Effective Sensing Volume of Terahertz Metamaterial with Various Gap Widths

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We studied experimentally and theoretically the vertical range of the confined electric field in the gap area of metamaterials, which was analyzed for various gap widths using terahertz time-domain spectroscopy. We measured the resonant frequency as a function of the thickness of poly(methyl methacrylate) in the range 0 to 3.2 μm to quantify the effective detection volumes. We found that the effective vertical range of the metamaterial is determined by the size of the gap width. The vertical range was found to decrease as the gap width of the metamaterial decreases, whereas the sensitivity is enhanced as the gap width decreases due to the highly concentrated electric field. Our experimental findings are in good agreement with the finite-difference time-domain simulation results. Finally, a numerical expression was obtained for the vertical range as a function of the gap width. This expression is expected to be very useful for optimizing the sensing efficiency.

Keywords: Terahertz spectroscopy, Metamaterials, Sensor

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I. INTRODUCTION

In recent years, metamaterials have been intensively studied because they have special properties beyond those of natural materials, such as negative refraction, super lensing, cloaking, perfect absorber, and sensing [1-6]. In the last decade, many types of metamaterial structures were suggested such as single split, double split, and electrical split ring resonators operating across various frequency ranges [7-11]. They consist of an array of metallic resonators that interact with electromagnetic waves. Various resonance modes such as inductive-capacitive (LC) resonance, dipole resonance, and quadruple resonance appear in the metamaterials when the circular current is generated by interacting with incident light. The properties of each resonance mode are mainly governed by geometrical factors such as periodicity, gap width, metal thickness, and side arm length [4, 12-15].

The LC resonance occurs in metamaterials at a resonant frequency described by \( f_0 = 1/(2\pi \sqrt{LC}) \), where \( C \) is the capacitance of the gap structure and \( L \) is the inductance of the side arm structure [4, 14]. Here, the LC resonant frequency can be easily controlled by manipulating the parameters \( C \) and \( L \). For example, a change in the dielectric constant of the gap area causes a shift in the resonant frequency. Recently, we showed that THz metamaterials can work as sensitive on-site detectors for microorganisms with an extremely low density, both in ambient and aqueous environments [2, 4]. The detection volume of metamaterial sensors is extremely confined in the vicinity of the gap area, providing enhanced sensitivity. More importantly, this enables us to use a very thin water layer, and hence, to overcome the difficulties caused by the strong water attenuation of THz waves [16]. For sensing applications, the effect of the geometrical parameters on the metamaterial resonance, such as the gap width, the metal thickness, and the substrate dielectric constant have been very important properties for device optimization [12-14, 17]. In particular, the vertical extent of the sensing volume has been addressed in slot antenna structures and metamaterials. However, the effective volumes and the sensitivities have not been studied in terms of the gap...
III. RESULTS AND DISCUSSION

Our research involves studying the effective detection volume of THz metamaterial for various gap widths. First, we quantify the effective vertical range as a function of the $h_{\text{PMMA}}$ on the THz metamaterial device as shown in Fig. 1(b). The resonant frequency ($f_0$) changes as the PMMA layer is deposited and its thickness increases.

Figure 2(a) shows the transmission spectra for the PMMA layer with two different thicknesses of 0.21 μm (blue line) and 1.05 μm (red line), for a gap width of 4 μm. The transmission spectra of the uncoated metamaterials are plotted alongside for comparison (black line). The PMMA coating caused the resonant frequency to shift toward the red, which is due to the increased effective dielectric constant in the gap area of the metamaterials [2-4, 11]. As expected, the frequency shift ($\Delta f$) is higher for larger $h_{\text{PMMA}}$. As mentioned above, the resonant frequency of the THz metamaterials changes when dielectric materials are coated onto the metamaterial surface because of the change in the dielectric constant in the gap area. The resonant frequency shift can be explained by the following relationship: $\Delta f/ f_0 \approx \alpha (\varepsilon_{\text{PMMA}} - \varepsilon_{\text{air}})/\varepsilon_{\text{eff}}$, [2, 4],

