Clinical confirmation to demonstrate similarity for a biosimilar pegfilgrastim: a 3-way randomized equivalence study for a proposed biosimilar pegfilgrastim versus US-licensed and EU-approved reference products in breast cancer patients receiving myelosuppressive chemotherapy

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Abstract

Background: Chemotherapy-induced neutropenia is a common result of myelosuppressive chemotherapy treatment. Infections such as febrile neutropenia (FN) are sensitive to the duration of neutropenia as well as the depth of absolute neutrophil count (ANC) at nadir. Filgrastim, a granulocyte colony stimulating factor (G-CSF), can stimulate the function of mature neutrophils. Pegfilgrastim, a long-acting form of filgrastim, has been shown to reduce FN to a greater extent compared to filgrastim. G-CSF agents have been recommended for prophylactic administration with chemotherapy. Apotex developed a proposed pegfilgrastim biosimilar. This study was conducted to confirm that no clinically meaningful efficacy or safety differences exist between Apotex’s proposed biosimilar and its reference product.

Methods: 589 breast cancer patients were randomized and dosed with the proposed pegfilgrastim biosimilar, US-licensed pegfilgrastim reference product, or EU-approved pegfilgrastim reference product. The primary endpoint assessed was the duration of severe neutropenia (DSN) and secondary endpoints included rate of FN and ANC nadir.

Results: Data showed that the mean DSN, the primary endpoint measured, was comparable across all three treatments. The As Treated arm had a 95% confidence interval within the equivalence range for the proposed pegfilgrastim biosimilar with the US-licensed and EU-approved pegfilgrastim reference products. Secondary endpoints, which included depth and peak of ANC nadir, time to ANC recovery post-nadir and rates of FN, also showed similarity between the three different treatment groups. The adverse event incidence was similar across treatment arms and there were no unexpected safety events.

Conclusions: Overall, these results show that the proposed pegfilgrastim biosimilar is similar to Amgen’s US-licensed and EU-approved pegfilgrastim reference products with regard to the clinical efficacy and safety endpoints assessed.

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Background
Myelosuppressive chemotherapy treatment commonly results in chemotherapy-induced neutropenia (CIN), a complication associated with a high risk of morbidity, mortality, and hospitalization [1]. After a course of chemotherapy, the duration of neutropenia and depth of the absolute neutrophil count (ANC) at nadir; correlates with the development of infections including febrile neutropenia (FN), sepsis and their morbidities [2, 3].

Filgrastim, a granulocyte colony stimulating factor (G-CSF), stimulates hematopoietic cells, promoting growth, proliferation, differentiation, and maturation of neutrophil precursors. Filgrastim also enhances the function of mature neutrophils by increasing phagocytic activity and antibody-dependent cell-mediated cytotoxicity [4, 5].

The addition of a polyethylene glycol moiety to filgrastim results in the long-acting form of pegfilgrastim, which requires only once-per-cycle administration for CIN management. Pegfilgrastim retains the same biological activity as filgrastim and binds to the same G-CSF receptor. In both experimental animals and healthy human volunteers, pegfilgrastim showed decreased renal clearance and increased plasma half-life compared with unpegylated filgrastim, allowing pegfilgrastim a sustained pharmacological effect [6]. In clinical trials, patients receiving pegfilgrastim experienced a lower incidence of FN than patients receiving filgrastim [7].

Several international clinical guidelines [European Organization for Research and Treatment of Cancer (EORTC), American Society for Clinical Oncology (ASCO), and National Comprehensive Cancer Network (NCCN)] recommend prophylactic administration of G-CSF (filgrastim or pegfilgrastim) with chemotherapy associated with a high risk (>20%) of FN and supportive G-CSF therapy with chemotherapy regimens associated with intermediate frequency (10–20%) of FN when additional predisposing factors exist for the patient (e.g., age >65 years, experience of previous episode(s) of FN, or advanced stage of disease).

Apotex, Inc. and Intas Pharmaceuticals Limited have codeveloped a proposed pegfilgrastim biosimilar product to the US-licensed and EU-approved reference product Neulasta®, marketed by Amgen, Inc. State-of-the-art analytical techniques previously demonstrated analytical similarity between the proposed pegfilgrastim biosimilar and the US-licensed pegfilgrastim reference product [8]. In addition, nonclinical primary pharmacodynamic (PD) repeat-dose toxicity/toxicokinetics, local tolerance studies, and a two-way cross-over Phase I pharmacokinetic/pharmacodynamic (PK/PD) study in healthy volunteers showing no unexpected safety events and no clinically relevant PK/PD differences between the proposed pegfilgrastim biosimilar and the US-licensed reference product provides further evidence supporting a conclusion that the proposed pegfilgrastim biosimilar is biosimilar to the reference product [9].

This article presents evidence from a head-to-head clinical confirmatory study comparing efficacy and safety (including immunogenicity) of the proposed pegfilgrastim biosimilar with the reference product (commercially available US-licensed and EU-approved Neulasta®) in patients with early breast cancer receiving chemotherapy.

