Facet-Aware Evaluation for Extractive Summarization

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Abstract

Commonly adopted metrics for extractive summarization focus on lexical overlap at the token level. In this paper, we present a facet-aware evaluation setup for better assessment of the information coverage in extracted summaries. Specifically, we treat each sentence in the reference summary as a facet, identify the sentences in the document that express the semantics of each facet as support sentences of the facet, and automatically evaluate extractive summarization methods by comparing the indices of extracted sentences and support sentences of all the facets in the reference summary. To facilitate this new evaluation setup, we construct an extractive version of the CNN/Daily Mail dataset and perform a thorough quantitative investigation, through which we demonstrate that facet-aware evaluation manifests better correlation with human judgment than ROUGE, enables fine-grained evaluation as well as comparative analysis, and reveals valuable insights of state-of-the-art summarization methods.\textsuperscript{1}

1 Introduction

Text summarization has enjoyed increasing popularity due to its wide applications, whereas the evaluation of text summarization remains challenging and controversial. The most commonly used evaluation metric of summarization is lexical overlap, \textit{i.e.}, ROUGE (Lin, 2004), which regards the system and reference summaries as sequences of tokens and measures their n-gram overlap.

However, recent studies (Paulus et al., 2017; Schluter, 2017; Kryscinski et al., 2019) reveal the limitations of ROUGE and find that in many cases, it fails to reach consensus with human judgment. Since lexical overlap only captures information coverage at the surface (token) level, ROUGE favors system summaries that share more tokens with the reference summaries. Nevertheless, such summaries may not always convey the desired semantics. For example, in Table 1, the document sentence with the highest ROUGE score has more lexical overlap but expresses rather different semantic meaning. In contrast, the sentence manually extracted from the document by our annotators, which conveys similar semantics, is over-penalized as it involves other details or uses alternative words.

In this paper, we argue that the information coverage in summarization can be better evaluated by \textit{facet overlap}, \textit{i.e.}, whether the system summary covers the facets in the reference summary. Specifically, we treat each \textit{reference sentence} as a facet, identify \textit{document sentences} that express the semantics of each facet as \textit{support sentences} of the facet, and measure information coverage by Facet-Aware Recall (FAR), \textit{i.e.}, how many facets are covered. We focus on extractive summarizes for the following two reasons. Theoretically, since extractive methods cannot paraphrase or compress the document sentences as abstractive methods, it is somewhat unfair to penalize them for extracting long sentences that cover the facets. Pragmatically,

\begin{table}[h]
\begin{tabular}{|l|l|l|}
\hline
\textbf{Reference} & Three people in Kansas have died from a listeria outbreak. & \textbf{Lexical Overlap}: But they did not appear identical to listeria samples taken from patients infected in the Kansas outbreak. (ROUGE-1 F1=37.0, multiple token matches but totally different semantics) \\
\textbf{Manual Extract} & Five people were infected and three died in the past year in Kansas from listeria that might be linked to blue bell creameries products, according to the CDC. (ROUGE-1 F1=38.9, semantics covered but lower ROUGE due to the presence of other details) & \textbf{Manual Extract}: Chelsea boss Jose Mourinho and United manager Louis van Gaal are pals. \\
\textbf{Lexical Overlap}: Gary Neville believes Louis van Gaal’s greatest achievement as a football manager is the making of Jose Mourinho. & \textbf{Reference} & & \\
\hline
\end{tabular}
\caption{Lexical overlap — finding the document sentence with the highest ROUGE against one reference sentence — could be misleading. Examples are from the CNN/Daily Mail dataset (Nallapati et al., 2016).}
\end{table}

\textsuperscript{1}Data can be found at https://github.com/morningmoni/FAR.
we can evaluate extractive methods automatically by comparing the indices of extracted sentences and support sentences. We denote the mappings from each facet (sentence) in the reference summary to its support sentences in the document as Facet-Aware Mappings (FAMs). FAMs can be used as labels indicating which sentences should be extracted but they are grouped with respect to each facet, while conventional extractive labels correspond to the entire reference summary rather than individual facets (detailed explanations in Sec. 2.1).

Compared to treating one summary as a sequence of n-grams, facet-aware evaluation considers information coverage at a semantically richer granularity, and thus can contribute to a more accurate assessment on the summary quality.

To verify the effectiveness of facet-aware evaluation, we construct an extractive version of the CNN/Daily Mail dataset (Nallapati et al., 2016) by annotating its FAMs (Sec. 2). We revisit state-of-the-art extractive methods using this new extractive dataset (Sec. 3.2), the results of which show that FAR correlates better with human evaluation than ROUGE. We also demonstrate that FAMs are beneficial for fine-grained evaluation of both abstractive and extractive methods (Sec. 3.3). We then illustrate how facet-aware evaluation can be useful for comparing different extractive methods in terms of their capability of extracting salient and non-redundant sentences (Sec. 3.4). Finally, we explore the feasibility of automatic FAM creation by evaluating sentence regression approaches against the ground-truth annotations (i.e., FAMs), and generalize facet-aware evaluation to the entire CNN/Daily Mail dataset without any human annotation (Sec. 4). We believe that the summarization community will benefit from the proposed setup for better assessment of information coverage and gain deeper understandings of the current benchmark dataset and state-of-the-art methods through our analysis.

**Contributions.** (1) We propose a facet-aware evaluation setup that better assesses information coverage for extractive summarization. (2) We build the first dataset designed specifically for extractive summarization by creating facet-aware mappings from reference summaries to documents. (3) We revisit state-of-the-art summarization methods in the proposed setup and discover valuable insights. (4) To our knowledge, our work is also the first thorough quantitative analysis regarding the characteristics of the CNN/Daily Mail dataset.

**2 Dataset Creation**

In this section, we describe the process of creating an extractive summarization dataset to facilitate facet-aware evaluation, which involves annotating FAMs between the documents and abstractive reference summaries. We first formalize the FAMs and then describe the FAM annotation on the CNN/Daily Mail dataset (Nallapati et al., 2016).

