Estimation of biomass and carbon stocks: the case of the Atlantic Forest

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Abstract: The main objective of this paper is to present and discuss the best methods to estimate live above ground biomass in the Atlantic Forest. The methods presented and conclusions are the products of a workshop entitled “Estimation of Biomass and Carbon Stocks: the Case of Atlantic Rain Forest”. Aboveground biomass (AGB) in tropical forests is mainly contained in trees. Tree biomass is a function of wood volume, obtained from the diameter and height, architecture and wood density (dry weight per unit volume of fresh wood). It can be quantified by the direct (destructive) or indirect method where the biomass quantification is estimated using mathematical models. The allometric model can be site specific when elaborated to a particular ecosystem or general that can be used in different sites. For the Atlantic Forest, despite the importance of it, there are only two direct measurements of tree biomass, resulting in allometric models specific for this ecosystem. To select one or other of the available models in the literature to estimate AGB it is necessary take into account what is the main question to be answered and the ease with which it is possible to measure the independent variables in the model. Models that present more accurate estimates should be preferred. However, more simple models (those with one independent variable, usually DBH) can be used when the focus is monitoring the variation in carbon storage through the time. Our observations in the Atlantic Forest suggest that pan-tropical relations proposed by Chave et al. (2005) can be confidently used to estimated tree biomass across biomes as long as tree diameter (DBH), height, and wood density are accounted for in the model.
In Atlantic Forest, we recommend the quantification of biomass of lianas, bamboo, palms, tree ferns and epiphytes, which are an important component in this ecosystem.

This paper is an outcome of the workshop entitled “Estimation of Biomass and Carbon Stocks: the Case of Atlantic Rain Forest”, that was conducted at Ubatuba, São Paulo, Brazil, between 4 and 8 December 2006 as part of the Brazilian project “Ombrophylus Dense Forest floristic composition, structure and function at the Núcleos Picinguaba and Santa Virginia of the Serra do Mar State Park”, BIOTA Gradiente.

**Keywords:** Atlantic Forest, above-ground biomass, allometric model, carbon, Mata Atlântica.

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**Resumo:** O principal objetivo deste artigo é apresentar e discutir a melhor forma para estimar a biomassa viva acima do solo (BVS) na Mata Atlântica.

A biomassa viva acima do solo em florestas tropicais está contida principalmente nas árvores. A biomassa das árvores é uma função do seu volume de madeira, obtido do diâmetro e da altura, de sua arquitetura e da densidade de sua madeira (peso seco por unidade de volume fresco). Ela pode ser quantificada pelo método direto (destrutivo) ou pelo método indireto onde a quantificação da biomassa é feita através de modelos matemáticos. Os modelos alométricos podem ser específicos para um determinado local, quando elaborado para um ecossistema particular, ou gerais, que podem ser utilizados em para estimar a biomassa em diferentes locais.

Para a Mata Atlântica, a despeito de sua importância, existem somente duas medidas diretas de biomassa de árvores, que resultaram em modelos alométricos específicos para essas florestas.

Para selecionar um ou outro modelo alométrico para estimar BVS, disponível na literatura, é necessário levar em conta o a questão a ser respondida e a facilidade com a qual é possível medir as variáveis independentes do modelo. Preferencialmente, deve-se utilizar modelos que apresentem estimativas mais acuradas, entretanto modelos mais simples (aqueles com apenas uma variável independente, normalmente o DAP) podem ser utilizados quando o foco for o monitoramento das variação no estoque de carbono através do tempo.

Observações para a Mata Atlântica sugerem que o modelo pan-tropical proposto por Chave et al. (2005) podem ser utilizados confiança entre os biomas, uma vez que o mesmo engloba o DAP a altura e a densidade da madeira.

Na Mata Atlântica, onde as lianas, bambus, palmeiras, fétos arborescentes e epífitas são um importante componente do sistema, recomenda-se a quantificação dos mesmos.

Este artigo é o resultado do workshop “Estimativa da biomassa e estoques de carbono: o processo de Mata Atlântica”, realizado em Ubatuba, São Paulo, Brasil, entre 4 e 8 de Dezembro de 2006 como parte do projeto temático BIOTA/FAPESP “Composição florística, estrutura e funcionamento da Floresta Ombrófila Densa dos Núcleos Picinguaba e Santa Virginia do Parque Estadual da Serra do Mar”, BIOTA Gradiente.

