HbA1c is associated with altered expression in blood of cell cycle-
and immune response-related genes

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Abstract
Aims/hypothesis Individuals with type 2 diabetes are heterogeneous in their glycaemic control as tracked by blood HbA1c levels. Here, we investigated the extent to which gene expression levels in blood reflect current and future HbA1c levels.
Methods HbA1c levels at baseline and 1 and 2 year follow-up were compared with gene expression levels in 391 individuals with type 2 diabetes from the Hoorn Diabetes Care System Cohort (15,564 genes, RNA sequencing). The functions of associated baseline genes were investigated further using pathway enrichment analysis. Using publicly available data, we investigated whether the genes identified are also associated with HbA1c in the target tissues, muscle and pancreas.

Results At baseline, 220 genes (1.4%) were associated with baseline HbA1c. Identified genes were enriched for cell cycle and complement system activation pathways. The association of 15 genes extended to the target tissues, muscle (n = 113) and pancreatic islets (n = 115). At follow-up, expression of 25 genes (0.16%) associated with 1 year HbA1c and nine genes (0.06%) with 2 year HbA1c. Five genes overlapped across all time points, and 18 additional genes between baseline and 1 year follow-up. After adjustment for baseline HbA1c, the number of significant genes at 1 and 2 years markedly decreased, suggesting that gene expression levels in whole blood reflect the current glycaemic state and but not necessarily the future glycaemic state.

Conclusions/interpretation HbA1c levels in individuals with type 2 diabetes are associated with expression levels of genes that link to the cell cycle and complement system activation.

Keywords Blood · Gene expression · Glucose levels · HbA1c · Immune response · RNA sequencing

Abbreviations
DCS Diabetes Care System
e(GFR) Estimated (GFR)
FDR False-discovery rate
GEO Gene Expression Omnibus
PBMC Peripheral blood mononuclear cell

Introduction
Individuals with type 2 diabetes are heterogeneous in their disease trajectory, glycaemic control over time [1], response to therapy and in the disease-related complications they develop, including micro- and macrovascular complications [2]. Poor
glycaemic control has been associated with a higher incidence of developing microvascular complications [1, 3]. Therefore, individuals with type 2 diabetes would benefit from new markers for future glycaemic control, especially when in an early stage of the disease.

Much effort has been spent identifying common gene variants that mark disease risk and progression, but genetic variants contribute little in addition to classic risk factors, especially in people below 50 years of age [4]. In addition, genetic risk scores explain only 10–15% of the heritability of type 2 diabetes [5]. Accelerated by recent technological advances, other molecular variables, such as epigenetic modifications and gene expression, are increasingly being investigated in relation to blood glucose and type 2 diabetes and its progression. For example, DNA methylation near known type 2 diabetes loci (for example, KLF14, ZNF518B, INS) is associated with measures of glucose homeostasis (HbA1c, 2 h insulin) in healthy individuals [6, 7].

At the transcriptional level, early studies have found multiple genes to be differentially expressed between the control group and individuals with (pre)diabetes in target tissues [8–11], and also in blood [12–15]. Using a genome-wide approach in peripheral blood mononuclear cells (PBMCs), genes from the c-Jun N-terminal kinase (JNK) and oxidative phosphorylation pathways were differentially expressed in individuals with and without type 2 diabetes [12]. In addition to case–control designs, a limited number of studies have also investigated links between gene expression in blood and target tissues and glycaemic control and disease-related complications. In PBMCs, the expression of genes encoding TNF-α and IL-6 was elevated in individuals with type 2 diabetes with microalbuminuria (n = 29) and macroalbuminuria (n = 31) compared with the control group (n = 22) and individuals with type 2 diabetes and normoalbuminuria (n = 18) [13]. In the same study, TNF expression correlated with HbA1c levels [13].

While there are indications that measures of glycaemic control are reflected in molecular measures and blood is an interesting tissue from an etiological perspective, the number of studies that have investigated the relationship between gene expression in blood and disease progression is limited. Those that have been conducted have tended to be small cross-sectional case–control studies. We have investigated the relationship between blood gene expression levels and HbA1c levels in almost 400 individuals with type 2 diabetes selected from the Hoorn Diabetes Care System (DCS) cohort [16].

