The Role of Cell-Free Plasma DNA in Patients with Cardiorenal Syndrome Type 1

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Abstract

Background: Recent research highlighted the potential role of circulating cell-free DNA (cfDNA), resulted by apoptosis or cell necrosis, as a prognostic marker in the setting of different clinical conditions. Cardiorenal syndrome type 1 (CRS type 1) is characterized by a rapidly worsening of cardiac function leading to acute kidney injury (AKI). Apoptosis of renal epithelial cells is proposed as a mechanism involved in CRS type 1. In this study, we investigated cfDNA levels in patients with acute heart failure (AHF) and CRS type 1 and the possible correlation between cfDNA levels and inflammatory and apoptotic parameters.

Methods: We enrolled 17 AHF patients and 15 CRS type 1 who exhibited AKI at the time of admission (caused by AHF) or developed AKI during the first 48 h from admission. cfDNA was extracted from plasma and quantified by real-time polymerase chain reaction. Plasma levels of NGAL, tumor necrosis factor-\textalpha, interleukin (IL)-6, IL-18, and caspase-3 were measured.

Results: We observed significantly higher levels of cfDNA in patients with CRS type 1 than patients with AHF. Caspase-3, IL-6, IL-18, and NGAL levels resulted significantly increased in patients with CRS type 1. Moreover, a positive correlation between cfDNA levels and caspase-3 levels was found, as well as between cfDNA levels and IL-6 and renal parameters.

Conclusion: Our study explores the premise of cfDNA as a marker for apoptosis and inflammation in CRS type 1 patients. cfDNA could potentially serve as an index for noninvasive monitoring of tissue damage and apoptosis in patients with AKI induced by AHF.

Introduction

Cell-free plasma DNA (cfDNA) is circulating extracellular DNA deriving from apoptosis or cell necrosis. Small amounts of cfDNA are normally detected in the blood of healthy individuals, but its levels increase in several clinical conditions, including sepsis [1–3], trauma [4], myo-
Cardiorenal syndrome type 1 (CRS type 1) is characterized by a fast falling of cardiac function leading to acute kidney injury (AKI). Reduced renal perfusion due to low cardiac output and neurohormonal activation are typical of CRS type 1, and they are responsible for renal ischemia with AKI. In addition, nonhemodynamic mechanisms (inflammation, immune dysregulation, etc.) are involved in the pathogenesis of CRS type 1. In this context, apoptosis of epithelial cells in proximal and distal tubules has a key role in ischemic renal damage [10]. In higher organisms, apoptosis is mediated by caspases, a family of cysteine proteases cleaving after an aspartate residue in their substrates [11]. cfDNA and its connection with apoptosis in CRS type 1 are not known: its role has never been studied in this condition.

In this study, we compared cfDNA levels between patients with acute heart failure (AHF) and patients with CRS type 1. Moreover, we investigated the possible correlation between cfDNA levels and inflammatory and renal parameters and caspase-3 in patients with AHF and CRS type 1.

Materials and Methods

Patients

Patients with AHF admitted into the Internal Medicine Department of San Bortolo Hospital were screened for the enrollment in the study. Patients with AKI before the episode of AHF or with other possible causes of AKI were excluded. An estimated glomerular filtration rate (eGFR) < 45 mL/min/1.73 m² or with a history of kidney transplantation were exclusion criteria. Furthermore, septic patients and hypotensive patients who required inotropic support prior to the diagnosis of AKI were excluded. Furthermore, we excluded patients exposed to contrast media in the 72 h preceding AKI and patients without baseline level of serum creatinine (SCr). We considered as the baseline value, at least 1 SCr level of the last 3 months before the admission for all patients enrolled in the study. Finally, we enrolled 17 AHF and 15 CRS type 1 patients. 8/15 CRS type 1 patients exhibited AKI at the time of admission (caused by AHF) and 7/15 developed AKI during the course of hospitalization (first 48 h from admission).

