A Clinical-Radiomic Model for Predicting Indocyanine Green Retention Rate at 15 Min in Patients With Hepatocellular Carcinoma

Ji Wu, Feng Xie, Hao Ji, Yiyang Zhang, Yi Luo, Lei Xia, Tianfei Lu, Kang He, Meng Sha, Zhigang Zheng, Junekong Yong, Xinming Li, Di Zhao, Yuting Yang, Qiang Xia and Feng Xue

1 Department of Liver Surgery, Renji Hospital, School of Medicine, Shanghai Jiao Tong University, Shanghai, China, 2 Department of Instrument Science and Engineering, School of Electronic Information and Electrical Engineering, Shanghai Jiao Tong University, Shanghai, China, 3 Department of Medical Imaging, Zhujiang Hospital, Southern Medical University, Guangzhou, China, 4 Institute of Computing Technology, Chinese Academy of Sciences, Beijing, China

Purpose: The indocyanine green retention rate at 15 min (ICG-R15) is of great importance in the accurate assessment of hepatic functional reserve for safe hepatic resection. To assist clinicians to evaluate hepatic functional reserve in medical institutions that lack expensive equipment, we aimed to explore a novel approach to predict ICG-R15 based on CT images and clinical data in patients with hepatocellular carcinoma (HCC).

Methods: In this retrospective study, 350 eligible patients were enrolled and randomly assigned to the training cohort (245 patients) and test cohort (105 patients). Radiomics features and clinical factors were analyzed to pick out the key variables, and based on which, we developed the random forest regression, extreme gradient boosting regression (XGBR), and artificial neural network models for predicting ICG-R15, respectively. Pearson’s correlation coefficient (R) was adopted to evaluate the performance of the models.

Results: We extracted 660 CT image features in total from each patient. Fourteen variables significantly associated with ICG-R15 were picked out for model development. Compared to the other two models, the XGBR achieved the best performance in predicting ICG-R15, with a mean difference of 1.59% (median, 1.53%) and an R-value of 0.90. Delong test result showed no significant difference in the area under the receiver operating characteristic (AUROCs) for predicting post hepatectomy liver failure between actual and estimated ICG-R15.

Conclusion: The proposed approach that incorporates the optimal radiomics features and clinical factors can allow for individualized prediction of ICG-R15 value of patients with HCC, regardless of the specific equipment and detection reagent (NO. ChiCTR2100053042; URL, http://www.chictr.org.cn).

Keywords: indocyanine green retention rate at 15 min, radiomics, machine learning, post hepatectomy liver failure, hepatocellular carcinoma
INTRODUCTION

Hepatocellular carcinoma (HCC) is one of the common malignant tumors and accounts for more than 90% of liver cancers (1, 2). Curative hepatectomy is the preferred therapy for patients with HCC (3, 4). To date, although perioperative management and surgical techniques have greatly improved, hepatic resection is often burdened by post hepatectomy liver failure (PHLF), which is a life-threatening complication (5). The PHLF is a leading cause of mortality after surgical resection and is closely related to prolonged hospital stays, increased treatment expenses, and long-term survival (6, 7). Accurate assessment of hepatic functional reserve before the operation is of great significance in reducing the occurrence of PHLF, and this is especially true of patients with HCC with hepatic cirrhosis and impaired hepatic function (8).

Currently, although several tools have been developed for assessment of hepatic functional reserve, the indocyanine green (ICG) clearance test remains to be the most commonly used and well-established method in Eastern countries, and recent evidence reveals that this method can be also applicable to Western populations (9–11). In the clinical setting, ICG retention rate at 15 min after intravenous injection (ICG-R15) is the most frequently utilized quantitative indicator. The previous study revealed that patients with an ICG-R15 value of ≤10% can be tolerant of major hepatectomy (12). Wang et al. demonstrated that ICG-R15 was a more reliable predictor of hepatic functional reserve than traditional scoring systems such as the model for end-stage liver disease score and Child-Pugh grade (10). Moreover, many studies have demonstrated that the ICG-R15 acts as an important role in minimizing PHLF and postoperative mortality (13, 14). However, some patients may be allergic to ICG photosensitive dye, and more importantly, the ICG clearance test relies on expensive equipment and detection reagents, which makes it difficult for clinicians to assess hepatic functional reserve in some medical institutions that lack the equipment (15). Therefore, a simplified alternative tool was urgent to be developed to assist these clinicians to rapidly and safely obtain ICG-R15 value without the specialized equipment.

