The Composition of Dust in Jupiter-Family Comets Inferred From Infrared Spectroscopy

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Abstract

We review the composition of Jupiter-family comet dust as inferred from infrared spectroscopy. We find that Jupiter-family comets have 10 µm silicate emission features with fluxes roughly 20–25% over the dust continuum (emission strength 1.20–1.25), similar to the weakest silicate features in Oort Cloud comets. We discuss the grain properties that change the silicate emission feature strength (composition, size, and structure/shape), and emphasize that thermal emission from the comet nucleus can have significant influence on the derived silicate emission strength. Recent evidence suggests that porosity is the dominant parameter, although more observations and models of silicates in Jupiter-family comets are needed to determine if a consistent set of grain parameters can explain their weak silicate emission features. Models of 8 m telescope and Spitzer Space Telescope observations have shown that Jupiter-family comets have crystalline silicates with abundances similar to or less than those found in Oort Cloud comets, although the crystalline silicate mineralogy of comets 9P/Tempel and C/1995 O1 (Hale-Bopp) differ from each other in Mg and Fe content. The heterogeneity of comet nuclei can also be assessed with mid-infrared spectroscopy, and we review the evidence for heterogeneous dust properties in the nucleus of comet 9P/Tempel. Models of dust formation, mixing in the solar nebula, and comet formation must be able to explain the observed range of Mg and Fe content and the heterogeneity of comet 9P/Tempel, although more work is needed in order to understand to what extent do comets 9P/Tempel and Hale-Bopp represent comets as a whole.

Key words: Comets, Spectra: Infrared, Comets: Dust

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1. Introduction

The dust in Jupiter-family comets (JFCs) is considered to be pristine, that is, little or no processing of the dust has occurred since incorporation into the comet nucleus. We do not equate pristine dust with pre-solar dust. The presence of crystalline silicates in the dust coma of JFCs and Oort Cloud (OC) comets, and the absence of crystalline silicates in the interstellar medium (≤ 2.2%; Kemper et al., 2004, 2005), strongly suggests that much of the dust in comets has been thermally processed (T ≥ 1000 K). Strong radiogenic heating may occur in comets of sufficiently large (radius, Rn, > 10–20 km) porous and dust rich nuclei (Prihnik and Podolak, 1999; Prihnik et al., 2008; Merk and Prihnik, 2006; McKinnon et al., 2008), but the presence of highly volatile molecules, such as CO and/or CO2 observed in the coma of 9P/Tempel (A’Hearn et al., 2005), 21P/Giacobini-Zinner (Mumma et al., 2000), and 103P/Hartley (Crovisier et al., 2000), suggests that comet interiors formed at very low temperatures (as low as ≈ 30 K for CO to condense) and have since remained cold (Yamamoto, 1985; Crovisier, 2007). The simultaneous presence of low-temperature and high-temperature solar nebula condensates in comet nuclei is possible if thermally processed dust can be mixed from the hot inner-solar nebula into the cold comet forming zone (heliocentric distance, rh, ≥ 4 AU) perhaps by turbulent diffusion (Wehrstedt, 2003; Wehrstedt and Gail, 2003, 2008; Wooden et al., 2007) or by large-scale radial (meridional) flows (Keller and Gail, 2004; Ciesla, 2007; Tscharnuter and Gail, 2007).

Mid-infrared (mid-IR) spectroscopy (λ ~ 10 µm) is an effective technique for assessing the composition of comet dust in a large number of comets: 1) the peak thermal emission output from comet dust at rh ≈ 0.5–4.0 AU is

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at $\lambda \approx 7 - 20 \, \mu m$; 2) the mid-IR covers prominent emission features from silicate minerals, a major constituent of comet dust; and 3) only low to moderate spectral resolution ($\lambda/\Delta \lambda \gtrsim 100$) is required to critically assess the composition of comet dust. By studying the physical properties of dust ejected by comet nuclei, we gain insight into the formation mechanism of dust in the solar nebula, and the structure and dynamics of the proto-planetary disk (PPD).

Through comparisons between dust in the interstellar medium, interplanetary dust grains (Brownlee, 1985), comet dust, and asteroidal materials, we may attempt to ascertain the extent to which PPD processes altered pre-solar dust and mixed it throughout the comet forming zone (Wooden, 2008). Being a mixture of pre-solar and solar nebula processed material, measuring the composition of comet dust helps us understand both the origin of the constituents of the primitive solar system, and their subsequent evolution into planetesimals (Ehrenfreund et al., 2004; Wooden, 2008). In a similar vein, we can use JFCs to learn about the compositions of Scattered Disk objects since these bodies are dynamically linked (Duncan et al., 2004) and therefore their dust properties may be related. In contrast, Kuiper Belt objects from the classical disk likely formed in situ, and represent a population of icy bodies separate from the Scattered Disk objects and comet nuclei. Indeed, Kuiper Belt objects have redder surface colors, on average, than comet nuclei (Jewitt, 2002), indicating that they have a different origin or evolutionary history.

Since mid-infrared spectroscopy is effective at measuring comet dust properties, it offers important insight into the structure of the PPD. We can use mid-IR observations of comet dust to explore the heterogeneity of the PPD on sub-kilometer and super-kilometer (i.e., possibly tens of AU) scales by measuring the heterogeneity of comet nuclei. Dust may be ejected into the comet coma from a localized region on the nucleus at different epochs either by natural or unnatural processes, e.g., seasonal variations in insolation, outbursts, or collisions (Deep Impact-like events or natural). If the dust composition of the ambient coma differs from the localized regions, then we may conclude that the comet nucleus is heterogeneous. Nuclear heterogeneity could arise from the aggregation of varied planetesimals (see, e.g., Belton et al., 2007) and evidence for heterogeneity implies the proto-planetary disk in the comet forming regions was heterogeneous on kilometer to sub-kilometer sized scales (the typical radius of a comet nucleus is of order 1 km; Lamy et al., 2004). Alternatively, the dust properties of individual nuclei could be relatively homogeneous, yet the dust compositions vary from comet-to-comet. This second scenario favors PPD heterogeneities on the super-kilometer scale. A taxonomy of comets classified according to their dust properties has the promise to provide evidence supporting local (spatial or temporal) variations in dust composition in the comet forming region of the PPD. Evidence for chemical heterogeneity on both sub- and super-kilometer scales has been discovered through observations of comet gas species (Bockelée-Morvan et al., 2004), e.g., the C$_2$ comet classes (A’Hearn et al., 1995), the organic chemistry classes (Mumma et al., 2003; Bockelée-Morvan et al., 2004; Crovisier, 2007), the apparent CO variability of comet 21P/Giacobini-Zinner (Mumma et al., 2000; Weaver et al., 1999), and the CO$_2$ and H$_2$O composition of comet 9P/Tempel (Feaga et al., 2007).

In this paper, we review the composition of Jupiter-family comets as inferred from infrared spectroscopy: in §2 we review mid-IR spectroscopic observations of JFCs; in §3 we summarize and discuss observations of silicate mineralogy in JFCs, we demonstrate (§3.3) that the silicate feature strength is increased by subtracting emission from the nucleus, but not increased enough to match the strong silicate features of some OC comets, and from this perspective we discuss opportunities for important observations in the future; in §4 we review the mid-IR observations and models of comet 9P/Tempel in the context of the Deep Impact mission; in §5 we discuss the potential significance to our understanding of PPD processes of the detection of hydrated and Fe-rich minerals identified in comet 9P/Tempel; and, in §6 we summarize the review.

