Extrinsic and Intrinsic Factors Regulating Juvenile Refractive Development and Eye Growth

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PURPOSE. Peripheral refraction and accommodation are intrinsic factors that were once hypothesized to trigger myopia but are now controversial. Previously, home nearwork environment (i.e., extrinsic factor) was reported to be associated with myopia progression. In this study, we aimed to evaluate the potential interaction between extrinsic and intrinsic factors with juvenile refractive development.

METHODS. Nearwork environmental parameters were measured for 50 children (aged 9.3 ± 1.2 years), including net amount and dispersion of defocus. Refraction was measured at near distances and in central field (±30° horizontal) at 3 m. The relative peripheral refraction (RPRE) was obtained and presented in a vectoral approach. The linear regression coefficient was extracted (mAcc) from the accommodative stimulus–response curve. RPRE was quadratically regressed against field eccentricity, and the first coefficients (aM, aJ0, aP90, and aP180) were extracted. Relationships between RPRE, baseline accommodation, and 1-year myopia progression (ΔM), controlled for the nearwork environment, were evaluated.

RESULTS. Coefficients of RPRE were independent of ΔM. However, additional nearwork environmental parameters significantly improved the variance in ΔM explained by aM and aP180 (P < 0.03). The relationship between intrinsic factor and ΔM was stronger when the extrinsic risk was low (P ≤ 0.01), whereas the relationship was abolished when extrinsic risk was high. For mAcc, it also significantly improved the variance in ΔM explained by nearwork environmental parameters.

CONCLUSIONS. The interaction between extrinsic (environment) and intrinsic (RPRE and accommodation) factors is speculated to contribute to juvenile myopia progression. Our findings may also explain the inconsistencies of such intrinsic factors in the literature.

Keywords: myopia, environment, nearwork, accommodation, peripheral refraction
tion was found to be greater in myopic children, who tend to focus behind the near fixation object, creating hyperopic defocus. However, the magnitude of accommodative lag did not precede myopia progression. In the external environment, not only does defocus profile vary with viewing distance, as mentioned previously, but various viewing distances also exert different accommodative stimuli. The accuracy of the proximal accommodative response would alter the perceived defocus stimuli by the eye, in which lag of accommodation would lead to a hyperopic shift in defocus profile and vice versa.

Dioptric stimuli of the visual scene over the central and paracentral retina may explain the inconsistent findings of peripheral refraction and accommodation on myopia progression in previous studies. In theory, all three aspects (i.e., the dioptric distances of objects in the external visual scene, the accommodative response, and the peripheral refractive error) interact to affect the retinal image clarity and possibly act as a cue for modulating emmetropization. The current study aimed to preliminarily evaluate the effect of peripheral refraction, as well as accommodation, on myopia progression and axial elongation in children while controlling for the factors in the defocus profile in a home nearwork environment. The results may provide insights into myopia control regimens in terms of manipulating the peripheral refractive error and the home environment setup for children.

**Method**

**Participants**

Fifty Hong Kong children (9.3 ± 1.2 years of age) with normal ocular and general health were recruited at the university optometry clinic, without any restriction in refractive error. All participants had corrected visual acuity of equal to or better than logMAR 0.00 and received full-spectacle prescription after the baseline examination. Participants who had received myopia control intervention, including atropine, multifocal contact lens, orthokeratology, and progressive addition/bifocal/diopter incorporated multiple-segment lens, and those with strabismus were excluded. All clinical procedures followed the tenets of the Declaration of Helsinki. Informed consent and written assent were obtained from the parents and the children, respectively.

**Home Scene Measurement**

Home environment parameters were measured in a baseline home visit as described elsewhere. In brief, the scene at the children’s reading desk from the children’s position of view was captured by the Kinect (Microsoft, Redmond, WA, USA), which consists of an infrared emitter and a sensor to measure the depth map across a 70° × 60° field of view. The depth map was then converted into a scene dioptric defocus profile with respect to the child’s working distance to the primary visual target (i.e., objects closer than the visual target create hyperopic scene defocus, while objects further away than the visual target create myopic scene defocus). The dioptric volume (DV, the total amount of net scene defocus) and standard deviation of the defocus (SDo) dispersion of the scene defocus values) over the central ±30° circular field of view were calculated. Figure 1 summarizes the process of scene defocus profile acquisition.

