Solid-state fermentation for production of a bioherbicide from *Diaporthe* sp. and its formulation to enhance the efficacy

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**Abstract** In this study, a bioherbicide was produced by solid-state fermentation (SSF) using *Diaporthe* sp. Adjuvants were employed in a formulation to enhance the herbicidal activity towards the target (*Cucumis sativus*). The study was divided into two steps: (1) the fermentation condition for bioherbicide production was assessed; (2) evaluation of different formulations containing palm oil, Tween® 80 and Span® 80, in order to increase phytotoxicity. In step 1, the maximum herbicidal activity (1.23% of the leaves had lesions) was obtained at 25 °C, moisture content of 50 wt%, supplemented with 10 wt% of corn steep liquor and soybean bran and inoculum density of 15 wt%. In step 2, the formulation containing 8.2 wt% of palm oil, 8.2 wt% of Tween® 80 and Span® 80, resulting in an HLB of 12.8 showed the highest phytotoxicity on the leaves. At this condition, dry matter and height of target were reduced about 36% in comparison with control. *Diaporthe* sp. has the potential to produce molecules with herbicidal activity and the use of adjuvants enhanced three times its efficiency.

**Keywords** Weeds · Adjuvants · Herbicide · Formulation

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**Introduction**

Future weed management should consider new tools besides those existing, because modern agriculture constantly undergoes changes. A number of factors are interfering in the weed management, such as the elimination of some older herbicides, the high cost for development and registration of new chemical herbicides, lack of herbicides registered for small markets, the growing problems with resistant weeds to herbicides (Charudattan 2001). In addition, organic and conventional agriculture need tools to manage weeds and reduce their reliance on synthetic herbicides (Cordeau et al. 2016).

These facts lead to a growing search for new herbicides with safer toxicological and environmental profiles as well as with new modes of action (Dayan and Duke 2014). Studies based on molecular biology and natural products demonstrate that there are still many unexploited target sites (Duke and Dayan 2014) and natural phytotoxins may be the source for new herbicides (Dayan and Duke 2014; Cimmino et al. 2015).

Besides the potential of bioherbicides in modern agriculture, however, few have achieved long-term commercial success. Thirteen bioherbicides derived from microorganisms or natural molecules are currently available on the market: nine are based on fungi, three on bacteria and one based on active substance obtained from natural plant extract (Cordeau et al. 2016). The low number of registered and commercialized products may be related to the international barriers to introduce living organisms in foreign countries (Chutia et al. 2007). One alternative is the production of herbicidal compounds by fermentation, extraction from the fermented broth, and use of this compound in a more stable formulation (Brun et al. 2016). This strategy will not be limited on the continued survival of a given
organism in an uncontrolled environment (Harding and Raizada 2015).

The production and marketing of bioproducts for agriculture involves the optimization of its production. Solid-state fermentation (SSF) has been the preferable process for production of secondary metabolites, because the fermentation media is based on agroindustrial residues with low cost and due to fact that SSF is better than submerged fermentation for production of complexes molecules (Pandey 2003). In addition, more robust and cost-effective fermentation and formulation downstream platforms are imperative for its overall commercialization by industry (Mascarin and Jaronski 2016).

Among the microorganisms with potential for production of molecules with herbicidal activity, Diaporthe sp. has shown interesting results (Souza et al. 2015, 2016; Pes et al. 2016; Briscoe 2014). Species of Diaporthe has been studied for production of molecules with antibacterial (Specian et al. 2012), antifungal (Prada et al. 2009) and for control of Phyllosticta citricarpa in citrus (Santos et al. 2016). In all studies reported above, submerged fermentation was the process employed for molecule production and the crude extract free of cell. It is known that the use of adjuvants enhance the efficiency of product. However, there are less number of studies focusing on production and formulation of bioherbicides containing microbial molecules in literature.

