Layer 6A Pyramidal Cell Subtypes Form Synaptic Microcircuits with Distinct Functional and Structural Properties

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Abstract

Neocortical layer 6 plays a crucial role in sensorimotor co-ordination and integration through functionally segregated circuits linking intracortical and subcortical areas. We performed whole-cell recordings combined with morphological reconstructions to identify morpho-electric types of layer 6A pyramidal cells (PCs) in rat barrel cortex. Cortico-thalamic (CT), cortico-cortical (CC), and cortico-claustral (CCla) PCs were classified based on their distinct morphologies and have been shown to exhibit different electrophysiological properties. We demonstrate that these three types of layer 6A PCs innervate neighboring excitatory neurons with distinct synaptic properties: CT PCs establish weak facilitating synapses onto other L6A PCs; CC PCs form synapses of moderate efficacy, while synapses made by putative CClα PCs display the highest release probability and a marked short-term depression. For excitatory-inhibitory synaptic connections in layer 6, both the presynaptic PC type and the postsynaptic interneuron type govern the dynamic properties of the respective synaptic connections. We have identified a functional division of local layer 6A excitatory microcircuits which may be responsible for the differential temporal engagement of layer 6 feed-forward and feedback networks. Our results provide a basis for further investigations on the long-range CC, CT, and CClα pathways.

Key words: barrel cortex, cortico-claustral, cortico-cortical, cortico-thalamic, layer 6A

Introduction

Early born excitatory neurons in the ventricular zone migrate into the cortical plate to occupy deep layers; layer 6 (L6) is the first neocortical layer to form (Rakic 2009; Lodato and Arlotta 2015). In rat barrel cortex, layer 6 is the thickest layer and contains the highest number of neurons (Hutsler et al. 2005; Meyer et al. 2010). Pyramidal cells (PCs) in layer 6 of the neocortex display a high degree of morphological, electrophysiological, and molecular diversity (Zhang and Deschenes 1997; Kumar and Ohana 2008; Thomson 2010; Marx and Feldmeyer 2013; Gouwens et al. 2019; Kast et al. 2019; Egger et al. 2020; Gouwens et al. 2020). They project either “intratelencephalically” (IT) within the cortex, to the striatum, and the claustrum or “extratelencephalically” (ET) to, for example, different thalamic nuclei (for a review, see Rockland 2019). This heterogeneity makes it difficult to elucidate the exact functional and structural properties of the L6A synaptic microcircuitry. Compared with PCs in other neocortical layers, L6 PCs have been found to rarely establish intralaminar synaptic contacts, and if so, they generally display a low synaptic release probability (Beierlein and
As the pre-eminent source of cortico-thalamic (CT) projections, L6 microcircuits provide contextual modulation in the feedback loop of the sensory processing system (Harris and Mrsic-Flogel 2013; Velez-Fort et al. 2014). The two major types of L6A principal cells, the ET CT PCs and the IT cortico-cortical (CC) PCs, show distinct axonal projection patterns and participate in distinct microcircuits within the neocortical network (Kumar and Ohana 2008; Pichon et al. 2012; Sundberg et al. 2018). CT PCs are known to generate weak and facilitatory excitatory postsynaptic responses onto both excitatory and inhibitory neurons (West et al. 2006; Frandolig et al. 2019). Conversely, L6A CC PCs have been proposed to innervate L6A PCs and parvalbumin (PV)-positive interneurons; these synapses exhibit short-term synaptic depression (Merce et al. 2005; Yang et al. 2020). Apart from L6A CC PCs, there is another class of IT L6A PCs that shows axonal projections predominantly to the ipsilateral claustrum (cortico-claustral [CCLa] PCs). The claustrum itself is reciprocally connected with most neocortical areas and targets all cortical laminae, although connections with sensory cortices are generally weaker than those with more frontal cortical regions (Zakiewicz et al. 2014; Atlan et al. 2017; Zingg et al. 2018; Rockland 2019; Gouwens et al. 2020). Among other functions, such as the regulation of attention, the claustrum is considered to coordinate sensory and motor modalities from different cortical areas (Bayat et al. 2018; for a review, see Naghavi et al. 2007; Smith et al. 2017; Zingg et al. 2018; Jackson et al. 2020).

In humans, the claustrum together with the striatum (also a part of the telencephalon) has been proposed to participate in a salience network which is known to integrate sensory, emotional, and cognitive information (Peters et al. 2016; Smith et al. 2017; Zingg et al. 2018). Thalamocortical slices were cut at 350 μm thickness using a high-vibration frequency and were then transferred to an incubation chamber for a recovery period of 30–60 min at room temperature.

During whole-cell patch-clamp recordings, slices were continuously superfused (perfusion speed = 5 ml/min) with ACSF containing (in mM): 125 NaCl, 2.5 KCl, 1.25 NaH2PO4, 1 MgCl2, 2 CaCl2, 25 NaHCO3, 25 D-glucose, 3 mH-inositol, 2 sodium pyruvate, and 0.4 ascorbic acid, bubbled with carbogen gas and maintained at 30–33 °C. Patch pipettes were pulled from thick-walled borosilicate glass capillaries and filled with an internal solution containing (in mM): 135 K-gluconate, 4 KCl, 10 HEPES, 10 pyruvate, and 0.4 ascorbic acid, bubbled with carbogen gas and maintained at 30–33 °C. Patch pipettes were filled with thick-walled borosilicate glass capillaries and filled with an internal solution containing (in mM): 135 K-gluconate, 4 KCl, 10 HEPES, 10 phosphocreatine, 4 Mg-ATP, and 0.3 GTP (pH 7.4 with KOH, 290–300 mOsm). The “searching” pipette (see below) was filled with an internal solution in which K+ is replaced by Na+ (containing [in mM]: 105 Na-glucanate, 30 NaCl, 10 HEPES, 10 phosphocreatine, 4 Mg-ATP, and 0.3 GTP) in order to prevent the depolarization of neurons during searching for a presynaptic cell. Biocytin was added to the internal solution at a concentration of 3–5 mg/ml in order to stain patched neurons; a recording time >15 min was necessary for an adequate diffusion of biocytin into dendrites and axons of targeted cells (Marx et al. 2012). No biocytin was added to the Na-based internal solution for “searching” pipettes.

