WARING’S THEOREM FOR BINARY POWERS

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A natural number is a binary $k$’th power if its binary representation consists of $k$ consecutive identical blocks. We prove, using tools from combinatorics, linear algebra, and number theory, an analogue of Waring’s theorem for sums of binary $k$’th powers. More precisely, we show that for each integer $k \geq 2$, there exists an effectively computable natural number $n$ such that every sufficiently large multiple of $E_k := \gcd(2^k - 1, k)$ is the sum of at most $n$ binary $k$’th powers. (The hypothesis of being a multiple of $E_k$ cannot be omitted, since we show that the gcd of the binary $k$’th powers is $E_k$.) Furthermore, we show that $n = 2^{O(k^3)}$. Analogous results hold for arbitrary integer bases $b > 2$.

1. Introduction

Let $\mathbb{N} = \{0, 1, 2, \ldots\}$ be the natural numbers and let $S \subseteq \mathbb{N}$. The principal problem of additive number theory is to determine whether every integer $N$ (resp., every sufficiently large integer $N$) can be represented as the sum of some constant number of elements of $S$, not necessarily distinct, where the constant does not depend on $N$. For a superb introduction to this topic, see [14].

Probably the most famous theorem of additive number theory is Lagrange’s theorem from 1770: every natural number is the sum of four squares [10]. Waring’s problem (see, e.g., [21,22]), first stated by Edward

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Waring in 1770, is to determine \( g(k) \) such that every natural number is the sum of \( g(k) \) \( k \)’th powers. (A priori, it is not even clear that \( g(k) < \infty \), but this was proven by Hilbert in 1909 [7].) From Lagrange’s theorem we know that \( g(2) = 4 \). For other results concerning sums of squares, see, e.g., [6,13].

If every natural number is the sum of \( k \) elements of \( S \), we say that \( S \) forms a \emph{basis} of order \( k \). If every sufficiently large natural number is the sum of \( k \) elements of \( S \), we say that \( S \) forms an \emph{asymptotic basis} of order \( k \).

In this paper, we consider a variation on Waring’s theorem, where the ordinary notion of integer power is replaced by a related notion inspired from formal language theory. There is other recent work along the same lines. For example, Banks [1] recently proved that every natural number is the sum of at most 49 numbers whose base-10 expansion is a palindrome, and Cilleruelo, Luca, and Baxter [4] improved this result to 3 summands for all bases \( b \geq 5 \).

Our main result is Theorem 1.1 below, which we prove using arguments from combinatorics, linear algebra, and number theory; it concerns sums of binary \( k \)’th powers. We say that a natural number \( N \) is a \emph{base-}\( b \) \( k \)’th power if its base-\( b \) representation consists of \( k \) consecutive identical blocks. For example, 3549 in base 2 is

\[
1101 1101 1101,
\]

so 3549 is a base-2 (or binary) cube. Throughout this paper, we consider only \emph{canonical} base-\( b \) expansions (that is, those without leading zeros). Hence, a number \( N > 0 \) is a base-\( b \) \( k \)’th power if and only if

\[
N = a \cdot c_k^b(n),
\]

for some \( n \geq 1 \), where

\[
c_k^b(n) := \frac{b^{kn} - 1}{b^n - 1} = 1 + b^n + \cdots + b^{(k-1)n}
\]

and

\[(1) \quad b^{n-1} \leq a < b^n.\]

The latter condition is needed to ensure that the base-\( b \) \( k \)’th power is formed by the concatenation of blocks that begin with a nonzero digit. Such a number consists of \( k \) consecutive blocks of digits, each of length \( n \). For example, \( 3549 = 13 \cdot c_3^2(4) \).

The binary squares

\[
0, 3, 10, 15, 36, 45, 54, 63, 136, 153, 170, 187, 204, 221, 238, 255, 528, \ldots
\]
form sequence A020330 in Sloane’s *On-Line Encyclopedia of Integer Sequences* [20]. The binary cubes

\[0, 7, 42, 63, 292, 365, 438, 511, 2184, 2457, 2730, 3003, 3276, 3549, 3822, \ldots\]

form sequence A297405.

