Comparative Analysis of Cage Subsidence in Anterior Cervical Decompression and Fusion: Zero Profile Anchored Spacer (ROI-C) vs. Conventional Cage and Plate Construct

Zhe-yu Jin†, Yun Teng†, Hua-zheng Wang, Hui-lin Yang*, Ying-jie Lu* and Min-feng Gan*

Department of Orthopedics, First Affiliated Hospital of Soochow University, Suzhou, China

Background: Anterior cervical discectomy and fusion (ACDF) has been widely performed to treat cervical generative diseases. Cage subsidence is a complication after ACDF. Although it is known that segmental kyphosis, acceleration of adjacent segmental disease, and restenosis may occur due to cages subsidence; however detailed research comparing zero-profile cages (ROI-C) and conventional plate and cage construct (CPC) on cage subsidence has been lacking.

Objective: The objectives of this study was to compare the rate of postoperative cage subsidence between zero profile anchored spacer (ROI-C) and conventional plate and cage construct (CPC) and investigate the risk factors associated with cage subsidence following ACDF.

Methods: Seventy-four patients with ACDF who received either ROI-C or CPC treatment from October 2013 to August 2018 were included in this retrospective cohort study. Clinical and radiological outcomes and the incidence of cage subsidence at final follow-up were compared between groups. All patients were further categorized into the cage subsidence (CS) and non-cage subsidence (NCS) groups for subgroup analysis.

Results: The overall subsidence rate was higher in the ROI-C group than in the CPC group (66.67 vs. 38.46%, P = 0.006). The incidence of cage subsidence was significantly different between groups for multiple-segment surgeries (75 vs. 34.6%, P = 0.003), but not for single-segment surgeries (54.55 vs. 42.30%, P = 0.563). Male sex, operation in multiple segments, using an ROI-C, and over-distraction increased the risk of subsidence. Clinical outcomes and fusion rates were not affected by cage subsidence.

Conclusion: ROI-C use resulted in a higher subsidence rate than CPC use in multi-segment ACDF procedures. The male sex, the use of ROI-C, operation in multiple segments, and over-distraction were the most significant factors associated with an increase in the risk of cage subsidence.

Keywords: cage subsidence, anterior cervical decompression and fusion, over-distraction, multiple segments, zero-profile cages
INTRODUCTION

Anterior cervical decompression and fusion (ACDF) has been widely used as a surgical treatment method for cervical disc degenerative diseases since it was first developed by Smith and Robinson in 1958 (1). Augmentation through the use of anterior cervical plating provides immediate stabilization and the preservation of cervical alignment, preventing graft dislodgment and enhancing fusion rates. However, the implantation of anterior plating has also been associated with complications, including postoperative dysphagia, soft tissue damage, and hardware failure (2–4). Zero-profile anchored spacers (ROI-Cs) have become popular due to reduced damage to soft tissues, lower blood loss, and the avoidance of hardware-related complications compared with traditional cage and plate constructs (CPCs) (5–7). Moreover, after the insertion of the anchors, ROI-Cs provide immediate stability, facilitating fusion (8). Cage subsidence is a common complication following ACDF and can result in the loss of disc height, disrupting the sagittal alignment of the spine, preventing solid fusion, and introducing restenosis of the foramina (9, 10). However, the impacts of cage subsidence on clinical outcomes remain controversial for the cervical spine (11). Several factors have been proposed to contribute to cage subsidence, including aggressive endplate preparation, osteoporosis, differences in treatment levels, cage size, and cage material (11–13). However, data comparing ROI-C cages and CPCs regarding cage subsidence are scarce.

Thus, the purposes of this study were (1) to retrospectively evaluate the clinical and radiological outcomes of ACDF treatments for cervical disc degenerative disease (CDDD) using ROI-Cs compared with CPC fixation, with a focus on cage subsidence; and (2) to identify the preoperative and perioperative risk factors associated with cage subsidence and determine the impact of subsidence on clinical and radiological outcomes.