Clinical data, blood pressure, SCr, blood urea, hemoglobin, brain natriuretic peptide were evaluated and collected at admission. AHF was defined by the European Society of Cardiology (ESC) guidelines [12]. AKI was defined by the Acute Kidney Injury Network (AKIN) criteria [13]. CRS type 1 was defined according to the current classification system. SCr was measured with an enzymatic method, isotope dilution mass spectrometry traceable by an automatic analyzer (Dimension Vista; Siemens Healthcare, Tarrytown, NY, USA), and eGFR was calculated with the CKD-EPI equation [14].

Cell-Free Plasma DNA in CRS Type 1

Sample Collection

EDTA blood samples were collected from all 32 patients within 8 h from the admission into the Internal Medicine. We also collected blood sample within 24 h of AKI for patients who developed CRS type 1. For AHF patients, we used the blood sample from the admission; we used the blood sample within 24 h of AKI for patients who developed CRS type 1. Samples were subsequently centrifuged for 7 min at 1,600 g. After centrifugation, plasma was immediately stored at −80°C until the use.

Determination of NGAL Levels

Quantitative determination of NGAL was performed by an Alere Triage NGAL Panel (Alere, San Diego, CA, USA) at admission or within 24 h of AKI for patients who developed CRS type 1.

Isolation and cfDNA Extraction

cfDNA was extracted from fresh plasma samples (within 30 min after drawing) from all patients. cfDNA was isolated from 250 μL of plasma by DNA isolation kit (ArrowDNA Kit; NorDiag, Holliston, MA, USA) using the automatic extractor NorDiag Arrow (NorDiag, Holliston, MA, USA). The DNA obtained was eluted in 50 μL of elution buffer. cfDNA extraction was performed in duplicate for each sample.

Real-Time Quantitative PCR

Plasma cfDNA was measured by real-time quantitative polymerase chain reaction (PCR) using RotorGene 6000 (Qiagen, Milan, Italy). We amplified the β-actin gene (primers sequences: Forward [5′–3′] GGGGATCGGCAAA); Reverse [5′–3′]: CGGGGATCCGGCACA).

A volume of 10 μL of cfDNA was used as template in each PCR reaction. Each run included an activation step at 95°C for 3 min followed by 50 amplification cycles of 30 s denaturation, 30 s annealing at 65°C (touchdown 1°C for 10 cycles) and 30 s elongation, and a final elongation step at 72°C for 5 min, with fluorescence acquired on the green channel. The PCR products were heated to 99°C and cooled for heteroduplex formation, and melt was monitored by fluorescence emission using appropriate denaturation range (50–99°C).

For each run, 1 aliquot of stock DNA was serially diluted to create a 6-point standard calibration curve (200,000–20,000–2,000–200–20–2 pg/mL). A conversion factor of 6.6 pg of DNA per diploid cell was used. Results are expressed as genome equivalents (GEs)/mL; 1 GE/mL equals 6.6 pg DNA. We performed PCR runs 2 times in triplicate with samples and standard curves.

Determination of Caspase-3 Activity

Plasma samples were tested for cell-free caspase-3 evaluation. Caspase-3 was measured by Human Caspase-3 Instant ELISA Kit (eBioscience, San Diego, CA, USA) with a fluorometric assay at 450 nm by VICTORX4 Multilabel Plate Reader (PerkinElmer Life Sciences, Waltham MA, USA). Each experiment was performed in triplicate. Caspase-3 concentrations (ng/mL) were extrapolated from the standard curve.

Cytokines Enzyme-Linked Immunosorbent Assay

Quantitative determination of tumor necrosis factor-α, interleukin (IL)-18, and IL-6 in plasma was performed by Human Instant ELISA kit (eBioscience, San Diego, CA, USA) according to manufacturer’s instructions. Optical density was read by using a

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VICTORX4 Multilabel Plate Reader (PerkinElmer Life Sciences, Waltham, MA, USA) at 450 nm. The concentration values for these molecules were calculated from standard curves.

**Statistical Analysis**

Statistical analysis was performed using the SPSS Software package and GraphPad. A p value of <0.05 was considered statistically significant. Categorical variables were expressed as percentages; continuous variables were expressed as mean ± standard deviation (normally distributed variables) or median and interquartile range (IQR) (non-normally distributed variables). The Mann-Whitney U test or T test was used for comparison of 2 groups when appropriate. Categorical variables were compared using χ² test. Correlation coefficients were calculated with the Spearman’s rank correlation coefficient test and were used to verify the correlation between variables.

