Metabolic Screening After the American Diabetes Association’s Consensus Statement on Antipsychotic Drugs and Diabetes

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OBJECTIVE — Several second-generation antipsychotic (SGA) drugs have been associated with weight gain, hyperglycemia, and dyslipidemia. We evaluated whether glucose and lipid testing increased after the American Diabetes Association (ADA) consensus statement recommending metabolic monitoring for SGA-treated patients.

RESEARCH DESIGN AND METHODS — Laboratory claims for serum glucose and lipid testing were identified for an incident cohort of 18,876 adults initiating SGA drugs in a U.S. commercial health plan (2001–2006) and a control group of 56,522 adults with diabetes not receiving antipsychotics. Interrupted time-series models were used to estimate the effect of ADA recommendations on baseline and annual testing trends after adjusting for differences in age, sex, mental health diagnoses, and cardiovascular risk using propensity score matching.

RESULTS — Mean baseline testing rates for SGA-treated patients during the study period were 23% (glucose) and 8% (lipids). Among persistent users of SGA medication, annual testing rates were 38% (glucose) and 23% (lipid). Before the ADA statement, screening rates for SGA-treated patients were increasing (glucose: baseline 3.6% per year, annual 7.2% per year; lipid: baseline 1.2% per year, annual 4.8% per year, P < 0.001 for each trend). Increases were similar to background testing trends in control subjects. The ADA statement was not associated with an increase in screening rates.

CONCLUSIONS — In a commercially insured population, glucose and lipid testing for SGA-treated adults was infrequent. A gradual increase in screening rates occurred over the 6-year period, but the changes were not temporally associated with the ADA statement. More effort is needed to improve diabetes and dyslipidemia screening in these at-risk patients.

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Metabolic screening and second-generation antipsychotics

RESEARCH DESIGN AND METHODS — Administrative claims data from four commercial health insurance plans in the U.S., representing ~9 million eligible members, were analyzed. This study did not involve patient intervention or use protected health information and was therefore exempt from institutional review board review. A cohort of 18,876 adults initiating one of six widely used SGA drugs (anritiaprole, olanzapine, quetiapine, risperidone, or ziprasidone) during January 2001 through December 2006 was identified from pharmacy claims. A second control cohort of 56,522 adults with diabetes not receiving SGA medication was identified by the presence of a diabetes diagnosis in the medical claims or a prescription claim for an antihyperglycemic medication. The purpose of the control cohort was to compare glucose and lipid testing rates in SGA users with background trends for the same commercial plans and time period in a group of patients indicated for metabolic monitoring. We hypothesized that glucose and lipid testing rates in adults with diabetes would not be affected by the antipsychotic warnings and ADA consensus statement and could therefore provide a control for other temporal trends on testing rates within these commercial plans. The index date for both cohorts was the date of the first qualifying prescription or medical claim. Patients had continuous eligibility for 1 year before through 1 month after the index date for baseline testing outcomes or through 1 year after the index date for annual testing outcomes.

Assessment of glucose and lipid testing
Glucose testing was identified if a medical claim with an American Medical Association Current Procedural Terminology (CPT) code for a metabolic or general health panel (80048, 80050, and 80053) or glucose-specific serum test (82947, 82948, 82950, and 82951) was present. Lipid testing was identified if a CPT code for a lipid panel (80061) or lipid-specific serum test (82947, 82948, 82950, and 82951) was present. Baseline was operationalized as testing occurring 30 days before through 30 days after the index date. Annual monitoring was operationalized as testing occurring 31–365 days after the index date. We recognize that glucose testing rates in patients with diabetes will tend to underestimate absolute rates of glycemic monitoring, which routinely include the use of glycated hemoglobin and home testing with portable glucometers. For this reason, we only compare temporal trends in serum testing rates.

Patient characteristics
Disparities in diabetes care have been associated with mental disorders (9). Mental health disorders were identified using ICD-9 diagnosis codes ascertainned from medical claims in the 12 months before the index date and classified into eight categories using Clinical Classifications Software (CCS) coding developed by the Agency for Health Care Research and Quality (15) (affective disorders, alcohol and substance abuse, anxiety and personality disorders, preadul disorders, senility, schizophrenia, other psychoses, and other mental conditions).

