Graphical Abstract

 Freeze-frame approach for robust single-molecule tip-enhanced Raman spectroscopy at room temperature

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Highlights

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- The freeze-frame approach is developed for capturing single molecules
- The freeze-frame allows robust single-molecule detections at room temperature
- Single-molecule TERS imaging at room temperature is achieved for the first time
- Single-molecule conformational heterogeneity is revealed at room temperature
Freeze-frame approach for robust single-molecule tip-enhanced Raman spectroscopy at room temperature

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Abstract

A quantitative single-molecule tip-enhanced Raman spectroscopy (TERS) study at room temperature remained a challenge due to the rapid structural dynamics of molecules exposed to air. Here, we demonstrate the single-molecule level hyperspectral TERS imaging of brilliant cresyl blue (BCB) at room temperature for the first time, along with quantitative spectral analyses. Freeze-frame approach using a thin Al\textsubscript{2}O\textsubscript{3} capping layer, which suppresses spectral diffusions and inhibits chemical reactions and contaminations in air, enabled reliable and robust chemical imaging. For the molecules resolved spatially in the TERS image, a clear Raman peak variation up to 7.5 cm\textsuperscript{-1} is observed, which cannot be found in molecular ensembles. From density functional theory-based quantitative analyses of the varied TERS peaks, we reveal the conformational heterogeneity at the single-molecule level. This work provides a facile way to investigate the single-molecule properties in interacting media, expanding the scope of single-molecule
vibrational spectroscopy.

**Keywords:**
Conformational heterogeneity, Freeze-frame, Single-molecule study, Tip-enhanced Raman spectroscopy (TERS), Vibrational spectroscopy

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**Introduction**

Observations of single molecules in different chemical environments\(^1,2,3,4,5\) are enabled via surface-enhanced Raman scattering (SERS) or tip-enhanced Raman spectroscopy (TERS), based on their characteristic spectral “fingerprint.”\(^6,7,8\) However, SERS-based approaches do not allow single-molecule measurements in heterogeneous chemical environments due to their diffraction-limited spatial resolution.\(^9,10\) By contrast, TERS gives access to extremely weak vibrational responses of single molecules\(^11,3,12\) and even individual chemical bonds in a single-molecule\(^13,14,15,16\) using a strongly localized optical field at the plasmonic nano-tip,\(^17,18,19\) controlled by scanning probe microscopy approaches.\(^20,21\)

Specifically, these experiments revealed the conformational heterogeneity, intramolecular coupling, vibrational dephasing, and molecular motion of single molecules at cryogenic temperatures under ultrahigh vacuum (UHV) environments.\(^3,13,11\) On the one hand, these extreme experimental conditions are advantageous to reduce rotational and spectral diffusions of single molecules and prevent contamination of tips from a surrounding medium. On the other hand, the cryogenic TERS setup cannot be widely deployable because its configuration is highly complicated and the level of difficulty for experiments is also very high. Moreover, performing single-molecule TERS experiments at room temperature is necessarily required to investigate the molecular functions and interactions with respect to chemical environments, such as temperature and pressure.\(^3,1,22\)

In particular, understanding the conformational heterogeneity of single molecules in the non-equilibrium state is highly desirable because it can address many fundamental questions regarding the structure and function of many biological systems,\(^23,24,25,26,27\) such as protein folding\(^28,29\) and RNA dynamics.\(^30,31,32\) Previously, a few TERS groups technically detected single molecules at room temperature,\(^22,33,34\) yet only limited molecular properties were characterized due to the rapid structural dynamics of molecules exposed to air. Therefore, a systematic approach for robust single-molecule TERS experiments at room temperature is highly desirable.
Here, we present a room-temperature freeze-frame approach for single-molecule TERS. To capture the single molecules, we deposit an atomically thin dielectric capping layer (0.5 nm thick Al$_2$O$_3$) onto the molecules on the metal substrate. This is an inverse approach of shell-isolated nanoparticle-enhanced Raman spectroscopy (SHINERS)$^{35,36}$ and shell-protected TERS tips$^{37}$ to suppress the unwanted chemical reactions on the plasmonic materials. Instead, in this work, we demonstrate the shell-isolated molecules enabling robust single-molecule TERS imaging at room temperature by reducing molecular motions as well as interactions with surrounding media or tips, e.g., physisorption of molecules to the tip. Through this approach, we obtain TERS maps of brilliant cresyl blue (BCB) molecules at room temperature for the first time, allowing to probe the spatial heterogeneity of the single BCB molecules adsorbed on the Au surface. Furthermore, through the quantitative analysis of the measured TERS frequency variation through density functional theory (DFT) calculations, we provide a comprehensive picture of the conformational heterogeneity of single molecules at room temperature.

