Comparative study of modified conical cavity receiver with other receivers for solar paraboloidal dish collector system

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Abstract
Solar parabolic dish concentrators are one of the most efficient solar power conversion technologies. The cavity receivers are the most common type, used for reducing the heat losses from the receiver. In this paper, a novel cavity receiver is proposed, and the objective is to compare the novel modified conical cavity receiver with the existing cavity receivers such as cylindrical, conical and modified cavity receivers. The cavity receivers are designed for the parabolic dish of 4-m diameter which is installed at the National Institute of Technology Puducherry, India. Ray-tracing analysis was carried out to determine the size of the receiver. The analysis was carried out for various orientations of the receivers from 0 to 90° with a step size of 15° and also for the cavity temperatures: 300°C, 400°C, 500°C, 600°C and 700°C. Based on the results obtained, the modified conical cavity receiver is found to be the best design in terms of minimum heat losses compared to other receivers. The next best choices are found to be modified cavity, conical cavity and cylindrical cavity receiver. The whole analysis is conducted with a developed model in COMSOL Multiphysics.

Keywords Cavity receiver • SPDC • Modified conical cavity receiver • Comparison of receivers • Dish concentrator • Paraboloidal dish

Introduction
Solar energy would be an eco-friendly approach to meet the future energy needs of the earth sustainably. Solar thermal energy collectors are used for the productive use of solar thermal energy. Concentrating solar power (CSP) is the most viable technology for solar energy usage as it can produce heat at low, medium and high temperatures. Among the CSP technologies, the solar parabolic dish collector (SPDC) system attracts many researchers because of its higher solar thermal conversion efficiency and its modular design capability. The solar parabolic dish is having a wide range of applications such as steam production, electricity production, solar cooling, process heating and desalination (Kaushika and Reddy 2000; Mancini et al. 2003; Lovegrove et al. 2007; John and J. 2015; Reddy et al. 2015b; Srithar et al. 2016).

Parabolic dish concentrator, receiver or absorber and dual-axis solar tracker are the three main components of the SPDC system (Reddy et al. 2015a; Sahu et al. 2020). The losses and inaccuracies in each component significantly affect the overall efficiency of the collector system. However, a lot of research works are going on to reduce the losses and to improve the accuracies of components. Chong and Wong presented a generalized mathematical method for sun tracking which also improves the accuracy of the tracking system (Chong and Wong 2009). Bakos performed an experimental study on the electromechanical based dual-axis tracking system for parabolic trough collectors. The author claimed that it was economical and requires low maintenance and simple installation (Bakos 2006). Barker et al. proposed a dual-axis sun tracker with a linear actuation profile that uses four linear actuators with linkages (Barker et al. 2013). Walter et al. reviewed the challenges faced in solar tracking and discussed the advancements (Nsengiyumva et al. 2018).

Likewise, a lot of research works on the parabolic dish was being carried out based on the improvement of reflectivity, reducing the surface slope error, uniformity in flux distribution, ease of fabrication and installation, economic viability and so on (Sup et al. 2015; Coventry and Andraka 2017;…
Hafez et al. 2017). Yan et al. proposed an optimization method for the novel discrete solar parabolic dish concentrator intended to improve the flux distribution on the cylindrical cavity receiver and observed a significant reduction in the non-uniformity to 0.10–0.22 (Yan et al. 2018). Hamza et al. developed an SPDC system at low cost by optimizing the size of the supports and reflectors to reduce the total weight of the system and concluded that the design was robust and can be implemented for bigger size dishes also (Hijazi et al. 2016). Lifang and Steven presented a new design approach for the parabolic dish concentrator with flexible petals in order to increase the accuracy of the profile and to reduce the fabrication and transportation expenses. Also, claiming that the concept provides a solution to improve the precision of the dish at a low cost (Li and Dubowsky 2011). In a technical note presented by Kaushika, the basic geometrical optic relations for parabolic dish concentration with multi-facets (Kaushika 1993). Wang et al. proposed a 2-stage parabolic dish concentrator based on the overlap method in order to reduce the receiver size and for improving the flux concentration and distribution (Wang et al. 2017).

