Robotic Process Mining: Vision and Challenges

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Abstract Robotic Process Automation (RPA) is an emerging technology that allows organizations automating repetitive clerical tasks by executing scripts that encode sequences of fine-grained interactions with Web and desktop applications. Examples of clerical tasks include opening a file, selecting a field in a Web form or a cell in a spreadsheet, and copy-pasting data across fields or cells. Given that RPA allows us to automate a wide range of routines, it raises the question of which routines should be automated in the first place. This paper presents a vision towards a family of techniques, termed Robotic Process Mining (RPM), aimed at filling this gap. The core idea of RPM is that repetitive routines amenable for automation can be discovered from logs of interactions between workers and Web and desktop applications, also known as user interactions (UI) logs. The paper defines a set of basic concepts underpinning RPM and presents a pipeline of processing steps that would allow an RPM tool to generate RPA scripts from UI logs. The paper also discusses research challenges to realize the envisioned pipeline.

Keywords Robotic process automation · process mining · robotic process mining

1 Introduction

Robotic Process Automation (RPA) tools, such as UiPath Enterprise RPA Platform\(^1\) and Automation Anywhere Enterprise RPA\(^2\), allow organizations to automate repetitive work by executing scripts that encode sequences of fine-grained interactions with Web and desktop applications. A typical clerical task that can be automated using an RPA tool is transferring data from one system to another via the user interfaces of these systems. For example, Fig. 1 shows a spreadsheet with student records that need to be transferred one by one into a Web-based study information system. This task involves, for each row in the spreadsheet, selecting the cells, copying the value in a selected cell to the corresponding field in the Web form, and submitting the form after a row has been processed. Routines such as this one can be encoded in an RPA script and executed by an instance of an RPA tool’s runtime environment, also known as an RPA software robot (or RPA bot for short).

A number of case studies have shown that RPA technology can lead to improvements in efficiency and data quality in business processes involving clerical work \[5, 21\]. However, while existing RPA tools allow one to automate a wide range of routines, they do not allow one to determine which routines are candidates for automation in the first place.

The current practice for identifying candidate routines for RPA is through interviews, walk-throughs, and

\(^1\) [https://www.uipath.com/](https://www.uipath.com/)

\(^2\) [https://www.automationanywhere.com/](https://www.automationanywhere.com/)
detailed observation of workers conducting their daily work, either in situ or using video-recordings [4]. These empirical investigation methods allow analysts to identify candidate routines for automation and to assess the potential benefits and costs of automating the identified routines. However, these methods are time-consuming and, therefore, face scalability limitations in organizations where the number of routines is very high.

In this position paper, we lay down a vision for a new class of tools, namely Robotic Process Mining (RPM) tools, capable of discovering automatable routines from logs of interactions between workers and Web and desktop applications. The envisioned RPM tools take as input logs of user interactions with applications (so-called user interaction logs, or UI logs) that contain event records, such as selecting a field or cell, copying and pasting, and editing fields or cells. Given a UI log, RPM tools aim to identify automatable routines and their boundaries, collect variants of each identified routine, standardize and streamline the identified variants, and discover an executable specification corresponding to a streamlined and standardized variant of the routine. The routines produced as the output should be defined in a platform-independent language that can be compiled into a script and executed in an RPA tool.

In this way, RPM tools will assist analysts in drawing a systematic inventory of candidate routines for automation. This input is useful in environments where the number of routines is too large for purely manual identification. We envision that the identified candidate routines will then be analyzed in terms of potential benefit and automation costs using a combination of automatically derived attributes (e.g. frequency, number of steps in the routines, amenability to automation) in conjunction with domain knowledge (e.g. potential financial benefits of automating the routines). Once candidate routines for RPA have been selected, RPM will then help analysts to produce executable specifications of routines (or sub-routines), which can be used as a starting point for the automation effort.

The paper defines a set of concepts underpinning RPM and presents a pipeline of processing steps that would allow an RPM tool to generate RPA scripts from UI logs. Based on this pipeline, the paper then discusses research challenges and points out to possible approaches to address these challenges.

The rest of the paper is structured as follows. Section 2 presents the proposed RPM framework. Section 3 discusses challenges and directions to realize this framework. Section 4 positions RPM with respect to related fields, and Section 5 draws conclusions and acknowledges ethical considerations.

2 RPM Framework

Below, we clarify the context and scope of RPM and propose a conceptual framework for RPM as well as a pipeline that decomposes the RPM problem into relatively independent steps.

2.1 Context and Scope

Several partially overlapping definitions of RPA can be found in the research and industry literature. For example, [5] defines RPA as a category of software tools designed “to automate rules-based business processes that involve routine tasks, structured data, and deterministic outcomes.” Meanwhile, [2] defines RPA as “an umbrella term for tools that operate on the user interface of other computer systems in the way a human would do.” On the other hand, Gartner [36] defines RPA as a class of tools that perform [if, then, else] statements on structured data, typically using a combination of user interface interactions, or by connecting to APIs to drive client servers, mainframes or HTML code. An RPA tool operates by mapping a process in the RPA tool language for the software robot to follow, with runtime allocated to execute the script by a control dashboard.

