Raman Investigation of the Ice Ic–Ice Ih Transformation
Milva Celli, Lorenzo Ulivi, and Leonardo del Rosso*

ABSTRACT: Among the many ice polymorphs, ice I, that is present in nature at ambient pressure, occurs with two different structures, i.e., stable hexagonal (Ih) or metastable cubic (Ic). An accurate analysis of cubic ice Ic was missing until the recent discovery of an easy route to obtain it in a structurally pure form. Here we report a Raman spectroscopy study of the transformation of metastable ice Ic into the stable form Ih, by applying a very slow temperature ramp to the ice Ic samples initially at 150 K. The thermal behavior of the spectroscopic features originating from the lattice and OH-stretching vibrational modes was carefully measured, identifying the thermodynamic conditions of the Ic–Ih transition and the dynamics of both structures with remarkable accuracy. Moreover, the comparison of two determinations of the transformation kinetics also provides a measurement of the activation energy for this transformation process.

INTRODUCTION
The polymorphism of ice is an intriguing problem, giving rise to one of the most vivid research topics in the physical chemistry community to date. This is due not only to the key role of water in every human activity but also to the surprising complexity originating from a quite simple chemical system.1–3 The continuous research in this field has allowed theoretical prediction of a plethora of stable and metastable crystalline ice phases and experimental determination of 19 different ice forms. These have been found by following different routes, e.g., applying extreme thermodynamic conditions,4 doping ice forms with acids or bases to promote proton ordering,5 and evacuating gas hydrates.6–7 At ambient pressure, only two forms of ice are thought to be present in nature on Earth: ordinary hexagonal ice (Ih) and the cubic ice (Ic). While ice Ih is thermodynamically stable over a wide range of pressure and temperature and can be simply obtained by freezing liquid water, cubic ice Ic can exist as a metastable crystal only at temperatures below about 200 K, but its importance for the physics of the atmosphere is relevant.8–10 Ideally, the difference between these two structures can be understood considering the analogy with monatomic systems, where a different sequence of hexagonally packed layers of atoms, stacked one on top of the other, can give rise to the cubic fcc or to the hexagonal hcp structure (with the ABCABC or ABABAB stacking sequence, respectively). In the case of ice, the stacking units are bilayers of hydrogen-bonded water molecules, and the analogy with monatomic systems is rigorous only if one neglects the hydrogen atoms. Since the middle of the last century, numerous mechanisms have been exploited to produce cubic ice, as vapor deposition11,12 or transformation of amorphous or crystalline high-pressure ice polymorphs.13–16 However, all the samples of “cubic ice” obtained until recently are a stacking-disordered form of ice I (i.e., ice Isd), in which both hexagonal and cubic stacking sequences of hydrogen-bonded water molecules are present,17–19 thus preventing an accurate measurement of the structural and dynamical properties of the pure cubic ice.20 Two recent and independent works have finally revealed the possibility to obtain cubic ice without stacking defects,21,22 either by heating over 150 K an ice XVII sample22 or by evacuating hydrogen gas from the H2–H2O hydrate initially in the C2 phase.23 In particular, the method by del Rosso et al.,22 given the large availability of the starting material, i.e., ice XVII, represents the experimental keystone for different studies of ice Ic.

An important yet still unsolved issue is related to the difference of the dynamical properties of ice Ic and ice Ih and whether spectroscopic techniques, in particular Raman scattering, can reveal signatures of either structure. An attempt to identify differences in the O–H vibration Raman spectra between various instances of ice Isd and ice Ih has been reported by Carr et al.24 They have noted marked differences, positive or negative and in some cases up to 7 cm−1, in the peak position of the OH stretching band measured at 80 K.
However, the presence of a significant amount of stacking-disorder in the starting material prevented a complete dynamical characterization of ice Ic up to now, thus affecting the study of the transformation toward the stable phase, i.e., ice Ih. Indeed, one could expect that differences similar to those found by Carr et al. between ice Ih and ice Isd might be present when also comparing ice Ih with ice Ic, but this is not the case, according to the latest measurements performed on ice Ic.22

Anyway, the recent discovery of the new route to obtain ice Ic in a structurally pure form allows us to accurately investigate, from a dynamical point of view, the transformation of ice Ic into ice Ih, that is the main subject of the present work. In this paper we report the measurement of a long sequence of Raman spectra during a slow thermal treatment of the sample, initially in the ice Ic metastable phase. The thermal behavior of the spectroscopic features analyzed here clearly reveals the Ic–Ih transformation, allowing efficient characterization of this kinetic process.

