Guiana Dolphin (*Sotalia guianensis*) in the Maracaibo Lake System, Venezuela: Conservation, Threats, and Population Overview

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The Guiana dolphin (*Sotalia guianensis*) home range is located across Central and South American countries, in coastal habitats in the Caribbean and Atlantic Ocean. Its distribution is scattered, with multiple population centers which are under threats that vary based on local realities. We compiled and assessed biological data from multiple sources (published and unpublished data) to improve our understanding regarding the Maracaibo Lake Management Unit, which is an isolated and unique population core of this species. We identified at least two distinguishable population centers throughout the Maracaibo Lake System, one in the northern portion—in the Gulf of Venezuela, and another in the southern portion of the Maracaibo Lake itself. Both centers have differences in some biological aspects (e.g., group size and habitat use), but similarities in the human-induced pressures (e.g., intentional take, habitat degradation, and traditional use). We detailed the uses of Guiana dolphin (consumptive and non-consumptive) by community members, including the use as talismans for indigenous fishers and consumption of its meat as a religious belief (Easter period), and dolphin watching tours carried out by local companies. In one artisanal port, at least 15 animals are intentionally taken annually to be used for local consumption, shark-bait, or trade; however, we acknowledge that this annual take is likely an underestimate. Further research is needed to clarify how and at what magnitude mentioned and other key-threats are impacting over Guiana dolphin MU in the Maracaibo Lake System.

Keywords: intentional take, by-catch capture, ambient noise, heavy metals, management plan, aquatic bushmeat, small cetaceans, southern caribbean

BACKGROUND

The Guiana dolphin (*Sotalia guianensis*) inhabits in shallow waters in coastal marine, brackish, and freshwater environments (Flores et al., 2010). This small cetacean Management Units (MU) are isolated and under multiple human-induced pressures. In Venezuela, the Guiana dolphin is categorized as Vulnerable, due to habitat degradation, intentional take, by-catch, and highly polluted home range (Romero et al., 2001; Barrios-Garrido et al., 2015).
However, within the Maracaibo Lake MU, there are still important knowledge gaps regarding the habitat use, threats, distribution, and ecology of the Guiana dolphin (Barrios-Garrido et al., 2016; Espinoza-Rodríguez et al., 2019). The Guiana dolphin MU in the Maracaibo Lake is an isolated population center that needs to be investigated due to its genetic and anatomic uniqueness (Caballero et al., 2007, 2010, 2018; De Turris-Morales et al., 2010a).

**DATA COMPILLED**

Here we summarized data available from multiple sources including literature review, market-based assessments, *in situ* observations (21 artisanal ports or landing sites), unpublished data, newspaper, in-depth (key-informants) and semi-structured interviews of indigenous and local fishers regarding information about the presence, sale, and use of Guiana dolphin in the Maracaibo Lake System (*Figure 1*). Aged between 21 and 78 years old, our respondents (*n* = 82) were 23 women and 59 men. Indigenous respondents were considered and approved by the community clan leaders. Lastly, we also assessed stranding network records from the environmental entities databases (unpublished data).

**MAIN FINDINGS—REGIONAL PROBLEMS, AND LOCAL PRESSURES**

The Guiana dolphin is the most common and frequently sighted cetacean in the Maracaibo Lake System; and is also responsible for the most stranding records (Casinos et al., 1981; Romero et al., 2001; Bolaños-Jiménez et al., 2015). Guiana dolphins present two main population cores in the Maracaibo Lake System (Caballero et al., 2010; Barrios-Garrido et al., 2015). The first one in the Gulf of Venezuela; where there is an estimated abundance of 1.34 individuals per km², with groups composed of 2–5 individuals, and aggregations as large as one-hundred (Espinoza-Rodríguez et al., 2019). The second population core inside the Maracaibo Lake System has an abundance estimation of 1.66 individuals per km², within an area of 249.2 km², based on research carried out in the western-central area of this aquatic habitat (Delgado-Ortega, 2012).

In the Gulf of Venezuela, Guiana dolphin sightings have been recorded year-round with significant variations between seasons (wet/dry season). The wet season generally has the highest number of sightings, group size, and frequency of encounter (Barrios-Garrido et al., 2016). Their distribution within the area is heterogeneous. Dolphins were observed mainly in the southern portion of the Gulf of Venezuela, north El Tablazo Bay. We found significantly larger groups inside the navigation channel; this area is considerably deeper and it is where dolphins have exhibited most of their activities.

The behavioral data available on Guiana dolphins in the Gulf of Venezuela indicated that dolphins were rarely seen resting, spending approximately 38% of the time either traveling or feeding. Dolphins appeared to be active during both day and night; nonetheless, we recorded larger number of groups in the afternoons. Also, diurnal observations suggest that feeding peaks occur during the incoming tide, possibly following prey movements. The latter is also correlated with seabird and dolphin associations that often occurred during surveys, with most frequently associated seabird being *Fregata magnificens* (Espinoza-Rodríguez et al., 2015). These associations were generally seen when fish and dolphins congregations were resulted in high energy activity at the surface.

