CANONICAL SYZYGIES OF SMOOTH CURVES ON TORIC SURFACES

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Abstract. In a first part of this paper, we prove constancy of the canonical graded Betti table among the smooth curves in linear systems on Gorenstein weak Fano toric surfaces. In a second part, we show that Green’s canonical syzygy conjecture holds for all smooth curves of genus at most 32 or Clifford index at most 6 on arbitrary toric surfaces. Conversely we use known results on Green’s conjecture (due to Lelli-Chiesa) to obtain new facts about graded Betti tables of projectively embedded toric surfaces.

Keywords: algebraic curves, toric surfaces, syzygies

1. Introduction

Let \( k \) be an algebraically closed field of characteristic zero, let \( \Delta \subset \mathbb{R}^2 \) be a two-dimensional lattice polygon, and consider an irreducible Laurent polynomial

\[
f = \sum_{(i,j) \in \Delta \cap \mathbb{Z}^2} c_{i,j} x^i y^j \in k[x^{\pm 1}, y^{\pm 1}]
\]

that is supported on \( \Delta \). Let \( S_\Delta = k[X_{i,j} \mid (i,j) \in \Delta \cap \mathbb{Z}^2] \) be the polynomial ring obtained by associating a formal variable to each lattice point in \( \Delta \). We think of it as the homogeneous coordinate ring of the projective \((N_\Delta - 1)\)-space, where \( N_\Delta = |\Delta \cap \mathbb{Z}^2| \). Consider the map

\[
\varphi_\Delta : (k^*)^2 \rightarrow \mathbb{P}^{N_\Delta - 1} : (\alpha, \beta) \mapsto (\alpha^i \beta^j)_{(i,j) \in \Delta \cap \mathbb{Z}^2},
\]

the Zariski closure of the image of which is a toric surface that we denote by \( X_\Delta \). Let \( U_f \) be the curve in \((k^*)^2\) defined by \( f = 0 \), and assume that the closure \( C_f \) of \( \varphi_\Delta(U_f) \) inside \( X_\Delta \) is a smooth hyperplane section, necessarily cut out by

\[
\sum_{(i,j) \in \Delta \cap \mathbb{Z}^2} c_{i,j} X_{i,j} = 0.
\]

This assumption is generically true, i.e., it holds for a dense open subset of the space of Laurent polynomials that are supported on \( \Delta \). For instance, a well-known generically satisfied sufficient condition reads that \( f \) is \( \Delta \)-non-degenerate, in the sense of [2].

If \( f' \in k[x^{\pm 1}, y^{\pm 1}] \) is another Laurent polynomial which is supported on \( \Delta \) such that \( C_{f'} \) is a smooth hyperplane section of \( X_\Delta \), then \( C_f \) and \( C_{f'} \) are clearly linearly equivalent when viewed as Weil divisors on \( X_\Delta \). If \( C_f \) is non-rational then all smooth and irreducible members in the linear system \(|C_f|\) arise in this way. (If \( C_f \) is rational then \(|C_f|\) may contain torus-invariant prime divisors, which cannot be seen on \((k^*)^2\).)
Conversely, whenever one is given a non-rational smooth projective curve $C$ inside a toric surface $X$, equipped with an embedding $\varphi : (h^*)^2 \hookrightarrow X$, then one can show that $C$ arises in the above way. Namely, let $P_C$ be the polygon associated with a torus-invariant divisor on $X$ that is linearly equivalent to $C$; see [12, §4.3] for how this polygon is constructed. Define $\Delta = \text{conv}(P_C \cap \mathbb{Z}^2)$, where we note that if $C$ is Cartier then $P_C$ is a lattice polygon and $\Delta = P_C$. If for $f$ one takes the generator of the ideal of $\varphi^{-1}(C)$ inside $k[x^{\pm 1}, y^{\pm 1}]$ that is supported on $\Delta$, then the above assumption is satisfied and one has $C_f \cong C$. If $C'$ is another smooth irreducible curve in $|C|$ then one can similarly produce a Laurent polynomial $f' \in k[x^{\pm 1}, y^{\pm 1}]$ such that $C_{f'} \cong C'$ and such that $f'$ is also supported on $\Delta$. We refer to [8, §4] for more background on these claims.

**Constancy of the gonality and the Clifford index.** Under our generic assumption that $C_f$ is a smooth hyperplane section, many of its geometric invariants can be told explicitly from the combinatorics of $\Delta$. The starting result was the constancy of the gonality and the Clifford index.

Under our generic assumption, from now on we always assume that $|\Delta^{(1)} \cap \mathbb{Z}^2| \geq 4$. Then recent work of mainly Kawaguchi (a technical assumption was removed by the first two current authors) provides a similar combinatorial interpretation for the Clifford index $\text{Cliff}(C_f)$; see [11, 13] for some background on this invariant.

**Theorem 1.1** (see [8, 18]). One has $\text{Cliff}(C_f) = \text{lw}(\Delta^{(1)})$ unless $\Delta^{(1)} \cong \Upsilon$, $\Delta^{(1)} \cong 2\Upsilon$ or $\Delta^{(1)} \cong (d-3)\Sigma$ for some $d \in \mathbb{Z}_{\geq 5}$, in which case one has $\text{Cliff}(C_f) = \text{lw}(\Delta^{(1)}) - 1$.

Here $\text{lw}$ denotes the lattice width [4] and $\Delta \cong \Delta'$ indicates that $\Delta'$ can be obtained from $\Delta$ using a linear transformation $\mathbb{R}^2 \to \mathbb{R}^2 : (x, y) \mapsto (x, y)A + b$, where $A \in \text{GL}_2(\mathbb{Z})$ and $b \in \mathbb{Z}^2$. The polygons $\Upsilon$ and $\Sigma$ are given by $\text{conv} \{(-1, -1), (1, 0), (0, 1)\}$ resp. $\text{conv} \{(0, 0), (1, 0), (0, 1)\}$, and the multiples are in Minkowski’s sense. As a corollary to Theorem 1.1 we note that $C_f$ is non-hyperelliptic if and only if $\Delta^{(1)}$ is two-dimensional.

The proof of Theorem 1.1 entails similar interpretations for the gonality and the Clifford dimension. Finer data that are known to be encoded in the combinatorics of $\Delta$ include the scrollar invariants [8] associated with a gonality pencil, which specialize to the Maroni invariants in the trigonal case. Assuming that $\Delta$ satisfies a mild condition, they also include the ‘scroll ruling degrees’ associated with a gonality pencil, which specialize to Schreyer’s invariants $b_1, b_2$ in the case of tetragonal curves (where the mild condition is void); see [5, 7].

An immediate consequence is that all these invariants depend on $\Delta$ only. This is an a priori non-trivial fact that can be rephrased as constancy (of the Clifford index, the gonality, ...) among the smooth members in linear systems of curves on toric surfaces. The existing literature contains other results of this type. For instance, work by Pareschi [26] and Knutsen [20] establishes constancy of the gonality and the Clifford index for curves in linear systems on Del Pezzo surfaces of degree at least two (recall that Del Pezzo surfaces are toric from degree six on). Recent work of Lelli-Chiesa extends this result to smooth rational surfaces $S$ whose anticanonical divisor $-K$ satisfies $h^0(S, -K) \geq 3$ [23]. Constancy of the gonality and the Clifford
index may fail for linear systems on Del Pezzo surfaces of degree one; in fact this exception is also revisited by Lelli-Chiesa, who gives a natural sufficient condition for constancy in the cases where $H^0(S, -K) = 2$. Apart from rational surfaces, a theorem by Green and Lazarsfeld states that constancy of the Clifford index holds in linear systems on K3 surfaces [15]. Here constancy of the gonality is not necessarily true, although it is known that there is only one counterexample, due to Donagi and Morrison; see [10, 21].

**Constituency results for the entire canonical Betti table.** In view of Theorem [14] and Green’s canonical syzygy conjecture [14] (see Conjecture 1.3 below), it is natural to wonder whether similar constancy results hold for the entire graded Betti table

\[
\begin{array}{cccccc}
0 & 1 & 2 & 3 & \ldots & g - 4 & g - 3 & g - 2 \\
0 & 1 & 0 & 0 & 0 & \ldots & 0 & 0 & 0 \\
1 & 0 & a_1 & a_2 & a_3 & \ldots & a_{g-4} & a_{g-3} & 0 \\
2 & 0 & a_{g-3} & a_{g-4} & a_{g-5} & \ldots & a_2 & a_1 & 0 \\
3 & 0 & 0 & 0 & 0 & \ldots & 0 & 0 & 1 \\
\end{array}
\]

of the canonical image of $C_f$ in $\mathbb{P}^{g-1}$, where $g = g(C_f) = N_{\Delta^{(1)}}$. When writing down the above shape we use Serre duality in Koszul cohomology and we assume that $C_f$ is non-hyperelliptic, or equivalently that $\Delta^{(1)}$ is two-dimensional, so that the canonical map $\kappa : C_f \rightarrow \mathbb{P}^{g-1}$ is an embedding. We will keep making this assumption throughout the rest of the article. An attractive feature of smooth curves in toric surfaces is that $\kappa$ is well understood. Indeed, a refined version of Khovanskii’s theorem provides us with a canonical divisor $K_\Delta$ on $C_f$ whose associated Riemann-Roch space $H^0(C_f, K_\Delta)$ admits the basis \{ $x^iy^j \mid (i, j) \in \Delta^{(1)} \cap \mathbb{Z}^2$ \}. Thus for this choice of canonical divisor one has that $\kappa \circ \varphi_\Delta | U_f = \varphi_{\Delta^{(1)}} | U_f$.

