Linkage of sustainability to environmental impact assessment using ecosystem services concept; lessons from Thailand

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Research

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Abstract

Ecosystem Services (ES) could support Environmental Assessments (EAs) purpose towards sustainable approaches. This study systematically analyzed the ES contents of Environmental Impact Statements (EISs) to ascertain whether they addressed appropriate data that could be used as a tool for sustainable project implementation. Three levels of EISs including Environmental Health Impact Assessments (EHIA), Environmental Impact Assessments (EIA), and Initial Environmental Examinations (IEE) were analyzed. The results indicated that the quality of EISs which reflect the ES depended primarily on the project type. Relationships among ecological, social and economic components, based on land use consideration were crucial to consider the supply of resources and the demands made by the project. However, indirect effects, especially residual and cumulative impacts and alternative evaluations were lacking. Mitigation and monitoring specifications were satisfied, but could not guarantee the efficiency of project control due to lacking of mitigation hierarchy. The weakness of ecological impact analysis directly concerned biodiversity compensation, which links to net loss and net gain in ecosystem. The possibility of ES integration in EAs was reflected by the limitations and opportunities detailed in the content which was finally developed.

1. Introduction

Ecosystem services (ES) can be defined as the benefits that people obtain from ecosystems [1]. This idea is a valuable tool for transforming ecological knowledge into economic information, quantifies natural resource management, and enhances the decision-making process for developers [2,3]. ES directly support the goals of sustainable development [4] since they include a wide variety of benefits for people from existing or ecosystems, and they affect ecological sustainability, social equity, and economic efficiency [5]. Since 2007, interest in the theory of ES and its relevance to policy implementation have increased [6], and ES have become particularly important in the field of international politics [7,8]. ES are grouped into four categories [1]: supporting services are natural processes that maintain other ES categories [9]; provision services deliver ecosystem products [6]; regulating services control ecosystem processes, for example, through biogeochemical cycles and biophysical structures at different scales [10]; and cultural services are the intangible benefits that people get from nature [11]. These categories reinforce the understanding of both supply and demand in ecosystems and carrying capacity. Potschin et al. [2] noted that ES are used to support the relationship between environmental assessment, the ecosystem, and monitoring. Such linkages provide the structure in multi-purposes for sustainability which is the dimensions of environment, social and economic [12]. However, the adaptation of the ES approach is based on a different purpose [13]. Among these is the Environmental Impact Assessment (EIA).

Since its adoption by the National Environmental Policy Act in the United States in 1969, EIA has become an increasingly familiar term in both developed and developing countries. Over the following five decades, EIA requirements have been adopted in various forms in planning, policy, and higher levels of legal hierarchy [14]. The development of EIAs in more than 80 countries is ongoing, in terms of laws, regulations, and implementation [15]. At the same time, EIA knowledge is diversifying, not just at project
level but also at strategic levels and in many disciplines [5]. EIA helps to ensure that environmental and socio-economic issues are identified and addressed throughout the planning and implementation phases of projects and the higher levels [14,16]. It should provide sufficient information and justification to enable decisions to be made, based on predictions of the potential effects of the development, and identification of ways to reduce and mitigate unacceptable impacts [17]. It is understood that the EIA is one of the mechanisms for regulating the environment and all development projects related to sustainable development [15,18-19].

In 1992, Agenda 21: Principle 17, United Nations Conference on Environmental Development (UNCED) [20] indicated:

*Environmental Impact Assessment, as a national instrument, shall be undertaken for proposed activities that are likely to have a significant adverse impact on the environment and are subject to a decision of a competent national authority.*