MATERIALS AND METHODS

Patient Population

This study was conducted as a retrospective analysis of 85 patients with one to three levels of CDDD who underwent ACDF from October 2013 to August 2018. The study was approved by the Medical Ethics Committee of the First Affiliated Hospital of Soochow University. Informed written consent was obtained from all included patients prior to surgery. The study inclusion criteria were as follows: (1) the clinical presentation of myelopathy or radiculopathy; (2) spinal cord or nerve root compression observed on recent magnetic resonance imaging (MRI); and (3) the failure of conservative treatment after a minimum of 6 months. The exclusion criteria were: (1) operations at the C2–3 or C7–T1 disc levels; (2) severe cervical instability, developmental stenosis, or the ossification of the posterior longitudinal ligament; (3) previous medical records of cervical surgery, trauma, metabolic diseases, infection, or tumor; and (4) follow-up less than 12 months. The following data were collected from patients’ perioperative, surgical, and discharge records: demographic characteristics, surgical procedure, intraoperative blood loss, length of hospital stay.

Follow-up clinical notes (postoperatively at 1 month and final follow-up) were reviewed to evaluate postoperative changes in clinical and radiographical outcomes.

Surgical Method

All surgeries were performed by the same surgeon in this study. All surgical procedures were performed as previously described by our orthopedic center (3, 4). After general anesthesia, with the patient placed in supine position, the classic Robinson and Cloward anterior cervical approach and technique were used. Extensive decompression was performed, including the removal of osteophytes, herniated discs and posterior longitudinal ligament as indicated to achieve sufficient decompression of the spinal cords and nerve roots. The cartilage endplates were abraded carefully, and the bony endplates were preserved to prevent possible subsidence. No allograft was used. The choice of implant was according to surgeon’s preference. Stand-alone PEEK cages were inserted into the disc space along with anterior cervical plates immobilized by self-tapping screws in the CPC group. For ROI-C group (ROI-C, LDR, Troyes, France), after insertion of a trial cage to confirm intraoperative stability, a ROI-C cage sized properly, and packed with autologous cancellous bone was then placed in the disc space using an impactor. Two anchoring chips were placed into the upper and lower vertebra under fluoroscopic guidance. Postoperatively, all patients were encouraged to exercise around their bedside with the assistance of a semi-rigid neck collar 24 hours after surgery. Patients were strongly advised to refrain from excessive cervical movements for a minimum of 3 months after surgery.

Clinical Evaluation

The modified Japanese Orthopedic Association (JOA) scoring system was used to assess preoperative and postoperative functional status. The Neck Disability Index (NDI) scoring system was used to determine disability caused by neck pain during daily life.

Radiologic Assessment

The radiographic outcome was evaluated preoperatively and at each follow up time point. The Cobb angle of the cervical C2–C7 (CA) vertebrae was defined as the angle between the tangent lines of the lower C2 vertebral body endplates and the upper C7 vertebral body endplates. The T1 slope was measured as the angle formed between a horizontal line and the T1 upper endplate. If the T1 slope was not visible due to anatomical interference, the upper C7 slope was used instead (14) (Figure 1A). The fused segment Cobb angle (FSC) was defined as the Cobb angle that was formed by the fusion levels, as measured from the upper endplate of the upper vertebral body and the lower endplate of the lower vertebral body. The mean disc height (mDH) was evaluated as the mean value of the anterior disc height (ADH), the midline disc height (MDH), and the posterior disc height (PDH). The fusion segment height (FSH) was assessed as the distance from the midpoint of the upper endplate of the upper vertebral body of the fused segment to the midpoint of the lower endplate of the lower vertebral body.
FIGURE 1 | Illustrations of radiographic measurements. (A) Cervical Cobb angle, T1 slope. (B) Fused segment Cobb angle (FSC), anterior disc height (ADH), midline disc height (MDH), posterior disc height (PDH), and fused segment height (FSH).

Adjacent segment degeneration (ASD) was defined as new osteophyte formations or the enlargement of existing osteophytes, new disc space narrowing, or segmental instability visible on plain film radiographs, or any decrease in disc signal intensity or intervertebral herniation at adjacent segments observed on T2-weighted MRI (15, 16). The postoperative fusion was defined based on the assessment of the following features: (1) trabecular bridging across the bone-graft interface, (2) the absence of radiolucent gaps between the graft and the vertebral endplate, and (3) changes of less than 2 mm in the interspinous distance of the fused segments, assessed on lateral flexion-extension radiographs (17). Subsidence was defined as a greater than 2 mm reduction in mDH at the final follow-up compared with measurements taken at 1 month postoperatively.

