Beyond relativistic mean-field approach for nuclear octupole excitations

J. M. Yao
School of Physical Science and Technology, Southwest University, Chongqing 400715, China and
Department of Physics, Tohoku University, Sendai 980-8578, Japan

E. F. Zhou and Z. P. Li
School of Physical Science and Technology, Southwest University, Chongqing 400715, China
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We report the first beyond-mean-field study of low-lying parity-doublet states in $^{224}$Ra by extending
the multireference relativistic energy density functional method to include dynamical correlations
related to symmetry restoration and quadrupole-octupole shape fluctuation with a generator coordi-
nate method combined with parity, particle-number, and angular-momentum projections. We clarify
full microscopically that the origin of spin-dependent parity splitting in low-spin states is
related to the octupole shape stabilization of positive-parity states, the dominated shapes of which
drift gradually to that of negative-parity ones.

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The existence of octupole shaped nuclei in intrin-
sic frame has been suggested very early from the ob-
served low-lying parity doublets connected with strong
electric odd-multipole ($E\lambda$) transition strengths [1, 2].
Among them, $^{224}$Ra was recently suggested to be a stable
pear shaped nucleus based on the measured $E\lambda$ transi-
tions [3]. Nevertheless, as pointed out early [4] and shown
in this paper that the effects stemming from static oc-
tupole shapes on $E\lambda$ transitions can be almost equally
well described by including dynamical octupole deforma-
tions around the equilibrium shape. Moreover, the
spectroscopy of reflection-asymmetric diatomic molecules
suggests the existence of a rotational band with alter-
ating parity, which has indeed been found in some can-
didates of octupole shaped nuclei at high-spin states. How-
ever, an evident energy splitting is observed in the odd
and even parity states of these nuclei at low-spin region.
In particular, this parity splitting is gradually increased
with the decrease of spin. This peculiar feature indicates
the possible existence of large quantum shape fluctua-
tion in octupole shapes at low-spin states. Therefore,
whether atomic nuclei possess dynamical or stable oc-
tupole shapes in low-spin states remains an open ques-
tion, which is relevant not only for deepening our un-
derstanding on nuclear structure at low energy, but also
in searching for CP-violating Schiff moment which is ex-
pected to be amplified in octupole deformed nuclei [5].

Numerous studies have been performed to search
for octupole deformed nuclei with selfconsistent mean-
field approaches [6–12], and to understand nuclear col-
lective octupole excitations with energy density func-
tional (EDF) based collective Hamiltonian [13–16], EDF
mapped interacting boson model [17], and generator co-
ordinate method (GCM) [18–20]. These studies have
greatly deepened our understanding on the structure of
octupole candidate nuclei. However, the onset of unusual
spin-dependent parity splitting has not been clarified.

The combination of energy density functional approach
with GCM provides a powerful tool to study nuclear
shape fluctuations. Unfortunately, angular-momentum
projection has not yet been implemented in this fram-
work for octupole nuclei and the stabilization of nuclear
octupole shape against rotation remains to be examined.

In the meantime, cranked mean-field approaches have
also been adopted to study nuclear octupole deforma-
tion in different spin states [21, 22]. A spin-dependent
staggering in deformations and the energy splitting of
odd and even parity states were observed in the $N = 88$
isotones [22], where a phase transition picture from
octupole vibration to octupole deformation was sug-
gested. However, the effects of shape fluctuation and
angular momentum conservation remain to be taken
into account. On the other hand, to explain the spin-
dependent parity splitting, Jolos et al. proposed a pic-
ture of rotation-induced second-order phase transition
from dynamical octupole to static octupole shapes based
on a one-dimensional collective model with phenomeno-
logical spin-dependent potentials [23], which seems to
be consistent with semiclassical analysis of available
data [1, 2, 24, 25]. In contrast, Shneidman et al. pro-
posed a dynamical cluster picture with oscillations in
mass asymmetry coordinate based on a phenomenolog-
ic diminuclear model [26]. In these phenomenological
studies, however, the $E\lambda$ transition properties were sel-
dom examined and the microscopic foundation of their
model assumptions was not clear. In view of all these
facts, it is important and timely to establish a full micro-
scopic approach to study possible octupole shaped nuclei
with alternating parity states and to unveil the underly-
ing mechanism responsible for the spin-dependent parity
splitting at low-spin region.