As a consequence the canonical model of $C_f$, which we denote by $C$, satisfies $C \subseteq X_{\Delta^{(1)}} \subseteq \mathbb{P}^{g-1}$.

We therefore expect some interplay between the graded Betti table of $C$ and that of $X_{\Delta^{(1)}}$, which is known to be of the form

\[
\begin{array}{cccccc}
0 & 1 & 2 & 3 & \ldots & g - 4 & g - 3 \\
0 & 1 & 0 & 0 & 0 & \ldots & 0 & 0 \\
1 & 0 & b_1 & b_2 & b_3 & \ldots & b_{g-4} & b_{g-3} \\
2 & 0 & c_{g-3} & c_{g-4} & c_{g-5} & \ldots & c_2 & c_1 \\
\end{array}
\]

by [9, Lemma 1.2].

The main result of this article is the following constancy statement, whose proof is given in Section 4. We use $\partial \Delta^{(1)}$ to denote the boundary of $\Delta^{(1)}$.

**Theorem 1.2.** Let $\Delta \subseteq \mathbb{R}^2$ be a lattice polygon such that $\Delta^{(1)}$ is two-dimensional and $g := |\Delta^{(1)} \cap \mathbb{Z}^2| \geq 4$. Let $f \in k[x^{\pm 1}, y^{\pm 1}]$ be supported on $\Delta$ and assume that the corresponding hyperplane section $C_f$ of the toric surface $X_\Delta$ is smooth and irreducible. Let $C$ be the canonical model of $C_f$, and for $\ell = 1, \ldots, g-3$ let $a_\ell$ resp. $b_\ell, c_\ell$ denote the graded Betti numbers of $C$ resp. $X_{\Delta^{(1)}}$ as in (2) resp. (3). If

- the toric surface $X_{\Delta^{(1)}}$ associated with $\Delta^{(1)}$ is Gorenstein weak Fano, or
- $|\partial \Delta^{(1)} \cap \mathbb{Z}^2| \geq g/2 + 1$,
then for all \( \ell \) we have \( a_\ell = b_\ell + c_\ell \). In particular, in these cases the graded Betti table of \( C \) is independent of the coefficients of \( f \).

Two interesting classes of polygons which are covered by the Gorenstein weak Fano case are:

(a) \( \Delta \cong d\Sigma \) for some \( d \geq 5 \); this leads to the statement that the canonical graded Betti table of a smooth degree \( d \) curve in \( \mathbb{P}^2 \) depends on \( d \) only,

(b) \( \Delta \cong [0, a] \times [0, b] \) for some pair of integers \( a, b \geq 3 \); this leads to the statement that smooth curves in \( \mathbb{P}^1 \times \mathbb{P}^1 \) of bidegree \( (a, b) \) have a canonical graded Betti table which depends on \( a \) and \( b \) only.

To our knowledge, both statements are new. Slightly more generally, the theorem applies to the five Del Pezzo surfaces of degree at least six. This yields, for instance, that (c) the canonical graded Betti table of a degree \( d \) curve in \( \mathbb{P}^2 \) having \( \delta \leq 3 \) nodes in general position depends on \( d \) and \( \delta \) only. Other examples of Gorenstein weak Fano toric surfaces include the weighted projective plane \( \mathbb{P}(1, 1, 2) \), which can be viewed as a quadric cone in \( \mathbb{P}^3 \). Here Theorem 1.2 implies that (d) the canonical graded Betti table of a smooth curve of weighted degree \( 2d \) for some integer \( d \geq 3 \) only depends on \( d \). The similar conclusion holds for (e) smooth curves of weighted degree \( 6d \) in \( \mathbb{P}(1, 2, 3) \), for some integer \( d \geq 3 \). The polygons corresponding to all these examples are depicted in Figure 1.

![Polygons to which Theorem 1.2 should be applied in order to cover examples (a-e)]
The Gorenstein weak Fano property is easy to rephrase in combinatorial terms, as is done in Section 3 below. As we will see, one is allowed to replace the condition that $X_{\Delta(1)}$ is Gorenstein weak Fano by the condition that $X_{\Delta}$ is Gorenstein weak Fano, but then Theorem 1.2 becomes strictly weaker.

The class of polygons $\Delta$ for which $|\partial\Delta(1)\cap\mathbb{Z}^2| \geq g/2+1$, on the other hand, covers all cases where $\text{lw}(\Delta(1)) \leq 2$ by [5, Lemma 9]. Such polygons correspond to trigonal and certain tetragonal curves, where constancy was known to hold before [5, 28].

We actually believe that the sum formula $a_\ell = b_\ell + c_\ell$ is true for a considerably larger class of polygons than the ones covered by the above theorem. Of course, even when the formula fails, it might still be true that the graded Betti table of $C$ does not depend on $f$, i.e., the defect might depend on $\Delta$ and $\ell$ only. Examples of such behaviour are given in Section 4. We leave it as an open question whether or not this is true in general.

**New cases of Green’s conjecture.** In Section 5 we study connections between Green’s canonical syzygy conjecture and a conjecture on grade $d$ Betti tables of toric surfaces that we have stated in a previous article [9]:

**Conjecture 1.3 (Green).** Let $C/k$ be a smooth projective non-hyperelliptic curve of genus $g \geq 4$. Denote the graded Betti table of its canonical model in $\mathbb{P}^{g-1}$ as in (2). Then $\min\{\ell \mid a_{g-\ell} \neq 0\} = \text{Cliff}(C) + 2$.

**Conjecture 1.4.** Let $\Delta \subseteq \mathbb{R}^2$ be a lattice polygon whose interior polygon $\Delta(1)$ is two-dimensional and contains $g \geq 4$ lattice points. Assume that $\Delta(1) \cong \Upsilon$. If we denote the graded Betti table of $X_{\Delta(1)} \subseteq \mathbb{P}^{g-1}$ as in (3), then we have that

$$\min\{\ell \mid b_{g-\ell} \neq 0\} = \begin{cases} \text{lw}(\Delta(1)) + 1 & \text{if } \Delta(1) \cong (d-3)\Sigma \text{ for some } d \geq 5, \\ \text{lw}(\Delta(1)) + 1 & \text{if } \Delta(1) \cong 2\Upsilon, \\ \text{lw}(\Delta(1)) + 2 & \text{in all other cases}. \end{cases}$$

In both conjectures the right hand side of the predicted equality is known to be an upper bound. We note that the actual version of Conjecture 1.4 as it is formulated in [9], covers arbitrary projectively embedded toric surfaces, i.e., not necessarily of the form $X_{\Delta(1)}$. But since this more general version is of no use to our current discussion, we omit it.

Concretely, it is not hard to establish the following connection between the two conjectures (a proof will be given in Section 5):

**Lemma 1.5.** If Conjecture 1.4 holds for smooth irreducible hyperplane sections $C_f \subseteq X_{\Delta}$ then Conjecture 1.3 correctly predicts the length of the linear strand of the graded Betti table of $X_{\Delta(1)}$. If $|\partial\Delta(1)\cap\mathbb{Z}^2| \geq \text{lw}(\Delta(1)) + 2$ then also the converse implication holds.

We use this to settle new cases of both Conjecture 1.4 and Conjecture 1.3.

Namely, in [9] we proved that Conjecture 1.4 is true as soon as $g = N_{\Delta(1)} \leq 32$ or $\text{lw}(\Delta(1)) \leq 6$, a claim which relies in part on an explicit computational verification using the data from [3]. As we will see, the condition $|\partial\Delta(1)\cap\mathbb{Z}^2| \geq \text{lw}(\Delta(1)) + 2$ is always satisfied in these ranges, except in the genus 4 case where $\Delta(1) \cong \Upsilon$, which is of no concern. Through Lemma 1.5 this yields:

**Theorem 1.6.** Green’s conjecture holds for all smooth curves $C/k$ on toric surfaces of genus $g \leq 32$ or Clifford index $\text{Cliff}(C) \leq 6$. 
Conversely, Lelli-Chiesa’s aforementioned work [23] settles Green’s conjecture for smooth curves on smooth rational surfaces whose anticanonical divisor has enough sections; see Theorem 5.3 for a precise formulation of the latter condition. We will show that in the case of smooth toric surfaces $X$ with canonical divisor $K$, the condition is equivalent to $h^0(X, -K) \geq 2$. A short reasoning then allows us to conclude that Green’s conjecture holds for all smooth hyperplane sections of $X_{\Delta}$, for any lattice polygon $\Delta$ which satisfies $h^0(X_{\Delta(1)}, -K_{\Delta(1)}) \geq 2$. As before, it is assumed that $\Delta(1)$ is two-dimensional and that $|\Delta(1) \cap \mathbb{Z}^2| \geq 4$, and $K_{\Delta(1)}$ denote a canonical divisor on $X_{\Delta(1)}$. Then Lemma 1.5 implies:

**Theorem 1.7.** Conjecture 1.4 holds for all lattice polygons $\Delta$ such that $\Delta(1)$ is two-dimensional, contains at least 4 lattice points, and satisfies $h^0(X_{\Delta(1)}, -K_{\Delta(1)}) \geq 2$.

