Can quantum theory and special relativity peacefully coexist?

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(Dated: August 2010)

For me then this is the real problem with quantum theory: the apparently essential conflict between any sharp formulation [of quantum theory] and fundamental relativity. That is to say, we have an apparent incompatibility, at the deepest level, between the two fundamental pillars of contemporary theory . . . . It may be that a real synthesis of quantum and relativity theories requires not just technical developments but radical conceptual renewal.

J.S. Bell (1986, [4, p. 9])

I. INTRODUCTION

This white paper aims to identify an open problem in ‘Quantum Physics and the Nature of Reality’—namely whether quantum theory and special relativity are formally compatible—, to indicate what the underlying issues are, and put forward ideas about how the problem might be addressed.

Consider jointly the following two theorems: firstly, the so-called No-Signalling Theorem in quantum theory¹ and, secondly, Bell’s Theorem stating that quantum theory and the theory of (special) relativity indeed “peacefully coexist” (A. Shimony, 1984 [29, p. 227]) or is there an “apparent incompatibility” here (J.S. Bell, 1984 [5, p. 172])? If we think the latter is the case—which we will argue one should—, does this ask for a radical revision of our understanding of what (special) relativity in fact enforces? Or are the requirements set by special relativity quite well-understood and should we, therefore, either adopt an un-relativistic approach in any future physics because relativity is false, or, alternatively, find a new formulation of quantum theory that manages to violate Bell’s local causality in a relativistically invariant way? Or might it be the case that a conclusive, and well-understood answer to the central question can only be provided by a quantum theory of gravity?

There is no consensus among contemporary philosophers and physicists as to how one should answer these questions. For example, Albert and Galchen (2009, [1]) speak of a “quantum threat to special relativity”, whereas Clark et al. (2010, [9]) claim that “[t]he formal compatibility of quantum mechanics with special relativity is highly nontrivial and is in many ways miraculous.” Is there indeed such a formal compatibility? If indeed so, can we understand this “miracle”? Or should we agree with Albert and Galchen that we are faced with a severe incompatibility, and in such a way that relativity is likely to be undermined?

In this white paper these issues will be addressed as follows. The doctrine of “peaceful coexistence” between relativity and quantum physics claims simply that relativity and quantum theory will never be found to contradict each other in those cases in which they do happen to be talking about the same things—in particular the types of causal correlations that are possible between events. However, we believe it is fair to claim that no satisfactory proof of this proposition has so far been offered. The reason is the following. Peaceful coexistence is supposed to be guaranteed by the so-called No-Signalling Theorems. However, such theorems in fact presuppose locality of measurements (i.e., a form of no-signalling) and are therefore circular.

This is a first reason to question the doctrine of peaceful coexistence. But independent of whether one believes this verdict of the no-signalling theorems to be appropriate, it remains the fact that quantum theory is not locally causal and thus violates the causal spacetime structure of relativity. And because of this latter fact a very good case can be made that there really is an incompatibility here between quantum theory and special relativity. It thus appears that instead of facing peaceful coexistence we are in fact confronted with armed truce (Peacock, 1991 [25]).

Section II is devoted to a series of arguments in favour of this position. Section III presents a number of ways
to address this incompatibility between quantum theory and special relativity. Here we will have to necessarily be brief, both because of lack of space as well as because most of these proposals are still work in progress and not at all clear-cut. Section [V] concludes via a short discussion. Finally, the Appendix contains a careful exposition of Bell’s notion of local causality.

II. ARTICULATING THE PROBLEM AND THE UNDERLYING ISSUES

A. Why peaceful coexistence is not ensured

The claim has been made that the principles of quantum theory alone suffice for proofs of the No-Signalling Theorems [13, 14, 26]. The most sophisticated proofs of these theorems are in terms of quantum field theory and rely on the notion of microcausality or local commutativity, which means that operators which represent measurements performed on space-like separate parts of a physical system always commute, regardless of whether or not they would commute if operating locally (Peacock, 1991 [23, p. 56]).

The important observation is that this microcausality condition does not follow from some set of quantum principles, but is in fact postulated because it is “the mathematical statement of the fact that no signal can be exchanged between two points separated by a spacelike interval and therefore that measurements at such points cannot interfere” (Schweber, 1961 [27, p. 723]). Indeed, Stapp (1988, [33, p. 88]) admits that “relativistic quantum field theory . . . is constructed to ensure that its predictions do not depend either on the frame of reference or upon the order in which one imagines performing measurements on spacelike separated regions.”

