STELLAR-MASS BLACK HOLE SPIN CONSTRAINTS FROM DISK REFLECTION AND CONTINUUM MODELING

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ABSTRACT

Accretion disk reflection spectra, including broad iron emission lines, bear the imprints of the strong Doppler shifts and gravitational redshifts close to black holes. The extremity of these shifts depends on the proximity of the innermost stable circular orbit to the black hole, and that orbit is determined by the black hole spin parameter. Modeling relativistic spectral features, then, gives a means of estimating black hole spin. We report on the results of fits made to archival X-ray spectra of stellar-mass black holes and black hole candidates, selected for strong disk reflection features. Following recent work, these spectra were fit with reflection models and disk continuum emission models (where required) in which black hole spin is a free parameter. Although our results must be regarded as preliminary, we find evidence for a broad range of black hole spin parameters in our sample. The black holes with the most relativistic radio jets are found to have high spin parameters, though jets are observed in a black hole with a low spin parameter. For those sources with constrained binary system parameters, we examine the distribution of spin parameters versus black hole mass, binary mass ratio, and orbital period. We discuss the results within the context of black hole creation events, relativistic jet production, and efforts to probe the innermost relativistic regime around black holes.

Key words: black hole physics – supernovae: general – X-rays: binaries

1. INTRODUCTION

X-rays probe the innermost regime around compact objects. Modern data aside, this must hold true based on simple theoretical considerations. Temperature relations for standard black-body accretion disks and the energy budget of scattered emission that might account for hard X-ray production both demand that X-ray emission arise close to compact objects. Thus, the promise of X-ray studies of black holes is that it may allow observers to study the innermost regime, and so explore general relativity in the strong field limit. The promise is especially rich in the case of stellar-mass black holes, because the disk is itself an X-ray object, and spectra are not complicated by a stellar surface and boundary layer as in the case of neutron stars.

Measuring spin in stellar-mass black holes can do more than confirm the predictions of the Kerr metric. Unlike supermassive black holes in galactic centers, stellar-mass black holes likely gain most of their angular momentum at the moment of their birth (Volonteri et al. 2005; Gammie et al. 2004). Spin is therefore a window into the nature of the supernovae and/or gamma-ray bursts (GRBs) that give rise to stellar-mass black holes (many progenitor star properties are important; see Heger & Woosley 2002). Some models for jet production in black holes rely on tapping the spin energy of the hole, and so predict a link between spin and jets (e.g., Blandford & Znajek 1977). In the case of an active galactic nucleus (AGN), radio loudness is often used as a proxy for spin (see, e.g., Sikora et al. 2007); this proxy creates a circularity in efforts to understand jet production mechanisms. While the sample of the AGN is much larger than the sample of stellar-mass black holes in the Milky Way, the proximity of stellar-mass black holes facilitates direct investigations of spin that can bear on jet mechanisms.

Iron emission lines formed in the inner accretion disk will bear the imprints of the strong Doppler shifts and gravitational redshifts endemic to that region, and so can serve as incisive probes of the innermost relativistic regime (for a review, see Miller 2007). A major advantage of disk lines is that the mass of the black hole is not required to make a spin measurement, and other parameters—such as the inner disk inclination—can be measured directly. In recent years, these lines (and the larger disk reflection spectrum, of which iron lines are the most prominent part) have been used to obtain general constraints on black hole spin. (The dimensionless spin parameter is given by $a = c J / GM^2$ and values range from 0 to 1). For instance, line profiles in XTE J1650−500 and GX 339−4 could be fit well with a model appropriate for a disk around a maximally spinning black hole (Miller et al. 2002a, 2004, 2006a; Miniutti et al. 2004; Laor 1991).

It is only within the last two years that fits to spectra have started to yield nonzero spin constraints that could properly be called measurements. A spin of $a > 0.98$ has been reported for the Seyfert-1 AGN MCG-6-30-15 (Brenneman & Reynolds 2006), and a spin of $a = 0.93(5)$ has been reported in GX 339−4 (Miller et al. 2008a; see also Reis et al. 2008). This advance is partly due to improved spectra and partly due to the development of new, variable-spin line models. It is now clear that relativistic disk lines are also present in neutron star spectra (see, e.g., Bhattacharyya & Strohmayer 2007; Cackett et al. 2008), providing a means of constraining fundamental neutron star parameters and a useful comparison for black hole spectra.
The accretion disk continuum can, in principle, also be exploited to constrain black hole spin. Especially in the case of stellar-mass black holes, wherein the disk is an X-ray object and can dominate the total spectrum, this method is promising. The development of new disk models, supported by numerical disk simulations, has enabled spin constraints in a few systems based on the thermal continuum emission from the disk (Shafee & van der Klis 2006). A number of difficulties persist, however. Studying orbital motion near to black holes (for a review, see van der Klis 2008), to obtain the most robust constraints possible. In the sections that follow, we detail aspects of the source sample, our analysis methods, the results of our analysis, sources of systematic errors and biases, and possible implications.

The best available disk reflection spectra of XTE J1550–564 were obtained with ASCA during the 1998 outburst. We analyzed the ASCA/GIS spectra previously discussed in Miller et al. (2005). These spectra were accumulated on 1998 September 23 in the very high state; a net exposure of 25 ks was obtained. The standard source and background files and responses from the ASCA standard products (available through HEASARC) were utilized. The reduction of these data follows the method best suited to bright sources, outlined by Brandt et al. (1996). We fit the GIS-2 and GIS-3 spectra jointly in the 1–10 keV band. The spin parameter, inner disk inclination, reflection fraction, and the ionization parameter were linked in joint fits to the spectra, and linked between model components where appropriate. Other parameters relevant to the continuum were allowed to float. This is a pragmatic approach driven by the fact that different cameras, even on the same observatory, never have been made (see

2. OBSERVATIONS AND DATA REDUCTION

Optical and infrared observations have constrained the properties of six of the eight binary systems in our sample. The parameters of these systems are reported in Table 1. In some cases, such as GRO J1655–40, values have been measured precisely; however, this is not typical. The numbers quoted in Table 1 reflect our best estimates of the value and uncertainty in each parameter of interest. Where uncertainties are a large fraction of the value itself, a range is given instead of a value and associated error.

