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Bidimensional nano-optomechanics and topological backaction in a non-conservative radiation force field

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Optomechanics, which explores the fundamental coupling between light and mechanical motion, has made important advances in both exploring and manipulating macroscopic mechanical oscillators down to the quantum level. However, dynamical effects related to the vectorial nature of the optomechanical interaction remain to be investigated. Here we study a nanowire with sub-wavelength dimensions strongly coupled to a tightly focused beam of light, enabling ultrasensitive readout of the nanoresonators dynamics. We experimentally determine the vectorial structure of the optomechanical interaction and demonstrate that bidimensional dynamical backaction governs the nanowire dynamics. Moreover, the non-conservative topology of the optomechanical interaction is responsible for a novel canonical signature of strong coupling between the nanoresonator mechanical modes, leading to a topological instability. These results have a universal character and illustrate the increased sensitivity of nanomechanical devices towards topologically varying interactions, opening fundamental perspectives in nanomechanics, optomechanics, ultrasensitive scanning probe force microscopy and nano-optics.

The optomechanical interaction offers a canonical support for understanding fundamental concepts of modern physics: the general picture of a movable object interacting with light has early been recognized for formalizing and understanding foundations of quantum mechanics [1] or quantum limits in continuous measurements [2–4]. While the possibility to manipulate atoms or micro-particles with light has been soon realized and implemented, the faintness of the optomechanical interaction with macroscopic objects has long retained the pioneering proposals far from experimental reach. In recent years, the avenue of cavity optomechanics has successfully addressed this experimental challenge by coupling the mechanical oscillator to a high-finesse optical cavity, which serves as a noise-free optical amplifier enabling quantum limited optomechanical interaction. Since their emergence in the late 90’s [5, 6], these systems have been continuously improved and diversified [7], eventually giving rise to laser cooling of macroscopic oscillators [8–10] close to their quantum ground state [11–13] or classical and quantum optomechanical operation [14–19].

To optimize the optomechanical interaction, these experiments have been developed along the intuitive criterion of maximizing the overlap between the mechanical deformation and one single mode of the electromagnetic field [20], the cavity mode. By doing so, this inherently scalar approach has left aside one of the most intriguing properties of the radiation force field: its vectorial character and the non-conservative nature of the optomechanical interaction which only appear in dimensions larger than one. However this specificity, arising from the Lorentz force contribution to the light-matter interaction [21–23], has fundamental implication for the field of optomechanics. For example, the path-dependence of the accumulated work strongly affects the thermodynamic state of systems evolving in non-conservative force fields [24, 25], which can even alter their dynamical stability [26]. These deviations are expected to be enhanced in the emerging field of nano-optomechanics, which permits exploring the light-matter interaction on dimensions smaller than the optical wavelength, but none of the existing experiments [27–31] have so far explored the vectorial and non-conservative character of the optomechanical interaction and their fundamental implications on the measurement backaction.

In this article we present a versatile optomechanical approach that goes beyond the standard scalar description of cavity optomechanics. Its principle relies on coupling a suspended sub-wavelength sized crystalline nanowire to a strongly focused laser beam. This cavity-free and optically broadband approach gives rise to large optomechanical coupling in both axial and transverse vibration directions, enabling ultrasensitive readout of the nanomechanical motion for incident optical power in the 100 µW range only. Exploiting the uniquely low force noise of SiC nanowires [32], we operate our nanoresonator as an ultrasensitive vectorial force sensor which enables full topological reconstruction of the nano-optomechanical interaction within the beam waist area. We show that topological variations of the optomechanical interaction are responsible for a novel vectorial backaction mechanism which can not be described within the scalar frame of cavity optomechanics, representing a new canonical signature of strong coupling between oscillators in dimensions larger than one. We demonstrate that force fields presenting a large rotational character can activate a bifurcation of the nanoresonator dynamics, illustrating the connections existing between dissipation, dimensionality and conservativity [26].

