Comparative study of FeCr$_2$S$_4$ and FeSc$_2$S$_4$: Spinels with orbitally active A site

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Using first-principles density functional calculations, we perform a comparative study of two Fe-based spinel compounds, FeCr$_2$S$_4$ and FeSc$_2$S$_4$. Though both systems contain an orbitally active A site with an Fe$^{3+}$ ion, their properties are rather dissimilar. Our study unravels the microscopic origin of their behavior driven by the differences in hybridization of Fe $d$ states with Cr/Sc $d$ states and S $p$ states in the two cases. This leads to important differences in the nature of the magnetic exchanges as well as the nearest versus next nearest neighbor exchange parameter ratios, resulting into significant frustration effects in FeSc$_2$S$_4$ which are absent in FeCr$_2$S$_4$.

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Spinels compounds have attracted a lot of attention in the last years due to the intricate interplay of spin, charge and orbital degrees of freedom together with intrinsic frustration effects driven by their peculiar geometry. A large amount of work has been done on normal spinels of general formula AB$_2$X$_4$ with tetrahedral AX$_4$ and octahedral BX$_6$ units, and with orbitally active B sites like ZnV$_2$O$_4$, MnV$_2$O$_4$, CdV$_2$O$_4$, Cu$_2$S$_2$O$_4$ or MgTi$_2$O$_4$. Examples of compounds with orbitally active A sites also exist, as is the case of FeCr$_2$S$_4$ (FCS) and FeSc$_2$S$_4$ (FSS). The Fe$^{3+}$ ion in these cases is in a 3$d^5$ configuration, with a local S=2 moment and a two-fold orbital degeneracy associated with one hole in a doubly degenerate $e$ state of the tetrahedrally crystal split $d$ levels. In FCS, the B cation is magnetic (Cr$^{3+}$ has a spin S=3/2) while for FSS, the B cation is non-magnetic (Sc$^{3+}$ has a filled shell configuration). FCS orders magnetically in a ferrimagnetic spin arrangement between Fe and Cr moments with a transition temperature of 167K, while FSS does not order magnetically down to a measured temperature of 50 mK. FCS shows long range orbital order in polycrystalline samples while a glassy freezing has been observed in single crystals. FSS, in contrast, has been reported as an orbital liquid.

Crystal Structure - Both FCS and FSS crystallize in the cubic Fd3m structure. The lattice parameters of FCS and FSS are reported to be 9.99Å and 10.50Å respectively, showing a 5% expansion in FSS due to the presence of larger Sc$^{3+}$ ions (size ~ 0.75 Å) compared to Cr$^{3+}$ ions (size ~ 0.62 Å). The internal parameter associated with S shows deviations from its ideal value of $\frac{1}{2}$, with 0.259 for FCS and 0.255 for FSS. This leads to a trigonal distortion in the BS$_6$ octahedra measured in terms of the deviation of the S-B-S bond-angle from the ideal 90° angle: 4.35° (FCS) and 2.5° (FSS). The tetrahedra remain undistorted in both compounds.

Electronic Structure - Fig.1 shows non spin polarized density of states (DOS) calculated in the LAPW basis with the generalized gradient approximation (GGA). In order to check the influence on the electronic properties of the crystal structure differences between FCS and FSS, we have also performed calculations for FSS assuming the crystal structure of FCS. The top panel of Fig. 1 shows the DOS of FSS obtained considering the actual crystal structure in comparison with the DOS obtained assuming the crystal structure of FCS. The bottom panel shows the comparison of DOS between FSS and FCS both in their actual crystal structure. We notice that while the change of crystal structure has some effect (a) in terms of narrowing the Fe $d$ dominated states at the Fermi level ($E_F$) in the actual FSS lattice compared to the results with the hypothetical lattice and (b) in the positioning of the empty Sc levels spanning the energy window of about 1 eV to 5 eV (Fig. 1 top panel), the major changes happen upon replacing Sc by Cr (Fig. 1 bottom panel). The bandwidth of the Fe-$d$ dominated states