**Eye Examination**

Eye examination was conducted at the Optometry Research Clinic of The Hong Kong Polytechnic University. Cycloplegic central and peripheral refractions were measured at a 10° interval up to 30° along the horizontal visual field on each side (i.e., seven points in total) and was measured five times by NVision K5001 (Shin-Nippon, Osaka, Japan), which enabled peripheral refraction measurements at a far viewing distance with good repeatability and reproducibility. At 30 minutes after instillation of two drops of 1% cyclopentolate with a 5-minute separation. The fixation targets were placed 3 m away from the participant, who rotated his or her eyes to fixate at the peripheral targets while keeping the head straight ahead. Refraction results were converted into vector form using the following formulas:

\[
M = S + \frac{C}{2}
\]

\[
J_0 = -\frac{C}{2}\cos2\alpha
\]

\[
P(90) = M - J_0
\]

\[
P(180) = M + J_0
\]

Relative peripheral refraction (RPRE) at each peripheral position was obtained by subtracting the central values from the peripheral values. RPRE along the horizontal visual field was fitted with a quadratic equation, RPRE = a(Eccentricity − b)² + c, to obtain the second-order coefficients (a₃₅, a₀, a₉₀, and a₁₈₀). Positive and negative a₃₅ represented relative hyperopic and myopic shift, respectively, to the periphery, while a₀ decreased with the steepening rate of the peripheral astigmatism profile. Magnitude of a₉₀(000) and a₁₈₀(180) represented the blurriness of radial and tangential orientation of the image on the peripheral visual field, respectively. J₄₅ was not analyzed as the magnitude was much smaller than other peripheral refraction vectors.

Accommodative responses were measured by NVision K5001 with habitual correction before the cycloplegia at near distances, including 20, 25, 33, 40, and 50 cm, which exerted from 2 to 5 diopters (D) of accommodative stimuli by 0.40 logMAR paragraphs. Spectacle correction was allowed because the accommodative responses were measured, as were the children accommodating in a nearwork environment with habitual spectacles. A linear accommodative stimulus-response relationship was assumed. Response = mₐₑₒ · Stimulus + c', in which c' = 0 (i.e., the equation passes through the origin, implying zero accommodative response at an infinite distance), and the stimulus/response slope was extracted (mₑₒ). The flatter the slope, the more lag of accommodation the children would experience. Axial length was the secondary outcome and was measured five times with a signal-to-noise ratio > 2.0 by IOL Master (Carl Zeiss Meditec AG, Jena, Germany), which is efficient in measurement with good repeatability. Refraction and axial length were measured at baseline and 1 year later to obtain myopia progression (ΔM) and axial elongation (ΔAL). Only results from the right eye were analyzed.
Data Analysis
Hierarchical multiple linear regressions were performed to assess the association between RPRE/accommodation and ΔM/ΔAL. The change in $R^2$ value from the null model was used to represent the proportion of variance in $\Delta M$ and $\Delta AL$ explained by additional independent variables: RPRE, baseline refraction, and home scene parameters. The regressions were performed after normality transformation on the home scene parameters to satisfy the parametric assumptions. To investigate the interaction between peripheral refraction and home scene parameter in our sample, the participants were median-split by the SDD. The relationship between RPRE and $\Delta M$ was evaluated by correlation analyses. In a previous study, a significant association was reported between home scene parameters (DV/SD) and change in refractive error (ΔM). Hierarchical regression was then applied to investigate whether controlling for the accommodation ($m_{\Delta M}$) would significantly improve such relationship. Hochberg’s adjustment was applied when appropriate, and the base significance level was set as $P \leq 0.05$.

