The extent and drivers of gender imbalance in neuroscience reference lists

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Similarly to many scientific disciplines, neuroscience has increasingly attempted to confront pervasive gender imbalances. Although publishing and conference participation are often highlighted, recent research has called attention to the prevalence of gender imbalance in citations. Because of the downstream effects of citations on visibility and career advancement, understanding the role of gender in citation practices is vital for addressing scientific inequity. Here, we investigate whether gendered patterns are present in neuroscience citations. Using data from five top neuroscience journals, we find that reference lists tend to include more papers with men as first and last author than would be expected if gender were unrelated to referencing. Importantly, we show that this imbalance is driven largely by the citation practices of men and is increasing over time as the field diversifies. We assess and discuss possible mechanisms and consider how researchers might approach these issues in their own work.

In recent years, science has been pushed to grapple with the vast gender imbalances in academic participation. In addition to large and persistent gaps in the proportion of women across scientific fields, research has identified gender imbalances along various measures of academic inclusion and success. Such inequalities have been found in compensation, grant funding, credit for collaborative work, teaching evaluations, hiring and promotions, authorship, and citations. Importantly, although this study focuses on gender, similar biases exist in other domains, including race, socioeconomic status and university prestige.

While many aspects of gender bias have yet to be studied within neuroscience specifically, issues of gender and diversity have commanded increasing attention over the past several years. Groups such as BiasWatchNeuro (http://biaswatchneuro.com/), Women in Neuroscience (http://winrepo.org/) and Anne’s List (http://anneslist.net/) have been created to track and promote the inclusion of women in conferences and symposia. Furthermore, major neuroscience societies have publicly discussed ways to improve representation, and journals have sought to balance the composition of editors and reviewers. On the heels of these efforts, a recent study showed that authorship and public speaking have indeed become more balanced in the last decade.

Despite progress in these areas, the presence of differential engagement with scholarship could lead to prolonged inequities in other areas. Recent studies of such engagement have found not only that people from marginalized groups are undercited in fields such as communications and philosophy, but also that women-led research in particular tends to be undercited in astronomy, international relations and political science. Theoretical work has proposed a ‘Matilda effect’ in which the contributions of men are seen as more central and are, therefore, sought out more often and evaluated more highly. The presence of such an effect in scientific authorship would likely produce reputational and citational inequity. In this case, women-led work could remain under-discussed and perceived as marginal compared to men-led work.

Because of the potential downstream effects of inequitable engagement with women- and men-led work, the study of citation behavior is a critical endeavor for understanding and addressing biases in a particular field. Additionally, achieving gender equity within reference lists can be pursued by all researchers (unlike, for example, achieving gender equity within keynote-speaker roles). Thus, in this study we seek to determine the existence and potential drivers of gender imbalance in neuroscience citations. Previous work in citation gaps has often focused on citation counts of papers, finding that work by women tends to receive fewer citations than similar work by men. Yet this formulation only measures the passive consequences of gendered citation behavior, rather than directly measuring the behavior itself. Instead, building on recent studies in international relations and political science, we investigate the relationship between authors’ gender and the gender makeup of their reference lists. Using this framework, we are able to quantify properties associated with authors serving as both objects and agents of undercitation.

For this study, we examine articles published in five top neuroscience journals since 1995. Within this pool of articles, we obtain probabilistic estimates of author gender, find connections between citing and cited papers, locate and remove self-citations and study the links between the gender of authors and their role as objects and agents of undercitation. Specifically, we test the following hypotheses: (1) the overall citation rate of women-led papers (defined here as papers with women as the first and/or last author) will be lower than expected given the relevant characteristics.
of papers; (2) undercitation of women-led papers will occur to a greater extent within men-led reference lists; (3) undercitation of women-led papers will be decreasing over time but at a slower rate within men-led reference lists; and (4) differences in undercitation between men-led and women-led reference lists will be partly explained by the structure of authors’ social networks. Significance was assessed for these hypotheses using a citation graph-preserving null model, and all P values were corrected for multiple comparisons.

Results

Data description. We extracted data from the Thomson Reuters’ Web of Science (WoS) database for research articles and reviews published in five top neuroscience journals since 1995. We selected Nature Neuroscience, Neuron, Brain, Journal of Neuroscience and Neuroimage, as these journals were reported by the WoS to have the highest Eigenfactor scores in the neuroscience category. In all, 61,416 articles were included in the dataset of citing and cited papers. Details of the procedures used to obtain and disambiguate full author names can be found in the Methods.

Gender was assigned to authors’ first names using a combination of two publicly available probabilistic databases (Methods). The label ‘woman’ was assigned to authors whose name had a probability \( \geq 0.70 \) of belonging to someone identifying as a woman; likewise, the label ‘man’ was assigned to authors whose name had a probability \( \geq 0.70 \) of belonging to someone identifying as a man. In a random sample of 200 authors, the accuracy of these automated assignments was 0.96 (Supplementary Text and Supplementary Tables 1 and 2).