The losses from the receiver or absorber are one of the significant factors which affect the overall efficiency of the SPDC system. The dominant heat loss from the receiver is radiant heat loss, followed by convective heat loss and conductive loss respectively. Cavity receivers are the most commonly used receivers in dish collector systems. These receivers capture the reflected rays from the concentrator more effectively by multiple internal reflections. Cylindrical (Wang et al. 2013; Azzouzi et al. 2017; Karimi et al. 2018; Loni et al. 2018a, 2018b; Soltani et al. 2019), conical (Li et al. 2015a; Pavlovic et al. 2018; Awasthi and Khan 2019), spherical (Si-Quan et al. 2018), and modified cavity receivers (Sendhil Kumar and Reddy 2007; Reddy and Sendhil Kumar 2008, 2009; Vikram and Reddy 2014, 2015; Reddy et al. 2015a, b, 2016) are some of the frequently used receiver types.

Sendhil Kumar et al. numerically investigated the convective heat losses in a hemispherical cavity receiver for different inclinations (0°–90°) for both configurations of with insulations and without insulations. It was then observed that the inclinations of the receiver at 0° had higher convective losses and gradually decreased eventually moving the angle to 90° in terms of Nusselt’s number correlation (Sendhil Kumar and Reddy 2007). Melchior et al. investigated the thermochemical reactions using a cylindrical cavity receiver. Results from both experimental and numerical simulations hold the good argument that the major losses were radiative through the aperture and conduction through the cavity walls. The set attained a solar to chemical energy conversion of 28.5% for the temperature of the reactor at 2300 K and input solar power of 40 kW/m (Melchior et al. 2008). Prakash et al. proved that the convective loss increases with an increase in mean receiver temperature and decreases with the increase in receiver inclination. The wind-induced convective losses are generally higher than the no-wind convective loss at all receiver inclination angles, except for the 0° inclination angle (Prakash et al. 2009). Prakash et al. proposed an estimate for identifying the zone boundary, and a variable called “critical air temperature gradient” (ψ) is defined for the same purpose. A value of about 0.3 critical air temperature gradient is observed after the tests. The stagnation zone is observed at regions having ψ>0.3 and convective zone having ψ<0.3 (Prakash et al. 2010). Thirugnanasambandam et al. review about the present-day solar technologies (Thirugnanasambandam et al. 2010). Also, many researchers have discussed the performance analysis of existing geometries by simulations and suggested the improvements. The convection heat loss significantly reduces the thermal efficiency, and it is important to assess the same and progress in this area (Wu et al. 2010).

Convective heat losses in various shapes (conical, cylindrical, cone-cylindrical and hetero-cylindrical) are compared under various temperatures (523 K, 723 K and 923 K) at different angles (30°, 45°, 60° and 90°). The wind direction speeds in the range of 1–5 m/s. Among all the variants, conical seems to have the lowest convective heat loss coefficient (Jilte et al. 2014). Li et al. state that the buoyancy force of air plays a major role in the convective heat losses in a solar parabolic dish operating under 900 to 1200 K. 3D numerical simulations were done in the viewpoint of the field synergy principle (FSP) to study the heat transfer and heat loss in a cavity receiver. It was also concluded that smaller production of the velocity vector and temperature gradient will lead to a lower Nusselt number. Local heat transfer performance was done using fluid motion (Li et al. 2015b), Ngo et al. observed that heat loss is greatly influenced by the inclination of the receiver and radiative loss is influenced the most by cavity receiver properties. Also, radiative heat loss is found to be constant for all angles. So, optimization of the receiver was done by placing fins in places to reduce a radiative loss (Ngo et al. 2015a). Ngo et al. continued work further by a numerical model where a 3D model is made to capture heat transfer and the flow process is analysed. The analysis is further used to optimize the receiver. This paper concludes that heat loss can be reduced by using plate fins (Ngo et al. 2015b). Reddy et al. created a 3-D numerical model to study combined heat losses in a modified cavity receiver that is to be used for steam generation conditions. The convective heat loss is determined by the estimated Nusselt number correlation developed for the model. The effect of the receiver by receiver’s inclination, operating temperature, cavity cover emissivity and receiver thickness of insulation was investigated to analyse the combined heat losses. Reddy et al. conclude their paper by determining the natural convection, forced convection and surface radiation heat loss in the modified cavity receiver under various conditions (Reddy et al. 2015b).

