Early Intervention of Cleft Lip and Palate: Current Issues Practices and Protocol

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Introduction

The most important step towards the treatment of a child with any disorder is early identification. Early identification helps in early intervention of a disorder.

Early identification

A. Is a system of coordinated services
B. Promotes the child’s growth and development
C. Supports families during the critical early years
D. Designed to enhance the development of at-risk infants and toddlers (birth to 3 years of age)
E. Prevents speech and language delay
F. Provides an access to cheap and best treatment
G. Helps the child attend school like any other child.

Major benefits of early intervention

A. Early learning forms the basis for complex levels of performance.
B. Maximize a child’s developmental capabilities.
C. Provides support, instruction and information for caregivers.
D. Help parents deal constructively with the grief and stress of having a child with disabilities.
E. Parents can find support and assistance to help their child become a more social and independent person.

Need for early intervention of cleft lip and palate

a) Babies with cleft palate show deficits in the size and composition of their early sound inventories [1].
b) They may reach the canonical babbling stage later than their non cleft peers (Scherer, Williams, & Proctor in 2001).
c) The early speech deficits affect later speech accuracy and vocabulary size.
d) Before the palate is closed, some babies are attempting to produce stop consonants (Chapman et al. 2003).

Team Members

Pediatrician
They are the first professionals who treat the infant with various problems. They monitor, record and treat children with a particular disorder or disease. They are specialists involved child’s health and care.

Nurse practitioner
Helps in assisting paediatrician, assists baby feed using prosthesis and tubes when the babies are put in incubators. Records changes and timely attends to the baby.

Plastic surgeon
Intervenes oral facial defects surgically by reconstructive surgery. Involved in surgical management.

Pediatric dentist
Helps in correction of teeth in children exclusively as childhood is the period of development of teeth.

Otolaryngologist: Monitors and treats ear nose throat infections as children with facial defects are prone to ENT problems.

Geneticist: Helps in identifying causative genes and is involved in counselling of the family about hereditary syndromes.

Speech pathologist: Plays major role in monitoring speech and language development and helps in diagnosis and therapy of speech and language disorders.

Orthodontist: Helps in correcting dentition using prosthesis and braces.
Maxillofacial surgeon: Specialist involved exclusively for correcting maxillofacial defects by surgical methods.

Social worker: Helps in referral purposes and creating awareness.

Psychologist: Helps in counselling family and parents go through grief easily without issues of blame game and other superstitious beliefs.

Audiologist: Helps in monitoring hearing disorders and its handicapping conditions.

**Early Intervention Program for Children with CLP**

CLP is a heterogeneous group of disorder. It can often exist in isolated form or with multiple disorders and in a syndrome. It is one of the disorders that are identified at birth. Parents are often unsure of the impact of the cleft thus they do not know what to expect from the child. Since it is identified at birth it becomes easy for planning and executing treatment soon. This requires proper knowledge for paediatricians to counsel the parents of the baby the following issues

A. The treatment protocol.
B. Explaining them about the consequences of delaying the treatment.
C. Pre and post operative counselling.

After the 1st and 2nd step the SLP’s and audiologists are required to play their role in intervention for feeding management and speech and language problems.

**Speech pathologist’s role**

SLP’s in coordination with other team members are very important in providing counselling to the parents regarding:

a) Feeding the baby.
b) Speech and language stimulation.
c) Speech observation.
d) Language intervention to facilitate vocabulary development.
e) Development of Speech sound accuracy.
f) Correcting the resonance.
g) Improving the speech intelligibility.

**Audiologist’s role**

Checking hearing status and middle ear functions as these children are at risk of developing middle ear infections and hearing deficits as it would hamper the development of speech and language problems and even cause delayed speech and language development. The audiologists must also tell the parents to observe the child’s responses to sounds and speech as well as any signs that is expressed by the baby to show some sort of discomfort in the ear. Also explain them about protecting the baby from frequent cold and cough.

