Predicting Automatic Speech Recognition Performance Using Prosodic Cues

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Abstract
In spoken dialogue systems, it is important for a system to know how likely a speech recognition hypothesis is to be correct, so it can reprompt for fresh input, or, in cases where many errors have occurred, change its interaction strategy or switch the caller to a human attendant. We have discovered prosodic features which more accurately predict when a recognition hypothesis contains a word error than the acoustic confidence score thresholds traditionally used in automatic speech recognition. We present analytic results indicating that there are significant prosodic differences between correctly and incorrectly recognized turns in the TOOT train information corpus. We then present machine learning results showing how the use of prosodic features to automatically predict correct versus incorrectly recognized turns improves over the use of acoustic confidence scores alone.

1 Introduction
One of the central tasks of the dialogue manager in most current spoken dialogue systems (SDSs) is error handling. The automatic speech recognition (ASR) component of such systems is prone to error, especially when the system has to operate in noisy conditions or when the domain of the system is large. Given that it is impossible to fully prevent ASR errors, it is important for a system to know how likely a speech recognition hypothesis is to be correct, so it can take appropriate action, since users have considerable difficulty correcting incorrect information that is presented by the system as true (Krahmer et al., 1999). Such action may include verifying the user’s input, reprompting for fresh input, or, in cases where many errors have occurred, changing the interaction strategy or switching the caller to a human attendant (Smith, 1998; Litman et al., 1999; Langkilde et al., 1998). Traditionally, the decision to reject a recognition hypothesis is based on acoustic confidence score thresholds, which provide a reliability measure on the hypothesis and are set in the application (Zeljkovic, 1996). However, this process often fails, as there is no simple one-to-one mapping between low confidence scores and incorrect recognitions, and the setting of a rejection threshold is a matter of trial and error (Bouwman et al., 1999). Also, some incorrect recognitions do not necessarily lead to misunderstandings at a conceptual level (e.g. “a.m.” recognized as “in the morning”).

The current paper looks at prosody as one possible predictor of ASR performance. ASR performance is known to vary based upon speaking style (Weintraub et al., 1996), speaker gender and age, native versus non-native speaker status, and, in general, the deviation of new speech from the training data. Some of this variation is linked to prosody, as prosodic differences have been found to characterize differences in speaking style (Blaauw, 1992) and idiosyncratic differences (Kraayeveld, 1997). Several other studies (Wade et al., 1992; Oviatt et al., 1996; Swerts and Ostendorf, 1997; Levow, 1998; Bell and Gustafson, 1999) report that hyperarticulated speech, characterized by careful enunciation, slowed speaking rate, and increase in pitch and loudness, often occurs when users in human-machine interactions try to correct system errors. Still others have shown that such speech also decreases recognition performance (Soltau and Waibel, 1998). Prosodic features have also been shown to be effective in ranking recognition hypotheses, as a post-processing filter to score ASR hypotheses (Hirschberg, 1991; Veilleux, 1994; Hirose, 1997).

In this paper we present results of empirical studies testing the hypothesis that prosodic features provide an important clue to ASR performance. We first present results comparing prosodic analyses of correctly and incorrectly recognized speaker turns in TOOT, an experimental SDS for obtaining train information over the phone. We then describe machine learning experiments based on these results that explore the predictive power of prosodic features alone and in combination with other automatically available information, including ASR confidence scores and recognized string. Our results indicate that there are significant prosodic differences between correctly and incorrectly recognized utterances. These differences can in fact be used to pre-
dict whether an utterance has been misrecognized, with a high degree of accuracy.

2 The TOOT Corpus

Our corpus consists of a set of dialogues between humans and TOOT, an SDS for accessing train schedules from the web via telephone, which was collected to study both variations in SDS strategy and user-adapted interaction (Litman and Pan, 1999). TOOT is implemented on a platform combining ASR, text-to-speech, a phone interface, a finite-state dialogue manager, and application functions (Kamm et al., 1997). The speech recognizer is a speaker-independent hidden Markov model system with context-dependent phone models for telephone speech and constrained grammars for each dialogue state. Confidence scores for recognition were available only at the turn, not the word, level (Zeljkovic, 1996). An example TOOT dialogue is shown in Figure 1.

