Symmetry constraints for the emission angle dependence of Hanbury-Brown-Twiss radii

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We discuss symmetry constraints on the azimuthal oscillations of two-particle correlation (Hanbury Brown–Twiss interferometry) radii for non-central collisions between equal spherical nuclei. We also propose a new method for correcting in a model-independent way the emission angle dependent correlation function for finite event plane resolution and angular binning effects.

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I. INTRODUCTION

In the study of heavy ion collisions two-particle Bose-Einstein correlations are an important tool for extracting information on the space-time structure of the collision zone at freeze-out [1]. At non-zero impact parameter the reaction zone formed by the two overlapping nuclei is initially spatially deformed. When viewed along the beam direction $z$, it is longer in the direction $y$ perpendicular to the reaction plane (defined by the beam axis and the impact parameter vector pointing in $x$ direction) than in the reaction plane. The reaction plane orientation can be determined event-by-event from anisotropies in the collective flow of the emitted particles [2, 3, 4]: at lower collision energies one exploits the directed flow of the pions near projectile and target rapidity (“bounce off”) [5], while at high energies (where the directed flow becomes very weak) one uses the elliptic flow of the produced particles at midrapidity [6].

Having extracted the orientation of the reaction plane from the final distribution of the emitted particle momenta, one can then address the question of their spatial distribution relative to the reaction plane by measuring two-particle correlations as a function of the azimuthal emission angle $\Phi$ (i.e. the direction of the transverse momentum vector $K_\perp$ of the emitted particle pairs relative to the impact parameter $b$) [4, 7, 8]. Complementing the spectral information on the momentum-space structure of the source with space-time information from the correlation functions severely constrains models for the dynamical evolution of the reaction zone [9]. For non-central collisions interesting questions which can be addressed in this way are the origin and manifestation of anisotropic collective flow and its consequences for the space-time evolution of the fireball, from which information about the intensity of rescattering effects and the degree of thermalization in particular during the early stages of the collision can be extracted (see, e.g., [10]).

For quantum statistical correlations between, say, identical pions the measured two-pion correlation function $C(q, K)$ is related to the emission function (single-pion phase-space distribution at freeze-out) $S(x, K)$ by

$$C(q, K) = 1 + \left| \left\langle e^{i\mathbf{q} \cdot \mathbf{x}} \right\rangle (K) \right|^2. \tag{1}$$

Here $q = p_1 - p_2$ and $K = \frac{1}{2}(p_1 + p_2)$ are the relative and average momentum of the pion pair, respectively, $\beta = (p_1 + p_2)/(E_1 + E_2)$ is the velocity of the pair, and the average $\langle \ldots \rangle$ is taken with the emission function:

$$\langle f(x) \rangle (K) = \int \frac{d^4x}{d^3x} f(x) S(x, K). \tag{2}$$

If the space-time structure of $S(x, K)$ can be approximated by a Gaussian, the resulting correlator $C(q, K)$ is again a Gaussian in the relative momentum $q$ and can be fully characterized by six HBT radius parameters $R_{ij}^q$ which are functions of the pair momentum $K$,

$$C(q, K) = 1 + \exp \left[ - \sum_{i,j=0,s,l} q_i q_j R_{ij}^q (K) \right]. \tag{3}$$

Here $q$ is conventionally decomposed into orthogonal components along the beam direction ($l =$ longitudinal), parallel to the transverse pair momentum $K_{\perp}$ ($o =$ out) and along the remaining third direction ($s =$ side). In this (osl)-frame the pair velocity has components $\beta = (\beta_\perp, 0, \beta_s)$. The radius parameters $R_{ij}^q$ are then calculable from the spatial correlation tensor

$$S_{\mu\nu}(K) = \langle x_\mu x_\nu \rangle (K) - \langle x_\mu \rangle (K) \langle x_\nu \rangle (K) = \langle x_\mu \tilde{x}_\nu \rangle, \tag{4}$$

($\mu, \nu = 0, 1, 2, 3$), which describes, for pairs with momentum $K$, the widths in space-time of the emission function $S(x, K)$ around the point of highest emissivity [11]. The spatial correlation tensor is specified in coordinates $x_\mu$ attached to the reaction plane: $x_3 = z$ is the beam direction, $x_1 = x$ is the direction of the impact parameter $b$, and $x_2 = y$ points perpendicular to the reaction plane. For the spatial correlation tensor this choice of coordinates is natural since the reaction plane is a symmetry plane for the collision. The relations between $R_{ij}^q$ and
$S_{\mu\nu}$ are \[10\]

$$R^2_\phi = \frac{1}{2}(S_{11} + S_{22}) - \frac{1}{2}(S_{11} - S_{22}) \cos(2\Phi) - S_{12} \sin(2\Phi)$$

$$R^2_\theta = \frac{1}{2}(S_{11} + S_{22}) + \frac{1}{2}(S_{11} - S_{22}) \cos(2\Phi) + S_{12} \sin(2\Phi)$$

$$R^2_{\delta \phi} = S_{12} \cos(2\Phi) - \frac{1}{2}(S_{11} - S_{22}) \sin(2\Phi)$$

$$R^2_{\delta \theta} = \frac{1}{2}(S_{11} + S_{22}) \sin(2\Phi) + \beta_1(S_{01} \cos \Phi + S_{02} \cos \Phi),$$

$$R^2_{\delta z} = (S_{23} + \delta_1 S_{02}) \cos \Phi - (S_{13} - \delta_1 S_{01}) \sin \Phi. \quad (5)$$