**Results**

**Subjects Baseline Characteristics**

We analyzed the cause of AHF in our population: non-ST segment elevation myocardial infarction in 6.3% of patients, excessive salt and fluid intake in 31.2% of patients, hypertensive crisis in 18.8% of patients, and other different reasons in 31.2% of patients. In 12.5% of patients, no cause of AHF was recognized.

The mean age of 15 patients with CRS type 1 was 75.2 ± 9.0 years and 47% of the patients were females. The median baseline SCr of CRS type 1 patients was 1.04 mg/dL (IQR 0.92–1.2), with median eGFR 56 mL/min/1.73 m² (IQR 48–66). Ten CRS type 1 subjects had diabetes and 13 (87%) had hypertension. 7/15 CRS type 1 patients developed AKI during in-hospital stay (first 48 h from admission). The median of length of stay (LOS) was 8 days (IQR 7–11) for CRS type 1 patients. Three CRS type 1 patients died during the in-hospital stay.

The mean age of 17 patients with AHF was 73.5 ± 10.2 years and 53% of these patients were females. The median baseline sCr of AHF subjects was 0.94 mg/dL (IQR 0.86–1.2), with median eGFR 56 mL/min/1.73 m² (IQR 54–73). Thirteen AHF subjects had diabetes and 15 AHF subjects had hypertension. The median of LOS was 8 days (IQR 6–10) for this group. No patients with AHF died during the study period.

Characteristics of CRS type 1 and AHF patients are described in Table 1. AHF and CRS type 1 patients were similar for age and weight, blood pressure, and ejection fraction and had similar comorbidities. Mortality was significantly higher in CRS type 1 patients (p = 0.05). The in-hospital LOS resulted similar in the 2 groups. SCr at baseline and albumin were not significantly different in CRS type 1 and AHF patients (p = 0.11 and p = 0.57, re-
spectively). Moreover, there was no significant difference in terms of median hemoglobin among AHF and CRS type 1 patients ($p = 0.06$). However, there was a tendency toward lower level of hemoglobin in CRS type 1 patients (11.4 g/dL, IQR 9.7–13.0 vs. 13.6 g/dL, IQR 11.1–14.3). At admission, SCr and urea levels resulted significantly higher in CRS type 1 patients than AHF subjects ($p < 0.001$ and $p = 0.02$, respectively). The median variation of SCr was significantly higher in CRS type 1 group (0.35 mg/dL, IQR 0.30–0.51) respect AHF patients (0.08 mg/dL, IQR 0.04–0.12) ($p = 0.05$).

### cfDNA Levels in AHF and CRS Type 1 Patients

We observed significantly higher levels of cfDNA in patients with CRS type 1 (7,531 GE/mL; IQR: 3,460–13,060 vs. 1,921 GE/mL; IQR: 1,623–3,185 in AHF patients, $p < 0.01$) (Fig. 1). No significant differences were found between the levels of cfDNA in patients who were admitted with AKI and patients who developed AKI during in-hospital stay (7,371 GE/mL; IQR: 3,921–12,017 vs. 5,331 GE/mL; IQR: 2,087–10,272, $p = 0.40$).

3/15 CRS type 1 patients died during the in-hospital stay. The cfDNA concentrations at admission were similar in CRS type 1 survivors and nonsurvivors (8,463 GE/mL; IQR: 3,487–13,894 vs. 7,211 GE/mL; IQR: 4,958–8,781) ($p = 0.4$).

### Plasma Caspase-3 Levels in AHF and CRS Type 1 Patients

The median value of plasma caspase-3 was 4.6 ng/mL (IQR 3.8–5.6) in AHF patients. The median level of plasma caspase-3 was 10.5 ng/mL (IQR 9.0–12.2) in CRS type

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**Fig. 1.** Plasma cfDNA and caspase-3 levels in patients with CRS type 1 and AHF. cfDNA, cell-free DNA; CRS type I, cardiorenal syndrome type 1; AHF, acute heart failure; Casp 3, caspase-3; GEs, genome equivalents.
1 patients. The level of caspase-3 resulted in significantly increase in CRS type 1 patients ($p < 0.01$) (Fig. 1).

