Platelet-Rich Plasma Injection Associated With Microtenotomy in Lateral Epicondylitis – is a Tendon Tear Associated with the Therapeutic Response?

Joana Martins ¹, Igor S. Neto ², Ana F. Gonçalves ², Adriana Pereira ³, Mariana Santiago ⁴, Inês Ferro ⁵, Tiago Lopes ⁶, José Luís Carvalho ⁶

1. Physical Medicine and Rehabilitation, Centro Hospitalar e Universitário de Coimbra, Coimbra, PRT 2. Physical Medicine and Rehabilitation, Centro de Reabilitação do Norte, Vila Nova de Gaia, PRT 3. Physical Medicine and Rehabilitation, Centro de Medicina de Reabilitação de Alcoitão, Alcoitão, PRT 4. Physical Medicine and Rehabilitation, Centro Hospitalar Universitário de São João, Porto, PRT 5. Physical Medicine and Rehabilitation, Centro de Medicina de Reabilitação da Região Centro - Rovisco Pais, Tocha, PRT 6. Intervention and Musculoskeletal Rehabilitation Unit, Centro de Reabilitação do Norte, Vila Nova de Gaia, PRT

Corresponding author: Joana Martins, joanamrmamartins@hotmail.com

Abstract

Objective

Ultrasound-guided platelet-rich plasma (PRP) injections, as well as needle tenotomy, are becoming increasingly popular in the treatment of epicondylitis. Whether ultrasound (US) findings predict the clinical benefit of these techniques is unclear at the moment. This study aimed to investigate the relationship between the presence of tendon tear assessed by US and the therapeutic response of the PRP injection following needle microtenotomy in patients with epicondylitis.

Methodology

This is a retrospective observational study. Twenty-six patients with chronic (>three months) lateral epicondylitis recalcitrant to conservative treatment or corticosteroid injection. Patients underwent US-guided microtenotomy followed by PRP injection. Data regarding gender, age, US findings at baseline, and numeric pain rating scale (NPRS) scores before and after intervention were collected. Pain improvement rates were calculated at several follow-up time points, namely one, three, six, and 12 months post-intervention. Results are stated as mean ± standard deviation.

Results

At the time of intervention, the mean age was 47.6±6.5 years, and 57.7% of patients were men. Overall, the mean initial NPRS score was 7.5±1.2, and there were no statistically significant differences in mean initial NPRS scores between the groups with or without tendon tear on the US imaging. The mean improvement rate at one, three, and six months was similar between patients with and without tendon tear. However, a statistically significant difference was observed at 12 months (73.1±37.6% vs. 16.0±21.9, p=0.029).

Conclusions

Patients with tendon tear demonstrated a higher pain improvement rate at 12 months follow-up. This finding could predict the clinical response to this technique, thus allowing a better selection of the candidates.

Introduction

Lateral humeral epicondylitis is a common source of lateral elbow pain, which was first described by Runge in 1873, and is generally accepted as a condition related to repetitive microtrauma [1,2]. Despite its exact pathophysiologic mechanisms are still unclear, an accumulating body of evidence suggests an underlying process of degenerative tendinosis characterized by the absence of inflammatory cells and the presence of fibroblasts, vascular hyperplasia, and unstructured collagen instead of a continuous inflammatory process [3–5].

Several therapeutic strategies have been described, namely analgesic drugs, physiotherapy, elbow supports, shockwave therapy, corticosteroid injections, acupuncture, and surgery. However, there is still no generally accepted treatment, and the more is known about its pathophysiology, the more treatments that stimulate
tissue regeneration become popular [6,7].

Percutaneous needle tenotomy, also known as tendon fenestration or barbotage, consists of the fenestration of the tendinopathic tissue with a needle to induce bleeding and the release of growth factors, thus converting a chronic into an acute injury with high healing potential. Similarly, autologous platelet-rich plasma (PRP) injections aim to stimulate the healing process by promoting the release of active cytokines by the platelets when injected into the area of tendinopathy [7,8].

Despite several authors have been reporting good results with these techniques, either isolated or used in association, in the treatment of tendinopathic conditions [7-13], little is known about the predictors of its therapeutic benefit in epicondylitis. This study aimed to investigate the relationship between the presence of tendon tear assessed by ultrasound (US) and the therapeutic response of the US-guided PRP injection following needle tenotomy in patients with epicondylopathy.

