Effective medium theory for drag-reducing micro-patterned surfaces in turbulent flows

Ilenia Battiato*

Mechanical Engineering Department, Clemson University - Clemson, SC, 29634, USA

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Abstract. Many studies in the last decade have revealed that patterns at the microscale can reduce skin drag. Yet, the mechanisms and parameters that control drag reduction, e.g. Reynolds number and pattern geometry, are still unclear. We propose an effective medium representation of the micro-features, that treats the latter as a porous medium, and provides a framework to model turbulent flow over patterned surfaces. Our key result is a closed-form expression for the skin friction coefficient in terms of frictional Reynolds (or Kármán) number in turbulent regime, the viscosity ratio between the fluid in and above the features, and their geometrical properties. We apply the proposed model to turbulent flows over superhydrophobic ridged surfaces. The model predictions agree with laboratory experiments for Reynolds numbers ranging from 3000 to 10000.

1 Introduction

Surfaces patterned with microtopological features (e.g. ripples, regular or random posts arrangements) have shown drag-reducing abilities in both laminar [1–5] and turbulent [6,7] regimes. This phenomenon has been observed in both Wenzel [2–4,7,8] and Cassie [6] states. The former is characterised by the fluid impregnating the textured surface, while in the latter the liquid interface is suspended on an air cushion above the roughness peaks.

The optimal design of nano- and micron-scale topological features is still hampered by the relative lack of quantitative understanding of their impact on macroscopic flow observables (e.g. skin friction coefficient, slip length). The effect of Reynolds number is also unclear and the upper limit of turbulent drag reduction still unknown [8]. A suitable framework for quantifying the effective properties of such surfaces and their connection to microscopic features is needed [9].

Attempts to relate geometrical properties of the microfeatures to macroscopic quantities are mainly phenomenological [4], and analytical expressions are available only for tractable geometries [10,11]. Numerical studies of laminar and turbulent flows over patterned surfaces allow one to relax some of the assumptions underlying available analytical or semi-analytical solutions to more realistic flow and geometric configurations [12,13]. However, numerical modeling of drag reduction in turbulent flows poses specific challenges due to the large disparity of spatial scales between the surface features and the turbulent structures. This restricts the Reynolds number range of DNS.

In this paper we propose an effective medium theory to model turbulent channel flow above micro-patterned surfaces. By treating the latter as a porous medium, we develop closed-form expressions for the skin friction coefficient in terms of the geometrical properties of the pattern and the friction Reynolds (or Kármán) number. This is achieved by coupling the Reynolds equation for fully turbulent channel flow over the pattern to the porous media Brinkman equation for flow through the pattern. While applicable to patterned surfaces with different microtopologies and coatings, we test the model veracity by comparing our closed-form expressions with skin friction data of turbulent channel flows over superhydrophobic grooved surfaces for Reynolds number ranging from 3000 to 10000 [7].

2 Model formulation

We consider pressure-driven channel flow through, \( \hat{y} \in (-H, 0) \), and over, \( \hat{y} \in (0, 2L) \), an array of micro-ridges (see fig. 1). Following [14,15], we treat the micro-patterned surface as a porous medium with permeability \( K \). An effective medium description of the microfeatures requires a separation of scales between the characteristic width of the ridges and the size of the macroscopic domain (i.e. the channel) [16]. This is generally the case with microridged coatings where the typical dimension of the features is on the order of microns, while the sample size is on the order of millimeters or larger. We couple Brinkman and Reynolds equations to describe a distribution of the horizontal component of the average velocity \( \hat{u}(\hat{y}) \) through,
\( \psi \in (-H, 0) \), and above the pattern, \( \psi \in (0, 2L) \):

\[
\begin{align*}
\mu_e \partial_{\psi} \hat{u} - \mu_e K^{-1} \hat{u} - d_2 \hat{p} &= 0, \quad \psi \in (-H, 0), \quad (1a) \\
\mu_d \partial_{\psi} \hat{u} - d_\psi \langle \hat{u}' \hat{v}' \rangle - d_2 \hat{p} &= 0, \quad \psi \in (0, 2L), \quad (1b)
\end{align*}
\]

