Self-assembly in rod/coil block copolymers: Degenerate behavior under nonconfinement

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The self-assembly of block copolymers containing rigid blocks have received abiding attention due to its rich phase behavior and potential for use in a variety of applications. In this work, under asymmetric interactions between rod/coil components, the self-assembly of coil/rod/ABC triblock copolymers is studied using self-consistent field of lattice model. In addition to micelles, centrosymmetric lamellae (CSLM), lamellae, perforated lamellae, strips and gyroids, non-centrosymmetric (NCST) lamellae and wavy morphologies are observed as stable phases. The phase diagram of interaction between rod and coil components versus the rod fraction is constructed given a fixed interaction between coil components. For intermediate rod fraction, degenerate behavior is observed. NCSLM and CSLM are degenerate structures. It is found that the entropy of chain conformation plays an important role for this rich behavior. A mechanism of the degenerate behavior is proposed in coil/rod block copolymers under nonconfinement. This study provides some new insights into the degenerate behavior of block copolymers, which can offer a theoretical reference for related experiments.

Key words: rod/coil block copolymer, degenerate behavior, self-consistent field

1. Introduction

The self-assembly of coil/rod block copolymers has attracted special attention in recent years due to their rich mesoscopic structures and their tunable physical properties [1–4]. Various morphologies including lamellae, strips, cylinders, vesicles, perforated lamellae, arrowhead, wavy lamellae, zigzag lamellae, and so on have been observed, as summarized in several recent reviews [5–7]. The different self-assembled structures of block copolymers are of interest for a wide field of applications such as photonic crystals, polymeric solar cells, high density storage media, nanoporous membranes, etc. [8–16].

For mesoscopic structures of block copolymers of technological applications, it is desirable to develop different routes of engineering new block copolymer structures. Confinement from the boundaries influences the self-assembly process and can generate novel mesostructures. A multitude of novel morphologies from the self-assembly of confined block copolymers have been observed, such as single helices and stacked toroids [17–20]. Moreover, it has been found that degenerate structures were frequently observed under some confined conditions [17, 18, 21–24]. For instance, a set of degenerate structures were found by Yu et al. at a certain pore size under cylindrical confinement [21]. Later, it was found that the high symmetry of a spherical pore can enable getting more complicated multiple-degenerate structures. When the copolymer was confined in an ellipsoidal pore, the observed morphologies were intermediate between those observed in a cylindrical and in a spherical pore [18]. It is concluded that the degenerate behavior of assembled structures is dependent on the degree of confinement of the system.

Some properties and behaviors of coil/rod block copolymers were not observed in coil/coil systems, such as the emergences of wavy and zigzag lamellae. Compared with lamellar phase, lengthened rod/coil interface in zigzag morphology is obviously unfavorable to the free energy, while allowing the coils to become less stretched and hence suffering less entropy penalty. When changing coil/rod AB diblock into coil/rod/coil ABA triblock copolymers by adding a coil block, the range of the region for lamellar structure in the phase diagram decreased evidently [25] compared with coil/rod diblock copolymers [26].
In linear coil/rod AB multiblock block copolymers, this variation of self-assembled structures from 1-D lamellar, 2-D rectangular to 2-D hexagonal lattices was observed, at an identical rod-to-coil volume ratio [27]. These phase behaviors originate from the liquid crystal behavior of the rods and from the complex entropic interactions of the flexible coils. The entropy of these systems is maximized only by the particular way decreasing the grafting density of the separating rod and coil segments to maximize the free volume of the coils [28][29]. It is due that the existence of rigid block for the copolymer to some extent restricts the free volume of the coil blocks and is not favorable to the entropy [30]. Recently, it is demonstrated that the entropy plays an important role in the formation of degenerate structure [31]. Whether the inner confinement produced by rigid blocks in rod/coil block copolymers leads to the emergence of the degenerate behavior remains to be clarified so far.

