Different Multivariable Risk Factors for Rapid Progressive Interstitial Lung Disease in Anti-MDA5 Positive Dermatomyositis and Anti-Synthetase Syndrome

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Background: Interstitial lung disease (ILD) is frequently observed in anti-melanoma differentiation-associated protein 5 (MDA5) antibody positive dermatomyositis (DM) and anti-synthetase syndrome (ASS), where they often develop a rapidly progressive ILD (RP-ILD) leading to poor prognosis.

Objective: The aim of this study was to construct multivariable prediction risk factors for rapid progressive ILD (RP-ILD) in anti-MDA5 positive DM (MDA5*DM) and ASS.

Methods: 333 idiopathic inflammatory myopathy (IIM) associated ILD patients were studied retrospectively. Risk factors for RP-ILD in MDA5+DM and ASS patients were identified by univariate and multivariable logistic regression analysis. The mortality was assessed using Kaplan-Meier analysis.

Results: RP-ILD was more prevalent in MDA5*DM patients than ASS patients. MDA5*DM patients with RP-ILD had significantly lower survival rates than those in ASS patients. The independent risk factors for RP-ILD in MDA5*DM patients were fever (OR 3.67, 95% CI:1.79-7.52), lymphopenia (OR 2.14, 95% CI:1.01-4.53), especially decreased levels of CD3+T cells (OR 2.56, 95% CI:1.17-5.61), decreased levels of CD3+CD4+ T cells (OR 2.80, 95% CI:1.37-5.73), CD3+CD8+ T cells (OR 2.18, 95% CI:1.05-4.50), elevated CD5-CD19+ B cells (OR 3.17, 95% CI:1.41-7.13), elevated ALT (OR 2.36, 95% CI:2.14-4.53), especially increased levels of lactate dehydrogenase (LDH) (OR 3.08, 95% CI:1.52-6.27), hyper-ferritin (OR 4.97, 95% CI:1.97-12.50), elevated CEA (OR 5.25, 95% CI:1.73-15.93), CA153 (OR 2.79, 95% CI:1.10-7.11), and NSE (OR 4.86, 95% CI:1.44-16.37). Importantly, serum ferritin>2200ng/ml predicted patient’s death within half a year in MDA5*DM patients with RP-ILD, but not in ASS patients.
INTRODUCTION

IIM comprises a group of systemic autoimmune disorders, including DM, polymyositis, ASS, immune-mediated necrotizing myopathy (IMNM), inclusion body myositis (IBM), affecting skeletal muscles and other organs. In patients with IIM, interstitial lung disease (ILD) is a common extramuscular involvement associated with poor prognosis (1). RP-ILD is defined as a progressive deterioration associated with ILD within 3 months (2).

In the past year, there have been several studies that reported on the risk factor model of amyopathic dermatomyositis combined with ILD, which provides a favorable basis for better clinical assessment of disease progression (3, 4). However, these studies did not separately discuss risk factors and prognosis of RP-ILD in MDA5+DM and ASS patients.

Researches in recent years have shown that myositis-specific antibody (MSA) profiles help IIM classification. Different antibody-positive IIM has different clinical characteristics. Our previous research showed that ILD had different types in patients with different antibodies (5), in addition, RP-ILD was found both in MDA5+DM and ASS patients. Our previous study has reported that anti-MDA5 was an independent risk factor for RP-ILD (6). ASS patients with ILD generally have slower disease progression, however, another research from our study showed that anti-threonyl tRNA synthetase (PL-7), one of the subtypes of anti-aminocetyl-tRNA synthetase (ARS) antibodies, was closely associated with RP-ILD (7). However, there is no report of exact clinical difference between RP-ILD patients with anti-MDA5 and anti-ARS. Additionally, it is still unclear as to the disparity in survival between the two groups. Furthermore, hyperferritinemia has been proven to be the hallmark and a predictor of poor outcome of ILD associated with MDA5+DM, however different studies have different cut-off values of ferritin for prognosis (8, 9) and as far as we know, there is no separate study on ferritin in the RP-ILD population.

Thus, this retrospective study was conducted to elucidate clinical difference and survival rates of RP-ILD in anti-MDA5 positive and anti-ARS positive patients, to analyze the susceptibility factors of RP-ILD in two groups of patients separately. Furthermore, prognostic value of serum ferritin in anti-MDA5 positive patients with RP-ILD was evaluated.

Conclusions: There were significant different mortality and multivariable risk factors for RP-ILD in MDA5+DM patients and ASS patients. Potential clinical benefits of using these different risk factors deserve assessment of severity and prognosis in IIM patients.

