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Nonlocal correlations in iron pnictides and chalcogenides

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Deviations of low-energy electronic structure of iron-based superconductors from density-functional-theory predictions have been parametrized in terms of band- and orbital-dependent mass renormalizations and energy shifts. The former have typically been described in terms of a local self-energy within the framework of dynamical mean field theory, while the latter appears to require nonlocal effects due to interband scattering. By calculating the renormalized band structure in both random phase approximation (RPA) and the two-particle self-consistent approximation (TPSC), we show that correlations in pnictide systems like LaFeAsO and LiFeAs can be described rather well by a nonlocal self-energy. In particular, Fermi pocket shrinkage as seen in experiments occurs due to repulsive interband finite-energy scattering. For the canonical iron chalcogenide system FeSe in its bulk tetragonal phase, the situation is, however, more complex since even including momentum-dependent band renormalizations cannot explain experimental findings. We propose that the nearest-neighbor Coulomb interaction may play an important role in band-structure renormalization in FeSe. We further compare our evaluations of nonlocal quasiparticle scattering lifetime within RPA and TPSC with experimental data for LiFeAs.

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I. INTRODUCTION

Soon after the discovery of iron-based superconductors (FeSC), it was realized that density-functional-theory (DFT) calculations of the electronic structure of these materials gave results that were qualitatively in agreement to angle-resolved photoemission spectroscopy (ARPES): the systems consisted of nearly compensated, quasi-two-dimensional (2D) hole and electron pockets centered at the high-symmetry points of the Brillouin zone (BZ) [1–4]. At the same time, quantitative discrepancies were noted: Fermi velocities and pocket sizes observed by de Haas-van Alphen (dHvA) and ARPES measurements were smaller as compared to those calculated by DFT [5–12]. For the purpose of better visualization, a schematic plot of the band structure and Fermi surface (FS) of a generic FeSC, showing the discrepancy between DFT predictions and experimental findings, is shown in Fig. 1. The “pocket shrinkage” was explained early on in terms of a rather simple picture. In a toy multiband model for FeSC, self-energy renormalizations induced by repulsive interband interactions were shown to lead generically to simultaneous shrinkage of both electron and hole pockets [13,14], also called red-blue shifts [15].

While model calculations are valuable, they cannot distinguish easily among different materials; clearly it is desirable to understand effects of correlations within a material-specific first-principles method beyond DFT. Early attempts by dynamical mean field theory (DFT + DMFT) to explain overall materials trends in Fe-based systems with local correlations were quite successful [16] and led to a picture where interorbital Hund’s exchange suppressed interorbital charge fluctuations and promoted strong orbital-selective correlations, the so-called Hund’s metal state [17–19]. Subsequent DFT + DMFT calculations of the FS of LiFeAs, on which high-resolution ARPES data were available, were also reported as successful because some pocket shrinkage was observed [7,20–22], but on closer examination, these calculations did not account for the simultaneous shrinkage of both the electron and hole pockets. The first full-fledged numerical calculation that discussed band renormalization effects in FeSC was performed in the framework of the fluctuation exchange approximation (FLEX) [23]. However, the extent of FS shrinkage reported in such calculations were uncontrollably large, which required imposing ad hoc restrictions on the renormalization scheme.

Discrepancies between DFT, DMFT, and experimental low-energy band structures were brought into stark relief in the case of FeSe. DFT calculations within the local density approximation (LDA) or the generalized approximation show FS with two inner $d_{xy}$ $\Gamma$-centered hole pockets surrounded by an outer $d_{xy}$ pocket and two $M$-centered electron pockets of sizes similar to those found in DFT calculations for other FeSC. On the contrary, ARPES at 90 K, just above the tetragonal-to-orthorhombic transition, finds dramatically smaller pockets, with only a single hole pocket at $\Gamma$ of $dz^2/yz$ character [24]. While it is proposed that the two $d_{z^2/r^2}$ bands can split due to spin-orbit coupling [25] or orthorhombic distortions [26], it is striking that the $d_{xy}$ band appears to be...
pushed down by as much as 50 meV compared to reported DFT calculations. Reported DFT + DMFT calculations seem to also fail to capture the strong pocket shrinkage and do not reproduce the suppression of the \( d_{xy} \) band below the Fermi level \cite{27–29}. At lower temperatures, the FeSe system becomes strongly nematic without long-range magnetism, an effect that was not found in any first-principles calculation until quite recently \cite{30}, with a combination of \( \text{LDA} + U \) and an enlarged unit cell scheme, whose generality is currently unclear.

Recently, Zantout et al. \cite{31} proposed that a local approximation of correlations was not sufficient to account for band renormalizations in the Fe-pnictides. They showed that a significantly better description of the relative pocket shrinkage and deformations in \( \text{LiFeAs} \) band structure was obtained within a multiorbital formalism of the two-particle self-consistent scheme (TPSC) \cite{32}. TPSC has the advantage that it preserves certain local spin and charge sum rules that are not accounted for in the more popular approximation schemes. The good agreement found with band renormalization and scattering lifetimes from ARPES experiments left open the question of whether this success was due to some aspect of the particular approximation scheme employed, or to the general inclusion of nonlocal correlations. It is therefore important to compare different approximate approaches to calculating nonlocal self-energy to see the extent to which they agree with experimental evidences, and with each other.

It is also very important to consider and understand the origin of the apparent profoundly different effects of correlations in the two classes of iron pnictides (Pn) and chalcogenides (Ch). In this paper, we present calculations of the nonlocal electronic self-energy within the random phase approximation (RPA) and compare with TPSC calculations. For historical reasons, we also compare with FLEX calculations, but in contrast to the FLEX approach in Ref. \cite{23}, we ignore first-order Hartree-Fock contributions to the self-energy since our DFT-derived tight-binding parameters already account for important Hartree-Fock corrections.