Three elements come out from the above definitions. First, RPA tools are designed to automate routine tasks that involve structured data, that are driven by rules (e.g. if-then-else rules), and that have “deterministic outcomes”. Second, RPA tools are able to execute tasks that involve user interactions, in addition to other operations accessible via APIs (in any case, automated actions). And third, RPA tools allow one to specify scripts.
and to operate (i.e. to run and monitor via control dashboards) software bots that execute these scripts.

By synthesizing these elements, we define RPA as a class of tools that allow users to specify deterministic routines involving structured data, rules, user interface interactions, and operations accessible via APIs. These routines are encoded as scripts that are executed by software bots, operated via control dashboards.

Depending on how the control dashboard is used, we can distinguish two RPA use cases: attended and unattended [36]. In attended use cases, the bot is triggered by a user. During its execution, an attended bot may provide and take in data to/from a user. Also, in these use cases, the user may run the bot’s script step-by-step, stop the bot, or otherwise intervene during the execution of the script. Attended bots are suitable for routines where dynamic inputs (i.e. inputs gathered during a routine) are required, where some decisions or checks need to be made that require human judgment, or when the routine is likely to have unforeseen exceptions and it is important to detect such exceptions. For example, entering data from an invoice in a spreadsheet format into a financial system is an example of a routine suitable for attended RPA, given that in this setting some types of errors may have financial consequences.

Unattended RPA bots, on the other hand, execute scripts without human involvement and do not take inputs during their execution. Unattended RPA bots are suitable for executing deterministic routines where all execution paths (including exceptions) are well understood and can be codified. Copying records from one system into another via their user interfaces through a series of copy-paste operations is an example of a routine that could be executed by an unattended bot.

In light of the above, we can classify RPA as a specific type of process automation technology – a broader class of software tools that include Business Process Management Systems (BPMS), document workflow systems, and other types of workflow automation tools [16]. A key difference between RPA on the one hand and BPMS and workflow systems on the other is that RPA is meant to automate deterministic routines that involve automated steps where either an interaction is performed with the UI of an application or an API is called (in both cases the steps are automated). In contrast, BPMS and workflow systems are designed to automate processes that involve combinations of automated tasks and manual tasks. Related to this distinction, BPMS and workflow systems are designed to automate end-to-end processes consisting of multiple tasks, performed by multiple types of participants (e.g. roles, groups). Meanwhile, RPA tools are developed to automate smaller routines, which correspond to individual tasks in a process, or even steps within a task, such as creating an invoice or a student record in an information system. As such, RPA tools and BPMSs are complementary. A BPMS may trigger an RPA tool to perform a given step in a process.

RPA tools allow us to automate a wide range of routines, thus raising the following question: How to identify routines in an organization that may be beneficially automated using RPA? We envision a class of tools, namely RPM tools, that addresses this question. Specifically, we define RPM as a class of techniques and tools to analyze data collected during the execution of user-driven tasks in order to support the identification and assessment of candidate routines for automation and the discovery of routine specifications that can be executed by RPA bots. In this context, a user-driven task is a task that involves interactions between a user (e.g. a worker in a business process) and one or more software applications. Accordingly, the main source of data for RPM tools consists of UI logs.

In line with the above definition, we distinguish three main phases in RPM: (1) collecting and preprocessing UI logs corresponding to executions of one or more tasks; (2) identifying candidate routines for RPA; and (3) discovering executable RPA routines. Below we analyze the concepts involved across these three phases and we refine these phases into a tool pipeline.

### 2.2 Concepts

The main input for RPM is a UI log, which has to be recorded beforehand. A UI log is a timestamped sequence of events performed by a single user in a single workstation, and involving events across one or more applications (including Web and desktop applications). An example of a UI log, which we use herein as a running example, is given in Table 1.

In line with the above definition, we distinguish three main phases in RPM: (1) collecting and preprocessing UI logs corresponding to executions of one or more tasks; (2) identifying candidate routines for RPA; and (3) discovering executable RPA routines. Below we analyze the concepts involved across these three phases and we refine these phases into a tool pipeline.

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3. Some commercial and open-source tool developers use the term task mining to refer to RPM, e.g. in the PM4Py toolkit [http://pm4py.pads.rwth-aachen.de/task-mining/](http://pm4py.pads.rwth-aachen.de/task-mining/).

