Superfluid Weight, Free Carrier Density, and Specific Heat
of the $d = 3$ $tJ$ Model at Finite Temperatures

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The superfluid weight, free carrier density, and specific heat of the three-dimensional $tJ$ model are calculated by renormalization-group theory. We find that optimal hole doping for superfluidity occurs in the electron density range of $n_s \approx 0.63$--0.68, where the superfluid weight $n_s/m^*$ reaches a local maximum. This density range is within the novel $\tau$ phase, where the electron hopping strength renormalizest to infinity, the system remains partially filled at all length scales, and the electron-hopping expectation value remains distinctively non-zero at all length scales. The calculated superfluid weight drops off sharply in the overdoped region. Under hole doping, the calculated density of free carriers increases until optimal doping and remains approximately constant in the overdoped region, as seen experimentally in high-$T_c$ materials. Furthermore, from calculation of the specific heat coefficient $\gamma$, we see clear evidence of a gap in the excitation spectrum for the $\tau$ phase.

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I. INTRODUCTION

The variation of the superfluid number density $n_s$ with temperature and carrier doping is of fundamental importance in describing the unique properties of the superconducting state in high-$T_c$ cuprates. Experimentally, muon-spin-rotation techniques are used to determine the closely related quantity $n_s/m^*$ (also known as the superfluid weight), where $m^*$ is the effective mass of the carriers in the superfluid. In the underdoped region of high-$T_c$ materials, $n_s/m^*$ increases with doping, and the low-temperature superfluid weight is correlated with $T_c$. As the materials are doped past the optimal value (where $T_c$ is the highest), $n_s/m^*$ peaks and rapidly decreases. The decrease in $n_s/m^*$ is surprising since the total density of free carriers saturates at optimal doping and remains almost constant in the overdoped region. By contrast, in a conventional superconductor, described by BCS theory, these two quantities have the same doping dependence.

The $tJ$ model is a promising starting point in understanding these properties of cuprate superconductors. Mean-field $U(1)$ and $SU(2)$ slave-boson theories of the $tJ$ Hamiltonian have reproduced some aspects of the doping and temperature dependences of $n_s/m^*$. More direct, unbiased numerical techniques applied to a $4 \times 4$ $tJ$ cluster have observed a large peak in $n_s/m^*$ in the same range where pairing correlations indicate a superconducting ground state. A general limitation of these types of studies is that no finite-cluster approach can unambiguously identify phase transitions in the system, or exhibit the non-analytic behavior of thermodynamic quantities at these transitions.

Alternatively, the physics of the bulk model can be studied through the position-space renormalization-group method, which has been used to determine the phase structure and thermodynamic properties of the $tJ$ and Hubbard models at finite temperatures. In particular, Falicov and Berker’s calculation for the $tJ$ model in $d = 3$ with the realistic coupling $J/t = 0.444$ produced a rich, multicritical phase diagram, with a novel low-temperature phase (called “$\tau$”) for $30$--$40\%$ hole doping where the electron hopping strength in the Hamiltonian renormalizes to infinity under repeated scale changes, while the system remains partially filled. This is the possible signature of a superconducting phase, and it is notable that a similar phase was also observed in the $d = 3$ Hubbard model.

Our present study further develops this renormalization-group approach, to yield the superfluid weight of the $tJ$ model as a function of temperature and hole doping. Our approach reproduces phenomenological features of high-$T_c$ materials. In particular we find that optimal doping is located in the vicinity of the $\tau$ phase, where $n_s/m^*$ peaks and then sharply reduces with overdoping. Moreover, we also find that the density of free carriers increases until optimal doping, and saturates in overdoped region. These results suggest that the $\tau$ phase might indeed correspond to the superconducting phase in cuprates. Further supporting this idea, we present specific heat calculations that show clear evidence of a gap in the quasiparticle spectrum for the $\tau$ phase.

II. THE $tJ$ HAMILTONIAN

We consider a $d$-dimensional hypercubic lattice where the $tJ$ model for electron conduction is defined by the
Hamiltonian

\[ H = P \left[ i \sum_{i,j,\sigma} \left( c_{i\sigma}^\dagger c_{j\sigma} + c_{j\sigma}^\dagger c_{i\sigma} \right) + \tilde{J} \sum_{i,j} S_i \cdot S_j - \tilde{V} \sum_{i,j} n_i n_j - \mu \sum_i n_i \right] P, \]  

(1)

where \( c_{i\sigma} \) and \( c_{i\sigma}^\dagger \) are creation and annihilation operators, obeying anticommutation rules, for an electron with spin \( \sigma = \uparrow \) or \( \downarrow \) at lattice site \( i \), \( n_{i\sigma} = c_{i\sigma}^\dagger c_{i\sigma} \), \( n_i = n_{i\uparrow} + n_{i\downarrow} \) are the number operators, and \( S_i = \sum_{\sigma} c_{i\sigma}^\dagger s_{\sigma} c_{i\sigma} \) is the single-site spin operator, with \( s \) the vector of Pauli spin matrices. The entire Hamiltonian is sandwiched between projection operators \( P \), and \( \tilde{H} \) are the interaction constants.

