Ganciclovir Loaded Chitosan Nanoparticles: Preparation and Characterization

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Abstract

Chitosan Nanoparticles (CSNPs), as drug carrier, can be utilized for enhancing permeability of poorly absorbed drugs. The aim of this work was to enhance the permeability of Ganciclovir (GCV) by loading into CSNPs. An ionic gelation method was undertaken to develop GCV loaded CSNPs. Several process and formulation parameters were screened and optimized through 25-2 fractional factorial design and Box-Behnken design respectively. The CSNPs were evaluated and characterized for their particle size and shape, surface charge, entrapment efficiency, crosslinking mechanism (dried CSNPs) and drug release study. The optimized CSNPs were found with particle size of 121.20 ± 2.7 and entrapment efficiency (%EE) of 85.15 ± 1.1%. Transmission electron microscopy, scanning electron microscopy and dynamic light scattering technique revealed spherical particles with uniform size. The in vitro release profile was found to be sustained up to 24 hr. Thus, incorporation of GCV into CSNPs results in enhanced permeability, that may in turn increase overall oral absorption of the drug.

Keywords: Ionic gelation; 25-2 Fractional factorial design; Box-Behnken design; Particle size; In-vitro drug release

Introduction

Ganciclovir (GCV) (9-(1,3-dihydroxy-2-propoxymethyl) guanine) (DHPG), is a BCS class III drug. GCV (log P=-1.7) having molecular formula C9H13N5O4 and molecular weight 255.2 g/mol. It is the first antiviral drug that proved to be efficacious in the treatment of Cytomegalovirus (CMV) disease in humans [1,2]. GCV is also used for maintenance therapy and prophylaxis of CMV. Oral bioavailability of GCV is ~5%. Possible reasons for the poor bioavailability are poor permeability through the gastro-intestinal tract (GIT) and P-gp substrate activity [3].

Chitosan (CS), an N-deacetylation product of chitin, a natural polysaccharide, is mostly found in the exoskeleton of crustaceans, insects, and fungi [4]. After deacetylation process, CS is able to dissolve in acidic medium and becomes the only polysaccharide that possesses high density of positive charges, due to the protonation of amino groups on its backbone. Besides this unique characteristic, CS has been proved to have many other intrinsic properties, such as non-toxicity, biocompatibility and biodegradability [5]. It is mucoadhesive polymer and can increase the residence time at the site of absorption and has favourable controlled drug-release abilities [6]. Chitosan has a low level of toxicity where oral LD50 value is found to be in excess of 16 g/kg body weight of mouse [4]. It has been demonstrated that CS (when protonated) affects cell permeability and enhances paracellular permeability of drugs across the mucosal epithelia by opening the intercellular tight junctions. Chitosan causes reversible effects on epithelial morphology and temporarily alters gating properties of tight junctions.

Juan M Irache, 2001 has done work on GCV -loaded albumin Nanoparticles. They found the albumin carriers were able to release GCV in a sustained way after incubation between the drug and the protein, prior the preparation of Nanoparticles [7]. Solid lipid Nanoparticles were prepared by Gang Cheng, 2013 [8]. They found
that SLN modified with borneol is a potential delivery system for transporting GCV to the central nervous system. In-vitro antiviral efficacy of the GCV complexed with cyclodextrin on human cytomegalovirus clinical strains was performed by Chantal Finance, 2002 [9]. They found that the complexed GCV was more effective than free GCV against all Human cytomegalovirus strains tested. Gao Li, 2011 examined the effects of some common excipients on the intestinal absorption of GCV [3]. Enhancements of intestinal absorption of GCV by Pluronic F-68, verapamil, Polyethylene glycol-400, Tween-80 and Cremophor EL-35 excipients are probably due to inhibition of P-gp-mediated drug efflux. Long-circulating liposome-encapsulated GCV is a new approach to drug carriers to enhance the efficacy of suicide gene therapy was concluded by Yoshie Maitani, 2007 [10]. Polymeric Nanoparticles (NPs) used for drug delivery is defined as colloidal systems made of solid polymers. They offer a significant improvement over traditional oral and intravenous methods of administration in terms of efficacy and effectiveness [11]. They may be classified according to their size and the processes of preparation. Nanocapsules are composed of a polymeric wall containing inner core filled with a liquid where the drug is entrapped and nanospheres are made of a solid polymeric matrix in which the drug can be dispersed. Drug substances may be either adsorbed at the surface of the polymer or encapsulated within the particle. Particles may be produced by polymerization of synthetic monomers, or dispersion of synthetic polymers or natural macromolecules [12]. Polymeric NPs are made from biocompatible and biodegradable materials like polymers either natural like cellulose, CS, pullulan, gelatin, alginate or synthetic like poly-lactide (PLA), poly-lactide co-glycolide (PLGA), poly-ε-caprolactone (PCL). NPs can be taken up by the intestinal epithelial cells via different mechanisms like transcellular transport which involve the vesicular transport through M cells of Peyer’s patches, transport via the epithelial cell lining (enterocytes) in the intestinal mucosa and by paracellular pathway [13].

