In situ plasmonic optical fiber detection of the state of charge of supercapacitors for renewable energy storage

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Abstract

In situ and continuous monitoring of electrochemical activity is key to understanding and evaluating the operation mechanism and efficiency of energy storage devices. However, this task remains challenging. For example, the present methods are not capable of providing the real-time information about the state of charge (SOC) of the energy storage devices while in operation. To address this, a novel approach based on an electrochemical surface plasmon resonance (SPR) optical fiber sensor is proposed here. This approach offers the capability of in situ comprehensive monitoring of the electrochemical activity (the electrode potential and the SOC) of supercapacitors (used as an example). The sensor adopted is a tilted fiber Bragg grating imprinted in a commercial single-mode fiber and coated with a nanoscale gold film for high-efficiency SPR excitation. Unlike conventional "bulk" detection methods for electrode activity, our approach targets the "localized" (sub-μm-scale) charge state of the ions adjacent to the electrode interface of supercapacitors by monitoring the properties of the SPR wave on the fiber sensor surface located adjacent to the electrode. A stable and reproducible correlation between the real-time charge–discharge cycles of the supercapacitors and the optical transmission of the optical fiber has been found. Moreover, the method proposed is inherently immune to temperature cross-talk because of the presence of environmentally insensitive reference features in the optical transmission spectrum of the devices. Finally, this particular application is ideally suited to the fundamental qualities of optical fiber sensors, such as their compact size, flexible shape, and remote operation capability, thereby opening the way for other opportunities for electrochemical monitoring in various hard-to-reach spaces and remote environments.

Introduction

Renewable energy produced by the sun, ocean, and wind has been considered to be a cleaner technology than widely used fossil fuel-based energy sources. However, it is impossible to supply these forms of renewable energy steadily and continuously. Therefore, energy storage devices, such as supercapacitors and batteries, are commonly used for irregularly producing clean energy sources. Among these, supercapacitors offer advantages such as fast-charging, high energy density, and long life cycle storage solutions. According to the charge storage mechanism, supercapacitors can be generally classified into two types. One is the electric double layer capacitor (EDLC), which stores energy through charge absorption/desorption on the surface of an electrode. The other is the pseudocapacitor, which stores energy by electron transfer between an electrode and an electrolyte.
through electrochemical reactions, i.e., the fast and reversible redox reactions occurring on the surface of the electrodes \(^{10-14}\).

In order to better understand the operation mechanisms and improve the charge efficiency of supercapacitors, continuous monitoring of the working state of supercapacitors is necessary. For instance, transmission electron microscopy (TEM) has been widely used to observe dynamic processes within batteries during charging and discharging \(^{15-19}\). Additionally, Cui’s group proposed cryo-electron microscopy (cryo-EM) to monitor the atomic structure and interfaces of the battery materials in order to obtain a comprehensive understanding of the mechanisms of energy storage \(^{20}\). However, both methods employ expensive and bulky instrumentation that are not practical for general applications or routine use. The more common supercapacitor monitoring methods (like cyclic voltammetry (CV) and galvanostatic charge–discharge method) are based on off-line measurements of current and voltage data to yield a “calculated capacity” that may not reflect the exact and instantaneous state of charge (SOC) of the supercapacitors. Furthermore, the reliability of those results can deteriorate over repeated cycling processes \(^{21}\). Therefore, the development of easy-to-use, practical methods for the measurement of the SOC in real time becomes essential for field monitoring of supercapacitors \(^{22}\).

Electrochemical surface plasmon resonance (EC-SPR) offers a promising way to simultaneously explore optical and electrochemical properties of the chemicals involved in redox reactions at surfaces. Optically excited and probed plasmon waves provide an increased sensitivity to chemical changes due to the strong localization of electromagnetic energy in the layer immediately adjacent to the metal surface \(^{23}\). Any perturbation in this layer, such as the bonding of target analytes to receptor molecules, modifies the local complex refractive index and therefore the plasmon phase velocity and attenuation distance \(^{24}\). Recent studies in this field include plasmonic imaging of surface charge density \(^{25}\), single nanoparticle electrochemistry \(^{26}\), and plasmonic monitoring of the charge status of small molecules \(^{27, 28}\). However, these methods are typically carried out using free space optics and a bulky glass prism, which prevent their applications for in situ monitoring of SOC of supercapacitors.

