Nuclear magnetic resonance as a probe of electronic states of Bi\textsubscript{2}Se\textsubscript{3}

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We present magnetotransport and \textsuperscript{209}Bi nuclear magnetic resonance (NMR) data on a series of single crystals of Bi\textsubscript{2}Se\textsubscript{3}, Bi\textsubscript{2}Te\textsubscript{2}Se and Cu\textsubscript{x}Bi\textsubscript{2}Se\textsubscript{3} with varying carrier concentrations. The Knight shift of the bulk nuclei is strongly correlated with the carrier concentration via a hyperfine coupling of 27 \textmu eV, which may have important consequences for scattering of the protected surface states. Surprisingly we find that the NMR linewidths and the spin lattice relaxation rate appear to be dominated by the presence of localized spins, which may be related to the presence of Se vacancies.

I. INTRODUCTION

Topological insulators are a novel form of condensed matter in which the bulk is electrically insulating but the surface electronic states remain gapless and conducting.\textsuperscript{1} This unusual situation can emerge in materials with large spin-orbit couplings such that the topology of the bulk band structure differs from that of the surrounding material.\textsuperscript{2} At the boundaries of the topological material the surface electron states exhibit a Dirac dispersion, and enabling dissipationless polarized spin currents which could prove useful in spintronics applications.\textsuperscript{3}

In 2009 the first of the bismuth chalcogenide family of materials, Bi\textsubscript{2}Se\textsubscript{3}, was discovered to exhibit surface states with the single Dirac cone characteristic of a 3D topological insulator.\textsuperscript{5} These compounds have attracted significant attention because large single crystals can be grown in excess Se, Bi\textsubscript{2}Te\textsubscript{2}Se, and Cu doped Cu\textsubscript{x}Bi\textsubscript{2}Se\textsubscript{3}. We find clear evidence of correlations between the NMR response and the carrier concentration in the Bi\textsubscript{2}Se\textsubscript{3} samples.

Despite extensive research on the bismuth chalcogenides, there have been relatively few studies of the NMR response of this material.\textsuperscript{6} Bi\textsuperscript{2}Te\textsubscript{2}Se NMR has been reported in nanoscale powders of Bi\textsubscript{2}Te\textsubscript{3}.\textsuperscript{7} Depending on the surface to volume ratio, nuclei at the surface may have sufficient spectral weight to contribute to the NMR signal, and in the former study the spectra revealed features consistent with surface nuclei.\textsuperscript{8} Moreover, the spin-lattice relaxation rate (\textit{T}\textsuperscript{-1}) of these surface nuclei was enhanced compared to the bulk. The authors concluded that this enhancement was consistent with metallic protected surface states.

The spin-lattice relaxation rate of the nuclei may be driven by spin-flip scattering between the electron and nuclear spins via the hyperfine interaction. However, it is unclear whether \textit{T}\textsuperscript{-1} is enhanced or suppressed by the exotic surface states, or whether the hyperfine interaction would have sufficient magnitude. In order to understand the interaction of nuclei with the topological states it is essential to understand the relaxation rates and hyperfine couplings of both the bulk and surface states.

The bismuth chalcogenides are well suited for NMR because Bi, Se and Te all have NMR active isotopes: \textsuperscript{209}Bi (\textit{I} = \frac{5}{2}, 100\% abundant), \textsuperscript{77}Se (\textit{I} = \frac{3}{2}, 7.63\% abundant) and \textsuperscript{125}Te (\textit{I} = \frac{5}{2}, 7.07\% abundant).\textsuperscript{9} \textsuperscript{209}Bi is particularly useful because not only is the signal intensity larger than that of \textsuperscript{77}Se, but it is also sensitive to the local charge environment via the quadrupolar interaction. The nuclear spin Hamiltonian for \textsuperscript{209}Bi is given by:

\begin{equation}
\mathcal{H} = \gamma h \mathbf{I}_z H_0 + \frac{\hbar \nu_{ee}}{6} [3 \mathbf{I}_z^2 - \mathbf{I}^2 - \eta (\mathbf{I}_z^2 - \mathbf{I}_y^2)] + \mathcal{H}_{\text{hf}},
\end{equation}

