Effects of Additional Xylanase on Saccharification and Ethanol Fermentation of Ammonia-Pretreated Corn Stover and Rice Straw

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Received: 30 May 2020; Accepted: 21 August 2020; Published: 3 September 2020

Abstract: Synergistic effect of cellulase and hemicellulase (xylanase) was evaluated because lignocellulosic material is a heterogeneous complex of cellulose and hemicellulose. Various effects of HTec2 addition on enzymatic saccharification and fermentation were evaluated using two different substrates such as corn stover and rice straw. Corn stover and rice straw were pretreated by the LMAA (low-moisture anhydrous ammonia) method at the preselected same conditions (90 °C, 120 h, moisture content = 50%, NH3 loading = 0.1 g NH3/g). It was observed that the enzymatic saccharification yield of pretreated corn stover (76.4% for glucan digestibility) was higher than that of pretreated rice straw (70.9% for glucan) using CTec2 cellulase without HTec2 addition. Glucan digestibility of pretreated corn stover was significantly increased from 76.4% to 91.1% when the HTec2/CTec2 (v/v) increased from 0 to 10. However, it was interesting that the ethanol production was decreased from 89.9% to 76.3% for SSF and 118.0% to 87.9% for SSCF at higher HTec2/CTec2. As the glucan loading increased from 2.0% to 7.0%, the ethanol yields of both SSF and SSCF were decreased from 96.3% to 88.9% and from 116.6% to 92.4%, respectively. In addition, the smallest inoculum size (optical density of 0.25) resulted in the highest ethanol production (20.5 g/L).

Keywords: pretreatment; inoculum size; high-solid fermentation; enzymatic saccharification; simultaneous

1. Introduction

The world economy is now largely dependent on fossil energy sources. Coal and crude oil are currently main sources of energy used for the productions of fuels, chemicals, power, and so on [1]. The world’s population is growing, so global energy use is increasing rapidly every year [2]. Depending on the use of fossil sources, greenhouse gas emissions are increasing every year. Therefore, various alternative sources of energy such as solar, wind, and biomass have attracted attention and are regarded as renewable sources. Among those sources, lignocellulosic biomass has been studied as an important resource that can be converted into liquid transportation fuels (ethanol and butanol) and various industrial biochemical products by utilizing main components of biomass such as cellulose, hemicellulose, and lignin [3–5]. In the past, sugar and starch obtained from sugarcane and corn grain have been primary source of fuel ethanol production, termed as the first-generation biomass and fuel, respectively [6]. However, these resources conflict with food use and have limited availability. On the contrary, lignocellulosic material, the second-generation biomass, is more abundant and less expensive than the first-generation biomass. In addition, lignocellulosic material has potential to be a more viable source for the biochemical industry because it consists of various components, which can be converted into various fuels and chemicals. In general, the production of foods and animal
feeds generates more tons of lignocellulosic material as a by-product every year [2]. However, effective utilization of lignocellulosic material is much more difficult than that of the first generation biomass because lignocellulosic material has a very different physical structure and chemical composition than sugar and starch; for example, the plant cell wall of lignocellulosic material contains three major biopolymers including cellulose, hemicellulose, and lignin. Cellulose is a linear chain of many β(1→4)-D-glucose units, and the structure is crystalline and the β(1→4) linkage is difficult to break [7]. Hemicellulose is highly branched heteropolymers composed of 5-carbon (xylose and arabinose) and 6-carbon sugars (glucose, galactose, and mannose) [8]. Lignin is a three-dimensional and amorphous polymer consisting of three different phenyl-propane precursor monomer units (guaiacyl (G), syringyl (S), and p-hydroxylphenyl (H)) which are particularly difficult to biodegrade, which is most resistant to enzymatic degradation [9].

In order to effectively convert lignocellulosic material to fuels and chemicals, it requires typically four essential steps including pretreatment, enzymatic saccharification, fermentation, and product recovery [10,11]. Among them, pretreatment is the first and essential step to open up the rigid structure of lignocellulosic material, and then enzymatic saccharification depolymerize cellulose and hemicellulose in the pretreated biomass to fermentable sugars, which are considered as the most cost incurring steps in bioconversion process [12]. Recently various chemical pretreatment methods have been developed for lignocellulosic biomass to enhanced saccharification and fermentation yields. However, each method has advantages and disadvantages depending on reaction conditions and catalysts. For example, ionic liquid (IL) pretreatment uses mild condition like a LMAA, which is effective for solubilization of the plant cell wall, while IL is much more expensive than ammonia. Low temperature steep delignification (LTSD) was reported as very effective for high conversion rate and yield and easy to recover chemicals, while it can generate some toxic products. Compared to other conventional pretreatment methods, LMAA and LTSD use low concentrations of non-toxic chemicals. In addition, cosolvent enhanced lignocellulosic fractionation (CELF) was recently proposed and it claimed very high fermentable sugar recovery (95%). However, as compared to LMAA, CELF requires a more severe condition (high temperature), which results in toxic byproducts like furfural, 5-hydroxymethylfurfural, levulinic acid, etc. [13]