Nowadays, radiomics technology plays an increasingly central role in medical image analysis and is expected to open a broad scope of future research of medical research. The technology has been widely utilized and is becoming increasingly popular in hepatology, such as predicting microvascular invasion and tumor recurrence for HCC, using contrast-enhanced CT (16, 17). Moreover, recent studies reported that two-dimensional (2D) CT texture analysis has been applied in disease diagnosis (18, 19). Compared to 3D images, 2D regions of interest (ROIs) are easier to obtain and faster to calculate, and, thus, a 2D CT texture analysis was introduced to predict ICG-R15 in this study.

Hence, this study aims to develop a novel approach to predict ICG-R15 based on contrast-enhanced CT images and available clinical data, and, thus, provide reference evidence for clinical treatment in medical institutions that lack specialized equipment.

MATERIALS AND METHODS

Study Cohort

Between May 2015 to October 2021, patients who underwent hepatic resection at the Department of Liver Surgery of Renji Hospital, Shanghai Jiao Tong University School of Medicine were consecutively enrolled for this study, including a subcohort with follow-up scans. The inclusion criteria of the study cohort were: (1) patients aged ≥18 years; (2) patients with postoperative pathological confirmed HCC; (3) receiving ICG clearance test within 7 days prior to surgery (4) receiving contrast-enhanced CT scanning within 30 days before the operation. The exclusion criteria were missing important clinical data and CT images of insufficient quality. The study cohort was randomly grouped into two datasets for training (70%) and testing (30%).

Before the operation, the criteria for judging patients suitable for HCC resection were: (1) no fatal cardiopulmonary or other systemic diseases, (2) BCLC 0/A (no macrovascular invasion or distant/ lymphatic metastasis), (3) Child-Pugh grade A/B, and (4) ICG-R15 < 30%.

We collected portal venous phase CT images and clinical information including demographic data, biochemical tests, and documented events of PHLF from medical records. The CT image acquisition is described in Supplementary S1.

The study has been registered in the Chinese Clinical Trial Registry center (No. ChiCTR2100053042). The institutional review board of our hospital has approved this study. Informed consent was waived given the retrospective nature of this study.

ROI Segmentation and Feature Extraction

Two experienced radiologists (5- and 8-years’ experience in abdominal imaging, respectively), unaware of clinical information, reviewed and annotated all the CT images. The 2D ROI of hepatic parenchyma was manually segmented using the Pyradiomics (Version 3.0.1)(20). The detailed descriptions about feature extraction are shown in Supplementary S2.

Feature Selection

Firstly, to assess the intraobserver and interobserver reproducibility of radiomics features, we randomly chose 45 CT images to calculate the intra- and inter-class correlation coefficients (ICCs). To ensure the reproducibility of the analysis, only the CT image features with both intra- and inter-observer ICC >0.75 were selected for further analysis. The 2D ROI labeling for the remaining 305 cases was completed by a radiologist with 8 years of experience. Next, we adopted the Spearman correlation coefficient (Rho) to evaluate correlations.
between any two CT image features. If two features are highly correlated (i.e., $|\rho| > 0.9$), either of the two features are excluded.

Finally, the correlation between ICG-R15 and radiomics features or clinical factors was evaluated. The $|\rho| \leq 0.3$ is considered to be of no correlation and, thus, significant variables with $|\rho| > 0.3$ were employed to develop predictive models.

