Influence of mechanical, thermal, and electrical perturbations on the dielectric behaviour of guest-encapsulated HKUST-1 crystals†

Arun Singh Babal and Jin-Chong Tan†*

Metal–organic frameworks (MOFs) are emerging low-\(k\) dielectric materials for application in next-generation microelectronics and telecommunication devices. MOF dielectrics can function as smart sensors with high sensitivity and chemical selectivity, by leveraging the ubiquitous dielectric response of MOFs and overcoming the limitations of DC conductivity and fluorescence approaches. Herein we study the effects of material synthesis, applied mechanical stress (37–520 MPa), varying temperature (20–100 °C), and guest encapsulation on the frequency-dependent dielectric response (4 Hz to 1 MHz) and AC conductivity of the HKUST-1 MOF. Particularly, we show that the confinement of the triethylamine (NEt3) guest molecules in HKUST-1 (host) yields a NEt3@HKUST-1 system that is tuneable via mechanical, thermal and electrical perturbations. Within the frequency range of 10 kHz to 1 MHz, at 20 °C, we show that the dielectric constant (\(\varepsilon'\)) of the guest-encapsulated system could be tuned to attain a value in the range of 2.8 to 7.2; at 100 °C, an even greater value of \(\varepsilon'\) in the range of 3.1 to 9.5 could be achieved. Conversely, we found that the dielectric tuneability of the porous (guest-free) HKUST-1 is relatively more limited (\(\varepsilon' = 2.8\) to 4.9) whilst employing the same operational parameters. Furthermore, the confinement of guest molecules in HKUST-1 enhances the mechanical resilience and yield strength of the powders subject to a compressive pelleting stress. Together, the results elucidate the new potential for exploiting host–guest interactions in MOFs, coupled with electrical, thermal, and mechanical stimuli to regulate the precise dielectric response of a designer low-\(k\) material.

1. Introduction

In recent years, the research on low-\(k\) dielectric materials has gained attention due to their potential for enhancing the performance of next-generation electronic devices and telecommunications. Metal–organic frameworks (MOFs) are highly porous hybrid materials consisting of organic linkers connected to inorganic metal sites to yield tuneable crystalline materials.1–3 Their unique properties such as ordered framework structures having long-range porosity, low density and high thermal/chemical stability make them a potential candidate for the interlayer dielectric applications. Electronic device miniaturisation has introduced new challenges such as higher RC time delay, crosstalks between the circuits, and power consumption penalty.4,5 The aforementioned challenges can be addressed by implementing new ultralow-\(k\) dielectric materials. Theoretical calculations of MOF-based dielectrics have predicted hypothetical static dielectric constant values of less than 2.0,6,7 which are well below the conventionally used SiO\(_2\) whose static dielectric constant is \(k \approx 4\).

While the studies concerning the dielectric properties of MOFs are quite limited compared to their research pertaining to adsorption/desorption and separations, the nascent field of MOF dielectrics is steadily growing. For example, we have studied the dielectric properties of a commercial grade of HKUST-1 powder [Cu\(_3\)BTC\(_2\), BTC = benzene-1,3,5-tricarboxylate] in the broadband frequency region (Hz and THz). The work has revealed all the dielectric transitions as a function of pelleting pressure, and elucidated a number of polarisation mechanisms in HKUST-1.8 More recently, we characterised the transient dielectric response of a single crystal of HKUST-1 by subjecting it to different guest environments.9 Other earlier exemplars include Lu et al. who synthesized two different Ni-based supramolecular compounds that possess different types of polar guest molecules.10 They reported that the solvent molecule and counterions play an important role in regulating the dielectric property of the resulting material. Usman et al. reported the temperature-dependent dielectric behaviour of a...
strontium-based MOF ([Sr(1,3-bdc)₂(H₂O)₂]₂H₂O)ₙ (1,3-bdc = 1,3-bis(4,5-dihydro-2-oxazolyl)benzene). They reported a guest-free and solvent resistant Zn-based MOF [Zn₂(Hbbim)₂(bbim)]ₙ (H₂bbim = bisbenzimidazole). They showed a low dielectric constant of 3.05 at 1 MHz, which was found to be stable in the temperature range tested (3.5–350 K).

