Transformation-mediated Plasticity in CuZr based Metallic Glass Composites: A Quantitative Mechanistic Understanding

B.A. Sun\textsuperscript{a,b,*}, K.K. Song\textsuperscript{c}, S. Pauly\textsuperscript{c}, P.Gargarella\textsuperscript{d}, C.T. Liu\textsuperscript{b}, J. Eckert\textsuperscript{e,f}, Y. Yang\textsuperscript{b,*}

\textsuperscript{a}Materials Genome Institute, Shanghai University, Shanghai 200444, China
\textsuperscript{b}Centre for Advanced Structural Materials, Department of Mechanical and Biomedical Engineering, City University of Hong Kong, Kowloon, Hong Kong
\textsuperscript{c}IFW Dresden, Institut für Komplexe Materialien, Helmholtzstraße 20, D-01069, Dresden, Germany
\textsuperscript{d}Departamento de Engenharia de Materiais, Universidade Federal de São Carlos, 13565-905 São Carlos, São Paulo, Brazil
\textsuperscript{e}Erich Schmid Institute of Materials Science, Austrian Academy of Sciences, Jahnstraße 12, A-8700 Leoben, Austria
\textsuperscript{f}Department Materials Physics, Montanuniversität Leoben, Jahnstraße 12, A-8700 Leoben, Austria

Abstract

In this paper, we present a thorough stress analysis of the Cu-Zr metallic-glass composite with embedded B2 particles subject to a martensitic transformation. Within the framework of the Eshelby theory, we are able to explain, in a quantitative manner, (1) the formation of three types of shear bands with distinct morphologies as observed experimentally in the severely deformed Cu-Zr metallic-glass composite and (2) the work hardening ability of the Cu-Zr metallic-glass composite as related to the coupled effects of elastic back stress and elastic mismatch caused by the martensitic transformation. Furthermore, we also discuss the issues about the stress affected zone of the individual B2 phase and the stability of the crystalline-amorphous interface. Given the general agreement between the theoretical and experimental findings, we believe that the outcome of our current work can lead to a deeper understanding of the transformation-induced plasticity in the Cu-Zr based metallic glass composites, which should be very useful to the design of the metallic-glass composites with improved ductility.

Keywords: Metallic glass, A.ductility, A.phase transformation, C.analytic functions

*Corresponding author

Email addresses: iphysunba@gmail.com (B.A. Sun), yongyang@cityu.edu.hk (Y. Yang)

Preprint submitted to International Journal of Plasticity March 7, 2018
1. Introduction

Bulk metallic glasses (BMGs) (Chen, 2011; Wang et al., 2004) are a new class of advanced materials, which have attractive mechanical properties such as high strengths and large elastic limits arising from their long-range disorder atomic structure. Nevertheless, their applicability as structural materials is largely suppressed by the poor ductility (Ashby and Greer, 2006; Fornell et al., 2009; Greer et al., 2013; Schuh et al., 2007; Zhou et al., 2013), which has been regarded as the Achill’s heel of BMGs. At room temperature, plasticity in BMGs is an inhomogeneous process with plastic strain highly localized into shear bands (Chen and Lin, 2010; Chen et al., 2013; Greer et al., 2013; Huang et al., 2014; Jiang et al., 2008). Once initiated, shear bands are prone to becoming unstable due to strain softening, causing the catastrophic failure of a BMG sample along the main shear plane (Chen and Dai, 2016; Shimizu et al., 2006; Sun and Wang, 2015; Wu et al., 2015). Consequently, this results in almost zero tensile ductility or very limited compressive plasticity at the macroscopic scale (Sun et al., 2013; Wu et al., 2011a; Zhang et al., 2003). In the past decades, tremendous efforts (Choi-Yim et al., 1999; Eckert et al., 1998; Hays et al., 2000; Hofmann et al., 2008a,b; Jang et al., 2011; Lee et al., 2004; Lewandowski et al., 2005; Liu et al., 2007; Tong et al., 2016; Yoo et al., 2012; Yu et al., 2009) have been made to overcome the intrinsic weakness of BMG, among which one effective approach is to fabricate BMG matrix composites (Choi-Yim et al., 1999; Hofmann et al., 2008b; Lee et al., 2004). This often involves ex-situ or in-situ introduction of secondary crystalline phases into a glassy matrix. Based on this approach, significant tensile ductility was achieved in some Zr- (Hofmann et al., 2008a) and Ti-based BMG (Hofmann et al., 2008b) composites. However, in the early development, the BMG composites still displayed macroscopic strain softening under tension (Hofmann et al., 2008a), which led to necking instability upon yielding, a phenomenon that is not desirable in engineering applications.

Recently, CuZr-based BMG composites (Gargarella et al., 2014; Hofmann, 2010; Liu et al., 2012; Pauly et al., 2010a; Song et al., 2012a; Wu et al., 2011b, 2010) have attracted a great deal of research interest because of their unique mechanical properties. Unlike other types
of BMG composites, these CuZr-based BMG composites comprise shape-memory B2 CuZr crystalline phases, which could undergo martensitic transformation (MT) during deformation and turn into the B19' phase. By a careful control of the size and distribution of the B2 phases, it has been shown (Pauly et al., 2010b; Wu et al., 2010) that these composites can exhibit significant tensile ductility and also display pronounced work-hardening, an indispensable "ingredient" for the practical application of structural materials. Apparently, these superior mechanical properties are derived from the interaction between the MT of B2 phases and the plastic flow "carriers", i.e. shear bands, in the glassy matrix. Furthermore, it was proposed that the transformation of B2 might exert a compressive stress on the glassy matrix and thus retards the rapid propagation of shear bands and/or cracks (Hofmann, 2010; Pauly et al., 2010b). To validate this proposition, finite element (FE) simulations were utilized to obtain the stress/strain fields in the deformed BMG composites using the commercial packages (Gargarella et al., 2014; Liu et al., 2014; Wu et al., 2011b); however, these preliminary analyses are generally insufficient because the transient phase transformation behavior of the B2 phase is not considered in the constitutive model of the commercial FE software (Leblond et al., 1989). Therefore, to understand the mechanisms underlying the tensile plasticity in the CuZr-based BMG composites, a precise knowledge of the stress redistribution around the transforming B2 phases is still lacking.

In this study, we develop a micromechanical model based on the Eshelby theory (Eshelby, 1957; Weinberger C, 2005) to understand the plasticity associated with the MT of B2 in the CuZr BMG composites. It will be shown that the model predictions, such as the stress state and the resultant distribution of shear bands and strain hardening coefficient, compare very well with the experimental observations. Our current study provides a quantitative understanding of the mechanisms underlying the strain hardened plasticity in the CuZr-based BMG composites, which should be also helpful for a better control of the microstructure in BMG based composites with improved mechanical properties.
2. Experiments and Results

2.1. Sample Preparations and Experimental Set-Up

Master alloy ingot with a nominal composition Cu$_{48}$Zr$_{48}$Al$_4$ were prepared by arc melting the mixture of the constituent elements with purity > 99.5% in a Ti-getted atmosphere. Each alloys ingots were remelted at least three times for the compositional homogeneity. Plate samples with a thickness of 2 mm, a width of 10 mm and a length about 80 mm were obtained by suction casting into the copper mould. As the morphology of B2 phase is very sensitive to experimental condition in casting process such as the cooling rate and the quench temperature, we control the melting temperature by applying the arc current of 320 A on each alloy ingot for about 20 second before quenching to ensure the microstructure reproducibility of the BMG composite. The specimens with a dimension of about $2 \times 2 \times 4$ mm were cut from the lowest part of the plate (the part with the highest cooling rate) with a diamond saw, and then carefully ground into samples with an aspect ratio of 2:1 for the compression tests. The four side faces of samples are also carefully polished for the structural characterization after deformation. The uniaxial compression tests were performed with an Instron 5869 electromechanical test system with the maximum load of 50 KN at a constant strain rate of $2.5 \times 10^{-4}$s$^{-1}$. The strain were measured by a laser extensometer (Fiedler) attached to the testing machine. Each compression test was repeated at least three times to ensure the reproducibility of deformation results. After deformation, the morphology of the shear bands as well as the fracture surface are investigated by the scanning electron microscopy (SEM, Gemini 1530). The structure nature of as-cast as well as post-deformed samples is examined by the X-ray diffraction (XRD, PANalytical X’Pert PRO) with Co-Ka radiation (the wave length $\lambda = 1.7902$ nm) and an Zeiss Axiophot Optical Microscopy (OM).

