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Taper junction failure in large-diameter metal-on-metal bearings

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Objectives

An ongoing prospective study to investigate failing metal-on-metal hip prostheses was commenced at our centre in 2008. We report on the results of the analysis of the first consecutive 126 failed mated total hip prostheses from a single manufacturer.

Methods

Analysis was carried out using highly accurate coordinate measuring to calculate volumetric and linear rates of the articular bearing surfaces and also the surfaces of the taper junctions. The relationship between taper wear rates and a number of variables, including bearing diameter and orientation of the acetabular component, was investigated.

Results

The measured rates of wear and distribution of material loss from the taper surfaces appeared to show that the primary factor leading to taper failure is the increased lever arm acting on this junction in contemporary large-diameter metal-on-metal hip replacements.

Conclusions

Our analysis suggests that varus stems, laterally engaging taper systems and larger head diameters all contribute to taper failure.

Keywords: Hip, Arthroplasty, Taper, Metal-on-metal, Large diameter, Adverse reaction to metal debris

Introduction

The last four years have seen an increasing number of reports of adverse soft-tissue reactions following metal-on-metal (MoM) arthroplasty of the hip.1-3 At first, there appeared to be no correlation between the incidence of these tissue reactions and either MoM total hip replacement (THR) or hip resurfacing.4 The 2011 report of the National Joint Registry for England and Wales (NJR)4 published failure rates of 29.0% for the Articulular Surface Replacement (ASR; DePuy, Leeds, United Kingdom) THR at six years, in contrast to a 9.6% failure rate for the ASR resurfacing.5 A clear difference in the performance of the two systems despite identical bearing surfaces. Some observers have stated that the failure of the ASR THR can be linked directly to the flawed design of the ASR acetabular component, leading to higher friction that propagates distally, stressing the modular junction and leading to release of debris from the taper junction.6 However, joint registry reports from England and Wales and
Australia suggest that this issue is not specific to the ASR, but that there is a deeper underlying problem.\textsuperscript{4,6} Both registries have shown that MoM THRs in general have not performed as well as conventional bearing surfaces. As shown in the Australian registry,\textsuperscript{6} this difference in revision rates becomes more emphasised as diameter of the bearing increases. Garbuz et al.,\textsuperscript{7} Langton et al.\textsuperscript{8} and Beaulé et al.\textsuperscript{9} have shown evidence that blood metal ion concentrations are elevated in THRs compared with their resurfacing counterparts in studies involving the Durom (Zimmer, Warsaw, Indiana), ASR and Conserve Plus systems (Wright Medical, Memphis, Tennessee), respectively. A recent prospective study\textsuperscript{10} in the United Kingdom comparing the Birmingham Hip Resurfacing (BHR; Smith & Nephew, Warwick, United Kingdom) with the BHR THR was terminated due to unacceptably high metal ion levels in patients receiving the THRs.

Retrieval studies have shown evidence of damage at the head–neck modular junction in patients suffering catastrophic tissue damage in association with minimal wear of the bearing surface.\textsuperscript{8,11} In the past, many authors have proposed that the mechanism of taper failure is primarily electrochemical in nature.\textsuperscript{10,12} However, previous analyses have been carried out largely using a simple visual quantification of “corrosion”. In this study we aimed to quantify the material loss from tapers, in order to identify risk factors for taper failure and in so doing propose a mechanism that may precipitate failure.

Materials and Methods

Equipment. At our centre, we have carried out a continuing prospective investigation into the failure of MoM hip devices since 2008. All DePuy MoM THRs received at Newcastle University up to September 2011 underwent full volumetric and linear wear assessment of the femoral and acetabular bearing surfaces as well as the articular surfaces of the taper junctions. This was done using a coordinate measuring machine (CMM) (Legex CMM; Mitutoyo, Tokyo, Japan) with an accuracy of 0.8 μm. The technique we used to obtain volumetric measurements of the bearing surfaces of MoM components has previously been published.\textsuperscript{13,14} We used a custom designed Matlab programme (The Mathworks Inc., Natick, Massachusetts) to analyse the taper surfaces. Using gravimetric analysis as the benchmark measurement technique, our taper analysis was found to be accurate to approximately 0.2 mm\textsuperscript{3}. DePuy components only were included in this analysis. The justification in limiting the research to DePuy products in the first instance was to avoid confounding factors including gross variations in metallurgy, engineering tolerances and material combinations of various stem–head combinations used by different manufacturers.

