Abstract—Most pooling methods in state-of-the-art speaker embedding networks are implemented in the temporal domain. However, due to the high non-stationarity in the feature maps produced from the last frame-level layer, it is not advantageous to use the global statistics (e.g., means and standard deviations) of the temporal feature maps as aggregated embeddings. This motivates us to explore stationary spectral representations and perform aggregation in the spectral domain. In this paper, we propose attentive short-time spectral pooling (attentive STSP) from a Fourier perspective to exploit the local stationarity of the feature maps. In attentive STSP, for each utterance, we compute the spectral representations through a weighted average of the windowed segments within each spectrogram by attention weights and aggregate their lowest spectral components to form the speaker embedding. Because most energy of the feature maps is concentrated in the low-frequency region in the spectral domain, attentive STSP facilitates the information aggregation by retaining the low spectral components only. Moreover, due to the segment-level attention mechanism, attentive STSP can produce smoother attention weights (weights with less variations) than attentive pooling and generalize better to unseen data, making it more robust against the adverse effect of the non-stationarity in the feature maps. Attentive STSP is shown to consistently outperform attentive pooling on VoxCeleb1, VOiCES19-eval, SRE16-eval, and SRE18-CMN2-eval. This observation suggests that applying segment-level attention and leveraging low spectral components can produce discriminative speaker embeddings.

Index Terms—Speaker verification, speaker embedding, short-time Fourier transform, self-attention, statistics pooling.

I. INTRODUCTION

SPEAKER embedding plays a vital role in boosting the performance of speaker verification (SV). Modern speaker embeddings mostly use deep neural networks (DNNs) to process frame-level acoustic feature vectors [1]–[4]. For instance, time delay neural networks (TDNNs), ResNets [5], DenseNets [6], and Res2Nets [7] have been widely used to extract the frame-level information in speaker embedding networks [3], [4], [8], [9]. Compared with the traditional i-vectors [10], DNN-based speaker embeddings are not only more speaker discriminative but also more robust to noise, reverberation, and domain mismatch [11]–[14]. In particular, the convolutional neural network (CNN) based speaker embedding has become the state-of-the-art due to its outstanding performance [15], [16].

The current speaker embedding extractors often share a similar structure: a CNN-based frame-level network, a pooling layer, and a fully-connected utterance-level network. Because the embedding network aims to produce fixed-dimensional embeddings from variable-length utterances, how to aggregate speaker information from frame-level representations into utterance-level embeddings is of significant importance.

One common aggregation strategy is to use channel-wise means and standard deviations of the last frame-level feature maps as the summarization of the whole utterance [3]. However, due to the sharp dimensionality reduction of the frame-level features, some speaker information will inevitably be lost in the aggregation process, even though multiple heads [8], [17], [18] or higher-order statistics [19] are utilized. Another way to aggregate information is to enhance the mutual information between the frame-level features and the aggregated embeddings. In [20], a mutual information neural estimator (MINE) was introduced in the pooling layer so that more meaningful information can be preserved in the aggregated statistics. However, this method only shows marginal improvement over those without an MINE.

Besides using a limited number of statistics (e.g., means, standard deviations, etc.) for aggregation or explicitly regularizing the aggregated features for information preservation, we can perform aggregation from a Fourier perspective. This is also the objective of this paper.

A. Motivation

In [21], spectral pooling was proposed to replace max pooling for better information preservation in computer vision. This method involves three steps: 1) transforming the convolutional features from the spatial domain to the spectral domain by discrete Fourier transform (DFT), 2) cropping and retaining the low spectral components, and 3) performing inverse DFT on the cropped features to transform them back to the spatial domain. Because most energy of the spectral representations locates in the low-frequency region, spectral pooling is able to preserve most of the feature information by retaining the low spectral components.

However, because DFT can only be applied to deterministic or wide-sense stationary signals, it is not suitable for non-stationary speech signals [22]. To account for the non-stationarity of the convolutional feature maps in speaker
embedding networks, short-time spectral pooling (STSP) was proposed in [23] by replacing DFT with short-time Fourier transform (STFT) [24]. Another difference with the spectral pooling in [21] is that STSP does not require an inverse DFT operation because it performs aggregation completely in the spectral domain. To summarize, STSP 1) transforms the temporal feature maps to the spectral domain by STFT to obtain spectrograms, 2) computes the spectral representations by averaging the spectrogram and its square, and 3) retains the lowest components of the spectral representations as aggregated statistics.

Attributed to the desirable property that most of the energy of the spectral representations is concentrated near the DC (zero-frequency) component, STSP facilitates the aggregation process by preserving the majority of speaker information in a small number of spectral components only. It was shown in [23] that STSP is a generalized statistics pooling method. This is because from a Fourier perspective, statistics pooling only exploits the DC components in the spectral domain, whereas STSP incorporates more spectral components besides the DC ones during aggregation and is able to retain richer speaker information. The experimental results on VoxCeleb1 also verify that STSP remarkably outperforms the statistics pooling method.

However, one limitation of STSP is that the brute average of the spectrograms along the temporal axis ignores the importance of individual windowed segments. In other words, all segments in a specific spectrogram were treated with equal importance when computing the spectral representations. In practice, however, this is not reasonable because the energy of individual segments varies dramatically versus time, especially for long utterances. Therefore, it is unlikely that each segment contributes equally to the discrimination of speakers. The simple average of the segments can also be the cause of the performance drop of STSP on VOiCES 2019 [23].

To address the above limitation of STSP, we propose applying self-attention [25] on the windowed segments for each spectrogram while computing the spectral representations. As a result, the discriminative segments can be emphasized during aggregation. We call the proposed method attentive STSP in this paper. Unlike the conventional attention mechanisms for speaker embedding that perform attention on temporal frames [8], [17], [18], [26], attentive STSP performs attention on individual windowed segments. One benefit of this segment-level attention is that attentive STSP can produce smoother attention weights than the conventional frame-level attention mechanisms. In [27], the authors showed that the smoothness of a network’s weights is closely related to its generalization capability and verified that weights with less variations lead to better generalization performance. Therefore, the smoother attention weight vectors can equip attentive STSP with better generalization performance than attentive pooling, contributing to greater robustness against the non-stationarity in the frame-level feature maps.