**Methods**

**Study population** Individuals who participated in this study are part of the Hoorn DCS cohort, a prospective cohort of over 12,000 individuals with type 2 diabetes [16]. People visit the DCS annually for routine care and data collection, including anthropometric, fasting glucose, HbA1c, blood lipid and blood pressure measurement and information on medication use. A subset of the individuals in the Hoorn DCS cohort are part of a biobank in which biological material is stored for research purposes. Blood RNA was collected in 2013 and 2014 from 1033 individuals who had participated in the biobank previously, without any specific selection criteria; this group were representative of the individuals who visited DCS in 2013 (ESM Table 1). From this group of 1033, we selected 400 individuals (ESM Table 1) based on the following criteria: age at onset between 40 and 75 years; European descent; diabetes duration less than 10 years; and estimated (e)GFR > 30 ml/min. Untreated individuals were excluded. Each participant gave informed consent and the study was conducted in line with the Declaration of Helsinki.

**RNA sequencing** Blood for RNA was collected in Tempus tubes (ThermoFisher Scientific, Waltham, MA, USA), and RNA was isolated from whole blood using the Direct-zol RNA MiniPrep (Zymo Research, Irvine, CA USA). RNA concentrations were determined using Nanodrop (Nanodrop, Wilmington, DE USA) and, in a subset, RNA integrity was examined using lab-on-a-chip (Agilent, Santa Clara, CA, USA). Whole-genome transcriptome data were generated at the human genotyping facility (HugeF) of the Erasmus Medical Center (the Netherlands, www.glimdna.org). RNA sequencing libraries were generated using the Illumina TruSeq v2 library preparation kit (Illumina, San Diego, CA, USA). Libraries were paired-end sequenced (50 bp) using the Illumina Hiseq2000.

Samples (n = 44) with a library size smaller than 30 million reads were re-sequenced and the libraries of the first and second run were combined. Reads passing the chastity filter were combined in sets with Illumina’s CASAVA. Raw read quality was assessed using FastQC (v0.10.1) [17]. The adaptors identified by FastQC were clipped using Cutadapt (v1.1) using default settings [18]. To trim low-quality ends of the reads, Sickle (v1.2) was used (minimum length 25, minimum quality 20) [19]. Reads were aligned to the genome using STAR (v2.3.0) [20].

To avoid reference mapping bias, SNPs in the Dutch population (Genome of the Netherlands [GoNL]) with minor allele frequency (MAF) > 0.01 in the reference genome were excluded. Read pairs with eight mismatches at most, mapping to five positions at most, were used. Mapping statistics from the binary alignment map files were acquired through Samtools flagstat (v0.1.19-44428cd). The 5’ and 3’ coverage bias, duplication rate and insert sizes were assessed using Picard tools (v1.86). Gene expression, as read count per gene, was calculated using htseq (v0.6.1p1) with default settings based on Ensembl v71 annotation (corresponding to GENCODE v16) [21]. Gene counts were normalised for GC content and gene length using the R package cqn [22]. To exclude sample mix-ups, genotypes of 50 frequently
occurring SNPs were called and compared with available genotype data. Sex was confirmed using gene expression of XIST (chromosome X) and UTY (chromosome Y). Genes with ≤5 reads in ≥75% of the samples were discarded, as were genes on the sex chromosomes. The final dataset comprised gene expression levels of 391 individuals comprising 15,564 autosomal genes.

**Models with blood HbA1c** HbA1c was measured using a turbidimetric inhibition immunoassay (Cobas c501, Roche Diagnostics, Mannheim, Germany). All analyses between gene expression and HbA1c at baseline, and 1 or 2 year follow-up were performed using generalised linear models, implemented in the R package edgeR [23]. HbA1c levels were log transformed as they were not normally distributed. The model was adjusted for sex, age, BMI, blood cell composition, metformin dose, sulfonylurea and/or insulin use and technical covariates, as these are factors known to influence gene expression levels and/or HbA1c levels.

