Interfacial charge transfer and persistent metallicity of ultrathin SrIrO$_3$/SrRuO$_3$ heterostructures

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Interface quantum materials have yielded a plethora of previously unknown phenomena, including unconventional superconductivity, topological phases, and possible Majorana fermions. Typically, such states are detected at the interface between two insulating constituents by electrical transport, but whether either material is conducting, transport techniques become insensitive to interfacial properties. To overcome these limitations, we use angle-resolved photoemission spectroscopy and molecular beam epitaxy to reveal the electronic structure, charge transfer, doping profile, and carrier effective masses in a layer-by-layer fashion for the interface between the Dirac nodal-line semimetal SrIrO$_3$ and the correlated metallic Weyl ferromagnet SrRuO$_3$. We find that electrons are transferred from the SrIrO$_3$ to SrRuO$_3$, with an estimated screening length of $\lambda = 3.2 \pm 0.1$ Å. In addition, we find that metallicity is preserved even down to a single SrIrO$_3$ layer, where the dimensionality-driven metal-insulator transition typically observed in SrIrO$_3$ is avoided because of strong hybridization of the Ir and Ru t$_{2g}$ states.

INTRODUCTION

Ultrathin quantum materials present a unique platform for the control of electronic, magnetic, and topological properties. A commonly observed phenomenon in many ultrathin complex oxides and topological semimetals is that a crossover from a metallic to insulating state occurs below a critical thickness ($n \sim$ 3). This presents a potential challenge for realizing ultrathin heterostructures of quantum materials where metallic properties are desirable. Here, we show that this thickness-driven metal-insulator transition can be avoided even in the single unit cell limit in certain ultrathin oxide heterostructures.

We investigate the interface between SrIrO$_3$ and SrRuO$_3$, which has been of recent interest due to the observation of the topological Hall effect (4), suggesting the presence of skyrmions induced by Dzyaloshinskii-Moriya interactions (5), and may have potential for spintronic devices (6) due to the large spin Hall effect in SrIrO$_3$ (7). SrRuO$_3$ is a correlated metallic Weyl ferromagnet (8), which gives rise to $k$-space magnetic monopoles in the Berry curvature (9) and for which a chiral anomaly has been recently reported (10). SrIrO$_3$ is a 5d Dirac nodal-line semimetal (11, 12), formed through the confluence of strong spin-orbit interactions, Coulomb interaction, and octahedral rotations. The topological nature of SrIrO$_3$ and SrRuO$_3$ suggest that their properties will be sensitive to the location of the Fermi energy ($E_F$) with respect to the Dirac nodal line in SrIrO$_3$ or the Weyl points in SrRuO$_3$. Hole doping SrIrO$_3$, in particular, is an attractive target, because the nodal points are only 50 meV below $E_F$ but has been challenging to achieve in iridates through chemical substitution (13), thus interfacial charge transfer could be a promising alternative. Furthermore, the interplay of magnetism, spin–orbit coupling, electron correlations, and octahedral rotations make accurate theoretical predictions about the SrIrO$_3$/SrRuO$_3$ interface challenging.

In this work, heterostructures of $n$ layers of SrIrO$_3$ are synthesized on bulk-like 20–unit cell–thick SrRuO$_3$ films by molecular beam epitaxy (MBE), where $n$ is varied from 1 to 8. Using angle-resolved photoemission spectroscopy (ARPES), we investigate the evolution of the electronic structure of the topmost SrIrO$_3$ layer as it progresses away from the interface. Notably, a bulk-like metallic electronic structure is preserved down to the $n = 1$ limit, allowing us to isolate the impact of interfacial doping, where we find that approximately 0.5 electrons per Ir are transferred from the interfacial SrIrO$_3$ layer to SrRuO$_3$, shifting the $E_F$ of SrIrO$_3$ downward by approximately 80 meV and moving the Dirac node from below to above $E_F$. This charge transfer is confined within the first two unit cells of SrIrO$_3$, with an estimated screening length of $\lambda = 3.2 \pm 0.1$ Å. Last, the effective mass of the hole-like bands of SrIrO$_3$ are reduced with increased hole doping, revealing that the electronic structure of SrIrO$_3$ does not evolve in a perfectly rigid-band fashion.

