Are visual functions diagnostic signs of the minimally conscious state? an integrative review

Berno U. H. Overbeek1,2,3 · Henk J. Eilander1 · Jan C. M. Lavrijsen1 · Raymond T. C. M. Koopmans1

Received: 22 December 2017 / Revised: 4 February 2018 / Accepted: 5 February 2018 / Published online: 28 February 2018
© The Author(s) 2018. This article is an open access publication

Abstract
Visual pursuit (VP) and visual fixation (VF) have been recognized as the first signs of emerging consciousness and, therefore, are considered indicative of the minimally conscious state (MCS). However, debate exists about their status as they are considered either conscious reactions or reflexes. The aim of this study is to review the evidence of the definition, operationalization, and assessment of VP and VF in unconscious patients. PubMed and EMBASE were searched for relevant papers between May 26, 1994 and October 1, 2016. In addition, an internet search was done to identify other relevant papers, reports and manuals of assessment methods. Papers were included if the definition, operationalization, or assessment method of VP and VF was discussed in patients with disorders of consciousness. We identified 2364 articles, of which 38 were included. No uniform definitions of VP and VF were found. VP and VF were operationalized differently, depending on which scale was used. The Coma Recovery Scale-revised and the Sensory Tool to Assess Responsiveness were the only diagnostic scales found; the other scales were developed to monitor DOC patients. The use of a mirror was the most sensitive method for detecting VP and VF. The literature about the importance VP and VF in relation with consciousness is controversial. This integrative review shows a lack of consensus regarding the definition, operationalization, and assessment of VP and VF. International consensus development about the definition, operationalization, and assessment of VP and VF is recommended.

Keywords Disorders of consciousness · Minimally conscious state · Visual pursuit · Visual fixation

Introduction
The unresponsive wakefulness syndrome, previously named vegetative state (UWS/VS) [1], and the minimally conscious state (MCS) are one of the worst possible outcomes of acquired brain injury. Patients in UWS/VS show no signs of consciousness [2], whereas MCS patients demonstrate minimal signs of consciousness such as following simple commands, gestural and/or verbal yes/no responses, intelligible verbalization, and purposeful behavior [3]. Complexity of behavior varies between MCS patients; therefore, subcategorization into MCS − (minus) and MCS+ (plus) was proposed. Patients in MCS − only demonstrate non-reflex behavior, whereas MCS+ patients demonstrate command following [4]. Differentiating between UWS/VS and MCS is difficult, as demonstrated by misdiagnosis rates of around 40% [5–8]. A correct diagnosis of MCS is important for several reasons. First, prognosis is more favorable compared to UWS/VS. A follow-up study showed that improvement beyond a year was absent in UWS/VS patients, whereas 1/3 of MCS patients emerged to consciousness beyond a year [9]. Second, MCS patients might have pain perception capacity, which has consequences for pain management [10]. Third, MCS patients have better outcomes from early intensive neurorehabilitation [11–13], recently confirmed in a long-term follow-up study [14]. Fourth, MCS patients may benefit from promising treatment options such as deep brain stimulation [15, 16] and pharmacologic therapies [17–19]. Compared to UWS/VS, other ethical dilemmas may arise in
MCS patients, e.g., regarding suffering or withdrawing or withholding medical treatment [20].

Currently, an accurate diagnosis of MCS is based on behavioral assessment. Techniques, like neuroimaging, have not been implemented in clinical practice yet. Visual pursuit (VP), which has also been described as visual tracking, and visual fixation (VF) are considered the first signs of emergence of consciousness [21, 22]. According to the Coma Recovery Scale-Revised (CRS-R), which is the most used assessment scale, VP and VF are clinical signs denoting MCS [23]. According to the CRS-R, VP is present when a moving mirror is followed for 45° without loss of fixation in two of four directions, whereas VF is present when the eyes move from the initial fixation point and re-fixate more than 2 s in two of four trials [24].

Previously, it was demonstrated that failure to detect VP and VF caused misdiagnosis of MCS [7]. This was confirmed in a Dutch prevalence study about UWS/VS [8]: 39% of the reported UWS/VS were misdiagnosed and were at least in MCS. In the 15 MCS patients, VP was seen in 8 of them and VF in one. It remains subject of debate whether or not VP and VF are clearly discernible signs of consciousness. In 1994, the Multi-society Task Force on Persistent Vegetative State (MSTF) reported that VP and VF could be either considered as signs of consciousness or as brief visual orienting reflexes. The MSTF advised to be cautious in diagnosing UWS/VS if VP and/or VF are observed [2]. In 1996, an International Working Party doubted the relation of VP with the conscious state, considering the sole presence of VP not as a reliable sign of consciousness [25, 26]. In 2002, the definition and diagnostic criteria for MCS were published [3]. These criteria were consensus based due to the lack of scientific evidence about diagnosis and prognosis of MCS. VP was incorporated into the criteria as it was considered an example of purposeful behavior based on the following data: VP was associated with late improvement [27], more prevalent in MCS patients [21], and preceded interactive and social behavior later in the recovery course [28]. Regarding the incorporation of VF into the criteria of MCS, no supporting data were reported. Currently, the question whether VP and VF are signs of consciousness still remains debatable. However, in daily practice and in the most recommended assessment scale [23, 24], VP and VF are considered important signs of MCS.

To determine if VP and VF are indicative of consciousness, data about their diagnostic validity are necessary. In 2014, a review about eye movement measurement in the diagnostic assessment in disorders of consciousness (DOC) [29] focused on quantitative techniques to measure eye movements rather than on behavioral assessment. However, this review did not address the question whether VP and/or VF are diagnostic signs of consciousness.

The aim of this study is to review the evidence about definition, operationalization and assessment of VP and VF in relation with the state of consciousness.

**Methods**

We performed an integrative review, which provides a comprehensive understanding of a particular phenomenon or healthcare problem. This method has the possibility to include a variety of data [30, 31].

**Search strategy**

The databases of PubMed and EMBASE were searched from May 26, 1994 until October 1, 2016. The publication of the position paper of the Multi-society Task Force on the Persistent Vegetative State was chosen as start date, because they discussed the significance of VP and VF for both UWS/VS and higher levels of consciousness [2]. We searched on the internet for guidelines, reports and for manuals of assessment scales and searched the websites of international taskforces on DOC for relevant papers. The bibliographies of the selected articles were searched for additional relevant papers. Searches were limited to the English, German, French, and Dutch languages.

Two search strategies were used: a broad, general search regarding diagnosis and prognosis in DOC patients and a more specific search related to the use of VP and VF in the diagnosis of DOC.

For the broad general search, we combined patient-related terms like ‘persistent vegetative state’ and ‘minimally conscious state’ with a diagnostic filter and the terms ‘misdiagnosis’, ‘assessment’, and ‘prognosis’. For the specific search, we combined the previously mentioned patient-related terms with terms like ‘visual pursuit’, ‘visual tracking’, ‘visual fixation’, ‘visual perception’, and ‘vision disorders’. Finally, we combined the results of the broad and the specific searches (Supplement 1).

**Study selection**

Papers were selected if they met one or more of the following inclusion criteria: (1) VP and VF were discussed, either described as DOC in general or described as UWS/ VS and/or MCS; (2) the etiology of UWS/VS and MCS was brain injury caused by an acute incident; (3) discussion of the operational definition of VP and/or VF; (4) discussion of different assessment methods; (5) use of an assessment scale testing VP and/or VF; (6) discussion of assessment

---

1. We will use the term visual pursuit. When we refer to a specific paper, we will use the terminology mentioned in that paper.
items of either VP and/or VF; and (7) discussion of influencing factors on visual responses in the assessment of DOC. Papers were excluded if DOC was caused by neurodegenerative diseases and if VP and VF were discussed in patients without DOC.