Daabo et al. established a new relationship between the heat flux distribution on the internal surface and the optical
efficiency. The conical shape again proved to exhibit high efficiency (75.35%) followed by cylindrical (71.5%) and spherical (70.1%) shapes. The theory concluded that the focal point location depends on the shape of the cavity receiver and the absorptivity in Daabo et al. (2016). Reddy et al. performed an investigation on convective heat losses in a modified cavity receiver for the effect of wind speed and direction with varying receiver directions (~90 to 90°), wind speed (V = 0–10 m/s), receiver inclinations (0 to 90°) and surface temperatures. A critical wind speed (5 m/s) was achieved below which there is no forced convective heat loss. The investigations came up with a variety of conclusions that stated that the heat loss from the receiver is maximum for the side on wind conditions compared to the head-on and back on conditions; also, the forced convection heat loss was maximum at 60° inclination irrespective of the wind direction. All these conclusions were made possible with the help of Nusselt number correlations in Reddy et al. (2016). Arrif et al. investigated numerically the convective heat losses in a cylindrical solar cavity receiver using three helical tube diameters (12 mm, 16 mm, 25 mm) and three aperture ratios (1, 0.75 and 0.5). The effect of certain parameters such as receiver inclination, inlet temperature and receiver size and tube diameter on the convective heat loss and outlet temperature was analysed. The observations showed a similar conclusion that the convective heat losses increased independently with the increase in diameter, opening ratio and fluid temperature (Arrif et al. 2018). Song Yang et al. compare two-cavity heat-pipe receivers, one a conventional type and another a modified novel type. The latter model was subjected to both optical and thermal efficiency simulations to analyse the design and performance of the same. The results proved that the novel model had a better conversion efficiency (68.6%) than the conventional counterpart. Also, the novel 2 stage dish concentrator improved the uniform incident irradiation distribution on the absorbing surface by the overlapping effect. The optical and thermal performance of the novel design improved as the losses decreased by 18.8% than the conventional design (Yang et al. 2018a). S. Yang et al. extend their work on reducing the convective heat losses from the cavity receiver for forced convection. A modified cylindrical cavity receiver approved by the Australian National University (ANU) was selected for the experiment and the CFD tool was used. A 3-D CFD simulation in Fluent 17.0 for calculating the convective heat loss was preferred to get more accurate results. Different inclination angles and the temperature were given input to run simulations. The forced air circulation system showed 58% less convective heat loss than the natural air circulation conditions (Yang et al. 2018b).

A lot of research has been conducted on the solar parabolic dish collector system to improve performance and reduce development costs. Several kinds of research are being conducted on the receiver part, the parabolic concentrator part and the tracking system. Heat losses from the receiver have been described as the main factor influencing the efficiency of the system. Cavity receivers are found to be the most common configuration for minimizing heat losses in the parabolic dish concentrator system. Cylindrical, conical, hemispheric, cubic, modified cavity, etc. are some of the cavity receptors used by many researchers. From the literature review, it has been shown that there is a great deal of scope in the design of different cavity receivers for the solar parabolic dish collection system to develop highly efficient designs, especially at different working temperatures. In this paper, a new receiver named modified conical cavity receiver is proposed. The novel cavity receiver is then numerically compared with the other three known cavity receivers such as cylindrical, conical and modified cavity receivers. The following sections of this paper describe the methodology of simulation work carried out followed by results and discussion, and conclusion.

Table 1 Geometrical and simulation parameters considered for the study

| S. No. | Parameters          | Symbol | Value               |
|--------|---------------------|--------|---------------------|
| 01     | Aperture area of dish | $A_p$  | 12.535 m²           |
| 02     | Diameter of dish aperture | $d$    | 4.00 m              |
| 03     | Focal length | $f$    | 2.413 m             |
| 04     | Rim angle | $\psi$ | 45°                  |
| 05     | Depth of dish | $h$    | 0.414 m             |
| 06     | Arc length | $s$    | 6.5719 m            |
| 07     | Half conical angle | -      | 0.266°              |
| 08     | Reflector | -      | Back silvered mirror |
| 09     | Reflectivity | $\rho$ | 0.95                |
| 10     | Thickness of glass | $t$    | 0.001 m             |
| 11     | Facet size | $L$    | 0.075 m × 0.075 m   |
| 12     | Surface slope error | $\sigma$ | 6.2832 mrad.       |
| 13     | Atmospheric temperature | $T_{ao}$ | 30°C              |
| 14     | Direct beam solar radiation | $I_b$   | 1000 W/m²           |
| 15     | Conical angle | $\omega_p$ | 0.00465 rad          |
Methodology