On the other hand, it is well known that state-of-the-art speaker embedding networks are mostly trained on short utterances of several seconds [15], [16], but these embedding systems are often tested on evaluation sets of a duration in minutes, e.g., SRE16, SRE18, etc [28]. Because of the duration mismatch between the training and testing conditions, the performance often degrades dramatically. One possibility of the performance degradation is that there is a larger degree of non-stationarity in the final convolutional feature maps for long utterances than that for short ones. Attributed to the good generalization capability of attentive STSP, robustness against the non-stationary feature maps can be achieved during aggregation, which can largely alleviated duration mismatch.

The contributions of this paper are summarized as follows:

1) Propose a new STSP with self-attention in the spectral domain to improve the vanilla STSP in [23].
2) Introduce a segment-level attention mechanism to enhance generalization capability and the robustness against the non-stationarity in the final convolutional feature maps.
3) Alleviate the duration mismatch problem in SRE16 and SRE18-CMN2 evaluations.

B. Related Works

Various pooling methods have been used for speaker embeddings. In [2], the channel-wise mean vectors of frame-level features were exploited in temporal pooling. In the x-vector extractor, both the means and the standard deviations are computed through a statistics pooling layer [3]. Compared with temporal pooling, statistics pooling shows remarkably better performance and has become a baseline pooling strategy. By simultaneously pooling over the features from different frame-level layers, the authors of [29] increased the number of aggregated statistics by multiple times. Inspired by the NetVLAD architecture [30] in computer vision, the authors of [31] proposed the learnable dictionary encoding (LDE) where the encoded vectors act like the means of a Gaussian mixture model (GMM). In [4], a NetVLAD layer was directly applied for utterance-level aggregation.

Another popular category is the attention-based pooling. For instance, an attention mechanism was introduced to weight the temporal frames so that the attended frames can substantially contribute to speaker discrimination [26]. To increase the representational capacity of the aggregated embeddings, multi-head attentive pooling was proposed to attend the convolutional features from multiple perspectives [17]. The authors of [18] further extended this multi-head idea and diversified the attention heads by allowing different resolutions in the multiple heads. Different from [17] where each head attends the frame-level features across all channels, the authors of [32] applied each head to a subset of the channels. By integrating the attention mechanism and GMM clustering, the authors of [8] proposed a mixture of attentive pooling from a probabilistic perspective. It was shown that this method outperforms multi-head attentive pooling on VoxCeleb1 and VOiCES 2019.

In [33], a joint time-frequency pooling was introduced for utterance-level aggregation. However, because frequency pooling along the frequency axis uses the same strategy as temporal pooling, it is different from our proposed method, where pooling is operated in the Fourier transformed domain obtained by applying STFT to the temporal feature maps.
This paper is organized as follows. In Section II, we briefly introduce the architecture of the speaker embedding network and several existing pooling methods. Section III details the principle of the proposed attentive STSP and clarifies its relationship with the previous works. The experimental settings and results are provided in Section IV and Section V, respectively. We then give conclusions in Section VI.

II. SPEAKER EMBEDDING

In this paper, we investigate the proposed pooling method on the modified x-vector architecture. Statistics pooling [3] and multi-head attentive pooling [17] are used as the baseline pooling strategies for utterance-level aggregation.

A. Network Architecture

As illustrated in Table I, the configuration of the speaker embedding network used in this paper is slightly different from [3] in that only one nonlinear hidden layer of 256 nodes is used for utterance-level processing. Each TDNN layer aggregates several contextual frames from the previous layer, and 15 frames of the input acoustic features are processed at the output of Layer 3. The additive margin softmax (AMSoftmax) [34] is used in the output layer. For each utterance, its speaker embedding vector is the affine output at Layer 7.

| Layer | Layer type          | Layer context | Total context | Output dimension |
|-------|---------------------|---------------|---------------|-----------------|
| 1     | TDNN-BN-ReLU        | \(t - 2, t + 2\) | 5             | 512             |
| 2     | TDNN-BN-ReLU        | \(t - 2, t, t + 2\) | 9             | 512             |
| 3     | TDNN-BN-ReLU        | \(t - 3, t, t + 3\) | 15            | 512             |
| 4     | Dense-BN-ReLU       | \(t\)         | 15            | 1,500           |
| 5     | Dense-BN-ReLU       | \(t\)         | 15            | 1,500           |
| 6     | Pooling             | 0, \(T\)     | \(T\)         | 3,000           |
| 7     | Dense-BN             | 0, \(T\)     | \(T\)         | 256             |
| 8     | AMSoftmax           | 0, \(T\)     | \(T\)         | \(N_{spk}\)     |

B. Pooling Strategy

1) Statistics Pooling: The default pooling method for x-vector is statistics pooling. Denote \(H = \{h_t\}_{t=0}^{T-1} \in \mathbb{R}^{C \times T}\) as a sequence of frame-level vectors fed to the pooling layer, where \(C\) is the number of channels in the feature map \(H\) and \(T\) is the number of frames, the aggregated representation \(z\) is expressed as

\[
z = (\mu, \sigma),
\]

where

\[
\mu = \frac{1}{T} \sum_{t=0}^{T-1} h_t, \tag{2}
\]

and

\[
\sigma = \left(\frac{1}{T} \text{diag} \left( \sum_{t=0}^{T-1} h_t h_t^T - \mu \mu^T \right) \right)^{1/2}. \tag{3}
\]

In (3), \(\text{diag}(\cdot)\) means constructing a vector using the diagonal elements of a square matrix and the square root is operated element-wise. In short, the aggregated representation \(z\) is the concatenation of channel-wise means and standard deviations of the feature map.

