Electrically addressable integrated intelligent terahertz metasurface

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Reconfigurable intelligent surfaces (RISs) play an essential role in various applications, such as next-generation communication, uncrewed vehicles, and vital sign recognizers. However, in the terahertz (THz) region, the development of RISs is limited because of lacking tunable phase shifters and low-cost sensors. Here, we developed an integrated self-adaptive metasurface (SAM) with THz wave detection and modulation capabilities based on the phase change material. By applying various coding sequences, the metasurface could deflect THz beams over an angle range of 42.8°. We established a software-defined sensing reaction system for intelligent THz wave manipulation. In the system, the SAM self-adaptively adjusted the THz beam deflection angle and stabilized the reflected power in response to the detected signal without human intervention, showing vast potential in eliminating coverage dead zones and other applications in THz communication. Our programmable controlled SAM creates a platform for intelligent electromagnetic information processing in the THz regime.

INTRODUCTION

With the development of next-generation wireless communication, there is a booming need for intelligent beamforming and electromagnetic signal processing (1–3). The emergent reconfigurable intelligent surfaces (RISs) provide a promising solution for reconfiguring the electromagnetic wave propagation environment by controlling the complex reflection coefficients of their elements (4). For example, by controlling the surface phase profile, RIS can function as a low-cost passive phased array for beamforming, which is essential to improving the link at the dead zone and suppress the cochannel interference at the cell edges. Besides that, RISs have other potential applications in wireless communication, such as physical layer security enhancement and signal hot spot creation (5–7).

The realization of RISs at microwave frequencies is based on programmable metasurfaces, which typically comprise a planar array of meta-atoms integrated with electronic switches (8, 9). In recent years, intelligent metasurfaces have been proposed as an upgraded version of the programmable metasurface (10–12). By combining sensors into the programmable metasurface, intelligent metasurfaces that can adjust their functionality according to the surrounding environment have been created. Intelligent metasurfaces, especially those enhanced by artificial intelligence algorithms, have exhibited powerful capability in the flexible manipulation of electromagnetic waves, as demonstrated by self-adaptive cloaking, intelligent beam steering, and monitoring vital signs (13–18). Because of technical limitations, most current intelligent metasurfaces work in microwave frequencies. Intelligent metasurfaces in terahertz (THz) and higher frequency bands remain to be developed.

The rich spectral resources available at THz frequencies can substantially enhance the data rate of wireless communication (19). A beamforming technique that can self-adaptively focus THz signals to the desired direction is highly demanded to compensate for the severe path loss of THz waves. However, extending the phased array and programmable metasurfaces from microwave to THz frequencies encounters technological challenges due to the lack of electronic switches. In the THz regime, programmable metasurfaces that embed tunable materials, such as semiconductors (20, 21), phase transition materials (22–25), liquid crystals (26–30), and graphene (31, 32), into subwavelength resonators, have been implemented. Despite the impressive progress, the major obstacle to realizing THz intelligent metasurfaces is the scarcity of low-cost sensors and pixelated phase modulators (33).

Many tunable materials at THz frequencies could be used for sensitive detectors and modulators (21, 34–39), which offers a possibility of monolithic integration of low-cost THz sensors and pixelated metasurfaces. Intrigued by this idea, we developed a self-adaptive metasurface (SAM) at THz frequencies using the tremendous conductivity change of vanadium dioxide (VO2) in the insulator-metal transition (IMT) region (40). The SAM can sense the THz environment and steer the reflected THz beams by alternating spatial phase distributions. Then, we constructed an uncrewed sensing-reaction system. The SAM detected the incident THz wave and steered the THz beam self-adaptively with predefined software in the host computer. Furthermore, we conducted two proof-of-concept demonstrations. The experimental results show that the SAM can sense and manipulate THz waves without human intervention. We believe that the concept of SAMs offers a general solution for intelligent metasurfaces at THz and higher frequencies, which potentially have vast applications in communication and other information technologies.