Subjects performed four tasks with one of several versions of TOOT, that differed in terms of locus of initiative (system, user, or mixed), confirmation strategy (explicit, implicit, or none), and whether these conditions could be changed by the user during the task. Subjects were 39 students, 20 native speakers of standard American English and 19 non-native speakers; 16 subjects were female and 23 male. Dialogues were recorded and system and user behavior logged automatically. The concept accuracy (CA) of each turn was manually labeled by one of the experimenters. If the ASR output correctly captured all the task-related information in the turn (e.g. time, departure and arrival cities), the turn was given a CA score of 1 (a semantically correct recognition). Otherwise, the CA score reflected the percentage of correctly recognized task information in the turn. The dialogues were also transcribed by hand and these transcriptions automatically compared to the ASR recognized string to produce a word error rate (WER) for each turn. Note that a concept can be correctly recognized even though all words are not, so the CA metric does not penalize for errors that are unimportant to overall utterance interpretation.

For the study described below, we examined 1994 user turns from 152 dialogues in this corpus. The speech recognizer was able to generate a recognized string and an associated acoustic confidence score per turn for 1975 of these turns. 1 1410 of these 1975 turns had a CA score of 1 (for an overall conceptual accuracy score of 71%) and 961 had a WER of 0 (for an overall transcription accuracy score of 49%, with a mean WER per turn of 47%).

3 Distinguishing Correct from Incorrect Recognitions

We first looked for distinguishing prosodic characteristics of misrecognitions, defining misrecognitions in two ways: a) as turns with WER>0; and b) as turns with CA<1. As noted in Section 1, previous studies have speculated that hyperarticulated speech (slower and louder speech which contains wider pitch excursions) may be associated with recognition failure. So, we examined the following features for each user turn:

- maximum and mean fundamental frequency values (F0 Max, F0 Mean)
- maximum and mean energy values (RMS Max, RMS Mean)
- total duration
- length of pause preceding the turn (Prior Pause)
- speaking rate (Tempo)
- amount of silence within the turn (% Silence)

F0 and RMS values, representing measures of pitch excursion and loudness, were calculated from the output of Entropic Research Laboratory's pitch tracker, get_f0, with no post-correction. Timing variation was represented by four features. Duration within and length of pause between turns was computed from the temporal labels associated with each turn's beginning and end. Speaking rate was approximated in terms of syllables in the recognized string per second, while % Silence was defined as the percentage of zero frames in the turn, i.e., roughly the percentage of time within the turn that the speaker was silent. These features were chosen based upon previous findings (see Section 1) and observations from our data.

To ensure that our results were speaker independent, we calculated mean values for each speaker’s recognized turns and their misrecognized turns for every feature. Then, for each feature, we created vectors of speaker means for recognized and misrecognized turns and performed paired t-tests on the vectors. For example, for the feature “F0 max”, we calculated mean maxima for misrecognized turns and for correctly recognized turns for each of our thirty-nine speakers. We then performed a paired t-test on these thirty-nine pairs of means to derive speaker-independent results for differences in F0 maxima between correct and incorrect recognitions.

Tables 1 and 2 show results of these comparisons when we calculate misrecognition in terms of

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1For the remaining turns, ASR output “no speech” (and TOOT played a timeout message) or “garbage” (TOOT played a rejection message).

2While the features were automatically computed, turn beginnings and endings were hand segmented in dialogue-level speech files, as the turn-level files created by TOOT were not available.
Hi, this is AT&T Amtrak schedule system. This is TOOT. How may I help you?

I want the trains from New York City to Washington DC on Monday at 9:30 in the evening.

Do you want me to find the trains from New York City to Washington DC on Monday approximately at 9:30 in the evening now?

Yes.

I am going to get the train schedule for you ...

