Antibiotic resistance and detection of plasmid mediated colistin resistance \textit{mcr-1} gene among \textit{Escherichia coli} and \textit{Klebsiella pneumoniae} isolated from clinical samples

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Abstract

\textbf{Background:} The prevalence of antimicrobial resistance (AMR) among Gram-negative bacteria is alarmingly high. Reintroduction of colistin as last resort treatment in the infections caused by drug-resistant Gram-negative bacteria has led to the emergence and spread of colistin resistance. This study was designed to determine the prevalence of drug-resistance among beta-lactamase-producing strains of \textit{Escherichia coli} and \textit{Klebsiella pneumoniae}, isolated from the clinical specimens received at a tertiary care centre of Kathmandu, Nepal during the period of March to August, 2019.

\textbf{Methods:} A total of 3216 different clinical samples were processed in the Microbiology laboratory of Kathmandu Model Hospital. Gram-negative isolates (\textit{E. coli} and \textit{K. pneumoniae}) were processed for antimicrobial susceptibility test (AST) by using modified Kirby-Bauer disc diffusion method. Drug-resistant isolates were further screened for extended-spectrum beta-lactamase (ESBL), metallo-beta-lactamase (MBL), carbapenemase and \textit{K. pneumoniae} carbapenemase (KPC) production tests. All the suspected enzyme producers were processed for phenotypic confirmatory tests. Colistin resistance was determined by minimum inhibitory concentration (MIC) using agar dilution method. Colistin resistant strains were further screened for plasmid-mediated \textit{mcr-1} gene using conventional polymerase chain reaction (PCR).

\textbf{Results:} Among the total samples processed, 16.4% (529/3216) samples had bacterial growth. A total of 583 bacterial isolates were recovered from 529 clinical samples. Among the total isolates, 78.0% (455/583) isolates were Gram-negative bacteria. The most predominant isolate among Gram-negatives was \textit{E. coli} (66.4%; 302/455) and \textit{K. pneumoniae} isolates were 9% (41/455). In AST, colistin, polymyxin B and tigecycline were the most effective antibiotics. The overall prevalence of multidrug-resistance (MDR) among both of the isolates was 58.0% (199/343). In the ESBL testing, 41.1% (n = 141) isolates were confirmed as ESBL-producers. The prevalence of ESBL-producing \textit{E. coli} was 43% (130/302) whereas that of \textit{K. pneumoniae} was 26.8% (11/41). Similarly, 12.5% (43/343) of the total isolates, 10.9% (33/302) of \textit{E. coli} and 24.3% of (10/41) \textit{K. pneumoniae} were resistant to carbapenem. Among 43 carbapenem resistant isolates, 30.2% (13/43) and 60.5% (26/43) were KPC and MBL-producers respectively. KPC-producers isolates of \textit{E. coli} and \textit{K. pneumoniae} were resistant to carbapenem. Among 43 carbapenem resistant isolates, 30.2% (13/43) and 60.5% (26/43) were KPC and MBL-producers respectively. KPC-producers isolates of \textit{E. coli} and \textit{K. pneumoniae} were resistant to carbapenem.
pneumoniae were 33.3% (11/33) and 20% (2/10) respectively. Similarly, 63.6% (21/33) of the E. coli and 50% (5/10) of the K. pneumoniae were MBL-producers. In MIC assay, 2.2% (4/179) of E. coli and 10% (2/20) of K. pneumoniae isolates were confirmed as colistin resistant (MIC ≥ 4 µg/ml). Overall, the prevalence of colistin resistance was 3.1% (6/199) and acquisition of mcr-1 was 16.6% (3/18) among the E. coli isolates.

**Conclusion:** High prevalence of drug-resistance in our study is indicative of a deteriorating situation of AMR. Moreover, significant prevalence of resistant enzymes in our study reinforces their roles in the emergence of drug resistance. Resistance to last resort drug (colistin) and the isolation of mcr-1 indicate further urgency in infection management. Therefore, extensive surveillance, formulation and implementation of effective policies, augmentation of diagnostic facilities and incorporation of antibiotic stewardship programs can be some remedies to cope with this global crisis.

**Keywords:** Escherichia coli, Klebsiella pneumoniae, Colistin resistance, Extended spectrum beta-lactamase, Metallo-beta-lactamase, Klebsiella pneumoniae carbapenemase, Multidrug resistance and plasmid-mediated mcr-1 gene

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**Background**

Extensive and irrational use of antibiotics has led to the emergence and spread of antimicrobial resistance (AMR)—a condition in which pathogenic strains of bacteria develop resistance to the therapeutic antibiotics prescribed against it [1]. Realising the AMR as a global crisis, World Health Organization (WHO) and US Centres of Disease Control and Prevention (CDC) have already warned of an imminent global disaster and possibility of returning to the post-antibiotic era [2]. Gram-negative bacteria, mainly *Enterobacteriaceae*, *Acinetobacter baumannii* and *Pseudomonas aeruginosa* are able to produce enzymes such as extended-spectrum beta-lactamas (ESBLs), AmpC beta-lactamas, carbapenemase and metallo-beta-lactamas (MBL), which enable the host bacteria to develop resistance to most classes of antibiotics in use [3]. All of these enzymes possess a similar mechanism to hydrolyse β-lactam ring of the antibiotics. ESBLs are class A β-lactamas that are responsible for resistant against oxy-imino cephalosporins (cefotaxime, cefazidime, ceftriaxone, cefuroxime, and cefepime) and monobactams (aztreonam) [4]. Carbapenemase are the members of class A, B and D β-lactamas. Class A and D carbapenemase bring out the serine based hydrolytic mechanism while the class B carbapenemase are metallo-β-lactamas (MBL) that contain zinc based hydrolytic mechanism [5]. A novel kind of MBL, known as New Delhi metallo-β-Lactamase (NDM) possesses the ability to resist virtually all β-lactam antibiotics (except aztreonam) and carbapenems [6]. Similarly, *K. pneumoniae* carbapenemase (KPC), a derivative of carbapenemase (class A β-lactamas) also has become prominent because of their ability to inactivate carbapenems [7]. Although KPC is prevalent among *K. pneumoniae*, the enzyme has been frequently isolated from other Gram-negative bacilli [8].