We define

\[S^b_k := \{ n \geq 0 : n \text{ is a base-}b \text{ } k^{\text{th power}} \} = \{0\} \cup \left\{ a \cdot c^b_k(n) : n \geq 1, \quad b^{n-1} \leq a < b^n \right\}.\]

The set \(S^b_k\) is an interesting and natural set to study because its counting function is \(\Omega(N^{1/k})\), just like the ordinary \(k^{\text{th}}\) powers. It has also appeared in a number of recent papers (e.g., [2]). However, there are two significant differences between the ordinary \(k^{\text{th}}\) powers and the base-\(b\) \(k^{\text{th}}\) powers.

The first difference is that 1 is not a base-\(b\) \(k^{\text{th}}\) power for \(k > 1\). Thus, the base-\(b\) \(k^{\text{th}}\) powers cannot, in general, form a basis of finite order, but only an asymptotic basis.

A more significant difference is that the gcd of the ordinary \(k^{\text{th}}\) powers is always equal to 1, while the gcd \(E_k\) of the base-\(b\) \(k^{\text{th}}\) powers may, in some cases, be greater than one. This is quantified in Section 2. Thus, it is not reasonable to expect that every sufficiently large natural number can be the sum of a fixed number of base-\(b\) \(k^{\text{th}}\) powers; only those that are also a multiple of \(E_k\) can be so represented.

Our main result is the following:

**Theorem 1.1.** For every integer \(k \geq 1\) there exists a natural number \(n\) such that every sufficiently large multiple of \(E_k = \gcd(2^k - 1, k)\) is representable as the sum of \(n\) binary \(k^{\text{th}}\) powers. Furthermore, if \(W(k)\) is the least such \(n\), then \(W(k) = 2^{O(k^3)}\).

**Remark 1.2.** The fact that \(W(2) = 4\) was proved in [12].

It may be worth noting that the methods that we use for the binary \(k^{\text{th}}\) powers have almost nothing in common with the deep number-theoretic tools (such as the circle method, [14]) that have been developed to handle the ordinary version of Waring’s theorem. Indeed, it is not even clear that those tools could be adapted for use in our problem.
2. The greatest common divisor of $S_k^b$

We need the following classic lemma, sometimes called the “lifting-the-exponent” or LTE lemma [3]. Let $\nu_p(n)$ denote the $p$-adic valuation of $n$ (the exponent of the highest power of $p$ dividing $n$).

**Lemma 2.1.** If $p$ is a prime number and $c \neq 1$ is an integer such that $p | c-1$, then

$$\nu_p \left( \frac{c^n - 1}{c - 1} \right) \geq \nu_p(n),$$

for all positive integers $n$.

Now we prove several formulas for the greatest common divisor of the elements of $S_k^b$.

**Theorem 2.2.** For $k \geq 1$ define

\[
\begin{align*}
A_k &= \gcd(S_k^b), \\
B_k &= \gcd(c_k^b(1), c_k^b(2), \ldots), \\
C_k &= \gcd(c_k^b(1), c_k^b(2), \ldots, c_k^b(k)), \\
D_k &= \gcd(c_k^b(1), c_k^b(k)), \\
E_k &= \gcd \left( \frac{b^k - 1}{b - 1}, k \right).
\end{align*}
\]

Then $A_k = B_k = C_k = D_k = E_k$.

**Proof.** $A_k = B_k$: If $d$ divides $B_k$, then it clearly also divides all numbers of the form $a \cdot c_k^b(n)$ with $b^{n-1} \leq a < b^n$ and hence $A_k$.

On the other hand if $d$ divides $A_k$, then it divides $c_k^b(1)$. Furthermore, $d$ divides $b^{n-1} \cdot c_k^b(n)$ and $(b^{n-1} + 1)c_k^b(n)$ (both of which are members of $S_k^b$ provided $n \geq 2$). So it must divide their difference, which is just $c_k^b(n)$. So $d$ divides $B_k$.

$B_k = C_k$: Note that $d$ divides $B_k$ if and only if it divides $c_k^b(1)$ and also $c_k^b(n) \mod c_k^b(1)$ for all $n \geq 1$. Now it is well known that, for $b \geq 2$ and integers $n, k \geq 1$, we have

$$b^n \equiv b^{n \mod k} \mod (b^k - 1).$$
(See, for example, [9, Ex. 4.3.2.6 and 4.5.3.31].) Hence
\[
c^b_k(n) = 1 + b^n + \cdots + b^{(k-1)n} \\
\equiv 1 + b^n \pmod{k} + \cdots + b^{(k-1)n} \pmod{k} \pmod{b^k-1} \\
\equiv 1 + b^a + \cdots + b^{(k-1)a} \pmod{b^k-1} \\
\equiv 1 + b^a + \cdots + b^{(k-1)a} \pmod{c^b_k(1)} \\
\equiv c^b_k(a) \pmod{c^b_k(1)},
\]
where \(a = n \pmod{k}\). Thus, any divisor of \(C_k\) is also a divisor of \(B_k\). The converse is clear.