**Results and discussion**

Pre-characterization for ideal TERS conditions

For highly sensitive single-molecule level detection at room temperature, we use the bottom-illumination mode TERS, as illustrated in Fig. 1a. As a sample system, BCB molecules were spin-coated on the thin metal film and covered by an Al$_2$O$_3$ capping layer to suppress rotational and spectral diffusions.$^3$ Moreover, this capping layer maintains the molecules immobile and prevent possible contaminations of the Au tip, e.g., adsorption of the probing molecules on to the tip surface that can cause artifact signals, as shown in Fig. 1a (see Fig. S1 for more details). We used an electrochemically etched Au tip attached to a tuning fork for normal-mode atomic force microscopy (AFM) operation (see Experimental methods for details). Using an oil-immersion lens (NA = 1.30), we could obtain a focused excitation beam with a sub-wavelength scale, which can highly reduce the background noise of far-field signals in TERS measurements.

Furthermore, in combination with the radially polarized excitation beam, we achieved strong field localization in the normal direction with respect to the sample surface, i.e., a strong out-of-plane excitation field in parallel with the tip axis.$^{38}$ The excitation field, with a wavelength of 632.8 nm, is localized at the nanoscale tip apex, and the induced plasmon response gives rise to the resonance Raman
Figure 1: (a) Schematic illustration of bottom-illumination mode TERS. The encapsulated BCB molecules on the Au surface are excited by a radially polarized beam ($E_{\text{Exc.}}$) and the back-scattered TERS responses are collected. The inset illustration shows a tip-contamination process in conventional TERS without using a Al$_2$O$_3$ capping layer. (b) Far-field (black) and TERS spectra with different excitation polarization conditions. The TERS response with the radially polarized excitation laser (red) gives a larger enhancement compared to that obtained with the linearly polarized laser (blue). (c, d) AFM topography images of thin metal films fabricated with different deposition rates and cleaning methods of the substrate (coverslip). The coverslip for the non-optimal metal film (c) is cleaned using piranha solution, and the metal film is fabricated with a deposition rate of 0.01 nm/s. By contrast, the coverslip for the optimal metal film (d) is cleaned by ultrasonication in acetone and isopropyl alcohol along with O$_2$ plasma, and the metal film is fabricated with a deposition rate of 0.1 nm/s. (e) Topographic line profiles of two thin metal films, derived from (c) and (d).

scattering effect with the BCB molecules.$^{33}$ Fig. 1b shows the far-field and TERS spectra of BCB molecules measured with linearly and radially polarized excitation beams. With the exposure time of 0.5 s, we hardly observed the far-field Raman response of molecules (black), due to the extremely low Raman scattering cross-section. By contrast, we observed a few distinct Raman modes via the TERS measurements with a linearly polarized excitation (blue). Moreover, through the radially polarized excitation,$^{39,40}$ we observed most of the normal modes with a substantially larger TERS intensity (red) compared to the TERS spectra measured with the linearly polarized excitation. Because the radially-polarized beam has much larger vertical field component after passing through a high NA objective lens compared to the linearly-polarized beam.$^{41}$ For example, the C-H$_2$ scissoring mode at $\sim$1360 cm$^{-1}$ is clearly identified in the TERS spectrum measured with the radially polarized light, whereas it is not present in the TERS spectrum measured.
Figure 2: (a) Comparison of TERS intensity of BCB molecules for the metal substrates with different thicknesses ($t = 9, 11, \text{ and } 13 \text{ nm}$). The ratio of tip-enhanced and tip-retracted Raman intensities of the $\sim 580 \text{ cm}^{-1}$ peak is measured for different substrates with three different tips. The obtained intensity ratios for the three different tips are normalized to $[0, 1]$ for comparison of the thickness effect. The black circles show average values for three tips. (b, c) FDTD-simulated optical field intensity ($|E_z|^2$) distribution at the nano-gap between the Au tip and the thin metal film with a film thickness of 9 nm (b) and 11 nm (c). (d) Expected optical signal (red) in the bottom-illumination mode and theoretically calculated transmittance (blue) with respect to the thickness of the metal film. The expected optical signal is calculated by the FDTD-simulated optical field intensity at the nano-gap multiplied by transmittance ($T$) at each film thickness. The derived result of the expected optical signal as a function of the metal film thickness is fit with a nonlinear curve (red line).

with the linearly polarized light.

