Observation of Elastic Orbital Angular Momentum Transfer: Coupling Flexural Waves in Partially Submerged Pipes to Acoustic Waves in Fluids

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Research into the orbital angular momentum carried by helical wave-fronts has largely been reserved for light and sound [1]. The realisation by Allen et al. [2] that electromagnetic Laguerre-Gaussian (LG) beams, satisfying the paraxial wave equation, carry a well defined OAM sparked a resurgence in interest in exploiting this mechanical property of light for optical tweezers [4–8]. The celebrated success of utilising LG beams comes, in part, from the ease in which they can be generated; a variety of simple devices can form these modes, for example spiral phase plates, q-plates, and spatial light modulators [9, 10]. The orbital angular momentum is associated with the spatial distribution of the beam, and not with the polarisation (that determines the intrinsic modes, for example spiral phase plates, q-plates, and spatial light modulators) [9, 10]. The orbital angular momentum is quantised, as in electromagnetism and acoustics. In this paper we experimentally observe the transfer of elastic orbital angular momentum from a hollow elastic pipe to a fluid in which the pipe is partially submerged, in an elastic analogue of Durnin's slit-ring experiment for optical beams. This transfer is achieved by coupling the dilatational component of guided flexural waves in the pipe with the pressure field in the fluid; the circumferential distribution of the normal stress in the pipe acts as a continuous phased pressure source in the fluid resulting in the generation of Bessel-like acoustic beams. This demonstration has implications for future research into a new regime of orbital angular momentum for elastic waves, as well providing a new method to excite acoustic beams that carry orbital angular momentum that could create a new paradigm shift for acoustic tweezing.

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highlighting specifically the features associated for an \( m = 3 \) topological charge. Before introducing the flexural modes and the pipe structure we recall the governing equations of elastic materials and the associated compressional OAM. An isotropic, homogeneous linear elastic material supports waves governed by the Navier-Cauchy equations [41], following the Einstein summation convention,

\[
\mu \partial_i \partial_j \xi_i + (\lambda + \mu) \partial_j \partial_i \xi_i = \rho \ddot{\xi}_i, \tag{1}
\]

and the constitutive law
\[
\sigma_{ij} = C_{ijkl} \varepsilon_{kl} = \lambda \delta_{ij} \varepsilon_{kk} + 2\mu \varepsilon_{ij}, \tag{2}
\]

with \( \xi_i \) the displacement and \( \ddot{\xi}_i \) its double time derivative; Lamé’s first and second parameters are denoted \( \lambda, \mu \) respectively with \( \rho \) being the material density; \( \sigma_{ij} \) and \( C_{ijkl} \) are the stress and stiffness tensors respectively; and \( \varepsilon_{ij} = \frac{1}{2}(\dot{\xi}_{i,j} + \dot{\xi}_{j,i}) \) is the strain tensor (comma notation denotes partial differentiation, and \( \partial_i = \frac{\partial}{\partial x_i} \)).

The displacement comprises both shear and compressional motion, described by an equi voluminal vector potential \( \Psi_i \) and scalar dilatational potential \( \Phi \) respectively such that, by Helmholtz decomposition, \( \xi_i = \partial_i \Phi + \epsilon_{ijk} \partial_j \Psi_k \). Elastic waves with inclined phase-fronts naturally occur as flexural modes in pipe walls [38]. Choosing cylindrical coordinates oriented with \( z \) along the pipe axis the potentials take the form

\[
\Phi = \phi(r) \exp\left[i(m\theta + k_z z - \omega t)\right],
\]

\[
\Psi_\alpha = \psi_\alpha(r) \exp\left[i(m\theta + k_z z - \omega t)\right], \tag{3}
\]

where \( \alpha = r, \theta, z \). \( k_z \) is the wave number along the pipe axis and \( \omega \) the radian frequency. Stress free boundary conditions are imposed on the inner and outer radii \( r_a, r_b \) respectively, such that \( \sigma_{rr} = \sigma_{r\theta} = \sigma_{rZ} = 0 \), along with the infinite cylinder gauge condition \( \nabla \cdot \Psi = 0 \). The resulting radial distribution of the dilatational and shear potentials \( (\phi(r) \) and \( \psi_\alpha(r) \)) are then described by a linear combination of Bessel functions and their modifications [12]. We consider the coupling of elastic waves in a pipe with pressure fields in a fluid that the pipe is partially submerged. At the solid-fluid boundary the acoustic pressure induces a fluid load on the solid structure, and the structural acceleration acts as a normal acceleration across the solid-fluid boundary. The boundary conditions then manifest as the dynamic continuity of traction, and the kinematic continuity of the normal particle displacement at the interface between the solid and fluid, such that [33]