This paper is organized as follows: First, we introduce in Sec. II the standard mechanism for Fermi pocket shrinkage in FeSC, as originally discussed by Ortenzi et al. \cite{13}. We point out that while their description of the repulsive interband scattering driving the pocket shrinkage is generally correct, the interplay between momentum-transfer and finite-energy scattering processes is somewhat subtle and needs to be considered carefully in the context of realistic models driven by spin-fluctuation interaction. In Sec. III, we introduce the multiorbital Hubbard-Hund Hamiltonian with which realistic calculations of the self-energy and renormalized band structure will be performed via RPA scheme. In Sec. IV A, we present RPA results for electronic-structure renormalization for \( \text{LaFeAsO}, \text{LiFeAs}, \) and \( \text{FeSe} \), which agrees very well with TPSC and FLEX evaluations. We show that in the case of \( \text{LiFeAs} \) and \( \text{LaFeAsO} \) (Pn), all the methods agree semiquantitatively, giving rise to nonlocal band renormalizations and pocket shrinkage similar to ARPES findings. On the other hand, for \( \text{FeSe} \) (Ch), while results of the three methods are still similar when starting with the same \textit{ab initio} model, they remain in dramatic disagreement with the experimental band structure. We show in Sec. IV B that one of the possible physical mechanisms that could explain drastic band renormalization for \( \text{FeSe} \) is significant nearest-neighbor (NN) Coulomb interactions. In Sec. IV C, we explicitly benchmark the results for the static self-energy obtained from RPA, TPSC, and FLEX. We present in Sec. IV D our results for the quasiparticle lifetimes in \( \text{LiFeAs} \) at different points on its renormalized FS and compare with ARPES findings. Finally, we present our conclusions in Sec. V.

II. BACKGROUND: TOY MODEL ILLUSTRATING FERMI SURFACE SHRINKAGE

The phenomenon of Fermi pocket shrinkage, illustrated in Fig. 1, has been discussed in the context of a simple two-band model for FeSC by Ortenzi et al. in Ref. \cite{13}. They analyzed the changes of the low-energy effective model induced by the coupling to collective modes, described within an Eliashberg framework via a self-energy function for each band. They showed that the multiband character of the electronic structure and the strong particle-hole asymmetry of the bands induce self-energy effects that lead to the shrinking of the FS.

The FS of the interacting system is given by the pole of the renormalized Green’s function \( G(\mathbf{k}, \omega_m) = (G^0(\mathbf{k}, \omega_m)^{-1} - \Sigma(\mathbf{k}, \omega_m))^{-1} \), as obtained from Dyson’s equation, where \( G^0(\mathbf{k}, \omega_m) \) is the noninteracting Green’s function and \( \Sigma(\mathbf{k}, \omega_m) \) the self-energy. Here \( \omega_m = (2m + 1)\pi T \) are the fermionic Matsubara frequencies for a given temperature \( T \). The renormalized dispersion arises from modification of the DFT dispersion caused by the real part of the static self-energy \( \Sigma(\mathbf{k}, \omega_0) \) as \( T \to 0 \). A momentum-dependent self-energy that has a negative (positive) value of \( \Sigma(\mathbf{k}, \omega_0) \) around the hole (electron) pocket can result in simultaneous pocket shrinkage. Hence, for our purpose, we can investigate the sign of \( \Sigma(\mathbf{k}, \omega_0) \).

Revisiting the shrinking mechanism discussed by Ortenzi et al., it is instructive to consider the result of lowest order perturbation theory for a two-band model as shown in Fig. 2, with a \( \Gamma = (0, 0) \) centered hole pocket and an \( \Gamma = (\pi, 0) \) centered electron pocket. We assume purely 2D parabolic bands with constant density of states, such that \( N_\alpha = (|E_{\alpha}^\uparrow| - |E_{\alpha}^\downarrow|)^{-1} \),
where \(E_{\text{rad}}^{\mu} \) are the extrema of the \( \alpha \) band as shown in Fig. 2. The one-loop fermionic self-energy mediated via an interaction \( V_{\alpha\beta}(\mathbf{q}, \Omega_m) \) is generally given by

\[
\Sigma_\alpha(k, \omega_m) = \frac{T}{N_q} \sum_{\omega_n} V_{\alpha\beta}(\mathbf{q}, \Omega_m) G^0_{\beta}(k - \mathbf{q}, \omega_m - \Omega_m),
\]

where \( \Omega_m = 2m\pi T \) are the bosonic Matsubara frequencies and \( \alpha, \beta \) are band indices. By approximating the full interaction with a momentum-independent repulsive interband interaction \( U(1-\delta_{\alpha\beta})D(\Omega_m) \), mediated via a bosonic propagator \( D(\Omega_m) \) with a single Einstein mode at \( \Omega_E \), and solving Eq. (1) in the \( T \to 0 \) limit, one arrives at the analytical result discussed in Ref. [13]:

\[
\Sigma'_\alpha(\omega_0) = -\Omega_E U N_q \ln \frac{|E^{\mu}_{\beta}|}{|E^{\mu}_{\beta}|}. \tag{2}
\]

Notice that in the particle-hole symmetric limit where \( |E^{\mu}_{\beta}| = |E^{\mu}_{\beta}| \), Eq. (2) leads to \( \Sigma'_\alpha(\omega_0) = 0 \). However, for particle-hole asymmetric bands, \( \Sigma'_\alpha(\omega_0) \) is nonzero. It has positive value for a holelike band since \( |E^{\mu}_{\beta}| > |E^{\mu}_{\beta}| \) and positive value for an electron band as \( |E^{\mu}_{\beta}| > |E^{\mu}_{\beta}| \). This leads to simultaneous pocket shrinkage.

We now illustrate how the pocket shrinkage mechanism proposed by Ortenzi et al. works within a slightly more complex model with a momentum-dependent interaction. Since the Fermi pockets in FeSC are small, it seems natural to associate zero-energy scattering processes at small momentum transfer with intraband interactions, and those at momenta close to the antiferromagnetic instability wave vector \((\pm \pi, 0)\) with interband interactions. Here we discuss which region in momentum space is actually associated with interband processes that lead to pocket shrinkage.

