Erosion Resistance and Fertility of Frost-Resistant Ecological Substrate in Alpine Region

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ABSTRACT
This research was conducted to quantitatively evaluate the application effect of the frost-resistant ecological substrate in the rock slope of the hydropower station. Field sampling and laboratory tests were conducted to determine the erosion resistance and fertility of frost-resistant ecological substrate, and the test results were compared with those of natural soils with similar site conditions. The research conclusions were as follows. Compared with the natural soil, the content of > 0.25 mm mechanical-stable aggregates, > 0.25 mm water-stable aggregates, average weight diameter, geometric average diameter, organic matter, available nitrogen, available phosphorus, and available potassium of frost-resistant ecological substrate, significantly increased. On the contrary, erodibility factor, percentage aggregate disruption, aggregate degree, and dispersion rate decreased evidently. These results showed that erosion resistance and fertility of the frost-resistant ecological substrate have a better prospect in the engineering application of alpine regions. In addition, the principal component analysis showed that the principal component value of frost-resistant ecological substrate increased by 1.9 times that of natural soil. According to the correlation study, the increase in the amount of > 0.25 mm macro-aggregates and organic matter is the primary reason that ecological substrate has greater stability and fertility than natural soil. In conclusion, the frost-resistant ecological substrate was a suitable soil to create a suitable vegetation growth environment on the surface of rock slope in the alpine region.

INTRODUCTION
Qinghai–Tibet Plateau has abundant hydropower resources, and the rivers in this region have created favorable conditions for the comprehensive development of hydropower (Yuan et al. 2016). A large number of construction measures disturb the original ecosystem function, resulting in the reduction of natural vegetation (Li et al. 2015, Xu et al. 2012). Therefore, the application of slope ecological restoration technology which takes both slope reinforcement and vegetation reconstruction into account is relatively common in Qinghai–Tibet Plateau. At present, the slope ecological restoration techniques (Yang et al. 2015) can be used to build habitats suitable for ecological restoration of vegetation growth in southern areas of China (Cheng et al. 2020, Luo et al. 2016). However, the durability and fertility of ecological substrates will be seriously reduced due to the harsh climatic conditions and frequent freeze-thaw cycles in Alpine Region (Dong et al. 2013, Sharma et al. 2006, Zhang et al. 2017). Therefore, to resist the repeated freeze-thaw damage, a new type of frost-resistant ecological substrate was invented by China Three Gorges University (Zhou et al. 2013), which can create suitable vegetation habitats for the restoration of rock exposed slopes in the alpine region. The frost-resistant ecological substrate is composed of plant soil, cement, organic materials, green additives, silica fume, and palm fiber. The indoor test results show that the frost-resistant ecological substrate has good frost resistance and high fertilizer efficiency, but the actual improvement effect of its application still lacks specific data support.

In recent years, much research has been carried out on the changes in soil erosion resistance and fertility in the alpine region. Zhao et al. (2019) selected water-stable aggregates, geometric average diameter, and erodibility factor to study the effect of freeze-thaw on the erosion resistance of northern soil. Gu et al. (2020) selected several evaluation indexes such as average weight diameter, aggregate disruption, and the aggregate degree to comprehensively discuss the characteristics of black soil aggregates under freeze-thaw. Du et al. (2020) pointed out that the freeze-thaw process has a significant impact on the soil physical structure, chemistry, and vegetation growth of alpine grassland. So optimal conditions and technical measures to reduce soil nutrient loss during the freeze-thaw period should be explored. Change of fertility is not only a key factor reflecting the quality of the soil (Cai et al. 2008), but also can reflect the restoration...
effect of slope ecological engineering and vegetation growth status (Rivera et al. 2014, Li et al. 2018).

Many studies have contributed to the understanding and evaluation of soil resistance and fertility. However, most of the research subjects are limited to natural soil, and there are few reports of the durability and fertility changes of ecological substrates on slopes in alpine areas. Therefore, this paper takes a rocky slope in the engineering area of Dagu Hydropower Station as the experimental site, spray seeding this frost-resistant ecological substrate according to past research methodologies. Through outdoor sampling and indoor test, the erosion resistance and fertility index were analyzed and compared with natural soils with similar site conditions. The purpose of this study is to deeply understand the application effect of the frost-resistant ecological substrate in the alpine region and to provide a scientific basis for the practical application of ecological substrate in engineering.

