Background: Surgery for spinal metastasis is rapidly increasing in frequency with procedures ranging from laminectomy to spondylectomy combined with stabilization. This study investigated the effect of various surgical procedures for spinal metastasis of non-small cell lung cancer (NSCLC).

Methods: A single-center consecutive series of patients who underwent surgery for spinal metastasis of NSCLC were retrospectively reviewed. Patients’ characteristics, radiographic parameters, operative data, clinical outcomes, and complications were analyzed. Surgical outcomes were assessed according to pain and performance status before and after surgery. Overall survival (OS) rate was estimated using the Kaplan-Meier method. Multivariate analysis was performed to detect factors independently associated with OS using a Cox proportional hazards model.

Results: Twenty-one patients were treated with laminectomy, 24 with corpectomy, 13 with spondylectomy (piecemeal or total en bloc fashion), and all procedures were combined with stabilization. Back pain and performance status improved significantly after surgical treatment among the three groups. Revision surgery due to tumor progression at the index level or spinal metastasis at another level were four patients (19.0%) in the laminectomy group, six patients (25.0%) in the corpectomy group, and one patient (7.7%) in the spondylectomy group. A Charlson comorbidity index and the number of spinal metastasis negatively affected OS (hazard ratio [HR], 19.613 and 2.244). Postoperative chemotherapy, time to metastasis, spondylectomy, and corpectomy had favorable associations with OS (HR, 0.455, 0.487, 0.619, and 0.715, respectively).

Conclusion: Postoperative chemotherapy was the most critical factor in OS of patients with metastatic NSCLC to the spine. An extensive surgical procedure (corpectomy/spondylectomy) with stabilization also could be beneficial for limited patients with spinal metastasis of NSCLC.

Keywords: Non-small Cell Lung Cancer; Spinal Metastasis; Laminectomy; Corpectomy; Spondylectomy; Overall Survival Rate
INTRODUCTION

Lung cancer is one of the most common cancers worldwide and is the leading cause of cancer-related death. Non-small cell lung cancer (NSCLC) accounts for approximately 80% of lung cancer cases, and its prognosis is better than that of small cell lung cancer. Bone metastasis occurs in 26%–36% of NSCLC patients, and the prognosis of these patients is poor, with a 2-year survival rate of 3%. Bony compromise and tumor invasion into the epidural space can occur, leading to axial pain and neurological deficits. The incidence of spinal metastasis is expected to increase as long-term survival becomes more likely due to medical advances in controlling the primary disease. Furthermore, recent advances in surgical techniques and instruments have enabled safe and effective tumor removal and stabilization in patients with spinal metastasis. Therefore, it is of the utmost importance to identify the best treatment for patients with metastatic spinal tumors.

To date, the most important and effective treatment in spinal metastasis may be radiotherapy. However, surgical stabilization is necessary for some patients with spinal instability. Pain relief and recovery of neurological function also can be achieved by partial or total excision of the tumor from the vertebra anteriorly or posteriorly with sufficient surgical decompression. Despite disadvantages such as contamination of tumor cells and residual tumor, curettage or piecemeal excision of vertebral tumors has been commonly practiced. However, the local recurrence rate after intralesional resection is high. In contrast, spondylectomy is a surgical technique that enables the complete removal of metastatic lesions of the spine. Although metastasis generally indicates systemic cancer, it was found that spondylectomy could completely remove tumors locally and prolong the survival of patients with spinal metastases. However, spondylectomy is a technically demanding procedure accompanied by many risks such as excessive bleeding, injury of the major vessels, and spinal cord injury.

This study aimed to compare the outcomes, including back pain, performance status, and survival, of various surgical treatments for patients with NSCLC spinal metastasis and analyze factors associated with OS.

