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Efficient Carrier Multiplication in Low Band Gap Mixed Sn/Pb Halide Perovskites

Sourav Maiti, Silvia Ferro, Deepika Poonia, Bruno Ehrler, Sachin Kinge, and Laurens D. A. Siebbeles*

ABSTRACT: Carrier multiplication (CM) generates multiple electron–hole pairs in a semiconductor from a single absorbed photon with energy exceeding twice the band gap. Thus, CM provides a promising way to circumvent the Shockley–Queisser limit of solar cells. The ideal material for CM should have significant overlap with the solar spectrum and should be able to fully utilize the excess energy above the band gap for additional charge carrier generation. We report efficient CM in mixed Sn/Pb halide perovskites (band gap of 1.28 eV) with onset just above twice the band gap. The CM rate outcompetes the carrier cooling process leading to efficient CM with a quantum yield of 2 for photoexcitation at 2.8 times the band gap. Such efficient CM characteristics add to the many advantageous properties of mixed Sn/Pb metal halide perovskites for photovoltaic applications.

Carrier multiplication (CM) is a process of generating multiple electron–hole pairs in a material by absorption of one photon. This process is of particular interest to increase the efficiency of photovoltaic devices. In conventional solar cells the excess energy of photons higher than the band gap ($E_g$) of a semiconductor is wasted as heat because of rapid thermalization of the charge carriers. In CM, the excess energy of an electron and/or a hole higher than the band gap is utilized to create additional charge carriers. In this way, the photovoltaic efficiency can surpass the Shockley–Queisser limit of 33% for single-junction solar cells.\(^\text{8,13}\) The exploitation of CM in such solar cells is optimal for semiconductors with a band gap in the near-infrared (NIR).\(^\text{22}\) CM has already been established for Pb-chalcogenide materials with different degrees of quantum confinement.\(^\text{4–16}\) However, most of these materials suffer from a high onset energy of CM and/or a low CM efficiency.

Recently, efficient CM with onset around twice the band gap and 2.25 times the band gap has been reported for CsPbI\(_3\) and FAPbI\(_3\) perovskite nanocrystals, respectively.\(^\text{14–16}\) However, these perovskites have a band gap in the visible wavelength region and are therefore impractical for solar cell applications. The band gap is reduced to the NIR region in mixed Sn/Pb halide perovskites, making them promising for application in solar cells that exploit CM.\(^\text{17–23}\) Moreover, the low exciton binding energy (~16 meV) in these perovskites ensures that photoexcitation results in mostly free charges that can be easily extracted.\(^\text{19}\) Here we present a study on CM in a mixed Sn/Pb halide perovskite of band gap 1.28 eV through ultrafast differential transient absorption (TA) spectroscopy. For such a band gap, theoretically, CM can enhance the efficiency of a solar cell from the Shockley–Queisser limit to 40%.\(^\text{24}\) Our experimental results show that the onset of CM is close to twice the band gap in this perovskite. At higher photon energies multiple electron–hole pairs are produced for each absorbed photon with the generation of 2 electron–hole pairs at photon energy 2.8 times the band gap.

A mixed Sn/Pb low band gap perovskite with the composition (FASn\(_x\))\(_{0.6}\)(MAPb\(_x\))\(_{0.4}\) was synthesized following a reported procedure with small modifications.\(^\text{22}\) The details of the synthesis are provided in the experimental section of the Supporting Information. The scanning electron microscope (SEM) images (Figure S1) of the perovskite film reveal compact grain boundaries, average grain size of about 1 \(\mu\)m, and a low density of pinholes.