**Palavras-chave:** Mata Atlântica, biomassa acima do solo, modelo alométrico, carbono.
Introduction

The Brazilian Atlantic Forest (locally called the Mata Atlântica and hereafter referred as Atlantic Forest) is considered a hot-spot in terms of biodiversity and endemism (Myers et al. 2000). The Atlantic Forest is a mosaic of ecosystems that belongs to the Atlantic Dominant (IBGE 1992, Joly et al. 1999). Dense tropical rain forest predominates and includes areas of coastal flooded forest (restinga), lowland, sub-montane and montane forests. Before the European colonization, the Atlantic Forest covered the Brazilian coast from Cabo de São Roque, Rio Grande do Norte to Osorio municipality, Rio Grande do Sul. However, after 500 years of fragmentation and degradation, the steep slope areas near the Atlantic coast are about all that has survived as forests because of the difficulty of cultivating those areas. Only about 8% of the original forest cover remains (Hirota 2003) and the forest remnants (often distributed in small and degraded fragments) are inserted into a matrix altered by human activities.

In the last three decades many studies have been conducted in the Atlantic Forest, the majority related to the diversity of its fauna and flora (Leitão Filho 1993, Torezan 1995, Morelato & Haddad 2000, Oliveira Filho & Fontes 2000). However, relatively little information is available about the structure and functioning of this ecosystem (Domingos et al. 1998, Moraes et al. 1999, Villela et al. 2006). In order to put conservation, management, and restoration of the Atlantic Forest on a strong scientific foundation, more research is urgently needed to help understand the mechanisms regulating the biodiversity, as well as the processes controlling the structure and function of these forests.

Tropical forests are large carbon reservoirs (Malhi et al. 1999), hence research on the structure and function of these biomes is relevant to the global carbon cycle. While the current extent of the Atlantic Forest is small, it once covered 1.36 million km² and therefore may have contained as much as 7-14 Pg C. Most of the carbon stock of the Atlantic Forest was removed in the last 150 years (Dean 1995). Past, present and potential future carbon stocks (including regeneration) of the Atlantic Forest are thus relevant to global C budgets.

The estimation of the current carbon stocks in the Atlantic Forest depends on knowledge of dry aboveground biomass (AGB). The AGB of forests has been determined mainly by extrapolation of plot measurements of forest structural variables, principally diameter, height, and wood density of trees, based on allometric equations that convert the structural variables into biomass and carbon (Brown 1997, Chave et al. 2005). The AGB in forests is mainly contained in trees and despite the biological importance of the Atlantic Forest, there are only two direct measurements of tree mass for the dense tropical forest developed by harvest and direct weighing. One study is from the Santos foothills (Burger 2005) and the other from the region of Guaraqueçaba, located about 140 km from Curitiba (Tiepolo et al. 2002). As will be discussed below, this sampling has some limitations. It would be desirable to expand the number of direct measurements of tree mass, however most fragments of the Atlantic Forest are located in protected areas; hence the development of allometric models using destructive methods is impracticable.

In this paper, we explore the possibility of using existing tropical forest allometric models for the Atlantic Forest in the State of São Paulo. Our objective is to evaluate methodologies for estimation of AGB that could be used for São Paulo state and the entire Atlantic Forest biome.

Background

Tropical forests have motivated a worldwide interest because the reduction in forest extent contributes about 20% of the current atmospheric increase in CO₂ concentration (Houghton & Hackler 2006, Ramankutty et al. 2007). The Amazon forest has received special attention because it is one of the largest carbon reservoirs in the world and it offers great potential for carbon trading, generating income, and enhancing biodiversity conservation in Amazonian countries (e.g. Santítili et al. 2005), but it is also potentially at risk from climate change during this century (e.g. Betts 2006). Alterations in the carbon storage in the soil and vegetation, as a consequence of land use change, can strongly influence the emissions and fixation of carbon in these ecosystems (Jackson et al. 2001, Jandl et al. 2007).