**Data extraction and validation**

The first author (BO) reviewed the papers. In case of doubt, a second reviewer (HE) was consulted. After discussion, a decision about inclusion was reached by consensus.

Before reviewing all citations, agreement about the inclusion and exclusion criteria was investigated. Two researchers (BO, HE) independently screened a sample 200 titles and abstracts. After extracting 2 duplicates, 198 papers were checked. Agreement about direct inclusion or papers eligible for further analysis of full text was reached in 168 (85%) of the papers.

Since disagreement existed about a considerable number of papers (n = 30, 15%), we added another search strategy. If based on title and abstract no decision could be made, the full text was electronically screened with the term ‘visual’ to find the terms ‘visual pursuit’, ‘visual fixation’, and ‘visual tracking’. If one of these items was discussed in patients with DOC, the article was eligible for screening of the full text. If not, the paper was excluded. Reanalysis of the 30 papers resulted in disagreement in 2 papers. Thus, adding this method to the search strategy decreased disagreement from 15 to 1%. Disagreement about inclusion was resolved through discussion between both reviewers, which led to consensus.

The selected papers were analyzed by the first author with a data extraction form. This form contained information about: type of article, aim, study subjects, outcome measures, main results, and conclusions.

**Results**

**Included studies**

Through the database search, 2351 papers and 13 additional documents were found (Fig. 1). After screening all titles and abstracts, 96 papers and documents were selected for full text analysis. No decision based on title and abstract could be made for 169 papers. Electronic full text screening of these papers yielded 111 eligible for further analysis. In total, full text of 207 papers was analyzed. Eventually, 34 papers could be included. After manual searching the bibliographies of the selected papers, four additional papers were included. The final sample consisted of 38 papers.

**Definition**

Descriptions of VP and VF were found in six papers; however, no uniform definitions of VP and VF were found. The papers provided eight descriptions of VP and 3 of VF [3, 25, 26, 32–34] (Table 1). VP was denoted by the terms eye tracking, tracking eye movements, horizontal and vertical tracking and pursuit eye movements [3, 25, 26, 32, 34]. VP was described as following objects or people [25, 26], as localizing to a visual stimulus [32], as the ability to follow in the horizontal and visual fields [32], and as a reaction to a moving stimulus [3]. VF was denoted by eye contact which was further explained as the patient’s gaze during the majority of the assessment session [32], as sustained fixation in response to a salient stimulus [3], and as active looking at or for objects [33].

**Assessment and operationalization of VP and VF**

Assessment and operationalization of VP were found in 14 papers in which 9 assessment scales were discussed [23, 24, 28, 32, 34–43] (Table 2). Another scale, the Sensory Modality Assessment Rehabilitation Technique (SMART) was identified [44], but could not be included, since this scale was not available for evaluation. The assessment scales were developed with different purposes and have different testing procedures and variable operational criteria. Scales with a diagnostic purpose are the CRS-R and the Sensory Tool to Assess Responsiveness (STAR) [23, 24, 43]. In these scales, VP indicates MCS. Scales with purposes of detecting and monitoring signs of consciousness are the Western Neuro Sensory Stimulation Profile (WNSSP) [32], Disorders Of Consciousness Scale (DOCS) [35], Loewenstein Communication Scale (LCS) [36], Comprehensive Assessment Measure for Minimally Responsive Individuals (CAM-MRI) [37, 38], Sensory Stimulation Assessment Measure (SSAM) [34], Coma Near Coma Scale (CNC) [39, 40] and the Wessex Head Injury Matrix (WHIM) [28, 41, 42]. VP was tested with different stimuli: objects [28, 32, 34–38, 41, 42], pictures and/or photographs [32, 35, 37, 38], mirror [23, 24, 32, 35, 37, 38, 43], and an individual [28, 32, 34, 36, 39–42]. In the CRS-R [23, 24], VP was operationalized as following a mirror without loss of fixation in 2/4 trials. In the STAR [43], VP is operationalized slightly different from the CRS-R, the number of trials which is 4 compared to 2 in the CRS-R and the duration of fixation on the mirror is set on 2 s or longer. In the Wessex Head Injury Matrix (WHIM) [28, 41, 42], VP is tested in four reactions, which each have a separate operational definition. A reaction is present if the observed reaction is in accordance with the operational definition of the reaction. The other scales score VP by rating the observed reactions with points [32, 34–40].
VF was assessed and operationalized in 12 papers, which discussed seven assessment scales [23, 24, 28, 32, 35–42] (Table 3). Testing procedures and operationalization varied between the scales. The only scale with a diagnostic purpose is the CRS-R [23, 24]. In this scale, VF indicates MCS. Scales with purposes of detecting and monitoring signs of
consciousness are the WNSSP [32], DOCS [35], LCS [36], CAMMRI [37, 38], CNC [39, 40] and the WHIM [28, 41, 42]. VF was tested with different stimuli: an individual [28, 32, 37, 38, 41, 42], pictures of familiar faces [28, 35, 37, 38, 41, 42], brightly colored or illuminated objects [23, 24, 37, 38], a mirror [37, 38], objects [28, 37, 38, 41, 42] and light flashes [39, 40]. The CRS-R operationalizes VF as re-fixation on an object 2 s or longer and indicates MCS [23, 24]. In the WHIM, 8 reactions test VF, each reaction has its own operational definition and VF is considered present if the operational definition is met [28, 41, 42]. The other scales score VF by rating to different observed reactions with points [32, 35–40].

### Assessment of visual pursuit

Assessment of VP was discussed in seven papers [45–51] (Table 4). Results were found about the direction of tracking [45, 47], time of assessment [46], different stimuli [47, 50, 51], quantitative assessment with an eye tracker device [48], and the use of personalized stimuli [49].

Regarding direction of tracking, 48% of 76 head injured adults showed a tracking preference: 28% in the horizontal fields and 20% in the vertical fields [45]. Another study investigated the tracking preference in MCS patients and showed that the MCS-group had a preference of tracking in the horizontal field whereas in MCS + no tracking preference was found [47].

Individual variability of VP within the day was investigated and the highest probabilities for detecting VP were seen at 10.30 AM and at 3.00 PM. The lowest probability for detecting VP was at 2.00 PM, being a post-prandial time point [46].

The use of a mirror was the stimulus with the highest scores in DOC patients. In 1995, it was demonstrated that patients following a mirror had significantly higher mean scores on the visual tracking scale of the WNSSP compared to patients following an individual, picture, or object [45]. These results were confirmed by recent studies. VP was investigated in 51 MCS patients. Thirty-eight (75%) of them showed VP, and 11 (29%) only showed VP when a mirror was used [51]. Another study with 88 MCS patients investigated VP with different objects. VP was detected in 61/88 (69%) of patients, and in 16 (26%) of them VP was exclusively detected by a mirror [47].

