3-in-1: Two more hidden scalars around 125 GeV and $h \to \mu \tau$

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Abstract

We show that the 2.5$\sigma$ signal of the leptonic flavor violating (LFV) Higgs boson decay $h \to \mu \tau$, as observed by the CMS collaboration recently, can be explained by a certain class of two-Higgs doublet models that allow controllable flavor-changing neutral current with minimal number of free parameters. We postulate that (i) the alignment limit is maintained, which means the lightest neutral scalar ($h$) has identical couplings to that of the Standard Model Higgs boson and (ii) the signal comes from two other neutral scalars, the CP-even $H$ and the CP-odd $A$, almost degenerate with $h$ at 125 GeV. We also show that (i) it is entirely possible that these scalars are hidden, apart from this LFV signal; (ii) the signal strengths of $b\bar{b}$, $\tau^+\tau^-$ and $\gamma\gamma$ around 125 GeV put severe constraints on the parameter space of such models; (iii) the constraint is further enhanced by the non-observation of processes like $\mu \to e\gamma$, and we predict that the branching ratio of $\mu \to e\gamma$ cannot be even an order below the present experimental limit, highlighting the role it plays in forcing $H$ and $A$ to be near-degenerate; (iv) an enhancement in the $\tau^+\tau^-$ production cross-section at around 125 GeV is expected in the gluon fusion channel, and should be observed during the next run of the LHC. The constrained parameter space and minimum number of free parameters make this model easily testable and falsifiable.

1 Introduction

Leptonic flavor violating (LFV) processes do not take place in the Standard Model (SM); even with massive neutrinos, they are expected to be unobservably small, because the amplitudes are controlled by the tiny neutrino masses. Thus, observation of any LFV process is a smoking gun signal for physics beyond the SM [1]. No such signal has been observed so far in processes like $\ell_1 \to \ell_2 \gamma$, $\ell_1 \to \ell_2 \ell_3 \ell_4$, $\ell_1 \to \ell_2 M$, $M_1 \to M_2 \ell_1 \ell_2$, where $\ell$ and $M$ stand for a generic lepton and meson, and the indices are arranged in such a way that the processes are both LFV and kinematically allowed.

Recently, the CMS collaboration [2] found a 2.5$\sigma$ signal in the Higgs boson decay channel $h \to \mu \tau$. This excess has been observed in both leptonic and hadronic final state channels of $\tau$, and the average is

$$\text{Br}(h \to \mu \tau) = 0.89^{+0.40}_{-0.37}\%,$$

and the upper limit at 95% confidence limit (CL) is $\text{Br}(h \to \mu \tau) < 1.57\%$.

There have been several attempts in the literature to explain this signal by introducing LFV couplings of the Higgs boson. This can be achieved by an extension of the scalar sector (and if necessary, the gauge and fermion sectors too), with some discrete (like $S_4$ [3] or $A_4$ [4]) or continuous (like gauged $L_\mu - L_\tau$ [5] or an $U(1)'$ with two scalar doublets [6]) symmetries, or supersymmetric Froggatt-Nielsen mechanism [7]. All these extensions necessarily introduce a number of new arbitrary parameters in the model. It was found [8] that an extension of the scalar sector is imperative to explain the anomaly. As a concrete example, phenomenology of the type-III two-Higgs doublet model (2HDM) was considered in detail in [5,6,8,9], including some predictions for the model. The general feature of all these models is to predict at least one new scalar with LFV couplings that mixes with
the SM doublet $\Phi$ and the resultant mass eigenstate, which is dominantly $\Phi$ with a small admixture of the LFV scalar, showing LFV signals while being in conformity with the SM for flavor-conserving decay channels.

In this paper, we would like to explore the consequences of a particular class of 2HDM [10], first proposed by Branco, Grimus, and Lavoura (BGL) [11], which has tree-level flavor-changing neutral current (FCNC) interactions, appropriately suppressed by the entries of the quark or neutrino mixing matrix elements. The number of new parameters introduced in this class of models is minimal. In a certain limit (called the alignment limit) motivated by the LHC data, a particular type of the BGL model can not only explain the $h \rightarrow \mu \tau$ signal, but also turns out to be extremely predictive. While a slight deviation from the alignment limit is still possible, resulting in the small-admixture explanation mentioned in the previous paragraph, there is another much more interesting possibility that we would like to explore.