**Areas of Management—Current Issues Practices and Protocols**

**Feeding**

Main factors that are considered important in the management of feeding includes (Arvedson, 1993)

a. Oral motor development
b. Availability of nutritious food
c. Infant parent relation

d. Helps in proper sucking

e. Proper development of oral sensory function required for speech and language development (Marques and Martinelli, 1992; Altamann et al., 1994)
f. Breast more flexible than rubber nipples and better accommodates the oral cavity so that it occludes the cleft (Biancuzzo, 1998)

More advantage in case of presence of cleft lip or palate because of reduced infections, especially those in middle ear and prevention of nasal mucosa inflammation caused by milk reflux (Paradise et al., 1994, Biancuzzo, 1998, 2002, Grossman, 1998, Aniansson et al., 2002)

**Difficulties of breast feeding:** (Clarren et al 1987, Chase et al 1990, Trenouth and Campbell, 1996, Dalben et al 2003, Thame, 2003):

a. Weak suction
b. Nasal regurgitation
c. Choking
d. Insufficient milk production
e. Breast engorgement
f. Cracked nipple
g. Difficulty creating intraoral pressure( Elster, 1981, Chase et al 1990)
h. Recurrent aspiration for these patients can result in respiratory infections including pneumonia, and even death (Reid et al., 2007).
In a review of feeding intervention study done by Julie Reid (2003) selected articles were critically appraised using an evidence-based practice framework to determine the strength of the evidence underpinning each intervention type for feeding of the child with cleft. Success of breast-feeding depends on many factors, including the size of the breast, the size of the baby, and the severity of the infant’s cleft [2]. Current literature suggests modifications in the methods of breast-feeding.

**Bottle feeding**

Although breast feeding is considered healthier for the baby, bottle feeding is also used in cases where breast feeding fails. Various types of nipple modification can be used to facilitate bottle feeding. Special kinds of teats are used.

According to Reid et al. (2007), there was a significant decrease in failure-to-thrive rates for infants with cleft palate after an early intervention feeding program was implemented. This program included domiciliary visits, breast-feeding support, feeding education, and monitoring of growth. Early intervention can come in many forms including feeding equipment, feeding techniques, prostheses, and nutrition/lactation advice [3]. Early education combined with a nutrition intervention protocol can improve outcomes including: weight gain, feed velocity, and fluid intake for infants with clefts [3].

Infants with cleft lip ± palate are a significant population born with congenital defects that will likely require the services of early intervention providers, including speech-language pathologists. They are at risk for many health difficulties including malnutrition that can lead to morbidity and failure to thrive. They are also at a high risk for laryngeal penetration and aspiration that can lead to pneumonia. However, evidence based practice shows that with intervention techniques oral feeding can be successful and infants can thrive. Until infants are ready for surgery, care that will enhance their quality of life is critical for early development. This can be done by using feeding modifications, or using actual prosthetics. Feeding modifications can range from consistency modifications given to the infant, adapting breast-feeding techniques, to nipple shape. These modifications are vital in assuring that the infant is getting proper nutrition prior to cleft palate surgery.

**Speech and Language Intervention**

Another primary goal of early intervention of children with cleft lip and palate focuses on speech and language development because these children often show expressive language delay and phonetic limitation (Chapman & Harding, 1992; O’Garon & Logeman, 1988). Though speech impairment is due to structural defect these children show early phonological and language delays [4] (Blakey & Broclman, 1995, Jocelyn, Penko & Rode, 1996). Hence the treatment focuses on speech and language stimulation without even waiting for surgical intervention by using speech and language techniques in order to eliminate language delays.

Other speech and language problems include the following [5,6]. Chapman et al. 2003, Salas-Pravana et al. 2003)

a. Limited complexity of babbling
b. Delayed onset of words and word combinations
c. Slow early vocabulary growth
d. Limited consonant inventories
e. Many sound substitution and omission errors
f. Emergence of compensatory articulation errors

It has also been established that potential problems can be identified in the pre speech stage of development and preventative work undertaken to promote more normal patterns of development (Golding-Kushner, 1995, Russel and Grunwell, 1993).

Early intervention programme focuses on the following aspects

**Indirect approach:** parents are given target consonants to model for babbling (Albery & Russel, 1994).

**Home based programme:** parents are trained to facilitate and reinforce appropriate patterns in babbling and first words (Albery & Russel, 1994, Golding - Kushner, 1995).

**Increasing consonant inventory:** (Hardin-Jones, M., Chapman, K. & Scherer, N. J., 2006): The sounds that are least affected by cleft is first stimulated (e.g., nasals). If the baby is producing stop consonants, these consonants have to be reinforced by parents. Parents should avoid reinforcing laryngeal growls and glottal stops. After palatal surgery, the child begins to add new consonants (particularly stops) to the inventory. Lack of growth in the child’s phonetic inventory and persistence of nasal substitutions or glottal stops are red flags that signal the need for careful monitoring and early intervention.