The condition $h^0(X_{\Delta(1)}, -K_{\Delta(1)}) \geq 2$ has an easy and well-known combinatorial interpretation, which is recalled in Section 5 (it appears as a proof ingredient there).

### 2. An exact sequence involving six terms

Let $\Delta$ be a lattice polygon with a two-dimensional interior lattice polygon $\Delta^{(1)}$. Let $f \in \mathbb{k}[x^{\pm 1}, y^{\pm 1}]$ be an irreducible Laurent polynomial as in (1) and assume that the corresponding hyperplane section $C_f$ of $X_{\Delta}$ is smooth. Let $\rho : X \to X_{\Delta}$ be the minimal toric resolution of singularities, i.e., $X$ is the toric surface associated with the smooth subdivision of the inner normal fan to $\Delta$ in which no more new rays are introduced than needed (remember that a subdivision is smooth if and only if the corresponding toric surface is smooth, which holds if and only if the primitive generators of each pair of adjacent rays form a basis of $\mathbb{Z}^2$ as a $\mathbb{Z}$-module). It can be obtained using Hirzebruch-Jung continued fractions as described in [12, §10.2]. Let $K$ be the canonical divisor on $X$ obtained by taking minus the sum of all torus-invariant prime divisors [12, Thm. 8.2.3].

Because $C_f$ does not meet the singular locus of $X_{\Delta}$, it pulls back to an isomorphic curve $C'$ on $X$. Define $D_f = C' - \text{div}(f)$, where $f$ is viewed as a function on $X$ by pushing it forward along $\varphi_{\Delta}$ and then pulling it back along $\rho$. This is a torus-invariant divisor that is linearly equivalent to $C'$.

**Lemma 2.1.** One has:

- The divisor $D_f$ is base-point free, and the polygon $P_{D_f}$ associated with $D_f$ is $\Delta$.
- Its adjoint divisor $L := D_f + K$ is also base-point free, and the polygon $P_L$ associated with $L$ is $\Delta^{(1)}$.

The second statement might be of interest to people studying Fujita type results; see [22] [24]. Here the minimality of our resolution $X \to X_{\Delta}$ is important, as the reader can tell from the proof below. Also recall that for divisors on a smooth toric surface, the notions of base-point free and nef are synonymous [12 Thms. 6.1.7 and 6.3.12].

**Proof.** Let $\Sigma_{\Delta}$ be the fan of $X_{\Delta}$ (i.e., the inner normal fan to $\Delta$) and let $\Sigma$ be the fan of $X$. Denote by $U(\Sigma)$ the set of primitive generators of the rays of $\Sigma$, and let $U(\Sigma_{\Delta}) \subseteq U(\Sigma)$ be the subset of vectors that correspond to rays of $\Sigma_{\Delta}$. Since the divisor $D_f$ is torus-invariant, it is of the form $\sum_{v \in U(\Sigma)} a_v D_v$, where $D_v \subseteq X$ is the prime divisor corresponding to the ray generated by $v$. Let $H(v, a_v)$ be the
half-plane of points $x \in \mathbb{R}^2$ satisfying $\langle x, v \rangle \geq -a_v$ and let $L(v, a_v)$ be the line defined by $\langle x, v \rangle = -a_v$. As explained in [8, §4], we have that

$$P_{D_f} = \bigcap_{v \in U(\Sigma)} H(v, a_v) = \bigcap_{v \in U(\Sigma \Delta)} H(v, a_v) = \Delta.$$  

Moreover, if $u \in U(\Sigma) \setminus U(\Sigma \Delta)$ corresponds to a ray that lies in between two consecutive rays of $\Sigma \Delta$ with primitive generators $v, w \in U(\Sigma \Delta)$, then $L(u, a_u)$ passes through the vertex $L(v, a_v) \cap L(w, a_w)$ of $\Delta$. In other words, if $v, w \in U(\Sigma)$ correspond to consecutive rays of $\Sigma$, then $L(v, a_v) \cap L(w, a_w) \in \Delta$. By [12, Prop. 6.1.1], this just means that $D_f$ is base-point free.

Since $K = -\sum_{v \in U(\Sigma)} D_v$, we have that $L = \sum_{v \in U(\Sigma)} (a_v - 1)D_v$. It follows that the polygon associated with $L$ is

$$P_L = \bigcap_{v \in U(\Sigma)} (H(v, a_v) - 1).$$

To prove that $L$ is base-point free, again by [12, Prop. 6.1.1] it suffices to show that for all $v, w \in U(\Sigma)$ that correspond to adjacent rays, the lattice point $m_1 = L(v, a_v) - 1 \cap L(w, a_w) - 1$ belongs to $P_L$. Because $X$ is smooth, the vectors $v, w$ form a basis of $\mathbb{Z}^2$, hence using a unimodular transformation if needed we may assume that $v = (1, 0)$ and $w = (0, 1)$. Then the point $m_1$ becomes $(-a_v + 1, -a_w + 1)$. From the base-point-freeness of $D_f$ we know that $m = (-a_v, -a_w) \in \Delta \subseteq H(v, a_v) \cap H(w, a_w)$.

Now consider $v', w' \in U(\Sigma \Delta)$ such that $m \in L(v', a_{v'}) \cap L(w', a_{w'})$, so $v'$ and $w'$ are the primitive normal vectors of the edges of $\Delta$ that are adjacent to the vertex $m$. We can assume that $L(v', a_{v'})$ is steeper than $L(w', a_{w'})$, and note that it could happen that $v' = v$ and/or $w' = w$. In order to prove that $m_1 \in P_L$, it suffices to show that $L(v', a_{v'})$ passes strictly above $m_1$ and that $L(w', a_{w'})$ passes strictly below $m_1$. We only prove the statement for $v'$; the one for $w'$ follows by symmetry.

![Figure 2. Rays of $X$ and $X_\Delta$ that are adjacent to $m$](image)

Let $v_0 = v, v_1, \ldots, v_n = v'$ be the vectors in $U(\Sigma)$ from $v$ up to $v'$ going clockwise. We claim that all $v_i$ satisfy $x_i > -y_i$, where $v_i = (x_i, y_i)$. For $i = n$, this claim tells us that $L(v', a_{v'})$ passes strictly above $m_1$. Suppose our claim is false and let $i$ be minimal such that $x_i \leq -y_i$. Note that $i > 0$. It is impossible that $x_i = -y_i$, because in that case $w = (0, 1)$ and $v_{i-1} = (1, -1)$ would be a basis of $\mathbb{Z}^2$, so would be able to delete the rays corresponding to $v_j \in U(\Sigma)$ with $j < i$, while the associated toric surface would still be a resolution of singularities of $X_\Delta$, contradicting the minimality assumption. So $x_i < -y_i$. Also $x_{i-1} > -y_{i-1}$, by the minimality of $i$. 
Now \(v_{i-1}\) and \(v_i\) must form a basis of \(\mathbb{Z}^2\) and hence the determinant \(x_iy_{i-1} - x_{i-1}y_i\) of the matrix formed by \(v_i\) and \(v_{i-1}\) is \(\pm 1\). But \(x_{i-1}(-y_i) > x_{i-1}x_i > (-y_{i-1})x_i\), and since we have two strict inequalities, the difference is at least 2. This contradicts that the determinant is \(\pm 1\), proving our claim.

It remains to show that \(P_L = \Delta^{(1)}\). Because \(L\) is base-point free, again from the criterion \cite{Deme95} we see that \(P_L\) is a lattice polygon. From \cite{Hart83} one sees that \(P_L\) is contained in the topological interior of \(\Delta\). On the other hand \(\Delta^{(1)} \subseteq P_L\) because every interior lattice point lies at integral distance at least 1 from the boundary. The desired conclusion follows.

The above lemma is valuable in investigating how the graded Betti table \((2)\) of the canonical model \(C\) of \(C_f\) relates to the graded Betti table \((3)\) of \(X_{\Delta^{(1)}}\). We assume that the reader is familiar with how the entries \(a_\ell, b_\ell, c_\ell\) for \(\ell = 1, \ldots, g - 3\) arise as dimensions of Koszul cohomology spaces. We refer to \cite{Hart83} for more background, and to \cite{Hart83} §2 and \cite{Hart83} for a discussion that is specific to toric surfaces. For what follows, it is convenient to define \(a_0 = b_0 = c_0 = a_{g-2} = b_{g-2} = c_{g-2} = 0\).