In the present work, the numerical simulation of the different configurations of cavity receivers for solar parabolic dish collector system is carried out to determine the heat loss coefficients. Based on the results of numerical work, the various configurations are compared. Figure 1 depicts the approach used in this research.

Ray tracing

In this work, ray tracing of the solar parabolic dish concentrator is carried out initially for the existing 4-m diameter dish in the National Institute of Technology Puducherry, Karaikal (10.92° N, 79.84° E). From the ray-tracing analysis, the flux distribution of the concentrated fringe over the focal plane is studied with the COMSOL Multiphysics and the concentration ratio is plotted against the x-coordinate. For the analysis, a standard solar direct beam irradiance of 1000 W/m² is considered, and also solar conical angle and surface slope error due to the placement of square mirror facets are taken into account for the study. The specifications for the dish concentrator and the simulation parameters are given in Table 1.

For the analysis, the distribution of the released rays is assumed as monochromatic and the refractive index on the surrounding medium is considered to be 1. The number of rays released is taken as 1000 and the reference temperature is taken as 293.15 K.

Ray-tracing results

The results of the ray-tracing analysis for the given parabolic dish concentrator are shown in Figs. 2 and 3. Figure 2a
illustrates the reflected ray propagation from the dish. Figure 2b illustrates the deposited ray distribution on the focal plane of the given concentrator. The power distribution at the mid of the focal plane is found to be highest and it diminishes as moving towards the outside. The total power deposited on the focal plane is found to be 10,958 W, and hence, the concentrator optical efficiency ($\eta_{con}$) is calculated as 87.4%.

Figure 3 graphically depicts the radial distribution of the concentration ratio along the focal plane. From the graph, it is observed that the concentration ratio peaks at the mid and gradually decreases as moving towards the outer edge. Nullifying the effect of concentration ratio below 100, the solar image size is determined as 160 mm with reference to the graph. This same dimension is kept as the aperture diameter for all the receivers which are compared in the current study. In the case of modified cavity receivers and modified conical receivers, the opening diameter is taken as 60 mm where the concentration ratios are above 1000.

**Studied cavity receivers**

Cavity receivers such as cylindrical, conical, modified cavity receivers and the novel modified conical cavity receivers have been used for comparative study. Figure 4 shows various cavity receivers used in the current study. A 2D heat transfer laminar flow simulation is conducted using COMSOL Multiphysics for the analysis of the receiver performance. The performance of a receiver is inversely proportional to the losses from the receiver, as the heat loss increases the performance declines.

The dimensions for the receivers are chosen based on the radial distribution of the concentration ratio at the focal plane obtained from the ray-tracing results. The aperture area of all the cavity receivers is kept the same for a fair comparison. The height (b) of the receivers is kept the same as the aperture diameter (a) except for the modified cavity receiver for which the height will be the radius. The aperture opening diameter ($a_o$) for modified cavity and modified conical cavity receivers was kept the same and is also chosen from the distribution of concentration ratio at the focal plane.

**Numerical analysis**

**Mathematical formulations**

The basic equations used for the evaluation of the solar parabolic dish collector system can be given as follows:
Available solar radiation on the concentrator can be defined as the product of the projected area of the dish ($A_p$) and the direct beam irradiance ($I_r$) 

$$ Q_s = A_p \cdot I_r $$  \hfill (1)

The efficiency of the concentrator can be defined as the ratio of the amount of heat energy concentrator over the focal plane ($Q_f$) to the solar radiation available over the concentrator ($Q_s$).

$$ \eta_{\text{con}} = \frac{Q_f}{Q_s} $$ \hfill (2)

The efficiency of the receiver can be defined as the ratio of the amount of energy absorbed by the receiver ($Q_a$) to the energy available over the focal plane ($Q_f$).

$$ \eta_{\text{rec}} = \frac{Q_a}{Q_f} $$ \hfill (3)

