Impact of the Audit and Intervention of Infectologists in the Use of Antimicrobials in Intensive Care Units

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Abstract

**Background:** Antimicrobials are among the most prescribed drugs in ICUs, where the use of these drugs is approximately 10 times greater than that of other wards. Even so, it is observed that between 30 to 60% of antimicrobial prescriptions performed in these units are unnecessary or inadequate. Thus, surveillance of antimicrobial prescription is a first and essential step to identify potential overuse or misuse, which could be the target of interventions for antimicrobial administration.

**Methods:** This is an observational, analytical, and prospective study conducted in two adult intensive care units (ICU 1 = surgical and ICU 2 = clinic), with 27 beds each. The study period was divided into pre-intervention (January to June 2019) and post-intervention (July to December 2019).

**Results:** Overall, in the pre- and post-intervention period, 91.4% and 90.0%, respectively, of patients received at least one antimicrobial agent. The most frequently prescribed antimicrobial classes were carbapenems (PRE = 26.0% vs POST = 24.9%; p = 0.245) followed by glycopeptides (PRE = 21.0% vs POST = 18.6%; p = 0.056). Overall, there was a significant reduction in the duration of therapy (PRE = 727 LOT / 1000pd vs POST = 680 LOT / 1000pd; p = 0.028). The highest rates regarding the time of use of antimicrobials were observed for carbapenems, followed by glycopeptides, with significant reductions in the time of exposure of glycopeptides (PRE = 284 DOT / 1000pd vs POST = 234 DOT / 1000pd; p = 0.014) and polymyxin B (PRE = 121 DOT / 1000pd vs POST = 88 DOT / 1000pd; p = 0.029), and significant increases for penicillins (PRE = 25 DOT / 1000pd vs POST = 45 DOT / 1000pd; p = 0.009), and tigecycline (PRE = 3 DOT / 1000pd vs POST = 27 DOT / 1000pd; p = 0.046).

**Conclusions:** In general, the intervention of infectologists in intensive care units had a limited impact on the results evaluated. This may be due to the short period analyzed. Therefore, it is important to monitor the impact of these changes in the long term, drawing a more accurate assessment of the effectiveness of an intervention, with the implementation of active feedback.

**Background**

Intensive care units (ICUs) can be a critical area for the emergence and dissemination of microbial resistance because it is a complex population, with severe clinical conditions and associated comorbidities, in addition to vulnerability to the large number of invasive procedures, where rates of nosocomial infections vary from 5 to 30% [1].

In this scenario, antimicrobials are among the most prescribed drugs in ICUs, in which the use of these drugs is approximately 10 times greater than that of other wards [1–3]. Even so, it is observed that between 30 to 60% of antimicrobial prescriptions performed in these units are unnecessary or inadequate [4]. The excessive and long-term use of antimicrobials has led to an increase in the number of adverse events related to drugs, increased health care costs, and, mainly, exerted selective pressure, contributing to microbial resistance, threatening its therapeutic efficacy [1, 4, 5–9].

Monitoring the use of antimicrobials in hospitals has become an instrument of great interest and particular attention in recent years [5]. In ICUs, this monitoring has an even greater need, due to the patient’s clinical condition, with higher rates of infections, especially nosocomial, high rates of resistance, and mortality [4]. Thus, surveillance of antimicrobial prescription is a first and essential step to identify potential overuse or misuse, which could be the target of interventions for antimicrobial administration [3].

The Management of Surveillance and Monitoring in Health Services and General Management of Technology in Health Services through the “National Guideline for the Development of a Management Program for the Use of Antimicrobials in Health Services” has proposed as process measures for the evaluation of the use of antimicrobials the Days of therapy (DOT) and Length of therapy (LOT) indicators [10]. That guideline describes DOT as the number of days a patient receives an antimicrobial agent, regardless of the dose. While the LOT is the number of days that the patient receives antimicrobial agents, regardless of the number of drugs. The DOT / LOT ratio reveals the combination of antimicrobial therapy or monotherapy.

