The Sustainment of Population Health Programs Requires a Systems Approach

Melanie Crane (melanie.crane@sydney.edu.au)
The University of Sydney https://orcid.org/0000-0002-3058-2211

Nicole Nathan
Hunter New England Local Health Network - HNELHN: Hunter New England Local Health District

Heather McKay
The University of British Columbia

Karen Lee
The University of Sydney Sydney Medical School: The University of Sydney School of Medicine

John Wiggers
Hunter New England Local Health Network - HNELHN: Hunter New England Local Health District

Adrian Bauman
The University of Sydney Sydney Medical School: The University of Sydney School of Medicine

Research

Keywords: Health promotion, implementation, sustainability, population health, systems thinking, intervention, non-communicable diseases

DOI: https://doi.org/10.21203/rs.rs-783403/v1

License: This work is licensed under a Creative Commons Attribution 4.0 International License. Read Full License
Abstract

Background: Achieving sustained implementation of health promotion programs (HPPs) at the population level (i.e. population health) is needed to reduce prevalence of chronic disease. However, population health is highly influenced by social, economic and political environment changes at the local, state and national level. The aim of this research was to examine barriers to the sustainment population-level HPPs and identify key enabling factors that overcome such barriers.

Methods: We conducted a qualitative study through interviews with population health experts working within Australian government and non-government agencies with experience in sustaining public health programs at the local, state or national level (n=13). We used an inductive thematic approach to analyse data.

Results: Four key barriers to the sustainment of HPPs were identified: 1) short term political and funding cycles; 2) competing public priorities within health and the broader public agenda; 3) silo thinking within health service delivery; and 4) the population fit of the program to population needs. To overcoming these barriers various approaches centred on the importance of long-term planning and resourcing, flexible program design and management, leadership and partnerships, evidence generation, and system support structures.

Conclusion: This study provides key insights towards overcoming challenges to the sustainment population health programs amidst complex system-wide changes.

Background

Reducing the population burden of chronic non-communicable diseases (NCDs) requires prolonged investment in prevention (World Health Organization 2013) as changes in health behaviour or outcomes may only be evident after sustained delivery of health promotion programs (HPPs). Sustainment in public health has been defined as ‘the sustained use or delivery of an intervention in practice following external implementation support’ (Shelton, Chambers et al. 2020, Shoesmith, Hall et al. 2021). This is understood to have occurred after full program implementation is achieved and start-up funding is withdrawn (Stirman, Kimberly et al. 2012). Sustainment also largely depends on the nature of the intervention and outcomes (Stirman, Kimberly et al. 2012, Moore, Mascarenhas et al. 2017), as many population-level changes may not be detectable until at least 3–10 years post-implementation (Walugembe, Sibbald et al. 2019).

Sustained implementation of individual HPPs is a challenge. Effective programs may evolve or be terminated, as funding, political or organisational priorities, or public support change over time (Brownson, Allen et al. 2015, Hailemariam, Bustos et al. 2019). Termination may be an appropriate policy or resourcing decision, particularly if the program is less effective delivered at scale, sometimes described as ‘voltage drop’ (Chambers, Glasgow et al. 2013, Shelton, Cooper et al. 2018). However, more often HPPs terminate as a result of funding ending, or a lack of support from policymakers, agency leaders or
community (Brownson, Allen et al. 2015). If HPPs terminate prematurely, they may fail to elicit individual health benefits (Glasgow and Chambers 2012), or positive return on investment (Walugembe, Sibbald et al. 2019).

Several frameworks and tools have been devised to identify or measure factors that facilitate or hinder sustainment of public health programs (Chambers, Glasgow et al. 2013, Stirman, Miller et al. 2013, Greenhalgh, Wherton et al. 2017, Shelton, Cooper et al. 2018, Palinkas, Chou et al. 2020, Shelton, Chambers et al. 2020). Others were designed to assist decision-makers to assess the capacity of individual programs to be sustained (Schell, Luke et al. 2013, Luke, Calhoun et al. 2014, Hailemariam, Bustos et al. 2019). These frameworks include intervention characteristics (e.g. components, adaptations, fit and cost); provider characteristics or inner context (e.g. funding, leadership support, champions and organisational capacity); processes (e.g. partnerships, communication, evaluation, planning); and the outer context (e.g. socio-political environments, polices and legislations) (Stirman, Kimberly et al. 2012, Whelan, Love et al. 2014, Greenhalgh, Wherton et al. 2017, Shelton, Cooper et al. 2018, Shoesmith, Hall et al. 2021). The most prominent factors identified in the last 20 years of health promotion are organisational and delivery process factors (Bodkin and Hakimi 2020). However these factors have been derived primarily from implementation studies of small-scale community-level settings (Scudder, Taber-Thomas et al. 2017) (Shelton, Cooper et al. 2018).

