Nucleon Spin and Momentum Decomposition Using Lattice QCD Simulations

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We determine within lattice QCD the nucleon spin carried by valence and sea quarks and gluons. The calculation is performed using an ensemble of gauge configurations with two degenerate light quarks with mass fixed to approximately reproduce the physical pion mass. We find that the total angular momentum carried by the quarks in the nucleon is $J_{u+d+s} = 0.408(61)_{\text{stat}}(48)_{\text{syst}}$ and the gluon contribution is $J_g = 0.133(11)_{\text{stat}}(14)_{\text{syst}}$, giving a total of $J_N = 0.54(6)_{\text{stat}}(5)_{\text{syst}}$ that is consistent with the spin sum. For the quark intrinsic spin contribution, we obtain $\frac{1}{2} \Delta J_{u+d+s} = 0.201(17)_{\text{stat}}(5)_{\text{syst}}$. All quantities are given in the modified minimal subtraction scheme at 2 GeV. The quark and gluon momentum fractions are also computed and add up to $\langle x \rangle_{u+d+s} + \langle x \rangle_g = 0.804(121)_{\text{stat}}(95)_{\text{syst}} + 0.267(12)_{\text{stat}}(10)_{\text{syst}} = 1.07(12)_{\text{stat}}(10)_{\text{syst}}$, thus satisfying the momentum sum.

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Introduction.—The distribution of the proton spin among its constituent quarks and gluons has been a long-standing puzzle ever since the European Muon Collaboration showed in 1987 that only a fraction of the proton spin is carried by the quarks [1,2]. This was in sharp contrast to what one expected based on the quark model. This so-called proton spin crisis triggered rich experimental and theoretical activity. Recent experiments show that only 30% of the proton spin is carried by the quarks [3], while experiments at RHIC [4,5] on the determination of the gluon polarization in the proton point to a nonzero contribution [6]. A global fit to the most recent experimental data that includes the combined set of inclusive deep-inelastic scattering data from HERA and Drell-Yan data from Tevatron and LHC led to an improved determination of the valence quark distributions and the flavor separation of the up and down quarks [7]. The combined HERA data also provide improved constraints on the gluon distributions, but large uncertainties remain [7]. Obtaining the quark and gluon contributions to the nucleon spin and momentum fraction within lattice quantum chromodynamics (QCD) provides an independent input that is extremely crucial, but the computation is very challenging. This is because a complete determination must include, besides the valence, sea quark and gluon contributions that exhibit a large noise-to-signal ratio and are computationally very demanding. A first computation of the gluon spin was performed recently via the evaluation of the gluon helicity in a mixed action approach of overlap valence quarks on $N_f = 2 + 1$ domain wall fermions that included an ensemble with pion mass 139 MeV [8]. In this Letter, we evaluate all of the contributions to the spin of the proton as well as the gluon and quark momentum fractions [9,10]. Such an investigation has become feasible given the tremendous progress in simulating QCD on a Euclidean four-dimensional lattice with quark masses tuned to their physical values (referred to as the physical point), in combination with new approaches to evaluate sea quark and gluon contributions that were not possible in the past [9,11–13]. This first study of valence and sea quark and gluon contributions directly at the physical point allows us to obtain complete information on the distribution of the nucleon spin and momentum among its constituents.

Computational approach.—We use one gauge ensemble employing two degenerate ($N_f = 2$) twisted mass clover-improved fermions [14,15] with masses that approximately reproduce the physical pion mass [16] on a lattice of $48^3 \times 96$ and lattice spacing $a = 0.0938(3)$ fm, determined from the nucleon mass [17]. The strange and charm valence quarks are taken as Osterwalder-Seiler fermions [18,19]. The mass of the strange quark is tuned to reproduce the $\Omega^-$ mass and the mass of the charm quark is tuned independently to reproduce the mass of $\Lambda_c^+$, as described in detail in Ref. [17]. The strange and charm quark masses in lattice units determined through this matching are $\mu_s = 0.0259(3) = 0.0259(3) = 0.3319(15)$, respectively, yielding $\mu_s = 12.8(2)$. We note that if we instead tune to the ratio of the kaon (D meson) to pion mass $m_K/m_\pi (m_D/m_\pi)$ for the same ensemble, we find $\mu_s = 12.3(1) [16]$. Given that an extrapolation to the continuum, where the different definitions are expected to be consistent, is not carried out and the errors quoted are only statistical, this level of...
agreement is very satisfactory. For the renormalized strange and charm quark masses, we find $m^b_\pi = \mu_c/Z_p = 108.6(2.2)(5.7)(2.6)$ MeV and $m^b_\mu = \mu_c/Z_p = 1.39(2)(7)(3)$ GeV, where $Z_p$ is the pseudoscalar renormalization function determined nonperturbatively in the modified minimal subtraction scheme (MS) at 2 GeV [17].

