On Reciprocal Causation in the Evolutionary Process

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Abstract Recent calls for a revision of standard evolutionary theory (SET) are based partly on arguments about the reciprocal causation. Reciprocal causation means that cause–effect relationships are bi-directional, as a cause could later become an effect and vice versa. Such dynamic cause–effect relationships raise questions about the distinction between proximate and ultimate causes, as originally formulated by Ernst Mayr. They have also motivated some biologists and philosophers to argue for an Extended Evolutionary Synthesis (EES). The EES will supposedly expand the scope of the Modern Synthesis (MS) and SET, which has been characterized as gene-centred, relying primarily on natural selection and largely neglecting reciprocal causation. Here, I critically examine these claims, with a special focus on the last conjecture. I conclude that reciprocal causation has long been recognized as important by naturalists, ecologists and evolutionary biologists working in the in the MS tradition, although it it could be explored even further. Numerous empirical examples of reciprocal causation in the form of positive and negative feedback are now well known from both natural and laboratory systems. Reciprocal causation have also been explicitly incorporated in mathematical models of coevolutionary arms races, frequency-dependent selection, eco-evolutionary dynamics and sexual selection. Such dynamic feedback were already recognized by Richard Levins and Richard Lewontin in their bok The Dialectical Biologist. Reciprocal causation and dynamic feedback might also be one of the few contributions of dialectical thinking and Marxist philosophy in evolutionary theory. I discuss some promising empirical and analytical tools to study reciprocal causation and the implications for the EES. Finally, I briefly discuss how quantitative genetics can be adapted to studies of reciprocal causation, constructive inheritance and phenotypic plasticity and suggest that the flexibility of this approach might have been underestimated by critics of contemporary evolutionary biology.

Keywords Coevolution · Dialectics · Eco-evolutionary dynamics · Frequency-dependence · Niche construction

Introduction

Last year—in January 2016—the great population biologist Richard Levins passed away (Mehta 2016). Scientifically speaking, Levins was mainly known for his pioneering models about the evolution of genetic variation and adaptive plasticity in changing environments (Levins 1968). But Levins was also a political activist, life-long committed communist and Marxist (Smith 1988). Together with his political and scientific ally at Harvard University—population geneticist Richard Lewontin—Levins published a partly controversial book in 1985 entitled The Dialectical Biologist (Levins and Lewontin 1985). In this book, Levins and Lewontin advocated the use of the dialectical method—as developed by German socialists and philosophers Karl Marx and Friedrich Engels—and they then applied such dialectical thinking to various problems in ecology and evolutionary biology (Levins and Lewontin 1985). Levins and Lewontin paid particular tribute to Friedrich Engels, who was interested in natural science and the new and emerging field of evolutionary biology. Engels' book The Dialectics of Nature (1883) contains a series of unfinished essays about how dialectical thinking could help to understand the natural world.
In his book, Engels explained the dialectical principle of the “transformation of quantity in to quality and vice versa” and illustrated this with an analogy of how water changes from a liquid state in to a gas as temperature increases. In terms of evolution of our own species (*Homo sapiens*), Engels argued that the human brain and hand co-evolved and influenced each other’s evolutionary trajectories through selective feedback, so that a larger brain made it possible to evolve more fine-scale movements of hands and fingers and vice versa (Engels 1883).

Although the two Marxists Levins and Lewontin were highly critical of what they called “Cartesian reductionism” which they argued dominated Western science in general and evolutionary biology in particular, they did not deny the success of this traditional research approach. By Cartesian reductionism, Levins and Lewontin meant the Western scientific tradition that followed the French philosopher René Descartes, by which an entire system (e.g. an organism) could be deduced from an analysis of its component parts, ignoring the interactions between such parts (Levins and Lewontin 1985). Arguing for an alternative research approach, Levins in Lewontin drew their inspiration from primarily Engels’ early work, and suggested that the dialectical method should complement the traditional reductionist approach (Levins and Lewontin 1985). Given that this is actually a fairly modest justification of the dialectical method, it is somewhat surprising that their book was initially met with some skepticism from large parts of the research community of evolutionary biologists.

One notable exception to this negative reception of their book was Levins and Lewontin’s friend and colleague, the British evolutionary biologist John Maynard Smith, who published a review of the book in *London Review of Books* (Maynard Smith 1988). Maynard Smith was himself a former member of the Communist Party of Great Britain (CPGP) and he had thus a background in Marxist philosophy. In his critical review, Maynard Smith did not hesitate to admit that Levins and Lewontin’s dialectical method had some scientific utility (Maynard Smith 1988). He also praised Levins work as one of the best examples of how dialectical thinking could provide scientific insights about ecological phenomena and evolutionary processes beyond Cartesian reductionism (Smith 1988). Maynard Smith’s largely sympathetic although not entirely uncritical review contrasts sharply with the hostility against the book and its authors from other parts of the evolutionary biology community in the US. In retrospect and with a knowledge of subsequent history—most notably the fall of the Berlin Wall in 1989 and the collapse of the Soviet Union in 1991—the extremely negative reactions to *The Dialectical Biologist* could perhaps be interpreted as an effect of the general political climate in the US and the ongoing Cold War. Also, many evolutionary biologists in the US were probably not aware about the crucial difference between Stalinism as an official state ideology in the Soviet Union and Eastern Europe, and the more critical intellectual Marxist analytical tradition in Western Europe.