The total Hamiltonian is now written as a sum of pair Hamiltonians

\[ \tilde{H} = \sum_{i,j} \left( -\beta H(i,j) \right) P, \]  

(2)

Here \( \beta = 1/k_B T \), so that the interaction constants are related by \( t = \beta t \), \( J = \beta J \), \( V = \beta \tilde{V} \), \( \mu = \beta \tilde{\mu} / 2d \). The total Hamiltonian is now written as a sum of pair Hamiltonians \( -\beta H(i,j) \). The summation over nearest-neighbor sites \( (i,j) \) is taken so that the position of site \( j \) is \( r_j = r_i + a_k \), where \( a_k \) is one of the \( d \) lattice vectors. Since changing the sign of \( t \) is equivalent to redefining the phase at every other site in the system, we shall choose \( t > 0 \) with no loss of generality. The effective temperature variable will be \( 1/t = k_B T \), where we have taken \( t = 1 \) as the unit of energy.

In order to study the superfluid weight, we introduce periodic boundary conditions, by considering the system as a ring in each axis direction threaded by a magnetic flux. We choose the vector potential \( \mathbf{A} \) associated with the flux to have components \( A/\sqrt{d} \) along each axis, so that the pair Hamiltonian becomes

\[ -\beta H(i,j) = P \left[ -t \sum_{\sigma} \left( e^{i \phi} c_{i\sigma}^\dagger c_{j\sigma} + e^{-i \phi} c_{j\sigma}^\dagger c_{i\sigma} \right) - JS_i \cdot S_j + V n_i n_j + \mu (n_i + n_j) \right] P, \]  

(3)

where \( \phi = a A/\sqrt{d} \) and \( a \) is the lattice spacing. For simplicity, we have adopted units so that \( \hbar = c = e = 1 \). In the presence of the applied phase twist \( \phi \), the superfluid weight \( n_s / m^* \) is related to the curvature of the total free energy \( F \) near \( \phi = 0 \).

\[ \frac{n_s}{m^*} = \frac{1}{N a^2} \lim_{\phi \to 0} \frac{\partial^2 F}{\partial \phi^2} = \frac{1}{N d} \lim_{\phi \to 0} \frac{\partial^2 F}{\partial \phi^2}. \]  

(4)

where \( N \to \infty \) is the total number of lattice sites. In Sec.IIIE we shall show how this quantity can be calculated from the renormalization-group transformation developed below.

### III. RENORMALIZATION-GROUP TRANSFORMATION

#### A. Recursion Relations

The position-space renormalization-group method used here starts with an approximate decimation in \( d = 1 \), which is then generalized to higher dimensions by the Migdal-Kadanoff procedure \[10, 11\]. In \( d = 1 \), the Hamiltonian of Eq. (2) takes the form:

\[ -\beta H = \sum_i \{-\beta H(i, i+1)\}, \]  

(5)

where \( i = 1, 2, 3, \ldots \). The decimation consists of finding a thermodynamically equivalent system, described by the Hamiltonian \( -\beta H' \), which depends only on the states of the odd-numbered sites. Since the quantum operators in the Hamiltonian do not commute, an exact decimation even in one dimension is not possible. We can carry out an approximate decimation as follows \[16, 17\]:

\[ \text{Tr}_{\text{even}} e^{-\beta H} = \sum_{i} \text{Tr}_{\text{even}} e^{-\beta H(i, i+1)} \]

\[ = \text{Tr}_{\text{even}} e^{-\beta H(i, i+1)} \sum_{i} \text{Tr}_{\text{even}} e^{-\beta H(i-1, i-1)} \]

\[ \approx \prod_{i} e^{-\beta H(i, i+1)} \approx e^{-\beta H'} \]

\[ \approx e^{-\beta H'(i-1, i+1)} \]

(6)

Here \( -\beta H' \) is the Hamiltonian for the renormalized system, and \( \text{Tr}_{\text{even}} \) is a trace over the degrees of freedom at all even-numbered sites. In the two approximate steps, marked by \( \approx \) in Eq. (6), we ignore the non-commutation of operators separated beyond three consecutive sites of the unrenormalized system (conversely, this means that anticommutation rules are taken into account within three consecutive sites at all successive length scales, as the renormalization-group procedure is repeated). These two steps involve the same approximation but in opposite directions, which gives some mutual
compensation. Earlier studies of quantum spin systems have shown the success of this approximation at predicting finite-temperature behavior.\textsuperscript{14, 17}