Thus, it was suggested that the development of an effective enzymatic saccharification process is most important for effective conversion of lignocellulosic material [8]. The combined effects of cellulase and hemicellulase, along with adequate enzyme dosages, are the key factor; however, for a successful and economically viable bioconversion process, the pretreatment method should be developed taking into account the important factors of the enzymatic saccharification process such as enzyme dosages and ratios [14]. The effects of cellulase and hemicellulase on sugar and ethanol productions were not vigorously investigated even though they are closely related to each other; therefore, we think it is reasonable if two processes (pretreatment and enzymatic hydrolysis) are studied as a combined series of process.

In our laboratory, various pretreatment methods using ammonia as a pretreatment reagent have been investigated because ammonia has numerous advantages as a pretreatment reagent; for example, it is highly effective for delignification, it has a strong swelling effect, and it preserves cellulose and hemicellulose well [15–17]. The low-moisture anhydrous ammonia (LMAA) method can significantly improve the enzymatic saccharification yield of lignocellulosic material with low ammonia and water inputs. Moreover, the LMAA pretreatment method preserves all cellulose and hemicellulose in the solid, which has been proven to be effectively hydrolyzed by commercial cellulase [18,19].

Saccharification and fermentation can be combined for effective conversion of pretreated biomass into ethanol. There are several process options for effective bioconversion of biomass including (1) the separate hydrolysis and fermentation (SHF) process as the simple process scheme, (2) simultaneous saccharification and fermentation (SSF), and (3) simultaneous saccharification and co-fermentation (SSCF). Although commercial cellulase is a mixture of cellulase and hemicellulase (mainly xylanase), it typically has insufficient hemicellulase activity, which is not enough to hydrolyze a high level of
hemicellulose in the LMAA-treated solid. In this study, it was hypothesized that addition of xylanase could significantly improve the total saccharification yield of the LMAA-treated biomass due to its synergistic effect with cellulase activity [19,20]. The effect of additional xylanase on the ethanol yield in both SSF and SSCF was evaluated. Two representative lignocellulosic materials, corn stover and rice straw, were compared and used as substrates for ethanol production, which were pretreated by the LMAA method under identical conditions (90 °C, 120 h, and L/S = 1.0, NH$_3$ loading = 0.1 g/g-biomass), which were selected as the best conditions in the previous study [17,18]. The enzymatic digestibility of LMAA-treated biomass was measured upon various additional xylanase loadings, keeping cellulase enzyme loading at constant. The SSF was carried out with *Saccharomyces cerevisiae* (D5A), and the SSCF was carried out with recombinant *Escherichia coli* (K011) with different solid loadings, xylanase loadings, and cell inoculum sizes.

2. Materials and Methods

2.1. Feedstock

Two different lignocellulosic materials, corn stover and rice straw, were used in this study. Corn (*Zea mays var. saccharata*) and rice (*Oryza sativa*) were grown and harvested in Korea in 2015 and 2014, respectively. The residues, including stalks, straws, and leaves (corn stover and rice straw with moisture content of ~8.0 wt.%), were ground and sieved to a nominal size of 9–35 Tyler mesh size (0.5–2.0 mm of nominal sieve opening) and placed in the tight-sealed plastic container.

The composition of biomass was determined following the laboratory analytical procedure (LAP) described by the National Renewable Energy Laboratory (NREL, Golden, CO, USA) [21]. The composition of the corn stover was 31.5 wt.% glucan, 22.5 wt.% xylan, 2.1 wt.% galactan, 1.7 wt.% arabinan, 18.0 wt.% lignin (acid insoluble lignin (AIL) + acid soluble lignin (ASL)), 0.7 wt.% sucrose, 0.7 wt.% ash, and 15.2 wt.% other extractives. The composition of rice straw was 34.8 wt.% glucan, 20.1 wt.% xylan, 1.8 wt.% galactan, 2.3 wt.% arabinan, 17.3 wt.% lignin (AIL + ASL), 0.6 wt.% sucrose, 8.4 wt.% ash, and 16.4 wt.% other extractives.

2.2. Enzymes

Cellulase Cellic® CTec2 (batch no. VCP10006) and hemicellulase Cellic® HTec2 (batch no. VHN00002) were obtained from Novozymes Inc. (Bagsvaerd, Denmark). The average activity of cellulase was measured to be 92.7 filter paper unit (FPU)/mL and the protein content following the Bradford assay was determined to be 76.1 mg-protein/mL. The average activity and protein concentrations of HTec2 following Ghose and Bisaria (1987) were measured as 294.3 µmol/mL and 101.0 mg-protein/mL, respectively [22].

