Neurological outcome after extracorporeal cardiopulmonary resuscitation for in-hospital cardiac arrest: a systematic review and meta-analysis

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Abstract

**Background:** In hospital cardiac arrest (IHCA) is a major adverse event with a high mortality rate if not treated appropriately. Extracorporeal cardiopulmonary resuscitation (ECPR), as adjunct to conventional cardiopulmonary resuscitation (CCPR) is a promising technique for IHCA treatment. Evidence pertaining to neurological outcomes after ECPR is still scarce.

**Methods:** We performed a comprehensive systematic search of all studies up to December 20th 2019. Our primary outcome was neurological outcome after ECPR at any moment after hospital discharge, defined by the Cerebral Performance Category (CPC) score. A score of 1 or 2 was defined as favourable outcome. Our secondary outcome was post-discharge mortality. A fixed-effects meta-analysis was performed.

**Results:** Our search yielded 1215 results, of which 19 studies were included in this systematic review. The average survival rate was 30% (95% CI: 28-33%, $I^2=0\%$, p=0.24). In the surviving patients, the pooled percentage of favourable neurological outcome was 84% (95% CI: 80-88%, $I^2=24\%$, p=0.90).

**Conclusion:** CPR as treatment for in-hospital cardiac arrest is associated with a large proportion of patients with good neurological outcome. The large proportion of favourable outcome could potentially be explained by the selection of patients for treatment using ECPR. Moreover, survival is higher than described in the conventional CPR literature. As indications for ECPR might extend to older or more fragile patient populations in the future, research should focus on increasing survival, while maintaining optimal neurological outcome.

Introduction

In hospital cardiac arrest (IHCA) is a serious adverse event in hospitalized patients that inevitably leads to death if not treated appropriately. It is associated with low survival rates at discharge and at one-year follow-up (13%, 95% prediction interval: 6-29%) [1,2]. The use of extracorporeal membrane oxygenation (ECMO) in addition to chest compressions for cardiopulmonary resuscitation may improve survival after IHCA [3]. Recent guidelines state the use of ECMO for CPR (ECPR) as potentially beneficial for specific patient populations [4], however they also stress the lack of evidence for this novel technique [5]. To our knowledge, there is no large-scale evidence pertaining to neurologic outcomes after ECPR for IHCA [6,7].

Survivors of cardiac arrest also suffer from neurological sequelae, which have been described as the post-cardiac arrest syndrome [8]. An important measure for neurological outcome is the aforementioned CPC. Although the CPC scoring suffers from limited discriminatory capacity, and has a potential ceiling effect and possible overestimation of function, it is to date the most used outcome measure [9]. The neurological outcome of one-year survivors after conventional CPR (CCPR) tends to be high: 92% of patients score a cerebral performance category (CPC) of 1 or 2 (95% prediction interval 82-97%) [2]. Another important neurological score is the Glasgow Outcome Scale (GOS). This outcome scale was developed for scoring outcome after acquired brain injury, but also is used to assess functional outcome after cardiac arrest [10,11].

ECPR facilitates return of circulation, albeit artificial. However, it is much more uncertain whether this recovery of circulation translates into survival, or acceptable neurological outcome. Furthermore, the association between neurologic outcomes and prognostic factors should be elicited, in particular time to ECMO [12]. This systematic review aims to summarize the evidence on neurologic outcomes after hospital discharge of patients treated with ECPR for in-hospital cardiac arrest.

Methods

**Literature search and selection criteria**

This systematic review and meta-analysis is reported following the PRISMA and MOOSE guidelines for reporting of systematic reviews and meta-analyses of observational studies [13,14]. For this systematic review we performed a systematic search of all published data on post-discharge neurological outcome after IHCA treated by ECPR up to December 20th 2019. We used the search engines Pubmed, Embase, Medline Ovid, Web of Science and Cochrane Central. Our searches contained the following keywords: in-hospital cardiac arrest, ECMO, neurological outcome, brain injury and neurological outcome. The exact search strategies are included in appendix 1.