We speculate that in the alignment limit, the second CP-even neutral scalar $H$ is almost degenerate with the Higgs boson $h$; so that the LFV signal comes from $H$ and not $h$, which can decay in flavor-blind channels. But that is not the end of the story; $H$ and the CP-odd neutral scalar $A$ both contribute to $\mu \rightarrow e\gamma$ (and other LFV processes as well, but this is most tightly constrained). If we want to keep $\text{Br}(\mu \rightarrow e\gamma)$ within the experimental limit, $H$ and $A$ must also be near-degenerate. Thus, there are three neutral scalars sitting around 125 GeV, among which one is identical with the SM Higgs boson and the other two can have both flavor-conserving and flavor-violating couplings. We will discuss all the constraints on the parameter space, and show how one can successfully hide these two new scalars from the current LHC data. Concept of such degenerate Higgs bosons and their search strategies have been discussed in the literature [12,13].

If this model is true, we have a few tangible predictions. On the theoretical side, the ratio of two vacuum expectation values (VEV), commonly parametrized by $\tan \beta$, must lie in a narrow range, something like $0.4 < \tan \beta < 2.8$. This range can further be narrowed down with a more precise measurement of the neutrino mixing matrix. Another crucial input is the $h \rightarrow \tau\tau$ signal strength in the gluon fusion (ggF) production channel, and, as we will show, may point to an even narrower range of $\tan \beta$ centered around $\tan \beta = 1$. On the experimental side, the next generation experiments looking for $\mu \rightarrow e\gamma$ should be able to see it, as the rate must be close to the upper bound unless there is an almost exact degeneracy between $H$ and $A$. Apart from that, there will be a significant excess in $h \rightarrow \tau\tau$ (which, by default, also includes $H \rightarrow \tau\tau$ and $A \rightarrow \tau\tau$) in the gluon fusion channel, as the other scalars do not have any gauge couplings and hence cannot be produced in the vector boson fusion (VBF) channel. Precision study of the Higgs boson, either at the upgraded run of the LHC or at some future $e^+e^-$ collider, will certainly be able to test this model.

## 2 Formalism

The scalar potential part of the BGL model [11,14] is like the other canonical 2HDMs and we will not go into that in detail. For the Yukawa part, we will follow the notations of Ref. [11] as much as possible. The Yukawa part of the Lagrangian is given by

$$
\mathcal{L}_Y = -\sum_{j=1}^{2} \left( \Gamma_j \overline{Q} d \Phi_j + \Delta_j \overline{Q} (i\sigma_2 \Phi_j^*) u + h.c. \right),
$$

(2)

where $\Phi_1$ and $\Phi_2$ are the two Higgs doublets, $\Gamma$ and $\Delta$ are the Yukawa coupling matrices, and $u$, $d$, and $Q$ stand for right-chiral up-type, down-type, and the left-chiral SU(2) doublet quark fields respectively. We have not shown the generation indices explicitly but both $\Gamma$ and $\Delta$ are $3 \times 3$ matrices. With nonzero neutrino masses, one can write a similar Lagrangian for the lepton part too.

Now we impose the following BGL symmetry on the Lagrangian:

$$
\mathcal{S} : \quad Q_k \rightarrow e^{i\theta} Q_k, \quad u_k \rightarrow e^{2i\theta} u_k, \quad \Phi_2 \rightarrow e^{i\theta} \Phi_2 \quad (k = 1, 2, 3).
$$

(3)

All the fields except those which appear above, remain unaffected under $\mathcal{S}$. Note that Eq. (3) violates lepton flavor universality by construction because we have singled out any one of the up-type quark fields and labeled it
as $k$. Which up-type quark is labeled as $k$ will lead to different models within the BGL class. Such a symmetry leads to FCNC in the down-quark sector. One can put the nontrivial transformation to $d_k$ instead of $u_k$ in Eq. (3), which leads to FCNC in the up-type sector. Since the FCNC constraints are much tighter for down-type quarks, the former class of BGL models are more predictive and we will focus on them only. We shall call our model $u$-, $c$- or $t$-type in accordance with $k = 1$, 2 or 3 respectively in Eq. (3).