One of the most famous chapters of *The Dialectical Biologist* has the title “The Organism as the Subject and Object of Evolution”. In this chapter, the authors build upon some earlier foundational work by Lewontin (Lewontin 1983) and use some general coupled differential equations to explore the relationship between organism (*O*) and environment (*E*). They show that organisms are not only passive objects of the external environment which suffer from the force of natural selection, but the organisms are also active subjects, who actively modify their environments, often towards their own advantage. Thus, a fit between *O* and *E* can in principle be achieved in two different ways (although not mutually exclusive); either natural selection modifies *O* to fit *E*, or *O* modifies *E* to its own advantage (Okasha 2005). One empirical example of this is thermoregulation behaviours in ectothermic animals like reptiles and insects. In *Anolis*-lizards, for instance, it has been shown that because of adaptive behavioural thermoregulation, animals can “buffer” themselves against harsh thermal environments (e.g. too cold environments) by actively searching for warmer places, thereby partly counteracting selection for improved thermal physiology (Huey et al. 2003). The main point is that there is a reciprocal feedback between *O* and *E:* *E* influences *O* through the process of natural selection, but *O* can also influence *E* through niche construction (Odling-Smee et al. 2003; Okasha 2005). For the consistence of terminology I shall call such feedback between *O* and *E* for reciprocal causation for the rest of this article, following the terminology by Laland et al. (2011), although I note that Laland (2004) has also called this “cyclical causation” in one of his previous papers (Laland 2004; Dawkins 2004).

Naturalists and field biologists have long been aware that organisms are not only passive objects of selection, but can modify their environments or use adaptive habitat selection to maximize fitness (Huey et al. 2003), so in that sense Levin’s and Lewontin’s main contribution was to highlight what many already knew, and thereby encourage further investigation of these phenomena. However, it took a couple of more decades until Levin’s and Lewontin’s ideas attracted more interest from modellers. In 2003, Odling-Smee, Laland and Feldman published a book called *Niche Construction—the neglected process in evolution* (Odling-Smee et al. 2003). Building on the original foundations laid by Levins and Lewontin, they further developed the mathematical models of coupled differential equations between *O* and *E*, and argued that niche construction deserved increased attention from evolutionary biologists, as it should be considered an evolutionary process, one potentially of equal importance as natural
selection. While many evolutionary biologists would probably agree that niche construction and phenomena associated with reciprocal causation are interesting and important, Odling-Smee et al. (2003) were also criticized for overstretching the domain of niche construction (Brodie 2005) and their book generated considerable discussion about definitions and domains of this process (Dawkins 2004; Okasha 2005). Interestingly, Odling-Smee et al. (2003) cite Levins and Lewontin (1983) at only one page in the beginning of their volume, and neither of the terms “dialectics” or “Marxism” appear in their index. This is an interesting omission, considering the intellectual and scientific roots of niche construction and the crucial contributions by Levins and Lewontin. It is as if the Cold War was still ongoing in 2003, when Niche Construction was published. However, even the otherwise skeptical John Maynard Smith was not afraid of admitting the fruitful contribution of some aspects of Marxist philosophy to evolutionary theory (Smith 1988, 2001). Interestingly, two of the authors of Niche Construction claim to have taken the advice of Richard Lewontin, who was concerned that the use of the term ‘dialectic’ would lead to their scientific arguments being disregarded as politically motivated (Laland and Odling-Smee, personal communication). Nonetheless, niche construction theory can be viewed as implicitly embracing the dialectical method, by framing itself as a counterpoint to mainstream evolutionary biology (Odling-Smee et al. 2003).

Niche construction and reciprocal causation have recently been used as arguments in calls for an Extended Evolutionary Synthesis (EES), to complement and extend the Modern Synthesis (MS), sometimes also called Standard Theory (SET) (Laland et al. 2015). Proponents of the EES focus on a number of phenomena and processes which they claim cannot be fully accommodated by SET, and they argue that this therefore requires a substantial revision of the causal structure of evolutionary theory (Laland et al. 2015). Among the phenomena and processes that were claimed to be inconsistent with mainstream evolutionary theory were developmental plasticity, niche construction, mutational bias and reciprocal causation (Laland et al. 2015). The theoretical basis for including such a broad list of disparate topics in motivating the EES was recently forcefully criticized by Welch (2016). The call for an EES has also been criticized from several other different angles and perspectives, by both biologists and philosophers (Gupta et al. 2017; Lu and Bourratt 2017; Charlesworth et al. 2017; Futuyma 2017). Among these criticisms against the EES are that these phenomena and processes have already been successfully accommodated by contemporary evolutionary biology and that so-called “soft inheritance” is unlikely to be important in evolution (Brodie 2005; Dickins and Rahman 2012; Welch 2016; Gupta et al. 2017; Lu and Bourratt 2017; Charlesworth et al. 2017; Futuyma 2017).

Here, I discuss this further with a focus on the role of reciprocal causation in the evolutionary process. I show that reciprocal causation features commonly in both empirical investigations and in theoretical models of both ecology and evolution. However, it is seldom explicitly framed as such or couched in terms of reciprocal causation, dialectics, niche construction or the EES. Many evolutionary biologists have already implicitly or explicitly accepted reciprocal causation and unconsciously use dialectical thinking in their research practice. This therefore calls in to question the need for urgent reform of SET and the need for major conceptual revision of evolutionary theory. In this paper, I argue that the main challenge in the study of reciprocal causation is mainly empirical rather than conceptual; namely to use existing analytical, statistical and mathematical tools to analyze reciprocal causation and spread knowledge about these tools to other subfields. I therefore suggest developing and exploiting already existing analytical tools rather than calling for a major revision of evolutionary theory is a more constructive way to move research forward in these areas.

