Socio-economic vulnerability and losses of flood in Lampung, Indonesia

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Abstract. This study aims to determine the distribution of flood locations and the level of socio-economic vulnerability of the community and total losses due to flood disasters in Lampung, Indonesia. Climate change causes extreme weather. Thus, the rainfall increases from the previous year. This study utilizes the Livelihood Vulnerability Index (LVI) and Livelihood Vulnerability Index - the Intergovernmental Panel on Climate Change (LVI-IPCC) to determine socio-economic vulnerability. The losses due to flood were analyzed by the ECLAC method (the Economic Commission for Latin America Caribbean). The level of vulnerability of the Lampung community to flood is expected to be classified as high based on the LVI and LVI-IPCC scales. The community suffered economic losses due to flood that occurred in Lampung.

1. Introduction
Climate change is a huge threat to human survival. IPCC research [1] states that the Southeast Asian Region including Indonesia is affected by climate change which increases threats to food security, human health, water availability, and the rise of sea-level. The increasing rainfall occurs in Indonesia and Papua New Guinea. While decreases in rainfall occurred in Thailand, Laos, Myanmar, Cambodia, and Vietnam by 10-20% in March-May. Climate change occurs not only based on natural factors but also caused by human activities that cause an increase in the concentration of Greenhouse Gases (GHGs). This is known as the greenhouse effect or the phenomenon of global warming. This phenomenon increases the amount of water content in the atmosphere which lead to the increasing rainfall. The changes in rainfall patterns indicates climate change [2].

Changes in rainfall increase hydrometeorological disasters that cause flood. Some areas of Indonesia experienced floods, one of the areas was in Lampung Province. According to the National Disaster Management Agency (BNPB), in 2019 flood occurred in many areas. The flood resulted in moderate to severe losses. Communities affected by flood experience vulnerability on the social demographic, economic, health, food, and watersides.

Flood disasters cause the weakening of the economy of a society and the global economy. The calculation of damage and losses due to flood disasters is not yet reflected in the actual figures [3]. Based on the description above, it is necessary to calculate the vulnerability index of the livelihoods of people affected by flood due to climate change along with the losses and experienced damage, so this study aim to determinate the distribution of flood locations and the level of socio-economic vulnerability of the community and total losses due to flood disasters in Lampung, Indonesia.
2. Methodology

2.1. Research location
This study utilizes survey to collect the data. There are 21 units from 8 sub-districts in Pringsewu District that were selected as survey areas. These districts include Pringsewu, Gadingrejo, Ambarawa, Pardasuka, Sukoharjo, Adiluwih, North Pagelaran, and Banyumas.

2.2. Type of research
This research utilizes a quantitative descriptive approach.

2.3. Data source
This study utilize primary data from 156 respondents and secondary data generated from the Regional Disaster Management Agency (BPBD) of Pringsewu Regency and the Central Statistics Agency (BPS) of Pringsewu Regency.

2.4. Data analysis and methods

2.4.1. Livelihood Vulnerability Index (LVI). This study utilizes the calculation of the Livelihood Vulnerability Index (LVI) to determine the vulnerability index due to flood that caused by climate change and changes in rainfall patterns. The livelihood vulnerability index (LVI) was developed by Hahn et al. [4] by using several components. These components include socio-economic demographics, livelihood strategies, health, social networks, food, water, natural disasters, and climate variability [5]. The sub-components of each main component are as follow:

- Socio-economic Demographics: dependency rates, percentage of female household heads, percentage of households that need assistance, monthly expenditure.
- Livelihood Strategies: the percentage of households whose family members work outside the city, the percentage of households that depend their lives on the agricultural sector as the main source of income, the average of the agricultural diversification index.
- Health: how long it takes to go to a health facility, household members who have chronic illnesses.
- Social Networks: average receiving compared to the ratio of giving, the average ratio of lending and giving money, households applying for assistance to the government.
- Food: the percentage of households that depend on agriculture as their main food source, the average number of households experiencing food shortages, the average household that stores food crops.
- Water: the percentage of households that use natural water sources, the average travel time to get water, the percentage of households that have a water supply, as opposed to the average number of liters of water stored per household.
- Natural disasters and climate variability: the average month of rain in a year, the percentage of households without climate warning, the percentage of households experiencing losses due to climate change, standard deviations from the average daily maximum temperature for five years, the standard deviation of the average daily minimum temperature for five years, and the standard deviation of the average monthly rainfall.