In an extended model, additional factors were added including systolic blood pressure, education level (low, mid, high) and smoking status (non-smoker, former, current). Blood cell counts were determined with a UniCel DxH 800 Coulter Cellular Analysis System (Beckman Coulter) and the FC 500 Series system (Beckman Coulter, Brea, CA, USA). Blood cell fractions were also estimated using the R package wbcPred [24]. The imputed cell fractions showed a strong correlation with the measured counts (ESM Fig. 1). Blood cell fractions are strongly correlated with each other; therefore, five principal components were included in the model to adjust for the effect of blood cell composition. To investigate the effect of baseline HbA1c on the association at follow-up, we also added baseline HbA1c to the model for the 1 and 2 follow-up in addition to all the other covariates described above. The effect of medication was assessed by performing the model on metformin users only (n = 252), excluding individuals with other forms of (mono/dual) therapy. In the case of missing data or loss at follow-up, the models were performed only with individuals with complete data. The p values for all generalised linear models of the 15,564 genes (15,564 tests) were false-discovery rate (FDR) adjusted using the Benjamini–Hochberg procedure as implemented in the p.adjust function in R. A FDR-adjusted p value below 0.05 was considered significant.

**Co-expression networks** Co-expressed genes (with expression profiles showing a high correlation, suggesting a functional relationship between the genes) were identified using mixed-model co-expression on log-transformed reads per kilobase million (RPKM) values [25]. Mixed-model co-expression is an R-implemented method that uses Pearson correlation while adjusting for confounding, thereby excluding spurious correlations. The method is described in more detail in Furlotte et al. [25]. Genes were considered co-expressed when the absolute correlation was higher than 0.3 with a p value ≤ 0.001. Clusters within the gene co-expression network (i.e. those with a high number of correlated genes) were identified using Cytoscape v3.4.0. Co-expression of genes was plotted using the R package edgebundleR [26]. Graphs were produced using the R package ggplot2 [27].

**Gene set enrichment** Genes within the three co-expressed clusters were tested for over-representation in gene sets using the default settings of REACTOME (V61) [28]. Pathways with pFDR < 0.05 were considered significant.

**eQTLs** A public expression Quantitative Trait Locus (eQTL) database was used (www.genenetwork.nl/biosqtlbrowser/, accessed July 2017) to identify SNPs that influence gene expression [29]. Genes were mapped to associating SNP based on the Ensembl gene ID. Diabetes-related traits were obtained from the genome-wide association study (GWAS) catalogue and the MAGIC GWAS [30]. The Venn diagram was created using jVenn.

**External data** Genes identified at baseline were investigated in the target tissues muscle and pancreas, from two external datasets. The first external dataset consisted of gene expression levels in pancreatic islets measured with the Affymetrix Human Gene 1.0 ST Array (Gene Expression Omnibus [GEO] accession number GSE54279), comprising 113 individuals with HbA1c in the range 23.5–85.8 mmol/mol (4.3–10%) and median 39.9 mmol/mol (5.8%) [31].

The second external dataset consisted of gene expression levels in muscle, accessed with the Affymetrix GeneChip Human Genome U133 Plus 2.0 Array (GEO accession number GSE18732), comprising 115 individuals with HbA1c range 33.3–136.1 mmol/mol (5.2–14.6%) and median 39.9 mmol/mol (5.8%) with and without type 2 diabetes [32].

Both datasets included expression at the transcript level rather than the gene level. To make the datasets comparable, the average expression of all transcripts of a gene was calculated for 99 genes that could be retrieved in both datasets (out of the 220 genes, 45%) that were present in both datasets. HbA1c levels were converted to International Federation of Clinical Chemistry and Laboratory Medicine (IFCC) HbA1c levels and log transformed. The associations between HbA1c levels and gene expression in muscle and pancreatic islets were determined using Pearson correlation.

**Results**

Individual characteristics at baseline and follow-up are given in Table 1. Individuals selected for RNA sequencing were a representative subset of all individuals with blood RNA, the
entire cohort and the biobank subset, as their characteristics were very similar (ESM Table 1). Diabetes duration was one of the selection criteria and this was shorter in the group of individuals with RNA sequencing compared with the entire cohort and the biobank subset (ESM Table 1).

Gene expression levels were tested for an association with HbA1c, a measure of glucose levels over the preceding weeks, at baseline and 1 and 2 year follow-up (Fig. 1a). Of the 15,564 genes that passed quality control, 220 genes (1.4%) were associated (pFDR ≤ 0.05) with HbA1c levels at baseline with adjustment for covariates. Of these, the majority (183 genes) were upregulated (fold change, 1.05–4.80; ESM Table 2) and 37 genes were downregulated (fold change, 1.05–3.34).