### METHODS

In this work we used H2O ice Ic samples obtained by heating H2O ice XVII powder samples up to 150 K. Ice XVII was produced at IFAC–CNR from the deuterium-filled water hydrate, initially in the C0 phase, by means of a thermal procedure similar to that reported in refs 25 and 26 and described in more detail in Supporting Information (SI). The sample sits on the bottom of a vacuum-tight optical cell, provided with one glass window, under vacuum or in a controlled gas atmosphere, in thermal contact with the coldfinger of a closed circuit refrigerator able to reach 10 K. A computer-driven thermal controller can regulate the temperature with a sensitivity of ±0.01 K and an accuracy of ±0.1 K.27 We used an Ar ion laser at λ = 514.5 nm for the excitation of the Raman spectra, and a 1401 SPEX spectrometer equipped with a cooled Andor CCD detector mounted after the first spectrometer stage. To highlight the smallest changes in the spectral shape and position, possibly occurring during a thermal treatment of the sample, 10 min spectra have been recorded in a continuous sequence, maintaining the spectroscopic apparatus tuned on one of the two chosen frequency regions, corresponding either to the lattice modes (120–350 cm−1) or the OH stretching band (3000–3400 cm−1). The frequency axis was calibrated at the beginning and at the end of each measurement cycle, by means of a Ne spectral lamp with a reproducibility on the order of 0.2 cm−1 and an absolute accuracy of 1.0 cm−1 (see SI). We have therefore used two different samples from the same batch for this experiment. In both cases, the first thermal treatment consisted of a heating ramp at a rate of ΔT/Δt ≃ 0.037 K/min from 150 to 250 K. During this first heating, we have observed clear spectroscopic evidence of the transition from ice Ic to ice Ih. Subsequently, spectra are measured cooling again the same sample, transformed into ice Ih, either at some selected temperatures between 160 and 210 K, in the case of the lattice band, or performing a cooling ramp, at the same rate, down to again T ≃ 150 K, for OH stretching spectra.

### RESULTS AND DISCUSSION

Our preliminary Raman measurements on pure ice Ic22 indicated that notable differences with ice Ih are observed only at low temperature, and mainly in the lattice bands, while for the OH stretching mode only the width of the band is modestly affected. Using the procedure described in this work, we have recorded the transformation ice Ic–ice Ih while it is happening and measured its kinetics. The spectra (about 500 for each frequency region) have been analyzed by a computer routine, performing a fit of the spectral intensity with the aim of deriving characteristic parameters and measuring their behavior with temperature. Examples of the recorded spectra are shown in Figure 1, for the lattice modes (a) and the OH stretching band (b), where they have been shifted vertically for clarity. The spectra in Figure 1(a) have been normalized to have the same intensity at about 270 cm−1, after the subtraction of a linear background, taken almost coincident with the raw signal at 175 and 325 cm−1. The main features observed are an evident peak at about 220 cm−1 and a second maximum around 300 cm−1. The shape of the whole band changes when passing from ice Ic to ice Ih (Figure 1(a), lower curves). To quantify these changes as a function of temperature, we have recorded the frequency values of the two maxima, x1 and x2, and the ratio of the spectral intensity at these two frequency values, namely R = I(x1)/I(x2). These quantities are plotted, respectively, in Figure 2(a) and Figure 2(b), as a function of temperature, both during the heating ramp (blue symbols) and for spectra measured subsequently on ice Ih during cooling (red symbols). From the observation of these figures, one can immediately conclude that the transformation from ice Ic into ice Ih, when applying such an heating rate, occurs at about 185–190 K.

The same transformation can be observed taking into account the spectra in the OH stretching region, which appear as formed by three bands (see Figure 1(b)). These have been fitted with three Lorentzian over a linear background. Examples of the fit at the lowest and highest temperature are
shown in Figure S1 of SI, where one observes a good fit in both cases. We have considered, for our analysis, the peak position and the width of the most intense band, the one at lowest frequency. It is known that this peak moves sensibly toward high frequency with increasing volume, as reported in Figure 3(a). The differences between the spectra measured during the heating and cooling ramp are very small and are highlighted in Figure 3, where we report, in panel a, the position and width (fwhm) of the Lorentzian line that fits the first peak and, in panel b, the peak position after the subtraction of a smooth polynomial (see SI for details). The difference between ice Ic and ice Ih, magnified in Figure 3(b), is quite small (0.4 cm⁻¹ maximum) but still detectable outside statistical errors, because the reproducibility of our measurement is better than 0.2 cm⁻¹. From these graphs one can measure a transformation temperature of about 190 K, in accordance with the results relative to the lattice mode.

The numerous Raman OH vibration spectra measured during this study involved several different spectra of ice Ih, at temperatures between 10 and 250 K. All these spectra have been analyzed by means of the fitting procedure described previously and have allowed determination, with an overall accuracy of ±1 cm⁻¹, of the temperature shift of the peak position of the OH band, in a large temperature interval. In SI we present a functional form of the transformation kinetics from non-isothermal data taken at different heating rates, even without adopting a specific kinetic model, if one relies on the so-called isovolumetric method. However, one can derive the effective parameters describing the transformation kinetics from non-isothermal data taken at different heating rates, even without adopting a specific kinetic model, if one relies on the so-called isovolumetric method. In this framework, the conversion rate is assumed to depend only on temperature and converted fraction α, according to

\[
\frac{d\alpha}{dt} = k(T)f(\alpha) = A \exp\left(\frac{-E}{RT}\right)f(\alpha)
\]

