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Light-quark baryon spectroscopy within ANL-Osaka dynamical coupled-channels approach

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Abstract. Recent results on the study of light-quark baryons with the ANL-Osaka dynamical coupled-channels (DCC) approach are presented, which contain the $N^*$ and $\Delta^*$ spectroscopy via the analysis of $\pi N$ and $\gamma N$ reactions and the $\Lambda^*$ and $\Sigma^*$ spectroscopy via the analysis of $K^-p$ reactions. A recent application of our DCC approach to neutrino-nucleon reactions in the resonance region is also presented.

Keywords. Excited baryons · Dynamical coupled-channels model · Partial-wave analysis · Meson production reactions · Neutrino nucleon reactions

1 Introduction

The spectroscopic study of light-quark baryons ($N^*, \Delta^*, \Lambda^*, \Sigma^*$) remains a central issue in the hadron physics. Since the excitation spectra of light-quark baryons are an embodiment of the dynamics of confinement in Quantum Chromodynamics (QCD), their precise determination through analyzing reaction data is essential to test QCD in the nonperturbative domain. In this regard, several attempts to link the (real) energy spectrum of QCD in the finite box to the complex pole masses of actual hadron resonances have been being made recently (see, e.g., Refs. [1; 2; 3 ; 4]).

A particular complexity exists in extracting the light-quark baryons from reaction data. This originates from a peculiar character of the light-quark baryons: they are broad and highly overlapping resonances. The light-quark baryons are thus strongly correlated with each other and with backgrounds through reaction processes over the wide energy range, and the observed shape of cross sections and spin asymmetries represents such a complicated interference between them. As a result, the light-quark baryon resonances do not produce any clean isolated peak in cross sections with a few exceptions. This makes identification of light-quark baryons from experiments rather difficult, and cooperative works between experiments and theoretical analyses are indispensable for the light-quark baryon spectroscopy.

To disentangle complicated correlations between the light-quark baryon resonances, one needs to perform a partial-wave analysis of various meson-production reactions comprehensively over the wide energy range. Here the use of a reaction framework satisfying multichannel unitarity is particularly important. In fact, as is well known, multichannel unitarity ensures the conservation of probabilities in the multichannel reaction processes, and this is crucial for accomplishing comprehensive analysis of meson-production reactions with various final states consistently within a single reaction framework. Also, the multichannel unitarity properly defines the analytic structure (branch points, cuts, threshold

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 cusps, etc.) of scattering amplitudes in the complex-energy plane, as required by the scattering theory, which is essential to extract resonance information from reaction data correctly.

As an approach that enables such a multichannel analysis, a dynamical coupled-channels (DCC) model for meson-production reactions in the resonance region was first developed in Ref. [5]. So far, this model has been employed in a series of works [6, 7, 8, 9, 10, 11, 12, 13, 14, 15] to analyze the $\pi N$, $\gamma N$, and $eN$ reactions and study the mass spectrum, structure, and dynamical origins of nonstrange $N^*$ and $\Delta^*$ resonances. This model has also been applied to the study of $A^*$ and $\Sigma^*$ resonances with strangeness $S = -1$ through a comprehensive analysis of $K^- p$ reactions [16, 17]. In addition to these studies related to the light-quark baryon spectroscopy, we have recently made an application of our DCC model to neutrino-nucleon reactions in the resonance region [18, 19]. The purpose of this contribution is to give an overview of our recent efforts for these subjects.

