Past summer upwelling events in the Gulf of Oman derived from a coral geochemical record

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We used a high-resolution oxygen isotope ($\delta^{18}$O$_{\text{coral}}$), carbon isotope ($\delta^{13}$C$_{\text{coral}}$) and Sr/Ca ratios measured in the skeleton of a reef-building coral, *Porites* sp., to reveal seasonal-scale upwelling events and their interannual variability in the Gulf of Oman. Our $\delta^{13}$C$_{\text{coral}}$ record shows sharp negative excursions in the summer, which correlate with known upwelling events. Using $\delta^{13}$C$_{\text{coral}}$ anomalies as a proxy for upwelling, we found 17 summer upwelling events occurred in the last 26 years. These anomalous negative excursions of $\delta^{13}$C$_{\text{coral}}$ result from upwelled water depleted in $^{13}$C (dissolved inorganic carbon) and decreased water-column transparency. We reconstructed biweekly SSTs from coral Sr/Ca ratios and the oxygen isotopic composition of seawater ($\delta^{18}$O$_{\text{sw}}$) by subtracting the reconstructed Sr/Ca-SST from $\delta^{18}$O$_{\text{coral}}$. Significant $\delta^{18}$O$_{\text{sw}}$ anomalies occur during major upwelling events. Our results suggest $\delta^{13}$C$_{\text{coral}}$ anomalies can be used as a proxy for seasonal upwelling intensity in the Gulf of Oman, which, driven by the Indian/Arabian Summer Monsoon, is subject to interannual variability.

The Gulf of Oman is located on the northeastern coast of the Arabian Peninsula and both the Arabian Sea and the Gulf of Oman are located in arid environments. The climate is dominated by the seasonal reversal of the Indian/Arabian Monsoon, which in turn governs the surface wind field of the Indian Ocean north of 10°S. The intensity and direction of the monsoon winds vary seasonally. During the southwest (SW) Monsoon develops during the boreal summer (from June to mid-September) and is characterized by strong airflow across the Arabian Sea that feeds moisture and rainfall to the Indian subcontinent.

The Indian/Arabian Summer Monsoon causes coastal upwelling bringing cooler temperatures, nitrified and saline water to the sea surface along the southern coast of the Arabian Peninsula. Upwelled water flows northward and affects the oceanic stratification of the Gulf of Oman through gyres and eddy systems that sweep into the Oman Sea. The Northern Arabian Sea is therefore one of the most productive areas in the world. The SW Monsoon is also the major climatic factor affecting the near-shore environment and areas of coral growth in Oman during the summer months.

The high nutrient content of this water induces phytoplankton blooms. Satellite-based ocean color measurements show the temporal and spatial variability of the surface chlorophyll-a distribution along the coast of the Southern Arabian Peninsula. In the Gulf of Oman, upwelling does not necessarily occur every summer. In addition, observational records that allow us to understand the dynamics of upwelling events in the Gulf of Oman are scarce. Satellite based sea surface temperature (SST) in the Gulf of Oman did not reflect low SST excursions in summer measured by CTDs. Long-term and in situ records of primary production, salinity and temperature are necessary in order to understand upwelling events. In this study, we used paleo-climatic reconstructions from coral geochemical records to provide a history of summer monsoon-driven upwelling variability in the Gulf of Oman.

The geochemical proxies in coral skeletal carbonate provide a long-term history of environmental variation, with high time resolution (2 weeks to a month). Coral skeletal oxygen isotopes ($\delta^{18}$O$_{\text{coral}}$) reflect SST and oxygen isotopes in seawater ($\delta^{18}$O$_{\text{sw}}$). Coral skeletal Sr/Ca ratios are used as SST proxies. Sea-surface salinity

