Exploring Beyond the DNA Sequence: A Review of Epigenomic Studies of DNA and Histone Modifications in Dementia

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Abstract

Purpose of Review Although genome-scale studies have identified many genetic variants associated with dementia, these do not account for all of disease incidence and so recently attention has turned to studying mechanisms of genome regulation. Epigenetic processes such as modifications to the DNA and histones alter transcriptional activity and have been hypothesized to be involved in the etiology of dementia. Here, we review the growing body of literature on dementia epigenomics, with a focus on novel discoveries, current limitations, and future directions for the field.

Recent Findings It is through advances in genomic technology that large-scale quantification of epigenetic modifications is now possible in dementia. Most of the literature in the field has primarily focussed on exploring DNA modifications, namely DNA methylation, in postmortem brain samples from individuals with Alzheimer’s disease. However, recent studies have now begun to explore other epigenetic marks, such as histone modifications, investigating these signatures in both the brain and blood, and in a range of other dementias.

Summary There is still a demand for more epigenomic studies to be conducted in the dementia field, particularly those assessing chromatin dynamics and a broader range of histone modifications. The field faces limitations in sample accessibility with many studies lacking power. Furthermore, the frequent use of heterogeneous bulk tissue containing multiple cell types further hinders data interpretation. Looking to the future, multi-omic studies, integrating many different epigenetic marks, with matched genetic, transcriptomic, and proteomic data, will be vital, particularly when undertaken in isolated cell populations, or ideally at the level of the single cell. Ultimately these studies could identify novel dysfunctional pathways and biomarkers for disease, which could lead to new therapeutic avenues.

Keywords Alzheimer’s disease · Biomarker · Dementia · DNA methylation · Epigenetics · Histone modifications

Introduction

Dementia is an umbrella term used to describe a group of specific symptoms, including global deterioration of intellect, cognition, and behavior, which arise in a vast range of neuro-degenerative conditions [1]. The biggest risk factor associated with dementia is age, with approximately two in every 100 people developing the syndrome between the ages of 65 and 69, rising after the age of 85 to one in five [2]. Current estimates indicate that approximately 44 million people are living with dementia worldwide [3]. Furthermore, healthcare advances mean that more people are now living to old age and so this number is expected to triple by 2050.

Alzheimer’s disease (AD) is the most prevalent type of dementia, accounting for approximately 50–70% of all cases [2]. Clinically AD is characterized by memory loss and mood and personality changes. Neuropathologically the disease is characterized by the accumulation of extracellular amyloid beta (Aβ) plaques, intracellular neurofibrillary tangles (NFTs), and neuro-inflammation. Aβ plaques are produced from the incorrect cleavage of amyloid precursor protein (APP) by β- and γ-secretases, while NFTs are paired helical filaments of hyperphosphorylated tau protein. The characteristic spread of both these pathological hallmarks has been used to develop postmortem staging criteria.
Aside from AD, there are several other neurodegenerative diseases characterized by dementia that burden the elderly (Table 1). Vascular dementia (VaD) is the second most prevalent dementia, accounting for ~20% of dementia cases [7], and commonly arises because of brain infarcts, resulting from a blood supply loss. Conversely, dementia with Lewy bodies (DLB) accounts for 10–15% of dementia cases and is characterized by the cytoplasmic accumulation of α-synuclein in neurons, forming Lewy bodies (LBs). Pathologically, DLB is difficult to discriminate postmortem from Parkinson’s disease dementia (PDD) and these diseases are only distinguished clinically by the arbitrary timing of motor symptoms relative to cognitive changes [13]. Other less common neurodegenerative diseases that feature dementia include frontotemporal dementia (FTD) and Huntington’s disease (HD). Although various disorders that feature dementia can be neuropathologically classified into distinct conditions, it is worth noting that mixed dementia is common, for example many individuals with AD pathology will also have some co-existing Lewy body pathology [14, 15].

Table 1 Characteristics of the major neurodegenerative disorders characterized by dementia

| Condition          | Approximate prevalence across all dementia cases | Key pathology                                                                 | Clinical characteristics                                                                 |
|--------------------|-------------------------------------------------|--------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|
| Alzheimer’s disease (AD) | 50–70% of dementia cases [3]                      | Build-up of amyloid beta plaques and neurofibrillary tangles (NFTs) initially within the trans-entorhinal cortex. Eventually pathology spreads to the hippocampus and neocortex. | Memory impairment, confusion, poor judgment, language disturbance, visual complaints, agitation, withdrawal, and hallucinations. |
| Vascular dementia (VaD) | ~17% of dementia cases [7]                        | Infarcts resulting from a failure of blood supply to the affected brain region through, for example, a stroke. | Memory impairment, confusion, depression, apathy, and agitation more prevalent compared with AD. |
| Dementia with Lewy bodies (DLB) | 4.6% of dementia cases [8]                       | Lewy-type pathology: build-up of α-synuclein deposits in nerve cells in the cerebral and limbic cortex, hippocampus, and basal ganglia. Severity correlates with the abundance of Lewy bodies in the cortex. | Memory impairment, confusion, fluctuating cognition, parkinsonism, visual hallucinations, REM sleep behavioral disorder, general cognitive decline, and memory impairment. |
| Frontotemporal dementia (FTD) | ~3% of dementia cases [9]                         | Deposits of hyperphosphorylated tau, abnormally folded and hyperphosphorylated TDP-43, or fused sarcoma protein in the frontotemporal region leading to lobar atrophy. | Three clinical variants: behavioral-variant FTD including personality changes and apathy; non-fluent variant primary progressive aphasia (nfvPPA); and semantic-variant primary progressive aphasia (svPPA). Progression leads to convergence of the clinical variants. Global cognitive impairment, parkinsonism, and motor neuron disease are often observed. Memory impairment and confusion are more common in advanced cases. |
| Parkinson’s disease dementia (PDD) | 3.6% of dementia cases [10] (Note: Prevalence of PD patients exhibiting dementia-like symptoms is 24.5%) [8] | Alpha-synuclein deposits spreading from the nervous system to the cortical areas may be associated with the development of dementia in PD. Pathologically similar to DLB. | Dementia, on average, emerges around 18 years after PD duration. Common symptoms of PD include tremor, bradykinesia, bradyphrenia, pain, fatigue, sleepiness, and mood disorders. Executive decline, slowed cognition, short-term memory impairment, and confusion are well reported in PDD. |
| Huntington’s disease (HD) | 10.6–13.7 individuals per 100,000 in western populations [11, 12] (Note: Prevalence of Huntington’s disease dementia highly inconsistent between studies, dependent on dementia criteria) | The appearance of nuclear and cytoplasmic inclusions containing mutant huntingtin and polyglutamine in the caudate and putamen regions of the striatum. | Memory loss is common but should be coupled with other cognition criteria to avoid inaccurate diagnosis. Speed of processing is highly impaired in HD dementia, as is attention span, executive functions, and visuospatial abilities. |