The radius parameters $R^2_{ij}$ are functions of the pair rapidity $Y = \frac{1}{2} \ln[(1+\beta_\perp)/(1-\beta_\perp)]$, the magnitude $K_\perp$ of the transverse pair momentum, and its angle $\Phi$ relative to the reaction plane (the azimuthal emission angle). In Eqs. \[3\] we only indicated the explicit $\Phi$ dependence arising from the azimuthal rotation of the $(o3l)$ system relative to the reaction-plane-fixed $(xyz)$ system:

$$x_o = x \cos \Phi + y \sin \Phi, \quad x_s = -x \sin \Phi + y \cos \Phi. \quad (6)$$

In addition to this explicit $\Phi$ dependence, there is an implicit one \[11\] arising from the dependence of the emission function $S(x, K) = S(x, y, z, t; Y, K_\perp, \Phi)$ on the emission angle $\Phi$: this generates a $\Phi$ dependence of the components of the spatial correlation tensor $S_{\mu\nu}$. In this note we work out the symmetry constraints on the $\Phi$ dependence of $S_{\mu\nu}$ and study their implications on the $\Phi$ dependence of the HBT radius parameters after the explicit $\Phi$ dependence shown in Eqs. \[3\] is folded in.

## II. SYMMETRIES OF THE EMISSION FUNCTION AND SPATIAL CORRELATION TENSOR

For spherical colliding nuclei the emission function is symmetric under reflection at the reaction plane:

\[1\] : \quad S(x, y, z, t; Y, K_\perp, \Phi) = S(x, -y, z, t; Y, K_\perp, -\Phi). \quad (7)

This leads to the following symmetry relations for the spatial correlation tensor:

\[1\] : \quad S_{\mu\nu}(Y, K_\perp, \Phi) = \theta_1 S_{\mu\nu}(Y, K_\perp, -\Phi), \quad (8)

with

$$\theta_1 = (-1)^{\delta_{\mu3} + \delta_{\nu3}}. \quad (9)$$

Thus, symmetry I relates the components of $S_{\mu\nu}$ at emission angle $\Phi$ with those at angle $-\Phi$ at the same pair rapidity $Y$ and transverse momentum $K_\perp$. $S_{01}, S_{12}$ and $S_{23}$ are odd under this symmetry ($\theta_1 = -1$), all other components are even ($\theta_1 = +1$).

If the two nuclei have equal mass, the emission function is also symmetric under interchange of projectile and target. In the center of mass system centered at the collision point, this translates into a point reflection symmetry at the origin:

\[II\] : \quad S(x, y, z, t; Y, K_\perp, \Phi) = S(-x, -y, -z, t; -Y, K_\perp, \Phi + \pi). \quad (10)

For the spatial correlation tensor this implies

\[II\] : \quad S_{\mu\nu}(Y, K_\perp, \Phi) = \theta_2 S_{\mu\nu}(-Y, K_\perp, \Phi + \pi), \quad (11)

with

$$\theta_2 = (-1)^{\delta_{\mu0} + \delta_{\nu0}}. \quad (12)$$

Symmetry II relates $S_{\mu\nu}$ at emission angle $\Phi$ for forward-going pairs ($Y > 0$) with $S_{\mu\nu}$ at emission angle $\Phi + \pi$ for backward-going pairs ($Y < 0$), and vice versa. For midrapidity pairs ($Y = 0$) it relates the spatial correlation tensor at emission angles $\Phi$ and $\Phi + \pi$, providing a useful second constraint on the emission angle dependence. $S_{01}, S_{02}$ and $S_{03}$ are odd under this symmetry ($\theta_2 = -1$) while all other components of $S_{\mu\nu}$ are even ($\theta_2 = +1$).

Finally, at very high collision energies the source is expected to be approximately invariant under longitudinal boosts within an extended rapidity interval around $Y = 0$. If this is the case, the emission function $S(x, K)$, when expressed in terms of longitudinal proper time $\tau = \sqrt{t^2 - z^2}$ and space-time rapidity $\eta = \frac{1}{2} \ln[(t+z)/(t-z)]$, depends only on the difference $\eta - Y$ between the space-time and momentum-space rapidities. For equal projectile and target nuclei it then must be an even function of $\eta - Y$, i.e. invariant under a simultaneous sign change of $Y$ and $\eta$. With $z = \tau \sinh \eta$ and $t = \tau \cosh \eta$ this implies

\[III\] : \quad S(x, y, z, t; Y, K_\perp, \Phi) = S(x, y, -z, t; -Y, K_\perp, \Phi) \quad (13)

and

\[III\] : \quad S_{\mu\nu}(Y, K_\perp, \Phi) = \theta_3 S_{\mu\nu}(-Y, K_\perp, \Phi). \quad (14)

with

$$\theta_3 = (-1)^{\delta_{\mu3} + \delta_{\nu3}}. \quad (15)$$

Combining symmetries II and III allows to relate the spatial correlation tensor at angles $\Phi$ and $\Phi + \pi$ for all rapidities $Y$. For boost-invariant sources, the terms with $\theta_3 = -1$ (i.e. $S_{03}, S_{13},$ and $S_{23}$) vanish at $Y = 0$. We note that the symmetry \[13\] also applies to sources without boost-invariance if they exhibit spatial and momentum anisotropies (i.e. $\Phi$-dependence) already at zero impact parameter, such as fully central collisions (no spectators) between deformed nuclei (e.g. U+U). In this case the source is symmetric under the simultaneous reflection of coordinates and momenta at the transverse plane at $z = 0$, in agreement with Eq. \[13\] \[13\].
TABLE I: Consequences of symmetries I and II (see text) for the azimuthal Fourier expansion of the spatial correlation tensor $S_{\mu\nu}$ at midrapidity $Y = 0$. The last column lists the angles $\Phi$ in the first quadrant where $S_{\mu\nu}(Y = 0, K, \Phi)$ vanishes. The notation follows the one introduced in [10].

