Collaborative care for comorbid depression and coronary heart disease: a systematic review and meta-analysis of randomised controlled trials

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ABSTRACT

Objectives: To systematically review the efficacy of collaborative care (CC) for depression in adults with coronary heart disease (CHD) and depression.

Design: Systematic review and meta-analysis.

Data sources: Electronic databases (Cochrane Central Register of Controlled Trials MEDLINE, EMBASE, PsycINFO and CINAHL) were searched until April 2014.

Inclusion criteria: Population, depression comorbid with CHD; intervention, randomised controlled trial (RCT) of CC; comparison, either usual care, wait-list control group or no further treatment; and outcome, (primary) major adverse cardiac events (MACE), (secondary) standardised measure of depression, anxiety, quality of life (QOL) and cost-effectiveness.

Data extraction and analysis: RevMan V.5.3 was used to synthesise the data as risk ratios (RRs), ORs and standardised mean differences (SMD) with 95% CIs in random effect models.

Results: Six RCTs met the inclusion criteria and comprised 655 participants randomised to CC and 629 participants randomised to the control group (total 1284). Collaborative depression care led to a significant reduction in MACE in the short term (three trials, RR 0.54; 95% CI 0.31 to 0.95, p=0.03) that was not sustained in the longer term. Small reductions in depressive symptoms were evident in the short term (6 trials, pooled SMD −0.31; 95% CI −0.43 to −0.19, p<0.00001) and depression remission was more likely to be achieved with CC (5 trials, OR 1.77; 95% CI 1.28 to 2.44, p=0.0005). Likewise, a significant effect was observed for anxiety symptoms (SMD −0.36) and mental QOL (SMD 0.24). The timing of the intervention was a source of between-group heterogeneity for depression symptoms (between groups p=0.04, I²=76.5%).

Conclusions: Collaborative depression care did not lead to a sustained reduction in the primary MACE end point. Small effects were observed for depression, depression remission, anxiety and mental QOL.

Trials registration number: PROSPERO CRD42014013653.

INTRODUCTION

Depression is widely reported to lead to an adverse coronary heart disease (CHD) prognosis, poor quality of life (QOL) and high healthcare costs. Despite ongoing efforts to better identify and treat depression, prior psychological and pharmacological interventions designed especially for the CHD population have reported markedly lower effect sizes than has been observed among other chronic diseases such as diabetes. Moreover, large trials such as the landmark Enhancing Recovery in Coronary Heart Disease (ENRICHD) study did not lead to a significant reduction in major adverse cardiac events (MACE), raising questions about the design and acceptability of depression interventions in the population with CHD.

Collaborative care (CC) is emerging as a promising model of healthcare among populations with complex mental health needs and mental disorders comorbid with chronic diseases including diabetes and CHD. CC is defined by a multiprofessional approach to patient care delivered by a primary care physician (PCP) and at least one other health professional, involving a structured patient management plan and interventions, scheduled patient follow-ups, and enhanced interprofessional communication between the multiprofessional teams. Prior systematic reviews have not reported on the efficacy of CHD studies in particular, although mixed CHD and diabetes samples
are commonplace. Several large prospective randomised controlled trials (RCTs) of CC versus usual care have been reported recently, making it feasible to examine the efficacy and early benefits of CC, which might in turn assist in the design of subsequent trials and inform clinical practice. This systematic review extends beyond previous studies by reporting the efficacy of CC for depression in adults with comorbid depression and CHD.

METHODS

Search strategy

This review conformed to the PRISMA guidelines and a protocol has been published elsewhere. Electronic databases were searched without language restrictions until April 2014: the Cochrane Central Register of Controlled Trials (CENTRAL) on The Cochrane Library, MEDLINE, EMBASE, PsycINFO and CINAHL. The search string exploded the topics CHD, depression and RCT, as reported previously. Hand searching reference lists of articles selected for full text supplemented electronic searches. The principal investigators of studies were contacted to ascertain unpublished data and their knowledge of any other CC trials not included in our primary search. Additional data were provided for five trials and no response was received from the TrueBlue study authors.

