Small-world characteristics of EEG patterns in post-anoxic encephalopathy

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INTRODUCTION

Post-anoxic encephalopathy (PAE) has a heterogeneous outcome which is difficult to predict. Good outcome or outcome with moderate disabilities is present in ~50% of the patients who make it alive to the hospital after resuscitation (1). At present, prediction of either good or poor outcome is limited. The main drawback of parameters for early outcome prediction based on motor score, brainstem reflexes, and somatosensory evoked potentials (SSEPs) (2, 3) is their limited sensitivity or specificity. Recently, the value of these items was re-established in patients treated with mild therapeutic hypothermia (MTH) (1). Since early predictors of prognosis can both reduce the costs of intensive care unit (ICU) stay in case of poor prognosis and give more accurate information to the relatives of patients, more reliable predictors are of great material and immaterial value. Given its non-invasive character in combination with its real time reflection of cerebral state, EEG features may be a potential additional predictor in PAE.

At present, the role of the EEG in prediction of neurological outcome in patients with PAE is a subject of active inquiry. In a recent study, the absence of EEG reactivity had a sensitivity of 75% and a specificity of 100% for poor outcome (4). However, another recent study on EEG reactivity between 1 and 3 days after the anoxic event had a specificity of only 94% for predicting poor outcome (5). Furthermore, in the literature burst suppression on EEG is reported to have a specificity between 85 and 100% (4, 6) and post-anoxic status epilepticus on EEG had a specificity of 98% for poor outcome (6). In a recent study, low-voltage and isoelectric EEG patterns were shown to be invariably associated with poor neurological outcome 24 h after the event in patients treated with MTH and sedation with a sensitivity of 40% and a specificity of 100%, while bilaterally absent cortical SSEP had a sensitivity of only 24% after 24 h in this study (7).

Currently, some drawbacks exist in the use of EEG in PAE prognostication. In general, its inter-observer agreement is only moderate (8, 9) and interpretation highly depends on qualified personnel. For these reasons, additional automatized and quantitative EEG analyses, which give a real time reflection of cerebral state, are of potential value in prediction of outcome in comatose
patients with PAE (10). EEG derived neural network properties might reflect this cerebral state. In the present study, we aimed to use quantitative EEG network analysis for outcome prediction in patients with PAE and analyze EEG network differences between PAE survivors and non-survivors. To understand the relation between neural network properties and current clinical parameters, we also compared EEG neural network properties with SSEP data and visually interpreted EEG data.

Graph theory has become a powerful tool to quantify network properties (11–13). Graphs are representations of a set of objects where some pairs of these objects are connected by links. Graphs can be characterized by a clustering coefficient \( C \), indicating the degree of local clustering of network elements, and a average path length \( L \), defined as the average number of steps along the shortest paths for all possible pairs of network elements (14).

So-called “small-world” network properties (11), network properties defined by both a high local clustering between adjacent nodes \( C \) and a short average path length \( L \) between all network nodes, might reflect optimal (cerebral) processing (15). Recent fMRI and EEG studies indicate a role for small-world based cerebral processing related to optimal cerebral performance (16), for review see Ref. (17). Next to this, in patients with temporal lobe epilepsy, small-world properties of cortical thickness based networks were impaired (18). However, in hippocampal modeling small-world network properties resulted in seizure vulnerability (19). Using resting state fMRI, altered small-world network properties were seen in an outpatient population with hepatic encephalopathy (20). Given the different applied methods (e.g., EEG or MRI) and outcome parameters (e.g., anatomical or physiological) it is difficult to compare the various studies on small-world network properties and brain function. Since PAE generally results in a decreased level of consciousness, both due to anoxic damage and sedation, small-world architecture might be disrupted. For this reason, our hypothesis was that the average path length would be higher and the clusterings coefficient would be lower in the patients with more severe anoxic damage. Given the finding that large-scale small-world properties of cerebral processing are preserved during anesthesia (21, 22), these proposed disruptions of small-world architecture might be a consequence of the anoxic damage and not of the anesthesia.

