Quantum filaments in dipolar Bose-Einstein condensates

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Collapse in dipolar Bose-Einstein condensates may be arrested by quantum fluctuations. Due to the anisotropy of the dipole-dipole interactions, the dipole-driven collapse induced by soft excitations is compensated by the repulsive Lee-Huang-Yang contribution resulting from quantum fluctuations of hard excitations, in a similar mechanism as that recently proposed for Bose-Bose mixtures. The arrested collapse results in self-bound filament-like droplets, providing an explanation to recent dysprosium experiments. Arrested instability and droplet formation are novel general features directly linked to the nature of the dipole-dipole interactions, and should hence play an important role in all future experiments with strongly dipolar gases.

Dipole-dipole interactions (DDI) lead to qualitatively new physics for dipolar gases compared to non-dipolar ones [1, 2]. As a result, this physics constitute the focus of a large interest, including experiments on magnetic atoms [3–6], polar molecules [7–10], and Rydberg-dressed atoms [11]. A characteristic feature of dipolar Bose-Einstein condensates (BECs) is their geometry-dependent stability [12]. If the condensate is elongated along the dipole orientation, the DDI are attractive in average, and the BEC may become unstable, in a similar, but not identical, way as a BEC with negative s-wave scattering length, a < 0. Chromium experiments showed that, as for a < 0, the unstable BEC collapses, albeit with a peculiar d-wave post-collapse dynamics [13].

This picture has been challenged by recent dysprosium experiments [14], in which destabilization, induced by a quench to a sufficiently low a, is not followed by collapse, but rather by the formation of stable droplets that are only destroyed in a large time scale by weak three-body losses (3BL). This surprising result, which resembles the Rosensweig instability in ferrofluids [15, 16], points to an up to now unknown stabilization mechanism that plays a similar role as that of surface tension in classical ferrofluids. It has been recently suggested that large conservative three-body forces, with a strength several orders of magnitude larger than the 3BL, may account for the observation [17] [18]. There is however no justification of why large three-body forces should be present, or whether there is a link between them and the DDI.

This Letter explores an alternative mechanism, based on quantum fluctuations, which is suggested by very recent experiments [19]. As recently shown [20], Lee-Huang-Yang (LHY) corrections may stabilize droplets in unstable Bose-Bose mixtures. This interesting effect results from the presence of soft and hard elementary excitations. Whereas soft modes may become unstable, quantum fluctuations of the hard modes may balance the instability, resulting in an equilibrium droplet. As shown below, due to the anisotropy of the DDI, a dipolar BEC also presents soft and hard modes, characterized in free space by momenta perpendicular or parallel to the dipole orientation. As a result, the LHY correction resulting from the hard modes provides a repulsive term that dominates at large densities arresting local collapses, resulting in the nucleation of droplets (see Figs. 1). We show by means of a generalized nonlocal non-linear Schrödinger equation (NLNLSE) that this mechanism accounts for the Dy experiments. We stress that this effect results from the peculiar nature of the DDI, being hence a characteristic novel feature of strongly dipolar gases, which should play an important role in future experiments with highly magnetic atoms and polar molecules.

**Generalized NLNLSE**— We consider a BEC of magnetic dipoles of mass m and dipole moment μ oriented along the z direction by an external magnetic field (equivalent results can be found for electric dipoles). In mean-field (MF), the physics is given by the NLNLSE [1]:

\[ i\hbar \psi(r) = \left\{ \frac{-\hbar^2 \nabla^2}{2m} + V(r) + g|\psi(r)|^2 + \Phi(r) \right\} \psi(r), \]  

with \( \psi(r) \) the BEC wavefunction, \( V(r) \) the trapping potential, \( g = \frac{\alpha \hbar^2 \kappa^2}{m} \), and \( \Phi(r) = \int d^3 r' V_{dd}(r - r')|\psi(r')|^2 \), with \( V_{dd}(r) = \frac{\mu_0 |\mu|^2}{4\pi r^3} (1 - 3 \cos^2 \theta) \), where \( \mu_0 \) is the vacuum permittivity, and \( \theta \) is the angle between \( r \) and \( \mu \).

