GABA-A Receptor Encephalitis After Autologous Hematopoietic Stem Cell Transplant for Multiple Myeloma

Three Cases and Literature Review

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Abstract

Background and Objectives
The relationship between autologous hematopoietic stem cell transplant (aHSCT) for multiple myeloma (MM) and anti-GABA<sub>A</sub> receptor (GABA<sub>A</sub>R) encephalitis is unknown. We aimed to describe the clinical features, diagnostic process, and outcome of 3 cases of anti-GABA<sub>A</sub>R encephalitis in patients with a history of prior aHSCT for MM.

Methods
A case series of 3 patients. Anti-GABA<sub>A</sub>R antibody was tested at the University of Pennsylvania Laboratory.

Results
The patients were all male, aged 52 (case 1), 61 (case 2), and 62 (case 3) years at encephalitis symptom onset. The duration between completion of aHSCT and the onset of encephalitis was 43, 18, and 9 months, respectively. All 3 patients presented with new seizures and altered cognitive function. Other symptoms included headache and visual obscurations in cases 1 and 2 and intractable vertigo and mania in case 3. Brain MRI demonstrated nonenhancing multifocal T2-weighted/fluid-attenuated inversion recovery cortical and subcortical hyperintensities in all 3 patients. Cases 2 and 3 underwent brain biopsy before initiating immunomodulatory therapy, which demonstrated nonspecific encephalitis with astrogliosis in the white matter; these 2 patients were started on immunotherapy for the treatment of anti-GABA<sub>A</sub>R encephalitis after 22 days and 3 months, respectively, from the first presentation. Case 1 was started on empiric immunotherapy within 8 days of presentation without requiring brain biopsy, given characteristic MRI imaging. CSF analysis demonstrated the presence of anti-GABA<sub>A</sub>R antibodies in all 3 cases. Cases 1 and 3 also tested positive for anti-GABA<sub>A</sub>R antibodies in the serum (serum test was not performed in case 2). Cases 1 and 2 recovered to work full-time within 1 year. Case 3 reported occasional myoclonic-like movement.

Discussion
We highlight the importance of considering anti-GABA<sub>A</sub>R encephalitis in patients with seizures, multifocal nonenhancing brain lesions, and a history of aHSCT for MM. Awareness in recovered post-aHSCT patients with MM may be crucial because prompt recognition can avoid brain biopsy and delays in treatment. The rapid initiation of immunotherapy while awaiting autoantibody results will likely improve functional outcomes.

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The γ-aminobutyric acid type A receptor (GABAAR) is a ligand-gated, chloride ion channel that mediates most of the fast inhibitory synaptic transmission in the brain. It regulates brain excitability and is the pharmacologic target of several antiepileptic drugs, sedatives, and anxiolytic drugs. Variation of this receptor is associated with epilepsy and other neurologic dysfunctions. Anti-GABAAR encephalitis, in which immunoglobulin G (IgG) targets the receptor, is an immunotherapy-responsive autoimmune disorder first described in 2014. Common symptoms of anti-GABAAR encephalitis include status epilepticus and medication-refractory epilepsy. On MRI, the characteristic finding consists of multifocal, nonenhancing brain lesions involving gray and white matter. The largest reported case series of anti-GABAAR encephalitis comprised 26 patients (15 adults and 11 children); of 15 adults, 7 had an underlying tumor, with thymoma reported most commonly. In that report, we specifically described a case of anti-GABAAR encephalitis after autologous hematopoietic stem cell transplantation (aHSCT) for multiple myeloma (MM), noting that the relationship between aHSCT for MM and anti-GABAAR encephalitis is unclear. In this study, we describe the clinical features, diagnostic processes, and outcomes of 3 cases of anti-GABAAR encephalitis in patients with a history of aHSCT for MM.

Methods

Three cases of anti-GABAAR encephalitis in patients with a history of aHSCT for MM were diagnosed at the University of Utah and University of Pennsylvania from November 2017 to March 2019. The presence of anti-GABAAR antibodies was confirmed at the University of Pennsylvania Laboratory in all cases. Reactivity in a specific GABAAR cell-based assay using live human embryonic kidney cells expressing α1β3 subunits was examined in serum and/or CSF. The patients were informed that deidentified data concerning the case would be submitted for publication, and consent was provided.