Methods
Patient eligibility
Female patients ≥18 years of age with Stage IIA, IIB or IIIA breast cancer, who were suitable and intended to undergo adjuvant treatment with TAC (docetaxel, doxorubicin, cyclophosphamide) chemotherapy were eligible for this study. Inclusion criteria included: patients within 60 days of complete surgical resection of the primary breast tumor, either lumpectomy or mastectomy, with sentinel lymph node biopsy or axillary dissection, with clear margins for both invasive and ductal carcinoma in situ (DCIS); Eastern Cooperative Oncology Group (ECOG) performance status ≤2; ANC ≥1.5 × 10⁹/L; platelet count ≥100 × 10⁹/L; adequate renal function (serum creatinine <1.5 × upper limit of normal [ULN]); and hepatic function (bilirubin <ULN, transaminases and alkaline phosphatase [AP] <1.5 × ULN); normal cardiac function evidenced by a left ventricle ejection fraction (LVEF) ≥55%; no evidence of metastatic disease; and baseline bilateral mammography or other scan to exclude cancer on the contralateral breast. Patients were excluded if they had or received any of the following: (concomitant or prior) except in situ lesion, either ductal or lobular, of the contralateral breast; prior chemotherapy (either adjuvant or neoadjuvant) for this incidence of breast cancer; history of uncontrolled cardiac disease; immunotherapy, hormonal therapy (e.g., tamoxifen or aromatase...
inhibitors), or Herceptin® (trastuzumab) concurrently or within 30 days of screening; concurrent radiation therapy; investigational therapy concurrently or within 30 days of screening; peripheral neuropathy > Grade 1; major organ allograft or condition requiring chronic immunosuppression; or history of other malignancy within the last 5 years (except cured basal cell carcinoma of skin, carcinoma in situ of uterine cervix, or DCIS).

Study design and treatment
This was a confirmatory, randomized, controlled, assessor-blinded, multicenter study comparing efficacy and safety of the proposed pegfilgrastim biosimilar to the US-licensed pegfilgrastim reference product and the EU-approved pegfilgrastim reference product conducted in 11 Central and Eastern European countries. The study consisted of three phases: a screening period ≤ 3 weeks; an 18-week active treatment period including 6, 3-week cycles of TAC chemotherapy (docetaxel 75 mg/m², doxorubicin 50 mg/m², and cyclophosphamide 500 mg/m²); and a safety follow-up period ≤ 30 weeks following the completion of the TAC regimen. Eligible patients were randomized to either the proposed pegfilgrastim biosimilar (APO-Peg), US-licensed pegfilgrastim reference product (US-Neulasta®) or EU-approved pegfilgrastim reference product (EU-Neulasta®) in a 2:1:1 ratio. Premedication with dexamethasone and ondansetron was initiated before administration of each chemotherapy cycle. Each patient received 6 doses of pegfilgrastim (6 mg/0.6 mL pre-filled syringe for each) for each chemotherapy cycle, administered as subcutaneous injection on Day 2 of each cycle (at least 24 h after chemotherapy).

In Cycle 1, blood samples were collected for complete blood counts with differentials on Day 0, 1, 3, 5, 6, 7, and every day until ANC recovery post-nadir to ≥ 2.0 × 10⁹/L, or up to Day 15 if recovery did not occur earlier. Blood samples for ANC measurements in Cycles 2–6 were collected mainly to assess safety. Blood samples were collected during the screening, treatment, and follow-up periods for immunogenicity testing.

Assessments
The primary efficacy endpoint was the duration of severe neutropenia (DSN), defined as ANC below 0.5 × 10⁹/L. Secondary endpoints included: depth and peak of ANC nadir in Cycle 1; time to the ANC recovery post-nadir in Cycle 1; rates of FN (defined as a single temperature ≥ 38.3 °C or temperature ≥ 38.0 °C for over 1 h and ANC less than 0.5 × 10⁹/L or less than 1 × 10⁹/L and predicted decline to ≤ 0.5 × 10⁹/L over the next 48 h) by cycle and across all cycles. Safety assessment included: the incidence of adverse events (AEs) classified by system organ class, preferred term, severity, and relationship to study medication; injection site reactions; vital signs; presence of antibodies to pegfilgrastim; and abnormal clinical laboratory results.

Statistical analyses
The inference for equivalence was based on the two-sided 95% confidence interval (CI) for the difference in means between the proposed pegfilgrastim biosimilar and the US-licensed or EU-approved pegfilgrastim reference product for DSN in Cycle 1 (in days). Equivalence was defined as a CI range of [−0.5 days, +0.5 days]. The two-sided 95% CIs were derived from a one-way ANOVA model accounting for the treatment effect. For statistical analyses, treatment groups were defined as follows:

Full analysis set (FAS)-As Randomized included all enrolled subjects who were randomized, received at least one dose of active treatment, and who had any follow-up data for the primary endpoint variables. Treatment assignment for subjects was based on the treatment they were randomized to (As Randomized allocation). FAS-As Randomized represents the prespecified primary analysis set used for efficacy endpoints.

