Metal-Multilayer-Dielectric Structure for Enhancement of $s$- and $p$-Polarized Evanescent Waves

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Abstract

We propose a structure based on combination of multilayer stack of dielectric films and thin metal layer for excitation and enhancement of both $s$- and $p$-polarized evanescent waves. It is shown that two different mechanisms of evanescent wave excitation may occur at the same angle of light beam incidence on the structure. Application for evanescent wave polarization holographic recording with the help of this structure is discussed.

Keywords: Surface plasmon resonance, Evanescent wave, Polarization holography

Background

Evanescent waves are waves that propagate along the boundary between two media and penetrate in them over a distance less than the wavelength. In such waves, all the energy concentrates in a narrow area near the boundary. That is why evanescent waves are very attractive for optical characterization with subwavelength resolution. The evanescent waves can be excited by various methods: diffraction on edge, total internal reflection, surface plasmons, multilayer dielectric structures, etc. The first two methods do not provide fields of high intensity on the surface. To obtain high-intensity electromagnetic field (high compared to the inner-atom field) and not to destroy the sample, one can use methods of the local field enhancement.

The enhanced field can be obtained in multilayer dielectric structure for $s$-polarized light [1]. This structure consists of $N$ series of high $n_H$ and low $n_L$ refractive index quarter wavelength dielectric layers. Besides, the final layer should have low refractive index, and it is chosen to maximize the intensity of the evanescent wave. It was shown that enhancement factor can be derived from the standard method [2] for describing the propagation of light in dielectric layers and looks as follows:

$$\frac{T}{T_0} = \frac{Y_H^{2N+2}(n_S^2-1)}{Y_L^{2N}Y_S^2(n_L^2-1)},$$

where $T$ is transmitted intensity, $T_0$ is transmission without coating, and $Y_i = n_i \cdot \cos(\theta)$, where $i$ denotes the corresponding layers: high (H), low (L), or substrate (S). It demonstrated experimentally the enchantment factor about 77 [1].

One of the popular methods of local field enhancement is surface plasmon resonance (SPR) excitation by $p$-polarized light. SPR occurs when the wave vector of surface plasmon is equal to the parallel wave vector of the evanescent wave by the total internal reflection (TIR) conditions on the prism-medium boundary [3]:

$$\frac{2\pi}{\lambda} \sqrt{n_H^2 n_M^2 - n_H^2 - n_M^2} = \frac{2\pi}{\lambda} n_S \sin(\theta_{\text{SPR}}),$$

where index $M$ corresponds to the metal layer with complex refractive index [4]. Equation 2 defines the angle of SPR $\theta_{\text{SPR}}$ in the Kretschmann configuration. The dielectric layer can be added to control the dispersive curve [5] or the air gap can be placed between two metal layers to store the SPR evanescent waves in the planar microcavity [6].

Presentation of the Hypothesis

Is it possible to enhance evanescent waves in both polarizations simultaneously at the same structure? Our idea is to combine the dielectric multilayer structure (DMS) and SPR methods for exciting both $s$- and $p$-polarized evanescent waves. We suppose that DMS can...
preserve the enhancement of the s-polarized evanescent wave after a thin metal layer adding. At the same time, SPR should provide a proper enhancement for p-polarized evanescent wave.

The proposed structure based on the combination of quasi quarter wave HL dielectric layers and a thin metal layer is shown in Fig. 1.

**Testing the Hypothesis**

To obtain the electric E and magnetic H fields produced by the propagation of plane wave in a multilayer structure, we solve the Helmholtz in Eq. 3 within each layer with appropriate boundary conditions.

\[
(\nabla^2 + k^2) \begin{cases} E \\ H \end{cases} = 0, \quad (3)
\]

where \(\nabla^2\) is the transverse Laplasian and \(k\) is the wave-number. We use the implementation of the S-matrix algorithm that prevents numerical instabilities by taking into account a slightly absorbing permittivity and permeability of the dielectric layers. It is important because of complex refractive index of thin metal layer and high value of electromagnetic field in the structure.

We consider the DMS layers combined with complex refractive index metal layer (relative permittivity for Ag is \(\varepsilon = -9.2931 + 0.87201\) at 532-nm wavelength) with thickness of 50 nm. The layer of low refractive index is SiO\(_2\) (\(n = 1.4607\)) and the layer of high index is TiO\(_2\) (\(n = 2.6678\)). The prism, as we consider in our calculations, has refractive index of 1.52.

The optimization of layer thickness is started from the sequence of quarter-wave HL structure consisting of six dielectric layers. A deviation from quarter-wave stack of dielectric layers is widely used to achieve desirable dispersion curve that causes very significant enhancement of the internal electric field. The numerical modeling shows that the desirable effect is present for quasi-periodical structures with thickness greater that quarter wavelength, namely: 1.54 \(\lambda/4\) for the first H-layer, 1.4 \(\lambda/4\) for the next H-layers, \(\lambda/4\) for all L-layers except the last L-layer which is 1.866 \(\lambda/4\).

The calculation shows that the angular resonances for s- and p-polarizations can overlap, and the intensity dip in reflectance at both polarizations occurs. The structure is non-transmitting TIR at the considered angle of incidence. The dependencies of electric field |E| on interface “structure-air” on the incident angle \(\theta\) for p- and s-polarized incident light are shown in Fig. 2. The factor of enhancement at the resonance angle is about 300 for p-polarized wave and about 1300 for s-polarization. The theoretical limit of enhancement factor for DMS is greater than 10,000 at the expense of extremely narrow acceptance angle. Figure 2b shows that the width of resonance is about 0.02°, which is comparable with laser beam divergence. It is worthy to note that the resonance of DMS is extremely sensitive to the imperfections of
the last interface. This causes the difficulty of the structure manufacturing.

Summarizing, we show that two different mechanisms of evanescent wave excitation and enhancement (SPR and DMS resonance) can be realized at one structure simultaneously. Evanescent wave produced by SPR is transferred via DMS to the last interface, L-layer—air. At the same time, the metal layer does not prevent the significant enhancement of the internal electric field in DMS.

**Implications of the Hypothesis**

It is shown that the proposed metal DMS allows excitation of both s- and p-polarized evanescent waves. It is possible to equalize the intensities and incident angle of the orthogonal polarizations by adjusting the thickness of dielectric and metal layers. The s- and p-polarization evanescent waves can be applied for holographic recording in polarization-sensitive media like bacteriorhodopsin [10] and liquid crystalline polymers [11–13]. Also, this structure can be used for many practical applications similar to [14–16].

Another question: where the holographic media should be placed? It is evident that we can change the last L-layer by photosensitive medium. The internal electric field at the last L-layer is higher or compatible with the evanescent wave outside of the structure.

**Abbreviations**

DMS: dielectric multilayer structure; SPR: surface plasmon resonance; TIR: total internal reflection.

**Competing Interests**

The authors declare that they have no competing interests.

**Authors’ Contributions**

SGI gave final approval of the version to be published and performed the modeling and analysis. RAL took part in the formulation of ideas and ways to approve it. VBT directed the project and contributed to the design of the manuscript and to the practical application of the idea. All authors read and approved the final manuscript.

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