Wind Directions Retrieval from SAR Image Combining the Spatial and Spectral Domains: A Novel Approach Using a Modified Circle Median Filter

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Abstract. Sea surface wind is of great importance for weather forecasts, oil spill monitoring, etc. Currently, sea surface wind by using reversion techniques from synthetic aperture radar (SAR) images has become emerging means to get more fine-grained wind products. In general case, the retrieval of wind speeds from synthetic aperture radar (SAR) images requires the input of wind directions. There are two conventional methods of retrieving wind directions from SAR images, namely, two-dimensional fast Fourier transform (2D FFT) method in the spectral domain and local gradients (LG) method in the spatial domain. However, the spectral method works fine only on open oceans and large image areas, such as 20×20km and the spatial method is likely aligned with wind streak. To improve the accuracy and resolution of 2D FFT-retrieved wind directions, a new approach by combining the wind directions retrieved from 2D FFT and LG with a modified cycle median filter (CMF) is proposed in this paper. In our experiment, the proposed method is validated at different spatial resolutions, and the resolution of FFT-retrieved wind directions can be improved to 3km×3km.

1. Introduction

Synthetic aperture radar (SAR) has been one of the most efficient instruments to obtain sea surface wind (SSW) information at high spatial resolutions over large areas. In 1986, Gerling et al. [1] found that the linear structure in the SAR image was related with the wind direction (WD) and extracted the WD from SAR spectra. Besides, marine atmosphere boundary layer (MABL) rolls were also observed in SAR images as linear streaks which were consistent with WD [2]. From then on, researchers have paid great attention on retrieving WD based on the wind streaks in the SAR images.

The main methods of WD retrieval from SAR images include the fast Fourier transforms (FFT) [3], wavelet transform (WT) [4], local gradients (LG) [5], and so on. In 1996, Vachon et al. extracted WD through spectral analysis by FFT. But FFT has a weakness for small image, e.g. 10km×10km [6]. In 2003, LG which extracts WD by acquisition of the orthogonal of the most frequent gradient direction was proposed by Koch et al. [7] LG overcomes the shortcoming of FFT and works better than FFT on the spatial sampling of 10km×10km, even 1km × 1km. The WD from a SAR image by FFT or LG exists 180° ambiguity that is removed referencing to weather model output, Doppler shift or land shadows [7, 8].
When retrieving WDs from a SAR image, the SAR image is divided into a set of wind vector cells (WVCs). The direction at each WVC is extracted by FFT or LG. However, there are some isolated impulses in WDs because of noise. The essence different of FFT and LG is that they represent information from spectral domain and spatial domain respectively. In this way, considering combining the two information is meaningful. The cycle median filter (CMF) technique is frequently used for the ambiguity removal in wind vector retrieval from scattermeter [9, 10]. CMF selects a wind vector that is the closest vector to the true wind vector out of a set of ambiguous wind vectors at each WVC [9]. In this way, CMF can be used to improve the accuracy of FFT-retrieved WDs by combining LG-retrieved WDs from a SAR image.

In this study, we use a modified CMF to improve the accuracy of FFT-retrieved WDs from SAR images by combining LG-retrieved WDs that represent the directions from the spatial domain. Our method is validated with different spatial resolutions using WD from National Data Buoy Center (NDBC).

The remainder of this paper is organized as follows. In section 2, the data used in this study are introduced. Section 3 describes the proposed method in detail. The performance of the method is validated in section 4. Conclusions are drawn in section 5.

### 2. Data Preparation

Sentinel-1 interferometric mode (IW) Ground Range (GRD) Vertical-Vertical (VV) polarized SAR images can be downloaded from European Space Agency (ESA) Sentinels Scientific Data Hub with a nominal resolution of 20×22m and pixel spacing of 10m in range and azimuth, respectively. In this study, we concentrate on WDs retrieval from VV-polarized SAR images. Geometric calibrations are carried out by The Sentinel Application Platform 5.0 (SNAP 5.0) [11].

| Start Time        | End Time        | Center Lat(°N) | Center Lon(°E) |
|-------------------|-----------------|----------------|----------------|
| 2017-05-18T14:16:04Z | 2017-05-18T14:16:29Z | 36.7694        | -123.3518      |
| 2017-05-18T23:35:38Z | 2017-05-18T23:36:03Z | 24.5454        | -82.5721       |

| Station | Latitude (°N) | Longitude(°E) | Wind Direction (°) | Time       |
|---------|---------------|---------------|-------------------|------------|
| 46012   | 37.363        | -122.881      | 318               | 2017-05-18-14-20 |
| plsfl  | 24.693        | -82.773       | 97                | 2017-05-18-23-40 |

Two Sentinel-1 IW mode SAR images with wind streaks are used in our study. Their information is listed in Table 1. Associated with SAR images, the in situ National Oceanic and Atmospheric Administration (NOAA) NDBC buoys are collected, which are listed in Table 2, including WD at the same time.