**2.1 FAMs: Facet-Aware Mappings**

We denote one document-summary pair as \(\{D, R\}\), where \(D = [d_1, d_2, ..., d_D]\), \(R = [r_1, r_2, ..., r_R]\), and \(D, R\) denote the numbers of document sentences and reference sentences, respectively. We conceptualize facet as one unique semantic aspect presented in the summary. In practice, we hypothesize that each reference sentence \(r_i\) corresponds to one facet.\(^2\) We define support sentences as the sentences in the document that express the semantics of one facet \(r_i\). We define support group \(S\) of facet \(r_i\) as a set of support sentences that can fully cover the information of \(r_i\). For each facet \(r_i\) in the reference summary, we try to find all its support sentences in the document and put them into support groups. Since we focus on single-document

\(^2\)It is possible to define facet at sub-sentence or multi-sentence level as in Pyramid (Nenkova and Passonneau, 2004). However, such definitions inevitably incur more annotation effort and lower inter-annotator agreement, while the current definition balances cost and effectiveness.
summarization in this work, most facets only have one support group. But some may contain multiple and extracting any of them would suffice (see example in Appendix C Table 10). Allowing multiple support groups also makes FAMs easily extendable to multi-document summarization where redundant sentences prevail.

Formally, for each \( r_i \), we annotate a Facet-Aware Mapping (FAM) \( r_i \rightarrow \{S^1_i, S^2_i, ..., S^N_i\} \), where \( N \) is the number of support groups. Each \( S^j_i = \{d^1_{i,j}, d^2_{i,j}, ..., d^M_{i,j}\} \) is a support group, where \( I^1_i, I^2_i, ..., I^M_i \) are the indices of support sentences and \( M_j \) is the number of support sentences in \( S^j_i \). One illustrative example is presented in Fig. 1. The support sentences are likely to be verbose, but we consider whether the support sentences express the semantics of the facet regardless of their length.\(^3\) The reason is that we believe extractive summarization should focus on information coverage since it cannot alter the original sentences and once salient sentences are extracted, one can then compress them in an abstractive manner (Chen and Bansal, 2018; Hsu et al., 2018).

**Relation w. Extractive Labels.** Extractive methods (Nallapati et al., 2017; Chen and Bansal, 2018; Narayan et al., 2018c) typically require binary labels of every document sentence indicating whether it should be extracted during model training. Such labels are called extractive labels and usually created heuristically based on reference summaries since existing datasets do not provide extractive labels but only abstractive references. Our assumption that each reference sentence corresponds to one facet is similar to that during the creation of extractive labels. The major differences are that (1) We allow an arbitrary number of support sentences while extractive labels usually limit to one support sentence for each reference sentence, i.e., we do not specify \( M_j \). For example, we would put two support sentences to one support group if they are complementary and only combining them can cover the facet. (2) We try to find multiple support groups \((N > 1)\), as there could be more than one set of support sentences that cover the same facet. In contrast, there is no notion of support group in extractive labels as they inherently form one such group \((N = 1)\). Also, we allow \( N = 0 \) if such a mapping cannot be found even by humans. (3) The FAMs are more accurate as they are created by human annotators while extractive methods use sentence regression approaches (which we evaluate in Sec. 4.1) to obtain extractive labels approximately.

**Comparison w. SCUs.** Some may mistake FAMs for Summarization Content Units (SCUs) in Pyramid (Nenkova and Passonneau, 2004), but they are different in that (1) FAMs utilize both the documents and reference summaries while SCUs ignore the documents; (2) FAMs are at the sentence level and can thus be used to automatically evaluate extractive methods once created — simply by matching sentence indices we can know how many facets are covered, while SCUs have to be manually annotated for each system (refer to Appendix B Fig. 4).

| Category       | #Samples   | #Facets | Example (full documents, reference summaries, and the FAMs can be found in Appendix C) |
|----------------|------------|---------|-----------------------------------------------------------------------------------|
| Noise (N)      | 41 (27.3%) | 137 (27.1%) | • Reference: “Furious 7” opens Friday. (unimportant detail)  
• Reference: Click here for all the latest Floyd Mayweather vs Manny Pacquiao news. (not found in the document)  
• Reference: Vin Diesel: “This movie is more than a movie” (random quotation)  
• Reference: “I had a small moment of awe,” she said. (random quotation) |
| Low Abstraction (L) | 31 (61.2%) | 27 (88.7%) | • Reference: Willis never trademarked her most-famous work, calling it “my gift to the city.”  
• Support: Willis never trademarked her most-famous work, calling it “my gift to the city.” (identical)  
• Reference: Thomas K. Jenkins, 49, was arrested last month by deputies with the Prince George’s County sheriff’s office, authorities said.  
• Support: Authorities said in a news release Thursday that 49-year-old Thomas K. Jenkins of capitol heights, Maryland, was arrested last month by deputies with the Prince George’s County sheriff’s office. (compression) |
| High Abstraction (H) | 20 (13.3%) | 59 (11.7%) | • Reference: College-bound basketball star asks girl with down syndrome to high school prom.  
Pictures of the two during the “prom-posal” have gone viral. (highly abstractive)  
• Reference: While Republican Gov. Asa Hutchinson was weighing an Arkansas religious freedom bill, Walmart voiced its opposition. Walmart and other high-profile businesses are showing their support for gay and lesbian rights. (unable to find support sentences) |

Table 2: **Category breakdown of Facet-Aware Mappings (FAMs).** Nearly 60% samples are of low abstraction while more than a quarter of samples contain noisy facets. \( \bar{M} \) denotes the average number of support sentences.

\(^3\)We ignore coreference (e.g., “he” vs. “the writer”) and short fragments when considering the semantics of one facet, as we found that the wording of the reference summaries regarding such choices is also capricious.
2.2 Creation of Extractive CNN/Daily Mail

To verify the effectiveness of facet-aware evaluation, we annotate the FAMs of 150 document-summary pairs from the test set of CNN/Daily Mail. Specifically, we take the first 50 samples in the test set, the 20 samples used in the human evaluation of Narayan et al. (2018c), and randomly draw another 80 samples. The annotators are graduate students who are required to read through the document and mark support groups for each facet. The most similar document sentences to each facet found by ROUGE and cosine similarity of average word embeddings are provided as the baselines for annotation. 310 non-empty FAMs are created by three annotators with high agreement (pairwise Jaccard index 0.714) and further verified to reach consensus. \(^4\) On average, 5.44 (6.04 non-unique) document sentences are included as the support sentences in each document-summary pair.