2 ANL-Osaka DCC model

The basic formula of our DCC model is the coupled-channels integral equation obeyed by the partial-wave amplitudes of $a \rightarrow b$ reactions that are specified by the total angular momentum ($J$), parity ($P$), and total isospin ($I$) (here we explain our approach by taking the $N^*$ and $\Delta^*$ sector as an example):

$$T_{b,a}^{(J^P)}(p_b, p_a; W) = V_{b,a}^{(J^P)}(p_b, p_a; W) + \sum_c \int_C \mathrm{d}p_c p_c^2 V_{b,c}^{(J^P)}(p_b, p_c; W) G_c(p_c; W) T_{c,a}^{(J^P)}(p_c, p_a; W),$$

with

$$V_{b,a}^{(J^P)}(p_b, p_a; W) = v_{b,a}(p_b, p_a) + Z_{b,a}(p_b, p_a; W) + \sum_{N^*} \frac{I_{b,N^*}^*(p_b) I_{N^*,a}^*(p_a)}{W - M_{N^*}^0}.$$  

(1)

(2)

Here the subscripts $(a,b,c)$ represent the considered reaction channels (the indices associated with the total spin and orbital angular momentum of the channels are suppressed); $p_a$ is the magnitude of the relative momentum for the channel $a$ in the center-of-mass frame; and $W$ is the total scattering energy. For the $N^*$ and $\Delta^*$ sector, we have taken into account the eight channels, $\gamma^{(*)} N$, $\pi N$, $\eta N$, $\rho N$, and $\sigma N$ [20] where the last three are the quasi two-body channels that subsequently decay into the three-body $\pi N N$ channel. The Green’s functions for the meson($M$)-baryon($B$) channels are given by $G_{c}(p_c; W) = 1/[W - E_M(p_c) - E_B(p_c) + i\varepsilon]$ for $c = \pi N, \eta N, \rho N, \sigma N$, and $\sigma N$ where the last three are the relativistic single-particle energy of a particle $a$, and $\Sigma_{MB}(p_c; W)$ is the self-energy that produces the three-body $\pi N N$ cut. The transition potential $V_{b,a}$ [Eq. (2)] consists of three pieces. The first two, $v_{b,a}$ and $Z_{b,a}$, describe the nonresonant processes including only the ground-state mesons and baryons belonging to each flavor SU(3) multiplet, and the third one describes the propagation of the bare $N^*$ states. In our approach, the unitary transformation method [5, 21] is used to derive the potential $v_{b,a}$ from effective Lagrangians. With this method, the resulting $v_{b,a}$ becomes energy independent and its off-shell behavior is specified. On the other hand, the $Z$-diagram potential, $Z_{b,a}$, is derived using the projection operator method [22]. It also produces the three-body $\pi N N$ cut [5, 14], and implementation of both the $Z$-diagram potential and the self-energy in the Green’s functions is necessary to maintain the three-body unitarity. Furthermore, off-shell rescattering effects are also taken into account properly through the momentum integral in Eq. (1), which are usually neglected in on-shell approaches.

3 Recent efforts for $N^*$ and $\Delta^*$ spectroscopy with ANL-Osaka DCC model

Our latest published model for the $N^*$ and $\Delta^*$ sector [14, 13] was constructed by making a simultaneous analysis of $\pi p \rightarrow \pi N, \eta N, K\Lambda, K\Sigma$ and $\gamma p \rightarrow \pi N, \eta N, K\Lambda, K\Sigma$. This contains the data of both unpolarized differential cross sections and polarization observables up to $W = 2.1$ GeV (up to
In this analysis, we have been mainly proceeding with two subjects for the latter: (1) determination of $\gamma n$ observables) of the $\gamma d$ photoproductions through the DCC model except for $\gamma n$ reactions, which will be discussed in Sec. 5.

There are mainly two reasons for studying meson photo- and electro-production reactions off the neutron target. One comes from the fact that the analysis of the data for both proton- and neutron-target reactions is required to decompose the matrix elements for the electromagnetic currents into the ones of isoscalar and isovector currents and uniquely determine the isospin structure of the $\gamma(N)N \rightarrow N^*$ transition amplitudes. Another is because the matrix elements for such “isospin-decomposed” currents are necessary for constructing a model for neutrino-induced reactions, which will be discussed in Sec. 5.