**MATERIALS AND METHODS**

**Overview of test area:** Dagu hydropower station is located in Zengji Township, Sangri County, Shannan City, Tibet Autonomous Region, on the eastern edge of the Qinghai-Tibet Plateau. The control basin area of the hydropower station is 157400 km$^2$ with a length of 49 km main stem and 282 m river fall along the Yarlung Tsangpo River. The minimum elevation at the station is 3400 m above sea level with a gradient of about 5.75‰. The valley width here is around 40–200 m, and the maximum elevation on both banks is more than 6000 m. The characteristic of the landform is a typical high mountain and deep valley. The climate in the region is described as plateau temperate monsoon semi-humid, with less rainfall and drought in winter and more rainfall in summer. According to the statistics date from meteorological stations, the annual average temperature, precipitation, evaporation, and relative humidity are 9.2°C, 540.5 mm, 2084.1 mm, and 51%, respectively, and the maximum frozen soil depth over the years is 19 cm. The soil in the project area is mainly composed of grassland soil, aeolian sandy soil, and skeleton soil. Meanwhile, the lithology of the excavated slope is mainly biotite granodiorite with a medium-fine grained structure.

**Engineering construction and sampling:** A rocky slope in the engineering disturbance area of the Dagu hydropower station was selected for the ecological restoration with the frost-resistant ecological substrate. The construction time of ecological restoration was concentrated from June to July in 2019. The mechanical dry spray method is used to spray the surface of the rock slope. Spraying is divided into two layers: the base layer and the surface layer. The base layer and surface layer were sprayed with 10 cm and 2 cm respectively, and the plant seeds were mixed into the surface layer. The plant soil was taken from a natural slope beside the rock slope, and the basic properties of soil are shown in Table 1. The cement is ordinary Portland cement with a strength grade of 32.5, the organic material is made of fir sawdust with a particle size of less than 2 mm, the green additive is a patented product, and silica fume and palm fiber are directly purchased from the local company. The frost-resistant ecological substrate is composed of plant soil, cement, organic material, green additives, silica fume, and palm fiber at a dry weight ratio of 100:10:8:5:3:1 (Zhou et al. 2013). The rocky slope with single spraying of plant soil was selected as the control slope, and the sides of both slopes were facing north, and the slope gradient and height were about 60° and 3.4 m, respectively.

In July 2020, six plots with good vegetation growth were selected as sampling plots in two kinds of slopes, and the size of a single plot was set to 2 m × 2 m. A five-point sampling method was adopted to collect 5-10 cm surface soil from each plot, and the weight of each sample was about 2 kg. The specific method is as follows: Five spots are randomly picked according to the S shape in the depth range of the sample site’s soil layer to make a sample, and huge soil blocks are collected when sampling. The soil samples which were packed in plastic boxes were carefully transported to the laboratory, then dried naturally at 25°C. All samples were stripped into 10-12 mm small blocks along the natural structure plane after the sample is air-dried to below the plastic limit while removing plant roots and small stones. The properties of each sample were measured three times in the laboratory, and averaging the experimental results.

**Index selection and statistical analysis:** In this paper, the evaluation indexes of soil aggregate characteristics and fertility are selected as follows.

1. The content of > 0.25 mm mechanical-stable aggregates (%) = > 0.25 mm mechanical-stable aggregate mass/ the sum of mechanical aggregate mass of each particle size.

| Planting soil type         | Dry density/(g/cm$^3$) | pH   | Particle size distribution (%) |
|----------------------------|------------------------|------|--------------------------------|
|                            |                        |      | 2-0.5 mm | 0.5-0.25 mm | 0.25-0.075 mm | < 0.075 mm |
| Fine-grained sand soil     | 1.43                   | 6.7  | 60.82    | 16.79       | 9.47         | 10.91      |

**Table 1:** Basic properties of planting soil.
2. The content of > 0.25 mm water-stable aggregates (%) = > 0.25 mm water-stable aggregate mass / the sum of water-stable aggregate mass of each particle size.

3. Mean weight diameter (MWD, mm): \( MWD = \sum \frac{X_i}{\alpha_i} \).

4. Geometric mean diameter (GMD, mm):

\[
GMD = \exp \left( \frac{\sum_{i=1}^{n} \alpha_i \ln X_i}{K} \right),
\]

Where, \( S_a \) is the content of sand (2 ~ 0.05mm, %); \( S_i \) is the content of silt (0.05 ~ 0.002mm%); \( C_1 \) is the content of clay (< 0.002mm, %); \( C \) is the content of organic carbon (%); \( S_n \) is 1-\( S_a \)/100.

5. Percentage aggregate disruption (PAD, %) = 1 - the content of > 0.25 mm aggregates after dry sieving/the content of > 0.25 mm aggregates after wet sieving × 100%.

