Observations of H1426+428 with HEGRA

Observations in 2002 and reanalysis of 1999&2000 data

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Abstract. The HEGRA system of imaging air Cherenkov telescopes has been used to observe the BL Lac object H1426+428 ($z = 0.129$) for 217.5 hours in 2002. In this data set alone, the source is detected at a confidence level of 5.3 $\sigma$, confirming this object as a TeV source. The overall flux level during the observations in 2002 is found to be a factor of $\approx 2.5$ lower than during the previous observations by HEGRA in 1999&2000. A new
spectral analysis has been carried out, improving the signal-to-noise ratio at the expense of a slightly increased systematic uncertainty and reducing the relative energy resolution to $\Delta E/E \leq 12\%$ over a wide range of energies. The new method has also been applied to the previously published data set taken in 1999 and 2000, confirming the earlier claim of a flattening of the energy spectrum between 1 and 5 TeV. The data set taken in 2002 shows again a signal at energies above 1 TeV. We combine the energy spectra as determined by the CAT and VERITAS groups with our reanalyzed result of the 1999&2000 data set and apply a correction to account for effects of absorption of high energy photons on extragalactic background light in the optical to mid infrared band. The shape of the inferred source spectrum is mostly sensitive to the characteristics of the extragalactic background light between wavelengths of 1 and 15 $\mu$m.

**Key words.** BL Lacertae objects: individual H1426+428 – Gamma-rays: observations – diffuse radiation – intergalactic medium

### 1. Introduction

The extragalactic objects H1426+428 (Remillard et al., 1989) and Mkn 501 are the only established members of the class of extreme synchrotron BL Lac objects (Costamante et al., 2001) detected at TeV energies. Generally, these objects are characterized by a flat X-ray spectrum with $\nu f_\nu \propto \nu^{-\alpha}$ with $\alpha < 1$ extending into the hard X-ray energy band. In the framework of synchrotron self-Compton (SSC) models, these objects are of particular interest for observations at TeV energies, because the inverse Compton peak of the broad band spectral energy distribution (SED) is expected to be located at these high energies. The measurement of the position of the inverse Compton peak is hampered by the effect of pair-production of high energy photons with low energy (optical and infrared) photons of the extragalactic background light (Nikishov, 1962; Gould & Schréder, 1967; Steck, de Jager). For the given red-shift $z = 0.129$ of H1426+428 the optical depth exceeds unity even for energies of a few 100 GeV. Any detection of a signal at TeV energies translates directly into a high luminosity of the source.

The detection and spectral measurements of H1426+428 by the CAT, and VERITAS groups (Djannati-Ataï et al., 2002; Petry et al., 2002) indicate a steep spectrum between 250 GeV and 1 TeV (well described by a power law $dN/dE \propto E^{-n}$ with a photon index of $n = 3.66 \pm 0.41$ for the CAT and $n = 3.54 \pm 0.27$ for the VERITAS measurements) whereas at higher energies, a detection has been claimed only by the HEGRA group based upon observations carried out in 1999 and 2000 with $n = 2.6 \pm 0.6$ (Aharonian et al., 2002a, in the following Paper I). The spectrum shows a flattening at energies above 1 TeV that is consistent with the expected signature of absorption as discussed in Paper I. A deep follow-up observation (217.5 hrs) has been carried out in 2002 to investigate the energy spectrum with improved statistics. Results of a reanalysis of the original data set and the results from the recent observations of 2002 are reported. A possible excess from
H1426+428 with a significance of 4.1σ has been seen with the AIROBICC-Scintillator arrays of HEGRA in the data from 1994/95 corresponding to an integral flux of \((5.7 \pm 1.3) \cdot 10^{-13}\text{cm}^{-2}\text{s}^{-1}\) above \(E_{\text{thresh}} = 21\text{ TeV}\) \cite{Prahl1999}. The interpretation and the relation of this excess to data presented here is not clear at present.