The present study was undertaken to develop GCV loaded CSNPs with the aim of enhanced permeability. GCV loaded CSNPs were prepared by ionic gelation method and evaluated for physicochemical properties. CS-TPP complex was formed by the ionic interaction between positively charged CS and negatively charged phosphoric ions of TPP. The mechanism of cross-linking of CS with TPP could be either by deprotonation or ionic interaction [14]. In-vitro drug release studies were performed in order to elucidate the ability of the developed formulation to release GCV. Ex-vivo permeability study was performed by normal sac method.

Materials and Methods

Materials

Ganciclovir (GCV) was kindly gifted by Bakul Finechem Research Center, Mumbai. Chitosan (molecular weight=110 kDa, 80.0% deacetylation degree) was gratis sample from Chitopharm S, Norway (USA). Sodium Tripolyphosphate (Cross-linking agent) was purchased from Sigma Aldrich, Mumbai (India). The water used was pre-treated with the Milli-Q plus system (Millipore, Q-5 UVS, India). All other materials were of analytical grade were used.

Preparation of GCV loaded CSNPs

The GCV loaded CSNPs were prepared by ionic gelation method. The possible mechanism for ionic gelation (Figure 1) is that the ionic interaction between positively charged CS with negatively charged polyanion Sodium Tripolyphosphate (TPP) [15]. Briefly in the preparation of optimized batch, CS solutions were prepared by dissolving CS into Milli-Q water containing glacial acetic acid (2%). GCV was dissolved in Milli-Q water containing TPP. NPs were formed by adding TPP solution drop wise onto a CS solution under mechanical stirring (Remi, India) at 1000 rpm for 100 min. Thereafter, the CS-TPP particle suspension was processed under ultrasonication by Probe sonicator (VCX- 500, Vibra cell, U.S.A.) for 5 minutes, producing CSNPs with controlled particle sizes. The dispersion was stored in refrigerator until further evaluation. The prepared GCV loaded CSNPs were dried by fluidized bed drying method [16].

Experimental design

From the prior experience and literature survey, the process and formulation parameters were identified and screened through 2\(^3\) fractional factorial design. Eight batches were prepared and evaluated for particle size, percentage entrapment efficiency (%EE) and morphology. Table 1 shows the independent and dependent variables with their coded and actual values for 2\(^3\) Fractional Factorial Design.

To optimize the identified factors from the screening design, Box-Behken Design was used with 15-run, 3-factor, 3-level was used. This design is suitable for investigating the quadratic response surface and for constructing a second order polynomial model. The dependent and independent variables with their coded and actual values for Box-Behken Design are shown in the Table 2. Based on the results of the screening design sonication time (5 min), stirring speed (1000 RPM) and drug amount (75 mg) was considered as constant variables for the preparation of the formulation.

Morphology observation

Morphology of the formulation was determined by Transmission electron microscopy (TEM) (Technai20, Philips, Holland) by placing drops of CSNPs dispersion on Lanthanum Hexabromide (LaB6) grid and allow to air dried. The NPs were viewed under a high resolution microscope at 200 kV accelerating voltage [17]. Scanning electron microscopy (SEM) (JSM 6010 LA, JEOL, USA) was used to access the morphology/shape of the NPs. A sample of dried CSNPs was placed on a double stick tape over aluminium stubs to get a uniform layer of particles. Sample was platinum coated for 20 sec. Then the sample was observed by SEM at 10 kV [18].