2) Multi-head Attentive Pooling: In [17], an attention mechanism with multiple heads was introduced to attend frame-level features from various perspectives. Let us consider an \(H\)-head attention network with a \(\tan h\) hidden layer of \(D\) nodes and a linear output layer. The attention weight matrix \(A = (a_{t,h}) \in \mathbb{R}^{T \times H}\) can be computed as

\[
A = \text{Softmax} \left( \tan h \left( \sum_{i=1}^{H} \frac{1}{Y} \text{diag} \left( \sum_{t=0}^{T-1} a_{t,i} h_t h_t^T - \mu_h \mu_h^T \right) \right) \right), \tag{4}
\]

where \(W_1 \in \mathbb{R}^{C \times D}\) and \(W_2 \in \mathbb{R}^{D \times H}\) are trainable weight matrices and the softmax function is operated column-wise. For the \(h\)-th head \((h \in \{1, \ldots, H\})\), the attended mean and standard deviation vectors are computed as follows:

\[
\mu_h = \sum_{t=0}^{T-1} a_{t,h} h_t, \quad \sigma_h = \left( \text{diag} \left( \sum_{t=0}^{T-1} a_{t,h} h_t h_t^T - \mu_h \mu_h^T \right) \right)^{1/2}. \tag{5}
\]

Finally, we have the aggregated feature as follows:

\[
z = (\mu_1, \sigma_1, \ldots, \mu_H, \sigma_H). \tag{7}
\]

A major difference between statistics pooling and attentive pooling is that the latter scales the feature maps by an attention weight vector \(a_{t,h} \in \mathbb{R}^{T-1}\) for each head \(h\) during the pooling process (see (5) and (6)). The purpose of the attention weight vector is to emphasize discriminative frames for information aggregation. Because multi-head attentive pooling has \(H\) independent attention weight vectors in \(A\), its capacity for information preservation is larger than that of single-head attentive pooling.

C. Additive Margin Softmax Loss

We used additive margin softmax loss [34] to train the embedding network:

\[
\mathcal{L} = - \frac{1}{N_{trn}} \sum_{i=1}^{N_{trn}} \log \frac{e^{s \cos \theta_{yi} - m}}{\sum_{j=1, j \neq y_i}^{N_{spk}} e^{s \cos \theta_{yi}}} + \sum_{j=1, j \neq y_i}^{N_{spk}} e^{s \theta}, \tag{8}
\]

where \(m\) and \(s\) denote the cosine margin and the scaling factor, respectively. \(z'\) is the aggregated representation of the \(i\)-th training sample, and \(y_i\) is the corresponding speaker label. \(w_j\), which corresponds to the \(j\)-th output node, is the \(j\)-th column of the weight matrix \(W\), i.e., \(W = \{w_{j}\}_{j=1}^{N_{spk}}\).

III. ATTENTIVE SHORT-TIME SPECTRAL POOLING

In this section, we propose attentive short-time spectral pooling (attentive STSP) as an extension of the STSP in [23]. The principle and the rationale of attentive STSP are detailed. Its relationships to conventional pooling methods are explained.
A. Methodology

Fig. 1 (a) shows the process of attentive STSP. Because the pooling layer sits between the frame-level subnetwork and the utterance-level subnetwork, spectral analysis is performed on the output feature maps of the last convolutional layer, not on the MFCCs or filter-bank features. Given the $c$-th channel feature $x_c = \{x_c(t)\}_{t=0}^{T-1}$ of a convolutional feature map $x_c \in \mathbb{R}^{C \times T}$, its short-time Fourier transform (STFT) [24] is expressed as follows:

$$X_c(n, k) = \sum_{t=0}^{T-1} x_c(t) w(t-nS)e^{-\frac{j2\pi kt}{L}},$$  \hspace{1cm} (9)

where $w(\cdot)$ is a window function of length $L$, $S$ denotes the sliding step of the window, $n$ indexes the temporal segments (sliding windows), and $k = 0, \ldots, L-1$ indexes the frequency components. Note that in this paper, we always make sure that the STFT length (the length of Fourier transform during STFT) is equal to the window length $L$. Equation (9) suggests that by sliding the window, we may apply multiple STFTs on a 1-D sequence to produce a 2-D spectral feature map with a temporal index $n$ and a frequency index $k$ for each channel.

It is necessary to compute the spectral representation for each channel. Rather than brutally average the windowed segments within the spectrogram $|X_c(n,k)|$, we apply a weighted average of these segments by an $H$-head attention weight matrix and obtain a spectral sequence for each head as follows:

$$M^h_c(k) = \sum_{n=0}^{N-1} \alpha^h_n |X_c(n,k)|, \quad k = 0, \ldots, L-1$$  \hspace{1cm} (10)

where $N = \text{floor}((T-L)/S) + 1$ is the number of windowed temporal segments, $h \in [1, H]$ indexes the attention heads, and $\alpha^h = \{\alpha^h_n\}_{n=0}^{N-1}$ denotes the attention weight vector corresponding to head $h$. Similarly, if we attend to the square of the spectrogram, we obtain the second-order spectral statistics:

$$P^h_c(k) = \sum_{n=0}^{N-1} \alpha^h_n |X_c(n,k)|^2, \quad k = 0, \ldots, L-1.$$  \hspace{1cm} (11)

The attention mechanism is shown in Fig. 1 (b). Note that the attention process is operated on the windowed segments within each spectrogram. We first average the spectrogram for each channel along the frequency axis to make sure that all the spectral components within a specific segment share the same attention weights. The resulting feature map is denoted as $G = \{G_c(n)\}_{c=1}^{C} \in \mathbb{R}^{C \times N}$, where

$$G_c(n) = \frac{1}{L} \sum_{k=0}^{L-1} |X_c(n,k)|, \quad n = 0, \ldots, N-1.$$  \hspace{1cm} (12)

Similar as (4), the attention weight matrix $A^{\text{STSP}} = \{\alpha^h\}_{h=1}^{H}$ is computed as follows:

$$A^{\text{STSP}} = \text{Softmax} \left( \text{tanh} \left( G^{T} W_1^{\text{STSP}} \right) W_2^{\text{STSP}} \right),$$  \hspace{1cm} (13)

where $W_1^{\text{STSP}} \in \mathbb{R}^{C \times D}$ and $W_2^{\text{STSP}} \in \mathbb{R}^{D \times H}$ are trainable weight matrices.