Electrophysiological Recordings

Neurons were visualized using infrared differential interference contrast microscopy. Barrels in the primary somatosensory cortex can be identified in layer 4 as dark stripes with light “hollows” and were visible in six to eight consecutive slices (Agmon and Connors 1991; Feldmeyer et al. 1999). In the acute slice, the difference in somatic size marks the difference between L5 and L6 pyramidal neurons. L6A neurons were recorded in the upper 65% of layer 6, while neurons in the L6B lower 35% (Woo et al. 1991; Clancy and Cauller 1999; Marx and Feldmeyer 2013) were not used for recordings. In general, L6A neurons were recorded from 480 to 900 μm below the layer 4–layer 5A border. Putative PCs and interneurons were differentiated on the basis of their intrinsic action potential (AP) firing pattern during recording and after post hoc histological staining also by their morphological appearance.

Whole-cell patch clamp recordings were made using an EPC10 amplifier (HEKA). Signals were sampled at 10 kHz, filtered at 2.9 kHz using Patchmaster software (HEKA), and...
later analyzed off-line using Igor Pro software (Wavemetrics). Recordings were performed using patch pipettes of resistance between 6 and 10 MΩ. Because the intralaminar connectivity ratio in L6A is low, we performed a "searching procedure" described previously after patching a potential postsynaptic neuron (Feldmeyer et al. 1999; Feldmeyer and Radnikow 2016). "Searching" pipettes (see above) were used to identify synaptic connections: When an AP elicited in "loose cell-attached" mode resulted in an excitatory postsynaptic potential (EPSP) in the postsynaptic neuron, this presynaptic neuron was repatched with a new pipette filled with biocytin-containing internal solution.

**Histological Staining**

After single-cell or paired recordings, brain slices containing biocytin-filled neurons were fixed for at least 24 h at 4 °C in 100 mM phosphate buffer solution (PBS, pH 7.4) containing 4% paraformaldehyde (PFA). After rinsing slices several times in 100 mM PBS, they were treated with 1% H2O2 in PBS for about 20 min in order to reduce any endogenous peroxidase activity. Slices were rinsed repeatedly with PBS and then incubated in 1% avidin-biotinylated horseradish peroxidase (Vector ABC staining kit, Vector Lab. Inc.) containing 0.1% Triton X-100 for 1 h at room temperature. The reaction was catalyzed using 0.5 mg/mL 3,3-diaminobenzidine (DAB, Sigma-Aldrich) as a chromogen. Slices were then rinsed with 100 mM PBS, followed by slow dehydration with ethanol in increasing concentrations, and finally in xylene for 2–4 h. After that, slices were embedded using Eukitt medium (Otto Kindler GmbH).

In a subset of experiments, we tried to identify the expression of the molecular marker forkhead box protein P2 (FoxP2) in L6A PCs recorded in acute brain slices to investigate a possible correlation with the electrophysiological and morphological properties. To this end, during electrophysiological recordings, Alexa Fluor 594 dye (1:500, Invitrogen) was added to the internal solution for post hoc identification of patched neurons. After recording, slices (350 μm) were fixed with 4% PFA in 100 mM PBS for at least 24 h at 4 °C and then permeabilized in 1% milk powder solution containing 0.5% Triton X-100 and 100 mM PBS. Primary and secondary antibodies were diluted in the permeabilization solution (0.5% Triton X-100 and 100 mM PBS) shortly before the antibody incubation. For single-cell FoxP2 staining, slices were incubated overnight with Goat-anti-FoxP2 primary antibody (1:500, Santa Cruz Biotechnology) at 4 °C and then rinsed thoroughly with 100 mM PBS. Subsequently, slices were treated with Alexa Fluor secondary antibodies (1:500) for 2–3 h at room temperature in the dark. After rising with 100 mM PBS, the slices were embedded in Fluromount. Fluorescence images were taken using the Olympus CellSens platform. The position of the patched neurons was identified by the biocytin-conjugated Alexa dye so that the expression of FoxP2 could be tested in biocytin-stained neurons. After acquiring fluorescent images, slices were incubated in 100 mM PBS overnight and then used for subsequent histological processing as described above.

**Morphological 3D Reconstructions**

Computer-assisted morphological 3D reconstructions of biocytin-filled L6A neurons were made using NEUROLUCIDA® software (MicroBrightField) and Olympus B61 microscopy at 1000× magnification (100× objective and 10× eyepiece). Neurons were selected for reconstruction based on the quality of biocytin labelling when background staining was minimal. The cell body and dendritic and axonal branches were reconstructed manually under constant visual inspection to detect thin and small collaterals. Barrel and layer borders, pial surface, and white matter were delineated during reconstructions at a lower magnification. The position of soma and layers were confirmed by superimposing the differential interference contrast images taken during the recording. The tissue shrinkage was corrected using correction factors of 1.1 in the x-y direction and 2.1 in the z direction (Marx et al. 2012). Analysis of 3D-reconstructed neurons was done with NEUROEXPLORER® software (Micro-BrightField). Putative synaptic contacts were identified as close appositions of presynaptic axon terminals and postsynaptic dendrites in the same focal plane under light microscopy with 100× objective and 10× eyepiece. The distance between the soma and a putative synaptic contact was calculated as the path length along the dendrite from the location of synaptic contact to soma in 3D space.

**Unsupervised Hierarchical Cluster Analysis**

Eight morphological parameters were used for unsupervised cluster analysis. Parameters were standardized using z-score in order to make the distributions numerically comparable. Principal component analysis (PCA) was used to analyze the interdependence between variables and to reduce the dimensionality of the dataset while preserving maximum variability. PCA reduces the redundancy of the dataset by eliminating correlated variables and produces linear combinations of the original variables to generate new axes. To determine the number of principal components to retain for cluster analysis, we used Kaiser’s rule, an objective way to determine the number of clusters by leaving all components with eigenvalues < 1. Since the dataset is standardized, the variables have an eigenvalue of 1, and hence, PCs with an eigenvalue > 1 describe more of the data’s variance than the original variable.

