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Comparison of Lower Extremity Recovery After Anterior Cruciate Ligament Reconstruction With Transphyseal Hamstring Versus Extraphyseal Iliotibial Band Techniques in Skeletally Immature Athletes

Dai Sugimoto,*†‡§ PhD, ATC, LAT, Benton E. Heyworth,†‡§ MD, Sara E. Collins,†‡ ATC, LAT, CPT, CET, Ryan T. Fallon,‡ BS, Mininder S. Kocher,†‡§ MD, MPH, and Lyle J. Micheli,†‡§ MD

Investigation performed at The Micheli Center for Sports Injury Prevention, Boston Children’s Hospital, Waltham, Massachusetts, USA

Background: The influence of graft type on recovery after anterior cruciate ligament reconstruction (ACLR) has not been adequately studied in pediatric patients.

Purpose: To describe lower extremity functional recovery parameters at the 6-month mark after ACLR across 3 distinct groups of skeletally immature patients: pediatric male patients with transphyseal hamstring grafts (PM-HS), pediatric female patients with transphyseal hamstring grafts (PF-HS), and pediatric male patients with extraphyseal iliotibial band grafts (PM-ITB).

Study Design: Cohort study; Level of evidence, 3.

Methods: Thigh circumference, knee range of motion, lower extremity strength, dynamic balance, and hop test performance were assessed in all patients 6 months postoperatively. All participants were <15 years of age with open physes. The limb symmetry index was used to compare deficits between the operated and uninvolved limbs for all 3 groups (PM-HS, PF-HS, and PM-ITB). Analysis of variance with post hoc correction was employed.

Results: A total of 93 pediatric patients who underwent ACLR (PM-HS: n = 21 [mean age, 13.6 ± 1.0 years]; PF-HS: n = 33 [mean age, 13.4 ± 0.7 years]; PM-ITB: n = 39 [mean age, 12.5 ± 1.3 years]) were examined. There was no statistically significant difference in thigh circumference, range of motion, dynamic balance, or hop test performance between the groups. Of the various additional comparisons analyzed, there were statistical differences in hamstring strength deficits among the 3 groups (P = .004). The PM-HS group showed a greater hamstring strength deficit (~32.2% relative to healthy limb) than the PM-ITB group (~5.4% relative to healthy limb) (P = .012). The hamstring strength deficit of the PF-HS group (~18.7% relative to healthy limb) was less than that of the PM-HS group and greater than that of the PM-ITB group but not statistically significant in either case.

Conclusion: Significant hamstring strength deficits were detected in the PM-HS group compared with the PM-ITB group at 6 months following ACLR. Such findings may influence decisions regarding graft selection, timing of return to sports, and postoperative rehabilitation regimens.

Keywords: ACL reconstruction; pediatric ACL; return to sports; transphyseal; extraphyseal; physeal-sparing

Anterior cruciate ligament (ACL) tears can be devastating knee injuries for physically active children. Such injuries are on the rise based on multiple epidemiological reports in the past decade.10,16 One study noted an approximately 9-fold increase in the number of ACL reconstruction (ACLR) procedures being performed on patients younger than 15 years.9 Although new clinical procedures to treat ACL tears may be emerging, such as ACL repair,18,30,47 ACLR remains the established gold standard approach for knee stabilization.6 Conservative/nonsurgical treatment options still exist after an ACL tear. However, several studies have documented a lower risk of secondary meniscus tears,28 greater knee stability,27 and better patient-reported outcomes2 in patients who undergo ACLR compared with those who undergo nonoperative treatment.