Based on these aspects, this study is focused on the bioherbicide production by solid-state fermentation (SSF) using Diaporthe sp. and its formulation to enhance the herbicidal activity in control of target (Cucumis sativus). The study was divided into two steps: (1) the fermentation condition for bioherbicide production was assessed; (2) evaluation of different formulations containing palm oil, Tween® 80 and Span® 80 in order to increase phytotoxicity.

Materials and methods

Materials

Sugarcane bagasse was obtained in a microdistillery located at the Federal University of Santa Maria. The sample was dried, milled and sieved. Soybean bran was purchased in a local market. Corn steep liquor (CSL) was obtained from Ingredion (Mogi Guacu, SP, Brazil) and it was used as received. Palm oil (Elaeis guineensis) was provided by the industry of processing of oils and derivatives Agropalma (Tailândia, PA, Brazil). Other chemicals namely, (NH4)2SO4, FeSO4·7H2O, MnSO4·H2O, MgSO4, Tween® 80 (polyoxyethylene sorbitan monooleate) and Span® 80 (sorbitan monooleate) were purchase from Sigma-Aldrich.

Microorganism and inoculum

The strain used in this study was Diaporthe sp., previously isolated by Souza et al. (2016). The culture was maintained in a potato dextrose agar (PDA) at 4 °C and subcultured every 15 days. Cell production for pre-inoculum was incubated in a petri dish containing PDA for 8 days at 28 °C. For inoculum, two discs of 6 mm of fungal mycelium were transferred to a 250-mL Erlenmeyer flask contained 100 mL of medium composed (g L⁻¹): glucose 10.0, peptone 7.5, yeast extract 2.0, NH42SO4 1.0, FeSO4·7H2O 1.0, MnSO4·H2O 1.0 and MgSO4 0.5. The flasks were maintained at 28 °C, 120 rpm for 7 days (Innova 44R, New Brunswick) (Souza et al. 2015).

Solid-state fermentation

Fermentations were carried out in conical flasks (500 mL) containing 10 g of solid substrate. Before the fermentations, the solid substrate was supplemented (corn steep liquor—CSL and soybean bran) and the moisture content adjusted at a specified level. Each flask was covered with hydrophobic cotton and autoclaved at 121 °C for 20 min. After cooling, each flask was inoculated using a specific volume of inoculum. The fermentations were carried out for 7 days in a chamber with temperature and humidity control (POL-EKO, model KK 350). After the end of fermentation, the bioactive compounds of each assay were extracted using 100 mL of distilled water in an orbital shaker at 100 rpm and 28 °C during 1 h (Innova 44, New Brunswick Scientific). The broth obtained from the extraction was filtered and stored for further use in the bioassays.

The variables and ranges studied in this step were temperature (25–35 °C), moisture content (50–75 wt%), concentration of CSL (0–10 wt%), concentration of soybean bran (0–10 wt%) and inoculum density (5–15 wt%) by means of a Plackett–Burman design with 12 runs plus three central points (PB12).

Formulation of bioherbicide

The formulation of bioherbicide was studied at the best condition of PB12 to increase its efficiency. At this step were used two surfactants with different hydrophilic–lipophilic balance (HLB) (Span® 80—HLB = 4.3 and Tween® 80—HLB = 15.0) and palm oil as adjuvants. These adjuvants were combined to obtain stable palm oil in-water emulsion using an ultra-turrax.

Palm oil and span 80 (oil phase) and bioherbicide and tween 80 (aqueous phase) were homogenized separately in ultra-turrax for 1 min at 7000 rpm. In the following, oil phase was slowly added in aqueous phase under ultra-
### Table 1 Matrix of the PB12 design for selection of the best condition for production of bioherbicide by solid-state fermentation

