Hepatocyte-targeting gene transfer mediated by galactosylated poly(ethylene glycol)-graft-polyethylenimine derivative

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Abstract: Biscarbamate cross-linked polyethylenimine derivative (PEI-Et) has been reported as a novel nonviral vector for efficient and safe gene transfer in our previous work. However, it had no cell-specificity. To achieve specific delivery of genes to hepatocytes, galactosylated poly(ethylene glycol)-graft-polyethylenimine derivative (GPE) was prepared through modification of PEI-Et with poly(ethylene glycol) and lactobionic acid, bearing a galactose group as a hepatocyte-targeting moiety. The composition of GPE was characterized by proton nuclear magnetic resonance. The weight-average molecular weight of GPE measured with a gel permeation chromatography instrument was 9489 Da, with a polydispersity of 1.44. GPE could effectively condense plasmid DNA (pDNA) into nanoparticles. Gel retardation assay showed that GPE/pDNA complexes were completely formed at weigh ratios (w/w) over 3. The particle size of GPE/pDNA complexes was 79–100 nm and zeta potential was 6–15 mV, values which were appropriate for cellular uptake. The morphology of GPE/pDNA complexes under atomic force microscopy appeared spherical and uniform in size, with diameters of 53–65 nm. GPE displayed much higher transfection efficiency than commercially available PEI 25 kDa in BRL-3A cell lines. Importantly, GPE showed good hepatocyte specificity. Also, the polymer exhibited significantly lower cytotoxicity compared to PEI 25 kDa at the same concentration or weight ratio in BRL-3A cell lines. To sum up, our results indicated that GPE might carry great potential in safe and efficient hepatocyte-targeting gene delivery.

Keywords: gene delivery, hepatocyte targeting, galactose, cytotoxicity, transfection efficiency

Introduction

The curing effect of gene therapy greatly depends on safe and efficient delivery of therapeutic gene to the target site.1,2 In recent years, nonviral vectors have been investigated intensively for gene delivery, due to their ease of production and chemical modification, safety, lower immune response, and the capacity to delivery larger DNA molecules.3–5 Consequently, alternative gene carriers have been proposed based on nonviral vectors, such as cationic lipids6–7 and cationic polymers.8–13 However, there are still many obstacles that can hamper the delivery capability of nonviral carriers in vivo.14 For example, without modification with an appropriate targeting moiety, the carrier will have an increased risk of entering undesired cells and possibly cause damage to healthy tissues. Therefore, targeted transfer of nucleic acid drugs to specific tissues is a significantly important concern to address in the field of gene delivery.

Receptor-mediated endocytosis has been shown to be a promising way to achieve specific delivery of genes to certain cell types or tissues. Surface modification of
nonsized vectors like nanoparticles is usually used for specific targeting purposes. Various ligands, including antibody,\textsuperscript{15} folate,\textsuperscript{16,17} asialoglycoprotein,\textsuperscript{18} galactose,\textsuperscript{19,20} mannos,\textsuperscript{21} epidermal growth factor,\textsuperscript{22} and transferrin\textsuperscript{23} have been conjugated with nonviral carriers for cell specificity. It was reported that the asialoglycoprotein receptor (ASGPR) was abundantly expressed in normal hepatocytes and hepato- cell lines, such as BRL-3A, HepG2, and parental human hepatocellular carcinoma BEL-7402 cells. There are on average 500,000 ASGPRs on every hepatocyte. ASGPR can selectively bind to galactose or \(N\)-acetylglactosamine residues of desialylated glycoproteins.\textsuperscript{24} ASGPR has received much attraction in gene targeting and has also acted as a model system for studying receptor-mediated endocyto- sis due to its high affinity and rapid internalization rate. Therefore, the delivery of genes to hepatocytes through ASGPR-mediated endocytosis using galactosylated polymers has gained significant interest. For instance, as Gref et al\textsuperscript{25} reported, galactose-modified oligosaccharides displayed a high affinity for ASGPR in liver tumor cells. Gao et al\textsuperscript{26} reported a gene carrier based on galactosylated chitosans that showed obvious targeting in hepatoma cells HepG2, SMMC- 7721, and normal hepatic cell L-02. Kim et al\textsuperscript{27} conjugated galactose to poly(ethylene) glycol (PEG)-polyethylenimine (PEI) to obtain a hepatocyte-targeting gene carrier; the polymer they synthesized exhibited improved transfection efficiency in hepatoma cells.

