Efficacy and Safety of Low-Dose-Rate Endorectal Brachytherapy as a Boost to Neoadjuvant Chemoradiation in the Treatment of Locally Advanced Distal Rectal Cancer: A Phase-II Clinical Trial

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Purpose: Despite advances in rectal cancer treatment over the last decade, local control and risk of late side effects due to external beam radiation therapy (EBRT) remain as concerns. The present study aimed to investigate the efficacy and the safety of low-dose-rate endorectal brachytherapy (LDRBT) as a boost to neoadjuvant chemoradiation for use in treating locally advanced distal rectal adenocarcinomas.

Methods: This phase-II clinical trial included 34 patients (as the study arm) with newly diagnosed, locally advanced (clinical T3–T4 and/or N1/N2, M0) lower rectal cancer. For comparative analysis, 102 matched patients (as the historical control arm) with rectal cancer were also selected. All the patients were treated with LDRBT (15 Gy in 3 fractions) and concurrent chemoradiation (45–50.4 Gy). Concurrent chemotherapy consisted of oxaliplatin 130 mg/m² intravenously on day 1 plus oral capecitabine 825 mg/m² twice daily during LDRBT and EBRT.

Results: The study results revealed a significant differences between the study arm and the control arm in terms in the pathologic tumor size (2.1 cm vs. 3.6 cm, P = 0.001), the pathologic tumor stage (35% T3–4 vs. 65% T3–4, P = 0.003), and the pathologic complete response (29.4% vs. 11.7%, P < 0.028). Moreover, a significantly higher dose of EBRT (P = 0.041) was found in the control arm, and a longer time to surgery was observed in the study arm (P < 0.001). The higher rate of treatment-related toxicities, such as mild proctitis and anemia, in the study arm was tolerable and easily manageable.

Conclusion: A boost of LDRBT can optimize the pathologic complete response, with acceptable toxicities, in patients with distal rectal cancer.

Keywords: Rectal neoplasms; Adenocarcinoma; Brachytherapy; Neoadjuvant treatment; Surgery

INTRODUCTION

Colorectal cancer is one of the most prevalent leading causes of cancer deaths worldwide [1]. In Asia, the rectum accounts for a higher proportion (40%–50%) of colorectal cancer primary sites compared to the West [2, 3]. The majority of rectal cancers present at stages II–III and need standard surgeries, such as a low anterior resection (LAR) and an abdominoperineal resection (APR) [4]. Surgical resection is the treatment of choice for rectal cancer;
however, large proportions of patients are locally advanced at the time of diagnosis and require adjuvant local and systemic treatment. Neoadjuvant chemoradiation, followed by a total mesorectal excision with either a LAR or an APR, is the current standard of care for patients with stage II–III resectable rectal cancer [4, 5].

Despite advances in rectal cancer treatment over the last decade, local control and risk of late side effects due to external beam radiotherapy (EBRT) remain as concerns [6, 7]. A rectal adenocarcinoma is a radiosensitive neoplasm, and radiation dose escalation can potentially optimize local tumor control. Given the circumferential growth pattern of most rectal cancers, endocavitary brachytherapy can potentially enhance local tumor control [8]. Brachytherapy allows delivery of localized radiation that may limit toxicity and allow dose escalation. This mode of radiation therapy can deliver higher doses of radiation to the tumor and adjacent perirectal lymph nodes without any significant increase in toxicity related to normal surrounding tissues, such as the small bowel and the urinary bladder [9, 10]. Therefore, brachytherapy can be safely applied as a boost to optimize the dose delivered in neoadjuvant, adjuvant or in definitive settings [8]. There is paucity of information in the literature regarding the role of neoadjuvant endorectal brachytherapy as a boost to EBRT in treating patients with rectal cancer. Moreover, the majority of the reports that have examined the use of brachytherapy to treat patients with rectal cancer are retrospective studies [8, 11, 12]. Some studies have also investigated the definitive role of high-dose-rate (HDR) endocavitary or interstitial brachytherapy in treating lower rectal cancer, particularly early lesions [13-15]. The present study aims to investigate the efficacy and the safety of low-dose-rate endorectal brachytherapy (LDRBT) as a boost to neoadjuvant chemoradiation for treating patients with locally advanced distal rectal cancer.

