Structure and Chemical Characteristics of Dehydro-L-Ascorbic Acid in Solutions

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L-Ascorbic acid (ASA) plays an important role in food and biological systems as an electron donor, and in the electron-donating process, ASA itself is generally oxidized to dehydro-L-ascorbic acid (DHA). The structure of DHA was reconfirmed to be monohydrated bicyclic structure in an aqueous solution. It was also clarified that DHA had the solvated bicyclic structure in methanol and ethanol solutions, and in these cases, the enantiomers were formed by the solvation of the C2 carbonyl group. When these solvated bicyclic DHA were dissolved in water, the solvent molecule on the solvated C2 carbonyl group was very easily replaced with a water molecule. From the results of MOPAC calculations, the heat of formation of C2 hydrated bicyclic DHA was estimated to be -299.2 kcal/mol, and DHA was clarified to be considerably stabilized by hydration. Furthermore, it was indicated that bicyclic DHA had more compact and less polar structure than ASA.

Keywords: L-ascorbic acid, dehydro-L-ascorbic acid, NMR, MOPAC

In general, L-ascorbic acid (ASA) serves as an electron donor in vivo, for example, in the biosynthesis of collagen (Blanck & Peterkofsky, 1975), metabolism of xenobiotics (Kato et al., 1977), and scavenging free radicals. In these reactions, ASA becomes monodehydro-L-ascorbic acid (MDA) by the one electron oxidation process (Yamazaki et al., 1960), and successively, dehydro-L-ascorbic acid (DHA). DHA which is considered to be mostly produced from MDA by the disproportionation reaction (Weis et al., 1968) can be easily reduced to ASA by the enzyme, dehydroASA reductase, in vivo. Thus, the biological function of DHA is considered to be very similar to that of ASA because of the easy enzymatic reconversion of DHA to ASA. However, there are some distinct differences between the biological behaviors of ASA and those of DHA which are considered to be mainly due to their structural differences. For example, the transport mechanism of DHA into cells through the cell membrane is thought to be quite different from that of ASA, as is observed in the case of erythrocytes (Hornig et al., 1971), neutrophils (Washko, 1993), epithelial cells of the small intestine (Rose et al., 1988b), and other tissues (Evans et al., 1982; DeChatelet et al., 1974). On the other hand, it was recently suggested that DHA would be one of the important precursors of the Maillard reaction, the so-called aminocarbonyl reaction, in vivo (Ortwerth et al., 1992), which has been suggested to be closely related to aging and the development of age-related diseases such as diabetes (Kornig et al., 1977).

Hitherto, the details of the biological function and also the participation mechanism of DHA in the Maillard reaction in vivo have not been fully clarified, because of a lack of basic chemical and structural information about DHA. For example, most of the DHA preparations used in these studies on the elucidation of the biological function of DHA were obtained by the oxidation of ASA with oxygen gas in the presence of active carbon in methanol (MeOH) or ethanol (EtOH) (Ohmori & Takagi, 1978), and these preparations have been known to contain MeOH or EtOH complex whose structure has never been clarified. In this study, in order to clarify these points to some extent, some spectroscopic measurements and also semi-empirical molecular orbital (MO) calculations were made to obtain more detailed information about the structure and chemical characteristics of DHA in solutions.

Materials and Methods

Reagents used in this research were mostly guaranteed grade reagents of Wako Pure Chemical Industries, Co., Ltd. (Tokyo). Some reagents and deuterium-labeled solvents used in 1H-NMR and 13C-NMR measurements were all guaranteed grade reagents of Merck, Inc. 1H-NMR and 13C-NMR spectra were obtained by JEOL GSX 270 and JEOL GX 400 FT NMR spectrometers. FAB-mass spectra were recorded with JEOL JMS-700, using glycerol as a matrix and ionization by FAB with Xe atoms. Semi-empirical molecular orbital calculations were made by MOPAC (version 6.0), a kind of packaged program for the semi-empirical molecular orbital method (Stewart, 1989a, b). The COMTEC-4DRPC (DAIKIN Co., Ltd., Tokyo) computer and MOLGRAPH (DAIKIN Co., Ltd.) were also used to visualize most of the results of the calculations.

