5-LIST-COLORING TOROIDAL 6-REGULAR TRIANGULATIONS IN LINEAR TIME

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Abstract. We give an explicit procedure for 5-list-coloring a large class of toroidal 6-regular triangulations in linear time. We also show that these graphs are not 3-choosable.

1. Introduction

We shall denote by \( \mathbb{N} \) the set of natural numbers \( \{0, 1, 2, \ldots\} \). For each \( n \in \mathbb{N} \), let \( [n] \) denote the set \( \{1, 2, \ldots, n\} \). For each \( n \in \mathbb{N} \), let \( \mathbb{N}^n \) denote the set \( \{1, 2, \ldots, m\} \).

A graph \( G = (V, E) \) is \( k \)-choosable if every edge of \( G \) is oriented. A graph \( G \) is called \( k \)-choosable if it is \( k \)-list-choosable for every \( k \)-list assignment \( L \) (i.e., an assignment of lists of size at least \( k \), also called \( k \)-lists). The least integer \( k \) for which \( G \) is \( k \)-choosable is the choice number, or list chromatic number, of \( G \) and is denoted \( \chi_L(G) \). If \( \chi_L(G) = k \), we also say that \( G \) is \( k \)-list chromatic. Notice that the usual notion of graph coloring is equivalent to \( L \)-coloring when all the lists assigned by \( L \) are identical. This also shows that \( \chi(G) \leq \chi_L(G) \) for all graphs \( G \), and in general the inequality can be strict [20, 43].

1.1. Motivation.

1.1.1. \( k \)-choosability is computationally hard. It is well-known that computing the chromatic number is an NP-hard problem [28]. The restricted problem of finding a 4-coloring of a 3-chromatic graph is also NP-hard [26]. Even the problem of 3-colorability of 4-regular planar graphs is known to be NP-complete [16].

Naturally, list coloring is also a computationally hard problem, but much more: for instance, it is well-known [24] that the problem of deciding whether a given planar graph is 4-choosable is NP-hard—even if the 4-lists are all chosen from \( \{1, 2, 3, 4, 5\} \) [15]—and so is deciding whether a given planar triangle-free graph is 3-choosable [24]. But, contrast the latter with the fact that every
planar triangle-free graph is 3-colorable by Grötzsch’s theorem [23], and that a 3-coloring can be found in linear time [18]. In other words, restrictions on graph parameters—such as the girth, as in Grötzsch’s theorem—that allow for efficient coloring algorithms need to be strengthened further in order to get list coloring algorithms of a similar flavor.

Note that even proving nontrivial bounds for the choice number is far tougher than the cor responding problem for the chromatic number. Some of the notable instances of such bounds being determined include Brooks’s theorem for choosability [43, 20], Thomassen’s remarkable proof that every planar graph is 5-choosable [41], and Galvin’s solution to the famous Dinitz problem [22]. Other interesting examples include the fact that planar bipartite graphs are 3-choosable [5] and that any 4-regular graph decomposable into a Hamiltonian circuit and vertex-disjoint triangles is 3- choosable [21]. However, there is a fundamental difference between the former and latter examples, as we elaborate below.

1.1.2. L-coloring is algorithmically hard. Consider the problem: given a list assignment L on a graph G, can one efficiently determine whether or not G is L-choosable, and in the case when G is L-choosable can one also efficiently specify a proper coloring from these lists? The theorems of Brooks, Thomassen and Galvin mentioned earlier are some of the few instances where such algorithms are known for a large class of graphs. In the other examples that we mentioned, the proof uses the combinatorial nullstellensatz [4], in particular a powerful application found by Alon and Tarsi [5]. Hence, it does not allow one to extract an efficient algorithmic solution to the problem of L-coloring when the list assignment L is specified, except in certain special cases. That there is no known efficient algorithm that produces a 3-list coloring from a given list assignment in these examples illustrates the difficulty of the problem of efficiently finding a proper L-coloring even for graphs of small maximum degree. Even just for planar bipartite graphs, an algorithmic determination of a list coloring largely remains open [15].

Hence, efficient L-coloring algorithms for large classes of graphs are interesting. We also place our work within the context of recent results on efficient list coloring algorithms for similar classes of graphs in Section 1.3 below.

1.2. Our work. As noted earlier, in order to find good bounds for the choice number it is natural to place restrictions on certain graph parameters. We shall focus on a certain class of graphs G having bounded degeneracy number d(G), defined as d(G) := max\(_{H \subseteq G}\{\delta(H)\}\), where the maximum is taken over all induced subgraphs H of G, and \(\delta(H)\) is the minimum degree of H. A simple inductive argument [3] shows that \(\chi_l(G) \leq d(G) + 1\) for every simple graph G. This improves the rudimentary upper bound \(\chi_l(G) \leq \Delta(G) + 1\), where \(\Delta(G)\) is the maximum degree of G. A natural choice for a large collection of graphs with bounded degeneracy number is the class of graphs that are embeddable in a fixed surface, where by a surface we mean a compact connected 2-manifold, and a graph is embeddable in a surface if, informally speaking, it can be drawn on the surface without any crossing edges. In this paper, we will be concerned only with toroidal graphs, that is, graphs that are embeddable on the torus \(S^1\).

Let G = (V, E) be a toroidal graph, and let F be the set of its faces in an embedding into \(S^1\). The graphs satisfying degree\((v) = d\) for all \(v \in V\) and degree\((f) = m\) for all \(f \in F\), for some \(d, m \geq 1\), have been of interest [6, 7] especially in the study of vertex-transitive graphs on the torus [39]. A simple calculation using Euler’s formula shows that the only possible values of \((d, m)\) are \((3, 6)\), \((4, 4)\) and \((6, 3)\). Our focus will be on the graphs of the last kind, namely the 6-regular triangulations on the torus. Since triangulations have the maximum possible number of edges in any graph with
a fixed number of vertices and embeddable on a given surface, one might additionally expect this class of graphs to present a greater obstacle to an efficient solution to the list coloring problem as compared to the others.

The main result of this paper, Theorem 1, is a linear time algorithm for 5-list coloring a large class of these toroidal 6-regular triangulations. Our result is nearly tight for this class in the sense that the list size is at most one more than the choice number for any graph in this family. In fact, in Corollary 2 we find an infinite family of 5-chromatic-choosable graphs for which a list coloring can be specified in linear time.

Here, $T(r, s, t)$ is a triangulation obtained from an $r \times s$ toroidal grid, $r, s \geq 1$ (see Definition 1 for a precise statement):

**Theorem 1.** Let $G$ be a simple 6-regular toroidal triangulation. Then, $G$ is 5-choosable under any of the following conditions:

1. $G$ is isomorphic to $T(r, s, t)$ for $r \geq 4$;
2. $G$ is isomorphic to $T(1, s, 2)$ for $s \geq 9$, $s \neq 11$;
3. $G$ is isomorphic to $T(2, s, t)$ for $s$ and $t$ both even;
4. $G$ is 3-chromatic.

Moreover, the 5-list colorings can be given in linear time. Furthermore, none of these graphs are 3-choosable. Hence, $\chi_\ell(G) \in \{4, 5\}$ if any of the cases (1) to (4) hold for $G$.

We are currently unable to comment on the choosability of the excluded graphs, but we note that they consist only of nine nonisomorphic 5-chromatic graphs, as well as a subcollection of triangulations of the specific form $T(1, s, 2)$ that are 4-chromatic. For any tuple $(r, s, t)$, there is a simple formula describing each tuple $(r', s', t')$ such that $T(r, s, t)$ is isomorphic to $T(r', s', t')$ (see [6, 36]), and there are at most 6 such tuples for any $(r, s, t)$. It is also not difficult to see that the loopless multigraphs $T(r, s, t)$ are all 5-choosable. So, in this sense, Theorem 1 covers the 5-choosability of “most” 6-regular toroidal triangulations. Furthermore, among those graphs covered in Theorem 1, the 5-chromatic ones are precisely those isomorphic to $T(1, s, 2)$ for $s \not\equiv 0 \pmod{4}$. Thus, we have:

**Corollary 2.** If $G$ is isomorphic to $T(1, s, 2)$ for $s \not\equiv 0 \pmod{4}$, $s \geq 9$, $s \neq 11$, then $G$ is 5-chromatic-choosable, i.e. $\chi(G) = \chi_\ell(G) = 5$. Moreover, a 5-list coloring can be found in linear time.

To the best of our knowledge, the method of proof that we employ is novel, in that we develop a framework that allows us to systematically compare the lists on vertices that are not too far apart, and that allows us to compute the list coloring in an efficient manner. By using the differential information between lists on nearby vertices, we reduce the list configurations that need to be considered. This kind of “list calculus” differs from other list coloring algorithms in the literature, which instead reduce the possible graph configurations by exploiting general structure results on the family of graphs under consideration (minimum girth, edge-width, etc.), while the specific lists on the graphs remain nebulous. Our method of proof could prove fruitful in other areas where a structure theorem—such as Theorem 3 in our case—allows one to shift attention towards the configuration of the lists themselves. We also emphasize that our linear-time algorithm for 5-list
coloring these graphs is nearly best possible, since any fixed vertex needs to be “scanned” very few times.

1.3. Related work.

1.3.1. Colorability vs. choosability. Note that it follows from Brooks’s theorem for choosability that any 6-regular toroidal triangulations not isomorphic to $K_7$ is 6-choosable. Albertson and Hutchinson [2] showed that there is a unique simple graph in this family that is 6-chromatic, which has 11 vertices, and Thomassen [40] later classified all the 5-colorable toroidal graphs. But a precise characterization of all the 5-chromatic 6-regular toroidal triangulations was completed only recently [14, 46, 36]. Our results are the first in this line to attempt to characterize the list colorability of the 6-regular triangulations on the torus.

1.3.2. Choosability of grids. The problem of determining the choice number of 4-regular toroidal $m \times n$ grids, for $m, n \geq 3$, has been raised by Cai, Wang and Zhu [11]. These graphs are a special case of those satisfying $(d, m) = (4, 4)$. It is easy to show by induction that these grids are all 3-colorable, and the above authors conjecture that they are also 3-choosable. Recent work by Li, Shao, Petrov and Gordeev [29] has nearly determined the choice number of these grids as follows: if $mn$ is even, then the choice number is 3, else it is either 3 or 4. Contrasting this with Theorem 1, we note that both nearly determine the choice number in the sense that the true value of the choice number is either equal to, or one less than, the computed value for each member of the family. However, their result does not a priori give an efficient algorithm for $L$-coloring the toroidal grids since their proof uses the combinatorial nullstellensatz, whereas our result actually gives a linear time algorithm for $L$-coloring the toroidal triangulations.

1.3.3. Recent algorithmic advances for list colorings. Dvořák and Kawarabayashi [17] have shown that there exists a polynomial time algorithm for 5-list coloring graphs embedded on a fixed surface. Postle and Thomas [34] have proved that for any surface $\Sigma$ and every $k \in \{3, 4, 5\}$ there exists a linear time algorithm for determining whether or not an input graph $G$ embedded in $\Sigma$ and having girth at least $8 - k$ is $k$-choosable. In particular, when $\Sigma = S_1$ and $k = 5$, this implies that there is a linear time algorithm for determining whether or not any of the 6-regular triangulations under consideration in this paper are 5-choosable. This work was later extended by Postle in [33], wherein he showed that for each fixed surface $\Sigma$ there exists a linear time algorithm to find a $k$-list coloring of a graph $G$ with girth at least $8 - k$ for $k \in \{3, 4, 5\}$. Again, when $\Sigma = S_1$ and $k = 5$, this says that there is a linear time algorithm to find a 5-list coloring of a 6-regular triangulation on the torus.