Approximate prevalence is an estimate and does not represent a completely accurate proportion across the entire population of dementia patients. Prevalence, pathology, and clinical characteristics have been comprehensively reviewed in several publications [3, 7, 9–13].
prevailing, but overall predict that FAD is responsible for approximately 1–10% of all AD cases with symptoms usually observed before the age of 65 [16–18]. FAD is caused by one or more autosomal dominant mutations in the APP gene or in the presenilin (PSEN) 1 and 2 genes, which encode components of the γ-secretase. Contrastingly, SAD tends to be late-onset (LOAD) and is thought to result from the interplay between multiple genetic and environmental factors. Owing to large-scale meta-analyses of genome-wide association studies (GWAS) in recent years, a number of single nucleotide polymorphisms (SNPs) have been implicated in the development of SAD/LOAD, featuring genes in specific pathways such as lipid metabolism, APP processing, and inflammation [19–21]. Although alone these common variants only contribute a relatively small amount to disease risk, recent studies have combined these together to generate polygenic risk scores (PRS), which can even be used to predict the likelihood of an individual developing AD with ~78% accuracy [22]. Comparatively, there are far fewer well-powered genomic studies in other common dementias to date. For example, the first large-scale GWAS in DLB was only published in 2018, which identified risk loci that are shared with both AD (e.g., the APOE ε4 allele) and Parkinson’s disease (PD) (e.g., SNCA) as well as some novel loci that may be specific to DLB [23, 24].

Although GWAS have provided valuable insight into the genetic variants associated with the development of dementia, particularly in the context of AD, these SNPs do not account for all of disease incidence. As such, attention in recent years has turned to studying mechanisms of regulating the genome, such as epigenetic processes.

**Contextualizing the Epigenome**

Epigenetics refers to the reversible regulation of gene expression, occurring independent of DNA sequence variation through modifications to the DNA or histone proteins or non-coding RNAs (ncRNAs) (Fig. 1). These epigenetic mechanisms can be dynamic, changing in response to environmental stimuli. In the context of complex disease phenotypes, research in recent years has focussed on characterizing epigenetic profiles, with the aim of discovering novel therapeutic targets and biomarkers.

The best characterized epigenetic modification is that of DNA methylation, whereby a methyl group is added to the C5 position of a cytosine residue forming 5-methylcytosine (5-mC) [25]. While DNA methylation was traditionally viewed to result in gene silencing, recent evidence suggests that this is dependent on the genomic context, and in fact 5-mC can be associated with increased gene expression or alternative splicing [26]. Aside from 5-mC, several other DNA modifications have been described, including 5-hydroxymethylcytosine (5-hmC), 5-carboxycytosine (5-caC), and 5-formylcytosine (5-fC) (Table 2). These were initially thought to be intermediates in the demethylation pathway back to an unmodified cytosine; however, recent evidence suggests that they may have functional roles in their own right [27]. Indeed, 5-hmC has been shown to be present at relatively high levels in the brain compared with other tissues, particularly in synaptic genes [28]. Aside from direct modifications to the DNA, the genome can also be regulated by post-translational modification of histone proteins (e.g., acetylation, ubiquitination, methylation, phosphorylation, and SUMOylation), which contribute to the regulation of chromatin accessibility, and thus transcriptional suppression and activation [29] (Table 2). Finally, gene expression can also be regulated by ncRNAs, which are short RNA strands transcribed from the DNA sequence that are not subsequently translated. A multitude of ncRNA species exist within the human genome, each tailored to a specific role, and these have been previously reviewed extensively in the context of dementia [30] and thus will not be a focus of this review.

**Profiling DNA Modifications in Postmortem Dementia Brain Samples**

Many of the initial epigenetic studies in dementia focussed on profiling DNA methylation in specific candidate genes, for example those implicated in Aβ or tau generation (see Roubroek et al. [31] for a comprehensive review). However, such studies were often inconclusive, owing to differences between tissue type, samples, and techniques used [32–36]. Other early studies focussed on exploring global DNA modification patterns in postmortem brain tissue using techniques such as immunocytochemistry with antibodies specific to different DNA modifications [37–40]. However, this approach can only detect average changes in DNA modification across the whole genome and cannot identify alterations at single nucleotide resolution. In addition, many of these studies were also inconclusive due to similar limitations that were observed in the candidate gene studies (as reviewed in Roubroeks et al. [31]). More recently, advances in genomic technology have allowed large-scale quantification of DNA methylation at single nucleotide resolution in dementia via epigenome-wide association studies (EWAS) (Table 3).