Less is known about how programs are sustained at the population-level (Stirman, Kimberly et al. 2012, Proctor, Luke et al. 2015, Shelton, Cooper et al. 2018). Population-level HPPs are programs delivered at a city, state or national level (or other broad groupings), to affect health outcomes or health determinants distributed across the population (Kindig and Stoddart 2003). Population health focuses on population determinants and ‘system variables’ that affect health; these are relevant to policymakers, and often require careful resource allocation (Kindig and Stoddart 2003, Kindig 2007). Population health interventions comprise the whole system made up of multiple levels, actors and agencies that may influence program delivery at different times and in different contexts (Bagnall, Radley et al. 2019). HPPs provided ‘at-scale’ across a population are facilitated often by an overarching systems level organisation (e.g. a state-wide HPP delivered across the population by local health services or community organisations) (Simmons and Shiffman 2007). Thus, whole system level factors come into play when effective HPP are delivered at the population level (Scheirer 2013, Palinkas, Chou et al. 2020). This is an important knowledge gap in our understanding of how to sustain HPP in practice. Population level HPPs are vulnerable to system changes when government leadership or political priorities change. Similarly, system level social changes, such as the COVID-19 pandemic, require different strategies to ensure sustainment (Scudder, Taber-Thomas et al. 2017). Our study seeks to address this knowledge gap. To do so we engage practitioners and decision makers in population and public health in Australia. Our objective is to: 1. Examine barriers to sustainment of population health HPPs; and 2. Identify key enabling factors that overcome barriers to sustainment of HPPs at the population level.

Method
Context

Health promotion in Australia involves the health system, local municipalities, state and national government and non-government organisations (NGOs), advocacy groups, professional bodies, universities training and funding agencies. Each state system has different organisational structures to promote health. Some states, like Victoria and Western Australia have established semi-independent state health promotion funding bodies (e.g. VicHealth, HealthWays) while other states have embedded health promotion into the government service delivery structure sometimes with partnerships with universities to create implementation laboratories (Wolfenden, Yoong et al. 2017) that implement and evaluate health promoting activities.

Study design, participants and recruitment

We undertook a qualitative study that was relevant to public health policy decision makers and practitioners in Australia. We used purposive sampling techniques to recruit a broad range of health promotion experts from diverse organisations and who worked at different levels within health promotion (i.e. local, state or national level). Using initial networks, we identified prominent health promotion practitioners or decision makers (stakeholders) within Australia, supplemented by other recommendations by initial participants. Eligible participants were selected based on having experience in health promotion at a population level and/or working in a management position for more than one term of government (i.e. +three years), and whose role was to oversee the delivery of HPPs. Prospective participants were sent an information statement via email to consider participation and called to confirm their interest and schedule the interview. Invitations to participate in the study continued until we reached a saturation of ideas.

Data collection

One-on-one virtual face-to-face interviews were conducted with consenting participants between June and August 2020 using Zoom software. All interviews were conducted by one experienced qualitative researcher (MC). Participants provided verbal or signed consent before the interview was conducted. Interview were audio recorded and took 52 minutes on average to complete.

We developed a semi-structured interview guide to examine the factors influencing HPP sustainment at a population level. We invited study participants to reflect on their experiences while managing, implementing or sustaining public health intervention(s) throughout their career. Participants were asked to consider population-health HPPs that were/ were not sustained as changes in government or government priorities or social, political or technological changes occurred. The interviewer took field notes during the interviews to inform the analysis and used follow-up questions to prompt the participant.

We developed interview questions using the Program Sustainability Assessment Tool (PSAT). The PSAT is a quantitative instrument developed to assess the capacity of public health programs to be sustained. The PSAT is comprised of eight domains/sub-scales of factors associated with the sustainability of public health programs (Luke, Calhoun et al. 2014). Interview questions were designed to explore these
domains; with a focus on how/whether disruption in broad scale implementation affected program sustainment. Our interview questions assessed the role of partnerships; social-political support from decision makers and stakeholders; funding for ongoing programs; strategic planning for sustaining implementation; organisational processes, learning and resilience; program adaptations to ensure programs were sustained; the role of program evaluation and research; communication strategies; as well as general reflections (Supplementary file 1). Co-authors (NN and KL) pilot tested and refined all interview questions prior to the start of the study.

**Data Analysis**

We transcribed interview recordings verbatim and checked for accuracy. We applied thematic analysis methods using a grounded theory analysis approach to the data (Clarke, Braun et al. 2015). MC reviewed transcripts and recordings and made initial observations. We used an inductive analysis line-by-line coding with descriptive words or phrases to describe data segments and then developed common constructs to derive higher-level codes (Saldaña 2015). MC reviewed initial coding and emerging themes with co-authors (NN and KL). Key themes were then discussed with all co-authors as a process to establish theoretical notes. We reflected on the themes that emerged from our experience managing HPPs or influencing health promotion practice at some level or capacity. Participants were invited to check qualitative themes and provide feedback. We used NVivo software (QSR International Pty Ltd. Version 12, 2018) to code and explore qualitative data.

**Results**

**Participant characteristics**

We identified 14 eligible stakeholders. At the recruitment stage, one eligible stakeholder did not respond when contacted. All stakeholders who did respond, agreed to participate in an interview. In total, we conducted 13 interviews with stakeholders who were government (n = 7) and non-government (n = 6) health promotion experts. They held senior leadership (n = 6) or mid-management (n = 7) roles within their organisation. Many participants had experience in both government and NGOs, and all had been in public health for at least 10 years. Four participants had a position with a research focus or adjunct academic positions. Most participants were female (n = 10), and from the state of New South Wales (n = 8).

**Key barriers to sustaining population HPPs**

We identified four key barriers to the sustainment of population-level HPPs: 1. Short-term political and funding cycles; 2. Competing public priorities; 3. Silo thinking within the health system delivery; and 4. Population fit. We summarize these findings in Table 2.

