**Article**

**Large Amplitude Motions of Pyruvic Acid (CH$_3$-CO-COOH)**

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**Abstract:** Torsional and rotational spectroscopic properties of pyruvic acid are determined using highly correlated ab initio methods and combining two different theoretical approaches: Second order perturbation theory and a variational procedure in three-dimensions. Four equilibrium geometries of pyruvic acid, Tc, Tt, Ct, and CC, outcome from a search with CCSD(T)-F12. All of them can be classified in the C$_s$ point group. The variational calculations are performed considering the three internal rotation modes responsible for the non-rigidity as independent coordinates. More than 50 torsional energy levels (including torsional subcomponents) are localized in the 406–986 cm$^{-1}$ region and represent excitations of the $\nu_{24}$ (skeletal torsion) and the $\nu_{23}$ (methyl torsion) modes. The third independent variable, the OH torsion, interacts strongly with $\nu_{23}$. The A$_1$/E splitting of the ground vibrational state has been evaluated to be 0.024 cm$^{-1}$ as it was expected given the high of the methyl torsional barrier (338 cm$^{-1}$). A very good agreement with respect to previous experimental data concerning fundamental frequencies ($\nu^{\text{CAL}} - \nu^{\text{EXP}} < 1$ cm$^{-1}$), and rotational parameters (B$^{\text{CAL}}_0$ – $B^{\text{EXP}}_0 < 5$ MHz), is obtained.

**Keywords:** pyruvic acid; LAM; VOC; torsion; spectrum; atmosphere

1. Introduction

Pyruvic acid (CH$_3$CO-COOH, PA) is a prevalent species in the Earth’s atmosphere [1,2] where it is a key intermediate of keto-acid reactions. Its presence has been reported in gas phase, aerosols, fogs, clouds, polar ice, and rainwater. Primary sources of pyruvic acid in the atmosphere are the reaction between the hydroxyl radical and hydrated methylglyoxal, the oxidation of isoprene, and the ozonolysis of methyl vinyl ketone [3]. In regions with abundant vegetation, it can be emitted directly by plants. The presence of PA can alter the chemistry and optical properties of atmospheric particles. It acts as a key intermediate in the oxidative channels of isoprene and secondary organic aerosols (SOA) formation.

Photo-oxidation of volatile organic compounds (VOC) yields products that are precursors of SOAs. The photodissociation of pyruvic acid displays a complex and significant chemistry in the atmosphere [1,2]. It absorbs light in the near-UV and by photodissociation, it decomposes forming CO$_2$, additional products, and a reactive intermediate, methylhydroxycarbene, which produces acetaldehyde by isomerization. The photolysis represents the main destructor of the acid since it oxidized relatively slowly by peroxides and OxH radicals [2]. Vaida et al., who have published a long list of recent papers focused on PA [4–6] and references therein, have explored the multiphase photochemistry to elucidate its likely primary atmospheric removal pathways and the implications for SOA. Some of these works attend to the role of the conformers and the spectroscopic properties of the acid on its reactivity. Since the high overtone excitation of the OH-stretching mode in the gas
phase leads to a unimolecular decarboxylation reaction, the dynamics of overtone-excited pyruvic acid (PA) was the object of a meticulous study using a combination of theoretical methods and measurements of the OH-stretch fundamental and overtone transitions with Fourier transform infrared spectrometry [7,8].

In addition to the earth atmosphere relevance, carboxylic acids are present in the volatile fraction of carbonaceous meteorites [9]. Examples are compounds such as acetic acid already observed in extraterrestrial atmospheres in the gas phase [10]. Recently, Kleimeier et al. [11] have designated pyruvic acid to be a detectable species in extraterrestrial sources. They have studied its formation by barrierless recombination of hydroxycarbonyl (HOCO) and acetyl (CH$_3$CO) radicals in ices of acetaldehyde (CH$_3$CHO) and carbon dioxide (CO$_2$) modeling interstellar conditions driven by cosmic rays.

Motivated by a possible search of PA in gas phase sources, Kisiel et al. [12] measured the millimeter wave rotational spectrum in the region at 160–314 GHz and in supersonic expansion at 10–17.4 GHz. They provide parameters for various torsional states initiating the analysis on the basis of previous work predictions [13–16]. Dyllic-Brenzinger et al. [15] observed an anomalous behavior in the first excited vibrational state in the rotational spectrum that they attributed to an interaction between the two low-lying torsional modes. Meyer and Bauder [16] used a two-dimensional flexible model to predict the energies of the lowest vibrational states and the A/E splittings in the second excited $\nu_{24}$ torsional state. The barrier to internal rotation was established to be $V_3 = 965 \pm 40$ cal/mol. The stark effect measurements of Marstokk and Möllendal [14] yielded to $\mu_a = 2.27 \pm 0.02$ D, $\mu_b = 0.35 \pm 0.02$ D, and $\mu_c = 2.3 \pm 0.03$ D.

The vibrational spectrum was measured in the gas phase and in Ar matrix by Holleinstein et al. in 1978 [17]. More recently, the vibrational spectra of the two most stable conformers were measured in N$_2$ and Ar matrices and analyzed by Reva et al. [18]. Ab initio calculations have been performed to search for stable geometries [19]. PA was studied as a benchmark to test theoretical studies of conformers of flexible molecules performed combining couple cluster (CC) and DFT methodologies [20].