where \(f(\alpha)\) is called reaction model or conversion function. Here we have expressed the rate constant \(k(T)\) in the common Arrhenius form, \(E\) being the activation energy and \(R\) the gas constant.
constant. Because the experimentally accessible quantity is $\alpha(T)$, and not its time derivative, a direct application of eq 2 requires either the computation of $d\alpha/dt$ from the experimental data (often flawed by numerical noise) or the integration of eq 2, (which requires an approximation of a nonanalytical integral), leading, respectively, to the differential or integral method.\textsuperscript{33} Even if the determination of the activation energy of the process is affected by uncertainties when only a few different isochronal processes are considered, we have pursued the derivation of $E_\alpha$ applying both the differential\textsuperscript{35,36} and the advanced incremental integral method.\textsuperscript{37,38} In the first case, after the derivation of the two sets of data $(d\alpha_1/dt)_\alpha$ and $(d\alpha_2/dt)_\alpha$ from the fit of the experimental Raman (1) and neutron (2) results, the activation energy $E = E_{\alpha}$, for different values of $\alpha$, has been readily obtained from the equation

$$E_{\alpha} = R \left[ \frac{1}{T_2(\alpha)} - \frac{1}{T_1(\alpha)} \right] \ln \left[ \left( \frac{d\alpha_1}{dt} \right)_\alpha \left( \frac{d\alpha_2}{dt} \right)_\alpha \right] \quad (3)$$

which derives directly from eq 2. Here $T_2(\alpha)$ and $T_1(\alpha)$ represent the temperatures at which the fraction $\alpha$ is attained in the Raman and neutron experiment, respectively. Alternatively, within the advanced incremental method and considering $E_{\alpha}$ constant in the temperature interval $(T_1(\alpha - \Delta\alpha), T_1(\alpha))$ in both experiments $(i = 1, 2)$, one obtains the activation energy as the values that minimize the function $\Phi(E_{\alpha})$:

$$\Phi(E_{\alpha}) = \frac{\beta_i I(E_{\alpha}, T_i(\alpha))}{\beta_i I(E_{\alpha}, T_2(\alpha))} + \frac{\beta_i I(E_{\alpha}, T_1(\alpha))}{\beta_i I(E_{\alpha}, T_1(\alpha))} \quad (4)$$

where the integral

$$I(E_{\alpha}, T_i(\alpha)) = \int_{T_1(\alpha - \Delta\alpha)}^{T_2(\alpha)} \exp \left( -\frac{E_{\alpha}}{RT} \right) dt \quad (5)$$

has been resolved by a numerical method. The results for $E_{\alpha}$ obtained with the two methods are plotted and compared in Figure 4(b). In the differential method, the calculation of the numerical derivatives of $\alpha(T)$ (see eq 3) is performed by means of a fit of the data, thus giving a smoother behavior of the activation energy as a function of the Ih fraction with respect to the one calculated with the incremental method. However, the two curves are in a good agreement and show a slight increase in both cases. The dependence of the activation energy on $\alpha$ is a common outcome of the effective kinetics methods, because the different mechanisms occurring in the phase transformation are usually not well separated in the time—temperature domain. To our knowledge, the only previous determination of the activation energy, though relative to the transition ice Isd to ice Ih, is that reported in ref 39, where a two-step process was inferred from the experimental data, the second of which, occurring around 190 K, is characterized by an activation energy of about 45 kJ/mol. The two-step process observed in ref 39 is probably due to the presence of significant amount of stacking disorder in the starting sample of supposed cubic ice. The fact that in our case the effective activation energy is only slightly increasing could leave us thinking that this is a single-step process, characterized by an averaged value of $E_{\alpha}$ equal to about 25 kJ/mol. However, at a speculative level, it is not inconceivable that the activation energy rises with increasing Ih fraction, because the transformation of a cubic layer sequence in a increasingly hexagonal environment could require more energy to be accomplished. Other kinetic studies reported in the literature did not reach conclusive determinations because of the stacking disorder present in the initial sample.\textsuperscript{40}

### CONCLUSIONS

This study has faced the characterization of the ice Ic–Ih transition from the new perspective of the vibrational dynamics. The continuous acquisition of the Raman spectra of the lattice and OH stretching vibrational modes performed during the transition has evidenced irreversible changes in the spectroscopic features of both bands, clearly ascribable to the crystalline symmetry change. The high sensitivity of the Raman apparatus has allowed quantitative measurement of the thermal behavior of the spectral characteristic parameters, identifying the overall shape of the lattice band and the width of the major peak in the OH stretching band as the main signatures to distinguish the two phases. Although we have provided a measurement for the activation energy of the Ic–Ih transformation, a more accurate characterization of the kinetics of such a thermally activated process should involve a series of both isothermal and isochronal anneals at different temperatures and heating rates, respectively. In this perspective, further kinetics studies of the Ic–Ih transformation are desirable. These, however, will involve the disruption of the sample after each long series of measurements, making this task quite challenging in terms of the experimental resources.
**ASSOCIATED CONTENT**

*Supporting Information*

The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/acs.jpcc.0c05136.

Detailed description of the synthesis of the starting samples. Insights into the fitting procedure used in the data analysis. Accurate measurements of the frequency position of the OH stretching vibration in ice I and comparison with the current literature (PDF)

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**Notes**

The authors declare no competing financial interest.

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