2.3. Pretreatment

Ground feedstock was moisturized by adding deionized (DI) water to keep moisture content of 50 wt.% (1.0 g-H$_2$O/g-dry biomass) and then, for homogenization, placed them in the rotating tumbler for 1 h. Anhydrous ammonia was introduced to the batch reactor packed with moisturized biomass, so called the ammoniation step. The target ammonia loading was 0.1 g-NH$_3$/g-biomass after reaction and residence time was kept for 10 min.

For ammoniation, 20 g moisturized biomass (10 g biomass + 10 g H$_2$O) was packed in a tubular reactor with an internal volume of 150 mL (2.54 cm ID × 29.97 cm L). An ammonia gas cylinder was connected to the bottom of the reactor. The top of the reactor was connected to the fume hood for NH$_3$ ventilation. After putting and locking the biomass inside the reactor, NH$_3$ was purged through the reactor for 10 s. Then, the vent was closed. NH$_3$ gas was introduced into the reactor from the bottom. The pressure was maintained at 15 psi to achieve the desired NH$_3$ loading of 0.1 g NH$_3$/g oven dry weight (ODW) biomass. The valve in the top of the reactor was closed for 20 min and was then opened
to the atmosphere in the fume hood. After ammoniation, biomass was transferred to a tightly capped plastic container; biomass was mixed carefully and weighed.

After the ammoniation step, the ammoniated biomass was subjected to the heat treatment step at elevated temperature (90 °C) for five different durations (24, 48, 72, 96, and 120 h) in the forced convection oven. After the heat treatment step, the reactors were cooled down for 15 min in the air. Subsequently, excess ammonia was evaporated in the air—the treated biomass was transferred into a stainless tray and was placed in the fume hood for the evaporation of ammonia at room temperature for 1.0 h. Moisture content of the pretreated sample should not drop below 30% because over-drying may affect the enzyme hydrolysis by causing the collapse of the pretreated structure so it was carefully monitored. LMAA-treated samples were then collected and stored for analysis and further experiments.

2.4. Enzymatic Digestibility

Enzymatic digestibility of rice straw and corn stover was determined in duplicate following the NREL-LAP [23]. The LMAA-treated corn stover and rice straw were digested under identical test conditions. Cellulase (CTec2) and xylanase (HTec2) were used for the saccharification step. The hydrolysis reactions were conducted in the screw-capped 250 mL Erlenmeyer flasks with 100 mL of total working volume containing 1% w/v glucan loading. LMAA-pretreated corn stover and rice straw under 90 °C for 120 h were used as substrates. Sodium citrate buffer (0.05 M, pH = 4.8) was used with the addition of 40 mg/L tetracycline and 30 mg/L cyclohexamide. Cellulase loading of 15 FPU/g-glucan with various dosages of xylanase: HTec2/CTec2 volume ratio was 0, 1.0, 1.5, 2.0, 5.0 and 10. The digestibility tests were conducted under conditions of 50 °C and 150 rpm in a shaking incubator (model: VS-8480SFN, Vision Scientific Co., Ltd., Daejeon, Korea). Sampling was done periodically; glucan and xylan digestibilities were defined as the percentage of theoretical glucan or xylan released from 0 to 120 h of digestion. The following equations were used for the calculations for enzymatic digestibility:

\[
\text{Glucan digestibility [wt%]} = \frac{\text{Total released glucose (g)} \times 0.9}{\text{Initial glucan loading (g)} \times 100}
\]

\[
\text{XMG digestibility [wt%]} = \frac{\text{Total released xmg (g)} \times 0.88}{\text{Initial XMG loading (g)} \times 100}
\]

In the above equations, XMG is defined as the sum of xylan, mannose, and galactan, while xmg refers to the sum of xylose, mannose, and galactose, and 0.88 and 0.9 are the conversion factors for XMG and glucan in the substrate to monomeric xmg and glucose, respectively.

The untreated samples and Avicel® PH-101 (catalog number 11,365, lot number BCBJ0229V, Sigma Aldrich co. ltd. St. Louis, MO, USA) were subjected to the digestibility under the same condition as control and reference.