Table 1: Comparison of Misrecognized (WER>0) vs. Recognized Turns by Prosodic Feature Across Speakers.

| Feature      | T-stat | Mean Misrec'd - Rec'd | P         |
|--------------|--------|------------------------|-----------|
| *F0 Max      | 7.83   | 30.31 Hz               | 0         |
| *F0 Mean     | 3.66   | 4.12 Hz                | 0         |
| *RMS Max     | 5.70   | 235.93 Hz              | 0         |
| RMS Mean     | -5.75  | -8.50 Hz               | .57       |
| *Duration    | 10.30  | 2.20 sec               | 0         |
| *Prior Pause | 5.55   | .35 sec                | 0         |
| Tempo        | -0.05  | .15 sps                | .13       |
| *% Silence   | -5.15  | -.06%                  | 0         |

*significant at a 95% confidence level (p< .05)

Table 2: Comparison of Misrecognized (CA<1) vs. Recognized Turns by Prosodic Feature Across Speakers.

| Feature      | T-stat | Mean Misrec'd - Rec'd | P         |
|--------------|--------|------------------------|-----------|
| *F0 Max      | 5.60   | 29.64 Hz               | 0         |
| F0 Mean      | 1.70   | 2.10 Hz                | .10       |
| *RMS Max     | 2.86   | 173.87 Hz              | .007      |
| RMS Mean     | -1.85  | -27.75 Hz              | .07       |
| *Duration    | 9.80   | 2.15 sec               | 0         |
| *Prior Pause | 4.05   | .38 sec                | 0         |
| Tempo        | -4.21  | -.58 sps               | 0         |
| *% Silence   | -1.42  | -.02%                  | .16       |

*significant at a 95% confidence level (p< .05)

WER>0 and CA<1, respectively. These results indicate that misrecognized turns do differ from correctly recognized ones in terms of prosodic features, although the features on which they differ vary slightly, depending upon the way “misrecognition” is defined. Whether defined by WER or CA, misrecognized turns exhibit significantly higher F0 and RMS maxima, longer durations, and longer preceding pauses than correctly recognized speaker turns. For a traditional WER definition of misrecognition, misrecognitions are slightly higher in mean F0 and contain a lower percentage of internal silence. For a CA definition, on the other hand, tempo is a significant factor, with misrecognitions spoken at a faster rate than correct recognitions — contrary to our hypothesis about the role of hyperarticulation in recognition error.

While the comparisons in Tables 1 and 2 were made on the means of raw values for all prosodic features, little difference is found when values are normalized by value of first or preceding turn, or by converting to z scores. From this similarity between the performance of raw and normalized values, it would seem to be relative differences in speakers’ prosodic values, not deviation from some ‘acceptable’ range, that distinguishes recognition failures from successful recognitions. A given speaker’s turns that are higher in pitch or loudness, or that are longer, or that follow longer pauses, are less likely to be recognized correctly than that same speaker’s turns that are lower in pitch or loudness, shorter, and follow shorter pauses — however correct recognition is defined.

It is interesting to note that the features we found to be significant indicators of failed recognitions (F0 excursion, loudness, long prior pause, and longer duration) are all features previously associated with hyperarticulated speech. Since prior research has suggested that speakers may respond to failed recognition attempts by hyperarticulating, which itself may lead to more recognition failures, had we in fact simply identified a means of characterizing and identifying hyperarticulated speech prosodically?

Since we had independently labeled all speaker turns for evidence of hyperarticulation (two of the authors labeled each turn as “not hyperarticulated”, “some hyperarticulation in the turn”, and “hyperarticulated”, following Wade et al. (1992)), we were able to test this possibility. We excluded any turn either labeler had labeled as partially or fully hyperarticulated, and again performed paired t-tests on mean values of misrecognized versus recognized turns for each speaker. Results show that for both WER-defined and CA-defined misrecognitions, not only are the same features significant differentiators when hyperarticulated turns are excluded from the analysis, but in addition, tempo also is significant for WER-defined misrecognition. So, our findings...
for the prosodic characteristics of recognized and of misrecognized turns hold even when perceptibly hyperarticulated turns are excluded from the corpus.