To summarize, we found that the facets can be divided into three categories based on their quality and degree of abstraction as follows.

**Noise:** The facet is noisy and irrelevant to the main content, either because the document itself is too hard to summarize (e.g., a report full of quotations) or the human editor was too subjective when writing the summary (See et al., 2017). Another possible reason is that the so-called “summaries” in CNN/Daily Mail are in fact “story highlights”, which seems reasonable to include certain details. We found that 41/150 (27.3%) samples have noisy facet(s), indicating that the reference summaries of CNN/Daily Mail are rather noisy. We show in Sec. 3.2 that existing summarization methods perform poorly on this category, which justifies our judgment of “noisy facets” from another aspect. Also note that there would not be a “noise” category in a “clean” dataset. However, given the creation process of popular summarization datasets (Nallapati et al., 2016; Narayan et al., 2018b), it is unlikely that all of their samples are of high quality.

**Low Abstraction:** The facet can be mapped to its support sentences. We denote the (rounded) average number of support sentences for each facet as \(\bar{M} = \frac{1}{N}\sum_{j=1}^{N} M_j\), \(N\) represents the number of support groups. As shown in Table 2, all the facets with non-empty FAMs in CNN/Daily Mail are paraphrases or compression of one to two sentences in the document without much abstraction.

**High Abstraction:** The facet cannot be mapped to its support sentences \((N = 0)\) by humans, which indicates that the writing of the facet requires deep understanding of the document rather than simply reorganizing several sentences. The proportion of this category (13.3\%) also indicates how often extractive methods would not work (well) on CNN/Daily Mail.

We found it easier than previously believed to create the FAMs on CNN/Daily Mail, as it is uncommon (average number of support groups \(\bar{N} = 1.6\)) to detect multiple sentences with similar semantics. In addition, most support groups only have one or two support sentences with large lexical overlap, which coincides with the fact that extractive methods work quite well on CNN/Daily Mail and abstractive methods are often hybrid and learn to copy words directly from the documents. That said, we try to automate the FAM creation and scale facet-aware evaluation to the whole test set of CNN/Daily Mail using machine-created FAMs (Sec. 4).

3 Facet-Aware Evaluation

In this section, we introduce the facet-aware evaluation setup (Sec. 3.1) and demonstrate its effectiveness by revisiting state-of-the-art summarization methods under this new setup (Sec. 3.2). We then illustrate the additional benefits of facet-aware evaluation, including fine-grained evaluation (Sec. 3.3) and comparative analysis (Sec. 3.4).

3.1 Proposed Metrics

As current extractive methods are facet-agnostic, i.e., their output is not nested (organized by facets) but a flat set of extracted sentences, we consider one facet as being “covered” if any of its support groups can be found in the whole extracted summary. Formally, we define the Facet-Aware Recall (FAR) as follows.

\[
\text{FAR} = \frac{\sum_{i=1}^{R} \text{Any}(I(S^i_1, E), \ldots, I(S^i_N, E))}{R},
\]

where \(\text{Any}(\mathcal{X})\) returns 1 if any \(x \in \mathcal{X}\) is 1 and 0 otherwise, \(I(\mathcal{X}, \mathcal{Y})\) returns 1 if set \(\mathcal{X} \subset \mathcal{Y}\) and 0 otherwise, \(E\) denotes the set of extracted sentences, and \(R\) is the number of facets. Intuitively, FAR does not over-penalize extractive methods for extracting long sentences as long as the extracted sentences cover the semantics of the facets. FAR

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\(^4\)One alternative way is to store multiple FAMs for each sample (like multiple reference summaries) and average their results as in ROUGE.
also treats each facet equally, whereas ROUGE weighs higher the facets with more tokens since they are more likely to incur lexical overlap.

To further measure model capability of retrieving salient (support) sentences without considering redundancy as FAR does, we merge all the support sentences of one document-summary pair to one single support set and define the Support-Aware Recall (SAR) as follows. SAR is used in Sec. 3.4 for the comparative analysis of extractive methods.

\[
SAR = \frac{\left| \bigcup_{i=1}^{R} \bigcup_{j=1}^{N} S_{i,j}^{r} \cap E \right|}{\left| \bigcup_{i=1}^{R} \bigcup_{j=1}^{N} S_{i,j}^{r} \right|}.
\]

**Example (Fig. 1).** Assume that \( R = 2, r_1 \rightarrow \{d_1\}, \{d_2\}, \{d_4\}, r_2 \rightarrow \{d_2\}, \{d_4\}, \) and \( E = \{d_1, d_2, d_3\}. \) Then FAR = \( \frac{1}{2} \) as \( E \) covers \( \{d_1\} \) (or \( \{d_3\} \)) for \( r_1 \) but cannot cover \( \{d_2, d_4\} \) for \( r_2. \) SAR = \( \frac{\left| \left\{d_1, d_3\right\} \cap \left\{d_2, d_4\right\}\right|}{\left| \left\{d_1, d_3\right\}\right|} = \frac{3}{4}. \) Note that \( d_1 \) and \( d_3 \) are salient (support sentences) and both considered positive in SAR, while they only contribute to the coverage of one facet in FAR.

### 3.2 Automatic Evaluation with FAR

By utilizing the low abstraction category on the extractive CNN/Daily Mail dataset, we revisit extractive methods to evaluate how they perform on information coverage. Specifically, we compare Lead-3 (that extracts the first three document sentences), FastRL(E) (E for extractive only) (Chen and Bansal, 2018), BanditSum (Dong et al., 2018), NeuSum (Zhou et al., 2018), Refresh (Narayan et al., 2018c), and UnifiedSum(E) (Hsu et al., 2018) using both ROUGE and FAR. For a fair comparison, each method extracts three sentences (\( |E| = 3 \)).