where \( \mu_e \) and \( \mu_d \) are the dynamic viscosities of the fluids inside and above the porous medium (i.e. grooved surface), respectively. In the turbulent regime, \( d_2 \hat{p} \ll 0 \) is an externally imposed mean pressure gradient, \( \hat{u} = [\hat{u}, \hat{v}, \hat{w}] \) denotes the mean velocity, \( \hat{u}' \) and \( \hat{v}' \) are the velocity fluctuations about their respective means, and \( \langle \hat{u}' \hat{v}' \rangle \) is the Reynolds stress. Fully developed turbulent channel flow has velocity statistics that depend on \( \psi \) only. No-slip is imposed at \( \psi = -H \) and \( \psi = 2L \). The formulation of appropriate boundary conditions at the interface between free and filtration (porous media) flows is still subject to open debate, which stems from the dispute of whether tangential velocity and shear stress at the interface are continuous or discontinuous [17–21]. Following [17, 20–22] and many others, we postulate the continuity of both velocity and shear stress at the interface, \( \psi = 0 \). Such conditions have proven to provide accurate description of the macroscopic response of systems at the nanoscale [14, 15, 20]. Hence, eqs. (1) are subject to

\[
\begin{align*}
\hat{u}(-H) &= 0, \\
\hat{u}(2L) &= 0, \\
\hat{u}(0^+) &= \hat{U}, \quad \mu_e \partial_{\psi} \hat{u}_{0^+} = \mu_d \partial_{\psi} \hat{u}_{0^+}, \quad (2)
\end{align*}
\]

where \( \hat{U} \) is an unknown (slip) velocity at the interface \( \psi = 0 \).

Choosing \( (L, \mu, q) \) as repeating variables, with \( q = -L^2 d_2 \hat{p} / \mu \) a characteristic velocity, eq. (1) can be cast in dimensionless form

\[
\begin{align*}
Md_y u - M\lambda^2 u + 1 &= 0, \quad y \in (-\delta, 0), \quad (3a) \\
d_y u - \tau_c^2 d_y (u'v') + 1 &= 0, \quad y \in (0, 2), \quad (3b)
\end{align*}
\]

subject to \( u(-\delta) = 0, \ u(0^+) = u(0^+) = U, \) and \( M_d u_{0^+} = d_y u_{0^+}, \) where \( y = \hat{y} / L, \ \delta = H/L, \ M = \mu_e / \mu, \ u = \hat{u} / q, \) and \( U = \hat{U} / q. \) The parameter \( \lambda^2 = (MK)^{-1}L^2 \) is inversely proportional to dimensionless permeability, \( K / L^2. \) The limit \( \lambda \to +\infty \) corresponds to the diminishing flow through the patterns due to decreasing permeability \( K. \) Furthermore, the Kármán (or frictional Reynolds) number, \( Re_\tau, \) is defined as the Reynolds number based on the channel half-width and the skin-friction velocity \( \hat{u}_\tau = (-Ld_2 \hat{p} / \rho)^{1/2} \)

\[
Re_\tau := \hat{u}_\tau L / \nu, \quad \text{or equivalently} \quad Re_\tau = (qL / \nu)^{1/2}, \quad (4)
\]

and it determines the relative importance of viscous and turbulent processes. Assuming the surface of the porous medium is hydrodynamically smooth, the law of the wall imposes \( d_y u_{0^+} = 1 \) since \( u(y \to 0^+) = y + U \) in the viscous sublayer [15]. Therefore, inside the porous medium, i.e. \( y \in [-\delta, 0], \) the solution for the dimensionless velocity distribution \( u(y) \) is given by

\[
\begin{align*}
u(y) &= (M\lambda^2)^{-1} + C_1 e^{\lambda y} + C_2 e^{-\lambda y}, \quad (5a) \\
C_{1,2} &= \pm \frac{1}{M\lambda^2} \frac{(M\lambda^2U - 1)e^{\pm \lambda y} + 1}{e^{\pm \lambda y} - e^{-\delta \lambda}}, \quad (5b) \\
U &= (M\lambda^2)^{-1}(1 + \lambda \tanh \delta \lambda - \sec \delta \lambda). \quad (5c)
\end{align*}
\]

The skin friction coefficient is defined as \( C_f = 2\tau_0 / (\rho \nu^2_0), \) where \( \tau_0 = \mu d_y \hat{u}_{0^+} \) is the shear stress at the edge of the pattern, \( \hat{u}_{0^+} = q\chi \) is the average flow velocity, and \( \chi = (2 + \delta)^{-1} \int^2_{-2} u(y)dy = \text{a dimensionless bulk velocity}. \) From (4),

\[
q = \nu Re_\tau^2 / L. \quad (6)
\]

