ON SEGRE’S BOUND FOR FAT POINTS IN $\mathbb{P}^n$

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Abstract. For a scheme of fat points $Z$ defined by the saturated ideal $\mathcal{I}_Z$, the regularity index computes the Castelnuovo-Mumford regularity of the Cohen-Macaulay ring $R/\mathcal{I}_Z$. For points in linearly general position we improve the bound for the regularity index computed by Segre for $\mathbb{P}^2$ and generalised by Catalisano, Trung and Valla for $\mathbb{P}^n$. Moreover, we prove that the generalised Segre’s bound conjectured by Fatabbi and Lorenzini holds for $n+3$ arbitrary points in $\mathbb{P}^n$. We propose a modification of Segre’s conjecture for arbitrary points and we discuss some evidences.

1. Introduction

Let $S = \{p_1, \ldots, p_s\}$ be a set of distinct points in $\mathbb{P}^n = \mathbb{P}^n_K$ and let $p_1, \ldots, p_s$ be the associated homogeneous prime ideals in the polynomial ring $R := K[x_0, \ldots, x_n]$, where $K$ is an algebraically closed field. Given positive integers $m_1, \ldots, m_s$ we denote by $Z := \sum_{i=1}^{s} m_i p_i$ the 0-dimensional subscheme of $\mathbb{P}^n$ defined by the saturated ideal $\mathcal{I}_Z := p_1^{m_1} \cap \cdots \cap p_s^{m_s}$. We denote by $Z_{\text{red}} := \sum_{i=1}^{s} p_i$ the support of $Z$ and by $w(Z) := \sum_{i=1}^{s} m_i$ its weight.

Computing the value of the Hilbert function of $\mathcal{I}_Z$ at $d$ is equivalent to computing the dimension of the linear systems $\mathcal{L}_{n,d}(m_1, \ldots, m_s)$ of the degree-$d$ hypersurfaces of $\mathbb{P}^n$ passing through each point $p_i$ with multiplicity at least $m_i$, for all $d \geq 0$.

The regularity index $\text{reg}(Z)$ of $Z$ is the smallest positive integer $d$ such that $h^1(\mathbb{P}^n, \mathcal{I}_Z(d)) = 0$ or, equivalently, $h^1(\mathbb{P}^n, \mathcal{L}_{n,d}(m_1, \ldots, m_s)) = 0$. This number corresponds to the Castelnuovo-Mumford regularity of the Cohen-Macaulay graded ring $R/\mathcal{I}_Z$.

1.1. Segre’s bound. Let us assume, without loss of generality, that $m_1 \geq \cdots \geq m_s \geq 1$.

In 1961, Segre [20] gave the following upper bound for the regularity index of a collection $Z$ of fat points in general position in $\mathbb{P}^2$:

\begin{equation}
\text{reg}(Z) \leq \max \left\{ m_1 + m_2 - 1, \left\lfloor \frac{w(Z)}{2} \right\rfloor \right\}.
\end{equation}

We must also mention that for points in general position, that was Segre’s original hypothesis, the bound for the regularity index corresponds to the famous conjecture of Segre, Harbourne, Gimigliano and Hirschowitz for linear systems of plane curves with fixed multiple base points.

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In 1991, Catalisano [5, 6] established that the bound (1) holds sharp for points in general position. See [15, 16, 23] for discussions about Segre’s bound for fat points satisfying stronger conditions.

For arbitrary fat points in $\mathbb{P}^2$, in 1969 Fulton [12] gave the following upper bound:

\[(2) \quad \operatorname{reg}(Z) \leq w(Z) - 1.\]

It was proved to be sharp if and only if all points lie on a line by Davis and Geramita [10] in 1984.

Fatabbi in 1994 [11] gave the following generalised version of Segre’s bound for arbitrary points in $\mathbb{P}^2$:

\[(3) \quad \operatorname{reg}(Z) \leq \max\left\{ \max_{i<j} \{m_i + m_j - 1\}, \left\lfloor \frac{w(Z)}{2} \right\rfloor \right\}.\]

The above results were extended to fat points in linearly general position in $\mathbb{P}^n$. Fix $n \geq 2, s \geq 2$. We say the points $p_1, \ldots, p_s$ are in linearly general position in $\mathbb{P}^n$ if for each integer $r \in \{1, \ldots, n-1\}$ we have $\sharp(S \cap L) \leq r + 1$, for all $r$-dimensional linear subspaces $L \subset \mathbb{P}^n$.

Catalisano, Trung and Valla in [9, Theorem 6] showed that if $Z$ is a collection of fat points in linearly general position in $\mathbb{P}^n$, then

\[(4) \quad \operatorname{reg}(Z) \leq \max\left\{ m_1 + m_2 - 1, \left\lfloor \frac{w(Z) + n - 2}{n} \right\rfloor \right\}.\]

Moreover they proved that the bound is sharp for $s \leq n + 2$ points in general position and, for $s \geq n + 3$, when the points lie on a rational normal curve ([9, Proposition 7]). See also [7, 8].

The bound (4) is nowadays referred to as Segre’s bound for the regularity index of a collection of fat points $Z$ in $\mathbb{P}^n$.

1.2. Generalised Segre’s bound. For arbitrary fat points in $\mathbb{P}^n$, Fatabbi and Lorenzini [13] gave the following conjecture for the regularity index.

For any subset $L \subseteq \mathbb{P}^n$, write $w_L(Z)$ for the sum of all $m_p$, where $p \in S \cap L$ and $m_p$ is the multiplicity of $Z$ at $p$. In particular $w_{\mathbb{P}^n}(Z)$ is the weight of $Z$, $w(Z)$.

**Conjecture 1.1.** For $r = 1, \ldots, n$ and for any linear $r$-subspace $L$ of $\mathbb{P}^n$, set

\[T(Z, L) := \left\lfloor \frac{w_L(Z) + r - 2}{r} \right\rfloor.\]

Then

\[(5) \quad \operatorname{reg}(Z) \leq \max\{T(Z, L) : L \subseteq \mathbb{P}^n\}.\]

The bound in (5) is referred to as generalised Segre’s bound for the regularity index of an arbitrary collection of fat points in $\mathbb{P}^n$. Notice that for schemes of fat points in linearly general position, the generalised Segre’s bound (5) equals precisely Segre’s bound (4).

Conjecture 1.1 was establish in the case $n = 3$ by Thiê in 2000 [22] and, independently, by Fatabbi and Lorenzini in 2001 [13]. The first author also proved the case of arbitrary double points in $\mathbb{P}^4$.

More recently, Benedetti et al. proved that the conjecture holds for arbitrary $s \leq n + 2$ points of $\mathbb{P}^n$, by making the observation that for $s \leq n + 2$ points the properties of general position and linearly general position coincide.
Successively, Tu and Hung [24] showed that Conjecture 1.1 holds for \( n + 3 \) points that are almost equimultiple, namely when \( m_i \in \{ m-1, m \} \), for all \( i = 1, \ldots, n + 3 \).

In Section 2 we prove that Conjecture 1.1 holds for \( n + 3 \) arbitrary fat points of \( \mathbb{P}^n \).

In Section 3, Theorem 3.6, that is based on the results of Brambilla, Dumitrescu and Postinghel [3], improves Segre’s bound (4) (and also (5)) for fat points in linearly general position in \( \mathbb{P}^n \), and in particular for points in general position. An instance of this is the scheme of seven double points in \( \mathbb{P}^3 \). In this case, Segre’s bound (4) is 5, but \( \mathcal{L}_{3,4}(2^7) \) has vanishing first cohomology group, as predicted by the bound (11) that is 4. This is a well-known example that follows from the Alexander-Hirschowitz theorem [1].

In Section 4 we pose a modification of the Segre conjecture for the regularity index of a scheme of fat points, \( \text{reg}(Z) \), and discuss a list of evidences.

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2. Generalised Segre’s bound for \( n + 3 \) arbitrary points

In this section we prove that Conjecture 1.1 is true for an arbitrary collection \( Z \) of \( n + 3 \) fat points in \( \mathbb{P}^n \).

