Peroral Endoscopic Myotomy for Esophageal Achalasia in Portugal: Outcomes of the First Prospective Series

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Abstract

Background: Peroral endoscopic myotomy (POEM) is an innovative achalasia treatment procedure that involves myotomy of the lower esophageal sphincter through a submucosal tunneling approach, combining the efficacy of surgical myotomy with the benefit of being a less invasive treatment. At this time, no data are available of POEM in Portugal. This study aimed to examine the safety and short-term outcomes of POEM in a Portuguese center.

Methods: Fifty POEM were performed on 49 consecutive patients at our institution between January 2017 and January 2020. A prospective study of a consecutive series of patients was conducted, including procedure time, myotomy location and length, adverse events and clinical success. An Eckardt score of ≤3 after POEM was deemed as a successful outcome. Gastroesophageal reflux disease (GERD) was evaluated based on symptoms and on upper endoscopy, which was performed at 3–6 months postoperatively to check for reflux esophagitis.

Results: POEM was successfully completed in all cases: 70% (n = 35) were naïve and 30% (n = 15) had previous treatments. The mean procedure time was 73.4 ± 22.6 min (range 45–125 min). There were no major adverse events. Minor adverse events were rare (8%), and there was no perioperative mortality. The Eckardt score significantly decreased from 6.9 ± 2.4 preoperatively to 0.5 ± 1.0 postoperatively (p < 0.05). Overall clinical success was documented in 98, 98 and 95.2% at 1, 3 and 6 months, respectively. These short-term outcomes after POEM were independent of previous treatments. Symptomatic GERD was seen in 22.4% of patients.

Conclusions: Our results confirm the safety and excellent short-term efficacy of POEM in a Portuguese center. This supports POEM as one of the first-line achalasia therapies in Portugal when performed by experienced operators.
Achalasia is an esophageal motility disorder that results from progressive degeneration of ganglion cells in the myenteric plexus of the esophagus, resulting in impaired lower esophageal sphincter (LES) relaxation accompanied by a loss of peristalsis of the esophageal body [1–3]. As a result, patients have various related symptoms including dysphagia, regurgitation and chest pain [2, 4]. It is an uncommon disorder, with an estimated annual incidence of approximately 1.6 cases per 100,000 individuals and a prevalence of 10.9 cases per 100,000 individuals [5].

Unfortunately, there are no treatments available that can reverse the degeneration of the myenteric plexus and restore lost esophageal neuron function. Therefore, treatment options aim to relieve symptoms by decreasing the resting pressure in the LES to a level at which the sphincter no longer restrains the passage of ingested material. Classically, this can be accomplished by pharmacological reduction in LES pressure (botulinum toxin injection) or by mechanical disruption of the muscular layer of the LES (endoscopic balloon dilation and Heller myotomy surgery) [1]. However, the effectiveness of botulinum toxin injection is temporary, and patients often need to repeat this treatment option [1]. Endoscopic balloon dilation usually involves a “graded dilation” strategy that allows progression to larger balloons if needed, potentially requiring multiple treatment procedures [1, 6, 7]. The long-term therapeutic success rate, defined as a reduction of the Eckardt score to less than or equal to 3 and the absence of the need for retreatment, is 50–85% [6]. Surgical myotomy has long been considered the most definitive treatment for achalasia, although a recent meta-analysis reported mean 5- and 10-year remission rates of 76.1 and 79.6%, respectively [8].

Recent advances in endoscopic techniques and devices have led to the development of a novel endoscopic treatment of achalasia, namely peroral endoscopic myotomy (POEM), which allows myotomy of the distal esophagus and the LES through an endoscopic submucosal tunneling approach [9]. Inoue et al. [9] developed POEM as an alternative therapy for achalasia and reported the first case in 2009. POEM represents an innovative endoscopic procedure that has been established as an effective treatment option for achalasia, being associated with a very low rate of severe adverse events when performed by experienced operators [1, 9–12]. Despite the excellent results published worldwide, data are scarce regarding the use of this technique in Portugal, limited to case reports [13–16]. Therefore, we aimed to evaluate procedure data as well as the short-term outcomes of the first 50 POEM procedures in a Portuguese center.