**Results on Neural Extractive Methods.** As shown in Table 3, there is almost no discrimination among the last four methods under ROUGE-1 F1, and the rankings under ROUGE-1/2/L often contradict with each other. The observations on ROUGE Precision/Recall are similar. We provide them as well as more comparative analysis under facet-aware evaluation in Sec. 3.4. For facet coverage, the upper bound of FAR by extracting 3 sentences (Oracle, given the ground-truth FAMs) is 84.8, much higher than all the compared methods. The best performing extractive method under FAR is UnifiedSum(E), which indicates that it covers the most facets semantically.

| Method          | ROUGE-1 | ROUGE-2 | ROUGE-L | FAR  |
|-----------------|---------|---------|---------|------|
| Lead-3          | 41.9    | 19.6    | 34.8    | 50.6 |
| FastRL(E)       | 41.6    | 20.3    | 35.5    | 50.8 |
| BanditSum       | 42.7    | 20.2    | 35.8    | 44.7 |
| NeuSum          | 42.7    | 22.1    | 36.4    | 51.2 |
| Refresh         | 42.8    | 20.3    | 39.3    | 51.3 |
| UnifiedSum(E)   | 42.6    | 20.7    | 35.5    | 54.8 |
| Oracle          | 55.8    | 32.1    | 48.1    | 84.8 |

Table 3: Performance comparison of extractive methods under ROUGE F1 and Facet-Aware Recall (FAR).

**FAR’s Correlation w. Human Evaluation.** Although FAR is supposed to be favored as the FAMs are manually labeled and indicate accurately whether one sentence should be extracted (assuming the annotations are in high quality), to further verify that FAR correlates with human preference, we ask the annotators to rank the outputs of UnifiedSum(E), NeuSum, and Lead-3 and measure ranking correlation. As listed in Table 4, we observe that the method with the most 1st ranks in the human evaluation coincides with FAR. We also find that FAR has higher Spearman’s coefficient \( \rho \) than ROUGE (0.457 vs. 0.44).

| Method          | 1st     | 2nd     | 3rd     |
|-----------------|---------|---------|---------|
| Lead-3          | 26.8%   | 46.3%   | 26.8%   |
| NeuSum          | 29.3%   | 39.0%   | 31.7%   |
| UnifiedSum(E)   | 37.8%   | 52.4%   | 9.8%    |

Table 4: Proportions of system ranking in human evaluation. FAR shows better human correlation than ROUGE and prefers UnifiedSum(E).

### 3.3 Fine-grained Evaluation

One benefit of facet-aware evaluation is that we can employ the category breakdown of FAMs for fine-grained evaluation, namely, how one method performs on noisy / low abstraction / high abstraction samples, respectively. Any metric of interest can be used for this fine-grained analysis. Here we consider ROUGE and additionally evaluate several abstractive methods: PG (Pointer-Generator) (See et al., 2017), FastRL(E+A) (extractive+abstractive) (Chen and Bansal, 2018), and UnifiedSum(E+A) (Hsu et al., 2018).

As shown in Table 5, extractive methods perform poorly on high abstraction samples, which we expect that one can observe larger gains on datasets with less lexical overlap than CNN/Daily Mail.
is somewhat expected since they cannot perform abstraction. Abstractive methods, however, also exhibit a huge performance gap between low and high abstraction samples, which suggests that existing abstractive methods achieve decent overall performance mainly by extraction rather than abstraction, i.e., performing well on low abstraction samples of CNN/Daily Mail. We also found that all the compared methods perform much worse on the documents with “noisy” reference summaries, implying that the randomness in the reference summaries might introduce noise to both model training and evaluation. Note that although the sample size is relatively small, we observe consistent results when analyzing different subsets of the data.

| Method               | N  | L  | H  | L + H |
|----------------------|----|----|----|-------|
| Lead-3              | 34.1 | 41.9 | 24.9 | 38.9  |
| FastRL(E)           | 33.5 | 41.6 | 31.2 | 39.8  |
| BanditSum           | 35.3 | 42.7 | 34.1 | 41.2  |
| NeuSum              | 34.9 | 42.7 | 30.7 | 40.6  |
| Refresh             | 35.7 | 42.8 | 32.2 | 40.9  |
| UnifiedSum(E)       | 34.2 | 42.6 | 31.3 | 40.6  |
| PG                  | 32.6 | 40.6 | 27.5 | 38.2  |
| FastRL(E+A)         | 35.1 | 40.8 | 29.9 | 38.8  |
| UnifiedSum(E+A)     | 34.2 | 42.4 | 29.2 | 40.1  |

Table 5: ROUGE-1 F1 of extractive and abstractive methods on noisy (N), low abstraction (L), high abstraction (H), and high quality (L + H) samples.

3.4 Comparative Analysis

Facet-aware evaluation is also beneficial for comparing extractive methods regarding their capability of extracting salient and non-redundant sentences. We show the FAR, SAR, and ROUGE scores of various extractive methods in Fig. 2. We next illustrate how one can leverage these scores under different metrics for comparative analysis. For brevity, we denote ROUGE Precision and ROUGE Recall as RP and RR, respectively.

**FAR vs. ROUGE.** By comparing the scores of extractive methods under FAR and ROUGE, one can discover useful insights. For example, we observe that the performance of Refresh, FastRL(E), NeuSum are quite close to Lead-3 under FAR, but they generally have higher RR. Such results imply that these methods might have learned to extract sentences that are not the support sentences, i.e., sentences that do not directly contribute to the facet coverage, but still have lexical overlap with reference summaries. It is also likely that they extract redundant support sentences that happen to have token matches with other facets. Overall, UnifiedSum(E) covers the most facets (high FAR) and also has decent lexical matches (high RR).