where \(\gamma = 0.6842\ \text{kHz/G}\) is the gyromagnetic ratio, \(H_0 = 9\ \text{T}\) is the external field (except for sample \#1 and
the Bi$_2$Te$_3$Se in which case $H_0 = 11.724$ T), $\hat{I}$, $\nu_{cc}$ are the nuclear spin operators, $\nu_{cc}$ is the component of the electric field gradient (EFG) tensor along the $c$-direction, $\eta$ is the asymmetry parameter of the EFG tensor, and $\mathcal{H}_{\text{hf}}$ is the hyperfine interaction between the Bi nuclear spins and the electron spins.\textsuperscript{15} Since there is a single Bi site in the unit cell with axial symmetry, $\eta$ is zero, and the spectrum consists of a set of nine equally spaced resonances separated by $\nu_{cc}$. The hyperfine coupling can be written as $\mathcal{H}_{\text{hf}} = \gamma g \mu_B A I \cdot S$, where $S$ is the electron spin (of either the bulk carriers or of the surface state electrons) with g-factor $g$, and $A$ is the direct contact hyperfine interaction. The hyperfine coupling gives rise to Knight shift $K = K_0 + A \chi$, where $\chi$ is the bulk magnetic susceptibility and $K_0$ is the temperature independent orbital shift. For a degenerate semiconductor or metallic system, the susceptibility is given by $\chi = g^2 \mu_B^2 N(0)$, where $N(0)$ is the density of states at the Fermi level. The nuclear spin Hamiltonian (Eq. 1) gives rise to nine resonances of the Bi nuclei in Bi$_2$Se$_3$ at frequencies:

$$\omega_n = \gamma H_0 (1 + K) + n \nu_{cc},$$

where $n = -4, -3, \ldots + 4$.

III. RESULTS AND DISCUSSION

A. Charge Transport

The electronic properties of our samples were strongly dependent on growth conditions. The Hall voltage and resistivity of several samples were measured as a function of temperature, and these results are shown in Figs. 2a and 2b. The carrier concentration $n$ was estimated from the Hall coefficient, $R_H$ using the formula $R_H = -1/nq$, where $q = -e$ is the charge of the electron carriers (from the Se vacancies). The Hall coefficients of samples from batches B and E have carrier concentrations of order $10^{19}$ cm$^{-3}$. Samples grown with excess Se (batches C and D) have lower carrier concentrations on the order of $10^{18}$ cm$^{-3}$. Excess Se can reduce the carrier concentration by reducing the number of Se vacancies, which donate two electrons each. On the other hand crystals grown in excess Se can also have Bi/Se antisite defects, which donate a single electron.\textsuperscript{17} Although our NMR spectra indicate that we introduce some disorder in the samples with excess Se, it is not readily apparent that this disorder arises from antisite defects.

Figures 3 and 4 display the carrier concentration and lattice constants versus the nominal percentage of Se in...
TABLE I. Measured Bi$_2$Se$_3$ samples, and their growth methods and properties at 10 K. The atomic percentage of Se for a stoichiometric Bi$_2$Se$_3$ mixture is 60%.