\[
\sigma_{ij} n_j = -p I_{ij} n_j,
\]

\[
\xi_i n_i = u_i n_i, \tag{4}
\]

where \( p \) is the acoustic pressure, \( I_{ij} \) is the unit tensor, \( u_i \) is the particle displacement in the fluid and \( n_j \) is the surface normal. In the fluid domain the governing equations follow the standard linearised Euler equations. For harmonic motion these read

\[
\partial_t p - \omega^2 \rho_F u_i = 0,
\]

\[
p + \rho_F c_F^2 \partial_t u_i = 0, \tag{5}
\]

for fluid density \( \rho_F \) with the acoustic wavespeed in the fluid denoted \( c_F \). The coupling boundary conditions of the elastoacoustic problem can then be expressed in the pure displacement formulation [13]:

\[
\sigma_{ij} n_j - \rho_F c_F^2 \partial_k u_k I_{ij} n_j = 0,
\]

\[
\xi_i n_i - u_i n_i = 0. \tag{6}
\]

These equations are solved numerically throughout via the Finite Element Method (FEM) [15].

The vector system of elasticity supports two body waves, compression and shear, that travel with distinct wavespeeds given by \( c_p = \sqrt{(\lambda + 2\mu)/\rho} \) and \( c_s = \sqrt{\mu/\rho} \) respectively. Clearly, in the fully coupled elastic system, longitudinal (compressional), transverse (shear) and hybrid components all contribute to the orbital angular momentum, and thus to the total angular momentum (orbital and spin) [39]. Therefore, the splitting of the displacement vector into the dilatational and shear potentials, as done in [38], is not required; however, only considering the OAM associated with the compressional potential (that is proportional to the azimuthal index \( m \)) motivated this experimental work as fluids only support compressional waves and not shear.

Optical and acoustic tweezing are primary applications for the transfer of OAM, where particles can be trapped and manipulated at the vortex singularity at the beam centre [21] [15]. In the case of elastic OAM carried by flexural
modes in pipes there is no such singularity as the pipe is hollow - a direct analogy cannot be drawn in this case as there is no elastic medium to suspend particles with at the pipe centre, along its axis. However, it is well known that vibrating solids radiate sound waves in fluids, and it is this coupling we leverage to excite an acoustic OAM mode via the flexural displacement field carried in the elastic pipe. We experimentally validate this OAM transfer, thereby developing a new continuous-phased acoustic source in the form of flexural modes in pipes; Bessel-like beams are generated following from the radial distribution of the compressional potential.

We first detail the background of flexural modes in pipes and how they are efficiently generated, using an elastic analogue to optical spiral phase plates. We utilise this analogue, the so-called elastic spiral phase pipe \[^{[47]}\], to show the first experimental observation of the transfer of elastic OAM from flexural modes in pipes to fluids. We include qualitative comparisons with both Finite Element Method (FEM) simulations and Dynamic Mode Decomposition (DMD), and a comparison to classical discrete phased sources, before concluding and highlighting perspectives for applications.

**GUIDED FLEXURAL MODES IN PIPES**

Guided ultrasonic waves in pipes have long been studied, with the first analytical description being posed by Gazis in the late 1950s \[^{[42, 48]}\], for infinitely long pipes. They fall into three modal classes: longitudinal (L), torsional (T), and flexural (F), with a naming convention attributed to Silk and Bainton \[^{[49]}\] such that they are written \(L(m, n)\), \(T(m, n)\), and \(F(m, n)\). The integers \(m, n\) denote the circumferential and group order respectively; the circumferential order being analogous to the topological charge of optical vortex beams. The generation and inspection of these guided waves has found much success in non-destructive techniques and evaluation \[^{[50, 51]}\]. The focus of this paper is to observe the coupling between non-axisymmetric flexural modes \(F(m > 0, n)\) in pipes and acoustic waves in a fluid. As such we require a device to ensure their efficient generation.

