Epiretinal Membrane Appearance or Progression After Intravitreal Injection in Age-Related Macular Degeneration

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Research Article

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Abstract

Background: To evaluate the influence of anti-vascular endothelial growth factor (VEGF) in the appearance or progression of epiretinal membranes (ERMs) in age-related macular degeneration (ARMD) and investigate determining factors.

Methods: Seventy-six eyes that were treated for more than 36 months from the first anti-VEGF injection were assessed. Binary logistic regression analysis was performed between smoking, lens status, subretinal hemorrhage, posterior vitreous detachment (PVD) status, peripheral degeneration, contralateral eye, and the number of injections as independent variables and appearance or progression of ERMs during 36 months as dependent variables.

Results: The presence of vitreous macular adhesion (VMA) or development of PVD during the observation period was significantly associated (Odds ratio [OR]: 5.76; 95% confidence limit [CL], 1.72-19.3; p<0.005) with the appearance or progression of ERMs. Moreover, peripheral retinal degeneration was significantly associated (OR: 3.85; 95% CL, 1.15-13.0; p<0.029). Injection number of anti-VEGF was not significantly associated (OR: 1.02; 95% CL, 0.90-1.16; p<0.73). Number of anti-VEGF injections in ARMD had little relationship with developing secondary ERMs. VMA or developing PVD and peripheral degenerations had an association with developing ERMs.

Conclusion: This study suggests possibilities that anti-VEGF injections alone are unable to cause the development of ERMs, that the vitreoretinal interface has a prior impact on the developing ERMs in ARMD similar to that of idiopathic ERMs, and that peripheral degenerations co-exist with or are evoked by vitreoretinal adhesion in the pathogenesis of ARMD, both being related to ERMs development.

Background

Epiretinal membranes (ERMs) are a common type of fibro-cellular proliferations that form a fibrous sheet along the inner retinal surface. The Beaver Dam Study revealed the prevalence in older adults as 11.8% [1] and the Blue Mountains Eye Study (BMES) reported it as 7% [2].

Recently, several articles reported the occurrence of ERMs in patients with age-related macular degeneration (ARMD) [3-5]. Eyes with both ARMD and ERMs require more frequent injections than eyes with ARMD alone, due to the prohibited drug penetration or presence of tractional cystoid changes [3]. However, to the best of our knowledge, no report has investigated the relationship between intravitreal injection and progression of ERMs in ARMD patients.

A previous study discussed the possible progression of ERM after intravitreal ranibizumab injection in branch retinal vein occlusion (BRVO). Marticorena et al. reported that 4/25 (16%) eyes injected with anti-vascular endothelial growth factor (anti-VEGF) progressed ERMs early 6-7 weeks after injection nevertheless had been diagnosed 5.5-12.5 months earlier [6]. They suggested that regression of VEGF led to decreased nitric oxide (NO) and could induce hypoxia, resulting in up-regulation of platelet derived
growth factor (PDGF)-A, tumor necrosis factor (TNF)-α, transforming growth factor (TGF)-β, and ERMs progression [7-9]. A similar condition could appear after intravitreal injection against ARMD, though no issue in BRVO or ARMD has been investigated further.

Clinicians would not concentrate on ERM appearance or progression in patients with ARMD because those rarely affect the visual outcome. However, the progression of ERMs proliferation is gradual over several years. The purpose of this study was to investigate the relationship between intravitreal injection and progression of ERMs taking into account the confounding factors.