So far, we have performed the fits to the data for $\gamma n \rightarrow \pi N$ up to $W \leq 2$ GeV. The data are available for $d\sigma/d\Omega$, $\Sigma$, $T$, and $P$ for $\gamma n \rightarrow \pi^- p$, and $d\sigma/d\Omega$ and $\Sigma$ for $\gamma n \rightarrow \pi^0 n$. This contains $\sim 3,200$ data points. Some of the results of our fits are presented in Figs. 1 and 2. One can see that a reasonably good reproduction of the $\gamma n \rightarrow \pi N$ data has been accomplished for the considered energy region.

In Fig. 3, we present our calculated results for all 16 observables ($d\sigma/d\Omega$ and 15 polarization observables) of the $\gamma N \rightarrow \pi^- p$ reaction at $W = 1604$ MeV. They are predictions from our current DCC model except for $d\sigma/d\Omega$, $\Sigma$, $T$, and $P$, whose data displayed in Fig. 3 were included in our fits to determine the model parameters. The polarization data are known to provide crucial constraints on multipole amplitudes, and tremendous efforts are now being pursued at electron- and photon-beam facilities such as JLab, ELSA, and MAMI to extract polarization observables for the neutron-target photoproductions through the $\gamma d$ reaction (see, e.g., Ref. 27).

### 4 Comprehensive analysis of $K^- p$ reactions and extraction of $\Lambda^*$ and $\Sigma^*$ resonances

Following the success of our $N^*$ and $\Delta^*$ studies, we have recently extended our DCC approach to the strangeness $S = -1$ sector to also explore the $\Lambda^*$ and $\Sigma^*$ hyperon resonances. In the formulation of the DCC model in the $S = -1$ sector, we have taken into account seven channels ($\bar{K}N, \pi^\Sigma, \pi\Lambda, \eta\Lambda, K\Xi, \pi\Sigma^*, K^*N$), where the $\pi\Sigma^*$ and $K^*N$ channels are the quasi two-body channels that subsequently decay into the three-body $\pi\Lambda$ and $\pi K N$ channels, respectively. The model parameters are then fixed by fitting to all available data of $K^- p \rightarrow \bar{K}N, \pi\Sigma, \pi\Lambda, \eta\Lambda, K\Xi$ reactions from the threshold up to

$$W = 2.3 \text{ GeV for } \pi p \rightarrow \pi N,$$

which results in fitting $\sim 23,000$ data points. After the completion of this analysis, we have been mainly proceeding with two subjects for the $N^*$ and $\Delta^*$ spectroscopy: (1) extraction of the helicity amplitudes for the $\gamma n \rightarrow N^*$ transition from the available $\gamma n \rightarrow \pi N$ data, and (2) determination of $Q^2$ dependence of the $p-N^*$ and $p-\Delta^*$ electromagnetic transition form factors by analyzing the structure function data for single-pion electroproductions off the proton target. The latter subject is discussed in Ref. 23, and in this contribution we focus on presenting the current status of our $\gamma n \rightarrow \pi N$ analysis.

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Fig. 3  Differential cross section and all polarization observables for $\gamma n \rightarrow \pi^- p$ at $W = 1604$ MeV ($E_\gamma = 900$ MeV). The results are predictions from our current DCC model except for $d\sigma/d\Omega$, $\Sigma$, $T$, and $P$. The data are taken from Ref. [22].

Fig. 4  Fitted results for the total cross sections of $K^- p$ reactions [16]. Red solid (blue dashed) curves are the results of Model A (Model B).

$W = 2.1$ GeV. The data contain the total cross section ($\sigma$), differential cross section ($d\sigma/d\Omega$), and recoil polarization ($P$), and this results in fitting more than 17,000 data points.

Figure 4 shows the results of our fits to the total cross sections for all reactions we considered. Here two curves are plotted in red (Model A) and blue (Model B), respectively. This is because the existing $K^- p$ reaction data are not sufficient to determine our model parameters unambiguously, and it allowed us to have two distinct sets of the model parameters, yet both give almost the same $\chi^2$. 