There is no way to prove that initiatives for the rational use of antimicrobials are carried out if there is no data analysis, with this these indicators help to understand, quantify and map how antimicrobials are used in hospital units. Also, in the ICUs, the use of the DOT and LOT indicators is more relevant when compared to the Defined Daily Dose (DDD), the most widely used metric, as it avoids problems related to dosage modifications [4]. However, in Brazil, these metrics are still underused. Given the above, this study was designed to evaluate the impact of daily audits and interventions performed by infectologists, through the DOT and LOT indicators, in two intensive care units, in a tertiary care hospital in northeastern Brazil.

**Methods**

**Design and setting**

This is an observational, analytical, and prospective study conducted in two adult intensive care units (ICU 1 = surgical and ICU 2 = clinic), with 27 beds each. The study period was divided into pre-intervention (January to June 2019) and post-intervention (July to December 2019). It should be noted that ICU 1, during the study period, already participated in the project “Improving Patient Safety on a Large Scale in Brazil”, a collaborative member of the Institutional Development Support Program of SUS (PROADISUS).

**Inclusion and exclusion criteria**

The population of patients admitted to the units (including those who did not receive antimicrobials), between January 1 and December 31, 2019, was included, with analysis of antimicrobial agents administered intravenously or orally.
The exclusion criteria involved patients with a stay of fewer than 24 hours, and administration of antimicrobials by intramuscular, topical, ophthalmic, inhalation, antiviral, and antiretroviral routes.

**Data Source**

The review of prescriptions and data extraction were performed by the researcher, using an electronic database created especially for this purpose. The data regarding the use of antimicrobials were obtained from the individual prescriptions of the patients and forms of requests for antimicrobials, under the responsibility of the hospital pharmacy.

Other data were extracted from the records of active search under the surveillance of hospital infections carried out by the Center for Epidemiology, Patient Safety, and Hospital Infection.

**Outcome measures**

Primary outcomes were represented by the percentage of patients using antimicrobials and antimicrobial prescriptions, by class and agent, routes of administration, and diagnostic indications. Secondary outcomes included analyzes of pre- and post-intervention periods in the use of antimicrobials, expressed as DOT and LOT per 1000 patient days, the average length of stay in the units, and overall mortality.

Data entry and storage were performed in Microsoft Excel 2019 (Microsoft Corporation, Redmond, WA, USA), transferred for statistical analysis using Stata software version 15.1 (StataCorp, College Station, Texas, USA).

**Statistical analysis**

The variables were expressed as percentages, mean ± standard deviation (SD), or median with interquartile range (IQR: 25–75 percentile). The normality of data distribution was verified and compared using Student's t test or Wilcoxon rank-sum tests, as appropriate. The level of statistical significance was set at 0.05.

**Results**

During the study period, 981 patients were followed, 510 in ICU 1 and 471 in ICU 2, represented by 19,550 patient-days, with a median age of 54 years (IQR: 37–67) and 51 years (IQR: 33–65), respectively. Overall, in the pre- and post-intervention period, 91.4% and 90.0%, respectively, of patients received at least one antimicrobial agent. Slight reductions, but not statistically significant, were observed both individually in the ICU and globally after the intervention. Intravenous administration was present in 97.9% of pre-intervention prescriptions and 97.5% post-intervention, with a reduction, although not significant, globally, linked to the reduction of this route in ICU 1 prescriptions (Table 1).

The most frequently prescribed antimicrobial classes, globally, were related to carbapenems (PRE = 26.0% vs POST = 24.9%; p = 0.245) followed by glycopeptides (PRE = 21.0% vs POST = 18.6%; p = 0.056). There was no statistically significant difference for any of the classes prescribed between the pre- and post-intervention periods. In the individual analysis of each ICU, after the interventions, there were significant increases in ICU 1, referring to the number of tigecycline prescriptions (PRE = 0% vs POST = 1.4%; p = 0.043) and azithromycin (PRE = 0.6% vs POST = 1.1%; p = 0.029). In contrast, in ICU 2, a notable increase was found for the prescription of penicillin (PRE = 2.2% vs POST = 4.5%; p = 0.041) (Table 1).
### Table 1

Distribution of antimicrobial use, per unit, 2019.

