Identifying a bath-induced Bose metal in interacting spin-boson models

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We study the ground state phase diagram of a one-dimensional hard-core bosonic model with nearest-neighbor interactions (XXZ model) where every site is coupled Ohmically to an independent but identical reservoir, hereby generalizing spin-boson models to interacting spin-boson systems. We show that a bath-induced Bose metal phase can occur in the ground state phase diagram away from half filling. This phase is compressible, gapless, and conducting but not superfluid. At half-filling, only a Luttinger liquid and a charge density wave are found. The phase transition between them is of Kosterlitz-Thouless type where the Luttinger parameter takes a non-universal value. The applied quantum Monte Carlo method can be used for all open bosonic and unfrustrated spin systems, regardless of their dimension, filling factor and spectrum of the dissipation as long as the quantum system couples to the bath via the density operators.

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Introduction – Quantum systems are, in general, coupled with their surroundings. In standard textbook scenarios it is assumed, explicitly or implicitly, that the system-environment coupling is weak such that the equilibrium state of the system can be described by the Boltzmann-Gibbs ensemble. However, this property no longer holds for quantum systems with finite coupling strength to the environment (i.e., when this coupling is comparable with the typical energy scales in the system), where the system-environment coupling can qualitatively change the properties of the system and give rise to a wealth of phenomena that are absent in closed quantum systems[1]. The paradigmatic model of quantum open systems is the Caldeira-Leggett model [2,3], or, more specifically, the spin-boson model: a two-level (spin-1/2) system coupled to a bath of harmonic oscillators with an infinite number of bosonic degrees of freedom [4,5]. The coupling to the heat bath drives a transition between a localized (classical) and delocalized (quantum) state for the spin, which is closely related with the long-range Ising model [6,7] and quantum impurity models [10,14].

Though systems consisting of a single or a few spins coupled to a heat bath have been discussed extensively [5,7,13,17], the situation is much more complicated when the system itself is an interacting quantum many-body system. The interplay between many-body effects and dissipation opens avenues for observing unknown and richer phenomena [18–39] than what is expected on the basis of these effects separately. Notwithstanding the intrinsic difficulties with strong correlations, significant progress has been made for fermionic systems with retarded interactions by using determinant QMC methods [40–42] and dynamical mean field theory [43], and for one-dimensional (1D) open quantum many-body systems using bosonization [22,23,44]. Also some specific models such as Ising-like Hamiltonians with site coupling of Ising spins to the bath, or XY-like Hamiltonian with coupling of the type $\sigma^+ b + \sigma^- b^\dagger$ have been studied but simulations were typically performed for classical systems [45,49]. However, studies of general (bosonic) quantum models with the density operator coupling to the bath have not been systematically undertaken quantitatively. Quantum Monte Carlo simulations (QMC) along the lines outlined in this work can in general be applied to such models as long as the system has a positive representation.

In this Letter we apply a numerically exact QMC method with worm-type updates [50] implemented in Ref. [51] (for a recent review, see [52]) to study the equilibrium properties of open quantum many-body systems. By integrating out the heat-bath degrees of freedom, the influence of the environment is contained in an effective action with retarded interactions of density-density type in imaginary time. Our work is a natural generalization of previous seminal work on spin-boson models [5,53] to the many-spin cases, where each spin not only interacts with a local environment but also with other spins. Away from half filling we find a gapless, compressible, conducting but non-superfluid phase, which has all the properties of a Bose metal. Since it only exists thanks to the harmonic bath, we term it a bath-induced Bose metal (BIBM). Throughout this paper we will use the language of hard-core bosons instead of the equivalent spin-1/2 terminology.

Model and method – We study a 1D lattice of $L$ sites on which hard-core bosons live with system Hamiltonian

$$H_s = \sum_{\langle ij \rangle} \{-t(a_i^\dagger a_j + a_j^\dagger a_i) + V(n_i - \frac{1}{2})(n_j - \frac{1}{2})\} - \mu \sum_i n_i,$$

where $t$ denotes the hopping amplitude, $V$ the nearest-neighbor density-density interaction strength and $\mu$ the chemical potential (half filling corresponds to $\mu = 0$). This Hamiltonian is equivalent to the XXZ model with a magnetic field. Our unit is $t = 1$. We are interested in the ground state and the critical properties of the quantum phase transitions, which we will find from a finite
size scaling assuming dynamic exponent \( z = 1 \) or \( 2 \) depending on the filling factor. On each site \( i \) the density operator \( n_i^t \) additionally couples to a local bath (as in a spin-boson model) resulting in the full Hamiltonian for the system+environment,