Figure 1 shows the fraction absorbed light, \(F_a\), of the thin film sample as a function of photon energy. The absorption spectrum exhibits the shoulder of an absorption peak near 1.3 eV. The photogeneration and decay dynamics of electron–hole pairs were studied by time-resolved optical pump–probe measurements, as detailed before.\(^\text{23,26}\) A laser pump pulse produces electron–hole pairs that subsequently undergo energetic relaxation (cooling) to the band edge and eventually decay by recombination. The charge carriers cause a differential change in absorption of the probe pulse given by

\[
\Delta A(\omega) = \frac{N_c(\omega) - N_h(\omega)}{N_c(\omega)}
\]

where \(N_c(\omega)\) and \(N_h(\omega)\) are the carrier densities at time \(\tau\) and \(\tau + \Delta\tau\), respectively. As seen in Figure 1, the absorption signal \(\Delta A(\omega)\) clearly shows that photoexcitation at 2.8 times the band gap generates multiple electron–hole pairs.

The quantum yield of CM has been determined by measuring the change in probe pulse absorption \(\Delta A(\omega)\) at each band gap. The quantum yield of CM has been determined to be 2 at this band gap.

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CM analysis indicated. The dotted vertical lines mark the spectral region used for hole pair bleach of a probe photon due to the presence of an electron absorbed pump photon) can be obtained from the relation

\[ \Delta A = \log \left( \frac{I_{\text{off}}}{I_{\text{on}}} \right) \]

where \( I_{\text{off}} \) and \( I_{\text{on}} \) are the transmitted probe pulse intensities without and with a pump laser pulse, respectively. Note that \( \Delta A \) corresponds to the change in the optical density. The photogeneration quantum yield (QY) of electron–hole pairs \( (\phi) \) (i.e., the number of electron–hole pairs produced per absorbed pump photon) can be obtained from the relation

\[ \frac{\Delta A}{I_0 F_A} = \phi \frac{\sigma}{\ln 10} \]

Here, \( I_0 \) is the incident number of pump photons per unit area and \( \sigma \) the cross section for induced absorption or bleach of a probe photon due to the presence of an electron–hole pair.

Figure 2 shows \( \Delta A/I_0 F_A \) for a photoexcitation energy of 1.38 eV, which is close to the band gap where CM is not possible, and for 3.54 eV which is more than twice the band gap so that CM can occur. For 1.38 eV, the spectrum exhibits a ground-state bleach due to depletion of the electron population in the valence band. The spectral shape for 1.38 eV does not exhibit a change over time, because the electron–hole pairs are generated close to the band gap and do not undergo significant cooling. For photoexcitation at the higher energy of 3.54 eV, the shape of the TA spectrum shows a large change with time going from 0.15 to 0.25 ps to 15–20 ps. Excitation at 3.54 eV initially creates hot charges, leading to a photoinduced absorption (PIA) feature present on the lower-energy side of the spectrum. In similar perovskite structures, this PIA has been attributed to band gap renormalization by hot carriers.27,28 As the carriers dissipate excess energy and cool to the band edge, the PIA turns into bleach, as can be seen in Figure 2 for 15–20 ps delay time. The presence of hot carriers can change the shape of the spectra, and the magnitude of the TA can be higher for a hot electron–hole pair compared to a cold electron–hole pair.27 Therefore, for further analysis, we used TA spectra at time delays between 15 and 20 ps, because then the shape of the spectra is independent of photoexcitation energy (see Figure S2), indicating that the charge carriers have completed cooling to the band edge. This assignment is further corroborated by similar decay kinetics of the TA bleach after 10 ps for photoexcitation at both 1.38 and 3.54 eV (see Figure S3). Hence, the higher amplitude of \( \Delta A/I_0 F_A \) at 15–20 ps delay time in Figure 2 for 3.54 eV excitation compared to 1.38 eV excitation indicates an increase of the QY of electron–hole pairs.

To further quantify the CM efficiency, we averaged the magnitude of the TA at probe energies from 1.29 to 1.35 eV in the time range of 15–20 ps. The average magnitude of \( \Delta A \) is plotted against the number of absorbed photon fluence in Figure 3. The linear increase of \( \Delta A \) with absorbed pump fluence for all photoexcitation energies excludes the occurrence of decay of electron–hole pairs by higher-order recombination. Moreover, the bleach decay kinetics for 3.54 eV excitation for the lowest (0.3 \( \times \) 10^{12} photons/cm²) and highest (1.4 \( \times \) 10^{12} photons/cm²) fluence used in the experiments are similar (Figure S4), which further demonstrates the absence of higher-order decay. For each photoexcitation energy in Figure 3, the fluence dependence of \( \Delta A \) was linearly fit to obtain the slope, which is directly proportional to the QY, \( \phi \), as discussed above. For photoexcitation close to the band gap (1.38 eV), the QY is taken as unity. The relative QY at all other photoexcitation energies is calculated as the ratio of the slopes at the respective photoexcitation energy to the slope at 1.38 eV excitation energy.