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(SSS) is derived from $\delta^{18}O_{SW}$, which is generated by subtracting the temperature component (obtained from coral Sr/Ca) from $\delta^{18}O_{coral}$. Stable isotopes of carbon in coral skeletons ($\delta^{13}C_{coral}$) are influenced by kinetic isotopic fractionation, vital effects (photosynthesis and respiration) and the carbon isotopic composition of dissolved inorganic carbon in seawater ($\delta^{13}C_{DIC-SW}$). Coral skeletons are precipitated in isotopic disequilibrium with ambient seawater as a result of kinetic and vital effects. The kinetic effect selectively depletes $^{12}C$ and $^{16}O$ in coral skeletons and is particularly important when coral growth rates are very low (<4 mm per year). Photosynthetic activities of zooxanthellae affect $\delta^{13}C_{coral}$ by changing the carbon isotopes in the internal dissolved inorganic carbon pool of the coral. A 50% weakening of solar radiation induces a decrease of approximately 0.5‰VPDB in $\delta^{13}C_{coral}$. The amount of solar radiation received by the coral varies depending on incoming solar radiation, cloud cover and water transparency. Upwelling can reduce water transparency and change the sea-surface $\delta^{13}C_{DIC-SW}$. Therefore, upwelling events should be registered by the coral via a decrease in $\delta^{13}C_{coral}$.

We used a coral record from the Gulf of Oman to reconstruct the timing and frequency of upwelling events using high-resolution records of Sr/Ca ratios, $\delta^{18}O_{SW}$ and $\delta^{13}C_{coral}$ based on a 26-year-old coral core.

**Results and Discussion**

We determined $\delta^{18}O_{coral}$, $\delta^{13}C_{coral}$ and Sr/Ca ratios from 664 samples. Each powdered sample was split for paired stable isotope and Sr/Ca analysis. Sr/Ca ratios and $\delta^{18}O_{coral}$ showed 26 distinct annual cycles (Fig. 1a and b). The average of the Sr/Ca ratios was 9.28 (mmol × mol$^{-1}$), with values ranging from 8.98 to 9.56 (mmol × mol$^{-1}$). The $\delta^{18}O_{coral}$ averaged $-4.33$ (‰VPDB) and ranged from $-4.92$ to $-3.41$ (‰VPDB). We calculated the regression line between satellite SST and Oman coral Sr/Ca ratios using seasonal maxima and minima to avoid potential biases due to intra-seasonal age model uncertainties, as follows:

$$\text{Sr/Ca ratios (mmol × mol}^{-1}) = -0.044 \pm 0.003 \text{ SST} + 10.46 \pm 0.18. (r = -0.95; P < 0.01)$$

We established a regression line between satellite SST and $\delta^{18}O_{coral}$ assuming that $\delta^{18}O_{coral}$ reflect only SST variations, with the same $\delta^{18}O_{coral}$ samples with Sr/Ca ratios, as follows:
The correlation coefficient between δ¹⁸Ocoral and Sr/Ca ratios was 0.77 (P < 0.01). δ¹³Ccoral were calculated by subtracting the temperature component (estimated from coral Sr/Ca ratios) from δ¹⁸Ocoral following the method proposed by Nurhati et al.²¹. The slope of the δ¹⁸Ocoral vs. SST regression is −0.104 ± 0.005‰/°C, which is too high to be consistent with published estimates¹²,¹³,²². This suggests a significant contribution of δ¹³Osw to δ¹⁸Ocoral. We therefore used the published regression slope of −0.18 ± 0.03 (‰/°C)¹² to convert δ¹³Ocoral to SST, and our slope of −0.044 mmol × mol⁻¹/°C for SST estimation. The δ¹³Osw anomalies were calculated by applying a band-pass filter to remove the periodicity components longer than 2 years and subtracting the seasonal cycle. Relative changes of δ¹³Osw are on the order of ± 0.424‰/°C (2σ). Anomalies above or below this threshold were marked as significant δ¹³Osw anomalies (Fig. 1c). The uncertainty of calculated δ¹³Osw is ± 0.113‰/°C (following Nurhati et al.²¹).