**Reciprocal Causation: Frequency-Dependence, Eco-evolutionary Dynamics and Co-evolution**

Brodie (2005) in his review of Niche construction criticized the Odling-Smee et al. (2003) for painting a biased and misleading view of how evolutionary biologists study selection and its consequences:

The authors work hard to convince the reader that niche construction is a new ‘“extended theory of evolution’” that is a ‘“co-contributor, with natural selection, to the evolutionary process itself’” (p. 370). This argument is based on the somewhat disingenuous contention that evolutionary biologists view natural selection as an abiotic entity that is not influenced or changed by living organisms, and that ‘‘adaptation is conventionally seen as a process by which natural selection shapes organisms to fi pre-established environmental ‘‘templates’’’ (Laland et al. 2004). This straw man is weakened by the long list of similar ideas that the authors themselves describe, from frequency-dependent selection, to coevolution, to cultural inheritance, to maternal effects. Each of these ideas (and many others) points to a general appreciation that selection is a dynamic process that changes as organisms evolve and interact with their environments. The basic tenets of niche construction can be traced back at least as far as Fisher (1930). The oft-misunderstood fundamental theorem apparently included the
assumption that growing populations are expected to degrade their environments so that the positive effects of genetic increases in fitness combine with negative feedback on environmental variation for fitness (Frank and Slatkin 1992). The net result in Fisher’s view was that selection for increased fitness would not lead to any observable change in population mean fitness because evolving organisms modify their environments. The more active sense of engineering an organism’s own selection was captured early on by Mayr’s (1963) notion that behavior leads the evolution of morphology, ecology, and species differences. Through behavioral plasticity, organisms might shift niches, change diets, and move to new habitats, thereby changing selection so that ‘‘other adaptations to the new niche... are acquired secondarily’’ (Mayr 1963, p. 604). The basic premise that organisms interact with selection through a dual-direction causal arrow is not particularly novel or earth-shattering.

From the perspective of an empirical field-oriented evolutionary biologist, I very much agree with Brodie’s characterization of the SET and the MS above. Advocates of niche construction theory would probably counter that while the fact that organisms modify their environments have been widely recognized, SET does not explicitly recognize this organismal agency as a direct cause of evolutionary change (Odling-Smee et al. 2003). Central to this debate is how widely recognized is reciprocal causation among contemporary evolutionary biologists? Here, I discuss this by focusing on some phenomena which all exemplify reciprocal causation.

First, negative frequency-dependent selection (NFDS) is a well-recognized evolutionary process that involves reciprocal causation, and which was known already by the founding fathers of the MS and the early mathematical population geneticists. NFDS was explicitly incorporated in Fisher’s model for sex ratio evolution (Fisher 1930) and investigated in depth by Sewall Wright in terms of its role in maintaining genetic polymorphisms (Wright 1969). Later, NFDS became popular also in behavioural ecology, through evolutionary game theory (Maynard Smith 1982). Empirically, NFDS has been identified and studied in several field and laboratory systems, and it is a dynamic research field that has grown out of SET (Sinervo and Lively 1996; Sinervo et al. 2000; Svensson et al. 2005; Neff and Svensson 2013; Zhang et al. 2013; Le Rouzic et al. 2015). The importance of NFDS is by no means restricted to its role in maintaining genetic polymorphisms within local populations, but it can also affect population performance such as stability, productivity or extinction risk (Takahashi et al. 2014). Negative frequency-dependence might also be an important process in community ecology, where it can preserve biodiversity through rare-species advantages (Wills et al. 2006). NFDS is an example of a negative feedback loop in which a genotype’s fitness is negatively regulated by its own frequency.

![Fig. 1](https://example.com/figure1.png)

**Fig. 1** Three examples of reciprocal causation and feedback in the evolutionary process. a Negative frequency-dependent selection (NFDS), exemplifies negative (regulatory) feedback between genotype frequency and fitness. As a genotype increases in frequency, its fitness declines, leading to the preservation of genetic diversity and genetic polymorphisms locally. The genotype thus “constructs” its own selective environment by regulating its own fitness, and the selection coefficient on the genotype changes dynamically with changing frequency. b Positive frequency-dependent selection (PFDS), exemplifies positive feedback between a genotype’s frequency and its fitness, leading to the local fixation of the most common phenotype. c Enemy-victim coevolution is an example of a negative feedback, that can either lead to stable equilibria or co-evolutionary cycles (e.g. “Red Queen” evolutionary dynamics). The enemy and the victim can belong to different species (e.g. predators or prey, parasites or hosts) or the same species (e.g. males and females)
reciprocal causality (Fig. 1b). Under PFDS, a genotype’s fitness will increase as it becomes more common. PFDS leads to the loss of polymorphism and the fixation of locally common genotypes, in contrast to NFDS which plays a conservative role in population divergence (Svensson et al. 2005; cf. Fig. 1a vs. b). One classical example where PFDS plays an important role in evolution is Müllerian mimicry in Heliconus-butterflies, where locally common warning colouration patterns are favoured due to predator learning (Chouteau et al. 2016). Another example comes from classical models of sexual selection through female choice, in particular the Fisher-Lande-Kirkpatrick model (Fisher 1930; Lande 1981; Kirkpatrick 1982; Prum 2010). In this model, there is genetic variation in both female preferences for a male secondary sexual trait and the male trait itself. Female choice and non-random mating leads to the buildup of linkage disequilibrium (LD) between female preference alleles and male trait alleles, and a genetic correlation between these disparate traits forms, even if the traits are governed by separate sets of loci (Kirkpatrick 1982). Provided that this genetic correlation becomes of sufficiently high magnitude, a tipping point might be reached and a “runaway” process can get started whereby PFDS drives male-expressed trait alleles and the corresponding female preference alleles to fixation (Prum 2010). The important message here is that selection becomes self-reinforcing: given set of preferences, male-expressed trait-alleles spread through the synergistic effects between PFDS and the LD that was generated by the female preference. Thus, not only do the traits of males and females evolve, but so does the selective environment itself—an excellent example of reciprocal causation and feedback between organism and environment (Levins and Lewontin 1985). Recently, positive frequency-dependence has also been suggested to be important in maintaining diversity of ecologically equivalent species (e.g. those formed by sexual selection alone) on regional scales (M’Gonigle et al. 2012), and it might also play an important role in community ecology through priority effects (De Meester et al. 2016). Even more generally, positive feedback and runaway processes have been suggested to be important in human social evolution in coalition formation and cooperative networks (Gavrilets et al. 2008) and in ecosystem ecology and climate science (Scheffer et al. 2001; Malm 2007).