1. **Barrier – Short-term political and funding cycles**

   Political and economic environments in which HPPs were implemented was highlighted as a fundamental population health challenge. Participants explained that programs designed to change
health behaviours and reduce NCDs generally require a long-term program effort. However, this was not achieved in practice. HPPs were most often planned for short-term implementation, supported by short-term funding (usually three years maximum), and measured against short-term outcomes. Thus, programs had limited capacity to improve health in a cost-effective way. Short-term programs and funding cycles generated staffing insecurity. In turn, a short-term workforce resulted in corporate memory loss. ‘Short-termism’ was broadly discussed at the national and state level, in government and NGOs, where funding streams, grants and commitments were time-bound. One health promotion manager described that the usual reasons to justify this approach was to minimise potential risks to finances or reputation. On the flip side, they also stated that health promotion tended to be too risk averse. “Diet and obesity interventions are incredibly complex and they’re multi caused and there are different levels. So the interventions that are required are likely to be interventions that require many decades of intervention.”

#11 State NGO manager

“the short-term funding cycles can actually breed, in some respects, bad practice. So, what you’re actually developing and what you’re looking to implement is influenced by the fact that you only have three years. You might go for low-hanging fruit, you might go for solutions that you might not have gone for if you knew you had a longer period of time. You don’t learn from your mistakes. You don’t get to evolve and massage and improve your initiative” #13 National NGO senior manager

The political environment was a key contributor to program sustainment. Participants spoke of the challenges in sustaining population-level programs when government priorities changed. State government priorities dictate the direction of health services and public health. Public HPPs either adapt to fit with government priorities or risk being terminated. Termination was not necessarily viewed as a negative: half of the participants noted the need to end programs that were unproductive, or ‘refresh them’ to ‘sustain impact appropriately.’

NGO organisations could support programs being continued despite new political priorities. However, priority changes often stretched public health funds and resources. Political interest in a health issue or program was not always regarded favourably. Some participants were concerned that partisan program popularity might reduce the likelihood of retaining support for a HPP should a change in government leadership occur.

A general response was to persevere through fluctuating political or social climate cycles and accept short incremental ‘wins’. Potentially longer-term funding, such as that provided by Australia’s National Partnership Agreement on Preventive Health (NPAPH), was mentioned by most participants as a major driver of HPP implementation – and may have been perceived as a stable environment for HPPs. [The NPAPH was established in 2008 with an investment of $873 million to prevent lifestyle-related risk factors for NCDs for state HPPs. It was abolished in 2014 with a change in government (Wutzke, Morrice et al. 2018).] While there was some shock at sudden funding loss, those in senior leadership were not surprised by the transience of NPAPH.

1. Barrier – Competing public priorities
Given ‘scarce health resources’, competing for funding and resources with other priorities in health (or government ministries more broadly) was perceived as constant challenge. Within health, HPPs competed with other public health and health service priorities with immediate or short-term visible impacts on health; having longer-term outcomes often beyond the tenure of senior health service executives or political leaders.

Participants recognized that senior leadership strongly influenced the extent to which health promotion was supported or sustained. Some participants spoke about the health promotion background or experience of current or former executives. Relationships between HPP directors and senior organisational leadership was regarded as critical to promote specific HPP profiles and health prevention more broadly. Maintaining relationships and defending programs was considered both a necessary and resource-intensive activity.

“I think one of the things that can interfere is of course how much your executive are on board with health promotion and that's certainly seen us with stronger or maybe sometimes the strategies are different and I think for health promotion you really have to be constantly looking up ways to promote yourself.” #04 Local health manager

Competing priorities existed outside the health sector, as population health strategies to address health determinants often required actions outside the jurisdiction of health departments and health ministers. Influencing these meant engaging politicians with different priorities. One example was the need to work with a government office responsible for liquor licensing to reduce the health harm from alcohol use, whose responsibilities also intersect with other government priorities including the night-time economy. Participants in senior government positions noted a greater need to communicate with counterparts in other sectors to support public health programs. Mature thinking about the role of other organisations in health promotion, and caution about the capacities and interests of other agencies was also deemed important.

“we get caught up in our sense of what we’re doing – health is the most important thing and that education should be doing this and transport should be doing that et cetera. And I think it’s that question about when you’re working with other people, health’s not their core business... But they’ve got their organisational priorities and they’ve got the things that they have to deliver.” #06 State government senior manager

1. Barrier – Silo thinking within the health system

The sustainment of HPPs across the health system sometimes required ‘passing responsibility’ to another organisation or another part of an organisation, as a way of program institutionalisation. One state-level manager noted a common mindset—as soon as fiscal responsibility for an HPP was transferred to the local health services department, state responsibility ended. Programs were sustained by transferring program costs and responsibility to other sectors such that the HPP was ‘embedded in a sector’. Such thinking came at the expense of value, in terms of the economies-of-scale and efficiency.
Implications of compartmentalised program actions and activities was reflected in funding needs. Funding was either discussed as ‘critical’ or ‘mildly necessary’ to sustain HPPs. This view depended on what level within the health system the person worked. Those in state oversight positions did not perceive funding as a barrier to sustain HPPs. They looked to other mechanisms such as policies or partnerships to achieve program sustainment. Whereas those in local government health services or NGOs viewed funding as essential to sustain HHPs. This strongly influenced the way these groups related to funders including state government. Innovations were considered risks that local level government and NGOs were cautious about as they could jeopardise potential funds.