Blood cell fractions were both measured and estimated based on the gene expression data, but there was no difference in the magnitude of the effect with measurements vs estimates (ESM Fig. 2a). In addition, the observed associations were not driven by differences in medication usage as: (1) all genes showed the same direction of effect in a stratified analysis with metformin users only (n = 252, ESM Fig. 2b); and (2) the effect sizes (log fold change) of the models with and without adjustment for medicine usage were highly correlated (ESM Fig. 2c). To investigate the effect of other factors, such as lifestyle, we extended our model to include systolic blood pressure, education and smoking, but found no difference in the direction or magnitude of effect (r = 0.98, p < 0.00001).

The number of genes associated with HbA1c at baseline was considerably higher than at 1 and 2 year follow-up, with 25 genes at 1 year (23 genes upregulated, fold change = 1.17–3.10; two genes downregulated, fold change = 2.33–2.90; ESM Table 2) and nine genes at 2 year follow-up (six genes upregulated, fold change = 1.86–2.69; three genes downregulated, fold change = 1.39–3.88). To identify genes that showed a consistent association with HbA1c over time, the overlap between the three gene sets was determined (baseline and 1 and 2 years; Fig. 1b). Five genes (2.3%) were found to overlap at baseline and both follow-up time points (Fig. 1b, d); 18 additional genes were identified as overlapping at baseline and 1 year follow-up, with no genes overlapping between 1 and 2 year follow-up (Fig. 1b).

As HbA1c levels across years are correlated, particularly between successive years (baseline against 1 year, r = 0.76, and 1 year vs 2 year, r = 0.74; ESM Fig. 3), we next ran the model while adjusting for baseline HbA1c. Of the 25 genes identified, only one remained significantly associated after 1 year follow-up (NDN, fold change = −2.11, pFDR = 0.02) and two genes after 2 year follow-up (FAM132B [also known as ERFE], fold change = −1.90, pFDR = 0.03, and MTND1P23, fold change = −3.55, pFDR = 0.04). Moreover, the fold change in the association of genes identified at baseline was largely the same over time without adjustment for baseline HbA1c, but strongly decreased when baseline HbA1c was included in the model (Fig. 1c).

**HbA1c-associated genes are involved in the cell cycle and immune response** Next, we explored the genes associated with HbA1c at baseline in more detail. First, we investigated whether HbA1c levels causally influence gene expression using Mendelian randomisation. For this, we selected 188 SNPs associated with HbA1c in healthy control individuals.
from the MAGIC GWAS to serve as genetic instruments [30]. However, when we tested the validity of these genetic instruments in our own data, they did not pass the quality threshold (F value > 10), excluding the possibility of Mendelian randomisation.

Next, we investigated whether identified genes were causally related to the development of type 2 diabetes. Using a public blood eQTL database [29], we identified the 230 strongest associated SNPs near 124 genes (out of the 220). We compared known variants involved in type 2 diabetes development and the genes found in this study.

However, several of the 220 genes were found to have a known link to diabetes, including CD38, INSR and PC. CD38 (fold change = 1.44) is a surface marker associated with insulin resistance in diabetes via the release of inflammatory cytokines [33]. INSR (fold change = -1.13, pFDR = 0.03) encodes the insulin receptor important for insulin action. PC (fold change = 1.30, pFDR = 5.1 × 10^-3) encodes pyruvate carboxylase, which is involved in gluconeogenesis. To more systematically explore the relation between the genes identified, we determined whether they are co-expressed, i.e. whether they are correlated, suggesting a functional link (mixed-model co-expression, |r| ≥ 0.3, p ≤ 0.001). Co-expression was found for 99 genes (Fig. 2a), among which three clusters could be distinguished: the largest comprising 55 genes; a second smaller cluster comprising 42 genes; and the third consisting of two genes. The largest cluster showed strong over-representation in cell cycle (checkpoint) pathways (33 genes [15.0%], pFDR = 1.33 × 10^-14; Fig. 2 and Table 2). The second cluster showed over-representation for complement system activation and B cell signalling pathway (29 genes [13.2%], pFDR = 1.11 × 10^-16; Fig. 2 and Table 2), in line with the large number of genes identified that encode immunoglobulin constituents. The third cluster comprised KLF10 and KLF11, which both link to cell cycle regulation.

