AN OVERDENSITY OF GALAXIES AT \( z = 5.9 \pm 0.2 \) IN THE HUBBLE ULTRA DEEP FIELD CONFIRMED USING THE ACS GRISM

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ABSTRACT

We present grism spectra taken with the Advanced Camera for Surveys (ACS) to identify 29 red sources with \( \lambda_{775} - \lambda_{850} \geq 0.9 \) in the Hubble Ultra Deep Field (HUDF). Of these, 23 are found to be galaxies at redshifts between \( z = 5.4 \) and 6.7, identified by the break at 1216 Å due to intergalactic medium (IGM) absorption; two are late-type dwarf stars with red colors; and four are galaxies with colors and spectral shapes similar to dust-reddened or old galaxies at redshifts \( z \approx 1 - 2 \). This constitutes the largest uniform, flux-limited sample of spectroscopically confirmed galaxies at such faint fluxes \( \lambda_{850} \leq 27.5 \). Many are also among the most distant spectroscopically confirmed galaxies (at redshifts up to \( z = 6.7 \)). We find a significant overdensity of galaxies at redshifts \( z = 5.9 \pm 0.2 \). Nearly two-thirds of the galaxies in our sample (15/23) belong to this peak. Taking into account the selection function and the redshift sensitivity of the survey, we get a conservative overdensity of at least a factor of 2 along the line of sight. The galaxies found in this redshift peak are also localized in the plane of the sky in a nonrandom manner, occupying about half of the ACS chip. Thus the volume overdensity is a factor of 4. The star formation rate derived from detected sources in this overdense region is sufficient to reionize the local IGM.

Subject headings: galaxies: evolution — galaxies: formation — galaxies: high-redshift — galaxies: luminosity function, mass function — intergalactic medium

Online material: color figures

1 INTRODUCTION

While theory (see, e.g., Press & Schechter 1974) and numerical simulations can tell us about the gravitational collapse and clustering of dark matter, the onset of star formation in galaxies is complicated enough that observations are required to guide theory. The question of how biased the early star formation is can be addressed by measuring clustering at the highest redshifts accessible. The Hubble Ultra Deep Field (HUDF) provides a uniquely deep look at the universe in its infancy. With visible and near-infrared observations reaching a depth of \( \lambda_{850} = 28.2 \) and \( J = 26.85 \) AB magnitudes (10 σ for 0″5 aperture; S. Beckwith et al. 2005, in preparation; Thompson et al. 2005; Bouwens et al. 2004), one can reliably detect galaxies as faint as 0.02\( L_\odot \), at \( z \approx 6 \) (Yan & Windhorst 2004, hereafter YW04; Bunker et al. 2004). Because of its depth, this is potentially a good field to study formation and clustering of galaxies, the only handicap being its small size (1.26 physical Mpc on a side at \( z \approx 6 \)).

The census of galaxies at \( z \approx 6 \) is also interesting because the Gunn-Peterson trough has been observed near this redshift (Fan et al. 2002), suggesting that reionization ended around \( z = 6 \). On the other hand, evidence from microwave background observations suggests that substantial reionization likely occurred at \( z \approx 15 \) (Spergel et al. 2003), and \( \gamma \) emitters (Rhoads et al. 2004; Hu et al. 2002; Taniguchi et al. 2005) suggest that reionization was largely complete by redshift \( z \approx 6.5 \) (Malhotra & Rhoads 2004, hereafter MR04). Strong clustering of galaxies at this epoch would complicate the reionization scenarios, leading to inhomogeneous reionization.

In accounting for the source(s) of reionizing photons at the epoch of reionization, quasars and active galactic nuclei are not sufficient (Barger et al. 2003; Moustakas & Immler 2004; Wang et al. 2004) and even a hitherto undetected population of faint or obscured quasars would not be able to reionize the IGM at \( z \approx 6 \) without overproducing the unresolved soft X-ray background (Dijkstra et al. 2004). Therefore galaxies must provide a large part of the ionizing photon budget. One of the major goals of the very faint imaging in the HUDF is to determine the luminosity function and therefore the ionizing photon budget of the galaxies at \( z \approx 6 \). Bunker et al. (2004), YW04, and Stiavelli et al. (2004) have all carried out the determination of the luminosity function.
function at \( z \approx 6 \) on the basis of imaging data alone, using a color selection \((i - z) > 1.3\) to select high-redshift galaxies.