**Methods**

**Patients**

To assess POEM safety and effectiveness, a prospective study of a consecutive series of patients was conducted, after ethics committee approval. Fifty POEM procedures were performed in 49
consecutive patients with a confirmed diagnosis of achalasia in a Portuguese tertiary referral center, from January 2017 through January 2020. Patients diagnosed with achalasia were included. The inclusion criteria for POEM were a confirmed diagnosis of achalasia by high-resolution manometry, a pretreatment Eckardt score > 3 and patient verbal and written consent after detailed explanation of all treatment options [1]. Patients were excluded if they had portal hypertension, severe chronic obstructive pulmonary disease, thrombocytopenia (<50,000/µL) or coagulopathy (INR > 1.5), patients who could not stop antithrombotic therapy or were unable to undergo general anesthesia and those who declined treatment with POEM. All patients signed a consent form to consent in the study in addition to the consent for the procedure.

Clinical Assessment
Clinical symptoms were assessed using the Eckardt score. Preoperative tests, including endoscopy, high-resolution esophageal manometry and barium swallow were performed in all patients.

POEM Equipment
A high-resolution endoscope (GIF-HQ190, Olympus®) with an outer diameter of 9.9 mm was used with a transparent distal cap attachment (ST Hood, Fujifilm®). We used the Triangle Knife (Olympus®) as a single knife for all steps of the procedure. The coagulating forceps (Coagrasper, Olympus®) was used to coagulate larger vessels prior to dissection and for hemostasis. All procedures were performed with carbon dioxide gas (CO₂) insufila-
Peroral Endoscopic Myotomy for Esophageal Achalasia in Portugal

POEM Procedure
At the time of the POEM procedure, all patients were kept in the supine position, with the endoscopist standing to the left of the patient. The procedures were performed in the operating room under general anesthesia with endotracheal intubation. The procedural time was defined as the time needed from the insertion of the scope until its removal.

All procedures were performed by the same endoscopist (P.B.), with expertise in endoscopic submucosal dissection, after animal training and assisting experts in live cases. The procedures were done according to the technique of Inoue et al., consisting in mainly 4 steps [17]: (1) mucosal incision and tunnel entry; (2) submucosal tunneling; (3) endoscopic myotomy; (4) closure of the mucosal entry. After the creation of a submucosal lift by injecting a colloid with indigo carmin solution in the submucosa (Fig. 1a), a 15–20 mm longitudinal mucosal incision was made to access the submucosal space. The location of the initial mucosal incision was decided such that there were, by the end of the procedure, 2–3 cm of submucosal tunnel segment remaining between the mucosal incision and the proximal side of the myotomy. Depending on the desired length of myotomy and indication for POEM, the mucosal incision was done about 10–12 cm above the gastroesophageal junction except in achalasia type III where we performed a more extensive myotomy. In the cases of anterior myotomy, a submucosal tunnel was created in the 1–to 2-o’clock position and in posterior myotomy at the 5- to 6-o’clock position. Despite some theoretical aspects, comparative studies between both approaches do not show different results either in terms of efficacy, complications or reflux rate [7]. So, by decision and comfort of the endoscopist, we chose the anterior approach as the preferred approach, and used a posterior approach if a long myotomy was needed (e.g., type III achalasia – anecdotal cases of tracheoesophageal fistulas after anterior approach), in the case of previous Heller myotomy or POEM with anterior approach (to avoid submucosal fibrosis) and in the cases of sigmoid esophagus with a very large lumen (technically difficult in performing an anterior approach by angulation of the device).

After the mucosal incision, the endoscope with a distal cap was then advanced into the submucosal layer and a submucosal tunnel was created by careful submucosal dissection near the muscularis propria (Fig. 1b), and this was extended across the LES and into the gastric side for approximately 2–3 cm. This was confirmed by the typical landmarks at the gastroesophageal junction and cardia (submucosal space narrowing and then widening, the presence of penetrating vessels in the gastric side and by the bluish discoloration seen in the cardia on retrolflexion (Fig. 1c) [17–19]. Afterwards, a proximal-to-distal partial full-thickness myotomy was performed (selective myotomy of circular fibers in the esophagus and full-thickness myotomy in the cardia and gastric side) (Fig. 1d–e). After completing the myotomy, antibiotic irrigation of the submucosal tunnel was performed with gentamycin. The scope was then withdrawn, and the mucosal incision was closed using hemostatic clips (Fig. 1f).