RESULTS

Sample synthesis and characterization

SrIrO$_3$/SrRuO$_3$ heterostructures were synthesized by MBE. SrRuO$_3$ films were deposited on LSAT substrates, followed by $n$ layers of SrIrO$_3$, described in Materials and Methods. Scanning transmission electron microscopy (STEM) images of (SrIrO$_3$)$_n$/SrRuO$_3$$_{20}$ heterostructures ($n = 1$ and 2) are shown in Fig. 1 (D and E), demonstrating precise control over the atomic thickness. After growth, samples were transferred through a ultrahigh vacuum manifold (pressure better than 10$^{-10}$ torr) into an analysis chamber where ARPES, x-ray photoemission spectroscopy (XPS), and low-energy electron diffraction (LEED) measurements were performed. Figure 1A shows a
LEED image of an \( n = 1 \) sample, demonstrating that the SrIrO\(_3\) surface layer exhibits \((0, 0.5), (\pm 0.5, 0)\), and \((0, \pm 0.5)\) diffraction spots (referenced in pseudocubic notation). This indicates that it has the same \( Pnma \) space group and octahedral rotation pattern (\( a^b \ a^c \), Glazer notation) as that of bulk (fig. S4) \((14, 15)\), although not necessarily with equal magnitude of rotations. In Fig. 1 (B and C), we show valence band and core level (XPS) measurements of the oxygen 2\( p \) and Ir 4\( f \) states, respectively. The difference in the relative position of the O 2\( p \) states has been used to estimate band alignment and charge transfer in oxide perovskite heterostructures \((16)\), but here, the positions of the O 2\( p \) states in SrIrO\(_3\) and SrRuO\(_3\) appear to be nearly identical. Furthermore, there is little observable shift in the Ir 4\( f \) core levels as a function of the SrIrO\(_3\) layer thickness. Therefore, inferring charge transfer between SrRuO\(_3\) and SrIrO\(_3\) appears to be beyond the capability of conventional core level and valence band spectroscopy used for estimating charge transfer in semiconductors \((17)\). This suggests that only direct measurements of the near-\( E_F \) electronic structure by ARPES can detect charge transfer at the heterointerface.

