Joker de Bruijn: Covering $k$-Mers Using Joker Characters

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ABSTRACT

Sequence libraries that cover all $k$-mers enable universal and unbiased measurements of nucleotide and peptide binding. The shortest sequence to cover all $k$-mers is a de Bruijn sequence of length $\sum_j^{k} + k - 1$. Researchers would like to increase $k$ to measure interactions at greater detail, but face a challenging problem: the number of $k$-mers grows exponentially in $k$, while the space on the experimental device is limited. In this study, we introduce a novel advance to shrink $k$-mer library sizes by using joker characters, which represent all characters in the alphabet. Theoretically, the use of joker characters can reduce the library size tremendously, but it should be limited as the introduced degeneracy lowers the statistical robustness of measurements. In this work, we consider the problem of generating a minimum-length sequence that covers a given set of $k$-mers using joker characters. The number and positions of the joker characters are provided as input. We first prove that the problem is NP-hard. We then present the first solution to the problem, which is based on two algorithmic innovations: (1) a greedy heuristic and (2) an integer linear programming (ILP) formulation. We first run the heuristic to find a good feasible solution, and then run an ILP solver to improve it. We ran our algorithm on DNA and amino acid alphabets to cover all $k$-mers for different values of $k$ and $k$-mer multiplicity. Results demonstrate that it produces sequences that are very close to the theoretical lower bound.

Keywords: de Bruijn sequence, microarray library design, peptide arrays, protein binding, protein binding microarrays.

1. INTRODUCTION

Protein-DNA, -RNA, and -peptide interactions drive nearly all cellular processes. Protein-DNA binding regulates gene expression by binding to specific DNA sequences; protein-RNA interactions regulate gene expression post-transcriptionally by stabilizing, splicing, and degrading RNA; and protein-peptide interactions are key for cellular signaling in vivo.

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High-throughput experimental data describing the strength and specificity for individual proteins interacting with universal unbiased libraries provide critical information required to reconstruct interaction networks. Such a measurement can be achieved by directly measuring binding to sequence libraries that cover a large space of DNA, RNA, or amino acid \(k\)-mers. The comprehensive coverage guarantees that specificities can be identified de novo for any protein. Microarrays that cover all \(k\)-mers have been used successfully in various technologies to measure protein-DNA, -RNA, and -peptide binding. In Table 1, we summarize the specifications of five such technologies (Berger et al., 2006; Fordyce et al., 2010; Gurard-Levin et al., 2010; Ray et al., 2013; Smith et al., 2013).

While these technologies have been used successfully to measure protein interactions, they all face a similar challenge: space on the experimental device and the sequence length that can be used are both limited, restricting the total sequence space that can be probed in a single experiment. In particular, increasing \(k\) poses difficulties since the number of sequences needed to cover all \(k\)-mers increases exponentially with \(k\) as the number of \(k\)-mers over alphabet \(\Sigma\) is \(|\Sigma|^k\).

Several solutions have been suggested to generate sequence libraries that cover all possible \(k\)-mers in the most compact space possible. A de Bruijn sequence is the shortest sequence, in which each \(k\)-mer appears exactly once. Its length is given by \(|\Sigma|^k + k - 1\). De Bruijn sequences were used in protein-binding microarrays for \(k = 10\) (Philippakis et al., 2008). A reduction of DNA libraries by half was achieved by utilizing the reverse complementarity property of double-stranded DNA (D’Addario et al., 2012; Orenstein and Shamir, 2013; Smith et al., 2013). Other methods produce compact, unstructured RNA libraries to measure protein-RNA binding (Ray et al., 2013; Orenstein and Berger, 2015). However, in all solutions, all \(k\)-mers have to occur in the sequence set, thus limited by the number of \(k\)-mers \(|\Sigma|^k\).

In this study, we introduce a novel idea to generate smaller libraries to cover a given set of \(k\)-mers by using joker characters. Joker characters represent degenerate nucleotides (or amino acids) covering all characters in the alphabet, that is, joker character \(x\) representing \(\{A, C, G, T\}\). Such degenerate nucleotides (or amino acids) can be ordered directly from the vendor during oligonucleotide (or peptide) synthesis at no extra cost, providing a new potential avenue for probing a larger sequence space within the constraints of limited experimental space.