In our previous work, we synthesized biscarbamate cross-linked PEI derivative (PEI-Et) as a nonviral gene carrier. Our results showed that PEI-Et displayed significantly enhanced transfection efficiency and much lower cytotoxicity than commercially available PEI 25 kDa in three cell lines (COS-7, BRL-3A, and HeLa).\textsuperscript{28} However, the polymer had no cell- specificity. Therefore, in the present study, galactosylated PEG-graft-PEI derivative (GPE) was prepared to achieve hepatocyte specificity. Two chemical modifiers, PEG and galactose, were included in GPE. Galactose was acted as a hepatocyte-targeting moiety. PEG modification promoted the formation of complexes with diminished aggregation, and reduced opsonization with serum proteins in the bloodstream.\textsuperscript{29} Furthermore, PEGylation provided a polypelex with improved solubility, lower cytotoxicity, and longer circulation time in vivo.\textsuperscript{30} In this paper, the synthesized GPE was characterized with proton nuclear magnetic resonance (\(^1\)H-NMR) and GPC. GPE/plasmid DNA (pDNA) complexes were prepared and investigated by particle size, zeta potential, gel retardation ability, and morphology under atomic force microscopy (AFM). Moreover, cytotoxicities of GPE and GPE/pDNA complexes were examined in terms of cell viability, and transfection efficiencies as well as hepatocyte specificity of GPE/pDNA complexes were examined with luciferase activity assay, fluorescence microscopy, and fluorescence-activated cell-sorting analysis (FACS).

Materials and methods

Materials

Branched PEI (25 kDa), lactobionic acid (LA), ethidium bromide, (EB) and 3-(4,5-dimethylthiazol-2-y)-2,5- diphenyltetrazoliumbromide (MTT) were sourced from Sigma-Aldrich (St Louis, MO, USA). PEI-Et was synthesized in our laboratory. The heterobifunctional PEG derivative NH\(_2\)-PEG-COOH (molecular weight [MW] 2000 Da) and methoxy-PEG-succimimidyl carbonate (mPEG-sc; MW 2000 Da) were purchased from Yarebio (Shanghai, People’s Republic of China). A Micro BCA protein assay kit was purchased from Thermo Fisher Scientific (Waltham, MA, USA). A luciferase assay kit was purchased from Promega (Fitchburg, WI, USA). All other chemicals used were of analytical grade.

Trypsin ethylenediaminetetraacetic acid, Dulbecco’s modified Eagle’s medium (DMEM), and fetal bovine serum were sourced from PAA (Cölbe, Germany). The plasmids were pEGFP-N1 (Clontech, Palo Alto, CA, USA), encoding enhanced green fluorescent protein (EGFP), and pGL3-control (Promega), encoding firefly luciferase.

Cell culture

Normal rat liver cell (BRL-3A) and human cervix epithelial carcinoma cell (HeLa) were incubated in DMEM medium containing 10% fetal bovine serum at 37°C in a humidified atmosphere supplemented with 5% \(\text{CO}_2\).

Synthesis of GPE

The polymer GPE was synthesized in two steps. In the first step, galactosylated PEG (Gal-PEG) was prepared by an amide-formation reaction between activated carboxyl groups of galactose bearing LA and amine groups of NH\(_2\)-PEG-COOH in accordance with a previous report,\textsuperscript{31} with some changes. Briefly, LA (1.5 mmol) dissolved in 30 mL of 2-\((N\)-morpholino)ethanesulfonic acid (MES) buffer solution (0.1 M, pH 6.5) was activated with a mixture of N-hydroxysuccinimide (NHS) (6 mmol) and 1-ethyl-3-(3-dimethylaminopropyl)-carbodiimide hydrochloride (EDC) (6 mmol). After activating the carboxyl groups for 30 minutes, 0.075 mmol of PEG was added. The reaction was performed in an ice bath for 12 hours, followed by an additional
12 hours at room temperature. Then the sample was dialyzed against distilled water in a dialysis tube (MW cutoff 1000 Da) for 3 days, followed by lyophilization. The resulting polymer Gal-PEG was stored at −20°C for further use.