The recent advent of the elastic spiral phase pipe (eSPP) \[^{[47]}\] achieves this. This structure removes the necessity to rely on conventional means of complex arrangements of transducers or phased arrays (e.g by comb arrays or non-axisymmetric partial loading \[^{[52, 53]}\]). Advantages of the eSPP include passively exciting arbitrary flexural modes with, crucially, single handedness (i.e. only one sign of \(m\)) by mode converting longitudinal modes (e.g. \(L(0, 2)\)) that can be easily excited in isolation \[^{[54]}\]. This is a particularly attractive property as a candidate for using flexural modes that are sensitive to axial cracks, where the conventional longitudinal and torsional modes are weakly sensitive \[^{[55, 56]}\].

In Fig. 1 we detail the eSPP used in the experimental verification of the transfer of elastic OAM. In Fig. 1(a) we show the elastic spiral phase pipe that endows incoming axisymmetric waves with a helical phase profile, similar to OAM generation by optical and acoustic analogues \[^{[25, 26, 57]}\]. The spiral pipe used here has already been characterised \[^{[47]}\], comprising an aluminium pipe of density \(\rho = 2710 \text{ kgm}^{-3}\), Young’s Modulus \(E = 70 \text{ GPa}\) and Poisson’s ratio \(\nu = 0.33\). The inner and outer diameters of the pipe are \(d_1 = 40 \text{ mm}\) and \(d_2 = 60 \text{ mm}\) respectively. One end of the pipe is open (to be submerged in fluid), while the other is capped with an aluminium disk of diameter \(d_2\) and thickness 10 mm, attached by six screws. The total length of the pipe is \(L = 900 \text{ mm}\). The spiral region of the pipe is specifically designed to convert \(L(0, 2)\) modes to \(F(3, 2)\) modes at 62 kHz, and is formed of by CNC milling a thickness of 6 mm from the pipe into three spiral steps of length \(h_s = 63 \text{ mm}\). This step profile is determined via the method in \[^{[47]}\], where an effective refractive index relates the two speeds of the incoming and converted waves through

\[
h = \frac{2\pi m}{k_i(n - 1)},
\]

where \(m\) is again the modal index of the desired flexural mode (\(m = 3\), here) and \(n = c_f/c_i\) is the ratio of the wavespeeds of the converted flexural and incident longitudinal waves respectively, with \(k_i\) the wavenumber of the incident mode along the pipe axis. To reduce the length of the step size the spiral is partitioned into three turns such that \(h_s = h/3\). The wavespeeds of each mode are determined through the dispersion of the pipe, evaluated by spectral collocation \[^{[47, 58]}\].

**TRANSFER OF ELASTIC OAM**

To experimentally confirm the transfer of elastic OAM, we consider the coupling of the compressional component of a guided flexural \(F(3, 2)\) mode in an elastic pipe, to the acoustic pressure field in a fluid (water) in which the pipe is partially submerged. Extensive time-gated acoustic characterisation of the fluid-field pressure distributions were made using a scanning tank facility, shown in Fig. 1(b-d). We show, in Figure 2 the first experimental observation of elastic
orbital angular momentum transfer by an elastic spiral phase pipe. The time-series data obtained (see Appendix A) is analysed by way of the Fast Fourier Transform (FFT), giving the spatial-frequency components comprising the acoustic signal in the fluid. Figures 2(a-b) show the real pressure field and the phase, respectively, of the FFT of FEM simulations (see Appendix C) at 58 kHz, 20 mm below the submerged end of the pipe. The discrepancy between the design frequency and the shown frequency arise due to imperfections in the eSPP milling procedure, as a consequence of the finite size of the drill head, as well as the effect of real damping and viscous properties of the materials at high frequencies. The result is that the spiral tips are rounded meaning the step profile is not completely accurate for the design frequency; the device is not purely monochromatic and works over a range of frequencies near the design frequency, with varying efficiency [47]. The corresponding experimental pressure fields are shown in Figs. 2(c-d); there is clear qualitative agreement between simulation and experiment: we observe the predicted triple-helix phase profile with three phase singularities in the form of acoustic vortices. The splitting of the central vortex into three first order charges results from the instability of higher order OAM modes [34]. Similar to optical beams, these modes are vulnerable to perturbation by any coherent background [59], that itself does not require any dislocation lines (vortices) [60]; unconverted compressional waves form such a background resulting in the observed decay of the high-order screw dislocations on a sum of dislocations of charge one. These are clearly visible in the simulations and experimental data. Additionally in the experiment there is an amplitude modulation due to the physical eSPP only approximating the exactly circular-helicoid structure.