The average δ¹³Ccoral was −1.62 (‰/VPDB) and ranged from −3.28 to +0.29 (‰/VPDB). The δ¹³Ccoral also showed clear seasonal variation (Fig. 1d) and distinct short-term negative anomalies (Fig. 1d). The δ¹³Ccoral analysis was performed to avoid contamination from organic matter. We measured each CO₂ gas sample 6 times using a dual inlet system loaded on a MAT251. Analytical precision of the δ¹³Ccoral (standard deviations) were below 0.05‰. Growth rate disturbances and anomalous-colored annual band were not observed on X-ray photographs and coral cores. Therefore, the variations of δ¹³Ccoral were assumed to reflect environmental changes rather than the coral growth disturbances²³.

The interpretations of δ¹³Ccoral has been debated about what the δ¹³Ccoral values are reflecting¹⁰,¹³–¹⁶,²⁴,²⁵. The main factors influence that can influence δ¹³Ccoral include: (1) kinetic effect and vital effect, (2) solar radiation, (3) water-column transparency, (4) variation of δ¹³CDIC-SW, and (5) autotroph/heterotroph ratios.

Kinetic effects have been recognized as simultaneous ¹⁸O and ¹³C enrichment in coral skeletons with low extension rates¹⁴. Strong kinetic effects mask vital effects²⁴. In our core, δ¹³Ccoral values showed a weak negative correlation with the δ¹³Ccoral (r = −0.317, n = 634, P < 0.001: Fig. 2a). Summer δ¹³Ccoral did not correlate significantly with δ¹³Ocoral (r = 0.140, n = 181, P > 0.05: Fig. S2a). Winter δ¹³Ccoral had no significant correlation with winter δ¹³Ocoral (r = 0.04, P > 0.05, n = 159: Fig. S2b). The extension rates show that the Oman coral grew very quickly, on average 25.1 mm/year with a range between 19 to 31.5 mm. These values were considerably higher than the critical value estimated for kinetic isotopic fractionation effects (4 mm/year) (Fig. 1f)²⁶. Therefore, the coral growth history and the lack of correlation between δ¹³Ccoral and δ¹³Ocoral suggest that the kinetic isotopic effect did not significantly affect this coral record.

Previous studies reported δ¹³Ccoral on seasonal and inter-annual variations are attributable to solar radiation¹⁷,²⁶. To investigate the processes driving these δ¹³Ccoral fluctuations, we compared δ¹³Ccoral with satellite-based outgoing longwave radiation (OLR) (Fig. S3a) which reflect cloud cover. For a comparison of δ¹³Ccoral with monthly-resolved OLR data, biweekly resolved δ¹³Ccoral data were resampled at a monthly resolution using the software AnalySeries (version 2.0.8)²⁷. The δ¹³Ccoral were compared with OLR, and we calculated the correlation coefficients between these time series. δ¹³Ccoral without anomalous δ¹³Ccoral excursions positively correlated with OLR at a significant level (r = 0.411, P < 0.01, n = 302: Fig. S3a and S3b). A significant correlation appeared between the mean seasonal cycle of δ¹³Ccoral and OLR averaged over the past 26 years (r = 0.702, P = 0.01, n = 12: Fig. S3c and d). The positive correlations between δ¹³Ccoral and OLR (Fig. S2b and S2d) suggest that δ¹³Ccoral captured the variation of photosynthetic activity caused by the seasonal solar radiation cycle. At inter-annual resolution, the 15 month-moving average profile of δ¹³Ccoral positively correlate with that of OLR (r = 0.347, P < 0.01, n = 303: Fig. S4a and S4b). The duration of low OLR and coeval δ¹³Ccoral decreased from 1992 to 1993. We propose that insololation and OLR had decreased in globally as a result of up-stirred volcanic aerosol from the eruption of Mount Pinatubo, the Philippines in June 1991²⁸. Low δ¹³Ccoral from 1992 to 1993 would be influenced by decreasing insololation which resulted from the volcanic eruption of Mount Pinatubo.