On the fundamental side, this work establishes nano-optomechanical experiments as a method of choice for exploring light-matter interaction at the nanoscale [33, 34]. With ultra-high vectorial force sensitivity in the few aN-range (10−18 N) at room temperature, our
FIG. 1: An ultrasensitive optical readout of motion at the nanoscale. (a) Our nanomechanical oscillators consist of low-dissipation suspended silicon carbide (SiC) nanowires with lengths and diameters in the $10 - 100 \mu\text{m}/50 - 300 \text{nm}$ range. Their extremity is positioned with a computer-controlled piezostage within the waist of a strongly focused laser beam ($w_0 \approx 550 \text{nm}$ at 633 nm) generated with a high numerical aperture microscope objective (0.75 NA). The transmitted light is collected through a second objective and position tracking routines ensure long term stability. The ensemble is mounted in a vacuum chamber to reduce air damping. (b) Recording the DC differential transmission $V_{\Delta}$ while scanning the nanowire position in the vertical (left) and horizontal (right) planes allows imaging the nanowire. The vector represents the transmission gradient $\nabla V_{\Delta}$ at the center of the waist where the noise spectrum of the QPD AC output port is acquired (panel (c), 36 Hz resolution bandwidth) revealing the Brownian motion of the first 3 eigenmode families with a very large signal-to-noise. Recording the displacement noise for each mode in the vertical plane (insets iii) permits determining their longitudinal vibration profiles (see SI). Each mode family is composed of two peaks (inset ii), corresponding to the two eigenmodes vibrating along perpendicular directions. (d) Projected displacement noise spectra $S_{\delta r}[\beta]$ reported as a function of the direction $e_{\beta}$ of the measurement vector for a constant injected power of 100 $\mu\text{W}$. 900 positions are sampled in the horizontal plane, over which $e_{\beta}$ samples any possible orientation while the local intensity varies by more than 4 orders of magnitude. Panel (e) shows the corresponding projected r.m.s. vibration amplitude for both polarizations also reported as a function of $e_{\beta}$, fitted using $\Delta x_{i} \mid e_{\beta} \cdot e_{\beta}$. This allows determining the eigenmodes orientations $e_{1,2}$ and verifying their perpendicularity at the percent level. The effective masses deduced (376 fg here for a $25 \times 0.15 \mu\text{m}$ nanowire) are found to be position independent to within a 20% error margin, underlying the absence of static heating due to light absorption.

An ultrasensitive vectorial displacement sensor. — Our nano-optomechanical scheme (Fig. 1a) consists in positioning the extremity of a suspended silicon carbide nanowire at the waist of a strongly focused laser beam obtained with a high numerical aperture microscope objective while collecting the transmitted light [37, 42–44]. The wide bandgap of SiC allows the nanowire to sustain significant optical powers ($\lesssim \text{mW}$) in the visible domain without incurring any damage. Nanomechanical motion is measured using a differential transmission measurement technique [45] based on a high gain dual quadrant photodiode. Its differential output voltage $V_{\Delta}$ depends both on the piezo-controlled nanowire rest position $r_0$ and on its bidimensional deflection $\delta r$. For small vibration amplitudes, it can be expanded as $V_{\Delta}(r_0 + \delta r(t)) \approx V_{\Delta}(r_0) + \beta \cdot \delta r(t)$. The projective measurement vector $\beta \equiv \nabla V_{\Delta} \mid _{r_0} \equiv \beta e_{\beta}$ thus converts the bidimensional vi-
FIG. 2: Measurement of the bidimensional topology of the optomechanical interaction force field. (a) Schematics of the experiment: a second laser (532 nm), intensity modulated by means of an acousto-optic modulator is focused on the nanowire, whose motion is simultaneously recorded with a 633 nm probe laser. (b) For each measurement point (i to iii, reported in panel c), Brownian motion (red fitted curve) and mechanical response (amplitude and phase) measurements (green fitted curves) are recorded on spectrum and network analyzers respectively. The fit (see text) allows inferring the local amplitude, direction and phase delay of the optical force exerted by the pump laser. Arrows in the sketches indicate the deduced orientations for the local measurement $\beta$ and force $F$ vectors. (c) This measurement sequence was reproduced on a 40x40 grid in a 1.6 x 3 $\mu$m$^2$ horizontal area around the pump laser waist (22 hours duration), in order to establish the spatial map of the optomechanical interaction between the 532 nm focussed laser beam and the nanoresonator (data are averaged on a 2x2 grid). The normalized pump beam reflectivity map is also shown for comparison. (d) The linearity of the experiment was verified by varying the modulation strength and recording the force magnitude down to the thermal noise limit (38 aN/$\sqrt{\text{Hz}}$ here).
ometry and clamping conditions [46]. The generic dynamics of the nanowire extremity vectorial deflection $\delta r$, restricted to the first eigenmode family (around 113 kHz) can be described by:

