INVOLUTIONS IN THE TOPOLOGISTS’ ORTHOGONAL GROUP

DANIEL DUGGER

Abstract. We classify conjugacy classes of involutions in the isometry groups of nondegenerate, symmetric bilinear forms over the field $\mathbb{F}_2$. The new component of this work focuses on the case of an orthogonal form on an even-dimensional space. In this context we show that the involutions satisfy a remarkable duality, and we investigate several numerical invariants.

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1. Introduction

Let $V$ be a finite-dimensional vector space over $\mathbb{F}_2$ equipped with a nondegenerate, symmetric bilinear form $b$. Write $\text{Iso}(V)$ for the group of isometries of $V$, meaning the group of automorphisms $f: V \to V$ such that $b(f(x), f(y)) = b(x, y)$ for all $x, y \in V$. The goal of this paper is to classify the conjugacy classes of involutions in $\text{Iso}(V)$. This involves three parts:

(P1) Counting the number of conjugacy classes;
(P2) Giving a convenient set of representatives for the conjugacy classes;
(P3) Giving a collection of computable invariants having the property that two involutions are in the same conjugacy class if and only if they have the same invariants (for brevity we will say that the invariants completely separate the conjugacy classes).

The motivation for solving this problem comes from an application in topology. Given a compact manifold $M$ of even dimension $2d$, an involution $\sigma$ on $M$ induces an involution $\sigma^*$ on $H^d(M; \mathbb{F}_2)$. The cup product endows this cohomology group with a nondegenerate, symmetric bilinear form, and $\sigma^*$ is an isometry. The conjugacy class of $\sigma^*$ in $\text{Iso}(H^d(M; \mathbb{F}_2))$ is an invariant of the topological conjugacy class of $\sigma$. That is, two involutions $\sigma$ and $\theta$ on $M$ give isomorphic $\mathbb{Z}/2$-spaces only if $\sigma^*$ and $\theta^*$ are conjugate inside of $\text{Iso}(H^d(M; \mathbb{F}_2))$. Thus, a solution to (P3) yields topological invariants of the involution on $M$. These invariants play a role in the classification of $\mathbb{Z}/2$-actions on surfaces $[D]$. 

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Beyond this initial motivation, however, this paper exists because (P1)–(P3) have surprisingly nice answers. The main work in the present paper occurs when $b$ is the standard dot product on $\mathbb{F}_2^n$, with $n$ even. In this case the group $\text{Iso}(V)$ turns out to have some remarkable structures which aid in the classification. In particular, the involutions exhibit a surprising duality.

To explain the results further, we first introduce two evident invariants. If $\sigma$ is in $\text{End}(V)$ then we define $D(\sigma) = \text{rank}(\sigma + \text{Id})$ and call this the $D$-invariant of $\sigma$. It is an integral lift of the classical Dickson invariant (see [T, Theorem 11.43]). If $\sigma$ is an involution then the Jordan form of $\sigma$ consists of $1 \times 1$ blocks together with $2 \times 2$ blocks of the form $[1, 1]$. Then $D(\sigma)$ is simply the number of $2 \times 2$ blocks appearing, and of course this determines the Jordan form. So the $D$-invariant completely separates the conjugacy classes for involutions in $\text{End}(V)$. Note that $0 \leq D(\sigma) \leq \frac{\dim V}{2}$ always (see Proposition 3.1 below).

For an involution $\sigma$ in $\text{Iso}(V)$, we can consider the map $V \mapsto F_2$ given by $v \mapsto b(v, \sigma v)$. It is non-obvious, but easy to check, that this map is actually linear. Let $\alpha(\sigma)$ denote its rank, which is either 0 or 1. This is clearly an invariant of the conjugacy class of $\sigma$.

The pair $(V, b)$ is called symplectic if $b(x, x) = 0$ for all $x \in V$. In this case $V$ has a symplectic basis, meaning a basis $u_1, v_1, \ldots, u_n, v_n$ with $b(u_i, v_i) = 1$ for all $i$, and all other pairings of basis elements being zero. It follows that $\text{Iso}(V)$ is isomorphic to the standard symplectic group $\text{Sp}(2n)$ (all matrix groups in this paper have matrix entries in $\mathbb{F}_2$, so we will leave the field out of the notation).

In the symplectic case, the $D$ and $\alpha$ invariants completely solve the conjugacy problem. This is a classical result of Ashbacher-Seitz [AS], summarized in the following theorem. See also [Dy1, Section 6] for similar work.

**Theorem 1.1.** Suppose that $(V, b)$ is symplectic of dimension $2n$, and let $\sigma \in \text{Iso}(V)$ be an involution.

(a) If $\sigma$ and $\sigma'$ are two involutions in $\text{Iso}(V)$, then $\sigma$ and $\sigma'$ are conjugate if and only if $D(\sigma) = D(\sigma')$ and $\alpha(\sigma) = \alpha(\sigma')$.

(b) If $D(\sigma)$ is odd, then $\alpha(\sigma) = 1$.

(c) The conjugacy classes of involutions in $\text{Iso}(V)$ are in bijective correspondence with the set of pairs $(D, \alpha)$ satisfying $0 \leq D \leq n$, $\alpha \in \{0, 1\}$, and $\alpha = 1$ when $D$ is odd. The number of these conjugacy classes is equal to

$$\begin{cases} \frac{3n + 2}{2} & \text{if } n \text{ is even}, \\ \frac{3n + 1}{2} & \text{if } n \text{ is odd}. \end{cases}$$

(d) Let $J = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$ and let $I = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$. Let $M = \begin{bmatrix} 1 & 0 & 1 & 1 \\ 0 & 1 & 1 & 0 \\ 1 & 1 & 0 & 1 \end{bmatrix}$. Then the conjugacy classes of involutions in $\text{Sp}(2n)$ are represented by

$$\begin{bmatrix} J & I \\ I & J \end{bmatrix}, \begin{bmatrix} J & J \\ J & J \end{bmatrix}, \ldots, \begin{bmatrix} J & \cdots \\ \cdots & J \end{bmatrix}. $$
together with

\[
\begin{bmatrix}
M & I & \cdots \\
I & M & \cdots \\
\vdots & \ddots & \ddots \\
M & \cdots & I
\end{bmatrix}
\]

The matrices in the first line have \( \alpha = 1 \) and \( D \) equal to 1, 2, 3, \ldots, \( n \). The matrices in the second line have \( \alpha = 0 \) and \( D \) equal to 0, 2, 4, 6, \ldots (terminating in either \( n \) or \( n-1 \) depending on whether \( n \) is even or odd).

If \( V \) is an \( n \)-dimensional vector space over \( \mathbb{F}_2 \) and \( b \) is a nondegenerate symmetric bilinear form, then \( (V, b) \) is either symplectic or else it is isomorphic to \( (\mathbb{F}_2^n, \cdot) \) where \( \cdot \) is the standard dot product (see Proposition 2.1). So it remains to discuss the latter setting, which we call \textbf{orthogonal}. It is tempting in this case to call \( \text{Iso}(\mathbb{F}_2^n, \cdot) \) an \textit{orthogonal group} and to denote it \( O_n \), but this leads to some trouble. In characteristic 2 situations, the theory of symmetric bilinear forms and the theory of quadratic forms diverge. Group theorists, perhaps beginning with [Di], use “orthogonal group” to refer to the automorphisms of a quadratic form—this is different from the group we need to study here. So while the papers of Dye [Dy1, Dy2], for example, classify conjugacy classes of involutions in orthogonal groups over fields of characteristic 2, these are not the orthogonal groups that are relevant to the problem we are trying to solve.

Let us write \( \text{TO}(n) \) for \( \text{Iso}(\mathbb{F}_2^n, \cdot) \) and call it the \textbf{topologists’ orthogonal group} (for want of a better name). It is precisely the group of \( n \times n \) matrices \( A \) over \( \mathbb{F}_2 \) satisfying \( A^T A = I_n \). Our goal is to describe conjugacy classes of involutions in \( \text{TO}(n) \), for all \( n \).

When \( n \) is odd, there is an isomorphism \( \text{TO}(n) \cong \text{Sp}(n-1) \) (see Proposition 2.3). So this case is again handled by Theorem 1.1. When \( n \) is even, there is an isomorphism

\[
\text{TO}(n) \cong M \rtimes \text{Sp}(n-2)
\]

where \( M \) is a certain modular representation of \( \text{Sp}(n-2) \) sitting in a non-split short exact sequence

\[
0 \to \mathbb{Z}/2 \to M \to (\mathbb{Z}/2)^{n-2} \to 0
\]

with the trivial representation on the left and the standard representation on the right (see Corollary 2.15). It is this decomposition of \( \text{TO}(n) \) that allows us to analyze the involutions, using the case of the symplectic group as a starting point.

When \( n \) is even, the group \( \text{TO}(n) \) has a strange symmetry. For \( A \in M_{n \times n}(\mathbb{F}_2) \), let \( m(A) \) be the matrix obtained from \( A \) by changing all the entries: 0 changes to 1, and 1 changes to 0. We call \( m(A) \) the \textbf{mirror} of \( A \). Surprisingly, the mirror of a matrix in \( \text{TO}(n) \) is again in \( \text{TO}(n) \). This map \( m: \text{TO}(n) \to \text{TO}(n) \) is of course not a group homomorphism (it does not preserve the identity), but it does have the property that

\[
m(A)m(B) = AB
\]

for all \( A, B \in \text{TO}(n) \). In particular, if \( A \) is an involution in \( \text{TO}(n) \) then \( m(A) \) is also an involution in \( \text{TO}(n) \). One can also check that if \( A \) and \( B \) are conjugate inside of \( \text{TO}(n) \) then so are \( m(A) \) and \( m(B) \).
Let us write $\tilde{D}(A) = D(mA)$ and $\tilde{\alpha}(A) = \alpha(mA)$. The invariants $D$, $\alpha$, $\tilde{D}$, and $\tilde{\alpha}$ turn out to completely separate the conjugacy classes of involutions. For this reason, let us define the double-Dickson invariant of $A$ by

$$DD(A) = [D(A), \alpha(A), \tilde{D}(A), \tilde{\alpha}(A)] \in \mathbb{Z}^4.$$ 

We will usually call this the $DD$-invariant, for short. It turns out to always be the case that $|D(A) - \tilde{D}(A)| \leq 1$ (see Proposition 3.3).

There are numerous other invariants one can write down, and we give a thorough discussion of these in Section 3. But the four invariants in $DD(A)$ seem to be the most efficient way of capturing a complete set.

We next identify three families of involutions in $\text{TO}(2n)$. To this end, if $A$ is an $n \times n$ matrix and $B$ is a $k \times k$ matrix let us write $A \oplus B$ for the $(n + k) \times (n + k)$ block diagonal matrix

$$\begin{bmatrix} A & O \\ O & B \end{bmatrix}.$$ 

Let $I = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$ and $J = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$.

The first family consists of the matrices

$$I \oplus (n-k) \oplus J \oplus (k), \quad 1 \leq k \leq n - 1,$$

as well as their mirrors. The $DD$-invariants are given by

$$DD(I \oplus (n-k) \oplus J \oplus (k)) = [k+1, 1, k+1, 1],$$

and for the mirrors one simply switches the first two coordinates with the last two. Note that there are $2(n-1)$ matrices in this family.

The second family consists of the matrices

$$m(I \oplus (n-k)) \oplus J \oplus (k), \quad 0 \leq k \leq n - 1$$

together with their mirrors. Here the $DD$-invariants are given by

$$DD(m(I \oplus (n-k)) \oplus J \oplus (k)) = \begin{cases} [k+1, 0, k+1, 1] & \text{if } k \text{ is odd,} \\ [k+1, 0, k, 1] & \text{if } k \text{ is even.} \end{cases}$$

Note that there are $2n$ matrices in this family.

Finally, our third family consists of the matrices

$$m(I \oplus (n-k-1) \oplus J \oplus (k)) \oplus J, \quad 1 \leq k \leq n - 2.$$ 

These matrices turn out to be conjugate to their own mirrors, so we do not include the mirrors this time. The $DD$-invariants are

$$DD\left(m(I \oplus (n-k-1) \oplus J \oplus (k)) \oplus J\right) = [k+2, 1, k+2, 1],$$

and note that there are $n - 2$ matrices in this family.