| VARIABLES                        | UNITS | GLOBAL | ICU 1 | ICU 2 |
|----------------------------------|-------|--------|-------|-------|
|                                  | PRE   | POST   | p-value | PRE   | POST   | p-value | PRE   | POST   | p-value |
| Use of antimicrobials (%)        |       |        |         |       |        |         |       |        |         |
| PRE                             | 91.4  | 90.0   | 0.308   | 91.5  | 89.8   | 0.297   | 91.4  | 90.3   | 0.569   |
| Endovenous via                   |       |        |         |       |        |         |       |        |         |
| PRE                             | 97.9  | 97.5   | 0.695   | 98.7  | 97.5   | 0.099   | 97.1  | 97.5   | 0.646   |
| Number Of Prescriptions (%)     |       |        |         |       |        |         |       |        |         |
| Carbapenems IV                  | 26.0  | 24.9   | 0.245   | 25.7  | 23.4   | 0.098   | 26.2  | 26.5   | 0.605   |
| Glycopeptides IV                | 21.0  | 18.6   | 0.056   | 21.2  | 19.0   | 0.163   | 20.8  | 18.3   | 0.192   |
| Aminoglycosides IV              | 8.7   | 7.4    | 0.157   | 8.1   | 7.8    | 0.628   | 9.2   | 6.9    | 0.130   |
| Third-generation cephalosporins IV | 7.0  | 8.3    | 0.187   | 7.1   | 7.1    | 0.836   | 6.9   | 9.8    | 0.177   |
| Cefepime IV                     | 6.5   | 7.0    | 0.415   | 8.2   | 9.2    | 0.299   | 4.9   | 4.4    | 0.771   |
| Polymyxin B IV                  | 7.3   | 6.0    | 0.275   | 6.2   | 5.6    | 0.596   | 8.3   | 6.5    | 0.261   |
| Antifungals IV/PO               | 6.0   | 5.3    | 0.252   | 6.3   | 5.4    | 0.611   | 5.7   | 5.3    | 0.637   |
| Clindamycin IV/PO               | 4.1   | 4.9    | 0.560   | 3.7   | 4.4    | 0.606   | 4.5   | 5.4    | 0.601   |
| Metronidazole IV/PO             | 3.4   | 4.4    | 0.497   | 2.8   | 4.5    | 0.214   | 4.0   | 4.3    | 0.819   |
| Penicillins¹ IV/PO              | 2.2   | 4.5    | 0.016   | 2.3   | 4.4    | 0.080   | 2.2   | 4.5    | 0.041*  |
| First-generation cephalosporins IV/PO | 3.6  | 3.1    | 0.464   | 4.7   | 4.2    | 0.495   | 2.4   | 1.8    | 0.251   |
| Fluoroquinolones IV/PO          | 1.9   | 1.7    | 0.617   | 1.9   | 1.2    | 0.400   | 1.9   | 2.2    | 0.786   |
| Co-trimoxazole IV/PO            | 1.3   | 1.4    | 0.803   | 1.2   | 1.5    | 0.385   | 1.4   | 1.3    | 0.820   |
| Tigecycline                     | 0.2   | 1.8    | 0.058   | 0     | 1.4    | 0.043*  | 0.3   | 2.2    | 0.075   |
| Azithromycin IV/PO              | 0.9   | 0.8    | 0.633   | 0.6   | 1.1    | 0.029*  | 1.2   | 0.5    | 0.279   |

Legends: ICU 1 = surgical; ICU = clinic. Student’s t test, Wilcoxon rank-sum tests, * p-value < 0.05

In the individual analysis of each ICU, after the interventions, there were significant increases in ICU 1, referring to the number of tigecycline prescriptions (PRE = 0% vs POST = 1.4%; p = 0.043) and azithromycin (PRE = 0.6% vs POST = 1.1%; p = 0.029). In contrast, in ICU 2, a notable increase was found for the prescription of penicillin (PRE = 2.2% vs POST = 4.5%; p = 0.041) (Table 1).