| $S_{\mu\nu}$ | $\theta_1$ | $\theta_2$ | Fourier expansion | Zeros |
|---------------|------------|------------|------------------|------|
| $2\theta_1$   | 1          | 1          | $A_0 + 2 \sum_{n\geq 2,even} A_n \cos(n\Phi)$ | $\Phi = 90^\circ$ |
| $q^2$         | 1          | 1          | $B_0 + 2 \sum_{n\geq 2,even} B_n \cos(n\Phi)$ | $\Phi = 0^\circ$ |
| $\langle \tilde{x}\tilde{y}\rangle$ | -1         | 1          | $2 \sum_{n\geq 2,even} C_n \sin(n\Phi)$ | $\Phi = 0^\circ, 90^\circ$ |
| $\langle \tilde{z}\rangle$ | 1          | -1         | $2 \sum_{n\geq 1,odd} E_n \cos(n\Phi)$ | $\Phi = 90^\circ$ |
| $\langle \tilde{y}\rangle$ | -1         | -1         | $2 \sum_{n\geq 1,odd} F_n \sin(n\Phi)$ | $\Phi = 0^\circ$ |
| $\langle \tilde{x}\tilde{z}\rangle$ | 1          | -1         | $2 \sum_{n\geq 1,odd} G_n \cos(n\Phi)$ | $\Phi = 90^\circ$ |
| $\langle \tilde{y}\tilde{z}\rangle$ | -1         | 1          | $2 \sum_{n\geq 1,odd} H_n \cos(n\Phi)$ | $\Phi = 0^\circ$ |
| $\langle \tilde{x}\rangle$ | 1          | 1          | $J_0 + 2 \sum_{n\geq 2,even} J_n \sin(n\Phi)$ | $\Phi = 90^\circ$ |

We will concentrate here on the consequences of the combination of symmetries I and II at $Y = 0$ and of the combination of all three symmetries at any $Y$. The former case is relevant for two-pion correlations at midrapidity in low-energy collisions between equal spherical nuclei, the latter case applies to high energy collisions, such as those studied at the heavy-ion colliders RHIC and LHC. Symmetry I alone is less restrictive and is the only useful one when significantly away from midrapidity (in particular in the projectile and target fragmentation regions).

III. AZIMUTHAL FOURIER DECOMPOSITION OF THE SPATIAL CORRELATION TENSOR

The above symmetries constrain the $\Phi$ dependence of the components of the spatial correlation tensor (and thereby the implicit $\Phi$ dependence of the HBT radius parameters in Eq. (3)). Correspondingly, certain expansion coefficients will vanish in an azimuthal Fourier expansion of $S_{\mu\nu}$. Let us write generically $S(\Phi)$ for the $\Phi$-dependence of a given component $S_{\mu\nu}$. Being a real function it has the following Fourier decomposition:

$$S(\Phi) = C_0 + 2 \sum_{n=1}^{\infty} [C_n \cos(n\Phi) + S_n \sin(n\Phi)],$$

$$C_n = \int_{-\pi}^{\pi} \frac{d\Phi}{2\pi} S(\Phi) \cos(n\Phi),$$

$$S_n = \int_{-\pi}^{\pi} \frac{d\Phi}{2\pi} S(\Phi) \sin(n\Phi).$$

Symmetry I implies

$$\theta_1 = +1 \implies S_n = 0 \text{ for all } n,$$

$$\theta_2 = -1 \implies C_n = 0 \text{ for all } n.$$  

At $Y = 0$, symmetry II eliminates even or odd terms in the Fourier series:

$$\theta_2 = +1 \implies C_n, S_n = 0 \text{ for odd } n,$$

$$\theta_2 = -1 \implies C_n, S_n = 0 \text{ for even } n.$$  

In fact, Eq. (11) implies a stronger result:

$$\theta_2 = +1 \implies C_n, S_n \text{ are odd (even) functions of } Y \text{ for odd (even) values of } n,$$

$$\theta_2 = -1 \implies C_n, S_n \text{ are odd (even) functions of } Y \text{ for even (odd) values of } n.$$  

This will be used in Section III. At $Y = 0$ Eq. (19) follows from (21).

Table II lists the Fourier expansions for the components of the spatial correlation tensor at midrapidity which result from the combination of these two symmetries. Following [10] we have used the fact that $S_{11}$ and $S_{22}$ have structurally identical Fourier expansions and combined them into $A = \frac{1}{2}(S_{11} + S_{22})$ and $B = \frac{1}{2}(S_{11} - S_{22})$, which are the combinations entering in Eqs. (3).

For boost-invariant sources, we can combine symmetries II and III (Eqs. (10) and (13)) to obtain

$$S_{\mu\nu}(Y, K, \Phi) = \theta_2 \theta_3 \sum_{n, \phi} (Y, K, \Phi + \pi).$$

For the Fourier coefficients this implies

$$\theta_2 \theta_3 = +1 \implies C_n, S_n = 0 \text{ for odd } n,$$

$$\theta_2 \theta_3 = -1 \implies C_n, S_n = 0 \text{ for even } n.$$  

In contrast to Eqs. (11) this is now true for all rapidities $Y$. The corresponding Fourier expansions are listed in Table II. Note that according to Eq. (22) the non-vanishing coefficients $G_n, H_n$ and $I_n$ in Table II are odd functions of rapidity and thus vanish at $Y = 2$. 