We have carried out deep unbiased spectroscopy in the HUDF with the ACS grism to spectroscopically confirm these sources, with GRAPES (Grim ACS Program for Extragalactic Science). About 40 orbits (100 ks) of exposure time went into the spectroscopic follow-up. Details of the observations and data reduction are described in a paper by Pirzkal et al. (2004). The benefits of spectroscopy are several. First, we are able to confirm a substantial fraction, but not all, of \((i_{775} - z_{850})\) dropout candidates as high-redshift galaxies. Second, the spectra give the slope of the continuum emission, which can constrain stellar populations (modulus extinction). The third advantage of using the spectra to select objects is the easily characterized selection function. The initial color selection can be very inclusive and thus complete, if spectra are finally used to identify objects. Finally, we get redshifts accurate to \( \Delta z \leq 0.15 \), which is essential for studying clustering.

In \S 2, we describe the selection of candidates and spectral confirmation. Section 3 describes a comparison of redshifts of objects with their expected and observed broadband colors. In \S 4 we explore the overdensity found at \( z = 5.9 \), and \S 5 contains discussion and conclusions. The Appendix contains the grism spectra of the 23 high-redshift galaxies and four intermediate-redshift red galaxies along with cutouts from images in the \( B_{450}, V_{606}, I_{775}, z_{850}, J_{110}, \) and \( H_{160} \) filters.

## 2. SPECTROSCOPIC CONFIRMATION

### 2.1. Candidate Selection

For candidate selection we used the source catalog released by the UDF team, which is based on the \( i \)-band image, supplemented by sources that were detected in the \( z \) band only. For the very red sources \([(i_{775} - z_{850}) > 0.9 \text{ mag}]\), we use the \( z \)-band detection parameters (e.g., size, right ascension, declination) because the signal-to-noise ratio is higher in the \( z \) band for such red sources.

High-redshift candidates were selected by their red color in the ACS F775W and F850LP filters (which correspond to Sloan Digital Sky Survey [SDSS] \( i' \) and \( z' \) filters; S. Beckwith et al. 2005, in preparation). The selection criteria were:

1. Red color in the \( i_{775}, z_{850} \) bands: \((i_{775} - z_{850}) > 0.9 \text{ mag}\), which should pick up sources with redshift \( z > 5.4 \).
2. No detected flux in the F435W ("B") filter \((B > 28.7)\).
3. \( z_{850} < 28.5 \text{ mag} \). Although our spectra typically reach depth \( z' \approx 27.1 \), fainter broadband \( z_{850} \) magnitudes may be achieved when \((i)\) the redshift \( z > 6 \), so that part of the \( z_{850} \) bandpass contributes only noise (and no signal) to the measurements, or \((ii)\) the galaxy has a prominent emission line.

The usual criteria for selecting Lyman break galaxies (LBGs) are oriented toward minimizing the interlopers. One may take a stringent color cut, so that one has few interlopers (e.g., red galaxies at intermediate redshifts); both Bunker et al. 2004 and YW04 take a color cut of \((i_{775} - z_{850}) > 1.3\). The other approach is to demand a red color across the break and a blue color longward of the break (e.g., Steidel et al. 1995; Giavalisco 2002). For \( z \sim 6 \) galaxies in the HUDF, this approach requires near-infrared data. The only NIR images of the UDF that reach approximately sufficient depth (Bouwens et al. 2004) cover only a fraction of the full UDF. With slitless spectroscopy we are able to obtain spectra of all the objects, so we choose to be generous in our color cut to define the sample, in order to improve completeness.

With these cuts we obtained 106 candidates. Among these, 25 are brighter than \( z_{850} = 27.5 \). The nominal sensitivity limit for the GRAPES spectra is between \( z_{850} = 27.1 \) and 27.5, depending on the redshift. [A fixed continuum flux density at 1300(1 + z) \( \Lambda \) corresponds to a fainter magnitude in \( z_{850} \) band for higher redshift objects, where intergalactic absorption removes most flux from the blue end of the filter bandpass.] Comparison of candidates selected with the catalogs of YW04 and Bunker et al. (2004) shows good agreement, having only one object from the YW04 sample, which lies close to another galaxy. It has been difficult to extract the spectra of some of the objects where high-redshift candidates are close to other objects (see \S 2.2). Since this is a random occurrence it does not introduce a bias into our sample of spectroscopically selected galaxies.

