Direct link between boson-peak modes and dielectric $\alpha$-relaxation in glasses

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We compute the dielectric response of glasses starting from a microscopic system-bath Hamiltonian of the Zwanzig-Caldeira-Leggett type and using an ansatz from kinetic theory for the memory function in the resulting Generalized Langevin Equation. The resulting framework requires the knowledge of the vibrational density of states (DOS) as input, that we take from numerical evaluation of a marginally-stable harmonic disordered lattice, featuring a strong boson peak (excess of soft modes over Debye $\sim \omega_p$ law). The dielectric function calculated based on this ansatz is compared with experimental data for the paradigmatic case of glycerol at $T < T_g$. Good agreement is found for both the reactive (real part) of the response and for the $\alpha$-relaxation peak in the imaginary part, with a significant improvement over earlier theoretical approaches, especially in the reactive modulus. On the low-frequency side of the $\alpha$-peak, the fitting supports the presence of $\sim \omega_p$ modes at vanishing eigenfrequency as recently shown in [Phys. Rev. Lett. 117, 035501 (2016)]. $\alpha$-wing asymmetry and stretched-exponential behaviour are recovered by our framework, which shows that these features are, to a large extent, caused by the soft boson-peak modes in the DOS.

I. INTRODUCTION

Supercooled liquids that undergo a liquid-glass transition exhibit an abrupt and dramatic slowdown of the atomic/molecular dynamics upon approaching the glass transition temperature $T_g$ [1-4]. The $\alpha$-relaxation describes the slowest component of the time-relaxation (or autocorrelation function) of material response, including mechanical relaxation, relaxation of density fluctuations or of the dielectric polarization [5]. The $\alpha$-relaxation phenomenon has always been associated with the collective and strongly cooperative motion of a large number of atoms/molecules which rearrange in a long-range correlated way [1]. This process has also been interpreted, within the energy landscape picture, as the transition of the system from one meta-basin to another, which involves the thermally activated jump over a large energy barrier [6-8].

Modern theories of dielectric response of matter [9,10] are based on the Lorentz model [11,12], which approximates electrons as classical particles bound harmonically to positive background charges. Upon assuming that all oscillators move at the same natural frequency, the relaxation function $\epsilon(t)$ is a simple-exponential increasing function of time, while the imaginary part $\epsilon''(\omega)$ of the complex dielectric function $\epsilon'(\omega)$, features a resonance peak given by a Lorentzian function [11,12].

Correcting to account for the rotational Brownian motion in the case of strongly anisotropic molecules, as in the Debye dielectric-relaxation theory [9], does not alter the simple-exponential relaxation. While this may be a good approximation for gases and high-$T$ liquids, it is not valid for glasses, as is well known since the time of Kohlrusch [13,14]. For supercooled liquids in general, and for glasses in particular, the Kohlrusch stretched-exponential function $\sim \exp[-(t/\tau)^\beta]$ provides a good empirical fit of the relaxation function and of the dielectric loss [1,2,5,15-17].

Mode-coupling theory (MCT) developed by W. Goetze and co-workers, has provided a general interpretation of the $\alpha$-peak in dielectric relaxation using a framework where the many-body microscopic dynamics of charges is treated statistically, in the same way as for an ensemble of classically interacting spherical particles [4]. The most striking success of MCT has been the first-principles derivation of the Kohlrusch stretched-exponential relaxation for $\alpha$-relaxation in the liquid phase.

While MCT has had tremendous success in describing supercooled liquids at $T > T_g$, the situation is quite different at $T \simeq T_g$ or in the glass at $T < T_g$. Here, although MCT provides a theoretical foundation for Kohlrusch stretched-exponential behaviour, direct comparisons with experimental data have not been possible due to the difficulty of calibrating various parameters in the theory. This scenario is the most striking for the paradigmatic case of glycerol: this is the most widely studied organic glass-former in the experimental literature, yet no microscopic theory has been used to describe the dielectric response of this material apart from empirical models (e.g. Havriliak-Negami), which have no physics in them.

Here we take a very different approach: instead of the liquid-state approach of MCT, we take the opposite point of view, and describe the dynamics in analogy with a disordered low-$T$ lattice of particles which perform harmonic oscillations. Due to the disorder in the lattice (and in particular due to the absence of local inversion symmetry [18]), the low-frequency part of the vibrational density of states (DOS) is dominated by an excess of soft modes over the Debye $\omega_p^2$ law valid for crystals.
This excess of soft modes in the DOS is universally known in the literature on glassy physics and disordered systems as the ”boson peak” [19, 20, 22, 23]. In the following we use this terminology and we refer to the broad ensemble of all these excess soft modes over the Debye $\omega_p^2$ law as the boson peak. It is important to note that, in the sub-field of dielectric spectroscopy of glasses, the terminology ”boson peak” is used to designate an isolated peak in the THz frequency regime of the dielectric loss modulus $\varepsilon''$. In our work we will never refer to or consider this THz-frequency peak in the loss modulus, so there is no ambiguity in our terminology and the term ”boson peak” is used exclusively to designate the ensemble of excess non-Debye modes in the low-$\omega_p$ part of the vibrational DOS.

Famous physicists in the past have attempted to explain stretched-exponential relaxation (which is the hallmark of the $\alpha$-relaxation in glasses) in terms of the underlying cooperative coupling of vibrational degrees of freedom [15, 17, 24, 25]. In spite of these efforts, the link between quasi-localized soft vibrational modes or boson peak modes in the DOS, and the $\alpha$-relaxation process, has surprisingly received less attention, with important exceptions like Ref. [26] and the macroscopic model for viscoelasticity of Ref. [27]. This is despite the fact that both the boson peak in the vibrational DOS and the $\alpha$-relaxation process display a strong $T$-dependence near $T_g$ (for the $T$-dependence of the boson peak, see e.g. [28, 29]).