### 3. Method with Modified Circle Median Filter

For wind vector retrieval from scattermeter, a modified median filter is used to select a unique wind vector out of a set of ambiguous wind vectors at each WVC. In this study, we regard WDs extracted by FFT and LG as ambiguous WDs.
For a set of data $A$ with length of $N$ and circular data $x_i \in A$, $1 \leq i \leq N$, the median $y$ can be expressed as:

$$y = \arg\min_{x \in A} \sum_{i=1}^{N} |x - x_i|$$

(1)

Based on (1), a median filter is implemented by constructing a fixed-length data window which passes over the data and a set of median data is acquired. For a set of data $A$ with length of $N$, window length is set as $M$. At step $j$, the data in the window is $D_j = \{x_d | j - h \leq d \leq j + h, h = M / 2\}$. As in the following, the expression of (1) can be rewritten as:

$$y_i = \arg\min_{x \in D_j} \sum_{m=i-h}^{i+h} |x - x_m|$$

(2)

In order to apply the median filter into SAR image, extending (2) for two-dimensional data is necessary:

$$y_{ij} = \arg\min_{x \in D_{ij}} \sum_{m=i-h}^{i+h} \sum_{n=j-h}^{j+h} |x - x_{mn}|$$

(3)

where $D_{ij} = \{x_{pq} | i - h \leq p \leq i + h, j - h \leq q \leq j + h, h = M / 2\}$ is the data in $M \times M$ window.

We consider a two-dimensional WDs $W_{ij} = \{x_{mn}^{1}, x_{mn}^{2} | x_{mn} \in D_{ij}\}$, where each grid point $(m, n)$ has two WD values, which are associated with WDs assigned by the FFT and LG algorithm. Let $F_{ij}, L_{ij}$ be the set of FFT-retrieved WD and LG-retrieved WD at grid point $(i, j)$. Thus,

$$W_{ij} = F_{ij} \cup L_{ij} \quad \text{for all } i, j$$

(4)

Let $F_{ij}$ be the initial WD filed at $(i, j)$ grid. In this way, expression (3) can be written as:

$$y_{ij} = \arg\min_{x \in F_{ij}} \sum_{m=i-h}^{i+h} \sum_{n=j-h}^{j+h} |x - x_{mn}|$$

(5)

At the center of the filter window, we can get a median $y_{ij}$ at each window. The modified CMF can be written as:

$$z_{mn} = \arg\min_{k=(1,2)} \sum_{m=i-h}^{i+h} \sum_{n=j-h}^{j+h} |y_{ij} - x_{mn}^{k}|$$

(6)

where $x_{mn}^{k} \in W_{ij}$, $z_{mn}$ is the new data in $M \times M$ window.

For each window, the purpose is to select the WD that is closest to the median of the window at each grid point in $W_{ij}$. The $z_{mn}$ is the value from $W_{ij}$, which is closest to $y_{ij}$. In this way, we get a new WD field $Z_{ij} = \{z_{mn}\}$. The $Z_{ij}$ is used to replace $F_{ij}$, and the filter selection process continues for each grid point $(i, j)$ to create new $Z_{ij}$. The whole process is repeated to select a new $Z_{ij}$ until $Z_{ij} = Y_{ij}$. In addition, the WDs from LG or FFT have $180^\circ$ ambiguity, it’s essential to remove $180^\circ$ ambiguity with WD from NDBC for both FFT-retrieved and LG-retrieved directions firstly.

In the WDs retrieved by FFT, the isolated impulses often happen because of the noise. For the smoothness and continuity of wind filed, it’s useful to replace isolated impulses with median value directly, but it’s a statistical-based method. Our method that uses WDs from LG to replace the error directions from FFT is more meaningful. Besides, it’s effective to improve the resolution of FFT-retrieved WDs.
Figure 1. (a) The first SAR image listed in Table 1 in VV polarization with a resolution of 20m. (b) The WDs computed from 10m pixels on a 5km grid by FFT in white color and LG in red color on a 44km by 44km sub-image that is indicated by green box in (a). (c) The WDs from our method on the same sub-image in (b). The blue arrow is the WD from buoy ‘46012’ at the corresponding time.

4. Experimental Result and Analysis

The wind streaks don’t appear in all regions of the SAR image, so we find the wind streak patterns in a SAR image and apply FFT and LG to the sub-images at these locations. Besides, 180° ambiguity should be removed by buoy WD after applying FFT and LG. The default window size of our method is 5 and the step that the window passing the data is 2.

