Lattice QCD study for stringy excitation and role of UV gluons

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In both cases of quark-antiquark ($Q\bar{Q}$) and three-quark ($3Q$) systems, we study ground-state and low-lying excited-state potentials in terms of the gluon-momentum component in the Coulomb gauge in SU(3) quenched lattice QCD. By introducing UV-cut in the gluon-momentum space, we investigate the “UV-gluon sensitivity” of the ground-state and excited-state potentials quantitatively. Such a non-quark-origin excitation is a purely gluonic excitation, which can be interpreted as a stringy excitation in the color flux-tube picture of hadrons. For both $Q\bar{Q}$ and $3Q$ systems, the IR part of the ground-state potential is almost unchanged, even after cutting off high-momentum gluon component. On the other hand, we find more significant change of excited-state potential by the cut of UV-gluons. However, even after the removal of UV-gluons, the magnitude of the low-lying gluonic excitation remains to be of the order of 1GeV.

Xth Quark Confinement and the Hadron Spectrum,
October 8-12, 2012
TUM Campus Garching, Munich, Germany

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1. Introduction

Unlike QED, quantum chromodynamics (QCD) forms a color-electric flux-tube between the quark and the antiquark in mesonic systems, and this one-dimensional squeezing of the color-electric field leads to a linear confinement potential in the infrared region [1]. Actually, apart from the color-Coulomb energy around quarks, the flux-tube formation has been observed in lattice QCD both for quark-antiquark (Q\antiquark) [2] and three-quark (3Q) systems [3, 4, 5].

In the flux-tube picture of hadrons, which is idealized as the string picture in the infrared region, one can expect “stringy excitations” of hadrons, as shown in Fig.1. This stringy mode is non-quark-origin excitation, and therefore it can be regarded as a gluonic excitation. Such a gluonic-excited state would be interpreted as hybrid hadrons (q\antiquark G and qqqG), which are interesting hadrons beyond the quark-model framework [4].

![Stringy excitation of hadrons](image)

**Figure 1:** Schematic illustration of the stringy excitation of hadrons. The flux-tube picture of hadrons is idealized as the string picture in the infrared region, which is expected to allow “stringy excitations” of hadrons. Since this stringy mode is non-quark-origin excitation, it can be regarded as a gluonic excitation.

In lattice QCD, from a detailed Wilson-loop analysis, the excited-state potentials and the gluonic excitation have been calculated both for spatially-fixed Q\antiquark systems [6] and for 3Q systems [7, 8]. For simpler Q\antiquark systems, the behavior of the gluonic excitation is almost consistent with the string excitation in infrared region, in spite of a significant difference at the small distance [6].

In the previous work, IR/UV-gluon contribution to the ground-state potential has been studied [9, 10], and the confinement force is found to be almost unchanged even after the cut of high-momentum gluon components above 1.5GeV [9, 10] in the Landau gauge. This means that the confinement property is insensitive to UV gluons.

In this paper, we study not only ground-state potential but also low-lying excited-state potentials of Q\antiquark parity-even systems and 3Q systems in terms of gluon momentum component in the Coulomb gauge. By removing UV-gluons from lattice-QCD gauge configurations, we study the UV-gluon contribution to excited-state potentials and stringy excitations [11].
2. Lattice formulation

2.1 Formalism to extract excited-state potentials in lattice QCD

We present the formalism to extract the excited-state potential \([7, 8]\) for the spatially-fixed \(Q\bar{Q}\) system. We denote the \(n\)th eigen-state of the QCD Hamiltonian \(H\) by \(|n\rangle\),

\[
H |n\rangle = V_n |n\rangle. \tag{2.1}
\]

Here, \(V_n\) denotes \(n\)th excited-state potential, and 0th eigen-state means the ground-state. Consider arbitrary independent \(Q\bar{Q}\) states \(|\phi_k\rangle (k = 0, 1, 2, \ldots)\). Generally, each \(Q\bar{Q}\) state \(|\phi_k\rangle\) can be expressed by a linear combination of the \(Q\bar{Q}\) physical eigen-states:

\[
|\phi_k\rangle = c_k^0 |0\rangle + c_k^1 |1\rangle + c_k^2 |2\rangle + \ldots. \tag{2.2}
\]