However, “a proof of a result based on a theory which was ‘constructed to ensure’ that result is no proof at all” (Peacock, 1991 [23, p. 70]). This conclusion has been endorsed recently by Mittlestaedt (2008, [13, p. 2]): “The micro-causality condition of relativistic quantum field theory excludes entanglement induced superluminal signals but this condition is justified by the exclusion of superluminal signals. Hence, we are confronted here with a vicious circle, and the question whether there are superluminal EPR-signals cannot be answered in this way.”

Other proofs of the No-Signalling Theorem not using the assumption of microcausality suffer from similar problems because they either implicitly or explicitly assume that measurements have only local effects, thereby begging the question (Peacock, 1991 [23]). Of course, what these theorems do show is that the requirement of no-signalling can be worked out consistently in the quantum domain, and as such can be regarded ‘consistency proofs’. Furthermore, logically, for the desired compatibility between quantum theory and relativity it is not needed that one can derive the no-signalling constraint, or any other relativistic constraint whatsoever.

But despite this, even if the theorems would be valid, then still the desired compatibility would not be ensured. The reason is that it is highly questionable that special relativity is inextricably bound up with the impossibility of transmitting messages faster than the speed of light. As for example Maudlin (2002, [18]) has shown, the compatibility of no-signalling and special relativity is much more subtle than this. Special relativity is primarily a theory about the geometrical structure of space and time. And in fact, the truth of the theory is perfectly consistent with theories that have tachyon mechanisms of superluminal transmission (Maudlin, 2002 [18]; Arntzenius, 1994 [2]; Berkovitz, 2007 [7]).

This raises questions about what exactly special relativity enforces, i.e., what the letter of relativity is as opposed to its spirit. Although we do not want to identify special relativity with the demand for Lorentz invariance, we regard it as a particularly clear and uncontroversial part of the theory (Brown, 2005 [8]). With regard to the possible correlations between outcomes of space-like measurements in quantum theory, it is fair to claim that, minimally, relativity asks for a Lorentz covariant story on Minkowsky spacetime of how the correlations arise. Is this possible? We will see that any attempt to do so and that uses the causal structure implicit in the Minkowskian spacetime faces great difficulty because of Bell’s Theorem.

B. Quantum theory is not locally causal: a basic inconsistency with relativity?

Bell’s condition of local causality is envisaged to encode the Minkowsky spacetime structure for possible physical interactions and influences between physical systems. See Appendix. As Norsen (2007, [21]; 2009, [22]) has stressed, particularly noteworthy is the plausibility, generality, and evident appropriateness of Bell’s locality criterion as an expression of the relativistic causal structure of Fig. 1 (see Appendix). If we now assume that lawlike prediction of correlations is indicative of a causal connection, either directly or via a common cause, then a theory’s violation of the criterion of local causality (thereby

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3 It is noteworthy that Shimony himself abandoned his idea of “peaceful coexistence”, as he admits in (Shimony, 2004 [31]): “The proposal of peaceful coexistence was in fact espoused at one time by the present author (Shimony, 1978 [25, section V]), but he was dissuaded from it by a powerful anti-anthropocentric argument of John Bell”. (Shimony here refers to Section 6.12 of (Bell, 1990 [4]) where Bell argues that ‘no-signalling faster than light’ cannot be the expression of the fundamental causal structure of contemporary theoretical physics.)

4 Brown (2005 [8 Appendix B]) rejects this view so as to argue that violations of local causality do not entail non-local causation. He remarks: “Perhaps it is simply not the case that in quantum
III. WAYS TO ADDRESS THE PROBLEM

Can quantum violations of local causality be reconciled with relativity? One can generally distinguish two types of approaches in resolving this issue, and which are not necessarily mutually exclusive:

1. The approach depends (i) on the interpretation of special relativity (i.e., a specific view on which constraints the theory in fact imposes), or (ii) on some modification of this theory.

2. The approach depends on (i) a specific interpretation of quantum theory, or (ii) on some modification of it.

The approaches of the first type address the problem of reconciling quantum theory with relativity by rejecting that the principle of local causality is implied by relativity; those of the second type that quantum events and/or quantum correlations are of a peculiar nature that just cannot be straightforwardly imbedded into the spacetime structure of Fig. 1 (Appendix).