### 2. Mid-infrared Spectroscopic Observations

Mid-infrared spectra, with $\lambda/\Delta \lambda \gtrsim 50$, exist for 13 Jupiter-family comets and these observations are summarized in Table 1. We also list whether or not a silicate emission feature was detected above the continuum for each spectrum, and the strength of that feature, if available. It is important to note that the $10 \, \mu m$ continuum in a mid-IR spectrum of a comet is composed of thermal emission from dust species that exhibit little variation in emissivity with wavelength for $\lambda = 7 - 14 \, \mu m$. The common “continuum” contributors are amorphous carbon, FeS, and grains large enough to be optically thick to $10 \, \mu m$ emission (Hanner et al., 1994; Wooden, 2002). Thermal emission from the nucleus can also be an important source of continuum flux, and should be removed before measuring the silicate emission (see §3.3). A silicate feature has been detected in 8 of the 13 comets in Table 1, and the typical silicate emission band strength is 1.20–1.25. The silicate emission band strength is computed from the ratio of the mean in-band flux between 10.0 and 11.0 $\mu m$ to a scaled Planck function that is fit to small sections of the smooth continuum at $\lambda \leq 8.2 - 8.4 \, \mu m$ and $\lambda \geq 12.4 - 12.5 \, \mu m$ and evaluated at 10.5 $\mu m$ (Hanner et al., 1996; Sitko et al., 2004; Sugita et al., 2005). Observed silicate band emission strengths in Oort Cloud comets range from 1.0 to 4.0 (Hanner et al., 1994; Williams et al., 1997; Harker et al., 1999; Sitko et al., 2004). Such strong silicate band emission has not been observed in a JFC, except in the post-Deep Impact coma of 9P/Tempel (Lisse et al. 2006; Harker et al. 2007; §4).

The Spitzer Space Telescope (Werner et al., 2004; Gehrz et al., 2007) has significantly increased the number of spectroscopic observations of JFCs in the mid-IR. Three comets with high-quality spectra are listed in Table 1, and 9 more
are briefly summarized by Kelley (2006). This boon to JFC spectroscopy is largely attributable to the high sensitivities of the Infrared Spectrograph (IRS) instrument (Houck et al., 2004) and the high observing efficiency of the Spitzer spacecraft. As future infrared space telescopes will have larger primary mirror diameters, such as the JAXA Space Infrared Telescope for Cosmology and Astrophysics (SPICA), and the NASA James Webb Space Telescope, bright comets may become unaccessible to space-borne instrumentation. Instead, ground-based and airborne telescopes will be used to observe the brightest comets.

Ground-based observations account for 1/2 of the spectra in Table 1; about 2/3 of those spectra were taken at the NASA’s 3 m Infrared Telescope Facility (IRTF). Eight meter telescopes hold great promise for the spectroscopic investigation of JFCs. The Gemini and Subaru telescopes have already provided three definitive detections of crystalline silicates in JFCs; the measured crystalline-to-amorphous sub-µm mass ratios are: ~0.9 for 78P/Gehrels (Watanabe et al. 2005; also discussed in Ootsubo et al. 2007a); 0.3–0.8 for 9P/Tempel (Harker et al., 2005, 2007; Sugita et al., 2005; Lisse et al., 2006), and qualitatively described as less than in Hale-Bopp for 73P/Schwassmann-Wachmann (Harker et al., 2006b; Sito et al., 2006d). Also, Gemini-N and Subaru observations of the Deep Impact event provided, in part, the evidence for the heterogeneous structure and composition of the nucleus of 9P/Tempel (Harker et al., 2007; Kadono et al., 2007). With the continued use of mid-IR instruments on large telescopes, and in conjunction with the archive of space-based observations, we can increase the number of high-quality spectra of JFCs to statistically significant numbers, which will give us the opportunity to establish the extent of crystalline silicate enrichment in JFCs and the degree of JFC nucleus heterogeneity.

Future airborne instrumentation on the Stratospheric Observatory for Infrared Astronomy (SOFIA) will cover mid-IR wavelengths in spectroscopy mode (Becklin et al., 2007). With the telescope executing science operations at altitudes ≥12.5 km, SOFIA will be an important resource for comet astronomy at 15–40 µm, where atmospheric absorption greatly interferes with observations at ground-based observatories. At these wavelengths, the spectral resonances of crystalline silicates are more separated in wavelength, and therefore more distinct, than the resonances near 10 µm. With 15–40 µm spectra, we can accurately measure the mineralogical makeup of silicate crystals in a comet’s coma by measuring the central positions and strengths of the crystalline emission resonances. In particular, the Fe to Mg ratio of silicate crystals is better constrained when the longer wavelength peaks are measured. SOFIA will add vital observations to the few crystalline measurements made at these wavelengths.

3. Silicates in Jupiter-Family Comets

3.1. Properties of silicate grains and their thermal emission

Silicate minerals in comets have prominent emission features in the mid-IR. Molecular bonds between Si and O atoms have stretching and bending modes that produce emission at 8–12 µm and at 15–40 µm, respectively. The central wavelengths, relative strengths, and shapes of these features are diagnostic of the mineral composition. Wooden (2002) reviews the silicate minerals observed in ground-based mid-IR spectra of comets: [Mg$_3$Fe$_{1-y}$(y)SiO$_4$ (olivine)] and [Mg$_x$Fe$_{1-z}$SiO$_3$ (pyroxene)], where $x$ and $y$ range from 0 (Fe-pure: fayalite and ferrosilite) to 1 (Mg-pure: forsterite and enstatite). Cometary silicates are found in both amorphous (non-stoichiometric) and crystalline structures. An example of the narrow thermal emission resonances attributed to silicate crystals, and the broad emission attributed to amorphous grains is presented in Fig. 1.

Comet comae are optically thin to mid-IR radiation. In order to detect the thermal emission from silicate grains in a dust coma, the grains must be in sufficient quantities to be detected above the observational uncertainty of the smooth thermal continuum (Hanner et al., 1994). Aside from the amount, each grain’s size, composition, and structure play important roles in the strength of the silicate emission, primarily by determining the grain equilibrium temperature. We discuss each of these properties (size, composition, and structure) in turn.

First, dust grain size affects the grain temperature. Sub-µm sized grains have higher temperatures than (solid) grains larger than 1 µm of the same composition. The temperature difference arises from the dependence of grain emission efficiency on grain size. All silicate dust grains greater than 0.1 µm in size are efficient absorbers of sunlight at visible wavelengths (the peak of the solar output), but the sub-µm sized grains are inefficient emitters in the mid-IR. Since grain radiative cooling at $r_h \approx 0.5 – 4$ AU is dominated by mid-IR radiation, the temperatures of sub-µm sized grains are warmer, which subsequently increases their total thermal emission flux.

In contrast with grain size, which primarily affects the emission efficiencies of comet grains, composition affects grain absorption efficiencies. Increasing the amount of Fe in a silicate grain (decreasing Mg) increases the grain’s absorption efficiencies at visible and near-IR wavelengths, which in turn increases the grain’s equilibrium temperature (Dorschner et al., 1995). The higher temperatures cause Fe-rich grains to be easier to detect by spectroscopic means, yet Harker (1999) found the grain temperatures of amorphous silicates in comet Hale-Bopp were best-modeled with roughly equal amounts of Mg and Fe ($x = y = 0.5$). Furthermore, Harker (1999) found the silicate crystals to be distinctly Mg-rich ($x = y = 0.9 – 1.0$). These grain parameters have been commonly used by other investigations.
compact shapes. Oort cloud comet Hale-Bopp is an exam-

be accounted for in this manner—this is evident when we

be refined with new or improved constraints. For example,

We summarize the variation of the peak wavelength position

the entire effect, however, cannot be accounted for in this manner—this is evident when we

consider that the largest, highly porous grains have higher

equilibrium temperatures than the largest grains with more

compact shapes. Oort cloud comet Hale-Bopp is an exam-

feature, and that fitting its spectrum requires a size distri-

bution that possesses both highly porous grains and very

small grains (peak grain radius of 0.15–0.2 $\mu$m; Harker et

al., 2002; Moreno et al., 2003; Min et al., 2005). Wooden

(2002) discusses how comet Hale-Bopp’s grain porosity and

grain size distribution slope are each constrained by spec-

tra. In another example, Oort Cloud comet C/2001 Q4

(NEAT) has a silicate feature identical in shape to, but

weaker in strength than, that of Hale-Bopp (Wooden et al.,

2004). In fact, Q4’s silicate feature strength varies on short

times scales and is best explained by a variable silicate-to-
amorphous carbon mass ratio (Wooden et al., 2004; Harker

et al., 2004b). Specifically, decreasing the silicate feature

strength by a factor of 1.5 by removing the grains smaller

than 0.6 $\mu$m broadens the shape of the silicate feature more

than what is observed for Q4. Therefore grain size effects

cannot explain the observed variations in the spectra of

comet Q4.