2.1. 4U 1543–475

We analyzed the very high state spectrum with the largest line equivalent width, as measured by Park et al. (2004). This observation was made on 2002 July 19, and is archived as 70133-01-29-00. The RXTE standard products from the archive were utilized. These files include source and background spectra as well as instrument responses. The standard Proportional Counter Array (PCA) source spectrum is a sum of all layers from PCU-2 and PCU-3. The HEXTE cluster-B spectrum was analyzed jointly with the PCA spectrum. The net exposures were 1.1 ks and 0.4 ks for the PCA and HEXTE, respectively.

We followed standard practices in fitting the PCA and HEXTE spectra. We added 0.6% systematic errors to the PCA spectrum using the ftool “grppha.” Within XSPEC, the PCA spectrum was fit in the 2.8–25.0 keV range, and the HEXTE spectrum was fit in the 20.0–50.0 keV range. The upper limit for the HEXTE spectrum is the highest energy at which the source is confidently detected. All fitting parameters were linked between the PCA and HEXTE spectra; an overall normalizing constant allowed to float between the spectra.

2.2. XTE J1550–564

The best available disk reflection spectra of XTE J1550–564 were obtained with ASCA during the 1998 outburst. We analyzed the ASCA/GIS spectra previously discussed in Miller et al. (2005). These spectra were accumulated on 1998 September 23 in the very high state; a net exposure of 25 ks was obtained. The standard source and background files and responses from the ASCA standard products (available through HEASARC) were analyzed. The reduction of these data follows the method best suited to bright sources, outlined by Brandt et al. (1996).

We fit the GIS-2 and GIS-3 spectra jointly in the 1–10 keV band. The spin parameter, inner disk inclination, reflection fraction, and the ionization parameter were linked in joint fits to the spectra, and linked between model components where appropriate. Other parameters relevant to the continuum were allowed to float. This is a pragmatic approach driven by the fact that different cameras, even on the same observatory, never have perfectly consistent flux calibrations. For clarity and simplicity, the parameters measured from GIS-2 are reported in Tables 2 and 3.

2.3. XTE J1650–500

At present, the mass of this black hole has not been precisely determined, but preliminary constraints have been made (see

### Table 1

| Source | $M_{BH}$ | $M_*$ | $q$ | $\theta$ | $P_{orb}$ | Dist. |
|--------|---------|-------|-----|--------|----------|-------|
| 4U 1543–475 | 9(2) | 3(1) | 5(2) | 21(1) | 26.8(1) | 8(1) |
| XTE J1550–564 | 11(1) | 1.3(1) | 9(2) | 50–80 | 37.2(2) | 3–8 |
| XTE J1650–500 | 5(2) | 0.3–0.7 | ~10 | ~50 | 7.6(2) | 5–11 |
| GRO J1655–40 | 7.0(2) | 2.3(1) | 3.0(2) | 77(8) | 62.9(1) | 3.2(9) |
| GX 339–4 | $\gtrsim$ 6 | $\geq 0.8$ | $\geq 8$ | $\cdots$ | 42.1(1) | 8–15 |
| Cygnus X–1 | 10(5) | ~18 | 0.6(3) | ~35 | 134.0(1) | 2.5(5) |

Notes: Fundamental parameters for the black hole binaries treated in this work are given above. These parameters were used as inputs to the “kerrbb” disk continuum model when fitting the X-ray spectra in this paper. Parameters listed with a wave-like mark are very uncertain and were not used to bound parameters in fits with the “kerrbb” model. Instead, those parameters floated freely in all fits. Please see Section 2 for additional details.

a Parameters taken from Herrero et al. (1995).
b Parameters taken from Hynes et al. (2003, 2004) and Munoz-Darias et al. (2002).
c Parameters taken from Orosz et al. (2004).
d Parameters taken from Orosz & Bailyon (1997) and Hjellming & Rupen (1995).
e Parameters taken from Hynes et al. (2003, 2004) and Munoz-Darias et al. (2008).
f Parameters taken from Herrero et al. (1995).
Orosz et al. (2004). In this work, we assume a mass range 3–7 $M_\odot$ for the black hole. The upper mass limit is based on an assumed mass ratio of $q \approx 10$ (Orosz et al. 2004). A mass ratio of $q = 10$ is therefore assumed in this work, and we attach fiducial errors of 30% to be conservative. XTE J1650–500 was observed with XMM-Newton on 2001 September 13. We analyzed the same EPIC-pn spectrum discussed in Miller et al. (2002a). The spectrum was accumulated over 21 ks. The spectral parameters reported by Miller et al. (2002a) and Miniutti et al. (2004), especially when viewed in the context of the extensive work done by Rossi et al. (2005), suggest the source was in a rising phase of the low/hard state when observed with XMM-Newton.

Owing to calibration uncertainties in pn "burst" timing mode, we restricted our fits to the 0.7–10.0 keV range. This range was also used in recent fits to the EPIC-pn "burst" mode spectrum of GX 339–4 in the very high state (Miller et al. 2004, 2008a). A Gaussian with zero width was included at 2.3 keV to account for calibration uncertainties in that range. Imperfect modeling of silicon features and gold features continue to have small effects in the 2–3 keV range in "burst" mode. These narrowband calibration problems do not affect results related to the continuum, iron line, or reflection.