Preparation of DHA and its derivatives Preparation of DHA was carried out by the method described in the literature (Ohmori & Takagi, 1978), and DHA was obtained as a pale syrup which was kept in a freezer at -20°C before use. Acetylation of DHA was made as follows: DHA syrup (1.0 g) was completely dried in a vacuum desiccator, and the dried DHA was dissolved in 6 ml pyridine and added to 6 ml acetic anhydride while stirring and cooling in an ice water bath. The reaction mixture was kept in a refrigerator over-
night. To this ice water-cooled reaction mixture was added 20 ml of cold water, and then acetylated DHA was extracted with 30 ml of ether. DHA diacetate was obtained as colorless and transparent crystals by recrystallization from ether, and its structure was confirmed by IR and NMR analyses. Bicyclic ASA derivatives were also prepared using the method described in the literature (Goshima et al., 1973), and the two major products were isolated by preparative TLC and found to be the enantiomers of bicyclic 3-OMe ASA derivatives by NMR analysis.

Results and Discussion

The structure of DHA which was produced immediately after the oxidation of ASA is usually considered to have a tri-carbonyl-γ-lactone ring with an extended glycol side chain. The heat of formation of DHA of this non-hydrated form was calculated to be −223.2 kcal/mol by MOPAC. In aqueous solution, however, the hydration of the C2 carbonyl group which is flanked by two other carbonyl groups seems to occur very easily, as is observed in the case of alloxan-hydrate or ninhydrin-hydrate. Although no direct chemical or spectroscopic evidences has yet been obtained for the existence of the C2 hydrated DHA with an extended side chain in an aqueous solution, the fact that an aqueous solution of freshly prepared DHA shows no strong absorp-

| Compound | C1    | C2    | C3    | C4    | C5    | C6    |
|----------|-------|-------|-------|-------|-------|-------|
| ASA/D$_2$O | 173.8 | 118.0 | 155.6 | 76.3  | 69.0  | 62.2  |
| DHA/D$_2$O | 173.5 | 91.2  | 105.5 | 87.5  | 72.8  | 76.1  |
| DHA/CD$_3$OD | 172.4 | 95.2  | 107.3 | 89.5  | 74.7  | 77.1  |

(chemical shift (δ): ppm)

Fig. 1. Formation of C-2 solvated DHA from ASA in solution. H.F., heat of formation; * asymmetric carbon atom which is responsible for the methanol or ethanol complex of DHA.
tion band in its UV region (above 220 nm) seems to indicate the hydration of the carbonyl group. The heat of formation of C2 hydrated DHA [3] was −291.6 kcal/mol which suggested the hydrated molecule to be considerably stabilized by the solvation.

On the other hand, the existence of C2 hydrated bicyclic DHA [4] is easily detected by NMR. The typical 1H-NMR spectrum of DHA in D2O (δH=4.76, δC=4.36, δH=4.28, δH=4.16 ppm, δH=0.7, δH=5.2, δH=2.7, δH=10.3 Hz) clearly shows a rather simple and characteristic signal pattern due to protons on the hemiketal ring of C2 hydrated bicyclic DHA which was quite identical with the one reported in the literature (Tolbert & Ward, 1982). The heat of formations of this C2 hydrated bicyclic DHA [4] and non-hydrated one [5] were −299.2 and −229.4 kcal/mol, respectively, and some stabilization of the bicyclic structures was observed as compared with that of the corresponding monocyclic ones ([3] and [2]).

However, when the spectrum of DHA prepared in MeOH was observed in CD3OD, it gave a somewhat similar but more complex signal pattern than that of typical bicyclic DHA in D2O. This result suggests that the DHA preparation in MeOH contains two different molecular species with very similar structures. Also, in the presence of H2O, this complex signal pattern was changed to a pattern similar to that of typical bicyclic DHA, which seems to indicate the replacement of the solvated MeOH on the C2 carbonyl group with the H2O molecule. In fact, the spectrum of DHA in D2O showed a singlet due to MeOH, whose signal intensity was confirmed to correspond to three protons. Thus, the liberation of one molecule of MeOH from one molecule of bicyclic DHA was strongly indicated. The possible existence of two similar species is further supported by the results of 13C-NMR analysis which show that all carbon signals are observed in pairs, as shown in Table 1. All these results strongly suggested that the two similar molecular species in DHA preparation observed in its NMR spectrum in CD3OD were enantiomers of C2 solvated bicyclic DHA as illustrated in Fig. 1 [6].