With the above arguments in mind, we can consider the

positive detection of silicate features in many JFCs (Ta-

ble 1) and draw some conclusions. The weak silicate band

emission in JFCs, as compared to some OC comets, could

be due to: 1) silicates may be less abundant with respect to

other dust components (e.g., amorphous carbon, FeS) in

JFCs than in OC comets; 2) silicate grains may be larger in

JFCs than in OC comets; 3) JFC dust may be less porous

than OC comet dust; or 4) a combination of 1, 2, and/or

3. Out of the few JFCs that have been studied in-detail,

there is no clear agreement in the silicate dust content of

their comae. Kelley et al. (2006) found Jupiter-family comet

2P/Encke had a peak grain size distribution of 0.4–0.5 $\mu$m

and a sub-$\mu$m silicate dust mass fraction of $<31\%$ (3$\sigma$

upper-limit). Hanner et al. (1996) reasonably reproduced

the silicate feature of 19P/Borrelly to within 10% using a

50-50 mixture of silicates and carbon. Models of pre-Deep

Impact spectra of 9P/Tempel favored a silicate dust distri-

bution with a peak grain size of 0.7–0.8 $\mu$m for solid to

somewhat porous grains (Harker et al., 2007). It may be

that these three comets are truly different in their composi-
tions and grain size distributions, however the models could

be refined with new or improved constraints. For example,

the comet Encke model fits could benefit from higher sen-
sitivity spectra at $\lambda < 14$ $\mu$m that better constrain the

grain temperatures and silicate compositions, and all three

comet models could be improved with constraints derived

from scattered light observations ($\S 3.2$). Thermal emission

modeling has the potential to constrain grain parameters,

but only within the limitations of a given data set.

A few OC comet thermal emission spectra have also been

modeled. Models of comets C/2001 Q4 (NEAT), C/2002 V2

(NEAT), and Hale-Bopp reveal comae with smaller peak

grain sizes (0.1–0.5 $\mu$m) that are dominated by crystalline

silicate grains (see Ootsubo et al., 2007a, and references

therein). Hanner et al. (1994) find that weak silicate emis-

sion in several OC comets is correlated with a weak dust

scattering continuum and weak 3 $\mu$m thermal emission,
altogether suggesting a lack of small grains. From the thermal

(Moreno et al., 2003; Honda et al., 2004; Min et al., 2005;

Harker et al., 2007). However, Lisse et al. (2006) found sig-
nificant amounts of Fe-rich pyroxene in the Deep Impact

ejecta from comet 9P/Tempel (see §4 and Fig. 4). In Fig. 2,

we summarize the variation of the peak wavelength position

with Mg content for strong crystalline olivine and ortho-

pyroxene peaks observed near 10 $\mu$m from the analyses of

Koike et al. (2003) and Chihara et al. (2001).

Finally, grain structure (i.e., porosity and shape) influ-

ences the thermal emission from dust. For two otherwise

similar grains, increased porosity will produce a warmer

equilibrium temperature and, in larger grains, a stronger

10 $\mu$m silicate emission feature (Hage and Greenberg, 1990;

Xing and Hanner, 1997; Min et al., 2006; Kolokolova et

al., 2007; Voshchinnikov and Henning, 2008). The effect

is due to insulating vacuum inside porous grains, causing

sub-domains to be increasingly thermally isolated from

each other. If the porosity of an aggregate grain is more

than 63% then the temperature begins to approach that of

the monomer (~0.1 $\mu$m sub-grains): at 80% porosity the

temperature of the aggregate is only 4–6% lower than a

monomer (Xing and Hanner, 1997). Also, the shape of a

porous aggregate determines whether larger porous grains

lose or retain their silicate resonant features (Kolokolova

et al., 2007). The net result of increased porosity is a ther-

mal emission spectrum with a hotter temperature and a

stronger silicate feature compared to a similar sized comp-

act grain. Thermal emission models for porous aggregates

that include crystals (computed with the Discrete Dipole

Approximation; Moreno et al., 2003) yield similar

crystalline fractions as the less time-intensive approach of

modeling a grain distribution composed of discrete crystals

and mono-mineralogic porous grains (Harker et al., 2002,

2004a).

The above three grain parameters (size, composition, and

structure) all affect the observed strength of a coma’s sil-

cicate emission feature, but each parameter also affects the

shape of the feature. When we consider the silicate emis-

sion feature’s shape, and the shape of the entire spectrum,

we find that there are no one-to-one degeneracies among

the dust grain parameters, although there are consistent

trends. For example, the silicate feature strength can be

raised by increasing the porosity of grains above ~80% vac-

uum, which yields silicate features and temperatures simi-

lar to their monomers (Xing and Hanner, 1997). Increasing

the porosity of dust grains in a coma also shifts the spec-

tral slope of the Rayleigh-Jeans side of the observed spec-

trum towards the blue (i.e., there are fewer, cool grains con-

tributing to the flux at long wavelengths). A small portion

of this change in spectral slope can be accounted for by flat-

tening the size distribution to increase the relative number

of larger, cooler grains. The entire effect, however, cannot

be accounted for in this manner—this is evident when we

consider that the largest, highly porous grains have higher

equilibrium temperatures than the largest grains with more

compact shapes. Oort cloud comet Hale-Bopp is an exam-

ple of a comet that displays an extremely strong silicate
emission studies it is not clear which of the possible causes (1, 2, or 3) manifest the differences between comet mid-IR spectra, but, from the limited results above, OC comets seem to have an abundance of the smallest grain sizes, when compared with JFCs. In the next section we present recent developments in models of light scattered by comet dust that suggest grain porosity is the dominant property.

3.2. Grain Porosity, Thermal Emission, and Light Scattered by Comet Dust

Models and observations of light scattered by comet dust, in tandem with mid-IR observations, are providing intriguing evidence that supports grain structure as the dominant cause for the difference between JFCs and OC comets. In light scattering models, grain structure refers to one of three types: solid grains; compact porous aggregates, described by ballistic particle-cluster aggregation (BPCA); or, fluffy (highly porous) aggregates, described by ballistic cluster-cluster aggregation (BCCA) (Mukai et al., 1992). Grain structure affects the strength of the silicate feature, polarization, and surface brightness radial profiles (the latter due to the changing efficiencies of gas drag and the solar radiation force; Meakin and Donn, 1988; Mukai et al., 1992; Nakamura et al., 1994).

Kolokolova et al. (2007) delineate two types of dust comae: 1) comae dominated by compact grains that are either solid, or compact porous; or 2) comae dominated by fluffy aggregates. In scattered light models (Kimura et al., 2003; Kimura and Mann, 2004; Kimura et al., 2006), both grain types reasonably reproduce the observed optical properties of comet dust (for a review, see Kolokolova et al., 2004), but one observable breaks the degeneracy: the relationship between the 10 \( \mu m \) silicate emission and the structure of the aggregates.

Kolokolova et al. (2007) show that the surface brightness distributions of the dust and gas in comet comae vary from comet-to-comet. They suggest that gas-rich comets have dust comae that are more spatially compact than their gas comae (e.g., comet Encke, Jockers et al., 2005), and dusty comets have dust and gas comae with similar surface brightness distributions. Gas-rich comets have lower optical polarization maxima than dusty comets, and the low optical polarization is correlated with weak or absent 10 \( \mu m \) silicate features (Levasseur-Regourd et al., 1996). Exploiting the correlation between low optical polarization and dust surface brightness distribution, and using recent observations that have shown the low optical polarization is due to gas contamination in broadband filters (Kiselev et al., 2004; Jewitt, 2004; Jockers et al., 2005), Kolokolova et al. (2007) develop a physical explanation to describe the correlation between dust-to-gas ratios and the 10 \( \mu m \) silicate emission feature. Their argument is as follows: 1) fluffy, porous aggregates have stronger or equivalent 10 \( \mu m \) silicate emission features compared to compact, or solid, aggregates (summarized above); 2) fluffy aggregates should be more easily accelerated to the gas outflow velocity than compact grains, primarily due to their larger surface areas per mass (Meakin and Donn, 1988; Nakamura et al., 1994); 3) in contrast, compact grains are not accelerated to the gas expansion velocity, and dust comae consisting of compact grains will be more concentrated around the comet nucleus than the gas. Altogether, the scattered light and the thermal emission properties of comet dust suggest that grain porosity could be the predominant characteristic that determines the strength of the comet’s silicate features.