Herein, we report the first application of in situ monitoring of the electrochemical activity in supercapacitors using plasmonic optical fiber sensors. We demonstrate that there is an intrinsic relationship between the SOC of supercapacitors and the measured changes in the optical properties of surface plasmon waves on the fiber surface. The optical fiber sensor demonstrated here consists of a tilted fiber Bragg grating (TFBG) imprinted in the core of a commercial single-mode fiber typical of those used in telecommunications, provided with an additional nanometer-scale gold coating to support plasmon waves \(^{29}\). Such plasmonic optical fiber sensor is cost-effective and much simpler to interrogate compared to the well-established bulky prism configurations discussed above. Its compact size makes it possible to be inserted into various hard-to-reach environments for in situ detection either as a hand-held probe or as a set of remotely operated devices fixed at various locations in the supercapacitor along a fiber-optic cable. Another particular advantage of the TFBG platform is a means to mitigate temperature cross-sensitivity: as will be demonstrated, the optical spectrum of the devices used contains a feature corresponding to light remaining in the core of the fiber (the “Bragg resonance”) and thus is inherently insensitive to changes external to the fiber, apart from temperature and strain. Strain effects can be eliminated by suitable packaging while temperature effects can be calibrated out by using the Bragg resonance as a thermometer (a widely used application of FBGs) \(^{29}\). For chemical changes immediately adjacent to the metal surface of the TFBG, however, the associated modification of the complex refractive index has a very strong impact on the phase velocity and attenuation of the SPR, an effect that is clearly reflected in the measured transmission of specific, high Q-factor resonances of the device. The sensor fabrication process, i.e., UV-light grating-inscription and surface nanometer-sized coating, does not affect the structural integrity of the fiber, hence ensuring the sensor robustness and reproducibility. Finally, the relationship between the sensor response and the SOC of the supercapacitors is found to be highly reproducible. The information provided by this kind of sensor will be beneficial to understanding and evaluating the performance of supercapacitors in active service.

**Results**

**Optical SPR response of TFBGs to the charging/discharging of supercapacitors**

As discussed above, the position and amplitude of the SPR in the spectrum of a TFBG are directly related to the complex permittivity close to and in the metal film itself. Therefore, when the gold-coated optical fiber sensor is closely attached to the surface of the electrode, the change of charge density and ions distribution (corresponding to the SOC of supercapacitors) around the electrode can be directly monitored by reading the changes of the SPR spectrum of the sensor. Figure 1a (left) presents the CV curve measured in one cycle. The electronic polarization state of the metal film at three potential points, \(-0.8\, \text{V}, 0\, \text{V}, \text{and} +0.8\, \text{V}\), is considered. At point iii (\(+0.8\, \text{V}\)), a large quantity of negative charges was attracted to the positive electrode. Thus, the gold film over the fiber was electronically polarized under the effect of interface capacitance.
in the supercapacitor. At point ii (0 V), the gold film was at the steady state and the supercapacitor was not charged. Finally, at point i (−0.8 V), the charging polarity on the electrode surface turns to positive so that an opposite electronic polarization would appear. During the CV curve measurements, optical SPR spectra were recorded simultaneously, and these results are shown in Fig. 1b. In such spectra, the “best” resonances to monitor are those within the SPR attenuation region (blue-shaded in Fig. 1b) but not so attenuated as to become ill-defined and broadened. The resonance near 1556.3 nm (marked with an asterisk in Fig. 1b) was chosen here because it is clearly attenuated by the transfer of energy to the plasmon, but it remains well-defined with a full width at half maximum on the order of 0.1 nm, yielding a resonance Q-factor of 15,000. As a first indication of the sensor response, the left inset of Fig. 1b shows a clear correspondence between the amplitude of the resonance and the electronic polarization of the gold layer: the resonance becomes deeper (shallower) for positive (negative) polarities, relative to the zero potential state. In addition, the right inset provides a zoomed-in view of the Bragg resonance (yellow shaded) during polarity cycling, demonstrating that the core mode is totally insensitive to the electrochemical changes, and therefore, its spectrum can be used as a power and wavelength reference to remove the impact of any system instability (or in the case of the wavelength, it acts as an in situ thermometer).