We performed the following analyses using the articles for which gender could be assigned with high probability to the first and last author (88%; \( n=54,225 \)), but sensitivity analyses were also conducted on the full data (Supplementary Tables 3 and 4).

Given the limitations of probabilistic analyses, the authors may in fact have a sex or gender different from the one we have assigned and/or be intersex, transgender or nonbinary. In some cases, citers will know the sex and/or gender of the authors they cite. In many cases, they will not know, but rather infer the gender of the authors they cite. Instances of both known and inferred gender have the potential to incite either explicit or implicit bias in citing authors. Our probabilistic analysis by gendered name, therefore, functions to nontrivially capture bias arising due to both known and inferred gender in citation practices.

Trends in authorship. Across the articles in the sample, the proportion of articles with a woman as first or last author significantly increased between 1995 and 2018, at a rate of approximately 0.60% each year (95% confidence interval (CI) = (0.53%, 0.67%)). This trend varied across journals, with the Journal of Neuroscience (0.67%); Neuroimage (0.89%; 95% CI = (0.72%, 1.06%)) and Brain (1.16%; 95% CI = (0.92%, 1.41%)) all showing increases of between 0.65% and 1.2% per year. Neuron showed a modest increase of 0.29% per year (95% CI = (0.12%, 0.45%)), but Nature Neuroscience did not show a clear increasing trend (0.19%; 95% CI = (−0.09%, 0.46%)). Across these five journals, the overall proportion of articles that had women as first or last author increased from 36% in 1995 to 50% in 2018 (Fig. 1).

Citation imbalance relative to overall authorship proportions. To quantify citation behavior within neuroscience articles, we specifically examined the reference lists of papers published between 2009 and 2018 (\( n=31,418 \)). Thus, while all papers in the dataset were potential cited papers, references to citing papers refer only to those published since 2009. For each citing paper, we took the subset of its citations that had been published in one of the above five journals since 1995 and determined the gender of the cited first and last authors. We removed self-citations (defined as cited papers for which either the first or last author of the citing paper matched the first or last author of the cited paper; see Methods) from consideration for all analyses presented in the main text. See Supplementary Fig. 1 and Supplementary Tables 5–8 for detailed analyses of the role of self-citations. We then calculated the number of cited papers that fell into each of the four first author and last author categories: man and man (MM), woman and man (WM), man and woman (MW) and woman and woman (WW). Single-author papers by men and women were included in the MM and WW categories, respectively.

As a simple first step, we compared the observed number of citations within each category to the number that would be expected if references were drawn randomly from the pool of papers (Fig. 2a).

To obtain the number that would be expected under this assumption of random draws, we calculated the gender proportions among all papers published previous to the citing paper, thus representing the proportion among the pool of papers that the authors could have cited, and multiplied them by the number of papers cited. The following section expands this naive measure to account for potential relationships between author gender and other relevant characteristics of cited papers.

Of the 303,886 citations given between 2009 and 2018, MM papers received 61.7%, compared to 23.6% for WM papers, 9.0% for MW papers and 5.8% for WW papers. The expected proportions based on the pool of citable papers were 55.3% for MM, 26.2% for WM, 10.2% for MW and 8.3% for WW. We defined a measure of over/undercitation as the (percentage observed − percentage expected)/percentage expected. This measure thus represents the percentage over/undercitation relative to the expected proportions (see Methods for further details and Supplementary Table 9 for a sensitivity analysis using article-level over/undercitation). By this measure, MM papers were cited 11.6% more than expected (95% CI = (11.2%, 12.0%)), WM papers were cited 10.1% less than expected (95% CI = (−10.7%, −9.5%)), MW papers were cited 12.5% less than expected (95% CI = (−13.6%, −11.4%)) and WW papers were cited 30.2% less than expected (95% CI = (−31.3%, −29.0%)).

Citation imbalance after accounting for relevant characteristics of papers. The comparison of citations to overall authorship proportions does not take into account other properties of published papers that may make them more or less likely to be cited by later scholarship. The potential relationships between author gender and other characteristics of papers make it difficult to isolate links between gender and citation rates. To address this issue, we sought to model, and then account for, any relationships between author gender and other relevant characteristics of cited papers. We selected five features of a paper as being potentially relevant for citation: (1) year of publication, (2) journal of publication, (3) number of authors, (4) research article or review and (5) first and last author seniority. We then modeled the multinomial gender category (that is, MM/WM/MW/WW) as a function of the above characteristics using a generalized additive model (GAM), yielding estimated gender probabilities for each paper. See Methods for details and Supplementary Fig. 2 for the impact of alternate specifications.

We next sought to compare the observed citation rates to those that would be expected if only these non-gender characteristics were relevant to citation. To do so, we compared the true gender category of each cited paper to its estimated probabilities of belonging to each of the four categories. In contrast to the previous section, in which gender probabilities model citation as a random draw from the existing literature, these probabilities can be viewed as the expected gender proportions across random draws from a narrow pool of papers highly similar to the cited paper (Fig. 2b).
These probabilities can also be viewed as the expected proportions if the gender of the authors of a cited paper were randomly swapped across highly similar papers. This second framing makes clear that the following results do not depend on breaking the structure of the citation graph; it is also the basis of the graph-preserving null model used to assess statistical significance (Methods, Supplementary Fig. 3 and Supplementary Table 10).

