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Performance evaluation of extended depth of field microscopy in the presence of spherical aberration and noise

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Abstract. Effectiveness of extended depth of field microscopy (EDFM) implementation with wavefront encoding methods is reduced by depth-induced spherical aberration (SA) due to reliance of this approach on a defined point spread function (PSF). Evaluation of the engineered PSF’s robustness to SA, when a specific phase mask design is used, is presented in terms of the final restored image quality. Synthetic intermediate images were generated using selected generalized cubic and cubic phase mask designs. Experimental intermediate images were acquired using the same phase mask designs projected from a liquid crystal spatial light modulator. Intermediate images were restored using the penalized space-invariant expectation maximization and the regularized linear least squares algorithms. In the presence of depth-induced SA, systems characterized by radially symmetric PSFs, coupled with model-based computational methods, achieve microscope imaging performance with fewer deviations in structural fidelity (e.g., artifacts) in simulation and experiment and 50% more accurate positioning of 1-μm beads at 10-μm depth in simulation than those with radially asymmetric PSFs. Despite a drop in the signal-to-noise ratio after processing, EDFM is shown to achieve the conventional resolution limit when a model-based reconstruction algorithm with appropriate regularization is used. These trends are also found in images of fixed fluorescently labeled brine shrimp, not adjacent to the coverslip, and fluorescently labeled mitochondria in live cells. © 2018 Society of Photo-Optical Instrumentation Engineers (SPIE) [DOI: 10.1117/1.JBO.23.3.036016]

Keywords: extended depth of field microscopy; wavefront encoding; regularized intensity estimation.

1 Introduction

A major challenge in high-resolution optical microscopy is the inherent depth variability of the imaging process due to sample-induced aberration. Refractive index (RI) mismatch in the sample layers introduces spherical aberration (SA) at the image plane.1 SA increases with increasing imaging depth within an optically thick sample (>5 μm in thickness with variable density) causing the three-dimensional (3-D) response of the imaging system, characterized by the point spread function (PSF), to change1-3 with depth. To the contrary, traditional extended depth of field (EDF) microscopy (EDFM) is based on the assumption that the PSF does not change within the achieved EDF and employs processing that relies on two-dimensional (2-D) models for image restoration. However, studies by Demenikov and Harvey4 and Zammit et al.5 show that undesirable image artifacts in EDFM can be attributed to small changes in the PSF within the achieved EDF. The key advantage of EDFM is the ability to capture information in a thicker sample volume, as compared with the conventional depth of field (DOF) from the same imaging lens, with a single exposure. Such capability is particularly applicable in live-cell studies and optically thick sample studies, in which imaging is likely to be degraded by sample-induced SA.6 For example, live-cell microscopy of quickly moving or easily photobleached samples can require fast acquisition of fluorescence images that is prohibited by scanning along depth, as is the ability to scan and stitch (or tile) very large volumes efficiently. Further, several commercial microscopes come with software for 3-D postprocessing that enables digital EDFM to facilitate segmentation and image analysis.7 Devices that allow very fast scanning in depth continue to be developed, such as liquid tunable lenses that allow high-speed volumetric imaging.8 These methods have the advantage that they maintain the signal-to-noise properties of a conventional clear circular aperture (CCA) microscope; however, these methods do not directly address the degradation of the PSF at depth due to SA9 and require 3-D postprocessing algorithms, which result in a longer postprocessing burden (in both time and computational resources) than EDFM methods.9,10

In this paper, the performance of EDFM with selected phase mask designs (proposed as a result of our previous study)11 and their robustness to SA and noise are evaluated in terms of image restoration quality. We present restored images that investigate the feasibility of SA-insensitive EDFM, PSF engineering, achieved by placing a phase mask at the pupil plane of the imaging lens to encode the wavefront emerging from an imaging system, has been implemented successfully to acquire 2-D images with an EDF, without loss of resolution.12,13 Various cubic phase mask (CPM)-based designs, suitable for high-resolution EDFM, have been investigated with the use of the wavefront encoding method as an approach to reduce the sensitivity of the 3-D PSF to depth-induced SA.11,14,15 Our previous results,11 consistent with results of Vettenburg et al.,14 showed that selected generalized cubic phase mask (GCPM)
designs or CPM designs with a large EDF minimize the change of the PSF with depth-induced aberrations and resulted in an EDFM-PSF that is insensitive to defocus and SA (over a 10-μm DOF) at a sample depth of 30 μm. In contrast, the CPM-PSF with a moderate EDF becomes aberrated at this sample depth. Although EDFM can be achieved through other methods that are either purely optical16,18 or purely digital,19,20 the wide-field wavefront encoding approach has the advantage of simultaneously fast acquisition and high resolution with low intensity exposure that is particularly important in live-cell fluorescence microscopy. Although wavefront encoding is an established method to achieve EDFM,14 the issue of depth variability due to SA in high-NA microscopy imaging was not explicitly addressed.21

Toward this end, simulated images computed using selected mask designs were restored and analyzed. We use model-based regularized estimation approaches (both iterative22 and noniterative23 developed previously for deconvolution microscopy) for restoration of the final EDFM image. The performance of these regularized restoration methods for EDFM in the presence of varying noise was analyzed using simulations to explicitly detail the inherent trade-off between signal-to-noise ratio (SNR) and resolution. Our preliminary results from applying regularized deconvolution to EDFM were first presented in conference publications15,24 and others have also applied different types of regularization to EDFM.25,26

The effectiveness of experimental implementation of EDFM was tested using a liquid crystal spatial light modulator (LC-SLM)27 for wavefront encoding and a pair of 6-μm fluorescently labeled polystyrene beads as a test sample. Through use of the LC-SLM, different PSF parameters can be easily varied to extend the DOF by varying amounts.28 The applicability of these results to live-cell microscopy was observed by imaging the fine structure of fluorescently labeled brine shrimp anatomy. Previous EDF studies have shown 3-D structures that are relatively thin or sparsely distributed in the volume.29 In practice, live biological subjects are often extended objects that are densely stained or thick relative to the DOF.