Regarding the duration of therapy, although its reduction was not significant in the units in isolation, overall, this data was significant (PRE = 727 LOT / 1000pd vs POST = 680 LOT / 1000pd; p = 0.028). Each patient received an average of 1.8 ± 0.2 antimicrobials during their stay in the units, with a significant reduction globally (PRE = 1.9 DOT / LOT vs POST = 1.7 DOT / LOT; p = 0.046) linked to a reduction in ICU 2 (PRE = 1.9 DOT / LOT vs POST = 1.7 DOT / LOT; p = 0.007) (Table 2).
Table 2
Antimicrobial use rates, per unit, 2019.

| VARIABLES                  | UNITS | GLOBAL | ICU 1  | ICU 2  |
|----------------------------|-------|--------|--------|--------|
|                            | PRE   | POST   | PRE   | POST   |
|                            | p-value|        | p-value|        |
| LOT/1000pd                 | 727   | 680    | 726   | 684    |
|                            | 0.028*|        | 0.104 |        |
| DOT/LOT ratio              | 1.9   | 1.7    | 1.8   | 1.8    |
|                            | 0.046*|        | 0.235 |        |
| DOT/1000pd                 | 412   | 376    | 408   | 392    |
| Carbapenems IV             |       |        | 0.753 |        |
| Glycopeptides IV           | 284   | 234    | 280   | 251    |
| Aminoglycosides IV         | 124   | 103    | 124   | 109    |
| Polymyxin B                | 121   | 88     | 100   | 85     |
| Antifungals IV/PO          | 74    | 67     | 76    | 72     |
| Third-generation cephalosporins IV | 59    | 73     | 54    | 62     |
| Cefepime IV                | 67    | 61     | 83    | 84     |
| Clindamycin IV             | 39    | 42     | 48    | 47     |
| Penicillins IV/PO          | 25    | 45     | 25    | 39     |
| Metronidazole IV/PO        | 39    | 31     | 49    | 35     |
| Co-trimoxazole IV/PO       | 20    | 18     | 29    | 18     |
| First-generation cephalosporins IV/PO | 19    | 15     | 12    | 8      |
| Fluoroquinolones IV/PO     | 18    | 17     | 16    | 26     |
| Tigecycline                | 3     | 27     | 5     | 30     |
| Azithromycin IV/PO         | 8     | 6      | 11    | 3      |

Legends: ICU 1 = surgical; ICU = clinic. Student's t test, Wilcoxon rank-sum tests, * p-value < 0.05

Overall, the highest rates regarding the time of use of antimicrobials were observed for carbapenems, followed by glycopeptides, with significant reductions in the time of exposure of glycopeptides (PRE = 284 DOT / 1000pd vs POST = 234 DOT / 1000pd; p = 0.014) and polymyxin B (PRE = 121 DOT / 1000pd vs POST = 100 DOT / 1000pd; p = 0.029), however significant increases were observed for penicillins (PRE = 25 DOT / 1000pd vs POST = 39 DOT / 1000pd; p = 0.008) and tigecycline (PRE = 0 DOT / 1000pd vs POST = 23 DOT / 1000pd; p = 0.043). In ICU 1, there was a significant increase in the time of use of penicillins (PRE = 25 DOT / 1000pd vs POST = 39 DOT / 1000pd; p = 0.008), and tigecycline (PRE = 0 DOT / 1000pd vs POST = 23 DOT / 1000pd; p = 0.043). In ICU 2, there was a shorter time of use of carbapenems (PRE = 416 DOT / 1000pd vs POST = 361 DOT / 1000pd; p = 0.014), of glycopeptides (PRE = 289 DOT / 1000pd vs POST = 216 DOT / 1000pd; p = 0.043) and polymyxin B (PRE = 141 DOT / 1000pd vs POST = 92 DOT / 1000pd; p = 0.032) with increased exposure to penicillins (PRE = 26 DOT / 1000pd vs POST = 51 DOT / 1000pd; p = 0.043) (Table 2).

The diagnostic indications for the use of antimicrobials, in each unit reflecting globally, were predominantly related to respiratory infections and septic shock. The only difference observed after intervention was the reduction in indications for surgical site infections in ICU 2 (PRE = 4.3% vs POST = 1.4%; p = 0.032) (Table 3).
The parenteral route was, in general, the main choice for the administration of antimicrobials, corroborating with the data found in the literature. These infected patients have an even higher risk of mortality, most cases, requires the early start of antibiotics, due to the greater probability of contracting infections, representing about 20% of total hospital infections.