Recent studies suggest that the carbon storage in undisturbed tropical forests is increasing (Phillips et al. 1998, Phillips et al. 2002, Baker et al. 2004b) although the question remains controversial (e.g. Rice et al. 2004, Feeley et al. 2007). Long term monitoring of permanent plots of tropical forests has also indicated an increase in tree mortality and recruitment rates (“turnover”) in recent decades (Phillips & Gentry 1994, Lewis et al. 2004, Venkateswaram & Purhasarathy 2005). In the Neotropics, the basal area and the biomass of mature forests have increased during the same period (Phillips et al. 1998). The net uptake of atmospheric CO₂ may be as large as 0.5-1.0 Pg C per year in mature neotropical forests, similar in magnitude to the fossil fuel emissions of the European community.

Allometric Models

Tree biomass can be a function of wood volume (obtained from the diameter and height), architecture and wood density (dry weight per unit volume of fresh wood). Density varies according to species (Sterck et al. 2001, Swaine & Whitmore 1988), tree age (Fujimoto et al. 2006), life-history strategy (King et al. 2005), and environmental factors such as topography and slope aspect (Hultine et al. 2005). Tree biomass can be quantified by either destructive harvest (direct method) or allometric equations (indirect method) that are ultimately based on harvested trees (Brown 1997, Chave et al. 2005). Allometric models relate tree dry mass (obtained by the direct method) to measurable variables such as DBH (diameter at breast height), total tree height, and wood density (Brown et al. 1989, King 1996, Abdala et al. 1998, Delitti et al. 2006). Most studies of biomass employ allometric models to quantify ABG because harvest and weighing trees is destructive and expensive.

Although allometric modeling has been used since the development of regression analyses in the first half of 20th century (Machado & Figueiredo 2003), only a few allometric models are available to estimate AGB of tropical forests (Cole & Ewel 2006). While the methodology to build models has advanced owing to the accessibility of computers (Curtis 1967, Loetsch & Haller 1973, Wirth et al. 2004, Pili et al. 2006), it is difficult to develop a general allometric model for tropical trees. For tropical forests, most models have been developed using data from equatorial areas (Dawkins 1961, Brown et al. 1989, Overman et al. 1994, Brown 1997, Araújo et al. 1999, Chambers et al. 2001, Ketterings et al. 2001).

Chave et al. (2005) used data from 28 sites in tropical forests across a wide latitudinal range (12° S to 25° N) to develop a pan-tropical allometric models for tree mass based on DBH, total height, and wood density (Table 1). The models were separated by dry, moist and wet forests according to the Holdridge Life-Zone classification (Holdridge et al. 1971). For each of these forest types, models were proposed based on either one, two or three independent variables: 1) DBH or basal diameter; 2) DBH and wood density; and 3) DBH, wood density and total height.

For the Atlantic Forest there are only two site-specific allometric models to predict tree mass, based on direct measures of harvested trees. The first uses DBH as an independent variable and was developed from trees harvested in a forest near Guaraquecaba, Paraná State (Tiepolo et al. 2002). The second model (Burger 2005) used tree basal diameter and height data sampled in a forest near Santos,
Table 1. Allometric models to estimate dry aboveground biomass (kg) for tropical forests. Biomass regression models may include trunk diameter DBH (in cm) and total tree height H (in m) and wood specific gravity “ρ” (in g cm⁻³).

| Reference                        | Alometric model (AGB)                                                                 | α               | β₁          | β₂          | β₃          | R²       | DBH range (cm) |
|----------------------------------|--------------------------------------------------------------------------------------|-----------------|-------------|-------------|-------------|----------|----------------|
| Chambers et al. (2001)           | = exp(α+β₁ ln(DBH)+β₂ ln(DBH)^2)−β₃ ln(DBH)^3)                                       | -0.37           | 0.333       | 0.933       | -0.1220     | 0.973    | 5-130          |
| Burger (2005)                    | = exp(α+β₁ ln(DBase))                                                             | -6.80067        | 3.77738     | -           | -           | -0.915   | 12.5-27.9*     |
| Tiepolo et al. (2002)            | = α+β₁ (DBH)+β₂ (DBH)^2                                                        | 21.297          | -6.953      | 0.740       | -           | 0.910    | 4-116          |
| Chave et al., (2005)a*           | = ρ X exp(α+β₁ ln(DBH)+β₂ ln(DBH)^2)−β₃ ln(DBH)^3)                                  | -1.499          | 2.1481      | 0.207       | -0.0281     | 0.996    | 5-156          |
| Scatena et al. (1993)            | = exp(α+β₁ (DBH)^2+β₂ (H))                                                       | -3.282          | 0.95        | -           | -           | 0.947    | 2.5-57         |

Allometric Model with 1 variable - DBH

Allometric Model with 2 variables – DBH and wood density or DBH and Height

Allometric Model with 3 variables - DBH, wood density, height

*Base diameter; **These models refer to the moist tropical forests.