FAS-As Treated included all enrolled subjects who were randomized and received at least one dose of active treatment, and who had any follow-up data for the primary endpoint variables. Treatment assignment for subjects was based on the treatment they received in each cycle instead of the treatment they were randomized to receive.

Safety analysis set, sensitivity included all subjects who received at least one dose of active treatment. All subjects who received APO-Peg at any time during the treatment period (regardless of randomized treatment group) were included in the APO-Peg group for this data set. Subjects who did not receive APO-Peg at any time were assigned to their randomized treatment group.

Per protocol (PP) analysis set included all enrolled subjects who were randomized and received at least one dose of active treatment, and who had any follow-up data for the primary endpoint variables. Subjects with protocol deviations that impacted the integrity of the primary endpoint data and the safety/well-being of the subject in Cycle 1 were excluded from the PP (Cycle 1) analysis for the primary endpoint. Additionally, subjects with protocol deviations affecting the integrity of the data and the endpoint of the efficacy/safety analysis and well-being of the subject during Cycles 2–6 were excluded from the PP (All Cycles) analyses.

Results
Patients
A total of 595 patients were randomized from 56 investigational centers in 11 countries. All subjects were
suitable for neoadjuvant TAC treatment (all chemotherapy naïve subjects: 41.8% Stage IIA; 27.7% Stage 27.7%; and 30.6% Stage IIIa). Patient demographics and breast cancer history were consistent across the treatment arms (Table 1). Of those randomized, 589 patients were dosed, with 547 (92.9%) completing 6 cycles of treatment and 42 (7.1%) discontinuing the study (Fig. 1). Some subjects who withdrew from the treatment phase were followed in the safety follow-up phase.

**Comparative efficacy**

As a sensitive measure of efficacy [10], the assessment of DSN in Cycle 1 (versus subsequent cycles) was chosen as the primary endpoint. Overall, the mean DSN in Cycle 1 is comparable across treatments and essentially the same between the FAS As Treated and As Randomized populations (Table 2). For the As Randomized population, the 95% CI of the difference in mean DSN in Cycle 1 between the proposed pegfilgrastim biosimilar and US-licensed pegfilgrastim reference product was slightly outside the equivalence margin, but for the proposed pegfilgrastim biosimilar and EU-approved pegfilgrastim reference product was contained within the pre-defined margin (Table 2). Given that sampling of ANC for determination of DSN in a clinical setting is conducted on a daily basis, as in this study, the breach of 0.01 days, which equates to approximately 14.4 min from the upper limit of the equivalence range (0.51 days), is not considered clinically significant. The results for the As Treated population showed a 95% CI within the equivalence range for the proposed pegfilgrastim biosimilar between both the US-licensed and EU-approved pegfilgrastim reference products, confirming similar efficacy (Table 2).

Similarity between the proposed pegfilgrastim biosimilar and the EU-approved and US-licensed reference products was also supported by comparative results between all treatment arms for all secondary efficacy endpoints (Table 3 and data not shown). The rate of FN (As Randomized) was similar between treatment arms with the highest incidence occurring in Cycle 1 (as expected) and decreasing in subsequent treatment cycles (Table 3). In addition, the mean ANC values showed similar results among the three treatment arms [overall mean peak ANC of $28.9 \times 10^9/L$ (day 3.1–3.4); overall mean ANC nadir of $0.5 \times 10^9/L$ (day 7.1–7.3), and recovery of ANC ($\geq 2.0 \times 10^9/L$; day 9.2–9.5)] (Table 4).

**Comparative safety**

The number of AEs in the three treatment arms were similar, and the majority were considered to be mild to moderate in severity (Table 5). For this analysis, any subject receiving the proposed biosimilar in any cycle (regardless of randomization) was included in the APO-Peg arm. Out of 589 subjects across the treatment arms, 539 (91.5%) reported at least one AE in the treatment phase.

### Table 1 Demographic and breast cancer history data—FAS (As Randomized)

| Demographic data | Proposed biosimilar pegfilgrastim (APO-Peg) (N = 294) | US-licensed pegfilgrastim reference product (US-Neulasta®) (N = 148) | EU-approved pegfilgrastim reference product (EU-Neulasta®) (N = 147) | Total (N = 589) |
|------------------|-------------------------------------------------------|-----------------------------------------------------------------|-----------------------------------------------------------------|----------------|
| **Female, n (%)** | 294 (100)                                             | 148 (100)                                                       | 147 (100)                                                       | 589 (100)     |
| **Age (years)**  | Mean (SD) 51.9 (10.0)                                 | 51.4 (10.4)                                                    | 51.5 (10.2)                                                    | 51.7 (10.1)    |
|                  | Median (min, max) 52.0 (24.0, 75.0)                   | 52.0 (27.0, 80.0)                                              | 53.0 (22.0, 77.0)                                             | 52.0 (22.0, 80.0) |
| **Race, n (%) Caucasian** | 294 (100.0)                                       | 148 (100.0)                                                    | 147 (100.0)                                                    | 589 (100.0)    |
| **Body weight (kg)** | Mean (SD) 73.9 (14.4)                                 | 72.0 (14.1)                                                    | 72.6 (12.9)                                                    | 73.0 (14.0)    |
|                  | Median (min, max) 73.0 (40.0, 120.0)                  | 70.0 (40.0, 118.0)                                             | 70.0 (48.0, 119.0)                                            | 72.0 (40.0, 120.0) |
| **Body height (cm)** | Mean (SD) 162.5 (6.8)                                 | 162.7 (6.6)                                                    | 162.6 (6.4)                                                    | 162.6 (6.6)    |
|                  | Median (min, max) 163.0 (140.0, 180.0)                | 163.0 (142.0, 180.0)                                           | 163.0 (148.0, 183.0)                                          | 163.0 (140.0, 183.0) |