The downside of using joker characters is that they introduce degeneracy, which lowers the statistical robustness of measurements: a measurement of a single microarray spot is now assigned to multiple sequences instead of just one. In the extreme case, a sequence of \(k\) consecutive joker characters covers all \(k\)-mers, but produces only a single measurement, which is useless for inferring protein-binding specificities. To rectify this problem, we set a limit to the use of joker characters by having the user provide the number and positions of joker characters in the sequence.

Previous studies have considered the problem of covering \(k\)-mers using joker characters. Blanchet-Sadri et al. (2010) solved the problem of covering all binary \(k\)-mers with exactly one joker character. In the thesis by Wyatt (2013), a solution was given to the problem of covering all binary \(k\)-mers with multiple joker characters, but with no other restrictions. Last, Chen et al. (2016) studied the problem of covering all binary \(k\)-mers with a few joker characters, but required that each \(k\)-mer appears exactly once and with no other restrictions. None considered the coverage of a given set of \(k\)-mers with a limitation on the number and positions of joker characters.

In this work, we study the problem of generating a minimum-length sequence to cover a given set of \(k\)-mers with a given number and positions of joker characters. We first prove that the problem is NP-hard. We then describe a novel greedy heuristic, which finds a sequence in time polynomial in the output length. Then,

### Table 1.

| Technology | PBM | MITOMI | Synthetic enhancers | RNAcompete | Peptide arrays |
|------------|-----|--------|---------------------|------------|---------------|
| \(k\)      | 10  | 8      | 6                   | 9          | 2             |
| Alphabet   | DNA | DNA    | DNA                 | RNA        | Amino acid    |
| Sequence length | 35 | 52     | 15                  | 30–41      | 2             |
| No. of sequences | 40,330 | 1457   | 184                 | 241,357    | 361           |

MITOMI, mechanically-induced trapping of molecular interaction; PBM, protein binding microarrays.
we formulate the problem as an integer linear programming (ILP) problem to produce an optimal solution. We suggest a two-step approach: running the greedy heuristic and improving its solution using an ILP solver. We compare our results with theoretical lower bounds and a random approach. The implementation of our algorithm is freely available at jokercake.csail.mit.edu

2. PRELIMINARIES

A k-mer is a word of length k over a given alphabet Σ. In this study, we refer to two alphabets

\[ \Sigma_{AA} = \{A, R, N, D, C, Q, E, G, H, I, L, K, M, F, P, S, T, W, Y, V\} \quad \text{and} \quad \Sigma_{DNA} = \{A, C, G, T\} \]

We interchangeably refer to a k-mer as a word and an integer by the natural conversion in base |Σ|. A joker character, denoted by x, represents all characters in Σ, that is, x representing \{A, C, G, T\}. K-mer \( w = (w_1, \ldots, w_k) \) is covered by sequence \( S \) if there exists \( 0 \leq i \leq |S| - k \) such that for \( 1 \leq j \leq k \): \( S_{i+j} \in \{x, w_j\} \). We say that \( w \) occurs at index \( i \) in \( S \). In other words, any original character of \( w \) may be replaced by the joker character.

We define two new notations relating to k-mer coverage with joker characters. Template \( t \) is a k-mer over \{0, 1\}, where 1 denotes joker positions. Sequence \( S \) follows template \( t \) if its joker positions are the 1 positions in a concatenation of multiple templates \( t \). Denote \( |t|_1 \) as the weight of template \( t \), that is, the number of 1s in it. For example, \( S = AxCCGxTA \) follows template \( t = 0100 \) and \( |t|_1 = 1 \). We denote \( S \in (\Sigma \cup \{x\})^\ell \) where in the example, \( \ell = 2|t|_1 \). K-mer counts \( C \) is a vector over natural values of length \(|\Sigma|^k\).

Element \( C(w) \) corresponds to the number of times k-mer \( w \) is covered by the sequence. K-mer \( w \) is covered at least \( C(w) \) times by sequence \( S \) if there are \( p \geq C(w) \) distinct indices \( \{i_1, \ldots, i_p\} \) such that \( w \) occurs at index \( i_j \) in \( S \) for \( 1 \leq j \leq p \). Using the above notations, we define a \((C, t, \Sigma)\)-joker de Bruijn sequence as a sequence covering k-mers according to \( C \) following template \( t \).