Our results in this paper are stronger than those mentioned above for the class of 6-regular toroidal triangulations. Firstly, the high degree of the polynomial time algorithm in [17] makes it impractical to implement, though the authors suggest that it should likely be possible to reduce the bound enough to make the algorithm practical at least for planar graphs. Secondly, the linear time algorithm in [34] is contingent upon an enumeration of the 6-list critical graphs on the torus. Indeed, the authors show that there are only finitely many 6-list critical graphs on the torus, but a full list of these graphs is not explicitly known, and their bound on the maximum number of vertices any 6-list critical graph on the torus can have is far too large to be amenable to a straightforward
enumerative check. Also, their linear time algorithm does not specify an \( L \)-coloring in the case when the graph is \( L \)-choosable for a given list assignment \( L \). Thirdly, the linear time algorithm in [33] first requires a brute-force computation of the list colorings for any such list assignment on graphs of “small” order. However, the bound on the sizes of these small graphs is far too large to be computationally feasible, which makes the algorithm itself of mostly theoretical interest, as noted in a recent work by Dvořák and Postle [19].

This is in contrast with the results in this paper, wherein the 5-choosable graphs identified in Theorem 1 can also be given 5-list colorings in linear time, unlike as in [34]. Furthermore, the non-3-choosability of the 3-chromatic graphs \( T(r, s, t) \) is not covered by the results in [34] since these graphs have girth equal to 3, whereas their algorithm for 3-list coloring is applicable only for graphs having girth at least 5. Lastly, our proof of Theorem 1 supplies an implementable algorithm for 5-list coloring all the toroidal graphs under consideration without the need for running a brute-force check on any of them, in contrast with [33].

Structure of this paper. In Section 2, we setup the necessary preliminary material; in particular, Lemmas 7 and 8 will be used at several points in the rest of the paper. In Section 3, we prove a succession of technical lemmas to prepare the proof of Theorem 1 in case (1). The proof of this case is completed in Section 4. In Section 5, we prove cases (2) and (3) of Theorem 1. In Section 6, we prove case (4) of Theorem 1. In Section 7, we analyze the remaining cases not covered by Theorem 1 and conclude with some conjectures concerning their choosability.

2. Preliminaries

Altshuler [7] showed that every 6-regular toroidal triangulation \( G \) can be described as a regular triangulation obtained from an \( r \times s \) toroidal grid in which the edges between the first and last column are connected by a shift of \( t \) vertices. Concretely:

Definition 1. For integers \( r \geq 1, s \geq 1 \) and \( 0 \leq t \leq s - 1 \), take \( V = \{(i, j) : 1 \leq i \leq r, 1 \leq j \leq s\} \) to be the vertex set of the graph \( T(r, s, t) \) equipped with the following edges.

- For each \( 1 < i < r \), \((i, j)\) is adjacent to \((i, j \pm 1), (i \pm 1, j)\) and \((i \pm 1, j \mp 1)\).
- If \( r > 1 \), \((1, j)\) is adjacent to \((1, j \pm 1), (2, j), (2, j - 1), (r, j + t + 1)\) and \((r, j + t)\).
- If \( r > 1 \), \((r, j)\) is adjacent to \((r, j \pm 1), (r - 1, j + 1), (r - 1, j), (1, j - t)\) and \((1, j - t - 1)\).
- If \( r = 1 \), \((1, j)\) is adjacent to \((1, j \pm 1), (1, j \pm t)\) and \((1, j \pm (t + 1))\).

Here, addition in the first coordinate is taken modulo \( r \) and in the second coordinate is taken modulo \( s \). Fig. 1 depicts the graph \( G = T(5, 6, 2) \); note that the edges between the top and bottom rows are not shown in this and all subsequent figures.

It is clear that each \( T(r, s, t) \) is a 6-regular triangulation of the torus. Altshuler’s theorem says that these are all the 6-regular triangulations on the torus up to isomorphism (similar constructions also appear in [31, 39]).

\(^1\)It is worth contrasting this with the corresponding colorability problem: while Thomassen [42] has shown that for every fixed surface there are only finitely many 6-critical graphs that embed on that surface, explicit lists of these 6-critical graphs are known only for the projective plane [1], the torus [40] and the Klein bottle [12, 25].
These normal circuits have lengths \( W \) and \( T \), respectively, where \( n/\gcd(s,t) \) is the order of \( T(r,s,t) \) and \( n/\gcd(s,r+t) \), respectively, where \( n = rs \) is the order of \( T(r,s,t) \).

By picking a different normal circuit to be represented as the vertical cycle, one can see that there exist \( 0 \leq t_1 < n/\gcd(s,t) \) and \( 0 \leq t_2 < n/\gcd(s,r+t) \) such that \( T(r,s,t) \) is isomorphic to \( T(\gcd(s,t), n/\gcd(s,t), t_1) \) as well as to \( T(\gcd(s,r+t), n/\gcd(s,r+t), t_2) \). Similarly, by swapping the horizontal and diagonal normal circuits, one can see that \( T(r,s,t) \) is isomorphic to \( T(r,s,t') \) for \( 0 \leq t' < s \) such that \( t' \equiv -r-t \pmod{s} \).

We shall use the notation \( C_i \), for \( 1 \leq i \leq r \), to denote the induced subgraph of \( T(r,s,t) \) on the \( i \)th column of \( T(r,s,t) \), that is, on the set of vertices \( \{(i,j) : 1 \leq j \leq s\} \). Note that each \( C_i \) is a cycle of length \( s \) when \( r \geq 2, s \geq 3 \).

The following lemma is useful in simplifying arguments through the use of symmetry:

**Lemma 4.** Let \( r \geq 1, s \geq 1 \) and \( 0 \leq t \leq s - 1 \). The map \((i,j) \mapsto (r-i+1,s-j+1)\) on \( V(T(r,s,t)) \) induces an automorphism of \( T(r,s,t) \).

In particular, this automorphism reverses the ordering of the rows (as well as of the columns).

For integers \( r, s \geq 3 \), define the cylindrical triangulation \( C(r,s) \) to be the graph obtained from \( T(r+1,s,0) \) by deleting the column \( C_{r+1} \). More formally, let \( V(C(r,s)) := \{(i,j) : 1 \leq i \leq r, 1 \leq j \leq s\} \) and let \( E(C(r,s)) \) contain the following edges:

- For \( 1 < i < r \), let \((i,j)\) be adjacent to \((i,j \pm 1), (i \pm 1,j)\) and \((i \pm 1,j \mp 1)\).
- Let \((1,j)\) be adjacent to \((1,j \pm 1), (2,j)\) and \((2,j-1)\).
Let \((r, j)\) be adjacent to \((r, j \pm 1)\), \((r - 1, j + 1)\) and \((r - 1, j)\).

Again, addition in the second coordinate is taken modulo \(s\). Note that every interior vertex of \(C(r, s)\), that is, any vertex \((i, j)\) with \(1 < j < r\), has degree 6 and every exterior vertex of \(C(r, s)\), that is, any vertex \((i, j)\) with \(j = 1\) or \(j = r\), has degree 4.

By an abuse of notation, we shall use \(C_r\) to denote the induced subgraph on the \(i\)th column of \(C(r, s)\), too. Note that if we delete any column of the graph \(T(r + 1, s, t)\) for any \(0 \leq t \leq s - 1\), we still get a graph isomorphic to \(C(r, s)\).

We will need the following theorem on finding matchings in regular bipartite graphs.

**Theorem 5** (Cole–Ost–Schirra [13], 2001). Let \(G = (V, E)\) be a regular bipartite graph. Then, a perfect matching \(M\) of \(G\) can be found in \(O(|E|)\) time.

We make the following definition which will simplify some of the terminology in the proofs that follow.

**Definition 3.** Let \(\mathcal{L}\) be a list assignment on a graph \(G = (V, E)\). If, in a partial \(\mathcal{L}\)-coloring of \(G\), a vertex \(v \in V\) is colored with \(c \in L_v\), then the color \(c\) is no longer available for use on the uncolored neighbors of \(v\). So, the color \(c\) is removed from the lists of the neighbors of \(v\), and we do this for each vertex colored in this partial \(\mathcal{L}\)-coloring of \(G\). The new lists on \(G\) are also called the residual lists on \(G\), and we shall say that the list on an uncolored vertex \(u\) reduces by \(k\) if the residual list on \(u\) is a \((|L_u| - k)\)-list.

The following well-known lemma, due to Bondy–Bopanna–Siegel [5, Remark 2.4], gives an algorithmic proof of the theorem of Alon and Tarsi [5] in the case when \(G\) is given an orientation containing no odd directed cycle. For the sake of completeness, we provide a proof of this lemma along the lines in [45].

**Definition 4.** Let \(G\) be a digraph, and \(v \rightarrow w\) be an edge of \(G\). We also call \(w\) the successor of \(v\), and \(v\) the predecessor of \(w\). A kernel of \(G\) is an independent set \(S\) such that every \(v \notin S\) has a successor in \(S\).

**Lemma 6** (Bondy–Bopanna–Siegel [5, Remark 2.4], 1992). Let \(G = (V, E)\) be a simple graph that is given an orientation containing no odd directed cycle. Suppose \(\mathcal{L}\) is a list assignment on \(G\) such that \(L_v\) is an \((\text{outdegree}(v) + 1)\)-list for all \(v \in V\). Then, \(G\) is \(\mathcal{L}\)-choosable.

**Proof.** If \(|V| = 1\), then the statement is trivial, so suppose that \(|V| = n > 1\), and that the lemma is true for all graphs with fewer than \(n\) vertices. Let \(c\) be a color that occurs in some list assigned by \(\mathcal{L}\). Consider the induced subgraph \(H\) on the set \(U := \{v \in V : c \in L_v\}\). Clearly, the induced orientation on \(H\) also does not have any odd directed cycle. Now, Richardson’s theorem [35] says that any digraph without odd directed cycles has a kernel, so let \(S\) be a kernel in \(H\). Assign the color \(c\) to every vertex in \(S\), and now consider \(G' := G - S\). Notice that the residual lists have
reduced in size by 1 for every list on $U - S$, but every vertex in $U - S$ also has a successor in $S$. Thus, $G'$ satisfies the induction hypothesis, and we are done. □

One can find in the literature [32, 38] proofs of Richardson’s theorem that output a kernel in polynomial time. However, the graphs that we consider have enough structure that they permit straightforward linear time algorithms for finding a kernel (cf. Lemmas 7 and 15).

We will often need to color paths and cycles in $T(r, s, t)$ and $C(r, s)$, so we compile well-known results (see [20], for instance) on the colorability of these graphs in the following lemma. Moreover, from Lemma 6 and the above comments, we can give linear time algorithms for $L$-coloring these graphs.

**Lemma 7.**

1. An even cycle is 2-list chromatic.
2. An odd cycle is not 2-colorable, and hence not 2-choosable. However, if $L$ is a list assignment of 2-lists on an odd cycle such that not all the lists are identical, then the cycle is $L$-choosable.
3. If $L$ is a list assignment on an odd cycle having one 1-list, one 3-list, and all the rest as 2-lists, then the cycle is $L$-choosable.
4. If $L$ is a list assignment on a path graph having one 1-list, and all the rest as 2-lists, then the path is $L$-choosable.

Moreover, the $L$-colorings can all be found in linear time.

The following lemma, due to S. Sinha (during an undergraduate research internship with the first author), is in a similar spirit to Thomassen’s list coloring of a near-triangulation of the plane [41], and it will be repeatedly invoked in the proof of case (1) in Theorem 1.

**Lemma 8.** For $r \geq 3$, $s \geq 3$, let $G = C(r, s)$ be a cylindrical triangulation. Suppose that $L$ is a list assignment on $G$ such that:

1. there exists $1 \leq j \leq s$ such that the exterior vertices $(1, j)$ and $(1, j - 1)$ have lists of size equal to 4;
2. every other exterior vertex has a list of size equal to 3;
3. every interior vertex has a list of size equal to 5.

Then, $G$ is $L$-choosable. Moreover, an $L$-coloring can be found in linear time.

**Proof.** By Lemma 7, there is a proper coloring of $C_r$ since it is assigned 3-lists under $L$. Since every vertex of $C_{r-1}$ is adjacent to exactly two vertices of $C_r$, a proper coloring of $C_r$ reduces the 5-lists on $C_{r-1}$ to 3-lists.

