Abstract

Traditional approaches to referring expression generation (REG) have taken as a fundamental requirement the need to distinguish the intended referent from other entities in the context. It seems obvious that this should be a necessary condition for successful reference; but we suggest that a number of recent investigations cast doubt on the significance of this aspect of reference. In the present paper, we look at the role of visual context in determining the content of a referring expression, and come to the conclusion that, at least in the referential scenarios underlying our data, visual context appears not to be a major factor in content determination for reference. We discuss the implications of this surprising finding.

1 Introduction

Traditional approaches to referring expression generation are based on the idea of distinguishing the intended referent from the other entities in the context (Dale and Reiter, 1995; Gardent, 2002; Krahmer and Theune, 2002; Krahmer et al., 2003; Gatt and van Deemter, 2006). The task is generally characterised as involving the construction of a distinguishing description consisting of those attributes of the intended referent that distinguish it from the other entities with which it might be confused; building a referring expression thus requires us to have an appropriate formalisation of the notion of context. Earlier work (for example, (Dale, 1989)) took its cue from work on discourse structure (in particular, (Grosz and Sidner, 1986)), and defined the context in terms of the set of discourse-accessible referents; more recent work has tended to focus on visual scenes (for example, (Viethen and Dale, 2006; Gatt et al., 2008; Gatt et al., 2009)), with the context being defined as the set of all the objects in the scene.

Most of the early approaches to REG (Dale, 1989; Dale and Haddock, 1991; Dale and Reiter, 1995; Krahmer et al., 2003) were proposed without the support of rigorous empirical testing. Probably the most fundamental shift in the field in the last five years has been the move towards the development of algorithms that attempt to replicate corpora of human-produced referring expressions. This work has only really become possible with the advent of a number of publicly-available corpora of human-produced referring expressions collected under controlled circumstances: these include the TUNA Corpus (van der Sluis et al., 2006), the Drawer Corpus (Viethen and Dale, 2006), and the GRE3D3 and GRE3D7 Corpora (Viethen and Dale, 2008; Viethen and Dale, 2011). All of these corpora contain descriptions of target referents using a small number of attributes in simple visual scenes containing only a very small number of distractor objects. The descriptions in all these cases were elicited in isolation, with no preceding discourse: the reference task they represent has sometimes been called ‘one-shot reference’. So there is no discourse context that provides a set of potential distractors, but there is a visual context of potential distractors.

The idea that the process of constructing a reference to an object in a visual scene needs to take account of the other entities in that scene in order to ensure that the reference is successful seems so obvious that it might be thought ridiculous to doubt it. However, our exploration of a dataset that contains referring expressions for objects in visual scenes of somewhat greater complexity and involving dialogic discourse calls this fundamental assumption into question.

In (Viethen et al., 2011), we presented a machine-learning approach to REG, and distinguished two main kinds of features that might play a role in subsequent reference: ‘traditional’ REG features, which are concerned with distinguishing the intended referent from visual and discourse distractors; and ‘alignment’ features, representing aspects of the discourse history (Clark and Wilkes-Gibbs, 1986; Pickering and Garrod, 2004). We used feature ablation in a decision tree approach to investigate the role of the traditional features, and found that the impact of these features was negligible compared to that of the alignment features. The bad performance of these features caused us to ask whether the method of determining
the visual distractors that were taken into account was to be blamed. In the present paper, we explore this question by trying out two different ways of determining the set of visual distractors and by varying the size of this set.

In Section 2 we provide some background by situating the investigation presented here with respect to the literature. In Section 3, we describe the corpus we work with, and in Section 4, we describe our machine-learning framework for exploring the data this corpus provides. In Section 5, we present the results of some experiments that attempt to determine the role of visual context in REG, and in Section 6 we draw some conclusions.

2 Background

Some of the earliest work in REG (for example, (Dale, 1989)) adopted what we might think of as an ‘extreme rationalist’ characterisation of the task: build a description that has no more and no less information than is required to distinguish the intended referent (a minimal distinguishing description).

