Socially guided intrinsic motivation for robot learning of motor skills

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Abstract This paper presents a technical approach to robot learning of motor skills which combines active intrinsically motivated learning with imitation learning. Our algorithmic architecture, called SGIM-D, allows efficient learning of high-dimensional continuous sensorimotor inverse models in robots, and in particular learns distributions of parameterised motor policies that solve a corresponding distribution of parameterised goals/tasks. This is made possible by the technical integration of imitation learning techniques within an algorithm for learning inverse models that relies on active goal babbling. After reviewing social learning and intrinsic motivation approaches to action learning, we describe the general framework of our algorithm, before detailing its architecture. In an experiment where a robot arm has to learn to use a flexible fishing line, we illustrate that SGIM-D efficiently combines the advantages of social learning and intrinsic motivation and benefits from human demonstration properties to learn how to produce varied outcomes in the environment, while developing more precise control policies in large spaces.

Keywords Active learning · Intrinsic motivation · Exploration · Motor skill learning · Inverse model · Programming by demonstration · Learning from demonstration · Imitation

1 Introduction

In this article, we first review approaches to life-long skill learning in Sect. 2, set the framework of our approach in Sect. 3, then describe our algorithm in Sect. 4, before implementing it for a fishing robot (Fig. 1).

2 Approaches to skill learning in adaptive personal robots

The promise of personal robots operating in human environments to interact with people on a daily basis points out the importance of adaptivity. The robot can no longer simply reproduce actions predesigned in factories. It needs
to adapt to its changing and open-ended environment, match its behaviour and learn new skills as the environment and users’ needs change.

Yet, robot learning of new action skills is a difficult problem because their sensorimotor spaces are large and high-dimensional, and at the same time their physical embedding allows only limited time for collecting training data. For example, random motor exploration is bound to fail for building forward or inverse models through regression in high-dimension (Baranes and Oudeyer 2013). Thus, learning must be associated to mechanisms for guided exploration. Exploration strategies developed in the recent years can be classified into two broad interacting families: (1) socially guided exploration (Nehaniv and Dautenhahn 2004; Billard et al 2007; Argall et al. 2009); (2) internally guided exploration and in particular intrinsically motivated exploration (Schmidhuber 1991; Barto et al. 2004a; Oudeyer et al. 2007).

2.1 Socially guided exploration and imitation learning

In order to build a robot that can learn and adapt to human environment, the most straightforward way might be the knowledge transfer from a human into a machine. Behavioural psychology studies (Whiten 2000; Tomasello and Carpenter 2007) highlight the processes through which the behaviour of an individual B may come to be like A’s, such as mimicry, stimulus enhancement, imitation or emulation. Learning a policy from demonstrations provided by a teacher is commonly referred to as programming by demonstration (PbD) or imitation learning (Nehaniv and Dautenhahn 2004; Billard et al 2007; Argall et al. 2009). PbD targets an implicit means of training a machine, such that explicit and tedious programming of a task by a human user can be minimised. It is an intuitive medium of communication for humans, who already use demonstrations to teach other humans. It can in principle offer a natural means of teaching machines that would be accessible to non experts. For instance trajectory and keyframe demonstrations have been shown to be efficient and easy to use for non-experts (Akgun et al. 2012).

That is why several works incorporate human input to guide the robot learning process, such as in some examples of trajectory-based PbD where statistical regression techniques are used to model the invariances of demonstrated movements (Billard et al 2007; Grollman and Jenkins 2008; Chernova and Veloso 2009; Lopes et al. 2009b; Cederborg et al. 2010; Calinon 2009; Calinon and Billard 2007; Peters and Schaal 2008), or inverse reinforcement learning approaches (Abbeel and Ng 2004; Verma and Rao 2006; Mangin and Oudeyer 2012) where one attempts to achieve goal imitation by inferring the hidden cost function maximised by the demonstrated movement (Lopes et al. 2010b). Prior works have also given a human trainer control of the reinforcement learning reward (Blumberg et al. 2002; Kaplan et al. 2002), provide advice (Clouse and Utgoff 1992), or teleoperate the agent during training (Smart and Kaelbling 2002).

In these systems, learning has been strongly relying on the involvement of the human user. However, the more dependent on the human the system, the more challenging learning from interactions with a human is, due to limitations such as human patience, teaching dataset sparsity, the absence of teaching for some subspaces, ambiguous and suboptimal human input, correspondence problems, etc, as highlighted in (Nehaniv and Dautenhahn 2007). This is one of the reasons why in most approaches to robot learning of motor skills, either in trajectory based approaches or inverse reinforcement learning, only a few movements or motor policies were learnt in any single studies.

Increasing the learner’s autonomy from human guidance could address these limitations. This is the case of approaches based on more autonomous learning techniques, such as intrinsically motivated exploration methods.

2.2 Intrinsically motivated exploration and active learning

Approaches to robot skill learning based on optimisation and reinforcement learning techniques have been widely studied recently, where one has assumed that an engineer provides manually a reward function that is associated to a pre-defined specific task (Kober and Peters 2011; Peters and Schaal 2008; Schaal 2003; Stulp and Schaal 2011). Once the reward function is defined, techniques allowing efficient and fast use of training data have been elaborated, such as natural actor-critic architectures (Peters and Schaal 2008), path integral approaches (Theodorou et al. 2010) or advanced Black Box optimisation techniques (Stulp and Sigaud 2012). While these techniques may seem to rely less on the human expert, they still require an engineer to provide a specific reward function associated to each new particular task to learn.

In order to allow robots to learn more autonomously a wider diversity of tasks, defined here as goals in a parameterised task space, methods have been devised for learning forward and inverse models, relating a space of parameterised motor policies with a space of parameterised tasks. Once learnt, these forward and inverse models can then be used in conjunction with for example planning methods in order to reach goals. Yet, exploration is a fundamental challenge to achieve the autonomous learning of such forward and inverse models in high-dimensional robots. This is why methods of active exploration and learning have recently been developed in the fields of developmental robotics and robot learning (Lopes and Oudeyer 2010), reusing some of the concepts elaborated in the statistical active learning framework (Fedorov 1972; Cohn et al. 1996; Roy and McCallum 2001). These methods are inspired by intrinsic...
Motivation in psychology (Deci and Ryan 1985) which trigger spontaneous exploration and curiosity in humans. A first family of such active learning methods, shown to be efficient in spaces up to around 15 continuous dimensions in robots, is called knowledge-based approaches (Oudeyer and Kaplan 2007; Baldassarre 2011). Parameters of motor policies are chosen for experimentation so that the observed consequences in the task space provide maximal improvement of the quality of the learned forward model, which is then inverted for control when needed (Oudeyer et al. 2007; Schmidhuber 2010, 1991). Yet, these methods were shown to become inefficient when dimension increases (Baranes and Oudeyer 2013), and these limitations where addressed by competence-based approaches where instead of performing active motor babbling, parameterised tasks were actively sampled through active goal babbling, then generating lower-level goal directed exploration. Goal babbling has been shown recently to considerably fasten learning by exploiting the sensorimotor redundancies and the lower dimensionality of task spaces (Baranes and Oudeyer 2013; Rolf et al. 2010; Baranes and Oudeyer 2010). For example, with the self-adaptive goal generation-robust intelligent adaptive curiosity (SAGG-RIAC) architecture we re-use in this article, it was shown how robots could learn omnidirectional quadruped walking (thus learning to find the parameters of motor policies to achieve the whole variety of possible displacement tasks) or learn inverse arm kinematics with several dozen dimensions (thus learning the parameters of motor policies to reach all spatial goals possible in the visual task space) (Baranes and Oudeyer 2013).

However, such active exploration methods for learning forward and inverse models still have limitations. In particular, they address only partially the challenges of unlearnability and unboundedness (Oudeyer et al. 2013), which rises with the use of real high-dimensional bodies with continuous sensorimotor channels and an open-ended environment. Indeed, computing meaningful measures of interest first requires a sampling density which decreases its efficiency as dimensionality grows [curse of dimensionality Bishop (2007)]. Without additional mechanisms, the identification of learnable zones with knowledge or competence progress becomes less and less efficient as dimensionality grows. The second limitation relates to unboundedness: whatever the measure of interest used, if it is only based on the evaluation of performances of predictive models or of skills, exploring inside all localities in a lifetime is impossible. Therefore, complementary developmental mechanisms need to constrain the growth of the size and complexity of practically explorable spaces, by introducing self-limits in the unbounded world and/or drive them rapidly toward learnable subspaces (Oudeyer et al. 2013). We argue that social guidance, leveraging knowledge and skills of others, can be key for bootstrapping the intrinsically motivated learning of such models. For example, adequate human demonstration of skills, as we will show in this article, can help the learner to identify which part of the task space are reachable and learnable, as well as provide examples of motor trajectories useful to reach particular goals, and which can be further explored by the robot to reach self-determined nearby goals.

2.3 Combining intrinsically motivated and socially guided exploration

Thus, while intrinsic motivation and socially guided learning have so far often been studied separately in developmental robotics and robot learning literature, we believe their integration has high potential. Their combination could push the respective limits of each family of exploration mechanisms we stated above.

Social guidance can drive a learner into new intrinsically motivating spaces or activities which it may continue to explore alone and for their own sake, but might have discovered only due to social guidance. For example, in the experiment we will present, random uniform exploration of the space of movements has low probability to reach certain areas with the float. Yet, a human may demonstrate early on to the robot specific movements that allow to reach such areas, and then the robot may later on explore variations of these movements through curiosity, allowing the reaching of goals close to these areas.