Although the target of the EIA is to support sustainable development in the project implementation, it remains imperfect [21]. One reason for this is that, at all stages of the EIA, it depends on legal enforcement in each country and region. The ES concept considers the relationship between the supply and the demand of the ecosystems, which are affected by a project's sustainability. Thus, it involves not only the function of the ecosystem but also socio-economic development. ES could contribute to sustainability through changes in environmental and economic factors [22] and is closely related to people’s ways of life [11]. In contrast, imbalances between ES supply and demand can show the unsustainability of a project's development. Thus, ES can support the EIA purpose in line sustainability. The research concerning the integration of ES in EIA has received increasing attention over the past ten years [23-25]. Landsberg et al. [9] initiated a framework for incorporating ES in EIA that considered the interaction between the project and human well-being as the direct and indirect drivers of ecosystem change. Karjalainen et al. [26] incorporated ES into EIA by using multi-criteria decision analysis (MCDA) and found that the concept of ES could add value to the assessment process. Honrado et al. [27] developed a framework to analyze EIA practices and inferred the ES based on evidence provided by the EIA and Strategic Environmental Assessment (SEA) documents and supporting information. Tallis et al. [28] provided an integrated framework for the improvement of biodiversity and the mitigation measures of ecosystem services that could improve the impact assessment method. The critical analysis of the potential role of ES in five case studies by Baker et al. [29] provided a comprehensive approach, in which the ES were fully managed for the impact assessment framework. In the approach of Geneletti [5], the impact assessment was used as an essential tool to focus on spatial planning policies for future ES. Many ES researches have been conducted recently in Europe and the United States. ES in relation to EIA have also been recognized in Asian regions. The study by Shoyama et al. [30] examined the approaches used in the evaluation of ES and found that modeling and biophysical indicators were the most commonly used methods, but the impairment of geographical distribution and the practical use of models was still limited. Abcede Jr and Gera [31] found that the inconsistencies and differences between different legal frameworks were the weak points in promoting ES for mining developments in the
Association of Southeast Asian Nations (ASEAN). Many researches on ES in EIA have identified some limitations, but it has also led to the improvement and promotion of sustainable development in practice.

In Thailand, an ASEAN country, the concept of ES is new, even though Thailand is geographically located in the tropical zone where the ecosystem is complex and unique. ES were launched in the 11th National Economic and Social Development Plan 2012–2016 as part of the Sustainable Natural Resource and Environmental Management Strategy [32], but it was not completely implemented. Currently, ES are stipulated in the 12th National Economic and Social Development Plan 2017–2021 to enhance the ecosystem approach and generate income from conservation, according to the principles of ES [33]. Although the EIA in Thailand are very advanced, the promotion of ES for legal purposes is still at an early stage[21]. In contrast, EIA, as one of the Environmental Assessment (EA) approaches considered at project level, has been strengthened in all authority hierarchies. EIA in Thailand was officially initiated in 1975 as part of the National Environmental Quality Act. It should be noted that EIA is the familiar term for EA at project level. It was prescribed in the Constitution of the Kingdom of Thailand in 1997, and since then has been changed over time until it was finalized in 2018. There are three levels of environmental impact study at project level (Table 1), namely Environmental Health Impact Assessment (EHIA), Environmental Impact Assessment (EIA), and Initial Environmental Examination (IEE). The type and size of development projects required for each study depend on the seriousness of the impacts. The details of the studies are somewhat different from each. EHIA documents are the most significance, both in terms of the details and the presentation of the project, while IEE documents give the least detail.

The framework of ES in EAs has been introduced and developed on a case by case basis, dependent on the nature of each region, and the development of knowledge in this discipline. However, most such studies have been conducted in developed countries. Thailand, as developing country, although both legal and organizational progress in EIAs is being made, the outcomes still do not meet the target of sustainable development [21]. To ensure a sustainable target in EA, integration of ES in environmental impact studies is one of the crucial approaches. This led us to investigate the content of Environmental Impact Statements (EISs) in Thailand to ascertain whether they addressed or clarified appropriate data to support ES for an effective EA that could be used as a tool for sustainable project implementation. The aim was to integrate the approach in order to strengthen ES in environmental impact studies which could be both direct and indirect factors for the EA effectiveness.

2. Method

The selection of the EISs for review was based on a subjective view. EA studies at project level in Thailand comprised three types (IEE, EIA, and EHIA), based on legal applications (see Table 1). The selection criteria depended on judgments regarding a representative of each document type. For EIA, activities related to different types of projects were used, while for IEE, the consulting firms or approved projects were used. Therefore, condominium and housing projects, studied by different consultant companies, were selected as an example for IEE. An exploration and oil production project was selected for EIA because it had a significant impact, and its project activities were different from condominium
For EHIA, the assessment year was used because the project activities for all EHIA were classified as high significant impacts. Different generations of EHIA over time illustrated the development of EHIA studies. The selected EISs are shown in Table 2.

A qualitative analysis of ES in environmental impact studies was conducted by content analysis. The review of EIS contents were originally conducted by Lee and Colley [37]. The review criteria have been adapted from time to time, depending on the intended purpose. Several studies have been developed that involved a review of EIS contents [38-42], and a review of the EIA guidelines [43]. However, no consideration of EISs that reflected ES has been carried out for tropical countries, where ES are well supplied. Analysis of EISs should demonstrate whether the revealed information provides an adequate basis for considering ES in practice.