2.4. GRO J1655–40

Although the fundamental parameters of this binary are known precisely, GRO J1655–40 is a challenging source. The rich absorption spectrum observed in some very high and high/soft states of GRO J1655–40 (e.g., Miller et al. 2006b, 2008b; Diaz Trigo et al. 2007) can serve to complicate disk reflection spectroscopy. Absorption lines from Fe xxv and Fe xxvi fall in the midst of the relativistic Fe disk line. Prior claims for strong Doppler-shifted emission lines by Balucinska-Church & Church (2000), for instance, might be partially explained by an absorption line amidst a broad emission line.

A spectrum with a strong power-law component (for contrast) and little absorption is optimal for spin constraints. Archival spectra of bright states obtained with ASCA, Chandra, and XMM-Newton are all complicated by absorption. A recent observation of GRO J1655–40 in the low/hard state with Suzaku does not find an Fe disk line (Takahashi et al. 2008); improved calibration may enable more detailed studies in the future. Thus, although a focusing telescope and CCD resolution are strongly preferred, we turned to RXTE. The large number of observations that RXTE executes makes it possible to find a more suitable spectrum.

RXTE observed GRO J1655–40 during its outburst in 1996. Sobczak et al. (1999) made fits to these spectra. We selected the very high state observation with the strongest power-law flux, for a one variable parameter (temperature and flux). Please see the text for details on each source and spectrum. Fits to GX 339–4 using this disk continuum are detailed in Miller et al. (2008a). The errors listed above are 1σ statistical errors, derived using the "error" command in XSPEC. Symmetric errors are given in parentheses; where one digit appears in parentheses it is the error in the last digit of the parameter value. SAX J1711.6–3808 and XTE J1908+094 did not require disk continuum components.
net exposures of 4.9 ks and 1.6 ks were recorded with the PCA and HXTE, respectively. We added 0.6% errors to the PCA spectrum using "grrpha." All fit parameters between the PCA and HXTE spectra were linked, apart from an overall normalizing constant that was allowed to float between them.

2.5. GX 339−4

GX 339−4 is a recurrent Galactic black hole binary that has undergone numerous outbursts. At the time of writing, it is the only black hole that has been observed in every outburst state with CCD and/or dispersive X-ray spectrometers. While the orbital period of GX 339−4 is well known, the mass of the companion star is not. Hynes et al. (2003) and Munoz-Darias et al. (2008) have independently tried to estimate the mass of the black hole in GX 339−4. For the purposes of this analysis, we adopt conservative values from these analyses: \( M_{BH} \geq 6 \) \( M_\odot \) and \( q \geq 8 \).

It is difficult to obtain the black hole mass precisely because light from the accretion disk "contaminates" light from the companion even in quiescent phases in GX 339−4, complicating measurements of its inclination. Constraints derived from jet flux ratios in this system strongly suggest a low inclination for the inner disk (e.g., \( \theta \leq 30^\circ \)), see Gallo et al. 2004 and Miller et al. 2004). The inclination of the inner disk may be lower than the inclination of the binary. The inclination was a free parameter in all fits reported in this work.

We fit the same very high state spectrum detailed in Miller et al. (2004) and Miller et al. (2008a). This EPIC-pn "burst" mode spectrum was obtained on 2002 September 29; a 75.6 ks exposure was recorded. Prior analysis of the disk line and disk reflection spectrum in GX 339−4 suggests a black hole spin parameter of \( a = 0.93 \pm 0.05 \) (Miller et al. 2008a; Reis et al. 2008). These measurements draw on multiple excellent spectra and appear to be independent of the disk reflection model used; they are likely to be fairly robust. However, prior modeling efforts made use of more phenomenological models for the thermal disk continuum. While the more physical disk continuum model used in this work does not give a statistically superior description of the disk spectrum in GX 339−4, it is more physical. As with other "burst" mode spectra, we fit the spectrum of GX 339−4 over the 0.7−10.0 keV range.

2.6. SAX J1711.6−3808

SAX J1711.6−3808 was observed in outburst as a moderately bright transient in 2001. The parameters of the binary system have not yet been constrained. Observations made by BeppoSAX and RXTE are reported by in ’t Zand et al. (2002) and Wijnands & Miller (2002). The source was observed by XMM-Newton and a relativistic iron line has been reported (Sanchez-Fernandez et al. 2002, AN, 327, 1004), but the spectrum is complicated by photon pileup and so excluded from our analysis.

We analyzed the BeppoSAX MECS spectrum previously examined by in ’t Zand et al. (2002). The source was observed with the MECS starting on 2001 February 16. A net exposure of 37 ks was obtained. We fit the single MECS spectrum in the 2−10 keV range. Thermal disk emission was not detected in this spectrum. This is likely due in part to the relatively high line of sight absorption \( (N_H = 2.57 (7) \times 10^{22} \text{ cm}^{-2}) \), which would be especially effective in hiding a cool disk (see, e.g., Miller et al. 2006a). The hard power-law index and lack of a hot disk component strongly suggest that SAX J1711.6−3808 was observed in the low/hard state. Parameters such as the black hole mass and distance are not required in disk reflection fits; the inner disk inclination was allowed to vary freely.

2.7. XTE J1908+094

Like SAX J1711.6−3808, XTE J1908+094 was observed as a moderately bright transient with characteristics typical of black hole systems. At present, the parameters of this binary system are unknown.