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While various ACLR techniques have been described for prepubescent, skeletally immature athletes with significant growth remaining, a method devised by Micheli et al consists of a combined intra-articular/extra-articular extraphyseal, or "physeal-sparing," approach that utilizes a distal tendinous iliotibial band (ITB) autograft. This technique protects the integrity and function of the distal femoral and proximal tibial physis while also providing stability in the knee joint. Recent clinical investigations have reported positive outcomes of the ITB autograft procedure in pediatric patients, including a 3.8% revision rate, favorable patient-reported functional outcome scores (including mean International Knee Documentation Committee and Lysholm scores of 96.5 ± 2.9 and 95.0 ± 6.1, respectively), and no growth disturbances, angular deformities, or limb-length discrepancies at a 3-year postoperative follow-up time point. Patients with open physes and less than 2 years of growth remaining, however, may also be suitable candidates for more traditional transphyseal reconstruction techniques with minor modifications designed to protect physeal function, despite the establishment of transphyseal tunnels. Provided that soft tissue grafts are utilized and fixation implants do not span the physes, such techniques have been shown to provide knee stability without adverse clinical sequelae for a subset of adolescents with open physes. Other authors have even contended that transphyseal techniques are suitable for children of any age, with several small series showing low complication rates.

Despite additional research emerging to support the trend toward ACLR in skeletally immature patients, this important subpopulation demonstrates relatively higher retear rates compared with their adult counterparts. While large-scale clinical trials have shown the prophylactic effectiveness of neuromuscular training in an effort to reduce the occurrence of ACL injuries, especially in the female population, there remains a lack of investigations related to postoperative rehabilitation, functional recovery, and safe timing of return to sports after surgery. Moreover, no studies have compared the characteristics of postoperative recovery between different ACLR techniques in the skeletally immature population. Therefore, the purpose of this study was to describe a variety of lower extremity recovery parameters, including thigh circumference, knee range of motion (ROM), strength, dynamic balance, and functional hop test performance, among 3 distinct groups of skeletally immature patients: pediatric male patients who underwent ACLR with transphyseal hamstring autografts (PM-HS), pediatric female patients with transphyseal hamstring grafts (PF-HS), and pediatric male patients with extraphyseal ITB grafts (PM-ITB).

There were few pediatric female patients who had extraphyseal ITB grafts, so this group was not available.

**METHODS**

**Study Design**

An institutional review board approved this retrospective case-control study (level of evidence, 3). Thigh circumference, knee ROM, strength (quadriceps, hamstring, hip abductor, and hip extensor), dynamic balance, and functional hop test performance were assessed 6 months postoperatively at an injury prevention center as part of the institution’s standard-of-care follow-up protocol for ACLR. The 6-month time point was used to assess postoperative recovery and to determine return-to-play decisions for those who were willing to return to sports activities. A review of each patient’s electronic medical record was conducted to collect demographic information, such as height, weight, and age, as well as clinical information, such as concomitant meniscus tears.

**Participants**

Patients who were skeletally immature and ≤15 years of age at the time of surgery from 2014 to 2017, and who had sustained a complete ACL tear, underwent ACLR, and completed a set of 6-month postoperative assessments were included in this study. Skeletal maturity was evaluated through available diagnostic imaging tools such as radiography and magnetic resonance imaging, and the status of the physis of each patient was checked by an orthopaedic physician (B.E.H.). Exclusion criteria included age (>15 years), previous ipsilateral or contralateral ACL injuries or knee surgery, congenital ACL absence, and multiligamentous knee reconstruction. Based on a priori power analysis with $\alpha = .05$ and $\beta = .80$, a minimum of 20 pediatric patients in each group was established as a requirement for the study.

**ACLR Technique**

ACLR for the PM-HS and PF-HS groups was performed by 1 of 6 different surgeons in a manner previously described. Five of the 6 surgeons perform both transphyseal hamstring and extraphyseal ITB techniques; the algorithmic approach of the surgical procedure selection has been previously summarized. Briefly, the semitendinosus and gracilis tendons were harvested in a routine fashion from a 2- to 3-cm incision just medial to the tibial tubercle. Transphyseal femoral and tibial tunnels were established
with the intra-articular tunnel apertures within the footprints of the femoral and tibial ACL fibers, respectively. Suspensory fixation button-type implants with either a closed or adjustable loop were utilized for femoral graft fixation, while interference screw fixation was utilized for tibial graft fixation but with the screw lengths adjusted to ensure metaphyseal fixation with no crossing of the proximal screw tip across the proximal tibial physis.

