METHOD

**EGFR** transcription in non-small-cell lung cancer tumours can be revealed in ctDNA by cell-free chromatin immunoprecipitation (cfChIP)

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Determination of tumour-specific transcription based on liquid biopsies possesses a large diagnostic and prognostic potential in non-small cell lung cancer (NSCLC). Cell-free DNA (cfDNA) packed in nucleosomes mirrors the histone modification profiles present in the cells of origin. H3 lysine 36 trimethylation (H3K36me3)-modified nucleosomes are associated with active genes, and therefore, cell-free chromatin immunoprecipitation (cfChIP) of H3K36me3-associated cfDNA has the potential to delineate whether transcription of a particular gene is occurring in the cells from which its cfDNA originates. We hypothesized that cfChIP can delineate transcriptional status of genes harbouring somatic cancer mutations and analysed the recurrently observed **EGFR-L858R** mutation as an example. In representative NSCLC cell lines, the relationship between wild-type (WT) and mutated **EGFR** transcriptional activity and mRNA expression levels was analysed using H3K36me3 ChIP and **EGFR** mRNA reverse transcription quantitative PCR (RT-qPCR), respectively. The ChIP analysis showed that both WT and mutated **EGFR** are transcribed and that mRNA is similarly expressed per **EGFR** copy. Based on this observation, we proceeded with **EGFR** cfChIP using blood plasma from NSCLC patients harbouring the **EGFR-L858R** mutation. **EGFR-WT** fragments can originate from both nontumour cells with no or low **EGFR** transcription and tumour cells with active **EGFR** transcription, whereas **EGFR-L858R** fragments must specifically originate from tumour cells. H3K36me3 cfChIP followed by droplet digital PCR (ddPCR) revealed significantly higher enrichment of **EGFR-L858R** compared to **EGFR-WT** fragments. This is in alignment with **EGFR-L858R** being actively transcribed in the NSCLC tumour cells. This study is proof-of-principle that cfChIP can be used to identify tumour-specific transcriptional activity of mutated alleles, which can expand the utility of liquid biopsy-based cfDNA analyses to enhance tumour diagnostics and therapeutics.

Abbreviations

cfChIP, cell-free chromatin Immunoprecipitation; cfDNA, cell-free DNA; ctDNA, circulating tumour DNA; ddPCR, droplet digital PCR; EGFR, epidermal growth factor; Ex19del, exon 19 deletion; GOI, gene of interest; H3K36me3, H3 lysine 36 trimethylation; MAF, mutational allele fraction; NSCLC, non-small cell lung cancer; RT-qPCR, reverse transcription quantitative PCR; SCLC, small cell lung cancer; TKI, tyrosine kinase inhibitor; WT, wild-type.
1. Introduction

Lung cancer is the most common cause of cancer-related death with an estimated 1.6 million deaths worldwide each year [1]. Lung cancer is divided into non-small cell lung cancer (NSCLC) and small cell lung cancer (SCLC) based on histological phenotype, with NSCLC contributing to about 85% of all cases [2]. Sensitizing mutations in the epidermal growth factor gene (EGFR) such as exon 19 deletions (ex19del) and L858R mutations happen in about 15% of all NSCLC cases [3]. Acquisition of these mutations enables treatment with tyrosine kinase inhibitors (TKIs) such as erlotinib and osimertinib, which has improved overall survival [4,5]. Resistance can occur via new somatic mutations in EGFR or through bypass mutations in other genes. Although identification of these bypass mutations is promising, the relevance of each mutation remains elusive. The new mutations are only relevant if expressed in the tumour.

Identification of EGFR mutations is done with tissue biopsies, but these can be misleading because of the well-known heterogeneity of NSCLC tumours [6]. Liquid biopsies have been utilized to monitor acquired resistance in NSCLC patients showing promising results [7–11]. The use of liquid biopsies, such as blood samples, can give a greater insight into the cancer genetics [12]. In cancer patients, a fraction of the cell-free DNA (cfDNA) in blood is circulating tumour DNA (ctDNA) that represents the genetics of the primary tumour as well as metastatic sites [13]. Furthermore, liquid biopsies allow longitudinal sampling with minimal risks for the patient compared to surgical biopsies and enable physicians to monitor treatment responses over time [14]. Monitoring responses is important during TKI treatment because eventually all NSCLC patients will develop TKI resistance, which leads to disease progression [15].

