Feature Embedding for Dependency Parsing

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Abstract

In this paper, we propose an approach to automatically learning feature embeddings to address the feature sparseness problem for dependency parsing. Inspired by word embeddings, feature embeddings are distributed representations of features that are learned from large amounts of auto-parsed data. Our target is to learn feature embeddings that can not only make full use of well-established hand-designed features but also benefit from the hidden-class representations of features. Based on feature embeddings, we present a set of new features for graph-based dependency parsing models. Experiments on the standard Chinese and English data sets show that the new parser achieves significant performance improvements over a strong baseline.

1 Introduction

Discriminative models have become the dominant approach for dependency parsing (Nivre et al., 2007; Zhang and Clark, 2008; Hatori et al., 2011). State-of-the-art accuracies have been achieved by the use of rich features in discriminative models (Carreras, 2007; Koo and Collins, 2010; Bohnet, 2010; Zhang and Nivre, 2011). While lexicalized features extracted from non-local contexts enhance the discriminative power of parsers, they are relatively sparse. Given a limited set of training data (typically less than 50k sentences for dependency parsing), the chance of a feature occurring in the training data but not in the test data can be high.

Another limitation on features is that many are typically derived by (manual) combination of atomic features. For example, given the head word ($w_h$) and part-of-speech tag ($p_h$), dependent word ($w_d$) and part-of-speech tag ($p_d$), and the label ($l$) of a dependency arc, state-of-the-art dependency parsers can have the combined features: $[w_h; p_h]$, $[w_h; p_h; w_d; p_d]$, $[w_h; p_h; w_d]$, and so on, in addition to the atomic features: $[w_h]$, $[p_h]$, etc. Such combination is necessary for high accuracies because the dominant approach uses linear models, which can not capture complex correlations between atomic features.

We tackle the above issues by borrowing solutions from word representations, which have been intensely studied in the NLP community (Turian et al., 2010). In particular, distributed representations of words have been used for many NLP problems, which represent a word by information from the words it frequently co-occurs with (Lin, 1997; Curran, 2005; Collobert et al., 2011; Bengio, 2009; Mikolov et al., 2013b). The representation can be learned from large amounts of raw sentences, and hence used to reduce OOV rates in test data. In addition, since the representation of each word carries information about its context words, it can also be used to calculate word similarity (Mikolov et al., 2013a), or used as additional semantic features (Koo et al., 2008).

In this paper, we show that a distributed representation can be learned for features also. Learned from large amount of automatically parsed data, the representation of each feature can be defined on the

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features it frequently co-occurs with. Similar to words, the feature representation can be used to reduce the rate of unseen features in test data, and to capture inherent correlations between features. Borrowing terminologies from word embeddings, we call the feature representation feature embeddings.

Compared with the task of learning word embeddings, the task of learning feature embeddings is more difficult because the size of features is much larger than the vocabulary size and tree structures are more complex than word sequences. This requires us to find an effective embedding format and an efficient inference algorithm. Traditional LSA and RNN (Collobert et al., 2011; Bengio, 2009) models turn out to be very slow for feature embeddings. Recently, Mikolov et al. (2013a) and Mikolov et al. (2013b) introduce efficient models to learn high-quality word embeddings from extremely large amounts of raw text, which offer a possible solution to the efficiency issue of learning feature embeddings. We adapt their approach for learning feature embeddings, showing how an unordered feature context can be used to learn the representation of a set of complex features. Using this method, a large number of embeddings are trained from automatically parsed texts, based on which a set of new features are designed and incorporated into a graph-based parsing model (McDonald and Nivre, 2007).

We conduct experiments on the standard data sets of the Penn English Treebank and the Chinese Treebank V5.1. The results indicate that our proposed approach significantly improves parsing accuracies.

2 Background

In this section, we introduce the background of dependency parsing and build a baseline parser based on the graph-based parsing model proposed by McDonald et al. (2005).

