INFINITE WORDS WITH FINITE DEFECT

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Abstract. In this paper, we provide a new characterization of uniformly recurrent words with finite defect based on a relation between the palindromic and factor complexity. Furthermore, we introduce a class of morphisms $P_{ret}$ closed under composition and we show that a uniformly recurrent word with finite defect is an image of a rich (also called full) word under a morphism of class $P_{ret}$. This class is closely related to the well-known class $P$ defined by Hof, Knill, and Simon; every morphism from $P_{ret}$ is conjugate to a morphism of class $P$.

1. Introduction

The upper bound $|w| + 1$ on the number of palindromes occurring in a finite word $w$ given by X. Droubay, J. Justin, and G. Pirillo in [10] initiated many interesting investigations on palindromes in infinite words as well. An infinite word for which the upper bound is attained for any of its factors is called rich or full. There exist several characterizations of rich words based on the notion of complete return words [12], on the longest palindromic suffix and prefix of a factor [10, 7], on the palindromic and factor complexity [6] and most recently on the bilateral orders of factors [3]. Brlek et al. suggested in [5] to study the defect of a finite word $w$ defined as the difference between the upper bound $|w| + 1$ and the actual number of palindromes contained in $w$. The defect of an infinite word is then defined as the maximal defect of a factor of the infinite word. In this convention, rich words are precisely the words with zero defect. In this paper we focus on uniformly recurrent words with finite defect. Let us point out that periodic words with finite defect have been already described in [5] and in [12]. In Section 2 we introduce notation and summarize known results on rich words and words with finite defect. In Section 3 the notion of oddities and the characterization of uniformly recurrent words with finite defect based on oddities from [12] is recalled and, as an immediate consequence, two more useful characterizations are deduced. The main result is a new characterization of uniformly recurrent words with finite defect based on a relation between the palindromic and factor complexity, see Theorem 4.1 in Section 4. Furthermore, we introduce a class of morphisms $P_{ret}$ closed under composition of morphisms and we show that a uniformly recurrent word with finite defect is an image of a rich word under a morphism of class $P_{ret}$, see Theorem 5.5 in Section 5. This class is closely related to the well-known class $P$ defined by Hof, Knill, and Simon in [13]; every morphism from $P_{ret}$ is conjugate to a morphism of class $P$.

2. Preliminaries

By $A$ we denote a finite set of symbols, usually called letters; the set $A$ is therefore called an alphabet. A finite string $w = w_0w_1 \ldots w_{n-1}$ of letters of $A$ is said to be a finite word, its length
is denoted by $|w| = n$. Finite words over $A$ together with the operation of concatenation and the empty word $\epsilon$ as the neutral element form a free monoid $A^*$. The map

$$w = w_0w_1\ldots w_{n-1} \quad \mapsto \quad \overline{w} = w_{n-1}w_{n-2}\ldots w_0$$

is a bijection on $A^*$, the word $\overline{w}$ is called the reversal or the mirror image of $w$. A word $w$ which coincides with its mirror image is a palindrome.

Under an infinite word we understand an infinite string $u = u_0u_1u_2\ldots$ of letters from $A$. A finite word $w$ is a factor of a word $v$ (finite or infinite) if there exist words $p$ and $s$ such that $v = pws$. If $p = \epsilon$, then $w$ is said to be a prefix of $v$, if $s = \epsilon$, then $w$ is a suffix of $v$.

The language $L(u)$ of an infinite word $u$ is the set of all its factors. Factors of $u$ of length $n$ form the set denoted by $L_n(u)$. Clearly, $L(u) = \bigcup_{n \in \mathbb{N}} L_n(u)$. We say that the language $L(u)$ is closed under reversal if $L(u)$ contains with every factor $w$ also its reversal $\overline{w}$.

For any factor $w \in L(u)$, there exists an index $i$ such that $w$ is a prefix of the infinite word $u_0u_1u_2\ldots$. Such an index is called an occurrence of $w$ in $u$. If each factor of $u$ has infinitely many occurrences in $u$, the infinite word $u$ is said to be recurrent. It is easy to see that if the language of $u$ is closed under reversal, then $u$ is recurrent (a proof can be found in [12]). For a recurrent infinite word $u$, we may define the notion of a complete return word of any $w \in L(u)$. It is a factor $v \in L(u)$ such that $w$ is a prefix and a suffix of $v$ and $w$ occurs in $v$ exactly twice. Under a return word of a factor $w$ is usually meant a word $q \in L(u)$ such that $qw$ is a complete return word of $w$. If any factor $w \in L(u)$ has only finitely many return words, then the infinite word $u$ is called uniformly recurrent. If $u$ is a uniformly recurrent word, we can assign to any $n \in \mathbb{N}$ the minimal number $R_u(n) \in \mathbb{N}$ such that we have for any $v \in L(u)$ with $|v| \geq R_u(n)$

$$\{w \mid |w| = n, \; w \text{ is a factor of } v \} = L_n(u),$$

or equivalently, any piece of $u$ which is longer than or equal to $R_u(n)$ contains already all factors of $u$ of length $n$. The map $n \to R_u(n)$ is usually called the recurrence function of $u$. In particular, any fixed point of a primitive morphism is uniformly recurrent, where a morphism $\varphi$ over an alphabet $A$ is primitive if there exists an integer $k$ such that for every $a \in A$ the $k$-th iteration $\varphi^k(a)$ contains all letters of $A$.

The factor complexity of an infinite word $u$ is a map $C : \mathbb{N} \to \mathbb{N}$ defined by the prescription $C(n) := \#L_n(u)$. To determine the first difference of the factor complexity, one has to count the possible extensions of factors of length $n$. A right extension of $w \in L(u)$ is any letter $a \in A$ such that $wa \in L(u)$. Of course, any factor of $u$ has at least one right extension. A factor $w$ is called right special if $w$ has at least two right extensions. Similarly, one can define a left extension and a left special factor. We will deal only with recurrent infinite words $u$. In this case, any factor of $u$ has at least one left extension. We say that $w$ is a bispecial factor if it is right and left special.