Taking the three families together, we have produced $5n - 4$ involutions. One readily checks that all of their $DD$-invariants are different, so this is a lower bound for the number of conjugacy classes of involutions. It turns out that there are no others:

**Theorem 1.2.** Assume $n$ is even.

(a) The involutions $A$ and $B$ in $\text{TO}(n)$ are in the same conjugacy class if and only if $DD(A) = DD(B)$. 

(b) There are precisely $5n - 4$ conjugacy classes of involutions in $\text{TO}(2n)$, and they are represented by the three families of matrices

\[ F^{\oplus(n-k)} \oplus J^{\oplus(k)}, \quad 1 \leq k \leq n - 1, \quad (\text{together with their mirrors}) \]

\[ m(F^{\oplus(n-k)} \oplus J^{\oplus(k)}), \quad 0 \leq k \leq n - 1 \quad (\text{together with their mirrors}) \]

\[ m(F^{\oplus(n-k-1)} \oplus J^{\oplus(k)}) \oplus J, \quad 1 \leq k \leq n - 2. \]

Example 1.3. The group $\text{TO}(6)$ has 23,040 elements and 752 involutions, falling into 11 conjugacy classes. The possible $DD$-invariants are

\[
\begin{bmatrix}
1, 1, 2, 1 & 2, 1, 1, 1 & 2, 1, 3, 1 & 3, 1, 2, 1 \\
1, 0, 0, 1 & 0, 1, 1, 0 & 2, 0, 2, 1 & 2, 1, 2, 0 \\
3, 1, 3, 1 &
\end{bmatrix}
\]

where the three rows correspond to the three families of involutions. Here is a randomly chosen involution, written side-by-side with its mirror:

\[
A = \begin{bmatrix}
0 & 0 & 1 & 1 & 1 & 0 \\
0 & 0 & 0 & 0 & 0 & 1 \\
1 & 0 & 1 & 1 & 0 & 0 \\
1 & 0 & 1 & 0 & 1 & 0 \\
1 & 0 & 0 & 1 & 1 & 0 \\
0 & 1 & 0 & 0 & 0 & 0
\end{bmatrix} \quad m(A) = \begin{bmatrix}
1 & 1 & 0 & 0 & 0 & 1 \\
1 & 1 & 1 & 1 & 1 & 0 \\
0 & 1 & 0 & 0 & 1 & 1 \\
0 & 1 & 0 & 1 & 0 & 1 \\
0 & 1 & 1 & 0 & 0 & 1 \\
1 & 0 & 1 & 1 & 1 & 1
\end{bmatrix}.
\]

The $\alpha$ and $\tilde{\alpha}$ invariants are easiest to read off: one just looks along the diagonal. The presence of 1s along the diagonal of $A$ implies $\alpha(A) = 1$, and the presence of 0s along the diagonal of $A$ implies $\tilde{\alpha}(A) = 1$ (since these 0s lead to 1s along the diagonal of $m(A)$). Notice that this immediately puts $A$ in the first or third family.

Next, one readily computes $D(A) = \text{rank}(A + \text{Id}) = 3$ and $\tilde{D}(A) = D(mA) = \text{rank}(mA + \text{Id}) = 3$. So $DD(A) = [3, 1, 3, 1]$, which identifies the appropriate conjugacy class.

Remark 1.4. One can naturally ask if the results of this paper extend to isometry groups over other fields of characteristic two. According to [AS], this works fine in the symplectic case—Theorem 1.1 does not require that the ground field be $\mathbb{F}_2$. The same can therefore be said for the odd-dimensional orthogonal case, as this case was secretly symplectic. But for the even-dimensional orthogonal case, the main methods in this paper only work when the field is $\mathbb{F}_2$. In several places we use constructions that make sense only because certain maps that satisfy $F(\lambda v) = \lambda^2 F(v)$ actually turn out to be linear; this cannot possibly happen over other fields.

1.5. Organization of the paper. In Section 2 we develop the basics of nondegenerate symmetric bilinear forms over $\mathbb{F}_2$ and their isometries. We define the mirror operation, and we explore the connections between the groups $\text{TO}(k)$ and $\text{Sp}(n)$. Section 3 introduces a slew of invariants for involutions, and establishes their basic properties. In Section 4 we prove Theorem 1.2. Finally, Section 5 provides formulas for how the $DD$-invariant behaves under direct sums; these are very useful in applications. Unfortunately this is the most tedious part of the paper, as the formulas involve many cases and are not very enlightening.

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2. Background

Let $F$ be a field. By a **bilinear space** over $F$ we mean a finite-dimensional vector space $V$ together with a nondegenerate symmetric bilinear form $b$ on $V$. Recall that nondegenerate means no nonzero vector is orthogonal to every vector in $V$. Bilinear spaces are more commonly called **quadratic spaces** in the literature, but since the theories of quadratic forms and bilinear forms diverge in characteristic two the terminology chosen here leads to less confusion.

If $a \in F$ we write $\langle a \rangle$ for the one-dimensional vector space $F$ equipped with the bilinear form $b(x, y) = axy$. We write $H$ for $F^2$, with standard basis $\{e_1, e_2\}$, equipped with the bilinear form where $b(e_1, e_1) = b(e_2, e_2) = 0$ and $b(e_1, e_2) = 1$. Write $n\langle 1 \rangle$ for $(1) \oplus (1) \oplus \cdots \oplus (1)$ and $nH$ for $H \oplus H \cdots \oplus H$ ($n$ summands in each case).

A bilinear space $(V, b)$ is called **symplectic** if $b(v, v) = 0$ for all $v$ in $V$. Any symplectic space is isomorphic to $nH$ for some $n$, by [HM, Corollary 3.5]. The proof is simple: choose any nonzero $x \in V$, and then choose a $y \in V$ such that $b(x, y) = 1$. Take the orthogonal complement of $\mathbb{F}_2 \langle a, b \rangle$ in $V$ and continue by induction.

**Proposition 2.1.** Every nondegenerate bilinear space over $\mathbb{F}_2$ is isomorphic to either $nH$ or $n\langle 1 \rangle$, for some $n \geq 1$.

**Proof.** Let $(V, b)$ be a nondegenerate bilinear space over $\mathbb{F}_2$. If $V$ is symplectic then we are done, so we may assume that $V$ contains a vector $x_1$ such that $b(x_1, x_1) = 1$. Take the orthogonal complement of $x_1$ and continue inductively, until one obtains a space that is symplectic. This shows that $V$ is isomorphic to $k(1) \oplus rH$, for some $k$ and $r$.

We will be done if we can show that $\langle 1 \rangle \oplus H \cong 3\langle 1 \rangle$, since then if $k \neq 0$ any copy of $H$ in the decomposition of $V$ can be replaced with $2\langle 1 \rangle$. Suppose that $x, y, z$ is a basis for a space such that

$$b(x, x) = 1 = b(y, z), \quad b(x, y) = b(x, z) = b(y, y) = b(z, z) = 0.$$ 

It is easy to check that $x + y, x + z,$ and $x + y + z$ is an orthonormal basis for the same space. \hfill \Box

**Remark 2.2.** Note that $n\langle 1 \rangle$ is simply $\mathbb{F}_2^n$ with the standard dot product form. We will usually denote this $(\mathbb{F}_2^n, \cdot)$, and will write $e_1, \ldots, e_n$ for the standard orthonormal basis.

From now on we only work over the field $\mathbb{F}_2$. If a bilinear space $(V, b)$ is isomorphic to $nH$ we will write $\text{Sp}(V) = \text{Iso}(V, b)$. If $(V, b)$ is isomorphic to $(\mathbb{F}_2^n, \cdot)$ we say that $V$ is **orthogonal** and write $\text{TO}(V) = \text{Iso}(V, b)$. We will also use the notation $\text{Sp}(2n)$ for the group of isometries of $nH$, and $\text{TO}(n)$ for the group of isometries of $n\langle 1 \rangle$. Note that we may identify $\text{Sp}(2n)$ with the usual group of $2n \times 2n$ symplectic matrices over $\mathbb{F}_2$, and we may identify $\text{TO}(n)$ with the group of $n \times n$ matrices $A$ over $\mathbb{F}_2$ such that $AA^T = I_n$.

If $(V, b)$ is a bilinear space over $\mathbb{F}_2$, then $v \mapsto b(v, v)$ gives a linear map $f : V \to \mathbb{F}_2$. Note that this depends on the fact that $\lambda^2 = \lambda$ for all $\lambda \in \mathbb{F}_2$. Since $b$ is nondegenerate, the adjoint of $b : V \otimes V \to \mathbb{F}_2$ is an isomorphism $V \to V^*$. Taking the preimage of $f$ under this isomorphism, we find that there is a unique vector $\Omega \in V$ with the property that

$$b(\Omega, v) = b(v, v) \quad \text{for all } v \in V.$$
We call $\Omega$ the **distinguished vector** in $V$. Note that when $(V, b) = (\mathbb{F}_2^n, \cdot)$, the distinguished vector is $[1, 1, \ldots, 1]$. The bilinear space $(V, b)$ is symplectic if and only if $\Omega = 0$.

Observe that every isometry of $(V, b)$ must necessarily fix $\Omega$, and therefore maps $\langle \Omega \rangle^\perp$ into itself.

**Proposition 2.3.** When $n$ is odd one has $\text{TO}(n) \cong \text{Sp}(n - 1)$.

*Proof.* Let $U = \langle \Omega \rangle^\perp$. When $n$ is odd we have a decomposition $\mathbb{F}_2^n = U \oplus \langle \Omega \rangle$. Every element of $\text{TO}(n)$ fixes $\Omega$ and therefore maps $U$ to $U$, so we have $\text{TO}(n) \cong \text{Iso}(U)$. But the space $U$ is symplectic, so $\text{Iso}(U) \cong \text{Sp}(n - 1)$. \hfill \Box

It is easy to count the number of elements in $\text{TO}(n)$. The following result is classical, but a nice reference is [M]:

**Proposition 2.4.** For $n \geq 1$ one has

| $\text{TO}(2n)$ | $2^n \cdot (4^n - 4^1)(4^n - 4^2) \cdots (4^n - 4^{n-1})$ |
|-----------------|--------------------------------------------------|
| $\text{Sp}(2n)$ | $2^n \cdot (4^n - 4^0)(4^n - 4^1)(4^n - 4^2) \cdots (4^n - 4^{n-1})$ |

2.5. **Mirrors.** Let $(V, b)$ be an orthogonal space and assume that $\dim V$ is even. This condition forces $b(\Omega, \Omega) = 0$. If $L : V \to V$ is an isometry, define $mL : V \to V$ by

$$mL(v) = L(v) + b(v, \Omega)\Omega.$$  

We call $mL$ the **mirror** of $L$. Clearly $mL$ is still linear, and it is also still an isometry:

$$b(mL(v), mL(w)) = b(Lv + b(v, \Omega)\Omega, Lw + b(w, \Omega)\Omega)$$

$$= b(Lv, Lw) + b(Lv, \Omega)(b(w, \Omega) + b(v, \Omega)b(Lw, \Omega))$$

$$= b(v, w) + b(v, \Omega)b(w, \Omega) + b(v, \Omega)b(w, \Omega)$$

$$= b(v, w).$$

In the third equality we used that $L(\Omega) = \Omega$ and so $b(Lv, \Omega) = b(Lv, L\Omega) = b(v, \Omega)$.

Of course $m : \text{Iso}(V) \to \text{Iso}(V)$ is not a group homomorphism; for example, it does not preserve the identity. But it satisfies the following curious property:

**Proposition 2.6.** If $F, L \in \text{Iso}(V, b)$ then $(mF)(mL) = FL$. In particular, if $F$ is an involution then $mF$ is also an involution.

*Proof.* For $v \in V$ we compute that

$$mF(mL(v)) = mF(Lv + b(v, \Omega)\Omega) = F(Lv + b(v, \Omega)\Omega) + b(Lv, \Omega)\Omega$$

$$= FL(v) + b(v, \Omega)F(\Omega) + b(Lv, \Omega)\Omega.$$  

Now use the facts that $F(\Omega) = \Omega$ and $b(Lv, \Omega) = b(Lv, L\Omega) = b(v, \Omega)$. \hfill \Box

**Remark 2.7.** For $(\mathbb{F}_2^{2n}, \cdot)$, recall that $\Omega = [1, 1, \ldots, 1]$. So $m : \text{TO}(2n) \to \text{TO}(2n)$ is the function that adds $\Omega$ to each column of a matrix $A \in \text{TO}(2n)$. Clearly this amounts to changing every entry in $A$, from a 0 to a 1 or from a 1 to a 0.