In the following we will present a number of approaches of both types, although it will by no means be an exhaustive list:

(1) A fundamental assumption of the causal structure of Fig. 1 (Appendix) is that measurements (i.e., the settings and outcomes) can be associated with well-defined finite regions in relativistic spacetime. This assumption is needed so that we can assign to each measurement a certain point (or region) in space and at a certain time. One could choose to reject this assumption. But this has far-reaching consequences: one can then no longer speak of localized events, and it is unclear how one should proceed. Alternatively, perhaps we should adopt a strategy where the wave function ceases to be a function on spacetime and instead becomes a functional on the set of spacelike hypersurfaces?

(2) We need perhaps revise our understanding of what (special) relativity in fact enforces? As Maudlin (2002, 18) has stressed, we should distinguish between superluminal signals simpliciter and superluminal signals that allow loops. And only the latter need give rise to inconsistency with relativity. It thus seems that the only fundamental relativistic constraint is that of Lorentz covariance (Brown, 2005, 8). All talk of super-luminal transmission, signalling, etc. appears to be besides the point.

(3) We need perhaps adopt an unrelativistic approach in any future physics because relativity has limited domain of applicability? Relativity could be only an emergent theory from deeper level physics, for example through a holographic scenario (Verlinde, 2010 36) or from a noncommutative geometrical theory which is non-local with no space and no time in the usual sense, and
that are to emerge only in the transition process to the commutative case (Heller and Sasin, 1999 [16]).

(4) Or is it the case that the problem arises because the current model of spacetime as a simple causal manifold is inappropriate? The causal set approach by Sorkin and collaborators (see e.g. (Sorkin, 2010, [32])) seems to be promising in this respect. For them spacetime is a discrete set of spacetime points partially ordered by causal connectibility, which grows by a stochastic process of adding points to the future of the given discrete set; a causal set.

Perhaps we can envisage a nonlocal ‘hidden’-variable model that ‘performs the trick’ (Gisin, 2009 [12]) via some non-local influence in spacetime? However, any such attempt is seriously hindered by the fact that any hypothetical nonlocal mechanism that procedures such an influence must be very, very fast (the speed of transmission should at least be four orders (!) of magnitude faster than c (Salart et al., 2008 [23])). Furthermore, any such a mechanism cannot employ covariant non-local hidden variables \( \lambda \) that are invariant under velocity-boosts that changes the time order of events (Gisin, 2010 [11]). The only viable option for a non-local mechanism is to assume the existence of a preferred universal frame of reference which univocally determines the time ordering of events. This seems to be a very unwelcome step to take, but it is unclear whether the introduction of a dynamically preferred frame would lead to a gross violation of relativistic causality.

(6) Alternatively, should we find a new formulation of quantum theory that manages to violate Bell’s local causality in a relativistically invariant way? Could, for example, Bohmian mechanics be made relativistically invariant? Or can we perhaps obtain some viable relativistically invariant generalisation of non-linear collapse theories? Of the later type, the currently most promising theory is announced by Tumulka (2006, [34, 35]) who has provided a relativistically invariant dynamical reduction model for many noninteracting fermions. It is interesting in this regard to cite Tumulka (2006, [34]): “...we seem to arrive at the following alternative: Bohmian mechanics shows that one can explain quantum mechanics, exactly and completely, if one is willing to pay with using a preferred slicing of spacetime; our model suggests that one should be able to avoid a preferred slicing if one is willing to pay with a certain deviation from quantum mechanics.”

(7) Should we adopt a new theory of time and of becoming? Gisin (2010, [13]) claims that “quantum events are not merely the realization of usual probability distributions, but must be thought of as true acts of creation (true becoming)”, and in a related paper (2010, [12, p. 1358]) he mentions: “To put the tension [between quantum mechanics and relativity] in other words: no story in spacetime can tell us how nonlocal correlations happen, hence nonlocal quantum correlations seem to emerge, somehow, from outside spacetime.” He continues (Gisin, 2010 [11]): “Note the implication for the concept of time. Quantum events are not mere functions of variables in space-time, but true creations: time does not merely unfold, true becoming is at work. The accumulation of creative events is the fabric of time.” Can this indeed be worked out in a full-fledged and understandable theory of time and becoming that resolves our problem?