Conversely, intrinsically motivated learning can build on information provided by human demonstrators/teachers, such as examples of movements or goals to reach, to then spontaneously explore novel movements allowing to reach similar goals in a refined manner or to reach other self-defined goals with the help of these bootstrapping structures provided by humans. In principle, as human demonstrations are only used as a bias for further autonomous exploration, intrinsically motivated learning can even use information from human teachers with limited skills, and improve over these demonstrated skills by learning to achieve a higher diversity of goals with more efficient movements.

Thus, while self-exploration alone tends to result in a broader repertoire of skills (i.e. capacity to reach many goals in a task space), and while exploration guided by a human teacher tends to be more specialised and resulting in fewer tasks that are learnt faster, combining both can bring out a system that acquires a diversity of skills with fast bootstrapping thanks to human guidance, and the possibility on the long-term to bias the system towards learning more precisely skills in the preferred areas of the user.

The combination of autonomous learning and imitation learning of continuous high-dimensional motor skills was previously studied in Kober and Peters (2011), Peters and
Schaal (2008), Schaal et al. (2003), Stulp and Schaal (2011), but this was done only in the context of reinforcement learning one skill, defined as one goal in a task space, and did not rely on active intrinsically motivated learning of forward or inverse models. For example, Kober and Peters (2011) presented algorithms that allow a robot to learn how to throw a ball at a pre-specified location, by finding adequate parameters of a motor primitive using a human demonstration as bootstrapping and then further optimisation through episodic reinforcement learning. Recently, extensions of these approaches have been presented to allow a robot to generalise motor primitives to novel goals that are close to a set of goals previously learnt with these methods, and leveraging regression techniques (Kober et al. 2012; da Silva et al. 2012). For example, in Kober et al. (2012), a robot can generalise to throw a ball close to a few goals it has already learnt. Yet, in these works, a human engineer has to provide manually a repertoire of goals, and the robot is not able to learn parameters of motor primitives to reach goals that are far away from these pre-defined goals. Also, no method for active learning were used in Kober et al. (2012), da Silva et al. (2012).

A combination of social learning with intrinsic motivational drives was proposed and studied by Thomaz (Thomaz and Breazeal 2008; Thomaz 2006), with a system called socially guided exploration. In this work, a robot was capable to learn several skills defined as sequences of discrete actions, and as a result of both social dialogue with a human and self-exploration using a hierarchical reinforcement learning algorithm. The focus of this study was on the qualitative dynamics of learning and teaching in the flow of human-robot interaction, and on the design of a full integrated cognitive architecture. While a real robot was used, the state of the environment as well as robot actions were discrete and few in number. Also, since it was not the focus of these studies, the mechanisms for active learning, for e.g. measuring novelty and mastery, were kept rudimentary and tailored for small discrete state-action spaces.

In this article, we will present a system, called socially guided intrinsic motivation by demonstration (SGIM-D), that allows a robot to learn a diverse repertoire of parameterised motor primitives, in high-dimensional continuous spaces similar to those used in Kober and Peters (2011), Peters and Schaal (2008), Schaal et al. (2003), Stulp and Schaal (2011), Kober et al. (2012), da Silva et al. (2012), but allowing to reach a diversity of goals which spans the whole reachable task space. This system will re-use regression techniques allowing to generalise motor primitives to goals close to previously learnt goals, like in Kober et al. (2012), da Silva et al. (2012), but will allow to self-generate and learn actively goals that are also far from those given by humans. This system will also leverage efficient techniques for active learning of inverse models using goal babbling (Baranes and Oudeyer 2013; Rolf et al. 2010; Baranes and Oudeyer 2010), but extend them with a technical integration with robot learning by demonstration techniques (Billard et al. 2007). Thus, while the combination of social guidance and intrinsic motivation is similar in spirit to the one explored in Thomaz and Breazeal (2008), it will be technically very different and applied to learning sensorimotor skills in continuous high-dimensional spaces more alike the work in Kober et al. (2012), da Silva et al. (2012), Stulp and Schaal (2011).

The next section describes the general framework of SGIM-D. Section 4 details the design of the algorithm. Then, we present our application experiment, the methods used to evaluate our algorithm and finally the experimental results.

3 General approach for socially guided intrinsic motivation

To better integrate PbD and intrinsic motivation, we need first to formalise our problem. We then present an overview of our SGIM-D algorithm, before motivating our choice with the statement of our requirements by a detailed examination of different types of social interaction, and the intrinsic motivation algorithm that we use.

3.1 Problem statement and assumptions

Csibra’s theory of human action serves as inspiration for our problem statement. A series of experiments finds that infants connect actions not only to their antecedents but also to their consequents (Csibra 2003; Csibra and Gergely 2007). Thus, every learning episode can be described as [context][policy][outcome]. We place ourselves in an episodic motor learning framework (Kober et al. 2012; da Silva et al. 2012; Stulp and Schaal 2011), where a robot is provided with a parameterised encoding of a task space (i.e. it perceives the effect of its movement as a vector or real numbers, e.g. where the ball arrives) as well as a parameterised encoding of movement (i.e. a movement is specified by a vector of real numbers which are parameters of a constrained lower-level motor controller, also called motor primitive). Motor primitives consist in this study in innate or acquired neurally embedded motor and muscle synergies used by humans for control (d’Avella et al. 2006; Weiss and Flanders 2004). The robot has to learn the inverse model mapping all goals in the task space to corresponding adequate parameters of movements. High-dimensionality in this setting concerns the dimensionality of the vector of parameters for producing movements, which can be different from the actual number of degrees of freedom of the robot since motor primitives control the time evolution of values in each degree of freedom, and this time evolution can be encoded with multiple parameters. For example, in the fishing experiment
below, a robot produces a movement of its 6 DOF arm by setting the real number values of its 25 dimensional motor primitives, which controls the evolution of DOFs values by settings targets at different times (global duration being also one of these parameters). Then, it can observe the outcome of such a movement by observing where the float has arrived in the task space, i.e. on the surface of the water which is a 2D value. Using SGIM-D, and thus combining intrinsically motivated learning and human demonstration, the robot has to learn the complex inverse model mapping all goals/tasks (i.e. 2D targets on the water) to adequate parameters of motor movement.

More formally, let us consider an agent learning motor skills, i.e. how to induce any possible goal/task/outcome \( T \in T \) from given contexts states \( C \in C \) with motor programs \( \pi \in \Pi \). We parameterise the context space with parameters \( c \in C \), and the task space with parameters \( \tau \in T \). We define a distance measure \( J \) on \( T \times T \). A policy \( \pi_0 \) is described by motor primitives parameterised by \( \theta \). From a context \( c \in C \), the outcome of policy \( \pi_0 \) is \( \tau = M(c, \theta) \), where the mapping \( M : C \times \Pi \rightarrow T \) describes the environment. The association \((c, \theta, \tau)\) corresponds to a learning exemplar that will be memorised (Fig. 2).

The performance of a policy \( \pi_0 \) at completing a goal/task \( \tau \) from context \( c \) is measured by the distance \( J(\tau, M(c, \theta)) \) between \( \tau \) and the outcome of \( \pi_0 \). The agent focuses on learning the inverse model and builds its estimate \( L^{-1} : C \times T \rightarrow \Pi \). We note that the inverse of the model, \( M^{-1} : C \times T \rightarrow \Pi \) might not be a function, for \( M \) can be redundant. Though, our learner builds a function \( L^{-1} \) that finds at least one adequate policy to complete every goal/task \( \tau \) from contexts \( c \). In sum, it endeavours to minimise:

\[
I = \int_{\tau \in T, c \in C} P(\tau) J(\tau, M(c, L^{-1}(c, \tau))) d\tau dc
\]

where \( P(\tau) \) is a probability density distribution over \( T \). A priori unknown to the learner, \( P(\tau) \) can describe the probability of \( \tau \) occurring or the reachable space or a region of interest.

Note that we have described our method without specifying a particular choice of policy representation, learning algorithm or task space properties. These designs can indeed be decided according to the application at hand.

Globally, the learner tries to learn to reach all reachable goals/outcomes \( \tau \), and to generalise on the whole task space.

This problem statement enables a description of an active learning algorithm merging intrinsic motivation with social learning with teacher’s demonstrations. We thus design the SGIM-D algorithm which alternates between two strategies.

3.2 SGIM-D overview

SGIM-D improves its estimation \( L^{-1} \) to maximise \( I \) both by self-exploring the policy and task space and by imitating demonstrations \((c, \zeta, \tau_d)\).

For the intrinsic motivation strategy (Fig. 3a), a wide variety of intrinsic motivation algorithms have been developed based on different formal measures of interestingness: minimisation of the prediction error, local density of already sampled points, decrease of the global variance, minimisation of the model uncertainty… (Barto et al. 2004b; Oudeyer 2011). One of the state-of-the-art algorithms, the SAGG-RIAC, is an implementation of intrinsic motivations based on measures of competence progress (Baranes and Oudeyer 2013). It efficiently learns forward and inverse models to reach a wide range of goals in continuous high-dimensional spaces including both easy and unlearnable subparts [see (Rolf et al. 2010) for another related goal exploration algorithm]. Moreover, its hierarchical structure proposes 2 levels of learning targeting the task and policy spaces respectively. Its goal directedness allows bidirectional mapping to our social interaction representation as [context][policy][outcome], for combining social learning and intrinsic motivation.