“I think for NGOs it has to come down to funding. There has to be a commitment for funding if NGOs are to deliver something.” #10 State NGO manager

“just don't do anything too flashy that can be aligned with one particular government or one particular minister, which is really hard because they want you to do that work because they want the profile. …big flashy things don't survive because when there's a change — even with the new CEO. ... the new one wants to make their own mark. So that doesn't continue, the new stuff does.” #11 State NGO manager

Failure to recognize the role and value each part of the larger system required to implement and maintain large-scale programs created tensions between levels of government, and between government and NGOs. State operations teams were regarded by local delivery managers as not understanding contextual issues that influenced implementing HPPs in local areas. This was said to result in unachievable key performance measures and program outcomes in some areas.

“we started seeing, ‘this is the program’; ‘these are the practices’. It became much more regimented around: ‘this is what the program looks like; these are the deliverables; this is the outcome we're looking for and you will be assessed ... and whether they pass or fail are based on whether they meet the practices’... I was burning out staff trying to get this model to work.” #09 Local health senior manager

“If we're the local implementers, it should all sing together, we should be a band. We should be a very good band or orchestra, whatever you want to call us, different components... But down here we're probably all the ones making the - they might be the bigger sound, we're doing all the blending...” #04 Local health senior manager

1. Barrier – Population fit

Managers were generally responsible for a suite of HPPs, and it was widely accepted by participants that no one HPP could effectively address population-level NCD prevention. Individual HPPs were viewed as a component of a wider population health commitment. Many participants considered sustaining health promotion more critical, and of higher priority, than sustaining a specific HPP - which placed single HPPs or program elements at risk for defunding.

HPPs designed for a specific purpose were difficult to adapt to changing population needs or align with new priorities. One participant explained that HPPs were generally developed with a specifically defined
format and purpose. As such, some participants considered it necessary to retire programs. Other participants were cautious about committing to long-term partnerships where they may be unable to adapt the program to the population needs. Regardless, some HPPs [Cancer Council Skin Cancer Prevention Program and the Heart Foundation's Jump Rope for Heart] were successfully delivered over decades.

‘we set them up initially to deliver against certain outcomes and often there is radical changes, particularly in how people consume information, their lifestyle factors, even the people who come into the program’ #05 State government manager

“Health promotion, it's never one single thing that it's going to make a difference. It’s all those things happening at once.” #10 State NGO manager

Delivery of HPPs at-scale directed by state or national level teams across local health services offered advantages and disadvantages for sustainment. Localised small-scale HPPs were more easily terminated, whereas HPPs delivered at-scale across local levels were thought to withstand political pressures, especially if they had a greater public profile and a central implementation structure. However, lack of local health service teams’ involvement in decisions on state-wide HPPs was considered a potential barrier for HPPs fitting local contexts. Managers at the local level asserted that their knowledge of local issues and capacity to adapt at-scale HPPs to their local population context would ensure not only implementation but also ongoing sustainment. From a resource management perspective, two senior managers discussed that while resourcing of at-state state-led programs was more stable, it was critical for staff to spend time across more than one HPP to ensure job variety, and therefore staff retention.

Because otherwise it's like that little thing of, "Well that's just a little thing over here, and yeah that’ll be sad if that goes,"... But with some of these flagship programs – I mean they can't all be big programs, they all have to start somewhere as well - but these as flagship programs ... part of the reason why it was able to survive. #06 State government senior manager

Key enablers for sustaining population HPPs

Several approaches were used to overcome barriers. We highlight these below as ‘enablers’ and contextualise them in Summary Table 2. However, most participants recognised that to fully address barriers required transformational changes in the system—including funding structures, performance measures, planning and design.

- Long term strategic planning and resourcing

Strategic planning was important particularly in the face of changeable political, economic and social climates. Participants spoke pragmatically about how they spread and allocated health promotion staff and other resources to maximise the capacity to sustain multiple HPPs. Longer-term planning meant looking for partners to share responsibilities and invest in the HPP over time. Embedding HPPs into
medium and long-term strategic documents was also considered an important strategy to sustain HPPs within organisations. Some participants mentioned using formalised partnerships with local agencies and community organisations to support HPPs. Service agreements were used to embed program delivery into local health services. Key performance indicators for HPPs were used to clearly identify program deliverables. State and local government health managers viewed NGOs as important partners despite political changes and challenges. NGOs viewed themselves as more resilient to government disruption or change; they fostered bipartisan political relationships to remain flexible and sustain their HPPs.

“in the NGO sector, where we are perhaps, we are subject to economic fluctuations and to some extent, changes of government because of government funding, but generally speaking we can make longer-term commitments because a change of government doesn't affect what we choose to prioritise.” #13 National NGO senior manager

“having a commitment for whoever it is to deliver it – it doesn’t always have to be NGOs – but it needs to be a long-term commitment. And build in that evaluation so that at the end of the four years of funding ... they [decision makers] can see what realistic impacts it has had so that they can continue on. Because they might not see a decrease in prevalence within four years. #10 State NGO manager

- System support and structure

Most participants viewed population health from a ‘systems perspective’. That is, as part of a complex health system, with many levels and where different organisations contributed to HPP delivery and sustainment. This contrasted with ‘silo thinking’ as HPPs were discussed in terms of multilevel implementation by multi-level implementers.