(8) Or can we find solace by merely interpreting quantum theory differently? In the Everett interpretation of quantum theory the threat of non-locality is claimed to be absent [8]: “[W]hen considering spacelike separated measurements on an entangled system [...] there is no question of the obtaining of a determinate value for one sub-system requiring that the distant system acquire the corresponding determinate value, instead of another. Both sets of anti-correlated values are realised (become definite) relative to different observing states; there is, as it were, no dash to ensure agreement between the two sides to be a source of non-locality and potentially give rise to problems with Lorentz covariance.” (Brown, 2005 [8, Appendix B]).

(9) Finally, a serious option to consider is that a conclusive, and well-understood answer to the central question can only be provided by a (yet to be obtained) full-fledged quantum theory of gravity. However, we find this very unlikely, see next section.

IV. DISCUSSION

The main point of this white paper is to argue that a good and fair case can be made that a basic inconsistency exists between quantum theory and relativity; an inconsistency that is not easily dealt with. Let us hope that one day the basic inconsistency be illuminated, perhaps harshly, by some new exciting physics. Allow me to end this white paper with the following plea by Norsen (2009, [22, p. 293]):

“If more physicists would only study Bell’s papers instead of relying on dubious secondary reports, they would, I think, come to appreciate that there really is here a serious inconsistency to worry about. A much higher-level inconsistency between quantum theory and (general) relativity has been the impetus, in recent decades, for enormous efforts spent pursuing (what Bell once referred to as) “presently fashionable ‘string theories’ of ‘everything’.” (1990, [6, p. 100]) How

9 It is unclear how we should understand the phrase ‘happen’ here.

10 We believe, however, that this approach is beset with fundamental problems and its solution to the present problem to be far from satisfactory.
might a resolution of the more basic inconsistency identified by Bell shed light on (or radically alter the motivation and context for) attempts to quantify gravity? We can’t possibly know until (perhaps long after) we face up squarely to Bells important insights.”

What is important here is not so much the appeal to read Bell—although one is strongly advised to do so—but that, instead of focussing on the higher-level inconsistency between quantum theory and general relativity, it could very well be a better idea to first resolve the basic inconsistency indicated here.

Thus, as indeed encountered so often, and here once again, the most elementary might not be the easiest to start with.

ACKNOWLEDGMENTS

Useful comments on an earlier version of this white paper have been generously provided by F.A. Muller, Jos Uffink and Ronnie Hermens.

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**APPENDIX: BELL’S NOTION OF LOCAL CAUSALITY**

This Appendix outlines Bell’s concept of local causality. This is done very carefully because, firstly, there continues to be great misunderstanding among the commentators regarding the status of the concept, and, secondly, so as to convince one of the “the plausibility, generality, and evident appropriateness of Bells locality concept as an expression of the relativistic causal structure ...” (Norsen [21, p. 15]).

In the section entitled ‘Principle of local causality’ of the very last article Bell wrote on the foundations of quantum theory (published in 1990 and entitled ‘La Nouvelle Cuisine’ [6]), Bell begins his explanation of the principle of local causality as defining the causal structure of relativity as follows:

“The direct causes (and effects) of events are near by, and even the indirect causes (and effects) are no further away than permitted by the velocity of light.” Bell (1990) [6, p. 105]

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11 This Appendix is taken from Seevinck and Uffink (2010, [31]), but also see Norsen (2007, [21]; 2009, [22]).

12 Here we will mainly focus on Bell’s formulation of this principle as presented in ‘La Nouvelle Cuisine’, Bell (1990) [6]. This presentation we take to be the most definite and precise one Bell ever presented; it is overall consistent with earlier formulations Bell used to indicate this principle. See Norsen (2007) [21] for further elaboration and support of this claim.

“... The direct causes (and effects) of events are near by, and even the indirect causes (and effects) are no further away than permitted by the velocity of light.” Bell (1990) [6, p. 105]

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**FIG. 1.** “Space-time location of causes and effects of events in region 1.” Figure (slightly modified) and caption taken from Bell (1990 [6, p. 105]).