One way of studying small-world properties by using EEG is by looking at shared frequencies between the different electrodes presumably reflecting synchronization between groups of neurons (23). Based on the conception that if groups of neurons synchronize functional interactions are present (24), these shared frequencies might reflect a neural network from which small-world characteristics (14) can be derived. These small-world characteristics of PAE patients were analyzed using continuous electroencephalography (cEEG) registration during ICU admission and were correlated with the best achieved cerebral performance category (CPC) score within 6 months.

**MATERIALS AND METHODS**

**SUBJECTS**

Sixty PAE patients who survived, but remained comatose, after cardiopulmonary resuscitation and were treated with TH were included in the study. Outcome prediction based on SSEP and visual analysis of the EEG data was recently published (7). The local medical ethics committee waived the need for informed consent for EEG monitoring during ICU stay. However, for additional electrophysiological and clinical evaluation after discharge from the ICU, local institutional review board approval and written informed consents were obtained. Exclusion criteria were age <18 years, other concurrent neurological pathology or a known history of severe neurological disorders, and brain surgery or neuro-trauma. MTH of 33°C was induced and maintained for 24 h. Further patient characteristics can be found in Ref. (7).

**DATA ACQUISITION**

EEG recordings were started as soon as possible after the patients’ arrival on the ICU and continued up to 5 days or until discharge from the ICU or until patients lived. Twenty-one EEG electrodes were attached to the skull according to the international 10–20 system. Recordings were made using a Neurocenter EEG recording system (Clinical Science Systems, Voorschoten, The Netherlands) using a sample frequency of 256 Hz. EEG signals were recorded using an average reference and band-pass filtered from 1 to 100 Hz.

**OUTCOME ASSESSMENT**

The primary outcome measure was the best score within 6 months on the five point CPC-score (25).

**DATA ANALYSIS**

In the first 24 h after the event, EEG intervals of 5 min (300 s) were selected for each hour of registration. At 48 h after the event, intervals were selected every 2 h. Intervals of insufficient recording quality (e.g., due to artifacts) were excluded. All analyses were performed using Matlab 2011a (The MathWorks 2011).

Dominant frequencies present in the spectrum of the 19 EEG channels of the EEG intervals were detected by Fast Fourier Transformations (FFT). For all 300 s of the interval, a frequency spectrum with a resolution of 0.5 Hz ranging from 1 to 25 Hz was obtained. A Hann-window of 2 s was used resulting in an overlap of 50%. Frequencies were considered significant when (a) the amplitude of a frequency’s Fourier coefficient was at least 5% of the maximum of that frequency in the surrounding 4 s, (b) the value of the Fourier coefficient was at least 50% of the coefficient with the maximum amplitude within the Hann-window, and (c) the value was larger than the neighboring four Fourier coefficients.

For every 300-s, the frequencies present in each channel were compared with the frequencies present in the other 18 channels. In case one or more similar frequencies were present in two channels, the channels were presumed to have a connection. The absence or presence of all possible connections (19 × 19) was put into a dichotomous (ones and zeros), adjacency matrix for each second. In case no detectable oscillation between 1 and 25 Hz was present in the signal of an electrode, the matrix element of the electrode matching itself was zero. In case one or more oscillations were detected the matrix element was one. The network characteristics (see next section) of all seconds of each interval were averaged resulting in one value per interval of 300 s.