MOF materials can be tuned by different synthesis methods, controlled reaction parameters and modulators. Using a modulator is an effective way of controlling the size and morphology of MOF crystals. Xin et al. studied the effects of modulators (sodium acetate and triethylamine (NEt₃)) and the type of copper source on the structure and morphology of the resulting HKUST-1. They showed that the effect of the modulator varies according to the copper system and it depends on the nucleation rate.

In this work, we have employed two synthesis routes to yield a porous and guest-encapsulated HKUST-1 MOF crystal exhibiting different morphologies. The dielectric response of the guest-encapsulated material is contrasted against that of the porous guest-free framework by imposing a range of stimuli, such as the alternating electric field, temperature variation, and mechanical stress. To the best of our knowledge, this study represents the first example of its kind where the tuneable dielectric response of a MOF material has been systematically probed subject to different physical and chemical stimuli. The results reveal the scope to engineer bespoke MOF dielectrics targeting technological applications ranging from low-k to high-k integrated electronics, sensors, and telecommunication devices.

2. Experimental section

2.1 Materials

Copper nitrate trihydrate (Cu(NO₃)₂·3H₂O, 99%), 1,3,5-benzetricarboxylic acid (H₃BTC, 98%), methanol (CH₃OH, 99.5%) and triethylamine (NEt₃, 99%) were purchased from Sigma Aldrich. All the chemicals were used without further purification.

2.2 Synthesis of HKUST-1 (without using NEt₃)

The solvothermal synthesis of HKUST-1 was carried out under ambient conditions. Both Cu(NO₃)₂·3H₂O (6 g) and H₃BTC (2.52 g) were dissolved separately in 150 mL of CH₃OH and later on, an additional 100 mL of CH₃OH was added into the mixture and stirred for 30 min. The synthesized product was washed several times using methanol to remove excess linkers and then dried overnight in the oven at 80 °C. The yield of the final product was about 10% with respect to the metal content. The obtained powder sample was denoted as HKUST-1-S.

2.3 Synthesis of HKUST-1 using NEt₃

The lack of precipitation in the standard HKUST-1 synthesis described above was obvious. To improve the product yield, an excess of NEt₃ (6.06 mL) was added to the solution to expedite the deprotonation of the H₃BTC linkers. A blue precipitate comprising nanocrystals of HKUST-1 was formed instantly when the metal and organic linker solutions were combined. After the reaction (same steps as a standard reaction), the mother liquor was colourless indicating the depletion of copper ions after HKUST-1 synthesis. The yield of the final product was about 90% with respect to the metal content. The powder sample obtained using this method was designated as HKUST-1-T. This method leads to the encapsulation of NEt₃ guest molecules in the HKUST-1 pores.

2.4 HKUST-1 pellet preparation and density measurements

The pellets of 13 mm diameter were prepared under different compressive loads (uniaxial force = 0.5, 1, 3, 5 to 7 tons) using a hydraulic press (Specac 15 tons). The mass of each pellet was kept constant at 150 mg. These pellets were designated as HKUST-1-S-N or HKUST-1-T-N, where N is the pelleting load in tons. Subsequently, the nominal density of the pellets was determined from mass/volume.

2.5 Morphology studies

The HKUST-1 crystals were characterised using a scanning electron microscope (SEM, Carl Zeiss EVO LS15). The HKUST-1 crystals mounted on the carbon tape were gold sputter coated for 60 s, prior to SEM imaging at 30 keV under high vacuum.

AFM imaging was carried out using the Veeco Dimension 3100 instrument operating under tapping mode, equipped with a Tapping00-G probe with a resonant frequency of 300 kHz and a force constant of 40 N m⁻¹.

2.6 Infrared spectroscopy

FTIR spectra of the powders and pellets were recorded in the mid-IR region (400–4000 cm⁻¹) using the Nicolet-iS10 FTIR spectrometer equipped with an ATR sample accessory. The spectral resolution of the instrument was 0.5 cm⁻¹.

