Mesons and Nucleons in Soft-Wall AdS/QCD
with Constrained Infrared Background

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Abstract

The purpose of this paper is to further study the soft-wall AdS/QCD model with constrained IR background proposed in [1]. By including a quartic bulk scalar potential we study various meson and nucleon spectra. This model naturally realizes the asymptotical linearity of these mass spectra simultaneously, together with correctly pattern of explicit and dynamical chiral symmetry breaking. The agreement between the theoretical calculations and the experimental data is good.
1 Introduction

It has been argued long ago, by ‘t Hooft [2], that the large \( N_c \) limit of quantum chromodynamics (QCD), with fixed \( g^2 N_c \), should be described by its holographic dual string theory. This idea has been explicitly realized by the AdS/CFT correspondence [3, 4, 5]. In the infrared region QCD becomes strongly coupled, and the effective dynamical degrees of freedom, instead of quarks and gluons, are hadrons in the particle zoo, like \( \pi, \rho, N, \) etc. Therefore QCD cannot help us very much for understanding the properties low energy strong interactions. However the idea of large \( N_c \) expansions and holography supply a totally new point of view for these hard and important problems. According to its general rule, when the ‘t Hooft coupling \( g^2 N_c \) is large, we can use the effective theory in the bulk to study the strongly coupled dynamics of QCD.

Actually this has been an active region of research for recent years. Mainly there are two complementary ways to follow. One is the top-down method, see e.g. [6, 7] which starts from some brane configurations in string theory. It has the advantage of theoretical completeness, but the resulting model has only partial resemblances with the real QCD. The other one is bottom-up, usually called AdS/QCD, see e.g. [8, 9, 10]. This method assumes the bulk theory living in the AdS\(_5\) spacetime or its some IR deformation. The model contains several bulk fields, each of which corresponds to a QCD operator, people uses observed experimental data and/or some properties of QCD, e.g. chiral symmetry breaking, linear confinement, etc., to constrain the possible forms of the model. It supplies necessary conditions for a would-be holographic theory of QCD should have. In this paper we will follow this bottom-up approach.

The so-called hard-wall model, defined on a slice of AdS\(_5\) with a sharp IR cut-off, is developed first. These type of models can correctly realize the pattern of chiral symmetry breaking and low-lying hadron states. For instance, scalar and pseudoscalar mesons were studied in [11], tensor mesons in [12], and \( b_1/h_1 \) mesons in [13]. Even hybrid exotic mesons can be realized [14]. In addition to the meson sector, baryons can also be realized in the hard-wall model, see [15, 16, 17, 18]. However the main difficulty of the hard-wall approach is the absence of linear confinement. To remedy this drawback, A soft-wall model is construct in [19], which include a background dilaton field with quadratic growth at the deep IR region. By WKB-type arguments, it can be shown that the excited meson spectrum exhibits the Regge behavior \( m_n^2 \propto n + J \). In [20], by introducing a quartic potential term for the bulk scalar, explicit and spontaneous chiral symmetry breaking are also correctly incorporated in soft-wall AdS/QCD models. The relation with light-front dynamics is also discussed, see e.g. [21, 22]. A huge amount of works have been done, a
partial list is [23–33].

In addition to the meson sector, various baryons also exhibit the approximate Regge behavior. One possible explanation [34] of this fact is that the baryon is composed of a quark and a diquark connected by a flux-tube string. So its structure is actually similar with meson. In the literature of AdS/QCD, there are relatively few works considering the baryon linear spectrum, see e.g. [35, 36, 37, 38]. In [39], and subsequently [40], we develop a soft-wall AdS/QCD model which realizes asymptotically linear spectra for both mesons and nucleons. We achieve this by a cubic potential term for the bulk scalar and a new parametrization of the its VEV. We also calculate the coupling between pion and nucleons. The main drawback of this model is that the slopes of meson mass-squares are different, which is inconsistent with the real data. Unfortunately it is not easy to improve this. Actually we will argue in this paper that it is impossible to get parallel meson slopes, while keeping the linear nucleon spectrum, by only varying the scalar VEV or choosing different forms of the potential.