The following result shows that if two isometries are conjugate, then their mirrors are also conjugate:

**Proposition 2.8.** Suppose $A, P \in \text{Iso}(V, b)$. Then $m(PAP^{-1}) = P \circ m(A) \circ P^{-1}$. 
Proof. The isometry $m(PAP^{-1})$ is given by
$$v \mapsto PAP^{-1}(v) + b(v, \Omega) \Omega.$$ The isometry $P \circ m(A) \circ P^{-1}$ is given by
$$v \mapsto P(AP^{-1}v + b(P^{-1}v, \Omega)\Omega) = PAP^{-1}v + b(P^{-1}v, \Omega)P(\Omega).$$ Now use that $P(\Omega) = \Omega$ and $b(P^{-1}v, \Omega) = b(v, P\Omega) = b(v, \Omega).$ \hfill \Box

2.9. More on the symplectic group. We first state a simple result that will be needed later:

**Proposition 2.10.** Let $(V, b)$ be a symplectic bilinear space over $\mathbb{F}_2$. Then $\text{Iso}(V, b)$ acts transitively on $V - \{0\}.$

**Proof.** This is surely standard. See [D, Lemma 4.14] as one source for a proof. \hfill \Box

If $F$ is a field and $V$ is a vector space, recall that a quadratic form on $V$ is a function $q: V \to F$ such that $q(\lambda v) = \lambda^2 q(v)$ and $q(v + w) - q(v) - q(w)$ is bilinear. When $F = \mathbb{F}_2$ one has $\lambda^2 = \lambda$ for all scalars, so the first condition simplifies.

If $(V, b)$ is a symplectic bilinear space then a semi-norm is a quadratic form $q: V \to F$ such that $q(v + w) = q(v) + q(w) + b(v, w)$ for all $v, w \in V$. Such a $q$ cannot be unique: adding any linear form to $q$ gives another semi-norm. In fact the set of all semi-norms for $b$ is a torsor for the group $V^*$ of linear forms on $V$. The only nontrivial statement in all of this is the assertion that a semi-norm exists at all. To see this, consider $nH$ with the standard symplectic basis $\{f_i, g_i\}$. Define $q(\sum x_i f_i + y_i g_i) = \sum x_i y_i$. One readily checks that this is a semi-norm.

Fix a semi-norm $q$ for $(V, b)$, and let $A \in \text{Sp}(V)$. The function $V \to \mathbb{F}_2$ given by $v \mapsto q(v) + q(Av)$ is readily checked to be linear. Write $S_qA$ for this linear functional. Note that $S_q(\text{Id})$ is zero. If $q'$ is another semi-norm for $(V, b)$ then $S_{q'}A = S_qA + (q + q').$

**Proposition 2.11.** For any $A, B \in \text{Sp}(V)$ one has $S_q(AB) = S_q(B) + S_q(A) \circ B.$

**Proof.** One simply computes that
$$S_q(AB)(v) = q(v) + q(ABv) = q(v) + q(Bv) + q(Bv) + q(ABv) = (S_qB)(v) + (S_qA)(Bv).$$ \hfill \Box

Let $M_V = V \oplus \mathbb{F}_2$. Define an action of $\text{Sp}(V)$ on $M_V$ by
$$A \cdot (v, \lambda) = (A(v), (S_qA)(v) + \lambda).$$ (2.12)

We leave the reader to check that this is indeed a group action, using Proposition 2.11. Clearly $M_V$ sits in a short exact sequence $0 \to \mathbb{F}_2 \to M_V \to V \to 0$ where $\mathbb{F}_2$ has the trivial action of $\text{Sp}(V)$ and $V$ has the standard action.

If $q'$ is another semi-norm for $(V, b)$ then we get two actions on $M_V$; let us call them $M_V(q)$ and $M_V(q')$. These are isomorphic $\text{Sp}(V)$-spaces, via the isomorphism $M_V(q) \to M_V(q')$ given by $(v, \lambda) \mapsto (v, q(v) + q'(v) + \lambda)$. Recall that $q + q'$ is linear.

**Example 2.13.** It is useful to understand how these constructions look in the concrete world of matrices. If $V = nH$ then one possible semi-norm is $q([x_1, y_1, \ldots, x_n, y_n] = x_1 y_1 + \cdots + x_n y_n$. The representation $M_V$ is a group homomorphism $\text{Sp}(2n) \to \text{GL}(2n + 1)$. For $n = 2$ this is
Let $\dim \phi$. It is easy to check that Proposition 2.14.

There is a group isomorphism Corollary 2.15. and $\theta$ and so $j$ to $(F \oplus \{1\}) \oplus (1)$. We will still write $b$ for the bilinear form on this larger space. Let $e$ and $f$ be the two basis elements corresponding to the two $\{1\}$ summands, so that $b(e,e) = b(f,f) = 1$, $e, f \in V^\perp$, and $b(e, f) = 0$. Note that $\hat{V}$ is an orthogonal space by Proposition 2.1 (as it is certainly not symplectic), and one readily checks that $e + f$ is the distinguished vector $\Omega$. For this reason it will be a little more convenient for us to use the basis $\{\Omega, f\}$ instead of $\{e, f\}$. Note that $b(\Omega, \Omega) = 0$ and $b(\Omega, f) = b(f, f) = 1$.

There is an evident homomorphism $j : \text{Sp}(V) \to \text{Iso}(\hat{V})$. If $A \in \text{Sp}(V)$ then $j(A) : \hat{V} \to \hat{V}$ fixes $\Omega$ and $f$, and acts as $A$ on the $V$ summand.

For $(v, \lambda) \in M_V$ define $\phi_{(v, \lambda)} : \hat{V} \to \hat{V}$ by
\[
\phi_{(v, \lambda)}(w) = w + b(w, v)\Omega \text{ for } w \text{ in } V,
\phi_{(v, \lambda)}(\Omega) = \Omega
\phi_{(v, \lambda)}(f) = v + (\lambda + q(v))\Omega + f.
\]
It is easy to check that $\phi_{(v, \lambda)}$ is an isometry, and that $\phi$ gives a group homomorphism $\phi : M_V \to \text{Iso}(\hat{V})$. Moreover, if $A \in \text{Sp}(V)$ and $x \in M_V$ then
\[
\phi(A \cdot x) = j(A)\phi(x)j(A)^{-1}.
\]
This verifies that we get a group map $\theta : M_V \rtimes \text{Sp}(V) \to \text{Iso}(\hat{V})$ by defining $\theta(x, A) = \phi(x)j(A)$.

**Proposition 2.14.** The map $\theta : M_V \rtimes \text{Sp}(V) \to \text{Iso}(\hat{V})$ is an isomorphism.

**Proof.** Let $\dim V = 2n$. Using Proposition 2.1, the bilinear space $\hat{V}$ is isomorphic to $(\mathbb{F}_2^{2n+2})$. So $\text{Iso}(\hat{V})$ is isomorphic to $\text{TO}(2n+2)$. One then readily checks using Proposition 2.4 that the domain and target of $\theta$ have the same order. So it suffices to show that $\theta$ is injective.

Let $(v, \lambda) \in M_V$ and $A \in \text{Sp}(V)$, and assume that $\phi(v, \lambda)j(A) = \text{Id}$. The transformation $j(A)$ fixes $f$, and $\phi(v, \lambda)$ sends $f$ to $v + (\lambda + q(v))\Omega + f$. It follows that $v = 0$ and $\lambda + q(v) = 0$, which in turn implies $\lambda = 0$. Therefore $\phi(v, \lambda) = \text{Id}$ and so $j(A) = \text{Id}$, which means $A = \text{Id}$. \hfill \Box

**Corollary 2.15.** There is a group isomorphism $\text{TO}(2n) \cong M \rtimes \text{Sp}(2n-2)$, where $M$ is the representation of $\text{Sp}(2n-2)$ on $\mathbb{F}_2^{2n-1}$ described in Example 2.13.

Recall the mirror operation $m : \text{Iso}(\hat{V}) \to \text{Iso}(\hat{V})$. In view of the isomorphism $\theta$, there should be a corresponding operation on $M_V \rtimes \text{Sp}(V)$. To construct this, define a set map $\tilde{m} : M_V \to M_V$ by $\tilde{m}(v, \lambda) = (v, \lambda + 1)$. Extend this to a set map $m : M_V \rtimes \text{Sp}(V) \to M_V \rtimes \text{Sp}(V)$ by
\[
m(x, A) = (\tilde{m}(x), A).
\]
Proposition 2.16. The diagram
\[ \begin{array}{ccc}
M_V \times \text{Sp}(V) & \overset{\theta}{\longrightarrow} & \text{Iso}(\hat{V}) \\
m & & m \\
M_V \times \text{Sp}(V) & \overset{\theta}{\longrightarrow} & \text{Iso}(\hat{V})
\end{array} \]
is commutative.

Proof. Pick \((v, \lambda) \in M_V\) and \(A \in \text{Sp}(V)\). Then \(m\theta((v, \lambda), A)\) has the following behavior:
\[
\begin{aligned}
w & \mapsto Aw + b(Aw, v)\Omega & \text{if } w \in V, \\
\Omega & \mapsto \Omega \\
f & \mapsto v + (\lambda + q(v) + 1)\Omega + f + \Omega.
\end{aligned}
\]
By inspection this is the same behavior as \(\theta((v, \lambda + 1), A)\). \(\square\)

3. Invariants

Let \((V, b)\) be a bilinear space over \(F_2\). In this section we study various numerical invariants that can be assigned to involutions in \(\text{Iso}(V, b)\), having the property that they are constant on conjugacy classes. Our focus is mainly on the case where \((V, b)\) is orthogonal, but it is convenient to discuss the symplectic case at the same time.

Let \(\sigma \in \text{Iso}(V, b)\). Define the \textbf{Dickson invariant} \(D(\sigma)\) to be the rank of \(\sigma + \text{Id}\). Note that this is clearly invariant under conjugacy in \(\text{GL}(V)\), and therefore also under conjugacy in the smaller group \(\text{Iso}(V, b)\).

Proposition 3.1. For any involution in \(\text{Iso}(V, b)\) one has \(0 \leq D(\sigma) \leq \frac{\dim V}{2}\).

Proof. Observe that \((\text{Id} + \sigma)^2 = 0\), or equivalently \(\text{Im}(\text{Id} + \sigma) \subseteq \ker(\text{Id} + \sigma)\). So \(D(\sigma) = \dim \text{Im}(\text{Id} + \sigma) \leq \dim \ker(\text{Id} + \sigma) = \dim V - D(\sigma)\). \(\square\)

The map \(F_\sigma : V \to F_2\) given by \(v \mapsto b(v, \sigma v)\) is linear, since
\[
F_\sigma(v + w) = b(v + w, \sigma v + \sigma w) = b(v, \sigma v) + b(w, \sigma v) + b(v, \sigma w) + b(v, \sigma w)
\]
and \(b(w, \sigma v) = b(\sigma w, \sigma^2 v) = b(\sigma w, v)\). Note that here we have used both that \(\sigma\) is an involution and an isometry. Define the \textbf{\(\alpha\)-invariant} \(\alpha(\sigma)\) to be the rank of \(F_\sigma\). Deconstructing this, we have
\[
\alpha(\sigma) = \begin{cases} 
1 & \text{if there exists a } v \in V \text{ such that } b(v, \sigma v) = 1, \\
0 & \text{otherwise}.
\end{cases}
\]
It is easy to check that the \(\alpha\)-invariant is constant on conjugacy classes in \(\text{Iso}(V, b)\).

As we saw in Theorem 1.1, it is a classical result that the pair \((D(\sigma), \alpha(\sigma))\) completely separates conjugacy classes when \((V, b)\) is symplectic. So let us now focus on the case where \((V, b)\) is orthogonal. Here we may use the mirror operation \(m : \text{Iso}(V, b) \to \text{Iso}(V, b)\), which we know sends involutions to involutions (Proposition 2.6) and preserves the conjugacy relation (Proposition 2.8). If \(\sigma \in \text{Iso}(V, b)\) is an involution define
\[
\tilde{D}(\sigma) = D(m\sigma), \quad \tilde{\alpha}(\sigma) = \alpha(m\sigma).
\]
Moreover, define the **double Dickson invariant** (or **DD-invariant**, for short) to be the 4-tuple
\[
DD(\sigma) = [D(\sigma), \alpha(\sigma), \tilde{D}(\sigma), \tilde{\alpha}(\sigma)] \in \mathbb{N} \times \mathbb{Z}/2 \times \mathbb{N} \times \mathbb{Z}/2.
\]
This 4-tuple is constant on conjugacy classes.

