Multi-order interference is generally nonzero

Hans De Raedt,¹ Kristel Michielsen,² and Karl Hess³

¹Department of Applied Physics, Zernike Institute for Advanced Materials, University of Groningen, Nijenborgh 4, NL-9747 AG Groningen, The Netherlands
²Institute for Advanced Simulation, Jülich Supercomputing Centre, Research Centre Jülich, D-52425 Jülich, Germany
³Beckman Institute, Department of Electrical Engineering and Department of Physics, University of Illinois, Urbana, IL 61801, USA

(Dated: January 13, 2013)

It is demonstrated that the third-order interference, as obtained from explicit solutions of Maxwell’s equations for realistic models of three-slit devices, including an idealized version of the three-slit device used in a recent three-slit experiment with light (U. Sinha et al., Science 329, 418 (2010)), is generally nonzero. The hypothesis that the third-order interference should be zero is shown to be fatally flawed because it requires dropping the oneto-one correspondence between the symbols in the mathematical theory and the different experimental configurations.

PACS numbers: 42.25.Hz, 03.65.De, 42.50.Xa

Keywords: Interference, classical electromagnetism, Maxwells equations, optical tests of quantum theory

I. INTRODUCTION

According to the working hypothesis (WH) of Refs. ¹, ³, quantum interference between many different pathways is simply the sum of the effects from all pairs of pathways. In particular, application of the WH to a three-slit experiment yields ³

\[ I(\mathbf{r}, \text{OOO}) = |\psi_1(\mathbf{r}) + \psi_2(\mathbf{r}) + \psi_3(\mathbf{r})|^2. \]  

where \( \psi_j \) with \( j = 1, 2, 3 \) represents the amplitude of the wave emanating from the \( j \)th slit with the other two slits closed and \( \mathbf{r} \) denotes the position in space. Here and in the following, we denote the intensity of light recorded in a three-slit experiment by \( I(\mathbf{r}, \text{OOO}) \), the triple O’s indicating that all three slits are open. We will write \( I(\mathbf{r}, \text{COO}) \) for the intensity of light recorded in the experiment in which the first slit is closed, and so on.

Assuming the WH to be correct, it follows that

\[
I(\mathbf{r}, \text{OOO}) = \left| \psi_1(\mathbf{r}) + \psi_2(\mathbf{r}) \right|^2
+ \left| \psi_1(\mathbf{r}) + \psi_3(\mathbf{r}) \right|^2
+ \left| \psi_2(\mathbf{r}) + \psi_3(\mathbf{r}) \right|^2
- \left| \psi_1(\mathbf{r}) \right|^2
- \left| \psi_2(\mathbf{r}) \right|^2
- \left| \psi_3(\mathbf{r}) \right|^2.
\]

In other words, still assuming the WH to be correct, we must have

\[
\Delta(\mathbf{r}) = I(\mathbf{r}, \text{OOO}) - I(\mathbf{r}, \text{OOC}) - I(\mathbf{r}, \text{COC}) + I(\mathbf{r}, \text{COO}) = 0.
\]

According to Refs. ¹, ³, the identity Eq. (3) follows from quantum theory and the assumption that the Born rule \( I(\mathbf{r}) \propto |\Psi(\mathbf{r})|^2 \) holds. In a recent three-slit experiment with light ³, the seven contributions to \( \Delta(\mathbf{r}) \) were measured and taking into account the uncertainties intrinsic to these experiments, it was found that \( \Delta(\mathbf{r}) \approx 0 \). This finding was then taken as experimental evidence that the Born rule \( I(\mathbf{r}) \propto |\Psi(\mathbf{r})|^2 \) is not violated ³.