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crossing $E_f$ is substantially increased and also there is a significant change in the unoccupied region of the spectrum. The difference between the electronic structure of FCS and FSS becomes more evident in the spin polarized band structure shown in Fig. 2. Although FSS doesn’t spin order, such calculations are useful in understanding the relative positions of Fe and the cation B (Cr or Sc) energy levels taking into account the spin degrees of freedom. Fe and Cr/Sc $d$ states are crystal split, in $e$ and $t_2$ and $t_{2g}$ and $e_g$ respectively, as well as spin split. In the down spin channel, the Fe $d$ dominated states are completely occupied while in the up spin channel Fe $e$ states are partially empty in agreement with the Fe$^{2+}$ nominal valence. For Sc, the $d$ states are empty in both spin channels with little shift in the energy scale between the two spin channels, proving the essentially non-magnetic character of Sc$^{2+}$. The Cr $d$ states are empty in the down spin channel and partially occupied in the up spin channel with $t_{2g}$ up spin states occupied and $e_g$ up spin states empty with a spin splitting of about 2 eV. This is in agreement with a ferrimagnetic spin ordering between Fe and Cr. The difference between FCS and FSS arises from the relative energy positions of Cr and Sc with respect to that of Fe. While the Sc $d$ levels all appear above the Fe $d$ states with little mixing between them, there exists a rather strong mixing between Fe $d$ and Cr $d$ states in the up spin channel. It is this Fe-Cr mixing that causes the substantial increase in the width of the Fe $d$ dominated states crossing $E_f$ in Fig. 1. The energy levels of non spin-split Fe and Cr $d$ states are found to be within an energy window 0.5 eV, causing near degeneracy between the levels, while the Fe and Sc levels are found to be energetically separated by about 2 eV or more.

**Effective Fe-Fe interaction** - In order to extract the effective Fe-Fe interactions we performed NMTO downfolding calculations. Starting from a full DFT calculation, the method constructs the low energy Hamiltonian defined in an effective Wannier function basis by integrating out degrees of freedom that are not of interest (downfolding). In our downfolding calculations, we have kept active Fe $d$ states and have downfolded all the other states involving Cr/Sc and S. Fig. 3 shows the Fe $d_{xy}$ Wannier function for FCS and right panel shows the same for FSS. The dark lines (black in color) represent the bands corresponding to up spin channel and light line (grey in color) represent the bands corresponding to down spin channel.

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The exchange interaction may be derived from the hopping integrals through the use of a superexchange like formula. This however needs the knowledge of the appropriate charge transfer energy, which is difficult to estimate because of complicated hopping paths. We therefore preferred to compute the effective magnetic exchange interactions between Fe ions in terms of total energy calculations of different spin arrangements of Fe and mapping the total energies to an Ising like model defined in terms of Fe spins. For this purpose, spin-polarized calculations were carried out with a plane wave basis as implemented in VASP and with the choice of the GGA exchange-correlation functional. While admittedly such calculations are faced with several difficulties like the choice of spin configurations in supercells, particularly since it involves small energies, it is expected to provide us with relative strength of various exchange interactions as well as some order of magnitude estimates. For FSS, our calculations gave $J_1 = -0.01$ meV (1NN) and $J_2 = -0.37$ meV (2NN) with $J_2/J_1 = 37$; the 2NN interaction dominates the NN interaction, as already inferred from the hopping parameters. This is in agreement with the findings of neutron scattering measurements. For FCS we obtained $J_1 = 6$ meV and $J_2 = 2.5$ meV both being of ferromagnetic nature, in agreement with the observed

is therefore the direct Fe-B hybridization that becomes important, with anions playing little role while for the 2NN interaction, the anion mediated (Fe-S-Fe) exchange becomes important. The fact that the 1NN interaction is strong in FCS and the 2NN interaction is strong in FSS is supported by the plot of the Wannier functions for two 1NN Fe sites (top left panel of Fig. 4) and two 2NN Fe sites (bottom right panel of Fig. 4). For FCS, we find a clear overlap of Cr-like tails between two Wannier functions, while for FSS the S-like tails point to each other.