Summing up the number of cited papers from each category again gives us the observed citation rates, and summing up the estimated gender probabilities across all cited papers gives us new expected citation rates. As reported above, the observed citation rates were 61.7% (MM), 23.6% (WM), 9.0% (MW) and 5.8% (WW) across gender categories. Based on the relevant properties of cited papers, the new expected citation rates were 61.7% (MM), 23.6% (WM), 9.0% (MW) and 5.8% (WW). Thus, after accounting for salient non-gender characteristics, MM papers were still cited 5.2% more than expected (95% CI = (4.8%, 5.5%), P < 0.001), WM papers were cited 6.7% less than expected (95% CI = (−7.3%, −6.0%), P = 0.008), MW papers were cited 4.6% less than expected (95% CI = (−5.7%, −3.3%), P = 0.86) and WW papers were cited 13.9% less than expected (95% CI = (−15.2%, −12.5%), P = 0.003).

Of 303,886 total citations, these values correspond to citations being given to MM papers around 9,300 more times than expected, compared to approximately 5,100 fewer times for WM papers, 1,300 fewer times for MW papers and 2,800 fewer times for WW papers. The observed overcitation of MM papers and undercitation of WM and WW papers provide support for the hypothesis that the citation rate of women-led papers is lower than expected given relevant characteristics (hypothesis 1).

The effect of author gender on citation behavior. By focusing the present analyses on the gender makeup of reference lists, as opposed to the number of citations that articles receive, we were able to investigate the gender of the citing authors in addition to that of the cited authors. Thus, in this section we compare the gender makeup of references within papers that had men as both first and last author (referred to again as MM) to those within papers that had women as either first or last author (henceforth referred to as W∪W, comprising WM, MW and WW papers). Of the 31,418 articles published in one of the five journals between 2009 and 2018, roughly 51% were MM and 49% were W∪W.

After separating citing articles by author gender, we found that the imbalance within reference lists shown previously was driven largely by the citation practices of MM teams. Specifically, within MM reference lists (n = 146,077 citations), other MM papers were cited 8.0% more than expected (95% CI = (7.6%, 8.5%), P < 0.001), WM papers were cited 9.3% less than expected (95% CI = (−10.2%, −8.3%), P < 0.001), MW papers were cited 9.0% less than expected (95% CI = (−10.6%, −7.2%), P = 0.10) and WW papers were cited 23.4% less than expected (95% CI = (−25.5%, −21.7%), P < 0.001; Fig. 3a). Within W∪W reference lists (n = 130,953 citations), MM papers were cited only 2.5% more than expected (95% CI = (2.0%, 3.1%), P = 0.07), WM papers were cited 4.6% less than expected (95% CI = (−5.7%, −3.7%), P = 0.12), MW papers were cited 0.1% less than expected (95% CI = (−2.0%, 1.8%), P > 0.99) and WW papers were cited 4.2% less than expected (95% CI = (−6.5%, −1.9%), P > 0.99; Fig. 3a). The observed differences between MM and W∪W reference lists were all significant (P < 0.0001), providing support for the...
Importantly, this growing gap does not simply reflect the propensity of authors to cite older literature from when the field was more dominated by men, as the expected proportions account for the publication year of the articles being cited. This finding suggests that citation practices are becoming less reflective of an increasingly diverse body of researchers, thereby standing in contrast to the hypothesis that undercitation of women-led papers will be decreasing over time (hypothesis 3).

Upon splitting by gender of the citing author, we found that the degree of overcitation has been increasing faster within MM reference lists than within WUW reference lists. Specifically, the absolute difference between the observed and expected proportions of MM citations is growing at a rate of 0.54 percentage points per year (95% CI = (0.43, 0.63), P < 0.001; Fig. 4a) within MM reference lists, compared to a rate of 0.29 percentage points per year (95% CI = (0.17, 0.40), P = 0.023; Fig. 4a) within WUW reference lists. The fact that overcitation of MM papers is rising faster in MM reference lists than in WUW reference lists (P = 0.014) is related to the second aspect of hypothesis 3, although the predicted temporal trend is flipped.

Further analysis revealed that the increasing overcitation of men reflects relatively stable citation proportions for MM papers in the face of decreasing expected proportions over time (Fig. 4b). In fact, the observed proportion of MM citations has been increasing slightly within MM reference lists, at a rate of approximately 0.15 percentage points per year (95% CI = (0.03, 0.26)). This proportion has not been clearly increasing or decreasing within WUW reference lists, changing with a rate of −0.08 percentage points per year (95% CI = (−0.19, 0.04)). These findings demonstrate that, although the rate at which scholars cite men has been relatively stable, this lack of change has led the gender proportions within reference lists to be increasingly unrepresentative of the diversifying field.