**SAR vs. ROUGE.** By comparing SAR with RP, one can find that UnifiedSum(E) extracts salient but possibly redundant support sentences, as it has higher SAR but similar RP to Lead-3. On the contrary, Refresh has similar SAR with Lead-3 but higher RP, which again implies that it might extract non-support sentences that contain token matches but irrelevant semantics. Similarly, BanditSum is capable of lexical overlap (high RP), but the matched tokens may not contribute much to the major semantics (low SAR).

**FAR vs. SAR.** By comparing FAR with SAR (Fig. 3), we observe that FastRL(E) and NeuSum have FAR scores similar to Lead-3 and Refresh, but higher SAR scores. One possible explanation is that FastRL(E) and NeuSum are better at extracting support sentences, but they do not handle redundancy very well, i.e., the extracted sentences might contain multiple support groups of the same facet (recall the example in Sec. 3.1). For instance, there are 30.3% extracted summaries of FastRL(E) that can cover more than one support group of the same facet while there are 19.1% for Lead-3.

4 Evaluation without Human Annotation

In the previous sections, we have demonstrated the effectiveness and benefits of facet-aware evaluation. One remaining issue that might prevent facet-aware evaluation from scaling is the need of human-annotated FAMs. We thus study the feasibility of automatic FAM creation with sentence regression and present a pilot study of conducting facet-aware evaluation without any human annotation in this section.

4.1 Sentence Regression for FAM Creation

Similar to most benchmark constructions, facet-aware evaluation requires one-time annotation — once the FAMs are annotated, we can reuse them for automatic evaluation. That said, we explore various approaches to automate this one-time process. Specifically, we investigate whether facet-aware evaluation can be conducted without any human effort by utilizing sentence regression (Zopf et al., 2018) to automatically create the FAMs.

Sentence regression is widely used to create extractive labels. Sentence regression approaches typ-
Figure 2: Performance of extractive methods under ROUGE, FAR, and SAR. The results under ROUGE-1/2/L often disagree with each other. UnifiedSum(E) generally performs the best in the facet-aware evaluation.

Figure 3: Comparison of extractive methods under FAR and SAR reflects their capability of extracting salient and non-redundant sentences.

Sentence Regression Approaches. We briefly review recent sentence regression approaches as follows. Nallapati et al. (2017) greedily select sentences that maximize ROUGE-1 F1 until adding another sentence decreases it. Chen and Bansal (2018) find for each reference sentence the most similar sentence in the document by ROUGE-L recall. Zopf et al. (2018) argue that precision is a better measure than recall because it aims not at covering as much information but at wasting as little space as possible. Narayan et al. (2018c) measure sentence similarity by the average of ROUGE-1/2/L F1. We also test other variants of ROUGE and TF-IDF, which represents sentences by TF-IDF features and measures their cosine similarity.

4.2 Evaluation with Machine-Created FAMs

Results on Support Sentence Discovery. We first evaluate sentence regression with its original function, i.e., creating extractive labels (finding support sentences). We merge the support groups of each sample and calculate precision and recall (i.e., SAR). The performance of sentence regression approaches is shown in Table 6. The relatively low recall suggests that simply finding one support sentence for each facet as most existing approaches do would miss plenty of salient sentences, which could possibly worsen the models trained on such labels since the models would treat missed support sentences as unimportant ones. On the bright side, many sentence regression approaches achieve high
precision. For instance, 90.0% document sentences labeled positive by Narayan et al. (2018c) indeed contain salient information. This is to some extent explainable as ROUGE captures lexical overlap and as we have shown, there are many copy-and-paste reference summaries in CNN/Daily Mail.

| Method       | Precision | Recall | F1   |
|--------------|-----------|--------|------|
| Lead-3       | 61.0      | 33.7   | 43.4 |
| Greedy ROUGE-1 F1 | 58.2      | 30.8   | 40.3 |
| TF-IDF       | 83.7      | 51.9   | 64.0 |
| ROUGE-1 F1   | 88.9      | 53.1   | 66.5 |
| ROUGE-2 F1   | 86.6      | 52.3   | 65.2 |
| ROUGE-L Recall | 89.3     | 53.7   | 67.1 |
| ROUGE-L Precision | 77.2   | 45.5   | 57.2 |
| ROUGE-L F1   | 87.8      | 53.5   | 66.5 |
| ROUGE-AVG F1 | 90.0      | 53.9   | 67.4 |

Table 6: Performance of sentence regression approaches regarding support sentence discovery. High precision and low recall are often observed.

Correlation w. Human-Annotated FAMs. We then explore the correlation between human-annotated and machine-created FAMs by evaluating extractive methods against both of them. This time we extend to find for each facet multiple support sentences and put each support sentence into a separate support group. We measure the correlation between estimated and ground-truth FAR by Pearson’s $\rho$. We measure the correlation between system rankings induced from estimated and ground-truth FAR by Spearman’s $\rho$ and Kendall’s $\tau$. The detailed correlation results of representative approaches are listed in Table 7. We observe that creating three support groups consistently shows the highest correlation for the same sentence regression approach. Also, the FAMs created by ROUGE-1 F1 and ROUGE-AVG F1 have very high correlation with human annotation, indicating the usability and reliability of machine-created FAMs for system ranking.

| Method       | N = 1 | N = 2 | N = 3 |
|--------------|-------|-------|-------|
|              | $\rho$ | $\tau$ | $\rho$ | $\tau$ | $\rho$ | $\tau$ | $\rho$ | $\tau$ | $\rho$ | $\tau$ |
| ROUGE-1 F1   | 70.5  | 57.1  | 33.3  | 72.0  | 71.4  | 66.0  | 88.4  | 94.3  | 86.7  | 84.4  | 85.7  | 80.0  |
| ROUGE-2 F1   | 11.0  | 23.7  | 20.0  | 43.4  | 65.7  | 46.7  | 84.4  | 85.7  | 60.0  | 11.0  | 25.7  | 20.0  |
| ROUGE-L F1   | 34.0  | 54.3  | 46.7  | 37.5  | 42.9  | 42.9  | 62.3  | 42.9  | 46.7  | 51.3  | 51.7  | 46.2  |
| ROUGE-AVG F1 | 49.6  | 54.3  | 46.7  | 46.1  | 65.7  | 46.7  | 83.2  | 82.9  | 73.3  | 54.8  | 54.5  | 46.9  |

Table 7: Correlation between ground-truth and estimated FAR scores by Pearson’s $\rho$, Spearman’s $\rho$, and Kendall’s $\tau$. $N$ denotes the number of support groups.