Standard Protocol Approvals, Registrations, and Patient Consents

The patients were informed that deidentified data concerning the case would be submitted for publication, and consent was provided.

Data Availability

Any anonymized data not published within this article will be made available by request from any qualified investigator.

Results

Two cases were diagnosed at the University of Utah (cases 1 and 2), and one was diagnosed at the University of Pennsylvania (case 3).

Case 1

A 52-year-old man with a 4-year history of MM presented to the emergency department (ED) with intermittent headaches for 3 weeks, sometimes preceded by visual obscuration, described as “a colorful bee.” He had received induction treatment with cyclophosphamide, bortezomib, and dexamethasone and then proceeded to a melphalan-based aHSCT 43 months prior. He had completed maintenance with lenalidomide, bortezomib, and dexamethasone 6 months before neurologic presentation. Physical and neurologic examinations at presentation were unremarkable. Brain MRI with and without contrast demonstrated multifocal patchy areas of cortical and subcortical nonenhancing T2-weighted (T2w)/fluid-attenuated inversion recovery (FLAIR) hyperintense areas, involving both cerebral hemispheres, which were greatest in the lateral right temporal lobe (Figure 1A). Serum lab tests were negative for C-reactive protein (CRP), erythrocyte sedimentation rate (ESR), antinuclear antibodies (ANA), anti-neutrophil cytoplasmic antibodies (ANCA), extractable nuclear antigen antibodies (anti-SS-A, anti-SS-B, anti-Smith, anti-RNP, anti-Jo-1, anti-ScL 70, anticientromere), and neural antibodies, including aquaporin-4 receptor, glutamic acid decarboxylase, anti-N-methyl-D-aspartate receptor, and voltage-gated potassium channel complex screening. He underwent CSF analysis, demonstrating a normal white blood cell (WBC) count (2/μL), slightly elevated protein level (59 mg/dL), normal glucose level, and matched oligoclonal bands (OCB) in the serum and CSF. The CSF IgG index was normal at 0.48. Additional CSF tests were all negative, including cytology, flow cytometry, John Cunningham (JC) virus PCR, and meningitis/encephalitis (ME) panel. The patient was discharged without treatment, with a close neurology follow-up.

Four days after discharge, the patient returned to the ED with new-onset generalized tonic–clonic seizures. He had been experiencing mild cognitive symptoms, episodes of feeling “pulled to the left” and lip smacking. He also reported ongoing headaches with visual obscurations. Neurologic examination at this time demonstrated subtle left lower quadrant apraxia without any other deficits. Repeat MRI with and without contrast confirmed multifocal areas of parenchymal signal within the bilateral cerebral hemispheres on T2w/FLAIR imaging. Repeat CSF analysis demonstrated a normal WBC count (2/μL), elevated protein level (69 mg/dL), normal glucose level, and matched OCB. The CSF IgG index was 0.49. Flow
cytometry, herpes simplex virus-1/2, Mayo Clinic Laboratories serum, and CSF neurologic autoantibody panel test (ENS1, ENC1) results were all negative. Continuous EEG detected intermittent rhythmic delta activity in the right frontotemporal region, consistent with nonspecific focal cerebral dysfunction. Antiepileptic therapy was initiated with levetiracetam (1,000 mg twice daily). At this point, GABAAR encephalitis was suspected based on its characteristic clinical–radiologic presentation as in cases 2 and 3. Empiric treatment was started with a 5-day course of IV methylprednisolone (1,000 mg daily) with concomitant initiation of plasmapheresis for a total of 5 days of treatment. Immediately following this, treatment with rituximab (1,000 mg) was initiated (the first 2 treatments were 2 weeks apart and then every 6 months thereafter) along with oral prednisone (60 mg daily). Repeat brain MRI 2 weeks after initiation of treatment demonstrated an unchanged distribution of multifocal lesions with findings mildly decreased in the right temporal lobe but mildly increased in the bilateral inferolateral occipital lobes (Figure 1B). At the time of discharge, the patient was asymptomatic, without additional seizure activity. Serum and CSF analysis revealed the presence of anti-GABAAR antibodies after discharge. At 1-month follow-up after hospitalization, the patient remained asymptomatic without seizure activity. At this time, oral prednisone was tapered off over 2 months. Three months after discharge, repeat brain MRI was normal, and repeat brain MRI demonstrated nearly complete resolution of his cortical and subcortical abnormalities (Figure 1C). He returned to full-time work within the year. A PET scan evaluation for MM performed 9 months after hospitalization showed unchanged lytic lesions and a diffuse heterogeneous marrow signal without new lesions. Chest CT showed no evidence of thymoma or lung cancer. He is currently receiving rituximab every 6 months with a tentative plan to continue treatment for at least 2–3 years.