Reciprocal causation has also a key role in the field of “eco-evolutionary dynamics” (Schoener 2011; Hendry 2016), where the focus are the bidirectional feedback between ecological (e.g. population dynamics) and evolutionary processes (e.g. genetic change) and this tradition can be traced back more than four decades (Roughgarden 1971; Charlesworth 1971).

However, also positive frequency-dependent selection (PFDS) is an important evolutionary process that exemplifies reciprocal causality (Fig. 1b). Under PFDS, a genotype’s fitness will increase as it becomes more common. PFDS leads to the loss of polymorphism and the fixation of locally common genotypes, in contrast to NFDS which plays a conservative role in population divergence (Svensson et al. 2005; cf. Fig. 1a vs. b). One classical example where PFDS plays an important role in evolution is Müllerian mimicry in Heliconus-butterflies, where locally common warning colouration patterns are favoured due to predator learning (Chouteau et al. 2016). Another example comes from classical models of sexual selection through female choice, in particular the Fisher-Lande-Kirkpatrick model (Fisher 1930; Lande 1981; Kirkpatrick 1982; Prum 2010). In this model, there is genetic variation in both female preferences for a male secondary sexual trait and the male trait itself. Female choice and non-random mating leads to the buildup of linkage disequilibrium (LD) between female preference alleles and male trait alleles, and a genetic correlation between these disparate traits forms, even if the traits are governed by separate sets of loci (Kirkpatrick 1982). Provided that this genetic correlation becomes of sufficiently high magnitude, a tipping point might be reached and a “runaway” process can get started whereby PFDS drives male-expressed trait alleles and the corresponding female preference alleles to fixation (Prum 2010). The important message here is that selection becomes self-reinforcing: given set of preferences, male-expressed trait-alleles spread through the synergistic effects between PFDS and the LD that was generated by the female preference. Thus, not only do the traits of males and females evolve, but so does the selective environment itself—an excellent example of reciprocal causation and feedback between organism and environment (Levins and Lewontin 1985). Recently, positive frequency-dependence has also been suggested to be important in maintaining diversity of ecologically equivalent species (e.g. those formed by sexual selection alone) on regional scales (M’Gonigle et al. 2012), and it might also play an important role in community ecology through priority effects (De Meester et al. 2016). Even more generally, positive feedback and runaway processes have been suggested to be important in human social evolution in coalition formation and cooperative networks (Gavrilets et al. 2008) and in ecosystem ecology and climate science (Scheffer et al. 2001; Malm 2007).

Reciprocal causation has also a key role in the field of “eco-evolutionary dynamics” (Schoener 2011; Hendry 2016), where the focus are the bidirectional feedback between ecological (e.g. population dynamics) and evolutionary processes (e.g. genetic change within populations). Eco-evolutionary dynamics is expected when ecological and evolutionary time scales converge, such as in the case of rapid evolution, e.g. due to human-induced environmental changes (Hendry et al. 2017). Under such scenarios does not only ecological change affect genetic change, but also vice versa: genetic changes can feed back into to ecology and influence population dynamics (Sinervo et al. 2000; Yoshida et al. 2003). Note that this breakdown of the separation between ecological and evolutionary time scales can be viewed as a problem for certain modelling approaches, such as Adaptive Dynamics (AD), where a strict separation between ecological and evolutionary processes is a core assumption (Dieckmann and Doebeli 1999; Waxman and Gavrilets 2005). Such dynamic feedback between ecology and evolution will without doubt continue to be explored in the future, and they show that reciprocal causation forms a key part of a rapidly growing research field that has largely developed independently from niche construction theory.

Interestingly, Schoener (2011) called eco-evolutionary dynamics for “the newest synthesis” between ecology and evolution. Schoener’s call for this new synthesis was independent of Pigliucci, Laland and colleagues (Pigliucci 2007; Pigliucci and Mühr 2010; Laland et al. 2015). From a strict empirical viewpoint, eco-evolutionary dynamics have contributed more towards the development of a concrete empirical research program in a shorter time than has the EES so far, although it is only recently that the latter has tried to formulate an empirical research program (Laland et al. 2015). I also agree with Welch (2016) that there is an unfortunate tendency in evolutionary biology to repeatedly use the richness of understudied and underappreciated phenomena and processes in our field as arguments for the urgent need of reform and to proclaim the arrival of new syntheses. It is worth emphasizing that there are also several other, more or less parallel attempts to call for new evolutionary synthesis, which are either based on conceptual considerations (Arnold 2014) or on new methods (Losos et al. 2013). These other...
synthesis-attempts are more modest in their scope than the EES, the latter which embraces an explicit counterpoint to SET, i.e. a dialectical approach.