The optical efficiency of the receiver can be stated as the product of the concentrator efficiency and the receiver efficiency.

$$ \eta_{\text{opt}} = \eta_{\text{con}} \cdot \eta_{\text{rec}} $$ \hfill (4)

which can also be given as

$$ \eta_{\text{opt}} = \frac{Q_a}{Q_s} $$ \hfill (5)

The overall heat loss from the receiver can be given as

$$ Q_L = Q_{\text{Conduction}} + Q_{\text{Convection}} + Q_{\text{Radiation}} $$ \hfill (6)

The overall heat loss coefficient can be calculated based on the following formula

$$ U_L = \frac{Q_L}{A_T(T_{\text{rec}} - T_{\infty})} $$ \hfill (7)

The performance of a cavity receiver mainly depends on the losses from the receivers. Hence, the overall heat loss coefficient is an ideal index for the evaluation of the cavity receiver.

### Simulation model developed

In the current study, the analysis is carried out using COMSOL Multiphysics, in which the heat transfer and CFD modules are used. The laminar flow and heat transfer in fluids are coupled together to simulate each case under natural convection. No velocity for air domain is given, and only flow due to density difference is considered. The Navier-Stokes equation for the conservation of momentum and the continuity equation for the conservation of mass are solved for laminar flow. Piecewise linear interpolation is considered for velocity and pressure in laminar flow discretization. The convection-diffusion heat transfer equation is used by the heat transfer module. The 2D models are created using the geometry module available in COMSOL Multiphysics. The assumptions considered for the current analysis are:

- The flow is considered laminar and incompressible.
- Gravity effect and reduced pressure conditions are considered to include the buoyancy force to the model and to account for the small density changes.
- The ambient temperature is taken as 30°C for all the cases of simulation.
- The emissivity of the receiver is considered to be 0.3.
- The incoming solar direct normal insolation ($I_r$) is taken as 1000 W/m² in all the cases.

In total, 35 combinations of simulation are conducted for each receiver types under the following conditions.

- The range of cavity temperature is chosen as 300–700°C with a step size of 100°C.

### Table 2  Grid independence test for modified conical cavity receiver at the cavity temperature of 400°C and 0° orientation

| $E_{\text{max}}$ (m) | 0.012  | 0.013  | 0.014  | 0.015  | 0.0160 | 0.017  | 0.018  |
|-----------------------|--------|--------|--------|--------|--------|--------|--------|
| $E_{\text{min}}$ (m)  | 0.00015| 0.00015| 0.00015| 0.00015| 0.00015| 0.00015| 0.00015|
| No. of elements       | 29965  | 29408  | 28858  | 28237  | 28025  | 27612  | 27102  |
| Overall heat loss coefficient ($U_L$) (W/m²K) | 18.8723 | 18.8369 | 18.8013 | 18.8607 | 18.8292 | 18.8846 | 18.8338 |

![Fig. 5 Comparison of convective heat loss in modified cavity receiver from the present study and Reddy’s work](image-url)
The orientation angle of the receiver is chosen as 0–90° with a step size of 15°.

**Boundary conditions**

The boundary conditions for the simulation of the cavity receivers are:

- The initial velocity of the air domain is 0 m/s.
- No slip wall condition is used for the receiver since the walls are stationary.
- Outer walls of the receivers are given as well insulated, which means the heat flux across the boundary is 0.
- Cavity temperature which is mentioned above represents the exposed area of the receiver to the concentrated flux from the concentrator.
- Walls of the air domain are considered the open boundary where both mass and energy interactions are possible.

*Fig. 6* Temperature contour of **a** cylindrical cavity receiver, **b** conical cavity receiver, **c** modified cavity receiver and **d** modified conical cavity receiver at various orientations for 400°C
Grid independence test

The next step is to provide proper meshing to the geometrical model. The grid independence test is carried out, and the results are shown in Table 2. Finally, physics-based meshing with boundary refinements is carried out for better results. The independence test is carried out for a modified conical cavity receiver, for a cavity temperature of 400°C and 0° orientation. The maximum element size is varied from 0.012 to 0.018 m and it is observed that the overall heat loss coefficient is not changing significantly. Hence, the middle value is taken as the common for all simulations.