We analyzed the BeppoSAX MECS spectra previously examined by in ’t Zand et al. (2002b). The source was observed starting on 2002 April 2 for a total of 56.6 ks. XTE J1908+094 was found to be highly variable during this time, so in ’t Zand et al. (2002b) examined seven spectra from different time slices. To facilitate comparisons with this prior work, we followed the same procedure. The same spectra were fit jointly in the 2−10 keV band. Quantities such as power-law index and flux were allowed to float between observations, but the disk reflection parameters and spin parameters were linked. As with SAX J1711.6−3808, thermal emission from the disk was not detected, likely due to a combination of high line-of-sight absorption and the expectation of an intrinsically cool disk in the low/hard state. Here again, the current lack of constraints on the system parameters poses no difficulty for disk reflection modeling. The inclination and other parameters were allowed to vary freely in all fits.

2.8. Cygnus X-1

Efforts to measure the parameters of this binary system are complicated by the fact that the companion is an O9.7 Ib supergiant, and by the fact that the system is persistently active. As the inclination is poorly known, this parameter was allowed to float freely in all spectral fits.

We analyzed two XMM-Newton/EPIC-pn spectra of Cygnus X-1 in its "high" state. It should be noted that the "high" state in Cygnus X-1 is an archaic label; the same phase is called the "very high" state in other sources. These spectra were obtained in "burst" timing mode, like the spectrum of XTE J1650−500. Preliminary fits to one of these spectra are discussed in Miller (2007). The spectra were obtained on 2004 October 6 and 2004 October 8; a net exposure of 0.5 ks were obtained in each case. This is relatively short; however, the proximity of Cygnus X-1 and its high flux state when observed yielded sensitive spectra. Events were extracted using a narrow strip along the full height of the DETX-DETY plane. Spectral files were produced by selecting event grades 0−4 and enforcing "FLAG=0" within "xmmselect." Spectral channels 0−20,479 were grouped by five as required for pn analysis. The "rmfgen" and "arfgen" tools were used to create response files. As with other "burst" mode spectra, we fit the spectra of Cygnus X-1 on the 0.7−10.0 keV band. The spectra were fit jointly. The spin parameters were linked, but as the spectra were not simultaneous all other parameters were allowed to vary independently. For clarity and convenience, the result of fits to the first observation are listed in Table 2 and 3.

In the fits described below, we allowed for a narrow Gaussian absorption line corresponding to Fe xxv or Fe xxvi to account for any absorption in the massive companion wind. The width of the Gaussian was fixed to zero, as wind velocities are below the resolution of the detector. The addition of such a line gave only marginal improvements, and equivalent widths of approximately 30 eV and 10 eV for the first and second observations, respectively.
2.9. Conspicuous Absentees

GRS 1915+105 is an important but complex source requiring individual treatment, and so it has been left out of this analysis. Prior fits to the disk line in this source did not require black hole spin; indeed, the fits were suggestive of a low spin parameter (Martocchia et al. 2002). More recent fits to the thermal continuum have suggested a near-maximal spin (McClintock et al. 2006). More recent fits to the disk line in this source did not require black hole spin; indeed, the fits were suggestive of a low spin parameter. The results of disk reflection modeling of a recent Suzaku spectrum of GRS 1915+105 will be reported by J. L. Blum et al. (2009, in preparation).

Iron emission lines are evident in the spectra of V4641 in ‘t Zand et al. 2000) and those lines can indeed be modeled as relativistic disk lines (Miller et al. 2002b). However, it is not clear that iron lines can be used to study the inner accretion flow in this source. Emission lines from the inner disk may be contaminated by lines from a surrounding nebula or outflow. This possibility was first recognized by Revnivtsev et al. (2002) based on observations made in outburst. More recent Chandra observations of the source in a nearly quiescent flux state also reveal iron emission lines (E. Gallo et al. 2009, in preparation).

3. ANALYSIS AND RESULTS
3.1. Models and Methodology

Using the inner disk as an indirect measure of the black hole spin parameter depends on two assumptions; first, that the accretion flow has a sharp transition from turbulent orbital flow to an inward plunging flow at (or close to) the innermost stable circular orbit; and second that the iron line emission has an inner truncation radius due to this transition in the flow properties. Recent three-dimensional magnetohydrodynamic simulations of black hole disks suggest that these assumptions are valid if the disk is sufficiently thin (Reynolds & Fabian 2008; Shafee et al. 2008). This condition likely holds for the range of outburst phases covered in this work, including relatively low accretion rates in the low/hard state (see Miller et al. 2006b; Rykoff et al. 2007). Therefore, in selecting spectra for this analysis, bright phases of the low/hard state are taken to be as relevant and well suited as observations made in brighter states.

All spectra were fit using XSPEC version 11.3 (Arnaud 1996). The spectra from each source were fit with a model consisting of the “kerrbb” thermal disk continuum model (Li et al. 2005; this is the same model employed by Shafee et al. 2006 and McClintock et al. 2006) and the constant density ionized (CDID) disk reflection model (Ballantyne et al. 2001). This reflection model is calculated in the fluid frame. To translate this spectrum into that seen by a distant observer, we convolved the CDID model with the “kerrconv” model (Brenneman & Reynolds 2006). “Kerrconv” encodes the Doppler and gravitational shifts expected close to a black hole, as a function of the black hole spin parameter. Convolving a reflection spectrum is more physically self-consistent than merely treating the relativistic line, because the line and broadband reflection spectrum are produced in the same physical location through the same process.

The black hole spin parameter $a$ and inner disk inclination $\theta$ are common to the “kerrbb” and “kerrconv” models. These parameters were linked in fits to each spectrum of a given source, and linked in spectra from different observations of the same source. That is, the disk reflection and continuum spectra jointly determined the spin parameter and inner disk inclination in our fits. For the two sources where thermal emission from the disk was not detected, spin constraints were obtained through the disk reflection spectrum alone.