(A) Brain MRI findings at the time of presentation. Multifocal patchy areas of cortical and subcortical T2w/FLAIR hyperintensity, involving both cerebral hemispheres, are shown. Involvement is greatest in the lateral right temporal lobe, with additional prominent foci of involvement along the right superior frontal gyrus, posterior left temporal lobe, and right occipital lobe. (B) Repeat brain MRI 2 weeks after initiation of treatment. Unchanged distribution of multifocal cortical and subcortical abnormality. Findings are mildly decreased in the right temporal lobe but mildly increased in the bilateral inferolateral occipital lobes. (C) Repeat brain MRI 3 months after hospitalization. Post-treatment resolution of the previously described cortical and subcortical T2w/FLAIR hyperintensities, previously demonstrated in the bilateral frontal and temporal lobes, is shown. FLAIR = fluid-attenuated inversion recovery.
Case 2
A 61-year-old man with a 2-year history of MM presented to the ED with a 3-day history of headaches, imbalance, and visual obscurations that he described as “a butterfly moving” in his left visual field. Eighteen months prior, he had received induction treatment with cyclophosphamide, bortezomib, and dexamethasone and then proceeded to a melphalan-based aHSCT. The patient was maintained on lenalidomide. His aHSCT course was unremarkable. He also had a medical history of prostate cancer that was treated with brachytherapy 6 years prior. On presentation, physical and neurologic examinations were notable only for a length-dependent neuropathy that began when he was taking bortezomib. Brain MRI with and without contrast demonstrated multifocal T2w/FLAIR hyperintensities in the left frontal operculum, right temporal lobe, and bilateral occipital lobes, involving gray and white matter without contrast enhancement (Figure 2A). Serum tests were negative for CRP, ANA, anti-double-stranded DNA, anti-SS-A antibody, anti-SS-B antibody, ANCA, antiphospholipid panel, rheumatoid factor, beta-2 microglobulin, protein C, protein S, HIV, and rapid plasma reagin. The prostate-specific antigen level was normal at 0.2 ng/mL. The ESR was mildly elevated at 25 mm/h. CSF analysis showed a normal WBC count (2/μL), mildly elevated total protein level (45 mg/dL), normal glucose level (61 mg/dL), and matched OCB in the CSF and serum, which is consistent with a systemic immune reaction. The CSF IgG index was normal at 0.55. CSF Gram stain, aerobic culture, cytology, flow cytometry, and ME panel tests were all negative. Continuous EEG captured multiple electrographic seizures without an obvious clinical correlation. The patient was started on levetiracetam (500 mg twice a day) and was discharged initially with a plan to continue lenalidomide with close follow-up.