In the homogeneous case, \( V(r) = 0 \) with density \( n \), elementary excitations with momentum \( k \) have an energy \( E(k) = \sqrt{\epsilon_k (\epsilon_k + 2gnf(\epsilon_{dd}, \theta_k))} \), where \( \epsilon_k = \frac{\hbar^2 k^2}{2m} \), and

\[ n_{XY}(x,y) = \int dz n(r), \]  

for a BEC of N=7500 atoms (top), and 15000 atoms (bottom), initially formed with \( a = 120a_B, 20ms \) after a quench to \( a = 70a_B \).
\[ f(\epsilon_{dd}, \theta_k) = 1 + \epsilon_{dd}(3\cos^2 \theta_k - 1), \text{ with } \epsilon_{dd} = \frac{\mu_0 |\mu|^2}{8\hbar^2}, \]  
where \( \theta_k \) is the angle between \( \mathbf{k} \) and \( \mu \). Due to the anisotropy of the DDI, excitations with \( \cos^2 \theta_k > 1/3 \) become harder with growing \( \epsilon_{dd} \), whereas those with \( \cos^2 \theta_k < 1/3 \) become softer. For \( \epsilon_{dd} > 1 \), long wave-length excitations with \( \theta_k = \pi/2 \) drive the BEC unstable. Quantum fluctuations of the excitations result in the LHY correction of the chemical potential \( \Delta \mu(n, \epsilon_{dd}) \):

\[ \Delta \mu(n, \epsilon_{dd}) = \frac{32}{3\sqrt{n}} g n \sqrt{n a^3} F(\epsilon_{dd}), \]  
with \( F(\epsilon_{dd}) = \frac{1}{2} \int d\theta_k \sin \theta_k f(\epsilon_{dd}, \theta_k)^{5/2} \). In the vicinity of the instability, \( \epsilon_{dd} \sim 1 \), the overwhelming contribution to \( F(\epsilon_{dd}) \) stems from hard modes (\( \cos^2 \theta_k > 1/3 \)). Crucially, this is true even when the BEC becomes unstable. This situation, with unstable soft modes and LHY correction dominated by stable hard modes, resembles the recently discussed case of Bose-Bose mixtures [20]. As for that scenario, the contribution of the unstable soft modes is negligible for \( \epsilon_{dd} \sim 1 \), and quantum fluctuations of the hard modes result in a repulsive LHY correction \( \propto n^{3/2} \).

Let us consider at this point a harmonically trapped BEC, \( V(\mathbf{r}) = \frac{1}{2} m (\omega_x^2 x^2 + \omega_y^2 y^2 + \omega_z^2 z^2) \). The treatment of beyond MF corrections is in general much more involved. In the Thomas-Fermi (TF) regime one may evaluate the effects of quantum fluctuations by treating the excitations quasi-classically and employing local density approximation (LDA), obtaining a corrected equation of state \[ \mu(n(r)) = V(\mathbf{r}) + \mu_0(n(\mathbf{r}), \epsilon_{dd}) + \Delta \mu(n(\mathbf{r}), \epsilon_{dd}), \]  
with \( \mu_0(n(\mathbf{r}), \epsilon_{dd}) = gn(\mathbf{r}) + \int d^3r' V_{dd}(\mathbf{r} - \mathbf{r}') n(\mathbf{r}') \). One may then insert this correction in a generalized NLNLSE:

\[ i\hbar \dot{\psi}(\mathbf{r}) = \left[ \hat{H}_0 + \mu_0(n(\mathbf{r}), \epsilon_{dd}) + \Delta \mu(n(\mathbf{r}), \epsilon_{dd}) \right] \psi(\mathbf{r}), \]  
with \( \hat{H}_0 = -\frac{\hbar^2 \nabla^2}{2m} + V(\mathbf{r}) \). This equation is appealing since it allows for a simplified analysis of the effects of quantum fluctuations in the TF regime, and because it may be simulated using the same numerical techniques employed for Eq. [1]. However, the use of the LDA to evaluate the effects of quantum fluctuations in quench experiments must be carefully considered. The droplets discussed below are in the TF regime along the dipole direction in all cases, whereas only large droplets are in the TF regime also along \( xy \). For small droplets, with less than 4000 atoms in the calculations below, the \( xy \) density profile approaches rather a Gaussian. We may evaluate the contribution of quasi-classical excitations with momenta \( \mathbf{k} \), such that \( |\mathbf{k}| R(\theta_k) \gg 1 \) where \( R(\theta_k) \) is a typical distance for density variation in the droplet along the direction given by the angle \( \theta_k \). This contribution is for the smallest droplets presented below of the order of \( \sim 80\% \) of the total LHY correction expected from LDA (for details of this estimation see [23]). The correction due to long wave-length modes may hence modify the prefactor of the correction, but the bulk of the effect is well recovered by Eq. [3]. We postpone for a future analysis the detailed study of the effect of long wavelength excitations. In addition, the validity of the generalized NLNLSE demands a small quantum depletion [21, 22], \( \eta(\mathbf{r}) = \frac{\Delta n(\mathbf{r})}{n(\mathbf{r})} = \frac{8}{3\sqrt{n}} \sqrt{n(\mathbf{r}) a^3} F_D(\epsilon_{dd}), \) with \( F_D(\epsilon_{dd}) = \frac{1}{2} \int d\theta_k \sin \theta_k f(\epsilon_{dd}, \theta_k)^{3/2} \). In our simulations, \( \eta(\mathbf{r}) \lesssim 0.01 \) at any point and time.

Droplet nucleation - In the following we employ Eq. [3] to study the formation of BEC droplets in recent Dy experiments [14]. We consider a BEC with \( N \) Dy atoms, with \( |\mu| = 10 \mu_B \), with \( \mu_B \) the Bohr magneton. In order to compare our results with recent experiments we assume a trap with \( \omega_{x,y,z}/2\pi = (44, 46, 133) \text{Hz} \) [23]. We employ imaginary time evolution of Eq. [3] to form an initial BEC with \( a = 120a_B \), with \( a_B \) the Bohr radius. Under these conditions the BEC, with a wavefunction \( \psi_0(\mathbf{r}) \), is stable and in the TF regime. At finite temperature, \( T \), thermal fluctuations seed the modulational instability after the quench of \( a \) discussed below, and may hence influence droplet nucleation. Following Ref. [13] we add thermal fluctuations for \( T = 20 \text{K} \) in the form \( \psi(\mathbf{r}, t = 0) = \psi_0(\mathbf{r}) + \sum_n \alpha_n \phi_n(\mathbf{r}), \) where \( \phi_n \) are eigenmodes of the harmonic trap with eigenenergies \( \epsilon_n \), the sum is restricted to \( \epsilon_n < 2k_B T \), and \( \alpha_n \) is a complex Gaussian random variable with \( \langle |\alpha_n|^2 \rangle = \frac{1}{2} \left( e^{\epsilon_n/k_B T} - 1 \right)^{-1} \) [26].

At \( t = 0 \) we perform a quench in 0.5ms to a final \( a = 70a_B \) that destabilizes the BEC [27]. The most unstable Bogoliubov mode has a non-zero angular momentum, a so-called angular roton [28], and as a result at \( T = 0 \) the BEC develops an initial ring-like modulational instability on the \( xy \) plane, followed by azimuthal symmetry breaking into droplets. At finite \( T \) droplets may nucleate from thermal fluctuations before the ring structure associated with the angular-roton instability develops (as it is observed in experiments [29]). Both cases are characterized by the formation of stable droplets in few ms, which eventually arrange in a quasi-crystalline structure as those of Figs. [1] in excellent agreement with the experimental results of Ref. [14]. Droplet nucleation does not involve however the whole condensate. A significant amount of atoms remains in a halo-like background too dilute to gather particles into a stable droplet (approximately 30\% in Figs. [1] although it is barely visible due to the contrast).