The recently developed cell-free chromatin immunoprecipitation (cfChIP) technique published by our group [16] differentiates between transcriptional active and inactive gene fragments in cfDNA from plasma samples (Fig. 1). Histone H3 lysine 36 trimethylation (H3K36me3) is an epigenetic marker associated with nucleosomes located in the 3’ end of actively transcribed genes [17,18]. Trimethylation of H3K36 is mediated by the histone methyltransferase SETD2 which is recruited to the phosphorylated C-terminal of RNA polymerase II during gene transcription [19]. Furthermore, the level of H3K36me3 at a locus correlates with RNA abundance [18]. Therefore, measuring H3K36me3 levels in a gene can be used as an approximation of the transcriptional activity. The H3K36me3 marker can be implemented on cfDNA to pull down fragments corresponding to transcribed genes. Thus, cfChIP can be used to determine from blood plasma whether a gene of interest (GOI) is transcribed in NSCLC tumours. As proof-of-principle, cfChIP was shown to have capability to distinguish NSCLC patients with adenocarcinoma from patients with squamous cell carcinoma based on a KRT6 analysis [16]. Moreover, Sadeh et al. [20] presented the cfChIP-seq method, which identifies transcriptionally active genes by next-generation sequencing. In addition, the study demonstrates cfChIP-seq can identify the transcriptional profile of the various tissues contributing to the cfDNA pool [20].

Here, we address whether blood plasma cfChIP can detect if genes harbouring somatic mutations indeed are transcribed in NSCLC tumours. For this, we examined a recurrently observed EGFR mutation in NSCLC. Based on NSCLC cell line experiments, we showed correspondence between wild-type (WT) and mutated EGFR transcription measured with ChIP and mRNA expression. Furthermore, blood plasma cfChIP results demonstrate active transcription of EGFR-L858R in NSCLC tumours. Thus, cfChIP enables detection of tumour-specific transcriptional activity of genes harbouring somatic mutations.

2. Materials and methods

2.1. Blood plasma

This study was performed in accordance with the Declaration of Helsinki and accepted by the Central Denmark Region Committee on Biomedical Research Ethics (No. 1-16-02-211-16). Informed consent was obtained from all individuals. All patients had stage IV NSCLC and harboured an EGFR-L858R mutation, which was verified with a tissue biopsy. All blood samples were collected before the patients received TKI therapy. Data of the NSCLC patients used in this study can be found in Table S1. Peripheral blood was collected from patients into EDTA tubes and centrifuged within 2 h at 1400 g for 15 min at room temperature. The plasma was aliquoted, kept at −80°C and thawed on ice before it was applied to cfChIP.

2.2. Cell culture

H1975 (CRL-5908, ATCC, LCG standards, Wesel, Germany), HCC827 (CRL-2868, ATCC, LCG standards, Wesel, Germany) and A549 (CCL-185, ATCC, LCG standards, Wesel, Germany) cells were grown in
RPMI medium containing 10% fetal calf serum and 1% penicillin-streptomycin (Gibco, Thermo Fischer Scientific, Waltham, MA, USA). The cells were cultured at 37 °C in 5% CO₂.

### 2.3. cDNA synthesis

RNA was extracted from the cells using TRI Reagent according to the manufacturer’s instructions (Sigma-Aldrich, St. Louis, MO, USA). The RNA concentration was measured using NanoDrop One (Thermo Fischer Scientific, Waltham, MA, USA), and 1 µg RNA was subjected to cDNA synthesis. cDNA was synthesized using IScript cDNA Synthesis Kit (Bio-Rad, Hercules, CA, USA) according to the manufacturer’s instructions.

