The Associations of Serum S100A9 with the Severity and Prognosis In Patients With Community-Acquired Pneumonia: A Retrospective Cohort Study

Hong-Yan Liu
Anhui Medical University

Hui-Xian Xiang
Anhui Medical University

Ying Xiang
Anhui Medical University

Zheng Xu
Anhui Medical University

Chun-Mei Feng
Anhui Medical University

Jun Fei
Anhui Medical University

Lin Fu (fulindev@126.com)
Anhui Medical University

Hui Zhao
Anhui Medical University

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Abstract

**Background:** Previous studies found that S100A9 may involve in the pathophysiology of community-acquired pneumonia (CAP). However, the role of S100A9 in the CAP was unclear. The goal was to explore the correlations of serum S100A9 with the severity and prognosis of CAP patients based on a retrospective cohort study.

**Methods:** A total of 220 CAP patients and 110 control subjects were recruited. Demographic and clinical data were extracted. Serum S100A9 and inflammatory cytokines were measured.

**Results:** Serum S100A9 was elevated in CAP patients on admission. Furthermore, serum S100A9 was gradually elevated parallelly with CAP severity scores. Inflammatory cytokines were increased and blood routine parameters were changed in CAP patients compared with control subjects. Correlation analysis found that serum S100A9 was positively associated with CAP severity scores, blood routine parameters (WBC, NLR and MON) and inflammatory cytokines. Furtherly, logistical regression demonstrated that there were positive associations between serum S100A9 and CAP severity scores. Besides, the prognosis of CAP was tracked. Serum higher S100A9 on the early stage was positively correlated with the death of risk and hospital stay among CAP patients.

**Conclusion:** Serum S100A9 is positively correlated with the severity of CAP. On admission, serum higher S100A9 elevates the risk of death and hospital stay in CAP patients, suggesting that S100A9 may exert a certain function in the pathophysiology of CAP and regard as a serum diagnostic and managing biomarker for CAP.

1. Introduction

Pneumonia is a primary cause of morbidity and mortality all over the world, especially in children and in adults more than 60 years. Every year, 450 million people suffered from pneumonia and 1.3 million cases died of pneumonia [1–3]. *Streptococcus pneumoniae* (pneumococcus) is one of the commonest bacterial pathogens inducing community-acquired pneumonia (CAP), which results in substantial health and economic burden [5, 6]. Previous studies found that CAP accounts for about more than 60000 deaths, 1.2 million hospitalizations, 2.3 million emergency department visits and $10 billion in hospital costs in the United States every year [6, 7]. In order to reduce the mortality and prevent CAP clinical deterioration, it is very necessary to diagnose the disease and evaluate the severity of CAP at the time of disease onset. Nevertheless, early recognition and evaluation the risk of CAP are difficult. The sensitivity and specificity of different biochemical markers and laboratory testing are variable and largely limited [8]. Therefore, additional new biomarkers are essentially needed to evaluate the severity and simplify the diagnosis progress.

S100A9, a small Ca$^{2+}$ binding protein recognized as an alarmin, is released by stressed cells: an endogenous danger signal, which promotes and exacerbates the inflammatory response [9]. S100A9 forms a complex with S100A8 (S100A8/S100A9 heterodimer) to exhibit different inflammatory effects
via toll-like receptor 4 (TLR4) [10, 11], scavenger receptor CD36 [12] and receptor of advanced glycated end products (RAGE) [13]. Released of S100A9 would activate several signaling pathways involved in the inflammatory reaction and exert important functions in a great deal of cellular processes [10, 14–16]. The previous studies found that S1009A9 is elevated in several diseases, such as neutrophil inflammation in asthma, insulin deficiency, atopic dermatitis and Parkinson's disease [17–20]. Moreover, our previous study found that S100A8/S100A9 is increased in COPD patients and is positively associated with inflammatory cytokines [21]. Therefore, these results indicate that S100A9 may be used as a biomarker for the diagnosis of disease.