**Remark 2.3.** For \(b = 2\), the sequence \(E_k\) is sequence A014491 in Sloane’s *Encyclopedia*. We make some additional remarks about the values of \(E_k\) in Section 5.

In the remainder of the paper, for concreteness, we focus on the case \(b = 2\). We set \(c_k(n) := c^2_k(n)\) and \(S_k := S^2_k\). However, everything we say also applies more generally to bases \(b > 2\).
3. Waring’s theorem for binary \( k \)'th powers: proof outline and tools

In this section, we give an outline of the proof of Theorem 1.1. All of the mentioned constants depend only on \( k \).

Given a number \( N \), a multiple of \( E_k \), that we wish to represent as a sum of binary \( k \)'th powers, we first choose a suitable power of 2, say \( x = 2^n \), and think of \( N \) as a degree-\( k \) polynomial \( p \) evaluated at \( x \). For example, we can represent \( N \) in base \( 2^n \); the “digits” of this representation then correspond to the coefficients of \( p \).

Similarly, the integers \( c_k(n), c_k(n+1), \ldots, c_k(n+k−1) \) can also be viewed as polynomials in \( x = 2^n \). By linear algebra, there is a unique way to rewrite \( p \) as a linear combination of \( c_k(n), c_k(n+1), \ldots, c_k(n+k−1) \), and this linear transformation can be represented by a matrix \( M \) that depends only on \( k \), and is independent of \( n \).

At first glance, such a linear combination would seem to provide a suitable representation of \( N \) in terms of binary \( k \)'th powers, but there are three problems to overcome:

(a) the coefficients of \( c_k(i), n ≤ i < n+k \), could be much too large;
(b) the coefficients could be too small (by Eq. (1), the coefficient of \( c_k(i) \) needs to be at least \( 2^{i−1} \), or even negative;
(c) the coefficients might not be integers.

Issue (a) can be handled by choosing \( n \) such that \( 2^n \approx N^{1/k} \). This guarantees that the resulting coefficients of the \( c_k(n) \) are at most a constant factor larger than \( 2^n \). Using Lemma 3.1 below, the coefficients can be “split” into at most a constant number of coefficients lying in the desired range.

Issue (b) is handled by not working with \( N \), but rather with \( Y := N − D \), where \( D \) is a suitably chosen linear combination of \( c_k(n), c_k(n+1), \ldots, c_k(n+k−1) \) with large positive integer coefficients. Any negative coefficients arising in the expression for \( Y \) can now be offset by adding the large positive coefficients corresponding to \( D \), giving us coefficients for the representation of \( N \) that are positive and lie in a suitable range.

Issue (c) is handled by finding \( d_k \), the common denominator of the rational numbers involved, and working with \( \lfloor Y/d_k \rfloor \) instead of \( Y \). Once a representation is found, multiplying by \( d_k \) gives us a representation with integer coefficients for a number \( Y' \) close to \( Y \). The difference is sufficiently small that it can be handled. This completes the sketch of our construction. It is carried out in more detail in the rest of the paper.
3.1. Expressing multiples of \(c_k(n)\) as a sum of binary \(k\)'th powers

As we have seen in Eq. (1), a positive integer of the form \(a \cdot c_k(n)\) is a binary \(k\)'th power if \(2^{n-1} \leq a < 2^n\). But how about larger multiples of \(c_k(n)\)? The following lemma will be useful.

**Lemma 3.1.** Let \(a \geq 2^{n-1}\). Then \(a \cdot c_k(n)\) is the sum of at most \(\left\lceil \frac{a}{2^n - 1} \right\rceil\) binary \(k\)'th powers.