**ARPES measurements**

In Fig. 2, we present the evolution of the electronic structure of \((\text{SrIrO}_3)_{n}/(\text{SrRuO}_3)_{20}\) as a function of \( n \), as measured by ARPES. Because of the short photoelectron mean free path \(< 1 \) nm \((18)\), these measurements are predominantly sensitive to only the topmost SrIrO\(_3\) layer, as evidenced by the fact that no distinct SrRuO\(_3\) bands (fig. S5) \((19)\) can be resolved in the near-\( E_F \) \((E_B < 1 \) eV) region, even for \( n = 1 \). The Fermi surface map of the \( n = 8 \) sample shows small electron pockets composed of Dirac fermions at \((\pi/2, \pi/2)\) and heavy hole pockets at \((\pi, 0)\) (again defined in pseudocubic notation), effectively identical to previous ARPES measurements of thick, bulk-like films of SrIrO\(_3\) \((15)\) and in good agreement with density functional theory (DFT) calculations \( [k_c = 0.5 \pi/c, \text{consistent with } (15)] \). We note that the features centered at \((0, 0)\) and \((\pi, \pi)\) show weak photoemission intensity likely due to matrix element effects but are present in the ARPES data. With increasing binding energy, the electron pockets shrink and disappear, while the hole pockets expand as determined from intermediate energies and the \( E \) versus \( k \) dispersion. At \( E_B = 80 \) meV, because of the weak spectral intensity from the bands that are back-folded because of the bulk in-plane octahedral rotations, this approximates a circular contour. However, as highlighted in white (Fig. 2), the map actually consists purely of square hole pockets. This qualitative behavior is reproduced in the DFT calculations at higher binding energies when taking into account a mass renormalization \((m^* / m_0)\) of 2, consistent with prior dynamical mean field theory calculations \((20)\). This correction is estimated on the basis of the velocity of the linear Dirac dispersion, which forms the electron pockets centered at \((\pi/2, \pi/2)\), where \( v_{\text{DFT}} \approx 2v_F \). We compare DFT and ARPES using the empirical formula \( E_B = E_{\text{MoT}} - 0.035 \text{ eV} \), which allows for reasonable agreement between DFT and ARPES on the size of the electron and hole pockets at each binding energy. Similar features are also observed for \( n = 3 \) (also \( n = 5 \); see fig. S6), suggesting that an undoped bulk-like electronic structure persists down to 3 SrIrO\(_3\) pseudocubic unit cells from the SrRuO\(_3\) interface. In contrast, ARPES spectra from \( n = 1 \) exhibits a notable departure from the bulk-like \( n \geq 3 \) heterostructures, with a distinctive FS at \( E_F \) that is nearly identical to the intensity maps of bulk-like \( n \geq 3 \) at a binding energy of \( E_B = 80 \) meV, indicating a downward shift of the chemical potential, \( \Delta \mu \), as the SrRuO\(_3\) interface is approached with preservation of the bulk-like metallic SrIrO\(_3\) state. Notably, no evidence of any gap or suppression of the spectral weight is observed in the \( n = 1 \) sample (Fig. 4E), as might be expected for quasi–two-dimensional iridates, such as the Mott-insulating SrJrO\(_4\). The \( n = 2 \) heterostructure exhibits behavior intermediate between \( n = 1 \) and 3. This shifting \( \Delta \mu \) with decreasing \( n \) is also supported by the similarity of the intensity pattern at even higher binding energies (bottom row), where the intensity pattern at \( E_B = 370 \text{ meV} \) for the bulk-like \( n = 8 \) sample is closely
reproduced at \( E_B = 370 - \Delta \mu = 290 \text{ meV} \) for \( n = 1 \). We also note that the extremely strong similarity between the \( n = 1 \) and shifted \( n = 8 \) maps indicates that the electronic states for the single SrIrO\(_3\) layer for \( n = 1 \) retains a character very similar to the bulk-like, three-dimensional electronic structure seen in the \( n = 8 \) sample. This may appear counterintuitive, because it might be expected that ultrathin SrIrO\(_3\) should exhibit a quasi–two-dimensional insulating electronic structure similar to Sr\(_2\)IrO\(_4\). As we will describe later, such quantum confinement is avoided because of hybridization between the SrIrO\(_3\) and SrRuO\(_3\) \( t_{2g} \) states. Such hybridization between the Ru and Ir states is indicated in our orbitally projected DFT calculations of a SrIrO\(_3\)/SrRuO\(_3\) superlattice. For example, orbitally projected FS calculations (fig. S9) clearly show features with clearly mixed Ru and Ir character. In particular, the small "dot" of spectral weight at \((0,0)\) in the \( n = 1 \) data appears to be of predominantly Ru character, based on the comparison to the calculations. In addition, some of the spectral weight between \((0,0)\) and \((\pi,\pi)\) in the \( n = 1 \) sample at \( E_B = 290 \text{ meV} \) is not predicted to occur in bulk SrIrO\(_3\) and thus should likely arise from hybridization with the SrRuO\(_3\). Together, these measurements strongly suggest that the effect of the SrRuO\(_3\) is to hole dope the iridate layer and that the interface consists of electronically distinct layers and is not an alloyed system, also suggested by the abrupt interfaces seen by STEM in Fig. 1 (D and E). Because the ARPES measurements are sensitive to only the topmost SrIrO\(_3\) layer of the heterostructure, this series of measurements can be thought of as effectively a layer-by-layer tomographic reconstruction of the electronic structure and charge transfer at the SrIrO\(_3\)/SrRuO\(_3\) interface.

In Fig. 3 we show the shift of \( \Delta \mu \) as a function of thickness extracted from the ARPES maps in Fig. 2. By fitting to an exponential, we find that the chemical potential shift due to charge transfer between SrIrO\(_3\) and SrRuO\(_3\) can be well described by the empirical function \( \Delta \mu \approx e^{-d/\lambda} \), where \( d \) is the thickness of the SrIrO\(_3\) layers and the screening length is \( \lambda = 3.2 \pm 0.1 \text{ Å} \). While this precise number may be affected by nonrigid band effects that we will detail later, this clearly demonstrates the very short length scale of charge transfer in this correlated oxide system, which is relevant for designing ultrathin electronics.