Table 1 Extracted complex pole masses (MR) for the A* and Σ* resonances found in the energy region above the KN threshold. The masses are listed as (Re(MR), -Im(MR)) together with their deduced uncertainties. The resonance poles are searched in the complex W region with mR + mN ≤ Re(W) ≤ 2.1 GeV and 0 ≤ -Im(W) ≤ 0.2 GeV, and all of the resonances listed are located in the complex W Riemann surface nearest to the physical real W axis.

| J P(l12) | M_R (MeV) | J P(l12) | M_R (MeV) |
|----------|-----------|----------|-----------|
|         | Model A   | Model B  | Model A   | Model B  |
| 1/2^- (S01) | (1693.4, 9.57) | (1512.4^+1.85^+2.17) | 1/2^- (S11) | (1504.4^+3.6^+7.1) |
| 1/2^+ (P01) | (1544.5^+2.6^+1.4) | (1548.5^+2.6^+1.4) | 1/2^+ (P11) | (1490.4^+2.6^+7.1) |
|           | (2097.4^+0.1^+0.1) | (1841.5^+3.6^+3.1) |           | (1457.4^+1.4^+1.4) |
| 3/2^- (P03) | (1859.5^+5.6^+10) | (1671.5^+2.6^+3.1) | 3/2^- (D13) | (1547.5^+11.1^+11.1) |
| 3/2^- (D03) | (1517.5^+4.6^+4.6) | (1517.5^+4.6^+4.6) | 3/2^- (D13) | (1706.6^+6.7^+51.44) |
|           | (1697.4^+3.6^+3.6) | (1697.4^+3.6^+3.6) |           | (1704.6^+6.7^+51.44) |
| 5/2^- (D05) | (1766.5^+34.16^+34.16) | (1924.5^+24.45.5^+17) | 5/2^- (D15) | (1607.6^+126.5^+126.5) |
| 5/2^- (F05) | (1824.5^+39.1^+39.1) | (1824.5^+39.1^+39.1) | 5/2^- (F15) | (1699.5^+40.3^+33.3) |
| 7/2^- (F07) | (1757, 73) | (2041.8^+82.119.17) | 7/2^- (F17) | (1767.5^+64.4^+11) |

Fig. 5 Differential cross section at W = 1672 MeV for the K^-p → ηA reaction. Left (right) panel is the result from Model A (Model B). Solid curves are the full results, while the dashed curves are the contribution from the S01 partial wave only. For Model B, the difference between the solid and dashed curves almost comes from the P03 partial wave dominated by the new narrow J P = 3/2^+ A resonance with M_R = 1671.5^+6.7^+51.44 MeV.

value. We quote Ref. [16] for all the details of our analysis including the fits to the differential cross sections and polarization observables.

Once the model parameters are determined by fitting to the reaction data, we can extract various information (mass, width, pole residues, and branching ratios, etc.) on the A* and Σ* resonances by making an analytic continuation of the calculated scattering amplitudes in the complex energy plane (see Refs. [24, 27] for a numerical procedure to perform the analytic continuation within our DCC model). This was done in Ref. [17], and the value of the resonance pole masses extracted obtained via such an analytic continuation is presented in Table 1. We searched pole positions of scattering amplitudes in the complex energy region with m_R + m_N ≤ Re(W) ≤ 2.1 GeV and 0 ≤ -Im(W) ≤ 0.2 GeV, and found 18 resonances (10 A* and 8 Σ*) in Model A, while 20 resonances (10 A* and 10 Σ*) in Model B. The uncertainties assigned for the value of each extracted pole mass was deduced by following a procedure similar to the one used in Ref. [24] to determine the uncertainties in extracted multipole amplitudes for γp → K^+A. Here we did not assign the uncertainty for J P = 7/2^+ A resonance in Model A because it was turned out to be too large to be meaningful.

