One-step fabrication of fiber optic SERS sensors via spark ablation

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Received 18 March 2021, revised 2 June 2021
Accepted for publication 17 June 2021
Published 6 July 2021

Abstract
Spark ablation, a versatile, gas-phase physical nanoparticle synthesis method was employed to fabricate fiber-optic surface enhanced Raman scattering (SERS) sensors in a simple single-step process. We demonstrate that spark-generated silver nanoparticles can be simply deposited onto a fiber tip by means of a modified low-pressure inertial impactor, thus providing significant surface enhancement for fiber-based Raman measurements. The surface morphology of the produced sensors was characterized along with the estimation of the enhancement factor and the inter- and intra-experimental variation of the measured Raman spectrum as well as the investigation of the concentration dependence of the SERS signal. The electric field enhancement over the deposited silver nanostructure was simulated in order to facilitate the better understanding of the performance of the fabricated SERS sensors. A potential application in the continuous monitoring of a target molecule was demonstrated on a simple model system.

Keywords: spark discharge nanoparticle generation, spark ablation, SERS, fiber optic sensor, silver nanoparticles, FDTD simulation

Introduction

Rapid development of optical fiber manufacturing technologies allowed the development of various fiber optic probes and measurement systems. Optical fiber-based systems have simple and compact design and due to their flexibility they can also be employed for remote measurements, at hard-to-access locations, either outside the laboratory, or in vivo biomedical applications \cite{1, 2}. A well-established method for the detection of molecules is Raman spectroscopy, which is based on the detection and analysis of light inelastically scattered on a sample, directly representing its vibrational and rotational energy levels \cite{3}. Employing Raman spectroscopy via optical fibers is complicated by the fact that the fiber material, especially due to the long interaction length, generates a considerable contribution to the Raman spectrum \cite{4}. This is further complicated by the fact that Raman scattering itself has a very small cross section leading to rather poor signal-to-noise ratios in case of fiber optic Raman experiments. One way of increasing the intensity of the Raman signal is based on the so called surface enhanced Raman scattering (SERS), which exploits the so called chemical and the plasmonic field enhancement occurring in the vicinity of a nanostructured - appropriate - metal surface \cite{5}. Thus, an optical fiber equipped with a SERS-active structure on one end allows for spatially separating the monitored environment from the monitoring personnel and instrumentation, while maintaining a sufficiently high sensitivity. Such fiber optic SERS sensors have found many promising applications in the
fields of biology, food science, detection of environmental pollutants, pharmaceutics or *in vitro* an *in vivo* biosensing [6–8]. One approach for creating a suitable surface for SERS on the tip of an optical fiber is based on microfabrication either by etching [9, 10], lithography [11, 12], or e.g. photopolymerization [13] followed by the homogenous deposition of a metallic layer onto the structure. The other main approach relies on the preparation of a nanostructured metallic layer on the fiber tip. Nanoparticles (NPs) can be grown directly on the fiber tip via e.g. photoreduction [14–16], or synthesized via e.g. the well-known Lee–Meisel method [17] and deposited on the fiber tip in a second step [18, 19]. Both of the above mentioned approaches have their limitation either regarding the complexity of the fabrication method or by the purity of the formed structure [13]. As for the cleanliness of the surface, gas-phase, physical NP synthesis methods can provide real alternatives to chemical routes as they are able to omit the use of different reagents and solvents from the process [20]. A prominent example is spark ablation, which relies on the electrical plasma-based atomization of bulk electrodes in a controlled gaseous environment, thus being able to provide exceptionally pure particles [21]. Moreover, spark-based NP synthesis is technically simple and straightforward. It has recently been shown that spark ablation can be used to fabricate versatile SERS substrates both from pure Ag NPs [22] and Au/Ag binaries with continuously tunable enhancement [23]. In the present study we demonstrate that spark-generated Ag NPs can be deposited onto the tip of an optical fiber by using a modified low-pressure inertial impactor and thus SERS sensors can be fabricated in a facile and straightforward way, thus providing a reasonable platform for simple and low-cost Raman-based sensing applications. The surface morphology of the fibers as well as the effect of particle deposition time and analyte concentration on the SERS performance are discussed along with the reproducibility and enhancement factor. The electric field enhancement over the deposited Ag nanostructure was simulated by finite-difference time-domain (FDTD) method for better understanding the effect of experimental factors on the performance of the sensors. The potential applicability of the fabricated SERS sensors in the continuous monitoring and detection of a target molecule has been demonstrated on a simple model system.

