Characteristics of patients with severe, uncontrolled, eosinophilic asthma enrolled in a French cohort

Michel Aubier1–4
Gabriel Thabut1–3,5
Caroline Fabry-Vendrand6

1Inserm UMR1152, Physio-pathology and Epidemiology of Respiratory Diseases, Paris, France; 2Faculty of Medicine, Paris Diderot University, Paris, France; 3Laboratory of Excellence, INFLAMEX, Université Sorbonne Paris Cité and DHU FIRE, Paris, France; 4Pneumology A Department, Bichat-Claude-Bernard University Hospital, Paris, France; 5Pneumology B Department, Bichat-Claude-Bernard University Hospital, Paris, France; 6Epidemiology and Research Partnerships, AstraZeneca, Courbevoie, France

Background and objective: Benralizumab (Fasenra™) has recently been approved as add-on maintenance treatment for adult patients with severe eosinophilic asthma inadequately controlled despite high-dosage inhaled corticosteroids plus long-acting β2-agonists. We aimed to identify and describe the clinical characteristics and disease burden of patients with severe, uncontrolled, eosinophilic asthma in France who may be eligible for treatment with benralizumab.

Patients and methods: This was a retrospective analysis of a prospective, noninterventional, observational study of patients in France enrolled in the Asthma and Bronchial Obstruction Cohort (COBRA). First, we selected adult patients with severe asthma, a documented blood eosinophil count, 12 months of baseline data, and 12 months of follow-up data. Of these study-eligible patients, we next determined the prevalence and described the clinical characteristics and disease burden of patients who would be eligible to receive benralizumab, namely those with ≥2 asthma exacerbations in the previous 12 months and a blood eosinophil count ≥300/μL who were receiving high-dosage inhaled corticosteroids/long-acting β2-agonists.

Results: Of the 441 patients eligible for this study, 85 (19%) met the criteria for benralizumab therapy. At study inclusion, benralizumab-eligible patients had a smaller prebronchodilator forced expiratory volume in 1 second and less effective asthma control compared with benralizumab-ineligible patients. During the 12-month follow-up period, benralizumab-eligible patients had greater frequencies of asthma exacerbations and hospitalizations compared with benralizumab-ineligible patients.

Conclusion: Of patients with severe asthma, approximately 20% were qualified for benralizumab treatment. Benralizumab-eligible patients had increased bronchial obstruction, worse asthma control, and a greater frequency of asthma exacerbations and hospitalizations during follow-up care compared with benralizumab-ineligible patients, demonstrating inadequate disease control for these patients.

Keywords: COBRA, benralizumab, biologic, eosinophilia, France

Introduction
France has one of the highest prevalences of asthma worldwide, approaching 7% in the adult population.1,2 Up to 10% of adult patients with asthma have severe asthma, which require treatment with high-dosage inhaled corticosteroids (ICS) plus long-acting β2-agonists (LABA) to control their disease.3 A further subset of patients has uncontrolled asthma symptoms despite these treatments. These uncontrolled symptoms, which place patients at high risk for asthma exacerbations, hospitalizations, and diminished health-related quality of life, are associated with significant health care costs.4 The annual cost of severe asthma rises with decreasing asthma control and can reach €2,000 per patient year in France.5
Additional therapeutics are needed to control severe asthma for patients who do not respond well to conventional anti-inflammatory treatment. Targeted therapeutics are effective add-on treatments, particularly when patients are selected using appropriate biomarkers (eg, high blood eosinophil counts). Eosinophilia is associated with asthma severity and poor asthma control. Benralizumab is a humanized, afucosylated IL-5 receptor alpha–directed cytolytic monoclonal antibody that induces direct, rapid, and nearly complete depletion of eosinophils via enhanced antibody-dependent cell-mediated cytotoxicity. In phase III trials (SIROCCO [NCT01928771] and CALIMA [NCT01914757]), benralizumab in combination with high-dosage ICS/LABA significantly reduced annual asthma exacerbation rates and improved lung function and asthma control for patients with severe, uncontrolled asthma with eosinophilia. In the EU, benralizumab (Fasenra™, AstraZeneca AB, Södertälje, Sweden) is indicated for add-on maintenance treatment of adult patients with severe eosinophilic asthma inadequately controlled despite high-dosage ICS/LABA.