In our article we focus on words in some sense opulent in palindromes, therefore we will introduce several notions connected with palindromic factors.

The defect $D(w)$ of a finite word $w$ is the difference between the utmost number of palindromes $|w|+1$ and the actual number of palindromes contained in $w$. Finite words with zero defects – called rich words – can be viewed as the most saturated by palindromes. This definition may be extended to infinite words as follows.

**Definition 2.1.** An infinite word $u = u_0u_1u_2\ldots$ is called rich, if for any index $n \in \mathbb{N}$ the prefix $u_0u_1u_2\ldots u_{n-1}$ of length $n$ contains exactly $n+1$ different palindromes.

**Remark 2.2.** We keep here the terminology introduced by Glen et al. in [12] in 2007, which seems to us to be prevalent nowadays. However, Brlek et al. in [5] baptized such words full already in 2004.
Let us remark that not only all prefixes of rich words are rich, but also all factors are rich. A result from [10] will provide us with a handful tool which helps to evaluate the defect of a factor.

**Proposition 2.3 ([10]).** A finite or infinite word $u$ is rich if and only if the longest palindromic suffix of $w$ occurs exactly once in $w$ for any prefix $w$ of $u$.

The longest palindromic suffix of a factor $w$ will occur often in our considerations, therefore we will denote it by $lps(w)$. In accordance with the terminology introduced in [10], the factor with a unique occurrence in another factor is called **unioccurrent**. From the proof of the previous proposition directly follows the next corollary.

**Corollary 2.4.** The defect $D(w)$ of a finite word $w$ is equal to the number of prefixes $w'$ of $w$, for which the longest palindromic suffix of $w'$ is not unioccurrent in $w'$.

This corollary implies that $D(v) \geq D(w)$ whenever $w$ is a factor of $v$. It enables to give a reasonable definition of the defect of an infinite word (see [5]).

**Definition 2.5.** The defect of an infinite word $u$ is the number (finite or infinite)

$$D(u) = \sup \{ D(w) \mid w \text{ is a prefix of } u \}.$$ 

Let us point out several facts concerning defects that are easy to prove:

1. If we consider all factors of a finite or an infinite word $u$, we obtain the same defect, i.e.,

$$D(u) = \sup \{ D(w) \mid w \in \mathcal{L}(u) \}.$$ 

2. Any infinite word with finite defect contains infinitely many palindromes.

3. Infinite words with zero defect correspond exactly to rich words.

Periodic words with finite defect have been studied in [5] and in [12]. It holds that the defect of an infinite periodic word with the minimal period $w$ is finite if and only if $w = pq$, where both $p$ and $q$ are palindromes. In [12] words with finite defect have been baptized **almost rich** and the richness of a word was described using complete return words.

**Proposition 2.6 ([12]).** An infinite word $u$ is rich if and only if all complete return words of any palindrome are palindromes.

The authors of [10] who were the first ones to tackle this problem showed that Sturmian and episturmian words are rich. In [5], an insight into the richness of periodic words can be found.

The number of palindromes of a fixed length occurring in an infinite word is measured by the so called **palindromic complexity** $P$, a map which assigns to any non-negative integer $n$ the number

$$P(n) := \# \{ w \in \mathcal{L}_n(u) \mid w \text{ is a palindrome} \}.$$ 

The palindromic complexity is bounded by the first difference of factor complexity. The following proposition is proven in [2] for uniformly recurrent words, however the uniform recurrence is not needed in the proofs, thus it holds for any infinite words with language closed under reversal.

**Proposition 2.7 ([2]).** Let $u$ be an infinite word with language closed under reversal. Then

$$(1) \quad P(n) + P(n + 1) \leq C(n + 1) - C(n) + 2,$$

for all $n \in \mathbb{N}$.

It is shown in [6] that this bound can be used for the characterization of rich words as well. The following proposition states this fact.
Proposition 2.8 ([6]). An infinite word $u$ with language closed under reversal is rich if and only if the equality in (1) holds for all $n \in \mathbb{N}$.

The most recent characterization of rich words given in [3] exploits the notion of the bilateral order $b(w)$ of a factor and the palindromic extension of a factor. The bilateral order was introduced in [8] as $b(w) = \#\left\{ awb | awb \in L(u), a, b \in A \right\} - \#\left\{ aw | aw \in L(u), a \in A \right\} - \#\left\{ wb | wb \in L(u), b \in A \right\} + 1$. The set of palindromic extensions of a palindrome $w \in L(u)$ is defined by $Pext(w) = \{ awa | awa \in L(u), a \in A \}$.

Proposition 2.9 ([3]). An infinite word $u$ with language closed under reversal is rich if and only if any bispecial factor $w$ satisfies:

- if $w$ is non-palindromic, then $b(w) = 0$,
- if $w$ is a palindrome, then $b(w) = \#\left\{ \text{Pext}(w) \right\} - 1$.

3. Characterizations of words with finite defect

Uniformly recurrent words with finite defect are characterized using the notion of oddities in Proposition 4.8 from [12]. It is based on the following lower bound.

Proposition 3.1 (Proposition 4.6 [12]). For any infinite word $u$ it holds

$$D(u) \geq \#\left\{ \{v, \overline{v}\} \mid v \neq \overline{v} \text{ and } v \text{ or } \overline{v} \text{ is a complete return word in } u \text{ of a palindrome } w \right\}.$$

The set $\{v, \overline{v}\}$ is called an oddity. It is clear that for uniformly recurrent words with a finite number of distinct palindromes, the defect is infinite, however the number of oddities is finite. Moreover, even for uniformly recurrent words with infinitely many palindromes, it can hold

$$D(u) > \#\left\{ \{v, \overline{v}\} \mid v \neq \overline{v} \text{ and } v \text{ or } \overline{v} \text{ is a complete return word in } u \text{ of a palindrome } w \right\}.$$

We take an example for this situation from [12].