The Euclidean-time evolution of the \(Q\bar{Q}\) state \(|\phi_k(t)\rangle\) is expressed with the operator \(e^{-Ht}\), which corresponds to the transfer matrix in lattice QCD. The overlap \(\langle \phi_j(T) | \phi_k(0) \rangle\) is given by the Wilson loop \(W_T^{jk}\), sandwiched by initial state \(\phi_k\) at \(t = 0\) and final state \(\phi_j\) at \(t = T\), and is expressed in the Euclidean Heisenberg picture as

\[
W_T^{jk} \equiv \langle \phi_j(T) | \phi_k(0) \rangle = \langle \phi_j | W(T) | \phi_k \rangle = \langle \phi_j | e^{-HT} | \phi_k \rangle = \sum_{m=0}^{\infty} \sum_{n=0}^{\infty} c_m^j c_n^k \langle m | e^{-HT} | n \rangle = \sum_{n=0}^{\infty} c_n^j e^{-V_n T} c_n^k, \tag{2.3}
\]

with the complex-conjugate notation of \(c_n^j \equiv (c_n^j)^*\). This is a basic relation between Wilson loops and potentials. By introducing the matrices \(C\) and \(\Lambda_T\) such that

\[
C^{nk} = c_n^k, \quad \Lambda_T^{mn} = e^{-V_n T} \delta^{mn}, \tag{2.4}
\]

this relation can be rewritten as

\[
W_T = C^\dagger \Lambda_T C. \tag{2.5}
\]

In general, \(C\) is not a unitary matrix, and depends on the choice of \(|\phi_k\rangle\). Using this relation, we extract the potentials \(V_n\ (n = 0, 1, 2, \ldots)\) from the Wilson loop \(W_T\). Consider the following combination:

\[
W_T^{-1}W_{T+1} = \{C^\dagger \Lambda_T C\}^{-1} C^\dagger \Lambda_T + 1 C = C^{-1} \text{diag} \left( e^{-V_0}, e^{-V_1}, e^{-V_2}, \ldots \right) C. \tag{2.6}
\]

Then, \(e^{-V_n}\) can be obtained as the eigen-values of the matrix \(W_T^{-1}W_{T+1}\). In fact, they are the solutions of the secular equation,

\[
\det \{W_T^{-1}W_{T+1} - t \mathbf{1}\} = \prod_n (e^{-V_n} - t) = 0. \tag{2.7}
\]

In this way, the potentials \(V_n\ (n = 0, 1, 2, \ldots)\) can be obtained from the Wilson loop matrix, \(W_T^{-1}W_{T+1}\).

In the practical calculation, we prepare gauge-invariant \(Q\bar{Q}\) states \(|\phi_k\rangle\) composed by fat-links obtained with APE smearing method \([12]\), and calculate many Wilson loops sandwiched by various combination of initial state \(|\phi_i\rangle\) and final state \(|\phi_j\rangle\). By solving the secular equation Eq. (2.7) within a truncated dimension, ground-state and excited-state potentials can be obtained.
2.2 Discrete Fourier transformation and UV-cut of gluon momentum components

In this subsection, we consider the three-dimensional Fourier transformation of the link-variable $U_\mu(x) \in SU(3)$ on a periodic lattice of size $L^4$, and introduce UV-cut in three-dimensional momentum space [9, 10]. For the argument on the gluon momentum, gauge fixing is generally needed. For the comparison with continuum QCD, the suitable gauge to be taken on lattice would be the Landau or the Coulomb gauge, where the gauge field tends to be continuous.