RESULTS

Basic Refractive Outcomes
The baseline and change in refraction over 1 year (mean ± SD [range]) were $-1.51 \pm 2.02$ (−6.25 to +1.38) D and $-0.56 \pm 0.45$ (−1.95 to +0.57) D, respectively. Corresponding results for axial length were $24.02 \pm 1.01$ (22.35 to 26.11) mm and $0.33 \pm 0.16$ (0.05 to 0.77) mm, and baseline cylindrical error was $0.77 \pm 0.61$ (0.00 to 2.50) D. The number of participants stratified by types of refractive error and their change in refractive error, as well as RPRE and accommodation, are listed in Table 1. Baseline RPRE against field eccentricity is shown in Figure 2. The correlation between baseline M and $\Delta M$ was not significant (Pearson’s $r = 0.21$, $P = 0.14$), nor was that between baseline AL and $\Delta AL$ (Pearson’s $r = 0.07$, $P = 0.65$). Twenty percent of the participants...
| Characteristic                      | N (Corrected Before Baseline) | ΔM  | ΔAL  | \( \Delta R \times 10^{-3} \) | \( \Delta M \times 10^{-3} \) | \( \Delta M_{90} \times 10^{-3} \) | \( \Delta M_{180} \times 10^{-3} \) | m_ac  | DV (D°°) | SDp (D) |
|-----------------------------------|-------------------------------|------|------|-------------------------------|-------------------------------|-------------------------------|-------------------------------|-------|----------|--------|
| Hyperope (M > +0.50 D)            | 8 (1)                         | -0.54 ± 0.16 | 0.21 ± 0.12 | 0.66 ± 1.01 | -1.00 ± 0.57 | 1.65 ± 1.09 | -0.56 ± 1.23 | 0.88 ± 0.10 | 1.61 ± 1.56 | 0.46 ± 0.29 |
| Emmetrop (0.50 ≥ M > -0.50 D)     | 10 (4)                        | -0.53 ± 0.38 | 0.33 ± 0.14 | 0.20 ± 1.17 | -0.93 ± 1.12 | 1.10 ± 0.66 | -0.70 ± 2.20 | 0.90 ± 0.06 | 3.39 ± 2.78 | 0.88 ± 0.65 |
| Myope (M ≤ -0.50 D)               | 32 (28)                       | -0.63 ± 0.50 | 0.36 ± 0.16 | 1.78 ± 1.21 | -0.85 ± 0.74 | 2.63 ± 0.94 | 0.91 ± 1.77 | 0.84 ± 0.09 | 1.94 ± 2.37 | 0.56 ± 0.46 |
| ANOVA P value                     |                               | 0.25 | 0.06  | 0.001 | 0.88 | <0.001 | 0.03 | 0.11 | 0.19 | 0.13 |
| Non-astigmatism (Cyl < 1.00 D)    | 38 (22)                       | -0.54 ± 0.50 | 0.33 ± 0.17 | 1.11 ± 1.36 | -0.93 ± 0.83 | 2.03 ± 1.09 | 0.17 ± 1.97 | 0.87 ± 0.09 | 2.32 ± 2.51 | 0.65 ± 0.56 |
| Astigmatism (Cyl ≥ 1.00 D)        | 12 (1)                        | -0.63 ± 0.22 | 0.33 ± 0.11 | 1.84 ± 1.13 | -0.76 ± 0.67 | 2.58 ± 1.09 | 1.08 ± 1.54 | 0.82 ± 0.07 | 1.73 ± 1.99 | 0.46 ± 0.13 |
| t-test P value                    |                               | 0.54 | 0.97  | 0.10  | 0.51 | 0.14 | 0.15 | 0.07 | 0.46 | 0.06 |
had peripheral myopia (i.e., aM < 0). While 24% of the participants had a lead of accommodation (mAcc > 1), the remainder had a lag of accommodation.