3.3. The influence of the nucleus on observed silicate band strength

When assessing the dust content of comet comae, it is important to remove the contribution of the nucleus from the total thermal emission flux. The nucleus can be a dominant contributor to the mid-IR flux of JFCs, which are typically less active than their Oort Cloud counterparts (A’Hearn et al., 1995). A large nucleus flux skews the silicate band strength towards lower values. To illustrate this fact, we estimate the fraction of the mid-IR flux attributable to the nucleus in a typical JFC observed at \( r_h = 1.8 \) AU. We will base our model comet on surveys of JFC dust production rates (A’Hearn et al., 1995) and nucleus sizes (Lamy et al., 2004). We will also “observe” our model comet at \( r_h = 1.0 \) AU by appropriately increasing the dust production rate and phase angle to show how the nucleus fraction varies with heliocentric distance. We then examine Table 1 to determine if the weak silicate features are actually a product of large nuclei with low dust production rates.

Since visible light observations of comets are more readily available than mid-IR observations, it is advantageous to use the visible observations to estimate the coma brightness of a typical JFC. The visible light proxy for dust production is the quantity \( A f \rho \), where \( A \) is the Bond albedo of the dust, \( f \) is the dust areal filling factor in the field-of-view, and \( \rho \) is the projected radius of the field-of-view, typically expressed in units of cm (A’Hearn et al., 1984). The filling factor may be expressed as \( f = N \sigma \pi^{-1} \rho^{-2} \), where \( N \sigma \) is the total cross-section of the grains in cm\(^2\). Technically, \( f \) depends on the extinction cross-sections of the grains and not on the geometric cross-sections (A’Hearn et al., 1984). For comae with constant isotropic outflows, \( A f \rho \) is independent of aperture size.

The thermal emission, \( F_\lambda \) in units of W cm\(^{-2}\) \( \mu m^{-1} \), from a collection of isotropically emitting isothermal grains is

\[
F_\lambda = (1 - \bar{A}) \pi B_\lambda(T) \frac{\rho^2}{\Delta^2}.
\]

where \( \bar{A} \) is the mean bolometric albedo of the dust (\( \approx 0.32 \); Gehrz and Ney, 1992), \( B_\lambda(T) \) is the Planck function in units of W cm\(^{-2}\) \( \mu m^{-1} \) sr\(^{-1}\) evaluated for the grain temperature \( T \) and at a wavelength of \( \lambda \), and \( \Delta \) is the comet-observer distance in cm. It is useful to express Eq. 1 in terms of \( A f \rho \)
and $\theta$, where $\theta = 206265 \, \rho \, \Delta^{-1}$ is the angular size of the aperture in units of arcseconds,

$$F_\lambda = \frac{(1 - \bar{A})}{A(\alpha)} \pi B_\lambda(T) \frac{\theta}{206265} \frac{A(\alpha) f_\rho}{\Delta}. \quad (2)$$

Here, we explicitly write the phase angle dependence of the albedo as $A(\alpha)$; the thermal emission term, $1 - \bar{A}$, is independent of phase angle. Visual albedo measurements of Jupiter-family comets are rare. We found that only one comet, 21P/Giacobini-Zinner, has been studied, and it has a low albedo: $A(64^\circ) = 0.07 - 0.15$ (Telesco et al., 1986), $A(22^\circ) = 0.11$ (Pittichova et al., 2008). In the near-IR, JFCs have albedos equivalent to or lower than Oort Cloud comets (Campins et al., 1982; Hanner and Newburn, 1989). Since comets are typically observed at low-to-moderate phase angles, we have chosen $A(\alpha < 60^\circ)$ to be 0.15, which is slightly under the apparent mean $A(\alpha)$ of several Oort Cloud comets (see Fig. 1 of Kolokolova et al., 2007). The mid-IR coma flux is fairly sensitive to $A(\alpha)$, therefore measured values should be used when possible. Assuming a value of 0.15 introduces a factor of 2 uncertainty in the mid-IR coma flux, therefore we have chosen $A(\alpha < 60^\circ)$ to be 0.15, which is slightly under the apparent mean $A(\alpha)$ of several Oort Cloud comets (see Fig. 1 of Kolokolova et al., 2007). The mid-IR coma flux is fairly sensitive to $A(\alpha)$, therefore measured values should be used when possible. Assuming a value of 0.15 introduces a factor of 2 uncertainty in the mid-IR coma flux when we consider the observed range is $\approx 0.1 - 0.25$ (excluding comet Hale-Bopp: Kolokolova et al., 2004). We take the ensemble average dust temperature to be 10% warmer than the blackbody temperature, $T_{BB}$, at the observed $r_h$,

$$T \approx 1.10 \, T_{BB} = \frac{306K}{\sqrt{r_h}}, \quad (3)$$

which is within the typical range (0–30%) observed in many comets (Gehrz and Ney, 1992; Hanner et al., 1996).

The near-Earth asteroid thermal model (Harris, 1998) has proven to be sufficient for modeling the thermal emission from comet nuclei, although with some modifications. Groussin et al. (2004) suggests an IR-beaming parameter of 0.85 for comets, based on the surface models of Lagerros (1998). In the formalism of the near-Earth asteroid thermal model, the beaming parameter contributes to the observed effective temperature of the nucleus. The value of 0.756 has been favored for large, main-belt asteroids (Lebofsky et al., 1986), but equivalent or larger values, up to $\approx 1.0$, have been experimentally determined with infrared observations of comet nuclei (Fernández et al., 2000; Kelley et al., 2006; Fernández et al., 2006; Groussin et al., 2007). We adopt 0.85 throughout our paper. We also use a geometric albedo of 0.04, a typical value for comet nuclei (Lamy et al., 2004).

A'Hearn et al. (1995) surveyed the optical properties of 85 comets, of which 34 belong to the Jupiter-family class. Their median observed $Af_\rho$ value for JFCs is 110 cm at a median $r_h$ of 1.8 AU. We derive a median nuclear radius of 1.8 km for the 34 JFCs using the radii listed by Lamy et al. (2004) and updated values for 81P/Wild and 9P/Tempel from Brownlee et al. (2004) and A'Hearn et al. (2005). Table 2 lists the nucleus-to-coma flux ratios at 10 $\mu$m for various observing parameters of our median JFC ($Af_\rho = 110$ cm, $r_h = 1.8$ AU, $R_n = 1.8$ km). Of course, our fictional “median JFC” parameters are not representative of any particular JFC, and that spectra of each comet should be considered on an individual basis. Table 2 also lists estimates for the median JFC observed at $r_h = 1.0$ AU by scaling the $Af_\rho$ value up by a factor of $1.8^{2.3}$, as suggested by A’Hearn et al. (1995).

A bright nucleus may affect derived coma parameters, the most immediate of which is the silicate band strength. It is clear from Table 2 that the nucleus flux can comprise a significant amount of the total flux measured in mid-IR spectra, as high as 80–90%, especially when observing comets with low dust production rates ($Af_\rho \approx 100–200$ cm) using the narrow slits (0.4–1.0” wide) on ground-based instrumentation. In this case, if the silicate band strength appears to be between 1.10 and 1.30, then the nucleus subtracted (corrected) silicate band strength would lie between 1.50 and 3.0! With a larger slit, the nucleus could be 10–30% of the flux. The corrected silicate band strength would then increase to 1.11–1.43. The latter small changes in the silicate band emission of a JFC may not be significant when comparing a JFC to comet Hale-Bopp, which had a silicate emission feature that ranged from 3.0 to 4.0, but are important when assessing the properties of JFCs as a whole.