2.7 Thermogravimetric analyses

Thermogravimetric analysis (TGA) was used to investigate the thermal stability of the HKUST-1 samples. The measurements were performed using the TGA-Q50 machine (TA Instruments) under an inert atmosphere of nitrogen. For this study, the samples were heated from 40 °C to 500 °C at 10 °C min⁻¹ in a platinum sample holder.

2.8 X-Ray diffraction

X-ray diffraction (XRD) of the pellets was carried out in a transmission mode using the Xencos NanolXider machine (using the Cu Kα₁ source operating at 30 W, λ = 0.154 nm). The XRD spectrum of each pellet was recorded at 2θ = 4–60° under a very high-resolution (VHR) beam setting for 2000 s (scan rate = 0.00357° s⁻¹, step size = 0.01°). The spot size and the flux of the beam were 400 μm and 15 Mph s⁻¹, respectively. Before the XRD characterization, pellets were pre-evacuated for 16 h to mimic the conditions of the samples in dielectric measurements.
2.9 Dielectric properties studies

The electrical properties of HKUST-1 in the frequency range of 4 Hz to 1 MHz were investigated using the Hioki-IM3536 LCR meter. These measurements were performed in the temperature range of 20–100 °C with a step size of 10 °C under a vacuum of 1 × 10⁻³ bar. A thin layer of silver conducting paint (RS) was applied on the opposing sides of the pellets to yield a parallel-plate capacitor configuration. The silver-coated pellets were mounted between two flat and circular aluminium electrodes (13 mm diameter). The setup was calibrated in the vacuum oven to eliminate any parasitic impedance and admittance. All pellets were evacuated in a vacuum (10⁻³ bar) for 16 h beforehand to activate the HKUST-1 framework by removing any moisture content. The real part of dielectric constant (ε′) and the imaginary part of the dielectric constant (ε″) were determined using the following equations:

\[ \varepsilon' = \frac{Cd}{\varepsilon_0 A} \]  
\[ \varepsilon'' = \varepsilon' \tan \delta \]

where \( C \) is the capacitance, \( d \) is the distance between the pair of parallel plate electrodes, \( A \) is the area of the electrode, \( \varepsilon_0 \) is vacuum permittivity and \( \tan \delta \) is the loss tangent.

3. Results and discussion

3.1 Effects of NEt₃ on the size, morphology, and porosity of HKUST-1 crystals

The SEM micrograph shown in Fig. 1(a) shows the HKUST-1-S sample comprising crystals with an average size of 1.5 ± 0.7 μm. However, the morphology of the HKUST-1-T sample is completely different, suggesting the formation of larger aggregates as can be seen in Fig. 1(b). However, using AFM imaging we established that these aggregates are in fact made from nanosized crystals, whose size is ca. 48 ± 17 nm, as shown in Fig. 1(c and d). Additional SEM and AFM images of the HKUST-1 crystals are given in the ESI† (Fig. S1 and S2). The significant difference in terms of their crystal morphology can be explained by the use of NEt₃ in the synthesis of HKUST-1-T, where the rapid deprotonation of H₃BTC by NEt₃ (as a base) has resulted in a high nucleation rate but this suppressed subsequent crystal growth. Upon drying the small nanocrystals will fuse together to yield agglomerates like those found in Fig. 1(b and c).

Fig. 2(a) shows the variation of nominal density as a function of pelleting pressure for the two series of samples prepared from the HKUST-1-S and HKUST-1-T crystals. The density curves for the pellets obey an exponential function of the form \( \rho \propto -\exp(-\sigma) \), where \( \rho \) and \( \sigma \) are the nominal pellet density and nominal stress, respectively. At the highest 7 ton load, the nominal densities of the resulting pellets have risen to ~185% (HKUST-1-S) and ~200% (HKUST-1-T) compared with the theoretical density of an ideal HKUST-1 crystal (948.9 kg m⁻³). The curve of HKUST-1-S approaches a plateau beyond the application of about 3 ton load, but this effect is delayed in the case of the HKUST-1-T pellets. The densification data suggest that the occluded guest molecules within HKUST-1-T (Fig. 2(b)) could increase the mechanical stiffness of the porous framework,⁸⁻¹⁰ thus improving its resilience against mechanical deformation and collapse. The guest encapsulation and pelleting pressure-dependent systematic colour shift, from turquoise to dark blue (Fig. 2(a) inset), can be explained by the change in the refractive index \( n^2 = k \) which is also evident in the pressed powder pellets.