**Relative Peripheral Refractive Error (aM/aJ0/aP90/aP180)**

The myopia progression was negatively associated with RPRE, that is, the more hyperopic the aM and aP180, and the flatter the aJ0, the faster the myopia progression (Fig. 3), respectively, but only aJ0 was associated with axial elongation (Fig. 4). Table 2 shows the changes in coefficients of determination, and the detailed statistical results for the hierarchical regressions are listed in Supplementary Tables S1 and S2. After controlling for the baseline refraction (model 2), the RPRE was independent of ΔM and ΔAL. Home scene parameters were then added as a covariate in the regression models. The introduction of the normality-transformed dioptric volume variable explained an additional 9% (\( P = 0.03 \)) and 8% (\( P = 0.03 \)) of variation in ΔM for the aM and aP180 models, respectively. The corresponding results for ΔAL were 11% (\( P = 0.02 \)) for both the aM and aP180 models. Furthermore, the introduction of the normality-transformed standard deviation of scene defocus variable explained an additional 10% (\( P = 0.02 \)) of the variation in ΔM for both the aM and aP180 models. The corresponding results for ΔAL were 21% (\( P = 0.001 \)), 18% (\( P = 0.001 \)), 19% (\( P < 0.01 \)), and 20% (\( P < 0.001 \)) for aM, aJ0, aP90, and aP180 models, respectively.

The myopia progression in children was different in high versus low scene defocus dispersion (i.e., SD\( _{D0} \)) and steep versus flat peripheral refraction (aM, aJ0, and aP180), by median split. (Fig. 5). When the participants were equally divided into two groups according to their SD\( _{D0} \), the RPRE of participants with low SD\( _{D0} \) was significantly associated with ΔM with improved correlation coefficients (low SD\( _{D0} \): aM vs. ΔM: \( r = -0.58, P < 0.01 \); aJ0 vs. ΔM: \( r = -0.50, P = 0.01 \); aP180 vs. ΔM: \( r = -0.62, P = 0.001 \)), while those with high SD\( _{D0} \) were independent of ΔM (high SD\( _{D0} \): aM vs. ΔM: \( r = -0.14, P = 0.49 \); aJ0 vs. ΔM: \( r = -0.27, P = 0.20 \); aP180 vs. ΔM: \( r = -0.21, P = 0.32 \)).

**Lag of Accommodation (mAcc)**

The partial correlation between ΔM and DV, controlled for the baseline M, was insignificant (Spearman’s \( \rho = -0.25, P = 0.08 \)) while that of SD\( _{D0} \) was significant (Spearman’s \( \rho = -0.42, P < 0.01 \)). After adding mAcc as a covariate, both DV (Spearman’s \( \rho = -0.32, P = 0.03 \)) and SD\( _{D0} \) (Spearman’s \( \rho = -0.47, P < 0.001 \)) were significantly correlated with ΔM. The hierarchical regression showed a significant improvement in \( R^2 \) after the addition of the lag of accommodation (Table 3) over home scene parameters and baseline refraction.

**DISCUSSION**

In the current study, the home nearwork environment was demonstrated to be a contributing factor to juvenile myopia development, as in our previous study. Although neither peripheral refraction nor accommodation could predict subsequent myopia progression or axial elongation in children after controlling for the baseline refraction and axial length, as in the epidemiology studies, peripheral refraction, in terms of aM, aJ0, and aP180, and accommodation, in terms of mAcc, were significantly associated with subsequent myopia progression and axial elongation after the addition of home scene parameters as covariates. Hierarchical multiple regression analysis further demonstrated an increase in coefficients of determination after addition of home scene parameters in the models, indicating the significance of the home nearwork environment on account of the variances of myopia progression and axial elongation, as well as the interactive effect between extrinsic factors (i.e., visual stimuli

**FIGURE 2.** Baseline RPREs in terms of M, J0, P(90), and P(180) across eccentricity from temporal 30° to nasal 30° visual field.
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The findings in the current study are consistent with the literature in that myopes had a higher lag of accommodation than emmetropes and hyperopes (baseline M vs. mAcc: \( r = 0.27, P = 0.05 \)),\(^{25}\) but lag of accommodation alone could not predict subsequent myopia progression (\( P = 0.12 \)).\(^{26}\) However, it is speculated to be a bridge between the extrinsic and intrinsic factors—the dioptric distances in the external visual scene were refracted by the accommodative and peripheral optics, reaching the resultant internal defocus. Table 3 shows the relationship between nearwork scene parameters and myopia progression, in which part of the results were reported in our previous study.\(^{22}\) Furthermore, the coefficients of determination can be improved by controlling the lag of accommodation, which appeared to affect the defocus profile to which the eye is exposed. With the peripheral refractive error being relatively stable throughout accommodation to a near-working distance,\(^{38,39}\) the defocus profile is expected to have a hyperopic shift regardless of the eccentricity, by which myopes would be exposed to a greater hyperopic shift than hyperopes and emmetropes and hence the DV. However, the lag of accommodation is speculated not to affect the SDp, maintaining the scene defocus dispersion.