Theories and simulations which are important tools of the insights for understanding the phase behavior of complex block copolymers have been extensively applied. For example, Horsch et al. studied the self-assembly of coil/rod diblock copolymers using Brownian dynamics method, [1][2][32][33] and predicted that the rods self-assemble into hexagonally arranged chiral cylinders [1][2]. Meanwhile, a few groups have identified the stability of different morphologies using the self-consistent field theory (SCFT) [25][34] that is complementary to these methods because of its capability to accurately determine the free energy of each structure under mean field treatment for many-body systems. Combined with other theoretical methods containing Flory’s lattice theory, [35] Maier-Saupe theory [36] and Onsager theory [37] to account for the aligning interactions between rods, SCFT can also be used to study coil/rod block copolymer systems. Another Li and Gersappe group [38] studied the self-assembly of coil/rod diblock copolymers by proposing a lattice-based SCFT simulation where the aligning interactions between the rods can be neglected by employing the rotational isomeric state scheme. In this report, a SCFT lattice model is used [26] and the rigid section of the copolymer is described similar to Li and Gersappe’s method.

In our previous works we used the SCFT lattice model to study the phase behaviors in associative polymer solutions [39][42] and in coil-rod-coil ABC triblock copolymers [44]. The effect of asymmetry of the coil block on the microphase separation was focused on a series of fixed rod fractions. The results showed that, for different coil fractions, an increase in the interaction between different components leads to different transitions between cylinders and lamellae. In this work, under asymmetric interaction between coil/rod components, the self-assembly of coil/coil/rod ABC triblock copolymers was studied using a self-consistent field of lattice model. The phase diagram of interaction between rod and coil components versus the rod fraction was constructed. The degenerate behavior of NCSLM and CSLM was observed. The mechanism of the degenerate behavior and the stability of NCSLM is analysed.

2. Theory

We consider $n$ linear coil/coil/rod ABC triblock copolymers in a cubic lattice, and the degree of polymerization of each chain is $N$. Each A, B and C segment which have the same size occupies one lattice site, thus the total number of the lattice sites $N_L$ equals $nN$. The free energy functional of $F$ (in the unit of $k_BT$) in canonical ensemble is defined by

$$F = \frac{1}{z} \sum_{r,r'} \left[ \chi_{AB} \phi_A(r)\phi_B(r') + \chi_{AC} \phi_A(r)\phi_C(r') + \chi_{BC} \phi_B(r)\phi_C(r') \right] - \sum_r \left[ \omega_A(r) \phi_A(r) + \omega_B(r) \phi_B(r) + \omega_C(r) \phi_C(r) \right] - n \ln Q. \quad (2.1)$$

Here, $\chi_{AB}$, $\chi_{AC}$, $\chi_{BC}$ are the Flory-Huggins interaction parameters between different species. The $\phi_k(r)$ is the volume fraction field of block specie $k$, which is independent of the individual polymer configuration, and $\omega_k(r)$ is the chemical potential field conjugate to $\phi_k(r)$. The $\xi(r)$ is the potential field that ensures the incompressibility of the system, also known as a Lagrange multiplier. $Q$ is the single chain partition function where,

$$Q = \frac{1}{N_L} \frac{1}{z} \sum_{r_N} \sum_{\alpha_N} G^{\alpha_N}(r, N|1). \quad (2.2)$$
With the initial condition to the following SCFT equations:

\[ G^{αs}(r,s|1) = G(r,s) \sum_{r_{s-1}} \sum_{α_{s-1}} \lambda^{α_{s-1}}_{r_{s-1}} G^{α_{s-1}}(r',s-1|1), \]  

(2.3)

\( G(r,s) \) is the weight factor of the free segment. It is expressed as \( G(r,s) = \exp[-ω_β(r,j)] \), \( s ∈ \{A, B, C\} \). Here, \( r_{s-1} \) and \( α_{s-1} \) denote the position and bond orientation of the \( s-1 \) segment of a copolymer, respectively. For all the values of \( α_1 \), the initial condition is \( G^{α1}(r,1|1) = G(r,1) \).