**Methods**

The study design was approved by the Ethics Committee of Sakura Medical Center, Toho University (No. S18032). The Institutional Review Board (IRB) of Sakura Medical Center, Toho University and the study design adhered to the tenets of Declaration of Helsinki. All patients provided written informed consent for treatments; all private patient information was excluded from the database. The use of anonymous information was approved by the IRB without the need to seek further consent. In a retrospective manner, medical records of all consecutive patients who had visited the ARMD clinic of our hospital between April and August 2019 were evaluated. The inclusion criteria were as follows: those who had continuous treatment of more than 36 months from the first vitreal injection and evaluation of spectral-domain optical coherence tomography (Spectralis OCT: Heidelberg Engineering, Heidelberg, Germany). The exclusion criteria were (1) previous vitrectomy; (2) gas injection; (3) photodynamic therapy; (4) previous laser photocoagulation; (5) presence of retinal break; (6) eyes with other conditions that are known to affect the ERMs, such as retinal vascular disease and uveitis; (7) subjects with only time-domain OCT in the early period. All the patients underwent a complete ophthalmological examination and were subjected to a treatment protocol that included a loading dose of 3 intravitreal injections with anti-VEGF agents at 1-month intervals. After the third dose, patients followed a *pro re nata* regimen or a treat-and extend regimen as decided by each clinician.

We investigated confounding factors causing ERMs (1) smoking [10, 11]; (2) lens status- phakia, pseudophakia, or undergone cataract surgery during the 36 months [12]; (3) subretinal hemorrhage larger than 4 papillae; (4) posterior vitreous detachment (PVD) status: no PVD, complete PVD, anomalous PVD, or progression of PVD during the 36 months [13]; (5) lattice degeneration or any other degeneration (i.e. cobblestone, naevus, or congenital hypertrophy of the retinal pigment epithelium (CHRPE)); (6) conditions of the contralateral eye [12]; (7) number of injections; and built binary logistic regression analysis to evaluate the multivariate associations between those independent variables and appearance or progression of ERMs as a dependent variable.

The classification of ERM was according to previous reports. Kleins et al. classified ERMs into two groups, cellophane macular reflex (CMR) that did not distort the macula, and preretinal macular fibrosis (PMF) that contracted with the appearance of superficial retinal folds, graded by fundus photographs [1]. Wilkins et al. classified membranes into two types, global adherent (or attachment) (GA) (Figure 1) where
no observed area of separation was apparent between the membrane and retinal surface; and partial adherent (or attachment) (PA) (Figure 2) that had sections separated from the inner limiting membrane observed in OCT [14,15]. Identifying ERMs with fundus photography is difficult in cases of ARMD because the macula is deformed with choroidal neovascularization, retinal hemorrhage, and fibrotic scar [3]. Hence, we utilized the OCT classification, GA or PA. We used SD-OCT, referred previously, and examined horizontally and vertically over the macular area (consisting of 6×6 sq mm area).

Moreover, ERMs were divided as either idiopathic or secondary, based on etiology following cataract surgery, retinal breaks, laser photocoagulation, retinal cryopexy, ocular inflammation, trauma, vascular abnormalities, and vitreous hemorrhage [16]. Cho et al. reported that 15% of ARMD patients had ERMs. They did not distinguish ERMs in patients with ARMD as idiopathic or secondary [3]. Though ARMD was an inflammatory disease and associated with systemic arterial sclerosis similarly as BRVO and diabetic retinopathy, we regarded ERMs in these patients with ARMD as secondary. Moreover, ERMs after cataract surgery was considered as secondary [16] or idiopathic [15]. Our criteria contained ERMs in ARMD patients with or without cataract surgery, where both were regarded as secondary.

Progression of membranes was defined as per previous reports. Based on fundus photographs, BMES defined the area of ERM increase more than 25% as an increase and the area of ERM decrease more than 25% as a decrease. Moreover, the proportion of CMR to PMF and disappearance of membranes were evaluated [12]. Byon et al. defined progression as increasing more than 50μm of central macular thickness, disclosing the distance between superior and inferior arcade vessel, increasing opacities above retina, and modifying GA to PA [15]. We defined appearance or progression of ERMs as no ERMs to appearance of GA or PA, or progression from GA to PA because only this distinguishing feature was applied to OCT evaluation. The assessment of OCT images was separately performed by two retinal specialists (H.T and I.Y). If there was any disagreement, they evaluated the data simultaneously and arrived at a consensus.