Two weeks after the discharge, he presented to the ED feeling increasingly fatigued, with decreased cognitive function (Montreal Cognitive Assessment score of 11) and ongoing visual obscurations. His daughter reported that the patient had been speaking slower, became slower in his thought process, and walked slower. Neurologic examination at this presentation revealed significant encephalopathy and new left hemianopsia. His brain MRI with and without contrast demonstrated an interval increase in the size of the existing bilateral areas with new T2w/FLAIR-hyperintense lesions without contrast enhancement (Figure 2B). Continuous EEG showed multifocal slowing, sharp waves, and multiple seizures in the right occipital and left frontoцентрal regions. Repeat CSF analysis demonstrated an elevated WBC count at 9/μL (97% lymphocytes), elevated protein level (61 mg/dL), normal glucose level (61 mg/dL), and matched OCB in the CSF and serum. The CSF IgG index was 0.49. Additional CSF tests were negative for the meningitis encephalitis panel, West Nile virus antibody, JC virus PCR, cytology, and flow cytometry. The Mayo Clinical Laboratories serum and CSF neurologic autoantibodies panels (ENS1, ENC1) returned negative results. At this point, CSF samples were sent to the University of Pennsylvania for GABA_A receptor antibody testing. During admission, seizures were treated with levetiracetam (1,000 mg twice daily), and the patient later transitioned to lacosamide (100 mg twice daily). A brain biopsy was performed, which was notable only for nonspecific astrogliosis, increased microglia, and rarefied white matter. He was treated empirically with IV methylprednisolone (1,000 mg daily) for 6 days, followed by IV immunoglobulin (IVIg) (2 g/kg) over 3 days. One month after admission, he was treated with rituximab (1,000 mg) IV, with a second dose 2 weeks later. His recovery was delayed because of hemorrhagic transformation at the initial biopsy site, and a second biopsy was performed (showing nonspecific gliosis again). After a total of 5 weeks of hospitalization, he was discharged to inpatient rehabilitation.

Figure 2 Serial Brain Magnetic Resonance Imaging (Case 2)

(A) Brain MRI findings at the time of presentation. T2w/FLAIR signal abnormalities in the left frontal operculum, right temporal lobe, and bilateral occipital lobes are shown. (B) Repeat brain MRI 2 weeks after the first presentation. Worsening appearance of existing bilateral supratentorial T2w/FLAIR-hyperintense lesions shown over time. New lesions have developed involving the paramedian frontal lobes bilaterally and the right parietal lobe. (C) Repeat brain MRI 5 months after hospitalization. Posttreatment resolution of most of the scattered patchy hyperintense regions of parenchymal signal abnormality is observed. Left frontal encephalomalacia is secondary to intraparenchymal hemorrhage. FLAIR = fluid-attenuated inversion recovery.
Serologic testing for GABA<sub>3</sub>R antibodies was positive after discharge. Three months posthospitalization, he demonstrated significant clinical improvement of the encephalopathy and visual symptoms. He continued with IV rituximab (1,000 mg every 6 months) along with antiepileptic therapy to address his risk of epilepsy due to postbiopsy changes. Follow-up brain MRI with and without contrast approximately 5 months after hospitalization demonstrated a significant response to treatment with resolution of most of the T2w/FLAIR cortical and subcortical lesions (Figure 2C). A PET scan was performed to evaluate his MM, revealing a stable size and number of lytic lesions with no hypermetabolism to suggest active disease. Chest CT showed no evidence of thymoma or lung cancer.

During the remaining follow-up visits, he continued to demonstrate excellent clinical improvement and returned to previous activities and employment. His rituximab was discontinued after 2 years and 8 months (7 infusions in total), followed by a recurrence of clinical and radiographic disease activity 15 months after the last dose of rituximab. Immune therapy was promptly reinitiated with subsequent return to full clinical function.

**Case 3**

A 62-year-old man with a history of aHSCT for MM developed vertigo with falls on standing and mild confusion. He had received induction treatment with lenalidomide, bortezomib, and dexamethasone and then proceeded to a melphalan-based aHSCT 9 months prior. His aHSCT course was unremarkable, and he was receiving lenalidomide maintenance therapy.

He first presented at an outside hospital, where physical and neurologic examinations and brain MRI with and without contrast were unremarkable. He had no apparent seizures at that time. His symptoms progressed over the next 2–3 weeks, and he became very nauseated and had difficulty moving due to intractable vertigo. He presented to the University of Pennsylvania for further evaluation and management. Initial serum lab tests and CSF analysis showed nondiagnostic results. Brain MRI at that time revealed multifocal, nonenhancing cortical and subcortical T2w/FLAIR hyperintensities with temporal lobe involvement. A brain biopsy was performed and showed nonspecific inflammatory changes. He was initiated on a 5-day course of IV methylprednisolone. Although the diagnosis was unclear, his symptoms and the MRI abnormalities subsequently improved, and he was discharged home.

