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The neuroinvasiveness, neurotropism, and neurovirulence of SARS-CoV-2

Lisa Bauer, Brigitta M. Laksono, Femke M.S. de Vrij, Steven A. Kushner, Oliver Harschnitz, and Debby van Riel

Severe acute respiratory syndrome-coronavirus 2 (SARS-CoV-2) infection is associated with a diverse spectrum of neurological complications during the acute and postacute stages. The pathogenesis of these complications is complex and dependent on many factors. For accurate and consistent interpretation of experimental data in this fast-growing field of research, it is essential to use terminology consistently. In this article, we outline the distinctions between neuroinvasiveness, neurotropism, and neurovirulence. Additionally, we discuss current knowledge of these distinct features underlying the pathogenesis of SARS-CoV-2-associated neurological complications. Lastly, we briefly discuss the advantages and limitations of different experimental models, and how these approaches can further be leveraged to advance the field.

Challenges in studying different aspects of the pathogenesis of SARS-CoV-2-associated CNS disease

A variety of neurological complications have been associated with coronavirus disease 2019 (COVID-19) in humans. The spectrum of these neurological complications is not fully understood, but it is clear that a substantial proportion of individuals have neurological complications during the acute and/or postacute stage [1,2]. In the acute stage, these complications include anosmia, cerebrovascular events, altered mental state, peripheral neuropathies, and encephalopathies [3,4]. Although frequencies vary, studies show that up to 80% of patients hospitalized with COVID-19 have neurological manifestations during the acute stage of disease [4]. Neurological symptoms during the postacute stage, which belong to the spectrum of complications associated with long COVID, are observed after not only moderate to severe, but also mild self-limiting respiratory disease (as defined in [5]). Several studies have shown that 30–60% of all patients still exhibit symptoms 6 months after disease onset, including neurological and psychiatric complications, such as intracranial hemorrhage, parkinsonism, cognitive impairment, and sleep disorders [6–8]. The impact of the increasing prevalence of long COVID, in particular with neurological symptoms, is not yet clear but is thought to carry long-term consequences and significant socioeconomic burdens.

A comprehensive understanding of the pathogenesis of neurological sequelae of SARS-CoV-2 is lacking but, given the range of these complications, there is likely to be more than one underlying mechanism. Possible mechanisms that may contribute to the pathogenesis of SARS-CoV-2-associated CNS diseases include hypoxia, immune-mediated damage, coagulation problems, and viral invasion into the CNS [9–11]. The proclivity of SARS-CoV-2 to enter the nervous system and its ability to infect and replicate in CNS cells have been studied extensively, with sometimes seemingly contradicting findings. However, (i) a viral infection is not a static event, because the anatomical location of active virus replication may evolve over time and eventually disappear; (ii) the course and severity of infection vary between individuals; and (iii) CNS cell types are highly diverse.
and comprise many different subpopulations of neurons and non-neural cell types, with often distinct cell-intrinsic antiviral immunity [12].

To understand how virus invasion and associated responses contribute to the pathogenesis of SARS-CoV-2-associated CNS diseases, it is important to distinguish between neuroinvasiveness (see Glossary), neurotropism, and neurovirulence. Unfortunately, these terms are not used consistently in the literature, leading to ambiguity of the conclusions across studies examining SARS-CoV-2 infection in the CNS. Thus, we emphasize that these definitions should be used correctly, as defined in much of the long-standing literature on the field of neuroinflammation, and as summarized in the Glossary. In this context, we discuss current knowledge of these different aspects underlying the pathogenesis of SARS-CoV-2 infection. For neuroinvasiveness and neurovirulence, we focus on in vivo findings in humans and in experimental animal models, because the latter can provide more detailed insights into the temporal kinetics of a SARS-CoV-2 infection. In vitro studies are included when neurotropism is discussed. Finally, we discuss different in vivo and in vitro models that can be used to study the neuroinvasiveness, neurotropism, and neurovirulence of SARS-CoV-2.

**Neuroinvasiveness**

Neuroinvasiveness refers to the ability of a virus to enter the PNS or CNS. Viruses can access the CNS through peripheral nerves and/or via the hematogenous route (Figure 1). SARS-CoV-2 may enter the CNS through nerve endings of cranial nerves (CNs) that innervate the respiratory tract, followed by axonal (either anterograde or retrograde) transport of the virus to the CNS. For hematogenous spread, the virus needs to spill over into the circulation (viremia) and subsequently cross the blood–brain barrier (BBB) and/or blood–cerebrospinal fluid barrier (B-CSFB) [13,14] (see Box 1).

