Quadratic Fermi node in a 3D strongly correlated semimetal

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Strong spin–orbit coupling fosters exotic electronic states such as topological insulators and superconductors, but the combination of strong spin–orbit and strong electron–electron interactions is just beginning to be understood. Central to this emerging area are the 5d transition metal iridium oxides. Here, in the pyrochlore iridate Pr$_2$Ir$_2$O$_7$, we identify a non-trivial state with a single-point Fermi node protected by cubic and time-reversal symmetries, using a combination of angle-resolved photoemission spectroscopy and first-principles calculations. Owing to its quadratic dispersion, the unique coincidence of four degenerate states at the Fermi energy, and strong Coulomb interactions, non-Fermi liquid behaviour is predicted, for which we observe some evidence. Our discovery implies that Pr$_2$Ir$_2$O$_7$ is a parent state that can be manipulated to produce other strongly correlated topological phases, such as topological Mott insulator, Weyl semimetal, and quantum spin and anomalous Hall states.

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following the discovery of topological insulators\textsuperscript{1\textendash}4, the next frontier is the regime in which both spin\textendash orbit coupling and correlation effects are strong\textsuperscript{5\textendash}12. Theory has suggested that the pyrochlore iridates, a family of cubic 5d transition metal oxides\textsuperscript{13,14}, may realize both band inversion, the essential ingredient of topological insulators and strong correlations\textsuperscript{9,12}. Empirical evidence for the latter is plentiful. Notably, Pr\textsubscript{2}Ir\textsubscript{2}O\textsubscript{7} appears to be proximate to an interaction-driven antiferromagnetic quantum critical point tuned by the A-site ionic radius, which is located between the two ions with largest radii, A = Pr and A = Nd\textsuperscript{14,15}. It is the only compound among the series in which the iodrinium electrons remain paramagnetic and itinerant down to the lowest measured temperatures. It displays bad metallic behaviour and non-trivial spontaneous Hall transport, suggesting strong correlations\textsuperscript{6,15,16}. Moreover, recent thermodynamic measurements have revealed zero-field quantum criticality without tuning\textsuperscript{17}.

The phenomenological suggestion of Moon et al.\textsuperscript{11}, whose implications are summarized in Fig. 1, is that the Fermi surface of Pr\textsubscript{2}Ir\textsubscript{2}O\textsubscript{7} contains a single Fermi node at the Γ point, which emerges as the touching point of two quadratically dispersing conduction and valence bands\textsuperscript{18}. The presence of this touching is actually required by symmetry and group theory (the quadruplet at the zone centre lies in the Γ\textsubscript{8} representation of the double group of O\textsubscript{h}), but its location directly at the Fermi energy was an ad hoc theoretical assumption. If the assumption is correct, Pr\textsubscript{2}Ir\textsubscript{2}O\textsubscript{7} becomes a strongly correlated analogue of HgTe\textsubscript{19,20}, which has a mathematically identical quadratic node at the Fermi energy, and implies that Pr\textsubscript{2}Ir\textsubscript{2}O\textsubscript{7} should be tunable into various topological states (see Fig. 1). Furthermore, theory has predicted that the quadratic nodal semimetal itself is fundamentally altered by long-range Coulomb interactions (negligible in HgTe due to the large dielectric constant, but not so here), becoming a non-Fermi liquid state. Thus the Fermi node, if correct, means that Pr\textsubscript{2}Ir\textsubscript{2}O\textsubscript{7} is a natural parent material for strongly interacting topological phases and non-Fermi liquid states.

In this paper, we focus on the phenomena of band inversion, and present theoretical calculations and experimental angle-resolved photoemission spectroscopy (ARPES) spectra, which support it in the form of electronic structure with a node at the Fermi energy. We also observe strongly temperature-dependent single-particle spectral weight and lineshape structure in ARPES, which suggest electronic correlations and coupling to collective modes.

