Long-term results of patients treated with bronchoscopic lung volume reduction

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ABSTRACT

Aims: Surgical and bronchoscopic treatments are tried to reduce hyperinflation together with medical treatments in patients with chronic obstructive pulmonary disease (COPD) and emphysema. Therefore, bronchoscopic lung volume reduction (BLVR) procedures can improve the success of treatment and quality of life of patients.

Methods: We investigated the efficacy and safety of BLVR treatment retrospectively. Demographic characteristics, modified Medical Research Council (mMRC) and COPD assessment test (CAT) questionnaires, 6-minute walking distance (6-MWD) and all pulmonary function tests of the patients, who were treated with BLVR, were examined. The values before the procedure and the values at the third and sixth months after the procedure were analyzed statistically.

Results: Twenty-five patients were examined (one was female). Six patients (59±10.4 years, forced expiratory volume in 1 s (FEV1) 21.7±5.7% pred) were bronchoscopically treated with coils, with a median of 9.33 (range 8-11) coils per procedure. Nineteen patients (65.8±6 years, FEV1 26.9±9% pred) were treated with valves, with a median 3.52 (range 3-4) valves per patient. At 3 and 6 months, respectively, ΔCAT was −4.8±6 and −7.5±7.2 points, Δ6-MWD was +103.4±139 m and +160.8±149 m [endobronchial valve (EBV)], ΔFEV1 was +0.15±0.3 L and +0.21±0.3 L, ΔFVC was +0.44±0.7 L and +0.5±0.7 L, (all p<0.05), and ΔRV (residual volume) was −0.52±1.1 L and 0.32±1.5 L (p>0.05).

Conclusions: In our study, BLVR (EBV and coil) treatments were used in patients with severe to very severe emphysema in COPD, in appropriate indications and in selected cases, and statistically significant well-being was observed in spirometric parameters in the first six months after the procedure.
evaluation of collateral ventilation determines the success of the procedure. However, positive results are obtained with the appropriate technique for the appropriate patient.

In our study, we aimed to examine the changes in the symptoms of patients who were applied BLVR treatment, and to examine the changes in spirometry, lung volumes and diffusion lung capacity of carbon monoxide (DLCO) test in respiratory function tests.

**Methods**

**Study Design**

The clinical records of patients were retrospectively reviewed between October 2017 and October 2019. Patients with severe to very severe emphysema, who underwent endobronchial valve (EBV) and lung volume reduction coil (LVRC) placement, were included.

NETT study criteria were accepted for inclusion criteria and exclusion criteria in this study. Inclusion criteria were as follows: Being >18 years of age, having CT scan showing heterogeneous emphysema (criteria for EBV only), GOLD Stage 3 or 4, post-bronchodilator forced expiratory volume first second (FEV1) <50% of predicted, residual volume (RV) >150% of predicted, total lung capacity (TLC) >100% of predicted, DLCO >20% of predicted, 6-minutes walking distance test (6-MWD) <500 meters, systolic pulmonary artery pressure <50 mmHg on echocardiography, using optimal bronchodilator therapy, at least 6 weeks of pulmonary rehabilitation and having stopped smoking for >8 weeks prior to the study. Exclusion criteria included having with pregnancy or lactation status, active lung cancer, history of frequent respiratory tract infections, giant bullae in the lungs, clinically significant bronchiectasis, history of lung volume reduction surgery, transplantation or lobectomy history and having collateral ventilation (criteria for EBV only).

Demographic characteristics of patients, including age, gender, height, weight, body mass index (BMI), duration of illness, comorbidity, smoking history, drugs used, and first and second visits, were recorded using the data preparation form.

COPD assessment tests (CAT) and modified Medical Research Council (mMRC) dyspnea scoring were used to assess dyspnea. mMRC is a scale system with scores between 0 and 4. With a few simple questions asked to patients, the patient with the least symptoms is given a low score, and the patient with the most symptoms is given a high score. CAT score, on the other hand, is a scoring used for the evaluation of patients with COPD, which is formed by adding scores between 0 and 5 given to 8 different questions. 0 is the score obtained by the patients with the lowest symptoms and 40 by the patients with the most symptoms (8).

