ABSTRACT

Objectives This systematic literature review aims to identify important design features of the electronic personal health record (PHR) that may improve medication adherence in the adult population with long-term conditions.

Data sources PubMed (including MEDLINE), CINAHL, Science Direct (including EMBASE), BioMed Central, ACM digital, Emerald Insight, Google Scholar and Research Gate.

Methods Studies that were published between 1 January 2002 and 31 May 2018 in English were included if the participants were adults, with at least one long-term condition, were able to self-administer their medication and were treated in primary care settings. The quality of evidence was assessed with the Grading of Recommendations Assessment, Development, and Evaluation (GRADE) system and the risk of bias was appraised using the Cochrane risk of bias tool.

Results From a total of 27 studies that matched the inclusion criteria, 12 were excluded due to low quality of evidence, 10 were rated moderate and 5 were rated high quality. All the included studies had low sample size and limited follow-up duration. Thirteen of the included studies found that the use of a PHR has increased medication adherence. The identified design features are reminders, education, personalisation and tailoring, feedback and alerts, gamification, medication management, medical appointment management, diary and self-monitoring, health condition management, set goals, patient’s blog and tethered. It was impossible to draw conclusions as to which feature is important to what group of patients and why. The most frequently identified conditions were HIV and diabetes. This review did not identify any papers with negative results. It was not possible to numerically aggregate the PHR effect due to high heterogeneity of the medication adherence measurement, study type, participants and PHRs used.

Conclusion Although we found recurrent evidence that PHRs can improve medication adherence, there is little evidence to date to indicate which design features facilitate this process.

PROSPERO registration number CRD42017060542.

Strengths and limitations of this study

► This is a systematic literature review that follows the Preferred Reporting Items for Systematic Reviews and Meta-Analyses guidelines.
► The protocol was published before conducting the study to avoid data-driven decisions.
► A comprehensive literature search was carried out using eight major research paper databases, hand-searching and snowballing.
► All included studies were quality assessed using well-cited tools and documentation.
► A study limitation is that only studies that were published in English language were included.

INTRODUCTION

A 2018 healthcare consultant report calls for focusing on healthcare value instead of increasing the size of the current systems to accommodate for the rising demand. Healthcare expenditures were 16.5% of gross domestic product (GDP) in USA and 11.5% of GDP for Switzerland in 2016. NHS policy anticipates that increasing usage of health apps by patients will reduce demand on healthcare services. The NHS Long Term Plan policy document focuses on increasing personalisation in healthcare to improve quality of life and public health and aspires that information and communication technology (ICT) can reduce healthcare costs and improve healthcare outcomes over the next 5 years. This assertion, however, is not based on scientific research, so the claimed benefits remain aspirational.

Currently, 74% of UK nationals older than 45 years old and almost all younger adults less than 45 years old are using the internet nearly every day. Based on the results of a 2017 US survey, roughly 40% of people living with a long-term condition were interested in using

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Currently, 74% of UK nationals older than 45 years old and almost all younger adults less than 45 years old are using the internet nearly every day. Based on the results of a 2017 US survey, roughly 40% of people living with a long-term condition were interested in using
technology to assist them with medication, diagnosis, test results and managing their condition in their home environment. A form of ICT that could potentially benefit the patients and the healthcare services is the electronic personal health record (PHR). A PHR has been defined as “online systems that include collections of patients’ healthcare and medical data, which use health informatics standards to enable patients to share, organize and manage these data according to their own views”. Some of the claimed benefits of PHRs are the ability of PHR to improve patient outcomes, decrease care cost, allow patients the ability to self-manage their health, increase access to care especially in remote areas, empower patients and improve medication adherence.

Medication adherence is a well-known challenge in healthcare and is related to a large number of factors such as side effects, forgetfulness or effective self-management and is affected by psychological factors and beliefs. Medication adherence can be defined as ‘the extent to which a person’s behaviour towards their medication intake, corresponds with agreed recommendations from a health care provider’. The WHO reported that in developed countries the medication adherence in patients with long-term conditions averages to 50%. There is limited evidence whether PHRs actually improve medication adherence in chronically ill adults and no evidence synthesis as to which PHR design features are the most effective.