\[
H = H_s + \sum_{i,k} \lambda_{ik}(n_i - \frac{1}{2})(b_{ik} + b_{ik}^\dagger) + \omega_{ik}b_{ik}^\dagger b_{ik},
\]

where \( b_{i,k} \) and \( b_{i,k}^\dagger \) denote the annihilation and creation operators of the bath with eigenmodes \( \omega_k \) on site \( i \) and characterized by the spectral density

\[
J(\omega) = \pi \sum_k \lambda_k^2 \delta(\omega - \omega_k) = \pi \alpha \omega^s \quad (0 < \omega < \omega_D),
\]

where \( \alpha \) represents the coupling strength. The spectral function \( J(\omega) \) is chosen to be linear in \( \omega \) corresponding to Ohmic coupling \( (s = 1) \) and has a hard frequency cutoff \( \omega_D \) (\( \omega_D = 10 \) in this work). \( J(\omega) = 0 \) for \( \omega > \omega_D \).

The oscillator degrees of freedom can be integrated out yielding a retarded density-density interaction term in imaginary time. The partition function takes the form

\[
Z = \text{Tr} e^{-\beta H} = Z_B \int \mathcal{D}a_i^\dagger \mathcal{D}a_i e^{-\beta H_s - S_{ret}},
\]

where \( H_s(a_i^\dagger) \) is the system Hamiltonian and \( Z_B = \text{Tr}(b_i^\dagger e^{-\beta \sum_i \omega_i b_i^\dagger b_i}) \) the partition function for the free bosons of the bath. \( S_{ret} \) describes the effective action of the onsite retarded interaction,

\[
S_{ret} = -\int_0^\beta d\tau \int_0^\beta d\tau' \sum_i (n_i(\tau) - \frac{1}{2}) \tau(\tau - \tau')(n_i(\tau') - \frac{1}{2}),
\]

with site-independent kernel

\[
D(\tau - \tau') = \int_0^\infty d\omega \frac{J(\omega)}{\pi} \frac{\cosh(\frac{\omega}{2} - \omega|\tau - \tau'|)}{\sinh(\frac{\omega}{2})}.
\]

The asymptotic behavior of the kernel at zero temperature for \( \tau \gg \tau_c \) is \( D(\tau) \propto 1/\tau^{1+s} \), where \( \tau_c = 2\pi/\omega_D \) is the cutoff. For \( s \leq 1 \) and thus including Ohmic dissipation \( (s = 1) \), power counting shows that the retardation is strong enough to induce a transition (cf. the Ising model with long-range interactions \( J(x) \sim 1/x^{1+s} \)).

Without dissipation \( (\alpha = 0 \text{ in Eq.}[3]) \), the XXZ model is free of the sign problem. Monte Carlo simulations in the presence of dissipation remain possible when keeping the retardation in the exponent, \( i.e. \), we do not expand the interaction term with retardation (otherwise the spin system would acquire a sign problem). The only change to the implementation of the worm algorithm \( [3, 51] \) is that the potential energy needs to include the retardation; \( i.e. \), when the worm is moving around in imaginary time, the evaluation of the integrals resulting from the retardation is required. It is useful to gain insight from the comparison of our model with the spin-boson model, where the competition between the quantum fluctuation (transverse field) and dissipation leads to a quantum-classical transition at zero temperature. In our model the tunneling terms in Eq.[1] play a similar role to the quantum fluctuation term. It is natural to ask whether the quantum-to-classical transition can be upgraded to a quantum phase transition in our dissipative many-body system, and, if so, what the nature of the quantum many-body counterpart of the classical state in the spin-boson model is.

**Strong dissipative limit** – Before analyzing the numerical results, we perturbatively analyze the limit of strong dissipation. For simplicity we take an XY model \( (V = 0) \). In the limit \( t/\alpha \to 0 \) quantum fluctuations are completely suppressed. The system is then in a mixed state with an equal-weight mixture of all possible Fock states of hard-core bosons. Half filling requires a more careful analysis beyond this zeroth order result. Turning on the tunneling but staying in the regime \( t/\alpha \ll 1 \), we can treat the tunneling terms as a perturbation, which we restrict to 2nd order virtual hopping processes. In the dual picture, the world line configuration for the hard-core bosons can be considered as a Coulomb gas of kinks and antikinks with interactions that are local in space but long-range in imaginary time, \( V(\tau_1 - \tau_2) \approx -4\alpha_0 \delta_{ij} \ln(|\tau_1 - \tau_2|^2/\tau_c) \) (that is a 2D Coulombic interaction for a kink-antikink pair located at \( \tau_1 \) and \( \tau_2 \)).