During aggregation, we concatenate $M^h_c(0)$ and the square roots of the lowest $R$ components of $P^h_c(k)$ to form the utterance-level representation of channel $c$ for head $h$:

$$z^h_c = \left( M^h_c(0), \sqrt{P^h_c(0)}, \ldots, \sqrt{P^h_c(R-1)} \right).$$  \hspace{1cm} (14)

The final utterance-level feature is produced by concatenating the spectral statistics of all channels and all heads:

$$z = (z^1_1, \ldots, z^h_1, \ldots, z^H_1).$$  \hspace{1cm} (15)

B. Rationale and Validity of Attentive STSP

We include $M^h_c(0)$ in (14) for aggregation because this DC component is closely related to the mean of the $c$-th channel sequence (for head $h$). After all, the DC component of the Fourier transform of a sequence is equal to its mean. Similarly, $P^h_c(0)$ has a close relationship with the energy of the sequence. Therefore, using $M^h_c(0)$ and $\sqrt{P^h_c(0)}$ for aggregation is an analogy to using means and standard deviations in statistics pooling (see details in Section III-C). For the $k$-th spectral component ($k > 1$), because $M^h_c(k)$ and $P^h_c(k)$ are both related to the $k$-th frequency, the information in $M^h_c(k)$ and $P^h_c(k)$ will be correlated. This property motivates us to keep $P^h_c(k)$ only in the aggregation process.

As mentioned in Section I-A, to facilitate the aggregation process, STSP requires that the energy of the features should concentrate in the low-frequency region [23]. To demonstrate that attentive STSP also satisfies this requirement, we provide empirical evidences by plotting the statistics of the spectral representations computed from (10) and (11). The procedure for computing the spectral representations is as follows:

1. Randomly select 20 utterances from each of the 1,211 speakers in the VoxCeleb1 development set.
2. Extract 40-dimensional filter-bank features from the selected utterances and perform cepstral mean normalization with a sliding window of 3 seconds.
3. Train an embedding system with a single-head attentive STSP layer ($H = 1$) using 5,984 speakers from the Voxceleb2 development set.
4. Extract the feature maps from the last convolutional layer of the embedding network.
5. Compute the spectral representations $M_c^1(k)$ and $P_c^1(k)$ according to (10) and (11), respectively.

The training procedure of the embedding network is detailed in Section IV-A.

Fig. 2 shows the statistics of $M_c^1(k)$ and $P_c^1(k)$ over 24,220 utterances. We observe that both $M_c^1(k)$ and $P_c^1(k)$ of a randomly selected channel have most of their energy concentrated in the low-frequency region. This validates the feasibility of attentive STSP for utterance-level aggregation. Attributed to the desirable statistics of $M_c^h(k)$ and $P_c^h(k)$ in the spectral domain, STSP facilitates the aggregation by keeping the lowest spectral components only.\footnote{According to Parseval’s theorem [36], the energy of a signal in the temporal domain is equal to that in the spectral domain.}
Fig. 1. (a) Schematic of attentive short-time spectral pooling. The left part depicts the signal flow within the embedding network, whereas the right part details the pipeline of attentive STSP. In the green dashed box, the left-most graph in the second row illustrates a temporal feature map extracted from the last convolutional layer. The bottom-left spectrograms were produced by STFT with length $L = 8$, and the vertical red boxes on top of the spectrogram denote spectral arrays to be averaged along the time axis by an attention weight matrix. The actual values of $M_{hc}$ and $P_{hc} (\text{only } h = 1 \text{ is considered here})$ after applying (10) and (11) are shown in the middle and the right-most maps in the second row, respectively. The top three plots correspond to the row vectors with elements $x_{c}(t), M_{1c}(k)$, and $P_{1c}(k)$ in the red boxes, respectively. All the spectral features in the green boxes in the second row are concatenated to form the final utterance-level statistics (see (14) and (15) for details). (b) Schematic of the attention mechanism used in attentive STSP. The middle feature map denotes the actual value of $G$ and the node graph illustrates an $H$-head attention network. The attention weight matrix $A_{\text{STSP}}$ is computed as in (13).

domain also reflects that the frame-level network is a low-pass filtering system. In [37], Rahaman et al. interpreted the generalization of DNNs [38], [39] from a Fourier perspective and revealed a learning bias of DNNs towards low-frequency functions (spectral bias). Although there is no exact clue that the low-pass characteristic of the speaker embedding network is completely attributed to the spectral bias of CNNs, we believe that this bias at least contributes partially to the low-pass property of the frame-level networks. On the other hand, in both temporal pooling [2] and statistics pooling [3], global averaging is used to extract the mean vector of the whole temporal features. In fact, global averaging can be seen as mean filtering with a global kernel [40], which is a low-pass filtering operation. Therefore, the pooling methods in [2] and [3] have already implicitly exploited the low-pass characteristic of the CNNs, although they only use the DC components of the spectral representations. Similar to the vanilla STSP, the proposed attentive STSP explicitly explores the low-pass filtering effect and improves these pooling strategies by accounting for more spectral components besides the DC ones. Thus, attentive STSP preserves more speaker information than the conventional statistics pooling during aggregation.

From Fig. 2, we also observe that $P_{h}(k)$ decays faster than $M_{h}(k)$ and are more energy-concentrated towards the zero frequency. We hypothesize that using $P_{h}(k)$ can be more effective than using $M_{h}(k)$ alone (see Section V-E for details). Taking this observation into account and to avoid using repeated information, we only use $\sqrt{P_{h}(k)} (k > 1)$
in (14) for aggregation.

C. Relation to Previous Works

Attentive STSP is a generalized STSP in that if we apply equal attention weights produced from a single-head attention network on the windowed segments in (10) and (11), i.e., $\alpha_n^1 = 1/N$ for $n \in [1,N]$, attentive STSP reduces to the vanilla STSP in [23]. Due to the attention mechanism, attentive STSP is able to emphasize on the segments with richer speaker information during aggregation, contributing to a more discriminative power for speaker embedding than STSP.

