Patient Characteristics and Survival Outcomes of Non-Metastatic, Non-Clear Cell Renal Cell Carcinoma

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Background: Non-clear cell renal cell carcinoma (ccRCC) includes histologically and molecularly distinct subtypes such as papillary, chromophobe, collecting duct, and sarcomatoid RCC, with an incidence ranging from 20% to 25%. Oncologic outcomes and the role of adjuvant systemic therapy [vascular endothelial growth factor inhibitor (VEGFi) or immunotherapy] for non-ccRCC are not well-described.

Objective: To assess the incidence and survival outcomes of non-ccRCC subtypes in comparison to ccRCC.

Methods: The National Cancer Database was utilized to identify patients with non-metastatic RCC (T1–T4, N0–N1) between 2004 and 2015. The non-ccRCC cohort was further stratified by histologic subtype: papillary, chromophobe, sarcomatoid, and collecting duct RCC. Multivariable Cox regression models were used to compare overall survival (OS).

Results: The 5-year OS for chromophobe, papillary, clear cell, collecting duct, and sarcomatoid RCC was 91%, 82%, 81%, 44%, and 40%, respectively. After adjusting for clinicopathologic and treatment characteristics, there was no significant difference in OS between papillary RCC and ccRCC (p = 0.17). Patients with collecting duct and sarcomatoid subtypes were at over two times increased risk of death compared to patients with clear cell (p < 0.01 and p < 0.01, respectively). Conversely, patients with chromophobe RCC were at 36% decreased risk of death compared to ccRCC (p < 0.01).

Conclusions: This hospital-based analysis confirms that collecting duct and sarcomatoid histologic subtypes are uncommon and associated with poor survival after surgery when compared to the other RCC subtypes. Further studies are needed to evaluate the role of neoadjuvant and adjuvant systemic therapies in these subtypes to improve oncologic outcomes.

Keywords: renal cell carcinoma, papillary renal carcinoma, chromophobe carcinoma, sarcomatoid renal cell carcinoma, collecting duct carcinoma
INTRODUCTION

While the majority of renal cell carcinomas (RCCs) are clear cell RCC (ccRCC), non-ccRCCs comprise up to 25% of RCCs. Non-ccRCCs are further divided into histologic subtypes, including papillary (10%), chromophobe (5%), collecting duct (1%), and translocation RCC (<1%) (1). Sarcomatoid differentiation can be found in any subtype (2). A systematic review of 49 studies in 2015 found that systemic therapies for primarily metastatic RCC conferred poorer progression-free survival and overall survival (OS) in patients with non-ccRCC compared to those in patients with ccRCC (3). However, robust data regarding oncologic outcomes in patients with non-ccRCC, particularly with respect to neoadjuvant and adjuvant therapy, are more limited.

Currently, the standard treatment algorithm for localized or locoregional non-ccRCC is surgery followed by surveillance, mirroring management for ccRCC (4). While oncologic outcomes and the role of adjuvant therapy have not been well studied for localized non-ccRCC, there have been trials comparing systemic therapies in advanced non-ccRCC. Notably, the ESPN, ASPEN, and RECORD-3 trials compared sunitinib and everolimus (5–7). A meta-analysis found that sunitinib may be more effective than everolimus, but the difference in outcomes was not statistically significant (8). However, these trials were limited by not differentiating between histologic subtypes.

Recent molecular profiling has increasingly shown non-ccRCC to be a heterogenous disease (9). MET mutations are frequently present in papillary RCC, whereas mitochondrial alterations are frequently found in the chromophobe subtype (10, 11). The collecting duct subtype appears to be immunogenic, with upregulation of genes associated with T-cell activity along with lymphocytic infiltration on biopsy (12). Sarcomatoid RCCs may have increased frequency of mutations in TP53, CKDN2A, and NF2 (13). These molecular differences suggest that different systemic therapies may be required for the various subtypes of non-ccRCC.