RESULTS

Design of THz SAM and sensing-reaction system

A sketch of a sensing-reaction system based on the SAM is shown in Fig. 1. The SAM is a linear array with 48 subarrays. The reflection coefficient of each subarray can be controlled independently by applying different electrical biases $V_i$ (the subscript $i$ denotes the $i$-th subarray). Sharp changes in the electrical resistance of VO2 in the IMT region can be used for sensitive THz detection. Therefore,
The inset in Fig. 2B is the close-up of the VO$_2$ microbridge. In the metallic state, the VO$_2$ patches are 0.507 THz (Fig. 2C), leading to a sharp change in the reflection amplitude and phase for various VO$_2$ conductivities are shown in Fig. 2 (C and D). There are three typical states, namely, the OFF, intermediate (IM), and ON states. The corresponding conductivities are 6 × 10$^{-4}$, 4 × 10$^{-4}$, and 2.5 × 10$^{-3}$ S/m, respectively. As VO$_2$ goes through the phase transition from an insulating (OFF) state to a metallic state (ON), the resonant frequency shifts from 0.425 to 0.507 THz (Fig. 2C), leading to a sharp change in the reflection phase (Fig. 2D). At 0.425 THz, the amplitude of the OFF and ON states are almost the same, and their phase difference is 180°. Thus, a one-bit coding metasurface is achieved. When different voltage biases are applied, the reflection phase of 0° (element “0”) or 180° (element “1”) could be dynamically switched, resulting in the deflection of the THz beam to a specific angle.

The transmission line method (TLM) is used to analyze the electromagnetic response of each unit cell. An inductor-resistor-capacitor (RLC) series circuit replaces the active layer in the equivalent circuit (42, 43). Fitted curves are shown by the dashed lines in Fig. 2 (C and D). The fitting parameters of $R$, $L$, and $C$ extracted from the simulated reflection spectra for the OFF and ON states are shown in Fig. 2B. The increased $L$ in the metallic state mainly causes the shift in resonance frequency. A near–perfect absorption is achieved in the IM state (blue) at 0.425 THz. As shown in Fig. 2E, the electric field is enhanced in the region of VO$_2$, indicating that most of the incident power at the absorption frequency is captured by the VO$_2$ microbridge. Therefore, the structure is favorable for a microbolometer with high responsivity.

However, before applying coding sequences to alter the phase distribution of the SAM for beam deflection, we should first address thermal cross-talk between adjacent subarrays as it can deteriorate beam steering performance by obscuring the coding pattern. The thermal contact between the metasurface and hot plate is crucial for suppressing the thermal cross-talk (24). Here, we use a thermal paste with a conductivity of 14.3 W/(m·K) to decrease the thermal resistance and suppress the lateral thermal diffusion. The simulated cross-sectional temperature distribution for different thermal conductivities of thermal paste is depicted in Fig. 2 (F and G). The results are obtained when the temperatures of the active layer and hot plate are 68° and 60°C, respectively. We plot the corresponding temperature distribution at $z = 0$ for comparison in Fig. 2H. The results show that the thermal paste with high thermal conductivity leads to excellent suppression of the thermal cross-talk.

**Performance of THz beam deflection and detection**

We fabricated the proposed SAM using the microfabrication process (see Materials and Methods and section S1 for details) and experimentally characterized its performance. A photograph of the fabricated sample on a printed circuit board is shown in Fig. 3A. We electrically controlled the SAM by applying different voltage configurations. The corresponding coding sequences determined the deflection angle of the THz beam. For example, for a coding sequence of /0011.../ (denoted by P4; see Fig. 3B), the element of 1 was realized by applying a high voltage of 20 V ($V_{ON}$), whereas the rest were biased at a low voltage ($V_{OFF} = 0$ V). According to the generalized Snell’s law and the theory of antenna arrays (44, 45), the angle of deflected beam can be described by the following

$$|\sin \theta_r - \sin \theta_i| = \frac{\lambda}{M d_z} (l = 0, \pm 1, \pm 2, \pm 3... \pm m)$$  \hspace{1cm} (1)
where $\theta_r$ is the deflection angle, $M$ is the periodicity of the pattern in terms of the subarray number, and $d_s$ is the width of each subarray as illustrated in Fig. 2A. For example, for a metasurface with a coding sequence of /010011…/ (P3), $M$ of the coding sequence is 6. The deflection angles of the two main reflected beams relative to the specular reflection angle were 18.5° and 42.8° (as shown in the "P3" of Fig. 3C, in which $\theta_r$ is denoted by the angle difference relative to the specular reflection, i.e., $\Delta \theta = \theta_r - \theta_{sp}$), corresponding to $l = 1$ and $l = 2$, respectively (see detailed analysis in Materials and Methods).