Seven of these sources with \( z_{850} < 27.5 \) have spectra that overlap substantially with brighter sources nearby and will not be considered any further. Another four lie outside the GRAPES region, which has about 85% overlap with the UDF region. Out of the remaining 34, 29 have useful spectra; the rest have low S/N spectra because of a combination of low surface brightness and rejection of a significant fraction of the data by contamination. Thus an incompleteness correction of about a factor of 46/29, or about 1.6, should be applied to any results derived from the spectroscopic sample alone, since we were not able to determine the nature of some sources because of spectral overlap.

### 2.2. Grism Spectra

About 100 ks of grism exposures can potentially provide spectra of all sources in an unbiased way. In practice, some information is lost because of overlap of the spectra. The spectra are subject to more crowding than are the images, since for each object the light is dispersed over 100 pixels rather than over a few in one dimension. To mitigate the overlap of the spectra, the grism data were taken at four roll angles with position angles of \( 126^\circ, 134^\circ, 217^\circ, \) and \( 231^\circ \). Previous grism observations of a supernova field yielded another 24 ks of data at a P.A. of \( 117^\circ \). In standard Na reductions (Pirzkal et al. 2001), the parts of the spectra that have overlap with others are flagged as contaminated. In our analysis we have modified the code to flag contamination not just as a yes/no binary decision but as an estimate of how much of the flux comes from the contaminant (see Pirzkal et al. 2004 for more details). So a spectrum of a bright source contaminated by a faint source is still usable. In the present paper we reject all pixels contaminated by light that is estimated to be more than 33% of the source light. As a further check on the contamination by other spectra, we demand that the broadband flux from imaging agree with the sum over that passband in grism data.

### 2.3. Interlopers

Table 1 shows that two sources are identified as dwarf stars: UDF 443\(^{13}\) is an L dwarf, and UDF 366 is an M dwarf, on the basis of their spectra and compact spatial profiles (see Pirzkal et al. 2005 for a complete list of unresolved sources in the HUDF). Similarly, four objects—UDF 8038, 8238, 6676, and 3551—are definitely identified as red galaxies at moderate redshifts, based on the absence of a spectral break in the grism spectra and near-infrared colors (Fig. 7). Daddi et al. (2005) identify UDF 8238

\(^{13}\) The object numbers in this paper follow the catalog numbers from the officially released \( i \)-band catalog at http://archive.stsci.edu/pub/hlsd/udf/acs-wfc/h...
as an intermediate-redshift ($z = 1.39$) galaxy, along with some other red galaxies on the basis of GRAPES spectra.

2.4. High-Redshift Galaxies

Twenty-three galaxies are identified as high-redshift galaxies on the basis of their spectra (see Table 1). This identification is based on detecting the Lyman break in the continuum for 22 of these sources, and $Ly$α line and break in one source. For sources as bright as UDF 2225, identifying a Lyman break is unambiguous (see Fig. 6 for this spectrum). For most other galaxies the signal-to-noise ratio is low, and thus the following procedure was adopted to select reasonable spectral confirmations. The spectra were fit with an LBG template with a power-law spectrum of slope $\alpha = 0.2$ (where $f_{i} \propto \nu^{\alpha}$) attenuated by IGM absorption calculated according to the prescription of Madau 1995. We do a grid search on the parameters redshift and flux at 1250 Å to determine the best fit. With the low S/N, the $\chi^2$ per degree of freedom is generally less than 1. For the sources to be identified as high-redshift galaxies, we require that (1) the $\chi^2$ per degree of freedom is about 1, (2) the combined flux redward of the break is well detected, with net S/N $\geq 3$, and (3) the broadband fluxes are consistent with the grism flux and the fitted LBG spectrum, although we do not fit to broadband fluxes while determining redshifts. With regard to point 3, we tolerate about 30% discrepancy in the flux between broadband and grism measurements, because the aperture match between imaging and the grism often results in discrepancies of that order.