The criteria for the main aspects of ES in the environmental impact studies were: existing environment; impact assessment; and mitigation measures, and monitoring measures. The concept of audit criteria was adopted and included three main contents, namely; the sufficient data of EIA, the linkage data to ES, the data which directly support ES outcome (Table 3). A variety of bibliographic sources [2,5-6,9,21,26,28,44-50] were used.

These audit criteria were then classified with the coding of each category according to the content of the EISs. The extent to which EISs met these criteria was assessed subjectively on a five-point scale [44] (Table 4). The total score for each category was calculated as an average, to reflect the content of EISs that supported ES.

### 3. Results And Discussion

#### 3.1. Ecosystem services in environmental impact studies

The criteria reflecting the quality of the environmental impact study in support of the ES were divided into three parts: the baseline description (project description and existing environment), impact assessment, and mitigation and monitoring measures, as following.

#### 3.1.1. Baseline study

Considering the baseline description as the initial stage (Table 5), the quality of the information in the EHIAEs was higher than in the IEEs, while the type of project affected the baseline data in the EIAs. For all EISs, the data presentation was scientifically well defined, but the area of the study was not flexible. A defined distance of either 1 or 5 km from the project location was frequently mentioned in the EISs. The balanced among environmental and socio-economic issues were based more on formal guidelines than the characteristics of the specific area. Alternative identification, land use, and urban planning had satisfactory scores. The integration of land use and the ecosystem was linked to social, economic, and environmental issues. Ecological data is important as it can provide the necessary framework, not only to obtain an effective EA [51] but also to provide spatially explicit data for ES [52]. The presentation of the
ecological baseline in terms of an ecological index could justify the category of supporting services in ES. The linkage between the ecological, social, and economic data based on land use consideration was an advantage which were sufficient to consider the balance between the supply of resources and the demands made by the project on the ES, especially for EIA 1 (score 3) and EHIA 2 (score 4). The best presentation of the regulatory support was found in EIA 1 (score 4) in which both national legislation and the international agreement were provided. This directly supported the mechanism of regulating services during the baseline stage. As a result, basic information to support ES values in the EISs were most evident for EIA 1 (score 3) and EHIA 2 (score 4).

3.1.2. Impact Assessment

In the next phase, the impact assessment (Table 6), the components presented in the baseline data (project description and existing environment sections) could be used to evaluate impacts or be combined with other environmental components in impact assessments of a specific environmental component. For example, at the EHIA level, air quality, terrain, and land use were combined to evaluate impacts on air quality. In contrast, many components were presented only as basic data and not used further for impact assessment. According to the contents, the highest average score (2.63) was for EIA 1. In this EIS, the consistency of the causes of the impacts that affected the sustainable components was considered based on biodiversity within the proposed area. However, for the other EISs, the correspondence of many criteria revealed the inadequacy of using the baseline data in evaluations of project impacts. Although some mathematical models were used for some components, in particular, air quality, quantitative details were used only the values of specific parameters, without connecting the effects with the supply and demand in the ecosystem. Due to the failure to incorporate indirect effects in the impact assessment, the connection to the ecosystem based was at a low level. These details were, for example, "species of organisms are so common hence a low impact is predicted" or "... the project’s wastewater was collected in the combined wastewater treatment system, so the "impact on biological resources is negligible." According to [28], ES in EAs should estimate the impact on their value and be included in ES delivery. The assessment of impacts on a single component did not accurately reflect the benefit of supply and demand in ecosystem. The incorporation of the ‘no net loss’ and ‘net gain’ concepts in biodiversity, together with the other biodiversity criteria, was insufficient due to the failure to conduct further assessment of indirect impacts, especially residual and cumulative impacts. Furthermore, a lack of alternative evaluations of the project was the weakest point for all EISs, even if these criteria had satisfactory assessment scores in the baseline phase. In contrast, the best average score for the impact assessment was for the consideration of the project life cycle and the members of public who were affected (score 3.00). Surprisingly, for EHIA 2, the assessment of impact was deficient, with a score of 2.00, although the quality of the baseline were satisfactory. This is one of the problems in EA studies, which use more of the budget for the presentation of data, without making the necessary budget available for the subsequent phases.

3.1.3. Mitigation and Monitoring Measures
With regard to mitigation and monitoring measures (Table 7), the best average score (3.55) was once again for EIA 1, which was a well-defined requirement for project control activities. This issue was significant because the impact assessment output was a tool for project implementation. Mitigation and monitoring identification in the EHIAs were better than in the IEEs. Although basic details of mitigation and monitoring implementations were satisfactory, they were not guarantee the efficiency of project control. This was particularly the case for mitigation hierarchy, which can connect project control to biodiversity offsets. Consequently, biodiversity offsets, which are directly related to supply in the ecosystem, were lacking for IEEs and unclear for EHIAs. The best score was EIA 1, in which the consideration of biodiversity loss and the programs to control it were specified and covered the project lifecycle. An alternative aspect was lacking for all EISs under review. However, the identification of the chance to enhance or change mitigation measures in case of the future finding of unpredictable impacts was crucial for mitigation development.