All patients were further divided into a cage subsidence group (CS group) and a non-cage subsidence group (NCS group) to examine the risk factors associated with the incidence of postoperative cage subsidence. The factors assessed in this analysis included age, sex, the use of ROI-C cage, the number of operated levels (single vs. multiple), the affected levels (C3–C5 vs. C5–C7), preoperative cervical Cobb angle (CA), postoperative CA, change in CA (ΔCA = postoperative CA-preoperative CA), and change in mDH (ΔmDH = postoperative mDH-preoperative mDH).

Statistical Analysis
All statistical analyses were performed using SPSS 24.0 software (SPSS Inc., Chicago, IL). All continuous variables were compared between groups using the independent *t*-test. All categorical variables are expressed as the number and percentage and were compared using the Chi-square or Fisher’s exact test. To adjust for confounding variables, we performed a multivariate logistic regression analysis of the risk factors associated with subsidence that exhibited significance. A *P* < 0.05 was considered significant.

RESULTS
Study Population
Ultimately, 74 patients (36 men and 38 women) were considered eligible for enrollment in this study. The cohort was first divided into two subgroups based on the types of implants received. The CPC group included 36 patients that received conventional polyetheretherketone (PEEK) cages and an anterior titanium plate, whereas the ROI-C group included 38 patients who underwent fusion utilizing zero-profile anchored spacers.

In the CPC group, the mean age and follow-up time were 49.7 ± 10.9 years (range: 32–73 years) and 28.06 ± 13.09 months.
TABLE 1 | Summary of preoperative and operative details.

| Variables                  | CPC   | ROI-C  | P-value |
|----------------------------|-------|--------|---------|
| Patients (n)               | 38    | 36     |         |
| Gender (male/female)       | 19/19 | 17/19  | 0.821   |
| Age (yr)                   | 49.7 ± 10.9 | 53.7 ± 9.98 | 0.110   |
| Height (cm)                | 164.7 ± 7.57 | 164.6 ± 7.27 | 0.965   |
| BMI                        | 24.44 ± 3.149 | 24.22 ± 3.163 | 0.772   |
| Diagnosis (n)              | 9     | 15     | 0.815   |
| Radiculopathy              | 22    | 19     | 0.137   |
| Myelopathy                 | 7     | 2      | 0.153   |
| Number of operated levels  | 52    | 54     | 0.623   |
| One-level                  | 26    | 22     |         |
| Two-level                  | 10    | 10     |         |
| Three-level                | 2     | 4      |         |
| Operation time (min)       | 149.29 ± 47.80 | 144.78 ± 60.84 | 0.727   |
| Estimated blood loss (mL)  | 107.37 ± 46.97 | 57.64 ± 36.10 | <0.001* |
| Hospital stay, days        | 8.68 ± 5.57  | 7.69 ± 3.96  | 0.390   |
| Follow-up period, months   | 28.06 ± 13.09 | 24.64 ± 9.79  | 0.209   |

*Statistically significant difference (P < 0.05).

(range: 12.07–56.83 months), respectively. In the ROI-C group, the mean age and follow-up time were 53.7 ± 9.98 years (range: 44–72 years) and 24.64 ± 9.786 (range: 13.57–23.80) months, respectively. The patient groups that received ROI-C and CPC spacers were closely matched in terms of patient number, age, sex, height, BMI, indications for surgery, and the number of operated levels, with no significant differences in any of these variables (P > 0.05). The use of ROI-C spacers was associated with less estimated blood loss compared with the use of the CPC. The length of hospital stay was slightly longer for the CPC group than for the ROI-C group, but this difference was not significant (P > 0.05). Table 1 summarizes the perioperative and postoperative data.

Clinical and Radiological Outcomes

The JOA and NDI scores improved significantly compared with baseline data for both groups (P < 0.01). No significant differences were observed in the JOA and NDI scores between the two groups at each follow-up point (P > 0.05, Table 2). The T1 slope values showed no significant differences between two groups at each follow-up time point (P > 0.05). The mDH and FSH values after surgery for both groups increased significantly (P < 0.01), with no significant differences between the two groups at each follow-up time point, indicating the restoration of disc height (P > 0.05). At the final follow-up, reductions in cervical Cobb angle, mDH, and FSH value were observed compared with the postoperative values for both groups. These values for both groups were well maintained postoperatively at the final follow-up. Table 3 shows the radiological outcomes.