TABLE II: Consequences of symmetries I, II and III (see text) for the azimuthal Fourier expansion of the spatial correlation tensor $S_{\mu\nu}$ for boost-invariant sources. The last column lists the rapidities $Y$ and angles $\Phi$ in the first quadrant where $S_{\mu\nu}(Y, K, \Phi)$ vanishes.

| $S_{\mu\nu}$ | $\theta_1$ | $\theta_2$ | Fourier expansion | Zeros |
|---------------|------------|------------|------------------|------|
| $2\theta_1$   | 1          | 1          | $A_0 + 2 \sum_{n\geq 2,even} A_n \cos(n\Phi)$ | $\Phi = 90^\circ$ |
| $q^2$         | 1          | 1          | $B_0 + 2 \sum_{n\geq 2,even} B_n \cos(n\Phi)$ | $\Phi = 0^\circ$ |
| $\langle \tilde{x}\tilde{y}\rangle$ | -1         | 1          | $2 \sum_{n\geq 2,even} C_n \sin(n\Phi)$ | $\Phi = 0^\circ, 90^\circ$ |
| $\langle \tilde{z}\rangle$ | 1          | -1         | $2 \sum_{n\geq 1,odd} E_n \cos(n\Phi)$ | $\Phi = 90^\circ$ |
| $\langle \tilde{y}\rangle$ | -1         | -1         | $2 \sum_{n\geq 1,odd} F_n \sin(n\Phi)$ | $\Phi = 0^\circ$ |
| $\langle \tilde{x}\tilde{z}\rangle$ | 1          | -1         | $2 \sum_{n\geq 1,odd} G_n \cos(n\Phi)$ | $\Phi = 90^\circ$ |
| $\langle \tilde{y}\tilde{z}\rangle$ | -1         | 1          | $2 \sum_{n\geq 1,odd} H_n \cos(n\Phi)$ | $\Phi = 0^\circ$ |
| $\langle \tilde{x}\rangle$ | 1          | 1          | $J_0 + 2 \sum_{n\geq 2,even} J_n \sin(n\Phi)$ | $\Phi = 90^\circ$ |
IV. FOURIER EXPANSION OF THE RADIUS PARAMETERS

We will now combine the above implicit $\Phi$ dependence of the spatial correlation tensor with the explicit $\Phi$ dependence shown in Eqs. (3). When studying the combination of symmetries I and II for sources which are not invariant under longitudinal boosts, we must restrict our attention to midrapidity pairs with $\beta_l = 0$. For simplicity, we also set $\beta_l = 0$ in the boost-invariant case; this means that we are studying the correlation radii in the longitudinally comoving system (LCMS) [1]. General expressions for $\beta_l \neq 0$ are easily obtained by boosting from the LCMS to a fixed longitudinal reference frame and can be found in Refs. [2, 3]. For boost-invariant sources $R_{sl}^2 = 0$ independent of rapidity [1, 2, 3]; without boost invariance this is generally not even true at midrapidity (see Eqs. (3) and Table I). As shown in Ref. [2], a non-zero value for $R_{sl}^2$ arises naturally if the longitudinal major axis of the source ellipsoid is tilted away from the beam direction; longitudinal boost invariance forbids such a tilt.

Using the symbols introduced in Tables I and I and setting $\beta_l = 0$, Eqs. (3) simplify to

$$
\begin{align*}
R_s &= A - B \cos(2\Phi) - C \sin(2\Phi), \\
R_o &= A + B \cos(2\Phi) + C \sin(2\Phi) \\
&\quad -2\beta_1(E \cos \Phi + F \sin \Phi) + \beta_L^2 D, \\
R_{os} &= C \cos(2\Phi) - B \sin(2\Phi) + \beta_1(E \sin \Phi - F \cos \Phi), \\
R_l^2 &= J, \\
R_{ol}^2 &= H \cos \Phi + I \sin \Phi - \beta_L G, \\
R_{sl}^2 &= I \cos \Phi - H \sin \Phi.
\end{align*}
$$

Here $A, B, \ldots, J$ are functions of $\Phi$ whose Fourier expansions are given in Tables II and III. For a boost-invariant source $G(\Phi), H(\Phi)$ and $I(\Phi)$ (i.e. $R_s^2$ and $R_o^2$) vanish at $\beta_l = Y = 0$. A comparison of Tables II and III shows that at $Y = 0$ all other $S_{\mu\nu}$ components entering Eqs. (23) have exactly the same Fourier expansion with and without longitudinal boost invariance. We may therefore investigate Eqs. (23) on the basis of the expansions listed in Table II and recover the boost-invariant case later by simply setting $R_{ol} = R_{sl} = 0$.

In Ref. [11] we studied the limit of vanishing implicit $\Phi$ dependence (i.e. $S_{\mu\nu}$ does not depend on $\Phi$). Table II shows that in this limit only the diagonal elements and $S_{13}$ (i.e. $A, B, D, H$ and $J$) are non-zero. As discussed in [11], this limit requires only that space-momentum correlations (e.g. due to collective flow effects) are weak. A more general situation was analyzed in [10] where terms up to $n = 2$ were kept in the Fourier expansion of the HBT radii, but in that work the $\Phi$ dependences of the emission duration and time-space correlations were neglected relative to those of the spatial components $S_{ij}$. We here remove both of these approximations.

Inserting the expansions in Table II into (23) and using

$$
\begin{align*}
\cos n\Phi \cos m\Phi &= \frac{1}{2} \left[ \cos(n-m)\Phi + \cos(n+m)\Phi \right], \\
\sin n\Phi \sin m\Phi &= \frac{1}{2} \left[ \cos(n-m)\Phi - \cos(n+m)\Phi \right], \\
\cos n\Phi \sin m\Phi &= \frac{1}{2} \left[ \sin(n+m)\Phi - \sin(n-m)\Phi \right].
\end{align*}
$$

we see that at midrapidity the HBT radius parameters $R_{ol}^2(Y=0, K, \Phi)$ have the following Fourier expansions:

$$
\begin{align*}
R_{s,0}^2 &= R_{s,0}^2 + 2 \sum_{n=2,4,6,\ldots} R_{s,n}^2 \cos(n\Phi), \\
R_{o,0}^2 &= R_{o,0}^2 + 2 \sum_{n=2,4,6,\ldots} R_{o,n}^2 \cos(n\Phi), \\
R_{os,0}^2 &= 2 \sum_{n=2,4,6,\ldots} R_{os,n}^2 \sin(n\Phi), \\
R_l^2 &= R_l^2, \\
R_{ol}^2 &= 2 \sum_{n=1,3,5,\ldots} R_{ol,n}^2 \cos(n\Phi), \\
R_{sl}^2 &= 2 \sum_{n=1,3,5,\ldots} R_{sl,n}^2 \sin(n\Phi).
\end{align*}
$$