Example 3.2. Let $u = (abcabcaabcb)^\omega$, where $\omega$ denotes an infinite repetition, then $D(u) = 4$, but the number of oddities is equal to 3.

However, the defect of an aperiodic word can also exceed the number of oddities. For instance, if we replace in Example 3.5 the substitution $\sigma$ with $0 \rightarrow cabcabcaacb$, $1 \rightarrow d$, then it is easy to show that $D(u) = 4$, but the number of oddities is 3.

We can now recall the characterization of words with finite defect based on oddities.

Proposition 3.3 (Proposition 4.8 [12]). A uniformly recurrent word $u$ has infinitely many oddities if and only if $u$ contains infinitely many palindromes and $D(u) = \infty$.

As an immediate consequence of Proposition 3.3, we obtain the following characterizations of infinite words with finite defect.

Theorem 3.4. Let $u$ be a uniformly recurrent word containing infinitely many palindromes. Then the following statements are equivalent:

1. $D(u) < \infty$,
2. $u$ has a finite number of oddities,
3. there exists an integer $K$ such that all complete return words of any palindrome from $L(u)$ of length at least $K$ are palindromes,
4. there exists an integer $H$ such that for any prefix $f$ of $u$ with $|f| \geq H$ the longest palindromic suffix of $f$ is unioccurrent in $f$. 
Proof. 1. and 2. are equivalent by Proposition 3.3. It follows directly from the definition of oddities that 2. and 3. are equivalent. Corollary 2.4 implies that 1. and 4. are equivalent.

It is easy to see that the last statement of Theorem 3.4 can be equivalently rewritten as:

There exists an integer \( H \) such that for any factor \( f \) of \( u \) with \( |f| \geq H \) the longest palindromic suffix of \( f \) is unoccurrent in \( f \).

Let us stress that if we put in the previous theorem \( D(u) = K = H = 0 \), the points 1., 3., and 4. become known results on rich words, see Propositions 2.6 and 2.3.

Example 3.5. Let us provide an example of a uniformly recurrent word \( u \) with finite defect and let us find for \( u \) the lowest values of constants \( K \) and \( H \) from Theorem 3.4. Take the Fibonacci word \( v \), i.e., the fixed point of \( \varphi : 0 \rightarrow 01, 1 \rightarrow 0 \). Define \( u \) as its morphic image \( \sigma(v) \), where \( \sigma : 0 \rightarrow \text{cabcbac}, 1 \rightarrow d \).

It is easy to show that all palindromes of length greater than 1 and the palindromes \( a, b, \) and \( d \) have only palindromic complete return words. Hint: long palindromes in \( u \) contains in their center images of non-empty palindromes from \( v \) that have palindromic complete return words by the richness of \( v \). The only non-palindromic complete return of \( c \) is \( \text{cabc} \), thus there is exactly one oddity \( \{\text{cabc, cbac}\} \). In order to show that \( D(u) = 1 \), it suffices to verify that no prefixes longer than \( \text{cabc} \) have \( c \) as their longest palindromic suffix. This follows directly from the form of \( \sigma \). The lowest values of the constants \( K \) and \( H \) are: \( K = 2, H = 5 \).

4. Palindromic complexity of words with finite defect

The aim of this section is to prove the following new characterization of infinite words with finite defect based on a relation between the palindromic and factor complexity.

Theorem 4.1. Let \( u \) be a uniformly recurrent word. Then \( D(u) < \infty \) if and only if there exists an integer \( N \) such that

\[
P(n) + P(n + 1) = C(n + 1) - C(n) + 2
\]

holds for all \( n \geq N \).

Notice that if we set \( N = 0 \) in the previous theorem, then we obtain the known characterization of rich words from Proposition 2.8 (which holds even under a weaker assumption that \( \mathcal{L}(u) \) is closed under reversal).

In the sequel, we will prove two propositions that together with the equivalent characterizations of words with finite defect from Theorem 3.4 imply Theorem 4.1. As we have already mentioned, all words with language closed under reversal satisfy the inequality in Proposition 2.7. A direct consequence of its proof given in [2] is a necessary and sufficient condition for the equality in (1). To formulate this condition in Lemma 4.2, we introduce two auxiliary notions.

Let \( u \) be an infinite word with language closed under reversal and let \( n \) be a given positive integer.

An \( n \)-simple path \( e \) is a factor of \( u \) of length at least \( n + 1 \) such that the only special (right or left) factors of length \( n \) occurring in \( e \) are its prefix and suffix of length \( n \). If \( w \) is the prefix of \( e \) of length \( n \) and \( v \) is the suffix of \( e \) of length \( n \), we say that the \( n \)-simple path \( e \) starts in \( w \) and ends in \( v \).

We will denote by \( G_n \) an undirected graph whose set of vertices is formed by unordered pairs \( (w, w) \) such that \( w \in \mathcal{L}_n(u) \) is right or left special. We connect two vertices \( (w, \overline{w}) \) and \( (v, \overline{v}) \) by an unordered pair \( (e, \overline{e}) \) if \( e \) or \( \overline{e} \) is an \( n \)-simple path starting in \( w \) or \( \overline{w} \) and ending in \( v \) or \( \overline{v} \).
Lemma 4.2. Let \( u \) be an infinite word with language closed under reversal. The equality in (1) holds for an integer \( n \in \mathbb{N} \) if and only if both of the following conditions are met:

1. The graph \( G_n \) after removing loops is a tree.
2. Any \( n \)-simple path forming a loop in the graph \( G_n \) is a palindrome.

Proposition 4.3. Let \( u \) be an infinite word with language closed under reversal. Suppose that there exists an integer \( N \) such that for all \( n \geq N \) the equality \( \mathcal{P}(n) + \mathcal{P}(n + 1) = \mathcal{C}(n + 1) - \mathcal{C}(n) + 2 \) holds. Then the complete return words of any palindromic factor of length \( n \geq N \) are palindromes.