2.5. Simultaneous Saccharification and Fermentation (SSF) and the Simultaneous Saccharification and Co-Fermentation (SSCF)

The SSF and SSCF processes were conducted following the NREL-LAP [24]. For the SSF test, colonies of \textit{S. cerevisiae} (D5A) (ATCC® 200062, American Type Culture Collection (ATCC), Manassas, VA, USA) on solid medium were used to inoculate 100 mL sterile YPD (10 g/L yeast extract, 20 g/L peptone, 20 g/L dextrose) in 250 mL flasks. The inoculum was incubated in a shaking incubator (model: VS-8480SFN, Vision Scientific Co., Ltd., Daejeon, Korea) at 30 °C and 150 rpm for 10–14 h. The microbial cells were harvested by centrifugation (model: MF 80, Hanil Science Co., Ltd., Gimpo, Korea) at 3000 rpm for 2 min duration, then decantation of the supernatant was performed. The cells were re-suspended in sterilized DI water via vortex spinner. Re-suspended cells were diluted to 1/10 of the volume of water before adding it to the inoculum, then to the experimental flasks with a starting OD (optical density) of 0.50. For the SSCF test, a similar procedure was used to prepare
the recombinant *E. coli* (K011) (ATCC® 55124) inoculum as well, except that sterile LB (Luria-Bertani; 10 g/L Tryptone, 5 g/L Yeast Extract, 5 g/L NaCl) medium was used.

SSF and SSCF tests were conducted using LMAA-treated corn stover and rice straw as substrates and CTec2 and HTec2. Both SSF and SSCF tests were performed in the 250-mL flasks with rubber stoppers and incubated at 37 °C and 150 rpm. The initial solid loading was 3% w/v glucan (= ~9% total biomass loading). The working volume was 100 mL. The enzyme loading was 15 FPU/g-glucan of cellulase CTec2, and the HTec2:CTec2 volume ratio was 0.0, 1.5, 2.0, and 10.0. The pH of SSF with *S. cerevisiae* (D5A) was adjusted to around pH 5.0 at the beginning, and the pH for the SSCF with *E. coli* (K011) was set around 7.0.

Ethanol yield (% of theoretical maximum) was calculated as follows:

\[
\text{Ethanol yield (\% of the theoretical max)} = \frac{\text{Total ethanol produced (g) in reactor}}{\text{Initial sugar (g) in reactor} \times 0.511} \times 100
\]

The initial sugars in the above equation are glucose and xylose or just glucose, which would be completely produced hydrolysis of glucan and xylan in untreated corn stover and rice straw used in the SSF and SSCF experiment.

For high-solid fermentations in fed-batch SSF and SSCF operation modes, the whole test procedure was similar, but the initial glucan loading was 2.0% (g/mL). After every 12 h of SSF and SSCF, the substrate was added up to 4.0, 5.0, 6.0, and 7.0% with the addition of enzymes.

2.6. Compositional Analysis

The composition of untreated and pretreated corn stover and rice straw was analyzed following the LAP of the NREL [21]. (The analysis included the determination of lignin (acid soluble lignin and acid insoluble lignin), extractives, carbohydrates (monomeric sugars), and ash content. Carbohydrates were determined by a high performance liquid chromatography (HPLC, Model LC-10A, Shimadzu Inc., Kyoto, Japan) with a Bio-Rad Aminex HPX-87P (catalog number 1,250,098, Bio-Rad Inc., Hercules, CA, USA) column and a refractive index detector (RID-10A, Shimadzu Inc., Kyoto, Japan). The monomeric sugars and ethanol concentrations in the samples obtained from digestibility and fermentation tests were measured by a HPX-87H column (catalog number 1,250,140, Bio-Rad Inc., Hercules, CA, USA). Sulfuric acid (H2SO4, 5.0 mM), as the mobile phase, was pumped into the HPLC at a 0.6-mL/min flow rate and 60 °C column temperature.

3. Results and Discussion

3.1. Enzymatic Saccharification of LMAA-Treated Corn Stover and Rice Straw

In this study, the effect of reaction time of the LMAA on the enzymatic saccharification for two different feedstocks, including corn stover and rice straw, was compared and evaluated. Among the reaction parameters in the pretreatment such as NH3 loading, moisture content (H2O loading per gram biomass), reaction temperature, and reaction time, only reaction time varied from 24 to 120 h, keeping other conditions at constant; i.e., five different reaction times (24–120 h) were employed at 90 °C, using corn stover and rice straw with 0.1 g NH3/g ODW biomass and moisture content of 50 wt.%.

Digestibilities were determined during 120 h of hydrolysis time with 15 FPU/g-glucan cellulase CTec2 and the addition of HTec2 with HTec2:CTec2 ratio is 1.5 (v/v). The digestibility test results were summarized in Figure 1a,b. An increase of pretreatment time from 24 to 120 h did not result in significant improvement on enzymatic digestibility of corn stover and rice straw. The glucan digestibility (at 72 h of hydrolysis) of LMAA-treated corn stover and rice straw increased from 79.1% to 82.8% and 70.4% to 71.5%, respectively when the reaction time was increased from 24 to 120 h. According to the results in Figure 1, LMAA pretreatment for extended reaction time longer than 24 h has no significant effect on enzymatic digestibility with 15 FPU/g-glucan enzyme loading.
3.2. Effects of LMAA Pretreatment on Chemical Compositions of Corn Stover and Rice Straw

It should be noted that the main purpose of this study was not to determine the pretreatment conditions for an economically viable process, but to evaluate the effect of additional xylanase on enzymatic hydrolysis and fermentation. Based on our previous reports [17,18], it was found that the LMAA treatment of corn stover at 80–90 °C and 48–84 h resulted in enhanced saccharification yields. In this study, the longer reaction time of 120 h and reaction temperature of 90 °C were chosen to render sufficient pretreatment effects for two different biomass. The carbohydrate and lignin contents of LMAA-treated corn stover and rice straw were presented in Table 1.