**Theorem 2.1.** Let \( Z := \bigoplus_{i=1}^{n+3} m_i p_i \) be a scheme of fat points supported on a non-degenerate set of distinct points in \( \mathbb{P}^n \). Then \( Z \) satisfies the generalised Segre’s bound, namely

\[
\text{reg}(Z) \leq \max\{\text{T}(Z, L) : L \subset \mathbb{P}^n\}.
\]

In order to prove this result, we need the following lemmas.

**Lemma 2.2.** Fix an integer \( a \geq 3 \), hyperplanes \( H, M \subset \mathbb{P}^4 \), \( H \neq M \), and sets \( S_1 \subset H \cap M \), \( S_2 \subset H \setminus (H \cap M) \), \( S_3 \subset H \cap M \) such that \( \sharp(S_1) = 3 \), \( \sharp(S_2) = \sharp(S_3) = 2 \), \( S_1 \cup S_2 \) is in linearly general position in \( H \) and \( S_1 \cup S_3 \) is in linearly general position in \( M \). Set \( S := S_1 \cup S_2 \cup S_3 \) and \( Z_a := \bigoplus_{p \in S} ap \). Then \( h^1(I_{Z_a}(2a-1)) = 0 \).

**Proof.** Note that, up to a projective transformations, \( S \) is uniquely determined. The proof will be by induction on \( a \).

The case \( a = 3 \) is an explicit computation, that can be easily performed with the help of a computer. If \( e_0, \ldots, e_4 \) are the coordinate points of \( \mathbb{P}^4 \), one can choose \( H \) to be the hyperplane spanned by the points \( \{e_0, e_1, e_2, e_3\} \), \( M \) to be the hyperplane spanned by the points \( \{e_0, e_1, e_2, e_4\} \), \( S_1 = \{e_0, e_1, e_2\} \), \( S_2 = \{e_3, e_0 + e_1 + e_2 + e_3\} \) and \( S_3 = \{e_4, e_0 + e_1 - e_2 + e_4\} \). In this example it is easy to check that \( h^1(I_{S_3}(5)) = 0 \) (see Section A.1).

Now assume \( a > 3 \). We have \( h^1(I_{S}(2)) = 0 \). One can easily check this by studying the Castelnuovo residual sequence with respect to \( H \). Now we check that \( I_{S}(2) \) is spanned. Using a residual exact sequence with the quadric hypersurface \( H \cup M \) we get \( h^1(I_{S \cup \{o\}}(2)) = 0 \) for all \( o \notin H \cup M \), i.e. \( I_{S}(2) \) is spanned outside \( H \cup M \). Then using a residual exact sequence with respect to \( H \) (resp. \( M \)) we see that \( I_{S}(2) \) is spanned at each point of \( \mathbb{P}^4 \setminus (S \cap H) \) (resp. \( \mathbb{P}^4 \setminus (S \cap M) \)). Now fix \( o \in S \), say \( o \in S \cap H \). Using the residual exact sequence of \( H \) we get \( h^1(I_{S \setminus \{o\} \cup \{o\}}(2)) = 0 \) and hence \( I_{S}(2) \) is globally generated at \( o \). Since \( I_{S}(2) \) is
spanned and $S$ is finite, Bertini’s theorem gives the existence of smooth quadric hypersurfaces $Q, Q, Q' \in |I_S(2)|$ such that $Q \cap Q'$ is a smooth surface and $C := Q \cap Q' \cap Q''$ is a smooth curve. By Lefschetz’ Theorem, $C$ is irreducible. By the adjunction formula $C$ is a canonically embedded smooth curve of genus 5. The inductive assumption gives $h^1(I_{Z_{a-1}}(2a-3)) = 0$ and so $h^1(Q, I_{Z_{a-1} \cap Q}(2a-3)) = 0$ and $h^1(Q, I_{Z_{a-1} \cap Q \cap Q'}(2a-3)) = 0$. The residual exact sequence

$$0 \to I_{Z_{a-1}}(2a-3) \to I_{Z_a}(2a-1) \to I_{Z_a \cap Q}(2a-1) \to 0$$

shows that it is sufficient to prove that $h^1(Q, I_{Z_a \cap Q}(2a-1)) = 0$. The residual exact sequence

$$0 \to I_{Z_{a-1} \cap Q \cap Q}(2a-3) \to I_{Z_a \cap Q \cap Q \prime}(2a-1) \to I_{Z_a \cap Q \cap Q \prime}(2a-1) \to 0$$

shows that it is sufficient to prove that $h^1(Q \cap Q', I_{Z_a \cap Q \cap Q \prime}(2a-1)) = 0$. The residual exact sequence

$$0 \to I_{Z_{a-1} \cap Q \cap Q \prime}(2a-3) \to I_{Z_a \cap Q \cap Q \prime}(2a-1) \to I_{Z_a \cap Q \cap Q \prime}(2a-1) \to 0$$

shows that it is sufficient to prove that $h^1(C, I_{Z_a \cap Q \cap Q \prime}(2a-1)) = 0$. Since $C$ is a complete intersection, it is projectively normal. Thus it is sufficient to prove that $h^1(C, R) = 0$, where $R$ is the line bundle $\mathcal{O}_C(2a-1)(-Z_a \cap C)$. We have $h^1(C, R) = 0$, because $C$ has genus 5 and the Euler characteristic of $R$ is $\chi(R) = 8(2a-1) - 7a \geq 9$.}

**Remark 2.3.** Lemma 2.2 is false for $a = 2$, even if we take $S$ to be a set of general points in $\mathbb{P}^4$ (it is an exceptional case in the list of Alexander-Hirschowitz [1]. See also [1, 19]). Also note that for $a = 2$ the Segre number is 4, because $\lfloor \frac{7 + 2 + 2}{4} \rfloor = 4$.

**Lemma 2.4.** Fix integers $n \geq 2$, $m > 0$, $a > 0$ and $t \geq a + m - 1$. Fix a finite set $S \subset \mathbb{P}^n$ and $o \in S$. Fix a hyperplane $H \subset \mathbb{P}^n$ such that $o \notin H$. Let $\ell : \mathbb{P}^n \setminus \{o\} \to \mathbb{P}^{n-1}$ be the linear projection from $o$. Set $S' := S \setminus \{o\}$ and $S_1 := \ell(S')$. Assume that $\ell|S'$ is injective. For all $p \in S$ fix an integer $m_p \geq 0$ with the restriction that $m_o = m$ and that $m_p \leq a$ for each $p \in S'$. Set $Z := \sum_{p \in S} m_p p$. For each integer $x \geq 0$ set $W_x := \sum_{p \in S'} \max\{0, m_p - x\} \ell(p)$ (hence $W_x = \emptyset$ for all $x \geq a$). Assume $h^1(H, I_{W_x}(t-x)) = 0$ for all $x = 0, \ldots, a$. Then $h^1(I_Z(t)) = 0$.