Then, \( \hat{u}_h = \nu Re_\tau^2 \chi / L \) and the skin friction coefficient is written in terms of \( Re_\tau, \)

\[
C_f(Re_\tau) = \frac{2}{Re_\tau^2 \chi^2}, \quad (7)
\]

since \( d_y u_{0^+} = 1. \) The dimensionless bulk velocity \( \chi \) is rearranged as follows:

\[
\chi = \frac{\chi_0 + 2\chi_\delta}{2 + \delta}, \quad \chi_\delta = \int^0_{-\delta} u(y)dy, \quad \chi_\xi = \frac{1}{2} \int^2_0 u(y)dy. \quad (8)
\]

Equation (8) shows the impact of the pattern on the skin friction coefficient: \( \chi \propto \chi_\delta \) when \( \delta = 0, \) i.e. for a smooth channel. Integrating (5a), and combining the result with (5b) and (5c), we obtain

\[
\chi_\delta = (M\lambda^3)^{-1} [\lambda(1 + \delta) + \sec \Lambda \lambda \cos \Lambda - \cos \Lambda], \quad (9)
\]

with \( \Lambda = \lambda \delta. \) The scale parameter \( \Lambda \) provides a formal classification between thin \( (\Lambda \ll 1) \) and thick \( (\Lambda \gg 1) \) porous media [15]. Since the pattern vertical length scale is generally very small compared to the height of the channel, i.e. \( \delta \to 0, \) we look for the asymptotic behaviour of \( \chi_\delta \) as \( \Lambda \to 0. \) In this limit

\[
\chi_\delta \sim \frac{\delta}{M\lambda^3}. \quad (10)
\]

Assuming that the effect of the slip velocity \( \hat{U} \) on the bulk velocity in the channel \( q\chi_\xi \) is negligibly small when...
δ → 0 (see fig. 1(a) of [23]), we employ the log-law and the velocity-defect law of turbulent flow in a channel of width 2L to provide an estimate for χ_t. These two laws combined relate the friction velocity \tilde{u}_r to the channel bulk velocity \nu \chi_t:

\frac{1}{\kappa} + \frac{\nu \chi_t}{\tilde{u}_r} = \frac{\ln \text{Re}_r}{\kappa} + 5.1, \quad (11)

where \kappa = 0.41 is the von Kármán constant. Inserting (6) in (11), we obtain

\chi_t(\text{Re}_r) = \frac{\ln \text{Re}_r + 5.1\kappa - 1}{\kappa \text{Re}_r}, \quad (12)

since \tilde{u}_r = \nu \text{Re}_r / L. Combining (7), (8), (10) and (12) we obtain a closed-form expression for the skin friction coefficient in terms of the viscosity ratio between the fluids inside and over the patterns, \chi, Kármán number, \text{Re}_r, and the pattern height and effective permeability, \delta and \lambda, respectively,

\text{C}_f = \text{C}_f^s \left( \frac{2 + \delta}{2 + T\delta} \right)^2, \quad (13a)

with

\[ T = \frac{1}{M \chi_t(\text{Re}_r)}, \quad \text{C}_f^s = 2 \left( \frac{\kappa}{\ln \text{Re}_r + 5.1\kappa - 1} \right)^2. \]

Here \text{C}_f^s represents the skin friction coefficient in a channel with smooth walls. Similarly, for two patterned walls, the skin friction coefficient, \text{C}_f^{s2}, is

\text{C}_f^{s2} = \text{C}_f^s \left( \frac{1 + \delta}{1 + T\delta} \right)^2. \quad (14)

The turbulent drag reduction \text{R}_D^{\%} = (1 - \text{C}_f / \text{C}_f^s)\% for a channel with one (or two) micro-patterned walls can be readily calculated from (13a) (or (14)):

\text{R}_D^{\%} = 100 - \left( \frac{2 + \delta}{2 + T\delta} \right)^2 \%. \quad (15)

Equations (13) and (14) provide closed-form expressions for \text{C}_f whenever the effective permeability of the micro-pattern, the geometry of the channel and the operational flow conditions are known.