2.1 Dependency parsing

Given an input sentence \( x = (w_0, w_1, ..., w_i, ..., w_m) \), where \( w_0 \) is ROOT and \( w_i \) \( (i \neq 0) \) refers to a word, the task of dependency parsing is to find \( y^* \) which has the highest score for \( x \),

\[
y^* = \arg \max_{y \in Y(x)} \text{score}(x, y)
\]

where \( Y(x) \) is the set of all the valid dependency trees for \( x \). There are two major models (Nivre and McDonald, 2008): the transition-based model and graph-based model, which showed comparable accuracies for a wide range of languages (Nivre et al., 2007; Bohnet, 2010; Zhang and Nivre, 2011; Bohnet and Nivre, 2012). We apply feature embeddings to a graph-based model in this paper.

2.2 Graph-based parsing model

We use an ordered pair \((w_i, w_j) \) to define a dependency relation in tree \( y \) from word \( w_i \) to word \( w_j \) (\( w_i \) is the head and \( w_j \) is the dependent), and \( G_x \) to define a graph that consists of a set of nodes \( V_x = \{w_0, w_1, ..., w_i, ..., w_m\} \) and a set of arcs (edges) \( E_x = \{(w_i, w_j) | i \neq j, w_i \in V_x, w_j \in (V_x - \{w_0\})\} \). The parsing model of McDonald et al. (2005) searches for the maximum spanning tree (MST) in \( G_x \).

We denote \( Y(G_x) \) as the set of all the subgraphs of \( G_x \) that are valid spanning trees (McDonald and Nivre, 2007). The score of a dependency tree \( y \in Y(G_x) \) is the sum of the scores of its subgraphs,

\[
\text{score}(x, y) = \sum_{g \in y} \text{score}(x, g) = \sum_{g \in y} f(x, g) \cdot w
\]

where \( g \) is a spanning subgraph of \( y \), which can be a single arc or adjacent arcs, \( f(x, g) \) is a high-dimensional feature vector based on features defined over \( g \) and \( x \), and \( w \) refers to the weights for the features. In this paper we assume that a dependency tree is a spanning projective tree.

2.3 Baseline parser

We use the decoding algorithm proposed by Carreras (2007) and use the Margin Infused Relaxed Algorithm (MIRA) (Crammer and Singer, 2003; McDonald et al., 2005) to train feature weights \( w \). We use the feature templates of Bohnet (2010) as our base feature templates, which produces state-of-the-art accuracies. We further extend the features by introducing more lexical features to the base features. The
base feature templates are listed in Table 1, where \( h \) and \( d \) refer to the head, the dependent, respectively, \( c \) refers to \( d \)'s sibling or child, \( b \) refers to the word between \( h \) and \( d \), \( +1 \) \((-1)\) refers to the next (previous) word, \( w \) and \( p \) refer to the surface word and part-of-speech tag, respectively, \([wp] \) refers to the surface word or part-of-speech tag, \( d(h,d) \) is the direction of the dependency relation between \( h \) and \( d \), and \( d(h,d,c) \) is the directions of the relation among \( h \), \( d \), and \( c \).

We train a parser with the base features and use it as the Baseline parser. Defining \( f_b(x,g) \) as the base features and \( w_b \) as the corresponding weights, the scoring function becomes,

\[
score(x,g) = f_b(x,g) \cdot w_b
\]  

### 3 Feature Embeddings

Our goal is to reduce the sparseness of rich features by learning a distributed representation of features, which is dense and low dimensional. We call the distributed feature representation **feature embeddings**. In the representation, each dimension represents a hidden-class of the features and is expected to capture a type of similarities or share properties among the features.

The key to learn embeddings is making use of information from a local context, and to this end various methods have been proposed for learning word embeddings. Lin (1997) and Curran (2005) use the count of words in a surrounding word window to represent distributed meaning of words. Brown et al. (1992) uses bigrams to cluster words hierarchically. These methods have been shown effective on words. However, the number of features is much larger than the vocabulary size, which makes it infeasible to apply them on features. Another line of research induce word embeddings using neural language models (Bengio, 2008). However, the training speed of neural language models is too slow for the high dimensionality of features. Mikolov et al. (2013b) and Mikolov et al. (2013a) introduce efficient methods to directly learn high-quality word embeddings from large amounts of unstructured raw text. Since the methods do not involve dense matrix multiplications, the training speed is extremely fast.