$$\delta \vec{r} = -\Omega^2 \delta r - \Gamma \delta \vec{r} + \delta F_{th}(t)/M + F(\vec{r}_0 + \delta \vec{r}, t)/M$$

where $M$ is the effective mass of the nanoresonator, $\Omega^2 \equiv \begin{pmatrix} \Omega_1^2 & 0 \\ 0 & \Omega_2^2 \end{pmatrix}$ the restoring force matrix in the $e_{1,2}$ base and $\delta F_{th} = (\delta F_{th1}, \delta F_{th2})$ the vector of Langevin forces driving each modes independently [20, 47] with a white spectral density of $S_{F_{th}} = 2Mk_B T$ ($k_B$ is the Boltzmann’s constant, $T = 300 \text{K}$). For simplicity we have used here equal damping rates $\Gamma$. The effective masses of both polarizations are identical as confirmed experimentally (see SI). The term $F(\vec{r}_0 + \delta \vec{r}, t)$ represents a generic external force field in which evolves the nanoresonator, which can vary in space and time.

At low light intensities optical forces can be neglected and the spectrum of the nanowire vibrations $\delta r_\beta$ projected along the direction $e_\beta$ of the measurement vector, can be approximated by:

$$S_{\delta r_\beta}[\Omega] = S_{\delta r}[\Omega]/\beta^2 = \sum_{i=1,2} (e_i \cdot e_\beta)^2 |\chi_i[\Omega]|^2 S_{F_i}^{th}$$

with $\chi_i[\Omega] \equiv 1/(M (\Omega_i^2 - \Omega^2 - i\Omega))$ being the mechanical susceptibilities. The displacement sensitivities on each eigenmode are therefore related to their orientation with respect to the measurement vector $\beta$ which is experimentally measured prior to any dynamical measurement (see SI). It can be varied by moving the nanowire within the waist area, enabling a full reconstruction of the eigenmodes orientations and effective masses (see Fig. 1d and SI), which are extremely important for any quantitative vectorial applications, such as force field imaging.

An ultrasensitive vectorial force sensor. — Measuring the nanowire deformations in two dimensions can naturally be used to measure vectorial forces, with sensitivities in the $\text{nN}/\sqrt{\text{Hz}}$ range at room temperature. In this context, it is of primary importance to investigate and control the backaction process resulting from the force field associated with the vectorial measurement. In our case, it corresponds to the optical field experienced by the nanowire, $F(\vec{r}_0)$ for an incident power $P_0$. The laser beam under investigation is intensity-modulated by means of an acousto-optic modulator (see Fig. 2a) such that $P(t) = P_0 + \delta P \cos \Omega t$, with an amplitude $\delta P$ resulting in a modulated optical force field of amplitude $\delta F(\vec{r}_0) = F(\vec{r}_0) \delta P/P_0$. The measurements were taken at low light intensities such that the optical force gradients do not perturb the nanowire stability (see below). The modulated force is frequency swept across the first mechanical doublet by means of a network analyzer (see Fig. 2b) which simultaneously demodulates the nano-optomechanical signal:

$$\delta r_\beta[\Omega] = \sum_{i=1,2} \chi_i[\Omega] (\delta F(\vec{r}_0) \cdot e_i + \delta F_{th}^i[\Omega]) (e_i \cdot e_\beta)$$

where the detection background has been neglected. Fitting this complex nano-optomechanical response, represented in Fig. 2b(i-iii), yields to a complete determination of the local force field $F(\vec{r}_0)$: its magnitude, its orientation and the possible delay between the intensity modulation and the optical force. Our measurement confirms that the optical force is in phase with the intensity modulation, as expected for scattering forces which respond instantaneously on the timescale of the nanowire dynamics.

