Comparison of clinical outcomes following percutaneous coronary intervention versus optimal medical therapy based on gray-zone fractional flow reserve in stable angina patients with intermediate coronary artery stenosis (COMFORTABLE prospective study): Study protocol for a multicenter prospective randomized controlled trial

CURRENT STATUS: ACCEPTED

Hironori Kitabata hkitabata212@gmail.com
Wakayama Medical University
Corresponding Author

Takashi Kubo
Wakayama Medical University

Yasutsugu Shiono
Wakayama Medical University

Kunihiro Shimamura
Wakayama Medical University

Yasushi Ino
Wakayama Medical University

Takashi Tanimoto
Shingu Municipal Medical Center

Yasushi Hayashi
Wakayama Rosai Hospital

Kenichi Komukai
Hidaka General Hospital

Hiromichi Sougawa
Hashimoto Municipal Hospital

Keizo Kimura
Kinan Hospital
Masahiro Gohda
Seiyu Memorial Hospital

Toshikazu Hashizume
Minami Wakayama Medical Center

Masahiro Obana
Saiseikai Wakayama Hospital

Kazuisa Maeda
Naga Municipal Hospital

Junichi Yamaguchi
Tokyo Women’s Medical University

Takashi Akasaka
Wakayama Medical University

DOI: 10.21203/rs.2.106/v1

SUBJECT AREAS
   Interdisciplinary Medicine

KEYWORDS
   Coronary physiology, fractional flow reserve, medical therapy, percutaneous coronary intervention
Abstract

**Background:** Even in the current drug-eluting stent era, revascularization for coronary stenosis with fractional flow reserve (FFR) between 0.75 and 0.80, the so-called “gray zone”, is a matter of debate. Previous studies have reported conflicting results regarding outcomes of revascularization vs. deferral for coronary stenosis when FFR values in the gray zone, but have had differing study designs and populations. We therefore investigate whether medical therapy plus percutaneous coronary intervention (PCI) is superior to medical therapy alone in reducing major cardiovascular events in patients presenting coronary stenosis with gray-zone FFR values.

**Methods:** This is a prospective, multicenter, open-label, parallel group, randomized, controlled, superiority study. A total of 410 eligible participants will be recruited and randomized to either the medical therapy plus PCI or the medical therapy alone group. The primary endpoint is one-year major adverse cardiac event (MACE), defined as a combined endpoint of all-cause death, non-fatal myocardial infarction (MI), or unplanned target vessel revascularization (TVR). Secondary endpoints include MACE at 2 and 5 years. Moreover, each individual component of the primary endpoint, cardiovascular death, target vessel-related and non-target vessel-related MI, all MI, clinically-driven TVR or non-TVR, all revascularization, stent thrombosis, and angina symptom status will be evaluated at 1, 2, and 5 years.

**Discussion:** This is the first prospective, multicenter, randomized, controlled study to investigate the superiority of medical therapy plus PCI over medical therapy by itself in reducing major cardiovascular events in patients presenting coronary stenosis with “gray-zone” FFR values. The results will help interventional cardiologists in making revascularization decisions regarding coronary stenosis with gray-zone FFR values.

**Trial registration:** University Hospital Medical Information Network Clinical Trials
Background

Coronary physiological assessment can more effectively guide management decisions on intermediate coronary stenotic lesions than coronary anatomical assessment. This allows determination of whether the patient would benefit from revascularization or medical therapy.

Fractional flow reserve (FFR) is an established invasive index for determination of the functional severity of a coronary stenosis. FFR values < 0.75 were shown to be associated with inducible myocardial ischemia, with > 99% positive predictive value [1]. The DEFER study (Deferral vs. performance of percutaneous coronary intervention of functionally non-significant coronary stenosis) has since demonstrated that percutaneous coronary intervention (PCI) can be safely deferred in coronary stenoses with FFR ≥ 0.75 [2]. In addition, at 15-year follow-up, it was reported that the rate of myocardial infarction (MI) was significantly lower in the deferred group than in the revascularization group (2.2% vs. 10%) [3]. On the contrary, PCI for coronary stenosis with FFR ≤ 0.80, compared with medical therapy by itself, is associated with improved clinical outcomes [4-8]. Nonetheless, revascularization for coronary stenosis with FFR between 0.75 and 0.80, the so-called “gray zone”, is still an unresolved issue. Previous studies have reported conflicting results regarding outcomes of revascularization vs. deferral for coronary stenosis with gray-zone FFR values owing to differing study designs and populations [9-17].