The purpose of the present paper is to draw attention to the fact that within Maxwell’s theory or quantum theory, the premise that Eq. (1) (which implies Eq. (3)) holds is generally false. By explicit solution of the Maxwell equations for several devices, including an idealized version of the three-slit device used in experiment ³, we show that \( \Delta(\mathbf{r}) \) is generally nonzero. We also point out that the reasoning that leads to the WH ¹, ³ and to the conclusion that \( \Delta(\mathbf{r}) = 0 \) is fundamentally flawed because...
FIG. 1: Amplitudes of the $E_x$ (left) and $E_z$ (right) components of the electric fields as obtained from a FDTD solution of Maxwell’s equation for light incident on a metallic plate with three slits. The incident wave is monochromatic and has wavelength $\lambda = 500\text{nm}$. The slits are $\lambda$ wide, their centres being separated by $3\lambda$. The index of refraction of the $4\lambda$-thick metallic plate (colored black) is $2.29 + 2.61i$. In the FDTD simulations, the material (steel) is represented by a Drude model [4].

FIG. 2: Left: Angular distribution of light $I(\theta; \text{OOO})$ transmitted by $N = 3$ slits (see Fig. 1) as obtained from the FDTD simulation (bullets) and Fraunhofer theory (solid line) $I(\theta; s = 1, d = 2, N = 3)$, see Eq. (3), where $s$ and $d$ are the dimensionless slit width and slit separation, respectively [5]. Right: Normalized difference $\Sigma(\theta) = [I(\theta; \text{OOO}) - I(\theta; \text{COO}) - I(\theta; \text{OOC}) - I(\theta; \text{COC}) + I(\theta; \text{CCO}) + I(\theta; \text{COC}) + I(\theta; \text{OCC})]/I(\theta = 0; \text{OOO})$ as a function of $\theta$. According to the WH of Refs. [1–3], this difference should be zero.

it does not satisfy one of the basic criteria of a proper mathematical description of a collection of experiments, namely that there should be a one-to-one correspondence between the symbols in the mathematical theory and the different experimental configurations.

II. SOLUTION OF MAXWELL’S EQUATION

A conclusive test of the WH Eq. (1) is to simply solve the Maxwell equations for a three-slit device in which slits can be opened or closed (simulation results for the device employed in the experiment reported in Ref. [3] are presented in Section IV). For simplicity, we assume translational invariance in the direction along the long axis of the slits, effectively reducing the dimension of the computational problem by one.

In Fig. 1 we show the stationary-state solution of the Maxwell equations, as obtained from a finite-difference time-domain (FDTD) simulation [4]. From the simulation data, we extract the angular distribution $I(\theta; \text{OOO})$. Repeating these simulations with one and two of the slits closed, we obtain $I(\theta; \text{COO})$ and so on. In all these simulations, the number of mesh points per wavelength $\lambda$ was taken to be 100 to ensure that the discretization errors of the electromagnetic (EM) fields and geometry are negligible. The simulation box is $75\lambda \times 40\lambda$ large (corresponding to 30 011 501 grid points), terminated by UPML boundaries to suppress reflection from the boundaries [4]. The device is illuminated from the bottom (Fig. 1), using a current source that generates a monochromatic plane wave that propagates in the vertical direction.

In Fig. 2 (left) we show a comparison between the angular distribution of the transmitted intensity $I(\theta; \text{OOO})$ as obtained from
the FDTD simulation (bullets) and Fraunhofer theory (solid line). Plotting

\[
\Sigma(\theta) = \frac{I(\theta; \text{OOO}) - I(\theta; \text{COO}) - I(\theta; \text{OCO}) - I(\theta; \text{OOC}) + I(\theta; \text{COC})}{I(\theta = 0; \text{OOO})} \\
+ \frac{I(\theta; \text{COC}) + I(\theta; \text{OCC})}{I(\theta = 0; \text{OOO})},
\]

(4)
as a function of \(\theta\) (see Fig. 2(right)) shows, beyond any reasonable doubt, that the WH Eq. (1) of Refs. [1–3] is in conflict with Maxwell’s theory: \(\Sigma(\theta)\) takes values in the 0.5% range, much too large to be disposed of as numerical noise. Note that \(\Sigma(\theta)\) is obtained from data produced by seven different device configurations.

Physically, the fact that \(\Sigma(\theta) \neq 0\) is not related to the presence of wave amplitude in the vicinity of the surfaces of the scattering object (one, two, or three slit system), see for instance Fig. 1(right). These amplitudes are very sensitive to changes in the geometry of the device, in particular to the presence or absence of a sharp edge. Although these amplitudes themselves do not significantly contribute to the transmitted light in the forward direction, it is well-known that their existence affects the transmission properties of the device as a whole [6, 7].