**Method**

The spark ablation setup—referred to as spark discharge NP generator, or SDG—used in the present experiment has been described elsewhere [23], therefore we only give a brief overview of the relevant parameters here. Ag NPs were generated by sparking between two axially aligned Ag electrodes (3.0 mm diameter, 99.9% purity, Goodfellow Cambridge Ltd) placed 2.0 mm apart in a gas-tight chamber operated slightly above atmospheric pressure. Sparking was maintained by continuously charging and discharging an 8 nF capacitor (450PM980, General Atomics Inc.) with a repetition rate of 100 Hz. Argon (99.996% purity, Messer Hungarogáz Kft.) was used as carrier gas to quench the atomic vapor generated by the spark plasma and to carry away the NPs formed. In order to deposit the Ag NPs dispersed in the Ar gas onto the tip of an optical fiber, a modified low-pressure impactor (LPI) was used. LPIs are based on the inertia of the particles which can exceed the drag force of the gas at sufficiently low pressures. They are often used to sample gas-borne NPs, usually employing small, planar substrates such as transmission electron microscopy grids or adhesive tapes [24, 25]. For adapting the concept of inertial particle deposition to optical fibers, we have built a modified LPI, being able to encase a fiber with proper positioning while maintaining the low pressure inside the LPI chamber. This is schematically shown in figure 1 along with the generation scheme. The optical fiber—without connectors and jacket—enters the LPI chamber via an 8 mm outer diameter plastic tube through a vacuum feedthrough connected to a custom-made fiber holder. The fiber holder is housing a fiber optic ferrule with an inner diameter of 400 μm. This element is responsible for centering the fiber and holding it in place. Once aligned, the fiber can be fixed in the holder by a plastic screw. For maintaining the tightness of the system, the other end of the inlet tubing is sealed with an element made of standard vacuum components.

Multimode, silica fibers were used in the experiments with a core/cladding diameter of 200/220 μm and NA of 0.22 (FG200LEA, Thorlabs Inc.) with a length of about 50–60 cm. The coating was stripped from one of the fiber ends and it was cleaved before the deposition process by using an automatic fiber cleaver (CT105, Fujikura Ltd). The coating-free, cleaved section of the fiber was 10 mm long in every experiment. No further preparation of the fiber surface was carried out prior to particle deposition. The fiber tip was exposed to the particle-laden gas stream for a couple of minutes (typically in the range of 2–30 min), after which the other, intact end of the fiber was also cleaved before testing the SERS performance. None of the fiber ends were connectorized or otherwise prepared before SERS measurements, thus ensuring simple and low-cost fabrication. Testing of the fiber optic sensors was carried out in the so called *optrode* geometry (see figure 1), i.e. both the exciting 785 nm laser light and the Raman signal was transmitted through the same fiber coupled to a home-built Raman spectrometer system (laser: LASER-785-LAB-FC, spectrometer: QE65000, both Ocean Optics Inc.). The performance of the sensors was assessed by measuring the Raman spectrum of Rhodamine 6G (R6G) dissolved in water, having a concentration in the range of 10 nm–1 mM. The spectrum of the optical fiber, i.e. the measurement background was acquired by dipping it into pure water. The Raman spectrum was both measured when the fiber was in the solution and in air after dipping and drying the analyte on the fiber tip. The power of the Raman laser coupled to the probe was 3.2 mW, only a fraction of which could transmit through the silver structure and interact with the analyte, depending on the deposition condition (see in the next chapter). The spectra were acquired for 10 s without averaging. The morphology of the nanostructured probe surface was investigated by atomic force microscopy.
(AFM, XE-100, PSIA) and scanning electron microscopy (SEM, S-4700, Hitachi Ltd).

To investigate the possible field localization, the distribution of the electric field along the surface of the nanostructured silver layer has to be calculated. For this purpose, we performed FDTD simulations with a commercially available software package (Lumerical FDTD Solutions). For being able to monitor the field localization properties of the deposited nanostructures, AFM images recorded from the surface were directly introduced to the simulations. The simulated 3D unit cell (5 μm × 5 μm × 1 μm) contained the nanostructured silver surface on top of a bulk fused silica substrate. The substrate was modeled with a constant refractive index n = 1.45. Optical data of silver was taken from literature [26]. For illumination, we used a plane wave source with 785 nm wavelength in a backside illumination geometry. Distribution of the electric field was monitored in the nearest mesh cells above the silver surface. The FDTD simulation parameters given above were set according to a strict convergence check.