Here, we consider link-variables fixed in the Coulomb gauge, because spatial gauge-field fluctuation is strongly suppressed. The Coulomb gauge has a global definition to minimize the “total amount of the spatial gauge-field fluctuation”:

$$R \equiv \int d^3x \text{tr} \{A_i(\vec{x},t)A_i(\vec{x},t)\} = \frac{1}{2} \int d^3x A'^\mu_\alpha(\vec{x},t)A'^\mu_\beta(\vec{x},t)$$

The Coulomb gauge has a physical meaning that it maximally suppresses artificial fluctuation stemming from gauge degrees of freedom for spatial gluons. In lattice QCD, the Coulomb gauge fixing is expressed in terms of link-variable and is defined by the maximization of

$$R_{\text{latt}} \equiv \sum_{\vec{x}} \sum_i \text{Re} \text{tr} U_i(\vec{x},t).$$

Now, we perform the three-dimensional discrete Fourier transformation of the link-variable $U_\mu(x) \in SU(3)$, and define the “momentum-space link-variable”:

$$\tilde{U}_\mu(\vec{p},t) \equiv \frac{1}{L^3} \sum_{\vec{x}} U_\mu(\vec{x},t) \exp(i\vec{p} \cdot \vec{x}).$$

We introduce “UV-cut” in the momentum space. Outside the cut, $\tilde{U}_\mu(\vec{p},t)$ is replaced by 0, since momentum-space link-variable is $\tilde{U}_\mu^{\text{free}}(p) = \delta_\rho^0$ in the free-field case of $U_\mu^{\text{free}}(x) \equiv 1$. (See Fig.1.) We define “UV-cut momentum-space link-variable”:

$$\tilde{U}_\mu^\Lambda(\vec{p},t) \equiv \begin{cases} \tilde{U}_\mu(\vec{p},t) & \text{for } |\vec{p}| \leq \Lambda_{\text{UV}} \\ 0 & \text{for } |\vec{p}| > \Lambda_{\text{UV}} \end{cases}$$

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Figure 2: A schematic figure of UV-cut with $\Lambda_{\text{UV}}$ and IR-cut with $\Lambda_{\text{IR}}$ on momentum-space lattice, of which spacing is given by $a_p \equiv 2\pi/(La)$. The momentum-space link-variable $\tilde{U}_\mu(\vec{p},t)$ is replaced by the free-field link-variable $\tilde{U}_\mu^{\text{free}}(p) = \delta_\rho^0$ in the shaded cut regions.
By the three-dimensional inverse Fourier transformation

\[ U'_\mu(\bar{x},t) \equiv \sum_\vec{p} \tilde{U}^\Lambda_\mu(\vec{p},t) \exp(-i\vec{p} \cdot \vec{x}), \]

(2.12)

and SU(3) projection by maximizing

\[ \text{Re} \, \text{tr} \left\{ U^\Lambda_\mu(\vec{x},t)U'^\dagger_\mu(\vec{x},t) \right\}, \]

(2.13)

we obtain “UV-cut (coordinate-space) link-variable”:

\[ U^\Lambda_\mu(\vec{x},t) \in SU(3). \]

(2.14)

Using the UV-cut link-variable \( U^\Lambda_\mu(x) \) instead of \( U_\mu(x) \), we calculate many Wilson loops \( W_{ij}^k \) sandwiched by various combination of initial state \( |\phi_k\rangle \) and final state \( |\phi_j\rangle \). Note here that the UV-cut should be introduced also to \( U_4(x) \). Otherwise, the QCD Hamiltonian is not changed, so that the potentials \( V_n \) are not changed at all.

3. Ground-state and excited-state \( Q\bar{Q} \) potentials and and gluonic excitation energy

In this section, we show the lattice QCD results of the ground-state/excited-state potentials and gluonic excitation energy in \( Q\bar{Q} \) systems with or without UV-cut [11]. Our numerical simulation is performed with isotropic plaquette gauge action with \( \beta = 6.0 \) at the quenched level. The lattice size is \( 16^4 \), and the periodic boundary condition is imposed. This lattice QCD condition corresponds to the (coordinate-space) lattice spacing \( a \simeq 0.104 \text{fm} \), and the momentum-space lattice spacing \( a_p \equiv 2\pi/(La) \simeq 0.74 \text{GeV} \). We use 100 gauge configurations, and average all the parallel-translated Wilson loops in each configuration.