Classification of PC subtypes was then performed using unsupervised hierarchical cluster analysis employing Ward’s method (Ward 1963). This method utilizes a minimum variance criterion to combine cells into clusters at each stage, which minimizes the total within-cluster variance. Euclidean distance was used to calculate the variance. A dendrogram was constructed to visualize the distance at which clusters are combined.

**Density Maps**

The 3D density maps of axonal and dendritic length were obtained using computerized 3D reconstructions, where the length of the axonal and dendritic tree per unit volume of 50 × 50 × 50 μm³ was calculated. The soma center of each neuron in a single cluster was aligned and given the co-ordinates of X, Y, and Z = (0, 0, 0). The relative co-ordinate of the beginning and the endpoint of each segment in the tracing were obtained using the segment point analysis in NEUROEXPLORER. Further steps were carried out in Matlab (MathWorks) using a custom-written algorithm (courtesy of Drs G. Qi and H. Wang). The 3D axonal and dendritic density maps were calculated for each reconstructed neuron in this cluster and were then averaged to obtain the 3D density map. The averaged curve of single group was made by aligning the soma position of individual profile and was smoothed using the 3D smooth function in Matlab with a Gaussian kernel (standard deviation SD) = 50 μm). Isosurfaces at the 80 percentile were calculated for the smoothed density maps. Finally, dendritic and axonal density...
maps were visualized after projecting to 2D or 1D using two different colors, red and blue, respectively.

Electrophysiological Analysis

Custom-written macros for Igor Pro 6 (WaveMetrics) were used to analyze the recorded electrophysiological signals. Neurons with a series resistance >45 MΩ (50 MΩ for neurons from paired recordings, series resistance was compensated by 80%) or with a depolarized membrane potential (−50 mV) after rupturing the cell membrane were excluded from data analysis. Passive and active AP properties were assessed by eliciting a series of initially hyperpolarizing, followed by depolarizing 1-s current pulses under current clamp configuration. The resting membrane potential of the neuron was measured directly after breakthrough into the whole-cell configuration with no current injection. To calculate the input resistance, the slope of the linear fit to the voltage step from −60 to −70 mV of the current−voltage relationship was used (Ziegler et al. 2010). The rheobase current was defined as the minimal current that elicited the first spike. The spike threshold was defined as the point of maximal acceleration of the membrane potential using the second derivative (d²V/dt²), that is, the time point with the fastest voltage change. The spike amplitude was calculated as the difference in voltage from AP threshold to the peak during depolarization. The spike half-width (HW) was measured as the time difference between rising phase and decaying phase of the spike at half-maximum amplitude. Interspike interval (ISI) was measured as the average time taken between individual spikes at the current step that elicited close to 10 APs. The adaptation ratio was measured as the ratio of the 10th ISI and the 2nd ISI.

Synaptic properties were evaluated as described previously (Feldmeyer et al. 1999; Feldmeyer et al. 2002). All uEPSP recordings were aligned to their corresponding presynaptic AP peaks, and an average sweep was generated as the mean uEPSP. The EPSP amplitude was calculated as the difference between the mean baseline and maximum voltage of the postsynaptic event. The paired-pulse ratio was defined as the second/third uEPSP divided by the first uEPSP amplitude elicited by presynaptic APs at a stimulation frequency of 10 Hz. Failures were defined as events with an amplitude <1.5× the SD of the noise within the baseline window; the failure rate refers to the percentage of failures. The coefficient of variation (CV) was calculated as the SD divided by the mean uEPSP amplitude. Rise time was calculated as the mean time to rise from 20% to 80% of the peak amplitude. The latency was calculated as the time interval between the peak amplitude of presynaptic AP and the onset of the EPSP. The decay time was measured using a single exponential fit to the decay phase of both individual and averaged responses. The properties mentioned above were obtained from 20 to 80 successive sweeps.

Statistics

Data were either presented as box plots (n > 10) or as bar histograms (n < 10). For box plots, the interquartile range (IQR) is shown as a box, the range of values that are within 1.5×IQR are shown as whiskers and the median is represent by a horizontal line in the box; for bar histograms, the mean ± SD are given. Statistical comparisons between multiple groups were done using a Kruskal–Wallis test followed by a post hoc Wilcoxon Mann–Whitney U test between individual groups. Wilcoxon Mann–Whitney U test was performed to access the significant difference between individual clusters. Statistical significance was set at P < 0.05, and n indicates the number of neurons/pairs analyzed.

Results

Three Types of Morphologically and Electrophysiologically Distinct L6A PCs in Rat Barrel Cortex