Polypharmacy refers to the simultaneous use of multiple medications and has been associated with several poor health outcomes including medication adherence. The effects of poor medication adherence are greater for people with polypharmacy. The effect is greater since the number of people with multiple long-term conditions is also rising. It is estimated that in UK, more than one-third of patients with at least one long-term illness do not adhere to their medication regime.

A number of systems are currently in practice to use ICT in order to store, manage and employ health and medical information. These ICTs are developed by coders who are implementing human–computer interaction (HCI) principles. The HCI discipline is used to improve the usability of the software to developers, especially in healthcare settings. The NHS standards for PHRs provide guidance on good practice for their development in England, but they do not provide enough details or guidelines on what design features should a PHR include nor evidence on how these features impact health outcomes.

Although a number of strategies and interventions have been identified to assist patients’ medication adherence, the number of approaches related to PHRs is surprisingly low. This is surprising since the limited success that traditional approaches to support adherence have had and that technical interventions may easily be combined with other categories of interventions such as behavioural to address and potentially improve medication adherence.

This is the first systematic literature review that aims to identify important design features of the PHR that may improve medication adherence in the adult population with long-term conditions.

Objectives
Primary objective
Identify the important design features of the PHR that may have improved medication adherence in the adult population with long-term conditions.

Secondary objectives
– Identify the PHR design features that may have improved medication adherence in the cases of:
  – polypharmacy;
  – specific long-term condition groups.
– Identify if there was a correlation between participants’ demographic characteristics, their usage of PHRs and their medication adherence.
– Explore how implementation factors affected medication adherence.

METHODS
This systematic review is registered in the prospective register of systematic reviews (PROSPERO) and it follows the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) guidelines. The PRISMA checklist is provided as an online additional file. The protocol for this study is published.

Study eligibility and selection
The inclusion and exclusion criteria of this review are illustrated in table 1 and are detailed in the published protocol. As a result of the peer-review process, we have decided to make a change in our published protocol and to exclude systematic literature reviews and include only primary studies.

There have been no deviations from the protocol.

Literature search
Mendeley software was used as the bibliographic software. One author (EA) scanned the titles and abstracts and excluded studies that clearly did not meet the inclusion criteria. Full-text versions of the remaining articles were obtained and screened by the same author, using the criteria listed on table 1. All the excluded studies were listed with at least one reason for exclusion. In case of uncertainty, the author PS was advised and there was a discussion until an agreement was made. The reference lists of the included studies were examined to identify additional relevant literature. A hand-search of JMIR Medical Informatics, BMC Medical Informatics and Decision Making and BMJ Open was conducted by EA to identify further literature, as they were the three most cited and most impactful journals in the search we had done.

The full search strategy can be found in online supplementary file. The search dates are from 1 January 2002 to 31 May 2018 and the search terms are:
Table 1 Summary of the PICOS elements included and excluded in the systematic review

| Inclusion criteria | Exclusion criteria |
|-------------------|-------------------|
| **Participants** | **Inclusion criteria** |
| Humans | Animals |
| Adults with at least one long-term condition | Pregnant, cancer or terminally ill patients |
| Patients who can self-administer their medication | Adults with medically serious problems that are not classified as long-term conditions |
| Patients who are able to communicate freely and able to self-manage their medication | Patients who require assistance in taking their medication |
| Patients who are treated outside the hospital only | Patients who are unable to communicate or unable to self-manage their medication |

| **Intervention** | **Exclusion criteria** |
|------------------|------------------------|
| Interventions of any type, intensity and frequency, which aim to investigate the effect of electronic PHRs in medication adherence, concordance, compliance or persistence | N/A |

| **Comparators** | **Exclusion criteria** |
|-----------------|------------------------|
| Non-PHR | No comparison has been made with non-PHR or with usual care |

| **Outcome** | **Exclusion criteria** |
|------------|------------------------|
| Any outcome related to the effect of electronic PHRs in medication adherence, concordance, compliance or persistence. | N/A |

| **Study design/type** | **Exclusion criteria** |
|----------------------|------------------------|
| Primary studies published in the last 15 years, without any geographical restriction | Abstract-only reports without any references, commercial studies, party political statements, general discussion papers, magazine or newspaper articles, withdrawn abstracts or articles, protocols of reviews or literature reviews |

| **Quality of the studies** | **Exclusion criteria** |
|---------------------------|------------------------|
| Studies with moderate or high quality | Studies with low and very low quality |