Thus, by inductively coloring the columns of $C(r, s)$ from the right, we may assume without loss of generality that $r = 3$. We also assume without loss of generality that the lists of size equal to 4 are on the vertices $(1, s - 1)$ and $(1, s)$ in the column $C_1$. Now, color $(2, s)$ with $c \in L_{(2, s)} \setminus L_{(1, s)}$, which exists since $C_2$ has 5-lists. This reduces the sizes of the lists on each of the neighbors of $(2, s)$ by 1, except for $L_{(1, s)}$, which still has size equal to 4. Now, $C_3$ has 3-lists on every vertex, except for $(3, s)$
and \((3, s - 1)\), which have 2-lists. So, properly color \(C_3\) using Lemma 7. Then, color the remaining vertices in a zigzag fashion from the bottom row, coloring \((1, s)\) last, in the following order: \((1, 1), (2, 1), (1, 2), (2, 2), \ldots, (1, j), (2, j), \ldots, (1, s - 2), (2, s - 2), (2, s - 1), (1, s - 1), (1, s)\).

A proper coloring can always be found by coloring the vertices in the above sequence for the following reason. After coloring \(C_3\), the list sizes on the remaining vertices are as follows: \((1, s)\) and \((1, s - 1)\) have 4-lists, \((1, 1), (2, 1)\) and \((2, s - 1)\) have 2-lists, and all other vertices have 3-lists. So, color the vertex \((1, 1)\) using a color from its list, and the list sizes then are as follows: \((1, s - 1)\) has a 4-list, \((1, 2)\) and \((2, s - 1)\) have 2-lists, \((2, 1)\) has a 1-list, and all other vertices have 3-lists. Next, color \((2, 1)\) using a color from its list, and observe that the next vertex that is to be colored in the sequence always has at least one color left in its list. The last three vertices left to be colored are in a 3-cycle, with lists of sizes at least 1, 2 and 3. This cycle is properly colorable by Lemma 7, so this completes the proof.

It is clear from the proof that this algorithm produces an \(L\)-coloring in linear time. Fig. 2 illustrates the sizes of the lists at each step of the above coloring sequence for the graph \(G = C(3, 5)\). \(\square\)
3. Preparation for the proof of case (1) in Theorem 1

For $r \geq 4$, $s \geq 3$ and $0 \leq t \leq s - 1$, let $G := T(r, s, t)$. Fix $\mathcal{L}$ to be a list assignment on $G$ of lists of size equal to 5. We start by eliminating the trivial case: if all the lists of $\mathcal{L}$ are identical, then $G$ is $\mathcal{L}$-choosable because $G$ is 5-colorable [40]. Moreover, a 5-coloring can be found in linear time: see [14, 36, 46].

For a vertex $(i, j) \in C_t$, let its left neighbors be the two adjacent vertices in $C_{t-1}$, its right neighbors be the two adjacent vertices in $C_{t+1}$, and its vertical neighbors be the two adjacent vertices in $C_t$. We shall repeatedly invoke Lemma 8 to cut down on the possible choices for the lists assigned by $\mathcal{L}$, until it becomes simple enough to directly specify a proper coloring.

**Lemma 9.** Suppose that not all the lists in $\mathcal{L}$ are identical. If there is a vertex $v \in V(G)$ such that its list is not contained in the union of the lists of its two left neighbors, then $G$ is $\mathcal{L}$-choosable in linear time.

**Proof.** Choose a color for $v$ that is not in the list of either left neighbor of $v$, and extend the coloring to the cycle $C_t$ containing $v$ by Lemma 7. Then, we are left to color a graph isomorphic to $C(r - 1, s)$ equipped with lists whose sizes satisfy the hypotheses of Lemma 8. Hence, the coloring on $C_t$ extends to a proper coloring of $G$ in linear time by Lemma 8, and so we are done. □

Note that by Lemma 4 the above lemma is also true when “left neighbors” is replaced by “right neighbors” in the statement. Thus, it suffices to assume that the list assignment $\mathcal{L}$ satisfies the following criterion:

(i) Not all the lists in $\mathcal{L}$ are identical, and for every vertex $(i, j) \in V(G)$, $L_{(i, j)} \subseteq L_{(i-1, j)} \cup L_{(i, j+1)}$ and $L_{(i, j)} \subseteq L_{(i+1, j)} \cup L_{(i, j-1)}$.

In particular, we may assume that no column has identical lists, for if $C_t$ has identical lists, then so do $C_{t-1}$ and $C_{t+1}$ by criterion (i), so all the lists in $\mathcal{L}$ are identical by induction, a contradiction.

**Lemma 10.** Suppose that $\mathcal{L}$ satisfies criterion (i).

(1) Let $(i, j), (i, j - 1) \in V(G)$ have distinct lists. Suppose one of the following conditions holds:

(a) $L_{(i, j)} \neq L_{(i-1, j+1)}$ and $L_{(i, j-1)} \neq L_{(i-1, j-1)}$;
(b) $L_{(i, j)} \neq L_{(i-1, j+1)}$ and $L_{(i, j-1)} \neq L_{(i-1, j)}$;
(c) $L_{(i, j)} \neq L_{(i-1, j)}$ and $L_{(i, j-1)} \neq L_{(i-1, j-1)}$.

Then, $G$ is $\mathcal{L}$-choosable in linear time.

(2) Suppose $u, v \in V(G)$ are adjacent vertices lying on distinct columns such that $L_u = L_v$. If for every vertex $w \in V(G)$ that is adjacent to both $u$ and $v$ we have $L_w \neq L_u$, then $G$ is $\mathcal{L}$-choosable in linear time.

(3) Let $(i, j) \in V(G)$ be a vertex such that both its left neighbors have lists identical to $L_{(i, j)}$. Suppose that $L_{(i, j)} \neq L_{(i, j+1)}$ and $L_{(i, j)} \neq L_{(i, j-1)}$. Then, $G$ is $\mathcal{L}$-choosable in linear time.
Proof.

(1) (a) Choose a color for \((i-1, j+1)\) from \(L(i-1, j+1) \setminus L(i, j)\), for \((i-1, j-1)\) from \(L(i-1, j-1) \setminus L(i, j-1)\), and extend this to a proper coloring of \(C_{i-1}\) by Lemma 7. Then, we are in the scenario of Lemma 8, and so we are done.

(b) Choose a color \(c\) for \((i-1, j)\) from \(L(i-1, j) \setminus L(i, j-1)\). By criterion (i), \(c \in L(i, j)\), so there exists a color \(d (\neq c) \in L(i-1, j+1) \setminus L(i, j)\). Color \((i-1, j+1)\) with \(d\) and extend this to a proper coloring of \(C_{i-1}\) by Lemma 7. Then, we are in the scenario of Lemma 8, and so we are done.

(c) This is similar to the proof of Lemma 10(1)(b) above. Choose a color \(c\) for \((i-1, j)\) from \(L(i-1, j) \setminus L(i, j)\). By criterion (i), \(c \in L(i, j-1)\), so there exists a color \(d (\neq c) \in L(i-1, j-1) \setminus L(i, j-1)\). Color \((i-1, j-1)\) with \(d\) and extend this to a proper coloring of \(C_{i-1}\) by Lemma 7. Then, we are in the scenario of Lemma 8, and so we are done.

(2) Suppose \(u = (i, j)\) and \(v = (i-1, j)\), Then, \((i-1, j+1)\) nor \((i, j-1)\) has a list identical to \(L_u\). But then we are in the scenario of Lemma 10(1)(b), so \(G\) is \(L\)-choosable in linear time. Similarly, let \(u = (i, j-1)\) and \(w = (i-1, j)\). Then, \((i-1, j-1)\) nor \((i, j)\) has a list identical to \(L_u\). But then we are in the scenario of Lemma 10(1)(c), so again \(G\) is \(L\)-choosable in linear time.

(3) Choose a color for \((i, j+1)\) from \(L(i, j+1) \setminus L(i-1, j+1)\), for \((i, j-1)\) from \(L(i, j-1) \setminus L(i-1, j)\), and extend this to a proper coloring of \(C_i\) by Lemma 7. Then, we are in the scenario of Lemma 8, so we are done.

\(\square\)

Note that, by Lemma 4, Lemma 10(1) is also true when the list assignment \(L\) instead satisfies one of three analogous conditions relating the lists on \((i, j)\) and \((i, j-1)\) with their right neighbors, and Lemma 10(3) is also true when “left neighbors” is replaced by “right neighbors” in the statement.

Thus, in addition to criterion (i), we may also assume the following criteria:

(ii) Whenever \((i, j)\) and \((i, j-1)\) have distinct lists assigned by \(L\), one of the following three configurations holds:

(a) \(L(i, j) = L(i-1, j+1)\) and \(L(i, j-1) = L(i-1, j-1)\);

(b) \(L(i, j) = L(i-1, j+1) = L(i-1, j)\) and \(L(i, j-1) \neq L(i-1, j-1)\);

(c) \(L(i, j) \neq L(i-1, j+1)\) and \(L(i, j-1) = L(i-1, j) = L(i-1, j-1)\).

(iii) whenever \(u\) and \(v\) are adjacent vertices on distinct columns with \(L_u = L_v\), there is a vertex \(w\) adjacent to both \(u\) and \(v\) such that \(L_u = L_w = L_v\).

(iv) whenever \(u\), \(v\) and \(w\) are mutually adjacent vertices having identical lists, with \(v\) and \(w\) lying on the same column, at least one of the vertical neighbors of \(u\) has a list identical to \(L_u\).

The configurations (a) to (c) in criterion (ii) are illustrated in Fig. 3. By Lemma 4, we also assume one of three analogous configurations holds for the lists on the right neighbors of \((i, j)\) and
(i, j − 1) under the hypothesis of criterion (ii), but for the sake of brevity we avoid listing them explicitly.

We now make the following definitions. For a list \( L \), define the \textit{list-class} of \( L \) in \( G \), denoted \( G[L] \), to be induced subgraph of \( G \) on those vertices \( v \) such that \( L_v = L \). Let \( L \in \mathcal{L} \) and let \( H \) be a (maximal connected) component of \( G[L] \). If \( V(H) \) is a singleton, we call \( H \) an isolated component, else we call \( H \) a nonisolated component.

**Lemma 11.** Suppose that \( \mathcal{L} \) satisfies criteria (i) to (iv).

1. Let \( H \) be an isolated component of a list-class \( G[L] \), with \( V(H) = \{(i, j)\} \). Then, there are distinct lists \( L' \), \( L'' \in \mathcal{L} \) such that \( L(i−1,j+1) = L(i,j+1) = L(i+1,j) = L'(i+1,j) = L''(i+1,j−1) = L'' \).

2. Let \( H \) be a nonisolated component of a list-class \( G[L] \), with \( v \in V(H) \). Then, at least one vertical neighbor of \( v \) also belongs to \( V(H) \).

**Proof.**

1. Since \( (i, j) \) belongs to an isolated component of \( G[L] \), the lists on its vertical neighbors are distinct from \( L \). Let \( L(i,j+1) = L' \) and \( L(i,j−1) = L'' \). By applying criterion (ii) on the vertices \( (i, j) \) and \( (i, j − 1) \) with respect to their left neighbors, we see that only configuration (b) can hold, else \( (i, j) \) will not belong to an isolated component. Thus, \( L(i−1,j) = L'(i−1,j−1) = L'' \) and \( L(i,j+1) = L'' \).

Now, if \( L(i−1,j) \neq L' \), then we can apply criterion (ii) on the vertices \( (i − 1, j + 1) \) and \( (i − 1, j) \) with respect to their right neighbors, and we see that none of the analogues of configurations (a) to (c) hold, a contradiction. Hence, \( L(i−1,j) = L' \).

Next, by Lemma 4, we also get \( L(i,j+1) = L'(i,j+1) = L' \) and \( L(i,j−1) = L'' \).

Lastly, if \( L' = L'' \), then we will also have \( L = L' \) by criterion (i), a contradiction.
(2) Since $v$ is assumed to belong to a nonisolated component $H$ of some list-class $G[L]$, let $u \in V(H)$ with $u$ adjacent to $v$. If $u$ lies in the same column as $v$, then we are done, so assume that $u$ and $v$ lie in distinct columns. Then, by criterion (iii), there is a vertex $w$ adjacent to both $u$ and $v$ such that $w \in V(H)$. If $w$ lies in the same column as $v$, then we are done, so assume that $v$ and $w$ lie in distinct columns. Then, $u$ and $w$ lie on the same column, so by criterion (iv) at least one of the vertical neighbors of $v$ also belongs to $V(H)$.