Our inclusion criteria were: 1) use of ECPR for in-hospital cardiac arrest; 2) adult patients; 3) reporting of neurological outcome (CPC or GOS); 4) clinical studies; 5) written in English, German, French, or Dutch. We included studies that reported outcome upon or after discharge from hospital. Studies were excluded if they did not fit inclusion criteria or if they were only published as abstract.

After the initial screening, the remaining articles were assessed by reading the full text. Studies often reported characteristics and outcomes of in-hospital and out-of-hospital cardiac arrest simultaneously. The authors of articles in which data for the IHCA cohort was not reported separately were contacted. Data extraction from selected studies was performed independently by two investigators (MD, PG) using a standardized form. Subsequently, the discrepancies were resolved by discussion with the other authors (BG, MS, SH).

**Definitions**

The primary outcome was defined as favourable neurological outcome post-discharge from hospital using CPC or GOS score. A measurement was considered post-discharge, when the outcome was reported at discharge or later. For a description of the CPC and the GOS score, see table 1 appendix 2. A CPC score of 1 or 2 or a GOS score of 4 or 5 were defined as favourable outcome. The secondary outcome was post-discharge survival. If a study reported survival and neurological outcome at different follow-up moments, we ensured extracting the data for the same follow-up moment per study. Additionally, out of interest in
the time to ECMO cannulation on the effect of ECPR we extracted the average time to ECMO per study. Only the effect of the average time to ECMO cannulation on the primary outcome (favourable outcome) was investigated.

Quality assessment

The quality of the included studies was evaluated using the method of Hayden et al. for prognosis studies in systematic reviews [15]. The quality assessment is based on six categories: 1) Study population: whether the study correctly defines and describes the study population; 2) study attrition: whether the study was able to obtain a complete follow up; 3) prognostic factor measurement: whether the study reports the most important prognostic characteristics; 4) outcome measurement: whether the neurological outcome was measured in a valid and robust way; 5) confounding measurement: whether the authors explored what influenced neurological outcome; and 5) account and analysis: whether the study reports a correct methodology of statistical analysis. Up to two points can be scored in each category. Therefore, the maximum score was 12 points, indicating high quality.

Statistical analysis

For the analysis of the primary outcome, a fixed-effects model was used, because little heterogeneity was observed. Results of the meta-analyses are presented as pooled proportions with corresponding 95% confidence intervals (CI). Between-study heterogeneity was assessed using I² statistic and the DerSimonian–Laird estimator for τ². Moreover, heterogeneity was analysed by assessing statistical significance based on Cochran’s Q statistic.

Furthermore, because of specific interest in the relationship between time to ECMO and outcome in these patients, a meta-regression analysis was performed. A random intercept meta-regression analysis (binomial-normal model) was used with favourable outcome as outcome. This model is appropriate for meta-regression of probabilities, since it avoids the bias that occurs when a normal-normal model would be used for logit-transformed probabilities [16].

Finally, we considered multiple follow-up moments for our primary and secondary outcome. Therefore, a sensitivity analysis was performed for the studies that used the most frequently reported follow-up moment (i.e. at discharge).

All data was extracted into Microsoft Excel and then analysed in R (R Core Team (2013). R: A language and environment for statistical computing. R Foundation for Statistical Computing, Vienna, Austria.). The packages used for the analysis were ‘meta’ and ‘metafor’, of which we used the ‘metaprop’, ‘forest’, and ‘rma.glmm’ functions.

Results

Included articles

Our search yielded 1215 results. Subsequently 1130 articles were excluded by screening of title and abstract (2 because of a language different than Dutch, English, French or German). Full text screening resulted in inclusion of 28 articles, of which 9 did not reported characteristics and outcome of the IHCA cohort separately. For these articles, authors were contacted to provide this data for the IHCA cohort. None replied after multiple attempts, therefore these studies were excluded. Finally, 19 articles were included [17,18,27–35,19–26] (Fig 1).

The sample size ranged between 10 and 200 patients. The mean age ranged between 18 and 86. All studies were observational studies, of which 10 (53%) were retrospective (table 1). All studies mentioned contra-indications. The most frequently reported contra-indications were CPR duration (58%), advanced age (58%), terminal cancer (84%), previous severe or irreversible brain damage (63%), and uncontrollable bleeding (63%). These have been summarized in table 2.