The CP-even neutral components of $\Phi_1$ and $\Phi_2$ mix with each other to give the mass eigenstates $h$ and $H$, and the mixing angle is usually denoted by $\alpha$. An intermediate basis $\{H^0, R\}$ (not the mass basis in general) can be obtained from the $\{\Phi_1, \Phi_2\}$ basis by a rotation through $\beta \equiv \tan^{-1}(v_2/v_1)$ with the property that the state $H^0$ possesses exact SM-like couplings with the fermions and the vector bosons. The connection between these two bases is given by

$$H^0 = \cos(\beta - \alpha)H + \sin(\beta - \alpha)h, \quad R = -\sin(\beta - \alpha)H + \cos(\beta - \alpha)h. \quad (4)$$

Clearly, if we require that the lighter CP-even mass eigenstate, $h$, should possess SM-like couplings then we are led to the alignment limit $\sin(\beta - \alpha) \approx 1$. This is the limit favored by the current 2HDM fits [15–22].

The symmetry $S$ of Eq. (3) leads to a very specific texture of the Yukawa matrices [11, 23] which leads to the following Yukawa Lagrangian in the $\{H^0, R\}$ basis:

$$\mathcal{L}_V = -\frac{1}{v} H^0 \left[ \bar{d}D_u d + \bar{u}D_u u \right] + \frac{1}{v} R \left[ \bar{d}(N_d P_R + N_d^t P_L)d + \bar{u}(N_u P_R + N_u^t P_L)u \right]$$

$$+ \frac{\sqrt{3}}{v} A \left[ \bar{d}(N_d P_R - N_d^t P_L)d - \bar{u}(N_u P_R - N_u^t P_L)u \right] + \frac{\sqrt{3}}{v} H^+ \pi \left( V N_d P_R - N_d^t V P_L \right) d + h.c. \right\], \quad (5)$$

where we have again suppressed the generation indices. The coupling matrices are given by

$$N_u^u = \text{diag}\{-m_u \cot \beta, m_c \tan \beta, m_t \tan \beta\}, \quad (N_d)_{ij}^u = \tan \beta m_i \delta_{ij} - (\tan \beta + \cot \beta)V_{ui}^* V_{uj} m_j,$$

$$N_u^c = \text{diag}\{m_u \tan \beta, -m_c \cot \beta, m_t \tan \beta\}, \quad (N_d)_{ij}^c = \tan \beta m_i \delta_{ij} - (\tan \beta + \cot \beta)V_{ui}^* V_{uj} m_j,$$

$$N_u^t = \text{diag}\{m_u \tan \beta, m_c \tan \beta, -m_t \cot \beta\}, \quad (N_d)_{ij}^t = \tan \beta m_i \delta_{ij} - (\tan \beta + \cot \beta)V_{ui}^* V_{uj} m_j, \quad (6)$$

where the superscripts indicate which type of model we are considering, i.e. which quark flavor has the nontrivial transformation.

There are tight constraints coming from neutral meson mixing, which can in principle go through tree-level scalar exchange. For $u$- and $c$-type models, constraints from such mixings force $m_H = m_A$. For $t$-type models, such an exact degeneracy is not needed, in particular for low values of $\tan \beta$ [14, 24], but as we will see, a near degeneracy will be motivated from the LFV muon decays.

For massless neutrinos, $(N_u, D_u) \rightarrow 0$, $V = 1$, and $N_d(D_d) \rightarrow N_c(D_c)$, so that there is no leptonic FCNC. For massive neutrinos, the formalism is completely identical, with $V$ replaced by the Pontecorvo-Maki-Nakagawa-Sakata (PMNS) matrix $U$. There can be three types of leptonic mixing models, which we will call $\nu_1$, $\nu_2$, and $\nu_3$ type models.

The tree-level decay width for $H(A) \rightarrow \mu \tau$ can be written as

$$\Gamma(H(A) \rightarrow \mu \tau) = \frac{1}{8\pi m_H^2} \left[ (|a|^2 + |b|^2)(m_H^2 - m_{\mu}^2 - m_{\tau}^2) - 4m_\mu m_\tau |ab^*| \right]$$

$$\times \sqrt{\left\{m_H^2 - (m_\mu + m_\tau)^2 \right\} \left\{m_H^2 - (m_\mu - m_\tau)^2 \right\}}$$

1We will assume all terms in the scalar potential to be real, so that the mass eigenstates are CP-eigenstates too.