Radiological evidence of ASD was identified in nine cases (23.7%) in the ROI-C group and 5 cases (13.9%) in the CPC group. The fusion rates at the final follow-up for the CPC group and the ROI-C group were 92.1 and 97%, respectively. No significant difference was observed between either the ASD rates or the fusion rates between the two groups (P > 0.05).

Cage Subsidence

Subsidence was more frequently observed in the ROI-C group (66.7%) than in the CPC group (38.5%, P = 0.037). Cage subsidence was not observed at immediate postoperative radiographs in both groups. At the final follow-up, the overall rate of cage subsidence was 62.26% (66/106 levels), occurring in 42 patients (55.26%, Table 3). Among single-level ACDFs, the occurrence of subsidence was not significantly different between

TABLE 2 | Clinical outcomes between ROI-C and CPC group.

| Variables                  | ROI-C      | CPC      | P-value |
|----------------------------|------------|----------|---------|
| JOA Scores                 | Preop      | Postop 1 M | Final FU |
|                           | 11 ± 0.93  | 15.58 ± 0.63 | 16.8 ± 0.43 |
|                           | 11.2 ± 0.8 | 15.67 ± 0.67 | 16.9 ± 0.35 |
|                           | 0.500      | 0.569     | 0.290   |
| NDI scores                 | Preop      | Postop 1 M | Final FU |
|                           | 40.88 ± 6.57 | 37.76 ± 6.011 | 8.95 ± 4.44 |
|                           | 37.6 ± 6.011 | 7.5 ± 3.69  | 0.140   |

*Statistically significant difference (P < 0.05).

TABLE 3 | Radiographic outcomes between ROI-C group and CPC group.

| Variable                  | ROI-C (36) | CPC (38) | P-value |
|---------------------------|------------|----------|---------|
| C2-C7 CA                  |            |          |         |
| Preop                     | 13 ± 8.7   | 12 ± 9.2 | 0.700   |
| Postop 1 M                | 15 ± 8.1   | 14 ± 8.4 | 0.700   |
| Final FU                  | 13.6 ± 9.07 | 13.2 ± 8.72 | 0.86   |
| T1 Slope                  |            |          |         |
| Preop                     | 21.62 ± 7.12 | 21.45 ± 8.59 | 0.929  |
| Postop 1 M                | 22.83 ± 7.49 | 22.18 ± 6.92 | 0.705  |
| Final FU                  | 21.5 ± 7.76 | 22.8 ± 7.07 | 0.470  |
| mDH (mm)                  |            |          |         |
| Preop                     | 5.26 ± 0.81 | 5.43 ± 1.06 | 0.360  |
| Postop 1 M                | 8.62 ± 1.51 | 8.41 ± 1.48 | 0.460  |
| Final FU                  | 6.8 ± 4.05  | 6.57 ± 1.25 | 0.703  |
| FSH                       |            |          |         |
| Preop                     | 31.35 ± 4.53 | 30.74 ± 3.65 | 0.452  |
| Postop 1 M                | 37.41 ± 6.30 | 37.27 ± 5.745 | 0.907  |
| Final FU                  | 33.77 ± 10.37 | 33.10 ± 9.00 | 0.728  |
| Subsidence, n (%)         |            |          |         |
| 2 mm                      | 36/54 (66.67%) | 20/52 (38.46%) | 0.006* |
| Single level              | 12/22 (54.55%) | 11/26 (42.30%) | 0.563  |
| Multiple level            | 24/32 (75.00%) | 9/26 (34.62%) | 0.003* |
| Fusion rate               |            |          |         |
| Postop 3 M                | 31/36 (86.1%) | 30/38 (78.95%) | 0.545  |
| Final FU                  | 35/36 (97.2) | 35/36 (92.1%) | 0.615  |
| ASD                       | 5/36 (13.9%) | 9/38 (23.7%) | 0.377  |

*Statistically significant difference (P < 0.05).
the two groups. However, among multiple-level ACDFs, the subsidence rate was higher for the ROI-C group than for the CPC group (75.00 vs. 34.62%, \(p = 0.003\)).