**Proof.** Clearly, the claim is true for \(2^{n-1} \leq a < 2^n\). Otherwise, define \(b := \left\lceil \frac{a}{2^n - 1} \right\rceil\) and \(c := (2^n - 1)b - a\), so that \(0 \leq c < 2^{n-1}\). Then \(a = (b-2)(2^n-1)+d_1+d_2\), where \(d_1 = \left\lfloor (2^n - 1) - \frac{c}{2} \right\rfloor\) and \(d_2 = \left\lceil (2^n - 1) - \frac{c}{2} \right\rceil\). A routine calculation now shows that \(2^{n-1} \leq d_1 \leq d_2 < 2^n\), and so \(a \cdot c_k(n)\) is the sum of \(b\) binary \(k\)'th powers.

3.2. Change of basis and the Vandermonde matrix

In what follows, matrices and vectors are always indexed starting at 0. Recall that a Vandermonde matrix

\[
V(a_0, a_1, \ldots, a_{k-1})
\]

is a \(k \times k\) matrix where the entry in the \(i\)'th row and \(j\)'th column, for \(0 \leq i, j < k\), is defined to be \(a_i^j\). The matrix is invertible if and only if the \(a_i\) are distinct.

Recall that \(c_k(n) = 1 + 2^n + 2^{2n} + \cdots + 2^{(k-1)n}\). For \(k \geq 1\) and \(n \geq 0\) we have

\[
(2) \quad \begin{bmatrix}
  c_k(n) \\
  c_k(n+1) \\
  \vdots \\
  c_k(n+k-1)
\end{bmatrix} = M_k \begin{bmatrix}
  1 \\
  2^n \\
  \vdots \\
  2^{(k-1)n}
\end{bmatrix},
\]

where \(M_k = V(1, 2, 4, \ldots, 2^{k-1})\). For example,

\[
M_4 = \begin{bmatrix}
  1 & 1 & 1 & 1 \\
  1 & 2 & 4 & 8 \\
  1 & 4 & 16 & 64 \\
  1 & 8 & 64 & 512
\end{bmatrix}.
\]

Let a natural number \(Y\) be represented as an \(\mathbb{N}\)-linear combination

\[
Y = a_0 + a_1 2^n + \cdots + a_{k-1} 2^{(k-1)n}.
\]
Then, multiplying Eq. (2) on the left by
\[
[ b_0 \ b_1 \ \cdots \ b_{k-1} ] := [ a_0 \ a_1 \ \cdots \ a_{k-1} ] M_k^{-1},
\]
we get the following expression for \( Y \) as a \( \mathbb{Q} \)-linear combination of binary \( k \)'th powers:
\[
Y = b_0 c_k(n) + b_1 c_k(n + 1) + \cdots + b_{k-1} c_k(n + k - 1).\]

It remains to estimate the size of the coefficients \( b_i \), as well as the sizes of their denominators.

The Vandermonde matrix is well studied (e.g., [16, pp. 43, 105]). We recall one basic fact about it.

**Lemma 3.2.** The determinant of \( V(a_0, a_1, \ldots, a_{k-1}) \) is
\[
\prod_{0 \leq i < j < k} (a_j - a_i).
\]

We now define \( d_k \) to be the determinant of \( M_k \), and \( \ell_k \) to be the largest of the absolute values of the entries of \( M_k^{-1} \). Note that, by Lemma 3.2, \( d_k \) is positive. Also, Laplace’s formula tells us that \( M_k^{-1} = M_k' d_k^{-1} \), where \( M_k' \) is the adjugate (classical adjoint) \( M_k' \) of \( M_k \). Furthermore, since \( M_k \) has integer entries, so does \( M_k' \).

**Proposition 3.3.** We have \( 0 < d_k < 2^{k^3/3} \) for \( k \geq 1 \).

**Proof.** By the formula of Lemma 3.2 we know that
\[
d_k = \prod_{0 \leq i < j < k} (2^j - 2^i) < \prod_{0 \leq i < j < k} 2^j = 2^{k^3/3-k^2/2+k/6} < 2^{k^3/3}
\]
for \( k \geq 1 \).

The sequence \((d_k)\) is sequence A203303 in the OEIS [20].

Our next result demonstrates that \( \ell_k \), the absolute value of the largest entry in \( M_k^{-1} \), is bounded above by a constant.