4 Predicting Misrecognitions Using Machine Learning

Given the prosodic differences between misrecognized and correctly recognized utterances in our corpus, is it possible to predict accurately when a particular utterance will be misrecognized or not? This section describes experiments using the machine learning program RIPPER (Cohen, 1996) to automatically induce prediction models, using prosodic as well as additional features. Like many learning programs, RIPPER takes as input the classes to be learned, a set of feature names and possible values, and training data specifying the class and feature values for each training example. RIPPER outputs a classification model for predicting the class of future examples. The model is learned using a greedy search guided by an information gain metric, and is expressed as an ordered set of if-then rules.

Our predicted classes correspond to correct recognition (T) or not (F). As in Section 3, we examine both WER-defined and CA-defined notions of correct recognition, and represent each user turn as a set of features. The features used in our learning experiments include the raw prosodic features in Tables 1 and 2 (which we will refer to as the feature set “Prosody”), the hyperarticulation score discussed in Section 3, and the following additional potential predictors of misrecognition (described in Section 2):

- ASR grammar
- ASR confidence
- ASR string
- system adaptability
- dialogue strategy
- task number
- subject
- gender
- native speaker

The first three features are derived from the ASR process (the context-dependent grammar used to recognize the turn, the turn-level acoustic confidence score output by the recognizer, and the recognized string). We included these features as a baseline against which to test new methods of predicting misrecognitions, although, currently, we know of no ASR system that includes recognized string in its rejection calculations.\(^4\) TOOT itself used only the first two features to calculate rejections and ask the user to repeat the utterance, whenever the confidence score fell below a pre-defined grammar-specific threshold. The other features represent the experimental conditions under which the data was collected (whether users could adapt TOOT’s dialogue strategies, TOOT’s initial initiative and confirmation strategies, experimental task, speaker’s name and characteristics). We included these features to determine the extent to which particulars of task, subject, or interaction influenced ASR success rates or our ability to predict them; previous work showed that these factors impact TOOT’s performance (Litman and Pan, 1999; Hirschberg et al., 1999). Except for the task, subject, gender, native language, and hyperarticulation scores, all of our features are automatically available.

Table 3 shows the relative performance of a number of the feature sets we examined; results here are for misrecognition defined in terms of WER.\(^5\) A baseline classifier for misrecognition, predicting that ASR is always wrong (the majority class of F), has an error of 48.66%. The best performing feature set includes only the raw prosodic and ASR features and reduces this error to an impressive 6.53% +/- .63%. Note that this performance is not improved by adding manually labeled features or experimental conditions: the feature set corresponding to ALL features yielded the statistically equivalent 6.68% +/- 0.63%.

With respect to the performance of prosodic features, Table 3 shows that using them in conjunction with ASR features (error of 6.53%) significantly outperforms prosodic features alone (error of 12.76%), which, in turn, significantly outperforms any single prosodic feature; duration, with an error of 17.42%, is the best such feature. Although not shown in the table, the unnormalized prosodic features significantly outperform the normalized versions by 7-13%. Recall that prosodic features normalized by first task utterance, by previous utterance, or by \(z\) scores showed little performance difference in the analyses performed in Section 3. This difference may indicate that there are indeed limits on the ranges in features such as F0 and RMS maxima, duration and preceding pause within which recognition performance is optimal. It seems reasonable that extreme deviation from characteristics of the acoustic training material should in fact impact ASR performance, and our experiments may have uncovered, if not the critical variants, at least important acoustic correlates of them. However, it is difficult to com-

\(^4\)Note that, while the entire recognized string is provided to the learning algorithm, RIPPER rules test for the presence of particular words in the string.