For the first time, we present a simple and explicit set of relations between the dielectric relaxation functions and the DOS of disordered lattices, based on the ansatz that the microscopic Hamiltonian can be modelled using a system-bath coupling of the Zwanzig-Caldeira-Leggett type. Within this framework, it is possible to show that soft modes in the DOS in the boson-peak region are responsible for the observed stretched-exponential relaxation in time and for the $\alpha$-relaxation peak in the loss modulus of glycerol at $T \lesssim T_g$.

II. GENERALIZED LANGEVIN EQUATION FOR DIELECTRIC RESPONSE WITHIN THE LORENTZ MODEL

In the following, we work within the Lorentz dielectric model of disordered elastically bound classical charges (basically on the same general coarse-graining level as in Ref. 8). We will derive an average equation of motion for a tagged charge/particle which is the microscopic building block in our treatment. We start from an effective Hamiltonian of the Zwanzig-Caldeira-Leggett type which allows one to describe the motion of a tagged particle which is coupled to a large number of harmonic oscillators (the bath), in our case representing the other particles in the glass. As is known, the Caldeira-Leggett models provide an effective way of accounting for long-range anharmonic interactions, and for the result-
where \( H_S = p^2 / 2m + V(q) \) is the Hamiltonian of the tagged particle, \( H_B = \sum_{\alpha=1}^{N} \left( \frac{p_{\alpha}^2}{2m_\alpha} + \frac{1}{2} m_\alpha \omega_\alpha^2 x_\alpha^2 \right) \) is the Hamiltonian of the bath of harmonic oscillators that are coupled to the tagged particle, \( H_I = \sum_{\alpha=1}^{N} H_I(q, x_\alpha, \omega_\alpha, \bar{q}, \bar{q}, \ldots, \bar{x}_\alpha, \bar{x}_\alpha, \ldots) \) is the coupling term between the tagged atom and the bath. Note we also assume that there is no interaction between bath oscillators. Under these definitions, the equations of motion are:

\[
m_\alpha \ddot{x}_\alpha = -\sum_\alpha \frac{\partial H_\alpha}{\partial q}
\]

for the tagged particle, and

\[
m_\alpha \ddot{x}_\alpha + m_\alpha \omega_\alpha^2 x_\alpha = -\frac{\partial H_\alpha}{x_\alpha}.
\]

for an oscillator that belongs to the bath.

For the ZCL model, the Hamiltonian is given by

\[
H = \frac{p^2}{2m} + V(q) + \frac{1}{2} \sum_{\alpha=1}^{N} \left( \frac{p_{\alpha}^2}{m_\alpha} + m_\alpha \omega_\alpha^2 \left( x_\alpha - \frac{F_\alpha(q)}{m_\alpha \omega_\alpha^2} \right) \right)^2.
\]

B. Generalized Langevin Equation (GLE) of molecular motion in low-T glasses

In the ZCL Hamiltonian, the coupling function is taken to be linear \( F_\alpha(q) = c_\alpha q \), where \( c_\alpha \) is known as the strength of coupling between the tagged atom and the \( \alpha \)-th bath oscillator. The bilinear coupling assumption can be related to a small-oscillation assumption in the same spirit as the harmonic approximation. Clearly, this choice leads to a second-order inhomogeneous differential equation for the position of the \( \alpha \)-th oscillator of the bath. This solution can then be replaced into the equation of motion for the tagged particle, which leads to the following GLE

\[
m_\alpha \ddot{q} = -V'(q) - \int_{-\infty}^{t} \nu(t') \frac{dq}{dt'} dt' + F_p(t).
\]

where the non-Markovian friction or memory kernel \( \nu(t) \) is given by:

\[
\nu(t) = \sum_\alpha c_\alpha^2 \frac{2}{\omega_\alpha} \cos \omega_\alpha t.
\]

The thermal noise term \( F_p \) is instead given by the initial positions and momenta of the bath oscillators. For example, if the initial conditions for the bath oscillators are taken to be Boltzmann-distributed \( \sim \exp(-H_B/k_B T) \), the noise satisfies a coloured fluctuation-dissipation theorem, \( \langle F_p(t)F_p(t') \rangle = k_B T \nu(t - t') \). In our case of a glass far below \( T_g \), the system is certainly not thermalized at \( t = 0 \), so that the fluctuation-dissipation theorem is not expected to hold in this form (nor in general). Since we are interested in the low-temperature behaviour below \( T_g \), we will make the assumption that the noise is low, and set \( F_p = 0 \) above. This is consistent with frozen-in molecular positions \([32]\), in the absence of an external driving force.

C. The memory function for the microscopic friction coefficient

The ZCL Hamiltonian does not provide any prescription to the form of the memory function \( \nu(t) \), which can take any form depending on the values of the coefficients \( c_\alpha \) \([31]\). This is evident from looking at Eq.(6). Hence, a shortcoming of CL-type models, including ZCL, is that the functional form of \( \nu(t) \) cannot be derived a priori for a given system, because, while the DOS is certainly an easily accessible quantity from simulations of a physical system, the spectrum of coupling constants \( \{c_\alpha\} \) is basically a phenomenological parameter.