Fifteen (79%) of the included studies had a score of ≥9 (out of 12) in the Hayden method for quality assessment (table 3). Thirteen studies (68%) did not sufficiently adjusted for confounding bias, while 18 studies (95%) reported important prognostic characteristics. Overall, high quality was observed for study participation (13 studies, 68%, received maximum scores), study attrition (14 studies, 74%, received maximum scores), outcome measurement (14 studies, 74%, received maximum scores), and analysis (17 studies, 89%, received maximum scores).

None of the included articles expressed neurological outcome in GOS. Six studies showed that all survivors were classified as CPC 1-2 (17,18,24,26,30,34). The largest study reported 52 patients with CPC 1-2 (84%) versus 10 patients with CPC 3-4 (16%)(20). There was variation in the timing of assessment of outcome: 15 studies (79%) reported CPC and mortality at discharge, 2 (11%) studies reported CPC and mortality at 6 months, 1 (5%) study reported CPC and mortality at 4-6 weeks after discharge, and 1 (5%) study reported CPC and mortality at discharge from ICU.

Meta-analysis

The average post-discharge survival rate (i.e. discharge until 6 months) was 30% (95% CI: 28–33%). Heterogeneity was low: I² = 0%, p = 0.24. At the same follow-up moment in these survivors, the pooled proportion of favourable outcome was 84% (95% CI: 80-88%). The heterogeneity was again low: I² = 24%, p = 0.90. (Fig 2 and Fig 3).

As previously described, there was a variation in timing of assessment of outcome. In the 15 studies (79%) which reported survival to discharge, the pooled survival rate was 30% (95% CI: 0.27–0.34%), with low heterogeneity (I² = 0%, p=0.15). In these survivors, the pooled proportion of favourable neurological outcome was 83% (95% CI: 78–87%), with again low heterogeneity (I² = 0%, p = 0.93).

Meta-regression
A total of 16 studies (84%) reported an average time to ECMO (time to cannulation/time to start ECMO), and the reported range was large (31 - 60 minutes). However, the OR per 10 minutes for favourable outcome was 1.29 (95% CI: 0.73 – 2.29): favourable outcome was not explained by the average time to ECMO per study.

Discussion

Our primary goal was to provide a comprehensive overview of current literature pertaining to neurological outcome after ECPR for in-hospital cardiac arrest. In post-discharge survivors, we found a high proportion of patients with a CPC 1-2 (84% [95% CI: 80-88%]), which is lower than described for 1-year survivors CCPR (92% [95% PI: 82 – 97%] [2]). Post-discharge survival was higher than reported for the general IHCA populations (30% [95% CI: 28-33%] versus 17% [95% PI: 13 – 23%] [2,36]). We found little heterogeneity in outcome between studies.

Although neurological outcome is good, it remains inconclusive whether neurological outcome of patients receiving ECPR is better than patients receiving CCPR. We did find a lower percentage of “good” neurological outcome (CPC1-2) than in a systematic review in a conventional CPR population [2]. However, in this review CPC score was a secondary outcome. In this review the proportion outcome assessment was also specifically set for one year, rather than after hospital discharge. A systematic review aimed at comparing ECPR and CCPR suggests that the neurological outcome is better in IHCA patients treated with ECPR compared to CCPR [37]. Due to the observational nature of the studies included in these reviews, the selection of patients for ECPR could still lead to better outcomes for this group. For literature pertaining to OHCA the same caveats apply [38,39].

Comparing this study to the literature suggests that survival of IHCA patients undergoing ECPR is higher than IHCA populations who receive conventional CPR (chest compressions) [1,2]. Our estimate of survival is also comparable the reported survival rate of adult ECPR patients by the ELSO registry [40]. This high survival might be explained by the selection of patients with a high chance of good outcome. The American Heart Association guidelines state that ECPR should be considered in patients for whom the suspected aetiology of the cardiac arrest is potentially reversible during a limited period of mechanical cardiorespiratory support [41]. In contrast, the European Resuscitation Council simply declares that the technique is a potential rescue therapy in patients where standard advanced life support (ALS) measures are not successful [5]. In practice, however, a much broader range of contra-indications are being used: this study found that the primary reported contra-indications were CPR duration, age, severe comorbidities such as terminal cancer or pre-existing neurological impairments, and uncontrolled bleeding. These contra-indications are known to impact prognosis. Excluding these patients from ECPR effectively results in a higher survival compared to patients receiving conventional CCPR. Especially the age criteria are quite stringent, and therefore likely affect the apparent survival [42], given the average age of the CPR population [43]. Moreover, the finding that we found substantially less heterogeneity in survival rates between studies than a systematic review of the CCPR literature [1,2] also supports the hypothesis that this is a selected population. Nevertheless, part of the difference might be explained by the effect of ECPR versus CCPR on outcome [45–47].