\(^5\)The errors and standard errors (SE) result from 25-fold cross-validation on the 1975 turns where ASR yielded a string and confidence. When two errors plus or minus twice the standard error do not overlap, they are statistically significantly different.
Table 3: Estimated Error for Predicting Misrecognized Turns (WER>0).

| Features Used                                      | Error | SE |
|----------------------------------------------------|-------|----|
| Prosody, ASR Confidence, ASR String, ASR Grammar   | 6.53% | .63|
| ALL                                                | 6.68% | .63|
| Prosody, ASR String                                | 7.34% | .75|
| ASR Confidence, ASR String, ASR Grammar            | 9.01% | .70|
| Prosody, ASR Confidence, ASR Grammar                | 10.63%| .88|
| Prosody, ASR Confidence                            | 10.99%| .87|
| Prosody                                            | 12.76%| .79|
| ASR String                                         | 15.24%| 1.11|
| Duration                                           | 17.42%| .88|
| ASR Confidence, ASR Grammar                        | 17.77%| .72|
| ASR Confidence                                     | 22.23%| 1.16|
| ASR Grammar                                        | 26.28%| .84|
| Tempo                                              | 32.76%| 1.03|
| Hyperarticulation                                  | 35.24%| 1.46|
| % Silence                                          | 36.46%| .79|
| Prior Pause                                        | 36.61%| .97|
| F0 Max                                             | 38.73%| .82|
| RMS Max                                            | 42.23%| .96|
| F0 Mean                                            | 46.33%| 1.10|
| RMS Mean                                           | 48.35%| 1.15|
| Majority Baseline                                  | 48.66%|     |

We now address the issue of what prosodic features are contributing to misrecognition identification, relative to the more traditional ASR techniques. Do our prosodic features simply correlate with information already in use by ASR systems (e.g., confidence score, grammar), or at least available to them (e.g., recognized string)? First, the error using ASR confidence score alone (22.23%) is significantly worse than the error when prosodic features are combined with ASR confidence scores (10.99%) — and is also significantly worse than the use of prosodic features alone (12.76%). Similarly, the error using ASR confidence scores and the ASR grammar (17.77%) is significantly worse than prosodic features alone (12.76%). Thus, prosodic features, either alone or in conjunction with traditional ASR features, significantly outperform these traditional features alone for predicting WER-based misrecognitions.

Another interesting finding from our experiments is the predictive power of information available to current ASR systems but not made use of in calculating rejection likelihoods, the identity of the recognized string. This feature is in fact the best performing single feature in predicting our data (15.24%). And, at a 95% confidence level, the error using ASR confidence scores, the recognized string, and grammar (9.01%) matches the performance of our best performing feature set (6.53%). It seems that, at least in our task and for our ASR system, the appearance of particular words in the recognized strings is an extremely useful cue to recognition accuracy. So, even by making use of information currently available from the traditional ASR process, ASR systems could improve their performance on identifying rejections by a considerable margin. A caveat here is that this feature, like grammar state, is unlikely to generalize from task to task or recognizer to recognizer, but these findings suggest that both should be considered as a means of improving rejection performance in stable systems.

The classification model learned from the best performing feature set in Table 3 is shown in Figure 2.6 The first rule RIPPER finds with this feature set is that if the user turn is less than .9 seconds and the recognized string contains the word "yes" (and possibly other words as well), with an acoustic confidence score > -2.6, then predict that the turn will be correctly recognized.7 Note that all of the prosodic fea-

6Rules are presented in order of importance in classifying data. When multiple rules are applicable, RIPPER uses the first rule.

7The confidence scores observed in our data ranged from a high of -0.087662 to a low of -9.884418.
calculation procedures. Minor improvements over traditional ASR rejection confidence scores alone. Thus, for CA-defined misrecognition, our experiments have uncovered only a marginally significant improvement at a 95% confidence level. And the error rate using prosody (18.18%) is now significantly lower than the error obtained using ASR confidence scores alone (13.52%).