| Runs   | Temperature (°C) | Moisture (wt%) | CSL (wt%) | SB (wt%) | Inoculum (wt%) | Damage of leaves (%) |
|--------|------------------|----------------|-----------|----------|----------------|----------------------|
| Water  | –                | –              | –         | –        | 0              | 0.0 g*               |
| T1     | 35               | 50             | 10        | 0        | 5              | 0.36 d               |
| T2     | 35               | 75             | 0         | 10       | 5              | 0.28 d               |
| T3     | 25               | 75             | 10        | 0        | 15             | 0.14 c               |
| T4     | 35               | 50             | 10        | 10       | 5              | 0.89 b               |
| T5     | 35               | 75             | 0         | 10       | 15             | 0.62 c               |
| T6     | 35               | 75             | 10        | 0        | 15             | 1.01 b               |
| T7     | 25               | 75             | 10        | 10       | 5              | 0.04 f               |
| T8     | 25               | 50             | 0         | 10       | 15             | 1.23 a               |
| T9     | 25               | 50             | 0         | 10       | 15             | 0.94 b               |
| T10    | 35               | 50             | 0         | 0        | 15             | 0.52 c               |
| T11    | 25               | 75             | 0         | 0        | 5              | 0.24 d               |
| T12    | 25               | 50             | 0         | 0        | 5              | 0.25 d               |
| T13    | 30               | 62.5           | 5         | 5        | 10             | 0.02 g               |
| T14    | 30               | 62.5           | 5         | 5        | 10             | 0.01 g               |
| T15    | 30               | 62.5           | 5         | 5        | 10             | 0.01 g               |

* Mean followed by the same letter in the column did not differ statistically by the Scott-Knott’s test at 95% of confidence level (p < 0.05)

### Table 2 Matrix of the DCCR to evaluate the influence of different formulations on the height, dry matter and phytotoxicity of target

| Treatments          | Real and coded values | Mass of components | Plant height (cm) | Phytotoxicity | Dry matter (g) |
|---------------------|-----------------------|--------------------|-------------------|---------------|----------------|
| Water               | –                     | –                  | –                 | –             | –              |
| 100% bioherbicide   | –                     | –                  | –                 | –             | –              |
| T1                  | 2.8 (1)               | 2.8 (1)            | 6.5 (1)           | 0.700         | 23.600         |
| T2                  | 8.2 (1)               | 2.8 (1)            | 6.5 (1)           | 2.050         | 22.250         |
| T3                  | 2.8 (1)               | 8.2 (1)            | 6.5 (1)           | 0.700         | 22.250         |
| T4                  | 8.2 (1)               | 8.2 (1)            | 6.5 (1)           | 2.050         | 20.900         |
| T5                  | 2.8 (1)               | 2.8 (1)            | 12.8 (1)          | 0.700         | 23.600         |
| T6                  | 8.2 (1)               | 2.8 (1)            | 12.8 (1)          | 2.050         | 22.250         |
| T7                  | 2.8 (1)               | 8.2 (1)            | 12.8 (1)          | 0.700         | 22.250         |
| T8                  | 8.2 (1)               | 8.2 (1)            | 12.8 (1)          | 2.050         | 20.900         |
| T9                  | 1 (1.68)              | 5.5 (0)            | 9.7 (0)           | 0.250         | 23.375         |
| T10                 | 10 (1.68)             | 5.5 (0)            | 9.7 (0)           | 2.500         | 21.125         |
| T11                 | 5.5 (0)               | 1 (1.68)           | 9.7 (0)           | 1.375         | 23.375         |
| T12                 | 5.5 (0)               | 10 (1.68)          | 9.7 (0)           | 1.375         | 21.125         |
| T13                 | 5.5 (0)               | 5.5 (0)            | 4.3 (1.68)        | 1.375         | 22.250         |
| T14                 | 5.5 (0)               | 5.5 (0)            | 15 (1.68)         | 1.375         | 22.250         |
| T15                 | 5.5 (0)               | 5.5 (0)            | 9.7 (0)           | 1.375         | 22.250         |
| T16                 | 5.5 (0)               | 5.5 (0)            | 9.7 (0)           | 1.375         | 22.250         |
| T17                 | 5.5 (0)               | 5.5 (0)            | 9.7 (0)           | 1.375         | 22.250         |