Materials And Methods

This retrospective observational study included 26 consecutive patients with chronic (> three months) lateral epicondylitis with no or unsatisfactory improvement after conservative treatment or corticosteroid injection.

Clinical diagnosis and the pre-intervention US

Two experienced physicians in musculoskeletal pathology from our center performed the diagnosis, pre-intervention US, and the US-guided microtenotomy followed by PRP injection procedure to all patients. Clinical diagnosis was based on pain at the common extensor origin at the lateral humeral epicondyle, exacerbated by local palpation, forearm supination resistance, active wrist extension, and positive Cozen test. Pre-intervention US was performed with a 6-13MHz high-frequency linear probe (GE Logiq F8 L6-12-RS, General Electric Company GE, United Kingdom).

All the patients gave their consent, and the procedures in this study were in agreement with ethical standards and accordance with the 1964 Helsinki Declaration and its later amendments.

PRP preparation

For PRP preparation, 20 mL of peripheral blood were collected from all patients, and leukocyte-free PRP was prepared by single spinning at 1500 rpm for eight minutes. The plasma layer was collected under laminar flow, obtaining approximately 4mL of pure PRP, with no external activation.

US-guided microtenotomy and PRP injection

All procedures were performed in the supine position, with the elbow flexed at 120° and the forearm in pronation, following a sterile protocol. Lidocaine (2mL) was initially infiltrated in the subcutaneous tissues superficial to the lateral epicondyle via a 21-gauge needle. After that, 15-20 fenestrations were applied on the tendon by redirecting the needle in different directions, especially over the hypoechogenic tissue, followed by PRP injection in the same areas. All patients were then instructed to rest for 48 hours and avoid weight lifting and manipulation.

Data collection and outcomes

Data regarding gender, age, and US findings at baseline were collected from patients’ records. Concerning US findings, patients were grouped according to the existence or absence of intratendinous tear.

The primary endpoint was the mean numeric pain rating scale (NPRS) score throughout the follow-up (one, three, six, and 12 months post-intervention). Secondary endpoints included the mean change in NPRS score from baseline, the improvement rate (%) calculated as a percentage of reduction of NPRS score from baseline and pain intensity classified as no pain (NPRS score = 0), mild (1-2), moderate (3-7) or severe (8-10) pain.

Statistical analysis

Data were presented as mean ± standard deviation (SD) for continuous variables or as frequencies and percentages for categorical variables, as n indicates the number of patients. Normality of distribution for continuous variables was assessed with the Shapiro-Wilk test. Univariate analysis was performed with (i) the Student’s t-test or the Mann-Whitney U test for continuous variables, according to the normality assessment, or (ii) the χ² test or the Fisher’s exact test for categorical variables, as appropriate. A p-value <0.05 was considered as the threshold for statistically significant differences. Statistical analysis was carried out with IBM SPSS Statistics version 19.0.0 (IBM Inc., Armonk, NY, USA), and graphs were prepared with ggplot2 package for R using RStudio (version 1.4.1106).
## Results

### Baseline characteristics of the population

A total of 26 patients were included in this study. Table 1 displays baseline patient characteristics according to gender. The average age was 47.6±6.5 years. The majority of the patients were male (57.7%), and right elbow epicondylitis was more prevalent (57.7%) than left. Tendon tear was present in 17 patients (65.4%).

### Table 1: Baseline patient characteristics according to gender

| Characteristic | Total (n=26) | Female (n=11) | Male (n=15) | P-value |
|---------------|-------------|--------------|-------------|---------|
| Age (years) ± SD | 47.6±6.5 | 47.0±7.5 | 48.1±6.0 | 0.689 |
| Elbow | | | | |
| Left | 11 (42.3) | 6 (54.5) | 5 (33.3) | 0.426 |
| Right | 15 (57.7) | 5 (45.5) | 10 (66.7) | |
| Tear | 17 (65.4) | 8 (72.7) | 9 (60.0) | 0.683 |

### NPRS score throughout follow-up

Overall, the mean initial NPRS score was 7.5±1.2 (Table 2), with a statistically significant difference (p=0.007) between men (6.9±0.8) and women (8.2±1.4), as seen in Figure 1A. Table 2 shows that 50% of the patients had moderate pain and 50% severe pain at baseline.