Our starting point is the standard exact sequence \(0 \rightarrow \mathcal{O}_X(-C') \rightarrow \mathcal{O}_X \rightarrow \mathcal{O}_{C'} \rightarrow 0\) of sheaves of \(\mathcal{O}_X\)-modules. It can be rewritten as \(0 \rightarrow \mathcal{O}_X(-D_f) \xrightarrow{\mu_f} \mathcal{O}_X \rightarrow \mathcal{O}_{C'} \rightarrow 0\), where \(\mu_f\) denotes multiplication by the function \(f\). By the adjunction formula \(K_{C'} := [L]_{C'}\) is a canonical divisor on \(C'\). Tensoring the above exact sequence with \(\mathcal{O}_X(qL)\) then gives exact sequences

\[ 0 \rightarrow \mathcal{O}_X((q - 1)L + K) \xrightarrow{\mu_f} \mathcal{O}_X(qL) \rightarrow \mathcal{O}_{C'}(qK_{C'}) \rightarrow 0 \]

for all \(q \geq 0\). We claim that \(H^1(X, (q - 1)L + K) = 0\), which by Serre duality \cite{Hart83} Thm. 9.2.10] is equivalent to \(H^1(X, (1 - q)L) = 0\). Indeed for \(q = 0\) and \(q = 1\) this is true by Demazure vanishing \cite{Hart83} Thm. 9.2.3], while for \(q \geq 2\) it follows from Batyrev-Borisov vanishing \cite{Hart83} Thm. 9.2.7(a)]. In both cases we used that \(L\) is base-point free, while in the latter case we also used that \(P_L = \Delta^{(1)}\) is two-dimensional.

Thus by taking cohomology we obtain a short exact sequence

\[ 0 \rightarrow \bigoplus_{q \geq 0} H^0(X, (q - 1)L + K) \xrightarrow{\mu_f} \bigoplus_{q \geq 0} H^0(X, qL) \rightarrow \bigoplus_{q \geq 0} H^0(C', qK_{C'}) \rightarrow 0 \]

of \(k\)-vector spaces. In a natural way, this can be viewed as an exact sequence of graded modules over \(S_{\Delta^{(1)}} = S^*V_{\Delta^{(1)}}\), where \(V_{\Delta^{(1)}} = H^0(X, L)\) and \(S^*\) denotes the symmetric algebra. By \cite{Hart83} Lem. 1.25] we find a long exact sequence in Koszul cohomology:

\[ \cdots \rightarrow K_{p,q-1}(X; K, L) \xrightarrow{\mu_f} K_{p,q}(X, L) \rightarrow K_{p,q}(C', K_{C'}) \]

\[ \rightarrow K_{p-1,q}(X; K, L) \xrightarrow{\mu_f} K_{p-1,q+1}(X, L) \rightarrow K_{p-1,q+1}(C', K_{C'}) \rightarrow \cdots \]

Now note that the image of \(X \xrightarrow{[L]} \mathbb{P}^{g-1}\), where \(g = h^0(X, L) = |\Delta^{(1)} \cap \mathbb{Z}^2|\), is nothing else but \(X_{\Delta^{(1)}}\). Thus

\[ b_\ell = \dim K_{\ell,1}(X, L) = \dim K_{g-3-\ell,2}(X; K, L), \]

\[ c_\ell = \dim K_{g-2-\ell,2}(X, L) = \dim K_{\ell-1,1}(X; K, L) \]

for \(\ell = 0, 1, \ldots, g - 2\), where the last equalities again follow from Serre duality, as explained in more detail in \cite{Hart83} §2.1]. Combining these formulas with \(a_\ell = \]
dim \( K_{\ell,1}(C', K_{C'}) \) we find for each \( \ell = 0, 1, \ldots, g - 2 \) our desired exact sequence, of the form

\[
0 \to b_\ell \to a_\ell \to c_\ell \xrightarrow{\mu_\ell} c_{g-1-\ell} \to a_{g-1-\ell} \to b_{g-1-\ell} \to 0
\]

where we abusively write the dimensions, rather than the cohomology spaces themselves.

**Remark 2.2.** It follows that

\[
b_\ell + c_\ell - c_{g-1-\ell} - b_{g-1-\ell} = a_\ell - a_{g-1-\ell}.
\]

The right hand side is known to be equal to

\[
\frac{(g-1)(g-1-\ell)(g-1-2\ell)}{(\ell+1)}
\]

using the Hilbert polynomial of the canonical curve \( C \). This formula also follows from \([9, \text{Lem. 1.3}]\), by using instead the left hand side of the equality.

### 3. Gorenstein weak Fano toric surfaces

As before let \( \Delta \) be a lattice polygon with two-dimensional interior \( \Delta^{(1)} \). Let \( \Sigma_{\Delta} \) denote the inner normal fan to \( \Delta \), and as in the proof of Lemma 2.1 let \( U(\Sigma_{\Delta}) \) be the set of primitive generators of its rays. The prime divisor associated with \( v \in U(\Sigma_{\Delta}) \) will again be denoted by \( D_v \). For reasons that will become apparent in the next section, we are interested in situations where the polygon \( P_{-K_{\Delta}} \) associated with the anticanonical divisor

\[
- K_{\Delta} = \sum_{v \in U(\Sigma)} D_v
\]

on \( X_{\Delta} \) is a lattice polygon. Using the criteria in \([12, \text{Chapter 6}]\) one sees that this holds if and only if \( - K_{\Delta} \) is base-point free (i.e., nef) and Cartier. Since \( - K_{\Delta} \) is always big, we conclude that we are actually interested in the cases where \( X_{\Delta} \) is a so-called Gorenstein weak Fano toric surface.

Note that \( P_{-K_{\Delta}} \) has one interior lattice point only, namely the origin, therefore as soon as we are in the Gorenstein weak Fano case, it concerns a reflexive polygon. Its dual polygon is the convex hull of the vectors \( v \in U(\Sigma_{\Delta}) \), which is then also reflexive. It is not hard to see that the argument works in both ways, i.e., a toric surface is Gorenstein weak Fano if and only if the convex hull of the primitive generators of the rays of its fan is a reflexive polygon. Up to unimodular equivalence, there are 16 reflexive polygons \([25, \text{Prop. 4.1}]\), so a toric surface is Gorenstein weak Fano if and only if its fan is a coherent crepant refinement of one of these 16 polygons. That is, it is obtained by inserting a number of rays (possibly none) that pass through a lattice point on the boundary of the dual polygon. A similar criterion was proven to hold in any dimension by Nill \([25, \text{Prop. 1.7}]\), to whom’s paper we refer for more background.

The aim of the current section is to show that the Gorenstein weak Fano property enjoys a certain robustness.

**Lemma 3.1.** If \( X_{\Delta} \) is Gorenstein weak Fano and \( X \to X_{\Delta} \) is the minimal toric resolution of singularities, then also \( X \) is Gorenstein weak Fano, and moreover \( P_{-K} = P_{-K_{\Delta}} \).
Here, as in the previous section, $K$ denotes the canonical divisor on $X$ obtained by taking minus the sum of all torus-invariant prime divisors.

**Proof.** Consider the maximal coherent crepant refinement of $\Sigma_\Delta$, obtained by inserting a ray for each lattice point on the boundary of the reflexive polygon obtained by taking the convex hull of $U(\Sigma)$. This clearly gives a resolution of singularities. Therefore the fan $\Sigma$ of $X$ must be obtained from $\Sigma_\Delta$ by inserting a number of these rays (possibly none, possibly all). We conclude that $\Sigma$ is also a coherent crepant refinement of $\Sigma_\Delta$, and both claims follow. □

For our second robustness statement, we need the following notation. Given a lattice polygon $\Delta$ with two-dimensional interior lattice polygon $\Delta^{(1)}$, then $\Delta^{\text{max}}$ is defined as the maximal lattice polygon $\Gamma$ (with respect to inclusion) satisfying $\Gamma^{(1)} = \Delta^{(1)}$. The polygon $\Delta^{\text{max}}$ can be obtained from $\Delta^{(1)}$ by moving out its edges over an integral distance 1. Therefore each edge of $\Delta^{\text{max}}$ is parallel to an edge of $\Delta^{(1)}$, although the converse may fail, because it could happen that an edge shrinks to length 0. See [8, §2] and the references therein for more background.

**Lemma 3.2.** If $X_\Delta$ is Gorenstein weak Fano, then also $X_{\Delta^{(1)}}$ is Gorenstein weak Fano. Moreover, the latter property holds if and only if $X_{\Delta^{\text{max}}}$ is Gorenstein weak Fano, and in this case the normal fans to $\Delta^{(1)}$ and $\Delta^{\text{max}}$ are the same.

**Proof.** We will rely on the following observation: let $X$ be a Gorenstein weak Fano projective toric surface, and let $X'$ be a toric blow-down of $X$, i.e., the toric surface obtained by removing a certain number of rays from the fan defining $X$. Then $X'$ is also Gorenstein weak Fano. Indeed, if the primitive generators of the rays of a fan span a reflexive polygon, then this remains true after dropping some of these rays.