4. Once an RPA routine has been automated via an RPA bot, a fourth phase is to monitor this bot in order to detect anomalies or performance degradation events that may signal that the bot may need to be adjusted, re-implemented, or retired. While relevant from a practical perspective, this phase is orthogonal to the three previous phases since it is relevant both for bots developed manually and bots developed using RPM techniques. Furthermore, previous work has shown that existing process mining tools are suitable for analyzing logs produced by RPA bots for monitoring purposes [18].
timestamp and other information (e.g. label of a button, value of a cell, etc.), called payload, sufficient enough to reconstruct the performed activity. For example, for an event that refers to clicking a button, it is important to store a unique identifier of this button (e.g. either the element identifier, or its name if this is unique in the page). Likewise, for an event that refers to editing a field, an identifier of the field as well as a new value assigned to that field are required attributes. Events of the same type usually are characterized by the same amount of attributes in payload. Depending on a source application, events contain different attributes in payload. For example, the events performed on a spreadsheet (e.g. Excel spreadsheet) contain information such as spreadsheet name and position of the involved cell or range of cells, while Web-based events are characterized by the corresponding Web page, name and/or identifier of the involved HTML element. Events in UI log are chronologically ordered based on their timestamps. Some events may be aggregated into actions of higher level. For example, two events Go to cell and Copy cell content can be merged into one action called Copy cell.

In order to obtain a UI log, all user interactions related to a particular task have to be recorded. This recording procedure can be long-running, covering a session of several hours of work, if the user performs multiple instances of this activity one after the other. During such a session, a worker is expected to perform a number of tasks of the same or of different types. The UI log used as running example describes the execution of a task corresponding to transferring student data from a spreadsheet into the Web form of a study information system. The Web form requires information such as student’s first name, last name and country of residence. If the country of residence is not Australia, the user needs to perform one more step, indicating that the student be registered as an international student.

Each execution of a task is represented by a task trace. In our running example, there are two traces belonging to the new record creation task. From the log we can see that the user performed the creation of a new record in two different ways. In the first case, they filled in the form manually, while in the second case, they copied the data from a worksheet and pasted it into the corresponding fields.
Given a collection of task traces, the goal of RPM is to identify a repetitive sequence of actions that can be observed in multiple task traces, herein called a routine, and identify routines amenable for automation. For each such routine, RPM then aims to extract an executable specification (herein called a routine specification). This routine specification may initially be captured in a platform-independent manner, and then compiled into a platform-dependent RPA script to be executed in a specific RPA tool.

To summarize, Fig. 2 presents a class diagram capturing the above concepts and their relations.

2.3 RPM Pipeline

As mentioned earlier, the three main phases of RPM are: (1) UI log collection and pre-processing; (2) candidate routine identification; and (3) executable routine discovery. In order to provide a more detailed view of the steps required to achieve the goals of RPM, we decompose the first phase into the recording step itself, and three pre-processing steps, namely removal of irrelevant events (noise filtering), segmentation of the log into routine traces, and simplification of the resulting routine traces. We then map the second phase into a single step and we decompose the third phase into two steps: the discovery of platform-independent routine specifications and compilation of the latter into platform-specific specifications (scripts). This decomposition of the three phases into steps is summarized in the RPM pipeline depicted in Fig. 3. Below we discuss each of the steps in this pipeline.

The recording of an UI log involves capturing low-level UI events, such as the selection of a field in a form, the editing of a field, opening a desktop application, or opening a Web page. UI log recording may be achieved by instrumenting the software applications (including Web browser) used by the workers, via plugin or extension mechanisms. Logs collected by such plugins or extensions may be merged in order to produce a raw UI log, corresponding to the execution of one or more tasks by a user during a period of time. This raw log usually needs to undergo preprocessing in order to be suitable for RPM.

As stated above, a UI log may contain events that do not belong to an execution of any task, herein called noise. Noise may occur for example when the user is interrupted or gets distracted during the execution of a task, leading to performing activities that are not relevant to the task in question (e.g. pausing the transfer of student records to reply to an email). Accordingly, the first step in the pipeline (after the recording step) is dedicated to identifying and filtering out events that do not belong to any task (noise filtering) and as such should not be automated. In our running example, event 7 (visiting https://www.distraction.com) as well as events 8-11 (replying to email) are examples of noise.

Given a noise-filtered UI log, the next problem is to identify the boundaries of the task traces. We call this problem segmentation. Specifically, the purpose of segmentation is to identify sequences of consecutive actions that represent the execution of a task. The input of segmentation is a UI log containing a single sequence of events, while the output is a set of traces representing the execution of one or several tasks. We observe that noise filtering and segmentation are intertwined. By identifying the boundaries of task traces, we also understand which events are not part of any task, hence representing noise. Segmentation can be performed in several ways. For example, one can use domain knowledge or combine a UI log with transactional data recorded by an enterprise system to identify the end events of a task [25].