Apart from the black hole spin parameter and the inner disk inclination, important parameters in the “kerrconv” model include the inner disk radius (in units of the ISCO) and the reflection emissivity index. The inner radius was fixed at 1.0; this amounts to assuming that the disk is at the ISCO. The emissivity is taken to be a power-law in radius of the form $J(r) \propto r^{-q}$. A simple lamp-post model gives $q = 3$. While prior work assumed this form partially for simplicity, recent X-ray observations provide an interesting physical justification (Chartas et al. 2009). Other results have found steeper emissivity indices, perhaps suggesting that the hard component is anisotropic (Miniutti & Fabian 2004). This may be consistent with hard X-ray emission in the base of a jet. Whatever the proper physical picture, this parameter is one that can be directly constrained by data. In all fits, the emissivity index was constrained to be in the range $3 \leq q \leq 5$; this range is commensurate with that found in the literature (see, e.g., Miller 2007).

The CDID disk reflection model is capable of handling a broad range of disk ionization, including high ionization states. The ionization parameter is used to express a ratio of flux to gas density: $\xi = L_X/n\rho^2$, where $n$ is the hydrogen number density. This is important in X-ray binaries; reflection occurs in the upper levels of the disk, not the midplane, and that region is expected to be highly ionized. Results reported in the literature vary between $\log(\xi) \approx 3$ and $\log(\xi) \approx 5$, depending on the source state and luminosity (see Miller 2007). This range defines the bounds adopted in our fits. While this model does not include thermal emission from the disk midplane, it appears that neglecting this does not markedly change the spin parameter obtained (see Miller et al. 2008a; Reis et al. 2008).

The CDID model can be applied as a “pure” reflection model, with no hard power-law flux included, but we employed a version that includes a power-law continuum flux. Important parameters in the CDID model that must be constrained include: the photon power-law index (the steepest power-law index possible is $\Gamma = 3$), the disk ionization parameter, the disk reflection fraction ($0 \leq R \leq 2$), and an overall normalizing factor. The normalization does not account for distance dilution and is a small number. The CDID model is an angle-averaged model: the inner disk inclination is not a variable that can be constrained by fitting. The version of the model that we used assumes solar abundances for all elements. To obtain good fits at the limits of a bandpass when using a convolved reflection model, it is necessary to extend the range over which the model is calculated within XSPEC using the “extend” command.

The “kerrbb” model has 10 parameters, including the black hole spin parameter and the inner disk inclination (Li et al. 2005). The full parameter list includes: the ratio of power due to torque at the ISCO to power arising from accretion (fixed to zero in all fits as per a Keplerian disk); the mass of the black hole in units of solar masses (constrained to lie in the ranges indicated in Table 1); the mass accretion rate through the disk (allowed to float in all fits); the distance to the black hole in units of kpc (constrained to lie in the ranges indicated in Table 1); the spectral hardening factor, a multiplicative factor accounting for radiative transfer through the disk atmosphere (the default value of 1.7 was assumed in all fits); a switch controlling self-irradiation (all fits neglected self-irradiation as per the default); a switch controlling limb darkening (turned off in all fits as per the default); and the overall model normalization. If all of the system
parameters were known precisely, the normalization should be set to unity. In practice, the normalization serves to absorb difficulties with the system parameters, the flux calibration of the detector used, and other effects (see, e.g., Zimmerman et al. 2005).

To understand the influence of the disk continuum in estimating spin parameters, we also made independent fits with the “diskbb” model (Mitsuda et al. 1984) taking the place of the “kerrbb” model. This model has only two parameters, the color temperature of the inner disk and a flux normalization parameter. Three aspects of this model make it powerful: it is simple, and thus easily reproducible; it fits thermal spectra extremely well; and it has a long history in the literature, facilitating useful comparisons. Important physics, such as a zero-torque inner boundary condition and the effects of radiative transfer effects, are not included in the “diskbb” model.

### 3.2. Spectral Fitting Results

The results of our spectral fits are detailed in Tables 2 and 3. Figures 1–16 depict fits to the spectra of each source with a simple continuum model (in order to highlight the disk reflection features), and fits made with the fully relativistic, physical models from which spin parameters are inferred (those described in Tables 2). A histogram of the spin values listed in Tables 2 is shown in Figure 17. The fits are imperfect; in many cases, they are not formally acceptable in a statistical sense. Where fits are not formally acceptable, the fit statistic is driven to unacceptable values mostly by instrumental features (see, e.g., Miller et al. 2004, for a discussion of features in the response of the XMM-Newton/EPIC-pn camera when operated in burst mode). It is clear in Figures 1–16 that the relativistic, physical models account for the disk reflection features very well.

Fits to the spectra of GRO J1655−40 and GX 339−4 suggest spin parameters of $a = 0.98(1)$ and $a = 0.94(2)$ respectively ($1\sigma$ statistical errors). XTE J1650−500 and XTE J1550−564 may also have high spins, with values of $a = 0.79(1)$ and $a = 0.76(1)$, respectively. In each of these four cases, relatively high spin values might have been anticipated based on prior suggestions, whether from various interpretations of high-frequency QPOs, previous fits to the Fe K line shape, or extreme jet phenomena. It should be noted that the implied inclination of GX 339−4 does not imply a very high black hole mass based on the work of Hynes et al. (2003), as the inclination of the inner disk and binary system need not be the same (see Maccarone 2002).

The spin obtained for XTE J1908+094 is nominally rather high as well, at $a = 0.75(9)$. The value obtained for SAX
J1711.6–3808 is more moderate, at \( a = 0.6(2) \). These two values are discussed in more detail below.

Within our sample, Cygnus X-1 stands out as the only high-mass X-ray binary. The observations of Cygnus X-1 considered in this work were made in a “high” state, where the Fe K line is known to be stronger, perhaps broader (Cui et al. 1998), and perhaps more suggestive of emission from the ISCO (Gilfanov et al. 2000) than in the “low/hard” state. At \( a = 0.05(1) \), Cygnus X-1 is ostensibly found to harbor a black hole with very low spin. It is interesting to note that fits to the black hole with the next highest companion mass, 4U 1543−475, also give a low spin parameter of 0.3(1).