Mean followed by the same letter in the column did not differ statistically by the Scott-Knott’s test at 95% of confidence level (p < 0.05)
turrax for 5 min at 7000 rpm. The total mass of emulsion (palm oil + bioherbicide + surfactants) was 25 g. The variables studied in this step were oil concentration (1–10 wt%), emulsifier concentration (1–10% w/w) and hydrophilic–lipophilic balance (HLB) (4.3–15) by means of a central composite rotational design (CCRD). The

Fig. 1 Comparison of phytotoxic effect among formulations 8 (a, c) and 14 (b, d) in relation to treatment using water and water + adjuvant. Highlight of the phytotoxic effect in the formulation 8 (e) and 14 (f)

Fig. 2 Pareto chart expressing the linear, quadratic and interaction effects of independent variables of formulation in the phytotoxicity
efficiency of the formulations was determined in the bioassays.

**Bioassays**

The herbicidal activity was determined using *C. sativus* as target plant. This specie was used in the bioassays because they have high sensitivity to phytotoxic compounds and is an easy-to-grow plant. Three seeds were sown in a plastic cup (180 mL) containing commercial substrate (Mecplant®) without any treatment. After the emergence, only one plant was maintained per vessel, being cultivated for 7 days in a greenhouse located at the Federal University of Santa Maria (Santa Maria, Brazil).

Each treatment was composed of 12 plants with four repetitions. A volume of 3 mL of bioherbicide was applied at the same time in each bioassay using a garden sprayer. Control assays were performed using water instead of bioherbicide. Fourteen days after the application, plant injury was visually estimated in comparison to controls, where 9 represents complete plant death and 1 represents no effect (EWRC 1964). In addition, it was determined the dry matter and height of plants.

**Statistical analysis**

All statistical analyses were performed using Statistica 8.0 software (Statsoft Inc., Tulsa, OK, USA), considering a 90% significance level. Statistical differences between treatments were determined by one-way analysis of variance and means separated using the least significant difference test (*p* < 0.05).

**Results and discussion**

**Solid-state fermentation**

Table 1 presents the results referring the definition of the best condition for bioherbicide production by solid-state fermentation. Fermented broth of *Diaporthe* sp. present herbicidal effect, since damage in the leaves of target was verified in all fermentations of the PB12. The highest percentage of damage in leaves of target occurred at run 8 (1.23%), differing statistically from the other fermentations.

The injuries caused by metabolites of the fungus *Diaporthe* sp. were predominantly chlorosis verified at the site of contact of the bioherbicide with the leaf. Similar symptom was verified in previous studies of group referring to application of metabolites of *Diaporthe* sp. obtained by submerged fermentation (Souza et al. 2015; Pes et al. 2016). Chlorosis also was found by Varejão et al. (2013) when evaluating the effects of fermented broth of *Alternaria euphorbiicola* in leaves of *Euphorbia heterophylla*.

The effect of each variable on the percentage of damage on the leaves of target was calculated, and only inoculum density showed statistical significance (*p* < 0.1) (data not shown) with positive effect. This result is coherent since lower inoculum density cannot produce enough biomass to produce the biomolecules (Sanghi et al. 2008; Selvakumar and Pandey 1999). From the PB12 design, the highest herbicidal activity was obtained when fermentation was carried out at 25 °C, moisture content of 50 wt%, 10 wt% of corn steep liquor and soybean bran and 15 wt% of inoculum density. For this reason, this condition was fixed for the next step of study.

**Formulation**

The use of adjuvants was studied as an alternative to improve the efficiency of bioherbicide, since crude broth presented low percent of injury in the target. Table 2 shows the results referring to the herbicidal activity, height and dry matter of plants obtained in the formulations of the CCRD. The use of adjuvants at some specific formulations increased the efficiency of bioherbicide. The highest phytotoxicity was observed in treatment 14, being attributed level 8 of the EWRC classification that corresponds to extremely serious damage, leaving small green areas in plants. Treatment 8 also presented a high level of damage.
Fig. 4  Height of plants (cm) obtained in the 17 formulations and its comparison with treatments using water and water + adjuvants. *Same letter* in the column did not differ statistically by the Scott-Knott’s test at 95% of confidence level ($p < 0.05$).
The phytotoxic effects of these treatments on target are shown in Fig. 1.