**Proof.** For each integer $x \geq 0$ set $Z_x := m_o + \sum_{p \in S'} \max\{m_p - x\} \ell(p)$. We have $W_x = Z_x \cap H$ and $Z_x = m_o$ for all $x > 0$. Choose a system $x_0, \ldots, x_n$ of homogeneous coordinates such that $H = \{x_0 = 0\}$ and $o = \{1 : 0 : \cdots : 0\}$. For each $\lambda \in \mathbb{K} \setminus \{0\}$ let $h_\lambda : \mathbb{P}^n \to \mathbb{P}^n$ be the automorphism defined by the formula $h_\lambda(x_0 : x_1 : \cdots : x_n) = (\lambda x_0 : x_1 : \cdots : x_n)$. We have $h_\lambda(o) = o$. Since $h_0$ is an automorphism of $\mathbb{P}^n$, $Z$ and $h_\lambda(Z)$ have the same Hilbert function. Since $Z_0$ is a flat limit of the family $\{h_\lambda(Z)\}_{\lambda \neq 0}$, it is sufficient to prove that $h^1(I_{Z_{a}}(t)) = 0$. For each integer $x = 0, \ldots, a$ there is a residual exact sequence

$$0 \to I_{Z_{a+1}}(t-x-1) \to I_{Z_a}(t-x) \to I_{W_a,H}(t-x) \to 0$$

We can conclude using the assumptions on $W_x$ and that $h^1(I_{Z_a}(t-a)) = h^1(I_{m_o}(t-a)) = 0$, because $t \geq a + m - 1$. □

**Lemma 2.5.** Fix integer $t \geq 2$, $z > z_1 \geq \cdots \geq z_t > 0$ and set $\eta := z + z_1 + 1$. Then $z + z_1 + \cdots + z_t \leq \frac{t-1}{t-1}\eta - t$ if $\eta$ is even and $z + z_1 + \cdots + z_t \leq \frac{t-1}{t-1}\eta - (t-1)/2$ if $\eta$ is odd.
be the linear space spanned by \( S \) \( \cap \) \( P \). Then we have \( \alpha \leq \beta \). It is sufficient to prove that \( \text{reg}(W) \geq \alpha - 1 \). By the inductive assumption on \( w(Z) \), we may assume that \( W \) satisfies the statement, namely that \( \text{reg}(W) \leq \beta \). Therefore it suffices to prove that \( \beta \leq \alpha - 1 \). For any linear space \( M \subseteq \mathbb{P}^n \) we have \( w_M(W) = w_M(Z) - \sharp(S \cap M \cap H) \).

Let \( L \subseteq \mathbb{P}^n \) be a linear subspace ejcving \( \beta \), i.e. \( \beta = T(W, L) \). It is a subspace spanned by the points of \( W \) and in particular by points of \( S \). Since \( \sharp(S \cap H) = \sharp(S) - 1 \), we get \( w_L(W) \leq w_L(Z) - r \leq r \alpha + 1 - r \) and hence \( \beta \leq \alpha - 1 \).

Case (2). We may assume that \( H \) is a hyperplane of \( \mathbb{P}^n \) with \( p_1, \ldots, p_{n+1} \in H \) and \( p_{n+2}, p_{n+3} \notin H \). Similarly to Case (1), set \( W := \text{Res}_H(Z) = \sum_{i=1}^{n+2} (m_i - 1)p_i + m_{n+2}p_{n+2} + m_{n+3}p_{n+3} \). By the inductive assumptions and the residual exact sequence (6) it suffices to prove that \( \beta \leq \alpha - 1 \).

Let \( L \subseteq \mathbb{P}^n \) be a linear subspace ejcving \( \beta \). It is spanned by points in the support of \( W \) and in particular it is spanned by points of \( S \). We have \( \beta = \left\lfloor \frac{w_L(W)+r-2}{r} \right\rfloor \) and \( w_L(W) = w_L(Z) - \sharp(S \cap L \cap H) \). Since \( w_L(Z) \leq r \alpha + 1 \), we have \( \beta \leq \alpha - 1 \), unless \( \sharp(S \cap L \cap H) \leq r - 1 \), i.e. unless \( L \) contains \( p_{n+2} \) and \( p_{n+3} \). Assume that this is the case. We have \( \sharp(S \cap L \cap H) = r - 1 \).

We consider the following cases.

Case (a) \( L \) has dimension \( r \leq 2 \).

Case (b) \( L \) has dimension \( 1 \).

Case (a).

In this case \( \dim(L) \geq 2 \), we claim that \( w_L(W) \leq 2(r+1) \). If \( w_L(W) = 2(r+1) \) then \( \beta = 3 \) and, moreover, \( m_{n+2} + m_{n+3} \leq 4 \), as \( m_{n+2} + m_{n+3} - 1 \leq \beta \), by the definition of \( \beta \). Hence we can conclude that \( \alpha \geq \beta + 1 = 4 \). Indeed, let \( L' \subset L \cap H \) be the linear space spanned by \( S \cap L \setminus \{p_{n+2}, p_{n+3}\} \); notice that \( \dim(L') = r - 2 \). Then we have \( \alpha \geq T(Z, L') = \left\lfloor \frac{w_L(Z)+r-4}{r} \right\rfloor \geq \left\lfloor \frac{(w_L(W)+r-1-m_{n+2}-m_{n+3}+r-4)/(r-2)}{r-2} \right\rfloor = 4 \). If \( w_L(W) < 2(r+1) \),
then $\beta < 3$. In this case we have $m_{n+2} + m_{n+3} \leq 3$, hence $\max\{m_{n+2}, m_{n+3}\} \leq 2$. Moreover, since $r \geq 2$, it must be $m'_i \geq 1$ for some $p_i \in S \cap L \cap H$, $i \neq n+2, n+3$. Therefore $m_i \geq 2$ and this implies that $\alpha \geq m_i + \max\{m_{n+2}, m_{n+3}\} - 1 \geq 3 \geq \beta + 1$ and we conclude.

We are left with proving the claim. Let $I$ be the index set parametrizing the union of points $S \cap L$. Set $m'_i = m_i - 1$, for all $i \in I \setminus \{n+2, n+3\}$, and $m'_{n+2} = m_{n+2}$, $m'_{n+3} = m_{n+3}$, so that $w_L(W) = \sum_{i=1}^{m'} I$. The definition of $\beta$ implies that

$$w_L(W) + r - 2 \geq m'_i + m'_j - 1,$$

for all $i, j \in I$, $i \neq j$. If $r = 2\rho - 1$ ($\rho \geq 2$), then

$$\frac{\rho w_L(W) + r - 2}{r} \geq (m'_i + m'_j - 1) + \cdots + (m'_{n+2} + m'_{n+3} - 1)$$

$$= w_L(W) - \rho.$$

One can easily check that this is equivalent to $w_L(W) \leq 2(r + 1)$. If instead $r = 2\rho$ ($\rho \geq 1$), by a similar computation one obtains

$$\frac{\rho w_L(W) + r - 2}{r} \geq w_L(W) - \rho - m'_i,$$

for all $i \in I$. We leave it to the reader to check that by taking the sum over $i \in I$ of the above expressions, one concludes that $w_L(W) \leq 2(r + 1)$ also in this case.

Case (b).

Assume that $L$ is the line spanned by $p_{n+2}, p_{n+3}$ and that $\sharp(S \cap L) = 2$. If $\alpha$ is not attained by the line $L$, then $\alpha > m_{n+2} + m_{n+3} - 1 = \beta$ and we conclude. Assume now that $\alpha$ is attained by the line spanned by $p_{n+2}, p_{n+3}$, i.e. $\alpha = m_{n+2} + m_{n+3} - 1$.

Without losing generality we may assume $m_{n+3} \geq m_{n+2}$. The definition of $\alpha$ gives $m_i \leq m_{n+2}$ for all $i \leq n + 1$. Hence, up to a permutation of the first $n + 1$ indices we may assume that the sequence $m_i, 1 \leq i \leq n + 3$, is non-increasing. If $m_{n+3} > m_{n+2}$, then the lines $R$ with $w_R(Z) = \alpha + 1$ are spanned by $p_{n+3}$ and the points $p_i$ with $m_i = m_{n+2}$. In this case all such lines contain $p_{n+3}$. If $m_{n+3} = m_{n+2}$ (and hence $\alpha$ is odd), then the lines $R$ with $w_R(Z) = \alpha + 1$ are the lines spanned by two points with multiplicity $m_{n+3} = (\alpha + 1)/2$.

We will split the proof of the statement in the following cases.

Case (b.1): homogeneous case, i.e. $m_1 = \cdots = m_{n+3} = m$.

Case (b.2): there exists a subspace $N$ of $\dim(N) \leq n - 2$ containing $\dim(N) + 2$ points of $S$.

Case (b.3): every subspace $N$, with $\dim(N) \leq n - 2$, contains $\dim(N) + 1$ points of $S$.

Case (b.1).