Droplet features - The droplets result from the compensation of the attractive MF term \( \mu_0 \propto n(\mathbf{r}) \) by the effective repulsion introduced by the LHY term, \( \Delta \mu \propto n(\mathbf{r})^{3/2} \). In order to study the properties of individual droplets, we evolve Eq. [3] in imaginary time for \( a = 70a_B \) and different particle numbers. In order to guarantee the controlled formation of a single droplet in the numerics, we employ as initial condition for the imaginary time evolution a cigar-like Gaussian wavefunction at the trap center very compressed on the \( xy \) plane [30].

Figure 2 shows the droplet energy, \( E_D \), as a function of
the number of particles in the droplet, $N_D$. Two important features are worth mentioning. There is a minimal particle number, $N_{\text{min}} \simeq 900$, such that for $N_D < N_{\text{min}}$ no stable droplet may form. If the local density does not allow for the gathering of that critical number, then no droplet is formed, accounting for the background halo.

Second, $E_D(N_D)$ presents a non-monotonous dependence with $N$, showing a minimum (at $N_D \simeq 13000$ in Fig. 2), being only positive at $N_D$ values close to $N_{\text{min}}$ ($E_D = 0$ at $N_D \simeq 1500$ in Fig. 2). This is particularly relevant for the droplet nucleation after a quench. After the quench, the BEC energy, which is initially positive [1], is almost conserved, just decreasing slowly due to 3BL. The final droplet gas is characterized by the internal energy of the droplets, the center of mass (CM), kinetic and potential, energy of the droplets, and the inter-droplet dipole-dipole repulsion (the halo, being much more dilute has a comparatively small contribution to the BEC energy). Although the CM energy and the repulsive inter-droplet interaction are obviously positive, they cannot balance a negative internal energy of the droplets, as required by the quasi-conservation of the energy in the absence of strong dissipation. This explains why, as discussed below, in the quench experiments droplets form with particle numbers between 900 and 1500, despite the fact that bigger droplets could be in principle stable (Fig. 2).

The shape of the droplets is also significant for the overall discussion. The droplets are markedly elongated along the dipole direction. For $N_D \simeq 1000$, the $z$ half-size is $\simeq 2\mu$m, whereas along $xy$ is $\lesssim 0.3\mu$m. This is expected, since a cigar-like shape is required for an attractive DDI that overwhelms the repulsive contact MF term. In addition, as mentioned above, the droplets are in any case well within the TF regime along the $z$ direction (see the inset of Fig. 2) for $N_D = 1000$. Large droplets, with $N_D > 8000$, are also well within the TF regime along the $xy$ direction. On the contrary, as already noted, small droplets with $N_D < 4000$ have approximately a Gaussian profile along $xy$. This remains true for the droplets found in the simulations of quench experiments. 

Quantum pressure is hence non-negligible for small droplets, but it is not crucial for the droplet stability, which is provided by the compensation of the attractive MF interaction and the LHY correction. This is in stark contrast with self-bound solitons that require necessarily quantum pressure to compensate attractive interactions, and hence cannot occur in any case in the TF regime. Due to the LHY term, the droplets do not present an inverted-paraboloid profile even in the TF regime. At the center, $n(x = 0, y = 0, z) \simeq (1 - z^2/Z^2)^{2/3}$ (inset of Fig. 2).