It was soon recognised that this was not a good characterisation of what people did, in particular because human-produced descriptions are often over-specified, rather than being minimal in the sense just described. The incremental algorithm (IA; (Dale and Reiter, 1995)) diluted the extreme position with the acknowledgement that something akin to habit also played a role in REG: the basic idea here was that, on the basis of experience, people learn ‘preference orders’ for properties that tend to work well, and when faced with the need to create a new description, they use these preference orders to guide the search for an appropriate description. The IA still hung on to the need to build a distinguishing description, but the preference order mechanism meant that some descriptions might be longer than necessary, containing redundant information.

In (Dale and Viethen, 2010), we proposed a further weakening of the traditional model, suggesting that attributes in a referring expression might be chosen independently, rather in a fashion whereby each depends on the attributes previously chosen (a characteristic of earlier algorithms that we refer to as serial dependency). But even this attribute-centric model takes the view that the discriminatory power of the individual attributes plays a role in decision-making. The requirement that we should take account of the context in determining how to refer to something has thus been kept more or less centre-stage in computational work through the last 20 years or so.

Meanwhile, work in psycholinguistics has explored the idea that quite orthogonal factors are at play in choosing the content of descriptions. Starting with the early work of Carroll (1980), a distinct strand of research has explored how a speaker’s form of reference to an entity is impacted by the way that entity has been previously referred to in the discourse or dialogue. The general idea behind what we will call the alignment approach is that a conversational participant will often adopt the same semantic, syntactic and lexical alternatives as the other party in a dialogue. This perspective is most strongly associated with the work of Pickering and Garrod (2004). With respect to reference in particular, speakers are said to form conceptual pacts in their use of language (Clark and Wilkes-Gibbs, 1986; Brennan and Clark, 1996). The implication of much of this work is that one speaker introduces an entity by means of some description, and then (perhaps after some negotiation) both conversational participants share this form of reference, or a form of reference derived from it, when they subsequently refer to that entity. Recent work by Goudbeek and Krahmer (2010) supports the view that subconscious alignment does indeed take place at the level of content selection for referring expressions: the participants in their study were more likely to use a dispreferred attribute to describe a target referent if this attribute had recently been used in a description by a confederate.

One way of characterising these developments is that, on the one hand, the original very precise and somewhat rigid computational approaches to REG have been progressively weakened in the face of real human data; and on the other hand, work in a distinct discipline has offered a quite separate view of how reference works. Of course, these two broad approaches may not be incompatible. The truth may lie ‘in-between’, involving insights and ideas from both ways of thinking about the problem. In the present paper we aim to put one of the remaining fundamental tenets of the computational approaches to the test: does visual context really matter when we construct a referring expression?

3 Referring Expressions in the iMAP Corpus

The iMAP Corpus (Louwerse et al., 2007) is a collection of 256 dialogues between 32 participant-pairs who contributed 8 dialogues each. Both participants had a map of the same environment, but one participant’s map showed a route winding its way between the landmarks on the map (see Figure 1 for examples). The task was for this participant, the instruction giver (IG), to describe the route in such a way that their partner, the instruction follower (IF), could draw it onto their map; this was complicated by some discrepancies between the two maps, such as missing landmarks, the unavailability of colour in some regions due to ink stains, and small differences between some landmarks. Note that the maps contain a relatively large number of objects compared to the visual stimuli used in other REG corpora.
There are eight types of landmarks, grouped into pairs of one animate and one inanimate type each: alien+traffic sign, bird+house, fish+car, and bugs+trees. Each of these pairs defines a map type, which contains landmarks which are mostly of one of the two types of the pair. Half of the maps contain a few landmarks of types other than the main type; for example, a bird+house map contains mostly birds or houses, but might also contain a small number of other landmarks. The maps in Figure 1(a) are bird+house maps containing mainly birds with a few landmarks of other types mixed in, and the map in Figure 1(b) is an unmixed fish–car map for the IG, containing only fish landmarks. Note the high density of landmarks on the map in Figure 1(b) compared to those in Figure 1(a) (each cluster of same-coloured bugs on the bird maps counts as a single landmark). Overall there are 32 maps, which differ by the map type (four levels), the animatedness of the landmark types (two levels, e.g. fish vs. cars), the mixedness of the landmark types (two levels: only the main landmark type or also a few landmarks of different types), and the shape of the ink blots on the IF’s map (two levels: one large blot or several smaller ones).