6. The soil erodibility factor K was calculated by EPIC equation:

\[
K = \left\{ 0.2 + 0.3 \exp \left[ -0.02565 \left( 1 - \frac{S_a}{100} \right) \right] \right\} \left[ \frac{S_i}{C + S_i} \right]^{0.5} \times \frac{0.25C}{C + \exp(3.72 - 2.95C)} \times \frac{0.7S_n}{S_n + \exp(-5.51 + 22.9S_n)}
\]

RESULTS AND DISCUSSION

Particle size distribution of mechanical-stable aggregates:
The particle size distribution of mechanical-stable aggregates of the frost-resistant ecological substrate and the natural soil is shown in Fig. 1. Overall, the distribution of the two kinds of soils is relatively similar. Both soils have the highest content of > 7 mm aggregates. Then the content of 7 ~ 5 mm and < 0.25 mm aggregates is second. The aggregates content of 5 ~ 3 mm, 3 ~ 2 mm, 2 ~ 1 mm, 1 ~ 0.5 mm, 0.5 ~ 0.25 mm have no significant difference, and the content of 3 ~ 2 mm aggregates is the least. But there is a certain difference between the frost-resistant ecological substrate and the natural soil. Among them, the content of > 7 mm aggregates in natural soil is less than that of the frost-resistant ecological substrate, and the content of < 0.25 mm aggregates in natural soil is about 8.2% higher than that of the frost-resistant ecological substrate. So, the main difference between both soils is that the content of mechanical macro-aggregates of the frost-resistant ecological substrate is significantly increased, while the content of micro-aggregates is significantly reduced.

Particle size distribution of water-stable aggregates: As shown in Fig. 2, the content of > 5 mm and < 0.25 mm water-stable aggregates in frost-resistant ecological substrate are significantly higher than the content of aggregates of 5 ~ 3 mm, 3 ~ 2 mm, 2 ~ 1 mm, 1 ~ 0.5 mm, and 0.5 ~ 0.25 mm. The content of these five aggregates is all below 6%, and there is no significant difference among them. In comparison, the content of < 0.25 mm water-stable aggregates in natural soil was the highest, which is significantly higher than that of the frost-resistant ecological substrate. The content of > 5 mm aggregates in natural soil is only 16.6%, and it is significantly lower than the frost-resistant ecological substrate (P < 0.05). In terms of the content of 5 ~ 3 mm, 3 ~ 2 mm, 2 ~ 1 mm, 1 ~ 0.5 mm, 0.5 ~ 0.25 mm aggregates, the difference between both soils are not significant. The results show that the content of > 5 mm water-stable aggregates of the frost-resistant ecological substrate is significantly higher than that of natural soil, while the
content of <0.25 mm water-stable aggregates is significantly reduced.

**Indexes of erosion resistance and fertility:** In terms of the particle size distribution of aggregates, the content of >0.25 mm mechanical-stable and water-stable aggregates in the frost-resistant ecological substrate are 10.4% and

| Soil type   | Aggregate content (%) | MWD (mm) | GMD (mm) | PAD (%) | Aggregation degree (%) | Dispersion rate (%) | Organic matter (g kg⁻¹) | Available nitrogen (g kg⁻¹) | Available phosphorus (g kg⁻¹) | Available potassium (g kg⁻¹) |
|-------------|-----------------------|----------|----------|---------|------------------------|---------------------|------------------------|-----------------------------|-------------------------------|-------------------------------|
| Substrate   | 87.72 a               | 43.61 a  | 2.17 a   | 0.53 a  | 50.12 a                | 0.014 b             | 29.47 a               | 57.48 b                     | 22.46 a                       | 0.183 a                       | 0.082 a                       | 0.127 a                       |
| Natural soil| 79.49 b               | 38.22 b  | 1.71 b   | 0.41 b  | 52.51 a                | 0.022 a             | 21.24 b               | 71.63 a                     | 14.27 b                       | 0.142 b                       | 0.064 b                       | 0.095 b                       |
| Relative change rate | 10.4% | 14.1% | 26.9% | 29.3% | -4.0% | -36.4% | 38.7% | -19.8% | 57.4% | 28.9% | 28.1% | 33.7% |

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*Fig. 1: Particle size distribution of mechanical-stable aggregates.*

*Fig. 2: Particle size distribution of water-stable aggregates.*

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indexes. For example, the water-stable aggregates content of the frost-resistant ecological substrate is closely related to other aggregates $R_{0.25}$.