On the other hand, ECPR is only indicated in patients with refractory cardiac arrest. Therefore, patients eligible for ECPR have, by indication, a worse prognosis than patients with conventional CPR as a portion of these patients ROSC after a short resuscitation period [44]. As a result, ECPR patients might not be the patient population with the most favourable outcome.

Evidence in the literature suggests that a longer time to ECMO time is associated with lower benefit of ECPR [48–51]. Bartos et al. suggest the association between time to ECMO and survival is explained by the metabolic derangements, which develop during prolonged low-flow time, leading to a worse outcome [52]. In our meta-analysis, this association between time to ECMO and survival is not found. However, most of the studies included in our meta-analysis do find a relationship between time to ECMO and survival, when this was investigated [18,22–27,34]. Possibly, our results can be explained due to an aggregation effect: our results imply that –because the variation in outcome between studies was small– differences in mean calculated time to ECMO do not explain differences in mean survival between studies. Additionally, our results might be explained by the long time to ECMO in the included studies (>30 minutes). Given that the success rate of CPR is very low when the duration is longer than 30 minutes [53,54], it might be more relevant to assess the effect of time to ECMO in when the time to ECMO is shorter. Since the effect of timing of ECPR on outcome impacts implementation, more high-quality evidence is needed.

Certain limitations should be taken into account. First, the time of CPC assessment was not the same for all studies. Most studies only scored CPC at the moment of discharge. This was not clearly defined in all studies. Some studies mentioned CPC scores at 6 months, others report a CPC score at discharge. We did show in a sensitivity analysis with the studies that reported data for the same follow-up moment that the estimates were very similar to the main analysis. However, a standardized and comprehensive assessment of neurologic and functional outcomes in cardiac arrest research is needed [9]. In spite of these differences, we encountered homogenous results, which suggests that the time of outcome assessment did not significantly influence the results: the neurological outcome and survival seem to remain constant at different follow-up times. Second, the included studies had two main shortcomings: they were relatively small (the largest study included 200 patients), and often reported their data non-standardized and non-structured, which complicated the process of data extraction. Remarkably, we observed little heterogeneity between these small studies, which enabled us to perform a fixed-effects meta-analysis. Finally, we were not able to do an individual patient data meta-analysis. Since heterogeneity between studies was found, the effect of prognostic factors on outcome in these patients could not be explored effectively. An individual patient data meta-analysis would enable this [55], and could be of interest for future research.

By showing that treating a selected group of IHCA patients with ECPR can result in a high proportion of good neurological outcome, this study illustrates what next step the field should take. When centers become more experienced, the indications of ECPR will shift towards a less selected, but probably also more fragile patient population: older patients with more comorbidities might be considered eligible for ECPR in the near future. Nevertheless, we should focus on treating these patients while maintaining such a high proportion of favourable neurological outcome.

Conclusion
ECPR as treatment for in-hospital cardiac arrest is associated with a large proportion of patients with good neurological outcome (CPC 1-2). The large proportion of favourable outcome could potentially be explained by the selection of patients for treatment using ECPR. Nevertheless, both conventional and extracorporeal CPR are associated with low survival rates. The survival after ECPR, however, is higher than described in the conventional CPR literature. As indications for ECPR might extend to older or more fragile patient populations in the future, research should focus on increasing survival, while maintaining optimal neurological outcome.

Declarations

Ethics approval and consent to participate
Not applicable

Consent for publication
Not applicable

Availability of data and materials
Not applicable

Competing interests
The authors declare to have no conflict of interest.