We adapt the models of Mikolov et al. (2013b) and Mikolov et al. (2013a) for learning feature embeddings from large amounts of automatically parsed dependency trees. Since feature embeddings have a high computational cost, we also use Negative sampling technique in the learning stage (Mikolov et al., 2013b). Different from word embeddings, the input of our approach is features rather than words, and the feature representations are generated from tree structures instead of word sequences. Consequently,
we give a definition of unordered feature contexts and adapt the algorithms of Mikolov et al. (2013b) for feature embeddings.

### 3.1 Surrounding feature context

The most important difference between features and words is the contextual structure. Given a sentence \( x = w_1, w_2, ..., w_n \) and its dependency tree \( y \), we define the \( M \)-step context as a set of relations reachable within \( M \) steps from the current relation. Here one step refers to one dependency arc. For instance, the one-step context includes the surrounding relations that can be reached in one arc, as shown in Figure 1. In the figure, for the relation between “with” and “fork”, the relation between “ate” and “with” is in the one-step context, while the relation between “He” and “ate” is in the two-step context because it can be reached via the arc between “ate” and “with”. A larger \( M \) results in more contextual features and thus might lead to a more accurate embedding, but at the expense of training speed.

Based on the \( M \)-step context, we use surrounding features to represent the features on the current dependency relations. The surrounding features are defined on the relations in the \( M \)-step context. Take 1-step context as an example. Figure 2 shows the representations for the current relation between “with” and “fork” in Figure 1. Given the current relation and the relations in its one-step context, we generate the features based on the base feature templates. In Figure 2 the current feature “f1:with, fork, R” can be represented by the surrounding features “cf1:ate, with, R” and “cf1: fork, a, L” based on the template “T1: w_i, w_j, d(h, d)”. Similarly, all the features on the current relation are represented by the features on the relations in the one-step context. To reduce computational cost, we generate for every feature its contextual features based on the same feature template. As a result, the embeddings for each feature template is trained separately. In the experiments, we use one-step context for learning feature embeddings.

### 3.2 Feature Embedding model

We adapt the models of Mikolov et al. (2013b) and Mikolov et al. (2013a) to infer feature embeddings (FE). Based on the representation of surrounding context, the input to the learning models is a set of features and the output is feature embeddings as shown in Figure 3. For each dependency tree in large amounts of auto-parsed data, we generate the base features and associate them with their surrounding contextual features. Then all the base features are put into a set, which is used as the training instances for learning models.

In the embedding model, we use the features on the current dependency arc to predict the surrounding features, as shown in Figure 4. Given sentences and their corresponding dependency trees \( Y \), the objective of the model is to maximize the conditional log-likelihood of context features,

\[
\sum_{y \in Y} \sum_{f \in F_y} \sum_{cf \in CF_f} \log(p(cf|f))
\]

(3)
where $F_y$ is a set of features generated from tree $y$, $CF_f$ is the set of surrounding features in the $M$-step context of feature $f$. $p(cf|f)$ can be computed by using the softmax function (Mikolov et al., 2013b), for which the input is $f$ and the output is $cf$.

$$p(cf|f) = \frac{\exp(v'_cf^T v_f)}{\sum_{i=1}^{F} \exp(v'_cf_i^T v_f)}$$

(4)

where $v_f$ and $v'_f$ are the input and output vector representations of $f$, and $F$ is the number of features in the feature table. The formulation is impractical for large data because the number of features is large (in the millions) and the computational cost is too high.

To compute the probabilities efficiently, we use the Negative sampling method proposed by Mikolov et al. (2013b), which approximates the probability by the correct example and $K$ negative samples for each instance. The formulation to compute $\log(p(cf|f))$ is,

$$\log \sigma(v'_cf^T v_f) + \sum_{k=1}^{K} E_{cf_k \sim P(cf)}[\log \sigma(-v'_cf_k^T v_f)]$$

(5)

where $\sigma(z) = 1/(1 + \exp(-z))$ and $P(f)$ is the noise distribution on the data. Following the setting of Mikolov et al. (2013b), we set $K$ to 5 in our experiments.