Due to the symmetries of the emission function, the HBT radius parameters are sums of either cosine or sine terms, involving either even or odd multiples of the emission angle $\Phi$, but no mixtures of different such terms. As a consequence, $R_{os,0}^2$ vanishes at both $\Phi = 0^\circ$ and $90^\circ$ (i.e. its leading contribution features a second order harmonic oscillation as a function of the emission angle). $R_{ol}^2$ and $R_{sl}^2$ in general exhibit leading first order harmonic oscillations [1] with zeroes at $90^\circ$ and $0^\circ$, respectively. For a boost invariant source they vanish identically.

The Fourier coefficients $R_{n,0}$ are functions of $K$. We now list them up to order $n = 2$. The $\Phi$-independent terms are given by

$$
\begin{align*}
R_{s,0}^2 &= A_0 - B_2 - C_2, \\
R_{o,0}^2 &= A_0 + B_2 + C_2 - 2\beta_1(E_1 + F_1) + \beta_L^2 D_0, \\
R_l^2 &= J_0.
\end{align*}
$$

The coefficients of the first order harmonics are

$$
\begin{align*}
R_{s,1}^2 &= \frac{1}{2}(H_0 + H_2 + I_2) - \beta_L G_1, \\
R_{o,1}^2 &= \frac{1}{2}(-H_0 + H_2 + I_2).
\end{align*}
$$

For a boost-invariant source these vanish. The term $\sim H_0$ describes the tilt of the emission region relative to the beam axis which was discussed in [1]. The second order harmonic oscillations have amplitudes

$$
\begin{align*}
R_{s,2}^2 &= A_2 - \frac{1}{2}(B_0 + B_4 + C_4), \\
R_{o,2}^2 &= A_2 + \frac{1}{2}(B_0 + B_4 + C_4) - \beta_1(E_1 + E_3 - F_1 + F_3) + \beta_L^2 D_2, \\
R_{os,2}^2 &= \frac{1}{2}(-B_0 + B_4 + C_4) + \frac{\beta_1}{2}(E_1 - E_3 - F_1 - F_3), \\
R_{l,2}^2 &= J_2.
\end{align*}
$$

If the emission duration $D = \langle \langle D^2 \rangle \rangle$ is independent of emission angle $(D_{2,4,6,\ldots} \approx 0)$ and all higher order harmonics
\[ n \geq 3 \text{ of the spatial correlation tensor are small, these amplitudes fulfill the approximate “sum rule”} \]

\[ R_{x,2}^2 - R_{s,2}^2 + 2R_{os,2}^2 = 2(B_4 + C_4) - 2\beta_1(E_3 + F_3) + \beta_1^2 D_2. \approx 0, \quad (29) \]

Note that the leading first order harmonics of \( \langle \hat{x}\hat{y} \rangle \) and \( \langle \hat{t}\hat{y} \rangle \), which describe how the transverse positions are correlated with time at freeze-out, cancel in this “sum rule”. If the data satisfy this “sum rule” for all values of \( K_\perp \) resp. \( \beta_1 \), one may conclude (barring unlikely accidental cancellations among the terms) that \( D_2, E_3, F_3, B_4 \) and \( C_4 \) all vanish. In this case the azimuthal oscillation amplitudes of the transverse HBT radii reduce to

\[
\begin{align*}
R_{x,2}^2 &= A_2 - \frac{1}{2}B_0, \\
R_{o,2}^2 &= A_2 + \frac{1}{2}B_0 - \beta_1(E_1 - F_1), \\
R_{os,2}^2 &= -\frac{1}{2}B_0 + \frac{1}{2}\beta_1(E_1 - F_1). \quad (30)
\end{align*}
\]

The term \( \sim (E_1 - F_1) \) is the leading (first harmonic) contribution to the correlation \( \langle \hat{x}\hat{y} \rangle \) or \( \langle \hat{t}\hat{y} \rangle \) between emission points and times. In a hydrodynamic model this term reflects the geometric manifestation of elliptic flow, namely that the freeze-out radius increases with time more rapidly in \( x \) than in \( y \) direction. Since it comes with an explicit factor of \( \beta_1 \), one may be able to isolate it using the \( K_\perp \)-dependence of the azimuthal oscillation amplitudes \( (30) \) at small \( K_\perp \).

Finally, in the absence of dynamical space-momentum correlations, all implicit \( \Phi \) dependences (i.e. all higher harmonics in Table II) are expected to vanish, leading to the “geometric relations” \( (10) \)

\[
\begin{align*}
R_{x,0}^2 &= A_0, \\
R_{o,0}^2 - R_{s,0}^2 &= \beta_1^2 D_0, \\
R_{s,0}^2 &= J_0, \\
R_{sl,1}^2 &= -R_{sl,1}^2 = \frac{1}{2}H_0, \\
R_{os,2}^2 &= -R_{s,2}^2 = -R_{os,2}^2 = \frac{1}{2}B_0. \quad (31)
\end{align*}
\]

In this case all five non-vanishing components of the spatial correlation tensor can be separated \( [11] \).