The LVI component developed by Hahn et al. [4] consists of several indicators or sub-components. Sub-components are developed based on the results of a literature review of each of the main components. LVI value in this study was calculated using the balanced weighted averaged approach. Each sub-component has the same contribution to the overall index, although the number of sub-components in each component is different [6].
The composite index approach obtained from UNDP, the life expectancy index is utilized to convert the scale of each sub-component, [7]. The equation of the sub-components as follows:

\[
\text{Index } S_b = \frac{S_b - S_{\text{min}}}{S_{\text{max}} - S_{\text{min}}} 
\]

Variable specification:
- \( S_b \) = regional component value \( b \)
- \( S_{\text{min}} \) = minimum value of each sub-component
- \( S_{\text{max}} \) = maximum value of each sub-component

After standardizing, then calculating the average value using the formula Hahn et al. [4] to calculate the value of the main components.

\[
M_b = \frac{\sum_{i=1}^{n} \text{Index}_{b,i}}{n}
\]

Variable specification:
- \( M_b \) = One of the main components of area \( b \) (SDP, LS, H, F, W, SN, and ND)
- \( \text{Index}_{b,i} \) = value of sub-components indexed by \( i \).

LVI values are obtained from the following equation:

\[
\text{LVI}_b = \frac{\sum_{i=1}^{7} W_{M,i} M_b}{\sum_{i=1}^{7} W_{M,i}}
\]

Variable specification:
- \( \text{LVI}_b \) = region \( b \) vulnerability index weighted by the main component.
- \( W_{M,i} \) = number of sub-components that reflect each major component and have the same contribution to the overall LVI.

LVI scale classification
- Value 0 - 0.2 = not vulnerable
- Value 0.21 - 0.4 = vulnerable / moderate
- 0.41 -0.5 = very vulnerable

2.4.2. LVI IPCC approach (Livelihood Vulnerability Index - Intergovernmental Panel of Climate Change). This study employed LVI - IPCC approach to calculate LVI based on the understanding of vulnerability according to the IPCC. Exposure is measured based on the natural disaster and climate variability component. Adaptive capacity is measured by socio-economic demographics, livelihood strategies, and social networks. Sensitivity is measured by determining the availability of food, water, and health.

\[
C_{F_d} = \frac{\sum_{i=1}^{n} W_{M,i} M_{d,i}}{\sum_{i=1}^{n} W_{M,i}}
\]

Variable specification:
- \( C_{F_d} \) = contribution of IPCC
- \( M_{d,i} \) = the main component for region \( d \) indexed by \( i \).
- \( W_{M,i} \) = the weight of the main component
- \( n \) = number of main components
The combination of these three contributions is calculated using the following equation:

\[ \text{LVI - IPCC}_d = (e_d - a_d) \times s_d \]

Variable specification:
- LVI - IPCC\(_d\) = LVI IPCC Index
- \(e_d\) = exposure score
- \(a_d\) = adaptation capacity
- \(s_d\) = sensitivity score

The grouping of categories are: the value of \(-1\)–\((-0.4)\) classified as not vulnerable, the value of \((-0.41)\)–\(0.3\) is stated as moderate, and the value of \(0.31\)–\(1\) is considered very vulnerable.

2.4.3. ECLAC (Economic Commission for Latin America and Carribean) calculation
Disaster loss calculation ECLAC analyzes each sector for damage and losses [8]. The calculation of each sector is used to ensure consistency of information. Thus, there is no duplication and comparison of results and additions from calculations per sector.

According to this method, the main sectors are divided into 5 (five) sectors namely housing, infrastructure, social, economic, and cross-sectoral.

3. Result and discussion

3.1. Livelihood Vulnerability Index (LVI)
Based on data processed from 156 respondents, it can be seen that the people of Pringsewu Regency are included in the vulnerable category, with an index of 0.329. Calculations can be found in Table 1.

### Table 1. Sub-component composite index, and main component index.

| Sub component | Composite Index sub-component | Main Components | Main Component Index | Category   |
|---------------|-------------------------------|----------------|---------------------|------------|
| Dependency figure | 0.258 | Socio- Economic Demographics | 0.303 | Vulnerable |
| Percentage of female family heads | 0.096 | | | |
| The average age of a female family head | 0.483 | | | |
| The average expenditure a month | 0.376 | | | |
| Percentage households with members of the family who worked in outside cities | 0.250 | Livelihood strategy | 0.331 | Vulnerable |
| Percentage households are a source of income main from agriculture | 0.724 | | | |
| Average index of classification of agricultural sector livelihoods (0.20-1) | 0.020 | | | |
| The average time that is taken to the facility health | 0.077 | Health | 0.147 | Not Vulnerable |
| The percentage of households whose family members have chronic diseases | 0.218 | | | |
| Average receive: give ratio (range 0.5-2) | 0.368 | Social network | 0.429 | Very Vulnerable |
| Average borrowing money ratio: lending money | 0.632 | | | |
| The percentage of households who submitted assistance to the local government | 0.288 | | | |
| Percentage households are a source of food comes from the own agriculture land | 0.763 | Food | 0.324 | Vulnerable |
Based on Table 1, Health components is considered not vulnerable, because based on primary data, the distance of the respondent’s residence is close to the health facility. Socio-economic demographics, livelihood strategies, food, and water components are considered as vulnerable. While the components that are categorized as very vulnerable are social networks, natural disasters, and climate variability. It is caused by erratic weather changes that cause some problems in their work example in agriculture which is the main commodity.