Table 4 shows the overall role of the features which predict WER rather than CA; the error rate of the three ASR features combined (11.70%) is significant at a 95% confidence level. And the error rate using ASR confidence scores alone (11.34%) is just significantly different from the use of ASR confidence scores alone. Thus, for CA-defined misrecognition, our experiments have uncovered only minor improvements over traditional ASR rejection calculation procedures.

Figure 2: Ruleset for Predicting Correctly Recognized Turns (WER = 0) from Prosodic and ASR Features.

5 Discussion

A statistical comparison of recognized versus misrecognized utterances indicates that F0 excursion, loudness, longer prior pause, and longer duration are significant prosodic characteristics of both WER and CA-defined failed recognition attempts. Results from a set of machine learning experiments show that prosodic differences can in fact be used to improve the prediction of misrecognitions with a high degree of accuracy (12.76% error) for WER-based misrecognitions and an even higher degree (6.53% error) when combined with information currently available from ASR systems. The use of ASR confidence scores alone had a predicted WER of 22.23%, so the improvement over traditional methods is quite considerable. For CA-defined misrecognitions, the improvement provided by prosodic features is considerably less. One of our future research directions will be to understand this difference.

Another future direction will be to address the issue of just why prosodic features provide such useful indicators of recognition failure. Do the features themselves make recognition difficult, or are they instead indirect correlates of other phenomena not captured in our study? While the negative influence of speaking rate variation on ASR has been reported before (e.g. (Ostendorf et al., 1996)), it is traditionally assumed that ASR is impervious to differences in F0 and RMS; yet, it is known that F0 and RMS variations co-vary to some extent with spectral characteristics (e.g. (Swerts and Veldhuis, 1997; Fant et al., 1995)), so that it is not unlikely that utterances with extreme values for these may differ critically from the training data. Other prosodic features may be more indirect indicators of errors. Longer utterances may simply provide more chance for error than shorter ones, while speakers who pause longer before utterances and take more time making them may also produce more disfluencies than others.

We are currently replicating our experiment on a new domain with a new speech recognizer. We are examining the W99 corpus, which was collected in a
Table 4: Estimated Error for Predicting Misrecognized Turns (CA<1).

| Features Used | Error | SE  |
|---------------|-------|-----|
| Prosody, ASR Confidence, ASR String, ASR Grammar | 10.43% | .63 |
| ALL | 10.68% | .71 |
| Prosody, ASR Confidence, ASR Grammar | 11.24% | .68 |
| Prosody, ASR Confidence | 11.34% | .64 |
| ASR Confidence, ASR String, ASR Grammar | 11.70% | .68 |
| ASR Confidence | 13.52% | .82 |
| ASR Confidence, ASR Grammar | 13.52% | .84 |
| ASR String | 13.62% | .83 |
| Prosody, ASR String | 15.04% | .84 |
| Prosody | 18.18% | .85 |
| Duration | 18.38% | .90 |
| ASR Grammar | 22.73% | .96 |
| Tempo | 24.61% | 1.28 |
| Hyperarticulation | 25.27% | 1.05 |
| F0 Mean | 28.61% | 1.19 |
| F0 Max | 28.76% | .90 |
| RMS Mean | 28.86% | 1.17 |
| % Silence | 28.91% | 1.23 |
| RMS Max | 29.01% | 1.16 |
| Prior Pause | 29.22% | 1.26 |
| Majority Baseline | 28.61% | |

spoken dialogue system that supported registration, checking paper status, and information access for the IEEE Automatic Speech Recognition and Understanding Workshop (ASRU99) (Rahim et al., 1999). This system employed the AT&T WATSON speech recognition technology (Sharp et al., 1997). Preliminary results indicate that our TOOT results do in fact hold up across recognizers. We also are extending our TOOT corpus analysis to include prosodic analyses of turns in which users become aware of misrecognitions and correct them. In addition, we are exploring whether prosodic differences can help explain the "goat" phenomenon — the fact that some voices are recognized much more poorly than others (Doddington et al., 1998; Hirschberg et al., 1999). Our ultimate goal is to provide prosodically-based mechanisms for identifying and reacting to ASR failures in SDS systems.

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