The configuration in Lemma 11(1) is illustrated in Fig. 4. Note that Lemma 11(2) implies that for every $v \in V(H)$, where $H$ is a nonisolated component of some list-class $G[L]$, at least one left neighbor and one right neighbor of $v$ also belongs to $V(H)$, by criterion (i). Hence, Lemma 11(2) can be applied inductively on vertices across columns, starting from any $v \in V(H)$. Thus, if $(i, j)$ and $(i, j - 1)$ are adjacent vertices in the column $C_i$ with distinct lists, then using Lemma 11, we can pin down the possible list configurations on the nearby vertices in the columns $C_{i+1}$ and $C_{i+2}$ to a manageable number, as follows.

Lemma 12. Suppose that $L$ satisfies criteria (i) to (iv). Let $(i, j + 1), (i, j) \in V(G)$ have distinct lists $L_1, L_2$, respectively, and suppose that neither vertex belongs to an isolated component. Then, one of the following configurations holds:

(I) The vertices $(i, k), (i + 1, k)$ and $(i + 2, k)$ have lists identical to $L_1$ for $k = j + 2, j + 1$, and have lists identical to $L_2$ for $k = j, j - 1$.

(II) The vertices $(i, k), (i + 1, k)$ and $(i + 2, k - 1)$ have lists identical to $L_1$ for $k = j + 2, j + 1$, and have lists identical to $L_2$ for $k = j, j - 1$.

(III) The vertices $(i, k), (i + 1, k - 1)$ and $(i + 2, k - 1)$ have lists identical to $L_1$ for $k = j + 2, j + 1$, and have lists identical to $L_2$ for $k = j, j - 1$.

(IV) The vertices $(i, k), (i + 1, k - 1)$ and $(i + 2, k - 2)$ have lists identical to $L_1$ for $k = j + 2, j + 1$, and have lists identical to $L_2$ for $k = j, j - 1$.

(V) The vertices $(i, k), (i + 1, k)$ and $(i + 2, k)$ have lists identical to $L_1$ for $k = j + 2, j + 1$, the vertices $(i, k), (i + 1, k)$ and $(i + 2, k - 1)$ have lists identical to $L_2$ for $k = j, j - 1$, and the
vertex \((i+2,j)\) belongs to an isolated component of some list-class \(G[L_3]\), where \(L_3 \neq L_1\) and \(L_3 \neq L_2\).

(VI) The vertices \((i,k)\), \((i+1,k-1)\) and \((i+2,k-1)\) have lists identical to \(L_1\) for \(k = j+2, j+1\), the vertices \((i,k)\), \((i+1,k-1)\) and \((i+2,k-2)\) have lists identical to \(L_2\) for \(k = j, j-1\), and the vertex \((i+2,j-1)\) belongs to an isolated component of some list-class \(G[L_3]\), where \(L_3 \neq L_1\) and \(L_3 \neq L_2\).

(VII) The vertices \((i,k)\), \((i+1,k)\) and \((i+2,k-1)\) have lists identical to \(L_1\) for \(k = j+2, j+1\), the vertices \((i,k)\), \((i+1,k-1)\) and \((i+2,k-1)\) have lists identical to \(L_2\) for \(k = j, j-1\), and the vertex \((i+1,j)\) belongs to an isolated component of some list-class \(G[L_3]\), where \(L_3 \neq L_1\) and \(L_3 \neq L_2\).

Proof. We start with \(L_{(i,j+1)} = L_1\) and \(L_{(i,j)} = L_2\). By Lemma 11(2), this implies that \(L_{(i,j+2)} = L_1\) and \(L_{(i,j-1)} = L_2\). By criterion (i), \(L_{(i+1,j+1)} = L_1\) and \(L_{(i+1,j-1)} = L_2\). Now, again by Lemma 11(2), we have three cases:

1. \(L_{(i+1,j+2)} = L_1\) and \(L_{(i+1,j)} = L_2\);
2. \(L_{(i+1,j)} = L_1\) and \(L_{(i+1,j-2)} = L_2\);
3. \(L_{(i+1,j+2)} = L_1\), \(L_{(i+1,j-2)} = L_2\) and \(L_{(i+1,j)} = L_3\) where \(L_1 \neq L_3\) and \(L_2 \neq L_3\). In particular, by Lemma 11(2), \((i+1,j)\) must belong to an isolated component of the list-class \(G[L_3]\).

We consider each of these cases in turn.

First, suppose case (1) holds. Then, by criterion (i), \(L_{(i+2,j+1)} = L_1\) and \(L_{(i+2,j-1)} = L_2\). Then, again by Lemma 11(2), we have three cases:

- \(L_{(i+2,j+2)} = L_1\) and \(L_{(i+2,j)} = L_2\). This is configuration (I).
- \(L_{(i+2,j)} = L_1\) and \(L_{(i+2,j-2)} = L_2\). This is configuration (II).
- \(L_{(i+2,j+2)} = L_1\), \(L_{(i+2,j-2)} = L_2\) and \(L_{(i+2,j)} = L_3\) where \(L_1 \neq L_3\) and \(L_2 \neq L_3\). In particular, by Lemma 11(2), \((i+2,j)\) must belong to an isolated component of the list-class \(G[L_3]\). This is configuration (V).

Next, suppose case (2) holds. Then, by criterion (i), \(L_{(i+2,j)} = L_1\) and \(L_{(i+2,j-2)} = L_2\). Again by Lemma 11(2), we have three cases:

- \(L_{(i+2,j+1)} = L_1\) and \(L_{(i+2,j-1)} = L_2\). This is configuration (III).
- \(L_{(i+2,j-1)} = L_1\) and \(L_{(i+2,j-3)} = L_2\). This is configuration (IV).
- \(L_{(i+2,j+1)} = L_1\), \(L_{(i+2,j-3)} = L_2\) and \(L_{(i+2,j-1)} = L_3\) where \(L_1 \neq L_4\) and \(L_2 \neq L_3\). In particular, by Lemma 11(2), \((i+2,j-1)\) must belong to an isolated component of the list-class \(G[L_3]\). This is configuration (VI).

Lastly, suppose case (3) holds. Then, by Lemma 11(1), \(L_{(i+2,j+1)} = L_{(i+2,j)} = L_1\) and \(L_{(i+2,j-1)} = L_2\). By Lemma 11(2), we also have \(L_{(i+2,j-2)} = L_2\). This is configuration (VII). \(\square\)
Lemma 13. Suppose that $\mathcal{L}$ satisfies criteria (i) to (iv). Let $(i, j + 1)$, $(i, j)$ and $(i, j - 1)$ have mutually distinct lists $L_1$, $L_3$ and $L_2$, respectively, and suppose that $(i, j)$ corresponds to an isolated component in $G[L_3]$. Then, one of the following configurations holds:

(VIII) The vertices $(i, k)$, $(i+1, k-1)$ and $(i+2, k-1)$ have lists identical to $L_1$ for $k = j+2, j+1$, the vertices $(i, k)$, $(i+1, k)$ and $(i+2, k)$ have lists identical to $L_2$ for $k = j-1, j-2$.

(IX) The vertices $(i, k)$, $(i+1, k-1)$ and $(i+2, k-2)$ have lists identical to $L_1$ for $k = j+2, j+1$, the vertices $(i, k)$, $(i+1, k)$ and $(i+2, k-1)$ have lists identical to $L_2$ for $k = j, j-1$.

(X) The vertices $(i, k)$, $(i+1, k-1)$ and $(i+2, k-1)$ have lists identical to $L_1$ for $k = j+2, j+1$, the vertices $(i, k)$, $(i+1, k)$ and $(i+2, k-1)$ have lists identical to $L_2$ for $k = j-1, j-2$.

Figure 5. Illustration of the configurations of Lemma 12
and the vertex \((i+2, j-1)\) belongs to an isolated component of some list-class \(G[L_4]\), where \(L_1 \neq L_4\) and \(L_2 \neq L_4\), but \(L_4\) may be identical to \(L_3\).

**Proof.** We start with \(L_{(i,j+1)} = L_1\), \(L_{(i,j)} = L_3\) and \(L_{(i,j-1)} = L_2\), with \((i,j)\) belonging to an isolated component of the list-class \(G[L_3]\). By Lemma 11(2), we have \(L_{(i,j+2)} = L_1\) and \(L_{(i,j-2)} = L_2\). By Lemma 11(1), we have \(L_{(i+1,j+1)} = L_{(i+1,j)} = L_1\) and \(L_{(i+1,j-1)} = L_2\). By Lemma 11(2), we also have \(L_{(i+1,j-2)} = L_2\). By criterion (i), this implies that \(L_{(i+2,j)} = L_1\) and \(L_{(i+2,j-2)} = L_2\). Now, again by Lemma 11(2), we have the following three cases:

- \(L_{(i+2,j)} = L_1\) and \(L_{(i+2,j-2)} = L_2\). This is configuration (VIII).
- \(L_{(i+2,j-1)} = L_1\) and \(L_{(i+2,j-3)} = L_2\). This is configuration (IX).
- \(L_{(i+2,j+1)} = L_1\), \(L_{(i+2,j-3)} = L_2\) and \(L_{(i+2,j-1)} = L_4\) where \(L_1 \neq L_4\) and \(L_2 \neq L_4\). In particular, by Lemma 11(2), \((i+2, j-1)\) must belong to an isolated component of the list-class \(G[L_4]\). This is configuration (X). Note that \(L_4\) may be identical to \(L_3\).

\(\square\)

These configurations are listed in Fig. 5 and 6.

We are now in a position to complete the proof of case (1) in Theorem 1.
4. Proof of case (1) in Theorem 1

By the results in Section 3, it suffices to assume that the list assignment $\mathcal{L}$ on $G$ satisfies criteria (i) to (iv), and that, in particular, Lemma 13 holds. Suppose $(i, j + 1), (i, j)$ and $(i, j - 1)$ are three vertices in the column $C_i$ that satisfy the hypotheses of Lemma 13. Then, the vertices $(i + 1, j)$ and $(i + 1, j - 1)$ in the column $C_{i+1}$, as well as the vertices $(i - 1, j + 1)$ and $(i - 1, j)$ in the column $C_{i-1}$, satisfy the hypotheses of Lemma 12. Thus, there always exists a column that has a pair of adjacent vertices that satisfies the hypotheses of Lemma 12, which we shall now take to be $C_1$ without loss of generality. Furthermore, without loss of generality, let $(1, s)$ and $(1, s - 1)$ satisfy the hypotheses of Lemma 12.

Now, the first step of our algorithm to find an $\mathcal{L}$-coloring—which we elaborate on below—is to properly color $C_1$. Then, the lists on $C_r$ all reduce to 3-lists, so $C_r$ can be properly colored by Lemma 8. This in turn causes the lists on $C_{r-1}$ to reduce to 3-lists. Thus, we can inductively color the columns from the right using Lemma 8 until we are only left to color the columns $C_2, C_3$ and $C_4$. Thus, it suffices to assume without loss of generality that $r = 4$.

Fix $1 \leq j \leq s$. We start with a few straightforward observations:

(A) If $L(1,j) = L(2,j) = L(2,j-1)$, then any choice of color for $(1,j)$ will reduce the sizes of $L(2,j)$ and $L(2,j-1)$ by 1 each. Similarly, if $L(1,j) = L(1,j-1) = L(2,j-1)$, then any proper coloring of $(1,j)$ and $(1,j-1)$ will reduce the size of $L(2,j-1)$ by 2.

(B) Consider the vertices $(1,j), (2,j), (2,j-1)$ and $(3,j-1)$. Suppose that a color $c \in L(1,j)$ has been chosen for $(1,j)$, so the sizes of $L(2,j)$ and $L(2,j-1)$ have potentially reduced by 1 each. Now, if $c \in L(3,j-1)$ too, then coloring $(3,j-1)$ with the color $c$ does not reduce the sizes of the residual lists on $(2,j)$ and $(2,j-1)$ any further.