However, for a supercooled liquid, the time-dependent friction, which is dominated by slow collective dynamics, has been famously derived within kinetic theory (Boltzmann equation) using a mode-coupling type approximation by Sjögren and Sjölander \([33]\) (see also Ref.\([34]\)), and is given by the following elegant expression:

\[
\nu(t) = \frac{\rho k_B T}{6\pi^2 m} \int_0^\infty dk k^4 F_s(k, t) [c(k)]^2 F(k, t)
\]

where \( c(q) \) is the direct correlation function of liquid-state theory, \( F_s(q, t) \) is the self-part of the intermediate scattering function and \( F(k, t) \) is the intermediate scattering function \([33]\). All of these quantities are functions of the wave-vector \( k \). Clearly, the integral over \( k \) leaves a time-dependence of \( \nu(t) \) which is controlled by the product \( F_s(k, t)S(k, t) \). For a chemically homogeneous system, \( F_s(k, t)S(k, t) \sim F(k, t)^2 \), especially in the long-time regime. From theory and simulations, we know that in supercooled liquids \( F(k, t) \sim \exp(-t/\tau)^b \), with values of the stretching exponent that are typically around \( \xi = 0.6 \) \([35]\). In turn, this gives \( \nu(t) \sim \exp(-t/\tau)^b \) with \( b \approx 0.3 \). Hence, in our fitting of experimental data we will take

\[
\nu(t) = \nu_0 e^{-(t/\tau)^b},
\]

where \( \tau \) is a characteristic time-scale and \( \nu_0 \) is a constant pre-factor.

D. Final form of the GLE

Further, by assuming no noise in the low-\( T \) limit, we can generalize the formal GLE for the equation of motion of an isolated tagged particle to the case of particles on a 3D nearest-neighbour lattice, where the tagged particle coordinate \( q \) is replaced by the position vector
$r_i$ on the lattice, and the local conservative force-field $-V'(q)$ is provided by the nearest-neighbours interactions, taken here to be harmonic as a good approximation in the low-$T$ limit, such that $-V'(q) = -\frac{\partial H}{\partial q}$, where summation over the repeated index $j$ is implied. Here, $H_{ij} = \frac{\partial V}{\partial r_i} \frac{\partial V}{\partial r_j}$, is the Hessian matrix. Furthermore, in the dielectric response problem, we also have an additional external force given by the electric field acting on the particle charge (or partial charge) $q_e$. Thus we get the following GLE-type equation of motion valid at low $T$:

$$m \ddot{r}_i + \int_{-\infty}^{t} \nu_0 e^{-[(t-t')/\tau]^b} \dot{r}_i dt' + H_{ij} \ddot{r}_j = q_e E.$$  \hspace{0.5cm} (9)

where $q_e$ is now the partial charge on the tagged particle, and $E$ is the externally applied electric field.

This equation of motion describes the dynamics of an average particle harmonically bound locally to its nearest-neighbours, and non-locally coupled to many other particles, due to the bilinear coupling term of the ZCL Hamiltonian. This non-local coupling represents an effective way of accounting for the effect of long-range anharmonicity in real materials, and is the root cause for dissipation and for the time-dependent friction in the memory integral. Equation (9) will be used below within the Lorentz model of dielectrics to obtain the dielectric function.

**E. Vibrational DOS and its $T$-dependence**

As anticipated above, in the Lorentz dielectric model the displacement of all particles in the applied oscillating electric field has to be evaluated to obtain the polarization. This require a sum over all degrees of freedom of all particles, which can be done by using the vibrational density of states and integrating over the eigenfrequency, as will shown later. The DOS that we will use is obtained from numerical diagonalization of the simulated network described above, and is expressed in terms of dimensionless eigenfrequencies $\omega_p$. Generally, the eigenfrequency $\omega_p$ obtained from numerics and the eigenfrequency $\omega'_p$ of the real experimental systems are related via $\omega'_p \approx \sqrt{\kappa/m} \omega_p$ where $m$ is the effective mass of the charged particle and $\kappa$ the spring constant, under the condition that $\int_0^{\omega_p} \rho' (\omega'_p) d\omega'_p = \int_0^{\omega_p} \rho (\omega_p) d\omega_p$. We use the constant $C = \sqrt{\kappa/m}$ as a fitting parameter. $\kappa$ and $m$ are both equal to unity in the numerical simulation of the DOS, whereas their values are of course different for different experimental systems (in the case of dielectric response, $m$ is to understood as an effective mass).

Also, the DOS obtained from diagonalization of the model random networks, depends on the average coordination number $Z$. For example, the boson peak frequency drifts towards lower values of $\omega_p$ according to the scaling $\omega_p^{Bp} \sim (Z - 6)$. Hence, $Z$ is the crucial control parameter of the relaxation process which in a real molecular glass changes with $T$. Therefore, in order to use our numerical DOS data in the evaluation of the dielectric function, we need to find a physically meaningful relation between $Z$ and $T$ at the glass transition. Within this picture, $Z$ represents the effective number of intermolecular contacts, which increases the number of positive charges to which a negative charge is bound in the material.

In all experimental systems which measure the $T$-dependent material response, the temperature is varied at constant pressure, which implies that thermal expansion is important. Following previous work, we thus employ thermal expansion ideas \cite{36} to relate $Z$ and $T$. Upon introducing the thermal expansion coefficient $\alpha_T = \frac{1}{V} \frac{\partial V}{\partial T}$ and replace the total volume $V$ of the sample via the volume fraction $\phi = vN/V$ occupied by the molecules ($v$ is the volume of one molecule), upon integration we obtain $\ln (1/\phi) = \alpha_T T + \text{const}$. Approximating $Z \sim \phi$ locally, we get $Z = Z_0 e^{-\alpha T}$. Imposing that $Z_0 = 12$, as for FCC crystals at $T = 0$ in accordance with Nernst principle, we finally get, for glycerol, $Z \approx 6.92$ when $T = 184K$. This is very close to the reported $T_g$ for this material \cite{37}.