To this end, we extend the selfconsistent relativistic
energy density functional method [27–29] to include dy-
namical correlations related to symmetry restoration and
quadrupole-octupole shape fluctuation with the GCM combined with parity, particle-number, and angular-momentum projections. The symmetry conserved wave function for the low-lying collective states is constructed by superposing a set of quantum-number projected nonorthogonal mean-field reference states \(|q\rangle\) around the equilibrium shape

\[
|J^\pi NZ; \alpha\rangle = \sum_{\kappa \in \{q, K\}} f^{J^\pi \alpha}_{\kappa} \hat{P}_M^J \hat{P}_K^N \hat{P}_Z^\pi |q\rangle,
\]

where the generator coordinate \(q\) stands for the discretized deformation parameters \(\{\beta_2, \beta_3\}\) of the reference states from deformation constrained selfconsistent mean-field calculation based on a universal relativistic energy functional PC-PK1 [30]. The \(\hat{P}_G^J\) \((G = J, \pi, N, Z)\) are projection operators [31]. The weight function \(f^{J^\pi \alpha}_{\kappa}\) is determined by the Hill-Wheeler-Griffin equation,

\[
\sum_{\kappa_b} [\mathcal{H}_{\kappa_a, \kappa_b}^{J^\pi} - E_{\alpha}^{J^\pi} \mathcal{N}_{\kappa_a, \kappa_b}^{J^\pi}] f^{J^\pi \alpha}_{\kappa_b} = 0
\]

where the hamiltonian kernel \(\mathcal{H}_{\kappa_a, \kappa_b}^{J^\pi}\) and norm kernel \(\mathcal{N}_{\kappa_a, \kappa_b}^{J^\pi}\) are given by,

\[
\mathcal{N}_{\kappa_a, \kappa_b}^{J^\pi} = \langle b_0 | \hat{O} P_{\kappa_a K_b}^J \hat{P}_K^N \hat{P}_Z^\pi |b_0\rangle
\]

with the operator \(\hat{O}\) representing \(\hat{H}\) and 1, respectively.

In the present work, the mean-field wave functions \(|q\rangle\) are obtained by selfconsistent deformation constrained calculation, where the Dirac equation of single-particle wave function is solved in a three dimensional isotropic harmonic-oscillator basis with fourteen major shells. Although this basis space is not sufficient for the absolute energies of states, it provides reasonable convergent solutions to nuclear spectroscopic properties, including excitation energies and transition strengths. Axial and time-reversal symmetries are imposed to reduce the computational burden. Moreover, since the BCS method and Bogoliubov transformation give similar good description for the low-lying states of nuclei not far from stability line if the pairing strengths are chosen properly [32], we adopt the computation less demanded BCS method to take into account the pairing correlations between nucleons with the strength parameters of zero-range pairing forces chosen according to the PC-PK1 parametrization [30]. The Pfaffian techniques [33, 34] are implemented to calculate norm overlaps in the norm kernel. The hamiltonian kernel is calculated with mixed-density prescription [35]. The numbers of mesh points in rotation Euler angle and gauge angle are chosen as 16 and 9, respectively, in the angular momentum and particle number projections. After convergence check, we finally choose 39 reference states in the \((\beta_2, \beta_3 \geq 0)\) deformation plane in the GCM calculation. The configurations with \(\beta_3 < 0\) are included automatically by using the parity operator.

It is worth mentioning that the GCM based on non-relativistic Skyrme [36] or Gogny [37] forces has recently been extended for odd-particle states [38] and cranked states [39], respectively with great efforts. However, these approaches are presently limited to quadrupole shapes. The present method is a further extension of our previous beyond mean-field method for triaxial nuclei [40] by allowing for octupole shape degree of freedom and provides the most advanced beyond mean-field calculation for nuclear octupole excitations.

Figure 1(a) displays mean-field energy surface of \(^{224}\text{Ra}\) in \(\beta_2-\beta_3\) deformation plane (normalized to energy minimum), where two neighboring lines are separated by 0.5 MeV. (b) Total nucleon density of the energy-minimum state with \(\beta_2 = 0.179, \beta_3 = 0.125, \beta_4 = 0.146\) in \(y = 0\) plane.