Recall that \((m\sigma)(v) = \sigma(v) + b(v, v)\). Then \(b(v, (m\sigma)(v)) = b(v, \sigma(v)) + b(v, v)\).
Therefore
\[
\tilde{\alpha}(\sigma) = \begin{cases} 1 & \text{if there exists } v \in V \text{ such that } b(v, \sigma(v)) = b(v, v) + 1, \\ 0 & \text{otherwise.} \end{cases}
\]

**Remark 3.2.** Suppose that \(e_1, \ldots, e_n\) is an orthonormal basis for \(V\), and that \(A\) is the matrix of \(\sigma\) with respect to this basis. Then one readily checks that
\[
\alpha(\sigma) = \begin{cases} 1 & \text{if } A \text{ has at least one 1 on its diagonal,} \\ 0 & \text{otherwise,} \end{cases}
\]
and
\[
\tilde{\alpha}(\sigma) = \begin{cases} 1 & \text{if } A \text{ has at least one 0 on its diagonal,} \\ 0 & \text{otherwise.} \end{cases}
\]

**Proposition 3.3.** Let \(\sigma\) be an involution in \(\text{Iso}(V, b)\), where \((V, b)\) is orthogonal. Then \(|D(\sigma) - \tilde{D}(\sigma)| \leq 1\), and one cannot have \(\alpha(\sigma) = \tilde{\alpha}(\sigma) = 0\).

**Proof.** The second statement follows immediately from Remark 3.2. For the first, let \(e_1, \ldots, e_n\) be an orthonormal basis for \(V\), so that \(\Omega = \sum_i e_i\). Let \(A\) be the matrix for \(\sigma\) with respect to this basis, and let \(u_1, \ldots, u_n\) denote the columns of \(A + \text{Id}\). Then the columns of \(\sigma(A) + \text{Id}\) are the vectors \(u_i + \Omega\). Let \(U = \mathbb{F}_2\langle u_1, \ldots, u_n \rangle \subseteq V\), and \(W = \mathbb{F}_2\langle u_1 + \Omega, u_1 - u_2, \ldots, u_1 - u_n \rangle \subseteq V\). Then \(D(\sigma) = \dim U\) and \(\tilde{D}(\sigma) = \dim W\).

But \(U = \mathbb{F}_2\langle u_1 - u_2, u_1 - u_3, \ldots, u_1 - u_n \rangle\) and \(W = \mathbb{F}_2\langle u_1 + \Omega, u_1 - u_2, \ldots, u_1 - u_n \rangle\). It is now clear that \(|\dim U - \dim W| \leq 1\). \(\square\)

### 3.4 Other invariants
The **DD-invariant** is the main construct that will be used in the rest of the paper. However, one can easily write down a multitude of other invariants for conjugacy classes of involutions. Our next goal will be to give a thorough exploration of these. We should say upfront, though, that the results of this section are not needed for the main classification result. Nevertheless, they merit inclusion here because they shed some light on the broader story surrounding the **DD-invariant**. In addition, they are useful for calculating how the **DD-invariant** behaves under direct sums (see Theorem 5.4 below).

We begin with a naive example. Given an involution \(\sigma\) in \(\text{Iso}(V, b)\), consider the set
\[
S_\sigma = \{v \in V \mid b(v, \sigma v) = 0\} \subseteq V.
\]
Since \(V\) is finite-dimensional over \(\mathbb{F}_2\), \(S_\sigma\) is finite. The order \(|S_\sigma|\) is clearly an invariant of \(\sigma\): if \(f: (V, b) \to (W, b')\) is an isomorphism of bilinear spaces and \(\sigma'\) is an involution in \(\text{Iso}(W, b')\) such that \(f \sigma = \sigma' f\), then clearly \(f\) maps \(S_\sigma\) bijectively onto \(S_{\sigma'}\). In particular, applying this when \((W, b') = (V, b)\) shows that \(|S_\sigma|\) is an invariant of the conjugacy class of \(\sigma\) in \(\text{Iso}(V, b)\).

At this point it is clear how to generalize. Any property \(P\) of vectors \(v \in V\) that can be expressed entirely in terms of \(b\) and \(\sigma\) leads to a set \(S_\alpha(P)\) and a conjugacy
invariant $|S_x(P)|$. One can easily write down three basic instances of such a $P$, and in the case that $(V,b)$ is orthogonal there is one more that is slightly less-evident:

\[ b(v,v) = 0, \quad b(v,\sigma v) = 0, \quad v = \sigma(v), \quad v = \sigma(v) + \Omega. \]

By taking combinations of these four properties and their negations, one can make $2^4 = 16$ different invariants—but only eight of these turn out to be interesting, as some of the combinations are either mutually inconsistent or duplicate other combinations. Restricting now only to the orthogonal case, the following table introduces eight invariants and shows their values on the 16 conjugacy classes of involutions in $\text{TO}(8)$ (these numbers were generated by computer). For typographical reasons we write $b(x,y)$ as $x \cdot y$ in this table.

| $D\bar{D}$ | $I_1$ | $I_2$ | $I_3$ | $I_4$ | $I_5$ | $I_6$ | $I_7$ | $I_8$ |
|----------|------|------|------|------|------|------|------|------|
| $v=v=0$ | $v=\sigma v$ | $v=\sigma v=0$ | $v=\sigma v=1$ | $v=\sigma v=1$ | $v=\sigma v=1$ | $v=\sigma v=0$ | $v=\sigma v=\Omega$ | $v=\sigma v+\Omega$ |
| 0110 | 128 | 0 | 0 | 128 | 0 | 0 | 0 | 0 |
| 1001 | 128 | 0 | 128 | 0 | 0 | 0 | 0 | 128 |
| 1121 | 64 | 0 | 64 | 64 | 0 | 0 | 0 | 0 |
| 2111 | 64 | 0 | 64 | 64 | 0 | 64 | 0 | 64 |
| 2021 | 64 | 64 | 0 | 128 | 0 | 0 | 64 | 0 |
| 2120 | 64 | 64 | 0 | 0 | 64 | 64 | 0 | 0 |
| 2130 | 32 | 96 | 0 | 0 | 32 | 96 | 0 | 0 |
| 3021 | 32 | 96 | 0 | 128 | 0 | 0 | 0 | 32 |
| 2131 | 32 | 32 | 64 | 32 | 32 | 0 | 0 | 0 |
| 3121 | 32 | 32 | 64 | 64 | 0 | 64 | 0 | 32 |
| 3131 | 32 | 32 | 64 | 64 | 0 | 64 | 32 | 0 |
| 3141 | 16 | 48 | 64 | 16 | 48 | 0 | 0 | 0 |
| 4131 | 16 | 48 | 64 | 64 | 0 | 64 | 0 | 16 |
| 4041 | 16 | 112 | 0 | 128 | 0 | 0 | 16 | 0 |
| 4140 | 16 | 112 | 0 | 0 | 128 | 16 | 0 | 0 |
| 4141 | 16 | 48 | 64 | 64 | 0 | 64 | 16 | 0 |

Certain properties of these invariants are immediately evident—for example, the numbers are always even and most of them are powers of 2. To explain these, note that the functions $L_1(v) = v \cdot v$, $L_2(v) = v \cdot \sigma(v)$, and $L_3(v) = v + \sigma(v)$ are all linear. So the solution spaces to $L_i(v) = 0$ are linear subspaces of $V$, and the solution spaces to $L_i(v) \neq 0$ are affine subspaces of $V$ when $i = 1,2$ and the complement of a subspace when $i = 3$. Likewise, the solution space to $L_3(v) = \Omega$ is an affine space. This clearly implies that all the invariants are even. Even more, it shows that except for $I_2$ and $I_6$ the invariants always yield powers of 2. (As we shall see shortly, $I_2$ and $I_6$ should really be left out of the story altogether as they can be obtained as linear combinations of the other invariants).

We can push the above idea a little further. Let $S_1 = \{ v \in V \mid v \cdot v = 0, v \cdot \sigma(v) = 0 \}$. This is a linear subspace of $V$, and $I_1 = |S_1|$. Analogously, let $S_j \subseteq V$ be the subset that defines the invariant $I_j$. One readily checks that vector addition gives an action of the group $(S_1, +)$ on $S_j$; that is, if $v \in S_1$ and $w \in S_j$ then $v + w \in S_j$. Moreover, it is clearly a free action. This shows that $I_j$ is always a multiple of $I_1$. 
The following proposition summarizes various relations amongst the $I_j$ invariants and the $DD$-invariant:

**Proposition 3.5.** Let $(V, b)$ be an orthogonal bilinear space of even dimension, and let $\sigma$ be an involution in $\text{Iso}(V, b)$. Write $I_1 = I_1(\sigma)$, etc. Then

(a) $I_1 + I_2 + I_3 = 2^{\dim V} - 1 = I_4 + I_5 + I_6$.

(b) $I_5, I_7, I_8 \in \{0, 1\}$.

(c) At most one of $I_5$, $I_7$, and $I_8$ is nonzero.

(d) $D(\sigma) = \dim V - \log_2(I_1 + I_5)$.

(e) $\tilde{D}(\sigma) = \dim V - \log_2(I_1 + I_8)$.

(f) $\alpha(\sigma) = 0 \iff (I_4 = 2^{\dim V} - 1$ and $I_3 = 0) \iff I_4 = 2^{\dim V} - 1$.

(g) $\tilde{\alpha}(\sigma) = 0 \iff I_3 = I_4 = 0 \iff I_4 = 0$.

(h) $\log_2(I_1) = \dim V - \max\{D(\sigma), \tilde{D}(\sigma)\}$.

**Remark 3.6.** Parts (d)–(g) of the above proposition show that the $DD$-invariant is recoverable from the collection of $I_j$-invariants. Parts (h)–(i), together with (a), show that the $I_j$-invariants are all recoverable from the $DD$-invariant. We have chosen to build the paper around the $DD$-invariant—as opposed to some other collection from the list—only because the $DD$-invariant seemed to be the most accessible. As it simply amounts to computing the ranks of four matrices, it is somewhat easier to handle than the other invariants in the list (though to be frank, all of the invariants can be computed by linear algebra and so none are particular difficult).

The relationship between the $I_j$ invariants and the $DD$-invariant can be summarized as follows, where the arrows indicate that one set of invariants can be derived from another:

$$ (I_5, I_7, I_8) \xrightarrow{(j)-(l)} (D, \tilde{D}) \quad I_4 \xrightarrow{(i)} (\alpha, \tilde{\alpha}) \xrightarrow{(i)} I_3 \xrightarrow{(h)} \max\{D, \tilde{D}\}. $$

The labels on the arrows refer to the relevant parts of Proposition 3.5. Perhaps the only thing that requires further explanation is the arrow $(I_5, I_7, I_8) \rightarrow (D, \tilde{D})$. If we know $I_5$, $I_7$, and $I_8$ then we know how $D$ and $\tilde{D}$ compare in size, and we know the smaller value. If $D = \tilde{D}$ then we therefore know both, and if one is larger than the other then Proposition 3.3 says it is larger by exactly 1—so again we know both.

Observe that knowing $(I_4, I_5, I_7, I_8)$ is equivalent to knowing the $DD$-invariant.
Proof of Proposition 3.5. For convenience we will write $v \cdot w$ for $b(v, w)$ in this proof. Part (a) is trivial: the disjoint union of $S_1$, $S_2$ and $S_3$ is the hyperplane defined by $v \cdot v = 0$, and $S_1 \cup S_2 \cup S_3$ is the affine hyperplane $v \cdot v = 1$.

For (b), note that the subspaces defined by $v = \sigma(v)$ and $v = \sigma(v) + \Omega$ are parallel, and likewise for the subspaces defined by $v \cdot v = 0$ and $v \cdot v = 1$. Linear algebra implies that if $S_5$ is nonempty then it is a translate of $S_1$, and so in particular has the same number of elements. Likewise for $S_7$ and $S_8$.