As shown in Fig. 2, in the case of finite-energy interband scattering of a quasiparticle from the \( \Gamma \) point with momentum transfer \( \pi - q_{\text{rad}} \), one can end up at an energy level of \( E_M(\tilde{M} - q_{\text{rad}}) \). Similarly, scattering from \( \tilde{M} \) can end at an energy level of \( E_\Gamma(\Gamma + q_{\text{rad}}) \). This means that for a given interaction with momentum transfer \( \pi - q_{\text{rad}} \), we need to perform the numerical integration over \( \omega_0 \) in the sum in Eq. (1) using a patch of radius \( q_{\text{rad}} \) centered around \((\pm \pi, 0)\). By changing the area of integration, we are effectively changing the range of energy considered, which allows us to rewrite the analytical result of Eq. (2) as a function of \( q_{\text{rad}} \):

\[
(\Sigma_{\Gamma})_{\text{an}} = -\Omega_E U N_q \ln \frac{|E_M(\tilde{M} - q_{\text{rad}})|}{|E^{\mu}_M|}, \tag{3}
\]

\[
(\Sigma'_{\Gamma})_{\text{an}} = -\Omega_E U N_q \ln \frac{|E^{\mu}_{\Gamma}|}{|E^{\mu}_{\Gamma}(\Gamma + q_{\text{rad}})|}, \tag{4}
\]

where the subscript \( \text{an} \) stands for analytical. The sign of the self-energy renormalizations now crucially depends on the region of momenta used in the integration. A shrinking of the pockets is recovered as long as \(|E_M(\tilde{M} - q_{\text{rad}})| > |E_E^{\mu}| \) and \(|E^{\mu}_{\Gamma}(\Gamma + q_{\text{rad}})| > |E^{\mu}_{\Gamma}| \), while the opposite result is obtained if one restricts the integration over a small region of \( q \) space centered around \((\pm \pi, 0)\) fluctuation.

We discuss this result in more detail in Appendix B by performing numerical evaluations of Eq. (1) as a function of \( q_{\text{rad}} \) with the interaction \( V_{\alpha\beta}(\mathbf{q}, \Omega_m) \) treated in the usual RPA approach. The main conclusion of our analysis of the toy model is that the shrinking mechanism proposed by Ortenzi et al. produces the correct trend for most band structures encountered in the FeSC. However, when attributing Fermi pocket shrinkage to interband scattering, though the interaction is sharply peaked around antiferromagnetic wave vector \((\pm \pi, 0)\), one needs to account for the crucial role played by all other momentum transfer \( q \) vectors participating in finite-energy scattering processes. Approximations that incorporate only large-\( q \) processes in an attempt to describe dominant interband scattering may fail to produce the correct pocket shrinkage, even if the interaction vertex is strongly peaked in \( q \).

Notice that the inclusion of the explicit momentum dependence of the spin spectrum in the above description of Fermi pocket shrinkage would lead to further renormalization, i.e., vertex corrections connected to the self-energy by standard Ward identities. We neglect such vertex corrections in the present paper, though their effects could be important in the analysis of transport as shown, e.g., in Ref. [33]. It is also worth noticing that using a simplified renormalization group analysis for FeSC, one can generate nonlocal interactions that can eventually lead to shrinkage or expansion of Fermi pockets[34], the physical essence of which is similar to our findings.

### III. MODEL AND RPA SCHEME

In the following sections, we perform numerical calculations for the realistic band structures of various FeSC. Based on the analysis of the simplified two-band model performed in Sec. II, we expect to find a shrinkage of the FS in systems with shallow, particle-hole asymmetric bands. However, the extent of this effect can be strongly modified by the number of hole/electron bands interacting via \( V(\mathbf{q}, \Omega_m) \), the relative weight of the bands controlled by its density of states, and the degree of particle-hole asymmetry of each band.

The realistic calculations of renormalized band structure in FeSC begin with a five-orbital tight-binding Hamiltonian \( H_0 \). The kinetic energy \( H_0 \) includes the chemical potential \( \mu_0 \) and is spanned in the basis of five Fe \( d \) orbitals \([d_{x^2-y^2}, d_{z^2}, d_{xz}, d_{yz}, d_{d_{3z^2-r^2}}]\). The local interactions are...
included via Hubbard–Hund part $H_I$ and the full Hamiltonian is

$$H = H_0 + H_I = \sum_{ij\sigma} \langle t_{ij}^\sigma \rangle - \mu \delta_{ij} \delta_{\tilde{\sigma} \sigma} \epsilon_i^\sigma c_{i\sigma}^\dagger c_{j\sigma}$$

$$+ U \sum_{it} n_{i\uparrow} n_{i\downarrow} + U' \sum_{i,t<q} n_{i\sigma} n_{iq\sigma},$$

$$+ J' \sum_{i,t\neq q} \epsilon_i^\sigma \epsilon_q^\gamma c_{i\sigma}^\dagger c_{iq\gamma},$$

$$+ J' \sum_{i,t\neq q} \epsilon_i^\sigma \epsilon_q^\gamma c_{i\sigma}^\dagger c_{iq\gamma},$$

where the interaction parameters $U, U', J, J'$ are given in the notation of Kuroki et al. [35]. We consider cases which obey spin-rotation invariance through the relations $U' = U - 2J$ and $J = J'$. Here $q$ and $t$ are the orbital indices and $i$ is the Fe-atom site. The single-particle noninteracting Green’s function is given by

$$G^0(\mathbf{k}, \omega_m) = [i\omega_m - H_0(\mathbf{k})]^{-1}. \quad (6)$$

The orbitally resolved noninteracting susceptibility is

$$\chi^{0}_{pq\sigma}(\mathbf{q}, \Omega_m) = -\frac{1}{\beta N_k} \sum_{k,\omega_n} G^0_{pq}(\mathbf{k}, \omega_n)G^0_{qp}(\mathbf{k} + \mathbf{q}, \omega_n + \Omega_m), \quad (7)$$

where $N_k$ is the number of $k$ points and $\beta = 1/T$ is the inverse temperature.

Within the RPA, we define the charge-fluctuation and spin-fluctuation parts of the RPA susceptibility as

$$\chi^C(\mathbf{q}, \Omega_m) = [1 + \chi^0(\mathbf{q}, \Omega_m)U^C]^{-1}\chi^0(\mathbf{q}, \Omega_m),$$

$$\chi^S(\mathbf{q}, \Omega_m) = [1 - \chi^0(\mathbf{q}, \Omega_m)U^S]^{-1}\chi^0(\mathbf{q}, \Omega_m). \quad (8)$$