The PVD status was examined throughout the 36 months period evaluated by SD-OCT, slit-lamp examination, and ultrasonography. The classification was according to previous reports that investigated the PVD status in patients with ARMD [17-20]. We classified it into four degrees, no PVD, complete PVD, vitreomacular adhesion (VMA), and progression PVD during 36 months. VMA was defined that eyes had both vitreal adhesion and separation inside 6×6 sq mm area around macula (Figure 3).

The injection numbers contained both aflibercept (Eylea, Bayer, Germany) and ranibizumab (Lucentis, Novartis, Switzerland). Moreover, we further built another binary logistic regression analysis, containing all referred determining factors, dealing with each injection number of each medicine as different independent variables.

**Statistical Analysis**

Data analysis was carried out using SPSS software version 25©IBM, Chicago, IL©. Relationships between the appearance or progression of ERMs and considerable determining factors were analyzed by binary
logistic regression analysis, referred previously. A Pearson correlation was used for the correlation between the injection number of aflibercept and ranibizumab. A p-value of $\leq 0.05$ was considered significant.

**Results**

A total of 222 ARMD patients visited our ARMD clinic during the study period. The inclusion criteria were met by 76 eyes (mean age, 79.8±6.67). The observation periods were from July 2012 until August 2019, retrospectively. The characteristics of these patients were shown in Table 1.

Table 1 The characteristics of the subjects

|                |       |
|----------------|-------|
| Age            | 79.8±6.67 |
| Sex            | Men 54 Women 22 |
| Type of CNV    | Classic 12, Occult 20, PCV 38, RAP 1, unknown 5 |
| Baseline logMAR VA | 0.41±0.40 |
| After 36 months logMAR VA | 0.51±0.44 |

CNV: choroidal neovascularization; PCV: polypoidal choroidal vasculopathy; RAP: retinal angiomatous proliferation; VA: visual acuity

At baseline, 30 eyes (39%) had no ERM, 33 eyes (43%) had GA, and 13 eyes (17%) had PA. After three years, 16 eyes (21%) had no ERM, 37 eyes (49%) had GA, and 23 eyes (30%) had PA. The incidence and progression during the three years were shown in Table 2.

Table 2 The incidence and progression of ERMs

|                | No ERMs | No ERMs | No ERMs | GA | GA | PA | GA | total |
|----------------|---------|---------|---------|----|----|----|----|-------|
| throughout     | ↓        | ↓        | throughout | ↓  | throughout | ↓  |
| 3 years        | GA       | PA       | 3 years  | PA | 3 years  | No ERMs |
| Eyes           | 15       | 13       | 2        | 24 | 8 | 13 | 1 | 76 |

ERMs: epiretinal membranes; GA: global adherent; PA: partial adherent

Table 3 shows the distribution of confounding factors. The results of the binary logistic regression analysis using forced enter method, among these factors as independent variables, showed that presence of VMA or progression of PVD during 36 months against no PVD or complete PVD was significantly associated with ERM appearance or progression. Moreover, the presence of peripheral retinal degeneration was significantly associated as well. Whereas other factors like smoking, cataract surgery,
subretinal hemorrhage larger than 4 papillae, prevalence of ERMs in the contralateral eye, and number of injections were not significantly associated. Therefore, we performed the forward-backwards stepwise selection method, and PVD status and degeneration were also selected as significant variables.

Table 3 Multivariate logistic regression analysis about factors that could influence the appearance or progression of ERM

