Capitellum fractures: Treatment with headless screws and outcomes

Bülent Tanrıverdi, Cemal Kural, Süleyman Altun

Department of Orthopedics and Traumatology, Bakırköy Dr. Sadi Konuk Training and Research Hospital, Istanbul, Turkey

Distal humerus fractures that include the capitellum and the trochlea are rare injuries and constitute approximately 6% of all distal humeral fractures and 1% of elbow fractures.\(^1,2\) If the initial evaluation is not performed correctly and radiographs are not taken in the appropriate position, these fractures can be easily neglected in the emergency department. Exact lateral elbow radiographs should be performed. If possible, computed tomography (CT) should be performed for better evaluation of the fracture fragments and surgical planning should be conducted since capitellum fractures are most commonly treated surgically.\(^3\) These fractures should be treated by the principles of an intra-articular fracture treatment. Proper reduction, stable fixation, and early mobilization of the fracture are crucial in achieving good functional results.\(^4,5\) In this study, we aimed to present the results of 21 patients with capitellum fractures treated with open reduction and headless screws by a single experienced surgeon.

PATIENTS AND METHODS

Twenty-one patients (13 males, 8 females; mean age 39 years; range, 18 to 63 years) who were admitted to our emergency orthopedic outpatient clinic with the diagnosis of capitellum fracture between June 2011 and January 2018 and followed-up for a mean period of 45 months (range, 12 to 90 months) were included in this retrospective study. The affected extremity was on the left side in 16 patients and on the right side in five patients. After routine anteroposterior (AP) and lateral radiographs, all patients underwent a CT scan to evaluate the extent of the fracture and make a more accurate classification. According to the Bryan and Morrey classification modified by McKee (Table I), the fracture was classified as type I in 14 patients, type III in three patients, and type IV in four patients.

ABSTRACT

Objectives: This study aims to present the results of 21 patients with capitellum fractures treated with open reduction and headless screws by a single experienced surgeon.

Patients and methods: Twenty-one patients (13 males, 8 females; mean age 39 years; range, 18 to 63 years) who were admitted to our clinic between June 2011 and January 2018 with the diagnosis of capitellum fracture and followed-up for a mean period of 45 months (range, 12 to 90 months) were included in this retrospective study. The fractures were fixed with headless cannulated screws by a single surgeon.

Results: The mean range of motion was 102° (range, 65° to 140°) during flexion-extension and 165° (range, 130° to 180°) during supination-pronation. The mean preoperative visual analog scale (VAS) score was 8.5 (range, 6 to 10), whereas the mean postoperative VAS score was 2.2 (range, 0 to 6). According to the Mayo Elbow Performance score, nine patients were evaluated as excellent, six patients as good, four patients as fair, and two as poor. The mean Quick-Disabilities of the Arm, Shoulder and Hand score was 25.1 (range, 4 to 57). Avascular necrosis developed in three patients (14%) and heterotopic ossification was detected in one patient (4%).

Conclusion: Capitellum fractures are difficult to diagnose and treat, and good results can only be achieved by an accurate diagnosis, careful surgical technique, and stable fixation. Larger and more comprehensive studies are required to establish a generalization and more accurate inferences on this limitedly studied subject.

Keywords: Capitellum, headless screw, open reduction.
According to the AO (Arbeitsgemeinschaft für Osteosynthesefragen) classification\(^7\) (Table II), 17 patients had type B3.1 fractures and four patients had type B3.3 fractures. The mean time from trauma to surgery was 7.6 days (range, 2 to 23 days). One patient who was initially evaluated at another center was operated on 23 days after the trauma. All patients were operated by a single surgeon experienced in upper extremity surgery. No additional injury was detected in the elbow region in 12 patients. Five patients had a lateral collateral ligament (LCL) injury, four had a radial head fracture, and one had an ulnar fracture. One patient had coexistence of LCL injury and a radial head fracture. The study protocol was approved by the Bakırköy Dr. Sadi Konuk Training and Research Hospital Ethics Committee on July 23, 2018 (no. 2018-13-03). A written informed consent was obtained from each patient. The study was conducted in accordance with the principles of the Declaration of Helsinki.