### Subgroup Analysis

#### Clinical and Radiological Outcomes

At the final follow-up, the JOA scores for the NCS group and the CS group were 16.219 ± 1.157 and 16.381 ± 1.103, respectively. The NDI scores were 8.938 ± 4.250 and 7.143 ± 4.194, respectively. No significant difference was observed for either value between the two groups (\(p > 0.05\)). In the CS group, 73 levels (96.05%) achieved fusion. In the NCS group, 29 levels (96.67%) achieved fusion, with no significant difference between groups (\(p > 0.05\)). ASD rates also showed no significant difference between groups (\(p > 0.05\)).

No significant difference was found in the mean Cobb angle and T1 slope values between the CS and NCS groups at any time follow-up point. In the CS group, the mean Cobb angle decreased at the final follow-up compared with postoperative value (15.79 ± 9.33 vs. 12.95 ± 9.14); whereas a small increase in the mean Cobb angle after surgery was observed for the NCS group (13.00 ± 6.77 vs. 13.91 ± 8.98); however, these differences were not significant for either group (\(p > 0.05\), Figure 2). The \(\Delta\)mDH in the CS group was significantly higher than that of the NCS group (3.849 ± 1.586 mm vs. 0.422 ± 1.311 mm, \(p < 0.001\)). The \(\Delta\)FSH in the CS group (11.57 ± 8.827) was also higher than that of the NCS group (4.61 ± 4.392, \(p < 0.001\)). These results indicated that the cervical disc spaces were excessively distracted after the insertion of cages in the CS group compared with that of the NCS group.

To compare the effect of cage subsidence on local and general curvature, groups were further divided into single level and multiple levels (Figure 3). The loss of both FSC angle (6.68 ± 10.95 vs. 0.52 ± 7.8) and cervical Cobb angle (3.82 ± 7.67 vs. -1.24 ± 7.07) were more pronounced in multiple levels with cage subsidence, but not in single level ACDFs. However, both values failed to reach statistically significant difference (\(p > 0.05\)).

### Risk Factors of Subsidence

After univariate analysis, we identified the following factors as being associated with an increase in the risk of cage subsidence: male sex (\(p < 0.001\)), the use of ROI-C cage (\(p = 0.007\)), operation at multiple levels (\(p = 0.024\)), and \(\Delta\)mDH (\(p < 0.001\), Table 4). Multiple logistic regression was performed by analyzing these variables. The results revealed that the risk of cage subsidence was significantly associated with the male sex (OR = 16.767; \(p < 0.001\)) and the use of ROI-C cage (OR = 5.389; \(p < 0.001\), Table 5).

### DISCUSSION

The patient-reported outcomes in our current study results were consistent with those that have previously been published in the literature for ACDF when comparing zero-profile standalone locking screws and CPC (5–7). All neurological symptoms were relieved due to sufficient decompression, and no significant differences were observed in either the JOA or NDI scores between the groups at the final follow-up. However, in this study, we found that the occurrence of cage subsidence in patients using the ROI-C cage (19/26, 73.08%) was significantly higher than that for the CPC group (7/26, 26.92%) when the treatment involved multiple-level discectomies, although no significant difference was found for single-level surgeries.

After surgery, the occurrence of cage subsidence was frequently observed in ACDF surgeries, with a mean incidence of 21.1%, ranging from 0 to 83% (18). Earlier studies also reported a higher rate of cage subsidence when using ROI-C compared with CPC for the treatment of multiple-level ACDFs. In a meta-analysis conducted by Lu et al. (19) no significant differences were found between the zero-profile self-locking standalone cages (SLSA) and CPC group after performing single-segment ACDF, whereas increased subsidence was demonstrated in the zero-profile group for multi-segment ACDFs.
et al. (20) for the treatment of three-level cervical degenerative spondylopathy, cage subsidence of greater than 3 mm was observed in 14/28 patients in the SLSA group compared with 5/26 patients cage and plate fixation group at the final follow-up ($P = 0.043$). Similarly, Zhu et al. (21) reported 17/90 (18.8%) patients in the SLSA group experienced subsidence compared with 8/96 (8.3%) patients in the CPC group for three-level ACDF ($P > 0.05$). From a biomechanical view, the loading pressure that is directly delivered to endplate/cage interfaces can be shared by anterior metal plating. In multi-segment ACDFs, this effect can be more pronounced relative to that in single-level discectomies, which can attenuate the risk of cage subsidence, resulting in a higher incidence of cage subsidence when using anchored cages.
Possible explanation is the early removal of the cervical collar postoperatively and to refrain from excessive movements. 