PHR, personal health record.

Data extraction and analysis
EA reviewed and extracted all literature and the data in the predefined data extraction forms. The data extraction forms were designed by PS and EA to collect all the necessary data, based on the National Institute for Health and Care Excellence data extraction forms and the research questions. The completed data extraction forms, the initial and complete narrative analysis of the generated data were performed by EA and face-validated by PS who did not review the excluded literature.

Quality assessment
The quality of all included studies was assessed by the first author (EA) and 25% of the included studies were assessed by the second author (PS). Cohen’s Kappa (k) inter-rater reliability measure was calculated. The Joanna Briggs Institute (JBI) critical appraisal questionnaires were used to implement the GRADE approach of quality assessment of the included studies. The GRADE approach is proposed by the Cochrane Collaboration and it favours the JBI critical appraisal tools. The final quality scores per paper were assigned based on five factors: risk of bias, inconsistency, indirectness, imprecision and publication bias. All the studies were graded based on their study type, for example, randomised controlled trials (RCTs) and systematic literature reviews were stated as high quality studies. Using the GRADE categories, we started from the highest possible score based on the study type, using the JBI questions to extract data per paper for the GRADE scoring, reducing the quality for each instance of the factors mentioned above.

Patient and public involvement
Patient and public involvement (PPI) has already been involved in the design of this research. On 22 June 2018, a PPI focus group with eight participants took place at the University of Portsmouth. The suggestions of this group are taken into consideration by the research team. The PPI group approved the focus of this review and provided guidelines for future research.

RESULTS
Literature search results
Figure 1 illustrates the literature search and selection method, presenting explanations for the exclusion of studies. Once duplicates were removed, a total of
Table 2  Included primary studies characteristics

| Study            | Participants | Intervention | Control | Medication adherence assessment | Long-term condition | Follow-up (months) | Medication adherence results | Quality |
|------------------|--------------|--------------|---------|----------------------------------|--------------------|-------------------|-----------------------------|---------|
| Allam et al      | RCT          | 155          | 57.96   | 54.1 76.1 ONESelf                | Prescription Opioid Misuse Index | Rheumatoid arthritis | 4                           | Positive | Moderate |
| Chrischilles et al | RCT         | 1075         | 72.3    | 43.2 98.7 Iowa PHR               | Self-reported       | At least one       | 12                          | No difference | Moderate |
| DeVito Dabbs et al | RCT     | 201          | 62      | 55.2 94 Pocket PATH               | Health habits survey | LTRs              | 12                          | Positive | High     |
| Fioravanti et al | RCT          | 51           | Not stated | Not stated | METABO system                | Self-reported       | Diabetes (all types) | 1             | Positive | Moderate |
| Chrischilles et al | RCT         | 221          | Not stated | 58.4 72 | PACE                           | Lab results         | At least one | 9                          | Positive | High     |
| DeVito Dabbs et al | RCT     | 332          | 61.4    | 95   86 | My Path to Health Life         | Hill-Bone scale     | Diabetes type 2 | 12 | No difference | Moderate |
| Grant et al      | RCT          | 244          | Not stated | 51   | DM PHR                        | Medication initiation or dosage adjustment | Diabetes type 2 | 12 | Positive | High     |
| Lakshminarayana  | RCT          | 201          | 60.3    | 60.7 | Parkinson's Tracker           | MMAS-8              | PD                          | 4                          | Positive | Moderate |
| Luque et al      | RCT          | 29           | 48      | 55 69 | MyMedical                     | Self-reported       | HIV                         | 2                          | Positive | Moderate |
| Mira et al       | RCT          | 99           | 71.9    | 55.5 | Not stated | ALICE               | MMAS-4                      | At least one | 11 | Positive | Moderate |
| Perera et al     | RCT          | 28           | 46      | 93  | Not named | DM PHR               | MARS, pharmacy dispensing records and lab tests | HIV | 3 | Positive | Moderate |
| Riva             | RCT          | 51           | 47.5    | 49 76.4 | ONESelf                     | Prescription Medication Use and perception of risk instrument | CBP | 5 | Positive | High     |
| Tang             | RCT          | 415          | 53.7    | 60 94.3 | PHCP                        | Diabetes control        | Diabetes type 2 | 12 | Positive | High     |
| Westergaard et al | Qualitative | 19           | 49.3    | 63 74 | mPeer2Peer                   | Self-reported       | HIV                         | n/a | Positive | Moderate |
| Zettl et al      | Cohort study | 669          | 38.4    | 29 28 | BETAPATH                     | Number of injections per study interval | MS                          | 24 | Positive | Moderate |