METHODS

Patient population

From October 2004 to December 2019, 421 patients underwent a surgical procedure for spinal metastasis at our institution, where surgery for spinal metastasis was performed on patients who met the following three conditions: 1) spinal metastasis with vertebral body involvement, 2) progressive neurological symptoms or intractable pain, and 3) more than 6 months of expected survival as confirmed by an oncologist. Of these patients, 106 patients had metastasis of NSCLC. We selected patients with spinal metastasis to the thoracic or lumbar spine. Patients who underwent surgery under local anesthesia (biopsy and vertebroplasty) and only decompression surgery were excluded. Ultimately, 58 patients were included in the present study. The patients were divided into three groups according to the surgical method used: laminectomy with fusion, corpectomy with fusion, and spondylectomy with fusion. Lamincetomy was performed in cases of spinal cord compression. The surgical indications for corpectomy for spinal metastasis included more than 50% of vertebral body involvement or severe kyphosis (> 20°) due to vertebral body collapse. Spondylectomy was performed...
for spinal metastasis with radically resectable skip lesions in patients with a good general condition (Eastern Cooperative Oncology Group [ECOG] performance status grade ≤ 3).16

The baseline demographic characteristics, including age, sex, pathology subtype, the presence/absence of epidermal growth factor receptor (EGFR) mutation, time to spinal metastasis from diagnosis of NSCLC, preoperative treatment, preoperative ECOG grade, Charlson comorbidity index (CCI), Tomita score, and Spinal Instability Neoplastic Score (SINS), were reviewed. The surgical details, postoperative treatment, complications, and survival time were also collected from electronic medical records. The clinical outcomes were analyzed using a numeric rating scale (NRS) for back pain and ECOG grade for performance status. All patients in each group visited the spine center at 1 month and 3, 6, 9, and 12 months postoperatively. Since then, if possible, patients have visited the spine center every 3 months. Plain radiographs at preoperative, postoperative, and follow-up time points were analyzed. Any changes on radiographs suggesting local recurrence were investigated using magnetic resonance imaging (MRI).

**Surgical procedures**

In a laminectomy, a posterior midline approach was used. Subperiosteal dissection was performed, and the paravertebral muscles were retracted. A total laminectomy was performed with a burr to decompress the spinal cord.

In a corpectomy group, an anterior approach was used in two patients, and a posterior approach was used in 22 patients. The anterior approach exposed the metastatic tumor of the lumbar spine through the retroperitoneal approach. Then, the metastatic vertebral body was removed by piecemeal fashion. To reconstruct the bony defect, a titanium mesh cage filled with allograft was used. In the posterior approach, a transpedicular approach was performed in 18 patients, costotransversectomy in three patients, and lateral extracavitary approach in one patient. Metastatic tumor removal and circumferential decompression of the thecal sac were performed.

In a spondylectomy group, a combined anterior and posterior approach was used in two patients, and a single posterior approach was used in 11 patients.15,17 In the anterior approach, normal cranial and caudal vertebrae were widely exposed, using a 2- to 3-cm tumor margin to secure it. Discectomy within the tumor-free adjacent disc was performed. In the posterior approach, the ribs and paraspinal muscles with a 2- to 3-cm margin were resected. Laminectomy at the tumor-free site of the affected vertebrae was performed, decompressing the spinal cord. Finally, en bloc extirpectomy was accomplished. Due to the technically demanding procedure, piecemeal total vertebrectomy was performed in some patients (Fig. 1).18

A pedicle screw system was used for posterior reconstruction at least two vertebral levels above and below the lesion in all three groups. Pedicle screws were inserted using a freehand technique.19,20

**Statistical analysis**

One-way analysis of variance was used to analyze the distribution of continuous variables among the three groups. Fisher’s exact test was used to compare differences in proportions for categorical variables. Due to missing data, the NRS and ECOG scores of the three groups at the preoperative evaluation and each subsequent visit were compared using generalized estimating equations instead of repeated-measures analysis of variance. The Kaplan-Meier
method was used to estimate survival time, and the log-rank method was used to evaluate between-group differences. To detect factors associated with OS, multivariate analysis was performed using a Cox proportional hazards model. All clinical characteristics were evaluated for this regression analysis. The results are reported as P values, hazard ratios (HR), and 95% confidence intervals (CI). All tests were two-sided. A P value < 0.05 was considered to indicate statistical significance. SPSS version 21.0 (IBM Corp., Armonk, NY, USA) was used to perform all statistical analyses.

