Continental pollution in the Western Mediterranean basin: vertical profiles of aerosol and trace gases measured over the sea during TRAQA 2012 and SAFMED 2013

C. Di Biagio¹, L. Doppler²,³,⁴ C. Gaimoz¹, N. Grand¹, G. Ancellet², J.-C. Raut², M. Beekmann¹, A. Borbon¹, K. Sartelet⁵, J.-L. Attié⁶,⁷, F. Ravetta², and P. Formenti¹

(*corresponding author: claudia.dibiagio@lisa.u-pec.fr)

1 LISA, UMR CNRS 7583, Université Paris Est Créteil et Université Paris Diderot, Institut Pierre Simon Laplace, Créteil, France
2 Sorbonne Universités, UPMC Univ. Paris 06; Université Versailles St-Quentin; CNRS/INSU, LATMOS-IPSL, Paris, France
3 Freie Universität Berlin, Berlin, Germany
4 Deutscher Wetterdienst, Meteorological Observatory Lindenberg, Germany
5 CEREA, Joint Laboratory École des Ponts ParisTech – EDF R & D, Université Paris-Est, 77455 Marne la Vallée, France
6 Laboratoire d'Aérologie, University of Toulouse, UMR 5560 CNRS, France
7 CNRM GAME UMR 3589 CNRS, METEO-FRANCE

Abstract

In this study we present airborne observations of aerosol and trace gases obtained over the sea in the Western Mediterranean basin during the TRAQA (TRansport and Air QuAlity) and SAFMED (Secondary Aerosol Formation in the MEDiterranean) campaigns in summers 2012 and 2013. A total of 23 vertical profiles were measured up to 5000 m above sea level over an extended area
During TRAQA and SAFMED the study area experienced successfully measured a wide range of meteorological conditions which favoured the pollution export from different sources located around the basin. Also, several events of dust outflows were measured during the campaigns. Observations from the present study indicate that continental pollution largely affects the Western Mediterranean both close to coastal regions and in the open sea as far as ~250 km from the coastline. Aerosol layers not specifically linked with Saharan dust outflows are distributed ubiquitously which indicates quite elevated levels of background pollution throughout the Western basin. The measured aerosol scattering coefficient varies between ~20 and 120 Mm$^{-1}$, while carbon monoxide (CO) and ozone (O$_3$) mixing ratios are in the range of 60-170-165 ppbv and 30-85 ppbv, respectively. Pollution reaches 3000-4000 m in altitude and presents a very complex and highly stratified structure characterized by fresh and aged layers both in the boundary layer and in the free troposphere. Within pollution plumes the measured particle concentration in the Aitken (0.004-0.1 µm) and accumulation (0.1-1.0 µm) modes is between ~100 and 5000-6000 scm$^{-3}$ (standard cm$^{-3}$), which is comparable to the aerosol concentration measured in continental areas under pollution conditions. Additionally, our measurements indicate the presence of highly concentrated Aitken layers (10000-15000 scm$^{-3}$) observed both close to the surface and in the free troposphere, possibly linked to the influence of new particle formation (NPF) episodes over the basin.

1. Introduction

Atmospheric aerosols play an important role on climate through their participation to several chemical, dynamical, and radiative processes. At present, still large uncertainties persist in the
estimation of the aerosol direct and indirect effects mainly due to the difficulty of fully characterizing their spatial and vertical distribution and properties (Boucher et al., 2013).

The Mediterranean region is a complex area where atmospheric aerosols of different origins and types may be found (Pace et al., 2006; Kallos et al., 2007; Gkikas et al., 2012). High levels of anthropogenic aerosol particles and pollutants are measured in the Mediterranean (Lelieveld et al., 2002), which is also indicated as one of the main hot spots for air quality issues (Monks et al., 2009).

The North-Western part of the Mediterranean basin, due to its proximity to highly polluted industrialized areas (such as the Po Valley in northern Italy and the Fos/Berre in southern France) and large coastal cities (Barcelona, Genoa, Marseilles, Nice, or Valencia), is frequently affected by continental outflows and severe pollution episodes (Mallet et al., 2005; Pérez et al., 2008; Pey et al., 2010). The strength of these episodes is particularly intense during summer when stable meteorological conditions and the high level of insolation promote photochemical reactions and the build-up of ozone and other pollutants (e.g. Millán et al., 2000).

A number of studies have investigated the dynamics of pollution export over the Western basin with the aim of characterizing the impact of anthropogenic emissions over this region. Most of these studies have been conducted in continental coastal areas and provide information on the vertical distribution of aerosols and their properties mainly close to local pollution sources. They include ground-based observations with lidars (Soriano et al., 2001; Pérez et al., 2004; Ancellet and Ravet, 2005), and airborne campaigns, such as MECAPIP (MEso-meteorological Cycles of Air Pollution in the Iberian Peninsula) and RACAPMA (RegionAl Cycles of Air Pollution in the west central Mediterranean Area) in coastal Spain (Millán et al., 1996 and 1997) and ESCOMPTE (Experience sur Site pour Contraindre les Modeles de Pollution atmospherique et de Transport d’Emissions) in Southern France (Drobinski et al., 2007). The results of these studies have highlighted the important role of pollution in modulating the atmospheric composition in this part
of the basin, as well as the high variability of the aerosol distribution and properties in link to different export conditions (Flamant and Pelon, 1996; Soriano et al., 2001; Mallet et al., 2005). In particular, the interaction between synoptic circulation and local dynamics, such as orography and sea breezes, has been shown to strongly impact the vertical distribution, layering, and aging of particles along coastal regions (e.g. Millan et al., 1997; Gangoiti et al., 2001; Pérez et al., 2004; Velchev et al., 2011).

The capability of reproducing this complexity by air quality models represents a real challenge (Jimenez et al., 2006; Jiménez-Guerrero et al., 2008), and experimental observations gives a fundamental support to test the performances of the model outputs over the Western Mediterranean environment.

The large set of observations conducted in the last decades has permitted to acquire a detailed characterisation of pollution aerosols in the surroundings of the Western basin. However, at the present time we miss an extensive representation of the mean aerosol load, distribution, and properties in the whole region, in particular over the remote sea. In addition, there is a significant lack of observations over some key areas, as for example the Gulf of Genoa, directly under the influence of the outflow from the highly polluted Po Valley (Velchev et al., 2011).

In this study we present measurements of aerosols and trace gas vertical profiles acquired during 24 scientific flights performed with the ATR-42 French research aircraft during the TRAQA (TRansport and Air QuAlity) and SAFMED (Secondary Aerosol Formation in the MEDiterranean) campaigns in summers 2012 and 2013 in the framework of the Chemistry-Aerosol Mediterranean Experiment (CHARMEX, https://charmex.lsce.ipsl.fr/). The TRAQA and SAFMED flights explored an extended region of the Western Mediterranean basin between 40°-45°N latitude and 2°W-12°E longitude including the Gulf of Genoa, Southern France, the Gulf of Lion, and the Spanish coasts. Measurements were performed over the sea at various distances from the coastline with lidar and in situ instruments. During TRAQA and SAFMED the Western basin was interested
by under diverse synoptic conditions which led to the occurrence of different pollution export regimes (Mistral/Tramontane events, outflow from the Po Valley and the Iberian Peninsula) and allowed sampling atmospheric aerosols of different origin and types.

The main objective of the present work is to provide extensive observations of the vertical distribution of aerosols and trace gases related to the export of anthropogenic pollution at the regional scale of the Western Mediterranean basin. The detailed knowledge of the vertical structure of the atmosphere is very important to understand the impact of continental pollution over the basin.

The paper is organized as follows: in Sections 2, 3, and 4 we describe the flight trajectories and strategy during TRAQA and SAFMED, the in situ measurements carried out on board the ATR-42 aircraft, and the meteorological conditions observed during the campaigns. In Sect. 5 we present the results. The aerosols and trace gases vertical profiles are shown in Sections 5.1 and 5.2. Section 5.3 is dedicated to analyse the variability of the pollution plume composition and atmospheric structure also in link with the different outflow conditions. Airborne measurements in presence of layers with high concentrations of fine particles are discussed in Section 5.4. The main conclusions are reported in Section 6.