The scores for the quality of the baseline information in the different types of EISs were in the range 2.00–3.75. Surprisingly, the quality of the information for impact assessment, which is a crucial stage, was found to have the lowest average score (1.83). For mitigation and monitoring, the average score was 2.24. The project type influenced the quality of the mitigation and monitoring, as the scores for EHIAs were higher than those for IEEs (Figure 1).

3.2. The integration of ecosystem services in environmental impact studies

It is important for the administration of ES to be included in environmental impact studies. The role of the ES can improve the understanding of the ecosystem mechanisms resulting from the project activities. According to [26], ES may be considered in the early stage of an EA study through mitigation and monitoring. The possibility of ES integration in EAs was reflected by the limitations and opportunities detailed in the contents, see Table 8.

The findings of this study are initiated how ES could link in environmental impact study, as follows. Firstly, in the scoping phase, the selection of appropriate sustainability indicators should be focused and assessed throughout the EA study phase. However, the adequacy of the biodiversity baseline and its link with the other components is important in providing satisfactory information for the services demanded from and supplied by the ecosystem [53]. Project activities should be the main consideration for determining the demand for natural resources, whereas the biodiversity component, from species to ecosystem, serves as the supply within the specified area. Biodiversity is important in contributing to ES that promote livelihoods and well-being [54]. In EA studies, biodiversity pertains to ecological study as a primary component that could support project development in accordance with sustainable approaches [17, 54-56]. In the initial stage, the quality of the ecological content is crucial. Levels of biodiversity change will vary during the duration of the project. Therefore, these considerations must be accounted for the EIA methodology [55]. The flexibility of impact boundary is related to the nature of the study area. Basic criteria, including factors related to survey planning, the flexibility in size of the proposed areas, the
methods applied to specific ecological groups, and the initial site visit are important in determining the quality of baseline supply and demand for an area.

The strength of the baseline data was based on scientific knowledge. The linkage of ecology and socio-economic aspects to land use under the enforcement by Urban Planning was outstanding, and these relations could support the carrying capacity of such area. Fürst et al. [10] indicated the importance of land use management as a factor supported by the regulating services concept. The flexibility of the biodiversity boundary was not included in the EIIs evaluated. Consequently, those failure could not respond to the actual supply and demand within the ecosystem because the impacts on biodiversity were mostly indirect impacts resulting from physical impacts, such as air or water impacts. Moreover, existing quantitative studies, which consider changes at both the temporal and spatial scales of ecosystems, are critical for successful integration of ES and the environmental impact study [57]. The temporal scale, which affects both the baseline data and the impact assessment, should include the characteristics of species that occur and an interpretation of the impact predictions [58]. Specification of the condition of the fauna and flora within the ecosystem is one approach that could support temporal coverage. This is vital in subsequent stages of the impact assessment. This lack of temporal data is problematic, especially for the small projects characterizing the IEE group, in which baseline data were only collected on a single date.

For the impact assessment, the project phases should include the project lifecycle, at least in the construction and operational phases, and determine the resource demands. Loss and gain of biodiversity resulting from project activities should be the first priority for any impact assessment. According to [50], an impact assessment should depend on ES as the priority, but more legal guidance is needed that could indirectly assert the assessors. Baseline details should be further assessed for their impacts. Project alternatives, such as project sizes, locations or processes, presented in the baseline data should assess the impacts and be considered further for mitigation and monitoring. The weakness found by our study was the lack of alternative considerations throughout the stages of environmental impact studies. Remarkably, the impacts on ecosystems, from varying levels of human disturbance, were addressed in descriptive terms. This raised some uncertainty regarding the overall impact assessment, which had been done without consideration of biodiversity concepts such as species loss, project effects on the natural habitat, and community and ecosystem components. Ecological impact identification and evaluation and contributions to environmental change can be negative and/or positive, residual and/or cumulative, and significant and/or magnitude impacts. Impact projections affect subsequent project activities. For example, negative impacts should be a priority in effectively managing the reduction of adverse ecosystem effects.