In [1] we argue that, by requiring to have correct Regge-type spectrum in both meson and nucleon sectors, the IR asymptotic behavior of various background fields in the model can be fully determined. The way around the above no-go theorem is to allow the mass of bulk fields being $z$-dependent $^{1}$ This is actually very natural when considering possible anomalous dimension of the QCD operators. For operators which are not conserved currents, like the quark condensates and baryon operators, the full conformal dimension is not the classical value. The anomalous part is in general scale-dependent due to the running coupling constant, which translates to the $z$-dependence of the mass term for the corresponding bulk fields according to the well-known mass-dimension relation. Therefore we make this assumption for the bulk scalar field and the bulk Dirac field. We only require these masses approaching to the value dual to the classical dimension at the UV boundary, since the high energy fixed-point of QCD is a free theory.

In the present paper we will further develop the model proposed in [1]. To be more realistic we include a quartic potential for the bulk scalar as in [20]. The trajectories of various meson sectors are parallel with each other, so improve the main drawback of our previous model in [39, 40]. The remaining parts of this paper are organized as follows. In section 2 we discuss the meson sector of our model. It includes scalar, vector, axial-vector, and pseudoscalar mesons. We compare the predicted masses and the corresponding data. In section 3 we discuss the spin-1/2 nucleons. We show that they also have asymptotically linear spectrum. We summarize this paper in section 4.

$^{1}$This has been suggested previously in e.g. [41, 42] for different purposes.
2 Meson sector

In our soft-wall AdS/QCD models, all fields are defined in a five-dimensional Anti-de Sitter space with the metric
\[ ds^2 = G_{MN} dx^M dx^N = a^2(z)(\eta_{\mu\nu} dx^\mu dx^\nu - dz^2), \quad 0 < z < \infty. \] (2.1)

The bulk action for the meson sector is:
\[ S_M = \int d^4 x dz \sqrt{G} e^{-\Phi} \left\{ -\frac{1}{4g_5^2} (\|F_L\|^2 + \|F_R\|^2) + \|DX\|^2 - m_X^2 \|X\|^2 - \lambda \|X\|^4 \right\} . \] (2.2)

Here \( g_5^2 = 12\pi^2/N_c = 4\pi^2 \) as usual. \( F_L \) and \( F_R \) are the field strengths of the gauge potentials \( L \) and \( R \) respectively. The covariant derivative is defined to be \( D_M X = \partial_M X - iL_M X + iXR_M \), with \( X \) in the bifundamental representation of \( SU(2)_L \times SU(2)_R \). \( \|X\|^2 \) is the norm of the matrix \( X \), i.e. \( \|X\|^2 = \text{Tr}(X^\dagger X) \).

2.1 Background fields

First we introduce the bulk scalar \( X \), it is assumed to have a \( z \)-dependent VEV as follows:
\[ \langle X \rangle = \frac{1}{2} v(z) \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} . \] (2.3)

Then from the bulk action [2.2] we get the equation that combine function \( v(z) \) and the background dilaton \( \Phi(z) \):
\[ \partial_z \left( a^3 e^{-\Phi} \partial_z v \right) - a^5 e^{-\Phi} \left( m_X^2 v + \frac{\lambda}{2} v^2 \right) = 0. \] (2.4)

We can deduce the mass-square \( m_X^2 \) may be \( z \)-dependent due to possible unusual dimension of \( \bar{q}_L q_R \). Then according to [4.4], \( m_X^2 \) can be expressed as:
\[ m_X^2 = \frac{v'' + (-\Phi' + 3a'/a)v'}{a^2 v} - \frac{\lambda}{2} v^2. \] (2.5)

The UV limit is still simple to argue, for the warp factor we have
\[ a(z) \sim \frac{L}{z}, \quad z \to 0. \] (2.6)

And for the scalar VEV we have
\[ v(z) \sim Az + Bz^3, \quad z \to 0. \] (2.7)
By the mass-dimension relation $m_X^2 = \Delta(\Delta - 4)$, we have

$$m_X^2(z) \sim -3, \quad z \to 0.$$  

(2.8)

These are the behaviors at UV boundary, now we continue to study the IR situation. For the dilaton it must be [19]

$$\Phi(z) \sim O(z^2), \quad z \to \infty.$$  

(2.9)

which guarantees the mesons have linear spectra. In order to obtain the spectral linearity of nucleons, the IR limit of the warp factor is [1]

$$a(z) \sim O(z), \quad z \to \infty.$$  

(2.10)