Proof. Assume the contrary: Let \( p = p_1p_2 \ldots p_k \) be a palindrome with \( k \geq N \) and let \( v \) be its complete return word which is not a palindrome. Clearly \(|v| > 2|p|\). Then there exist a factor \( f \) (possibly empty) and two different letters \( x \) and \( y \) such that \( v = pfxyy\bar{f}p \).

Let us consider the graph \( G_n \), where \( n \) is the length of the factor \( w := pf \), i.e., \( n \geq N \). Since the language of \( u \) is closed under reversal, the factor \( w \) is right special - the letters \( x \) and \( y \) belong to its right extensions. If the complete return word \( v \) contains no other right or left special factors, then the non-palindromic \( v \) is an \( n \)-simple path which starts in \( w = pf \) and ends in \( \bar{w} = \bar{f}p \) - a contradiction with the condition 2. in Lemma 4.2.

Let \( v \) contain other left or right special factors of length \( n \). We find the prefix of \( v \) which is an \( n \)-simple path. This simple path starts in \( w \), its ending point is a special factor, we denote it by \( A \). Since \( v \) is a complete return word of \( p \), we have \( A \neq w, \bar{w} \). So in the graph \( G_n \), the vertices \((w, \bar{w})\) and \((A, \bar{A})\) are connected with an edge. Similarly, we find the suffix of \( v \) which is an \( n \)-simple path and we denote its starting point by \( B \), its ending point is \( \bar{w} \). Again, \( B \neq w, \bar{w} \), the vertices \((w, \bar{w})\) and \((B, \bar{B})\) are connected with an edge. So in \( G_n \) we have a path with two edges which connects \((A, \bar{A})\) and \((B, \bar{B})\) and the vertex \((w, \bar{w})\) is its intermediate vertex.

The special factors \( A \) and \( B \) are factors of \( p_2 \ldots p_k xy y \bar{f} p_k \ldots p_2 \), it means that in the graph \( G_n \) there exists a walk, and therefore a path\(^1\) as well, between the vertices \((A, \bar{A})\) and \((B, \bar{B})\) which does not use the vertex \((w, \bar{w})\).

Finally, if \((A, \bar{A})\) and \((B, \bar{B})\) coincide, then we have in \( G_n \) a multiple edge between \((A, \bar{A})\) and \((w, \bar{w})\). If \((A, \bar{A}) \neq (B, \bar{B})\), then in \( G_n \) we have two different paths connecting \((A, \bar{A})\) and \((B, \bar{B})\). Together, \( G_n \) is not a tree after removing loops - a contradiction with the condition 1. in Lemma 4.2.

\( \square \)

Lemma 4.4. Let \( u \) be an infinite word whose language is closed under reversal. Let \( u \) have the following property: there exists an integer \( H \) such that for any factor \( f \in \mathcal{L}(u) \) with \(|f| \geq H \) the longest palindromic suffix of \( f \) is unioccurrent in \( f \). Let \( w \) be a non-palindromic factor of \( u \) with \(|w| \geq H \) and \( v \) be a palindromic factor of \( u \) with \(|v| \geq H \). Then

- occurrences of \( w \) and \( \bar{w} \) in \( u \) alternate, i.e., any complete return word of \( w \) contains the factor \( \bar{w} \).
- any factor \( e \) of \( u \) with a prefix \( w \) and a suffix \( \bar{w} \), which has no other occurrences of \( w \) and \( \bar{w} \), is a palindrome,
- any complete return word of \( v \) is a palindrome.

\(^1\)Along a walk vertices may occur with repetition, in a path any vertex appears at most once.
Proof. Consider a non-palindromic factor \( w \) such that \( |w| \geq H \). Let \( f \) be a complete return word of \( w \). Since \( |w| \geq H \), its complete return word satisfies \( |f| \geq H \). According to the assumption, \( lps(f) \), the longest palindromic suffix of \( f \), is unioccurrent in \( f \). Its length satisfies necessarily \( |lps(f)| \geq |w| \) - otherwise a contradiction with the uniocurrence of \( lps(f) \). Clearly, the palindrome \( lps(f) \) has a suffix \( w \) and thus a prefix \( w \), i.e., the complete return word \( f \) of \( w \) contains \( w \) as well. Moreover, we have proven that any factor \( e \), which has a prefix \( w \) and a suffix \( w \) and which has no other occurrences of \( w \) and \( w \), is the longest palindromic suffix of a complete return word of \( w \), therefore \( e = lps(f) \), i.e., the factor \( e \) is a palindrome.

Consider a palindromic factor \( v \), its complete return word \( f \) and the longest palindromic suffix of \( f \). Since \( v \) is a palindromic suffix of \( f \), necessarily \( |lps(f)| \geq |v| \). As \( |v| \geq H \), \( lps(f) \) is unioccurrent in \( f \). Hence, \( |lps(f)| \geq |v| \). If \( lps(f) \) is shorter than the whole \( f \), then the complete return word \( f \) contains at least three occurrences of \( w \) - a contradiction. Thus, \( lps(f) = f \), i.e., \( f \) is a palindrome.

Proof. Let \( u \) be an infinite word whose language is closed under reversal. Let \( u \) have the following property: there exists an integer \( H \) such that for any factor \( f \in \mathcal{L}(u) \) with \( |f| \geq H \) the longest palindromic suffix of \( f \) is unioccurrent in \( f \). Then

\[
2 + C(n + 1) - C(n) = \mathcal{P}(n + 1) + \mathcal{P}(n) \quad \text{for any } n \geq H.
\]

Proposition 4.5. Let \( u \) be an infinite word whose language is closed under reversal. Let \( u \) have the following property: there exists an integer \( H \) such that for any factor \( f \in \mathcal{L}(u) \) with \( |f| \geq H \) the longest palindromic suffix of \( f \) is unioccurrent in \( f \). Then

\[
2 + C(n + 1) - C(n) = \mathcal{P}(n + 1) + \mathcal{P}(n) \quad \text{for any } n \geq H.
\]

Proof. We have to show that both conditions of Lemma 4.2 are satisfied for any \( n \geq H \).