2. Overview over flights

Figure 1 shows the trajectories of the flights performed during the TRAQA (20 June-13 July 2012) and the SAFMED (24 July-1 August 2013) campaigns. Research flights were performed with the SAFIRE (Service des Avions Français Instruments pour la Recherche en Environnement, http://www.safire.fr/) tropospheric aircraft ATR-42. The aircraft has a maximum endurance of 4 h. The flight altitude ranges between a minimum of ~60 m over the sea, to a maximum of ~5000 m above sea level (a.s.l.). The aircraft was based in Toulouse (43°36’N, 1°26’E, France) during TRAQA and in Genoa (44°24’N, 8°55’E, Italy) during SAFMED. Twenty-four flights for a total of ~75 hours of data have been collected. Seventeen of the twenty-four flights presented in the paper
were performed during TRAQA (flight numbers V16 to V32) and 7 during SAFMED (V46 to V52). All flights were carried out during daytime, when light-induced chemistry favours the pollution levels. Frequently, two flights per day, with intermediate stops in different airports in Southern France, Corsica, and Sardinia, were performed. The majority of flights were over the sea, with some exceptions investigating inland areas in Southern France and central Italy. Main information concerning the TRAQA and SAFMED flights is summarized in Table 1.

The general flight strategy consisted in plane flights with lidar observations and vertical ascents/descents to sound the vertical atmospheric column (from ~60-100 m to 3000-5000 m a.s.l.) and identify main meteorological and aerosol features, followed by straight levelled runs (SLRs) within the detected aerosol layers. In this study we focus on vertical profiles data. A total of 23 profiles were acquired in 20-30 minutes each by performing a spiral trajectory ~10-20 km wide. Fig. 1 also identifies the geographical position of each sounding. As shown in Fig. 1 the profiles were performed at different distances from the coastline, from a minimum of ~5-10 km for V31 and V32 to more than ~250 km for V20 and V25, and covered almost all the different sectors of the Western basin.

3. Measurements and methods

The basic equipment of the ATR-42 aircraft includes sensors for the measurements of meteorological parameters (pressure, temperature, relative humidity, wind components), radiative fluxes (down- and up-welling shortwave and longwave radiation), and carbon monoxide (CO) and ozone (O3) mixing ratios.

Aerosol sampling was performed using the AVIRAD system (Formenti et al., 2011). AVIRAD is an iso-axial and iso-kinetic inlet which, at the normal cruise speed of the ATR-42 (~93 m s⁻¹), samples air at a volumetric flow of ~350 l min⁻¹. The 50% passing efficiency of the inlet was tested to be 12 μm diameter. Various sampling lines depart from AVIRAD to connect to different instruments
mounted inside the aircraft cabin: (i) a 3-wavelength nephelometer (TSI Inc., model 3563) for the measurement of the dry particle volume total scattering ($\sigma_s$) and hemispherical backscattering ($\sigma_{bs}$) coefficients at 450, 550, and 700 nm; (ii) a 7-wavelengths aethalometer (Magee Sci., model AE31) for the measurement of the particle absorption coefficient ($\sigma_a$) at 370, 470, 520, 590, 660, 880, and 950 nm; (iii) an optical particle counter (OPC) (GRIMM Inc., model 1.129) for the measurement of the particle number concentration over 32 size classes between 0.3 and 32 $\mu$m in diameter; (iv) a Condensation Particle Counter (CPC, TSI Inc., model 3775) for the measurement of the total particle number concentration in the diameter range 0.004-3.0 $\mu$m; and (v) 3 lines for aerosol sampling on filter membranes and a 4-stage cascade impactor (Dekati Inc) to measure the bulk and size-segregated particle composition. In addition, the ATR-42 was equipped with a Passive Cavity Aerosol Spectrometer Probe (PCASP, model 100X) OPC—optical particle counter for the measurement of the aerosol number concentration over 31 size classes between 0.1–3.0 $\mu$m. The PCASP was installed outside the cabin on the left side of the aircraft fuselage.

In this study we consider measurements of the (i) aerosol scattering coefficient from the nephelometer, (ii) particle concentration from the CPC and PCASP instruments (GRIMM data are not considered since they are available only below ~350 m during TRAQA), (iii) CO and O₃ trace gases from the MOZART analyser, and (iv) meteorological parameters from the ATR-42 sensors. A more detailed description of the nephelometer, CPC, PCASP, and MOZART measurements and their data analysis is provided in the following sections.

The present analysis is based only on measurements obtained in cloud free conditions.

### 3.1 Aerosol scattering coefficient

A three-wavelength integrating nephelometer has been used to measure the dry particle volume total scattering ($\sigma_s$) coefficient at 450, 550, and 700 nm. The sampling flow rate was 30 l min⁻¹. Data were acquired at 6 s resolution during TRAQA and 1 s resolution during SAFMED.
instrument was calibrated prior the each campaign with free-particle air and CO$_2$ as gases of low and high known scattering coefficient. Nephelometer measurements have been corrected for angular truncation and Lambertian non-idealities by applying the formulae by Anderson and Ogren (1998). The measurement uncertainty on $\sigma_s$ is calculated taking into account for the photon counting, gas calibration, and angular corrections uncertainties (Anderson et al., 1996; Anderson and Ogren, 1998). The total uncertainty on $\sigma_s$ is estimated to be lower than 10% at the three wavelengths.

The nephelometer measured the scattering coefficient in dry air conditions. This is due to the heating of the airflow while entering the aircraft cabin and the temperature in the cavity of the instrument. The relative humidity measured during the flights inside the nephelometer was <25% in more than ninety percent of cases, with values up to ~40% occasionally observed at very low altitudes (<200 m) over the sea surface. A possible underestimation of the scattering coefficient may thus occur in case of hygroscopic aerosols, especially under high relative humidity conditions in the atmosphere.

The particle scattering Ångström exponent ($\alpha_s$) has been calculated from spectral nephelometer measurements with a power-law fit of the measured scattering coefficients versus wavelength.

### 3.2 Aerosol particle number concentration

The vertical profiles of the total particle number concentration in the Aitken (dN$_{\text{Aitken}}$, 0.004-0.1 µm), accumulation (dN$_{\text{Acc}}$, 0.1-1.0 µm) and coarse (dN$_{\text{Coarse}}$, >1.0 µm) modes have been obtained by combining CPC and PCASP data. The CPC and the PCASP measured at a sample flow of 1.5 and 0.06 l min$^{-1}$, respectively, and with a time resolution of 1 s for the PCASP and 5 s and 1 s for the CPC during TRAQQA and SAFMED, respectively.

The PCASP was factory calibrated with monodisperse polystyrene sphere latex (PSL) whose complex refractive index at the instrument operating wavelengths (632.8 nm) is 1.59-0i.
measured sphere-equivalent optical diameter has been converted to a sphere-equivalent geometrical diameter ($D_g$) by taking into account the complex refractive index of the sampled aerosol (Liu and Daum, 2000). Given that in the very large majority of cases the aerosol sampling during TRAQA and SAFMED was associated to the export of pollution plumes, only pollution aerosols have been considered for OPC-PCASP correction. Note that these data are not optimized for dust or marine aerosol observations. A large interval of values ($n \sim 1.50-1.72$, $k \sim 0.001-0.1$ for UV-visible wavelengths) are reported in the literature for the real and the imaginary parts of the refractive index for anthropogenic aerosols over Europe (e.g., Ebert et al., 2002 and 2004; Müller et al., 2002; Mallet et al., 2003 and 2011; Chazette et al., 2005; Raut and Chazette, 2008). For our calculations at 632.8 nm we have fixed the imaginary part of the refractive index to 0.01, thus representing a mean absorbing aerosol, and then we have varied the real part between its minimum (1.50) and maximum (1.72) reported value. $D_g$ is then set at the mean ± one standard deviation of the values obtained for the different values of $n$. We assume in these calculations that the refractive index does not vary with height. After refractive index correction the $D_g$ range for the PCASP becomes 0.10-4.47 µm, with an uncertainty between 1 and 25%. The smallest and the largest size bins of the OPC-PCASP, for which the minimum and maximum edges respectively are not defined, have been excluded from the datasets, thus reducing the PCASP $D_g$ range to 0.11-4.17 µm.