The relationship between social networks and citation behavior. Recent work has shown that researchers are more likely to work...
perception and affinity biases could be potential drivers of the over-representation of men in their co-authorship network than the field's base rate (95% CI = (8.0%, 8.4%); n = 14,151 MM teams), compared to the median WW team, which had around 3.8% more men (95% CI = (3.3%, 4.5%); n = 2,668 WW teams). Networks of mixed-gender teams contained around 6% more men than the field's base rate (WM = 6.4%, 95% CI = (6.1%, 6.7%), n = 7,711 WM teams; MW = 5.7%, 95% CI = (5.2%, 6.1%), n = 3,106 MW teams; WW = 5.7%, 95% CI = (5.2%, 6.1%), n = 3,106 MW teams; Fig. 5c). Local over-representation of MM papers also differed based on author gender. In this case, MM papers were over-represented relative to the field's base rate only within the networks of MM teams (+4.2%, 95% CI = (4.1%, 4.4%)) and WM teams (+2.5%, 95% CI = (2.3%, 2.8%)). MM papers were roughly proportionally represented within the networks of MW teams (+0.7%, 95% CI = (0.2%, 1.0%)) and slightly under-represented within networks of WW teams (−0.4%, 95% CI = (−0.8%, −0.1%); Fig. 5c).

Because gendered differences in social networks followed similar patterns to gendered differences in citation behavior, we sought to determine whether the composition of authors' social networks accounts for overcitation of men. Here, we again utilized the absolute difference between the observed proportion of MM citations within a paper's reference list and the expected proportion based on the characteristics of the cited papers. Without accounting for differences in authors' social networks, we found that the median MM team over-cites MM papers by around 5.5 percentage points (95% CI = (5.1, 5.8), P < 0.001), compared to 3.0 for WM teams (95% CI = (2.6, 3.6), P < 0.001), 2.4 for MW teams (95% CI = (1.6, 2.9), P = 0.008) and −0.7 for WW teams (95% CI = (−1.7, 0.3), P > 0.099; Fig. 6a,c).

To estimate and account for the role of authors' social networks, we modeled the MM overcitation of papers as a function of author gender, man-author over-representation (MAor) and MM-paper over-representation (MMPor). Because the overcitation measure is bounded and skewed, we performed quantile regression to obtain estimates of the conditional median (see Methods for further details and Supplementary Table 11 for an alternative specification). Both

![Fig. 3 | Relationship between author gender and gendered citation practices.](image)
MMPor and MAor were independently associated with MM overcitation; a one-percentage-point increase in local over-representation of MM papers corresponded to a 0.24-percentage-point increase in median MM overcitation (95% CI = (0.21, 0.28), P < 0.001), and a one-percentage-point increase in local over-representation of man authors corresponded to a 0.09-percentage-point increase in median MM overcitation (95% CI = (0.05, 0.12), P < 0.001). These relationships were consistent after accounting for author seniority, although they appear to be slightly stronger among more senior teams (Supplementary Fig. 6). Thus, the data do support the hypothesis that a relationship exists between local co-authorship networks and citation behavior (hypothesis 4).

However, after accounting for the structure of authors’ social networks, gendered citation patterns remained. Specifically, conditional on authors’ networks being gender balanced (that is, MAor = 0 and MMPor = 0), the median MM team would still be expected to overcite MM papers by around 3.5 percentage points (95% CI = (3.1, 3.9), P < 0.001), compared to 1.9 for WM teams (95% CI = (1.4, 2.5), P = 0.023), 1.6 for MW teams (95% CI = (0.7, 2.3), P = 0.18) and −0.4 for WW teams (95% CI = (−1.0, 0.7), P > 0.99; Fig. 6b,d). These results suggest that approximately two-thirds of the observed overcitation of men by other men remains after accounting for social networks, while women-led teams tend to cite at nearly proportional rates.

Discussion
As in many scientific disciplines, the field of neuroscience currently faces many structural and social inequities, including marked gender imbalances. Although the task of addressing these imbalances often depends on people in positions of power (for example, journal editors, grant reviewers and agencies, department chairs and presidents of scientific societies), many imbalances are caused and perpetuated by researchers at all levels. One example is imbalance within citation practices. Although the usefulness of citations as a measure of scientific value is tenuous, the engagement that they represent can affect how central to a field scholars are viewed to be by their peers, impacting speaking invitations, grants, awards, tenure, promotion, inclusion in syllabi and teaching evaluations.

In this study, we sought to determine whether there is evidence of gender imbalance in neuroscience citations. We indeed find that neuroscience reference lists tend to include more papers with men as first and last author than would be expected if gender were not a factor. Importantly, this undercitation of women remains after accounting for relevant characteristics of papers and is driven largely by the citation practices of men. Specifically, papers with men as first and last author overcite other MM papers by 8% relative to the expected proportion, undercite WM papers by 9%, undercite MW papers by 9% and undercite WW papers by 23%. For papers with women in one or both primary authorship positions, these values are +2.5%, −4.5%, −0.1% and −4%, respectively. These findings are consistent with results from other fields showing that men are less likely to cite work by women.