The paper is organized as follows: Sec. 2 reviews methods used for EDFM performance evaluation in simulation and experiment, synthetic image generation, experimental image acquisition, and image restoration. Results of simulated EDFM (based on CPM and GCPM) image generation and restoration, a noise analysis study of simulated EDFM, and results of experimental image restoration are presented in Sec. 3. The performance of wavefront encoded (WFE)-based systems is further discussed in Sec. 4. Conclusions from this study are summarized in Sec. 5.

2 Methods

In EDFM, images acquired with the microscope must be processed to obtain the final image. In the final 2-D image, ideally all structures distributed in a 3-D object are visible and well-focused, and the object is entirely within the DOF. This ideal image appears similar to the axial projection of a postprocessed (deconvolved) 3-D image stack acquired as the wide-field microscope is focused at different depths within the object. In practice, limits in the achieved EDF, changes in the PSF over the range of the EDF (such as those created by sample-induced SA or the phase mask-dependent shape of the engineered PSF), and noise that needs to be addressed using regularized image restoration reduce the fidelity of the 2-D restoration result. The relationship between noise and resolution loss is phase mask dependent since the characteristics of the phase mask determine the 3-D modulation transfer function (MTF) of the system that characterizes frequency loss during imaging12 and its impact on the solution of the inverse imaging problem via the regularized restoration method. In this study, the performance of EDFM is evaluated through image restoration. System sensitivity to noise and SA, as well as the trade-off between noise and resolution through regularization is evaluated using different imaging conditions. In each case, system performance is evaluated using relevant performance criteria.

2.1 Evaluation Criteria of Achieved EDFM Performance

The achieved performance of EDFM in the presence of SA is assessed using the following evaluation criteria: (a) achieved resolution, (b) localization accuracy of imaged structures, (c) residual image artifacts, (d) SNR drop, and (e) DOF extension. These criteria along with specific simulation examples enable evaluation of system performance. Specifically, achieved resolution is quantified using the Rayleigh resolution and a numerical test object consisting of pairs of closely spaced lines, EDFM performance is assessed experimentally using the last three criteria, which do not require knowledge of the true object. For example, in simulation, the achieved DOF is determined by comparing the final EDFM image to the projection of the true 3-D object, while in experiment, the projection of the 3-D deconvolved wide-field image is used instead. In the following, we provide more details on how these criteria are computed and/or assessed in each case.

2.2 Image Restoration

Two image restoration algorithms known for their properties to ameliorate the impact of noise were used in this study: the penalized space-invariant expectation maximization (SIEM) algorithm22 based on a roughness penalty28 and the regularized linear least squares (RLLS) method.24 These methods are implemented in the COSMOS image estimation module21 and can be used for 2-D and 3-D image restoration. The RLLS is a noniterative method with processing time in the order of 1 iteration of the SIEM algorithm. The algorithms were used to process 2-D EDFM images from both the CPM-WFE and the GCPM-WFE systems using a simulated 2-D WFE-PSF selected from the 3-D PSF (computed as described in Sec. 2.3). For the iterative SIEM algorithm, results were examined at several iteration numbers. Results for simulation studies were obtained at 1000 iterations of the algorithm. Results for experimental studies were obtained at 5000 iterations for the test-sample image and live-lung-cell image, and 1000 iterations were completed for restoration of the brine shrimp image. For comparison purposes, synthetic and experimental images from the conventional CCA system were also processed with the SIEM algorithm and the corresponding CCA-PSF layer.

Noiseless simulated images were restored without regularization, whereas experimental data and noisy simulated data (computed as described in Secs. 2.5 and 2.6) were processed using a nonzero regularization parameter that controls the amount of regularization used in the RLLS method and the penalized SIEM method in order to ameliorate the effects of noise on

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the solution of the ill-posed inverse imaging problem. The effects of different values of the respective regularization parameters were investigated in simulation, and results are reported in Sec. 3.4. The range of regularization parameters used for restoration of experimental data (reported in Sec. 3.5) overlaps with the range of values studied in simulation.

The different simulated WFE-PSFs used for restoration in this study provide an approximation to the true PSF that characterizes the system in the presence of SA. Since PSF mismatch causes image artifacts in model-based restoration, direct assessment of these artifacts provides an evaluation of the EDFM performance.

2.3 Wavefront Encoded PSF

WFE-PSFs based on two different phase masks and selected design parameters were used in this study to compute synthetic intermediate images and for image restoration. The mathematical functions that describe these phase masks as well as the model used to compute the 3-D WFE-PSFs are described in previous publications. The CPM \( \alpha = -30 \) pattern and the GCPM \( \alpha = -150, \beta = 450 \) selected for EDFM as well as the GCPM \( \alpha = -30, \beta = -3\alpha \) are shown in Fig. 1. Examples of aberrated simulated WFE-PSFs computed with the above masks to validate experimental results are also shown in Fig. 1.

For the comparison of computed and experimentally determined PSFs (Fig. 1), WFE-PSFs were computed on a \( 512 \times 512 \times 300 \) grid with voxels of size \( 0.1 \times 0.1 \times 0.1 \, \mu m^3 \). However, to match experimental conditions as closely as possible, they were computed assuming: (a) a point source located at 240 nm below the coverslip in glycerol (RI, \( n_{water} = 1.47 \)), (b) a \( 63 \times /1.4 \) NA oil-immersion objective lens (RI, \( n_{oil} = 1.515 \)), and (c) an emission wavelength \( \lambda_{emission} = 515 \) nm. The phase distortion corresponding to a depth of 24 \( \mu m \) was added to the generalized pupil of all computed PSFs in order to account for a residual aberration in our system.