The glucan content in the untreated corn stover and rice straw were 31.6% and 34.7%, respectively. The XMG (xylan + mannann + galactan) contents were 22.6% and 20.2%, respectively. Other sugars account for only a small portion of the total sugar in the biomass. Sugar retention of LMAA-pretreated corn stover and rice straw was nearly 100% and it did not change the lignin content significantly. These results were in accordance with our previous reports that LMAA pretreatment is efficient to preserve both glucan and XMG in the treated solid [17]. In addition, it was confirmed by HPLC analysis of pretreatment liquid sample that the relatively mild temperature of LMAA treatment at 90 °C did not
result in the formation of degradation products such as furfural and 5-HMF (hydroxymethylfurfural) that could be inhibitory for enzymatic hydrolysis and microbial fermentation. This was also in line with the previous report that LMAA pretreatment did not have any weight loss because there was no washing step after the pretreatment [17].

Table 1. Chemical compositions of untreated and LMAA-treated corn stover and rice straw.

| Component | Corn Stover | LMAA-Treated | Rice Straw | LMAA-Treated |
|-----------|-------------|--------------|------------|--------------|
| Glucan [%] | 31.6 ± 0.3  | 31.5 ± 0.4   | 34.7 ± 0.5 | 34.8 ± 0.3   |
| XMG a [%]  | 22.6 ± 0.9  | 22.5 ± 0.8   | 20.2 ± 0.6 | 20.1 ± 0.3   |
| AIL b [%]  | 16.2 ± 0.8  | 15.5 ± 0.6   | 15.7 ± 0.8 | 15.1 ± 0.2   |
| ASL c [%]  | 1.8 ± 0.2   | 2.5 ± 0.3    | 1.6 ± 0.3  | 2.2 ± 0.1    |
| Ash [%]    | 0.7 ± 0.2   | 0.8 ± 0.2    | 8.4 ± 0.3  | 9.2 ± 0.2    |

Note: a. XMG (xylan + mannan + galactan); b. AIL (acid insoluble lignin); c. ASL (acid soluble lignin). The data in the table show the mean value and standard deviation. Data in the table based on the oven-dried untreated biomass. Pretreatment conditions: 120 h, 90 °C, ammonia loading of 0.1 g NH₃/g ODW, moisture content of 50 wt.%.

3.3. Effect of Additional Xylanase on the Enzymatic Hydrolysis of LMAA-Treated Biomass

The LMAA-treated biomass was saccharified by CTec2 (15 FPU/g-glucan) supplemented with various dosages of xylanase with volume ratio of HTEc2/CTec2 ranging from 0 to 10 and the digestibilities were determined by measurement of the released monomeric sugars. The effects of xylanase supplementation on glucan and xylan digestibilities of LMAA-treated corn stover and rice straw were summarized in Figure 2a,b. The results showed that HTEc2 addition had a significant impact on CTec2’s efficacy on the hydrolysis. Without additional xylanase, the glucan and xylan digestibility of LMAA-treated corn stover were 76.4% and 61.1%, respectively, at 72 h of enzymatic hydrolysis. With the same enzyme loading, the glucan and xylan digestibility of LMAA-treated rice straw were 70.9% and 56.2%, respectively. Glucan digestibilities of both LMAA-treated corn stover and rice straw increased to around 90% with sufficient xylanase supplementation, which was increased from 70.9% to 76.4% at HTEc2/CTec2 = 0. The highest glucan and xylan digestibility of LMAA-treated corn stover at HTEc2/CTec2 ratio of 10 were 91.1% and 73.7% respectively. Similarly, the corresponding values for LMAA-treated rice straw were 89.5% and 71.1%. Glucan digestibility increased in proportion with the amount of xylanase addition for both corn stover and rice straw as shown in Figure 2. The results showed that xylanase not only hydrolyzed xylan but also enhanced glucan digestibility.