2.2. Microstructures of the Cu$_{48}$Zr$_{48}$Al$_4$ BMG Composite

At first, we need to choose a CuZr-based BMG as the base material. According to the previous study (Qiao et al., 2016; Yu and Bai, 2008), the glass-forming ability (GFA) and plasticity of the Cu-Zr-Al ternary BMGs strongly depends on the content of Al. On one hand, it was reported that, when the content of Al is around 4~5% (in atomic percent),
the Cu-Zr-Al BMGs could possess a relatively large value of Poisson’s ratio and show a plastic strain of 18% before failure (Das et al., 2005; Yu and Bai, 2008). On the other hand, the Cu$_{48}$Zr$_{48}$Al$_4$ BMG can be cast into a fully amorphous 2-mm-diameter rod or a 1-mm-thickness plate (Mei-Bo et al., 2004), indicative of a very good GFA better than that of the typical binary Cu$_{50}$Zr$_{50}$ BMG. It was noticed that further addition of Al into the Cu$_{48}$Zr$_{48}$Al$_4$ BMG would enhance the GFA but greatly deteriorate the plasticity. Therefore, we finally chose the Cu$_{48}$Zr$_{48}$Al$_4$ BMG as the matrix material and cast it into a 2-mm-thick plate to obtain the BMG composite. Figure 1 shows the typical XRD pattern of the as-cast sample taken from the lowest part of the plate (the part with highest cooling rate), from which one can clearly see the crystalline peaks superimposed on the broad halo of the amorphous matrix. The majority of the crystalline peaks can be easily identified to be the B2 CuZr phase while the (001) peak of the martensitic phase B19' could be also found, suggesting a small volume fraction of the B19' phase in the as-cast sample. In Ref.34, a similar phenomenon was observed and the small amount of the B19' phase was attributed to the residual-stress induced martensitic transformation of the B2 phase during solidification (Song et al., 2012b). After the deformed to 8%, obvious crystalline peaks of the martensite phase (B19') appeared in the XRD pattern (see Fig.1(b)), indicating that some B2 phases underwent a martensite phase transition during the deformation.

As aforementioned, the size, morphology and distribution of the B2 CuZr phase in the glassy matrix are very sensitive to the alloy composition and experimental parameters, such as the cooling rate and the melting temperature employed for the casting process (Pauly et al., 2009; Song et al., 2012a; Wu et al., 2011b). Furthermore, recent studies (Liu et al., 2012) also showed that a homogeneous distribution of B2 particles could be achieved by the minor addition of some alloying elements, such as Co and Ta, into the glassy matrix. Figure 2 displays the morphology and distribution of the B2 CuZr phase on the cross-sections of our as-cast samples. As seen in this figure, there is a homogeneous distribution of the spherical B2 phases in the glassy matrix, which is different from those typically reported Cu-Zr-Al composites, where the heterogeneous distribution of the B2 phases and even the dendritic structure can be found (Pauly et al., 2009; Song et al., 2012a). As shown
Figure 1: The XRD curves for the as-cast and deformed Cu$_{48}$Zr$_{48}$Al$_4$ (up to $\epsilon_p = 8\%$) metallic glass composites. The B2 phase and martensite phase are identified.
in Fig.2, the diameters of these spherical particles are in the range of 25-100 µm with an estimated average of ∼42 µm. Here, it is worth noting that the spherical B2 phases are distributed in the 3D glassy matrix and therefore their volume fraction can be not simply estimated from the 2D cross sectional view, as shown in Fig.2. However, by assuming a thin foil projection (Pauly et al., 2010a), the volume fraction of the B2 phases can be roughly estimated as:

\[ f_{B2} = \left( \frac{-2\pi \bar{D}_{B2}}{\pi \bar{D}_{B2} + 8t} \right) \ln(1 - A) \]  

(1)

where \( \bar{D}_{B2} \) is the mean diameter of B2 spheres (here, \( \bar{D}_{B2} = 42 \) µm), \( t \) is the thickness of the foil and is roughly equal to \( \bar{D}_{B2} \), and \( A \) is the project area fraction from the cross section (for example, \( A \approx 8\% \) for Fig.2a). The volume fraction of B2 CuZr phase calculated by Eq.1 is about 5-8% for all the samples obtained via the copper-mold casting after an appropriate selection of the casting parameters.

2.3. The Stress-Strain Curve of Cu\textsubscript{48}Zr\textsubscript{48}Al\textsubscript{4} BMG Composite

Subsequently we performed uniaxial compression tests on the Cu\textsubscript{48}Zr\textsubscript{48}Al\textsubscript{4} composite samples. To investigate shear band evolution, the compression tests were deliberately stopped at the different strains (4%, 8%) for an SEM observation. Figure 3 shows the typical stress-strain curves obtained from these composites. By comparison, these curves display the similar yield strength ∼1.5 GPa or the elastic limit of ∼2% with those of the corresponding monolithic BMGs; however, the BMG composite exhibits much better ductility with a plastic strain at least 10% before the final failure occurs. More importantly, work hardening can be observed during the deformation of the present BMG composite although it may not be as pronounced as that reported in the previous studies[20, 35], which may be due to the small volume fraction of the B2 phases (5-8%) in the current composite. Finally, the BMG composite sample failed by the shear fracture along two shear planes initiated from two adjacent sample sides [Fig.4(c)], rather than alone one single primary fracture plane as seen for most monolithic BMGs. In addition, a close examination of the stress-strain curves
Figure 2: The microstructure of as-cast Cu$_{48}$Zr$_{48}$Al$_{4}$ metallic glass composite plate under optical microscope. One can see that spherical B2 phases are homogeneously distributed in the glassy matrix.
Figure 3: The stress-strain curves of Cu_{48}Zr_{48}Al_{4} metallic glass composites deformed to different strain levels (4%, 8% and final fracture) in compression. The inset shows that the stress begins to deviate the linear elasticity at about 1 GPa before the nominal yielding point.

(see the enlarged view in the rectangular region in Fig.3) shows that the deviation of the stress-strain curves from linear elasticity occurs at ~1 GPa before the nominal yielding point sets out at ~1.5 GPa. This is similar to the first-yielding-point phenomenon as seen in the triple-yielding-behavior of the CuZr-based BMG composites that contain a large volume fraction of B2 phases(Song et al., 2012b). This makes sense since the activation stress for MT of B2 is known to be lower than the typical yielding strength of a glassy matrix(Pauly et al., 2009). Therefore, the initial yielding point of ~1 GPa (it makes sense to see that the yield stress of B2 is much less than BMG materials) as we herein observed strongly indicates that the MT of B2 occurs prior to the overall yielding of the composite, which causes stress redistribution in the glassy matrix and thus affects the subsequent shear banding, as will be discussed in the later text.
2.4. Shear-Band Morphology in BMG Composite

After the deformation, the surface shear-band morphology was systematically investigated under SEM. As shown in Fig. 4, multiple shear bands can be clearly observed. Especially, many fine and wavy shear bands can be found around the crystalline phases. These shear bands are sparsely distributed at the relatively low strain ∼4% and gradually become densely populated across the whole sample with the increasing strain, as shown in Fig. 4(b) and (c), respectively. A careful examination of these SEM images reveals that these complex shear bands can be classified into three types. As indicated by the black arrows in Fig. 5, the type-I shear bands are within the glassy matrix and away from the crystalline particles. Like the shear bands in monolithic BMGs (Sun et al., 2010), the type-I shear bands are oriented along the inclined direction of about 45° to the loading direction, conforming to the direction of the maximum shear stress. Here it is worth mentioning that the type-I shear bands could be deflected and change their direction of propagation when they approach the crystalline particles, as shown in Fig. 5(b) and (c). By comparison, the Type-II shear bands seemingly "emanate" from the crystalline phases and are well aligned along the direction of the martensitic transformation "stripes". Compared to the type-I shear bands, these type-II shear bands are short and finely spaced, which disappear within a short distance from the glass/crystalline interface, as indicated by the red arrows in Fig. 5. Since the B2 particles embedded in the glassy matrix have different crystal orientations, the direction of the martensitic transformation "stripes" also varies from one particle to another. As a result, there is no fixed direction for the type-II shear bands. Finally, it is worth pointing out that the type-III shear bands, are also attached with the crystalline phases and are oriented along the fixed direction perpendicular to the loading axis, as indicated by blue arrows. According to our SEM observations, the three types of shear bands mutually interact and intersect with each other, which contributes to the overall plasticity in the BMG composite and also provides the vivid experimental evidence to decode the underlying deformation mechanisms.
Figure 4: The SEM images shows the overall morphology of Cu$_{48}$Zr$_{48}$Al$_4$ samples after the deformation: (a) 4%; (b) 8% and (c) the final fracture.
Figure 5: The shear-band distributions on the surface morphology of the deformed Cu_{48}Zr_{48}Al_{4} samples. Three types of shear band are identified and labeled by black, red and blue arrows, respectively.
3. Theory

Theoretically, the martensitic transformation of the embedded B2 phase results in a stress and strain redistribution in the glassy matrix, which may cause the deflection of a propagating shear band, such as the type-I shear band (Fig. 5(d)), and/or even affect the initiation of an embryonic shear band, such as the type-II and the type-III shear band (Fig. 5a), during the transience of a phase transformation. However, this transient effect is difficult to simulate in the conventional FEM (Leblond et al., 1989) only dealing with quasi-static equilibrium. Unlike the previous studies (Liu et al., 2014; Wu et al., 2011b), here we derive the analytical expressions for the stress/strain field arising from the martensitic transformation of the B2 phases by using the Eshelby theory (Eshelby, 1957; Weinberger C, 2005). Before proceeding, it is worth noting that, in Eshelby theory (Eshelby, 1957), a region is termed as an inclusion if it has the same elastic constants as the "matrix" material while a region is termed as an inhomogeneity if it does not. For consistency, the same terminologies are also adopted in the following analysis.