To have parallel mass-square lines between vector and axial-vector mesons we get the IR behavior of the scalar VEV

$$v(z) \sim O(z^{-1}), \quad z \to \infty.$$  

(2.11)

Now we use simple parametrization to smoothly connect these asymptotes from UV to IR as follows.

$$\Phi(z) = \kappa^2 z^2,$$  

(2.12)

$$a(z) = \frac{1 + \mu z^2}{z},$$  

(2.13)

$$v(z) = \frac{A z + B z^3}{1 + C z^4}.$$  

(2.14)

The parameters are determined by fitting the experimental data of the pseudoscalar, scalar, vector and axial-vector meson masses. We take their values as

$$A = 3.2 \text{ MeV}, \quad B = (394.5 \text{ MeV})^3, \quad C = (786.5 \text{ MeV})^4;$$

$$\mu = 1153.6 \text{ MeV}, \quad \kappa = 413.1 \text{ MeV}, \quad \lambda = 5.99.$$  

(2.15)

In the following sections we will use them to calculate mass spectra and compare with the experimental data.

### 2.2 Quadratic order action

To get the fluctuation filed we define

$$X = \left( \frac{v}{2} + S \right) e^{2iP},$$  

(2.16)
here $S$ is a real scalar and $P$ is a real pseudoscalar, and $X,S,P$ are all $2 \times 2$ matrices.

Next we define

$$V_M = \frac{1}{2} (L_M + R_M), \quad A_M = \frac{1}{2} (L_M - R_M).$$

(2.17)

We expand the action (2.2) to the quadratic order of these new fields

$$S^{(2)}_M = \int d^4x dz \left( \mathcal{L}^{(2)}_{P,A_5} + \mathcal{L}^{(2)}_S + \mathcal{L}^{(2)}_V + \mathcal{L}^{(2)}_A \right)$$

(2.18)

Each of them is as follows

$$\mathcal{L}^{(2)}_{P,A_5} = -\frac{1}{2} \alpha^3 v^2 e^{-\Phi} P^a \partial^2 P^a - \frac{1}{2} \alpha^3 v^2 e^{-\Phi} (\partial_5 P^a - A_5^a)^2 - \frac{1}{2g_5^2} \alpha e^{-\Phi} A_5^a \partial^2 A_5^a,$$

(2.19)

$$\mathcal{L}^{(2)}_S = -\frac{1}{2} \alpha^3 e^{-\Phi} S^a \left\{ \partial^2 - \frac{1}{\alpha^3 e^{-\Phi}} \partial_5 (\alpha^3 e^{-\Phi} \partial_5) + m_X^2 a^2 - \frac{3}{4} \lambda a^2 v \right\} S^a,$$

(2.20)

$$\mathcal{L}^{(2)}_V = -\frac{1}{2g_5^2} \alpha e^{-\Phi} V^a_{\mu} \left\{ -\eta^\mu_\nu \partial^2 + \partial^\mu \partial^\nu + \frac{1}{\alpha e^{-\Phi}} \partial_5 (ae^{-\Phi} \partial_5) \eta^\mu_\nu \right\} V^a_{\nu},$$

(2.21)

$$\mathcal{L}^{(2)}_A = -\frac{1}{2g_5^2} \alpha e^{-\Phi} A_\mu^a \left\{ -\eta^\mu_\nu \partial^2 + \partial^\mu \partial^\nu + \frac{1}{\alpha e^{-\Phi}} \partial_5 (ae^{-\Phi} \partial_5) \eta^\mu_\nu - g_5^2 \alpha^2 v^2 P^a \right\} A^a_{\nu}.$$  

(2.22)

Some cross-terms have been canceled by gauge fixing terms

$$\mathcal{L}_{G.F.} = -\frac{ae^{-\Phi}}{2g_5^2 \xi_V} \left\{ \partial^\mu V^a_{\mu} - \frac{\xi_V}{ae^{-\Phi}} \partial_5 (ae^{-\Phi} V^a_{5}) \right\}^2 - \frac{ae^{-\Phi}}{2g_5^2 \xi_A} \left\{ \partial^\mu A^a_{\mu} - \frac{\xi_A}{ae^{-\Phi}} \partial_5 (ae^{-\Phi} A^a_{5}) + g_5^2 \xi_A a^2 v^2 P^a \right\}^2.$$  