| Sample | Batch | Growth mechanism | % Se | $n$ (cm$^{-3}$) | $\mu$ (V$^2$m$^{-1}$s$^{-1}$) | $f_{\text{Hall}}$ (T) | $K$ (%) | $\Delta\nu_{\text{ext}}$ (kHz) | $\Delta\nu_{\text{Q}}$ (kHz) | $\nu_{\text{cc}}$ (kHz) |
|--------|-------|------------------|------|----------------|------------------|------------------|--------|------------------|------------------|------------------|
| #1     | A     | Ampoule anneal   | 60   | N/A            | N/A              | N/A              | 0.647 ± 0.002   | 38 ± 3 | 25 ± 3           | 158.3 ± 0.6      |
| #2     | B     | Bridgman        | 60   | $1.58 \times 10^{19}$ | 0.540          | 127 ± 6         | 0.619 ± 0.001   | 30 ± 2 | 30 ± 2           | 160.5 ± 0.5      |
| #3     | C     | Bridgman        | 65   | N/A            | 0.51 ± 0.01     | 86 ± 4           | N/A              | 168 ± 2 |
| #4     | D     | Bridgman        | 64.8 | $6.38 \times 10^{17}$ | 0.018          | 10.49 ± 4       | 0.356 ± 0.003   | 80 ± 10 | N/A              | 159.9 ± 0.06     |
| #5     | E     | Bridgman        | 62.5 | $3.46 \times 10^{18}$ | 0.024          | N/A              | 0.441 ± 0.004   | 56 ± 5  | 11 ± 16          | 166 ± 2          |
| #6     | F     | Bridgman        | 60   | $1.35 \times 10^{19}$ | 0.018          | N/A              | 0.667 ± 0.001   | 37 ± 2  | 43 ± 1           | 150.5 ± 0.5      |
| #7     | G     | Bridgman        | 60   | $9.41 \times 10^{18}$ | 0.065          | N/A              | N/A              | N/A    | N/A              |
| #8     | H     | Bridgman        | 60   | N/A            | N/A              | N/A              | N/A              | N/A    | N/A              |
| #9     | I     | Bridgman        | 60   | $1.91 \times 10^{19}$ | 0.080          | N/A              | N/A              | N/A    | N/A              |

FIG. 2. (a) Carrier concentrations of Bi$_2$Se$_3$ as determined by Hall measurements. The stoichiometric samples (#2 and #6 marked as in Fig. 7) show the highest concentrations, as well as #7 and #9 (hollow diamonds and slanted bars, respectively) from batch B. Excess Se (samples marked as in Fig. 7) can lower the concentration by an order of magnitude. (b) Temperature dependence of resistivities of our samples. Sample #4 results are from the closed-cycle refrigerator; all other samples are from the measurements in the PPMS.

these samples. It is clear that adding Se reduces the number of electrons, consistent with expectation. Furthermore, the monotonic variation of the lattice constants is consistent with Vegard’s Law, and indicates that the Se is doping homogeneously in the materials. Curiously, the behavior of Bi$_2$Se$_3$ contrasts with that of Bi$_2$Te$_3$ (Fig. 3), in which the carrier concentration first decreases with increasing Te concentration and then increases$^{18}$ The difference is due to the different types of defects that occur in the two materials: in stoichiometric Bi$_2$Se$_3$ the dominant defect is Se vacancies, so the addition of Se reduces the vacancies and may even add Bi vacancies which act as acceptors. In stoichiometric Bi$_2$Te$_3$ the dominant defect is a substitution of Bi for Te which acts as an acceptor; excess Te causes the dominant defect to be substitution of Te for Bi, a donor.

The resistivity, $\rho$, of these samples revealed metallic behavior, consistent with a high, temperature independent carrier concentration (Fig. 2b) as expected for a degenerate semiconductor. We extract the mobilities, $\mu = 1/\rho ne$ from the resistivity and Hall measurements, as shown in Fig. 5. These values are between 1-10 m$^2$/V·s and are comparable to those in the literature.$^7$

B. Quantum Oscillations

The properties of the doped carriers can be further investigated by magnetoresistance measurements. The resistivity at 1.9 K was measured up to a field $H = 9$
Fig. 4. Lattice constants \( a \) and \( c \) as a function of the atomic percentage of Se. Solid lines are best linear fits to the data.

Fig. 5. The mobilities versus temperature as determined from Hall constant and resistivity measurements.