The human respiratory tract, which is the primary replication site for SARS-CoV-2, is innervated by several CNs. The nasal cavity is innervated by the trigeminal nerve (CN V) and olfactory nerve (CN I); the upper respiratory tract by the facial (CN VII) and glossopharyngeal (CN IX) nerve; and the lower respiratory tract by the vagus nerve (CN X). There is increasing evidence that SARS-CoV-2 can use these CNs to enter the CNS. Studies in humans and experimental animal models provided evidence for virus invasion along the olfactory nerve by the detection of viral RNA or viral protein in sustentacular cells in the olfactory mucosa and, to a lesser extent, in olfactory nerve; and the lower respiratory tract by the vagus nerve (CN X). There is increasing evidence that SARS-CoV-2 can use these CNs to enter the CNS. 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Whether this results in virus spread into the brain parenchyma in vivo is unknown. SARS-CoV-2 infection of choroid plexus epithelial cells, and subsequent invasion into the CNS via the B-CSFB, has been suggested in several studies [31,33]. Altogether, evidence for virus invasion into the

Box 1. Neuroinvasiveness of respiratory viruses

Neurological complications are associated with many respiratory virus infections, including influenza A viruses, Enterovirus-D68, measles virus, respiratory syncytial virus, and human coronaviruses (SARS-CoV-1, Middle East respiratory syndrome (MERS), and human coronavirus-HCoV)-229E, -OC43, -NL63, and -HKU1) [13,100–102]. Respiratory viruses can access the CNS via peripheral nerves, including CNs that innervate the respiratory tract, or via the hematogenous route. For coronaviruses (e.g., HCoV-OC43 and -229E, and SARS-CoV-1), evidence suggests that virus entry into the CNS occurs preferentially via the olfactory nerve [103,104]. Influenza A viruses can use both the olfactory nerve and other CNs that innervate the respiratory tract, such as the trigeminal [105] and vagus nerves [106]. Enterovirus-D68 is thought to use peripheral nerves by transaxonal transport in motor neurons [107,108].

Hematogenous virus spread into the CNS can occur via different mechanisms. For example, respiratory syncytial virus and measles virus can infect blood leukocytes, which transmigrate through the BBB into the CNS (acting as a ‘Trojan Horse’) [109]. Hematogenous spread to the brain might also occur in the case of coronaviruses. While this has not been studied extensively, different strains of coronaviruses have been shown to infect myeloid cells, and cell-free virus has been detected in the blood (viremia) [110,111].
CNS via the BBB or B-CSFB is limited, but studies suggest that such invasion might occur, at least in a subset of patients.

The route of virus entry into the CNS likely influences disease manifestation. For example, Bell’s palsy, which some studies have suggested to be associated with SARS-CoV-2 infection, could be the result of virus invasion via the facial nerve [34]; virus infection along the olfactory nerve could result in anosmia [16–18,35]; and spread to the CNS via viremia and/or infection of brain microvascular endothelial cells may result in intracerebral hemorrhage [36,37]. Whether each of these infection routes is directly associated with specific disease manifestation requires more in-depth studies. A major goal for future work is to obtain a deeper understanding of the frequency and routes of CNS invasion by SARS-CoV-2, as well as how these potential routes of entry contribute to the observed diversity of CNS manifestations (see Outstanding questions).

Neurotropism

Neurotropism refers to the ability of a virus to infect and replicate in cells of the nervous system. Several reports investigated the cell tropism of SARS-CoV-2, including studies that examined its tropism along the olfactory nerve. The olfactory mucosa comprises OSNs, sustentacular cells, basal cells, and Bowman glands. In the submucosa, axon bundles of OSNs are enveloped by olfactory ensheathing cells (glial cells with Schwann-like properties) that form tunnels through the cribriform plate to the olfactory bulb. SARS-CoV-2 antigens or RNA have been frequently detected in sustentacular cells and Bowman glands in postmortem tissue from patients with COVID-19 [16,17], and in experimentally inoculated hamsters, mice, and ferrets (Figure 1) [17–19,29,38–40]. A few studies have found evidence for SARS-CoV-2 infection in OSNs early after inoculation in experimentally inoculated hamsters [17,18,40] or patients with COVID-19 with chronic anosmia [17]. However, in other studies, virus antigen in OSNs was not detected [20,29]. Whether these inconsistencies are related to differences in the time post infection, or reflect pathophysiological heterogeneity among individuals is not fully understood.