Regional anesthesia was applied in seven patients, whereas general anesthesia was administered in 14. All patients were operated under pneumatic tourniquet. A lateral incision was used in 16 patients, while the posterior approach was utilized in two patients, and lateral and medial approaches were used in three. Capitellum fractures were fixed with 20-30 mm headless cannulated screws (Tasarimmed, Istanbul, Turkey) in all patients (Figure 1). Radial head fractures in four patients were also fixed with headless cannulated screws. All cannulated screws used to fix the capitellum fracture were inserted from the anterior to the posterior. Primary repair was performed in two of the four patients with LCL injury,
and a suture anchor was used for fixation in the other three patients with a radial head fracture. In patients without LCL injury, the LCL was left untouched during surgery. Ulna fracture in one patient was fixed with plate-screw. Grafting was not performed in any patient.

A long-arm splint was applied to all patients postoperatively while the elbow was at 90° of flexion and the forearm was in the neutral position. Patients were evaluated at the outpatient clinic at the second week follow-up. The splints were removed from 12 patients without additional injuries, and passive exercises were started. In the other nine patients with additional injuries, the splint was removed at the fifth week, and passive and active-assisted exercises were started. Physical therapy was started on the fourth week in patients without additional injuries and on the sixth week in patients with additional injuries.

Patients were evaluated with the elbow range of motion (ROM), pre- and postoperative visual analog scale (VAS) scores, the Mayo Elbow Performance score (MEPS) and Quick-Disabilities of the Arm, Shoulder and Hand (Q-DASH) score (Table III). In addition, AP and lateral elbow radiographs were taken to evaluate the presence of union, avascular necrosis, (AVN), and arthrosis.

**Statistical analysis**

Descriptive statistics were used to define continuous variables in the evaluation of the patient data (mean, standard deviation, minimum, median, maximum). The Kruskal-Wallis test was used to

| Patient | Side of injury | Time from trauma to surgery (month) | Additional injuries | Incisional approach | Preoperative VAS score | Postoperative VAS score | MEPS | Q-DASH score |
|---------|----------------|------------------------------------|---------------------|---------------------|------------------------|-------------------------|------|-------------|
| 1       | L              | 7                                  | None                | Lateral             | 8                      | 3                       | 90   | 20          |
| 2       | L              | 4                                  | None                | Lateral             | 8                      | 2                       | 85   | 24          |
| 3       | R              | 7                                  | None                | Lateral             | 9                      | 3                       | 65   | 32          |
| 4       | L              | 14                                 | Ulnar fracture      | Lateral             | 8                      | 3                       | 65   | 34          |
| 5       | L              | 15                                 | LCL                 | Lateral             | 9                      | 2                       | 90   | 20          |
| 6       | L              | 13                                 | None                | Posterior           | 9                      | 6                       | 55   | 56          |
| 7       | L              | 14                                 | LCL                 | Lateral             | 9                      | 2                       | 90   | 18          |
| 8       | R              | 5                                  | Radial head fracture| Lateral             | 9                      | 3                       | 70   | 34          |
| 9       | R              | 2                                  | Radial head fracture| Lateral             | 8                      | 2                       | 70   | 32          |
| 10      | L              | 5                                  | None                | Lateral             | 8                      | 1                       | 100  | 5           |
| 11      | L              | 3                                  | Radial head fracture| Lateral             | 8                      | 3                       | 75   | 29          |
| 12      | R              | 23                                 | None                | Lateral             | 8                      | 1                       | 90   | 16          |
| 13      | R              | 5                                  | None                | Lateral+medial      | 9                      | 2                       | 90   | 18          |
| 14      | L              | 12                                 | None                | Lateral             | 8                      | 1                       | 90   | 20          |
| 15      | L              | 3                                  | LCL                 | Lateral+medial      | 9                      | 6                       | 55   | 57          |
| 16      | L              | 3                                  | LCL                 | Lateral             | 9                      | 1                       | 85   | 25          |
| 17      | L              | 4                                  | None                | Lateral             | 6                      | 0                       | 100  | 5           |
| 18      | L              | 5                                  | Radial head fracture+LCL | Lateral+medial | 10                     | 2                       | 85   | 27          |
| 19      | L              | 2                                  | None                | Lateral             | 9                      | 0                       | 100  | 4           |
| 20      | L              | 11                                 | None                | Posterior           | 9                      | 2                       | 85   | 27          |
| 21      | L              | 2                                  | None                | Lateral             | 9                      | 1                       | 85   | 25          |

VAS: Visual analog scale; MEPS: Mayo Elbow Performance score; Q-DASH: Quick-Disabilities of the Arm, Shoulder and Hand; LCL: Lateral collateral ligament.
compare more than two variables that were not independent and did not show normal distribution, whereas the Mann-Whitney U test was used in the comparison of two variables that showed the above two characteristics. Spearman's rank correlation was employed to detect the correlation between two continuous variables. The level of statistical significance was determined as p<0.05. Analyses were performed using the MedCalc Statistical Software version 12.7.7 (MedCalc Software bvba, Ostend, Belgium).