(2.23)

By using the unitary gauge $\xi \to \infty$ as in [10], we have

$$\partial_5 (ae^{-\Phi} V^a_{5}) = 0,$$

(2.24)

$$\partial_5 (ae^{-\Phi} A^a_{5}) = g_5^2 \alpha^3 v^2 e^{-\Phi} P^a.$$  

(2.25)

we can write $P^a$ in terms of $A^a_{5}$. Then equation (2.19) becomes

$$\mathcal{L}^{(2)}_{A_5} = -\frac{1}{2g_5^2} ae^{-\Phi} A^a_{5} \partial^2 D^2 A^a_{5} - \frac{1}{2} \alpha^3 v^2 e^{-\Phi} (D^2 A^a_{5})(D^2 A^a_{5}),$$

(2.26)

and the quadratic order differential operator $D^2$ is defined by

$$D^2 f = -\partial_5 \left( \frac{\partial_5 (ae^{-\Phi} f)}{g_5^2 \alpha^3 v^2 e^{-\Phi}} \right) + f.$$  

(2.27)
2.3 Scalar mesons

Next we should use Kaluza-Klein expansion to get the 4D effective action:

\[ S(x, z) = \sum_{n=0}^{\infty} \phi^{(n)}(x) f^{(n)}_{S}(z), \quad (2.28) \]

where \( f^{(n)}_{S} \)'s are eigenfunctions of the following problem

\[ - \frac{1}{a^3 e^{-\Phi}} \partial_5 (a^3 e^{-\Phi} \partial_5 f^{(n)}_{S}) + (m_X^2 a^2 - \frac{3}{4} \lambda a^2 v) f^{(n)}_{S} = M^{(n)2}_{S} f^{(n)}_{S}. \quad (2.29) \]

with the boundary conditions

\[ f^{(n)}_{S} \big|_{z \to 0} = 0, \quad f^{(n)}_{S} \big|_{z \to \infty} = 0. \]

And the orthonormality condition is

\[ \int_{0}^{\infty} a^3 e^{-\Phi} f^{(n)}_{S} f^{(n')}_{S} dz = \delta_{nn'} \quad (2.30) \]

Then we insert (2.28) into (2.20) and do the integration over the \( z \)-coordinate, we get exactly an effective 4D action for a cluster of scalar fields \( \phi^{(n)} \). We can also transform the Sturm-Liouville equation (2.29) into a Schrödinger form as

\[ -\psi^{(n)}_{S}'' + V_{S} \psi^{(n)}_{S} = M^{(n)2}_{S} \psi^{(n)}_{S} \]

in which we introduce the \( V_{S} \) below. By setting \( f^{(n)}_{S} = e^{\omega_{S}/2} \psi^{(n)}_{S} \) with \( \omega_{S} = \Phi - 3 \log a \), the effective potential \( V_{S} \) for scalar mesons is

\[ V_{S} = \frac{1}{4} \omega_{S}^2 - \frac{1}{2} \omega_{S}'' + m_X^2 a^2 + \frac{3}{4} \lambda a^2 v^2. \quad (2.31) \]

Here we have

\[ V_{S} \sim O(z^2), \quad z \to \infty. \quad (2.32) \]

due to the background dilaton. The eigenvalue problem (2.29) cannot be solved analytically. We have to rely on numerical calculations. We use the former parameters listed in (2.15) to calculate the scalar meson masses. The result and comparison with experimental data are shown in Table 1. The agreement between the theoretical and experimental values is pretty well.