If $m = 1$, we have $\alpha > 1$. Since $S$ spans $\mathbb{P}^n$, we have $\sharp(S \cap N) \leq \dim(N) + 3$ for all linear spaces $N \subseteq \mathbb{P}^n$. Since $n \geq 4$ we see that $\alpha = 3$ if $\sharp(S \cap R) = 4$ for some line $R$ and $\alpha = 2$ in all other cases. Notice that the first case does not occur. Finally, if $\alpha = 2$ the vanishing of $h^i(I_S(\alpha))$ is well-known to hold.

Assume $m \geq 2$, for all $i = 1, \ldots, n + 3$, and $\alpha = 2m - 1$. For each integer $t = 1, \ldots, n - 1$ let $\gamma_t$ be the maximal integer such that $\gamma_t(\alpha + 1)/2 \leq t\alpha + 1$. We have $\gamma_t = t + 1$ for $t = 1, 2$, $\gamma_3 = 5$ and $\gamma_t \geq t + 3$ for all $t \geq 4$. Since $\alpha$ is the Segre bound of $Z$, we have $\sharp(S \cap N) \leq \gamma_t$ for each $t$-dimensional linear space $N \subset \mathbb{P}^n$. In particular $\sharp(S \cap N) \leq 2$ for each line $N$ and $\sharp(S \cap N) \leq 3$ for each plane $N$. 

By assumption, \( \sharp(S \cap N) \leq \dim(N) + 2 \) for each linear space \( N \subseteq \mathbb{P}^n \). Fix any hyperplane \( M \) such that \( \sharp(S \cap M) = n + 1 \) and set \( S' := S \cap M \). Call \( q, q' \) the points of \( S \setminus (S \cap M) \) and \( D \) the line spanned by \( q \) and \( q' \). Set \( \{o\} := D \cap M \) and \( S'' := S' \cup \{o\} \). Since \( \sharp(S \cap N) \leq 2 \) for each line \( N \), we have \( o \notin S' \). Set

\[
Z' := \frac{\alpha + 1}{2} o + \frac{\alpha + 1}{2} q + \sum_{p \in S'} \frac{\alpha + 1}{2} p.
\]

Choose a system \( x_0, \ldots, x_n \) of homogeneous coordinates such that \( M = \{x_0 = 0\} \) and \( q = (1 : 0 : \cdots : 0) \). For each \( \lambda \in K \setminus \{0\} \) let \( h_\lambda : \mathbb{P}^n \to \mathbb{P}^n \) be the automorphism defined by the formula \( h_\lambda(x_0 : x_1 : \cdots : x_n) = (\lambda x_0 : x_1 : \cdots : x_n) \). We have \( h_\lambda(q) = q \) and \( h_\lambda(p) = p \) for each \( p \in M \). Since \( h_\lambda \) is an automorphism, \( Z \) and \( h_\lambda(Z) \) have the same regularity. Since \( Z' \) is a flat limit of the family \( \{h_\lambda(Z)\}_{\lambda \neq 0} \), the semicontinuity theorem for cohomology gives \( \text{reg}(Z') \geq \text{reg}(Z) \). Hence is sufficient to prove that \( h^1(I_{Z'/(\alpha)}) = 0 \). Therefore we are done if \( \alpha \) is Segre’s bound for \( Z' \).

By the shape of the function \( \gamma_1 \) or by the proof of Case 2 we see that it is sufficient to prove that \( Z' \cap M \) has index of regularity \( \alpha \). By the inductive assumption on \( n \) and the shape of the function \( \gamma_1 \) we see that it is sufficient to prove that \( \sharp(S''' \cap R) \leq 2 \) for each line \( R \subset M \) and \( \sharp(S''' \cap N) \leq 3 \) for each plane \( N \subset M \). Assume the existence of a line \( R \subset M \) such that \( \sharp(S''' \cap N) \geq 3 \). Since \( \sharp(S'(\cap R) \leq \gamma_2 = 2 \), we have \( o \in R \) and \( \sharp(S'(\cap R) = 2 \). Hence \( R \cup D \) spans a plane \( A \) with \( \sharp(S \cap A) = 4 > \gamma_2 \), contradicting the assumption that \( \alpha \) is the Segre bound of \( Z \).

Now assume the existence of a plane \( N \) such that \( \sharp(S''' \cap N) \geq 4 \). Since \( \gamma_2 = 3 \) we see that \( o \in N \) and \( \sharp(S''' \cap N) = 3 \). Therefore \( R \cup D \) spans a 3-dimensional linear subspace \( A \) with \( \sharp(S \cap A) = 5 \). We repeat the construction taking a hyperplane \( M(1) \) containing \( A \) and spanned by points of \( S \). Set \( S'(1) := S \cap M(1) \) and \( \{q(1), q(1)\}' := S \setminus S'(1) \). Call \( D(1) \) the line spanned by \( \{q(1), q(1)\}' \) and set \( \{o(1) := D(1) \cap M(1) \). We repeat the construction with \( M(1) \) instead of \( M \) and get \( h^1(I_{Z/(\alpha)}) = 0 \), unless there is a 3-dimensional linear space \( A(1) \subset \mathbb{P}^n \) with \( \sharp(S \cap A(1)) = 5 \) and a plane \( N(1) \subset M(1) \) such that the linear span of \( N(1) \) and \( D(1) \) is \( A(1) \) and \( \sharp(S \cap N(1)) = 3 \). Set \( b := \sharp(S \cap A \cap A(1)) \). By construction we have \( b \leq 3 \). Let \( u \) be the the dimension of the linear span \( E \) of \( A \cup A(1) \). We have \( \sharp(S \cap E) \geq 10 - b \) and \( u \leq 7 - b \). We get \( E = \mathbb{P}^u \) and hence \( n \leq 7 \). We also get that \( S = S \cap (A \cup A(1)) \), \( S \cap A \cap A(1) \) is linearly independent (it may be empty) and that \( A \cap A(1) \) is spanned by \( S \cap A \cap A(1) \). For each \( n \), any two sets \( S', S'(1) \) with the properties just described are projectively equivalent.

Case (b.1.1).

Assume \( n = 4 \). If \( \alpha = 3 \), then this case is excluded, because \( n = 4 \), \( s = 7 \), and \( m_i = 2 \) for all \( i \) has Segre number 4. If \( \alpha > 3 \), then we use the case \( m_i = (\alpha + 1)/2 \) of Lemma 2.2.

Case (b.1.2).

Assume \( n > 4 \). Consider first the case \( n = 5 \), \( a = 2 \). We want to prove that \( h^1(I_{Z(3)}) = 0 \) for any union \( Z \) of eight double points of \( \mathbb{P}^5 \) such that \( \sharp(S \cap N) \leq \gamma_t \) for any \( t \)-dimensional subspace, with \( \gamma_1 = 2 \), \( \gamma_2 = 3 \), \( \gamma_3 = 5 \) and \( \gamma_4 = 6 \), the last inequality being sharp for a hyperplane \( H \). It is enough to exhibit an example for which for every \( t \) there is a subspace \( N \) with \( \sharp(S \cap N) = \gamma_t \) that satisfies the claim. One can show by computer that the statement holds for the set \( S \) given by \( e_0, \ldots, e_5 \), the coordinate points, and \( e_0 + e_1 + e_2 + e_3, e_0 + e_1 + e_5 + e_6 \) (see Section A.2).
Now assume \((n, a) \neq (5, 2)\). With this restriction we know the vanishing for the pair \((n - 1, a)\) by the inductive assumption on \(n\). Fix \(o \in S \cap M\) and take a general hyperplane \(N \subset \mathbb{P}^n\). Let \(\ell : \mathbb{P}^n \setminus \{o\} \to N\) the linear projection from \(o\). Set \(S' := S \setminus \{o\}\) and \(S_1 := \ell(S')\). For all integers \(x \geq 0\) set

\[
W_x := \left( \sum_{p \in S_1} \max \left\{ \frac{\alpha + 1}{2} - x, 0 \right\} \right) \cap N.
\]

Since \(S_1\) is the configuration of \(n + 2\) points of \(N\) corresponding to \(\alpha\), we have \(h^1(N, \mathcal{I}_{W_x}(\alpha)) = 0\). Using Segre's bound in \(N = \mathbb{P}^{n-1}\) we also get the other vanishing needed in order to apply Lemma 2.4 with \(m = a = (\alpha + 1)/2\) and \(t = \alpha\).