The first EWAS in dementia was published in 2012, utilizing the Illumina Infinium Human Methylation 27 K BeadChip array (27 K array) to profile ~27,000 loci and identified differential methylation across 948 CpG sites, representing 918 genes, in human frontal cortex tissue from 12 AD and 12 non-demented control donors [57]. In 2014, two EWAS of AD were published back-to-back, which utilized the Illumina Infinium Human Methylation 450 K BeadChip array (450 K array) to investigate DNA methylation patterns at ~450,000
loci in far larger cohorts. De Jager and colleagues profiled prefrontal cortex brain tissue from > 700 donors with varying degrees of AD pathology to identify 71 differentially methylated loci, of which 11 were validated in the accompanying article by Lunnon and colleagues [53]. The study by Lunnon et al. performed a cross-cortex brain EWAS utilizing matched 450 K array data from three cortical brain regions (entorhinal cortex, superior temporal gyrus, prefrontal cortex) and the cerebellum in ~ 120 individuals [55]. They reported significant cortical hypermethylation of the \( \text{ANK1} \) gene with increasing Braak stage (used as a measure of NFT spread), which they replicated in three further cortical datasets in addition to showing distinct DNA methylation patterns in AD in the brain and the blood. More recently, a number of other teams have utilized the 450 K array to study DNA methylomic variation in AD; Watson et al. discerned 479 differentially methylated regions (DMRs) which exhibited “strong bias for hypermethylated changes” in 34 LOAD and 34 control superior temporal gyrus samples, overlapping with genes previously reported to be involved in neuron function, development, and cellular metabolism [52]. Similarly, Smith and colleagues analyzed 450 K array data from 147 individuals in the superior temporal gyrus and identified most notably a DMR spanning 48 kb across the \( \text{HOXA} \) gene cluster, which was hypermethylated with increasing Braak stage [48]. More recently, Altuna et al. utilized the 450 K array to study DNA methylation in the hippocampus of 26 AD and 12 control brain samples, reporting 118 AD differentially methylated positions (DMPs), including \( \text{HOXA3} \), a previously reported gene of interest. These loci were enriched in genes associated with brain and embryonic morphogenesis and homeobox-containing transcription factors [42].

The brain is a heterogeneous tissue and it is well reported that there are alterations in the abundance and activity of various cell types in AD. One key limitation of the aforementioned studies is that they have been undertaken on bulk brain tissue. Although many of these have attempted to control for changes in cell proportions bioinformatically, this does not allow the exploration of cell-type specific DNA methylation changes. As such, a couple of recent studies have focussed on profiling DNA methylation in isolated cell types in AD brain. Gasparoni et al. profiled bulk tissue DNA methylation patterns in 63 frontal and 65 temporal cortex samples derived from 52 healthy and 76 AD donors using the 450 K array. They also profiled DNA methylation in sorted neuronal and non-neuronal (glia) nuclei isolated from 31 occipital cortex samples, to identify cell-type specific DNA methylation patterns [46]. Using this approach, the authors concluded that DNA methylation changes in \( \text{HOXA3} \) were driven by alterations in neuronal cells, while changes in \( \text{ANK1} \) were driven by alterations in glial cells. When the authors meta-analyzed the neuronal and glial analyses, they identified a number of enriched pathways of functional relevance, for example KEGG pathways for Alzheimer’s disease, neurotrophin signaling, and MAPK signaling. One other study has investigated cell-type specific DNA methylation changes in AD; Mano and colleagues profiled DNA methylation in neurons isolated from the inferior temporal gyrus in 30 AD patients and 30 age-matched normal controls, identifying eight DMRs and highlighting the \( \text{BRCA1} \) gene, which also showed protein changes in disease [65].

Although the focus of most DNA methylomic studies in dementia to date has been in the context of AD, some other diseases have been investigated, albeit with far fewer samples. The first of two studies that have interrogated DNA methylation status in DLB used the GoldenGate DNA Methylation BeadArray to compare DNA methylation patterns across a large number of different tissue types and disease phenotypes,
including the cerebral cortex from 13 DLB patients [62]. Although the authors did highlight that the pattern of hypomethylation in the DLB samples distinguished these donors from normal brain and neuroectodermal tumors (glioma and neuroblastoma), it was not clear whether the same brain region was used for these comparisons. In the other study, Sanchez-Mut and colleagues used the 450 K array and subsequent pyrosequencing to profile DNA methylation in the gray matter in the dorsolateral prefrontal cortex in a small cohort of AD, DLB, PD, and down syndrome cases with AD (DS-AD) [59].

The authors identified a number of DMRs associated with all the neurodegenerative diseases, highlighting that these were significantly overrepresented in signaling pathways related to brain function (e.g., hippocampus, Wnt, and neurotrophin signaling) and immune function (e.g., TGF-β signaling, FcyR-mediated phagocytosis). The authors also demonstrated differential DNA methylation in ANKI and RHHDFT2 in AD and DLB, corroborating several previous AD EWAS. However, a recent bisulfite pyrosequencing study of ANKI in different neurodegenerative diseases reported that disease-associated hypermethylation in the entorhinal cortex in DLB donors was only observed when there was co-existing AD pathology [45]. In the context of other neurodegenerative diseases, another study utilizing the 450 K array demonstrated DNA demethylomic alterations in HLA-DQA1, GFPT2, MAPT, and MIR886 in five PD frontal cortex and blood samples compared with six age-matched healthy controls, even though the conclusions that can be drawn from the study are limited by its power [61].