The ground-state (kinetic) energy (per site) is then (see the Suppl. Mat. [54])

\[
E_g/L \approx -\frac{J(0)^2\tau_c}{4\alpha - 1},
\]

which agrees well with the numerical results as is shown in the Suppl. Mat. [54]. The system can find a lower energy if it can maximize the number of bonds. Therefore, at half filling, this will require an empty site to be next to an occupied site, since two adjacent empty or occupied sites can’t have virtual exchanges. We expect thus a tendency towards a charge density wave with a gap \( \Delta \sim E_g/L \).

**Incommensurate filling** – We now switch to the discussion of the numerical results. We first focus on the case of incommensurate filling of the hard-core bosons \( (\mu \neq 0) \). In the absence of dissipation, the physics is relatively straightforward: the groundstate is a Luttinger liquid (LL) irrespective of the interaction strength. To study the competition between quantum fluctuations and dissipation we set \( V = 0 \) in Eq.[1] and address the problem how the dissipation can qualitatively change the nature of the LL phase. To distinguish various quantum phases, we first study the single particle correlation function \( G(r) = <a_i^\dagger a_{i+r}> \) for different \( \alpha \). As shown in Fig.[1] (a) and (b), the single particle correlation function decays algebraically for weak dissipation, while for strong dissipation it decays exponentially. We also study the density correlation functions in (imaginary) time and space. We
see that the on-site unequal-(imaginary) time density correlation function \( S(\tau) = \sum_i (\langle n_i(\tau) - \bar{n}\rangle \langle n_i(0) - \bar{n}\rangle) / L \) with \( \bar{n} \) the density of the particle and \( \bar{n} \approx 1/3 \) (shown in Fig.1 (c) and (d)) decays algebraically with \( \tau \) for strong dissipation. This decay becomes however extremely weak with increasing \( \alpha \); e.g., for \( \alpha = 0.5, L = 4 \) and \( \beta = 108 \) it is just 0.03. Although this decay increases rapidly with \( \beta \) we expect it to connect continuously to a constant in the limit \( t/\alpha \to 0 \). The (absolute value of the) equal-time density correlation functions \( S(r) = \sum_i |\langle n_i - \bar{n}\rangle\langle n_{i+r} - \bar{n}\rangle| \) decays algebraically with distance for weak dissipation (not shown here), while for strong dissipation Fig.1 (d) shows an exponential decay enveloping a density-dependent oscillatory factor, \( S(r) \sim |e^{-\gamma r} \cos(2\pi r\bar{n})| \). However, in contrast to \( G(r) \), we find that \( S(r) \) is much more sensitive to temperature. Based on a finite \( \beta \) scaling of the factor \( \gamma \) (see the inset of Fig.2 d for \( \alpha = 0.36 \)), it is difficult to determine numerically whether \( \gamma \) extrapolates to a very small but finite value or zero at absolute zero temperature. Therefore, an algebraic decay \( (\gamma \to 0) \) for \( S(r) \) at zero temperature is possible, as predicted by the self-consistent harmonic approximation employed in Ref. [23]. The different behaviors of the correlation functions for weak and strong dissipation clearly indicate two distinct phases: in case of weak dissipation we have a Luttinger liquid (LL) while for strong dissipation we find the many-body counterpart of the localized phase in the spin-boson model.

To study the transition between the two distinct phases, we calculate other observables of interest such as the superfluid density \( \rho_s \) and the compressibility \( \kappa \) (or equivalently the variance of winding number \( W^2 \) = \( \beta \rho_s / L \) and particle number \( \Delta N = \langle N^2 \rangle - \langle N \rangle^2 = L \rho_s / \beta \), see the Suppl. Mat. [34]). In Fig.4 (e), we plotted \( \langle W^2 \rangle \) using the scaling relation with \( z = 2 \), and found an intersection point between the different system sizes at the point \( \alpha = 0.33(1) \), indicating that the transition from LL to BIBM is not a Kosterlitz-Thouless type with \( z = 1 \) as predicted by bosonization [23], but a continuous transition with \( z = 2 \) as in Ref. [47]. The \( \rho_s \) is nonzero in the LL but approaches 0 in the BIBM phase. On the other hand, the variance of particle number, shown in Fig.1 (f), is larger in the BIBM than in the LL phase, indicating that the BIBM phase is a highly compressible phase with no charge gap. Furthermore, the BIBM has diffusive charge excitations resulting in a non-zero conductivity [23], justifying the terminology “metal”.