It is seen in Fig. 1 and in Fig. 2 that for the case $Z = 6.1$, i.e. very close to the solid-liquid (glass) transition that occurs at $Z = 6$, a strong and broad boson peak is present in the DOS. Upon increasing $Z$ towards higher values the boson peak is still present but its amplitude decreases markedly upon increasing $Z$. At $Z = 6.1$, the continuum Debye regime $\sim \omega_p^2$ is not visible or absent, whereas a very small gap between $\omega_p = 0$ and the lowest eigenfrequency exists. Hence, under conditions close to the glass transition where the system loses its shear rigidity, the vibrational spectrum is dominated by a large and broad excess of soft modes with respect to Debye $\sim \omega_p^2$ law at low frequency.

![FIG. 1. Density of states (DOS) with respect to eigenfrequency $\omega_p$ at $Z = 6.1$ (solid line), i.e. close to the marginal stability limit $Z = 6$ that we identify here as the solid-liquid (glass) transition; plots of the DOS at $Z = 7, Z = 8, Z = 9$ are also shown, and are marked as dashed, dot dashed and dotted lines, respectively.](image-url)
of each with the field, we have to describe the displacement and of the dielectric function on the frequency of the equation is solved by

\[ \omega \]

where \( \omega_p \) is the boson peak frequency. The eigenfrequency of boson peak scales as \( \omega_p \sim (Z - 6) \) as known from work for disordered systems with central-force interactions [13] [21] [22].

F. Dielectric response as a function of the vibrational DOS

In order to determine the dependence of the polarization and of the dielectric function on the frequency of the field, we have to describe the displacement \( \mathbf{r} \) of each molecule from its own equilibrium position under the applied field \( \mathbf{E} \). Upon treating the dynamics classically, the equation of motion for a charge \( i \) under the forces coming from interactions with other charges and from the applied electric field, is given by the GLE Eq.(9) derived above.

The Hessian \( \mathbf{H}_{\frac{\partial^2 U}{\partial \mathbf{r}_i \partial \mathbf{r}_j}} \), where \( U \) is the total potential energy of the system, represents the restoring attractive interactions from oppositely-charged nearest-neighbour charges, that tend to bring the charge \( i \) back to the rest position that \( i \) had at zero-field. To solve this equation, the first step is to take the Fourier transform:

\[ \mathbf{r}_i(t) \rightarrow \hat{\mathbf{r}}_i(\omega) \]

resulting in the equation:

\[ -m\omega^2\hat{\mathbf{r}}_i + i\omega\hat{\mathbf{v}}(\omega)\hat{\mathbf{r}}_i + \mathbf{H}_{\mathbf{r}_i} = q_i\mathbf{E}, \]

where the tilde is used to denote Fourier-transformed variables. Hence, \( \hat{\mathbf{v}}(\omega) \) is the Fourier transform of Eq.(8).

We then implement normal-mode decomposition:

\[ \mathbf{r}_i(\omega) = \hat{\mathbf{r}}_i(\omega)\mathbf{v}_p, \]

where the hat is used to denote the eigenvector of the Hessian matrix. Thus the equation of motion is rewritten as

\[ -m\omega^2\hat{\mathbf{r}}_i + i\omega\hat{\mathbf{v}}(\omega)\hat{\mathbf{r}}_i + m\omega_p^2\hat{\mathbf{r}}_i = q_i\hat{\mathbf{E}}, \]

where \( \omega_p \) denotes the \( p \)-th normal mode frequency. The equation is solved by

\[ \hat{\mathbf{r}}_i(\omega) = -\frac{q_i\hat{\mathbf{E}}}{m\omega^2 - i\omega\hat{\mathbf{v}}(\omega) - m\omega_p^2}. \]

Upon multiplying through by the eigenvector \( \mathbf{v}_p \), we go back to a vector equation for the Fourier-transformed displacement of particle \( i \):

\[ \delta\hat{\mathbf{r}}_i(\omega) = -\frac{q_i\hat{\mathbf{E}}}{m\omega^2 - i\omega\hat{\mathbf{v}}(\omega) - m\omega_p^2}. \]

Each particle contributes to the polarization a moment \( p_i = q_i\delta\hat{\mathbf{r}}_i \). In order to evaluate the macroscopic polarization, we need to add together the contributions from all microscopic degrees of freedom in the system, \( \mathbf{P} = \sum_i p_i \).

In order to do this analytically, we use the standard procedure of replacing the discrete sum over the total \( 3N \) degrees of freedom of the solid with the continuous integral over the eigenfrequencies \( \omega_p \),

\[ \sum_{\alpha=1}^{3N} \sum_{i=1}^{N} \rightarrow \int \rho(\omega_p) d\omega_p, \]

which gives the following sum rule in integral form for the polarization in glasses

\[ \mathbf{P}(\omega) = -\left[ \int_0^{\omega_D} \frac{\rho(\omega_p) q_i^2}{m\omega^2 - i\omega\hat{\mathbf{v}}(\omega) - m\omega_p^2} d\omega_p \right] \mathbf{E}(\omega). \]

Here, \( \rho(\omega_p) \) is the vibrational DOS, and \( \omega_D \) is the cut-off Debye frequency arising from the normalization of the density of states. The complex dielectric permittivity \( \epsilon^* \) is defined as \( \epsilon^* = 1 + 4\pi \chi_e \), where \( \chi_e \) is the dielectric susceptibility which connects polarization and electric field as [11]:

\[ \mathbf{P} = \chi_e \mathbf{E}. \]

Hence, we obtain the complex dielectric function expressed as a frequency integral as

\[ \epsilon^*(\omega) = 1 - \int_0^{\omega_D} \frac{A\rho(\omega_p)}{\omega^2 - i\omega(\hat{\mathbf{v}}(\omega)/m)\omega - C^2\omega_p^2} d\omega_p \]

where \( A \) is an arbitrary positive constant, \( C = \sqrt{\kappa/m} \), and \( \omega_D \) is the Debye cut-off frequency (i.e. the highest eigenfrequency in the vibrational DOS spectrum). As one can easily verify, if \( \rho(\omega_p) \) were given by a Dirac delta, one would recover the standard simple-exponential (Debye) relaxation [11].