We calculated the δ¹³Ccoral anomaly (δ¹³Canomaly) by removing the 15 month-moving average (31 bi-weekly data point) after subtracting the averaged seasonal cycle of δ¹³Ccoral. The threshold for δ¹³Ccoral anomalous excursions was determined as a standard deviation of 1σ: ± 0.343‰/°C. In summer, the anomalous negative excursions of the δ¹³Canomaly occurred 17 times in summer, while 1 anomalous negative excursions occurred in the spring of 1993 (Fig. 1f). Anamalous positive δ¹³Canomaly excursions were also observed prior to summer negative δ¹³Ccoral excursions. The δ¹³Canomaly had no significant correlation with OLR anomaly calculated by same procedure (r = 0.05, P > 0.3 Fig. S4c and S4d), suggesting that anomalous negative excursions of δ¹³Canomaly in the summer of AN-¹³C would not be generated from OLR variations.

We examined the timing of the AN-¹³C with the compiled evidence of each past upwelling event documented from in situ and satellite observations (Fig. 1f and g). Abrupt SST decreasing events in summer were revealed in 1997–1998²⁹ and 2000³⁰ from satellite SST data, in 1992³⁰, 1994³⁰, 2001³⁰, 2002³⁰ (Fig. S5) and 1990³¹ based on in situ SST data, and 2010 based on our vertical seawater temperature profile (Fig. S6). The vertical profile of seawater temperature deduced by temperature sensors attached to the diving gear of local volunteer divers in 2010, also suggest that the thermocline was closer to the surface during summer upwelling events (Fig. S6). In addition, Al-Azri et al.¹ had measured chlorophyll-a concentrations, nutrients, phytoplankton density and SST in Fahal Island (23.67°N, 58.5°E) and Bandar Al Khayran (23.51°N, 58.72°E: near to our coral sample site). From July to September 2004, upwelling was observed as increasing chlorophyll-a concentrations and phytoplankton density as well as decreasing SST³¹. In August 2005, SST decreased for 1 month, while other parameters did not change³¹. Al-Azri et al., 2012 reported that in situ chlorophyll-a and satellite based SST suggested that upwelling also occurred in July, 2008³. The satellite observations (SeaWiFS and MODIS at 24°N, 58°E from Asia-Pacific Data Research Center³²) from 1997 to 2013 suggested that chlorophyll-a concentrations in the Gulf of Oman increased in August 2000, September 2004 and August 2008 (Fig. S7). In other upwelling years, chlorophyll-a
concentrations in satellite data were not available to compare with AN-δ13C due to the lack of satellite data in summer. Based on these in situ satellite datasets, past upwelling events occurred in 1982–29, 1988–28, 1989–27, 1990–31, 1992–25, 1994–17, 2000–2012 and 2010 (Fig. 1g). The AN-δ13C corresponds with these past upwelling events.

The possible controlling factors of the AN-δ13C with upwelling events are: (1) decreasing water-column transparency33, (2) variations of δ13C_{DIC-SW}16, 34, and (3) change to heterotrophic feeding36. It is known that increasing chlorophyll-a concentrations correspond with upwelling events1, 7 inducing phytoplankton blooms, thereby decreasing water-column transparency and depleting 13C coral with low photosynthetic activities of zooxanthellae33. Moreover, lower δ13C_{DIC-SW} supply from greater depths decreases δ13C_{DIC-SW} at the sea surface24, 25, 34. Upwelling events may produce an AN-δ13C due to sudden decreases in water-column transparency and δ13C_{DIC-SW}. Heterotrophic feeding would also be the controlling factor of negative δ13C_{coral} with upwelling events. A study35 reported that corals feeding 13C-depleted zooplankton decreased their δ13C_{coral}. The coral records from the Gulf of Aqaba, Red Sea suggested that increasing heterotrophy with upwelling decreased δ13C_{coral} for an approximately half a year36. Afterwards, δ13C_{coral} could be increased by the preferential uptake of 13C by phytoplankton at the sea surface36. In the western Indonesian coast, it was reported that δ13C_{coral} increased by approximately 2.2‰/°C after large phytoplankton blooms due to upwelling36.