As demonstrated in [23], STSP becomes statistics pooling under specific conditions. Because attentive STSP generalizes STSP, it is also closely related to statistics pooling. Under the condition where single-head attention is implemented and equal attention weights are applied, if we set $k = 0$ and use a rectangular window without any overlap between successive segments (i.e., $S = L$) in (10), the DC component $M^1_c(0) = \frac{1}{N} \sum_{t=0}^{N-L-1} x_c(t)$ approximates the mean of $x_c$ multiplied by a scaling factor $L$. On the other hand, setting $k = 0$ in (11) resembles computing the power of $x_c$. In the extreme case where $S = L = 1$, we have $P^1_c(0) = \frac{1}{T} \sum_{t=0}^{T-1} (x_c(t))^2$. This means that under these conditions, using the means and standard deviations in statistics pooling is an analogy to using the DC components ($k = 0$ in (10) and (11)) in attentive STSP. Therefore, like STSP, attentive STSP can also be seen as a generalized statistics pooling method. Because attentive STSP has the advantage of including higher-frequency components

\[ (k > 0) \] for pooling, it can preserve more information than statistics pooling.

Attentive STSP has a close relationship with multi-head attentive pooling [17] because they both apply an attention mechanism during aggregation. However, there are two major differences between these two methods. Firstly, as shown in (13), attentive STSP performs attention on a series of windowed segments in $G$, whereas multi-head attentive pooling implements an attention network on a sequence of frames as in (4). One advantage of the segment-level attention in attentive STSP is that the attention network produces much smoother attention weights than those of the frame-level attention in multi-head attentive pooling. According to the conclusion in [27], due to the smoother attention weights, the attention network of attentive STSP can generalize better than that of attentive pooling. The better generalization makes attentive STSP more robust against the non-stationary frame-level feature maps than multi-head attentive pooling. On the other hand, if we consider a feature sequence at the final convolutional layer as a realization of a stochastic process, its statistics (e.g., mean, standard deviation, etc.) will not change with time unless the process is stationary. However, once the stationarity assumption is violated, which is common for aggregation, these statistics will be time-varying and become unreliable to summarize the whole process. This suggests that the performance on longer utterances would suffer more severely because of the higher non-stationarity in the feature sequence. Attribute to the strong robustness against the non-stationarity in the feature maps, attentive STSP can reduce the adverse effect on long utterances and thus is beneficial in alleviating the duration mismatch between the (short) training and (long) evaluation sets.

Besides applying segment-level attention to enhance speaker information for aggregation, attentive STSP further preserves the speaker information by retaining the informative spectral components only. Note that not all the components in the spectral domain are beneficial for aggregation. Specifically, incorporating high-frequency components can cause detrimental effect to the speaker embeddings because these components are very noisy. In contrast, because multi-head attentive pooling takes all the temporal frames into account, it always includes all the spectral information during aggregation (due to the equivalence of information between the temporal domain and the spectral domain). Therefore, attentive STSP is advantageous to multi-head attentive pooling in information distillation.

In summary, the segment-level attention mechanism and the retaining of informative components in the spectral domain endow attentive STSP with two levels of speaker information enhancement during aggregation. This is also the novelty of attentive STSP.

Note that the windowed segment attention in attentive STSP is different from the sliding-window attention in [41] and [42], although both attention mechanisms involve the term “window.” In particular, the segment-level attention in this

\[ \text{Here, “smoother” means smaller variations in the attention weights across the segments/frames.} \]
paper is operated on the windowed segments to account for the local stationarity of the temporal feature maps. The attention mechanism aims to learn the global relationships across all of the windowed segments in an utterance. In contrast, the sliding-window attention takes a series of tokens (equivalent to frames in speaker verification) as input and only models the local relationships of the tokens within each sliding window. The objective is to reduce computation relative to the full attention [25]. Therefore, these two methods differ completely in their inputs, operating mechanisms, and objectives.

Interestingly, attentive STSP is also related to the modulation spectrum of speech [43], [44] because the spectral representations in attentive STSP and modulation spectrum are both produced from spectrograms. However, due to the differences in the input, the way to produce the spectrograms, and the strategy to compute the spectral representations, attentive STSP differs substantially from modulation spectrum. First, attentive STSP is operated on the output feature maps at the last convolutional layer of a speaker embedding network, whereas modulation spectrum takes speech signals as input. Second, attentive STSP applies STFT to perform time-frequency transformation, whereas filter-bank analysis is typically adopted for computing the spectrograms in modulation spectrum. Third, to compute modulation spectra, handcrafted bandpass filtering is often applied to the spectrograms, e.g., a linear filter is applied to the log-transformed spectrograms in RASTA processing [45]. In contrast, we compute $M^h(k)$'s and $P^c(k)$'s through a weighted average of the spectrogram and its square.

IV. EXPERIMENTAL SETUP

Four pooling methods are compared in this paper, i.e., statistics pooling [3], multi-head attentive pooling [17], STSP [23], and the proposed attentive STSP. We evaluated the performance of these pooling methods on the VoxCeleb1 test set (clean) [15], the VOiCES 2019 evaluation set [46], the SRE16 evaluation set [47], and the SRE18-CMN2 evaluation set [48].

A. Training of Speaker Embedding Extractor

For the evaluation of VoxCeleb1, only the VoxCeleb2 development subset (approximate 2 million utterances from 5,984 speakers) was used for training. Whereas both VoxCeleb1 development and VoxCeleb2 development data were used as the training set for VOiCES 2019, which amounts to about 2.1 million utterances from 7,185 speakers. We followed the Kaldi’s VoxCeleb recipe to prepare the training data, i.e., using 40-dimensional filter bank features, performing energy-based voice activity detection, implementing augmentation (by adding reverberation, noise, music and babble to the original speech files), applying cepstral mean normalization with a window of 3 seconds, and filtering out utterances with a duration less than 4 seconds.\(^4\) Totally, we had approximately twice the number of clean utterances for training the embedding network.

\(^4\)https://github.com/kaldi-asr/kaldi/tree/master/egs/voxceleb/v2.