We therefore conduct a prospective, multicenter, randomized controlled trial to investigate whether medical therapy plus PCI is superior to medical therapy alone in
reducing major cardiovascular events in patients presenting coronary stenosis with gray-zone FFR values.

Methods

Study design
Comparison of clinical outcomes following percutaneous coronary intervention versus optimal medical therapy based on gray-zone fractional flow reserve in stable angina patients with intermediate coronary artery stenosis (COMFORTABLE) study is designed as a prospective, multicenter, open-label, parallel group, randomized, controlled, superiority study. This study is being conducted across 11 hospitals in Wakayama Prefecture and Tokyo. A total of 410 eligible participants will be recruited and randomized into either the performed PCI group or the deferred PCI group, with an allocation ratio of 1:1. Recruitment will begin soon.

The protocol structure was written in compliance with the Consolidated Standards of Reporting Trials (CONSORT) 2010 statement guidelines, and it follows the Standard Protocol Items: Recommendations for Interventional Trials (SPIRIT) 2013 statement. Fig. 1 shows the CONSORT diagram of this study, and Fig. 2 shows the SPIRIT schedule. The complete SPIRIT checklist for the study is provided in Additional File 1.

Objective
The aim of this study is to determine whether medical therapy plus PCI is superior to medical therapy by itself in reducing major cardiovascular events in patients presenting coronary stenosis with “gray-zone” FFR values.

Participants
Participants will be eligible for registration in this study if they meet all of the inclusion criteria and none of the exclusion criteria listed below.

Inclusion criteria
1. Intermediate coronary stenosis presenting with an FFR between 0.75 and 0.80
2. Aged ≥ 20 years
3. Able to provide written informed consent prior to any study-specific procedures

**Exclusion criteria**

1. Culprit vessel in acute coronary syndrome
2. Untreated significant lesions (%diameter stenosis > 70% and/or FFR < 0.75) in coronary arteries other than the target vessel
3. Unstable hemodynamics
4. Hemodialysis
5. Previous coronary artery bypass grafting (CABG)
6. Allergy to antiplatelet therapy, anticoagulants, or contrast medium
7. Intracranial and/or gastrointestinal bleeding
8. Planned surgical procedure within 12 months
9. Life expectancy < 1 year
10. Pregnancy
11. Severe valvular heart disease
12. Participation in clinical trials of other devices or drugs
13. Left main coronary artery (LMCA) disease (% diameter stenosis > 50%) or planned PCI to LMCA disease
14. Chronic total occlusions
15. Heavily calcified or tortuous vessels meaning inability to cross the lesion with a pressure wire is expected
16. Diffuse diseases, heavily calcified lesions, small vessels, and/or aneurysmal lesions meaning PCI is thought to be unsuitable by operators

**Randomization**
Eligible participants will be allocated to one of the two groups (medical therapy plus PCI group or medical therapy alone group) by the online randomization system. Balanced randomization based on critical variables (diabetes, age, gender, and institution) will be performed automatically by the randomization algorithm. Knowledge of the treatment allocation is open to the investigators and participants.

**Sample size calculation**

Based on the event rates of previous studies which evaluated deferred versus performed revascularization in patients presenting coronary stenosis with gray-zone FFR values, we predicted that the event rates at one year are 6.4% in the revascularization group and 16% in the deferred group [15]. Under the above event rates and the hypothesis that the crossover rate from medical therapy alone arm to PCI arm is 5%, we calculated that a sample size of 368 patients (184 per arm) would provide the trial with 80% power to detect the superiority of medical therapy plus PCI over medical therapy by itself, at a two-sided alpha level of 5%. Assuming a drop-out rate of 10% (patients lost to follow-up or patients unsuitable for analysis), the target sample size was set at 410 patients (205 per arm).