The objectives of this study were to determine the prevalence and describe the clinical characteristics and disease burden of adult patients in France with severe, uncontrolled asthma who may be eligible for benralizumab treatment based on SIROCCO/CALIMA enrollment and stratification criteria. The objectives of this analysis were to determine the prevalence and describe the clinical characteristics and disease burden of patients with severe asthma in the COBRA cohort who were eligible for benralizumab treatment based on SIROCCO/CALIMA enrollment and stratification criteria.

### Material and methods

#### Study design and participants

This study was a retrospective analysis of the COBRA study, an ongoing French multicenter, prospective, noninterventional, observational study of adult patients (≥18–80 years old) with either asthma or COPD with 10 years of follow-up. A detailed description of the COBRA study design has been published. Patients were enrolled into COBRA regardless of disease severity. Data and biological sample collection began in March 2008.

Data extraction for this study occurred on December 9, 2015. To be eligible for this retrospective study, patients in COBRA were required to have severe asthma (defined as requiring Global Initiative for Asthma [GINA] step 4 or 5 treatment), documented blood eosinophil counts, and at least 12 months of follow-up data (Figure 1). Study-eligible patients were considered for benralizumab treatment if they were receiving high-dosage ICS/LABA, had experienced ≥2 asthma exacerbations that required treatment with systemic corticosteroids in the year prior to the index date, and had blood eosinophil counts ≥300 cells/μL (Figure 1).

Baseline data for patient characterization were collected for one year prior to the index date (visit 1; Figure 1) and included patient demographics, comorbidities, treatment, asthma severity, asthma exacerbations, emergency department visits, and hospitalizations. Blood eosinophil counts were obtained at and after the index date. One year of outcome data after the index date was extracted as well.

### Outcomes

The objectives of this analysis were to determine the prevalence and describe the clinical characteristics and disease burden of patients with severe asthma in the COBRA cohort who were eligible for benralizumab treatment based on SIROCCO/CALIMA enrollment and stratification criteria. Endpoints to describe disease burden were the number of asthma exacerbations, asthma-related emergency department visits, and asthma-related hospitalizations during the 12-month period post index date. Asthma exacerbations were defined as a worsening of asthma requiring the use

### Table 1 Characteristics of the study sample (N=441)

| Criteria                                                      | No n (%) | Yes n (%) |
|---------------------------------------------------------------|----------|-----------|
| ≥2 asthma exacerbations in previous 12 months                 | 211 (48) | 230 (52)  |
| Eosinophil count ≥300 cells/μL                               | 267 (61) | 174 (40)  |
| High-dosage ICS/LABA                                         | 118 (27) | 322 (73)  |
| All three criteria together (benralizumab eligibility)       | 356 (81) | 85 (19)   |
| High-dosage ICS/LABA AND ≥2 asthma exacerbations in previous 12 months | 254 (58) | 187 (42)  |
| High-dosage ICS/LABA AND ≥2 asthma exacerbations in previous 12 months AND | Eosinophil count ≥100 cells/μL | 292 (66) | 149 (34)  |
| Eosinophil count ≥100 cells/μL                               | 312 (71) | 129 (29)  |
| Eosinophil count ≥150 cells/μL                               | 296 (67) | 130 (29)  |
| Eosinophil count ≥300 cells/μL                               | 356 (81) | 85 (19)   |
| Eosinophil count ≥400 cells/μL                               | 379 (86) | 62 (14)   |