ACL for the ITB-ITB group was performed by 1 of 3 different surgeons in a manner also previously described in a separate study (Figure 1).20 The central portion of the ITB, measuring 10 to 14 mm in width and 200 to 250 mm in length, was harvested through a 4-cm lateral knee incision centered over the lateral epicondyle, leaving the distal portion attached to the Gerdy tubercle. An aperture in the posterolateral aspect of the lateral femoral condyle, with the screw lengths adjusted to ensure metaphyseal fixation with no crossing of the proximal screw tip across the proximal tibial physis.

ACL for the PM-ITB group was performed by 1 of 3 different surgeons in a manner also previously described in a separate study (Figure 1).20 The central portion of the ITB, measuring 10 to 14 mm in width and 200 to 250 mm in length, was harvested through a 4-cm lateral knee incision centered over the lateral epicondyle, leaving the distal portion attached to the Gerdy tubercle. An aperture in the posterolateral aspect of the lateral femoral condyle, with the screw lengths adjusted to ensure metaphyseal fixation with no crossing of the proximal screw tip across the proximal tibial physis.

The strength test was performed 2 times per muscle group bilaterally. Intraclass correlation coefficients were obtained to evaluate interrater and intrarater reliability before the study, which indicated the following: interrater reliability of thigh circumference: 97.8% (95% CI, 83.0%–100.0%), knee ROM: 99.2% (95% CI, 90.0%–99.9%), and muscle strength: 96.6% (95% CI, 88.6%–99.3%) and intrarater reliability of tester A: 90.8% (95% CI, 71.6%–97.0%), tester B: 98.9% (95% CI, 96.8%–99.7%), and tester C: 99.5% (95% CI, 98.5%–99.8%).

Dynamic balance was quantified using a commercially available Y-balance assessment system (Functional Movement Systems) as previously described.24 Participants were instructed to stand at the center of the equipment and push a plastic piece in the anterior, posterior, and peroneal directions. This was performed 3 times in each
direction bilaterally (Figure 2). The mean distance of the 3 trials, in each of the 3 directions, was used for analysis.

Four types of functional hop tests were performed, including single hop for distance, triple hop for distance, crossover hop for distance, and 6-m timed hop (Figure 3). In the single hop test, participants hopped with a single leg and were instructed to maintain balance upon landing for several seconds. For the triple hop test, participants hopped 3 times consecutively with a single leg and were asked to maintain balance for several seconds upon landing. In the crossover hop test, participants hopped 3 times over a middle tape measure sequentially (medial, lateral, and medial sequences) using a single leg and were again asked to maintain balance for several seconds upon landing. For the 6-m timed hop test, participants were asked to hop 6 m on a single leg as many times as necessary in one direction to cover 6 m. According to previous studies, a tape measure and stopwatch were used to perform the test. All hop tests were performed twice on each leg, and the mean value was calculated for data analysis. All participants wore their prescribed, custom-fit, postoperative functional knee brace during the hop tests because it simulated the condition at the time when they return to their athletic activities.

Data Analysis

To evaluate the involved limb’s recovery based on the uninjured limb, limb symmetry index (LSI) scores were used. The LSI score is calculated by dividing the performance of the ACLR limb by the performance of the uninjured limb and multiplying by 100, with 100% indicating perfect symmetry between the ACLR and uninjured limbs. This technique has been employed frequently when reporting postoperative recovery status using lower extremity functional tests in previous studies with patients who have undergone ACLR. Deficits were calculated by subtracting 100 from each LSI score. Percentages were used to express both LSI scores and deficits, with negative scores indicating a deficit.
Statistical Analysis

Outcome variables analyzed included thigh circumference, knee ROM, strength (quadriceps, hamstring, hip abductor, and hip extensor), dynamic balance (anterior reach, posteromedial reach, and posterolateral reach), and functional hop test performance (single hop, triple hop, crossover hop, and 6-m timed hop). The independent variables used were the 3 pediatric patient groups: PM-HS, PF-HS, and PM-ITB. One-way analysis of variance was performed to compare differences in outcome variables among the PM-HS, PF-HS, and PM-ITB groups. When statistical significance was indicated, 3 pairwise Bonferroni post hoc comparisons ([1] PM-HS vs PF-HS, [2] PM-HS vs PM-ITB, and [3] PF-HS vs PM-ITB) were performed. To correct inflated P values and to avoid type I errors, statistical significance within the pair comparison was reduced to $P = .017$ ($.017 = .05/3$). SPSS statistical software (version 21; IBM) was used for all analyses.