Figure 2 presents a more detailed investigation during a rapid CV measurement of a positive polarity cycle of the supercapacitor from 0 to +0.8 V over just 1 min. Figure 2a, b shows the SPR and Bragg resonances at various points during cycling while Fig. 2c summarizes the amplitudes of the resonances as a function of time. The
SPR spectral response is clearly only related to the SOC of the supercapacitor regardless of whether the supercapacitor is charging or discharging (the Bragg resonance remains unaffected). It is now obvious that by correlating the spectral results to the recorded electrochemical curve (the CV measurement over one cycle shown in the inset of Fig. 2c), the real time in situ information of the SOC of supercapacitors can be obtained, as will be demonstrated.

**SOC monitoring**

In order to verify the suitability of the proposed sensor for the monitoring of the SOC of a supercapacitor, three types of charging/discharging tests were employed: the normal voltammetry test, the galvanostatic charge/discharge test, and the high voltage hold test. The results are presented in Figs. 3 and 4.

CV curves measured at three different scan rates, i.e., 10, 15, and 20 mV s⁻¹, were collected, shown in Fig. 3a, while the corresponding SOC was calculated from the current ($C = \int I dt$, where $I$ is the instant current and $t$ is the corresponding time in the CV curves), shown in Fig. 3b. The upper part of the CV curves (Fig. 3a) represents the charging process, in which the ions accumulate around the positive electrode of the supercapacitor and cause an increase of the stored charge. Figure 3a, b confirms that the SOC decreases slightly with the scanning rate increase. This result is opposite to the general belief as both ion movement in the electrolyte and the intercalating process on the electrode take time. The SPR spectra of the developed sensor corresponding to the three voltammetry tests at different scanning rates were recorded. The variations of SPR intensity during the charging and discharging process

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**Fig. 2** Detailed charging and discharging of the supercapacitor from 0 to 0.8 V. a Spectral response of the selected SPR resonance (the red arrow indicates the variation trend of the SPR spectral feature with time) and (b) unchanged core Bragg resonance. The electrochemical curve and the optical response are synchronously recorded. c Intensity change of the selected SPR resonance (blue dot) and the core Bragg resonance (red block) corresponding to one cycle of the CV curve of the supercapacitors at a scan rate of 10 mV s⁻¹ (shown in the inset). The symbol ① represents the process of charging. Conversely, the symbol ② represents the process of discharging.
were calculated and are presented in Fig. 3c. Figure 3d shows the relationship between the maximum stored charge \( (C_{\text{max}}) \) and the corresponding SPR intensity variation \( (\Delta \text{SPR}) \) for three repetitions of the measurements at each scan rate. A linear fit of the data indicates a relationship between \( C_{\text{max}} \) (in mC) and \( \Delta \text{SPR} \) (in dB) expressed as \( \Delta \text{SPR} = -0.036 \times C_{\text{max}} - 2.07 \times 10^{-5} \), with a regression coefficient \( R^2 \) of 100% and repeatability of 97.5% \((1 - \Delta \text{SPR}_{\text{error}}/\Delta \text{SPR}_{\text{average}})\). It should be noted that the above SPR intensity variation \( (\Delta \text{SPR}) \) is essentially caused by the charging of the supercapacitor (the electrode), not the charging of the gold on the optical fiber. Figure S2 clearly demonstrates this point by providing the experimental comparison results between the optical fiber SPR...
responses for supercapacitor monitoring with and without electrochemical capacitive material (MnO₂) on the surface of carbon fabrics.