![Figure 3](image-url)

**Figure 3**: Ground-sate and even-parity excited-state potentials in \( Q\bar{Q} \) systems with or without UV-cut plotted against the interquark distance \( R \). The left panel shows the result without UV-cut. The middle and right panels show the results with the UV-cut of \( \Lambda_{UV} = 3a_p \simeq 2.2 \text{GeV} \) and \( \Lambda_{UV} = 2a_p \simeq 1.5 \text{GeV} \), respectively. The circle symbol denotes the ground-state potential. The square and the triangle symbols denote the even-parity excited-state potentials.

For simplicity, we only consider even-parity exited-state potentials in this paper. We prepare the \( Q\bar{Q} \) state \( |\phi_k\rangle \) (\( k = 0, 1, 2, 3 \)) composed by the “fat-links” obtained by the APE smearing method.
with the smearing parameter $\alpha = 2.3$ and the iteration number of $N_{\text{smr}} = 0, 8, 16, 24$. Note that only even-parity components can be obtained by this parity-invariant procedure. (The odd-parity excited-state potential can be obtained, if parity non-symmetric state operators of $|\phi\rangle_k$ are used. However, such a procedure is rather complicated.)

3.1 Ground-state and excited-state $Q\bar{Q}$ potentials with UV-gluon cut

Figure 3 shows ground-state and excited-state potentials in $Q\bar{Q}$ systems with or without UV-cut of gluon fields. In the original no UV-cut case, the IR slopes of ground-state and excited-state potentials are almost the same, as was indicated by the previous lattice studies. This means the same confinement force in the infrared region.

By the cut of UV-gluon above $\Lambda_{\text{UV}} = 3a_p \simeq 2.2\text{GeV}$, the short-distance Coulomb part proportional to $1/r$ reduces in ground-state potential. In the case of $\Lambda_{\text{UV}} = 2a_p \simeq 1.5\text{GeV}$, the short-distance Coulomb part disappears in the ground-state potential. These tendencies are consistent with the previous studies.

On the other hand, the shape of the excited-state potential is largely changed by the UV-cut of gluon fields for $\Lambda_{\text{UV}} = 1.5, 2.2\text{GeV}$, while the ground-state potential is not so changed except for the short distance. As a caution, the physical size of our lattice is rather small, and the true IR slope of the excited-state is expected to be unchanged, because no change is found in the ground-state potential, which gives a lower bound of $V_n$ in the infrared region. In any case, the change of the excited-potential is more significant than that of the ground-state potential by the UV-cut of gluons.

In the string picture, this result seems to be natural as mentioned below. For the stringy-excited state as shown in Fig.1, there is a typical wavelength proportional to the interquark distance $R$, and this wavelength is smaller for higher excitation mode. Then, we expect a significant influence of the removal of UV-gluons for the stringy mode, when the UV-cut length $1/\Lambda_{\text{UV}}$ exceeds the typical wavelength of the stringy excitation. In fact, the effect of UV-gluon cut would be larger for higher excitation. Our lattice QCD results seem to be qualitatively consistent with this tendency.

3.2 Gluonic excitation energy with UV-gluon cut

The gluonic excitation energy defined by the difference, $V_n - V_0$, is shown in Fig.4. Roughly, even after the removal of UV-gluons, the magnitude of gluonic excitation is approximately unchanged, and the low-lying gluonic excitation remains to be of the order of $1\text{GeV}$. 

![Figure 4: The gluonic excitation energy defined by the difference between the ground-state and the excited-state $Q\bar{Q}$ potentials, $\Delta E_n = V_n - V_0$. The left panel shows no UV-cut case. The middle and right panels show the results with the UV-cut of $\Lambda_{\text{UV}} = 3a_p \simeq 2.2\text{GeV}$ and $\Lambda_{\text{UV}} = 2a_p \simeq 1.5\text{GeV}$, respectively.](image-url)
4. Ground-state and excited-state potentials in 3Q systems with UV-gluon cut