We also define reverse complementarity. A complement relation is a symmetric nonreflexive relation, that is, \( \overline{\Sigma} = T \) and \( \overline{T} = G \). The reverse complement of k-mer \( w = (w_1, \ldots, w_k) \) is \( RC(w) = (\overline{w_k}, \ldots, \overline{w_1}) \). A k-mer is RC covered by sequence \( S \) if it occurs in either \( S \) or \( RC(S) \). A \((C, t, \Sigma)\)-RC-joker de Bruijn sequence \( RC \) covers k-mers according to \( C \) and follows template \( t \).

In this study, we consider the following problem and its version utilizing the reverse complement property.

**MINIMUM-LENGTH \((C, t, \Sigma)\)-JOKER DE BRUIJN SEQUENCE**

INSTANCE: k-mer counts \( C \), template \( t \), alphabet \( \Sigma \).

VALID SOLUTION: \((C, t, \Sigma)\)-joker de Bruijn sequence \( S \).

GOAL: Minimize \(|S|\).

3. METHODS

3.1. Greedy heuristic

We present a novel algorithm to find a \((C, t, \Sigma)\)-joker de Bruijn sequence. It is based on a greedy heuristic that examines at each step an addition of \( k \) characters from \( \Sigma \cup \{x\} \) that follow template \( t \). The addition that covers the most \( k \)-mers that are yet to be covered (including multiple \( k \)-mer instances if needed) is chosen and added to the current sequence. The algorithm terminates when all \( k \)-mers have been covered according to \( C \). The algorithm is summarized as Algorithm 1.

We bound the runtime of Algorithm 1. We first prove the following Lemma on the minimum number of \( k \)-mers covered in each iteration of the top while loop (line 4 in Algorithm 1).

**Lemma 1.** In each iteration of the while loop in Algorithm 1, at least one \( k \)-mer has an increased \( k \)-mer count.

**Proof.** Denote \( w \) as a \( k \)-mer for which \( A(w) < C(w) \). The inner for loop (line 6) iterates over all possible \( k \)-mers that follow template \( t \), including those that cover \( w \). Denote \( w_i \) as \( k \)-mer \( w \) with jokers in \( i \) positions of \( t \). It follows \( t \) and covers \( w \). Thus, \( CURR_{\Sigma_k} \cdot w_i \) adds one to the coverage of \( w \). Since the for loop finds the maximum, it has to be at least one.
Corollary 1. The number of iterations of the while loop in Algorithm 1 is bounded by $\sum_{i=0}^{|\Sigma|^k-1} C(i)$.

Proof. The number of required $k$-mer coverages is $\sum_{i=0}^{|\Sigma|^k-1} C(i)$. By Lemma 1, at least one $k$-mer has an increased count at each iteration. Thus, the bound on the total number of iterations is $\sum_{i=0}^{|\Sigma|^k-1} C(i)$.

Algorithm 1 Generate a $(C,t,\Sigma)$-joker de Bruijn sequence

1: Set $CURR$ to be an arbitrary $k$-mer $w$, s.t. $w \in \Sigma [\Sigma \cup \{x\}]^k$.
2: Initialize $SEQ$ to $CURR$.
3: Initialize array $A$ of $k$-mer counts to 0.
4: while there is any $k$-mer $y$ s.t. $A(y) < C(y)$ do
5: Initialize $MAX$ to 0.
6: for all $k$-mers $w$, s.t. $w \in \Sigma [\Sigma \cup \{x\}]^k$ do
7: Set $COUNT$ to the number of new $k$-mers covered by $CURR_{2:k} \cdot w$.
8: if $COUNT > MAX$ then
9: $MAX = COUNT$.
10: $MAX_K = w$.
11: end if
12: end for
13: Set $SEQ = SEQ \cdot MAX_K$.
14: Update $A$ by the number of new $k$-mers covered by $CURR_{2:k} \cdot MAX_K$.
15: Set $CURR = MAX_K$.
16: end while
17: Output sequence $SEQ$.