In order to confirm the presence of NEt₃ guest molecules encapsulated in HKUST-1-T (Fig. 2(b)), we have employed a range of complementary techniques. Fig. 2(c) shows the distinctively different FTIR spectra of the two samples, wherein in addition to the common characteristic peaks of HKUST-1, a new vibrational band was detected at 1224 cm⁻¹ assigned to the C–N stretching mode of NEt₃ (guest) found in HKUST-1-T. Additionally, the pressure-induced amorphization of the pellets was also evident from the systematic peak broadening of the characteristic vibrational modes in FTIR spectra as a function of pelleting pressure (Fig. S3, ESI†). The amorphization of the HKUST-1 framework was corroborated by X-ray diffraction (Fig. S4 and S5, ESI†), where the outcome of the FWHM analysis (Fig. S5, ESI†) points to the pressure-induced amorphization of the framework.¹⁰⁻¹¹ We note that the comparatively higher FWHM values of the compressed pellets of HKUST-1-T over HKUST-1-S can be ascribed to the prevalence of nanocrystals found in the former sample (Fig. 1(c)).

The encapsulation of NEt₃ in the HKUST-1 framework was also investigated by N₂ adsorption at 77 K. The HKUST-1-T powder sample showed a BET surface area of 165 m² g⁻¹, which is appreciably lower than 1007 m² g⁻¹ determined for the HKUST-1-S powder. The adsorption data support the notion that the pores of HKUST-1-T are not fully accessible due to guest occlusion. From the nitrogen sorption isotherms in Fig. S6(a) (ESI†), it can be surmised that HKUST-1-S and HKUST-1-T have
more pronounced microporosity and mesoporosity, respectively. Subsequently, we have confirmed this via pore size distribution analysis shown in Fig. S6(b) (ESI†), where HKUST-1-S has predominantly micropores of ≈2 nm, whereas HKUST-1-T is composed of mesopores ranging from ca. 2–20 nm. The mesoporosity of HKUST-1-T can again be attributed to the nanosized crystal aggregates shown in Fig. 1(b and c). Finally, TGA data in Fig. S6(c) (ESI†) show that in contrast to HKUST-1-S, HKUST-1-T shows an additional weight loss from 170 °C to 280 °C, which can be associated with the expulsion of NEt₃. Subsequently we estimated an average of 1.5 NEt₃ guest molecule per unit cell of HKUST-1-T.

3.2 Dielectric behaviour

The activated HKUST-1 pellets were used to investigate the effects of pelleting pressure and guest encapsulation on the dielectric properties, as a function of temperature from 20 °C to 100 °C. All dielectric measurements were performed under vacuum and over the frequency range of 4 Hz to 1 MHz. The dielectric constant of a porous framework material, such as MOFs, depends on its effective density and its polarizability. In the proceeding section, it has been established that the pellet nominal density rises exponentially as \( \exp(-\sigma) \), with pelleting stress \( \sigma \). Fig. 3(a) shows the real part of the dielectric constant \( (\varepsilon') \) of HKUST-1-S pellets at 20 °C, which increases from 2.79 (0.5t) to 4.46 (7t) at 1 MHz frequency, i.e. \( \varepsilon' \) has risen by a factor of \( \approx 1.6 \). Clearly, this is caused by the pressure-induced densification of the porous framework and structural amorphization elucidated in Section 3.1. Notably, there is a marked jump of \( \Delta \varepsilon > 0.57 \) between the 0.5t and 1t pellets followed by \( \Delta \varepsilon > 0.43 \) between the 1t and 3t pellets, which could be linked to framework collapse when the applied stress approaches \(~ 160 \text{ MPa}\). Raising the temperature of the 0.5t pellet from 20 °C to 100 °C has caused only a small increase of \( \varepsilon' \) from 2.79 to 2.86, this finding suggests the absence of temperature-dependent dipole moments. Overall, we did not see a strong influence of temperature on the \( \varepsilon' \) and \( \varepsilon'' \) values of HKUST-1-S, although the densest 7t pellet appears to be more sensitive to temperature change compared to the lower density pellets.