Case (b.2).

Let \(N \subset \mathbb{P}^n\) be a minimal subspace containing exactly \(\dim(N) + 2\) points of \(S\). In this step we assume \(y := \dim(N) \leq n - 2\). In this case we consider the residual sequence with respect to a hyperplane \(H'\) containing \(N\), spanned by points of \(S\) and containing \(p_{n+3}\). We get \(h^1(\mathcal{I}_{Z}(\alpha)) = 0\), unless the two points, say \(o_1\) and \(o_2\), of \(S' \setminus \{S \cap H'\}\) span a line \(R\) with \(S \cap R \cap H' = \emptyset\) and \(m_{o_1} + m_{o_2} = \alpha + 1\). This can not occur if \(m_{n+3} > m_{n+2}\). Indeed in this case each line \(R\) with \(T(R, Z) = \alpha + 1\) contains \(p_{n+3}\). Therefore we may choose \(H'\) to be a hyperplane containing \(N \cup \{p_{n+3}\}\) and obtain \(p_{n+3} \in S \cap R \cap H'\) for every line \(R\).

Assume \(m_{n+3} = m_{n+2} = \frac{\alpha + 1}{2}\). The scheme \(\bar{Z} := \sum_{p \in S} \frac{\alpha + 1}{2} p\) satisfies the vanishing \(h^1(\mathcal{I}_{\bar{Z}}(\alpha)) = 0\) by Case (b1). We conclude by noticing that \(Z \subset \bar{Z}\) implies \(\reg(Z) \leq \reg(\bar{Z})\).

Case (b.3).

In this case any \(n\) points of \(S\) are linearly independent. If \(m_{n+2} = m_{n+3} = \frac{\alpha + 1}{2}\), we set \(\bar{Z} := \sum_{p \in S} \frac{\alpha + 1}{2} p\) and conclude by Case (b1) as above.

Assume \(m_{n+3} > m_{n+2}\). Recall that since \(m_{n+3} + m_{n+2} = \alpha + 1\) and no triplet of points of \(S\) is supported on a line, each line \(R\) with \(w_R(Z) = \alpha + 1\) is spanned by \(p_{n+3}\) and a point with multiplicity \(m_{n+2}\). Let \(H'\) be the hyperplane spanned by \(p_{n+4}, \ldots, p_4\). Set \(W' := \Res_{H'}(Z)\). By the inductive assumption it is sufficient to prove that Segre's bound for \(W'\) is at most \(\alpha - 1\), i.e. that \(w_A(W') \leq t(\alpha - 1) + 1\) for all integer \(t = 1, \ldots, n\) and all \(t\)-dimensional linear subspaces of \(A \subset \mathbb{P}^n\). It is sufficient to test the linear subspaces \(A\) spanned by \(S \cap A\).

If \(t = n\), we prove the statement by noticing that \(w(W') = w(Z) - z(S \cap H') \leq n(\alpha - 1) + 1\).

Now assume \(t \leq n - 2\). By assumption \(z(S \cap A) = t + 1\). We have \(z(S \cap A \cap H') = t + 1 - \eta(\{p_1, p_2, p_3\} \cap A)\) and \(z(S \cap A \cap H') \leq t\) only if at least two among \(p_1, p_2, p_3\) are contained in \(A\). First assume \(z(S \cap A \cap H') = t - 1\). In this case we have \(w_A(Z) \leq m_{n+3} + \cdots + m_{n+5-t} + m_2 + m_1 - (t - 1)\). Lemma 2.5 with \(\eta = \alpha\) gives \(w_A(Z) \leq \frac{\alpha + 1}{2} \alpha - \frac{\alpha + 1}{2} \leq t(\alpha - 1) + 1\). Now assume \(\{p_1, p_2, p_3\} \subset A\) and hence \(t \geq 2\). We get \(w_A(W') \leq \frac{\alpha + 1}{2} \alpha - 2t \leq t(\alpha - 1) + 1\).

Now assume \(t = n - 1\) and \(A \neq H'\). In the case \(z(S \cap A) = n\) we conclude as in the case \(t \leq n - 2\). Assume \(z(S \cap A) = n + 1\). Obviously \(z(S \cap A \cap H') \geq n - 1\), hence \(w_A(W') \leq w_A(Z) - n + 1\). Moreover \(S \cap (A \setminus H') \subseteq \{p_1, p_2, p_3\}\) hence \(w_A(Z) \leq m_{n+3} + \cdots + m_2 + m_1 + m_2\). By Lemma 2.5 with \(\eta = \alpha\), we have \(w_A(Z) \leq \frac{\alpha + 1}{2} \alpha - \frac{\alpha - 1}{2}\). Therefore we conclude that \(w_A(W') \leq \frac{\alpha + 1}{2} \alpha - 3\frac{\alpha - 1}{2} \leq (n - 1)(\alpha - 1) + 1\).
3. THE BOUND FOR THE REGULARITY INDEX FROM [3]

Brambilla, Dumitrescu and Postinghel [3] gave a bound on the sum of the multiplicities for a linear system interpolating points in general position in $\mathbb{P}^n$ to be only linearly obstructed. The same arguments apply if one relaxes the hypothesis on the position of points, namely replacing the assumption of general position with that of linearly general position.

Let $\mathcal{L} = \mathcal{L}_{n,d}(m_1, \ldots, m_s)$ be the linear system of hypersurfaces of degree $d$ in $\mathbb{P}^n$ passing through a collection of $s$ points in linearly general position with multiplicities at least $m_1, \ldots, m_s$.

**Definition 3.1.** The (affine) virtual dimension of $\mathcal{L}$ is defined by

$$\text{vdim}(\mathcal{L}) = \binom{n+d}{n} - \sum_{i=1}^{s} \binom{n+m_i - 1}{n}$$

and the expected dimension of $\mathcal{L}$ is $\text{edim}(\mathcal{L}) = \max(\text{vdim}(\mathcal{L}), 0)$. If $\dim(\mathcal{L}) = \text{edim}(\mathcal{L})$, or equivalently then $\mathcal{L}$ is said to be non-special.

**Remark 3.2.** Notice that $\text{vdim}(\mathcal{L}) = h^0(\mathbb{P}^n, \mathcal{L}) - h^1(\mathbb{P}^n, \mathcal{L})$, hence $\mathcal{L}$ is non-special if and only if $h^1(\mathbb{P}^n, \mathcal{L}) = 0$.

**Definition 3.3** ([3, Definition 3.2]). For any integer $-1 \leq r \leq s-1$ and for any multi-index $I(r) = \{i_1, \ldots, i_{r+1}\} \subseteq \{1, \ldots, s\}$, define the integer

$$k_{I(r)} := \max(m_{i_1} + \cdots + m_{i_{r+1}} - rd, 0).$$

The (affine) linear virtual dimension of $\mathcal{L}$ is the number

$$\sum_{r=-1}^{s-1} \sum_{I(\leq \{1, \ldots, s\})} (-1)^{r+1} \binom{n + k_{I(r)} - r - 1}{n}.$$  

where we set $I(-1) = \emptyset$. The (affine) linear expected dimension of $\mathcal{L}$, denoted by $\text{ldim}(\mathcal{L})$, is defined as follows: it is 0 if $\mathcal{L}$ is contained in a linear system whose linear virtual dimension is non-positive, otherwise it is the maximum between the linear virtual dimension of $\mathcal{L}$ and 0. If $\dim(\mathcal{L}) = \text{ldim}(\mathcal{L})$, then $\mathcal{L}$ is said to be only linearly obstructed.

Asking whether the dimension of a given linear system equals its linear expected dimension can be thought as a refinement of the classical question of asking whether the dimension equals the expected dimension.

