State-space averaged modeling and transfer function derivation of DC-DC boost converter for high-brightness led lighting applications

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Abstract
This paper presents dynamic analysis of a boost type DC-DC converter for high-brightness LED (HBLED) driving applications. The steady state operation in presence of all system parasitics has been discussed for continuous conduction mode (CCM). The state-space averaging, energy conservation principle and standard linearization are used to derive ac small signal control to inductor current open-loop transfer function of the converter. The derived transfer function can be further used in designing a robust feed-back control network for the system. In the end frequency and transient responses of the derived transfer function are obtained for a given set of component values, hence to provide a useful guide for control design engineers.

Keywords: averaged modeling, continuous conduction mode (CCM), control transfer function, DC-DC boost converter, high-brightness LEDs, parasitic elements, state-space averaging

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1. Introduction
Since the advent of high-brightness light emitting diodes (HB-LEDs), driving them has been a matter of great research. They are current operated semiconductor devices having electrical characteristics completely different to their traditional counterparts (Halogen and HID) [1, 2]. The output luminous flux of a high-brightness LED is direct function of its forward current hence cannot be powered by using conventional driving schemes. Their driving systems must be capable of providing constant LED current while maintaining the required level of luminance [3-8].

Switch mode converters offer a convenient solution to power high-brightness LEDs and control their luminance in a wide range of applications [9]. In order to achieve the required values of current and voltage these converters rely on control networks. For an adequate controller design, accurate analytical model based knowledge of converter power stage is essential [10, 11]. However, analytical modeling of these converters presents significant challenges. Such systems comprise of linear (inductor L, capacitor C and resistor R) and nonlinear (switch S) components making them nonlinear time-variable circuits.

The purpose of this paper is to introduce model based analytical solution of a boost type dc-dc converter for high-brightness LED lighting applications. To achieve the high accuracy and greater design flexibility all the system parasitics have been taken into account in modeling process. Finally, small signal ac control to inductor current transfer function has been obtained and various bode diagrams are plotted to illustrate the frequency and transient response. The results obtained can be further used to obtain a linear current-mode controller circuit for system-level studies.

2. Switching Converter Control Design using Modeling
As mentioned earlier in order to design a suitable control circuit for LED driving, one must have analytical based knowledge of system behaviour. It involves physical knowledge of the system in terms of mathematical and mass and energy conservation laws. Standard methods of analytical modeling, namely state-space averaging (SSA) and circuit averaging (CA) are widely
described in the literature [10-14]. However, state-space averaging is a well-known standard for modelling electronic systems in system-level studies. A switching power converter normally operates in two different modes of operation depending on the state of the respective semiconductors. Considering all the power semiconductors as ideal or loss-less, then the circuit behavior in term of time $t$ over time period $T$ can be written in general state-space form by a set of two vectorial [10-13]. Here, $x$ and $u$ represent state and control vectors respectively whereas coefficient of the matrices $A, B, C$ and $D$ are function of the circuit elements. These matrices depend on the converter topology and characteristics of its components.

$$\frac{dx}{dt} = x = Ax + Bu$$
$$y = Cx + Du$$

(1)

3. System Description and Modeling

Studies show that the output luminous flux of a high-brightness LED is determined by its forward current [4-6, 15]. The electrical characteristics of high-brightness LEDs resemble that of a voltage source and they are unable to regulate their own current. Therefore, for an accurate driving system model it is necessary to take into account the behavioural model of the LED load in the modeling process as well. The linear representation of a high brightness LED can be seen in Figure 1 which contains a source voltage which is the threshold voltage $V_F$, and $R_S$ series resistance that represents the dynamic resistance of the LED.