In the second step, GPE was synthesized by an amide-formation reaction between activated carboxyl groups of Gal-PEG and amine groups of PEI-Et. PEI-Et was synthesized according to our previous study.²⁸ Gal-PEG (0.02 mmol) dissolved in 10 ml of MES buffer solution (0.1 M, pH 6.5) was activated with a mixture of NHS (0.2 mmol) and EDC (0.2 mmol). After activating the carboxyl groups for 30 minutes, 0.02 mmol of PEI-Et was added. The reaction was performed in an ice bath for 12 hours, followed by an additional 12 hours at room temperature. Then the sample was dialyzed against distilled water in a dialysis tube (MW cutoff 3500 Da) for 3 days and lyophilized to obtain the polymer GPE. The reaction scheme is shown in Figure 1.

**Synthesis of PEG-Et**

PEI-Et (0.04 mmol) was dissolved in 0.1 M sodium bicarbonate, followed by the addition of 0.04 mmol of mPEG-Sc and stirred for 4 hours at room temperature. The resultant PEG-Et was dialyzed against distilled water in a dialysis tube (MW cutoff 3500 Da) for 2 days, followed by lyophilization. The resulting polymer PEG-Et was stored at −20°C for further use.

**Characterization of GPE**

1H-NMR spectra of GPE were recorded on a Varian Unity 300 MHz spectrometer (Mercury plus 400; Varian, Palo Alto, CA, USA), using D₂O as a solvent. GPC relative to PEG standards (molecular weight range Mp 106, 430, 633, 1400, 4290, 7130, 12,600, 20,600 Da) was used to measure the MW of GPE by a Waters (Milford, MA, USA) high-pressure liquid chromatography (HPLC) system. The mobile phase of HPLC was formic acid.

**Preparation of polymer/pDNA complexes**

Both pDNA and polymer were separately diluted to the required concentration in phosphate-buffered saline (PBS; pH 7.4). After that, the polymer/pDNA complexes were prepared by adding polymer solution to the pDNA solution at the desired weight ratio with gentle vortexing. The polymer/pDNA complexes were incubated at room temperature for 30 minutes prior to use.

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**Figure 1** Reaction scheme of galactosylated poly(ethylene glycol)-graft-polyethylenimine derivative (GPE).

**Abbreviations:** PEI-Et, bis-carbamate cross-linked polyethylenimine derivative; PEG, poly(ethylene) glycol; Gal-PEG, galactosylated PEG; LA, lactobionic acid.
Gel retardation assay
The gel retardation ability of GPE was evaluated using agarose gel electrophoresis with pEGFP-N1. GPE and pDNA solutions were mixed at various weight ratios from 1 to 70 and incubated for 30 minutes at room temperature. The complexes and naked pDNA were electrophoresed on 1% (w/v) agarose gels pretreated with EB (0.5 µg/mL of the gel) in 1 × Tris-acetate buffer at 80 V for 40 minutes.

Particle-size and zeta-potential measurements
A particle-size analyzer (90Plus; Brookhaven Instruments, Holtsville, NY, USA) was used to examine the particle size and zeta potential of GPE/pDNA complexes. GPE/pDNA complexes at various w/w ratios from 1 to 70 were prepared and incubated for 30 minutes at room temperature before measurement. Each sample was performed in triplicate.

Atomic force microscopy
The morphology of GPE/pDNA complexes at w/w 70 was examined under AFM (E-Sweep; Hitachi High-Tech Science, Tokyo, Japan). The complexes were deposited on a mica disk and dried for 3 hours at room temperature. Then it was observed under AFM.

