Bony increased-offset reverse total shoulder arthroplasty (BIO-RSA) associated with an eccentric glenosphere and an onlay 135° humeral component: clinical and radiological outcomes at a minimum 2-year follow-up

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**A R T I C L E   I N F O**

**Keywords:** Reverse shoulder arthroplasty, Grammont 135° humeral component, Scapular notching

**Level of evidence:** Level IV; Case Series; Treatment Study

**Background:** Various implant designs have been proposed to increase active range of motion (ROM) and avoid notching in patients treated by reverse total shoulder arthroplasty (RSA). The purpose of this study was to investigate the efficacy and safety of an onlay prosthesis design combining a 135° humeral neck-shaft angle with the glenoid component lateralized and inferiorized.

**Methods:** A retrospective descriptive study was conducted of the clinical and radiological outcomes at the final follow-up (≥24 months) of all RSAs performed by the same surgeon between September 2015 and December 2016 in the study center. At the last follow-up, patients were clinically assessed for ROM, Constant score, and subjective shoulder value and radiologically for scapular notching and glenoid radiolucent lines. Patients were followed up radiographically at 1 month and clinically at between 6 and 12 months (midterm) and again at between 24 and 48 months (final follow-up). Scapular notching was graded as per the Sirveaux classification at the last follow-up on anterior-posterior radiographs.

**Results:** Seventy-nine RSAs were included with a mean follow-up time of 31 months. The mean Constant score at the final follow-up was 42 points higher than before surgery (69 vs. 27, \( P<.001 \)). There were also significant postoperative improvements in ROM (active anterior elevation, active external rotation, and active internal rotation). The final means for motions were 133° for active anterior elevation, 32° for active external rotation, and 7° for active internal rotation. The overall notching rate was 3% (2/67), and there were no cases of severe notching. Radiolucent lines were observed in 8 of 70 prostheses (11.5%) around the peg, and they were observed in 9 prostheses (13%) around the screws. Among the 79 RSAs included, there were 11 complications (13.9%) (2 infections, 2 fractures, 4 cases of glenoid component loosening, and 3 cases of instability), 2 reoperations, and 4 prosthesis revisions.

**Conclusion:** This study shows that an RSA design with a 135° humeral neck-shaft angle and an inferiorized and lateralized glenoid component is associated with significant improvements in active ROM, especially in rotation, and a low notching rate. However, rates of 3.8% for dislocation and 5% for glenoid loosening are certainly a concern at such a short follow-up of two years. Future studies with a larger population are needed to confirm these rates.

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Grammont’s reverse shoulder total arthroplasty (RSA) is a safe and effective treatment option for a wide range of shoulder pathologies.\textsuperscript{5,13,28,40} The original concept medialized the center of rotation (COR) of the glenohumeral joint with a glenosphere placed flush with the inferior border of the glenoid bone, whereas the arm was lengthened using a 155° inclay humeral stem.\textsuperscript{32} This Grammont design has a long track record in relieving pain and improving range of motion (ROM).\textsuperscript{5,12,23,28,34,43} However, prior studies have reported two main limitations related to this design: poor results in active rotation\textsuperscript{6} and scapular notching due to impingement of the medial aspect of the polyethylene humeral cup against the scapular pillar.\textsuperscript{8} Three strategies have been proposed to increase the distance between the

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s increases of shoulder instability and scapular spine fracture. Time (a notching rate of 9% at 60 months). In 2005, Frankie et al proposed to both lateralize the COR, using a specific glenoid component, and use a humeral stem with a lower neck-shaft angle. Subsequent evaluations of the latter configuration have found that it offers significant improvements in ROM, especially in external rotation (28°), without notching at 2-year follow-up and then stable results over time (a notching rate of 9% at 60 months).

Recently, computer templating studies have suggested that the best option is to associate lateralization and inferiorization of the COR with an onlay humeral stem with a 135° neck-shaft angle. These are significant changes to Grammont’s concept, and although most studies of RSAs with BIO report no improvements in ROM but confirm the reduction in notching, other authors have found increased rates of shoulder instability and scapular spine fracture.