Results and discussion

The experimental setup described above and schematically depicted in figure 1 allowed for the direct deposition of spark-generated Ag NPs onto the tip of an optical fiber. The time of particle deposition was arbitrarily set to 10 min, after which the SERS performance of the fiber was tested along with the characterization of the fiber tip exposed to the aerosol stream. The Raman spectrum of R6G solution measured before and after particle deposition is shown in figure 2. Please note that particles were always deposited onto a freshly cleaved surface.

It can be seen in figure 2(A), that the reference spectrum measured with a bare fiber, without NPs on the surface does not exhibit any of the characteristic peaks of R6G. Only the Raman spectrum of the silica fiber was visible which is not shown in this graph. After 10 min of Ag deposition the fiber tip is fully covered with particles, as shown in the inset of figure 2(B), and the Raman peaks of R6G are clearly discernible. In order to give an estimate on the SERS enhancement factor, the Raman spectrum of R6G must be measured without the presence of nanostructure, according to the well-known formula given in e.g. [27]. To this end, R6G solution with a concentration of 1 M and a freshly cleaved optical fiber was used. At these conditions, the spectrum was heavily affected by fluorescence, but the peak intensity of the 1506 cm⁻¹ line could be deduced. By comparing this value with the corresponding SERS intensity measured at 1 μM R6G concentration (see figure 2(B)) an enhancement factor of ca. 5 × 10⁵ was obtained. The value is even higher when the so-called dip-and-dry technique is used. Such an enhancement favorably compares to the values given in the literature for different fiber optic SERS probes, typically ranging from 10⁴ to 10⁵ [13, 28, 29]. In order to examine the intensity-stability of the prepared fibers, we recorded the variation of the R6G spectrum—both in solution and with the dip-and-dry method—for 45 min. The relative root mean square deviation of the intensity of the 1506 cm⁻¹ R6G line, characteristic to the intra-experimental variation was obtained to be less than 15% for measurements in solution and less than 5% for the dip-and-dry method, indicating very good intensity stability during a measurement.

It is suggested by the optical micrograph shown in the inset of figure 2(B) that Ag NPs uniformly cover the fiber tip. This is confirmed by the SEM measurements, shown in figure 3(A). A nanostructured silver layer is formed on the surface, with a typical particle size of ca. 40 ± 5 nm, but much larger, micron-sized particles can also be found. It should be noted that under the experimental conditions used in the present study, spark ablation produces NP aggregates of a few tens of nanometers, consisting of primary particles with a typical diameter of only a few nanometers [30, 31]. Therefore, the particles seen in the SEM picture are representing the Ag NP aggregates generated in our setup, which have an approx. 35 nm modal electrical mobility diameter, as measured by a scanning mobility particle sizer. In order to
characterize the roughness of the spark-produced nanostructure on the fiber tip, the AFM mapping of the surface was also carried out. The surface topography can be seen in figure 3, upon which an average roughness of 23 nm was determined. By calculating the surface area of the nanostructure from AFM traces carried out at different locations over the fiber tip, the total increase of the surface of the fiber end was estimated. This resulted in a less than 10% surface increase due to the Ag deposition, which proves that the enhancement factor given above can indeed be attributed to the SERS effect.

AFM measurement data shown in figure 3(B) were also used as input for the FDTD simulation of the electric field enhancement over the Ag nanostructure. As shown in figure 4(A), the overall electric field enhancement is quite low over the surface, there are smaller ‘islands’ where the enhancement factor is above 2. If we take a closer look on one of these islands, enhancement factors as high as 50 can be found, as exemplified in figure 4(B). When comparing this region with the respective AFM profile (figure 4(C)), one can conclude that the higher enhancement correlates not only with the presences of particle gaps but with small thickness of the structure as well. This is probably due to the backside illumination geometry, i.e. that the laser light is directed through the Ag structure before reaching its surface. Please note that the values shown in figure 4 represent the electric field enhancement factor, from which the SERS enhancement can be obtained by employing the generally used $E^4$ approximation [32]. The peak enhancement corresponding to the area shown in figure 4(B) is ca. $6 \times 10^6$ but typical values at most regions of the structure are much lower. By calculating the SERS enhancement at every point of the surface the average enhancement factor was estimated to be around $2 \times 10^2$ in the investigated area. Since it is not realistic to simulate the electric enhancement over the whole fiber tip, such a value remains a rough estimate of the total electromagnetic enhancement, because the existence of a few hot spots could significantly contribute to the average
enhancement. Nevertheless, considering that a total enhancement factor of ca. \(5 \times 10^4\) was experimentally determined, this suggests that the chemical enhancement is approx. \(2 \times 10^2\), which agrees well with the typical literature value \([33]\).