Data referring to phytotoxicity were used to compute the linear, interaction and quadratic effects of independent variables, which are presented in Fig. 2. Only surfactant concentration and HLB were statistically significant ($p < 0.05$) in the range evaluated, presenting a positive effect on the response. This result suggests that the molecules produced by the fungus are hydrophilic, since the formulations with high HLB values were the best.

Absorption of herbicides on plants is mainly through the cuticle of the leaf. Lipophilic herbicide penetrates through the cuticle by simple molecular diffusion through the waxy layer. Hydrophilic herbicides are also able to enter the plant by the surface of the cuticle by simple diffusion, but this permeability is reduced due to its low partitioning (Hess and Foy 2000). So, the use of a formulation with high HLB value will increase the hydration of cuticle, promoting a better permeability of hydrophilic herbicides onto the leaves, which increases the herbicidal rate of diffusion in a constant concentration gradient (Hess and Foy 2000; Behrens 1964). Weaver (2009) reported that the HLB value of an adjuvant might increase the bioactivity of a herbicide and improve the chemical properties of a formulation.

The hypothesis raised above is corroborated by analyzing the treatment 13 (HLB 4.3). In this formulation, only palm oil and Span® 80 were used. As Span® 80 is a surfactant with lipophilic characteristic, the absorption of hydrophilic compounds was negatively affected in a manner that no symptoms in the leaves of target were observed (Fig. 3).

Plant height was suppressed in some treatments, mainly in the T14 and T8 with a reduction around 36% in comparison with control. Comparing the treatments containing bioherbicide + adjuvants with those using adjuvants + water (Fig. 4), it is observed that the application of only adjuvants have no suppressive effect on the growth of target plants ($p < 0.05$). A similar result was found by Gronwald et al. (2002) wherein evaluating the single use of Silwet L-77 adjuvant on the control of Cirsium arvense plants, a reduction in height of these plants was not observed. However, when used in a formulation with Pseudomonas syringae pv. tagetis verified a reduction of 31% in plant height.

Some treatments showed significant effects on dry matter of target, mainly T14 that presented a reduction of 36.8% compared to control. The application of 100% bioherbicide caused reduction close to 31% in dry matter; however, when evaluating the phytotoxicity, only small chlorotic scores in the leaves were verified (Fig. 5). The comparison of the dry matter of plants treated with water, water + adjuvants and bioherbicide + adjuvants are presented in Fig. 6. In treatments 3, 4, 5, 6, 7, 11, 12, 13, 16 and 17 the dry mass of water + adjuvants was close to average obtained in the plants treated with water, suggesting that the dry matter reduction effect is mainly caused by Diaporthe sp. phytotoxins.

Fig. 5 | Damage caused in the target due to application of bioherbicide without adjuvants

Conclusions

The microorganism Diaporthe sp. showed potential for use as post-emergence herbicide and its efficiency was enhanced by using adjuvants in the formulation. The formulation containing 5.5 wt% of palm oil, 5.5 wt% of adjuvants and HLB 15.0 resulted in the highest efficiency of bioherbicide, which was three times higher than the...
Fig. 6 Dry matter of plants (g) obtained in the 17 formulations and its comparison with treatments using water and water + adjuvants. *Same letter* in the column did not differ statistically by the Scott-Knott’s test at 95% of confidence level ($p < 0.05$)
unformulated product. Among the surfactants tested, Tween® 80 was responsible for increasing the efficacy of the formulation.

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Compliance with ethical standards

Conflict of interest The authors declare that there is no conflict of interest.

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