The transfer matrix \( \lambda \) depends only on the chain model used, and this paper adopts an inflexion chain model. For a coil subchain,

\[ \lambda^{α_j,s-α_{j,s-1}}_{r_j,s-α_{j,s-1}} = \begin{cases} 0, & α_j,s = -α_{j,s-1} \\ 1/(ζ - 1), & \text{otherwise}, \end{cases} \]  

(2.4)

\( r' \) denotes the nearest neighboring site of \( r \). \( α_{j,s} \) can choose all of the possible bond orientations, which depends on the selected lattice model. For a rigid subchain,

\[ \lambda^{α_j,s-α_{j,s-1}}_{r_j,s-α_{j,s-1}} = \begin{cases} 1, & α_j,s = α_{j,s-1} \\ 0, & \text{otherwise}. \end{cases} \]  

(2.5)

Another end-segment distribution function \( G^{αs}(r,s|N) \) satisfies the following recurrence relation:

\[ G^{αs}(r,s|N) = G(r,s) \sum_{r_{s+1}} \sum_{α_{s+1}} \lambda^{α_{s+1}}_{r_{s+1}} G^{α_{s+1}}(r'+1,s|N). \]  

(2.6)

With the initial condition \( G^{αN}(r,N,N) = G(r,N) \) for all the values of \( α_N \).

Minimization of the free energy functional \( F \) with respect to \( φ_A, φ_B, φ_C, ω_A, ω_B, ω_C \) and \( ξ(r) \) leads to the following SCFT equations:

\[ ω_A(r) = \frac{1}{z} \sum_{r'} \chi_{AB} φ_B(r') + \frac{1}{z} \sum_{r'} \chi_{AC} φ_C(r') + ξ(r), \]  

(2.7)

\[ ω_B(r) = \frac{1}{z} \sum_{r'} \chi_{BC} φ_C(r') + \frac{1}{z} \sum_{r'} φ_B φ_A(r') + ξ(r), \]  

(2.8)

\[ ω_C(r) = \frac{1}{z} \sum_{r'} \chi_{AC} φ_A(r') + \frac{1}{z} \sum_{r'} φ_C φ_B(r') + ξ(r), \]  

(2.9)

\[ φ_A(r) + φ_B(r) + φ_C(r) = 1, \]  

(2.10)

\[ φ_A(r) = \frac{1}{N_L z \bar{Q}} \sum_{s \in A} \sum_{α_s} \frac{G^{αs}(r,s|1) G^{αs}(r,s|N)}{G(r,s)}, \]  

(2.11)

\[ φ_B(r) = \frac{1}{N_L z \bar{Q}} \sum_{s \in B} \sum_{α_s} \frac{G^{αs}(r,s|1) G^{αs}(r,s|N)}{G(r,s)}, \]  

(2.12)

\[ φ_C(r) = \frac{1}{N_L z \bar{Q}} \sum_{s \in C} \sum_{α_s} \frac{G^{αs}(r,s|1) G^{αs}(r,s|N)}{G(r,s)}. \]  

(2.13)

In our calculations, the real-space method is implemented to solve the SCFT equations in a cubic lattice with periodic boundary conditions. The calculations begin from the initial potential fields generated by random functions, and stop when the free energy of the system changes within a tolerance of \( 10^{-8} \). By comparing the system free energies from different random configurations, we obtained stable phases in the system of coil/coil/rod ABC triblock copolymers.
In our studies, the effect of the asymmetric interactions between different components on self-assembly is studied. In order to feature the influences of the chain rigidity and the entropy in the coil/coil/rod ABC triblock copolymers, we assume that the interaction between A and C components equals the interaction between B and C components, and that the interaction between coil blocks is relatively small ($\chi_{AB}N = 10$).