VP was also studied in DOC patients quantitatively with an infrared eye tracker [48, 49]. Patients looked to either a moving red circle or a moving parrot, which were presented on a screen. VP was measured by electronically calculating the percentage of fixations on the target. MCS patients followed the target more frequent (32.9%) compared to UWS/VS patients (4.9%). In a second study from the same authors, a moving photo of a relative was added as an extra stimulus.
### Table 2  Assessment and operationalization of visual pursuit/visual tracking

| Author and year [references] | Assessment method | Purpose of method | Method of testing | Operationalization |
|------------------------------|-------------------|-------------------|-------------------|-------------------|
| Ansell et al. 1989 [32]      | WNSSP             | Measuring cognitive and communicative function in severely head injured patients. | Present mirror, picture, object in midline position. Slowly move item from left to right across midline. Repeat several times, if necessary. Use colored pictures, preferentially family pictures. Use bright objects or objects with moving parts. Tracking of individual: walk to the opposite side of the patient’s bed. Test tracking of all stimuli in horizontal and vertical planes. | **Scoring system (points)**: Horizontal tracking: 0: no response 1: following from midline to 1 side 2: following from midline to 2 sides 3: following across midline. Vertical tracking: 0: no response 1: following in 1 direction 2: following in 2 directions. |
| Bender Pape 2011 [35]        | DOCS              | Measuring neurobehavioral functioning during coma recovery. | Present 3D objects, familiar faces picture and mirror. Present in left visual field and slowly move across midline to right visual field, and vice versa. Present 3D object/familiar face in middle visual field and slowly move upward and downward. Present each item as many times as necessary to determine best response. Test in horizontal and vertical planes. | **Scoring system (points)**: 2: localized response. Visual orientation toward object. Separate scores for each visual field. Score 2 if tracking in at least one visual field. If 2 is not scored, have subject track themselves with a mirror. Separate scores for each visual field. |
| Borer et al. 2002 [36]       | LCS               | Provide information about communicative abilities in minimally responsive patients and indicator of rehabilitation potential. | Object, people. Vertical and horizontal planes. | **Scoring system (points):** 0: no tracking 1: inconsistent selective tracking 2: consistent selective tracking 3: diminishing tracking 4: constant qualitative tracking. |
| Giacino et al. 2004 [23], Giacino and Kalmar 2004 [24] | CRS-R             | Diagnostic distinction between UWS/VS and MCS. | Mirror, 4-6 inches in front of face, verbally encourage patient to fixate on mirror, move 45° to left, right, up, down. Repeat procedure, total of 2 trials in each direction administered. | **Response scored if:** Eyes must follow mirror for 45° without loss of fixation on 2 occasions in any direction. |
| Author and year [references] | Assessment method | Purpose of method | Method of testing | Operationalization |
|-------------------------------|-------------------|------------------|------------------|-------------------|
| Gollega et al. 2014, 2015 [37, 38] | CAMMRI | Detection of subtle signs of consciousness | Pictures/photographs, mirror, target stick (circle mounted on a stick). Up to three stimuli can be presented. Instruct client to look at stimulus, place 18 inches away from eye, tell client to keep looking at moving target. If no tracking observed with one stimulus, try another stimulus. Horizontal and vertical: slight arc of 45° from midline to left/right and up/down, respectively. Diagonal tracking: test only if at least partial horizontal and vertical tracking are observed, move stimulus slowly diagonally. Start in visual quadrant that showed the best tracking. Do 3 tests for each plane. | Scoring system (points): <br>Sustained visual pursuit showing localization response: Fixates on mirror for at least two seconds, at least twice, during the four trials. |
| Rader and Ellis 1994 [34] | SSAM | Measuring the unconscious patient for a long period over time | Separate assessor and rater looking at stimulus or stimulator. | Best response to stimulation recorded, points scored: <br>1: eye movement not different from baseline <br>2: eye opening in response to stimulus <br>3: visual tracking < 3 s: Eye movement toward stimulus, patient appears to be “looking at” stimulus or stimulator less than 3 s <br>4: visual tracking > 3 s: Eye movement toward stimulus, patient appears to be “looking at” stimulus or stimulator more than 3 s <br>5: blinks, opens, or closes eyes in response to command <br>6: answer to simple questions by eye movements |
| Rappaport 1990 [39], Rappaport et al. 1992 [40] | CNC | Detection of small changes in neurobehavioral status in patients in UWS/VS or near-vegetative states | Tell patient “look at me” move your face 20 inches away from side to side. Horizontal plane 5 trials | Scoring system (points): <br>0: sustained tracking (at least 3x) <br>2: partial tracking (1–2x) <br>4: no tracking |
| Stokes et al. 2016 [43] | STAR | Graded assessment of motor, sensory and communicative responses to sensory programme | Hold a mirror in front of the patient, move to all four quadrants of visual field. Horizontal, vertical. Repeat 3 times (4 trials in total), with a ten second delay after each trial. | Sustained visual pursuit showing localization response: Fixates on mirror for at least two seconds, at least twice, during the four trials. |
In MCS patients, a significant higher frequency of following the moving photo of a close relative was found (37.3%) compared to the images of the parrot (29.9%) and the circle (30.6%). In UWS/VS and healthy control subjects, no significant differences were seen between the applied stimuli [49].

Assessment of visual fixation

Assessment of VF was discussed in five papers [50, 52–55] (Table 5). Different stimuli were discussed: objects like a mirror, a ball, a light [52], familiar photographs and a card [53–55]. In the WHIM, VF is mainly tested by looking at a person. In one reaction tested by the WHIM an object was used, but was not further specified. Two studies tested VF in combination with the techniques Brain Computer Interface (BCI) and functional Magnetic Resonance Imaging (fMRI), respectively [53, 55].

Investigation of VF in MCS patients with different stimuli showed that VF was significantly more seen on the mirror (48%) compared to the ball (28%) and a light (25%) [52]. In an analysis of different items of the WHIM, maintaining gaze or gaze shifting reactions were more prevalent in MCS compared to UWS/VS patients [50].

Three studies discussed the use of visual stimuli with images of familiar persons. First, visual attention to a personal stimulus was compared to a neutral stimulus and patients oriented more frequent to the familiar image than to the neutral stimulus [54]. Second, in a BCI study, VF was investigated in patients with UWS/VS, MCS, locked-in syndrome and healthy controls. It was demonstrated that accuracies of attending to one’s own photo were higher than to unfamiliar photos. However, no differences between UWS/VS and MCS were found [53]. Third, an fMRI study investigated visual perception of different pictures in nine MCS patients and ten healthy controls [55]. In 6/9 MCS patients and all healthy controls looking at family pictures had higher activation in the visual networks compared to looking at other pictures.

Influencing factors

Five influencing factors on visual responses were discussed in eight studies: within-day variability [56], inter-rater reliability (IRR) differences due to profession and/or experience [57, 58], presence of an informal caregiver [59], duration of assessment [60], and influences of visual/oculomotor impairments [6, 61, 62] (Table 6). Most of the results of these studies presented CRS-R visual subscale scores, which were not subdivided in VP and/or VF. First, visual subscale scores on the CRS-R were higher in the morning than in the afternoon, which could be explained by individual changes in visual functioning or by the presence of
Table 3  Assessment and operationalization of visual fixation