This locates the causes operating in a certain region in spacetime in the backward light cone of that region and effects of anything occurring in that region in its forward light cone. See Fig. 1. But Bell remarks, “[t]he above principle is not yet sufficiently sharp and clean for mathematics”. He then continues (see Fig. 2):

“A theory is said to be locally causal if the probabilities attached to values of local beables in a space-time region 1 are unaltered by a specification of values of local beables in a space-like separated region 2 when what happens in the backward light cone is already sufficiently specified, for example by a full specification of local beables in a spacetime region 3. It is important that region 3 completely shields off from 1 the overlap of the backward light cones of 1 and 2. And it is important that events 3 be specified completely. Otherwise the traces in region 2 of causes of events in 1 could well supplement whatever else was being used for calculating probabilities about 1. The hypothesis is that any such information about 2 becomes redundant when 3 is specified completely.” Bell (1990) [6, p. 106]

**FIG. 2.** “Full specification of what happens in 3 makes events in 2 irrelevant for predictions about 1 in a locally causal theory.” Figure and caption taken from Bell (1990) [6, p. 105].

Although this formulation is considerably sharper, it is not yet cleanly formulated in terms of mathematics. Probably for this reason Bell introduces some further notation and terminology in a subsequent discussion. He in effect introduces the space-time diagram of Fig. 3 that...
is adapted from Norsen’s (2009) [22] highly illuminating paper.

![Space-time diagram of the setup Bell considers.](image)

This diagram encodes the setup Bell considers. It involves measurement on a bi-partite system (e.g., two particles emitted by a source) where each part is measured by a different party, conventionally called Alice and Bob respectively. The outcomes of measurement are represented by beables \( A \) (in region 1) and \( B \) (in region 2) and the settings chosen by experimenters Alice and Bob are denoted by beables \( a \) and \( b \) respectively. The symbol \( \lambda \) indicates the specification of the state of the bipartite system under study together with other relevant beables in the spacetime regions 3a and 3b.

The logic is now as follows. Consider a candidate theory that attempts to describe any correlations found between outcomes \( A \) and \( B \). Suppose region 3a shields off region 1 from the overlap of the past light cones of 1 and 2, and, likewise, that region 3b shields off region 2 from the overlap of the past light cones of 1 and 2 (see Fig. 3). It is assumed that (in this candidate theory under study) \( \lambda \) constitutes a complete specification of the beables in region 3a and 3b.

With all this implicitly in place, Bell continues and applies his principle of local causality to this setup:

“Invoking local causality, and the assumed completeness of . . . \( \lambda \) . . . we declare redundant certain of the conditional variables in the last expression because they are at space-like separation from the result in question.” Bell (1990) [6, p. 109]

Thus the specification of \( \lambda \) makes both \( B \) and \( b \) redundant for prediction about \( A \), and both \( A \) and \( a \) redundant for prediction about \( B \).

This finally allows for a clean formulation in mathematics of the principle. We follow Norsen (2007) [21] in claiming that this indeed gives

\[
\begin{align*}
P_{a,b}(A|B,\lambda) &= P_a(A|\lambda), \\
P_{a,b}(B|A,\lambda) &= P_b(B|\lambda),
\end{align*}
\]

i.e., the conditional probability of obtaining \( A \) is independent of both \( B \) and \( b \) given the specification \( \lambda \) and \( a \), and analogous for the probability of obtaining \( B \).

Using the definition of conditional probability one trivially obtains the condition

\[
P_{a,b}(A,B|\lambda) = P_a(A|\lambda) P_b(B|\lambda),
\]

i.e., the joint probability for obtaining outcomes \( A \) and \( B \) factorizes into a product of individual probabilities for the two spatially separated systems, with each factor containing conditionalization only on local beables. This well-known factorisation condition is thus derived from the principle of local causality, just as Bell himself stressed.\(^{[4]}\)

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\(^{[13]}\) Note that the settings \( a, b \) are indices that label the different conditional probabilities, whereas the outcomes \( A, B \) and \( \lambda \) are random variables that can be conditioned on. See Seevinck and Uffink (2010, [31]) for a detailed argument of why this is the appropriate notation, instead of the more common formulation where one also conditions on the settings and thus writes expressions like \( P(A, B|a, b, \lambda) \) and not \( P_{a,b}(A, B|\lambda) \) as is done here.

\(^{[14]}\) “Very often such factorizability is taken as the starting point of the analysis. Here we have preferred to see it not as the formulation of “local causality”, but as a consequence thereof.” Bell (1990) [6, p. 109]