TABLE 4 | Univariate analysis of clinical and radiological factors between groups.

| Variables (n) | CS (42 patients, 56 cages) | NCS (32 patients, 50 cages) | P-value |
|---------------|----------------------------|-----------------------------|---------|
| Age, years    | 53.60 ± 11.19              | 49.03 ± 9.707               | 0.070   |
| Gender (male/female) | 30/12                    | 6/26                        | <0.001* |
| BMI           | 24.43 ± 2.93               | 24.20 ± 3.53                | 0.764   |
| Surgical methods |                            |                             |         |
| CPC           | 17 (44.74%)                | 21 (55.26%)                 | 0.038*  |
| ROI-C         | 25 (69.44%)                | 11 (30.56%)                 |         |
| Number of operated levels |                  |                             | 0.037*  |
| 1             | 23 (47.92%)                | 25 (52.08%)                 |         |
| ≥2            | 19 (73.08%)                | 7 (26.92%)                  |         |
| Subsidence levels |                            |                             | 0.222   |
| C3-5          | 28                         | 12                          |         |
| C5-7          | 38                         | 26                          |         |
| Pre-op CA     | 13.79 ± 9.84               | 10.25 ± 7.65                | 0.097   |
| Post-op CA    | 15.79 ± 9.33               | 13.00 ± 6.77                | 0.158   |
| ∆CA           | 2.000 ± 10.44              | 2.750 ± 7.348               | 0.730   |
| ∆mDH          | 3.849 ± 1.586              | 2.422 ± 1.311               | <0.001* |

*Statistically significant difference (P < 0.05).

TABLE 5 | Multivariate analysis of the risk factors.

| Variables                          | Odds Ratio (95%CI) | P-value |
|------------------------------------|--------------------|---------|
| Gender (Male vs. Female)           | 16.767             | < 0.001 |
| Operation method (CPC vs. ROI-C)   | 5.389              | 0.012   |
| Number of discectomies (1 vs. ≥2)  | 3.183              | 0.084   |

Surprisingly, we noticed a sex difference in the occurrence of cage subsidence, with significantly higher rates observed in men than in women. At our spine center, patients are recommended to wear cervical collars for at least 1 month postoperatively and to refrain from excessive movements. One possible explanation is the early removal of the cervical collar after surgery and a more aggressive range of motion among men compared with women. Indeed, aggressive cervical movement in the early postoperative period can cause larger axial and rotational stress upon the interbody/cage interface, which can result in cage subsidence before solid fusion. However, this study did not aim to collect data regarding the timing of cervical collar removal or the impact of cervical collar removal on the clinical and radiological outcomes after surgery; therefore, additional research is necessary.

Cages are inserted to maintain the clinical efficacy of decompression. However, overly distracted disc space can increase the risk of cage subsidence. Yang et al. (22) confirmed that a larger anterior intraoperative distraction increased the risk of cage subsidence and recommended that interbody distraction be performed before anterior longitudinal ligament resection. Similarly, Yamagata et al. (23) demonstrated that using a titanium cage for ACDF with a size of 6.5 or 7.5 mm had a higher rate of subsidence than when using a titanium cage for an ACDF with a size of 4.5 or 5.5 mm. According to an in vitro biomechanical study performed by Truumees et al. (24) the insertion of larger grafts results in higher distractive forces and increases the subsequent compressive forces delivered to the endplate-cage interface. These authors further proved that distractive force and the subsequent compressive forces were strongly correlated in an in vivo ACDF model (25). After the restoration of disc height via cage insertion, the increase in disc height causes the surrounding ligaments and muscles to absorb and resist distraction forces, contributing to the immediate compression of the graft. In the present study, the ∆mDH and ∆FSH in the CS group were significantly higher than those in the NCS group. The results of our study, combined with those of other studies, suggested that excessive distraction should be avoided to reduce the risk of potential cage subsidence in ACDF surgery.