METHODS

Patients and preliminary evaluation

This phase-II clinical trial included 34 patients (study arm) with distal rectal cancer. The patients’ performance statuses were scored according to the Eastern Cooperative Oncology Group (ECOG) performance scaling system [8]. Eligible patients had to have a newly diagnosed, locally advanced (clinical T3–T4 and/or N1/N2, M0) rectal adenocarcinoma, no prior therapy, ECOG performance scale score ≤1, and normal or acceptable kidney, liver, cardiovascular, and bone marrow functions. Only patients with rectal tumors located 10 cm below the anal verge were included, and those having rectal tumors at an upper rectal or rectosigmoid location were excluded. Additionally, patients with metastatic disease at presentation or previous history of pelvic irradiation were excluded. The study was approved by the Clinical Research Ethics Committee of Shiraz University of Medical Sciences in accordance with the code of ethics of the World Medical Association (Declaration of Helsinki) for experiments involving humans. In addition, written informed consents were obtained from all the patients before the trial.

Tumor staging was performed using the seventh edition of the American Joint Committee on Cancer TNM staging system [16]. Clinical staging was performed using imaging studies for all the patients before starting neoadjuvant intervention. Preliminary evaluations involved recording a comprehensive history and performing physical examinations, including careful rectal examinations, colonoscopy, complete blood cell count analyses, liver and renal function studies, measurements of the carcinoembryonic antigen level, chest, abdominal, and pelvic multidetector computed tomography scans, and pelvic magnetic resonance imaging and/or endorectal ultrasonography. For comparative analysis, 102 patients (historical control arm) with rectal cancer were selected. The control arm patients were matched according to sex, age, tumor size, tumor stage, and tumor location (distance from the anal verge), EBRT technique, and concurrent chemotherapy regimen. The pathologic complete response (PCR) rate and the treatment-related toxicity were the primary and the secondary endpoints of the study, respectively.

Brachytherapy

LDRBT was carried out in an outpatient setting by using the Socrate remote system after the machine had been loaded with a cesium-137 sources. All patients but three received LDRBT before chemoradiation. We considered this sequence for evaluating the effect of LDRBT on tumor pain and bleeding. The remaining three patients received brachytherapy once a week after completion of chemoradiation because the severity of their obstructive tumor prohibited insertion of the rectal applicator. The patients were treated in the lateral position. The patients with painful lesions were sedated by using intravenous midazolam (0.5 mg), or rarely by using general anesthesia, before insertion of the rectal. All the patients received a total dose of 15 Gy in three fractions within 10 days (two fractions per week). The dose was prescribed at 0.5 cm from the applicator’s surface. In this study, we used rectal cylinder applicators that were 2 cm in diameter with three different lengths, 7.5, 10, and 12.5 cm. With the use of treatment-planning software, the endorectal applicators were loaded to cover the tumor and 2 cm above and below the tumor edge. Additionally, all the patients received a cycle of concurrent chemotherapy using oxaliplatin at a dose of 130 mg/m² intravenously on day 1 plus capecitabine at a dose of 1,000 mg/m² twice daily for 14 days (CAPEOX regimen) during the course of brachytherapy.

External beam chemoradiation

Concurrent neoadjuvant chemoradiation consisted of conventional EBRT administered by using photons from a megavoltage linear accelerator. The energies of the used photon energy were 6 MV in three-field technique (one direct posterior field and two lateral fields) and 18 MV in the two-field technique (anteroposterior and posterior parallel opposing fields). All the patients...
in the study arm and the control arm were treated in the prone position with a full bladder to reduce small bowel toxicity. A median dose of 45 Gy (range, 45–50.4 Gy) was delivered via a daily fraction of 1.8–2 Gy, with five fractions per week. Concurrent chemotherapy consisted of oxaliplatin at a dose of 130 mg/m² intravenously on day 1 plus oral capcitabine at a dose of 825 mg/m² twice daily during the whole period of pelvic radiotherapy with weekend breaks. Two weeks after the completion of radiation, another cycle of chemotherapy (CAPEOX regimen) was administered and subsequently, all the patients were referred for surgery with a median of a 4- to 8-week interval after the last session of radiation therapy. Acute treatment-related toxicities, including radiation dermatitis, proctitis, noninfective cystitis, and bone marrow suppression, were recorded according to the National Cancer Institute (NCI) Common Terminology Criteria for Adverse Events ver. 4.0.

