Retinal Vascular Changes with Non-Arteritis Anterior Ischemic Optic Neuropathy Assessed with IVAN Analysis

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Research article

Keywords: NAION, retinal vessel caliber, IVAN

DOI: https://doi.org/10.21203/rs.3.rs-46700/v1

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Abstract

Background

To assess the vascular changes associated with non-arteritis anterior ischemic optic neuropathy (NAION) by using integrative vessel analysis (IVAN) and risk factors associated with NAION.

Methods

We performed a case-control study of 120 patients, including 40 NAION patients during July 2015 to July 2019. Patients were divided into Normal, hypertension and NAION groups. IVAN was conducted to evaluate the caliber of retinal vessels. In addition, we also analyzed risk factors (age, gender and BMI) to identify their association with NAION.

Results

84 women and 36 men were involved in our study, the average age was 58 ± 9.98 years. CRAE, CRVE and AVR in the whole patients were 154.54 ± 21.53 µm, 252.22 ± 15.88 µm and 0.64, respectively. ANOVA analysis showed significant difference of CRAE \[F (2,116) = 4.203, p = 0.017\], CRVE \[F (2,116) = 49.91, p < 0.001\] and AVR \[F (2,116) = 13.81, p < 0.0001\] between each group, NAION group had wider CRAE and CRVE, while smaller AVR. BMI value showed negative correlation with CRAE in NAION group, while positively with CRVE.

Conclusion

Retinal vascular caliber can be used as an indicator to evaluate the NAION. Our study suggested that an assessment of retinal vascular caliber may offer insights into the development of NAION.

Background

Non-arteritis optic neuropathy (NAION) is one of the most common disease that influences the patients over 50 years old and causes irreversible visual loss[1]. Although NAION is a major cause of optic neuropathy except glaucoma, its pathogenesis, clinical characteristics and treatments still remain controversial[2]. Some researchers[1, 3, 4] considered a disk at risk (defined as a crowded small optic-nerve head with a small physiological cup) may be a major risk for NAION's development. In addition, poor optic nerve head blood supply, location of posterior ciliary artery watershed zones, ciliary artery disease etc. are some of the considered local risk factor of NAION[5, 6]. Furthermore, several systemic risk factors were thought to be associated with NAION, including arterial hypertension, diabetes mellitus (DM), arterial hypotension, hypercholesterolemia, stroke, ischemic heart disease, tobacco use and so on[7–9].
Progressions in digital retinal photography and software have made it more convenient for us to measure the characteristics of vessels in retinal[10, 11]. IVAN is a software designed by University of Wisconsin, which could measure retinal vessel caliber by using fundus photographs[12]. Since researchers considered NAION as a disease which results from vascular insuficiency[13, 14], we assumed that vascular diameter is involved into pathophysiology of NAION.

By searching the database on the Internet, we found only few papers studied about risk factor of NAION. Also, this is an innovative research that explore numerous risk factors of NAION in different groups. In this study, we measured retinal vessel caliber from fundus pictures by using IVAN to assess its relevance to the progression of NAION. Besides, we also value other factors to explore their influences on the disease.

**Methods**

**Study population**

Tianjin Medical University Eye Hospital approved this study. All the patients involved in our study presented to Tianjin Medical University Eye Hospital service between July 2015 and July 2019.

We categorized the patients into three groups when they were in the outpatient clinic: normal group (Normal), hypertension group (Hyper) and NAION group (NAION). Grouping criteria are as below a) Patients without any systematic or retina diseases were included into our Normal group. This group comprised subjects with BCVA > 20/63, IOP < 21 mmHg, an open angle and normal optic disc appearance on fundus examination, there were no abnormalities in anterior chamber. The degree of opacity of the lens should allow us to take clear photos. b) Hyper group consisted patients with hypertension (< 120 mmHg Systolic BP (SBP) and < 80 mmHg Diastolic BP (DBP)). The Inclusion criteria for this group was that patients should had diagnosis of hypertension from a physician and did not have any other systematic diseases, such as coronary heart disease, stroke, diabetes mellitus and so on. Besides, criteria for diagnosing NAION were 1) acute, painless vision loss in 2 weeks; 2) optic disc edema with or without superficial hemorrhage.