The results of the 6-MWD applied in the pulmonary rehabilitation unit were examined (9). BODE index, consisting of BMI, airway obstruction, dyspnea, and exercise capacity, were calculated.

Measurements were made with a COSMED, MINISPIR brand spirometer, which can measure within the volume limits of 15 seconds, volumes up to 20 L, the total resistance of all parts in the range of 0.02-20 L/s. For FRC, RV and TLC measurements, a multi-term nitrogen washout test with a VMAX brand device (cardiopulmonary exercise testing ergo spirometer device) was used. The most commonly used method in DLCO measurement is the single breath CO method. Gas concentrations are measured at the beginning and at the end of 10 seconds.

The third month after the procedure was the first endpoint and the sixth month was the second endpoint. Baseline tests and post-procedure tests were compared.

**Procedure**

All patients were hospitalized before the procedure. Patients with dyspnea after procedure were given systemic steroids against foreign body reaction. All patients were admitted to hospital for a period of 3 to 5 days. A posteroanterior chest X-ray was routinely obtained following the procedure.

**Endobronchial Valve**

The EBV procedures were done using a flexible bronchoscope (BF-1TQ180 Olympus, Tokyo, Japan) with a working channel of 2.8 mm. The procedure was under conscious sedation with intravenous midazolam. High resolution computerized tomography (HRCT) with thin slice imaging and quantitative lung perfusion scintigraphy were used to choose the target lobe. Chartis collateral ventilation system (Pulmonx, Redwood City, CA, USA) was used to detect collateral ventilation (Zephyr Tm EBV, Pulmonx Inc., Redwood, CA, USA).

**Lung Volume Reduction Coil**

LVRC procedures were performed under general anesthesia. HRCT with thin slice and quantitative lung perfusion scintigraphy were used to choose the target lobe. Following the patient's intubation, the coils (Coil, PneumRx Inc. Mountain View, California, USA) were placed into the subsegmental airway with fluoroscopy guidance.

**Statistical Analysis**

Our study includes a retrospective analysis of patients who underwent BLVR therapy. Statistical Package for the Social Sciences (SPSS) for Mac version 22.00 (SPSS Inc., Chicago, IL., USA) package program was used for the statistical analysis of the data obtained at the end of the study. Data were given as mean and standard deviation (SD). Continuous variables were expressed as mean±SD, and categorical variables as numbers and percentages (%). Whether continuous variables fit the normal distribution or not was evaluated using the Kolmogorov-Smirnov test. For the comparison of groups, the chi-square test...
Results

A total of 28 patients were identified, 3 patients were excluded due to exclusion criteria. EBV was performed in 19 patients and LVRC was performed in 6 patients. One procedure was performed for 19 valve patients and a second procedure was performed for 3 of 6 coil patients, and a total of 28 procedures were performed.

Twenty-four patients were male and one was female. The mean age of all patients was 64.1±7.7 (48-80) years. Twenty-three patients had a smoking history, and the average of all patients was calculated as 51.9±23.5 packs/year. Two patients had biomass exposure. The demographic and clinical characteristics of EBV and LVRC groups before the treatment were similar. The average of the tests performed before the procedure is given in Table 1.

A total of 67 valves were used, and the average per patient was 3.52. And total of 84 coils were used, the number of coils used was 9.33 per procedure and an average of 14 per patient. The right upper lobe was frequently used. Details of procedure are given in Table 2.

While there were no comorbid diseases in 10 patients in their anamnesis and medical records taken before the treatment, cardiac arrhythmia was seen in 5 patients, hypertensive heart disease in 4 patients, coronary/peripheral artery disease in 4 patients, diabetes mellitus in 1 patient, and mild systolic heart failure in 2 patients. Two patients had second degree heart valve failure, 2 patients had hypothyroidism, and 1 patient used drugs for depression.