*Matrix elements.*—We use Ji’s sum rule [20], which provides a gauge invariant decomposition of the nucleon spin as

$$J_N = \sum_{q=u,d,s,c} \left( \frac{1}{2} \Delta \Sigma_q + L_q \right) + J_g,$$

where $\frac{1}{2} \Delta \Sigma_q$ is the contribution from the intrinsic quark spin, $L_q$ is the quark orbital angular momentum, and $J_g$ is the gluon total angular momentum. The quark intrinsic spin $\frac{1}{2} \Delta \Sigma_q$ is obtained from the first Mellin moment of the polarized parton distribution function (PDF), which is the nucleon matrix element of the axial-vector operator. The total quark angular momentum $J_q$, can be extracted by computing the second Mellin moment of the unpolarized nucleon PDF, which is the nucleon matrix element of the vector one-derivative operator at zero momentum transfer. These matrix elements in Euclidean space are given by

$$\langle N(p, s')|O_{A}^{\mu}|N(p, s)\rangle = \bar{u}_{N}(p, s')[g_{A}^{\mu}p_{\alpha}]u_{N}(p, s),$$

$$\langle N(p', s')|O_{V}^{\mu}|N(p, s)\rangle = \bar{u}_{N}(p', s')\Lambda_{\mu\nu}^{\mu}(Q^{2})u_{N}(p, s),$$

$$\Lambda_{\mu\nu}^{\mu}(Q^{2}) = \frac{A_{V}^{20}(Q^{2})}{2m} + \frac{B_{V}^{20}(Q^{2})}{2m} \sigma^{(\mu q,\nu p)} + C_{V}^{20}(Q^{2}) \frac{1}{m} Q^{(\mu Q^{\nu})},$$

with $Q = p - p'$ being the momentum transfer and $P = (p + p')/2$ the total momentum. The axial-vector operator is $O_{A}^{\mu} = \bar{q}_{\gamma^\mu(r)}t_{\gamma}q$ and the one-derivative vector operator $O_{V}^{\mu} = \bar{q}_{[\gamma(\mu(p-q))}q$, where the curly brackets in $O_{V}$ represent a symmetrization over pairs of indices and a subtraction of the trace. $\Lambda_{\mu\nu}^{\mu}$ is decomposed in terms of three Lorentz invariant generalized form factors $A_{V}^{20}(Q^{2})$, $B_{V}^{20}(Q^{2})$, and $C_{V}^{20}(Q^{2})$. A corresponding decomposition can also be made for the nucleon matrix element of the gluon operator $O_{g}^{20}$. The quark (gluon) total angular momentum can be written as

$$J_{q(\gamma)} = \frac{1}{2}[A_{g(\gamma)}^{20}(0) + B_{g(\gamma)}^{20}(0)],$$

while the average momentum fraction is determined from $A_{g(\gamma)}^{20}(0) = \langle x \rangle_{Q(\gamma)}$ and $g_{A}^{q} = \Delta \Sigma_q$, where $g_{A}^{q}$ is the nucleon axial charge. While $A_{g}^{20}(0)$ can be extracted directly at $Q^{2} = 0$, $B_{g}^{20}(0)$ needs to be extrapolated to $Q^{2} = 0$ using the values obtained at a finite $Q^{2}$.