The primary objective of this study was to evaluate ROM improvement and the notching rate in patients who underwent RSA with a 135° humeral stem and both lateral and inferior glenosphere offsetting. The secondary objective was to assess rates of clinical and radiological complications.

Materials and methods

Study design and approval

This was a retrospective study of prospectively collected data. The study was approved by the local institutional review board (COS-RGDS-2020-01-006). All patients gave informed consent to participate.

Study population

All patients treated by the same surgeon (GW) who underwent primary RSA between September 2015 and December 2016 with at least 24 months of follow-up were included. All patients received a 135° neck-shaft angle onlay humeral stem, glenoid component lateralization with a humeral head bone graft (BIO-RSA described by Boileau et al.), and an eccentric glenosphere (Fig. 1). The goal of the glenoid lateralization was to create 5 mm of lateralization between the glenoid component and the native glenoid bone at the level of the post of the baseplate. The exclusion criteria were patients with congenital or post-traumatic bone deformities, requiring a specific patient stem, and patients with neoplastic sequelae.

Surgical technique

Preoperative three-dimensional planning was carried out for all patients with the software Tornier Blueprint 3D Planning (Wright Medical Inc., Memphis, TN, USA) (AMPS II) to optimize the implantation of the components, their size (diameter of the base plate and of the sphere), and the characteristics of the bone graft (25 or 29 mm diameter, 0° or 12° angle, and thickness). The objectives were to optimize the size and inclination of the glenosphere to ensure neutral tilt and version while increasing glenoid bone stock and lateralizing the COR. To prevent the polyethylene humeral cup abutting against the scapular neck, the base plate was placed flush against the inferior and posterior border of the glenoid after reaming.

The same type of the implant was used in all cases (Aequalis Ascend Flex; Tornier, Montbonnot, France), and a deltopectoral approach was used; the subscapularis tendon (if intact) was tenotomized at the anatomical neck of the humerus. The bone graft chosen in the planning stage was harvested from the humeral head using the BIO-RSA technique. The humeral head was cut at its anatomical neck following natural retroversion. Short compactors were locked at 127.5° and used to prepare the proximal humerus. Once the final compactor was in place, a surface planer was used to correct the resection angle and flatten the resection surface. Trial trays were used in position 6 (the most lateral tray position) to prevent excessive humeral lateralization, with 1.5-mm or 3.5-mm offsets. The offset of the tray was chosen by placing the supra-lateral border of the metallic tray slightly below the top of the greater tuberosity, as recommended by Laderman (Fig. 1). On the glenoid side, a guidewire was placed to follow the preoperative plan with either a standard or a patient-specific glenoid guide (available for 36 shoulders) and to place the glenoid base plate flush with the inferior part of the glenoid. The glenoid was reamed to the level of the pin entry point in the glenoid bone. The unreamed surface of the glenoid was drilled with a 2-mm pin to obtain bone bleeding without reaming. The aim of these procedures was to ensure that each glenoid was resurfaced in a standardized manner and thereby avoid excessive bone removal and reproduce the preoperative plan. A long-peg (25 mm) glenosphere baseplate was impacted with the bone graft. The glenosphere was fixed with three or four screws based on the available glenoid bone stock and the surgeon's preference. A 36-, 39-, or 42-mm eccentric glenosphere was used to increase the inferior offset by 2 mm. The diameter of the base plate (28 baseplates of 25 mm and 51 baseplates of 29 mm) and the diameter of the glenosphere (36, 39, or 42 mm) were planned preoperatively for each patient and were not randomized (in total: 53, 7, and 19 glenosphere implants, respectively). The humeral component with a 135° neck-shaft angle (a 127.5° stem, a 1.5- or 3.5-mm offset tray, and a 6-mm thick, 7.5° angled polyethylene cup in the lateral position [position 6]) was placed in the standard fashion. The subscapularis tendon was repaired in all possible cases via transosseous sutures (52 repairs and 19 partial repairs).