The linearity of the response has been verified by varying the modulation depth $\delta P$ over 3 orders of magnitude while measuring the induced force magnitude, see Fig. 2d. Iterating this measurement over a $1.6 \times 3.0 \mu \text{m}^2$ area around the laser waist (see Fig. 2d) allows to determine the topology of the optomechanical interaction $F(\vec{r}_0)$, measured here at 532 nm and $P_0 = 96 \mu \text{W}$. The force field presents a strongly converging/diverging vector flow in the upstream/downstream regions, respectively, reaching a maximum value of $70 \text{mN}$ at the waist, where it is aligned along the laser propagation axis. These measurements were also performed at 633 nm (see SI), wavelength at which the optomechanical force field presents a similar pattern for our 150 nm-diameter nanowire, with a maximum value of $14 \text{mN}$ for the same incident power. It is interesting to note that in contrast to scalar 1D optomechanics, there exists locations for which the backaction force can be chosen perpendicular to an eigenmode direction without compromising the respective displacement readout sensitivity. This enables suppressing the classical measurement backaction [48], which is critical in ultrasensitive force sensing experiments.

**Dynamical backaction in a bidimensional optical force field.** — At large optical powers, the dynamical backaction of the optical field onto the nanoresonator has to be taken into account. The optical force follows instantaneously any intensity change so that when undergoing Brownian motion in the optical waist, the nanowire experiences a position-dependent optical force: $F(\vec{r}_0 + \delta \vec{r}) \approx F(\vec{r}_0) + (\delta \vec{r} \cdot \nabla) F(\vec{r}_0)$. The first term generates a static deflection of the nanowire extremity, which may potentially lead to static vectorial multistability [5], which can be neglected in our case ($\chi_i[0]F_i \lesssim 1 \text{A}$). The second term represents the generalized dynamical vectorial backaction. The restoring force matrix is thus modified to:

$$\Omega^2 = \begin{pmatrix} \Omega_1^2 - g_{11} & -g_{12} \\ -g_{12} & \Omega_2^2 - g_{22} \end{pmatrix},$$

where $g_{ij} = M^{-1} \partial_i F_j |_{\vec{r}_0}$ are proportional to the injected light intensity. The spatial derivatives of the force field can be directly calculated from the vectorial map shown in Fig. 2d, they are shown in Fig. 3. In analogy with the optical spring effect of cavity optomechanics which originates from the radiation pressure gradient that exists in a detuned Fabry-Perot cavity [7, 8, 49], diagonal terms $g_{ii}$ modify the effective spring constants as well known and profusely used in ultrasensitive force microscopy. The non-diagonal terms, equal in the case of
This analysis therefore predicts that significant vectorial backaction can be expected in our system. Its direct consequence is a modification of the nanowire eigenfrequencies $\Omega_{1,2} \to \Omega_{\pm} \equiv \Omega \pm \Delta \Omega/2$, given by the square root of $\Omega^2$ eigenvalues. In the limit of small frequency splitting $\Delta \Omega \equiv \Omega_+ - \Omega_-\ll \Omega$ with respect to the mean frequency $\Omega$ we have:

$$\Delta \Omega = \frac{1}{2\Omega} \sqrt{(\Omega_1^2 - \Omega_2^2 - g_{11} + g_{22})^2 + 4g_{12}g_{21}} \tag{3}$$

whose expression captures all vectorial backaction effects. The consistency of our analysis can thus be tested by comparing the frequency splitting $\Delta \Omega$ directly extracted from Brownian motion measurements (Fig. 3c.i), to the values inferred from (3) and the determination of the optical force field derivatives (Fig. 3a). Both approaches were found to be in perfect agreement (see Fig. 3c), underlying the necessity to have a full knowledge of force field topology in which the nanowire evolves to properly understand the dynamical backaction in 2D.