Once corrected for the refractive index, PCASP data have been combined with those from the CPC to calculate $dN_{Aitken}$, $dN_{Acc}$, and $dN_{Coarse}$. Values for $dN_{Acc}$ and $dN_{Coarse}$ are obtained by integrating the PCASP number concentrations in the 0.1-1.0 µm and 1.0-4.17 µm ranges, while $dN_{Aitken}$ is estimated as the difference between CPC concentration and the integral of PCASP data between 0.1 and 3.0 µm. The comparison between the PCASP and the GRIMM below 350 m altitude indicates that the former underestimates by about 50% the aerosol concentration in the range 0.4-1.0 µm (the accuracy of the GRIMM has been verified by optical closure study against simultaneous aircraft nephelometer measurements). This is estimated to induce a ~20% underestimation of the $dN_{Acc}$.
calculated here. Conversely, the PCASP underestimation in the 0.4-1.0 µm range has almost a negligible impact on \( dN_{\text{Aitken}} \).

CPC measurements, and so \( dN_{\text{Aitken}} \) data, were not available during SAFMED flights V49, V50, and part of V51.

### 3.3 Trace gases

Carbon monoxide (CO) and ozone (O\(_3\)) mixing ratios were measured by the MOZART instrument described in detail by Nedélec et al. (2003). CO is a long-lived tracer for air masses influenced by combustion processes, whereas O\(_3\) in the troposphere is a photochemical product of the oxidation of CO and volatile organic compounds (VOCs) in the presence of nitrogen oxides (NO\(_x\)). CO and O\(_3\) are measured at a resolution of 30 s and 4 s, respectively. The nominal uncertainty is ±5% for CO and ±2% for O\(_3\) (Nedélec et al., 2003). However, a recent airborne intercomparison in May 2014 in the framework of the French ChemCalInt project and the TGOE European Joint Research Activity has suggested a greater uncertainty (up to 30%) on CO measurement by MOZART on-board the ATR-42 (A. Borbon, personal communication, 2015). Trace gas analysis will focus mostly on the vertical distribution of the \( \Delta O_3/\Delta CO \) ratio rather than absolute concentrations (see section 5.3) and the uncertainty on CO should not affect data interpretation.

### 3.4 STP conversion

In order to compare measurements obtained at different altitudes the data presented here are reported at standard temperature and pressure (STP) using \( T=293.15 \) K and \( p=1013.25 \) hPa (NIST, National Institute of Standards and Technology, values). Hence, the scattering coefficient is scaled to STP conditions and the particle concentrations are given as particles per standard cm\(^3\) (scm\(^{-3}\)).
For a generic parameter $x$ measured at the temperature $T$ and pressure $p$, the conversion at STP is done calculated with the formula:

$$x(\text{STP}) = x(T, p) \frac{T}{293.15} \frac{1013.25}{p}$$

(1). CO and O$_3$ do not need to be corrected for STP since the mixing ratio does not depend on temperature and pressure.

3.5 Meteorological parameters

The vertical profiles of the pressure ($p$), the temperature ($T$), the relative humidity (RH) and the wind components towards the east and the north ($U$, $V$) measured on board the ATR-42 have been used to analyse the atmospheric structure during flights. Starting from the measured parameters the potential temperature ($\theta$) has been also calculated as $\theta = T(p_0 / p)^{0.286}$ with $p_0 = 1013.2$ mbar. For each profile the height of the marine aerosol boundary layer (MABL) and planetary boundary layer (BL) has been estimated visually by looking at the vertical gradients of $T$, $\theta$, and RH. Meteorological parameters have been also used to calculate the vertical profiles of the gradient Richardson number ($Ri$):

$$Ri = \frac{g}{\theta} \frac{\partial \theta}{\partial z} \left/ \left( \left( \frac{\partial U}{\partial z} \right)^2 + \left( \frac{\partial V}{\partial z} \right)^2 \right) \right.$$ (2).

In Eq. (42) $g$ is the gravitational acceleration and $z$ is the height. The $Ri$ number is the ratio between the buoyancy force and the wind shear and it is used to indicate dynamic stability and the formation of clear air turbulence. Turbulence can develop when $Ri$ is below the critical threshold $Ri_{\text{crit}} = 0.25$, while it is inhibited for $Ri > 1$ (e.g., Wallace and Hobbs, 2006). In this study the profiles of $Ri$ are
used to provide indications of favorable/unfavorable conditions for the development of turbulent conditions within the atmosphere.

3.6 Tracking the air mass back-trajectories

The Lagrangian trajectory model FLEXTRA (FLEXible TRAjectories, Stohl et al., 1995) has been used in selected cases to track the origin of sampled air masses. Five days three-dimensional back-trajectories have been calculated using the ECMWF (European Centre for Medium-Range Weather Forecast) operational analysis with a 0.5° by 0.5° horizontal resolution and up to 30 vertical model levels below 4000 m. The model specific humidity and potential vorticity is also interpolated along the trajectory path.

4. Meteorological conditions, aerosol load, and pollution export regimes

In order to characterize the general aerosol conditions encountered over the Western Mediterranean basin during the TRAQA and the SAFMED campaigns we have plotted the time-series of the aerosol optical depth ($\tau, \pm 0.02$) at 440 nm and the 440-870 nm Ångström exponent ($\alpha$) measured with a Cimel sunphotometer (Holben et al., 1998) at the three AERONET stations of Barcelona, Frioul, and Ersa located along the coast around the Western basin (see Fig. 1). Level 1.5 cloud-screened data are used in this study. Data are shown in Fig. 2 and correspond to the period of the campaign of measurements plus 10 days before and after. Table 1 reports the date, location, and main meteorological and export conditions encountered during TRAQA and SAFMED flights.

The TRAQA campaign in 2012 was characterized by very variable meteorological conditions which prevented the accumulation of high levels of pollutants over the basin. Over the analysed AERONET sites, the aerosol optical depth was below 0.2 before the beginning of the TRAQA.
campaign over the whole basin and increased, especially at Barcelona and Ersa, to ~0.3-0.5 (with $1<\alpha<2$) in the periods 23-26 June and 3-13 July 2012. Isolated peaks of $\tau$ were measured in correspondence of two Saharan dust intrusion events which occurred on the 17-23 June ($\tau$~0.6) and 29 June 2012 ($\tau$~1.4). Different wind regimes occurred during TRAQA and favoured the continental outflow from different regions located around the basin. Two examples of wind maps derived from WRF-Chem model (Grell et al., 2005) at 925 mbar are shown in Fig. 3 for 26 June and 3 July 2012. Main observed meteorological/export conditions can be summarized as follows: (i) on 26-27 June north/north-weasterly winds blew across northern Italy determining an air mass outflow towards the Gulf of Genoa (measurements on flights V18-V19-V21); (ii) on the same days a strong Mistral-Tramontane episode (i.e., strong northerly winds developing along the Rhône and Aude valley which bring a northerly/north-westerly flow over the Western Mediterranean, see Fig. 3a) favoured the dispersion of pollutants towards the central part of the Western basin. Measurements during the event were performed during flight V20; (iii) on 3-4 July the wind regime was dominated by westerly/south-westerly winds mostly blowing at the surface across the Iberian Peninsula and southwestern France (see Fig. 3b). This condition allowed measuring the export of pollution from the Spanish coasts, in particular close to the area of Barcelona (flights V24-V25-V26, see Fig. 31). Additionally, flight V31 sounded the atmospheric structure close to the Spanish coasts-reaching the southern urban area of Valencia. The flight was performed under the influence of south-westerly winds favouring the export from the Iberian Peninsula towards the basin; (iv) moderate Mistral episodes occurred on the 6-7 and 11 July 2012. In those cases the Mistral wind combined with a persistent westerly flow thus yielding pollution export towards the central and central-eastern part of the Western basin, as measured during flights V27-V28-V30-V32; (v) finally, Saharan dust aerosols were sampled during flights V16 and V20 (episode of the 17-23 June) and flights V22 and V23 (episode of the 29 June).
During SAFMED the meteorological conditions were more stable and two distinct phases were observed: (i) a stable anticyclone affected the whole Western Mediterranean area during the first half of July until the 26th, thus possibly favouring a more pronounced accumulation of photochemical pollution in this part of the basin. Relatively high values of both $\tau$ (≈0.2-0.8) and $\alpha$ (≈1-2.5) were measured at the three sites of Barcelona, Frioul, and Ersa in this period; (ii) a cyclonic system moving from the Atlantic region towards Europe then affected the Western basin on 28-29 July 2013. Very clean conditions ($\tau$$<0.1-0.2$) were measured afterwards over the entire region until the end of the SAFMED campaign. Winds were mostly westerly/south-westerly in the first period of the campaign (24-29 July 2013, flights V46, V47, V48, V49, V50), which means that the sampled air flow came mostly from the sea. Then, from 30 July to 1 August 2013 a northeasterly flow affected the SAFMED investigated area thus promoting the export of pollution from Northern Italy towards the Gulf of Genoa (flights V51, V52). A strong Mistral event (29 July-1 August) and two Saharan dust outbreaks (27-28 July and 1 August) affected the Western basin, however not influencing the vertical profile observations during SAFMED.