Apart from their type, the landmarks differ in colour, and one other attribute, which is different for each type of landmark. For example, there are different kinds of birds and houses (eagle, ostrich, penguin, . . . ; church, castle, . . . ); fish and cars differ by their patterns (dotted, checkered, plain, . . . ), aliens and traffic signs have different shapes (circular, hexagonal, . . . ), and bugs and trees appear in small clusters of differing numbers. In addition to these three inherent attributes of the landmarks, participants used spatial relations to other items on the map. Each of the 34,403 referring expression in the corpus is annotated with the semantic values of the attributes that it contains. This collection of annotations forms the basic data we use in our experiments.

We removed from the data all referring expressions that made reference to more than one landmark and those—in particular, pronouns—that did not contain any of the four main landmark attributes, type, colour, relation, or the landmark’s other distinguishing attribute. However, all filtered expressions are taken into account in the computation of the features for the machine learner. The final data set contains 22,727 referring expressions, of which 6,369 are initial references and 16,358 are subsequent references.

We can think of each referring expression as being realised from a content pattern: this is the collection of attributes that are used in that description. The attributes can be derived from the property-level annotation given in the corpus. So, for example, if a particular reference appears as the noun phrase the blue penguin, annotated semantically as ⟨blue, penguin⟩, then the corresponding content pattern is ⟨colour, kind⟩. Our aim is to replicate the content pattern of each referring expression in the corpus. Table 1 lists the 15 content patterns that occur in our data set in order of frequency. The high frequency of the ⟨other⟩ pattern is in part due to the annotation of the kind of birds and houses as other, which could also be argued to be a more fine-grained type attribute. We accepted this annotation as it was provided in the corpus, but we may alter it in future studies.
4 A Machine Learning Approach to Content Determination

The number of factors that can be hypothesised as having an impact on the form of a referring expression in a dialogic setting associated with a visual domain is very large. Attempting to incorporate all of these factors into parameters for a rule-based system, and then experimenting with different settings for these parameters, is prohibitively complex. Instead, we here capture a wide range of factors as features that can be used by a machine learning algorithm to automatically induce from the data a complete TradREG feature set from allF does not de-

Map Features
Main_Map_type  most frequent type of LM on this map
Main_Map_other  other attribute if the most frequent type of LM
Mixedness are other LM types present on this map?
Ink_Orderliness shape of the ink blot(s) on the IF’s map
LMprop Features
other_Att type of the other attribute of the target
[att].Value value for each att of target
[att].Difference was att of target different between the two maps?
Missing was target missing one of the maps?
Inked_Out was target inked_out on the IF’s map?

Speaker Features
Dyad_ID ID of the pair of participant-pair
Speaker_ID ID of the person who uttered this RE
Speaker_Role was the speaker the IG or the IF?

Table 1: The 15 different content patterns that occur in our data and their frequencies.

| Content Pattern       | Count | Proportion |
|-----------------------|-------|------------|
| (other)               | 7561  | 33.27%     |
| (other, type)         | 5975  | 26.29%     |
| (other, colour)       | 2364  | 10.40%     |
| (other, colour, type) | 1954  | 8.60%      |
| (colour)              | 1029  | 4.53%      |
| (relation)            | 796   | 3.50%      |
| (other, relation)     | 738   | 3.25%      |
| (type)                | 662   | 2.91%      |
| (colour, type)        | 596   | 2.62%      |
| (other, relation, type)| 463  | 2.04%      |
| (relation, type)      | 262   | 1.15%      |
| (other, colour, relation)| 124  | 0.55%      |
| (colour, relation)    | 101   | 0.44%      |
| (other, colour, relation, type)| 82  | 0.36%      |
| (colour, relation, type)| 20  | 0.09%      |
| total                 | 22,727|

Table 2: The Map, LMProp and Speaker feature sets.

| VisuAl TradREG Features       | Count_Vis_Distractors number of visual distractors |
|--------------------------------|-----------------------------------------------|
| Prop_Vis_Same_att proportion of visual distractors with same att |
| Dist_Closest distance to the closest visual distractor |
| Closest_Same_att has the closest distractor the same att? |
| Dist_Closest_Same_att distance to the closest distractor of same att as target |
| Cl_Same_type_Same_att has the closest distractor of the same type also the same att? |

Table 3: The TradREG feature set.