2.4 Pseudoscalar mesons

Similarly, we expand the field \( A_{5} \) in terms of its KK modes

\[ A_{5}(x, z) = \sum_{n=0}^{\infty} \pi^{(n)}(x) f^{(n)}_{P}(z), \quad (2.33) \]
with $f_P^{(n)}$ being the eigenfunction of the differential operator $D^2$

$$- \partial_5 \left( \partial_5 (a e^{-\Phi} f_P^{(n)}) \right) + f_P^{(n)} = \frac{M_P^{(n)2}}{g_5^2 a^2 v^2} f_P^{(n)},$$  \hspace{1cm} (2.34)

and with the boundary condition

$$\partial_5 (a e^{-\Phi} f_P^{(n)})|_{z \to 0} = 0, \quad f_P^{(n)}|_{z \to \infty} = 0.$$  \hspace{1cm} (2.35)

According to general theories of the Sturm-Liouville problem, we can normalize $f_P^{(n)}$ by the following orthonormality relation

$$\int_0^\infty \frac{e^{-\Phi}}{a v^2} f_P^{(n)} f_P^{(n')} \, dz = \frac{g_5^4}{M_P^{(n)2}} \delta_{nn'}.$$  \hspace{1cm} (2.36)

We can rewrite this eigenvalue problem in a Schrödinger form as in the scalar meson field. Define

$$p = \frac{1}{g_5^2 a^3 v^2 e^{-\Phi}}, \quad q = \frac{1}{a e^{-\Phi}},$$  \hspace{1cm} (2.37)

and $\psi_P^{(n)} = a e^{-\Phi} p^{1/2} f_P^{(n)}$, which satisfies the Schrödinger equation $-\psi_P^{(n)''} + V_P \psi_P^{(n)} = M_P^{(n)2} \psi_P^{(n)}$ with the effective potential

$$V_P = \frac{2 pp'' - p'^2 + 4pq}{4p^2}.$$  \hspace{1cm} (2.38)

Here we also have :

$$V_P \sim O(z^2), \quad z \to \infty$$

Then we find the asymptotical spectrum is linear with respect to the radial quantum number $n$. The resulting mass spectra are listed in Table 2.
Table 2: The experimental and theoretical values of pseudoscalar meson masses. The average error is 7.67%.

| n  | 0  | 1   | 2   | 3   | 4   |
|----|----|-----|-----|-----|-----|
| $m_{\text{exp}}$ | 139 | 1300 | 1816 | 2070 | 2360 |
| $m_{\text{th}}$ | 139 | 1662 | 1860 | 2040 | 2204 |
| error | 0.0% | 27.9% | 2.4% | 1.5% | 6.6% |

2.5 Vector mesons

With the same procedure as the scalar and pseudoscalar mesons, the field $V_\mu$ is expanded as:

$$V_\mu(x, z) = \sum_{n=0}^{\infty} \rho^{(n)}_\mu(x) f^{(n)}_V(z),$$

with $f^{(n)}_V$ being eigenfunctions of the following problem

$$-\frac{1}{ae^{-\Phi}} \partial_5 (ae^{-\Phi} \partial_5 f^{(n)}_V) = M^{(n)}_V f^{(n)}_V,$$

$$f^{(n)}_V|_{z \to 0} = 0, \quad f^{(n)}_V|_{z \to \infty} = 0.$$  \hspace{1cm} (2.40)

We normalize $f^{(n)}_V$ by the following orthonormality condition

$$\int_0^{\infty} ae^{-\Phi} f^{(n)}_V f^{(n')}_V dz = \delta_{nn'}.$$  \hspace{1cm} (2.41)

Then we can get the effective 4D action for a tower of massive vector fields $\rho^{(n)}_\mu$, which can be identified as the fields of $\rho$ mesons, with inserting (2.39) into (2.21) and integrating over the $z$-coordinate. Then we also transform (2.40) into a Schrödinger form, by setting $f^{(n)}_V = e^{\omega z^2} \psi^{(n)}_V$ with $\omega = \Phi - \log a$. The effective potential $V_V$ for vector mesons is

$$V_V = \frac{1}{4} \omega'^2 - \frac{1}{2} \omega''.$$  \hspace{1cm} (2.42)

It is also of order $O(z^2)$ in the deep IR region, i.e. $(z \to \infty)$, and gives us asymptotically linear spectra for vector mesons. The resulting mass spectra are listed in Table 3.