2. Analysis technique

The spectral analysis applied to data from the Crab-Nebula \cite{Aharonian2000}, Mkn 421 \cite{Aharonian2002b}, and Mkn 501 \cite{Aharonian1999} benefits from a signal-to-noise ratio \((S/N)\) which is usually \(S/N \gg 1\). Therefore, relaxed cuts (compared to signal search optimized cuts) on the mean scaled width \((\text{mscw})\) parameter and the angular separation from the source \((\theta)\) assures good photon statistics with little systematic effects. However, for weaker sources, the signal-to-noise ratio \(S/N\) needs to be improved. The relaxed spectral cuts result in a poor \(S/N = 0.1\) for H1426+428. A tighter cut on \(\text{mscw}\) and a smaller angular search bin increases the \(S/N\) to 0.3 reducing at the same time the photon efficiency to the level of 50 %. See Table 1 for a summary of the cuts applied to select the data set, events, and images used in this analysis.

The algorithm for reconstructing the energy of the primary photon has been improved following the methods suggested in \cite{Hofmann2000}. The relative energy resolution for individual events has been improved to \(\Delta E/E \leq 12\%\) for a wide range of energies. For energies above 1 TeV, the relative energy resolution is as low as 9\%, slightly increasing for lower energies. For the threshold region above 500 GeV, the bias of the energy reconstruction method has been reduced substantially with respect to the conventional methods. The main contribution for this improvement stems from a correction for the position of the shower maximum. Low energy air showers triggering the telescopes tend to penetrate deeper into the atmosphere and therefore are reconstructed at higher energies. This strong bias is overcome and allows for a more reliable energy reconstruction at threshold energies. The reconstruction of the core position has been improved by assuming a point-like source position \cite{Hofmann1999}. The improved energy resolution reduces spill-over effects distorting the reconstructed energy spectrum in the presence of sharp cut-off features in the initial spectrum.

The scaling of individual image widths to the expectation for simulated showers has been modified in order to benefit from the improved core reconstruction. This results in a slightly narrower distribution of the \(\text{mscw}\)-parameter with respect to the conventional core reconstruction method. We have cross-checked the background subtracted distribution of \(\text{mscw}\) for strong sources with Monte Carlo predictions and see a very good agreement of the distribution between data and simulation.

The complete analysis chain has been tested with data taken on the Crab-Nebula. The results on flux and spectral shape as published in \cite{Aharonian2000} are reproduced. The systematic error on the reconstructed photon index is estimated to increase from 0.05 in the case of relaxed
Table 1. Listed are data, image- and event-selection criteria. \( n_{\text{tel}} \) and \( n_{\text{image}} \) indicating the number of active telescopes participating in the data taking and the number of images used for the event reconstruction respectively. \( R_{\text{meas}} \) and \( R_{\text{CR}} \) are the average measured and expected trigger rate of the telescopes. \( r_{\text{core}} \) is the distance of the shower impact point to the position of the central telescope. The altitude angle above horizon is indicated as \( \text{alt} \), whereas \( \theta^2 \) is the squared angular distance of the shower direction to the source direction. The \( \gamma \)-hadron separating quantity \( mscw \) is further explained in the text. The image parameters \textit{distance} and \textit{size} describe the position of the shower image centroid with respect to the center of the camera and the total amount of light (in photo electrons, p.e.) measured in the image.

| Data selection | Event selection | Image selection |
|----------------|----------------|----------------|
| \( n_{\text{tel}} \geq 3 \) | \( n_{\text{image}} \geq 2 \) | \( \text{distance} < 1.7^\circ \) |
| \( |1 - R_{\text{meas}}/(R_{\text{CR}}(\theta, n_{\text{tel}}))| < 0.2 \) | \( r_{\text{core}} < 200 \text{ m} \) | \( \text{size} > 40 \text{ p.e.} \) |
| \( \text{alt} > 45^\circ \) | \( \theta^2 < 0.014 \text{ deg}^2 \) | \( mscw < 1.1 \) |

Table 2. Listed are for the two data sets: the individual event statistics \( (N_{\text{on}}, N_{\text{off}}, \text{and } N_s = N_{\text{on}} - \Omega_{\text{on}}/\Omega_{\text{off}} \cdot N_{\text{off}}) \), the rate of excess events per hour \( (\dot{N}_s) \), and the significance \( S \) calculated using Eq. 17 of Li & Ma (1983). The quantity \( \Omega_{\text{on}}/\Omega_{\text{off}} \) is the normalization for the background estimate derived by taking the ratio of the solid angle subtended by the two separate regions.