### 3 Comparison with experiments

We test the robustness of our model by comparing it with experiments [7]. Data sets collected by ref. [7] (see figs. 8 and 9 therein) include measurements of skin friction and drag reduction coefficients, \text{C}_f and \text{R}_D^{\%} respectively, as a function of Reynolds number (\text{Re} = 2L\tilde{u}_b / \nu) from channels with smooth walls, and one and two superhydrophobic walls containing 30 μm wide microridges spaced 30 μm apart. A set of dimensional and dimensionless parameters for the experiments are listed in table 1.

### Table 1. Parameter values used in the experiments of [7] with channels with smooth walls, one and two superhydrophobic surfaces with 30 μm ridges spaced 30 μm apart. Dimensionless quantities are calculated from corresponding dimensional parameters.

| Sample       | Smooth | 1 SHS | 2 SHS |
|--------------|--------|-------|-------|
| L (m)        | 3.95 \cdot 10^{-3} | 3.95 \cdot 10^{-3} | 2.75 \cdot 10^{-3} |
| H (m)        | 0      | 25 \cdot 10^{-6}  | 25 \cdot 10^{-6}  |
| \mu (Pa·s)   | 8.90 \cdot 10^{-4} | 8.90 \cdot 10^{-4} | 8.90 \cdot 10^{-4} |
| \mu_e (Pa·s) | 8.90 \cdot 10^{-4} | 1.78 \cdot 10^{-5} | 1.78 \cdot 10^{-5} |
| \mu_e (-)    | 0      | 6.33 \cdot 10^{-3} | 9.01 \cdot 10^{-3} |
| M (-)        | 1      | 0.02  | 0.02  |

For turbulent smooth-channel flows, combining (12) with (16) leads to a relation between \text{Re} and \text{Re}_r in the form \text{Re} = 2\text{Re}_r(\kappa - 1) \ln \text{Re}_r + 5.1 \kappa - 1. Similarly, for a channel with one (or two) micro-patterned surfaces, inserting (8) into (16) leads to \text{Re} = 2\text{Re}_r(2 + \delta)^{-1}(\chi_s + 2\chi_t) or \text{Re} = 2\text{Re}_r(1 + \delta)^{-1}(\chi_s + \chi_t). Since \chi_s \ll \chi_t as \delta \to 0, a good approximation of the former equations is \text{Re}_r \approx 0.09\text{Re}^{0.88} \text{Re} < 4 \cdot 10^4. Additionally, in laminar smooth-channel flows the dimensionless parabolic velocity profile, \text{u}(y) = -y^2 + y, y \in [0, 2], gives \chi = 1/3. When combined with (7) and (16), this leads to the well-known skin friction formula \text{C}_f = 12 / \text{Re} or, equivalently, \text{C}_f \approx 18 / \text{Re}_r^{2}. The former relationships allow us to rescale the data points from [7] as shown in fig. 2. Transitional effects from laminar to turbulent regimes are apparent in the range \text{Re}_r \in [100, 150] for channel flow with two superhydrophobic surfaces.

Except for relatively simple configurations (e.g. an array of pillars [14]), there exist no exact closed-form expressions that relate the dimensionless effective permeability \lambda to the geometrical properties of riblets. Therefore, we validate the proposed model by employing two sets of independent measurements from [7]. The first dataset consists of measurements of the skin friction coefficient \text{C}_f in a channel with two micro-patterned walls. Large fluctuations of the skin friction coefficient reflect transitional effects up to \text{Re}_r \approx 150. For the purpose of this analysis, we consider the subset of the data in the fully turbulent regime represented by a range of Kármán number \text{Re}_r \in [150, 200] (see the dash-lined box in fig. 2). Fitting to these data yields the value of permeability \lambda = 4.54. This value is used to make fit-free predictions of the skin friction coefficient \text{C}_f in a channel with one smooth wall and one micro-patterned wall, for the fully turbulent regime represented by a range of Kármán number.
Fig. 2. Experimental (symbols) and predicted (lines) skin friction $C_f$ in terms of $Re_x$. Data adapted from fig. 8 of [7]. Measurements of skin friction coefficient for a channel with smooth walls (empty squares), one (filled circles) and two (empty circles) SHS with $30 \mu m$ ridges spaced $30 \mu m$ apart. The thin dashed and solid lines represent the theoretical prediction of the skin friction coefficient for smooth channel, $C_f^s$, in laminar and turbulent regimes given by $C_f^s = 18/Re_x^2$ and (13b), respectively. The thick dashed and solid lines represent a one-parameter fit ($\lambda = 4.54$) and a parameter-free prediction of $C_f$ given by (14) and (13a), respectively. The dashed box contains the data used for the parametric fitting. Inset: experimental (symbols) and predicted (lines) drag reduction in terms of $Re_x$. Data adapted from (see fig. 9 of [7]).