RESULTS

The mean range of motion was 102° (range, 65° to 140°) during flexion-extension and 165° (range, 130° to 180°) during supination-pronation (Figure 2). The mean preoperative VAS score was 8.5 (range, 6 to 10), whereas the mean postoperative VAS score was 2.2 (range, 0 to 6). According to the MEPS, nine patients were evaluated as excellent, six patients as good, four patients as fair, and two patients as poor. The mean Q-DASH score was 25.1 (range, 4 to 57).

The rating system described by Broberg and Morrey was used to evaluate arthrosis (Table IV). According to this system, no arthrosis was detected in 14 patients (grade 0). Of the seven patients (33%) with arthrosis, one was grade III, four were grade II, and two were grade I.

Non-union was not detected in any of the capitellum fractures. Avascular necrosis developed in three patients (14%). Heterotopic ossification was detected in one patient (4%); however, it did not constitute a significant restriction to movement and did not require removal (Figure 3).

From a functional point of view, we evaluated our patients according to the Grantham elbow evaluation

| Table IV: Broberg and Morrey arthrosis evaluation system |
|----------------------------------------------------------|
| Grade 0 | No arthrosis |
| Grade 1 | Slight narrowing of the joint space and minimal osteophyte |
| Grade 2 | Moderate narrowing of the joint space and osteophyte formation |
| Grade 3 | Severe joint space narrowing and destruction |
system introduced by Grantham (Table V), which takes the patients’ stability, pain, and ROM into account.\cite{9} According to this evaluation, excellent results were obtained in five patients, good results in five, moderate results in seven, and poor results in four.

Statistically, there was a strong correlation between the elbow flexion-extension ROM and postoperative VAS score and a moderate statistically significant relationship between the supination-pronation ROM and postoperative VAS score (Spearman’s rank correlation, p<0.05).

Statistically, there was a significant positive correlation between the MEPS and Q-DASH scores and the ROMs during elbow flexion-extension and supination-pronation (Spearman’s rank correlation, p<0.05).

According to the Bryan and Morrey classification, there was a statistically significant difference between Q-DASH scores measured with type I and type IV fractures (Mann-Whitney U test, p<0.016 [Bonferroni correction]).

### DISCUSSION

Capitellum fractures are injuries that can cause permanent extension loss despite early and accurate diagnosis, anatomical reduction, and early mobilization.\cite{10} In our study, we evaluated 21 patients with capitellum fractures treated with open reduction and headless screws, which can be challenging to diagnose and treat. We encountered AVN in three patients and heterotopic ossification in one. According to the MEPS, we achieved excellent and good results in 15 patients (71%).\cite{11}

It is known that there is a female dominance in capitellum fractures.\cite{12-14} In Mighell et al.’s\cite{12} series of 18 patients, 16 of the patients were females and two were males. In other studies, 60 to 100% female dominance in the capitellum fractures has been reported. Mighell et al.\cite{12} explained that the increased bearing angle might cause a greater force on the
lateral column in the extension position of the elbow during a fall. Sultan et al.\textsuperscript{[3]} similarly reported that there were 11 female and four male patients in their series, and Bilsel et al.\textsuperscript{[14]} reported 12 females and six males in their publication. Contrary to these findings from the literature, our patient group consisted of 13 male and eight female patients. Thus, we believe that gender may not be a determining factor in capitellum fractures. Mahirogullari et al.\textsuperscript{[15]} reported that their series consisted of eight males and three females.

Another point that Mighell et al.\textsuperscript{[12]} drew attention to was that 16 of their 18 patients had injuries in the non-dominant extremity. Although it is not a definite conclusion, this situation may be attributed to the lower bone mineral density of the non-dominant limb compared to the dominant arm.\textsuperscript{[16-18]} Sultan et al.\textsuperscript{[13]} reported that 12 of the 15 patients in their series had injuries in the non-dominant extremity. In contrast to these publications, Bilsel et al.\textsuperscript{[14]} stated that in 12 patients the dominant extremity was affected, and in six patients the non-dominant extremity was injured, while they did not comment on the cause. In our cases, the fractures were on the dominant extremity in seven patients and on the non-dominant extremity in 14 patients. Although this finding is consistent with the current literature, we do not think that the distinction of dominant or non-dominant limbs is another determining factor in capitellum fractures.