In principle, a typical Eshelby problem, which entails the inelastic deformation of inclusion/inhomogeneity in an elastic matrix, can be solved following three imaginary steps (Eshelby, 1957). As shown schematically in Fig. 6, at step I, one takes an inclusion out of the matrix and let it undergo an unconstrained transformation as characterized by the transformation strain field $\epsilon_{ij}^{T}$; at step II, elastic surface tractions $\sigma_{ij}^{T}$ are imposed onto the surface of the transformed inclusion such that it can fit back into the original matrix; at step III, the surface tractions are removed while the inelastic deformation remains, which leads to the stress/strain redistribution in the inclusion and elastic matrix. Since previous experimental studies (Pauly et al., 2009) already showed that the elastic constants of the B2 phase are very close to those of the glassy matrix, the B2 phase can be here treated as an Eshelby inclusion, which is inserted in the homogeneous glassy matrix with the shear modulus $G$ and Poisson’s ratio $\nu$. Following the Eshelby’s approach as described above, we obtain the following expression for the elastic strain $\epsilon_{ij}^{C}$ in the matrix which is caused by the inelastic strain, occurring to a spherical inclusion with a radius $a$, during the martensitic transformation.
(see the detailed derivation in Appendix A), which reads:

\[
\epsilon_{ij}^C = \frac{1}{2}(u_{ij}^C + u_{ji}^C) = \frac{\sigma_{kl}^T}{10G(1-\nu)} \left[ \frac{5x_ix_jx_kx_l - r^2(x_kx_l\delta_{ij} + x_kx_l\delta_{jl})}{r^4} \right] + \\
\frac{\sigma_{kl}^T}{6G(1-\nu)} \left( \frac{a^3}{r^3} \right) \left( 1 - 2\nu \right) + \frac{3}{5} \left( \frac{a^2}{r^2} \right) \left[ \frac{2r^2\delta_{ijl} - 3(x_kx_l\delta_{ij} + x_kx_l\delta_{jl})}{2r^2} \right] + \\
\frac{\sigma_{kl}^T}{4G(1-\nu)} \left( 1 - \frac{a^2}{r^2} \right) \left( \frac{a^3}{r^3} \right) \left[ \frac{r^2(x_kx_l\delta_{ij} + x_i\delta_{kl} + x_j\delta_{kl}) - 10x_i\delta_{ij}}{r^4} \right]
\]

where \( r \) is the magnitude of the position vector for the centroid of the inclusion; \( x_i \) is in the Cartesian coordinate \((i = 1, 2, 3)\), and \( \delta_{ij} = 1 \) for \( i = j \), and \( = 0 \) otherwise. Meanwhile, the surface traction \( \sigma_{ij}^T \): 
\[
\sigma_{ij}^T = \lambda \epsilon_{mm}^T \delta_{ij} + 2\mu \epsilon_{ij}^T,
\]
where \( \lambda \) and \( \mu \) are Lamé constants of the inclusion. In the inclusion, the elastic strain \( \epsilon_{ij}^C \) is homogeneous and related with the inelastic strain \( \epsilon_{ij}^T \) through 
\[
S_{ijkl}\epsilon_{ij}^C = \epsilon_{ijkl}^C \epsilon_{kl}^T \quad \text{where} \quad S_{ijkl} = \frac{5\nu - 1}{15(1-\nu)} \delta_{ijl} + \frac{4-5\nu}{15(1-\nu)} (\delta_{ikl}\delta_{jk} + \delta_{ili}\delta_{jk}) \quad \text{(Weinberger C, 2005)}. \]

As a result, the additional elastic stress \( \sigma_{ij}^C \) in the matrix as caused by the martensitic transformation can be expressed as 
\[
\sigma_{ij}^C = \lambda \epsilon_{mm}^C \delta_{ij} + 2\mu \epsilon_{ij}^C.
\]

while that \( \sigma_{ij}^I \) in the inclusion as 
\[
\sigma_{ij}^I = \sigma_{ij}^C - \sigma_{ij}^T = \lambda(\epsilon_{mm}^C - \epsilon_{mm}^T)\delta_{ij} + 2\mu(\epsilon_{ij}^C - \epsilon_{ij}^T),
\]
since there is already a stress \(-\sigma_{ij}^T\) in the inclusion at the end of step II. Finally, the total stress in the matrix (or inclusion) is simply the sum of \( \sigma_{ij}^C \) (or \( \sigma_{ij}^I \)) and the external applied stress \( \sigma_{ij}^A \). Here, it should be noted that both \( \sigma_{ij}^C \) and \( \sigma_{ij}^I \) are short-lived or instantaneous, which appear during the transience of the martensitic transformation and vanish with the phase transformation being completed.

After the B2 phase is transformed into the martensitic phase, elastic mismatch arises because the newly formed martensitic phase possesses elastic constants different from the glassy matrix according to the previous experiments(Pauly et al., 2009; Wu et al., 2010). Consequently, this gives rise to an elastic stress field, which may be termed as elastic constraining stress, being superimposed onto the applied stress. According to the Eshelby theory(Eshelby, 1957), the effect of the elastic mismatch on the stress/strain distribution
within the composite with an inhomogeneity is equivalent to applying an effective transformation strain $\epsilon^T_{ij}$ to an inclusion with the size of the inhomogeneity. Assume that the bulk (or shear) modulus of the martensitic phase is $K_1$ (or $G_1$) while that of the glassy matrix is $K$ (or $G$), it can be derived that the effective transformation strain $[\epsilon^T_{ij} = (1/3)\epsilon^T \delta_{ij} + \epsilon^T'_{ij}]$ with $\epsilon^T = \epsilon_{mm}$ can be expressed as:

$$\epsilon^T = A\epsilon^A, \quad \epsilon^T_{ij} = B'\epsilon^A_{ij}$$  \hspace{1cm} (3)

where $A$ and $B$ are the two coefficients which are functions of the elastic properties of the inhomogeneity and matrix:

$$A = \frac{K_1 - K}{(K - K_1)\alpha - K}, \quad B = \frac{G_1 - G}{(G - G_1)\beta - G}$$  \hspace{1cm} (4)

with $\alpha = (1/3)(1 + \nu)/(1 - \nu)$ and $\beta = (2/15)(4 - 5\nu)/(1 - \nu)$. Here the applied far-field strain $\sigma^A_{ij}$ can be expressed in terms of the volumetric ($\epsilon^A$) and deviatoric ($\epsilon^A_{ij}$) component, in which $\epsilon^A = \sigma^A/3K$, $\epsilon^A_{ij} = \sigma^A_{ij}/2G$, according to the Hook’s law. With the knowledge of the $\sigma^T_{ij}$ from Eqs.2 and 3, the elastic stress field due to the inhomogeneity can be readily
obtained with the same approach as described for an Eshelby inclusion. Likewise, the total stress in the matrix (or the inhomogeneity) is the sum of $\sigma_{ij}^C$ (or $\sigma_{ij}^I$) and the applied stress $\sigma_{ij}^A$.

4. Analysis and Discussion

4.1. Shear band distribution around crystalline phases

Based on the above analyses, we obtained the analytical expressions for the stress/strain fields in the amorphous matrix composite during the transience of the martensitic transformation and after the transformation. Next, we would study the shear band formation in the BMG composite based on these important results. For simplicity, let us neglect the subtle pressure effect (Schuh et al., 2007; Wang et al., 2015), although there is no technical difficulty to incorporate the pressure effect into the current analysis, and assume that shear band formation in the glassy matrix simply follows von Mises stress criterion. For the stress field $\sigma_{ij}$ ($\sigma_{ij} = (1/3)\sigma_{mm}\delta_{ij} + \sigma_{ij}'$), the von Mises stress is calculated according to: $\sigma^v = \sqrt{(3/2)s_{ij}s_{ij}}$ with $s_{ij} = \sigma_{ij}'$.

First, let us calculate the instantaneous stress field during the martensitic transformation.
of the B2 phases. Since the martensitic transformation is essentially shearing induced, the transformation strain (or eigenstrain) $\epsilon_{ij}^T$ is traceless or does not involve any volumetric change ($\epsilon_{ii}^T = 0$). Consequently, the traceless strain tensor $\epsilon_{ij}^T$ can be written as $\epsilon_{ij}^T = 2(\lambda_n + \lambda_k)n_in_j + 2(\lambda_k + \lambda_n)k_ik_j - (\lambda_n + \lambda_k)\delta_{ij}$ where $\lambda_n$, $\lambda_m$, $\lambda_k$ are the eigenvalues of the deformation tensor or the three principal strains with the principal axes aligning along the unit vectors in a Cartesian coordinate system (Dasgupta et al., 2013, 2012). Here, we choose the coordinates so that the principal axis with the unit vector $\mathbf{m}$ coincides with the $z$ axis (see Fig. 7). As such, $\epsilon_{ij}^T$ can be simplified into the form of plane strain: $\epsilon_{ij}^T = \epsilon^T (2n_in_j - \delta_{ij})$, where $\epsilon^T$ is the magnitude of the transformation strain. Note that all components of the strain tensor $\epsilon_{ij}^T$ are zero except $\epsilon_{12}^T$ and $\epsilon_{21}^T$. Furthermore, we can take $n_1 = \cos(\theta - \pi/4)$ and $n_2 = \sin(\theta - \pi/4)$ with $\theta$ being the angle between the shear direction and the $x$ axis, as shown in Fig. 6. In such a case, the direction of the martensitic transformation is symbolized by the angle $\theta$, which can be also used to track the direction of shear banding that is directly associated with the martensitic transformation. Once $\epsilon_{ij}^T$ is known, we can obtain $\sigma_{ij}^T$ according to $\sigma_{ij}^T = \lambda \epsilon_{mm}^T \delta_{ij} + 2\mu \epsilon_{ij}^T$. Afterwards, we can obtain the explicit expression for $\epsilon_{ij}^C$ in the $x-y$ plane by substituting $\sigma_{ij}^T$ into Eq.2 (see Eq.B.2 in the Appendix B). For the calculation of the stress/strain field, typical values are chosen as: $\epsilon^T = 0.01$, $G = 33$ GPa, $\nu = 0.373$ (Pauly et al., 2009) and the far-field uniaxial compressive stress $\sigma^A$ is oriented along the $y$ axis. Since the martensitic transformation takes place before the overall yielding occurs in the glassy matrix, here we take $\sigma^A = 1$ GPa according to our experimental results (Fig. 3).