Keywords: idiopathic inflammatory myopathy, anti-MDA5 antibody, anti-synthetase syndrome, rapidly progressive interstitial lung disease, hyperferritinemia

MATERIALS AND METHODS

Patient Population

A total of 333 patients with IIM associated ILD (IIM-ILD) were enrolled in this study. 175 patients were positive for anti-MDA5 antibody, and 158 patients were positive for anti-ARS antibodies. All patients were treated in China-Japan Friendship Hospital between July 2013 and October 2018. DM was diagnosed on the basis of the Bohan and Peter criteria, and 239th European Neuro Muscular Centre International Workshop guidelines (10, 11). ASS was diagnosed with definitive serology findings of one of anti-ARS antibodies tested, along with at least one triad finding, including myositis, arthritis, and ILD (12). The exclusion criteria were patients with infections, cancers and/or other CTDs. All patient data were used anonymously with written informed consent from all participants. This study was approved by the Human Ethics Board of the China-Japan Friendship Hospital (approval number: 2016-117).

Data Collection

Clinical data collected included demographics, clinical characteristics, laboratory findings containing auto-antibodies, creatine kinase (CK), serum ferritin, and tumor marker levels; pulmonary function test results (forced vital capacity (FVC), forced expiratory volume in the first second (FEV1) and diffusing capacity of the lung for carbon monoxide; BALF analyses mainly included cell types of fluid. All these routine tests were done in all patients at the first visit in our cohort. Among 333 patients, 122 patients had lung function tests. 100 patients had BALF analyses, and mainly performed when ILD worsened. The follow-up time was defined as the time between the first visit for our cohort to the death or the end of 28 February 2019.

ILD was divided into two categories based on clinical manifestations: RP-ILD and chronic ILD. RP-ILD was defined as displaying two or more of the following within 3 months: 1) dyspnea exacerbation; 2) an increase in parenchymal abnormality on high-resolution computed tomography (HRCT) scan; and 3) one of the following physiological changes: >10% decrease in vital capacity (VC) or >1.33 kPa decrease in arterial oxygen tension (PaO2). The chronic form was defined as a slowly progressive ILD with gradual deterioration over 3 months (2).
Autoantibody Analyses

The autoantibody tests were performed on the patients’ first admission. The serum anti-nuclei antibody profiles and MSAs including anti-MDA5, anti-histidyl tRNA synthetase (Jo-1), anti-threonyl tRNA synthetase (POL-7), anti-acceptor tRNA synthetase (PL-12), anti-ileucyl-tRNA synthetase (OJ), and anti-glycyl-threonyl tRNA synthetase (EJ) were tested using commercially available kits (EUROIMMUN, Lübeck, Germany) according to the manufacturer’s instructions.

Analysis of Lymphocyte Subsets in Peripheral Blood of Patients With DM

Lymphocyte subsets analyses were underwent in 286 patients at the first visit in our cohort. A part of patients (n=92) did not receive glucocorticoids therapy before, however, there were still a number of patients (n=194) received glucocorticoids therapy for a short time at other hospitals, which may affect the predictive value of lymphocyte subsets. The counts of CD3+CD4+T cells, CD3+CD8+T cells, CD3+CD19+B cells and CD3-CD16+CD56+ NK cells in peripheral blood were detected by flow cytometry (Beckman Coulter, USA) using specific monoclonal antibodies (Beckman Coulter, USA), respectively. Data were analyzed using Cytomics FC500 (Beckman Coulter, USA).

Statistical Analysis

Statistical analysis was performed using SPSS version 11.0 (SPSS Inc. Chicago, IL, U.S.A.). For group comparisons involving demographic characteristics, clinical symptoms and laboratory tests, we used χ² tests for the categorical data and the Mann-Whitney U test or two-sample t-tests to analyze continuous data. Univariate and multivariate logistic regression analysis were used to determine the predictors of RP-ILD. The prediction was considered statistically significant, if the p value of lymphocyte subsets. The counts of CD3+CD4+T cells, CD3+CD8+T cells, CD3+CD19+B cells and CD3-CD16+CD56+ NK cells in peripheral blood were detected by flow cytometry (Beckman Coulter, USA) using specific monoclonal antibodies (Beckman Coulter, USA), respectively. Data were analyzed using Cytomics FC500 (Beckman Coulter, USA).