Abbreviations: ICS, inhaled corticosteroids; LABA, long-acting β2-agonists.
of systemic corticosteroids (or a temporary increase in a stable oral corticosteroid background dose) for at least three days. The level of asthma control was assessed by the Asthma Control Questionnaire (ACQ). Optimal asthma control was defined as ACQ scores $\leq 0.5$, suboptimal asthma control as scores $>0.5-1.5$, and unacceptable asthma control as scores $>1.5$.15

**Statistical analysis**

Data were entered in the COBRA database using an electronic case report form. Stata® software (StataCorp LLC, College Station, TX, USA), version 13.1, and R software, version 2.15.2 (The R Foundation for Statistical Computing, Vienna, Austria), were used for data management and data analysis, respectively. Continuous variables were summarized with descriptive statistics (number, mean, SD, range, and median), and frequency counts and percentages within each category were provided for categorical data. Asthma exacerbations and hospitalizations were expressed as rates (number per year) and as percentage of patients having at least one event. Emergency department visits were expressed as the percentage of patients having at least one emergency department visit during follow-up. Estimates for one year of follow-up were derived from visits that occurred during the 9–15-month interval range, with rates annualized. When possible, missing data were obtained from the patients’ medical records. Statistics were computed for patients with available (nonmissing) data. No imputation was performed. Characteristics of patients eligible for benralizumab treatment were compared with those of benralizumab ineligible patients using nonparametric tests: Fisher’s exact test and Mann–Whitney U test for categorical and continuous variables, respectively. Asthma exacerbation rates were analyzed by Poisson regression models with the logarithm of follow-up as an offset variable. Differences between groups were considered statistically significant if $P$-values were $<0.05$. No attempt was made to adjust for multiple comparisons.

**Ethics approval and informed consent**

The COBRA study was approved by the Comités de Protection des Personnes Ile-de-France I (number 09-11962), and all patients gave their written informed consent before being enrolled.

**Results**

Of 1,081 patients in the COBRA database, 441 patients recruited from nine hospitals fulfilled the study inclusion criteria of having severe asthma, at least one year of follow-up data, and documented blood eosinophil counts (Table 1). During the year prior to the index date, 230 (52%) of the 441 patients experienced $\geq 2$ asthma exacerbations that required treatment with systemic corticosteroids, 187 patients (42%) also received high-dosage ICS/LABA, and 85 patients (19%) also had blood eosinophil counts $\geq 300$ cells/$\mu$L. Thus, 19% of adult patients with severe asthma in the COBRA study met the eligibility criteria for benralizumab therapy.

Patient demographics were similar between benralizumab-eligible and -ineligible patients and were representative of patients with severe asthma (Table 2). The median ages for benralizumab-eligible and -ineligible patients were 50 years and 53 years, respectively ($P=0.10$).
Most patients were white (benralizumab-eligible patients: 74%, benralizumab-ineligible patients: 81%), and more than half of the patients in each group were female (benralizumab-eligible patients: 67%, benralizumab-ineligible patients: 68%; \( P = 0.90 \)). Mean predicted prebronchodilator forced expiratory volume in 1 second (FEV1) was smaller for benralizumab-eligible patients than for benralizumab-ineligible patients (70% vs 76%, respectively; \( P = 0.03 \); Table 3).

At study inclusion, benralizumab-eligible patients had less effective asthma control than benralizumab-ineligible patients (optimal in 5% of patients vs 23% of patients, respectively; \( P < 0.001 \); Table 2). Benralizumab-eligible patients had a higher mean number of asthma exacerbations in the previous 12 months compared with benralizumab-ineligible patients (6.9 vs 2.7, respectively; \( P < 0.001 \); Table 2). The percentage of benralizumab-eligible patients who experienced asthma “attacks” (interpreted by patients as an asthma crisis) was greater than that of benralizumab-ineligible patients (Table 2; \( P = 0.02 \)).