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Authors’ contributions
BYG performed and interpreted the analysis, supervised the writing of the manuscript, and revised the manuscript according to comments by the co-authors.

MS was the initiator of the project, supervised the literature search and data extraction, supervised the writing of the manuscript.

MD performed the literature search and data extraction, and wrote the initial version of the manuscript.

PG performed the literature search and data extraction, and wrote the initial version of the manuscript.

DDRM interpreted the results, and helped writing the final manuscript.

RJS and helped writing the final manuscript.

HE interpreted the results, and helped writing the final manuscript.

SEH interpreted the results, and helped writing the final manuscript.

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Figure legends

Fig 1. Flowchart showing the process of inclusion of studies. The search strategy was performed on 20th December 2019.

Fig 2. Forest plot showing the result of the meta-analysis for neurological outcome.

Fig 3. Forest plot showing the result of the meta-analysis for post-discharge survival.

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Supplementary Files Legend
Appendix 1.pdf: Search strategy
Full search strategy, used in all databases.

Appendix 2.pdf: outcome measures
The definition of GOS and CPC scores, and the threshold for what is commonly defined as favourable outcome.

Tables
Table 1: Overview and characteristics of the included studies.

| Authors   | Year and journal of publication | Study timeframe       | Study type | Country | ECPR Age median | Cardiac arrest to ECMO time (range) | Time of CPC assessment* |
|-----------|---------------------------------|-----------------------|------------|---------|-----------------|-------------------------------------|------------------------|
| Avalli et al. | Resuscitation. 2012 | Jan 2006 - Feb 2011 | Retrospective | Italy | 67 (61-73) | 55 (40-70) | Discharge |
| Bednarcyzk et al. | Resuscitation. 2014 | Feb 2008 - Sep 2013 | Retrospective | Canada | 49 ± 21 | 49 ± 21 | Discharge |
| Blumenstein et al. | Eur Heart J Acute Cardiovasc Care. 2016 | Jan 2009 - Jan 2013 | Retrospective | Germany | 72 (55-72.9) | 33.0 (19.0-47.0) | Discharge (30d) |
| Chen et al. | Lancet 2008 | Jan 2004 - Dec 2006 | Prospective | Taiwan | 61.5 (18-74) | 52.8 ± 37.2 | Discharge |
| Dennis et al. | Int J Cardiol. 2017 | 2009 - 2016 | Retrospective | Australia | - | 40 (30-55) | Discharge |
| Eliouze et al. | Artificial Organs 2018 | Jan 2011 - Jan 2015 | Retrospective | France | - | 60 (45-89) | Discharge |
| Fagnoul et al. | Resuscitation. 2013 | Jan 2012 - Jan 2013 | Prospective | Belgium | - | 55 (42-59.5) | Discharge from ICU |
| Jung et al. | Clin Res Cardiol. 2016 | 2002 - 2013 | Retrospective | Germany | 66 (56-78) | - | Discharge (30d) |
| Lazzeri et al. | Acute Cardiac Care 2013 | Jan 2007 - Jan 2012 | Prospective | Italy | 54.8 ± 9 yrs (24-74) | 51.9 ± 24.8 | Discharge |
| Lee et al. | Ann thorac surg. 2016 | Jan 2004 - Dec 2013 | Prospective | S. Korea | - | - | Discharge |
| Lin et al. | Resuscitation. 2010 | 2004 - 2006 | Prospective | Taiwan | 62.3 (21-73) | 40 (16-150) | Discharge |
| Liu et al. | Interac cardiovasc thorac surg. 2011 | Jan 2001 - Aug 2010 | Prospective | Taiwan | 53 (50-69) | - | Discharge |
| Mazzeffi et al. | J thorac cardiovasc surg. 2016 | Jan 2010 - Dec 2015 | Prospective | USA | 57 ± 15 (34-86) | 31 (15-52) | Discharge |
| Peigh et al. | J thorac cardiovasc surg. 2015 | Jun 2010 - Jul 2014 | Prospective | USA | 46 ± 12 | 54 ± 30 | 4-6w after discharge |
| Pozzi et al. | Ann thorac surg. 2019 | Jan 2007 - Dec 2016 | Prospective | France | 46.2 ± 13.5 (18-76) | 46.9 ± 19.0 | Discharge |
| Shin et al. | Int J Cardiol. 2013 | Jan 2003 - Jun 2009 | Retrospective | S. Korea | 59.9 ± 15.3 | 38.8 | 6 months |
| Spangenberg et al | Catheter Cardiovasc Interv. 2016 | Jan 2014 - Oct 2015 | Retrospective | Germany | - | 42.9 ± 28.6 | Discharge |
| Stub et al. | Resuscitation. 2015 | Nov 2010 - Jul 2014 | Prospective | Australia | - | 56 (40-85) | Discharge |
| Wang et al. | Resuscitation. 2014 | Jan 2007 - Aug 2012 | Retrospective | Taiwan | 55.7 ± 15.1*2 | 40 (15-162) | Discharge |