Note that this approach can be extended to deal with molecules that have stronger inner polarizability by replacing the external field \( \mathbf{E} \) with the local electric field \( \mathbf{E}_{local} \) see e.g. Ref. [9]. The detailed derivation is provided in Appendix A. However, we have checked that the qualitative predictions are the same with and without the Lorentz field correction.

It is important to emphasize that, in Eq.(13), low-frequency soft modes which are present in \( \rho(\omega_p) \) necessarily play an important role also at low applied-field frequencies \( \omega \), because of the \( \omega^2 \) term in the denominator. As we will see below, this fact in our description implies a direct role of the boson peak on the \( \alpha \)-relaxation process.

G. Finite-size effects and low-frequency limit in the DOS

Since we are using a DOS obtained numerically from a system with a finite (~ 4000) number of particles in simulations, it is important to correctly take care of finite size effects in Eq.(13). In numerical simulations, the DOS
\(\rho(\omega_p)\) is not a continuous function, but discrete and can be conveniently represented as \(\rho(\omega_p) \sim \frac{1}{3N} \sum_{p=1}^{3N} \delta(\omega_p - \omega_p)\). Thus, we rewrite Eq.(13) as a sum over a discrete distribution of \(\omega_p\):

\[
\epsilon^*(\omega) = 1 - \sum_p \frac{A}{\omega^2 - i(\nu(\omega)/m - C^2\omega_p^2)} \quad (14)
\]

where \(A\) has absorbed the scaling constant. Since the dielectric function is a complex quantity, we can split it into its real and imaginary parts, i.e. \(\epsilon^*(\omega) = \epsilon'(\omega) - i\epsilon''(\omega)\):

\[
\epsilon'(\omega) = \epsilon'(\infty) + \sum_p \frac{A_1(C^2\omega_p^2 - \omega^2 + \nu_2(\omega)/m)}{(C^2\omega_p^2 - \omega^2 + \omega

\epsilon''(\omega) = \sum_p \frac{A_2(\omega\nu_1(\omega)/m)}{(C^2\omega_p^2 - \omega^2 + \omega\nu_1(\omega)/m)^2 + (\omega\nu_1(\omega)/m)^2}. \quad (16)

The Markovian friction case is retrieved by simply setting \(\nu = \nu_1 = \text{const}\) in the above expressions. \(A_1, A_2, \epsilon'(\infty), m\) are re-scaling constants that need to be calibrated in the comparison with experimental data. It is important to note that the experimental data of dielectric permittivity and dielectric loss are not necessarily given in the same units and there is, in general, no coherence between the offsets in the plots of the \(\epsilon'\) and \(\epsilon''\) curves. For this reason, the values of \(A_1\) and \(A_2\) do not necessarily coincide. \(\nu_1\) and \(\nu_2\) are real and (minus) imaginary parts of \(\nu(\omega)\) in Fourier space, \(\nu(\omega) = \nu_1(\omega) - i\nu_2(\omega)\).

As remarked above and as observed in all numerical calculations of the DOS in the vicinity of the mechanical stability point of disordered solids, there exists a lowest non-zero eigenfrequency \(\omega_{p,\text{min}}\), and a vanishingly small gap between \(\omega_p = 0\) and \(\omega_{p,\text{min}} = 0.019\). A recent study has pointed out that a scaling \(\sim \omega_p^4\), possibly related to soft anharmonic modes, exists even below the lowest Goldstone modes [39]. Even though we cannot settle this issue here, since it reaches far beyond the scope and interest of this work, we have performed an asymptotic analysis of the limiting behaviour of \(\rho(\omega_p)\) at \(\omega_p \to 0\) in the context of the dielectric response. The analysis is reported in Appendix C, and clearly shows that only asymptotic scalings \(\rho(\omega_p) \sim \omega_p^n\), with \(n > 3\) can lead to meaningful behaviour of \(\epsilon''(\omega)\). This finding lends further support to this form of the DOS in the zero frequency limit, and we therefore use this scaling in the finite-size gap between \(\omega_p = 0\) and \(\omega_{p,\text{min}}\), which results in a linear behaviour of the left flank of the \(\alpha\)-peak in \(\epsilon''(\omega)\) in perfect agreement with experimental data as discussed below.

### III. Application to Dielectric Relaxation Data

We now present our theoretical fittings of state-of-the-art experimental data [37, 38] on glycerol at \(T \approx T_g\) using Eq.(15)-(16), also in comparison with the empirical best-fitting Kohlrausch stretched-exponential relaxation fitting. In Fig. 3 we plotted the comparisons for \(\epsilon'(\omega)\) at \(T = 184 K\), i.e. slightly below \(T_g\), obtained by implementing the numerical DOS of Fig.1 for \(Z = 6.1\) in Eq.(15). In this case, it is clear that our theoretical model performs significantly better than the Kohlrausch best-fitting (that is optimized for the joint the fitting of dielectric loss below). This suggests that excess soft modes are important for the fitting of the dielectric response at the glass transition.

In Fig. 4 we present fittings of the dielectric loss, \(\epsilon''(\omega)\) for the Markovian case \(\nu = \nu_1 = \text{const}\) in Eq.(16). In this case, it is seen that our framework even in its Markovian-friction version, provides a reasonably good fitting of the \(\alpha\)-peak on both the left-hand and the right-hand side of the peak, and the overall quality of the fitting is comparable to the one of the Kohlrausch best empirical fitting. Our theoretical model provides the crucial connection between the salient features of the DOS near \(T_g\) and the corresponding features of the response. Of course, at the higher-frequency end of the \(\alpha\)-wing, other effects may as well be important which are not described by our model: in particular, the existence of Johari-Goldstein \(\beta\)-relaxation-type contributions to the loss modulus in this regime has been shown for a variety of systems [40,14].