For our experiments, we use the Weka Toolkit (Witten and Frank, 2005) to learn one decision tree for each of the four attributes which decides whether or not to include that attribute. We then combine the attributes for which a positive decision was made into a content pattern that can be compared to the content pattern found in the corpus for the same instance.2

In (Viethen et al., 2011) we showed that dropping the complete TradREG feature set from allF does not decrease the performance of this model on subsequent reference. The relevant numbers from that experiment are shown in italics in the first two lines of Table 5.

One question this kind of work raises is: just what gets included in the visual context? Considering that most of the TradREG features depend on the visual context, it might be possible that the lack of impact of this feature set was due to the size of the visual context having been chosen incorrectly. A second consideration is that the TradREG features might have more of an impact on

1In these tables, att is an abbreviatory variable that is instantiated once for each of the four attributes type, colour, relation, and the other distinguishing attribute of the landmark. The abbreviation LM stands for landmark.

2We also tried an alternative approach of learning the whole content pattern at once with very similar results, which we do not report here due to space limitations.
The Effects of Variation in Visual Context

In (Viethen et al., 2011), the size of the visual context was set for each map type in such a way that each landmark on any map of that type would have six distractors on average. We will refer to this way of setting the visual context size as average–6.

Because we are here particularly interested in the performance of the features that depend on the visual context (i.e., the Visual TradREG features), we performed two more ablation steps, in which we separately excluded only the Visual TradREG features and the Discourse TradREG features for both subsequent and initial references. Table 5 confirms that, using the average–6 method to determine the visual context, the Visual TradREG features have no significant effect for either subsequent or initial referring expressions on the Accuracy with which the model replicates the referring expressions in our corpus. Perhaps surprisingly, this is true not only for subsequent reference, but also for initial reference, where one might expect that distinguishing from the visual context would be of more importance.

Considering the difference in density and uniformity of landmarks on the different types of maps (compare Figure 1(a) with 42 diversely shaped landmarks in the IG map to Figure 1(b) with 59 uniformly shaped landmarks), we wondered whether the average–6 method of setting the visual context might be too inflexible. For example, one might hypothesise that fewer surrounding objects might get taken into account in describing the blue penguin marked by a circle in the left map in Figure 1(a) than in describing the purple fish marked by a circle in Figure 1(b).

We therefore split our data into four sets according to the four different map types and tried out a range of different visual context sizes for each type separately. Two different ways of determining the visual context might be at play. One possibility is that people might indeed be taking into account (roughly) the same number of surrounding objects for each landmark, while this number might be different for different map types due to their different landmark densities. We call this the count method of determining the visual context. Alternatively, one might draw an imaginary circle around each landmark, and consider all objects whose centres fall within the radius of this circle to be distractors. We call this the distance method of determining the visual context.

In order to explore whether there is one ‘correct’ size of visual context for each map type, we tried all distances from 0 to 675 pixels in 15 pixel steps (each map is 488×675 pixels) and all possible distractor counts from 0 to 61 (the maximum number of landmarks on the most dense map pair is 61). If the bad performance of the Visual TradREG features so far was indeed due to the visual context being too inflexible or set incorrectly, we would expect to find at least one visual context size for each map type that outperforms all others. There should also be a peak of performance around that size, with the performance falling if the size grows or shrinks from the ideal size (if the visual context is set too small, we might expect to see references containing too many attributes; if the visual context is set too large, we might expect to see references with too few attributes).

We trained the decision trees on 80% of the data for each map type and tested on the remaining 20%. The training–test splits were stratified for the content patterns of the referring expressions, the Speaker IDs of the participants who produced the expressions, and the Quartiles of the dialogue in which the references occurred. Table 6

| Alignment Features – Recency | all | initial | subseq. |
|------------------------------|-----|---------|---------|
| Last_Men_Speaker_Same | allF | 61.5% | 68.6% | 58.8% |
| Last_Mention_Latt | allF – TradREG | 61.3% | 69.4% | 58.2% |
| Dist_Last_Mention_Uts | allF – Discourse TradREG | 61.3% | 68.6% | 58.4% |
| Dist_Last_Mention_REs | allF – Visual TradREG | 61.6% | 69.4% | 58.5% |
| Dist_Last_Latt_LM_Uts | no of REs | 22727 | 6369 | 16358 |