Figure 1. Relation between DBH and tree height at a) Mata Atlântica, and b) tropical forest in the western Amazon. Data from a) BIOTA Gradient Funtional project, and b) Vieira et al. (2004). *In order to produce a preliminary correction for tree heights in the Mata Atlântica, we selected a stratified random sample of 80 trees (DBH > 5cm) and measured tree height using either an extensible fiberglass rod graduated in cm, or a laser range finder with an internal clinometer (Laser Ranger Finder, Impulse-200LR, Laser Technology Inc., Englewood, Colorado). The relation between measured height and the visually estimated height is shown in the Figure 3a.

Figura 1. Relação entre DAP (diâmetro à altura do peito) e altura em florestas de a) Mata Atlântica e da b) Amazônia ocidental. Dados oriundo do a) Projeto BIOTA = Gradiente Funcional, e b) Vieira et al. (2004). *Para realizar uma correção preliminar da altura das árvores da Mata Atlântica, nós selecionamos aleatoriamente uma amostra estratificada de 80 árvores (DAP > 5 cm) e medimos a altura com o uso de uma régua telescópica graduada (em cm) de fibra de vidro, ou com um clínometro a laser (Laser Ranger Finder, Impulse 200LR, Laser Technology Inc., Englewood, Colorado). A relação entre a altura medida e a altura estimada visualmente é mostrada na Figura 3a.
Along with tree height, wood density should also be considered in AGB estimates. Baker et al. (2004a) demonstrated that wood density explained 20 to 30% of the AGB variation across Amazonian forest sites. The spatial patterns of wood density and tree mass distribution may vary because of biological responses to environmental conditions such as wind and drought. High wood density has been correlated with the increase of the resistance in cell wall of xylem, which reduces cavitation risk due to strong tensions during periods of droughts (Hacke et al. 2001, Muller-Landau 2004, Chave et al. 2006).

Wood density differs among species as well as among individuals from the same species as a result of tree age and edaphic conditions (Baker et al. 2004a, Muller-Landau 2004). Moreover, variations in wood density occur along the trunk (Williamson 1984, Higuchi et al. 1998, Baker et al. 2004a, Muller-Landau 2004). In spite of the high variability, the variation in wood density in tropical rain forest within genera is still less than the wood density between genera (Baker et al. 2004a, Chave et al. 2006, Slik 2006). Studies of forest biomass have relied on this result using mean values of wood density for genera when no species-specific measurements of wood density were available (Baker et al. 2004a, Magcale-Macandog 2004).

Application to the Atlantic Forest

We compared four general allometric models based on data from forests in Puerto Rico (Scatena et al. 1993), the central Amazon (Chambers et al., 2001) and throughout the tropics (Chave et al. 2005), and the site specific model for Atlantic Forest developed in a forest located in the Southeast of Brazil, proposed by Tiepolo et al. (2002) that include trees in the same DBH range that in your plots (Table 1). The Burger (2005) model was not used for the AGB estimative because the available survey data do not include values for basal tree diameter. Tree mass versus DBH for five models are compared in Figure 2. The model of Chambers et al. (2001) estimated greater mass for trees with DBH up to 100 cm at which point there is an inflection point in the model. On the other hand the models proposed by Scatena et al. (1993) and Tiepolo et al. (2002) estimated lower tree mass values than other models from 5 to ~130 cm DBH.

The allometric models described above were used to estimate the AGB using data from four permanent submontane Atlantic Forest plots (1 ha each) of the BIOTA Gradient Functional project. In this area, tree density (>4.8 cm DBH) is 149.5 ± 182.4 stem ha⁻¹. The mean wood density for this area is 0.603 g cm⁻³, calculated from literature values (Lacerda 2001, Chave et. al 2006). Original height data for this area was collected by visual estimation. While we are currently re-measuring tree heights in the plot, for illustration we currently use the mean heights from the literature. The annual height growth of trees in this forest is currently re-measuring tree heights in the plot, for illustration we have used a relation between height and DBH from a sub-set of the data for height calculation in those models requiring height as an independent variable (Figure 3b).