From the adjacency matrices, the network size (number of 19 electrodes present in a network) and the number of connections...
between the 19 electrodes were derived. C and L were derived from the adjacency matrices using the "brain connectivity toolbox" (26). C was obtained by inferring whether the connections of each electrode were also mutually connected. For each electrode, C was expressed as a fraction of the maximum number of possible connections between its connections, so-called “neighboring neighbors.” For example, when an electrode was connected with three other electrodes, three possible connections between its connections could maximally be present. The values of the individual electrodes forming the network were subsequently averaged. L was obtained by calculating the average shortest path length from each electrode to each other electrode in the network. Given the dependency of C and L on network size and number of connections, C and L were expressed as a fraction of C and L of random graphs with a similar network size and number of connections. Next to C and L, the small-world index (SWI = C/L) was obtained for every subject for each epoch. The larger the SWI value is, the more small-world the network is. For further analyses, the values of the epochs of each hour were averaged over the intervals between 0 and 24, 0 and 48, and 0 and 72 h. In case not every epoch was available (e.g., when the registration stopped at 40 h), the average value for the registered epochs was used.

For the episodes between 0 and 24, 0 and 48 and 0 and 72 h, comparisons of network size, number of connections, C, L, and SWI between non-survivors (CPC = 5) and survivors (CPC < 5) were made using a two-sample t-test. Next to this, a combination of network size, number of connections, C, and L was analyzed non-parametrically using the Wilcoxon rank sum test based on the sum ranks of network size, number of connections, C, and (inverse) L. Furthermore, correlation coefficients for the aforementioned characteristics and the CPC-scores were obtained. Receiver operating characteristic (ROC) analyses were conducted to explore the sensitivity and specificity profile of the aforementioned network characteristics.

These aforementioned network characteristics were also compared with SSEP and EEG patterns after 24 h [for description of the data acquisition see Ref. (7)]. The network characteristics were divided into a group with bilateral absent SSEP or uni- or bilateral present SSEP. The resulting groups were compared using two-sample t-tests. The same categories for EEG visual interpretation as in Ref. (7) were used. In line with the previous publication, the unfavorable EEG patterns, iso-electric and low-voltage, were combined. Network characteristics of the unfavorable iso-electric and low-voltage EEGs were compared with the more favorable EEGs of diffuse slowing using two-sample t-tests.

RESULTS
Data of 56 of the 60 included patients (mean age 68 ± 12, 38 male) were used. Four patients were excluded in a later stage, two because of intracerebral hemorrhage, one because of technical problems during the EEG registration, and one because of death during the first hour of registration. None of the remaining 56 patients was lost during follow-up.

NEUROLOGICAL OUTCOME
Within 6 months, 27 of the 56 eligible subjects died (CPC = 5). The other 29 had a outcome varying from normal cerebral function (CPC = 1, n = 19) to mild (CPC = 2, n = 8), modest (CPC = 3, n = 1), or severe (CPC = 4, n = 1) cerebral dysfunction.

MATRICES OF CHARACTERISTIC EEG PATTERNS
Three characteristic EEG patterns normal, generalized periodic discharges, and low-voltage were analyzed at the individual level. The common denominator of the different network characteristics of a normal EEG (i.e., continuous with non-encephalopathic background rhythm) within a 5-min interval (Figure 1A) was an involvement of almost all electrodes with many, although fluctuating, connections. Compared with the adjacency matrix of the normal EEG, the adjacency matrices of the EEG with generalized periodic discharges showed a pattern with more variation within a 5-min interval with more pronounced discharges (Figure 1C1) against a low background (Figure 1C2). The low-voltage EEG (Figure 1B) showed no network activity and only one individual oscillation was detected by a single electrode.

NETWORK SIZE
Comparing the network size of the non-survivors with the survivors revealed a consistent larger network size over the entire registration period in the survivor group. Comparing the average network size of the first 24 h between the survivor and non-survivor group showed a significant larger network in the survivor group (Table 1; Figure 2A). This difference persisted after 48 (0–48 h) and 72 h (0–72 h) (Table 1; Figure 2A). A significant correlation was present between the CPC-score and the average network size of the first 24 h (p = 0.02, ρ = −0.29). For the first 48 h, this correlation also turned out to be more robust (p = 0.005, ρ = −0.36), and for the first 72 h even more robust (p = 0.003, ρ = −0.38).