\[
\beta_\lambda \equiv \frac{4\pi}{3AR^3} \langle q | r^\lambda Y_\lambda | q \rangle, \quad R = 1.2A^{1/3},
\]

with \(A\) being mass number of the nucleus. The topography of the mean-field energy surface in Fig. 1 is essentially the same as that obtained in Ref. [17] with a relativistic Hartree-Bogoliubov (RHB) model. The global energy minimum is located at the configuration with deformations \(\beta_2 = 0.179, \beta_3 = 0.125, \beta_4 = 0.146\), which are slightly larger than the values \(\beta_2 = 0.154, \beta_3 = 0.097, \beta_4 = 0.15\) in Ref. [3]. Total nucleon distribution of this energy-minimum configuration is depicted in Fig. 1(b), exhibiting a well-developed pear shape. The energy difference between the energy minimum and the lowest reflection-symmetric configuration is, however, not large enough to prevent shape fluctuation. The present GCM calculation shows a broad distribution of the collective wave function in \(\beta_2-\beta_3\) plane for ground state, consistent with the finding in Ref. [20]. With the increase of spin, the spread collective wave function of positive-parity states becomes gradually concentrated around the energy minimum and close to that of negative-parity states, which is more stable against rotation.

The calculated low-lying energy spectra are compared with available data in Fig. 2. The spectra and the E2 and E3 transitions can be reproduced reasonably well using
only the energy-minimum configuration (cf. Fig. 2(c)). However, the predicted alternating parity rotation band as expected for a stable octupole shaped nucleus is not supported by the data. The energy displacement between the parity doublets can only be reproduced in the GCM calculation (cf. Fig. 2(b)) by mixing the configurations around the equilibrium shape, which does not affect appreciably the good description for the Eλ strengths. As clearly shown in Fig. 3, the gradually reduced odd-even staggering $R_{J/2}$ with increasing $J$ is associated with the similar behavior of average deformation parameters $⟨β_3⟩ ≡ \sum \langle β_3ⁿ⟩ \langle g_{β}^{J/2} \rangle^2$, where the orthonormal collective wave function $g_{β}^{J/2} (q_α)$ is constructed as $g_{β}^{J/2} (q_α) = \sum_q \langle J/2, q_α | J/2, q_β⟩ f_{J/2}$. The octupole deformations of negative-parity states are almost constant (around 0.144) with increasing spin $J$, cf. Fig. 3(c), while those of positive-parity states increase smoothly from $⟨β_3⟩ = 0.101 (J = 0)$ to $⟨β_3⟩ = 0.141 (J = 10)$. A similar but much less evident staggering is shown in $⟨β_2⟩$ varying in between 0.180 and 0.191.

It is worth mentioning that in contrast to the predictions based on the energy-minimum configuration, the full configuration-mixing calculation predicts a steady increase (but also with somewhat odd-even staggering) of electric octupole transition moments $Q_{J}\langle J → J − 1 \rangle$ and $Q_{J}\langle J → J − 3 \rangle$. However, the available data on the Eλ transitions in $^{224}$Ra is limited and can hardly distinguish these two situations. Moreover, the ratio of electric dipole moment $Q_1\langle J → J − 1 \rangle$ to quadrupole moment $Q_2\langle J → J − 2 \rangle$ is similar in both calculations and not much dependent on spin $J$, which is consistent with the available data [24]. In short, Fig. 3 presents us a novel spin-controlled shape stabilization picture that rotation brings the dominate shape of positive-parity (even-$J$) states close to that of negative-parity (odd-$J$) states. A further high-precision measurement on the $Q_{J}\langle J → J − 1 \rangle$ transition moments should be helpful to confirm this picture derived from the excitation energies.

To understand the connection between spin-dependent parity splitting and shape evolution in a simple and intuitive way, we carry out the GCM calculations by mixing the octupole configurations at either $β_2 = 0.10$ or 0.15. Fig. 4(a) displays the corresponding excitation energy ratio $R_{J/2}$ and normalized staggering amplitude $S_{J/2}$. The gradually reduced energy staggering is shown in both cases. The mechanism responsible for this behavior is exhibited in Fig. 4(c) and (d) for the projected energy surfaces and in Fig. 4(e) and (f) for the collective wave functions of each spin-parity states. We note that the peak at $β_3 = 0.02$ in Fig. 4(d) is due to the collapse of pairing correlation between protons and this configuration turns out to have a minor influence on the GCM results.