For (c) suppose that $I_3 > 0$ and $I_5 > 0$. Then there exist vectors $v$ and $w$ such that $v \cdot v = 1$, $v = \sigma(v)$, $\sigma w = w + \Omega$, and $w \cdot w = 0$. Now compute that

$$v \cdot w = \sigma v \cdot w = v \cdot w + v \cdot \Omega = v \cdot w + v \cdot v = v \cdot w + 1,$$

which is a contradiction. The proofs for the pairs $(I_5, I_8)$ and $(I_7, I_8)$ are entirely similar.

Parts (d) and (e) are trivial, just using the definitions of $D$ and $\bar{D}$.

For (f) and (g) we prove the first biconditionals, and return to the second biconditionals after (i). For (f) it is easy to prove that $\alpha(\sigma) = 0$ if and only if $I_1 + I_2 + I_4 = 2\dim V$ just using the definition of $\alpha$. Then use (a) to rewrite the latter condition as $I_1 - I_3 = 2\dim V - 1$. But $S_4$ is contained in the hyperplane $v \cdot v = 1$, and so certainly $I_4 \leq 2\dim V - 1$. Equality then follows, together with $I_3 = 0$. Similarly, for (g) one easily proves $I_1 + I_2 + I_5 + I_6 = 2\dim V$, but (a) simplifies this to $I_3 + I_4 = 0$.

For (h), note that by (d) and (e) we have

$$\max\{D(\sigma), \bar{D}(\sigma)\} = \dim V - \log_2(I_1 + \min\{I_5, I_8\}).$$

But (c) implies that $\min\{I_5, I_8\} = 0$.

Now consider (i). If $\alpha(\sigma) \neq \bar{\alpha}(\sigma)$ then at least one is zero, so by (f) and (g) $I_3 = 0$. If $\alpha > \bar{\alpha}$ then $\bar{\alpha} = 0$ and $\alpha = 1$, so $I_4 = 0$ by (g). If $\alpha < \bar{\alpha}$ then $\bar{\alpha} = 0$ and $\alpha = 1$, so $I_4 = 2\dim V - 1$ by (f). It remains to analyze what happens when $\alpha = \bar{\alpha}$. By Proposition 3.3 this can only happen when they both equal 1. Let $M_0$ and $M_1$ be the affine subspaces of $V$ defined by $x \cdot x = 0$ and $x \cdot x = 1$, respectively. Likewise, let $N_0$ and $N_1$ be the affine subspaces defined by $x \cdot \sigma x = 0$ and $x \cdot \sigma x = 1$, respectively. Linear algebra immediately implies that if $M_0 \cap N_1$ is nonempty then it is a translate of $M_0 \cap N_0$, and likewise for $M_1 \cap N_0$. Note that by definition $|M_0 \cap N_0| = |I_1 + I_2|$, $|M_0 \cap N_1| = |I_3|$, and $|M_1 \cap N_0| = |I_4|$. This proves that $I_3, I_4 \in \{0, I_1 + I_2\}$.

We will use the fact that $V = N_0 \cup N_1 = M_0 \cup M_1$, and that $N_0$ and $N_1$ are hyperplanes. The assumption that $\alpha(\sigma) = 1$ says that $M_0$ (and therefore $M_1$) are also hyperplanes in $V$. If $M_1 \cap N_0 \neq \emptyset$ then $M_0 \neq N_0$, and so $M_0 \cap N_1 \neq \emptyset$. Similarly, if $M_0 \cap N_1 \neq \emptyset$ then $M_1 \cap N_0 \neq \emptyset$. So $I_3 \neq 0$ if and only if $I_4 \neq 0$. But we know by (g) that either $I_3 \neq 0$ or $I_4 \neq 0$, so they are both nonzero. Therefore both are equal to $I_1 + I_2$. Finally, since $I_3 = I_1 + I_2$ it follows from (a) that $I_3 = 2\dim V - 2$.

Observe that (i) immediately yields the second biconditionals in (f) and (g).

For (j)–(l) we argue as follows. If $D(\sigma) > \bar{D}(\sigma)$ then by (d) and (e) $I_5 < I_8$. So $I_8 \neq 0$, which implies $I_5 = I_7 = 0$ by (c). Also, (b) implies $I_8 = I_1$ and so (e) gives $I_8$ in terms of $\bar{D}(\sigma)$.

The argument is similar when $D(\sigma) < \bar{D}(\sigma)$. Finally, in the case $D(\sigma) = \bar{D}(\sigma)$ we know from (d) and (e) that $I_5 = I_8$. Comparing (d) and (e) to (h), we find $I_5 = I_8 = 0$. Since $D(\sigma) = \bar{D}(\sigma)$, it follows that the subspace $T = \{v \mid v + \sigma(v) = \Omega\}$ has the same dimension as $\{v \mid v + \sigma(v) = \Omega\}$. But the latter space is always nonzero,
since any involution has an eigenvector with eigenvalue 1. So $|T| > 0$. But $T$ is the disjoint union of $S_7$ and $S_8$, and we know $S_8 = \emptyset$. So $I_7 = |S_7| = |T| > 0$. By (b) we then have $I_7 = I_1$, and (h) then shows $I_7 = 2^{\dim V - D(\sigma)}$. $\square$

4. Analysis of conjugacy classes

In this section we will prove the main theorem of the paper, giving a complete description of the conjugacy classes of involutions in $\text{TO}(2n)$. The proof proceeds by analyzing involutions in the semi-direct product $(\mathbb{Z}/2)^{2n-1} \rtimes \text{Sp}(2n - 2)$, and obtaining a count of conjugacy classes here. Then we produce enough matrices in $\text{TO}(2n)$ having different $DD$-invariants to know that these represent all conjugacy classes.

4.1. Involutions in the semi-direct product. Throughout this section we let $V = nH$ and $G_V = M_V \rtimes \text{Sp}(V)$. We will denote elements of this group by $(x, A)$ where $x \in M_V$ and $A \in \text{Sp}(V)$. We will write the map $\text{Sp}(V) \to \text{End}(M_V)$ as $A \mapsto \tilde{A}$; see (2.12) for the definition of this action.

Proposition 4.2.

(a) The element $(x, A)$ is an involution in $M_V \rtimes \text{Sp}(V)$ if and only if $A$ is an involution in $\text{Sp}(V)$ and $(\tilde{A} + \text{Id})(x) = 0$.

(b) If $(x, A)$ is conjugate to $(y, B)$ then $A$ is conjugate to $B$ in $\text{Sp}(V)$.

(c) Suppose $(x, A)$ is an involution and $A$ is conjugate to $B$ in $\text{Sp}(V)$. Then there is a $y \in M_V$ such that $(y, B)$ is an involution and $(x, A)$ is conjugate to $(y, B)$.

(d) $(x, A)$ is conjugate to $(y, A)$ if and only if there exists $P \in \text{Sp}(V)$ such that $PA = AP$ and $x + \tilde{P}y$ belongs to the image of $\tilde{A} + \text{Id}$.

Proof. Part (a) is just the calculation $(x, A) \cdot (x, A) = (x + \tilde{A}x, A^2)$. Parts (b) through (d) are similarly straightforward, and left to the reader. $\square$

The above proposition has the following significance for us. For each involution $A \in \text{Sp}(V)$, let $S_A$ be the set of conjugacy classes in $G_V$ that are represented by involutions of the form $(x, A)$. If $A$ and $B$ are conjugate involutions in $\text{Sp}(V)$, then $S_A = S_B$ by Proposition 4.2(c). Moreover, if $S \subseteq \text{Sp}(V)$ is a set of representatives for the conjugacy classes in $\text{Sp}(V)$ (one element for each class) then the other parts of Proposition 4.2 imply that we have bijections

$$(\text{conjugacy classes in } G_V) \longleftrightarrow \prod_{A \in S} S_A$$

and

$$(4.3) \quad S_A \longleftrightarrow \left[ \ker(\tilde{A} + \text{Id})/\text{Im}(\tilde{A} + \text{Id}) \right]_{C(A)}$$

where $C(A)$ is the centralizer of $A$ in $\text{Sp}(V)$ and we are writing $X_{C(A)}$ for the set of orbits of $X$ under $C(A)$. Let us unravel the complicated-looking object on the right. For $x = (v, \lambda)$ in $M_V$ we have

$$(\tilde{A} + \text{Id})(v, \lambda) = (Av, (S_A)(v) + \lambda) + (v, \lambda) = (v + Av, (S_A)(v)) = (v + Av, q(v) + q(Av)).$$
This expression equals \((0, 0)\) if and only if \(v + Av = 0\). So let us write \(\text{Eig}(A) = \{v \in V \mid Av + v = 0\}\), and let \(Z(A) = \text{Eig}(A) \oplus \mathbb{F}_2\). The group \(C(A)\) acts on \(Z(A)\): if \(P \in C(A)\) and \((v, \lambda) \in Z(A)\) then
\[
P.(v, \lambda) = (Pv, (SP)(v) + \lambda).
\]
Next, let \(B(A) = \{(v + Av, (SA)(v)) \mid v \in V\}\) and note that \(B(A) \subseteq Z(A)\). The action of \(C(A)\) on \(Z(A)\) preserves \(B(A)\): this comes down to the computation that if \(P \in C(A)\) then
\[
SP + (SA) \circ P = S(AP) = SPA = S + (SP)A
\]
by using Proposition 2.11 twice.

Let \(H(A) = Z(A)/B(A)\), and note that the action of \(C(A)\) on \(Z(A)\) descends to an action on \(H(A)\). We can restate (4.3) as a bijection
\[
S_A \leftrightarrow H(A)_{C(A)}.
\]

To proceed further in our analysis, we will make some assumptions on the involution \(A\). These assumptions at first might seem very restrictive, but in fact they turn out to cover all cases. In particular, the assumptions in part (d) below are awkward—and almost certainly unnecessary. But since they are readily seen to hold in the cases of interest, it is easier just to make these awkward assumptions than to somehow try to avoid them.

**Lemma 4.4.** Suppose that \(V\) decomposes as \(V = U \oplus W\) where \(W^\perp = U\), and that \(A \in \text{Sp}(V)\) is of the form \(K \oplus \text{Id}_W\) where \(K: U \to U\) is an involution such that \(\text{Im}(K + \text{Id}_U) = \ker(K + \text{Id}_U)\). Let \(\pi_U: V \to U\) and \(\pi_W: V \to W\) be the evident projections. Then:

(a) There is a bijection \(S_A \leftrightarrow (W \oplus \mathbb{F}_2)_{C(A)}\) where the action of \(P \in C(A)\) on \((w, \lambda)\) is given by
\[
P.(w, \lambda) = (\pi_W(Pw), \lambda + (SP)(w) + (SA)(u))
\]
where \(u\) is any element of \(U\) such that \(Au + u = \pi_U(Pw)\).

(b) If \(W = 0\) there are exactly two elements in \(S_A\).

(c) If \(W \neq 0\) and \(\alpha(A) = 0\), there are exactly four elements in \(S_A\).

(d) Suppose \(W \neq 0\) and \(\alpha(A) = 1\). Assume further that there exist \(u_1, u_2 \in U\) such that \(Ku_1 = u_2\) and \(b(u_1, u_2) = 1\). Then there are exactly three elements in \(S_A\).

**Proof.** For (a), the assumptions force \(Z(A) = \text{Im}(K + \text{Id}_U) \oplus W \oplus \mathbb{F}_2\) and \(B(A) = \{(u + u) \oplus 0, (SA)(u) \mid u \in U\}\). So the quotient \(Z(A)/B(A)\) is clearly isomorphic to \(W \oplus \mathbb{F}_2\). To transplant the action of \(C(A)\) from \(Z(A)/B(A)\) to \(W \oplus \mathbb{F}_2\), let \((w, \lambda) \in W \oplus \mathbb{F}_2\) and consider the formula
\[
P.(0 \oplus w, \lambda) = (Pw, (SP)(w) + \lambda) = (\pi_U(Pw) \oplus \pi_W(Pw), (SP)(w) + \lambda).
\]
Since \(P \in C(A)\) it is easy to see that \((A + I)(\pi_U(Pw)) = (A + I)(Pw) = 0\), therefore we can write \(\pi_U(Pw) = Au + u\) for some \(u \in U\). Then \((Au + u \oplus 0, (SA)(u))\) is in \(B(A)\), and we get
\[
P.(0 \oplus w, \lambda) = (\pi_W(Pw), (SA)(u) + (SP)(w) + \lambda)
\]
in \(Z(A)/B(A)\). This finishes the proof of (a).