Patients and components. Only components with satisfactory radiographs suitable for analysis of acetabular component orientation using Ein-Bild-Roentgen-Analyse (EBRA) software (University of Innsbruck, Innsbruck, Austria)\textsuperscript{15} were included as well as those with a known reason for revision, mated femoral stem details and length of time in vivo. There were 111 tapers available for analysis in total. The majority of hips (n = 68, 61\%) were obtained from University Hospital of North Tees, where three consultant hip surgeons (CT, RL and an author, AVFN) performed the primary and revision surgeries. The demographics of the patients from whom the components were taken are provided in Table I. In a minority of cases where femoral stems were available, the trunnions also underwent the same analysis. Implants were received from centres in the United Kingdom and United States. The majority of implants (n = 104) were revised secondary to adverse reactions to metal debris (ARMD), the diagnosis of which was based on a combination of clinical history, examination and macroscopic and histological appearance of tissues at revision surgery.\textsuperscript{2}

In this study, the term “trunnion” refers to the intended articular area of the femoral stem (i.e., the “male” component of the modular junction). The term “taper” describes the area attached to the femoral head that is intended to contact the trunnion. It refers to either a sleeve that inserts into the femoral head (as with the ASR XL head system) or simply to the internal cone of the head in systems not using a sleeve adaptor (such as...
TAPER JUNCTION FAILURE IN LARGE-DIAMETER METAL-ON-METAL BEARINGS

VOL. 1, No. 4, APRIL 2012

the Pinnacle system (DePuy) that uses an “Articuleze” femoral head.

All the femoral heads in the study had been used in combination with DePuy stems that were manufactured from titanium (Ti)-aluminium (Al)-vanadium (V) alloy (Ti6Al4V). These stems were Corails and Summits (both DePuy).

As all but one of the implanted Pinnacle devices had a bearing diameter of 36 mm (the exception being 40 mm), the Pinnacle devices were initially analysed separately from the ASRs. The ASR bearing diameters varied in size, with a median of 22.75 mm (39 to 57). In this way two groups were created and analysed as separate entities in order to eliminate potentially confounding variables: 1) the ASR group (ASR bearing surface with Corail or Summit stems; n = 63); and 2) the Pinnacle group (Pinnacle MoM system with Corail or Summit stems; n = 48).

Visual inspection. In modular junctions with obvious macroscopic changes there was invariably a localised site of maximal damage where the taper had appeared to engage. The distance of this level of damage from the articular bearing surface we refer to from here on as the taper engagement level (TEL) (Figs 1 and 2). Using simple trigonometry and accounting for the anteroposterior plane only, we calculated the maximal possible lever arm acting on the TEL in the superior inferior direction. Figure 3 shows how this effective horizontal lever arm (HLA) distance was calculated. The lever arm distance is increased by an increasing head offset, increasing bearing diameter and an increasingly varus neck shaft angle.

Statistical analysis. The linear and volumetric wear rates of the tapers were examined using the Shapiro-Wilk test for normality and found to be non-parametric. Spearman rank correlation was therefore used to examine the relationships between taper wear rates and a number of variables: taper angle (obtained from unworn areas); bearing diameter; angles of inclination and anteversion of the acetabular component; the distance from the centre of rotation (COR) to the TEL; the HLA distance; volumetric bearing surface wear rates and nominal head offset. The effect of clearance on taper wear was also examined using the same method. A p-value < 0.05 was considered statistically significant.

Results

A total of 111 hips were available for analysis. There were 63 ASRs XL heads (12 Summit stems and 51 Corail stems; n = 63); and 2) the Pinnacle group (Pinnacle MoM system with Corail or Summit stems; n = 48).