Optimization of the metal substrate for TERS

In bottom-illumination mode TERS, the deposition of flat thin metal films on the coverslip is required to preserve the transparency of the substrate and to avoid SERS and fluorescence signals originating from the metal nano-structures. To demonstrate the influence of the surface condition of metal films, we performed a control experiment based on Au films fabricated by four different conditions with two control parameters of the cleaning method and the deposition rate (see Table S1 for detailed control parameters). From the AFM results of Fig. 1c-e, we verify that the optimal process is required for fabrication of flat metal thin films (see Fig. S2 for detailed experiment results).

Another important parameter for bottom-illumination mode TERS is the metal film thickness, because a sufficiently thick metal film is required to induce strong
dipole-dipole interactions between the tip dipole and the mirror dipole of the metal film. However, the light transmission decreases with increasing metal thickness, which gives rise to a reduced excitation rate and collection efficiency in TERS. To experimentally determine the optimal thickness, we deposited Au films on O$_2$-plasma-cleaned coverslips with a Cr adhesion layer. We prepared six metal films with various thicknesses of 5, 7, 9, 11, 13, and 15 nm. Among these metal substrates, we could not perform TERS experiments with the 15 nm metal film because it was difficult to align the tip apex to the laser focus due to low light transmission. Regarding the 5 and 7 nm metal films, we could barely observe TERS signals from the BCB film because the TERS enhancement factor was too low. Therefore, we performed a control experiment with three different metal substrates, namely with metal thicknesses of $t = 9, 11, \text{ and } 13 \text{ nm}$. 

To compare the relative TERS intensities of BCB film for these three metal substrates, we obtained the TERS spectra for these substrates with three different Au tips, i.e., each sample was measured using three Au tips. Fig. 2a shows a comparison of the measured TERS intensities with respect to the thickness of the metal films. We consider the strongest TERS peak at $\sim$580 cm$^{-1}$ and determine the relative TERS intensities for different metal films. When we used three different tips for this control experiment, the TERS enhancement factors in each case were different; nevertheless, the metal film with 11 nm thickness yielded the strongest TERS signal for all the tips. Therefore, we normalize the TERS intensity measured for the 11 nm metal film to $[0, 1]$ for all three tips and compare the relative TERS intensities measured for the 9 and 13 nm metal films for each tip, as displayed in Fig. 2a. The black circles indicate the average TERS intensities for the three tips, for each substrate. The TERS intensities of the $\sim$580 cm$^{-1}$ peak, measured for the 9 nm and 13 nm thick metal films, are $\sim$30 % and $\sim$60 % lower than that measured for the 11 nm metal film.

We then verified the ideal metal film thickness through theoretical approaches. First, we calculated the localized optical field intensity between the Au tip apex and the Au surface with respect to the metal film thickness using finite-difference time-domain (FDTD) simulations under the excitation light sources ($\lambda = 632.8$ nm) placed below the Au film (see Experimental methods and Fig. S4 for details). Fig. 2b and c show the simulated $|E_z|^2$ distributions for the metal film thicknesses of 9 nm and 11 nm, respectively. When we set the distance $d$ between the Au tip and Au surface to $d = 3$ nm (i.e., the expected gap in tuning fork-based AFM), we achieve the maximum excitation rate for TERS, $|E_z|^2 \approx 400$, with the metal thickness of 11 nm. Fig. 2d shows the expected optical signal ($|E_z|^2 \times T$, where $T$
Figure 3: TERS mapping images of single BCB molecules measured with the excitation laser power of 220 μW and acquisition time of 0.5 s at each pixel at room temperature. TERS peak intensity images for in-plane symmetric stretching mode of O-C$_2$ and N-C$_2$ observed at ~580 cm$^{-1}$ (a) and in-plane asymmetric stretching mode of O-C$_2$ observed at ~1160 cm$^{-1}$ (b). (c) TERS peak-to-peak intensity ratio image of ~580 cm$^{-1}$ and ~1160 cm$^{-1}$ peaks, arithmetically calculated from TERS images (a) and (b) after filtering a background fluorescence signal. Yellow dashed circles in (a-c) indicate the same positions in the TERS images. (d) Time-series TERS spectra at a single fixed position.