The condition 1.: Let \( (w, w) \) and \( (v, v) \) be two distinct vertices in the graph \( G_n \), where \( n \geq H \). We say that an unordered couple \((f, f)\) is a realization of a path between these two vertices if

- either the factor \( f \) or the factor \( f \) has the property: \( w \) or \( w \) is its prefix and \( v \) or \( v \) is its suffix,
- there exist indices \( i, \ell \in \mathbb{N}, i < \ell \) such that either the factor \( f \) or the factor \( f \) coincides with the factor \( u_i u_{i+1} \ldots u_{\ell} \) and factors \( w, w, v, v \), and \( v, v \) do not occur in \( u_{i+1} \ldots u_{\ell-1} \).

The number \( i \) is called an index of the realization \((f, f)\).

Since \( u \) is recurrent, there exists at least one realization for any pair of vertices \((w, w)\) and \((v, v)\) and any realization has infinitely many indices. Consider a realization \((f, f)\) and its index \( i \). WLOG \( f = u_i u_{i+1} \ldots u_{\ell} \) and \( w \) is a prefix of \( f \) and \( v \) a suffix of \( f \). Since \( u \) is recurrent, we can find the smallest index \( m > \ell \) such that \( u' = u_i u_{i+1} \ldots u_{\ell} \ldots u_m \) has a suffix \( w \). According to Lemma 4.4, \( u' \) is a palindrome. Therefore its suffix of length \( |f| \) is exactly \( f \). This means that the index \( m - |f| + 1 \) is an index of the same realization of a path between \((w, w)\) and \((v, v)\). As the factor \( u_i u_{i+1} \ldots u_m \) does not contain neither the factor \( w \) nor \( w \), no index \( j \) strictly between \( i \) and \( m - |f| + 1 \) is an index of any realization of a path between \((w, w)\) and \((v, v)\).

We have shown that between any pair of two consecutive indices of one specific realization \((f, f)\) of a path between \((w, w)\) and \((v, v)\) there does not exist any index of any other realization \((g, g)\) of a path between \((w, w)\) and \((v, v)\). This means that there exists a unique realization of a path between \((w, w)\) and \((v, v)\), which implies that in the graph \( G_n \) there exists a unique path between vertices \((w, w)\) and \((v, v)\). Since this is true for all pairs of vertices of \( G_n \), the graph \( G_n \) after removing loops is a tree.

The condition 2.: Let \( w \in \mathcal{L}(u) \) be a special factor (palindromic or non-palindromic) with \( |w| = n \geq H \). An \( n \)-simple path \( f \) starting in \( w \) and ending in \( w \) contains according to its definition no other special vertex inside the path, in particular \( w \) and \( w \) do not occur inside the path. According to Lemma 4.4, the path \( f \) is a palindrome.

□
Proof of Theorem 4.1. It is a direct consequence of Propositions 4.3 and 4.5 and of Theorem 3.4, where the last statement is replaced with an equivalent one: There exists an integer \( H \) such that for any factor \( f \) of \( u \) with \(|f| \geq H\) the longest palindromic suffix of \( f \) is unicoercient in \( f \). \( \square \)

5. Morphisms of class \( P_{\text{ret}} \)

In this section, we will define a new class of morphisms and we will reveal their relation with well-known morphisms of class \( P \) (defined in [13]). We will show an important role these morphisms play in the description of words with finite defect.

Definition 5.1. We say that a morphism \( \varphi : B^* \to A^* \) is of class \( P_{\text{ret}} \) if there exists a palindrome \( p \in A^* \) such that

- \( \varphi(b)p \) is a palindrome for any \( b \in B \),
- \( \varphi(b)p \) contains exactly 2 occurrences of \( p \), one as a prefix and one as a suffix, for any \( b \in B \),
- \( \varphi(b) \neq \varphi(c) \) for all \( b, c \in B \), \( b \neq c \).

Remark 5.2. The following properties of the morphisms of class \( P_{\text{ret}} \) are easy to prove.

1. \( \varphi(w) = \varphi(v) \), where \( w, v \in B^* \), implies \( w = v \), i.e., \( \varphi \) is injective,
2. \( \varphi(x)p = \varphi(\overline{x})p \) for any \( x \in B^* \),
3. \( \varphi(s)p \) is a palindrome if and only if \( s \in B^* \) is a palindrome.

Hint for the proof of the injectivity: If \( \varphi(w) = \varphi(v) \), then \( \varphi(w)p = \varphi(v)p \). This implies \( w = v \) by induction on \( \max\{|w|, |v|\} \): the assertion is true for \( \max\{|w|, |v|\} = 1 \) (i.e., \( |w| = |v| = 1 \), since the morphism \( \varphi \) is not erasing from the second point of Definition 5.1) from the third point of Definition 5.1; the induction is then proven using the second point of Definition 5.1.

Another class of morphisms closely related to defects is standard (special) morphisms of class \( P \) defined in [12]. We will reveal their connection with \( P_{\text{ret}} \) in Section 6.

Proposition 5.3. The class \( P_{\text{ret}} \) is closed under the composition of morphisms, i.e., for any \( \varphi, \sigma \in P_{\text{ret}} \) we have \( \varphi \sigma \in P_{\text{ret}} \) (if the composition is well defined).

Proof. Let \( p_\varphi \) and \( p_\sigma \) be the corresponding palindromes from the definition of \( P_{\text{ret}} \) of the morphisms \( \varphi \) and \( \sigma \), respectively. Then \( p_{\varphi \sigma} := p_\sigma p_\varphi \) is a palindrome by point (3) of Remark 5.2 for \( \varphi \). It suffices to verify that \( p_{\varphi \sigma} \) plays the role of the palindrome \( p \) for the morphism \( \varphi \sigma \).