The measured angular distribution of the deflected beam with various coding sequences sketched in Fig. 3B is shown in Fig. 3C. The electric field is normalized to the largest deflected field scattered by the SAM with a coding sequence of /0000…/. When we switched the coding sequences, the phase gradient varied, resulting in a change in the deflection angle. From Fig. 3C, the maximum deflection angle of the proposed SAM was 42.8°. For comparison, we calculated the corresponding angular distribution pattern based on phased array theory, and the numerical results are also shown (gray lines).
in Fig. 3C. For comparison, the numerical field amplitudes have been adjusted to the corresponding experimental results so that the amplitude of the main beam is the same. The measured deflection efficiency for the coding sequence of P8 is 7.3%. Although the deflection efficiency for different coding sequences differs, the deflected angles agree well with the calculated results. The difference in amplitudes of the main deflected beam with varying coding sequences can be attributed to the variation of the radiation amplitudes of the metasurface elements with angle.

Next, to verify the capability of the SAM to detect THz waves, we measured its response under THz radiation (see fig. S3A for the experimental setup). Figure 3D shows the relationship between the response voltage and the modulation frequency when the subarray is biased with 4 mA at 60°C. We fitted the experimental data as a function of the modulation frequency to obtain the response time (46). The best fit corresponds to a thermal relaxation time of 24 μs. We also measured the responsivity (R) of the subarray at a modulation frequency of 3.1 kHz, which was 13.6 V/W (see details in Materials and Methods). As seen in Fig. 3E, we measured the voltage noise spectral density (V_{noise}) of the subarray for THz wave detection. According to the noise equivalent power (NEP) definition, i.e., NEP = V_{noise}/R, the calculated NEP was 2.9 × 10^{-7} W-Hz^{-1/2}.

Intelligent THz beam steering using THz sensing reaction system

Since the proposed SAM can sense and manipulate the incident wave, it can control electromagnetic waves adaptively on the basis of predefined software without manual involvement. In the following section, we present two demonstrations showing the potential application of the proposed SAM in THz communication.

In the first scenario, the SAM is proposed to eliminate the coverage dead zones after detecting the THz signal. We chose one subarray in the middle as the sensor subarray (see section S2). It is biased with a current of 4 mA for THz detection. The voltage response (V_{sense}) is demodulated and sent to the host computer as the sensing signal. When V_{sense} is higher than the predefined threshold voltage (V_{th}), the host computer determines that the THz wave has reached the SAM and sends a command to the programmable switch matrix. Correspondingly, the coding sequence is applied to the other subarrays (modulation subarrays) for beam steering. The voltage sequences control the deflection of the THz wave to a specific angle. In this case, the SAM is working in beam steering (BS) mode (Fig. 4A). When V_{sense} is smaller than the predefined V_{th}, the SAM determines no incident wave. In that case, the SAM goes into power saving (PS) mode (Fig. 4B), and the voltage bias of all the modulation subarrays is removed. The power consumption is correspondingly saved.

As shown in Fig. 4A, to verify whether the SAM can deflect a THz beam to the designed direction (θ_r = 28.6°) after detecting the signal, we placed an external THz detector in the designed direction. The detector can be regarded as a user in a coverage dead zone due to the occlusion of trees or tall buildings. The “OFF” and “ON” states of THz wave incidence were simulated by inserting or removing a metallic baffle in the THz transmission path of SAM. A timing diagram of these two states is shown in the top inset of Fig. 4C. Figure 4C shows the signals detected by the SAM and the external detector when the two states change alternately (see movie S1). When the incident THz wave is blocked, V_{sense} is lower than the V_{th}. The system then determines that there is no incident wave. Correspondingly, the bias of modulation subarrays is turned off for energy saving. However, when the V_{sense} is larger than the V_{th}, the SAM is applied
with the coding sequence of /11110000…/ for deflecting the THz beam to $\theta_r = 28.6^\circ$. As shown in Fig. 4C, the external detector successfully detected the THz wave in the deflected direction. In Fig. 4D, the reaction time from the detection of the incident THz wave to the initiation of beam steering is within 3 s.

As displayed in Fig. 2C, each subarray can modulate its reflection amplitude by changing the VO$_2$ conductivity. Hence, the proposed SAM can adaptively control the reflected power by tuning the THz absorptivity of each subarray. In the second demonstration, we showed its ability to maintain power stability despite incident power fluctuation. A schematic of the experimental setup is shown in Fig. 5A. The SAM works in the IM state and self-adaptively adjusts the absorptivity on the basis of the detected signal. For this demonstration, we used a similar experimental setup as shown in Fig. 4A, except that $\theta_i$ is 15°, which is the specular angle corresponding to an incident angle of $\theta_i = 15^\circ$. The sensor subarray is biased with 4 mA at 60°C for THz detection. Meanwhile, the modulation subarrays are biased with a current of 10 mA to reach the IM state. We set the lower and upper $V_{th}$ ($V_{th1}$ and $V_{th2}$) and adjust the reflection coefficient of the modulation subarrays on the basis of the algorithm shown in Fig. 5B.