We see in Table 1 that the pole mass of 9 resonances (6 A* and 3 Σ*) agree well between our two models within the deduced uncertainties (each resonance is aligned in the same row). These res-
resonances would correspond to $\Lambda(1670)\frac{1}{2}^+, A(1600)\frac{1}{2}^+, A(1520)\frac{3}{2}^-, A(1690)\frac{3}{2}^-, A(1820)\frac{5}{2}^+, A(1830)\frac{5}{2}^- \Sigma(1670)\frac{3}{2}^-, \Sigma(1775)\frac{5}{2}^-, \text{and } \Sigma(2030)\frac{7}{2}^+$ in the PDG notation \[28\]. They are assigned as four-star resonances by PDG except for $\Lambda(1600)\frac{1}{2}^+$ with three-star status. However, within the energy region we are currently interested in, two more resonances, $A(1890)\frac{3}{2}^+$ and $\Sigma(1915)\frac{5}{2}^+$, are also rated as four-star by PDG, but the corresponding resonances are not found in Model B. This is one example indicating that four-star resonances rated by PDG using the Breit-Wigner parameters are not confirmed by the multichannel analyses in which the resonance parameters are extracted at pole positions \[17\].

A noteworthy difference between our two models is the existence of a new narrow $J^P = 3/2^+$ $A^*$ resonance with $M_R = 1671.2^{+2}_{-2} - i(5.8^{+1.1}_{-2})$ MeV, which is found only in Model B. We refer to this resonance as $A(1671)\frac{3}{2}^+$. It is located close to the $\eta A$ threshold and has almost the same $M_R$ value as the four-star $A(1670)\frac{1}{2}^-$ resonance [see the second $J^P(l_{2L}) = 1/2^-(S_{01})$ resonance in Table \[1\]. It is known that the sharp peak of the $K^- p \to \eta A$ total cross section near the threshold (see the bottom-right panel of Fig. \[4\]) can be explained by the existence of $A(1670)\frac{1}{2}^-$. In addition to this, we further find that the new $A(1671)\frac{3}{2}^+$ resonance seems to be favored by the differential cross section data (Fig. \[5\]). Actually, both Models A and B reproduce the $K^- p \to \eta A$ total cross section equally well, but Model A with no $A(1671)\frac{3}{2}^+$ does not reproduce the concave-up behavior of the differential cross section data well.

5 Application to neutrino-induced reactions in resonance region

After the establishment of the neutrino mixing between all three flavors, major interests in the neutrino physics are shifting to the determination of the leptonic $CP$ phase and the neutrino-mass hierarchy (see, e.g., Ref. \[30\]). In such neutrino-parameter searches via next-generation neutrino-oscillation experiments, the lack of knowledge of neutrino-nucleus reaction cross sections are expected to become

![Fig. 6](image6.png)

Fig. 6 (Left) Kinematical region relevant to neutrino-oscillation experiments. (Right) Schematic view of neutrino-nucleon reaction processes in resonance region.

![Fig. 7](image7.png)

Fig. 7 (Left) $d\sigma/dW dQ^2$ for $\nu_\mu n \to \mu^- \pi^- N$ at $E_\nu = 2$ GeV. (Middle) $d\sigma/dW dQ^2$ for $\nu_\mu n \to \mu^- \pi^+ \pi^- p$ at $E_\nu = 2$ GeV. (Right) $\sigma$ for $\nu_\mu n \to \mu^- \pi^- N$ (black curve) and $\nu_\mu n \to \mu^- \pi\pi^- N$ (red curve) as a function of $E_\nu$. See Ref. \[19\] for the details.
one of the major sources of the systematic uncertainties. Thus the developments of reaction models that give the cross sections in 10% or better accuracy are now highly desirable. Furthermore, the kinematics relevant to the neutrino-oscillation experiments extends over the quasi-elastic (QE), resonance (RES), and deep-inelastic-scattering (DIS) regions (see the left panel of Fig. 6), which are governed by rather different physics mechanisms and theoretical foundations. These facts lead experimentalists and theorists in different fields to developing a new collaboration \[31; 32\] at the J-PARC Branch of KEK Theory Center, aiming at constructing a unified neutrino-reaction model that covers all the relevant kinematical regions mentioned above consistently. We will employ our DCC model as a base model for the RES region.