Benralizumab-eligible patients had a greater prevalence of nasal polyposis (42% vs 22%; \( P = 0.003 \)), greater use of ICS/LABA/long-acting muscarinic antagonists (LAMA) (21% vs 12%; \( P = 0.03 \)) and antileukotriene agents (47% vs 31%; \( P = 0.01 \)). Both LAMA (21% vs 13%; \( P = 0.06 \)) and oral corticosteroid (27% vs 19%; \( P = 0.13 \)) use by benralizumab-eligible patients were greater than benralizumab-ineligible patients.

### Table 2 Demographics and baseline clinical characteristics of COBRA patients with severe asthma at study inclusion

| Demographics and baseline clinical characteristics | Benralizumab-eligible patients (n=356) | Benralizumab-ineligible patients (n=85) | P-value |
|---------------------------------------------------|----------------------------------------|-----------------------------------------|---------|
| Median age, years (IQR)                           | 53 (40–62)                             | 50 (40–57)                              | 0.10    |
| Female, n (%)                                     | 241 (68)                               | 57 (67)                                 | 0.90    |
| Mean body mass index, kg/m² (SD)                  | 27.3 (6.1)                             | 26.7 (5.9)                              | 0.24    |
| Smoking habits, n (%)                             |                                        |                                         |         |
| Current smoker                                    | 33 (9)                                 | 2 (2)                                   | 0.08    |
| Past smoker                                       | 104 (29)                               | 25 (29)                                 |         |
| Never-smoker                                      | 218 (61)                               | 58 (68)                                 |         |
| Median smoking history, pack-years (IQR)          | 10.0 (5.0–20.0)                        | 5.5 (5.0–13.8)                          | 0.40    |
| Median age at asthma onset, years (IQR)           | 20 (6–40)                              | 29 (17–43)                              | 0.007   |
| Median duration of asthma disease, years (IQR)    | 26 (16–41)                             | 16 (7–28)                               | <0.001  |
| Number of asthma-related hospitalizations         |                                        |                                         |         |
| Lifetime, mean (SD), median (IQR)                 | 4.0 (10.0),                            | 4.7 (7.2),                              | 0.01    |
| Previous 12 months, mean (SD), median (IQR)       | 1.0 (0.0–4.0)                          | 2.0 (0.0–5.0)                           | 0.17    |
| Lifetime history of asthma-related ICU hospitalizations, n (%) | 94 (26) | 27 (32) | 0.35 |
| Previous 12-month history of emergency department visits, n (%) | 171 (48) | 56 (66) | 0.004 |
| Frequency of asthma attacks,a n (%)                |                                        |                                         |         |
| None                                               | 96 (27)                                | 14 (17)                                 | 0.02    |
| <1/month                                           | 102 (29)                               | 17 (20)                                 |         |
| <1/week                                            | 30 (9)                                 | 10 (12)                                 |         |
| Several times a week                               | 71 (20)                                | 23 (27)                                 |         |
| Daily                                              | 53 (15)                                | 21 (25)                                 |         |
| Previous 12-month history of asthma exacerbations, n (%) | 199 (56) | 85 (100) | <0.001 |
| Number of asthma exacerbations in previous 12 months, Mean (SD), median (IQR) | 2.7 (4.0), | 6.9 (4.1), | <0.001 |
| 0, n (%)                                           | 157 (44)                               | 0 (0)                                   | <0.001  |
| 1, n (%)                                           | 54 (15)                                | 0 (0)                                   |         |
| 2, n (%)                                           | 26 (7)                                 | 12 (14)                                 |         |
| ≥3, n (%)                                          | 119 (33)                               | 73 (86)                                 |         |
| Median duration of asthma exacerbations, days (IQR)| 7.0 (5.0–10.0)                        | 8.0 (5.0–10.0)                          | 0.19    |
| Level of asthma control, n (%)                     |                                        |                                         |         |
| Optimal                                            | 81 (23)                                | 4 (5)                                   | <0.001  |
| Suboptimal                                         | 107 (30)                               | 10 (12)                                 |         |
| Not optimal                                        | 164 (47)                               | 71 (84)                                 |         |

Notes: *Inclusion criterion.