3.2. LVI-Intergovernmental Panel Climate Change (LVI-IPCC)

LVI-IPCC is an alternative method developed from the Livelihood vulnerability index (LVI). LVI-IPCC is used to make allegations of community livelihood vulnerability relative to the effects of climate change.

Based on Table 2, the results show a value of 0.036 which means that the people of Pringsewu District have a moderate vulnerability to climate change. It is because the value within 0.21 – 0.40 classified to vulnerable. Vulnerability calculation is an effort to carry out risk management. Disaster risk management is important to minimize disaster losses.
Table 2. Calculation of LVI-IPCC contributing factor values

| IPCC contribution factors       | Main component             | Weight | The contribution factor value | Category    |
|--------------------------------|-----------------------------|--------|-------------------------------|-------------|
| Adaptation Capacity            | Socio-demographic economy  | 4      | 0.303                         | Very vulnerable |
|                                | Livelihood strategy         | 3      | 0.331                         |             |
|                                | Social network              | 3      | 0.429                         |             |
| Sensitivity                    | Health                      | 2      | 0.147                         | Very vulnerable |
|                                | Food                        | 3      | 0.324                         |             |
|                                | Water                       | 4      | 0.284                         |             |
| Exposure                       | Natural disasters and climate variability | 6 | 0.484 | Very vulnerable |
| LVI-IPCC                       |                             | 0.036  |                               | Vulnerable  |

3.3. ECLAC Result

3.3.1. The Pringsewu Regency flood incident. According to information generated from the Regional Disaster Management Agency (BPBD) of Pringsewu Regency, the location of the flood disaster occurred in the North Pagelaran, Ambarawa, Pringsewu, Gadingrejo, Pardasuka, Banyumas, Adiluwih, and Sukoharjo. The flood was identified as being caused by high rainfall for more than 10 hours. Hilly conditions are crushed so that there are no trees to absorb water [9]. Human activities such as the behavior of littering also cause irrigation to clog up, causing blockages in the waterways. A total of 6 (six) people were slightly injured and no fatalities.

3.3.2. Macro condition Pringsewu Regency. Pringsewu Regency economy is based on agriculture, forestry and fisheries sectors. Table 3 show that Gross Regional Domestic Product (GRDP) based on the constant 2010 prices according to the business sector was recorded at 1.8 trillion rupiahs, or equivalent to 25 percent of total GRDP [10].

Almost all Sub-districts in Pringsewu District were flooded in early 2019. Sub-districts in Pringsewu Regency have altitudes between 99.5 and 150 above sea level [10]. The average elevation above sea level (DPL) of the sub-district in the Pringsewu Regency is 126.82 MDPL. With these heights, it is potentially affected by floods when rainfall increases due to climate change. Gadingrejo sub-district has the lowest altitude, which is 99.97 MDPL.
### Table 3. GDRP at constant 2010 prices according to the business field in 2016-2018

