |                       |
| Women    | 1.12 (0.94–1.33) | 0.208                 | 1.17 (0.84–1.63) | 0.359                 |
| CCI      | 1.04 (1.00–1.07) | 0.023                 | 1.09 (1.02–1.16) | 0.007                 |
| Model 2  |               |                       |               |                       |
| Medication (reference LAI) |               |                       |               |                       |
| Oral     | 1.87 (1.70–2.05) | < 0.001               | 1.08 (0.89–1.31) | 0.453                 |
| Age      | 1.00 (0.99–1.00) | 0.124                 | 0.99 (0.99–1.00) | 0.163                 |
| Sex (reference men) |               |                       |               |                       |
| Women    | 1.14 (0.96–1.36) | 0.136                 | 1.25 (0.88–1.76) | 0.210                 |
| CCI      | 1.04 (1.00–1.08) | 0.026                 | 1.08 (1.01–1.15) | 0.032                 |
| Insurance status (reference retired person) |               |                       |               |                       |
| No compulsory insurance | 1.18 (0.65–2.15) | 0.582               | 1.48 (0.37–5.99) | 0.581                 |
| Compulsory insurance | 0.94 (0.83–1.05) | 0.258               | 1.06 (0.85–1.34) | 0.603                 |
| Voluntary insurance | 0.97 (0.83–1.13) | 0.676               | 1.06 (0.76–1.48) | 0.720                 |
| Unemployed person | 1.07 (0.92–1.24) | 0.397               | 1.14 (0.87–1.49) | 0.346                 |
| Pension claimant | 0.44 (0.21–0.92) | 0.030               | 0.35 (0.09–1.41) | 0.138                 |
| Special group of persons | 1.15 (0.79–1.67) | 0.460               | 1.09 (0.48–2.47) | 0.835                 |
| Family member | 0.86 (0.71–1.04) | 0.110               | 0.78 (0.52–1.16) | 0.214                 |
| Unknown | 0.99 (0.84–1.17) | 0.938               | 1.09 (0.79–1.50) | 0.614                 |
| Medication costs (pre-index) | 0.99 (0.97–1.01) | 0.395         | 1.02 (0.94–1.11) | 0.606                 |
| Visit costs (pre-index) | 1.02 (0.99–1.05) | 0.258           | 1.12 (0.98–1.29) | 0.105                 |
| Psychiatric inpatient stay costs (pre-index) | 1.00 (0.99–1.00) | 0.070           | 0.99 (0.98–1.00) | 0.014                 |

AIC for model 1 is 32,189 for overall cohort and 7313 for complete switch cohort. AIC for model 2 is 32,194 for overall cohort and 7318 for complete switch cohort.

In insurance status, although pension claimant is seen to significantly affect the risk of treatment discontinuation, we have not considered it. In Germany, a ‘pension claimant’ is defined as a person who has applied to receive pension. Once the application is successful, the status of the person changes to ‘retired’, which was the reference category for the analysis.

AIC Akaike Information Criterion, CI confidence interval, LAI long-acting injectable

*Costs transformed to €1000
space (i.e., clinic), and have a prolonged therapeutic action, which result in better adherence and longer time to treatment discontinuation.

Poor adherence among patients with schizophrenia is associated with increased risk of rehospitalization [48]; hence, increasing adherence through LAI usage is necessary. Previous research has shown a reduction in risk of hospitalization as well as treatment costs with LAI usage in Germany [49]. Furthermore, as caregivers of patients with schizophrenia are negatively affected [50], better functioning patients might ease the caregivers’ burden. Sruamsiri et al. [50] reported lower productivity losses among caregivers whose relatives were on LAIs, although the sample size was too small to reveal any significant differences. LAI use could also reduce the economic burden for payors as reported by Mahlich et al. [51], who modeled the costs incurred by the Japanese healthcare system and reported significant cost savings of > US$68 million on introduction of paliperidone palmitate LAI.

Despite the benefits associated with LAIs, they are often prescribed only to non-adherent patients, and patients with first-episode schizophrenia are hardly considered suitable candidates [52], possibly due to budget constraints. In Germany, the market share of LAIs in terms of patients under treatment is around 12% according to IQVIA market research data. Clinician-related barriers such as lack of knowledge, mistaken belief about greater adverse effects on using LAIs, and lack of resources, as well as patient-related barriers such as stigma, fear of injection, injection site pain, and cost, negatively impact the uptake of LAIs in clinical practice [53]. However, as evidence supporting the benefits of LAIs are available, approaches to train clinicians and their staff as well as educate patients are required.

### 4.1 Limitations

There are a few limitations of the present study. Due to the inherent nature of health-claims data, it is possible that a diagnosis of schizophrenia was a result of misclassification or coding errors. Claims data also insufficiently reflect disease severity and other influencing circumstances. Although claims data were used to identify antipsychotic utilization, we can only presume that the medication was consumed, as the data only support receipt and payment. Nevertheless, since LAIs are generally administered by a healthcare professional, a claim for an LAI provides confidence that the effective dose was administered to the patient. We assessed the persistence of LAIs as a whole and not by generation, thus missing out on describing the increased adherence and persistence among SGAs that were observed by Pilon et al. [43]. Previous research has shown the superiority of SGAs compared with first-generation antipsychotics in terms of adherence and persistence [25, 43] in the USA. Similar studies could be designed for Germany too. Finally, we observed crossing Kaplan–Meier curves after 1500 days in our sensitivity analysis, which is a violation of the proportional hazard assumption. As the number of patients at risk at that time was low, it is unlikely that this had a major effect on our results.

[Fig. 3] Unadjusted Kaplan–Meier curve for complete switch cohort (LAIs vs. oral). LAI long-acting injectable antipsychotic.
5 Conclusion

This study analyzed a large health-claims database and found LAIs to be associated with increased adherence and persistence as well as with reduction of antipsychotic treatment discontinuation. OAP usage and greater CCI were associated with increased risk of discontinuation. Future research could evaluate the long-term effect of LAIs on similar parameters as well as delineate the effect of individual antipsychotics.

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Declarations

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Conflict of interest Jörg Mahlich, Kerstin Olbrich, and Antonie Wimmer are employees of Janssen-Cilag and may have stock/stock options. Adrian Wilk is an employee of Team Gesundheit GmbH, which received funding for this study from Janssen-Cilag. Claus Wolff-Menzler has no conflict of interest to declare.

Ethics approval All procedures were in accordance with the 1964 Helsinki declaration and its later amendments or comparable ethical standards. Approval of a local ethics board was not required as this study used anonymized German claims data.

Informed consent Not applicable.

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Affiliations

Jörg Mahlich\textsuperscript{1,2} – Kerstin Olbrich\textsuperscript{1} – Adrian Wilk\textsuperscript{3} – Antonie Wimmer\textsuperscript{4} – Claus Wolff-Menzler\textsuperscript{5}

\textsuperscript{1} Health Economics and Outcomes Research, Janssen, Pharmaceutical Companies of Johnson & Johnson, Neuss, Germany
\textsuperscript{2} Düsseldorf Institute of Competition Economics (DICE), University of Düsseldorf, Düsseldorf, Germany
\textsuperscript{3} Team Gesundheit, Gesellschaft für Gesundheitsmanagement mbH, Essen, Germany
\textsuperscript{4} Medical Affairs, Janssen, Pharmaceutical Companies of Johnson & Johnson, Neuss, Germany
\textsuperscript{5} Department of Psychiatry and Psychotherapy, University Medical Center Göttingen, Georg-August-University, Göttingen, Germany