Abbreviations: COBRA, Asthma and Bronchial Obstruction Cohort; ICU, intensive care unit; IQR, interquartile range.
patients but these differences did not reach statistical significance (Table 4). At study inclusion, 6 (7%) benralizumab-eligible patients and 28 (8%) benralizumab-ineligible patients were receiving omalizumab ($P=1$; Table 4). During the one-year follow-up period, these numbers increased to 48 (57%) and 104 (29%) patients in the benralizumab-eligible and -ineligible groups, respectively ($P=0.01$).

For the 12-month follow-up period, data were missing for 22 (26%) benralizumab-eligible patients and 90 (25%) benralizumab-eligible patients for the number of asthma exacerbations, asthma-related visits to emergency departments, and hospitalizations. Mean (range) follow-up periods for benralizumab-eligible and -ineligible patients were 364 (274–455) days and 374 (277–456) days, respectively ($P=0.11$). Benralizumab-eligible patients had a greater mean incidence of asthma exacerbations (3.9/year vs 2.2/year; $P<0.001$) and asthma-related hospitalizations (0.19/year vs 0.09/year; $P=0.02$) compared with benralizumab-ineligible patients (Table 5 and Figure 2). The percentage of benralizumab-eligible patients who visited the emergency department was greater than that of benralizumab-ineligible patients (40% vs 25%; $P=0.03$; Table 5). Median blood eosinophil counts remained greater than 300 cells/μL for benralizumab-eligible patients throughout the 12-month follow-up period (Table 6).

**Discussion**

This retrospective analysis of adult patients with asthma in France enrolled in the COBRA study characterized patients who would potentially be eligible for benralizumab treatment. Based on the eligibility criteria that were used for the SIROCCO and CALIMA phase III randomized controlled trials,$^{11,12}$ approximately 20% of patients with severe asthma in this analysis were eligible for benralizumab treatment. In SIROCCO and CALIMA, eligibility criteria included a baseline blood eosinophil count of ≥300 cells/μL. In a post-hoc analysis of benralizumab-responsive patients in SIROCCO/ CALIMA,$^{16,17}$ benralizumab improved lung function and total asthma symptom score and decreased annual asthma exacerbation rate compared with placebo for patients with blood eosinophil counts ≥150 cells/μL. If a blood eosinophil count of ≥150 cells/μL at study inclusion had been used in this analysis of COBRA patients, the benralizumab-eligible population would have increased to 29%. Oral corticosteroids decrease blood eosinophil counts.$^{18}$ In the ZONDA trial,$^{19}$ benralizumab was shown to be efficacious in patients with severe asthma receiving high-dosage ICS/ LABA and oral corticosteroids, and with blood eosinophil counts ≥150 cells/μL. If we had expanded the benralizumab-eligibility criteria in the current study to include patients who were receiving oral corticosteroids and had blood eosinophil counts of ≥150 cells/μL, we would also have captured a larger benralizumab-eligible population.

COBRA is a 10-year prospective, noninterventional, observational study that began in 2008. Treatments recommended for asthma in 2008 (eg, medium- or high-dosage ICS/LABA, leukotriene modifiers and sustained-release theophylline, GINA step 4 regimens, and low-dosage oral glucocorticosteroids and anti-immunoglobulin E [IgE] treatments as GINA step 5 regimens) are still widely used and recommended today.$^{20}$ In recent years, biologics such as anti–IL-5 antibodies have been added to this repertoire.$^{21}$ At study inclusion and during the 12-month observation period in our study, benralizumab-eligible patients had a greater frequency of hospitalizations, emergency department visits, and asthma exacerbations compared with the general population of study-eligible patients. The frequency of asthma attacks was also greater for benralizumab-eligible patients at study inclusion compared with study-eligible patients. It is clear new therapeutics to improve disease control for patients with severe, eosinophilic asthma are needed.