2If we require the heavier scalar, $H$, to be identical to $H^0$ then we are led to $\cos(\beta - \alpha) \approx 1$. Since we will be assuming $h$ and $H$ to be quasi-degenerate, this limit is also a possibility. Whatever we comment here in the context of the limit $\sin(\beta - \alpha) = 1$ is equally valid also for the limit $\cos(\beta - \alpha) = 1$. More accurate measurements for the masses will be necessary to pinpoint the mass hierarchy and thereby to distinguish between these two limits.
\[ \approx \frac{1}{8\pi} m_{H(A)} (|a|^2 + |b|^2), \]

where the interaction Lagrangian is generically written as
\[ \mathcal{L}_{\text{int}} = \bar{\tau}(a P_L + b P_R) \tau H(A) + \text{h.c.} \]

The Yukawa couplings \( a \) and \( b \) for \( H \) and \( A \) can be taken directly from Eq. (5).

A very tight constraint on the parameter space comes from the radiative decay \( \mu \rightarrow e\gamma \). The amplitude for the LFV decay \( \ell_i \rightarrow \ell_j \gamma \) can be written as
\[ T_\mu = \sqrt{\frac{G_F^2 \alpha}{8\pi^3}} m_i \bar{t}_j (i\sigma_{\mu\nu}q^\nu)(C_L P_L + C_R P_R) \ell_i. \]

Using this, we may write the expression for the BR as
\[ \text{BR}(\ell_i \rightarrow \ell_j \gamma) = \frac{3\alpha}{2\pi} (|C_L|^2 + |C_R|^2). \]

Since the charged scalar loop contribution depends on the ratio \( (m_\mu^2/m_{H^+}^2) \), we neglect it on account of tiny neutrino masses. The dominant contributions to \( C_{L,R} \) will come from the neutral scalar loops mediated by \( H \) and \( A \). Detailed expressions for the contributions from neutral scalar loops, for the process \( b \rightarrow s\gamma \), already appear in the Appendix of Ref. [24]. From these, corresponding expressions for \( \mu \rightarrow e\gamma \) can be easily obtained by straightforward replacements of the CKM elements by the appropriate PMNS elements and the down-type masses by the corresponding masses of the charged leptons.

![Figure 1](image_url)

**Figure 1:** (L) The allowed region in the \( \tan \beta-m_A \) plane coming from the non-observation of \( \mu \rightarrow e\gamma \). The horizontal width comes from an almost fine-tuned cancellation between \( H \) and \( A \). (R) The \( \text{Br}(\mu \rightarrow e\gamma) \) shows more clearly the allowed region and the cancellation. The shaded region is still experimentally allowed.

## 3 Analysis

For our analysis, we will assume the BGL model to be t-type in the quark sector (u- and c-type models are very tightly constrained from flavor data [24]) and \( \nu_1 \) type in the lepton sector, and will call it \( t\nu_1 \) model for brevity (we will use the same type of nomenclature for other models in the BGL class). Let us first try to justify our choice.

Our starting point is the assumption that the alignment limit is exact, as far as experimental precision goes. In this limit, \( h \) has only flavor-conserving couplings, and these couplings (also the gauge couplings) are exactly the same as those in the SM. Thus, all the \( \mu \)-parameters, defined as
\[ \mu_X = \frac{\sigma(pp \rightarrow h, A \rightarrow X)}{\sigma(pp \rightarrow h_{SM} \rightarrow X)}, \]

are constant.
for any final state $X$ accessible from the decay of the Higgs boson, are unity as far as $h$ mediation is considered in the numerator. As the $\mu\tau$ final state is also observed with an invariant mass almost identical to that of $h$, we propose that the other CP-even state $H$ is also nearly degenerate with $h$, close to 126 GeV, and this final state comes from $H$. Of course, $H$ does not have couplings of the form $HVV$, where $V = W, Z$ is a vector boson. So there will neither be any production of $H$ through vector boson fusion, nor any decay to $WW^*$ or $ZZ^*$.

We must not forget that the CP-odd state, $A$, also has FCNC couplings. With leptonic flavor mixing, both $H$ and $A$ can contribute to LFV processes. The tightest constraint comes from $\mu \rightarrow e\gamma$, which is shown in Fig. 1. This shows that, barring an unnatural cancellation between $H$ and $A$ contributions, the data forces $A$ to be near degenerate with $h$ and $H$. We have also checked that, for the allowed region from $\mu \rightarrow e\gamma$, the BRs of the processes $\tau \rightarrow e\gamma$ and $\tau \rightarrow \mu\gamma$ are always $< 10^{-12}$ which is four orders of magnitudes below the current experimental limits [26]. So, we have the tantalizing possibility that there may actually be three states within the 126 GeV resonance.