Task traces may contain events that have no effect on the final outcome. Such events constitute waste. For example, a task trace may contain redundant events (e.g. pressing Ctrl-C twice consecutively on the same field, which has the same effect as doing it only once). Another type of waste has to do with defects, e.g. typing in a text field, then deleting the content of the field and typing something different. In our running example, events 13, 14 and 22 represent overprocessing waste. Accordingly, the pipeline includes a simplifica-
tion step, that aims at waste identification and removal. The simplification step includes aggregation of events into higher-level actions. In this way the task traces will be much more compact and concise, and thus easier to translate into a target language.

Given a set of simplified task traces, the next step is to identify candidate routines for automation. This step aims at extracting repetitive sequences of actions that occur across multiple task traces, a.k.a. routines, and identifying which such routines are amenable for automation. The output of this step is a set of automatable or semi-automatable routines, ranked accordingly to their automation potential (e.g. based on their execution frequency and length).

After the candidates for automation are identified, the next step is executable (sub)routine discovery. For each candidate routine, this step identifies the activation condition (events 3 and 20 in Table 1), which indicates when an instance of the routine should be triggered, and the routine specification, which specifies what actions should be performed within that routine.

The executable (sub)routine discovery step leads to a platform-independent representation of the routine, which can then be compiled into a script targeted at a specific RPA tool via a final compilation step. This step generates an executable script by mapping actions from the routine specification into commands in the scripting language of the target RPA tool.

The generated bot can then be executed in attended or unattended settings. In attended settings, given an activation condition extracted from the routine specification, it can notify the user about its “readiness” to perform the routine when the condition is met. It can be paused during execution, so the user can make small corrections if needed and then resume work. In unattended settings, the bot works independently without human involvement.

Let us demonstrate this RPM pipeline on the running example (Table 1):

**Noise filtering.** Events e7, e8, e9, e10, and e11 are noise and must be filtered out from the log.

**Segmentation.** The main goal of the task captured in the running example is to create a new record of a student. Thus, the final event of a task trace is the actual creation of such record, achieved as a result of clicking button “Save”. Thus, there are two task traces:
- Trace 1: e1, e2, e3, e4, e5, e6, e12, e13, e14, e15, e16, e17, e18, e19;
- Trace 2: e20, e21, e22, e23, e24, e25, e26, e27, e28, e29, e30, e31, e32, e33, e34, e35, e36, e37, e38;

**Simplification.** Events e13 and e14 in Trace 1 as well as event e22 in Trace 2 are waste and must be removed. There are three possible events merges:
- Events \{e5, e6\}, \{e15, e16\} and \{e17, e18\} can be merged into Write into text field action with payload p = \{Label, Value\}.
- Events \{e24, e25, e26\}, \{e29, e30, e31\} and \{e34, e35, e36\} can be merged into Paste into text field action with payload p = \{Label, Value\}.
- Events \{e21, e23\}, \{e27, e28\} and \{e32, e33\} can be merged into Copy cell action with payload p = \{Content, Address, Value\}.

**Candidate routines identification.** The actions related to the modification of the Web-form fields occur in both traces. Thus, the corresponding sequence of actions constitutes a routine. Note that Trace 1 contains some actions that cannot be automated (the user fills in the form manually), while Trace 2 consists of automatable actions only.

**Executable (sub)routine discovery.** The activation condition for extracted routine is Click button “New Record” (e3 and e20 of the running example). Fig. 4 presents the New Record Creation routine specification.

**Compilation.** The routine specification is then compiled into RPA script. Here we “translate” each step from the specification model into the specific command in the language of the target RPA tool. Fig. 5 provides an example of script generated from the discovered routine specification.
3 Challenges and Directions

Each step of the RPM pipeline presented in Fig. 3 gives rise to research challenges. Next, we give an overview of some of these challenges and propose approaches to tackle them.

**Recording.** The main challenge in this step is to identify what actions must be recorded. The same action (e.g. mouse click) can either be important or irrelevant in a given context. For example, a mouse click on a button is an important event but a mouse click on the background of a Web page is an irrelevant event. Also, when a worker selects a Web form, we need to record events at the level of the Web page (the Document Object Model – DOM) in order to learn routines at the level of logical input elements (e.g. fields) and not at the level of pixel coordinates, which are dependent on screen resolution, window sizes, etc. Existing UI event recording tools, such as JitBit Macro Recorder[^5], TinyTask[^6], and WinParrot[^7], save all the actions performed by the user at a too low level of granularity, with reference to pixel coordinates (e.g. click mouse at coordinates 748,365). As a result, the UI interaction logs generated by these tools are not suitable for extracting useful routines. RPA tools (e.g. UiPath Enterprise RPA Platform, and Automation Anywhere Enterprise RPA) provide recording functionality. However, this functionality is intended to record RPA scripts. These tools do not capture details about the values of different fields, as these values are not relevant for RPA script generation. Hence, a new family of recording tools is needed to record UI logs required for RPM.