While bronchospasm was observed most frequently in patients (n=11), hemoptysis was observed following the LVRC...
procedure in 1 patient and pneumothorax and subcutaneous emphysema following EBV procedure in another patient. One patient died on the second month following EBV therapy secondary to COPD exacerbation (Table 3).

The mMRC dyspnea scores, CAT scores, BODE index and 6-MWD data recorded in the third and sixth months after the procedure were statistically significant. Forced vital capacity (FVC) and vital capacity (VC) measurements of all patients were statistically significant at the 3rd and 6th months after the procedure (p<0.05).

The mean FEV1 value at the 3rd month of the patients who underwent EBV was 0.88±0.3 L (p=0.041), and the mean FEV1 value at the 6th month after the procedure was 0.92±0.4 L (p=0.102). FEV1 value change was 0.14±0.25 L at the 3rd month and 0.18±0.3 L at the 6th month. The mean FEV1 value at the 3rd month of the patients who underwent LVRC was 0.81±0.2 L (p=0.003), and the mean FEV1 value at the 6th month after the procedure was 0.92±0.2 L (p=0.104). When the FEV1 percentages were examined one by one, according to the basal values, the increase in FEV1 of 12% was observed in all 6 patients. The mean FEV1 value of all patients was 0.86±0.3 L (p=0.005) at the 3rd month after the procedure, and the mean FEV1 value of all patients was 0.92±0.4 L (p=0.035) at the 6th month after the procedure. The mean FEV1 value change of all patients was 0.15±0.3 L at the 3rd month and 0.21±0.3 L at the 6th month. After the procedure, an increase was observed in 17 (68%) of the patients in our study, compared to the previous FEV1 value. A total of 14 patients (56%) had an average increase of 12% in FEV1.

The mean RV percentage was 169.2±37.6% (p=0.058) at the 3rd month of the patients who underwent EBV, and the mean RV percentage at the 6th month after the procedure was 179.6±72.1% (p=0.365). The mean RV value of patients who underwent EBV decreased by 0.75±0.9 L (p=0.066) at the 3rd month and by 0.5±1.4 L (p=0.442) at the 6th month compared to the baseline. The mean RV percentage at the 3rd month of the patients who underwent LVRC was 169.6±49.2% (p=0.681), and the mean RV percentage at the 6th month after the procedure was 177.7±94.6% (p=0.687). The mean RV value of patients who underwent LVRC increased by 0.07±1.15 L (p=0.898) at the 3rd month and by -0.11±1.45 L (p=0.735) at the 6th month compared to the baseline. Third-and sixth-month test changes of all patients are given in Table 4 and 5.

**Discussion**

Cessation of smoking and traditional medical treatments have been shown to be effective in COPD at first, but the effectiveness of these treatment modalities in preventing exercise dyspnea is limited (10). In the GOLD 2017 report, it is stated that “in selected patients with heterogeneous or...
homogeneous emphysema and significant hyperinflation despite optimized medical care, surgical or BLVR methods (eg. EBV one-way valves or coils) may be considered” (11).

In this study, the short-term effectiveness and safety of BLVR procedures in patients with emphysema who received optimal medical therapy were evaluated. Improvements were observed in the exercise capacity, quality of life and pulmonary function parameters of the patients after the procedure. The mMRC dyspnea scores, CAT scores, BODE index and 6-MWD data recorded at the 3rd and 6th months after the procedure were statistically significant. FEV1, FVC and VC measurements of patients were statistically significant at the third and sixth months after the procedure (p<0.05). When we look at the literature, in many studies, FEV1 value and percentage increase together with the decrease in RV values and percentages are used to evaluate the effectiveness of BLVR. Meta-analyses compiled from these studies frequently include the change in these values of patients (2,4,12).