Figure 8(a)-(b) display the contour plots of the von Mises stress $\sigma^v$ in the vicinity of a transforming spherical B2 phase for two shear angles (a) $\theta = 45^\circ$ and (b) $\theta = 60^\circ$. From these figures, one can see clearly that $\sigma^v$ is constant inside the crystalline phase but exhibits strong position dependence in the glassy matrix, as consistent with the Eshelby theory (Eshelby, 1957). As can be seen, $\sigma^v$ is small (generally less than 1 GPa) in the regions straying away from the direction of the martensitic transformation, such as those colored in blue, while becomes intensified in the regions aligning with the direction of the martensitic transformation, such as those colored in red. This is particularly so for the
Figure 8: The von Mises stress ($\sigma^v$) distribution due to the MT in the vicinity region of spherical phase of $x - y$ plane. Two mappings with $\theta = 45^\circ$ (a) and $\theta = 60^\circ$ (b) are showed here to track the variation of $\sigma^v$ with the MT shear direction. The arrows indicated the regions with maximum von Mises stress.
high transformation angle $\theta = 60^\circ$, at which the maximum value of $\sigma^v$ could exceed the macroscopic yield stress of the glassy matrix ($\sim 1.5$ GPa) in the directions close to that of phase transformation (as indicated by the arrows in Fig. 8(b)). This indicates that these regions are the preferable sites for shear-band initiation in the glassy matrix during the martensitic transformation. Since the maximum $\sigma^v$ always appear in the direction aligning with the transformation angle $\theta$, the formation of the type II shear bands, as observed in our experiments (Fig. 5), can be rationalized as a direct result of the stress redistribution in the glassy matrix during the martensitic transformation of the B2 phases.

Next, we would like to calculate the stress/strain distribution in the BMG composite after the martensitic transformation of the B2 phases. For a uniaxial compressive stress ($\sigma^A < 0$) along the $y$ axis, the effective "transformation" strain or eigenstrain $\epsilon^T_{ij}$ can be written as:

$$\epsilon^T_{ij} = \left( A\sigma_A /9K \right) \delta_{ij} + \left( B\sigma_A /6G \right)(3n_in_j - \delta_{ij})$$

(5)

where $A$ and $B$ are the two coefficients associated with the elastic mismatch between the martensitic phase and the glassy matrix. Note that the uniaxial loading along the $y$ axis corresponds to $n_1 = n_3 = 0$ and $n_2 = 1$; the first term in the right hand side of Eq.5 is for pure dilation while the second term for pure shear. Likewise, for the pure shear term in Eq.5, the resultant elastic stress/strain field can be derived following a very similar procedure as that used for the transience of the martensitic transformation of the B2 phases; while for the pure dilation term $\epsilon^T_{kl} = \epsilon_d \delta_{kl}$, where $\epsilon_d$ is the magnitude of the dilatational strain, an explicit expression for the corresponding stress/strain field could be also obtained (see Eq.C.1). Afterwards, based on the principle of superposition in linear elasticity, one can obtain the elastic strain/stress field and thus the von Mises stress distribution in the presence of a martensitic phase in the glassy matrix. At the present time, there are no available data reported for the elastic modulus of the CuZr martensitic phase. However, according to the measured hardness data (Wu et al., 2010), the elastic modulus of the martensitic phases should be larger than that of the B2 phases. As a result, this yields the negative values of $A$ and $B$, which means that a compressive external strain $\epsilon^A < 0$ always corresponds to
a tensile effective eigenstrain ($\epsilon^T > 0$), and *vice versa*. As will be shown in the later text, this behavior has an important effect on the stability of the particle-glass interface and thus the ductility of the CuZr BMG composite under different loading condition (compression or tension).

According to Eq. 4 the values of $A$ and $B$ are a function of the elastic constant difference $x$ [$x = (C_1 - C)/C$, $C$ denotes $K$ or $G$]. Here, we take the typical values of $A$ and $B$ at $x = 0.25$ and 0.50 and calculate the corresponding von Mises stress distribution in the $x-y$ plane, as shown in Fig. 9 (a) and (b) respectively. In this case, the maximum values of $\sigma^v$ appear close to the martensitic phase and the direction of the maximum von mises stress is perpendicular to the loading axis, as indicated by the arrows in Fig. 9(a) and (b). In addition, the variation of $x$ only changes the intensity of $\sigma^v$, but the distribution of $\sigma^v$ remains the same. This behavior indicates that, as the external load continues increasing after the martensitic transformation, the elastic mismatch between the martensitic phase and the glassy matrix will cause shear-band initiation in the direction vertical to the loading axis, which is exactly what we observed in the experiments, that is, the type III shear bands as seen in Fig. 5.

4.2. Mechanistic mechanism for the strain hardening ability

Compared to monolithic BMGs, one of prominent deformation features of Cu-Zr based BMG composites is their strain or work hardening ability (Hofmann, 2010; Wu et al., 2010). According to the prior works (Hofmann, 2010; Pauly et al., 2010b; Wu et al., 2010), the observed work hardening in the CuZr based BMG composites cannot be solely attributed to the intrinsic strain hardening of the B2 phases, but also arises from a constraining effect of the glassy matrix on the martensitic transformation and the subsequent deformation of the transformed phases. In theory, this constraint effect, also called Eshelby back stress effect, increases the elastic energy stored in the whole composite system, which leads to an increase in the applied stress and thus manifests as strain hardening in the BMG composite. Now, with the obtained expressions for the various stress/strain fields, the contribution to the strain hardening from this constraint effect can be quantitatively analyzed. According
Figure 9: The von Mises stress ($\sigma_v$) distribution due to the constraint of glassy matrix on deformation of martensite phases in the vicinity region of a spherical phase of $x - y$ plane. Two mappings with $x = 0.1(a)$ and $x = 0.2(b)$ are showed here. The arrows indicated the regions with maximum von Mises stress.
to Eshelby’s theory (Eshelby, 1957), the total increase in the elastic energy storage $E_1$ in the BMG composite during the martensitic transformation, i.e. for a spherical inclusion undergoing a pure shear $\epsilon_{ij}^T = \epsilon^T(2n_in_j - 1)(i, j = 1, 2$ and $n_3 = 0)$, can be written as:

$$E_1 = \frac{2(7 - 5\nu)}{15(1 - \nu)} G(\epsilon^T)^2 V + \sigma^A \epsilon^T \phi V \quad (6)$$

where $V$ is the volume of the inclusion. Note that the first term at the right-hand side of Eq. 6 is the strain energy stored due to the martensitic transformation of the inclusion and the second term is the strain energy stored due to the interaction of the elastic field of the transforming inclusion and the external stress field, i.e. the uniaxial far-field stress $\sigma^A$ along the $y$ axis, which can be generally expressed as $\int_V \sigma^A_{ij} \epsilon_{ij}^T dv$ (Eshelby, 1957). Since the only non-zero component of $\sigma^A_{ij}$ is $\sigma^A_{22} = \sigma_A$, $\int_V \sigma^A_{ij} \epsilon_{ij}^T dv = \sigma^A \epsilon^T_{22} V$ with $\epsilon^T_{22} = \epsilon^T \phi(n, m, k)$, where $\phi$ is a parameter that is a function of the orientation of the B2 phase. It should be noted here that the elastic energy $E_1$ is instantaneous and vanishes after the phase transformation.

On the other hand, after the phase transformation, the strain energy stored $E_2$ due to the interaction of the elastic field of the inhomogeneity with the external uniaxial stress field is (Weinberger C, 2005):

$$E_2 = -\frac{1}{2} \int_V \sigma^A_{ij} \epsilon_{ij}^T dv = -\frac{1}{2} (\sigma^A)^2 \left( \frac{A}{9K} + \frac{B}{3G} \right) \quad (7)$$

Note that the negative sign in the above expression makes $E_2$ positive because the coefficient $A$ and $B$ are both negative.