Once inside the CNS, the virus is exposed to different cell types, including various subtypes of neuron, glial lineage cell (oligodendrocyte precursor cells, oligodendrocytes, astrocytes, and ependymal cells), microglia, cells of the choroid plexus, and neurovascular cells, including vascular endothelial cells and pericytes (Figure 2). The receptor angiotensin converting enzyme-2 (ACE-2) is expressed in several brain locations, including the choroid plexus and olfactory bulb [41–43]. Cell types expressing ACE2 include excitatory and inhibitory neurons, and some non-neuronal cells, such as astrocytes, oligodendrocytes, and endothelial cells [41,44]. In humans, autopsies revealed SARS-CoV-2 antigens in the brain parenchyma and cortical neurons of some patients, while SARS-CoV-2 viral RNA has been detected in the substantia nigra [16,29]. Additionally, animal studies suggest that dopaminergic neurons and, to a lesser extent, cortical neurons, microglia, and astrocytes are susceptible to SARS-CoV-2 infection [29,45,46]. Human pluripotent stem cell (hPSC)-derived 2D cultures and 3D organoids (models reviewed in [47]) have been used to investigate the cell tropism of SARS-CoV-2 in vitro [48]. In general, studies suggest that different cell types, including dopaminergic neurons, cortical neurons, brain microvascular endothelial cells, and choroidal epithelial cells, are susceptible to SARS-CoV-2 infection, but, among these different cell types, there are differences in the permissiveness for SARS-CoV-2 (i.e., its ability to produce progeny virus) [29,49–56]. Studies in choroid plexus organoids showed that choroidal epithelial cells are permissive for SARS-CoV-2 infection [50,51,54–58]. Currently, there is no in vivo or in vitro evidence, to our knowledge, for productive infection of neural progenitor cells with SARS-CoV-2, and some studies have provided evidence arguing against this possibility [52,53,56].
In humans and in animal models, SARS-CoV-2 virus or virus antigen has been detected in endothelial cells of the brain [16]. Evidence for productive infection of primary endothelial cells derived from different organs is scarce, and in vitro studies showed that SARS-CoV-2 infects endothelial cells only when ACE2 is artificially overexpressed [59,60]. In principle, abortive infection (cell infection without follow-up production of progeny virus) of endothelial cells [59,60] or pericytes [61] could weaken the BBB, which could make it more susceptible to virus invasion or result in microvascular complications.

Most studies examining SARS-CoV-2 infection of CNS cell types have shown that infection is restricted to a subset of cells and that virus replication is often inefficient or even abortive. Despite this inefficient or abortive replication, infection is likely associated with changes in cellular functioning and responses. One of the mechanisms underlying altered cellular function following SARS-CoV-2 infection involves cellular senescence, which may affect both infected and bystander cells [45].

**Neurovirulence**

Neurovirulence indicates the ability of a viral infection to cause CNS pathology, independently from the ability of the virus to invade, or infect cells of, the CNS. There is substantial evidence that a SARS-CoV-2 infection can cause various neurological pathologies and neuropsychiatric symptoms during both the acute and postacute stage. The neurovirulent potential of SARS-CoV-2 is not restricted to cases of severe disease, and patients with mild or severe disease can develop neurological complications. Several mechanisms have been hypothesized to contribute to the neurovirulence of SARS-CoV-2, including virus invasion into the CNS, dysregulated systemic inflammatory responses, hypoxia, and autoimmune responses (Figure 3).

The neurovirulent potential of SARS-CoV-2 has been examined in postmortem brain tissue and in vivo animal models, and by radiological studies during the acute and postacute stages of COVID-19. Radiological studies suggest morphological changes, especially in the olfactory bulb (edema and microbleeding) [62–66], as well as loss of gray matter in the parahippocampal gyrus, lateral orbitofrontal cortex, and insula [66].
Anosmia has often been associated with SARS-CoV-2 infection, at least with the initial variants of the virus, but the underlying pathology is not fully understood. The mechanisms underlying olfactory dysfunction may involve a complex and possibly long-lasting interplay of dysregulated immune responses in the olfactory mucosa and the olfactory bulb, as well as virus-induced lesions along the olfactory tract [18, 35]. In hamsters and mice, there is evidence for focal destruction of the olfactory mucosa, associated with an influx of inflammatory cells [19]. Autopsy findings from patients with COVID-19 found focal atrophy and infiltrating CD45+ leukocytes, CD4+ T cells, CD8+ T cells, and activated macrophages in the olfactory mucosa in a subset of patients [16, 17, 67–69].