Assessment criteria

Patients were followed up radiographically and clinically at 1 month, 3 months, 6 months, and 12 months (midterm) and again at 24 and 48 months (final follow-up).
ROM and Constant scores were measured preoperatively and at the last follow-up, whereas subjective shoulder values (SSVs) were determined at the last follow-up only. The motions considered were passive and active motions in anterior elevation, external rotation arm at side, and internal rotation hand to back.

Scapular notching was graded as per the Sirveaux classification at the last follow-up on anterior-posterior (AP) radiographs of the glenohumeral joint on three views (shoulder in internal, neutral, and external rotation) after fluoroscopy framing, as per a standardized protocol at a radiology center specialized in musculoskeletal imaging. Notching severity was evaluated as low (stage 1) or high grade (stage 2 or higher). When osteolysis of the inferior part of the graft was observed, the images were independently reviewed by a second investigator to differentiate between classical graft osteolysis (oblique erosion) and notching (double contour erosion) (Fig. 2). The radiographs were also assessed for radiolucent lines around the post and screws and for any other obvious signs of glenosphere loosening or component disassembly. Radiolucencies >2 mm in width were considered radiological signs of loosening. Patients with poor-quality radiographs (too oblique incidence because of thoracic kyphosis, for example) and nonreoperated patients with glenosphere loosening were included in the analysis of clinical outcomes but excluded from the radiographic analysis.

The orientation of the inferior baseplate screw was carefully analyzed on 1-month postoperative radiographs. Two areas were defined by drawing a line from the head of the inferior screw to the inferomedial angle of the post (Fig. 3). Patients in whom the axis of the inferior screw lay above this line were compared with those in whom the axis was below the line.

**Statistical analysis**

All calculations were made with SAS for Windows (v 9.4; SAS Institute Inc.). Statistical significance was defined as \( P < .05 \). Descriptive statistics are provided as per the nature of the criterion considered. Quantitative variables are presented as mean (range), and categorical variables are described as number (percentage). Preoperative and postoperative values were compared using paired t-tests.
Results

Study population

Eighty-four primary RSAs were performed between September 2015 and December 2016. Two patients were excluded because they had post-traumatic humeral bone deformation and, thus, received a specific humeral stem implant. Three patients (3.5% of shoulders) were lost to follow-up, so that the final study population consisted of 79 RSAs (73 patients; 48 women and 25 men). The mean age at inclusion was 73 years (range: 28–90), and the mean follow-up time was 31 months (range: 24–44 months). The reasons for surgery were primary osteoarthritis in 26 of 79 cases (33%), massive rotator cuff tear in 23 cases (29%), cuff tear arthropathy in 20 cases (25%), fracture sequelae in 4 cases (5%), rheumatoid arthritis in 4 cases (5%), and instability arthropathy in 2 cases (3%). No revision arthroplasties were included (Table I).

Complications and revisions

Revision surgeries were defined as replacement of the intra-osseous components and/or modification of the sizes of the mobile implants. Reoperations were surgeries that did not involve implant exchange and/or any surgeries involving change of the mobile parts without changing the sizes or position of the mobile implants. There were 11 postoperative complications in 10 patients (12.5%): two cases of Cutibacterium acnes infection (2.5% of prostheses), three cases of shoulder instability (3.8%) with recurrent dislocations in two cases (2.5%), four cases of glenoid loosening (5%), and two post-traumatic humeral fractures.

There were two reoperations (2.5%; 1 case of infection and 1 case of osteosynthesis on periprosthetic fracture) and four prosthesis revisions (5%; 1 case of infection, 1 case of glenoid loosening, and 2 cases of persistent instability).

The two humeral fractures occurred, one in the patient with C. acnes infection described previously and was treated accordingly (débridement of the periprosthetic tissue, synovectomy, and irrigation), whereas the other, a type D (mid-diaphysis) fracture (24), occurred 15 months after surgery and was treated by open reduction and internal fixation. There were no cases of scapular spine fracture.

Clinical outcomes

The clinical outcomes at the final follow-up are summarized in Table II. The ROM improved significantly (active anterior elevation, active external rotation at 0° of abduction, and active internal rotation at 0° of abduction, $P < .001$). The mean final Constant score was 69 ($\pm 42$ compared with the preoperative evaluation, $P < .001$), and the mean SSV was 80% at the last follow-up (Table III).