In addition to benralizumab, there are currently three biologics approved in Europe for the treatment of asthma. Omalizumab (Genentech Inc., South San Francisco, CA, USA) is a humanized anti-IgE monoclonal antibody approved as add-

### Table 3 Pulmonary function tests for patients with severe asthma at study inclusion

| Pulmonary function tests | Benralizumab-eligible patients (n=85) | Benralizumab-ineligible patients (n=356) | P-value |
|--------------------------|---------------------------------------|----------------------------------------|--------|
| Mean FEV₁ before BD, L (SD) | 1.99 (0.61) | 2.11 (0.81) | 0.34 |
| Mean predicted FEV₁ before BD, % (SD) | 70 (18) | 76 (24) | 0.03 |
| Mean FEV₁/FVC, % (SD) | 65 (13) | 66 (13) | 0.24 |
| Reversibility* [yes], n (%) | 21 (30) | 53 (19) | 0.05 |

**Notes:** Reversibility of FEV₁ was defined as an increase of ≥0.20 L and of 12%.

**Abbreviations:** BD, bronchodilator; FEV₁, forced expiratory volume in 1 second; FVC, forced vital capacity.
on therapy for patients ≥6 years of age with severe persistent, uncontrolled asthma and either a positive skin test or in-vitro reactivity to a perennial aeroallergen. Mepolizumab and reslizumab are anti–IL-5 monoclonal antibodies approved as add-on maintenance treatment for patients with severe asthma and an eosinophilic phenotype. In the observational, cross-sectional IDEAL study of patients with severe asthma from six countries in Australia, Europe (including France), and North America, 20% of patients were eligible for mepolizumab, 5% for reslizumab, and 31–41% for omalizumab. For mepolizumab and reslizumab, eligibility requirements included ≥2 (mepolizumab) or ≥1 (reslizumab) asthma exacerbations.
Severe, uncontrolled eosinophilic asthma in France

requiring oral corticosteroids, emergency department visits, or hospitalizations in the previous 12 months, and blood eosinophil counts of ≥150 cells/µL at study visit or ≥300 cells/µL in the previous 12 months (mepolizumab) or ≥400 cells/µL (reslizumab). Omalizumab qualification criteria included a threshold asthma exacerbation frequency (≥1 or ≥2), high IgE concentrations, and positive allergy test. The percentage of patients with severe asthma enrolled in the COBRA study who would be eligible for benralizumab is consistent with those observed for mepolizumab and reslizumab.

This study had certain limitations. First, the study was not a random sampling of patients with severe asthma in France, for the enrollment process involved pulmonologists in specialized university hospitals. Second, the study took place before the approval of mepolizumab and reslizumab, so we could not undertake an analysis of patients who were treated with these agents and were also eligible for benralizumab treatment. Third, we did not analyze patients treated with omalizumab because the duration of treatment and reasons for cessation were not recorded in the case report forms. Fourth, approximately 25% of patients had missing data on disease burden during the look-forward period.

### Conclusion

Approximately 20% of patients in this real-life cohort study were eligible for treatment with benralizumab, a novel agent for the treatment of uncontrolled, severe, eosinophilic asthma. These patients had more frequent asthma exacerbations and symptoms and worse asthma control than the benralizumab-ineligible population of patients with severe asthma. Understanding prevalence and clinic characteristics of patients who would be responsive to benralizumab will be important for clinicians in their decisions to treat severe, uncontrolled asthma with an eosinophilic phenotype.

### Data sharing statement

The research protocol developed for this study and data for this retrospective analysis are available upon request from the corresponding author.

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Author contributions
All authors contributed to the study design, data analysis and interpretation, and preparation of this manuscript and agree to be accountable for all aspects of the work.

Disclosure
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