| Author and year [references] | Assessment method | Purpose of method                                                                 | Method of testing                                                                 | Operationalization                                                                 |
|------------------------------|-------------------|-----------------------------------------------------------------------------------|-----------------------------------------------------------------------------------|-----------------------------------------------------------------------------------|
| Ansell et al. 1989 [32]      | WNNSP             | Measuring cognitive and communicative function in severely head injured patients    | Observation of focusing of patient on the examiner                                | Scoring system (points)  0: eyes closed, 1: eyes open, not focused on the examiner, 2: eyes focused on examiner (50% or more), 9: unable to open the eyes (CN III paralysis) |
| Bender Pape 2011 [35]        | DOCS              | Measuring neurobehavioral functioning during coma recovery                           | Focus on familiar face. Hold picture of familiar person to patient for at least 1 year prior to injury approximately 18 inches to face for 5–10 s. Test in upper, lower, middle, left and right visual field | Scoring system (points)  2: localized response. Visual orientation toward object. Separate scores for each visual field Score 2 if focusing on familiar face in at least one visual field |
| Borer-Alafi et al. 2002 [36] | LCS               | Provide information about communicative abilities in minimally responsive patients and indicator of rehabilitation potential | Gaze is observed†                                                                  | Scoring system (points): 0: no use of the visual channel: closed eyes, no eye movements, no papillary response to stimuli, et cetera 1: congealed, freezed gaze 2: aroused look, eye movements apparently directed to the environment 3: inconsistency in focusing on stimuli 4: aroused look with consistent focus on stimuli |
| Giacino et al. 2004 [23], Giacino and Kalmar 2004 [24] | CRS-R             | Diagnostic distinction between UWS/VS and MCS                                      | Brightly colored or illuminated object presented in front of patient’s face, readily move to upper, lower, right, left visual fields Horizontal and vertical plane 2 trials in each plane | Scoring criteria Eyes move from initial fixation point and re-fixate at least 2 s on new target. At least 2 episodes of fixation are required |
| Gollega et al. 2014,2015 [37, 38] | CAMMRI         | Detection of subtle signs of consciousness                                          | Various stimuli: pictures/photographs, mirror, target stick (circle mounted on a stick) Position yourself so that you can present the stimulus in the client’s visual midline Tell client you have something for him to see. Ask him to try and do his best Place target where eye appears to look and 18” away from eye Move target away 6” or more where target was. Observe if the eye(s) look at the target and note for how long (less than 2 s or at least 2 s) Try to use visual targets of interest. Up to three stimuli can be presented. Do 4 tests: up/downward, left/right | Scoring system (points) Score 0: no fixation in any of the 4 trials, discontinue visual response testing (do not test visual tracking) Score 1: If client fixates gaze, score 1 and proceed with visual tracking test |
| Author and year [references] | Assessment method | Purpose of method | Method of testing | Operationalization |
|------------------------------|-------------------|-------------------|-------------------|-------------------|
| Rappaport et al. 1992 [39, 40] | CNC | Detection of small changes in neurobehavioral status in patients in UWS/VS or near-vegetative states | Light flashes 1 per second, in front, slightly left, right up and down, each trial 5 trials | Scoring system (points) 0: sustained fixation or avoidance (at least 3×) 2: partial fixation (1–2×) 4: none |
| Shiel et al. 2000 [28, 41, 42] | WHIM | Monitoring changes from coma to consciousness | **Behavior observed** Item 5: looks at person briefly | **Operational definition:** Eyes move aimlessly but remain on object/person when noticed. Briefly: impression of looking at **Behavior observed** Item 8: makes eye contact. Stand where patient is not directly seeing you, call patient’s name | **Operational definition:** Patient switches gaze and maintains eye contact for at least 3 s **Behavior observed** Item 9: patient is looking to person who is talking to them | **Operational definition** Gaze switch to person who is talking, at least for 3 s **Behavior observed** Item 13: looks at person giving attention | **Operational definition** Eyes rest at least 3 s on person giving attention **Behavior observed** Item 24: maintains eye contact for ≥ 5 s | **Operational definition** Looks at person for 5 s or longer **Behavior observed** Item 28: looks at object when requested, hold brightly colored object out of view, ask patient to look at object | **Operational definition** Holds up brightly colored object out of patients immediate view and ask patient to look at it **Behavior observed** Item 33: seeks eye contact | **Operational definition** Moves head or eyes to make eye contact and maintains this ≥ 3 s **Behavior observed** Item 35: looks at/explores pictures etc. | **Operational definition** Pictures, photographs etc.; looks to, puts down, looks at another picture, etc. **Behavior observed** Item 36: switches gaze from one person to another | **Operational definition** Two people present in room positioned so that patient’s eyes must move or head must be turned to switch gaze from one person to the other. Spontaneously looks from one person to another |

*No information given about testing procedure*
| Author, year [references] | Assessment item | Population | Assessment method | Results of study | Conclusion of authors |
|--------------------------|-----------------|------------|-------------------|------------------|-----------------------|
| Ansell 1995 [45]         | Direction of tracking | Closed head injury ($n = 76$) | WNSSP | 48% showed preference: 28% preference of tracking in horizontal plane, 20% in vertical plane No significant differences in tracking preference between patients who recovered and those who did not | Individual preferences for plane of tracking, no group effects |
| Candelieri et al. 2011 [46] | Time of assessment | UWS/VS ($n = 9$), MCS ($n = 13$) | CRS-R | Highest probability of observing visual tracking: 10.30 AM and 3:00 PM, lowest probability of detecting visual tracking: 2:00 PM | Time of assessment influences probability of detecting visual tracking |
| Thonnard et al. 2014 [47] | Type of stimulus | MCS ($n = 88$) | CRS-R | Patients tracked mirror (97%) over person (69%) and object (57%) | Majority of patients showed visual tracking when mirror was used |
| Thonnard et al. 2014 [47] | Direction of VP | MCS- ($n = 47$), MCS + ($n = 47$) | CRS-R | Entire group: significantly more horizontal ($n = 80$) than vertical tracking ($n = 61$) MCS-: significantly more horizontal ($n = 41$) than vertical tracking ($n = 35$) MCS + : no significant difference between horizontal and vertical tracking. Chronic patients: significantly more horizontal ($n = 61$) than vertical tracking ($n = 47$) | Patients in MCS showed preferential horizontal visual pursuit compared to vertical visual pursuit |
| Trojano et al. 2012 [48] | Quantitative assessment | UWS/VS ($n = 9$), MCS ($n = 9$) | Infrared eye tracker: Visual pursuit defined as series (bouts) of fixations Images of parrot or circle | On-target fixations: UWS/VS 4.9% (below chance level of 10%) MCS 32.9% | Proportion of on-target fixations significantly differentiated MCS from UWS/VS, whereas mean duration of fixation bouts did not |
| Trojano et al. 2013 [49] | Personal relevant stimulus | UWS/VS ($n = 13$), MCS ($n = 13$) | Infrared eye tracker Images of parrot or circle or face of relative | MCS: significant higher % of on-target fixations (37.3%) when looking at relative’s face compared to circle (29.9%) and parrot (30.6%) | Higher percentage of tracking to a personal relevant stimulus |
| Author, year [references] | Assessment item | Population                           | Assessment method | Results of study                                                                 | Conclusion of authors |
|---------------------------|-----------------|--------------------------------------|-------------------|----------------------------------------------------------------------------------|-----------------------|
| Turner-Stokes et al. 2015 [50] | Person/object   | UWS/VS \((n = 12)\)                  |                   | *Observed reaction state of consciousness* %                                      | No conclusion*       |
|                           |                 | MCS- \((n = 12)\)                    |                   | Eyes follow person moving in line of vision                                        |                       |
|                           |                 | MCS + \((n = 15)\)                   |                   | Tracks brightly colored object for 3-5 s:                                        |                       |
|                           |                 | Emerged \((n = 26)\)                 | WHIM              | MCS minus VS 1%; MCS- 38%; MCS + 73%                                             |                       |
|                           |                 |                                       |                   | Watches person moving in line of vision                                           |                       |
| Vanhaudenhuyse et al. 2008 [51] | Type of stimulus | MCS \((n = 51)\)                    | CRS-R             | Detection of visual tracking in individuals who showed visual tracking: mirror 95%, person 66% and object 55%, only tracking mirror 29% | More than a fifth of the patients only tracked a mirror (and not a moving person or object) |