Gender inequity is understood to result from both systemic and individual bias. Systemic bias refers to discriminatory values, practices and mechanisms that function at the intergroup level in the domain.
Upstream imbalances, such as the over-representation of men in course syllabi\(^\text{41}\) and conference speaking roles\(^\text{22}\), could also plausibly explain citation imbalance. Yet these mechanisms would likely yield an overall overcitation of men. In contrast, indifference or lack of awareness among men could lead to the propagation of upstream imbalances.

Greater awareness of existing, persisting and even increasing imbalances in citation practices is an important step in heightening the willingness of researchers to address these issues. Recent work has offered guidelines for responsible citation practices that consider gender balance\(^\text{42}\). Tools exist to probabilistically measure the proportion of women and men within course syllabi and reference lists\(^\text{41}\). Various databases can also be used to create representative reference lists. For example, BiasWatchNeuro (https://biaswatch-neuro.co/) publishes base rates across neuroscience subfields and Women in Neuroscience (http://winrepo.org/) and Anne’s List (http://anneslist.net/) contain detailed, searchable entries of women in neuroscience and their areas of expertise.

Addressing the identified imbalances will require researchers, particularly men, to make use of available resources and engage in more thoughtful citation practices. Efforts can also be made by journal editors and reviewers to inform authors of these issues and encourage transparency within manuscripts. This paper, for example, includes a citation gender diversity statement that describes the gender makeup of its reference list. Educating graduate students about citation practices will also be vital, and such discussions could potentially be included in the Responsible Conduct of Research (RCR) requirements from the National Institutes of Health (NIH) and National Science Foundation (NSF).

Beyond thoughtfulness and ad hoc efforts for achieving gender balance, the ethics of citation practices remain to be defined.
Righting social inequities may be accomplished on a number of different models. On the distributive model, social goods and resources, or, in this case, citations, ought to be allocated according to some morally proper distribution (be it full equality or equity conditional on select features\textsuperscript{43,44}). This paradigm, however, is limited in that it does not seek to address histories and structures of inequality\textsuperscript{45,46}. Diversity models, by contrast, recommend acts of reparative justice\textsuperscript{47}, which might include affirmative action\textsuperscript{48} in citational practices or institutional reform to support citation parity.

Distributive and diversity-based models raise important questions for citation ethics. Should gender balance in citation practices reflect random distributions or distributions tuned to relevant features (and, if so, which features)? Are such distributional structures sufficient to either correct for a history of under-representation or secure a future of equitable representation? Given the slow pace of social change and the increasing citation imbalance in neuroscience, is it justifiable for some researchers to overcite papers produced by women-led teams wherever possible? Might the effects of systemic bias be counteracted by the substantial employment of women in decision-making bodies, reforming checkpoints and professional activism? And, given the function of implicit bias and its capacity for correction via experience, should researchers of all genders commit to collaborating more robustly with women and other gender minorities?

Overall, the work of citation is an important element in the research ethics of any field. Insofar as citation patterns today have inescapable effects on the future of neuroscience, citational practices in the field warrant more serious attention.

Limitations and future work. This work is subject to several limitations. First, this study considers five top neuroscience journals, thereby reducing the confound of journal prestige but potentially limiting the generalizability of the results. Second, the methods used for gender determination are limited to binary man and woman gender assignments. This study design, therefore, is not well accommodated to intersex, transgender and/or nonbinary identities and incorrectly assumes that all authors can be placed into one of two categories. Ideally, future work will be able to move beyond the gender binary. Third, this study investigates biases solely along gender lines. Future work could examine biases along other lines, for example, race, class, sexuality, disability and citizenship, as well as their intersections with gender\textsuperscript{50}. This knowledge could inform the development of a broader database of under-represented scholars, inspired by the American Philosophical Association’s UP Directory (https://updirectory.gear.host/).

Online content
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Methods

Data collection. We drew data for this study from the WoS. This database indexes neuroscience journals according to the Science Citation Index Expanded, and we selected the neuroscience journals with the five highest Eigenfactor scores for this study. Eigenfactor scores give a count of incoming citations, where citations are weighted by the impact of the citing journal. Therefore, this measure roughly mimics the classic version of Google page rank and attempts to characterize the influence a journal has within its field. The journals selected were *Brain, Journal of Neuroscience, Nature Neuroscience, Neuron* and *NeuroImage*.

All articles published between 1995 and 2018 were downloaded, and articles classified as articles, review articles or proceedings papers that were labeled with a digital object identifier (DOI) were included in the analyses. The data downloaded for each paper from WoS included author names, reference lists, publication dates and DOIs, and we obtained information on each paper's referencing behavior by matching DOIs contained within a reference list to DOIs of papers included in the dataset.