We predict the set of features one by one. Stochastic gradient ascent is used to perform the following iterative update after predicting the $i^{th}$ feature,

$$\theta \leftarrow \theta + \alpha \left( \frac{\partial \sum_{cf} \log(p(cf|f))}{\partial \theta} \right)$$

(6)

where $\alpha$ is the learning rate and $\theta$ includes the parameters of the model and the vector representations of features. The initial value of $\alpha$ is 0.025. If the log-likelihood does not improve significantly after one update, the rate is halved (Mikolov et al., 2009).

### 3.3 Distributed representation

Based on the proposed surrounding context, we use the feature embedding model with the help of the Negative sampling method to learn feature embeddings. For each base template $T_i$, the distributed representations are stored in a matrix $M_i \in \mathbb{R}^{d \times |F_i|}$, where $d$ is the number of dimensions (to be chosen in the experiments) and $|F_i|$ is the size of the features $F_i$ for $T_i$. For each feature $f \in F_i$, its vector is $v_f = [v_1, ..., v_d]$. 

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**Figure 3:** Input feature set. **Figure 4:** The feature embedding model.
4 Parsing with feature embeddings

In this section, we discuss how to apply the feature embeddings to dependency parsing.

4.1 FE-based feature templates

The base parsing model contains only binary features, while the values in the feature embedding representation are real numbers that are not in a bounded range. If the range of the values is too large, they will exert much more influence than the binary features. To solve this problem, we define a function \( \Phi(v_i) \) (details are given in Section 4.3) to convert real values to discrete values. The vector \( v_f = [v_1, ..., v_d] \) is converted into \( v_f^N = [\Phi(v_1), ..., \Phi(v_d)] \).

We define a set of new feature templates for the parsing models, capturing feature embedding information. Table 2 shows the new templates, where \( T(f) \) refers to the base template type of feature \( f \). We remove any new feature related to the surface form of the head if the word is not one of the Top-N most frequent words in the training data. We used \( N=1000 \) for the experiments, which reduces the size of the feature sets.

4.2 FE parser

We combine the base features with the new features by a new scoring function,

\[
\text{score}(x, g) = f_b(x, g) \cdot w_b + f_e(x, g) \cdot w_e
\]

(7)

where \( f_b(x, g) \) refers to the base features, \( f_e(x, g) \) refers to the FE-based features, and \( w_b \) and \( w_e \) are their corresponding weights, respectively. The feature weights are learned during training using MIRA (Crammer and Singer, 2003; McDonald et al., 2005).

We use the same decoding algorithm in the new parser as in the Baseline parser. The new parser is referred to as the FE Parser.

4.3 Discretization functions

There are various functions to convert the real values in the vectors into discrete values. Here, we use a simple method. First, for the \( i^{th} \) base template, the values in the \( j^{th} \) dimension are sorted in decreasing order \( L_{ij} \). We divide the list into two parts for positive \( (L_{ij+}) \) and negative \( (L_{ij-}) \), respectively, and define two functions. The first function is,

\[
\Phi_1(v_j) = \begin{cases} 
+B1 & \text{if } v_j \text{ is in top 50\% in } L_{ij+} \\
+B2 & \text{if } v_j \text{ is in bottom 50\% in } L_{ij+} \\
-B1 & \text{if } v_j \text{ is in top 50\% in } L_{ij-} \\
-B2 & \text{if } v_j \text{ is in bottom 50\% in } L_{ij-} 
\end{cases}
\]

The second function is,

\[
\Phi_2(v_j) = \begin{cases} 
+B1 & \text{if } v_j \text{ is in top 50\% in } L_{ij+} \\
-B2 & \text{if } v_j \text{ is in bottom 50\% in } L_{ij-} 
\end{cases}
\]

In \( \Phi_2 \), we only consider the values (“+B1” and “-B2”), which have strong values (positive or negative) on each dimension, and omit the values which are close to zero. We refer the systems with \( \Phi_1 \) as M1 and the ones with \( \Phi_2 \) as M2. We also tried the original continuous values and the scaled values as used by Turian et al. (2010), but the results were negative.

5 Experiments

We conducted experiments on English and Chinese data, respectively.