The interaction matrices $U^C$ and $U^S$ in orbital space have the following elements:

$$U_{pppp}^C = U, \quad U_{pppp}^S = U, \quad U_{pppp}^S = U,$$

$$U_{pppp}^S = 2U' - J, \quad U_{pppp}^S = J, \quad U_{pppp}^S = J',$$

$$U_{pppp}^S = 2J - U', \quad U_{pppp}^S = U'. \quad (9)$$

We note that our calculations are based on the LDA ab initio electronic band structure which already contains important Hartree-Fock corrections. The remaining leading order spin-fluctuation contributions to the self-energy within RPA is mediated through the particle-hole interaction:

$$V_{pq\sigma}(\mathbf{q}, \Omega_m) = \left[ \frac{3}{2} U^S \chi^S(\mathbf{q}, \Omega_m)U^S + \frac{1}{2} U^C \chi^C(\mathbf{q}, \Omega_m)U^C - \left( \frac{U^C + U^S}{2} \right) \chi^0(\mathbf{q}, \Omega_m) \left( \frac{U^C + U^S}{2} \right) \right]_{pq\sigma}. \quad (10)$$

In the paramagnetic state, where the initial and the final spins for the normal self-energy are the same, the above interaction results from summing over all possible triplet and singlet scattering channels [36]. Using Dyson’s equation, one obtains the interacting Green’s function

$$G(\mathbf{k}, \omega_m)^{-1} = G^0(\mathbf{k}, \omega_m)^{-1} - \Sigma(\mathbf{k}, \omega_m), \quad (11)$$

where the self-energy in orbital basis is given by

$$\Sigma_{pq}(\mathbf{k}, \omega_m) = \frac{1}{\beta N_k} \sum_{\mathbf{q}, \Omega_m} V_{pq\sigma}(\mathbf{q}, \Omega_m)G^0_{qp}(\mathbf{k} - \mathbf{q}, \omega_n - \Omega_m). \quad (12)$$

To ensure particle number conservation, the chemical potential is reevaluated from the interacting Green’s function (details in Appendix A).

The scattering lifetime of quasiparticles at a specific momentum point $\mathbf{k}$ with energy $\omega$ in orbital $p$ is given by $-\Sigma(\mathbf{k})\Sigma^\dagger(\mathbf{k}, \omega)$, where $\Sigma^\dagger$ refers to the imaginary part of the self-energy, and the quasiparticle weight $Z_p(\mathbf{k})$ is given by

$$Z_p(\mathbf{k}) = \left[ 1 - \frac{\partial \Sigma^\dagger_{pp}(\mathbf{k}, \omega_m)}{\partial \omega_m} \right]_{\omega_m \to 0^+}^{-1}. \quad (13)$$

At sufficiently low temperatures, one can use the analytic properties of the Matsubara self-energy to approximate $Z_p(\mathbf{k}) \approx [1 - \Sigma^\dagger_{pp}(\mathbf{k}, \omega_0)/\omega_0]^{-1}$, where $\omega_0 = \pi T$. We set $T = 100 \text{ K} = 0.0086 \text{ eV}$ for the rest of this paper unless mentioned otherwise. We have used standard $U$ and $J$ values as used in the literature employing the RPA approach [37]. We use the Padé approximation for numerical analytic continuation. Further numerical details are provided in Appendix A.

**IV. RESULTS**

A. Electronic-structure renormalization

To present our results in a manner that is easily comparable to experimental data, we folded our spectral function from 1-Fe to 2-Fe BZ. As shown earlier in Fig. 1, the high-symmetry points in the 2-Fe BZ are $\Gamma = (0, 0), X = (\pi, 0)$, and $M = (\pi, \pi)$. In Fig. 3, we show the spectral function $A(\mathbf{k}, \omega) = -\frac{1}{\beta} \sum_{\mathbf{q}} G^0_{pp\sigma}(\mathbf{k}, \omega)$, obtained from the imaginary part of the retarded interacting Green’s function within the RPA scheme for LaFeAsO, LiFeAs, and FeSe in their tetragonal phase as representatives of the pnictide and chalcogenide classes. For our DFT electronic dispersion, we have chosen the tight-binding parameters derived from DFT, relevant to LaFeAsO as in Ref. [37], LiFeAs as in Ref. [31], and FeSe as in Ref. [38]. Due to the quasi-2D nature of FeSC bands and to compare with available experimental data, our evaluations are restricted to the 2D plane at $k_z = 0$. The obtained quasiparticle bands closely trace the position of the peak in the spectral density, as denoted by the bright yellow curves in the spectral function [Fig. 3(a)—LaFeAsO, 3(b)—LiFeAs, 3(c)—FeSe]. For easier comparison to the DFT bands, we have superimposed the DFT-derived band structure along high-symmetry path $\Gamma - X - M - \Gamma$ with black lines in these figures. The corresponding DFT FSs with their respective orbital content are shown in Fig. 3(d) for LaFeAsO, 3(e) for LiFeAs, and 3(f) for FeSe. The RPA spectral maps for the renormalized FSs are provided in Fig. 3(g) for LaFeAsO, 3(h) for LiFeAs, and 3(i) for FeSe. All results shown in Fig. 3 evaluated within RPA are in agreement with findings from TPSC and FLEX.
FIG. 3. Spectral function $A(k, \omega)$ for interacting bands evaluated along high-symmetry path $\Gamma - X - M - \Gamma$ for (a) LaFeAsO at $U = 0.8$ eV, (b) LiFeAs at $U = 0.8$ eV, and (c) FeSe at $U = 0.89$ eV in the 2-Fe BZ. The value of Hund’s coupling term was fixed at $J = U/8$. The black lines and the bright yellow curves denote the DFT and the renormalized bands, respectively. Contour plots of the DFT Fermi surface for (d) LaFeAsO, (e) LiFeAs, and (f) FeSe are represented by its corresponding dominant orbital character as indicated in the color legend on top. Spectral map of the renormalized Fermi surface for (g) LaFeAsO, (h) LiFeAs, and (i) FeSe. The momentum points marked as 1–5 on LiFeAs Fermi surface in (h) are used in evaluation of scattering lifetimes of quasiparticles later.