### Table 2: NPRS score-based outcomes throughout follow-up

| Outcomes | Baseline (n=26) | Follow-up |
|----------|-----------------|-----------|
| NPRS score | 7.5±1.2 | One month (n=19) | Three months (n=19) | Six months (n=18) | 12 months (n=13) |
| ∆ from baseline | - | -3.6±2.7 | -3.2±3.0 | -3.3±2.6 | -3.7±3.0 |
| Improvement rate (%) | - | -30.3±60.0 | -43.7±60.1 | -46.4±35.6 | 51.2±42.7 |
| Pain intensity | | | | | |
| No pain | - | 1 (5.3) | 3 (15.8) | 2 (11.1) | 4 (30.8) |
| Mild | - | 8 (40.1) | 4 (21.1) | 6 (33.3) | 2 (15.4) |
| Moderate | 13 (50.0) | 7 (36.8) | 8 (42.1) | 7 (38.9) | 4 (30.8) |
| Severe | 13 (50.0) | 3 (15.8) | 4 (21.1) | 3 (16.7) | 3 (23.1) |

Values are presented as a number of patients (%) or as mean ± SD. ∆ - represents change; NPRS - numeric pain rating scale.
Mean NPRS score, mean change in NPRS score from baseline, mean improvement rate, and pain intensity at one, three, six, and 12 months are presented in Table 2. Six patients, three females and three males (23.1%), were refractory to treatment, as no changes in NPRS score were observed between baseline and throughout the follow-up.

No significant differences were observed between men and women at the evaluated time points of follow-up, although scores tended to be higher in women (Table 3).
| Outcomes          | Female   | Male     | P-value |
|-------------------|----------|----------|---------|
| NPRS score        |          |          |         |
| Baseline          | 8.2±1.4  | 6.9±0.8  | 0.007   |
| One month         | 3.9±3.1  | 3.4±2.5  | 0.697   |
| Three months      | 4.4±3.4  | 4.0±3.1  | 0.770   |
| Six months        | 4.3±3.1  | 3.8±3.1  | 0.752   |
| 12 months         | 4.7±3.1  | 3.4±4.0  | 0.811   |
| Improvement rate (%) |        |          |         |
| One month         | 50.6±38.8| 50.2±35.8| 0.971   |
| Three months      | 45.0±40.0| 42.5±42.3| 0.934   |
| Six months        | 51.7±33.0| 44.6±42.3| 0.738   |
| 12 months         | 48.7±33.4| 55.0±51.7| 0.799   |

**TABLE 3: NPRS score-based outcomes according to gender**

Values are presented as a number of patients (%) or as mean ± SD.

NPRS - numeric pain rating scale

Although patients with tear showed a trend towards greater improvement than patients without tear (Figure 1B), this difference was only statistically significant at 12 months in this population (Table 4). No differences were identified in baseline clinical characteristics between patients with tear and patients without tear.
| Outcomes       | Tear | No tear | P-value |
|----------------|------|---------|---------|
| NPRS score     |      |         |         |
| Baseline       | 7.4±1.3 | 7.6±1.2 | 0.785   |
| One month      | 3.3±2.4 | 4.3±3.4 | 0.790   |
| Three months   | 3.6±3.2 | 5.3±3.0 | 0.251   |
| Six months     | 3.1±2.9 | 5.4±2.8 | 0.113   |
| 12 months      | 2.4±3.4 | 8.6±1.7 | 0.044   |
| ∆ from baseline|      |         |         |
| One month      | -4.1±2.5 | -3.5±3.9 | 0.823   |
| Three months   | -3.6±3.0 | -1.9±3.5 | 0.182   |
| Six months     | -4.2±2.4 | -2.6±2.8 | 0.090   |
| 12 months      | -5.1±2.6 | -1.4±1.9 | 0.025   |
| Improvement rate (%) |      |         |         |
| One month      | 53.8±31.5 | 43.2±46.7 | 0.790   |
| Three months   | 52.5±36.6 | 28.8±39.1 | 0.181   |
| Six months     | 59.1±35.8 | 27.9±37.2 | 0.087   |
| 12 months      | 73.1±37.6 | 16.0±21.9 | 0.029   |

**TABLE 4: NPRS score-based outcomes according to the presence of tear assessed by US**

Values are presented as a number of patients (%) or as mean ± SD.