For both SRE16 and SRE18-CMN2 evaluations, we followed the Kaldi’s SRE16 recipe to prepare the training data.\(^5\) Instead of using the 40-dimensional filter bank features, 23-dimensional MFCCs were used for training. The training set consists of SRE04–10, Mixer 6, Switchboard Cellular, and Switchboard 2 (all phases). Totally, we had 238,618 utterances from 5,402 speakers in the training set.

We used the architecture in Table I to implement the statistics pooling baseline. For systems that use multi-head attentive pooling, we used an attention network with 500 tanh hidden nodes and $H$ linear output nodes, where $H$ is the number of attention heads (see (7)). For STSP, we used a rectangular window function with length $L = 8$ and $S = L$. Attentive STSP followed the same structure of the attention network as multi-head attentive pooling and used various window functions with length $L$ ranging from 4 to 16. The step size $S$ of each windowed segment varied from $L/4$ to $L$.

The additive margin softmax loss [34] was used for training. The additive margin $m$ and the scaling factor $s$ in (8) were set to 0.25 and 30, respectively. The mini-batch size was set to 128 for all evaluation tasks. There are around 2,357 mini-batches in one epoch for VoxCeleb1 and V0iCES 2019, and 4,220 mini-batches for SRE16 and SRE18-CMN2. Each mini-batch was created by randomly selecting speech chunks of 2–4s from the training data. We used a stochastic gradient descent (SGD) optimizer with a momentum of 0.9. The initial learning rate was 0.02 and it was linearly increased to 0.05 at Epoch 20. After that, it was decayed by half at Epochs 50, 80 and 95, respectively. Totally, the networks were trained for 100 epochs. Once training was completed, the speaker embedding was extracted from the affine output at Layer 7 in Table I.

B. PLDA Training

We used a Gaussian PLDA backend [49] for all evaluations. For VoxCeleb1, the PLDA model was trained on the speaker embeddings extracted from the clean utterances in the training set for the embedding network. For VOiCES 2019, we trained the backend on the concatenated speech with the same video session and used utterances augmented with reverberation and noise. The PLDA training data for both SRE16 and SRE18-CMN2 were the embedding network’s training set excluding the Switchboard part. Before PLDA training, the speaker embeddings were projected onto a 200-dimensional space by LDA for VoxCeleb1 and 150-dimensional space for VOiCES 2019, SRE16, and SRE18-CMN2, followed by whitening and length normalization. The LDA projection matrix was trained on the same dataset as for training the PLDA models. For VOiCES 2019, SRE16 and SRE18-CMN2, we also applied adaptive score normalization [50]. The cohort for VOiCES 2019 was selected from the longest two utterances of each speaker in the PLDA training data; whereas for SRE16 and SRE18-CMN2, the cohort was the respective unlabeled development set.
V. RESULTS AND DISCUSSIONS

A. Performance on Various Evaluations

The performance was evaluated in terms of equal error rate (EER) and minimum detection cost function (minDCF) with $P_{\text{target}} = 0.01$. Table II shows the performance of different systems on VoxCeleb1 (clean), VOiCES19-eval, SRE16-eval, and SRE18-CMN2-eval. We can observe that all the pooling methods outperform the statistics pooling baseline. For attentive pooling, STSP and attentive STSP, we have the following analyses.

1) Multi-head attentive pooling: For all evaluation tasks, attentive pooling (Rows 2–5) achieves the best performance when the number of heads $H$ was set to 2. When $H$ further increases to 4, attentive pooling shows an evident performance degradation, especially on the SRE16 and SRE18-CMN2 evaluations. Among many possibilities, the performance degradation can be caused by an increased number of non-stationary attention weight vectors produced by the attention network.

Take VoxCeleb1 for example, as shown in the first row of Fig. 3(a), the feature sequence $(\{h_{c,t}\}_{t=1}^{T-1})$ in (5) presents a high non-stationarity along the temporal axis. To fit the drastic variations of the sequence, the attention network is trained to produce attention weights of large variations, as demonstrated in the second row of Fig. 3(a). However, due to the substantial variations within the weight vectors, it is difficult for the attention network to generalize well to the utterances unseen in the training data. Therefore, the non-stationarity of the attention weights would remarkably affect the performance of attentive pooling. On the other hand, a larger $H$ does not necessarily indicate a larger degree of diversity in the attended feature sequences. For example, as shown in the third row of Fig. 3(a), the attended frames by Head 1 largely overlap those by Head 0. On the contrary, increasing the attention heads may introduce a larger degree of non-stationarity in the attention weights, causing worse generalization of the network to unseen data.

For SRE16-eval and SRE18-CMN2-eval, because the utterances in the evaluation sets are much longer than those in VoxCeleb1,\(^5\) the degree of non-stationarity in the attention weights will be larger than that of the VoxCeleb1 test set. Thus, the performance degradation on SRE is severer than that on VoxCeleb1 and VOiCES2019.

2) STSP: From Rows 6–8 of Table II, we see that STSP achieves a consistent improvement in performance when the retained number of low-frequency components $R$ in $P^h(k)$’s increases from 1 to 3. However, further including the 4-th component will slightly degrade the performance, as can be seen in Row 9. This may be because there are more noises in the higher-frequency components. As demonstrated in [23], the magnitude of the spectral components $P_r(k)$’s in STSP approaches 0 when $k$ becomes large. This suggests that we can hardly learn useful information from these vanishing components. Instead, the high frequency components can bring unwanted noise to the network during learning.

Comparing Rows 6–9 and Rows 2–5, we observe that STSP achieves similar performance as that of attentive pooling on VoxCeleb1 and VOiCES19-eval, but STSP remarkably outperforms attentive pooling on both the SRE evaluations. In fact, although both attentive pooling and STSP aim at preserving speaker information during aggregation, they fulfill the task from different perspectives. For example, attentive pooling emphasizes on discriminative temporal frames to enhance the information in the aggregated embeddings, whereas STSP emphasizes on discriminative spectral components. Due to the duality of Fourier transform, the information in the temporal domain is equal to that in the spectral domain. This suggests that there should be little difference between attentive pooling and STSP.