Surgical technique
A standard curative surgery through a total mesorectal excision was performed as a part of a LAR or an APR procedure for all the patients. A colorectal surgeon performed all the rectal surgeries. The decision to perform sphincter-preserving surgery (LAR) was based on the proximity of the tumor to the anal sphincter, the status of the preoperative sphincter function, the clinical response to neoadjuvant therapy, and the patient’s preference. Tumors with an adequate distal margin were treated with sphincter-preserving surgery through LAR and a stapled or hand-sewn coloanal anastomosis. After removal of the rectum, colonic J-pouch and coloanal anastomosis procedures were performed. A temporary diverting loop ileostomy or colostomy was performed in all the patients to protect the anastomosis. However, the patients with poor preoperative sphincter function, inadequate distal margin, or involvement of the external anal sphincter were managed by using an APR and permanent colostomy. The pathologic response was assessed after curative surgery. The PCR was defined as the disappearance of all invasive tumors, either on a macroscopic or a microscopic scale, in the rectum and the lymph nodes.

Statistics
Statistical analyses were performed using IBM SPSS Statistics ver. 19.0 (IBM Co., Armonk, NY, USA). Chi-square, Fisher exact, and Mann-Whitney tests were used for comparing the clinical and the pathological response rates and the categorical clinicopathologic characteristics of the study arm and of the historical control arm as appropriate. Additionally, the Student t-test was used for comparing continuous variables, such as age, tumor size, and radiation dose. According to previous studies, a minimum sample size (in the study arm) of 30 patients is required to ensure 80% power with a 5% confidence interval. All statistical tests were two sided and P-values less than 0.05 were considered as statistically significant.

RESULTS
This phase-II clinical trial included 34 patients (study arm) with rectal cancer. All the patients were treated with a curative intent. The study participants included 27 males and 7 females, with the median age of 55 years (range, 26–82 years). Twenty-three patients (68%) had clinical stage-II disease, and 11 (32%) had clinical stage-III disease. The median clinical tumor size was 5 cm (range, 1.5–12 cm). Additionally, the median distance from the anal verge was 4 cm (range, 0–7 cm). Table 1 presents the distributions of 34 patients in the study arm and the 102 patients in the historical control arm, as well as comparisons of their tumor clinical characteristics. Accordingly, no statistically significant differences were noted between the two arms in terms of the basic clinical characteristics.

All the patients in the study arm received 15-Gy LDRBT as a boost to neoadjuvant chemoradiation. LDRBT effectively and rapidly alleviated rectal pain and bleeding in all the symptomatic cases. On rectal examination, some tumor regression was observed in all the patients a week after the last session of brachytherapy; however, no complete clinical response was achieved. The patients subsequently received concurrent chemoradiation, and a median dose of 45 Gy (range, 45–50.5 Gy) was delivered within 5–6 weeks. After a median of 14 weeks following the start of intervention, all patients underwent a LAR (n = 18) or an APRs (n = 16). Pathologic examinations showed significant tumor regression (5.2 cm vs. 2.1 cm, P < 0.001) with 10 PCRs (29.4%) compared to...
before the intervention. However, no significant node response was observed (P = 0.232) compared to the initial clinical stage. Table 2 presents the grade of tumor regression based on Mandard’s tumor regression system as major response (TRG 1–2), minor response (TRG 3–4), or no response (TRG 5). Accordingly, the surgical margin was free of cancer in 30 patients (88%) and microscopically involved in four patients (12%), 2 involving the distal margins in LAR and 2 involving the circumferential resected margins in APR. In the control arm also, seven microscopic involvements included five involving the distal margins in LAR and two involving the circumferential resected margins in APR.

