Data Article

Data for the evaluation of irrigation development interventions in Northern Ethiopia

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ABSTRACT

This data article provides the datasets that are used in the holistic ex-ante impact evaluation of an irrigation dam construction project in Northern Ethiopia [1]. We used an expert knowledge elicitation approach as a means of acquiring the data. The data shared here captures all the parameters considered important in the impact pathway (i.e. the expected benefits, costs, and risks) of the decision to construct an irrigation dam. The dataset is disaggregated for two impact pathway models: one complementing the dam construction with catchment restoration and the other without catchment restoration. Both models are scripted in the R programming language. The data can be used to examine how the construction of an irrigation dam affects the incomes as well as the food and nutritional status of farmers that are affected by the intervention.

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The data are used in the holistic ex-ante impact evaluation of an irrigation dam in northern Ethiopia. The data captured all important impact parameters (i.e. expected benefits, costs, and risks) of the proposed dam. The impact model is disaggregated by intervention design, with impacts on various stakeholders captured separately. Our simulations cover economic, ecological and nutritional impacts of the intervention.

This article presents three data sets:

a) Input data used to run the simulation model. These consist of three .csv datasets:
   - an estimate sheet, which contains the data elicited from experts (dam_estimates.csv),
   - a correlation matrix that defines relationships for correlated parameters (dam_estimates_cor.csv) and
   - a legend table that translates variable names into readable figure labels (dam_legend.csv).

b) Simulation model script (dam_code.r) written in the R programming language [2] using the decisionSupport package [3].
2. Experimental design, materials, and methods

2.1. Model development

To develop an impact pathway model and collect quantitative estimates of the input parameters, we followed a decision analysis approach [4]. The approach seeks to actively involve local experts in development of both an impact pathway and a final decision model. In this case, ‘experts’ refers to local knowledge holders with in-depth understanding of the local context and the potential benefits, costs and risks of dam construction.

Model development began with an interview with the head of the region’s irrigation development program, the official who would be the coordinator of the intended project. This coordinator helped to identify ten experts with knowledge of similar local systems. These ten experts were asked to consider the dam construction project decision and identify the main effects of the proposed project, which they grouped into factors of relevance to the local community (hereafter referred to as stakeholders), the environment, and the implementer. Based on location and access to the irrigation scheme (i.e. the land with access to water from the irrigation project), the stakeholders were further classified into four groups: (i) downstream irrigators (farmers who would use the water from the dam for agriculture in an irrigation scheme), (ii) downstream non-irrigators (farmers downstream who could be affected by the dam but do not have access to the irrigation water), (iii) displaced farmers (those who currently live in the dam construction area but will need to be relocated and compensated, both financially and with a parcel of land in the irrigation scheme), and (iv) upstream non-irrigators (those who live upstream of the dam, close enough to access water for domestic use and/or livestock consumption).

We generated a preliminary qualitative impact pathway model based on local expert knowledge, including important costs, benefits and risks of the project for the stakeholders, the implementer and the environment. This preliminary model was then shared with the experts for review and refined based on their feedback. Moreover, the identified environmental impact estimates were further refined based on existing literature. We consolidated all inputs into a final model capturing the impact pathway of the proposed intervention, which reflected the current state of understanding and knowledge of all experts [4].

2.2. Model parametrization

Experts provided quantitative estimates for all model variables covering the benefits, costs and risks of the dam construction. We used an expert knowledge elicitation approach, which is also used in other studies [4–6], to collect estimates for model variables. All experts were familiarized with estimation techniques to overcome bias, allowing them to provide quantitative estimates in the form of distributions representing their subjective 90% confidence intervals [7]. Initial monetary estimates were collected in Ethiopian currency (i.e. Birr) and converted to USD at the Ethiopian National Bank’s October 10, 2017 rate of $1 = 23.3908 ETB [8].

2.3. Data analysis

The resulting model was scripted in the R programming language [2] and run 10,000 times as a Monte Carlo simulation to randomly select values from defined distributions for all input variables [9] using the ‘decisionSupport’ package [3]. The intervention was modeled in two ways: 1) the dam construction is complemented with catchment restoration; 2) the dam construction is implemented without catchment restoration. The model was used to provide forecasts of the costs, benefits and risks of the irrigation project for each stakeholder, the implementer, and the environment. The forecasted marginal benefits/losses were discounted according to an estimated discount rate to compute the monetized net benefit, expressed as net present value (NPV), representing the sum of discounted projected net benefits over the expected life span of the project [4]. More information about the
computation of the marginal benefits/losses is provided in the supplementary material (Appendix A). In order to guide the decision, we also computed the total project outcome (i.e. the sum of NPVs for all stakeholders, the implementer and the environment). Additional information about nutritional outcomes is provided in Yigzaw et al. [1].

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Conflict of interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Appendix A. Supplementary data

Supplementary data for this article can be found online at https://doi.org/10.1016/j.dib.2019.104342.

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