To better understand possible physical connections, Figures 18–20 plot the spin values that we have obtained versus black hole mass, versus the ratio of black hole mass to companion mass, and versus orbital period using the parameters in Tables 1 and 2. There is no clear evidence that spin is correlated with any of these parameters. The most promising case for a correlation is between the black hole spin parameter and binary mass ratio (see Figure 19). However, more sources and improved errors on the ratio values needed to make any relation significant.

When fitting a complex model in packages such as XSPEC or ISIS, special care is needed. Convolution models, table models, and total models with many individual components are especially prone to saddle points in \( \chi^2 \) space. In these cases, efforts to minimize \( \chi^2 \) are improved with a hands-on approach. In our analysis, fits were made within XSPEC in the usual way—until the minimum required change in \( \chi^2 \) had nominally been met. Then, we pushed random parameters off of their best-fit values by factors of 10%–30%, and refit the data. This procedure was repeated many times in an effort to make sure that a global minimum was found. Then, we calculated 1σ errors on the parameters in the model using the “error” command which calculates a joint error in that it allows other parameters to float.

Although this fitting procedure likely improved the rigor of our results, the errors quoted in Tables 2 and 3 do not fully convey the nature of some spin values. In some cases, the \( \chi^2 \) space is complex, and though there is a nominal preference for a particular spin value, extremal values may not excluded at high significance. We examined this possibility using the “steppar” command within XSPEC. This command affords greater control over how the \( \chi^2 \) space is searched. For each source, the spin parameter was frozen at 20 evenly spaced values between zero
and unity while all other parameters were allowed to vary. We checked the results of steppar by manually executing the same procedure. The manual procedure gave more conservative results than steppar for GRO J1655–40; the conservative results are plotted in Figure 21. Figures 21–23 plot the dependence of \( \chi^2 \) on the black hole spin parameter \( a \), for each black hole in our sample.

The spin parameters measured for XTE J1550–564 and GX 339–4 exclude \( a = 0 \) at far more than the 8\( \sigma \) level of confidence; for GRO J1655–40 and XTE J1650–500, zero spin is excluded at the 6\( \sigma \) level of confidence (see Figure 21). The results from Cygnus X-1 strongly suggest a low spin value, and we performed a similar check on that result. High spin values (e.g., \( a > 0.9 \)) for Cygnus X-1 at more than the 8\( \sigma \) level of confidence (see Figure 22). However, other spin constraints are less certain. For 4U 1543–475, \( a = 0 \) is only excluded at the 3\( \sigma \) level of confidence, although high spin is excluded at more than the 8\( \sigma \) level of confidence. The spins parameters reported for SAX J1711.6–3808 and XTE J1908+094 are not confidently determined (see Figure 23). Zero spin is just outside of the 1\( \sigma \) range for SAX J1711.6–3808, and a maximal spin value is only excluded at the 2\( \sigma \) level of confidence. Similarly, fits to XTE J1908+094 only exclude minimal and maximal spin at the 2\( \sigma \) level of confidence.

The most important source of systematic error in our results may derive from theoretical uncertainties in the “effective” ISCO. We have assumed that the inner radius of the disk is the ISCO as defined by test particle orbits. In an actual fluid disk, the effective inner disk radius may differ slightly from the nominal ISCO. The most recent and sophisticated simulations that address this issue are summarized in Reynolds & Fabian (2008); that work is especially relevant because the disk is taken to be thin. (Prior simulations considered hot, thick disks, which are unlikely to apply in the regimes sampled by our data; see, e.g., Krolik et al. 2005.) The surface density and ionization parameter of orbiting gas are found to change sharply at the ISCO. Based on the results summarized in Reynolds & Fabian (2008) and related work by Shafee et al. (2008), a conservative estimate of the uncertainty in the ISCO based on this and other simulations is \( 0.5r_g \) for low spin parameters (an uncertainty of about 20\% for very low spin; see Miniutti & Fabian 2009); the uncertainty is less for high spin parameters.

Prior work on GX 339–4 is helpful in estimating systematic errors related to the state in which a source is observed. Miller et al. (2008a) find that the spin parameter derived from fits to a single spectrum from a given state differ from those derived by jointly multiple spectra from three states by only 4\%. Prior work on this source is also helpful in evaluating systematic effects due
to different disk reflection models. Reis et al. (2008) fit spectra of GX 339−4 with a new disk reflection model, in which the atmosphere is directly influenced by blackbody emission in the midplane. Despite the different reflection models employed by Miller et al. (2008a) and Reis et al. (2008), the spin parameters derived are fully consistent. At least in the case of stellar-mass black holes with high inferred spin parameters, then, modest differences in disk reflection models do not strongly affect spin results.

Detector calibration is another potential source of systematic error. In the case of disk reflection features, however, the degree of systematic error is likely to be small. Silicon-based detectors often suffer a sharp change in effective area around 2 keV, but these features can be modeled and should not strongly affect the 4–8 keV range. Calibration uncertainties also typically arise below 0.7 keV in CCD spectra; here again, uncertainties in this region will not affect fits at much higher energy. The response of xenon-based gas detectors may be a larger source of systematic error: the Xe L3 edge at 4.78 keV—if not modeled correctly—can affect fits to relativistic iron lines.

Most of the strong constraints that we have obtained come from CCD spectra; the results obtained from XTE J1550−564 are the exception. This suggests that the resolution afforded by CCD spectrometers plays an important role in obtaining black hole spin constraints. The energy resolution of most gas spectrometers is $E/dE \sim 6$. At 6 keV, that is a resolution of 1 keV, or about 0.17c—a large fraction of the velocity of matter orbiting at the ISCO. Only extremely strong emission lines will permit strong constraints in data obtained with gas spectrometers.