*No conclusion drawn about visual pursuit/visual tracking, data derived from Table 2*
Table 5  Assessment of visual fixation

| Author, year [references] | Assessment item | Population | Assessment method | Results | Conclusion of authors |
|---------------------------|-----------------|------------|-------------------|---------|-----------------------|
| Di et al. 2014 [52]      | Use of mirror and/or ball and/or light | UWS/VS (n = 43)  
MCS (n = 38) | CRS-R | 49% of total population showed VF (all MCS); 48% showed VF in response to mirror, 28% to ball, 25% to light | The frequency of VF in patients with DOC is related to the stimulus used. MCS patients tended to fixate significantly more on their own reflection compared to a brightly colored or illuminated object. |
| Pan et al. 2014 [53]     | Subject’s own facial photo and an unfamiliar photo | Healthy Subjects (n = 4)  
UWS/VS (n = 4)  
MCS (n = 3)  
LIS (n = 1) | Visual hybrid brain computer interface  
CRS-R | Run 1: looking at own photo, accuracies in 5/8 patients (2 UWS/VS, 2 MCS, 1 LIS)  
Run 2: looking at unfamiliar photos, accuracies in 3/5 patients (1 UWS/VS, 1 MCS, 1 LIS)  
Run 3: looking at either own photo or unfamiliar photos, accuracies in 3/5 patients (1 UWS/VS, 1 MCS, 1 LIS), indicative of command following | Use of P300 and SSVEP BCI showed that VS, MCS and LIS patients looked accurately at either familiar or unfamiliar photos or to both photos. |
| Turner-Stokes et al. 2015 [50] | Fixation at individual or object | UWS/VS (n = 12)  
MCS- (n = 12)  
MCS + (n = 15)  
Emerged (n = 26) | WHIM item-by-item analysis | Observed reaction  
State of consciousness %  
Looks at person briefly  
UWS/VS  
UWS/VS: 14%; MCS-: 65%; MCS + 94%  
Makes eye contact (briefly)  
UWS/VS  
UWS/VS: 5%; MCS-: 35%; MCS +: 76%  
Looks at person giving attention  
UWS/VS  
UWS/VS 1%; MCS-: 36%; MCS +: 74%  
Looks at person talking to them (at least 3 s)  
UWS/VS  
UWS/VS: 1% MCS-: 32%; MCS +: 71%  
Maintains eye contact for 5 s and more:  
UWS/VS  
UWS/VS: 3%; MCS-: 28%; MCS +: 59%  
Looks at object when requested  
MCS minus  
UWS/VS/0%: 0%; MCS-: 15%; MCS +: 42%  
Seeks eye contact:  
MCS minus  
UWS/VS: 0%, MCS-: 6%; MCS +: 37%  
Looks at and apparently explores pictures  
MCS minus  
UWS/VS: 0%; MCS-: 1%; MCS +: 27%  
Switches gaze spontaneously from one person to another  
MCS minus  
UWS/VS: 0%, MCS-: 1%; MCS +: 22% | No conclusion about VF^a |
fragmentary cyclic processes [56]. Second, in two studies, IRR was investigated between different professionals and/or different levels of experience [57, 58]. The IRR on the visual subscale of the CRS-R was good ($k = 0.73$). The IRR of physicians was slightly higher ($k = 0.81$) compared to psychologists ($k = 0.68$) and a group of physiotherapists, speech therapists, and nurses ($k = 0.73$). Assessors who had $> 24$ months experience in assessing DOC patients showed a higher IRR ($k = 0.81$) than assessors with less experience ($k = 0.62$ for experience $< 24$ months and $k = 0.68$ for experience $< 12$ months) [57]. Another study showed a lower IRR for the visual subscale score of the CRS-R in experienced ($k = 0.48$) as well as in the less experienced assessors ($k = 0.47$) [58]. Third, the involvement of an informal caregiver in the assessment resulted in higher visual subscale scores on the CRS-R compared to assessment of a clinician alone [59]. Fourth, the duration of the assessment was investigated in 10 DOC patients. When two repeated assessment with the CRS-R (50–60 min) and 10 SMART assessment (600 min) were compared, this led to differences in the level of consciousness in 4/10 patients. In 2/4 patients, these differences were caused by detecting sustained VF with the SMART and not with the CRS-R [60]. Fifth, influences of visual impairments and/or oculomotor defects on assessment of the level of consciousness were found in 3 studies [6, 61, 62]. In misdiagnosed UWS/VS patients, 65% had visual impairments [6], and in MCS patients, 9/52 (17%) scored no visual responses on the CRS-R [62] and analysis of CRS-R subscale scores showed that visual problems such as optic nerve damage, ptosis, ocular apraxia and visual agnosia could cause improbable CRS-R scores [61].

**Discussion**

To our knowledge, this is the first review that addresses the question whether or not VP and VF are related to consciousness. We found that literature about the importance of these responses in relation with consciousness still is controversial. No agreed-upon definition of VP and VF was found and the assessment methods vary widely regarding procedures and operational criteria. However, the studies generally agreed that the use of a mirror is the most sensitive method to detect VP and VF.

The lack of an agreed-upon definition has led to international differences in interpretations. In the United States, VP and VF are considered signs of MCS, whereas in the United Kingdom (UK) these signs are atypical but viewed as signs of UWS/VS [63–65]. In addition, not operationally defined terms like 'brief' and 'sustained' VP and/or VF, can cause differences in interpretation with a risk of diagnostic inaccuracy. Furthermore, a recent expert opinion stated that there is no rationale why a brief visual response does not
| Author, year [References] | Factors | Population | Assessment method | Results of authors | Conclusion of authors |
|--------------------------|---------|------------|-------------------|--------------------|-----------------------|
| Andrews et al. 1996 [6]  | Visual impairment/blindness | UWS/VS (n = 40) admitted 1992–1995 | Diagnosis derived from medical records | 17/40 (43%) were misdiagnosed, 11/17 (65%) were blind or severely visually impaired | The very high prevalence of visual impairment is a complicating factor since physicians making a diagnosis of the vegetative state place great emphasis on the inability to visually track or blink to threat |
| Chatelle et al. 2016 [61] | Oculomotor defects | DOC (n = 1190); UWS/VS (n = 464); MCS (n = 586) | CRS-R | Oculomotor factors and improbable CRS-R scores<sup>a</sup> Ptosis or eye lid apraxia: VF/unarousable Bilateral optic nerve damage, Terson syndrome, cortical blindness: No visual response/consistent command following No visual response/functional communication Third and fourth cranial nerve palsy, ocular apraxia, visual agnosia: VF/functional communication VP/functional communication | CRS-R scores are subject to attributable inaccuracy of examiner error and other confounding that can lead to misinterpretation of results |
| Cortese et al. 2015 [56] | Variation during the day | UWS/VS (n = 7) MCS (n = 12) | CRS-R | CRS-R visual subscale higher in the morning than in the afternoon | CRS-R differences between morning and afternoon are likely to reflect individual changes in patient’s visual, auditory and motor conceivably due to changes in neuronal/non neuronal factors that modulate the brain state |
| Estraneo et al. 2015 [57] | Profession and experience of assessors | DOC (n = 27) | CRS-R | IRR CRS-R visual subscale All raters $k = 0.73$ Physicians $k = 0.81$ Psychologists $k = 0.68$ Nurse/physiotherapist/speech therapist $k = 0.73$ Expertise high (> 24 months) $k = 0.81$ medium (12-24 months) $k = 0.62$ low (< 12 months) $k = 0.68$ | Results did not change as a function of professional specialities or experience Good IRR was found for all subscales, especially for the visual subscale |
| Estraneo et al. 2015 [62] | Oculomotor defects | MCS (n = 52) | CRS-R | 9/52 MCS patients could not produce non-reflexive movements in the visual subscale | The visual subscale of the CRS-R could misdiagnose as UWS/VS as MCS patients with oculomotor defects could not produce non-reflexive responses on the visual subscale |
### Table 6 (continued)