2.6 Axial-vector mesons

We expand the field $A_\mu$ in terms of its KK modes

$$A_\mu(x, z) = \sum_{n=0}^{\infty} \phi^{(n)}_\mu(x) f^{(n)}_A(z).$$

(2.43)
with \( f^{(n)}_A \) being eigenfunctions of the following problem

\[
- \frac{1}{ae^{-\Phi}} \partial_5 (ae^{-\Phi} f^{(n)}_A) + g_5^2 a^2 v^2 f^{(n)}_A = M^{(n)2} f^{(n)}_A, \\
f^{(n)}_A |_{z \to 0} = 0, \quad f^{(n)}_A |_{z \to \infty} = 0. \tag{2.44}
\]

The orthonormality condition for \( f^{(n)}_A \) is:

\[
\int_0^\infty ae^{-\Phi} f^{(n)}_A f^{(n')}_A dz = \delta_{nn'}. \tag{2.45}
\]

just as the same as vector mesons. Again, insert (2.43) into (2.22) and do the integration over the \( z \)-coordinate, we get exactly an effective 4D action for a tower of massive axial-vector fields \( a^{(n)}_\mu \), As what we do in the former steps, we rewrite (2.44) in a Schrödinger form, by setting \( f^{(n)}_A = e^{\omega/2} \psi^{(n)}_A \) with \( \omega = \Phi - \log a \). The effective potential \( V_A \) for axial-vector mesons is

\[
V_A = \frac{1}{4} \omega'^2 - \frac{1}{2} \omega'' + g_5^2 a^2 v^2. \tag{2.46}
\]

It also has the quadratic behavior at \( z \to \infty \), and asymptotically linear spectra follows. Note also that the last term is only \( O(1) \), so the first term dominate which results in the same spectral slope with that vector mesons. Having different slopes is a main drawback of our previous model [39, 40]. Now it has been removed by the proper choice of background fields. The theoretical and the experimental values of axial-vector mesons are listed in Table 4.

### Table 3: The experimental and theoretical values of vector meson masses. The average error is 6.61%.

| \( n \) | 0 | 1 | 2 | 3 | 4 | 5 | 6 |
|--------|---|---|---|---|---|---|---|
| \( m_{\text{exp}} \) | 775.5 | 1465 | 1570 | 1720 | 1909 | 2149 | 2265 |
| \( m_{\text{th}} \) | 982.9 | 1288 | 1533 | 1743 | 1930 | 2100 | 2257 |
| error | 26.8% | 12.1% | 2.4% | 1.3% | 1.1% | 2.3% | 0.4% |

3 Nucleon sector

To realize the spin-1/2 nucleon in the AdS/QCD, we can introduce two 5D Dirac spinors \( \Psi_{1,2} \) in the bulk as suggested in [15]. Each of them is also a isospin doublet. They are
| $n$ | 0   | 1   | 2   | 3   | 4   | 5   |
|-----|-----|-----|-----|-----|-----|-----|
| $m_{\text{exp}}$ | 1230 | 1647 | 1930 | 2096 | 2270 | 2340 |
| $m_{\text{th}}$  | 1438 | 1647 | 1844 | 2023 | 2188 | 2340 |
| error            | 16.9% | 0.0% | 4.4% | 3.5% | 3.6% | 0.0% |

Table 4: The experimental and theoretical values of axial-vector meson masses. The average error is 4.75%.

charged under the gauge fields $L_M$ and $R_M$ respectively. The action of nucleon sector is

$$S_N = \int d^5 x \sqrt{G} \left( \mathcal{L}_K + \mathcal{L}_I \right),$$

$$\mathcal{L}_K = i\bar{\Psi}_1 \Gamma^M \nabla_M \Psi_1 + i\bar{\Psi}_2 \Gamma^M \nabla_M \Psi_2 - m_\Psi \bar{\Psi}_1 \Psi_1 + m_\Psi \bar{\Psi}_2 \Psi_2,$$

$$\mathcal{L}_I = -g \bar{\Psi}_1 X \Psi_2 - g \bar{\Psi}_2 X^\dagger \Psi_1. \quad (3.1)$$