The renormalization-group mapping can be extracted from the third and fourth lines of Eq. (6):

\[ e^{-\beta H'(i, k)} = T_{ij} e^{-\beta H(i, j) - \beta H(j, k)}, \]

where \( i, j, k \) are three consecutive sites of the unrenormalized system. The operators \( -\beta H'(i, k) \) and \( -\beta H(i, j) - \beta H(j, k) \) act on the space of two-site and three-site states respectively, so that, in terms of matrix elements,

\[ (u_i v_k) e^{-\beta H'(i, k)} (u_i v_k) = \sum w_j \langle u_i w_j | e^{-\beta H(i, j) - \beta H(j, k)} | u_i w_j \rangle, \]

where \( u_i, w_j \) are single-site state variables. Eq. (8) is the contraction of a 27 \( \times \) 27 matrix on the right into a 9 \( \times \) 9 matrix on the left. We block-diagonalize the left and right sides of Eq. (8) by choosing basis states which are the eigenstates of total particle number, total spin magnitude, total spin \( z \)-component, and parity. We denote the set of 9 two-site eigenstates by \( \{ | \phi_i \rangle \} \) and the set of 27 three-site eigenstates by \( \{ | \psi_i \rangle \} \), and list them in Tables I and II. Eq. (8) is rewritten as

\[ \langle \phi_i | e^{-\beta H'(i, k)} | \psi_i \rangle = \sum_{u, v, w, q, \bar{q}} \langle \phi_i | u_i v_k \langle u_i w_j | \psi_q \rangle \langle \psi_q | e^{-\beta H(i, j) - \beta H(j, k)} | \psi_q \rangle. \]

Eq. (9) yields six independent elements for the matrix \( \langle \phi_i | e^{-\beta H'(i, k)} | \phi_i \rangle \), which we label \( \gamma_p \) as follows:

\[ \gamma_p = \langle \phi_p | e^{-\beta H'(i, k)} | \phi_i \rangle \quad \text{for} \quad p = 1, 2, 4, 6, 7, \]

\[ \gamma_0 = \langle \phi_2 | e^{-\beta H'(i, k)} | \phi_4 \rangle. \]

To calculate the \( \gamma_p \), we determine the matrix elements of \( -\beta H(i, j) - \beta H(j, k) \) in the three-site basis \( \{ | \psi_i \rangle \} \), as listed in Table III, and exponentiate the matrix blocks to find the elements \( \langle \psi_q | e^{-\beta H(i, j) - \beta H(j, k)} | \psi_q \rangle \) which enter on the right-hand side of Eq. (9). In this way the \( \gamma_p \) are functions of the interaction constants in the unrenormalized Hamiltonian, \( \gamma = \gamma_0(t, \phi, J, V, \mu). \)

Since the matrix \( \langle \phi_p | e^{-\beta H'(i, k)} | \phi_i \rangle \) is determined by six independent elements \( \gamma_p \), the renormalized pair Hamiltonian \( -\beta H'(i, k) \) involves six interaction constants, namely those of the original types of interactions and an additive constant:

\[ -\beta H'(i, k) = P \left[ -t' \sum_{\sigma} \left( e^{i\phi'} c_{i\sigma}^\dagger c_{j\sigma} + e^{-i\phi'} c_{j\sigma}^\dagger c_{i\sigma} \right) \right. \]
\[ -J'S_i \cdot S_j + V' n_i n_j + \mu'(n_i + n_j) + G' \right] P, \]

where \( t' = \text{sign} (\gamma_4 - \gamma_2) \cosh^{-1} \left( \frac{\gamma_2 + \gamma_4}{2e^v} \right), \)
\[ \phi' = \tan^{-1} \left( \frac{2 \text{Im} \gamma_0}{\gamma_4 - \gamma_2} \right), \quad J' = \ln \frac{\gamma_7}{\gamma_6}, \]
\[ V' = \frac{1}{4} \left( \ln (\gamma_1^4 \gamma_6^3) - 8v \right), \quad \mu' = v - \ln \gamma_1, \]
\[ G' = \ln \gamma_1. \]

The approximate \( d = 1 \) decimation contained in Eqs. (9)-(12) can be expressed as a mapping of a Hamil-
ant under spin-reversal, the spin-flipped matrix elements are not shown. The additive constant contributed 2̂ by the interaction constants

\[ \Delta G \]

in the three-site Hamiltonian, are explained in Sec.IIIC.



\[ \beta H = \sum_{\alpha} \beta_{\alpha} \frac{\partial \ln Z}{\partial K_{\alpha}} \]

where \( Z(K) \) is the partition function. We can relate the densities at the two consecutive points along a renormalization-group trajectory by

\[ M_\alpha = b^{-d} M'_\alpha T_{\beta \alpha} \]

with summation over repeated indices implied. At a fixed point of the renormalization-group transformation, corresponding to a phase transition or a phase sink, the densities \( M_\alpha = M'_\alpha = M'_\alpha \) are the left eigenvector with eigenvalue \( b^d \) of the recursion matrix \( T \) evaluated at the fixed point. The densities at the starting point of the trajectory (the actual physical system) are computed by iterating Eq. (16) until a fixed point is effectively reached. If \( T^{(k)} \) is the recursion matrix of the \( k \)th renormalization-group iteration, then for large \( k \), we can express the densities of the actual system \( M \) as

\[ M \approx b^{-kd} M^* \cdot [T^{(k)}] \cdot [T^{(k-1)}] \cdots \cdot [T^{(1)}]. \]