T1, T2, T3 means the size and/or extent of the primary tumor stage (increasing order from 1 to 3)
phase (89.7% in the APO-Peg arm; 94.8% in the US-Neulasta arm; 92.8% in the EU-Neulasta arm; Table 5).

Two of the most common AEs were neutropenia in (52.8% of subjects overall; 51.4% in the APO-Peg arm; 58.5% in the US-Neulasta arm; 50.4% in the EU-Neulasta arm) and nausea (47.0% of subjects overall; 44.1% in the APO-Peg arm; 49.6% in the US-Neulasta arm; 52.0% in the EU-Neulasta arm) (Table 5). In addition, bone pain, a common event associated with pegfilgrastim [12] thought to be directly related to treatment with filgrastim, occurred at a similar frequency in the three treatment groups (45.3% in the APO-Peg arm; 52.6% in the US-Neulasta arm; 56.0% in the EU-Neulasta arm; Table 5) and none of these events were
One subject randomized to US-licensed pegfilgrastim reference product but receiving the proposed pegfilgrastim biosimilar died due to disease progression. Five additional life-threatening events were reported in the APO-Peg treatment arm (3 FN, 1 pancytopenia, and 1 pulmonary embolism). None of these events were related to the study drug. The incidence of immunogenicity was low and similar across treatment arms, including no apparent clinically meaningful effects associated with anti-drug antibodies (ADAs) or neutralizing antibodies (data not shown).

Table 2  Summary of DSN in cycle 1 (FAS-As Randomized and FAS-As Treated)

| Analysis Set Statistic | Proposed biosimilar pegfilgrastim (APO-Peg) | US-licensed pegfilgrastim reference product (US-Neulasta®) | EU-approved pegfilgrastim reference product (EU-Neulasta®) | (APO-Peg)-minus (US-Neulasta®) | (APO-Peg)-minus (EU-Neulasta®) | (EU-Neulasta®)-minus (US-Neulasta®) |
|------------------------|---------------------------------------------|--------------------------------------------------------|--------------------------------------------------|-------------------------------|-------------------------------|----------------------------------|
| FAS (As Randomized)    |                                             |                                                        |                                                  |                               |                               |                                  |
| N                      | 294                                         | 148                                                   | 147                                             | N/A                           | N/A                           | N/A                              |
| LS Mean in days        | 1.6                                         | 1.4                                                   | 1.6                                             | 0.2                           | 0.02                          | 0.2                              |
| Median (Min, Max) in days | 2.0 (0, 10)                                   | 1.0 (0, 5)                                           | 2.0 (0, 10)                                     | N/A                           | N/A                           | N/A                              |
| 95% CI in days         | 1.47 to 1.79                                | 1.17 to 1.61                                         | 1.38 to 1.83                                    | -0.03 to 0.51                 | -0.25 to 0.30                 | -0.10 to 0.53                    |
| FAS (As Treated)       |                                             |                                                        |                                                  |                               |                               |                                  |
| N                      | 298                                         | 147                                                   | 144                                             | N/A                           | N/A                           | N/A                              |
| LS Mean in days        | 1.6                                         | 1.4                                                   | 1.6                                             | 0.2                           | -0.01                         | 0.2                              |
| Median (Min, Max) in days | 1.5 (0, 10)                                   | 1.0 (0, 5)                                           | 2.0 (0, 10)                                     | N/A                           | N/A                           | N/A                              |
| 95% CI in days         | 1.46 to 1.77                                | 1.17 to 1.61                                         | 1.41 to 1.86                                    | -0.04 to 0.50                 | -0.29 to 0.26                 | -0.07 to 0.56                    |