V. CONSIDERATIONS FOR A FINITE SYMMETRIC WINDOW AROUND \( Y = 0 \)

The results quoted so far were derived at midrapidity \( Y = 0 \) since, at least in the absence of longitudinal boost invariance, symmetry II can only there be used to constrain the azimuthal Fourier expansion of \( S_{\mu\nu} \), by eliminating either even or odd terms in the sums over \( n \) (see Eq. \( [15] \)). In practice, statistical limitations render strict cuts on the pair rapidity \( Y \) quite painful. It is therefore important to assess the necessary modifications if the data are collected in a finite size rapidity interval around \( Y = 0 \). We now prove the important result that, as long as the HBT radii are obtained from averaging over a symmetric rapidity interval around \( Y = 0 \), the general form \( (25) \) of their Fourier expansions remains unchanged. On the other hand, equations \( (26) - (28) \) receive additional contributions which, at leading order in the width \( \Delta Y \) of the rapidity interval, grow quadratically as \( (\Delta Y)^2 \); this can be used to eliminate them by varying \( \Delta Y \) and extrapolating to \( \Delta Y = 0 \).

As noted in Eq. \( (20) \), the point reflection symmetry \( (14), (15) \) allows to classify the Fourier expansion coefficients of the spatial correlation tensor \( S_{\mu\nu} \) as either even or odd functions of rapidity \( Y \). The odd terms vanish at \( Y = 0 \), but do not do so any longer at \( Y \neq 0 \). However, when calculating the HBT radii from \( S_{\mu\nu} \) according to Eqs. \( (1) \) and averaging them over a finite, but symmetric rapidity interval around \( Y = 0 \), terms which are odd in \( Y \) average to zero. Therefore, there are no new contributions to \( R_x^2, R_s^2 \) and \( R_{os}^2 \) in this case. \( R_x^2, R_{sl}^2 \) and \( R_{os}^2 \), on the other hand, contain at \( Y \neq 0 \) additional terms beyond those listed in Eqs. \( (23) \) which are multiplied by either one or two powers of \( \beta_1 \). When multiplying an odd Fourier coefficient by \( \beta_1 \), the result is even in \( \beta_1 \) (respectively \( Y \)) and does not average to zero across the rapidity interval \( \Delta Y \). In fact, at leading order in \( \Delta Y \), its average is \( \sim \beta_1^2 \) which grows quadratically with \( \Delta Y \).

Let us now look at how these extra terms modify the Fourier expansions given in the last three lines of Eq. \( (25) \). We begin with \( R_x^2 = S_{33} - 2\beta_1 S_{03} + \beta_1^2 S_{00} \) and average it over the symmetric interval \( \Delta Y \). Table II tells us that the Fourier coefficients of \( S_{33} \) with odd values of \( n \) are odd functions of \( Y \) and thus average to zero. For \( S_{03} \), the coefficients with odd \( n \) are even functions of \( Y \), but since \( S_{03} \) is multiplied by \( \beta_1 \), these odd \( n \) terms again average to zero. The same is true for the last term where the factor \( \beta_1^2 \) preserves the \( Y \)-reflection symmetries of the expansion coefficients. Altogether, the rapidity-averaged longitudinal radius \( \langle R_x^2 \rangle \) continues to have only even \( n \) terms in its Fourier expansion, just as Eq. \( (25) \) states for \( Y = 0 \). In the same fashion one also shows that the rapidity-averaged radius parameters \( \langle R_y^2 \rangle \) and \( \langle R_{sl}^2 \rangle \) continue to have the same Fourier expansions as in \( (25) \). In other words, averaging the HBT radii over a finite, symmetric rapidity interval around \( Y = 0 \) preserves the general structure \( (23) \) of their azimuthal Fourier expansions.

When expressing the Fourier components of the rapidity-averaged HBT radii in terms of the harmonic coefficients of \( S_{\mu\nu} \), new terms arise, and Eqs. \( (26) - (28) \) are modified. We only list those equations whose structure changes:

\[
\begin{align*}
\langle R_x^2 \rangle &= \langle J_0 \rangle - 2\langle \beta_1 G_0 \rangle + \langle \beta_1^2 D_0 \rangle, \\
\langle R_y^2 \rangle &= \langle J_2 \rangle - 2\langle \beta_1 G_2 \rangle + \langle \beta_1^2 D_2 \rangle, \\
\langle R_{sl}^2 \rangle &= \frac{1}{2}(H_0 + H_2 + I_2 - \beta_1(E_0 + E_2 + F_2)) - \beta_1\langle G_1 - \beta_1 D_1 \rangle, \\
\langle R_{os}^2 \rangle &= \frac{1}{2}(-H_0 + H_2 + I_2 - \beta_1(-E_0 + E_2 + F_2)). \quad (32)
\end{align*}
\]

Here the angular brackets denote the average over the symmetric rapidity interval \( \Delta Y \). All terms involving one
or two explicit factors \( \delta_1 \) vanish quadratically as \( \Delta Y \to 0 \) in which limit Eqs. (26) \( \equiv (28) \) are recovered.

VI. WHAT IF THE SIGN OF THE IMPACT PARAMETER CANNOT BE DETERMINED?

If the orientation of the reaction plane is reconstructed from an even Fourier component of the single distribution (e.g. from the elliptic flow coefficient \( v_2 \) as is the case at RHIC), the direction of the impact parameter vector \( b \) has a sign ambiguity, i.e. after aligning events according to their reaction plane the event sample contains equal contributions from collisions with impact parameters \( b \) and \( -b \). This ambiguity does not exist if the event plane is reconstructed from the directed flow coefficient \( v_1 \) (as one does at the AGS and SPS) whose sign has a one-to-one correlation with the direction of \( b \) within the reaction plane.