**Providing a hybrid naturalistic early speech and language intervention:** which gives opportunity to enhance vocabulary and increase intelligibility which turn focus on both speech and language intervention (Scherer, N. J, 2006)

**Using pairs of consonants with whispered vowels [7]:** Keep the glottis open and prevent a glottal stop from occurring. The child is instructed to over aspirate the consonant-again to prevent the glottal stop from occurring. As the child demonstrates success with this whispered production, voicing is gradually reintroduced for the vowel.

**Increasing consonant inventory and vocabulary simultaneously:** For young children, it is often most efficacious to combine goals for expanding consonant inventory with
vocabulary goals. Some considerations are given when choosing the words to teach children. They are - Small vocabularies are taken from words used first by typically developing children. Words must be both functional and fulfill a broad range of communicative purposes.

**Increasing awareness of airflow:** In addition to increasing the child’s expressive vocabulary and consonant inventory, the SLP may also need to increase some children’s awareness of oral airflow. Simple blowing toys that offer low resistance can be used to demonstrate oral airflow, but they should be used sparingly and considered one of many strategies used to teach sound production. The blowing activity should follow with a sound production activity. Although using a blow toy to demonstrate oral airflow is an appropriate task in therapy, the use of such toys to “exercise” or strengthen the palate musculature is not.

**Goals for early intervention by Judith Crost-Cardomone; October 10, 2008- Exclusive for 0-3yrs**

a. Early monitoring and speech-language stimulation

b. SLP monitors early communicative development and recommends intervention as necessary; counsels parents who are the primary teachers

c. Monitor language and speech development and prevent development of compensatory errors

d. Monitor VP closure status once palate repair is accomplished.

**Early intervention models include**

I. **Enhanced Milieu teaching** [8]- The enhanced milieu (EM) model has been used to facilitate early vocabulary growth by focusing on enhancing the early environment of young children (Hancock & Kaiser, 2006; Hardin-Jones et al., 2006; Hemmeter & Kaiser, 1994). The EM model encourages environmental manipulation to facilitate verbal communication, for example, placing a preferred item out of the child’s reach to encourage the child to request the item (Hemmeter & Kaiser, 1994). Specific teaching strategies are centered on responding to the child during interactions and prompting the child to use functional verbal behavior with different techniques, including modeling followed by a request for verbal imitation or simply a time delay (Hancock & Kaiser, 2006; Hemmeter & Kaiser, 1994; Kaderavek, 2011). A child needs to verbally imitate to participate in the imitation activities, but caregivers can implement this model of intervention in the context of daily activities with children who have a very limited vocabulary (Hancock & Kaiser, 2006).

II. **Focussed stimulation** (Scherer D’ Antonio &McGahey, 2008)- The parents of CLP were trained to use the FSA to facilitate the use of target words. The FSA is a procedure parents can use to increase their child’s vocabulary use. More you use a word while playing with CLP child, the more likely the child will use that word (Figure 1).

![Figure 1](image)

**Enhanced Milieu teaching with phonological teaching (Scherer & Kaiser, 2010)**

A set of tools to help facilitate a child’s communication growth:

a. Setting up an interactive context between the adult and child through play

b. Noticing and responding to child communication; balancing turns

c. Modeling and expanding play

d. Modeling and expanding word use

e. Using environmental arrangement strategies

f. Using prompting strategies to expand language and speech simultaneously

There models can increase vocabulary and clarity of speech sounds:

a. Reduce compensatory articulation

b. Train parents to increase exposure to new words

c. Provides opportunity for the child to communicate

d. Facilitates feedback that can expand language complexity and increase speech accuracy.

**Home based EIP services**

This method uses readily available materials which makes intervention more naturalistic and ongoing process rather than planned process. It makes it easier for parents to see how speech sound and language stimulation can be centred on the child’s normal routine. Intervention for treating glottal stops is more effective through home based programmes. These programmes
are effective for babies who demonstrate evidence of language delays and compensatory articulation. The main goal of early intervention is to reduce the likelihood of establishment of abnormal compensatory errors as a habit pattern before it occurs (Golding & Kaslon, 1981). This method also does not set aside specific time for training and is facilitated as per natural interaction process. Stimulation to the child is provided throughout the day.

**Parental training**

**Ear training:** To make the parent aware of desired response to be expected from the child and discriminate between normal and abnormal sound production using tapes and visual cues and making the parent aware of what is to be expected from the child and reinforced.