**Forced enter method**

| variables                      | proportion                        | OR 95%CI | p   |
|--------------------------------|-----------------------------------|----------|-----|
| smoking                        | never=25 (33%) ago=42 (55%) present=9 (12%) | 0.96 0.27–3.42 | 0.96 |
| lens status-                    | phakia=52 (68%) pseudophakia=17 (22%)  | 1.19 0.31–4.62 | 0.80 |
|                                | undergone surgery=7 (9%)           |           |     |
| subretinal hemorrhage           | no hemorrhage larger than 4 papillae=69 (91%) | 0.43 0.04–4.48 | 0.48 |
|                                | present=7 (9%)                     |           |     |
| PVD status                      | no PVD=4 (5%) complete PVD=46 (61%) | 5.76 1.72–19.3 | 0.005 |
|                                | VMA=18 (24%) progress=8 (11%)      |           |     |
| peripheral degeneration         | None=49 (64%) lattice=1 (1%) other degeneration (cobblestone, naevus, CHRPE, etc.=26 (34%) | 3.85 1.15–13.0 | 0.029 |
| contralateral eye               | no ERM=35 (46%) present=41 (54%)   | 1.16 0.33–4.02 | 0.82 |
| at baseline                     | mean 9.41 (±4.33) (times)          | 1.02 0.90–1.16 | 0.73 |

**Forward-backwards stepwise method**

| variables                      | proportion                        | OR 95%CI | p   |
|--------------------------------|-----------------------------------|----------|-----|
| PVD status                      | no PVD=4 (5%) complete PVD=46 (61%) | 5.39 1.73–16.7 | 0.004 |
|                                | VMA=18 (24%) progress=8 (11%)      |           |     |
| peripheral degeneration         | none=49 (64%) lattice=1 (1%) other degeneration (cobblestone, naevus, CHRPE, etc.=26 (34%) | 3.83 1.23–12.0 | 0.021 |
ERM: epiretinal membrane; OR: odds ratio; CL: confidence limit; PVD: posterior vitreous detachment; VMA: vitreomacular adhesion; CHRPE: congenital hypertrophy of the retinal pigment epithelium

Table 4 shows another result of the binary logistic regression analysis, detailing aflibercept and ranibizumab independently. The status of PVD and peripheral retinal degeneration were also selected as significant variables throughout forced enter method and forward-backwards stepwise selection analysis and these results corresponded with observations tabulated in Table 3. The number of aflibercept and ranibizumab had negative correlation ($r = -0.49$, $95\%$ confidence limit (CL): $-0.64$ to $-0.29$, $p = 0.0001$).

Table 4 logistic regression analysis about factors that could have influence on appearance or progression of ERMs, examining aflibercept and ranibizumab independently

**Forced enter method**

| variables                  | OR $\pm 95\%$ CL          | p     |
|----------------------------|----------------------------|-------|
| smoking                    | 0.97 $\pm 0.27$-3.47       | 0.96  |
| lens status                | 1.17 $\pm 0.30$-4.56       | 0.82  |
| subretinal hemorrhage      | 0.41 $\pm 0.04$-4.37       | 0.46  |
| PVD status                 | 5.81 $\pm 1.72$-19.7       | 0.005 |
| peripheral degeneration    | 3.69 $\pm 1.10$-12.4       | 0.035 |
| contralateral eye at baseline | 1.15 $\pm 0.32$-4.15     | 0.83  |
| Number of aflibercept      | 1.00 $\pm 0.87$-1.15       | 0.98  |
| (mean 7.19 $\pm 4.75$)     |                            |       |
| Number of ranibizumab      | 0.95 $\pm 0.77$-1.17       | 0.62  |
| (mean 2.41 $\pm 3.12$)     |                            |       |

Note: number of aflibercept and ranibizumab had negative correlation

($r = -0.49$, $95\%$ CL $-0.64$ to $-0.29$, $p = 0.0001$, Pearson correlation)

**Forward-backwards stepwise method**
| variables                  | OR 95%CL  | p     |
|----------------------------|-----------|-------|
| PVD status                 | 5.39 1.73-16.7 | 0.004 |
| Peripheral degeneration    | 3.83 1.23-12.0 | 0.021 |

ERM: epiretinal membrane; OR: odds ratio; CL: confidence limit; PVD: posterior vitreous detachment

**Discussion**

Coexistence of ERMs was reported to be 15%-38% of ARMD eyes [3,4, 21,22]. The higher prevalence of ERMs with eyes with ARMD than normal eyes adjusted for age was considered to be caused by inflammation or preretinal glial cells found more frequently in ARMD than control [23]. In our results, 61% of ARMD eyes at baseline and 79% of those after three years of treatment had presented any ERMs and these were much higher than the existing reports. Previous studies had no strict definition of length of ERMs. The higher prevalence was considered to be caused by criteria used in the form of the minimum region of ERMs in this study. The agreement rate for evaluation between observers was 82%. The remaining 18% were evaluated simultaneously and a consensus was reached.