On the left-hand ascending side of the peak, the scaling \(\rho(\omega_p) \sim \omega_p^4\) leads to the linear behaviour \(\sim \omega_p^4\), as derived in Appendix C, for the ascending part of the peak. On the high-\(\omega\) side of the peak, where the dynamics is dominated by the soft boson-peak modes and the DOS is approximately flat as a function of \(\omega_p\) in Fig.1, our model, reproduces, remarkably, the asymmetric \(\alpha\)-wing behaviour still in good agreement with the experimental data.

In Fig. 5, we present the same fitting, but now with a non-Markovian friction given by \(\nu(t) = \nu_0 e^{-4t}\) used in Eq.(16), with \(b = 0.3\) suggested by previous studies on glassy dynamics. Overall, the non-Markovian friction provides a better fitting, which suggests that memory effects in the atomic dynamics are non-negligible. However, the memory kernel due to non-Markovian friction does not appear to be essential to generate and reproduce the \(\alpha\)-wing asymmetry.

This comparative analysis therefore demonstrates quite clearly that while memory effects are important, the main cause for the \(\alpha\)-wing asymmetry is the excess of soft vibrational modes in the DOS, which is a very important outcome of our study.
IV. DIELECTRIC RELAXATION IN THE TIME DOMAIN

We are also interested in the dielectric response in the time domain. In order to keep the derivation amenable to analytical treatment, we focus on the case of Markovian friction, $\nu = \text{const}$. The time dependent dielectric function $\epsilon(t)$ and complex dielectric function $\epsilon^*(\omega)$ are related as:

$$\frac{d\epsilon(t)}{dt} = \frac{1}{2\pi} \int_{-\infty}^{\infty} (\epsilon^*(\omega) - \epsilon(\omega = \infty)) e^{i\omega t} d\omega$$ (17)

$$\epsilon^*(\omega) = \epsilon(\omega = \infty) - \int_{0}^{\infty} \frac{d\epsilon(t)}{dt} e^{-i\omega t} dt$$ (18)

By using Eq.(13) for Markovian friction, we can write the analytical form of $\epsilon(t)$ as follows (see Appendix B for the details of the derivation):

$$\epsilon(t) = B + \int_{0}^{\omega_D} \frac{A\rho(\omega_p)}{2K} \left( \frac{e^{(K-\nu/2m)t}}{K-\nu/2m} + \frac{e^{-(K+\nu/2m)t}}{K+\nu/2m} \right) d\omega_p,$$ (19)

where $K \equiv \sqrt{(C\omega_p)^2 - \frac{\nu^2}{4m^2}}$, while $B$ is a re-scaling constant. This equation is a key result: it provides a direct and quantitative relation between the macroscopic relaxation function of the material and the DOS. As we show below, the presence of a boson peak in $\rho(\omega_p)$ directly causes stretched-exponential decay in $\epsilon(t)$ via Eq.(19).
In Fig. 6, we plot predictions of Eq.(19) with the parameters calibrated in the glycerol data fitting for the case $\nu = \text{const}$, along with the Kohlrausch function [43][49], for the relaxation in the time domain. It is seen that our description based on soft modes is able to perfectly recover stretched-exponential relaxation, with stretching-exponent $\beta = 0.56$, over many decades in frequency. Without the boson-peak modes in the DOS, we have checked that stretched-exponential relaxation cannot be recovered, and the decay is simple-exponential. Hence, our Eq.(19) provides the long-sought cause-effect relationship between soft modes and stretched-exponential relaxation, even for the simple case of Markovian friction where the response is clearly dominated by the density of states.

V. CONCLUSIONS

We have re-considered the nature of the dielectric $\alpha$-relaxation of simple glass-formers from the standpoint of soft modes, dissipation and lattice dynamics. We started from the same presumption of Ref. [3] that dielectric $\alpha$-relaxation emerges from many-body dynamics in a statistical way, transcending the details of charge dynamics. This should especially be true for glycerol, a paradigmatic glass-forming molecule. Starting from a microscopic system-bath Hamiltonian, we are able to reproduce the dielectric response of glycerol in reasonable agreement with state-of-the-art experimental data. Our physically-informed theoretical fitting compares well with empirical Kohlrausch fittings. For both the reactive modulus $\epsilon'(\omega)$ and the loss modulus $\epsilon''(\omega)$, our model provides a significantly better fitting than the best Kohlrausch fitting. For the loss modulus, our model fitting is able to reproduce the $\alpha$-wing asymmetry and although memory effects in the atomic-scale dissipative dynamics are non-negligible, the most important factor that causes the asymmetry is represented by the excess of soft modes in the DOS (boson peak). For the loss modulus $\epsilon''(\omega)$, on the low-frequency side of the $\alpha$-peak, we show that the response is controlled by the scaling $\sim \omega_p^4$ as $\omega_p \to 0$ in the DOS. In the time-domain response, remarkably, our framework recovers a stretched-exponential relaxation with $\beta = 0.56$, over the entire time domain. These unprecedented results show, for the first time, that stretched-exponential relaxation in glasses is directly caused by the quasi-localized boson-peak excess modes contribution to the relaxation spectrum. These results open up new opportunities to understand the crucial link between $\alpha$-relaxation, boson peak and dynamical heterogeneity [43][49] in glasses.