Once we accept $H$ and $A$ to be degenerate with $h$, the $\mu\tau$ signal has to come from both these scalars. In Fig. 2 we show the branching ratio for this channel in the $\nu_1$ type model, with $m_H = 126$ GeV and $m_A = 124$ GeV. The width of the parabola-like region comes from the experimental range of the PMNS angles [28] together with the variation of the Dirac CP phase, $\delta$ in the range $[0, 2\pi]$; and a more precise determination of them will help in thinning out the parabola. The nature of the plot is not surprising because, as can be seen from Eq. (6), the FCNC couplings come with a prefactor of $(\tan \beta + \cot \beta)$ which has a minimum at $\tan \beta = 1$. What the figure shows is that $\tan \beta$ has to be extremely constrained, $0.37 < \tan \beta < 2.8$. For other $\nu_i$ type models, the parabolic shape is maintained but the lowest point of the parabola lies well above the experimental range (this can be attributed to relatively larger magnitudes of the PMNS elements which are involved in the tree-level $\mu\tau$ couplings for $\nu_2,3$ models), which forces us to consider only the $\nu_1$ type model. It is worth noting that our scenario predicts $\text{BR}(X \rightarrow \mu\tau) > 0.5\%$ which can be tested in the next run of the LHC.

![Figure 2: The combined branching ratio for $H \rightarrow \mu\tau$ and $A \rightarrow \mu\tau$ in the $\nu_1$ type model. The lower shaded region shows the 95\% upper limit for the branching ratio. In our evaluation of the BR, we have used $\Gamma_{\text{SM}}^h = 4.1$ MeV as the denominator to consistently compare our results with the bounds given in [2].](image1)

![Figure 3: $\mu$-values (for the definition, see Eq. (11)) for different final states in the ggF production channel, as a function of $\tan \beta$ for $t\nu_1$-model.](image2)

Now that we have laid out the constraints, it is time to see why $H$ and $A$ could have been missed at the LHC. The only observable final states where one can have signals for these scalars are $b\bar{b}$, $\gamma\gamma$, and $\tau\tau$, because these scalars do not have any trilinear gauge couplings. The experimental numbers are taken from Refs. [29,30]. The $\mu$-values for these three channels, as a function of $\tan \beta$, are shown in Fig. 3. As the model is a $t$-type in the

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3For exactly degenerate states, the decay widths may change because of the interference effect [27]. This, however, is a fine-tuned possibility which we will not enter into.
quark sector, $b\bar{b}$ or even $\gamma\gamma$ constraints are relatively relaxed for $\tan\beta > 1$. In fact, there is no noteworthy measurement of the signal strength in the $b\bar{b}$ channel with ggF tagging because of the huge background. The $\tau\tau$ channel, on the other hand, shows a significant enhancement. Note that because of the nature of the PMNS coupling, the branching ratio depends on the leptonic CP-violating phase $\delta$; the least enhancement is expected for $\delta = \pi$.

Thus, apart from the $\tau\tau$ channel, there is no reason why the new scalars should have been already seen at the LHC, if $\tan\beta \geq 1$. Unfortunately, we cannot go to large values of $\tan\beta$ (e.g. 10) because that will be in conflict with $H(A) \rightarrow \mu\tau$ data. The ATLAS data on ditau signal strength in the ggF channel is, till now, pretty inconclusive [29], and supports $\mu_{\gamma\gamma}^{ggF}$ as large as 5. The CMS data [30] is much more precise (although the ggF and the VBF channels are not always differentiated very successfully); the $2\sigma$ upper range is close to $\mu_{\gamma\gamma}^{ggF} \approx 2$, which forces the parameter space to a region near $\tan\beta = 1$ and large nonzero $\delta$. We find $\mu_{\gamma\gamma}^{ggF} \approx 1.5$ for $\tan\beta \approx 1$, which is still within the $2\sigma$ allowed range of both ATLAS and CMS [29, 30]. This is another prediction which can be tested in precision Higgs studies.