It actively self-generates goals \( \tau_\text{g} \in T \) by stochastically choosing the goals for which its empirical evaluation of
learning progress is maximal. For each $\tau_g$, the robot explores through goal-directed optimisation which policy $\pi_\theta$ can induce the given goal $\tau_g$ in context $c$. The exploration of the policy parameter space provides data to improve its estimation of the local forward model $L : (c, \theta) \mapsto \tau$ and inverse model $L^{-1} : (c, \tau) \mapsto \theta$, that it can use later on to reach other goals. This autonomous exploration strategy is only interrupted when the teacher gives a demonstration $[c_d, \xi_d, \tau_d]$, when it switches to the social learning strategy (Fig. 3b).

With the social learning strategy, our SGIM-D learner imitates the demonstrated policy for a short while, and memorises the demonstrated outcome/goal as interesting, before resuming its autonomous exploration. It then generates a new goal, taking into account all its history, autonomous and social exploration phases alike. It chooses a goal with the highest interest level, which is defined as the competence progress.

The SGIM-D learner would thus try to explore goals where it makes progress the fastest. For each goal that it deems interesting, it would try different policies to approach it, using the policy repertoire of its past autonomous exploration or the policies suggested by the teacher’s demonstrations. Once its competence for these easy goals is high, it no longer makes progress, and as its interest level for them drops, it progressively aims at more difficult goals and expands its search in the task space. The human teacher boosts its learning by indicating policies to perform, so that its competence level increases, but also by indicating interesting goals/outcomes to emulate, to orient its search in the task space.

In order to explain the design of the SGIM-D algorithm and before going into the details in Sect. 4, we first consider a broader framework than that stated earlier in this section, to examine the different types of social interaction in the literature before specifying the one used in this study.

### 3.3 Analysis of social interaction modes

We would like to formalise the guidance of a human teacher to boost the learning of the relationship between the outcome $T \in T$ and the policy $\pi \in P$ in contexts $C \in C$.

As in many approaches and for the sake of clarity, we assume in this section that the correspondence problem is

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**Fig. 3** Data flow of the SGIM-D learner with its environment and teacher. **a** intrinsic motivation strategy, **b** social learning strategy. For the details of these graphs, please refer to Sect. 4.
solved, and do not differentiate the state, outcome and policy spaces between the robot and teacher.

Nevertheless, the two agents have acquired different knowledge, which changes throughout their interaction. We can describe this interaction as the way information flows between the human and the robot, intentionally or unintentionally:

- the human teacher’s behaviour or information flow from the human to the robot, $s_H$.
- the robot learner’s behaviour or information flow from the robot to the teacher, $s_R$.

In order to define the social interaction that we wish to consider, let us characterise the different possibilities of information flow as reviewed in Argall et al. (2009), Billard et al. (2007), Schaal et al. (2003), Lopes et al. (2009a) with respect to: what, how, when and who [see Dautenhahn and Nehaniv (2002), Breazeal and Scassellati (2002)] for an early formulation of this question). In this study, we only examine the possibilities of the information flow from the human to the robot $s_H$. Intentional communication from the robot to the human is a fundamental aspect of social learning (Chernova and Veloso 2009; Thomaz 2006), and should be studied in a more extensive way in future work.

### 3.3.1 What?

Let us examine the target of the information given by the teacher, or mathematically speaking, the space on which he operates. This can be either the policy, context or task spaces, or combinations of them.

#### Policy space
Many social learning studies target the policy space $\mathbb{P}$. For instance, in PhD, $s_H$ shows the right policy to perform in order to reach a given goal. As an illustration, when teaching how to play tennis, your coach could show you how to hit a backhand by a demonstration, or by taking your hand and directing your movement. This approach relates to two levels of social learning: mimicry, in which the learner copies the policies of others without an appreciation of their purpose, and imitation, in which the learner reproduces the policies and the outcomes, as formalised in Lopes et al. (2009a), Call and Carpenter (2002), Whiten (2000). The policies demonstrated can be mimicked faithfully (Cakmak et al. 2009), be saved as corrections for the current situation (Chernova and Veloso 2009), form an initial dataset on which to build upon more complicated behaviour (Argall et al. 2008, 2011), or indicate a locality to start an optimum search (Peters and Schaal 2008). The information can be a trajectory or policy (Peters and Schaal 2008), high-level instructions (Thomaz 2006) or high-level advice (Argall et al. 2008, 2011). It can pertain to the entire policy, or only a part of it (Argall et al. 2008, 2011; Nicolescu and Mataric 2003; Thomaz 2006). The literature often considers that targeting the policy space is the most directive and efficient method. However, it relies on the human teacher’s expertise, which bears limitations such as ambiguity, imprecision, under-optimality or the correspondence problem. Furthermore, the interaction is more effective at correcting visited situations, than exploring undemonstrated areas of $\mathbb{C}$ and $\mathbb{T}$.

#### Context space
The teacher can show interesting contexts $\mathbb{C} \in \mathbb{C}$ in which the learner will have to work out. To illustrate, your tennis coach could train you specifically for situations where you are near the baseline while the ball falls near the net. Your coach would create this situation for you to handle, without saying which policy to perform. During infant-parent joint play with toys, parents are able to play a role in the selection of the attended objects in the highly cluttered environment. These processes of visual selection are realised by implicit or explicit social cues like pointing or gaze-following (Slater and Lewis 2006; Tomasello and Carpenter 2007). Such social learning are classified as stimulus enhancement or observational conditioning (Whiten 2000). The teacher can select objects to be attended to (Cakmak et al. 2009), structure the environment by defining landmark states (Thomaz 2006), indicate desirability of contexts through reinforcement signals (Thomaz and Breazeal 2008), or give advice (Argall et al. 2008, 2011).

Whereas acting on the context space does not speed up the learning progress, it enables the learner to explore new situations.

#### Task space
The third kind of information is about possible outcomes $\mathbb{T} \in \mathbb{T}$, and is related to goal-directed exploration, where the learner focuses on discovering different outcomes instead of different means of completing the same goal. This pertains to the emulation level of social learning, where the observer witnesses someone produce a result on an object, but then employs his own policy repertoire to reproduce the result, as formalised in Lopes et al. (2009a), Call and Carpenter (2002), Whiten (2000), Nehaniv and Dautenhahn (2007). Your tennis coach could ask you to hit with the ball the right corner of the court, wherever you received the ball, whichever shot you use.

Goal-directed approaches allow the teacher to reset goals (Argall et al. 2008), to request the execution of goals (Thomaz 2006) or to label goal states (Thomaz 2006; Thomaz and Breazeal 2008). The learner can infer from the demonstrations the goal by positional and force profiles to iron and open doors (Kormushev et al. 2011), or by using inverse reinforcement learning (Lopes et al. 2011). This approach is essential to learn multiple tasks/goals, and all the more interesting as it is inspired by psychological behaviours (Whiten 2000; Tomasello and Carpenter 2007; Csibra 2003). The drawback is that the learning needs a policy repertoire...
large enough to be used to reach various goals, before it improves.

As we want the learner to accomplish not only a single goal but to be efficient on a large variety of goals, we choose to bootstrap its learning with information targeting the task space. Furthermore, we also want the learning process to benefit from the social interaction early. So that the learner builds its policy repertoire quickly, we choose to target the policy space $\Pi$ too.

### 3.3.2 How?

Whichever the target, the information can be communicated from the teacher to the learner in several ways:

**Demonstration at a low level** The teacher performs the policy or shows the context or goal (Cakmak et al. 2009; Chernova and Veloso 2009; Peters and Schaal 2008): the information flow $si_H \in \mathbb{C} \cup \mathbb{P} \cup \mathbb{T}$. This approach is the most natural for non-expert teachers, and requires little training for the teacher. However, demonstrations are generally assumed of high quality, whereas in reality, they can be ambiguous, unsuccessful or suboptimal in certain areas.

**Demonstration at a high level** The teacher shows the context/policy/goal at a symbolic level. A language protocol often enables instructions of policies (Nicolescu and Mataric 2003; Thomaz 2006; Thomaz and Breazeal 2008; Argall et al. 2008, 2011), or suggestions of goals (Thomaz 2006; Thomaz and Breazeal 2008). In this case, $si_H \in \mathbb{C}$ or $\mathbb{P}$ or $\mathbb{T}$, which bear a direct transformation to $\mathbb{C}$, $\mathbb{P}$ and $\mathbb{T}$. A high-level approach seems more natural by the use of a language, but it is dependant on the predefined communication channel and often lacks flexibility for new situations or changing environments. It also entails a training before the teacher can efficiently communicate with the robot.

**Advice** The teacher shows the desired context/policy/goal indirectly. He does not show the right desired state but indicates how to approach that state (Argall et al. 2008, 2011). $si_H$ is a function of the context/policy/goal experienced by the robot and the desired value. Advice is an efficient way of providing instructions at a high-level even for continuous environments, while avoiding the limitations of the demonstrator’s performance, as well as the re-creation of difficult or dangerous states. Nevertheless advice is an indirect way of giving instructions, which may be imprecise and limited by the language definition, which again lacks flexibility and requires the teacher adapting to it.