Although authors' last names were included for all papers, authors' first names were only regularly included in the data for papers published after 2006. For all papers published in or before 2006, we searched for authors' first names using CrossRef API. When first names were not available on CrossRef, we searched for them on the journal webpage for the given article. To minimize the number of papers for which we only had access to authors' initials, to remove self-citations and to develop a co-authorship network, we implemented a name disambiguation algorithm.

Author name disambiguation. To minimize missing data and allow for name gender assignment and author matching across papers, we implemented an algorithm to disambiguate authors for whom different versions of their given name or initials were available across papers. We began by separating first and last names according to the method used by the given source (for example, WoS typically used 'last, first, last, first'). We then identified cases in which only initials were available following the previously described searching steps by marking authors for whom the first name entry contained only uppercase letters (as we found that many initials-only entries did not contain periods).

For each case, we collected all other entries that contained the same first or middle initials and the same last name. If only one unique first or middle name matched the initials of the given entry, or if distinct matches were all variants of the same name, we assigned that name to the initials. If multiple names in the dataset fit the initial and last name combination of the given entry, we did not assign a name to the initials. For example, if an entry listed an author as R. J. Dolan, and we found matches under Ray J. Dolan and Raymond J. Dolan, we replaced the R. J. Dolan entry with the more common completed variant. If, instead, we found matches under Ray J. Dolan and Rebecca J. Dolan, we did not assign a name to the original R. J. Dolan entry.

Next, we matched different name variants for the sake of tracking individual authors across their papers. To find and connect variants, we searched for instances of author entries with matching last names and either the same first name or first names that were listed as being commonly used nicknames according to the Secure Open Enterprise Master Patient Index. If no matches fit that description, the name was retained. If one match occurred more commonly, the less common variant was changed to the more common variant. If multiple matches did not have any conflicting initials (some having a middle initial and others not having a middle initial and who were considered conflicting), the less common initials were changed to the more common variant. If multiple matches had conflicting initials (for example, Ray Dolan being matched to both Raymond S. Dolan and Raymond J. Dolan), the target name was not changed.

There are three primary ways that incorrect author disambiguation could impact the results presented here. First, inability to link initials to an author's first name was fielded for data for papers that only included the author's initials. These papers would then not be included in the analyses as either cited or citing papers. Second, inability to link two versions of an author's name (for example, Ray Dolan and Raymond Dolan) would lead to the inclusion of some self-citations into the author's analyzed reference lists. This could lead to slightly inflated rates of author citation of the same gender, although sensitivity analyses suggest that this effect is essentially nonexistent in the present data (Supplementary Text and Supplementary Table 5). Third, incorrectly linking author A to author B would lead to the unnecessary removal of some citations (that is, any of author B's references to author A's work would be removed as self-citations). Although this is likely rare, its occurrence would lead to slightly decreased rates of authors citing other authors of the same gender.

Author gender determination. For authors with available first names, gender was assigned to first names using the 'gender' package in R (gender package was downloaded using Gender API [http://gender-api.com]). A paid service that supports roughly 800,000 unique first names across 177 countries. We assigned 'man' ("man") to each author if their name had a probability $\geq 0.70$ of belonging to someone labeled as 'man' ("man") according to a given source. In the SSA dataset, man and woman labels correspond to the sex assigned to children at birth; in the Gender API dataset, man and woman labels correspond to a combination of sex assigned to children at birth and genders detected in social media profiles. Gender could be assigned to both the first and last author of 88% of the papers in the dataset. Of those papers, 3% of first authors or last authors' name either had uncertain gender (7%) or was not available (5%).

We performed the following analyses using the articles for which gender could be assigned with high probability to both authors ($n = 54,225$), and sensitivity analyses conducted on the full data can be found in Supplementary Table 3. To determine the extent of potential gender mislabeling, we conducted a manual study on a sample of 200 authors. The relative accuracy of the automated determination procedure at the level of both individual authors (accuracy $\approx 0.96$; Supplementary Table 1) and article gender categories (accuracy $\approx 0.92$; Supplementary Table 2) is presented in the Supplementary Information. Because errors in gender determination would break the links between citation behavior and author gender, any incorrect estimation in the present data likely biases the results towards the null.

Interpretation of author gender assignments. In theory, sex often refers to physical attributes, as determined anatomically and physiologically, while gender often refers to a self-identity, as expressed behaviorally and in a sociocultural context. In our analysis, the term 'gender' does not directly refer to the sex of the author, as assigned at birth or chosen later, nor does it directly refer to the gender of the author, as socially assigned or self-chosen. The term 'gender', in our analysis, is a function of the probability of assigned gendered names. By 'woman', we mean an author whose name has a probability $\geq 0.70$ of being given to a child identified as female at birth or belonging to someone identifying as a woman on social media; likewise, by 'man', we mean an author whose name has a probability $\geq 0.70$ of being given to a child assigned male at birth or belonging to someone identifying as a man on social media. The author's actual sex or gender is not identified.