**Endpoints**

The primary endpoint is one-year major adverse cardiac event (MACE), defined as a combined endpoint of all-cause death, non-fatal MI, or unplanned target vessel revascularization (TVR). Secondary endpoints will include MACE at 2 and 5 years. Each individual component of the primary endpoint, cardiovascular death, target vessel-related and non-target vessel-related MI, all MI, clinically-driven TVR or non-TVR, all revascularization, stent thrombosis, and angina symptom status will also be evaluated at 1-, 2- and 5-year follow-up. All-cause death is defined as death from any cause, cardiovascular as well as non-cardiovascular. MI is defined as a clinical episode of typical
chest pain with development of new Q waves in at least two contiguous leads on electrocardiogram or elevation of the creatine kinase myocardial band fraction (spontaneous: > upper reference limit, periprocedural: > 3 times upper reference limit).

Unplanned revascularization is defined as any unexpected coronary revascularization (PCI or CABG) during the follow-up period after the index procedure. TVR is defined as any repeat revascularization of any segment of the target vessel by either PCI (additional stent or angioplasty) or CABG. TVR will be considered to be ischemia-driven if revascularization is performed on a patient who has ischemic signs confirmed by non-invasive tests or FFR reassessment (with an inducible ischemia cutoff set at < 0.75), despite the presence or absence of ischemic symptoms. TVR will be considered to be clinically driven if revascularization is performed on a patient who has ischemic signs confirmed by non-invasive tests or FFR reassessment and clinical symptoms, such as chest pain that increases in frequency, intensity or duration. Stent thrombosis is defined according to Academic Research Consortium definition [18]. Anginal status is assessed by Canadian Cardiovascular Society (CCS) functional classification of angina.

Clinical events are adjudicated by an independent and blinded clinical event committee.

**Coronary angiography**

Coronary angiography will be performed in the standard manner via a transradial or transfemoral approach in each center. Intracoronary isosorbide dinitrate or nitroglycerin is administered in all cases preceding coronary angiography. Coronary angiogram is obtained from a standard series of 6-8 projections for the left coronary artery and 2-3 projections for the right coronary artery. All images are stored on CD-ROM for offline analysis. Diameter stenosis, minimum lumen diameter, lesion length, and reference lumen diameter will be measured by using a dedicated software for quantitative coronary angiography (CASS-5, Pie Medical, Maastricht, Netherlands). Quantitative coronary
angiography analysis is conducted at the Core Laboratory of Wakayama Medical University, Wakayama, Japan.

**FFR measurement**

Intracoronary pressure will be measured using a pressure wire system (PressureWire, Abbot Vascular, Santa Clara, CA; Smartwire, Philips Volcano, San Diego, CA; Comet, Boston Scientific, Marlborough, MA; or OptoWire, Zeon Medical, Tokyo, Japan). FFR measurement was as reported previously [17]. In brief, following pressure zero-calibration and equalization, the pressure wire will be placed in the distal part of the target coronary artery. Intracoronary nitroglycerin is administered prior to FFR measurement. FFR is calculated as the mean distal coronary pressure, measured by the pressure wire, divided by the mean aortic pressure, simultaneously measured by the guiding catheter during maximal hyperemia. Maximal hyperemia will be induced by intravenous continuous infusion of adenosine 5′-triphosphate (ATP), given at 180 μg/kg/min via the forearm or femoral vein. If maximal hyperemia is suspicious, additional intracoronary (IC) papaverine (12 mg for left coronary artery or 8 mg for right coronary artery), IC nicorandil (2 mg for both coronary arteries), or IC ATP (50 μg for left coronary artery or 25 μg for right coronary artery) administration will be recommended. In case of contraindication to ATP, IC papaverine or IC nicorandil should be administered alternatively. After distal pressure measurement is made, the pressure sensor is pulled back to the ostium of the guiding catheter during maximal hyperemia, and both pressures are checked to exclude any transducer drift. Where drift is evident (Pd/Pa measured at the level of the catheter tip < 0.98 or > 1.02), measurements should be repeated. Because caffeine attenuates ATP-induced hyperemia by blocking activation of adenosine A2a receptor in vascular smooth muscles, patients should refrain from products containing caffeine 24 hours (at least 12 hours) before FFR measurement [19, 20].
PCI

In the medical therapy plus PCI group, PCI will be performed in the standard manner according to clinical guidelines at the time of the procedure by using currently available second-generation or third-generation drug-eluting stents (DES). The choice of approach site (transradial or transfemoral) is at the operator’s discretion. Also, the type of DES and the use of intravascular imaging are at the discretion of the operator. Patients who underwent PCI will be treated with aspirin (100 mg/day) and thienopyridines (clopidogrel 75 mg/day or prasugrel 3.75 mg/day) for at least 6 months, followed by aspirin indefinitely.