The first SAR image listed in Table 1 and acquired by Sentinel-1 IW mode in VV polarization with a resolution of 20m is shown in Figure 1(a). The SAR image is shown in grey and the land in the SAR
image has been masked out. Besides, associated with the first SAR image, an in suit buoy is marked in red diamond in Figure 1(a). On a 44km by 44km sub-image that is indicated by green box in Figure 1(a), Figure 1(b) shows the WDs computed by FFT in white color and LG in red color. WDs are computed from 10m pixels on a 5km grid. The blue arrow (318°) in left-button is the WD from in suit buoy ‘416012’. The acquisition time of WD from buoy and SAR images is less than 5 minutes. In addition, the WDs here are in the same coordinate, in which the direction of the northward and eastward wind vector is 0° (or 360°) and 90° respectively.

As illustrated in Figure 1(b), the FFT-retrieved WDs are aligned with wind streaks closer than LG-retrieved WDs. That’s the reason why we use LG-retrieved WDs to improving accuracy of FFT-retrieved WDs, rather than the other way around. However, there are some isolated impulses in FFT-retrieved WDs because of noise. Figure 1(c) is the same as 1(b) except the green arrow that is the WDs from our method. It’s obvious that our method rectifies FFT-retrieved WDs at right-top region.

In general, FFT is effective on 20km×20km WVC and the difficulty of extracting WD by FFT is inversely related to the size of WVC [15]. When the wind resolution increases, FFT-retrieved WDs have more and more impulses. As shown in Figure 1, our method is effective on 5km×5km. In Figure 2(a), the second SAR image listed in Table 1 and an in-suit buoy marked in red diamond is shown. Figure 2(b) is a 37km×33km sub-image indicated by the green box in Figure 2(a). And the FFT-retrieved WDs in white color and WDs from our method in green color that are acquired on a 4km grid are illustrated in Figure 2(b). In Figure 2(b), the WDs from our method are almost covered by FFT-retrieved and the steps described in Section 3 just run once. Figure 2(c) is the same region as Figure 2(b), but FFT-retrieved WDs and WDs from our method are both computed on a 3km grid. Note that, there are much more impulses in the FFT-retrieved WDs and the steps are repeated twice. In both 4km-grid and 3km-grid, our method shows a great performance.

In fact, CMF is always effective when over 50% of the FFT-retrieved WDs are right. However, wavelength of wind streak is between 500m and 1500m [5]. When retrieving WDs from smaller regions, the wind information can’t be acquired from spectral domain of SAR image. Therefore, it’s more difficult to retrieve WD when the grids become smaller. In Figure 3, the FFT-retrieved WDs in white color are shown on a 1km grid and the results of our method in green color have changed the mostly wrong directions. However, as there are too many impulses, it is difficult to rectify all of them. Consequently, the effectiveness of our method is limited by the weakness of FFT.

Figure 2. (a) The second SAR image listed in Table 1 in VV polarization with a resolution of 20m. (b) The WDs computed from 10m pixels on a 4km grid by FFT in white color and our method in green color on a 37km by 33km sub-image that is indicated by green box in (a). (c) The WDs computed on a 3km grid by FFT in white color and our method in green color. The blue arrow at left-button area is WD from buoy ‘plsf1’ at the corresponding time.
5. Conclusion

In this paper, we have concentrated on improving accuracy of FFT-retrieved WDs filed retrieved from the VV-polarized SAR image by combining the FFT-retrieved directions and LG-retrieved directions. Considering the information of spectral domain and spatial domain, a modified CMF has been proposed.

In this work, we have modified CMF to select the WD that is closest to the true WD from FFT-retrieved WDs and LG-retrieved WDs. Two VV-polarized SAR images from Sentinel-1 IW mode have been used to validate our method and the results show the effective. Besides, we have analysed the performance of our method at different WDs resolutions. Since the wavelength of wind streaks is between 500m and 1500m, the resolution of FFT-retrieved WDs is limited. With the WDs resolution increasing, it’s more and more difficult to retrieve WDs filed using our method, which is caused by the weakness of FFT. However, our method can get higher WDs resolution than that from FFT. Besides, according to our analysis, the new method has high performance in a 3km×3km grid.

In particular, the filter size and step length of our modified CMF are not discussed in this work, and we use filter size of 5 and set step of 2 as default. One thing that has to point out is that many WD retrieval methods can be used to replace LG in our method. The settings of CMF and the WD retrieval method in the spatial domain will be tuned in the future work.

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