Whole-cell recordings from L6A excitatory neurons with simultaneous biocytin fillings were performed in acute brain slices of rat barrel cortex allowing post hoc identification of their morphologies. Layer 6B was identified as a small dark band of approximately 200 μm width that is located between layer 6A and the white matter (Marx and Feldmeyer 2013). As a remnant of the subplate layer of the cerebral cortex, layer 6B has a specific molecular marker expression and contains a heterogeneous population of the earliest-generated excitatory neurons with atypical morphologies (Hoerder-Suabedissen et al. 2009; Oeschger et al. 2012; Hoerder-Suabedissen et al. 2013; Marx et al. 2017). In this study, neurons in layer 6B were excluded from the analysis. Neurons with incomplete filling, high background staining, or major truncations of the dendrites were excluded from the morphological analysis, resulting in 41 high-quality 3D reconstructions of L6A PCs (Supplementary Fig. S1). In order to objectively classify L6A pyramidal neurons, a hierarchical cluster analysis was performed basing on their morphological properties. Three clusters of L6A PCs can be clearly identified by distinct dendritic and axonal projecting patterns (Fig. 1A–C). In accordance with previous studies, we refer to these clusters of L6 pyramidal neurons as CT, CC, and CCla PCs on the basis of their morphological features (Katz 1987; Kumar and Ohana 2008; Baker et al. 2018; Cotel et al. 2018). CT-like PCs (cluster 1) have an apical dendrite terminating predominately in layer 4 and axonal collaterals that project vertically. Their basal dendrites and axons are comparatively short (1899 ± 447 μm for length of basal dendrites and 5502 ± 2189 μm for axonal length, respectively) and have a small horizontal fieldspan (231 ± 58 μm for dendritic and 529 ± 240 μm for axonal horizontal fieldspan, respectively). Dendrites of upright CC PCs (cluster 2) resemble those of CT PCs. Their apical dendrites project toward the pial surface and terminate in layer 4 or layer 5A. Basal dendrites, however, are longer than those of CT PCs and have a larger horizontal fieldspan (length: 3684 ± 1286 μm; horizontal dendritic fieldspan: 394 ± 65 μm). Consistent with previous studies, we found that CC PCs have long, horizontal projections of axons across several barrel columns (16 500 ± 3995 μm for axonal length and 1604 ± 578 μm for axonal horizontal fieldspan). It should be noted that, for CC PCs, these values are likely to be highly underestimated because in acute brain slice preparations, long range axonal collaterals will be severely truncated (Egger et al. 2020); however, this does not prevent an unambiguous identification of the L6A PC type. In addition, PCs in cluster 3 exhibit long, sparingly tufted apical dendrites that reach layer 1 and exhibit horizontally expanding basal dendrites. A similar dendritic morphology has been found for IT claustrum-projecting neurons in layer 6 of rat and cat primary visual cortices (Katz 1987; Cotel et al. 2018) and are therefore named CCla PCs in the remainder of this manuscript. Here, these putative CCla PCs were found to have the largest vertical and horizontal dendritic fieldspan of the three L6A PC subtypes (Fig. 1D and Supplementary Table S1). Moreover, it is worth noting that CCla PCs have fewer basal dendrites compared with CT (3.6 ± 0.9 vs.
Figure 1. Identification of three morphological subtypes of PCs in L6A of rat barrel cortex. (A) An unsupervised hierarchical cluster analysis was used to identify different clusters from 41 L6A PCs based on morphological parameters. The morphological parameters that were used: number of basal dendrite, total length of basal dendrites, average length of basal dendrites, dendritic horizontal fieldspan, dendritic vertical fieldspan, axonal length, axonal horizontal fieldspan, and relative soma depth. (B) Representative morphological reconstructions of a CT (green) PC, a CC PC (orange), and a putative CCla PC (blue); the somatodendritic domain is given in a darker, the axons in a lighter shade. Barrels and layer borders are indicated in dashed gray lines. (C) 2D density maps of L6A CT PCs (left, n = 11), CC PCs (center, n = 17), and CCla PCs (right, n = 13). Dendrites are shown in red and axons are shown in blue. Horizontal distribution of L6 PC dendrites and axons are shown on the top, while vertical distributions are shown on the right. The curves indicate the average dendritic and axonal density distribution; bin size in the x- and y-axes: 50 μm in horizontal and vertical directions. Dashed lines indicate white matter position. (D) Summary data of several morphological properties of L6A CT (n = 11), CC (n = 17), and CCla (n = 13) PCs. Data are shown as box plots as described in the Materials and Methods section; individual points are color-coded as described above, ***P < 0.001, n.s. for P ≥ 0.05 for the Wilcoxon Mann–Whitney U test; n.s., not significant.
be reliably discriminated and classified on the basis of both morphological and physiological features as shown by the 3D scatter plot in Figure 2C. More electrophysiological properties and the statistical comparison of the three L6A PC types are shown in Figure 2D and Supplementary Table S1.

The nuclear transcription factor FoxP2 has been shown to be a molecular marker for CT L6A PCs (Hisaoka et al. 2010; Sundberg et al. 2018). To identify the expression of FoxP2 in L6A PCs, we performed whole-cell recordings with simultaneous filling of biocytin and the biocytin-conjugated fluorescent Alexa Fluor 594 dye. Subsequently, brain slices were processed for FoxP2 immunofluorescence staining. We found that the morphological and electrophysiological identified CT L6A PCs were FoxP2-positive, while both CC PCs and putative CCla PCs were FoxP2-negative (Supplementary Fig. S3). The correlation between neuronal morphology, electrophysiology, and FoxP2 expression demonstrates the reliability of our cell-type classification.

Specific Synaptic Properties of Presynaptic CT, CC, and CCla PCs Innervating Other L6A PCs

Because of the low excitatory synaptic connectivity ratio in layer 6A, a so-called “loose seal” searching protocol (see “Materials and Methods”) was used to test for potential synaptic connections. Hundred and ninety-four excitatory neurons were recorded as postsynaptic neurons, and 1513 potential presynaptic neurons were tested. Out of the tested cells, 96 were found to be synaptically coupled with the recorded excitatory neurons so that the connectivity ratio of L6A excitatory-to-excitatory (E→E) cell pairs was 6.3%. However, this value is likely to be an underestimate of the actual synaptic connectivity because of the high rate of axon truncations in brain slices.

Overall, 47 cell pairs were recorded successfully in dual whole-cell mode. After post hoc morphological reconstructions, the pre- and postsynaptic PC types were identified according to their specific features, as described in Figure 1. As in previous studies, the L6A bipolar, and inverted excitatory neurons were classified as CC PCs based on their horizontal axonal morphology and initial burst-spiking firing behavior (Zhang and Deschenes 1997; Kumar and Ohana 2008; for a review, see Thomson 2010). We found four synaptically coupled pairs with a presynaptic CT PC (3 CT−→CT, 1 CT−→CC), 36 pairs with a presynaptic CC PC (23 CC−→CC, 13 CC−→CT), and 7 pairs with a presynaptic CCla PC (6 CCla−→CC, 1 CCla−→CT). Morphological reconstructions and paired recordings of individual synaptically coupled neuron pairs are shown in Supplementary Figure S4.