Inclusion criteria

Population: RCT studies were performed among adults (18 years and older) with comorbid depression and CHD. Depression was defined as depression disorder or clinical depression assessed according to the Diagnostic and Statistical Manual of Mental Disorders (DSM) or International Classification of Diseases (ICD) by a standardised interview (eg, Structured Clinical Interview, Composite International Diagnostic Interview) or a validated self-reports or rating scales with specific cut-off points for depression. Mixed samples (eg, heart failure, arrhythmia, diabetes) were eligible if ≥50% of the sample had a CHD diagnosis.

Intervention: CC intervention is defined as a coordinated model of care involving multidisciplinary healthcare providers, including: (1) at least one health professional (eg, nurse, psychiatrist, psychologist) in addition to the PCP; (2) a structured patient management plan that delivers either a pharmacological or a non-pharmacological depression intervention; (3) scheduled patient follow-up and (4) enhanced interprofessional communication between the multiprofessional team. CC may include usual CHD care or blended depression CHD care.

Comparison: control group was defined as either (enhanced) usual care, wait-list control (WLS) group or no further treatment for comorbid depression CHD.

Outcomes: primary: all-cause and CHD-related mortality as well as MACE (eg, subsequent myocardial infarction (MI), coronary revascularisation procedure, incident heart failure (HF), stroke).

Secondary: secondary outcomes include depression, anxiety and QOL (measured either dimensionally or categorically) following the intervention assessed by validated self-report questionnaires or standardised interviews. In addition, we considered economic evaluations of healthcare costs or resource utilisation including cost-effectiveness (incremental cost-effectiveness ratio) and cost-utility (quality-adjusted life years).

Study selection process, risk of bias and assessment

Two reviewers (PJT and HB) independently screened abstracts and articles for eligibility. In the case of title/abstract disagreements, the study was subjected to full-text review and disagreements were resolved by discussion. Two reviewers (PJT and HB) independently assessed included studies using the Cochrane Collaboration’s tool for assessing risk of bias. The tool covers sequence generation, allocation concealment, selective outcome reporting and other sources of bias. Adjudication of the strength of evidence for each end point was made according to the Grading of Recommendations Assessment, Development and Evaluation (GRADE) criteria with GRADE Profiler 3.6.1.

Synthesis of data and summary measures

Standardised mean differences (SMD) for continuous variables, risk ratios (RR) for MACE and ORs for dichotomous end points are reported with 95% CI. Data were pooled together with random effects models using the inverse-variance method. To evaluate the presence of publication bias, the funnel plot was inspected. All analyses were performed with RevMan V.5.3.

RESULTS

The search yielded 1755 citations from which 46 articles were reviewed in detail, and 16 papers were retained which reported on 6 RCTs (figure 1). Five CC trials performed with diabetes and CHD or mixed chronic disease populations were excluded as they did not meet the threshold of more than 50% of patients with CHD. Two trials were close to meeting the definition of CC for depression comorbid with CHD, but were excluded. The Identifying Depression as a Comorbid Condition (IDACC) study was excluded as the intervention did not initiate pharmacological or non-pharmacological depression treatment and did not involve structured follow-up of participants to augment treatment if necessary. The UPBEAT-UK study was excluded as the intervention was a case-management intervention and did not incorporate other healthcare professionals such as the PCP.

The 6 RCTs that met the inclusion criteria comprised a total of 1284 patients with comorbid depression and CHD: 655 participants randomised to CC and 629 participants randomised to a control group. A description of
the included trials is shown in table 1. The median proportion of participants with CHD in the trials was 78.9%, suggesting a high representative sampling of the chronic disease understudy. The median sample size was 179 participants per study with a median of 47.6% female participants. Four trials recruited participants from multiple sites, and two trials were performed at a single centre. Five trials were from the USA, and one trial was performed in Australia. The comparison group was usual care or enhanced usual care in five studies consisting of informing participants’ PCP and one trial used a WLC group.

Depression screening questionnaires varied only minimally. Depression was assessed with the Patient Health Questionnaire (PHQ) to determine study eligibility in four trials. Specifically, three trials used a two-step screening approach with the PHQ-2 and a PHQ-9 for participants with an initial positive depression response on the PHQ-2. These trials used a moderate depression threshold consisting of PHQ-9 total scores ≥10. The TrueBlue study included patients with mild depression symptoms consisting of PHQ-9 scores ≥5. In the Coronary Psychosocial Evaluation Studies (COPES) and Comparison of Depression Interventions after Acute Coronary Syndrome (CODIACS) trials, the Beck Depression Inventory (BDI) was used for screening and trial eligibility. The clinical cut-off was set at ≥10 on at least two different screening occasions in COPES. In CODIACS, the clinical cut-off was set at BDI ≥10 on at least two different screening occasions or BDI ≥15 on one occasion. Five of the trials utilising either the PHQ or BDI to determine trial eligibility also used the same measure for depression symptom response at the conclusion of the trial. The Bypassing the Blues trial employed the Hamilton Rating Scale for Depression for depression symptom clinical response.