Figure 1(b) shows a zoom of the measurement area, with example experimental result superimposed, highlighting that due to both the parallax associated with refraction in the alignment, and the physical dimensions of the hydrophone, only an approximate depth of the relative position of the acoustic centre of the hydrophone (i.e. the plane where the pressure is accurately mapped) can be determined. The matching of the field profiles is observed at a depth of 20 mm below the pipe. This is, approximately, the closest possible approach of the acoustic centre of
FIG. 2. Results and Comparisons: Frequency domain real pressure field (left column) and phase (right column), at 58 kHz. The measurement plane is in the fluid at a depth 20 mm from the submerged end of the pipe for (a-b) FEM Simulation, (c-d) Experiment and (e-f) Dynamic Mode Decomposition (performed on experimental data set - see Appendix B).

the hydrophone. The implications of this are highlighted in Fig. 3. For an acoustic Bessel-like beam carrying OAM, one expects a zero in acoustic intensity due to the phase singularity at the centre of the beam, where the intensity is given by

$$I = \frac{1}{4} (pv^* + p^*v),$$

where, in the frequency domain, the velocity, $v$ is related to the pressure $p$ through $v = \frac{-1}{i\omega\rho} \nabla p$ and $*$ denotes the complex conjugate. At the observable depth this is obscured due to the background field excited from the compressional mode that is unconverted by the eSPP, since it is not perfectly efficient [47]. As such at the measurement plane, marked by the dash-dotted line in Fig. 3(b), there is an amplitude modulation of the Bessel-like nature resulting from modal interference. However, close to the end of the pipe, e.g. at the plane marked by the dashed line in Fig. 3(b), the doughnut-like profile of the beam is unperturbed; this is seen in Fig. 3(a) that shows the Fourier-analysed FEM acoustic intensity and phase as a hued colourmap.

To confirm that the observed pressure field in the fluid is the dominant mode within the system, despite the pipe being modally rich, we perform Dynamic Mode Decomposition (DMD) on the experimental data set (see Appendix B). This is a technique popularised by Schmid [61] that extracts the singular values of a matrix representing the time-evolution of the complete data set, and thus determines the dominant dynamics of the system. In Figs. 2(e-f) we show the results of the DMD on the experimental data, corroborating the assertion that the helical pressure field propagating through the fluid is dominant, as a direct result of the coupling from the compressional component of
We further explain the amplitude variation of the pressure field in the fluid by considering the superposition of the OAM beam with background sources. We do so by an analogy to amplitude-modulated-discrete-phased acoustic sources that are conventionally used for exciting acoustic beam shapes that carry OAM.

**Analogy to Discrete Phased Arrays**

Conventional methods for exciting acoustic beams that carry orbital angular momentum rely on discrete phased sources, such as circular arrays of loudspeakers. The interference of the monopolar-like sources then approximates a beam with a helical phase-front. Often acoustic waveguides are used to enable the beam waist to be formed a desired distance away from the sources [30, 32]. In Fig. 4 we show the discrete phased analogy via a frequency domain FEM simulation for two cases: (i) a ring of 12 phased point acoustic sources with equal amplitude, and (ii) the same ring but with additional central source and amplitude variation. We included this model purely as a qualitative analogue to the acoustic OAM mode excited by the pipe; the vector elastic system cannot be reduced to a purely scalar (monopole) source as higher order vector components (e.g. dipole) contribute [62, 63]. The geometry considered is such that the point sources lie on a ring of diameter 50 mm, as if placed at the mid-point of the pipe thickness, lying atop a cylindrical volume of water 0.1 meter deep and 0.15 meter in diameter, akin to the FEM simulations of the main experiment (see see Appendix C). Each source is coloured to represent the relative phase shift (of $\pi/4$ radians) to the adjacent sources, and has an amplitude represented by their relative size. The array is chosen so that a topological charge of $m = 3$ is achieved. Figures 4(c-d) show, for case (i), the real pressure field, phase, and acoustic intensity respectively for an excitation frequency of 58 kHz at a distance 20 mm from the source plane. As there is no other sources present this well approximates an acoustic beam carrying OAM. For case (ii), an additional source is present at the centre of the ring, and the amplitude of three sources is also modified.