FIG. 6. \( \Delta \rho \) versus inverse field, \( H^{-1} \) at 1.9 K in Bi\(_2\)Se\(_3\), where \( \Delta \rho \) is the difference between the measured resistivity and a polynomial fit as described in the text. The curves are offset vertically for clarity. NMR was performed on the samples which showed oscillations (marked as in Fig. 7). Other samples from batch B (samples #7, #8 and #9) showed no oscillations.

scattering time). From the data we estimate the Fermi wavevectors using the formula:

\[
\Delta \rho = \rho_0 \sin \left[ 2\pi \left( \frac{F}{H} - \gamma_B \right) \right],
\]

where \( H \) is the magnetic field, \( F \) is the SdH frequency related to the Fermi surface area, and \( \gamma_B \) is a phase factor related to the Berry phase.\(^{19} \) The amplitude \( \rho_0 \) depends on the temperature, the scattering rate, and the Dingle temperature (defined as \( h/2\pi k_B \tau \), where \( \tau \) is the electron

C. Nuclear Magnetic Resonance

The Bi NMR spectra were measured at 10 K in several different single crystal samples and are summarized in
Fig. 7. The spectra were fit to a sum of nine Lorentzians:

\[
S(\omega) = \sum_{n=-4}^{4} \frac{A_n}{\pi} \frac{\Delta \omega_n}{(\omega - \omega_n)^2 + \Delta \omega_n^2},
\]

where the frequencies \(\omega_n\) are given by Eq. 2, the linewidths are given by \(\Delta \omega_n = \sqrt{(\delta \omega_M)^2 + n(\delta \omega_Q)^2}\), and \(\delta \omega_M\) and \(\delta \omega_Q\) are the magnetic and quadrupolar contributions. This equation accounts for both a magnetic and quadrupolar broadening for each transition.\(^{21}\) The amplitudes \(A_n\) were allowed to float, although in principle they are coupled and related to the spin echo decay rate.\(^{11}\) The fitted values of \(K\), \(\delta \omega_M\), \(\delta \omega_Q\) and \(\nu_{cc}\) are reported in Table I. For all but Bi\(_2\)Te\(_2\)Se, the nine satellites of the \(^{209}\)Bi are distinguishable. The EFG splitting \(\nu_{cc} \approx 150 - 170\) kHz is somewhat smaller than previous experiments on other bismuth compounds.\(^{22,23}\) For some of the spectra, the intensity of the satellites follow an atypical distribution in which the central resonances are suppressed relative to the satellites. This effect has been observed previously in Bi\(_2\)Se\(_3\) and explained in terms of a spin-spin decoherence rate that depends on the particular nuclear transition.\(^{11}\) Not all of the spectra exhibit this unusual distribution, however. In particular, one of the samples grown with excess Se shows a more typical spectral profile. This effect probably reflects a longer \(T_2\) relaxation time for these samples. Aside from sample \#5, this feature appears to be correlated with the carrier concentration and is more pronounced at lower \(n\).

Figure 8 shows the Knight shift, \(K\), versus the carrier concentration, \(n\), as determined from the Hall constant. The Knight shift arises because spins of the electron carriers couple to the nuclei via the hyperfine interaction. In order to estimate the magnitude of this coupling, we fit the data to the expression \(K = K_0 + A \chi_m\), where the molar susceptibility is given by the Pauli expression:

\[
\chi_m = V_m \mu_B^2 \frac{m^*}{h^2 \pi^2} \left(3 \pi^2 n\right)^{1/3}.
\]

Here \(n\) is the carrier concentration as determined by the Hall constant, \(m^* = 0.19 m_0\) is the effective mass as determined by ARPES measurements, \((m_0\) is the bare electron mass), \(V_m = 258\) cm\(^3\)/mol is the molar volume, and \(A\) is the hyperfine coupling.\(^{24}\) This expression fits the data well (solid line in Fig. 8) with fit parameters \(K_0 = 0.19 \pm 0.06\%\), and \(A = 9.7 \pm 1.6\) MOe/\(\mu_B\). In units of energy, \(^{209}\)Bi\(\Delta h A_{HF} = 27\) \(\mu eV\); or alternatively a hyperfine field of \(B_{HF} \sim 735\) G experienced by the electrons. This value is larger than typical transferred hyperfine couplings, but is comparable to other semiconductors with on-site Fermi contact couplings.\(^{25,26}\) It is likely that the hyperfine interaction for the surface states would be similar to that of the bulk. The large value of the hyperfine coupling as well as the high natural abundance of \(^{209}\)Bi may limit the coherence time of any spin polarized currents, but it is unclear how the spin lattice relaxation process would be modified because of the protected nature of the surface states.\(^{27}\)