We compute the gluon momentum fraction by considering the $Q^{2} = 0$ nucleon matrix element of the operator $O_{g}^{20} = 2Tr[G_{\mu\nu}G_{\alpha\beta}]$, taking the combination $O_{g} = O_{44} - \frac{1}{2}O_{jj}$,

$$\langle N(p, s')|O_{g}|N(p, s)\rangle = \left( -4E_{N}^{2} - \frac{2}{3}\tilde{p}^{2} \right) \langle x \rangle_{g},$$

where we further take the nucleon momentum $\tilde{p} = 0$.

In lattice QCD, the aforementioned nucleon matrix elements are extracted from a ratio, $R_{1}(t_{\gamma}, t_{\text{ins}})$, of a three-point function $G_{\mu\nu}^{20}(t_{\gamma}, t_{\text{ins}})$ constructed with an operator $\Gamma$ coupled to a quark divided by the nucleon two-point functions $G_{\mu\nu}^{20}(t_{\gamma})$, where $t_{\text{ins}}$ is the time slice of the operator insertion relative to the time slice where a state with the quantum numbers of the nucleon is created (the source). For sufficiently large time separations $t_{\gamma} - t_{\text{ins}}$ and $t_{\text{ins}}$, the ratio $R_{1}(t_{\gamma}, t_{\text{ins}})$ yields the appropriate nucleon matrix element. To determine $B_{20}(Q^{2})$, we need the nucleon matrix element for $Q^{2} \neq 0$, which can be extracted by defining an equivalent ratio as described in detail in Refs. [21–23]. An extrapolation of $B_{20}(Q^{2})$ is then carried out to obtain $B_{20}(0)$. We employ three approaches in order to check that the time separations $t_{\gamma} - t_{\text{ins}}$ and $t_{\text{ins}}$ are sufficiently large to suppress higher energy states with the same quantum numbers with the nucleon. These are the following. (i) Plateau method. Identify the range of $t_{\text{ins}}$ for which the ratio $R_{1}(t_{\gamma}, t_{\text{ins}})$ becomes time independent and perform a constant fit. (ii) Summation method. Sum $R_{1}(t_{\gamma}, t_{\text{ins}})$ over $t_{\text{ins}}$ to yield $\sum_{t_{\text{ins}}} R_{1}(t_{\gamma}, t_{\text{ins}}) = R_{1}^{\text{sum}}(t_{\gamma}) = C + t_{\gamma}M + O(e^{-E_{i}^{-1}t_{\gamma}}) + \ldots$, where $C$ is a constant. The matrix element $M$ is then obtained from the slope of a linear fit with respect to $t_{\gamma}$. (iii) Two-state fit method. We perform a simultaneous fit to the three- and two-point function, varying $t_{\text{ins}}$ for several values of $t_{\gamma}$, including the first excited state in the fit function. If excited states are suppressed, the plateau method should yield consistent values when increasing $t_{\gamma}$ within a sufficiently large $t_{\gamma}$ range. We require that we observe convergence of the values extracted from the plateau method and, additionally, that these values are compatible with the results extracted from the two-state fit and the summation method. We take the difference between the plateau and two-state fit values as a systematic error due to residual excited states.

The three-point functions for the axial-vector and vector one-derivative operators entering the ratio $R_{1}(t_{\gamma}, t_{\text{ins}})$ receive two contributions, one when the operator couples to the valence up and down quarks (referred to as connected) and when it couples to sea quarks and gluons (disconnected). The connected contributions are computed by employing sequential inversion through the sink [24]. Disconnected diagrams are computationally very demanding due to the fact that they involve a closed quark loop and thus a trace over the quark propagator. A feasible alternative is to employ stochastic techniques [25] to obtain an estimate of the all-to-all propagator needed for an
evaluation of the closed quark loop. For the up and down quarks, we utilize exact deflation [26,27] by computing the $N_x$, lowest eigenmodes of the Dirac matrix to precondition the conjugate gradient solver. Taking $N_x = 500$ yields an improvement of about 20 times compared to the standard conjugate gradient method. We also exploit the properties of the twisted mass action to improve our computation using the so-called one-end trick [28,29], which yields an increase in the signal-to-noise ratio [30,31]. This also allows for an evaluation of the quark loops for all insertion time slices, and, since the two-point function is computed for all values of $t_s$, the disconnected three-point function is obtained for any combination of $t_s$ and $t_{\text{ins}}$, allowing a thorough study of excited state effects. In addition, an improved approach is employed for $(x)_{ij}$, exploiting the spectral decomposition of the Dirac matrix. Within this approach, we use the lowest eigenmodes to construct part of the all-to-all propagator in an exact manner. This allows us to invert less stochastic sources for constant variance; hence, $N_r$ is smaller for the $(x)_{ij}$ in Table I. The remaining part of the loop is calculated stochastically, with the use of the one-end trick.