If events with impact parameters \( b \) and \( -b \) are equally mixed, the effective source function is symmetric under the exchange \( b \to -b \) which is equivalent to an azimuthal rotation by 180°:

\[ I_\text{IIa} : \quad S(x, y, z, t; Y, K_\perp, \Phi) = S(-x, -y, z, t; Y, K_\perp, \Phi + \pi). \quad (33) \]

For the spatial correlation tensor this implies

\[ I_\text{IIa} : \quad S_{\mu\nu}(Y, K_\perp, \Phi) = \theta_{2a} S_{\mu\nu}(Y, K_\perp, \Phi + \pi), \quad (34) \]

with

\[ \theta_2 = (-1)^{\delta_{11} + \delta_{31} + \delta_{22} + \delta_{c2}}. \quad (35) \]

One easily checks that the sign \( \theta_{2a} \) is, in fact, equal to the product \( \theta_1 \theta_2 \) of the signs under symmetries I and III, as tabulated in Table I. Correspondingly, the general form of the Fourier expansions of \( S_{\mu\nu} \) and of the HBT radii are exactly the same as those listed in and resulting from Table I for a longitudinally boost-invariant source. We see in particular that a sign ambiguity for the direction of the impact parameter automatically leads to vanishing cross terms \( R_{\text{ol}}^2 \) and \( R_{\text{dl}}^2 \).

VII. CORRECTIONS FOR BINNING AND FINITE EVENT PLANE RESOLUTION

Experimentally, the two-pion correlation function is obtained as the ratio of correlated pairs, \( N(q, K) \), and uncorrelated (mixed event) pairs, \( D(q, K) \). In an azimuthally-sensitive analysis, one constructs these distributions for a given selection on emission angle \( \Phi \). However, finite binning in \( \Phi \) and uncertainty in the experimental estimation of the reaction plane tend to dampen the azimuthal dependencies of the observed (“raw”) distributions \( \sigma_{\text{exp}}(q, K) \) and \( \sigma_{\text{exp}}(q, K) \). In this Section we present a model-independent procedure to correct for these effects.

Since the reaction plane is reconstructed event-by-event from the anisotropies of the single particle momentum distribution \( \sigma_{\text{IIa}}(K_\perp, Y) \), its orientation is only known with a finite statistical accuracy controlled by the number of particles used in the reconstruction process. Correspondingly, in a statistical average over the event sample the true reaction plane angle \( \psi_R \) is distributed around the reconstructed one \( \psi_m \) by a probability distribution (see Eq. (9) in [39])

\[
p(\psi_m - \psi_R) \equiv \frac{dP}{d(m(\psi_m - \psi_R))} = \int \frac{v_m^\prime dv_m^\prime}{2\pi\sigma^2} \exp\left[\frac{-v_m^2 + v_m^\prime 2 - 2v_m v_m^\prime \cos(m(\psi_m - \psi_R))}{2\sigma^2}\right]. \quad (36)
\]

with width \( \sigma^2 = \langle w^2 \rangle/(2M\langle w \rangle)^2 \) (where \( M \) is the number of particles per event and \( w \) is an arbitrary weight function (e.g. \( w = 1 \) or \( w = p_\perp \) used in the analysis). \( m \) denotes the order of the Fourier component of the single-particle spectrum used to extract the reaction plane, and \( v_m \) is the corresponding Fourier coefficient; the cases \( m = 1 \) (directed flow) and \( m = 2 \) (elliptic flow) are relevant in practice. Correspondingly, a measurement of the two-particle distributions \( N(q, K) \) and \( D(q, K) \) at fixed emission angle \( \Phi = \Phi - \psi_m \) relative to the reconstructed event plane corresponds to an average of the real two-particle distributions over a range of emission angles \( \Phi - \psi_R \) relative to the true reaction plane, where the average is taken with the distribution (36). The averaging reduces the azimuthal dependence of the correlation function (and of the HBT radii extracted from it) and must be corrected for, before comparing to models.

An additional smearing which goes in the same direction arises from the binning of the data in \( \Phi \). By summing the data over all emission angles \( \phi \) within an interval of width \( \Delta \) centered at \( \Phi \), one effectively performs an additional smearing of \( N(q, K) \) and \( D(q, K) \) over the azimuthal emission angle with the distribution

\[
f_{\Delta}(\phi - \Phi) = \frac{1}{\Delta} \theta (\phi - \Phi + \frac{1}{2}\Delta) \theta (\frac{1}{2}\Delta - \phi + \Phi). \quad (37)
\]

The two effects can be combined by folding the distributions \( p \) and \( f_{\Delta} \) and averaging the true correlated and mixed pair distributions with

\[
H_{\Delta}(\phi) = \int_{-\pi}^{\pi} d\psi \ p(\psi) \ f_{\Delta}(\phi - \psi) = \frac{1}{\Delta} \int_{-\Delta/2}^{\Delta/2} d\theta \ p(\phi - \theta). \quad (38)
\]

The above azimuthal averaging affects the numerator \( N \) and denominator \( D \) separately. Suppressing the dependence on \( K_\perp \) and \( Y \) for clarity, the measured angular dependence of the correlated pairs relative to the reconstructed reaction plane \( \psi_m, N_{\text{exp}}(q, \Phi - \psi_m) \), is related to their true angular dependence relative to the real reac-
tion plane $\psi_R$, $N(q, \Phi-\psi_R)$, by

$$N_{\text{exp}}(q, \Phi-\psi_m) = \int_{-\pi}^{\pi} d\phi N_\Delta(q, \phi-\psi_R) \times p((\Phi-\psi_m) - (\phi-\psi_R)), \quad (39)$$

where

$$N_\Delta(q, \phi-\psi_R) = \int_{\phi-\psi_R-\Delta/2}^{\phi-\psi_R+\Delta/2} N(q, \theta) d\theta \quad (40)$$

denotes the effect of summing the data in angular bins of width $\Delta$. An analogous pair of equations holds for the measured and true uncorrelated pairs in the denominator, $D_{\text{exp}}(q, \Phi-\psi_m)$ and $D(q, \phi-\psi_R)$.