Multidrug-resistant (MDR) bacteria—better known as superbugs—seriously limit the treatment options and thus are associated with increased mortalities, morbidity and economic burden [9]. On the other hand, narrowed treatment option is forcing clinicians to rely upon the “last line” drugs, primarily colistin (a polymyxin E antibiotics), which is reintroduced to counter the rapidly surging carbapenemase-producing Gram-negative bacteria [10]. Polymyxins (polymyxin B and polymyxin E) are cyclic lipopeptide discovered in the late 1940s [11] that were introduced in the treatment of infections caused by Gram-negative bacteria. However, they were no longer used due to their neuro- and nephro-toxicity and also due to the availability of comparatively ‘safer’ drugs such as beta-lactams [12]. Although their toxic effects were standstill, polymyxins were re-introduced in 1990s to counter the uncontrolled emanation of carbapenem resistant bacteria [13]. Polymyxins are now extensively used in modern clinics due to paucity of novel, effective and safer antibiotics [14]. Like with all other antibiotics, bacteria have managed to develop resistant to colistin, as a large number of studies suggest the emergence and globalization of colistin-resistance [10].

Until the first report of a variant of noble plasmid-mediated mobilized colistin resistance gene (mcr-1) in late 2015 in China, polymyxin (particularly, colistin) resistance was solely attributed to the regulatory changes mediated by the chromosomal genes (phoPQ, pmrAB, and mgrB) [2]. Since the first identification of mcr-1, several variants (from mcr-1 to mcr-9) have been reported from more than 40 countries across five different continents [15]. The mcr-1 encodes for phosphoethanolamine (pEtN) transferase enzyme (discovered in late 2015), which modifies the outer membrane lipopolysaccharides by adding pEtN to the phosphate groups in Lipid A thereby decreasing the net negative charges [2]. The resulting modification reduces the binding affinity of polymyxins to the bacterial cell wall [16, 17]. Unlike chromosomal mutation, acquisition of mcr is a matter of serious concern because of its potential transferability, as the gene is spread rapidly through the horizontal transfer at a higher rate than occurring through spontaneous
Since mcr gene was first isolated in an E. coli from animal sources in China, the plasmid-mediated colistin resistance may have transmitted from animals (colistin was extensively used as growth promoters for long times) to humans [22]. E. coli is the most prevalent species harbouring the mcr gene, accounting for approximately 91% of the entire load of mcr-positive bacteria, which is followed by Salmonella enterica (~7%) and K. pneumoniae (~2%) [23]. Higher burden of mcr among S. enterica than K. pneumoniae also supports the fact that the former is the food-borne pathogen and is very likely to be transmitted via food chain [24]. Moreover, these drug-resistant bacteria are isolated from humans, animals, and environments so that the perspective of ‘One Health’ has been jeopardized [25].

Implementation of effective surveillance programs and infection controls are considered as the two pillars to check the growth and spread of AMR [26]. However, in the developing countries like Nepal, circulation and co-circulation of resistant genes may go undetected, under-reported and poorly characterized due to poor diagnostic facilities [27, 28]. In addition, irrational use of antibiotics among humans and animals (often as growth promoters) is putting pressure of potential outbreaks in the future [10]. Moreover, there are a limited number of studies on colistin resistance and the prevalence of resistance can vary and change over the time within and between the countries. Therefore, our study was conducted in a tertiary care center with an attempt to determine the prevalence of beta-lactamases including ESBL, MBL, KPC and colistin resistance among Gram-negative MDR pathogens. At the same time, we also aimed to explore the possible role of mcr genes in conferring resistance to colistin. Furthermore, antibiogram of the resistant strains to a variety of antibiotics was carried out to recognize the possible therapeutic options for combating superbugs.

Methods
Study design and study samples
This cross-sectional study was conducted from March to August, 2019 at Kathmandu Model Hospital, Nepal. A total of 3216 clinical samples consisting of urine (n=1776), blood (n=875), pus (n=156), sputum (n=187), body fluids (n=88), wound swab (n=51), tissue including femur, tibia, intestine region, and appendicular sites (n=18), catheter and other tips (n=12), and other samples including stool, urethral and vaginal swabs, bone, and bone marrow aspirate (n=53) was collected and processed during the study period. Patients of all age-groups and gender who were admitted in or visiting the hospital for treatment were included in this study. All the samples with completely filled demographic information and having no visible signs of contamination were included in the study. However, others were rejected and requested for repetition, if possible.

Sample collection and transport
All the samples were aseptically collected following the standard microbiological procedure. Individual collection procedures varied in accordance to the type of samples. Generally, samples were collected in a dry, wide-mouthed, and leak-proof container and were sent to Microbiology Department without delay. In case of unwarranted delay, clinical specimens were refrigerated at 4 to 6 °C.

Culture, isolation and identification of bacteria
Each sample was processed by following the standard microbiological guidelines [29, 30].

Urine sample
Urine samples were inoculated into Cysteine Lactose Electrolyte Deficient (CLED) agar using sterile and standard calibrated loop. The plates were incubated at 37 °C overnight.

Blood and endotracheal and catheter tips
Blood specimen was inoculated aseptically into BHI broth at the ratio of 1: 10 and was incubated at 37 °C for 7 days and routinely inspected twice a day for at least first three days for microbial growth. Then broth from the culture bottle showing visible signs of microbial growth was sub-cultured in Blood agar (BA), MacConkey agar (MA) and Salmonella-Shigella agar (SS) and plates were incubated at 37 °C for 24 h [31].

Sputum and throat swab
Sputum samples were inoculated into BA, chocolate agar (CA) and MA plates. For sputum, in CA plate a 5 μl optochin disc and a 10U bacitracin disc were added to screen S. pneumoniae and H. influenzae respectively whereas for throat swab, 0.05U bacitracin disc was added to the plate to screen Streptococcus pyogenes. CA and BA were incubated at 37 °C overnight in 5–10% CO₂ environment whereas the MA plate was incubated at 37 °C in an aerobic condition [31].