**Reward** Reward-like signals ($si_H \in \mathbb{R}$) or “good or bad” indications ($si_H \in \{-1; 1\}$) are common in reinforcement-based approaches, which benefit considerably from the formalism of reinforcement learning (Nicolescu and Mataric 2003; Thomaz 2006; Thomaz and Breazeal 2008). They easily couple social learning with techniques of learning from experience. However, defining the reward function is known to be non-trivial. Especially, human teachers tend to give anticipatory and asymmetrically positive rewards (Thomaz 2006). Taking into account the non-Markovian behaviour of human beings would induce high complexity in the reinforcement learning framework. Furthermore, reinforcement learning research has so far focused on reaching a single goal $\pi \in \mathbb{T}$, and not a set of goals.

**Labelling** A few works have labelled previously reached goals to help structure the environment and facilitate communication between the teacher and the learner (Thomaz 2006; Thomaz and Breazeal 2008). In this case, $si_H$ takes discrete values that symbolise the different classes.

As we wish in the model and experiments presented below, to address the learning for large, complex and continuous environments, so that the robot learns a wide variety of goals/tasks, we opt for low-level demonstrations. So as to minimise the correspondence issues, we teleoperate our robot using kinaesthetic demonstrations, while recording from its own sensors.

### 3.3.3 When?

The timing of the interaction varies with respect to its timing within an episode $[\text{context}][\text{policy}][\text{outcome}]$, and with respect to its general activity during the whole learning process.

**Timing within an activity episode** If we consider that each activity episode involves a reading of the context state of the environment, before performing a policy, and finishes by observing the outcome in the environment, we can classify the various types of timing of the interaction into two types:

- **Feedback** A past-directed message informs the learner about its past behaviour. The chronology would be $[\mathbb{C}][\pi[[si_H]][\mathbb{T}]$ or $[\mathbb{C}][\pi][\mathbb{C}][si_H]$. These messages can be good/bad assessments on its past behaviour (Thomaz 2006; Thomaz and Breazeal 2008; Nicolescu and Mataric 2003; Lopes et al. 2011), a scalar reward given by the human teacher (Thomaz 2006), a correction demonstration (Chernova and Veloso 2009), an advice to modulate the wrong behaviour (Argall et al. 2008, 2011), or a label of previously reached goals (Thomaz 2006; Thomaz and Breazeal 2008). According to his partial knowledge of the internal state of learning of the robot, the human adapts his teaching. However, the robot trial policy can be time consuming when it is very far from any good solution.

- **Feedforward** A future-directed message informs the learner before deciding its future behaviour. The chronology would be $[\mathbb{C}][si_H][\pi][\mathbb{T}]$. These messages are commonly instructive demonstrations of good example
behaviours (Cakmak et al. 2009; Chernova and Veloso 2009). Not only have behavioural studies shown that human teachers tend to give future-directed messages (Thomaz 2006), feedforward messages also seem more instructive with respect to the immediate future behaviour of the robot. However they do not take into account any information flow from the robot to the teacher.

**General timing during the whole learning process** The rhythm of social interaction varies considerably among studies of social learning:

- At a fixed frequency In classical imitation learning, the learner uses a demonstration to improve its learning at every policy it performs (Argall et al. 2008, 2011; Cakmak et al. 2009). This solution is ill-adapted to the teacher’s availability or the needs of the learner, who requires more support in difficult situations. Though, this continuous interaction allows steady bootstrapping of the learning and adaptation to changing environments.

- Beginning of learning A limited number of examples is given to initialise the learning, as a basic behaviours repertoire (Argall et al. 2008, 2011), or a sample behaviour to be optimised (Peters and Schaal 2008; Kormushev et al. 2010). The learner is endowed with some basic competence before self-exploration. Nevertheless, if the interactions are restricted to the beginning, the learner could face difficulties adapting to changes in the environment.

- At the teacher’s initiative The teacher alone decides when he interacts with the robot (Thomaz 2006). In most examples, the teacher gives corrections when seeing errors (Koenig et al. 2010; Cakmak et al. 2010), to restrict human interventions to when it is needed. Nevertheless, it still is time consuming as he needs to monitor the robot’s errors to give adequate information to the learner.

- At the learner’s initiative The learner can request for the teacher’s help in an ambiguous (Chernova and Veloso 2009; Cakmak et al. 2010) or unknown (Thomaz 2006) situation, or only reproduces the observations when it observes an outcome that matches its goal during goal-based imitation or mimicking (Cakmak et al. 2009). This approach is the most beneficial to the learner, for the information arrives as it needs them, and the teacher needs not monitor the process.

These 4 types can be classified into 2 larger groups:

- batch learning, where the data provided to the learner is decided before the learning phase, and is given independently of the learning progress, generally in the beginning of the learning phase.

- interactive learning, where the user interacts with the incrementally learning robot, either at the teacher’s or the learner’s initiative.

### 3.3.4 Chosen approach

In the model and experiments presented below, we choose to use a feedforward signal, as it is more natural for human teachers. For simplicity reasons, we set the interaction at regular frequency, allowing easier assessment of our SGIM-D algorithm and comparison with other learning algorithms. In this proof-of-concept study, we deliberately ignored the who question, which examines cases of multiple teachers. This very stimulating question yet requires a separate examination to avoid too much complexity in a single study. Among this listing of social learning, our choice of information flow is:

- What: We opted for an information flow targeting both policy and task spaces, to enable the biggest progress for the learner.

- How: We will be giving low-level demonstrations of possible policies and goals through kinaesthetic demonstration, for this seems the most efficient to teach at the low level to enable to work in real-world continuous and changing environments. This choice avoids any symbolic thus discrete representations of policies or the environment, or a preset language to communicate at the high-level.

- When: Although interactive learning at either the learner’s or the teacher’s initiative seems interesting theoretically, it introduces combinatorially many variants. To evaluate the basis of our architecture, we choose to trigger the interaction at a regular frequency.

Having decided the specifications for our algorithm with respect to the social interaction mode and the autonomous exploration algorithm, let us detail its structure in the next section.

### 4 SGIM-D algorithm

**SGIM-D** is an algorithm that merges programming by demonstration as social interaction strategy with the SAGG-RIAC algorithm as intrinsic motivation strategy, for the learning of local inverse and forward models in complex, high-dimensional and continuous spaces. Its architecture is separated into three layers where sub-modules of each strategy interact (Fig. 4 and Algorithm 4.1):
**Algorithm 4.1 SGIM-D**

1: Initialization: $R \leftarrow \text{singleton } C \times T$
2: Initialization: $\text{flagInteraction} \leftarrow \text{false}$
3: Initialization: $\text{Memo} \leftarrow \text{empty episodic memory (collection of episodes of reached outcome } r \text{ with policy parameter } \theta \text{ in context } c, (c, \theta, r))$

4: loop

5: if $\text{flagInteraction}$ then

6: Social Learning Regime

7: repeat

8: $(c_d, \theta_d, \tau_d) \leftarrow \text{Correspondence of the teacher's demonstration}$
9: Emulate Goal: $\tau_g \leftarrow \tau_d$
10: $\text{Memo} \leftarrow \text{Imitate Policy } (\theta_d, c)$
11: Update $L^{-1}$ with collected data Memo
12: until End of social interaction

13: else

14: Intrinsic Motivation Regime

15: Measure current configuration $c$
16: $\tau_g \leftarrow \text{Decide a goal with a probability proportional to its associated expected competence progress}$
17: repeat

18: $\text{Memo} \leftarrow \text{Goal-Directed Policy Optimisation}(c, \tau_g, L^{-1})$
19: Update $L^{-1}$ with collected data Memo
20: until Terminate reaching of $\tau_g$

21: end if

22: $R \leftarrow \text{Update Goal Interest Mapping } (R, \text{Memo}, c, \tau_g)$

23: end loop

**Fig. 4** Time flow chart of SGIM-D into 3 layers that pertain to the human–machine interface, the task space exploration and the policy space exploration respectively. The architecture combines sub-modules for intrinsically motivated learning and socially guided learning on both the policy and task levels.

- An interface with the teacher, which manages the “physical” interaction with the teacher. It detects that the teacher performs a demonstration and translates it into parameters for the robot. The implementation of this interface is specific to each robot and experimental setting, and will be detailed specifically for the experimental setup in Sect. 5.1.

- A higher level of active learning, the Task Space Exploration level which drives the exploration of the task space. It sets goals $\tau_g$ depending on their interest levels that is based on the competence of previous goals, retrieves from the teacher information about goals, and maps $T$ in terms of interest level. It learns at a longer time scale. Its structure is detailed in Sect. 4.2.

- A lower level of active learning, the Policy Space Exploration level that explores the policy parameter space $\Pi$ to improve its estimation of $J$ and estimate the inverse mapping $L^{-1}$. While interacting with the teacher, it would imitate his policies $\xi_d$, whereas during self-exploration, it would attempt to reach the goals $\tau_g$ set by the Task Space Exploration level. It learns at a shorter time scale. Its structure is shortly described in subsection 4.1 and detailed for our implementation in Sect. 5.

### 4.1 Lower level : policy space exploration

The Policy Space Exploration searches the policy parameters space $\Pi$ to reach the goal $\tau_g$ set by the higher level or imitates the demonstrated policy $\xi_d$, and returns to the task space exploration level the measure of competence at reaching $\tau_g$.