In this paper, we attend to the pyruvic acid from the point of view of ab initio calculations with special attention to the far infrared region (FIR). The work describes structural and spectroscopic properties following the procedures used for molecules such as acetic acid [21], acetone [22] or biacetyl [23], which are properties or functional groups with PA. Ab initio calculations are achieved using explicitly correlated coupled cluster theory [24,25]. The far infrared region, relevant for rotational studies, is explored using a three-dimensional variational procedure [26]. Recently, this procedure has been improved and implemented for a proper classification of the energy levels in molecules with regions showing a high density of states [27]. In the procedure, the two low-lying torsional modes are considered to be independent coordinates. These two vibrational modes are strongly coupled as was early observed [15]. A third coordinate, the OH internal rotation, is defined as an independent coordinate since it interacts strongly with the $\nu_{24}$ mode.

2. Results
2.1. Electronic Structure Calculations

The geometrical parameters and energies of the pyruvic acid conformers were computed using the explicitly correlated coupled cluster theory with singles and doubles substitutions augmented by a perturbative treatment of triple excitations (CCSD(T)-F12 [24,25] as it is implemented in MOLPRO [28], using the default options and the AVTZ-F12 basis set. This basis set contains the aug-cc-pVTZ (AVTZ) [29] atomic orbitals and the default functions for the density fitting and the resolutions of the identity. To obtain accurate rotational constants, the core-valence electron correlation effects on the structures were introduced using CCSD(T) [30] and the cc-pCVTZ basis set (CVTZ) [31].
Two different theoretical models were applied for determining the spectroscopic parameters: The vibrational second order perturbation theory (VPT2) [32] implemented in Gaussian [33], and a variational procedure of reduced dimensionality implemented in our code ENEDIM [34–36]. If VPT2 is applied, the four conformers are treated as different semi-rigid species, unable to interconvert, and the vibrations are described as small displacements around the equilibrium geometries. However, if the variational procedure is employed, the non-rigidity is taken into account. The four minima are treated together and their interconversion is considered implicitly.

For VPT2, the quadratic, cubic, and quartic terms of a force field were computed using the second order Möller-Plesset theory (MP2) and the AVTZ basis set [29]. For the variational calculations a three-dimensional potential energy surface was obtained at the CCSD(T)-F12/AVTZ-F12 level of theory applied on a grid of structures partially optimized with MP2/AVTZ. The surface was vibrationally corrected using MP2/AVTZ harmonic calculations.

### 2.2. The Four Conformers of Pyruvic Acid

Four equilibrium geometries of pyruvic acid, Tc, Tt, Ct, and CC have been identified based on a search with CCSD(T)-F12. All of them present a symmetry plane and can be classified in the C₃ᵥ point group. The most stable geometry is the Tc (TRANS-cis) conformer represented in Figure 1. “TRANS and CIS” designate the relative orientation of the two C=O groups, whereas “trans and cis” denote the relative position of the C1 and H10 atoms.

![Figure 1. The preferred geometry of pyruvic acid.](image-url)

Table 1 summarizes the properties characterizing the four conformers: The CCSD(T)-F12/AVTZ-F12 relative energies E, and the corresponding vibrationally corrected relative energies, $E^{ZPVE}$; the CCSD(T)-F12/AVTZ-F12 equilibrium rotational constants, the MP2/AVTZ dipole moment components along the principal axis, the high of the barriers restricting the minimum interconversion, and the three coordinates, $\theta_1$, $\theta_2$, and $\alpha$, that identify the structures. They correspond to the methyl group torsion, the torsion of the C3-C1 bond, and the hydroxyl group torsion, respectively. In the variational procedure described in the next sections, $\theta_1$, $\theta_2$, and $\alpha$ represent the independent coordinates. They are defined as the following linear combination of internal coordinates:

\[
\begin{align*}
\theta_1 &= (H5C2C1C3 + H6C2C1C3 + H7C2C1C3)/3 \\
\theta_2 &= O8C2C1C3 + O9C2C1C3 - 180 \\
\alpha &= H10O9C3O8
\end{align*}
\]

In Table 1, the computed dipole moment components are compared with previous experimental data derived from stark effect measurements [14].
Table 1. CCSD(T)-F12/AVTZ-F12 relative energies (E, E\text{ZPVE}, in cm$^{-1}$), internal rotation barriers (V$_3$, V$_{\text{OH}}$, V$_{\text{CC}}$ in cm$^{-1}$), and equilibrium rotational constants (in MHz); MP2/AVTZ dipole moment (in D) of pyruvic acid.

|       | Tc      | Tt      | Ct      | Cc      |
|-------|---------|---------|---------|---------|
| E     | 0.0     | 960.3   | 1526.0  | 3742.6  |
| E\text{ZPVE} | 0.0   | 877.5 | 1416.3  | 3563.5 |
| $\theta_1$ | 180° | 180° | 180° | 180° |
| $\theta_2$ | 0°   | 0°   | 180° | 180° |
| $\alpha$ | 180° | 0°   | 0°   | 180° |
| $A_e$  | 5537.641 | 5614.046 | 5568.843 | 5513.683 |
| $B_e$  | 3606.390 | 3497.767 | 3503.128 | 3483.147 |
| $C_e$  | 2213.503 | 2187.875 | 2179.097 | 2163.620 |
| $\mu_a$ | 2.5575 | 2.27 ± 0.02 [14] | 0.3809 | 0.2021 | 2.9383 |
| $\mu_b$ | 0.131 | 0.35 ± 0.02 [14] | 1.353 | 4.4313 | 5.2582 |
| $\mu_c$ | 0.0  | 0.0  | 0.0  | 0.0  |
| $\mu$  | 2.5609 | 2.3 ± 0.03 [14] | 1.4056 | 4.4399 | 6.0235 |
| $V_3$  | 338.0 | 336.358(50) [12] | 397.8 | 540.2 | 852.8 |

$E = -342.03025$ a.u.; ZPVE = 15601.9 cm$^{-1}$.