The renormalization-group transformation incorporated in Eqs. (9)-(14) gives

\[ \frac{\partial \phi'}{\partial t} = \frac{\partial \phi'}{\partial J} = \frac{\partial \phi'}{\partial V} = \frac{\partial \phi'}{\partial \mu} = 0, \]

\[ \frac{\partial \phi'}{\partial t} = \frac{\partial \phi'}{\partial J} = \frac{\partial \phi'}{\partial V} = \frac{\partial \phi'}{\partial \mu} = 0, \]

for all \( \phi \). The 6 \times 6 recursion matrix \( T \) will then have
\[
T = \begin{pmatrix}
\beta^i & \frac{\partial G^i}{\partial \phi^i} & \cdots & \frac{\partial G^i}{\partial \phi^j} \\
0 & \frac{\partial G^i}{\partial \phi^i} & \cdots & \frac{\partial G^i}{\partial \phi^j} \\
\vdots & \vdots & \ddots & \vdots \\
0 & 0 & \cdots & \frac{\partial G^i}{\partial \phi^m}
\end{pmatrix}
\]

at every step in the flow. This leads to
\[
M^i_n = 0 \quad \text{and} \quad \frac{\partial}{\partial \phi} \ln Z = M_0 = 0,
\]
for all points of the phase diagram. This superfluid weight of zero for all temperatures and electronic densities is clearly due to the oversimplification in our initial approximation.

The source of the problem is the three-site cluster approximation used in deriving the recursion relations. In modifying the original approximation scheme, we seek to incorporate the effect of the non-commutations extending beyond the three-site cluster. Turning to the matrix elements of \(-\beta H(i,j,k)\) listed in Table III, we note the terms \(\Delta_i\), \(i = 1, \ldots, 4\). Using the original Hamiltonian of Eq. (8) restricted to the three-cluster, the matrix elements involving these terms are all zero. However, non-commutativity extending beyond the three-cluster makes, as we see below, these matrix elements non-zero.

We can estimate the magnitude of the matrix elements \(\Delta_i\) by considering a five-site cluster, described by Hamiltonian \(-\beta H(h,i,j) - \beta H(i,j,k) - \beta H(k,l)\), where \((h,i,j,k,l)\) are consecutive sites. In the spirit of Eq. (8), we generate effective couplings for the five-cluster by tracing over the degrees of freedom at the outside sites in the five-cluster,
\[
\langle u_i u_j u_k | e^{-\beta H(i,j,k)} | \bar{u}_i \bar{v}_j \bar{w}_k \rangle = 
\sum_{h,x_i} \langle t_h u_i u_j u_k | e^{-\beta H(h,i,j,k)} | \bar{u}_i \bar{v}_j \bar{w}_k \rangle,
\]
where the subscripted variables refer to single-site states. From the above equation, we can extract the matrix elements of an effective three-cluster Hamiltonian \(-\beta H(i,j,k)\). Eq. (21) is the contraction of a 243 \(\times\) 243 matrix on the right-hand side into a 27 \(\times\) 27 matrix on the left. We simplify our task by using the \(|\psi_p\rangle\) basis on the left, and choosing an appropriate five-site basis to block-diagonalize the 243 \(\times\) 243 right-hand matrix.

Since \(-\beta H(i,j,k)\) is derived from the decimation of a five-cluster, it will have a more general form than \(-\beta H(i,j,k)\), and approximately reflect the effect of the three-cluster non-commutations with the external sites. However our approximation scheme must also satisfy an important constraint: the \(\phi \to 0\) limit should yield the same renormalization-group transformation used in earlier studies of the \(tJ\) model [10, 11]. To achieve this, we modify only a subset of the matrix elements \(-\beta H(i,j,k)\), namely those which are zero in the original scheme when \(\phi \neq 0\), but whose corresponding elements in \(-\beta \tilde{H}(i,j,k)\) are non-zero:
\[
\Delta_1 = \text{sign}(\phi) \langle \psi_{13} \rangle |\tilde{H}(i,j,k)|\psi_6\rangle,
\]
\[
\Delta_2 = \text{sign}(\phi) \langle \psi_{12} \rangle |\tilde{H}(i,j,k)|\psi_7\rangle,
\]
\[
\Delta_3 = \text{sign}(\phi) \langle \psi_{11} \rangle |\tilde{H}(i,j,k)|\psi_8\rangle,
\]
\[
\Delta_4 = \text{sign}(\phi) \langle \psi_{10} \rangle |\tilde{H}(i,j,k)|\psi_9\rangle.
\]

The sign(\(\phi\)) prefactors guarantee that couplings between the same types of three-cluster states have the same sign. For example, \(|\psi_2\rangle\) and \(|\psi_3\rangle\) share the same \(n, p, s, m_s\) quantum numbers, as can be seen from Table II. A nonzero \(\phi\) couples \(|\psi_2\rangle\) to \(|\psi_6\rangle\), a state with the same \(n, s, m_s\) but opposite parity. From the second block in Table III, the associated matrix element is \(\langle \psi_{12} \rangle |\tilde{H}(i,j,k)|\psi_7\rangle\). Since our calculations are all done for small \(\phi\), \(\text{sign}(\sin \phi) = \text{sign}(\phi)\). Similar reasoning applies to the prefactors of the other \(\Delta_i\) elements.