Furthermore, we only focus on the copolymer with the same relative length of A and B coil blocks, i.e., $f_A = f_B$. The degree of polymerization of the copolymers $N$ equals 20. Thus, the ordered morphologies of the copolymers depend on two molecular parameters: $f_{rod}$ (the volume fraction of the rod block C) and $\chi_{AC}N$ (the interaction between A and C components). The calculations are preformed in $N_L = 60^3$ to $N_L = 80^3$ lattices to make sure that the emergence of self-assembled structures is not constrained by system size.

Figure 1 shows the phase diagram constructed by $f_{rod}$ versus $\chi_{AC}N$. In addition to micelles, centrosymmetric lamellae (CSLM), lamellae, perforated lamellae, strips and gyroid, noncentrosymmetric lamellae (NCSLM) and wavy lamellae are observed. Here, NCSLM and CSLM are degenerate structures. These phases are discussed below.

When $f_{rod} = 0.3$, as shown in figure 2, two kinds of micelles are observed, i.e., micelle A and micelle C structures. These two kinds of micellar morphologies arrange in a different way within three mutually perpendicular sections of cubic lattice. In micelle A, micelles arrange along the coordinate axis within the three sections. The arrangement of micelles of micelle C within the two X-Y and Y-Z sections is similar to that of micelle A. In X-Z section, however, micelles with in micelle C does not arrange along the coordinate axis. Micelle A is obtained as a stable structure at $\chi_{AC}N = 18$. When $\chi_{AC}N$ is increased...
Figure 3. (Colour online) The ordered morphologies observed at $f_{\text{rod}} = 0.4$: (A): strips, (B): perforated lamellae, (C): centrosymmetric lamellae, (D): noncentrosymmetric lamellae, (E): the curve of the difference between the free energies of CSLM and NCSLM with $\chi_{AC} N$, (F): the distributions of volume fractions of three components along a axis.

At $f_{\text{rod}} = 0.4$, as showed in figure 3 strips and perforated lamellae emerge as stable phases near order/disorder transition. When $\chi_{AC} N > 14$, NCSLM is observed. NCSLM is an ABCBA lamellar structure, though the width of the two B layers is different. NCSLM was observed in flexible ABCA and ABCD tetrablock copolymers \cite{47–49} and in the blend of AC diblock and ABC triblock copolymers \cite{50–52, 54, 55}. However, it is found for the first time in coil/rod block copolymers. It is noted that the difference between the free energies of NCSLM and CSLM is very small (no more than the order of magnitude of $10^{-5}$) when $14 \leq \chi_{AC} N < 18$ [seen figure 3(E)], i.e., CSLM and NCSLM are degenerate structures. Above the region of degenerate behavior in the phase diagram, the relative stability between NCSLM and CSLM changes with an increase in $\chi_{AC} N$. When $\chi_{AC}$ is increased, firstly CSLM has a lower free energy than NCSLM, and then NCSLM is more stable than CSLM. This is similar to the emergence of the stable micelle C at $f_{\text{rod}} = 0.3$. These behaviors are in reasonable agreement with the cylindrical/spherical structure transition in coil/rod/coil ABA triblock with increasing the interaction between rod and coil blocks \cite{25}. The mechanism for the emergence of NCSLM will be discussed in detail below.

For $f_{\text{rod}} = 0.5$, the gyroid/lamellae transition appears, which is consistent with coil/rod diblock copolymers \cite{26}. For large $\chi_{AC} N$, firstly NCSLM and CSLM are also observed as degenerate structures, and then CSLM is only a stable phase. At $f_{\text{rod}} = 0.6$, wavy is observed [see figure 3]. Wavy is a morphology where a lamellae has chevron-like defects within the interfaces between the coil and rod segments in the two sections of three mutually perpendicular sections. Zigzag and wavy morphologies were regarded as the same structure in coil/rod diblock copolymers \cite{2}. Much effort is made to determine and explain the
Figure 4. (Colour online) The ordered structures observed $f_{\text{rod}} = 0.5$ and $f_{\text{rod}} = 0.6$: (A): gyroid; (B): lamellae, (C): wavy.