Group sizes in the Maracaibo Lake were similar to the groups observed in the Gulf of Venezuela, ranging from 1 to 8 individuals (Barrios-Garrido et al., 2015). Their distribution in this area was significantly correlated with low salinities, and water transparency, resulting in heterogeneous habitat usage (Delgado-Ortega, 2012). There were fewer sightings during the dry season, similar to the groups observed in other areas of the Maracaibo Lake System. It is suggested that these fluctuations throughout its geographical range are likely related to environmental, physicochemical changes, and prey availability.

In Maracaibo Lake, this species exhibits a hunting strategy where larger individuals encircle prey, move aggressively, attack by hitting strongly with their fluke or beak, and capture prey once it reaches the water surface. Adult dolphins then proceed to feed the calves (De Turris-Morales et al., 2010b). Further research is needed to understand if this strategy is similar in the northern population center.

Between 1999 and 2015 evidence suggests that, if captured (intentionally or otherwise), most individuals were slaughtered for uses such as human consumption, shark-bait (artisanal longline fishery), or trade (Barrios-Garrido et al., 2017; Sanchez and Briceno, 2017; Altherr and Hodgins, 2018) (*Figure 2*). We estimate that at least 15 animals per year are intentionally taken in one port, to be used as mentioned. We also identified the existence of commercial use of Guiana dolphins in local markets (either by-caught or intentionally taken). The Guiana dolphin meat is often consumed during Easter, similar to other aquatic meats (in line with local religious traditions and magical beliefs and rites) (Montiel-Villalobos and Barrios-Garrido, 2005; Barros et al., 2010; Rojas-CAñizales et al., 2015, 2020). Key-informants affirmed that this consumption, related to religious ritual, is linked to Christian practice to avoid “red meat” during Easter. Some interviewees confirmed that Guiana dolphins are used as talismans for indigenous fishers, who claimed that their presence in the waters is linked with productive periods (Barrios-Garrido and Montiel-Villalobos, 2016) (*Figure 2*). There are some tourism initiatives reliant on Guiana dolphins as target species, especially in the southern portion of the Maracaibo Lake (Hoyt and Iniguez, 2008). However, some fishers argued that they have to compete with dolphins for fish and, as a result, they have used boat engines or knocked on the boats to generate noise to move Guiana dolphin groups away from their nets. They also affirmed that during those periods entangled calves are commonly found freshly dead and discarded.

Our assessment of the local use of Guiana dolphins is likely an underestimate. Some respondents noted that they have witnessed the intentional capture of tens of dolphins in only 1 day using nylon gillnets as an artisanal seine fishery. This intentional
capture practice may reach unsustainable levels in some areas where decimated populations are rarely observed nowadays (e.g., eastern portion of the Maracaibo Lake). No further details were provided about these intentional capture events due to the illegality of this practice.

RECOMMENDATIONS AND FUTURE MANAGEMENT PLANS

High levels of Guiana dolphin mortality is related to fishing activities (Figure 2). However, there is a lack of standardized population assessments to compare abundance, population trends, distribution, and fisheries-related mortality of Guiana dolphin in the Maracaibo Lake System. Genetic identification to solve the links between northern (Gulf of Venezuela) and southern (Maracaibo Lake) populations are needed (Caballero et al., 2018). Further research is needed in the area to improve current knowledge of the species, including in other data-poor zones; e.g., Capatarida (Falcon State), and Ceuta (Zulia state) (Espinoza-Rodríguez et al., 2019). Conservation strategies focused on Guiana dolphins in the Maracaibo Lake System are strongly recommended (e.g., stranding network, specialized research centers, among others); moreover, a risk assessment of Guiana dolphin mortality is required to inform management decisions.
Further research is needed to have a better perspective about key threats of the Guiana dolphin MU in the Maracaibo Lake System, especially in the following human-related pressures: heavy metal bioaccumulation, boat noise effects, direct capture, habitat degradation, artisanal fishery impacts, oil extraction, and transportation activities, algal and duckweed blooms, and marine debris interaction (entanglement and ingestion). All of these mentioned potential threats have been previously described in the study area (Delgado-Ortega, 2012; Barrios-Garrido et al., 2015, 2016; Sanchez and Briceño, 2017; Espinoza-Rodríguez et al., 2019) (Figure 2).

DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

ETHICS STATEMENT

The studies involving human participants were reviewed and approved by the Division de Estudios Basicos Sectoriales (DEBS), Experimental Faculty of Sciences at University of Zulia. Written informed consent for participation was not required for this study in accordance with the national legislation and the institutional requirements. All of our interviewees provided oral consent (mentioned in the protocol) to participate on this research as stated in our authorizations. Our human research data gathered between 2008 and 2011 was authorized by the “División de Estudios Basicos Sectoriales (DEBS)” from the Experimental Faculty of Science at University of Zulia, and our human research data gathered between 2014 and 2015 was authorized by the Human Ethics Committee at James Cook University, under the ethics permit number: H5704. The animal study was reviewed and approved by the Venezuelan Environmental Ministry (Ministerio para el Poder Popular del Ambiente) now the Ministry of Eco-Socialism and Water, under the permit for animal research and biological data collection memorandum number 0038.

AUTHOR CONTRIBUTIONS

HB-G had the initial idea, designed the study, and lead the research team. KD-M led the evaluation of the northern population center of Sotalia guianensis. NE-R led the evaluation of the southern population center of Sotalia guianensis. All authors contributed substantially in the data compilation, analysis, and writing process and approved this manuscript for publication if this is the case.
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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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