In order to identify the distribution of observations during TRAQA and SAFMED as a function of the aerosol type we have plotted in Figure 4 the distribution of the measured scattering coefficient $\sigma_s$ at 450, 550, and 700 nm as a function of the calculated scattering Ångström exponent $\alpha_s$ for all vertical profiles. The plot shows a similar scattering intensity between cases dominated by coarse particles ($\alpha_s$$<0.5-1.0$), such as desert dust, and those dominated by fine particles ($\alpha_s$$>1.0-1.5$), such as pollution aerosols. For both dust and pollution $\sigma_s$ peaks at about 100-120 Mm$^{-1}$. The frequency of occurrence of $\alpha_s$ shows that pollution plumes represent the large majority of the cases observed, with more than 70% of measurements with $\alpha_s$$>1.0$.

5. Results
Figure 5 shows the box and whisker plots of the aerosol scattering coefficient $\sigma_s$ at 450, 550, and 700 nm, particle number concentration in the Aitken (dN_{Aitken}) and accumulation (dN_{Acc}) and coarse (dN_{Coarse}) diameter ranges, and CO and O$_3$ measured in the boundary layer (BL) and in the free troposphere (FT) within pollution plumes for all the different vertical soundings analysed in this study. This plot summarizes the range of values observed during TRAQA and SAFMED. On average, the scattering coefficient and CO are larger in the BL compared to the FT, whilst similar ranges of values are measured in the two regions for dN_{Aitken}, dN_{Acc}, and O$_3$. Even within the single BL and FT the different parameters show a large variability that will be explored in the following paragraphs.

### 5.1 Vertical profiles of aerosol concentration and scattering coefficient

Figure 6 shows the vertical profiles of $\sigma_s$, dN_{Acc}, and dN_{Coarse} during TRAQA and SAFMED flights. The date, time and coordinates of each profile, as well as the heights of the top of the marine and planetary boundary layer (MABL and BL) estimated from meteorological data are also indicated in the plot.

For the different vertical soundings the particle concentrations dN_{Acc} and dN_{Coarse} vary in the range $\sim$4000-32000 cm$^{-3}$ and $\sim$5-4000 cm$^{-3}$, respectively, for plumes with $\sigma_s$ between 10 and 120 Mm$^{-1}$. The structure in the scattering profile is generally mirrored in dN_{Acc}. The profile of the aerosol scattering coefficient is mostly correlated to dN_{Acc}, and this also reflects the pronounced spectral variability (i.e., decrease for increasing wavelength) of the scattering coefficient, typical of pollution/anthropogenic particles. dN_{Coarse} also contributes to the scattering signal in some cases especially at high altitudes (see V16, V20, V21, V22, and V23 above $\sim$2000 m), and this reflects the low spectral variability of the scattering coefficient. These observations are associated to the dust intrusion episodes which occurred in the Western Mediterranean basin during TRAQA, which...
however will not be analysed in detail here. Aerosol layers affected by dust have been labelled with a “D” in Fig. 6.

Maxima of the scattering coefficient have been measured for TRAQA flights V21 and V23 (~120 Mm$^{-1}$ for pollution in the BL and ~100 Mm$^{-1}$ in the dust layer), whereas flights V46-V48-V49, during the first and more polluted phase of SAFMED, are the richest in d$\text{N}_{\text{Acc}}$ (1500-3000 scm$^{-3}$ over the whole column). Minima of $\sigma_s$ and d$\text{N}_{\text{Acc}}$ are obtained for flight V51 at the beginning of the second SAFMED phase when clean conditions were observed in the Western Mediterranean.

Pollution plumes observed in the different flights extend from the boundary layer to the free troposphere up to 3000-4000 m altitude. The vertical structure of the aerosol scattering coefficient/particle concentration is linked to the variability of the atmospheric thermodynamic structure and is generally characterized by a first layer confined in the MABL (<400 m, profiles V16, V20, V22, V25, V48, V51), followed by one or more layers within the BL. In the FT pollution particles occur both as single isolated plumes each about 500-1000 m deep (V21, V24, V25, V30, V46, V49), or as a more uniform layer extending from the top of the BL up to 2500-4000 m altitude (V26, V27, V28, V32, V48). The highest values of both the scattering coefficient and d$\text{N}_{\text{Acc}}$ for pollution are found within the MABL or BL in most cases, while a local minimum of $\sigma_s$ and d$\text{N}_{\text{Acc}}$ is generally identified at the top of the BL. The scattering coefficient and the particle concentration measured in the FT are comparable with the values observed in the BL, and in few cases even larger (V25, V26, V30). Only in one case (profile V31) $\sigma_s$ and d$\text{N}_{\text{Acc}}$ decrease monotonically with height.

The aerosol vertical distribution, both in the BL and in the FT, often presents a strongly stratified structure characterized by the presence of several thin sub-layers within one main identified aerosol plume, as it can be seen in particular in the d$\text{N}_{\text{Acc}}$ profiles (V20, V21, V22, V25, V46, V49).

The particle concentration in the Aitken mode (0.004-0.1 µm; d$\text{N}_{\text{Aitken}}$, not shown in Fig. 6) is generally below 5000-6000 scm$^{-3}$ at all altitudes up to 4000 m within pollution plumes. d$\text{N}_{\text{Aitken}}$ is correlated with d$\text{N}_{\text{Acc}}$ in most of the observed cases, which indicates the common source of particles.
in these two size ranges. Few layers exceeding \( \sim 10000-15000 \text{ scm}^{-3} \) are observed occasionally both in the BL and in the FT. These will be discussed in more detail in Sect. 5.4.

The \( \text{dN}_{\text{Acc}} \) and \( \text{dN}_{\text{Aitken}} \) measurements within the BL and in the FT over the sea are comparable with the values measured close to the surface at urban-continental sites under pollution conditions (see Table 2) (Petzold et al., 2002; Mallet et al., 2003 and 2005; Weigner et al., 2006; Junkermann, 2009; Hamburger et al., 2012; Highwood et al., 2012). This suggests that the export towards the basin favours the redistribution of the pollution plumes along the vertical. Because of mixing in the BL, measured concentrations within the BL can be as high as those observed close to the surface over at urban-continental site under pollution conditions. Values of \( \text{dN} \) as high as in the BL are observed in the FT because of transport in specific conditions, as discussed below.

The observations of aerosol profiles obtained during TRAQA and SAFMED are representative of the complex transport regimes which characterizes the export towards the Western basin and that is mostly determined by the interaction between regional meteorology and local dynamics (e.g., Gangoiti et al., 2001). A first example is associated to the measurements in the area of Barcelona.