We first prove the last equivalence, namely that $X_{\Delta^{(1)}}$ is Gorenstein weak Fano if and only if the same is true for $X_{\Delta^{\text{max}}}$. As noted above, the inner normal fan to $\Delta^{(1)}$ is a subdivision of the inner normal fan to $\Delta^{\text{max}}$, which by the foregoing observation implies the ‘only if’ part of the statement. As for the ‘if’ part, assume that $\Delta^{\text{max}}$ is Gorenstein weak Fano. We will show that the subdivision is in fact trivial, i.e., the normal fans to $\Delta^{(1)}$ and $\Delta^{\text{max}}$ are the same, from which the desired conclusion follows. Indeed, suppose that there is an edge $\tau \subseteq \Delta^{(1)}$ that disappears after moving out the edges, i.e., its length shrinks to 0, and choose it such that there is an adjacent edge $\tau'$ that does not disappear. Let $v$ be the vertex common to $\tau$ and $\tau'$. Using a unimodular transformation if needed we can assume that $\tau$ is supported on the line $y = 0$, that $v = (0, 0)$, and that the next lattice point on $\tau'$ is $(-b, a)$ with $a \geq b \geq 1$. The outward shifts of the supporting lines of $\tau$ and $\tau'$

**Figure 3.** Combinatorial characterization of the Gorenstein weak Fano property
meet in the point
\[ w = \left( \frac{b-1}{a}, -1 \right), \]
which is necessarily a lattice point, hence \( b = 1 \) and \( w = (0, -1) \). Now let \( \tau'' \) be the first non-disappearing edge at the other side of \( \tau \); note that it might a priori not be adjacent to it. Denote its primitive inner normal vector by \((c, d)\), so that its supporting line is of the form \( cx + dy = e \). Notice that \( c \leq -1 \) by convexity of \( \Delta^{(1)} \), and moreover \( e \leq c \) because \((1, 0)\) is contained in the corresponding half-plane. Now the outward shift of this line (defined by \( cx + dy = e - 1 \)) must also pass through \( w \), leading to the identity
\[ d = -e + 1 > 1. \]
This contradicts the being Gorenstein weak Fano of \( \Delta^{\text{max}} \), because the convex hull of \((a, b), (c, d)\) and the other primitive generators of the rays of its normal fan contains \((0, 1)\) as an interior point.

As for the first implication, note that \( \Delta \) is obtained from \( \Delta^{\text{max}} \) by clipping off a number of vertices. We show that these vertices can be glued back on, one by one, while preserving the Gorenstein weak Fano property. Remark that a vertex can only be clipped off if it is ‘smooth’, meaning that a unimodular transformation takes it to \((0, 0)\) with the adjacent edges lining up with the coordinate axes: otherwise \( \Delta^{(1)} \) would be affected. Up to changing the order of the coordinates, the clipping then necessarily happens along the segment connecting \((0, 1)\) and \((a, 0)\) for some \( a \geq 0 \). We make a case distinction between three removal types.

- **Type 1:** none of the adjacent edges was removed completely. This means that gluing back the vertex boils down to dropping a ray from the inner normal fan, which preserves the Gorenstein weak Fano property.
- **Type 2:** exactly one of the adjacent edges was removed completely. Then the situation is among the ones depicted in Figure 4. One of the primitive generators of the rays of the inner normal fan to \( \Delta \) is given by \((1, a)\).

In the first case, the primitive normal vector to \( \tau' \) is of the form \((b, c)\) for some \( c < 0 \) and \( b \geq 1 \), where the latter inequality holds because \( \tau' \) cannot be horizontal (otherwise \( \Delta \) would have an empty interior). This means that \((1, 0)\) belongs to the polygon spanned by the primitive generators, and therefore it stays reflexive upon replacement of \((1, a)\) by \((1, 0)\), i.e., the Gorenstein weak Fano property is preserved when gluing back our vertex.

In the second case we find \((1, 0)\) among the primitive generators of the rays of the inner normal fan. If \( a > 2 \) then by the Gorenstein weak Fano property all other primitive generators must belong to the triangle shown in Figure 5 because otherwise either \((0, 1)\) or \((0, -1)\) would belong to the interior of the polygon they span. If \( a > 4 \) then \( 2/(a - 2) < 1 \), so the
triangular region cannot contain the primitive normal vector to $\tau$, which has to have a strictly negative first coordinate: a contradiction. If $a = 4$ then the primitive normal vector to $\tau$ is necessarily $(-1, -2)$, which gives a contradiction with the fact that $\Delta^{(1)}$ is two-dimensional. If $a = 3$ one finds $(-1, -1)$, $(-1, -2)$ or $(-2, -3)$, each of which cases again yields a contradiction with the two-dimensionality of $\Delta^{(1)}$.

If $a = 2$ then the region becomes the half-strip shown in Figure 6, where now the conclusion reads that the primitive normal vector to $\tau$ has a negative second coordinate (possibly zero): this means that $\Delta$ is contained in a vertical strip of width 2, once again contradicting the fact that $\Delta^{(1)}$ is two-dimensional. Thus we conclude that $a = 1$, and a similar reasoning shows that the primitive normal vector to $\tau$ must be of the form $(b, c)$ for some $b < 0$ and $c \leq 1$. If $c < 1$ then we again run into a contradiction with the two-dimensionality of $\Delta^{(1)}$. Therefore $c = 1$, but this means that the polygon spanned by the primitive normal vectors contains $(0, 1)$, and therefore the Gorenstein weak Fano property is preserved upon replacement of $(1, a) = (1, 1)$ by $(0, 1)$, i.e., upon gluing back our vertex.

- **Type 3**: the two adjacent edges are removed completely. Then the situation must be as depicted in Figure 7. This is very similar to before. In the cases where $a \geq 2$ one again obtains a contradiction, either with the Gorenstein weak Fano property or with the two-dimensionality of $\Delta^{(1)}$: the region in which the primitive normal vector to $\tau$ should be contained becomes even smaller. If $a = 1$ then we find that the primitive normal vectors to $\tau$ and $\tau'$ are $(1, b)$ resp. $(b', 1)$ for integers $b, b' < 0$, so we can replace $(1, 1)$ by the pair $(0, 1), (1, 0)$, i.e., we can glue back our vertex.
This concludes the proof. □

One corollary is that, in the statement of Theorem 1.2, the condition that $X_{\Delta^{(1)}}$ is Gorenstein weak Fano can be replaced by $X_{\Delta}$ being Gorenstein weak Fano, although the resulting theorem is weaker.

4. Constancy results

In this section we prove Theorem 1.2. As before let $\Delta$ be a lattice polygon with two-dimensional interior $\Delta^{(1)}$, let $f$ be as in (1) and assume that it defines a smooth hyperplane section $C_f$ of $X_{\Delta}$. Let $X \to X_{\Delta}$ be the minimal toric resolution of singularities. We use the set-up and notations from Section 2. From (6), we conclude the following:

Lemma 4.1. For each $\ell = 0, 1, \ldots, g-2$ the following assertions are equivalent:

- $a_\ell = b_\ell + c_\ell$,
- $a_{g-1-\ell} = b_{g-1-\ell} + c_{g-1-\ell}$,
- $K_{\ell-1,1}(X; K, L) \xrightarrow{\mu_f} K_{\ell-1,2}(X, L)$ is the zero map.

Recall that $\mu_f$ denotes multiplication by $f$. Explicitly, this is the map induced by the vertical maps (also denoted by $\mu_f$) of the commutative diagram

$$
\begin{array}{ccc}
\bigwedge^{\ell-1} V_{\Delta^{(1)}} \otimes V_{\Delta^{(2)}} & \xrightarrow{\delta} & \bigwedge^{\ell-2} V_{\Delta^{(1)}} \otimes V_{(2\Delta^{(1)})(1)} \\
\downarrow \mu_f & & \downarrow \mu_f \\
\bigwedge^{\ell} V_{\Delta^{(1)}} \otimes V_{\Delta^{(1)}} & \xrightarrow{\delta} & \bigwedge^{\ell-1} V_{\Delta^{(1)}} \otimes V_{2\Delta^{(1)}} \\
& & \downarrow \mu_f \\
& & \bigwedge^{\ell-2} V_{\Delta^{(1)}} \otimes V_{3\Delta^{(1)}},
\end{array}
$$

where as before $V_{\Delta^{(1)}} = H^0(X, L)$ is the space of Laurent polynomials that are supported on $\Delta^{(1)}$. Similarly $V_{\Delta^{(2)}} = H^0(X, L + K)$ denotes the space of Laurent polynomials that are supported on $\Delta^{(2)}$, and so on; see also [9, §2]. The $\delta$’s are the usual boundary morphisms

$$
v_1 \wedge v_2 \wedge v_3 \wedge v_4 \wedge \cdots \otimes w \mapsto \sum_s (-1)^s v_1 \wedge v_2 \wedge v_3 \wedge v_4 \wedge \cdots \wedge \hat{v}_s \wedge \cdots \otimes v_s w
$$

($\hat{v}_s$ means that $v_s$ is being omitted) and the $\mu_f$’s act like

$$
v_1 \wedge v_2 \wedge v_3 \wedge v_4 \wedge \cdots \otimes w \mapsto v_1 \wedge v_2 \wedge v_3 \wedge v_4 \wedge \cdots \otimes fw,
$$

where $fw$ indeed ends up in the target space because $\Delta + \Delta^{(2)} \subseteq 2\Delta^{(1)}$ and $\Delta + (2\Delta^{(1)})(1) \subseteq 3\Delta^{(1)}$.