CBP, chronic back pain; LTRs, lung transplant recipients; MS, multiple sclerosis; PD, Parkinson’s disease; PDA, personal digital assistant; RCT, randomised controlled trial.
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Figure 1 Preferred Reporting Items for Systematic Reviews and Meta-Analyses literature search and selection method diagram.

| Table 3 PHR design features | Medication adherence results by study |
|-----------------------------|--------------------------------------|
| **Feature**                 | **Definition**                        | **Positive** | **No difference** |
| Reminder                    | Reminders to take medication or to reorder their prescriptions, agnostic to the patient’s chronic condition, demographics or any other external factor. | 11 | 1 |
| Education                   | Includes smaller features, like search bars and views, which can improve the user’s health literacy and understanding of their condition. | 3 | 2 |
| Personalisation and tailoring | The personalisation involves presenting a health message specific to the individual patient’s condition and demographic characteristics, while tailoring involves developing a PHR based on the individual’s characteristics. | 8 | 1 |
| Feedback and alerts         | Medical emergency alerts in the form of a press button alert or SMS, such as the ones a user may find in the MyALERT PHR. | 10 | 2 |
| Gamification                | An umbrella term that includes the use of game design characteristics in non-game contexts. | 4 | 1 |
| Medication management       | Includes all the features that a chronically ill patient may need to conform to everyday life. | 10 | 2 |
| Medical appointment management | Medically related appointment tracking, re-scheduling and arrangement. | 2 | – |
| Diary and self-monitoring   | A combination of features related to health or medication intake monitoring. | 8 | 2 |
| Health condition management | Includes all the lab and medical tests results and integration of existing clinical data. | 3 | 1 |
| Set goals                   | Includes all the elements that are necessary for setting and managing goals. | 2 | 1 |
| Patient’s blog              | Includes sharing in social media or blogging regarding health. | 1 | – |
| Tethered                    | Are the PHRs which are connected with an EHR. | 2 | – |

EHR, electronic health record; PHR, personal health record.

1787 original works were identified and 15 were finally included in the qualitative synthesis.

**Characteristics of included studies**

This review includes studies conducted in the USA (n=7), Switzerland (n=2), Canada (n=1), UK (n=1), Germany (n=1), Italy and Czech Republic (n=1), New Zealand (n=1) and Spain (n=1). Most studies had included participants who have at least one long-term condition (n=9), diabetes (n=4) and HIV (n=3). Studies were published in 2017 (n=4), 2014 (n=4), 2016 (n=3), 2015 (n=2), 2013 (n=1) and 2008 (n=1). The majority of the included studies (n=15) reported a positive result in terms of the impact on medication adherence, with two studies found no difference.57 38 No study identified negative results. Five out of 5 high quality studies and 8 out of 10 moderate quality studies found positive results with medication adherence. The main study characteristics are illustrated in table 2.