For the heavier strange and charm quarks, the truncated solver method (TSM) [32] performs well [30,31]. In the TSM, an appropriately tuned large number of low-precision and a small number of high-precision stochastic inversions are combined to obtain an estimate of $G_q(x;x)$. We give the tuned parameters in Table I. These methods have recently been employed to compute other nucleon observables using this ensemble [33–35], as well as at higher than physical pion masses [30,31].

The three-point function of the gluon operator is purely disconnected. To overcome the low signal-to-noise ratio, we apply stout smearing to the gauge links entering the disconnected. To overcome the low signal-to-noise ratio, pion masses [30,31].

In Table I, we summarize the statistics used for the calculation for both quark and gluon observables.

Renormalization.—We determine the renormalization functions for the axial-vector charge and one-derivative vector operators nonperturbatively, in the regularization-invariant (RI) momentum scheme. We employ a momentum source and perform a perturbative subtraction of $O(a^2)$ terms [37,38]. This subtracts the leading cutoff effects yielding only a weak dependence of the renormalization factors on the renormalization scale $(a \rho)^2$ for which the $(a \rho)^2 \rightarrow 0$ limit can be reliably taken. Lattice QCD results for both the isovector and isoscalar axial charge are renormalized nonperturbatively with $Z_A^{\text{isovector}} = 0.7910(4)(5)$ and $Z_A^{\text{isoscalar}} = 0.7968(25)(91)$, respectively [35,37]. The one-derivative vector operator is nonperturbatively renormalized with $Z_{\text{DV}} = 1.1251(27)(17)$ in the $\overline{MS}$ scheme at 2 GeV [37]. The renormalization of the gluon operator is carried out perturbatively. Being a flavor singlet operator, it mixes with other operators, the quark singlet operator in particular. Owing to this mixing, appropriate renormalization conditions require computation of more than one matrix element. We perform the computation in one-loop lattice perturbation theory and use the action parameters that coincide with the ensemble of this work. To avoid the introduction of an intermediate RI-type scheme, we define a convenient renormalization prescription that utilizes both dimensional and lattice regularization results (see Ref. [11] for additional details).

The physical result of the gluon momentum fraction can be related to the bare matrix elements $(x)_{ij}^{\text{bare}}$ and $(x)_{ij}^{\text{bare}}$ using $(x)_{ij} = Z_{gij}(x)_{ij}^{\text{bare}} + Z_{qij} \sum_q (x)_{iq}^{\text{bare}}$, where $Z_{gij}$ and $Z_{qij}$ are computed to one loop. We note that the mixing coefficient $Z_{qij}$ is a fraction of the statistical errors on our results. Therefore, for the quark momentum fractions, we renormalize with the nonperturbatively determined renormalization factor, neglecting the mixing with the gluon operator. We note that the perturbative and nonperturbative renormalization functions $Z_{\text{DV}}$ differ by 10%, which is a much larger effect than the mixing.

In Fig. 1, we show the result of the three analyses carried out to extract the disconnected contribution to the isoscalar axial charge $g_A^{u+d}$ and the quark momentum fraction $(x)_{ui+d}$. Taking the value at $t_s = 14a = 1.3$ fm is consistent with the result from the two-state fit and summation method, for both quantities. We take the plateau value at $t_s = 14a$ as our final result and assign as systematic error due to excited states the difference between this value and the mean value determined from the two-state fit. The same analysis is performed for the strange and charm disconnected contributions. The analysis for the valence quark contributions at lower statistics was presented in Ref. [39], and it is also followed here.