Photograph of an Articular Surface Replacement (ASR) XL head with Corail taper adaptor. The arrows represent the taper engagement level.

Image from coordinate measuring machine (CMM) analysis of the Corail taper seen in Figure 1. The boldest red areas indicate the areas of maximal wear (i.e., the taper engagement level).

Radiograph showing the measurement of the horizontal lever arm (HLA) distance (bold black line). The HLA is the horizontal distance (in mm) from a line through the axis of the neck to the tip of the bearing surface (broken line) and the centre of the taper engagement level.

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stems) and 48 Articuleze heads (47 Corails and one Summit). A total of 38 tapers (34%) exhibited no identifiable surface change on visual inspection. Volumetric and linear wear analysis showed little or no distinction between these tapers when compared with unused, sterile tapers used as control specimens. Wear depths were less than 5 μm in each case. These components were revised secondary to the effects of excessive bearing surface wear, unexplained pain or pain due to loosened femoral/acetabular components. Tables II and III show the differences in tapers found to have obvious TELs/surface change and those without. The majority of retrieved specimens (n = 73) were found to have grossly abnormal taper surfaces. The patterns of surface changes were remarkable in their similarity. Figure 1 shows the macroscopic appearance of a typical sample. Essentially an area of significant damage could be seen (and often even palpated) in a localised circumferential band that corresponded to the insertion of the base of the trunnion (Figs 1 and 2). Proximal to this band (in anatomical terms), the trunnion had left an imprint of its machining grooves (Fig. 2). Scanning electron microscopy (SEM) images confirmed this phenomenon (Fig. 4). CMM wear analysis showed that the most damaged area in visual terms corresponded to the area with the maximal wear depths (Fig. 2). This was identified as the TEL. The areas in which the CMM showed there had been material loss were analysed using the SEM. It appeared that the ridges formed by the trunnion grooves had been flattened somewhat in these regions. Multiple pits had developed. The pits were localised, approximately ten microns in diameter and appeared to be partially filled with inclusion bodies (Fig. 5). Due to the imbedded particulate matter, it appeared likely that the surface changes had occurred primarily due to a mechanical process. Energy dispersive X-ray spectroscopy (EDX) analysis showed that the pits were rich in chromium and the presence of small amounts of chlorides and oxides suggested that corrosion was occurring locally. The surface immediately surrounding these pits showed no changes from the manufactured alloy. There was no difference identified between the patterns of surface change between the ASR and Articuleze specimens.

**The effect of bearing surface wear, clearance and offsets.** Taper linear and volumetric wear rates appeared to be unaffected by variations in clearance or bearing surface wear rates. There was a trend towards increasing taper damage and increasing head offset (Table IV).
The effect of bearing diameter. When the two groups were compared directly, the ASR tapers were found to have significantly greater rates of volumetric and linear wear than the Pinnacle tapers (Table V).

**Table V.** Comparison of linear and volumetric wear rates of the Articuleze versus Articular Surface Replacement (ASR) XL tapers

|                        | Articuleze (n = 48) | ASR XL (n = 63) | p-value* |
|------------------------|---------------------|-----------------|----------|
| Median linear wear rate (μm/yr) (range) | 1.39 (0.24 to 106.6) | 5.92 (0.57 to 32.78) | < 0.001 |
| Mean volumetric wear rate (mm³/yr) (range) | 0.127 (0.01 to 3.15) | 0.44 (0.02 to 8.34) | < 0.001 |

* Mann-Whitney U test

The effect of orientation of the acetabular component. No significant relationship was identified between cup inclination or anteversion and taper wear (Table VI). This was consistent with the lack of correlation between surface wear and taper wear (Table IV).

**Trunnion analysis.** There were 11 Corail stems available for analysis. Volumetric analysis proved difficult. This was due to the apparently less tightly controlled manufacturing form of the trunnions (which was confirmed on sterile, unused specimens). Despite this limitation, wear depths appeared to be indistinguishable from manufacturing variation, in that there was no measurable wear over the intended articular area of the trunnions. We were unable to measure the trunnions base in seven of the 11 samples as damage had occurred during extraction. Of the four loose stems that had not suffered damage during explantation, there was no measurable wear. SEM analysis of the trunnions also identified no obvious areas of wear or corrosion although further investigation of the retrieved stems is ongoing.