The reflected power measured by the external detector (blue) and the incident power detected by the SAM (orange) are plotted in Fig. 5C. As the external detector response increases from 200 $\mu$V to approximately 460 $\mu$V, the reflected power increases. When the software found that $V_{sense}$ surpassed the $V_{th2}$, the host computer sent commands to SAM. Thus, the bias current of each modulation subarray is increased to reduce the THz reflectivity from $R_H$ to $R_L$. The quantities of $R_L$ and $R_H$ indicated the THz reflectivity when the driving current is 10 and 0 mA, respectively. As a result, the reflected THz power went back. As shown in Fig. 5C, the reflected power remains constant most of the time, except for a short period after the switching (see movie S2).
DISCUSSION

Using the proposed SAM, we provide two conceptual demonstrations, suggesting their function of intelligent THz signal manipulation. In the first demonstration, the metasurface could decide whether to deflect the THz beam or go into standby mode to save power consumption. This self-adaptive beam steering feature can effectively eliminate coverage dead zones, making it suitable for large-scale deployment as RIS in the wireless communication network. The energy-saving option in our demonstration is a favorable plus for reducing operating costs. In the second demonstration, the metasurface could intelligently adjust the THz wave absorptivity according to the incident power. The power control capability is essential for device-to-device communication to suppress interference (47). In addition, on the basis of Fig. 2C, the SAM could achieve a significant attenuation or even perfect absorption of the incident THz wave, which can be used to improve communication security or reduce interference. Although the functionality demonstrated here is simple, more complicated and intelligent working modes can be realized after loading the appropriate software codes into the sensing reaction system according to the application requirement (13–15, 48–50). Moreover, introducing a feedback loop into the future sensing reaction system will improve the accuracy and anti-interference capability of the control.

The proposed SAM does not require external detectors, unlike conventional intelligent metasurfaces at microwave frequencies. The unit cells with both modulation and detection functions effectively improve the integration of the intelligent metadevices. Thus, the device footprint and manufacturing costs can be effectively reduced. Furthermore, many materials, such as graphene (34, 35), phase change materials (36, 37), semiconductors (21, 38), and superconductors (51, 52), can be used for detectors and modulators with a similar design in a wide range of frequency bands. Thus, the idea is transferable and opens up a promising avenue for developing intelligent metasurfaces over a broad electromagnetic spectrum (see section S6 for more discussion).

In summary, we have developed a SAM in the THz region on the basis of the phase change material. The introduction of the unit cells with dual functions eliminates the need for the external sensor, thus offering a solution for highly integrated and low-cost metasurfaces and is transferable to other frequency bands. Two proof-to-concept demonstrations indicate that the SAM is capable of self-adaptive beam steering and power stabilization. It has intelligence as it can manipulate THz waves according to changes in the electromagnetic environment and application scenarios. Thus, it offers an excellent choice for RIS at THz frequencies for next-generation wireless communication and other information systems.

MATERIALS AND METHODS

Device fabrication and assembly
A 110-nm-thick VO₂ film was deposited on a 160-μm-thick c-cut sapphire substrate via pulsed laser deposition. After that, the positive photoresist (AZ1500) patterns were formed on the sample using ultraviolet photolithography. The patterns were transferred to the VO₂ film via reactive ion etching with a flow rate of 40 standard cubic centimeter per minute and a radio frequency power of 100 W. Next, 10-nm-thick titanium and 200-nm-thick gold films were deposited on the bottom surface of the substrate via magnetron sputtering. Then, the 10-nm-thick titanium and 200-nm-thick gold films were deposited on the top surface of the substrate after the second ultraviolet photolithography. Then, an array of bowtie antennas was formed on the top of the sample using the lift-off process. After device fabrication, the sample was attached to the thermal stage with the thermal paste as a thermally conductive layer. The sample was connected to a printed circuit board via wire bonding for electrical control. A detailed flow chart of the fabrication process is illustrated in fig. S1.