**Theorem 3.4.** [3, Theorem 5.3] Set $s \geq n+3$, $n \geq 1$, $d \geq 2$ and $d \geq m_1 \geq \cdots \geq m_s \geq 1$. Let $\mathcal{L} = \mathcal{L}_{n,d}(m_1, \ldots, m_s)$ be a linear system with points in linearly general position. Let $s(d)$ be the number of multiplicities equal to $d$, namely the smallest integer such that $m_{s(d)+1} < d$. Assume that

$$\sum_{i=1}^{s} m_i \leq nd + b,$$

where $b = b(\mathcal{L}) := \min\{n - s(d), s - n - 2\}$. Then $\mathcal{L}$ is only linearly obstructed, i.e. $\dim(\mathcal{L}) = \text{ldim}(\mathcal{L})$. 

Proof. We argue that the proof of [3, Theorem 5.3] extends to points in linearly general position.

The original proof is divided into four cases, depending on the numbers \( m, d \) and \( b \). Each proof is based on a semicontinuity argument that arises from a suitable specialization \( S \) of the base points of \( L \) such that part of them lie on a hyperplane \( H \) with the property that \( S \cap H \) is in linearly general position in \( H \) and \( S \setminus (S \cap H) \) is in linearly general position in \( \mathbb{P}^n \). The following is the corresponding Castelnuovo residual sequence

\[
0 \to \text{Res}(L) \to L \to L_H \to 0,
\]

where \( H \) is chosen so that \( \text{ldim}(L) = \text{ldim}(	ext{Res}(L)) + \text{ldim}(L_H) \) holds. This procedure allows to use induction on \( n \) and \( d \). Indeed one can check that both \( L_H \) and \( \text{Res}(L) \) satisfy (9). Hence the statement holds for \( L_H \) by induction on \( n \) and for \( \text{Res}(L) \) by induction on \( d \).

We refer to the proofs of [3, Lemma 5.4 and Lemma 5.7] for details. \( \square \)

As an easy consequence of the above result, one obtains the following.

Corollary 3.5. If the same hypotheses as in Theorem 3.4 are satisfied and moreover

\[
d \geq m_1 + m_2 - 1,
\]

then \( L \) is non-special, namely \( \text{dim}(L) = \text{edim}(L) \).

Proof. By Theorem 3.4, \( L \) is only linear obstructed. In fact \( \text{dim}(L) = \text{ldim}(L) = \text{vdim}(L) \), hence \( h^1(L) = 0 \) by Remark 3.2. Indeed (10) implies that the line spanned by the first two points is contained at most simply in the base locus of \( L \) hence it does not create speciality. Because \( m_1 \geq \cdots \geq m_s \), the same is true for all other lines and for all higher dimensional cycles spanned by subsets of \( Z_{\text{red}} \). \( \square \)

We can rephrase the above and give an upper bound for the regularity index of a collection of fat points in linearly general position in \( \mathbb{P}^n \). For a linear system \( L \), let us define the positive integer

\[
c = c(L) := \min\{n, s - n - 2\}.
\]

Theorem 3.6. Let \( Z \) be a collection of fat points in linearly general position in \( \mathbb{P}^n \) with multiplicities \( m_1 \geq \cdots \geq m_s \geq 1 \). Then

\[
\text{reg}(Z) \leq \max\left\{m_1 + m_2 - 1, \left\lceil \frac{w(Z) - c}{n} \right\rceil \right\}.
\]

Proof. If \( d \) is bigger or equals the number on the right hand side of (11), then the linear system \( L_{n,d}(m_1, \ldots, m_s) \) is non-special, by Corollary 3.5. \( \square \)

Remark 3.7. When \( m_1 + m_2 - 1 \geq \lceil (w(Z) - c)/n \rceil \) the bound in Theorem 3.6 is sharp. Indeed if \( d < m_1 + m_2 - 1 \) then the corresponding linear system has \( h^1 > 0 \), because it contains the line spanned by the first two points with multiplicity at least two in its base locus, and this implies \( \text{reg}(Z) \geq m_1 + m_2 - 1 \).

One can easily check that if \( s = n + 3 \), the bound (11) coincides with Segre’s bound (4). Since \( s = n + 3 \) always lie on a rational normal curve of degree \( n \) in \( \mathbb{P}^n \), the above proposition provides a different proof of ([9, Proposition 7]) in this case.

In Table 1 and Table 2 we compare the bounds (4) and (11), for \( s \geq n + 4 \). Denote by \( \mu, \lambda \) the integers such that \( w(Z) = \mu n + \lambda \), with \( 0 \leq \lambda \leq n - 1 \). Moreover set \( s = n + t \).
ON SEGRE’S BOUND FOR FAT POINTS IN \( \mathbb{P}^n \)

\[
\begin{array}{|c|c|c|c|c|}
\hline
\lambda &= 0 & \lambda &= 0 & \lambda &= 1 \\
(4) & \mu & (4) & \mu & \mu + 1 \\
(11) & \mu - 1 & (11) & \mu & \mu + 1 \\
\hline
s = 2n + 2 & s \leq 2n + 1 & 2 \leq \lambda \leq s - n - 2 & s - n - 2 < \lambda \leq n - 1 \\
\hline
\end{array}
\]

Table 1. Comparison table in the case \( n + 4 \leq s \leq 2n + 2 \).

\[
\begin{array}{|c|c|c|}
\hline
\lambda &= 0 & \lambda &= 1 \\
(4) & \mu & (4) & \mu + 1 \\
(11) & \mu - 1 & (11) & \mu \\
\hline
2 \leq \lambda \leq n - 1 \\
\hline
\end{array}
\]

Table 2. Comparison table in the case \( s \geq n + 3 \).

We conclude this section with a list of examples in which Theorem 3.6 provides an improvement of the Segre’s bound. The bounds we present in the examples below are sharp, in other words, the regularity index for the corresponding scheme of fat points is given by (11).

**Example 3.8.** For the planar case \( n = 2 \), the bound (11) improves the bound of Segre (1). One can easily check this by considering the scheme given by six double points for which Segre’s bound (1) equals 6. However the linear system of quintic curves \( L_{2,5}(2^6) \) has vanishing cohomology group \( h^1 \), as predicted by our bound (11) that is 5.

**Example 3.9.** For a scheme of nine double points in \( \mathbb{P}^3 \), Segre’s bound (4) is 6, but \( L_{3,5}(2^9) \) has vanishing \( h^1 \), as predicted by the bound (11) that is 5.

**Remark 3.10.** For a quasi-homogeneous scheme \( Z \), containing \( s - 1 \) simple points and one fat point of weight \( m \), it is easy to see that its regularity index, \( \text{reg}(Z) \), equals \( m \) as long as \( s \leq \binom{n+1+d}{d} \), obviously improving the bound (11).

Indeed, it follows easily that a linear system of the form \( L_{n,d}(d,1^{s-1}) \) has the same dimension as the linear system \( L_{n-1,d}(1^{s-1}) \).

4. **Modification of Segre’s conjecture for arbitrary number of points**

In this section we introduce the following conjecture and present the evidences we have for it.

**Conjecture 4.1.** Fix integers \( d \geq 2, n \geq 2 \). Let \( S \subset \mathbb{P}^n \) be a finite collection of \( s \) points. Fix integers \( m_1, \ldots, m_s \) and set \( Z := \sum_{i=1}^{s} m_i p_i \). Then \( h^1(I_Z(d)) = 0 \) if the following conditions are satisfied:

1. \( w(Z) \leq nd + 1 \);
2. \( w_L(Z) \leq d - 1 \) for each line \( L \subset \mathbb{P}^n \);
3. for all integers \( r = 2, \ldots, n-1 \) and every \( r \)-dimensional linear subspace \( L \subset \mathbb{P}^n \) we have \( w_L(Z) \leq rd + 2 \); if \( w_L(Z) = rd + 2 \) we also assume \( h^1(L,I_{Z\cap L}(d)) = 0 \).
Remark 4.2. Assume the existence of a closed subscheme $W \subseteq Z$ such that $h^1(I_W(d)) > 0$. Since $\dim(Z) = 0$, we have $h^1(Z, I_W, Z(d)) = 0$. Hence the restriction map $H^0(O_Z(d)) \to H^0(O_W(d))$ is surjective. Since $h^1(I_W(d)) > 0$, we get $h^1(I_Z(d)) > 0$.