NUMBER OF CONNECTIONS
The number of connections also turned out to be higher in the survivor group over the entire registration relative to the non-survivor group. Comparing the average number of connections of the first 24 h between the survivor and non-survivor group showed a significant increased number of connections in the survivor group (Table 1; Figure 2B). This difference persisted after 48 and 72 h (Table 1; Figure 2B). Average network size of the first 24 h correlated significantly with CPC-score (ρ = 0.006, ρ = −0.35). This correlation strengthened after 48 (p = 0.001, ρ = −0.41) and 72 h (p = 0.001, ρ = −0.43).

AVERAGE PATH LENGTH
After correction for network size, the relative average path length (L) was higher in the survivor compared to the non-survivor group during the entire registration. In the first 24 h, L was significantly higher in the survivor group compared to the non-survivor group (Table 1; Figure 2C). After 48 and 72 h, this difference persisted (Table 1; Figure 2C). The L also significantly correlated with CPC-score (ρ = 0.004, ρ = −0.37 after 24 h; p = 0.001, ρ = −0.41 after 48 h; and p = 0.001, ρ = −0.42 after 72 h).

CLUSTERING COEFFICIENT
The relative clustering coefficient (C) was lower in the survivor group compared to the non-survivor group during the entire
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FIGURE 1 | Characteristic EEGs and their network configurations. Horizontal and vertical axes show the different EEG electrodes. Red squares represent connections, blue squares represent absent connections. (A) Adjacency matrix of a PAE patient with a good EEG showing involvement of 160 of the possible 361 (19 × 19) connections and involvement of 18 of the 19 electrodes in the network (C = 0.81, L = 1.77, SWI = 0.45). (B) Adjacency matrix of a PAE patient with a low-voltage EEG pattern (C = 0, L = 1, SWI = 0). Only one electrode had sufficient power to produce a detectable EEG wave. No network was present. (C) Adjacency matrices of a PAE patient showing generalized epileptic discharges with varying network size and number of connections within a 5-min epoch, (1) C = 0.32, L = 1.33, SWI = 0.24, (2) C = 0.86, L = 1.76, SWI = 0.48.
Table 1 | Composition of patient population after 24, 48, and 72 h and their network characteristics including standard deviations and p values.

| Interval (h) | Non-survivors | Survivors | p Value |
|-------------|---------------|-----------|---------|
| Number      | 27            | 29        |         |
| Remaining subjects | 24            | 22        | 0.0007  |
| Network size | 0–24          | 9.5 ± 2.1 | 10.9 ± 2.6 | 0.04 |
| Number of connections | 0–24          | 54.1 ± 19.3 | 70.1 ± 25.7 | 0.02 |
| Average path length | 0–24          | 1.07 ± 0.11 | 1.15 ± 0.09 | 0.005 |
| Clustering coefficient | 0–24         | 1.36 ± 0.23 | 1.22 ± 0.16 | 0.02 |
| Small-world index | 0–24          | 1.31 ± 0.4 | 1.07 ± 0.26 | 0.02 |
| Sum of ranks | 0–24          | 28.1 ± 49.1 | 84.2 ± 55.9 | 0.0003 |
| p = 0.0001, ρ = −0.49, after 24 h; p = 0.0001, ρ = −0.51, after 48 and 72 h. |

**DISCUSSION**

In this study, we investigated whether cEEG monitoring can be used for evaluating the integrity of neural network architecture in PAE patients and differentiate between PAE survivors and non-survivors. Graph analysis revealed consistent differences between survivors and non-survivors of PAE already present during the MTH period. At group level, PAE patients with the best neurological outcome had the most widespread and most densely connected networks. Corrected for network size and number of connections, the PAE patients with the worst neurological outcome had the network characteristics most resembling small-world networks. These differences might indicate that the PAE patients with the worst neurological outcome lack sufficiently widespread and connected networks and lack sufficiently differentiated connectivity in the remaining network. However, sensitivity and specificity are inferior to current prognostic parameters. Further optimization of the graph analyses in the temporal (real time analyses) and spatial (multi-channel analyses) domain might develop graph analyses as a tool for early prognostication in PAE.