Several studies have found evidence for CNS inflammation after SARS-CoV-2 infection. In humans, activated microglia were found in the olfactory bulb, midbrain (specifically, in the substantia nigra), hindbrain, dorsal motor nucleus of the vagus nerve, and the pre-Bötzinger complex in the medulla [70]. Furthermore, multifocal microgliosis and astrogliosis were reported in older patients [71], although it could not be ruled out that these were associated with host factors [72]. Perivascular and parenchymal infiltrations of CD8+ cytotoxic T cells and macrophages have been reported postmortem in patients with COVID-19 and in intranasally inoculated mice at 6 days post inoculation [61, 71, 73]. Extensive inflammatory responses, such as astrogliosis, activation of microglia, and perivascular cuffing of T cells, were detected postmortem in both white and gray matter of patient brains regardless of their COVID-19 disease severity, a finding that appeared most pronounced in the cranial medulla oblongata and olfactory bulb [74]. Furthermore, evidence for acute hypoxic injury was observed in the cerebrum and cerebellum, with loss of neurons in the cerebral cortex, hippocampus, and cerebellar Purkinje cell layer [75]. In mice that express human ACE2 in their respiratory tract, SARS-CoV-2 infection triggered microglial activation and hypomyelination in the subcortical white matter and impaired neurogenesis in the
hippocampus [76]. In hamsters, SARS-CoV-2 infection triggers microglial and T cell activation in the olfactory bulb [18,35].

Neurovascular injuries and lesions in the vasculature of the CNS have been detected in patients hospitalized with COVID-19 [65,77,78]. Postmortem analyses on the CNS from patients who died with COVID-19 showed thinning of the basal lamina of endothelial cells and congested blood vessels with fibrinogen leakage, suggestive of microhemorrhages [70]. Studies in patients with COVID-19 and in animal models, including hamsters and K18-hACE2 mice, suggested that SARS-CoV-2 infection of brain vascular endothelial can lead to endothelial cell death and formation of string vessels in the cortex [30]. Additionally, perivascular infiltration of CD3+, CD8+ T cells, and macrophages, as well as the presence of hypertrophic astrocytes and activated microglia, were detected [16,17,68,69].

The underlying neurovirulent pathologies of SARS-CoV-2 are diverse, and it is plausible that there is not one sole mechanism triggering these changes. Furthermore, it is likely that host factors, such as sex and age, or underlying diseases, complicate the picture of the diverse CNS complications associated with SARS-CoV-2 infection (see Outstanding questions).Models and techniques to study the neuroinvasiveness, neurotropism, and neurovirulence of SARS-CoV-2

Studying the pathogenesis in human samples and human postmortem tissue can reveal important insights into neurological complications associated with SARS-CoV-2 infection, albeit with limitations. First, samples are collected after disease onset; thus, the early phases of the infection are not captured in this approach. Second, sequential sampling is often difficult and, in most cases (except postmortem studies), samples can only be taken from the CSF or from outside the CNS. Lastly, there is substantial heterogeneity in human cohorts, including differences in age, sex, comorbidities, and immune status. Complementing studies in humans, in vivo animal models and in vitro hPSC approaches can assist in elucidating pathological mechanisms during the acute and postacute stages of the disease as well as various aspects of virus–host cell interactions. However, extrapolating from animal data or human cellular modeling data to human clinical situations comes with limitations, which should be carefully considered.

In vivo models

Several animal models have been established to study the pathogenesis of SARS-CoV-2 infection in vivo, including models in mice, hamsters, and, to a lesser extent, ferrets and non-human primates (NHPs) [79,80]. Mice are not naturally sensitive to SARS-CoV-2 replication, but transgenic expression of human ACE2 or transduction of mice with adenovirus or adeno-associated viruses expressing human ACE2 sensitizes mice to SARS-CoV-2 infection. Although the tissue and cell ACE2 expression in these models differs from that in humans, these mice models have been used to study the effect of both virus replication [79–82] and inflammation-induced changes [73,83,84] in the brain. Although the observations cannot be extrapolated directly to humans, they provide important insights into the different mechanisms that could contribute to the large spectrum of neurological complications following SARS-CoV-2 infection.

Experimental inoculation of ferrets or NHPs with SARS-CoV-2 generally results in only mild respiratory disease, with limited evidence for CNS invasion [81,85,86]. However, these models could shed light on changes in the CNS during the acute and postacute stage of mild SARS-CoV-2 infection [87].

Experimental inoculation of hamsters results in more severe respiratory disease, with virus being detected in extrapulmonary tissues, including the CNS. Studies in Syrian hamsters detected viral...
RNA in the CNS as well as inflammation and changes in the neurovascular system [17, 30]. Although the Syrian hamster has been used most frequently in hamster studies, it was recently shown that, in Roborovski dwarf hamsters, inbred hamsters, transgenic hamsters expressing human ACE2, and obese hamsters, SARS-CoV-2 infection resulted in more severe disease and systemic spread, including virus spread to the CNS [88–91].