Theorem 1. The running time of Algorithm 1 is bounded by $O(\sum_{i=0}^{|\Sigma|^k-1} C(i) \cdot |\Sigma|^{k-|t|} \cdot k)$.

Proof. The while loop runs at most $\sum_{i=0}^{|\Sigma|^k-1} C(i)$ iterations by Corollary 1. The inner for loop runs $|\Sigma|^{k-|t|}$ iterations since it iterates over all $k$-mers over $\Sigma \cup \{x\}$ that follow $t$. Inside the for loop, exactly $2k-1$ $k$-mers in $CURR_{2:k} \cdot MAX_K$ are examined. We assume that examining each $k$-mer takes constant time $O(1)$ as it is one array operation. Thus, the total running time is $O(\sum_{i=0}^{|\Sigma|^k-1} C(i) \cdot |\Sigma|^{k-|t|} \cdot k)$.

3.2. ILP formulation

Next, we present a novel ILP formulation to solve the MINIMUM-LENGTH $(C,t,\Sigma)$-JOKER DE BRUIJN problem. We start by defining variables. $Y$ variables are $k$-mer counts of $k$-mers that include $|t|_1$ joker characters. There are $k \cdot |\Sigma|^{k-|t|}$ integer variables $Y_{i,j}$. Each $Y_{i,j}$ corresponds to the number of times a $k$-mer with $|t|_1$ joker characters at positions following cyclic shift of offset $j$ of template $t$ and the rest of the positions as $(k-|t|_1)$-mer $i$ occurs in the sequence. For simplicity, we solve the problem of generating a cyclic sequence, but it can be easily turned into a linear sequence by a modification similar to that presented by D’Addario et al. (2012).

As we aim for the shortest sequence, the objective function is

$$\min \sum_{i=0}^{|\Sigma|^{k-|t|}-1} \sum_{j=1}^{k} Y_{i,j} \quad (1)$$

The first constraint is the coverage constraint, which requires that all $k$-mers occur as the number of times according to $C$. Let $f(i, j)$ be the $(k-|t|_1)$-mer of all positions, but the joker positions of cyclic shift $j$ of template $t$ of $k$-mer $i$ are

$$\sum_{j=1}^{j=k} Y_{f(i,j),j} \geq C(i) \quad 1 \leq i \leq |\Sigma|^{k} \quad (2)$$

The second constraint guarantees that $k$-mer occurrences can form a (cyclic) sequence. We require that for each $(k-1)$-mer, the number of $k$-mers with that $(k-1)$-mer in their suffix is equal to the number of $k$-
mers with that \((k-1)\)-mer in their prefix. Denote \(p_x(i)\) and \(s_x(i)\) as the \(x\)-long prefix and suffix of \(i\), respectively.

\[
\sum_{k-1}^{i_0-1} Y_{\ell,j+1} = \sum_{k-1}^{i_0-1} Y_{\ell,j} \quad 1 \leq j \leq k-1
\]

\(i \in \{p_{k-1}(i) = \forall \ell \; \text{cyclic shift of} \; \forall \ell \in E \} \}^k \}

3.3. RC covering all \(k\)-mers

To further shrink libraries over double-stranded DNA, we utilize the reverse complement property and generate a \((C, t, \Sigma)\)-RC-joker de Bruijn sequence. We made two modifications to the algorithms above. For Algorithm 1, whenever we consider and choose a new addition of \(k\)-mers with that \(i\), we account for both the \(k\)-mers and their reverse complement. For the ILP formulation, we modified the coverage constraint (Eqn. 2). The modified constraint is

\[
\sum_{j=1}^{i=k} Y_{f(\ell,j),j} + Y_{f(\ell,RC(i),j)} \geq C(i) + C(\ell) \quad 1 \leq i \leq |\Sigma|^k
\]

4. RESULTS

4.1. Hardness result

Given a set of strings \(s_1, \ldots, s_n\), the shortest common superstring (SCS) optimization problem is to find the shortest string \(S\) such that all \(s_i\) are substrings of \(S\). SCS was proven to be NP-hard (Räihä and Ukkonen, 1981). We consider a problem equivalent to finding the SCS of a set of strings of length \(k\), with alphabet size \(\Sigma \geq 4\), while allowing the superstring to have joker/wildcard characters at fixed positions every \(k\) characters. Here, we show that adding a single wildcard no more than once every \(k\) characters is NP-hard. Let an edge \((a, b)\) be represented by strings \(abab\) and \(baba\) (merging adjacent 2s as possible). This is a set of strings of equal length \(k = 13 + 8\lceil \log_2 n \rceil\), corresponding to the \(k\)-mer size. The set of strings representing the set of edges is the input of the Joker De Bruijn problem, allowing one joker character per \(k\) characters.