Although the focus of all these studies has been on quantifying DNA methylation patterns in disease, one limitation is that they have used bisulfite-treated DNA and thus have quantified both 5-mC and 5-hmC. However, several recent studies have aimed to profile these modifications in isolation. The first, published by Zhao et al., used a selective chemical label-based technique prior to sequencing to quantify 5-hmC in prefrontal cortex samples from 20 AD and 10 control donors. The authors identified 517 differentially hydroxymethylated regions (DhMRs) significantly associated with neuritic plaques and 60 DhMRs associated with NFTs, although the low sequencing resolution meant it was not possible to discriminate between 5-mC and 5-hmC. Interestingly, of the plaque-associated DhMRs, these were annotated to genes enriched for neuronal functions, such as long-term memory and neurotrophin signaling [50]. Later that year, Ellison and colleagues published a study utilizing reduced representation hydroxymethylation profiling (RRHP) to analyze 5-hmC levels in the hippocampus in three AD and two control donors.
| Tissue type(s) | Materials | Technology | Significant findings | Study |
|----------------|-----------|------------|----------------------|-------|
| **DNA modifications** | | | | |
| Alzheimer’s disease | | | | |
| Whole blood | 86 AD, 89 controls, and 109 MCI including 38 MCI who converted to AD within 1 year | Illumina Infinium Human Methylation 450 K BeadChip | 4 DMRs associated with baseline diagnosis, including HOXB6 (which was validated with pyrosequencing) and CSNK1E (which was differentially expressed) | Roubroeks et al. [31] |
| Whole blood | 94 AD, 336 MCI, and 223 control with multiple timepoints available | Illumina Infinium Human Methylation EPIC BeadChip | 42, 13, and 25 DMPs associated with AD versus control, AD versus MCI, and MCI versus control comparisons, respectively. DMPs were enriched in brain-specific genes. | Vasantha Kumar et al. [41] |
| **Hippocampus** | | | | |
| | 26 AD and 12 controls | Illumina Infinium Human Methylation 450 K BeadChip | 118 AD-specific DMPs identified in the hippocampus. Specific regulatory regions crucial for neural differentiation may be targets of altered DNA methylation in the AD hippocampus. | Alhna et al. [42] |
| **Middle temporal gyrus** | | | | |
| Whole blood | 45 AD and 35 control brains | Illumina Infinium Human Methylation 450 K BeadChip (on bisulfite and oxidative bisulfite-treated DNA in parallel) | OXT DMR detected in the brain and in blood at pre-dementia stage. | Landenroije et al. [43] |
| **Anterior hippocampus** | | | | |
| Whole blood | 23 disease discordant twin pairs for blood | Illumina HiSeq2500/3000 | 11 DMRs across both MZ and DZ twins | Konki et al. [44] |
| Entorhinal cortex | 96 (discovery), 104 (first replication), and 96 (second replication) brain samples of varying Braak stage | Illumina Infinium Human Methylation 450 K BeadChip (on bisulfite and oxidative bisulfite-treated DNA in parallel) | Hypermethylation and hyperhydroxymethylation across ANK1 region in AD neopathology. Decreased uC Previous methylation estimates cofounded by 5-hmC | Smith et al. [45] |
| Frontal and temporal cortex | Matched frontal (N = 60) and temporal cortex (N = 62) with varying Braak stage isolated neuronal and glial nuclei in 31 independent occipital cortex samples of varying Braak stage. | Illumina Infinium Human Methylation 450 K BeadChip | Confirms differential methylation at APP, HOX5, ANK1, and ADAM17 in AD. New discoveries included LRRN8 and MCF2L. | Gasparoni et al. [46] |
| **Whole blood** | | | | |
| Superior temporal gyrus and prefrontal cortex | 147 samples of varying Braak stage | Illumina Infinium Human Methylation 450 K BeadChip | 106 DMP-associated genes (21 hypermethylated loci and 97 hypomethylated) 17 hypomethylated DMPs in B3GALT4 and ZADH2—associated with memory performance and CSF levels of Aβ and tau | Madrid et al. [47] |
| Hippocampus | 3 AD and 2 controls | Illumina Infinium Human Methylation 450 K BeadChip | Differentially methylated region spanning 48 kb across the HOX5 gene cluster | Smith et al. [48] |
| Dorsolateral prefrontal cortex | 20 AD and 10 controls | Illumina Infinium Human Methylation 450 K BeadChip | Hypermethylation correlated with Braak stage Significantly altered pathways including energy metabolism | Ellison et al. [49] |
| Whole blood | 4 AD, 4 aMCI, and 4 controls | Illumina Infinium Human Methylation 450 K BeadChip | 11 DNA methylation loci identified distinguishing the three groups | Kobayashi et al. [51] |
| Superior temporal gyrus | 34 AD and 34 controls | Illumina Infinium Human Methylation 450 K BeadChip | Decreased DNA methylation in AD was validated in the NCAPI1/LMP2 promoter. | Watson et al. [52] |
| Tissue type(s)                                                                                 | Materials                                                                 | Technology                                      | Significant findings                                                                                     | Study                  |
|------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------|------------------------------------------------|----------------------------------------------------------------------------------------------------------|------------------------|
| CD4+ lymphocytes                                                                             | 41 individuals at two 7.5-year intervals Matched data from prefrontal cortex brain tissue generated by De Jager et al. [53] | Illumina Infinium Human Methylation 450 K BeadChip | DMRs overlapped with genes involved in neuron function, development, and cellular metabolism. Results suggest disease-related DNA methylation patterns are distinct in brain and blood. | Yu et al. [54]         |
| Dorsolateral prefrontal cortex                                                                 | 708 brains (460 AD and 263 controls as discovery) Data from Lunnon et al. [55] used as replication | Illumina Infinium Human Methylation 450 K BeadChip | Differential methylation at 14 Cpg sites previously reported in AD brain was not observed in CD4+ lymphocytes. Differential methylation at 71 CpGs 11 DMPs were validated in the independent replication cohort. Genes reported included ANK1, CDH23, DIP2A, RHBDF2, RPL13, SERPINF1, and SERPINF2 | De Jager et al. [53]   |