The previous studies found that S100A9 was increased in the lung of patients with non-small Cell Carcinoma [22]. Perinatal inflammation exposure modified lung morphogenesis, elevated the levels of inflammatory cytokines and S100A9 in fetal mice [23]. TLR4/RAGE signaling was activated and S100A9 was increased under the pulmonary inflammation after endotoxemia injection [24]. These data indicated that S100A9 may play an important function in the pulmonary diseases. However, the role of S100A9 protein in CAP remains unknown. We think that S100A9 may involve in the pathogenesis of CAP. However, there is no clinical and experiment data demonstrating the physiological function of S100A9 in CAP. Therefore, the main purpose of current research was to analyze the correlations between serum S100A9 with the severity and prognosis in CAP patients based on a retrospective cohort study.

2. Methods

Subjects

This retrospective study was conducted in the Second Affiliated Hospital of Anhui Medical University between May 1, 2018 to June 30, 2020. Altogether, 220 patients with CAP were recruited in the Department of Respiratory and Critical Care Medicine. Demographic and clinical data were collected from Electronic Medical Record System on admission. For CAP patients, the inclusion criteria met the criteria of CAP [25]; more than 14 years old; signed written inform consents. The exclusion criteria included: severely immunocompromised; pregnant women; patients with other pulmonary diseases, such as serious complications, COPD, lung cancer and asthma; hospital stay was less than five days. Healthily control subjects were enrolled from the physical examination center. In the beginning, 260 patients with CAP were enrolled. Two hundred and forty-six cased agreed to perform follow-up research. Twelve patients with incomplete information and fourteen patients who were lost were excluded. Finally, 220 patients with CAP involved in this research (Figure 1). The severity of pneumonia was assessed by the CAP severity scores, including pneumonia severity index (PSI), CURB-65 score, CRB-65 score, CURXO score and SMART-COP score. This study was approved by the Ethics Committee in Second Affiliated Hospital of Anhui Medical University. All subjects gave advanced written and oral agreement of their inclusion and signed a consent form in this study.

Enzyme-linked immunosorbent assay (ELISA)
Whole blood was collected and centrifuged on basis of our previous study [26,27]. Human inflammatory cytokines ELISA kits were obtained from Cusabio, Wuhan, China (https://www.cusabio.com/). Human S100A9 ELISA kits were purchased from Wuhan Colorful Gene Biological Technology Co. Serum S100A9 and inflammatory cytokines were measured according to standard methods [28,29].

**Statistical analysis**

All analysis was conducted with GraphPad Prism 5.0 and SPSS 19.0 software. Clinical features, cytokines and CAP severity scores were compared using Student’s t tests, Chi-square tests or Mann-Whitney U tests among different groups. Continuous variables were exhibited median and interquartile range (IQR). Association analysis were performed using linear regression and logistical regression. A two-sided \( P \)-value of less that 0.05 was regarded as statistically significant.

### 3. Results

#### Demographic and clinical data

In total, 220 CAP patients and 110 healthily control subjects were recruited and analyzed in the present study. As shown in Table 1, there was no notable different of age, gender and BMI between CAP patients and control cases. Routine blood test was detected between two groups. We found that white blood cell (WBC) and neutrophil were increased, lymphocyte was decreased in CAP patients. The ratios of platelet-lymphocyte (PLR), monocyte-lymphocyte (MON) and neutrophil-lymphocyte (NLR) were increased in patients with CAP (Table 1). Moreover, liver function and renal function were detected among all cases. As shown in Table 1, except for uric acid, no obvious different of liver function and renal function was observed in two groups. Besides, inflammatory cytokines were measured and compared in two groups. The levels of TNF-\( \alpha \), IL-1\( \beta \), IL-6 and CRP were elevated in CAP patients. Meanwhile, the severity of pneumonia was assessed with CAP severity scores. Among 220 patients with CAP, the median of PSI, CURB-65, CRB-65 and SMART-COP score was 2.0, 1.0, 94.0 and 2.0, respectively. Additionally, there was 92 (41.8%) severe patients in CAP group (CURXO score) (Table 1).