We propose the following mechanism to explain the short-term negative peaks in the δ13C_{coral}: 1. Upwelling events bring deep, cold and nutrient-rich water with low δ13C_{DIC-SW} to the surface in summer. Upwelling events cause unusually high nutrient conditions in the Gulf of Oman. Photosynthesis activities in zooxanthella would be emphasized in eutrophic conditions and temporarily increased δ13C_{coral}. 2. Lower δ13C_{DIC-SW} from the deep sea decreases δ13C_{coral}. 3. Phytoplankton blooms arise from a nutrient supply to the sea surface. 4. Phytoplankton primarily depletes 12CO_{2-SW}. Active phytoplankton photosynthesis increases 13CO_{2-SW}. 5. δ13C_{coral} increases with the restoration of δ13C_{DIC-SW}.

We compared the AN-δ13C minima with the upwelling periods (number of the days) in summer (Figs 2a, S5 and S6). In situ daily to weekly SST data in 1992, 1994, 2001, 2002 and 2010 revealed that SST during upwelling events was as same as winter SST (23.5°C), and daily fluctuations of SST in upwelling periods ranged within 3°C. Therefore, the numbers of the days for upwelling periods were defined as the duration of SST lower than 26.5°C in summer. δ13C_{coral} values of no upwelling years (0 days) was estimated from in situ δ13C_{DIC-SW} in Arabian Sea (+1.325‰/°C at 0–10 m depth in non-upwelling seasons36) and the value of δ13C in isotopic equilibrium between coral carbonate and seawater37. The AN-δ13C minima were correlated to the upwelling periods as below.

Upwelling periods (days) = \( -87.16 \pm 16.40 \times \text{AN}-\delta^{13}C \text{ minima}(\%_{VPDB}) - 4.92 \pm 9.45 \) (r = −0.937, P < 0.05; Fig. 2a).

Then, past upwelling periods in the year with no in situ SST data were reconstructed from each AN-δ13C using this equation (Fig. 2b). The estimated uncertainty for reconstructed upwelling periods was 12.66 days (1σ) including the analytical precisions of δ13C_{coral}, the intercept and the slope of this equation. In 1987, 2006, 2008, and 2010, each upwelling period was extremely long, over 120 days (Fig. 2b). In those years, coral extension rates decreased to 23 mm/year (Fig. 1e). The long upwelling events would therefore have a negative effect on coral extension rate due to eutrophic conditions and decreased water-column transparency.

We compared the reconstructed upwelling events from AN-δ13C (Fig. 2b) with Sr/Ca ratios and δ18O_{SW-anomaly} (Fig. 1b and c). Sr/Ca ratios showed 1-month increasing (cooling) in summer except in 1992, 2001, 2002, 2006, and 2009, however, these did not correspond to reconstructed upwelling events. In non-AN-δ13C (upwelling) years (1989, 1991, 1997–1998, 2003, 2007, 2011–2010), the δ18O_{SW-anomaly} was low in summer. Upwelling events in the Gulf of Oman are driven by the SW Monsoon, which causes strong seasonal winds parallel to the coast of Southern Oman in the Arabian Sea, while the associated Ekman transport creates strong upwelling along the coastal margins, bringing cold, nutrient-rich water to the surface3, 4. This upwelled water has indirect impacts on
corals and reef areas farther north through gyres and eddy systems that sweep into the Oman Sea. In addition, upwelling may be influenced by vertical seawater density, depending on SST and SSS. The δ18O anomaly record suggested that deep seawater did not reach the sea surface as low-density water masses might form a cap on the sea surface in the Gulf of Oman.