(C) Suppose that $L(1,j) \cap L(3,j-1) = \emptyset$. If $(1,j)$ is an isolated vertex, then $(1,j + 1), (1,j)$, and $(1,j - 1)$ satisfy the hypotheses of Lemma 13, and moreover these vertices must be in configuration (X). If $(1,j)$ is not an isolated vertex, then either $(1,j)$ and $(1,j-1)$ satisfy the hypotheses of Lemma 12, or $(1,j+1)$ and $(1,j)$ satisfy the hypotheses of Lemma 12, or $L(1,j+1) = L(1,j) = L(1,j-1)$. If the first case holds, then $(1,j)$ and $(1,j-1)$ must be in configuration (I); if the second case holds, then $(1,j+1)$ and $(1,j)$ must be in configuration (IV); the third case is impossible, since by repeated application of criterion (i) we must have $L(1,j) = L(3,j-1)$.

Furthermore, in the case when $(1,j)$ is an isolated vertex, choosing a color for $(1,j)$ from $L(1,j) \setminus L(2,j)$ and for $(3,j-1)$ from $L(3,j-1) \setminus L(2,j-1)$ will reduce the sizes of $L(2,j)$ and $L(2,j-1)$ by 1 each. Note that such choices are possible by criterion (i) and Lemma 11(1). In the other cases, any choice of color for $(1,j)$ and for $(3,j-1)$ will reduce the sizes of $L(2,j)$ and $L(2,j-1)$ only by 1 each.

These observations are crucial for step 1 of the following two-step coloring algorithm:

1. Properly color $C_1$ and a set $J$ of alternate vertices in $C_3$ such that the reduced list sizes on $C_2$ are as follows: one vertex in $C_2$ has a 4-list and every other vertex in $C_2$ has a 3-list.

2. Properly color $C_4$, then the remaining vertices in $C_3$, and finally $C_2$. 
Assume for the moment that step 1 has been completed. Then, step 2 can be completed by repeatedly invoking Lemma 7 as follows.

As we shall see when we elaborate on step 1, we may assume that the 4-list in the column $C_2$ is on the vertex $(2, s-1)$, and that the rest of the vertices in $C_2$ have 3-lists. Also, the set $J$ will turn out to be either $I := \{(3, s-2k+2) : k = 1, \ldots, \lfloor s/2 \rfloor\}$ or $I' := \{(3, s-2k+1) : k = 1, \ldots, \lfloor s/2 \rfloor\}$.

Now, the sizes of the lists on the remaining vertices of $C_3$ after the completion of step 1 are as follows: the vertices of $C_3$ that remain to be colored all have 3-lists; moreover, when $s$ is odd, the vertices $(3, 1)$ and $(3, 2)$ each have a 4-list when the set $I$ is colored in step 1, and the vertices $(3, 1)$ and $(3, s)$ each have a 4-list when the set $I'$ is colored in step 1.

Next, the sizes of the lists on the column $C_4$ are as follows: each vertex in $C_4$ has a 2-list; moreover, when $s$ is odd, the vertex $(4, 1)$ has 3-list when the set $I$ is colored in step 1, and the vertex $(4, s)$ has a 3-list when the set $I'$ is colored in step 1.

Thus, regardless of the parity of $s$, properly color the column $C_4$ using Lemma 7. This reduces the sizes of each of the remaining lists on $C_3$ by 2. Again by Lemma 7, regardless of the parity of $s$, properly color the remaining vertices in the column $C_3$. This reduces the list sizes on $C_2$ as follows.

When $s$ is even, each list on $C_2$ is reduced in size by 1. When $s$ is odd, each list is reduced in size by 1, but for the following exception: if $I$ is colored in step 1, then the list on $(2, 2)$ is reduced in size by 2, and if $I'$ is colored in step 1, then the list on $(2, 1)$ is reduced in size by 2. In either case, properly color $C_2$ using Lemma 7. This completes step 2.

Fig. 7 and 8 illustrate the sizes of the lists in step 2 when $s$ is even and odd, respectively, assuming that the set $I$ is colored in step 1. The edges between the top and bottom rows are not shown in these figures.

We now describe step 1. If $(1, s)$ and $(1, s-1)$ are in any configuration other than (IV) and (VI), then take $J = I$, and if $(1, s)$ and $(1, s-1)$ are in configuration (IV) or (VI), then take $J = I'$.
where the sets $I$ and $I'$ are as defined earlier in the description of step 2. From observations (A) to (C), every vertex in $C_2$ can have a 3-list at the end of step 1 if for every $(3, j) \in J$, either $L_{(1,j+1)} \cap L_{(3,j)} = \emptyset$, or $(1, j + 1)$ and $(3, j)$ are assigned the same color. Clearly, if the lists on $(1, j + 1)$ and $(3, j)$ are identical, then for any assignment of a color on $(1, j + 1)$ we can pick the same color for $(3, j)$. On the other hand, if the lists on $(1, j + 1)$ and $(3, j)$ are distinct but not disjoint, then we need to ensure that the color assigned on $(1, j + 1)$ belongs to $L_{(1,j+1)} \cap L_{(3,j)}$.

So, call the pair of vertices $(1, j + 1), (3, j)$ to be a good pair if either $L_{(1,j+1)} = L_{(3,j)}$, or $L_{(1,j+1)} \cap L_{(3,j)} = \emptyset$ and $(1, j + 2), (1, j + 1), (1, j)$ are not in configuration (X). Define $A$ to be the set of all pairs $(1, j + 1), (3, j)$ that are not good pairs. We now carry out step 1 in three stages. In the first stage, we shall color the vertices in $A$. In the second stage, we color the vertices $(1, s)$ and $(1, s - 1)$ in such a way that the list on $(2, s - 1)$ reduces to a 4-list. Finally, we color the remaining vertices of the column $C_1$, followed by the remaining vertices in $J$.

Now, for the first stage. Suppose $(3, j) \in A$. If the lists on $(1, j + 1)$ and $(3, j)$ are distinct but not disjoint, then choose a common color for $(1, j + 1)$ and $(3, j)$ from $L_{(1,j+1)} \cap L_{(3,j)}$. Otherwise, we have that the lists on $(1, j + 1)$ and $(3, j)$ are disjoint and the vertices $(1, j + 2), (1, j + 1), (1, j)$ are in configuration (X). In this case, choose a color for $(1, j + 1)$ from $L_{(1,j+1)} \setminus L_{(2,j+1)}$ and for $(3, j)$ from $L_{(3,j)} \setminus L_{(2,j)}$.

Note that our choice of $J$ ensures that the vertices $(1, 1), (1, s), (1, s - 1)$ and $(1, s - 2)$ are not colored in the first stage above (cf. Fig. 5). So, for the second stage, pick colors for $(1, s)$ and $(1, s - 1)$ as follows:

- If $(1, s)$ and $(1, s - 1)$ are in any of the configurations (I) to (IV), then choose a color for $(1, s)$ from $L_{(1,s)} \setminus L_{(1,s-1)}$ and for $(1, s - 1)$ from $L_{(1,s-1)} \setminus L_{(1,s)}$.  

\[\begin{array}{c}
\text{Figure 8. Illustration of the sizes of the lists on the columns } C_2, C_3 \text{ and } C_4 \text{ in step 2 when } s = 7 \text{ and } I \text{ is colored in step 1.}
\end{array}\]
• If $(1, s)$ and $(1, s - 1)$ are in configuration (V), choose a color for $(1, s)$ from $L_{(1,s)} \cap L_{(3,s-1)} \setminus L_{(1,s-1)}$ (this can be done because of criterion (i) and Lemma 11 iso-config), and choose a color for $(1, s - 1)$ from $L_{(1,s-1)} \setminus L_{(1,s)}$.

• If $(1, s)$ and $(1, s - 1)$ are in configuration (VI), choose a color for $(1, s)$ from $L_{(1,s)} \setminus L_{(1,s-1)}$, and for $(1, s - 1)$ from $L_{(1,s-1)} \cap L_{(3,s-2)} \setminus L_{(1,s)}$ (this can be done because of criterion (i) and Lemma 11(1)).

• If $(1, s)$ and $(1, s - 1)$ are in configuration (VII), choose a color for $(1, s)$ from $L_{(1,s)} \setminus L_{(2,s-1)}$, and for $(1, s - 1)$ from $L_{(1,s-1)} \setminus L_{(1,s)}$.

This coloring ensures that the vertex $(2, s - 1)$ now has a 4-list.

Finally, for the third stage. Color the remaining vertices in the column $C_1$ using Lemma 7. Then, color the remaining vertices in $J$ as follows. Suppose $(3, j) \in J$ was uncolored in the first stage. If the lists on $(3, j)$ and $(1, j + 1)$ assigned by $L$ were identical, choose the same color on $(3, j)$ as that assigned on $(1, j + 1)$. If the lists on $(3, j)$ and $(1, j + 1)$ are disjoint, then choose any color for $(3, j)$ from its list.

Notice that at the end of this procedure the vertex $(2, s - 1)$ still has a 4-list, and that all the other vertices in the column $C_2$ have 3-lists. So, this completes step 1. Combined with step 2, this completes the proof.

It is also clear from the above description that the coloring can be found in linear time.

5. Proofs of cases (2) and (3) in Theorem 1

Proof of case (2) in Theorem 1. Let $G = T(1, s, 2)$ for $s \geq 9$, $s \neq 11$. Then, every four successive vertices $(1, j), (1, j + 1), (1, j + 2), (1, j + 3)$ induce a $K_4$. Suppose that $L$ is a list assignment on $G$ with lists of size equal to 5. Since $G$ is 5-colorable in linear time by the results in [14, 36], it suffices to assume that not all the lists assigned by $L$ are identical. Without loss of generality, suppose that $L_{(1,1)} \neq L_{(1,s)}$. Choose a color for $(1, s)$ from $L_{(1,s)} \setminus L_{(1,1)}$. Next, one can properly color the vertices $(1, s - 1), (1, s - 2), \ldots, (1, 7)$ in that order by successively picking a color for each vertex from its (reduced) list. Then, the lists on the remaining vertices are as follows: $(1, 6)$ has a 2-list; $(1, 1), (1, 2)$ and $(1, 5)$ have 3-lists; $(1, 3)$ and $(1, 4)$ have 4-lists. There are two special cases that can be easily dealt with.

Case I: $L_{(1,2)} \cap L_{(1,6)} \neq \emptyset$.

Choose a common color for $(1, 2)$ and $(1, 6)$ from $L_{(1,2)} \cap L_{(1,6)}$. Then, $(1, 1)$ and $(1, 5)$ have 2-lists, and $(1, 3)$ and $(1, 4)$ have 3-lists. If we can pick a color for $(1, 1)$ that does not belong to both $L_{(1,3)}$ and $L_{(1,4)}$, then we will be done by Lemma 7, so assume that $L_{(1,1)} \subset L_{(1,3)} \cap L_{(1,4)}$. Then, for any choice of color for $(1, 1)$, the remaining 3-cycle will have 2-lists, so it will have a proper coloring only when the 2-lists are not identical, by Lemma 7. But, if picking $a \in L_{(1,1)}$ results in identical 2-lists being present on the remaining 3-cycle, then we instead pick the other color $a' \in L_{(1,1)} \setminus \{a\}$ for $(1, 1)$ to get non-identical 2-lists on the remaining 3-cycle.

So, it suffices to assume that $L_{(1,2)} \cap L_{(1,6)} = \emptyset$.

Case II: $L_{(1,1)} \cap L_{(1,5)} \neq \emptyset$.
Choose a common color $c$ for $(1,1)$ and $(1,5)$ from $L_{(1,1)} \cap L_{(1,5)}$. If $c \not\in L_{(1,2)}$, then the remaining vertices form a $K_3^-$ with three 3-lists and one 1-list, and one can see that a proper coloring can always be found from this configuration of lists. If $c \in L_{(1,2)}$, then we have a $K_4^-$ with three 2-lists and one 3-list. Since $L_{(1,2)} \cap L_{(1,6)} = \emptyset$ by assumption, we can choose a color for either $(1,2)$ or $(1,6)$ that does not belong to $L_{(1,3)}$. Then, we can properly color the rest of the vertices using Lemma 7.