#### The levels of serum S100A9 in control subjects and CAP patients

Serum S100A9 was measured between CAP patients and control subjects. As shown in Figure 2A, serum S100A9 was dramatically increased in CAP patients compared with control subjects. Additionally, serum S100A9 was analyzed among different grades of CAP patients. As shown in Figure 2B, serum S100A9 was higher in \( \geq 3 \) score grade than in other grades based on CRB-65 score. According to SMART-COP score, serum S100A9 was higher in 7~8 score than in other grades (Figure 2C). Besides, we found that serum S100A9 was higher in severe CAP patients than those in mild CAP patients (CURXO score) (Figure
2D). What's more, serum S100A9 was lowest in 0~1 score and was highest in 3~5 score on the basis of CURB-65 score (Figure 2E). Furthermore, the level of serum S100A9 was increased in the grade of 3 than those in the grade of 1 and 2 based on PSI score. Serum S100A9 in the grade of 3 was highest (Figure 2F).

**Correlations of S100A9 with disease severity, blood routine parameters and inflammatory cytokines among CAP patients**

The correlations between S100A9 and CAP severity scores were analyzed among CAP patients. We found that serum S100A9 was positively correlated with CURB-65 ($r=0.501$, $P=0.001$), CRB-65 ($r=0.488$, $P=0.001$), PSI ($r=0.567$, $P=0.001$), CURXO ($r=0.502$, $P=0.003$) and SMART-COP ($r=0.475$, $P=0.001$) (Table 2). Additionally, the correlations between S100A9 and blood routine parameters were explored. As shown in Table 2, serum S100A9 was positively correlated with WBC ($r=0.297$, $P=0.003$), NLR ($r=0.274$, $P=0.006$) and MON ($r=0.277$, $P=0.012$). Meanwhile, we also observed the correlations between serum S100A9 and inflammatory cytokines. We found that there was a positive correlation between serum S100A9 with TNF-α ($r=0.248$, $P=0.001$), IL-1β ($r=0.273$, $P=0.001$) and CRP ($r=0.345$, $P=0.002$). Moreover, associations between serum S100A9 and CAP severity scores were further analyzed using univariate and multivariate logistic regression. The univariate logistic regression indicated that serum S100A9 was positively correlated with CURB-65 ($\beta=1.358$; 95% CI: 1.121, 1.652), CRB-65 ($\beta=1.223$; 95% CI: 1.025, 1.562), PSI ($\beta=1.325$; 95% CI: 1.056, 1.762), SMART-COP ($\beta=1.262$; 95% CI: 1.050, 1.462) and CURXO ($\beta=1.451$; 95% CI: 1.215, 1.864) (Table 3). Confounding factors were controlled and adjusted, the multivariate logistic regression was further used to analyze the associations between serum S100A9 and CAP severity scores. These results indicated that serum S100A9 was positively associated with PSI ($\beta=1.225$; 95% CI: 1.035, 1.562), SMART-COP ($\beta=1.212$; 95% CI: 1.065, 1.615) and CURXO ($\beta=1.116$; 95% CI: 1.011, 1.365) (Table 3).

**The association between serum S100A9 and the prognosis in CAP patients**

The level of serum S100A9 were compared between alive patients and dead cases. As shown in Figure 3A, serum S100A9 was increased in dead patients than those in alive CAP patients. Moreover, the levels of serum S100A9 was higher in 14 days than these in 8 days and 8~14 days (Figure 3B). Besides, the associations between serum S100A9 and the prognosis of CAP patients were analyzed using logistical regression. The univariate logistic regression revealed that serum S100A9 was positively associated with hospital stay ($\beta=1.159$; 95% CI: 1.062, 1.321) and the risk of death ($\beta=1.112$; 95% CI: 1.010, 1.336) (Table 4). In order to control confounding factors, the multivariate logistic regression was continued to performing. The results found that serum S100A9 was positively associated with the risk of death ($\beta=1.137$; 95% CI: 1.023, 1.312).

The predictive capacity of serum S100A9 for CAP
The predictive capacity of serum S100A9 was analyzed using receiver operating characteristic area under the curve (AUC). As shown in Figure 4A, the AUC of S100A9 for CAP was 0.788 (95% CI: 0.699, 0.878). The sensitivity and specificity of S100A9 in the prediction of CAP were 73.5% and 82.5%. Furthermore, the predictive capacity of serum S100A9 for severity was analyzed among CAP patients. We found that the AUCs for different biomarkers were as follows: CURB-65, 0.893 (95% CI: 0.832, 0.954); CRB-65, 0.886 (95% CI: 0.823, 0.950); PSI, 0.919 (95% CI: 0.891, 0.987); SMART-COP, 0.916 (95% CI: 0.932, 0.998); CURXO, 0.880 (0.810, 0.951); S100A9, 0.832 (95% CI: 0.715, 0.943) (Figure 4B). The optimal cut-off value of serum S100A9 level was 213.6 pg/mL with 76.0% sensitivity and 82.0% specificity.