One month later, the patient returned to the hospital with confusion and mania. His family reported that he had become irrational and experienced multiple falls. Repeat brain MRI showed worsening multifocal, nonenhancing cortical and subcortical T2w/FLAIR hyperintensities. Continuous EEG during this admission detected intermittent electrographic seizure activities. Anti-GABA<sub>3</sub>R encephalitis was suspected based on its characteristic radiologic findings and seizures. He was started with a 5-day course of IV methylprednisolone at 1,000 mg daily, followed by 3 courses of plasmapheresis. However, his condition continued to evolve, and he became unresponsive for 3 weeks, during which time CSF and serum testing results returned, and revealed the presence of anti-GABA<sub>3</sub>R antibodies. He was started on rituximab (with the first 2 treatments 2 weeks apart and every 6 months thereafter) along with an additional 5-day course of IV methylprednisolone followed by a 5-day course of IVlg. He also received cyclophosphamide thereafter.

During the postdischarge follow-up, his condition continued to improve, and he was able to return to full function; he had a normal physical examination 2 months after discharge. Brain MRI also demonstrated nearly complete resolution of his cortical and subcortical abnormalities. A PET scan was performed to evaluate his MM, revealing stable multiple lytic bone lesions, but no other evidence of cancer, including thymoma. Chest CT did not demonstrate any evidence of thymoma or lung cancer. He continued to report occasional myoclonic-like movements in his hands and legs; however, routine EEG was unremarkable, and no convulsive episodes were reported.

**Discussion**

We describe 3 cases of anti-GABA<sub>3</sub>R encephalitis in patients with a history of aHSCT for MM (Table). In this series, all patients were male, with ages at onset of encephalitis between 52 and 62 years. The time from the completion of aHSCT to the onset of encephalitis symptoms ranged from 9 to 43 months. These data are consistent with a previous report, which described a 62-year-old man who developed anti-GABA<sub>3</sub>R encephalitis 10 months after an aHSCT. MM is a condition that affects mainly older adults with a median age at diagnosis of 65–74 years. Thirty-seven percent of patients are younger than 65 years, and 10% are younger than 50 years. The younger age of our patient population at the diagnosis of MM can be explained by the data supporting the administration of a 3-drug induction therapy plus aHSCT for patients younger than 65 years with no major underlying medical issues. Our current findings showed that new-onset seizures and cognitive impairment were the most common clinical manifestations seen in all 3 cases. In a previous study, seizures were reported to be the most common symptom (88%), followed by altered cognitive function (67%). Also consistent with the previous study, in which brain MRI abnormalities were reported in 88% of cases, usually involving the temporal lobes (95%), all cases in our report showed temporal lobe involvement, as well as both gray and white matter involvement. All 3 cases tested positive for anti-GABA<sub>3</sub>R antibodies in the CSF. Cases 1 and 3 also tested positive for anti-GABA<sub>3</sub>R antibodies in the serum (serum test was not performed in case 2). Available data do not indicate whether serum or CSF should be tested preferentially because of the rarity of the condition. In a previous study analyzing 16 patients with paired serum and CSF samples, 14 had antibodies in both and 2 only in serum, suggesting that when possible, patients with suspected
anti-GABA_{A}R encephalitis should have testing on both serum and CSF.

In cases 1 and 2, the patients were started on treatment for anti-GABA_{A}R encephalitis within 8 and 22 days, respectively. In case 3, although the patient had a 5-day course of steroid treatment, the treatment for anti-GABA_{A}R encephalitis was delayed for 3 months. The patient did not have histories of convulsion or electrographic seizure within 8 and 22 days, respectively. In case 1, we were able to initiate empiric immunotherapy early and without a brain biopsy because of the high suspicion of anti-GABA_{A}R encephalitis based on its characteristic clinical–radiologic presentation. Using these patients’ cases, we hope to raise awareness and potentially prevent brain biopsy lacks in excluding the possibility of autoimmune encephalitis if brain biopsy is not readily available. In cases 1 and 2 first presented with headache and visual obscuration before seizures.