is the calculated transmittance at $\lambda \sim 657$ nm by considering the strongest Raman peak of BCB at ~580 cm$^{-1}$, as shown in Fig. S5) as a function of the metal film thickness in the bottom-illumination geometry. $T$ is calculated with the following formula:\textsuperscript{43}

$$T = \left| \frac{E(t)}{E_0} \right|^2 = e^{-4\pi\kappa t / \lambda},$$  \hspace{1cm} (1)

where $E_0$ and $E(t)$ are incident and transmitted optical field amplitudes, $\kappa$ is the extinction coefficient of Au at the given wavelength $\lambda$, and $t$ is the thickness of the metal film (see also Fig. S3 for the experimentally measured transmittance).\textsuperscript{44} $|E_z|^2$ at each film thickness is obtained from FDTD simulations and multiplied by $T$, as the light passes through the metal film. $|E_z|^2 \times T$ is gradually enhanced with an increase in thickness up to $t = 11$ nm, but interestingly, it starts to decrease from 12 nm. To understand this behavior, we performed the same thickness-dependence simulations for different gaps between the tip and the metal surface (see Fig. S6 for simulated results). Through these simulations, we found that the optimal metal film thickness varies slightly depending on the gap; nevertheless, the optimal metal film thickness is ~11 - 12 nm irrespective of the tip-surface gap.
Figure 4: (a) TERS peak intensity image of the vibrational mode at \( \sim 580 \text{ cm}^{-1} \) of BCB molecules. (b) TERS spectra measured at spots 1, 2, 3 and 4 indicated with red circles in (a). TERS spectra measured at spots 1-4 (c) and spots 5-9 (d) fitted with the Voigt line shape function in the range from 565 cm\(^{-1}\) to 595 cm\(^{-1}\). From the observed TERS peak shift in Fig. 4d, the TERS spectra at spots 1-4 (red circles in Fig. 4a) and spots 5-9 (dark blue circles in Fig. 4a) are possibly measured from molecule ensembles and single molecules, respectively. In Fig. 4c and d, the dots and lines are experimental data and fitted curves, and the TERS spectra at each spot are also shown as background 2D contour images.

Single-molecule level TERS imaging at room temperature

We then performed the hyperspectral TERS imaging of single isolated BCB molecules adsorbed on the optimal metal film \((t = 11 \text{ nm})\). First of all, we prepared a low-molecular density sample as described in Experimental methods. Then, the freeze-frame (0.5 nm thick Al\(_2\)O\(_3\)) allowed us to stably detect single-molecule responses at room temperature (see Experimental methods for details). Fig. 3a and b show the TERS peak intensity images of the vibrational modes at \( \sim 580 \text{ cm}^{-1} \) (in-plane stretching mode of C and O atoms in the middle of the molecule) and \( \sim 1160 \text{ cm}^{-1} \) (in-plane asymmetric stretching mode of O atom), which are only two recognizable TERS peaks of a single or a few BCB molecules, due to the short acquisition time (0.5 s) in our TERS mapping.\(^{33,22}\) In the TERS images of both the \( \sim 580 \text{ cm}^{-1} \) and the \( \sim 1160 \text{ cm}^{-1} \) modes, the TERS intensity of the detected regions shows a spatial variation even though the responses are detected in similar nanoscale areas. This spatially heterogeneous intensity distribution originates from the difference in the number of probing molecules and/or the molecular orientation on the Au surface. Since the apex size of the electrochemically etched Au tip is larger than \( \sim 15 \text{ nm} \), several molecules under the tip can be detected to-
Figure 5: (a) The measured TERS spectra (dashed curves) derived from Fig. 4d and DFT-calculated normal modes (colored vertical lines) for different chemical environments and molecular orientations. (b-d) Models of a BCB molecule on the Au surface for the DFT calculations of normal modes in different conditions exhibiting the origin of the observed peak shift of single-molecule TERS measurements.