Assume that the number of the transformed B2 phases is $N_f$, where $N$ is the total number of the B2 phase per volume in the undeformed sample and $f$ is the accumulated percentage of transformation. Hence, the number of the B2 phases undergoing the martensitic transformation can be written as $NP(1 - f)$, where $P$ is the instantaneous probability for a B2 phase to undergo the martensitic transformation. As a result, the total elastic energy stored is:

$$E_{total} = NP(1 - f) \bar{E}_1 + NfE_2 \quad (8)$$

where $\bar{E}_1$ is the elastic energy $E_1$ averaged over all possible orientations of the B2 phases. The accumulated fraction of the transformed B2 phases, $f = f(\epsilon_y)$, is assumed to be a
single-valued function of the external strain $\epsilon_y$. As a result of the additional elastic energy storage (Eq. 8), the applied stress has to increase, resulting in strain hardening. The strain hardening coefficient $\delta$ is defined as $\delta = d\sigma/d\epsilon_y = d^2E_{\text{total}}/d\epsilon_y^2$. From Eq. 8, we could obtain $\delta = N(E_2 - P\bar{E}_1)(d^2f/d\epsilon_y^2)$. From the simple linear-growth model, one infer that the transformation rate $df/d\epsilon_y = (1 - f)/\epsilon_0$, i.e., the transformation rate of the B2 phases be proportional to the volume fraction $(1 - f)$ of un-transformed B2 phases and $\epsilon_0$ denotes a reference strain. Solving the above equation gives $f(\epsilon_y) = 1 - \exp(-\epsilon_y/\epsilon_0)$. Combining this equation with the expression for $\delta$, we finally obtain $\delta = \delta_0 \exp(-\epsilon_y/\epsilon_0)$ with $\delta_0 = N(P\bar{E}_1 - E_2)/\epsilon_0^2$. To verify our modeling, we collected the strain hardening coefficients as a function of the plastic strain from the stress-strain curves, as reported in the literature (Pauly et al., 2009; Wu et al., 2010), for the CuZr-based BMG composites with different volume fractions of B2 phases. As show in Fig. 10, it is evident that our theoretical modeling is in excellent agreement with the experimental data, which indicates that the strain hardening ability of the Cu-Zr based metallic glass composites can be attributed to the Eshelby back stress effect, as proposed in the previous works (Pauly et al., 2010b). Since the volume fraction of the B2 phases is proportional to $N$, we can thus infer, the strain hardening coefficient should increase with the volume fraction of the B2 phases in a linear way, which is consistent with the previous studies (Song et al., 2013). In addition, the dependence of the strain hardening coefficient $\delta_0$ on the term $\delta_0 = N(P\bar{E}_1 - E_2)/\epsilon_0^2$ implies that one has to ensure $NP\bar{E}_1 > E_2$ in order to retain a positive strain hardening coefficient. In other words, in terms of achieving the strain hardening ability and tensile ductility in the BMG composites, it is beneficial to reduce the extent of the elastic mismatch (as for a low $E_2$) and/or to design the orientation of the B2 phases for a high and efficient instantaneous transformation probability $P$.

4.3. Stress-affected zones (SAZ) of crystalline phases and their effects on ductility

Based on the experimental results (Fig. 5) and theoretical analyses, it is clear that there is a stress-affected zone (SAZ) for every B2 phase embedded in the glassy matrix, within which the martensitic transformation can lead to the formation of type II and III
Figure 10: The variation of the work-hardening coefficient with the applied strain for different volume fraction of B2 phases collected from literatures. These data points are well fitted by the exponential decaying function \( \delta = \delta_0 \exp\left( -\frac{\epsilon_y}{\epsilon_0} \right) \). The values of fitting parameters \( \delta_0 = 5.6 \times 10^4 \) MPa, \( \epsilon_0 = 0.01 \) for \( V_f = 10\% \); \( \delta_0 = 5.3 \times 10^4 \) MPa, \( \epsilon_0 = 0.017 \) for \( V_f = 25\% \) and \( \delta_0 = 4.2 \times 10^5 \) MPa, \( \epsilon_0 = 0.008 \) for \( V_f = 32\% \).
shear bands. From an experimental viewpoint, the extension of these shear bands could be used to estimate the SAZ size. Theoretically, we can assume the SAZ to be a cubic region for simplicity and the side length $b$ of the SAZ can be estimated from the decay of the constraining stress with the distance $r$ from the center of the B2 phase. Figure 11 (a) and (b) show the variation of the von-Mises stress with $r$ along the propagation direction of type II ($\theta = 60^\circ$) and III shear bands, respectively. As seen in these figures, the von Mises stress varies significantly in the proximity of the crystalline phase but approaches to the far field stress far away. For type II shear bands, $\sigma^v$ reaches the external stress level when the distance $r > 3.5a$, which corresponds to $b \sim 2.5a$; while for type III shear bands, this distance can be set as $2.5a$, which also corresponds to $b \sim 2.5a$. Therefore, we can safely choose $b = 2.5a$ as a theoretical estimate of the SAZ size, beyond which the back stress effect caused by the martensitic transformation can be neglected.

The distribution of the SAZs in the glassy matrix could have a significant effect on the deformation stability and ductility of the Cu-Zr based metallic-glass composites. For a composite with a dilute B2 concentration, the final fracture of the composite can take place along the path of the type I shear band as caused by the external stress. Therefore, the stability of the type I shear bands determines the overall ductility of the metallic-glass composite, as in monolithic metallic glasses(Han et al., 2009; Song et al., 2008; Torre et al., 2010). However, a large population of SAZs could deflect the propagation of the type I shear band, thus delaying the occurrence of shear-banding instability as illustrated in Fig. 3(b) and Fig. 11(c). Since the type I shear band propagates through the regions between the SAZs, the smaller is the spacing $L$ between two adjacent SAZs the more effectively is SAZs in shear-band deflection. For a homogeneous distribution of the crystalline phases, $L$ can be estimated as $b = 1/\sqrt{V_f/(4\pi a^3/3)} - b$ by taking the composite sample to be a cubic shape with a unit length, where $V_f$ is the volume fraction of the crystalline phases. From this expression, one can see that $L$ decreases with the increasing $V_f$, which indicates that a higher volume fraction of crystalline phases can lead to a better ductility of the metallic-glass composite, as consistent with the reported experimental results(Pauly et al., 2009). However, as $V_f$ increases and the SAZs begin to overlap, $L$ will finally become zero when
Figure 11: Schematic diagram illustrating the stress affected zone (SAZ) due to the stress redistribution of the MT of B2 phases and the subsequent deformation of martensite phases and their deflection on the type I shear band during the deformation of CuZr based metallic glass composites.
$V_f$ reaches a critical value. When this happens, the deflection of the type-I shear bands is no longer the controlling mechanism for the deformation stability of the composite. Other mechanisms, such as interface debonding, may come into effect. Taking $b = 2.5a$ into the expression for $L$, one obtains the critical volume fraction $V_{fc} \approx 27\%$. Interestingly, this value of $V_{fc}$ is very close to the percolation threshold ($\sim 30\%$) as experimentally identified for the crystalline phases in the Cu-Zr metallic-glass composites (Pauly et al., 2009).

On the other hand, for a given $V_f$, $L$ decreases with the decreasing radius of the B2 phases. This implies a size effect of the crystalline phase on the ductility of the metallic-glass composites. Given a fixed volume fraction, the smaller is the size of the individual crystalline phase the denser is its spatial distribution. In turn, this leads to more effective deflection of the type-I shear bands and thus better ductility. However, to enhance the ductility of the metallic-glass composite, it can be envisioned that the size of the crystalline phases cannot be reduced infinitely because of the finite thickness of a shear band, which ranges from 10 nm to 100 nm[5]. When the size of a crystalline phase is comparable to the thickness of a shear band, it becomes less effective to deflect the propagation of the type-I shear band. Consequently, one may foresee an optimum size for the crystalline phase to enhance the overall ductility of the metallic-glass composites, the details of which deserve further theoretical and experimental studies.

4.4. Stability of amorphous/crystalline interface

Finally, we would like to consider the issue of the stability of the interface between the crystalline phase and the glassy matrix. The debonding of the interface has been observed during the final deformation stage of a Cu-Zr metallic glass composite under tensile loading (Wu et al., 2010). In principle, the crystalline-amorphous interface is considered stable under a compressive normal stress or a negative hydrostatic pressure ($\sigma_{ii} < 0$) but may debond under a tensile normal stress or a positive hydrostatic pressure ($\sigma_{ii} > 0$). Now let us calculate the hydrostatic pressure on the crystalline-amorphous interface caused by the martensitic transformation. According to the Eshelby theory (Eshelby, 1957), the mechanical
strain across the inclusion-matrix interface satisfies the following relation:

\[
\epsilon^{C}_{ii}(\text{out}) = \epsilon^{C}_{ii}(\text{in}) - \frac{1}{3} \left( 1 + \nu \right) \epsilon^{T}_{ii} - \frac{1}{3} \left( 1 - \nu \right) (\epsilon^{T}_{ij}g_{i}g_{j})
\]