Cytotoxicity assay
Cytotoxicity evaluation of the polymer was measured with MTT assay. PEI 25 kDa was used as a control. BRL-3A cells were grown in 96-well plates at an initial density of 5000 cells/well in 100 µL of DMEM and incubated for 24 hours. After that, the media were changed with fresh serum-free DMEM pretreated with polymers at various concentrations (5, 10, 20, 50, and 100 µg/mL) or polymer/pDNA complexes at various w/w ratios (2, 5, 10, 20, 30, and 50). After further incubation for 4 hours, the media were replaced with fresh serum-free DMEM, and 25 µL MTT solution (5 mg/mL in PBS) was added per well. After an additional incubation for 6 hours, 150 µL of DMSO was added. Then the plate was agitated for 15 minutes. Finally, the absorbance was recorded with an enzyme-linked immunosorbent assay reader (MK3; Thermo Fisher Scientific) at 570 nm (with 630 nm as a reference wavelength). The data from five separate experiments were expressed as a percentage of viable cells over untreated control.

In vitro transfection experiments
The transfections mediated by GPE were performed in BRL-3A and HeLa cells. Cells were grown in 48-well plates at an initial density of 5 × 10⁴ cells/well in 500 µL of DMEM and incubated for 24 hours. After that, wells were washed with PBS, and polymer/pGL3-control (500 ng) complexes at desired w/w ratios were added to the cells. After an additional incubation for 4 hours, the media were replaced with fresh and complete DMEM and allowed to incubate for 44 hours. Luciferase assays were performed according to the manufacturer’s suggested protocol (Promega). The luciferase activity was expressed in terms of relative light units/mg protein. Each sample was performed in triplicate. The optimal w/w ratio of GPE/pEGFP-N1 complexes from the luciferase activity assay was selected for the GFP-expression experiment. The transfection efficiency was estimated by scoring the percentage of cells expressing GFP using a FACSCalibur system (BD, Franklin Lakes, NJ, USA). Each sample was performed in triplicate. The data were presented as means ± standard deviation. For the competition assay, BRL-3A cells were preincubated with galactose (1, 10, and 100 mM) for 15 minutes, then the cells were incubated with GPE/pDNA and PEG-Et/pDNA complexes for 3 hours. The luciferase activity was determined as described above after 45 hours’ further incubation.

Statistical analysis
Data were expressed as means ± standard deviation. Statistical analysis was performed with SPSS software (v 19.0; IBM, Armonk, NY, USA). Student’s t-test (two-tailed) was used to test the significance of the differences between two groups. Data were considered significantly different at the level of P < 0.05 and very significantly different at the level of P < 0.01.

Results and discussion
GPE was successfully synthesized
Figure 1 schematically illustrates the procedures for the synthesis of GPE. The intermediate Gal-PEG was synthesized by an amide-formation reaction between activated carboxyl groups of LA and amine groups of NH₂-PEG-COOH, and the resulting polymer GPE was synthesized by an amide-formation reaction between activated carboxyl groups of Gal-PEG and amine groups of PEI-Et. The structure of GPE was confirmed using ¹H-NMR. As shown in Figure 2, the proton peaks appeared at 2.4–3.3 ppm in the GPE attributed to PEI (−NHC₆H₄CH₂−), indicating that PEI-Et was successfully conjugated to the Gal-PEG chain. The weight-average MW of GPE measured with GPC was 9489 Da, with a polydispersity of 1.44. These results indicated that GPE was successfully synthesized.
Characterization of GPE/pDNA complexes was appropriate for cellular uptake

As for cationic polymers, the condensation of pDNA into small particles is an important prerequisite for gene delivery.\textsuperscript{32} The gel retardation ability of GPE was measured with agarose gel electrophoresis. Naked pDNA was used as the control group. As indicated in Figure 3, GPE completely retarded the migration of pDNA when the w/w ratio was 3, suggesting that GPE/pDNA complexes were completely formed at w/w ratios over 3. Interaction of cationic polymers with nucleic acid could protect the nucleic acid from enzymatic degradation,\textsuperscript{33,34} which facilitated efficient gene transfection.