Removal of self-citations. For this study, self-citations were removed from all analyses of gendered citation behavior. Although self-citations themselves have been found to have relevant gendered properties, their removal in this study allowed us to isolate more comparable external citation behaviors of men and women in the field. We further explored the role of self-citations in this study, specifically, the impact of author self-citations on the main results (Supplementary Fig. 1), and the relative prevalence of self-citations across author genders (Supplementary Table 6).

For the primary analyses, we defined self-citations as papers for which either the cited first or last author was the first or last author on the citing paper. While this definition is somewhat restrictive, it is the only type of self-citation for which the author gender of the cited paper is necessarily determined by the author gender of the citing paper. In addition, we demonstrated that using broader definitions of self-citation had little to no impact on the results, specifically, when the entire author list of the citing or cited paper was considered in the definition of self-citations (Supplementary Tables 7 and 8).

Statistical analysis. Many analyses conducted in this study relied on comparisons between observed citation behavior and the rates at which MM, WM, MW and WW papers would be expected to appear in reference lists if gender was irrelevant. To obtain expected rates that account for various characteristics that may be associated with gender, we fit a GAM on the multinomial outcome (MM, WM, MW and WW) in which the model's features were (1) the month and year of publication, (2) the combined number of publications by the first and last authors, (3) the number of total authors on the paper, (4) the journal in which it was published and (5) whether it was a review paper. When this model is then applied to each paper, it yields a set of probabilities that the paper belongs to the MM, WM, MW and WW categories. Importantly, this model does not predict the number of citations given to individual papers. Instead, it facilitates the calculation of the rates at which different gender categories would be expected to appear in reference lists if author gender were independent of citation rates, conditional on the other characteristics in the model. The GAM was fit using the mgcv package in R, using penalized thin-plate regression splines for estimating smooth terms of publication date, author experience and team size. For the primary analyses, we defined self-citations as papers for which either the cited first or last author was the first or last author on the citing paper. While this definition is somewhat restrictive, it is the only type of self-citation for which the author gender of the cited paper is necessarily determined by the author gender of the citing paper. In addition, we demonstrated that using broader definitions of self-citation had little to no impact on the results, specifically, when the entire author list of the citing or cited paper was considered in the definition of self-citations (Supplementary Tables 7 and 8).
Similarly, to estimate the change in overcitation of MM papers separately within MM and WUW reference lists, we defined group-specific measures of yearly overcitation. For example, overcitation of MM papers within MM reference lists for a specific year would be given by:

$$\delta_{\text{MM,year}}^{\text{(MM)}} = \frac{\text{obs}_{\text{MM,year}}^{\text{(MM)}} - \text{exp}_{\text{MM,year}}^{\text{(MM)}}}{\text{obs}_{\text{MM,year}}^{\text{(MM)}}}$$

where obs$_{\text{MM,year}}^{\text{(MM)}}$ is the total number of citations within MM reference lists in a specific year, exp$_{\text{MM,year}}^{\text{(MM)}}$ is the expected number of citations given to MM papers within MM reference lists in a specific year. Hypothesis 4: differences in undercitation between men-led and women-led reference lists will be partly explained by the structure of authors’ social networks. To test this hypothesis, we developed a temporal co-authorship network in which nodes were individual authors (only authors who appeared as first or last author in at least one paper in the dataset were included), and binary edges represented the fact that two authors had appeared on at least one paper together before a given date. Of interest next was to estimate the relationship between authors’ local network composition and their citation behavior. Because citation behavior occurs at the level of a reference list within a specific paper with both a first and a last author (rather than at the level of a single node or author), we sought to define two measures of local network composition at the paper level. For the purposes of these analyses, we considered a paper to be the set \{a$_i$, a$_j$, and m\}, where a$_i$ is the first author, a$_j$ is the last author and m is the month of publication. We then defined a paper’s local neighborhood of authors, N$_{a_i}^{a_j}$, to be the authors that are connected by shared publication to either a$_i$ or a$_j$, before month m. We also define a paper’s local neighborhood of papers, N$_p^{a_i}$, to be the union of all papers authored by anyone within N$_{a_i}^{a_j}$ before month m.

The two measures of local network composition are man-author over-representation and MM-paper over-representation. We defined man-author over-representation as the difference between the proportion of men within a paper’s local author neighborhood, N$_{a_i}^{a_j}$, and that of the overall network. For paper p, this measure is thus given by:

$$\text{MA}_p^{(p)} = \pi_{a_i}^{(p)} - \pi_a$$

where $\pi_{a_i}$ is the proportion of men in the full co-authorship network, and $\pi_a^{(p)}$ is the proportion of men within paper p’s local author neighborhood. Similarly, we defined MM-paper over-representation as the difference between the proportion of MM articles within a paper’s local paper neighborhood, N$_p^{a_i}$, and that of the overall network. For paper p, this measure is given by:

$$\text{MM}_p^{(p)} = \pi_{a_i}^{(p)} - \pi_{\text{MM}}$$

where $\pi_{a_i}$ is the overall proportion of MM articles within the data, and $\pi_{\text{MM}}^{(p)}$ is the proportion of MM articles within paper p’s local paper neighborhood.