Then indeed $K_{\ell-1,1}(X; K, L)$ is the kernel of the top row while $K_{\ell-1,2}(X, L)$ is the cohomology in the middle of the bottom row. In view of Lemma 4.1, our aim is to find conditions under which $\mu_f = 0$ on the level of cohomology. It is convenient to introduce a multiplication map for each monomial $x_i y_i$ that is
supported on $\Delta$. That is, for each $(i, j) \in \Delta \cap \mathbb{Z}^2$ we consider the morphism $\mu_{i,j} : K_{\ell-1,1}(X; K, L) \to K_{\ell-1,2}(X, L)$ that is induced by

$$v_1 \wedge v_2 \wedge v_3 \wedge v_4 \wedge \cdots \wedge w \mapsto v_1 \wedge v_2 \wedge v_3 \wedge v_4 \wedge \cdots \wedge x^i y^j w.$$ 

Note that

$$\mu_f = \sum_{(i,j) \in \Delta \cap \mathbb{Z}^2} c_{i,j} \mu_{i,j}.$$ 

In fact we even have

$$(7) \quad \mu_f = \sum_{(i,j) \in \partial \Delta \cap \mathbb{Z}^2} c_{i,j} \mu_{i,j}$$

thanks to the following observation:

**Lemma 4.2.** If $(i, j) \in \Delta^{(1)}$ then $\mu_{i,j} = 0$ on the level of cohomology.

**Proof.** This follows from a well-known type of reasoning; see e.g. [1, Lem. 2.19]. Explicitly, if

$$\alpha = \sum_{r} c_r v_{r,1} \wedge v_{r,2} \wedge \cdots \wedge v_{r,\ell-1} \wedge w_r \in \bigwedge^{\ell-1} V_{\Delta^{(1)}} \otimes V_{\Delta^{(2)}}$$

is in the kernel of $\delta$, then one verifies that $\mu_{i,j}(\alpha)$ is the coboundary of

$$(8) \quad -\sum_{r} c_r x^i y^j \wedge v_{r,1} \wedge v_{r,2} \wedge \cdots \wedge v_{r,\ell-1} \wedge w_r \in \bigwedge^\ell V_{\Delta^{(1)}} \otimes V_{\Delta^{(1)}}$$

and therefore vanishes on the level of cohomology. \qed

The above argument does not work for $(i, j) \in \partial \Delta$ because in that case $x^i y^j \notin V_{\Delta^{(1)}}$ and therefore (8) may not be contained in $\bigwedge^\ell V_{\Delta^{(1)}} \otimes V_{\Delta^{(1)}}$. However, the condition that $(i, j) \in \Delta^{(1)}$ can be relaxed:

**Lemma 4.3.** If $(i, j) \in \Delta$ can be written as $(i_1, j_1) + (i_2, j_2)$ such that $(i_1, j_1) \in \Delta^{(1)}$ and $(i_2, j_2) + \Delta^{(2)} \subseteq \Delta^{(1)}$, then $\mu_{i,j} = 0$ on the level of cohomology.

**Proof.** In the above proof

$$-\sum_{r} c_r x^i y^j \wedge v_{r,1} \wedge v_{r,2} \wedge \cdots \wedge v_{r,\ell-1} \wedge x^{i_2} y^{j_2} w_r \in \bigwedge^\ell V_{\Delta^{(1)}} \otimes V_{\Delta^{(1)}}$$

serves as a replacement for (8). \qed

It is natural to try to take $(i_2, j_2) \in P_{-K}$, so that $x^{i_2} y^{j_2} \in H^0(X, -K)$. Indeed recall that $V_{\Delta^{(2)}} = H^0(X, L + K)$ and $V_{\Delta^{(1)}} = H^0(X, L)$, so in this case we indeed have that $(i_2, j_2) + \Delta^{(2)} \subseteq \Delta^{(1)}$. Such an appropriate decomposition of $(i, j) \in \Delta$ can be found only if

$$(9) \quad x^i y^j \in \{ \varphi \psi \mid \varphi \in H^0(X, -K) \text{ and } \psi \in H^0(X, L) \}.$$ 

Often $H^0(X, -K)$ consists of the constant functions only, i.e., $P_{-K} \cap \mathbb{Z}^2 = \{(0, 0)\}$, in which case (9) is impossible as soon as $(i, j) \in \partial \Delta$. On the other hand, if the right hand side of (9) generates all of $H^0(X, D_f)$, or equivalently, if the map

$$(10) \quad H^0(X, -K) \otimes H^0(X, L) \to H^0(X, D_f)$$

is surjective, then we can conclude that all $\mu_{i,j}$’s are zero on cohomology, and therefore the same is true for $\mu_f$.

We are ready to prove our main result:
Proof of Theorem 1.2. We will assume that $\Delta = \Delta^{\text{max}}$, i.e., $\Delta$ is the maximal polygon having $\Delta^{(1)}$ as its interior. This is not a restriction: as soon as $C_f \subseteq X_\Delta$ is a smooth hyperplane section, this is also the case for the Zariski closure of $\varphi_{\Delta^{\text{max}}(U_f)}$ viewed inside $X_{\Delta^{\text{max}}}$, as explained in [8 §4]. Moreover, the statement of Theorem 1.2 only involves $\Delta^{(1)}$, which is left unaffected.

We first deal with the case where $X_{\Delta^{(1)}}$ is Gorenstein weak Fano, which by Lemma 3.2 holds if and only if $X_\Delta$ is Gorenstein weak Fano (because of our assumption that $\Delta$ is maximal). By Lemma 3.1 then also $X$ is Gorenstein weak Fano, and moreover $P = P - K_\Delta$. Now note that

$$P - K + \Delta^{(1)} = \Delta.$$ 

Indeed, the inclusion $\subseteq$ is obvious, while for the other inclusion it is enough to prove that each vertex $m$ of $\Delta$ is in $P - K + \Delta^{(1)}$. Let $v, w$ be consecutive elements of $U(\Sigma)$ such that $m = L(v, a_v) \cap L(w, a_w)$. By the proof of Lemma 2.1 we know that $m_1 = L(v, a_v - 1) \cap L(w, a_w - 1) \in \Delta^{(1)}$, hence $m = m_0 + m_1 \in P - K + \Delta^{(1)}$ with $m_0 = L(v, 1) \cap L(w, 1)$.

But then also

$$(P - K \cap Z^2) + (\Delta^{(1)} \cap Z^2) = \Delta \cap Z^2$$

by [10 Thm 1.1], because the inner normal fan to $P - K = P_{-K}$ coarsens that of $\Delta^{(1)}$. Indeed, it obviously coarsens the inner normal fan to $\Delta$, which by Lemma 3.2 is equal to the inner normal fan to $\Delta^{(1)}$. But this precisely means that (10) is surjective, so the maps $\mu_f$ are all trivial on the level of cohomology, and the conclusion follows from Lemma 4.1.

As for the other case where $|\partial \Delta^{(1)} \cap Z^2| \geq g/2 + 1$, the maps $\mu_f$ are trivial for a much simpler reason, namely because the dimension $c_L$ of the domain or the dimension $c_{g-L}$ of the codomain (or both) is zero. This in turn follows from a result due to Hering and Schenck, stating that $\min \{ \ell \mid c_{g-L} \neq 0 \} = |\partial \Delta^{(1)} \cap Z^2|$; see [17, Thm. IV.20] or [27].

We believe that the sum formula $a_{\ell} = b_{\ell} + c_{\ell}$ holds for a considerably larger class of lattice polygons, although there are counterexamples (if there were not, then this would have negative consequences for Green’s canonical syzygy conjecture, as explained in Remark 5.2 in the next section). The smallest counterexample we found lives in genus $g = 12$. Namely, consider $f = x^5 + y^2 + x^2y^6$ along with its Newton polygon $\Delta = \text{conv}\{(0, 2), (6, 0), (2, 6)\}$. A computer calculation along the lines of [16] shows that the graded Betti table of the canonical model of $C_f$ is given by

| 0 1 2 3 4 5 6 7 8 9 10 |
|-------------------------|
| 0 1 0 0 0 0 0 0 0 0 0  |
| 1 0 45 231 550 693 399 69 0 0 0  |
| 2 0 0 0 0 0 0 0 0 0 0  |
| 3 0 0 0 0 0 0 0 0 0 0  |

while that of $X_{\Delta^{(1)}}$ is given by

| 0 1 2 3 4 5 6 7 8 9 |
|---------------------|
| 0 1 0 0 0 0 0 0 0 0  |
| 1 0 39 186 414 504 295 69 0 0 0  |
| 2 0 0 0 0 0 0 0 0 0 0  |

$$105 \quad 189 \quad 136 \quad 45 \quad 6.$$
Here one sees that the exact sequence \((6)\) for \(\ell = 5\) reads:

\[0 \to 295 \to 399 \to 105 \xrightarrow{\mu_f} 1 \to 69 \to 69 \to 0.\]

So \(\mu_f\) is not trivial in this case, but rather surjective onto its one-dimensional codomain.

Another natural question is whether it is true in general that the graded Betti table of \(C\) is independent of the coefficients of \(f\), even if the sum formula does not hold. In general one has for each \(\ell = 1, \ldots, g-3\) that

\[a_\ell = b_\ell + c_\ell - \dim \text{ im } \mu_f\]

and constancy holds if and only if \(\dim \text{ im } \mu_f\) depends on \(\Delta\) and \(\ell\) only. From \((7)\) it follows that, at least, there is no dependence on the coefficients \(c_{i,j}\) that are supported on \(\Delta^{(1)}\). In other words, only the coefficients that are supported on the boundary might a priori matter. A consequence of this observation is that constancy of the graded Betti table holds for primitive lattice triangles, i.e., lattice triangles without lattice points on the boundary, except for the three vertices. Indeed, using a transformation of the form \(f \leftarrow \gamma f(\alpha x, \beta y)\), with \(\alpha, \beta, \gamma \in k^*\), one can always arrange that the three coefficients supported on the vertices \((i_1, j_1), (i_2, j_2), (i_3, j_3)\) are all 1. This means that \(a_\ell = b_\ell + c_\ell - \dim (\mu_{i_1,j_1} + \mu_{i_2,j_2} + \mu_{i_3,j_3})\), regardless of the coefficients of \(f\).