Removing the nucleus is relevant to grain thermal emission modeling, even in comets with no discernible silicate emission feature. Comet nuclei have temperature distributions unlike collections of isothermal grains (the dust coma). Comet nuclei have low thermal inertia (e.g., Groussin et al., 2007), therefore, the sub-solar point on a slowly rotating nucleus will be hottest, and the temperature decreases as the sun-zenith angle ($\theta_\odot$) increases (in the near-Earth asteroid model, $T \propto \cos^{1/3} \theta_\odot$). In contrast, the thermal propagation timescales in small grains are fast and they quickly equilibrate to a single temperature—a temperature that is cooler than the effective temperatures of comet nuclei. Thus, the shortest wavelengths of a thermal emission spectrum will include the highest fraction of flux from the nucleus (e.g., see the reduction of the 2P/Encke spectra in Kelley et al., 2006). If the nucleus emission is not removed, a coma modeler would find an increased number of the smallest grains (the grain sizes that are constrained by the shortest wavelengths) over a best-fit model that excludes the nucleus flux.

We now refer to Table 1 and discuss our estimates of how the thermal emission from each comet’s nucleus affects the observed silicate band strength. Hanner et al. (1996) considers the size of the comet nucleus when computing the silicate band strength of comet 4P/Faye, so no correction is necessary. Based on images of the nucleus of comet 19P/Borrelly (Buratti et al., 2004), the 8.0 × 3.2 km prolate nucleus contributes 14–36% of the flux in the spectrum of Hanner et al. (1996). The silicate emission feature strength is reported by Hanner et al. (1996) to be $\approx 1.25$. Their plot of the data, however, suggests that $\approx 1.11$ is a more appropriate value. Removing the nucleus flux increases the strength to 1.13–1.19. Stansberry et al. (2004) estimate the silicate band strength of comet
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ISOPHOT instrument (24 μm) requires no correction due to the large aperture of the thermal emission in the Sitko et al. (2004) mid-IR spectrum. The result is a minor increase in the silicate band strength to 1.24–1.28. The silicate band strength of comet 69P/Taylor and find the silicate band strength to be 1.23. The silicate band strength of comet 29P/Schwassmann-Wachmann to be approximately 1.10. We use their model nucleus parameters and derive a corrected band strength of 1.19. Sitko et al. (2004) observed 69P/Taylor and find the silicate band strength to be 1.23. The radius of 69P is estimated to be 2.1 ± 0.6 km by Tancredi et al. (2006), which corresponds to 4–19% of the thermal emission in the Sitko et al. (2004) mid-IR spectrum. The result is a minor increase in the silicate band strength to 1.24–1.28. The silicate band strength of comet 103P/Hartley (1.20) measured by Crovisier et al. (2000) requires no correction due to the large aperture of the ISOPHOT instrument (24′′ × 24′′), and the small nucleus size, $R_n = 0.71 ± 0.13$ km (Groussin et al., 2004). We derive a nucleus contribution of ≤ 1% for comet 103P. Finally, the observation of 103P/Hartley by Lynch et al. (1998) requires a minor correction, resulting in an increase of the measured silicate band strength from 1.15 to 1.22, which matches the ISOPHOT derived silicate band strength.

4. Comet 9P/Tempel and Deep Impact

Comet 9P was the primary target of the Deep Impact mission—a mission designed to impact a comet nucleus to determine its underlying strength, structure, and composition. In all ways, comet 9P/Tempel is considered to be a typical JFC (A’Hearn and Combi, 2007). The Deep Impact spacecraft delivered a 370 kg impactor into the nucleus of 9P/Tempel at a relative velocity of 10.3 km s$^{-1}$. The fly-by spacecraft observed the event from a distance of 500 km (A’Hearn et al., 2005). The impact excavated $\sim 10^5 - 10^6$ kg of ice and dust from the surface and interior of the comet (Sugita et al., 2005; Harker et al., 2005; Küppers et al., 2005; Keller et al., 2007).

Some of the most detailed mid-IR spectra of any JFC were obtained of comet 9P/Tempel in support of the Deep Impact mission. Three investigations have been presented: 1) Spitzer observations of the pre- and post-impact coma (approximately 30 and 60 min after impact) at 5–40 μm (Lisse et al., 2006); 2) Gemini-N observations of the pre- and post-impact coma (approximately 7 min cadence for 3 hr, and additional spectra 24 hr after impact), primarily at 8–13 μm (Harker et al., 2007); and 3) a Subaru observation of the post-impact coma (3.5 hr after impact) at 8–13 μm (Ootsubo et al., 2007b).

4.1. The Pre-Deep Impact Dust Coma

The Deep Impact spacecraft studied the ambient coma and nucleus of comet 9P and provided excellent context for observations from other spacecraft and ground-based observatories. Farnham et al. (2007) analyzed images of 9P/Tempel taken by the Deep Impact spacecraft and found that the pre-impact dust coma has three prominent jets, the strongest of which originates near comet 9P’s south pole. Feaga et al. (2007) observed CO$_2$ and H$_2$O gas in the coma and find that CO$_2$ is preferentially ejected from the south-ern pole (correlated with the dust) and that H$_2$O is preferentially ejected in the sunward direction (on the northern hemisphere at the time of observation). The CO$_2$ and H$_2$O asymmetries give strong evidence for either differentiation of ices in the nucleus, or primordial heterogeneity. Comet jets will typically be unresolved in mid-IR observations, but it would be interesting to investigate whether dust from 9P’s discrete sources propagate into separate coherent coma or tail structures (Farnham et al., 2007), and if the dust properties of these structures could be assessed using the high signal-to-noise spectral map of comet 9P taken with the Spitzer/IRS instrument. For the purposes of this review, we will assume analyses of mid-IR observations of the pre-Deep Impact coma probe a global average of the dust properties of this comet.

Lisse et al. (2006) present a pre-impact Spitzer spectrum of 9P/Tempel, but a detailed analysis of this spectrum is not given. In Fig. 3 we present the pre-impact spectrum taken by Spitzer on 03 July 2005. A model nucleus has been removed, and a silicate feature (strength of ≈ 20%) is clearly observed at 8–12 μm. We estimate the silicate band strength to be in the range 1.19–1.25.

Harker et al. (2007) describe their pre-impact Gemini spectra as “essentially smooth and nearly featureless” with a silicate band strength close to 1.0 (no silicate emission), although an uncertainty is not presented (the nucleus also amounts to 80% of their pre-impact flux). We estimate the silicate band strength to be 1.2 ± 0.2 (after nucleus subtraction). A spectrum taken in May 2005, about 1.5 months prior to the Deep Impact encounter, did show clear silicate emission (we estimate the strength to be 1.50 ± 0.18). In May 2005 when dust from the southern jet dominates the coma (Farnham et al., 2007), the dust mineralogy is dominated by solid (zero porosity) amorphous pyroxene silicates with a peak grain size of 0.7 μm, whereas in July 2005 pre-impact the ambient coma is comprised of moderately porous (46% vacuum for a 10 μm grain) amorphous carbon and amorphous olivine silicates with a slightly larger peak grain size of 0.8 μm. Note that for a 10 μm radius grain, the silicate feature strength increases from negligible to ≈ 1.2 as the porosity changes from solid (0% vacuum) to moderately porous (≈ 50% vacuum) (Harker et al., 2002, 2007). In summary, JFC comae with low dust production rates may be measured through small slits may at first appear to have “featureless” IR spectra (especially at low signal-to-noise ratios), but modeling after nucleus removal can reveal micron-sized solid or 10 μm porous silicate grains, as is the case for pre-impact comet 9P (Figs. 2 and 5 of Harker et al., 2007).

4.2. The Post-Deep Impact Dust Coma

Post-impact mid-IR spectra were dramatically different from the pre-impact spectra (Fig. 3). Mid-IR spectra of the ejecta show the strongest resonances of sub-μm sized crystalline silicates of both olivine and pyroxene grains (Lisse et
al., 2006; Harker et al., 2007; Ootsubo et al., 2007b). This discovery is perhaps the most immediate result of the mid-IR investigations. A Spitzer/IRS observation of the 10 µm silicate feature (0.64 hr after impact) is presented in Fig. 2. We find the post-impact silicate band emission strength to be near 2.0 (both the Gemini and Spitzer observations; see column 6 in Table 1), the strongest feature ever observed in a spectrum of a JFC. The derived crystalline-to-amorphous silicate sub-µm mass ratios range from approximately 0.2 to 4 (Harker et al., 2005, 2007; Lisse et al., 2006; Ootsubo et al., 2007b). The values depend on slit width, orientation, and time of observation, which could easily explain the wide range of values. The pre-impact crystalline-to-amorphous silicate ratio has not been significantly constrained (< 1.6, 1σ upper-limit Harker et al., 2007).