NPRS - numeric pain rating scale; US - ultrasound; ∆ - represents change

As this is a retrospective study, not all patients had a 12-month follow-up time. Thus, in order to validate these results, a subset analysis of patients with 12 months follow-up was performed, which confirmed the results shown above (Table 5).
TABLE 5: NPRS score-based outcomes according to the presence of tear assessed by US in the validation subset (patients with 12-month follow-up)

Values are presented as the number of patients (%) or as mean ± SD.

NPRS - numeric pain rating scale; US - ultrasound; ∆ - represents change

Discussion

The pathophysiology of epicondylitis is related to chronic inflammation, characterized by the presence of cellular degenerative processes and microvascular dysregulation. Thus, disorganization of the tendon healing process is predominantly observed, and the presence of inflammatory cells is only characteristic of the initial phases of this clinical condition. Hence, the available evidence about the pathophysiological mechanisms of epicondylitis increasingly supports the use of strategies that promote tissue regeneration instead of therapies with a predominant anti-inflammatory action [16].

In this way, the injection of PRP has been used with the aim of promoting healing in epicondylitis and other clinical entities with tendon involvement, with significant pain reduction both in the short and long term [17]. US-guided percutaneous needle tenotomy has also showed sustained pain improvement over time, considered as a minimally invasive alternative to the surgical release of the common tendon of the extensors [18-19]. Currently, there is no data supporting the use of one technique over the other, and the association of PRP injection and percutaneous microtenotomy is also little described in the literature. Although this association has been shown to be effective in pain reduction, its real impact in comparison to the isolated techniques has not yet been studied [13-15, 20]. Thus, the real efficacy of these techniques remains unclear due to the lack of quality evidence [6].

In our sample, right epicondylitis was more prevalent than left (n=15, 57.7% vs. n=11, 42.3%), which is not surprising as epicondylitis is associated with overuse and is more frequently observed on the dominant side. The mean age of the patients studied was 47.6±6.5 years, which was also consistent with the literature [21]. On the contrary, the majority of patients were male (n=15, 57.7%), although the female gender has been reported as a demographic factor associated with a higher probability of developing epicondylitis [22].

We found a significantly higher baseline NPRS score for women (8.2±1.4 vs. 6.9±0.8, p<0.007). Several authors have reported that men and women differ in their responses to pain, with increased pain sensitivity and more painful diseases commonly reported among women, which is consistent with these findings [23].
However, we found no relationship between gender and the therapeutic effect of PRP injection following US-guided percutaneous microtenotomy, although the male gender had been previously associated with better functional recovery post-tenotomy in one study [15], and the female gender was considered to have more benefit from injecting PRP in tendinopathy [24].

The presence of tendon tear was observed in 65.4% (n=17) of the population. No relationship has been found between the initial NPRS score and the presence of this ultrasound finding. However, the presence of tear was significantly associated with higher pain relief at 12 months follow-up, regarding the outcomes evaluated [15]. In all time points evaluated, there was a statistically significant reduction in NPRS score greater than three units compared to baseline. As previously proposed, these differences suggest a clinically significant reduction in the level of pain throughout the follow-up period, so this technique can be considered effective for a period of at least 12 months [7,25].

This study has several limitations, namely the fact that it is retrospective, leading to a limitation in the information that was able to be collected; the small sample size, which may hinder the interpretation of findings and comparability with previous studies. The fact that not all patients had the same follow-up period may also be a limitation in our work. Nevertheless, this aspect was addressed by performing a subset analysis of the patients with data available for the 12-month follow-up.

Conclusions

US-guided PRP injection following percutaneous microtenotomy was effective in the majority of the patients with epicondyliitis and may be a reasonable option for the treatment of this clinical entity. Patients with tendon tear demonstrated a higher pain improvement rate at 12 months follow-up. This finding could be predictive of the clinical response to this technique, thus allowing a better selection of the candidates. Prospective and larger studies should be considered with the aim of clarifying whether the presence of tears is associated with a better therapeutic response to this technique.

Additional Information

Disclosures

Human subjects: Consent was obtained or waived by all participants in this study. Animal subjects: All authors have confirmed that this study did not involve animal subjects or tissue. Conflicts of interest: In compliance with the ICMJE uniform disclosure form, all authors declare the following: Payment/services info: All authors have declared that no financial support was received from any organization for the submitted work. Financial relationships: All authors have declared that they have no financial relationships at present or within the previous three years with any organizations that might have an interest in the submitted work. Other relationships: All authors have declared that there are no other relationships or activities that could appear to have influenced the submitted work.

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