CC was managed by an allied health team in two trials, by nurses in two studies and by social workers in two studies. The CC intervention duration ranged from 3 to 12 months and the median duration was 6 months. The psychotherapy component of the CC package consisted of problem-solving therapy in two studies, telephone-delivered manualised CBT in one study, referral to community mental health services in two studies, and was mixed in another study. The pharmacological component of the trials varied. In Bypassing the Blues, depression pharmacotherapy consisted of citalopram, serotonin norepinephrine reuptake inhibitor (SNRI) or bupropion. In
| Study, country | Design and intervention length | CHD population (% CHD in total sample) | Sample size of CC vs UC (% females in total sample) | Depression assessment | CC intervention | Control group |
|---------------|-------------------------------|----------------------------------------|---------------------------------|---------------------|----------------|---------------|
| Bypassing the Blues, Rollman et al., USA | Single-blind effectiveness RCT, 8 months | CABG (100%) | 150 CC vs 152 UC (41.4) | PHQ-2 positive screen as inpatient and PHQ-9 score ≥ 10 2 weeks post-CABG, PRIME-MD form for mood disorders | Citalopram, SNRI or bupropion; referral to a community MHS; a combination of the above; 'watchful-waiting' Usual care, given brochure on depression and heart disease; PCP informed of depression status | |
| CODIACS, Davidson et al., USA | Single-blind effectiveness RCT, 6 months | UA, MI (100%) | 73 CC vs 77 UC (42.0) | BDI-I score ≥ 10 on 2 screening occasions or ≥ 15 on 1 occasion 2–6 months after hospitalisation | Initial patient preference for problem-solving therapy and/or pharmacotherapy (sertraline, citalopram, bupropion), or neither; then a stepped-care approach every 6–8 weeks, structured follow-up initially every week with PST or 1–2 and 3–5 weeks to titrate doses with pharmacotherapy; study team included a site physician and fed back information to PCP. | Enhanced usual care: psychological and medical follow-up at the hospital, then referral to a community MHS, a combination of the above. |
| COPES, Davidson et al., USA | Single-blind effectiveness RCT, 6 months | UA, MI (100%) | 73 CC vs 77 UC (53.5) | BDI-I score ≥ 10 on 2 screening occasions 1 week and 3 months after hospitalisation | Initial patient preference for problem-solving therapy and/or pharmacotherapy (sertraline, escitalopram, venlafaxine, bupropion, venlafaxine XR), or neither; then care, PCP informed of depression status. | Enhanced usual care: psychological and medical follow-up at the hospital, then referral to a community MHS, a combination of the above. |
| MOSAIC, Huffman et al., USA | Single-blind effectiveness RCT, 6 months | UA, MI, HF, arrhythmia (51%) | 92 CC vs 91 UC (53.0) | Two-step screening process; PHQ-2, GAD-2 and item about panic | Social worker and psychiatrist developed individualised treatment recommendations; patient preference | Enhanced usual care: psychological and medical follow-up at the hospital, then referral to a community MHS, a combination of the above. |