| Entorhinal cortex, superior temporal gyrus, prefrontal cortex, and cerebellum                 | Discovery cohort of 117 individuals with 3 cortical regions, cerebellum with varying Braak stage, and premortem whole blood in a subset [56] | Illumina Infinium Human Methylation 450 K BeadChip | Significant hypermethylation of ANK1 region in AD cortex, particularly in the entorhinal cortex No overlap of AD-associated DMPs in the cortex with the cerebellum or premortem blood | Lunnon et al. [55]     |
| Whole blood                                                                                  | Replication in 147 samples generated in Smith et al. [48] 708 samples generated in De Jager et al. [53] and 62 samples for pyrosequencing | Illumina Infinium Human Methylation 27 K BeadChip | 948 Cpg sites (918 genes) potentially associated with AD THEM59 significantly hypomethylated region, associated with Aβ protein precursor post-translational processing | Bakulski et al. [57]  |
| Frontal cortex                                                                               | 12 AD and 12 controls                                                    | Illumina Infinium Human Methylation 450 K BeadChip | 5990 H3K9ac domains associated with tau pathology, and widespread chromatin remodeling 17-DMAG can protect against tau-associated chromatin reorganization in iNs. | Klein et al. [63]      |
| Other dementias                                                                               |                                                                           | GoldenGate DNA methylation BeadArray | 4162 differentially acetylated peaks in genes implicated in tau and amyloid neuropathologies (APP, PSEN1, PSEN2, and MAPT) | Marzi et al. [64]      |
| Whole blood                                                                                  | 232 donors, focusing on 197 Europeans                                      | Illumina Infinium Human Methylation 450 K BeadChip | Identifed a number of significant DMPs in blood associated with cognitive decline in PD Corroborates previous evidence of aberrantly methylated ANK1 and RHBDF2 in AD and ANK1 in DLB Reinforces spectral pathology in neurodegenerative diseases | Chuang et al. [58]     |
| Dorsolateral prefrontal cortex                                                                 | 32 AD, 5 DS-AD, 23 DLB, 15 PD, and 32 controls                           | Illumina Infinium Human Methylation 450 K BeadChip | Twenty differentially methylated CpGs were identified in both diseases. Three CpGs were in 17q21.31, a previously reported hub of major PSP risk genes. Four genes identified to be highly associated with PD (HLA-DQA1, GPPT2, MAPT, and MIR886). | Sanchez-Mut et al. [59] |
| Whole blood                                                                                  | 128 FTD, 43 PSP, and 185 controls                                        | Illumina Infinium Human Methylation 450 K BeadChip | Significant hyper/hypomethylation patterns observed across brain and blood samples Four genes identified to be highly associated with PD (HLA-DQA1, GPPT2, MAPT, and MIR886). | Li et al. [60]         |
| Frontal cortex                                                                               | 5 PD and 6 controls                                                      | Illumina Infinium Human Methylation 450 K BeadChip | Methylation patterns distinguished from normal tissue Hypomethylation in DLB allowed distinction from normal tissue. | Masliah et al. [61]   |
| Whole blood                                                                                  | 424 normal tissues (controls unclear) 13 DLB (Part of a large multi-diseases, multi-tissue study) | GoldenGate DNA methylation BeadArray | 4162 differentially acetylated peaks in genes implicated in tau and amyloid neuropathologies (APP, PSEN1, PSEN2, and MAPT) | Fernandez et al. [62]  |
| Cerebral cortex lesions                                                                       |                                                                           | GoldenGate DNA methylation BeadArray | Hypomethylation in DLB allowed distinction from normal tissue. | Fernetz et al. [62]  |
| Histone modifications                                                                       |                                                                           | GoldenGate DNA methylation BeadArray | Hypomethylation in DLB allowed distinction from normal tissue. | Fernetz et al. [62]  |
| Alzheimer's disease                                                                          |                                                                           | GoldenGate DNA methylation BeadArray | Hypomethylation in DLB allowed distinction from normal tissue. | Fernetz et al. [62]  |
Although the study highlighted several significantly altered pathways including, for example, energy metabolism, further replication is needed in a larger sample cohort. More recently, two studies have used the 450 K array to quantify 5-hmC and 5-mC independently in AD by oxidizing the DNA prior to bisulfite treatment [49]. Smith et al. used the array to evaluate 5-hmC, 5-mC, and unmodified cytosine (uC) in the entorhinal cortex in 96 individuals with varying degrees of pathology before performing targeted pyrosequencing in a smaller independent replication cohort [66]. They demonstrated that previous estimates of AD-associated hypermethylation in ANKI were underestimated due to confounding by hypohydroxymethylation, suggesting a loss of active DNA demethylation in AD. More recently, Lardenoije and colleagues used the same approach to quantify 5-hmC, 5-mC, and uC in the middle temporal gyrus in 45 AD and 35 control donors. They identified a DMR in the OXT gene consisting of ten adjacent CpG sites, which was hypomethylated in AD in the temporal cortex and which was also differentially methylated in blood samples from non-demented individuals who subsequently converted to AD dementia [43].