Only in two or three other JFCs, 78P/Gehrels (Watanabe et al., 2005), 73P/Schwassmann-Wachmann (Harker et al., 2006b), and, possibly, 103P/Hartley (Crovisier et al., 2000), have detections of crystalline silicates been reported. We may also include the Centaur-like JFC 29P/Schwassmann-Wachmann (Stansberry et al., 2004). For comparison with 9P, Watanabe et al. (2005) found a crystalline-to-amorphous silicate ratio of ≈ 0.9 for 78P/Gehrels. The crystalline-to-amorphous silicate ratios of 78P and the Deep Impact ejecta are significantly greater than that found in the interstellar medium, ≤ 0.022, (Kemper et al., 2004, 2005), and similar to or smaller than the crystalline-to-amorphous ratios measured in the comae of some Oort Cloud comets (2–3 for comets Hale-Bopp, C/2001 Q4 (NEAT), and C/2002 V1 (NEAT); Harker et al., 2002, 2004a; Wooden et al., 2004; Ootsubo et al., 2007a).

Aside from the crystals, Harker et al. (2007) find that the Deep Impact ejecta was rich in amorphous pyroxene, in contrast with the amorphous olivine and amorphous carbon dominated pre-impact coma in July 2005, but similar to the higher dust production rate coma in May 2005. In the impact-induced coma, crystalline olivine is correlated with the amorphous pyroxene dust, suggesting these minerals originated from the same reservoir (the Deep Impact crater). Harker et al. (2007) also found a population of amorphous carbon grains traveling at a projected speed of 700 m s⁻¹, equivalent to the highest speed ejecta seen by other observers (see, e.g., Keller et al., 2007). Schultz et al. (2007) interpret high speed ejecta as originating from the upper 5 m of the nucleus, whereas slower ejecta is from deeper layers. Harker et al. (2007) and Kadono et al. (2007) conclude that the surface of the nucleus is rich in sub-µm amorphous carbon grains.

With the broad wavelength coverage of Spitzer (5–40 µm), Lisse et al. (2006) were able to identify a number of minerals that have not been previously reported in cometary spectra, namely Fe-rich silicates, metal sulfides, phyllosilicates, and carbonates. To emphasize the benefit of the long wavelength coverage, we assembled a bar chart consisting of 9P/Tempel’s dust components (Fig. 4). The figure highlights those minerals that in principle are difficult to constrain without the 5–40 µm Spitzer spectra—these components add up to one-third of the total dust surface area. Lisse et al. (2006) find that 8% of all the silicates by emitting surface area are in the form of the Fe-rich hydrated mineral nontronite, and 5% of the total surface area is in the Mg-rich carbonate magnesite, or the Fe-rich carbonate siderite. In §5.1, we discuss implications of the presence of hydrated minerals on our knowledge of comet nuclei.

Harker et al. (2007) and Ootsubo et al. (2007b) assumed a Mg-rich composition for their crystalline silicate grains, which allowed adequate fits to most of their spectra (χ² ≤ 1.0). Lisse et al. (2006) simultaneously fit both Mg-rich and Fe-rich silicate minerals to their spectra and find significant amounts of Fe-rich silicate crystals. By number, the olivine Fe-/Mg-rich ratio is 0.3, and the pyroxene Fe-/Mg-rich ratio is 3.1. The difference between the Fe-/Mg-rich ratio in these two major silicate groups is intriguing. Both comets 9P and Hale-Bopp have high crystalline silicate fractions, but their specific minerals are different. Only the Mg-rich species were present in comet Hale-Bopp (Wooden et al., 1999; Bradley et al., 1999; Harker et al., 2002). Furthermore, an 11.25 µm peak in the spectra of other OC comets indicates Mg-rich grains (Hanner et al., 1994). As discussed below (§5.2), if aqueous water was not present in the comet nucleus (§5.1), then the Fe content of silicate minerals may be a gauge of the amount of water vapor present in the solar nebula during silicate crystal formation. Future observations and analysis of crystals in Jupiter-family and other comets from Spitzer and SOFIA are vital to our understanding of the origin and evolution of dust in our solar system.

5. The Implications of Hydrated Minerals and Fe-Rich Crystals on Dust Formation and Comet Evolution

5.1. Hydrated Minerals

The suggestion that Fe-rich crystalline silicates, phyllosilicates, and carbonates are components of comet dust has consequences on our understanding of dust formation and cometary evolution. Significant amounts of liquid water would be required if these minerals were to have formed in 9P/Tempel’s nucleus (Lisse et al., 2006). This would upset the common understanding that the interiors of comets remained at low temperatures after formation (Meech and Svorčík, 2004). Carbonates are thought to form by aqueous alteration inside asteroids (Armstrong et al., 1982; Zolensky and Browning, 1997), because under steady-state conditions in the PPD mid-plane, the nebular concentration of CO₂ is too low (Lewis et al., 1979). The (anhydrous) mineral olivine could have been aqueously altered to become the phyllosilicate serpentine in water-rich shocks in the solar nebula phase Ciesla et al. (2003); Fegley and Prinn (1989). In contrast to the relatively simple incorporation of
the OH− radical into olivine to form serpentine, the formation of smectite requires mobilization of refractory elements including Ca, Mg, and Si. The gas transport of refractory elements requires very high temperatures and this seems inconsistent with a shock-wave producing low temperature mineral phases such as phyllosilicates (A. Krot, private communication). Hence, phyllosilicates other than serpentine probably formed in the interiors of asteroids where pressures were higher than in the nebula (Alexander et al., 1989; Brearley, 2006; Scott and Krot, 2005).

To aequously alter minerals in the comet nucleus, some mechanism must warm the comet interior enough to melt or sublime water ice. Deeper than a few km, heating by 26Al is sufficient to crystallize amorphous water ice, but much of the excess heat is quickly dissipated due the the higher heat conductivity of crystalline ice (about 20 times the heat conductivity of amorphous ice). Only in comets with radii greater than 10 km can the temperature continue to rise to allow for liquid water to form (Priailnik and Podolak, 1995, 1999; Priailnik et al., 2008; Merk and Priailnik, 2006; McKinnon et al., 2008). The interior may be high enough to form phyllosilicates by aqueous alteration of silicate minerals in comet dust, but not hot enough to dry out the phyllosilicates to form Fe-O-rich crystalline silicates, as has been suggested to occur in asteroids (Krot et al., 1995, 2000). In addition to phyllosilicates, other minerals that characterize parent-body aqueous alteration include hydroxides, hydrated sulfides, sulfates, oxides, and carbonates (Zolensky and Browning, 1997). These secondary minerals are notably absent from comet 1P/Halley (Jessberger et al., 1988; Jessberger, 1999) and Stardust (Brownlee et al., 2006; Zolensky et al., 2006; Lisse et al., 2007). The Lisse et al. (2006) analysis of comet 9P suggests low concentrations of carbonates and the phyllosilicate nontronite (a smectite), at the ≲5–10% level in comet 9P, but the identification of these minerals in spectra of other comets is still under study (Woodward et al., 2007; Bockelee-Morvan et al., 2007; Lisse et al., 2007). In an analysis of spectra of comet Hale-Bopp, (Wooden et al., 1999) set a tight constraint of ≤1% on the phyllosilicate montmorillonite (a smectite). Note that radiogenic temperatures in comet nuclei are not sufficiently high, nor the conditions “dry” enough, to anneal and crystallize amorphous refractory silicate minerals in comet dust. As described before, crystalline grains appear to have formed prior to the accretion of comet nuclei.