### 2.4. ChIP

Conventional ChIP from cultured cancer cells (approximately 1.5 × 10⁶ cells) was done with anti-H3K36me3 IgG (Abcam, ab9050) as described [16]. cfChIP from blood plasma was done with anti-H3K36me3 IgG (Abcam, ab9050) as described [16]. Briefly, between 200 and 400 µL plasma was aliquoted from each sample and the extracted DNA used as input sample. The rest of the plasma sample (0.6–2.9 mL) was diluted to a ratio of 1 : 5 in RIPA buffer (Tris/HCl 25 mM, NaCl 150 mM, Sodium deoxycholate 1%, NP-40 1%, SDS 0.1%) containing EDTA-free cOmplete-brand protease inhibitor (Roche, Mannheim, Germany) and used for cfChIP.

### 2.5. Quantitative PCR (qPCR)

qPCR experiments were run in triplicates of 10 µL containing forward and reverse primer (1.25 µM), 5 µL RealQ 2X Master Mix green with ROX (Ampliqon, Odense, Denmark), and 3.75 µL RNase-free water. qPCR was performed with Roche LightCycler 480 with the following settings: 15 min at 95 °C, 40 cycles of PCR (10 s at 95 °C, 20 s at 58 °C and 15 s at
72 °C). This step was followed by a final elongation of 1 min at 72 °C. All Ct values > 35 were considered below threshold. The data were quantified using the X_{0} method [21]. Primer sequences and primer efficiencies, determined as previously described [21], can be found in Table S2. In RT-qPCR analyses using EGFR primers for exon 6 and 7, the data were normalized to ACTG1 as previously described [16].

2.6. Droplet digital PCR

Droplet digital PCR (ddPCR) experiments were run in duplicates using the QX200 AutoDG Droplet Digital PCR System (Bio-Rad). Each reaction contained 11 µL ddPCR Supermix for Probes (no UTP), 1 µL forward and reverse primer (10 µM), 1 µL of each probe (1 µM), 5–10 µL cDNA or ChIP sample and nuclease-free H₂O to a total volume of 22 µL. For cell input and ChIP samples, equal amounts of DNA (0.35 ng) were added to each well. The cChIP ddPCR experiments were made using the ddPCR mutation detection assay EGFR-L858R (Bio-Rad, Catalogue No. 10049550, ID dHsaMDV2010021). The baseline for a positive sample has been determined by ddPCR analysis of cfDNA from 14 healthy individuals. 23 315 EGFR fragments were detected (avg. 1665 per sample), and all of them were EGFR-WT, and none were EGFR-L858R. This means that no false positives are produced by the assay. Droplets were made using the QX200 AutoDG (Bio-Rad). Semi-Skirted ddPCR plates (Bio-Rad) were sealed using PX1 PCR Plate Sealer (Bio-Rad), and PCR was performed using a GeneAmp PCR System 9700 (Applied Biosystems, Waltham, MA, USA). Droplets were read using the QX200 Droplet Reader (Bio-Rad), and the data were analysed in QX Manager 1.1 Standard Edition. Positive droplets were normalized to the total number of droplets generated. Template-free controls with nuclease-free H₂O instead of cChIP, cDNA or ChIP samples were used to set the threshold for positive droplets. In gene copy number analysis, ALK, which is expected to be present in two gene copies per cell, is used for normalization. Primers and probes are listed in Tables S2 and S3.

2.7. Statistics

Statistic results for gene expression were calculated using data from independent biological replicates. Comparison of statistical significance was performed using a ratio-paired Student’s t-test. A two-sided P-value < 0.05 was considered statistically significant.