Ethics statement
The requirement for informed consent was waived due to the retrospective design and minimal risk of the study. The Institutional Review Board of Seoul National University Bundang Hospital approved this study (approval No. B-1912-166-1023).

RESULTS

Preoperative clinical characteristics
Among the patients included in this study, 21 patients underwent decompressive laminectomy, 24 underwent corpectomy, and 13 underwent total spondylectomy. The baseline characteristics of all patients are shown in Table 1. There was no significant difference in mean age among the three groups (62.5 ± 9.5 vs. 61.6 ± 10.2 vs. 62.0 ± 9.2, respectively; P = 0.954). Adenocarcinoma was the most common subtype in all three groups (80.1% vs. 75.0% vs. 61.6%, respectively). The incidence and type of EGFR mutations did not differ significantly among the three groups (P = 0.792). There was no significant difference in time to spinal metastasis from the diagnosis of NSCLC among the three groups (14.5 ± 5.0 vs. 17.9 ± 3.6 vs. 21.4 ± 4.1, respectively; P = 0.147). Also, there were no significant differences in chemotherapy history, history of radiotherapy to spinal metastasis, preoperative ECOG grade, CCI, Tomita score, and SINS among the three groups. The patient in each group had similar follow-up periods without significant differences.

Surgical details and postoperative treatment
Spinal metastases were more frequent in the thoracic spine in all three groups (Table 2). The number of spinal metastasis was significantly different among the three groups (2.5 ± 0.7 vs.

| Illustration | Laminectomy | Corpectomy | Spondylectomy |
|--------------|-------------|------------|---------------|
| Surgical target | Lamina | Vertebral body | Vertebral body Lamina Facet joint | Vertebral body Lamina Facet joint |
| Methods | Piecemeal | Piecemeal | Piecemeal | En bloc |
| Oncological margin | Intralesional | Intralesional | Intralesional | Wide |

Fig. 1. Schematic representation for describing the different possible techniques to remove spinal metastasis.
The operation time was significantly different among the three groups (240.7 ± 57.6 vs. 268.3 ± 102.8 vs. 373.5 ± 84.7 minutes, respectively; \( P < 0.001 \)). However, there was no significant difference in estimated blood loss among the three groups (\( P = 0.481 \)).

The oncologist decided whether or not to start chemotherapy and which chemotherapy would be applied according to the patients’ performance status, subtype, and absence/presence of gene mutation. There was no significant difference in the percentage of patients who received chemotherapy after surgery (\( P = 0.531 \)). However, the percentage of patients who received radiotherapy to spinal metastasis after surgery was significantly different among the three groups (42.9% vs. 66.7% vs. 15.4%, respectively; \( P = 0.011 \)).
Clinical outcomes

There were no significant differences in the initial NRS for back pain among the three groups ($P = 0.890$) (Fig. 2). In the laminectomy group, the NRS for back pain was significantly reduced after the operation (from $8.6 \pm 1.0$ to $3.9 \pm 1.9$; $P = 0.034$), and this improvement was maintained well until 1 year postoperatively. The NRS for back pain in the corpectomy and spondylectomy groups was also significantly reduced and maintained well (from $8.8 \pm 1.2$ to $4.5 \pm 0.8$; $P = 0.017$ and from $8.7 \pm 1.1$ to $4.6 \pm 0.8$; $P = 0.016$, respectively). Overall, changes in the NRS for back pain were not significantly different at every measurement.

Changes in functional status (ECOG performance) after surgery in the three groups are shown in Fig. 3. The preoperative functional state of all patients in this study was ECOG grade 2 or 3. Three months after the operation, improvement or maintenance of functional status was noted in 34 (58.6%) of the 58 patients, including 11 (52.4%) of the 21 patients after laminectomy, 17 (70.8%) of the 24 patients after corpectomy, and six (46.2%) of the 13 patients after spondylectomy ($P = 0.303$). Changes in functional status at each visit were not significantly different among the three groups.