|                | reanalyzed 1999-2000 | 2002 |
|----------------|----------------------|------|
| \( T_{\text{obs}} \) [hrs] | 42.6                 | 217.5 |
| \( \langle \text{alt} \rangle \) ['] | 70.8                 | 68.4  |
| median(alt) ['] | 73                   | 70.4  |
| \( N_{\text{on}} \)  | 309                  | 1095  |
| \( N_{\text{off}} \) | 1447                 | 6425  |
| \( \Omega_{\text{on}}/\Omega_{\text{off}} \) | 1/7                  | 1/7   |
| \( N_s \) | 102.3±16.6           | 177.1±33.5 |
| \( \dot{N}_s [1/hr] \) | 2.4±0.4              | 0.81±0.15 |
| \( S [\sigma] \) | 6.1                  | 5.3   |

cuts analysis to 0.08 for the analysis presented here. However, given the dominating statistical error on low-flux sources, this can be tolerated.

3. Results

The data taken on H1426+428 in 1999 and 2000 with the HEGRA system of imaging air Cherenkov telescopes have been reanalyzed applying the modified and improved methods described above. The data set has been described in our earlier publication (see Table 1 in Paper I
Table 3. Event statistics for individual energy bins.

| E [TeV] | N_{on} | N_{off} | S | Flux \times 10^{-13} \text{ph cm}^{-2} \text{s}^{-1} \text{TeV}^{-1} | N_{on} | N_{off} | S | Flux \times 10^{-13} \text{ph cm}^{-2} \text{s}^{-1} \text{TeV}^{-1} |
|---------|--------|---------|---|-----------------------------|--------|---------|---|-----------------------------|
| 0.78    | 122    | 576     | 3.78 | 187.3 ± 78.7               | 366    | 2187    | 2.75 | 12.3 ± 37.8                |
| 1.48    | 86     | 456     | 2.29 | 8.11 ± 3.51                | 394    | 2449    | 2.16 | 2.02 ± 1.40                |
| 2.82    | 42     | 179     | 2.75 | 1.92 ± 0.75                | 159    | 826     | 3.33 | 0.66 ± 0.24                |
| 5.37    | 16     | 46      | 2.83 | 0.47 ± 0.20                | 60     | 226     | 4.00 | 0.18 ± 0.05                |
| 10.2    | 4      | 12      | 1.36 | 0.036 ± 0.034              | 7      | 58      | -0.43 | < 0.03 (99 % c.l.)         |

for details on the dates of observations). The signal has been recovered with a slightly higher significance than previously claimed increasing the significance from $S$ (Paper I) = 5.8 $\sigma$ to $S$ = 6.1 $\sigma$. Note, we have not performed a sensitivity-optimized source search. The cuts are chosen to perform a spectral analysis (which includes a constraint on the core distance). The deep exposure of 217.5 hrs in 2002 confirms the object as a $\gamma$-ray emitter with a significance for the signal of $S = 5.3 \sigma$ (see Table 2). The combined data set with an observation time of 260.1 hrs results in $S = 7.5 \sigma$ (see Fig. 1 for the distribution of the arrival directions).

The reconstructed energy spectrum of the reanalyzed data set from 1999/2000 agrees well with the previously published result (see Fig. 2). Given the quite different approach in event selection and reconstruction technique applied in the present analysis, this result demonstrates the negligible influence of systematic effects caused by different analysis techniques.

The recent deep exposure obtained in 2002 shows a decrease in the time-averaged flux by a factor of 2.5 with comparison to the observations in 1999 and 2000 (see Fig. 2). The decrease in flux observed at high energies is similar to the decrease of the time-averaged X-ray flux as measured by the all-sky-monitor (ASM) on-board the Rossi X-ray timing explorer (RXTE). The average of the daily rates of the ASM provided by the ASM/RXTE team for the nights of HEGRA observations in 1999 and 2000 is $0.33 ± 0.08$ counts/sec. For the nights of HEGRA observations in 2002, the X-ray rate as measured by the ASM drops by a factor of 1.7 to $0.19 ± 0.04$ counts/sec.