3. Results and Discussion

3.1. Anti-H3K36me3 ChIP on NSCLC cell lines reveal EGFR transcription

We first addressed the relation between EGFR transcriptional activity measured with H3K36me3 ChIP and EGFR mRNA expression levels measured by quantitative cDNA analyses in representative NSCLC cell lines. We note H3K36me3 is a marker for transcriptional activity, and this histone modification is expected to be present in the 3′ end of an actively transcribed gene [19]. We included in our analyses HCC827 harbouring allelic amplification with approximately 70 copies of the EGFR deletion, EGFR-ex19del(c.2236_2250del15); H1975 with 4 to 5 EGFR copies, due to aneuploidy of chromosomes carrying the heterozygous missense mutation EGFR-L858R (c.2573 t > g); and A549 with 2 copies of WT EGFR [22–26]. To differentiate between WT and mutated EGFR, we utilized ddPCR, which allows multiplex absolute quantification. We designed mutation- and WT-specific EGFR primer and probe sets for ddPCR analysis of ChIP and cDNA samples. This included a probe conjugated to HEX specifically targeting EGFR-ex19(c.2236_2250del15) and a FAM-conjugated probe binding to EGFR-ex19WT discriminating between WT and mutated EGFR-ex19 in HCC827 cells [27]. Similarly, we designed a HEX-conjugated probe targeting EGFR-ex21(c. 2573 t > g) and a FAM-conjugated probe binding to EGFR-ex21WT discriminating between EGFR-WT and EGFR-L858R present in H1975 cells [28].

We performed ChIP with an H3K36me3 antibody using chromatin extracts from A549, H1975 and HCC827 cells. As a control, ChIP was performed without antibody added to the ChIP reaction. The specificity for H3K36me3 antibody-mediated enrichment of EGFR DNA fragments was first verified by conventional qPCR using an amplicon located to EGFR exon 19 (Fig. 2A). We next determined the ChIP enrichment distribution of WT and mutated EGFR by ddPCR (Fig. 2B–F). The total number of EGFR-positive droplets in input and ChIP samples is presented in Fig. 2B. The results validate the specificity of the primer and probe sets, given EGFR-ex19del is only detected in HCC827 cells and EGFR-L858R is only detected in H1975 cells. As expected, input and the H3K36me3 ChIP-enriched EGFR DNA is almost exclusively represented by EGFR-ex19del in HCC827 cells (Fig. 2B). This is in accordance with the known EGFR-ex19del amplification in HCC827 cells. In H1975 cells, the input and H3K36me3 ChIP-enriched EGFR DNA is preferentially represented by EGFR-L858R (Fig. 2B).
H1975 has about 4 EGFR gene copies with EGFR-L858R representing 72% of the EGFR genes (Fig. 2C). Following ChIP, the mutational allele fraction (MAF) increases, indicating higher EGFR-L858R transcription compared to EGFR-WT transcription in H1975 cells (Fig. 2D). EGFR amplification in HCC827 cells results
in approximately 75 EGFR gene copies, with EGFR-ex19del representing 97% of the alleles. The MAF following ChIP is similar to the MAF detected in input for HCC827 cells (Fig. 2C,D), which shows EGFR-ex19del and EGFR-WT alleles are transcribed to a similar level.

The normal presentation of ChIP results as enrichment of GOI (hereby EGFR), compared to input will represent the transcriptional activity per EGFR copy. The ChIP enrichment relative to input for WT and mutated EGFR is displayed in Fig. 2E. The ratio between ChIP-enriched mutated and WT EGFR following H3K36me3 ChIP is shown in Fig. 2F. This shows no major difference exists in ChIP enrichment for WT versus mutated EGFR in the examined cell lines per gene copy and supports the idea that WT and mutated EGFR alleles are transcribed to a comparable level. Thus, the total number of EGFR alleles is the major determinant for the overall transcriptional level in the analysed cell lines.