In this section, we show ground-state and excited-state potentials in various 3Q systems with and without UV-cut of gluon fields. We can calculate ground-state and excited-state 3Q potentials, in a similar way to the calculation of $Q\bar{Q}$ potentials \cite{7}. In order to calculate 3Q potentials, we only need to replace the $Q\bar{Q}$ states $|\phi_k\rangle$ by arbitrary independent 3Q states $|\Phi_k\rangle$ in Eq. (2.2). Accordingly, in Eq. (2.3), the Wilson loop $W(T)$ is replaced by the 3Q Wilson loop $W_{3Q}$ defined as

$$W_{3Q}(T) = \frac{1}{3!} \epsilon_{abc} \epsilon_{a'b'c'} U_{1a}^{bb'} U_{2b}^{cc'} U_{3c}^{a'b'} (4.1)$$

with $U_k \equiv P \exp \left\{ ig \int_{\Gamma_k} dx^\mu A_\mu (x) \right\}$. Here, $P$ denotes the path-ordered product along the path denoted by $\Gamma_k$ ($k=1,2,3$) in Fig. 5(a), and $A_\mu$ denotes the gluon fields. Our numerical calculation condition for 3Q systems is the same as for $Q\bar{Q}$ systems, and we use 30 gauge configurations, and average all the parallel-translated 3Q Wilson loops in each gauge configuration.

Figure 5(b) shows ground-state and excited-state 3Q potentials with and without UV-cut. Here, the horizontal axis $L_{\text{min}}$ denotes the minimal value of the total length of flux-tubes linking the three quarks (see Fig. 5(c)). As in the $Q\bar{Q}$ case, by the cut of UV-gluons, the IR part of 3Q ground-state potential is almost unchanged, and the change of 3Q excited-state potential is more significant.

![Figure 5:](attachment:image.png)

**Figure 5:** (a) The 3Q Wilson loop $W_{3Q}$. (b) Ground-state and excited-state potentials in 3Q systems with and without UV-cut plotted against $L_{\text{min}}$. The square symbols (□) denote the original potentials, and the triangle symbols (△) the potentials with the UV-cut of $\Lambda = 3a_\mu \simeq 2.2$ GeV. (c) Y-type flux-tube configuration of the 3Q system. $L_{\text{min}}$ denotes the minimal value of the total length of color flux-tubes linking the three quarks.
5. Summary and concluding remarks

For both $Q\bar{Q}$ and $3Q$ systems, we have studied ground-state and low-lying excited-state potentials in terms of the gluon momentum component in the Coulomb gauge using SU(3) quenched lattice QCD. By introducing UV-cut in the gluon momentum space, we have investigated the “UV-gluon sensitivity” of the potentials and the stringy excitation.

As for $Q\bar{Q}$ systems, we have dealt with only even-parity excited-state potentials. Even after cutting off high-momentum gluon component above 1.5GeV, the IR part of the ground-state potential is almost unchanged. On the other hand, the change of excited-state potential is more significant by the cut of UV-gluons. However, the magnitude of the low-lying gluonic excitation remains to be of the order of 1GeV after the removal of UV-gluons.

We have also investigated the UV-gluon contribution to ground-state and excited-state potentials for various $3Q$ systems, by removing UV-gluons from the lattice-QCD gauge configuration. Similar to the $Q\bar{Q}$ case, we have observed small contribution of UV-gluons to the IR part of the $3Q$ ground-state potential, and more significant contribution to $3Q$ excited-state potentials.

In this work, we use the Coulomb gauge fixing, however, to remove gauge artifact completely, it would be also interesting to apply the gauge-invariant expansion in terms of the Dirac mode [13].

Acknowledgements

H.S. is supported by the Grant for Scientific Research [(C) No.23540306, Priority Areas “New Hadrons” (E01:21105006)] and T.I. is supported by a Grant-in-Aid for JSPS Fellows [No. 23-752] from the Ministry of Education, Culture, Science and Technology (MEXT) of Japan. This work is supported by the Global COE Program, “The Next Generation of Physics, Spun from Universality and Emergence”. The lattice QCD calculation has been done on NEC-SX8R at Osaka University.

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