Figure 2 represents the relative stabilities of the four conformers. The CCSD(T)-F12/AVTZ-F12 structural parameters and MP2/AVTZ anharmonic vibrational frequencies are collected in Tables 2 and 3, respectively.

Figure 2. The four pyruvic acid conformers. The relative energies are given in Table 1.

Table 2. CCSD(T)-F12/AVTZ-F12 equilibrium structural parameters (distances, in Å, angles, in degrees) of pyruvic acid conformers.

|       | Tc   | Tt   | Ct   | Cc   |
|-------|------|------|------|------|
| H10...O4 | 2.0030 | -    | -    | -    |
| C1C2  | 1.4971 | 1.5008 | 1.5031 | 1.5149 |
| C1C3  | 1.5433 | 1.5408 | 1.5476 | 1.5561 |
| O4C1  | 1.2168 | 1.2063 | 1.2048 | 1.2031 |
| H5C2  | 1.0870 | 1.0863 | 1.0866 | 1.0865 |
| H6C2  | 1.0914 | 1.0908 | 1.0909 | 1.0935 |
Table 2. Cont.

|       | Tc          | Tt          | Ct          | Cc          |
|-------|-------------|-------------|-------------|-------------|
| H7C2  | 1.0914      | 1.0908      | 1.0909      | 1.0935      |
| O8C3  | 1.2069      | 1.2054      | 1.1972      | 1.1922      |
| O9C3  | 1.3363      | 1.3353      | 1.3502      | 1.3536      |
| H10O9 | 0.9734      | 0.9670      | 0.9671      | 0.9616      |
| C2C1C3| 116.8       | 114.7       | 117.8       | 118.8       |
| O4C1C3| 117.8       | 120.3       | 117.8       | 118.2       |
| H5C2C1| 109.9       | 109.2       | 109.0       | 108.9       |
| H6C2C1| 109.3       | 109.7       | 109.9       | 110.6       |
| H7C2C1| 109.3       | 109.7       | 109.9       | 110.6       |
| O8C3C1| 122.9       | 122.6       | 124.2       | 122.3       |
| O9C3O8| 124.6       | 124.8       | 124.4       | 121.6       |
| H10O9C3| 105.3      | 106.5       | 106.6       | 110.8       |
| H6C2C1H5| −121.9    | −121.5      | −121.3      | −119.9      |
| H7C2C1H5| 121.9      | 121.5       | 121.3       | 119.9       |

Table 3. MP2/AVTZ anharmonic fundamentals a (in cm⁻¹).

|       | Tc               | Tt               | Ct               | Cc               |
|-------|------------------|------------------|------------------|------------------|
|       | Calc.            | Exp. ref         | Calc.            | Exp. ref         | Calc.            | Calc.            |
| ν1    | OH st            | 3426             | 3463             | 3561             | 3579             | 3554             | 3636             |
| ν2    | CH₃ st           | 3072             | 3025             | 3072             | 3067             | 3097             |                  |
| ν3    | CH₂ st           | 2971             | 2941             | 2973             | 2973             | 2958             |                  |
| ν4    | C₃=O st          | 1782             | 1804             | 1747             | 1773             | 1788             |                  |
| ν5    | C₁=O st          | 1705             | 1737             | 1723             | 1721             | 1721             |                  |
| ν6    | CH₃ b            | 1435             | 1424             | 1436             | 1436             | 1447             |                  |
| ν7    | C-C- st          | 1380             | 1391             | 1382             | 1363             | 1355             |                  |
| ν8    | CH₃ b            | 1332             | 1360             | 1356             | 1340             | 1273             |                  |
| ν9    | COH b            | 1214             | 1211             | 1203             | 1162             | 1177             |                  |
| ν10   | C-OH st          | 1135             | 1133             | 1120             | 1102             | 1098             |                  |
| ν11   | CH₃ b            | 971              | ~970             | 962              | 971              | 965              |                  |
| ν12   | C-C st           | 756              | 761              | 731              | 724              | 738              |                  |
| ν13   | skeletal b        | 602              | 604              | 587              | 605              | 606              |                  |
| ν14   | skeletal b        | 529              | 517              | 484              | 478              |                  |                  |
| ν15   | OCCb             | 387              | 386              | 401              | 405              |                  |                  |
| ν16   | CCCb             | 258              | 245              | 253              | 263              |                  |                  |
| ν17   | CH₃ st           | 3019             | 3024             | 3026             | 3005             |                  |                  |
| ν18   | CH₃ b            | 1432             | 1437             | 1441             | 1458             |                  |                  |
| ν19   | CH₂ b            | 1017             | 1030             | 1019             | 1020             | 1011             |                  |
| ν20   | skeletal b        | 792              | 723              | 723              | 699              |                  |                  |
| ν21   | OH tor (+C=O)    | 606              | 668              | 601              | 601              | 461              |                  |
| ν22   | skeletal b        | 391              | 392              | 373              | 373              | 357              |                  |
| ν23   | CH₃ tor          | 123              | 130              | 151              | 187              |                  |                  |
| ν24   | C-C tor          | 93               | 90              | 39               | 19               | 1                |                  |

a Emphasized in bold transitions in which important Fermi displacements are predicted. b Ref. [8]: Infrared absorption spectroscopy. c Ref. [17]: Infrared spectroscopy in an argon matrix.