In Figure 4 the QY is plotted as a function of photoexcitation energy \( (E, \text{top axis}) \) and band gap multiple \( (E/E_g, \text{bottom axis}) \). The error bars represent the 95% confidence interval of the slope resulting from the linear fit. The QY should remain 1 for
photoexcitation energy below twice the band gap ($E_g < E < 2E_g$) which is indeed the case within the experimental noise.

The onset of CM is about twice the band gap, $E_g$, and the QY gradually increases to a value of 2 for $E/E_g$ equal to 2.8. These results are quite interesting compared to other materials, especially Pb-chalcogenides and Pb-based perovskites where CM has been extensively investigated. For a percolative network of interconnected PbSe NCs, the CM onset was around twice the band gap; however, the QY was lower than 2 for photoexcitation energies up to 4 times the band gap.\(^{23}\) CsPbI\(_3\) perovskite nanocrystals have CM onset just above twice the band gap; however, the QY is only 1.6 near 2.5 times the band gap (Figure S6).\(^{14}\) Recently, CM with onset and efficiency similar to the low band gap perovskites of the present study has been reported for MoTe\(_2\) and WSe\(_2\) (see Figure S6 for comparison), although the explanation in these materials remains elusive.\(^{30}\) In Pb-chalcogenides, the excess energy above the band gap is equally distributed between electron and hole, which sets the CM threshold at three times the band gap. If the photon energy in excess of the band gap is taken up completely by one of the charge carriers and utilized for CM then, ideally, the QY can reach 2 at exactly twice the band gap. Such asymmetric distribution is possible involving an optical transition from the highest valence band maximum (second valence band maximum) to a second conduction band (lowest conduction band), so that all excess photon energy is transferred to the electron (hole).\(^{31}\) Thus, the CM threshold can be near twice the band gap if this second conduction (valence) band has close to twice the band gap energy, as has been proposed for the percolative network of PbSe NCs.\(^{24}\) Therefore, we speculate the CM onset near twice the band gap in the current low band gap perovskites may result from an asymmetric distribution of excess energy between the electron and the hole due to the presence of a second conduction (valence) band close to twice the band gap.\(^{31,32}\) In agreement with the reasoning above, for Pb-chalcogenide bulk and quantum dots, the CM threshold was found to correspond to asymmetric transitions involving a second conduction (valence) band. This suggests quantum confinement may not be required for efficient CM.\(^{31}\) A recent theoretical study by Guan et al. suggests the presence of a second conduction band at 2.2 times the band gap.\(^{33}\) Note that the band gap obtained through density functional theory (DFT) in that paper is lower than the experimental band gap. More advanced band structure calculations, e.g. by the GW-method, are required to get further insight into the possibility of an asymmetric transition.

In conclusion, we have shown efficient CM in a bulk Sn/Pb halide perovskite with an onset for photoexcitation energy near twice the band gap and QY reaching 2 at around 2.8 times the band gap. This result for a bulk material shows that quantum confinement is not a strict requirement for CM. As compared to nanostructures, a bulk material typically has the advantage of more efficient charge transport to external electrodes in a photovoltaic device. The occurrence of efficient CM in combination with the prospect of efficient charge transport makes mixed Sn/Pb halide perovskites promising candidates for multie excitonic solar cell applications.

### ASSOCIATED CONTENT

#### Supporting Information

The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/acs.jpcl.0c01788.

Characterization, transient spectra for all excitation energies, and kinetic traces (PDF)

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#### Notes

The authors declare no competing financial interest.

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