Through Eq. (21), the \(\Delta_i\) are functions of the interactions strengths in the unrenormalized Hamiltonian, \(\Delta_i = \Delta_i(t, J, V, \mu, \phi)\). They scale like \(\mu^{-2}\) and \(\phi\) and vanish in the limit \(\phi \to 0\). As will be explained in Sec.IIIIE, finding the superfluid weight involves calculating a thermodynamic density in the \(\phi \to 0\) limit, so we shall be working in the regime where the \(\Delta_i\) are vanishingly small. The result of the extended calculation, taking into account the quantum mechanical backflow into the three-cluster, is that Eqs. (19) no longer hold, \(\partial \ln Z / \partial \phi \neq 0\) in general, and we obtain interesting non-trivial results for \(n_s/m^*\).

C. Calculation of the Superfluid Weight

The superfluid weight of Eq. (4) is expressed as a derivative of the total free energy \(F = F(n, T, \phi)\), where \(n = \langle n_i \rangle\) is the electron density. In terms of the conjugate current
\[
j(n, T, \phi) = \frac{1}{Nd} \frac{\partial F}{\partial \phi} \bigg|_{n, T},
\]
Eq. (4) becomes
\[
\frac{n_s}{m^*}(n, T) = \lim_{\phi \to 0} \frac{\partial j}{\partial |\phi|} \bigg|_{n, T}.
\]
In terms of the grand potential \(\Omega(\mu, T, \phi) = -(1/\beta) \ln Z\),
\[
j(\mu, T, \phi) = \frac{1}{Nd} \frac{\partial \Omega}{\partial \phi} \bigg|_{\mu, T},
\]
and
\[ n(\mu, T, \phi) = -\frac{\beta}{2Nd} \frac{\partial \Omega}{\partial \mu}_{T, \phi}. \] (26)

Relating the partial derivatives of \( j \) with respect to \( \phi \) through
\[ \frac{\partial j}{\partial \phi}_{\mu, T} = \frac{\partial j}{\partial n_{\phi, T}} \frac{\partial n}{\partial \phi}_{\mu, T} + \frac{\partial j}{\partial \phi}_{n, T}, \] (27)
and using the Maxwell relation \( \frac{\partial n}{\partial \phi}_{\mu, T} = -\frac{\beta}{2} \frac{\partial j}{\partial \mu}_{\phi, T}. \)
\[ \frac{\partial j}{\partial \phi}_{\mu, T} = -\frac{\beta}{2} \frac{\partial j}{\partial n_{\phi, T}} \frac{\partial n}{\partial \phi}_{\mu, T} + \frac{\partial j}{\partial \phi}_{n, T}. \] (28)

The current \( j \) is zero when \( \phi = 0 \), so that the first term on the right-hand side above is also zero in the limit \( \phi \to 0 \), and we find that \( \lim_{\phi \to 0} \frac{\partial j}{\partial \phi}_{\mu, T} = \lim_{\phi \to 0} \frac{\partial j}{\partial \phi}_{n, T} \). Thus Eq. (24) can be equivalently written as
\[ \frac{n_{\phi}}{n} (\mu, T) = \lim_{\phi \to 0} \frac{\partial j}{\phi}_{\mu, T} = \frac{1}{Nd} \lim_{\phi \to 0} \frac{\partial^{2} \Omega}{\partial \phi^{2}}_{\mu, T} \]
\[ = -\frac{1}{\beta Nd} \lim_{\phi \to 0} \frac{\partial^{2} \ln Z}{\partial \phi^{2}}_{\mu, T}. \] (29)

This is the form we shall use when calculating the superfluid weights.

IV. RESULTS

A. Global Phase Diagram for \( d = 3 \)

Each sink, or completely stable fixed point of the renormalization-group flows, corresponds to a thermodynamic phase, and we find the global phase diagram by determining the basin of attraction for every sink \[21]. Flows that start at the boundaries between phases have their own fixed points, distinguished from phase sinks by having at least one unstable direction. Analysis of these fixed points determines whether the phase transition is first- or second-order. As explained in Sec.IIIC, the thermodynamic densities, which are the expectation values of operators occurring in the Hamiltonian, can also be calculated from the renormalization-group flows. In particular, we determine the single-site electron density \( \langle n_{i} \rangle \). For the coupling \( J/t = 0.444 \) and \( \phi = 0 \), the phase diagram in terms of \( \langle n_{i} \rangle \) and temperature \( 1/t \) is shown in Fig. 1 \[10,11\].