To estimate the relationship between these metrics and the degree of overcitation of men within reference lists, we defined a paper-level measure of the absolute difference between the observed and expected proportion of MM papers. Similarly to the previously described $\delta_{\text{MM,year}}^{\text{(MM)}}$ measure that quantified the overcitation of MM papers within all MM reference lists from a given year, here we defined a measure of overcitation within an individual paper p. It is given by:

$$\delta_{\text{MM}}^{(p)} = \frac{\text{obs}_{\text{MM,year}}^{(p)} - \text{exp}_{\text{MM,year}}^{(p)}}{\text{obs}_{\text{MM,year}}^{(p)}}$$

where obs$_{\text{MM,year}}^{(p)}$ is the number of MM citations within paper p’s reference list, and exp$_{\text{MM,year}}^{(p)}$ is the expected number of MM citations within paper p’s reference list based on the GAM-estimated assignment probabilities of each cited paper and obs$_{\text{MM}}$ is the total number of candidate citations within paper p’s reference list.

The relationships between $\delta_{\text{MM,year}}^{\text{(MM)}}$, $\text{MM}_p^{(p)}$, MA$_p^{(p)}$, and [MM, WM, MW, and WW] are estimated using weighted quantile regression, with the MM overcitation metric, $\delta_{\text{MM}}^{(p)}$, as the outcome. We performed quantile regression because of the bounded and skewed nature of the $\delta_{\text{MM}}^{(p)}$ measure, and the results of a sensitivity analysis using linear regression can be found in Supplementary Table 11. We defined the weights to be equal to the number of candidate citations within a given paper’s reference list; this choice gives higher weight to papers for which the outcome is more stable. Results from an unweighted model can be found in Supplementary Table 9. We also selected the r value of the quantile regression formula to be 0.5, resulting in a model fit to the median of the outcomes. Cls were again obtained by the article bootstrap method, and significance was assessed using the graph-preserving null model.
these data could provide additional information about mechanisms and potential points of intervention. Additionally, future work could incorporate longitudinal within-author analyses of co-authorship networks and citation behavior. Such analyses may provide a better understanding of how author practices change over time and are impacted by the gender of their co-authors; this knowledge could facilitate more individualized recommendations.

Citation gender diversity statement. The gender balance of papers cited within this work was quantified using a combination of automated gender-api.com estimation and manual gender determination from authors’ publicly available pronouns. Among the 60 cited works that had named authors, 28% (n = 17) were MM, 12% (n = 7) were WM, 13% (n = 8) were MW and 47% (n = 28) were WW.

Reporting Summary. Further information on research design is available in the Nature Research Reporting Summary linked to this article.

Data availability
Data and materials for this study have been deposited in an Open Science Framework repository and can be accessed at https://osf.io/h79g8/.

Code availability
Code for reproducing presented estimates and figures can be accessed at https://osf.io/h79g8/, and code that demonstrates the full sampling, processing and analysis pipeline can be accessed at https://github.com/jdwor/gendercitation.

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Competing interests
The authors declare no competing interests.

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Software and code

Policy information about availability of computer code

Data collection: Data for this study were collected from the Web of Science (webofknowledge.com).

Data analysis: R version 3.6.0 was used for the analyses presented in this study. The packages used for analysis are as follows: bibliometrix, nvest, dplyr, parallel, pimcapoly, rjsonio textclean, stringr, tidverse, rjson, mgcv, ggplot2, patchwork, boot, data.table, and quantreg.

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| Study description | This study is a quantitative and cross-sectional assessment of citation patterns in top neuroscience journals. Within the sample of articles (see "Research sample" below), we obtain probabilistic estimates of authors’ gender, find connections between citing and cited papers, locate and remove self-citations, and study the links between authors’ genders and their role as objects/agents of undercitation. |
|--------------------|---------------------------------------------------------------------------------------------------|
| Research sample    | The sample is research articles and review articles published between 1995 and 2018 within one of five neuroscience journals (Nature Neuroscience, Journal of Neuroscience, Neuron, NeuroImage, and Brain). These journals were pre-selected, as they represent the five journals with the highest Eigenvalue scores in the neuroscience category on Web of Science. Research articles and review articles were selected as they were seen by the authors as being the two primary outlets through which previous research is cited, discussed, and built upon, and their labels have consistent meanings across journals. |
| Sampling strategy  | Data for all articles meeting the above inclusion criteria were directly obtained through Web of Science. Therefore, no sampling strategy was required. |
| Data collection     | Data were collected through Web of Science queries of publicly available bibliometric information. |
| Timing              | Data were collected between February 3, 2019 and February 11, 2019. |
| Data exclusions     | 7190 of 61416 articles (12%) were excluded due to pre-determined exclusion criteria. Namely a lack of ability to confidently adjudicate author gender. Sensitivity analysis were conducted on the full sample (including missing data) and are available in the Supplementary Information. |
| Non-participation   | N/A. There were no human participants in this study. |
| Randomization       | N/A. There was no randomization of participants in this study. |

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