Observations suggest that the primary productivity of the Gulf of Oman is subject to inter-annual variability, but long-term observational records are lacking. Our new δ13C coral record captured past upwelling events and their periods in the Gulf of Oman for 26 years. Thus, coral skeletal archives fill an important gap in the observational record and have great potential for increasing our understanding of the upwelling mechanisms in the Gulf of Oman. Moreover, it is possible to reconstruct past SST, SSS and upwelling frequency/intensity during the Holocene (from 0 to 10 ka) by applying the same methods to fossil corals from the Arabian Peninsula.

Methods

Coral sampling. On February 23, 2013, we drilled a Porites sp. coral colony in the Gulf of Oman (23°30′ N, 58°45′ E: Fig. 3a and b). This Porites colony was living at a 2 m water depth in a small bay (Bandar Khayran) south of Muscat. There was no dry-riverbed (locally name: wadi) nearby; thus, we excluded the influence of occasional plumes of freshwater from coastal runoff at the site. In total, the coral core was 71 cm long. On the sampling date, we measured in situ SST and SSS at 24.3 °C and 38.2 PSU (practical salinity unit). Meteorological records from the weather station at Seeb Airport (23.60°N, 58.30°E) showed low precipitation rates, with less than 14.0 mm/month (the monthly average precipitation climatology for the past 23 years was 0.28–14.0 mm/month; GHCN-Monthly ver. 2). For coral proxy calibration, we used Advanced Very High Resolution Radiometer (AVHRR) satellite SST data, SODA satellite SSS data (http://iri.columbia.edu: Fig. 3c) and OLR data (https://climexp.knmi.nl/). Salinity records decrease in summer suggesting a possible occurrence of upwelling events.

Subsampling. The coral core was sliced into 5-mm-thick slabs. We took X-radiographs of the coral slabs to identify the coral growth axis (Fig. 4). We prepared ledges of 1.5 mm in thickness along the maximum growth axis and obtained coral powder at a resolution of 0.5 mm for geochemical analysis.

Oxygen and carbon isotope measurements. The coral powder was weighed, and 100 μg (±20 μg) were taken for oxygen and carbon stable isotope analysis. The sample powder was reacted with 100% H3PO4 at 70 °C in an automated carbonate preparation device (Kiel II). The δ13C and δ18O were analyzed with a Finnigan MAT251 stable isotope ratio mass spectrometer system installed at Hokkaido University. Analytical errors for δ13C and δ18O were determined to be 0.08 and 0.07‰, respectively, based on replicate measurements of the NBS-19 standard (1σ, n = 40).
Trace element measurements. We measured Sr/Ca ratios with a SPECTRO CIROS CCD SOP inductively coupled plasma optical emission spectrophotometer installed at Kiel University following a combination of methods described by Schrag42 and de Villiers et al.39 Approximately 250 μg of coral powder was dissolved in 4 mL of HNO3. The sample solution for the measurement of trace elements was prepared via serial dilution with 2% HNO3 for a Ca concentration of ca. 8 ppm. Analytical precision of the Sr/Ca determinations was 0.07% RSD or 0.01 mmol × mol−1 (1σ).

Data analysis. We used the coral Sr/Ca ratios to develop an age model for all proxies. Minima and maxima of the coral Sr/Ca ratios were chosen as anchor points and tied to the maxima and minima of SST, respectively. To obtain a time series with equidistant time steps, we resampled the proxy data at a biweekly resolution using the AnalySeries software, version 2.0.827. Annual extension rates were estimated from the distance (in mm) between the winter anchor points in each sclerochronological year.

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Figure 4. X-radiograph of coral core OMN130221 (Porites sp.). The white line indicates the measurement lines. Several overlapping measurement lines were sampled to ensuring reproducibility.
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**Author Contributions**

T.W. and M.P. designed the project. T.W., A.Y., M.P. M.R.C. collected samples. T.K.W., A.Y. and D.G.-S. analyzed the samples. T.K.W. wrote the manuscript. All authors helped with the interpretation of the data and writing the manuscript.

**Additional Information**

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