One of the main factors that lead to the extensive use of antimicrobials in intensive care units is associated with the severity of patients, a condition that, in percentages were reported in ICUs in hospitals in the United States and Europe that had 57% of patients using antimicrobials, and in the following year, after the intervention, there was a reduction to 71.4%. At a London University Hospital, received one or more antimicrobials, and in the following year, after the intervention, there was a reduction to 71.4%. At a London University Hospital, one of the main factors that lead to the extensive use of antimicrobials in intensive care units is associated with the severity of patients, a condition that, in years in an ICU at the University Hospital in Ghent, observed that 84% of patients, with a stay > 48 h, were exposed to at least one class of antibiotics. 

The data exposed in this study demonstrate that, globally, during the ICU stay, in the pre-intervention period, 91.4% of the patients received at least one antimicrobial agent and, although not significant, there was a slight reduction in the post-intervention period to 90.0%, reflecting the individual declines of each ICU. High percentages in the use of antimicrobials within intensive care units are recurrent in the literature. De Bus L et al. [1], when conducting a study for four years in an ICU at the University Hospital in Ghent, observed that 84% of patients, with a stay > 48 h, were exposed to at least one class of antibiotics. Álvarez-Lerma F et al. [11], when evaluating this data in an ICU of a general hospital in Barcelona, reports that in the year before the intervention 77.8% of the patients received one or more antimicrobials, and in the following year, after the intervention, there was a reduction to 71.4%. At a London University Hospital, Candeloro CL et al. [1], over a study period of 30 days, found that 73% of patients, with a stay > 24 h, were exposed to some antimicrobial. In contrast, lower percentages were reported in ICUs in hospitals in the United States and Europe that had 57% of patients using antimicrobials [4].

One of the main factors that lead to the extensive use of antimicrobials in intensive care units is associated with the severity of patients, a condition that, in most cases, requires the early start of antibiotics, due to the greater probability of contracting infections, representing about 20% of total hospital infections. These infected patients have an even higher risk of mortality [2, 5, 4].

The parenteral route was, in general, the main choice for the administration of antimicrobials, corroborating with the data found in the literature [6, 1]. Candeloro CL et al. [1] reports that among 90% of prescriptions directed to the parenteral route, only 5.8% were transferred to the enteral route. After the
intervention, reductions in the indication of these pathways, although not significant, were observed globally linked to reductions in the ICU 1. One of the factors that may have contributed to this de-escalation, especially in this unit, was the participation in a project to improve patient safety, with a round-trip and multidisciplinary visit, requiring from this unit more active monitoring of the rational use of antimicrobials. The predilection of the parenteral route may be related, among others, to the necessary immediacy of the results, also, the options for the oral route are limited. The switch from parenteral to enteral in ICUs is a very controversial subject. Changing the route may bring some important results, such as early discharge, less risk of bacteremia, less use of venous access, and incidence of thrombophlebitis, and lower cost of treatment [12].

The most prescribed antimicrobial classes, individually in units with global reflex, were related to carbapenems, followed by glycopeptides. In addition to those described, studies also show significant values for cephalosporin and beta-lactam prescriptions (piperacillin + tazobactam) in intensive care units [2, 1, 8, 12]. The data reveal that the most recurrent antimicrobial therapy involves agents of a broad spectrum and that it is often performed empirically, during the uncertainty of the diagnosis, not always representing the appropriate therapy [2, 4]. That is why it is important to send cultures before starting antimicrobials, making it possible to verify the response to treatment more quickly. Empirical therapy should be guided by accurate and recent antibiograms, in addition to having standardized approaches that take into account the susceptibility pattern of bacteria commonly isolated in the units [4].

The mean duration of antimicrobial therapy was 703 LOT / 1000pd, with a significant reduction globally. The literature is scarce regarding the use of this indicator in ICUs. In Brazil, Marcelino FAB [2], found a rate slightly above the finding (median = 844 LOT / 1000pd). These high rates reflect the complexity of infections acquired by patients in intensive care units. Also, the age group of the population observed, in this study requires a longer time for recovery, enabling the acquisition of secondary infections. Each patient received an average of 1.8 ± 0.2 antimicrobials during their stay in the units, indicating that the combination of antimicrobial therapy is common in hospital ICUs. There was a significant reduction in this data when observed globally, linked to a reduction in the number of antimicrobials prescribed in the ICU2.