**Proposition 3.4.** We have \( \ell_k < 34 \).

**Proof.** As is well known (see, e.g., [8, Exercise 1.2.3.40], the \( i \)'th column in the inverse of the Vandermonde matrix \( V(a_0, a_1, \ldots, a_{k-1}) \) consists of the coefficients of the polynomial
\[
p_i(x) := \prod_{0 \leq j < k \atop j \neq i} \frac{x - a_j}{a_i - a_j}.
\]
We also observe that if
\[(x - b_1)(x - b_2) \cdots (x - b_n) = x^n + c_{n-1}x^{n-1} + \cdots + c_1 x + c_0\]
is a polynomial with real roots, then the absolute value of every coefficient \(c_i\) is bounded by
\[|c_0| + \cdots + |c_{n-1}| \leq \prod_{1 \leq i \leq n} (1 + |b_i|).\]

Putting these two facts together, we see that all of the entries in the \(i\)'th column of \(V(a_0, a_1, \ldots, a_{k-1})^{-1}\) are, in absolute value, bounded by
\[P_k(i) := \prod_{0 \leq j < k \atop j \neq i} (1 + |a_j|) \prod_{0 \leq j < k \atop j \neq i} |a_j - a_i|.

Now let’s specialize to \(a_\ell = 2^\ell\). We get
\[P_k(i) := \prod_{0 \leq j < k \atop j \neq i} (2^j + 1) \prod_{0 \leq j < k \atop j \neq i} |2^j - 2^i| \leq \prod_{0 \leq j < k \atop j \neq i} (2^j + 1) \prod_{0 \leq j < k \atop j \neq i} |2^j - 2^i|.

To finish the proof of the upper bound, it remains to find a lower bound for the denominator
\[Q_k(i) := \prod_{0 \leq j < k \atop j \neq i} |2^j - 2^i|.

We claim, for \(k \geq 2\), that
\[(5) \quad Q_k(0) \geq Q_k(1)\]
and
\[(6) \quad Q_k(1) \leq Q_k(2) \leq \cdots \leq Q_k(k - 1).\]

To see (5), note that \(Q_k(0) = \prod_{2 \leq j < k} (2^j - 1)\) and \(Q_k(1) = \prod_{2 \leq j < k} (2^j - 2)\). On the other hand, by telescoping cancellation we see, for \(1 \leq i \leq k - 2\), that
\[\frac{Q_k(i)}{Q_k(i + 1)} = \frac{2^{k-1} - 2^i}{(2^{i+1} - 1)2^{k-2}} < \frac{2^{k-1}}{3 \cdot 2^{k-2}} = \frac{2}{3},\]
which proves (6). Hence $Q_k(i)$ is minimized at $i=1$. Now

$$\ell_k \leq \max_{0 \leq i < k} \frac{\prod_{0 \leq j < k} (2^j + 1)}{Q_k(i)} \leq \frac{\prod_{0 \leq j < k} (2^j + 1)}{Q_k(1)}$$

$$= \frac{\prod_{0 \leq j < k} (2^j + 1)}{\prod_{2 \leq j < k} (2^j - 2)} < 2 \cdot 3 \cdot \prod_{j \geq 2} \frac{2^j + 1}{2^j - 2} \approx 33.023951743 \ldots < 34,$$

where the last product has been estimated with a personal computer, using the inequalities

$$1 < \prod_{j \geq N} \frac{2^j + 1}{2^j - 2} = \prod_{j \geq N} \left(1 + \frac{3}{2^j - 2}\right) < \exp \left(\sum_{j \geq N} \frac{3}{2^j - 2}\right) < \exp \left(\frac{3}{2^{N-2}}\right),$$

with $N=50$.

\textbf{Remark 3.5.} The tightest upper bound seems to be $\ell_k < 5.194119929183\ldots$ for all $k$, but we do not prove this here; see [18].

### 3.3. The Frobenius number

Let $S$ be a set and $x$ be a real number. By $xS$ we mean the set \{ $xs$: $s \in S$ \}. Let $S \subseteq \mathbb{N}$ with $\gcd(S) = 1$. The Frobenius number of $S$, written $F(S)$, is the largest integer that cannot be represented as a non-negative integer linear combination of elements of $S$. See, for example, [17].

As we have seen, $\gcd(S_k) = E_k = \gcd(k, 2^k - 1)$. Thus $\gcd(E_k^{-1}S_k) = 1$. Define $F_k$ to be the Frobenius number of the set $E_k^{-1}S_k$. In this section we give a weak upper bound for $F_k$.