![FIG. 1. (a) Optical microscope images of THz metamaterials with various gap widths ($w$) of 0.5, 1, 2, 4 μm (b) Experimental schematic for the study of the effective detection volume.](image)

![FIG. 2. Transmission spectra for the two different PMMA thicknesses ($h_{\text{PMMA}}$) of 0.21 μm (blue line) and 1.05 μm (red line), and without the PMMA layer (black line) for (a) $w = 4.0$ μm and (b) $w = 0.5$ μm.](image)
where $\alpha$ is the sensitivity coefficient, $\varepsilon_{\text{PMMA}}$ is the dielectric constant of PMMA, $\varepsilon_{\text{air}}$ is the dielectric constant of air, and $\varepsilon_{\text{eff}} (= n_{\text{eff}}^2)$ is the effective dielectric constant without the PMMA coating. In this work, we determined $\alpha$ to have a value of 0.22, whereas $\varepsilon_{\text{eff}}$ is known to have a value of 2.96 in the case of quartz [14].

As we are interested in the detection volume and the sensitivity as a function of gap width, we performed the same experiments on the metamaterials with a different width of $w = 0.5 \mu m$ as shown in Fig. 2(b). It is clear from the spectra that $\Delta f$ is higher for a smaller gap width of $0.5 \mu m$ compared to the large gap width ($w = 4 \mu m$) we used previously. This indicates the sensitivity is higher as the gap width decreases. Gap-width dependent sensitivities have been reported for slot antenna structures but have not been reported for metamaterial patterns with positive structures [4, 18]. Furthermore, the detection volume has not been addressed as a function of the gap width.

We studied the effective vertical range of the THz metamaterial for various gap widths by quantifying the value of $\Delta f$ of the THz metamaterial for the gap widths of 0.5, 1, 2, and 4 $\mu m$, as a function of the $h_{\text{PMMA}}$, as shown in Fig. 3(a). The $\Delta f$ is enhanced as we increase the $h_{\text{PMMA}}$ as shown above. In addition, clear saturation behavior was found as the thickness increased. More importantly, we found that saturation thickness ($h_{\text{sat}}$) is reached sooner for samples with smaller gaps. This means that the vertical range of the detection volume can also be expected to be smaller when the gap width is small. By fitting the data with the relation $\Delta f = \Delta f_{\text{sat}}(1 - \exp(-h_{\text{PMMA}}/h_{\text{sat}}))$, we plotted $h_{\text{sat}}$ as a function of the gap width in Fig. 3(b). We also plotted the sensitivity of the metamaterials sensors with respect to $h_{\text{PMMA}}$ as red circles. Here, the sensitivity (S) is defined by the initial slope of the $\Delta f - h_{\text{PMMA}}$ curves in Fig. 3(a), leading to $S = \Delta f/h_{\text{PMMA}} = \Delta f_{\text{sat}}/h_{\text{sat}}$ (for $h_{\text{PMMA}} \ll h_{\text{sat}}$). The vertical range increases by 2.5 times for $w = 0.5 \mu m$ relative to that of $w = 4.0 \mu m$. Conversely, the sensitivity in terms of the thickness increases from 83.8 GHz/$\mu m$ ($w = 4.0 \mu m$) to 148.4 GHz/$\mu m$ ($w = 0.5 \mu m$), which is an increase of 1.8 times. Considering the fact that $f_0$ decreases for smaller $w$, the sensitivity increase in terms of $\Delta f/f_0$ is approximately 2.2.