In light of the rarity and lack of robust data for localized non-ccRCC, we utilized a large hospital-based dataset to describe oncologic outcomes of papillary, chromophobe, collecting duct, and ccRCC (primary site-specific codes: C649, C659) from 2004 to 2015 with age ≥18 years. We excluded patients with inadequate treatment and follow-up information, more than one cancer in a lifetime, and stage 0 or IV RCC. Patients were excluded if it was unknown whether they received radiation or chemotherapy or underwent surgery. In addition, patients without follow-up time beyond diagnosis or patients with unknown vital status were excluded.

Clinicopathologic Features

We described and analyzed the following characteristics in the NCDB: facility type (non-academic, academic), facility location (central, mountain and Pacific, New England vs. Atlantic), number of cases at the facility (<15 cases per year, ≥15 cases per year), sex, age (<65 years, ≥65 years), race/ethnicity, primary payor, median income per zip code (<$48,000, ≥$48,000), area (urban/rural, metro), distance to hospital (<50 miles, ≥50 miles), Charlson-Deyo score (0–1, 2–3), histology (chromophobe, collecting duct, papillary, sarcomatoid, clear cell), NCDB analytic stage (stages I, II, III), surgery (no surgery, ablation/excision, partial, simple, radical), regional lymph node surgery (yes, no), radiation, and chemotherapy.

Statistical Analysis

Baseline characteristics were summarized as frequency count and percentage. Cox regression models were used to assess the effect of patient and treatment factors with OS. Survival time was calculated from the date of diagnosis to death due to any cause; patients still alive were censored at last contact. To account for all discernible variables, including the possible dependency between patients seen at the same facility, a robust sandwich variance estimate was also used. In this model, patients are clustered within a facility; patients seen at the same facility will be more similarly treated than patients seen at different facilities. The failure times of patients within a cluster are then correlated, and the robust sandwich variance estimate adjusts for the intra-cluster correlation. Estimated effects are reported as hazard ratios (HRs) along with 95% confidence intervals. All tests were two-sided and assessed for significance at the 5% level using SAS v9.4 (SAS Institute, Cary, NC, USA). Because the patient, disease, and treatment characteristics under consideration are attempting to assess a latent variable process, it is necessary for the relationship between the covariates and OS.
to be evaluated jointly in the multivariable setting. In doing so, the unique contribution of each covariate to the hazard can be estimated while accounting for other confounding factors. Radiation is a component of some patients' treatment regimen. Thus, it has been included in the multivariable model to ensure that the effect of each component of the treatment regimen is being uniquely estimated.

**RESULTS**

Overall, 220,170 RCC patients were included in our analysis. A total of 178,066 patients were found to have non-metastatic ccRCC and 42,104 with non-ccRCC. The majority of the non-ccRCC cohort was composed of papillary and chromophobe subtypes, 27,510 (65.3%) and 12,760 (30.3%), respectively. There were notable differences in the distribution of demographic characteristics and tumor-specific information (Table 1). Patients with papillary histology were more commonly male (72.6% male vs. 27.4% female), and chromophobe histology was more likely to present in patients below age 65 years compared to those above 65 years of age (65.2% vs. 34.8%).

At the time of diagnosis or treatment, there were 73.8% collecting duct and 87.9% sarcomatoid RCC patients with poorly or undifferentiated histology. Conversely, only 37.2%, 30.1%, and 32.4% patients with chromophobe, clear cell, and papillary RCC had poorly or undifferentiated histology, respectively. Similarly, 49.1% and 55.2% of patients with collecting duct and sarcomatoid histology had stage III disease. While 12.2%, 16.0%, and 9.4% patients with chromophobe, clear cell, and papillary RCC had stage III disease, respectively.

A higher proportion of patients with collecting duct (63.0%) and sarcomatoid (71.7%) histology underwent radical surgery compared to patients with chromophobe, clear cell, and papillary histology. Similarly, regional lymph node surgery was performed in 28.9% and 35.1% patients with collecting duct and sarcomatoid histology. Regional lymph node surgery was less common in the remaining subtypes. In terms of radiation therapy, most patients with RCC did not receive radiation treatment. A higher proportion of patients with collecting duct (12.1%) and sarcomatoid (10.1%) RCC received chemotherapy compared to other histologies.