The implementation details will depend on the experimental setup, but mainly, the policy space exploration level contains 2 functions:

- The *Imitate Policy* function takes as input a policy parameter $\theta_d$ demonstrated by the teacher and tries to repeat it. This function can be changed to match other social interaction behaviours. An implementation is described for our experimental setting in Sect. 5.2.
The **Goal-Directed Policy Optimisation** function searches for policy parameters \( \theta \) that guide the system toward the goal \( \tau_g \) in the given context \( c \) by (1) building local inverse \( L^{-1} \) model during exploration that can be re-used for later goals and (2) selecting new policies depending on interestingness measures of policies with respect to the current goal to get a better estimate of \( J \). Mainly, it can be implemented by classical autonomous learning methods mentioned earlier which learn for a single goal only such as classical reinforcement learning methods. An example is presented for our experimental setting in Sect. 5.4. This function optimises \( \theta \mapsto J(\tau_g, M(c, \theta)) \).

### 4.2 Higher level: active goal babbling for task space exploration

The **Task Space Exploration** relies on feedback from the Policy Space Exploration level to decide which goal \( \tau_g \in T \) is interesting to focus on. It explores \( T \) using teacher’s demonstrations of goals (**Emulate a Goal**) and self-determines a goal (**Decide a Goal**) using competence measures, more precisely competence improvement it maps on \( C \times T \) (Goal Interest Mapping).

#### 4.2.1 Goal interest mapping function

To determine which goals it should attempt to better generalise for the whole task space, we assign a competence \( \gamma_{c, \tau} \) to each task \( \tau_g \) explored in context \( c \), as a measure of how close the learner can reach \( \tau_g \):

\[
\gamma_{c, \tau} = \min_{\theta \in \text{Memo}} J(\tau, M(c, \theta))
\]

where \( \text{Memo} \) is the list of all the past episodes \((c, \theta, \tau)\).

Along with the estimated inverse mapping function \( L^{-1} \), SGIM-D estimates at the same time the interest mapping function over \( C \times T \) (Algorithm 4.1, line 22). In our approach, while \( L^{-1} \) is estimated as a complex function, we model the interest mapping as a piecewise constant function.

Let us consider a partition \( \{ \xi \} \) of \( C \times T \). Each \( R_i \) contains attempted goals given a context \( \{(c_1, \tau_1), (c_2, \tau_2), \ldots, (c_n, \tau_n)\} \) of competences \( \{\gamma_1, \gamma_2, \ldots, \gamma_{\xi}\} \), indexed by their relative time order of experimentation \( \tau_1 < \tau_2 < \ldots < \tau_{\xi} \) inside subspace \( R_i \).

An estimation of interest is computed for each region \( R_i \) as the local competence progress, over a sliding time window of the \( \xi \) more recent goals attempted inside \( R_i \):

\[
\text{interest}_i = \frac{\sum_{j=|R_i|}-\zeta \gamma_j}{|R_i|} - \frac{\sum_{j=|R_i|}-\frac{\zeta}{2} \gamma_j}{|R_i|} \frac{\zeta}{\xi}
\]

By using a derivative, the interest considers the variation of competences, and by using an absolute value, it considers cases of increasing and decreasing competences. In SGIM-D, we will use the term **competence progress** with its general meaning to denote this increase and decrease of competences. An increasing competence signifies that the expected competence gain in \( R_i \) is important. Therefore, selecting new goals in regions of high competence progress could bring both a high information gain for the learned model, and also drive the reaching of previously unachieved goals. Depending on the starting position and potential evolution of the environment, a decrease of competences inside already well-reached regions can arise. In this case, the system should be able to focus again in these regions to attempt to re-establish a high level of competence inside. This explains the usefulness of considering the absolute value of the competence progress as shown in Eq. 3.

Based on this definition of interest, the module builds an interest level mapping, at each new goal \( \tau_g \) explored by autonomous exploration or at each goal \( \tau_g \) observed in social learning. It partitions \( C \times T \) into subspace, so as to maximally discriminate areas according to their levels of interest, as described in (Baranes and Oudeyer 2013). We use a recursive split of the space, each split occurring once a maximal number of goals have been attempted inside. Each split maximises the difference of the interest measure in the two resulting subspaces, and easily separates areas of different interest, and thus, of different reaching difficulty (cf. Algorithm 4.2).

#### 4.2.2 Decide a goal function

The **Decide a Goal** function uses the interest level mapping to select the next goal to perform (Algorithm 4.1, line 16). Goals are chosen stochastically according to either of the following modes:

---

**Algorithm 4.2** \([\mathcal{R}] = \text{UpdateRegions}(\mathcal{R}, (c, \tau, \gamma))\)

1. **input**: \( \mathcal{R} \): set of regions and corresponding interest.
2. **input**: \((c, \tau)\): context and effect of the learning exemplar.
3. **input**: \( \gamma \): competence at reaching \( \tau \) in context \( c \).
4. **parameter**: \( g_{\text{Max}} \): the maximal number of elements inside a region.
5. **parameter**: \( \zeta \): a time window used to compute the interest.
6. **Find** the region \( R_n \) in \( \mathcal{R} \) such that \((c, \tau) \in R_n \).
7. **Add** \( \gamma \) in \( R_n \).
8. **Compute** the new value of \( \text{interest}_n \) of \( R_n \) according to each \((c, \tau) \in R_n \) of competence \( \gamma \) such that:

\[
\text{interest}_n = \frac{\sum_{j=|R_n|}-\zeta \gamma_j}{|R_n|} - \frac{\sum_{j=|R_n|}-\frac{\zeta}{2} \gamma_j}{|R_n|} \frac{\zeta}{\xi}
\]

9. **if** \(|R_n| > g_{\text{Max}}\) **then**
10. **Split** \( R_n \).
11. **end if**
12. **return** \( \mathcal{R} \)
– Mode(1): A chosen random goal inside a region which is selected with a probability proportional to its interest value. The probability of selecting the region $R_n$ that contains the current context $c$ is:

$$P_n = \frac{\text{interest}_n - \min(\text{interest}_1)}{\sum_{i=1}^{\text{interest}} \text{interest}_i - \min(\text{interest}_1)} \quad (4)$$

– Mode(2): A selected random goal inside the whole space $T$.

– Mode(3): A first selected region according to the interest value (like in mode(1)) and then a generated new goal close to the already experimented one which received the lowest competence estimation $\min R_n(\gamma_t)$.

4.2.3 Emulate a goal function

At each demonstration, the learner observes not only the policy performed, but also its outcome $\tau_d$. It henceforward considers this outcome as a potential goal, and assigns an interest level according to its own policy repertoire and model it has built (Algorithm 4.1, line 9).

The above description is detailed for SGIM-D’s choice of imitating teachers’ low-level demonstrations of outcomes and policies. Such a structure would remain suitable for other choices of social interaction modes, and we only have to change the content of the Emulate a Goal function, and change the Imitate a Policy function to match the chosen behaviour.

In the following section, we illustrate the principle of SGIM-D through a proof-of-concept experiment, where our robot will learn how to fish.

5 A case study: the fishing rod environment

In this section we describe our experimental setup with the environment’s description, and then detail how SGIM-D functions adapt for this specific setup.

In this illustration experiment, we consider a simulated 6 degrees-of-freedom robotic arm holding a fishing rod (Fig. 1). The aim is that it learns how to reach any point on the surface of the water with the float at the tip of the fishing line. This is an inverse model in a continuous and unbounded environment of a complex system that can hardly be described by physical equations.

In our experiment, the context space $C$ describes the initial actuator/joint positions and state of the fishing rod. $T = [-1, 1]^2$ is a 2-D space that describes the position of the float when it reaches the water. For each position $\tau \in T$, it has to learn a new goal $: \pi_\tau$ with which movement $\pi_\tau$ he can place the float closest to $\tau$. The robot base is positioned at $(0,0)$ and it always starts with the same configuration $c_{org}$.

5.1 Motor primitives and correspondence mapping

Variable $\theta$ describes the parameters of the motor primitives of the joints, defining for each joint 4 scalar parameters that represent the joint positions at $t = 0$, $t = \frac{\tau}{2}$, $t = 2\frac{\tau}{2}$, and $t = \tau$. These 4 parameters $u_1$, $u_2$, $u_3$, $u_4$ generate a trajectory for the joint by Gaussian distance weighting:

$$u(t) = \sum_{i=0}^{4} \frac{w_i(t)u_i}{\sum_{j=0}^{4} w_j(t)} \quad (6)$$

with

$$w_i(t) = e^{(t - \frac{u_i}{\sigma})^2}, \sigma > 0$$

Each of the 6 joints’ trajectories is determined by 4 parameters. Another parameter sets $\delta$. Therefore a policy is represented by $6 \times 4 + 1 = 25$ parameters: $\theta = (\theta^1, \theta^2, \ldots, \theta^{25})$. $\Pi = [0, 1]^{25}$. This choice of taking only 4 samples of the movement trajectory is arbitrarily, and other parameterisations have been also used in other studies (Nguyen and Oudeyer 2012b,a).

Because our experiment uses for each trial the same context $c_{org}$, our system memorises after executing every policy parameter $\theta$, simply the context-free association $\theta \mapsto \tau$.

Upon observation of a demonstration $(\zeta_{Hd}, \tau_{Hd})$, the Correspondence function first computes the parameters $\theta_d$ that enable him to reproduce the teacher’s policy $\zeta_d$ closest (Algorithm 4.1, line 8).