5.2. Fe-Rich Silicate Crystals

Since the discovery of crystalline silicates in comets (Campins and Ryan, 1989), it has been recognized that some comets exhibit mid-IR spectral features attributable to crystalline silicates, typically crystalline olivine. The identification of Mg-rich crystalline olivine at 11.2 µm is secured by the ISO SWS spectrum of comet Hale-Bopp that shows the distinct longer wavelength features (Crovisier et al., 2000). On the other hand, some OC comets do not exhibit crystalline silicate emission features, although how much of this dichotomy is due to observational uncertainties will not be known until an analysis of a large number of comets is presented. Crystalline silicates are found in such high abundances in some comets that the crystals cannot be relics from the interstellar medium, where the crystalline fraction is ≤2.2% (Kemper et al., 2004, 2005). For example, the crystalline-to-amorphous sub-µm mass ratio in the coma of the OC comet Hale-Bopp was 1.5–3.7 (Harker et al., 2002, 2004a). Recent observations have shown that JFCs also have crystals in significant fractional abundances: ~0.3 for Deep Impact-induced inner coma (3′′) of 9P/Tempel (Harker et al., 2005, 2007; Sugita et al., 2005) and ~0.8 for the Deep-Impact larger coma (10′′ Lisse et al., 2006), ~0.9 for 78P/Gehrels (Watanabe et al., 2005), and qualitatively described as less than in Hale-Bopp is 73P-B/Schwassmann-Wachmann and 73P-C/Schwassmann-Wachmann (Harker et al., 2006b; Sitko et al., 2006d).

Exactly what conditions led to the formation of crystalline silicates and their transport to the comet forming zone is not known, but the high Mg-content of crystalline silicates found in comets requires significant processing of proto-solar nebula amorphous silicates (see a review by Wooden et al., 2007). Based on a legacy of solar nebula condensation models developed to explain minerals in chondrules and chondrites, Mg-Fe amorphous silicates evaporated and re-condensed at ~1450 K as extremely Mg-rich silicate crystals and separate Fe grains in what is thought to be a typical “dry” solar nebula (Krot et al., 2000; Weinbruch et al., 2000). In addition, annealing, the process of heating an amorphous grain enough to crystallize it, may have been an important process. Based on a wide variety of experiments designed to create amorphous silicates and anneal them into crystals at ~1000 K (see a review by Wooden et al., 2005), Mg-rich amorphous silicates anneal to Mg-rich crystalline silicates, Fe-rich amorphous silicates anneal to Fe-rich crystalline silicates, and Mg-Fe amorphous silicates anneal to Fe-bearing crystalline silicates (Brownlee et al., 2005) but the Fe-content in the crystals begins low (0.1) and increases (to 0.2) as the crystallization completes (Murata et al., 2007). The annealing products and activation energies somewhat depend on how the amorphous silicate starting materials are made in the laboratory (see the review by Wooden et al., 2005; Murata et al., 2007). The major issue with annealing to create cometary crystals is that Mg-rich amorphous silicates are not seen in cometary interplanetary dust particles or chondritic materials, so there is no reservoir with which to anneal to Mg-rich crystals. Instead, annealing of Mg-Fe amorphous grains to form Mg-rich crystals must occur in a low oxygen fugacity gas (i.e., when water is depleted relative to molecular hydrogen) where Fe can be reduced (removed by interdiffusion) (Davion et al., 2006; Wooden et al., 2007).

The small number abundance of Fe-rich crystals in cometary materials can be explained either by annealing without Fe reduction (e.g., Brownlee et al., 2005) or by
late-time condensation from the gas phase in a wet nebula (H$_2$O/H$_2$ \approx 100–1000 times enhanced over a ‘dry’ nebula; Palme and Fegley, 1990). Interpretation of Stardust samples of comet 81P/Wild, where Fe-rich crystalline silicates are found in small numbers (Zolensky et al., 2007) but where secondary aqueous alteration products (e.g., layer lattice silicates) are not found (Zolensky et al., 2006), the gas phase condensation of moderately Fe-enriched to Fe-rich silicates is a more consistent scenario than parent body aqueous alteration. Moreover, we suggest that the condensation of Fe-rich crystalline silicates from a hot, water-rich nebula at times late enough for 90% of the Mg to have condensed into solids (Palme and Fegley, 1990) may explain the presence of Fe-rich crystalline silicates in both comets and in some chondrites that otherwise do not show extensive signs of parent body aqueous alteration.

As discussed above, Fe-rich crystalline silicates can condense from the gas phase when the oxygen fugacity is high. In order to have both Mg-rich and Fe-rich crystalline condensates, the water content must be variable. Water variability could come from inward migration of icy planetesimals into the hot inner-zones of the PPD (Cuzzi and Zahle, 2004). Therefore, the differences between the comets with Mg-rich crystals and comet 9P/Tempel with Fe-rich crystals may be a matter of the epoch of each comet’s formation, and the efficiency of radial transport in and out of the comet forming zones. Studying the abundance of Fe-rich crystalline silicates in bodies that have not undergone internal aqueous alteration (i.e., comets) may aid our understanding of the transport of water from beyond the frost line into the inner solar nebula, and the subsequent enrichment of the outer solar system with condensates that formed in a water rich environment.

6. Summary

We reviewed ground-based and space-based mid-IR spectra of Jupiter-family comets taken over the past 25 years. These observations, including spectroscopy of 9P/Tempel during the Deep Impact encounter and early Spitzer results, allow us to draw some conclusions on the nature of dust in JFCs: that, 1) JFCs have weak silicate emission features, roughly 20–25% over the continuum, similar to the lowest values observed in Oort Cloud comets; 2) the weak silicate emission could be due to low silicate content relative to other grain species (i.e., amorphous carbon and FeS), or due to the preponderance of large, compact grains (\( \gtrsim 1 \mu m \)); 3) three JFCs show evidence for crystalline silicates in their mid-IR spectra (four if we include the Centaur-like 29P/Schwassmann-Wachmann)—the existence of crystals, also found in OC comet spectra, indicate high temperature processes altered some dust in the proto-planetary disk; 4) JFC nuclei can have heterogeneous dust properties, the evidence for which is presented in studies of the Deep Impact encounter with comet 9P/Tempel; 5) the evidence for hydrated minerals in the coma of 9P/Tempel is perplexing when we consider that comet interiors (for \( R \leq 10 \text{ km} \)) likely remained well below the melting point of water ice; and, 6) the crystalline silicate forming zones of the solar nebula may have had a variable water content (i.e., variable oxygen fugacity, \( \delta \)), which could lead to the apparent dichotomy of comets: comets that predominantly consist of Mg-rich crystals (e.g., comet Hale-Bopp), and comets with significant amounts of Fe-rich crystals (comet 9P/Tempel).

We identify several opportunities with which we may improve our understanding of dust in JFCs: 1) increase the number of observed JFC mid-IR spectra; 2) continue to model the thermal emission spectra of JFCs in order to ascertain the nature of their weak silicate features; 3) continue to compare models of light scattered by comet dust with thermal emission models to significantly constrain the structure of dust grains; and 4) employ the remarkable capabilities of \( 8–10 \text{ m class telescopes and SOFIA} \) to improve our understanding of the amount and mineralogy of silicate crystals in comets, especially their Mg and Fe contents. We hope to answer: Why do JFCs and some OC comets have weak silicate emission features? How common are Fe-rich silicates and hydrated minerals in JFCs? Is the typical JFC heterogeneous in dust composition, and does that heterogeneity arise from the primordial aggregation of planetesimals?