Two patients (cases 2 and 3) underwent brain biopsy before initiating therapy, which is characteristically bland in this condition; they presented only nonspecific astrogliosis and increased microglia in the white matter but no lymphocyte infiltration or activated macrophages. This highlights the importance of not excluding the possibility of autoimmune encephalitis if brain biopsy lacks inflammation when clinical and radiologic features are suggestive. In case 1, we were able to initiate empiric immunotherapy early and without a brain biopsy because of the high suspicion of anti-GABA_{A}R encephalitis based on its characteristic clinical–radiologic presentation. Using these patients’ cases, we hope to raise awareness and potentially prevent brain biopsy in similar characteristic cases in the future. As noted in the consensus guidelines, the current diagnostic criteria for autoimmune encephalitis is too reliant on antibody testing and the response to immunotherapy. Timely recognition and treatment of autoimmune encephalitis is crucial to mitigating the consequent burden of cognitive impairment and neurologic disability that can result from this condition, but at present, commercial testing for GABA_{A}R antibodies is often not readily available. In addition, the detection of neuronal surface antibodies using the commercial assay has been reported to show false negative results in a substantial number of patients, especially in CSF samples, underscoring the importance of the recognition of the clinical characteristics of anti-GABA_{A}R encephalitis to prevent delays in the initiation of appropriate immunotherapy.

Autoimmune complications in a patient with a history of aHSCT have been reported, with immune thrombocytopenia, thyroid dysfunction, and myasthenia gravis being the most common autoimmune diagnoses. The mechanism of autoimmune complications is not clearly understood, but it is postulated to be multifactorial and involve post-aHSCT immune dysregulation, genetic predisposition, and environmental factors, such as infections. MM itself occurs in the context of a variety of autoimmune conditions. Although autoimmunity affecting the CNS in the posttransplant setting has been reported in some studies, it is rare. A retrospective study over 13 years of the Mayo Clinic Neuroimmunology Laboratory database (Rochester, MN) demonstrated that only 3 patients met the criteria of autoimmune encephalitis by 2016 criteria after solid-organ or hematopoietic stem cell transplantation. In the series, 1 patient developed a new neurologic condition 38 months after aHSCT for MM and was diagnosed as acute disseminated encephalopathy with positive myelin oligodendrocyte glycoprotein antibodies. A separate publication reported 1 case of anti-N-methyl-d-aspartate receptor encephalitis 16 months after kidney transplantation for radiation nephropathy preceded by stem cell transplant; however, the case was an allogeneic stem cell transplant for non-Hodgkin lymphoma. The mechanism of developing autoimmune encephalitis posttransplant remains unclear.

We were unable to identify any reports of anti-GABA_{A}R encephalitis in a patient who had a history of MM without subsequent aHSCT; however, we identified a report of another type of autoimmune encephalitis: anti-γ-aminobutyric acid, type B, receptor encephalitis in a nontransplanted patient.
with MM. Confounding factors secondary to other tumors, particularly thymoma, should be considered. In all our cases, chest CT and PET scan were performed during the follow-up and demonstrated no evidence of cancer except MM. Further studies are required to determine the relationship and mechanism between having aHSCT after MM and anti-GABA$_\text{A}$R encephalitis.

This case series highlights the importance of recognizing the possibility of anti-GABA$_\text{A}$R encephalitis in patients who develop seizures or characteristic MRI abnormalities after undergoing aHSCT for MM. Early awareness and collaboration between hematologists, neurologists, and neuroradiologists can prevent unnecessary brain biopsy and delayed diagnosis. In addition, early diagnosis and initiation of empirical immunotherapy while awaiting autoantibody results may help control refractory seizures and other neurologic dysfunctions and improve long-term functional outcomes.

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### Appendix (continued)

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