While the mean baseline FEV1% values of the patients in previous BLVR studies ranged between 26% and 33.4%, the FEV1% values in our study with 26% and 26.3% (12-14). The mean increases in FEV1 of our patients who underwent EBV was 180 mL and it was observed to be more than 100 mL, which is the minimal significant value. Davey et al. (6) reported an increase in FEV1 of 60 mL and 8.7% in the 6-month follow-up in the EBV series of 25 patients. And, Klooster et al. (15) reported that there was 147 mL increase in the EBV group with 40 patients. In the prospective multicenter randomized controlled TRANSFORM study published in 2017, the highest mean value was recorded as 230 mL and a significant increase in FEV1 (12%) was observed in 66% of the patients (p<0.001) (16). Valipour et al. (17) in a prospective study of 93 patients, in which 43 patients undergoing EBV were compared with standard treatment, showed an increase of 120 mL and a FEV1% improvement of 3.5% versus 13.7% in their 6-month follow-up compared to the control group (p=0.002), and it was noted that 14 patients (42%) who underwent the procedure had an increase of 100 mL and over 12%. When compared to this study, it was observed that 56% of our patients had an increase of 12% and 100 mL.

Decrease in RV is accepted as one of the first endpoints with the increase of FEV1 in BLVR studies. Although the change in the mean RV values of our patients who underwent EBV was more than 350 mL, which was considered significant change with 500 mL at the end of the 6th month, it was not statistically significant. When looking at other EBV studies in 2016 and beyond; Valipour et al. (17) found a reduction in mean RV of 480 mL (n=43), Klooster et al. (15) 672 mL (n=40), Kemp et al. (16) 670 mL (n=65). It is observed that our EBV patients are close to these values with the continuation of 750 mL at the 3rd month and 500 mL at the 6th month. As a long-term follow-up for 60 months, Fiorelli et al. (18) gave a reduction rate of approximately 39% from 247% to 207% in EBV patients in their article in 2017, but the most important change in this study is 0-3 months (it was 41%) and then small changes were observed in 30-33% values. This suggests that the most important change occurred in the first 3 months. In our study, the most important change occurred in the first 3 months.

In our patients who underwent LVRC, the mean increases in FVC at the 3rd and 6th months were statistically significant and the change was greater while the basal FVC% values were lower than those who underwent EBV. Similarly, the VC% change was statistically significant at the end of the 3rd month.

In the 6-MWT values in which the exercise capacity of the patients was measured, an average increase of more than 100 m was observed in the patients who underwent EBV and LVRC at the 3rd month, but statistically p>0.05 was not considered significant. The increase in exercise capacity continued at the 6th month and was statistically significant. In a meta-analysis of 140 patients who underwent LVRC in 2015, Slebos et al. (19) reported an increase of 44.1 m (p<0.001) in the mean 6-MWT distance at the 6th month. In the review by Gülşen (2), in which BLVR treatments were evaluated in 2018, they reported an increase of 40.8 m (9.3-91 m) in EBV and 47 m (14.6-84 m) in LVRC procedures. Similarly, in the systematic meta-analysis published in 2019 by Rustagi et al. (4), 39.8 m (18-61 m) increase in EBV and 33.5 m (5.8-61.1 m) increase in LVRC were reported. Usually, 3-6-12-month follow-up is reported in all studies. In a single study that can be considered as a long-term follow-up, the mean change in a series of 33 patients after 5 years of follow-up was reported as +91 m (18). In our patients, the highest values in 6-MWT values were usually observed at the 3rd month, and in 5 patients (20%), walking distance continued to increase at the 6th month.

In our study, mMRC dyspnea scoring was used to evaluate shortness of breath in patients with COPD. The change in mMRC dyspnea score of the patients was considered significant in both groups. In the GOLD guideline, it is absolutely recommended to use mMRC and/or CAT scores for symptom scoring, and they are used to evaluate the severity of the disease (1). The CAT is a more detailed evaluation questionnaire than mMRC that examines different symptoms together. The changes in mean CAT scores of the patients in both groups were statistically significant. Similarly, in the REACH study, the mean change in CAT scores of 107 people in the 6th month was found to be statistically significant (p=0.017) (19,20). Similarly, an average decrease of 2 points was recorded in the BeLieVeR study (6). It is known that CAT score is highly correlated with quality of life. The BODE index was developed for the evaluation of mortality risk in COPD, and it was also used for hospitalization and evaluation of response to treatment. Significant improvements
were observed in the mean BODE index change in the EBV group in our patients. It was observed that significant results including dyspnea scale (mMRC) and exercise capacity (6-MWT) were also reflected in the change in BODE index.