**Diagnosis and Definitions**

The HCC was diagnosed based on histopathological examination of resected hepatic specimens. The extent of resection was categorized as minor ($<3$ Couinaud liver segments) and major hepatectomy ($\geq3$ Couinaud liver segments) (21). According to the International Study Group of Liver Surgery definition, serum total bilirubin and international normalized ratio increased on or after postoperative day 5 was considered to be PHLF (22, 23). A PHLF grade A requires no change in the regular clinical management. A PHLF Grade B can cause a deviation from the routine course and requires essential non-invasive procedures, and PHLF grade C requires invasive therapeutic intervention. Thus, PHLF grades B and C are considered clinically significant (22).

**ICG-R15 Measurement**

The ICG clearance test was carried out after 6 h of fasting. We injected ICG reagent into the patient at a standard dose of 0.5 mg/kg through a peripheral vein of the forearm. A spectrophotometry probe was utilized to monitor changes in ICG concentrations, and ICG-R15 was obtained via Analyzer equipment (DDG-3300K, Japan) (24).

**Model Development and Evaluation**

We developed three machine learning models to predict ICG-R15 based on correlation analysis results, namely, random forest regression (RFR), extreme gradient boosting regression (XGBR), and artificial neural network (ANN) models. The best parameter combinations of models were captured by the five-fold cross-validated grid-search method (25, 26). The developed models were finally determined based on the selected variables and appropriate model parameters (Python, version 3.6.2; scikit-learn package, version 0.24). The agreement between actual and estimated ICG-R15 was evaluated using Pearson’s correlation coefficient ($R$) and a confusion matrix.

**Follow-Up**

After resection, patients were followed up every 3 months for the first year and twice per year thereafter. Tumor recurrence was diagnosed by serum levels of alpha-fetoprotein and medical imaging examination (ultrasonography, contrast-enhanced CT, or MRI). Disease-free survival (DFS) was regarded as the interval between the date of surgery and the date of first recurrence or last follow-up or death.

**Statistical Analysis**

Data analysis was conducted using the SPSS version. 22.0 or GraphPad Prism version. 8.0. Continuous data were described by the median ± interquartile range (IQR) and compared using the Mann–Whitney U test or Student's t-test. The comparison of categorical variables was performed by the Chi-square test.

We performed an area under the receiver operating characteristic (AUROC) analysis to assess the performance of ICG-R15 for predicting PHLF grade B-C. Optimal cut-off values were captured to maximize the sum of sensitivity and specificity. The AUROCs for predicting PHLF between actual and estimated ICG-R15 were compared using the Delong test [MedCalc software (version.20)].

The relationship between estimated ICG-R15 and tumor recurrence was assessed using univariable Cox regression analysis. The Kaplan–Meier method was adopted to estimate disease-free survival and the comparison of curves was conducted by log-rank test. All significant tests were 2-sided and $P < 0.05$.

**RESULTS**

**Baseline Characteristics of the Patients**

Out of the 350 eligible patients, 70% ($n = 245$) patients who underwent hepatectomy were randomly included in the training cohort. The remaining 30% ($n = 105$) of patients were assigned to an independent test cohort. The flow diagram of model development was displayed in Figure 1.

The median age ± IQR of 350 patients was 58 years ± 15.8, including 282 men and 68 women. The number of PHLF grades B-C was 38 (15.5%) in the training cohort and 15 (14.3%) in the test cohort. Supplementary Table 1 showed the detailed baseline characteristics of recruited patients. Data analysis results revealed no significant difference between the two cohorts.

**Selection of Radiomics Features and Clinical Parameters**

We extracted 660 CT image features in total from each patient’s ROI. Based on inter-and intra-observer agreement analysis, 408

![Image](https://example.com/image.png)
CT image features with ICC >0.75 were considered to be robust for model construction. Then, correlation analysis results indicated that 159 radiomics features and 15 clinical factors showed a significant correlation with ICG-R15, whereas only 14 variables with |Rho| > 0.3 were finally selected for model development (Table 1).