3.4. On the Role of the Disk Continuum

Fits obtained with the simple “diskbb” disk continuum model (Mitsuda et al. 1984) are, in general, statistically comparable to fits made using the “kerrbb” model (Li et al. 2005) and yield similar spin parameters, though in this case the spin is only measured through the relativistic reflection signatures (see Tables 2 and 3). A notable exception may be 4U 1543−475: stronger spin constraints are derived when the “kerrbb” model is used. We have assumed that the inclination of the inner disk in 4U 1543−475 equals the binary inclination, which is tightly constrained (Park et al. 2004). The fact that “kerrbb” includes the inclination as a free parameter—which is coupled to the inclination in the blurred reflection model—may account for the improvement.

The dominant role of the disk reflection spectrum is consistent with some simple expectations. Whereas a disk line bears the imprints of gravitational redshifts and Doppler shifts, disk continua do not manifest equally unambiguous signatures of...
black hole spin. An especially high temperature—perhaps indicative of a high efficiency and black hole spin—an instead be explained by a high mass-accretion rate. Similarly, a small emitting area can potentially be explained in terms of incorrectly accounting for scattering of the thermal continuum in the disk.

Systematic uncertainties in necessary physical inputs may also have kept the disk continuum from driving most of the spin values. Most of the uncertainties in black hole mass and distance listed in Table 1 are rather large, in a fractional sense. Moreover, different cameras aboard the same observatory do not necessarily measure the same flux level. In our fits to the two ASCA/GIS spectra of XTE J1550–564, for instance, we have handled such systematics by allowing the cameras to find different thermal disk properties apart from the black hole spin parameter (values generally differ by less than 20%). The procedure we have adopted is a compromise between the
principle of using physical models and the need to acknowledge real observational limitations.

Although the simpler disk continuum model generally produced equivalent results, we regard the results obtained using the more physical continuum models as more definitive for three reasons. First, the physical models do not give fits that are dramatically inferior, in a statistical sense. Second, the physical models do not appear to skew the spin parameters in a particular direction. Finally, and perhaps most importantly, the physical disk models do attempt to account for important processes and spin explicitly, and so enable a degree of self-consistency in the total spectral model.

4. DISCUSSION

We have analyzed X-ray spectra from eight stellar-mass black holes. The spectra were modeled with a combination of a relativistically blurred disk reflection spectrum and a new disk continuum model in which spin is a variable parameter. Spin estimates were jointly derived by linking the spin parameters in the reflection and continuum models. This is the first effort to estimate spin parameters in a number of sources by combining these independent spectral diagnostics. Our results suggest that stellar-mass black holes may have a range of spin parameters. Below, we discuss the implications of this finding for black hole creation events, relativistic jet production, and efforts to probe the innermost relativistic regime around black holes.

A black hole needs to accrete a large fraction of its mass to reach maximal spin (Volonteri et al. 2005). In the case of stellar-mass black holes, then, spin parameters should be determined primarily by the supernova or GRB event that creates the black hole. Theoretical investigations into nascent black hole spin parameters, set by a single collapse event, have focused on supermassive stars in the early universe. Only considering the collapse event, a spin of $a \simeq 0.75$ is expected (Shibata & Shapiro 2002); with additional considerations, a spin as high as $a \lesssim 0.93$ may be possible (Gammie et al. 2004). Our results are nominally at odds with these predictions. However, as noted by Heger & Woosley (2002) and Gammie et al. (2004), the mass, angular momentum, metallicity, and magnetic field structure in progenitor stars are all important in determining its final spin, and considerable theoretical uncertainties remain in modeling this problem. More theoretical and observational work is needed before it is clear that collapse/explosion models are incorrect or that some black holes were formed in exotic circumstances.

The most extreme result we have obtained may be the near-zero spin for Cygnus X-1. The XMM-Newton spectra that we analyzed were obtained in a “high” state (called the “very high” state in other black holes); this makes it very unlikely that the disk was far from the ISCO when the source was observed. Additional observational work with Suzaku is needed to confirm a low spin parameter. The fact that some SNe leave behind neutron stars with modest magnetic fields, while others give rise to magnetars, is one important indication that stellar explosions can leave behind objects with very different properties. Cygnus X-1 is the only high-mass binary in our sample, and this property may be important in determining its final spin. Indeed, Cygnus X-1 may be even more special: Mirabel & Rodrigues (2003) suggest that Cygnus X-1 may have formed in an unusual supernova remnant and its low space velocity.

A connection between spin and jets is anticipated theoretically (e.g., Blandford & Znajek 1977); and our results would appear to hint at a connection: GX 339–4, GRO J1655−40, and XTE J1550−564 are all relativistic jet sources where velocities above $0.9c$ have been inferred (Gallo et al. 2004; Hjellming & Rupen 1995; Hannikainen et al. 2001), and all of them are found to have relatively high spin values. A high spin parameter is also implied in XTE J1650−500, but no relativistic jet was detected in this source. The absence of such a detection may be due to the limited angular resolution of instruments in the Southern Hemisphere. Cygnus X-1 may again be an important exception:

Figure 22. Plot above shows the change in the goodness-of-fit statistic as a function of the black hole spin parameter, $a$. Using the XSPEC “steppar” command, 20 evenly spaced values of $a$ were frozen and all other parameters were allowed to float freely to find the best fit at that spin parameter. For clarity, only sources measured to low high spin at high confidence are shown above.

Figure 23. Plot above shows the change in the goodness-of-fit statistic as a function of the black hole spin parameter, $a$. Using the XSPEC “steppar” command, 20 evenly spaced values of $a$ were frozen and all other parameters were allowed to float freely to find the best fit at that spin parameter. For clarity, only sources for which spin is not confidently determined are shown above.
our fits imply a very low spin parameter, yet Cygnus X-1 powers a jet with $v/c \geq 0.6$ (Stirling et al. 2001).