No significant differences were found between the levels of plasma caspase-3 in patients who were admitted with AKI and patients who developed AKI during in-hospital stay (10.5 ng/mL; IQR: 7.5–11.4 vs. 9.9 ng/mL; IQR: 7.4–10.9, $p = 0.40$). The plasma caspase-3 at admission were similar in CRS type 1 survivors and nonsurvivors (10.7 ng/mL; IQR: 9.7–12.8 vs. 8.4 ng/mL; IQR: 6.1–9.5) ($p = 0.3$).

**Cytokines Profile**

Cytokines profile is described in Table 2. Tumor necrosis factor-α levels in plasma were similar in AHF and CRS type 1 patients ($p = 0.86$). Furthermore, plasmatic pro-inflammatory cytokines (IL-6 and IL-18) were significantly higher in CRS type 1 patients ($p < 0.001$ and $p = 0.024$, respectively). Furthermore, NGAL levels resulted significantly higher at admission in CRS type 1 patients than AHF patients (291 ng/mL, IQR 213–1,300 vs. 197 ng/mL, IQR 144–239, $p = 0.02$).

No significant differences were found between the levels of NGAL and cytokines in patients who were admitted with AKI and patients who developed AKI during in-hospital stay (Table 2). The levels of inflammatory molecules at admission were similar in CRS type 1 survivors and nonsurvivors (Table 2).

**Correlation**

We observed a positive strong correlation between cfDNA and caspase-3 levels (Spearman’s rho = 0.895, $p < 0.001$) and between cfDNA and IL-6 (Spearman’s rho = 0.728, $p < 0.001$) (Fig. 2). Furthermore, we observed positive correlation between cfDNA levels and renal parameters (Fig. 2).

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**Table 2. NGAL levels and cytokine profile**

| a NGAL levels and cytokine profile in CRS type 1 and AHF patients included in the study |
|-----------------------------------------------|
| **NGAL**, ng/mL | **AHF with CRS type 1** | **AHF** | **$p$ value** |
| NGAL, ng/mL | 291 (213–1,300) | 197 (144–239) | 0.04 |
| TNF-α, pg/mL | 32.2 (26.9–38.3) | 32.8 (29.8–37.0) | 0.90 |
| IL-6, pg/mL | 86.9 (76.6–98.6) | 23.2 (18.9–24.3) | <0.001 |
| IL-18, pg/mL | 107 (88.7–150.2) | 77.8 (71.8–89.5) | 0.02 |

| b NGAL and cytokine levels in CRS type 1 patients who were admitted with AKI and patients who developed AKI during in-hospital stay |
|---------------------------------------------------------------|
| **NGAL** and cytokine levels in CRS type 1 patients who were admitted with AKI and patients who developed AKI during in-hospital stay |
| **NGAL**, ng/mL | **AKI at admission** | **AKI during in-hospital stay** | **$p$ value** |
| NGAL, ng/mL | 391 (207–1,147) | 256 (187–925) | 0.82 |
| TNF-α, pg/mL | 32.9 (26.7–39.3) | 31.9 (28.7–35.3) | 0.90 |
| IL-6, pg/mL | 93.7 (83.5–107.8) | 79.0 (71.9–88.0) | 0.54 |
| IL-18, pg/mL | 101.2 (88.3–291.3) | 116.2 (94.4–120.3) | 0.15 |

| c NGAL and cytokine levels at admission in CRS type 1 survivors and nonsurvivors |
|-------------------------------------------------------------------------------|
| **NGAL and cytokine levels at admission in CRS type 1 survivors and nonsurvivors** |
| **NGAL**, ng/mL | **CRS type 1 survivors** | **CRS type 1 nonsurvivors** | **$p$ value** |
| NGAL, ng/mL | 289 (200.2–1,146.8) | 256 (227–373.5) | 0.12 |
| TNF-α, pg/mL | 31.9 (26.7–38.1) | 32.9 (30.2–36.9) | 0.96 |
| IL-6, pg/mL | 88.0 (74.3–111.3) | 90.7 (88.8–93.8) | 0.49 |
| IL-18, pg/mL | 107.5 (89.2–141.2) | 95.6 (83.8–135.8) | 0.30 |