So, we additionally assume that $L_{(1,1)} \cap L_{(1,5)} = \emptyset$.

Now, choose a color for $(1,3)$ from $L_{(1,3)} \setminus L_{(1,6)}$. Then, the lists are now as follows: $(1,4)$ has a 3-list; $(1,2)$ and $(1,6)$ have 2-lists; lastly, either $(1,1)$ has a 2-list and $(1,5)$ has a 3-list, or vice-versa, since the color chosen for $(1,3)$ can belong to at most one of $L_{(1,1)}$ and $L_{(1,5)}$. In either case, a color can be chosen for $(1,4)$ such that both $(1,1)$ and $(1,5)$ end up with 2-lists. The lists on $(1,2)$ and $(1,6)$ are now a 1-list and a 2-list, not necessarily in that order, since the color chosen for $(1,4)$ can belong to at most one of $L_{(1,2)}$ and $L_{(1,6)}$. In any case, the remaining four vertices form a path graph with three 2-lists and one 1-list, so a proper coloring can be found using Lemma 7.

This completes the proof. It is also clear that the list coloring can be found in linear time. □

The following lemma will be repeatedly invoked in the proof of case (3) in Theorem 1.

**Lemma 14.** Let $G = K_4^-$ be the complete graph on four vertices with an edge removed, where $V(G) = \{a, b, x, y\}$ and $\{x, y\}$ is an independent set. Suppose that $L$ is a list assignment on $G$ such that $|L_a| + |L_b| = |L_x| + |L_y|$. Then, one can choose colors for $x$ and $y$ such that the sizes of the lists on $a$ and $b$ reduce by 1 each.

**Proof.** If $L_x \cap L_y \neq \emptyset$, then choose a common color for $x$ and $y$ from $L_x \cap L_y$. Clearly, this reduces the sizes of the lists on $a$ and $b$ by 1 each.

So, suppose $L_x \cap L_y = \emptyset$. If $L_x \not\subseteq L_a \cup L_b$, then we can choose a color for $x$ from $L_x \setminus (L_a \cup L_b)$, and any color for $y$, to reduce the sizes of the lists on $a$ and $b$ by 1 each. Similarly, when $L_y \not\subseteq L_a \cup L_b$.

So, suppose that $L_x, L_y \subseteq L_a \cup L_b$. But then there are $k$ distinct available colors for $x$ and $y$ together, where $k = |L_x| + |L_y|$, as well as for $a$ and $b$ together. Thus, any color in $L_x$ can belong to at most one of $L_a$ and $L_b$, and similarly for any color in $L_y$. Moreover, it is not possible that all of the $k$ available colors on $x$ and $y$ belong to a single list (say, $L_a$), because the other list ($L_b$) will then be empty, a contradiction. Therefore, it is possible to choose colors for $x$ and $y$ from their respective lists such that each color belongs to a different list between $L_a$ and $L_b$. This reduces the sizes of the lists on $a$ and $b$ by 1 each. □

**Proof of case (3) in Theorem 1.** Let $G = T(2, s, t)$ for even $s \geq 4$ and even $t \neq 0, s - 2$. By the remarks following Theorem 3, it follows that the graphs $T(2, s, t)$ and $T(2, s, s - t - 2)$ are isomorphic, so without loss of generality we assume that $2 \leq t \leq \frac{s}{2} - 1$.

Our strategy is to properly color the column $C_2$ in such a way that the lists on the column $C_1$ are all reduced to 2-lists, so that $C_1$ can then be properly colored using Lemma 7. Note that, for every $j$, the vertices $(1, j)$, $(1, j - 1)$, $(2, j - 1)$ and $(2, j + t)$ form a $K_4^-$ with the vertices on the column $C_2$ forming an independent set. This suggests the following scheme of coloring.
Fix $1 \leq j \leq s$. Using Lemma 14, we can color $(2, j - 1)$ and $(2, j + t)$ such that the lists on $(1, j)$ and $(1, j - 1)$ reduce in size by 1 each. Then, regardless of how the rest of the neighbors of $(1, j)$ and of $(1, j - 1)$ in the column $C_2$ are colored, the end result is that the lists on these two vertices reduce to 2-lists, as required. If we can do this for each even $j$, then we will have colored $C_2$ in such a way that the lists on $C_1$ all reduce to 2-lists. A little bit of care is required to ensure that this can be done for every even $j$, while also ensuring that the coloring on $C_2$ is proper. The details now follow.

Suppose that $t < \frac{s}{2} - 1$.

- For each $j \in \{2, 4, \ldots, t\}$, we may use Lemma 14 to color the vertices of the form $(2, j - 1)$ and $(2, j + t)$.

- For $j = t + 2$, we need to color $(2, t + 1)$ and $(2, 2t + 2)$, but notice that the list on $(2, t + 1)$ has been reduced to a 4-list, since $(2, t + 2)$ has already been colored (when $j = 2$). However, the list on $(1, t + 2)$ has also been reduced to a 4-list, so Lemma 14 is still applicable.

- For each $j \in \{t + 4, t + 6, \ldots, s - t - 2\}$, notice that the list on $(2, j - 1)$ has been reduced to a 3-list, but the lists on $(1, j)$ and $(1, j - 1)$ have also been reduced to 4-lists each, so Lemma 14 is still applicable.

- For $j = s - t$, notice that the list on $(2, s - t - 1)$ is reduced to a 3-list and the list on $(2, s)$ is reduced to a 4-list, but the list on $(1, s - t)$ is reduced to a 3-list and the list on $(1, s - t - 1)$ is reduced to a 4-list. So, Lemma 14 is still applicable.

- Lastly, for each $j \in \{s - t + 2, s - t + 4, \ldots, s\}$, notice that the lists on $(2, j - 1)$ and $(2, j + t)$ are reduced to 3-lists each, but the lists on $(1, j)$ and $(1, j - 1)$ have also been reduced to 3-lists each, so Lemma 14 is still applicable.

Next, consider the case when $t = \frac{s}{2} - 1$. Since $t + 2 = s - t$, some of the cases above reduce to a single degenerate case, as explained below:

- As before, for each $j \in \{2, 4, \ldots, \frac{s}{2} - 1\}$, we may use Lemma 14 to color the vertices of the form $(2, j - 1)$ and $(2, j + t)$.

- For $j = \frac{s}{2} + 1$, we need to color $(2, \frac{s}{2})$ and $(2, s)$, but notice that the lists on $(2, \frac{s}{2})$ and $(2, s)$ have been reduced to 4-lists. However, the list on $(1, \frac{s}{2} + 1)$ has also been reduced to a 3-list, so Lemma 14 is still applicable.

- Lastly, just as before, for each $j \in \{\frac{s}{2} + 3, \frac{s}{2} + 5, \ldots, s\}$, notice that the lists on $(2, j - 1)$ and $(2, j + t)$ are reduced to 3-lists each, but the lists on $(1, j)$ and $(1, j - 1)$ have also been reduced to 3-lists each, so Lemma 14 is still applicable.

Thus, the coloring scheme outlined in the beginning can be implemented over all even $j$ to get a proper coloring of $C_2$ so that the lists on $C_1$ are all reduced to 2-lists. The proof is completed by using Lemma 7 to properly color $C_1$. Clearly, the list coloring is found in linear time. \hfill \Box

Fig. 9 illustrates the coloring algorithm for $G = T(2, 10, 4)$. 
Figure 9. Illustration of the proof of case (3) in Theorem 1 for $G = T(2, 10, 4)$
6. Proofs of case (4) in Theorem 1

**Lemma 15.** Let $G$ be a 3-regular bipartite graph. Let $V(G)$ be partitioned into two independent sets $A$ and $B$. Let $L$ be a list assignment that assigns a list of size 3 to each vertex in $A$ and a list of size 2 to each vertex in $B$. Then, $G$ is $L$-choosable. Moreover, such a list coloring can be found in linear time.

**Proof.** Find a perfect matching $M$ in $G$ and consider the graph $G - M$. Since $G - M$ is a 2-regular bipartite graph, it breaks up into a union of disjoint even cycles. Place an orientation on the edges of $G$ such that each of these cycles becomes a directed cycle, and such that the edges in $M$ are oriented from $A$ to $B$. Then, $\text{outdegree}(v) = 2$ for every $v \in A$ and $\text{outdegree}(w) = 1$ for every $w \in B$. Note that there are no odd directed cycles in this orientation, since $G$ is bipartite. Thus, by Lemma 6, $G$ is $L$-choosable for any list assignment that assigns a list of size 3 to each vertex in $A$ and a list of size 2 to each vertex in $B$.

Furthermore, Theorem 5 shows that $M$ can be found in $O(|E|)$ time, which is also $O(|V|)$ time, since $G$ is of bounded degree. Thus, the list coloring can be found in linear time. □

In an earlier paper [8], we proved the following theorem:

**Theorem 16** (Balachandran–Sankarnarayanan [8], 2021). Let $G$ be a simple 6-regular toroidal triangulation. If $G$ is 3-chromatic, then $G$ is 5-choosable.

The proof of this theorem is entirely algorithmic, except for one use of the theorem of Alon and Tarsi [5] to show that a toroidal 3-regular bipartite graph is $L$-choosable for a list assignment $L$ as in the hypothesis of Lemma 15. Using the proof of Lemma 15 in its place, we obtain the proof of case (4) in Theorem 1 as a corollary:

**Corollary 17.** Every simple 3-chromatic 6-regular toroidal triangulation is 5-choosable. Moreover, a 5-list coloring can be found in linear time.

Lastly, we show that all the simple 3-chromatic graphs $T(r, s, t)$ are not 3-choosable. Note that $T(r, s, t)$ is 3-chromatic if and only if $s \equiv 0 \equiv r - t \pmod{3}$. Let

$L_1 := \{1, 2, 3\}, \quad L_2 := \{2, 3, 4\}, \quad L_3 := \{1, 3, 4\}.$

6.1. **The graphs $T(r, s, t)$ for $r \geq 4$, $s \geq 3$.** Let $L$ be the list-assignment that assigns the above lists to the columns of $T(r, s, t)$ as follows:

$L_1 : C_1, C_2;$
$L_2 : C_3;$
$L_3 : C_4, \ldots, C_r.$

Let the vertices $(1, 1)$ and $(1, 2)$ be properly colored using $L$ in any manner. This uniquely determines a proper coloring of the induced subgraph on $C_1 \cup C_2$.

Now, there is a unique way to extend this coloring properly to the induced subgraph on $C_2 \cup C_3$ as follows: simply extend the coloring from $C_2$ to $C_3$ using the same lists used on $C_2$, namely $L_1 = \{1, 2, 3\}$; then, recolor all the vertices in $C_3$ that have the color 1 with the color 4. The reason behind this is as follows:
illustrates this list assignment for the graph with the color $R$. Now, let it suffice to assume that either $t$ is isomorphic to the rows of $L_1 \cap L_2$, the common neighbor of these two vertices in $L_3$ must receive the color 4;

- in any proper coloring of $C_1 \cup C_2$, there will be $s/3$ pairs of vertices in $C_2$ that are colored using $\{2, 3\}$, and no two of these pairs are adjacent in $C_2$;

- if a vertex in $C_3$ has its color fixed to be 4 as above, then the colors of its two vertical neighbors are also fixed.

In this manner, one can see that the coloring is extended uniquely to the rest of $C_3$, with 4 occurring in those places where 1 would have occurred had $C_3$ also been colored using $L_1 = \{1, 2, 3\}$.

Next, repeat the same process to extend the coloring on $C_3$ to a proper coloring on the induced subgraph on $C_3 \cup C_4 \cup \cdots \cup C_r$ as follows: color the vertices in $C_4 \cup \cdots \cup C_r$ using the colors used on $C_3$, namely $L_2 = \{2, 3, 4\}$, and then recolor those vertices in $C_4 \cup \cdots \cup C_r$ that have the color 2 with the color 1.