Figure 1. Linear representation of LED

Then, the LED voltage equation can be represented as:

$$V_{LED} = V_F + I_F R_S$$

(2)

Thus, it is crucial to determine the type of LED load that complies with the requirements of the application under consideration. In this work we considered an automotive headlamp with LUXEON Rebel ES high brightness LEDs as load. A dc-dc boost converter has been selected as candidate for the driver circuit. Boost is a popular non-isolated switched-mode topology capable of producing dc output voltage greater in magnitude than the input voltage. A typical boost power stage consists of an inductor $L$, a controllable switch $S$ (MOSFET, BJT, or IGBT), a diode $D$ and an output capacitor $C$ and as shown below in Figure 2. The desired output regulation is achieved by changing the duty cycle $d$ or on-time of the switch $S$. Usually, this duty cycle control is generated by using a modulation technique such as pulse width modulation (PWM).

The above is an ideal representation of boost power stage; however in reality it has some system parasitics such as equivalent series resistances (ESR) of inductor and capacitor. The idea of simply considering ideal/lossless components is to simplify the modeling process and understanding the fundamental behavior of the system. But this is not a good approach, because it does not represent the actual dynamic behavior of the system. Therefore, to increase the model accuracy all circuit parasitic elements should be considered in modeling process [16]. Figure 3 shows an equivalent circuit of boost power stage with circuit parasitics.
Here $r_L$ and $r_c$ represent the inductor and capacitor equivalent series resistances ($ESR$) while both the switches have almost negligible turn on resistances. If it is assumed that the converter is operating in continuous conduction mode (CCM) then in one switching cycle it will exhibit two modes of operation depending on the ‘ON’ and ‘OFF’ time of the switch $S$. The on-time ($t_{on}$) can be defined in terms of duty cycle $d$ by $dT_s$, and off-time ($t_{off}$) by $(1-d)T_s$. Whereas duty cycle $d$ is determined by the pulse generator over time period $T_s$ of one switching cycle as shown in Figure 4 [13].
The system can be described over one complete switching cycle by employing state-space averaging as given below:

\[ \begin{align*}
\text{‘ON’ Mode: } & \quad \frac{dx}{dt} = A_1 x + B_1 v_{in} \\
& \quad y = C_1 x \\
\text{‘OFF’ Mode: } & \quad \frac{dx}{dt} = A_2 x + B_2 v_{in} \\
& \quad y = C_2 x
\end{align*} \tag{3} \tag{4} \]

Where \( A_1, B_1, C_1 \) represent the state matrices for ‘ON’ mode and \( A_2, B_2, C_2 \) for ‘OFF’ mode respectively.

3.1. ‘ON’ Mode: \( 0 \leq t \leq dTs \)

When the switch \( S \) is ‘ON’ the system can be represented as in Figure 5.

![Equivalent circuit during ‘ON’ state](image)

State equations for ‘ON’ mode can be written by using Kirchhoff’s voltage law (KVL)

\[ \begin{align*}
L \frac{di_L}{dt} &= v_{in} - r_L i_L \\
C \frac{dv_C}{dt} &= -\frac{v_C}{R_{LED} + r_c} \\
v_o &= \frac{R_{LED} v_C}{R_{LED} + r_c}
\end{align*} \tag{5} \tag{6} \tag{7} \]

Taking \( i_L \) and \( v_C \) as state variables the state-space matrix form can be obtained:

\[ \begin{bmatrix}
\frac{di_L}{dt} \\
\frac{dv_C}{dt}
\end{bmatrix} = \begin{bmatrix}
-r_L & 0 \\
L & -1 \\
0 & C(R_{LED} + r_c)
\end{bmatrix} \begin{bmatrix}
i_L \\
v_C
\end{bmatrix} + \begin{bmatrix}
1/L \\
0
\end{bmatrix} v_{in} \tag{8} \]

State-space averaged modeling and transfer function derivation of… (Muhammad Wasif Umar)
\[
\begin{align*}
\frac{v_o}{v_o} &= \begin{bmatrix} 0 & \frac{R_{\text{LED}}}{R_{\text{LED}} + r_c} \end{bmatrix} \begin{bmatrix} i_L \\ v_o \end{bmatrix} \\
&\quad \text{for} \quad d_{Ts} < t \leq T_s
\end{align*}
\]

(9)

3.2. ‘OFF’ Mode: \(d_{Ts} < t \leq T_s\)