Radiographic outcomes

Standardized radiographs at the final follow-up were performed in the study center for 65 of 79 prostheses (82.5%) and externally for the remaining 14.

Four of the 79 prostheses (5%) had loosening around the glenoid component (radiolucent lines >2 mm thick) with migration of the implant. Among these 4 cases of glenoid loosening, 2 cases

Table I
Demographic characteristics of the study group.

| Variable                  | N   | Total          |
|---------------------------|-----|----------------|
| Age (yr)                  |     | 79 RSA/73 patients |
| Mean (SD)                 | 72.6 (9.9) |
| Median [Min; Max]         | 74 [28; 90] |
| Follow-up (mo)            |     |                |
| Mean (SD)                 | 30.08 (6.1) |
| Median [Min; Max]         | 30 [24; 44] |
| Sex                       |     |                |
| Male:female               | 44 (56%) |
| No                        | 35 (44%) |
| RSA indication            |     |                |
| Cuff tear arthropathy     | 20 (25%) |
| Primary osteoarthritis    | 26 (33%) |
| Massive rotator cuff tear | 23 (29%) |
| Fracture sequelae         | 4 (5%) |
| Rheumatoid arthritis      | 4 (5%) |
| Instability arthropathy   | 2 (3%) |

SD, standard deviation; RSA, reverse total shoulder arthroplasty.
presented a complete resorption of the bone graft. In one of the cases, the analysis of the integration of the bone graft was not possible. In addition, in the last case, the integration of the bone graft had a good aspect. Although it is a high rate of glenoid loosening, there are too few events to conclude on the relationship between bone graft resorption and glenoid loosening.

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Table II

| Variable | Preoperative | Postoperative | P value |
|----------|--------------|---------------|---------|
| aAE (°)  | Mean (SD)    | Median 90     | .001    |
|          | Median       | [20; 180]     | .001    |
|          | Missing data | 3             | .001    |
| aER1 (°) | Mean (SD)    | 5 (18.3)      | .001    |
|          | Median       | 0             | .001    |
|          | [Min; Max]   | [−30; 90]     | .001    |
|          | Missing data | 1             | .001    |
| aIR1 (level) | Mean (SD)    | 4 (2)         | .001    |
|          | Median       | 2             | .001    |
|          | [Min; Max]   | [0; 10]       | .001    |
|          | Missing data | 2             | .001    |

Table III

| Variable | Preoperative | Postoperative | P value |
|----------|--------------|---------------|---------|
| Constant score Total | Mean (SD) | 27 (11.9) | .001 |
|          | Median       | 28            | .001 |
|          | [Min; Max]   | [9; 68]       | .001 |
|          | Missing data | 2             | .001 |
| Pain     | Mean (SD)    | 4 (2.4)       | .001 |
|          | Median       | 3             | .001 |
|          | [Min; Max]   | [2; 12]       | .001 |
|          | Missing data | 2             | .001 |
| Activity | Mean (SD)    | 6 (3.1)       | .001 |
|          | Median       | 6             | .001 |
|          | [Min; Max]   | [2; 20]       | .001 |
|          | Missing data | 2             | .001 |
| Mobility | Mean (SD)    | 15 (8.2)      | .001 |
|          | Median       | 14            | .001 |
|          | [Min; Max]   | [2; 34]       | .001 |
|          | Missing data | 2             | .001 |
| Strength | Mean (SD)    | 1 (2)         | .001 |
|          | Median       | 1             | .001 |
|          | [Min; Max]   | [0; 10]       | .001 |
|          | Missing data | 2             | .001 |
| SSV      | Mean (SD)    | 77 (18.1)     | .001 |
|          | Median       | 80            | .001 |
|          | [Min; Max]   | [20; 100]     | .001 |
|          | Missing data | 6             | .001 |

SD, standard deviation; aAE, active anterior elevation; aER1, active external rotation at 0° of abduction; aIR1, active internal rotation at 0° of abduction. Levels for IR1: 0 = lateral thigh, 2 = buttock, 4 = lumbosacral junction, 6 = l3, 8 = t12, 10 = t7.