**Table 1** Characteristics of included CC studies in the treatment of comorbid depression and CHD

*Continued from the previous page*
| Study, country                           | Design and intervention length | CHD population (% CHD in total sample) | Sample size of CC vs UC (% females in total sample) | Depression assessment | CC intervention                                                                 | Control group                                                                 |
|-----------------------------------------|--------------------------------|----------------------------------------|-----------------------------------------------------|-----------------------|---------------------------------------------------------------------------------|--------------------------------------------------------------------------------|
| SUCCEED, Huffman et al, USA17 60         | Single-blind effectiveness RCT, 3 months | UA, MI, HF, arrhythmia (52.6%)         | 90 CC vs 85 UC (48.6)                               | Two-step screening process; PHQ-2 positive screen and PHQ-9 score ≥10 as an inpatient | for pharmacotherapy (SSRI most commonly citalopram, SNRI, bupropion, mirtazapine and anxiety treatment with SSRI or benzodiazepine) or CBT (minimum 6 session CBT when allocated); stepped-care; PCP informed of patient preference; structured telephone call and follow-up to monitor symptoms, promote adherence and engagement | Social worker and psychiatrist individualised depression treatment recommendations based on history and patient preference (SSRI or psychotherapy); study team provided the PCP or cardiologist with treatment recommendations; verbal and written recommendations to the inpatient treatment team; depression education for pleasant activities scheduling; monitored for adequate depression response |
| TrueBlue, Morgan et al, Australia19 36   | Cluster randomised RCT, 12 months | CHD and diabetes (57.8)                | 170 CC vs 147 WLC (46.7)                            | PHQ-9 score ≥5 as a primary care patient                    | Scheduled visits to PN and PCP every 3 months over 12-months; referrals to MHS; development and recording of patient goals | Usual care; PN monitor depression by screening at scheduled intervals |

BDI-I, Beck Depression Inventory-I; CABG, coronary artery bypass graft; CBT, cognitive–behavioural therapy; CC, collaborative care; CHD, coronary heart disease; CODIACS, Comparison of Depression Interventions after Acute Coronary Syndrome (Centralized, Stepped, Patient Preference-Based Treatment for Patients With Post-Acute Coronary Syndrome Depression); COPES, Coronary Psychosocial Evaluation Studies; GAD, generalised anxiety disorder; HF, heart failure; MHS, mental health services; MI, myocardial infarction; MOSAIC, Management of Sadness and Anxiety in Cardiology; PCP, primary care physician; PD, panic disorder; PHQ, Patient Health Questionnaire; PN, practice nurse; PRIME-MD, Primary Care Evaluation of Mental Disorders; PST, problem-solving therapy; RCT, randomised controlled trial; SNRI, serotonin norepinephrine reuptake inhibitor; SSRI, selective serotonin reuptake inhibitors; SUCCEED, Screening Utilization and CC for More Effective and Efficient Treatment of Depression; UA, unstable angina; UC, usual care; WLC, wait-list control.
CODIACS, depression pharmacotherapy consisted of sertraline, citalopram or bupropion. In COPES, pharmacotherapy consisted of sertraline, escitalopram, venlafaxine, bupropion and mirtazapine. In Management of Sadness and Anxiety in Cardiology (MOSAIC), depression pharmacotherapy consisted of selective serotonin reuptake inhibitor (SSRI, most commonly citalopram), SNRI, bupropion, mirtazapine and anxiety treatment with SSRI or benzodiazepine. In Screening Utilization and CC for More Effective and Efficient Treatment of Depression (SUCCEED), depression pharmacotherapy consisted of SSRI. No specific depression pharmacotherapy regimen was reported in TrueBlue.

Risk of bias
Risk of bias varied in the included primary trials (see eSupplement 1). Missing trial characteristics were common despite all studies having published a trial protocol. In four trials, the allocation concealment was unclear. Blinding to subjective end points was rated as high in all studies. Selective reporting was noted in three studies because of discrepancies in the study end points reported in the protocol in comparison with the primary trial results.

Primary outcome: major adverse cardiac events
Three trials reported MACE and pooling all data irrespective of follow-up showed that CC did not reduce MACE (RR=0.87; 95% CI 0.53 to 1.42, p=0.20, I²=39%). CC was associated with significant reduction in MACE during the short to medium term (RR=0.54; 95% CI 0.31 to 0.95, p=0.03) that was not sustained in the long term (>12 months follow-up) where only the COPES trial reported MACE (RR 1.04; 95% CI 0.51 to 2.14, p=0.91) (figure 2). There was no association with mortality (5 trials, RR 1.38; 95% CI 0.53 to 3.58, p=0.51).