Table 3  Rates of FN by cycle following treatment (FAS—As Randomized)

| Cycle            | Proposed biosimilar pegfilgrastim (APO-Peg) | US-licensed pegfilgrastim reference product (US-Neulasta®) | EU-approved pegfilgrastim reference product (EU-Neulasta®) | Total |
|-----------------|---------------------------------------------|--------------------------------------------------------|--------------------------------------------------|-------|
| Cycle 1         |                                             |                                                        |                                                  |       |
| n/N (%)         | 15/294 (5.1)                                | 6/148 (4.1)                                            | 5/147 (3.4)                                      | 26/589 (4.4) |
| 95% CI          | 2.9–8.3                                     | 1.5–8.6                                                | 1.1–7.8                                         | 2.9–6.4 |
| Cycle 2         |                                             |                                                        |                                                  |       |
| n/N (%)         | 0/288 (0.0)                                 | 1/146 (0.7)                                            | 0/144 (0.0)                                      | 1/578 (0.2)  |
| 95% CI          | 0.0–1.3                                     | 0.0–3.8                                                | 0.0–2.5                                         | 0.0–1.0 |
| Cycle 3         |                                             |                                                        |                                                  |       |
| n/N (%)         | 0/285 (0.0)                                 | 0/146 (0.0)                                            | 1/144 (0.7)                                      | 1/575 (0.2)  |
| 95% CI          | 0.0–1.3                                     | 0.0–2.5                                                | 0.0–3.8                                         | 0.0–1.0 |
| Cycle 4         |                                             |                                                        |                                                  |       |
| n/N (%)         | 0/284 (0.0)                                 | 0/144 (0.0)                                            | 0/144 (0.0)                                      | 0/572 (0.0)  |
| 95% CI          | 0.0–1.3                                     | 0.0–2.5                                                | 0.0–2.5                                         | 0.0–0.6  |
| Cycle 5         |                                             |                                                        |                                                  |       |
| n/N (%)         | 2/276 (0.7)                                 | 0/143 (0.0)                                            | 0/142 (0.0)                                      | 2/561 (0.4)  |
| 95% CI          | 0.1–2.6                                     | 0.0–2.5                                                | 0.0–2.6                                         | 0.0–1.3  |
| Cycle 6         |                                             |                                                        |                                                  |       |
| n/N (%)         | 0/270 (0.0)                                 | 0/143 (0.0)                                            | 0/139 (0.0)                                      | 0/552 (0.0)  |
| 95% CI          | 0.0–1.4                                     | 0.0–2.5                                                | 0.0–2.6                                         | 0.0–0.7  |
| Overall         |                                             |                                                        |                                                  |       |
| n/N (%)         | 17/294 (5.8)                                | 7/148 (4.7)                                            | 5/147 (3.4)                                      | 29/389 (4.9) |
| 95% CI          | 3.4–9.1                                     | 1.9–9.5                                                | 1.1–7.8                                         | 3.3–7.0  |
Table 4  Mean ANC values for planned sampling days in cycle 1 (FAS-As Randomized and FAS-As Treated)

| Characteristics | Proposed biosimilar pegfilgrastim (APO-Peg) N = 294 | US-licensed pegfilgrastim reference product (US-Neulasta®) N = 148 | EU-approved pegfilgrastim reference product (EU-Neulasta®) N = 147 | Total N = 589 |
|-----------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------------|--------------|
| **FAS-As Randomized** | | | | |
| Day on which the peak ANC value was reached | | | | |
| N | 294 | 148 | 147 | 589 |
| Mean (SD) | 3.4 (2.3) | 3.1 (0.6) | 3.1 (1.4) | 3.2 (1.8) |
| Median | 3.0 | 3.0 | 3.0 | 3.0 |
| Minimum–maximum | 1–24 | 3–9 | 1–18 | 1–24 |
| 95% CIs of mean | 3.1–3.6 | 3.0–3.2 | 2.9–3.4 | 3.1–3.4 |
| Peak ANC value (× 10⁹/L) | | | | |
| N | 294 | 148 | 147 | 589 |
| Mean (SD) | 28.4 (9.5) | 29.9 (10.2) | 28.7 (9.3) | 28.9 (9.6) |
| Median | 27.7 | 29.4 | 27.9 | 28.0 |
| Minimum–maximum | 3.9–60.5 | 11.3–88.0 | 5.8–55.9 | 3.9–88.0 |
| 95% CIs of mean | 27.3–29.5 | 28.3–31.6 | 27.2–30.2 | 28.1–29.7 |
| Day on which the depth of ANC nadir was reached | | | | |
| N | 294 | 148 | 147 | 589 |
| Mean (SD) | 7.1 (1.8) | 7.1 (0.6) | 7.3 (2.1) | 7.1 (1.7) |
| Median | 7.0 | 7.0 | 7.0 | 7.0 |
| Minimum–maximum | 1–21 | 6–11 | 6–21 | 1–21 |
| 95% CIs of mean | 6.9–7.3 | 7.0–7.2 | 7.0–7.7 | 7.0–7.3 |
| Depth of ANC nadir (× 10⁹/L) | | | | |
| N | 294 | 148 | 147 | 589 |
| Mean (SD) | 0.6 (1.1) | 0.4 (0.6) | 0.4 (0.7) | 0.5 (0.9) |
| Median | 0.2 | 0.2 | 0.1 | 0.2 |
| Minimum–maximum | 0.0–9.8 | 0.0–3.4 | 0.0–6.0 | 0.0–9.8 |
| 95% CIs of mean | 0.4–0.7 | 0.3–0.5 | 0.3–0.5 | 0.4–0.6 |
| Day on which recovery of ANC was reached | | | | |
| N | 267 | 136 | 137 | 540 |
| Mean (SD) | 9.4 (2.0) | 9.5 (2.1) | 9.2 (1.0) | 9.4 (1.8) |
| Median | 9.0 | 9.0 | 9.0 | 9.0 |
| Minimum–maximum | 6–25 | 8–22 | 8–13 | 6–25 |
| 95% CIs of mean | 9.2–9.7 | 9.1–9.8 | 9.0–9.4 | 9.2–9.5 |