Using this hyperfine constant we can estimate the carrier concentration of the Cu doped sample at \(n \approx 2.5 \times 10^{19}\) cm\(^{-3}\) for our sample with a nominal Cu content of \(x = 0.12\). The carrier concentration remains an order of magnitude lower than that of superconducting samples, and probably explains the absence of superconductivity in these samples.\(^{9}\) Based on the spectrum seen in Fig. 7 it is clear that there is broad distribution of local EFGs that affect the quadrupolar satellites. This disorder may result from either intercalation of Cu between Bi\(_2\)Se\(_3\) layers or via Cu/Bi substitution.
Interestingly, there appears to be a negative correlation between the carrier concentration and the magnetic contribution to the linewidth, $\delta \omega_M$, as shown in Figure 9. The intrinsic linewidth of the Bi should be determined by the second moment of the nuclear dipole-dipole interaction, which is on the order of 500 Hz, two orders of magnitude lower than our observations.\textsuperscript{15} Defects such as impurities or vacancies in the crystal can increase this linewidth by creating a local variation in the chemical shift, $K_0$, as well as giving rise to local magnetic fields from localized moments. The fact that the atypical lineshapes appears to correlate with carrier concentration further suggests the presence of magnetic impurities, since fluctuating magnetic fields enhance the spin decoherence rate, $T_2^{-1}$, and suppress the signal intensity of the central lines. Although excess Se would be expected to narrow the lines by reducing the Se vacancies, in our experiment adding Se seems to broaden the spectra. This result suggests that excess Se may be introducing lattice defects other than Se site vacancies, which may be giving rise to localized unpaired electrons. Excess Se may occupy positions normally occupied by Bi as discussed previously, or it may cause Bi vacancies to form which act as acceptors, or it may occupy interstitial positions in the lattice.\textsuperscript{28} On the other hand, the broadening of the linewidth for low $n$ may reflect an inhomogeneous distribution of local carrier concentrations on a macroscopic scale. This inhomogeneity may be more pronounced at small $n$; however this scenario does not explain the atypical lineshapes.

The spin-lattice relaxation rate was measured at the central transition of several of the samples, and the magnetization recovery was fit to the standard expression for spin $9/2$ nuclei:

$$M(t) = M_0 \left[ 1 - 2f \left( \frac{7938}{12155} e^{-451/T_1} + \frac{1588}{225} e^{-281/T_1} + \frac{1}{1565} e^{-281/T_1} \right) \right] + \frac{6}{85} e^{-68/T_1} + \frac{12}{1515} e^{-1568/T_1},$$ (7)

where the equilibrium magnetization, $M_0$, the inversion fraction, $f$, and $T_1$ are fitting parameters.\textsuperscript{29} The results are shown in Fig. 10. Although our observations are similar in magnitude to other published data, we find a much
weaker temperature dependence.\textsuperscript{11} In principle there are three possible mechanisms for spin lattice relaxation in a nondegenerate semiconductor: (a) Korringa relaxation via the contact hyperfine interaction with the conduction electrons, (b) spin diffusion from localized electron spins, and (c) quadrupolar relaxation via phonons. We first consider case (a), in which case the spin-lattice relaxation rate should be given by:

\[ T_1^{-1} = (K - K_0)^2 T / \kappa \]  

(8)

where the Korringa constant is given by \( \kappa = g^2 \mu_B^2 / 4 \pi k_B \gamma^2 \) and \( g_\perp = 23 \) is the \( g \)-factor for the electron carriers in this material for the field perpendicular to the \( c \)-axis.\textsuperscript{30} Note that although the field lies in the \( c \)-direction, the fluctuating hyperfine field driving the spin-flip scattering lies in the plane. The calculated values of \( T_1^{-1} \) are shown as solid lines in Fig. 10, and are about an order of magnitude lower than the measured values. It is possible that the discrepancy can be attributed to an overestimate of the orbital shift \( K_0 \) from the fit to Eq. 6 in Fig. 8. In fact, samples \#3, \#4 and \#5 exhibit linear behavior above 60 K, as expected for Korringa relaxation. On the other hand, for samples \#1, \#2, and \#6 the relaxation the temperature dependence is sublinear, suggesting that the Korringa mechanism is not the dominant relaxation channel for the samples.