Finally, the mitigation and monitoring specifications should consider the ways to maintain supply and demand in ecosystem with reasonable costs and benefits. Options for mitigation, through the mitigation hierarchy, can improve and maintain the well-being of affected beneficiaries from ES [9]. Mitigation hierarchy is fundamental to EA practice for biodiversity offsets by the consideration of alternatives in program identification [59]. Project compensation in practice should adhere to the mitigation sequence
of avoid, minimize, rectify, reduce, and compensation or offsets [60]. These can compensate for the loss of ecosystem resulting from the project demands, and it is a crucial approach for incorporating ES in EAs.

The benefit of mitigation and monitoring was the inclusion of public opinion, in accordance with legal enforcement. Those response should reflect the actual requirement of the local public [61]. For better implementation, the members of the public identified should be representative of the public concerned, and poverty in both income and well-being should be considered. According to the UN sustainable development goals adopted in 2015 [62], poverty (Goal 1) also covers the poor in situations such as climate-related events and other shocks and disasters. Hence, all people should be considered equally.

Program achievements are a vital element of the program performance, and they are exhibited by the project control agencies during project implementation. In this regard, essential monitoring directly affects the likely implementation of EA in practice. The cost of monitoring implementation is a fundamental principle that touches upon all monitoring-related activities. The ways to improve performance relate to these aspects. Firstly, flexible programs are required by optimizing the design. Secondly, the period over which parameters should be monitored should be included in the programs. Thirdly, the efficiency of resource use in monitoring should be a focus. The consequences of giving appropriate attention to these factors would be a reduction in the costs of monitoring unimportant parameters that create wasted effort. These savings could lead to better environmental management.

The success of mitigation and monitoring is compliance. The effectiveness of mitigation and monitoring programs, all of which are defined in official documents, is not a guarantee that the programs will be implemented. This is confirmed by the results of this study in the real estate projects. The mitigation and monitoring actions may have made it difficult to measure the accuracy of impact prediction since the results of the impact assessment were not the key element in identifying the programs. Consequently, the performance during project implementation was questionable.

Roe and Geneletti [63] indicated that Biodiversity underpins the delivery of essential ES on which the whole of humanity is dependent. However, it depends on the nature of the project and the environment where the project is located. To better incorporate ES, through eco-based objectives, in environmental impact studies, connections between biodiversity content and the different stages of an EA are strongly recommended. These points can help EA to support the sustainable development goals.

4. Conclusion

The findings provide some insight regarding the integration of ES in EA. The quality of EISs which reflect the ES depended primarily on the project type. In this regard, the legislation of the respective agency is supreme while the fundamental constraint has been found in real estate projects. Furthermore, the weakness of ecological impact analysis, from species level to biodiversity, directly concerns biodiversity compensation, which links the approach of environmental analysis to net loss and net gain in ecosystem. The warning of the ecosystem stock could provide the goods and services for the proposed project.
According to Goal 15 of the UN sustainable development goal (2015), the integration of ecosystem and biodiversity values into planning and development processes should promote. The results of this study confirmed that ES considered in environmental impact studies can be used to link the EA to the project’s sustainability. ES could improve the impact assessment process (Abcede Jr and Gera 2018). Any project located in the environment requires services from the ecosystem, while the supply is limited by the impacts of the project activities on the ecosystem. Various groups interacted in the EA projects studied in this research. They included both those who obtained the benefit and those who experienced negative effects from the project activities. ES reflect the supply capacity of nature and are influenced by external drivers and human society. The level of human demand is the benefit that humans obtain from the ecosystem (Maron et al. 2017; Wei et al. 2017). In summary, the concept of ES could promote a sustainable development approach in EAs, in accordance with the Rio Declaration (1992) and with the ASEAN Agreement (ASEAN, 2017).

Abbreviations

| Acronym | Description |
|---------|-------------|
| ASEAN   | the Association of Southeast Asian Nations |
| EA      | Environmental Assessment |
| EIA     | Environmental Impact Assessment |
| EHIA    | Environmental Health Impact Assessment |
| EISs    | Environmental Impact Statements |
| ES      | Ecosystem Services |
| IEE     | Initial Environmental Examination |
| MEA     | the Millennium Ecosystem Assessment |

Declarations

Ethical Approval and Consent to participate

The manuscript was mainly conducted by content analysis. No animals or human were concerned.

Consent for publication

The author declares that this manuscript or any data contained is received the consent for publication.

Availability of supporting data

All data generated or analyzed in this manuscript are available from the author on reasonable request.
Competing interests
The author declare they have no competing interests.

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**Figures**

![Figure 1](image)

**Figure 1**

ES quality levels in Environmental Impact Statements