This perspective was enabled by ‘system structures’, such as governance structures and processes, and local community setting services. System supports included policies, strategic planning documents and service agreements and quality frameworks, sustainable funding, health promotion institutions and other mechanisms or ‘infrastructure scaffolding’. Intangible supports, such as relationships, were also recognised as mechanism sustaining HPPs across levels. These supports enabled state-wide HPPs to withstand the pressures of competing priorities and improved the fit of HPPs to local contexts. State level support to deliver an HPP across local municipal networks was thought to make it easier for local level health service providers (e.g., those operating within local health service boundaries) to allocate time and resources.

“what matters more than anything else is the systems that sit behind that intervention. So, to what extent are there robust medium-to long-term implementation plans, funded action plans, robust institutions ... institutional and governmental systems - building blocks without which, or in the absence of which, sustainability is really difficult.” #13 National NGO senior manager
So for us we implement state programs and with the funding that we get for those programs, that's us a sustainability strategy because it's funded.... the health promotion directors will also want it in writing ... it's really important for us to use as evidence when we try to protect our budgets. #04 Local Health senior manager

- Flexible design and management

Evolving population health needs could be met through program adaptability. Flexibility was considered necessary in terms of how at-scale HPPs are designed and implemented to fit local contexts. From a management perspective, one way of increasing flexibility while maintaining fidelity at-scale was, as one state program manager describes, to use a ‘tight-loose-tight’ approach (i.e. enabling ‘loose’ adaptable program activities within the confines of core ‘tight’ activities). The local implementers also saw that as essential because local populations and their needs differ. Flexibility provides opportunity for testing different implementation approaches in specific contexts, without which programs were likely to fail.

“There's a point at which they need to be refreshed, or reinvigorated, or revised in some sort of constructive way.” #05 State government senior manager

The funding goes to the health districts and they’re told what they need to deliver, that’s the ‘tight’. The ‘loose’ is ‘do it as you see fit’, but obviously with a lot of support and involvement in the office. The 'tight' is you now have to report on your performance indicators, and formally report on those” #03 State government manager

- Leadership

During a crisis (such as unexpected funding cuts) organisational and political leadership were highlighted as key factors that ensured a program survived. Where leadership support failed, public support for programs also helped to influence decisions. Gaining a public profile and public support was important but viewed as a lower priority than building influential relationships and communicating program benefits directly to senior decision makers.

“the one at the top of my list would be high level senior executive or political support. Without that, no matter how good an intervention is, it's just not going to be sustained, particularly if we're talking about government, but I think the same can apply working in not-for-profit.” #01 State NGO area manager

- Partnerships

Non-health partners were deemed necessary to sustain programs when resources were limited. However, for some partner organisations health may not be their ‘core business’ and they may have a limited understanding of health promotion. Finding key people or champions in delivery organisations was thought to be important at state and local levels. However, relying on individual champions for program sustainment was also thought to be a vulnerability. For health promotion to remain a priority, HPP actions
or activities needed to be routinized. To do this, organizations needed to clearly identify how HPPs aligned with end-user goals.

“There’s no point us inventing something that no one can pick up and is not scalable and can’t be funded. So I’ve got a target agency to make my intervention routine practice…the answer is you design it with your end user, the end provider organisation in mind.” #07 Local Health senior manager

“I think the most crucial thing is whatever we are developing in terms of an intervention needs to be part of whatever organisation is delivering it, part of their core business. It’s just an adaptation of what they do. If it's too different, then there’s no sustainability. #10 State NGO manager

- Research and evaluation evidence

Research evidence was necessary to design, implement and adapt a HPP delivered at-scale to achieve best fit for local contexts. Some participants had a research role which influenced their thinking. However, the importance of embedding research into program development and its ongoing adaptation to achieve population level health benefits was prominently discussed. Building research into practice enabled programs to be continually adapted to meet evolving needs. Evaluation and routine data collection generated tangible evidence of effective HPPs to communicate benefits to decision makers and sustain funding.

“…The key thing there is you build your health promotion systems, the organisations, the processes, etc, to integrate research into its governance and build it into its recruitment, build it into its training …in this case research is being part of health promotion.” #07 Local Health senior manager

“if I have a program evaluation that is demonstrating a program to be effective beyond reach ...it increases the likelihood for me of either at least protecting it, if not ideally actually increasing or sustaining investment into the long term. That makes a really huge difference compared to a program that isn’t evaluated.” #11 State NGO area manager
Table 2
Summary of key barriers and corresponding enablers for sustaining population HPPs

| Barrier                              | Enabler                                                                 | Summary description                                                                                                                                 |
|--------------------------------------|-------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|
| Short term political & funding cycles | Long term planning, evidence building & partnering                       | Long term planning in terms of strategic resourcing and internal funding reduces staff turnover and knowledge loss. Partnering with other organisations to help alleviate reduced resourcing burdens. Evidence of HPP impacts can help to support ongoing program investment. |
| Competing public priorities          | Organisational/political leadership, public support, collaboration & strategic implementation | Communication channels with senior management and public to promote health promotion and HPP benefits. Working with other sectors at the policy level and partnering with end user organisations to deliver programs as routine. |
| Silo thinking                        | System structure & supports                                             | Using a system’s understanding to harness the support of the whole health system through support structures such as policies, strategy planning documents, service agreements, funding, infrastructure, communication channels & relationships. |
| Program fit in the population        | Program delivery at-scale with local initiative, broad focus & agility   | Broad multi-component HPPs at-scale to withstand change and flexible to the changing contextual and local needs.                                         |