The results show that the content of $> 0.25$ mm water-stable aggregates is significantly and positively correlated with MWD ($X_3$) and GMD ($X_4$). The organic matter content ($X_9$) significantly and positively correlated with available nitrogen ($X_{10}$), available phosphorus ($X_{11}$), and available potassium ($X_{12}$). Therefore, the content of $> 0.25$ mm water-stable aggregates $R_{0.25}$ ($X_2$) and organic matter content ($X_9$) are the most important indexes affecting the erosion resistance and fertility of the frost-resistant ecological substrate, respectively.

The principal component analysis: The SPSS software is used to conduct principal component analysis on the twelve indexes, and the results are shown in Table 4. According to the results, the above-mentioned twelve indexes can extract two principal components, and the two eigenvalues are 6.925 and 3.971 respectively. The contribution rate of principal component $Y_1$ and principal component $Y_2$ are 57.71% and 33.18%, respectively. The cumulative contribution rate of both components can reach 90.89%, which meets the requirements of principal component analysis for information coverage.

It can be seen from a load of each index in Table 4 that the nutrient contents of organic matter ($X_9$), available nitrogen

| $X_1$ | $X_2$ | $X_3$ | $X_4$ | $X_5$ | $X_6$ | $X_7$ | $X_8$ | $X_9$ | $X_{10}$ | $X_{11}$ | $X_{12}$ |
|------|------|------|------|------|------|------|------|------|-------|-------|-------|
| 1.000 | 0.157 | 0.413 | 0.850* | 1.000 | 0.298 | 0.959** | 0.961** | 1.000 | -0.307 | -0.975** | -0.942** | -0.996** | 0.769 | 1.000 |
| -0.871* | 0.080 | -0.036 | 0.007 | -0.531 | 0.009 | 1.000 |
| -0.818* | -0.032 | -0.066 | -0.064 | -0.407 | 0.087 | 0.975** | 1.000 |
| -0.454 | 0.390 | 0.443 | 0.444 | -0.634 | -0.405 | 0.729 | 0.779 | 1.000 |
| -0.408 | 0.366 | 0.471 | 0.444 | -0.589 | -0.401 | 0.701 | 0.761 | 1.000 |
| -0.443 | 0.313 | 0.375 | 0.360 | -0.557 | -0.332 | 0.735 | 0.807 | 0.986** | 0.918** | 1.000 |
| -0.474 | 0.371 | 0.409 | 0.406 | -0.625 | -0.370 | 0.773 | 0.824* | 0.990** | 0.879* | 0.885* | 1.000 |

Note: * * is extremely significant correlation at 0.01 level; * is significant correlation at 0.05 level. $X_1$ is the content of mechanical-stable aggregates (%); $X_2$ is the content of water-stable aggregates $>0.25$ mm; $X_3$ is the average weight diameter (MWD, mm); $X_4$ is the geometric average diameter (GWD, mm); $X_5$ is the percentage aggregate disruption (PAD, %); $X_6$ is the erodibility factor K (t hm$^{-2}$ H / hm$^{-2}$ MJ mm); $X_7$ is the aggregate degree (%); $X_8$ is the dispersion rate (%); $X_9$ is the content of organic matter (g kg$^{-1}$); $X_{10}$ is the content of available nitrogen (g kg$^{-1}$); $X_{11}$ was the content of available phosphorus (g kg$^{-1}$), and $X_{12}$ was the content of available potassium (g kg$^{-1}$). The following is the same.
Second principal component

(\(X_{10}\)), available phosphorus (\(X_{11}\)), and available potassium (\(X_{12}\)) contribute greatly to the main component \(Y_1\). For the main component \(Y_2\), the major contributions are the content of > 0.25 mm mechanical-stable aggregates (\(X_1\)), the content of > 0.25 mm water-stable aggregates \(R_{0.25}\) (\(X_2\)), mean weight diameter \(MWD\) (\(X_3\)), and geometric mean diameter \(GWD\) (\(X_4\)).

From the principal component loads and characteristic values in Table 4, the first and second principal component expressions can be obtained respectively as follows.

\[
Y_1 = -0.155X_1 + 0.242X_2 + 0.231X_3 + 0.245X_4 - 0.317X_5 - 0.237X_6 + 0.272X_7 + 0.268X_8 + 0.359X_9 + 0.353X_{10} + 0.345X_{11} + 0.358X_{12}
\]

\[
Y_2 = -0.387X_1 - 0.355X_2 - 0.374X_3 - 0.381X_4 + 0.134X_5 + 0.388X_6 + 0.311X_7 + 0.345X_8 + 0.104X_9 + 0.097X_{10} + 0.137X_{11} + 0.125X_{12}
\]

In conclusion, the principal component values of the frost-resistant ecological substrate and natural soil are calculated as shown in Table 5.