A. Illustrative example

The essence of a wave theory is that the whole system is described by one, and only one, wave function. Decomposing this wave function in various parts that are solutions of other problems and/or to attach physical relevance to parts of the wave is a potential source for incorrect conclusions and paradoxes. Even for one-and-the-same problem, the idea to think in terms of waves made up of other waves can lead to nonsensical conclusions, such as that part of a light pulse can travel at a superluminal velocity. Of course, we may express the wave field as a superposition of a complete set of basis functions, e.g. by Fourier decomposition, and this may be very useful to actually solve the mathematical problem (to a good approximation). However such decompositions are primarily convenient mathematical tricks which, in view of the fact that in principle any complete set of basis functions could be used, should not be over-interpreted as being physically relevant [8, 9].

The WH Eq. (1) takes these ideas substantially further by decomposing the wave amplitude in three parts, each part describing the same system (a single slit) located at a different position in space. It is then conjectured that the amplitude for the whole system (three slits) is just the sum of these three different amplitudes.

Advocates of the “physical” motivation for this conjecture might appeal to Feynman’s path integral formulation [10] of wave mechanics to justify their picture but in fact, one can see immediately from Feynman’s path integral formalism that the WH Eq. (1) is not valid.

We use the expression for the propagator of the electron as given by Feynman and assume that the particle proceeds from a location \(a\) and time \(t_a\) on one side of the screen with slits labeled 1, 2, 3 to a location \(b\) where a measurement is taken at time \(t_b\) on the other side. We assume that there exists some time \(t_s\) between \(t_a\) and \(t_b\) (as assumed by Feynman on p. 36, Ref. [10]). The propagator for this process is denoted by Feynman as \(K(b, a)\) [10]. If we include for clarity the times then we would have to write \(K((b, t_b), (a, t_a))\). As pointed out by Feynman (Ref. [10], p. 57) we have a connection of this propagator to the wave function \(\psi\) given by:

\[
\psi(b, t_b) = K((b, t_b), (a, t_a)).
\]

(5)

Feynman represented the propagator \(K\) by a path integral that sums over all possible space-time paths to go from \(a\) to \(b\) with the end-point times as given above. If we have an infinitely extended screen in between \(a, b\) with only slit 1 open, then all paths can only proceed through this one slit. We denote the wave function that is calculated for a path leading through a particular point \(x_1\) of the slit at time \(t_{x_1}\) by \(\psi_{x_1}\). Similarly for slits 2 and 3 open only we have \(\psi_{x_2}\) and \(\psi_{x_3}\) respectively and the corresponding \(K\)’s are calculated with Feynman paths that only go through slits 2 or 3 respectively.

Had we chosen all three slits open, then Feynman’s formalism insists that pathways going through multiple slits matter in general. Therefore, we would have to include paths through multiple slits in the path integral representation of \(K\) and we would obtain a corresponding \(\psi_{123}\). Thus, Feynman’s quantum mechanics with all three slits open does contain an infinity of paths that go through multiple slits resulting in \(\psi_{123}\). However, none of the wave functions \(\psi_{x_1}, \psi_{x_2}\) or \(\psi_{x_3}\) may contain any path through more than one slit because of the assumption that only one slit be open at a time. Therefore all the expressions involving these amplitudes do not contain multiple-slit path integrals and consequently do not contain all the paths that are required to compute \(\psi_{123}\). We illustrate the importance of “all” by solving the Maxwell equations for a minor variation of the three-slit experiment in which we block one slit.