Our experimental results were confirmed by the Numerical FDTD simulations [3, 4]. Here, we used a linearly polarized plane wave and a periodic boundary condition to imitate our experiments. We considered the metal film of which the THz metamaterial is composed as a perfect electric conductor. We then mimicked the PMMA layer by using the dielectric constant ($\varepsilon_{\text{PMMA}}=2.56$) of PMMA from the literature [19] and varied the $h_{\text{PMMA}}$ in the range 0 – 3.2 $\mu m$. We first show the 2D field distribution near the gap area along the z-x plane (at y = 0) for both devices with gap widths of 4.0 $\mu m$ and 0.5 $\mu m$ in Fig. 4(a) and (b), respectively. The inset in Fig. 4(a) shows the geometry of the pattern near the gap area. As discussed above, the effective detection volume of the THz metamaterial in the gap area is highly confined near the surface and strongly influenced by the gap width. We plotted the electric field amplitude as a function of the vertical position ($z$) at the center of the gap structure (at $x = y = 0$) in Fig. 4(c). The vertical range can be roughly estimated from this plot by fitting the exponential function, which yields $h_{\text{sat}} = 2.5 \mu m$ and 0.7 $\mu m$, respectively, for $w = 4.0 \mu m$ and 0.5 $\mu m$. Although this simple vertical line plot at the center of the gap (not a full-wave transmission) cannot reproduce our experimental data, these results are in reasonable agreement with our experimental findings shown in Fig. 3.

In Fig. 5(a), the numerically calculated results on $\Delta f$ (for the full-wave transmission) as a function of the height $h$ of the dielectric materials (with $\varepsilon = 2.56$) are extracted from the transmission spectra for the different gap widths ranging from 0.5 to 4.0 $\mu m$. The results are in good agreement with our experimental data in Fig. 3(a). Finally, in Fig. 5(b), we plotted, from the simulation results, the $h_{\text{sat}}$ (black boxes)
FIG. 4. Field distribution near the gap area (at $y = 0$) for (a) $w = 4.0 \, \mu m$ and (b) $w = 0.5 \, \mu m$. (c) The electric field line profiles at the center of the gap structure along the $z$-axis, extracted from (a) (black line) and (b) (red line).

FIG. 5. (a) FDTD simulation results for $\Delta f$ as a function of $h$ for various $w$’s in the range 0.5 – 4.0 \, \mu m$. (b) Saturation thickness (black boxes) and sensitivity (red circles) as a function of $w$, extracted from FDTD simulations.

and the sensitivity (red circles) as a function of $w$ in the range of 0.5 – 8.0 \, \mu m$. A clear tendency of decreasing $h_{sat}$ and increasing sensitivity can be observed as $w$ decreases. This is attributable to the relatively large electric field confinement in the case of the small-gap metamaterials. In addition, the simulation results plotted in Fig. 5(b) enabled us to express the saturation thickness quantitatively as a simple exponential decay function of $h_{sat} = 2.4 - 1.8 \exp(-w/3.9)$. This simple relation offers a very handy tool for estimating the detection volume of metamaterials with various widths, and hence, will be very useful for determining the optimal material thickness to design materials for optimized sensitivity. On the other hand, the sensitivity exhibits different saturation behavior as compared to $h_{sat}$. This is because sensitivity depends on the total detection volume (not only the vertical range) and is also influenced by various edge effects.

IV. CONCLUSION

In conclusion, we demonstrated the effective detection volume of THz metamaterials as a function of the gap width by quantifying the effective vertical range as a function of the gap width. We measured $\Delta f$ by spin-coating PMMA layers with various thicknesses onto the metamaterials. The vertical range of the metamaterial detection volume decreased by about 2.5 times as the gap width decreased from 4.0 \, \mu m to 0.5 \, \mu m. We also performed FDTD simulations, which confirmed our experimental findings and matched our experimental data well. More importantly, we extracted a numerical expression that relates the effective vertical range to the gap width. This information is very useful for many practical applications of THz metamaterials for sensing various dielectric materials both in ambient and aqueous environments. In addition, our work will allow us to understand the essential
operating mechanism of THz metamaterials, and can be extended to studying other various sub-wavelength structures.

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