**Discussion**

Reducing the prevalence of NCDs in the population requires an approach which involves whole health systems (Rutter, Savona et al. 2017, Bagnall, Radley et al. 2019). Population health intervention plays an important role but are susceptible to social, economic and political environment changes (Kindig and Stoddart 2003). The COVID-19 pandemic is a present example of systemic interruption of HPPs, with delivery of many HPPs (e.g. physical activity, healthy eating programs) and the workforce for these programs disrupted by mandated public health guidelines (e.g., physical distancing) and reallocation of health resources (Barach, Fisher et al. 2020). This ‘perfect storm’ has laid bare unintentional consequences of not sustaining HPPs when social, political or other economic changes arise. Consequences included diminished mental and physical health across all age groups (Kumar and Nayar 2021, Piquero, Jennings et al. 2021, Simon, Helter et al. 2021). Therefore, longer term solutions that sustain HPPs are needed to buffer against the effects of unanticipated crises such as COVID, and the persistent escalating trend in NCDs.

Despite the role of population health approaches for health promotion, most research has focused on barriers and enabling factors for single organisations to deliver HPPs at small scale. Fewer studies have identified factors that hindered or facilitated sustained HPPs delivered at large-scale (Shelton, Cooper et al. 2018, Shoesmith, Hall et al. 2021). Therefore, we extend the current literature by capturing real-world experiences of participants directly involved in delivering HPPs at the population level across levels of
influence. We offer important insights into barriers and key enabling factors that created challenges and opportunities to sustaining HPPs.

Decision makers and practitioners identified four main factors perceived to influence the sustainment of HPPs at the population level. Two barriers were associated with changing socio-economic and political environments – political and funding cycles and competing public priorities. Changes in government, short budget cycles and internal political pressures are ‘new factors’ influencing sustainment (Bodkin and Hakimi 2020). But this highlights the current literature gap. Barriers to sustainment depend largely on the setting or context for implementation (Shelton, Cooper et al. 2018). To overcome short-termism and competition for finite public health resources requires a public health systems approach.

‘Systems thinking’ is about how programs work within a complex system and is characterised by feedback learning and adaptation (Meadows 2008). It provides a ‘solutions’ framework for understanding the complex behaviour of the health system, actions, policies, interventions and consequences (De Savigny and Adam 2009). A deeper understanding of systems approaches to public health is emerging as the way to address complex health issues that cannot be solved by simple programs (De Savigny and Adam 2009, Rutter, Cavill et al. 2020, Crane, Lloyd et al. 2021). For health promotion activities to influence health behaviour at the population level requires a whole-of-system approach of actors and actions working at multiple levels targeting general and sub-population groups. This is the opposite of ‘silo thinking’ which compartmentalises the actions of organisations and their short and long-term contribution to HPP sustainment. It also changes the perspective on the fourth barrier, population fit of programs, as population needs shift, as evident in the case of the current pandemic.

Enabling factors for the sustainment HPPs supported systems thinking included: flexible agile programs; supportive structures and agencies (internal leadership and committed partners); and long-term planning and resourcing. Evidence from research and evaluation also enables an information feedback cycle for how an HPP should evolve and adapt in response to changed actors, environments or contexts (Newell, Proust et al. 2007). This is where partnerships between researchers and service providers can contribute to ongoing development. Flexible and agile programs are more able to withstand a changing socio-political environment and respond to shifting population needs. Adaptation of HPPs is the essence of implementation frameworks (Escoffery, Lebow-Skelley et al. 2019, Stirman, Baumann et al. 2019, Shelton, Chambers et al. 2020). Adaptations to enhance the fit for a population or context is now considered a normal part of program sustainment (Chambers and Norton 2016, Escoffery, Lebow-Skelley et al. 2019). However, the notion of adaptation is contentious as it elicits a ‘dynamic tension’ when an HPP deviates from its original [effective] design and implementation approach to meet the needs of a new population or delivery system (Shelton and Lee 2019). Some contend that modifications are necessary (Stirman, Miller et al. 2013, Shelton, Cooper et al. 2018) and even preferred (Chambers and Norton 2016), but the question remains as to whether HPP’s ‘core factors’ need to be maintained to retain effectiveness. As HPPs that address complex health prevention issues at the population level evolve, the sustainability literature needs to also evolve to encompass systems theories, concepts and lexicon (McGill, Er et al. 2021).
Strengths and limitations

Strengths of our study include being one of few studies that explore how population health programs can be sustained at the population level. Participants represented extensive, diverse experiences and real-world insights as to factors that influenced sustaining HPPs. This scope of experience may have contributed to them contemplating factors at the systems level, whereas most of the current literature addresses how one organisation operates or achieves implementation success over shorter periods.

Limitations include our sample size was relatively small, with senior stakeholders occupied by infectious disease efforts, and participants were primarily from one state within Australia. This limits the capacity to generalize findings to other groups and jurisdictions in Australia and elsewhere. However, themes that arose reflected themes discussed with participants from other states and the literature.