This backaction mechanism also depicts a novel class of strong coupling between both polarizations mediated by a bidimensional coupling mechanism, the eigenfrequencies $\Omega_{\pm}$ are shifted by more than their intrinsic linewidth $\Gamma$. This is an extension of the canonical scalar coupling between two harmonic oscillators, which efficiently describes the majority of strongly interacting systems and necessarily generates frequency repulsion [51]. This does not apply anymore in higher dimension, as can be seen in Fig. 3c where the frequency splitting $\Delta \Omega$ can be lower than its value in absence of light $\Delta \Omega_0$.\[5\]

**FIG. 3: Optomechanical backaction in two dimensions.** (a) Projection of the force field derivatives $\partial_i F_j$, $i, j \in \{x, z\}$ derived from Fig 2c on the real 2x2 Pauli matrices: $I, \sigma_x, \sigma_y, \sigma_z$, which form a base of the force field gradients, (e.g. $i \sigma_y \sim (0, 1)$ relates to $\partial_x F_z - \partial_z F_x$) (b) Schematics of the force field topology associated to each base element. The elementary deformation of a square unit cell permits visualizing their divergence, shear and rotational properties. (c) Cartography of the relative frequency splitting $(\Delta \Omega - \Delta \Omega_0)/2\pi$: (i) directly measured from Brownian motion measurements, (ii) predicted from (3) using the force field gradients of panel 3a after a proper rotation to account for eigenaxes orientations. Both measurements present a very good agreement as can be verified in the linear transverse cut (across the dotted line) shown in (iii) (light green: direct, dark green: predicted) and in (iv) where all measurements are reported. The data points are well aligned on the first bisector (straight line), demonstrating that bidimensional optomechanical backaction governs the nanoresonator dynamics.

A conservative force field, $(\text{rot}(F))_y \propto g_{12} - g_{21} = 0$, are coupling both mechanical polarizations. These shear components vanish on the optical axis and present extrema on each sides of the waist, where the force field presents large vorticity. In orders of magnitude, the optical force gradients reach a level of $\approx 300 \text{nN/m}$ for $P_0 = 100 \mu \text{W}$. Although this number remains small compared to the bare oscillator stiffness $\sim 400 \mu \text{N/m}$, the backaction force noise experienced by the nanowire can reach $\nabla F \cdot \delta_{\text{th}}[\Omega] \approx 30 \text{aN/\sqrt{Hz}}$, which is comparable to the magnitude of the Langevin force and is hence of utter importance for a number of ultrasensitive force microscopy experiments [37, 42, 50].

Bifurcation of the nanowire dynamics and topological instability. — At larger optical powers, we could observe a direct and novel dynamical signature of the non-conservative topology of the 2D optomechanical interaction. Above a threshold of $\sim 120 \mu \text{W}$, the nanoresonator starts to self-oscillate with extremely large amplitudes ($> 500 \text{nm}$), sufficient to blur the DC transmission maps (Fig. 4a) in a specific area on the side of the optical axis, a region of large vorticity (Fig. 2d). In the displacement noise spectra, one can observe an intense coherent peak growing on top of the thermal noise spectra, whose apparition is concomitant with a frequency merging of both eigenmodes (see Fig. 4c, 4d). Indeed the shear terms product $g_{12}g_{21}$, which reflects the strength of cross-couplings between both polarizations and controls their frequency splitting $\Delta \Omega$, can accept negative values, except in the specific case of conservative force fields (then $g_{12} = g_{21}$). As a consequence, in regions of strong vorticity the frequency splitting defined in (3) can become purely imaginary at large optical powers, so that both eigenfrequencies are now complex conjugates $\Omega_{\pm} = \Omega \pm 1\text{Im}(\Delta \Omega)/2$. The consequence is a frequency merging, as well as an asymmetric modification of the effective damping rates $\Gamma_{\pm} = \Gamma \pm \text{Im}(\Delta \Omega)$.