### Table 1: Spectroscopic Redshifts of i-dropouts

| UDF ID | $z_{850}$ | $i_{850} - z_{850}$ | Redshift | R.A. (J2000) | Decl. (J2000) | S/N |
|--------|-----------|---------------------|----------|-------------|-------------|-----|
| 2225^a | 25.06     | 1.55               | 5.8      | 03 32 40.012 | −27 48 14.97 | 23.4|
| 9202   | 27.43     | 1.56               | 5.7      | 03 32 33.207 | −27 46 43.26 | 6.4 |
| 2690   | 27.33     | 1.7                | 5.9      | 03 32 33.781 | −27 48 07.59 | 7.0 |
| 32521  | 26.82     | 2.4                | 5.9      | 03 32 36.626 | −27 47 50.06 | 6.7 |
| 3377/3398^a | 26.49 | 1.0, 1.3          | 5.6     | 03 32 32.636 | −27 47 54.30 | 6.2 |
| 9857   | 26.95     | 1.4                | 5.8      | 03 32 39.066 | −27 45 38.75 | 6.3 |
| 6329   | 26.88     | 1.1                | 5.5      | 03 32 35.196 | −27 47 10.08 | 5.4 |
| 8961   | 26.54     | 2.12               | 5.8      | 03 32 34.097 | −27 46 47.23 | 5.3 |
| 8033   | 26.05     | 1.9                | 6.0      | 03 32 36.467 | −27 46 41.44 | 5.2 |
| 32042  | 28.2      | 2.4                | 5.75     | 03 32 40.554 | −27 48 02.61 | 4.9 |
| 36383  | 28.0      | 3.0                | 5.8      | 03 32 40.249 | −27 46 05.18 | 4.8 |
| 457    | 28.0      | 1.0                | 5.8      | 03 32 39.048 | −27 49 08.30 | 4.6 |
| 4050   | 27.33     | 1.9                | 6       | 03 32 33.429 | −27 47 44.86 | 4.5 |
| 322    | 26.91     | 1.9                | 5.7      | 03 32 41.187 | −27 49 14.85 | 3.9 |
| 3317   | 26.94     | 1.15               | 6.1      | 03 32 34.556 | −27 47 55.15 | 4.3 |
| 3503   | 27.7      | 1.7                | 6.4      | 03 32 34.306 | −27 47 53.54 | 4.3 |
| 3807   | 27.73     | 0.97               | 6.1      | 03 32 34.976 | −27 47 48.05 | 3.9 |
| 3325   | 27        | 1.85               | 6.0      | 03 32 34.547 | −27 47 55.98 | 3.7 |
| 3450   | 27.05     | 1.5                | 5.9      | 03 32 34.238 | −27 47 52.35 | 3.4 |
| 35506  | 27.46     | 2.8                | 6.15     | 03 32 39.860 | −27 46 19.08 | 3.1 |
| 33003  | 27.8      | 3.3                | 6.4      | 03 32 35.056 | −27 47 40.18 | 3.3 |
| 30591  | 27.13     | 2.56               | 6.7      | 03 32 37.277 | −27 48 54.57 | 3.5 |

Marginal Detections

| UDF ID | R.A. (J2000) | Decl. (J2000) | S/N |
|--------|-------------|--------------|-----|
| 2631   | 27.71       | 2.262        | 6.6 |
|        | 03 32 42.596 | −27 48 08.83 | 2.9 |

**Note.**—Units of right ascension are hours, minutes, and seconds, and units of declination are degrees, arcminutes, and arcseconds.

^a Red galaxies at intermediate redshifts: UDF IDs 8238, 8082, 3551, 1238, 6676; dwarf stars: 443, 366.

^b UDF 2225 has spectroscopic confirmation with Keck ($z = 5.83$; Dickinson et al. 2004) and Gemini ($z = 5.83$; Stanway et al. 2004).

^c These two objects are identified as separate objects in the UDF catalog but lie close to each other and show similar spectra; thus, we consider them to be the same object.