RESULTS

A total of 93 pediatric patients who underwent ACLR were included (PM-HS: $n = 21$ [mean age, $13.6 \pm 1.0$ years];...
deficits among the 3 groups. Ever, there were statistical differences in hamstring strength or functional hop test performance between the groups. How
differences in thigh circumference, ROM, dynamic balance, and hop test performance are presented in Tables 2 to 5. There were no statistically significant
strength, dynamic balance, and hop test performance are pre-
limbs for thigh circumference, ROM, lower extremity
ACLR to assessments are described in Table 1.

Demographic information (age, height, weight, and body mass index), meniscus injury status (repair vs meniscectomy, trephination, and/or rasping for both the medial and lateral menisci), and time from
ACLR to assessments are described in Table 1.

The mean differences between the uninvolved and ACLR limbs for thigh circumference, ROM, lower extremity strength, dynamic balance, and hop test performance are presented in Tables 2 to 5. There were no statistically significant differences in thigh circumference, ROM, dynamic balance, or functional hop test performance between the groups. However, there were statistical differences in hamstring strength deficits among the 3 groups (P = .004) (Table 6). The PM-HS group showed a greater hamstring strength deficit (−32.2% relative to healthy limb) than the PM-ITB group (−5.4% relative to healthy limb) (P = .012). The hamstring strength deficit of the PF-HS group (−18.7% relative to healthy limb) was less than that of the PM-HS group (−32.2% relative to healthy limb) and greater than that of the PM-ITB group (−5.4% relative to healthy limb) but not statistically significant (P = .173) after Bonferroni correction.

**DISCUSSION**

These data demonstrate greater deficits in hamstring strength in the PM-HS group than in the PM-ITB group.
TABLE 5

Mean Differences in Functional Hop Test Results<sup>a</sup>

|                          | PM-HS (n = 21) | PF-HS (n = 33) | PM-ITB (n = 39) |
|--------------------------|----------------|----------------|----------------|
| Single hop test, m       |                |                |                |
| Uninvolved limb          | 1.34 ± 0.23 (1.22-1.47) | 1.07 ± 0.23 (0.97-1.16) | 1.06 ± 0.21 (0.98-1.15) |
| ACLR limb                | 1.28 ± 0.25 (1.15-1.41) | 0.99 ± 0.23 (0.89-1.08) | 0.95 ± 0.27 (0.84-1.05) |
| Mean difference          | −0.06          | −0.08          | −0.11          |
| Triple hop test, m       |                |                |                |
| Uninvolved limb          | 4.50 ± 0.65 (4.16-4.83) | 3.40 ± 0.72 (3.09-3.71) | 3.50 ± 0.49 (3.30-3.70) |
| ACLR limb                | 4.02 ± 0.91 (3.53-4.50) | 3.19 ± 0.70 (2.89-3.50) | 3.20 ± 0.64 (2.94-3.46) |
| Mean difference          | −0.48          | −0.21          | −0.30          |
| Crossover hop test, m    |                |                |                |
| Uninvolved limb          | 3.73 ± 0.80 (3.27-4.20) | 3.07 ± 0.61 (2.79-3.33) | 2.86 ± 0.60 (2.60-3.12) |
| ACLR limb                | 3.47 ± 0.90 (2.96-3.99) | 2.79 ± 0.65 (2.50-3.08) | 2.57 ± 0.94 (2.15-2.98) |
| Mean difference          | −0.26          | −0.28          | −0.29          |
| 6-m timed hop test, s    |                |                |                |
| Uninvolved limb          | 2.11 ± 0.25 (1.97-2.25) | 2.60 ± 0.42 (2.42-2.78) | 2.60 ± 0.46 (2.41-2.80) |
| ACLR limb                | 2.23 ± 0.34 (2.04-2.41) | 2.65 ± 0.47 (2.45-2.85) | 2.88 ± 0.69 (2.58-3.17) |
| Mean difference          | −0.12          | −0.05          | −0.28          |

<sup>a</sup>Values are presented as mean ± SD (95% CI) unless otherwise specified. ACLR, anterior cruciate ligament reconstruction; PF-HS, pediatric female patients with transphyseal hamstring grafts; PM-HS, pediatric male patients with transphyseal hamstring grafts; PM-ITB, pediatric male patients with extraphyseal iliotibial band grafts.