Pus, pus swab, and wound swab
These samples were inoculated into BA and MA plates, and inoculated at 37 °C for 24 h. In case of swab, an initial inoculum was made by rubbing the swab over the media
plate in order to transfer maximum number of organisms. Then the streaking was performed [31].

Body fluids: Body fluid samples were centrifuged before culture. The sediment after centrifugation was inoculated into BA, MA and CA plates. The BA and CA plates were incubated in 5–10% CO₂ enriched atmosphere and MA plates were incubated aerobically at 37 °C overnight [31].

Identification of the bacterial isolates
Following incubation, culture plates were observed for possible microbial growth. Isolates were presumably identified on the basis of Gram’s staining and colony characteristics. Further confirmation of the isolates were based on biochemical tests such as IMViC (Indole production, Methyl red test, Voges-Proskauer test and Citrate utilization), H₂S production, catalase test, coagulase test, and oxidase test [30, 32].

Antibiotic susceptibility test (AST)
E. coli and K. pneumoniae isolates were further subjected to in-vitro antibiotic susceptibility assay by using modified Kirby-Bauer disk diffusion method as recommended by Clinical Laboratory Standard Institute [33]. Nitrofurantoin (300 µg), cefotaxime (30 µg), ceftriaxone (25 µg), cefixime (5 µg), amoxicillin (10 µg), ofloxacin (5 µg), levofloxacin (5 µg), gentamicin (10 µg), moxifloxacin (5 µg), cefazidime (30 µg), amoxycillin/clavulanate (20/10 µg), amikacin (30 µg), ciprofloxacin (5 µg), chloramphenicol (30 µg), azithromycin (15 µg), cefoperazone/sulbactam (75/30 µg), meropenem (10 µg), imipenem (10 µg), ertapenem (10 µg), piperacillin/tazobactam (100/10 µg), doxycycline (30 µg), cefepime (5 µg), ampicillin/sulbactam (10/10 µg), polymyxin-B (100 µg), colistin (10 µg), and tigecycline (15 µg) discs were tested for susceptibility assay. In this method, broth culture of test bacteria (comparable to McFarland tube no.0.5; inoculums density 1.5×10⁸ bacteria/ml) was uniformly carpeted on the surface of Mueller Hinton agar (MHA). Then, antibiotics discs were placed onto the lawn culture of the test bacteriaby sterile forceps. The inoculated and seeded MHA plates were incubated at 37 °C for 24 h. After incubation, zone of inhibition was measured and results were interpreted as sensitive, intermediate and resistant [33]. Isolates showing resistance to at least one agent of three or more classes of antimicrobial agents were termed as multidrug-resistant (MDR) [34].

Screening of the ESBL production
ESBL-producers were screened by using Ceftazidime (30 µg) and Cefotaxime (30 µg) in the AST. Isolates showing reduced susceptibility to one or both of these drugs with diameter of the zone of inhibition for cefazidime ≤ 22 mm and cefotaxime ≤ 27 mm were considered as potential ESBL-producers [33]. Suspected strains were further processed using confirmatory assay.

Confirmation of the ESBL-producers by phenotypic method
Combination disc test (CDT) as prescribed by the CLSI was used for the confirmation of ESBL-producing strains. In this method, cefotaxime (30 µg) and ceftazidime (30 µg) discs alone and in combination with clavulanic acid (10 µg) (ceftazidime plus clavulanic acid, 30/10 µg and cefotaxime plus clavulanic acid, 30/10 µg) were used. The zone of inhibition of cephalosporin disc alone was compared with their respective cephalosporin/clavulanic acid (combined) disc. An increase in zone of inhibition by ≥ 5 mm in the presence of clavulanic acid was considered as confirmed ESBL production [33].

Screening for carbapenemase and/or KPC producers
In AST, isolates showing resistance to carbapenem drugs (imipenem 10 µg, meropenem 10 µg, and ertapenem 10 µg) were suspected as potential carbapenemase-producers [27].

Phenotypic confirmatory test for carbapenemase and/or KPC producers
Inhibitor-based method was followed for the confirmation of carbapenemase and KPC production. In this method, combined disc test of carbapenem with and without phenyl boronic acid (PBA) was employed. An increase in the diameter of zone of inhibition by ≥ 5 mm in combined disc (carbapenem disc supplemented with PBA) than single disc (only carbapenem disc) was considered as confirmed test for carbapenem or KPC production [35, 36].

Phenotypic confirmatory test for MBL production
Confirmation of MBL production was made by inhibition method in which Ethylene Diamine Tetra Acetic Acid (EDTA) was used as an inhibitor. Two imipenem (10 µg) discs were placed on MHA and 10 µl of 0.5 M EDTA solution was added to one of the discs to obtain the desired concentration. After overnight incubation, an increase in the diameter of zone of inhibition by 7 mm in combined disc (imipenem disc supplemented with EDTA) than single disc (only imipenem disc) was considered as confirmed test for MBL production [37].

Determination of MIC of colistin
Agar dilution method was used to determine the minimum inhibitory concentration of colistin. Different concentrations of colistin ranging from 2 µg/ml to 32 µg/ml were prepared in the agar medium. Bacterial inoculum was applied readily onto the agar surface and the plates
were incubated at 37 °C upto 18 h. The MIC end point was determined as the lowest concentration of antibiotics that completely inhibits the visible growth. Isolates having a MIC of \( \leq 4 \) μg/mL is considered colistin susceptible while MIC of > 4 μg/mL is considered colistin resistant [22, 38].

Plasmid and genomic DNA extraction
Isolated colonies of bacteria were inoculated in Luria–Bertani (LB) broth and incubated overnight at 37 °C. After incubation, alkaline-lysis method was adopted to extract the DNA. Plasmid DNA of \textit{E. coli} and \textit{K. pneumoniae} was extracted by using phenol–chloroform method [39]. Extracted plasmid DNA and genomic DNA was then suspended in TE buffer and preserved at −20 °C until further processing [39].