4. Discussion

In order to correct for the effect of absorption of the energetic photons by interactions with the extragalactic background light (EBL) in the near (1-3.5 $\mu$m, NIR) to mid (4.5-20 $\mu$m, MIR) infrared, three largely different models for the spectral energy distribution (SED) of the EBL have been used to calculate the opacity $\tau(E, \alpha)$.

The calculations of the optical depth $\tau$ performed here apply a cosmological model with a flat cold dark matter ($\rho_m = 0.3 \rho_c$, $\rho_c = 3H_0^2/(8\pi G)$ is the critical density) dominated universe with a non-vanishing cosmological constant $\Lambda$ ($\rho_\Lambda = 0.7 \rho_c$) and $H_0 = 60$ km s$^{-1}$ Mpc$^{-1}$. Evolutionary effects of the EBL have been neglected except for the expansion of the universe.
Fig. 1. The number of events reconstructed as a function of the angular separation to the source position: After applying a cut on $mscw < 1.1$ and $\theta^2 < 0.014 \text{ deg}^2$ (dashed line) to reject background events, an excess with a significance of $S = 7.5 \sigma$ is observed from the direction of H1426+428 for the combined data set encompassing 260.1 hrs of observations. The dashed histogram indicates the expected shape of a signal from a point-like source determined from a scaled-down signal of the Crab-Nebula.

The three models are shown in Fig. 3 together with a selection of measurements and limits on the EBL from the ultra violet to the far infrared wavelength bands. The contribution of the far infrared part of the EBL ($\lambda > 100 \mu\text{m}$) and the cosmic microwave background to the opacity is negligible, but has been included in the calculations of $\tau(z, E)$. The model presented by [Malkan & Stecker, 2001; de Jager & Stecker, 2002] (in the following MS01) is based upon a backward-evolution approach with a fast evolving luminosity function between red-shifts 0 and 1.3. For the sake of clarity only one of the two alternative models described in MS01 (fast-evolution model) is used in the following discussion. Both models follow the same shape in the NIR and differ mainly in the absolute level of the radiation (roughly 20% lower flux in the so-called base-line model with respect to the fast-evolution model).

An alternative model ([Primack et al., 2001; in the following Prim01) invokes a semi-analytical approach where the structure formation in a hierarchical scenario is combined with
Fig. 2. The differential energy spectra for the different data sets: The reanalyzed data set taken in the years 1999 and 2000 reproduces the initially published spectrum with smaller error bars. The solid and dashed curves indicating a possible intrinsic source spectrum and the effect of absorption are calculated as those shown later in Fig. 4. The data set taken in 2002 is compatible with the 1999/2000 data with a flux level reduced by a factor of 2.5 (dot-dashed curve). See also Table 3 for the event statistics and differential flux values for the individual energy bins. The displayed points are slightly shifted in energy with respect to each other to allow for easier reading.

an initial mass function following a Kennicut-type distribution in order to calculate the SED of individual galaxies including realistic dust re-emission models. Following the approach used in Paper I, a simple interpolation of the EBL data (labelled Aha02) has been used as a third alternative.

The measurements and limits on the EBL indicated in Fig. 3 have been taken from Hauser & Dwek (2001) and updated for recent measurements in the NIR (Matsumoto et al.)
The compilation of measurements and limits between ultraviolet and far infrared are taken from Hauser & Dwek (2001). Recently published results have been added: the satellite-based measurements between 1 and 4 \( \mu m \) (Matsumoto et al., 2000), the combined DIRBE/2MASS analyses claiming a detection at 1.25 and 2.2 \( \mu m \) (Cambrésy, Reach, Beichman, & Jarrett, 2001), a new analyses of the 15 \( \mu m \) data taken with the ISO satellite (Elbaz et al., 2002), a model-dependent measurement, and a firm upper-limit at 60 \( \mu m \) based upon fluctuation measurements in IRAS data (Miville-Deschênes, Lagache, & Puget, 2002). Additionally, three largely different models for the spectral energy distribution (SED) of the EBL are included based upon Primack et al. (2001) (Prim01, solid curve), Malkan & Stecker (2001) (MS01 “fast evolution”, dashed curve), and a phenomenological description of the data (dash-dotted curve, labelled Aha02), interpolating between the measured points (Paper I).