Beam steering measurement
An optical fiber–based THz time-domain spectroscopy system with a rotation stage was used to characterize the THz beam steering performance. The device was fixed at the center of the rotation stage. A transverse magnetic polarized THz beam generated from a THz emitter was incident to the sample at θ₀ = 15°. The angular distribution of the deflected THz signals was measured by a THz receiver fixed at the end of an arm of the rotation stage.
Coding sequence for beam deflection

When a plane wave illuminates a linear array at an incidence angle of $\theta_{i}$, the array factor $f_{A}(\theta)$ based on array antenna theory can be expressed as follows

$$f_{A}(\theta) = \sum_{n=1}^{N} \Gamma_{n} e^{jmk_{zd}(\sin\theta_{0} - \sin\theta_{i})}$$

$$= \sum_{n=1}^{N/M} \sum_{m=1}^{M} \Gamma_{m} e^{jn\pi(\sin\theta_{0} - \sin\theta_{i})}$$

where $\Gamma_{n}$ is the reflection coefficient of the $n$-th subarray, $d_{z}$ is the subarray width, and $M$ is the number of subarrays in the periodicity of the coding pattern. Equation 2 can be further derived as follows

$$f_{A}(\theta) = \sum_{m=1}^{M} \Gamma_{m} e^{jmk_{zd}(\sin\theta_{0} - \sin\theta_{i})}$$

$$= \left| \Gamma \right| \left( \sum_{m=1}^{M} e^{j\frac{km_{zd}\sin\theta_{0}}{M}} - e^{j\frac{km_{zd}\sin\theta_{i}}{M}} \right)$$

Here, the deflection angle should satisfy the following relationship

$$\left( \sin \theta_{r} - \sin \theta_{i} \right) = \frac{l \lambda}{Md_{z}} \left( l = 0, \pm 1, \pm 2, \pm 3 \ldots \right)$$

where $\lambda$ is the operating wavelength. In addition, the reflected field at $\theta_{i}$ is also dependent on the term $\sum_{m=1}^{M} \Gamma_{m} e^{jmk_{zd}(\sin\theta_{0} - \sin\theta_{i})}$.

When $M$ is an even integer and 0 and 1 elements in a coding period are clustered, respectively, at both sides of the coding period [i.e., P8 (/00001111…), P6 (/000011…), and P4 (/0011…)], the item can be denoted as follows

$$\sum_{m=1}^{M} \Gamma_{m} e^{jmk_{zd}(\sin\theta_{0} - \sin\theta_{i})} = \sum_{m=1}^{M} \Gamma_{m} e^{j\frac{k_{zd}\sin\theta_{0}}{M}}$$

$$= \left| \Gamma \right| \left( \sum_{m=1}^{M} e^{j\frac{k_{zd}\sin\theta_{0}}{M}} - e^{j\frac{k_{zd}\sin\theta_{i}}{M}} \right)$$

THz responsivity measurement of the sensor subarray

To calculate the voltage responsivity of the sensor subarray, we measured the incident power at the focal spot using a THz power sensor (OPHIR, 3A-P-THz). The measured power density ($P_{\text{meas}}$) is 0.42 mW/cm$^2$. The responsivity ($R$) is calculated using the following expression:

$$R = \frac{V_{\text{out}}}{P_{\text{meas}}A}$$

where $V_{\text{out}}$ is the output voltage of the subarray and $A$ is the subarray area. Therefore, on the basis of $V_{\text{out}} = 318.9$ $\mu$V shown in Fig. 3D and A is 0.4 mm by 14 mm for our SAM, the calculated $R$ at a modulation frequency of 3.1 kHz is 13.6 V/W.

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SUPPLEMENTARY MATERIALS

Supplementary material for this article is available at https://science.org/doi/10.1126/sciadv.add926

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Acknowledgments
Funding: This study was supported by the National Key Research and Development Program of China (2017YFA0700202 and 2019YFB2800701), National Natural Science Foundation of China (61731010, 62071217, 62025004, 62025032, 61812112, Fundamental Research Funds for the Central Universities, and Ph.D. Fund Grant of Jiangsu University). Laboratory of Advanced Techniques for Manipulating Electromagnetic Waves. Author contributions: J.W., B.C., and B.J. conceived the idea. X.W. and Y.H. grew the VO$_2$ film. B.C. designed and fabricated the device. B.C., W.L., C.L., Z.W., and H.G. built the experimental setup and performed the measurement. B.C. developed the algorithm. B.C., J.W., K.F., and B.J. analyzed the results. B.C. and J.W. wrote the manuscript with input from all the authors. B.J. and J.W. supervised the project.

This manuscript with input from all the authors. B.J. and J.W. supervised the project.

All data needed to evaluate the conclusions in the paper are present in the paper and/or the Supplementary Materials.

Submitted 23 May 2022
Accepted 25 August 2022
Published 12 October 2022
10.1126/sciadv.aad1296

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