4. Discussion

This study mainly analyzed the associations between serum S100A9 with the severity and the prognosis in CAP patients based on a retrospective cohort study. The present study mainly found that: (1) Serum S100A9 was elevated in CAP patients; (2) Serum S100A9 on admission was positively associated with CAP severity scores; (3) Serum S100A9 on admission was positively associated with inflammatory cytokines; (4) Serum higher S100A9 on admission elevates the risk of death and hospital stay in CAP patients.

Earlier studies found that S100A9 was elevated in several diseases, such as neutrophilic inflammation in asthma, insulin deficiency, atopic dermatitis and Parkinson's disease [17–20]. Moreover, a report from our laboratory indicated S100A8/S100A9 was increased in COPD patients and is positively associated with inflammatory cytokines [21]. However, the role of S100A9 in CAP and the associations between serum S100A9 and the severity of CAP were unknown. In the present study, we found that serum S100A9 was elevated in CAP patients. Moreover, serum S100A9 was gradually increased in parallel with the severity of CAP. Further logistic regression analysis found that serum S100A9 was positively associated with the severity of CAP. These results reveal that S100A9 may take part in the pathophysiology process of CAP.

Previous research found that inflammation was increased in CAP patients [30]. In this study, we also found that several inflammatory cytokines were elevated in CAP patients. Not only that, serum S100A9 was positively associated with inflammatory cytokines in CAP patients. Besides, some early studies revealed that blood routine indices were changed in CAP patients compared with control subjects [31, 32]. This research found that WBC and neutrophil were increased, lymphocyte was reduced. The ratios of platelet-lymphocyte (PLR), monocyte-lymphocyte (MON) and neutrophil-lymphocyte (NLR) were increased in patients with CAP. Further correlation analysis demonstrated that serum S100A9 was positively correlated with WBC, NLR and MON. These results suggested that the level of serum S100A9 may reflect the pathophysiologic conditions for CAP in a certain extent.

The association between serum S100A9 and the severity of CAP has been explored. However, the influence of S100A9 on the prognosis of CAP patients remain unclear. The present study analyzed the effect of S100A9 on the death of risk among CAP patients. These results found that the level of serum S100A9 was increased in dead patients on admission. Univariate and multivariate logistical regression
analysis verified that serum higher S100A9 elevated the risk of death of CAP patients. Moreover, we found that the longer hospital stay was, the higher serum S100A9 was. Univariate logistical regression analysis suggested that serum S100A9 was positively related with hospital stay in CAP patients on admission. These results proved that serum higher S100A9 on the early stage always indicated a serious prognosis for CAP patients. In order to confirm the predictive capacity, the ROC curve was analyzed. The AUC value of serum S100A9 for CAP was 0.788 (95% CI: 0.699, 0.878). In addition, the predictive quality of serum S100A9 and CAP severity scores for severity were similar. Besides, the predictive power of serum S100A9 was superior to several known biomarkers though literature review [31, 33]. Hence, these results provide additional evidence that serum S100A9 can be used as a better diagnostic biomarker for CAP.

This study mainly explored the relationship between serum S100A9 on the early stage with the severity and prognosis in CAP patients. However, there are several potential flaws in this study. Firstly, all CAP patients and control subjects were recruited from one hospital rather than from multicenter in China, the sample size was relatively small. So, the larger sample size and multicenter studies are needed in the future clinical research. Secondly, this was a hospital-based retrospective cohort study, it is necessary to perform a prospective cohort for us in the next work. Thirdly, this was only a clinical epidemiology research, the causal link between serum S100A9 and CAP is needed to further clarify with longitudinal studies and animal experiments. Fourthly, S100A9 was only detected in serum, the local levels of S100A9 in sputum and bronchoalveolar lavage fluid are unknown in CAP patients.