POEM In-Hospital Schedule and Surveillance Schedule
Patients were told to use a liquid diet on the 2 days before the procedure. They were admitted on the day of the procedure and were placed on nil per os. On the day after POEM, a barium esophagogram was performed to exclude esophageal leakage, after which a liquid diet was allowed. On the 2nd day after the procedure patients were typically discharged on a soft diet and were told to gradually restart a regular diet on the first 5–7 days after the procedure.

A prophylactic antibiotic with ceftriaxone was given peri- and postoperatively during the in-hospital stay (2 days). On discharge, patients were prescribed ciprofloxacin and metronidazole for 5 additional days. Additionally, a proton pump inhibitor (PPI) was prescribed for 6–8 weeks postoperatively only after which they were discontinued until postoperative reevaluation. The follow-up visits after the POEM procedure were at 1 week, 1, 3 and 6 months postoperatively and every 6 months afterwards. Achalasia-related symptoms were assessed using the Eckardt score and compared with preoperative data. Gastroesophageal reflux disease (GERD) was assessed based on symptoms and upper endoscopy, which was performed at 3–6 months postoperatively to check for erosive esophagitis.

Definitions
Technical success was defined as complete esophageal-gastric myotomy. Clinical success was defined as an Eckardt score ≤ 3 after POEM. Adverse events were defined as those requiring a specific intervention such as insufflation-related events requiring drainage, bleeding, mucosal injury and those leading to prolonged hospitalization. Major adverse events were defined as hemodynamic instability, intensive care unit stay, re-admission, invasive postoperative procedure, blood transfusion or prolonged (> 5 days) hospitalization [8]. Intraprocedural severe bleeding was defined as a bleeding occurring during the procedure with hemodynamic instability, need of blood transfusion or ineffective endoscopic hemostasis. Intraprocedural minor bleeding was defined as a bleeding occurring during the procedure that takes more than 5 min until endoscopic hemostatic control and without severe bleeding features.

Statistical Analysis
All statistical analyses were conducted using the Statistical Package for the Social Sciences software version 22 (IBM). The data were analyzed by Student’s t test. p values < 0.05 were considered as statistically significant.

Results
Patient Characteristics
Fifty POEM procedures were done on 49 adult patients with achalasia, including 22 men and 27 women (Table 1). The average age was 53.9 ± 16.6 (range 17–79) years. According to the Chicago classification system, esophageal manometry findings were classified as type I (14 patients, 28%), type II (30 patients, 62%) and type III (5 patients, 10%). Twelve patients (24%) had undergone previous balloon dilation, 2 patients (4%) had undergone prior surgical myotomy and 1 patient (2%) had undergone prior POEM.
Results of POEM

POEM was technically successful in all cases. The average total length of endoscopic myotomy was 11.1 ± 3.0 cm (range 6–20 cm), with an average length of the gastric myotomy of 3.2 ± 0.8 cm (range 2–5 cm) (Table 2). In most cases, an anterior myotomy was performed (40 patients, 80%). The mean procedure time was 73.4 ± 22.6 min (range 45–125 min). All the adverse events related to POEM were minor (n = 4, 8%) and were observed in 3 patients: 2 cases of intraprocedural minor

### Table 1. Patient characteristics

|                          | Naïve (n = 35) | Previous procedure (n = 15) | p value |
|--------------------------|----------------|-----------------------------|---------|
| Gender (male/female)     | 17/18          | 4/11                        | 0.144   |
| Age, years               | 52.9±17.2      | 56.5±15.6                   | 0.476   |
| Chicago classification   |                |                             | 0.068   |
| Type I                   | 8              | 6                           |         |
| Type II                  | 22             | 9                           |         |
| Type III                 | 5              | 0                           |         |
| Site of myotomy, n (%)   |                |                             |         |
| Anterior                 | 30 (85.7%)     | 10 (66.7%)                  |         |
| Posterior                | 5 (14.3%)      | 5 (33.3%)                   |         |
| Mean length of myotomy ± SD, cm | 11.4±3.3     | 10.5±2.1                    | 0.254   |
| Esophageal myotomy       | 8.22±3.1       | 7.3±1.6                     | 0.159   |
| Gastric myotomy          | 3.1±0.7        | 3.2±0.9                     | 0.822   |
| Mean Eckardt score ± SD  |                |                             |         |
| Preoperative             | 6.9±2.3        | 6.7±2.5                     | 0.813   |
| 1 month postoperative    | 0.3±0.7        | 0.9±1.3                     | 0.124   |
| 3 months postoperative   | 0.5±0.9        | 0.5±0.9                     | 0.903   |
| 6 months postoperative   | 0.8±1.3        | 0.9±1.1                     | 0.920   |
| Clinical success, n/total (%) | 35/35 (100) | 14/15 (93.3) | 0.124 |
| 1 month after POEM       | 34/34 (100)    | 14/15 (93.3)                |         |
| 6 months after POEM      | 27/28 (96.4)   | 13/14 (92.9)                |         |
| Mean procedure time ± SD, min | 74.2±22.7 | 73.1±20.3                   | 0.891   |
| Adverse events, n (%)    | 3 (8.6)        | 1 (6.6)                     |         |
| Mean postoperative stay ± SD, days | 2.1±0.2 | 2.1±0.5 | 0.591 |