Dyslipidemia, hypertension, and heart disease are risk factors for type 2 diabetes and should trigger more frequent screening. To adjust for differences in cardiovascular risk between SGA users and diabetic control subjects, individuals with preexisting dyslipidemia, hypertension, and heart disease were identified using medical and pharmacy claims for 365 days before the index date. Dyslipidemia was defined as a dyslipidemia diagnosis or prescription for a cholesterol-lowering drug. Hypertension was defined as a hypertension diagnosis or prescription for an antihypertensive drug. Heart disease was defined as a diagnosis for acute myocardial infarction, coronary atherosclerosis, pulmonary heart disease, or other heart diseases using CCS classification. Age (at the index date) and sex are also associated with the likelihood of diabetes screening and were analyzed. Race/ethnicity was unavailable for analysis.

Analytic strategy
A quasiexperimental design was used to evaluate quarterly trends in baseline and annual glucose testing rates for patients initiating SGA drug therapy before versus after publication of the ADA consensus statement (first quarter 2004) using segmented time-series regression corrected for first-order autocorrelation (16). To
control for differences in baseline patient characteristics when comparing temporal trends between SGA users and diabetic control subjects, a nearest neighbor 1:1 matched cohort of case and control subjects was created for each quarter using propensity score matching on patient age, sex, number of mental health disorders, and presence of preexisting cardiovascular risk (dyslipidemia, hypertension, or heart disease) (17). This resulted in a propensity-matched cohort of SGA-treated patients and control subjects \((n = 8,759)\) pairs. The analysis of annual testing rates was performed in persistent users of SGA medication, who theoretically would be at greater risk for developing long-term metabolic side effects than patients receiving time-limited therapy. Persistent SGA users had a maximum gap in therapy \(\leq 30\) days during the initial 365 days of therapy (18). Using the same propensity-matching methods, a cohort of persistent SGA-treated patients and matched control subjects \((n = 2,218)\) pairs. Frequency of metabolic testing among SGA users starting therapy in 2005, the most recent year after the ADA statement with sufficient follow-up to report both baseline and annual rates, was compared in patients with versus without preexisting diabetes using \(\chi^2\) tests. All analyses used STATA version 10 (Stata, College Station, TX).

RESULTS — Table 1 summarizes patient characteristics for adults starting SGA therapy and for diabetic control subjects who did not receive antipsychotic medication. Users of SGA medication were younger than the average adult with diabetes and more likely to have a recorded mental health diagnosis, \((80.7\% \text{ vs. } 26.5\%, P < 0.0001)\) but were less likely to have identified dyslipidemia, hypertension, or heart disease \((51.0\% \text{ vs. } 69.0\%, P < 0.0001)\). Distribution of SGA drugs before versus after the consensus statement was significantly different \((P < 0.001)\) (aripiprazole: \(2\% \text{ vs. } 11\%\); olanzapine: \(48\% \text{ vs. } 25\%\); quetiapine: \(21\% \text{ vs. } 40\%\); risperidone: \(26\% \text{ vs. } 21\%\); and ziprasidone: \(3\% \text{ vs. } 4\%\)).

Mean baseline testing rates for SGA-treated patients during the study period were \(23\%\) (glucose) and \(8\%\) (lipids). Trends in baseline testing for all SGA-treated patients are presented in Fig. 1. Baseline testing rates were increasing for glucose \((0.9\% \text{ per quarter})\) and lipid testing \((0.4\% \text{ per quarter})\) before the consensus statement. There was not a significant increase, or “step change,” in baseline glucose testing during the quarter in which the consensus statement was published \((P = 0.80)\). The positive growth observed in baseline glucose testing rates before the consensus statement was attenuated after the statement, as evidenced by a negative change in the slope, or “trend change,” of \(-0.8\% \text{ per quarter} (P < 0.01)\). For baseline lipid testing, there was a small positive step change during the quarter the consensus statement was published \((1.5\%, P = 0.02)\); however, the positive growth in baseline lipid testing was also attenuated after the statement as evidenced by a negative trend change after the statement \((-0.5\% \text{ per quarter}, P < 0.001)\).