As observed in Figure 2a,b, it was speculated that there is a strong relationship between increases of xylan digestibility and glucan digestibility of LMAA-treated corn stover and rice straw. On the other hand, it can also be seen in Figure 2 that the enzymatic hydrolysis using 15 FPU/g-glucan of cellulase at HTEc2/CTec2 = 0 resulted in higher glucan and xylan digestibility (76.4% and 61.1%) of LMAA-treated corn stover than those of LMAA-treated rice straw at HTEc2/CTec2 ratio of 10 were 91.1% and 73.7% respectively. The effect of pretreatment and enzymatic hydrolysis on the enzymatic saccharification of LMAA-treated corn stover was more evident than that of LMAA-treated rice straw. It should be noted that the ash content of rice straw was around 8.4%, it is much higher than the ash content of corn stover which is only 0.7%. During the enzymatic hydrolysis process, this ash would be ionized, and the cations transfer into the solution and affect the enzyme activity [8]. It may be one of the reasons that affect the enzymatic hydrolysis reaction. Though this idea has not attracted sufficient attention, Yu and Chen (2010) [8] reported that the ash cations of rice straw showed inhibitive effects on the activities of cellulase even at low concentration. Therefore, they suggested the removal of ash cations would be beneficial to the improvement of cellulase activity and increase the hydrolysis efficiency. On the other hand, the glucan content of rice straw is higher than that of corn, which can be beneficial for obtaining high ethanol yield from fermentation. Because the corn stover resulted in the higher saccharification yield; therefore, the following ethanol fermentation study was carried out using corn stover alone.
As observed in Figure 2a,b, it was speculated that there is a strong relationship between increases of xylan digestibility and glucan digestibility of LMAA-treated corn stover and rice straw. On the other hand, it can also be seen in Figure 2 that the enzymatic hydrolysis using 15 FPU/g-glucan of cellulase at HTec2/CTec2 = 0 resulted in higher glucan and xylan digestibility (76.4% and 61.1%) of LMAA-treated corn stover than those of LMAA-treated rice straw (70.9% and 56.2%). The effect of pretreatment and enzymatic hydrolysis on the enzymatic saccharification of LMAA-treated corn stover was more evident than that of LMAA-treated rice straw. It should be noted that the ash content of rice straw was around 8.4%, it is much higher than the ash content of corn stover which is only 0.7%. During the enzymatic hydrolysis process, this ash would be ionized, and the cations transfer into the solution and affect the enzyme activity [8]. It may be one of the reasons that affect the enzymatic hydrolysis reaction. Though this idea has not attracted sufficient attention, Yu and Chen (2010) [8] reported that the ash cations of rice straw showed inhibitive effects on the activities of cellulase even at low concentration. Therefore, they suggested the removal of ash cations would be beneficial to the improvement of cellulase activity and increase the hydrolysis efficiency. On the other hand, the glucan content of rice straw is higher than that of corn, which can be beneficial for obtaining ethanol.

3.4. SSF and SSCF of LMAA-Treated Biomass

The fermentation tests were conducted to compare the ethanol yield in SSF carried out with *S. cerevisiae* (D5A) and SSCF carried out with recombinant *E. coli* (K011) using LMAA-treated corn stover under the pretreatment conditions of 90 °C for 120 h as substrates. Figure 3a,b showed the ethanol productions trends using *S. cerevisiae* (D5A) and recombinant *E. coli* (K011). In all cases, use of recombinant *E. coli* (K011) achieved considerably higher ethanol yield than *S. cerevisiae* (D5A); i.e., the ethanol production after 120 h of LMAA treated-corn stover of two strains were 13.5 g/L (79.1% based on glucan only) and 16.7 g/L (97.9% based on glucan only) for *S. cerevisiae* (D5A) and recombinant *E. coli* (K011), respectively. For SSCF using recombinant *E. coli* (K011), the ethanol production increased to 18.2 g/L (106.1% of total glucose loading) at 168 h. The ethanol production of treated corn stover after 120 h was 14.7 g/L (88.3% based on glucan only) with *S. cerevisiae* (D5A) and 19.8 g/L (116.2% based on glucan only) with recombinant *E. coli* (K011), and the SSCF was carried out with recombinant *E. coli* (K011) which was further increased to 20.2 g/L (118.4% based on glucan only) at 168 h. This indicated that the SSCF using recombinant *E. coli* (K011) produced more than 100% of theoretical maximum ethanol yield based on glucan only. The results confirmed that recombinant *E. coli* (K011) can consume both glucose and xylose and further convert them into ethanol effectively.
Figure 3. Ethanol production of LMAA-treated corn stover using (a) SSF and (b) SSCF. Reaction conditions: 120 h, 90 °C, ammonia loading of 0.1 g NH₃/g ODW, moisture content of 50 wt.%. enzyme ratio: HTec2:CTec2 volume ratio = 1.5.