Note that \((0, 0)\) and \((0, 1)\) in \(W \oplus \mathbb{F}_2\) are fixed points for the action of \(C(A)\). So \(|S_A| \geq 2\), and one has equality if and only if \(W = 0\). This proves (b).
Let \( E_0 = \{(w, q(w)) \mid w \in W - \{0\}\} \) and \( E_1 = \{(w, q(w) + 1) \mid w \in W - \{0\}\} \).
Note that
\[
W \oplus \mathbb{F}_2 = \{(0, 0)\} \cup \{(0, 1)\} E_0 \cup E_1.
\]
Assume that \( W \neq 0 \) and let \( w_1, w_2 \in W \) be any two nonzero elements. By Proposition 2.10 there exists a \( P \in \text{Sp}(W) \) such that \( P(w_1) = w_2 \). Then \( Q = \text{Id}_U \oplus P \) is an element of \( C(A) \), and
\[
Q.(w_1, q(w_1)) = (Qw_1, (SQ)(w_1) + q(w_1) + 0)
= (Qw_1, q(w_1) + q(Qw_1) + q(w_1))
= (w_2, q(w_2)).
\]
The “0” in the first line appears because \( \pi_U(Qw_1) = 0 \), and so we may take \( u = 0 \) in the formula for the action given in (a). Since \( Q \) is linear and preserves \( (0, 1) \) we therefore also get \( Q.(w_1, q(w_1) + 1) = (w_2, q(w_2) + 1) \). These computations show that the elements of \( E_0 \) are all in the same orbit under \( C(A) \), and the elements of \( E_1 \) also lie in a common orbit. Therefore, \( (W \oplus \mathbb{F}_2) C(A) \) has at most four elements. Since \( (0, 0) \) and \( (0, 1) \) are fixed points, the only remaining question is which points from \( E_0 \) and \( E_1 \) can ever be in the same orbit.

For (c), the important point is that if \( \alpha(A) = 0 \) then for all \( v \in V \) one has
\[
q(Av + v) = q(Av) + q(v) + b(Av, v) = q(Av) + q(v) = (SA)(v).
\]
Consider the set map \( h : W \oplus \mathbb{F}_2 \to \mathbb{F}_2 \) given by \( h(w, \lambda) = q(w) + \lambda \). Then \( h \) is constant on orbits of \( C(A) \): for if \( P \in C(A) \) then choose \( u \in U \) such that \( \pi_U(Pw) = Au + u \) and calculate
\[
h(P.(w, \lambda)) = h(\pi_W(Pw), \lambda + (SP)(w) + (SA)(u))
= q(\pi_W(Pw)) + \lambda + q(w) + q(Pw) + q(Au + u) \quad \text{(using (4.5))}
= q(\pi_W(Pw)) + \lambda + q(w) + q(Pw) + q(\pi_U(Pw))
= q(Pw) + \lambda + q(w) + q(Pw)
= \lambda + q(w).
\]
In the second-to-last equality we have used that \( q(x + y) = q(x) + q(y) \) when \( b(x, y) = 0 \). Notice that \( h \) maps \( E_0 \) to 0 and \( E_1 \) to 1. So points in \( E_0 \) and \( E_1 \) cannot belong to the same orbit, which implies that \( |S_A| = 4 \).

Finally, assume the hypotheses for (d). Extend \( \{u_1, u_2\} \) to a symplectic basis \( \{u_1, u_2, \ldots, u_{2r-1}, u_{2r}\} \) of \( U \), and choose a symplectic basis \( \{w_1, \ldots, w_{2r}\} \) of \( W \). Note that \( Ku_i \in \langle u_1, u_2 \rangle^\perp \) for all \( i \geq 3 \); this is a consequence of
\[
b(Ku_i, u_1) = b(Ku_i, Ku_2) = b(u_i, u_2) = 0
\]
and the parallel equation with the indices 1 and 2 switched. So when \( i \geq 3 \) we have
\[
Ku_i \in \langle u_3, u_4, \ldots, u_{2r}\).
\]
Define \( P : V \to V \) as follows:
\[
u_1 \mapsto u_1 + w_2 \quad \quad u_2 \mapsto u_2 + w_2 \quad \quad u_i \mapsto u_i \quad (i \geq 3)
\]
\[
w_1 \mapsto u_1 + u_2 + w_1 \quad \quad w_i \mapsto w_i \quad (i \geq 2).
\]
It is routine to check that \( P \) is an isometry and that it commutes with \( A \) (for the latter, use that \( Ku_i \in \langle u_3, u_4, \ldots, u_{2r}\) when \( i \geq 3 \)). Note that \( \pi_U(Pw_1) = \)
\[ u_1 + u_2 = A(u_1) + u_1, \text{ and} \]
\[ (SP)(w_1) = q(w_1) + q(Pw_1) = q(w_1) + q(w_1 + (u_1 + u_2)) \]
\[ = q(w_1) + q(w_1) + q(u_1) + q(u_2) + b(u_1, u_2) \]
\[ = q(u_1) + q(u_2) + 1. \]

Now we use the action formula from (a) to compute:
\[ P.(w_1, q(w_1)) = (w_1, q(w_1) + (SP)(w_1) + (SA)(u_1)) \]
\[ = (w_1, q(w_1) + q(u_1) + q(u_2) + 1 + q(u_1) + q(u_2)) \]
\[ = (w_1, q(w_1) + 1). \]

This exhibits that points from \( E_0 \) and \( E_1 \) are in the same orbit in \((W \oplus \mathbb{F}_2)_{C(A)}\), therefore we have exactly three orbits. \( \square \)

**Proposition 4.6.** Let \( A \in \text{Sp}(V) \) be an involution.

(a) When \( A = \text{Id} \), \( |S_A| = 4 \).
(b) When \( D(A) = \frac{\dim(V)}{2} \), \( |S_A| = 2 \).
(c) When \( \alpha(A) = 1 \) and \( 0 < D(A) < \frac{\dim(V)}{2} \), \( |S_A| = 3 \).
(d) When \( \alpha(A) = 0 \) and \( 0 < D(A) < \frac{\dim(V)}{2} \), \( |S_A| = 4 \).

**Proof.** We have already proven that \( |S_A| \) depends only on the conjugacy class of \( A \) in \( \text{Sp}(V) \). So it suffices to prove the theorem when \( V = nH \) and \( A \) ranges over the particular representatives listed in Theorem 1.1(d). For each of these matrices it is transparent that there is a \((U, W, K)\) decomposition satisfying the hypotheses of Lemma 4.4. Moreover, for the matrices with \( \alpha(A) = 1 \) it is transparent that the hypotheses of Lemma 4.4(d) hold. So the results follow immediately from Lemma 4.4. \( \square \)

**Corollary 4.7.** If \( \dim(V) = 2n \) then \( G_V \) has \( 5n + 1 \) conjugacy classes of involutions.

**Proof.** The proof is best explained by first looking at examples. For \( n = 5 \) and \( n = 6 \) the conjugacy classes of involutions in \( \text{Sp}(2n) \) are indicated by the dots in the following two tables:

\[
\begin{array}{c|ccccc}
\alpha = 1 & 0 & 1 & 2 & 3 & 4 & 5 \\
\alpha = 0 & & \bullet & & \bullet & & \bullet \\
\end{array}
\quad
\begin{array}{c|ccccc}
\alpha = 1 & 0 & 1 & 2 & 3 & 4 & 5 & 6 \\
\alpha = 0 & & \bullet & & \bullet & & \bullet & & \bullet \\
\end{array}
\]

(one dot for each conjugacy class). For each involution \( A \in \text{Sp}(V) \), mark the dot for the conjugacy class represented by \( A \) with \( |S_A| \); this leads to the tables

\[
\begin{array}{c|ccccc}
\alpha = 1 & 0 & 1 & 2 & 3 & 4 & 5 \\
\alpha = 0 & \bullet & \bullet & \bullet & \bullet & \bullet \\
\end{array}
\quad
\begin{array}{c|ccccc}
\alpha = 1 & 0 & 1 & 2 & 3 & 4 & 5 & 6 \\
\alpha = 0 & \bullet & \bullet & \bullet & \bullet & \bullet & \bullet \\
\end{array}
\]

Adding up the numbers, there are 26 conjugacy classes of involutions in \( G_V \) when \( n = 5 \), and 31 conjugacy classes of involutions when \( n = 6 \).

The general situation is that the dots in the first row all get labelled with 3, except for column \( n \). Likewise, the dots in the second row all get labelled with 4,
except for column \( n \). The dots in column \( n \) all get labelled with 2. The total of all the labels is therefore

\[
\begin{align*}
3(n - 1) + 2 + 4\left(\frac{n+1}{2}\right) & \quad \text{when } n \text{ is odd,} \\
3(n - 1) + 2 + 4\left(\frac{n}{2}\right) + 2 & \quad \text{when } n \text{ is even.}
\end{align*}
\]

In both cases the given sum simplifies to \( 5n + 1 \). \( \square \)

4.8. **Involutions in \( \text{TO}(2n) \).**

**Proposition 4.9.** \( \text{TO}(2n) \) has \( 5n - 4 \) conjugacy classes of involutions.

**Proof.** Recall that \( \text{TO}(2n) \cong (\mathbb{Z}/2)^{2n-1} \ltimes \text{Sp}(2n - 2) \). By Corollary 4.7, the number of involutions in the semi-direct product is \( 5(n - 1) + 1 \). \( \square \)

Our next goal is to produce a collection of specific involutions in \( \text{TO}(2n) \) and show that they must represent the \( 5n - 4 \) conjugacy classes. For the following proposition recall that \( I = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \) and \( J = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \).

**Proposition 4.10.** In \( \text{TO}(2n) \) we have the following calculations:

(a) \( DD(I^{\oplus(n-k)} \oplus J^{\oplus k}) = [k, 1, k+1, 1] \) for \( 1 \leq k \leq n - 1 \).

(b) \( DD(m(I^{\oplus(n-k)}) \oplus J^{\oplus k}) = \begin{cases} [k+1, 0, k, 1] & \text{if } k \text{ is even,} \\ [k+1, 0, k+1, 1] & \text{if } k \text{ is odd} \end{cases} \)

for \( 0 \leq k \leq n - 1 \).

(c) \( DD(m(I^{\oplus(n-k-1)} \oplus J^{\oplus k}) \oplus J) = [k+2, 1, k+2, 1] \) for \( 1 \leq k \leq n - 2 \).

**Proof.** These are all simple computations. We only do (b), since the others are similar (and easier). Let \( A = m(I^{\oplus(n-k)}) \oplus J^{\oplus k} \). Since \( A \) only has zeros along its diagonal, \( \alpha(A) = 0 \). Since \( m(A) \) has a 1 (and in fact, all ones) along its diagonal, \( \tilde{\alpha}(A) = 1 \). The matrices \( A + I \) and \( m(A) + I \) have the form

\[
\begin{bmatrix}
1 & 1 & \ldots & 1 \\
\vdots & \ddots & \ddots & \vdots \\
1 & 1 & \ldots & 1 \\
1 & 1 \\
\end{bmatrix}
\]

\[
\begin{bmatrix}
1 & 1 & \ldots & 1 \\
\vdots & \ddots & \ddots & \vdots \\
1 & 1 & \ldots & 1 \\
1 & 1 & \ldots & 1 \\
\end{bmatrix}
\]

The former clearly has rank \( k + 1 \). For the latter, row reduce the matrix by adding row 1 to the bottom \( 2k \) rows. This gives a new matrix where the lower \( 2k \) rows clearly have rank \( k \). The question then becomes whether row one of the matrix is a linear combination of these new lower \( 2k \) rows. It is clear that this is the case precisely when \( k \) is even.

As an alternative to just doing the rank computations, one can use Theorem 5.4 from the next section (but this is not really easier). \( \square \)

**Corollary 4.11.** The matrices listed in Proposition 4.10, together with the mirrors of the matrices in (a) and (b), represent all the conjugacy classes of involutions in \( \text{TO}(2n) \).
Proof. By Proposition 2.8, the DD-invariants are constant on conjugacy classes. Moreover, if $DD(A) = [a, b, c, d]$ then $DD(mA) = [c, d, a, b]$, simply by the definition. A look at the DD-invariants that appear in Proposition 4.10 reveals that there are no overlaps between parts (a), (b), and (c), even if one includes the mirrors of the matrices in (a) and (b). Now we count. There are $n - 1$ matrices covered by (a), which becomes $2n - 2$ when one includes their mirrors. There are $n$ matrices covered by (b), becoming $2n$ when one includes mirrors. Finally, there are $n - 2$ matrices covered by (c). So the total number of matrices is 

$$2n - 2 + 2n + n - 2 = 5n - 4,$$

and these represent distinct conjugacy classes. \(\square\)

**Corollary 4.12.** Two involutions in $TO(2n)$ are conjugate if and only if they have the same DD-invariant.

**Proof.** Immediate from Proposition 4.10 and Corollary 4.11. \(\square\)

The results in this section together constitute a proof of Theorem 1.2 from the introduction.