Of note, data analysis result revealed that the strongest correlation between ICG-R15 and Laplacian of Gaussian (LoG) of Gaussian (LoG)−4mm_glszm_GrayLevelVariance (Rho = −0.491, p < 0.0001) existed. The LoG_4mm_glszm_LargeAreaLowGrayLevelEmphasis was the weakest factor related to ICG-R15 (Rho = 0.315, p < 0.0001).

**Development and Validation of Multiple Models**

In the training cohort, the optimal parameters used in our analysis were listed in Supplementary S3. The predictive performance of models is presented in Table 2. Notably, a great agreement was observed between actual and estimated ICG-R15 (Table 2). Besides, the variable’s importance of developed models excluding ANN was measured, and the top seven important variables were displayed in Supplementary Figure 1 for reference. For both models, we observed that both total bile acid (TBA) and LoG_4mm_glszm_GrayLevelVariance had a great influence on model construction. More detailed information about radiomics features is shown in Supplementary S4.

In the test cohort, the XGBR model showed the best predictive performance with an R-value of 0.90 compared to RFR (R = 0.89) and ANN (R = 0.85) models (Table 2). The scatter plots are shown in Figure 2.

Of RFR, the XGBR, and the ANN model, the overall mean difference between actual and estimated ICG-R15 was 1.73% (median, 1.57%), 1.59% (median, 1.53%), and 2.06% (median, 1.62%), respectively (Table 2).

Additionally, a confusion matrix was also calculated for the three models (Supplementary Table 2). By using the actual ICG-R15 as the reference standard, the performance of XGBR model for identifying a patient with ICG >10% was evaluated.
Scatter plots show actual vs. estimated ICG-R15 in the test cohort with great agreement. Three machine learning models [random forest regression (RFR), extreme gradient boosting regression (XGBR), and artificial neural network (ANN)] were developed and Pearson correlation coefficient (R) was adopted to assess the correlation between actual and estimated ICG-R15 in the test cohort. (A) RFR, R = 0.89; (B) XGBR, R = 0.90; (C) ANN, R = 0.85. ICG-R15, indocyanine green retention rate at 15 min; RFR, random forest regression model; XGBR, extreme gradient boosting regression model; ANN, artificial neural network model.

ROC curves of actual and estimated indocyanine green retention rate at 15 min [ICG-R15(XGBR)] for predicting post hepatectomy liver failure (PHLF) grades B-C. Receiver operating characteristic (ROC) analysis was used to evaluate the performance of actual and estimated ICG-R15(XGBR) for predicting PHLF grades B-C. The area under ROCs of actual (A) and estimated ICG-R15(XGBR) (B) were 0.74 and 0.75, respectively. ROC, receiver operating characteristic; ICG-R15, indocyanine green retention rate at 15 min; XGBR, extreme gradient boosting regression model; PHLF, post hepatectomy liver failure.

Diagnostic Performance of Actual and Estimated ICG-R15 for the Distinction of PHLF Grades B-C in the Test Cohort

The diagnostic performance of actual and estimated ICG-R15 is shown in Figure 3. For predicting PHLF grades B-C, the AUROC values of actual and estimated ICG-R15 were 0.74 (95% CI: 0.62–0.86) and 0.75 (95% CI: 0.63–0.88), respectively. The cut-off value was determined to be 5.6% (64.4% sensitivity, 86.7% specificity) and 5.6% (72.2% sensitivity, 80% specificity), respectively. Notably, the Delong test result showed no significant difference in the AUROCs for predicting PHLF between actual and estimated ICG-R15 (z: 0.27, P = 0.788).