where \(\epsilon^{C}_{ii}(\text{out})\) and \(\epsilon^{C}_{ii}(\text{in})\) denote the values of \(\epsilon^{C}_{ii}\) in the matrix and inclusion, respectively, as across the interface; \(g_{i}\) is the component of the unit vector \(\mathbf{g} = \mathbf{r}/r\) with \(\mathbf{r}\) being the vector ending at the matrix-inclusion interface. For the martensitic transformation of the B2 phase, \(\epsilon^{T}_{ii} = 0, \epsilon^{C}_{ii}(\text{in}) = S_{iikl} \epsilon^{T}_{kl} = 0, \epsilon^{T}_{ij} = \epsilon^{T}_{ij}\) and thus \(\epsilon^{C}_{ii}(\text{out}) = -\frac{2\nu}{1-\nu} \epsilon^{T}_{ij}g_{i}g_{j}\). Therefore, the interfacial hydrostatic pressure can be expressed as \(p = K \epsilon^{C}_{ii}(\text{out}) = -\frac{2\nu}{1-\nu} K \epsilon^{T}_{ij}g_{i}g_{j}\), which varies along the periphery of the crystalline-amorphous interface. Based on the analysis in the Appendix C, the maximum tensile pressure \((p^{T}_{\text{max}})\) is attained at the interfacial point with the vector \(\mathbf{r}\) coincident with the principal axis \(\mathbf{m}\) of \(\epsilon^{T}_{ij}\), which reads \(p^{T}_{\text{max}} = \frac{2\nu}{1-\nu} K \epsilon^{T}(1+\xi)\), where \(\xi = \lambda_{n}/(2\lambda_{n} + \lambda_{k})\) for \(\lambda_{n} > \lambda_{k} > \lambda_{m}\). While the maximum compressive pressure \((p^{C}_{\text{max}})\) is attained at the interfacial point with the vector \(\mathbf{r}\) perpendicular to the principal axis \(\mathbf{m}\) of \(\epsilon^{T}_{ij}\), which reads \(p^{C}_{\text{max}} = \frac{2\nu}{1-\nu} K \epsilon^{T}(1-\psi)\) where \(\psi = \lambda_{k}/(2\lambda_{n} + \lambda_{k})\). With these equations, we can roughly estimate the interfacial bonding strength between the crystalline phases and the amorphous matrix. This can be done by equating the maximum tensile hydrostatic pressure \(p^{T}_{\text{max}}\) to the interfacial bonding strength \(\sigma_{\text{inter}}\). By taking \(\epsilon^{T} \sim 0.01\), \(\xi \sim 0.5, K = 114 \text{ GPa}\) and \(\nu = 0.37\), the estimated interfacial bonding strength \(\sigma_{\text{inter}} = 700 \text{ MPa}\). This is slightly lower than the yield strength of the metallic-glass composite. In principle, based on the theoretical framework, we can extract the interfacial bonding strength if the value of \(\epsilon^{T}\) is exactly known or vice versa.

Following a similar procedure, the hydrostatic pressure acting on the crystalline-amorphous interface after the martensitic transformation can be derived as \(p = -\frac{2\nu}{1-\nu} (KB\sigma^{A}/6G)(3n_{1}n_{3} - \delta_{ij})g_{i}g_{j}\), where the principal axis of the effective transformation strain coincides with the external \(x - y - z\) axis, such that \(n_{1} = n_{3} = 0, n_{2} = 1\). For the case of uniaxial compression \((\sigma^{A} < 0)\), the the maximum tensile pressure \(p^{T}_{\text{max}} = \frac{2\nu}{1-\nu} K B \sigma^{A}/6G\) is attained at the points where the interface intersects the \(x\)-axis or \(z\)-axis; while the maximum compressive pressure \(p^{C}_{\text{max}} = \frac{2\nu}{1-\nu} K B \sigma^{A}/3G\) is attained at the points where the interface intersects the \(y\)-axis. For the case of uniaxial tension, the sign of the above pressures is changed but the magnitude
remains the same. Taking $B \sim 0.1 \sigma^A = 1.5$ GPa, $K = 114$ GPa, $G = 32$ GPa, $\nu = 0.37$, the estimated maximum tensile pressure is 37 MPa, which is much smaller than that due to martensitic transformation of B2 phases. Thus, the hydrostatic pressure due to the elastic mismatch between the martensite phase and the glassy matrix can be reasonably neglected in the estimation of the interface bonding strength.

5. Summaries and conclusions

In summary, salient conclusions can be drawn below based on our current experimental and theoretical studies:

(1) Three types of shear bands with distinct morphological characteristics are identified in the deformed metallic-glass composites. The type-I shear bands are oriented 45 degrees relative to the loading axis and related to the external stress field; while the type-II and type-III shear bands as seemingly joining or emanating from the crystalline phases have a different orientation. Based on the Eshelby theory, it is shown that the type-II shear bands result from the stress field caused by the martensitic transformation of the B2 phases, while the type-III shear bands result from the stress field caused by the elastic mismatch induced after the martensitic transformation.

(2) The back stress effect during the martensitic transformation and the elastic misfit effect afterwards act together and increase the elastic energy storage during the deformation of the metallic-glass composite, giving rise to work hardening.

(3) A stress-affected zone (SAZ) around the crystalline phase is defined based on our stress analysis. The presence of the SAZs rationalizes the phenomenon of the deflection of the type-I shear bands.

(4) The hydrostatic pressure acting on the crystalline-amorphous interface is derived. Depending on the loading modes, the maximum tensile pressure could be attained at different points along the interface. Interfacial debonding may take place once the tensile hydrostatic pressure exceeds the interfacial bonding strength.
Acknowledgements

Experimental assistance and insightful discussion with Prof. Z. P. Lu, Dr. Q. Wang, Dr. Z. Y. Liu, Dr. W. Jiao and Mr. Y. F. Ye, Mrs Y. M. Lu are appreciated. The work is supported by German Science Foundation under Leibniz Program (Grant No. EC111/26-1) and the Research Grant Council (RGC) of the Hong Kong government through the General Research Fund (GRF) with the account numbers CityU117612, 102013, 11209314, 9042066 and 9054013.

Appendix A. Derivation of the displacement field and the strain field for a spherical Eshelby inclusion

According to the Green’s function theory, the displacement at the position $r$ due to a point force $F_i$ at $r'$ in an infinite elastic medium is:

$$u_j(r - r') = \frac{1}{4\pi G} \frac{F_j}{|r - r'|} - \frac{1}{16\pi G(1-\nu)} F_i \frac{\partial^2}{\partial x_i x_j} |r - r'|$$  \hspace{1cm} (A.1)

where $G$ and $\nu$ are the shear modulus and Poisson’s ratio, respectively. According to Eq.A.1 and superposition principle of linear elasticity, the constrained displacement in the stage III as described in section 3 is:

$$u_i^c = \int_S dS_k \sigma^T_{ik} U_i(r - r')$$  \hspace{1cm} (A.2)

where the integration is performed on the surface of the spherical B2 phase $S$. Using the Gauss theorem and $\partial x_i = -\partial x'_i$ when acting on the $|r - r'|$, one obtains:

$$u_i^c = \int_v dv \sigma^T_{ik} U_i(r - r') = -\frac{1}{4\pi G} \sigma^T_{ik} \phi_{ik} + \frac{1}{16\pi G(1-\nu)} \sigma^T_{jk} \psi_{ijk}$$  \hspace{1cm} (A.3)

where $\psi = \int_v |r - r'| dv$ and $\phi = \int_v \frac{dw}{|r-r'|}$ are the biharmonic potential and the Newtonian potential of attracting matter of unit density filling the inclusion volume $v$.

For a spherical B2 phase, we use the spherical coordinate to integrate $\psi$ and $\phi$, respectively. In the integration, we choose the $z$ axis always aligning along the direction of vector $r$. By doing this, the $\psi$ and $\phi$ is integrated as:
\[ \psi = \int_v | \mathbf{r} - \mathbf{r}' | dv = \int_0^a \int_0^\pi \int_0^{2\pi} \sqrt{r^2 + r'^2 - 2rr' \cos \theta} r'^2 \sin \theta dr' d\theta d\varphi \]
\[ = 2\pi \int_0^a \left[ 2rr'^2 + (2/3)(r'^4 / r) \right] dr' = \frac{4\pi r}{3} a^3 + \frac{4\pi}{15} a^5 \quad (A.4a) \]

\[ \phi = \int_v \frac{dv}{| \mathbf{r} - \mathbf{r}' |} = \int_0^a \int_0^\pi \int_0^{2\pi} \frac{r'^2 \sin \theta dr' d\theta d\varphi}{\sqrt{r^2 + r'^2 - 2rr' \cos \theta}} \]
\[ = 2\pi \int_0^a \int_0^\pi -r' d(r' \cos \theta) d\theta = \frac{4\pi a^3}{3r} \quad (A.4b) \]

Based on Eqs.A.4, we have:

\[ \psi_{,i} = \frac{4\pi a^3 x_i}{3} - \frac{4\pi a^5 x_i}{15} \frac{1}{r^3} \quad (A.5a) \]

\[ \psi_{,ij} = \frac{4\pi a^3}{3} \left( \frac{\delta_{ij}}{r} - \frac{x_i x_j}{r^3} \right) - \frac{4\pi a^5}{15} \left( \frac{\delta_{ij}}{r^3} - \frac{3x_i x_j}{r^5} \right) \quad (A.5b) \]

\[ \psi_{,ijk} = \frac{4\pi a^3}{3} \left( -\frac{x_i \delta_{jk} + x_j \delta_{ik} + x_k \delta_{ij}}{r^3} + \frac{3x_i x_j x_k}{r^5} \right) + \frac{4\pi a^5}{15} \left( \frac{3(x_i \delta_{jk} + x_j \delta_{ik} + x_k \delta_{ij})}{r^5} - \frac{15x_i x_j x_k}{r^7} \right) \quad (A.5c) \]

\[ \phi_{,k} = -\frac{4\pi a^3 x_k}{3} \frac{1}{r^5} \quad (A.5d) \]

Taking Eqs.A.5 into Eq.A.3, we get the constrained displacement due to the spherical inclusion:

\[ u_C^i = \frac{1}{6G(1-\nu)} \left[ (1 - 2\nu) \frac{a^3}{r^3} + \frac{3a^5}{5r^5} \right] \sigma_{ij}^T x_j + \frac{1}{4G(1-\nu)} \frac{a^3}{r^5} \left( 1 - \frac{a^2}{r^2} \right) \sigma_{jk}^T x_i x_j x_k \quad (A.6) \]

and the constrained strain \( e_{ij}^C \) (as shown in Eq.2 in the text) is readily obtained from \( e_{ij}^C = (u_{ij}^C + u_{ji}^C)/2 \).
Appendix B. Explicit expressions for the constrained strain field due to the MT and the presence of martensite phase in the matrix