FAR Prediction. Despite the high correlation, we also find that the estimated FAR scores may vary in range compared to the ground-truth FAR. Therefore, we further use the estimations of different sentence regression approaches to train a linear regression model to fit the ground-truth FAR (denoted as AutoFAR). We then calculate the estimated FAR scores on the whole test set of CNN/Daily Mail and use the trained linear regressor to predict a (supposedly) more accurate FAR score (denoted as AutoFAR-L). As shown in Table 8, the fitting of AutoFAR is very close to the ground-truth FAR, and the system ranking on the large-scale evaluation under AutoFAR-L follows a similar trend to that under FAR with Spearman’s $\rho = 54.3$. On the other hand, although our preliminary analysis on AutoFAR-L shows promising results, we also note that since the human annotation on the whole test set is lacking, the reliability of such extrapolation is not guaranteed and we leave more rigorous study with a larger number of systems and samples as future work.

| Method       | FAR      | AutoFAR   | AutoFAR-L | FAR vs. AutoFAR-L |
|--------------|----------|-----------|-----------|-------------------|
|              | Pearson’s $\rho$ | Spearman’s $\rho$ | Kendall’s $\tau$ |
| BanditSum    | 44.7      | 44.8      | 44.7      | 97.6 (42.9)       |
| Lead-3       | 50.6      | 51.3      | 45.6      | 77.1 (54.3)       |
| FastRL(E)    | 50.8      | 51.0      | 43.1      | 51.3  |
| NeuSum       | 51.2      | 49.9      | 44.3      | 51.3  |
| Refresh      | 51.3      | 51.7      | 46.2      | 51.3  |
| UnifiedSum(E) | 54.8    | 54.5      | 46.9      | 60.0 (46.7)       |

Table 8: FAR prediction via linear regression. AutoFAR-L denotes the results on the human-annotated subset (entire CNN/Daily Mail dataset).

5 Related Work

Evaluation Metrics for Text Summarization. ROUGE (Lin, 2004) is the most widely used evaluation metric for text summarization. Extensions of ROUGE include ROUGE-WE (Ng and Abrecht, 2015) that incorporated word embedding into ROUGE, ROUGE 2.0 (Ganesan, 2018) that considered synonyms, and ROUGE-G (ShafieiBa-vani et al., 2018) that applied graph analysis to WordNet for lexical and semantic matching. Nevertheless, these extensions did not draw enough attention as the original ROUGE and recent advances (Gu et al., 2020; Zhang et al., 2019a) are still primarily evaluated by the vanilla ROUGE.

Another popular branch is Pyramid-based metrics (Nenkova and Passonneau, 2004; Yang et al., 2016), which annotate and compare the Summarization Content Units (SCUs) in the summaries.

5 Related Work

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Another popular branch is Pyramid-based metrics (Nenkova and Passonneau, 2004; Yang et al., 2016), which annotate and compare the Summarization Content Units (SCUs) in the summaries.

The raw estimated FAR scores are provided in Appendix B Fig. 5 in the interest of space.
FAR is related to Pyramid and HighRES (Hardy et al., 2019) in that Pyramid employs the summaries to annotate SCUs and HighRES highlights salient text fragments in the documents, while FAR considers both the summaries and documents.

Beyond lexical overlap, embedding-based evaluation metrics (Zhang et al., 2019b; Zhao et al., 2019; Sun and Nenkova, 2019; Xenouleas et al., 2019) are gaining more traction along with the dominance of pre-trained language models. One straightforward way to incorporate embedding-based metrics into FAR is to use them as similarity measures instead of the ROUGE-based approaches tested in Sec. 4.1 for automatic FAM creation (i.e., finding support sentences for each facet by the scores of embedding-based metrics). Such similarity measures are especially beneficial when the facet and its support sentences are not similar at the lexical level.

Reflections on Text Summarization. There has been increasing attention and critique to the issues of existing summarization metrics (Schluter, 2017), methods (Kedzie et al., 2018; Shapira et al., 2018), and datasets (Jung et al., 2019). Notably, Kryscinski et al. (2019) conducted a comprehensive critical evaluation for summarization from various aspects. Zopf et al. (2018) investigated sentence regression approaches in a manner similar to ours but they could only evaluate them approximately against ROUGE as no ground-truth labels (FAMs) existed.

Annotation and Analysis. Many recent studies conduct human annotation or evaluation on text summarization and other NLP tasks to gain useful insights. Hardy et al. (2019) annotated 50 documents to demonstrate the benefits of highlight-based summarization evaluation. Recent summarization methods (Paulus et al., 2017; Narayanan et al., 2018c; Chen and Bansal, 2018) generally sampled 50 to 100 documents for human evaluation in addition to ROUGE in light of its limitations. Chen et al. (2016); Yavuz et al. (2018) inspected 100 samples and analyzed their category breakdown for reading comprehension and semantic parsing, respectively. We observed similar trends when analyzing different subsets of the FAMs, indicating that our findings are relatively stable. We thus conjecture that our sample size is sufficient to verify our hypotheses and benefit future research.

6 Conclusion and Future Work

We propose a facet-aware evaluation setup for better assessment of information coverage in extractive summarization. We construct an extractive summarization dataset and demonstrate the effectiveness of facet-aware evaluation on this newly constructed dataset, including better human correlation on the assessment of information coverage, and the support for fine-grained evaluation as well as comparative analysis. We also evaluate sentence regression approaches and explore the feasibility of fully-automatic evaluation without any human annotation. In the future, we will investigate multi-document summarization datasets such as DUC (Paul and James, 2004) and TAC (Dang and Owczarzak, 2008) to see whether our findings coincide when multiple references are provided. We will also explore better sentence regression approaches for the use of both extractive summarization methods and automatic FAM creation.