→ Suppose \(G\) has a covering vertex set \(S\) of size \(\kappa\). Assign every edge \((a, b)\) to its covering vertex (or arbitrarily if both vertices are in \(S\)). If \(a\) is the assigned vertex for the edge \((a, b)\), overlap the two strings to get \(ababa\), else overlap them the other way to get \(baba\). Then, for every vertex \(c \in S\), we can overlap all assigned edge strings by \(1\) to get \(ca_1ca_1ca_2ca_2c \ldots ca_\kappa ca_\kappa c\) of length \(4\kappa + 1\) labels, where \(\kappa\) is the number of edges assigned to vertex \(c \in S\). By concatenating all such strings together, we get a superstring of length \(4m + \kappa\) labels.

← Conversely, it can be shown that all sequences for the Joker De Bruijn problem can be reduced by reordering and overlapping to have a length of \(4m + \kappa\) labels, which can be translated in polynomial time to a vertex cover. Thus, if we can get a joker de Bruijn sequence of length \(4m + \kappa\) labels, we can get a vertex cover of size \(\leq \kappa\).

Making use of exact bounds from the \(O(1)\)-degree Vertex Cover problem, it is possible to show that SCS is APX-hard and, by label construction earlier, the Minimum-Length Joker de Bruijn problem with one
joker character per $k$ characters is also APX-hard and thus NP-hard. Note that the same proof holds with minor modifications for any bounded number of joker characters per $k$.

4.2. Implementation

We implemented the algorithms in Java. We used Gurobi ILP solver, version 6.5.2 (Gurobi Optimization, 2015). We set the method parameter in Gurobi to 3, as recommended, to improve the running time of the root relaxation process. We set a time limit for the ILP solver since solutions for $k = 5$ for DNA and $k \geq 3$ for an amino acid alphabet covering all $k$-mers with template $t$ of weight 1 did not terminate based on the default criteria. Running times were benchmarked on a single CPU of a 20-CPU Intel Xeon E5-2650 (2.3 GHz) machine with 384 GB 2133 MHz RAM.

4.3. Theoretical lower bound

We prove theoretical lower bounds for the $(1^{|t|}, 0^{k-1}, \Sigma)$-de Bruijn sequence.

**Theorem 3.** Denote $n(C, t, \Sigma)$ as the length of a $(C, t, \Sigma)$-de Bruijn sequence. Then,

$$n(C, t, \Sigma) \geq \sum_{i=0}^{t^d-1} C(i)/|\Sigma|^{|t|} \tag{5}$$

*Proof.* The number of $k$-mers is $\sum_{i=0}^{t^d-1} C(i)$. Since there are exactly $|t|$ joker characters per $k$-mer, the number of $k$-mers in the sequence can be reduced by at most $|\Sigma|^{|t|}$. For a noncyclic sequence, $k-1$ characters need to be added.

4.4. Results of greedy heuristic and ILP solver

We ran the greedy heuristic on $5 \leq k \leq 8$ for a DNA alphabet, with and without the reverse complement feature, and $3 \leq k \leq 4$ for an amino acid alphabet, with $C = 1^{2^d}$ and $t = 0^{k-1}$. We then ran the ILP solver, starting from the greedy solution, with a time limit of 4 weeks. We compared the solution with a random addition of $k$-mers that follow $t$ and the original de Bruijn sequences without joker characters. Results are summarized in Table 2.

To test the performance in covering $k$-mers multiple times, we ran the greedy heuristic on $k=6$, DNA alphabet, $t = 0^{k-1}$ and $C = p^{2^d}$, where $1 \leq p \leq 16$. We compared the results with the original de Bruijn sequence and a theoretical lower bound. Results are summarized in Table 3.