The nature of the various phases is epitomized by the thermodynamic densities \( \mathbf{M}^{*} \) calculated at each phase sink (Table V), which underpin the calculation of densities throughout their respective phases (Eq. \[17\]). We summarize the phase properties below (for a more detailed discussion, see \[10,11\]):

**Fig. 1**: Phase diagram for the \( d = 3 \) \( tJ \) model with \( J/t = 0.444, \phi = 0 \), in temperature versus electron density.\[10\] The antiferromagnetic (A), dense disordered (D), dilute disordered (d), and \( \tau \) phases are seen. The second-order phase boundaries are drawn with full curves. The coexistence boundaries of first-order transitions are drawn with dotted curves, with the unmarked areas inside corresponding to coexistence regions of the two phases at either side. The dashed lines are not phase transitions, but disorder lines between the dilute disordered and dense disordered phases.

| Phase sink | Expectation values |
|------------|--------------------|
| d          | 0                  | 0                  | 0                  | 0                  |
| D          | 0                  | 1                  | 0                  | 0                  |
| A          | 0                  | 1                  | \( \frac{1}{4} \)  | 1                  |
| \( \tau \) | -\( \frac{2}{3} \) | \( \frac{2}{3} \)  | -\( \frac{4}{3} \) | \( \frac{4}{3} \)  |

TABLE V: Expectation values at the phase-sink fixed points.

**Dilute disordered phase (d)**: The electron density \( \langle n_{i} \rangle = 0 \) at the sink and, as a result, the \( \langle n_{i} \rangle \) calculated inside this phase are low.

**Dense disordered phase (D)**: The electron density \( \langle n_{i} \rangle = 1 \) at the sink and, as a result, the \( \langle n_{i} \rangle \) calculated inside this phase are close to 1.

**Antiferromagnetic phase (A)**: The electron density \( \langle n_{i} \rangle = 1 \) at the sink, so that this phase is also densely filled. The nearest-neighbor spin-spin correlation \( \langle \mathbf{S}_{i} \cdot \mathbf{S}_{j} \rangle = 1/4 \) at the sink. Two spins that are nearest neighbors at the sink are distant members of the same sublattice in the original cubic lattice. The non-zero value of the correlation function at the sink leads to \( \langle \mathbf{S}_{i} \cdot \mathbf{S}_{j} \rangle < 0 \) for nearest-neighbor sites of the original, unrenormalized system.

**\( \tau \) phase**: This is a novel phase, characterized by partial-filling at the phase sink, \( \langle n_{i} \rangle = 2/3 \). It is the only phase where the electron hopping strength \( t \) does not renormalize to zero after repeated rescalings; instead, \( t \to \infty \) at the sink. As a result, the expectation value of the electron hopping operator at the sink is non-zero,
\[ \sum_{\sigma} \langle c_{i\sigma}^{\dagger} c_{j\sigma} + c_{j\sigma} c_{i\sigma} \rangle = -2/3. \] This property makes it a possible \( tJ \) model analogue to the superconducting phase in high-\( T_{c} \) materials. The superfluid weight and thermo-
dynamic results discussed below certainly support this idea.

In the limit \( \langle n_i \rangle = 1 \), the system exhibits antiferromagnetic order at low temperatures, as expected from the spin-spin coupling in the Hamiltonian. Upon hole doping, there is a competition between the A and D phases, which respectively minimize antiferromagnetic potential energy and hole kinetic energy. Note the extent of the A phase near \( \langle n_i \rangle = 1 \), which persists only up to a small amount of hole doping \( \delta = 1 - \langle n_i \rangle \lesssim 0.05 \). This feature is directly reminiscent of the antiferromagnetic phase in certain high-\( T_c \) materials, for example La\(_{2-x}\)Sr\(_x\)CuO\(_4\) [22]. At intermediate dopings \( \delta \approx 0.32 - 0.37 \), we have a low-temperature \( \tau \) phase, surrounded by islands of antiferromagnetism. (When the hopping strength \( t \) increases under rescaling, this also lowers the free energy of antiferromagnetically long-range ordered states, which leads to these islands of A in the vicinity of the \( \tau \) phase. [10] At hole dopings \( \delta \gtrsim 0.37 \), there is a transition to a dilute disordered phase, with a narrow region of first-order coexistence at lower temperatures.

B. Superfluid Weight and Kinetic Energy

Using the method of calculating thermodynamic densities described in Sec.III,E, we determine \( (1/N) \partial \ln Z/\partial \phi \) at small \( \phi \). Taking the numerical derivative of this quantity at \( \phi = 0 \), we find \( n_s/m^* \) through Eq. [20]. The superfluid weight is plotted as a function of electron density in Fig. 2, along four different constant temperature cross-sections of the phase diagram. For comparison, we also show in the same figure the calculated average kinetic energy per bond \( \langle K \rangle \), where \( K = -\sum_\sigma \left( c^\dagger_\sigma c_\sigma + c^\dagger_\sigma c_\sigma^\dagger \right) \). \( \langle K \rangle \) and the total weight of \( \sigma_1(\omega,T) \), the real part of the optical conductivity, are related by the sum rule [23],

\[
\int_0^\infty d\omega \sigma_1(\omega,T) = \frac{\pi e^2}{2} \langle K \rangle .
\]  

(30)

In comparing the properties of the \( tJ \) model to those of high-\( T_c \) materials, we keep in mind that the \( tJ \) Hamiltonian describes a one-band model, so cannot account for interband transitions. For real materials, the full conductivity sum rule has the form

\[
\int_0^\infty d\omega \sigma_1(\omega,T) = \frac{\pi e^2 n}{2m} ,
\]  

(31)

where \( n \) is the total density of electrons and \( m \) is the free electron mass. The right-hand side of Eq. [31] is independent of electron-electron interactions, in contrast to the right-hand side of Eq. [40], where \( \langle K \rangle \) will vary with the interaction strengths in the Hamiltonian. The optical conductivity of actual materials incorporates both transitions within the conduction band and those to higher bands, while the \( tJ \) model contains only the conduction band. We can look at Eq. [30] as a partial sum rule [23] [24], which reflects the spectral weight of the free carriers in the conduction band.