**Results**

**Fermi node state expected by band calculations.** In support of this proposition, we first present \textit{ab initio} electronic structure calculations in the paramagnetic state. As detailed in the Supplementary Fig. 1, we show that the quadratic band touching systematically approaches the Fermi level with increasing A-site ionic radius, reaching it for A = Pr. The corresponding band dispersion is shown in Fig. 2g along the high-symmetry lines. The nodal Fermi point and quadratic band touching at Γ is clearly visible at the Fermi energy. The bandwidth is narrower in energy than that of HgTe\textsuperscript{21} by one order of magnitude, reflecting the localized nature of the 5d orbitals in Pr\textsubscript{2}Ir\textsubscript{2}O\textsubscript{7}. Theoretical uncertainty remains, however, as discussed in the Supplementary Note 1 (also see Supplementary Figs 1 and 2), so direct experimental evidence for the unique Fermi node state is strongly desired.

ARPES is a powerful technique to directly observe the electronic structure of matter\textsuperscript{22,23}. One incident photon energy corresponds to one k\textsubscript{F} value in solid, thus the momentum space observed at a fixed photon energy is limited to a k\textsubscript{F}\textendash k\textsubscript{F} plane at a fixed k\textsubscript{F}. To locate the Γ-point, therefore, sweeping the photon energy is required. Figure 2a,b shows the photos of the cleavage surface with the (111) plane measured by ARPES and the high-quality single crystal we used, respectively. While the cleaved surface looks rough in the photo, the scanning electron microscope image of it (Fig. 2c,d) exhibits very flat parts in the multiple locations, which are large enough compared with the photon beam size (∼150 μm, marked with a yellow circle in Fig. 2d). Several k\textsubscript{F}\textendash k\textsubscript{F} sheets perpendicular to k\textsubscript{(111)} measured at different photon energies are coloured in Fig. 2f. The band dispersions obtained by the \textit{ab initio} calculation for these sheets are plotted in Fig. 2h–j. The experimentally obtained energy dispersion is expected to have a large gap when the k\textsubscript{(111)} location is far from the Γ. As k\textsubscript{(111)} is reduced, the gap decreases and eventually vanishes at Γ, where the two parabolic dispersions touch at E\textsubscript{F} (Fig. 2j).

**Observation of Fermi node in Pr\textsubscript{2}Ir\textsubscript{2}O\textsubscript{7} by ARPES.** To observe the Fermi node, we measured the ARPES spectra at various photon energies: hv = 7 eV (a laser source) and 21.2 eV (a He lamp) in the lab system, and hv = 8 -- 18 eV and 39 -- 60 eV from two different synchrotron facilities. The results for the 1st Brillouin zone (BZ) are shown in Fig. 3d, where the energy distribution curves (EDCs) along k\textsubscript{y} (defined in Fig. 3a) are plotted. The observed momentum cut shifts with photon energy along the k\textsubscript{(111)} axis within a momentum sheet crossing Γ, L and K points (coloured dashed lines in Fig. 3a). The spectra are symmetrized to remove the effect of the Fermi cutoff: the EDC is flipped about E\textsubscript{F} and added to the original one. This method is widely accepted as a means of determining the presence of a gap
Small but clear quasiparticle peaks are seen for all the spectra, which allows us to determine the energy dispersion, as marked by bars and dotted curves. At $h\nu = 7$ eV (see Fig. 3d), a large gap of ~20 meV is opened at $k_x = 0$ (green curve), and a parabolic dispersion is obtained. The most significant finding is that the parabolic dispersion moves towards the $E_F$ with increasing incident photon energy (or increasing $k_{(111)}$), and it eventually touches $E_F$ at $h\nu = 10$ eV. This behaviour is more clearly demonstrated in Fig. 3c,e, where the band dispersion determined from the peak energies of spectra at 7, 8, 9 and 10 eV are plotted. As the photon energy is further increased, the dispersion moves away from $E_F$ again, following the quadratic dispersion along $k_{(111)}$ as shown in Fig. 3e (also see Supplementary Figs 3 and 4; Supplementary Note 2). We have also examined the dispersion along a different momentum sheet crossing $\Gamma$, L and W points (see Supplementary Fig. 5 and Supplementary Note 2) for a different piece of sample. This time the photon energy was swept by a finer step ($\leq 0.5$ eV), and the Fermi node was again detected at $h\nu = 10.5$ eV. While it is not possible to eliminate a slight uncertainty in the exact photon energy, which yields $k_{(111)} = 0$, owing to the broad shape of the spectral peak, our data show that the three-dimensional band structure of Pr$_2$Ir$_2$O$_7$ has the theoretically predicted Fermi point at the momentum reached by $h\nu \approx 10$ eV, which is thus assigned to be $\Gamma$. Other scans of different $k_{(111)}$ values up to the L point in the 1st BZ, which is reached at $h\nu = 18$ eV, revealed no other states touching or crossing $E_F$ (see Supplementary Fig. 3). This absence of other bands crossing $E_F$ is another consistency condition on the Fermi node model, which requires this situation by state counting and charge neutrality.