Examples of unaberrated computed PSFs used to produce synthetic intermediate images are shown in Fig. 2(b). To model the depth variability of the EDFM in the presence of SA in a simulated imaging system, four depth variant (DV) CCA-PSFs were computed, as described in a previous publication.
assuming: (a) a point source located at a specific depth ($z_0 = 0, 5, 10, or 15 \, \mu m$) in water ($n_{water} = 1.33$) below the cover-slip, (b) a $60 \times /1.2$ NA oil-immersion objective lens (RI, $n_{oil} = 1.515$), and (c) an emission wavelength $\lambda_{emission} = 633 \, nm$.

For reconstruction of experimentally acquired data, PSFs were computed with a model that takes into account the effects of the LC-SLM implementation of the phase mask by modifying the phase function that represents the phase mask. Simulated PSFs for reconstruction of experimental data were computed on a $512 \times 512 \times 500$ grid with voxel size $0.1 \times 0.1 \times 0.1 \, \mu m^3$ assuming: (a) point source depth of 240 nm in embedding media with different RI (e.g., $n_{cement} = 1.56$, $n_{water} = 1.33$, and $n_{cytosol} = 1.495$), (b) a $63 \times /1.3$ NA oil-immersion objective lens (RI, $n_{oil} = 1.515$), and (c) an emission wavelength $\lambda_{emission} = 515 \, nm$.

2.4 Test Objects

The 3-D numerical test objects used in this study (shown in Figs. 2, 5, and 6) were chosen in order to investigate different properties of the WFE system: sensitivity to SA and noise, and the trade-off between SNR and resolution controlled by regularization. To model SA, an RI mismatch was used between the mounting medium for all of the test objects assumed to be 1.33 and the immersion medium of the oil lens (i.e., RI = 1.515). Object 1 (Fig. 2) consists of three small spheres, all $1 \, \mu m$ in diameter. The spheres are centered at depths 10, 15, and $20 \, \mu m$ on a $256 \times 256 \times 300$ grid with voxels of size $0.1 \times 0.1 \times 0.1 \, \mu m^3$. The origin of the $X_o Y_o Z_o$ plane is at the center of the grid, and the origin along the $z_o$-axis was placed at the 75th $X_o Y_o$ plane. The $(x_o, y_o)$ coordinates of the three spheres are: $(5, 5 \, \mu m)$, $(0, 0 \, \mu m)$, and $(-5, -5 \, \mu m)$. Object 2 (Fig. 5) consists of three blocks with different shapes: a $4 \times 4 \times 4 \, \mu m^3$ cube centered at a depth of $2.5 \, \mu m$, a sphere ($4 \, \mu m$ in diameter) centered at a depth of $7.5 \, \mu m$, and a $4 \times 4 \times 6 \, \mu m^3$ rectangle centered at a depth of $12.5 \, \mu m$ below the coverslip. The grid size and $(x_o, y_o)$ coordinates of object 2 are the same as object 1. Object 3 (Fig. 6) contains six pairs of rectangle bars. Each bar is $10 \, \mu m$ along $y$ and $5 \, \mu m$ along $z$. The bar width along $x$ for each pair and the interval between two pairs are increased from 0.2 to $1.2 \, \mu m$ in increments of $0.2 \, \mu m$ (from left to right).

For analysis and validation of experimental EDFM, synthetic intermediate EDFM images (Fig. 9) of two additional objects were computed. Objects 4 and 5 (not shown) both consist of a pair of $6 \, \mu m$ in diameter spherical shells with a $1 \, \mu m$ shell thickness embedded in a medium with RI = 1.56. The objects were computed on a $512 \times 512 \times 500$ grid with voxel size $0.1 \times 0.1 \times 0.1 \, \mu m^3$. Objects 4 and 5 were created to match experimental test-samples (described in Sec. 2.7) imaged with the WFE system. Object 4 was created for comparison with experimental CPM-EDFM results and has spherical shells with a displacement of $(\Delta x, \Delta y, \Delta z) = (4.9, 2.3, 2.3 \, \mu m)$ between them. The shells in object 5 (created for comparison with
experimental GCPM-EDFM results) have a displacement of \((\Delta x, \Delta y, \Delta z) = (4.1, 2.3, 2 \mu m)\) between them.

### 2.5 Intermediate WFE Image in the Presence of SA

Simulated intermediate images of these synthetic objects were computed using a strata-based model\(^{35}\) that approximates wide-field microscopy in the presence of SA and a finite number of 3-D DV WFE-PSFs described in Sec. 2.3.

The synthetic intermediate images of objects 1 and 2 were computed using three strata or four DV WFE-PSFs due to point sources located at depths \(z_o = 0, 5, 10,\) and \(15 \mu m\), correspondingly, below the coverslip. Simulated intermediate images of objects 4 and 5 were computed using 11 and 15 strata, respectively (i.e., using 12 CPM-PSFs computed at depths 20 \(\mu m\) through 31 \(\mu m\) at 1-\(\mu m\) intervals and 16 GCPM-PSFs at depths 0 \(\mu m\) through 45 \(\mu m\) at 3-\(\mu m\) intervals, respectively).

### 2.6 Noise and SNR Computation

To analyze EDFM performance under noisy conditions, noiseless simulated intermediate EDFM images were corrupted with Poisson and Gaussian noises. As is well known, Poisson noise is consistent with fluorescence imaging because it is signal dependent, i.e., its mean and variance depend on the number of photons captured by the camera based on the intensity of the fluorescence concentration in the sample. Using an appropriate scale factor, different amounts of Poisson noise were introduced in the simulated images using MATLAB’s™ (MathWorks, Massachusetts) imnoise built-in function. For images with Poisson noise, the peak SNR was calculated for a region in the image using

\[
\text{SNR}_{\text{Poisson}} = 10 \log_{10} \sqrt{m} \text{ dB},
\]

where \(m\) is the maximum value of photons at a single voxel in the region of the noisy image.