TABLE 6

Limb Symmetry Index for Quadriceps, Hamstring, Hip Abductor, and Hip Extensor Muscle Strength<sup>a</sup>

|                          | PM-HS (n = 21) | PF-HS (n = 33) | PM-ITB (n = 39) | P Value |
|--------------------------|----------------|----------------|----------------|---------|
| Quadriceps, %            | 0.8 ± 9.1 (–3.3 to 5.0) | 1.1 ± 14.5 (–4.1 to 6.4) | −3.2 ± 10.8 (–6.6 to 3.4) | .259    |
| Hamstring, %             | −32.2 ± 24.2 (−43.2 to −21.1) | −18.7 ± 40.8 (−33.1 to −4.2) | −5.4 ± 17.6 (−11.1 to 0.4) | .004<sup>c</sup> |
| Hip abductor, %          | 7.4 ± 18.9 (−1.2 to 16.0) | 4.2 ± 16.6 (−1.7 to 10.1) | 2.5 ± 24.8 (−5.5 to 10.5) | .688    |
| Hip extensor, %<sup>d</sup> | 6.1 ± 13.1 (1.6 to 12.1) | −3.1 ± 14.1 (−8.1 to 1.9) | 1.2 ± 14.5 (−3.5 to 5.9) | .066    |

<sup>a</sup>Values are presented as mean ± SD (95% CI) unless otherwise specified. Negative values represent strength deficit in the involved limb.

<sup>b</sup>PF-HS, pediatric female patients with transphyseal hamstring grafts; PM-HS, pediatric male patients with transphyseal hamstring grafts; PM-ITB, pediatric male patients with extraphyseal iliotibial band grafts.

<sup>c</sup>The PM-HS group was significantly different from the PM-ITB group (P = .012).

<sup>d</sup>P < .05.

<sup>e</sup>The PM-HS group was not significantly different from the PF-HS group (P = .038) after Bonferroni correction was made (P = .017).

(continued)
In addition to the effect of graft type and hamstring strength deficits, maturation of the reconstructed graft has also been discussed, and studies have suggested a longer recovery duration after ACLR to facilitate a safe return to play. Another study with a cohort of young adults (mean age, 24.3 ± 7.3 years) reported reductions in ACL reinjury rates when return-to-play timing was extended to 9 months after ACLR. In short, to track the recovery process of these strength deficits, a more comprehensive longitudinal investigation is warranted.

Another interesting finding is that there were no statistically significant differences in the strength of other musculature structures (Table 6), dynamic balance, or functional hop test performance. For dynamic balance, all deficits were less than 5%. Similarly, deficits in functional hop test performance were all less than 12%. It seems odd that hamstring strength deficits in this study were not reflective of dynamic balance and functional hop test performance. However, there may be a synergistic development of other muscle groups in the operated lower extremity relative to the affected hamstring. Hip abductor and hip extensor strength of the involved limb in the PM-HS group was actually greater than the uninvolved limb (see Table 3). Although statistical significance was not detected, hip abductor (+7.4%) and hip extensor strength (+6.1%) deficits of the PM-HS group were greater than strength deficits in the PF-HS and PM-ITB groups (Table 6). In addition to the PM-HS group, the PF-HS group (+4.2%) and PM-ITB group (+2.5%) also demonstrated greater hip abductor strength deficits in the involved limb compared with the uninvolved limb (Table 6).

The increased hip musculature may be a compensatory mechanism due to a lack of hamstring strength, or it may simply reflect the training of muscles not recruited or trained as frequently on the nonoperated lower extremity at a baseline level as that which occurred in the postoperative physical therapy program. Other musculature structures might have been recruited to compensate for the lack of strength in weaker hamstring muscles during physical therapy, activities of daily living, and reconditioning training.