5. The DD-invariant and direct sums

Suppose that $(U, b_U)$ and $(W, b_W)$ are two bilinear spaces over $\mathbb{F}_2$, and $\sigma \in \text{Iso}(U)$ and $\theta \in \text{Iso}(W)$ are two involutions. It is natural to ask how the conjugacy class of the involution $\sigma \oplus \theta: U \oplus W \to U \oplus W$ depends on the conjugacy classes of $\sigma$ and $\theta$. Answering this is important for concrete computations, and it is needed for the applications in [D].

Unfortunately, stating the answer to the question is a little awkward due to the variety of cases that can occur. From the point of view of classifying involutions there are three types of bilinear spaces: symplectic, even-dimensional orthogonal, and odd-dimensional orthogonal. This leads to six different cases that must be analyzed for the pair $(U, W)$. And as the classification of conjugacy classes of involutions looks slightly different for the three types, the bookkeeping to handle the direct sum is somewhat clunky.

In this section we try, to the extent possible, to unify the three cases into a common classification system. The end result is still a bit clunky, but it is manageable.

5.1. Unification. For brevity let us write SYMP, EVO, and ODDO for the three types of bilinear spaces over $\mathbb{F}_2$. Note that in this nomenclature direct sums behave as in the chart below:

|   | SYMP | ODDO | EVO |
|---|------|------|-----|
| SYMP | SYMP | ODDO | EVO |
| ODDO | ODDO | EVO | ODDO |
| EVO | EVO | ODDO | EVO |

For all three types we have a distinguished vector $\Omega$ in the bilinear space, uniquely characterized by the property that $\Omega \cdot v = v \cdot v$, for all vectors $v$. When the bilinear space is symplectic one has $\Omega = 0$. If $U$ and $W$ are bilinear spaces and $V = U \oplus W$, one readily checks that $\Omega_V = \Omega_U + \Omega_W$. 
We extend the $DD$-invariant to the SYMP and ODDO cases in a trivial way that we will now explain. Let $(V, b_V)$ be a bilinear space and $\sigma \in \text{Iso}(V)$ be an involution. If $V$ is symplectic then define $\tilde{D}(\sigma) = D(\sigma)$, $\tilde{\alpha}(\sigma) = \alpha(\sigma)$, and

$$DD(\sigma) = [D(\sigma), \alpha(\sigma), D(\sigma), \alpha(\sigma)].$$

If $V$ is ODDO then $\sigma$ always preserves $\Omega$ and $b(\sigma(\Omega), \Omega) = b(\Omega, \Omega) = 1$. So the usual definition of the $\alpha$-invariant is not useful here. To get a more useful invariant, note that $\langle \Omega \rangle^\perp \subseteq V$ is symplectic and $\sigma$ restricts to a map $\sigma' : \langle \Omega \rangle^\perp \to \langle \Omega \rangle^\perp$. Define $\alpha(\sigma) = \alpha(\sigma')$. Since $\sigma(\Omega) = \Omega$ it follows at once that $D(\sigma) = D(\sigma')$, so the change to $\sigma'$ is really just for the purposes of the $\alpha$-invariant. Define $\tilde{D}(\sigma) = D(\sigma)$, $\tilde{\alpha}(\sigma) = \alpha(\sigma)$, and

$$DD(\sigma) = [D(\sigma), \alpha(\sigma), D(\sigma), \alpha(\sigma)] = [D(\sigma'), \alpha(\sigma'), D(\sigma'), \alpha(\sigma')].$$

We can now say by Theorem 1.1, Theorem 1.2, and Proposition 2.3 that the $DD$-invariant completely separates the conjugacy classes of orbits in each of the SYMP, ODDO, and EVO cases. Of course, in the first two cases the $DD$-invariant contains very redundant information.

The following lemma will be needed in the next section:

**Lemma 5.2.** Assume $(W, b)$ is ODDO, and that $\sigma$ is an involution in $\text{Iso}(W)$. Then the following three statements are equivalent:

1. $\alpha(\sigma) = 1$.
2. There exists $w \in W$ such that $b(w, w) = 0$ and $b(w, \sigma w) = 1$.
3. There exists $v \in W$ such that $b(v, v) = 1$ and $b(v, \sigma v) = 0$.

**Proof.** The equivalence of (1) and (2) is just the definition of $\alpha(\sigma)$. If $b(w, w) = 0$ and $b(w, \sigma w) = 1$ then let $v = w + \Omega$. Then $\sigma v = \sigma w + \Omega$. One readily checks that $b(v, v) = b(\Omega, \Omega) = 1$ and $b(v, \sigma v) = b(w, \sigma w) + b(\Omega, \Omega) = 0$. So (2) implies (3), and the converse is similar. \hfill $\square$

### 5.3. Direct sums.

It is trivial to check that in all cases $D(\sigma \oplus \theta) = D(\sigma) + D(\theta)$. It is also trivial to see that when $U$ and $W$ are both even-dimensional, then $\alpha(\sigma \oplus \theta) = \max\{\alpha(\sigma), \alpha(\theta)\}$. Our “baseline” for how $DD(\sigma \oplus \theta)$ relates to $DD(\sigma)$ and $DD(\theta)$ is that $DD(\sigma \oplus \theta) = DD(\sigma) \# DD(\theta)$ where for tuples $X, Y \in \mathbb{Z} \times \mathbb{Z}/2 \times \mathbb{Z} \times \mathbb{Z}/2$ we define

$$X \# Y = [X_1 + Y_1, \max\{X_2, Y_2\}, X_3 + Y_3, \max\{X_4, Y_4\}].$$

By “baseline” we simply mean that this is the result that holds in the majority of cases, and the exceptional cases can be seen as small deviations from this baseline.

**Theorem 5.4.** Let $(U, b_U)$ and $(W, b_W)$ be two bilinear spaces over $\mathbb{F}_2$. Let $\sigma \in \text{Iso}(U)$ and $\theta \in \text{Iso}(W)$ be two involutions. Then

(a) $DD(\sigma \oplus \theta) = DD(\sigma) \# DD(\theta)$ if either $U$ or $W$ is SYMP.

(b) If $U$ and $W$ are both ODDO then

$$DD(\sigma \oplus \theta) = [D(\sigma) + D(\theta), 1, D(\sigma) + D(\theta) + 1, \max\{\alpha(\sigma), \alpha(\theta)\}]$$

$$= [DD(\sigma) \# DD(\theta)] \# [0, 1, 1, 0].$$

(c) If $U$ is ODDO and $W$ is EVO then

$$DD(\sigma \oplus \theta) = [D(\sigma) + D(\theta), \max\{\alpha(\sigma), \tilde{\alpha}(\theta)\}, D(\sigma) + D(\theta), \max\{\alpha(\sigma), \tilde{\alpha}(\theta)\}].$$
(d) If \( U \) and \( W \) are both EVO then

\[
D(\sigma \oplus \theta) = [DD(\sigma) \# DD(\theta)] + E
\]

where \( + \) means componentwise-addition and

\[
E = \begin{cases} 
[0, 0, 0, 0] & \text{if } \tilde{D}(\sigma) = D(\sigma) \text{ or } \tilde{D}(\theta) = D(\theta), \\
[0, 0, -1, 0] & \text{if } \tilde{D}(\sigma) > D(\sigma) \text{ and } \tilde{D}(\theta) > D(\theta), \\
[0, 0, 1, 0] & \text{if } \tilde{D}(\sigma) > D(\sigma) \text{ and } \tilde{D}(\theta) < D(\theta), \\
[0, 0, 1, 0] & \text{if } \tilde{D}(\sigma) < D(\sigma) \text{ and } \tilde{D}(\theta) > D(\theta), \\
[0, 0, 2, 0] & \text{if } \tilde{D}(\sigma) < D(\sigma) \text{ and } \tilde{D}(\theta) < D(\theta).
\end{cases}
\]

Remark 5.5. In part (d), the main point is the behavior of the \( \tilde{D} \) invariant. Here is a bookkeeping system that contains the same information as the five cases listed in (d). Let \( C \) be the monoid \((-1, 0, 1)\) with integer multiplication. Every involution \( \sigma \in \text{TO}(2k) \) may be given a “charge” \( c(\sigma) \) in \( C \) as follows. If \( \tilde{D}(\sigma) > D(\sigma) \) then \( c(\sigma) = 0 \). If \( \tilde{D}(\sigma) = D(\sigma) \) then \( c(\sigma) = 1 \). If \( \tilde{D}(\sigma) < D(\sigma) \) then \( c(\sigma) = -1 \). Under this system one has \( c(\sigma \oplus \theta) = c(\sigma)c(\theta) \), where the multiplication of charges takes place in \( C \). This formula suggests that the 4-tuple \([D(\sigma), c(\sigma), \alpha(\sigma), \tilde{\alpha}(\sigma)]\) might be a more convenient fundamental system of invariants for involutions, as opposed to the \( DD \)-invariant. We have not gone this route mainly because the definition of \( c(\sigma) \) is not particularly intuitive, and in practice it would usually be computed via \( \tilde{D}(\sigma) \) anyway.

Proof of Theorem 5.4. This proof is somewhat long and clunky, due to the number of cases. As we remarked before, the \( D \)-invariant is always additive—so we will ignore it for the remainder of the proof, and concentrate on the other three invariants. Set \( V = U \oplus W \), and note that \( \Omega_V = \Omega_U + \Omega_W \).

For part (a) we assume that \( U \) is symplectic. There are then three cases, depending on the type of \( W \). If \( W \) is also symplectic then the result is easy. Assume that \( W \) is EVO, so that \( V \) is also EVO. Observe that \( \tilde{D}(\sigma \oplus \theta) \) is the dimension of the space

\[
\{(u + \sigma u + (b(u, u) + b(w, w))\Omega_U, w + \theta w + (b(u, u) + b(w, w))\Omega_W) \mid u \in U, w \in W\}.
\]

But \( \Omega_U = 0 \) and \( b(u, u) = 0 \) for all \( u \in U \), so this simplifies to

\[
\{(u + \sigma u, w + \theta w + b(w, w)\Omega_W) \mid u \in U, w \in W\}
\]

which splits as

\[
\{u + \sigma u \mid u \in U\} \oplus \{w + \theta w + b(w, w)\Omega_W \mid w \in W\}.
\]

The dimensions of the two summands are \( D(\sigma) = \tilde{D}(\sigma) \) and \( \tilde{D}(\theta) \), respectively. So \( \tilde{D}(\sigma \oplus \theta) = \tilde{D}(\sigma) + \tilde{D}(\theta) \).

One has \( \alpha(\sigma \oplus \theta) = 1 \) if and only if there exist \( u \in U, w \in W \) such that

\[
1 = b(u + w, \sigma u + \theta w) = b(u, \sigma u) + b(w, \theta w)
\]

and clearly this has a solution if and only if either \( \alpha(\sigma) = 1 \) or \( \alpha(\theta) = 1 \). So \( \alpha(\sigma + \theta) = \max\{\alpha(\sigma), \alpha(\theta)\} \).
Likewise, \( \tilde{\alpha}(\sigma \oplus \theta) = 1 \) if and only if there exist \( u \in U, \ w \in W \) such that
\[
1 = b(u + w, \sigma u + \theta w + (b(u, u) + b(w, w))\Omega_V)
\]
\[
= b(u, \sigma u) + b(w, \theta w) + b(u, u) + b(w, w)
\]
\[
= b(u, \sigma u) + b(w, \theta w) + b(w, w) \quad \text{since } U \text{ is symplectic}
\]
\[
= b(u, \sigma u) + b(w, \theta w + b(w, w)\Omega_W).
\]
Clearly such \( u \) and \( w \) exist if and only if either \( \alpha(\sigma) = 1 \) or \( \tilde{\alpha}(\theta) = 1 \). Since \( \alpha(\sigma) = \tilde{\alpha}(\sigma) \), we can write \( \tilde{\alpha}(\sigma \oplus \theta) = \max\{\tilde{\alpha}(\sigma), \tilde{\alpha}(\theta)\} \). This finishes the proof when \( W \) is EVO.