Reciprocal causation is also a key component in studies of co-evolution, either within or between species, such as enemy–victim interactions (Fig. 1c). For instance, under interlocus contest evolution (ICE) between male and female over mating rates (i.e. sexual conflict), males evolve traits that increase their mating success, whereas females evolve resistance towards excessive male mating harassment (Rice and Holland 1997). Under certain conditions, such antagonistic male–female sexual interactions can lead to interspecific co-evolutionary arms races (Rice 1996) and even speciation (Gavrilets 2000). Females can also evolve either resistance or tolerance to male mating harassment (Arnqvist and Rowe 2005; Gosden and Svensson 2009; Svensson and Råberg 2010), and such sexual antagonism can also, as an alternative outcome, result in the evolution of male and female genetic clustering or polymorphisms (Gavrilets and Waxman 2002; Svensson et al. 2009; Karlsson et al. 2013, 2014; Le Rouzie et al. 2015). These antagonistic male–female interactions sometimes result in “Red Queen”-dynamics and co-evolutionary feedback between male and female fitness interests (Rice and Holland 1997). This exemplifies how reciprocal causation is deeply embedded in the empirical research traditions of evolutionary genetics and evolutionary ecology. Reciprocal causation is also embedded in the idea of geographic coevolutionary selection mosaics across the landscape of interacting species (Gomulkiewicz et al. 2000; Nuismer et al. 2000; Thompson 2005). As in the case of frequency-dependent selection and eco-evolutionary dynamics, appreciation of reciprocal causation is the norm rather than the exception among many active empiricists in evolutionary biology.

Reciprocal causation have also been explicitly incorporated in models and in empirical investigations in the evolutionary quantitative genetics tradition, where researchers are using the statistical methods that were developed by Russel Lande and Stevan Arnold (Lande 1976; Lande and Arnold 1983; Endler 1986). Examples of such studies incorporating reciprocal causality include indirect genetic effects in social evolution (Moore et al. 1998; Wolf et al. 2001), the evolutionary dynamics of maternal effects (Kirkpatrick and Lande 1989; Wade 1998) and analyses of how interspecific interactions shape selection pressures on phenotypic traits (Ridenhour 2005). Empirical selection studies nowadays are not only aiming to quantify selection differentials and selection gradients, but researchers actively strive to understand the ecological causes of selection, whether those causes are predators, intra- or interspecific competitors (Wade and Kalisz 1990; Svensson and Sinervo 2000; Svensson and Friberg 2007; Calibek and Smith 2007; Calibek et al. 2012; Kuchta and Svensson 2014). It is a very long time ago since evolutionary ecologists were simply satisfied by having quantified selection (Lande and Arnold 1983; Wade and Kalisz 1990). Nowadays, evolutionary ecologists are busy understanding the ecological causes of selection (Siepielski et al. 2017) and few journals in evolutionary biology publish studies where selection coefficients are simply presented without any ecological context (and rightly so).

This brief review illustrates how positive and negative feedback is common in many evolutionary subfields. I therefore agree with the advocates of the EES that reciprocal causation is important in evolution, but I also agree with critics who maintain that reciprocal causation is already well recognized within contemporary evolutionary biology research.

Analytical and Empirical Tools for Studying Reciprocal Causation

As I have discussed and exemplified above, reciprocal causation is hardly controversial among evolutionary biologists and widely recognized in several subfields of evolutionary biology. Moreover, reciprocal causality has been recognized as important for several decades and well before the formalization of niche construction (Odling-Smee et al. 2003) and more recent calls for an EES (Laland et al. 2015). Few empirical and theoretical evolutionary biologists today adhere to a simple unidirectional causality. Even Ernst Mayr himself expressed a more dynamical view of causality in other contexts and publications than he did in his distinction between proximate and ultimate causes (Laland et al. 2011). Mayr’s views of the role of behaviour as a “pace maker” in evolution (Mayr 1963), strikes me as being much more sophisticated than the picture of unidirectional causality that has been described by Laland et al. (2011). Mayr’s view of a crucial role of behaviour in the evolutionary process is clearly compatible with feedback between the organism and its environment. Mayr’s surprisingly early insights on the issue has clear similarities with similar views expressed several decades later by West-Eberhard, Levins and Lewontin (West-Eberhard 1983; Levins and Lewontin 1985), albeit not developed in detail by him.

If reciprocal causation is then so widely recognized—at least in several key fields—why then is it not more studied? Here I question the claim that there is a major conceptual barrier to recognize reciprocal causation, as maintained by the architects of niche construction theory and the EES (Odling-Smee et al. 2003; Laland et al. 2011, 2015). Rather, the answer is probably that there are enormous logistical and empirical challenges, and not all researchers are aware of suitable analytical tools. Progress in the field of evolutionary biology is perhaps more often limited to methods these days than to lack of conceptual insights. It therefore becomes more urgent to communicate between subfields so that
researchers become aware of which analytical and empirical tools that are already available, but which are underutilized. I therefore agree fully with Laland et al. (2013) that different subfields in biology should become better integrated. However, I doubt that such integration will be facilitated by the EES in its currently rather vague form. Rather, the main motivation for fostering integration between different fields in biology is that statistical, mathematical and other analytical tools suitable for studying reciprocal causation are underutilized in some subfields, and scientific communication would facilitate their spread.