120 eyes from 120 patients (69 women, 51 men) were included in our research. Patients underwent a thoroughly ophthalmic evaluation, including best-corrected visual acuity (BCVA), intraocular pressure (IOP) with a noncontact pneumatic tonometer (Canon T-2; Canon, Tokyo), slip-lamp biomicroscopy and fundus examination. Other imaging examination including OCT, fluorescein angiography (FA) and indocyanine green angiography were performed depended on the patients' situation. For NAION patients, we performed OCT, FA, indocyanine green angiography and visual fields test routinely. At last, medical history such as age, gender, BMI were also recorded to evaluate their relevance with NAION.

**Retinal Fundus Pictures and Vessels' calculation**
Fundus pictures were taken without dilating the pupils: a 30° color fundus picture of the eye centered on the disc were taken. We measured the caliber of vessels in patients' retina by using IVAN (University of Wisconsin, Madison) in fundus pictures from camera[15]. Briefly, the IVAN protocol required that vessel diameters should away from the six largest arterioles and six largest venules located in a zone, where 0.5 to 1.0 disc diameters from the disc margin. The measurement was performed by two ophthalmologists, who were masked to the characteristics of patients.

**Ethics Statement**

The institutional review board of Tianjin Medical University approved this clinical study protocol, and the protocols also adhered to Declaration of Helsinki. Besides, an oral informed consent was obtained from the patients after an explanation of the procedures and purpose of the examinations.

**Statistical Analysis**

All the statistical analyses in this study were conducted by using SPSS statistics version 19.0 (SPSS Inc., Chicago, IL). Our data were presented as mean ± standard deviations. We performed one-way analysis of variance (ANOVA) for comparisons of parametric variables, after that a post hoc comparisons using the Tukey HSD test was performed to compare difference between each group.

We performed the univariate analysis with the Spearman's rank correlation coefficient tests. The significance of the correlations between the CRAE and CRVO, were determined relative to the systematic factors. A $P$ value of $< 0.05$ was considered statistically significant.

**Results**

The baseline characteristics of the patients were summarized in Table 1. We divided the patients into three groups: Normal group (Normal), hypertension group (Hyper) and NAION group (NAION). 120 patients (69 women and 51 men) were included in our study. The average age (± standard deviations) was $58 \pm 9.98$ years for the involved patients, and $57 \pm 12$ years, $56 \pm 8.01$ years, $58 \pm 11.4$ years for Normal, Hyper, NAION group, respectively. Figure 1 showed representative pictures for each group. There were no difference of age and gender in three groups from baseline.
Table 1
Baseline Characteristics of Involved Patients

| Characteristics | Total participants (n = 120); Mean ± standard deviation | Normal participants (n = 40) | Hypertension Participants (n = 40) | NAION participants (n = 40) |
|-----------------|---------------------------------------------------------|-----------------------------|-----------------------------------|-----------------------------|
| Age, year       | 58 ± 9.98                                               | 53 ± 10.04                  | 56 ± 8.01                        | 58 ± 11.4                   |
| Gender, female% | 69, 57.5%                                               | 29, 72.5%                   | 26, 65%                          | 14, 35%                     |
| CRAE, µm        | 154.54 ± 21.53                                          | 150.05 ± 15.12              | 154.05 ± 11.72                   | 159.6 ± 17.07               |
| CRVE, µm        | 252.22 ± 15.88                                          | 242.26 ± 14.53              | 237.6 ± 13.98                    | 277.17 ± 26.58              |
| CRAE/CRVE       | 0.64                                                    | 0.676                       | 0.646                            | 0.598                       |

Quantification of CRAE, CRVE and AVR

We measured the CRAE, CRVE and CRAE/CRVE (AVR) in each group, representative pictures were showed in Fig. 2. The CRAE, CRVE and AVR in the whole patients were 154.54 ± 21.53 µm, 252.22 ± 15.88 µm and 0.64, respectively. In the Normal group, the CRAE, CRVE and AVR were 154.05 ± 15.52 µm, 235.49 ± 13.87 µm and 0.57, respectively. The result in Hyper group of CRAE, CRVE and AVR were 150.05 ± 15.03 µm, 242.26 ± 14.44 µm and 0.545, respectively. While CRAE, CRVE and AVR in NAION group were 159.6 ± 17.13 µm, 277.17 ± 26.58 µm and 0.598, respectively venules are in blue. The measured area of retinal vascular caliber was standardized and defined as the showed region from 0.5 to 2.0 disc diameters away from the disc margin. A, C: measurement of vascular caliber. B, D: calibers measured result in IVAN software.