Another controversial issue in the fixation of capitellum fractures with screws is the direction of the screw placement. Mahirogullari et al.\textsuperscript{[15]} and Dubberley et al.\textsuperscript{[19]} stated that they placed the screw from the posterior toward the anterior. Sultan et al.\textsuperscript{[3]} reported that they preferred to insert the screws from the anterior to the posterior, thus providing a good compression in the fracture line without the need for a soft tissue dissection beyond the posterolateral of the condyle. Mighell et al.\textsuperscript{[12]} argued that they preferred to place the screws from the anterior to the posterior, thus preserving the posterior flap and not disturbing the circulation of the capitellum. We preferred to insert the screws from the anterior to the posterior in all patients, because we thought it would be more effective in terms of compression, as well as to prevent the disruption of the capitellum circulation. We did not encounter any screw-related complications in any patient during or after the surgery.

The incidence of AVN is reported to vary between 0\% and 30\% in the literature.\textsuperscript{[15,20-23]} Mighell et al.\textsuperscript{[12]} reported an AVN rate of 17\%, which was consistent with other similar studies, but they also mentioned that the presence of AVN did not interfere with the excellent Broberg and Morrey score. On the other hand, some authors did not observe any AVN in their patients.\textsuperscript{[13,15,20-23]} In our study, AVN developed in three patients (14\%). This result is consistent with the current literature.

Heterotopic ossification is another problem encountered after the surgical treatment of capitellum fractures. When the literature was reviewed in this respect, no clinically significant heterotopic ossification was reported.\textsuperscript{[5]} Similarly, Sultan et al.\textsuperscript{[13]} and Mahirogullari et al.\textsuperscript{[15]} reported that they did not encounter heterotopic ossification and that they did not apply prophylaxis in any patient. On the other hand, Mighell et al.\textsuperscript{[12]} reported a rate of 17\% of heterotopic ossification in their 18-case series. In our study, we did not perform routine prophylaxis in our patients, and heterotopic ossification was detected in one patient (4\%). The heterotopic ossification in this patient remained very small and did not need to be removed, as it did not interfere with the elbow movements (Figure 3). Also, we detected calcification of the LCL in one of our patients who underwent LCL repair.

Due to the chondral damage during this injury, these patients are at risk of developing secondary elbow osteoarthritis.\textsuperscript{[9,10]} In terms of degenerative joint disease or arthrosis, Mighell et al.\textsuperscript{[12]} reported arthrosis in 28\% of their patients, while Sultan et al.\textsuperscript{[13]} observed arthrosis only in one patient (7\%) from a series of 15 patients who had type I and type IV fractures, according to Bryan and Morrey. All patients in Mahirogullari et al.’s\textsuperscript{[15]} series had type I fractures, and the authors did not encounter arthrosis in any of their patients. In our study, arthrosis developed in seven patients (33\%). We believe that the reason for this rate being slightly higher than the literature may be due to the Bryan and Morrey type III fracture, which indicates a comminuted fracture of the capitellum. Statistically significant differences in the MEPS and Q-DASH scores of different fracture types and the difference between the Q-DASH score distribution between type I and type IV fractures according to the post-hoc paired comparison results support this hypothesis. All these findings indicate that fracture types due to injury energy affect clinical outcomes.

In our study, statistically significant correlations were found between the elbow flexion-extension movements, supination-pronation movements, and both postoperative VAS scores and MEPS and Q-DASH scores. These findings indicate that an appropriate stable surgical fixation and rehabilitation
of the capitellum fractures are the most critical factors in achieving good functional results.

The lack of a comparison group may be considered a weakness of our study. However, the fact that there were enough patients in our study compared to the current literature, and that all patients were operated by a single surgeon experienced in upper extremity surgery were the positive aspects of our study.

In conclusion, capitellum fractures are challenging to diagnose and treat, and good results can only be achieved by an accurate diagnosis, careful surgical technique, and stable fixation. In addition, due to the limited number of studies on this subject, we believe that larger and more comprehensive studies are required to establish a generalization and more accurate inferences.

Declaration of conflicting interests
The authors declared no conflicts of interest with respect to the authorship and/or publication of this article.

Funding
The authors received no financial support for the research and/or authorship of this article.