To complete the proof for (a), assume that \( U \) is SYMP and \( W \) is ODDO. Here \( V \) is ODDO, so \( \tilde{\alpha} \) and \( \tilde{\alpha} \) are redundant—it only remains for us to compute \( \alpha \) for \( \sigma \oplus \theta \). We have \( \alpha(\sigma \oplus \theta) = 1 \) if and only if there exists \( u \in U, \ w \in W \) such that
\[
0 = b(u + w, \Omega_V) = b(w, \Omega_W)
\]
\[
1 = b(u + w, \sigma u + \theta w) = b(u, \sigma u) + b(w, \theta w).
\]
Having a \( u \) such that \( b(u, \sigma u) = 1 \) is equivalent to \( \alpha(\sigma) = 1 \). Having a \( w \) such that \( b(w, \Omega_W) = 0 \) and \( b(w, \theta w) = 1 \) is equivalent to \( \alpha(\theta) = 1 \). So \( \alpha(\sigma \oplus \theta) = \max\{\alpha(\sigma), \alpha(\theta)\} \).

For (b), assume that \( U \) and \( W \) are ODDO. Here \( \Omega_V = \Omega_U + \Omega_W \). We readily compute that
\[
b((\sigma \oplus \theta)(\Omega_U), \Omega_U) = b((\sigma \oplus \theta)(\Omega_U), \Omega_U) = b(U, \Omega_U) = 1,
\]
so \( \alpha(\sigma \oplus \theta) = 1 \). Let \( F = m(\sigma \oplus \theta) \). Recall that \( F : V \to V \) is the map given by \( (\sigma \oplus \theta)(v) + b(v, v)\Omega_V \). But we can decompose \( V \) as \( V = \langle \Omega_U \rangle^\perp \oplus \langle \Omega_W \rangle^\perp \oplus \langle \Omega_U \rangle \oplus \langle \Omega_W \rangle \). The first two summands are symplectic, so on these \( F \) agrees with \( \sigma \) and \( \theta \), respectively. On the last two summands \( F \) is readily checked to satisfy \( F(\Omega_U) = \Omega_W \) and \( F(\Omega_W) = \Omega_U \). It follows at once that \( \tilde{\alpha}(\sigma \oplus \theta) = \alpha(F) = \max\{\alpha(\sigma), \alpha(\theta)\} \).

Moreover, \( \tilde{D}(\sigma \oplus \theta) \) is the dimension of \( \text{Im}(F + \text{Id}) \), which clearly decomposes as \( \text{Im}(\sigma + \text{Id}) \oplus \text{Im}(\theta + \text{Id}) \oplus \langle \Omega_V \rangle \). So \( \tilde{D}(\sigma \oplus \theta) = D(\sigma) + D(\theta) + 1 = D(\sigma) + D(\theta) + 1 \).

Now we turn to (c), so assume \( U \) is ODDO and \( V \) is EVO. Then \( U \oplus V \) is ODDO, so \( \tilde{D}(\sigma \oplus \theta) = D(\sigma \oplus \theta) \) and \( \tilde{\alpha}(\sigma \oplus \theta) = \alpha(\sigma \oplus \theta) \). We only need to compute \( \alpha(\sigma \oplus \theta) \).

This invariant is equal to 1 if and only if there exist \( u \in U, \ w \in W \) such that
\[
0 = b(u + w, u + w) = b(u, u) + b(w, w), \quad \text{and}
\]
\[
1 = b(u + w, \sigma u + \theta w) = b(u, \sigma u) + b(w, \theta w).
\]
These equations break down into four possibilities:

| \( b(u, u), b(w, w) \) | I | II | III | IV |
|------------------------|---|----|-----|----|
| 0, 0                   | 1 | 1  | 1   | 1  |
| 0, 1                   | 0,1| 1,0| 0,1 |

In case I we have \( \alpha(\sigma) = 1 \), by definition of \( \alpha \). In case II we have \( I_2(\theta) > 0 \), and so \( \tilde{\alpha}(\theta) = \alpha(\theta) = 1 \) by Proposition 3.5(f,g). In case III we have \( I_1(\theta) > 0 \), so \( \tilde{\alpha}(\theta) = 1 \) by Proposition 3.5(g). And in case IV we have \( \alpha(\sigma) = 1 \), by Lemma 5.2. In all cases we have either \( \alpha(\sigma) = 1 \) or \( \tilde{\alpha}(\theta) = 1 \).

Conversely, if \( \alpha(\sigma) = 1 \) then we have a \( u \in U \) such that \( b(u, u) = 0 \) and \( b(u, \sigma u) = 1 \). Then the pair \( (u, 0) \) is a solution to (5.6). Likewise, if \( \tilde{\alpha}(\theta) = 1 \) then by
Proposition 3.5(g) \( I_4(\theta) > 0 \); so there exists \( w \in W \) such that \( b(w, w) = 1 \) and \( b(w, \sigma w) = 0 \). Then \((\Omega_U, w)\) is a solution to (5.6). So we have now proven that

\[ \alpha(\sigma \oplus \theta) = 1 \iff (\alpha(\sigma) = 1 \text{ or } \alpha(\theta) = 1). \]

This is equivalent to \( \alpha(\sigma \oplus \theta) = \max\{\alpha(\sigma), \alpha(\theta)\} \). This completes (c).

Finally, we turn to (d). The computations of \( \alpha \) and \( \alpha \) for \( \sigma \oplus \theta \) are straightforward and left to the reader. It remains to deal with \( \tilde{D} \). Let \( F = m(\sigma \oplus \theta) + \text{Id} \). Recall that \( F \colon V \to V \) is the map given by \( v \mapsto (\sigma \oplus \theta)(v) + v + b(v, v)\Omega_W \). Let \( M \) be the image of \( F \), so that \( \tilde{D}(\sigma \oplus \theta) = \dim M \).

Note that \( \Omega_V = \Omega_U \oplus \Omega_W \). Define

\[ P = F(U) = \{\sigma u + u + b_U(u, u)\Omega_U + b_U(u, u)\Omega_W \mid u \in U\} \]

and

\[ Q = F(V) = \{\theta w + w + b_W(w, w)\Omega_W + b_W(w, w)\Omega_U \mid w \in W\}. \]

Then \( M = P + Q \), and clearly \( P \cap Q \subseteq \langle \Omega_U, \Omega_W \rangle \).

We claim that

\[ \Omega_U \in P \iff I_7(\sigma) \neq 0, \quad \Omega_W \in Q \iff I_7(\theta) \neq 0 \]

\[ \Omega_U \in P \iff I_8(\sigma) \neq 0, \quad \Omega_W \in Q \iff I_8(\theta) \neq 0, \]

\[ \Omega_U + \Omega_W \in P \iff I_5(\sigma) \neq 0, \quad \Omega_U + \Omega_W \in Q \iff I_5(\theta) \neq 0. \]

These are all easy statements. For example, clearly \( \Omega_U \in P \) if and only if there exists a \( u \in U \) such that \( b_U(u, u) = 0 \) and \( \sigma(u) + u = \Omega_U \). This is precisely the condition that \( I_7(\sigma) \neq 0 \). The other statements are similar.

Suppose that \( I_7(\sigma) = I_7(\theta) = 0 \). By Proposition 3.5(k) this is the assumption that \( D(\sigma) \neq \tilde{D}(\sigma) \) and \( D(\theta) \neq \tilde{D}(\theta) \). Also by Proposition 3.5, either \( I_5(\sigma) \) or \( I_8(\sigma) \) is nonzero, and similarly for \( \theta \). So we have \((\Omega_W \in P \text{ or } \Omega_U + \Omega_W \in P)\) and \((\Omega_U \in Q \text{ or } \Omega_U + \Omega_W \in Q)\). Note that all four combinations lead to \( \Omega_U + \Omega_W \in P + Q \). If \( I_8 \) is nonzero for either \( \sigma \) or \( \theta \) then one readily checks using (5.7) that \( \Omega_U, \Omega_W \in P + Q \).

Therefore

\[ M = \langle \Omega_U, \Omega_W \rangle + \{\sigma(u) + u \mid u \in U\} + \{\sigma(w) + w \mid w \in W\}. \]

Note that the second space has dimension \( D(\sigma) \), and the third space has dimension \( D(\theta) \). Moreover, \( I_8(\sigma) \neq 0 \) if and only if \( \Omega_U \) is in the second space, and \( I_8(\theta) \neq 0 \) if and only if \( \Omega_W \) is in the third space. We will use these observations to analyze \( \dim M \) in the various cases.

If \( \tilde{D}(\sigma) > D(\sigma) \) and \( \tilde{D}(\theta) < D(\theta) \) then by Proposition 3.5 we know \( I_8(\sigma) = 0 \), \( I_8(\theta) = 0 \), and \( I_5(\sigma) = I_7(\theta) = 0 \). So

\[ \dim M = 1 + D(\sigma) + D(\theta) = 1 + (\tilde{D}(\sigma) - 1) + (\tilde{D}(\theta) + 1) = \tilde{D}(\sigma) + \tilde{D}(\theta) + 1. \]

The analysis is identical in the opposite case \( \tilde{D}(\sigma) < D(\sigma) \) and \( \tilde{D}(\theta) > D(\theta) \). If \( \tilde{D}(\sigma) < D(\sigma) \) and \( \tilde{D}(\theta) < D(\theta) \) then \( I_7(\sigma) = I_7(\theta) = 0 \) and both \( I_5(\sigma) \) and \( I_8(\theta) \) are nonzero, therefore \( \dim M = D(\sigma) + D(\theta) = \tilde{D}(\sigma) + \tilde{D}(\theta) + 2 \).

Next assume \( \tilde{D}(\sigma) > D(\sigma) \) and \( \tilde{D}(\theta) > D(\theta) \). Then by Proposition 3.5 we know both \( I_5(\sigma) \) and \( I_8(\theta) \) are nonzero. So \( \Omega_U + \Omega_W \subseteq P \cap Q \) and we can write

\[ M = \langle \Omega_U + \Omega_W \rangle + \{\sigma(u) + u \mid u \in U\} + \{\sigma(w) + w \mid w \in W\}. \]

Since \( I_7(\sigma) = I_5(\sigma) = 0 \), \( \Omega_U \) is not contained in the middle subspace. Similarly, \( \Omega_W \) is not contained in the right subspace. It follows that the above is a direct sum decomposition of \( M \), and so \( \dim M = 1 + D(\sigma) + D(\theta) = \tilde{D}(\sigma) + \tilde{D}(\theta) - 1 \).
We only have left to analyze the case where \( \tilde{D}(\sigma) = D(\sigma) \) (or the parallel case where \( \sigma \) and \( \theta \) are interchanged). Here \( I_7(\sigma) \neq 0 \) and \( I_5(\sigma) = I_8(\sigma) = 0 \). So \( \Omega_U \in P \) and we can therefore write
\[
M = \langle \Omega_U \rangle + \{ \sigma(u) + u + b_U(u, u)\Omega_W \mid u \in U \} + \{ \theta(w) + w + b_W(w, w)\Omega_W \mid w \in W \}.
\]
The dimension of the third summand is \( \tilde{D}(\theta) \). Because \( I_7(\sigma) \neq 0 \), \( \Omega_U \) lies in the second summand and so the \( \langle \Omega_U \rangle \) piece can be ignored. The second summand is contained in \( \{ \sigma(u) + u \mid u \in U \} \oplus \langle \Omega_W \rangle \), but since \( I_5(\sigma) = 0 \) it does not contain \( \Omega_W \). So its dimension is clearly the same as \( \{ \sigma(u) + u \mid u \in U \} \), which is \( D(\sigma) \). We also get
\[
M = \{ \sigma(u) + u + b_U(u, u)\Omega_W \mid u \in U \} \oplus \{ \theta(w) + w + b_W(w, w)\Omega_W \mid w \in W \},
\]
since the only vector that could possibly lie in the intersection is \( \Omega_W \) and we have just observed it is not in the left summand. So \( \dim M = D(\sigma) + \tilde{D}(\theta) = \tilde{D}(\sigma) + \tilde{D}(\theta) \). \( \square \)

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Department of Mathematics, University of Oregon, Eugene, OR 97403

E-mail address: ddegger@math.uoregon.edu