We observed considerable variation among estimated plot level AGB (Figure 4). The equation developed for the Central Amazon based on DBH alone (Chambers et al. 2001) and the pan-tropical model based on wood density and DBH (Chave et al. 2005, model a) both give higher biomass estimates compared to the model from similar submontane Atlantic Forest (Tiepolo et al. 2002). In contrast, the AGB values obtained using a pan-tropical model that employs wood density, DBH and height (Chave et al. 2005, model b) result in a very similar estimate to the site-specific model of Tiepolo et al. (2002) and with biomass values measured by Burger (2005) and Tiepolo et al. (2002) for two other areas in the Southeast Atlantic Forest. The model of Scatena et al. (1993) developed for Puerto Rican submontane forests that suffer frequent hurricanes give the lowest biomass compared to all of the other models.

Figure 2. AGB estimation curves for trees with DBH > 5 cm, using different allometric models. The models that include height as an independent variable estimated height according to the following equation: Height = exp(ln(AGB*)+2.557)/0.94/0.616/(DBH)², where AGB is the biomass estimated according to Chave et al. (2005).

Figura 2. Curvas de AGB estimada para árvores com DBH > 5 cm, utilizando diferentes modelos alométricos. Nos modelos que incluem altura como variável independente, a mesma foi estimada conforme equação abaixo: Altura = exp(ln(AGB*)+2.557)/0.94/0.616/(DBH)², onde AGB é a biomassa viva acima do solo estimada de acordo com modelo proposto por Chave et al. (2005).

Other Woody and Non-Woody Components of AGB

The AGB distribution among woody and non-woody components for tropical forests is poorly known (Sarmiento et al. 2005). The non-woody components such as tree ferns, epiphytes and bamboos and minor woody components such as palms and lianas can contribute significantly to the AGB of tropical forests and can be responsible for part of the structural variability observed among them (Sarmiento et al. 2005). However, allometric models to estimate the AGB for these life forms are still scarce (Table 2). Although separately they represent a small fraction of total biomass, when evaluated as a group, lianas, palms, tree ferns, bamboo and epiphytes can respond for more than 10% of the AGB in the Atlantic Forest areas. For example: palms usually do not represent more than 5% of the AGB, but can reach 10% or more in some tropical forests (de Castillo et al. 2006); epiphytes commonly represents less than 2% of AGB (Hofstede et al. 1993, Hsu et al. 2002, Nadkarni et al. 2004). Even though there are no bamboo biomass quantification for the Atlantic Forest, in forests where bamboo is dominant, as open Amazonian forest, bamboo biomass represented no more than 5% of the total AGB (Torezan & Silveira, 2000).

After trees, lianas can be one of the most abundant life forms in many tropical forests (Putz 1984, Gerwing et al. 2006, Schnitzer 2007). Although the liana biomass is generally low compared to trees, they can be abundant, and they can respond significantly to the vegetation and soil conditions, and thus their importance in the AGB of tropical forests is still not fully understood. Li lianas have a large biomass in the Amazon (Putz 1984, Gerwing et al. 2006), and they have been shown to be an important component of the AGB in tropical forests (Koch et al. 2004).
et al. 2006) but in terms of AGB they typically represent no more than 2-5% of the total (Gerwing et al. 2004).

**Final Consideration**

The use of allometric models for estimation of above ground biomass in tropical forests is essential for the study of carbon storage and exchange. Unfortunately, not all areas have well developed allometric equations. Destructive studies necessary to make new equations are costly, labor intensive, and may be difficult to accomplish.
in conservation areas. To select one or other of the available models in the literature to estimate AGB we should take into account what is the main question to be answered and the ease with which it is possible to measure the independent variables in the model. Models that present more accurate estimates should be preferred. However, more simple models (those with one independent variable, usually DBH) can be used when the focus is monitoring the annual increment of tree growth. In the other hand, because lianas, bamboos, palms, tree ferns and epiphytes are also important components in the Atlantic Forest, we recommend the quantification of them in both of cases.

Observations in the Atlantic Forest suggest that, since this biome differ along the coast (North to South) and we do not have a specific allometric model for each one of the different sites, pan-tropical relations can be confidently used to estimate tree biomass across biomes as long as tree diameter (DBH), height, and wood density are accounted for in the model (e.g. Chave et al. 2005, model b).

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