For \((i, j = 1, 2)\), there are following equivalences (Dasgupta et al., 2012):

\[
\epsilon_{ij}^T x_j = \epsilon^T [2n_i (\mathbf{n} \cdot \mathbf{r}) - x_i] \quad (B.1a)
\]

\[
x_i^T \epsilon_{ij}^T x_j = x_i^T (2n_i n_j - \delta_{ij}) x_j = \epsilon^T (\mathbf{n} \cdot \mathbf{r})^2 - r^2 \quad (B.1b)
\]

Taking these equivalences into Eq.2 and noticing that \(\sigma_{ij}^T = 2G\epsilon_{ij}^T\), one could get the explicit expression for the constrained strain field due to a pure shear of eigenstrain in \(x-y\) plane:

\[
\epsilon^{C}_{ij} = \frac{\epsilon^T}{5(1 - \nu)} \left( \frac{a^5}{r^5} \right) \left\{ \frac{5x_i x_j [2(\mathbf{n} \cdot \mathbf{r})^2 - r^2] - 2r^2 [(\mathbf{n} \cdot \mathbf{r})(n_i x_j + n_j x_i) - x_i x_j]}{r^4} \right\} + \frac{\epsilon^T}{3(1 - \nu)} \left( \frac{a^3}{r^3} \right) \left\{ (1 - 2\nu) + \frac{3}{5} \left( \frac{a^2}{r^2} \right) \right\} \left\{ \frac{2r^2(2n_i n_j - \delta_{ij}) - 6[(\mathbf{n} \cdot \mathbf{r})(n_i x_j + n_j x_i) - x_i x_j]}{2r^2} \right\} + \frac{\epsilon^T}{2(1 - \nu)} \left( 1 - \frac{a^2}{r^2} \right) \left( \frac{a^3}{r^5} \right) \left\{ \frac{(r^2 \delta_{ij} - 10x_i x_j)[2(\mathbf{n} \cdot \mathbf{r})^2 - r^2] + 2r^2 [(\mathbf{n} \cdot \mathbf{r})(n_i x_j + n_j x_i) - x_i x_j]}{r^4} \right\} \quad (B.2)
\]

For \(\epsilon_{kl}^\nu = \epsilon_d \delta_{kl}, \sigma_{kl}^\nu = 3K \epsilon_{kl}^\nu = 3K \epsilon_d \delta_{kl}\), taking this into Eq.2 and noticing the equivalence: \(K/G = (2/3)(1 + \nu)/(1 - 2\nu)\), one could get the constrained stress field caused by a pure dilation of eigenstrain in the matrix:

\[
\epsilon^{C}_{ij} = \frac{9(1 - 2\nu)\epsilon_d}{20(1 + \nu)(1 - \nu)} \left( \frac{a^5}{r^5} \right) \left( \frac{3x_i x_j}{r^2} \right) + \frac{(1 - 2\nu)\epsilon_d}{4(1 + \nu)(1 - \nu)} \left( \frac{a^3}{r^3} \right) \left\{ (1 - 2\nu) + \frac{3}{5} \left( \frac{a^2}{r^2} \right) \right\} \left( \frac{r^2 \delta_{ij} - 3x_i x_j}{r^2} \right) + \frac{3(1 - 2\nu)\epsilon_d}{8(1 + \nu)(1 - \nu)} \left( 1 - \frac{a^2}{r^2} \right) \left( \frac{a^3}{r^3} \right) \left( \frac{r^2 \delta_{ij} - 8x_i x_j}{r^2} \right) \quad (B.3)
\]
where $\epsilon_d = A\sigma^2/9K$ for the equivalent eigenstrain due to the uniaxial compressive $\sigma^2$.

**Appendix C. The pressure analysis acting on the glassy matrix/crystalline interface due to MT**

In a Cartesian coordinate system, the traceless strain tensor $\epsilon^T_{ij}$ is in generally expressed as (Dasgupta et al., 2013):

$$\epsilon^T_{ij} = (2\lambda_n + \lambda_k)n_in_j + (2\lambda_k + \lambda_n)k_ik_j - (\lambda_n + \lambda_k)\delta_{ij} \quad (C.1)$$

where $\lambda_n, \lambda_m, \lambda_k$, are the eigenvalues of the strain tensor or the three principal strains with the principal axes aligning along the unit vectors $n \perp m \perp k$. Thus,

$$\epsilon^T_{ij}g_i = \epsilon^T_{ij}(x_i/r) = [(2\lambda_n + \lambda_k)((n) \cdot r)n_j + (\lambda_n + 2\lambda_k)((k) \cdot r)k_j - (\lambda_n + \lambda_k)x_j]/r \quad (C.2)$$

$$\epsilon^T_{ij}g_ig_j = \epsilon^T_{ij}(x_i x_j/r^2) = [(2\lambda_n + \lambda_k)((n) \cdot r)^2 + (\lambda_n + 2\lambda_k)((k) \cdot r)^2 - (\lambda_n + \lambda_k)r^2]/r^2 \quad (C.3)$$

When the $m$ aligns along the direction of $r$, $\boldsymbol{n} \cdot \boldsymbol{r} = 0$, $\boldsymbol{k} \cdot \boldsymbol{r} = 0$, $\epsilon^T_{ij}g_ig_j$ takes the maximum negative value of $-(\lambda_n + \lambda_k)$, thus the pressure takes the maximum positive value $p^T_{\text{max}} = K(\lambda_n + \lambda_k)(1 - 2\nu)/(1 - \nu)$. For the $\lambda_n > \lambda_k > 0 > \lambda_m = -(\lambda_n + \lambda_k)$, the shear strain size $\epsilon^T$ is defined as $\epsilon^T = (\epsilon_1 - \epsilon_3)/2 = (2\lambda_n + \lambda_k)/2$, we have $p^T_{\text{max}} = K\epsilon^T(1 + \xi)(1 - 2\nu)/(1 - \nu)$, where $\xi = \lambda_n/(2\lambda_n + \lambda_k)$. When the $n$ aligns to the vector $\boldsymbol{r}$, $\boldsymbol{n} \cdot \boldsymbol{r} = 1$, $\boldsymbol{k} \cdot \boldsymbol{r} = 0$, $\epsilon^T_{ij}g_ig_j$ takes the maximum positive value of $\lambda_n$. Thus, the pressure takes the maximum negative value $p^C_{\text{max}} = -K\epsilon^T(1 - \psi)(1 - 2\nu)/(1 - \nu)$, where $\psi = \lambda_k/(2\lambda_n + \lambda_k)$.

**References**

Ashby, M., Greer, A., 2006. Metallic glasses as structural materials. Scrip. Mater. 54, 321 – 326.
Chen, K.W., Lin, J.F., 2010. Investigation of the relationship between primary and secondary shear bands induced by indentation in bulk metallic glasses. Int. J. Plasticity 26, 1645 – 1658.
Chen, M., 2011. A brief overview of bulk metallic glasses. NPG Asia Mater. 3, 82–90.
Chen, Y., Dai, L., 2016. Nature of crack-tip plastic zone in metallic glasses. Int. J. Plasticity 77, 54 – 74.
Chen, Y., Jiang, M., Dai, L., 2013. Collective evolution dynamics of multiple shear bands in bulk metallic glasses. Inter. J. Plasticity 50, 18 – 36.

Choi-Yim, H., Busch, R., Köster, U., Johnson, W., 1999. Synthesis and characterization of particulate reinforced zr57nb5al10cu15.4ni12.6 bulk metallic glass composites. Acta Mater. 47, 2455 – 2462.

Das, J., Tang, M.B., Kim, K.B., Theissmann, R., Baier, F., Wang, W.H., Eckert, J., 2005. “work-hardenable” ductile bulk metallic glass. Phys. Rev. Lett. 94, 205501.

Dasgupta, R., Gendelman, O., Mishra, P., Procaccia, I., Shor, C.A.B.Z., 2013. Shear localization in three-dimensional amorphous solids. Phys. Rev. E 88, 032401.

Dasgupta, R., Hentschel, H.G.E., Procaccia, I., 2012. Microscopic mechanism of shear bands in amorphous solids. Phys. Rev. Lett. 109, 255502.

Eckert, J., Seidel, M., Kübler, A., Klement, U., Schultz, L., 1998. Oxide dispersion strengthened mechanically alloyed amorphous zr-al-cu-ni composites. Scrip. Mater. 38, 595 – 602.

Eshelby, J.D., 1957. The determination of the elastic field of an ellipsoidal inclusion, and related problems. Proc. R. Soc. London, Ser. A 241, 376–396.

Fornell, J., Concustell, A., Suriñach, S., Li, W., Cuadrado, N., Gebert, A., Baró, M., Sort, J., 2009. Yielding and intrinsic plasticity of ti–zr–ni–cu–be bulk metallic glass. Int. J. Plasticity 25, 1540 – 1559.

Gargarella, P., Pauly, S., Khoshkhoo, M.S., Kühn, U., Eckert, J., 2014. Phase formation and mechanical properties of ti–cu–ni–zr bulk metallic glass composites. Acta Mater. 65, 259 – 269.

Greer, A., Cheng, Y., Ma, E., 2013. Shear bands in metallic glasses. Mater. Sci. Eng: R 74, 71 – 132.