| Business Field                                         | GRDP Upper Basic Price Constant According to Field Enterprises ( Million Rupiah) | Average         | Contributions (%) |
|--------------------------------------------------------|---------------------------------------------------------------------------------|-----------------|-------------------|
|                                                        | 2016                              | 2017            | 2018             |                   |
| Agriculture, Forestry, and Fisheries                   | 1,722,999.8                       | 1,773,311.0     | 1,806,295.7      | 1,767,535.5       | 25.2             |
| Mining and Quarrying                                   | 6,432.3                           | 7,015.6         | 7,505.8          | 6,984.5           | 0.1              |
| Processing Industry                                    | 986,442.9                         | 1,042,624.9     | 1,107,464.4      | 1,045,510.7       | 14.9             |
| Electricity and Gas                                    | 4,719.1                           | 5,098.2         | 5,430.4          | 5,082.6           | 0.1              |
| Procurement                                            | 3,512.6                           | 3,663.7         | 3,776.9          | 3,651.1           | 0.1              |
| Procurement Water, Management of Waste, Waste and Recycling |                                  |                 |                  |                   |                  |
| Construction                                           | 814,068.8                         | 856,058.5       | 910,960.9        | 860,362.7         | 12.3             |
| Trade Large and Retail; Repair Car and Motorcycle       | 1,015,609.4                       | 1,075,857.6     | 1,142,348.0      | 1,077,938.3       | 15.3             |
| Transportation and Warehousing                          | 290,692.7                         | 310,785.1       | 332,067.2        | 311,181.6         | 4.4              |
| Provision of accommodation and Eat Drink               | 145,129.3                         | 154,553.2       | 169,311.9        | 156,331.5         | 2.2              |
| Financial Services and Insurance                       | 358,767.3                         | 393,864.8       | 426,213.0        | 392,948.4         | 5.6              |
| Real estate                                            | 277,375.3                         | 285,412.2       | 289,565.6        | 284,117.7         | 4.0              |
| Services Company                                       | 274,706.0                         | 293,194.2       | 304,038.8        | 290,646.3         | 4.1              |
| Government                                             | 15,738.9                          | 16,638.1        | 16,893.8         | 16,423.6          | 0.2              |
| Administration, Defense and Mandatory Social Security  | 253,370.8                         | 262,816.6       | 273,871.9        | 263,353.1         | 3.8              |
| Educational Services                                   | 337,923.2                         | 357,280.0       | 382,724.3        | 359,309.2         | 5.1              |
| Health Services and Social Activities                  | 98,101.5                          | 102,419.1       | 107,855.5        | 102,792.0         | 1.5              |
| Others Services                                        | 71,758.8                          | 78,105.7        | 85,289.9         | 78,384.8          | 1.1              |
| **TOTAL**                                              | 6,677,349                         | 7,018,698       | 7,371,614        | 7,022,554         | 100              |

Source: [10]

3.3.3. Damage and losses due to flood disaster. The loss and damage from the flood disaster in Pringsewu District reached Rp. 12.3 M. Based on Table 4, each sector, the biggest damage and loss is in the main economic sector that is rice subsector. As many as 4.5 percent of the total rice fields in the Pringsewu District were flooded and experienced crop failure. Agriculture sub-sector, in this case rice farmers suffer losses when there is a flood. Floods cause the newly planted rice plants to be submerged so that the estimated harvest period is also getting longer.

Based on BPBD, the amount of loss is based on the estimated results immediately after the disaster. In the infrastructure sector, damaged subsector is a broken bridge. The bridge connects the Nusa Wungu Pekon with Way Krui in Banyumas District. The health sector has one unit of damage data, namely the paramedic house of the Wates Puskesmas in Gadingrejo District. Furthermore, damage and losses in the livestock sector were 0.5 percent and the fisheries sub-sector was 0.11 percent. The damage and loss calculation is still relatively low at 0.16 percent of the GRDP. However, if the government does not make a policy to manage disasters such as mitigation, with calculated vulnerabilities, the likelihood of flood losses will be higher.
Table 4. Accumulated damage and losses calculated by ECLAC method

| No | Main sector       | Sub-sector                        | Estimated damage (Rp) | Estimated loss (Rp) | Total (Rp) |
|----|-------------------|-----------------------------------|-----------------------|---------------------|------------|
|    |                   |                                   |                       |                     |            |
|    |                   |                                   |                       | (%)                 |            |
|    | Housing           | Housing                           | 335,000,000.00        | 2.74                | 335,000,000.00  |
| 1  |                   | Environmental Infrastructure      |                       | -                   |            |
|    | Infrastructure    | Transportation (bridge)           | 250,000,000.00        | 2.04                | 250,000,000.00  |
| 2  | Social            | Health                            | 2,000,000.00          | 0.02                | 2,000,000.00  |
|    |                   | Education (School)                | 95,000,000.00         | 0.78                | 95,000,000.00  |
| 3  | The economy       | Rice fields                        | 11,540,000,000.00     | 94.32               | 11,605,000,000.00 |
|    |                   | Fishery                           | -                     | -                   |            |
|    |                   | Animal husbandry                  | 12,500,000.00         | 0.10                | 13,450,000.00  |
| 4  | Cross Sectoral    | Government offices                | -                     | -                   | -          |
| 5  |                   | Total                             | 12,234,500,000.00     | 100.00              | 12,363,450,000.00 |
|    |                   | Source: BPBD Pringsewu Regency 2019 |

4. Conclusion

According to Livelihood Vulnerability Index-Intergovernmental Panel of Climate Change (LVI-IPCC) and ECLAC calculation, Pringsewu regency have vulnerability due to climate change. The disaster caused by climate change can increase the number of losses.

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