Understanding Chromatin Dynamics in Postmortem Dementia Brain Samples

Although the focus of most empirical dementia EWAS has been on profiling DNA modifications, recently, studies have started to investigate histone modifications and chromatin dynamics. To date, two EWAS of histone acetylation have been undertaken in AD brain samples using chromatin immunoprecipitation sequencing (ChIP-seq), which were both published within the last two years. The first profiled histone 3 lysine 27 acetylation (H3K27ac) in the entorhinal cortex of 24 AD and 23 control postmortem brain samples [64••]. They identified 4162 differentially acetylated peaks, including regions annotated to genes implicated in AD pathology, for example APP, PSEN1, PSEN2, and MAPT. Interestingly, the authors also showed a highly significant enrichment of AD risk variants in the H3K27ac peak regions. The authors reported that AD-associated differentially acetylated peaks were enriched in processes related to pathology (e.g., lipoprotein binding, Aβ metabolic process) and neuronal activity (e.g., GABA-receptor activity, synaptic proteins).

Klein and colleagues more recently profiled H3K9ac in the dorsolateral prefrontal cortex in a larger cohort size of 669 donors, 412 of whom were AD patients [63••]. They identified 26,384 H3K9ac peaks (domains), of which 23% (5990) showed an association with tau pathology and 2% (602) were associated with amyloid pathology, with only 88 domains significantly associated with both pathologies. The authors showed that the tau-related changes accumulated in large genomic segments, indicating spatial chromatin organization, while there were no domains co-ordinately enriched for associations with amyloid pathology. Interestingly, the study also suggested a potential therapeutic for altering the widespread chromatin remodeling associated with tau pathology. The authors identified compounds within the Connectivity Map (CMap) database whose gene expression signature was negatively correlated with the tau pathology-associated H3K9ac signature and reported that the N-terminal Heat Shock Protein 90 (Hsp90) inhibitor 17-(dimethylaminoethylamino)-17-demethoxygeldanamycin (17-DMAG, alvespimycin) was the most significant compound. When they later treated induced neurons (iNs) with this compound, they demonstrated this protected against tau-associated chromatin reorganization, highlighting the translational potential of EWAS for identifying new therapeutics.

Identifying Epigenomic Biomarkers in Blood

As epigenomes are cell-type specific, most empirical EWAS in dementia to date have therefore been undertaken in various regions of the cortex. However, as human samples are only available postmortem, this approach allows only a “snapshot,” often at the end stage of disease. There is growing interest in profiling epigenetic processes in blood samples from individuals with dementia in the hope of identifying disease-specific signatures. There are a number of different epigenetic biomarkers that could have clinical utility; diagnostic biomarkers could be used to distinguish between individuals with different types of dementia, while predictive biomarkers for future cognitive decline could be used to monitor the response of individuals to new therapeutics. A recent systematic review of DNA methylation studies in AD blood samples highlighted 48 studies that have quantified DNA methylation in peripheral blood samples with respect to dementia status [67]. The majority of the studies they identified analyzed global DNA methylation or the methylation status of specific candidate genes. The authors did highlight some EWAS, which are discussed individually below along with some more recent studies, although many of these are too underpowered to draw substantial conclusions.

Lunnon and colleagues presented DNA methylation profiles in whole blood collected from a subset (N = 57) of the donors from their cross-cortex EWAS using the 450 K array. While they identified several DMPs in blood associated with AD, none were significant when accounting for multiple testing, and there was negligible overlap with cortex or cerebellum methylation profiles [55••]. Kobayashi et al. also used the 450 K array to analyze DNA methylation in four AD, four amnestic mild cognitive impairment (aMCI), and four non-demented control whole blood samples [51]. The authors then used pyrosequencing to validate decreased DNA methylation in the NCAHP2/LMF2 promoter region in additional blood samples. Another study used the 450 K array to profile
DNA methylation in premortem CD4+ lymphocytes collected from 41 individuals at two timepoints, approximately 7.5 years apart, alongside matched prefrontal cortex brain tissue collected at death approximately a year after the second blood sample [54]. The authors then explored whether there was any association of AD with DNA methylation in CD4+ lymphocytes at 14 CpG sites they had previously shown to be differentially methylated with respect to neuritic plaque burden in the prefrontal cortex [53•]. However, they observed no differential methylation at these loci in CD4+ lymphocytes, again suggesting that DNA methylation profiles in disease are distinct in the brain and blood [54, 55]. The systematic review also highlighted one EWAS that used the 450 K array to quantify DNA methylation in peripheral blood in tauopathies, comparing 128 FTD, 43 progressive supranuclear palsy (PSP), and 185 control individuals [60]. The authors identified 20 CpGs being differentially methylated in both diseases compared with controls, including three CpGs in 17q21.31, which has been previously shown to contain the major genetic risk factor for PSP. Gene ontology analysis highlighted an overrepresentation of differentially methylated loci in PSP in genes annotated to pathways such as DNA or transcription factor binding [56].

Since the systematic review from Fransquet and colleagues [67], a handful of further EWAS in dementia blood samples have been published. The two most recent studies have both used large cross-center cohorts, yielding the most comprehensive studies to date. Most recently, Roubroeks and colleagues used the 450 K array to quantify DNA methylation in 86 AD, 89 controls, and 109 MCI subjects, including 38 MCI subjects who converted to AD within one year of blood sampling [31]. They identified four significant DMRs associated with baseline diagnosis and nine significant DMRs associated with MCI conversion to AD, validating their most significant DMR in the baseline analysis (residing in HOXB6), using pyrosequencing. Interestingly, several of the DMRs resided in genes that showed transcriptional changes in the same sample; the CSNK1E gene which showed hypermethylation in MCI also showed significantly increased gene expression in the same MCI and AD subjects relative to controls, although there was no correlation between methylation and expression. Similarly, there was a significant correlation of methylation and transcription for the CPT1B gene, which was identified in the MCI conversion analysis. Weighted gene correlation network analysis (WGCNA) identified modules (clusters) of co-methylated loci associated with key variables such as diagnosis, neuroimaging measures, and APOE genotype, with downstream pathway analyses on these genes highlighting immune processes. Vasanthakumar and colleagues recently used the Illumina Infinium Human Methylation EPIC BeadChip array (EPIC array), which has nearly twice the coverage of its predecessor, to profile DNA methylation in whole blood samples collected as part of the Alzheimer’s disease neuroimaging initiative (ADNI) consortium [41]. They quantified DNA methylation in 653 individuals (94 AD, 336 MCI, 223 control) across multiple timepoints, identifying between the AD versus control, AD versus MCI, and MCI versus control groups 42, 13, and 25 DMPs at a relaxed p value threshold of p < 1 × 10−5, respectively, which were enriched in brain-specific genes. Lardenoije and colleagues used the 450 K array to profile DNA methylation in whole blood samples collected before and after clinical conversion to AD, including 54 individuals who later developed dementia (AD converters) and 42 controls [43]. Their analyses focussed on a DMR in the OXT gene, which was hypermethylated in converters. Interestingly, this region had been significantly hypomethylated in brain tissue they had also profiled in an independent set of donors, highlighting a potential premortem predictive biomarker for the onset of AD. Madrid and colleagues recently profiled DNA methylation in 45 LOAD and 39 control whole blood samples using the EPIC array [47]. The authors identified 477 disease-associated DMPs when comparing LOAD versus non-LOAD, of which 17 overlapped with DMPs identified using six other continuous variables in the same tissue, including Rey Auditory Verbal Learning Test scores, measurements of cerebrospinal fluid (CSF) tau and phosphorylated tau, and Aβ40 and Aβ42 ratios. These 17 DMPs were all hypomethylated in LOAD, with 12 residing in B3GALT4 and five located in ZADH2 gene regions.