RESULTS

Clinical Features and Prevalence of RP-ILD of MDA5+DM and ASS Patients

The demographics, clinical manifestations, laboratory test results at diagnosis, pulmonary function test results, and BALF analyses of the 333 enrolled patients with IIM-ILD having either anti-MDA5 or anti-ARS antibodies are summarized in Table 1. The mean age at disease onset was 49.7 ± 12.3 years. The majority of the patients were women (M: F ratio = 1:2.3). Of the 333 patients, 175 (52.6%) were positive for anti-MDA5 antibody and 158 (47.4%) were positive for anti-ARS (including 79 anti-Jo-1, 35 anti-PL-7, 17 anti-PL-12, 27 anti-EJ respectively) antibodies. We also found that anti-Ro-52 was identified as the most frequent myositis-associated autoantibody in 113 (33.9%) patients.

Among the 333 patients with IIM-ILD, 180 (54.1%) experienced a RP pattern, of whom 110 (61.1%) were anti-MDA5 antibody-positive and 70 (38.9%) were anti-ARS antibody-positive. The percentage of RP-ILD was significantly higher in MDA5+DM patients than in ASS patients (62.9% vs. 44.3%; p = 0.001; Table 2). The frequencies of RP-ILD varied among the anti-ARS subgroups; however, no statistically significant differences were observed.

Variables Associated With RP-ILD in MDA5+DM and ASS Patients

In first, we identified the incidence of typical rash, including the heliotrope, Gottron, V, and Shawl signs, and skin ulcer and the levels of serum alanine transaminase (ALT), aspartate transaminase (AST), and ferritin were significantly higher, whereas CK levels in MDA5+ DM patients with RP-ILD were much lower (all p values < 0.05). The counts of lymphocytes in the peripheral blood (PBL) were also significantly lower in MDA5+DM patients with RP-ILD. Further the counts of CD3+CD4+ T cells, CD3+CD8+T cells, CD5+CD19+B cells, and NK cells were much lower, whereas the proportion of CD5+CD19+B cells was higher in MDA5+DM patients with RP-ILD than in ASS patients (all p values < 0.05) (Table 3).

Risk Factors Independently Associated With RP-ILD in MDA5+DM and ASS Patients

To determine the independent risk factors for RP-ILD in either MDA5+ DM or ASS patients, we employed univariate and multivariate analyses to identify that fever (OR 3.67, 95% CI:1.79-7.52, p=0.000), lymphopenia (OR 2.14, 95% CI:0.94-4.53, p=0.046), especially decreased levels of CD3+T cells (OR 2.56, 95% CI:1.17-5.61, p=0.019), decreased levels of CD3+CD4+ T cells (OR 2.80, 95% CI:1.37-5.73, p=0.005), CD3+CD8+T cells (OR 2.18, 95% CI:1.05-4.50, p=0.036), elevated CD5+CD19+B cells (OR 3.17, 95% CI:1.41-7.13, p=0.005), elevated ALT (OR 2.36, 95% CI:1.15-4.81, p=0.019), high LDH (OR 3.08, 95% CI:1.52-6.27, p=0.002), hyperferritinemia (OR 4.97, 95% CI:1.97-12.50, p=0.001), elevated CEA (OR 2.28, 95% CI:1.13-4.59, p=0.022), and elevated CA153 (OR 3.31, 95% CI:1.50-7.27, p=0.003) were risk factors for RP-ILD in MDA5+DM patients. Interestingly, arthralgia was identified as a protective factor for the development of RP-ILD in MDA5+DM patients (Figure 1).

In deviation with MDA5+DM patients, the age and sex adjusted multivariate analyses showed that fever, increased levels of serum ferritin, ALT, or LDH, lymphocytes subpopulations including CD3+T cells, CD3+CD4+ T cells, CD3+CD8+T cells were not risk factors for RP-ILD in ASS patients. Of note, elevated tumor marker CEA (OR 5.25, 95% CI: 1.73-15.93, p=0.003), CA125 (OR 2.79, 95% CI: 1.10-7.11, p=0.031) and NSE (OR 4.86, 95% CI: 1.44-16.37, p=0.011) were both significant independent risk factors for RP-ILD in ASS patients (Figure 2). Further, the subtypes of anti-ARS antibodies including anti-Jo-1, anti-PL-7, anti-PL-12, and anti-EJ, were not related to high risk for RP-ILD. Similar to that in MDA5+DM,
arthralgia, myalgia, and muscle weakness appeared to be protective factors for RP-ILD in ASS patients.