\textbf{Lemma 3.6.} For $k \geq 2$ we have $F_k \leq 2^{k^2 + k}$.

\textbf{Proof.} Consider $T = \{g_1, g_2, g_3\}$ where $g_1 = 2^k - 1$, $g_2 = (2^k - 2) \frac{2^{k^2} - 1}{2^k - 1}$, and $g_3 = (2^k - 1) \frac{2^{k^2} - 1}{2^k - 1}$. We have $T \subseteq S_k$. Let $d$ be the greatest common divisor of $T$. Then $d$ divides $g_3 - g_2 = \frac{2^{k^2} - 1}{2^k - 1}$ and $g_1 = 2^k - 1$. So $d$ divides $D_k$. On the other hand, clearly, $A_k$ divides $d$, while from Theorem 2.2 we know that $A_k = D_k = E_k$. Hence, $d = E_k$.

Clearly $F(E_k^{-1}S_k) \leq F(E_k^{-1}T)$. Furthermore, since $g_1 \mid g_3$, it follows that $F(E_k^{-1}T) = F(\{E_k^{-1}g_1, E_k^{-1}g_2\})$. By a well-known result (see, e.g., [17, Theorem 2.1.1, p. 31]), we have $F(\{a, b\}) = ab - a - b$, and the desired claim follows.

\hfill \blacksquare
Remark 3.7. We compute explicitly that $F_2 = 17$, $F_3 = 723$, $F_4 = 52753$, $F_5 = 49790415$, and $F_6 = 126629$. This is sequence A298306 in the OEIS [20].

4. The complete proof

We are now ready to fill in the details of the proof of our main result, Theorem 1.1. We recall the definitions of the following quantities that will figure in the proof:

- $c_k(n) = 1 + 2^n + \ldots + 2^{(k-1)n}$;
- $E_k = \gcd(k, 2^k - 1)$ is the greatest common divisor of the set $S_k$ of binary $k$'th powers;
- $F_k$ is the Frobenius number of the set $E_k^{-1}S_k$;
- $d_k$ is the determinant of the Vandermonde matrix $M_k = V(1, 2, \ldots, 2^{k-1})$;
- $\ell_k$ is the largest of the absolute values of the entries of $M_k^{-1}$.

Proof of Theorem 1.1. The result is clear for $k = 1$, so let us assume $k \geq 2$. Set $Z := (F_k + 1)E_k$ and $c := k^2 \ell_k d_k 2^{k^2 - k + 1}$. We construct a representation for every $N > Z + c 2^k$ that is also a multiple of $E_k$.

Define $X := N - Z$. By above $X$ is positive. Choose $n$ as large as possible so that $X > c 2^k n$. By above $n \geq 1$, and by our choice of $n$ we have $X \leq c 2^{k(n+1)}$.

First we explain how to write $X = T + X_3$, where

(a) $X_3 < c_k(n)$; and
(b) $T$ is an $N$-linear combination of $c_k(n), \ldots, c_k(n+k-1)$ with all coefficients sufficiently large.

To do so, first define $Q := c_k(n) + \cdots + c_k(n+k-1)$. Note that

$$Q \leq kc_k(n + k - 1) = k(1 + 2^{n+k-1} + 2^{2(n+k-1)} + \cdots + 2^{(k-1)(n+k-1)}) \leq k(1 + 2 + 2^2 + \cdots + 2^{(k-1)(n+k-1)}) \leq k2^{(k-1)(n+k-1)+1} = k2^{(k-1)n}2^{k^2 - 2k + 2}.$$  

It now follows that

$$\frac{X}{Q} > \frac{c2^{kn}}{k2^{(k-1)n}2^{k^2 - 2k + 2}} = k\ell_k d_k 2^{n+k-1}.$$  

Hence, if we define $R := \lfloor X/Q \rfloor$, then

(7) \hspace{1cm} R \geq k\ell_k d_k 2^{n+k-1}.$
We have now obtained $RQ$ (a good approximation of $X$), which is an $\mathbb{N}$-linear combination of $c_k(n), \ldots, c_k(n+k-1)$ with every coefficient equal to $R$, where $0 \leq X - RQ < Q$. Set $X_2 := X - RQ$.