**Droplet statistics in quench experiments**—We have performed for different $N$ simulations of the BEC dynamics after the quench of $a$, starting from different initial conditions given by random thermal fluctuations. As in the experiments, we observe that the droplets arrange in

![Graph showing droplet energy, $E_D$, as a function of the number of particles, $N_D$.](image1)

![Histogram showing the number of droplets as a function of the initial number of atoms, 20ms after a quench.](image2)
crystal-like patterns (see Fig. 1), although they present a residual dynamics. Our simulations show that the number of particles in a droplet varies from droplet to droplet in a single shot and between shots (as in the actual experiments). This variance results from the fact that stable droplets, as shown above, may be formed for different values $N_D > N_{\min}$. The corresponding variance of the number of droplets is additionally affected by the variable importance of the background halo. In addition, droplets formed at the verge of instability $N_D \simeq N_{\min}$, may eventually become unstable and dilute in the halo, and hence the number of apparent droplets may vary in time. In order to measure objectively the number of droplets, we have obtained the column density $n_{XY}(x,y) \equiv \int dz \tilde{n}(r)$ after 20 ms of post-instability dynamics, and defined a droplet as such if it reaches a maximal $n_{XY}/N > 0.3$ (see e.g. Figs. 1). Figure 3a summarizes our results for the dependence with $N$ of the number of droplets formed after 20 ms of post-instability dynamics. Although as mentioned above the number of droplets presents a relevant statistical variance, the average number shows in agreement with experiments an approximate linear dependence. The deviation at larger $N$ is due to the fact that at 20ms there are a number of droplets that are about to be nucleated in the outer halo regions but are not fully formed (according to the previous criterion). The deviation at low $N$ is due to the longer time needed to fully develop droplets (e.g. $N = 5000$ develops up to 3 droplets after 40 ms). The approximate linear dependence of Fig. 3a stems from the local character of the nucleation, which results in a number of particles per droplet that is basically independent of $N$ (inset of Fig. 3a). The histogram of Fig. 3b shows that, as expected from our discussion of the droplet energy, the particle number per droplet lies overwhelmingly between 900 and 1500, with an average of approximately 1200, again in good agreement with experiments.

Three-body losses— We observe in our Dy simulations peak densities of $\sim 2 \times 10^{24}$ m$^{-3}$ [32]. At these densities, albeit low, 3BL become relevant in the long run. In order to take them into account, we add a term $-i \hbar \frac{d}{dr} \psi(r)^4 \psi(r)$ [14] to the right hand side of Eq. (3), with $L_3 = 1.2 \times 10^{-44}$ m$^6$/s [33]. Figure 1 shows for $N = 10000$ the number of atoms as a function of time. We show in the same figure, the spectral weight $SW = \int d^2k \tilde{n}_{XY}(k)$, where $\tilde{n}_{XY}(k)$ is the Fourier transform of the column density $n_{XY}(x,y) \equiv \int dz \tilde{n}(r)$ and the momentum integral extends from $k_{\min} = 1.5\mu$m$^{-1}$ to $k_{\max} = 5\mu$m$^{-1}$. This function was introduced in Ref. [14] to characterize the appearance and disappearance of the droplet pattern. The losses not only decrease the atom number, but also lead to the eventual destruction of the droplets, which may loose too much particles to remain stable against melting in the background. Moreover, 3BL eliminates high-energy atoms at the BEC maxima. This energy dissipation plays an important role in the eventual nucleation of further droplets, and especially in the formation of crystal-like droplet arrangements as those of Fig. 1 which minimize inter-droplet interaction. The results of Fig. 4 are in very good agreement with experiments, showing a growth of SW up to $t \sim 10$ ms, and a subsequent decrease in a much longer time scale, accompanied by the corresponding particle loss due to 3BL.

Conclusions and outlook— Quantum fluctuations prevent local collapses in unstable dipolar BECs. In particular, our results show the appearance of filament-like droplets, accounting for recent results in Dy condensates. Since the LHY correction depends on $na^3$, we expect that droplets should collapse for lower $a$ values, providing a clear criterion to discern LHY stabilization from stabilization based on large three-body forces [17, 18]. Our results, based on a simplified treatment using a generalized NLNLSE are already in very good agreement with the experiments [14, 19], although a more precise analysis of the effects of long-wave length excitations in small droplets may be necessary to provide a fully quantitative comparison, in particular in what concerns the peak density in the droplets. We stress that LHY stabilization results from the anisotropy of the dipolar interactions. It was absent in previous Cr experiments [18] because the BEC became unstable for a value of $a \simeq 10$ times smaller than in Dy ($m\mu$) in Dy is 10 times larger than in Cr, and LHY stabilization would demand $n \sim 10^{24}$ m$^{-3}$, a density which is never reached due to 3BL. In contrast, LHY stabilization and droplet nucleation are a characteristic general feature induced by the DDI that may play a role in all future experiments with strongly dipolar gases of highly-magnetic atoms and polar molecules.