The particle size of the polymer/pDNA complexes was an important factor for hepatocyte gene delivery. As Hashida et al mentioned, the majority of the fenestrate of the liver sinusoid was smaller than 200 nm in diameter.\textsuperscript{35} Therefore, it was hard
for large particles to arrive at the parenchymal cells of the liver. In addition, gene carriers with diameters larger than 200 nm are readily scavenged nonspecifically by monocytes and the reticuloendothelial system. A positive surface charge of GPE, which comes from the protonated amino groups on PEI, may be an advantage for cellular uptake, due to the electrostatic interaction between the negatively charged cellular membrane and the positively charged complexes. As shown in Figure 4, at a w/w ratio of 1, the particle size of GPE/pDNA complexes was 108 nm and the zeta potential was $-8.9 \text{ mV}$, indicating that the complexation between GPE and pDNA was incomplete. However, when the w/w ratios were over 5, GPE could condense pDNA into nanoparticles with relatively constant diameters of 79–100 nm, implying that stable complexes were formed with a size appropriate for cellular uptake. Meanwhile, zeta potential ranged from 6 mV to 15 mV. These results accorded well with the results of the gel retardation assay.

The representative morphologies of GPE/pDNA complexes (w/w 70) under AFM are shown in Figure 5. The results show that the complexes appeared spherical in shape with compact structure, and the diameters of the complexes ranged from 53 nm to 65 nm, smaller than those determined by dynamic light scattering. This phenomenon was possibly due to the shrinkage of the PEG shell caused by the evaporation of water during drying before AFM examination.

GPE showed low cytotoxicity in BRL-3A cells

For polycationic gene carriers, cytotoxicity was a main hurdle for clinical application. The cytotoxicity associated with GPE could be divided into two types: the immediate toxicity mediated by free GPE, and the delayed toxicity mediated by GPE/pDNA complexes. For this reason, cell viabilities of free GPE and GPE/pDNA complexes were assayed using BRL-3A cells. Free polymers were used in order to mimic a worst-case scenario and get much larger sensitivity results, because cytotoxicity was remarkably reduced with formation of polymer/pDNA complexes.

As shown in Figure 6, cytotoxicity of GPE was much lower than PEI 25 kDa at the same concentration. In addition, GPE displayed negligible cytotoxicity at concentrations below 100 µg/mL. The cell viabilities were 104% ± 7% at a polymer concentration of 5 µg/mL. The value slightly decreased to 95% ± 6% with GPE concentration increasing to 100 µg/mL, implying that a wide dose range of GPE may be used for gene transfection. In contrast, with increasing concentrations of PEI 25 kDa, cell viability decreased drastically. For example, cell viability was from 88% ± 3% at a PEI 25 kDa concentration of 5 µg/mL to 23% ± 1% at a PEI 25 kDa concentration of 100 µg/mL. In the case of the cell viabilities of polymer/pDNA complexes, GPE/pDNA complexes also showed dramatically lower cytotoxicity than PEI 25 kDa/pDNA complexes. These results suggested that the immediate toxicity and the delayed toxicity of GPE were all lower.
Drug Design, Development and Therapy 2013:7

Figure 5 Representative atomic force microscopic image of galactosylated poly(ethylene glycol)-grafted-polyethyleneimine derivative/plasmid DNA complexes at a w/w ratio of 70.

than that of PEI 25 kDa, which demonstrated that GPE was a significantly promising carrier for safe gene transfer.

According to previous studies, chemical modification of PEI with PEG could help reduce the cytotoxicity by reducing the number of PEI amino groups.42,43 Therefore, GPE with much lower cytotoxicity than PEI 25 kDa was probably due to the properties of the hydrophilic groups of PEG. In addition, Bieber and Elsässer reported that a positive correlation existed between MW and cytotoxicity of PEI: cytotoxicity of PEI with low MW was much lower than PEI with high MW.44 For this reason, the lower molecular weight of GPE was another factor that produced lower cytotoxicity than PEI 25 kDa.

GPE exhibited high transfection efficiency and good hepatocyte specificity in BRL-3A cells