Analysis of Differences of Vessels Caliber between Groups.

A one-way between subjects' ANOVA was conducted to compare the retinal vessel caliber between 3 different groups (Fig. 3). There was a significant difference of CRAE at $p < 0.05$ level for 3 groups [$F (2,116) = 4.203$, $p = 0.017$]. Post hoc comparisons showed that the CRAE was significantly larger in NAION group than it was in Hyper group ($M = -9.572$, $SD = 3.315$; 159.6 vs. 150.05 µm), while there was no difference between Normal and Hyper group ($M = 4.021$, $SD = 3.36$; 154.05 vs. 150.05 µm). Also, no significant difference was found in NAION and Hyper group ($M = -5.551$, $SD = 3.336$; 159.6 vs. 150.05 µm).

Moreover, there was a significant difference of CRVE between 3 groups [$F (2,116) = 49.91$, $p < 0.001$]. The post hoc comparisons showed that the CRVE was significantly different between Hyper and NAION group ($M = -39.57$, $SD = 4.344$; 237.6 vs. 277.17 µm), Normal and NAION group ($M = -34.91$, $SD = 4.316$; 242.26 vs. 277.17 µm). CRVE in NAION group showed wider than other groups. While the results of comparison
between Normal and Hyper group was not significantly different (M=-4.666, SD = 4.344; 242.26 vs.237.6 µm)

Additionally, we also found a significant difference of AVR at $p < 0.05$ level for 3 groups [F (2,116) = 13.81, $p < 0.0001$]. The post hoc comparisons showed AVR between Normal and NAION group was significantly different (M=-0.078, SD = 0.014; 0.676 vs. 0.598), moreover AVR between Hyper and NAION group showed the same result (M=-0.048, SD = 0.015; 0.646 vs. 0.598). Nonetheless the AVR between Normal and Hyper group showed no difference.

**Correlation between Retinal Vessel Caliber and Systemic Factors**

Associations of age and gender with CRAE and CRVE for each group are shown in Table 2. Central retinal artery caliber may correlate inversely with age in Normal ($r=-0.140$, $p = 0.396$) and Hyper group ($r=-0.082$, $p = 0.616$), while in NAION group, the CRAE was correlated positively ($r=0.110$, $p = 0.499$). The CRAE was correlated positively with male gender in three groups (Normal: $r = 0.001$, $p = 0.994$; Hyper: $r = 0.222$, $p = 0.118$; NAION: $r = 0.047$, $p = 0.770$).

Central retinal vein caliber may correlate inversely with age in three group: Normal: $r=-0.110$, $p = 0.504$; Hyper: $r=-0.122$, $p = 0.454$; NAION: $r=-0.196$, $p = 0.225$. Furthermore, the CRVE was correlated with female gender in three groups (Normal: $r=-0.156$, $p = 0.343$; Hyper: $r=-0.215$, $p = 0.184$; NAION: $r=-0.08$, $p = 0.622$).

| Systemic Factors | Normal     | Hypertension | NAION    |
|------------------|------------|--------------|----------|
| **CRAE**         |            |              |          |
| Age, year        | -0.140 (0.396) | -0.082 (0.616) | 0.110 (0.499) |
| Gender           | 0.001 (0.994) | 0.222 (0.188) | 0.047 (0.770) |
| BMI              | -0.10 (0.32) | 0.03 (0.79) | **-0.04 (0.04)** |
| **CRVE**         |            |              |          |
| Age, year        | -0.110 (0.504) | -0.122 (0.454) | -0.196 (0.225) |
| Gender           | -0.156 (0.343) | -0.215 (0.184) | -0.08 (0.622) |
| BMI              | 0.104 (0.29) | 0.016 (0.95) | 0.01 (0.95) |

CRAE: central retinal artery equivalent

CRVE: central retinal vein equivalent

We also calculate the correlation between BMI and retinal vessel caliber in each group: in Normal and Hyper group, the BMI showed there were no correlations with CRAE (Normal: $r=-0.10$, $p = 0.32$; Hyper: $r =$
0.03, \( p = 0.79 \), CRVE (Normal: \( r = 0.104, p = 0.29 \); Hyper: \( r = 0.016, p = 0.95 \)), while in NAION group, the result indicated that the BMI was correlate negatively with CRAE (\( r=-0.10, p=-0.04 \)), while CRVE was positively correlated with BMI (\( r = 0.95, p = 0.01 \)).