**Survival Outcomes**

Median follow-up for all histologies was 48.5 months. Chromophobe RCC was associated with favorable OS, with a 5-year OS of 91%. Clear cell and papillary subtypes had similar 5-year OS of 82% and 81%, respectively (Table 2). Collecting duct and sarcomatoid RCCs were associated with worse outcome, each having 44% and 40% 5-year OS, respectively.

**Multivariable Analysis**

Multivariable analysis included 6,723 chromophobe, 188 collecting duct, 19,086 papillary, and 1,083 sarcomatoid RCC with stage I–III RCC (Table 3). Age ≥65 years was associated with worse survival compared to patients aged <65 years (HR = 1.75, 95% CI: 1.69–1.82). Male sex (HR = 1.16, 95% CI: 1.13–1.19) was associated with worse outcome compared to female sex. Black race (HR = 1.11, 95% CI: 1.06–1.16) was associated with lower OS compared to White patients, while Hispanic (HR = 0.76, 95% CI 0.72–0.81) and Other (HR = 0.82, 95% CI 0.75–0.90) were associated with greater survival. Facilities with <15 cases per year was associated with worse outcome (HR = 1.07, 95% CI: 1.03–1.10) compared to facilities that treat ≥15 patients per year.

Well-differentiated (HR = 0.71, 95% CI: 0.69–0.73) and moderately differentiated (HR = 0.70, 95% CI: 0.67–0.73) histologies were associated with better outcome. Compared to stage I analytic stage group, stages II (HR = 1.35, 95% CI: 1.31–1.40) and III (HR = 1.94, 95% CI: 1.88–2.00) were associated with worse outcome. In terms of surgical treatment, compared to radical resection, no surgical treatment (HR = 2.92, 95% CI: 2.68–3.18) and ablation/excision (HR = 1.19, 95% CI: 1.12–1.27) were associated with increased adverse outcome. Interestingly, partial resection was associated with improved OS (HR = 0.61, 95% CI: 0.58–0.63) compared to radical resection, while OS with simple resection was not significantly different from radical resection. Regional lymph node surgery was associated with worse survival outcome (HR = 1.24, 95% CI: 1.19–1.28) compared to no regional lymph node surgery. Radiation treatment (HR = 1.90, 95% CI: 1.58–2.29) was associated with adverse outcome, and patients who received chemotherapy had decreased survival (HR = 1.79, 95% CI: 1.64–1.95). Relative to clear cell, collecting duct (HR = 2.26, 95% CI: 1.82–2.82) and sarcomatoid (HR = 2.60, 95% CI: 2.33–2.91) were associated with poorer OS, chromophobe (HR = 0.64, 95% CI: 0.59–0.68) was associated with better OS, and papillary (HR = 1.03, 95% CI: 0.99–1.07) was not significantly different.

**DISCUSSION**

We analyzed a large hospital-based dataset to demonstrate several key findings regarding non-ccRCC. Our results demonstrate that 1) patients with collecting duct and sarcomatoid RCC present with higher stage and have significantly inferior oncologic outcomes relative to other subtypes and 2) patients who received radiation or chemotherapy had worse OS, although they are likely some of the sickest patients with other unmeasured risk factors (genetic mutations) for poor outcomes for any non-ccRCC subtype. These findings highlight the unmet need for more effective therapies for non-ccRCC.