**Half filling** - Now we turn to the half filled case \( (\mu = 0) \) and focus on the XY model first \( (V = 0) \) first. For weak dissipation, we find a LL phase just as in the incommensurate filling case. However, for strong dissipation, we find a Mott-insulator with CDW long-range order, which is characterized by an extensive staggered structure factor, found by Fourier transform of the density correlation function: \( S(Q = \pi) = \frac{1}{L^2} \sum_{i,j} \langle (-1)^{i-j} (n_i - \frac{1}{2})(n_j - \frac{1}{2}) \rangle \). The finite-size scaling in Fig.2(b) indicates that in the thermodynamic limit CDW long-range order emerges for \( \alpha > \alpha_c \approx 0.2 \). The dissipation-driven LL-to-CDW phase transition is reminiscent of a similar phase transition driven by the nearest-neighbor density-density interac-
tions at constant density. Since the retardation is irrelevant in the LL phase, we analyze the transition from the LL side using LL terminology and anticipate a Kosterlitz-Thouless (KT) transition.

This can numerically be verified from the dependence of the Luttinger parameter $K = \pi \sqrt{\nu/\nu_c}$ on $\alpha$, as shown in Fig. 2(a). By performing a renormalization flow analysis (see the Suppl. Mat. [54]), we can extract the position of the KT transition point in the thermodynamical limit ($\alpha_c = 0.20(1)$), which is characterized by a sudden jump of the Luttinger parameter from $K_c(L = \infty) = 0.75(3)$ to 0 determined via a Weber-Minnhagen fit [52]. The critical value of the Luttinger parameter $K_c$ cannot be understood from the lowest order renormalization-group equations [44] and a full explanation goes beyond the scope of this work. Within our accuracy, the disappearance of $\rho_s$ coincides with the onset of the CDW order (and the charge gap (shown in Fig. 2(c)). However, the gap in the massive phase of the KT transition may be exponentially small and reading off such a gap is prone to error. Note that the CDW order is induced entirely by the dissipation, which reminds us of the Peierls transition in low-dimensional electron materials [51], where the phonons play a similar role as our environment bosons.

To complete the discussion and the phase diagram at half-filling, we also study the effect of nearest-neighbor interactions (as shown in Fig. 2(d)). The situation without dissipation ($\alpha = 0$ in Eq. (3)) is well understood: the nearest-neighbor repulsive interactions can drive the system from a LL to a CDW Mott-insulator at the critical point $V_c = 2t$ via a KT transition with $K_c = 1/2$. Turning on the dissipation suppresses quantum tunneling. We therefore expect that dissipation will make it easier for the system to access the CDW Mott-insulating state. This is reflected in the numerics as is shown in Fig. 2(c) and (d), where we see that for weak dissipation ($\alpha = 0.1$) the phase transition point of the LL-CDW transition is shifted down to $V_c = 0.5t$. Along the phase boundary between the LL and the CDW, the critical Luttinger parameter changes continuously from $K_c = 0.5$ at $V = 2$ (and $\alpha = 0$) to $K_c = 0.75(3)$ at $V = 0$ as is shown in the inset of Fig. 2(d). Within our accuracy, we saw no sign of an intermittent BIBM phase at half filling.

Experimental realization and detection — Hard-core bosons with Ohmic dissipation can be realized in a Bose-Fermi mixture in an optical lattice by embedding quasi-1D heavy bosons with strong repulsive interaction into a 3D fermi sea composed of light fermions. This was worked out in detail in Ref. [44]. The BIBM phase is characterized by the exponential decay of the single-particle correlation function with distance, which can be seen in time-of-flight interference experiments. The finite compressibility and the density-density correlation function can be measured with in-situ single-site resolution techniques [51, 52]. Conductivity measurements would require phase modulation of the lattice [52].

Conclusion and outlook — In summary, we generalize the worm algorithm to study a 1D open quantum many-body model consisting of hard-core bosons where the density of every particle couples Ohmically to an indepen-
dent, local bath, and find that the dissipation can give rise to interesting phases and phase transitions. Away from half filling, we found a homogeneous, compressible, conducting but non-superfluid bath-induced Bose metal phase, which can be seen as the many-body generalization of the localized states in the spin-boson model. At half-filling, we find a KT phase transition between the CDW and LL phases, but with a critical value of the Luttinger parameter that is in general non-universal.

Our work is a natural generalization of the spin-boson model to many-spin cases, and our method can be applied to all open bosonic and unfrustrated spin systems with a similar form of the density-type coupling to the bath, in one or higher dimensions, and with Ohmic or non-Ohmic dissipation. In future work, the generalization of our method to higher dimensional systems, or systems with a different Hamiltonian (e.g. gapped systems) or different type of dissipation (e.g. sub-ohmic) will be studied, as well as the entanglement properties.

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See the Supplementary Material for further details on the computation of the superfluid density, compressibility, the analysis of the Luttinger parameter, and a 2nd order perturbation theory in the strong dissipative limit.

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