Cage subsidence has been reported to cause loss of fused segment height, further leading to disruption of cervical stability (13, 20). Similarly, we found that the loss of cervical lordosis and fusion segment Cobb angle were more pronounced in CS group (Figure 4). However, the impact of subsidence on loss of general and local lordosis was mainly observed in the treatment of multiple segments. Whereas, in single level surgeries, cage subsidence had little or no effect (Figure 3). This suggests that the increase in the number of fused segments would increase the effect of subsidence upon both local and general curvature. However, the overall clinical outcomes, fusion rates, and ASD rates were not associated with cage subsidence in our study. Previous studies have proposed that cage subsidence may represent an inherent process that occurs during the fusion of the bony endplates with the interbody cage, which includes the resorption and remodeling of the bone until rigid arthrodesis occurs (26, 27). Fujibayashi et al. (9) further divided cage subsidence into two types: a transient subsidence type demonstrates 1–3 mm subsidence without further change, whereas a progressive subsidence type results in nonunion. A systemic review by Noordhoek et al. (18) was unable to conclude that subsidence impacts clinical outcomes and fusion. Wu et al. (28) reported that cervical lordosis, rather than cage subsidence, had the most effect on long-term clinical and radiological outcomes. However, from a biomechanical standpoint, progressive subsidence is likely to result in the recompression of nerves after initial decompression. Surgeons should be highly aware of the risk factors for subsidence to avoid its occurrence. Previously reported risk factors and those identified in the present study include and are not limited to age, sex, bone density, endplate preparation, cage material and position, over-distraction, and multi-segment fusion (22, 29–31).

The limitations of the present study include its retrospective nature and the lack of randomization between procedures. Second, this study did not include osteoporosis as a risk factor that may influence cage subsidence. Third, although postoperative CT (93.2%) were taken for most patients, an inconsistency among the imaging techniques used
FIGURE 4 | Demonstration of cage subsidence after ACDF and its influence on local and general cervical lordosis. Lateral radiograph (A1) and enhanced view (A2) before anterior cervical discectomy and fusion with ROI-C cage. Lateral radiograph (B1) and enhanced view (B2) one month after ACDF with ROI-C cage. Lateral radiograph (C1) and enhanced view (C2) one year after ACDF with ROI-C cage. Fused segment cobb angle were 0°, 10°, and, 0° and Cervical cobb angle were 2°, 5°, and −15° before operation, 1-month post-op, and 1-year post-op respectively.
for evaluation was present during follow-up, which may cause variations in radiographic measurements. Longer follow-up period and larger number of patients remains necessary to evaluate changes in subsidence over time and to determine its impact on clinical outcomes and cervical alignments.

**CONCLUSION**

In our study, ACDF with ROI-C cage achieved comparable clinical outcomes and cervical stability compared with the use of a CPC. However, our study demonstrated that the occurrence of cage subsidence was considerably higher in the ROI-C group when multiple-level surgeries were performed compared with that in the CPC group. We further identified that male sex, the use of a ROI-C cage, multiple-level discectomies, and over-distraction were significant risk factors for cage subsidence. Despite no correlation between cage subsidence and clinical outcomes was observed in our study, the potential drawbacks of cage subsidence should be considered when using the ROI-C cage in multiple-level ACDFs.

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**DATA AVAILABILITY STATEMENT**

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author/s.

**ETHICS STATEMENT**

The studies involving human participants were reviewed and approved by Medical Ethics Committee of the First Affiliated Hospital of Soochow University (IRB#2021-119). The patients/participants provided their written informed consent to participate in this study. Written informed consent was obtained from the individual(s) for the publication of any potentially identifiable images or data included in this article.

**AUTHOR CONTRIBUTIONS**

M-fG, Y-jL, and H-lY contributed to the study concept and design. Z-yJ, YT, and H-zW contributed to the acquisition of data and analysis and interpretation of data. Z-yJ contributed to the drafting of the manuscript. All authors have read and approved the final manuscript.
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