Here we have $\Gamma^M = e_A^M \Gamma^A = \delta^M_A \Gamma^A$ with $\{\Gamma^A, \Gamma^B\} = 2\eta^{AB}$. We choose $\Gamma^A = (\gamma^a, -i\gamma^5)$ with $\gamma^5 = \text{diag}(I, -I)$. The covariant derivatives for spinors are

$$\nabla_M \Psi_1 = \partial_M \Psi_1 + \frac{1}{2} \omega^A_M \Sigma_{AB} \Psi_1 - iL_M \Psi_1, \quad (3.2)$$

$$\nabla_M \Psi_2 = \partial_M \Psi_2 + \frac{1}{2} \omega^A_M \Sigma_{AB} \Psi_2 - iR_M \Psi_2. \quad (3.3)$$

Here $\Sigma_{AB} = \frac{1}{4} \{\Gamma_A, \Gamma_B\}$, and the nonzero components of the spin connection $\omega^A_M$ is $\omega^a_5 = -\omega^5_\mu = \frac{1}{2} \delta^a_\mu$.

### 3.1 Nucleon spectrum

The second order action is

$$S_N^{(2)} = \int d^5 x \sqrt{G} \left( \mathcal{L}_K^{(2)} + \mathcal{L}_I^{(2)} \right),$$

$$\mathcal{L}_K^{(2)} = \frac{1}{a} \sum_{i=1,2} \bar{\Psi}_i \left( i\gamma^\mu \partial_\mu + \gamma^5 \partial_5 + \frac{2a'}{a} \gamma^5 - m_\Psi a \right) \Psi_i, \quad (3.4)$$

$$\mathcal{L}_I^{(2)} = -\frac{1}{2}gv \left( \bar{\Psi}_1 \Psi_2 + \bar{\Psi}_2 \Psi_1 \right).$$

and we expand $\Psi_{1,2}$ in terms of their KK modes:

$$\Psi_1(x, z) = \left( \sum_n N_L^{(n)}(x) f_1^{(n)}(z) \right), \quad \Psi_2(x, z) = \left( \sum_n N_R^{(n)}(x) f_2^{(n)}(z) \right). \quad (3.5)$$
Here the \( N^{(n)}_{L,R} \) are two-component objects, which will be interpreted as the left-handed and right-handed parts of a tower of 4D nucleon fields respectively, that means

\[
N^{(n)}(x) = (N^{(n)}_L, N^{(n)}_R)^T
\]

(3.6)

when reducing to a 4D effective action. And we have the following equations which the four internal functions \( f^{(n)} \) satisfy

\[
\begin{pmatrix}
\partial_z - m_\Psi a + 2a'/a & -u(z) \\
-u(z) & \partial_z + m_\Psi a + 2a'/a
\end{pmatrix} \begin{pmatrix} f^{(n)}_{1L} \\ f^{(n)}_{2L} \end{pmatrix} = -M^{(n)}_N \begin{pmatrix} f^{(n)}_{1R} \\ f^{(n)}_{2R} \end{pmatrix},
\]

(3.7)

\[
\begin{pmatrix}
\partial_z + m_\Psi a + 2a'/a & u(z) \\
u(z) & \partial_z - m_\Psi a + 2a'/a
\end{pmatrix} \begin{pmatrix} f^{(n)}_{1R} \\ f^{(n)}_{2R} \end{pmatrix} = +M^{(n)}_N \begin{pmatrix} f^{(n)}_{1L} \\ f^{(n)}_{2L} \end{pmatrix}.
\]

(3.8)

with \( u(z) = \frac{1}{2}g_Y a(z)v(z) \). Note that these equations are general for any form of various background fields, so it is the generalization of the corresponding equations in [15]. The UV boundary conditions are [15]

\[
f^{(n)}_{1L}(z \to 0) = 0, \quad f^{(n)}_{2R}(z \to 0) = 0.
\]

(3.9)

The IR condition is as in [39], which is proper for soft-wall models

\[
f^{(n)}_{1R}(z \to \infty) = 0, \quad f^{(n)}_{2L}(z \to \infty) = 0.
\]

(3.10)

To reduce the 5D bulk action to 4D, we also need the following orthonormality condition

\[
\int_0^\infty a^4 f^{(n)}_{aL} f^{(n)}_{aL'} dz = \int_0^\infty a^4 f^{(n)}_{aR} f^{(n)}_{aR'} dz = \delta_{nn'}.
\]

(3.11)