Although it has long been known that the synthesis of DHA in MeOH produces MeOH complexes of DHA, the structure of the complex has never been clarified. However, from our results of NMR analyses, the MeOH complex of DHA was confirmed to be the enantiomers of C2 solvated bicyclic DHA which was further supported by the results of FAB-MS analysis. The FAB-mass spectrum of DHA synthesized in MeOH showed the presence of the DHA adduct with methanol ([M+H]+, m/z 207) as one of the major peaks. Two other peaks m/z 175 ([M+H−MeOH]+) and m/z 189 ([M+H−H2O]+), thought to be derived from the DHA adduct with MeOH (m/z 207), were also observed in rather high relative abundance. In the higher mass region, peaks of the DHA adduct with matrix glycerol (m/z 267), H2O-glycerol (m/z 285) and MeOH-glycerol (m/z 299) were also observed. Furthermore, the 1H-NMR spectrum of acetylated DHA showed two methyl signals (δ=2.19, 2.13 ppm) corresponding to two acetyl groups (data not shown) which indicated that two hydroxy groups (C3-OH and C5-OH) existed in C2 solvated bicyclic DHA molecule. Also, the 1H-NMR spectrum of the bicyclic derivatives of 3-Om ASA showed two singlets (δ=3.42, 3.49 ppm) due to the 3-Om group of two enantiomers, while DHA preparation gave no methyl signals around 3.4 ppm suggesting the location of the MeOH molecule on the C2 carbonyl group of DHA by solvation.

The signal pattern shown in the 1H-NMR spectrum (in CD3OD) of the DHA sample prepared by the oxidation of ASA in absolute EtOH was more complicated than that of C2 MeOH solvated bicyclic DHA (data not shown). This result also suggested the presence of enantiomers of C2 EtOH solvated bicyclic DHA. In the presence of H2O, the complex signal pattern was easily changed to a more simple one which was the same signal pattern as the one in D2O.

All these facts suggested that H2O molecules were able to bind to the C2 carbonyl group more strongly than MeOH and EtOH molecules. This phenomenon was further substantiated by the results of MOPAC calculations which showed that the heat of formation of C2 hydrated bicyclic DHA (−299.2 kcal/mol) was lower than the value of the heat of formation for the corresponding MeOH-solvated bicyclic DHA (ca. −288 to −289 kcal/mol) and that for the ethanol-solvated one (ca. −294 to −295 kcal/mol) as shown in Fig. 1 [6].

To obtain more detailed structural information about the side chain of DHA in aqueous solution, Karplus equation and MOPAC calculation were employed to evaluate some torsion angles of the side chain of DHA as shown in Fig. 2. The calculations using the Karplus equation were based on the spin-spin coupling constant of DHA observed in the

### Table 2. Some physicochemical parameters of ASA and non-hydrated bicyclic DHA calculated by MOPAC.

| Physicochemical parameter | ASA | Non-hydrated bicyclic DHA |
|---------------------------|-----|--------------------------|
| Molecular volume          | 140.8 A³ | 131.3 A³ |
| Molecular length a         | 7.43 A    | 6.25 A |
| Dipole moment              | 3.20 D    | 2.71 D |

aMolecular length was tentatively defined to be equal to the greatest value of interatomic distances.
$^1$H-NMR spectrum taken in D$_2$O. The values of the torsion angle of H5-C5-C6-H6a calculated by the Karplus equation and MOPAC were very similar, while those of H4-C4-C5-H5 were a little different and those of H5-C5-C6-H6b were significantly different. The disagreements in the calculated values of these torsion angles might be ascribed the fact that MOPAC calculations were made on a completely isolated molecule neglecting the possible various solvent effects.

As mentioned earlier in this report, the difference between the transport mechanism of ASA and that of DHA into cells is considered to be basically ascribable to their structural differences. The chemical structure of DHA in aqueous solution has been demonstrated to be the bicyclic structure from the results of $^1$H-NMR and $^{13}$C-NMR analyses as previously described. Therefore, a comparison of the volumes, the lengths and dipole moments of the molecules of ASA and non-hydrated bicyclic DHA obtained by MOPAC calculation was made, as there had been no previous report which described such a comparison on these molecules. Table 2 summarizes the results of the calculations which show that the bicyclic structure of DHA was rather compact and less polar than ASA. Evidently, these differences in their physicochemical characteristics such as dipole moment and molecular size will have some effects on their biological behavior, for example, the transportation mechanism through the biomembrane. Therefore, bicyclic DHA which has more compact and less polar structure than ASA will be able to more easily penetrate into the relatively non-polar hydrophobic region of the membrane than ASA. This may partly explain the difference in the transportation mechanisms of ASA and DHA reported earlier (Rose, 1988a). Further studies are necessary to obtain more precise and conclusive information about the physicochemical behavior of DHA molecules in solution.

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