* Neurological outcome and mortality was extracted at this follow-up moment.

Table 2
Table 3
Risk of bias assessment, using the method of Hayden et al. for prognosis studies in systematic reviews.

| Author            | PMID    | Participation | Attrition | Prognostic factors | Outcome | Confounding | Analysis | Total |
|-------------------|---------|---------------|-----------|--------------------|---------|-------------|----------|-------|
| Avalli et al.     | 22056265| +             | ±         | +                  | -       | -           | +        | 9     |
| Bednarczyk et al. | 25449345| +             | +         | +                  | -       | ±           | +        | 9     |
| Blumenstein et al.| 26503919| +             | +         | +                  | -       | ±           | +        | 11    |
| Chen Y.S.         | 18603291| +             | ±         | +                  | +       | ±           | +        | 11    |
| Dennis et al.     | 27986281| +             | +         | +                  | -       | +           | -        | 10    |
| Ellouze O         | 28877346| +             | +         | +                  | -       | ±           | +        | 10    |
| Fagnoul et al.    | 23816899| ±             | +         | +                  | -       | +           | +        | 9     |
| Jung et al.       | 26303097| ±             | +         | +                  | -       | +           | -        | 9     |
| Lazzeri et al.    | 23915221| ±             | ±         | +                  | -       | +           | +        | 8     |
| Lee et al.        | 26431921| ±             | +         | +                  | -       | ±           | +        | 8     |
| Liu et al.        | 21172947| ±             | +         | +                  | -       | ±           | -        | 8     |
| Mazzei et al.     | 27422361| ±             | +         | -                  | +       | ±           | +        | 12    |
| Lin et al.        | 20413202| +             | +         | +                  | +       | +           | +        | 12    |
| Peigh et al.      | 26383007| +             | +         | +                  | ±       | ±           | ±        | 9     |
| Pozzi et al.      | 30365965| +             | +         | +                  | -       | +           | -        | 10    |
| Shin et al.       | 23664696| +             | +         | +                  | +       | +           | +        | 12    |
| Spangenberg et al.| 27315227| +             | ±         | ±                  | ±       | ±           | +        | 8     |
| Stub et al.       | 25281189| ±             | +         | ±                  | -       | ±           | +        | 9     |
| Wang et al.       | 24992872| +             | ±         | ±                  | ±       | ±           | +        | 10    |

Hayden scoring system. + is 2 points, ± is 1 point and - is 0 points

- Study participation comprises whether the study correctly defines and describes the study population
- Study attrition comprises whether the study was able to obtain a complete follow up
- Prognostic factor management comprises whether the study reports the most important prognostic characteristics, as described in the characteristics table (e.g. Utstein-style reporting)
- Outcome measurement comprises whether the neurological outcome was measured in a confidential manner
- Confounding measurement and account comprises whether the authors explored what influenced neurological outcome/survival rate
- Analysis comprises whether the study reports a correct methodology of statistical analysis (2)
Figure 1

Flowchart showing the process of inclusion of studies. The search strategy was performed on 20th December 2019.
Figure 2

Forest plot showing the result of the meta-analysis for neurological outcome.
### Figure 3

Forest plot showing the result of the meta-analysis for post-discharge survival.

### Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- Appendix2.pdf
- Appendix1.pdf
- PRISMA2009checklist.doc