First, we notice the following universal trends across all families of FeSC: The quasiparticle bands are strongly renormalized, resulting in noticeable changes in the FS, i.e., shrinkage around $\Gamma$ and $M$ points for both hole and electron pockets compared to their DFT counterparts and momentum-dependent renormalization of the velocity of the bands under interaction. Upon comparison to experiment, we find that our renormalized FS for LaFeAsO [Fig. 3(g)] are similar to the ones observed in ARPES [4]. Out of the three $\Gamma$-centered hole pockets, the $d_{xy}$ pocket undergoes the most shrinkage while for the $M$-centered electron pockets, the $d_{xz}$ parts shrink more than the $d_{xy}$ part. For LiFeAs, the renormalized FS in Fig. 3(h) agrees with ARPES findings from Refs. [6,7,39,40]. The $\Gamma$-centered $d_{xy}$ hole pocket does not show noticeable shrinkage but the innermost $d_{xz}$ hole pockets gets pushed down and show only residual spectral weight.
from the bands grazing the Fermi level. The renormalized FS for FeSe [Fig. 3(i)] also shows simultaneous shrinkage of both hole and electron pockets, however, it disagrees with ARPES data [10–12,28,41], where drastic shrinkage of all the Fermi pockets is reported, including vanishing of the \( d_{xy} \) hole pocket from the \( \Gamma \) point. The most striking aspect of the band renormalization, i.e., the complete disappearance of the largest \( (d_{xy}) \) hole pocket found in DFT calculations based on reported x-ray crystal structures, has not been addressed in the literature.

As explained in Sec. II above, a momentum- and orbital-dependent self-energy that has a negative (positive) value of \( \Sigma' k, \omega = 0 \) around the hole (electron) pocket is a consequence of itinerant spin-fluctuations and can result in pocket shrinkage to various degrees in different orbitals. It should be noted that the above calculations are based on DFT-derived tight-binding models without spin-orbit coupling. Therefore, certain low-energy splitting has been neglected. In particular, in the case of LiFeAs, it is known that only a single band crosses the Fermi level at the \( Z \) point, and neither of the hole bands at the \( \Gamma \) point [42,43]. Inclusion of spin-orbit coupling can account for this splitting and will allow for agreement with ARPES data only at somewhat higher values of the Coulomb interaction.

**B. Effects of nearest-neighbor Coulomb repulsion**

In this section, we explore another physical mechanism that may be relevant for a quantitative understanding of band renormalizations. Longer-range Coulomb interactions have been previously shown to influence the pairing structure in FeSC [44] and proposed as a candidate for the origin of \( d \)-wave nematic order in FeSe [45,46]. These interactions can also result in a drastically renormalized band structure [45–47]. To explore this effect, for the electronic structures of LiFeAs and FeSe considered here, we introduce the following NN Coulomb interaction

\[
H_V = V \sum (i,j) \sum_{\sigma,\sigma'} \sum_{n,j} n_{i\sigma} n_{j\sigma'},
\]

where \( V > 0 \), and \((i,j)\) indicates the summation over NN sites. To treat the effects of the NN Coulomb repulsion, we perform a Hartree-Fock mean-field decoupling and introduce the bond-order fields:

\[
N^{\alpha q}_{k\sigma} = -\frac{2V}{N_k} \sum_k \{ \cos(k_x - k'_x) + \cos(k_y - k'_y) \} (c_{\alpha k\sigma}^t c_{\alpha k'\sigma}^t).
\]

Note that here we investigate only the effects of NN coupling on the band structure. It remains to be determined what the effects of further next-nearest-neighbor interactions are. In addition, we ignore Hartee-Fock terms arising from on-site interactions since these only introduce orbital-dependent onsite energy shifts that, we find for interaction parameters corresponding to Fig. 3, lead to small quantitative changes to the results discussed below. A quantitative exploration of the effects of a full set of neighboring Coulomb interaction parameters is left to future study.

By closely following the symmetry arguments in Refs. [45–47], we decompose the bond-order fields into \( C_4 \)-symmetry-preserving and breaking terms, denoted \( N^{\alpha q}_{k\sigma,br} \) and \( N^{\alpha q}_{k\sigma,lb} \), respectively. The additional subscripts of the fields refer to band renormalization (br) and symmetry-breaking (sb). For our current study, we assume no spontaneous structural transition to occur, and therefore neglect the \( C_4 \)-symmetry-breaking terms. This leaves us with the task of calculating \( N^{\alpha q}_{k\sigma,br} \) self-consistently, while keeping the electron density \( n \) fixed. The resulting FSs for LiFeAs and FeSe are depicted in Fig. 4 for different interaction potential strengths \( V \) as used in the standard literature [47]. It is evident that the FSs are significantly modified even for rather small \( V \) and that the two systems are affected quite differently by the NN Coulomb repulsion. These observations are seen specifically for \( V = 0.30 \) eV [Figs. 4(c) and 4(e)], where the \( d_{xy} \)-dominated \( \Gamma \)-pockets of the LiFeAs band get completely removed from the Fermi level, whereas for the FeSe band in Figs. 4(d) and 4(f), it is the \( d_{xy} \)-dominated \( \Gamma \)-pocket that gets removed for \( V = 0.46 \) eV while the \( d_{yz} \)-dominated pockets prevail. The origin of this difference between the two materials can be traced to the initial band structures [see Figs. 3(b) and 3(c)]. Specifically, the \( d_{xy} \)-dominated DFT hole band at \( \Gamma \) reaches significantly larger energies for LiFeAs (\( \approx 250 \) meV) than for FeSe.
FeSe ($\approx 100$ meV), thus simply making it more robust towards renormalization effects.

### C. Comparison of RPA, TPSC and FLEX results

To provide a quantitative basis of comparison of the self-energy obtained via different methodologies described in this paper, we focus on the real part of the orbitally-resolved static self-energy $\Sigma'(k, \omega_\nu)$ computed along high-symmetry path $\Gamma - M - \Gamma - \Gamma$ in the 1-Fe BZ of LiFeAs. $\Gamma$ hosts the small $d_{xz/yz}$-dominated hole pockets. $\Gamma$ refers to the $(\pi, \pi)$ point in the 1-Fe BZ which gets folded back to the $\Gamma$ point in the 2-Fe BZ representation, and hosts the large $d_{xy}$-dominated hole pocket. $M$ hosts the $d_{xy}$-dominated electron pocket which gets folded and represented by the $M$-point in the 2-Fe BZ. In Fig. 5, we plot $\Sigma'(k, \omega_\nu)$ along $\Gamma - M - \Gamma - \Gamma$ obtained via RPA [Fig. 5(a)], TPSC [Fig. 5(b)], and FLEX evaluations [Fig. 5(c)].