Here we validate our conclusion by making several further checks. First, we investigate another BZ to verify the required repetition of the Fermi nodal state along $k_{(111)}$. We used higher photon energies, corresponding to the 3rd BZ, and found the Fermi node at the expected $\Gamma$ point ($h\nu = 52$ eV) (see Supplementary Fig. 6). In the $k_x$–$k_y$ sheet at $h\nu = 52$ eV (Fig. 4a,b),
the ARPES intensities at $E_F$ becomes strongest at the zone centre, and the band touching at $E_F$ is confirmed in the dispersion maps along $k_x$ and $k_y$ (Fig. 3d) and the corresponding symmetrized EDCs (Fig. 4f, also see the raw EDCs in Supplementary Fig. 7). In contrast, these features are missing in the $k_x$–$k_y$ sheet across L point ($h\nu = 39\text{ eV}$) (see Fig. 4c), where a rather flat, gapped dispersion is observed (Fig. 4e,g).

Second, we demonstrate that our conclusion is insensitive to the different analytic schemes. This is significant especially because the symmetrization technique is relevant for the particle–hole symmetric state, which is unknown in Pr$_2$Ir$_2$O$_7$. Accordingly, we have tested another widely used measure of dividing the ARPES spectra by the Fermi-Dirac function (FD). Figure 5c plots the EDCs along a momentum cut crossing $\Gamma$ (light-blue arrow in the inset of Fig. 5d) measured at various temperatures. Instead of symmetrization, the curves are divided by the energy-resolution convoluted Fermi function at the measurement temperatures to remove the effect of the Fermi cutoff. It is clearly seen that the quadratic dispersion touches $E_F$, in agreement with the earlier analysis. In Fig. 5e, we compare the dispersions determined by the

Figure 3 | ARPES spectra revealing a quadratic Fermi node in the 3D band of Pr$_2$Ir$_2$O$_7$. (a) Brillouin zone, showing a momentum sheet along which ARPES data were measured. The momentum cuts at $h\nu = 10$ and 18 eV crosses the $\Gamma$ and L points in the 1st Brillouin zone. (b) Energy dispersions along the $k_x$ direction measured at $h\nu = 7$, 8, 9 and 10 eV. The corresponding momentum cuts are indicated in a by dashed coloured lines. The band dispersion obtained by the first-principles band calculation is superimposed (grey curve). The data close to $E_F$ is fitted by a parabolic function, $\varepsilon(k) \propto k^2$ (light-blue dotted curve). The estimated effective mass at $\Gamma$, $m_{\text{eff}} = 6.3m_0$ ($m_0$: free electron mass), is in agreement with the band calculation. (c) The calculated band dispersion in the $k_x$–$k_{(111)}$ sheet, painted with orange in a. On it, the ARPES data in b are plotted. (d) The ARPES data (symmetrized energy distribution curves (EDCs)) along the $k_x$ direction measured at several photon energies. All the spectra shown were accumulated at $T = 15\text{ K}$. The energy positions of spectral peaks are marked by bars and dashed curves. (e) EDCs and the corresponding symmetrized EDCs along the $\Gamma$–L direction, measured at $k$ points marked with green circles in a. The energy positions of dip and hump, suggesting mode coupling, are marked by arrows and circles, respectively, on EDCs. Error bars in b represent uncertainty in estimating the spectral peak positions.
Comparison between ARPES results and band calculations. In Fig. 3b, we compare our experimental results near Γ with the ab initio dispersion (grey curve), which has a purely quadratic shape of the electronic structure given by $\varepsilon(k) \propto k^2$. Close to $E_F$, we find an agreement between the two, demonstrating that the quadratic curve (light-blue dotted line) fits well to our data with almost the same effective mass, $m_{e\perp} = 0.5m_0$ ($m_0$ is the mass of a free electron). On the other hand, for energy below $-0.012$ eV, the measured dispersion deviates remarkably from the parabolic shape. This contrasts to the calculation, which matches with $\varepsilon(k) \propto k^2$ up to much higher binding energies. It is possible that the deviation is due to correlation effects beyond the band calculation. Intriguingly, the total bandwidth in the occupied side is estimated to be $\sim 40$ meV (arrows in Fig. 4g), which is actually much narrower than that ($>100$ meV) of the band calculation (see Fig. 2g and Supplementary Fig. 9e,h). Band narrowing relative to the density functional theory is indeed a well-known characteristic of correlated electrons. If that is the case, however, the agreement of the effective mass around Γ between the data and calculation would be a coincidence. This is understandable, considering that the band shape of Pr$_2$Ir$_2$O$_7$ with comparable energy scales between the spin–orbit interaction and the electron correlations is sensitive to different calculation methods (see Supplementary Note 4; Supplementary Figs 1 and 9).