The opacities \( \tau_{\text{Prim01}}(z, E) \), \( \tau_{\text{Aha02}}(z, E) \) have been calculated by integrating over the respective SED whereas for the MS01 model the prescription of the authors as given in de Jager & Stecker (2002) has been used.

\[ V_f = \frac{1}{10^3} \frac{W}{m^2 \cdot \text{sr}} \]

**Fig. 3.** The spectral energy distribution (SED) of the extragalactic background light (EBL).
Fig. 4. The three panels (a-c) display the observed differential flux values multiplied by $E^2$. The filled symbols indicate the combined observed spectra, whereas the open symbols indicate the intrinsic spectrum inferred from the observed data by applying a model-dependent absorption correction. The solid lines are fits of a power law (a) and broken power law (b-c) functions to the intrinsic spectrum. The lower dashed curves are the absorption corrected fit functions.

a) Based upon the Prim01 EBL (see also Fig. 3), the absorption corrected spectrum follows a power law $E^2 dN/dE \propto E^{0.5}$. 

b) The fast increase of absorption predicted above 200 GeV for the Aha02 EBL leads to a steeply rising ($E^2 dN/dE \propto E^3$) source spectrum up to $\approx 700$ GeV. Data at higher energies are consistent (as claimed in Paper I) with a $E^2 dN/dE \propto \text{const.}$.

c) Applying an absorption correction according to the MS01 model: We note a marked upturn of the corrected spectrum at energies above $\approx 1$ TeV with $E^2 dN/dE \propto E^3$. Data below energies of 1 TeV follow $E^2 dN/dE \propto \text{const.}$.

The wide energy range from 700 GeV up to 10 TeV covered by the HEGRA energy spectrum of H1426+428 offers the unique opportunity to probe the energy region where the source spectrum is heavily absorbed by pair-production processes on the NIR/MIR part of the EBL.

The lower energy spectra published by the CAT and VERITAS collaboration (Djannati-Ataï et al., 2002; Petry et al., 2002) above an energy threshold of $\approx 250$ GeV provide additional information on the spectral shape at energies where the absorption effect is of less importance than for energies above 700 GeV that are accessible by the HEGRA instrument. The CAT group has performed a spectral analysis applying a forward-folding technique. The residuals of the reconstructed energy spectrum with respect to the forward-folded power law assumption contain information on possible deviations from a pure power law. The actual data points shown in Fig. 4 are derived by taking the residuals and converting them into differential flux measurements. The caveats of using individual data points are clearly larger errors on the data points and negligence of the correlation of the energy bins which in turn depends on the
assumed spectral shape. However, this method allows to compare the CAT measurements with the results of other spectral analysis methods, which suffer from the same caveats.

The combined data sets for H1426+428 based upon measurements with the CAT, Whipple, and HEGRA telescopes (reanalyzed 1999-2000 data set) are presented in Fig. 4a-c. The 2002 data set has not been considered here, because of the lower flux level during that observation. The agreement of the different measurements is very good despite the fact that the observations were not carried out simultaneously. The X-ray flux as measured by the ASM averaged over the individual observational windows for CAT (0.2 ± 0.4 cts/sec), Whipple (0.26 ± 0.07 cts/sec), and HEGRA (0.33 ± 0.08 cts/sec) are in reasonable agreement with each other. The overlap of the HEGRA measurements with the results from Whipple and CAT between 700 GeV to 1 TeV underlines the consistency of the different measurements. The CAT and VERITAS spectra are consistent with each other and no ad hoc normalization is required to combine the different data sets.