We have demarcated the new chemical potential $\mu$ by the solid black line to illustrate the relative sign contrast of $\Sigma'(k, \omega_\nu)$ between different momentum points. First, we notice the qualitative similarities in $\Sigma'(k, \omega_\nu)$ obtained via the three methods. The $d_{xz/yz}$ component at the $\Gamma$ point lies below the new chemical potential $\mu$ resulting in a relative negative value of the self-energy, whereas the $d_{xy}$ component at the $M$ point lying above $\mu$ results in a relative positive value. As discussed before in Sec. II, due to the above behavior of the self-energy, the resulting renormalized FS shows pocket shrinkage for both the inner $d_{xz/yz}$ hole and $d_{xy}$ electron pockets. Note that the outer $d_{xy}$ hole pocket centered around $\Gamma$ doesn’t show much shrinkage, as is also evident from its $\Sigma'(k, \omega_\nu)$ value which is grazing the level of the new chemical potential. It is worth noting that the self-energy components displayed in Fig. 5 for TPSC are larger than the magnitudes shown for RPA and FLEX. This is due to the fact that TPSC is able to access higher values of the self-energy than its position on the FS (hole or electron pocket). This makes it easier to link the behavior at any specific Fermi momentum point to its corresponding orbital. Within Fermi liquid theory, the imaginary part of the retarded self-energy in 2D obeys the Fermi liquid relation within logarithmic accuracy with respect to the binding energy $\omega$ near the Fermi level at temperature $T$ [48],

$$-\Sigma''(k_F, \omega) \propto \omega^2 \log |\omega/\Lambda| + \pi^2 T^2,$$

(16)

$\Lambda \sim E_F$ is some upper energy cutoff. The scattering lifetime of quasiparticles near the Fermi level is given by $-Z(k_F)\Sigma''(k_F, \omega)$ as discussed earlier in Sec. III. Previous TPSC calculations [31] have found Fermi-liquid and non-Fermi liquid behavior of quasiparticles.

In ARPES measurements, the quasiparticle lifetimes are typically compared to the dominant quadratic- $\omega$ Fermi liquid term in Eq. (16) as

$$\hbar/\tau = \gamma((\hbar \omega)^2 + (\tau T)^2),$$

(17)

In Ref. [39], Brouet et al. claimed that the Fermi liquid behavior of scattering lifetime depends on the orbital character rather than its position on the FS (hole or electron pocket). They found that momentum points [3 and 4] dominated by $d_{xy}$ orbitals on both hole and electron pockets show strong Fermi liquid behavior with a large $\gamma$, unlike small $\gamma$ value for $d_{xz/yz}$ orbital [points 2 and 5]. Brouet et al. explained that for a simple metal, the renormalized bandwidth $ZW$ would set a low-energy scale for the coherent part of the spectrum obtained via TPSC and RPA, we still have qualitatively similar Fermi level features. This is due to the fact that some of the self-energy shifts are undone by the shift of the new chemical potential, which is also much larger in TPSC than in RPA. In Appendix C, we have presented a detailed comparison of quasiparticle weights obtained via RPA and TPSC.

### D. Scattering lifetime of quasiparticles

In this section, we will address ARPES findings for momentum-dependent scattering lifetime of quasiparticles in LiFeAs for momentum points $1 - 5$ along $\Gamma - M$ direction as marked in Fig. 3(h). This particular high-symmetry direction includes points on the FS with orbital weight arising purely from a single specific orbital. This makes it easier to link the behavior at any specific Fermi momentum point to its corresponding orbital. Within Fermi liquid theory, the imaginary part of the retarded self-energy in 2D obeys the Fermi liquid relation within logarithmic accuracy with respect to the binding energy $\omega$ near the Fermi level at temperature $T$ [48],

$$-\Sigma''(k_F, \omega) \propto \omega^2 \log |\omega/\Lambda| + \pi^2 T^2,$$

(16)

$\Lambda \sim E_F$ is some upper energy cutoff. The scattering lifetime of quasiparticles near the Fermi level is given by $-Z(k_F)\Sigma''(k_F, \omega)$ as discussed earlier in Sec. III. Previous TPSC calculations [31] have found Fermi-liquid and non-Fermi liquid behavior of quasiparticles.

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FIG. 6. Scattering lifetime of quasiparticles as a function of binding energy $\omega$ evaluated within RPA for (a) $U = 0.85U_{\text{crit}}$, (b) $U = 0.95U_{\text{crit}}$, $J = U/8$, and $T = 100$ K, at momentum points 1 − 5 as denoted in Fig. 3(b) for LiFeAs. Solid lines are fit to Fermi liquid behavior. Colors refer to particular momentum points as marked in the plots and are labeled in descending order of their $-Z\Sigma''(0)$ value from top to bottom.

$E_{\text{coh}}$ to observe Fermi liquid behavior, i.e., $E_{\text{coh}} \ll ZW$ and $\gamma \sim 1/ZW$ [49]. In the case of $ZW$ being smaller for $d_{x^2-y^2}$ than for $d_{xy}$, it may not be possible to establish its Fermi liquid regime within current experimental precision. In an independent ARPES study [40], Fink et al. claimed that the scattering lifetime shows strong momentum dependence which can be attributed to nesting conditions and availability of phase space for interband scattering. They found linear-$\omega$ behavior for $d_{x^2-y^2}$ orbitals at momentum points 1, 3 (hole), and 5 (electron) while quadratic behavior for $d_{x^2-y^2}$ orbitals at points 2 (hole) and 4 (electron). The discrepancy between these two experimental works remains an open question.

We performed two sets of RPA calculations for Coulomb interaction parameters $U = 0.85U_{\text{crit}}$ and $U = 0.95U_{\text{crit}}$, with $J = U/8$ and $U_{\text{crit}} = 1.19$ eV being the critical values causing antiferromagnetic instability at $T = 100$ K. With the larger $U = 0.95U_{\text{crit}}$, the shrinkage of FS pushes the inner hole pockets by almost 15 meV below the Fermi level. As mentioned earlier in Sec. IV A, since the observed spin-orbit splitting at $\Gamma$ in LiFeAs is of the same order of magnitude, we expect that inclusion of spin-orbit coupling will give rise to a single band grazing the Fermi level, as seen in ARPES data.