SD, standard deviation.
bleeding during the myotomy due to a perforating artery on the gastric side, both controlled endoscopically with coagulating forceps; during the hemostasis, one of these patients had clinically evident capnoperitoneum treated successfully with abdominal puncture by a long 16-gauge needle with release of abdominal pressure; one case of dorsalgia occurred after the procedure, in relation to the body’s position during the procedure, and was successfully managed conservatively. Additionally, there were no cases of perioperative mortality. The median hospital stay length was 2 days (range 2–4 days) after POEM.

The Eckardt score significantly decreased from 6.9 ± 2.4 preoperatively to 0.5 ± 1.0, 0.63 ± 1.02 and 0.62 ± 0.99 at 1, 3 and 6 months postoperatively, respectively (p < 0.05). Overall clinical success was documented in 98, 98 and 95.2% at 1, 3 and 6 months after the procedure, respectively. Only 1 patient did not achieve clinical success immediately after POEM. In this case the patient underwent a repeat POEM, via an alternative route, with clinical success. One patient was lost to follow-up 3 months after the procedure due to psychiatric disorder. Until now, 42 patients were reevaluated at 6 months postoperatively, and overall clinical success was documented in 95.2% (n = 40). Two patients showed slight relapse of the symptoms 6 months after POEM (both with an Eckardt score 4), however showing clinical improvement when compared to pre-procedure symptoms (pre-procedure Eckardt score of 11 and 9). In subgroup analysis, excellent POEM outcomes were seen regardless of previous treatment (Table 3). In naïve patients, clinical success was documented in 100, 100 and 96.4% at 1, 3 and 6 months, respectively. In previously treated patients, clinical success was documented in 93.3, 93.3 and 92.9% at 1, 3 and 6 months, respectively.

After a mean follow-up time of 13 ± 9 months, the rates of GERD and PPI use were 42% (n = 21) and 40.8% (n = 20), respectively. Symptomatic GERD was seen in 22% of patients (n = 11), and 63.6% of those patients (n = 7) had no evidence of reflux esophagitis. Of the 43 patients with follow-up endoscopy, reflux esophagitis was seen in 32.5% (n = 14) and 85.7% were classified as grade A reflux esophagitis according to the Los Angeles Classification (12 grade A; 2 grade C; Table 4). Of those with reflux esophagitis, 71.4% (n = 10) had no symptoms suggestive of GERD. Symptomatic GERD significantly improved in 81.8% of patients (n = 9) with oral PPI administration. None of the patients required antireflux surgery during follow-up.

**Table 4. Evaluation of gastroesophageal reflux disease (GERD) after the procedure**

| Symptomatic GERD, n/total (%) | 11/49 (22.4) |
|------------------------------|--------------|
| Erosive esophagitis, n/patients with follow-up endoscopy (%) | 14/43 (32.6) |
| LA classification | |
| Grade A | 12 (27.9) |
| Grade B | 0 (0) |
| Grade C | 2 (4.6) |
| Grade D | 0 (0) |

LA, Los Angeles classification.

**Discussion**

POEM is a novel high-risk and complex endoscopic procedure that requires advanced skills in gastrointestinal endoscopy. Accordingly, it is advisable to have experience in endoscopic submucosal dissection before embarking in performing POEM [20]. POEM is considered as a valid primary treatment for type I and II achalasia (as an alternative to endoscopic balloon dilation and surgical myotomy) and the decision between these treatment options should depend on local expertise and patient preference [1]. For type III achalasia, POEM has been proposed as the preferred treatment since it allows for a longer myotomy that is generally not possible with a surgical approach, allowing for a more effective symptomatic control caused by the esophageal spasm [1, 5].