Remark 4.3. In the same notation as in Conjecture 4.1, assume the existence of a closed subscheme $T \subseteq \mathbb{P}^n$ such that $h^0(T, O_T(d)) < w_T(Z)$. Then $h^1(T, I_{Z \cap T}(d)) > 0$. Hence $h^1(I_{Z \cap T}(d)) > 0$. Remark 4.2 gives $h^1(I_Z(d)) > 0$. In particular to have any chance to have $h^1(I_Z(d)) = 0$ we need $w_C(Z) \leq md + 1$ for every rational normal curve of degree $m$ of an $m$-dimensional linear subspace of $\mathbb{P}^n$ (we allow the case $m = n$). In particular condition (2) of Conjecture 4.1 is a necessary condition.

Lemma 4.4. Assuming the existence of an $r$-dimensional linear subspace $L \subseteq \mathbb{P}^n$ such that $w_L(Z) > rd + 1$ and $h^1(L, I_{Z \cap L}(d)) = 0$. Then $\sharp(S \cap L) \geq r + 4$.

Proof. Assume $\sharp(S \cap L) \leq r + 3$. By semicontinuity we have $h^1(L, I_{Z \cap L}(d)) = 0$ even if $S \cap L$ is general in $L$. In particular we may assume that $S \cap L$ is in linearly general position in $L$. In this case $S \cap L$ is contained in a rational normal curve of $L$. Use the case $m = r$ of Remark 4.2.

Theorem 4.5. Fix integers $n \geq 2$, $d \geq 4$, $d \geq m_1 + 2$, $s \geq 2n + 3$, $m_1 \geq \cdots \geq m_s \geq 0$, $\sum_i m_i = nd + 2$ and $m_1 + m_2 \leq d + 1$. Let $S = \{p_1, \ldots, p_s\}$ be a finite subset of linearly general position. Set $Z := \sum_i m_i p_i$. We have $h^1(I_Z(d)) = 0$ if and only if $S$ is not contained in a rational normal curve of degree $n$ of $\mathbb{P}^n$.

Proof. If $S$ is contained in a rational normal curve of $\mathbb{P}^n$, then $h^1(I_Z(d)) > 0$ (it is in [9], probably in your papers it is also computed that $h^1 = 1$).

Now assume $h^1(I_Z(d)) > 0$. For each $p \in S$ let $m_p$ be the multiplicity of $p$ in $Z$. Let $H$ be the hyperplane spanned by $p_1, \ldots, p_n$. Set $U := \text{Res}_H(Z)$ and let $S_1 := U_{\text{red}}$ be the support of $U$. For each $p_i \in S$ let $r_i$ be the multiplicity of $p_i$ in $U$. We have $r_i = m_i - 1$ if $i \leq n$ and $r_i = m_i$ if $i > n$.

Let $B_1$ (resp. $B'_1$) be the set of all lines $L \subseteq \mathbb{P}^n$ such that $w_L(Z) = d + 1$ (resp. $w_L(Z) = d$). Since $S$ is in linearly general position, $L \in B_1$ (resp. $B'_1$) if and only if $L$ is a line spanned by two different points, say $p$ and $q$, with $m_p + m_q = d + 1$ (resp. $m_p + m_q = d$). In particular if $B_1 \neq \emptyset$, then $m_1 + m_2 > d + 1$. Assume for the moment $m_1 + m_2 = d + 1$. If $m_1 > m_2$, then the elements of $B_1$ are the lines spanned $P_i$ and one the points $p_i$, $2 \leq i \leq c$, where $c$ is the maximal integer $i \in \{2, \ldots, s\}$ with $m_i = m_2$. If $m_1 = m_2$, then either $B_1 = \emptyset$ (case $d$ even) or $B'_1 = \emptyset$ (case $d$ odd) and $B_1 \cup B'_1 \neq \emptyset$ if and only if $m_1 = m_2 = \lceil d/2 \rceil$. In this case the elements of $B_1 \cup B'_1$ are the lines spanned by two points of $\{1, \ldots, c', \}$, where $c'$ is the maximal integer $i \leq s$ with $m_i = m_1 = m_2$. We consider the following cases.

Case (a): $m_1 = m_2$ and $d$ odd.

Let $Q \subseteq \mathbb{P}^n$ be a general quadric hypersurface containing $\{p_1, \ldots, p_{2n+1}\}$. Such a quadric exists, because $2n + 1 < \binom{n+2}{2}$. Set $W := \text{Res}_Q(Z)$ and $S' := W_{\text{red}}$. For each $p \in S$ let $a_p$ be the multiplicity of $p$ in $W$. If $p = p_i$, set $a_i := a_p$. We have $a_p = m_p$ if $p \notin Q$, $a_p = m_p - 1$ if $p$ is a smooth point of $Q$ and $a_p = \max\{0, m_p - 2\}$ if $p$ is a singular point of $Q$. Since $S' \subseteq S$, $S'$ is in linearly general position. Since $\sharp(S \cap Q) \geq 2n + 1$, we have $\sum_{p \in S} a_p \leq nd + 2 - 2n - 1 \leq n(d - 2) + 1$. If $B_1 \cup B'_1 = \emptyset$, then $w_L(W) < d - 1$ for all lines $L \subseteq \mathbb{P}^n$ and hence $h^1(I_W(d - 2)) = 0$ ([9, Theorem 6]). Now assume $B_1 \cup B'_1 \neq \emptyset$ and let $e$ be the maximal integer $i \leq s$ with $m_i = \lceil d/2 \rceil$. Since $\sum_i m_i = nd + 2 < (2n + 1)\lceil d/2 \rceil$, we have $e \leq 2n$. Hence
if \( L \in B_1 \cup B_1' \), then \( w_L(W) \leq w_L(Z) - 2 \leq d - 1 \) and hence \( h^1(I_W(d - 2)) = 0 \) ([9, Theorem 6]). Since \( h^1(I_Z(d)) > 0 \), the Castelnuovo residual sequence with respect to \( Q \) gives \( h^1(Q, I_{Z\cap Q}(d)) > 0 \). Since the zero-dimensional scheme \( Z \cap Q \) is contained in the scheme \( Z' := \sum_{p \in S \cap Q} m p \), we get \( h^1(I_{Z'}(d)) > 0 \). The support of \( Z' \) is in linearly general position and \( w(Z' \cap L) \leq w(Z \cap L) \leq d + 1 \) for all lines \( L \). Hence by [9, Theorem 6] we have \( \sum_{p \in S \cap Q} m p \geq nd + 2 \), i.e. \( S \cap Q = S \), i.e. \( S \) is in the base locus of \( |I_{\{p_1, \ldots, p_{2n+1}\}}(2)| \), i.e. \( |I_{\{p_1, \ldots, p_{2n+1}\}}(2)| = |I_{S}(2)| \) and \( h^0(I_{S}(2)) = \binom{n+2}{2} - 2n - 1 \). Since \( S \) is in linearly general position, \( h^1(I_{A}(2)) = 0 \) for all \( A \subset S \) with \( \sharp(A) \leq 2n + 1 \). By [17, Lemma 3.9], \( S \) is contained in a rational normal curve.

Case (b): \( m_1 = m_2 \) and \( d \) even.