This makes us suspect that the error bars on the grism fluxes are too large. See Pirzkal et al. (2004) for details.
theoretical curves reasonably. This is not surprising, since the main effect determining the \((i_{775} - z_{850})\) color is the shifting of the Lyman break to longer wavelengths with redshift. There are color variations in the galaxies, and some are bluer or redder than the models. The scatter in colors seen is larger than the models would indicate but not more deviant than 3 \(\sigma\) in the color errors. The redder-than-expected color can be explained by invoking dust. The bluer color could be due to the presence of \(\text{Ly}\alpha\) line emission, which is not distinctly seen because of the low resolution of the spectra, but can make \((i_{775} - z_{850})\) bluer for galaxies up to \(z = 6\). Some of the apparent color scatter may be due to systematic errors in photometry due to the presence of neighbors. There is also an intrinsic color variation seen in two pairs of sources (3377/3398 and 3317/3325). Both pairs are resolved into separate objects in the official HUDF catalog but have the same redshift based on the break seen in the spectra. The difference in the \((i_{775} - z_{850})\) color is 0.7 mag for the 3317/3325 pair, and 0.4 mag for the 3377/3398 pair. This shows that there is likely to be a fair variation of \((i_{775} - z_{850})\) colors within the same object. Whatever the reason for the color scatter, Figure 2 shows that it would lead to 20%–30% incompleteness with the \((i_{775} - z_{850}) > 1\) cut for \(z \approx 6\) galaxies (YW04; Bunker et al. 2004).

4. OVERDENSITY AT \(z = 5.9 \pm 0.2\)

Figure 3 shows the redshift distribution of the spectroscopically confirmed galaxies. We see an overdensity at \(z = 5.9 \pm 0.2\). An overdensity was suggested by Stanway et al. (2004) on the basis of three galaxies in and near the UDF. We see it confirmed
the Ly $\alpha$ emitters at $z = 5.7-5.77$, which is consistent with the UDF structure. The dotted rectangle shows the area not covered by the Ly$\alpha$ survey because of a dead chip in the MOSAIC camera at CTIO.

Right: Placement of galaxies at $z = 5.9 \pm 0.2$ in the HUDF; (the left) half of the chip is devoid of galaxies.

Figure 4.—Left: Placement in the sky of the $z \sim 6$ galaxies in the UDF, seen here as filled squares in the diamond inset, which is the UDF coverage. The $z \sim 6$ galaxies avoid the eastern corner of the UDF. A two-dimensional KS test shows that the probability of this configuration by chance is about 5%. A larger-scale structure is seen in the Ly$\alpha$ emitters at $z = 5.7-5.77$, which is consistent with the UDF structure. The dotted rectangle shows the area not covered by the Ly$\alpha$ survey because of a dead chip in the MOSAIC camera at CTIO. Right: Placement of galaxies at $z = 5.9 \pm 0.2$ in the HUDF; (the left) half of the chip is devoid of galaxies.

here, with 15 galaxies in the redshift range instead of six expected from the lower and higher redshifts in this sample. Thus the overdensity is 3.5 $\sigma$, assuming Poisson statistics. Doing the most naive and straightforward estimate, we find that about two-thirds of the galaxies in the sample are in one-third of the volume, implying an overdensity of a factor of 4.

This overdensity cannot be explained by selection effects alone. In Figure 3 we overplot the expected number density of objects. Folding-in the color selection, the grism response function, and an LBG luminosity function from YW04, we predict the number of galaxies in each redshift bin, shown in Figure 3. The drop-off at the higher redshift is due to a decline in the sensitivity of the grism at the red end; the roll-off at the low redshift in the models is due to the color selection, which starts to make us lose the bluer end of the $z = 5.5$ sample. The solid curves show selection function with the color variation introduced by supposing a reddening with mean $E(B - V) = 0.15$ mag, and $\sigma(E(B - V)) = 0.15$ mag, which is standard for LBGs (M. Giavalisco 2004, private communication); the underlying stellar populations are 1 and 1000 Myr old for the two curves. The dashed curves show the selection function at $z = 5.5$ if we use the wider color range empirically seen in our sample at $z = 5.7-6.1$. In the end the total number of $i$-drops matches the YW04 number, and the overdensity at $z = 5.9$ is seen to be a factor of 2. Thus a factor of 2 is the conservative lower bound on the peak at overdensity at $z \approx 6$, averaged over the field of view.