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A Practical Notes on CNN/Daily Mail

We note several issues of the CNN/Daily Mail dataset in the hope that the researchers working on this dataset are better aware of these issues.

One issue is that sometimes the titles and image captions are introduced in the main body of the document by mistake (usually captured by “-lrb-” or colons), which may lead to bias or label leaking for model training since the reference summaries are observed to be similar to the titles and image captions (Narayan et al., 2018a). For example, we found that if there is a sentence in the main body that is almost the same as one of the captions, then that sentence is very likely to be used in the reference summary. Many such cases can be found in our annotated data.

We also found that in many documents, the 4-th sentence is “scroll down for video”. And if this sentence appears in one document, it is often the case that the first three sentences are good enough to summarize the whole document. This finding provides yet another evidence why a simple Lead-3 baseline could be rather strong on CNN/Daily Mail.

In addition, sentences similar to the first three sentences can often be found afterward, which suggests that the first three sentences may not even belong to the main body of the document.

B Additional Illustration

In Fig. 4, we show the comparison of ROUGE, FAR, and Pyramid. In Fig. 5, we show the the ground-truth FAR scores, the FAR scores estimated by various sentence regression approaches, and the prediction of FAR scores by linear regression.

C Detailed Examples

We list below the full documents, reference summaries, and the corresponding FAMs of several examples shown in Table 2. In particular, Table 10 shows an example of several support groups covering the same facet. We release all of the annotated data to facilitate facet-aware evaluation and follow-up studies along this direction.

Figure 4: Comparison of summarization metrics. Support sentences are marked in the same color as their corresponding facets. SCUs have to be annotated for each extracted summary during evaluation, while facet-aware evaluation can be conducted automatically by comparing sentence indices.
Figure 5: The first three figures show the ground-truth and estimated FAR scores via human-annotated FAMs and machine-created FAMs. The fourth figure shows the fitting of linear regression on the human-annotated samples (LR-Small) and the prediction on the whole test set of CNN/Daily Mail (LR-Large). Systems are sorted in an ascending order by the ground-truth FAR on the human-annotated samples.
Dover police say a man they believe to be the so-called ‘rat burglar’ who cut holes to tunnel into buildings has been arrested in Maryland.

Authorities said in a news release Thursday that 49-year-old Thomas K. Jenkins of Capitol Heights, Maryland, was arrested last month by deputies with the Prince George’s County Sheriff’s Office.

‘Rat burglar’: Thomas K. Jenkins, pictured is accused of robbing 18 Dover businesses

From September 2014 to February 2015, Jenkins allegedly carried out 18 commercial robberies in Dover, Delaware, authorities said.

‘During the investigation it was learned that the Prince George’s County Sheriff’s Department had a series of burglaries that were similar in nature to the eighteen committed in Dover,’ the release said.

Thomas Jenkins has been accused by the Dover Police Department of robbing multiple businesses.

They are:
- Maple Dale Country Club
- Manlove Auto Parts
- Sovereign Properties
- Morgan Properties
- U and I Builders
- AMCO Check Cashing
- Colonial Investment
- 1st Capital Mortgage
- Advantage Travel
- Ancient Way Massage
- Tranquil Spirit Massage/Spa
- Christopher Asey Massage
- Morgan Communities
- Vincenzo’s Restaurant
- Happy Fortune Chinese Restaurant
- Happy 13 Liquors
- Del-One Credit Union
- Pizza Time
- Melvin’s Auto Service

Source: Dover Police Department/The News Journal

A car was found behind a building where a robbery took place and led deputies in Maryland to consider Jenkins as a suspect, authorities said.

Law enforcement later found Jenkins’ car and tracked where he went. Dover police said.

Police say Jenkins had cut a hole in the roof of a commercial business in Maryland on March 9 and deputies arrested him as he fled.

According to Dover police, Jenkins was found in possession of a .45-caliber handgun that was stolen from a business in Delaware State Police Troop 9 jurisdiction. A search of Jenkins vehicle revealed an additional .45-caliber handgun stolen from the same business.

Jenkins is being held in Maryland and will face 72 charges involving the 18 burglaries in Dover when he is returned to Delaware.

The charges he is facing break down to: four counts of wearing a disguise during the commission of a felony, eighteen counts of third-degree burglary, fourteen counts of possession of burglary tools, fourteen counts of theft under $1,500, and eighteen counts of criminal mischief, two of which are felonies, authorities said.

Cpl. Mark Hoffman with the Dover Police Department told the News Journal that Delaware State Police are planning to file charges over a 19th robbery at Melvin’s Auto Service, which reportedly occurred in a part of Dover where jurisdiction is held by state police.

Sharon Hutchison, who works at one of the businesses Jenkins allegedly robbed, told the newspaper ‘He cut through two layers of drywall, studs and insulation.’

The Prince George’s County Sheriff’s Department did not immediately return a request for information on what charges Jenkins is facing there.

FAMs:

- **thomas k. jenkins, 49, was arrested last month by deputies with the prince george’s county sheriff’s office, authorities said.**
- **Police say Jenkins had cut a hole in the roof of a commercial business in Maryland on March 9 and deputies arrested him as he fled.**
- **Jenkins is being held in Maryland and will face 72 charges involving the 18 burglaries in Dover when he is returned to Delaware.**
- **Police say Jenkins had cut a hole in the roof of a commercial business in Maryland on March 9 and deputies arrested him as he fled.**
- **Jenkins is accused of carrying out multiple robberies in Dover, Delaware.**
- **Police say Jenkins had cut a hole in the roof of a commercial business in Maryland on March 9 and deputies arrested him as he fled.**
- **He is facing 72 charges from the Dover police department for 18 robberies.**
- **Jenkins is being held in Maryland and will face 72 charges involving the 18 burglaries in Dover when he is returned to Delaware.**
- **The Delaware State Police is planning to file charges over a 19th robbery, which occurred in a part of Dover where jurisdiction is held by state police.**
- **Mark Hoffman with the Dover police department told the News Journal that Delaware State Police are planning to file charges over a 19th robbery at Melvin’s Auto Service, which reportedly occurred in a part of Dover where jurisdiction is held by state police.**
Betty Whitehead Willis, the designer of the iconic "Welcome to Fabulous Las Vegas" sign, died over the weekend. She was 91.