In Fig. 6, we show the scattering lifetime as a function of frequency for the above two values of $U$. In Fig. 6(b), we do not show data for momentum points 1 and 2 since in our calculation without spin-orbit coupling, both the inner-hole pockets are pushed below the Fermi level. We find that all our numerical data obtained at different momentum points can be fit to the Fermi liquid behavior as in Eq. (16), with fitting coefficients $a$, $\Lambda$, $b$: 

$$-Z\Sigma''(\omega) = a\omega^2\log(\omega/\Lambda) + b.$$ 

We find that the $d_{xy}$ orbitals become more correlated than $d_{x^2-y^2}$ as $U$ is increased, i.e., $Z(d_{xy})$ decreases by a factor of 2.7 compared to 1.7 for $Z(d_{x^2-y^2})$. The relative magnitudes for $-Z\Sigma''(0)$ changes upon increasing $U$, specifically the $d_{x^2-y^2}$ electron pocket (point 5) acquires a larger $-Z\Sigma''(0)$ than $d_{xy}$ hole and electron pockets (points 3 and 4). This hierarchy of the $-Z\Sigma''(0)$ values agree with the ARPES findings in Ref. [39]. Also, for larger $U$ we find that the fitting coefficient $a$ for Fermi-liquid behavior is larger for momentum points 3 and 4 ($d_{xy}$ orbital content) than for 5 ($d_{x^2-y^2}$ content), which is also consistent with Ref. [39] claims.

Independent of the values of $U$, the analysis of the RPA scattering lifetime yields Fermi liquid behavior compatible with some experimental data [39]. The analysis close to criticality also shows enhancement of orbital differentiation in qualitative agreement with this data.

V. SUMMARY AND CONCLUSIONS

We have performed 2D calculations of the momentum-dependent dynamic self-energy and its corresponding electronic structure renormalization effects for three prototypical FeSC: LaFeAsO, LiFeAs, and FeSe. We showed that repulsive interband finite-energy scattering processes that are sensitively dependent on the upper/lower edge of the band structure are important in determining the correct sign of the self-energy that causes FS shrinkage. Treating itinerant spin fluctuations that result from local Coulomb interactions within both RPA, TPSC, and FLEX schemes, our results point toward a universal trend across the families of FeSC, i.e., FS shrinkage of both hole and electron pockets arising due to nonlocal, orbitally selective self-energy renormalizations. Although the resulting renormalized FSs for Pn-systems LaFeAsO and LiFeAs agree well with ARPES findings, the
Ch-system FeSe does not yield the drastic FS shrinkage observed in experiments.

We proposed that NN Coulomb interaction might be playing a significant role in the band-structure renormalization of the Ch system. In this context, our calculations show desirable results for FeSe. Next, we evaluated momentum-dependent scattering lifetime of quasiparticles in LiFeAs showing Fermi liquid behavior. We have also included detailed discussions about ARPES findings for the same. We conclude that the inclusion of nonlocal, orbitally resolved self-energy effects within the framework of existing spin-fluctuation theories is an important ingredient to understand the observed electronic structure of the moderately correlated Pn systems. Whereas the more strongly correlated Ch systems might be dictated by other underlying physical mechanisms, for example, nonlocal Coulomb interactions. It is desirable to have further investigations devoted to a detailed study of the band renormalization in bulk FeSe.

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APPENDIX A: NUMERICAL DETAILS

Here, we will outline a few generic relations that are practical, save memory, and speeds up the actual numerical calculations. For a paramagnetic normal state that is time-reversal invariant, the Green’s function obeys the relation

\[ G_{ps}(\mathbf{k}, \omega_n)^\dagger = G_{sp}(\mathbf{k}, -\omega_n). \]  

(A1)

Following this, the noninteracting susceptibility obeys the relation \( \chi_{pqst}^0(\mathbf{q}, \Omega_m) = \chi_{stpq}^0(\mathbf{q}, -\Omega_m) \). The interaction matrix elements as mentioned in Eq. (9) are symmetric under the interchange \( U_{pqst} = U_{tspq} \), which allows for the spin and charge RPA susceptibilities and the effective interaction to obey the same symmetry relation like the noninteracting susceptibility:

\[ V_{pqst}(\mathbf{q}, \Omega_m)^\dagger = V_{stpq}(\mathbf{q}, -\Omega_m). \]  

(A2)

These relations help in restricting our calculations to only one-half of the Matsubara plane while the other half can be symmetry related. With the temperature set to \( T = 100\) K, we used 400 Matsubara frequencies in the upper half plane for all our calculations. We performed 2D calculations with a \( \mathbf{k} \) mesh of \( 40 \times 40 \) in the unfolded 1-Fe BZ.

The orbitally resolved noninteracting susceptibility is evaluated using the Lindhard expression,

\[ \chi_{pqst}^0(\mathbf{q}, \Omega_m) = -\frac{1}{N\beta} \sum_{\mathbf{k},\omega_m} G_{iq}^0(\mathbf{k}, \omega_m)G_{pq}^0(\mathbf{k} + \mathbf{q}, \omega_m + \Omega_m) \]

\[ = -\frac{1}{N\beta} \sum_{\mathbf{k},\omega_m} d_{pq}^m(\mathbf{k})d_{iq}^m(\mathbf{k})d_{qk}^m(\mathbf{k} + \mathbf{q})d_{mk}^m(\mathbf{k} + \mathbf{q}) \]

\[ \times [f(E_{pq}(\mathbf{k})) - f(E_{qk}(\mathbf{k} + \mathbf{q}))], \]  

(A3)

where \( f(\epsilon) = 1/(e^{\beta\epsilon} + 1) \) is the Fermi-Dirac distribution function. To evaluate the self-energy as in Eq. (12), we used circular convolution theorem with fast Fourier transform along the momentum space.

To ensure particle number conservation, one has to evaluate a new chemical potential \( \mu \) such that the electron density is a given \( n = \sum_{\mathbf{k}} f(E_{pq}(\mathbf{k})) \). Here, \( E_{pq}(\mathbf{k}) \) is the eigenvalue of the unperturbed Hamiltonian \( H_0(\mathbf{k}) \). With the interacting Green’s function, we can evaluate \( n \) with the following equation:

\[ n = 2\sum_{\mathbf{k}} f(E_{pq}(\mathbf{k})) + \frac{2}{\beta N\beta} \sum_{\mathbf{k},\omega_m} \left[ G_{pp}(\mathbf{k}, \omega_m) - G_{0p}(\mathbf{k}, \omega_m) \right]. \]  

(A4)

To produce the plots in Fig. 3 with high resolution, we have interpolated our Matsubara self-energy data at each frequency point to a \( \mathbf{k} \) mesh of \( 250 \times 250 \) points, followed by the evaluation of the corresponding renormalized Green’s function. We folded our spectral function from 1-Fe to 2-Fe BZ to present our results in a manner that is easily comparable to experimental data.