PCR amplification of \textit{mcr-1} gene
Amplification of \textit{mcr-1} gene was carried out by conventional PCR using primers: 5'-CGGTCACTCCTTGG TTC-3' as forward primer and 5'-CTTTGTCGGTGCTCT GTAGGG-3' as reverse primer [2]. A PCR mixture having the final volume of 25 μl (3 μl of template DNA, 0.5 μl each forward and reverse primers and 21 μl of PCR master mix) was used for the reaction mixture.

The thermal condition for amplification was initial heat activation of 95°C for 15 min followed by 35 cycles of denaturation at 94 °C for 30 s; annealing at 57°C for 90 s; extension at 72 °C for 10 min. The amplified products were subjected to gel electrophoresis (2.0% agarose gel stained with ethidium bromide) at 100 V for 60 min and visualized under UV transilluminator [22].

Quality control during antimicrobial susceptibility and MIC assays
Each batch of media, reagents and antibiotic discs were checked for their lot number, expiry date, and proper storage. Similarly, purity plates were used to ensure the pure culture of test organisms. Control strain of \textit{E. coli} ATCC 25922 was used during AST.

Results
Distribution of bacterial isolates
Among the total samples processed, 16.4% (529/3216) had bacterial growth. Higher percent of bacterial isolates were obtained from urine samples (304/529; 57.5%) followed by pus (81/529; 15.3%) and blood samples (49/529; 9.3%). More than half of the isolates (300/529; 56.7%) were recovered from the female population. The bacterial infection was in high percentage in the age group 16–45 years (260/529; 49.1%) followed by the older age group above 60 years (158/529; 29.9%) (Table 1).

Out of 529 culture positive specimens, 50 samples showed polymicrobial growth and 473 samples showed monomicrobial growth. Due to polymicrobial infections, 583 isolates were recovered from 529 samples. Among total isolates, 78.0% (455/583) were Gram-negative bacteria. The most predominant isolate among Gram-negatives was \textit{E. coli} (66.4%; 302/455) followed by \textit{K. pneumoniae} (9.0%; 41/455) and \textit{Acinetobacter calcoaceticus baumannii complex} (6.2%; 28/455), \textit{Pseudomonas aeruginosa} (4.6%; 21/455), \textit{Salmonella enterica Typhi} (3.7%, 17/455) and \textit{Salmonella enterica Paratyphi} (2.9%; 13/455). Small portion of the isolates included other bacteria such as \textit{Citrobacter koseri}, \textit{Serratia marcescens}, \textit{Acinetobacter lwoffii}, \textit{Klebsiella Table 1 Demographic and clinical character of patients attending at Model Hospital, Kathmandu

| Character                          | No. of total samples | Culture positive | p-value |
|-----------------------------------|----------------------|------------------|---------|
| **Clinical specimens**            |                      |                  |         |
| Urine                             | 1776                 | 304              | 57.5    |
| Blood                             | 875                  | 49               | 9.3     |
| Pus                               | 156                  | 81               | 15.3    |
| Sputum                            | 187                  | 24               | 4.5     |
| Body fluids                       | 88                   | 6                | 1.1     |
| Wound swab                        | 51                   | 34               | 6.4     |
| Tissue                            | 18                   | 9                | 1.7     |
| Catheter tips and other tips      | 12                   | 9                | 1.7     |
| Other samples                     | 53                   | 13               | 2.5     |
| Total                             | 3216                 | 529              |         |
| **Gender**                        |                      |                  |         |
| Male                              | 1933                 | 229              | 43.3    | 0.0     |
| Female                            | 1283                 | 300              | 56.7    |         |
| **Age group (years)**             |                      |                  |         |
| 0–15                              | 299                  | 18               | 3.4     | 0.01    |
| 16–45                             | 1718                 | 260              | 49.1    |         |
| 46–60                             | 482                  | 93               | 17.6    |         |
| > 60                              | 717                  | 158              | 29.9    |         |
oxytoca, and Neisseria gonorrheae. Distribution of the isolates is depicted in the Fig. 1.

In this way, 9.4% (301/3216) and 1.3% (35/3216) isolates of E. coli and K. pneumoniae respectively were obtained from the total sample processed. Majority of these isolates were obtained from urine (78.9%; 265/336) followed by pus (9.2%; 30/336) and wound swab (3.9%; 13/336). Respectively, 240 and 25 isolates of E. coli and K. pneumoniae were from urine samples. Similarly, large number of samples processed and the isolates recovered were predominantly obtained from female patients of the age group of 16–45 years (Additional file 1; Table 2).

Antibiotic susceptibility pattern of E. coli and K. pneumoniae

All of the tested isolates of E. coli and K. pneumoniae were susceptible to colistin, polymixin B and tigecycline. E. coli isolates were also susceptible to antibiotics nitrofurantoin (93.5%; only for uropathogens), gentamycin (87.8%) and amikacin (89.8%). However, all of the tested E. coli isolates were resistant to azithromycin. Similarly, K. pneumoniae isolates were resistant to amoxycillin and azithromycin. Resistance of K. pneumoniae towards cephalosporin antibiotics include: cefepime (57.1%), ceftazidime (55.6%) and cefotaxime (51.2%). None of the isolates was resistant to ciprofloxacin, colistin, polymyxin-B and tigecycline (Table 3).
The overall prevalence of MDR among both of the isolates was 58.0% (199/343). Individually, 59.2% (179/302) of the total E. coli isolates and 48.7% (20/41) of the K. pneumoniae isolates were reported as MDR (Table 4).

Of 343 bacterial isolates, 41.1% (n = 141) isolates were confirmed as ESBL-producers. The prevalence of ESBL-producing E. coli was 43% (130/302) whereas that of K. pneumoniae was 26.8% (11/41) (Table 4). The prevalence of carbapenem resistance among both the isolates was 12.5% (43/343). Comparatively, higher percentage of carbapenem resistance was documented among E. coli (10.9%; 33/302) than K. pneumoniae (24.3%; 10/41) (Table 4).