| Author, year [References] | Factors                  | Population     | Assessment method | Results of authors                                                                 | Conclusion of authors                                                                 |
|---------------------------|--------------------------|----------------|-------------------|-------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
| Godbolt et al., 2012 [6]  | Duration of assessment   | DOC (n = 10)   | CRS-R SMART       | In 4/10 differences in diagnosis between CRS-R (2 assessments of 50 min) and SMART (10 assessments of 60 min). 2 additional MCS diagnosis based on visual fixation and visual tracking. | Brief behavioural assessment is not as effective as extended assessment in detecting non-vegetative behaviours. Total time spent in behavioural assessment is likely important. |
| Lovstad et al. 2010 [58] | Experience of assessors  | DOC (n = 31)   | CRS-R             | IRR experienced raters $k = 0.46$  
IRR less experienced raters $k = 0.47$  
TRR experienced raters $k = 0.86$  
TRR less experienced raters $k = 0.57$ | The auditory and visual subscales might be most susceptible to interrater disagreement for less experienced raters. |
| Sattin et al. 2014 [59]  | Presence of informal caregiver | DOC (n = 153) | CRS-R            | Significant difference in visual subscale between CRS-R done by rater alone and CRS-R done by rater + informal caregiver (effect size Cohen's D 0.33). Visual subscale scores were higher in assessments of rater + informal caregiver in MCS and severe disability compared to UWS/VS. | Informal caregivers can increase capacity of raters to detect visual responses. Visual stimuli furnished by familiar persons could be more attractive. |

Visual responses not further specified

*Only improbable combinations displayed in which VP or VF or absence of visual responses was involved.*
require consciousness and a sustained response does [66]. To conclude, evidence for the use of ‘brief’ and ‘sustained’ VP and VF for distinguishing UWS/VS from MCS is lacking.

A wide variety of assessment methods with variable operational criteria of VP and VF were found. Only the CRS-R and the STAR were developed with a diagnostic purpose. The other scales were mainly developed to monitor neurobehavioral functions. Judging the validity of the different scales is difficult because a golden standard is lacking for diagnosis of the level of consciousness. In 2010, 13 DOC assessment scales were reviewed. The CRS-R is the only scale recommended with ‘minor reservations’ because it has acceptable administration and scoring guidelines and good content validity. Despite the recommendations for clinical use, the authors of this review stated that evaluation of diagnostic validity remains problematic. Diagnostic validity was unproven for all assessment scales and interpretation is difficult because of the lack of a standard criterion measure for the assessment of the level of consciousness [67].

The use of a mirror appeared to be the most sensitive method to detect VP and VF [47, 51, 52]. It has been suggested that the use of patient’s own face can be useful to detect residual self-awareness [68] and that personally relevant stimuli increase the probability of detecting a conscious response in DOC patients [69]. However, recent studies published after our search period indicate that the sensitivity of the mirror cannot be explained by a lower cognitive demand [70], neither the self-referential aspect of the mirror is viewed as a complete explanation [71]. Therefore, the rationale for the sensitivity of the mirror has not been clarified yet.

The absence of visual responses in a considerable part of the DOC patients calls for a nuance to the view that VP and VF are important signs for detecting consciousness. Although it was demonstrated that visual responses were the signs most frequently detected in MCS patients, the absence in about 20% of the MCS population cannot be ignored [62]. Examination of the integrity of the visual tract with techniques like visual evoked potentials and imaging is advisable in patients with DOC who do not show visual responses. A closer look into the neurobiology of VP and VF shows that VP is considered to be under volitional control [72]. For VF, however, it remains questionable if this sign is a conscious response because saccadic eye movements are necessary to shift gaze from one position to another. Saccades can be either voluntary or reflexive [73, 74]. The existence of accurate localization in the visual field without consciously processing visual stimuli, which can be present in patients with blindsight and visual form agnosia, further complicates the understanding of the association of VP and VF with consciousness. Since the association of VP and VF with consciousness remains questionable, further research is needed. Longitudinal studies which follow VP and VF during the recovery phase may give insight into the question if and/or how VP and VF are associated with consciousness.

There are some limitations regarding the literature search and the interpretation. First, the methodological quality of the included papers was not systematically assessed. Because we included theoretical, empirical and expert-opinion papers, a uniform quality assessment was not possible. Second, different descriptions that existed for VP such as ‘focusing on the examiner’ and ‘active looking for objects’, might have led to possible misinterpretation of these reactions as VF. Third, the SMART might be a proper scale for assessment; however, we could not evaluate the properties of this scale, since it requires formal training and it must be purchased. Previously, it has also been reported that the SMART may not be accessible for users outside the UK [67].

In conclusion, the question whether or not VP and VF are signs of MCS cannot be answered uniformly yet. This review demonstrates a lack of consensus regarding definition, operationalization and assessment methods. Although VP and VF are widely recognized as signs of emerging consciousness, the supporting evidence is scarce. Moreover, since VP and VF are included into the diagnostic criteria of MCS, it is not surprising that these signs are more prevalent in MCS patients than in UWS/VS patients. One can speak of a circular argument if based on such a prevalence difference, authors conclude that VP and VF are indicative of consciousness. More research is needed to investigate the validity of these signs to measure the level of consciousness before adopting them as important diagnostic signs of MCS. Therefore, we recommend international consensus development about definitions, operational criteria and assessment procedures of VP and VF. Reaching consensus about these first signs of consciousness is highly important for a proper diagnosis and consequently increases the chance for providing rehabilitation to this population. As recently stated by Fins [75], misdiagnosis of MCS patients as UWS/VS, can deny them access to rehabilitation and thereby marginalizes these patients. Proper identification of MCS can pave the way for rehabilitation and thereby breaching the marginalization of these vulnerable patients.

Compliance with ethical standards

Conflicts of interest The authors declare that they have no conflict of interest.