REFERENCES

1. Harrington JP, McKee MD. Coronal shear fractures of the capitellum and trochlea. Tech Shoulder Elbow Surg 2000;1:240-6.
2. Jupiter JB, Morrey BF. Fractures of the distal humerus in the adult. In: Morrey BF, editor. The elbow and its disorders. 2nd ed. Philadelphia: WB Saunders, 1993. p. 328-66.
3. Fram BR, Seigerman DA, Ilyas AM. Coronal Shear Fractures of the Distal Humerus: A Review of Diagnosis, Treatment, and Outcomes. Hand (N Y) 2019:1558944719878817.
4. Singh AP, Singh AP, Vaishya R, Jain A, Gulati D. Fractures of capitellum: a review of 14 cases treated by open reduction and internal fixation with Herbert screws. Int Orthop 2010;34:897-901.
5. Ruchelsman DE, Tejwani NC, Kwon YW, Egor KA. Coronal plane partial articular fractures of the distal humerus: current concepts in management. J Am Acad Orthop Surg 2008;16:716-28.
6. McKee MD, Jupiter JB, Bamberger HB. Coronal shear fractures of the distal end of the humerus. J Bone Joint Surg [Am] 1996;78:49-54.
7. Müller ME, Nazarian S, Koch P, Schatzker J, editors. The comprehensive classification of fractures of long bones. New York: Springer; 1990.
8. Broberg MA, Morrey BF. Results of delayed excision of the radial head after fracture. J Bone Joint Surg [Am] 1986;68:669-74.
9. Grantham SA, Norris TR, Bush DC. Isolated fracture of the humeral capitellum. Clin Orthop Relat Res 1981;161:262-9.
10. Poynton AR, Kelly JP, O’Rourke SK. Fractures of the capitellum—a comparison of two fixation methods. Injury 1998;29:341-3.
11. Atik OŞ. Is there something new and interesting in my article? Eklem Hastalik Cerrahisi 2019;30:69.
12. Mighell M, Virani NA, Shannon R, Echols EL Jr, Badman BL, Keating CJ. Large coronal shear fractures of the capitellum and trochlea treated with headless compression screws. J Shoulder Elbow Surg 2010;19:38-45.
13. Sultan A, Khursheed O, Bhat MR, Kotwal HA, Manzoor QW. Management of capitellar fractures with open reduction and internal fixation using Herbert screws. Ulus Travma Acil Cerrahi Derg 2017;23:507-14.
14. Bülent K, Atalar AC, Erdil M, Elmadag M, Sen C, Demirhan M. Coronal plane fractures of the distal humerus involving the capitellum and trochlea treated with open reduction internal fixation. Arch Orthop Trauma Surg 2013;133:797-804.
15. Mahirogullari M, Kiral A, Solakoglu C, Pehlivan O, Akmaz I, Rodop O. Treatment of fractures of the humeral capitellum using Herbert screws. J Hand Surg Br 2006;31:320-5.
16. MacIntyre NJ, Adachi JD, Webber CE. In vivo detection of structural differences between dominant and nondominant radii using peripheral quantitative computed tomography. J Clin Densitom 1999;2:413-22.
17. Min JY, Min KB, Paek D, Cho SI. Side differences in the bone density of the distal radius and calcaneus in Koreans aged 4-86 years. J Clin Densitom 2007;10:184-8.
18. Taaffe DR, Lewis B, Marcus R. Quantifying the effect of hand preference on upper limb bone mineral and soft tissue composition in young and elderly women by dual-energy X-ray absorptiometry. Clin Physiol 1994;14:393-404.
19. Dubberley JH, Faber KJ, Maccormick JC, Patterson SD, King GJ. Outcome after open reduction and internal fixation of capitellar and trochlear fractures. J Bone Joint Surg Am 2006;88:46-54.
20. Yu T, Tao H, Xu F, Hu Y, Zhang C, Zhou G. Management of isolated coronal shear fractures of the humeral capitellum with Herbert screw fixation through anterolateral approach. BMC Musculoskelet Disord 2018;19:108.
21. Tamvar YS, Kharbanda Y, Jaiswal A, Birla V, Pandit R. Retrospective analysis of open reduction and internal fixation of coronal plane fractures of the capitellum and trochlea using the anterolateral approach. J Hist Orthop 2018;4:8-68.
22. Garg S, Sain A, Sharma V, Farooque K, Rangaswamy N. Functional Outcome of a Coronal Shear Fracture of the Capitellum Managed by Herbert Screw Fixation Using the Anterolateral Surgical Approach. Cureus 2020;12:e6578.
23. Acosta-Olivo C, Blanco-Rivera J, Villarreal-Villarreal G, Galván-Esquivel A, Villch-Cazavo F, Peña-Martínez V. Open Reduction and Fixation of Capitellum Fractures of the Elbow. Austin J Orthopade Rheumatol 2017;4:1051.