Another recent study focussed on profiling peripheral blood DNA methylation in 23 Finnish twin pairs discordant for AD using reduced representation bisulfite sequencing (RRBS) [44]. They identified 11 significant genomic regions with >15% median DNA methylation differences between twin pairs. The authors focussed their validation on the ADARB2 DMR, as they also showed this was differentially methylated in AD anterior hippocampus in a small cohort of 12 donors. The authors subsequently used bisulfite pyrosequencing to validate AD-associated hypermethylation in the ADARB2 DMR in blood in a separate cohort of 62 discordant twin pairs. Interestingly, when they then profiled 120 twin pairs prior to disease onset, they observed no difference, suggesting that this gene is not predictive for developing AD and only becomes hypermethylated after the onset of disease.

Chuang and colleagues used the 450 K array to profile peripheral whole blood samples collected from 232 PD patients with longitudinal cognitive follow-up, focussing their analyses on 197 individuals of European ancestry [58]. They identified seven genome-wide significant CpGs associated with cognitive decline, suggesting that it may be possible to identify epigenetic signatures associated with the development of PDD. WGCNA identified modules of co-methylated genes, with those associated with motor progression enriched for genes involved in mitochondrial apoptosis, synaptic functions, and Wnt signaling.
Although existing EWAS in dementia blood samples have largely been undertaken using peripheral whole blood samples, there is speculation that cell-free DNA (cfDNA) circulating in plasma could serve as a novel source of biomarker for neurodegenerative disease, representing DNA fragments that originated from dying neurons or other brain cells. cfDNA methylation patterns can reveal their cellular origin and such signatures have been studied in the context of cancer detection [68]. There is current interest regarding the potential for cfDNA as a biomarker candidate for neurodegenerative disease; indeed, a recent study of cfDNA isolated from 20 ALS and 20 control individuals highlighted a novel differentially methylated mark in the *RHBDF2* gene in ALS patients [69]. Interestingly, this gene has been previously shown to be differentially methylated in AD cortex in a number of EWAS [53, 55, 70]. Therefore, profiling this locus longitudinally in plasma cfDNA in pre-clinical AD cohorts, alongside various other robust DMPs nominated from AD EWAS, would be of interest to the field. There is also the potential for cfDNA to be extracted from other biofluid types, such as CSF.

Interestingly, DNA methylation signatures have been used to develop “epigenetic clocks,” which serve as biomarkers of aging. The first “epigenetic clock” was developed in 2013, using data generated across various tissues in many individuals across the lifespan, to predict chronological age [71], and since then many different clocks have been developed in the field. The purpose of epigenetic clocks is to predict the biological age of a tissue sample, which could in theory vary from the chronological age based on exposure environmental stresses and diseases. Indeed, studies have reported an association between accelerated epigenetic age in the cortex and neuropathological hallmarks of AD [72]. However, a recent clock that was trained specifically using cortical samples from older individuals did not observe any association of accelerated epigenetic age with neuropathology [73]. Therefore, the use of epigenetic clocks as a predictor of age-related disease and longevity is still highly debated.

**The Future of Epigenomic Studies in Dementia**

There is now a growing field of literature reporting epigenomic alterations in dementia brain samples. Of note, many of the same loci have been identified across different studies, and pathway analyses consistently highlight an enrichment of differentially methylated loci in neuronal-related processes. Given that some loci have been consistently reported across different studies, tissue-specific meta-analyses should be undertaken on these datasets to identify robust changes in disease, particularly as some studies have been undertaken on relatively small sample sizes. However, there are some issues that require careful consideration when meta-analyzing epigenomic data. There are several sources of intra-individual variation that can confound data, for example different population backgrounds, collection of samples, and processing of data. The optimal way of minimizing the impact of patient-specific variation is through the collection of detailed information from individuals, such as demographic and lifestyle information, detailed clinical records, and the presence of co-morbidities, which can be incorporated as co-variates during the data analysis process. As discussed earlier in this review, mixed dementia is common and attempts should be made in both meta-analyses of existing data and future epigenomic studies to control for the presence of different neuropathological hallmarks, or to profile “pure” dementia cases, although this would considerably limit the study size. Although most of the dementia EWAS to date have been undertaken on cortical tissue, some of these have used different, distinct anatomical regions, with some studies even profiling multiple brain regions from the same donor and so consideration for this must be given during meta-analyses. In this vein, it is known that there are alterations in the abundance of different brain cell types in dementia in a brain region-specific manner and thus a larger magnitude of epigenetic changes in one brain region could simply reflect more gliosis or neurodegeneration present. There are methods that can be used to bioinformatically control for cell proportions [71, 74], which should be used during statistical analyses. Two recent studies have profiled neuronal and/or glia-specific DNA methylomes in dementia; however, these have been limited to just one or two cell populations and in a small number of samples. In the future, it would be of interest to isolate various cell proportions (e.g., different neuronal cell types, microglia, and astrocytes) and individually profile these, as well as undertaking analyses at the single-cell level.