The true overdensity could be higher if the peak is substantially spread out by low-redshift resolution in the grism data. With the low-resolution spectra afforded by the ACS grism, we are able to determine the redshifts to an accuracy of $\Delta z \approx 0.15$ for a typical object of size 0.725. Besides this, Ly$\alpha$ emission can bias the redshift measurements obtained from the Lyman break, even if the line is too weak to appear obvious in the low-resolution grism spectrum. The shift in the estimate is described by $\exp(-(EW/\sigma)) - 1$, where 2.355 $\sigma$ is the FWHM resolution of the spectrum. For typical values in the GRAPES survey, this corresponds to a shift of $\lesssim 200$ Å, or a redshift change of $\lesssim 0.15$. This implies that $\Delta z = 0.15-0.2$. So any spike in the distribution of galaxies is smeared by up to $\Delta z = 0.2$ because of the limited wavelength resolution of the grism.

The overdensity at $z = 5.9$ is also supported by complementary data on Ly$\alpha$ emitters from the Cerro Tololo Inter-American Observatory (CTIO) by Wang et al. (2005), who have imaged a large area, $36^\prime = 12.9$ physical Mpc on a side, including the HUDF. They show that the HUDF sits on the edge of a much larger scale (>3 Mpc) structure traced by Ly$\alpha$ emitters at $z = 5.7-5.77$. Wang et al. (2005) report roughly a factor of 3–4 overdensity in the Ly$\alpha$ emitters at $z = 5.8$ compared to other studies of Ly$\alpha$ emitters at $z = 5.8$ (Rhoads & Malhotra 2001; Hu et al. 2004; MR04).

Figure 4 shows the distribution in the sky of the 15 galaxies in the redshift range $z = 5.9 \pm 0.2$ in the HUDF along with the larger scale structure seen in the Ly$\alpha$ emitters at $z = 5.7-5.77$. We see that the galaxy distribution in the HUDF continues the voids seen in the larger distribution. Even within the HUDF the $z \sim 6$ galaxies are not distributed uniformly and avoid one corner of the field. We applied a two-dimensional Kolmogorov-Smirnov (KS) test (Peacock 1983; Fasano & Franceschini 1987; Press et al. 1992) to the distribution of the 15 galaxies at $z = 5.9 \pm 0.2$. Even with just 15 galaxies, the test gives only a 5% chance that they could be so arranged by chance. Large-scale structure has been seen in several such studies for both Lyman break galaxies and Ly$\alpha$ emitters (see, e.g., Steidel et al. 1998, 2000; Venemans et al. 2002; Miley et al. 2004; Palunas et al. 2004; Ouchi et al. 2001, 2003; Shimasaku et al. 2003; Foucaud et al. 2003 and references therein).

4.1. Expectation of Overdensity

The location of the HUDF was selected to include a reasonably bright known galaxy at $z = 5.8$ found in the GOODS survey (Dickinson et al. 2004). Given that, we should consider what sort of overdensity is expected. We calculate the overdensity
in the galaxy abundance in a cylindrical volume centered around a massive central galaxy.

The first step is to compute the halo mass of the central galaxy. The total mass of a halo that corresponds to the abundance of one object between $5.5 < z < 6.5$ in a solid angle of $\Delta \Omega = 150 \text{ arcmin}^2$ is $M_c = 9.1 \times 10^{11} M_\odot$, which in turn corresponds to a 3.7 $\sigma$ peak in the density field. This assumes a duty cycle of 10$^7$ yr; each halo is assumed to be visible only in the galaxy abundance in a cylindrical volume centered around $29$ and $850 \mu$m in their spectroscopic follow-up. One of their objects is the same as object 2225 in our sample. Further, higher resolution spectroscopy would be invaluable in determining the exact redshift extent and structure of this overdense region. A search for Ly$\alpha$ emitters has shown an overdensity at $z = 5.77 (823 \text{ nm})$ relative to $z = 5.70 (815 \text{ nm};$ Wang et al. 2005) as well as a strong spatial gradient. The HUDF sits at the edge of this overdensity at $z = 5.77$, and judging by the redshift histograms seen in Figure 3, is part of it. If we naively multiply the spatial extent ($\approx 12'$) of the structure at $z = 5.77$ by Wang et al. (2005) and the redshift extent ($\Delta z = 0.4$) of the overdensity seen in this paper, we would conclude that the overdensity spans a volume of comoving $1.5 \times 10^7 M_\odot$. According to current theories it would be hard to produce a net overdensity of a factor of 4 over such a large volume, while an overdensity of 2 could be due to the presence of a bright galaxy in the HUDF.