Mortality in RP-ILD in MDA5\(^{+}\)DM and ASS Patients

The duration of the follow-up period ranged from 1 to 384 months for all patients. The median follow-up time was 32.4 months. Overall, MDA5\(^{+}\)DM patients with RP-ILD had significantly lower survival rates than in ASS patients (\(p<0.001\), Figure 3A). The 1-year survival rates of MDA5\(^{+}\)DM patients with RP-ILD and ASS patients with RP-ILD were 53% and 89%, respectively. The 5-year survival rates of MDA5\(^{+}\)DM patients with RP-ILD and ASS patients with RP-ILD were 45% and 76%, respectively. These results indicated MDA5\(^{+}\)DM patients with RP-ILD had the highest mortality within the first year after disease onset.

Considering serum ferritin as a significant risk factor for RP-ILD in MDA5\(^{+}\)DM patients, we next explored the optimal cut-off

| TABLE 1 | Characteristics of study participants at diagnosis.  
| Values | Range |
|-----------------|----------------|
| Age on set, years | 49.7 ± 12.3 (7-79) |
| Male/Female | 102/231 (1:2.3) |
| Fever | 158 (47.4%) |
| CK, IU/L | 433.9 ± 1082.8 (12-10762) |
| Ferritin, ng/mL | 793.0 ± 1370.0 (5.7-11871) |
| Anti-MDA5 antibody | 175 (52.6%) |
| Anti-ARS antibodies | 158 (47.4%) |
| Anti-Jo-1 antibody | 79 (23.7) |
| Anti-PL-7 antibody | 35 (10.5) |
| Anti-PL-12 antibody | 17 (5.1) |
| Anti-EJ antibody | 27 (8.1) |
| Anti-Ro-52 antibody | 113 (33.9%) |
| FVC% | 74.8 ± 19.2 (39-140) |
| FEV1% | 71.8 ± 16.9 (40-112) |
| DLCO% | 54.9 ± 15.5 (29-100) |
| BALF LYM% | 24.4 ± 23.1 (0-97) |
| BALF NE% | 50.7 ± 28.2 (2-99) |
| BALF Mac% | 20.8 ± 15.8 (1-74) |

| TABLE 2 | Frequencies of RP-ILD among patients with different MSAs.  
| MSAs | Cases | RP-ILD | Chronic ILD | \(P\) value |
|-----------------|----------------|
| Total | 333 | 180(54.1) | 153(45.9) | 0.001 |
| Anti-MDA5 | 175 | 110(62.9) | 65(37.1) |
| Anti-ARS | 158 | 70(44.3) | 88(55.7) | 0.001 |
| Jo-1 | 79 | 34(43.0) | 45(57.0) | 0.024 |
| PL-7 | 35 | 19(54.3) | 16(45.7) | 0.977 |
| PL-12 | 17 | 6(35.3) | 11(64.7) | 0.111 |
| EJ | 27 | 11(40.7) | 16(59.3) | 0.148 |

MSAs, myositis specific autoantibodies. *indicated that the results were certain MSA positive group comparison with negative group.
levels of serum ferritin in predicting the mortality of MDA5+DM patients. Of the 110 anti-MDA5 antibody-positive patients with RP-ILD, 48 died during follow-up due to exacerbation of ILD or infection. A total of 34 (70.8%) patients died within 6 months from disease onset, and 43 (89.6%) died within 1 year. Because some of the surviving patients had not been followed up for >1 year, we used ROC analysis to study the value of ferritin required to predict patient’s mortality within half a year. As shown in Figure 3B, the optimal cutoff point for serum ferritin was 2200 ng/mL (AUC, 0.66 (95% CI 0.51–0.80). Using the manufacturer’s cutoff value for serum ferritin ≥ 2200 ng/mL, the prognostic sensitivity was 41.7% (95% CI 20.4%–62.9%), whereas the prognostic specificity was 93.2% (95% CI, 86.6%–99.8%). In addition, the positive predictive value for serum ferritin was 71.4% (95% CI 44.4%–98.5%), and the negative predictive value was 79.7% (95% CI 70.0%–89.4%).

DISCUSSION

The present study indicated the following: (1) MDA5+DM was related to a higher frequency of RP-ILD and a worse prognosis
than ASS; (2) There were great different multivariable risk factors for RP-ILD in MDA5⁺DM and ASS. A more unique result showed serum hyper-ferritin was the risk factor and prognostic value for RP-ILD in MDA5⁺DM patients but not in ASS patients.

Previous studies had demonstrated that MDA5⁺DM associated ILD can frequently progress rapidly and have a poor outcome, whereas ASS associated ILD usually responds to therapy. The comparison of the incidence of RP-ILD between the two groups was consistent with observations from literature reports; however, in our cohort, ASS patients could also have RP-ILD, especially in patients with anti-PL-7; however, no statistical difference in incidence compared with that in other subgroups of anti-ARS antibodies was observed.