gether, which gives rise to a strong TERS response. Alternatively, although some of the observed TERS responses are from single molecules, the Raman scattering cross-section can differ from molecule to molecule due to their orientations and the corresponding TERS selection rule. Specifically, because the excitation field in our TERS setup has a strong out-of-plane polarization component, the peak-to-peak Raman scattering intensity changes depending on the conformation of a molecule. Hence, the conformational heterogeneity of probed molecules can be best exemplified with the TERS peak-to-peak intensity ratio, as shown in Fig. 3c. It should be noted that any structural evidence of single molecules was not found in the simultaneously measured AFM topography image (Fig. S7). Fig. 3d shows time-series TERS spectra at a single spot exhibiting robust signals without spectral fluctuations owing to the freeze-frame effect. From this result, we expect stationary conformation of the molecules in the measurement area of Fig. 3a-c. By contrast, fluctuating TERS spectra with respect to time are observed without the capping layer (see Fig. S8 for comparison).

From the TERS response corresponding to the nanoscale regions in the TERS image, we can infer the possibility of single-molecule detection; nevertheless,
more substantial evidence is needed to verify this possibility. In addition to the aforementioned molecular orientation and the selection rule, the vibrational energy of the normal modes of an adsorbed molecule can change due to coupling with the atoms of the metal surface, leading to peak shift and intensity change signatures.\textsuperscript{45,2,46} Additionally, the peak linewidth should be considered to distinguish the molecular ensembles from the single or a few molecules.\textsuperscript{1} We analyze the spectral properties of the observed spots in the TERS image to obtain the evidence of single-molecule detection. First, we classify the observed TERS spots in Fig. 3 into two groups, as shown in Fig. 4a. We surmise that the TERS response in the first group (red circled spots 1-4) was measured from several BCB molecules because the TERS signal of both the $\sim 580$ cm$^{-1}$ and the $\sim 1160$ cm$^{-1}$ modes is pronounced, as shown in Fig. 4a (see also Fig. 3a and b). Although spot 4 shows weak TERS response, it is classified into the molecular ensemble group. Because the TERS peak has the broadest linewidth of $\sim 7.0$ cm$^{-1}$ distinguished from narrow linewidth of $<6.0$ cm$^{-1}$ for the TERS peaks measured at spots 5-9 (see Table S2 for details). Furthermore, a significant TERS peak shift is not observed in these four spots (Fig. 4c) since the observed TERS response is from the ensemble average. By contrast, we observe a much weaker TERS response and narrower linewidth in the second group (blue circled spots 5-9 in Fig. 4a) with a significant peak variation corresponding to $\sim 580$ cm$^{-1}$, as large as $\sim 7.5$ cm$^{-1}$, as shown in Fig. 4d. Based on these spatio-spectral analyses (Fig. 3 and 4), we believe the observed TERS response possibly originated from single isolated BCB molecules.

DFT calculation of vibrational modes in different chemical environments
To reveal the possible origins of the observed TERS peak variations, we calculated the normal vibrational modes of a BCB molecule through DFT simulations. Since the BCB molecules are encapsulated using a thin dielectric layer, we presume the spectral diffusion is suppressed, as experimentally demonstrated in Fig. 3d. Based on this assumption, we design two kinds of fixed conformations of a BCB molecule, i.e., horizontal and vertical geometries with respect to the Au (111) surface. Regarding horizontally laying molecules, we additionally consider the position of the BCB molecules (especially C atoms vibrating with a large amplitude for the $\sim 580$ cm$^{-1}$ mode) with respect to the Au atoms since the substrate-molecule coupling effect can be slightly changed (see Experimental methods for calculation details).