Outside of the CNS, several EWAS have been undertaken exploring DNA methylation changes in dementia blood samples with the aim of identifying novel biomarkers for disease. Not only is it a relatively easy process to extract whole blood from a patient, but it can also provide live snapshots of the disease by allowing longitudinal assessments of individuals from before the onset of disease until death. However, what is evident from a handful of studies that have compared DNA methylation patterns between the brain and blood is that epigenomic signatures in the blood are distinct from the brain [54, 55], and that intra-individual variation that is mirrored across these tissues is largely driven by methylation quantitative trait loci (mQTL) [75]. Nonetheless, an accurate biomarker does not have to mirror the changes in the brain and could simply represent a specific peripheral response to alterations in the brain. However, it is known that there are subtle alterations in the abundance of different blood cell types in AD [76] and therefore it is important to control for these bioinformatically in analyses, as well as exploring epigenetic profiles in different cell populations. The potential to identify brain cell-specific DNA methylation signatures in circulating cfDNA is also an interesting avenue that should be pursued, particularly given these will be largely distinct from whole blood DNA methylation patterns.
Although the majority of AD brain EWAS to date have been undertaken using the 450 K array, future studies will likely capitalize on technologies that profile more loci; the EPIC array allows quantification of over 850,000 CpGs, nearly twice the coverage of the 450 K array, while the falling cost of sequencing means that this also represents a promising new avenue. RRBS allows the enrichment of CG rich regions, giving better coverage of the genome than array-based methods, without redundant sequencing of regions that do not have CpG sites, which is one drawback with whole-genome bisulfite sequencing (WGBS). Another point of interest for AD would be single-cell methylomic sequencing, which would allow the identification of variation within cell types in disease. Although the focus of most studies has been on DNA methylation, bisulfite-based methods only give a summative measurement of DNA methylation and hydroxymethylation. A handful of studies have now profiled 5-mC and 5-hmC in isolation; however, these have been limited to low coverage of the genome (by using the 450 K array or low sequencing resolution) or a very small number of samples. Looking to the future, it will be of interest to profile a range of different DNA modifications, including both adenines and cytosines outside of a CpG context. Theoretically this could be facilitated using third-generation sequencing technologies such as the Oxford Nanopore Technologies (ONT) and Pacific Biosciences (Pacbio) platforms. These can allow the identification of DNA modifications on native DNA, although the current high cost and error rate mean these are not yet optimal for studying dementia. Nonetheless, continual developments in these technologies and the methods to subsequently analyze the data mean these could be a viable option in years to come. Although there appears to be a growing number of empirical research articles focussing on profiling DNA modifications in AD, and more recently other dementias, there are relatively few studies profiling histone modifications. Of the two studies undertaken to date, both have used ChIP-seq to profile either H3K9ac or H3K27ac, which are both marks of active gene transcription. However, there are numerous other post-translational modifications that can occur to different histone proteins with varying effects on gene expression. Studies profiling a number of these marks in the same samples should be prioritized, perhaps using techniques such as Cleavage Under Targets and Release Using Nuclease (CUT&RUN), which are reported to outperform ChIP-seq [77]. In addition, other techniques to probe chromatin accessibility, such as Assay for Transposase-Accessible Chromatin with high-throughput sequencing (ATAC-seq), should be undertaken in dementia samples.

Ultimately, the generation of large, comprehensive datasets of different epigenomic data modalities will allow a comprehensive insight into the epigenetic landscape in dementia. The integration of these datasets, with genomic, transcriptomic, and proteomic information, could ultimately allow the identification of key modulators that could represent novel therapeutic targets. One issue with identifying disease-associated epigenetic differences is that it is not known if these are driving the disease process or are secondary to pathology. However, recent advances in genome editing technology mean that it is now feasible to add or remove 5-mC at specific sites in the genome [78]. Therefore, utilizing this tool for altering 5-mC in human cell lines and exploring the effect this has on cell function and pathological marks could allow us to establish whether epigenetic modifications are a cause or a consequence of developing dementia.

Conclusions

Epigenetics is a rapidly growing research area, particularly in the dementia field. Epigenomic studies have the potential to both further our understanding of the molecular etiology of disease as well as identify new therapeutic targets. Although previous studies have had a number of drawbacks, including issues around limited coverage, low sample size, sample heterogeneity, and inter-individual variation, the fact that many DNA methylomic studies in AD have identified the same loci suggests that there are robust alterations in disease. Looking to the future, researchers should aim to meta-analyze existing datasets as well as undertake new studies profiling large sample cohorts with detailed clinical and neuropathological data available. Such large-scale studies would allow well-powered analyses of “pure” dementia cases, as well as complex analyses of mixed dementia, which would give the most accurate interpretation of the complex epigenome within the dementia brain.

Compliance with Ethical Standards

Conflict of Interest There are no relevant disclosures for any of the authors.

Human and Animal Rights and Informed Consent This article does not contain any studies with human or animal subjects performed by any of the authors.

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• Of importance
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This study represented the largest single EWS of DNA methylation in Alzheimer’s disease to date. The authors used the 450 K arrays to profile DNA methylation in the prefrontal cortex of >700 individuals, identifying 71 CpG sites associated with AD pathology, of which 11 were replicated in an independent dataset.
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