Similar phenomena can be observed for the results obtained from the galvanostatic charge–discharge (GCD) tests (Fig. 3e, f). When the high voltage of the charging process is fixed, the stored charge in supercapacitors is determined by the current flowing through the electrode. In this test, three currents were employed, i.e., 0.2, 0.3, and 0.4 mA. When the current is lower, more charges can be gradually accumulated on the surface or in the inner layer of the pseudo-capacitive electrode. Thus, the stored charge at the current of 0.2 mA reaches the highest value among the three different currents. Additionally, the intensity change in the SPR response is higher because the corresponding stored charge is larger (Fig. 3g), strongly supporting the result of the CV measurement. A linear fit of the relationship between C_max and ΔSPR in this test, also based on three repetitions, results in the following: ΔSPR = −0.039 × C_max + 2.75 × 10⁻² (Fig. 3h), with R² = 97.2% and a repeatability of 95.8%.

In the high voltage hold test, the sensor was subjected to a galvanostatic charge test with different ending voltages and then retained this voltage for 2 min. The testing results are shown in Fig. 3i–l. It can be noted from Fig. 3i, j that the stored charge increases with the holding voltage between 0.6 and 0.8 V. For this case, the fitting result between C_max and ΔSPR is ΔSPR = 0.037 × C_max −4.68 × 10⁻³, with R² = 96.4% and a repeatability of 94.7%.

This method can also be used under faster charging and discharging speeds. As presented in Figures S3 and S4, in situ CV and GCD measurements at much higher charging/discharging currents (2–4 mA) and faster scan speeds (200–400 mV s⁻¹) were performed (both are 10 times higher than for the data in Fig. 3). These results show that under the CV and GCD tests, our system can effectively work at higher charging/discharging speeds. By calculating from the CV and GCD curves, the maximum SPR intensity variation corresponding to the maximum charge stored in the supercapacitor under different scan rates and currents was linearly fitted and presents excellent linearity and similar sensitivities (Figure S4). It should be noted that the value of sensitivity is a little lower than that under slow scan rates and lower current, as seen in Fig. 3. This slight difference should be calibrated for different cases of in-field applications. The present interrogation speed is highly limited by the optical spectrum analyzer (because of interrogation based on spectrum acquisition). This problem can be definitely solved by using a real-time interrogation scheme based on power measurement in a narrow band of the optical spectrum. In this case, a tunable laser (TLS) can be used as a source instead of a broadband source, together with a photodiode (PD) as detector and an analog-to-digital converter (A/D),...
to obtain the desired data (to replace the optical spectrum analyzer). The function of the TLS is to probe the transmission at the wavelength of the most sensitive mode of the fiber grating (the SPR mode here), determined by initial calibration with a spectrum analyzer. This technique relies on the principle of edge filtering so that the optical power change is produced as a result of the wavelength shift of the mode with respect to the fixed wavelength of the laser source.

The results in Fig. 3 indicate that the charge stored in the supercapacitor can be inferred from transmission changes at the SPR wavelength of the TFBG spectrum, regardless of the method used to determine the charge/ΔSPR relationship, estimated to be $3.7(\pm 0.15) \times 10^{-2}$ dB mC$^{-1}$ (however, the value of $3.6 \times 10^{-2}$ dB mC$^{-1}$ obtained by voltammetry appears to be more reliable in view of the fitting results). So, once a particular sensor is calibrated, it can be used to follow the SOC in a supercapacitor during its life cycle without having to interrupt operations to carry out electrical testing.

In order to further support the statement just made, repeated charging/discharging cycling tests were performed. In Fig. 4a, b, the CV curves of 5 cycles of voltammetry at a scan rate of 20 mV s$^{-1}$ and the corresponding sensor SPR intensity are presented. Similar measurements were carried out for GCD tests at 0.4 mA of current (Fig. 4c, d) and GCVH tests at 0.8 V and 0.4 mA (Fig. 4e, f). In all cases, little or no decay in the SPR response can be observed over the cycles presented (with the exception of a small drift of the zero charge SPR transmission intensity level in the CV test, with no apparent impact on the measurement of the maximum charged state near $-0.42$ dB).