![Figure 1: Mechanism of crosslinking between Chitosan and TPP.](image-url)
CSNPs were recorded at room temperature using X-ray diffractometer (D2 PHASER, Bruker, Germany) with a voltage of 3 kV, 5 mA current, 40/min scanning speed. The samples were scanned from 0 to 600 (2θ) range with a step size 0.030 and a step interval of 0.1 second (sec) [22].

**Conductivity study**

The conductivity study was performed to confirm the cross-linking reaction by conductivity meter (Systronics; 307). The change in conductivity was measured after each mL of addition of TPP solution to the CS solution [23].

**In-vitro drug release study**

In-vitro drug release study of CSNPs dispersion was carried out in diffusion cell apparatus (J-FDC-07, Orchid Sciences and Innovations India Pvt. Ltd.) in phosphate buffer pH 6.8. At predetermined time intervals the samples was withdrawn and replenish with fresh medium and the absorbance was measured by HPLC (LC-2010C HT, Shimadzu, Japan) at 254 nm. Data obtained from the in-vitro drug release for formulation in different release medium were fitted to various kinetic models. Each experiment was performed in triplicate. The drug release mechanism and linearization were determined by finding the goodness of fit (R²) and sum squared of residuals (SSR) for each kinetic model [24].

### Results and Discussion

From the literature, various process and formulation parameters were identified and screened through 2²-2 fractional factorial design. The selected parameters were then optimized through Box-Behken design.

### Statistical analysis of experimental data

The results of the experimental design were analyzed which provided considerable useful information and reaffirmed the utility of statistical design for the conduct of experiments. Table 3 shows the 2²-2 Fractional Factorial Design with results. From the selected independent variables, including the drug to polymer ratio, concentration of TPP and the stirring time, significantly influenced the observed responses; particle size and %EE (%).

Figure 2 shows the Pareto chart of main effects of 2²-2 fractional factorial design. The height of each bar gives the information about the significance of the variables. Here, Drug: polymer ratio, concentration of TPP and stirring time was observed with significant effects. This can also be confirmed by the 3D surface plot (Figure 3) for the main effects obtained from 2²-2 fractional factorial design.

For the optimization of formulation Box-Behken design was performed by homogenously dried formulation in dried KBr in a mortar and pestle and then powder was compressed under vacuum using Ramo Scientific, US) to confirm the cross-linking reaction between the phosphoric group of the TPP and amino group of CS. The pellets were prepared by homogenously dried formulation in dried KBr in a mortar and pestle and then powder was compressed under vacuum using round flat face punch to produce pellet compact [20]. The sample was placed in IR light path and spectra were scanned over the wavelength number range of 4000 to 400 cm⁻¹ [21].

**Particle size and Zeta potential**

The size of the particles was determined by dynamic laser scattering technique using Malvern nano s90 (Malvern Instruments, UK) particle size analyzer at 25°C with an angle of 900. The zeta potential is crucial parameter for stability in aqueous nanoparticulate dispersion. The zeta potential measures the surface charge of the particles. The pellet obtained after centrifugation of the nanoparticulate dispersion was redispersed with water. The diluted sample was taken in a capillary tube and the zeta potential was determined by Zetasizer Nano ZS (Malvern Instruments, UK) [19].

**Entrapment efficiency (%EE)**

%EE of the drug was determined by High Performance Liquid Chromatography (HPLC) (LC-2010C HT, Shimadzu, Japan). Formulations were centrifuged at 10,000 rpm for 30 min. Supernant was collected and analyzed for drug content at 254 nm by HPLC (LC-2010C HT, Shimadzu, Japan) at 254 nm. Data obtained from the in-vitro drug release for formulation in different release medium were fitted to various kinetic models. Each experiment was performed in triplicate. The drug release mechanism and linearization were determined by finding the goodness of fit (R²) and sum squared of residuals (SSR) for each kinetic model [24].

**Fourier transforms infrared (FTIR) spectroscopy**

FTIR spectrum was recorded using NICOLET-6700 (Thermo Scientific, US) to confirm the cross-linking reaction between the phosphoric group of the TPP and amino group of CS. The pellets were prepared by homogenously dried formulation in dried KBr in a mortar and pestle and then powder was compressed under vacuum using round flat face punch to produce pellet compact [20]. The sample was placed in IR light path and spectra were scanned over the wavelength number range of 4000 to 400 cm⁻¹ [21].