Comparisons of the treatment and the pathologic tumor characteristics between the study arm and the historical control arm are been presented in Table 3. As the table depicts, a significant difference was found in terms of pathologic tumor size (2.1 cm vs. 3.6 cm, P = 0.001), pathologic tumor stage (35% T3–4 vs. 65% T3–4, P = 0.003), and PCR (29.4% vs. 11.7%, P < 0.028) in favor of the study arm. In noncomplete responder cases, we checked the tumor grade regression or progression and found tumor grade regression in three cases (one in the study arm and two in the control arm) and tumor grade progression in nine cases (three in the study arm and six in the control arm). Additionally, the dose of EBRT (P = 0.041) was significantly higher in the control arm, but the time to surgery was longer in the study arm (P < 0.001).

Table 2. The grade of tumor regression based on the Mandard’s tumor regression system in the study and historical control arms

| Treatment arms | Major response (TRG 1–2) | Minor response (TRG 3–4) | No response (TRG 5) |
|----------------|--------------------------|--------------------------|-------------------|
| Study arm      | 14 (41%)                 | 19 (56%)                 | 1 (3%)            |
| Control arm    | 20 (19.5%)               | 73 (71.5%)               | 9 (9%)            |
| Total          | 34 (25%)                 | 92 (68%)                 | 10 (7%)           |

TRG, tumor regression grade.

Table 3. Treatment and pathologic tumor characteristics in 136 rectal cancer patients treated with neoadjuvant chemoradiation

| Variable                        | Neoadjuvant chemoradiation | P-value |
|---------------------------------|-----------------------------|---------|
|                                 | With brachytherapy | Without brachytherapy|
| Dose of external RT (Gy)        | 46.0 ± 2.1                | 47.1 ± 2.7            | 0.041 |
| Time to surgery (wk)            | 14.2 ± 0.8                | 11.3 ± 0.0            | <0.001 |
| Surgical margin status          | Free | 30 | 95 |
| Involved                        | 4 | 7 |
| Pathologic tumor size (cm)      | 2.1 ± 2.0                 | 3.6 ± 2.4             | 0.001 |
| Pathologic tumor stage          | T0–2 | 22 | 35 |
| T3–4                           | 12 | 67 |
| Pathologic node stage           | Negative node | 24 | 75 |
| Positive node                   | 10 | 27 |
| Tumor grade                     | I | 26 | 68 |
| II–III                         | 8 | 34 |
| Lymphatic-vascular invasion     | No | 23 | 66 |
| Perineural invasion             | Yes | 11 | 36 |
| Obstruction or perforation      | No | 26 | 74 |
| Pathologic complete response    | Yes | 8 | 28 |

Values are presented as mean ± standard deviation or number. LAR, low anterior resection; APR, abdominoperineal resection.
Regarding treatment-related toxicity, most patients developed grade-2 dermatitis, grade-1 proctitis, and grade-1 anemia. However, noninfective cystitis was infrequent. Other hematologic complications, such as neutropenia and thrombocytopenia, were also rare. Treatment-related toxicities were easily tolerated, and all the patients completed their planned treatment without interruption. No significant difference was observed between the two arms regarding perioperative and early postoperative surgical complications. Table 4 presents and compares the rate of treatment-related toxicities in the study and the control arms. Accordingly, proctitis, anemia, and noninfective cystitis were significantly more frequent in the study arm compared to the control arm. In addition, perioperative and early postoperative surgical complications, such as anastomotic leakage, delayed wound healing, increase in infections, fistula formation, and neuropathy, were infrequent, and the two treatment groups did not differ significantly in this respect.

**DISCUSSION**

Neoadjuvant chemoradiation with a conventional fractionation of EBRT has become a standard approach for the treatment of locally advanced rectal cancer. Despite this treatment strategy, local control, sphincter preservation, and late side effects of radiation on normal tissues have remained as therapeutic challenges in patients with rectal cancer [6, 14, 17]. In resected rectal cancer, the mesorectum and the posterior and the inferior pelvis are at the highest risk for local recurrence. The risk of inferior pelvic region involvement is greatest among patients with distal rectal cancer and those who have undergone an APR [7, 18]. Furthermore, a correlation exists between local recurrence and poor overall survival. A radiation dose escalation may potentially enhance the local control rate; however, it may increase unacceptable toxicities. Therefore, endocavitary brachytherapy has good potential for use in treating patients with distal rectal cancer, particularly those who are candidates for an APR which is accompanied by a higher risk of locoregional recurrence. Endocavitary brachytherapy can be used alone or, more commonly, combined with EBRT to treat patients with rectal cancer [8, 14, 19].