FDTD simulation results shown in figure 4 indicates that besides the well-known significance of hot spot formation, the thickness of the nanostructure also plays an important role in the overall performance of the fabricated SERS sensors. In order to investigate this effect from a practical point of view of sensor fabrication, we used the ‘transmission’ of the sensor as a cumulative parameter affected by both the porosity, thickness, and surface coverage of the deposited nanostructure. It should be noted that such an indirect parameter is naturally unable to grasp every important aspect of SERS enhancement—such as exact surface morphology—but as will be shown below, it is still useful in the assessment of the performance of fiber optic SERS sensors. The transmission is defined as the ratio of the laser power going through the Ag-covered fiber and the maximum laser power transmittable through an identical reference fiber without nanostructure. By varying the deposition time, we have fabricated Ag-covered fibers with different transmission and measured their performance both in solution and with the dip-and-dry method. The variation of the intensity of the 1506 cm\(^{-1}\) peak of R6G as a function of the transmission—measured at the 785 nm wavelength of the laser used in the Raman measurements—is shown in figure 5. It can be seen that the SERS intensity of the selected peak—correlating with the enhancement of the Ag structure—increases with increasing transmission until reaching an optimum around 30%–40%, which is followed by a gradual decrease. In our view, this behavior qualitatively explainable by the same considerations concluded from FDTD simulations above. When the transmission is high, the thickness of the nanostructure and/or the surface coverage is low, hindering hot spot formation and resulting in weak overall enhancement. By depositing more particles, a higher coverage can be achieved also promoting the formation of hot spots in small NP gaps, which results in increasing enhancement eventually reaching a point when the thickness of the structure and hence the transmission is so low that the laser light can barely excite the surface plasmons and interact with the analytic molecules, hence producing a low SERS signal. Figure 5 indicates that the enhancement varies by a factor of ca. 1.7 in the approx. 15%–50% transmission range, i.e. between the very low or high transmission regions. It can also be seen in figure 4 that the measured Raman intensity scatters in the \(\pm 20\%\) of the fitted intensity-transmission curve, indicating the reproducibility of the fiber-optic SERS.

![Figure 4](image_url)  
**Figure 4.** FDTD simulation of the electric field enhancement over a characteristic surface region of the Ag nanostructure of the fiber optic SERS sensor (A) and close-up of a region exhibiting relatively high electric field enhancement factors (B) together with the AFM profile of the same area (C).

![Figure 5](image_url)  
**Figure 5.** Intensity of the 1506 cm\(^{-1}\) R6G Raman peak as a function of the transmission measured in solution of 1 mM concentration (black) and with the dip-and-dry method (red). Please note that the total line intensity, i.e. the integral of the line profile is shown in the vertical axis.
probes’ performance at a given transmission. It should be noted that this is a composite value, incorporating both the fabrication and the measurement process. Considering the technical challenges potentially affecting the measurement—especially through the fiber coupling—this is considered to be a good reproducibility. It should also be added that the main field of application of fiber optic SERS probes is sensing or qualitative monitoring, rather than quantitative analytical analysis. This means that even a bigger variation in the enhancement factor might be acceptable as long as the enhancement is still high enough for detecting the desired molecule. As can be seen in figure 5, the FWHM of the curves is about 35%, which means that considerable enhancement can be achieved in a relatively broad experimental range, proving the robustness of the present fabrication method. Nevertheless, in order to investigate the dependence of the SERS signal on the concentration of the analyte—and hence assessing the potential applicability of the present fiber optic SERS sensors in quantitative measurements—systematic measurements were carried out at varying R6G concentrations. To this end, SERS sensors were fabricated ensuring that their transmission always stays within the optimal 15%–50% range (see figure 5).

As can be seen in figure 6(A), the SERS signal increases monotonically with increasing concentration in the 10 nM–100 μM concentration range. On a logarithmic scale, the concentration dependence of the intensity can be reasonably well described by a linear relationship, opening up the possibility of calibrated measurements as well. In solution, the detection limit is around 10 nM, at which concentration the signal-to-noise ratio decreased significantly, as shown in figure 6(B). Using the dip-and-dry method the measured intensities are consistently higher, indicating that even lower concentrations could be detectable.