### Distribution of KPC and MBL among carbapenem resistant E. coli and K. pneumoniae

Among 43 carbapenem resistant isolates, 30.2% (13/43) and 60.5% (26/43) were KPC and MBL-producers respectively. Both KPC and MBL production was reported higher among E. coli in comparison to K. pneumoniae. 33.3% (11/33) and 20% (2/10) of the isolates of E. coli and K. pneumoniae were KPC-producers respectively. Similarly, 63.6% (21/33) and 50% (5/10) of

### Table 3 Antibiotic susceptibility pattern of E. coli and K. pneumoniae

| Organism/antibiotics used | E. coli | K. pneumoniae |
|---------------------------|---------|---------------|
|                           | Sensitive | Resistant | Total | Sensitive | Resistant | Total |
|                           | N (%) | N (%) | N (%) | N (%) | N (%) | N (%) |
| **1st line antibiotics**  |         |         |       |         |         |       |
| Nitrofurantoin             | 230 (93.5) | 16 (6.5) | 246  | 14 (53.8) | 12 (46.1) | 26   |
| Cefotaxime                 | 130 (43.1) | 172 (56.9) | 302  | 20 (48.8) | 21 (51.2) | 41   |
| Cotrimoxazole              | 156 (51.7) | 146 (48.3) | 302  | 29 (70.7) | 12 (29.3) | 41   |
| Cefoxime                   | 122 (47.3) | 136 (52.7) | 258  | 18 (60.0) | 12 (40.0) | 30   |
| Amoxicillin                | 81 (26.8) | 221 (73.2) | 302  | 0 (0.0) | 41 (100)  | 41   |
| Ofloxacin                  | 153 (51.3) | 145 (48.7) | 298  | 25 (67.6) | 12 (32.4) | 37   |
| Leftoxacin                 | 154 (51.0) | 148 (49.0) | 302  | 29 (70.7) | 12 (29.3) | 41   |
| Gentamicin                 | 265 (87.8) | 37 (12.2)  | 302  | 31 (75.6) | 10 (24.4) | 41   |
| Moxifloxacin               | 129 (52.7) | 116 (47.3) | 245  | 20 (76.9) | 6 (23.1)  | 26   |
| Ceftazidime                | 71 (48.3) | 76 (51.7)  | 147  | 8 (44.4)  | 10 (55.6) | 18   |
| **2nd line antibiotics**   |         |         |       |         |         |       |
| Amoxicillin/Clavulanate    | 63 (40.1) | 94 (59.9)  | 157  | 8 (38.1)  | 13 (61.9) | 21   |
| Amikacin                   | 141 (89.8) | 16 (10.2)  | 157  | 11 (52.4) | 10 (47.6) | 21   |
| Ciprofloxacin              | 5 (45.5)  | 6 (54.5)  | 11   | 4 (100)   | 0 (0.0)   | 4    |
| Chloramphenicol            | 25 (73.5) | 9 (26.5)   | 34   | 3 (37.5)  | 5 (62.5)  | 8    |
| Azithromycin               | 0 (0.0)   | 5 (100)   | 5    | 0 (0.0)   | 4 (100)   | 4    |
| Cefoperazone/Sulbactam     | 49 (36.8) | 84 (63.2)  | 133  | 2 (14.3)  | 12 (85.7) | 14   |
| Meropenem                  | 100 (75.2) | 33 (24.8)  | 133  | 4 (28.6)  | 10 (71.4) | 14   |
| Imipenem                   | 100 (75.2) | 33 (24.8)  | 133  | 4 (28.6)  | 10 (71.4) | 14   |
| Ertapenem                  | 100 (75.2) | 33 (24.8)  | 133  | 4 (28.6)  | 10 (71.4) | 14   |
| Piperacillin/Tazobactam    | 98 (73.7) | 35 (26.3)  | 133  | 4 (28.6)  | 10 (71.4) | 14   |
| Doxycycline                | 41 (30.8) | 92 (69.2)  | 133  | 3 (21.4)  | 11 (78.6) | 14   |
| Cefepime                   | 76 (57.1) | 75 (42.9)  | 151  | 6 (42.9)  | 8 (57.1)  | 14   |
| Ampicillin/Sulbactam       | 16 (51.6) | 15 (48.4)  | 31   | 1 (14.3)  | 6 (85.7)  | 7    |
| **3rd line antibiotics**   |         |         |       |         |         |       |
| Polymyxin-B                | 32 (100)  | 0 (0.0)   | 32   | 9 (100)   | 0 (0.0)   | 9    |
| Colistin                   | 32 (100)  | 0 (0.0)   | 32   | 9 (100)   | 0 (0.0)   | 9    |
| Tigecycline                | 32 (100)  | 0 (0.0)   | 32   | 9 (100)   | 0 (0.0)   | 9    |
the *E. coli* and *K. pneumoniae* were MBL-producers, respectively (Fig. 2).

**Determination of MIC and colistin resistant *E. coli* and *K. pneumoniae* isolates**

In MIC assay, 2.2% (4/179) of *E. coli* and 10% (2/20) of *K. pneumoniae* isolates were confirmed as colistin resistant (MIC \( \geq 4 \) µg/ml). Overall, the prevalence of colistin resistance among the tested isolates was 3.01% (6/199) (Table 5). MIC of colistin-resistant isolates of *E. coli* and *K. pneumoniae* ranged from \( \leq 4 \) µg/ml to 8 µg/ml and from \( \leq 8 \) µg/ml to 16 µg/ml respectively.