Similarly when the switch \(S\) is ‘OFF’ the state equations for system shown in Figure 6 can be written as:

\[
L \frac{di_L}{dt} = v_{\text{in}} - r_L i_L - v_o
\]

(10)

\[
C \frac{dv_c}{dt} = \frac{R_{\text{LED}}}{R_{\text{LED}} + r_c} i_L - \frac{v_c}{R_{\text{LED}} + r_c}
\]

(11)

\[
v_o = \frac{R_{\text{LED}} r_c}{R_{\text{LED}} + r_c} i_L + \frac{R_{\text{LED}} v_c}{R_{\text{LED}} + r_c}
\]

(12)

The state-space matrix form can be described as:

\[
\begin{bmatrix}
\frac{di_L}{dt} \\
\frac{dv_c}{dt}
\end{bmatrix} =
\begin{bmatrix}
\left( -\frac{r_L}{L} \right) - \left( \frac{R_{\text{LED}} r_c}{L(R_{\text{LED}} + r_c)} \right) & \frac{-R_{\text{LED}}}{L(R_{\text{LED}} + r_c)} \\
\frac{R_{\text{LED}}}{C(R_{\text{LED}} + r_c)} & \frac{-1}{C(R_{\text{LED}} + r_c)}
\end{bmatrix}
\begin{bmatrix}
i_L \\ v_c
\end{bmatrix}
+ \begin{bmatrix}
1/L \\ 0
\end{bmatrix} v_{\text{in}}
\]

(13)

\[
v_o = \begin{bmatrix}
\frac{R_{\text{LED}} r_c}{R_{\text{LED}} + r_c} & \frac{R_{\text{LED}}}{R_{\text{LED}} + r_c}
\end{bmatrix}
\begin{bmatrix}
i_L \\ v_c
\end{bmatrix}
\]

(14)

Employing circuit averaging

\[
\begin{align*}
\dot{x}' &= \left[ A_1 d + A_2 (1-d) \right] \bar{x} + \left[ B_1 d + B_2 (1-d) \right] v_{\text{in}} \\
\dot{y}' &= \left[ C_1 d + C_2 (1-d) \right] \bar{x}
\end{align*}
\]

(15)
and the parameters $A, B$ and $C$ can be further solved as follows:

$$A = [A_d + A_2(1-d)]$$

(16)

Hence, the statespace averaged model for the converter operating in CCM and including all system parasitics can be represented as:

$$\begin{bmatrix}
\frac{di}{dt} \\
\frac{dv}{dt}
\end{bmatrix} = \begin{bmatrix}
\frac{-r}{L} & \frac{(1-d)^2(R_{LED}r_c)}{L(R_{LED} + r_c)} & \frac{-R_{LED}(1-d)}{L(R_{LED} + r_c)} \\
\frac{1}{C(R_{LED} + r_c)} & \frac{-1}{C(R_{LED} + r_c)}
\end{bmatrix} \begin{bmatrix}i_L \\
v_c
\end{bmatrix} + \begin{bmatrix}0 \\
\frac{1}{L}
\end{bmatrix} v_{in}$$

(17)

$$v_o = \frac{(1-d)R_{LED}r_c}{(R_{LED} + r_c)} + \frac{R_{LED}}{(R_{LED} + r_c)} \begin{bmatrix}i_L \\
v_c
\end{bmatrix}$$

(18)

Using standard linearization techniques and introducing perturbations as $v_{in} = V_{in} + \hat{v}_{in}$, $i_L = I_L + \hat{i}_L$, $v_c = V_c + \hat{v}_c$, $d = D + \hat{d}$, $v_o = V_o + \hat{v}_o$ as follows:

$$L \frac{d(I_L + \hat{i}_L)}{dt} = (V_{in} + \hat{v}_{in}) - r_L(I_L + \hat{i}_L) - (1-D - \hat{d})(V_o + \hat{v}_o)$$

(19)

$$C \frac{d(V_c + \hat{v}_c)}{dt} = \frac{(1-D - \hat{d})R_{LED}}{(R_{LED} + r_c)}(I_L + \hat{i}_L) - \frac{(V_c + \hat{v}_c)}{(R_{LED} + r_c)}$$