CRS type I, cardiorenal syndrome type 1; AHF, acute heart failure; AKI, acute kidney injury; TNF-α, tumor necrosis factor-α; IL, interleukin.
**Discussion**

The biological role and the levels of cfDNA in patients with AHF and patients with CRS type 1, and its association with caspase-3 levels, inflammatory, and renal parameters have not been yet explored.

cfDNA was first described by Mandel and Metais [15] in 1948 as nucleic acids in peripheral blood deriving from cell death induced by injury, apoptosis, or cell necrosis. Different cellular lines (i.e., neutrophils, eosinophils, macrophages, etc.) may release cfDNA, particularly in specific clinical conditions, such as sepsis, trauma, myocardial infarction, etc. [1–9]. Most of cfDNA circulates in the form of mononucleosomes, which protect it from degradation; hence, internucleosomal degradation of chromatin, typical of apoptosis, was suggested as a potential source of cfDNA [16]. In higher organisms, apoptosis is intermediated by caspasases, a family of cysteine proteases cleaving after an aspartate residue in their substrates [11].

In our study, cfDNA levels were higher in patients with CRS type 1 than patients with AHF. Even though the 2 groups have similar epidemiological characteristics and comorbidities, data on NYHA classes are not available, and this limitation should be considered. In fact, the severity of heart failure could affect cfDNA levels. In CRS type 1 cohort, no significant difference was found in terms of cfDNA levels between patients admitted with AKI and patients who developed AKI during first 48 h of in-hospital stay.

CRS type 1 is distinguished by a rapid deteriorating of cardiac function, which leads to AKI. Low cardiac output is responsible for the reduced kidney perfusion and the resultant renal ischemia with AKI. Also nonhemodynamic mechanisms, such as inflammation and immune dysregulation, participate in the pathogenesis of CRS type 1. Additionally, apoptosis of epithelial cells in proximal and distal tubules has an important role in ischemic renal injury [10].

The higher levels of cfDNA in patients with CRS type 1 than patients with AHF may derive from apoptotic pathways activated in the setting of AKI complicating AHF. According to our data, caspase-3 levels were higher in patients with CRS type 1. In fact, a positive correlation between cfDNA and caspase-3 levels was highlighted. We can hypothesize that in patients with CRS type 1, caspase-3 levels are increased due to the activation of apoptotic mechanisms responsible for AKI, and they correlate with cfDNA concentrations. No significant difference was found in terms of caspase-3 levels between patients admitted with AKI and patients who developed AKI during in-hospital stay. These results could be related to the physiological timing of apoptosis: apoptosis occurs 6–12 h after the kidney insult [10].

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**Table 1. Correlation between cfDNA levels and renal parameters**

| Variable            | Spearman’s rho | p value |
|---------------------|----------------|---------|
| NGAL                | 0.56           | 0.001   |
| SCr at admission    | 0.52           | 0.002   |
| Urea at admission   | 0.39           | 0.003   |
| Peak of Scr         | 0.54           | 0.001   |

**Fig. 2.** Correlation between cfDNA levels and IL-6 and cfDNA and renal parameters. cfDNA, cell-free DNA; Scr, serum creatinine.
Based on the previous studies, we hypothesized that cfDNA concentrations derived from pathogenic apoptosis reported in monocytes and in renal tubular epithelial cells in CRS type 1 [17–20]. Apoptosis is highly regulated process. It is an essential physiological mechanism, but it can generate havoc if stimulated inappropriately, creating a deleterious cross-talk. Changes at the cellular level are fundamental for detrimental tissue remodeling and anomalous bidirectional link between heart and kidney [21]. Recently, Savira et al. [22] reported that the inhibition of apoptosis signal-regulating kinase 1 ameliorates left ventricular dysfunction, reduces hypertrophy and fibrosis in a rat model of CRS. In this context, the use of cfDNA, as a biomarker, could be a good, noninvasive and indirect indicator of apoptosis in CRS type 1 patients. Furthermore, cfDNA could be a possible method for evaluating and monitoring new therapeutic approaches for these patients.