stability of zigzag (wavy) morphology in the previous work \cite{2, 26, 33}. When $8 \leq \chi_{AC}N < 14$, wavy is more stable than lamellae in coil/coil/rod ABC triblock copolymers. Compared with lamellae, wavy, where it has defects, is favorable in order to increase the space for junction points of coil/rod blocks to maximize the entropy of the coils. This factor can be an important reason for the stability of zigzag-like morphology when $\chi_{AC}N$ is small. Then, lamellae and CSLM become a stable phase sequently with the increase in $\chi_{AC}N$.

For intermediate rod fraction, the balance between internal energy and entropy is more delicate in the coil/rod block copolymers, which is demonstrated by the rich phase behavior discussed above. When $\chi_{AB}N$ is relatively small, coil/coil/rod ABC triblock copolymers are similar to the coil/rod diblock copolymers. On the other hand, these two systems behave differently in a way. For the copolymer chains assembled into layers, generally the stretchings in lamellae for coil/rod AC diblock and coil/rod ABC triblock copolymers are different, since the stretching in triblocks is governed by the two kinds of interaction between A/B and B/C, rather than only a/c interaction as in diblocks. Compared with coil/rod diblock copolymers, the stretchings from the A/B interface lead to a smaller free volume of coil block for the coil/rod triblock copolymers, and do not favor the entropy. Accordingly, the emergence of more chevron-like defects is necessary to maximize the entropy through decreasing the grafting density of the interfaces of rod and coil segments. Consequently, the stable wavy morphologies are observed at $f_{\text{rod}} = 0.6$. Seen from figure 3 (F), the emergence of NC-SLM results from the asymmetric interfaces. A different degree of chain stretching to maximize the entropy leads to the emergence of an asymmetric interface. Naturally the emergence of NC-SLM is deduced by the different chain stretching of coil blocks on the two sides of the domain formed rod blocks, which is similar to the case of NC-SLM in the blends of the flexible AC diblock and ABC triblock copolymers \cite{55}. It is expected that the different degree of chain stretching is dependent on $\chi_{AC}N$. When $\chi_{AC}N (<18)$ is not so big, the effect of entropy from the less-stretched coil blocks offsets that of the internal energy from greater contact between different components. Thus, CSLM and NC-SLM are degenerate structures. With an increase in $\chi_{AC}N$, the influence of entropy weakens, and the internal energy markedly dominates the stability of assembled structures. With $\chi_{BC}N (= \chi_{AC}N)$ increasing, the width of asymmetric interface of NC-SLM decreases. The asymmetric interface becomes favorable to the internal energy. Therefore, NC-SLM is more stable than CSLM.

Experimental studies on coil/coil/rod ABC triblock copolymers have demonstrated a significant influence of the rigid block on self-assembly of block copolymers. The introduction of rod-like polymers adds an additional geometrical asymmetry and the rod/rod interaction. In ABC $\pi$-conjugated coil/coil/rod triblock copolymers, the triple-lamellar/double-lamellar phase transition emerges near the order/disorder transition. At the same time, the ordered lamella/disorder transition and the smectic/isotropic transition occur at the same temperature, indicating that the rod/rod interaction between PPV rods plays a critical role in forming and stabilizing these lamellar structures \cite{56}. Although SCFT lattice model does not sufficiently take account of rod/rod anisotropic interaction, it had predicted triple-lamellar/double-lamellar phase transition \cite{46}. In order to maximize the influence of the incompatibility between coil and rod blocks, as well as the block stiffness, on microphases separation, it is assumed that the coil/coil interaction, i.e., $\chi_{AB}N$, is relatively suitable (corresponding to the order-disorder transition for coil/coil diblock copolymers). In other words, when separation of microphases occurs, the effect of A/B interface on the B/C interface is weak, and it is favourable to the emergence of asymmetric interface. When $\chi_{AB}N$ is increased to 12 or decreased to 8 at $f_{\text{rod}} = 0.4$, the region of the phase diagram of stability
and degenerate behavior apparently decreased. The emergence of the NCSLM is very much dependent on $\chi_{AB}N$. In $\pi$-conjugated coil/coil/rod ABC triblock copolymers containing a mesogen-jacketed liquid crystalline polymer, the core-shell hexagonally packed cylinder/lamella transition emerges with increasing the temperature. [58] and the transition sequence is in reasonable agreement with that of NCSLM/CSLM transition. Consequently, it is expected that NCSLM may be observed in the experiment.