From $\zeta_{Hd}$, it can extract for each joint a trajectory $u_{Hd}(t)$ and the duration of the trajectory $\delta$. To map a given joint trajectory $u_{Hd}(t)$ into our robot’s parameterised dynamic motor primitive, we need to determine the 4 parameters $u_1$, $u_2$, $u_3$, $u_4$ so as to minimise the error (Fig. 5):

$$\theta_d \text{ is thus the set of parameters } u_1, u_2, u_3, u_4 \text{ for each of the } 6 \text{ joints, and } \delta \text{, which minimise } d \text{ by the trust-region-reflective algorithm described in (Coleman and Li 1994, 1996).}$$

5.2 Imitate a policy

The Imitate a Policy function (cf. Algorithm 5.3 and Fig. 4) explores the locality of $\theta_d$ with policy parameters $\theta_{initiate} = \theta_d + \theta_{rand}$ with $\theta_{rand}$ (Algorithm 5.3, line 4) a random movement parameter variation, so that $|\theta_{rand}| < \epsilon$. After a short fixed number of executions (Algorithm 5.4), SGIM-D computes its competence at reaching the goal indicated by the teacher $\tau_d$ (cf. Eq. 2), then, shifts back to the autonomous exploration mode. The measure of competence returned is defined hereafter.

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A demonstrated trajectory movement parameters and trajectory mapped by the robot. For demonstrator, the demonstrated trajectory, and the corresponding in colors. Are plotted for 2 different joint trajectories of a human.

**5.3 Performance measure**

We define $J$ as the euclidian distance $D(\tau_g,\tau)$, and normalised by the distance between the original position $\tau_{org}$ and the goal: $D(\tau_{org},\tau_g)$. This allows, for instance, to give the same competence level when considering a goal at 1 km from the origin position that the robot approaches at 0.1 km, and a goal at 100 m that the robot approaches at 10 m:

$$J(\tau_g,\tau) = \begin{cases} 
-1 & \text{if } D(\tau_g,\tau) > 1 \\
\frac{D(\tau_g,\tau)}{D(\tau_{org},\tau_{org})} & \text{otherwise}
\end{cases} \quad (7)$$

Here, our direct model $M : \theta \mapsto \tau$ only considers the 25 parameters $\theta = (\theta^1, \theta^2, \ldots \theta^{25})$ as inputs of the system, and a position in $\tau = (\tau^1, \tau^2)$ as output. We wish to build the estimate inverse model $L^{-1}_c : \tau \mapsto \theta$ by using the following optimisation mechanism for goal-directed exploration and learning, which can be divided into two different strategies.

**5.4 Goal-directed policy optimisation**

The Goal-Directed Policy Optimisation function (cf. Algorithm 5.5 and Fig. 4) learns to reach a goal generated by the Task Space Exploration level. This function can be implemented by any single task learning algorithm. For the sake of proving that the efficiency of our SGIM-D algorithm relies on its general structure, and not so much on its per-goal learning algorithm, we choose an exploration method that builds memory-based local direct and inverse models, balancing between global exploration and local optimisation to avoid local minima. To decide which mode is triggered given a goal $\tau_g$, we examine the memory of the system, and consider that the closest one has been able to reach $\tau_g$, the more the system should focus on local optimisation. On the contrary, if during the system’s history, it has never reached a point close enough to the goal $\tau_g$, it should prefer global exploration.

The system continuously estimates the distance between the goal $\tau_g$ and the closest already reached position $\tau_c$:

$$J(\tau_c,\tau_g).$$

The system has a probability proportional to $J(\tau_c,\tau_g)$ of being in the global exploration regime, and the complementary probability of being in the local optimisation regime.

**5.4.1 Global exploration regime**

In this regime the system just picks random policy parameters $\theta \in T$ to explore the policy space (Algorithm 5.5, line 4).

**5.4.2 Local optimisation regime**

The local optimisation regime (Algorithm 5.5, line 7) uses the memory data to infer locally inverse models $L^{-1}_c : (\tau^1, \tau^2) \mapsto (\theta^1, \theta^2, \ldots \theta^{25})$. Given the high redundancy of the problem, we choose a local approach and extract the

**Algorithm 5.3 [Memo] = Imitate Policy($\theta_g, \epsilon$)**

1: **Input**: $\theta_g$: duration of the imitation phase;
2: **Input**: thresholds $\epsilon_{max}$;
3: for $nlImitate$ times do
4: \quad $\theta_{rand} \leftarrow$ random vector such that $|\theta_{rand}| < \epsilon_{max}$;
5: \quad $\theta \leftarrow \theta_{rand} + \theta_{org}$;
6: \quad Memo, $\tau \leftarrow Execute(c, \theta)$;
7: end for
8: return Memo

**Algorithm 5.4 [Memo, $\gamma$] = Execute($c, \theta$)**

Set context $c$ and perform policy parameters $\theta$

1: Initialise robot at $c$
2: Perform policy of parameters $\theta$
3: Measure outcome $\tau$ in the task space
4: Update Memo with $(c, \theta, \tau)$
5: flagInteraction $\leftarrow$ check for a teacher’s demonstration
6: return Memo, $\tau$

**Algorithm 5.5 [Memo] = Goal-Directed Policy Optimization($c, \tau_g, L^{-1}$)**

Search for policies to reach $\tau_g$ in context $c$ while building model

1: Search in Memo for the $\tau_{close}$ closest to $\tau_g$
2: $mLow \leftarrow$ mode-global-exploration or local-optimization with probability $\propto J(\tau_{close}, \tau_g)$
3: if $mLow = global-exploration$ then
4: \quad Action parameter $a \leftarrow$ random movement parameters
5: \quad $[Memo, \gamma] \leftarrow Execute(c, \theta)$
6: else if $mLow = local-optimization$ then
7: \quad LocalOptimization($c, \tau_g, L^{-1}$)
8: end if
9: return Memo
Retrieve from the memory the nearest neighbours of $\tau_g$:

$H := \{ (\tau_h, \theta_h) \in \text{Memo} \mid J(\tau_h, \theta_h) < \text{distM} \} \cup \{ (\tau_y, \theta_y) \in \text{Memo} \}$

4: $\forall (\theta_h, \tau_h) \in L, K_l := \{ (\theta, \tau) \in \text{Memo} \mid ||\theta - \theta_h|| < \text{distN} \}$
5: Select the best locality: $J_{\text{best}} = \text{argmin}(\text{LocalQuality}(K_l, \tau_y))$
6: return $K_{\text{best}}$

Algorithm 5.6  

**Stochastic environment**

Fig. 6 Outcomes for 3 different policy parameters over 20 repetitions of the same movement, represented in the 2-D space $T$. Standard deviations are for each policy parameters, respectively (0.005, 0.033) for $a_1$, (0.0716, 0.041) for $a_2$, and (0.016, 0.016) for $a_3$ (best seen in colors)

Potentially more reliable data can be found using the following method (Algorithm 5.6). First (Algorithm 5.6, line 3), we compute the set $H$ of the $h_{\text{max}}$ nearest neighbours of $\tau_g$ and their corresponding movement parameters using an ANN method ([Muja and Lowe 2009](#)), which is based on a tree split using the $k$-means process:

$H = \left\{ (\tau, \theta)_1, (\tau, \theta)_2, \ldots, (\tau, \theta)_{h_{\text{max}}} \right\} \subset (T \times \Pi)^{h_{\text{max}}}$

Then, for each element $(\tau, \theta)_h \in H$, we compute its reliability. Let us consider the set $K_h$ which contains the nearest neighbours of $\theta_h$ within $\text{distN}$ of $\theta_h$ in the memory set $\text{Memo}$ with respect to norm $|||\cdot|||$ (Algorithm 5.6, line 4):

$K_l := \left\{ (\tau, \theta)_1, (\tau, \theta)_2, \ldots, (\tau, \theta)_{k_{\text{max}}} \right\}$

As the reliability of the local model depends both on the knowledge of the locality and the reproducibility of the movement due to non-linear noise that produces small variations in $\tau$ of magnitude depending on $\theta$ (Fig. 6), we define for each element $(\tau, \theta)_h \in H$, its reliability as $\text{dist}(\tau_h, \tau_g) + \alpha \times \text{var}_h$, where $\text{var}_h$ is the variance of the set $K_h$, and $\alpha$ is a constant set to 0.5 in our experiment. We choose the smallest value, as the most reliable set $(y, \theta)_{\text{best}}$ (Algorithm 5.6, line 5).

In the locality of the set $(\tau, \theta)_{\text{best}}$, we interpolate using the $k_{\text{max}}$ elements of $K_{\text{best}}$ to compute the policy corresponding to $\tau_g$: $\theta_g = \sum_{k=1}^{k_{\text{max}}} \beta_k \theta_k$ where $\beta_k \propto \text{Gaussian}(\text{dist}(\tau_k, \tau_g))$ is the normalised Gaussian of the euclidian distance between $\tau_k$ and the goal $\tau_g$.

We execute policy of parameter $\theta_g$ (Algorithm 5.4) and continue with the Nelder–Mead simplex algorithm ([Lagarias et al. 1998](#)), to minimise the distance of the outcome $\tau_2$ to the goal $\tau_g$. This algorithm uses a simplex of 26 points for 25-dimensional vectors $\theta$. It first makes a simplex around the initial guess $\theta_g$ with the $\theta_k, k = 1, \ldots, k_{\text{max}}$. It then updates the simplex with points around the locality until the distance to minimise falls below a threshold.