Our findings are consistent with the existing literature regarding presenting characteristics of non-ccRCC. As demonstrated in prior studies, patients with the collecting duct and sarcomatoid subtypes presented more commonly with clinical T3+ and metastatic than regional disease (14, 15). Additionally, case volume was associated with outcomes, as patients treated at facilities that treat <15 patients per year experienced worse survival in our analysis. Black race was associated with both worse presentation and worse outcomes.
### TABLE 1 | Patient demographics and treatment.

| Covariate | Statistics | Level | Chromophobe | Clear Cell | Collecting Duct | Papillary | Sarcomatoid | Overall |
|-----------|------------|-------|-------------|------------|----------------|----------|-------------|---------|
|           | N (Col %)  |       | N = 12,760  | N = 178,066 | N = 27,510      | N = 1,561 | N = 220,170 |
| Facility Type |            |       |             |             |                |          |             |
| N (Col %)  | Academic   |       |             |             |                |          |             |
|           | Non-academic|       |             |             |                |          |             |
|           | Missing    |       |             |             |                |          |             |
|           | Central    |       |             |             |                |          |             |
| Cases for Year of Diagnosis |            |       |             |             |                |          |             |
| N (Col %)  | <15 cases  |       |             |             |                |          |             |
|           | 15+ cases  |       |             |             |                |          |             |
| Sex        | Male       |       |             |             |                |          |             |
|           | Female     |       |             |             |                |          |             |
| Age        | <65 years  |       |             |             |                |          |             |
|           | 65+ years  |       |             |             |                |          |             |
| Race/Ethnicity |          |       |             |             |                |          |             |
| N (Col %)  | Black      |       |             |             |                |          |             |
|           | Hispanic   |       |             |             |                |          |             |
|           | Other      |       |             |             |                |          |             |
|           | White      |       |             |             |                |          |             |
| Primary Payor |          |       |             |             |                |          |             |
| N (Col %)  | Not insured|       |             |             |                |          |             |
|           | Private    |       |             |             |                |          |             |
|           | Public     |       |             |             |                |          |             |
|           | Missing    |       |             |             |                |          |             |
| Median Income Quartiles 2008–2012 |            |       |             |             |                |          |             |
| N (Col %)  | <$48,000   |       |             |             |                |          |             |
|           | $48,000+   |       |             |             |                |          |             |
| Area       | Missing    |       |             |             |                |          |             |
| N (Col %)  | Metro      |       |             |             |                |          |             |
|           | Urban/Rural|       |             |             |                |          |             |
|           | Missing    |       |             |             |                |          |             |
| Distance to hospital |          |       |             |             |                |          |             |
| N (Col %)  | <50 miles  |       |             |             |                |          |             |
|           | 50+ miles  |       |             |             |                |          |             |
| Charlson–Deyo Score |          |       |             |             |                |          |             |
| N (Col %)  | 0–1        |       |             |             |                |          |             |
| Grade      | 2–3        |       |             |             |                |          |             |
| N (Col %)  | Well differentiated|       |             |             |                |          |             |
|           | Moderately differentiated |       |             |             |                |          |             |
|           | Poorly or Undifferentiated |       |             |             |                |          |             |
| Stage      | Missing    |       |             |             |                |          |             |
| N (Col %)  | Stage I    |       |             |             |                |          |             |
|           | Stage II   |       |             |             |                |          |             |
|           | Stage III  |       |             |             |                |          |             |

(Continued)
relative to others. Nearly a quarter of collecting duct RCC was observed in the Black race and was found to have higher grade and stage. Also, carrying no health insurance or public insurance was associated with worse survival, and earning <$48,000 per residential zip code was associated with worse outcomes.

With respect to oncologic outcomes, our findings also confirm that collecting duct and sarcomatoid histologies are associated with worse survival compared to other types of RCC, with over two times increased risk of death compared to patients with ccRCC. Conversely, patients with chromophobe were at 36% decreased risk of death compared to the clear cell, which is consistent with prior literature (15). OS for papillary RCC was not found to be significantly different from RCC (Figure 1). Other findings may have been influenced by the small sample size and uncontrolled selection bias: 1) regional lymphadenectomy was associated with worse outcomes, 2) adjuvant radiation was not associated with improved survival, and 3) adjuvant chemotherapy was not associated with improved survival. Vascular endothelial growth factor (VEGF), mammalian target of rapamycin (mTOR), and immune checkpoint inhibitors have shown significant clinical benefit in metastatic RCC (16). These agents are being evaluated in the adjuvant setting for non-metastatic RCC. For patients with high-risk stage III ccRCC, adjuvant sunitinib was approved by the Food and Drug Administration (FDA) in 2017 based on the S-TRAC trial, which showed improved disease-free survival (DFS) relative to placebo (17). However, due to lack of OS benefit and concerns with toxicity, adjuvant sunitinib is infrequently administered in practice. In the ASSURE clinical trial, which assessed patients with resected RCC, of whom 21% had non-ccRCC, adjuvant sunitinib or sorafenib did not show DFS benefit in comparison to placebo (18). Due to these studies, adjuvant sunitinib for stage I–III non-ccRCC is not recommended.