Open Access This article is distributed under the terms of the Creative Commons Attribution 4.0 International License (http://creativecommons.org/licenses/by/4.0/), which permits unrestricted use, distribution, and reproduction in any medium, provided you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons license, and indicate if changes were made.
References

1. Laureys S, Celesia GG, Cohodan F, Lavrijsen J, Leon-Carrion J, Sammuta WG, Szabon L, Schmutzhard E, von Wild KR, Zeman A, Dolce G (2010) Unresponsive wakefulness syndrome: a new name for the vegetative state or apalic syndrome. BMC Med 8:68

2. Multi-Society Task Force on PVS (1994) Medical aspects of the persistent vegetative state (1). N Engl J Med 330:1499–1508

3. Giacino JT, Ashwal S, Childs N, Cranford R, Jennett B, Katz DI, Kelly JP, Rosenberg JH, Whyte J, Zafonte RD, Zasler ND (2002) The minimally conscious state: definition and diagnostic criteria. Neurology 58:349–353

4. Bruno MA, Vanhaudenhuyse A, Thibaut A, Moonen G, Laureys S (2011) From unresponsive wakefulness to minimally conscious PLUS and functional locked-in syndromes: recent advances in our understanding of disorders of consciousness. J Neurol 258:1373–1384

5. Childs NL, Mercer WN, Childs HW (1993) Accuracy of diagnosis of persistent vegetative state. Neurology 43:1465–1467

6. Andrews K, Murphy L, Munday R, Littlewood C (1996) Misdiagnosis of the vegetative state: retrospective study in a rehabilitation unit. BMJ 313(7048):13–16

7. Schnakers C, Vanhaudenhuyse A, Giacino J, Ventura M, Boly M, Majerus S, Moonen G, Laureys S (2009) Diagnostic accuracy of the vegetative and minimally conscious state: clinical consensus versus standardized neurobehavioral assessment. BMC Neurol 9:35

8. van Erp WS, Lavrijsen JC, Vos PE, Hor H, Laureys S, Koopmans RT (2015) The vegetative state: prevalence, misdiagnosis, and treatment limitations. J Am Med Dir Assoc 16(85):89–95

9. Luanute J, Maucort-Boulch D, Tell L, Quelard F, Sarraf T, Iwaz J, Boisson D, Fischer C (2010) Long-term outcomes of chronic minimally conscious and vegetative states. Neurology 75:246–252

10. Boly M, Faymonville ME, Schnakers C, Peigneux P, Lambertmont B, Phillips C, Lancellotti P, Luxen A, Lamy M, Moonen G, Maquet P, Laureys S (2008) Perception of pain in the minimally conscious state with PET activation: an observational study. Lancet Neurol 7:1013–1020

11. Elizalde HJ, van Heugten CM, Wijnen VJ, Croon MA, de Kort PL, Bosch DA, Prevo AJ (2013) Course of recovery and prediction of outcome in young patients in a prolonged vegetative or minimally conscious state after severe brain injury: an exploratory study. J Pediatr Rehabil Med 6:73–83

12. Dolce G, Lucca LF, Quintieri M, Leto E, Rogano S, Riganello PL, Bosch DA, Prevo AJ (2013) Course of recovery and prediction of outcome in young patients in a prolonged vegetative or minimally conscious state after severe brain injury: an exploratory study. J Pediatr Rehabil Med 6:73–83

13. Seel RT, Douglas J, Dennison AC, Heaner S, Farris K, Rogers C (2013) Specialized early treatment for persons with disorders of consciousness: program components and outcomes. Arch Phys Med Rehabil 94:1908–1923

14. Elizalde HJ, Wijnen VJ, Schouten EJ, Lavrijsen JC (2016) Ten-to-twelve years after specialized neurorehabilitation of young patients with severe disorders of consciousness: a follow-up study. Brain Inj 30:1302–1310

15. Schif ND, Fins JJ (2007) Deep brain stimulation and cognition: moving from animal to patient. Curr Opin Neurol 20:638–642

16. Giacino J, Fins JJ, Machado A, Schif ND (2012) Central thalamic deep brain stimulation to promote recovery from chronic post-traumatic minimally conscious state: challenges and opportunities. Neuromodulation 15:339–349

17. Singh R, McDonald C, Dawson K, Lewis S, Pringle AM, Smith S, Pentland B (2008) Zolpidem in a minimally conscious state. Brain Inj 22:103–106

18. Whyte J, Rajan R, Rosenbaum A, Katz D, Kalmar K, Seel R, Greenwald B, Zafonte R, Demarest D, Brunner R, Kaelin D (2014) Zolpidem and restoration of consciousness. Am J Phys Med Rehabil 93:101–113

19. Giacino JT, Whyte J, Bagiella E, Kalmar K, Childs N, Khademi A, Eifert B, Long D, Katz DI, Cho S, Yablon SA, Luther M, Hammond FM, Nordenbo A, Novak P, Mercer W, Maurer-Karattup P, Sherer M (2012) Placebo-controlled trial of amantadine for severe traumatic brain injury. N Engl J Med 366:819–826

20. Fins JJ, Schif ND (2017) Differences that make a difference in disorders of consciousness. AJOB Neurosci 8:131–134

21. Giacino JT, Kalmar K (1997) The vegetative state and minimally conscious states: a comparison of clinical features and functional outcome. J Head Trauma Rehabil 12:36–51

22. Monti MM, Laureys S, Owen AM (2010) The vegetative state. BMJ 341:3765

23. Giacino JT, Kalmar K, Whyte J (2004) The JFK coma recovery scale-revised: measurement characteristics and diagnostic utility. Arch Phys Med Rehabil 85:2020–2029

24. Giacino JT, Kalmar K (2004) Coma recovery scale-revised. Administration and Scoring Guidelines, Edison

25. Andrews K (1996) International working party on the management of the vegetative state: summary report. Brain Inj 10:797–806

26. Andrews K (1996) International working party. Report on the vegetative state. Royal Hospital for Neuro-disability, West Hill

27. Ansell BJ, Keenan JE (1989) The western neuro sensory stimulation profile: a tool for assessing slow-to-recover head-injured patients. Arch Phys Med Rehabil 70:104–108

28. Shiel A, Horn SA, Wilson BA, Watson MJ, Campbell MJ, McLellan DL (2000) The Wessex Head Injury Matrix (WHIM) main scale: a preliminary report on a scale to assess and monitor patient recovery after severe head injury. Clin Rehabil 14:408–416

29. Ting WK, Perez Velazquez JL, Cusimano MD (2014) Eye movement measurement in diagnostic assessment of disorders of consciousness. Front Neurol 5:137

30. Whittermore R, Knafl K (2005) The integrative review: updated methodology. J Adv Nurs 52:546–553

31. Broome M (1993) Integrative literature reviews for the development of concepts. WB Saunders Co., Philadelphia

32. Ansell BJ, Keenan JE, De la Rocha O (1989) Western Neuro Stimulation Profile. Western Neuro Care Center, Tustin, California

33. Wade DT, Johnston C (1999) The permanent vegetative state: practical guidance on diagnosis and management. BMJ 319:841–844

34. Rader MA, Ellis DW (1994) The sensory stimulation assessment measurement in diagnostic assessment of disorders of consciousness. AJOB Neurosci 8:131–134

35. Bender Pape T (2011) Administration and Scoring Guidelines, Edison

36. Sherer M (2012) Placebo-controlled trial of amantadine for severe brain-injured patients. J Head Trauma Rehabil 93:101–113