**Antibiotic resistance profiles of colistin resistant and sensitive isolates**

Antibiotic resistance profiles of colistin resistance *E. coli* and *K. pneumoniae* were determined. Colistin resistant 100% *E. coli* isolates were resistant to cefotaxime, cefixime, amoxycillin, ofloxacin, levofloxacin, amoxycillin/clavulanate, and doxycycline where as colistin resistant 100% *K. pneumoniae* isolates were resistant to nitrofurantoin, cefotaxime, cefixime, amoxycillin, ofloxacin, and levofloxacin (Fig. 3, Table 6).

Among the 193 colistin sensitive MDR isolates, PCR amplification for mcr-1 was performed with 18 *E. coli* isolates exhibiting an MIC breakpoint of 2 µg/ml. Out of 18 MDR *E. coli* with MIC 2 µg/ml, 16.6% (3/18) and 61.1% (11/18) harboured plasmid-mediated and chromosomal mcr-1 genes respectively (Table 5).

**PCR amplification of mcr-1 in colistin resistant and sensitive *E. coli* and *K. pneumoniae**

Out of four phenotypically colistin-resistant *E. coli*, 3 (75.0%) and 2 (50%) of them harboured plasmid-mediated and chromosomal mcr-1 gene respectively (Table 5). In contrast, none of the phenotypically colistin resistant *K. pneumoniae* harboured mcr-1 gene.

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**Table 4** Distribution of MDR, ESBL and Carbapenem resistant in *E. coli* and *K. pneumoniae* in relation to gender, age and clinical specimens (n = 336)

| Character                  | MDR N (%) | p-value | ESBL producers N (%) | p-value | Carbapenem resistant N (%) | p-value |
|----------------------------|-----------|---------|----------------------|---------|---------------------------|---------|
| Gender                     |           |         |                      |         |                           |         |
| Male                       | 66 (34.4) | 0.19    | 39 (28.7)            | 0.09    | 21 (55.3)                 | 0.02    |
| Female                     | 126 (65.6)|         | 97 (71.3)            |         | 17 (44.7)                 |         |
| Total                      | 192       |         | 136                  |         | 38                        |         |
| Age group in years         |           |         |                      |         |                           |         |
| 0–15                       | 8 (4.2)   | 0.00    | 4 (2.9)              | 0.001   | 2 (5.3)                   | 0.00    |
| 16–45                      | 64 (33.3) |         | 45 (33.1)            |         | 12 (31.6)                 |         |
| 46–59                      | 51 (26.6) |         | 38 (27.9)            |         | 13 (34.2)                 |         |
| > 60                       | 69 (35.9) |         | 49 (36.0)            |         | 11 (28.9)                 |         |
| Total                      | 192       |         | 136                  |         | 38                        |         |
| Clinical specimens         |           |         |                      |         |                           |         |
| Urine                      | 143 (75.0)| 0.16    | 105 (77.2)           | 0.019   | 25 (65.8)                 | 0.25    |
| Wound swab                 | 8 (4.0)   |         | 6 (4.4)              |         | 3 (7.9)                   |         |
| Pus                        | 25 (13)   |         | 16 (11.8)            |         | 7 (18.4)                  |         |
| Blood                      | 2 (1.0)   |         | 2 (1.4)              |         |                           |         |
| Sputum                     | 5 (2.5)   |         | 2 (1.4)              |         |                           |         |
| Various tips               | 2 (1.0)   |         | 1 (2.6)              |         |                           |         |
| Fluids                     | 4 (2.0)   |         | 3 (2.1)              |         | 1 (2.6)                   |         |
| Foley’s Catheter tube      | 1 (0.5)   |         | 1 (0.7)              |         |                           |         |
| Tissues                    | 2 (1.0)   |         | 1 (0.7)              |         | 1 (2.6)                   |         |
| Organisms                  |           |         |                      |         |                           |         |
| *E. coli*                  | 179 (89.9)| 0.03    | 130 (92.1)           | 0.033   | 33 (76.8)                 | 0.01    |
| *K. pneumoniae*            | 20 (10.1) |         | 11 (7.9)             |         | 10 (23.2)                 |         |
Discussion
Drug-resistance, especially pan-drug resistance and MDR is emerging as a major challenge in the treatment of infections caused by Gram-negative bacteria. As surveillance of AMR and early response to the infection control are crucial steps to address the issues, this study also aimed to determine the status of MDR among *E. coli* and *K. pneumoniae* isolates and to investigate possible
acquisition of \textit{mcr-1} in colistin resistant isolates. In this study, most of the isolates were resistant to commonly prescribed broad-spectrum antibiotics. In addition, prevalence of colistin resistance and acquisition of \textit{mcr-1} among the drug-resistant isolates was also observed in this study.

In this study, 16.4\% (529/3216) specimens showed bacterial growth in which prevalence of Gram-negative
bacteria from different clinical specimens was much higher than the Gram-positives. This finding concords with previous studies reported from Nepal [9, 10, 40–44]. Higher prevalence of *E. coli* in comparison to other species could be due to being normal flora of human gut which is highly opportunistic in immunocompromised patients. When *E. coli* reaches out to the tissues other than its common site, it serves as an opportunistic pathogen. A number of virulence factors encoded by pathogenic strains of *E. coli* enable them to colonize the human body in spite of effective host defence [45].

In this study, all of the isolates of *E. coli* and *K. pneumoniae* were susceptible to colistin, polymyxin B and tigecycline, which is comparable to some previous findings [46, 47]. Resistance to fluoroquinolones among MDR Gram-negative bacteria is common and is expected to sustain and perhaps accelerate even if other antibiotics are used [55]. The prevalence of fluoroquinolone resistance is related to the intensity of antibiotics used, which may reduce the efficacy of drug in a progressive manner [56].