- Take \( b \) a letter. We have \( (\varphi \sigma)(b)p_{\varphi \sigma} = \varphi(\sigma(b)p_\sigma)p_\varphi \). We obtain the following equalities using firstly point (2) of Remark 5.2 for \( \varphi \) and then for \( \sigma \):

\[
\varphi(\sigma(b)p_\sigma)p_\varphi = \varphi(\sigma(b)p_\sigma)p_\varphi = \varphi(\sigma(b)p_\sigma)p_\varphi = \varphi(\sigma(b)p_\sigma)p_\varphi = (\varphi \sigma)(b)p_{\varphi \sigma},
\]

i.e., \( (\varphi \sigma)(b)p_{\varphi \sigma} \) is a palindrome for all \( b \).

- Since \( \varphi \in P_{\text{ret}} \), there is a one-to-one correspondence between the occurrences of \( p_{\varphi \sigma} = (\varphi \sigma)(b)p_{\varphi \sigma} \) in \( (\varphi \sigma)(b)p_\sigma = \varphi(\sigma(b)p_\sigma)p_\sigma \) and the occurrences of \( p_\sigma \) in \( \sigma(b)p_\sigma \). As \( \sigma \in P_{\text{ret}} \), the word \( \sigma(b)p_\sigma \) contains \( p_\sigma \) only as a prefix and as a suffix. Therefore \( \varphi(\sigma(b)p_\sigma)p_\varphi \) has only two occurrences of \( \varphi(p_\sigma)p_\varphi \) - as a prefix and as a suffix.

- The injectivity of \( \varphi \) and \( \sigma \) clearly guarantees that \( (\varphi \sigma)(b) \neq (\varphi \sigma)(c) \) for all \( b \neq c \). \( \square \)

In [13] another class of morphisms is defined. We say that a morphism \( \varphi \) is of class \( P \) if there exist a palindrome \( p \) and for every letter \( a \) a palindrome \( q_\sigma \) such that \( \varphi(a) = p q_\sigma \). The interest of the class \( P \) has been awoken by the following question stated ibidem (however formulated in terms of dynamical systems): “Given a fixed point of a primitive morphism \( \varphi \) containing
infinitely many palindromes, can we find a primitive morphism $\sigma$ of class $P$ such that the factors of a fixed point of $\sigma$ are the same?" Let us recall that for any primitive morphism, the languages of all its fixed points are the same. The previous question has been answered affirmatively in [14] for morphisms defined on binary alphabets and in [1] for periodic fixed points.

In order to reveal the relation between the classes $P$ and $P_{ret}$, we have to define the conjugation of a morphism. A morphism $\sigma$ is said to be conjugate to a morphism $\varphi$ defined on an alphabet $\mathcal{A}$ if there exists a word $w \in \mathcal{A}^*$ such that

- either for every letter $a \in \mathcal{A}$, the image $\varphi(a)$ has $w$ as its prefix and the image $\sigma(a)$ is obtained from $\varphi(a)$ by erasing $w$ from the beginning and adding $w$ to the end; we write $\sigma(a) = w^{-1}\varphi(a)w$,
- or for every letter $a \in \mathcal{A}$, the image $\varphi(a)$ has $w$ as its suffix and the image $\sigma(a)$ is obtained from $\varphi(a)$ by erasing $w$ from the end and adding $w$ to the beginning; we write $\sigma(a) = w\varphi(a)w^{-1}$.

**Proposition 5.4.** If $\varphi$ is a morphism of class $P_{ret}$, then $\varphi$ is conjugate to a morphism of class $P$.

**Proof.** Let $\varphi \in P_{ret}$ and let $p$ have the same meaning as in the definition of $P_{ret}$. We will write $p = qx\overline{q}$, where $q \in \mathcal{A}^*$ and $x$ is either the empty word or a letter. Denote by $\sigma$ a morphism defined for all letters $a$ as $\sigma(a) = q^{-1}\varphi(a)q$. Thus, $\varphi$ is conjugate to $\sigma$.

The word $q^{-1}\varphi(a)q$ can be written as $xy_a$ since $qx$ is a prefix of $\varphi(a)q$. Since $\varphi(a)qx\overline{q}$ is a palindrome, $q^{-1}\varphi(a)qx\overline{q}q^{-1} = xy_a$ is a palindrome too. Therefore $y_a$ is a palindrome and $\sigma$ is of class $P$.

The implication cannot be reversed. Consider the alphabet $\{a, b\}$ and let $\varphi(a) = aa$ and $\varphi(b) = ab$. It is clear that $\varphi \in P$ (for $p = a$), but $\varphi$ is not conjugate to any morphism of class $P_{ret}$ ($aaa$ is not a complete return word of $a$).

The following theorem shows the importance of morphisms of class $P_{ret}$ for uniformly recurrent words with finite defect.

**Theorem 5.5.** Let $u \in \mathcal{A}^\mathbb{N}$ be a uniformly recurrent word with finite defect. Then there exist a rich word $v \in \mathcal{B}^\mathbb{N}$ and a morphism $\varphi : \mathcal{B}^* \to \mathcal{A}^*$ of class $P_{ret}$ such that

$$u = \varphi(v).$$

The word $v$ is uniformly recurrent.

**Proof.** Consider a prefix $z$ of $u$ of length $|z| > \max\{2R_u(K), H\}$, where $K$ is the constant from Theorem 3.4 and $H$ is an integer such that any factor of $u$ of length $\geq H$ has its longest palindromic suffix unicoherent. (Let us recall that the existence of $H$ is also guaranteed by Theorem 3.4.) Since the language of $u$ is closed under reversal (this follows from the fact that $u$ is uniformly recurrent and contains infinitely many palindromes), $\overline{z}$ is a factor of $u$ as well and its $lps(\overline{z})$ has a unique occurrence in $\overline{z}$. As $|\overline{z}| > 2R_u(K)$ any factor shorter than or equal to $K$ occurs in $\overline{z}$ at least twice. Therefore, $|lps(\overline{z})| > K$. Hence, $lps(\overline{z})$ is a palindromic prefix of $u$ of length greater than $K$.