3.5. Effect of Different Xylanase Dosages on Ethanol Productions in SSF and SSCF Processes Using LMAA-Treated Corn Stover

As seen in the earlier section, additions of high dosage of xylanase resulted in high enzymatic saccharification yield of LMAA-treated biomass. However, high enzyme dosage can have a negative impact on the overall economics of the bioconversion process for bioethanol production because the cost of enzymes is very high. Therefore, further study was conducted to test the effect of xylanase dosage on ethanol production using LMAA-treated corn stover in SSF and SSCF reaction. The results are shown in Figure 4. Ethanol productivities in SSF and SSCF processes with no xylanase addition and with xylanase addition are pictorially represented in Figure 4a,b. In this test, LMAA-treated corn stover was used as the substrate. It was observed that the addition of HTec2 effectively enhanced both cellulose and hemicellulose hydrolyses, which resulted in conversion of sugars into ethanol at high yield, the same results were reported in our previous study [23]. It was reported that xylanase helps improving cellulase accessibility to the cellulosic part by reducing the hindrance caused by the
resilient hemicellulose layer on the cellulose microfibril. Ethanol production with HTec2/CTec2 = 1.5 for LMAA-treated corn stover was increased by 24.5% (from 11.2 to 15.2 g/L) for SSF and 10.0% (from 18.5 to 20.1 g/L) for SSCF, respectively. It proved that there was no ethanol produced from xylose in the SSF by using *S. cerevisiae* (D5A). Meanwhile, the ethanol yield in SSF was increased when xylanase was added, which was assumed that hydrolysis of hemicellulose was enhanced by added xylanase, then resulting in synergistic effect on cellulose hydrolysis. However, no increase of ethanol production was observed when enzyme xylanase dosages were increased further (HTec2/CTec2 ratio > 1.5 (v/v)) in both SSF and SSCF tests. On the contrary, unusual phenomena was observed; i.e., when the HTec2/CTec2 ratios increased up to 10 (v/v), ethanol yields were reduced substantially from 118.0% to 87.9% for SSCF and 89.9% to 76.3% for SSF (Figure 4). The same observation was reported by Tomás-Pejó et al., (2009) [25] that viable cell count was affected by the enzyme concentration in the broth due to the stabilizing additives such as sorbitol or glycerol in the crude enzyme, which leads to a decrease in the ethanol production.

![Graph](image1.png)

**Figure 4.** Effect of xylanase loading on ethanol production using LMAA-treated corn stover (a) SSF and (b) SSCF. Reaction conditions: 120 h, 90 °C, ammonia loading of 0.1 g NH₃/g ODW, moisture content of 50 wt.%. 

![Graph](image2.png)
Therefore, we conducted this test to determine whether xylose concentration has a negative effect on ethanol production. The concentration of xylose in 100 mL working solution were 0.42%, 1.42%, 2.42% and were chosen according to the xylanase in the biomass used in the previous SSF and SSCF.

In the SSF mode, the ethanol yields were stable at 82% (around 15 g/L, Figure 5a) when the xylose concentration increased from 1.42% to 2.42%. However, in the SSCF, ethanol yield was increased by 23.2% (from 17.8 to 22.1 g/L), as the xylose loading increased from 0.42% to 1.42%. On the contrary, unusual phenomena was observed; i.e., when the xylose concentration increased from 1.42% to 2.42% ethanol yields were reduced substantially from 121.2% to 118.4% (from 22.1 to 21.6 g/L, Figure 5b). As a result, it was found that xylose concentration in the fermentation has no effect on SSF mode, but has a negative effect on ethanol yield for the SSCF.

3.6. High-Solid Fermentation Using Fed-Batch SSF and SSCF

Because the corn stover is fluffy and absorbs a large amount of water, it is difficult to increase initial solid loading higher than 20% (w/v) in the bioreactor. In general, a fermentation at high initial solid loading encounters problems such as poor agitation or shaking and low ethanol production yield due to high viscosity and poor mass transfer.
As the solid loading increases, the higher ethanol concentration can be achieved at the end of fermentation, which can be beneficial to the downstream process, such as product recovery using distillation [26]. This can have a positive impact on total ethanol production cost because the smaller size equipment can be used and the energy cost for ethanol concentration and process water recycle can be reduced [27]. The fed-batch fermentation can reduce the viscosity even at high solid loading; therefore, it can overcome poor mass transfer and mixing problem. SSF and SSCF tests using LMAA-treated corn stover were conducted at 2.0% to 7.0% (w/v) glucan loading to evaluate the effect of HTeC2 addition on ethanol fermentation. The results showed that 2.0% initial glucan loading yielded the highest ethanol production, but the ethanol concentration was very low (Figure 6). In the fed-batch SSF, final ethanol concentration was increased from 10.9 to 31.6 g/L according to the increase of glucan loading from 2.0% to 7.0% (w/v); i.e., the highest ethanol yield (96.3% of theoretical maximum) was obtained at 2.0% glucan loading. The ethanol yield was decreased by 7.4% (from 96.3% to 88.9%), as the glucan loading increased from 2.0% to 7.0%. In the SSCF mode, the ethanol concentration increased from 14.0 to 34.8 g/L. However, substantial decrease of ethanol production yield (from 116.6% to 92.4%) was observed at higher glucan loading. Similar observation was reported by Liu and Chen for ethanol yield with the increase of solid loading [28].