$Re_x \in [100, 300]$. Figure 2, which compares this prediction (bold solid line) with the corresponding $C_f$ measurements (filled dots) comprising the second dataset, shows a good agreement between data and model solution.

The fitted value of $\lambda$ corresponds to the permeability $K = 1.8 \cdot 10^{-5}$ m$^2$ of the effective porous medium used to represent the two $30 \mu m$ ridged superhydrophobic walls. An order-of-magnitude analysis of the permeability of this porous medium is obtained from Darcy’s law, which states that the Darcy flux $q_d$ (volumetric flow rate per unit height $H$) is proportional to $[d\tilde{p}]$, the applied pressure gradient, such that

$$K = \frac{\mu_e}{[d\tilde{p}]} q_d.$$  

(17)

Each patterned surface in the experimental setup [7] is $38.1$ mm wide, consisting of an array of $n \approx 635$ square ridges of height $H = 30 \mu m$ spaced $30 \mu m$ apart. We approximate the flow between any two ridges with a fully developed pressure-driven flow between two parallel plates at distance $H$ apart; the bottom plate is fixed while the upper plate moves with a uniform speed $U = (1-\phi_s)^{-1} \tilde{U}$, where $\tilde{U}$ is the slip velocity measured in [7] and $\phi_s = 0.5$ is the slip fraction of the patterned surface. Then $q_d = U^* / 2 - H^2 d\tilde{p} / \mu_e$, and (17) gives the permeability of an individual channel $K_i = -\mu_e U^* / (2d\tilde{p}) + H^2 / 12$ ($i = 1, \ldots, n$). The total permeability of the two patterned surfaces is $K = 2 \sum_{i=1}^{n} K_i$. Such an estimate of permeability, and its relationship to the slip velocity, is qualitatively consistent with the numerical simulations performed by [13]. The latter demonstrates that drag reduction performance increases with increased feature spacing. Specifically, given the same solid fraction $\phi_s$, [13] predicts higher slip for features with larger pore space (or higher permeability), e.g. $30 \mu m$ to $30 \mu m$ ridges generate higher slip than $15 \mu m$ to $15 \mu m$ ridges. Similarly, posts yield higher slip velocities than ridges with the same ratio of microfeature size to spacing.

In fig. 5b of [7], the slip velocity $\tilde{U} = 0.2$ m s$^{-1}$ is reported for the channel with two patterned walls (square ridges of $H = 30 \mu m$ and $Re = 7930$. In the absence of reported pressure measurements for this channel configuration, we employ the pressure drop data reported for two other channels (see fig. 6 of [7]). In the first channel (two smooth walls) the pressure drop was $[d\tilde{p}] = 2.6$ kPa m$^{-1}$. In the second (both surfaces patterned with $H = 60 \mu m$ square ridges) it was $[d\tilde{p}] = 1.4$ kPa m$^{-1}$. Using these two values as upper and lower bounds for the actual $[d\tilde{p}]$, we obtain permeability bounds $1.8 \cdot 10^{-6}$ m$^2$ $\leq K \leq 3.3 \cdot 10^{-6}$ m$^2$. These estimates differ by a factor of 5-10 from the fitted value of $K = 1.8 \cdot 10^{-5}$ m$^2$. The discrepancy between the two is to be expected due to deviations of the experiment from the model approximations and/or highly idealised conditions, which include, e.g., flow steadiness and one-dimensionality, and hydrodynamically smoothness of the ridges’ tips.