Complications and tumor progression

There was no significant difference in the complication rate among the three groups (Table 3). In the laminectomy group, there was one patient with wound dehiscence. Another patient underwent revision surgery due to the progression of metastasis at the index level. In the corpectomy group, one patient underwent revision surgery due to postoperative hematoma,

| Parameters                        | Laminectomy (n = 21) | Corpectomy (n = 24) | Spondylectomy (n = 13) | $P$ value |
|-----------------------------------|----------------------|---------------------|------------------------|-----------|
| Any complications                 | 2                    | 4                   | 2                      | 0.795$^*$ |
| Intraoperative vessel injury      | -                    | -                   | 1                      |           |
| Postoperative hematoma            | -                    | -                   | -                      |           |
| Wound dehiscence                  | 1                    | -                   | -                      |           |
| Screw loosening                   | -                    | 1                   | -                      |           |
| Rod fracture                       | -                    | -                   | 1                      |           |
| Tumor progression (at the index level) | 1                    | 2                   | -                      |           |

A $P$ value of $< 0.05$ was considered to indicate statistical significance.

$^*$Fisher’s exact test.
and another patient underwent revision surgery due to screw loosening. The other two patients underwent revision surgery due to the progression of metastasis at the index level. In the spondylectomy group, there was one intraoperative vessel injury. With the help of a cardiovascular surgeon, the vessel injury was repaired without further problems. Another patient underwent revision surgery due to rod fracture at 3 years after index surgery.

Spinal metastasis at another level occurred in three patients (14.3%) in the laminectomy group, four patients (16.7%) in the corpectomy group, and one patient (7.7%) in the spondylectomy group.
Overall survival (OS)

The Kaplan-Meier curve for OS time is shown in Fig. 4. The average survival time of the laminectomy group was 23.3 ± 5.5 months, that of the corpectomy group was 34.8 ± 7.1 months, and that of the spondylectomy group was 47.9 ± 9.3 months. The log-rank test showed a statistically significant difference in survival time among the three groups ($P = 0.043$).

Cox proportional hazards analysis showed that the CCI was the main factor that affected the OS (HR, 19.613; $P < 0.001$) (Table 4). The number of spinal metastasis (HR, 2.244; $P = 0.044$) also had significant negative effects on the OS. On the other hand, postoperative chemotherapy (HR, 0.455; $P = 0.031$), time to spinal metastasis (HR, 0.487; $P = 0.045$), spondylectomy (HR, 0.619; $P = 0.015$), and corpectomy (HR, 0.715; $P = 0.022$) had significant positive effects on the OS.

**DISCUSSION**

Tomita et al. proposed a surgical strategy for spinal metastases. Patients with good prognostic scores (scores 2–3) were recommended to undergo wide or marginal excision. Patients with intermediate scores were recommended to undergo marginal or intrasional...
excision (scores 4–5) or palliative surgery (scores 6–7). Nonsurgical supportive care was recommended for those with a poor prognosis (scores 8–10). In the present study, no significant differences were found in the Tomita score among the three groups (6.8 ± 1.7 vs. 7.1 ± 1.8 vs. 7.5 ± 1.9, respectively; \( P = 0.537 \)). Nevertheless, all three types of surgery were performed because several spine surgeons performed metastasis surgery, and the study period encompassed numerous developments in surgical instruments and techniques.

Cancer pain can have a significant impact on the quality of life. Intractable pain can even cause a patient to become bed-ridden. Immobilization in bed can lead to complications, including pneumonia, pressure sores, urinary tract infections, thromboembolism, and joint contracture. In the present study, the NRS for back pain improved significantly at 3 months postoperatively, and the improvements were well maintained until postoperative one year in all three groups. The primary treatment goal of the surgical treatment of spinal metastasis is to achieve functional gain with a modest complication rate and without compromising the remaining survival time. A previous study reported that postoperative ECOG status was an independent prognostic factor for survival time in patients undergoing surgery for metastatic tumors from NSCLC. In the present study, all surgical methods resulted in similar outcomes, with no significant differences at any visit.