### 5.5 Stochastic environment

All the experimental setup has been designed for a 6 DOF robot arm in the real world. Nevertheless, to be able to collect statistics through numerous experiments, we built a model of our 6 DOF arm on V-REP physical simulator ([http://www.coppeliarobotics.com/](http://www.coppeliarobotics.com/)), which uses a ODE physics engine that updates every 50 ms.

Due to stochasticity of the simulated experimental setup, repetitions of the same movement do not lead to the same exact outcome. Moreover, the stochasticity does not follow a uniform distribution rule and can not be modelled by a simple Gaussian. The standard deviation varies along the different dimensions and depends on the dynamic properties of the movement performed (Fig. 6). The mean variance of the control system of the robot is estimated to 0.073 for measures of 10 attempts of 20 random policy parameters, while the reachable area spans between $-1$ and $1$ for each dimension of $T$.

This fishing experiment focuses on the learning of inverse models in a continuous space, and deals with high-dimensional and highly redundant models. Our setup is all the more interesting as a real-world fishing rod’s and flexible line’s dynamics would be difficult to model. The model of a fishing rod in the simulator might be mathematically computed. However, To represent the complexity of the fishing line manipulated by the robot arm, we modelled it as a set of 30 segments and 30 revolute joints, which leads to complex movements hard to predict. Even though the direct mapping has been modelled by the simulator, the inverse model, which is even more complicated due to redundancy and stochasticity, is yet to learn. Besides, our
fishing environment’s stochasticity distribution is hard to model. Thus learning directly the outcome of one’s policies is all the more advantageous.

The next section describes how we evaluate the SGIM-D algorithm using the fishing experimental setup.

6 Experimental protocol

In this section, we detail the experiments we carry with our fishing robot setup to evaluate SGIM-D and how we provide our learner with demonstrations.

6.1 Comparison of learning algorithms

To assess the efficiency of SGIM-D, we decide to compare the performance of several exploration algorithms (Fig. 7a):

- Random exploration: throughout the experiment, the robot picks policies randomly in the policy parameter space $\Pi$.
- SAGG-RIAC: throughout the experiment, the robot explores autonomously, without taking into account any demonstration by the teacher, and is driven by intrinsic motivation.
- Imitation learning: every time the robot sees a new demonstration $\theta_d$ of the teacher, it repeats the policy while making small variations: $\theta_{imitate} = \theta_d + \theta_{rand}$ with $\theta_{rand}$ a random movement parameter variation, so that $|\theta_{rand}| < \epsilon$. It keeps on repeating this demonstration until it sees a new demonstration every N policies, and then starts imitating the new demonstration.
- Observation learning: the robot does not make any policy, but only watches the teacher’s demonstrations.
- SGIM-D: the robot’s behaviour is a mixture between imitation learning and SAGG-RIAC. When the robot sees a new demonstration, it imitates the policy, but only for a short while. Then, it resumes its autonomous exploration, until it sees a new demonstration by the teacher. Its autonomous exploration phases take into account all its history from both the autonomous and imitation phases.

For each experiment, we let the robot perform 5,000 policies in total, and evaluate its performance every 1,000 policies, using the method described below.

6.2 Evaluation

After several runs of Random explorations, SAGG-RIAC and SGIM-D, we determined the apparent reachable space basing on the set of all the reached points in the task/outcome space, which makes up some 300,000 points. We then tiled the reachable space into small rectangles, and generated a point randomly in each tile. We thus obtained a set of 358 goal points in the outcome space, representative of the reachable space (Fig. 7b). We will use these points to measure how close the system can get to each of these points with:

$$\text{mean}_{\tau \in \text{BenchmarkSet}}(D(\tau_g, \tau_{reached}))$$

where $\tau_{reached}$ is the outcome observed by the robot when attempting to produce outcome $\tau_g$.

6.3 Demonstrations

For demonstrations, we used kinesthetics. The human teacher physically moves the robot, using both the physical robot and its model in the simulator. The model in the simulator is tele-operated by the teacher through the physical robot (http://youtu.be/Ll_S-uO0kD0). The human subject is presented with a grid of points to reach on the surface of the water, and he has to manipulate the physical robot to place the simulator’s fishing rod nearest one of those point. After a habituation phase, we record the trajectories of each of the joints, and the position of the float when touching the surface of the water. We obtained a teaching set (Fig. 7c) from an expert teacher of 127 samples.

In order to analyse the specific properties of human demonstrations compared to random demonstrations in the SGIM-D algorithm, we also prepared two other sets of demonstrations, evenly distributed in the reachable space, and taken from a pool of data from several runs of SAGG-RIAC, using the previous SAGG-RIAC learners as teachers. Thus we have 3 demonstration sets:

- Demonstrator 1: SAGG-RIAC learners who now teach in return our SGIM-D robot. They choose demonstrations randomly among their memory exemplars $(\theta, \tau)$. It would illustrate the case of a naive teacher in a context of robot to robot teaching.
- Demonstrator 2: SAGG-RIAC learners who now teach in return our SGIM-D, but carefully choose among their memory exemplars $(\theta, \tau)$ that are most reliable. The evenly distributed demonstrations minimise the variance of $\tau$ over several re-executions of the same policy $\pi_d$. It would illustrate the case of a more evolute teacher in a scenario of robot to robot teaching.
- Demonstrator 3: a human teacher who tries to give demonstrations $(\zeta_d, \tau_d)$ evenly distributed in the reachable space of $T$. These demonstrations are then processed by the learner as explained in Sect. 5.1. The demonstrator was one of the authors, who however has no experience
Fig. 7  

(a) Comparison of several learning algorithms

(b) Benchmark set

(c) Demonstration sets

Fig. 7  a The experiment compares the performance of several exploration algorithms (best seen in colors): random exploration of the policy space A, autonomous exploration SAGG-RIAC, learning from observation, imitation learning and SGIM-D. The comparison is made through the same experimental duration (5,000 policies performed by the robot), through the same teaching frequency (every 30 policies) and through regular evaluation (every 1,000 policies). b Map in the 2D task space \( T \) of the benchmark points used to assess the performance of the robot: by measuring how close they can reach each of these points. c Maps in the 2D task space \( T \) of the teaching sets used in SGIM-D, by three demonstrators. Demonstrator 1 is a SAGG-RIAC learner, while demonstrator 2 is an optimised SAGG-RIAC learner, and demonstrator 3 is a human teacher.

Like with the evaluation set, we define a tile of the reachable space. The teacher observes the exploration of the learner, and gives to the learner a demonstration belonging to a subspace randomly chosen among those it has explored the least. This teaching behaviour is a simple algorithm for active teaching, and can grow more elaborate taking inspiration from the field of Algorithmic Teaching (Lopes 2012; Cakmak and Thomaz 2010).

The simulation data and analysis of the results are presented in the following section.

7 Results

For every simulation on the fishing experiment setup, 5000 movements are performed, and demonstrations taken from either of the 3 sets are given at fixed frequency every 30
movements. The performance was assessed on the same benchmark set every 1,000 movements (Fig. 7a).

7.1 Better precision

Figure 8a represents how close the learner can get to any goal/task of the reachable space in $T^*$, at the same timestep of learning and, in the case of social learning, with the same amount of information given by the teacher. It plots the mean distance error of the attempts to reach the points in the benchmark set, with respect to the learning time (number of movements performed by the robot). The errors are averaged on all points in the benchmark, and also on different runs of the experiment. The 5 algorithms are ranked:

- Learning from observation performs the worst: this is on the one hand due to the small number of samples, as the learner does not acquire experience on its own but only through observation of others. It is on the other hand due to the correspondence problems. Since the learner and teacher do not have the same policy primitives, the robot can not reproduce exactly the teacher’s movements.
- RANDOM performs better because the learner acquires more data through its own experience, although the exploration is totally random.
- SAGG-RIAC decreases significantly the error value compared to random exploration. Not only has the asymptotic performance improved, but SAGG-RIAC also learns faster from the beginning.
- Imitation learning also decreases significantly the error value compared to SAGG-RIAC. Its error level is comparable to SAGG-RIAC. Therefore, autonomous exploration, and learning that heavily depends on the teacher’s demonstrations are comparable in terms of performance. We can note that the error variance of Imitation Learning is considerably smaller than that of SAGG-RIAC, because we use the same demonstrator with the same demonstration set, although the demonstrations order changes. The error variance is likely to increase if we carry out our experiments with various demonstrators.
- SGIM-D performs best and halves the error value compared to random exploration. Its asymptotic error approaches the noise level of the stochastic environment. Not only is the error level lower asymptotically, but it drops from the beginning of the learning process. SGIM-D performs better than pure autonomous exploration and pure socially guided exploration.

The combination of autonomous exploration and socially guided exploration has thus bootstrapped the learning to decrease the performance error but also to improve the learning speed.

7.2 A wide range of outcomes

To visualise the subspaces explored by each learning algorithm, we plot the histogram of the positions of the float $\tau$ in the outcome space $T^*$ when it reaches the water (Fig. 8c). Each column represents a different algorithm, and we represented for each 2 example experiment runs. The 1st column shows that a natural position lies around $\tau_c = (0, 0.5)$ in the case of an exploration with random movement parameters. Most movement parameters map to a position of the float around that central position. The second column shows the histogram in the task space of the explored points under SAGG-RIAC algorithm. Compared to random exploration, SAGG-RIAC has increased the explored space, and most of all, covers more uniformly the explorable space. Besides, the exploration changes through time as the system finds new interesting subspaces to focus on and explore. Intrinsically motivated exploration has resulted in a wider repertoire for the robot. SGIM-D even emphasises this outcome: the explored space even increases further, with a broader range of radius covered: the minimum and maximum distances to the centre have respectively decreased and increased. Furthermore, the explored space is more uniformly explored, around multiple centres.