\(^5\)The enrollment utterances of SRE16-eval and SRE18-CMN2-eval are approximately 60 seconds and the test utterances are 10–60s [47], [48], whereas the average duration of VoxCeleb1 is 8.2 seconds [15].
pooling and STSP, as can be verified by the comparison on VoxCeleb1. However, for long utterances, because of the high non-stationarity in the convolutional features (as analyzed in Section V-A1), it can be difficult to extract discriminative information in the temporal domain. In contrast, the spectral components in STSP are smoother, making STSP more robust against the non-stationary feature maps, especially for long utterances as in the SRE evaluations. Therefore, STSP can achieve large performance gains over attentive pooling on both SRE tasks.

3) Attentive STSP: As shown in Rows 10–15 of Table II, the best performance of attentive STSP is achieved under $R = 2$ and $H = 1$. Compared with attentive pooling (Rows 2–5), a major advantage of attentive STSP is that because the attention mechanism is operated on the windowed segments instead of the frames, the produced attention weight vectors are much smoother than those by attentive pooling. This can be seen by comparing the second rows of Fig. 3(a) and Fig. 3(b). In fact, it is natural to obtain smoother attention weights by segment-level attention because the coarse-grained attention mechanism has taken the local stationarity in the feature sequences into account. After all, exploiting the local stationarity in the frame-level features is a fundamental difference of STSP and attentive STSP from the spectral pooling in [21]. Due to the smoother attention weight vectors, attentive STSP generalizes better than attentive pooling and possesses superior robustness against the non-stationarity in the convolutional feature maps. On the other hand, as explained in Section V-A2, performing aggregation for long utterances in the spectral domain is superior to that in the temporal domain. Because of the segment-level attention and the spectral aggregation, attentive STSP obtains substantially better performance than attentive pooling, especially on the SRE16 and SRE18-CMN2 evaluation sets which are comprised of long utterances. This also suggests that attentive STSP is beneficial in alleviating the duration mismatch between the training and testing conditions.

Also, compared with STSP, the extra attention mechanism in attentive STSP can emphasize on discriminative segments for information aggregation, leading to more discriminative speaker embeddings. This is why attentive STSP outperforms STSP on all the evaluation tasks, which verifies the motivation of this paper. An interesting observation is that different from STSP which achieves the best performance under $R = 3$, attentive STSP performs the best when $R = 2$. This indicates that the spectral components of attentive pooling are more energy-concentrated to the DC components than STSP, which further facilitates the aggregation process.

We also investigated the effect of the number of heads $H$ on the performance of attentive pooling. Comparing Row 11 and Rows 14–15, we see that increasing $H$ does not offer any performance improvement on all evaluations. As illustrated in the third row of Fig. 3(b), the sequences after a 2-head attention operation are almost the same. This suggests that more attention heads do not necessarily create richer diversity of the attended features. Instead, a larger $H$ can introduce noises to the pooling operation because of the non-stationarity in the attention weights, as in attentive pooling in
Section V-A1. If not stated otherwise, in the rest of the paper, we only used a single-head attention network for attentive STSP, i.e., $H = 1$.

To summarize, we have the following conclusions:

1) Segment-level attention mechanism contributes to smoother attention weight vectors and better generalization capacity than the frame-level counterpart, which makes it more robust against the non-stationary feature maps than the frame-level counterpart, especially for long utterances.

2) For long utterances, due to the high non-stationarity in the convolutional feature maps, it is superior to performing aggregation in the spectral domain, like that in STSP and attentive STSP.

3) Increasing the number of attention heads does not necessarily lead to better performance, as in attentive pooling and attentive STSP.

### B. Impact of Window Functions

In (9), a window function is applied to each temporal segment before performing DFT. To investigate the effect of the window function on performance, we implemented attentive STSP with the rectangular window, the Hanning window, and the Hamming window [51]. The performance is compared under $L = S = 8$ and $R = 2$.

As shown in Fig. 4(a) and Fig. 4(b), there is no remarkable difference in the performance of the three windows. We have also tried other configurations by varying $R$ and $L$, but the results are still almost the same. This suggests that attentive STSP is not sensitive to the window function.

### C. Impact of STFT Length

In Section III-A, we used STFT to exploit the local stationarity of temporal features for aggregation. Although each frame at the output of the last convolutional layer (Layer 5 in Table I) contains the information of 15 speech frames, we cannot guarantee that the CNN's outputs are locally stationary. Because it is difficult to quantify the degree of local stationarity in the convolutional feature maps, we varied the STFT length $L$ to investigate its influence on the performance of STSP. In the following experiments, the step size $S$ of the window function is equal to $L$.

As shown in Figs. 5(a)–5(h), attentive STSP consistently achieves the best performance when $L = 8$ on all evaluation tasks. When $L$ further increases to 16, the performance degrades in most cases, especially on SRE16-eval and SRE18-CMN2-eval. We hypothesize that the performance degradation is caused by the violation of the local stationarity required by STFT. When the STFT length approaches 16, the local stationarity of STFT may not hold and thus it would be difficult to obtain effective local information. Another disadvantage of using $L = 16$ is that because there are more spectral components in the frequency domain than those under $L = 8$, we need a larger $R$ to include sufficient speaker information in the aggregated embeddings. This is not favorable for aggregation. Therefore we did not account for the case where $L$ is larger than 16.

Interestingly, the best results under $L = 4$ are comparable with those under $L = 8$ for VoxCeleb1, VOiCES19-eval, and SRE18-CMN2-eval. However, on SRE16-eval, the setting of $L = 8$ remarkably outperforms the case of $L = 4$. Although the local stationarity is largely satisfied under $L = 4$, there are insufficient components to hold speaker information in the spectral domain. Note that when $L = 4$, we can only have 3 spectral components in $P^n_L(k)$ because of the symmetry in STFT spectrograms.