The reported incidence of VMA in ARMD eyes in literature ranged from 12%-41% [18,19,22,24], and the higher prevalence than that in controls with adjusted age was considered to be a pathogenesis of ARMD, as traction would lead to pigment epithelial detachment and spread of VEGF [25]. In our results, 61% of eyes with ARMD had complete PVD, 24% of eyes presented with VMA, 5% had no PVD, and 11% had progressed to PVD during three years, and were in keeping with the reports discussed.

Cho et al. stated no spontaneous resolution of ERMs in ARMD patients in their study [3] and there were no other reports, to the best of our knowledge, about progression or resolution of ERMs in ARMD. The present study is the first report to investigate the progression of ERMs in ARMD patients and evaluate the influences of injection. Regarding other reports among eyes without ARMD, Byon et al. reported that progression from GA to PA was observed in 33% of eyes of idiopathic ERM during 24 months [15]. In our study, GA to PA progression was observed in 26% (9/34) during 36 months.

Regarding the reports [13,26,27], which had stated that PVD was significantly associated with the formation of ERMs, Ota et al. reported that idiopathic ERMs with partial PVD had worse visual prognosis than with no PVD or with complete PVD. They suggested that the chronic vitreous traction caused the migration of glial cells, macrophages, or pigment epithelial cells [13]. It led that ARMD itself would have nature in that ERM likely progress throughout higher prevalence of VMA. Moreover, there was a possibility that injection would evoke PVD, though Veloso et al. reported only 7/125 (5.6%) eyes with VMA newly developed PVD after 5 years of treatment with injections [24]. In this study, the proportion of developed PVD during 36 months was 8/76 (11%) and was slightly higher. Therefore, several factors would work together as progressive factors for ERMs in ARMD patients.
The results of the logistic regression analysis, among considerable determining factors, revealed that presence of VMA or progression of PVD during 36 months against no PVD or complete PVD was significantly associated with ERM appearance or progression: $OR, 5.76; 95\% CL, 1.72-19.3; p<0.005$. Moreover, the presence of peripheral retinal degeneration was significantly associated with $OR, 3.85; 95\% CL, 1.15-13.0; p<0.029$. The other factors examined were not significantly associated.

As referred previously, incomplete PVD had been considered to progress ERMs with chronic traction [13]. We considered that progression of PVD could also cause rapid migration of glial cells, macrophages, or pigment epithelial cells.

We carefully excluded eyes with a retinal break to prevent contamination of ERMs secondary to the break, and most of the peripheral degeneration prevalence was not due to lattice degeneration (1 eye), but due to cobblestone, naevus, or CHRPE, etc. We could search only one article that reported the prevalence of peripheral degenerations of idiopathic ERMs was similar to that of normal eyes [28]. Though other article reported higher prevalence in ARMD than in controls [29]. We hypothesized that these peripheral degenerations co-exist with or are evoked by vitreoretinal adhesion in the pathogenesis of ARMD, and both are related to the development of ERMs.

Exposure to cigarette smoke extracts had been reported to provoke activation of the TGF-β pathway and up-regulate genes related to fibrosis, that was known to play a critical role in the pathogenesis of ERMs [10,11]. However, the reported results of epidemiologic studies of the relationship between smoking and risk of ERMs were unexpectedly protective and our results had also weak tendency of decreasing odds ratio [30].

Moreover, there was a limited relationship between the history of subretinal hemorrhage and the development of ERMs. We had started this study with the impression that there might be a relationship between developing ERMs in ARMD patients and subretinal hemorrhage treated with aflibercept. However, this hypothesis seemed to be contradicted by the observations. Subretinal hemorrhage inside the retina itself would be unable to cause the development of ERMs, whereas ERMs following vitreous hemorrhage had been distinguished as secondary ERMs [16].