**Discussion**

This paper contains an in-depth examination of the modular junction of failed contemporary MoM THRs. It is the largest of its kind in existence. In past research papers

![Fig. 5](image_url)

Scanning electron microscopy (SEM) images of a taper that has experienced greater wear, at the same level of magnification as in Figure 4 (left), showing that the peaks and troughs caused by the impression of the machining grooves have been sheared off, leading to significant material loss, and at a higher magnification (right), showing the formation of pits with inclusion bodies, probably as a result of mechanical wear.

![Fig. 6](image_url)

Scatter graph showing the relationship between linear wear rates of the taper surfaces and the horizontal lever arm (HLA) distance (all taper components included) (ASR, Articular Surface Replacement).
taper junctions have been examined using visual scales. To our knowledge, no accurate quantification of volumetric material loss has previously been published. The results presented in the current paper show that significant volumetric material loss can take place at the modular junctions of modern large-diameter THR systems. This material loss can exceed that taking place at the bearing surface. The consistent pattern and location of maximal damage on the female taper is consistent with mechanical incompetence.

**Is taper failure due to the MoM bearing surface.** It is unquestionable that conventional THR is an extremely successful procedure. The 10-year survival of the most common hip prostheses used in Sweden is now over 95%. This is in contrast to the latest published results of large-diameter MoM THR systems that offer a 13.6% revision rate at seven years. The smaller 28 mm Metasul MoM bearing (Zimmer, Warsaw, Indiana) however appears to be functioning relatively successfully in a number of patients at long-term follow-up. It therefore seems unlikely from the evidence that the MoM bearing surface per se is the problem with the latest generation of MoM THRs. The stems associated with failure in this series are, without exception, titanium alloys. The practise of coupling a Ti stem with a CoCr taper has raised concerns of mixed material combinations leading to galvanic corrosion. However, Ti stems have been implanted with CoCr heads for many years with limited reports of gross clinical failures. It is unlikely either, therefore, that it is simply a mixed material coupling that is the root cause of failure. Indeed, previous reports of taper junction failures have included similar metal modular hips.

So why are tapers failing in the 21st century? There have been three obvious changes to the most recent designs of large-head MoM hips that we believe to be of paramount importance.

1. **A change in trunnion dimensions.** Trunnions have become shorter in length. The trade name of the DePuy taper of the standard group in this study is in fact the “Articuleze Mini Taper” (AMT). This is not a design change specific to DePuy. In fact, most commonly used trunnions are now only 10 mm to 12 mm in length. These changes were brought about to reduce the trunnion’s ‘skirt’ in order to increase the impingement-free range of movement. However, a reduction in length means that the base of the trunnion now often sits inside the taper. This increases the possibility of edge loading of the trunnion base. The subsequent increase in localised stress likely explains the circumferential pattern of surface damage seen on the standard stem taper surfaces in this investigation. Trunnions have also been slimmed down from 16 mm/14 mm diameter cones to the commonly used 14 mm/12 mm cones. A smaller diameter taper means less surface area for a successful interference fit and increases the potential for micromotion.

2. **A change in trunnion surface.** Most contemporary trunnions now have a ridged surface that has been machined into the material in order to accommodate ceramic heads. This makes financial sense for companies so they can manufacture one stem for multiple bearing surfaces. The machining grooves of the standard trunnion had left an imprint on the failed tapers in this study. We speculate that this plastic deformation of the taper surfaces leads to altered contact stresses and a potential for increased wear. The localised pits we observed may then allow secondary corrosion to take place over a greater surface area.