One such tool that is clearly underutilized in many areas of evolutionary biology and which is excellently suited to analyze direct and indirect effects is path analysis and structural equation modelling (SEM) (Shipley 2002; see also; Laland et al. 2011). Although path analysis has been advocated as a suitable tool in selection analyses on phenotypic traits (Kingsolver and Schemske 1991), path analyses of selection are still relatively few (Sinervo and DeNardo 1996). This is unfortunate, as path analyses and SEM are powerful tools to incorporate information about how the development and expression of phenotypic traits are influenced by local social, biotic and abiotic environments, and how traits in turn affect fitness and can be directly linked to selective environments (Svensson et al. 2001; Gosden and Svensson 2009). Path analysis can also be combined with experimental manipulations—either of phenotypic traits, of local selective environments, or both (Sinervo and Basolo 1996; Svensson and Sinervo 2000). Integrative studies combining path analysis, analysis of causation and experimental manipulations will increase our knowledge about organism-environment feedback and the role of such feedback in the evolutionary process (Svensson et al. 2002). Empirical information from covariance or correlation matrices can be translated into causal quantitative models, whereby SEM provides a powerful tool to evaluate the fit of various alternative models (Shipley 2002). However, it should be noted that path analysis and SEM do also have their limitations, and currently these approaches cannot handle cyclical graphs and all forms of causal structures (Shipley 2002). For this and other reasons, experimental manipulations of traits (Mitchell-Olds and Shaw 1987), selective environments (Wade and Kalisz 1990) or both (Svensson and Sinervo 2000) are safer tools to verify causality than relying only on statistical approaches, such as causal graphs, SEM and related techniques.

Another underutilized tool to study reciprocal causation in the evolutionary process is time-series analysis (Le Rouzic et al. 2015). Time-series analysis has perhaps been more used by ecologists interested in population dynamics than by evolutionary biologists, but it holds great promise as a tool to infer the processes driving ecological and genetic dynamics of interacting genotypes within species (Moocroft et al. 1996; Pemberton et al. 1998; Sinervo et al. 2000; Le Rouzic et al. 2015) or in analyses of interspecific interactions (Yoshida et al. 2003). Time-series analysis could be especially powerful if it would be combined with experimental manipulations of putative causal ecological agents of selection (Wade and Kalisz 1990; Svensson and Sinervo 2000). I anticipate that evolutionary time-series analysis will become an important tool in future studies dealing with eco-evolutionary dynamics, intra- or interspecific co-evolutionary processes in natural populations (Le Rouzic et al. 2015; Hendry 2016).

Other promising research approaches to investigate reciprocal causation and dynamic feedback between organisms and their local environments include studies of non-random dispersal with respect to phenotype or genotype (Edelaar et al. 2008; Eroukhmanoff et al. 2011) and consequences for matching habitat choice (Edelaar and Bolnick 2012), phylogenetic comparative studies on the dynamics of niche evolution (Wiens 2011; Wiens et al. 2011), and experimental field studies on how animals use regulatory behaviours to maintain physiological homeostasis (Huey et al. 2003). Taken together, there is a rich diversity of powerful empirical and analytical tools available to evolutionary biologists who are seriously interested in understanding how reciprocal causation and dynamic feedback between ecological and evolutionary processes influence organisms, from individuals to populations, species and higher taxa.

Can Evolutionary Quantitative Genetics Provide a Bridge Between SET and the EES?

Laland et al. (2015) reviewed and compared the structures, assumptions and predictions of the EES and contrasted these against the MS. Among the core assumptions of the MS that they identified were “The pre-eminence of natural selection” and “Gene-centred perspective” (their Table 1). They further criticized the “blueprint”, “program” and “instruction” metaphors in genetics and the MS. In their criticism of MS and SET, Laland et al. (2015) wish to extend the domain of reciprocal causation from the interaction between ecological and evolutionary processes (as discussed in this article) to the domain of organismal development, or what they call “constructive development”. I will not dwell too deeply into this here, due to space limitations, except that I note that there is of course no a priori reason why reciprocal causation and dialectical thinking should not be possible to apply also to development. However, constructive development is also perhaps the aspect of the EES that is most controversial and which has so far been met with most resistance. Nevertheless, the increasing interest in epigenetic inheritance is certainly justified and will most likely lead to new empirical insights. Clear cases of epigenetic inheritance now exist.
(Dias and Ressler 2014) and it is now mainly an empirical issue to understand the importance of such effects and how widespread they are (Charlesworth et al. 2017). Here, I take issue with some of the claims by Laland et al. (2015), and I argue that their characterization of MS and SET provides a wrong, or at least a very biased, picture of the state-of-the-art research in modern evolutionary biology. I also suggest that Laland et al. (2015) have underestimated the flexibility and scope of evolutionary genetics, particularly evolutionary quantitative genetics.

With respect to Laland et al.’s (2015) claim of the pre-eminence of natural selection in contemporary evolutionary biology, it must be emphasized that most evolutionary biologists today, including many molecular population geneticists would strongly disagree (see Welch 2016 and; Charlesworth et al. 2017 for further discussion). On the contrary, leading molecular population geneticists are highly critical of what they consider an excessive adaptationist research programme in some areas of evolutionary and behavioural ecology. Some leading evolutionary biologists would instead argue that random processes such as genetic drift should more often be used as a null modell and point of departure, before invoking natural selection (Lynch 2007; Charlesworth et al. 2017). Historically, and from the very beginning of the MS, the non-adaptive process of genetic drift was considered to have a much more powerful evolutionary role than it perhaps deserved to have, something which only became clear after extensive empirical investigations in both the field and in laboratory studies (Provine 1986).

With respect to Laland et al.’s (2015) further characterization of the MS as gene-centred, many organismal biologists and evolutionary ecologists would strongly disagree (see also Futuyma 2017). Evolutionary quantitative genetics focus on whole organisms and use measurements of phenotypic traits (variances and covariances) as its point of departure, and thereby ignores underlying molecular genetic and developmental mechanisms behind these traits (Lynch and Walsh 1998). This might be perceived as a weakness with the evolutionary quantitative genetics approach, but it can also be perceived as a strength (Steppan et al. 2002). Through this procedure, quantitative genetics become liberated from the tyranny of genetic details in classical population genetics, as argued forcefully recently by Queller (2017).