**Discussion**

In this work, a novel method for the in situ monitoring of the capacity stored in supercapacitors was proposed for the first time. The method is based on a plasmonic TFBG sensor that is attached to one of the electrodes of the supercapacitor under testing. It was found that the SPR spectrum of the developed sensor clearly follows the charging and discharging processes of the supercapacitor. The CV, GCD, and GCVH tests demonstrated that the SPR response to stored charge changes can be correlated quantitatively to the charge stored in the supercapacitor. Furthermore, the SPR response to stored charge changes...
was demonstrated to be stable over several cycles of charge and discharge. As a result, the proposed device provides a new approach in the study of the charging/discharging process of supercapacitors. In addition, since the TFBG sensor proposed is made from silica glass and gold metal and since it operates exclusively with light signals carried to and from the sensor by standard telecommunication-grade optical fibers, with suitable packaging, it is expected to be robust enough to remain attached to the supercapacitor electrodes in operation and (following an initial calibration) to provide real-time remote monitoring of the SOC of supercapacitors used for power supply regulation from renewable energy sources.

Materials and methods

Sensing system

The all-fiber-coupled EC-SPR fiber-optic sensing system employed is shown in Fig. 5a and comprises a broadband light source (BBS) with bandwidth from 1250 to 1650 nm, a polarizer, a polarization controller (PC), a circulator, a plasmonic optical fiber sensing probe, and an optical spectrum analyzer (OSA). An electrochemical workstation is used for performing conventional electrochemical measurements and collecting supercapacitor data to be correlated to the optical measurements. A computer was used to collect simultaneous data from both systems as the supercapacitor cycled through charge and discharge. Figure 5b presents the detailed configuration of the measurement system containing a supercapacitor (two MnO$_2$@carbon fabric electrodes in liquid electrolyte, with an area of 3 cm$^2$ soaked in electrolyte) and a plasmonic fiber-optic sensing probe coated with a nanometer-scale gold film. The entire plasmonic fiber-optic sensing probe is very compact, with a size of 30 mm in length and 125 μm in diameter (Fig. 5c). The probe can be tightly attached to any electrode of the supercapacitor.

Supercapacitor

The scanning electron microscopy (SEM) images in Fig. 5d, e show the morphology of the uniform MnO$_2$ nanosheets stacked over the surface of the carbon fiber fabric. A solution containing 0.1 M MnAc$_2$ and 0.1 M NaAc was used to provide Mn ions. The carbon fabric (area of 1 × 3 cm$^2$) was soaked into the Mn ions solution and a constant current density of 1 mA cm$^{-2}$ was applied. The MnO$_2$ was synthesized by an electrodeposition method that used a three-electrode system with Ag/AgCl as the reference electrode and Pt as the counter electrode. The whole synthesis process was achieved in 5 min.

Figure 5f shows the configuration of the supercapacitor and plasmonic optical fiber sensor. The supercapacitor used here is a pseudo-capacitor. It stores electrical energy on the basis of an electrical double layer effect over the material surface and fast bi-dimensional redox reactions in a very thin electrode surface layer. Sensing is based on the fact that the plasmon waves excited by the grating in the core of the optical fiber probe have a high percentage of their propagating power localized in a 1-μm-thick layer above the metal surface. Therefore, when the fiber probe is positioned in the layer where the redox reactions occur, there are observable changes in the SPR optical spectrum.

Fabrication of TFBG-based SPR optical fiber sensor

TFBG probes were manufactured in commercial photosensitive single-mode fiber (provided by Corning Incorporated) using a well-established technique described in ref. 27. Specifically, the TFBG was manufactured using the phase-mask technique by shining UV light pulses from an excimer laser (at a wavelength of 193 nm

![Fig. 6 Electrochemical surface-plasmon-resonance sensing principle and experimental demonstration with an gold-coated TFBG optical fiber sensor.](image-url)
metal coating and transfer energy to an SPP wave of the outside interface of the metal when two necessary conditions are satisfied: (1) the propagation constant of the cladding mode equals that of the SPP for that particular combination of metal and surrounding medium; and (2) the polarization of the light must be perpendicular to the metal surface, i.e., TM like\textsuperscript{31, 32}. Only a small subset of the cladding modes of any fiber can meet these conditions.