37. Gollega A, Ostapovitch MM, Lazoruk AC, Renton S, Haynes E, Lawson D, Ostapovitch M (2015) Multidisciplinary assessment measure for individuals with disorders of consciousness. Brain Inj 29:1460–1466
39. Rappaport M (1990) Rappaport Coma/Near Coma Scale. San José, California
40. Rappaport M, Dougherty AM, Kelting DL (1992) Evaluation of coma and vegetative states. Arch Phys Med Rehabil 73:628–634
41. Shiel A, Wilson BA, McLellan L, Watson M, Horn S (2000) The Wessex Head Injury Matrix. Revised Scoring sheet, Pearson Assessment, London
42. Shiel A, Wilson BA, McLellan L, Horn S, Watson M (2000) The Wessex Head Injury Matrix. Pearson Assessment, London
43. Stokes V, Gunn S, Schouwenaars K, Badwan D (2016) Neurobehavioural assessment and diagnosis in disorders of consciousness: a preliminary study of the Sensory Tool to Assess Responsiveness (STAR). Neuropsychological Rehabilitation pp 1-18. https://doi.org/10.1080/09090920.2011.2016
44. Gill-Thwaites H (1997) The Sensory Modality Assessment Rehabilitation Technique—a tool for assessment and treatment of patients with severe brain injury in a vegetative state. Brain Inj 11:723–734
45. Ansell BJ (1995) Visual tracking behavior in low functioning head-injured adults. Arch Phys Med Rehabil 76:726–731
46. Candelieri A, Cortese MD, Dolce G, Riganello F, Sannita WG (2011) Visual pursuit: within-day variability in the severe disorder of consciousness. J Neurotrauma 28:2013–2017
47. Thonnard M, Wannez S, Keen S, Bredart S, Bruno MA, Gosseries O, Demertzi A, Thibault A, Chatelle C, Charland-Verville V, Heine L, Habbal D, Laureys S, Vanhaudenhyse A (2014) Detection of visual pursuit in patients in minimally conscious state: a matter of stimuli and visual plane? Brain Inj 28:1164–1170
48. Trojano L, Moretta P, Loreto V, Cozzolino A, Santoro L, Estraneo A (2012) Quantitative assessment of visual behavior in disorders of consciousness. J Neurol 259:1888–1895
49. Trojano L, Moretta P, Loreto V, Santoro L, Estraneo A (2013) Affective saliency modifies visual tracking behavior in disorders of consciousness: a quantitative analysis. J Neurol 260:306–308
50. Turner-Stokes L, Bassett P, Rose H, Ashford S,Thu A (2015) Serial measurement of Wessex Head Injury Matrix in the diagnosis of patients in vegetative and minimally conscious states: a cohort analysis. BMJ Open 5:e006051
51. Vanhaudenhyse A, Schnakers C, Bredart S, Laureys S (2008) Assessment of visual pursuit in post-comatose states: use a mirror. J Neurol Neurosurg Psychiatr 79:223
52. Di H, Niu Y, Hu X, Tong Y, Heine L, Wannez S, Huang W, Yu D, He M, Thibault A, Schnakers C, Laureys S (2014) Assessment of visual fixation in vegetative and minimally conscious states. BMC Neuro 14:147
53. Pan J, Xie Q, He Y, Wang F, Di H, Laureys S, Yu R, Li Y (2014) Detecting awareness in patients with disorders of consciousness using a hybrid brain-computer interface. J Neural Eng 11:056007
54. Whyte J, DiPasquale MC (1995) Assessment of vision and visual attention in minimally responsive brain injured patients. Arch Phys Med Rehabil 76:804–810
55. Zhu J, Wu X, Gao L, Mao Y, Zhong P, Tang W, Zhou L (2009) Cortical activity after emotional visual stimulation in minimally conscious state patients. J Neurotrauma 26:677–688
56. Cortese MD, Riganello F, Arcuri F, Pugliese ME, Lucca LF, Dolce G, Sannita WG (2015) Coma recovery scale-re: variability in the disorder of consciousness. BMC Neurol 15:186. https://doi.org/10.1186/s12883-015-0455-5
57. Estraneo A, Moretta P, De Tanti A, Gatta G, Giacino JT, Trojano L (2015) An Italian multicentre validation study of thecoma recovery scale-revised. Eur J Phys Rehabil Med 51(5):627–634
58. Lovstad M, Froslie KF, Giacino JT, Skandsen T, Anke A, Schanke AK (2010) Reliability and diagnostic characteristics of the JFK coma recovery scale-revised: exploring the influence of rater’s level of experience. J Head Trauma Rehabil 25(5):349–356. https://doi.org/10.1097/HTR.0b013e3181c841
59. Sattin D, Giovannetti AM, Ciaraffa F, Covelli V, Bersano A, Nigri A, Ferraro S, Minati L, Rossi D, Duran D, Parati E, Leonardi M (2014) Assessment of patients with disorder of consciousness: do different coma recovery scale scoring correlate with different settings? J Neurol 261(12):2378–2386. https://doi.org/10.1007/s00415-014-7478-5
60. Godbolt AK, Stenson S, Winberg M, Tengvar C (2012) Disorders of consciousness: preliminary data supports added value of extended behavioural assessment. Brain Inj 26(2):188–193. https://doi.org/10.3109/02699052.2011.648708
61. Chatelle C, Bodien YG, Carlowicz W, Wannez S, Charland-Verville V, Gosseries O, Laureys S, Seel RT, Giacinto JT (2016) Detection and interpretation of impossible and improbable coma recovery scale-revised scores. Arch Phys Med Rehabil 97(8):1295–1300.e1294. https://doi.org/10.1016/j.apmr.2016.02.009
62. Estraneo A, Moretta P, Cardinale V, De Tanti A, Gatta G, Giacino JT, Trojano L (2015) A multicentre study of intentional behavioural responses measured using the coma recovery scale-revised in patients with minimally conscious state. Clin Rehabil 29(8):803–808. https://doi.org/10.1177/0269215514556002
63. Giacinto JT, Smart CM (2007) Recent advances in behavioral assessment of individuals with disorders of consciousness. Curr Opin Neurol 20:614–619
64. Laureys S, Boly M (2007) What is it like to be vegetative or minimally conscious? Curr Opin Neurol 20:609–613
65. Schnakers C, Perrin F, Schabus M, Majerus S, Ledoux D, Damas P, Boly M, Vanhaudenhyse A, Bruno MA, Moonen G, Laureys S (2008) Voluntary brain processing in disorders of consciousness. Neurology 71:1614–1620
66. Wade D (2017) Back to the bedside? Making clinical decisions in patients with prolonged unconsciousness. J Med Ethics 43:457–458
67. Seel RT, Sherer M, Whyte J, Katz DI, Giacinto JT, Rosenbaum AM, Hammond FM, Kalmar K, Pape TL, Zafonte R, Biester RC, Kaelin D, Kean J, Zasler N (2010) Assessment scales for disorders of consciousness: evidence-based recommendations for clinical practice and research. Arch Phys Med Rehabil 91:1795–1813
68. Laureys S, Perrin F, Bredart S (2007) Self-consciousness in non-communicative patients. Conscious Cogn 16:722–741 (discussion 742-725)
69. Perrin F, Castro M, Tillmann B, Luatte J (2015) Promoting the use of personally relevant stimuli for investigating patients with disorders of consciousness. Front Psychol 6:1102
70. Cruse D, Fattizzo M, Owen AM, Fernandez-Espejo D (2017) Why use a mirror to assess visual pursuit in prolonged disorders of consciousness? Evidence from healthy control participants. BMC Neurol 17:14
71. Wannez S, Vanhaudenhyse A, Laureys S, Bredart S (2017) Mirror efficiency in the assessment of visual pursuit in patients in minimally conscious state. Brain Inj 31:1–7
72. Lisberger SG (2015) Visual Guidance of smooth pursuit eye movements. Annu Rev Vis Sci 1:447–468
73. Pierrot-Deseilligny C, Mileda D, Muri RM (2004) Eye movement control by the cerebral cortex. Curr Opin Neurol 17:17–25
74. Leigh RJA, Zee DSA (2015) The neurology of eye movements. Oxford University Press, New York
75. Fins JJ (2017) Brain injury and the civil right we don’t think about. The New York Times. https://www.nytimes.com/2017/08/24/opinion/minimally-conscious-brain-civil-rights.html. Accessed 21 Dec 2017