Next, we discuss some implications of the former model. Equation (13a) implies that $C_f < C_f^s$ if $T > 1$, or

$$C_f < C_f^s \text{ if } \chi_{f}^{-1}(Re_x) > M^2,$$

(18)

with $\chi_{f}$ defined by (12) and $Re_f > Re_f^s$, with $Re_f^s$ the transition Kármán number between laminar and turbulent regimes. For channel flow, $Re_f^s \approx 100$ (or $Re_f^s \approx 3000$). At any fixed Kármán number, the skin friction $C_f$ is smaller than its smooth channel counterpart when appropriate conditions of the roughness/pattern geometry, $\lambda$ and $\delta$, and of the fluids, $M$, are met. Also, since $\chi_{f}^{-1}(Re_x)$ is a convex function, (18) implies the following.

**Proposition.** For any fixed configuration of obstacles, $\lambda$, and fluid viscosity ratio, $M$, such that $M^2 \geq \chi_{f}^{-1}(Re_f^s) \approx 7.2$, there exists a critical Kármán number, $Re_f^c$, such that $C_f < C_f^s$ if $Re_f > Re_f^c$, where $Re_f^c$ is a root of the transcendental equation

$$\kappa Re_f^c (\ln Re_f^c + 5.1\kappa - 1)^{-1} = M^2,$$

(19)

The existence of a $Re_f^c$ is consistent with experimental results, where drag reduction is initiated at a critical Reynolds number, just past the transition to turbulent flow [7]. The former statement can be reformulated as a condition on the geometrical properties of the patterns/roughness, $\lambda$, and the viscosity ratio, $M$: for any fixed value of Kármán number $Re_f^c$ $Re_f^c > Re_f^c$, drag reduction is achieved if the product $M^2$ is bounded from below and above, i.e.

$$\chi_{f}^{-1}(Re_f^c) < M^2 < \chi_{f}^{-1}(Re_f^c), \text{ Re}_f^c > Re_f^c.$$  

(20)

with $\chi_{f}$ defined in (12), and $\chi_{f}^{-1}(Re_f^c) \approx 7.2$.

This analysis has the following implications. i) The proposed model suggests that drag reduction is achieved
when $\lambda > 1$, i.e. in the porous medium regime [15], and for an intermediate range of effective permeability values. The upper bound on $\lambda$ (i.e. the minimum value of permeability) is determined by the magnitude of $Re_\tau^0$, i.e. the operational flow conditions of the apparatus/system. This is consistent with passive turbulent flow control systems where porous surfaces in airfoils are employed for drag reduction purposes. ii) The transition between drag enhancing and reducing regimes is governed by the geometric parameters of the obstacles, $\lambda$, and the viscosity of the fluid flowing between the roughness/pattern and above it, $M$. iii) For any fixed geometry and $Re_\tau > Re_\tau^*$, lower drag is achieved in Cassie/Fakir state than in Wenzel state since $M < 1$ in the former case. Also this result is consistent with experimental observations. While the former observations are qualitatively consistent with experiments, future work will focus on a quantitative analysis/estimate of each process above mentioned.

4 Concluding remarks

We proposed a novel continuum scale framework to modelling turbulent flows over micro-patterned surfaces. While applicable to flows over patterned surfaces both in Cassie and Wenzel state, we test the model on turbulent flows over superhydrophobic ridged surfaces. To the best of our knowledge, this is the first continuum scale framework that allows one to successfully quantify and analytically predict the impact of pattern geometry and Reynolds number on drag reduction. This is achieved by modelling the micro-patterned surface as a porous medium, and by coupling Brinkman equation for flow in porous media with Reynolds equations, which describe the average flow through and over the pattern, respectively. This yields a closed-form solution for the skin friction coefficient in terms of the frictional Reynolds (Kármán) number, the viscosity ratio between the outer and inner fluid, and the geometrical (i.e. height) and effective properties (i.e. permeability) of the microstructure. We demonstrated good agreement between our model and experimental data.

Based on dynamical and geometrical conditions under which the proposed model predicts drag reduction, we conjecture that the latter might be attributed to a porous-like medium behaviour of the roughness/pattern. We speculate that our results might provide an insight on the transition between turbulent flows over drag-increasing [24] and drag-decreasing rough walls where patterned protrusions, rigid or compliant [6,25] to the flow, or porous coatings [26], can be used to attenuate near-wall turbulence. Further, the proposed framework may be directly applied to model the newly developed slippery infused porous surfaces (SLIPs) [27], and serve as a quantitative guidance for their design and optimisation.

The connection between the flow characteristics at the pattern-scale and their effective medium behaviour needs to be elucidated and is subject of current investigations.

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