From the above analysis, the configuration of $L = 8$ makes a compromise between the local stationarity and the spectral resolution. This is the reason we used $L = 8$ in Section V-A.
TABLE III
COMPARISON OF ATTENTIVE STSP (ROWS 1–4) AND ITS MODIFIED VERSION (ROWS 5–9) WITH RESPECTIVE TO THE PERFORMANCE ON VOXCELEB1, VOICES19-eval, SRE16-eval, AND SRE18-CMN2-eval. R IS THE NUMBER OF THE LOWEST SECOND-ORDER SPECTRAL COMPONENTS ($P_c^h(k)$) IN (11). THE NUMBER OF ATTENTION HEADS WAS SET TO $H = 1$.

| Row | Pooling method                        | VoxCeleb1   | VOICES19-eval | SRE16-eval | SRE18-CMN2-eval |
|-----|---------------------------------------|-------------|---------------|------------|-----------------|
|     |                                       | EER minDCF  | EER minDCF   | EER minDCF | EER minDCF      |
| 1   | Attentive STSP ($R=1$)                | 1.89 0.211  | 5.40 0.453    | 6.65 0.469  | 6.32 0.453      |
| 2   | Attentive STSP ($R=2$)                | 1.76 0.193  | 5.03 0.396    | 6.30 0.441  | 6.22 0.434      |
| 3   | Attentive STSP ($R=3$)                | 1.81 0.195  | 5.13 0.415    | 6.40 0.450  | 6.20 0.437      |
| 4   | Attentive STSP ($R=4$)                | 1.83 0.220  | 5.28 0.455    | 6.47 0.451  | 6.24 0.445      |
| 5   | Attentive STSP w/ only $M_c^h(0)$ ($R=0$) | 2.46 0.274  | 6.00 0.478    | 8.47 0.523  | 8.17 0.528      |
| 6   | Attentive STSP w/o $M_c^h(0)$ ($R=1$) | 1.87 0.201  | 5.38 0.462    | 6.54 0.472  | 6.40 0.458      |
| 7   | Attentive STSP w/o $M_c^h(0)$ ($R=2$) | 1.84 0.176  | 5.27 0.432    | 6.35 0.455  | 6.16 0.441      |
| 8   | Attentive STSP w/o $M_c^h(0)$ ($R=3$) | 1.88 0.187  | 5.33 0.417    | 6.42 0.458  | 6.23 0.438      |
| 9   | Attentive STSP w/o $M_c^h(0)$ ($R=4$) | 1.87 0.194  | 5.37 0.434    | 6.51 0.461  | 6.27 0.442      |

Fig. 6. (a) EER and (b) minDCF of attentive STSP on VoxCeleb1, VOICES19-eval, SRE16-eval, and SRE18-CMN2-eval with respective to the step sizes of the sliding window under $L = 8$, $R = 2$, and $H = 1$.

and Section V-B.

D. Impact of Step Size

The step size $S$ of windowed segments determines the degree of overlapping between successive segments and the number of segments in a temporal feature sequence. Because these factors can affect the results of STFT, which in turn affects the performance of STSP. To investigate the impact of step size on performance, we fixed the STFT length to 8 and varied $S$ under $R = 2$.

As shown in Fig. 6(a) and Fig. 6(b), the step size does not have a substantial impact on the performance of attentive STSP across all the evaluations. This means that attentive STSP is not sensitive to the step size of the sliding window. However, given fixed $L$, because a larger $S$ indicates a smaller number of windowed segments for a fixed-length feature sequence, the subsequent computational load of the spectral representations will be reduced. Therefore, it is favorable to use $S = L$ in attentive STSP to reduce the computational cost. This is the reason why we used $S = L$ in the former sections.

E. Effect of $M_c^h(k)$ and $P_c^h(k)$

$M_c^h(k)$ in (10) and $P_c^h(k)$ in (11) denote the weighted average of the magnitude and energy of the spectrogram along the temporal axis, respectively. A noteworthy observation is that, as shown in Fig. 2, $P_c^h(k)$’s contain more energy in the low frequency components than $M_c^h(k)$’s do. This phenomenon can also be observed from the rightmost two plots in the middle row of Fig. 1(a), where the number of salient components of $P_c^h(k)$ is smaller than that of $M_c^h(k)$ for all channels. Based on the observation from both figures, we may ask a question: is $P_c^h(k)$ more effective than $M_c^h(k)$ for attentive STSP due to its more energy-concentrated property?

To answer the above question, we modified the procedure of attentive STSP in Section III-A slightly by either excluding $M_c^h(k)$ or only including $M_c^h(k)$ in (14) and (15). The results of the modification are shown in Rows 5–9 of Table III. Comparing Rows 1–4 and Rows 6–9, we observe that the attentive STSP without $M_c^h(0)$ obtains comparable results with the standard attentive STSP consistently across all the evaluations under various $R$’s. This observation suggests that once $P_c^h(k)$’s are used in the aggregation process, $M_c^h(0)$ does not offer any effective gains to the performance. This argument can be further proved by the comparison between Row 5 and Row 6. For example, when $M_c^h(0)$’s are used alone as the aggregated statistics, the performance of attentive STSP degrades substantially, as can be seen in Row 5. In contrast, using $P_c^h(0)$’s alone (Row 6) remarkably outperforms that using $M_c^h(0)$’s alone (Row 5) across all the evaluations. Therefore, using $P_c^h(0)$ alone is much more effective than using $M_c^h(0)$ only for aggregation.

However, as verified in Section III-C, attentive STSP is a generalized statistics pooling method in that using the DC components of the spectral representations is an analogy to using the means and standard deviations in statistics pooling. Therefore, to make attentive STSP complete and compatible with the historical statistics pooling method, we still keep $M_c^h(0)$ in attentive STSP.

VI. Conclusions

In this paper, we proposed a novel attentive STSP for speaker embedding from a Fourier perspective. Attentive STSP exploits two levels of information enhancement strategies during the aggregation process: 1) applying self-attention on the windowed segments of STFT to emphasize on the 7The magnitude of $P_c^h(k)$’s presents a faster attenuation to zero than $M_c^h(k)$’s.
and perform aggregation in the spectral domain for SV. Suggesting that it is beneficial to apply segment-level attention and SRE18-CMN2-eval show that attentive STSP consistently outperforms multi-head attentive pooling and the vanilla STSP, suggesting that it is beneficial to apply segment-level attention and perform aggregation in the spectral domain for SV.

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