Now, we note that this coloring cannot be proper on all of $T(r, s, t)$ because this process of successive relabelling has mapped the tuple $(1, 2, 3)$ to $(2, 1, 3)$. Thus, for this to be a proper coloring of $T(r, s, t)$, the original coloring on $C_1$ must arise as the unique extension of the coloring on $C_r$ to the induced subgraph on $C_r \cup C_1$; but, $(2, 1, 3)$ is not a cyclic permutation of $(1, 2, 3)$, so this cannot happen for any $t$.

6.2. The graphs $T(2, s, t)$ for $s \geq 6$. First, consider the case $s \geq 12$. Since $T(2, s, t)$ is assumed to be simple, we ignore the case $t = s - 1$. Next, by the remarks in Section 2, $T(2, s, t)$ is isomorphic to $T(2, s, s - t - 2)$. Furthermore, $t \equiv 2 \pmod{3}$ since $T(2, s, t)$ is assumed to be 3-chromatic. Hence, it suffices to assume that either $t = s - 4$, or $t$ lies in the range $5 \leq t \leq \lfloor s/2 \rfloor - 1$.

Now, let $R_1, \ldots, R_s$ denote the $s$ rows of $T(2, s, t)$. Let $L$ be the list assignment that assigns the lists $L_1, L_2, L_3$ to the rows of $T(2, s, t)$ as follows, where $s = 3\ell$:

$$L_1 : R_1, \ldots, R_\ell;$$
$$L_2 : R_{\ell+1}, \ldots, R_{2\ell};$$
$$L_3 : R_{2\ell+1}, \ldots, R_s.$$  

Fig. 10 illustrates this list assignment for the graph $T(2, 12, 5)$; the vertices colored red, yellow, and blue are assigned the lists $L_1, L_2,$ and $L_3$, respectively.

Let the vertices $(1, 1)$ and $(1, 2)$ be properly colored using $L$ in any manner. This uniquely determines a proper coloring of the first $\ell$ rows. Now, we can find a vertex $v$ in one of the remaining blocks of size $\ell$ such that the residual list on $v$ has size equal to 1, as follows:

- If the colors on $(1, \ell)$ and $(2, \ell)$ are both present in $L_2$, then take $v = (1, \ell + 1)$.

- If the color on $(1, \ell)$ is 1, then the pairs $(1, \ell - 1), (1, \ell - 2)$ and $(2, \ell), (2, \ell - 1)$ are colored using $\{2, 3\}$. Additionally, if the color on $(2, \ell)$ is 2, then the pair $(2, \ell - 1), (2, \ell - 2)$ is colored using $\{1, 3\}$, and if the color on $(2, \ell)$ is 3, then the pair $(1, \ell), (1, \ell - 1)$ is colored using $\{1, 3\}$. 

From this data, one choice for \( v \) is given by:

\[
v = \begin{cases} 
(2, \ell - 1 + t), & 5 \leq t \leq \ell + 1; \\
(1, \ell - 2 - t), & \ell + 2 \leq t \leq \lfloor s/2 \rfloor - 1 \text{ and } (2, \ell) \text{ is colored } 2; \\
(2, \ell + t), & \ell + 2 \leq t \leq \lfloor s/2 \rfloor - 1 \text{ and } (2, \ell) \text{ is colored } 3; \\
(1, \ell + 3), & t = s - 4.
\end{cases}
\]

- A similar analysis can be done when \((2, \ell)\) is given the color 1. In that case, one choice for \( v \) is:

\[
v = \begin{cases} 
(2, \ell - 2 + t), & 5 \leq t \leq \ell + 1; \\
(1, \ell - 1 - t), & \ell + 2 \leq t \leq \lfloor s/2 \rfloor - 1 \text{ and } (1, \ell) \text{ is colored } 2; \\
(2, \ell + t), & \ell + 2 \leq t \leq \lfloor s/2 \rfloor - 1 \text{ and } (1, \ell) \text{ is colored } 3; \\
(1, \ell + 2), & t = s - 4.
\end{cases}
\]

This allows one to extend the coloring to the entire block of \( \ell \) vertices in which \( v \) belongs. Finally, we can repeat the process to color the third block of \( \ell \) vertices. But, this is not a proper coloring of \( T(2, s, t) \) for the same reason as in the previous case.

The remaining cases when \( r = 2 \) are \( T(2, 6, 2), T(2, 9, 2) \) and \( T(2, 9, 5) \). Since the last two are isomorphic to each other, we shall only consider \( T(2, 9, 5) \). In this case, we use the same list assignment as in the case when \( s \geq 12 \), and we note that after the first block of \( \ell \) vertices is colored we can choose \( v \) to be either \((1, 6)\) or \((1, 5)\), depending on whether the color 1 is given to \((1, 3)\) or \((2, 3)\), respectively. The only graph left to consider is \( T(2, 6, 2) \), which we handle in an ad hoc manner in Appendix A.

6.3. The graphs \( T(3, s, t) \) for \( s \geq 3 \). First, consider the case \( s \geq 12 \) and \( t \neq 0 \). By the remarks in Section 2, \( T(3, s, t) \) is isomorphic to \( T(3, s, s - t - 3) \), and \( t \equiv 0 \pmod{3} \) since \( T(3, s, t) \) is assumed to be 3-chromatic. Hence, it suffices to assume that \( t \) lies in the range \( 3 \leq t \leq \lfloor (s - 3)/2 \rfloor \). We use the same list assignment on these graphs as in the case \( T(2, s, t) \) for \( s \geq 12 \). A similar analysis shows that \( T(3, s, t) \) is not 3-choosable in these cases, so we omit the details.

The only remaining cases are \( T(3, s, 0) \) for \( s \geq 3 \), \( T(3, 6, 3), T(3, 9, 3) \) and \( T(3, 9, 6) \). It is easy to see that the same list assignment as above also works for \( T(3, s, 0) \) for \( s \geq 6 \). Also, the graph \( T(3, 3, 0) \) is isomorphic to \( K_{3,3,3} \), which is known to be 4-list chromatic [27]. Lastly, the graphs \( T(3, 6, 0) \) and \( T(3, 6, 3) \) are isomorphic to each other, and so are \( T(3, 9, 0) \) and \( T(3, 9, 6) \). So, the only graph left to consider is \( T(3, 9, 3) \), which we handle in an ad hoc manner in Appendix A.

6.4. The graphs \( T(1, s, t) \) for \( s \geq 9 \). Suppose that the 3-chromatic graph \( T(1, s, t) \) is not isomorphic to \( T(r', s', t') \) for any \( r' > 1 \). This happens if and only if \( \gcd(s, t) = 1 = \gcd(s, t + 1) \), so we must have \( s \equiv 3 \pmod{6} \). By the remarks in Section 2, \( T(1, s, t) \) is isomorphic to \( T(1, s, s - t - 1) \), so we can assume \( 0 \leq t \leq \lfloor (s - 1)/2 \rfloor \). Moreover, \( T(1, s, t) \) has loops when \( t = 0 \) and has multiple edges when \( t = 1, \lfloor (s - 1)/2 \rfloor \), so we ignore these cases. Since we assume that \( T(1, s, t) \) is 3-chromatic, we also have \( t \equiv 1 \pmod{3} \). Thus, it suffices to consider only those \( t \) in the range \( 4 \leq t \leq (s - 7)/2 \). Note that the least value of \( s \) for which there exists some \( t \) in the above range and for which \( \gcd(s, t) = 1 = \gcd(s, t + 1) \) is \( s = 21 \).

For simplicity, we label the vertex \((1, j)\) with the integer \( j \) (recall that \( j \) is taken modulo \( s \)). We shall use the following modifications of the above coloring scheme.
First, suppose that $7 \leq t < (s + 1)/4$. Fix $L_0$ to be an arbitrary 3-list. Let $\mathcal{L}$ be the list assignment on $T(1, s, t)$ that assigns the lists $L_0, L_1, L_2, L_3$ as follows:

$L_1 : \{s - kt, s - 1 - kt : k = 0, 1, 2, 3, 4\}$,
$L_2 : \{s - 2 - kt, s - 3 - kt : k = 0, 1, 2, 3\}$,
$L_3 : \{s - 4 - kt, \ldots, s - t + 1 - kt : k = 0, 1, 2, 3\}$,

and any remaining vertices are assigned the list $L_0$. Fig. 11(a) illustrates this list assignment for the graph $T(1, 33, 7)$; the vertices colored lilac, red, yellow, and blue are assigned the lists $L_0, L_1, L_2$, and $L_3$, respectively.

Essentially the same arguments as before work in this case as well, so we omit the details from here onward. Fig. 11(b) shows a selected portion of Fig. 11(a) on which a similar argument as in the previous cases can be applied to show that $T(1, 33, 7)$ is not 3-choosable.
Figure 11. Illustration of non-$\mathcal{L}$-colorable 3-list-assignments $\mathcal{L}$ on 3-chromatic graphs $T(1, s, t)$ for $s \geq 9$ and $7 \leq t < (s + 1)/4$ through $G = T(1, 33, 7)$. Distinct colors denote distinct lists among $L_0, L_1, L_2, L_3$. 
Next, suppose that $(s + 6)/4 \leq t < (s - 3)/3$. Define $L$ as follows:

$$L_1 = \{s - kt, s - 1 - kt : k = 0, 1, 2, 3, 4\},$$

$$L_2 = \{s - 2 - kt, s - 3 - kt : k = 0, 1, 2, 3, 4\},$$

$$L_3 = \{s - 4 - kt, s - 5 - kt : k = 0, 1, 2, 3, 4\} \cup \{s - 6 - kt, \ldots, 2s - 4t + 1 - kt : k = 0, 1, 2\}$$

$$\cup \{2s - 4t - kt, \ldots, 2s - 4t - 5 - kt : k = 1, 2\}$$

$$\cup \{2s - 4t - 6 - kt, \ldots, s - t + 1 - kt : k = 0, 1, 2\},$$

and any remaining vertices are assigned the list $L_0$.

Next, suppose that $(s + 3)/3 < t \leq (s - 7)/2$. Define $L$ as follows:

$$L_1 = \{s - kt, s - 1 - kt : k = 0, 1, 2, 3, 4\},$$

$$L_2 = \{s - 2 - kt, s - 3 - kt : k = 0, 1, 2, 3, 4\},$$

$$L_3 = \{s - 4 - kt, s - 5 - kt : k = 0, 1, 2, 3, 4\} \cup \{s - 6 - kt, \ldots, 2s - 3t + 1 - kt : k = 0, 1\}$$

$$\cup \{2s - 3t - 2 - kt, \ldots, s - t + 1 - kt : k = 0, 1\},$$

and any remaining vertices are assigned the list $L_0$.

The remaining cases are when $t = 4, (s + 1)/4, (s - 3)/3, (s + 3)/3$. These are handled by modifying these list assignments appropriately, as we show in Appendix A.

The proofs of Theorem 1 and Corollary 2 are now complete from the above results in Sections 4 to 6.

7. Concluding remarks and further questions

In the remarks following the statement of Theorem 1 in Section 1, we noted that Theorem 1 excludes only a small, finite set of 5-chromatic graphs, as well as an infinite subset of 4-chromatic graphs, both of the form $T(1, s, t)$. We shall elaborate on these details now.

7.1. The simple graphs $T(r, s, t)$ for $r < 4$ or $s < 3$. We first look at the graphs that are not covered by case (1) in Theorem 1; these are the graphs $T(r, s, t)$ with $r < 4$ or $s < 3$. But, in particular, we need only be concerned with the choosability of the simple graphs among these, because if $T(r, s, t)$ is a loopless multigraph, then we may remove the duplicated edges to get a simple $d$-regular graph for $d \leq 5$, which is 5-choosable by Brooks’s theorem [10, 20, 43], except when the graph is isomorphic to $K_5$ (but this happens only when $(r, s) \in \{(1, 6), (2, 3), (3, 2)\}$). Moreover, these graphs can be 5-list colored in linear time [37].

Now, one can check that the graphs $T(r, s, t)$ with $s < 3$ either contain loops or multiple edges, so it suffices to assume $r < 4$ and $s \geq 3$.