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[23] The simulation of Eq. (3) is performed using split operator techniques, and treating the DDI using convolution theorem and fast-Fourier transformation. Following [S. Ronen, D. C. E. Bortolotti, and J. L. Bohn, Phys. Rev. A 74, 013623 (2006)] we employ a cut-off of the dipole-dipole potential to reduce spurious boundary effects.

[24] The relative importance of short- and long-wave length excitations in the LHY correction may be estimated as follows. We consider a low-momentum cut-off $q_c(\theta) = q_c(\cos^2 \theta + \lambda^2 \sin^2 \theta)^{1/2}$, with $\lambda$ the aspect ratio of the droplet, and $q_c$ the cut-off along $z$. Introducing this cut-off in the LHY calculation for the homogeneous space at a given density $n$, results in a modified correction $\Delta \mu_n = \frac{15}{16} \lambda^2 \frac{1}{\hbar} \int d\theta \sin \theta \chi(\epsilon_{dd}, \theta) \propto$ where $\chi(\epsilon_{dd}, \theta) = \frac{2^{5/2}}{5} \left( \frac{\epsilon_{dd}(\theta)}{\epsilon_{dd}(\theta)} - \frac{q_c(\theta)^2}{2} \right) + \frac{q_c(\theta)^2}{2} f(\epsilon_{dd}, \theta)^{1/2} + \frac{q_c(\theta)}{2} f(\epsilon_{dd}, \theta)$ and $q_c(\theta)$ is in units of $\xi^{-1}$, with $\xi = (8\pi n a)^{-1/2}$. A droplet with $N_D = 1000$ particles has for $a = 70 a_B$ a size of $2 \mu m \simeq 25 \xi$ (with $\xi$ calculated for an averaged central density of $1.5 \times 10^{20} m^{-3}$), and an aspect ratio $\lambda \simeq 6$. For a cut-off $q_c \xi \simeq 0.25$, excitations with $|q(\theta)| > q_c(\theta)$ may be considered as quasi-classical. For this cut-off, we obtain $\Delta \mu_{n,c} \simeq 0.8$, showing a large contribution of quasi-classical excitations to the LHY correction.

[25] Droplets may form in other trap geometries as well, but their details of the stability threshold, as well as of the droplet nucleation vary with the precise trap.

[26] We obtain similar results using a stochastic Gross-Pitaevskii equation to create the thermal excitations.

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[30] Using other initial conditions, in particular a pancake wavefunction elongated on the $xy$ plane, results in the formation of variable droplet configurations similar to those discussed below in the real time evolution. In passing, this shows that droplet nucleation and the formation of (metastable) droplet structures should occur not only in the post-quench dynamics, but also when directly forcing the condensates at sufficiently low scattering lengths, as discussed in Ref. [21].

[31] Of the order of $8 h \bar{\omega}$ in Figs. 1 with $\bar{\omega} \equiv (\omega_x^2 + \omega_y^2 + \omega_z^2)^{1/3}$.

[32] These densities are larger than those in recent experiments [119] by a factor of 3-4. Note that the LHY depends on $na^3$ and hence a larger $a$ may reduce the density. Even more relevantly, as mentioned in the main text, the prefactor of the LHY may be modified for small droplets by short-wave length excitations. An increase in the prefactor will further decrease the peak density.

[33] The exact value of $L_3$ is not yet known but it should be in the lower $10^{-4} m^6/s$. See M. Lu, Quantum Bose and Fermi gases of dysprosium: production and initial study, PhD Thesis, Stanford University (2014).