Model validation

The developed model is validated with the previous literature numerical results from the work of Reddy et al. (Sendhil Kumar and Reddy 2007) and shown in Fig. 5.

In the current study, the orientations such as 0, 15, 30, 45, 60, 75 and 90 are having lower deviations of convective heat loss when compared to the Reddy et al. model. The deviation of convective heat loss between the present model and the Reddy model varies from 2.44 to 8.6 W. From the validation report, it is observed that the convective heat loss has good agreement with the existing work at the inclinations ranges...
from 0 to 90°. Hence, the present model is considered to be validated with the existing research work (Sendhil Kumar and Reddy 2007).

Results and discussion

Comparison of receivers

Besides ray tracing of the solar parabolic dish, the various configurations of receivers are compared in this section. Figure 6 a, b, c and d show the temperature contour of the cylindrical, conical, modified cavity and modified conical cavity receivers at 400°C cavity temperatures.

From Fig. 6, it is observed that the stagnation zone is more at 0° orientation and the stagnation zone gets degraded as the orientation approaches 90°. This is mainly due to the heating of air which reduces the density of air and the buoyancy effect leads to the upward movement of air. In the case of 0° orientation, the flow of air against gravity is arrested by the walls of the receiver, whereas, in the case of other orientations, the hot air gets space to escape out of the receiver. The convective heat loss is higher in the case of the lower stagnation zone. Since radiation is a temperature phenomenon, the radiative heat loss remains the same for all orientations and it depends on the cavity temperature.

The conductive heat loss is not considered for the analysis since the value is negligible when compared to the convective and radiative heat losses. The convective and radiative heat losses are considered for the analysis. The total heat losses through the aperture of each cavity receiver configuration are calculated and obtained from the numerical results. Figure 7 illustrates the variation of total heat losses from the receivers with respect to changes in temperature as well as orientation. From the results, it is observed that the cavity receivers can be sorted as the cylindrical cavity, conical cavity, modified cavity and modified conical cavity receiver respectively with respect to the total heat loss. Modified conical cavity receiver is claimed to be better among the four compared receivers, and modified cavity receiver is found to be the best next to the modified conical followed by conical cavity receiver and cylindrical cavity receiver. Also, it is found that the heat loss increases exponentially in the case of cylindrical and conical cavity receivers, whereas, for the modified conical cavity receivers, the temperature varies almost linearly with respect to the temperature.
As per the simulation results, the modified conical cavity and modified cavity receivers are found to be the better designs, and hence for the clear understanding of the heat loss variations at various cavity temperatures and orientations, the graphical illustration is given for both modified cavity and modified conical cavity receivers in Fig. 8. The dotted line represents the modified cavity and the continuous line represents the modified conical cavity receiver. It clearly depicts that the difference of heat loss between modified conical and modified receivers increases gradually with respect to the cavity temperature. Hence, modified conical cavity receiver is found to be a better design than the other compared receivers.

**Conclusion**

Solar parabolic dish concentrator emerged as one of the best solar energy utilization techniques, in which the cavity receiver design plays a major role in improving the efficiency of the system by reducing heat losses. In this work, a novel cavity receiver, modified conical cavity receiver, is proposed and it is numerically compared with other receivers such as cylindrical, conical and modified cavity receivers by conducting heat transfer coupled with laminar flow problem using COMSOL Multiphysics. Based on the numerical results, the following findings are found: It is found that the modified conical cavity receiver is the most efficient followed by modified cavity, conical and cylindrical cavity receivers. For the solar thermal conversion efficiency criterion at 400°C, the modified conical receiver is found to be 84.7%, whereas, for other receivers such as modified cavity, conical and cylindrical cavity, the efficiencies are 83.5%, 71.5% and 67.3%, respectively.

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**Author contribution** Arjun Singh K: Conceptualization, formal analysis, investigation, data curation, writing—original draft
Sendhil Kumar Natarajan: Conceptualization, validation, resources, writing—review and editing, supervision, project administration

**Availability of data and materials** The datasets used and/or analysed during the current study are available from the corresponding author on reasonable request.

**Declarations**

**Ethics approval and consent to participate** Not applicable.

**Consent for publication** Not applicable.

**Competing interests** The authors declare no competing interests.

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