Similar findings were reported by Bell et al. In their study, young adults (aged 18-25 years) recovering from ACLR who had low quadriceps strength developed significantly greater hip extensor strength approximately 2.5 years postoperatively. This potential compensatory mechanism may also account for the lack of differences in dynamic balance and functional hop test performance among the 3 groups in this study. Further investigation is necessary to understand the long-term effects of decreased hamstring strength and increased hip musculature in the PM-HS group.

While the body of literature investigating recovery after ACLR in strength and function in the skeletally immature population is still in its nascent stages, the hamstring deficits observed in the current study interestingly conflict with those of a previous study. Greenberg et al reported that 94% of skeletally immature patients who underwent ACLR (mean age, 12.3 years) with hamstring autografts achieved greater than 90% of strength recovery after 7 months (range, 3.0-12.6 months) postoperatively. The skeletally immature patients in this study had hamstring autografts as well. The difference appears to be a method of strength testing. In that study, isokinetic measurements with a speed of 180 deg/s were used. The different muscle strength testing protocols might have influenced the different outcomes. A study performed by Hashemi et al stated that there is a minimal effect of hamstring strength deficits on dynamic balance. However, Clagg et al identified a linear association between hamstring strength and the posterolateral reach test of dynamic balance. In the current study, there were no statistically significant differences among the 3 groups.

There were no significant differences in functional hop test performance between the 3 study groups (Table 5). Several studies have investigated the impact of quadriceps strength deficits on hop performance, but investigations focusing on hamstring strength deficits, hamstring autografts, and ITB autografts are few. In this study, the PM-HS group did not demonstrate significant deficits in the 4 types of functional hop tests, even though there was a notable hamstring strength deficit. The increased hip musculature of the involved limb, which was previously discussed, might have aided hop performance in the PM-HS group.

Limitations

There are several inherent limitations in this study. First, we did not have access to each patient’s physical therapy record. We attempted to review physical therapy recommendations from medical charts; however, there was considerable variability with regard to exercise prescription documentation. Thus, we could not organize qualitative data (such as the type of rehabilitative exercises) for physical therapy; however, we did verify that all patients had at least a total of 20 physical therapy sessions. Importantly, this is likely highly reflective of most orthopaedic practices, for which there may be a common protocol between different surgeons, but the speed and nature with which such protocols are instituted and phases progressed are quite variable. In reality, most patients who have undergone ACLR and have been investigated in the literature have pursued physical therapy in various local settings for convenience, without standardized physical therapy being rigorously followed across all patients.

A second limitation is that the data from the current study’s assessments were collected by multiple raters, which may have reduced the interreliability and intrareliability values. To conduct reliable data measurement procedures, both interreliability and intrareliability values of thigh circumference, ROM, and muscle strength, but not functional tests, were checked before the measurement, which indicated acceptable levels of reliability.

Third, all strength data were measured in an isometric manner rather than with an isokinetic method. Isokinetic strength measurements generate peak torque, total work, and mean power in defined ROM. It would have been ideal if lower extremity strength had been measured by an
isokinetic machine. However, at the beginning of this study, the machine was not available at the testing site.

Fourth, although skeletal maturity was rigorously checked, significant age differences were still noted among the 3 groups, which may be because of potential selection bias among surgeons. A randomized controlled trial would have been an ideal study design to eliminate those concerns. Moreover, this study did not include joint laxity and imaging modalities. Incorporating a KT-1000/2000 arthrometer for joint laxity and magnetic resonance imaging for anatomic measurements would have strengthened the outcomes of this study. Finally, the study only yielded short-term outcomes. Long-term outcomes including reinjury rates, sports participation, and osteoarthritis development likely offer clinically pertinent data for the skeletally immature population.

**CONCLUSION**

The current study demonstrated superior hamstring strength 6 months postoperatively in the PM-ITB group as compared with the PM-HS group among a skeletally immature ACLR population aged <15 years. There were no significant differences in other lower extremity strength measurements, including the quadriceps, hip abductor, and hip extensor, dynamic balance, or functional hop test performance. Complementary future studies are needed to investigate the long-term effects of hamstring and ITB autografts on the functional performance, ACL reinjury rate, and sports participation of young, physically active patients after ACLR.

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