As discussed in Pérez et al. (2004) the presence of mountains up to \( \sim 500-3000 \text{ m} \) altitude a few kilometres inland favours, during summertime, the recirculation of pollutants along the coasts of Spain. In these cases, the aerosols emitted at the surface in coastal areas are transported inland and uplifted by sea breezes and mountain winds then the plumes are re-injected at different altitudes and distances from the coast. During the TRAQA flights V24, V25, and V26, under the influence of pollution outflow from the Barcelona area, we detected the presence of aerosol layers with elevated concentrations (\( \text{dN}_{\text{Acc}} \sim 2000-3000 \text{ scm}^{-3} \)) up to 3500 m altitude at a distance of \( \sim 30 \) to 250 km from the coast of Spain. Another example of complex dynamics linked to coastal orography is that associated to the export from northern Italy and the Po Valley towards the Gulf of Genoa. The presence of the Apennine Mountains close to the Ligurian coasts (max elevation \( \sim 1500-2000 \text{ m} \)) causes the uplift of continental air masses so determining the injection of aerosol plumes at different
altitudes both inside and outside the BL. Examples are given by flights V19, V21 and V52 for which pollution aerosols from northern Italy are measured up to ~2000-3000 m altitude throughout the Gulf of Genoa. Finally, another meteorological condition which largely influences the aerosol export and distribution over the Western Mediterranean is the Mistral/Tramontane wind regime. Under the influence of the Mistral flow, atmospheric aerosols can be dispersed as far as hundreds of kilometres over the open sea, as discussed by Salameh et al. (2007). Examples are given in profiles V20 and V28, performed at more than 100 km from the French coasts, for which pollution layers associated to a Mistral flow are measured up to 2000-3000 m altitude.

5.2 Trace gases vertical profiles

Figure 7 shows O$_3$ versus CO for all TRAQQA and SAFMED flights, while examples of CO and O$_3$ profiles representatives of different conditions are reported in Fig. 8 and 10. CO and O$_3$ vary in the range 60-16570 ppbv and 30-85 ppbv, respectively. The 25$^{th}$ and 75$^{th}$ percentiles are 87 and 105 ppbv for CO and 49 and 62 ppbv for O$_3$, representative of moderate pollution conditions (i.e., Parrish et al., 1998). By comparison, the values measured over land in central Italy during flight V49 are in the range 80-180 ppbv for carbone monoxide and 40-85 ppbv for ozone. CO and O$_3$ are generally correlated (correlation coefficient R$^2$~0.5-0.8) within measured pollution plumes, and also correlated with $\sigma_s$ and NAcc both in the BL and in the FT, which indicates photochemically active plumes. CO is generally higher in the BL, and shows absolute maxima in the lowest levels (V20, V21, V24, V28, V46), then it decreases in the FT. Ozone presents a more complicated vertical structure due to the different photochemical and dynamical processes which control its formation and distribution. At first, local peaks of O$_3$ correlated with CO are observed in correspondence of pollution plumes both in the BL and in the FT. An absolute maximum of O$_3$ is sometimes found near the top of the BL (V24, V25, V30) possibly due to aged air masses trapped in the boundary layer. Isolated peaks of O$_3$ (~75-80 ppbv) not correlated with aerosols and CO are also
measured in few cases above 3000-3500 m (V21, V25, V27, V28, V52). The analysis of back-
trajectories indicates that these high-altitude ozone layers are associated to the descent of air masses
travelling at about 7-8 km, which thus may suggest a downward transport from the upper
troposphere or the tropopause region due to a stratosphere-troposphere exchange (Ancellet and
Ravetta, 2005). Finally, absolute minima of O$_3$ (~15-30 ppbv) are measured within the dust layers
during flights V20 and V21, maybe related to the dust/ozone heterogeneous reactions which leads
to O$_3$ destruction, as documented in several studies (Bonasoni et al., 2004; Haywood et al., 2011).

5.3 $\Delta$O$_3$/ΔCO and dN$_{Aitken}$/dN$_{Acc}$ ratios and variability of pollution plume composition

Using the O$_3$, CO, dN$_{Aitken}$ and dN$_{Acc}$ measurements we have estimated:

- the O$_3$-CO enhancement ratio ($\Delta$O$_3$/ΔCO), i.e. the ratio of the ozone to carbon monoxide
  variations compared to their baseline values. The $\Delta$O$_3$/ΔCO enhancement ratio is frequently
  used to estimate the efficiency of O$_3$ formation and its export (Parrish et al., 1993; Zhang et
  al., 2006). From our observations (Fig. 7) we have estimated a background value of ~70
  ppbv in the BL and 60 ppbv in the FT for CO and ~30 ppbv for O$_3$ both in the BL and in the
  FT.

- The Aitken to accumulation number ratio (dN$_{Aitken}$/dN$_{Acc}$), which defines the relative
  importance of particles in the Aitken and accumulation modes. dN$_{Aitken}$ is generally
  associated to gas-to-particle conversion and nucleation events and is higher in fresh plumes,
  while it decreases with the increasing of the plume lifetime due to coagulation or
  condensation of water-soluble chemical species on the particle surface (Kulmala et al.,
  2004).

The combination of $\Delta$O$_3$/ΔCO and dN$_{Aitken}$/dN$_{Acc}$ has been used to retrieve additional information
on the atmospheric vertical structure, layering, and particle aging.
Within detected pollution plumes the ΔCO and ΔO\(_3\) reach up to 100-120 ppbv and 45-55 ppbv, respectively, with a corresponding ΔO\(_3/ΔCO\) ratio which varies in the range ~0.10-2.0 for all cases. These values are comparable with the range of observations available in the literature for fresh and moderately aged pollution plumes in the BL and in the lower FT (~0.2-1.0) (Chin et al., 1994; Parrish et al., 1998; Zhang et al., 2006; Cristofanelli et al., 2013). \(dN_{\text{Aitken}}/dN_{\text{Acc}}\) is between about 1 and 20 in most of pollution cases, which indicates the presence of both fresh layers rich in Aitken particles and aged plumes poor in Aitken particles. Extremely high values of \(dN_{\text{Aitken}}/dN_{\text{Acc}}\) (~50-400) are measured in few cases in layers with very low \(dN_{\text{Acc}}\) concentrations.

The large variability in ΔO\(_3/ΔCO\) and \(dN_{\text{Aitken}}/dN_{\text{Acc}}\) indicates a strong heterogeneity in terms of composition and lifetime for the different observed plumes. This heterogeneity reflects the complexity in terms of sources, production processes, and transport mechanisms which characterizes the Western basin. In order to illustrate this point, we have selected three examples representative of different conditions observed in different areas of the basin: (i) V19, performed in the Gulf of Genoa in correspondence of continental outflow events from Northern Italy/Po Valley; (ii) V20, performed in Southern France during a Mistral event; (iii) V24, which measured the export of pollution from the area of Barcelona. The vertical profiles of the spectral scattering coefficient \(\sigma_s\), temperature \(T\), relative humidity \(RH\), \(dN_{\text{Acc}}\), \(dN_{\text{Aitken}}\), CO, O\(_3\), ΔO\(_3/ΔCO\), \(dN_{\text{Aitken}}/dN_{\text{Acc}}\) and wind are reported in Fig. 8 for these cases.

1. **V19: export from northern Italy/Po Valley.** The profile shown for flight V19 (Fig. 8a) is characterized by the presence of two different aerosol structures: the first one below 800 m, characterized by a lower \(dN_{\text{Aitken}}/dN_{\text{Acc}}\) (~1-5) \(dN_{\text{Aitken}}\) and relatively high \(O_3\) concentrations (\(dN_{\text{Aitken}}/dN_{\text{Acc}}\) ~1-5, ΔO\(_3/ΔCO\) ~0.4-1.5), possibly associated to moderately aged pollution, and the second one between 800 and 2600 m, very richer in fine particles (\(dN_{\text{Aitken}}/dN_{\text{Acc}}\)~5-15), so possibly associated to fresher emissions; and the third one above 2600 m, where the ratio \(dN_{\text{Aitken}}/dN_{\text{Acc}}\) rises rapidly, as will be further discussed in Sect. 5.4. The export of fresh pollution at
high-altitudes 800-2600 m from northern Italy as observed in V19 may be associated to the peculiar orography of this region and the uplift of continental air masses. This is confirmed by the analysis of the back-trajectories (Fig. 9) which indicates that the air masses arriving at 1000 and 2000 m passed over the western Po Valley at an altitude of about 400-1200 m and were then uplifted near the Ligurian coast to enter the basin above the BL. Junkermann (2009) measured high levels of fine particles up to about 2000 m in the western Po Valley, which means that the altitudes of 400-1200 m reached by our investigated air masses could have been sufficient for them to collect fresh emitted particles along their path. Conversely, below 800 m the air mass trajectory shows a longer subsidence over the sea surface in the troposphere which has possibly favoured the advection of more aged plumes, or the mixing with sea salts thus inducing the decrease of the dN_{Aitken}/dN_{Acc} ratio. It should be noted that the aerosol layer in the FT also shows relatively higher values of the ΔO3/ΔCO ratio (~0.6-1.0) compared to the more aged plume in the BL. The enhanced amount of O3 in this air mass can be linked to a high concentration of volatile precursors which may have favoured the build-up of ozone during the plume evolution. In a recent work, Kaiser et al. (2014) suggest that in the Po Valley the high content of formaldehyde, also observed by Junkermann et al. (2009), may be responsible for the excess of O3 production. Fresh layers in the FT up to ~2000-3000 m possibly associated to pollution export from northern Italy have been also observed during flights V21 and V52 (not shown).