Another possible cause of the discrepancy between the data and calculations is the strong coupling of electrons to the bosonic modes, which also could significantly renormalize the band shape. Indeed, the peak–dip–hump shape, which is a characteristic signature of strong mode coupling, is seen in EDCs (Fig. 3e), being consistent with this scenario. One of candidates for the bosonic modes is the phonons, which are usually coupled to the correlated systems very strongly. Another candidate is suggested by the similarity of the slightly distorted band shape to measurements in graphene, where it was attributed to electron–plasmon coupling$^{24}$. Namely, the origin could be the same in the two cases: emission of collective modes through vertical interband transitions becomes possible above a threshold energy, modifying the spectrum.

Correlation-driven anomalous temperature evolution. The strongly correlated feature of 5$d$ electrons of Pr$_2$Ir$_2$O$_7$ should be observed in the ARPES spectra. We find such a signature in the temperature evolution of the spectral shape. Figure 5a,b plots Fermi-function-divided EDCs at Γ ($h\nu = 10.5$ eV) and off Γ ($k_{(111)} = 0.31 \text{Å}^{-1}$ reached at $h\nu = 21.2$ eV). The sharp peak clearly seen in the low-temperature spectra is strongly suppressed at elevated temperatures. The behaviour is clearly more marked than the thermal broadening effects observed in the other strongly collated systems such as the well-studied cuprates, which have the ‘marginal’ Fermi liquid state$^{25,26}$. We note that the peak suppression in the present data is accelerated across $\sim 100$ K, differently from the typical thermal broadening with a gradual increase over a wider temperature range. We find that, associated with the suppression, a large portion of spectral weight above $E \approx -0.1$ eV is transferred to higher binding energies in Pr$_2$Ir$_2$O$_7$. A plausible origin for it is the polaronic effects, which could become crucial in the purely screened electronic systems, as also proposed for the other strongly correlated systems such as the perovskite iridates, manganites and lightly doped cuprates$^{27-29}$. These features are compatible with the non-Fermi liquid behaviour predicted theoretically for the Fermi node phase in refs 11,30, and may also be related to recent observations of quantum critical behaviour in thermodynamic measurements of Pr$_2$Ir$_2$O$_7$ (ref. 17). However, a
fuller identification of the non-Fermi liquid state and explication of its physics in Pr$_2$Ir$_2$O$_7$ requires higher resolution data and more elaborate analysis, beyond the scope of this paper.

**Quadratic band touching.** In passing, we point out that broad spectral weight emerges beyond $E_F$ as seen in the Fermi-function-divided image for the 75-K data (arrow in Fig. 5f). The related spectral intensity is obtained off $\Gamma$, showing an upturn behaviour beyond $E_F$ (arrow in Fig. 5b and Supplementary Figs 10 and 11, and see Supplementary Notes 5 and 6). While the strong suppression of quasiparticle peaks at elevated temperatures prevents us from a definitive determination of the conduction band dispersion, the observation of spectral weight above $E_F$ is compatible with the predicted existence of a quadratic band touching on the unoccupied side (Fig. 5d).