The appearance of a coherent oscillation peak is observed when $\Gamma_-$ becomes negative and any force fluctua-
FIG. 4: Dynamical bifurcation and topological instability in a non-conservative vectorial force field. (a) Differential transmission maps measured for increasing optical powers (140, 260 and 380 µW from i to iii, at 633 nm). The contours of the topological instability region are stressed in black, its area increases with the incident optical power as shown in (b); the solid line is a fit connected to the second order spatial derivatives of the force field (see SI). (c) Spatial dependence of the displacement noise spectrum of the two fundamental eigenmodes, measured while scanning the nanowire position across the optical axis for optical powers lower/larger (top/down) than the topological instability threshold. (d) Typical evolution of the displacement noise spectra when approaching and reaching the topological instability: frequency pulling can be observed as well as the apparition of the coherent oscillation spike which is responsible for the blurring observed on DC transmission maps. (e) Evolution of the displacement noise spectrum as a function of the incident optical power in the topologically unstable region. The corresponding frequency splittings $\Delta \Omega / 2\pi$ and peak noise powers $S_{\delta r} [\Omega_\pm]$ are reported in (f). The dashed line is a fit using eq. (3). (g) Frequency splitting as a function of the light polarization angle at constant incident power of 350 µW, measured in three different locations (see SI).

tion can then initiate the dynamical topological instability. This bifurcation also corresponds to a transition from linearly to elliptically polarized eigenmodes, rotating in opposite directions, so that the rotating force field transfers opposite work. The area of the topological instability -an ellipse just above threshold- increases linearly with the optical power (see Fig. 4,b), while the ellipse parameters are determined by the second order spatial derivatives of the force field (see SI). Its presence only on one side of the optical axis is due to the tilt of the eigendirections $e_1, e_2$ with respect to the optical axis. The bifurcation can also be investigated by placing the nanowire in the stable region and progressively increasing the optical power while recording the displacement noise spectra (see Fig. 4e). Frequency merging is clearly visible as well as the onset of the topological instability. The measured frequency splitting $\Delta \Omega$ is reported in panel 4f as a function of optical power, showing a good qualitative agreement with a fit using expression (3) and the experimentally measured force field gradients. In this measurement, the Q-factor is too large to directly discriminate frequency merging ($\Delta \Omega = 0$) from the onset of topological instability ($\Gamma_- = 0$). However, increasing the mechanical damping rate $\Gamma$ through pressure increase at constant optical power beyond the bifurcation threshold leads to a reduction of the instability area, as expected from an increase of the instability threshold power (see SI). Furthermore, the optical polarization offers another possibility to tune the optomechanical interaction: the displacement readout signal-to-noise but also the light backaction are thus maximized (see SI and Fig. 4f) for vertical polarization, a situation in which the nanowire presents a larger optical polarisability [46, 52]. It is important to point out that the bifurcation described here
does not involve non-linear dynamics and neither cavity-
delayed optical forces such as in the well-known 1D opt-
tomechanical instability [7, 8] or in recent studies on
vortex-coupled optomechanical systems [53–55].

Finally, the vectorial and scanning probe character of
our nano-optomechanical approach represents a
sensitive and robust strategy for further exploration of
vortexial force fields at the nanoscale and is particularly
suited for investigating the light-matter interaction in
confined geometries, complementary to other charac-
terization tools [56]. Exploration of optically resonant
nano-optomechanical interactions related to internal
Mie resonances of the nanowires [52] can be envisioned,
possibly leading to wavelength-dependent trapping or
anti-trapping optical force fields but also negative
scattering forces [57].

Our results demonstrate the importance of consider-
ing the full vectorial character of the optomechanical
interaction to correctly describe the multimode thermo-
dynamics of strongly coupled optomechanical systems
[55]. In the case of nano-optomechanics, noise contami-
nation between eigenmodes can be anticipated as well as
a violation of the fluctuation-dissipation theorem while
noise thermometry can be significantly biased in
spatially confined optomechanical systems [24, 25]. A
salient illustration can be seen in Fig. 4e/4f, where the
peak displacement noise presents a dramatic dependence
on light intensity in regions of strong vorticity, increasing
by more than 2 orders of magnitude before the bifurca-
tion. These considerations are universal and crucial to
the proper understanding of nanomechanics, when the
resonator dimensions becomes smaller than the range
of the force field in which they evolve. As such, our
system offers a unique platform to further investigate
the fundamental connections between both acceptations
of the term “non-conservative” [26]: the vorticity of a
2D force field in which the nanoresonator evolves and
the dissipative character of the corresponding dynamics
observed in 1D.

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