From (3.7) and (3.8) it can be seen that only two of \( f \)'s are linear independent

\[
f^{(n)}_{2L} = -\epsilon f^{(n)}_{1R}, \quad f^{(n)}_{2R} = \epsilon f^{(n)}_{1L},
\]

(3.12)

where \( \epsilon = \pm 1 \) is the 4D parity. We can transform (3.7) and (3.8) into a two-component vector-valued Sturm-Liouville problem for \( f^{(n)}_L = (f^{(n)}_{1L}, f^{(n)}_{2L})^T \) or \( f^{(n)}_R = (f^{(n)}_{1R}, f^{(n)}_{2R})^T \). We can further rewrite the vector-valued Sturm-Liouville problem for e.g. \( f^{(n)}_L \) into a Schrödinger form \( -\chi^{(n)\prime}_L + V_N \chi^{(n)}_L = M^{(n)2}_N \chi^{(n)}_L \) by setting \( f^{(n)}_L = a^{-2} \chi^{(n)}_L \). The potential matrix \( V_N \) is

\[
V_N = \begin{pmatrix} m_\Psi^2 a^2 + (m_\Psi a)' + u^2 & u' \\
u' & m_\Psi^2 a^2 -(m_\Psi a)' + u^2 \end{pmatrix}.
\]

(3.13)
We also have similar equations for the right-handed fields.

Based on the similar arguments about the anomalous dimensions, we parametrize the bulk spinor mass also as a function of $z$ as

$$ m_\Psi = \frac{5}{2} + \mu_1 z \frac{1}{1 + \mu_2 z} \quad (3.14) $$

For we have the mass-dimensional relation for spinors

$$ m_\Psi = \Delta - 2 \quad (3.15) $$

So this parametrization gives the correct UV limit $5/2$, corresponding to the classical dimension $9/2$ of the baryon operator by the equation above. And at IR $m_\Psi$ will tend to a constant $\mu_1/\mu_2$, and this is also reasonable. By fitting the spin-1/2 nucleon mass we choose

$$ \mu_1 = 1.16 \text{ GeV}, \quad \mu_2 = 7.8 \text{ GeV}, \quad g_Y = 8.74 \quad (3.16) $$

The resulting mass spectra and the corresponding data are listed in Table 5.

| $n$ | $0$ | $1$ | $2$ | $3$ | $4$ | $5$ | $6$ |
|-----|----|----|----|----|----|----|----|
| $m_{\exp}$ | 939 | 1440 | 1535 | 1650 | 1710 | 2090 | 2100 |
| $m_{\text{th}}$ | 941 | 1402 | 1536 | 1767 | 1819 | 2026 | 2057 |
| error | 0.2% | 2.6% | 0.1% | 7.1% | 6.4% | 3.1% | 2.0% |

Table 5: The experimental and theoretical values of the spin-1/2 nucleon masses. The average error is 3.06%.

## 4 Summary

In this paper we further develop the model proposed in [1]. The main motivation of this model is to correctly reproduce the observed spectral pattern of both mesons and nucleons. In the original soft-wall model the quadratic dilaton is introduced for the linear spectra of mesons. To further constrain the IR behavior of other background fields, $a(z)$ and $v(z)$, we need to consider more spectral details. Two key facts which help us to fix this is: (1) nucleons also have linear spectra, and (2) various meson sectors have the same spectral slopes. Combining these two requires $a(z) \sim O(z)$ and $v(z) \sim O(z^{-1})$ as $z \to \infty$. In the present work we include a quartic potential for the bulk scalar to improve our model, and carefully study the spectra of various mesons and nucleons. The agreement between the
theoretical calculation and the experimental data is rather good. Actually it can be easily
generalized to include more baryon sectors, e.g. the $\Delta$. These discussions show a way to
consistent to consider mesons and baryons simultaneously in one AdS/QCD model. The
problem that they need different IR cutoffs in the hard-wall model disappears here just
by definition. It is a proper setup to further study meson-baryon interactions in future
works.

Acknowledgements

We appreciate Prof. Y.-C. Huang for his encouragement. SL would also like to thank
K. Zhao and Suzanna Meng for insightful discussions, and Prof. V. Ledoux for her help
about the MATSLISE package.

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