Gaussian noise was used to model electro-optical components in the microscope. For the Gaussian case, noise computed with imnoise for a specific mean and standard deviation was added to the image. The SNR in the region of interest (ROI) of the noisy image was computed as

\[
\text{SNR}_{\text{Gaussian}} = 10 \log_{10} \frac{\langle I \rangle_{\text{ROI}}}{\sigma_{I,\text{ROI}}} \text{ dB},
\]

where \(\langle I \rangle_{\text{ROI}}\) is the mean voxel intensity and \(\sigma_{I,\text{ROI}}\) is the standard deviation of the voxel intensity in the ROI. The SNR was measured from either a \(1 \times 1 \mu m\) or a \(2 \times 2 \mu m\) ROI at the center of the noisy image. Two SNR levels, 12 and 17 dB [computed using Eq. (2)], were simulated by first incorporating the Poisson noise and then adding the Gaussian noise to the synthetic image. In each case, equal contribution from Poisson and Gaussian noise was assumed. For example, to achieve a total SNR of 12 dB, both Poisson and Gaussian noise were introduced at a 15-dB SNR level. The SNR in intermediate experimental images and in the final EDFM images was also computed using Eq. (2).

### 2.7 Data Acquisition

The WFE imaging path was implemented by incorporating an LC-LSM (Meadowlark Optics, Frederick, Colorado) at a side imaging port of a commercial microscope via a unit magnification, four-f imaging system.\(^{22}\) Experimental PSFs (for the three phase masks described in Sec. 2.3) and intermediate EDFM images (based on the GCPM \(\alpha = -30, \beta = -3\alpha\) design, and the CPM \(\alpha = -30\) design) of one test sample and two biological samples were acquired with a Zeiss Axio Imager Z1 microscope, a Zeiss AxiosCam, a 63 × /1.4 NA oil-immersion objective (RI = 1.515), and a 515- to 565-nm emission filter (Zeiss filter set 10). At this magnification, the lateral camera pixel size is equal to 0.1 × 0.1 \(\mu m^2\) in the object space.

Images from the experimental test sample are shown in Figs. 7 and 8. The images show pairs of spheres that are offset and stacked in depth such that the object extends \(\sim 11 \mu m\) in the axial direction. The top of the first sphere is estimated to be approximately at the coverslip. The test sample consists of spheres (6 \(\mu m\) in diameter dual labeled with fluorescent green and blue dyes, Invitrogen Life Sciences) embedded in UV cured optical cement (Norland Products, Inc., Optical Adhesive 63), which has a RI = 1.56. The green fluorescent dye does not permeate throughout the sphere. Instead, it labels a spherical shell with an inner diameter of \(\sim 7.5 \mu m\). Within this fluorescent shell, green fluorescent emission is due only to a weak spectral overlap of the blue fluorescent dye emission and the emission filter.

Images from live and fixed biological samples are shown in Figs. 10 and 11, respectively. Figure 10 shows live human bronchial epithelial cells (HBE135-E6E7, epithelial HPV-16 E6/E7) that were cultured and imaged on a polydimethylsiloxane membrane coated with collagen I in 30-mm dishes. This type of membrane allows stretching of the confluent layer of cells and is used as a model for motion-induced stress in epithelial lung tissue.\(^{36}\) Mitochondria were labeled with MitoTracker® Green. Immediately before imaging, the membrane was transferred to a slide and capped with a coverglass (No. 1½) to accommodate an upright microscope configuration. The sample is weakly fluorescent such that illumination with a 1-s exposure time results in a maximum 12-bit gray level equal to 1888 and 1818 in the EDFM image based on a CPM and a GCPM, respectively. Before processing, the SNR \(\approx 13 \text{ dB}\) in the intermediate GCPM image of the lung-cell layer while in the intermediate CPM image SNR \(\approx 14 \text{ dB}\). Figure 11 shows a preparation of fixed brine shrimp of different sizes located at varying depths from the cover slip (Zeiss Microscopy), stained with eosin, and mounted in Cytoseal™ (RI = 1.495; Thermo Fisher Scientific).

### 3 Results

#### 3.1 Experimental EDFM WFE-PSF

Observation of experimental and computed PSFs is used to validate their experimental implementation. Figure 1 summarizes a qualitative comparison of experimental and computed WFE-PSFs based on theory. The CPM-PSF (\(\alpha = -30\)) verifies that in addition to its inherent axial curvature, the lateral shape of this PSF is degraded and varies along the axial direction due to SA [Fig. 1(a)]. As evident in Fig. 1(b), very weak qualitative agreement between experimental and simulated PSFs is achieved for the GCPM design with \(\alpha = -150\) previously selected to account for SA. A physical limitation prevents the LC-LSM implementation of phase masks with designs for a high absolute value of the \(\alpha\) parameter that are predicted to be more robust to SA.\(^ {23}\) Masks with many phase wrappings between 0 and \(2\pi\) are not implemented precisely on LC-LSM due to the pixel size of
the LC-SLM. However, implementation of the GCPM with $\alpha = -30$ shows agreement with simulation and a significant axial extent of the PSF compared with the CCA that is suitable for evaluation of EDFM performance in the presence of SA. Thus, the experimental studies in Sec. 3.5 utilize the GCPM $\alpha = -30, \beta = 90$ design to evaluate its performance in EDFM when SA is present.