Case (b), spin diffusion from impurities, is a possibility because \( ^{209}\text{Bi} \) is 100% abundant, and Se vacancies/interstitials can bind localized electron spins that are potential sources of scattering/relaxation. In this case the magnetization recovery typically exhibits a distribution of relaxation rates because spin diffusion drives the relaxation of Bi nuclei with varying distances. Figure 11 shows the recovery of the magnetization for sample \#2 at 10 K. The solid line is a fit to Eq. 7, and the dotted line is a fit to the stretched form:

\[ M = M_0 \left[ 1 - 2 f e^{-(t/T_1)^\beta} \right], \]

(9)

where the stretched exponent \( \beta \) is a measure of the width of the \( T_1^{-1} \) distribution.\textsuperscript{31} The data fit better to the stretched exponential form. The temperature dependence of \( T_1^{-1} \) using Eq. 9 is essentially identical to that of the conventional magnetic relaxation, Eq. 7, except that \( T_1^{-1} \) values are about a factor of 50 times larger. However, it is important to note that another explanation for the poor fit to Eq. 7 is that multiple transitions may have been inverted by the pulse sequence, rather than solely the central transition. As seen in Fig. 7, the quadrupolar splitting is small (\( \sim 150 \text{ kHz} \)), and the excitation bandwidth of 167 kHz could have partially excited some of the satellite transitions, especially if one considers that the line width is comparable to the splitting. As a result the initial conditions of the magnetization recovery would be affected and hence the recovery function (Eq. 7) would be modified. Furthermore, mutual spin flips between Bi neighbors, which drives spin diffusion, are likely suppressed because of the finite quadrupolar splitting.\textsuperscript{32} The bulk magnetic susceptibility of these samples is diamagnetic, with a clear Curie tail for temperatures below 50 K. The local moments responsible for this Curie contribution may also be the source of the enhanced magnetic linewidth seen in Fig. 9 as well as the stretched behavior of the relaxation. However there is no evident correlation between \( T_1 \), \( \delta \omega_M \) and the Curie constant, suggesting that either there are extrinsic phases contributing to the susceptibility or the relationship between these quantities is complex. Therefore it is unclear which of these two scenarios is the correct explanation for the stretched nature of the magnetization recovery.

Case (c), quadrupolar relaxation, is possible because of the large quadrupolar moment of the Bi. This mechanism is often difficult to discern, and may occur in combination with magnetic mechanisms.\textsuperscript{33} In this case, the temperature dependence of \( T_1^{-1} \) is driven by changes in the lattice and depends on the phonon spectrum. One might expect, then, that \( T_1^{-1} \) would correlate with the presence of any lattice imperfections. However, \( T_1^{-1} \) does not appear to depend on the electronic mobility, suggesting that this mechanism is not dominant.

IV. CONCLUSIONS

NMR and transport measurements of a series of Bi\textsubscript{2}Se\textsubscript{3} crystals reveal a strong variation in the Knight shift and linewidth as a function of carrier concentration. By analyzing the Knight shift we determine a hyperfine coupling constant \( A \sim 27 \text{µeV} \). This coupling leads to a large Knight shift in these samples with high carrier concentrations. Because this contact hyperfine interaction is determined by the magnitude of the electron wavefunction...
at the nucleus, it is strongly controlled by large energy scale atomic parameters. Therefore it should not vary significantly from one chalcogenide to another. Furthermore it should not be significantly different for Bi located on the surface rather than in the bulk. As a result this electron-nuclear interaction can give rise to scattering of the protected surface state electrons and possibly play a role in dephasing spin polarized currents. The spin-lattice relaxation rate we observe is surprisingly large and weakly temperature dependent. Furthermore, the magnetic broadening we observe increases with decreasing carrier concentration. Our results may suggest the presence of localized spins, possibly associated with Se

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