We now improve this approximation of $X$ using a greedy algorithm, as follows: from $X_2$ we remove as many copies as possible of $c_k(n+k−1)$ while leaving the remainder non-negative, then similarly as many copies as possible of $c_k(n+k−2)$ from what is left, and so forth, down to $c_k(n)$. More precisely, for each index $i = k−1, k−2, \ldots, 0$ (in that order) set

$$r_i = \left\lfloor \frac{X_2 - \sum_{j<k} r_j c_k(n+j)}{c_k(n+i)} \right\rfloor,$$

and then define $X_3 := X_2 - D$, where

$$D = r_0 c_k(n) + r_1 c_k(n+1) + \cdots + r_{k−1} c_k(n+k−1).$$

By the way we chose the $r_i$, we have

$$0 \leq r_{k−1} < 2 \quad \text{(8)}$$

$$0 \leq r_i < \frac{c_k(n+i+1)}{c_k(n+i)} < 2^{k−1} \text{ for } 0 \leq i \leq k−2. \quad \text{(9)}$$

Furthermore $0 \leq X_3 < c_k(n)$. If $T = RQ + D$, then (a) and (b) above are now satisfied.

Next, define $Y := \lfloor X_3/d_k \rfloor$. Since $0 \leq Y \leq X_3 < c_k(n)$, we can express $Y$ in base $2^n$ as

$$Y = a_0 + a_1 2^n + \cdots + a_{k−1} 2^{(k−1)n},$$

where each $a_i$ is an integer satisfying $0 \leq a_i < 2^n$.

Applying the transformation discussed above in Section 3.2 to $Y$, we obtain the $\mathbb{Q}$-linear combination

$$Y = b_0 c_k(n) + b_1 c_k(n+1) + \cdots + b_{k−1} c_k(n+k−1).$$

From Eqs. (3) and (4) we know that

$$|b_i| \leq k\ell_k \cdot 2^n \quad \text{(10)}$$

for $0 \leq i < k$, and, furthermore, the denominator of each $b_i$ divides $d_k$. Hence, $d_k Y$ is an integer that is a $\mathbb{Z}$-linear combination of the $c_k(n), \ldots, c_k(n+k−1)$.

Set $X_4 := X_3 - d_k Y$. Clearly $0 \leq X_4 < d_k$. Putting this all together, we have

$$N = X + Z = X_2 + RQ + Z = X_3 + D + RQ + Z = X_4 + (D + d_k Y + RQ) + Z.$$
From above we have that \( D + d_k Y + RQ \) is a \( \mathbb{Z} \)-linear combination of \( c_k(n), \ldots, c_k(n+k-1) \), say

\[
D + d_k Y + RQ = s_0 c_k(n) + \cdots + s_{k-1} c_k(n+k-1),
\]

where \( s_i = r_i + d_k b_i + R \). We now obtain upper and lower bounds on the \( s_i \).

We have

\[
s_i \geq d_k b_i + R \geq d_k(-k\ell_k 2^n) + k\ell_k d_k 2^{n+k-1} \geq k\ell_k d_k (2^{n+k-1} - 2^n) \geq 2^{n+k-1},
\]

where we have used Eqs. (7) and (10) and the fact that \( k \geq 2 \). This gives the lower bound, and shows that no \( s_i \) is too small.

For the upper bound, note that

\[
(11) \quad r_i \leq 2^{k-1}
\]

by Eqs. (8) and (9), that

\[
(12) \quad d_k b_i \leq k\ell_k d_k 2^n
\]

by Eq. (10), and

\[
(13) \quad R \leq \frac{X}{Q} + 1 \leq \frac{c2^{k(n+1)}}{Q} + 1 \leq \frac{k^2 \ell_k d_k 2^{k^2-k+1} 2^{k(n+1)}}{2^{(k-1)(n+k-1)}} + 1 \leq k^2 \ell_k d_k 2^{2k+n} + 1.
\]

Putting together Eqs. (11), (12), and (13), and using Propositions 3.3 and 3.4, we get \( s_i = 2^{n+O(k^3)} \). Using Lemma 3.1, we see that each \( s_i c_k(n+i) \) is the sum of at most \( 2^{O(k^3)} \) binary \( k' \)-th powers, and hence \( D + d_k Y + RQ \) is the sum of at most \( k2^{O(k^3)} = 2^{O(k^3)} \) binary \( k' \)-th powers.