As a first step towards developing a model for neutrino-nucleus reactions in the RES region, we have recently constructed a DCC model for neutrino-nucleon (νN) reactions \[19\]. This is actually an important step because it can be used as an input to elementary processes in nuclear-target reactions. The right panel of Fig. 6 presents a schematic view of the νN reactions in the RES region. The blue rectangle represents the matrix element for the transition between the nucleon and meson-baryon (MB) states induced by the (“V − A” -type) weak currents, while the red circle represents the rescattering process induced by the hadronic interactions. In our DCC model, the rescattering part has been determined through the analysis of πN and γN reaction data. However, the weak-current matrix elements have to be newly constructed. The vector-current part can be determined using the data for photon- and electron-induced meson-production reactions off the proton and neutron targets (see Sec. 3 and Ref. \[23\]), and in Ref. \[19\], the vector-current matrix elements were determined in the range of \(W \leq 2\) GeV and \(Q^2 \leq 3\) GeV\(^2\). The axial-current matrix elements are difficult to be determined because plenty of νN and/or νd reaction data are required for that purpose, but the existing data are far from sufficient to constrain our model parameters. Therefore, at this stage, we determined the axial-current matrix elements by making use of PCAC hypothesis, and by imposing purely phenomenological assumptions for their \(Q^2\) dependence, for which we will not go into the details here and just quote Ref. \[19\]. With this setup, we can make a prediction for the neutrino-nucleon reactions in the RES region.

Figure 7 shows the results for the charged-current (CC) single- and double-pion productions predicted from our DCC model. This is the first-time fully coupled-channels calculation of νN reactions beyond the \(\Delta(1232)3/2^+\) region. The contour plot of \(d\sigma/dWdQ^2\) for \(\nu_p n \to \mu^- \pi^0 n\) (the left panel of Fig. 7) show a clear peak due to \(\Delta(1232)3/2^+\) and relatively small peak at \(W \sim 1.5\) that comes from \(N(1535)1/2^-\) and \(N(1520)3/2^-\) and so on. In contrast, for the \(\nu_p n \to \mu^- \pi^+ \pi^- p\) case, the contribution from \(\Delta(1232)3/2^+\) is barely seen, while higher resonance contributions are sizable above \(W \gtrsim 1.45\) GeV, and their effects on the cross section reach a rather high \(Q^2\), too. The single-pion productions are known to be a major background in the neutrino-oscillation experiments at \(E_\nu \lesssim 1\) GeV. However, above \(E_\nu \sim 1\) GeV, the cross section of the double-pion productions also becomes significant (the right panel of Fig. 7) because of the large branching ratios of higher \(N^*\) and \(\Delta^*\) resonances for the decay into the three-body \(\pi\pi N\) states. This implies the need of reliable information on the double-pion productions for next-generation oscillation experiments using multi-GeV neutrino beams.

6 Summary

We have given an overview of our efforts for the light-quark baryon spectroscopy through a dynamical coupled-channels analysis of various meson-production reactions off the nucleon, induced by pion, photon, electron, and anti-kaon beams. In particular, our recent DCC analysis of \(K^- p\) reactions has successfully extracted parameters associated with \(A^*\) and \(\Sigma^*\) resonances defined by poles of scattering amplitudes, and has shed light on a possible existence of new \(A^*\) and \(\Sigma^*\) resonances. However, our results also reveal that the current existing data for \(K^- p\) reactions are still far from sufficient to constrain the extracted partial-wave amplitudes and \(A^*\) and \(\Sigma^*\) resonance parameters unambiguously. The help of hadron beam facilities such as J-PARC is highly desirable for further establishing \(A^*\) and \(\Sigma^*\) resonances. Finally, although we did not discuss in this contribution, our DCC approach has also been applied to meson spectroscopy \[33; 34\]. We are putting more efforts into this direction, too.

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