5. Conclusion

In summary, this study mainly analyzed the associations between serum S100A9 on admission with the severity and prognosis of CAP patients based on a retrospective cohort study. Our results revealed that serum S100A9 is elevated in CAP patients. In addition, serum S100A9 is positively associated with the severity of CAP on admission. We provide evidence that serum higher S100A9 at the early stage elevates the risk of death and hospital stay of CAP patients. Therefore, S100A9 may be regarded as a diagnostic biomarker and is useful for the clinical management of CAP in the future clinical practice.

Abbreviations

CAP: community-acquired pneumonia; WBC: white blood cell; NLR: neutrophil-lymphocyte ratio; MON: monocyte-lymphocyte ratio; PLR: platelet-lymphocyte ratio; TNF-α: tumor necrosis factor α; IL-1β: interleukin-1β; CRP: C-reactive protein.

Declarations

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**Author contributions**

HZ and LF contributed to the design of the study, statistical analyses and drafting the manuscript. HYL, HXX, YX, ZX, CMF, JF and ZL contributed to samples collection, data interpretation and helping with drafting the manuscript. HYL and HXX contributed to recruiting patients and obtaining their written informed consent. All authors participated in reviewing the manuscript and revising it critically before submission. All authors have read carefully and approved the final manuscript.

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**Availability of data and materials**

The datasets used and analyzed during the current study are available from the corresponding author on reasonable request.

**Ethics approval and consent to participate**

This study was supported by the Ethics Committee of Anhui Medical University and reached the principles expressed in the Declaration of Helsinki. Oral agreement or consent form was gained from patients or patients’ next of kin.

**Consent for publication**

Not applicable.

**Conflict of interest statement**

All authors have declared that no competing interest exists.

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Tables

Table 1. Demographic and biochemical characteristics between CAP patients and No-CAP patients.
| Variables              | CAP (n=220) | No-CAP (n=110) | P     |
|------------------------|-------------|----------------|-------|
| Age (years)            | 67.0 (55.0, 80.0) | 64.0 (52.0, 75.0) | 0.452 |
| Male, n (%)            | 124 (56.5)  | 61 (55.0)      | 0.121 |
| BMI                    | 23.0 (20.2, 25.1) | 22.3 (19.8, 24.9) | 0.098 |
| WBC (10^9/L)           | 6.80 (5.04, 9.35) | 5.66 (4.71, 6.81) | 0.05  |
| Neutrophil (10^9/L)    | 5.01 (3.13, 7.51) | 3.10 (2.41, 3.95) | 0.05  |
| Lymphocyte (10^9/L)    | 1.25 (0.86, 1.91) | 2.15 (1.86, 2.51) | 0.05  |
| Eosinophil (10^9/L)    | 0.07 (0.02, 0.17) | 0.11 (0.04, 0.16) | 0.61  |
| Monocytes (10^9/L)     | 0.45 (0.32, 0.61) | 0.35 (0.31, 0.43) | 0.060 |
| Basophil (10^9/L)      | 0.02 (0.01, 0.03) | 0.02 (0.01, 0.03) | 0.078 |
| NLR                    | 3.88 (2.02, 8.93) | 1.50 (1.31, 2.35) | 0.01  |
| MON                    | 0.32 (0.20, 0.57) | 0.19 (0.14, 0.23) | 0.05  |
| PLR                    | 181.4 (117.2, 338.4) | 109.2 (89.6, 136.8) | 0.05  |
| ALT (U/L)              | 19.0 (12.0, 38.3) | 17.9 (11.2, 23.3) | 0.121 |
| AST (U/L)              | 24.0 (18.0, 36.0) | 23.1 (15.1, 25.1) | 0.082 |
| Total bilirubin (μmol/L) | 10.3 (8.2, 15.1) | 14.6 (12.6, 19.3) | 0.065 |
| Direct bilirubin (μmol/L) | 2.6 (1.8, 3.6)  | 2.5 (2.2, 3.4)   | 0.187 |
| Total protein (g/L)    | 64.6 (59.2, 67.9) | 75.6 (66.2, 81.3) | 0.241 |
| Albumin (g/L)          | 33.0 (28.2, 38.2) | 44.5 (40.2, 49.6) | 0.111 |
| Globulin (g/L)         | 29.9 (25.5, 35.6) | 26.8 (20.1, 34.5) | 0.101 |
| Urea nitrogen (mmol/L) | 5.21 (4.03, 7.11) | 4.56 (3.61, 5.15) | 0.387 |
| Creatinine (μmol/L)    | 58.0 (47.0, 75.5) | 60.3 (46.5, 78.0) | 0.412 |
| Uric acid (μmol/L)     | 276.0 (199.0, 333.0) | 395.3 (260.5, 462.3) | 0.05  |
| TNF-α (pg/mL)          | 560.9 (291.8, 1121.8) | 62.3 (38.6, 100.3) | 0.01  |
| IL-6 (pg/mL)           | 70.6 (43.4, 94.3) | 28.9 (19.8, 59.8) | 0.01  |
| IL-1β (pg/mL)          | 361.6 (197.2, 581.2) | 60.2 (20.3, 85.5) | 0.01  |
| CRP (mg/L)             | 43.3 (4.7, 98.2) | 8.9 (2.2, 30.1)   | 0.01  |
| CURB-65                | 2.0 (0, 3.0)   | N.A            | N.A   |
Table 2. Correlations of serum S100A9 with disease severity, blood routine examination and inflammatory cytokines.