Medical therapy

Guideline-directed optimal medical therapy will be applied to all participants, irrespective of the treatment arm.

Data collection and follow-up

The data will be collected using a web-based dedicated case report form. All data management and analysis will be performed centrally at the Department of Cardiovascular Medicine at the coordinating Center (Wakayama Medical University, Wakayama, Japan). Members of the coordinating Center monitor and verify the data in the participating hospitals. Clinical follow-ups will be performed during hospitalization, at discharge, at 1 year, 2 years, and 5 years after allocation. The patient’s clinical status, medications, and adverse events will be evaluated and recorded during office visits or by qualified personnel via telephone contacts if office visits are impractical or impossible.

Safety monitoring

Safety is observed throughout the study. During the study period, all participants will be monitored and evaluated for clinical events and any other adverse events by the data and safety monitoring committee.
Statistical analysis

Continuous variables will be summarized as mean ± standard deviation or median (interquartile range) for skewed data, and comparisons will be made using an unpaired Student’s t test or Mann-Whitney’s U test, as appropriate. Categorical variables will be summarized as number (%), and comparisons will be made using the chi-square test or Fisher’s exact test, as appropriate. Kaplan-Meier survival analysis curves will be used to assess clinical event timelines and will be compared with the log-rank test. Also, in time-to-event analyses, the treatment groups will be compared using a Cox proportional hazards model and the results are expressed as a hazard ratio with 95% confidence interval. Values of p <0.05 are considered statistically significant. All statistical analyses will be conducted using JMP Pro version 14 (SAS Institute, Cary, NC).

Discussion

Even in the current DES era, revascularization for coronary stenosis with FFR between 0.75 and 0.80 is a matter of debate. Some studies, including our retrospective studies [12, 17], have reported that PCI compared with medical therapy alone is associated with better clinical outcomes in patients with gray-zone FFR values [9, 11, 14, 15]. Conversely, other studies have shown that deferred revascularization could be the preferred initial treatment strategy for coronary stenosis with gray-zone FFR values [10, 13, 16]. Thus, revascularization vs. deferral decision-making for coronary stenosis presenting gray-zone FFR is controversial. However, currently available evidence has been limited to registries, retrospective analyses, and single center experiences. For FFR values between 0.75 and 0.80, the clinical decision for PCI or medical therapy has been left entirely to the operator’s discretion.

The COMFORTABLE prospective study will be the first prospective, multicenter, randomized, controlled study to prove the superiority of medical therapy plus PCI over
medical therapy alone in reducing major cardiovascular events in patients presenting coronary stenosis with gray-zone FFR values. The results of the COMFORTABLE prospective study will help interventional cardiologists guide revascularization decisions for coronary stenosis with gray-zone FFR values.

This study has several limitations, most importantly its open-label nature; we cannot always exclude the possibility that the treatment strategy will be modified because the arm of treatment to which the patient has been allocated is known. Second, an FFR value is limited by its reliance on the achievement of maximal hyperemia. Failure to achieve peak hyperemia, by not achieving maximal reduction in microvascular resistance, may result in overestimation of FFR and lead to wrong decision-making, especially in coronary stenosis with an FFR value in and around the “gray zone” [11]. For these reasons, in this study, we use intravenous ATP administration to induce steady-state maximal hyperemia, and additional IC papaverine, nicorandil, or ATP should be administered if maximal hyperemia is suspicious.

**Trial status**

Wakayama Medical University Institutional Review Board approved the final version of the protocol prior to the start of the study (approval number: 2234). It was registered on the University Hospital Medical Information Network Clinical Trials Registry

**Abbreviations**

ATP: Adenosine 5’-triphosphate, CABG: Coronary artery bypass grafting, CCS: Canadian Cardiovascular Society, CONSORT: Consolidated Standards of Reporting Trials, DES: Drug-eluting stent, FFR: Fractional flow reserve, IC: Intracoronary, LMCA: Left main coronary artery, MACE: Major adverse cardiac event, MI: Myocardial infarction, PCI: Percutaneous coronary intervention, SPIRIT: Standard Protocol Items: Recommendations for Interventional Trials, TVR: Target vessel revascularization
Declarations

**Ethics approval and consent to participate**

The study is conducted in accordance with the Declaration of Helsinki. Wakayama Medical University Institutional Review Board approved the final version of the protocol (version 1.1) prior to the start of the study (approval number: 2234). This study was registered on the University Hospital Medical Information Network Clinical Trials Registry (UMIN000031526). Written informed consent will be obtained from all participants in the study.