The experimental quantity we are interested in modeling is the effective density of free carriers, which in actual materials is calculated from the low-frequency spectral weight [23],

\[
n_{\text{free}}(T) = \frac{2m}{\pi e^2} \int_0^{\omega_0} d\omega \sigma_1(\omega,T) .
\]  

(32)

For high \( T_c \) materials, the cutoff frequency is typically chosen around \( \hbar\omega_0 \approx 1 \text{ eV} \) so as to include only intraband transitions. For comparison with the \( tJ \) model, we identify the right-hand side of Eq. [30] with

\[
\frac{\pi e^2}{2} \langle K \rangle = \frac{\pi e^2 n_{\text{free}}(T)}{2m} .
\]  

(33)

The superfluid weight satisfies the inequality [26]

\[
\frac{n_s}{m^*} \leq \langle K \rangle = \frac{n_{\text{free}}}{m} ,
\]  

(34)

which is obeyed in our results in Fig. 2.

The superfluid weight graphs at the sampled temperatures show a clear bipartite structure, with a peak at low \( \langle n_i \rangle \), and another peak at high \( \langle n_i \rangle \) (which develops into two closely spaced peaks at lower temperatures). In between these is a region of low superfluid weight, with a minimum near \( \langle n_i \rangle \approx 0.385 \), approximately independent of temperature. Looking at the nearest-neighbor density-density correlation \( \langle n_i n_j \rangle \) as shown in Fig. 3, we see that \( \langle n_i \rangle \approx 0.385 \) is also the electron density separating two different regimes of the system: an extremely dilute regime, where \( \langle n_i n_j \rangle \approx 0, \) and a partially-to-densely filled regime, where \( \langle n_i n_j \rangle > 0 \). It is therefore useful to discuss the superfluid weight and kinetic energy results in terms of these two regimes.

1. Extremely dilute regime, \( \langle n_i \rangle \lesssim 0.385 \)

The system in this regime is a dilute gas of electrons. For low \( \langle n_i \rangle \), the kinetic energy per bond \( \langle K \rangle \approx 2\langle n_i \rangle \), which follows if the density of free carriers is just the density of electrons, \( n_{\text{free}} = \langle n_i \rangle \), and the mass of the carriers \( m = 1/2 \). The interaction terms in the \( tJ \) Hamiltonian create an attractive potential of strength \( -J \) between electrons in singlet-states on neighboring sites. For a coupling \( J/t = 0.444 \), this attraction is too weak to form two-body bound states, but since we are in three dimensions, even a weak attractive potential is sufficient for the formation of an electron superfluid at low temperatures [27] [28]. In fact, we see a peak in \( n_s/m^* \) develop around \( \langle n_i \rangle \approx 0.3-0.35 \), and this peak grows as the temperature is lowered from \( 1/t = 0.315 \) to 0.1. For low \( \langle n_i \rangle \), the superfluid weight increases with electron density and \( \langle K \rangle \). The location of the peak in \( n_s/m^* \) is just before \( \langle K \rangle \) comes to its maximum and levels off. As the
density of free carriers saturates near $\langle n_i \rangle \simeq 0.385$, there is a sharp drop in $n_\text{s}/m^*$, and $\langle n_in_j \rangle$ begins to increase from zero. At this density the physical characteristics of the system abruptly change, without however inducing a phase transition.

$$\langle n_i \rangle \simeq 0.385$$

For intermediate densities $\langle n_i \rangle \approx 0.385-0.63$, the kinetic energy $\langle K \rangle$ remains approximately constant. Near $\langle n_i \rangle \simeq 0.63$, there is a phase transition to a densely filled phase (either D or A). We go from a physical picture where the carriers are electrons in a mostly empty background to one where the carriers are holes moving in a mostly filled background. These holes condense into a superfluid at lower temperatures, and the peak in $n_\text{s}/m^*$ occurs in the vicinity of the dilute-dense narrow first-order phase transition. For $1/t \lesssim 0.16$, the maximum superfluid weight is reached inside the $\tau$ phase. In the densely filled regime, $\langle n_i \rangle \gtrsim 0.63$, the kinetic energy goes linearly as $\langle K \rangle \simeq 2(1 - \langle n_i \rangle) = 2\delta$, as expected if the free carriers are holes.