Low-voltage (50 kV) contact x-ray therapy is a type of endocavitary radiation therapy. The percentage depth doses for this machine are 100% at 0-mm depth, 44% at 5 mm, 23% at 10 mm, and 9% at 20 mm. Therefore, this photon energy is not suitable for thick or large tumors and must be used only for early (T1 and favorable 2), superficial (<5-mm thickness), small (<3 cm) rectal lesions. Definitive contact x-ray therapy can provide good local control; however, it is associated with unacceptably high rates of severe toxicity [11, 20-22]. In the Lyon 092 trial, contact radiotherapy was used as a boost following EBRT and showed an improvement in local control and sphincter preservation [14]. Currently, most radiotherapy centers use HDR brachytherapy units with iridium-192 source; these units provide better dose penetration and better dose distribution in these situations. Some authors have investigated definitive endocavitary irradiation in combination with either interstitial brachytherapy or EBRT to overcome the acute and late radiation side effects on normal tissue [12, 13]. Other investigators used neoadjuvant endorectal brachytherapy alone or in combination with EBRT in treating patients with rectal cancer.

Vuong et al. [23] evaluated the efficacy and the safety of neoadjuvant HDR brachytherapy alone in 100 patients with T2-4 (93 T3, 4 T4, and 3 T2) rectal cancer. The patients received 26-Gy HDR endorectal brachytherapy in four fractions. All the patients developed grade-2 proctitis. The 5-year local control, disease-free survival, and overall survival rates were 95%, 65%, and 70%, respectively. Thus, they concluded that neoadjuvant HDR endorectal brachytherapy provided comparable oncologic outcomes and better toxicity profiles than conventional EBRT did. In another similar study, Smith et al. [24] compared the efficacy and the safety of HDR endorectal brachytherapy versus conventional chemoradiation in the neoadjuvant setting for resectable stages-II and -III low rectal cancer. In that study, 7 patients received 26-GY (in four consecutive daily fractions) neoadjuvant endorectal brachytherapy without chemotherapy while 25 historical controls undergoing 3DRT or IMRT received 28 daily 1.8-Gy fractions in concomitant 5-fluorouracil. They found similar results in terms of complete response rates, treatment-related toxicity profiles, and short-term outcomes.

Conventional neoadjuvant chemoradiation provides a 10%–15% PCR rate and a substantial rate of tumor down-staging [25, 26]. In the present study, the PCR rate and the significant rate of tumor regression in the historical control arm (12%) were comparable to the values reported in the literature. Many reports have suggested that incorporation of brachytherapy as a boost could enhance the PCR rates and improve the R0 resection rates without increasing severe toxicity [27-30]. This treatment approach may be particularly preferred for elderly patients who are not good candidates for intensive chemoradiation [31].

In a study, Sun Myint et al. [8] investigated the impact of HDR endorectal brachytherapy boost (10 Gy in 2 fractions) following preoperative chemoradiation (45 Gy/25 fractions/5 weeks) on PCR in patients with locally advanced rectal cancer. They found a 31% PCR rate in the patients receiving brachytherapy boost compared to 12% in those having conventional chemoradiation. Additionally, the rate of R0 resections was 83% in the patients who received brachytherapy boost. They found no increase in grade-3 or -4 toxicity related to radiation, no delay in wound healing, and no increase in anastomotic leakage. These results were very similar to our findings, which indicated a 29.5% PCR and an 88% R0 resection. In another study, El-Sayed and El-Taher used HDR brachytherapy boost (8 Gy in 2 fractions) following EBRT (45 Gy/25 fractions/5 weeks) concomitant with chemotherapy in 17 patients with locally-advanced rectal cancer. They found PCR in 8 patients (47%) with an acceptable toxicity profile. Furthermore,
grade-3 radiation proctitis (6%) and grade-3 dermatitis (12%) were the most significant treatment-related toxicities [27].