**Consent for publication**

Not applicable.

**Availability of data and materials**

Not applicable.

**Competing interests**

The authors declare that they have no competing interests.

**Funding**

This study is supported by the Japan Research Foundation for Healthy Aging. This funding body is only providing financial support and has no role in the design of the study protocol, the writing of the manuscript, the analysis and interpretation of the data, or the decision to submit the report for publication.

**Authors’ contributions**

HK, TK, and YS designed the study protocol and drafted the manuscript. TA further aided in assessment and revision of the protocol and revised the manuscript. The COMFORTABLE study group members, including KS, YI, TT, YH, KK, HS, KK, MG, TH, MO, KM, and JY, contributed to the initial conception of the study design. YS provided the statistical analysis. All authors carefully read and approved the final version of the manuscript.
Acknowledgments

We acknowledge proofreading by Benjamin Phillis at the Clinical Study Support Center, Wakayama Medical University.

References

1. Pijls NH, De Bruyne B, Peels K, Van Der Voort PH, Bonnier HJ, Bartunek J Koolen JJ, et al. Measurement of fractional flow reserve to assess the functional severity of coronary-artery stenoses. N Engl J Med. 1996;334:1703-8.

2. Pijls NH, Van Schaardenburgh P, Manoharan G, Boersma E, Bech JW, Van’t Veer M, et al. Percutaneous coronary intervention of functionally nonsignificant stenosis: 5-year follow-up of the DEFER Study. J Am Coll Cardiol. 2007;49:2105-11.

3. Zimmermann FM, Ferrara A, Johnson NP, Van Nunen LX, Escaned J, Albertsson P, et al. Deferral vs. performance of percutaneous coronary intervention of functionally non-significant coronary stenosis: 15-year follow-up of the DEFER trial. Eur Heart J. 2015;36:3182-8.

4. Tonino PA, De Bruyne B, Pijls NH, Siebert U, Ikeno F, Van’t Veer M, et al; FAME Study Investigators. Fractional flow reserve versus angiography for guiding percutaneous coronary intervention. N Engl J Med. 2009;360:213-24.

5. Pijls NH, Fearon WF, Tonino PA, Siebert U, Ikeno F, Bornschein B, et al; FAME Study Investigators. Fractional flow reserve versus angiography for guiding percutaneous coronary intervention in patients with multivessel coronary artery disease: 2-year follow-up of the FAME (Fractional Flow Reserve Versus Angiography for Multivessel Evaluation) study. J Am Coll Cardiol. 2010 ;56:177-84.

6. De Bruyne B, Pijls NH, Kalesan B, Barbato E, Tonino PA, Piroth Z, et al; FAME 2 Trial Investigators. Fractional flow reserve-guided PCI versus medical therapy in stable coronary disease. N Engl J Med. 2012;367:991-1001.
7. De Bruyne B, Fearon WF, Pijls NH, Barbato E, Tonino P, Piroth Z, et al; FAME 2 Trial Investigators. Fractional flow reserve-guided PCI for stable coronary artery disease. N Engl J Med. 2014;371:1208-17.

8. Van Nunen LX, Zimmermann FM, Tonino PA, Barbato E, Baumbach A, Engstrøm T, et al; FAME Study Investigators. Fractional flow reserve versus angiography for guidance of PCI in patients with multivessel coronary artery disease (FAME): 5-year follow-up of a randomised controlled trial. Lancet. 2015;386:1853-60.

9. Courtis J, Rodés-Cabau J, Larose E, Déry J-P, Nguyen CM, Proulx G, et al. Comparison of medical treatment and coronary revascularization in patients with moderate coronary lesions and borderline fractional flow reserve measurements. Catheter Cardiovasc Interv. 2008;71:541-8.

10. Lindstaedt M, Halilcavusogullari Y, Yazar A, Holland-Letz T, Bojara W, Mügge A, et al. Clinical outcome following conservative vs revascularization therapy in patients with stable coronary artery disease and borderline fractional flow reserve measurements. Clin Cardiol. 2010;33:77-83.