Conclusion

The sustainment of HPPs at the population level is fraught with many challenges however are necessary to improve long-term population health outcomes. Factors work independently and in concert within and across numerous levels of a complex, dynamic system. The implications of embedding HPPs into the Australian health system, the need for long-term stable funding in ever-changing socio-political environments and funding cycles, and the need to embrace the role of multiple organisations, holds relevance for other countries.

Abbreviations

CEO Chief executive officer
KPI key performance indicator
HPP Health promotion programs
NGO non-government organisation
NSW New South Wales
NPAPH National Partnership Agreement on Preventive Health

Declarations

Ethics approval and consent to participate

Ethics approval was given by the University of Sydney Human Research Ethics Committee 2019/537. Participants gave written or verbal (recorded) consent before participating in the study.
Consent for publication

Consent to publish anonymous findings was given by all participants. Participant checking of the final manuscript was received prior to submission.

Availability of data and materials

The datasets generated and/or analysed during the current study are not publicly available due to ethics requirements to ensure privacy of identifying information.

Competing interests

NN and JW work within NSW local health services. Neither were involved in the data collection or primary data analysis.

Funding

This research was supported by The Australian Prevention Partnership Centre through the National Health and Medical Research Council of Australia (NHMRC) partnership centre grant scheme (Grant ID: GNT9100001). ACT Health, SA Health, Tasmania Health and VicHealth have contributed funds to support this work as part of the NHMRC Partnership Centre grant Scheme.

Authors contributions

MC designed the study with input from AB, KL and HM. MC, NN and KL designed the discussion guide. MC conducted the interviews, analysed the data and drafted the manuscript. All authors contributed to the development of qualitative themes and editing of the manuscript.

Acknowledgements

We acknowledge the valuable contributions of each of our interview participants.

Authors’ information

The contents of this paper are solely the responsibility of the individual authors and do not reflect the views of the NHMRC or funding partners.

References

1. Bagnall A-M, Radley D, Jones R, Gately P, Nobles J, Van Dijk M, Blackshaw J, Montel S, Sahota P. "Whole systems approaches to obesity and other complex public health challenges: a systematic review.". BMC Public Health. 2019;19(1):1–14.

2. Barach P, Fisher SD, Adams MJ, Burstein GR, Brophy PD, Kuo DZ, Lipshultz SE. "Disruption of healthcare: Will the COVID pandemic worsen non-COVID outcomes and disease outbreaks?". Prog
3. Bodkin A, Hakimi S. Sustainable by design: a systematic review of factors for health promotion program sustainability. BMC Public Health. 2020;20(1):1–16.

4. Brownson RC, Allen P, Jacob RR, Harris JK, Duggan K, Hipp PR, Erwin PC. "Understanding misimplementation in public health practice.". Am J Prev Med. 2015;48(5):543–51.

5. Chambers DA, Glasgow RE, Stange KC. "The dynamic sustainability framework: addressing the paradox of sustainment amid ongoing change.". Implementation Science. 2013;8(1):117.

6. Chambers DA, Norton WE. "The Adaptome: Advancing the Science of Intervention Adaptation.". Am J Prev Med. 2016;51(4):124–31.

7. Clarke V, Braun V, Hayfield N. (2015). "Thematic analysis." Qualitative psychology: A practical guide to research methods: 222–248.

8. Crane M, Lloyd S, Haines A, Ding D, Hutchinson E, Belesova K, Davies M, Osrin D, Zimmermann N, Capon A. "Transforming cities for sustainability: A health perspective.". Environment international. 2021;147:106366.

9. De Savigny D, Adam T. Systems thinking for health systems strengthening. World Health Organization; 2009.

10. Escoffery C, Lebow-Skelley E, Udelson H, Böing EA, Wood R, Fernandez ME, Mullen PD. A scoping study of frameworks for adapting public health evidence-based interventions. Translational behavioral medicine. 2019;9(1):1–10.

11. Glasgow RE, Chambers D. Developing robust, sustainable, implementation systems using rigorous, rapid and relevant science. Clinical Translational Science. 2012;5(1):48–55.

12. Greenhalgh T, Wherton J, Papoutsi C, Lynch J, Hughes G, A'Court C, Hinder S, Fahy N, Procter R, Shaw S. (2017). "Beyond adoption: A new framework for theorizing and evaluating nonadoption, abandonment, and challenges to the scale-up, spread, and sustainability of health and care technologies." Journal of Medical Internet Research 19(11).

13. Hailemariam M, Bustos T, Montgomery B, Barajas R, Evans LB, Drahota A. "Evidence-based intervention sustainability strategies: a systematic review.". Implementation Science. 2019;14(1):57.

14. Kindig D, Stoddart G. "What is population health?". American journal of public health. 2003;93(3):380–3.

15. Kindig DA. "Understanding population health terminology.". The Milbank Quarterly. 2007;85(1):139–61.

16. Kumar A, Nayar KR. COVID 19 and its mental health consequences. Taylor & Francis; 2021.

17. Luke DA, Calhoun A, Robichaux CB, Elliott MB, Moreland-Russell S. (2014). "Peer reviewed: the program sustainability assessment tool: a new instrument for public health programs." Preventing Chronic Disease 11.

18. McGill E, Er V, Penney T, Egan M, White M, Meier P, Whitehead M, Lock K, de Cuevas RA, Smith R. (2021). "Evaluation of public health interventions from a complex systems perspective: a research
methods review." Social Science & Medicine: 113697.