The Tc form stabilizes by the formation of an intramolecular hydrogen bond (H10 . . . O4 = 2.0030 Å). The next two conformers Tt and Ct lie in the 950–2000 cm⁻¹ region. In previous papers [18], the higher energy conformer Cc is ignored since it is very unlikely to be observed. Although, it is described as a transition state by several levels of theory, all the MP2/AVTZ harmonic wavenumbers are real. The low stability of Cc is due to the non-bonding repulsions between H10 and its neighboring methyl group hydrogens.
The methyl torsional barrier of Cc computed using CCSD(T)-F12, is strongly affected by the repulsive interactions. We calculated it to be 852.8 cm\(^{-1}\), whereas the corresponding values for Tc, Tt, and Ct are 338.0, 397.8, and 540.2 cm\(^{-1}\), respectively (see Figure 3). The computed barrier corresponding to Tc is a very good agreement with the experimental value of 336.358(50) cm\(^{-1}\), obtained by Kisiel et al. [12] in the analysis of the A and E lines of the millimeter spectrum.

![Figure 3. CH\(_3\) torsional barrier.](image)

The torsional barriers \(V^{\text{OH}}\) and \(V^{\text{CC}}\), shown in Table 1 and Figures 4 and 5, restrict the \(t \rightarrow c\) and \(T \rightarrow C\) interconversions. They were computed from the vibrationally corrected three-dimensional potential energy surface described below. The one-dimensional cuts were obtained by fixing two coordinates at their values in the low-energy conformer involved in the pathways. With the exception of \(V^{\text{CC}}\) located between Tt and Ct, all the barriers are higher than 3000 cm\(^{-1}\). The pathways emphasize the instability of the Cc that easily transforms in Ct or Tc.

![Figure 4. The CCSD(T)-F12 \(V^{\text{OH}}\) torsional barriers. The Tc \(\rightarrow\) Tt and Ct \(\rightarrow\) Cc pathways were computed using the \(\theta_1\) and \(\theta_2\) values of the Tc and Ct conformers, respectively.](image)

Whereas the Tt \(\rightarrow\) Ct process can occur at very low temperatures, the Tc \(\rightarrow\) Tt pathway is impeded by a relatively high barrier. It may be inferred that the very low vibrational energy levels of the most stable conformer, Tc, can be studied considering it as a molecule with a unique minimum. However, since the conformers Tt and Ct are very close in
energy ($\Delta E = 538 \text{ cm}^{-1}$) and the barriers restricting the processes $Tt \rightarrow Ct$ and $Ct \rightarrow Tt$ are relatively low (812 and 274 cm$^{-1}$), an accurate calculation of the low vibrational energy levels requires taking into consideration the tunneling effects in those barriers.

In Table 3, the Tc anharmonic wavenumbers are compared with the observed bands assigned by Hollenstein et al. [17], who measured the IR spectrum in the 4000–200 cm$^{-1}$ region, and with the mid-IR vapor-phase spectrum of Plath et al. [8]. Emphasized in bold are the transitions in which important Fermi displacements are predicted by the VPT2 theory. The OH stretching fundamental denotes the presence of the intramolecular hydrogen bond in the Tc conformer. The frequency, 3426 cm$^{-1}$, observed at 3463 cm$^{-1}$ [8], is lower than the one of Tt (calculated to be 3561 cm$^{-1}$ and observed at 3579 cm$^{-1}$ [8]) and the one of Ct (3554 cm$^{-1}$). In Cc, the frequency is higher than in the remaining structures (3636 cm$^{-1}$) due to the proximity of the methyl group hydrogens. Two internal coordinates, the H10O9C3O8 dihedral angle and the out-of-plane C=O bending contribute to the $\nu_{21}$ mode, computed to be 606 cm$^{-1}$ and observed by Hollenstein et al. [17] at 668 cm$^{-1}$. In this paper, the H10O9C3O8 dihedral angle is identified with the OH torsional coordinate $\alpha$. Direct measurements of the CH$_3$ torsional fundamental are not available.