In recent work, [22] introduced a tool to record UI logs in a format that is suitable for RPM. The tool records not only the UI actions (selecting a field, editing a field, copying into or pasting from the clipboard) but also the values associated with these actions (e.g. the value of a field after an editing event). The tool supports MS Excel and Google Chrome. The tool also simplifies the recorded UI logs by removing redundant events (e.g. double-copying without pasting, navigation between cells in Excel without modifying or copying their content). The applicability of such tools, however, is limited to desktop applications that provide APIs for listening to UI events and accessing the data consumed and produced by these events. To achieve a more general solution, it may be necessary to combine this latter approach with OCR technology in order to detect UI events and associated data from application screenshots, as outlined in [25,30].

[^5]: https://www.jitbit.com/macro-recorder/
[^6]: https://www.tinytask.net/
[^7]: http://www.winparrot.com/

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**Fig. 4** New Record Creation routine specification

**Fig. 5** New Record Creation script
Noise filtering. One of the main challenges of this stage is to separate noise from events that contribute to tasks. A possible solution is to treat noise as chaotic events that can happen anywhere during process execution. One technique for filtering out such chaotic events is described in [35]. However, if noise gravitates towards one particular state or set of states in the task (e.g. towards the start or the end of the task), techniques such as the one mentioned above may not discover it and consequently not filter it out. Moreover, some events can be mistakenly removed due to the different ways the same task can be performed and induce what may mistakenly appear to be chaotic sequences of events. Thus, it is important to consider the data perspective, i.e. values of data objects that are manipulated by the actions and events. This way one can identify the events and actions that share the same attribute values (e.g. copying a value from a worksheet and then pasting it in a Web form), or have the same source/origin (e.g. all the actions are performed on the same web site). The events that do not share any data attributes and/or values or originate from different sources most likely constitute noise.

Segmentation. An UI log, in its raw form, consists of one single sequence of events recorded during a session. During this session, a user may have performed several executions of one or of multiple tasks. In other words, an UI log may contain information about several tasks, whose actions and events are mixed in some order that reflects the particular order of their execution by the user. Moreover, the same task can be “spread” across multiple logs, for example if a task is performed by several users working on different work stations. Before identifying candidate routines for automation, we therefore need to segment an UI log into traces, such that each trace corresponds to one execution of a task. We call this step segmentation.

In some scenarios, segmentation may be accomplished by combining transactional data recorded by enterprise information systems together with user interactions logs, as proposed in [25]. For instance, after pressing button “Save” in our running example, the event Create record can be generated, which marks the end point of the current task trace. The problem of this approach, however, is that such transactional data may only provide limited information about the task.

This problem of segmentation is akin to that of Web session mining – widely studied in the field of Web log mining [26] – where the input is a set of clickstreams and the goal is to extract sessions where a user engages with a web application to fulfill a goal. Most of traditional approaches to session identification can be used, however, only in the context of Web interactions, as they are based on Web organization specifics. For example, one of the key concepts they use is that a page must have been reached from a previous page in the same session. However, tasks are usually performed across different systems and applications, and the Web browser is just one of many such applications. An alternative approach is to use time-based heuristics, for instance, to set a limit for total session duration or maximal allowed time difference between two events. This approach is unreliable since users may be involved in different activities when performing the tasks. In addition, the tasks are usually performed in batches, and that increases the difficulty of using time-based heuristics in correct identification of the tasks’ boundaries. As an example, let us take the task of filling in Web forms by copying a data from a spreadsheet. For each row in the spreadsheet, the user creates a new form, copies the required data from a column of that row and pastes it into the corresponding text field, then presses the submit button and starts the task all over again. In this example, the time difference between the end of the first task and the start of the second can be smaller than the time between events of the same task, leading to an incorrect segmentation.

The problem of UI log segmentation is also related to that of correlating uncorrelated event logs in process mining [7, 8, 17]. However, in this previous work, the problem is addressed in restrictive settings. Ferreira et al. [17] address the problem when the process (in our case the routine) does not have cycles/repetitions, whereas Bayomie et al. [7, 8] assume that a process model is given as input, which means that the model of the routine is known. Also, the approaches in [17] and [7] were shown to produce rather inaccurate results, whereas RPM seeks to identify routines with high levels of confidence, given that replicating a routine inaccurately can lead to costly errors, especially in unattended bot contexts.

Simplification. Even if an event belongs to a task, it may still be redundant. For example, the user filled in a text field with a mistake, and then had to fill it in again. In this case, the events that belong to the first time of filling in the text field are redundant. Depending on the context, the same event may be integral part of a routine or it may be redundant. Thus, classical frequency-based filtering approaches, like [11], cannot be applied to address this problem. One of the possible solutions is to use sequential pattern mining techniques to distinguish between events that are part of mainstream behavior and outlier events [32]. However, in case some events are rarely seen during task execution they can be mistakenly treated as outliers. The outlined problem creates a need for semantic filtering. A group
of events can be combined into an action of a higher semantic meaning. The challenge here is to identify the semantic boundaries of an action and attributes to form its payload.