11. Petraco R, Sen S, Nijjer S, Echavarria-Pinto M, Escaned J, Francis DP, et al. Fractional flow reserve-guided revascularization: practical implications of a diagnostic gray zone and measurement variability on clinical decisions. JACC Cardiovasc Interv. 2013;6:222-5.

12. Shiono Y, Kubo T, Tanaka A, Ino Y, Yamaguchi T, Tanimoto T, et al. Long-term outcome after deferral of revascularization in patients with intermediate coronary stenosis and gray-zone fractional flow reserve. Circ J. 2014;79:91-5.

13. Yamashita J, Tanaka N, Shindo N, Ogawa M, Kimura Y, Sakoda K, et al. Seven-year clinical outcomes of patients with moderate coronary artery stenosis after deferral of revascularization based on gray-zone fractional flow reserve. Cardiovasc Interv Ther.
14. Adjedj J, De Bruyne B, Flore V, Di Gioia G, Ferrara A, Pellicano M, et al. Significance of intermediate values of fractional flow reserve in patients with coronary artery disease. Circulation. 2016;133:502-8.

15. Agarwal SK, Kasula S, Edupuganti MM, Raina S, Shailesh F, Almomani A, et al. Clinical decision-making for the hemodynamic “gray zone” (FFR 0.75-0.80) and long-term outcomes. J Invasive Cardiol. 2017;29:371-6.

16. Kang DY, Ahn JM, Lee CH, Lee PH, Park DW, Kang SJ, et al. Deferred vs. performed revascularization for coronary stenosis with grey-zone fractional flow reserve values: data from the IRIS-FFR registry. Eur Heart J. 2018;39:1610-9.

17. Kubo T, Takahata M, Terada K, Mori K, Arita Y, Ino Y, et al. Retrospective Comparison of Long-Term Clinical Outcomes Between Percutaneous Coronary Intervention and Medical Therapy in Stable Coronary Artery Disease With Gray Zone Fractional Flow Reserve - COMFORTABLE Retrospective Study. Circ J. 2018;82:3044-51.

18. Cutlip DE, Windecker S, Mehran R, Boam A, Cohen DJ, Van Es GA, et al. Clinical end points in coronary stent trials: a case of standardized definitions. Circulation. 2007;115:2344-51.

19. Matsumoto H, Nakatsuma K, Shimada T, Ushimaru S, Mikuri M, Yamazaki T, et al. Effect of caffeine on intravenous adenosine-induced hyperemia in fractional flow reserve measurement. J Invasive Cardiol. 2014;26:580-5.

20. Matsumoto H, Ushimaru S, Matsuda T, Shimada T, Mikuri M, Takahashi H, et al. Is Caffeine Abstention Necessary Before Adenosine-Induced Fractional Flow Reserve Measurement? J Am Coll Cardiol. 2015;66:1943-5.

Figures
CONSORT diagram - PCI percutaneous coronary intervention.
| TIMEPOINT | Enrolment | Allocation | PCI | Post-allocation | Close-out |
|-----------|-----------|------------|-----|-----------------|-----------|
| -28 days  | -28 days  | Day 0      | 0-14 days | Hospital discharge | 12 m (+60 days) | 24 m (+60 days) | 60 m (+60 days) |
| ENROLMENT: | Eligibility screen | X | | | |
| | Informed consent | X | | | |
| | Demographics | X | | | |
| | Medical history | X | | | |
| | Allocation | X | | | |
| INTERVENTIONS: | Medical therapy plus PCI | X | X | | |
| | Medical therapy alone | X | | | |
| ASSESSMENTS: | Blood test | X | | X* | X* | X* |
| | Coronary angiography | X | | X | | |
| | FFR at baseline | X | | X | | |
| | Post PCI FFR | X | | | | |
| | Medications | X | | X | X | X | X |
| | Adverse events | X | | X | X | X | X |

**Figure 2**

SPIRIT schedule of enrollment, intervention, and assessments - *If clinically indicated. FFR fractional flow reserve, PCI percutaneous coronary intervention.

**Supplementary Files**

This is a list of supplementary files associated with the primary manuscript. Click to download.

SPIRIT-R2 checklist Kitabata et al.doc