### 3.2 Intermediate Synthetic Images with SA from EDFM Systems

Simulated EDFM intermediate images in the presence of SA computed using different phase masks are shown in Fig. 2. The extension of the WFE-PSFs along the Z-axis [Fig. 2(b)] causes intensity contributions from beads located in other planes, as seen in the XY-cut views of the intermediate image from the CPM- and GCPM-based systems [Fig. 2(c)]. This is in contrast to the CCA images, in which the intensity from the bead located at each plane dominates intensity contributions from beads located in other planes. The presence of SA is evident by the pronounced difference in the appearance of the top left and bottom right bead in the CPM intermediate images. It is noted that this difference is much diminished in the GCPM intermediate images.

### 3.3 Restored Images from Noiseless Simulation of EDFM Systems in the Presence of SA

Figures 3 and 4 summarize results from SIEM algorithm restoration using a 2-D single unaberrated WFE-PSF that yields the final EDFM images of object 1 from a WFE system with a CPM ($\alpha = 40$) and a GCPM ($\alpha = 150$ and $\beta = -3\alpha$) from XY intermediate images computed with SA (Fig. 2) and without SA. The case without SA was simulated as a control because it does not suffer from PSF mismatch due to SA, and thus it can be used to aid understanding of artifacts due to the structural differences of the PSF and not due to SA. A qualitative comparison of the restored images obtained at different focal planes (Fig. 3) to the projection of the object along the Z-axis [Fig. 2(a)] enables assessment of the achieved DOF in the CPM [Fig. 3(a)] and the GCPM [Fig. 3(b)] WFE systems. The theoretical DOF of the simulated CCA case [Fig. 3(c)] is $ \sim 0.7 \, \mu\text{m}^{39}$ and the observed DOF in the CPM and the GCPM cases is at least 5 $\mu\text{m}$ based on these restored images.

The dotted horizontal lines in Fig. 3 mark the true locations of the beads in the underlying object and, thereby, show the lateral shift in the position of the beads in the restored CPM images. This lateral shift occurs due to the curvature of the 3-D CPM-PSF that is not accounted for by restoration with a single 2-D PSF. The effect of this model mismatch has been noted and discussed previously. The PSF curvature effect can be seen by the distorted shape of the beads in the leftmost column of Fig. 3(a), where SA is not included. The effect of SA is evident by comparing the difference in the appearance of the top left and bottom right bead in the final CPM images with and without SA [Fig. 3(a)], in contrast to the GCPM images [Fig. 3(b)], which do not change with the presence of SA.

To quantitatively evaluate the restored results, intensity profiles from the images in the presence of SA (Fig. 3) are summarized in Fig. 4. Since CPM with $\alpha = 90$ has also been applied in other EDFM-related studies, the result from this case is also included in Fig. 4 for comparison. The observed differences in peak values and location of peaks in the intensity profiles quantify the residual restoration artifacts in the shape and position of the beads due to PSF mismatch. It is noted that these artifacts are reduced in the final GCPM image result [Fig. 3(b)], which was produced with the GCPM design selected to reduce the impact of SA on the engineered PSF. The maximum position error in bead location in the CPM image is $ \sim 2 \, \mu\text{m}$ for $\alpha = 40$ and $ \sim 1 \, \mu\text{m}$ for $\alpha = 90$. The scale of this inaccuracy is an order of magnitude higher than the 0.4-$\mu\text{m}$ lateral resolution limit of the optical imaging system. In contrast, the bead position error in the GCPM image is $ < 0.5 \, \mu\text{m}$. This comparison...
highlights that localization inaccuracy is a compounded effect of SA and PSF mismatch in EDFM using the CPM.

### 3.4 Restored Images from Noisy Simulations of an EDFM System in the Presence of SA

The performance of GCPM-based EDFM with noisy images was evaluated, and the results are summarized in Fig. 5 and Table 1. Object 2 and the noise model used in this study are described in Secs. 2.4 and 2.6, respectively. The EDFM intermediate images at two different noise levels are shown in Figs. 5(a) and 5(b), respectively. The corresponding restored images using the regularized SIEM algorithm are shown in Figs. 5(c) and 5(d), respectively, with a regularization parameter equal to: $5 \times 10^{-4}$ in (c) and $1.5 \times 10^{-3}$ in (d). The RLLS results are shown in (e) and (f) with a regularization parameter equal to $7 \times 10^{-5}$ and $2 \times 10^{-4}$, respectively. Each image is displayed by mapping its minimum and maximum intensity to a linear colorscale map.

![Projection of object 2 along Z](image_url)

Fig. 4 Quantitative comparison of object 1 restorations from images acquired from different systems. True object and reconstructed EDFM image intensity profiles show achieved accuracy in restored location and shape of structures. Profiles shown are from the $Z$-projection of the true object [Fig. 2(a)] and restored EDFM images along a diagonal line from top left to bottom right through the three beads. Profiles through the restored 2-D images in the presence of SA (Fig. 3) are compared at a distance $z_i$ away from the central focal plane equal to: (a) $-5$, (b) $0$, and (c) $5 \mu m$.

![Table 1](image_url)

| Algorithm       | SIEM | SIEM | RLLS | RLLS |
|-----------------|------|------|------|------|
| Regularization parameter | $1.5 \times 10^{-3}$ | $5 \times 10^{-4}$ | $2 \times 10^{-4}$ | $7 \times 10^{-5}$ |
| Intermediate image SNR (dB) | 12 | 17 | 12 | 17 |
| Restored image SNR (dB) | 11 | 15.2 | 11.7 | 13.6 |
| SNR drop (%) | 8.3 | 10.6 | 2.5 | 20 |

Table 1 SNR in noisy simulated images shown in Fig. 5 and restoration algorithm parameters.

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Figs. 5(c) and 5(d) while the images obtained with the RLLS method are shown in Figs. 5(e) and 5(f), respectively.