SERS was shown to have promising applications in the field of biosensing [7], where the use of fiber optic SERS platforms would be an organic step towards successful in vivo measurements. In one potential application fiber optic SERS sensors could be employed to detect a specific marker molecule. In such a case, it is crucial for the applied sensor to be operational in a liquid medium for a prolonged time and also to be able to detect the potential concentration variation of the target analyte—at least qualitatively. As a proof of concept, a simplified experiment was carried out to model a similar situation. To this end, a fabricated fiber optic SERS sensor was introduced to pure water (3 ml volume), while the Raman spectrum was continuously acquired at the distal end of the fiber. After a few minutes, a drop of 100 μM R6G solution (30 μl volume) was added to the water. The addition of R6G was repeated two more times with volumes of 300 μl of the same, 100 μM concentration. The variation of the intensity of the SERS signal measured at 1506 cm⁻¹—i.e. at the most intense peak of R6G—is shown in figure 7.

Although, the conditions here are much more ideal than that in a real, turbid biological environment, the results shown in figure 7 well exemplify that the fabricated SERS sensor is able to detect the appearance of an analyte, indicated by the sudden increase in the measured intensity (around 6 min in figure 7). Moreover, the variation of the concentration of the analyte can also be monitored, as evidenced by the intensity changes correspond to the moments when more R6G was added to the solution. It can be seen in figure 7 that after adding R6G, the signal increases and in about 1 min the intensity clearly exceeds the typical intra-experimental variation, indicating a reasonable response time. Please also note that the intensities shown in figure 7 agree reasonably well with the values predicted by the concentration dependence measurements (see figure 6), which were performed with freshly prepared fibers at each concentration value. This suggests that the saturation of the surface structure is not dominant in the present concentration and time range. This experiment provides a simple yet demonstrative example of the potential lying in fiber optic SERS sensors fabricated via spark ablation. Considering the nowadays readily available, tabletop SDGs [34, 35] and the inherent advantages of the
sparks-based process, such as the facile composition-tuning of high purity binary NPs [23, 36–38], together with the simplicity of the process the fabrication technique proposed here might be capable for the production of tailored yet inexpensive disposable fiber optic SERS sensors for real-life applications.

Conclusions

In the present study, the single-step fabrication of fiber-optic SERS sensors was demonstrated by depositing spark ablation-produced Ag NPs onto the tip of an optical fiber. The Ag particles produced in a spark discharge generator were directly deposited onto a freshly cleaved fiber tip with a modified low-pressure inertial impactor. The SERS performance of the as-prepared fibers were tested by measuring the Raman spectrum of Rhodamine 6G both in solution and with the dip-and-dry method. It has been shown that the enhancement factor is in the order of $10^4$, which is accompanied by a 15% and 5% intra-experimental intensity variation in solution and via dip-and-dry, respectively. The fabrication method is robust enough to maintain these values over a broad experimental range with a reproducibility better than 20%. By simulating the electrical field enhancement over the surface of the sensors, the significance of the thickness of the deposited nanostructure was demonstrated. Based on the simulation results, a practical experimental parameter—the transmission of the SERS sensors—was used to optimize their performance. The applicability of the produced sensors in the monitoring of the appearance and concentration-variation of a specific molecule in a liquid medium was demonstrated by a model experiment. The dependence of the SERS signal on the analyte concentration was also experimentally determined, which indicates promising potential in calibrated measurements. Our results prove that spark ablation is a promising method for fabricating simple and inexpensive yet effective and inherently tunable fiber-optic SERS probes that can be potentially applied in any applications where the fast detection and identification of specific molecules are targeted.

Acknowledgments

Research leading to these results has received funding from the National Research, Development and Innovation Office of Hungary through projects GINOP-2.3.2-15-2016-00036 and EFOP-3.6.2-16-2017-00005 and from the Ministry for Innovation and Technology of Hungary through the NKFIH-1279-2/2020 TKP2020 program. One of the authors (A. Kohut) is also grateful for the support received from the NTP-NFTÖ-19-B-0137 project and the UNKP-20-4—New National Excellence Program of the Ministry for Innovation and Technology from the source of the National Research, Development and Innovation Fund.

Data availability statement

The data that support the findings of this study are available upon reasonable request from the authors.

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