As expected from their sparse axonal projection pattern, we found that presynaptic CT PCs rarely form synaptic connections with other L6A PCs (4 out of 47 pairs, 8.5%). Connections with CT PCs showed small amplitude unitary EPSPs (0.09 ± 0.10 mV) with a high CV (1.03 ± 0.25) and a high failure rate (64.4 ± 31.1%). Eliciting 3 APs in a presynaptic CT PC at an ISI of 100 ms resulted invariably in a strong short-term facilitation of the unitary synaptic response, as characterized by a mean PPREPSP2/EPSP1 of 2.22 ± 1.24 and PPREPSP3/EPSP1 of 1.89 ± 0.91 (Fig. 3A,B). In contrast to CT PCs, the vast majority of E−→E connections were established by presynaptic CC PCs (36 out of 47 PCs, 76.6%). CC PCs were found to preferentially innervate other CC PCs (n = 23) rather than CT PCs (n = 13) probably due to the smaller dendritic length and horizontal field-span of postsynaptic CT PCs (Fig. 1D). The E−→E connections with a presynaptic CC PC displayed uEPSPs with an average amplitude of 0.37 ± 0.24 mV, which is significantly larger than
that evoked by presynaptic CT PCs. The uEPSP amplitudes at this L6A connection type varied widely (from 0.03 to 0.98 mV) and exhibited either short-term depression or facilitation, which, on average, resulted in a paired-pulse ratio close to 1 (PPREPSP2/EPSP1: 0.74 ± 0.25). We also compared the functional properties of synaptic connections established by L6A CCla PCs. In contrast to the other two L6A PC subclasses, CCla PCs establish strong, reliable synaptic connections that displayed the largest average uEPSP amplitude (0.70 ± 0.40 mV), a comparatively small CV (0.57 ± 0.22), and a low failure rate (18.8 ± 18.9%). In response to a 10 Hz train of three APs elicited in a presynaptic CCla PC, EPSPs displayed short-term depression with a mean PPREPSP2/EPSP1 of 0.94 ± 0.25 and PPREPSP3/EPSP1 of 0.74 ± 0.21 (Fig. 3C,D). The difference in short-term synaptic plasticity between CT, CC, and CCla synaptic connections in layer 6A is even more evident in response to a train of 10 presynaptic APs (Supplementary Fig. S5). The EPSP latency, rise time, and decay time were similar for the different L6A connection types (Table 1).

To investigate whether synaptic properties depend also on the postsynaptic L6A PC subtype, we compared functional properties of morphologically identified CC→CC (n=23) and CC→CT (n=11) connections and found no significant difference in EPSP properties (Supplementary Fig. S6). Taken together, our results suggest that functional properties of E→E connections in layer 6A are presynaptic cell-type-specific but do not depend on the postsynaptic target neuron. A summary plot of first EPSP amplitude versus presynaptic dendritic horizontal fieldspan is given in Figure 3E, demonstrating a tight correlation between presynaptic neuron morphology and postsynaptic uEPSP properties.

We also studied the morphological characteristics of L6A synaptic connections between excitatory neurons (E→E connections). The average distance between the cell bodies of pre- and postsynaptic neurons is similar among the different connection types (Table 1), and no correlation between uEPSP amplitude and intersoma distance was found. To assess the number of putative synaptic contacts, we searched for close appositions of presynaptic axon terminals and postsynaptic dendrites under light microscopy (Supplementary Fig. S7A–C). Although it is likely that not all appositions are functional, a good correspondence has been shown previously between the axodendritic contacts identified with light microscopy and their verification by electron microscopy (Silver et al. 2003; Feldmeyer et al. 2006). Comparison of the number of putative synaptic contacts between CT-, CC-, and CCla-formed connections revealed no marked difference (Table 1 and Supplementary Fig. S7E). This suggests that differences in the number of synaptic contacts are probably not responsible for the cell-type-specific functional properties of L6A E→E connections. Considering the distinct short-term synaptic plasticity and difference in CV and failure rate, we conclude that presynaptic CT, CC, and CCla PCs show weak, moderate, and comparatively strong synaptic release probability, respectively, in synaptic connections with other L6A PCs. Moreover, light microscopic examination suggests that CT PCs established
Characterization of PC-to-Interneuron Connections in Layer 6A of Rat Barrel Cortex

Neocortical GABAergic interneurons show a highly diverse firing pattern which depends largely on the interneuron type (Gupta et al. 2000; Ascoli et al. 2008; Yuste et al. 2020). Fast-spiking (FS) interneurons generate high-frequency APs without apparent frequency accommodation. The remaining interneurons are so-called nonfast-spiking (nFS) neurons, which comprise a large group of irregular-spiking, late spiking, and burst spiking interneurons (Kawaguchi and Kubota 1996; DeFelipe et al. 2013; Emmenegger et al. 2018). Both FS and nFS interneurons are broad families with different transcriptomic, electrophysiological, and morphological phenotypes (Gouwens et al. 2020; Scala et al. 2020; Yuste et al. 2020). Excitatory synapses onto FS interneurons are initially strong (i.e., have a high synaptic...
innervated also neighboring “barrel columns” (Fig. 4).

but of the “home” cortical column, which, in layer 6, are delimited by the so-called L6 “infra-barrels” (Crandall et al. 2017). Most L6A interneuron types were not confined to the borders of L6A excitatory neuron and an inhibitory interneuron (E−→I connection), we broadly classified L6A interneurons into a FS and nFS groups in accordance with pre- and postsynaptic cell classes (Supplementary Fig. S8). As for E→E connections with a mean uEPSP amplitude of 1.13 ± 0.28 mV and unreliable and displayed short-term facilitation (Fig. 5A,B). The functional properties of synaptic connections between CT PCs and FS or nFS interneurons were not significantly different. However, CT→FS connections tended to show slightly larger mean uEPSP amplitudes and weaker synaptic facilitation (Fig. 5C and Table 2). On the other hand, L6A FS and nFS interneurons showed distinct responses to presynaptic stimulation of CC PCs (Fig. 5A,B). Synaptic connections between CC PCs and (postsynaptic) L6A FS interneurons displayed short-term depression and a large mean uEPSP amplitude (1.13 ± 0.78 mV), low CV (0.62 ± 0.26), and low failure rate (20.6%). In contrast, CC→nFS connections showed, on average, a small uEPSP amplitude (0.16 ± 0.18 mV), high CV (1.22 ± 0.28), and high failure rate (59.0 ± 24.3%) and exhibited short-term facilitation (Fig. 5C and Table 2). Similarly, a depression or facilitation of the postsynaptic response can be observed in CCla→FS and CCla→nFS connections, respectively (Supplementary Fig. S8).