The amount of hole doping, \( \Delta h^+(n) \), can also be estimated by shifting the chemical potential in the DFT calculations by the experimentally determined \( \Delta \mu \) (taking into account the mass renormalization factor \( v_F,\text{DFT}/2 - 0.035 \text{ eV} \)), shown in Fig. 3A. The rapid decay of \( \Delta \mu \) (and \( \Delta h^+ \)) indicates that, above three layers away from the interface, there is no appreciable amount of charge transfer, as shown in Fig. 3A. Here, charge transfer is not used in typical sense of transfer across a buried interface; instead, it describes the estimated doping of the topmost SrIrO\(_3\) probed by ARPES. However, it is a closely related quantity, and while the exact \( \Delta \mu(n) \) may change slightly in a buried interface between bulk-like SrIrO\(_3\) and SrRuO\(_3\), the magnitude and direction of charge transfer should hold. Even the angular distribution of ARPES intensity around a circular path through the FS of \( n = 1 \) matches quantitatively to that of \( n = 8 \) at \( E_B = 80 \text{ meV} \). This is shown in Fig. 3B, where the distinctive eight-lobed intensity pattern is plotted as a function of polar angle around the FS.

In Fig. 4 (A to D), we show ARPES spectra along two high-symmetry directions for the doped \( n = 1 \) and undoped \( n = 8 \) heterostructures. Energy distribution curves at the Fermi crossings shown in Fig. 4E show strong spectral weight at \( E_F \), further demonstrating the persistent metallic nature of the \( n = 1 \) heterostructure. At a qualitative level, the change from \( n = 1 \) to \( n = 8 \) can be well described by...
is that, in the prior examples, the Ir 5d states are confined to two dimensions, either by double SrO rock salt layers or by an insulating SrTiO$_3$ substrate/blocking layers. In contrast, here, the SrRuO$_3$ “substrate” is highly metallic with similar near-£F t$_{2g}$ states that hybridize with the SrIrO$_3$ electronic states (11, 29). This prevents quantum confinement, which requires the substrate to have a gap in the available density of states with which the SrIrO$_3$ states could hybridize, either in an insulator with a full bandgap (e.g., SrTiO$_3$), a material with a gap along the film-substrate direction [e.g., Ag/Au(111) heterostructures (30)] or from orbital symmetry considerations (30). In this way, although for $n = 1$ the SrIrO$_3$ layer is only a single unit cell thick from a structural and chemical perspective, its electronic wave function nevertheless retains a three-dimensional bulk-like character due to its extending into the SrRuO$_3$ layers beneath.

The hybridization of the Ir 5d states with the Ru t$_{2g}$ states and subsequent preserved three-dimensionality not only explains why a dimensionality-driven metal-insulator transition is avoided but also explains the strong similarity of the ARPES spectra from the $n = 1$ heterostructure to the thicker, bulk-like samples. For instance, the FS map of the $n = 1$ sample is nearly identical to the ARPES intensity map from the bulk-like $n = 8$ sample at $E_F = 80$ meV. However, if the Ir 5d states were confined to two dimensions, then the $n = 1$ or 2 samples should have a radically different electronic structure than bulk SrIrO$_3$, perhaps more closely resembling doped Sr$_2$IrO$_4$ or Sr$_3$Ir$_2$O$_7$ (13, 31–33). Our experimental observations are therefore entirely consistent with a three-dimensional bulk-like electronic structure being preserved even in the $n = 1$ limit. Furthermore, because the terminating layer is SrIrO$_3$ for all heterostructures, the work function and thus the inner potential and probed $k_z$ value ($k_z = 0.5 \pi/c$) should be the same across all samples, consistent with our experimental observations.

In iridates, it has been established that the octahedral rotations and tilts are also strongly related to the electronic structure through the large spin-orbit coupling. Because SrRuO$_3$ exhibits a similar lattice constant as SrIrO$_3$, the strain is minimized, and the octahedral rotations in SrIrO$_3$ should be much perturbed than on materials that impart substantial strain (e.g., SrTiO$_3$). This is evidenced by the LEED patterns for all samples remaining unchanged from bulk all the way down to $n = 1$ (Fig. 1 and fig. S4), suggesting that both bulk SrIrO$_3$ and (SrIrO$_3$)$_1$/(SrRuO$_3$)$_{30}$ have the same pattern of octahedral rotations as bulk. This is likewise consistent with our DFT calculations for a (SrRuO$_3$)$_1$/(SrIrO$_3$)$_{30}$ superlattice, which displayed very minor changes in bond angles ($< 1^\circ$) relative to bulk SrIrO$_3$ (note S3). Last, because both SrIrO$_3$ and SrRuO$_3$ are nonpolar, the possibility of a polar reconstruction at the interface (e.g., LaAlO$_3$/SrTiO$_3$) can be eliminated.