The eSPP considered throughout can be seen to act as a continuous phased acoustic source, with the phase profile...
determined by the circumferential order of the flexural mode, as shown in Fig. 3(a). The analogy to the additional source in case (ii) represents the amplitude modulation in the experiment due to the background provided by the unconverted compressional wave, and other modes present in the pipe. This intuitive analogy gives qualitative agreement to the experimental fields. As such we pose that the pipe acts as an amplitude-modulated-continuous-phased source for acoustic OAM beams.

CONCLUSIONS AND PERSPECTIVES

Generating acoustic vortex beams that carry OAM has been instrumental to the development of optical and acoustic tweezers. Specifically in acoustics, the generation of these modes conventionally relies on discrete phased arrays. By considering the elastic orbital angular momentum associated with compressional motion we have demonstrated the first experimental observation of elastic orbital angular momentum transfer from guided flexural modes in a pipe to acoustic waves in a fluid, verifying the experiment proposed in [38], and thus providing a new avenue to generate acoustic OAM beams.

The applications of this phenomena are therefore aligned with those of acoustic tweezers, including sensing, communication and microfluidic control. Extensions of this new methodology are anticipated to fluid-filled pipes, where there exist attractive applications in, for example, non-destructive testing in pipe-networks.

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Appendix A: Experimental Setup

Measurements were performed in a water tank without wall or surface treatments, with dimensions $3.0 \times 1.8 \times 1.2$ m ($L \times W \times D$). The pipe was suspended vertically above the tank using nylon fishing line attached to a mount so that the end of the pipe was submerged approximately $20$ mm into the fluid (Fig. 1(d)); the seal on the capped end of the pipe ensures fluid is present within the pipe, up to the same depth of submersion. A piezoelectric PZT-8 disc of thickness $12$ mm and diameter $35$ mm was glued to the centre of the cap to provide excitation with a 5-cycle pulse centred on $60$ kHz. The piezoelectric excites the $L(0, 2)$ mode which then efficiently excites the $F(3, 2)$ mode via mode conversion in the spiral region as outlined in [47].

To obtain pressure field maps of sound radiated from the submerged end of the pipe, the signal at the detection hydrophone (Brüel & Kjær 8103 hydrophone) was scanned in space using an $xyz$ scanning stage (in-house built with Aerotech controllers). The hydrophone was vertically mounted to a perforated perspex arm, to match the propagation direction of the acoustic field. The acoustic propagation was then spatially mapped in $2.5$ mm steps across a $75 \times 75$ mm$^2$ area centred beneath the pipe; the voltage, $V$, from the detector was recorded as a function of time, $t$, at each position in the scan. At each spatial point, the acoustic pressure field is averaged over the detecting area of the hydrophone head and the signals were averaged in time over $20$ repeat pulses to improve the signal-to-noise ratio. The detector was sampled with sample rate $f_s = 9.62$ MHz to record the signal for $5.2$ ms at each point. The resulting usable frequency range for this source-detector response function was between $26 - 90$ kHz. The resulting signals are time-windowed corresponding to the time-of-flight of the flexural wave packet so that the pressure field excited by the $F(3, 2)$ pulse is isolated in the fluid. We then confirm this is a dominant mode of the system through Dynamic Mode Decomposition.

Appendix B: Dynamic Mode Decomposition

Dynamic Mode Decomposition is a technique developed by Schmid [61] that enables a data set, be it numerical or experimental, to be analysed so that the dominant dynamics can be observed. This is a particularly attractive method here given the large number of modes excited within the pipe. Here we briefly outline the methodology following [61].