The trade-off between noise and resolution due to the regularization process is analyzed explicitly with the restoration of 3-D object. The intermediate image (SNR = 17 dB) from the EDFM system with the selected GCPM image, which includes both Gaussian and Poisson noise with a total SNR of 17 dB. (c-e) EDFM restored images obtained with the SIEM algorithm with a roughness penalty and a regularization parameter equal to $5 \times 10^{-5}$, $1.5 \times 10^{-4}$, and $5 \times 10^{-4}$, respectively. (f-h) EDFM restored images obtained with the RLLS algorithm with regularization parameter equal to $7 \times 10^{-5}$, $2 \times 10^{-5}$, and $7 \times 10^{-5}$, respectively.

![Fig. 6 Resolution study of a noisy GCPM-EDFM system with Rayleigh resolution limit equal to 0.32 μm. (a) The central XY-section of a 3-D test object with six resolution bar pairs. From left to right, the interval between the bar pairs is 0.2, 0.4, 0.6, 0.8, 1.0, and 1.2 μm. (b) The center XY-section of the noisy intermediate GCPM-EDFM image, which includes both Gaussian and Poisson noise with a total SNR of 17 dB. (c-e) EDFM restored images obtained with the SIEM algorithm with a roughness penalty and a regularization parameter equal to $5 \times 10^{-5}$, $1.5 \times 10^{-4}$, and $5 \times 10^{-4}$, respectively. (f-h) EDFM restored images obtained with the RLLS algorithm with regularization parameter equal to $7 \times 10^{-5}$, $2 \times 10^{-5}$, and $7 \times 10^{-5}$, respectively.](image)

### Table 2: Achieved resolution in noisy simulated images shown in Fig. 6 and restoration algorithm parameters.

| Algorithm | SIEM | SIEM | SIEM | RLLS | RLLS | RLLS |
|-----------|------|------|------|------|------|------|
| Regularization parameter | $5 \times 10^{-5}$ | $1.5 \times 10^{-4}$ | $5 \times 10^{-4}$ | $7 \times 10^{-4}$ | $2 \times 10^{-5}$ | $7 \times 10^{-5}$ |
| Achieved resolution (μm) | $\sim 0.2$ | 0.4 | 0.4 | $\sim 0.2$ | 0.4 | 0.6 |
| Corresponding image | Fig. 6(c) | Fig. 6(d) | Fig. 6(e) | Fig. 6(f) | Fig. 6(g) | Fig. 6(h) |

3.5 **Restored Images of Experimental Data Acquired with an EDFM System**

The performance of GCPM-based EDFM with experimental data was evaluated using a test sample (Figs. 7–9) with well-defined size and shape as well as with biological structures from two different types of samples (Figs. 10 and 11). The test sample and biological samples are described in Sec. 2.7. The 6-μm bead-pair test sample allows comparison of experiment with simulation in order to validate the results of restored experimental EDFM images. Images of clustered mitochondria, in live epithelial lung cells, demonstrate EDFM of low emission from subcellular structure in a sample that is $\sim$2-μm thick and is imaged adjacent to the coverslip. Images of fine protrusions from the body of a fixed brine shrimp demonstrate EDFM of high emission from a sample that is $\sim$6-μm thick and acquired at successive depths away from the coverslip. The former demonstrates EDFM of a densely labeled subject, and the latter demonstrates EDFM of thin structures that arc through the 3-D volume. As is the case in the human lung epithelial cells and brine shrimp images, the bead pair is larger than the DOF such that some emitted light remains out of focus despite the EDF. Experimental CPM- [Fig. 7(a)] and GCPM-based [Fig. 7(d)] images show different, although very similar, bead pairs from two different locations in the same sample preparation (the two beads shown in the GCPM images are slightly further separated laterally and axially than those in the CPM images; however, they are at a similar depth). The DOF in experimental CPM- and
GCPM-based EDF systems is analyzed via comparison with processed CCA wide-field images of the 6-μm bead pair using two approaches. First, CPM- and GCPM-EDFM images are compared with axial intensity projections of a 3-D CCA image (referred to as COSM in Fig. 7), all restored with the SIEM and the RLLS algorithms.

Experimentally, the EDFM image is not identical to the intensity projection of truly optically sectioned images over the imaging volume due to contribution of out-of-focus emission from planes outside the achieved EDF. Thus, for our analysis, projections over a truncated volume of the CCA image were investigated and compared with the experimental EDFM images. The comparison shows qualitative agreement between the normalized intensity distribution of the EDFM and CCA COSM image when the 3-D CCA COSM image is projected over a 6-μm DOF [Fig. 7(b)] for CPM and a 3-μm DOF [Fig. 7(c)] for GCPM.

Second, CPM- [Fig. 8(a)] and GCPM- [Fig. 8(b)] EDFM (SIEM restored) images at varying depths over a 6- and a 3-μm range, respectively, are compared with unprocessed CCA and 3-D-processed CCA images (or CCA COSM) over the same range relative to the center focal plane of the test sample, referred to as depth $d'$ (Fig. 8). Rather than remaining invariant over the depth range identified above, details that are in-focus at the reference depth begin to blur toward the edges of the depth range. This result is consistent with the expectation that the bead-pair object is larger than the EDF of the system, which does not extend equally in both directions from $d'$.

The two sets of unprocessed 3-D CCA images (Fig. 8) show that the depth over which objects remain resolved and undistorted provides an estimate of the 0.4-μm DOF for the CCA system, calculated as the sum of the wave and geometrical optical depths of fields. Using this approach, the EDF is judged to be ~2 and 1 μm for CPM- and GCPM-EDFM, respectively. These ranges correspond to 5x and 2.5x DOF extensions. Simulated CPM- and GCPM-EDFM images (Fig. 9) support this observation over a similar range of depth, in which in-focus objects remain undistorted. These simulated images are of a synthetic 6-μm bead pair (described in Sec. 2.4) with the same size, shape, and RI properties as the experimental test sample. Note that EDFM significantly increases the image acquisition rate over a volume (by decreasing the number of images needed to be investigated) but does not necessarily preclude the need for a 3-D stack in every application.