Han, Z., Wu, W., Li, Y., Wei, Y., Gao, H., 2009. An instability index of shear band for plasticity in metallic glasses. Acta Mater. 57, 1367 – 1372.

Hays, C.C., Kim, C.P., Johnson, W.L., 2000. Microstructure controlled shear band pattern formation and enhanced plasticity of bulk metallic glasses containing in situ formed ductile phase dendrite dispersions. Phys. Rev. Lett. 84, 2901–2904.

Hofmann, D.C., 2010. Shape memory bulk metallic glass composites. Science 329, 1294–1295.

Hofmann, D.C., Suh, J.Y., Wiest, A., Duan, G., Lind, M.L., Demetriou, M.D., Johnson, W.L., 2008a. Designing metallic glass matrix composites with high toughness and tensile ductility. Nature 451, 1085–1089.

Hofmann, D.C., Suh, J.Y., Wiest, A., Lind, M.L., Demetriou, M.D., Johnson, W.L., 2008b. Development of tough, low-density titanium-based bulk metallic glass matrix composites with tensile ductility. PNAS 105, 20136–20140.

Huang, Y., Khong, J., Connolley, T., Mi, J., 2014. The onset of plasticity of a zr-based bulk metallic glass. Inter. J. Plasticity 60, 87 – 100.

Jang, D., Gross, C.T., Greer, J.R., 2011. Effects of size on the strength and deformation mechanism in
zr-based metallic glasses. Int. J. Plasticity 27, 858 – 867.
Jiang, W., Fan, G., Liu, F., Wang, G., Choo, H., Liaw, P., 2008. Spatiotemporally inhomogeneous plastic flow of a bulk-metallic glass. Int. J. Plasticity 24, 1 – 16.
Leblond, J., Devaux, J., Devaux, J., 1989. Mathematical modelling of transformation plasticity in steels i: Case of ideal-plastic phases. Inter. J. Plasticity 5, 551 – 572.
Lee, M., Li, Y., Schuh, C., 2004. Effect of a controlled volume fraction of dendritic phases on tensile and compressive ductility in la-based metallic glass matrix composites. Acta Mater. 52, 4121 – 4131.
Lewandowski, J.J., Wang, W.H., Greer, A.L., 2005. Intrinsic plasticity or brittleness of metallic glasses. Philo. Mag. Lett. 85, 77–87.
Liu, Y.H., Wang, G., Wang, R.J., Zhao, D.Q., Pan, M.X., Wang, W.H., 2007. Super plastic bulk metallic glasses at room temperature. Science 315, 1385–1388.
Liu, Z., Li, R., Liu, G., Su, W., Wang, H., Li, Y., Shi, M., Luo, X., Wu, G., Zhang, T., 2012. Microstructural tailoring and improvement of mechanical properties in cuzr-based bulk metallic glass composites. Acta Mater. 60, 3128 – 3139.
Liu, Z.Q., Liu, G., Qu, R.T., Zhang, Z.F., Wu, S.J., Zhang, T., 2014. Microstructural percolation assisted breakthrough of trade-off between strength and ductility in cuzr-based metallic glass composites. Sci. Rep. 4.
Mei-Bo, T., De-Qian, Z., Ming-Xiang, P., Wei-Hua, W., 2004. Binary cu–zr bulk metallic glasses. Chin. Phys. Lett. 21, 901.
Pauly, S., Gorantla, S., Wang, G., Kühn, U., Eckert, J., 2010a. Transformation-mediated ductility in cuzr-based bulk metallic glasses. Nat. Mater 9, 473-477.
Pauly, S., Liu, G., Gorantla, S., Wang, G., Kühn, U., Kim, D., Eckert, J., 2010b. Criteria for tensile plasticity in cu–zr–al bulk metallic glasses. Acta Mater. 58, 4883 – 4890.
Pauly, S., Liu, G., Wang, G., Das, J., Kim, K.B., Kühn, U., Kim, D.H., Eckert, J., 2009. Modeling deformation behavior of cu–zr–al bulk metallic glass matrix composites. App. Phys. Lett. 95, –.
Qiao, J., Yao, Y., Pelletier, J., Keer, L., 2016. Understanding of micro-alloying on plasticity in cu46zr47-xal7dyx (0≤x≤8%) bulk metallic glasses under compression: Based on mechanical relaxations and theoretical analysis. Inter. J. Plasticity, in press
Schuh, C.A., Hufnagel, T.C., Ramamurty, U., 2007. Mechanical behavior of amorphous alloys. Acta Mater. 55, 4067 – 4109.
Shimizu, F., Ogata, S., Li, J., 2006. Yield point of metallic glass. Acta Mater. 54, 4293 – 4298.
Song, K., Pauly, S., Sun, B., Zhang, Y., Tan, J., Kühn, U., Stoica, M., Eckert, J., 2012a. Formation of cu–zr–al–er bulk metallic glass composites with enhanced deformability. Intermetallics 30, 132 – 138.
bulk Metallic Glass (VIII).
Song, K., Pauly, S., Zhang, Y., Li, R., Gorantla, S., Narayanan, N., Kühn, U., Gemming, T., Eckert, J., 2012b. Triple yielding and deformation mechanisms in metastable Cu47.5Zr47.5Al5 composites. Acta Mater. 60, 6000 – 6012.

Song, K.K., Pauly, S., Sun, B.A., Tan, J., Stoica, M., Kühn, U., Eckert, J., 2013. Correlation between the microstructures and the deformation mechanisms of CuZr-based bulk metallic glass composites. AIP Adv. 3.

Song, S., Bei, H., Wadsworth, J., Nieh, T., 2008. Flow serration in a Zr-based bulk metallic glass in compression at low strain rates. Intermetallics 16, 813 – 818.

Sun, B., Wang, W., 2015. The fracture of bulk metallic glasses. Prog. Mater. Sci. 74, 211 – 307.

Sun, B.A., Pauly, S., Hu, J., Wang, W.H., Kühn, U., Eckert, J., 2013. Origin of intermittent plastic flow and instability of shear band sliding in bulk metallic glasses. Phys. Rev. Lett. 110, 225501.

Sun, B.A., Yu, H.B., Jiao, W., Bai, H.Y., Zhao, D.Q., Wang, W.H., 2010. Plasticity of ductile metallic glasses: A self-organized critical state. Phys. Rev. Lett. 105, 035501.

Tong, X., Wang, G., Yi, J., Ren, J., Pauly, S., Gao, Y., Zhai, Q., Mattern, N., Dahmen, K., Liaw, P., Eckert, J., 2016. Shear avalanches in plastic deformation of a metallic glass composite. Inter. J. Plasticity 77, 141 – 155.

Torre, F.H.D., Klaumünzer, D., Maass, R., Löffler, J.F., 2010. Stick-slip behavior of serrated flow during inhomogeneous deformation of bulk metallic glasses. Acta Mater. 58, 3742 – 3750.

Wang, S., Ye, Y., Sun, B., Liu, C., Shi, S., Yang, Y., 2015. Softening-induced plastic flow instability and indentation size effect in metallic glass. J. Mech. Phys. Solids 77, 70 – 85.

Wang, W., Dong, C., Shek, C., 2004. Bulk metallic glasses. Mater. Sci. Eng: R 44, 45 – 89.

Weinberger C, Cai W, B.D., 2005. Leture Notes-Elasticity of Microscopic Structures. ME340B. Standford University.

Wu, F., Zheng, W., Wu, S., Zhang, Z., Shen, J., 2011a. Shear stability of metallic glasses. Inter. J. Plasticity 27, 560 – 575.

Wu, Y., Bei, H., Wang, Y., Lu, Z., George, E., Gao, Y., 2015. Deformation-induced spatiotemporal fluctuation, evolution and localization of strain fields in a bulk metallic glass. Int. J. Plasticity 71, 136 – 145.

Wu, Y., Wang, H., Wu, H., Zhang, Z., Hui, X., Chen, G., Ma, D., Wang, X., Lu, Z., 2011b. Formation of Cu–Zr–Al bulk metallic glass composites with improved tensile properties. Acta Mater. 59, 2928 – 2936.

Wu, Y., Xiao, Y., Chen, G., Liu, C.T., Lu, Z., 2010. Bulk metallic glass composites with transformation-mediated work-hardening and ductility. Adv. Mater. 22, 2770–2773.

Yoo, B.G., Kim, J.Y., Kim, Y.J., Choi, I.C., Shim, S., Tsui, T.Y., Bei, H., Ramamurty, U., il Jang, J., 2012. Increased time-dependent room temperature plasticity in metallic glass nanopillars and its size-
Yu, H., Hu, J., Xia, X., Sun, B., Li, X., Wang, W., Bai, H., 2009. Stress-induced structural inhomogeneity and plasticity of bulk metallic glasses. Scrip. Mater. 61, 640 – 643.

Yu, P., Bai, H., 2008. Poisson’s ratio and plasticity in cuzral bulk metallic glasses. Mater. Sci. Eng: A 485, 1 – 4.

Zhang, Z., Eckert, J., Schultz, L., 2003. Difference in compressive and tensile fracture mechanisms of zr59cu20al10ni8ti3 bulk metallic glass. Acta Mater. 51, 1167 – 1179.

Zhou, H., Qu, S., Yang, W., 2013. An atomistic investigation of structural evolution in metallic glass matrix composites. Int. J. Plasticity 44, 147 – 160.