Furthermore, in our study, IL-6 and IL-18 levels were higher in patients with CRS type 1. These data confirmed the inflammatory mechanisms and immune dysregulation implicated in the pathogenesis of CRS type 1 [17, 18]. In the context of cfDNA, previous study demonstrated, cfDNA induces IL-6 production in human monocytes in hemodialysis patients [23]. Future studies are needed to verify this causale connection between cfDNA and IL-6 in the setting of CRS type 1. In addition, cfDNA levels have been found to correlate with renal parameters as well, and this may be due to the extent of renal damage: as greater the kidney damage, as greater cfDNA concentrations. The mechanism by which cfDNA is removed from the body and its renal clearance is not known. Therefore, we cannot state if the increase in cfDNA levels is due to a reduced renal removal in the setting of AKI or to its highly dysregulated production.

Pro-inflammatory cytokines provoke other immune cells to produce additional inflammatory markers, creating an environment for cell death and altering organ fate. In the context of CRS, Pastori et al. [17] justify the notion that inflammation alters organ destiny compared to other compensatory mechanisms in an in vitro experimental study. Moreover, recent evidence shows that patients with severe heart failure and AKI demonstrate high pro-inflammatory cytokines, chemokine upregulation, neutrophil migration and extravasation, toll-like receptor expression, and unregulated apoptosis leading to oxidative stress [24, 25].

Unfortunately, we cannot establish a causal relationship between cfDNA levels, inflammatory markers, and renal parameters, but we can hypothesize a possible association. Unfortunately, the design of this pilot study does not allow us to make conclusions about causality. Further studies are needed to evaluate the casual relationship and the effect of the severity of heart failure on cfDNA levels.

cfDNA has been found in many pathological settings in which apoptosis is involved [23, 26]. Our study explores the premise of cfDNA as a marker for apoptosis and monitoring inflammatory state in the setting of CRS type 1.

cfDNA could potentially serve as an index for noninvasive monitoring of tissue damage and apoptosis in patients with AKI induced by AHF. However, the exact characteristics of cfDNA kinetics and clearance remained uncertain. Furthermore, potential functional effects of cfDNA have not been yet investigated. Further future studies are required to explain the precise mechanism and the clinical significance of elevated cfDNA in this population. These data obtained from this small hypothesis-generating pilot study provide the basis for larger studies that may clarify the efficacy and the utility of cfDNA in CRS type 1 patients and the contribution of inflammation in this population.

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**Statement of Ethics**

All procedures were in accordance with the Helsinki Declaration. The protocol and consent form were approved by the Ethics Committee of San Bortolo Hospital (Sperimentazione No. 69/09). All patients were informed about the experimental protocol, and the objectives of the study, and they all gave written informed consent. None of the patients denied the written consent. This statement is to certify that all authors have seen and approved the manuscript being submitted. We warrant that the article is the authors’ original work. We warrant that the article has not received prior publication and is not under consideration for publication elsewhere. On behalf of all co-authors, the corresponding author shall bear full responsibility for the submission. This research has not been submitted for publication nor has it been published in whole or in part elsewhere. We attest to the fact that all authors listed on the title page have contributed significantly to the work, have read the manuscript, attest to the validity and legitimacy of the data and its interpretation, and agree to its submission. All authors agree that author list is correct in its content and order and that no modification to the author list can be made without the formal approval of the Editor-in-Chief. No additional authors will be added post
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Conflict of Interest Statement

In the last 3 years, C.R. has been consulting or part of advisory boards for ASAHI, Astute, Baxter, Biomerieux, B. Braun, Cytosorbents, ESTOR, FMC, GE, Jaftron, Medtronic, and Toray. The other authors have no conflicts of interest to declare.

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Author Contributions

G.V. contributed to the conception of the study, interpretation of data, statistical analysis, management of patients, laboratory analysis, and drafting the article. A.C. contributed to interpretation of data and drafting the article. C.C. contributed to conception of the study and interpretation of data, and laboratory analysis. A.A. and G.G. contributed to conception of the study and final approval of the draft. G.V. and C.C.: providing intellectual content of critical importance to this work. All the authors approved the final version of this article.

Data Availability Statement

All data generated or analyzed during this study are included in this article. Further inquiries can be directed to the corresponding author.