**Powder X-ray diffraction (PXRD) study**

PXRD analysis provides the crystal lattice arrangements and gives the information regarding the degree of crystallinity in the formulation. It is also used for the identification of physical state of drug in the formulation. Powder X-ray diffraction spectra of dried

### Table 1: Variables with their coded and actual values for 2²-2 fractional factorial design.

| Independent variables | Low | High |
|------------------------|-----|------|
| A=Drug: polymer ratio (w/w) | (1) | (1) |
| B=Concentration of TPP (%v/v) | 1:1.5 | 1:3 |
| C=Stirring time (min) | 60 | 120 |
| D=Sonication time (min) | 5 | 10 |
| E=Stirring Speed (rpm) | 500 | 1000 |

**Dependent variables Constrains**

| Variables | Constrains |
|-----------|------------|
| Y₁=Particle size (nm) | 100-200 nm |
| Y₂=Entrapment efficiency (%) | Maximum |
| Y₃=Morphology | Spherical with uniform size |

### Table 2: Variables with their coded and actual values for Box-Behken design.

| Independent variables | Low | Medium | High |
|-----------------------|-----|--------|------|
| A=Drug: polymer ratio (w/w) | (-1) | (0) | (1) |
| B=Concentration of TPP (%w/v) | 0.3 | 0.4 | 0.5 |
| C=Stirring time (min) | 60 | 100 | 150 |

**Dependent Variables Constrains**

| Variables | Constrains |
|-----------|------------|
| Y₁=Particle size (nm) | 100-200 nm |
| Y₂=Entrapment Efficiency (%) | Maximum |

### Table 3: The 2²-2 Fractional Factorial Design with results (mean ± SD).

| Batch Code | Y₁ | Y₂ | Y₃ |
|------------|----|----|----|
| G1 | 364.9 ± 4.7 | 67.83 ± 1.4 | Spherical |
| G2 | 298.3 ± 6.4 | 63.94 ± 2.1 | Spherical |
| G3 | 257.4 ± 3.6 | 73.20 ± 1.2 | Spherical |
| G4 | 348.6 ± 11.2 | 60.04 ± 4.8 | Spherical |
| G5 | 276.3 ± 3.3 | 68.39 ± 5.1 | Spherical |
| G6 | 310.8 ± 15.3 | 59.10 ± 5.4 | Spherical |
| G7 | 186.9 ± 2.5 | 80.81 ± 2.1 | Spherical |
| G8 | 112.6 ± 1.1 | 83.57 ± 1.4 | Spherical |

[Where A=Drug: polymer ratio, B=Concentration of TPP (%v/v), C=Stirring time (min), D=Sonication time (min), E=Stirring Speed (rpm), Y₁=Particle size (nm), Y₂=Entrapment efficiency (%), Y₃=Morphology]
applied. All the batches were formulated and evaluated for the particle size and %EE. The results of all the batches are shown in Table 4.

### Particle size

The particle size for all the batches was found between 121.20 ± 2.7 nm to 294.5 ± 15.4 nm. The polynomial equation for particle size is as follows:

\[
Y_1 = 121.53 - 17.54A (P<0.05) - 0.13B (P<0.05) - 4.39C (P>0.05) - 11.79AB (P<0.05) - 9AC (P<0.05) + 19.93 BC (P<0.05) + 86.16A^2 (P>0.05) + 40.18B^2 (P>0.05) - 66.06C^2 (P>0.05)
\]

(2)

Among all the independent variables selected, A, B, C and AB, AC and BC were significant model terms as the p<0.05. Here, variable A, B and C have negative effect on particle size as revealed by negative value of coefficient in the Equation (2). There is increase in the particle size due to increase in the concentration of TPP would be due to cross-linkage between TPP and CS. This result is also confirmed by the response surface 3D plot for the particle size in Figure 4.