For $r = 3, s \geq 3$, there are no graphs $T(3, s, t)$ with loops or multiple edges.

For $r = 2, s \geq 3$, there are no graphs with loops, and the loopless multigraphs are precisely those with $t = 0, s - 2, s - 1$, so we assume that $1 \leq t \leq s - 3$. In particular, it suffices to assume that $s \geq 4$ in this case.
For \( r = 1 \), \( s \geq 3 \), the graph \( T(1, s, t) \) is isomorphic to \( T(1, s, s - t - 1) \), so it suffices to consider the values of \( t \) in the range \( 0 \leq t \leq \lfloor (s - 1)/2 \rfloor \). Then, the graphs \( T(1, s, t) \) with loops are precisely those with \( t = 0 \), and the loopless multigraphs are precisely those with \( t = 1, \lfloor (s - 1)/2 \rfloor \). So, when \( r = 1 \), we need only consider the graphs \( T(1, s, t) \) with \( 2 \leq t \leq \lfloor (s - 1)/2 \rfloor - 1 \). In particular, it suffices to assume that \( s \geq 7 \) in this case.

7.1.1. The graphs \( T(3, s, t) \) for \( s \geq 3 \). The normal circuits for \( T(3, s, t) \) have lengths \( s, 3s/\gcd(s, t) \) and \( 3s/\gcd(s, t + 3) \). Again, if either \( 3s/\gcd(s, t) \) or \( 3s/\gcd(s, t + 3) \) is at least 4, then \( T(3, s, t) \) is isomorphic to \( T(r', s', t') \) for some \( r' \geq 4 \), and we are done by case (1) in Theorem 1. So, assume that both are at most 3. If either one equals 3, then so does the other, and \( T(3, s, t) \) is in fact 3-chromatic in this case, so it is 5-choosable by case (4) in Theorem 1. So, assume that both are at most 2. But, it is not possible that both \( \gcd(s, t) \) and \( \gcd(s, t + 3) \) equal 2. Note that all the above 5-list colorings can be found in linear time as well. The only case left is when \( T(3, s, t) \) is isomorphic to \( T(1, 3s, t'') \) and it does not satisfy any of the cases (1) to (4).

7.1.2. The graphs \( T(2, s, t) \) for \( s \geq 4 \). The normal circuits of \( T(2, s, t) \) have lengths \( s, 2s/\gcd(s, t) \) and \( 2s/\gcd(s, t + 2) \). If either \( 2s/\gcd(s, t) \) or \( 2s/\gcd(s, t + 2) \) is at least 4, then \( T(2, s, t) \) is isomorphic to \( T(r', s', t') \) for some \( r' \geq 4 \), and so it is 5-choosable by case (1) in Theorem 1. So, suppose that both \( 2s/\gcd(s, t) \) and \( 2s/\gcd(s, t + 2) \) are at most 3. Note that both cannot be equal to 3 simultaneously. If any one equals 2, then so does the other, and this case is covered by case (3) in Theorem 1. All the above 5-list colorings can clearly be found in linear time as well. The only case left is when \( T(2, s, t) \) is isomorphic to \( T(1, 2s, t'') \) and it does not satisfy any of the cases (1) to (4).

7.1.3. The graphs \( T(1, s, t) \) for \( s \geq 7 \). When \( s = 7 \), we have to only consider the case \( t = 2 \), and \( T(1, 7, 2) \) is isomorphic to \( K_7 \), which is both 7-chromatic and 7-list chromatic. When \( s = 11 \), we have to consider the cases \( t = 2, 3, 4 \), but in each case the graph is isomorphic to the 6-chromatic triangulation \( J \) of Albertson and Hutchinson [2] mentioned in Section 1. By Dirac’s map color theorem for choosability [9], the graph \( J \) is also 6-list chromatic. So, assume that \( s \geq 8 \) and \( s \neq 11 \).

As shown by Yeh and Zhu [46], other than a small, finite list of exceptions, the simple 5-chromatic 6-regular toroidal triangulations are those isomorphic to \( T(1, s, 2) \) for \( s \equiv 0 \pmod{4} \), \( s \geq 9 \), \( s \neq 11 \). Case (2) of Theorem 1 shows that the graphs \( T(1, s, 2) \) for \( s \geq 9 \), \( s \neq 11 \) are all 5-choosable in linear time. Thus, we obtain an infinite class of 5-chromatic-choosable simple toroidal triangulations, proving Corollary 2.

Thus, the only graphs that are not covered by Theorem 1 are of the form \( T(1, s, t) \). Moreover, these consist only of the finitely many 5-chromatic graphs not of the form \( T(1, s, 2) \), as well as the 4-chromatic graphs not covered by cases (1) to (3). We are presently unable to comment on the choosability of these remaining graphs.

7.2. Further questions. As shown by Yeh and Zhu [46], there is a small, finite set of 5-chromatic graphs of the form \( T(1, s, t) \) that are not isomorphic to \( T(1, s, 2) \), with the largest among them having 33 vertices. This suggests the following natural question:

**Question 18.** Is \( \chi_t(G) = 5 \) for the finitely many 6-regular toroidal triangulations that are 5-chromatic and not isomorphic to \( T(1, s, 2) \) for any \( s \)?
In our earlier paper [8], we asked whether there any of the 3-chromatic 6-regular toroidal triangulations are 5-list chromatic. In light of the results in this paper, we consider a similar question for the 4-chromatic triangulations not covered in Theorem 1.

**Question 19.** Is \( \chi_\ell(G) \in \{4,5\} \) for every 4-chromatic 6-regular toroidal triangulation? That is, does there exist a 4-chromatic 6-list chromatic graph \( T(r,s,t) \)?

In our earlier paper [8], we defined the jump of a graph \( G \), \( \text{jump}(G) \), to be \( \chi_\ell(G) - \chi(G) \). There we showed that every loopless 6-regular toroidal triangulation satisfies \( \text{jump}(G) \leq 2 \). The largest jump for any toroidal graph (which we defined as \( \text{jump}(g) \) for \( g = 0 \)) is at least 2 since there exist 3-chromatic planar graphs that are 5-list chromatic [30, 44]. However, we do not have any “legitimate” example of a nonplanar toroidal graph \( G \) that satisfies \( \text{jump}(G) = 2 \).

**Question 20.** Does there exist a nonplanar toroidal graph \( G \) with \( \text{jump}(G) \geq 2 \) and such that any planar subgraph \( H \) of \( G \) has \( \text{jump}(H) < 2 \)?

We note that such “legitimate” examples must exist as the genus \( g \) increases: we have shown [8] that for connected graphs embeddable on an orientable surface with genus \( g > 0 \), the largest jump among the \( r \)-chromatic graphs is of the order \( o(\sqrt{g}) \) when \( r \) is of the order \( o(\sqrt{g}/ \log_2(g)) \), so graphs with small chromatic number (in particular, any planar graph) cannot be the sole examples of graphs attaining a large jump on a surface of large genus \( g > 0 \).

**Appendix A. Miscellaneous cases in Theorem 1**

We describe 3-list assignments on the 3-chromatic graphs not discussed in Section 6, in order to show that they are not 3-choosable. Similar arguments as described in Section 6.4 will show that these graphs are not 3-choosable for the given list assignments, so we omit the details.

First, consider \( T(1,s,4) \) for \( s \geq 21 \). Let \( \mathcal{L} \) be the list assignment that assigns the lists \( L_0, L_1, L_2, L_3 \) as follows:

\[
L_1 : \{s - kt, s - 1 - kt : k = 0, 1, 2, 3, 4\}, \\
L_2 : \{s - 2 - kt : k = 0, 1, 2, 3\}, \\
L_3 : \{s - 3 - kt : k = 0, 1, 2, 3\},
\]

and any remaining vertices are assigned the list \( L_0 \). Fig. 13 illustrates this list assignment for the graph \( T(1,21,4) \).

Next, consider \( T(1,s,t) \) for \( t = (s + 1)/4 \). Define \( \mathcal{L} \) as follows:

\[
L_1 : \{s - kt, s - 1 - kt : k = 0, 1, 2, 3\}, \\
L_2 : \{s - 2 - kt, s - 3 - kt : k = 0, 1, 2, 3\}, \\
L_3 : \{s - 4 - kt, s - 5 - kt : k = 0, 1, 2, 3\}, \\
\cup \{s - 6 - kt, \ldots, s - t + 1 : k = 0, 1\},
\]

and any remaining vertices are assigned the list \( L_0 \). Fig. 14 illustrates this list assignment for the graph \( T(1,27,7) \).
Next, consider $T(1, s, t)$ for $t = (s + 3)/3$, $s > 27$. Define $L$ as follows:

$$L_1 : \{s - kt, s - 1 - kt : k = 0, 1, 2, 3, 4\},$$
$$L_2 : \{s - 2 - kt : k = 1, 2, 3, 4\},$$
$$\quad \cup \{s - 3 - kt : k = 2, 3, 4\}$$
$$\quad \cup \{s - 4 - kt, \ldots, s - 6 - kt : k = 2, 3\}$$
$$L_3 : \{1, s - 2\} \cup \{s - 7 - kt, \ldots, s - 9 - kt : k = 1, 2\}$$
$$\quad \cup \{s - 10 - kt, \ldots, s - 12 - kt : k = 1, 2\}$$
$$\quad \cup \{s - 13 - kt, \ldots, s - t + 1 - kt : k = 0, 1\},$$

and any remaining vertices are assigned the list $L_0$. Fig. 15 illustrates this list assignment for the graph $T(1, 45, 16)$. The only remaining case for $t = (s + 3)/3$ is $T(1, 27, 10)$, which is isomorphic to $T(1, 27, 4)$, so this case is completed.

Lastly, consider $T(1, s, t)$ for $t = (s - 3)/3$. By the remarks in Section 2, we can choose the horizontal normal circuit of $T(1, s, t)$ as the vertical normal circuit to get that $T(1, s, t)$ is isomorphic to a graph $T(1, s, t')$ for some $0 \leq t' \leq [(s - 1)/2]$. A simple calculation shows that either $t' \equiv -(1 + t^{-1}) \pmod{s}$ or $t' \equiv t^{-1} \pmod{s}$. Using $s = 3t + 3$ and the fact that $t - 1 \equiv 0 \equiv s \pmod{3}$, we see that $t' \neq t$. Thus, the graph $T(1, s, t)$ is isomorphic to one of the cases considered earlier, so it is also not 3-choosable.

This covers the 3-chromatic graphs of the form $T(1, s, t)$. The graph $T(2, 6, 2)$ requires an ad hoc list assignment $L$ as follows:

$$L_1 : \{(1, 1), (1, 3), (1, 5), (2, 1)\}$$
$$L_2 : \{(1, 2), (1, 4), (1, 6), (2, 6)\}$$
$$L_3 : \{(2, 2), (2, 3), (2, 4), (2, 5)\}.$$

Fig. 12 illustrates this list assignment.

The only case left is $T(3, 9, 3)$, which requires an ad hoc list assignment $L$ as follows:

$$L_1 : \{(1, 1), (1, 2), (1, 7), (1, 8), (2, 1), (2, 2), (3, 1), (3, 2)\}$$
$$L_2 : \{(1, 3), (1, 4), (1, 9), (2, 3), (2, 4), (3, 3), (3, 4)\}$$
$$L_3 : \{(1, 5), (1, 6), (2, 5), (2, 6), (2, 7), (2, 8), (2, 9), (3, 5), (3, 6), (3, 7), (3, 8), (3, 9)\}.$$

Fig. 12 illustrates this list assignment.
Figure 12. Non-\(L\)-colorable 3-list-assignments on \(T(2, 6, 2)\) and \(T(3, 9, 3)\)
Figure 13. A non-$\mathcal{L}$-colorable 3-list-assignment on $T(1, s, 4)$ for $s = 21$. 
Figure 14. A non-$\mathcal{L}$-colorable 3-list-assignment on $T(1, s, (s + 1)/4)$ for $s = 27$. 
Figure 15. A non-$\mathcal{L}$-colorable 3-list-assignment on $T(1, s, (s + 3)/3)$ for $s = 45$. 
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