Currently, the role of adjuvant immune checkpoint inhibitors is unknown. In the metastatic setting, the combination of nivolumab and ipilimumab has shown better progression-free survival and OS in comparison to sunitinib in patients with metastatic sarcomatoid RCC (19). Currently, there are multiple ongoing phase III clinical trials evaluating the role of immune checkpoint inhibitors in the adjuvant setting of RCC with or without sarcomatoid features (20). In the adjuvant study evaluating the efficacy of nivolumab, any RCC histologic subtype is allowed.

Our study has several limitations. Due to the rarity of collecting duct and sarcomatoid subtypes, despite our inclusion of more than 220,000 patients with stage I–III RCC, there were only 273 patients with collecting duct and 1,561 patients for sarcomatoid histology, and the number of patients for both subtypes decreased even further with multivariate analysis, as patients without matching variables were excluded from the multivariate analysis. Furthermore, the NCDB dataset is limited by additional unknown confounding variables and missing data. NCDB does not collect specific granular treatment-related information regarding systemic therapy such as chemotherapy, VEGF, mTOR inhibitors, or immunotherapy types, and hence, it was not feasible to derive more specific treatment-associated outcomes. Limited economic factors

### TABLE 1 | Continued

| Covariate                           | Statistics | Level | Histology | Overall |
|-------------------------------------|------------|-------|-----------|---------|
|                                     |            |       | Chromophobe | Clear Cell | Collecting Duct | Papillary | Sarcomatoid |         |
|                                     |            |       | N = 12,760 | N = 178,066 | N = 27,510 | N = 1,561 | N = 220,170 |
| Surgery                             | N (Col %)  | None  | 167 (1.3) | 10,245 (5.8) | 8 (2.9) | 674 (2.5) | 45 (2.9) | 11,139 (5.1) |
|                                     | N (Col %)  | Ablation/Excision | 401 (3.1) | 10,816 (6.1) | 2 (0.7) | 1,884 (6.8) | 23 (1.5) | 13,126 (6.0) |
|                                     | N (Col %)  | Partial | 4,654 (36.5) | 53,142 (29.8) | 35 (12.8) | 11,904 (43.3) |         | 69,892 (31.7) |
|                                     | N (Col %)  | Simple | 1,390 (10.9) | 19,396 (10.9) | 56 (20.5) | 2,723 (9.9) | 217 (13.9) | 23,782 (10.8) |
|                                     | N (Col %)  | Radical | 6,148 (48.2) | 84,467 (47.4) | 172 (63.0) | 10,325 (37.5) |         | 102,231 |
| Regional Lymph Node Surgery         | N (Col %)  | No    | 11,233 (88.8) | 159,587 | 192 (71.1) | 25,113 | 999 (64.9) | 197,124 |
|                                     | N (Col %)  | Yes  | 1,417 (11.2) | 16,866 (9.6) | 78 (28.9) | 2,176 (8.0) | 541 (35.1) | 21,078 (9.7) |
|                                     | N (Col %)  | Missing | 110 | 1,613 | 3 | 221 | 21 | 1,968 |
| Radiation                           | N (Col %)  | No    | 12,746 (99.9) | 177,492 | 265 (97.1) | 27,462 | 1,534 (98.3) | 219,499 |
|                                     | N (Col %)  | Yes  | 14 (0.1) | 574 (3.3) | 8 (2.9) | 48 (0.2) | 27 (1.7) | 671 (0.3) |
| Chemotherapy                        | N (Col %)  | No    | 12,655 (99.2) | 175,643 (98.6) | 240 (87.9) | 27,250 | 1,403 (89.9) | 217,191 |
|                                     | N (Col %)  | Yes  | 105 (0.8) | 2,423 (1.4) | 33 (12.1) | 260 (0.9) | 158 (10.1) | 2,979 (1.4) |