**Primary objective**

Table 3 presents the PHR design features that were identified in the literature and are the generated themes of this literature review. The definitions of the PHR design features were derived from commercial PHRs that are implementing them or the wider literature regarding PHRs and personal experience, since there are no
International Standards Organization standards or universally accepted terminology.

All the PHR design features, which constitute the themes derived from our thematic analysis, are interlinked and overlapped. NVivo V.11 was used to cluster our codes potentially into more comprehensive themes. The initial themes were clustered together based on coding similarity, which means that if they were coding many of the same included studies, then they were clustered together.

- Reminder and medical appointment management (used in two studies).
- Tethered (used in two studies).
- Diary and self-monitoring (used in 10 studies).
- Feedback and alerts and health condition management (used in four studies).
- Medication management and patients blog (used in one study).
- Personalisation and tailoring, gamification, education, set goals (used in 10 studies).

Secondary objectives
PHR design features that improve medication adherence in case of polypharmacy
Although multiple papers include polypharmacy or multimorbidity factors about their participants, they do not explore whether there is a correlation or an association between polypharmacy and the PHR usage or whether there is a medication adherence improvement or not. There is a trend that the more medications a person uses the less a reminder helps. This is not a conclusion directly supported by the data, but an argument emerging from multiple studies.

PHR design features that improve medication adherence for specific long-term condition groups
This research identified a number of chronic conditions. However, diabetes and HIV can only be used to analyse the impact that the PHR design features are having in medication adherence, since the rest of the conditions are included in just one study.

HIV
Three studies have been included which discuss how the use of PHRs affect medication adherence of adults with HIV. All three studies produced positive results, having a very small (less than 50) number of participants who are also predominantly men and younger than 50 years old. Two studies are RCTs, having follow-up duration of 2–3 months and the other one is a qualitative study without any follow-up. All three studies include the personalisation and tailoring design feature, two of the studies use reminders, one study uses feedback and alerts and health condition management and one other study uses diary and self-monitoring design feature.

Diabetes
Four studies have been included which discuss how the use of PHRs affect medication adherence of adults with diabetes. All studies found positive results regarding medication adherence, apart from Glasgow et al. Three studies used diary and self-monitoring or personalisation and tailoring. Three studies used education and set goals or gamification and one study used feedback and alerts and health condition management PHR design features. The follow-up duration of studies was 12 months apart from Fioravanti et al, which was 1 month.

Association between participants' demographic characteristics, their usage of PHRs and their medication adherence
Data regarding patients’ demographics and medication adherence were collected and analysed. Only 2 of 15 studies provide a detailed description of the participants’ ethnicity. Two studies also provide data regarding the technology literacy of the participants and two other studies provide data for the health literacy of the participants.

Twelve of the 15 studies provided age group details, which illustrate that the younger the participants, the more positive results regarding medication adherence were identified. This could be due to the presumed technological literacy of younger generations, however it was impossible to account for this confounding factor, since no data were supplied. Also the lower the number of participants in an experimental study (less than 251), the higher the impact of PHR in medication adherence. This could arguably illustrate a case of confirmation bias, meaning that the researcher stopped recruitment when the hypothesis was verified. It could also mean that some of these results are less accurate, due to lower number of participants, since there is uncertainty towards the validity of the results derived from RCTs with less than 100 participants.

How implementation factors affect the outcomes and heterogeneity
Heterogeneity has been found in the included studies regarding the study location, the included long-term conditions and the medication adherence measurement method. Although the included study types were also heterogenic (table 2), this does not seem to affect the general trend that the use of PHR has a positive impact in medication adherence. Heterogeneity has also been identified in participants’ demographics and confounding factors (table 2).