2. V20: export during a Mistral/Tramontane event. V20 provides an example of export during a Mistral/Tramontane event. As shown in Fig. 8b, winds from the northwest direction are measured at all altitudes during flight V20. The aerosol profile in the BL is characterized in the first ~400 m by the presence of a layer richer in dN_{Aitken} (dN_{Aitken}/dN_{Acc}>20) and CO (100 ppbv close to the surface; CO data not available between 150 and 650 m) and dN_{Aitken} (dN_{Aitken}/dN_{Acc}>20) possibly linked to fresh pollution, followed by the alternation of several layers characterized by a variable dN_{Aitken} (1000-6000 scm^-3) and lower CO (~70 ppbv). A local minimum of dN_{Aitken} and σ_s is found at ~400
For all these layers the O$_3$ is very low (~30-40 ppbv) and the $\Delta$O$_3$/ΔCO ratio is <0.6-0.8. At higher altitudes, between 1400 and 2000 m, we observe a layer enriched in O$_3$ ($\Delta$O$_3$/ΔCO~1-2) in correspondence of an almost aerosol-free region. This enriched ozone layer might be possibly associated to a downward transport from higher tropospheric layers, as also suggested by the back-trajectories (Fig. 9), as well as to the mixing with ozone rich layers along the air mass trajectory.

Larger particles, from long-range transport of Saharan dust at latitudes below 30° N, are measured between 2000 and 3000 m, with a minimum of O$_3$ (~15-20 ppbv) registered within the layer. Several other flights were performed during Mistral/Tramontane episodes (V27, V28, V30, V32) and show, similarly to V20, the presence of several layers both in the BL and the FT.

3. V24: export from the Barcelona area. Measurements during V24 may be taken as representative of local recirculation (Pérez et al., 2004). In the V24 profile in Fig. 8c we may recognize up to 5 different aerosol layers. A first layer at <200 m within the MABL, coming from the southwest and directly exported from the area of Barcelona. The layer is characterized by high CO (90-120 ppbv), and relatively low values of dN$_{Aitken}$ (~4000 cm$^{-3}$) and O$_3$ (~50 ppbv), which possibly suggest the mixing of pollution with marine particles close to the sea surface. A second layer of fresher particles, always coming from the southwestern direction, is observed above the MABL between 200 and 600 m (dN$_{Aitken}$~6000-8000 cm$^{-3}$, O$_3$~70 ppb, with dN$_{Aitken}$/dN$_{Acc}$~5-15, and $\Delta$O$_3$/ΔCO~0.8-1.5). A third, more aged, sublayer (dN$_{Aitken}$/dN$_{Acc}$~2-5, $\Delta$O$_3$/ΔCO~0.8-1.0) is observed within the BL between 600 and 1000 m. The FT is characterized by the presence of moderately aged plumes from ~1000 to 2800 m (dN$_{Aitken}$/dN$_{Acc}$~2-10, $\Delta$O$_3$/ΔCO~0.2-0.8), and a very aged plume at 2800-3800 m almost deprived in Aitken particles and richer in O$_3$ (dN$_{Aitken}$/dN$_{Acc}$<1, $\Delta$O$_3$/ΔCO~0.6-1.5). A marked local minimum is observed at the top of the BL for $\sigma_s$, dN$_{Acc}$, dN$_{Aitken}$, CO, and O$_3$, suggesting the presence of air masses with different origin between the BL and the FT. This is also confirmed by the analysis of the back-trajectories (Fig. 9) which indicates a low level air masses coming from the Spanish coasts in the BL, and air masses
travelling at higher altitudes in the FT. In particular, the layer at 2800-3800 m is possibly associated
to an intercontinental transport from Northern America, as shown in the trajectory ending at 3500 m. A similar structure characterized by the alternation of fresher and more aged plumes in the BL and FT is also observed in V25 for which aerosol layers are detected up to 4000 m altitude.

The detailed analysis of these three events evidences the complexity of the atmospheric structure over the Western Mediterranean basin in link with the different dynamical processes involved.

5.4 Layers with enhanced Aitken mode particle numbers

Isolated layers with $dN_{\text{Aitken}} \approx 10000-15000$ cm$^{-3}$ have been observed occasionally both in the BL and in the FT. The vertical profiles of $dN_{\text{Aitken}}$ only for some selected cases are shown in Fig. 10.

For about half of the observed events (V16 at ~200-400 m, V21 at ~400-800 m, V28 at ~250 m, and V31 at ~1000-3000 m; only V28 and V31 shown in Fig. 10) the $dN_{\text{Aitken}}$ layer presents a good correlation appears related to a simultaneous increase in $dN_{\text{Acc}}$, CO, and O$_3$ which suggests that the layer has been transported from a region directly emitting in this size range. These cases are: V16 at ~200-400 m, V21 at ~400-800 m, V28 at ~250 m, and V31 at ~1000-3000 m (only V28 and V31 are shown in Fig. 10). The most remarkable example is V31 (Fig. 10a), performed close to the coasts of Spain near Valencia, for which the high $dN_{\text{Aitken}}$ layer extends from the top of the BL to ~3000 m altitude. The wind vector and the back-trajectories (not shown) indicates that the air mass comes from the western-southwestern direction above 1000 m, so the $dN_{\text{Aitken}}$ layer can be directly related to pollution export from the urban region of Valencia.

In all the other cases the high $dN_{\text{Aitken}}$ layer generally not related to simultaneous $dN_{\text{Acc}}$ and O$_3$ increase. Two of these cases (V16 at ~800-1000 m and V28 at ~100 m) occur in the BL.
For the V28 layer (Fig. 10b) the $dN_{\text{Aitken}}$ is correlated with CO which might indicate the influence of local emissions close to the surface level (i.e., ship emissions). CO values are relatively high (140-160 ppbv) within the layer. It has been often assumed that new particle formation events (NPF) only occur in almost clean environments (e.g., O’Dowd et al., 2010; Sellegrì et al., 2010), and that they are suppressed under polluted conditions. In a recent study, Brines et al. (2014) show the occurrence of NPF events also in urban areas with high level of pollution in the Mediterranean region. So, we explore the possibility of NPF in our observations. Given the size ranges of the CPC and PCASP, however, we cannot discriminate within $dN_{\text{Aitken}}$ the particle concentration in the sole 4-20 nm range, i.e. the size range involved in nucleation. So it is not possible to directly associate the V28 observations to NPF. In order to obtain a qualitative indication of the possible occurrence of NPF, we have looked at the air mass dynamics within the layer. Several studies suggest, in fact, that NPF might be favoured by turbulence and air mass mixing (e.g., Nilsson et al., 2001; Wehner et al., 2010). We have thus looked at the gradient Richardson number (Ri) which gives information on the atmospheric dynamical stability. Vertical profiles of Ri are also shown in Fig. 10. For V28 the vertical profile of Ri indicates that below 200 m the Ri number is consistently below zero, which suggests well established turbulent conditions possibly favouring NPF in this layer.