| Characteristics | Proposed biosimilar pegfilgrastim (APO-Peg) N = 298 | US-licensed pegfilgrastim reference product (US-Neulasta®) N = 147 | EU-approved pegfilgrastim reference product (EU-Neulasta®) N = 147 | Total N = 589 |
|-----------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------------|--------------|
| **FAS-As Treated** | | | | |
| Day on which the peak ANC value was reached | | | | |
| N | 298 | 147 | 144 | 589 |
| Mean (SD) | 3.4 (2.3) | 3.1 (0.5) | 3.1 (1.4) | 3.2 (1.8) |
| Median | 3.0 | 3.0 | 3.0 | 3.0 |
| Minimum–maximum | 1–24 | 3–9 | 1–18 | 1–24 |
| 95% CIs of mean | 3.1–3.6 | 3.0–3.2 | 2.9–3.4 | 3.1–3.4 |
| Peak ANC value (× 10⁹/L) | | | | |
| N | 298 | 147 | 144 | 589 |
| Mean (SD) | 28.5 (9.6) | 29.7 (10.2) | 28.8 (9.2) | 28.9 (9.6) |
| Median | 27.7 | 28.6 | 28.3 | 28.0 |
| Minimum–maximum | 3.9–60.5 | 11.3–88.0 | 5.8–55.9 | 3.9–88.0 |
| 95% CIs of mean | 27.4–29.6 | 28.1–31.4 | 27.3–30.4 | 28.1–29.7 |
The term biosimilarity, defined in section 351(i) of the Public Health Services (PHS) Act [11], means that the biological product is “highly similar to the reference product notwithstanding minor differences in clinically inactive components; and there are no clinically meaningful differences between the biological product and the reference product in terms of the safety, purity, and potency of the product” [12]. A demonstration that a product is biosimilar to its reference product is established analytically and then confirmed clinically in patients to show that the efficacy and safety profiles of the proposed biosimilar and reference product are comparable. This clinical confirmatory study is not meant to demonstrate the safety and efficacy of the biosimilar a priori, but to provide additional evidence that the proposed biosimilar and the reference product are similar giving the same clinical results as the reference biologic in a head-to-head study.

Building on prior work demonstrating that the Apotex/Intas proposed biosimilar pegfilgrastim is analytically highly similar to US-licensed pegfilgrastim [8], as well similar with regards to PK/PD and safety in healthy volunteers [9], results from this clinical confirmatory study in breast cancer patients further support the biosimilarity of the proposed biosimilar pegfilgrastim to the US-licensed and EU-licensed pegfilgrastim reference product.

The proposed biosimilar showed comparable mean DSN in Cycle 1 to both the US-licensed and EU-approved pegfilgrastim reference products, and the data are consistent with the published data for these reference products. While the 95% CI of the difference in mean DSN in Cycle 1 for the As Randomized population was slightly outside the pre-defined equivalence margin of ±0.5 days, prior data suggest that this range is very conservative. An equivalence range of ±1 day, consistent with the usual daily sampling of ANC, has commonly been used in other similar studies [13, 14]. Given that sampling of ANC for determination of DSN in a clinical setting is conducted on a daily basis, as in this study, the breach of 0.01 days, which equates to approximately 14.4 min from the upper limit of the equivalence range (0.51 days), is not considered clinically significant. In outpatient practice, neutrophils are measured on the day of chemotherapy administration, without considerations about when the nadir occurred, or recovery of ANC. Even in a hospitalized setting, with patients at risk of developing febrile neutropenia, and monitored daily, a difference of 14.4 min in DSN would not have any clinical impact.

The mean DSN in the absence of G-CSF in breast cancer patients treated with doxorubicin and docetaxel is expected to be 6–7 days [15], while prophylactic treatment with a single fixed 6-mg dose of pegfilgrastim is expected to reduce the mean DSN in Cycle 1 to approximately 1.8 days [14]. Thus, the treatment effect
of pegfilgrastim in the reduction of the mean DSN in Cycle 1 can be expected to be between 4.2 and 5.2 days, and as such, an equivalence interval of ±0.5 days should ensure that at least 88%–90% of the effect size (i.e., pegfilgrastim reduction in DSN) is retained, a more stringent requirement than typically applied in non-inferiority or equivalence studies.

Similarity between the proposed pegfilgrastim biosimilar and the US-licensed and EU-approved pegfilgrastim reference products was also supported by the results of the equivalence analysis.