Expression of a subset of genes in muscle and pancreas also associates with HbA1c. To investigate whether the association with HbA1c extends to target tissues, we expanded the analysis to two external datasets of muscle (n = 115, GSE18732) and pancreatic islets (n = 113, GSE54279) [31, 32]. Of the 220 genes identified at baseline, 99 (45%) were found to be upregulated in target tissues: IGHG1, TMEM181 and RNFI9A in the muscle and SMC4 and MCM7 in the pancreas (Fig. 3a,b).
Seven genes showed a correlation in the opposite direction ($r < -0.2$, $p \leq 0.02$): ATAD2, CCNF, NUF2, KIF2C, LMAN1, GLDC and RACGAP1 (Fig. 3a,b). Plots for the five genes showing the strongest correlations in muscle or pancreas are shown in Fig. 3c–q. For muscle, we combined data for individuals with normal glucose tolerance, impaired glucose tolerance and type 2 diabetes. However, when the analysis was performed on individuals with type 2 diabetes only ($n = 44$, 39%), similar correlations were observed compared with the analysis in all individuals ($r = 0.54$, $p = 4.9 \times 10^{-9}$).

Discussion

In the current study, we investigated the relationship between gene expression levels in whole blood and HbA1c in 391 individuals. The highest number of genes were associated with baseline HbA1c; much lower numbers were associated with HbA1c level at follow-up. The direction of the effect was very similar across the different time points, although a decrease in effect size was observed with time. After adjustment for baseline HbA1c, most correlations of genes with follow-up HbA1c

### Table 2

| Cluster | Pathway identifier | Pathway name | No. genes | No. total | $P_{FDR}$ |
|---------|--------------------|--------------|-----------|-----------|-----------|
| 1       | R-HSA-173623       | Classic antibody-mediated complement activation | 29        | 98        | $1.11 \times 10^{-16}$ |
|         | R-HSA-2029481      | FCGR activation | 29        | 104       | $1.11 \times 10^{-16}$ |
|         | R-HSA-5690714      | CD22-mediated BCR regulation | 22        | 73        | $1.11 \times 10^{-16}$ |
|         | R-HSA-2029485      | Role of phospholipids in phagocytosis | 29        | 130       | $1.11 \times 10^{-16}$ |
|         | R-HSA-983695       | Antigen activates BCR leading to generation of second messengers | 22        | 110       | $1.11 \times 10^{-16}$ |
| 2       | R-HSA-69278        | Cell cycle, mitotic | 33        | 533       | $3.33 \times 10^{-14}$ |
|         | R-HSA-1640170      | Cell cycle | 36        | 645       | $1.33 \times 10^{-14}$ |
|         | R-HSA-453279       | Mitotic G1–G1/S phases | 14        | 147       | $7.13 \times 10^{-13}$ |
|         | R-HSA-69620        | Cell cycle checkpoints | 15        | 188       | $7.13 \times 10^{-13}$ |
|         | R-HSA-69206        | G1/S transition | 13        | 123       | $1.22 \times 10^{-12}$ |
|         | R-HSA-68877        | Mitotic prometaphase | 13        | 136       | $3.57 \times 10^{-12}$ |

FCGR, Fc-gamma receptors; BCR, B cell receptor; no. number; Cluster 1 corresponds to blue genes in Fig. 2; Cluster 2 corresponds to green genes in Fig. 2.
Correlation of HbA1c with expression of \( PAQR7 \):

- **Blood** (f), fold change = -1.24, \( p = 0.01 \); muscle (j), \( r = -0.31, p = 8.2 \times 10^{-3} \); pancreas (k), \( r = -0.30, p = 1.3 \times 10^{-3} \).

We identified the following correlations:

- For cell cycle genes [12, 14]:
  - Blood (e), fold change = -1.41, \( p = 1.7 \times 10^{-2} \); muscle (d), \( r = -0.05, p = 0.60 \); pancreas (e), \( r = -0.27, p = 3.7 \times 10^{-3} \).

- For genes associated with HbA1c at 2 years follow-up:
  - The number of genes strongly decreased over time, with only nine genes identified at baseline that were associated with HbA1c.