The overdensity at $z = 5.7 - 6.1$, combined with a simple selection function afforded by the grism, gives us an opportunity to derive the luminosity function and star formation rate (SFR) in such an overdensity. The complicating factor is the incompleteness in the spectroscopic sample. As mentioned in § 2.1, we do not have spectral information for 17 out of 46 objects brighter than $z_{550} = 27.5$. We can, however, bracket the parameters of the luminosity function by assuming that the spectroscopically confirmed sample represents the lower limit, and the upper limit is obtained by adding to that all the objects for which we have no information. Figure 5 shows the luminosity function for these two cases in the redshift range $z = 5.9 \pm 0.2$ and the best-fitting Schechter functions. Following YW04, we assume a slope of $\alpha = -1.8$ and derive parameters $m_5(z_{AB}) = 25.2$ and $\phi_5 = 2.5 \times 10^{-4}$. These values are comparable to the YW04 luminosity function for $\alpha = -1.8$, which is $m_5(z_{AB}) = 25.7$ and $\phi_5 = 4 \times 10^{-4}$. While $\phi_5$ and $m_5$ have correlated error when fitting only up to $z_{550} = 27.5$, the integrated star formation rate density (SFRD) derived from integrating over the luminosity function of the spectroscopically confirmed sample is $2.5 \times 10^{-2} M_\odot Mpc^{-3} yr^{-1}$, following the UV to SFR conversion in Madau et al. (1998). Correcting for completeness with a factor of 1.6 gives $4 \times 10^{-2} M_\odot Mpc^{-3} yr^{-1}$. All these values are significantly higher than the YW04 value of $(1.2 - 1.5) \times 10^{-2} M_\odot Mpc^{-3} yr^{-1}$, consistent with there being an overdensity of at least a factor of 2 in this redshift range. The lower bound to the SFR is obtained by summing up the UV luminosity in the objects spectroscopically confirmed and normalizing by the volume, which is simply calculated as the comoving volume between $z = 5.7$ and 6.1. Thus the minimum SFR = $1.0 \times 10^{-2} M_\odot Mpc^{-3} yr^{-1}$, which is twice the estimate of Bunker et al. (2004) from the same field. Correcting for spectroscopic incompleteness leads to SFR = $1.9 \times 10^{-2} M_\odot Mpc^{-3} yr^{-1}$, which comes close to the required SFR needed for driving reionization, especially if there are metal-poor stars in these galaxies and the IGM has a higher temperature (Stiavelli et al. 2004). The volume calculations above have taken the whole area of the HUDF, whereas on the plane of the sky $z \approx 6$, objects occupy about half (or less than half) the area of the ACS chip (Fig. 4). Thus the volume overdensity is a factor of 4, and
the local SFR in the overdensity is definitely enough to drive reionization of the local IGM.

By comparing with the luminosity function of Lyα emitters, we see that the space-density normalization of LBGs in the UDF as measured here and by YW04 ($\phi_\alpha = (2.5-4) \times 10^{-4}$) is 2 to 4 times higher than that of Lyα emitters for which MR04 derive $\phi_\alpha = 1 \times 10^{-4}$ at $z = 5.7$. The Lyα luminosity function derived by MR04 is based on many surveys in different parts of the sky and therefore should be robust to cosmic variance. Given the overdensity estimates of a factor of 2–4 here, the difference between $\phi_\alpha$ of the UDF LBGs and Lyα galaxies is not significant. The LBG space density could be consistent with that of Lyα emitters at $z = 5.7$. This does not mean that every LBG has Lyα emission or that there is a one-to-one correspondence between the two, because we often do not know the continuum luminosity of the Lyα galaxies, and they could be fainter than even those in this sample in the rest UV. On the flip side, many of the LBGs in the present sample could have weak Lyα emission, which would not be detected in low-resolution spectra.