Eye growth, and hence refractive development, was once suggested to be a homeostasis of the organ itself (i.e., the eye was adapting to the visual task after a long period of nearwork).\(^{40}\) In such an environment, the scene defocus profile was generated by the external stimuli, in which objects closer than the fixation point create hyperopic scene defocus, while those farther away create myopic scene defocus. In our recent study, a more dispersed and hyperopic nearwork scene defocus profile was revealed to be associated with faster myopia progression.\(^{22}\) Furthermore, in the current study, the peripheral refraction was also found to be a significant factor in refractive development in children if nearwork scene defocus profile was taken into account. It is speculated that the extrinsic factor (i.e., the defocus profile of the nearwork environment) would interact with the intrinsic factor (i.e., the peripheral refraction of the children) during myopia development. Thus, from Figure 5, greater \( a_M, a_{90}, \) and \( a_{180} \) appeared to accelerate myopia progression, when the external scene defocus profile was more uniform. In contrast, if the defocus profile was more dispersed, the effect of RPRE on myopia progression was diminished. This interaction may address the importance of the visual scene in the external environment on account of the inconsistencies of peripheral refraction in predicting myopia progression in children.

There are several limitations restricting a comprehensive interpretation in the current study. A relatively small sample size was adopted in this preliminary evaluation, which had led to insufficient statistical power in some analyses (Tables 2 and 3). Accommodation was measured with habitual optical corrections, if any, assuming the participants would wear
Table 2. Changes in Coefficients of Determination in Hierarchical Multiple Regressions ($\Delta R^2$ $|P|$) for RPRE

| Characteristic | Model 1 | Model 2 | Model 3a | Achieved Power, % | Model 3b | Achieved Power, % |
|----------------|---------|---------|----------|-------------------|---------|-------------------|
| $\Delta M$     |         |         |          |                   |         |                   |
| $M$            | 0.08 (0.05) | 0.01 (0.48) | **0.09 (0.05)** | 74 | **0.10 (0.02)** | 80 |
| $J0$           | **0.15 (<0.01)** | 0.05 (0.10) | 0.06 (0.07) | 92 | 0.07 (0.04) | 95 |
| $P(90)$        | 0.00 (0.68) | 0.05 (0.13) | 0.05 (0.10) | 42 | 0.08 (0.05) | 50 |
| $P(180)$       | **0.13 (0.01)** | 0.01 (0.41) | **0.08 (0.05)** | 81 | **0.10 (0.02)** | 86 |
| $\Delta AL$    |         |         |          |                   |         |                   |
| $M$            | 0.04 (0.18) | 0.00 (0.97) | **0.11 (0.02)** | 67 | **0.21 (0.001)** | 88 |
| $J0$           | **0.11 (0.02)** | 0.01 (0.43) | 0.09 (0.03) | 91 | **0.18 (0.001)** | 98 |
| $P(90)$        | 0.00 (0.97) | 0.01 (0.60) | 0.09 (0.04) | 54 | **0.19 (<0.01)** | 80 |
| $P(180)$       | 0.07 (0.06) | 0.00 (0.92) | **0.11 (0.02)** | 71 | **0.20 (<0.001)** | 90 |

Bolding indicates statistical significance after Hochberg’s adjustment.

Model 1: $\Delta M/\Delta AL$ versus the coefficient ($a_M/a_J0/a_P90/a_P180$) over null model.
Model 2: $\Delta M/\Delta AL$ versus the coefficient + baseline $M$ over model 1.
Model 3a: $\Delta M/\Delta AL$ versus the coefficient + baseline $M$ + normality-transformed dioptric volume over model 2.
Model 3b: $\Delta M/\Delta AL$ versus the coefficient + baseline $M$ + normality-transformed standard deviation of scene defocus over model 2.