- For genes associated at baseline with HbA1c:
  - However, the link between the immune system and type 2 diabetes remains complex and controversial. For example, in a Mendelian randomisation study no causal links were found between IL-1 receptor antagonist (IL-1Ra) or C-reactive protein (CRP) and diabetes-related outcomes [42, 43], while IL-1Ra is associated with 2 h glucose and insulin sensitivity [44].

- For genes associated with an OGTT lead to changes in expression of immune-related genes over a 2 h period [39]. Moreover, several blood cell types have been suggested to play a role in, for example, insulin resistance [37, 40, 41].

- Our results give insight into the groups of genes that show aberrant expression with different HbA1c levels. We identified three gene clusters as being differentially expressed: one that linked to cell cycle processes, one to immune response and the third consisted of only KLF10 and KLF11. KLF11 has been described in type 2 diabetes physiology, but has shown mixed results in GWAS [34–36]. A role for the immune system in type 2 diabetes and obesity is increasingly recognised [37, 38], making blood—in addition to target tissues like pancreas and muscle—a relevant tissue to investigate in diabetes. In healthy individuals, exposure to an OGTT leads to changes in expression of immune-related genes over a 2 h period [39].

- We also identified genes that were not only associated in blood with HbA1c, but also in the muscle and pancreas. Of the genes inversely associated with HbA1c levels, \( PAQR7 \) was downregulated in all three tissues. \( PAQR7 \) is a progesterone receptor that, when activated, promotes glucose tolerance in the mouse GLUTag cell line [46].
In addition to the immune-related genes, we identified genes related to cell cycle and its checkpoints. Six of the cell cycle genes were also confirmed to have a relationship with HbA1c in the pancreas in the same (i.e. SMC4 and MCM7) or opposite direction (ATAD2, CCNF, NUF2 and KIF2C). Dysregulation of the cell cycle in pancreas and kidney has been described and linked to a higher risk of developing type 2 diabetes and complications in rodents [47–49]. In humans, SNPs near the cell cycle genes CDC123 and CDKN2A have been found to be associated with increased susceptibility to type 2 diabetes. This suggests that high blood glucose is associated with dysregulation of the cell cycle not only in the pancreas, but also in other tissues.

A limitation of our study is the relatively heterogeneous population of individuals with type 2 diabetes. Individuals have different diabetes histories and use a variety of drugs, including drugs to control their glucose levels. Yet the heterogeneity of individuals is also part of the question, and a biomarker should be independent of a confounding effect of treatment. As the majority of individuals were taking metformin and this drug is dose-dependently associated with HbA1c [50], we adjusted for the metformin dosage and for use of sulfonylureas and insulin (in addition to classic confounders such as sex, age and BMI). However, while we did not observe an effect for differences in, for example, glucose-lowering medication, education, smoking, blood pressure or BMI, it remains a limitation of our study that there may be other factors related to, for instance, lifestyle and concurrent diseases that may have affected HbA1c and gene expression. A second limitation is that we did not replicate our results in an independent cohort; to confirm the validity of our results, we replicated our findings in two different target tissues (pancreatic islets and muscle).

In our study, we measured the gene expression profile of whole blood. While this is the tissue one would want to identify a biomarker in, it should not be confounded by the composition of blood cell subtypes. To adjust for this confounding effect, we estimated and measured the fraction of the five major cell types in blood and adjusted for these cell fractions in the model.

Altogether, while gene expression levels are interesting blood biomarkers for poor glycaemic control, our study suggests that gene expression levels in whole blood reflect current glycaemic state, but are not necessarily predictive of future glycaemic state. The genes identified provide an important insight into the link between poor glycaemic control and altered expression of cell cycle and immune pathways in blood, which, for some genes, also extends to the target tissues muscle and pancreas.

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Data availability The datasets generated in the current study are available from the corresponding author.

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Contribution statement RCS, LMH, JWJB, NvL and HM designed the study. RCS analysed the data and wrote the draft manuscript. AAWvdH, GN, JWJB and LMH acquired all the data within the Hoorn DCS cohort. All authors critically read and revised the manuscript and approved the final version of the manuscript. RCS had full access to the data and is the guarantor of this work.

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