It is worth noting, however, that both FS and nFS interneurons show a large mean uEPSP amplitude and a low failure rate in

Table 1: Functional and morphological properties of L6A E→E synaptic connections

|                         | CT pairs (n=4) | CC pairs (n=36) | CCla pairs (n=7) | Kruskal-Wallis test |
|-------------------------|---------------|----------------|------------------|--------------------|
| **Electrophysiological properties** |               |                |                  |                    |
| Amplitude (mV)          | 0.09 ± 0.10   | 0.37 ± 0.24    | 0.70 ± 0.40       | **0.0010**         |
|                         | (0.03–0.24)   | (0.03–0.98)    | (0.38–1.46)       |                    |
| Paired-Pulse Ratio (second/first) | 2.22 ± 1.24   | 1.19 ± 0.56    | 0.94 ± 0.25       | *0.0499            |
|                         | (1.15–3.73)   | (0.62–3.21)    | (0.60–1.36)       |                    |
| Paired-Pulse Ratio (third/first) | 1.89 ± 0.91   | 1.08 ± 0.39    | 0.74 ± 0.21       | **0.0042**         |
|                         | (1.35–3.24)   | (0.62–2.12)    | (0.63–1.00)       |                    |
| CV                      | 1.03 ± 0.25   | 0.68 ± 0.23    | 0.57 ± 0.22       | *0.0268            |
|                         | (0.66–1.17)   | (0.37–1.21)    | (0.28–0.85)       |                    |
| Failure rate (%)        | 64.4 ± 31.1   | 35.5 ± 23.8    | 18.8 ± 18.9       | *0.0137            |
|                         | (18–81)       | (0–79)         | (0–45)            |                    |
| Rise time (ms)          | 0.91 ± 0.52   | 1.44 ± 0.99    | 1.44 ± 0.34       | 0.3434             |
|                         | (0.24–1.52)   | (0.50–5.72)    | (1.14–1.96)       |                    |
| Latency (ms)            | 2.37 ± 0.86   | 1.73 ± 0.92    | 1.75 ± 0.70       | 0.2389             |
|                         | (0.66–1.17)   | (0.80–4.67)    | (0.28–0.85)       |                    |
| Decay time (ms)         | 34.4 ± 26.1   | 38.8 ± 17.9    | 37.1 ± 9.0        | 0.8826             |
|                         | (29.0–54.8)   | (12.7–73.1)    | (9.4–61.4)        |                    |

**Morphological properties**

|                        | CT pairs (n=4) | CC pairs (n=36) | CCla pairs (n=7) |
|------------------------|---------------|-----------------|-----------------|
| No. of contacts per connection | 3.3 ± 2.1 (1–5) | 4.1 ± 1.8 (2–7) | 3.8 ± 1.2 (2–5) |
| Geometric distance (μm) | 65.3 ± 36.8 (36–150) | 119.0 ± 60.4 (30–239) | 149.1 ± 57.0 (36–237) |
| Intersoma distance (μm) | 75.6 ± 92.3 (21–214) | 78.1 ± 67.4 (20–345) | 86.4 ± 77.1 (23–235) |

Italic bold font indicates significant differences. Values in parentheses represent the smallest and largest values. *P < 0.05, **P < 0.01, ***P < 0.001 for Kruskal-Wallis test among multiple groups followed by post hoc Wilcoxon Mann–Whitney U test between individual groups.
Figure 4. Two major electrophysiological interneuron subgroups in L6A of rat barrel cortex. (A, B) Left, representative firing patterns of a L6A FS (A) and an nFS (B) interneuron; the firing patterns for L6A nFS interneurons were highly variable. Right, responses of a FS and an nFS interneuron to rheobase current injection. The inset shows the first AP at higher magnification. (C) Summary data of several electrophysiological properties of L6A interneurons. Data were compared between groups and are presented as box plots as described in the Materials and Methods section, **P < 0.001 for the Wilcoxon Mann–Whitney U test. (D) 3D scatter plot showing the clear separation of FS (n = 23) and nFS (n = 30) interneurons using electrophysiological properties. FS interneurons in brown and nFS interneurons in purple. (E, F) Representative morphological reconstructions and the corresponding firing patterns of four FS (E) and four nFS (F) interneurons. Both FS and nFS interneurons show diverse axonal projection patterns, suggesting that both groups comprise several different interneuron types. The somatodendritic domain is shown in red and axons are shown in blue. Barrels and home columns are indicated in light gray.
Both pre- and postsynaptic L6A neuron types govern synaptic characteristics of L6A E→I connections. (A) Representative morphological reconstructions of L6A CT→FS, CC→FS, CT→nFS, and CC→nFS synaptic connections. Neurons are shown in their approximate laminar location with respect to averaged cortical layers. The presynaptic somatodendritic domain is in a darker, the presynaptic axons in a lighter shade, postsynaptic soma and dendrites are in dark gray, and postsynaptic axons are in light gray. Barrels and layer borders are indicated by dashed gray lines. (B) Unitary synaptic connections obtained from CT→FS, CC→FS, CT→nFS, and CC→nFS pairs. Five consecutive EPSPs (middle) and average EPSP (bottom) were elicited by three consecutive presynaptic APs (top, interstimulus interval 100 ms). (C) Summary data of several synaptic properties of L6A E→I connections. Data were compared between groups and are presented as the mean ± SD, ∗P < 0.05, ∗∗P < 0.01, ∗∗∗P < 0.001 for the Wilcoxon Mann–Whitney U test; n.s., not significant. (D) Plot of the 1st uEPSP amplitude versus CV of L6A E→I connections. Note that the CC→FS connections have large EPSP amplitude and a small CV, while the other three E→I types are characterized by small mean uEPSP amplitudes and a large CV. Best linear and exponential fits are shown in gray dashed line.