Denote $p := lps(\overline{z})$. Since $u$ is uniformly recurrent, the set of return words of $p$ is finite, say $q_0, q_1, \ldots, q_{m-1}$ is the list of all different return words. Let us define a morphism $\varphi$ on the alphabet $\mathcal{B} = \{0, 1, \ldots, m - 1\}$ by $\varphi(b) = q_b$ for all $b \in \mathcal{B}$. It is obvious that the morphism belongs to the class $P_{ret}$. Then we can write $u = q_0q_1q_2\ldots$ for some sequence $(i_n)_{n \in \mathbb{N}} \in \mathcal{B}^\mathbb{N}$. Let us put $v = (i_n)_{n \in \mathbb{N}}$.\]
We will show that any complete return word of any palindrome in the word $v$ is a palindrome as well. According to Proposition 2.6 this implies the richness of $v$.

Let $s$ be a palindrome in $v$ and $x$ its complete return word. Then $x|p$ has precisely two occurrences of the factor $p(s)$, as $s$ is a palindrome, $p(s)$ is a palindrome as well of length $|p(s)| \geq |p| > K$. Therefore $x|p$ is a complete return word of a long enough palindrome and according to our assumption $x|p$ is a palindrome as well. This together with point (3) in Remark 5.2 implies

$$\varphi(x)p = \varphi(x)p = \varphi(\overline{x})p.$$ 

The point (2) then gives $x = \overline{x}$ as we claimed. The uniform recurrence of $v$ is obvious. 

The reverse implication does not hold, i.e., the set of uniformly recurrent words with finite defect is not closed under morphisms of class $P_{ret}$. Let us provide a construction of such a word.

**Example 5.6.** Let $v_0 = \epsilon$. For $i > 0$ set $v_i = (v_{i-1}0v_{i-1}1v_{i-1}0v_{i-1}2v_{i-1}2)^{(+)}$, where $w^{(+)}$ denotes the shortest palindrome having $w$ as a prefix.

Note that $v_{i-1}$ is a prefix of $v_i$ for all $i$. Thus we can set $v = \lim_{i \to \infty} v_i$ and $v$ is uniformly recurrent by construction.

Denote by $\varphi$ a morphism from $P_{ret}$ defined by

$$\varphi : \begin{cases} 0 \mapsto 0100 \\ 1 \mapsto 01011 \\ 2 \mapsto 010111 \end{cases}$$

As we will show in the sequel, the word $v$ is rich and the defect $D(\varphi(v)) = \infty$.

**Lemma 5.7.** For all $i$ the palindrome $v_i$ from Example 5.6 is rich.

**Proof.** We will show for all $i$ that $v_i$ is rich and

$$v_i = v_{i-1}0v_{i-1}1v_{i-1}0v_{i-1}2v_{i-1}0v_{i-1}1v_{i-1}1v_{i-1}0v_{i-1}1.$$ 

Furthermore, we will show that for all letters $x$, the word $v_ixv_i$ contains exactly 2 occurrences of $v_i$ and 1 occurrence of $0v_{i-1}xv_{i-1}0$.

We will proceed by induction on $i$. For $i = 1$ and 2 it is left up to the reader to verify the proposition.

Suppose the fact holds for $i$, $i \geq 2$. We will show the claim for $i + 1$. Denote by $w$ the factor $w := v_10v_11v_10v_12v_12$.

Note that since $v_ixv_i$ contains exactly 2 occurrences of $v_i$ for all letters $x$, the factor $w$ contains exactly 6 occurrences of $v_i$. In other words, if we find 1 occurrence of $v_i$, we know all the other occurrences.

In Table 1 we can see the total number of palindromic factors of $w$. Let us give a brief explanation for rows which may not be clear at first sight. Let us recall that by the induction assumption

$$v_i = v_{i-1}0v_{i-1}1v_{i-1}0v_{i-1}2v_{i-1}0v_{i-1}1v_{i-1}1v_{i-1}0v_{i-1}1.$$ 

Since there are exactly 11 occurrences of $v_{i-1}$ in $v_i$, one can easily see that factors in rows 2, 3, and 4 have not been counted in row 1. Rows 5, 6, and 7 exploit the fact that for all letters $x$, $v_ixv_i$ contains 1 occurrence of $0v_{i-1}xv_{i-1}0$. One can see that the total number of palindromic factors is $6|v_i| + 7 = |w| + 1$, therefore $w$ is rich from the definition.
Proposition 5.8. The infinite word \( v \) defined in Example 5.6 is rich and \( D(\varphi(v)) = \infty \), where \( \varphi \) is also defined in Example 5.6.

Proof. Directly from the definition of \( v \), one can see using the previous lemma that all its prefixes \( v_i \) are rich and therefore \( v \) is rich.

Denote by \( p \) the palindrome from the definition of \( P_{\text{ref}} \) for the substitution \( \varphi \). One can see that \( p = 010 \). Take \( 1v_i1 \), a factor of \( v \). We have \( \varphi(1v_i1) = 01011 \varphi(v_i)p11 \), a factor of \( \varphi(v) \).

Using point (3) of Remark 5.2, we can see that \( \alpha_i := 1\varphi(v_i)p1 \) is a palindrome. Now take \( 2v_i2 \). One can see that \( \varphi(2v_i2) = 010111 \varphi(v_i)p111 \). Note again the palindromic factor \( \alpha_i \).

We will now look for complete return words of \( \alpha_i \) in \( \varphi(r_i) \), where

\[
\alpha_i = 1v_i1v_i0v_i2v_i2.
\]

The word \( r_i \) is clearly a factor of \( v_{i+1} \), therefore a factor of \( v \). The first occurrence of \( \alpha_i \) is produced by the factor \( 1v_i1 \) in \( r_i \). Since \( \varphi \) is injective, we need to look only at occurrences of \( v_i \) in \( r_i \). The next two occurrences are in the factors \( 1v_i0 \) and \( 0v_i2 \). One can see that \( \varphi(1v_i0) = 01011 \varphi(v_i)p0 \) and \( \varphi(0v_i1) = 0100 \varphi(v_i)p11 \), i.e., the factor \( \alpha_i \) does not occur in \( \varphi(r_i) \) until the factor \( \varphi(2v_i2) \) occurs. The complete return word of \( \alpha_i \) is then \( O_i := 1\varphi(v_i1v_i0v_i2v_i)p1 \).