**Discussion**

Totally 120 patients were involved in our study. Our research compared the caliber and their ratio in different groups of patients, NAION group has widest vessels’ caliber. Also, we found negative correlation between the BMI value and CRAE, while positively correlation with CRVE, we assumed the obesity may have relationship with retinal vessels’ calibers. Nowadays, several methods have been used to measure the retinal vascular caliber from the fundus images\[16–18\]. The IVAN method can be used as a "marker" for the systemic factors, such as age, hypertension, diabetes mellitus and physical activity\[19\].

When comparing CRAE, we found that CARE in NAION group was significantly increased than that in Normal group. The result was not showed in other papers previously. We assumed this artery changes may be caused by "hypoxia" status of the retinal environment in NAION. When the tissue is hypoxic, hypoxic vasodilation appears to increase the perfusion of blood to the tissue\[20\]. In response to decrease of ATP, \( K_{\text{ATP}} \) channels of vascular smooth muscle cells opens, inducing the vasodilation\[21\].

While the result of comparing CRAE showed no difference between Normal and Hyper group, we can find mean value of CRAE in Hyper group was smaller than Normal group. The narrowing trend had been confirmed by numerous studies\[22, 23\]. Comparing with other systemic factors, retinal arteriolar narrowing has been reported to have the strongest relationship with the hypertension\[24\].

Comparing CRVE in 3 groups showed NAION group had the largest retina venous dimension. This result was consistent with previous study of NAION. Some researchers considered most of the NAION Patients have severe and acute optic disk edema, this abnormal changes may some degree of restriction of central retinal venous return, elevating the retinal venous pressure, thus leading the vascular dilation\[25\]. While the analysis showed no significantly difference between Hyper and Normal group, the mean value of CRVE in Hyper group was higher than it was in Normal group. This result was consistent with Jonas et al.’s study retinal vein diameters are associated with higher blood pressure \[26\].

The AVR result showed the ratio in NAION group was the smallest among three groups. This demonstrated the venous dilation was greater in NAION group than the other two groups. The result was also consistent with previous studies. In the Atherosclerosis Risk In Communities (ARIC) study, nonspecific inflammatory factors were associated with smaller AVR\[27\]. Researchers considered the result was associated to arteriolar narrowing, while later it was confirmed to be related to venular dilation\[28\]. While Wong TY et al. found no association between nonspecific inflammatory markers and vessel diameters in the Cardiovascular Health Study (CHS)\[29\].

Caliber of retina vessels in NAION group was greater than that in other groups, which may be associated with the ischemia state of the NAION patients\[30\]. For the unknown cause of the disease, presence of a
small crowded optic disc and systemic comorbidities are the two most acceptable reasons for the disease[31]. Studies had showed that NAION patients have higher prevalence of "having absent or small cup", this unique structure may result in crowding of optic nerve fibers and lead a restricted space in the optic disc[32, 33]. Ischemia state stimulate the vessels dilate so the tissues could gain enough oxygen as this is a compensatory response.

Nevertheless, numerous researchers were trying to figure out the pathogenesis of NAION, to our knowledge, the mechanisms of NAION are still unknow. Some studies showed that a small cup to disc ratio was essential for the ANION development[34, 35]. While other researchers considered that other factors such as optic nerve drusen and papilledema, which could lead to a "crowding optic nerve head", may be crucial to the disease[36]. Since the results of studies remain variable, we performed the analysis to find association between disease and factors, the retinal vessels caliber changes in NAION.