2.3. Ground Vibrational State: Rotational and Centrifugal Distortion Constants

The ground vibrational state rotational constants and the centrifugal distortion constants of the four conformers are shown in Table 4. The collected distortion constants are parameters of the asymmetrically reduced Hamiltonian in the $I^*$ representation [37]. They were determined using two different basis sets, AVTZ and the corresponding triple zeta basis set ignoring the diffuse functions, VTZ. For the low-lying conformer, Tc, the MP2/VTZ parameters were obtained in a very good agreement with those fitted by Kisiel et al. [12] during the assignment of the millimeter-wave spectrum using the ERHAM program [38]. Their analysis included more than 1500 lines comprising A and E internal sublevels. Given the good agreement between ab initio and experimental results for Tc, it can be expected that the MP2/VTZ predicted sets will be useful for future assignments of the remaining conformers.
Table 4. Ground vibrational state rotational constants (in MHz) and centrifugal distortion constants corresponding to the asymmetrically reduced Hamiltonian parameters ($\Gamma$ representation).

|       | Tc | Tt | Ct | Cc |
|-------|----|----|----|----|
| Calc. | 5530.506 | 5535.4611 (18) | 5629.841 | 5553.752 | 5499.149 |
| Exp. [12] | 3861.177 | 3858.48634 (78) | 3473.240 | 3474.982 | 3449.328 |
| Calc. | 2203.077 | 2204.58443 (63) | 2180.423 | 2173.717 | 2158.751 |

|       | in kHz | in kHz | in kHz | in kHz |
|-------|--------|--------|--------|--------|
| $\Delta J$ | 0.645465 | 0.675114 (35) | 0.577981 | 0.583771 | 1.831225 |
| $\Delta K$ | 1.259501 | 1.49373 (49) | 1.275684 | 1.340695 | 3.880986 |
| $\Delta JK$ | $-0.550295$ | $-0.77911 (12)$ | $-0.392555$ | $-0.469353$ | $-4.231851$ |
| $\delta J$ | 0.252245 | 0.264241 (16) | 0.221177 | 0.225223 | 0.480955 |
| $\delta K$ | 0.582614 | 0.552491 (97) | 0.601105 | 0.576154 | 5.552698 |
| $\delta JK$ | $0.000111$ | $0.0001239 (86)$ | $0.000116$ | $0.000118$ | $-0.320165$ |
| $\delta KJ$ | $0.003486$ | $0.00561 (48)$ | $0.004440$ | $0.010283$ | $-6.821416$ |
| $\phi J$ | $0.002459$ | $0.003983$ | $0.011783$ | $-7.667262$ |
| $\phi JK$ | $0.000755$ | $0.002441 (76)$ | $0.003983$ | $-0.320165$ |
| $\phi K$ | $0.000091$ | $0.0000718 (46)$ | $0.000094$ | $0.000098$ | $-0.252688$ |
| $\phi KJ$ | $0.000466$ | $0.001860$ | $0.001860$ | $-2.315587$ |
| $\phi KJ$ | $0.003375$ | $0.00171 (13)$ | $0.001860$ | $-0.252688$ |

The rotational constants were computed using Equation (2) and the centrifugal distortion constants using a MP2/VTZ force field.

The ground vibrational state rotational constants were computed using the CCSD(T)-F12 equilibrium parameters of Table 2 and the following equation, proposed and verified in previous studies [22,39–41].

$$B_{i0} = B_{ie} (\text{CCSD(T)-F12}/\text{AVTZ-F12}) + \Delta B_{icore} (\text{CCSD(T)/CVTZ}) + \Delta B_{ivib} (\text{MP2/AVTZ}); i = a;b;c$$

Here, $\Delta B_{icore}$ takes into account the core-valence-electron correlation effect on the equilibrium parameters. It can be evaluated as the difference between $B_{ie}(\text{CV})$ (calculated correlating both core and valence electrons) and $B_{ie}(\text{V})$ (calculated correlating just the valence electrons). For Tc, the correction increases 15.8, 11.8, and 6.9 MHz the equilibrium values of $A$, $B$, and $C$, respectively. $\Delta B_{ivib}$ represents the vibrational contribution to the rotational constants derived from the VPT2 $\alpha_{ir}$ vibration-rotation interaction parameters.

The rotational constants of the preferred conformer Tc are in a very good agreement with the parameters fitted by Kisiel et al. [12] ($A_{0}^{\text{CAL}} - A_{0}^{\text{EXP}} = -4.96$ MHz, $B_{0}^{\text{CAL}} - B_{0}^{\text{EXP}} = -2.23$ MHz, and $C_{0}^{\text{CAL}} - C_{0}^{\text{EXP}} = -1.78$ MHz). Generally, for many molecules, if Equation (2) is applied, the computed $B$ and $C$ are more accurate than $A$ [41]. Based on the very good agreement between our calculated rotational constants for Tc conformer and their experimentally determined counterparts, such parameters for the other isomers are also plausibly very accurate.