Fig. 5a shows the calculated normal modes (colored vertical lines) of a BCB
molecule with the measured TERS spectra at spots 5-9 (from Fig. 4a and d) for different chemical environments described in Fig. 5b-d. In the frequency range of 570 - 590 cm$^{-1}$, two theoretical vibrational modes ($\nu_1$ and $\nu_2$) are observed even though only a single peak was experimentally observed due to the limited spectral resolution and inhomogeneous broadening at room temperature. As individual atoms in a BCB molecule involve additional coupling to Au atoms on the surface, the Raman frequencies of two vibrational modes are varied depending on the conformation and the position of the molecule. When the two strongly oscillating C atoms of the molecule (as indicated with black dashed rectangles in Fig. 5b) are closer to the nearest Au atoms (the average atomic distance of two carbon atoms with the Au atom is 3.7 Å), $\nu_1$ is calculated as 575.29 cm$^{-1}$ with the out-of-plane bending vibration mode of the C atoms and $\nu_2$ is calculated as 576.94 cm$^{-1}$ with the in-plane stretching mode of the C atoms. By contrast, when these C atoms (as indicated with black dashed rectangles in Fig. 5c) are vertically mis-located with longer atomic distance with respect to the Au atoms (the average atomic distance of two carbon atoms with the Au atom is 4.2 Å), the Raman frequency of the out-of-plane bending mode of the C atoms is increased to 579.23 cm$^{-1}$ ($\nu_1$ in Fig. 5c). It is likely that the two strongly oscillating C atoms having shorter atomic distance with the Au atoms (Fig. 5b) experience stronger damping forces than the C atoms located further away from the closest Au atoms (Fig. 5c). On the other hand, both $\nu_1$ and $\nu_2$ are significantly increased for a vertically standing molecule (Fig. 5d) due to the lessened molecular coupling with the Au atoms (see Fig. S9 for the normal mode of a BCB molecule in the gas phase).

From these simulation results, we can deduce that the experimentally observed possible single molecules in S5, S6, and S9 (in Fig. 3 and 4) have a chemical environment and molecular orientation likewise illustrations in Fig. 5c. The observed molecule in S8 is expected to have same molecular orientation as the molecules in S5, S6, and S9 with the C atoms vertically aligned with respect to the Au atoms, as shown in Fig. 5b. The observed broader linewidth and higher frequency TERS peak at S7 indicate the molecule in S7 is oriented vertically, as displayed in Fig. 5d. Hence, in this work, we experimentally verified the freeze-frame effect using a thin dielectric layer and probed the conformational heterogeneity of possible single molecules at room temperature through highly sensitive TERS imaging and spectral analyses with DFT simulations.
Conclusion

In summary, we demonstrated the hyperspectral TERS imaging of possibly single molecules at room temperature for the first time by optimizing experimental conditions. In addition, the thin dielectric Al₂O₃ layer encapsulating the single molecules adsorbed onto the Au (111) surface played a significant role, as a freeze-frame, in enabling room temperature single-molecule TERS imaging. This is because the thin dielectric layer can suppress the rotational and spectral diffusions of molecules and inhibit the chemical reactions and contaminations in air, including potential physisorption of molecules onto the Au tip.¹⁷ Through this room-temperature TERS imaging approach at the single-molecule level, we examined the conformational heterogeneity of BCB molecules with supporting theoretical DFT calculations. We envision that the presented optimal experimental setup for single-molecule TERS measurements will be broadly exploited to investigate unrevealed single-molecule characteristics at room temperature. For example, we can investigate intramolecular vibrational relaxation (IVR) more accurately at the single-molecule level using this freeze-frame and variable-temperature TERS.³ In addition, the single-molecule strong coupling study at room temperature will be more easily accessible and various advanced studies will be enabled,⁴ such as tip-enhanced plasmon-phonon strong coupling and investigation of the coupling strength with respect to the molecular orientation. Furthermore, this approach can extend to the single-molecule transistor studies at room temperature with very robust conditions.

EXPERIMENTAL PROCEDURES

Resource availability

Lead contact

Further information and requests for resources should be directed to and will be fulfilled by the lead contact, Kyoung-Duck Park (kdpark@unist.ac.kr).

Material availability

All materials generated in the study are available from the lead contact.

Data and code availability

The data for the conclusions of the manuscript are included in the main text and the supplemental information. This study did not generate new code.
METHODS

Full experimental processes are presented in supplemental information

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AUTHOR CONTRIBUTIONS

K.-D.P and M.K conceived the experiment. M.K prepared the sample with the partial contribution of H.K and T.E. M.K, Y.K, and H.L performed the measurements. E.O, J.C, and G.L performed the simulations. K.-D.P and M.K analyzed the data, and all authors discussed the results. M.K and K.-D.P wrote the manuscript with contributions from all authors. K.-D.P and Y.D.S supervised the project.

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