### Table 4: Box-Behnken experimental design showing Independent variables with measured responses (mean n=3 ± SD).

| Batch Code | Independent variables | Y₁ | Y₂ |
|------------|-----------------------|----|----|
| G1         | A=0 B=0 C=0          | 212.3 ± 3.1 | 68.87 ± 1.7 |
| G2         | A=0 B=1 C=0          | 273.6 ± 10.9 | 59.95 ± 0.5 |
| G3         | A=1 B=0 C=0          | 245.7 ± 4.6 | 64.75 ± 2.1 |
| G4         | A=1 B=1 C=0          | 259.9 ± 6.9 | 70.14 ± 2.8 |
| G5         | A=0 B=1 C=1          | 235.0 ± 3.7 | 72.21 ± 3.7 |
| G6         | A=1 B=1 C=1          | 285.4 ± 25.3 | 78.54 ± 0.7 |
| G7         | A=0 B=1 C=1          | 280.1 ± 30.15 | 71.91 ± 3.9 |
| G8         | A=1 B=1 C=1          | 294.5 ± 15.4 | 65.33 ± 2.4 |
| G9         | A=1 B=1 C=1          | 275.2 ± 10.8 | 69.45 ± 1.6 |
| G10        | A=0 B=1 C=1          | 225.0 ± 12.4 | 82.17 ± 2.5 |
| G11        | A=1 B=0 C=1          | 190.7 ± 22.7 | 79.62 ± 2.9 |
| G12        | A=1 B=0 C=1          | 220.2 ± 3.6 | 81.24 ± 1.5 |
| G13        | A=1 B=0 C=1          | 121.3 ± 1.2 | 84.00 ± 2.1 |
| G14        | A=0 B=0 C=1          | 120.7 ± 1.9 | 85.15 ± 1.1 |
| G15        | A=0 B=0 C=1          | 122.6 ± 2.1 | 82.91 ± 1.8 |

Where A=Drug/Polymer ratio, B=Concentration of TPP, C=Stirring time, Y₁=Particle size, Y₂=%EE.
The %EE for all the batches was found to be between 59.95 ± 0.5 and 85.15 ± 1.1%. The polynomial equation for %EE is as follows:

%EE = +84.02 – 0.47A (P<0.05) + 2.55B (P<0.05) – 0.53 C (P<0.05) + 3.58AB (P<0.05) – 3.23AC (P<0.05) - 2.78BC (P>0.05) - 12.11A² (P>0.05) - 5.98B² (P>0.05) + 0.085C² (P>0.05)  

(3)

From the Equation (3), it can be found that all the independent variables selected A, B, C and AB, AC were significant model terms as the p-value is less than 0.05. Here, variable A and C have negative effect on particle size as revealed by negative value of coefficient in the Equation (3). There is decrease in the %EE with increase in the drug-polymer ratio. This may be due to the fact that at higher concentration CS molecules in the dispersion are present very close to each other during precipitation by polyanion. So, they got precipitated to form a large particle. And this larger particle is having low entrapment of drug.25 This result is also confirmed by the response surface 3D plot for the particle size in Figure 5.

The statistical validation of the polynomial equations was established by ANOVA provision available in the software (Table 5).

Desirability function was utilized to optimize the best batch. The desirability of the optimized batch was found to be 0.978. The contour plot and 3D surface plot showing desirability of optimized batch are shown in Figure 6. The optimized batch was having particle size 121.20 ± 2.7 nm and 85.15 ± 1.1 %EE.

Zeta potential

The zeta potential of optimized batch was found to be +26.6 mV which ensures that the CSNPs loaded with GCV were stable. Moreover, the sialic acid residues are present on mucin have pKa of 2.6, making them negatively charged at physiological pH. The prepared GCV loaded CSNPs had positive surface charge, which is favourable for the electrostatic interaction with the mucin of mucous and imparts mucoadhesive characteristics.26
Morphology observation

The TEM and SEM images (Figure 7) revealed that particles were spherical in shape and with the size which correlated with the dynamic light scattering particle size measurement.