Willis played a major role in creating some of the most memorable neon work in the city.

Willis visited the Neon Museum in 2013 to celebrate her 90th birthday.

Born about 50 miles outside of Las Vegas in Overton, she attended art school in Pasadena, California, before returning home.

She retired at age 77.

Willis never trademarked her most-famous work, calling it "my gift to the city."

Today it can be found on everything from T-shirts to refrigerator magnets.

People we've lost in 2015

FAMs:

- willis never trademarked her most-famous work, calling it “my gift to the city”
  [Support Group0][Sent0]: willis never trademarked her most-famous work, calling it “my gift to the city.”

- she created some of the city’s most famous neon work.
  [Support Group0][Sent0]: willis played a major role in creating some of the most memorable neon work in the city.

Table 11: Full document, reference summary, and the FAMs presented in Table 2.
I think it is unfortunate that large companies today are listening to the extreme left wing agenda that is driven by an aggressive gay marriage agenda, " Bush said, a reference to Pence's promise this week to fix his state's law in light of the Outreach. Republican politicians are being forced to walk the fine line of protecting religious liberties and supporting nondiscrimination. Likely GOP presidential candidate Jeb Bush initially backed Indiana's religious freedom law and Pence, but moderated his tone a few days later. The former Florida governor said Wednesday that Indiana could have taken a "better" and "more consensus-oriented approach." By the end of the week, Indiana will be in the right place, " Bush said, a reference to Pence's promise this week to fix his state's law in light of the widespread backlash. Others in the GOP field are digging in. Sen. Ted Cruz of Texas, the only officially declared Republican presidential candidate, said Wednesday that he had no interest in second-guessing Pence and lashed out at the business community for opposing the law. "I think it is unfortunate that large companies today are listening to the extreme left wing agenda that is driven by an aggressive gay marriage agenda," Cruz said. Meanwhile, former Secretary of State Hillary Clinton, who previously served on Walmart's board of directors, called on Hutchinson to veto the bill, the governor held a news conference and announced he would not sign the legislation unless its language was fixed. It's easy for someone like a Chick-fil-A to take a really polarizing position," said Dwight Hill, a partner at the retail consulting firm McMillanDoolittle. Hill added: Same-sex marriage, "while divisive, it's becoming more common place here within the U.S., and the businesses by definition have to follow the trend of their customer." The backlash over the religious freedom measures in Indiana and Arkansas this week is shining a bright light on the broader business community's overwhelming support for workplace policies that promote gay equality. After Indiana Gov. Mike Pence, a Republican, signed his state's religious freedom bill into law, CEOs of companies big and small across the country threatened to pull out of the Hoosier state. The resistance came from business leaders of all political persuasions, including Bill Oesterle, CEO of the business-rating website Angie's List and a one-time campaign manager for former Indiana Gov. Mitch Daniels. Oesterle announced that his company would put plans on hold to expand its footprint in Indianapolis in light of the state's passage of the religious freedom act.

Reference Summary:
While Republican Gov. Asa Hutchinson was weighing an Arkansas religious freedom bill, Walmart voiced its opposition (highly abstractive, hard to obtain by rephrasing original sentences). Walmart and other high-profile businesses are showing their support for gay and lesbian rights. Their stance puts them in conflict with socially conservative Republicans, traditionally seen as allies.
Document:
- (CNN) - He’s a blue chip college basketball recruit. She’s a high school freshman with Down syndrome.

At first glance Trey Moses and Ellie Meredith couldn’t be more different. But all that changed Thursday when Trey asked Ellie to be his prom date.

So why is he taking Ellie instead? “She’s great… she listens and she’s easy to talk to” he said.

Trey made the prom-posaL - (CNN) - yes, that’s what they are calling invites to prom these days - in the gym during Ellie’s P.E. class.

Trina Helson, a teacher at Eastern, alerted the school’s newspaper staff to the prom-posaL and posted photos of Trey and Ellie on Twitter that have gone viral. She was n’t surprised by Trey’s actions.

“’That’s the kind of person Trey is,’” she said.

To help make sure she said yes, Trey entered the gym armed with flowers and a poster that read “Let’s Party Like it’s 1989,” a reference to the latest album by Taylor Swift, Ellie’s favorite singer.

Trey also got the OK from Ellie’s parents the night before via text. They were thrilled.

“You just feel numb to those moments raising a special needs child,” said Darla Meredith, Ellie’s mom. “You first feel the need to protect and then to overprotect.”

Darla Meredith said Ellie has struggled with friendships since elementary school, but a special program at Eastern called Best Buddies had made things easier for her.

She said Best Buddies cultivates friendships between students with and without developmental disabilities and prevents students like Ellie from feeling isolated and left out of social functions.

“I guess around middle school is when kids started to care about what others thought,” she said, but “this school, this year has been a relief.”

Trey’s future coach at Ball State, James Whitford, said he felt great about the prom-posaL, noting that Trey, whom he’s known for a long time, often works with other kids.

Trey’s mother, Shelly Moses, was also proud of her son.

“You just feel numb to those moments raising a special needs child,” she said. “Trey has worked pretty hard, and he’s a good son.”

Both Trey and Ellie have a lot of planning to do. Trey is looking to take up special education as a college major, in addition to playing basketball in the fall.

As for Ellie, she can’t stop thinking about prom.

“Ellie can’t wait to go dress shopping” her mother said.

“Because I’ve only told about a million people!” Ellie interjected.

Reference Summary:
College-bound basketball star asks girl with down syndrome to high school prom. (highly abstractive, hard to obtain by rephrasing original sentences)
 Pictures of the two during the “prom-posaL” have gone viral.

FAMs:
N/A

Table 13: Full document, reference summary, and the FAMs presented in Table 2.