2.4. The Far Infrared Region

The ground electronic state potential energy surface of pyruvic acid presents a total of 12 minima corresponding to four conformers since each methyl group inter-transforms into three equivalent minima. Then, our exploration of the far infrared region uses a three-dimensional variational procedure that takes into account the interconversion of the minima. The three torsional coordinates of Equation (1) that are responsible for the non-rigidity are defined to be the independent variables. The validity of the separability of the three internal rotations $\theta_1$, $\theta_2$, and $\alpha$ from the remaining vibrations entails a previous discussion. Although VPT2 does not represent the right theory for internal rotation studies, it provides a valuable preliminary description since the three internal rotation coordinates represent the most relevant contributions to three normal modes. The anharmonic analysis
of Table 3 can supply arguments based on energies and the cubic force field. In the most
stable conformer, pyruvic acid displays five out-of-plane fundamental transitions lying
below 1000 cm\(^{-1}\). The central bond torsion and the methyl group torsion lie at very
low frequencies and interact. Both motions contribute to two normal modes, \(\nu_{23}\) and \(\nu_{24}\)
(\(\nu_{24} (\text{VPT2}) = 93 \text{ cm}^{-1}; \nu_{23} (\text{VPT2}) = 124 \text{ cm}^{-1}\)). In principle, it can be assumed that at
least, three excited CH\(_3\) and four excited C-C torsional states could be computed using
a two-dimensional procedure since the VPT2 resulting energies are lower than the next
lowest frequency mode. However, above 350 cm\(^{-1}\), three out-of-plane fundamentals,
\(\nu_{22} (\text{VPT2}) = 392 \text{ cm}^{-1}, \nu_{21} (\text{VPT2}) = 606 \text{ cm}^{-1}\), and \(\nu_{20} (\text{VPT2}) = 792 \text{ cm}^{-1}\), have been found.
Although these modes cannot really be interpreted in terms of local modes, they can be
interpreted as two skeletal bending modes and to the OH torsional fundamental. It cannot
be strictly stated that \(\nu_{21}\) represents the OH torsional fundamental, since the out-of-plane
bending of the neighboring C=O group has an important contribution to \(\nu_{21}\) [17]. In
addition, the OH torsional mode is strongly coupled to the C-C torsional mode, \(\nu_{24}\). In
the most stable Tc conformer, the OH hydrogen forms part of an intramolecular hydrogen
bond that vanishes with the C-C torsional mode excitations. To obtain accurate low energy
levels for the two low-lying modes, \(\nu_{24}\) and \(\nu_{23}\), the internal coordinate describing the OH
torsion must be considered explicitly as an independent variable.

If the 3D model is applied, very accurate CH\(_3\) and C-C torsional levels and very
unrealistic OH torsional levels will be expected. In addition, the VPT2 theory predicts a
displacement of the 2\(\nu_{23}\) overtone (\(\Delta(2\nu_{23}) \sim -5 \text{ cm}^{-1}\)) due to Fermi resonances with the
\(\nu_{16}\) fundamental which is not defined as an independent variable. The remaining low-lying
levels seem to be free of relevant resonances.

The 3D Hamiltonian for \(J = 0\) must be defined as [34–36]:
\[
H(\theta_1, \theta_2, \alpha) = -\sum_{i=1}^{3} \sum_{j=1}^{3} \left( \frac{\partial^2}{\partial \theta_i^2} \right) B_{ij}(\theta_1, \theta_2, \alpha) \frac{\partial}{\partial q_j} + V^{\text{eff}}(\theta_1, \theta_2, \alpha)
\]
(3)
where \(B_{ij}(\theta_1, \theta_2, \alpha)\) are the kinetic energy parameters [35,36]; the effective potential is
the sum of three terms:
\[
V^{\text{eff}}(\theta_1, \theta_2, \alpha) = V(\theta_1, \theta_2, \alpha) + V'(\theta_1, \theta_2, \alpha) + V^{\text{ZPVE}}(\theta_1, \theta_2, \alpha)
\]
(4)
\(V(\theta_1, \theta_2, \alpha), V'(\theta_1, \theta_2, \alpha), \) and \(V^{\text{ZPVE}}(\theta_1, \theta_2, \alpha)\) represent the ab initio potential energy
surface, the Podolsky pseudopotential, and the zero point vibrational energy correction,
respectively. A grid of 332 geometries was selected to determine the Hamiltonian parameters.
In all these geometries, the remaining 3Na-9 internal coordinates (Na = number of atoms)
were optimized at the MP2/AVTZ level of theory, whereas three internal coordinates were
fixed at the following values:
\[
\begin{align*}
\text{H5C2C1C3} & = 0, 90, 180, \text{ and } -90^\circ \\
\text{O8C2C1C3} & = 0, 30, 60, \ldots, 150, 180^\circ \\
\text{H10O9C3O8} & = -180, -150, \ldots, 0 \ldots, 150, 180^\circ
\end{align*}
\]
(5)
Single point CCSD(T)-F12/AVTZ-F12 calculations were performed on the 332 opti-
mized geometries. The total electronic energies were fitted to a symmetry adapted triple
Fourier series to obtain the \(V(\theta_1, \theta_2, \alpha)\) ab initio potential energy surface \((R^2 = 0.99999; \sigma = 0.013 \text{ cm}^{-1})\). The following analytical expression was employed:
\[
V(\theta_1, \theta_2, \alpha) = \sum_{n=0}^{\infty} \sum_{m=0}^{\infty} \sum_{l=0}^{\infty} (A_{nml}^{ccc}\cos 3m \cos n\theta_2 \cos \lambda x \\
+ A_{nml}^{ssc}\sin 3m\theta_1 \sin n\theta_2 \cos \lambda x \\
+ A_{nml}^{css}\cos 3m\theta_1 \sin n\theta_2 \sin \lambda x \\
+ A_{nml}^{scc}\sin 3m\theta_1 \cos n\theta_2 \sin \lambda x)
\]
(6)
Formally identical expressions were employed for \( V'(\theta_1, \theta_2, \alpha) \) and \( V^{\text{ZPVE}}(\theta_1, \theta_2, \alpha) \). The pseudopotential represents a negligible correction. However, the zero point vibrational correction \( V^{\text{ZPVE}}(\theta_1, \theta_2, \alpha) \) is mandatory. It was computed from the MP2/AVTZ harmonic fundamentals using all the geometries. The expansion coefficients of the final effective potential are supplied as supplementary material in Table S1. Two dimensional cuts of the potential energy surface are represented in Figure 6.