Baseline testing trends for SGA-treated patients were similar to background testing trends in matched subjects with diabetes. Before the consensus statement, baseline glucose testing was increasing at similar rates in SGA patients \((0.8\% \text{ per quarter} [95\% \text{ CI } 0.4–1.3])\) similar to those in matched patients with diabetes \((1.2\% \text{ per quarter} [0.6–1.8])\) before. Baseline lipid testing rates were also increasing before the statement, although at a slightly slower rate for SGA patients \((0.5\% \text{ per quarter } [0.4–0.7])\) compared with patients with diabetes \((1.1\% \text{ per quarter } [0.8–1.4])\). After the statement, baseline testing trends were no different between SGA-treated patients and matched adults with diabetes (glucose, \(P = 0.68\); lipids, \(P = 0.35\)); as measured by trends in the ratio of testing rates.

Among persistent users of SGA medication, mean annual testing rates during the study period were \(38\%\) (glucose) and \(23\%\) (lipids). Trends in annual metabolic testing for SGA-treated patients are presented in Fig. 2. Rates of annual glucose testing were increasing before the consen-
sus statement (1.8% per quarter). There was no step change or increase in testing rates during the quarter the statement was published (P = 0.68), and testing trends did not change as a result of the statement (P = 0.32). Annual lipid testing rates were also increasing before the consensus statement at a rate of 1.2% per quarter. As with annual glucose testing, there was no step change in annual lipid testing rates (P = 0.32), and lipid testing trends did not change as a result of the statement (P = 0.64).

Annual testing trends among SGA patients were consistent with background testing trends in matched subjects with diabetes. Before the statement, annual glucose testing was increasing at similar rates for both SGA patients (1.8% per quarter [93% CI 1.3–2.2]) and patients with diabetes (1.2% per quarter [1.0–1.5]). Prestatement trends were also similar for annual lipid testing in SGA patients (1.2% per quarter [0.8–1.5]), compared with patients with diabetes (1.1% per quarter [0.9–1.3]). After the statement, annual testing trends were no different between SGA-treated patients and adults with diabetes (glucose, P = 0.92; lipids, P = 0.26) as measured by trends in the ratio of testing rates.

Table 2 summarizes the frequency of baseline and annual serum glucose and lipid testing among adults initiating SGA drug therapy in 2005. Baseline glucose testing occurred in 25% of SGA-utilizing patients and baseline lipid testing occurred in 9% of patients. Approximately 10% of patients had identified diabetes. Overall, baseline testing was higher if pre-existing diabetes was identified (glucose: 36 vs. 24%, P < 0.001; lipids: 16 vs. 8%, P < 0.001). Among SGA patients with therapy persistent for 1 year, annual glucose testing occurred in 49% of patients and annual lipid testing in 31% of patients. Annual rates of testing among persistent SGA users were not significantly different between adults with and without identified diabetes. Baseline and annual testing rates were not significantly different in men versus women (data not shown).

**CONCLUSIONS** — This population-based study examined the impact of the ADA consensus statement on baseline and annual testing of serum glucose and lipids for SGA-treated adults within a commercially insured population. We found that baseline and annual glucose and lipid testing rates for SGA-treated patients increased between 2001 and 2006. However, the rising trends in testing appear to be attributable to increases observed in background testing trends rather than a specific response to the ADA consensus recommendations. Importantly, metabolic testing remained suboptimal for SGA users. By the end of 2005, 75% of SGA users received no baseline glucose testing and 90% received no baseline lipid assessment. Annual rates of testing were more favorable; nonetheless, half of the SGA-treated adults received no glucose testing and two-thirds no lipid testing in the year following drug initiation.

This study identified metabolic testing based on laboratory claims from multiple outpatient settings within the commercial health plan. However, it was not possible to confirm whether tests were ordered by, or were available to, clinicians responsible for SGA treatment decision making. It is almost certain that some proportion of testing was performed for purposes other than the recommended evaluation of SGA effects on glucose or lipid levels. Therefore, the absolute rates of glucose and lipid testing we observed for SGA-treated patients are likely overestimates of actual metabolic monitoring related to SGA treatment.