For hole-doped high-$T_c$ materials, the density of free carriers increases with $\delta$ until the doping level optimal for superconductivity is reached, and remains approximately constant in the overdoped regime. The superfluid weight, in contrast, peaks near optimal doping and sharply decreases with overdoping. These trends are reproduced in our numerical results, identifying, from our calculated $n_\text{s}/m^*$ maxima, the optimal doping for the $tJ$ model as $\delta \approx 0.32-0.37$, the range of densities where the $\tau$
In Fig. 4(a), there is a clear onset temperature near the peak in the superfluid weight \[ \langle n_i \rangle = 0 \] at low temperatures near optimal doping is not observed. On the other hand, our approximation for the \( d = 3 tJ \) model is closer to experiment in this respect than earlier numerical studies of the \( tJ \) model, which focused mostly on finite-cluster techniques applied to the \( d = 2 \) system \[29\]. In these earlier studies optimal doping is identified near \( \langle n_i \rangle = 0.5 \) on the basis of d-wave pairing correlations and the peak in the superfluid weight \[30\]. Also in these earlier studies, the kinetic energy has a maximum at \( \langle n_i \rangle = 0.5 \), but, unlike experiments, does not saturate with overdoping \[30\].

To complete the description of the superfluid weight in this regime, in Fig. 4 we show \( n_s/m^* \) as a function of temperature \( 1/t \) at various electron densities \( \langle n_i \rangle \). For systems with small to optimal hole dopings, shown in Fig. 4(a), there is a clear onset temperature near \( 1/t \sim 0.2 \) below which the superfluid weight rises rapidly, until it levels off near zero temperature. This behavior is in good comparison with experimental results with \( \text{YBa}_2\text{Cu}_3\text{O}_{6+x} \) [31]. As we move past optimal doping to the overdoped systems of Fig. 4(b), we see a marked change in behavior, with the low temperature \( n_s/m^* \) suppressed.

The results for \( C \) as a function of temperature \( 1/t \) are plotted in Fig. 5 for a series of different electronic densities \( \langle n_i \rangle \). Starting at \( \langle n_i \rangle = 0.9995 \), the smallest hole doping shown in Fig. 5(a), we observe a broad peak around \( 1/t \sim 0.33 \), corresponding to \( k_B T \sim 0.75J \). We can identify this peak with the thermal excitation of the specific heat per bond

\[
C(n_i, T) = \frac{\partial \langle H(i,j) \rangle}{\partial T} \bigg|_{n_i},
\]

(35)
calculated for \( \phi = 0 \). If the \( \tau \) phase corresponds to the superconducting phase in real materials, we should see evidence of a gap in the excitation spectrum.

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spin degrees of freedom. As we dope the system with holes, the weight under the curve at lower temperatures increases due to excitation of charge degrees of freedom. As we approach optimal doping, a second peak develops around $1/t \simeq 0.2$. Note that this approximately coincides with the onset temperature below which we see a dramatic increase in $n_s/m^*$ in Fig. 4. The spin-excitation peak is also enhanced for $\langle n_i \rangle \simeq 0.65 - 0.75$, which is related to the appearance of an antiferromagnetic island around $1/t \simeq 0.3$ in that density range.

The peak at $1/t \simeq 0.2$ grows rapidly near optimal doping, reminiscent of the specific heat anomaly of high-$T_c$ materials $[32, 33]$. For $\langle n_i \rangle = 0.655$ we see the appearance of two subsidiary peaks below the main one at $1/t \simeq 0.2$. These smaller peaks may be related to the complicated lamellar structure of A and D regions above the $\tau$ phase boundary. For temperatures $1/t \lesssim 0.16$, inside the $\tau$ phase, the specific heat is strongly suppressed, reflecting the opening up of a gap in the excitation spectrum. We can see this gap more directly by looking at the low-temperature limit of the specific heat. Quasiparticle excitations contribute a linear term to the specific heat $C \simeq \gamma T$ for small $T$. In Fig. 6, we plot $\gamma = C/T$ as a function of electron density at a low temperature, $1/t = 0.015$. The specific heat coefficient $\gamma \simeq 0$ in the A phase near half-filling, but then grows with increasing hole doping. At the onset of the $\tau$ phase a gap opens in the quasiparticle spectrum, $\gamma$ falls sharply, and stays small until it rises again near the phase boundary. Qualitatively, this doping-dependence of the low-temperature specific heat coefficient agrees well with the experimental results for high-$T_c$ superconducting materials $[32]$.

V. CONCLUSIONS

We have developed a position-space renormalization-group approximation to study the superfluid weight of the three-dimensional $tJ$ model. Our results indicate that optimal hole doping for this system occurs in the density range of the $\tau$ phase, $\langle n_i \rangle \approx 0.63 - 0.68$, where $n_s/m^*$ reaches a local maximum. While the superfluid weight drops off sharply in the overdoped region, the density of free carriers, proportional to the kinetic energy, remains approximately constant, as seen experimentally in high-$T_c$ materials. From calculations of the specific heat coefficient $\gamma$, we see clear evidence of a gap in the excitation spectrum for the $\tau$ phase. Earlier renormalization group studies $[10, 11]$ had suspected that the $\tau$ phase corresponds to the superconducting phase of high-$T_c$ materials, and this idea was reinforced when an analogous phase was discovered in the Hubbard model $[12, 13]$. Our present results justify this suspicion.

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