Taken as a whole, our results only weakly support a link between spin and jet power. Future observations of black hole transients in both X-ray and radio bands may be able to build a sample from which stronger conclusions can be drawn. Not every black hole in our sample was observed intensively in the radio band or at high angular resolution; relativistic jets could have been missed in some sources. Moreover, spin may not be the only parameter important in producing relativistic jets, especially since jets are not seen in all black hole states. Garcia et al. (2003) found that relativistic jet sources tend to be those with long orbital periods. It might be the case that a parameter such as the absolute mass accretion rate through the disk is important.

It is worth noting that in the cases where high spin parameters are required by our spectral models, the line emissivity index is found to be very steep ($q \sim 5$). Both a high spin parameter and a steep emissivity index would serve to concentrate the reflected emission in the very innermost part of the disk. High spin parameters are therefore required despite steep emissivity profiles, not because of steep emissivity profiles. Physically, an emissivity of $q > 3$ may imply that the source of hard X-ray flux in these systems may be very compact, and may radiate anisotropically. Prior work has suggested that the compact coronae implied may be consistent with the base of a jet (e.g., Miller et al. 2004). This inner accretion flow geometry is similar to that described in models for line and continuum variability that invoke gravitational light bending close to a spinning black hole (see Miniutti & Fabian 2004). Gravitational light bending may be partially responsible for concentrating emission centrally. Investigations of line variability in Seyfert AGN with the International X-ray Observatory (IXO) will be able to provide much stronger evidence of gravitational light bending.

The new results reported in this work are broadly consistent with prior estimates of black hole spin using line models with fixed spin parameters. For instance, in the case of XTE J1650−500, prior fits to spectra obtained with XMM-Newton and BeppoSAX indicated inner disk radii of $2r_g$ or less (Miller et al. 2002a; Miniutti et al. 2004). Prior fits to Chandra and XMM-Newton spectra of GX 339−4 strongly suggested a high spin parameter (Miller et al. 2004, 2006a); later fits to XMM-Newton and Suzaku spectra with variable-spin disk reflection models yielded results consistent with those reported here (Miller et al. 2008a; see also Reis et al. 2008).

The spin parameters that we have obtained for 4U 1543−475 and GRO J1655−40 do not fully agree with recent modeling of the accretion disk continuum alone (Shafee et al. 2006; also see Zhang et al. 1997). Whereas we measure $a = 0.3(1)$ for 4U 1543−475, Shafee et al. (2006) find $a = 0.75\pm0.85$. And whereas we measure $a = 0.98(1)$ for GRO J1655−40, Shafee et al. (2006) give $a = 0.65\pm0.75$. The differences may be partially derived from lingering systematic errors and differing analysis procedures. As noted previously, spin estimates based on the continuum require an absolute flux measurement, and so require accurate knowledge of the mass and distance to the source, and incur systematics due to uncertainties in the absolute flux calibration of a given instrument. In a number of fits, Shafee et al. (2006) only consider RXTE spectra below 8 keV, and in other cases the line and reflection continuum is modeled with a Gaussian and (unphysical) smeared edge. The disk reflection spectrum, which is less subject to systematic uncertainties, appears to drive the spin constraints we have obtained. In the broadest sense, future work aimed at resolving the differences such as $a = 0.3(1)$ versus $a = 0.75\pm0.85$ (for 4U 1543−475) and $a = 0.98(1)$ versus $a = 0.65\pm0.75$ (for GRO J1655−40) is a welcome prospect and marks a turning point for studies of stellar-mass black holes.

The results we have obtained underscore the urgent need to obtain X-ray spectra of multiple sources in multiple states, with moderate- or high-resolution spectrometers. Observing multiple states and jointly fitting resultant spectra to require a common spin parameter (Miller et al. 2008a; Reis et al. 2008) ensures that any variations in the ionization and/or structure of the accretion disk are treated explicitly. Chandra and XMM-Newton are both well suited to this aim; the broadband spectroscopy and high throughput, fast CCD read-out of Suzaku mean it is an exceptional tool for disk reflection studies. Future missions, including Astro-H (NeXT) and the IXO will be able to measure spin in stellar-mass black holes with unprecedented precision, if high source fluxes can be accommodated. The knowledge gained in understanding the spin distribution of stellar-mass black holes can be transferred to studies of supermassive black hole spins.

Our results also highlight the need to measure more black hole masses through dynamics, and to push current and future mass constraints to higher precision. Even in this initial attempt at a systematic analysis, it is already clear that uncertainties in binary parameters inhibit stronger conclusions. If we are to understand how supernovae and GRBs might produce black holes with differing spin parameters, robust measurements of the remnant black hole mass, its companion mass, and other system parameters will be important factors. Especially when a black hole lies in a region with high line-of-sight column density, rapid IR observations may help to identify counterparts and enable later dynamical studies. The properties of GRS 1915+105 have not yet been measured precisely (Greiner et al. 2001), but the fact that measurements are possible given a column density of $N_H \geq 4 \times 10^{22}$ atoms cm$^{-2}$ (Dickey & Lockman 1990) signals that there is a way forward in such cases.

5. CONCLUSIONS

We have fitted relativistic disk reflection and disk continuum models to a number of stellar-mass black holes. A broad range of spin parameters is measured. This implies a fundamental diversity in the GRB/SNe events that are thought to create stellar-mass black holes. The black holes with the highest spin parameters are those wherein the most relativistic jets have been observed in radio bands, providing modest support for a connection between spin and jets. With a larger sample, the influence of binary system parameters (if any) on black hole spin may become apparent.

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