Notice that in this case \( B_1 = \emptyset \). If \( B_1' = \emptyset \), i.e. if \( m_1 < d/2 \), then we make the same construction as in Case (a) with \( e = 0 \). We still have \( w_L(W) \leq d - 1 \) for all lines \( L \subset \mathbb{P}^n \), because \( w_L(Z) \leq d - 1 \) for every line \( L \). Now assume \( m_1 = d/2 \). Let \( f \) be the maximal integer \( i \leq s \) such that \( m_i = d/2 \). If \( f \leq 2n + 1 \), we may repeat the proof of Case (a), because \( w_L(W) \leq d + 1 \) holds. Now assume \( f \geq 2n + 2 \). Since \( 2(2n + 2) > 4n + 2 \), we have \( d \neq 4 \) and hence \( d - 1 \geq 2 + m_1 \). Since \( B_1 = \emptyset \), we have \( w_L(Z) \leq d \) for all lines \( L \). Since \( m_n \geq 2 \), in this case we have \( S_1 = S \) and hence \( \sharp(S_1) \geq 2n + 3 \). Since \( d \geq 6 \) and \( d - 1 \geq m_1 + 2 \), we may apply the inductive assumption to \( S_1, U \) and \( d - 1 \). We have \( h^1(H, I_{Z \cap H}(d)) = 0 \), because \( \{p_1, \ldots, p_n\} \) are linearly independent. Hence a residual exact sequence gives \( h^1(I_{U}(d - 1)) > 0 \).

We have \( \sum r_i = n(d - 1) + 2 \). Hence by the inductive assumption there is a rational normal curve containing \( S_1 \).

Case (c): \( m_1 > m_2 \) and \( d = 4 \).

Since \( d \geq m_1 + 1 \), we have \( m_1 = 2 \). Hence \( m_i = 1 \) for all \( i > 1 \). We may apply the proof of Case (a), because \( B_1 = B_1' = \emptyset \).

Case (d): \( m_1 > m_2 \) and \( d > 4 \).

If \( m_1 + m_2 \leq d - 1 \), then we may repeat the proof of Case (a), because \( w_L(W) \leq d - 1 \) for all lines \( L \). Hence we may assume \( m_1 + m_2 \geq d \). Let \( e \) be the maximal integer \( i \leq s \) such that \( m_i = m_2 = d + 1 - m_1 \) (with the convention \( c = 0 \) if there is no such an integer, i.e. if \( m_2 = d - m_1 \)) and let \( g \) be the maximal integer \( i \leq s \) such that \( m_i = d - m_1 \) with the convention \( g = c \) if there is no such an integer. If \( g \leq 2n + 1 \), then we may repeat the proof of Case (a), because \( w_L(W) \leq d - 1 \) for all lines \( L \). Hence we may assume \( g \geq 2n + 2 \). Since each element of \( B_1 \) contains \( P_1 \), we have \( w_L(U) \leq d \) for all lines \( L \). We have \( \sum r_i = n(d - 1) + 2 \).

Since \( d \geq m_1 + 2 \) and \( g \geq 2n + 2 \), then \( m_i \geq 2 \) for all \( i \leq g \), in particular for all \( i \leq n \). Hence \( S_1 = S \) and \( \sharp(S_1) \geq 2n + 3 \). Since \( d \geq m_1 + 2 \), \( m_1 > m_2 \), \( r_1 = m_1 - 1 \) and \( r_i \leq m_i \) for all \( i \), we have \( d - 1 \geq r_1 + 2 \) for all \( i \). Hence by the inductive assumption there is a rational normal curve \( C \supset S_1 = S \). \( \square \)

4.1. Achieving Segre’s bound. We recall that [9, Theorem 6] proves the Segre’s (4) bound for points in linearly general position

\[
\operatorname{reg}(Z) \leq \max \left\{ m_1 + m_2 - 1, \left\lfloor \frac{w(Z) + n - 2}{n} \right\rfloor \right\}.
\]

Moreover, [9, Proposition 7] shows that equality holds if the set \( \{p_1, \ldots, p_n\} \) is contained in a rational normal curve of \( \mathbb{P}^n \). In [9, Problem 1], the authors asked if the equality in (4) implies that \( \{p_1, \ldots, p_n\} \) is contained in a rational normal curve.
of $\mathbb{P}^n$. It was shown to be true in [5] and [23] for points in uniform position. We will show that this is not always the case by exhibiting the following family of examples.

**Example 4.6.** Fix positive integers $n \geq 4$, $m_i > 0$ and $d \geq 4$ such that $m_1 + \cdots + m_s = nd + \alpha$ with $1 \leq \alpha \leq n - 1$. Assume the existence of an integer $b \in \{s - \alpha, \ldots, s - 1\}$ such that $\sum_{i=b+1}^{s} m_i \leq \alpha$; for instance, take $b = n - \alpha$ and $m_i = 1$ for all $i > b$. Let $C \subset \mathbb{P}^n$ be a rational normal curve of degree $n$. Take $p_i \in C$, $1 \leq i \leq b$, distinct and $p_j \in \mathbb{P}^n \setminus C$, $b + 1 \leq j \leq s$, with the only restriction that the set $\{p_1, \ldots, p_s\}$ is in linearly general position (e.g. we take $p_j$ general for $j > b$). Set $Z' := \sum_{i=1}^{b} m_i p_i$. By [9, Proposition 7], we have $\text{reg}(Z') = t$, where $t$ is the Segre’s bound. By [9, Proposition 5], we have $\text{reg}(Z) \leq t$. Remark 4.2 implies that $\text{reg}(Z') \leq \text{reg}(Z)$. Hence $\text{reg}(Z) = t$. If $s - b \geq n + 3$, then $C$ is the only rational normal curve containing $p_1, \ldots, p_b$. Hence $\{p_1, \ldots, p_s\}$ is contained in no rational normal curve.

**Appendix A. Macaulay 2 Codes**

In this section we provide Macaulay 2 [18] scripts for the computations that cover the initial cases of the proofs of the results contained in Section 2.

**A.1. Code 1.**

```plaintext
KK=ZZ/32749;
R=KK[e_0..e_4];
d=5;
N=binomial(d+4,4);
f=ideal(e_0..e_4);
f^d;
T=gens gb(fd);
J=jacobian(T);
JJ=jacobian(J);
p1=matrix{{e_0^0,0,0,0,0}};
p2=matrix{{0,e_0^0,0,0,0}};
p3=matrix{{0,0,e_0^0,0,0}};
p4=matrix{{0,0,0,e_0^0,0}};
p5=matrix{{0,0,0,e_0^0,0,0}};
p6=matrix{{0,0,0,0,e_0^0}};
p7=matrix{{0,0,0,-e_0^0,0,0}};
mat=random(R^1,R^N)*0;
mat=(mat||sub(JJ,p1));
mat=(mat||sub(JJ,p2));
mat=(mat||sub(JJ,p3));
mat=(mat||sub(JJ,p4));
mat=(mat||sub(JJ,p5));
mat=(mat||sub(JJ,p6));
mat=(mat||sub(JJ,p7));
```

r=rank mat;
exprank=7*binomial(6,4);

print(r,exprank)

A.2. Code 2.
KK=ZZ/32749;
R=KK[e_0..e_5]
d=3;
N=binomial(d+5,5);

f=ideal(e_0..e_5);
f^d=f^d;
T=gens gb(fd);
J=jacobian(T);

p1=matrix{{e_0^0,0,0,0,0,0}};
p2=matrix{{0,e_0^0,0,0,0,0}};
p3=matrix{{0,0,e_0^0,0,0,0}};
p4=matrix{{0,0,0,e_0^0,0,0}};
p5=matrix{{0,0,0,0,e_0^0,0}};
p6=matrix{{0,0,0,0,0,e_0^0}};
p0=matrix{{e_0^0,e_0^0,e_0^0,e_0^0,0,0}};
p7=matrix{{e_0^0,e_0^0,0,0,e_0^0,e_0^0}};

mat=random(R^1,R^N)*0;
mat=(mat|sub(J,p0));
mat=(mat|sub(J,p1));
mat=(mat|sub(J,p2));
mat=(mat|sub(J,p3));
mat=(mat|sub(J,p4));
mat=(mat|sub(J,p5));
mat=(mat|sub(J,p6));
mat=(mat|sub(J,p7));

r=rank mat;
exprank=8*6;

print(r,exprank)

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