Discussion

It has been suggested that, in the neocortex, the laminar position of a neuronal cell body accounts for the differences in connection probability and short-term synaptic dynamics (Lefort and Petersen 2017; Seeman et al. 2018; Frandolig et al. 2019). However, for an in-depth understanding of the organization of intralaminar connectivity in the neocortex, a thorough classification of the neuronal cell types in a given cortical layer is crucial (Kiritani et al. 2012; Kawaguchi 2017; Anastasiades et al. 2019; Whitesell et al. 2020). Here, we identified three distinct types of L6A PCs based on their anatomical, electrophysiological, and synaptic features; these L6A PC types were named CT, CC, and putative CClα PCs based on their putative axonal targets. Previous studies of excitatory neuronal microcircuits in layer 6A of sensory cortices often overlooked CClα PCs (West et al. 2006; Crandall et al. 2017; Sundberg et al. 2018; Frandolig et al. 2019) probably because of their low density; in contrast, the abundance of CClα PCs is significantly larger in higher-order cortices such as the PFC (Gutierrez-Ibarluzea et al. 1999; Wang et al. 2017). Here, we were able to demonstrate that the three
L6A PC subpopulations establish excitatory synaptic connections with very distinct dynamic properties and may serve their differential functional roles.

**CT PCs**

In deep layers of the neocortex, principal neurons with projections confined to the telencephalon preferentially form synapses that show EPSP depression on repetitive stimulation, whereas ET-projecting PCs tend to display short-term facilitation (West et al. 2006; Le Be et al. 2007; Morishima et al. 2011; Cotel et al. 2018). In accordance with this view, our results showed that presynaptic CT PCs projecting to the ventral posterior medial nucleus (VPM) form excitatory connections that display strong short-term facilitation following repetitive stimulation (Killackey and Sherman 2003), while those formed by presynaptic intracortical CC PCs and putative CCla PCs (i.e., ET-projecting L6A PCs) display only weak facilitation or depression. Apart from a population of remnant subplate neurons in layer 6 (Max et al. 2017; Hoerder-Suabedissen et al. 2018), L6 CT PCs may be the earliest neuron class to populate the developing neocortex (Auladell et al. 2000). There is evidence that CC PCs in layer 6 of secondary somatosensory (S2) cortex are born later than CT PCs (Arimatsu et al. 1999; Arimatsu and Ishida 2002). With developmental maturation, glutamatergic synapses turn to short-term facilitation concomitant with a reduction in synaptic release probability (Oswald and Reyes 2008; Feldmeyer and Radnikow 2009). Thus, it is conceivable that the short-term facilitation of E→E connections reflects the degree of maturation of a synapse type; synapses formed by presynaptic CC and CCla PCs are in a more immature state than L6A CT PCs and thus display more short-term depression (Fig. 6). Moreover, synaptotagmin-7 and synapsin I have been shown to play important functional roles in short-term synaptic facilitation at CT synapses (Nikolaev and Heggelund 2015; Jackman et al. 2016). If these molecules were also present at presynaptic terminals of “intracortical” connections established by CT PCs, this would explain—at least in part—EPSP facilitation at these synapses.

In accordance with previous studies (West et al. 2006; Cotel et al. 2018), we found that short-term facilitation is an important feature for the identification of L6A connections with a presynaptic CT PC regardless of the postsynaptic neuron type (Fig. 6). There are two known subgroups of L6 CT PCs in rat somatosensory cortex: A substantial fraction of L6A CT PCs located in deeper layer 6A projects to both the VPM and the posterior medial nucleus (PoM) of thalamus, whereas ET-projecting PCs tend to display short-term facilitation of EPSPs on repetitive stimulation, with very distinct dynamic properties and may serve their differential functional roles.

**CC PCs**

Compared with L6A CT and CCla PCs, CC PCs showed a higher connection probability with both other L6A PCs and interneurons. A fraction of the L6A CC PCs (~10%) was found to form
Figure 6. Schematic summary of the excitatory synaptic connections in L6A of rat barrel cortex. (A) Schematic diagram showing the excitatory synaptic connections between L6A PCs and between L6A PC and interneuron. The connections are: CT−→CT (1), CT−→CC (1), CC−→CT (2), CC−→CC (2), CClα−→CT (3), and CClα−→CC (3) connections. The obtained E−→I morphological connection types in this study are: CT−→FS (4), CC−→FS (5), CClα−→FS (6), CT−→nFS (7), CC−→nFS (8), and CClα−→nFS (9) connections. The thickness of axonal projection arrows indicates the efficacy of synaptic release. WM, white matter; S2, secondary somatosensory cortex; M1, primary motor cortex. (B) The first uEPSP amplitude and the short-term plasticity differ at the different L6A excitatory connection types. CT PCs form weak, facilitating connections with other L6A PCs and interneurons. Excitatory L6A CC PCs connections show no obvious short-term depression or facilitation. CC−→FS connections display a large first EPSP amplitude with short-term depression but establish weak and facilitating synapses with L6A nFS interneuron. CClα−→interneuron connections are strong, but CClα−→FS connections display short-term depression, while CClα−→nFS connections show short-term facilitation.

reciprocal synaptic connections with one another; however, for CT or CClα PCs, reciprocal connections were not detected. This suggests that intralaminar feedback excitation in layer 6A may be a neuronal cell-type-specific property (Morishima et al. 2011). Positive feedback excitation can drive a prolonged response to brief stimuli, thus maintaining burst activity (Grillner and Graybiel 2006; Li et al. 2006). During sensory processing, feedback excitation increases the sensitivity of CC PCs to thalamic inputs so that sensory signals can spread quickly and widely to neighboring barrel columns and even to other cortical areas (Douglas et al. 1995; Lim et al. 2012). On the other hand, studies using microiontophoretic injections demonstrated that the long, horizontally projecting axons of CC PCs form reciprocal synaptic connections cross the somatosensory barrel cortex, the secondary somatosensory, the primary motor, and the perirhinal cortices (for a review, see Izraeli and Porter 1995; Zhang and Deschenes 1998; Aronoff et al. 2010). Here, we also detected several intercolumnar synaptic connections formed by CC PCs, with a lateral somatic distance of more than 200 μm, suggesting that they form not only local intralaminar synaptic microcircuits. This parallel organization of corticocortical connections in deep layers allows a fast convergence of thalamocortical inputs and in turn may drive reliable sensory responses (Egger et al. 2020).