The propagation constant $\beta_{\text{SPP}}$ of SPP is expressed as:

$$\beta_{\text{SPP}} = \frac{\omega}{c} \sqrt{\varepsilon_m \varepsilon_s}$$

where $c$ is the speed of light in vacuum, $\omega$ is the angular frequency of the light, and $\varepsilon_m$ and $\varepsilon_s$ are the complex relative permittivities of the metal film and the surrounding material adjacent to the metal interface where the SPP is located, respectively.

On the other hand, the propagation constants $\beta_{\text{clad},i}$ of cladding modes (labeled by the subscript $i$) in a standard fiber with a cladding diameter on the order of 100 times the wavelength can take a large, closely spaced set of values, and the associated fields have widely different polarization properties. The phase-match condition between propagation constants can be expressed as

$$\beta_{\text{SPP}} = \beta_{\text{clad},i} = 2\pi N_{\text{eff},\text{clad},i}/\lambda$$

where the last equality introduces $N_{\text{eff},\text{clad},i}$, which is defined as the effective index of the $i$\textsuperscript{th} cladding mode at wavelength $\lambda$\textsuperscript{29}.

Finally, the phase-matching condition can be observed and measured with great accuracy from the transmission spectrum of a fiber grating because of the one-to-one relationship between effective indices (hence propagation constants) of modes and their resonance wavelengths in the spectrum, expressed by the following additional phase-matching rule:

$$\lambda_{\text{clad},i} = \left( N_{\text{eff},\text{clad},i}^2 + N_{\text{core}}^2 \right)^{1/2}$$

where $N_{\text{core}}$ is the effective index of the input core mode and $\Lambda$ is the period of the grating (measured along the fiber axis, i.e., not equal to the distance between the tilted grating planes). As can be seen in the measured spectra in Fig. 7 (left), there are many such resonances corresponding to the set of modes supported by the relatively large cladding. A first discrimination between modes is provided by using polarized input core mode light. It was demonstrated that with input light polarized parallel to the inclination plane of the grating (P-polarized), the excited cladding modes have electric fields polarized radially at the surface of the cladding and can thus excite surface plasmons, while the orthogonal input polarization
Spectrum at specific wavelengths, Eqs. 1–3 provide a direct link between these wavelengths and the permittivity of the medium just above the metal layer (ε_m). If that permittivity changes, the corresponding resonance position and amplitude will change as well. Such changes include the formation of a new material layer on top of the gold, such as in the well-known and widely applied affinity studies for biomolecules and also in the measurements of faradic currents and double layer charging currents in the metal film itself leading to charge density changes in the metal film and modifications of ε_m. The latter phenomenon is commonly named electrochemical surface plasmon resonance (EC-SPR), and it is a powerful tool to study and identify the electrochemical activity of the “surface” and “localized” charge state of the ions adjacent to the electrode interfaces. Simulations similar to those shown in Fig. 7 reveal that up to 70% of the light power of cladding modes phase matched to plasmons propagating as a bound wave in the external medium, while the “normal” evanescent waves of the cladding modes of bare fiber (with the same TFBG inside) can only carry between 2 and 5% of the mode power.

Finally, another factor important in the current device is that it operates in near infrared instead of the more commonly used visible wavelengths for SPR applications. This extends the penetration depth of the fields of plasmon waves from the 200–300 nm range to more than 1 μm, a more suitable distance for monitoring the electrochemical activities of ions just over the surface of the electrodes.

Data availability
The data that support the findings of this study are available from the corresponding authors upon request.

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Authors' contributions
T.G. and W.J.M. conceived the sensors, supervised the project, and analyzed the data. J.L. and P.S. carried out the experiments. Theoretical analyses were done.
by G.X. and J.A. All authors contributed to the preparation of the manuscript. J. L. and P.S. equally contributed to this work.

**Conflict of interest**
The authors declare that they have no conflict of interest.

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