![Color online] The 1NN and 2NN interaction path between Fe atoms. Small dark and light balls represent B (Sc/Cr) and S atoms respectively. Big dark and light balls represent Fe atoms belonging to two FCC sublattices constituting the diamond lattice. The dashed line in dark and light, represent the 1NN and 2NN paths respectively. The inset in the upper-left (lower-right) corner shows the overlap of the Wannier functions of Fe $d_{xy}$ placed at two Fe atoms in FSS (FCS) separated by 2NN (1NN) distance.
ferromagnetic spin ordering within the Fe sublattice. The NN interaction dominates over the 2NN neighbor interaction in this case, with $J_2/J_1 = 0.4$ in sharp contrast with that of FSS.

**TABLE II**: Magnetic moments of Fe and B(Cr/Sc) ions in $\mu_B$ and anisotropy energy in meV/Fe.

|       | Fe | B(Cr/Sc) | Anisotropy |
|-------|----|----------|------------|
|       | Orbital | Spin | Orbital | Spin | energy | meV/Fe |
| FCS   | -0.13 | -3.27   | -0.03     | 2.69  | 10     |
| FSS   | -0.14 | -3.44   | 0.0       | 0.05  | 6      |

**Spin-Orbit Coupling** - Due to the presence of unquenched orbital degrees of freedom on the Fe sites, the importance of the spin-orbit (SO) coupling in these compounds has been discussed$^{13}$ in the past. An important quantity in this context is the relative strength of the SO coupling parameter, $\lambda$, with respect to the dominant spin exchange. In Table II we show the magnetic moments at the Fe and B (Cr/Sc) site obtained from a GGA+U+SO calculation in LAPW basis carried out for FCS and FSS by considering a $J = 1$ eV (Hund’s coupling) and $U = 2.5$ eV at the Fe site due to the Coulomb renormalization of the spin-orbit splitting, as found previously$^{22}$. A rather large moment of $0.13 - 0.14 \mu_B$ pointing along the same direction as the spin moment has been obtained at the Fe site for both FCS and FSS. Such values are surprisingly large given the fact that the orbitally active levels of Fe are $e$ levels. This has been rationalized in terms of finite coupling between Fe $e$ and empty $t_2$ orbitals$^{22}$. Table II also lists the magneto-crystalline anisotropy energy obtained as the total energy difference between the calculations with the spin quantization along [001] and [110]. The anisotropy energy is found to be almost 2 times larger for FCS compared to FSS, indicating stronger spin-orbit interaction in FCS. The strength of the spin-orbit interaction depends on the energy level separation ($\Delta$) between Fe $e$ and $t_2$. We have estimated $\Delta$ from the NMTO- downfolding calculations in the effective Fe only basis, and obtain$^{13} \Delta = 0.46$ eV for FSS and $\Delta = 0.20$ eV for FCS. Using second order perturbation theory as considered in Refs.$^{13}$, the spin-orbit coupling parameter is given by $\lambda \sim \frac{\Delta}{\lambda_0}$, where $\lambda_0$ is the atomic spin-orbit coupling constant, estimated to be 0.01 eV$^{22}$. We obtain $\lambda = 1.3$ meV (FSS) and 3 meV (FCS). Considering the dominant magnetic interaction into account, $\lambda \gg 1$ in FCS and $\ll 1$ in FSS. As discussed in Refs.$^{13}$, these two situations will give rise to very different ground states, an magnetically ordered state for $\frac{\lambda}{\Delta} \gg 1$ and a spin orbital singlet for $\frac{\lambda}{\Delta} \ll 1$.

To conclude, we have carried out DFT calculations to provide a microscopic understanding of the dissimilar behavior of spinel compounds FCS and FSS, both having orbitally active A ions. We found that this originates from the difference in the hybridization between Fe $d$ states and B (B=Cr/Sc) states and S $p$ states. This not only affects the magnitude of magnetic exchanges, but also the relative importance of different magnetic exchanges: A contrasting value of $J_2/J_1 = 37$ in the case of the Sc compound to a value of 0.4 in the case of the Cr compound. Moreover, the $J$’s are antiferromagnetic for the Sc systems and ferromagnetic for the Cr system. This leads to important frustration effects in the Sc compound which are absent in the Cr compound. In our entire analysis, we have not considered the effect of Jahn-Teller (JT) interactions. Though crystallographically no signature for static JT order has been found, there could be dynamic JT effects. This will be taken up in a future study.

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