Although this retrospective study was not able to look into the reasons why laboratory screening did not increase after the ADA consensus statement, we might speculate on some possible explanations. On one hand, the results are not surprising because typically half of physicians follow any given clinical guideline (19). Adoption of other monitoring recommendations following drug warnings has
also been poor (20). Many causes of low adherence to evidence-based treatment recommendations have been studied, including low awareness, lack of medical consensus, low self-efficacy to enact change, and failure to overcome the inertia of previous practice (21). Another explanation offered is that physicians treat guidelines more as options as opposed to true standards and professional organizations, such as the ADA and American Psychiatric Association, cannot enforce adherence (19).

On the other hand, the low rates of serum testing observed in this study population are surprising given high awareness among psychiatrists of the metabolic risks associated with SGA medication and strong agreement on the need to screen and monitor patients (22,23). After the consensus statement, 60–80% of psychiatrists reported monitoring glucose and lipid levels at regular intervals (22,23). It could be that surveyed psychiatrists were not representative of all SGA prescribers. Alternatively, poor patient follow-through between the physician ordering the lab and the patient getting blood drawn may be occurring. More research is needed to determine why the gap exists between reported monitoring behavior and observed serum testing before improvements can be made in diabetes and dyslipidemia screening and ongoing monitoring for these at-risk patients.

The ADA consensus statement (13) and subsequent published research (1) have acknowledged that not all antipsychotics have the same metabolic risk profile; for example, olanzapine, in particular, has been cited as having a high risk for weight gain, metabolic disturbances, and dyslipidemia (1,13). As an alternative to greater vigilance in metabolic monitoring, some physicians may have started avoiding drugs with greater metabolic risk. The finding that olanzapine’s share of new starts dropped from 48 to 25% after the statement is consistent with this hypothesis. Nevertheless, the FDA class warnings (14) and the ADA consensus statement (15) call for metabolic monitoring in all patients regardless of which SGA medication they are receiving.

The results of this research are subject to limitations. Because we relied on administrative claims records, we could not evaluate the impact of the consensus statement on unbillable metabolic screening (e.g., family history of diabetes, height and body weight, or waist circumference) or testing occurring during a hospital admission. Caution should also be applied in generalizing findings to all patients initiating antipsychotic medications, for example Medicaid clients. In addition, anecdotal reports suggest some local mental health treatment centers have been successful in increasing rates of glucose and lipid testing using incentives (e.g., financial compensation to the ordering clinician) or disincentives (e.g., sharing individual clinician performance rates among a peer group).

In summary, despite psychiatrist awareness of metabolic risk and high rates of self-reported screening, we found little evidence that patients starting SGA medication typically receive serum glucose and lipid testing. Although a gradual increase in screening rates occurred over the 6-year period, publication of the ADA consensus statement on SGA drugs and diabetes risk was not associated with an increase in laboratory testing rates. Results from this study of commercially insured patients suggest a considerable gap remains between clinical practice and ADA recommendations for routine metabolic monitoring in a population at increased risk for diabetes and cardiovascular disease. More effort is needed to ensure that patients receiving SGA drugs are screened for diabetes and dyslipidemia.

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Table 2—Frequency of glucose and lipid testing among patients initiating SGA drug therapy in 2005

| Metabolic laboratory assessment | All patients | Preexisting diabetes | P       |
|-------------------------------|--------------|----------------------|---------|
|                               | Frequency of testing among adults initiating SGA drug therapy |                          |         |
|                               | All patients initiating therapy (n) | 3,351 | 3,027 | <0.001 |
|                               | Prevalence of diabetes (%) | 25 | 36 | 24 |
|                               | 1-year persistent users (n) | 623 | 63 | 560 |
|                               | Prevalence of diabetes (%) | 10 | 19 | 9 |
| Serum glucose                  | Annual test (%) | 55 | 8 |
|                               | Prevalence of diabetes (%) | 28 | 38 | 27 |
|                               | Annual test (%) | 55 | 55 | 48 |
| Serum lipids                   | Annual test (%) | 31 | 31 | 31 | 0.97 |
|                               | Prevalence of diabetes (%) | 10 | 19 | 9 |

χ² tests were conducted to test for the association between testing rates and baseline diabetes status in all patients initiating SGA and persistent users.
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