Analysis of the effect of restoration on the SNR of the GCPM-EDFM experimental images of the 6-μm bead pair [Fig. 7(d)] indicates that the SNR drop after processing (see Table 3) is greater than the one observed in simulation (Table 1). These larger changes in SNR not predicted by simulation indicate that additional factors, such as larger PSF mismatch error and other unaccounted sources of mismatch between the image model and the experimental WFE image formation, may also contribute to an overall loss in SNR.

A similar analysis of the DOF as that given above for the 6-μm bead pair is applied to experimental CPM- and GCPM-EDFM results of imaging live cells in culture. Images of epithelial lung cells show mitochondria labeled with MitoTracker green throughout the cell layers (Fig. 10). XY-section images from a CCA volume processed with 3-D deconvolution [Fig. 10(d)] show that varying elements of the cell layers are in and out of focus at different depths. The CCA COSM projection [Fig. 10(c)] shows the composite of this information.
and has a similar intensity distribution as that in the EDFM images [Figs. 10(a) and 10(b)] particularly in the central and brightest regions. In these areas, structures that are resolved at different planes in the CCA COSM images [Fig. 10(d)] can be identified in a single plane in the EDFM image [Fig. 10(a)] as well as in the CCA COSM projection [Fig. 10(c)]. In areas of low signal, some structures that appear in the CCA COSM projection are not visible in the EDFM images. This is consistent with the superior performance of EDFM at higher relative SNR observed in simulation. The resultant DOF after processing appears to be between 1 and 2 μm for both CPM- and GCPM-EDFM, which is consistent with the results from the 6-μm bead.

Additional biological results are presented from EDFM images of microstructures in a fixed brine shrimp sample that is relatively thick (≈6 μm), compared with the conventional system’s DOF (Fig. 11). GCPM-based EDFM images at
successive depths away from the coverslip restored with SIEM [Figs. 11(a)–11(c)] are compared with two different axial intensity projections computed over 3 μm from a 3-D deconvolved CCA image [Fig. 11(d) and 11(e)], as well as with an axial intensity projection over the full axial extent of the brine shrimp CCA image [Fig. 11(d) and 11(e)]. Images were restored using SIEM from simulated intermediated WFE images of the synthetic 6-μm bead after 5000 iterations. GCPM simulated intermediate image has SNR = 11.69 dB due to additive Gaussian noise. Lens: 63 × /1.4 NA oil-immersion. Emission wavelength: 515 nm. Each row shows a concatenated image displayed by mapping its minimum and maximum intensity to a linear grayscale map.

4 Discussion

Results show EDFM images that are successfully WFE with CPM and GCPM designs selected to address SA and processed with computationally efficient algorithms developed for traditional deconvolution microscopy. In particular, the selected GCPM design provides an improvement over traditional approaches to EDFM that use the CPM by increasing restored object location accuracy and reducing structural artifacts. In the following, relationships between achieved EDFM performance, defined by the evaluation criteria in Sec. 2.1, and WFE imaging system parameters (summarized here) are discussed in the context of SA impact on EDFM performance.

The DOF is most strongly dependent on the value of the phase mask strength parameter and the fidelity of the 3-D PSF with the PSF model used in restoration. Spatial resolution and SNR in the final restored image are affected by the choice of restoration algorithm and regularization parameter as well as, by the SNR of the intermediate (unprocessed) image. The latter is in turn influenced by the choice of the WFE mask design. Localization accuracy depends on the phase mask strength parameter as well as the phase mask type; the degree to which artifacts appear and degrade the final image depends on the rotational symmetry of the phase mask shape and the restoration algorithm. The effect of SA on the performance of reconstruction algorithms for EDFM is to exacerbate the typical reconstruction challenges of PSF mismatch (due to PSF depth variability) and low SNR.

4.1 EDF and the Effect of Phase Mask Strength on Sensitivity to SA

The assumption that the EDFM image formation model can be represented with a 2-D PSF is valid over a larger depth range than is the case for conventional (CCA) image formation. For larger values of the design parameter α, which controls the phase mask strength, the 3-D PSF is observed to have a longer axial extent, indicating a larger DOF. Although, the DOF is extended (from 0.7 μm in the conventional system to at least 5 μm in the EDFM of Fig. 3), it is not infinite. Thus, reconstruction artifacts occur when objects are outside the DOF in the intermediate image, i.e., when the 2-D model is no longer valid.

In the investigated simulation studies, restored results from the GCPM-EDFM more accurately represent the true object than the CPM-EDFM system. This is because the symmetry of the GCPM creates a 3-D PSF that is rotationally symmetric about the axial direction. Results from simulation and without SA (Figs. 3 and 4) show that restored GCPM-EDFM images are in better agreement with each other than restored CPM-EDFM images. This demonstrates that the performance of the EDFM system based on the selected GCPM phase mask design is less sensitive to SA than a traditional CPM-based system (α = 40). The GCPM-EDFM images provide the correct location for each bead in the true object, whereas conventional CPM-EDFM images show lateral shifts (an order of magnitude greater than the optical resolution due to the curved shape of the CPM-PSF and its sensitivity to SA) in the position of beads that are located in Z-planes that do not coincide with the processed Z-plane. In the CPM case, the observed shift in the restored bead location is less with increased value of α [Figs. 4(a) and 4(c)].