**Candidate routines identification.** The following step can be decomposed into two substeps: 1) Routines extraction; 2) Identification of automatable routines. Each of the presented substeps faces its own challenges.

The first substep aims at identification and extraction of repetitive sequential patterns that represent the execution of routines. One of the challenges is that during routing execution the user can perform other actions that do not constitute a routine. When identifying the routines, such actions have to be ignored. In this regard, sequential pattern mining techniques, in particular the ones that work with gapped patterns [24] can be used. Another challenge is that sometimes the actions that constitute a routine can be performed in random order (e.g. when filling in a Web form). Thus, it is difficult to identify frequently-occurring patterns. One possible solution is to standardize the task traces and then identify repetitive patterns. An alternative is to identify sequential patterns and then cluster them according to the routines they describe. This latter approach is described in [9].

The main goal of the second substep is to identify routines amenable for automation. A discovered routine is considered to be a candidate for automation if this routine is either semi- or fully automatable. In this context, the challenge is how to identify whether the routine is automatable or not. In [18], the authors describe how to assess the automation potential of a task. Frequency of execution of a task is presented as the main criterion for automation. However, if the task is frequent there is no guarantee it is automatable.

Lacity and Willcocks [21] propose high-level guidelines for determining if a task is a candidate for automation in the context of a case study at Telefonica. However, this work does not provide a formal and precise definition of automatable task, which would allow us to automate the identification of automatable routines. In fact, a major challenge is how to formally characterize what makes a routine suitable for RPA, in a sufficiently precise way to enable the design of efficient algorithms to identify candidates for RPA from large volumes of UI logs. One possible solution is to use the notion of determinism. A routine can be automated if every event belonging to the routine is deterministically activated and uses the data produced from the previous actions (e.g. manual input into a text field is an example of a non-deterministic action). The challenge here is to identify non-deterministic events in a UI log, which reflect non-deterministic actions being performed. One of the problems that can arise is the case of partially-automatable routines. For example, somewhere in the middle of a routine a non-deterministic action happens and this action splits the routine into two automatable sub-routines. Thus, it is important to be able to identify automatable sub-routines. We also observe that not every routine is worth to be automated. Automation of one routine can bring much more benefits than automation of another. Thus, the cost-benefit analysis of routine automation is an important task [21].

**Executable routines discovery.** Given a set of routine traces, discovery consists in constructing a routine specification that encodes the routine traces in the form of a control-flow model enhanced with data flow. The challenge here is that there may be multiple (alternative) ways of performing the same routine, e.g. multiple workers may perform the same routine differently. Hence, when discovering a routine specification, we need to focus on capturing all the preconditions under which the routine should be triggered and the effects (postconditions) of the routine. This calls for dedicated quality measures for routine specifications, which capture the extent to which the preconditions and effects of the observed routine traces are covered by a given routine specification. Also, in case two different routine traces describe the same effects, one may want to pick the optimal way of performing the routine. Searching for the best alternative variant of a routine is a challenging task.

Another challenge of executable (sub)routine discovery stems from the fact that some repetitive routines may be triggered only under certain conditions. For example, when a purchase order is of type “retail-EU”, then a certain sequence of actions is performed in order to comply with specific EU regulations and this sequence of actions corresponds to a repetitive routine that can be automated. On the other hand, when the order is of type “retail-US” another routine is performed. Or, alternatively, we might find that the handling of orders of type “retail-EU” follows some specified sequence of steps (that can be captured via an executable process model), whereas for “retail-CN”, the handling of the order is ad-hoc and no regularity can be found. Therefore, handling of “retail-EU” orders can be automated by means of an executable model, whereas processing of “retail-CN” orders cannot. Recent work [10] has put forward the idea of using rule mining techniques, such as RIPPER, to discover conditions under which a given routine can be automated. However, the applicability of these techniques on real-life RPM scenarios has yet to be tested, and is likely to raise scalability and robustness challenges.
Another challenge in this step is to discover the data transformations that occur within each action in a routine. Indeed, if we want an RPA bot to reproduce the actions of a routine, we need to encode in the bot’s script how the parameters of each action are computed from the routine’s input parameters or from the parameters of previous actions in the routine. Recent work [10] suggests that this step in the discovery of executable routines can be implemented using existing methods for automated discovery of data transformations “by example” [3, 20]. However, these methods suffer from scalability issues. In addition, their scope (i.e. the types of transformations they can discover) is rather limited. Thus, new advances in the field of automated discovery of data transformations are needed to make data transformation applicable in the context of RPM.