According to the proportion of the corresponding characteristic value of each principal component in the total characteristic value of the extracted principal component as the weight, the comprehensive principal component model \(Y = 0.635 Y_1 + 0.365 Y_2\) was obtained, and the comprehensive principal component value of frost-resistant ecological substrate and natural soil was calculated. It can be seen from Table 5 that the comprehensive principal component value of the frost-resistant ecological substrate is 2.9 times that of the natural soil.

**DISCUSSION**

In this paper, the application of two kinds of soils in the alpine region shows that the erosion resistance and fertility of frost-resistant ecological substrate are significantly higher than that of natural soil. The main reason is that organic material, green additive, cement, silica fume, and palm fiber are added into the frost-resistant ecological substrate. In terms of soil fertility, organic sawdust mixed in the soil would be transformed into organic matter after decay, which will cause the content of organic matter in the frost-resistant ecological substrate to be higher than that in natural soil. The abundant microbial agents contained in the green additives can also promote the growth of various microorganisms and nutrients in the soil, so soil fertility can be significantly improved in alpine regions. Some studies (Xu et al. 2017, Xie et al. 2019) have shown that the improvement of soil fertility can promote the growth of plants, and the plant roots produced can also increase the soil mechanical properties, which can improve the effect of slope restoration in alpine regions for a long time.

In terms of soil erosion resistance, many scholars (Wang et al. 201, Zhang et al. 2019) have shown that the higher the content of macro-aggregate in soil, the smaller the aggregate dispersion rate, the stronger the soil erosion resistance. One of the reasons is that the hydration reaction of cement generates a large number of gelatin and crystal compounds. These compounds can agglomerate the micro-aggregates to form a crystal network and a solid and dense structure (Zhang et al. 2015), thus increasing the content of macro-aggregates (\(X_1\)) and aggregate degree (\(X_2\)) in soil, reducing the percentage aggregate disruption (\(X_3\)). This result is consistent with Tang’s research conclusion (Gao et al. 2020). Second, the addition of silica fume can increase the porosity of the soil, thereby reducing the negative impact of frost heaving and thawing settlement on soil structure and increasing the stability of soil in the alpine region (Liu et al. 2013). The addition of palm fiber can increase the connectivity between soil particles and improve the soil’s mechanical properties (Zhang et al. 2018). In addition, studies have shown that the increase of organic matter content is also conducive to the formation of macro-aggregates and the enhancement of soil erosion resistance (Wagner et al. 2007, Yao et al. 2009). Because the organic colloid contained in the organic matter has the function of cementation and agglomeration (Yao et al. 2009), it increases the content of macro-aggregates (\(X_1, X_2\)) and enhances the cohesive force of soil particles. Meanwhile, the organic matter could be decomposed into organic acids under the action of microorganisms, which can prevent the dispersion of aggregates (\(X_8\)).

This study also found that the content of water-stable aggregate \(R_{0.25}\) (\(X_2\)) and organic matter content (\(X_9\)) could best reflect the erosion resistance and fertility of frost-resistant ecological substrate respectively because the correlation between the two indexes and other indicators were very high. Zhang et al. (2019) considered that there was a significant correlation between the above two indicators and MWD, GMD, nutrient indicators, which is basically consistent with...
the research results in this paper. The principal component analysis also showed that the contribution rate of water-stable aggregate $R_{0.25} (X_2)$ and organic matter content ($X_3$) to the frost-resistant ecological substrate was higher. Therefore, the above two indicators can be used to evaluate the erosion resistance and fertility of the frost-resistant ecological substrate in future research.

CONCLUSION

In terms of aggregate particle size distribution, the frost-resistant ecological substrate’s content of $> 0.25$ mm mechanical-stable aggregates and water-stable aggregates has increased significantly compared to natural soil, which is reflected in improved erosion resistance compared to natural soil. In terms of fertility, the organic matter content, available nitrogen, available phosphorus, and available potassium of frost-resistant ecological substrate increased significantly compared with the natural soil, which was more suitable for plant growth. The principal component analysis showed that the comprehensive principal component value of frost-resistant ecological substrate is 190% higher than that of the natural soil. Therefore, the erosion resistance and fertility of the frost-resistant ecological substrate are significantly higher than those of the natural soil, so this substrate has a better prospect in the engineering application of alpine regions.

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