FTIR spectroscopy

Figure 8 shows the FTIR spectra of GCV, CS and formulation. In the FTIR spectra of cross-linked CS the peak of 1655 cm\(^{-1}\) disappears and two new peaks at 1645 cm\(^{-1}\) and 1554 cm\(^{-1}\) appear. The disappearance of the band could be attributed to the linkage between the phosphoric and ammonium ions. The cross linked CS also showed a peak for P=O at 1155 cm\(^{-1}\) [25]. Cross-linked CS-TPP NPs also shows broader peak at 2934-3421 cm\(^{-1}\) indicates hydrogen bonding between OH and NH\(_2\) groups [26,27]. So, this confirms the crosslinking between CS and TPP.

Powder X-ray diffraction (PXRD) study

The XRD patterns are shown in Figure 9. The XRD patterns of CS show 19.78\(^\circ\), 29.29\(^\circ\), 32.41\(^\circ\), 36.52\(^\circ\) prominent crystalline peaks (20 values) with high intensity [27]. The 20 value of GCV at 10.7\(^\circ\), 14.9\(^\circ\), 20.0\(^\circ\), 23.4\(^\circ\), 23.8\(^\circ\), 25.1\(^\circ\), 26.1\(^\circ\), and 28.7\(^\circ\) shows strongly indicates crystalline nature of GCV [28]. The powder diffraction profiles obtained for GCV corresponded with the XRD pattern reported by Ruchira Maiti Sarbajna [29]. The XRD diffractogram of prepared GCV loaded CSNPs suggested a lack of crystallinity or diffraction patterns were absent which indicates the existence of GCV in amorphous form or molecular dispersion [30]. XRD of CS shows few peaks, which indicates non-crystallinity. In GCV loaded CSNPs formulation a
addition of 12 mL of TPP. As stated above, in TPP at higher pH (pH 9) the decrease in conductance was observed up to predominantly ionic cross-linked. When TPP at higher pH (pH 9) was saturated with P_3O_5^{–5} ions it interacts with the amino (–NH_3^+) group. This in turn leads to a decrease in the conductivity. The nature of cross-linking with the change in the pH of TPP. The Figure 9 shows the conductivity curve. At first, when CS is solubilized in 1% glacial acetic acid, (pH 3.2) the free amino groups get hydrated. Addition of TPP at lower pH 3 leads to a decrease in conductivity up to the small size of the NPs. As the particle diameter was reduced, the specific surface area increased, while the path length to the surface of the drug decreased. Various kinetic models with their R^2 and SSR values are shown in Table 6. The model having highest value of R^2 and lowest value of SSR is considered as the best model to fit [31].

Conductivity study

The conductivity studies were performed to determine the relative changes in the ionic species with the change in pH. This would represent the nature of cross-linking with the change in the pH of TPP. The Figure 10 shows the conductivity curve. At first, when CS is solubilized in 1% glacial acetic acid, (pH 3.2) the free amino groups get hydrated. Addition of TPP at lower pH 3 leads to a decrease in conductivity up to the small size of the NPs. As the particle diameter was reduced, the specific surface area increased, while the path length to the surface of the drug decreased. Various kinetic models with their R^2 and SSR values are shown in Table 6. The model having highest value of R^2 and lowest value of SSR is considered as the best model to fit [31].

From the results it was found that drug release from CSNPs follows Higuchi model indicating that drug release as a diffusion process based on the Fick’s law [24].

Conclusion

In the present study, GCV was entrapped in CSNPs formulation. After the identification and screening of the various formulation and process variables, the formulation was optimized. The optimum formulation had a relatively lower particle size with a high %EE, a positive zeta potential, and optimal drug release profile, and is therefore potentially suitable for oral administration. The conductivity study, FTIR, and PXRD analysis confirmed the crosslinking mechanism. Enhanced GCV permeability was found in CSNPs loaded formulation in comparison with marketed formulation (P>0.05). The confocal microscopy resulted with effective penetration of GCV loaded CSNPs.

In-vitro drug release study

The in-vitro drug release study (Figure 11) was performed in pH 6.8 phosphate buffer. Drug exhibited sustained release behaviour with a steady rise in cumulative drug release up to the 24 hr. This shows uniform distribution of drug in the formulation. It was noteworthy that formulation showed an initial burst release possibly due to the small size of the NPs. As the particle diameter was reduced, the specific surface area increased, while the path length to the surface of the drug decreased. Various kinetic models with their R^2 and SSR values are shown in Table 6. The model having highest value of R^2 and lowest value of SSR is considered as the best model to fit [31].

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