5. Connections with Green’s conjecture

In this section we elaborate the details of the announcements made in the last paragraph of the introduction, i.e., we deduce new cases of Green’s canonical syzygy conjecture from known cases of Conjecture 1.4 on syzygies of projectively embedded toric surfaces, and vice versa. As a preliminary remark, note that if \(\Delta^{(1)} \cong \Upsilon\) then Conjecture 1.4 is tautologically true, while Green’s conjecture is known to hold for curves of genus \(g = N_\Upsilon = 4\). Therefore we can ignore this case in the proofs below.

We first prove Lemma 1.5 establishing a connection between both conjectures.

**Proof of Lemma 1.5** The right hand sides of the equalities in Conjecture 1.3 and Conjecture 1.4 agree by Theorem 1.1. Let us denote this common quantity by \(\gamma\).

First assume that Conjecture 1.3 holds for some smooth irreducible hyperplane section \(C_f \subseteq X_\Delta\). To deduce Conjecture 1.4 for \(X^{(1)}\), it suffices to prove that \(b_{g-(\gamma-1)} = 0\). This follows from the fact that \(a_{g-(\gamma-1)} = 0\), along with the exact sequence \((6)\) for \(\ell = g - (\gamma - 1)\).

For the other implication we need to show that \(a_{g-(\gamma-1)} = 0\). Since \(b_{g-(\gamma-1)} = 0\) by assumption, thanks to \((6)\) it suffices to show that \(c_{g-(\gamma-1)} = 0\). But this follows from Hering and Schenck’s aforementioned result [17, Thm. IV.20] that \(\min\{\ell \mid c_{g-\ell} \neq 0\} \geq |\partial \Delta^{(1)} \cap \mathbb{Z}^2|\). Because of the stated inequality, we have that

\[\gamma - 1 \leq \text{lw}(\Delta^{(1)}) + 1 \leq |\partial \Delta^{(1)} \cap \mathbb{Z}^2| - 1,\]

hence indeed \(c_{g-(\gamma-1)} = 0\). \(\square\)

**New cases of Green’s conjecture from known cases of Conjecture 1.4** We use Lemma 1.5 to prove Green’s conjecture for smooth curves of genus at most 32 or Clifford index at most 6 on arbitrary toric surfaces (Theorem 1.6). Our key tool is the following lemma, showing that within these ranges, the additional condition that \(|\partial \Delta^{(1)} \cap \mathbb{Z}^2| \geq \text{lw}(\Delta^{(1)}) + 2\) is not a concern:
Lemma 5.1. Let \( \Delta \) be a two-dimensional lattice polygon and assume that \( \Delta^{(1)} \neq \Upsilon \). If \( N_\Delta^{(1)} \leq 32 \) or if \( \text{lwidth}(\Delta^{(1)}) \leq 6 \) then \( |\partial \Delta^{(1)} \cap \mathbb{Z}^2| \geq \text{lwidth}(\Delta^{(1)}) + 2 \).

Proof. The cases where \( N_\Delta^{(1)} \leq 32 \) are covered by an explicit computational verification, again using the data from [3]: as it turns out, up to unimodular equivalence the only two-dimensional interior lattice polygon \( \Delta^{(1)} \) within this range that does not satisfy the stated inequality is \( \Delta^{(1)} = \Upsilon \).

As for the cases where \( \text{lwidth}(\Delta^{(1)}) \leq 6 \), it suffices to assume that \( \text{lwidth}(\Delta^{(1)}) \geq 3 \), because the other cases are easy (for instance, it is an exercise to show that up to unimodular equivalence, the only two-dimensional interior lattice polygons whose boundary contains exactly three lattice points are \( \Sigma \) and \( \Upsilon \)). We prove the statement by contradiction, so assume that
\[
|\partial \Delta^{(1)} \cap \mathbb{Z}^2| \leq \text{lwidth}(\Delta^{(1)}) + 1.
\]

Moreover, we may assume that \( \Delta^{(1)} \) lies in the horizontal strip \( \mathbb{R} \times [1, \text{lwidth}(\Delta^{(1)}) + 1] \) and that it is not unimodularly equivalent to \( k\Sigma \) for some \( k \) (since the lemma holds for such polygons), hence \( \Delta \subseteq \mathbb{R} \times [0, \text{lwidth}(\Delta^{(1)}) + 2] \). Denote by \( L \) and \( R \) the lattice points of respectively the left hand side boundary and the right hand side boundary of \( \Delta^{(1)} \). After a reflection over a vertical axis if needed, we may assume that \( |L| \leq |R| \).

Since
\[
|L \cup R| \leq \text{lwidth}(\Delta^{(1)}) + 1 \quad \text{and} \quad |L \cap R| \leq 2,
\]
we get that
\[
|L| \leq \left\lfloor \frac{\text{lwidth}(\Delta^{(1)}) + 3}{2} \right\rfloor.
\]

The above inequality is very restrictive. After an exhaustive search, we can list all possibilities for \( L \) up to a unimodular transformation (to be more precise, a reflection over a horizontal axis and/or a horizontal shearing) under our assumption that \( \text{lwidth}(\Delta^{(1)}) \in \{3, 4, 5, 6\} \). Hereby, we also use that \( \Delta^{(1)} \) is an interior lattice polygon (so the corners at vertices have to be sufficiently ‘good’) and that \( \Delta \) is contained in \( \mathbb{R} \times [0, \text{lwidth}(\Delta^{(1)}) + 2] \). Figure 8 shows all these possibilities: the black edges represent the left hand side boundary of \( \Delta^{(1)} \) and the blue edges the induced part of the boundary of \( \Delta \). Note that \( |L| \) meets the upper bound \( \left\lfloor \frac{\text{lwidth}(\Delta^{(1)}) + 3}{2} \right\rfloor \) in all these cases, hence
\[
|L| \leq |R| \leq \left\lfloor \frac{\text{lwidth}(\Delta^{(1)}) + 3}{2} \right\rfloor = \begin{cases} |L| & \text{if } \text{lwidth}(\Delta^{(1)}) \in \{3, 5\} \\ |L| + 1 & \text{if } \text{lwidth}(\Delta^{(1)}) \in \{4, 6\} \end{cases},
\]
so either \( |R| = |L| \) or \( |R| = |L| + 1 \) and \( \text{lwidth}(\Delta^{(1)}) \in \{4, 6\} \).

First assume that \( |R| = |L| \), hence also \( R \) is listed in Figure 8 up to a unimodular transformation. If \( \text{lwidth}(\Delta^{(1)}) \in \{3, 5\} \), then \( |L \cap R| = 2 \), so there are only two lattice points of \( \Delta^{(1)} \) on the lines at height 1 and \( \text{lwidth}(\Delta^{(1)}) + 1 \). Given \( L \), this leaves only a couple of possibilities for \( R \) and \( \Delta^{(1)} \). For each of these, the lattice width is smaller then assumed, a contradiction. If \( \text{lwidth}(\Delta^{(1)}) \in \{4, 6\} \), then either \( |L \cap R| = 2 \) and we can proceed as before, or \( |L \cap R| = 1 \) and there are three lattice points of \( \Delta^{(1)} \) on the lines at height 1 and \( \text{lwidth}(\Delta^{(1)}) + 1 \). Again this suffices to give a list of all possible polygons \( \Delta^{(1)} \), none of which has the correct lattice width, leading to a contradiction.
Finally, assume that \(|R| = |L| + 1\) and \(\text{lw}(\Delta^{(1)}) \in \{4, 6\}\). In this case, we have that \(|L \cap R| = 2\), hence there are only two lattice points of \(\Delta^{(1)}\) on the lines at height 1 and \(\text{lw}(\Delta^{(1)}) + 1\). Given a possible \(L\), convexity considerations provide a region in which \(\Delta^{(1)}\) must strictly lie (here, ‘strict’ means that no boundary point of the region is in \(\Delta^{(1)}\)). In Figure 9, the boundaries of the regions are indicated by the blue normal/dashed lines, so each lattice point of \(\Delta^{(1)}\) must be one of the black dots.

So either \(\Delta^{(1)}\) is too small (i.e., it doesn’t have the correct lattice width), or it is of the form \(k \Upsilon\) with \(k \in \{2, 3\}\), but then \(|\partial \Delta^{(1)} \cap \mathbb{Z}^2| = 3k \geq 2k + 2 = \text{lw}(\Delta^{(1)}) + 2\), a contradiction.