![Two-dimensional cuts of the 3D potential energy surface of pyruvic acid.](image)

**Figure 6.** Two-dimensional cuts of the 3D potential energy surface of pyruvic acid.

A formally identical formula was also used for the kinetic energy parameters which were computed for the 332 partially optimized geometries. Details on the procedure for obtaining the Hamiltonian parameters using ENEDIM [34–36]. The \( A_{000}^{ccc} \) coefficients of the kinetic parameters are given in Table 5.

| \( A_{000}^{ccc} \) | \( A_{000}^{ccc} \) | \( A_{000}^{ccc} \) |
|---------------|---------------|---------------|
| \( B_{0101} \)  | 5.4904        | \( B_{0102} \)  |
| \( B_{0202} \)  | 0.7411        | \( B_{01\alpha} \) |
| \( B_{\alpha\alpha} \) | 18.5985       | \( B_{02\alpha} \) |

The symmetry adapted triple Fourier series were employed as trial functions. To reduce dimensionality, a contracted basis set was employed for the C-C torsional coordinate performing a pre-diagonalization of the Hamiltonian matrix [27,42]. This route helps the classification of the resulting energies.

The minimum number of functions required for convergence produces a matrix of \( 169,455 \times 169,455 \) elements. The symmetry factorizes it into four blocks with the dimensions as \( 28,243 \times 28,243 \) (\( A_1 \)), \( 28,242 \times 28,242 \) (\( A_2 \)), and \( 56,485 \times 2 \) (E). Using the contracted basis set, the dimensions are reduced to \( 4648 \times 4648 \) (\( A_1 \)), \( 4647 \times 4647 \) (\( A_2 \)), and \( 9295 \times 2 \) (E). This represents the 16% of the original matrix.
Each energy level splits into three subcomponents corresponding to a non-degenerate representation \((A_1\) and \(A_2\)) and to the double-degenerate representation, \(E\). Table 6 collects torsional energies up to \(~425\) \(\text{cm}^{-1}\) over the ground vibrational state (ZPVE = 406.072 \(\text{cm}^{-1}\)). They are compared with previous data from Ref. [16] and with computations performed in this work using VPT2. All the collected levels were assigned to the \(T_c\) conformers since the first secondary minimum lies at 877.5 \(\text{cm}^{-1}\). The energy levels of Ref. [16] were obtained from experimental splittings using a flexible two-dimensional model.

Table 6. Low-lying torsional energy levels (in \(\text{cm}^{-1}\)) of pyruvic acid computed variationally and/or with VPT2.\(^a\) The \(m, n,\) and \(l\) quanta corresponds to the \(\theta_1, \theta_2,\) and \(\alpha\) coordinates.

| \(m\) \(n\) \(l\) | Variational | VPT2 | Ref. [16] | \(m\) \(n\) \(l\) | Variational | VPT2 |
|---|---|---|---|---|---|---|
| 0 0 0 | \(A_1\) | 0.000 \(^b\) | - | 0.000 | \(A_2\) | 327.476 |
| E | 0.024 | E | 0.024 | \((3\nu_{23})\) | E | 278.686 |
| 0 1 0 | \(A_2\) | 89.754 | 93 | 90.1 | 0 0 0 | \(A_1\) | 334.735 |
| (\(\nu_{23}\)) | E | 89.709 | 123 | 118.3 | (\(4\nu_{23}\)) | E | 394.098 |
| 1 0 0 | \(A_2\) | 120.539 | 186 | 179.9 | (\(2\nu_{23}\)) | E | 355.197 |
| (\(\nu_{23}\)) | E | 119.833 | 180.0 | \((\nu_{23}\nu_{16})\) | \(E\) | 380 |
| 0 2 0 | \(A_1\) | 178.744 | 214 | 222.5 | 0 0 0 | \(A_1\) | 355.311 |
| (\(\nu_{24}\)) | E | 178.766 | 225.0 | (\(4\nu_{24}\)) | E | 368 |
| 1 1 0 | \(A_1\) | 202.976 | 232 | 200.5 | (\(\nu_{15}\)) | \(E\) | 387 |
| (\(\nu_{24}\nu_{23}\)) | E | 206.570 | 245 | (\(\nu_{24}\)) | \(E\) | 387 |
| 2 0 0 | \(A_1\) | 223.301 | 258 | 204.5 | (\(\nu_{22}\)) | \(E\) | 391 |
| (\(\nu_{16}\)) | E | 226.756 | \(3\nu_{24}\) | | \(A_1\) | 380.268 |
| 0 3 0 | \(A_2\) | 267.193 | 277 | 3 0 0 | (\(3\nu_{24}\nu_{23}\)) | \(E\) | 390.184 |
| (\(\nu_{24}\)) | E | 267.161 | | | \(A_1\) | 408.508 |
| 1 2 0 | \(A_1\) | 291.127 | 304 | 2 2 0 | (\(2\nu_{24}\nu_{23}\)) | \(E\) | 421.158 |
| (\(\nu_{23}\nu_{24}\)) | E | 298.695 | | | \(A_1\) | 425.414 |
| 2 1 0 | \(A_2\) | 315.337 | 325 | 3 1 0 | (\(\nu_{24}\nu_{23}\)) | \(E\) | 425 |
| (\(\nu_{23}\nu_{24}\)) | E | 325.067 | | | \(A_1\) | 581.306 |
| \(2\nu_{23}\nu_{24}\) | (\(\nu_{21}\)) | \(E\) | 581.327 | (\(\nu_{14}\)) | \(E\) | 680 |

\(^a\) Emphasized in bold transitions in which important Fermi displacements are predicted (2\(\nu_{23}\) ↔ \(\nu_{16}\), \(2\nu_{24}\nu_{23}\) ↔ \(\nu_{14}\)). In italic and blue are energies in which the assignments are not conclusive (ZPVE = 406.072 \(\text{cm}^{-1}\)).