Tumor progression at the index level or spinal metastasis at another level differed across the groups. In the spondylectomy group, only one patient (7.7%) underwent revision surgery due to spinal metastasis at another level. The rate of local recurrence after spondylectomy in the present study was similar to previous reports (5%–10%). However, six patients (25.0%) in the corpectomy group and four patients (19.0%) in the laminectomy group underwent revision surgery due to progression at the index level or spinal metastasis at another level. Limited debulking may increase the chance of progression or metastasis. Previous studies have reported that intralesional resections with contaminated margins have a negative effect on local recurrence.

In the Cox proportional hazards analysis, the CCI was the most significant factor influencing survival (HR, 19.613; 95% CI, 5.353–71.865; \( P < 0.001 \)). The CCI is known to be a robust predictor of 30-day complications and survival following spinal metastasis surgery. Moreover, the CCI score is a good indicator of survival in patients with various types of cancer, including NSCLC, colorectal cancer, and prostate cancer. There are several possible reasons for the highly adverse impact of comorbidities on survival. These include the diminished effectiveness of adjuvant therapies, an inability to tolerate adjuvant therapies, and morbidity and mortality attributable to the comorbidities themselves. As expected, the number of spinal metastasis was also associated with a negative impact on survival. A notable finding of the present study was that the time to spinal metastasis (HR, 0.487; \( P = 0.045 \)) had significant positive effects on the OS. The early-onset type might cause early spinal metastasis as a sign of early spread and aggressiveness and, therefore, is associated with a very poor prognosis. On the other hand, in the late-onset type, spinal metastasis might be an expression of the relatively low malignant potential, with cancer cells only nesting in the beneficial environment of the bone matrix that promotes cancer cell attachment and growth. The surgical method (corpectomy and spondylectomy) also significantly influenced survival (HR, 0.715 and 0.619, respectively). A retrospective review of corpectomy for metastatic NSCLC to the spine reported satisfactory outcomes. Neurologic improvement by at least one Frankel grade was noted in 25 of 31 cases (80%). There was no case of intraoperative mortality. The median survival time was 8.8 months. However, this
surgical procedure involves intralesional resection and carries a high risk of incomplete tumor removal and tumor cell contamination. In a study of spondylectomy for lung cancer metastasis to the spine, 66.7% (4 of 6) of patients were still alive after surgery with a mean follow-up of 46.3 months (range, 36–62 months).

Spondylectomy allows for complete removal of the diseased vertebrae, followed by a circumferential spinal reconstruction that can achieve excellent local control. Considering these results, spine surgeons should not hesitate to perform surgery in patients with spinal metastasis of NSCLC actively. Nevertheless, this study demonstrated that postoperative chemotherapy was the most critical in OS in patients with metastatic NSCLC to the spine (HR, 0.455; \( P = 0.031 \)).

There were several limitations to our study. First, this report represents a retrospective study that is inherently subject to selection bias. Aggressive surgery, such as corpectomy and spondylectomy, may be conducted for patients with higher performance or good oncologic disease status. As shown in Table 1, there was no difference in baseline demographic characteristics of the three groups, including preoperative chemotherapy and radiotherapy. However, prospective comparative studies with a large series of patients for NSCLC spinal metastasis are needed. Second, relatively few patients were analyzed in this study, which resulted in low statistical power. However, by examining only a single histological type (NSCLC), we could minimize the shortcomings of other studies that analyzed multiple histological types together. Third, in some patients in the spondylectomy group, we performed piecemeal total vertebrectomy rather than total en bloc spondylectomy. We included those patients in the spondylectomy group because the posterior spinal elements (spinous process, lamina, and pedicles) were also removed in addition to the vertebral body. Fourth, the local control rate after surgery was not investigated thoroughly. MRI was not performed in all patients. Only patients with any changes on follow-up X-rays or whose lower extremity weakness progressed were investigated using MRI to confirm local recurrence. Fifth, this study included only patients who underwent surgery. This may represent a selection bias that may underestimate the role of radiotherapy in patients with spinal metastasis. Despite these limitations, our study contains valuable and clinically meaningful information and provides a basis for future research.

Postoperative chemotherapy was the most critical factor in OS in patients with metastatic NSCLC to the spine. However, considering the clinical outcome and OS, an extensive surgical procedure (corpectomy/spondylectomy) with stabilization is beneficial for limited patients with spinal metastasis of NSCLC.

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