The geometry of the device that we consider is depicted in Fig. 3 together with the FDTD solution of the EM fields in the stationary state. We have taken the three-slit device and blocked the middle slit by filling half of this slit with material (the same as used for other parts of the three-slit device), once from the top, Fig. 3(top), and once from the bottom, Fig. 3(middle). Comparing the FDTD solutions shown in Fig. 3(top) and Fig. 3(middle), it is obvious to the eye that the wave amplitudes provide
FIG. 3: Top and middle: Amplitudes of the $E_x$ (left) and $E_z$ (right) components of the electric fields as obtained from a FDTD solution of Maxwell’s equation for light incident on a metallic plate with two slits and a hole between the two slits. The incident wave is monochromatic and has wavelength $\lambda = 500$nm. The slits are $\lambda$ wide, their centres being separated by $3\lambda$. The index of refraction of the $4\lambda$-thick metallic plate (steel, colored black) is $2.29 + 2.61i$. The holes are $\lambda$ wide and $2\lambda$ deep. Bottom left: Angular distribution of light transmitted by the devices extracted from the FDTD simulation data. Solid line: Slit in the centre filled with material half-way from the top (see top panel); Red bullets: Slit in the centre filled with material half-way from the bottom (see center panel). On the scale used, the two angular distributions cannot be distinguished. Right: Normalized difference between the angular distributions of the device with the hole in the bottom (top panel) and the top (middle panel). Adopting the reasoning of Refs. [1–3], this difference should be zero.

no support for the idea that these systems can be described by a wave going through one slit and another wave going through the other slit. As in the case of the three slits, the angular distributions for the two cases look very similar (see Fig. 3 bottom, left) but differ on the one-percent level.

III. WHEN DOES THE WORKING HYPOTHESIS HOLD?

It is of interest to scrutinize the situations for which the WH Eq. (1) is correct [11, 12]. As pointed out earlier, in general, interference between many different pathways is not simply the sum of the effects from all pairs of pathways. To establish nontrivial conditions under which it truly is a pairwise sum, we discard experiments for which the WH trivially holds, that is we discard experiments that exactly probe the interference of three waves, such as the extended Mach-Zehnder interferometer experiment described in Ref. 2 and the class of statistical problems described by trichotomous variables considered in Ref. 13.

Let us (1) neglect the vector character of EM waves and (2) assume that the diffraction of the three-slit system is described by Fraunhofer diffraction theory. Then, for normal incidence, the angular distribution of light intensity produced by diffraction
The idealization of the real experiment does not affect the conclusions, on the contrary: It eliminates effects of dimensions of the slits, to reduce the computational burden, we assume translational invariance in the direction along the long axis of the slits. This idealization of the device used in the experiment [3].

In the simulation, the device is illuminated from the bottom (Fig. 1), using a current source that generates a monochromatic plane wave that propagates in the vertical direction. The wavelength of the light, the dimension of the slits and their separation, blocking masks and material properties are taken from Ref. [3].

In view of the large (compared to wavelength) dimensions of the slits, to reduce the computational burden, we assume translational invariance in the direction along the long axis of the slits. This idealization of the real experiment does not affect the conclusions. On the contrary: It eliminates effects of the finite length of the slits. In all these simulations, the 81 mesh points per wavelength ($\lambda = 405$ nm) were taken to ensure that the discretization errors of the EM fields and geometry are negligible. The simulation box of $820\mu m \times 120\mu m$ (corresponding...
When applied to the three-slit experiment in which one slit or two slits may be closed, the argument that leads from Eq. (1) to Eq. (2) is fundamentally flawed in that there is no such correspondence. If \( \psi_j \) in Eq. (1) is to represent the amplitude of the wave emanating from the \( j \)th slit with all other slits closed, the WH should be written as

\[
I(r, \text{OOO}) = |\psi_1(r, \text{OCC}) + \psi_2(r, \text{COC}) + \psi_3(r, \text{CCO})|^2,
\]

V. DISCUSSION

A necessary condition for a mathematical model to give a logically consistent description of the experimental facts is that there is one-to-one correspondence between the symbols in the mathematical description and the actual experimental configurations.
that is, we should label the $\psi$'s such that there can be no doubt about the experiment that they describe. This notation establishes the necessary one-to-one correspondence between the mathematical description (the $\psi$'s) of the particular experiment (labeled by OCC, etc.). Now, we have

$$I(r, OOO) = |\psi_1(r, OCC) + \psi_2(r, COC)|^2 + |\psi_1(r, OCC) + \psi_3(r, CCO)|^2$$

$$+ |\psi_2(r, COC) + \psi_3(r, CCO)|^2 \quad - |\psi_1(r, OCC)|^2 - |\psi_2(r, COC)|^2 - |\psi_3(r, CCO)|^2.$$  (13)