### Table 5 Frequency of most common adverse events (≥5%) in treatment period—safety analysis set sensitivity

| System organ class | Preferred term | Proposed biosimilar pegfilgrastim (APO-Peg) N = 329 | US-licensed pegfilgrastim reference product (US-Neulasta®) N = 135 | EU-approved pegfilgrastim reference product (EU-Neulasta®) N = 125 | Total N = 589 |
|--------------------|----------------|---------------------------------------------------|---------------------------------------------------------------|---------------------------------------------------------------|---------------|
| Any AE             |                | 295 (89.7)                                        | 128 (94.8)                                                   | 116 (92.8)                                                   | 539 (91.5)    |
| Blood and lymphatic system disorders | All PTs | 185 (56.2)                                        | 88 (65.2)                                                    | 73 (58.4)                                                    | 346 (58.7)    |
|                    | Anaemia        | 14 (4.3)                                          | 8 (5.9)                                                      | 8 (6.4)                                                      | 30 (5.1)      |
|                    | Febrile Neutropenia | 15 (4.6) | 7 (5.2) | 4 (3.2) | 26 (4.4) |
|                    | Leukocytosis   | 22 (6.7)                                          | 17 (12.6)                                                   | 13 (10.4)                                                   | 52 (8.8)      |
|                    | Leukopenia     | 63 (19.1)                                         | 40 (29.6)                                                   | 41 (32.8)                                                   | 144 (24.4)    |
|                    | Neutropenia    | 169 (51.4)                                        | 79 (58.5)                                                   | 63 (50.4)                                                   | 311 (52.8)    |
|                    | Neutrophilia   | 14 (4.3)                                          | 13 (9.6)                                                    | 11 (8.8)                                                    | 38 (6.5)      |
|                    | Thrombocytopenia | 12 (3.6) | 5 (3.7) | 16 (12.8) | 33 (5.6) |
| Ear and labyrinth disorders | All PTs | 17 (5.2)                                          | 11 (8.1)                                                    | 15 (12.0)                                                   | 43 (7.3)      |
|                    | Vertigo        | 17 (5.2)                                          | 9 (6.7)                                                      | 14 (11.2)                                                   | 40 (6.8)      |
| Gastrointestinal disorders | All PTs | 176 (53.5)                                        | 81 (60.0)                                                   | 79 (63.2)                                                   | 336 (57.0)    |
|                    | Abdominal pain | 19 (5.8)                                          | 8 (5.9)                                                      | 11 (8.8)                                                    | 38 (6.5)      |
|                    | Abdominal pain Upper | 20 (6.1) | 12 (8.9) | 17 (13.6) | 49 (8.3) |
|                    | Constipation   | 8 (2.4)                                           | 5 (3.7)                                                      | 7 (5.6)                                                      | 20 (3.4)      |
|                    | Diarrhoea      | 54 (16.4)                                         | 32 (23.7)                                                   | 34 (27.2)                                                   | 120 (20.4)    |
|                    | Dyspepsia      | 9 (2.7)                                           | 8 (5.9)                                                      | 11 (8.8)                                                    | 28 (4.8)      |
|                    | Nausea         | 145 (44.1)                                        | 67 (49.6)                                                   | 65 (52.0)                                                   | 277 (47.0)    |
|                    | Stomatitis     | 20 (6.1)                                          | 10 (7.4)                                                     | 5 (4.0)                                                      | 35 (5.9)      |
|                    | Vomiting       | 44 (13.4)                                         | 18 (13.3)                                                   | 27 (21.6)                                                   | 89 (15.1)     |
| General disorders and administration site conditions | All PTs | 147 (44.7)                                        | 71 (52.6)                                                   | 69 (55.2)                                                   | 287 (48.7)    |
|                    | Asthenia       | 81 (24.6)                                         | 41 (30.4)                                                   | 31 (24.8)                                                   | 153 (26.0)    |
|                    | Fatigue        | 43 (13.1)                                         | 18 (13.3)                                                   | 32 (25.6)                                                   | 93 (15.8)     |
|                    | Malaise        | 9 (2.7)                                           | 8 (5.9)                                                      | 5 (4.0)                                                      | 22 (3.7)      |
|                    | Oedema Peripheral | 15 (4.6) | 10 (7.4) | 9 (7.2) | 34 (5.8) |
|                    | Pyrexia        | 22 (6.7)                                          | 12 (8.9)                                                    | 18 (14.4)                                                   | 52 (8.8)      |
| Metabolism and nutrition disorders | All PTs | 28 (8.5)                                          | 16 (11.9)                                                   | 26 (20.8)                                                   | 70 (11.9)     |
|                    | Decreased Appetite | 12 (3.6) | 9 (6.7) | 17 (13.6) | 38 (6.5) |
| Musculoskeletal and connective tissue disorders | All PTs | 166 (50.5)                                        | 77 (57.0)                                                   | 80 (64.0)                                                   | 323 (54.8)    |
|                    | Arthralgia     | 13 (4.0)                                          | 8 (5.9)                                                      | 10 (8.0)                                                    | 31 (5.3)      |
|                    | Bone pain      | 149 (45.3)                                        | 71 (52.6)                                                   | 70 (56.0)                                                   | 290 (49.2)    |
|                    | Myalgia        | 28 (8.5)                                          | 19 (14.1)                                                   | 15 (12.0)                                                   | 62 (10.5)     |
| Nervous system disorders | All PTs | 119 (36.2)                                        | 63 (46.7)                                                   | 53 (42.4)                                                   | 235 (39.9)    |
|                    | Dizziness      | 68 (20.7)                                         | 24 (17.8)                                                   | 21 (16.8)                                                   | 113 (19.2)    |
|                    | Headache       | 67 (20.4)                                         | 38 (28.1)                                                   | 31 (24.8)                                                   | 136 (23.1)    |
|                    | Hypoesthesia   | 9 (2.7)                                           | 9 (6.7)                                                      | 6 (4.8)                                                      | 24 (4.1)      |
| Respiratory, thoracic and mediastinal disorders | All PTs | 31 (9.4)                                          | 16 (11.9)                                                   | 19 (15.2)                                                   | 66 (11.2)     |
|                    | Oropharyngeal Pain | 14 (4.3) | 8 (5.9) | 6 (4.8) | 28 (4.8) |
| Skin and subcutaneous tissue disorders | All PTs | 92 (28.0)                                         | 45 (33.3)                                                   | 46 (36.8)                                                   | 183 (31.1)    |
|                    | Alopecia       | 78 (23.7)                                         | 36 (26.7)                                                   | 37 (29.6)                                                   | 151 (25.6)    |
|                    | Scar pain      | 3 (0.9)                                           | 0 (0.0)                                                      | 7 (5.6)                                                      | 10 (1.7)      |
from all secondary efficacy analyses including the depth and peak of ANC nadir in Cycle 1, the time to ANC recovery post-nadir in Cycle 1, the rates of FN by cycle and across cycles, and the ANC time profile in Cycle 1.