In a Danish randomized phase-III trial, Jakobsen et al. [32] investigated the effectiveness of neoadjuvant chemoradiation alone (arm A) or combined with endorectal brachytherapy (arm B) in 284 patients with resectable T3 and T4 rectal tumors. EBRT (50.4 Gy in 28 fractions) in conjunction with chemotherapy was followed by endorectal brachytherapy consisting of 10 Gy (in 2 fractions) prescribed at 10 mm from the applicator surface (arm B). They found no significant difference between the two arms concerning the PCR rate (18% vs. 18%). However, the rate of major tumor regression (29% vs. 44%, P = 0.04) and the rate of R0 resection of T3 lesions (90% vs. 99%, P = 0.03) were significantly higher in the brachytherapy boost arm. Long-term follow-up of this clinical trial failed to show any benefit in the 5-year progression-free and the overall survival rates [19]. However, larger studies showed that the PCR following neoadjuvant chemoradiation was associated with a lower local recurrence rate and higher five-year disease-free and overall survival rates. In a large European multicenter study, in the patients who had PCR following standard-course neoadjuvant chemoradiation, the local recurrence rate was only 1.6%, and the five-year disease-free and overall survival rates were 85% and 90%, respectively [33]. In another study of a pooled analysis including 14 different datasets, 484 out of 3,105 patients (16%) achieved PCR. In patients with PCR, the five-year disease-free survival rate was 83% compared to 66% in those without PCR [34]. Overall, although a PCR does not necessarily translate into a better oncologic outcome, it is a potential indicator of improved locoregional control and improved disease-free, or even overall, survival rate.

To the best of our knowledge, this is the first study to investigate the efficacy and the safety of LDRBT as a boost to neoadjuvant chemoradiation for the treatment of patients with distal rectal cancer. Additionally, this study is the first to use concurrent chemotherapy with endorectal brachytherapy in these patients. In the present study, only two patients (6%) developed grade-3 toxicity such as proctitis, and no severe toxicities were observed. This may be due to better biological profile of LDRBT used in our study compared to that of HDR brachytherapy used in other studies. Therefore, our study suggests that fractionated LDRBT provides a better toxicity profile than fractionated HDR endorectal brachytherapy does.

In a previous study, Lee et al. [35] showed that 4 additional weeks of neoadjuvant chemotherapy with capecitabine was safe during the resting periods before the surgery, but it did not improve the tumor response rate compared to conventional chemoradiation. A standard period of conventional neoadjuvant therapy consists of 5–6 weeks of concurrent chemoradiation, followed by a 6- to 8-week recovery window and then by a surgical resection. Therefore, the timeframe of conventional neoadjuvant therapy for patients with rectal cancer is 9–12 weeks [36]. In the current study, addition of LDRBT to EBRT prolonged the preoperative period from 9–12 weeks to 11–14 weeks. Theoretically, during the period of neoadjuvant chemoradiation, there is a potential increase in the risk of micrometastasis. Oxaliplatin is among the most effective chemotherapeutic agents for treating patients with metastatic colorectal cancer. Therefore, we added oxaliplatin to concurrent chemotherapy (capecitabine) on the first day of LDRBT (in the study arm) and EBRT (in both arms) to reduce the chance of micrometastasis.

A goal of neoadjuvant chemoradiation in patients with rectal cancer is the increasing number of sphincter-preserving operations [25]. In the current research, no statistically significant differences were found in the rates of sphincter-sparing surgery. This may be somewhat due to the locations of the rectal tumors in the patients in the study arm being lower than those in the patients in the historical control arm. Furthermore, no statistically significant differences were observed between the treatment arms regarding the distance from the anal verge.

In conclusion, a boost of LDRBT can optimize the PCR in patients with locally advanced distal rectal cancer with acceptable toxicities. In this study, beside radiation dose escalation, longer time to surgery and more effective neoadjuvant chemotherapy might have also contributed to the improvement in the PCR rate. Nevertheless, long-term follow-up is needed to translate these results to improved local control and improved overall survival rates.

CONFLICT OF INTEREST

No potential conflict of interest relevant to this article was reported.

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