At this point, it is simply impossible to bring Eq. (13) into the form Eq. (2) without making the assumption that

$$\psi(r, OOC) = \psi_1(r, OCC) + \psi_2(r, COC),$$

$$\psi(r, OCO) = \psi_1(r, OCC) + \psi_3(r, CCO),$$

$$\psi(r, COO) = \psi_2(r, COC) + \psi_3(r, CCO).$$  (14)

If we accept this assumption, we recover Eq. (2). However, the assumption expressed by Eq. (14) cannot be justified from general principles of quantum theory or Maxwell’s theory: The only way to “justify” Eq. (14) is to “forget” that the $\psi$’s are labeled by the type of experiment (e.g. OCC) they describe. For a discussion of this point in the case of a two-slit experiment, see Refs. 14, 15.

In other words, accepting Eq. (14) destroys the one-to-one correspondence between the symbols in the mathematical theory and the different experimental configurations, opening the route to conclusions that cannot be derived from the theory proper. Hence, if $\Delta(r)$ would indeed be different from zero for a three-slit experiment, one cannot conclude that Born’s rule does not strictly hold. However, if $\Delta(r)$ is found to be nonzero in the case of the Mach-Zehnder interferometer experiment described in Ref. 12 and non-linearity of the apparatus can be ruled out, the conclusion would be that Born’s rule does not hold.

VI. SUMMARY

The results of this paper can be summarized as follows:

1. The third-order interference, as obtained from explicit solutions of Maxwell’s equations for realistic models of three-slit devices, is nonzero.

2. The hypothesis [1–3] that the third-order interference Eq. (3) should be zero is fatally flawed because it requires dropping the one-to-one correspondence between the symbols in the mathematical theory and the different experimental configurations.

3. Having shown that the expression for the third-order interference Eq. (3) cannot be derived from quantum theory or Maxwell theory of a three-slit experiment, it follows that any conclusion based on this expression has nothing to say about these theories (with the exceptions mentioned in Section 11).

VII. ACKNOWLEDGEMENT

This work is partially supported by NCF, the Netherlands.

[1] R. D. Sorkin, Mod. Phys. Lett. 9, 3119 (1994).
[2] J. Franson, Science 329, 396 (2010).
[3] U. Sinha, C. Couteau, T. Jennewein, R. Laflamme, and G. Weihs, Science 329, 418 (2010).
[4] A. Taflove and S. Hagness, Computational Electrodynamics: The Finite-Difference Time-Domain Method (Artech House, Boston, 2005).
[5] M. Born and E. Wolf, Principles of Optics (Pergamon, Oxford, 1964).
[6] G. Gay, O. Alloschery, B. Viaris de Lesegno, C. O’Dwyer, J. Weiner, and H. J. Lezec, Nature Phys. 2, 262 (2006).
[7] P. Lalanne and J. P. Hugonin, Nature Phys. 2, 551 (2006).
[8] C. Roychoudhuri, in Quantum Theory: Reconsideration of Foundations - 5, edited by A. Khrennikov (AIP Conference Proceedings, Melville and New York, 2010), vol. 1232, pp. 143 – 152.
[9] C. Roychoudhuri, J. Nanophotonics 4, 043512 (2010).
[10] R. P. Feynman and A. R. Hibbs, Quantum Mechanics and Path Integrals (McGraw-Hill, New York, 1965).
[11] A. Khrennikov, Phys. Lett. A 372, 6588 (2008), http://arxiv.org/abs/0805.1511v3.
[12] C. Ududec, H. Barnum, and J. Emerson, Found. Phys. DOI: 10.1007/s10701 (2010).
[13] P. Nyman and I. Basieva, in Advances in Quantum Theory, edited by G. Jaeger, A. Khrennikov, M. Schlosshauer, and G. Weihs (AIP Conference Proceedings, Melville, New York, 2011), vol. 1327, p. 439, http://arxiv.org/abs/1010.1142.
[14] L. E. Ballentine, Am. J. Phys. 54, 883 (1986).
[15] L. E. Ballentine, Quantum Mechanics: A Modern Development (World Scientific, Singapore, 2003).