In this study, almost one fourth of *E. coli* isolates were resistant to carbapenem antibiotics. The resistance rate towards these antibiotics ranged from <3.0% to 21.0% in some of the previous studies from Nepal whereas 100% sensitivity towards imipenem was reported in some other studies [47, 57]. Production of beta-lactamase enzymes and the upregulation of efflux pump are suggested as the reasons for reduced susceptibility [58]. However, comparatively low resistance to carbapenem antibiotics reported in this study could be due

### Table 6 Antibiotic resistance profiles of colistin resistant and sensitive isolates

| Antibiotics | Colistin resistant *E. coli* (N = 4) (%) | Colistin sensitive *E. coli* (N = 175) (%) | Colistin resistant *K. pneumoniae* (N = 2) (%) | Colistin sensitive *K. pneumoniae* (N = 18) (%) |
|-------------|----------------------------------------|----------------------------------------|--------------------------------------------|------------------------------------------|
| Nitrofurantoin (NIT) | 0 | 5.7 | 100 | 33.3 |
| Cefotaxime (CTX) | 100 | 79.4 | 100 | 100 |
| Cotrimoxazole (COT) | 50 | 53.7 | 50 | 55.6 |
| Cefixime (CFM) | 100 | 62.3 | 100 | 55.6 |
| Amoxicillin (AMX) | 100 | 88 | 100 | 100 |
| Ofloxacin (OF) | 100 | 56 | 100 | 55.6 |
| Levofloxacin (LE) | 100 | 57.1 | 100 | 55.6 |
| Gentamicin (GEN) | 25 | 18.3 | 50 | 50 |
| Cefoperazone/sulbactam (CFS) | 50 | 60.6 | 100 | 66.7 |
| Meropenem (MRP) | 100 | 42.8 | 100 | 61.1 |
| Amikacin (AK) | 25 | 7.4 | 50 | 50 |
| Cefepime (CPM) | 75 | 37.1 | 100 | 55.6 |
| Ampicillin/sulbactam (A/S) | 25 | 14.9 | 50 | 50 |
| Tigecycline (TGC) | 100 | 44.6 | 100 | 50 |
| Doxycycline (DOX) | 25 | 16.6 | 100 | 16.7 |
| Piperacillin/tazobactam (PIT) | 100 | 50.3 | 100 | 55.6 |
| Amoxicillin/clavulanate (AMC) | 100 | 0 | 0 | 33.3 |
| Imipenem (IMP) | 0 | 6.9 | 0 | 0 |
| Ertapenem (ETP) | 0 | 0 | 0 | 0 |

Nitrofurantoin (NIT); cefotaxime (CTX); cotrimoxazole (COT); cefixime (CFM); amoxicillin (AMX); ofloxacin (OF); levofloxacin (LE); gentamycin (GEN); ceftazidime (CAZ); amoxicillin/clavulanate (AMC); amikacin (AK), cefoperazone/sulbactam (CFS); meropenem (MRP); imipenem (IMP); ertapenem (ETP); piperacillin/tazobactam (PIT); doxycycline (DOX); cefepime (CPM); ampicillin/sulbactam (A/S); tigecycline (TGC)
to the lower use of these antibiotics in the treatment of infections [59].

In AST assay of *K. pneumoniae*, two-third of the isolates were susceptible to fluoroquinolones and gentamicin. This finding is similar to another study reported from Nepal [60]. However, some other studies have reported lower sensitivity rates (less than 50.0%) [57, 61]. This variation may be due to the difference in the specimens included in the study as well as the exposure of isolates towards the antibiotics. All of the *K. pneumoniae* isolates were resistant to amoxicillin. Similar findings were reported in other studies [52, 60].

In addition, reduced sensitivity towards cephalosporin and carbapenem antibiotics was observed in this study. High resistant rate towards cephalosporins was also reported in previous studies [50, 52, 62, 63]. Multiple factors such as extensive use of drugs, production of beta-lactamases, or efflux pumps (which actively pump out these antibiotics) are attributable to the rise in the resistance against carbapenems [26, 64].

Among the total (343) isolates of *E. coli* and *K. pneumoniae*, more than half (58.0%) were MDR. MDR strains were predominant among the isolates of *E. coli* in comparison to *K. pneumoniae*. This result was in consistent with previous findings which also reported the rate of MDR in a range of 41.0%–67.7% [9, 20, 28, 52, 60] while lower than some other findings [8, 10]. In this study, the prevalence of MDR *E. coli* and *K. pneumoniae* was 59.3% and 48.8% respectively. Common risk factors associated with development of MDR are poor hygiene, misuse of antibiotics and absence of antimicrobial surveillance program [65, 66]. Higher rate of antibiotic resistance among *E. coli* and *Klebsiella* spp. is associated with their ability to produce different kinds of beta-lactamases primarily ESBL, AmpC and MBL, and carriage of resistance trait for quinolones and aminoglycosides in the plasmid [67]. In several hospitals in Nepal, the antibiotics used for the treatment of infected patients are effective in curing only a half of the cases whereas other half of the treatment course shows no response [68]. In addition, development of partial resistance by bacteria, most antibiotics intended to cure people are becoming less effective which might also be the reason of increasing prevalence of MDR reported in this study [26].

In this study, the prevalence of ESBL producing strains was found to be 41.1% among Gram-negative isolates. This result is comparable to some previous reports from Nepal [52, 69]. The prevalence of ESBL production was reported low in other studies [70–72]. The difference in the prevalence of ESBL production can be partially due to geometrical variations, type of specimens processed, and local practices of antibiotics prescription and use [73].

In our study, among 343 isolates of *E. coli* and *K. pneumoniae*, 12.5% were resistant to carbapenem. In earlier study reported from Kathmandu Model Hospital, the prevalence of carbapenem resistant ranged from 4.5% to 20.0% among the members of Enterobacteriaceae [74]. However, higher rate of carbapenem resistant among Enterobacteriaceae was reported in other studies [75, 76]. The difference in utilization of carbapenem antibiotics to treat infections in different study settings may be responsible for these variations [75].