In passing, we note that while finding a similar excess in the $e\mu$ channel will be tough due to the smallness of the masses of the leptons involved, our scenario does predict a branching ratio for the $e\tau$ channel to be above 2%, which can be used, among other things, to falsify the model.

4 Summary

We have shown that the recently observed excess in the $\mu\tau$ channel coming out of the 126 GeV resonance can be interpreted in a radically different way than the canonical explanation of having one more scalar with LFV couplings which mixes with the SM doublet and the one of the resultant CP-even neutral mass eigenstates showing the LFV decay signal due to the slight admixture. We took a 2HDM with nonzero FCNC, and note that this model can also be tuned to the canonical explanation mentioned above to generate the LFV decays, with hardly any originality. Taking a different approach, we assumed that the alignment limit is exact, and explore the possible consequences. This is how our logic went.

• In the alignment limit, the SM Higgs boson $h$ cannot have any tree-level FCNC coupling. The $\mu\tau$ signal, therefore, must be coming from another scalar, which is almost degenerate with $h$. The splitting has to be less than the resolution for two nearby mass peaks.

• The 2HDM of Branco, Grimus, and Lavoura has at least two neutral scalars with tree-level FCNC, one being the CP-even $H$ and the other, CP-odd $A$. We, therefore, assume $H$ to be nearly degenerate with $h$.

• This poses a serious problem with the non-observation of $\mu \rightarrow e\gamma$. This process receives contribution from both $H$ and $A$ mediated diagrams, but the amplitudes come with opposite signs. The way out is to take $A$ to be nearly degenerate with $H$, so that the contributions more or less cancel.

• $H$ and $A$ cannot be differentiated at the LHC. Thus, we have to take both $H \rightarrow \mu\tau$ and $A \rightarrow \mu\tau$ into consideration while calculating the branching ratio. Due to the nature of the coupling, the combined branching ratio has a minimum at $\tan\beta = 1$ and grows on either side. For $\nu_2$ and $\nu_3$ type models, the lowest possible value of the branching ratio is way above the data, so we are forced to consider the $\nu_1$ model. This gives an allowed range of $\tan\beta$ as $0.4 < \tan\beta < 2.8$. If we take a t-type model, all constraints coming from hadronic physics are satisfied in this range of $\tan\beta$.

• $H$ and $A$ do not have any trilinear gauge couplings in the alignment limit. Therefore, the only way to produce them at the LHC is through gluon fusion (they can be radiated off a top, though). In the gluon fusion channel, there is no significant constraint for $b\bar{b}$ final states. The $\gamma\gamma$ final states are significantly enhanced by top loops for $\tan\beta < 1$ but is well under control for $\tan\beta \geq 1$. The only important channel is $\tau\tau$, which receives a significant enhancement. However, with the present state of the data, signatures for the new scalars in these channels can still be below any statistical significance.
• Most importantly, the model is testable and falsifiable through various means. First, a more precise determination of the branching ratio in the $\mu\tau$ channel can rule out this model, if the upper limit drops below the lowest possible value of the branching ratio. Note that the model has no free parameters once one specifies $\tan \beta$ (the neutral scalars are all nearly degenerate and the charged scalar is not relevant here; however, with $m_H \approx m_A$ in the alignment limit, $m_{H^+}$ must be close to $m_h$ for the theory to be consistent with the electroweak precision observables, in particular the $T$ parameter\footnote{The couplings are such that there is no constraint from $b \to s \gamma$ even for such low-mass $H^+$ [24].} [14]) and therefore no way to play with the minimum branching ratio. Second, the model predicts a large branching ratio for $\mu \to e\gamma$, almost close to the experimental upper limit, which should be seen in the next generation of experiments, unless there is an unnatural cancellation between two competing amplitudes. Third, the model predicts a large branching ratio for the $e\tau$ final state, whose lowest value is about 2%. Fourth, and the most clinching, is a precise determination of $h \to \tau\tau$ in the gluon fusion channel. If there is hardly any scope for a large enhancement, this model is ruled out.

Acknowledgements

D.D. thanks Maria Hoffman and Atanu Modak for many helpful discussions. D.D. also thanks the Department of Atomic Energy, India for financial support. A.K. would like to acknowledge Department of Science and Technology, Government of India, and Council for Scientific and Industrial Research, Government of India, for support through research projects.

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