In other two cases (V19, Fig. 10c, and V26, Fig. 10d), under lower pollution conditions (CO < 100), we measured high $dN_{\text{Aitken}}$ concentration in correspondence of low $dN_{\text{Acc}}$ layers in the FT at ~2800-3000 m for V19 and 3500-4500 m for V26. For V19 and V26 layers, $dN_{\text{Aitken}}$ seems anticorrelated to CO. Also in this case the Richardson number is below $Ri_{\text{crit}}$ in correspondence of the Aitken peak meaning that conditions are favorable for turbulence within the layer, and this may indicate also in this case the possible role of NPF.

Finally, a case of high $dN_{\text{Aitken}}$ concentration has been also observed in correspondence of dust particles between ~3000 and 4000 m (V23b, Fig. 10e). This layer can be possibly linked to the
photochemically-induced nucleation which may occur in presence of dust and SO$_2$ as hypothesised in a recent study by Dupart et al. (2012) and observed by Nie et al. (2014).

6. Conclusions

The data presented in this paper gives an overview of the distribution of aerosols and trace gases within the tropospheric column up to 5000 m above the Western Mediterranean basin. These data add to the very few available measurements of aerosol and trace gases vertical profiles over the sea surface in the Central (e.g., Junkermann, 2001; Meloni et al., 2003; Di Iorio et al., 2003; Pace et al., 2014) and Eastern (e.g., Formenti et al., 2002; Dulac and Chazette, 2003) parts of the basin thus contributing to improve the description of the atmospheric composition and structure over the whole Mediterranean area.

Observations from the present study indicate that continental pollution strongly affects the composition and structure of the Western Mediterranean basin both close to coastal regions and in the open sea. Pollution layers extend up to 250 km far from the coasts and reach up to 3000-4000 m altitude, presenting a complex and highly stratified structure. The measured particle concentration is comparable with the values reported for continental Europe (Petzold et al., 2002; Junkermann, 2009; Hamburger et al., 2012). In addition, the geographical distribution of aerosols and trace gases observed in this study appears quite homogeneous within the investigated area, suggesting a relatively similar contribution from the various sources located around the north-western basin.

Pollution plumes with different compositions, origins, and lifetimes are observed in link with the different observed dynamical export conditions and meteorological regimes. The aerosol and trace gas observations during TRAQA and SAFMED are consistent with the results of former campaigns and with the interpretation of observed or well known air-masses dynamics and meteorological
phenomena that can occur in the Western basin (Flamant and Pelon, 1996; Millan et al., 1997; Gangoiti et al., 2001; Pérez et al., 2004; Mallet et al., 2005).

The large heterogeneity in aerosol compositions, origins, and lifetimes as documented in this study can reflect in a large heterogeneity of aerosol optical properties, with consequences for their direct radiative effect in this part of the basin. This aspect will be investigated in a companion paper analysing the TRAQa and SAFMED in situ measurements of the aerosol absorption and scattering properties and their variability.

From the present observations, it is also interesting to note the relatively high values of dN_{Aitken} measured both in the BL and the FT, which evidences the important contribution of ultrafine particles at all altitudes over the basin. These can be linked to the different export mechanisms previously discussed, as well as the possible occurrence of NPF events. Aitken particle profiles are very rare over the sea surface in the Mediterranean (e.g., Junkermann et al. 2001; Pace et al., 20142015) and data comparison is quite difficult. Few studies have observed NPF in the FT in continental areas (Boulon et al., 2010; Rose et al., 2014) and suggest that the export of pollution into the upper troposphere, as it is common in the Western basin, might promote the occurrence of these events. The observations of the present study may thus also have very large implications due to the crucial role of NPF in controlling the atmospheric cloud condensation nuclei concentration (Spracklen et al., 2008) and the associated aerosol indirect effect on climate.

Author contributions

J.-L.A., F.R., G.A., M.B., A.B., P.F. and K.S. designed the TRAQa and SAFMED experiments and coordinated the campaigns. C.G., N.G., and C.D.B operated the instruments on board the ATR-42 during the flights. C.D.B. performed the data analysis with contributions from L.D., P.F., F.R., A.B., G.A., J.-C.R., and M.B. G.A. performed the FLEXTRA simulations. J.-C.R. performed the WRF-Chem simulations. C.D.B. wrote the manuscript.
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## Table 1. Summary of information on the TRAQA and SAFMED flights.

| Measurement campaign | Flight number | Date | Take off-landing time (UTC) | Departure-arrival | Geographic area investigated | Description/Events observed |
|----------------------|---------------|------|----------------------------|-------------------|-----------------------------|-----------------------------|
| TRAQA 2012           | V16           | 20/06/2012 | 13:12 – 16:34 | Toulouse-Toulouse | Gulf of Lion | Test flight |
|                      | V17           | 22/06/2012 | 09:01 – 12:54 | Toulouse-Toulouse | South-western France (over land) and the Atlantic Ocean | Test flight, biogenic emissions |
|                      | V18           | 26/06/2012 | 07:13 – 09:18 | Toulouse-Bastia | Gulf of Genoa | Export of pollution from Northern Italy/Pô Valley, north-westerly winds |
|                      | V19           | 26/06/2012 | 10:42 – 13:46 | Bastia-Toulouse | Gulf of Genoa | Export of pollution from Northern Italy/Pô Valley, north-westerly winds |
|                      | V20           | 27/06/2012 | 04:07 – 08:00 | Toulouse-Nimes | Sea area south of Marseille/Toulon | Export of pollution during a Mistral-Tramontane event |
|                      | V21           | 27/06/2012 | 09:39 – 13:16 | Nimes-Toulouse | Western coast of Corsica | Export of pollution from Northern Italy/Pô Valley, north-westerly winds |
|                      | V22           | 29/06/2012 | 05:13 – 08:50 | Toulouse-Bastia | Eastern coast of Corsica | Dust outbreak |
|                      | V23           | 29/06/2012 | 10:13 – 14:12 | Bastia-Toulouse | Eastern and western coasts of Corsica | Dust outbreak |
|                      | V24           | 03/07/2012 | 13:19 – 17:12 | Toulouse-Toulouse | Sea area north-east of Barcelona | Export of pollution from Barcelona, westerly/south-westerly winds |
|                      | V25           | 04/07/2012 | 07:18 – 10:54 | Toulouse-Toulouse | Sea area south of Marseille/Toulon | Follow of Barcelona pollution plumes |
|                      | V26           | 04/07/2012 | 15:25 – 18:36 | Toulouse-Toulouse | Gulf of Lion | Follow of Barcelona pollution plumes |
|                      | V27           | 06/07/2012 | 08:00 – 11:55 | Toulouse-Toulouse | Sea area south of Marseille | Export of pollution during a moderate Mistral-Tramontane event |
|                      | V28           | 06/07/2012 | 14:01 – 17:45 | Toulouse-Toulouse | Sea area south of Nice/Toulon | Export of pollution during a moderate Mistral-Tramontane event |
|                      | V29           | 07/07/2012 | 08:19 – 10:59 | Toulouse-Nimes | Southern France (over land) | Biogenic emissions |
|                      | V30           | 07/07/2012 | 13:03 – 17:10 | Nimes-Toulouse | Gulf of Genoa | Export of pollution during a moderate Mistral-Tramontane event |
|                      | V31           | 10/07/2012 | 13:41 – 17:21 | Toulouse-Toulouse | Eastern coast of Spain | Characterization of pollution near coastal |
| V32  | 11/07/2012  | 11:23 – 14:48 | Toulouse-Toulouse | Southeastern coast of France and Gulf of Genoa | Characterization of pollution near coastal sources |
|------|-------------|---------------|------------------|------------------------------------------------|--------------------------------------------------|
| SAFMED 2013  | V46  | 24/07/2013  | 10:34 – 13:06 | Genoa-Cagliari | Gulf of Genoa and eastern coast of Corsica and Sardinia | Characterization of pollution plumes in the Gulf of Genoa, Corsica, and Sardinia; westerly/south-westerly winds |
| V47  | 24/07/2013  | 14:21 – 16:29 | Cagliari-Genoa | Eastern coast of Corsica and Sardinia and Gulf of Genoa | Characterization of pollution plumes in the Gulf of Genoa, Corsica, and Sardinia; westerly/south-westerly winds |
| V48  | 25/07/2013  | 13:12 – 16:02 | Genoa-Ersa | Gulf of Genoa | Characterization of pollution in the Gulf of Genoa; westerly/south-westerly winds |
| V49  | 27/07/2013  | 11:08 – 13:07 | Genoa-Alghero | Central Italy (over land) | Characterization of pollution in central Italy |
| V50  | 27/07/2013  | 15:33 – 16:48 | Alghero-Genoa | Eastern coast of Corsica and Gulf of Genoa | Characterization of pollution plumes in the Gulf of Genoa, Corsica, and Sardinia; westerly/south-westerly winds + dust outbreak |
| V51  | 30/07/2013  | 13:05 – 15:50 | Genoa-Ersa | Gulf of Genoa | Characterization of pollution in the Gulf of Genoa; very low north/north-westerly winds |
| V52  | 01/08/2013  | 12:03 – 15:24 | Genoa-Alghero | Western coast of Corsica | Characterization of pollution in western Corsica; export of pollution from Northern Italy/Pô Valley; north-easterly winds |
Table 2. Comparison of the number concentrations $dN_{\text{Aitken}}$ (0.004-0.1 µm) and $dN_{\text{Acc}}$ (0.1-1.0 µm) observed during the TRAQA/SAFMED field campaigns with those reported in literature for continental Europe. All literature data refer to airborne measurements.