The task at hand is to extract the true angular dependence on $\Phi-\psi_R$ from the measured dependence on $\Phi_j-\psi_m$ where $j$ labels the angular bins centered at angles $\Phi_j$ relative to the reconstructed reaction plane. To this end we Fourier decompose the measured quantities $N$ and $D$ for each value of $q$. For example

$$N_{\text{exp}}(q, \Phi-\psi_m) = N_0^{\text{exp}}(q) + 2 \sum_{n=1}^{n_{\text{bin}}} [N_{c,n}^{\text{exp}}(q) \cos(n(\Phi-\psi_m)) + N_{s,n}^{\text{exp}}(q) \sin(n(\Phi-\psi_m))],$$

$$N_{c,n}^{\text{exp}}(q) \equiv \langle N_{\text{exp}}(q, \Phi) \cos(n\Phi) \rangle = \frac{1}{n_{\text{bin}}} \sum_{j=1}^{n_{\text{bin}}} N_{\text{exp}}(q, \Phi_j) \cos(n\Phi_j),$$

$$N_{s,n}^{\text{exp}}(q) \equiv \langle N_{\text{exp}}(q, \Phi) \sin(n\Phi) \rangle = \frac{1}{n_{\text{bin}}} \sum_{j=1}^{n_{\text{bin}}} N_{\text{exp}}(q, \Phi_j) \sin(n\Phi_j), \quad (41)$$

where $n_{\text{bin}}$ denotes the number of angular bins (for finite $n_{\text{bin}}$ only Fourier components with $n \leq n_{\text{bin}}$ are meaningful). We further imagine doing the same for the corresponding true and binned quantities corrected for event-plane resolution:

$$N(q, \Phi-\psi_R) = N_0(q) + 2 \sum_{n=1}^{n_{\text{bin}}} [N_{c,n}(q) \cos(n(\Phi-\psi_R)) + N_{s,n}(q) \sin(n(\Phi-\psi_R))],$$

$$N_\Delta(q, \theta) = N_0^\Delta(q) + 2 \sum_{n=1}^{n_{\text{bin}}} [N_{c,n}^\Delta(q) \cos(n\theta) + N_{s,n}^\Delta(q) \sin(n\theta)]. \quad (42)$$

Analogous expressions hold for the mixed pairs in the denominator $D$. Inserting the Fourier expansions (42) into Eqs. (39) and (41), using that the distributions $p$ and $f_\Delta$ are even functions of their arguments, and comparing the result with Eq. (41) one easily finds for all $n$ and both series of coefficients ($\alpha = c$ or $s$)

$$N_{n,\alpha}^\Delta(q) = N_{n,\alpha}(q) \frac{\sin(n\Delta/2)}{n\Delta/2},$$

$$N_{n,\alpha}^{\text{exp}}(q) = N_{n,\alpha}^\Delta(q) \langle \cos(n(\psi_m-\psi_R)) \rangle_p. \quad (43)$$

The factors $\langle \cos(n(\psi_m-\psi_R)) \rangle_p$, arising from an average over the event plane distribution $\Phi_j$ and relative momentum $q$ can now be easily corrected for the effects of angular binning and finite event plane resolution (setting again $\psi_m = 0$):

$$N(q, \Phi_j) = N_{\text{exp}}(q, \Phi_j) + 2 \sum_{n=1}^{n_{\text{bin}}} \zeta_{n,m}(\Delta) [N_{c,n}^{\text{exp}}(q, \Phi_j) + N_{s,n}^{\text{exp}}(q, \Phi_j) \sin(n\Phi_j)], \quad (44)$$

with correction parameters $\zeta_{n,m}(\Delta)$ given by the simple expression

$$\zeta_{n,m}(\Delta) = \frac{n\Delta/2}{\sin(n\Delta/2)\langle \cos(n(\psi_m-\psi_R)) \rangle_p} - 1. \quad (45)$$
A similar equation holds for the uncorrelated pairs in the
denominator $D$. Since the right hand side of Eq. (44)
involves only experimentally known quantities, the cor-
rection algorithm is model independent. The sums over
$n$ go over all allowed values; if $m$ is even (i.e. the sign of
the impact parameter is not known), both $N$ and $D$ are
symmetric under azimuthal rotations by $180^\circ$ and only
even values of $n$ are summed over. Contrary to the HBT
radius parameters or to single-particle flow measures [4],
$N$ and $D$ have no unique symmetry under $\Phi \rightarrow -\Phi$; thus
in general both sine and cosine terms contribute to the
sum in Eq. (44).

After applying the algorithm (44) to the data, the ra-
tio $C(q, K) = N(q, K)/D(q, K)$ gives the corrected two-
particle correlation function from which all angular bin-
ing and event plane resolution effects have been removed.
The true emission angle dependence of the HBT radius
parameters can thus be directly extracted from a Gaus-
sian fit with Eq. (3) to this function $C(q, K)$.

VIII. SUMMARY

Equations (25) give the most general Fourier expan-
sions for the HBT radius parameters at midrapidity
which are consistent with the symmetries of the source
in non-central collisions between equal mass spherical nu-
clei. For full-overlap central collisions between deformed nuclei (e.g. U+U) and for longitudinally boost-invariant
sources they also apply at $Y \neq 0$. The structure of these
expansions is preserved if the data are averaged over a
symmetric finite rapidity interval around $Y = 0$. They
provide a basis for fitting the azimuthal emission an-
gle dependence of experimentally determined correlation
functions. A model-independent correction of the mea-
sured two-pion correlation function for event plane reso-
lution and angular binning effects is possible and given in
Section VII. Equations (26)–(28), (31) and (32) relate
the oscillation amplitudes extracted from the thus cor-
rected correlation function to the leading harmonic coef-
-efficients of the spatial correlation tensor and allow to con-
strain models for the emission function using azimuthally
sensitive HBT data. Under favorable conditions spatial and
temporal aspects of the emission function can be sepa-
rated.

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