In a retrospective study comparing POEM with laparoscopic surgical myotomy, POEM was associated with shorter procedural time and mean hospitalization as well as lower blood loss and post-procedure pain with lower analgesic requirements [11]. Another recent study comparing POEM with surgical myotomy demonstrated similar efficacy in relieving dysphagia (83 vs. 82%, respectively) but a lower adverse event rate associated with POEM (2.7 vs. 7.3%, respectively) [4]. As a result, POEM is currently considered a safe and effective treatment option for the management of achalasia due to the excellent overall efficacy when compared to laparoscopic surgical myotomy [1, 4, 11].

In our cohort, the 1-month, 3-month and 6-month overall success rate was 98, 97.9 and 95.2%, respectively. Only 1 patient had to undergo another POEM procedure due to absence of clinical response. Shiwaku et al. [21] had previously reported excellent short-term outcomes after...
POEM regardless of previous treatment. In order to determine the effect of the previous therapeutic history on POEM outcomes in our first case series, subgroup analysis was performed showing that improvements in Eckardt scores were independent of previous treatments (Table 3). Furthermore, there were no statistically significant differences in the length of myotomy and procedure time. The posterior myotomy rate was higher in patients with a prior treatment history (Table 3). Indeed, this was expected as posterior myotomy was performed during rescue POEM on the opposite side of previous surgical myotomy to avoid the fibrotic area [22, 23]. Thus, this subgroup analysis revealed POEM as a safe and effective approach for achalasia regardless of previous treatment.

Overall, POEM appears to be a safe procedure, since it is associated with a low risk of adverse events and most of these can be managed conservatively or with endoscopic interventions [1, 10]. The rate of significant adverse events associated with POEM ranges from 2 to 4% [1, 17]. A recent study reported a 3.3% major adverse event rate associated with POEM, defined as hemodynamic instability, intensive care unit stay, readmission, invasive postoperative procedure, blood transfusion or prolonged (>5 days) hospitalization [10]. Accordingly, there were no major adverse events in our series. All the adverse events were minor and were managed either endoscopically or conservatively (Table 2). The percentage of patients who had minor adverse events were similar in naïve and previously treated patients, although definitions of minor adverse events vary in the literature (Table 3). Our cohort was not powered to assess differences in adverse events due to the absence of major adverse events coupled with a low rate of minor adverse events.

GERD has been the major drawback with POEM, especially because no antireflux mechanism is added during the procedure. In this study, symptomatic GERD was seen in 22.4% of patients, in line with recent reports [1, 4, 18, 21]. However, the rate of PPI use was higher (40.8%). This is likely due to the number of patients with confirmed erosive esophagitis on upper endoscopy without symptomatic GERD. Despite this, erosive esophagitis and symptoms were easily controlled with PPI.

Our study confirms that POEM is an effective and safe procedure for achalasia with good short-term clinical outcomes. However, in order to guarantee safety and efficacy, we emphasize that it should be performed by experienced endoscopists after proper training in centers with a medium-to-high volume of cases. Regarding the application of the POEM procedure in esophageal motility disorders, and despite excellent results in the worldwide literature, no data have been published in Portugal until now. Since we are a referral center for POEM procedures in Portugal, we believe this prospective evaluation is essential to constantly audit our own performance and improve the technique, focusing on maintaining procedure safety and efficacy. To the best of our knowledge, this is the first case series of POEM in this country.

Regarding limitations, we highlight the fact that it was a single-center study performed by only one endoscopist, as well as the absence of long-term outcome evaluation. In conclusion, our results confirm the excellent short-term efficacy, as well as its safety, supporting POEM as one of the first-line achalasia therapies, irrespective of previous treatments performed. Further studies on long-term outcomes of POEM are eagerly awaited.

Statement of Ethics

All patients signed a consent form to enter the study (in addition to the consent for the procedure). The study was approved by the Ethics Committee of the Centro Hospitalar Lisboa Ocidental, EPE, in 2015.

Conflict of Interest Statement

The authors have no conflicts of interest to declare.

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Author Contributions

R.M., C.F., C.O., J.C., I.C. contributed to the acquisition, analysis and interpretation of data and drafting of the manuscript. P.B. and J.R. contributed to the conception and design of the work, drafting and critical revision of the manuscript for important intellectual content. C.C. contributed to the conception of this study, design and final approval of this version to be published.

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Peroral Endoscopic Myotomy for Esophageal Achalasia in Portugal

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