The BMES study revealed the rate of new appearance of ERMs to be 9.1% in eyes with history of cataract surgery during 5 years from BMES, whereas it was 4.9% in non-surgical group [12]. In the present study, only one of the 30 eyes that had no ERMs at baseline had undergone cataract surgery during 36 months; therefore, there was no significant influence on the results. The only eye had the new appearance of ERMs.

In the BMES study, moreover, 13.5% of another eye of the first eye with ERMs at baseline had reported developing new membranes, while 5.3% of another eye of the first eye without ERMs [12]. In our study, these proportions were 60% and 40%, not significantly different. Our high prevalence of ERMs would influence this difference of results.
The observation that the number of injections did not have an impact on the appearance or progression of ERMs could suggest the absence of side effect of anti-VEGF injection in the development of ERMs, but we had no controls of ARMD eyes that had not received any injections. The fact that the proportion of GA to PA was 26% during 36 months and had no priority to 33% during 24 months of idiopathic ERMs [15] also supports this possibility. Moreover, further comparison of each injection times of aflibercept and ranibizumab independently had no impact on the development of ERMS.

We hypothesize that NO would decrease due to anti-VEGF injection and PDGF-A, TNF-α, TGF-β and some other cytokines would be up-regulated [7;9], however, the changes would be weak due to the dose or present time or these cytokines were unable to develop ERMs by themselves without migration of glial cells, macrophages, or pigment epithelial cells [13], caused by vitreous change. Though there were no further investigations about developing ERMs by injections at BRVO from Marticorena et al. report [6], further prospective studies would be necessary to explain the pathogenesis of secondary ERMs in both BRVO and ARMD.

This study had a few limitations. First, there was no control of eyes with ARMD and without any injection, previously referred. Second, this was a retrospective study and invitation spans had variety. A further prospective study is necessary.

**Conclusion**

The number of anti-VEGF injections in ARMD had little relationship with developing secondary ERMs with neither aflibercept nor ranibizumab and this related possibility that injections alone were unable to develop ERMs. Though their side effects of hypertension or thrombosis following reduction of NO, endophthalmitis, retinal detachment, or iatrogenic cataract are sometimes critical, and clinicians must be aware of these risks. VMA or developing PVD and peripheral degenerations had an association with developing ERMs, and these conditions could be related to the pathogenesis of ARMD.

**List Of Abbreviations**

ARMD: age-related macular degeneration

BMES: The Blue Mountains Eye Study

BRVO: branch retinal vein occlusion

CHRPE: congenital hypertrophy of the retinal pigment epithelium

CL: confidence limit

CMR: cellophane macular reflex

ERMs: epiretinal membranes
Declarations

Ethics approval: The study design was approved by the Ethics Committee of Toho University Sakura Medical Center (No. S18032). The institutional Review Board (IRB) of Toho University, and the study design adhered to the tenets of Declaration of Helsinki.

Consent to participate: All patients provided written informed consent for treatments; all private patient information was excluded from the database. The use of anonymous information was approved by the IRB without the need to seek further consent.

Consent for publication: Not applicable.

Availability of data and materials: The datasets generated and analyzed during the current study are not publicly available because we are not able to permit any possibility of identifying persons from treatment history regardless of data anonymity, but data are available from the corresponding author upon reasonable request.

Competing of interest: The authors have no competing of interests to disclose. There was no sponsorship for this study.
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Authors contributions: Hikari Taniguchi (H.T), Izumi Yoshida (I.Y), and Masashi Sakamoto (M.S) built the conception of the work. Data acquisition and interpretation of these was performed by (H.T) and (I.Y). Drafting manuscript was performed by (H.T) and (I.Y). Critical revision was made by (M.S) and Takatoshi Maeno (T.M).

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Page 13/14
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