Twelve prostheses were excluded from the radiographic analysis of scapular notching: five patients with kyphosis because the incidence was too oblique, four patients revised within 24 months of the initial surgery, and the three patients with radiographic loosening and migration of the baseplate who were not reoperated. Scapular notching was observed in 2 of 67 prostheses (3%), and both cases were assessed as grade 1 (mild). In the first case, notching may have been caused by the glenoid implant having been placed in a superior tilt [Fig. 4], but this did not have a negative effect on functional outcomes (Constant score, 83; SSV, 90%). The second patient had bone graft osteolysis with bone remodeling in the inferior part of the native glenoid [Fig. 5]. In spite of this atypical presentation, the diagnosis of notching was retained on review.

Nine prostheses were excluded from the radiographic analysis of radiolucencies: the five patients with kyphosis, because of poor-quality images, the two patients who were reoperated for Cacnes infection, and the two patients who were reoperated for instability. A glenoid spur was observed in 8 of 70 prostheses (11.5%), and glenohumeral ossification was observed in three cases (4%). Radiolucency lines were observed in 8 of 70 prostheses (11.5%) around the peg and in 9 prostheses (13%) around the screws.

Discussion

The main finding of this study is that patients with a lateralized and inferiorized glenoid component and a 135° humeral stem had significant improvements in functional scores postoperatively, especially in internal and external rotation, with a very low notching rate and no cases of severe notching. The second finding is that this prosthesis design is safe, especially in terms of the severe clinical complications reported in the literature for other RSA designs, notably shoulder instability and spine fracture. Glenoid loosening was observed in 5% of cases, but this was most likely due to misplacement of the inferior screw—to close to the peg of the baseplate—rather than to the prosthesis design itself.

In theory, medializing the COR decreases the efficiency of any remaining rotator cuff in external and internal rotations, as reflected in practice by the very low rotational ROM of patients treated with Grammont-design prostheses.2,23,45 The strategy initially proposed by Frankle et al26 to increase impingement-free motion was to lateralize the COR and use a 135° humeral stem. Significant improvements in ROM have since been reported with this design.18,21 An alternative approach involves lateralizing the glenoid implant using bone harvested from the humeral head, with several studies highlighting the benefits of lateralization.12,31,40 In particular, Franceschetti et al22 have recently reported encouraging results in a series of patients treated with this type of the glenoid implant and a 145° humeral component. However, in a recent digital templating study, Werner et al46 found that better theoretical impingement-free ROM could be achieved by combining a 135° humeral stem with glenoid lateralization and inferiorization (using an eccentric glenosphere, Fig. 1). The present study of this approach shows that it significantly improves functional outcomes in both anterior flexion and in external and internal rotation at 0° and 90°.
abduction. The improvements in external rotation are similar to those reported for patients treated using 145°/C14 lateralized RSA or the design by Frankle et al. However, the present study's outcomes regarding internal rotation—hand to back—are among the best in the literature (up to L1 vs. between the sacrum and L5 in patients treated with a lateralized RSA and a 145° humeral stem). This is possibly because of the increased distance between the medial aspect of the humeral component and the scapula pillar afforded by the increased lateral and inferior offset of the glenoid component and the 135° humeral neck-shaft angle, which may prevent impingement between the humerus and scapula. This would be reflected radiologically by a lower notching rate and clinically by improved ROM. Comparative studies are required, however, to confirm the superiority of this design.

Another aim of increasing the distance between the medial aspect of the humeral component and the scapular pillar is to prevent scapular notching. Existing results indicate that notching rates are lower (<10%) with 135° humeral stems than with 145° or 155° humeral neck-shaft angles. Combining glenoid lateralization and inferiorization with a 135° humeral neck-shaft angle should reduce the friction between the polyethylene insert and the scapular pillar, and the low rate of notching in our study (3%) suggests that this is an effective way of preventing scapular notching, at least in the short term. Previous authors report an increase in the notching rate over time. Longer follow-up studies are therefore required to confirm our findings.