The examination of the explored parts of $T$ show that random exploration only reaches a restricted subspace of $T^*$, while SAGG-RIAC and SGIM-D increase this explored space. This difference is mainly explained by the fact that most policies map to a restricted subspace of $T^*$, and on the contrary, the other parts of the reachable space can only be reached by a very small subset of policy parameters in $\Pi$. In other words, with random movements, the float has high chances of landing near that natural position. To make it reach other areas of the surface of the water, the arm needs to perform quite specific movements. SGIM-D highlights these areas owing to its task space exploration and to demonstrations. The teacher gives a demonstration that triggers the robot’s interest and it is going to focus its attention on that area provided that local exploration improves its competence in this subspace. We also note that the demonstrations occurred only once every 30 movements. Even an occasional presence of the teacher, who does not need to monitor continuously the robot, can significantly improve the performance of the autonomous exploration.

7.3 Dependence on teachers

Like any social learning method, SGIM-D’s performance depends on the quality of the demonstrations. Therefore, we need to examine further this dependency, and plot the
**Fig. 8**

(a) Evaluation of the performance of the robot under the learning algorithms: random exploration, SAGG-RIAC, imitation and SGIM-D (for the human demonstrator 3). We plotted the mean distance to the benchmark points over several runs of the experiment with its variance errorbar. 

(b) Evaluation of the performance of the robot learning with 3 different demonstrators, under the learning algorithms: SGIM-D, observation and imitation. 

(c) Histogram of the induced effects: random input parameters, SAGG-RIAC, and SGIM-D. 

(d) Properties of the demonstrations: trajectories for joint 1 (robot demonstrator 1, robot demonstrator 2, human demonstrator 3). 

(e) Error plot for a large task space. 

(f) Histogram of the self-determined goals: SAGG-RIAC and SGIM-D. Each column shows the distribution of an experimental run of the SAGG-RIAC algorithm (col 1) or SGIM-D (col 2) (best seen in colors). 

In each experiment, we also graphed the demonstrated outcomes with black crosses.
mean error of the social learning algorithms for our 3 different demonstrators (Fig. 8b). First of all, we notice that for all 3 teachers, SGIM-D performs better than the other algorithms. SGIM-D is therefore robust with respect to the quality of the demonstration as the teacher only guides the learner towards interesting policy or outcome subspaces, and the learner lessens its dependence on the teacher owing to self-exploration. Still, among the 3 demonstration sets we used, the demonstrations 1 that are chosen randomly bootstrap less than the demonstrations 2 that have smaller variance. We also note that the human demonstrations (3), also bootstrap better than demonstrations 1, and slightly better than demonstrations 2. This result seems at first sight surprising, as the results of learning by observation seem to indicate the contrary: demonstrator 1 or 2 are more beneficial to the observation learner, since demonstrator 3’s policies can be not easily reproduced due to correspondence problems.

To understand the reasons of this result, let us examine the different demonstrations. Figure 8d plots the trajectories of the demonstrations. We can see that demonstrations show different distribution characteristics in the trajectory profile. The most noticeable difference is the case of demonstrator 3. Whereas the trajectories of demonstrators 1 and 2 seem disorganised, the joint value trajectories of demonstrator 3 are all monotonous, and seem to have the same shape, only scaled to match different final values.

Indeed, the comparison of the demonstrations set 3 to random movements with ANOVA (Krzanowski 1988) indicates that we can reject the hypothesis that demonstration set 3 comes from a random distribution ($p < 10^{-40}$). The demonstrations set 3 is not randomly generated but are well structured and regular. Therefore, the human demonstrator shows a bias through his demonstrations to the robot, and orients the exploration towards different subspaces of the policy space. Indeed, the ANOVA analysis of the movements parameters $\theta$ performed during the learning reveals that they have different distributions with separate means. Because his demonstrations have the same shape, they belong to a smaller, denser and more structured subset of trajectories from which it is easier for the learner to generalise, and build upon further knowledge. Moreover, this comparative study highlights another advantage of SGIM-D: its robustness to the quality of demonstrated policies. The performance varies depending on the teacher, but still is significantly better than the SAGG-RIAC or imitation learner.

7.4 Dependence on the size of the task space

To test whether our algorithms are scalable to large spaces, we plotted the mean distance error to the benchmark set, for a different task space (Fig. 8e). This time, the boundaries of each dimension have been multiplied by 100, which means that the size of $T$ has been multiplied by $10^4$. We can observe the effects on the performance of the SAGG-RIAC learner. Even though its mean error is lower than the random learner, it has increased compared to the case of the smaller task space. On the other hand, SGIM-D still learns to reach any point with good precision. Its mean error is significantly lower than the one of the SAGG-RIAC or the random learners. Consequently, the social learning part of SGIM-D has helped it scale to larger spaces by allowing the robot to infer more quickly which parts of the task space are actually reachable and learnable.

7.5 Identification of the interesting subspaces

To investigate the reasons of the difference in performance between SAGG-RIAC and SGIM-D, especially their different dependence on the task space size, we can examine the system’s exploration of the task space. Figure 8f plots the distribution of all the goals $t_\tau \in T$ chosen during the task space exploration of SAGG-RIAC and SGIM-D. The goals chosen by the SAGG-RIAC learner look disorganised, and cover all the task space $T$, because it needs to sample at a minimum density before computing meaningful measures of interest, and find subspaces where it can actually learn. On the contrary, the SGIM-D learner only chooses its goals around the reachable space. Thus the teacher has helped the SGIM-D learner to identify and target the reachable space.

In conclusion, SGIM-D improves the precision of the system even with little intervention from the teacher, and helps point out key subregions to be explored. The teacher successfully transfers his knowledge to the learner and bootstraps autonomous exploration robustly, even in large task spaces. This bootstrapping is all the more efficient than the demonstrations chosen by the teacher enhance generalisation, for instance through similarity of the policies demonstrated. Although this paper has shown that SGIM-D can complete one type of goals only, studies (Nguyen and Oudeyer 2012b,a) have shown that it can learn in different kinds of task space.

The illustration experiments conducted showed good performance of SGIM-D in learning all the infinity of goals defined by the task space $T$, compared to pure autonomous exploration and social learning methods, in terms of precision and explored area. Moreover, analysis showed that on the one hand, it benefits from human teacher’s demonstrations which orient its exploration towards small subspaces of policies and goals, and enable a faster identification of interesting subspaces. On the other hand, self experimentation helps it be more robust to demonstrations quality.

8 Conclusion

This paper introduced SGIM-D, an architecture for online active learning of inverse models in continuous high-
dimensional robotic sensorimotor spaces, and allowing a robot to learn multiple goals and generalise over a continuous ensemble of goals. SGIM-D efficiently combines social learning and intrinsic motivation strategies on both the policy and goal exploration levels. It actively samples goals while adapting to the difficulty of different subspaces. The analysis of the properties of this combination shows that the demonstrations structure orient the exploration towards a subspace of the policy space, independently of whether the demonstrations can be exactly reproduced by the learner or not. SGIM-D also takes advantage of the intrinsically motivated autonomous exploration to improve its performance and gain precision in the absence of the teacher for a wide range of outcomes/goals. It is an original algorithm in that it is at the same time an active learning system of inverse models benefiting from human demonstrations, and also a PbD system which can learn and generalise to new goals. Our simulation indicates that SGIM-D successfully learns motor control even in an experimental setup as complex as having a continuous 25-dimensional policy parameter space. We are now building the set-up to replicate these results with a physical robot and a real fishing-rod and to conduct a user study to assess how non-expert demonstrations influence the learning of our algorithm.

In this first step, for the sake of comparison of SGIM-D to other algorithms, we do not study further the effects of different parameters of social interaction on the performance of the robot, for instance the impact of the frequency of the demonstrations given by the teacher. The parameters of the teaching, such as the rationales for selecting timing of the social interaction and demonstrations have not been chosen in this paper to optimise SGIM-D. A more precise study of these parameters has shown better performance of SGIM-D with different parameters Nguyen and Oudeyer (2012a). Moreover, we could explore in depth the dependency of SGIM-D on the teacher, such as cases of sparse teachers, where the demonstrations belong to a small subspace only, or are in smaller number. Such studies would better illustrate the most general case when the human teacher can not perform everything, but is only proficient in a small subset of goals. We can also extend the work with a learner who self-determines whether to take into account a demonstration or not, taking inspiration from child psychology studies that show limitations of the role of parents (Xu et al. 2011).

Most of all, we only considered a very simple interaction scenario between the learner and the teacher, and we did not take into account interactive learning (Chernova and Veloso 2009; Thomaz 2006; Nicolescu and Mataric 2003), where the learner asks for information when needed. More generally, exploring and evaluating systematically the other scenarios in which a human teacher can be involved, as mentioned in Sect. III, should be instructive. An interesting angle to study would also be the switching between mimicking, imitation and emulation behaviours. In this paper, the robot mimics the teacher for a fixed amount of time, and afterwards, SGIM-D takes into account these new data only from the goal point of view, as in emulation. However a more natural and autonomous algorithm for switching between or combining these different modes has been shown to improve the efficiency of the system in Nguyen and Oudeyer (2012c).

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