Now by construction \( N, D, d_k Y, RQ, \) and \( Z \) are all integer multiples of \( E_k \), so \( X_4 \) is also a multiple of \( E_k \). Furthermore \( X_4 + Z > (F_k + 1) E_k \), so \( X_4 + Z \) can be represented as a non-negative integer linear combination of binary \( k' \)-th powers. On the other hand \( X_4 + Z \leq (F_k + 1) E_k + d_k = 2^{O(k^3)} \). It therefore follows that \( N \) is the sum of at most \( 2^{O(k^3)} \) binary \( k' \)-th powers.

**Remark 4.1.** A more explicit version of our bound on \( W(k) \) is effectively computable from our proof.
5. Final remarks

Everything we have done in this paper is equally applicable to expansions in bases $b > 2$.

The bound $W(k) = 2^{O(k^3)}$ we obtained in this paper is rather weak, and can certainly be improved. We leave this as work for the future. For example, we have

**Conjecture 5.1.** Every natural number $> 147615$ is the sum of at most nine binary cubes. The total number of exceptions is 4921.

**Remark 5.2.** We have verified this claim up to $2^{27}$.

There is another approach to Waring’s theorem for binary powers that could potentially give much better bounds for $W(k)$. For sets $S, T \subseteq \mathbb{N}$ define the sumset $S + T$ as follows:

$$S + T = \{s + t : s \in S, t \in T\}.$$ 

We make the following conjecture:

**Conjecture 5.3.** Writing $C_n$ for the set $\{a \cdot c_k^2(n) : 2^{n-1} \leq a < 2^{n}\}$ of cardinality $2^{n-1}$ (i.e., the $kn$-bit binary $k$'th powers), for $n, k \geq 1$, all the elements in the sumset

$$C_n + C_{n+1} + \cdots + C_{n+k-1},$$

are actually represented uniquely as a sum of $k$ elements, one chosen from each of the summands.

If this conjecture were true – we have proved it for $1 \leq k \leq 3$ – it would prove that the sumset

$$\overbrace{S_k + \cdots + S_k}^k$$

has positive density, and hence, by a result of Nathanson [15, Theorem 11.7, p. 366] (building on earlier work of Schirelmann), that $S_k$ forms an asymptotic additive basis. From this we could obtain better bounds on $W(k)$.

In the light of our results, it seems natural to ask about the set $\mathcal{T}_1^b$ of positive integers $k$ such that $\gcd(S_k^b) = 1$. Indeed, we have that the elements of $\mathcal{T}_1^b$ are exactly the integers $k$ such that $S_k^b$ forms an asymptotic additive basis for $\mathbb{N}$. It turns out that $\mathcal{T}_1^b$ has a natural density, and even more can be said: since $\left(\frac{b^k - 1}{b-1}\right)_{k \geq 1}$ is a Lucas sequence, we can employ the same methods of [19] to prove the following result:
Theorem 5.4. For all integers $g \geq 1, b \geq 2$, the set $T^b_g$ of positive integers $k$ such that $\gcd(S^b_k) = g$ has a natural density, given by

$$d(T^b_g) = \sum_{d \geq 1, \gcd(b,d) = 1} \frac{\mu(d)}{L_b(dg)},$$

where $\mu$ is the Möbius function and $L_b(x) := \text{lcm}(x, \text{ord}_x(b))$, where $\text{ord}_x(b)$ is the multiplicative order of $b$, modulo $x$. In particular, the series converges absolutely.

Furthermore, $d(T^b_g) > 0$ if and only if $T^b_g \neq \emptyset$ if and only if $g = \gcd\left(L_b(g), \frac{b^{L_b(g)} - 1}{b-1}\right)$.

Also, employing the methods of [11], the counting function of the set $\{g \geq 1: T^b_g \neq \emptyset\}$ can be shown to be $\gg x/\log x$ and at most $o(x)$, as $x \to +\infty$. Note only that, in doing so, where in [11] results of Cubre and Rouse [5] on the density of the set of primes $p$ such that the rank of appearance of $p$ in the Fibonacci sequence is divisible by a fixed positive integer $m$ are used, one should instead use results on the density of the set of primes $p$ such that $\text{ord}_p(b)$ is divisible by $m$ – for example, those given by Wiertelak [23].

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