In the projected GCM calculation, energy splitting between $ΔJ = 1$ states comes mainly from the dynamical correlation energies (DCE) from angular-momentum and parity projections. The DCE from parity projection is decreasing to zero with increasing $β_3$ as the norm overlap $⟨β_3β_3|P|β_2β_2⟩ → 0$, cf. Fig. 4(b). Moreover, the larger the $β_2$ is, the faster the norm overlap approaches zero with $β_3$. Therefore, the energy splitting between $ΔJ = 1$ states decreases with increasing of both $β_2$ and $β_3$, as shown in the projected energy surfaces, cf. Fig. 4(c) and (d). An alternating-parity projected energy surfaces
(Color online) (a) Excitation energy ratio $R_{J/2}$ and (inset) staggering amplitude $S_{J/2}$; (b) norm overlap $\langle \beta_2 \beta_3 | P | \beta_2 \beta_3 \rangle$ of parity operator in mean-field states; (c) and (d) mean-field and projected energy curves onto particle numbers $N$, $Z$, angular momentum $J$, and parity $\pi = (-1)^J$ (solid lines for even $J$, dashed lines for odd $J$). The mean-field (or projected) energy curves are normalized to the $0^+$ state at $\beta_3 = 0$ (or minimum). The mean-field energy surfaces in (c) and (d) are shifted down by $3.43$ MeV and $4.15$ MeV, respectively. (e) and (f) absolute value of collective wave functions for parity-doublet states. Those for odd-$\pi$ ($1^-$, $3^-$, $\ldots$, $9^-$) states are close to each other.

is shown in sufficient large deformation regions, where the energy splitting between $\Delta J = 1$ states is originated almost purely from rotation, as expected for stable octupole shaped nuclei. In contrast, for the configurations in relatively small deformation regions, such as $\beta_3 \lesssim 0.05$, the nonzero overlap $\langle \beta_2 \beta_3 | P | \beta_2 \beta_3 \rangle$ leads to a large energy splitting between $\Delta J = 1$ parity doublets and thus to a large staggering amplitude.

In particular, Fig. 4(c) and (d) shows that the projected energy surfaces with positive parity evolve evidently with increasing spin, presenting a transition from weakly deformed octupole shape to a large deformed one, which is consistent with the behavior of the spin-dependent collective potentials introduced phenomenologically in Ref. [23]. This spin-controlled shape stabilization picture is demonstrated more clearly in the distributions of collective wave functions in Fig. 4(e) and (f). With the increase of spin, the dominated configuration of positive-parity state drifts gradually from the weak octupole configurations ($\beta_3 \simeq 0.05$) to those with large octupole shapes ($\beta_3 \in [0.10, 0.15]$). This behavior is probably related to the deformation-dependent moment of inertia, which turns out to increase with $\beta_2$ and $\beta_3$, presenting a peak region around the minimum of the mean-field energy surface in Fig. 1. In contrast, due to antisymmetry requirement ($\beta_3 \rightarrow -\beta_3$) for the negative-parity states, their collective wave functions are zero at $\beta_3 = 0$, concentrated to a large octupole deformed configurations ($\beta_3 \in [0.10, 0.15]$) and are hardly affected by rotation. It is coincident with the evolution picture of the collective wave functions with spin from the full GCM calculation.

In conclusion, we have reported the first symmetry-conserved beyond-mean-field study of low-lying parity doublets states in $^{224}$Ra with a state-of-the-art multireference relativistic energy density functional method, where the dynamical correlations related to restoration of broken symmetries and to fluctuations of quadrupole-octupole shapes have been taken into account with the exact generator coordinate method combined with particle-number, angular-momentum, and parity projections. Both the energy spectrum and the E2, E3 transitions are reproduced in the configuration-mixing calculation without introducing any phenomenological parameter. We have demonstrated full microscopically that $^{224}$Ra has dynamical octupole shapes in the low-spin positive-parity states and acquires a stable octupole shape close to that of negative-parity states after rotation with spin up to $\sim 8\hbar$. This novel picture of rotation-induced octupole shape stabilization provides a natural explanation for the spin-dependent parity splitting in the excitation energies and is expected to be a common phenomenon in some actinides and rare-earth nuclei. Finally, we point out that with our microscopic results as the inputs of the coupled-channel calculation for nuclear fusion [41], one may observe some interesting differences on the barrier distribution compared with that based on rotational or vibrational limits [42]. Moreover, octupole deformed odd-mass nuclei have enhanced time-reversal violating nuclear Schiff moments and thus are relevant for measuring atomic electric-dipole moments [43]. The present study provides a starting point to examine the dynamical correlation effects on nuclear Schiff moments.

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