Laland et al.’s (2015) call for more appreciation of constructive development is certainly compatible with evolutionary and quantitative genetics theory and methods. For instance, gene expression is often strongly environment-dependent (e.g. Lancaster et al. 2016) and that such environment-dependent gene expression is also often likely to be heritable. Likewise, it is not controversial that genes, environmental conditions, gene–gene interactions (epistasis) and gene-by-environment interactions (GEI:s) all influence the development of the adult phenotype (Lynch and Walsh 1998). Moreover, the trait variance decomposition approach in quantitative genetics would work equally well in a non-DNA world with non-genetic inheritance, as long as there is trait heritability, i.e. this mechanism-free approach is general and flexible. For instance, the Price Equation does not assume that heredity is based on DNA, but is based on the phenotypic resemblance between relatives, such as parents–offspring covariance (Frank 1995, 1997). Thus, the quantitative genetic approach does already present a substantial extension of classical population genetics from which it grew out from, and could potentially be extended further to account for various forms of non-genetic inheritance, such as ecological inheritance (see Helanterä and Uller 2010 for discussion). Quantitative genetics does therefore already partly take constructive development into account by modelling not only additive genetic variances and covariances, but also environmental components, dominance variation, epistasis and GEI:s (Lynch and Walsh 1998). Few evolutionary biologists and quantitative geneticists today would argue that the genotype-phenotype map is perfectly linear, that all genetic variation is additive and few would deny that genes interact with other genes and with environments during organismal development.

The possibilities of genetic assimilation and genetic accommodation that have been put forward in criticisms of SET by proponents of EES (Laland et al. 2015) as well as by West-Eberhard (2003) have actually already been successfully modelled using quantitative genetic approaches (Price et al. 2003; Lande 2009). Evolutionary quantitative genetics can be used to model reaction norm evolution, canalization and phenotypic plasticity, e.g. by treating slopes and intercepts of reaction norms as separate traits, which can be connected through genetic correlations (Chevin et al. 2010). Furthermore, developmental bias, put forward by EES-proponents as a challenge to SET does not by any means provide any major conceptual or methodological difficulty for contemporary evolutionary theory. Instead, such developmental bias can be viewed as the mechanistic basis of genetic trait correlations, which can bias evolution along “genetic lines of least resistance” (Schluter 1996). Proximate (“mechanistic”) and ultimate (“evolutionary”) explanations are therefore complementary to each other, rather than being mutually exclusive (Sinervo and Svensson 1998). When both these forms of explanations are considered jointly, they provide a richer understanding of organismal biology compared to when each type of explanation is considered alone. For instance, life-history trade-offs can be studied either by estimating genetic correlations between traits or dissecting the mechanistic basis of such trait correlations, by combining quantitative genetics with experimental manipulations of hormonal pleiotropy (Sinervo and Svensson 1998). Mechanistic and evolutionary perspectives therefore complement
each other and little conceptual insight would therefore be gained by abandoning the distinction between proximate and ultimate causation (Futuyma 2017), in contrast to the claims made by Laland et al. (2011). Given previous successful attempts to combine mechanistic and evolutionary biology through evolutionary quantitative genetic and experimental approaches, there is therefore ground for optimism that eventually new insights from evolutionary developmental biology and epigenetics will become successfully integrated into modern evolutionary biology research (Futuyma 2017).

Moreover, the different variance components in quantitative genetics are not static, but are dynamic and can evolve. For instance, after population bottlenecks, epistatic variance can be converted to additive genetic variance (Meffert et al. 2002) and models of the Fisherian Runaway process of sexual selection have revealed that genetic covariances can evolve through a dynamic feedback between the selective environment (female choice) and male secondary sexual traits (Kirkpatrick 1982). It is also worth emphasizing that natural selection can be viewed as both an ultimate and proximate explanation, as argued recently by Gupta et al. (2017). The process of natural selection has actually nothing to do with genetics, and questions about the causes of selection are also questions about ecological selective agents, which have their origin in the external environment (Wade and Kalisz 1990). Therefore, in the evolutionary quantitative genetics research tradition, genes are certainly not the main causal agents explaining evolution by natural selection; it is instead the selective environment that is the main causal agent (cf. Brandon 1990; Wade and Kalisz 1990).

In their call for an EES Laland et al. (2015) asked for greater appreciation for reciprocal causation in evolutionary biology, but argued that:

However, reciprocal causation has generally been restricted to certain domains (largely to direct interactions between organisms), while many existing analyses of evolution, habit- or frequency-dependent selection are conducted at a level (e.g. genetic, demographic) that removes any consideration of ontogeny. Such studied do capture a core structural feature of reciprocal causation in evolution—namely, selective feedback—but typically fail to recognize that developmental processes can both initiate and co-direct evolutionary outcomes (p. 7. Laland et al. 2015).

Laland et al. (2015) thus admit that reciprocal causation is and has often been studied by evolutionary biologists, but they argued that ontogeny and development should be incorporated in such analyses. I hardly disagree here, and I think incorporating the role of development and ontogeny in studies of (say) frequency-dependent selection, eco-evolutionary dynamics, co-evolution and analyses of selection is likely to yield many novel and important insights. However, the reason that development has not been incorporated in that many previous studies in this field is not that the researchers in question rely on an outdated and simple view of unidirectional causation. The reason is more likely a practical one: it is extremely difficult and empirically challenging to understand and study reciprocal causation even at single ontogenetic level, such as among adults. I therefore disagree with Laland et al. (2011, 2013) in their suggestion that the lack of consideration of development in past studies is due to the lasting legacy of Ernst Mayr’s proximate-ultimate dichotomy, and that evolutionary biologists in general adhere to an outdated view of unidirectional inheritance. Rather, the lack of studies of this kind reflect legitimate and difficult empirical challenges. I am not convinced that the EES-framework alone can solve these problems, unless some more concrete novel methodological or analytical tools are provided.