The AE incidence was similar across treatment arms and there were no unexpected safety events for the proposed pegfilgrastim biosimilar. The incidence of immunogenicity was low and similar across treatment arms, including no apparent clinically meaningful effects associated with anti-drug antibodies (ADAs) or neutralizing antibodies. Overall, these results contribute to the totality of the evidence collected to support a conclusion of biosimilarity between the proposed biosimilar pegfilgrastim and the reference product. Just like originator biologics, post marketing surveillance data, from the countries where the product is approved, is being continually monitored and the results are no different from those found with other pegfilgrastim products.

Conclusions
This head-to-head, randomized, clinical confirmatory study of a proposed pegfilgrastim biosimilar demonstrates that the proposed pegfilgrastim is similar to Amgen’s US-licensed and EU-approved pegfilgrastim reference products, with regard to safety and efficacy, in the treatment of chemotherapy-induced neutropenia in breast cancer patients receiving myelosuppressive chemotherapy.

Abbreviations
ADA: anti-drug antibodies; AE: adverse event; ANC: absolute neutrophil count; AP: alkaline phosphatase; APO: Apotex; ASCO: American Society for Clinical Oncology; CI: confidence interval; CIN: chemotherapy-induced neutropenia; DCIS: ductal carcinoma in situ; DSN: duration of severe neutropenia; ECOG: Eastern Cooperative Oncology Group; EORTC: European Organization for Research and Treatment of Cancer; EU: European Union; FAS: Full analysis set; FN: febrile neutropenia; G-CSF: granulocyte colony stimulating factor; LVEF: left ventricle ejection fraction; NCCN: National Comprehensive Cancer Network; PD: pharmacodynamic; PHS: Public Health Services; PP: per protocol; PT: proposed term; TAC: docetaxel, doxorubicin, cyclophosphamide; SAE: serious adverse event; SD: standard deviation; ULN: upper limit of normal; US: United States.

Authors’ contributions
KD critically revised the article and was a major contributor in data collection and analysis. PM analyzed and interpreted the patient data regarding incidence of febrile neutropenia. SK provided critical revision of the entire manuscript and was a contributor in the regulatory information of the manuscript. NS designed and drafted the article and aided in data interpretation. All authors read and approved the final manuscript.

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Competing interests
The authors declare that they have no competing interests.

Availability of data and materials
The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

Consent for publication
Not applicable.

Ethics approval and consent to participate
The consent of the subjects to participate in the clinical study had to be obtained in writing prior to participation in the study by signing the subject Informed Consent Form (ICF). It had to be signed and personally dated by the subject and by the investigator and/or the study team member designated by the investigator to conduct the informed consent procedure. This study was conducted in accordance with the current ICH/EMA/FDA/BGTD guidance documents, Good Clinical Practice (GCP) as established by the International Conference on Harmonization (ICH) ICH E6 GCP, the EU Clinical Trials Directive 2001/20/EC, US 21 Code of Federal Regulations dealing with clinical studies, as well as applicable federal, state and/or local laws and regulations in the country where the clinical study was conducted, clinical study contractual obligations and the principles enunciated in the World Medical Association Declaration of Helsinki. The study protocol, amendments, protocol clarification letters, informed consent forms, and health-related quality of life questionnaires were approved by the institutional review boards of all participating institutions.

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