Results.—In Fig. 2, we present our results for the up, down, and strange quark contributions to the nucleon axial charge that yield the quark intrinsic spin contributions to
the nucleon spin. Since we are using a single ensemble, we cannot directly assess finite volume and lattice spacing effects. However, previous studies carried out using $N_f = 2$ and $N_f = 2 + 1 + 1$ twisted mass fermion (TMF) ensembles at heavier than physical pion masses for the connected contributions allow us to assess cutoff and volume effects [21,40]. In Fig. 2, we include TMF results for $N_f = 2$ ensembles at $m_{\pi} \approx 465$ MeV, one with lattice spacing $a = 0.089$ fm, and one with $a = 0.07$ fm, with a similar spatial lattice length $L$, as well as, at $m_{\pi} = 260$ MeV, one with $a = 0.089$ fm, and another with $a = 0.056$ fm and a similar $L$. At both pion masses, the results are in complete agreement as we vary the lattice spacing from 0.089 to 0.056 fm, pointing to cutoff effects smaller than our statistical errors. For assessing finite volume effects, we compare two $N_f = 2$ ensembles, both with $a = 0.089$ fm and $m_{\pi} \approx 300$ MeV, but one with $m_{\pi}L = 3.3$ and the other with $m_{\pi}L = 4.3$. The values are completely compatible, showing that volume effects are also within our statistical errors. To assess possible strange quenching effects, we compare in Fig. 2 results for the connected contributions using $N_f = 2$ and $N_f = 2 + 1 + 1$ TMF ensembles, both at $m_{\pi} \approx 375$ MeV, and find very good agreement [47]. The latter is a high statistics analysis yielding very small errors. We note, however, that the limited accuracy of the $N_f = 2$ result would still allow a quenching effect of the order of its statistical error, and only an accurate calculation using $N_f = 2 + 1 + 1$ simulations at the physical point would be able to resolve this completely. In Fig. 2, we also compare recent lattice QCD results on the strange intrinsic spin, $\frac{1}{2} \Delta \Sigma_s$, at heavier than physical pion masses and find agreement among lattice QCD results, indicating that lattice artifacts are within the current statistical errors. We note, specifically, that all lattice QCD results yield a nonzero and negative strange quark intrinsic spin contribution $\frac{1}{2} \Delta \Sigma_s$. We also compute the charm axial charge and momentum fraction at the physical point, and we find that both are consistent with zero.

To determine the total quark angular momentum $J_q$, we need, beyond $A_0^q(0)$, the generalized form factor $B_0^q(0)$, which is extracted from the nucleon matrix element of the vector one-derivative operator for $Q^2 \neq 0$ as described in Ref. [21]. For the isovector case, we find $B_0^{0d}(0) = 0.313(19)$, and for the isoscalar connected contribution $B_0^{u+d,\text{conn}}(0) = 0.012(20)$. We observe that the latter is consistent with zero, as is the disconnected contribution $B_0^{u+d,\text{disc}}(Q^2 = 0.074 \, \text{GeV}^2)$. Similarly, the strange and charm $B_0^{s,c}(Q^2)$ values are zero, which implies that $J_{s,c} = \frac{1}{2} \langle x \rangle_{s,c}$. In what follows, we will also take the gluon $B_0^g(0)$ to be zero, and thus $J_g = \frac{1}{2} \langle x \rangle_g$.

Our final values for the quark total and angular momentum contributions are given in Table II. The value of $\langle x \rangle_{u-d} = 0.194(9)(11)$ is on the upper bound relative to the
recent phenomenological value extracted in Ref. [7]. Determinations of \(\langle x\rangle_{u-d}\) within lattice QCD using simulations with larger than physical pion masses have yielded larger values, an effect that is partly understood to be due to the contribution of excited states to the ground state matrix element [48]. We note that our value is in agreement with that determined by the RQCD Collaboration using \(N_f = 2\) clover fermions at pion mass of 151 MeV [49], and that lattice QCD results for \(\langle x\rangle_{u-d}\) and \(J_{u-d}\) for ensembles with larger than physical pion masses including ours are in overall agreement [40]. Results within lattice QCD for the individual quark \(\langle x\rangle_q\) and \(J_q\) contributions are scarce. The current computation is the first one using dynamical light quarks with physical masses. A recent quenched calculation yielded values of \(\langle x\rangle_{u,d}\) consistent with ours.