Figure 4 Scapular notching (case 1). (A) Preoperative AP radiograph showing massive superior glenoid erosion. (B) Postoperative AP view showing the superior tilt of the glenoid implant. (C) AP and (D) axillary views at 2 years' follow-up showing grade I notching and a bony spur with osteolysis of the bone graft. A preoperative os acromiale tilted about 90° inferiorly without any consequence. AP, anterior-posterior.

Shoulder instability is a common postoperative complication of RSA. Several risk factors have been identified, but the mechanism is often unclear, and the influence of the humeral neck shaft angle remains to be clarified. The low instability rate in the present study (3.8%) suggests that 135° BIO-RSA with glenoid lateralization and inferiorization is a safe treatment option. The two patients with recurrent shoulder instability had clear risk factors, namely, male gender and a subscapularis deficiency. One of the two patients was also noncompliant with postoperative instructions and had a traumatic injury. Early recovery of postoperative ROM, as in the second patient, may be a risk factor for dislocation, but the single case in this study is insufficient evidence to conclude.

Figure 5 Scapular notching (case 2). (A) Preoperative AP radiograph, showing Hamada stage 2 massive rotator cuff tear. (B) Postoperative AP view. (C) AP view at 2 years' follow-up showing osteolysis at the inferior part of the bone graft that was interpreted as notching grade 1.
In a biomechanical study of RSA implant parameters, COR lateralization was found to increase deltoid muscle force during elevation of the arm, which could increase the risk of spine stress fracture. A recent study of RSA using an onlay-design 145° humeral stem identified an increased prevalence of scapular spine fracture compared with the standard Grammont design (4%-5% vs. –1%).

Further studies are required to determine risk factors for scapula spine fractures and how they can be prevented.

Lateralization and inferiorization of the glenoid component and humeral lengthening all increase soft-tissue tension and, thus, joint load and the risk of glenoid component failure. Although just one of our 79 patients (1.5%) underwent revision surgery for glenoid component loosening, radiographic loosening with migration of the glenoid component was observed in four patients (5% of prostheses). In their first study of RSAs with glenoid lateralization and 135° humeral stems, Frankie et al. reported a glenoid loosening rate of 13.5%. In the present study, radiographic analysis of all four cases of glenoid loosening showed that the inferior screw was implanted too close to the peg of the baseplate, with their axes crossing (Fig. 3). This may have reduced bone ingrowth around the peg and thereby also reduced the primary stability and bony integration of the baseplate. To avoid this complication, we recommend implanting the inferior screw horizontally or oriented inferiorly to keep it away from the peg.

The limitations of this study include its retrospective nature and the fact that the Sirveaux classification used to evaluate notching only considers inferior notching in the AP view, with no assessment of posterior notching or glenoid osteolysis. Moreover, cases of posterior notching may have been missed because these are difficult to assess on AP radiographs. Standardized glenoid lateralization and inferiorization may be difficult to obtain, but as explained, we standardized our glenoid reaming technique to reproduce the preoperative plan and achieve the desired lateralization and inferiorization in addition. Variability depending on the incidence of the radiographs could be a source of measurement method bias; however, patients were systematically reviewed at 1 month, 3 months, and 6 months with a standardized radiographic protocol at the clinic. The strengths of this study are that it is the first to report clinical and radiological results for the optimal RSA design by Werner et al., associating glenoid lateralization and inferiorization with a 135° humeral stem. The present study is relatively large, and the surgical indication, technique, and implant were the same for all patients. All operations were performed by the same surgeon, reducing variability, and all clinical and radiological assessments were standardized.

**Conclusion**

This study shows that an RSA design with a 135° humeral neck-shaft angle and an inferiorized and lateraledized glenoid component is associated with significant improvements in active ROM, especially in rotation, and a low notching rate. However, rates of 4% for dislocation and 5% for glenoid loosening are certainly a concern at such a short follow-up of two years. Future studies with a larger population are needed to confirm these rates.

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