After applying a correction to the observed spectrum by multiplying the individual data points by exp(τ_{Prim01}(z = 0.129, E)) we obtain a source spectrum consistent with a hard power law with a photon index of 1.5 (see solid and dashed lines in Fig. 4a-c respectively for the source spectrum and the observable spectrum). A χ²-minimization keeping the photon index fixed at 1.5 results in a χ²/d.o.f. = 3/11. This intrinsic source spectrum would imply a position of the inverse Compton peak in the broad-band SED beyond 10 TeV. The energy flux of the unabsorbed inverse Compton component exceeds 10^{-10} erg cm^{-2} s^{-1}. An initial modeling with leptonic emission models of the broad band SED and specifically the hard source spectrum at TeV energies is possible, but requires an additional external radiation field. Given the low X-ray energy flux observed from this source of a few 10^{-11} erg cm^{-2} s^{-1} during contemporaneous observations, the TeV observations strongly suggest that the γ-ray flux dominates over the X-ray flux. This would violate the proclaimed BL Lac sequence where the energy flux from the synchrotron radiation is expected to be comparable or to dominate over the inverse Compton energy flux for TeV blazars.

In the framework of the MS01 model, the flat slope of the SED of the EBL between the NIR and the MIR produces a rapidly increasing optical depth for energies above 1 TeV. As a consequence of this, the inferred source spectrum shown in Fig. 4b rises sharply between 0.25 and 0.7 TeV (E²dN/dE ∝ E³) with a subsequent flattening to a constant value. The inferred source spectrum obtained by taking HEGRA data alone confirms the result as given in Paper I where the spectral shape of the TeV- and X-ray data was tentatively interpreted as self synchrotron-Compton emission. However, the inferred rising lower energy part of the unabsorbed source spectrum is not readily explained by such a model.

In the possibly large photon density of the EBL between 1-2 µm as suggested in Paper I to accommodate the NIR data results in a strong absorption for γ energies below 1 TeV. As a consequence of this, the inferred source spectrum shown in Fig. 4b rises sharply between 0.25 and 0.7 TeV (E²dN/dE ∝ E^3) with a subsequent flattening to a constant value. The inferred source spectrum obtained by taking HEGRA data alone confirms the result as given in Paper I where the spectral shape of the TeV- and X-ray data was tentatively interpreted as self synchrotron-Compton emission. However, the inferred rising lower energy part of the unabsorbed source spectrum is not readily explained by such a model.
by the base-line evolution model. After applying the correction for absorption based upon the MS01 model, the implied source spectrum is consistent with a power law type source spectrum with a photon-index of 2 up to 1 TeV (corresponds to $E^2 dN/dE \propto \text{const.}$). Trying to fit the data points beyond 1 TeV with $E^2 dN/dE \propto \text{const.}$ results in a poor $\chi^2/d.o.f. = 10/3$. A broken-power law with $E^2 dN/dE \propto E^3$ above 1.4 TeV is a good description of the intrinsic spectrum ($\chi^2/d.o.f. = 3.2/10$. A similar upturn is observed for the base-line evolution model (omitted in Fig. 4 for the sake of clarity).

This implied steep upturn in the corrected source spectrum ($E^2 dN/dE \propto E^3$) is not easily explained by commonly used models invoking either a leptonic or hadronic origin of $\gamma$-ray emission of BL Lac objects. In the case of $\gamma$-ray production from $\pi^0$-decay from monoenergetic $\pi^0$ a $E^2 dN/dE \approx E^2$ type spectrum could be produced. However, even in this extreme case, a steep rise as it is observed here is not explainable (see e.g. Pohl & Schlickeiser (2000)).

A sharper pile-up could be expected by speculating that the radiation is the result of bulk motion Comptonization of ambient low-energy thermal photons by a cold conical wind with bulk Doppler factor exceeding $10^7$ (Aharonian, Timokhin, & Plyasheshnikov, 2002).

In summary, independent of the details of the model for the EBL, the HEGRA observations of H1426+428 between 700 GeV and 10 TeV are strongly affected by absorption of the EBL between $1 - 15 \mu m$. The possible strong absorption combined with the observable flux at TeV energies implies an intrinsic spectrum which dominates the broad-band SED of H1426+428. This is not in agreement with the conceptual view of the TeV-Blazars being the end-point of a phenomenological sequence where the synchrotron component is comparable or dominates over the Compton component. The position of the putative Compton peak is possibly at energies beyond 10 TeV. As has been shown here, the intrinsic source spectrum strongly depends upon the shape of the SED of the EBL. The different models of the EBL may be excluded by requiring the intrinsic spectrum to be explainable in the framework of conventional source models.

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