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Appendix A: Lorentz local field effect on the total polarization

In condensed matter systems, the electric field that effectively acts on a molecule locally is equal to the external field only in the limit of vanishing polarizability of the molecule [9]. This is a well-known effect whereby the field in the medium is affected (diminished) by the local alignment of the polarized molecules. The simple Lorentz cavity model works well in materials where the building blocks are not pathologically shaped or anisotropic, and is applicable to random isotropic distribution of the building blocks. Without loss of generality, we present an analysis for the case of Markovian friction $\nu = \text{const}$. The derivation of the local field or Lorentz field can be found in many textbooks, e.g. in Refs. [9][50]

$$E_{loc} = E + \frac{4\pi}{3} P.$$  \hspace{1cm} (A1)

With $E$ replaced by $E_{loc}$, we now write equation of motion as

$$m\ddot{r}_i + \nu\dot{r}_i + H_{ij} r_j = q_i E + \frac{4\pi}{3} P.$$ \hspace{1cm} (A2)

As a consequence, we instead have

$$\delta E_{i}(\omega) = \frac{q}{m\omega^2 - i\nu\omega - \omega_p^2} \left(\overline{E}(\omega) + \frac{4\pi}{3} P(\omega)\right).$$ \hspace{1cm} (A3)

The total polarization is

$$P = \sum_i q_i \delta E_i + \alpha E_{loc}.$$ \hspace{1cm} (A4)
where $\alpha$ is the microscopic electronic polarizability. Combining the above relations together and summing over all contributions from all the building blocks, we obtain

$$
\epsilon(\omega) = 1 + 4\pi \frac{\chi(\omega)}{1 - \frac{4\pi}{3} \chi(\omega)},
$$

$$
\chi(\omega) = q^2 e^2 \int_0^{\omega D} \frac{\rho(\omega_p)}{m\omega^2 - m\omega_p^2 + i\omega\nu + i\omega\nu/m} d\omega_p + \alpha \tag{A5}
$$

where we used $D = \epsilon E = \mathcal{E} + 4\pi \mathcal{P}$. We have checked that accounting for the Lorentz field and using Eq.(A5) for the fitting produces very similar results and does not alter the fitting of the dielectric relaxation data qualitatively.

**Appendix B: Derivation of Eq.(19) for the relaxation function in the time-domain**

We recall that the Fourier transform of a function $f(x)$, in this article, is defined as:

$$
\hat{f}(\omega) = \int_{-\infty}^{\infty} f(x) e^{-i\omega x} dx \tag{B1}
$$

while the inverse Fourier transform is defined as

$$
f(x) = \frac{1}{2\pi} \int_{-\infty}^{\infty} f(\omega) e^{i\omega x} d\omega. \tag{B2}
$$

From Eq.(17) in the main article, we firstly need to find the time derivative of $\epsilon(t)$:

$$
\frac{d\epsilon(t)}{dt} = -\frac{1}{2\pi} \int_0^{\omega D} \int_{-\infty}^{\infty} A\rho(\omega_p) e^{i\omega t} \frac{\epsilon(\omega_p)}{\omega^2 - (C\omega_p)^2 - i\omega\nu/m} d\omega_p d\omega. \tag{B3}
$$

We can change the order of integration, which gives:

$$
\int_0^{\omega D} A\rho(\omega_p) \int_{-\infty}^{\infty} \frac{e^{i\omega t}}{2\pi \omega^2 - (C\omega_p)^2 - i\omega\nu/m} d\omega d\omega_p.
$$

Note that, for the inner integration, i.e.,

$$
\int_{-\infty}^{\infty} \frac{1}{2\pi \omega^2 - (C\omega_p)^2 - i\omega\nu/m} d\omega,
$$

we could make an analytic continuation of $\omega$ to the complex plane and use contour integration to evaluate the complex integral. However, we can achieve the same result via a simpler route just using the Fourier inversion theorem [52] that we recall below.

**Theorem** (The Fourier Inversion Theorem). Suppose $f$ is integrable and piecewise continuous on $\mathbb{R}$, defined at its points of discontinuity so as to satisfy

$$
f(x) = \frac{1}{2} [f(x-) + f(x+)] \text{ for all } x. \text{ Then } f(x) = \lim_{\epsilon \to 0} \frac{1}{2\pi} \int e^{-i\xi x} e^{-\epsilon^2 \xi^2/2} f(\xi) d\xi, \text{ } x \in \mathbb{R}. \text{ Moreover, if } \hat{f} \in L^1, \text{ then } f \text{ is continuous and } f(x) = \frac{1}{2\pi} \int e^{-i\xi x} \hat{f}(\xi) d\xi, \text{ } x \in \mathbb{R}.
$$

The uniqueness of the inverse Fourier transform is guaranteed by this theorem. If we can find a function of time, whose Fourier transformation gives back the complex dielectric function $\epsilon^*(\omega)$, then this function would be the time derivative of the dielectric relaxation $\epsilon(t)$. We use the following ansatz

$$
e^{-\gamma t} \sin (Kt) \frac{H(t)}{K}, \tag{B4}
$$

where $\gamma = \frac{\nu}{2m}$ and $K = \sqrt{-\frac{\nu^2}{4m^2} + (C\omega_p)^2}$ and $H(t)$ is a Heaviside step function, whose Fourier transformation is expressed as $\frac{1}{\omega^2-\omega_0^2-i\omega\nu/m}$.

However, we need to put care in taking $\nu \gg 2mc\omega_D$, which amounts to restricting our analysis to the high-friction overdamped dynamical regime. In this way, we finally obtain (for $t > 0$)

$$
\frac{d\epsilon(t)}{dt} = e^{-\frac{\pi t}{4\nu m}} \int_0^{\omega D} A\rho(\omega_p) \sin \left( \sqrt{\frac{\nu^2}{4m^2} - (C\omega_p)^2} t \right) \frac{\omega^2 - i\omega\nu/m - C^2\omega_p^2}{\sqrt{\frac{\nu^2}{4m^2} - (C\omega_p)^2}} d\omega_p. \tag{B5}
$$

Upon further integrating over $t$, we recover Eq.(19) in the main article.