Therefore, having ruled out quantum confinement/dimensionality, structural modification, and polar discontinuity effects as possibilities, we can then conclude that the observed interfacial charge transfer should be driven by the inherent band alignment between SrIrO$_3$ and SrRuO$_3$. This is consistent with comparisons of the Schottky barrier heights of the SrRuO$_3$/Nb:SrTiO$_3$(001) (34) and SrIrO$_3$/Nb:SrTiO$_3$(001) (35), which indicate a small ($\approx 30$ meV) difference in work functions that would imply a transfer of electrons from the Ir to Ru layers. This is also consistent with the aforementioned DFT calculations on a (SrRuO$_3$)$_1$/(SrIrO$_3$)$_{30}$ superlattice (fig. S8), which also indicate a transfer of electrons from the Ir to the Ru layers. While these differences in work function and charge transfer by DFT are qualitatively consistent with our own conclusions, they are
also sufficiently small to underscore the need for high-resolution ARPES to provide a clear picture of the evolution of the electronic structure at the interface.

In summary, we have demonstrated a powerful approach for elucidating the evolution of the low-energy interfacial electron structure in a layer-by-layer fashion in correlated metallic heterostructures using ARPES. Our work reveals that electrons are transferred from SrIrO$_3$ to SrRuO$_3$, resulting in hole doping of the closest two SrIrO$_3$ layers to the SrIrO$_3$-SrRuO$_3$ interface, which may have implications to their topological and low-energy properties by pushing the Dirac node of SrIrO$_3$ close to $E_F$. Furthermore, we reveal that ultrathin layers of SrO$_x$ on SrRuO$_3$ unexpectedly do not exhibit a crossover to insulating behavior, as has been observed in layered iridates (Sr$_2$IrO$_4$ and Sr$_3$Ir$_2$O$_7$) or ultrathin heterostructures (SrIrO$_3$ on SrTiO$_3$ and SrIrO$_3$-SrTiO$_3$ superlattices), due to the strong hybridization of the Ir and Ru $d$ states. The enhanced metallicity (higher carrier density and lower effective mass) of a single unit cell of SrIrO$_3$ on SrRuO$_3$ is notable, given that it runs counter to the typical trend where metallicity is suppressed in ultrathin complex oxides and topological semimetals on insulating substrates [e.g., LaNiO$_3$ (1), SrRuO$_3$ (36), and Cd$_3$As$_2$ (2)]. The enhanced screening for $n = 1$, potentially arising both from the increased carrier density in the Ir 5$d$ orbitals and the highly metallic SrRuO$_3$, could be responsible for the reduced $m^*$, and possibly a decrease in the effective strength of electron correlations, possibly explaining why the interfacial SrIrO$_3$ layers do not evolve in a perfectly rigid-band fashion. This opens a new avenue for engineering the properties of complex oxides, topological semimetals, and other quantum materials in the ultrathin limit through the judicious selection of the appropriate interface properties and density of states.