Making the parent aware of the difference between oral and compensatory articulation and normal speech and language development: Making use of written materials, videos speech development milestones charts.

Techniques for stimulating language development: Parent and child directed speech and motherese with emphasis on locating objects and requests for naming objects. Engage in child directed and child centred communication. Other language stimulation techniques can be used as per situation demands.

Techniques for eliciting correct oral consonant production and eliminating glottal stops: providing word lists with target sounds and training the parent to eliciting a particular sound using various techniques. These are to be used even if the palate is not repaired. Initial target words before palate repair should be vocalic and nasal loaded with an emphasis on words that do not begin with vowels or they begin with a glottal stop. The goal here is to teach alternate word initial strategies. Focus should be more on pressure consonants and not on hypernasality. Lip sound play to be used with babies not using their lips. After palatal surgery, the words should be plosive loaded with anterior plosives.

**Application of behaviour modification techniques:** Using positive reinforcement for correct production and ignoring the incorrect responses and continuing the training to engage the child in tasks

**Surgical Management and Timing of the Surgery**

Timing of the surgery of the cleft lip and palate is linked to early speech and language development. Early palatoplasty is said to enhance normal speech production and minimize the development of compensatory articulation [9]. Since babbling begins around 4-6 months early surgical intervention becomes more necessary.

But some oppose to this as they say early repair of hard palate may affect the mid facial growth and restrict transverse maxillary development due to scar formation. Some surgeons prefer to close of the alveolus and lip together as it is easier to access the alveolus and hard palate before lip closure but this should not be done in the primary operation stage as it might interfere with maxillary growth and prefer doing it at the time of alveolar bone grafting in the later childhood. In such conditions surgeons prefer to use an obturator for compensating and later perform the surgery at 4-5 yrs of age (Gilles & Fry, 1921) [10-20].

Some surgeons prefer to close off cleft of the lip during the neonatal period so that the parents do not have to suffer the distress of looking after the baby stigmatized in this way (Desai, 1979). But majority of the surgeons wait until the infant is 3-6 months. One-stage palatoplasty procedure for simultaneous closure of both hard and soft palatal defects. Is not performed until 1yr to 18months of age as it has more advantages and repeated surgery. It also indicated better speech and language development (CSAG, 1998, Somnerlad et al, 1997).

In rare cases, pre-surgical orthopaedics may be required (0-3 months). In this the surgeon seeks to obtain optimal function and appearance and avoid the need for extensive revisionary surgery by using proven surgical techniques that result in minimum scarring. In some cases, it can help the surgeon unite with minimum stress to the tissue.

- Reposition of severely displaced maxillary segment
- Reduced width of very wide cleft
- Improve symmetry of nose and jaw

The timing of the surgery of the lip and alveolus is dependent on the time it takes the orthodontist to move segment of the alveolus arch into proper alignment. This is done by 3-4 months. Palate repair to eighteen months general communication can be observed. Progress includes increase in labial and lingual articulation (p, m, l) and development of oral plosives (p, b, t, d, k, g). If oral pressure consonants fail to develop it can be due to several factors but still it is important to identify this atypical pattern as soon as possible for proper management. Focus should me on voiceless plosives (Russel & Grunwell, 1993; Mouset & Trichet 1985).

Despite controversies, majority of the studies have suggested that earlier surgery was associated with better speech and language development (Holdsworth 1954, Jolleys 1954, peer, 1961, Cleveland and Falk 1970, Evans and Rfenfrew 1974, Dorf and Curtin, 1982, 1990, Randall et al 1983, O’Gara and Logemann 1988, O’Gard et al. 1994, Rohrich et al, 1996, Marrinon et al 1998, Ysuzahn el al 1998) Ysunza et al 1999, Hardin and James & Jones 2005).

Other advantages include:

- Better articulation (Evans & Renfrew 1974, Kaplan et al. 1974, Dorf and Curalin 1982, 1990, Rohrich et al 1996, Ysunza et al 1998)
- Less compensatory articulation (Dorf and Curtin 1983, 1990, Ysuzahn et al 1998)
c. Normal resonance (Evans and Renfrew 1974, Rohrich et al 1996, Hardin Jones and Jones 2005)

d. Less need for secondary surgery (Cleveland and Falk, 1970).

Conclusion

Early intervention is proved to be beneficial in case of cleft lip and palate for better speech and language development. Timing of the surgery continues to be controversial, thought most surgeons prefer doing it as early as possible. Breast feeding is more preferred by mothers and in rare cases bottle feed is required.

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