### TABLE 2 | The 5-year OS of non-ccRCC compared to ccRCC.

| Variable | Level | 5-Year OS (95% CI) |
|----------|-------|--------------------|
| Histology | Clear Cell | 81% (80%–81%) |
|          | Chromophobe | 91% (90%–92%) |
|          | Collecting Duct | 44% (37%–50%) |
|          | Papillary | 82% (82%–83%) |
|          | Sarcomatoid | 40% (37%–43%) |

cRCC, clear cell renal cell carcinoma; OS, overall survival.
Facility Type
- Non-academic: 91,200
- Academic: 60,056

Facility Location
- Central: 68,701
- Mountain and Pacific: 21,949
- New England and Atlantic: 60,606

Cases for Year of Diagnosis
- <15 cases: 24,784
- 15+ cases: 126,472

Sex
- Male: 91,605
- Female: 59,651

Age
- 65+ years: 60,644
- <65 years: 90,612

Race/Ethnicity
- Black: 15,920
- Hispanic: 10,083
- Other: 4,365
- White: 120,888

Primary Payor
- Not insured: 4,859
- Public: 71,712
- Private: 74,685

Median Income Quartiles 2008-2012
- <$48,000: 63,785
- $48,000+: 87,471

Area
- Urban/Reural: 27,507
- Metro: 123,749

Distance to hospital
- 50+ miles: 23,351
- <50 miles: 127,905

Charlson–Deyo Score
- 2–3: 13,818
- 0–1: 137,438

Grade
- Well differentiated: 21,089
- Moderately differentiated: 82,571
- Poorly or Undifferentiated: 47,596

Histology
- Chromophobe: 6,723
- Collecting Duct: 188
- Papillary: 19,086
- Sarcomatoid: 1,083
- Clear Cell: 124,176

NCDB Analytic Stage Group
- Stage II: 17,695
- Stage III: 24,540
- Stage I: 109,021

Surgery
- None: 1,787
- Ablation/Excision: 5,660
- Partial: 49,997
- Simple: 16,100
- Radical: 78,112

Regional Lymph Node Surgery
- Yes: 15,465
- No: 135,791

Radiation
- Yes: 350
- No: 150,906

Chemotherapy
- Yes: 1,942
- No: 149,314

An et al. Non-Clear Cell Renal Cell Carcinoma

Collecting duct and sarcomatoid histologies were uncommon but were more likely to present with higher stage and have worse survival when compared to other RCC subtypes. Consistent with the current standard of care, surgical treatment for stage I–III
RCC showed the greatest survival benefit compared to those without surgery or ablation procedures only. Further studies are needed to evaluate effective adjuvant treatment for non-ccRCC, particularly to improve outcomes for the collecting duct and sarcomatoid subtypes due to significantly worse survival compared to other subtypes.

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/supplementary material. Further inquiries can be directed to the corresponding author.

AUTHOR CONTRIBUTIONS

JA: Conception and design, collection and assembly of data, data analysis and interpretation, article writing, final approval of article. VP: Conception and design, collection and assembly of data, data analysis and interpretation, article writing, final approval of article. AC: Conception and design, article writing, final approval of article. JR: Data analysis and interpretation, article writing, final approval of article. JJ: Data analysis and interpretation, article writing, final approval of article. SM: Collection and assembly of data, data analysis and interpretation, article writing, final approval of article. RG: Conception and design, collection and assembly of data, data analysis and interpretation, article writing, final approval of article. All authors (a) have made substantial contributions to the work including conception, performance, or interpretation of data and (b) writing the article, (c) approved the final version to be published, and (d) agreed to be accountable for the accuracy and integrity of the work.

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