Compilation. Given a routine specification, the compilation step aims to generate an executable RPA script that implements the specification. This step requires the correct identification of the application elements involved during routine execution (e.g. button or text field on the Web form). For example, when converting an action of clicking a button on a Web page into an executable command, we need to identify the HTML element that represents this button and extract its DOM position. Such information can be recorded by a logger during the recording step. However, sometimes this information may be missing. For example, some of the Web elements (e.g. links) do not have any identifiers that can be used to locate them on the page. In cases when Web sites are created dynamically and consist of a large amount of nested containers it is very difficult to extract the correct location of the element. When working with custom applications without an API, it may not be possible to identify the type of an event correctly. Therefore, an intelligent recognition of the elements is required. In this regard, technologies such as OCR may be used, but the challenge here is to preserve the semantics of the actions recorded and to capture all the data involved during their execution.

4 Related Work

The discovery of candidate routines for automation via RPA tools is so far a largely unexplored problem. Recent work [23] sketched an approach to identify passages in textual descriptions of business processes (e.g. work instructions) that might refer to tasks amenable for automation. This approach, however, may lead to imprecise results due to the complexity of natural language analysis. Also, it requires textual documentation of suitable quality and completeness, and assumes that tasks are performed exactly as documented. In reality, workers may perform steps that are not fully documented in order to deal with exceptions and variations. Hence, a task that might appear as automatable according to its work instructions might turn out not to be a automatable in practice.

Another body of related work includes approaches for auto-completing Web forms with default values or predicted values [19]. These approaches help users during manual form filling, but they do not automate routines in the way RPA tools do.

In addition to the above work, the RPM vision presented in this paper is related to other sub-fields of data mining that seek to discover behavioral models from different types of logs. Below, we discuss the relations between RPM and three such fields, namely process mining, web usage mining, and user interface (UI) log mining.

Process mining. RPM can be positioned as an extension of the field of process mining [1]. RPM can be seen as a subset of the broader field of process mining. Specifically, discovering RPA routines is closely related to the problem of Automated Process Discovery (APD), which has been widely studied in the field of process mining. The purpose of APD techniques is to discover business process models from event logs recording the execution of tasks in enterprise systems. A significant subset of APD algorithms focus on discovering process models from a control-flow perspective [6]. This subset of APD algorithms does not consider the data that is taken as input and produced as output by the tasks of the process, nor the data used by a process execution engine to evaluate branching conditions. Another subset of APD techniques target the problem of discovering process models with data-driven branching conditions [12] as well as control-flow relations that only hold under certain conditions [27]. These latter techniques provide a starting point for developing techniques for discovering RPA routines. Indeed, in order to discover RPA routines, we need to discover the activation conditions that trigger a routine, and possibly also other conditions within the routine. Another subset of APD techniques focus on discovering simulation models [28]. The latter type of models can be given as input to business process simulators, which execute them in a stochastic sense.

Notwithstanding the rich body of work in the field of process mining, we are not aware of techniques that discover executable process models ready to be deployed or compiled (without significant manual enhancement) into a business process execution engine. In particular, we are not aware of any work on automated process discovery that tries to discover the data transformations...
(i.e. mappings between inputs and outputs) in automatically discovered process models. Yet, these data transformations are essential to discover process models that can be executed by a process execution engine or by an RPA tool.

There are similarities between UI logs and event logs used in process mining. Specifically, both types of logs consist of timestamped records, such that each record refers to the execution of an action (or task) by a user. Also, each record may contain a payload consisting of one or more attribute-value pairs. Some commercial process mining vendors have exploited the similarities between UI logs and business process event logs in order to offer RPM-related features. For example, the Minit\(^8\) process mining tool provides a multi-level process discovery feature to support some RPM tasks. Specifically, given an event log recording the execution of tasks and a UI log, Minit is able to generate a two-level process map. The first level shows the tasks recorded in the log extracted from the enterprise system. Each task can be expanded into a second-level process map showing the UI actions and their control-flow relations. In this way, the tool supports the (visual) identification of tasks that have relatively simple internal structures and could, therefore, be potentially automated. However, it cannot determine if a task contains fully deterministic (sub-)routines nor can it produce executable specifications of deterministic routines. Also, the tool assumes that there is a clear relation between the events in the UI log and those in the business process event log. In other words, it does not address the segmentation step in the RPM pipeline.

Another commercial tool, namely Kryon Process Discovery,\(^9\) identifies candidate routines for RPA by analyzing UI logs in conjunction with screenshots taken while users perform their work on one or more applications. However, the candidate routines that Kryon identifies may or may not be automatable, depending on the actual data values that users have entered. If the data values that are entered in a particular step cannot be determined from the values of previously observed values, it means that the user is providing inputs either from external data sources (not observed in the UI) or from their own domain knowledge, and hence that step of the routine is not automatable. In other words, not all routines that are identified as candidates for automation by this tool can be automated.