Paired ICG Clearance Tests

A subcohort of 9 patients with HCC underwent paired ICG clearance tests and contrast-enhanced CT scans, with a median time interval of 16.3 months (4–31 months) between two tests. The repeated ICG clearance test was performed for clinical reasons (i.e., tumor recurrence). The ICG-R15 value increased by an average of 2.67% between their initial and last examination, wherein 2 patients out of 9 increased in ICG-R15 value by at least 5% and the others
FIGURE 4 | Analysis of hepatic functional reserve in paired ICG clearance tests. Differences in ICG-R15 in last examination compared with baseline. ICG-R15, indocyanine green retention rate at 15 min.

FIGURE 5 | Representative paired images of a 68-year-old man. Great agreement was observed between actual and estimated ICG-R15. ICG-R15, indocyanine green retention rate at 15 min; CT, computed tomograph.

remained within 5% (Figure 4). Additionally, compared with the first test, a total of 8 people’s hepatic functional reserve decreased (Figure 5).

**DISCUSSION**

To our knowledge, the current retrospective study is the first report showing a novel approach for predicting ICG-R15 by combining radiomics features with clinical factors. Our study demonstrated that the XGBR model can accurately predict ICG-R15 in patients with HCC, with an R-value of 0.90. Delong test results showed no significant difference in the AUROCs for PHLF prediction between actual and estimated ICG-R15. The top 7 important variables of the XGBR model included four CT image features and three clinical factors [TBA, direct bilirubin (DBIL), and prealbumin (PA)].

Radiomics analysis is a useful and reproducible method and is widely used in hepatology. A recent study suggested that a computational approach was developed to improve the diagnostic capacity of the presence of microvascular invasion in HCC patients before surgery and achieve an AUROC of 0.909 (16). Mokrane et al. (27) have developed a radiomics model to differentiate tumor tissue and, thus, enhance clinicians’ decision-making in HCC diagnosis, with an AUROC of 0.74. It is well documented that the proposed nomogram can accurately predict progression-free survival prior to operation (28). In the present study, the radiomics model we developed can provide a reliable method for quantitative assessment of the degree of liver damage represented by ICG-R15. The approach can assist surgeons to predict PHLF in medical institutions, which lack the specialized equipment for ICG clearance rate tests. Besides, the model may be used for estimating disease-free survival and provide a reference for comprehensive therapy in the future.

Reportedly, an ICG-R15 >10% was a significant predictive indicator of HCC recurrence (29). According to a recent study, ICG-R15 was of significance for predicting HCC recurrence (30). Besides, previous studies reported that elevated ICG-R15 was significantly linked to poor DFS (31, 32). In this study, we
found estimated ICG-R15 >10% showed a significant correlation with higher HCC recurrence and disease-free survival in the estimated ICG-R15≤10% group were significantly longer than in the estimated ICG-R15 >10% group. Our result revealed that the model may be applied in evaluating prognosis for patients with HCC undergoing hepatectomy, but this still needs further confirmation in a larger population. As far as we know, hepatic cirrhosis is a key risk factor for the occurrence and recurrence of HCC (33, 34). The elevated ICG-R15 was positively correlated with a higher incidence of hepatic cirrhosis, which may be an important cause for the poor prognosis of people with higher ICG-R15 (35, 36).

In previous studies, the predictive performance of the models based solely on serological indicators was not satisfactory and could not be utilized in clinical practice (37, 38). Therefore, in this study, demographics, biochemical, and CT images were collected based on literature reports and clinical availability. Meanwhile, given the rapid development of computer-aided technology, multiple effective machine learning algorithms were also introduced to develop models. Compared with previous studies, the proposed approach in our study achieved better performance in accurately predicting ICG-R15. Also, the tool can precisely identify patients suitable for major hepatic resection (i.e., ICGR15 > 10%) and may be applied to the longitudinal assessment of hepatic functional reserve in patients with HCC.