APPENDIX B: RPA CALCULATION ON THE TOY TWO-BAND MODEL

In Sec. II, we illustrated the pocket shrinking mechanism proposed by Ortenzi et al. in Ref. [13] within a toy two-band model for FeSC with 2D parabolic bands. Here, we analyze the case of a momentum-dependent spin-fluctuation interaction and verify that, while it seems natural to associate scattering process at \((\pm \pi, 0)\) with interband interactions to recover the shrinking of the pockets one has to consider the region in momentum space large enough to accommodate scattering processes that connect sufficiently high energy states in an electron (hole) band to those near the top (bottom) of the hole (electron) band.

The hole and electron band dispersions are

\[ E_\uparrow(\mathbf{k}) = -\gamma(k_x^2 + k_y^2) + \mu, \]  

(B1)

\[ E_\downarrow(\mathbf{k}) = \gamma(k_x^2 - \pi^2 + k_y^2) - \mu. \]  

(B2)

With \( \gamma = 1.5 \) and \( \mu = 1 \), we fix the units of energy in terms of \( \mu \) for our current analysis. We ignore the presence of the \( M \)-centered electron pocket since it doesn’t add any further
FIG. 7. Plot of the real part of the static self-energy $\Sigma_{\alpha}(\omega)$ (in units of energy) at $\Gamma$ and $M$ points as a function of varying $q_{rad}$. Both numerical results and analytical predictions have been included. Inset: The shaded region in red depicts the area of $q$ integration as in Eq. (1) within the radius $q_{rad}$, centered around the $(\pm \pi, 0)$ antiferromagnetic wave vector.

The corresponding particle-hole interaction is

$$V_{\alpha\beta}(q, \Omega_m) = \left[ \frac{3}{2} U^2 \chi^C(q, \Omega_m) + \frac{1}{2} U^2 \chi^S(q, \Omega_m) \right]_{\alpha\beta}.$$  (B4)

A bare Coulomb interaction parameter of $U = 8 \mu$ and $T = 0.05 \mu$ was used for the numerical evaluation.

We provide both analytical predictions using Eqs. (3) and (4) and numerical results performed using $V_{\alpha\beta}(q, \Omega_m)$ from Eq. (B4) in Eq. (1) for the static self-energy, as a function of $q_{rad}$. In Fig. 7, we show that both the analytical ($\Sigma_{\alpha\beta}^0$) and numerical ($\Sigma_{\alpha\beta}^{\text{num}}$) results agree qualitatively, changing signs at a specific $q_{rad}$. It eventually yields a desirable value for FS shrinkage, in the limit where $q_{rad}$ encompasses the full BZ integration. While the zero of the analytical functions is found exactly at the particle-hole symmetry point [see Eqs. (3) and (4)], the change of signs for the numerical self-energies occurs at a slightly different momenta due to the effect of the momentum dependence of $V_{\alpha\beta}(q, \Omega_m)$. The agreement between analytical predictions and numerical results is a strong verification of our correct understanding of the interplay between momentum transfer and finite-energy scattering, and its role in FS shrinkage. With our findings, we re-emphasize that finite energy scattering processes that are sensitively dependent on the upper/lower edge of the band structure are also important in determining the correct sign of the self-energy that causes FS shrinkage.

APPENDIX C: COMPARISON OF QUASIPARTICLE WEIGHTS OBTAINED VIA RPA AND TPSC

In this Appendix, we will compare quasiparticle weights $Z(\mathbf{k})$ obtained via different methods and analyze its evolution with respect to the Hubbard interaction. The quasiparticle weight, strictly speaking, is a quantity defined only at the Fermi level. This means only $Z_{dx^2-y^2}$ and $Z_{dz^2-r^2}$ can be analyzed for the orbitals carrying weight on the FS of FeSCs, leaving out $Z_{dxy}$ and $Z_{dz^2-r^2}$.

FIG. 8. (a) Renormalized Fermi surface of LiFeAs evaluated via RPA at $U = 0.8$ eV and $J = U/8$. (b) Plot of the evolution of quasiparticle weight $Z(\mathbf{k})$ with increasing $U$ value evaluated via RPA for momentum points 3 and 5 as marked in (a). (c) Same as in (b) but for TPSC calculation using Hubbard-Kanamori interaction matrices.
The comparison of Z values obtained from RPA and TPSC is not straightforward because the parameters corresponding to U, J, etc. have slightly different meanings and must be interpreted as renormalized quantities. For example, it is well known that the RPA produces a rather good approximation to the structure of the exact (quantum Monte Carlo) magnetic susceptibility of the Hubbard model in momentum and frequency space, but requires a strong downward renormalization of U to do so [50]. RPA and TPSC approximations therefore work at different scales of U, rendering qualitatively similar but quantitatively different values of various quantities. To be more consistent with comparing results, at the same scale of value causing antiferromagnetic instability in RPA calcula-

tions, the TPSC evaluation presented in this Appendix has been obtained using Hubbard-Kanamori form of the interaction matrices as used in RPA with J set to U/8, unlike the main text where cRPA values of the interaction parameters were used to compare with Ref. [31].

In Figs. 8(b) and 8(c), we have shown the evolution of Z as a function of U for LiFeAs from RPA and TPSC calculations, respectively. As already discussed in Sec. IV D, upon increasing U value, the two inner hole pockets of LiFeAs are pushed below the Fermi level, making momentum points 1 and 2 [see Fig. 8(a)] vanish. Hence, data for these points are not shown. The $U_C = 1.19$ eV marked in Fig. 8(b) signifies the critical U value causing antiferromagnetic instability in RPA calculations. On the other hand, TPSC calculations are not inhibited by the same $U_C$ at such low values of U. One can see the expected trend of Z decreasing as U increases. For all cases, we see that $Z_{d_{xy}} < Z_{d_{z^2}}$, but the TPSC Z values are much larger than the RPA Z values. Point 4 has $d_{xy}$ orbital content which is the same as point 3, hence not repeated in the plot.

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