While there are similarities between UI logs on the one hand, and event logs used for process mining on the other hand, there are four some notable differences. First, event logs capture events at a higher level of abstraction. Specifically a record in an event log typically refers to the execution of an entire task within a business process, such as Check purchase order or Transfer student records. Such tasks can be seen as a composition of lower-level actions, which may be recorded in an UI log. For example, task Transfer student records may involve multiple actions to copy the records associated with a student (name, surname, address, course details) from one application to another. Second, UI logs do not come with a notion of case identifier (or process instance identifier), whereas event logs typically do. In other words, events in a UI log are not explicitly correlated, and for this reason, they may need to be segmented as discussed in Section 2.3. Third, a record in an event log often does not contain all input or output data used or produced during the execution of the corresponding task. For example, a record in an event log corresponding to an execution of task Transfer student records, is likely not to contain all attributes of the corresponding student (e.g. address). Meanwhile, the presence of every input and output attribute in an UI log is necessary for RPM purposes. If some input or output attributes are missing in the UI log, the resulting routine specification would be incomplete, and hence the resulting RPA bot would not perform the routine correctly. A fourth difference is that event logs are typically obtained as a by-product of transactions executed in an information system, rather than being explicitly recorded for analysis purposes. The latter characteristic entails that event logs are more likely to suffer from incompleteness, including missing attributes as discussed above, but also missing events. For example, in a patient treatment process in a hospital, it may be that the actual arrival of the patient to the emergency room is not recorded when a patient arrives by themselves, but it is recorded when a patient arrives via an ambulance. In other words, the presence or absence of an event in an event log depends on whether or not the information system is designed to record it, and whether or not the workers actually record it. Meanwhile, an UI log is recorded specifically for analysis purposes, which allows all relevant events to be collected subject to the capabilities of the UI recording tool.

Web usage mining. Web usage mining seeks to discover and analyze sequential patterns in Web data, such as click streams capturing user interactions with Web applications [34]. Analyzing such data can help to optimize the functionality of Web-based applications, provide personalized content to users, and find the most effective logical structure for Web pages [26]. Web usage mining works with data at a similar level of granularity as RPM. Also, the data manipulated in Web log mining is often uncorrelated, meaning that it repre-

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8 https://www.minit.io/
9 https://www.kryonsystems.com/process-discovery/
sents a sequence of actions performed throughout several sessions without explicit assignment of actions to a specific session. Given these similarities, Web usage mining techniques could provide a starting point to realize an RPM pipeline. For example, Web mining techniques for extracting sessions from Web logs could be adapted to address the problem of segmentation discussed above. On the other hand, Web usage mining techniques do not address the problem of discovering candidate routines for automation. Also, RPM differs from Web usage mining in that it is not restricted to Web applications.

UI log mining. The proposed RPM vision is also related to the topic of UI log mining. In the context of desktop assistants, research proposals such as TaskTracer and TaskPredictor have tackled the problem of analyzing UI logs generated by desktop applications in order to identify the current task performed by a user and to detect switches between one task and another [15,33]. Other related work in this area has tackled the problem of task identification and classification from Desktop app UI logs [29,31] as well as the problem of extracting frequent sequences of actions from noisy UI logs [13] (which could constitute candidate routines for automation). With respect to the previously cited research, the novelty of RPM is that it seeks to discover executable routine specifications by analyzing UI logs that include inputs and outputs of actions (e.g. data copied to or pasted from the clipboard, data entered into cells), as opposed to purely considering sequences of actions without the associated data.

5 Conclusion

We have exposed a vision for a new class of process mining tools, namely RPM tools, capable of analyzing event logs of fine-grained user interactions with IT systems in order to identify routines that can be automated using RPA tools. As a first step to concretize this vision, we decomposed this vision into a pipeline and sketched challenges that need to be overcome to implement each of the pipeline’s components. We also illustrated possible directions to tackle these challenges.

The proposed RPM pipeline focuses on the discovery of routines that can be executed in an end-to-end manner by an RPA bot. This assumption is constraining. In reality, routines may be automated for a certain subset of cases, but not for all cases (i.e. automation may only be partially achievable). A key challenge beyond the proposed RPM pipeline is how to discover partially deterministic routines. While a fully deterministic routine can be executed end-to-end in all cases, a partially deterministic routine can be stopped if the bot reaches a point where the routine cannot be deterministically continued given the input data and other data that the bot collects during the routine’s execution, e.g. while copying records of purchase orders from a spreadsheet or an enterprise system, the bot detects that this order comes from China, so it stops because it does not know how to handle such orders, or it does not find a PO number (empty cell), and hence it cannot proceed. Discovering conditions under which a routine cannot be deterministically continued (or started) is a major challenge for RPM.

The vision of RPM exposed in this paper focuses on discovering automatable routines, which is only one of a broader set of RPM operations that we foresee, namely robotic process discovery. Besides robotic process discovery, we envision that the field of RPM will encompass complementary problems and questions such as performance mining of RPA bots, e.g. “What is the success or defect rate of a bot when performing a given routine?”, “What patterns are correlated with or are causal factors of bot failures?”, as well as anomaly detection problems, e.g. are there cases where the behavior of the bot or the effects of the bot’s action are abnormal and hence warrant manual inspection and rectification?

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