3.2. NSCLC ChIP experiments verified with RT-qPCR

We next proceeded to EGFR mRNA expression analyses. RT-qPCR mRNA expression analyses with an EGFR amplicon targeting exon6 and exon7 showed that H1975 and HCC827 cells have approximately 2- and 24-fold more EGFR mRNA expression compared to A549 cells, respectively (Fig. 3A). Given the EGFR copy number differences we previously described in A549 (2 copies), H1975 (4-5 copies), and HCC827 (75 copies), this points to EGFR mRNA expression levels and H3K36me3 ChIP enrichment levels showing a similar correlation to the EGFR copy number (Figs 2C and 3A). We next performed mRNA expression analyses using ddPCR to detect WT and mutated EGFR mRNA expression in the cell lines. From Fig. 3B, it can be seen that in both H1975 and HCC827 cells, mutated EGFR is expressed to a higher level than WT EGFR. Figure 3C shows the mRNA expression ratio between mutated and WT EGFR. To compare results from ChIP (percentage of input, Fig. 2E) with RNA expression, the gene copy number of EGFR-WT and mutated EGFR must be taken into consideration. In Fig. 3C, the EGFR expression levels in Fig. 3B are normalized to EGFR copy numbers presented in Fig. 2C and then plotted relative to EGFR-WT expression for H1975 and HCC827 cells. The RNA expression ratios for mRNA per gene copy (1.30 and 0.85 for H1975 and HCC827, respectively) are similar to the ChIP enrichment ratios (1.59 and 0.80 for H1975 and HCC827, respectively; Figs 2F and 3C). Thus, the results validate the correspondence between mRNA expression and H3K36me3 ChIP-derived transcriptional results for EGFR. We concluded that in the examined NSCLC cell lines, H3K36me3 ChIP and quantitative cDNA analyses revealed WT and mutated EGFR per gene copy are similarly transcribed and expressed at the mRNA level and that these two different measures for gene expression are correlated for EGFR.

3.3. Tumour-specific EGFR expression measured in ctDNA with cfChIP

We next performed H3K36me3 cfChIP using blood plasma from NSCLC patients harbouing EGFR mutations. For NSCLC patient blood plasma samples, all

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Fig. 3. EGFR mRNA expression analyses in NSCLC cell lines. (A) EGFR mRNA expression was determined by reverse transcription quantitative PCR (RT-qPCR) and presented relative to ACTG1. Bars display the mean with the SD of 3 technical replicates. (B) ddPCR-based mRNA expression analysis of mutated and WT EGFR. Data are presented relative to the EGFR-WT expression level in A459 cells. (C) ddPCR-based mRNA expression analysis of mutated relative to WT EGFR. Data are presented normalized to gene copy numbers in H1975 and HCC827 cells. Experiments in diagram B and C are performed 3 times, and the data displayed are a single representative experiment.
mutated EGFR fragments in the cfDNA pool will represent ctDNA. Given the expected driver status of mutated EGFR, most, if not all, of the mutated EGFR ctDNA fragments represent DNA expressed in the tumour cells at the time the gene was released to the blood as ctDNA. On the other hand, the WT EGFR fragments in the cfDNA pool can originate from any of the cells in the body delivering cfDNA to the blood, including tumour cells contributing EGFR-WT ctDNA fragments, but WT EGFR will most often originate from normal tissue. Not all noncancerous EGFR-WT fragment-contributing cells have EGFR transcription to a level comparable to the tumour. Between NSCLC patient blood plasma samples (2- to 27-fold). Besides reflecting differences in EGFR-L858R transcription, enrichment can be affected by multiple factors, including variance in the amount of circulating cfDNA bound to nucleosomes, loss of histone methylation, dissociation of cfDNA from the nucleosome core particle [31], and loss of sample material during the cfChIP procedure. Understanding the variation in cfChIP enrichment within the same patient is highly relevant; however, due to the limited number of plasma samples taken pre-EGFR-TKI therapy for each patient we were not able to obtain such data. The cfChIP enrichment of EGFR-L858R has a median of 3.3%, which is comparable with the efficiency obtained with conventional ChIP from cancer cell lines (Figs 2 and 4). The H3K36me3 antibody used for cfChIP limits the analyses to genes harbouring mutations in the 3' region. Sadeh et al. [20] showed that targeting histone modifications at the promoter region of actively transcribed genes is also feasible. We used ddPCR to generate cfChIP results for GOIs, but next-generation sequencing of cfChIP samples would in theory contain all expressed genes present in the cfDNA pool. This was proved by Sadeh et al., who showed that cfChIP-seq can be used to determine the cell of origin as well as diagnose different diseases [20]. However, since the presented cfChIP-seq design doesn’t focus on tumour-specific mutations, it is difficult to determine if a cfChIP-seq enriched gene is expressed in the tumour, or it originates from healthy cells. Furthermore, since H3K4me3 is restricted to the transcription start site of active genes, H3K36me3 cfChIP-seq, also presented by Sadeh et al., is envisaged to be superior regarding detection of transcriptional activity in genes with somatic mutations.