The current study focused only on the nearwork environment at home, where children in Hong Kong spend hours tackling their homework. On the other hand, other environments, particularly the school environment, could also contribute to myopia progression. While a previous study reported the effect of time spent on nearwork and outdoors in these participants and their joint effect with nearwork environment on myopia progression, future research...
FIGURE 5. Myopia progression versus scene defocus profile and peripheral refraction. High $SD_D$: more dispersed defocus profile; low $SD_D$: more uniform defocus profile; low $a_M$: more peripheral myopia; high $a_M$: more peripheral hyperopia; low $a_{10}$: steeper peripheral astigmatism profile; high $a_{10}$: flatter peripheral astigmatism profile; low $a_{180}$: more myopic peripheral vertical component; high $a_{180}$: more hyperopic peripheral vertical component.

TABLE 3. Hierarchical Regression for the Lag of Accommodation

| Characteristic | Raw B  | 95% CI   | Standardized B | P Value | VIF |
|---------------|-------|----------|----------------|---------|-----|
| Model 1a      |       |          |                |         |     |
| $tDV$         | $-0.10$ | $-0.22$  | to $0.02$ | $-0.25$  | $0.09$ | $1.03$ |
| $BL_M$        | $0.06$  | $-0.01$  | to $0.12$ | $0.25$  | $0.08$ | $1.03$ |
| Model 2a: Change in $R^2 = 0.08$, $P = 0.04$, achieved power = 55% |       |          |                |         |     |
| $tDV$         | $-0.13$ | $-0.24$  | to $-0.01$ | $-0.30$  | $0.04$ | $1.06$ |
| $BL_M$        | $0.05$  | $-0.01$  | to $0.11$ | $0.21$  | $0.13$ | $1.05$ |
| $m_{acc}$     | $1.56$  | $0.11$   | to $3.01$ | $0.30$  | $0.04$ | $1.06$ |
| Model 1b      |       |          |                |         |     |
| $tSD_D$       | $-0.12$ | $-0.24$  | to $-0.00$ | $-0.29$  | $0.04$ | $1.02$ |
| $BL_M$        | $0.06$  | $-0.01$  | to $0.12$ | $0.26$  | $0.07$ | $1.02$ |
| Model 2b: Change in $R^2 = 0.09$, $P = 0.03$, achieved power = 59% |       |          |                |         |     |
| $tSD_D$       | $-0.14$ | $-0.26$  | to $-0.03$ | $-0.33$  | $0.02$ | $1.05$ |
| $BL_M$        | $0.05$  | $-0.01$  | to $0.11$ | $0.21$  | $0.12$ | $1.04$ |
| $m_{acc}$     | $1.58$  | $0.16$   | to $3.01$ | $0.30$  | $0.03$ | $1.06$ |

BL_M, baseline spherical equivalent refraction; CI, confidence interval; $m_{acc}$, slope of accommodation stimulus–response curve; $tDV$, normality-transformed dioptric volume; $tSD_D$, normality-transformed standard deviation of scene defocus; VIF, Variance Inflation Factor.

should put effort in optimizing both home and school environment (e.g., in terms of defocus profile and spatial frequency) for better childhood and adolescent ocular development.41,42

CONCLUSION

To our knowledge, the current study is the first to preliminarily incorporate the intrinsic and extrinsic factors, which were the peripheral refraction and home nearwork scene defocus profile, respectively, to relate with refractive development in children. The results agreed that only peripheral refraction or accommodation alone was not predictable of myopia progression. However, with the additional consideration of the nearwork scene profile, which the children were exposed to over a long period of time, peripheral refraction became a significant factor in predicting refractive error development. Our findings further suggest that the peripheral refractive error may be a conjugate of the external environmental stimulus, which in turn modulates myopia progression. We speculate that in addition to myopia control interventions by optical means, modification of the nearwork environment could be another strategy aiding in retardation of myopia progression, which can be put on trials.

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