Moreover, evolutionary geneticists and evolutionary ecologists have actually paid attention to the interplay between ontogeny and selection. For instance, researchers have modelled and investigated how selection pressures change both in magnitude and sign during the organism’s life cycle (Schluter et al. 1991; Barrett et al. 2008). Moreover, there is much interest and ongoing theoretical and empirical research aiming to integrate and model the interaction between intraindividual and interindividual conflict at the adult stage over the reproductive interests of males and females, with intralocus sexual conflict experienced earlier in ontogeny (Rice and Chippindale 2001; Chippindale et al. 2001; Barson et al. 2015; Pennell et al. 2016). There is also an increased appreciation of how alternative reproductive strategies shape ontogenetic trajectories, and how the same ontogenetic trajectories in turn affect adult phenotypes (Neff and Svensson 2013), another example of reciprocal feedback during development.

Conclusions

Reciprocal causation is frequent in many studies of evolutionary processes, particularly those involving interactions between organisms, both within or between species. Research on reciprocal causation therefore has a long tradition in evolutionary biology. Reciprocal causation was studied well before the recent calls for an EES, although not explicitly under the umbrellas of niche construction, developmental plasticity or organism-environment feedback. Evolutionary biologists today—particularly those working at the interface between ecology and evolution seldom have the simplified view of unidirectional causation as sometimes claimed, and reciprocal causation is already an essential part of the conceptual framework of many empirical biologists. Apart from the subfields I have discussed in this article, there are also several other emerging areas where reciprocal causation is deeply embedded. For instance, non-random
were preceded by other calls in the past (Gould 1980). How-

ight 1998) and between researchers focusing on micro- vs.

Frank and Slatkin 1992; Coyne et al. 2000; Wade and Good-

different branches of population genetics (Provine 1986;

flicting perspectives on evolutionary biology, both between

questioned the existence of the MS as a clearly separated

al or statistical tools that moves the research field forward.

The perspective put forward in this article is largely an

empiricist one. While I disagree with Laland et al. (2011,

that reciprocal causality is that neglected in evolutionary

biology, I fully agree with them that it should become

more widely appreciated and studied. The study of recipro-

cal causation need to move beyond rhetoric and position

papers and become operational. The insights that organ-

isms construct their own environments and appreciation of

organism-environment feedback as well as the discussion

whether there exist empty niches (or not) are all interest-

ing but they need to be translated to a rigorous empirical

research program, for instance, by utilizing some of the ana-

lytical tools I have discussed in this article. It remains to be

seen if and how the EES can be translated in to a productive

research program, but an attempt to do this is now underway

(http://extendedevolutionarysynthesis.com/). The widespread

existence of reciprocal causation should not be taken as an

argument that cause-effect relationships are empirically

impossible to study, but should rather motivate researchers

to dissect long causal chains in to smaller operational study

units to better understand the evolutionary process. Any

system of temporally separated factors of reciprocal causa-

tion can potentially be broken down in to separate linear

causal links in a longer chain of events to facilitate analysis

and better understand the system in question (cf. Gardner

2013). Of course, we need to appreciate the crucial differ-

ence between the ecological and selective environment (Lev-

ins and Lewontin 1985; Brandon 1990), but this conceptual

challenge should not hinder the development of operational

research tools in empirical studies.

Reciprocal causation is already deeply embedded in

many—perhaps the majority—of evolutionary processes, and

should therefore be a natural and major research focus.
Although broader appreciation of the role of reciprocal causation is unlikely to lead to a new paradigm shift and thus not a sufficient reason to call for major revision of evolutionary theory, reciprocal causation is nevertheless a good example of how Marxist philosophy and dialectical thinking have had a positive influence on the development of our field. Early insights about reciprocal causation can of course also be traced from other research traditions than Engels’ dialectical methods, such as from cybernetics (Wiener 1948) and from cyclical causal dependencies in early predator–prey models (Lotka 1910; Volterra 1926). We should nevertheless not hesitate to embrace the concept of reciprocal causality and acknowledge the contributions of Levins and Lewontin and the dialectical method (Levins and Lewontin 1985). Engels’s surprisingly early insights and his dialectical method can—if it is applied critically as a method rather than being treated as a natural law—can still provide important insights to understand evolutionary processes. For instance, the dialectical principle of the transformation of quantity into quality can be understood as an early insight by Engels of phase transitions, non-linear changes, hysteresis, critical thresholds, tipping points and rapid (non-gradual) switches between alternative states in ecology and evolution. Such ideas have been successfully incorporated in models of human social evolution (Carneiro 2000; Gavrilets et al. 2008), reproductive isolation and speciation (Gavrilets and Gravner 1997; Nosil et al. 2017) and in ecosystem ecology (Scheffer et al. 2001). Likewise, it is tempting to interpret Maynard Smith’s interest later in life for major evolutionary transitions (Smith and Szathmáry 1988) at least as partly influenced by his background in Marxist philosophy and appreciation of dialectics, as this is an excellent example of the transformation of quantity to quality in evolutionary biology.

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Compliance with Ethical Standards

Conflict of interest The author declares no conflict of interest.

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