| Variables         | CURB-65 | CRB-65 | PSI  | CURXO | SMART-COP |
|-------------------|---------|--------|------|-------|-----------|
|                   |         |        |      |       |           |
| **Disease severity** |         |        |      |       |           |
| Variables         | CURB-65 | CRB-65 | PSI  | CURXO | SMART-COP |
|                   | r       |        |      |       |           |
|                   | 0.501   | 0.488  | 0.567| 0.502 | 0.475     |
|                   | P       |        |      |       |           |
|                   | 0.001   | 0.001  | 0.001| 0.003 | 0.001     |
| **Blood routine examination** |         |        |      |       |           |
| Variables         | WBC     | Neutrophil | Lymphocyte | NLR | MON | PLR |
|                   | r       |          |        |      |      |     |
|                   | 0.297   | 0.135   | -0.051| 0.274| 0.277| 0.193|
|                   | P       |          |        |      |      |     |
|                   | 0.003   | 0.098   | 0.621 | 0.006| 0.012| 0.081|
| **Inflammatory cytokines** |         |        |      |       |           |
| Variables         | TNF-α   | IL-1β   | CRP  | IL-6  |       |
|                   | r       |          |      |       |       |
|                   | 0.248   | 0.273   | 0.345| 0.057 |       |
|                   | P       |          |      |       |       |
|                   | 0.001   | 0.001   | 0.002| 0.688 |       |

Table 3. Associations between serum S100A9 and CAP severity scores among CAP patients.

| Variables | Univariate |       |       | Multivariate* |       |
|-----------|------------|-------|-------|---------------|-------|
| CURB-65   | 1.358 (1.121, 1.652) | 0.003 | 0.981 (0.955, 1.007) | 0.149 |
| CRB-65    | 1.223 (1.025, 1.562) | 0.005 | 0.986 (0.970, 1.003) | 0.112 |
| PSI       | 1.325 (1.056, 1.762) | 0.001 | 1.225 (1.035, 1.562) | 0.041 |
| SMART-COP | 1.262 (1.050, 1.462) | 0.001 | 1.212 (1.065, 1.615) | 0.030 |
| CURXO     | 1.451 (1.215, 1.864) | 0.004 | 1.116 (1.011, 1.365) | 0.033 |
*Adjusted for age and sex.

Table 4. Association between serum S100A9 and prognosis among CAP patients.

| Variables     | Univariate (95% CI) | P    | Multivariate (95% CI)* | P    |
|---------------|---------------------|------|------------------------|------|
| Death         | 1.112 (1.010, 1.336) | 0.001| 1.137 (1.023, 1.312)   | 0.012|
| Hospital stay | 1.159 (1.062, 1.321) | 0.001| 1.001 (0.986, 1.016)   | 0.885|

*Adjusted for age and sex.