Enhanced CT scan, a commonly performed radiological examination, can be used to clarify the nature of the lesion, determine the scope and clinical stage of the lesion, and provide the reference evidence for surgical decisions before operation. In the present study, our findings revealed that multiple individual CT image features were associated with a hepatic functional reserve and useful for predicting ICG-R15, among which, GLSZM_GrayLevelVariance made a substantial contribution to model construction. This radiomics feature represents the variance in gray level intensities for the ROI zones (https://pyradiomics.readthedocs.io). Moreover, CT is an effective imaging modality to continuously assess hepatic functional reserve. Hobeika et al. (39) reported that the radiomic score on preoperative CT images can be applied in the assessment of hepatic functional reserve in patients with HCC. Cai et al. (40) has also proposed that changes in radiomics features of hepatic parenchyma from CT scans correlated well with hepatic functional reserve. Additionally, hepatic fibrosis is significantly linked to hepatic functional reserve (41). The CT image features can also be used to evaluate the degree of underlying hepatic fibrosis, which was closely associated with ICG-R15 (Rho = 0.49) (42, 43).

To our knowledge, a serum TBA is a sensitive biomarker for hepatic parenchymal damage and long-term survival, which can specifically reflect liver excretion function (44–46). Patients with more sufficient hepatic functional reserve had significantly more capacity for bile acid excretion (47). In our study, the analysis results revealed that ICG-R15 correlated significantly with serum TBA (Rho = 0.450). According to a previous study, the level of serum TBA correlated well with the ICG disappearance rate before surgery (48). Takahashi et al. also reported that there was a great correlation between ICG-R15 and TBA (R = 0.501) (49).

The DBIL is an important clinical indicator of hepatobiliary diseases and elevated concentrations of serum bilirubin indicate hepatocellular dysfunction (50). Our study result revealed that a positive correlation between the level of DBIL and ICG-R15 exists (Rho = 0.420). Reportedly, Furuyama et al. (51) have proposed that DBIL may reflect endogenous variations of hepatocellular more sensitively compared with total bilirubin when hepatic dysfunction occurs. The study also mentioned that DBIL may be more useful in predicting PHLF than total bilirubin. Hence, DBIL can be applied in assessing hepatic functional reserve and the association between both factors merits further research.

In addition, the serum PA synthesized by the liver is an important and sensitive indicator for the evaluation of malnutrition (52). Serum PA was found to be significantly associated with ICG-R15 (Rho = −0.403) in our study. This implied that serum PA may effectively reflect hepatic functional reserve in patients with HCC.

Our present study has several limitations. Firstly, the proposed approach remains to be further validated in a larger population. Secondly, due to patients with hepatitis B virus infection accounting for 76.9% in this study, the universality of the approach should be evaluated in patients with HCC in other etiologies such as alcoholic liver diseases. Thirdly, large-scale samples with elevated values of ICG-R15 (i.e., >10%) are necessary to obtain more robust evidence for future clinical application of this radiomics model in light of the low proportion of patients with hepatic cirrhosis in our study.

CONCLUSION

This study demonstrated that the proposed approach can accurately predict ICG-R15 in patients with HCC based on easily obtained clinical factors and radiomics features. This approach may be used for longitudinal assessment of change in hepatic functional reserve regardless of the expensive equipment and adverse reactions, which is beneficial for the advancement of surgical technology in medical institutions that lack specialized equipment.

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding authors.

ETHICS STATEMENT

The studies involving human participants were reviewed and approved by Ethics Committee of Renji Hospital Affiliated to Medical College of Shanghai Jiaotong University. Written informed consent for participation was not required for this study in accordance with the national legislation and the institutional requirements.
AUTHOR CONTRIBUTIONS

JW, FX, HJ, YZ, YL, LX, TL, KH, MS, ZZ, JY, XL, DZ, YY, QX, and FX: study conception and design, manuscript drafting and revising. JW, HJ, YL, LX, and JY: data collection. JW, LX, TL, KH, MS, ZZ, QX, and YY: analysis and interpretation of data. XL and YL: data annotation. FX, YZ, DZ, YY, and FX: model development. All authors contributed to manuscript revision, read, and approved the submitted version.

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