More than 50 torsional energy levels (including subcomponents) were localized in the 406–986 \(\text{cm}^{-1}\) region below the first excited state (0 0 1) of the OH torsion (0 0 1) = 581.306 \(\text{cm}^{-1}\) (\(A_2\)) and 581.327 \(\text{cm}^{-1}\) (\(E\)). All of the first 50 energies represent excitations of the \(\nu_{24}\) and \(\nu_{23}\) modes. Since the classification of the resulting levels is really difficult given the large density of states in the same region, procedures developed for previous studies were employed for a right assignment. The properties of the 3D-wavefunctions and the contribution of the contracted basis functions [27,42] allow assigning the main part of the energies. The assignments of few \(E\) components (in blue in Table 6) are not conclusive.

The \(A_1\)/\(E\) splitting of the ground vibrational state has been evaluated to be 0.024 \(\text{cm}^{-1}\) as it was expected given the high of the methyl torsional barrier (338.0 \(\text{cm}^{-1}\)). This value is in a very good agreement with the results of Refs. [12,16] (0.7203 GHz [16], 0.72355(43) GHz) [12]. It can be emphasized that a surprising very good agreement between our calculations and the results of these previous references, was found for many vibrational and rotational properties [12,16].

The \(\nu_{24}\) fundamental (0 0 0) computed to be 93 \(\text{cm}^{-1}\) with VPT2, presents two components at 89.754 \(\text{cm}^{-1}\) (\(A_2\) ← \(A_1\)) and 89.709 \(\text{cm}^{-1}\) (\(E\) ← \(E\)) in a very good agreement with Refs. [16,17]. The methyl torsional fundamental \(\nu_{23}\) (0 0 0) computed to be 123 \(\text{cm}^{-1}\) with VPT2, presents two components at 120.539 \(\text{cm}^{-1}\) (\(A_2\) ← \(A_1\)) and 119.843 \(\text{cm}^{-1}\) (\(E\) ← \(E\)). Previous estimations placed it in the 118.2–117.7 \(\text{cm}^{-1}\) zone.
With respect to the results of Ref. [16], there is a disagreement for the relative order of the levels (1 1 0) and (2 0 0). The VPT2 theory predicts Fermi displacements of the $2\nu_{23}$ overtone.

3. Discussion

In general, the computed spectroscopic properties corresponding to the most stable conformer of pyruvic acid (rotational and torsional parameters) are in a surprising good agreement with previous experimental data. This behavior was not expected since molecules with low methyl torsional barriers can present sudden problems causing divergences between “ab initio” results and observations. On the basis of this agreement, we predict parameters for all the conformers to be employed in other spectrum assignments.

Four equilibrium geometries of pyruvic acid outcome from the search with CCSD(T)-F12. The preferred geometry Tc stabilizes by the formation of an intramolecular hydrogen bond (H10 ... O4 = 2.0030 Å) that play a role in the internal dynamics of the molecule. Two next conformers, Tt and Ct, lie between 950 and 2000 cm$^{-1}$ region over Tc. The higher energy conformer Cc is very unlikely to be observed. With few exceptions, all the VOH and VCC barriers restricting the conformers interconversions are higher than 3000 cm$^{-1}$. The interconversion pathways emphasize the instability of the Cc that easily transforms to Ct or Tc.

More than 50 torsional energy levels (including subcomponents) were localized in the 406–986 cm$^{-1}$ region below the first excited state (0 0 1) of the OH torsional mode. They represent excitations of the $\nu_{24}$ and $\nu_{23}$ modes and all of them are assigned to the most stable conformer. The energies can be calculated with accuracy using a three-dimensional model although the VPT2 theory predicts Fermi displacements of the $2\nu_{23}$ overtone caused by resonances that are not considered.

In general, there is an excellent agreement for the fundamental transitions and for the splittings between the new calculations and the previous experimental or semiempirical data. However, there is not an agreement for the relative order of the levels (1 1 0) and (2 0 0). We propose a new assignment for these two energies to be taken into consideration in future spectrum assignments.

4. Materials and Methods

The Materials and Methods are detailed in the section dedicated to the “Electronic structure calculations”.

Supplementary Materials: The following are available online at Table S1: Expansion coefficients of the potential energy surface (in cm$^{-1}$).

Author Contributions: Conceptualization, M.L.S. and S.D.; methodology, M.L.S.; software, M.L.S.; validation, M.L.S. and S.D.; formal analysis, M.L.S.; investigation, M.L.S. and S.D.; resources, M.L.S.; data curation, S.D.; writing—original draft preparation, M.L.S.; writing—review and editing, M.L.S.; visualization, S.D.; supervision, M.L.S.; project administration, M.L.S.; funding acquisition, M.L.S. Both authors have read and agreed to the published version of the manuscript.

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