**Discussion**

Prior measurements$^{5,6,31}$ showing ferromagnetic spin-ice-type correlations among the Pr moments below 2 K may be explained due to unconventional ferromagnetic RKKY interactions arising from the point-like Fermi surface. The Fermi node also leads to strong sensitivity to small time-reversal breaking perturbations, producing Weyl points close to the Fermi energy$^{12}$ and a gigantic anomalous Hall effect. This is also in accord with the experimental fact that Pr$_2$Ir$_2$O$_7$ was the first material found to exhibit a large spontaneous Hall effect in a spin liquid state at zero field$^{5,31}$.

Our results suggest that tuning of a unique quantum critical point between an antiferromagnetic Weyl semimetal and the non-Fermi liquid nodal phase may be possible by alloying or hydrostatic pressure. Correlated topological phases and device applications with iridate films could be accessed by controlling the strain-induced breaking of the cubic crystal symmetry and...
size quantization (sub-band formation) in quantum well structures. We indeed verified theoretically the opening of a significant topological gap with uniaxial compression along the (111) axis by first-principles calculations (see Supplementary Fig. 12 and Supplementary Note 7). This analysis, moreover, shows the presence of three two-dimensional surface Dirac cones in this Tl state (see Supplementary Fig. 13; Supplementary Table 1; Supplementary Note 7). It will be exciting to investigate whether correlations, neglected in the density function theory, lead to spontaneous time-reversal breaking at surfaces32,33 with fractional excitations34, or surface topological order35–38, both of which have been predicted theoretically.

Methods

Samples and ARPS experiments. Single crystals of Pr2Ir2O7 with 1 mm2 size were grown using a flux method. The sample surface with the (111) plane was prepared by cleaving the single crystal in situ with a top post glued to the tip of a mechanical stylus. The ARPS experiments were performed at BL7U of Ultraviolet Synchrotron Orbital Radiation (UVSOF) facility (hν = 8–18 eV) with a MBS A-1 electron analyzer39–41, BL28A of Photon Factory, KEK (hν = 59–60 eV) with a Scienta SES2002 electron analyzer and in our laboratory using a system consisting of a Scienta EB160+ electron analyzer equipped with a 6.994-eV laser (the bandwidth of Nd:YVO4 quasi-continuous wave with a repetition rate of 240 MHz)42 and He discharge lamp (HeI, hv = 21.2 eV). The overall energy resolution in the ARPS experiment was set to ~2 meV and ~6 meV in the lab system with a laser and He lamp, respectively, and ~15 meV for the synchrotron facility data. The sample orientation was determined with a Laue picture taken before the ARPS experiment.

The intrinsic $k_z$ broadening, $\delta k_z$, is inversely proportional to the photoelectron escape depth $d$ ($\delta k_z \approx 1/d$). We used low photon energies to find the nodal point in the 1st BZ, which enables the bulk sensitive measurements. For example, the photon energy corresponding to the $\Gamma$ point is around 19 eV, at which the $\delta k_z$-value is estimated to be ~20 Å according to the ‘universal curve’. It translates into $\delta k_z$ with ~5 % of the BZ size, which is rather small and sufficient to validate our results. In the Supplementary Fig. 4, we demonstrate that the $\delta k_z$ (or $\delta k_z$) is small enough to resolve the $k_z$ versus $k_{111}$ relation with a 1 eV step of photon energy, unless higher photon energies are used.

Band calculations. Calculations were carried out using both the standard generalized gradient approximation (GGA) and the Tran-Blaha modified Becke-Johnson (TB-mBJ) exchange potential as implemented in WIEN2k, for a series of different A-site ions. An $R_{\text{kmax}}$ parameter 7.0 was chosen and the escape depth $d$ according to the ‘universal curve’. It translates into $\delta k_z$ and $\delta k_z$ (or $\delta k_z$) is small enough to resolve the $k_z$ versus $k_{111}$ relation with a 1 eV step of photon energy, unless higher photon energies are used.

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Author contributions
T.K., S.N., L.B. and S.S. designed the experiment. T.K., M.N., H.K., Y.N., T.Y., Y.O., W.M., Y.I., R.Y. and H.Y. carried out the ARPES experiment, and M.M., S.K., N.I., K.O. and H.K. assisted with measurements at the synchrotron radiation facilities. T.K. and M.N. performed the data analysis. J.I.I. and S.N. grew the high-quality single crystals. R.C., E.-G.M. and L.B. carried out the calculations. T.K., R.C. and L.B. wrote the manuscript. All authors discussed the results and commented on the manuscript.

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