Figure 6. High-solid fed-batch fermentation using LMAA-treated corn stover (a) SSF and (b) SSCF. Reaction conditions: 120 h, 90 °C, ammonia loading of 0.1 g NH₃/g ODW, moisture content of 50 wt. %.
3.7. Selection of Inoculum Size for Ethanol Production in SSCF

Inoculum size is also a very important factor to improve the economics of the process, because it is more costly to input a large size of inoculum than to a small inoculum. In this study, a suitable inoculum concentration and size for effective ethanol production in the SSCF using LMAA-treated corn stover was studied. Six different inoculum concentrations (OD of 0.0625, 0.125, 0.25, 0.5, 1.0, and 2.0) were used in this test. Figure 7 showed the ethanol production of SSCF using four different inoculum sizes of recombinant E. coli (K011). The results showed that 0.0625 of OD inoculum size resulted in the lowest ethanol yield of 17.1 g/L after 72 h of the SSCF run. As shown in Table 2, ethanol production was significantly increased ($p = 0.03$) as the amount of the inoculum was raised from OD = 0.0625 to OD = 0.25. Maximum ethanol yield was achieved at OD = 0.25 inoculum, and ethanol concentration reached at 20.5 g/L after 72 h of the fermentation. On the other hand, when OD higher than 0.25 (OD of 0.5, 1.0, and 2.0), the ethanol production slightly decreased (19.7, 19.3, and 18.9 g/L). This result confirmed that increasing the recombinant E. coli (K011) input (OD > 0.25) resulted in reduced ethanol yields as more sugar is required and consumed for cell growth. On the contrary, the smaller inoculum size required less sugar, but the bioconversion reaction rate was reduced. This result agreed with what was reported by Dada et al., 2012 [29] and Ferchichi et al., 2008 [30] where the strains use the energy and carbon from the substrate to use in the microbial growth. Therefore, the higher inoculums concentration lead to the higher energy and carbon to be consumed, and this results in the negative impact in the fermentation process.

![Figure 7. Effects of inoculum size to the ethanol yield in the SSCF using LMAA-treated corn stover.](image)

**Figure 7.** Effects of inoculum size to the ethanol yield in the SSCF using LMAA-treated corn stover.
Reaction conditions: 120 h, 90 °C, ammonia loading of 0.1 g NH$_3$/g ODW, moisture content of 50 wt.%

**Table 2.** The ANOVA analysis of the effect of inoculum sizes on ethanol yield in SSCF.

| Source of Variation | SS  | DF | MS  | $F$  | $p$-Value | $F_{crit}$ |
|--------------------|-----|----|-----|------|-----------|-----------|
| Between Groups     | 12.35 | 5  | 2.47 | 5.34 | 0.03      | 4.39      |
| Within Groups      | 2.78  | 6  | 0.46 |      |           |           |
| Total              | 15.12 | 11 |      |      |           |           |

Note: SS: sum of the squares; MS: mean square; DF: degrees of freedom (=1).

4. Conclusions

It was found that increase of LMAA-pretreatment time from 24 to 120 h, maintaining temperature at 90 °C (ammonia loading of 0.1 g NH$_3$/g ODW) did not result in significant improvement on enzymatic digestibilities of corn stover and rice straw. With LMAA-treated biomass, the addition of HTec2 xylanase at high dosage (HTec2/CTec2 > 1.5) showed the significant effect on enzymatic saccharification, i.e., glucan digestibility for LMAA-treated corn stover was increased from 76.4% to
91.1% with 15 FPU/g-glucan. However, it was interesting that it resulted in a decrease of ethanol production, i.e., ethanol yield of treated corn stover was decreased from 89.9% to 76.3% for SSF and from 118.0% to 87.9% for SSCF. The results showed that released xylose has no effect on SSF but has negative effect on ethanol yield for the SSCF.

In a fed-batch fermentation mode, ethanol yield was decreased as the glucan loading increased from 2.0% to 7.0%, the ethanol production of SSF and SSCF was 88.9% and 94.2%, respectively (based on glucan loading) at 7.0% final glucan loading. Highest ethanol production (20.5 g/L) was achieved with inoculum size of OD of 0.25.

**Author Contributions:** S.H.P. and T.T.H.P. equally conducted all experiments, summarized the data, and drafted a manuscript. T.H.K. designed overall study and experiments, interpreted the results, wrote a manuscript, and finalized the manuscript. All authors have read and agreed to the published version of the manuscript.

**Funding:** This work was supported by the R&D program of Korea Institute of Energy Technology Evaluation and Planning (KETEP) grant funded by the Ministry of Trade, Industry & Energy (MOTIE), Korea (No. 20183030092080).

**Conflicts of Interest:** The authors declare no conflict of interest.

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