**Appendix C: Behavior of $\epsilon''$ when $\omega \to 0$**

Without loss of generality, we specialize on the Markovian case $\nu = const$ and take the limit $\omega \to 0$ in Eq.(13):

$$
\lim_{\omega \to 0} \epsilon(\omega)^* = \lim_{\omega \to 0} \left( 1 - \int_0^{\omega D} \frac{A\rho(\omega_p)}{\omega^2 - (C\omega_p)^2 - i\omega\nu/m} d\omega_p \right) = 1 - A \int_0^{\omega D} \frac{\rho(\omega_p)}{\omega^2 - i\omega\nu/m - C^2\omega_p^2} d\omega_p. \tag{C1}
$$

We Taylor-expand $\rho(\omega_p)$ around $\omega_p = 0$:

$$
\rho(\omega_p) = \rho(0) + \rho'(0)\omega_p + \frac{\rho''(0)}{2} \omega_p^2 + \frac{\rho^{(3)}(0)}{6} \omega_p^3 + ... \tag{C2}
$$

Thus, after substituting Eq.(C2) into Eq.(C1), we have...
\[\lim_{\omega \to 0} \epsilon'\omega^{*} = 1 - A \lim_{\omega \to 0} \int_{0}^{\omega_D} \frac{\rho(0) + \rho'(0)\omega_p + \rho''(0)\omega_p^2 + \rho'''(0)\omega_p^3 + \ldots}{\omega^2 - i(\nu/m)\omega - C^2\omega_p^2} d\omega_p\]

\[= 1 - A \lim_{\omega \to 0} \int_{0}^{\omega_D} \frac{[\rho(0) + \rho'(0)\omega_p + \rho''(0)\omega_p^2 + \rho'''(0)\omega_p^3 + \ldots][(\omega^2 + i(\nu/m)\omega - C^2\omega_p^2)]}{\omega^4 - 2C^2\omega^2\omega_p^2 + C^4\omega_p^4 + C^2\omega_p^2\nu^2/m^2} d\omega_p\]

\[= 1 - A \lim_{\omega \to 0} \int_{0}^{\omega_D} W_0(\omega) + W_1(\omega)\omega_p + W_2(\omega)\omega_p^2 + W_3(\omega)\omega_p^3 + \ldots\]

\[= 1 - A \lim_{\omega \to 0} \int_{0}^{\omega_D} \frac{W_0(\omega) + W_1(\omega)\omega_p + W_2(\omega)\omega_p^2 + W_3(\omega)\omega_p^3 + \ldots}{\omega^4 - 2C^2\omega^2\omega_p^2 + C^4\omega_p^4 + C^2\omega_p^2\nu^2/m^2} d\omega_p\]

where \(W_0(\omega) = \rho(0)(\omega^2 + i(\nu/m)\omega), W_1(\omega) = \rho(0)(\omega^2 + i(\nu/m)\omega), W_2(\omega) = -\rho(0)C^2 + \frac{\rho(0)^\nu}{2}(\omega^2 + i(\nu/m)\omega), W_3(\omega) = \frac{\omega^2}{6}(\omega^2 + i(\nu/m)\omega)^{\nu/2}(\omega^2 + i(\nu/m)\omega) - C^2\rho'(0)\).

In order to let the integrand be continuous for both real and imaginary part, \((\omega, \omega_p) \in \mathbb{R}^+ \cup \{0\} \times \mathbb{R}^+ \cup \{0\}\) (it makes sense to change the order of integration/limit at \(0, 0\)), we must have \(\rho(\omega_p) \sim 0, \rho'(\omega_p) \sim 0, \rho''(\omega_p) \sim 0, \rho'''(\omega_p) \sim 0\) as \(\omega_p \to 0\). There is no restriction for \(\rho^{(4)}(\omega_p)\) or higher order as \(\omega_p \to 0\). Hence, we must have \(\rho(\omega_p) \sim \omega^n\) for \(n > 3\). In order to study the scaling of \(\epsilon''\) on the left flank of the \(\alpha\)-peak, we can set \(\rho(\omega_p) \sim \omega_p^4\), then from Eq. (C3), we want to study the behavior of

\[\lim_{\omega \to 0} \int_{0}^{\omega_D} \frac{\omega_p^4}{\omega^2 - i\nu/m\omega - C^2\omega_p^2} d\omega_p.\]  

Without loss of generality for the asymptotic analysis we can take \(\omega_D = 1\), and the integral can be evaluated analytically to the following expression

\[\epsilon''(\omega) = \int_{0}^{1} \frac{\omega D(\omega_p)}{(\omega_p^2 - \omega^2)^2 + \omega^2} d\omega_p\]

\[= \omega + \frac{1}{2} (\omega^2 + i\omega)^{3/2} \arctan \left[ \frac{i}{\sqrt{\omega^2 + i\omega}} \right] - (\omega^2 - i\omega)^{3/2} \arctan \left[ \frac{i}{\sqrt{\omega^2 - i\omega}} \right] \]

\[= \omega + \frac{\pi}{4} (\omega^2 + i\omega)^{3/2} - (\omega^2 - i\omega)^{3/2} \]

from which we obtain

\[\epsilon''(\omega) \approx \omega + \frac{\pi}{4} (\omega^2 + i\omega)^{3/2} - (\omega^2 - i\omega)^{3/2} \]

and hence \(\epsilon''(\omega) \sim \omega\) in the limit of small frequency, in agreement with the experimental data.

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