Secondary outcomes
Depression symptoms and remission
All six trials reported a change in self-reported depression symptoms by 6 months postintervention. CC was associated with a significant reduction in depressive symptoms (pooled SMD −0.31; 95% CI −0.43 to −0.19, p<0.0001; I²=13%) (figure 3). There was no depression symptom data available in the medium or long term. Four trials reported depression remission or clinically significant depression response and additional data were provided by the MOSAIC trial. CC was significantly associated with depression remission (OR=1.77; 95% CI 1.28 to 2.44, p=0.0005; I²=23%) (figure 4). In the medium term, only the COPES trial reported depression response based on the BDI ≤10 (OR 2.26; 95% CI 1.14 to 4.46, p=0.02). Since the COPES trial reported similar depression remission results in the short to medium term, pooling all depression remission data in the five trials, irrespective of the time frame, indicated similar results.

Other secondary outcomes
The forest plots for each of the secondary end points are reported in eSupplements 2 to 5. Four trials reported anxiety symptom change. It was found that CC led to a small, but significant reduction in anxiety symptoms in the short term (SMD −0.36; 95% CI −0.54 to −0.17, p=0.0001; I²=25%). CC was also associated with a significant improvement in mental QOL in the short term across five trials (SMD 0.23; 95% CI 0.08 to 0.38, p=0.003; I²=27%), while effects for physical QOL were non-significant (SMD 0.11; 95% CI −0.03 to 0.25, p=0.12; I²=13%). In terms of cost-effectiveness, there was
no significant benefit afforded by CC based on two trials in the short term (SMD −0.09; 95% CI −0.32 to 0.13, p=0.42; I²=0%). Medium-term results were reported by Bypassing the Blues, which did not indicate significantly lower costs with CC (SMD 0.07; 95% CI −0.22 to 0.35, p=0.65).

Ancillary analyses

We performed ancillary analysis with each constituent of the MACE end point encompassing acute coronary syndrome hospitalisations, coronary revascularisation, HF and stroke. There was no significant effect for CC to reduce any of these more specific cardiovascular end points (see eSupplements 6 to 9). Also, since five trials differentiated between MACE and cardiac-cause hospital readmissions, we performed an analysis according to the latter outcome, which occurs more frequently. Analysis of five trials showed no significant reduction in cardiac-cause hospital readmissions (RR=0.89; 95% CI 0.66 to 1.19, p=0.43; I²=35%) (see eSupplement 10).

Sensitivity analyses

For depression change, a sensitivity analysis was performed excluding the trials comprised by patients with diabetes without CHD and non-depressed patients with CHD with anxiety. The sensitivity analysis revealed a small increase in the effect size (pooled SMD −0.39; 95% CI −0.53 to −0.25, p<0.00001; I²=0%). We also evaluated the trials comprising patients with only CHD (excluding other cardiac disorders) and assessed the depression response. The trials were associated with depression remission (OR=1.94; 95% CI 1.40 to 2.70, p<0.0001; I²=39%) and depression symptom reduction (pooled SMD −0.43; 95% CI −0.59 to −0.27, p<0.00001: I²=0%).

![Figure 3](image1.png)

**Figure 3** Forest plot showing depressive symptoms in collaborative care studies versus usual care or waiting list control (short term). IV, inverse variance; SD; CODIACS, Comparison of Depression Interventions after Acute Coronary Syndrome; COPES, Coronary Psychosocial Evaluation Studies; SUCCEED, Screening Utilization and CC for More Effective and Efficient Treatment of Depression, MOSAIC, Management of Sadness and Anxiety in Cardiology.

![Figure 4](image2.png)

**Figure 4** Forest plot showing depression remission in collaborative care studies versus usual care or waiting list control (short and medium terms). IV, inverse variance; SD; CODIACS, Comparison of Depression Interventions after Acute Coronary Syndrome; COPES, Coronary Psychosocial Evaluation Studies; SUCCEED, Screening Utilization and CC for More Effective and Efficient Treatment of Depression, MOSAIC, Management of Sadness and Anxiety in Cardiology.
The timing of depression onset and intervention after a cardiac hospitalisation has been raised by several scholars as an important methodological consideration. Thus, we stratified studies as providing CC immediately on screening or as an inpatient versus those which considered depression chronicity with a secondary screener at a later stage and as an outpatient. It was found that timing of depression intervention was a source of between-group heterogeneity for depression severity in six trials (between groups \( p=0.04, I^2=76.5\% \) (see eSupplement 11), but not for depression remission (between groups \( p=0.50, I^2=0\% \) (see eSupplement 12).