Table 2: FE-based templates.
5.1 Data sets

We used the Penn Treebank (PTB) to generate the English data sets, and the Chinese Treebank version 5.1 (CTB5) to generate the Chinese data sets. “Penn2Malt”\(^1\) was used to convert the data into dependency structures with the English head rules of Yamada and Matsumoto (2003) and the Chinese head rules of Zhang and Clark (2008). The details of data splits are listed in Table 3, where the data partition of Chinese were chosen to match previous work (Duan et al., 2007; Li et al., 2011b; Hatori et al., 2011).

Following the work of Koo et al. (2008), we used a tagger trained on the training data to provide part-of-speech (POS) tags for the development and test sets, and used 10-way jackknifing to generate part-of-speech tags for the training set. For English we used the MXPOST (Ratnaparkhi, 1996) tagger and for Chinese we used a CRF-based tagger with the feature templates defined in Zhang and Clark (2008). We used gold-standard segmentation in the CTB5 experiments. The accuracies of part-of-speech tagging are 97.32% for English and 93.61% for Chinese on the test sets, respectively.

To obtain feature contexts, we processed raw data to obtain dependency trees. For English, we used the BLLIP WSJ Corpus Release 1.\(^2\) For Chinese, we used the Xinhua portion of Chinese Gigaword\(^3\) Version 2.0 (LDC2009T14). The statistical information of raw data sets is listed in Table 4. The MXPOST part-of-speech tagger and the Baseline dependency parser trained on the training data were used to process the sentences of the BLLIP WSJ corpus. For Chinese, we need to perform word segmentation and part-of-speech tagging before parsing. The MMA system (Kruengkrai et al., 2009) trained on the training data was used to perform word segmentation and tagging, and the Baseline parser was used to parse the sentences in the Gigaword corpus.

We report the parser quality by the unlabeled attachment score (UAS), i.e., the percentage of tokens (excluding all punctuation tokens) with the correct HEAD. We also report the scores on complete dependency tree matches (COMP).

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\(^1\)http://w3.msi.vxu.se/~nivre/research/Penn2Malt.html

\(^2\)We excluded the texts of PTB from the BLLIP WSJ Corpus.

\(^3\)We excluded the texts of CTB5 from the Gigaword data.
Table 5: Results on English data.

| System                      | UAS  | COMP |
|-----------------------------|------|------|
| Baseline                    | 92.78| 48.08|
| Baseline+BrownClu           | 93.37| 49.26|
| M2                          | 93.74| 50.82|
| Koo and Collins (2010)      | 93.04| N/A  |
| Zhang and Nivre (2011)      | 92.9 | 48.0 |
| Koo et al. (2008)           | 93.16| N/A  |
| Suzuki et al. (2009)        | 93.79| N/A  |
| Chen et al. (2009)          | 93.16| 47.15|
| Zhou et al. (2011)          | 92.64| 46.61|
| Suzuki et al. (2011)        | 94.22| N/A  |
| Chen et al. (2013)          | 93.77| 51.36|

Table 6: Results on Chinese data.

| System                      | POS | UAS  | COMP |
|-----------------------------|-----|------|------|
| Baseline                    | 93.61| 81.04| 29.73|
| M2                          | 93.61| 82.94| 31.72|
| Li et al. (2011a)           | 93.08| 80.74| 29.11|
| Hatori et al. (2011)        | 93.94| 81.33| 29.90|
| Li et al. (2012)            | 94.51| 81.21| N/A  |
| Chen et al. (2013)          | N/A | 83.08| 32.21|

5.2 Development experiments

In this section, we use the English development data to investigate the effects of different vector sizes of feature embeddings, and compare the systems with the discretization functions $\Phi_1$ (M1) and $\Phi_2$ (M2) (defined in Section 4.3), respectively. To reduce the training time, we used 10% of the labeled training data to train the parsing models.