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Fig. 1. Model spectra of the grain components detect in post-Deep Impact observations of 9P/Tempel from Harker et al. (2007). The Gemini-N/Michelle spectrum (black circles) is centered on the nucleus and is taken 74 min after impact. The thermal contribution of the nucleus is shown as an orange line. Superposed on the spectra is the total model spectral energy distribution (red line). The model is decomposed into its constituent dust components: amorphous pyroxene (blue line), amorphous olivine (cyan line), and crystalline olivine (green line). Notice the contrast between the broad spectral features of the amorphous silicates with the narrow resonances of crystalline olivine.
Fig. 2. The variation of selected resonance wavelength positions with Mg content in the 10 \( \mu \text{m} \) region for crystalline olivine (Koike et al., 2003) and crystalline ortho-pyroxene (Chihara et al., 2001). **Top**— A post-Deep Impact Spitzer/IRS spectrum of comet 9P/Tempel (1 hr after impact) normalized by a scaled Planck function fit to the spectrum at 8 and 12 \( \mu \text{m} \) (as described in §2). The wavelength positions for silicate crystalline peaks observed in comets C/1995 O1 (Hale-Bopp) (Wooden et al., 1999), C/2001 Q4 (NEAT) (Wooden et al., 2004), and 9P/Tempel (Harker et al., 2007) spectra are marked with vertical dotted-lines. **Center and Bottom**— Filled symbols mark the central wavelengths of strong silicate resonances as they vary with Mg content, open symbols mark weaker silicate resonances. The \( y \)-axis values range from 0.0 (Mg-poor, Fe-rich) to 1.0 (Mg-rich, Fe-poor) corresponding to the \( x \) and \( y \) parameters in each mineral’s chemical composition: 

\[
\text{Mg}_{y \times y} \text{Fe}_{1-y \times y} \text{SiO}_4 \text{ (olivine) and Mg}_{x \times x} \text{Fe}_{1-x \times x} \text{SiO}_3 \text{ (pyroxene).}
\]
Fig. 3. Pre-impact spectrum of comet 9P/Tempel taken 03 July 2005 UT (gray line), and two spectra taken 0.64 (thick black line) and 20.5 hr (thin black line) after impact. The contribution from a 3.3 km nucleus has been removed. Notice the broad amorphous silicate emission at 8–12 and 15–20 µm in the pre-impact spectra. Also note the strong crystalline resonances at 9 and 11 µm in the first post-impact spectrum.
Fig. 4. Grain components identified in the mid-IR in post-Deep Impact Spitzer spectra of comet 9P/Tempel (Lisse et al., 2006). In order to emphasize the importance of space-based infrared spectra, we have highlighted those components that have only been identified through reduced $\chi^2$ fits to the Spitzer/IRS 5–35 $\mu$m observations.
## Table 1: Mid-infrared spectroscopy of Jupiter-family comets.

| Comet                  | Investigators             | Telescope Instrument | 10 µm | Silicate Band Strength | Notes                                                                 |
|------------------------|---------------------------|----------------------|-------|------------------------|----------------------------------------------------------------------|
|                        |                           |                      |       |                        |                                                                      |
| 2P/Encke               | Kelley et al. 2006        | Spitzer IRS          | N     |                        |                                                                      |
|                        |                           |                      |       |                        | Silicates are < 31% of the sub-µm dust mass (3σ).                    |
| 4P/Faye                | Hanner et al. 1996        | IRTF BASS            | 1.25  |                        |                                                                      |
| 9P/Tempe               | Lynch et al. 1995         | IRAS LRS             | < 1.40 |                        |                                                                      |
|                        | Lisse et al. 2006         | Spitzer IRS          | Y     |                        |                                                                      |
|                        | Harker et al. 2007        | Gemini-N Michelle    | Y     |                        |                                                                      |
|                        | Ootsubo et al. 2007b      | Subaru COMICS        | Y     |                        |                                                                      |
| 10P/Tempel             | Lynch et al. 1995         | IRAS LRS             | < 1.40 |                        |                                                                      |
| 19P/Borrelly           | Hanner et al. 1996        | IRTF BASS            | 1.11  |                        | 1.13–1.19 after nucleus subtraction.                                  |
| 24P/Schaumasse         | Hanner et al. 1996        | IRTF BASS            | < 1.10 |                        | Bare nucleus?                                                         |
| 26P/Grigg-Skjellerup   | Hanner et al. 1984        | UKIRT UCL Spec.      | N     |                        |                                                                      |
| 29P/Schwassmann-Wachmann | Stansberry et al. 2004   | Spitzer IRS          | 1.10  |                        | 1.19 after nucleus subtraction.                                       |
| 67P/Churyumov-Gerasimenko | Hanner et al. 1985     | IRTF FOGS            | N     |                        |                                                                      |
|                        | Kelley et al. 2006        | Spitzer IRS          | …     |                        |                                                                      |
| 69P/Taylor             | Sitko et al. 2004         | IRTF BASS            | 1.23  |                        | 1.24–1.28 after nucleus subtraction.                                  |
| 73P/Schwassmann-Wachmann | Harker et al. 2006a      | Gemini-N Michelle    | 1.15–1.25 |                        | Fragments B and C                                                     |
|                        | Sitko et al. 2006a,b      | IRTF BASS            | 1.18–1.25 |                        | Fragments B and C                                                     |
|                        | Sitko et al. 2006d        | Spitzer IRS          | 1.33  |                        | Fragment B                                                           |
| 78P/Gehrels            | Watanabe et al. 2005      | Subaru COMICS        | Y     |                        |                                                                      |
| 103P/Hartley           | Lynch et al. 1998         | IRTF BASS            | 1.15  |                        | 1.22 after nucleus subtraction.                                       |
|                        | Colangeli et al. 1999     | ISO ISOPHOT          | N     |                        | The uncertainties may be consistent with ≤ 1.2                      |
|                        | Crovisier et al. 2000     | ISO ISOCAM           | 1.20  |                        | The nucleus is ≤ 1% of the flux.                                      |

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^a The Tisserand parameter of 29P is $T_J = 2.98$, which places the comet in the Jupiter-family class (Levison, 1996), yet in long timescale integrations, the comet always remains between the orbits of Jupiter and Saturn (see, e.g., Carusi et al., 1985)—a behavior that is similar to Centaurs. Since Centaurs and Jupiter-family comets have the same origin in today’s solar system (the Kuiper Belt and Scattered Disk) their dust properties are related, and a comparison between 29P and JFCs is valid.

^b These silicate band strengths do not take into consideration the flux from the nucleus. For most entries, we list a corrected value in the notes column.
Table 2
Coma \((F_C)\) and nucleus \((F_N)\) fluxes at 10 \(\mu\)m for our “median Jupiter-family comet” model.\(^a\)

| Phase \((\Delta \text{ angle})\) | \(F_N\) \((\theta = 0.20''\))\(^b\) | \(0.8F_N/(0.8F_N + F_C)\) \(^c\) | \(\theta = 0.20''\) \(\theta = 0.60''\) \(\theta = 1.85''\) |
|-----------------|----------------|----------------|-----------------|
| \(\text{AU} (\degree)\) | \(\text{mJy}\) | \(\text{mJy}\) | \(\theta\) |
| 0.8 0 | 158 9 | 0.93 | 0.82 | 0.60 |
| 1.0 25 | 91 7 | 0.91 | 0.77 | 0.52 |
| 1.2 31 | 60 6 | 0.89 | 0.73 | 0.46 |

| \(A f \rho = 110\) cm, \(r_h = 1.8\) AU, \(R_n = 1.8\) km |
| 0.8 0 | 158 9 | 0.93 | 0.82 | 0.60 |
| 1.0 25 | 91 7 | 0.91 | 0.77 | 0.52 |
| 1.2 31 | 60 6 | 0.89 | 0.73 | 0.46 |

| \(A f \rho = 430\) cm\(^d\), \(r_h = 1.0\) AU, \(R_n = 1.8\) km |
| 0.3 81 | 1602 481 | 0.73 | 0.47 | 0.22 |
| 0.6 72 | 488 240 | 0.62 | 0.35 | 0.15 |
| 0.9 63 | 259 160 | 0.56 | 0.30 | 0.12 |

\(^a\) See §3.3 for a discussion of the model parameters. Note that \(F_C\), \(F_N\), and their ratio vary with wavelength.

\(^b\) The coma flux scales linearly with aperture angular radius, \(\theta\), for constant isotropic dust ejection (Eq. 2).

\(^c\) The aperture angular radius for the \(R \approx 100\) mode of three instruments: \(\theta = 0.20''\) corresponds to Gemini/Michelle, \(\theta = 0.60''\) to IRTF/MIRSI, and \(\theta = 1.85''\) to Spitzer/IRS. For simplicity, we assume a slit throughput of 80% for point sources (the nucleus).

\(^d\) To estimate the \(A f \rho\) at \(r_h = 1.0\) AU, we scaled the \(A f \rho\) at \(r_h = 1.8\) AU by a factor of 1.8\(^3\) as suggested by A’Hearn et al. (1995).