3. **A trend for increasing head size.** Larger diameter bearings have the potential to produce less wear and are less likely to dislocate. Understandably, these benefits proved irresistible to industry and surgeons alike. While head sizes increased through the years from 22 mm versions to a standard size male resurfacing femoral component of approximately 52 mm, there was no compensatory change in the morphology of the taper junction. In fact, as head sizes increased, taper junction sizes decreased (as described above). The findings of this retrieval analysis suggest that, while bearing diameter is significant, it is far from the only factor. In the ASR XL group in this study, head size was only weakly associated with taper wear rates. HLA distance proved to be the strongest predictor of taper wear rate in both groups. Consistent with this finding, when bearing diameter was eliminated as a variable (examination of the Articuleze group separately), the effect of an increased HLA distance became even more significant.

**Frictional torque.** An explanation for the damage observed at the modular junctions of large-diameter hip systems could be the increased frictional torque that is generated by the bearing surfaces. This mechanism of failure has support from experimental studies involving metal-on-polymer articulations. However, we can offer no physical evidence of a torsional force from this series of retrieved MoM explants. We have described in our previous work that the thumb printed wear pattern consistently identified on the tapers matched with SROM stems. This pattern of surface change is contrary to the idea that the femoral components are turning on the trunnion. Moreover, in this study the site of maximal taper damage was always found on the opposite side of the head to the location of the wear scar of the head (generally the posteroinferior aspect of the taper). This important finding implies a toggle effect with the mechanical action itself causing damage to the taper surface and opening the junction to the potential corrosive effects of physiological fluids. Finite element analysis studies of the stress distribution on large-diameter THR systems are consistent with the location of damage identified on the tapers in this study. Another argument against a torque issue is the absence of a significant relationship between bearing surface wear rates and taper wear rates. It is possible, however, that frictional torque initially destabilises the...
functions adequately and there is no ingress of biological fluids. We propose a chain of events resulting in the damage observed at the taper junctions in this series. If we assume initially that the taper and trunnion angles are significantly mismatched the trunnion engages with the taper at the base of the trunnions. As a result, there is a localised concentration of stress at this level. The TEL may be put under greater stress if it is supporting a larger diameter head, is connected to a varus stem, or is in a laterally positioned position (increased head offset). Micromotion ensues, opening up the taper junction to body fluids. Localised corrosion, secondary to removal of material by mechanical (wear) and chemical (corrosive) processes leads to metal ion/particulate release. Further damage at the TEL leads to penetration of the trunnion further into the taper potentiating the effects described above.

Clinical implications of the mechanism of taper failure. As described above, it has been a matter of debate whether it is primarily an increase in frictional torque or an increase in moment arm that is the underlying problem with these devices. The answer to this question has real implications for the present and future of hip arthroplasty. If the primary problem is an increase in moment arm then all hard-on-hard bearing surfaces with taper configurations similar to those described here must be considered at risk of taper failure. In light of recently published literature, we have serious concerns that all large diameter bearings could indeed be affected in a similar way to those described in this paper. As, on the basis of commercial sensitivity, most orthopaedic manufacturers do not divulge their precise implant specifications we cannot comment in this paper on other manufacturers’ MoM devices. What can be stated is that ceramic-on-ceramic (CoC) devices were designed to medialise the TEL, with the aim being to protect the ceramic material from stresses that may cause fracture. The resultant reduction in HLA distance may also confer protection to the taper. Indeed, CoC devices should also be protected somewhat from the effects of frictional torque as the smooth wettable ceramic bearing surfaces have a lower coefficient of friction than MoM bearing surfaces. A ceramic taper could also be expected to be less vulnerable to the effects of wear and corrosion. In spite of this, our advice to surgeons would be to urgently re-evaluate their need to implant large diameter bearing surfaces, irrespective of the bearing surfaces, in these times of uncertainty.

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D. J. Langton: Study design, Data collection, Data analysis, Development of measuring techniques, Writing of manuscript
R. Sidaginamale: Data collection, Data analysis
A. V. F. Nargol: Data collection, Data analysis, Study design, Writing of manuscript
J. K. Lord: Development of measurement technique, Data collection
T. J. Joyce: Study design, Data analysis, Development of analytical techniques, Writing of manuscript

ICMJE Conflict of Interest:
None declared

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