Carbapenem resistant isolates were subjected to KPC and MBL production test phenotypically. In this assay, 30.2% and 60.5% isolates were KPC and MBL-producers respectively. Our findings are comparable to a previous finding [77]. The prevalence of KPC production in *E. coli* and *K. pneumoniae* was 33.3% and 20.0% respectively in our study. Similarly, MBL production was reported 63.6% in *E. coli* and 50.0% in *K. pneumoniae*. In a previous study, KPC production in *E. coli* was reported as 14.4% and 7.1% in *K. pneumoniae* [8]. Accordingly, another study reported comparatively lower incidence of MBL producing-*E. coli* and *K. pneumoniae* in different clinical samples in Central Nepal [78]. Similarly, lower rate of MBL (9.0%) and KPC (6.5%) production was reported in *E. coli* [79]. Another study from Iran reported 80.5% of *K. pneumoniae* isolates as KPC-producers [80]. This study revealed higher prevalence of MBL and KPC production in *E. coli* and *K. pneumoniae* which may be due to dissemination of plasmid encoded carbapenem resistance genes [59].

This study reported comparatively low prevalence (3.0%) of colistin resistant *E. coli* and *K. pneumoniae* isolates (3.0%). Similar result was reported in previous findings of 0.3% in Switzerland [81], 0.7% in Spain [82] and in a previous report of global antimicrobial surveillance programs [83, 84]. Low prevalence of the resistant isolates in our study may be attributable to the presence of low number of *mcr-1* positive bacteria and lesser use of colistin use for the treatment of community acquired infections [81]. However, other studies from India [85] and Thailand [86] reported the prevalence rate of colistin resistant as high as 32.0% and 71.3% respectively. In this study, rates of colistin resistance were different among the bacterial species. Higher prevalence of colistin resistance was reported in *K. pneumoniae* (10.0%) when compared to *E. coli* (2.2%). Different studies also showed that higher prevalence of colistin resistant *K. pneumoniae* than *E. coli* [87, 88]. The main risk factor for the development of colistin resistance is related to the extensive and irrational use of colistin in antimicrobial therapy [89].
MIC range of colistin for *E. coli* ranged from ≤ 2 µg/ml to 8 µg/ml which was lesser than that of *K. pneumoniae* (≤ 2 µg/ml to 16 µg/ml). This finding is in agreement with a previous study from China [90]. MIC range of *mcr-1* positive *Enterobacteriaceae* typically have a moderate level 4–16 mg/l of colistin resistant strains [91]. MIC of colistin resistant isolates carrying *mcr-1* was lower in this study. Exceptionally, MIC range of colistin in colistin resistant *K. pneumoniae* without *mcr-1* was high in this study. This result suggests that colistin resistance in *K. pneumoniae* might be associated with chromosomal mutations in *mcrB*, *phoP/phoQ*, *pmrA*, *pmrB*, *pmrC* and *crrABC* [92]. High MIC may also be due to strong selective pressure in the isolates. These strains may carry another variant of *mcr* gene [93].

**Strength and limitations**

This is the first study from Nepal which also determined the prevalence of *mcr-1* among colistin susceptible isolates. In addition, this study is one among a handful of studies that attempted to investigate the role of the beta-lactamases (ESBL, MBL, and carbapenemase) and acquisition of *mcr-1* among Gram-negative isolates. The findings of this study can be an important reference tool for policy makers and clinicians which can ameliorate the practice of antibiotic prescription and use in the country. However, this study suffers from some limitations. Firstly, this study was conducted among small population of a tertiary care centre so that the reported rates cover a limited geographical region and may not reflect overall picture of epidemiology across the country. Secondly, this study tested the acquisition of only one variant (*mcr-1*) of *mcr* gene; isolation and characterization of all variants (*mcr-1* to *mcr-9*) is suggested in future studies to predict the role of genes in conferring resistance. In addition, this study could not predict the origin and possible transferability of resistant strains. The insertion sequence ISApl1 plays an important role in the mobilization of this *mcr-1* gene. However, in this study only *mcr-1* gene was analysed. Therefore, further molecular analysis can better predict other possible mechanisms responsible for colistin resistance and role of insertion sequence in dissemination of this gene.

**Conclusion**

High burden of MDR strains in our study could be due to the pervasive and irrational practices of antibiotic prescription and use, as half of the Gram-negative isolates were found as drug-resistant. Moreover, the presence of colistin resistance and acquisition of *mcr-1* among clinical isolates warrants an imminent threat of no antibiotic era. Therefore, prompt action is recommended for proper infection control. Augmentation of diagnostic facilities, AMR surveillance, and antibiotic stewardship programs can be some effective measures to address the problem of drug-resistance in the country.

**Abbreviations**

AMR: Antimicrobial Resistance; AST: Antimicrobial susceptibility testing; CLSI: Clinical and Laboratory Standard Institute; CPE: Cytopathic effect; CRE: Carbapenem resistant *E. coli*; ESBL: Extended spectrum β-lactamase; GNB: Gram-negative bacteria; ICU: Intensive care unit; kDa: Kilo-Dalton; LPS: Lipopolysaccharide; mcr-1: Mobilized colistin resistance; MDR: Multidrug resistance; MIC: Minimum inhibitory concentration; ORF: Open reading frame; PCR: Polymerase chain reaction; UTI: Urinary tract infection; WHO: World Health Organization; WGS: Whole Genome Sequencing.

**Supplementary Information**

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**Additional file 1: Table S1.** Characteristics of infected patients and samples.

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**Authors’ contributions**

Conceiving and designing the study: DK, BD, MRB and KRR; supervision: MRB, BS, and PG; providing the primers for PCR: PRJ; lab work and data collection: DK, SB, AK; data curation: DK, SB, AK, MRB and KRR; data analysis: KRR, BD and MRB; drafting the manuscript: BD and KRR; reviewing, editing and finalizing the draft: KRR, PG, MRB and BD. All the authors read and approved the final manuscript.

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**Availability of data and materials**

The datasets used and/or analyzed during the current study available from the corresponding author on reasonable request.

**Declarations**

**Ethics approval and consent to participate**

This study was approved by Institutional Review committee of Kathmandu Model Hospital (Reg. No. 006-2019). Written informed consent was obtained from each patient enrolled in the study. This study was conducted in accordance with the Declaration of Helsinki.

**Consent for publication**

Not applicable.

**Competing interests**

All the authors declared that they have no competing interests.

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