5. DISCUSSION

Sequence libraries that cover all $k$-mers are instrumental in measuring protein interactions in a universal and unbiased manner, but they are limited by the exponential growth of $k$-mers as $k$ increases. Shrinking

| Alphabet    | DNA | Reverse complement DNA | Amino acid |
|-------------|-----|------------------------|------------|
|             | 5   | 6        | 7   | 8   | 5   | 6        | 7   | 8   | 3   | 4   |
| de Bruijn   | 1028| 4101     | 16,390| 65,543| 516 | 2085     | 8198| 32,903| 8002| 160,003|
| Random      | 1544| 7259     | 33,438| 153,447| 719 | 3293     | 16,127| 70,007| 2426| 69,483|
| Greedy      | 314 | 1415     | 4689 | 19,903| 204 | 797      | 2519| 10,983| 629 | 9919 |
| ILP         | 290 | 1159     | 4436 | 19,903| 162 | 678      | 2413| 10,784| 623 | 9699 |
| Lower bound | 260 | 1029     | 4102 | 16,391| 132 | 525      | 8231| 402   | 402 | 8003 |
| Greedy/de Bruijn | 0.31 | 0.35 | 0.29 | 0.30 | 0.40 | 0.38 | 0.31 | 0.33 | 0.08 | 0.06 |
| ILP/de Bruijn | 0.28 | 0.28 | 0.27 | 0.30 | 0.31 | 0.33 | 0.29 | 0.33 | 0.08 | 0.06 |
| Greedy time [s] | 1.12 | 7.26 | 50.78 | 1213 | 1.58 | 9.54 | 74.96 | 1647 | 5.21 | 1129 |

Results are compared with the original de Bruijn sequence, a random algorithm, and a theoretical lower bound. ILP, integer linear programming.
these $k$-mer libraries is needed to enable an increase in $k$ to measure interactions in greater detail. In this work, we solved this problem by utilizing a novel idea of using joker characters that represent all possible characters in the alphabet. We presented the first algorithm to solve the problem of covering a given set of $k$-mers, such that the positions and number of the joker characters follow a given template. We prove that the problem is NP-hard and suggest a novel heuristic to solve it. The solution is based on a greedy heuristic that performs quite well by itself and then shows improvement by solving an ILP formulation. The results are very close to theoretical lower bounds, implying that the solution is near optimal.

One clear advantage of our solution is its generality and flexibility. The alphabet is given as the input, enabling a solution to any set of characters, for example, unnatural amino acids in the amino acid alphabet. Moreover, since the problem is to cover a given set of $k$-mers, we can support exclusion of specific $k$-mers for technical reasons. More generally, the solution also supports variable $k$-mer multiplicities and different positions and numbers of joker characters.

There are several limitations in our study. First, our algorithm is not guaranteed to produce an optimal result in polynomial time. The greedy heuristic is not guaranteed to produce an optimal result. However, we show empirically that it performs very well and produces a result that is close to the lower bound. The ILP solver is guaranteed to produce an optimal result, but is not guaranteed to terminate in polynomial time. In general, the problem we solve, as well as an ILP, is NP-hard. Second, the joker library introduces ambiguity in the measurements. Shrinking the library size comes with a cost of a smaller sample size, lowering the statistical robustness of inferred scores.

Several open questions remain from our study. First, is there an optimal solution that runs in time polynomial in $O(\sum_{i=0}^{\lfloor k/2 \rfloor - 1} C(i))$? Second, is there a good enough heuristic that runs in time linear in the output length, that is, $O(\sum_{i=0}^{\lfloor k/2 \rfloor - 1} C(i)/|\Sigma|^{|i|})$, or at least asymptotically faster than Algorithm 1? Third, can we provide tighter lower and upper bounds?

In summary, this work presented a new library design that covers a given set of $k$-mers at a size that is almost $1/|\Sigma|^{|i|}$ smaller compared with current libraries. This implies the ability to measure interactions with longer $k$-mers and a reduction in cost. We made the implementation and calculated libraries that are freely available for other researchers to use for their sequence sets. With smaller libraries and increase in $k$, research and measurements of protein interactions will advance significantly.

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**AUTHOR DISCLOSURE STATEMENT**

The authors declare that no competing financial interests exist.
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