**NETWORK DISRUPTIONS IN ANOXIC COMA**

The decreased network size and the decreased number of connections in these networks in PAE patients with a poor outcome are the end result of failing neural communication. The most crucial aspect of this neural communication is the synaptic transmission.
FIGURE 2 | (Left) EEG network characteristics of PAE survivors and non-survivors. Depicted are differences between survivors and non-survivors (first three bar couples) and their values between 0 and 24 h (1), 0 and 48 h (2), and 0 and 72 h (3) after the arrest (forth bar couple); differences between patients with bilateral absent SSEP and uni- or bilateral present SSEP (fifth bar couple); differences between patients with iso-electric or low-voltage EEG and diffuse slowing on EEG. Network size (A) is defined by the number of electrodes present in the networks. Number of connections in the network is shown in (B). Average path length (C) and clustering coefficient (D) are expressed as a fraction compared to a random network with similar size and connections. The small-world index (E) was obtained by dividing the clustering coefficient with the average path length. The sum of ranks (F) was obtained by the non-parametrical analysis of the ranked parameters (A–D). Asterisks indicate the level of significance and error bars represent standard deviations. *p < 0.05, **p < 0.01, ***p < 0.005, ****p ≤ 0.001. Surv., survivors; Non-Surv., non-survivors; Pres., uni- or bilateral present SSEP; Abs., absent SSEP; Dif. Slow., EEG with diffuse slowing; IsoEl/LowV, iso-electric of low-voltage EEG.
Table 2 | (A) Difference between network characteristics of patients with bilateral absent SSEP and uni- or bilateral present SSEP including standard deviations and p values and (B) difference between network characteristics of patients with iso-electric or low-voltage EEG including standard deviations and p values.

(A) Difference between network characteristics of patients with bilateral absent SSEP and uni- or bilateral present SSEP

| Characteristics          | Bilateral absent SSEP | Bi- or unilateral present SSEP | p Value |
|--------------------------|-----------------------|-------------------------------|---------|
| Number                   | 7                     | 49                            |         |
| Network size             | 7.52 ± 2.43           | 10.57 ± 2.25                  | 0.0017  |
| Number of connections    | 36.36 ± 15.7          | 66.17 ± 22.7                  | 0.0015  |
| Average path length      | 0.94 ± 0.08           | 1.13 ± 0.09                   | 0.00001 |
| Clustering coefficient   | 1.58 ± 0.31           | 1.25 ± 0.15                   | 0.00004 |
| Small-world index        | 1.70 ± 0.53           | 1.12 ± 0.26                   | 0.00001 |
| Sum of ranks             | −18.28 ± 20.67        | 68.00 ± 55.19                 |         |

(B) Difference between network characteristics of patients with iso-electric or low-voltage EEG

| Characteristics          | Iso-electric/low-voltage | Diffuse slowing | p Value |
|--------------------------|--------------------------|-----------------|---------|
| Number                   | 8                        | 26              |         |
| Network size             | 8.99 ± 2.79              | 11.15 ± 2.16    | 0.02    |
| Number of connections    | 52.46 ± 22.03            | 72.69 ± 22.93   | 0.03    |
| Average path length      | 1.06 ± 0.14              | 1.15 ± 0.18     | 0.03    |
| Clustering coefficient   | 1.41 ± 0.36              | 1.20 ± 0.14     | 0.02    |
| Small-world index        | 1.39 ± 0.63              | 1.05 ± 0.22     | 0.02    |
| Sum of ranks             | 24.74 ± 47.73            | 873.48 ± 48.7   | 0.003   |

Synaptic transmission is a metabolically demanding process which is blocked at first in anoxia (27). In anoxia, especially glutaminergic, synaptic failure (28) might lead to functional disconnection and consequently network failure. However, this synaptic failure is putatively reversible (28). When anoxia is more severe, not only functional but also structural changes occur leading to irreversible transmission failure (29).