Table 5: Ablation of Discourse and Visual TradREG features using average–6 to determine the visual context. Performance is measured in percentage of perfect matches. Numbers in italics were previously reported in (Viethen et al., 2011).
Table 6: Sizes of the training and test sets for the different map types.

| map type      | train | test | total |
|---------------|-------|------|-------|
| alien+sign    | 4,425 | 967  | 5,392 |
| fish+car      | 4,021 | 813  | 4,834 |
| bird+house    | 5,492 | 1,264| 6,756 |
| tree+bug      | 4,703 | 1,042| 5,745 |
| total         | 18,641| 4,086| 22,727|

Table 7: Maximum possible Accuracy using all features achieved by choosing the best performing visual context by the count method for each map type, compared to the performance of the average-6 visual contexts.

| map type      | best sizes | all sizes | best initial sizes | best subseq. sizes | subseq. all sizes |
|---------------|------------|-----------|--------------------|--------------------|-------------------|
| alien+sign    | 43         | 63.5%     | 5                  | 68.3%              | 43                |
| fish+car      | 44         | 59.2%     | 5                  | 66.6%              | 13                |
| house+bird    | 3, 22      | 72.6%     | 22                 | 75.6%              | 13, 28            |
| trees+bugs    | 3          | 70.5%     | 0, 1, 3, 11, 12    | 74.8%              | 33                |
| weighted average | 67.1%     | 71.1%     | 65.9%              |                    |                   |
| all maps      | 61.5%      | 68.6%     | 58.8%              |                    |                   |

Table 8: Maximum possible Accuracy using all features achieved by choosing the best performing visual context by the distance method for each map type, compared to the performance of the average-6 visual contexts.

| map type      | best sizes | all sizes | best initial sizes | best subseq. sizes | subseq. all sizes |
|---------------|------------|-----------|--------------------|--------------------|-------------------|
| alien+sign    | 90, 105    | 59.5%     | 90                 | 65.1%              | 240, 285          |
| fish+car      | 75         | 57.3%     | 75                 | 62.4%              | 75                |
| house+bird    | 150        | 73.3%     | 300, 540-675       | 74.8%              | 480               |
| trees+bugs    | 210        | 70.4%     | 585, 660, 675      | 76.6%              | 210, 420, 525     |
| weighted average | 65.9%     | 70.9%     |                    |                    | 64.3%             |
| all maps      | 61.5%      | 68.6%     | 58.8%              |                    |                   |

For space reasons we do not show all four graphs for the distance method. However, Figure 6 shows the performance for all map types when using all feature sets. Again, the performance oscillates as the size of the visual context varies, rather than showing a real peak around an ideal context size.

Although the performance of the overall system can be increased over the old average-6 method by setting the visual context to a map type-specific optimum, these results show that this increase is somewhat a matter of luck. Short of trying out (almost) all possible sizes of the visual context, as we did here, there is no systematic way in which to determine the size of the visual context that gives the best performance; and by using features dependent on the visual context one might just as likely hit on a visual context that decreases performance. The oscillations in the graphs in Figures 2 to 6 indicate that it is unlikely that people are taking the visual content into account in the way that our model suggests.

6 Discussion

In this paper we have put forward what might be considered a rather heretical position: that during the construction of a referring expression, contrary to what is assumed by much work in the field, a speaker does not seem to take account of the visual context of reference. Using a collection of human-produced referring expressions of landmarks on moderately complex maps, we have shown that there is no principled way in which to determine a visual context that might make a significant difference to the ability of a machine-learned algorithm to replicate the human data. The implication of this would seem to be that humans generate referring expressions with little regard for the visual context, or at least that the role of visual context is masked by other factors (such as alignment) that play a bigger role. So, we might conclude that...
the view that reference is about deliberately constructing distinguishing descriptions should be considered suspect.

It could be argued that this is a somewhat plausible position if we look only at subsequent reference as we did in (Viethen et al., 2011): once an entity has been introduced into the discourse, perhaps how it is referred to subsequently depends more on the preceding discourse than it does on the visual context at the time of reference. Indeed, once an entity has been referred to, the description that has been constructed ‘factors in’ the visual context, and so any subsequent reference to that entity does not require re-computation of the description; referring to the entity in the way that it was referred to before should still do the job (unless, of course, the context has changed in some relevant way). Such a model has the twin appeals of being both more computationally efficient, and consistent with explanations based on the alignment approach.