The different in vivo models enable studies of the neuroinvasiveness, neurotropism, and neurovirulence of SARS-CoV-2 during the course of infection, including before disease onset and the postacute phase. In our opinion, these models provide a unique opportunity to study the impact of virus dose, sex, age, obesity, as well as other risk factors and comorbidities on the pathogenesis during the acute and postacute stages in a controlled manner, which is challenging in humans. However, there are differences in the course of disease among the in vivo models and, thus, it is important to choose the most suitable model for specific research questions.

In vitro models

hPSC-based models are ideal tools to investigate the interaction between SARS-CoV-2 and cells of the CNS and PNS. These models, either 2D or 3D, allow the investigation of neural and non-neural cells from different CNS regions, which are known to display extensive heterogeneity in their gene expression profiles, functionality, and immunological status. Moreover, hPSC-derived sensory neurons can be used to address the question of whether and which sensory neuron subtypes are susceptible to SARS-CoV-2 infection, and whether they facilitate transaxonal transport. Due to their scalability, hPSC-based models are particularly well suited for large-scale compound screening and whole-genome screens to dissect virus-host interactions.

Methodological considerations

When studying SARS-CoV-2 infection in different cells and/or tissues, it is important to differentiate between susceptibility or permissiveness. Susceptibility can be shown by the detection of infectious virus over time by determining the tissue culture infectious dose (TCID) or plaque-forming units (PFU). Alternatively, the detection of viral antigens or RNA using in situ hybridization, qPCR, or RNA-seq). When these analyses are performed on tissue sections from in vivo models, the location of virus antigen can directly be associated with histological lesions. Nonetheless, these assays require the use of adequate controls, such as isotype and omission-negative controls, and preferentially the inclusion of two methods to ensure true detection of infection. Ideally, permissiveness is determined in vitro by the detection of an increase in infectious virus over time by determining the tissue culture infectious dose (TCID) or plaque-forming units (PFU). Alternatively, the detection of virus antigen or RNA in vivo, together with the location and presence of histological lesions, detection of virus particles by electron microscopy, or isolation of infectious virus, are also suggestive of active virus replication.

Concluding remarks

Currently available data show that SARS-CoV-2 has neuroinvasive potential, that its neurotropism is limited, and that it can be neurovirulent in at least a subgroup of patients. This concurs with observations from the clinic, where the impact of SARS-CoV-2-associated CNS complications appears limited during the acute phase, but more prominent during the postacute phase. Reports of severe disease during the acute phase, such as encephalitis, exist, but these are rare compared with the number of people infected [92, 93]. However, the percentage of patients with SARS-CoV-2-associated CNS impairments during the postacute phase, which are part of the wide spectrum of complications associated with long COVID, can be up to 30–60% [5–7].

CNS complications observed during the acute and postacute stages of SARS-CoV-2 infection are diverse, which might be related, at least in part, to host factors, comorbidities, immune status
of the host, virus variants, or other variables [34–96]. Host factors or comorbidities that affect the risk of developing severe disease from COVID-19 include age, sex, metabolic status, or pre-existing neurological conditions. Whether each of these factors influences the development of CNS disease and, if so how, is not fully understood. In addition, potential differences among SARS-CoV-2 variants in terms of their neuroinvasiveness, neurotropism, or neurovirulence remain to be further investigated, although it has already been shown that SARS-CoV-2 variants differ in the pathogenesis of respiratory disease [97,98]. The possible emergence of future variants could add additional complexity to the issue. Furthermore, the risk of developing CNS diseases after infection with SARS-CoV-2 might change after vaccination or prior to SARS-CoV-2 infection. It has already been shown that vaccination partly protects against the development of long COVID [99]. Other variables that might influence the development of CNS disease include, for example, the infection dose and primary replication site of the virus [73].

As both the acute and postacute disease burdens of COVID-19 continue to increase, there is a pressing need to better understand the contribution of all factors that influence the course of disease and how they contribute to CNS complications (see Outstanding questions). Much remains to be learned about the underlying mechanisms leading to SARS-CoV-2-induced neuropathology. In vitro and in vivo models, together with analyses in patients, can reveal important insights into the neuroinvasiveness, neurotropism, and neurovirulence of SARS-CoV-2 variants within different environmental settings and host factors.

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Declaration of interests

The authors declare no conflicts of interest.

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