In global anoxia, the entire brain is withdrawn from oxygen. However, local differences in vulnerability to anoxia exist (30). This is for example the case for the metabolically active hippocampus (30). Whether such higher vulnerability also exists for longer, metabolically more demanding (31), cortico-cortical connections, and establishing large neural networks is not known. Hypothetically, since the metabolic cost of spike transmission scales up linearly with connection length (31), rapid disruption of axonal-glial connections (32) after anoxia might preferentially result in the breakdown of these connections.

The networks of the PAE patients with a poor outcome and a more small-world like organization might indicate that the cortical networks of these patients are both under-dimensional (smaller) and lacking complexity (less differentiated). This organization might reflect a disturbed balance between neuronal integration and differentiation (33), in which small cortical areas are relatively hyper-connected.

No studies on small-world characteristics of post-anoxic patients have been conducted so far. Our finding that differences in small-world characteristics exist between survivors and non-survivors and correlate with outcome also supports the functional difference between coma induced by anesthesia (21, 22) and anoxia. Further analyses in the temporal domain could elucidate possible differences in neural synchronization and desynchronization (34, 35) between those patients who regain consciousness and those who do not.

**SEDATION**

Differences in sedation levels may have influenced the EEG patterns. However, no significant difference in sedation level between the group with good neurological outcome and poor neurological outcome was found [see Ref. (7)]. Furthermore, it is unlikely that the most severe EEG patterns (iso-electric and low-voltage) were caused by the use of propofol, fentanyl, or remifentanil in the doses used, as the EEG is not suppressed at these doses, and typically only shows moderate slowing (36).

**METHODOLOGY**

With our approach, we cannot exclude that a common driver or mechanism exists. However, significant differences in these patients. Since the same method was applied for every subject, independent of outcome, no systematic bias occurred. Even then, one must be cautious with the physiological interpretation of the various metrics found, however, which is a general concern in these approaches. Indeed the relation between functional connectivity and physiological reality is not trivial, in particular when metrics are derived from scalp EEG recordings (40, 41). However, the applied method does result in significant differences in the metrics between the patients studied, with potential pragmatic applicability in a clinical setting.

Besides this, graph analysis is a uniform way of looking at EEG patterns without a priori considering conventional aspects like iso-electric patterns, low-voltage patterns, diffuse slowing, burst suppression patterns, generalized periodic discharges, or epileptiform discharges (7). In case of an iso-electric EEG, no neural (network) activity is present, this is also most often the case in low-voltage EEGs. In one patient with a low-voltage EEG only one network element was present (Figure 1C). On the contrary, when EEGs were almost normal the most connections and nodes were present (Figure 1A). In other patterns, burst suppression and generalized epileptic discharges (Figures 1C1 and 2), different network characteristics were present varying from virtually absent networks to larger more connected networks. This is due to the fact that these patterns were not continuous and network characteristics varied over time. The critical pattern characteristics averaged out when conducting our algorithm for episodes of 5 min. Further analyses on different time scales with different spectra might elucidate deviant network characteristics of these typical EEG patterns. However, this is beyond the scope of the current paper. The additional correlation analyses show that, although CPC-scores 2–4 were relatively underrepresented, the mentioned differences...
CONCLUSION

In summary, EEG network analyses revealed significant differences between PAE survivors and non-survivors. Non-survivors showed smaller, less connected networks that were configured more toward small-world architecture. These network characteristics were also correlated with CPC-score and were already present during hypothermia. Further development of EEG network analyses can result in an instantaneous and easy to use prognostic tool for PAE patients.

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