Although the excluded volume effects are neglected, SCFT have been successful at describing the structural properties of simple and complex polymers [59]. In the coil/coil AB diblock copolymers, the $\chi_{AB} N$ vs $f$ (the volume fraction of A block) phase diagram calculated by SCFT [60] is well confirmed by the experimental study [61]. By the simulations of different continuum bead-spring models, it was found that although SCFT provides inaccurate predictions for order-disorder transition because of the neglect of fluctuation [62], it gives surprisingly accurate predictions for the free energy of the ordered lamellar phase, suggesting that SCFT may provide more reliable predictions for order/order transitions [63]. Furthermore, Chremos et al. [64] developed a coarse-graining methodology for mapping specific block copolymer systems to bead-based models. It correctly reproduced the characteristic domain morphologies of poly(styrene-b-methyl methacrylate) over a broad range of $f$ and $\chi_{AB}N$ values, agreeing well with the results from SCFT calculations. They had obtained semi-empirical temperature-related equations that link $\chi$ to the model parameters, and predicted that the order-disorder temperature of poly(styrene-b-methyl methacrylate) is also in good agreement with the reported experimental results [64].

4. Conclusion and summary

In this work, the self-assembly of coil/coil/rod ABC triblock copolymers is studied using a self-consistent field of lattice model under asymmetric interaction between different coil/rod components, given a small and fixed interaction between coil components. In addition to micelles, centrosymmetric lamellae, lamellae, perforated lamellae, strips and gyroid, noncentrosymmetric lamellar and wavy morphologies were observed as stable structures. For intermediate rod fraction, the degenerate behavior for NCSLM and CSLM was observed. It is found that the entropy of chain conformation plays an important role in the emergence of NCSLM. It is expected that the stability of NCSLM is related to the chain architecture. This will be studied in the further work.

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Самоскупчення в блокових кополімерах стрижень/клубок: вироджена поведінка в умовах необмеженості

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Самоскупчення блокових кополімерів, що складаються з жорстких блоків, привертає значну увагу завдяки його багатих фазових поведінці та потенційним можливостям використання у різноманітних пристроїях. У даній роботі, за умов асиметричних взаємодій стрижнів і клубкових компонентів, досліджується самоскупчення ABC триблокових кополімерів стрижень/клубок стрижень/клубок/клубок з використанням самоузгодженої поля граткової моделі. На додаток до міцел, центросиметричних ламел, перфорованих ламел, смуг та гіроїд, спостерігаються нецентросиметричні ламелі та хвилясті морфології в якості стабільних фаз. Фазова діаграма взаємодії між стрижневими і клубковими компонентами відносно фракції стрижнів побудована за умови фіксованої взаємодії між клубковими компонентами. Для проміжної стрижневої фракції спостерігається вироджена поведінка. Центросиметричні ламелі і нецентросиметричні ламелі — вироджені структури. Встановлено, що ентропія ланцюгової конформації відіграє важливе роль у цій багатій поведінці. Запропоновано механізм дії виродженої поведінки в блокових кополімерах стрижень/клубок в умовах необмеженості. Дане дослідження представляє інший погляд на вироджену поведінку блокових кополімерів, а тут, у свою чергу, може запропонувати теоретичні підвалини для споріднених експериментів.

Ключові слова: блоковий кополімер стрижень/клубок, вироджена поведінка, самоузгоджене поле