19. Meadows DH. Thinking in systems: A primer. Chelsea Green Publishing; 2008.

20. Moore JE, Mascarenhas A, Bain J, Straus SE. "Developing a comprehensive definition of sustainability." Implementation Science. 2017;12(1):110.

21. Newell B, Proust K, Dyball R, McManus P. Seeing obesity as a systems problem. N S W Public Health Bull. 2007;18(12):214–8.

22. Palinkas LA, Chou C-P, Spear SE, Mendon SJ, Villamar J, Brown CH. Measurement of sustainment of prevention programs and initiatives: the sustainment measurement system scale. Implementation Science. 2020;15(1):1–15.

23. Piquero AR, Jennings WG, Jemison E, Kaukinen C, Knaul FM. (2021). "Evidence from a systematic review and meta-analysis: Domestic Violence during the COVID-19 Pandemic." Journal of Criminal Justice: 101806.

24. Proctor E, Luke D, Calhoun A, McMillen C, Brownson R, McCrary S, Padek M. "Sustainability of evidence-based healthcare: research agenda, methodological advances, and infrastructure support." Implementation Science. 2015;10(1):88.

25. Rutter H, Cavill N, Bauman A, Bull F. Systems approaches to support action on physical activity. Bull World Health Organ. 2020;98(3):226.

26. Rutter H, Savona N, Glonti K, Bibby J, Cummins S, Finegood DT, Greaves F, Harper L, Hawe P, Moore L. "The need for a complex systems model of evidence for public health.". The lancet. 2017;390(10112):2602–4.

27. Saldaña J. The coding manual for qualitative researchers. Sage; 2015.

28. Scheirer MA. Linking sustainability research to intervention types. American journal of public health. 2013;103(4):e73–80.

29. Schell SF, Luke DA, Schooley MW, Elliott MB, Herbers SH, Mueller NB, Bunger AC. "Public health program capacity for sustainability: a new framework.". Implementation Science. 2013;8(1):15.

30. Scudder AT, Taber-Thomas SM, Schaffner K, Pemberton JR, Hunter L, Herschell AD. A mixed-methods study of system-level sustainment of evidence-based practices in 12 large-scale implementation initiatives. Health research policy systems. 2017;15(1):102.

31. Shelton RC, Chambers DA, Glasgow RE. An extension of RE-AIM to enhance sustainability: addressing dynamic context and promoting health equity over time. Frontiers in Public Health. 2020;8:134.

32. Shelton RC, Cooper BR, Stirman SW. The sustainability of evidence-based interventions and practices in public health and health care. Annu Rev Public Health. 2018;39:55–76.

33. Shelton RC, Lee M. (2019). Sustaining Evidence-Based Interventions and Policies: Recent Innovations and Future Directions in Implementation Science, American Public Health Association.

34. Shoesmith A, Hall A, Wolfenden L, Shelton RC, Powell BJ, Brown H, McCrabb S, Sutherland R, Yoong S, Lane C. "Barriers and facilitators influencing the sustainment of health behaviour interventions in
schools and childcare services: a systematic review.". Implementation Science. 2021;16(1):1–20.

35. Simmons R, Shiffman J. (2007). "Scaling up health service innovations: a framework for action." Scaling up health service delivery: 1–30.

36. Simon J, Helter TM, White RG, van der Boor C, Łaszewska A. Impacts of the Covid-19 lockdown and relevant vulnerabilities on capability well-being, mental health and social support: an Austrian survey study. BMC Public Health. 2021;21(1):1–12.

37. Stirman SW, Baumann AA, Miller CJ. The FRAME: an expanded framework for reporting adaptations and modifications to evidence-based interventions. Implementation Science. 2019;14(1):58.

38. Stirman SW, Kimberly J, Cook N, Calloway A, Castro F, Charns M. The sustainability of new programs and innovations: a review of the empirical literature and recommendations for future research. Implementation science. 2012;7(1):17.

39. Stirman SW, Miller CJ, Toder K, Calloway A. Development of a framework and coding system for modifications and adaptations of evidence-based interventions. Implementation Science. 2013;8(1):65.

40. Walugembe DR, Sibbald S, Le Ber MJ, Kothari A. "Sustainability of public health interventions: where are the gaps?". Health research policy systems. 2019;17(1):1–7.

41. Whelan J, Love P, Pettman T, Doyle J, Booth S, Smith E, Waters E. Cochrane update: predicting sustainability of intervention effects in public health evidence: identifying key elements to provide guidance. J Public Health. 2014;36(2):347–51.

42. Wolfenden L, Yoong SL, Williams CM, Grimshaw J, Durrheim DN, Gillham K, Wiggers J. Embedding researchers in health service organizations improves research translation and health service performance: the Australian Hunter New England Population Health example. J Clin Epidemiol. 2017;85:3–11.

43. World Health Organization. (2013). Global action plan for the prevention and control of noncommunicable diseases 2013–2020. Geneva WHO.

44. Wutzke S, Morrice E, Benton M, Milat A, Russell L, Wilson A. "Australia's national partnership agreement on preventive health: critical reflections from states and territories.". Health Promotion Journal of Australia. 2018;29(3):228–35.

**Supplementary Files**

This is a list of supplementary files associated with this preprint. Click to download.

- Supplementaryfile1.docx