The secondary goal of BLVR treatments is to evaluate their safety. In the first 6 months of follow-up, 1 patient died. When we look at the literature, Davey et al. (6) reported a mortality rate of 8% after 6 months of follow-up in their series of 25 cases. On the other hand, in the study of Herth et al. (21) which included 111 patients, the mortality rate was 5.4% at the end of the 12th month. Considering the mortality rates in LVRC studies, Deslée et al. (13) reported 8% in their 50-case study in 2016, and Sciurba et al. (14) reported 6.5% mortality in the 12-month follow-up of 158 cases (13). In the continuation study evaluating the long-term results of the STELVIO study, which is another study evaluating the effectiveness of EBV, it was reported that mortality was observed in only 2 patients after 12 months of 64 patients who did not have collateral ventilation (15).

In a study conducted in our country, Tanrıverdi et al. (22) observed that mortality was observed in a total of 7 patients in their 12-month follow-up study in which complications of a total of 66 patients (37 patients EBV, 29 patients LVRC) who underwent EBV or LVRC were evaluated. The researchers reported that 4 of these patients who underwent EBV and 3 who underwent LVRC died. And the annual mortality rate was 10.6%. The main issue highlighted in this study was that all patients with mortality had at least one additional disease and the mortality rate was higher in the presence of more than one additional disease, especially in the LVRC group. Although one case in our study had pneumothorax and another patient had hemoptysis, no mortality was observed in the perioperative and postoperative acute period. In an article in which studies published between 2010 and 2017 were compiled, it was reported that COPD exacerbation, followed by pneumonia and pneumothorax, was observed most commonly in those who underwent EBV and LVRC. Among the data on complications in published studies, COPD exacerbation rates are 9.3-64.0%, pneumonia rates are 0-11.7%, pneumothorax rates are 4.2-29.2%, and valve migration and replacement rates are between 1.5% and 20% (2). While the COPD exacerbation rates of our patients were similar to these studies, our rate of pneumonia was higher. However, in some of our patients who developed pneumonia, the observation of pneumonia not in the target lung lobe, where BLVR was applied, but in another lung area does not suggest this situation as a procedure-related complication.

One of the limitations of our study is that it was designed as a single center and retrospective study. Since the data of the patients for the first year and later were not available, they could not be used in the study. Lack of long-term results and the low number of cases can be considered as the limitations of our study. For all these reasons, we think that our findings should be supported by larger series and prospective studies.

Conclusion

When we look at the results of our patients, we can evaluate that BLVR methods are successful in reducing symptoms, with significant improvements in mMRC dyspnea score, CAT score, and BODE index after the procedure, although the number of our patients were few. Significant improvements in pulmonary function test parameters and effort capacity of our patients with treatment in study population, low perioperative and early postoperative complications suggest that the procedure is effective and safe. Although EBV or LVRC treatments for BLVR are advantageous compared to surgical methods in terms of both mortality and morbidity, it should be kept in mind that LVRS or lung transplantation may be required in selected cases. In addition, long-term follow-up data of the patients will reveal the true effectiveness of BLVR treatments.

Ethics

Ethics Committee Approval: The study was approved by University of Health Sciences Turkey, Gülhane Training and Research Hospital, Non-interventional Research Ethics Committee (date: 12.11.2019, approval number: 19/355).
Informed Consent: Written informed consent form was obtained from each participant.

Conflict of Interest: No conflict of interest was declared by the authors.

Financial Disclosure: The authors declared that this study received no financial support.

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