Age, gender and BMI were discussed as risk factors of NAION in many studies. Melson et al. considered all the patients older than 50 with ischemic optic neuropathy should be thought of giant-cell arteritis[37]. And older people have narrower vascular caliber has been widely demonstrated across numerous studies and populations[24, 38, 39]. A previous research showed that greater BMI was related to the narrower retinal arteriolar caliber in children of 6 years old[40], on the contrary, Xiao et al.'s research showed there were no correlation between the retinal caliber and BMI[41]. They found greater body fat deposition was related with narrower retinal arterioles and wider venules, while the result only present in 12–19 years old children, not in younger children, indicating the higher BMI may associate with microvasculature in puberty children. These results were consistent with ours: CRAE was narrower in patients with higher BMI, while CRVE showed wider in higher BMI patients.

Currently, the diabetes mellitus (DM) status was considered one of the major risk factors of vascular disease[42], the status can influence the retinal vessels' caliber. Li et al. considered mothers developed gestational diabetes mellitus (GDM) had narrower caliber, reduced fractal dimension and larger branching angle, suggesting the hyperglycemia may cause abnormalities of small vessels[43]. The vaso-constrictive effect presumed to be caused by hyperglycemia status of the DM patient[44]. While in this study, we didn't include the DM status into our research for the following reasons. On the one hand, the DM status may influence the measurement of the vessel's caliber, our study mainly focused on the NAION and tried to avoid any interference factors. On the other hand, if we involved three diseases into our study (NAION, hypertension and DM), the incidence of NAION may be influenced.

Our results may have clinical applications to NAION. First of all, our study provided further information for the assessment of vascular caliber in NAION patients. The CRAE, CRVE and AVR measurement may act as a marker for the disease. Second, more attention should be paid for the patients with higher vascular caliber, especially venular dilation (higher AVR). Third, this data may act as a tool added therapeutic value in the management of patients with NAION, this need more data of after-curing patients.

However, limitations of our study still existed. First, our study only included Chinese patients, more ethnic groups were needed to make the study more authentic. Second, our study has 120 involved patients,
more patients were needed for the further study. At last, in hypertension group DM’s duration and stages should be considered. We set the hypertension group to compare whether the caliber changes were primary or secondary to the disease, changes of blood vessels in hypertension patients were secondary to hypertension, we assumed part of caliber changes in NAION may have similar pathophysiology with hypertension.

Conclusions:

In summary, the result of our study indicated that retinal vascular caliber is related to BMI, obesity maybe a risk factor to the NAION patients. Arteriolar narrowing is related to hypertension, while venular dilation and higher AVR was associated NAION patients. Our result suggested an assessment of the CRAE, CRVE and AVR may be a marker for the NAION patients and more attention should be paid for the subclinical vascular abnormalities.

Abbreviations

NAION: non-arteritis anterior ischemic optic neuropathy; IVAN: integrative vessel analysis; CRAE: central retinal artery equivalent; CRVE: central retinal vein equivalent; DM: diabetes mellitus; GDM: gestational diabetes mellitus; AVR: artery versus vein caliber

Declarations

Ethics approval and consent to participate

The institutional review board of Tianjin Medical University approved this clinical study protocol. Each participant in our study was stated and signed the consent for participation.

Availability of data and material:

available to supply the raw data if needed.

Acknowledgements:

Not applicable.

Funding:

Tianjin Clinical Key Discipline Project (TJLCZDXKM009)

Consent for publication:

Not applicable

Competing interests:
None.

Authors’ contributions:

LL Z and QH Y conceived the idea of the study and write the paper, KW H, YF K and H Z collect the data, XR L analyzed the data and make modification of the manuscript.

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**Figures**

![Fundus pictures depicting features of each group. a Fundus picture from a normal patient. b Fundus picture from a patient with hypertension. c Fundus picture from a NAION patient. The yellow arrow showed a small optic disc and an absent cup (disc at risk)](image-url)
Figure 2

Representative IVAN measurement of vascular caliber. Arterioles are in red and the venules are in blue. The measured area of retinal vascular caliber was standardized and defined as the showed region from 0.5 to 2.0 disc diameters away from the disc margin. A, C: measurement of vascular caliber. B, D: calibers measured result in IVAN software.
Figure 3

Distribution of retinal microvascular diameters. (A) CRAE comparison; (B) CRVE comparison; (C) AVR comparison. Data are mean micrometers and SD. *: statistically different, p<0.05, **: statistically different, p<0.05