**MATERIALS AND METHODS**

**Thin-film growth**

Epitaxial SrRuO$_3$ thin films 20 layers (approximately 8 nm) thick were grown using a Veeco GEN10 MBE system on single crystalline LSAT substrates at a substrate temperature of 650°C as measured by an optical pyrometer with a measurement wavelength of 1550 nm in a background partial pressure of $4 \times 10^{-6}$ torr of distilled ozone (80% O$_3$ + 20% O$_2$). Strontium was evaporated using a low-temperature effusion cell at a flux of $3 \times 10^{12}$ to $1.3 \times 10^{13}$ atom cm$^{-2}$ s$^{-1}$, and iridium was supplied by a low-temperature effusion cell using a flux of 1.5 to 3.0 $\times 10^{13}$ atom cm$^{-2}$ s$^{-1}$, and iridium was supplied by an electron beam evaporator. Strontium and ruthenium were codeposited with a Ru/Sr atomic ratio of 1.7-2 starting with an initial nucleation layer of one SrO monolayer before codepositing to avoid formation of ruthenium metal at the interface. Films were then transported in air to a second Veeco GEN10 MBE chamber, where a pristine surface was recovered by annealing the films at 300°C in a background partial pressure of $1 \times 10^{-5}$ torr of distilled ozone (80% O$_3$ + 20% O$_2$) for 30 min (37). Subsequently, the substrate temperature was raised to 660°C (measured by an optical pyrometer with $\lambda = 980$ nm) for the growth of the SrIrO$_3$ layer. SrRuO$_3$ was supplied by low-temperature effusion cell using a flux of $3 \times 10^{12}$ to $1.3 \times 10^{13}$ atom cm$^{-2}$ s$^{-1}$, and iridium was supplied using an electron beam evaporator. Strontium and iridium were codeposited with an Ir/Sr ratio of 1.2-1.7. All films were capped following measurement before removal from ultrahigh vacuum (UHV) by either amorphous Al$_2$O$_3$ or crystalline SrTiO$_3$. Additional details about the growth and sample characterization may be found in (15, 38) and note S1.

**Photoemission measurements**

Following SrIrO$_3$ growth, the samples were immediately transferred through a UHV manifold (pressures better than $10^{-10}$ torr) to an analysis chamber, where high-resolution ARPES measurements were performed using a VG Scienta R4000 electron analyzer and a VUV5000 helium plasma discharge lamp and monochromator. He I$_a$ ($h\nu = 21.2$ eV) photons with an energy resolution of $\Delta E = 11$ meV at a temperature of 15 K were used unless otherwise noted. XPS measurements were performed immediately after ARPES measurements in the same vacuum chamber using a Scienta Omicron DAR 400 twin anode Mg/Al x-ray source using Mg Ka $h\nu = 1253.6$ eV photons with an energy resolution of $\Delta E = 0.625$ eV. LEED measurements were performed using a four-grid LEED optics (SPECs ERLEED 150) in a reflection geometry.

**STEM images**

Cross-sectional STEM specimens were prepared using the standard focused ion beam (FIB) lift-out process on Thermo Scientific Helios G4 UX FIB or an FEI Strata 400 FIB equipped with an OmniProbe AutoProbe 200 nanomanipulator. High-angle annular dark-field STEM (HAADF-STEM) images were acquired on an aberration-corrected 300 keV FEI Titan Themis with a probe convergence semiangle of 21.4 mrad and inner and outer collection angles of 68 and 340 mrad, respectively.
DFT calculations

The calculations were performed using Quantum ESPRESSO. The structures were relaxed imposing in-plane 1.8% compressive lattice strain along the y direction relative to the freely relaxed bulk SrIrO$_3$. The final augmented electronic calculations are performed using projector augmented wave pseudopotentials, using the Perdew-Burke-Ernzerhof functional and including spin-orbit coupling, a $k$-mesh of $10 \times 10 \times 8$, and 816 eV plane wave cutoff. We did not include an additional Hubbard $U$, as both previous work (15) and our own has found that it is not necessary to reproduce the main features of the FS of bulk SrIrO$_3$. To obtain the layer-projected FS, we performed a Wannierization of the Ru and Ir $t_{2g}$ states around the Fermi level using Wannier90. Our calculated FSs are then obtained by projecting only the Ir $t_{2g}$ atoms onto the FS. To best simulate the FS, we found a $k_z = 0.5 \pi/c$ to be optimal, in agreement with previous work (15), and use an energy renormalization of $E_R = \frac{E_{FS}}{2} − 0.035$ eV. We found that the (SrRuO$_3$)$_{1}$/SrIrO$_3$ superlattice adequately reproduces the main features of the FS. To simulate the (SrRuO$_3$)$_{1}$/SrIrO$_3$ superlattice and bulk SrIrO$_3$, we performed 20 atom $(2 \times 2 \times 2)$ unit cell calculations. While we did perform slab calculations including one layer of SrIrO$_3$ followed by five layers of SrRuO$_3$ and vacuum, these were not as easy to interpret in a layer-by-layer basis because of the large number of Wannier functions and their relatively delocalized nature.

SUPPLEMENTARY MATERIALS

Supplementary material for this article is available at https://science.org/doi/10.1126/sciadv.aba481

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