Although expected otherwise, the duration of the follow-up in RCT studies does not seem to affect the outcomes, since the majority of the included RCTs produced positive results. There is however an indication that three out of four RCT studies that did not produce positive results had 12 months follow-up. This observation is in-line with the general idea of the field being new and there are no global standards that dictate the design of PHRs. Another interesting fact is that the studies that were either observational or literature reviews produced statistically more positive results than the RCTs.
Quality assessment
The inter-rater reliability for quality assessment was calculated $k=0.88$, which indicates high reliability of the quality assessment. Overall, 27 studies matched our inclusion criteria. Twelve of these studies were excluded due to low quality of evidence, 5 were graded as high quality of evidence and the remaining 10 were graded as moderate quality of evidence. The detailed quality assessment table based on the GRADE approach can be found in the online supplementary file 1.

Figure 2 illustrates the overall risk of bias for this study. The details behind this diagram and the overall quality assessment are provided as additional file.

DISCUSSION
In this section, we reflect on the principal themes and overall conclusions from the literature review. The first impression after the initial data analysis was that too few studies actually mentioned the term PHR. In the majority of the cases, we had to critique the intervention of the inclusion criteria based on our PHR definition. This systematic review included 15 primary studies of multiple study types and identified 12 different PHR design features. Although 12 different PHR design features were identified, there are no specific guidelines that can be derived from the results. Overall, 87% (n=13) of the included studies found that the use of a PHR has increased medication adherence. Based on the number of studies that identified positive results, the fact that 8 out of the 10 high quality studies identified positive results and that no study indicated a negative effect on medication adherence, we conclude that there is a reasonable indication that PHRs can have an overall positive effect on medication adherence. However, due to the high heterogeneity in medication adherence measurements, reporting styles and study types, we were unable to group and quantify the results in study level. It is of course possible that the absence of negative results is due to publication bias.

Reminders, feedback and alerts and medication management are the most commonly used PHR design feature, since all studies include at least one of the three features. These findings are also consistent with the existing literature, which identifies reminders, and medication management as the most commonly used features that assist patients with their medication intake. The design features with the most positive effect for patients’ medication adherence were patient’s blog, tethered and medical appointment management. This could be arguable since these features are also the least used, since the medication appointment management has the most positive results in the relevant literature. The generated clusters paint a similar picture. The cluster that produces the best results is personalisation and tailoring, gamification, education and set goals (92% of studies in this cluster produce positive results) and reminder and medical appointment management (80% of studies in this cluster produce positive results).

Two groups of patients have been identified in this literature review for further analysis to find which of the PHR design features work best for them. The most positive results for diabetic participants were identified by three studies that used PHRs. Furthermore, for participants with HIV, three studies were identified producing positive results that used at least personalisation and tailoring design feature and reminders. Mixed associations were found between patients demographics, their PHR usage and medication adherence. Taking into account the median age confounding factor of the participants, it is apparent that the younger the participants, the more positive are the results. This is also supported in the general academic literature that suggests that technological literacy levels are higher in younger adult population.

Furthermore, the less participants a study included the more positive the results appeared to be. This in fact may cause problems in the quality of evidence for the
included studies and this literature review; hence our suggestion is to interpret the results with caution. There is a proven link between the sample size of anRCT and the statistical significance of its result.61 According to Faber and Fonseca,62 the small sample size might increase the chance of false-positive and the study might not reach to an evident conclusion.

The ‘other’ bias category has the highest risk of bias of all the assessed risks, which is in line with the key findings of this and other related studies,63 resulting in a probable overestimation of PHR effect on medication adherence and potentially echoing a form of recall bias.64 Although some degree of bias is considered unavoidable, the high risk of bias identified in the included studies and the generally moderate quality of evidence presented in them also reflect the uncertainty on this field and the need for further research on both PHRs and medication adherence. A PHR design feature that is not mentioned in the included literature is security. This might be happening due to the inclusion/exclusion criteria of this systematic review, since the security of the data does not seem to affect patients’ medication adherence. Another limitation is that we included English papers published in the last 15 years, due to time and cost constraints. Screening of the studies and data collection were conducted by EA and only face-validated by PS, therefore this is also a significant limitation. Furthermore, the small number of participants in the studies is commonly known to overestimate the effect of an intervention, the fact which is noticeable in the included studies.

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