In Fig. 3, we show schematically the various contributions to the spin and momentum fraction. Using a different approach from ours, the gluon helicity was recently computed within lattice QCD and found to be 0.251(47) (16) [8]. Although we instead compute the gluon total angular momentum and the two approaches have different systematic uncertainties, a non-negligible gluon contribution to the proton spin is obtained within both approaches make non-negligible gluon contributions to the proton spin. *Conclusions.*—In this Letter, we present a calculation of the quark and gluon contributions to the proton spin, directly at the physical point.

Having a single ensemble, we can assess only lattice systematic effects due to the quenching of the strange quark, the finite volume, and the lattice spacing indirectly from other twisted mass ensembles. A direct evaluation of these systematic effects is not possible and will be carried out in the future. Individual components are computed for the up, down, strange, and charm quarks, including both connected (valence) and disconnected (sea) quark contributions. Our final numbers are collected in Table II. The quark intrinsic spin from connected and disconnected contributions is \(\frac{1}{2}\Delta\Sigma_{u+d+s} = 0.299(12)(3)\) and \(J_{u+d+s} = 0.255(12)(3)\), while the total quark angular momentum is \(J_{u+d+s} = 0.201(17)(5)\), where the first error is statistical and the second systematic due to excited states.

TABLE II. Our results for the intrinsic spin (\(\frac{1}{2}\Delta\Sigma\)), angular (\(J\)), and total (\(L\)) momentum contributions to the nucleon spin and to the nucleon momentum (\(\langle x\rangle\)), in the \(\overline{MS}\) scheme at 2 GeV, from up (\(u\)), down (\(d\)), and strange (\(s\)) quarks and from gluons (\(g\)), as well as the sum of all contributions (Tot.), where the first error is statistical and the second systematic due to excited states.

| \(\frac{1}{2}\Delta\Sigma\) | \(J\) | \(L\) | \(\langle x\rangle\) |
|----------------|-------|-------|----------------|
| \(u\) | 0.415(13)(2) | 0.308(30)(24) | -0.107(32)(24) | 0.453(57)(48) |
| \(d\) | -0.193(8)(3) | 0.054(29)(24) | 0.247(30)(24) | 0.259(57)(47) |
| \(s\) | -0.021(5)(1) | 0.046(21)(0) | 0.067(21)(1) | 0.092(41)(0) |
| \(g\) | \(\cdots\) | 0.133(11)(14) | \(\cdots\) | 0.267(22)(27) |
| Tot. | 0.201(17)(5) | 0.541(62)(49) | 0.207(64)(45) | 1.07(12)(10) |

Our result for the intrinsic quark spin contribution agrees with the upper bound set by a recent phenomenological analysis of experimental data from the COMPASS Collaboration [50], which found \(0.13 < \frac{1}{2}\Delta\Sigma < 0.18\). Using the spin sum, one would deduce that \(J_g = \frac{1}{2} - J_q = 0.092(61)(48)\), which is consistent with taking \(J_g = \frac{1}{2}(\langle x\rangle_g = 0.133(11)(14)\) via the direct evaluation of the gluon momentum fraction, which suggests that \(B_{2g}(0)\) is indeed small. Furthermore, we find that the momentum sum is satisfied as \(\sum_q \langle x\rangle_q + \langle x\rangle_g = 0.497(12)(5)\) and \(0.307(121)(95)\), with \(B_{2g}(0)\) being the isospin sum of quarks and gluons giving \(J_N = \sum_q J_q + J_g = 0.408(61)(48) + 0.133(11)(14) = 0.541(62)(49)\), resolving a long-standing puzzle.

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