Similar to the number of prescriptions, the highest rates related to the time of use of antimicrobials have been described for carbapenems followed by glycopeptides. These findings reflect the main diagnostic indications observed in this study, which referred to respiratory infections and septic shock. This data corroborates with several studies that point out the respiratory system as the most affected in ICUs [3, 2, 12, 4]. When comparing the data from this study with others, it was found that the rate regarding the time spent using carbapenems was higher than the findings by De Bus L et al. [3] (236 DOT / 1000pd) and Candeloro CL et al. [1] (196 DOT / 1000pd), a higher rate about glycopeptides (187 DOT / 1000pd); and lower when compared to the study by Rupali P et al. [7] (426 DOT / 1000pd). Studies still demonstrate a relevance to the time of use of beta-lactams in ICUs, in which the rates ranged from 116 DOT / 1000pd to 296 DOT / 1000pd [3, 7, 6, 1].

Overall, significant increases in the exposure time of penicillins and tigecycline have been observed. However, there were marked reductions in the time of use of glycopeptides and polymyxin B. When comparing the pre- and post-intervention periods, individually in the units, it was found that, in ICU 1, there was a significant increase in the number of azithromycin and tigecycline prescriptions with longer exposure time for penicillins and tigecycline. While, in ICU 2, a notable increase was found in the number of prescriptions and time of exposure to penicillin, with a reduction in the time of use of carbapenems, glycopeptides, and polymyxin B. The results of this study are consistent with the findings by Hwang H [9] when assessing the impact of interventions led by infectious disease specialists on antibiotic use in a large Korean hospital.

The median length of stay, overall, in the units, was 14 days. The literature describes, for intensive care units, one remained ranging from 6 to 18 days [2, 7, 11, 12]. These observed variations can be explained due to the characteristics of the patients seen at each institution.

As for the percentage of general mortality, this study found an average of 25.0% ± 3%. Similar data were observed by Rupali P et al. [7] in which in the pre-intervention phase mortality was 22.4% and in the intervention phase 27.6%. Higher percentages were found by Marcelino FAB [2] (33.0%) and lower ones as described by De Bus L et al. [3] (10.7%), Gasparetto J et al. [12] (12.6%), and Álvarez-Lerma F et al. [11], this reports that in the previous year the intervention the mortality percentage was 13.7% and in the following year after the intervention is reduced to 11.1%.

Although intervention in the present study slightly decreased the use of antimicrobials, there were no changes in the length of stay and survival of patients.

The strengths of this study include the prospective design with comparison before and after interventions of a team of infectologists in the rationalization of the use of antimicrobials in intensive care units, the direct investigation of the prescriptions enabling a greater precision of the analysis regarding the use of antimicrobials and the use of the DOT and LOT indicators according to the new recommendations for monitoring the use of antimicrobials. However, some limitations were noted: First, the study was conducted at a single center. Second, comorbidities have not been evaluated. Third, the adequacy of the prescriptions was not addressed. Fourth, no data were obtained on the prevalence of bacterial pathogens and their susceptibility patterns.

**Conclusion**

In general, the intervention of infectologists in intensive care units had a limited impact on the results evaluated. This may be due to the short period analyzed. Although the difference in the percentage of patients using antimicrobials, after the intervention, was not significant, a small decline in data was observed, significantly interfering with the time of exposure to certain broad-spectrum agents. Therefore, it is important to monitor the impact of these changes in the long term, drawing a more accurate assessment of the effectiveness of an intervention, with the implementation of active feedback.

The hospital does not have a management program for the use of antimicrobials, nor does it have a basic structure or adequate resources for its development, but these initiatives, although immature, can gradually interfere significantly in the care of patients.

**Abbreviations**
Declarations

Ethics approval and consent to participate.

This study was approved by the Research Ethics Committee of the Federal University of Sergipe, with CAAE: 15583219.4.0000.5546, under opinion No. 3.518.197 and by the Institutional Teaching and Research Center. A waiver of informed consent was obtained due to the non-interventional nature of this study and the complete anonymity of patient data.

Consent for publication.

Not applicable.

Availability of data and materials.

All data generated or analyzed during this study are included in this published article [and its supplementary information files].

Competing interests.

The authors declare that they have no competing interests.

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Authors' contributions.

RMRS collected, analyzed, and interpreted the data and wrote the work. ACBC, EKCVK, WBS, and IMFL contributed to the conception of the work and substantially revised it. All authors read and approved the final manuscript.

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