Turian et al. (2010) reported that the optimal size of word embedding dimensions was task-specific for NLP tasks. Here, we investigated the effect of different sizes of embedding dimensions on dependency parsing. Figure 5 shows the effect on UAS scores as we varied the vector sizes. The systems with FE-based features always outperformed the Baseline. The curve of M2 was almost flat and we found that M1 performed worse as the sizes increased. Overall, M2 performed better than M1. For M2, 10-dimensional embeddings achieved the highest score among all the systems. Based on the above observations, we chose the following settings for further evaluations: 10-dimensional embeddings for M2.

5.3 Final results on English data

We trained the M2 model on the full training data and evaluated it on the English testing data. The results are shown in Table 5. The parser using the FE-based features outperformed the Baseline. We obtained absolute improvements of 0.96 UAS points. As for the COMP scores, M2 achieved absolute improvement of 2.74 over the Baseline. The improvements were significant in McNemar’s Test ($p < 10^{-7}$) (Nivre et al., 2004).

We listed the performance of the related systems in Table 5. We also added the cluster-based features of Koo et al. (2008) to our baseline system listed as “Baseline+BrownClu” in Table 5. From the table, we found that our FE parsers obtained comparable accuracies with the previous state-of-the-art systems. Suzuki et al. (2011) reported the best result by combining their method with the method of Koo et al. (2008). We believe that the performance of our parser can be further enhanced by integrating their methods.

5.4 Final results on Chinese data

We also evaluated the systems on the testing data for Chinese. The results are shown in Table 6. Similar to the results on English, the parser using the FE-based features outperformed the Baseline. The improvements were significant in McNemar’s Test ($p < 10^{-8}$) (Nivre et al., 2004).

We listed the performance of the related systems on Chinese in Table 6. From the table, we found that the scores of our FE parser was higher than most of the related systems and comparable with the results of Chen2013, which was the best reported scores so far.

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4 We did not include the result (83.96) of Wu et al. (2013) because their part-of-speech tagging accuracy is 97.7%, much higher than ours and other work. Their tagger includes rich external resources.
6 Related work

Learning feature embeddings are related to two lines of research: deep learning models for NLP, and semi-supervised dependency parsing.

Recent studies used deep learning models in a variety of NLP tasks. Turian et al. (2010) applied word embeddings to chunking and Named Entity Recognition (NER). Collobert et al. (2011) designed a unified neural network to learn distributed representations that were useful for part-of-speech tagging, chunking, NER, and semantic role labeling. They tried to avoid task-specific feature engineering. Socher et al. (2013) proposed a Compositional Vector Grammar, which combined PCFGs with distributed word representations. Zheng et al. (2013) investigated Chinese character embeddings for Chinese word segmentation and part-of-speech tagging. Wu et al. (2013) directly applied word embeddings to Chinese dependency parsing. In most cases, words or characters were the inputs to the learning systems and word/character embeddings were used for the tasks. Our work is different in that we explore distributed representations at the feature level and we can make full use of well-established hand-designed features.

We use large amounts of raw data to infer feature embeddings. There are several previous studies relevant to using raw data for dependency parsing. Koo et al. (2008) used the Brown algorithm to learn word clusters from a large amount of unannotated data and defined a set of word cluster-based features for dependency parsing models. Suzuki et al. (2009) adapted a Semi-supervised Structured Conditional Model (SS-SCM) to dependency parsing. Suzuki et al. (2011) reported the best results so far on the standard test sets of PTB using a condensed feature representation combined with the word cluster-based features of Koo et al. (2008). Chen et al. (2013) mapped the base features into predefined types using the information of frequencies counted in large amounts of auto-parsed data. The work of Suzuki et al. (2011) and Chen et al. (2013) were to perform feature clustering. Ando and Zhang (2005) presented a semi-supervised learning algorithm named alternating structure optimization for text chunking. They used a large projection matrix to map sparse base features into a small number of high level features over a large number of auxiliary problems. One of the advantages of our approach is that it is simpler and more general than that of Ando and Zhang (2005). Our approach can easily be applied to other tasks by defining new feature contexts.

7 Conclusion

In this paper, we have presented an approach to learning feature embeddings for dependency parsing from large amounts of raw data. Based on the feature embeddings, we represented a set of new features, which was used with the base features in a graph-based model. When tested on both English and Chinese, our method significantly improved the performance over the baselines and provided comparable accuracy with the best systems in the literature.

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