But surely, we would want to say, context must still be taken account of when constructing an initial reference; and if the context is a visual one, then that first reference constructed needs to distinguish the intended referent from the other entities in the scene. Surprisingly, even here, our experimental results support the view that visual context doesn’t matter.

So what’s going on? Intuition suggests that, in real world scenes, we do take account of the distinguishing ability of our referring expressions; when we describe an intended referent, we do not do so blindly without considering whether the referring expression might be confusing or ambiguous. But our data suggests, at least in the scenarios we have looked at, that this is not the case.

One possible explanation is that neither of the two ways of determining the visual context that we tried out in our experiments accurately models the visual context that the speakers in our corpus take into account. Firstly, while acknowledging that there are differences between the different types of maps that might influence the number of distractors to be taken into account, we still kept
the size of the visual context constant for all landmarks on a given map. It is conceivable that this is still too simplistic an assumption and that distractor numbers have to be determined on a landmark-by-landmark basis instead. For instance, it is likely that, at least for the IG, the course of the path influences the shape of the visual context, with objects along the path being more likely to be taken into account than those further away. This is a consideration that was taken into account to some extent by Guhe (2007; 2009). Similarly, what counts as the visual context is probably influenced by the linguistic context as well. For example, in uttering as well as resolving an instruction such as go left until you get to the red alien, the red alien has to be distinguished mostly from objects to its right and not so much from anything that lies beyond it to its left.

To explore these kinds of hypotheses, a lot more preparatory work would be necessary. The dialogues would need to be annotated with information about the point on the path that the IG and IF have reached, and with possibly relevant information in the dialogue context. However, to obtain a more definite answer to the question of which landmarks are taken into account when people refer in dialogue, we will ultimately have to look beyond the text of the dialogue transcriptions. With technologies such as eye-tracking it might be possible to reveal which other landmarks speakers look at while or before they construct a referring expression.

Another possible explanation for the surprising outcome of our experiment is that our scenarios are too simple: they do not reflect the complexity of real-world visual scenes, and so the complex mechanisms we think are required for REG more generally are simply not required in these simple scenes. Rather than compute a reference that takes account of the context, the subjects in the iMAP Task perhaps recognise that the scenes are simple enough to use referring expressions that are not carefully computed on the basis of context.

But this then raises a methodological issue. An assumption implicit in much recent work on evaluation in REG is that, by initially using simplistic domains and tasks, the in-principle capabilities of algorithms can be tested before scaling up to more complex real-world settings. The visual scenarios that are represented by the TUNA Corpus, the Drawer Corpus, and the GRE3D3 and GRE3D7 Corpora are very abstract and arguably quite unlike any real-world scenes where a speaker needs to construct a reference. For the work presented here, we attempted to consider more ‘realistic’ scenes involving speakers discussing larger numbers of objects in a distinct task; but even here, the scenario is still very simple with much fewer attributes to choose from than speaker are usually presented with when referring ‘in the wild’. But if this is the case, then what do we learn by developing algorithms that work in these simple scenarios?

We do not believe that the idea that human speakers deliberately build distinguishing descriptions in order to uniquely identify their intended referents should be abandoned: this seems to us a fundamentally important aspect of successful referential behaviour. But if we want to understand how it is that people do this, we should be wary of thinking we can learn about these processes by looking at how people refer in vastly simplified models of the real world. To move forward, we need to focus on the complexity of real-world reference scenarios.

7 Conclusions

Traditional REG algorithms are based on the aim of distinguishing the target referent from the other objects in its context. However, using a corpus of maptask dialogues, we found in earlier work that using features based on the same considerations as those underlying the traditional REG algorithms does not help in machine learning which attributes people use in a given situation. In this paper, we used two different methods of varying the size of the visual context that gets taken into account in computing the values for these features. We found that it is not possible to systematically determine an ideal context size using these methods, which seems to point to the conclusion that, for the speakers in our corpus, visual context was not an important consideration. Alternatively, even more fine-grained methods of determining the visual context than those we tried might be necessary, or the scenarios on the maps underlying our corpus are too simplistic to elicit real-world behaviour from the speakers. This points to the conclusion that it might be time for the field to move on to more complex visual scenes when researching content selection mechanisms for referring expression generation.
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