**Proof of Theorem 1.6.** It suffices to prove the conjecture for curves of the form \(C_f \subseteq X_\Delta\). Now, as mentioned, our Conjecture 1.4 has been verified for all interior
lattice polygons $\Delta^{(1)}$ having at most 32 lattice points or having lattice width at most 6. Thus the claim follows from Lemma 1.5 and Theorem 1.1. □

**Remark 5.2.** The inequality $|\partial \Delta^{(1)} \cap \mathbb{Z}^2| \geq \text{lw}(\Delta^{(1)}) + 2$ is satisfied for the vast majority of polygons. In fact it is not so easy to find polygons for which the inequality is *not* satisfied, where of course the condition of being interior is crucial: if we omit this assumption, it is trivial to find counterexamples (e.g., the primitive lattice triangles that we encountered at the end of Section 4 can have arbitrarily large lattice width). The smallest interior counterexample that we encountered is $\Delta^{(1)}$ where $\Delta = \text{conv}\{(4,0), (0,10), (10,4)\}$. This concerns a 9-gon without extra points on the boundary, satisfying $g = |\Delta^{(1)} \cap \mathbb{Z}^2| = 36$ and lw($\Delta^{(1)}$) = 8, see Figure 10. If we want to check Green’s conjecture for this specific polygon $\Delta$, we need to show that $a_{27} = 0$ (since $g - (\gamma - 1) = 27$). Using the algorithm from [9] we checked that $b_{27} = 0$, therefore Conjecture 1.4 holds in this case. On the other hand $c_{27} \neq 0$ by Hering and Schenck’s result, so we cannot use (6) to conclude that $a_{27} = 0$. In fact if the sum formula $a_{27} = b_{27} + c_{27}$ from the statement of Theorem 1.2 would be true in this case (which we do not believe it is), then from $c_{27} \neq 0$ it would follow that $a_{27} \neq 0$ and hence that Green’s conjecture is false!

**New cases of Conjecture 1.4 from known cases of Green’s conjecture.** Here the main input is due to Lelli-Chiesa, who proved Green’s conjecture for curves on smooth rational surfaces, modulo certain assumptions, the most restrictive one being the existence of an anticanonical pencil. Let us state her result more precisely, adapting the notation to our specific case of curves of the form $C_f \subseteq X_{\Delta}$. Because the ambient surface needs to be smooth, as in Section 2 we let $X \to X_{\Delta}$ be the minimal toric resolution of singularities and write $C' = C_f$. Again we let $D_f = C' - \text{div}(f)$ and consider the canonical divisor $K = -\sum_v D_v$, where $v$ ranges over the set $U(\Sigma)$ of primitive generators of the rays of the fan $\Sigma$ of $X$.

**Theorem 5.3 (see [23]).** Assume that the following conditions are satisfied:

- $L = D_f + K$ is big and nef,
- $h^0(X, -K) \geq 2$,
- if $h^0(X, -K) = 2$, then the Clifford index of a general curve $C \in |D_f|$ is not computed by restricting the anticanonical divisor to $C$.

Then Green’s conjecture is true for $C_f$. 

**Figure 10.** Counterexample to the inequality $|\partial \Delta^{(1)} \cap \mathbb{Z}^2| \geq \text{lw}(\Delta^{(1)}) + 2$
Note that the second condition can be rephrased as $|P_{-K} \cap \mathbb{Z}^2| \geq 2$. The first condition is automatically satisfied for toric surfaces: $L$ is nef because of Lemma 2.1 and big because $\Delta^{(1)}$ is two-dimensional. The next two lemma’s show that also the third condition is void in our case.

**Lemma 5.4.** Let $X$ be a toric surface with $h^0(X, -K) = 2$. If $\Delta = P_D$ is the polygon of a torus-invariant nef Cartier divisor $D$ on $X$, then $\text{lwt}(\Delta) < |\partial \Delta \cap \mathbb{Z}^2|$. 

**Proof.** The fact that $D$ is nef and Cartier ensures that $\Delta$ is a lattice polygon. Now there is a non-zero lattice point $m_0 \in P_{-K}$ and by using a unimodular transformation if needed, we can assume that $m_0 = (1, 0)$. Let $y_1$ (resp. $y_2$) be the minimum (resp. maximum) of the second coordinates of the points of $\Delta$. For all $v \in U(\Sigma)$, we have that $\langle m_0, v \rangle \geq -1$, hence the first coordinate of each $v \in U(\Sigma)$ is at least $-1$. Consider an edge $e$ at the right hand side of $\Delta$, i.e., an edge whose inner normal vector has a strictly negative first coordinate. Then the corresponding $v \in U(\Sigma)$ must have first coordinate equal to $-1$. Hence, if $e$ intersects a horizontal line at integral height, then this point of intersection is a lattice point. As a consequence $|\partial \Delta \cap \mathbb{Z}^2| > y_2 - y_1 \geq \text{lwt}(\Delta)$. \hfill $\blacksquare$

**Lemma 5.5.** Let $X$ be a smooth toric surface with $h^0(X, -K) = 2$. Let $D$ be a torus-invariant nef divisor on $X$ such that $D + K$ is big and nef. Then for a general curve $C \in |D|$ the Clifford index is not computed by $-K_C$.

**Proof.** Note that all divisors are Cartier because of the smoothness assumption. Denote the (lattice) polygon $P_D$ corresponding to $D$ by $\Delta$. The short exact sequence $0 \to \mathcal{O}_X(-D - K) \to \mathcal{O}_X(-K) \to \mathcal{O}_C(-K|_C) \to 0$ yields the long exact sequence $0 \to H^0(X, -D - K) \to H^0(X, -K) \to H^0(C, -K|_C) \to H^1(X, -D - K) \to \ldots$

Since $D + K$ is big and nef, the polygon $P_{D+K} = \Delta^{(1)}$ is two-dimensional and we have that $h^0(X, -D - K) = h^1(X, -D - K) = 0$ by Batyrev-Borisov vanishing. It follows that $h^0(C, -K|_C) = h^0(X, -K) = 2$. Hence, the divisor $-K_C$ gives rise to a linear system on $C$ of rank $r = h^0(C, -K|_C) - 1 = 1$ and degree $\sum_{v \in U(\Sigma)} \deg(D_v|_C) = |\partial \Delta \cap \mathbb{Z}^2|$. Now if the Clifford index of $C$ would be computed by $-K_C$, then we would have $\text{Cliff}(C) = |\partial \Delta \cap \mathbb{Z}^2| - 2$. On the other hand, by Theorem 1.1 and Lemma 5.3 we have that $\text{Cliff}(C) \leq \text{lwt}(\Delta^{(1)}) \leq \text{lwt}(\Delta) - 2 < |\partial \Delta \cap \mathbb{Z}^2| - 2$, a contradiction. \hfill $\blacksquare$

We can now conclude with a proof of Theorem 1.7, which we reformulate as the following corollary:

**Corollary 5.6.** If $|P_{-K^{\Delta^{(1)}}} \cap \mathbb{Z}^2| \geq 2$, then Conjecture 1.4 correctly predicts the length of the linear strand of the graded Betti table of $X_{\Delta^{(1)}}$.

**Proof.** Because the statement only involves $\Delta^{(1)}$, we can assume that $\Delta$ is maximal. Using a unimodular transformation if needed, we can also assume that $(1, 0)$ is contained in the polygon associated with $-K_{\Delta^{(1)}}$, which implies, as in the proof of Lemma 5.3 that all inner normal vectors to $\Delta^{(1)}$ having a strictly negative first coordinate must be of the form $(-1, b)$ for some $b \in \mathbb{Z}$. But then the same must be true for $\Delta = \Delta^{\max}$, which is obtained from $\Delta^{(1)}$ by moving out the edges over an integral distance 1. We claim that the minimal toric resolution of singularities
$X \to X_\Delta$ is obtained by inserting rays whose primitive generators are of the form $(a,b)$ with $a \geq -1$. Indeed,

- minimally subdividing a cone spanned by $(a_1,b_1)$ and $(a_2,b_2)$ for integers $a_1,a_2,b_1,b_2$ with $a_1,a_2 \geq 0$ clearly introduces such rays only,
- minimally subdividing a cone spanned by $(-1,b_1)$ and $(a_2,b_2)$ for integers $a_2,b_1,b_2$ with $a_2 \geq 0$ introduces the ray spanned by $(0,-1)$ and rays whose primitive generators have a positive first coordinate,
- minimally subdividing a cone spanned by $(-1,b_1)$ and $(-1,b_2)$ for integers $b_1 < b_2$ introduces the rays spanned by all integral vectors of the form $(-1,b)$ with $b_1 < b < b_2$.

In other words $(1,0) \in P_{-K}$, and therefore we can apply Lelli-Chiesa’s theorem to conclude that Green’s conjecture is true for any smooth hyperplane section $C_f \subseteq X_\Delta$. The conclusion now follows from Lemma 1.5.

As a special case we find that Conjecture 1.4 is true if $X_\Delta^{(1)}$ is Gorenstein weak Fano.

Acknowledgements

This research was partially supported by the research project G093913N of the Research Foundation Flanders (FWO), and by the European Commission through the European Research Council under the FP7/2007-2013 programme with ERC Grant Agreement 615722 MOTMELSUM. The fourth author is supported by a PhD fellowship of the Research Foundation Flanders (FWO). The first author thanks the University of Ghent for its hospitality. All authors thank Imre Bárány for answering a question of ours, which eventually led to the counterexample in Remark 5.2, and Margherita Lelli-Chiesa for a helpful discussion. They also thank an anonymous referee of a previous submission for his/her careful reading and useful remarks, which were of significant help in improving the exposition.

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