Turning to the guest-encapsulated samples, Fig. 3(b) shows that the dielectric response of HKUST-1-T is significantly different from that of HKUST-1-S, both in terms of the magnitude of \( \varepsilon' \) and its response to heating. Initially at 20 °C, we determined that \( \varepsilon' \) of HKUST-1-T at 1 MHz has increased from 2.81 (0.5t) to 6.48 (7t), i.e. a factor of 2.3 times higher due to the pelleting pressure. Upon compression, the NEt₃ molecules (guest) may form weak interaction with the oxygen found at the Cu-paddle wheel of HKUST-1 (host) and contribute to the overall dielectric property in addition to the effect arising from framework densification, thus resulting in an increased of \( \varepsilon' \) value. A jump of \( \Delta \varepsilon > 1.34 \) occurring between the 1t and 3t pellets of HKUST-1-T could be attributed to framework collapse, but this is detected at a stress of \(~ 190 \text{ MPa}\) which is relatively higher than HKUST-1-S (\(~ 160 \text{ MPa}\), see Fig. 2(a) inset). This finding supports the hypothesis that the encapsulation of NEt₃ guest molecules in HKUST-1-T improves its mechanical resilience. For the 0.5t pellet, \( \varepsilon' \) at 1 MHz increases from 2.81 (20 °C) to 3.16 (100 °C). The rate of increase in the temperature-dependent \( \varepsilon' \) value is notably
greater for samples obtained by higher pelleting pressure. For example, in the 7t pellet, the value of $e'$ at the lower frequency of 10 kHz went up drastically from 7.15 to 9.46 when the 20 °C sample was heated to 100 °C. Our results suggest that the higher temperature will augment the dipole motion of the encapsulated NEt$_3$ guests under an alternating electric field (10 kHz $\leq$ 0.1 MHz $\leq$ 1 MHz), thereby giving a marked rise in the $e'$ and $e''$ values with pelleting stress. The guest-encapsulated HKUST-1 thus exhibits a considerably stronger temperature-induced dipole moment, which is different from that of the porous (guest-free) HKUST-1-S sample described above.

At higher frequency and temperature, the dipole moment cannot be kept up with the higher alternating field, resulting in energy loss and its contribution to polarization drops. This can be explained using the imaginary part of the dielectric constant ($e''$), which represents the dissipative losses. For the HKUST-1-S pellets, the dissipation of the porous framework was found to be notably greater at 1 MHz frequency, and the loss gently increases with heating due to thermal perturbations. For the HKUST-1-T pellets, the steep increase in $e''$ at the lower frequency of 10 kHz in response to heating is associated with the loss induced by the encapsulated NEt$_3$ molecules. This effect can be suppressed by elevating the frequency. The detailed frequency-dependent dielectric plots of both samples are presented in the ESI† (Fig. S9–S14).

We further investigated the dielectric properties of HKUST-1 under ambient conditions of 44% RH (relative humidity), in the frequency range of 4 Hz to 8 MHz. The results are presented in Fig. S15–S17 of the ESI†. Interestingly, as shown in Fig. S15 (ESI†), the pellets of HKUST-1-T show a relatively lower $e'$ value than HKUST-1-S, because the presence of NEt$_3$ molecules occupying the pores of the former has lessened moisture uptake. The framework density and interaction between water and the NEt$_3$ guest molecule play a major role in the HKUST-1-T pellets, both factors become more prevalent for higher pressure pellets resulting in the overall rise of the $e'$ values. In contrast, the dielectric response of the HKUST-1-S pellets is dominated by the adsorbed water molecules (because $e''$ $\approx$ 80 at 20 °C for water), whose occupancy will decline with higher pelleting pressure (giving reduced porosity), resulting

Fig. 3 Dielectric response as a function of temperature for the 0.5t, 1t, 3t, 5t, and 7t pelleted samples of HKUST-1-S (left panels) and HKUST-1-T (right panels), all of which measured under vacuum conditions. (a and b) Real part of the dielectric constant $e'$. (c and d) Imaginary part of the dielectric constant $e''$. 
in an overall reduction of dipole moments and thereby the lowering of the $\epsilon'$ values.