| Atmospheric layer       | Parameter | TRAQA/SAFMED | Literature over continental Europe |
|-------------------------|-----------|--------------|---------------------------------|
| Free troposphere (FT)   | $dN_{\text{Aitken}}$ (scm$^{-3}$) | 0-19250 | 812-9149$^b$; 0-980$^a$ |
|                         | $dN_{\text{Acc}}$ (scm$^{-3}$) | 34-3233 | 20-80$^e$; 25-85$^b$; 0-500$^f$ |
| Boundary layer (BL)     | $dN_{\text{Aitken}}$ (scm$^{-3}$) | 4-22471 | 1037-31370$^b$; 1000-20000$^c$; 0-30000$^f$; 0-19000$^e$ |
|                         | $dN_{\text{Acc}}$ (scm$^{-3}$) | 90-3215 | 70-560$^e$; 10-50$^a$; 400-1200$^e$; 0-2000$^f$ |

$^a$ Petzold et al. (2002), Central Europe, July-August 1998; size range $dN_{\text{Acc}}$ (>0.15 µm)

$^b$ Mallet et al. (2005), Southeastern France, June 2001; size range $dN_{\text{Aitken}}$ (0.006-0.6 µm)

$^c$ Wiegner et al. (2006), Germany, May 2003; size range $dN_{\text{Aitken}}$ (>0.01 µm), $dN_{\text{Acc}}$ (>0.3 µm)

$^d$ Junkermann (2009), Po Valley, July-August 2002 and September-October 2003; size range $dN_{\text{Aitken}}$ (>0.01 µm)

$^e$ Hamburger et al. (2012), central Europe, May 2008; size range $dN_{\text{Aitken}}$ (0.004-0.15 µm), $dN_{\text{Acc}}$ (>0.15 µm)

$^f$ Highwood et al. (2012), central Europe, May 2008; size range $dN_{\text{Aitken}}$ (0.004-0.15 µm), $dN_{\text{Acc}}$ (>0.15 µm)
Figures

Figure 1. (Upper panel) Flight trajectories of the TRAQA (20 June - 13 July 2012) and the SAFMED (24 July - 1 August 2013) campaigns. The aircraft was based in Toulouse (43°36’N, 1°26’E, France) during TRAQA and in Genoa (44°24’N, 8°55’E, Italy) during SAFMED. (Lower panel) Zoom on the investigated area and geographical position of the different vertical soundings analysed in this paper. The position of the three AERONET stations of Barcelona, Frioul, and Ersa considered in this study is also shown.
Figure 2. Aerosol optical depth at 440 nm ($\tau$) and Ångström exponent ($\alpha$) measured at the Barcelona, Frioul, and Ersa different stations AERONET stations in the Western Mediterranean basin during the TRAQA 2012 (left panels) and the SAFMED 2013 (right panels) campaigns. Data are taken from the stations of Barcelona, Frioul, and Ersa located all around the basin. The time period for the different plots is ±10 days around the beginning/end of the two campaigns (data for the Barcelona station are not available over the entire period for 2012). The label D indicates the days affected by Saharan dust.
Figure 3. Example of wind maps at 925 mbar for 26 June and 3 July 2012. The maps are obtained from the WRF-Chem model (Weather Research and Forecasting – Chemistry) at 10-km horizontal resolution.

a) 26 June 2012 12UT, 925 hPa

b) 03 July 2012 12UT, 925 hPa
Figure 4. (Left) Scattering coefficient $\sigma_s$ at 450, 550, and 700 nm versus the scattering Ångstrom exponent $\alpha_s$. Cases with extremely negative ($<-2$) and positive ($>4$) values of $\alpha_s$ are always related with very low scattering coefficients, and are likely due to instrumental noise under low scattering conditions. (Right) Frequency of occurrence of $\alpha_s$ obtained considering vertical profiles data from all TRAQa and SAFMED flights.
**Figure 5.** Box and whisker plot of the aerosol scattering coefficient ($\sigma_s$) at 450, 550, and 700 nm, particle concentration in the Aitken ($dN_{\text{Aitken}}$) and accumulation ($dN_{\text{Acc}}$) modes, and CO and O$_3$ measured within pollution plumes in the boundary layer (BL) and in the free troposphere (FT).
Figure 6. Vertical profiles of the spectral scattering coefficient $\sigma_s$ at 450, 550, and 700 nm and particle number concentration in the 0.1-1.0 µm (dN_{Acc}) and 1.0-4.0 µm (dN_{Coarse}) diameter ranges observed during TRAQ and SAFMED. Data are reported at STP (standard temperature and pressure, T = 293.15 K and P = 1013.25 hPa). The heights of the top of the marine aerosol boundary layer (MABL) and planetary boundary layer (BL) estimated from the meteorological profiles are also indicated in the plots. The label D is used to identify the aerosol layers affected by Saharan dust. For certain flights (V22, V23, V27, and V28) two vertical soundings were performed; the letters “a” and “b” after the flight number in this plot specify if the considered data are taken from the first or the second sounding, respectively.
**Figure 7.** O₃ versus CO in the boundary layer (BL) and the free troposphere (FT) for all TRAQ and SAFMED vertical profiles (dust observations excluded).
Figure 8. Aerosol and trace gases vertical profiles for flights V19 (export from northern Italy/Po Valley), V20 (Mistral event), and V24 (export from the Barcelona area). The plots show the: (a) spectral scattering coefficient $\sigma_s$ at 450, 550, and 700 nm (blue, green, and red lines, respectively), temperature (T, black line), and relative humidity (RH, light blue line); (b) particle number concentration in the 0.004-0.1 µm (dN_{Aitken}, purple line) and 0.1-1.0 µm (dN_{Acc}, black line) diameter ranges, (c) CO (black dots) and O$_3$ (grey dots) mixing ratios, (d) ozone enhancement factor $\Delta$O$_3$/ΔCO (grey dots) and Aitken to accumulation ratio dN_{Aitken}/dN_{Acc} (pink dots) and (e) horizontal wind vector. The heights of the top of the MABL (dotted line) and BL (solid line) are also indicated.
Figure 9. Five-days backward air mass trajectories for the V19, V20, and V24 flights calculated with the FLEXTRA model. The upper panel shows the trajectories over an extended latitude-longitude region, while the central panel zooms on the Western Mediterranean area. The altitude of the air masses and its temporal evolution along the five days trajectories is reported in the lower panel of each plot.
Figure 10. Vertical profiles of the accumulation and Aitken particle concentrations (dN_{Acc}, black line, and dN_{Aitken}, purple line), CO (black dots), O₃ (grey dots), and gradient Richardson number (Ri, green line) for flights a) V31, b) V28, c) V19, d) V26 and e) V23b. The horizontal lines indicate the height of the marine boundary layer MABL (dotted line) and the planetary boundary layer BL (continuous line), while the vertical lines indicate Ri_{crit}=0.25 and Ri=1 (continuous and dashed lines, respectively).