Experimentally, the LC-SLM implementation of WFE limits the value of α for GCPM to a value (|α| = 30) that is relatively low compared with that selected and shown to improve EDF in simulation (|α| = 150). As a result, both the simulated and experimental GCPM-EDFM images of the bead-pair test sample show a smaller DOF produced by the GCPM (|α| = 30) than the CPM (|α| = 30). The size of the bead pair relative to the EDF
enables an evaluation of the limits of the DOF for the experimentally implemented systems and aids in the interpretation of EDFM in the nonideal case for which the entire object is not within the EDF. Although this case in EDFM is analogous to conventional CCA fluorescence microscopy in 2-D, it must be considered that the in-focus portion of the 2-D EDFM image is a projection over a relatively thick volume. Simulated and experimental results of the bead pair also show that the fluorescent spherical shell is represented with better fidelity in the GCPM results as compared with the CPM within the range of the EDF. Despite its smaller EDF, the experimentally implemented GCPM design ($|\alpha| = 30$) produces images with fewer artifacts than the CPM design ($|\alpha| = 30$).

### 4.2 Trade-off Between Achieved Resolution and SNR

Simulated and experimental images demonstrate results of model-based regularized linear image estimation applied to EDFM. As expected, when using regularization methods, the
larger the regularization parameter value becomes, the more the achieved resolution is reduced (Table 2). Results showing an achieved resolution of 0.2 to 0.4 μm when the Rayleigh resolution limit of the simulated system is 0.32 μm demonstrate that EDFM in the presence of SA can achieve the conventional resolution limit in a noisy image with a properly regularized algorithm.

At the specific noise levels in this study, the drop in average SNR after processing is significantly reduced compared with Wiener filtering used in previous methods and is comparable to other nonlinear filtering methods in EDFM based on CPM.12,29,40 Specifically, our simulation study is limited to

Table 3  SNR in experimental GCPM-EDFM images shown in Fig. 7(d) and restoration algorithm parameters.

| Algorithm               | SIEM  | RLLS  |
|-------------------------|-------|-------|
| Regularization parameter| $1 \times 10^{-3}$ | $1 \times 10^{-3}$ |
| Intermediate image SNR (dB) | 10.8  | 10.8  |
| Restored image SNR (dB)  | 6.0   | 5.8   |
| SNR drop (%)            | 44    | 46    |

Fig. 11 GCPM-EDFM results at depths (measured from the cover slip) within a fixed preparation of eosin-stained brine shrimp mounted in Cytoseal™ acquired with a $63 \times /1.4$ NA oil lens show a DOF similar to a $10 \times /0.3$ NA dry lens. Resolution is less than that of NA = 1.4 but improved relative to NA = 0.3. (a–c) Single frame GCPM-EDFM wide-field images restored from intermediate images at three different depths after 1000 iterations of the SIEM algorithm. (d and e) Intensity projections of SIEM processed CCA COSM images over a 3-μm-depth range. The range is centered around an acquisition depth ~1 μm above the corresponding EDFM image (left panel). (f) Intensity projection of SIEM processed CCA COSM images over a 6.5-μm-depth range from the sum of 300 images at 0.1-μm intervals after restoration of CCA images with SIEM. The roughness penalty weight used for both the EDFM and CCA images is $5 \times 10^{-4}$. All processed images were acquired with a $63 \times /1.4$ NA lens. (g) An unprocessed CCA image acquired with a $10 \times /0.3$ NA lens shows a wide field of view of a similar brine shrimp mounted in the same slide preparation as that in images (a)–(f). The inset indicates a region shown enlarged in (h and i), to show the small projections that are the structures in the ROI in images (a–f) and indicate their relationship to the brine shrimp anatomy. Image (i) is cropped from (g) while image (h) was acquired with a $63 \times /1.4$ NA lens. All images are shown with saturated intensity color-maps in order to highlight the smallest structures.
two common noise level cases 12 and 17 dB, whereas our experimental study encompasses a noise level range of 10.4 to 14 dB in the intermediate image before processing. The maximum SNR drop after restoration was found to be as large as 46% in experiment compared with 20% in simulation. Overall, we observe a greater signal-dependent loss of resolution in the final EDFM images as compared with that in the final CCA COSM images. This observation is consistent with the differences in frequency attenuation in the MTF of the EDFM and CCA systems (Fig. 12). The EDFM system attenuates frequencies more than the CCA system, and it is, therefore, more sensitive to noise. The frequency attenuation in the bandpass region results in a more challenging inverse imaging problem, which cannot be solved without regularization in the presence of noise or other aberrations that cause a model mismatch in the restoration process.

5 Conclusion
In this study, we use the performance of regularized image restoration to evaluate a WFE system with phase mask designs selected to reduce the impact of depth-induced SA on high-resolution EDFM. Performance assessed based on the restored EDFM images in simulation shows both a longer DOF (7× increase over the conventional system) and a 50% to 75% improvement in the accuracy of object locations achieved when the GCPM (α = 150) design is used instead of the traditional CPM (α = 40) designs. EDFM images from the latter suffer from known lateral shifts of particles located at different depths, which are exacerbated by SA. The accuracy of the restored intensity is also improved with the GCPM design, mainly due to resulting PSF insensitivity to SA achieved with the selected design. Further noise analysis showed that both the penalized SIEM and the RLLS algorithms perform well in terms of retaining resolution when applied to noisy data with SNR as low as 12 dB investigated here. The restored EDFM experimental images indicate that an implementation with a much higher value of a phase mask design parameter α using either CPM or GCPM is warranted. However, experimental implementation with a higher value of α (phase mask strength) is not a fundamental issue since it is primarily due to limitations of the SLM implementation investigated here, and it can be addressed with improved SLM technology or manufactured masks exhibiting designs with higher phase mask strength. High-resolution EDFM images can be achieved in the presence of SA using effective regularized restoration algorithms, such as but not limited to those used here, and wavefront encoding with a radially symmetric phase mask from a subset of GCPM designs investigated in the presented studies.

Disclosures
The authors have no relevant financial interests in this article and no potential conflicts of interest to disclose.

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