Our study adds to Sadeh et al., because we demonstrate that it is possible to use the cfDNA technique to look exclusively at the tumour-derived ctDNA and not at the total pool of cfDNA. Focusing on somatic mutations present in the cancer can help in understanding the relevance of concomitant mutations in EGFR-mutated patients. Blakely and colleagues [10] have shown that patients harbouring EGFR mutations also acquire multiple different alterations that could affect the patients’ TKI response.

Information on the included patient plasma samples is available in Table S1. The H3K36me3 cfChIP results are presented as enrichment in the percentage of input (Fig. 4A). The results showed enrichment of significantly more EGFR-L858R fragments compared to EGFR-WT fragments. This is in alignment with EGFR-L858R indeed being transcribed in the tumour and proof-of-concept that cfChIP can determine whether a gene harbouring a somatic mutation is actively transcribed and accordingly tumour expressed. Figure 4B illustrates the considerable variation in the degree of EGFR-L858R enrichment between NSCLC patient blood plasma samples (2- to 27-fold). Besides reflecting differences in EGFR-L858R transcription, enrichment can be affected by multiple factors, including variance in the amount of circulating cfDNA bound to nucleosomes, loss of histone methylation, dissociation of cfDNA from the nucleosome core particle [31], and loss of sample material during the cfChIP procedure. Understanding the variation in cfChIP enrichment within the same patient is highly relevant; however, due to the limited number of plasma samples taken pre-EGFR-TKI therapy for each patient we were not able to obtain such data. The cfChIP enrichment of EGFR-L858R has a median of 3.3%, which is comparable with the efficiency obtained with conventional ChIP from cancer cell lines (Figs 2 and 4). The H3K36me3 antibody used for cfChIP limits the analyses to genes harbouring mutations in the 3' region. Sadeh et al. [20] showed that targeting histone modifications at the promoter region of actively transcribed genes is also feasible. We used ddPCR to generate cfChIP results for GOIs, but next-generation sequencing of cfChIP samples would in theory contain all expressed genes present in the cfDNA pool. This was proved by Sadeh et al., who showed that cfChIP-seq can be used to determine the cell of origin as well as diagnose different diseases [20]. However, since the presented cfChIP-seq design doesn’t focus on tumour-specific mutations, it is difficult to determine if a cfChIP-seq enriched gene is expressed in the tumour, or it originates from healthy cells. Furthermore, since H3K4me3 is restricted to the transcription start site of active genes, H3K36me3 cfChIP-seq, also presented by Sadeh et al., is envisaged to be superior regarding detection of transcriptional activity in genes with somatic mutations.

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cfChIP could give a greater insight into the relevance of these mutations because only actively transcribed genes harbouring mutations should affect the TKI response.

4. Conclusion
cfChIP targeting somatic cancer mutations is a promising new methodology for which the basis of liquid biopsies could help understand how expression of mutated oncogenic drivers such as \textit{EGFR}, \textit{ALK}, \textit{MET} and \textit{KRAS} contribute to carcinogenesis. Liquid biopsies allow longitudinal sampling with minimal risks for the patient compared to surgical biopsies and enable monitoring of the dynamics in oncogenic driver expression during the cancer disease period. Further studies will help to increase the understanding of the utility of cfChIP and appropriate ways to implement the cfChIP method for improved cancer treatment and diagnosis.

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Conflict of interest
The authors declare no conflict of interest.

Author contributions
CM, ALN and BSS conceived and designed the study. CM performed the experiments, analysed the data and drafted the manuscript. PM contributed with clinical data and patient material. JVN contributed with methodological input and discussions. All authors revised and approved the final manuscript.

Data accessibility
All data required to evaluate the conclusions of the paper are present in the main text or the Supplementary Materials of the paper.

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Supporting information

Additional supporting information may be found online in the Supporting Information section at the end of the article.

Table S1. Input and cfChIP sample data from patients with \textit{EGFR-L858R}.

Table S2. Sequence, primer efficiency, amplicon length, and application of primers.

Table S3. Sequence and tag on probes.