DMD rests on representing an original time-series data set $D$ as a sum of $n$ mode shapes associated with the radian frequency $\omega_n$, such that

$$D = \sum_n \zeta_n \exp(i\omega_n t), \quad \text{(B1)}$$

where $\zeta_n$ is the $n^{th}$ mode shape. The data we analyse is the temporal evolution of the acoustic pressure at a series of grid-points in space. The data is rearranged into a single matrix such that each column represents on frame of the data:

$$X = [x_1, x_2, \ldots, x_N], \quad \text{(B2)}$$

Where $X$ is the complete data set and $x_i$ is the data at times $i = 1, \ldots, N$. As the governing equations for the acoustic propagation are linear, the data at each time step can be related by a matrix $A$ such that

$$x_{i+1} = Ax_i, \quad \text{(B3)}$$

and thus

$$X = [x_1, Ax_1, \ldots, A^{N-1}x_1]. \quad \text{(B4)}$$

The dynamics of the system are then governed by the eigenvalues and eigenvectors of $A$, which can be approximated by several numerical methods. Here, as in Schmid’s original paper [61], we use singular value decomposition (SVD). We shall also consider the shifted matrix

$$\bar{X} = AX = [x_2, x_3, \ldots, x_{N+1}]; \quad \text{(B5)}$$

for sufficiently large $N$ (i.e. a long time signal) $X$ and $\bar{X}$ will have a near identical structure. By SVD, we write

$$X = USV^\dagger, \quad \text{(B6)}$$

where $U$ and $V$ contain the left- and right-singular vectors respectively, with the singular values along the diagonal of $S$. If the relative size of successive singular values to the first few is small, then the size of the matrices can be reduced,
with the reduced forms subsequently written as e.g. \( \hat{U} \). The matrix \( U \) contains the so-called principal directions, that are used to rewrite the data in a new basis and define
\[
\hat{A} = U^T A U.
\] (B7)

Using the reduced forms, \([B5]\) then becomes
\[
\bar{X} \approx A \left( \hat{U} \hat{S} \hat{V}^T \right) \\
\Rightarrow \hat{A} \approx \hat{U}^T \bar{X} \hat{V} \hat{S}^{-1}.
\] (B8)

This approximation of \( A \) then contains all the information needed to take one frame of the data to the next. The eigenvalues and eigenvectors of \( \hat{A} \) then are obtained by converting back to the original basis such that
\[
\zeta_n = \hat{U} \eta_n,
\] (B9)

where \( \zeta_n \) is the \( n^{th} \) mode for the \( n^{th} \) eigenvector \( \eta_n \). The results of this decomposition on the time-series data obtained in the experiments is shown in Fig. 2(e-f), showing that this is a dominant mode shape.

Appendix C: Finite Element Modelling

The commercial FEM software COMSOL Multiphysics\textsuperscript{®} was used to perform time domain simulations of the suspended pipe geometry. The acoustics and structural mechanics module were used with acoustic-solid interaction to couple the displacement field in the pipe with the acoustic pressure fields in the air and water. A schematic of the simulation domain is shown in Fig. 5, with cylindrical wave radiation conditions on the dashed boundaries. The same 5-cycle tone burst, centred on 60 kHz excitation was used and applied as a boundary load to the top cap of the pipe (area marked with magenta circle in Fig. 5) to simulate the effect of the piezoelectric disc source (not actually modelled in the geometry). The numerical pressure field was then extracted in the fluid, with the same spatial resolution as used in the experiment. Fourier analysis was then performed via the Fast Fourier Transform to obtain the spatial-frequency spectra, as done in the experiment. The results were analysed at several planes beneath the pipe to determine the position of the acoustic centre of the hydrophone and used to show the excitation of a LG-like acoustic beam near the submerged surface of the pipe (Fig. 3).

For the comparison with a discrete phased acoustic array, only the acoustics module was used, with the simulation domain shown in Fig. 4, using monopolar-like point acoustic sources. The simulation domain here matches the region of water in the main simulation.

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FIG. 5. FEM Simulation Domain: Fully coupled acousto-elastic equations are solved for between the aluminium pipe and air, the pipe and the water, and the air and water. The boundary load applied at \( z = 0 \) to top surface of the pipe represents the excitation from the piezoelectric disc, shown as magenta circle of diameter 35 mm. Dashed lines correspond to cylindrical radiation boundaries.

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