(20)

$$V_o + \hat{v}_o = \frac{(1-D - \hat{d})R_{LED}r_c}{(R_{LED} + r_c)}(I_L + \hat{i}_L) + \frac{R_{LED}(V_c + \hat{v}_c)}{(R_{LED} + r_c)}$$

(21)

Seperating terms of $\hat{i}_L$, $\hat{v}_c$, $\hat{v}_{in}$ and $\hat{d}$, the small signal model of the system would be like:

$$\begin{bmatrix}
\frac{di}{dt} \\
\frac{dv}{dt} \\
\frac{d\hat{v}_c}{dt} \\
\frac{d\hat{d}}{dt} \\
\frac{d\hat{v}_{in}}{dt}
\end{bmatrix} = \begin{bmatrix}
\frac{-r}{L} & \frac{(1-d)^2(R_{LED}r_c)}{L(R_{LED} + r_c)} & \frac{-R_{LED}(1-d)}{L(R_{LED} + r_c)} & 0 & 0 \\
\frac{1}{C(R_{LED} + r_c)} & \frac{-1}{C(R_{LED} + r_c)} & 0 & 0 & 0 \\
\frac{(1-D)R_{LED}}{(R_{LED} + r_c)} & \frac{-R_{LED}}{(R_{LED} + r_c)} & 0 & 0 & 0 \\
\frac{1}{L} & \frac{1}{L} & \frac{(1-D)R_{LED}r_c}{L(R_{LED} + r_c)} & \frac{-R_{LED}r_c}{L(R_{LED} + r_c)} & \frac{-R_{LED}I_L}{L(R_{LED} + r_c)} \\
0 & 0 & -I_L & 0 & 0
\end{bmatrix} \begin{bmatrix}i_L \\
v_c \\
\hat{v}_c \\
\hat{d} \\
\hat{v}_{in}
\end{bmatrix}$$

(22)

$$v_o = \frac{(1-D)R_{LED}r_c}{(R_{LED} + r_c)} + \frac{R_{LED}}{(R_{LED} + r_c)} \begin{bmatrix}i_L \\
v_c \\
\hat{v}_c \\
\hat{d}
\end{bmatrix} + \begin{bmatrix}0 \\
\frac{-I_LR_{LED}r_c}{(R_{LED} + r_c)} \\
0 \\
0
\end{bmatrix} \begin{bmatrix}0 \\
\hat{v}_{in}
\end{bmatrix}$$

(23)

The ac small signal control to inductor current open loop transfer function can be obtained by simply solving the matrix i.e:
To demonstrate the derived state-space model, a boost converter with switching frequency $F_s = 50 \text{kHz}$, $V_{in} = 12V$, $D = 0.6$, $L = 285 \mu\text{H}$, $r_L = 0.15\Omega$, $C = 76\mu\text{F}$, $r_C = 0.3\Omega$ is simulated using MATLAB simulation environment. Voltage drop in both the switches is considered almost negligible as the input voltage is much greater than it. For load an automotive headlamp with 8 LUXEON Rebel ES high brightness LEDs connected in series configuration is considered, having $R_{LED} = 34\Omega$ @ $I_F = 700mA$. Figure 7 and Figure 8 show the bode diagrams and open-loop step response respectively. These plots can be further used in designing of a robust feed-back control network and will be discussed in future work.
5. Conclusion
This paper presents dynamic behavior modeling of a boost type dc-dc converter for high-brightness LED (HBLED) driving applications. The steady state operation in the presence of all system parasitics has been discussed to derive ac small signal control to output current open-loop transfer function for continuous conduction mode (CCM). The derived transfer function will be further used in modeling design process of current-mode controlled feed-back system. Finally, frequency and transient responses have been shown using MATLAB simulation environment confirming the validity of derived transfer function within the designed parameters. To conclude, this work introduced a systematic method for deriving and simplifying averaged circuit models for pulse width modulated switching power converters for high-brightness LED applications.

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