L6A PC axons project throughout the entire barrel field and into adjacent cortices like the motor and S2 cortex and also extensively to superficial layers where they are likely to contact apical tufts of thick-tufted L5B and L2/3 PCs (Pichon et al. 2012; Egger et al. 2020). When proximal synaptic inputs to the basal dendrites of L5B PCs induce a somatic back-propagating AP, coincident synaptic input from L6A CC PCs to the apical tuft may sum with the back-propagating AP to trigger a dendritic calcium spike, a mechanism that is involved in the association of sensory inputs, perception, and learning (Larkum et al. 1999; Takahashi et al. 2016; Takahashi et al. 2020). Thus, L6A CC PCs may have important influence on synaptic integration of L5 PCs, amplifying the response to the thalamocortical inputs, while maintaining the neuronal selectivity (Hay and Segev 2015).
Putative CCla PCs

In rodents, almost all cortical areas have been found to provide synaptic input to the claustrum; in turn, the claustrum has axonal projections back to all ipsilateral cortical areas and to several contralateral cortical areas (Zakiewicz et al. 2014; Atlan et al. 2017). Although the claustrum is widely connected with different cortices, the density of CCla inputs varies considerably between different species and also different cortical areas (Zingg et al. 2018; Smith, Alloway, et al. 2019; Jackson et al. 2020) so that the functional role of the claustrum is not very well understood. It has been shown that the claustrum responds to stimuli of different sensory modalities and is therefore involved in processing sensory information (Remedios et al. 2010, 2014; Atlan et al. 2018). In the barrel cortex, CCla and claustro-cortical axonal projections have been identified by retrograde tracing; they were found to originate or terminate, respectively, in deep layers (Zhang and Deschenes 1998; Atlan et al. 2017). The CCla PCs described here in layer 6A of rat barrel cortex are a homogeneous PC subpopulation both with respect to morphology and electrophysiology. They have ascending apical dendrites terminating in layer 1 and broad basal dendritic trees within layer 6, morphological features that are highly distinctive and similar to those of CCla PCs in cat and rat primary visual cortex (Katz 1987; Cotet et al. 2018). In layer 6A of rat PFC, a high percentage of PCs exhibit a tall, wide dendritic morphology, suggesting that this morphological subtype exist in many different cortical regions (van Aerde and Feldmeyer 2015). This is also in accordance with studies showing that the claustrum receives more extensive inputs from frontal cortical areas than the sensory cortices (Atlan et al. 2017; Zingg et al. 2018).

It is of note that the putative L6A CCla PCs identified here show a higher membrane excitability and stronger synaptic release than other L6A PC populations. This suggests that, although they form only a small fraction of L6A PCs, CCla PCs are actively involved in local circuits. L6A CCla PCs preferentially innervate CC rather than CT PCs and establish strong and reliable synaptic connections with both L6A PC classes. This suggests that they may contribute to the co-ordination of a wide-ranging network between different cortical regions. Furthermore, neocortical nFS interneurons appear to establish weak synaptic connections with neighboring PCs that show short-term facilitation, resulting in a delayed recruitment of inhibition via these interneurons (Helmsäder et al. 2008; Caputi et al. 2009). This was also observed with CT→nFS and CC→nFS connections (Fig. 6). However, the putative CCla→nFS connections we recorded were also found to be strong and reliable, suggesting that the synaptic microcircuitry formed by L6A CCla PCs is uniquely salient.

Conclusion

In the neocortex, layer 6A not only receives strong thalamic input but has also been proposed to be the preeminent source of CT projections. This reciprocal pathway serves as a feedback loop so that thalamic neurons directly receive feedback from the innervating column. L6 CT PCs induced small, graded EPSPs that display paired-pulse facilitation; therefore, they are considered to modulate but not drive thalamic neurons (Reichova and Sherman 2004). The modulatory effect of CT inputs shifts from suppression to excitation depending on the activity frequency, thereby forming a dynamic top-down control of thalamic sensory processing (Crandall et al. 2015). CC PCs receive also extensive thalamic inputs (Pichon et al. 2012). Unlike CT synapses that display always short-term facilitation, connections established by presynaptic CC PCs either showed weak facilitation or weak depression. The particular balance of short-term synaptic plasticity maintains the postsynaptic response in a steady-state, allowing a high-fidelity transmission of sensory information. Intracortical synapses established by L6A CCla PCs have a high neurotransmitter release probability resulting in large unitary EPSP that exhibit pronounced paired-pulse depression following repetitive stimulation. Because of this, CCla PCs may act as drivers of claustral neurons despite their sparseness in layer 6 of primary somatosensory cortex (Atlan et al. 2017; Chia et al. 2020). It has been shown that CCla afferents target both excitatory neurons and PV-positive interneurons in the claustrum (Kim et al. 2016; Chia et al. 2020). Feed-forward inhibition rapidly silences excitatory neurons, and only if inputs from several cortical regions arrive within a short time window, the claustro-cortical pathway can be activated. The strong synaptic release of CCla inputs may contribute in this temporal convergence mechanism and serve in the integration of claustro-cortical signaling from different sensorimotor areas (Smith and Alloway 2014). Hence, by establishing strong connections with claustral neurons and CC PCs in deep layers, L6 CCla PCs play an indispensable role in co-ordinating sensory and motor modalities from different cortical areas (Zingg et al. 2018; Chia et al. 2020).

In conclusion, we have demonstrated that excitatory synaptic microcircuits in layer 6A of rat barrel cortex are highly specific for the excitatory neuronal cell type, with important implications for intracortical network function and subcortical output of layer 6 as well as their feedback and feed-forward projections. Our study provides novel data necessary to obtain a more complete and coherent picture of the L6 microcircuitry and its role in cortical signaling pathways.

Supplementary Material

Supplementary material can be found at Cerebral Cortex online.

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