The SFRD derived from Lyα emitters is $(1.8-3.6) \times 10^{-3}$, which is roughly one-tenth of the SFRD derived here. This is consistent with the fact that the SFR for individual Lyα galaxies derived from the Lyα line alone is, on the average, one-tenth that of a typical LBG (Rhoads et al. 2000, 2003; Dawson et al. 2004). The relation between galaxies selected on the basis of their line emission and those selected on the basis of the Lyman break seems to be complicated and is beyond the scope of this paper.

This sample of spectroscopically confirmed LBGs can also provide an independent test of reionization. If the IGM is neutral at $z > 6$, the fraction of LBGs that show Lyα line emission should drop, because the damping wings of neutral IGM reduce the line to, at most, one-third of its original strength (Haiman 2002; Santos 2004). Prior to this paper, all the spectroscopically confirmed galaxies at $z > 6$, and all but two at $z > 5$, showed Lyα emission. This may be a selection effect, since objects with strong lines are easier to confirm spectroscopically. For reference, only 25% of LBGs show Lyα emission at $z \simeq 3$ (Steidel et al. 2000). Now we have a sample of about 23 LBGs selected using low-resolution spectra from the grism on the Hubble Space Telescope (HST) at redshifts $z = 5.4-7$, not biased by the presence or absence of a Lyα line. Deep, higher resolution spectra of these objects should be able to detect lines with rest-frame EW of 30 Å. This would determine the Lyα emitter fraction and thus constrain whether the IGM is neutral.

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APPENDIX

GRISM SPECTRA

In this Appendix we present the grism spectra of 23 high-redshift objects (see Fig. 6) and intermediate-redshift red galaxies (Fig. 7). On each, we superpose the best-fit Lyman break spectrum, represented by a power law of $F_{\lambda} \propto \lambda^{-2.2}$ and Madau IGM absorption (Madau 1995; top panels of Fig. 6). We also see a consistency with the broadband fluxes (middle panels of Fig. 6). The agreement with the broadband fluxes is not perfect because of aperture mismatches, which we have tried to minimize, and because the broadband fluxes were not used in fitting, and the UV slope of the galaxies does vary from object to object, unlike the models we have used. The quality of the data, the S/N seen in most sources, and the limited wavelength range redward of the Lyman break simply did not support fitting the slope as an extra parameter.

The error bars on the near-infrared fluxes were estimated by placing random apertures of a range of sizes on the finished image and then estimating the noise properties of those apertures. The error bars calculated this way are larger than the typical errors quoted elsewhere (Bouwens et al. 2004) but reflect a more realistic picture. A similar exercise with ACS images gave error estimates that are similar to the formal error estimates obtained by multiplying the rms deviations with the square root of the number of pixels.
Fig. 6.—Grism spectra and the best fit using IGM absorption. The top panels show the fits in $F_{\lambda}$ vs. wavelength. The middle panels show the same objects in $F_{\lambda}$ vs. log of wavelength, showing also the consistency with broadband colors. The bottom panels show cutout images (3" on the side) in $B$, $V$, $i_{775}$, $z_{850}$, F110W, and F160W. The stretch of each image is adjusted to go from $-3$ to $5\sigma$. The top panels are labeled with the object name.
Fig. 6.—Continued
Fig. 6.—Continued
Fig. 7.—(a) Spectra of ("i - z") selected red objects, for which the spectra do not show a break but a steady rise of flux into the red. Some of these are old, elliptical galaxies (UDF 8238 is further discussed by Daddi et al. 2005), and some may be dusty, star-forming galaxies. (b) Multiband images of color-selected (i775 - z850) > 0.9 red objects, for which the spectra do not show a break but a steady rise of flux into the red. Some of these are old, elliptical galaxies (UDF 8238 is further discussed by Daddi et al. 2005), and some may be dusty, star-forming galaxies. The images are 3'' × 3'' on the side and are (from left to right) in the bands B, V, i775, z850, F110W (≈J band), and F160W (≈H band).
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