When analysing the effect of CC in relation to components of depression treatment, as described in our protocol, it was found that CC was not associated with a higher prescription rate of antidepressant medication (6 trials, OR=1.38; 95% CI 0.91 to 2.10, \( p=0.13, I^2=62\% \)). There was no increase in the initiation of psychological therapy with CC (6 trials, OR 2.01; 95% CI 0.85 to 4.76, \( p=0.11, I^2=84\% \)) (see eSupplements 13 and 14).

**Publication bias and GRADE strength of recommendations**

Testing for publication bias was inappropriate as fewer than 10 RCTs were eligible. All of the primary and secondary outcomes were graded as being of moderate strength according to the GRADE criteria (see eSupplement 15).

**DISCUSSION**

This systematic review adds to the extant literature by reporting the efficacy and healthcare costs of CC interventions in comorbid depression and CHD populations. It was found that CC was associated with a significant reduction in MACE in the short term (<6 months) that was not sustained in the longer term. The absence of significant reduction in MACE in the longer term is comparable to other findings with pharmacological or psychological interventions. The results pertaining to the secondary depression end points indicated a small albeit significant reduction in depression symptoms with CC, and depression remission was also more likely in the short term. In addition, CC was associated with a significant reduction in anxiety symptoms and an improvement in mental QOL. The findings did not suggest a significant benefit for physical QOL or healthcare costs. Taken together, the findings generally support previous systematic reviews regarding more specific depression treatments such as antidepressants or psychotherapy in the population with CHD.

The significant reduction in MACE in the short term is in contrast to a prior Cochrane review and other systematic reviews reporting on medical outcomes. However, the generalisation of our findings is limited as only three trials reported the primary MACE end point in the short term. Thus, it is most likely that there were simply too few MACE reported, resulting in low statistical power. This is further exemplified by comparing the cumulative sample in our analyses to the ENRICHD study which randomised 2481 patients with MI to cognitive–behavioural therapy supplemented with SSRIs versus usual care. At the 29-month follow-up in the ENRICHD trial, there was no difference in event free survival from death or recurrent MI (75.8% intervention vs 75.9% usual care). The longer term MACE findings of our review align with the general consensus that depression treatment does not lead to a clinically meaningful impact on cardiovascular events in patients with CHD.

With regard to depression remission, short-term results with CC were promising, indicating a higher remission rate with CC. However, only the COPES trial reported medium-term follow-up data. With regard to secondary end points of anxiety and mental QOL the results here appear comparable to other systematic reviews on psychological interventions.

The limitations of the primary studies are that the predominant CC research has been performed in the USA with only one Australian study included here. Other CC trials that did not meet our CHD threshold have been performed in the UK and the Netherlands. Further trials with CHD populations may assist in clarifying the extent to which CC can be readily applied in other healthcare settings outside the USA. As a consequence of low uptake of CC RCTs outside the USA, the total number of RCTs retained for our meta-analysis was low. Moreover, the infrequent reporting of MACE and mortality data in the original studies limited our analyses to three trials. Another limitation was that risk of bias assessment showed that some studies were characterised by methodological limitations, especially a lack of blinding regarding intervention staff and participants (which is not possible in CC interventions when compared to usual care) and blinding of depression assessment (ie, only self-report instruments used).

Diversity in the design of the CC and control group may have also led to heterogeneity between the studies. In favour of a more comprehensive overview of the topic, we included studies with diabetes and anxiety. As shown in sensitivity analyses, this might have underestimated the effect sizes when compared to cardiac-depression populations only. Indeed, evidence for CC appears to be more firmly established in the population with diabetes, highlighting discrepancies between depression intervention efficacy in CHD. Given that CC interventions consist of scheduled follow-up, it cannot be ruled out that depression efficacy was partly attributable to the attention given to participants in the treatment condition. Further RCTs using attention control groups might also explicate whether treatment effects are partly attributable to time spent with patients.

In conclusion, collaborative depression care in the CHD population did not lead to a sustained reduction in MACE. Small reductions in depressive symptoms were evident for CC and intervention participants were more likely to achieve depression remission. Small effect sizes
for anxiety symptom reduction and improvement in mental QOL were evident with CC. However, it remains to be shown that collaborative depression care can lead to sustained reductions in cardiovascular events and a moderate depression response in the longer term. Scant RCT data exist outside of the USA and the cost-effectiveness has not been established at this time.

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