We further selected patients with RP-ILD and compared the clinical differences between the two groups of patients with different antibodies. MDA5⁺DM patients were more likely to have typical dermatomyositis rashes, whereas more ASS patients have elevated CK levels. Considering that RNA helicase encoded by MDA5 is a key molecule participating in the innate immune defense against viruses, viral infection may play an important role in the pathogenesis of DM with anti-MDA5 antibody positivity and RP-ILD (13). In our study, MDA5⁺DM patients with RP-ILD were more likely to have elevated transaminase and ferritin levels and decreased lymphocyte counts, which is consistent with the clinical characteristics of viral infection, and also reflects the difference in pathogenesis of the two groups of patients with RP-ILD having different antibodies.

In addition to the differences in clinical indicators, we also analyzed the survival of the two groups of patients. To our best knowledge, this is the first large sample study showing the difference in survival of patients with RP-ILD having either anti-MDA5 or anti-ARS antibodies. A study on the survival of MDA5⁺DM patients conducted by Koga T et al. suggested that all of the deaths of MDA5⁺DM patients occurred within the first six months from the first visit to the hospital (14). Another study on the prognostic significance of ASS patients indicated that the 10-year survival rate was also significantly higher in the ASS group than in the non-ASS group (15). Our research found that patients with RP-ILD having anti-MDA5 antibody had significantly lower survival rates than those having anti-ARS antibody.

![FIGURE 2](image1.png) Risk factors of RP-ILD in patients with anti-ARS antibody.

![FIGURE 3](image2.png) Mortality in RP-ILD in anti-MDA5 and anti-ARS positive DM and prognostic value of serum ferritin. Survival analysis in RP-ILD patients with anti-MDA5 or anti-ARS antibodies (A) and prognostic value of serum ferritin in MDA5⁺DM (B).
Furthermore, patients with anti-MDA5 antibody had the highest mortality within the first year of disease onset. Thus, for patients with RP-ILD having anti-MDA5 antibody, early and more powerful treatment is critical. Anti-MDA5 antibody- and anti-ARS antibody-positive patients have some similarities in terms of the risk factors of RP-ILD. Elevated tumor markers indicated an increased risk of RP-ILD in both groups, consistent with our previous observations (5); however, the exact function of tumor-associated markers in IIM-ILD remains unknown. In the present study, arthralgia was identified as a protective factor for the development of RP-ILD in both groups, which was different from the observations of other research teams (16). This may be owing to differences in sample sizes; therefore, further confirmation studies are needed.

Furthermore, for MDA5+DM patients, we found periphery CD4+ and CD8+ T cells in the RP-ILD group, were significantly lower than in chronic ILD group, confirming previous clinical findings (6, 17), and indicating that T cells play an important role in the development of disease. Knowledge on exactly how the lymphocytes, especially the T cells, work in MDA5+DM patients (9) may be of great benefit to the treatment of patients. Lian et al. reported ferritin level of >1000 ng/mL before treatment was a risk factor for respiratory failure (8) while Kurasawa et al. reported that a ferritin level of >1600 ng/mL did not predict mortality within half a year in MDA5+DM patients (9). Lian et al. established a mortality risk score model in amyopathic dermatomyositis-associated ILD, identifying a ferritin level of 636 ng/mL as the optimal clinical threshold (4). In this study, we aimed to provide a more accurate cutoff value of ferritin for the prognosis of RP-ILD for MDA5+DM patients. Through ROC curve analysis, a serum ferritin level of >2200 ng/mL predicted mortality within half a year in MDA5+DM patients with RP-ILD with a sensitivity of 41.7% and specificity 93.2%. Based on our previous observations, the pathogenesis of DM may be related to the activation of macrophages (5, 19), and in this study, patients with RP-ILD having anti-MDA5 antibodies tended to have hyperferritinemia and lower NK cell counts, supporting previous observations.

CONCLUSION

There were significant different mortality and multivariable risk factors for RP-ILD in MDA5+DM patients and ASS patients. Potential clinical benefits of using these different risk factors deserve assessment of severity and prognosis in IIM patients. Further studies are required to elucidate any difference in pathogenesis between anti-MDA5 antibody and anti-ARS antibody positive patients.

DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

ETHICS STATEMENT

The studies involving human participants were reviewed and approved by Human Ethics Board of the China-Japan Friendship Hospital (approval number: 2016-117). The patients/participants provided their written informed consent to participate in this study.

AUTHOR CONTRIBUTIONS

YZ, LY, FC, and YS collected and analyzed the data. XL, GW, and XS provided clinical samples and approved the manuscript. All authors contributed to the article and approved the submitted version.

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