3.3 Alternating current (AC) conductivity behaviour

The frequency-dependent electrical properties of different HKUST-1 pellets were studied at increasing temperatures. Fig. 4(a) and (b) show the temperature-dependent conductivity of the HKUST-1 pellets. The slight increase in the conductivity of the HKUST-1-T pellets can be attributed to the NEt$_3$ guest molecules present in the pores of the host framework. From the results it was deduced that the weak interaction of NEt$_3$ with the framework caused by the pelleting pressure will increase the charge hopping probability and also decrease the percolation threshold causing a sudden increase in conductivity beyond the pelleting pressure of 1 ton, which was not observed in the HKUST-1-S pellets. Both the frequency and temperature affect the conductivity of HKUST-1 pellets, which can be observed for the individual pellet in the ESI† (Fig. S18 and S19).

Fig. 4(c and d) shows the real vs. imaginary part of the impedance of 0.5 and 7 ton pellets for a better understanding of the effect of pelleting pressure. The impedance data were fitted into a large arc using the resistance and two equivalent circuits connected in series (implemented in ZView software). The instrumental noise caused by the connections during measurement was represented by resistance $R_1$ in the circuit. In the second circuit, the constant phase element (CPE$_2$) and resistance ($R_2$) are related to the bulk property of HKUST-1, whereas the constant phase element (CPE$_3$) and resistance ($R_3$) of the third circuit are related to the interface between the electrode and the HKUST-1 pellets. The estimated capacitance value from the impedance curve was found to lie in the range of pF cm$^{-1}$, which can be attributed to the intrinsic property of the bulk material. The conductivity of pellets can be calculated using the equation $\sigma_e = d(R \times A)$, where $\sigma_e$ is the conductivity, $d$ is the distance between electrodes, $A$ is the cross-section area and $R$ is the curve-fitted resistance. The calculated conductivity values of all the pellets are shown in Table S1 (ESI†).
The pattern of increase in the conductivity values of the HKUST-1-T pellets is consistent with the experimentally obtained dielectric and conductivity values. These conductivity results confirm the highly insulating nature of HKUST-1, which is central to the function of low-k materials. All the fitted parameters of the real and imaginary parts of the impedance data are given in the ESI† (Fig. S24 and S25).

4. Conclusions

In summary, we presented a high-yield HKUST-1 MOF synthesis technique for low-k and tuneable dielectric applications, where trimethylamine (NET₃) guest molecules are confined in the porous host framework to yield a NET₃@HKUST-1 system (herein termed as HKUST-1-T). From thermogravimetric analysis, NET₃ was determined to be 1.5 molecules per formula unit of the host framework, thus resulting in a chemical formula of HKUST-1 as [Cu₃(BTC)₂]·1.5[N(CH₂CH₃)₃]. At 1 MHz frequency, the 0.5t pellet of the guest-encapsulated material has a dielectric constant value of ε’ = 2.81 at 20 °C and 3.14 at 100 °C, which is an exceptional example of guest@MOF dielectrics at elevating temperatures, in contrast to the guest-free HKUST-1-S that exhibits a relatively smaller difference of ε’ = 2.79 (20 °C) and 2.87 (100 °C). Electrical impedance measurements and analysis revealed that the low-dielectric constant values are an intrinsic property of the host framework that can be modified by mechanical stress. Uniquely, we show that the guest-encapsulated material exhibits a broadly tuneable dielectric response through precise adjustments to parameters such as the frequency, temperature, and pelleting pressure. Moreover, the mechanical property of the open framework can be enhanced by guest encapsulation, where we show that the yield strength and structural stability of the compressed pellets can be improved by guest confinement. Collectively, the results demonstrate an innovative pathway for tailoring the physical behaviour of MOFs for dielectrics and other multifunctional devices.

Conflicts of interest

There are no conflicts to declare.

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