To observe the in vitro transfection efficiency and hepatocyte specificity of GPE, BRL-3A and HeLa cells were transfected with polymer/pDNA complexes with various w/w ratios. PEI 25 kDa at optimal w/w ratio was used as a positive control. As illustrated in Figure 7A, the transfection efficiency was dependent on the GPE/pDNA weight ratio. Transfection efficiency of GPE increased with increasing w/w ratios below 70, and then decreased at higher w/w ratios. A reasonable explanation may be as follows: a low w/w ratio would produce unstable complexes and low transfection efficiency; however, a high w/w ratio yielded low transfection efficiency due to the stability, because the pDNA could not be released from the complexes.28 In addition, the transfection efficiency of GPE was higher than that of PEI 25 kDa at w/w from 30 to 70 (P < 0.05). As for the naked pDNA, it produced almost negligible luciferase activity, indicating that pDNA without any vector showed significantly low transfection efficiency, which was in agreement with the previous study.45,46 As illustrated in Figure 7B, transfection efficiency of GPE was 4.6-fold higher than that of PEG-Et at a w/w ratio of 70 in BRL-3A cells (P < 0.01). Moreover, GPE showed a 13.2-fold higher transfection efficiency in BRL-3A cells in comparison to HeLa cells (P < 0.01), which did not express ASGPR, suggesting that the attachment of galactose residues in GPE might be beneficial for the recognition of ASGPR and lead
Figure 6 Cytotoxicity of the polymers at various concentrations (A) and cytotoxicity of the polymer/plasmid DNA complexes at various w/w ratios (B) in BRL-3A cell lines.

Notes: **P < 0.01 vs PEi 25 kDa; n = 5; error bars represent standard deviation.

Abbreviations: GPE, galactosylated poly(ethylene glycol)-graft-polyethylenimine derivative; PEi, polyethylenimine.

Figure 7 (A) Transfection efficiency of GPE/pGL3-control complexes at various w/w ratios in BRL-3A cell lines, in comparison with that of polyethylenimine 25 kDa (w/w 2). (B) Transfection efficiency of galactosylated poly(ethylene glycol)-graft-polyethylenimine derivative (GPE)/pGL3-control and poly(ethylene glycol) (PEG)-Et/pGL3-control complexes prepared at a w/w ratio of 70 in HeLa and BRL-3A cells.

Notes: *P < 0.05; **P < 0.01; N: naked plasmid DNA; 25 K: polyethylenimine 25 kDa; n = 3; error bars represent standard deviation.

Abbreviation: RLU, relative light units.

to the significant improvement of transfection efficiency in BRL-3A cells.

To confirm the hepatocyte specificity of GPE, gene-transfection efficiency was evaluated in BRL-3A and HeLa cells, using pEGFP-N1 as a reporter gene. Figure 8A displays typical fluorescence microscope images; BRL-3A cells transfected with GPE/pEGFP-N1 showed more bright fluorescent spots than PEG-Et/pEGFP-N1. In addition, transfection efficiency was monitored by flow cytometry. As shown in Figure 8C, GPE exhibited higher efficiency (33%) than PEG-Et (27%) in BRL-3A cells (P < 0.01). Also, transfection efficiency of GPE was higher in BRL-3A cells (33%) than in HeLa cells (26%) (P < 0.01). These results obtained also confirmed the results of luciferase activity assays, implying that GPE showed good hepatocyte specificity.

To confirm further the effect of galactose on receptor-mediated gene delivery, the competition assay was performed at the presence of free galactose (1, 10, and 100 mM) as a competitor. Figure 9 shows that the transfection efficiency of GPE in BRL-3A cells was reduced in the presence of free galactose. Especially, inhibition of the transfection efficiency of GPE in the presence of galactose depended on concentration of pretreated galactose, whereas the phenomenon was not observed on the transfection efficiency of PEG-Et. Transfection efficiency when using PEG-Et as a carrier was very low and was not affected irrespective of the addition of free galactose. These results indicated that pretreatment of free galactose as a competitor could reduce cellular uptake of GPE by competitive binding.
to ASGPR on the cell surface, although the inhibition of transfection efficiency was incomplete in the competition assay, because GPE still entered into BRL-3A cells via both nonspecific endocytosis and receptor-mediated endocytosis.

## Conclusion

In the current study, a novel hepatocyte-targeting gene carrier, GPE, was successfully prepared. The polymer was constructed by a simple procedure, possessed a enhanced ability to condense pDNA effectively into nanoparticles with physicochemical properties appropriate for cellular uptake. GPE displayed significantly higher transfection efficiency and much lower cytotoxicity than commercially available PEI 25 kDa in BRL-3A cells. Importantly, GPE exhibited good hepatocyte specificity. To sum up, it is reasonable to conclude that GPE might carry potential for efficient and safe hepatocyte-targeting gene delivery.

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## Disclosure

The authors report no conflicts of interest in this work.

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