By point (3) of Remark 5.2, as \( v_i1v_i0v_i2v_i \) is not a palindrome, neither is the complete return word \( O_i \). Therefore for each \( i \) we have an oddity \( \{O_i, O_i^c\} \). According to Proposition 3.3, it implies the defect of \( \varphi(v) \) is infinite.

The last proposition concludes the counterexample 5.6.

Remark 5.9. It is clear that the defect of an image by a morphism of class \( P_{\text{ret}} \) of a word with finite defect depends on the morphism. As Example 5.6 shows, it depends also on the original word. To underline this fact we can take the morphism \( \varphi \) from Example 5.6 and \( u \) the Tribonacci word.
word, i.e., the fixed point of the Tribonacci morphism $0 \mapsto 01$, $1 \mapsto 02$ and $2 \mapsto 0$ - a well-known rich word [10]. It is easy to see that $D(\varphi(u)) = 0$.

6. Comments

At the end of the article [4], the authors state several open questions, among them the following one: “Let $u$ be a fixed point of a primitive morphism. If the defect is finite and non-zero, is the word $u$ necessarily periodic?”

We are not able to answer this question. The following observation is just a small comment to it.

**Observation 6.1.** Let $u$ be a fixed point of a primitive morphism and let its defect $D(u)$ be finite. Then there exists a rich word $v$ and a morphism $\varphi \in P_{ret}$ such that $u = \varphi(v)$ and $v$ itself is a fixed point of a primitive morphism as well.

**Proof.** The rich word $v$, which we have constructed in the proof of Theorem 5.5, is a derived word, as introduced by Durand in [11]. Lemma 19 of [11] says that any derived word of a fixed point of a primitive morphism is a fixed point of a primitive morphism as well.

Theorem 5.5 has the form of implication, which cannot be reversed, since Example 5.6 demonstrates that a morphism from $P_{ret}$ does not preserve automatically the set of words with finite defect. It is thus natural to ask:

**Question 1:** Is it possible to replace the class $P_{ret}$ with a smaller one in such a way that Theorem 5.5 can be stated in the form of equivalence?

**Question 2:** Which morphisms from $P_{ret}$ do preserve the set of rich words?

**Question 3:** How to compute $D(\varphi(u))$ for a rich word $u$ and a morphism from $\varphi \in P_{ret}$?

**Question 4:** Is it feasible to characterize morphisms $\varphi$ on $B^*$ with the property that $\varphi(u)$ has finite defect for any infinite word $u \in B^N$ with finite defect?

Let us comment Question 1. The authors of [12] define another class of morphisms that play an important role in the study of finite defect. They call a morphism $\varphi$ on $A^*$ a standard morphism of class $P$ (or a standard $P$-morphism) if there exists a palindrome $r$ (possibly empty) such that, for all $x \in A$, $\varphi(x) = rq_x$, where the $q_x$ are palindromes. If $r$ is non-empty, then some (or all) of the palindromes $q_x$ may be empty or may even take the form $q_x = \pi^{-1}x$ with $\pi$ a proper palindromic suffix of $r$. They say that a standard $P$-morphism is special if:

1. all $\varphi(x) = rq_x$ end with different letters, and
2. whenever $\varphi(x)r = rq_xr$, with $x \in A$, occurs in some $\varphi(y_1y_2 \ldots y_n)r$, then this occurrence is $\varphi(y_m)r$ for some $m$ with $1 \leq m \leq n$.

They prove the following theorem.

**Theorem 6.2** (Theorem 6.28 [12]). If $\varphi$ is a standard special $P$-morphism on $A^*$ and $u \in A^*$, then $D(u) < \infty$ if and only if $D(\varphi(u)) < \infty$.

However, as shown in the following proposition, standard special $P$-morphisms are not the only ones that preserve the set of uniformly recurrent words with finite defect, thus the class of standard special morphisms is too small as an answer to Question 1. Let us add that standard special morphisms of class $P$ do not form a subset of morphisms of class $P_{ret}$. For instance, $\varphi : a \rightarrow aabbaabba$, $b \rightarrow ab$ is a standard special $P$-morphism with $r = a$, but does not belong to $P_{ret}$.

**Proposition 6.3.** Let $u$ be a binary uniformly recurrent word such that $D(u)$ is finite. Let $\varphi$ be a morphism of class $P_{ret}$. Then $D(\varphi(u))$ is finite.
Lemma 6.4. Let $\varphi$ be a morphism of class $P_{ret}$ on $\{0, 1\}^*$. Then $\varphi$ is conjugate to a standard special $P$-morphism.

Proof. Let $p$ be the palindrome corresponding to $\varphi$ in the definition of $P_{ret}$. Denote by $p_1$ the longest common suffix of $\varphi(0)$ and $\varphi(1)$. Denote by $p_2$ a word such that $pp_2$ is the longest common prefix of $\varphi(0)p$ and $\varphi(1)p$. Using properties of $P_{ret}$ we have $p_1 = p_2$. Define $\sigma(0) = p_1 \varphi(0)p_1^{-1}$ and $\sigma(1) = p_1 \varphi(1)p_1^{-1}$. Then $\varphi$ is conjugate to $\sigma$ and $\sigma$ is a standard special $P$-morphism with the corresponding palindrome $r = p_1p_1$.

Proof of Proposition 6.3. By Lemma 6.4 the morphism $\varphi$ is conjugate to a standard special $P$-morphism $\sigma$. Clearly, the languages of $\varphi(u)$ and $\sigma(u)$ are the same, hence $D(\varphi(u)) = D(\sigma(u))$. Theorem 6.2 implies that $D(\sigma(u)) < \infty$.

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