Coordinated Frequency Regulation of Smart Grid by Demand Side Response and Variable Speed Wind Turbines

Qi Zhu\textsuperscript{1}, Yingjie Wang\textsuperscript{2}, Juxu Song\textsuperscript{1}, L. Jiang\textsuperscript{2}*, and Yingliang Li\textsuperscript{1}

\textsuperscript{1}School of Electronic Engineering, Xi’an Shiyou University, Xi’an, China, \textsuperscript{2}Department of Electrical Engineering and Electronics, The University of Liverpool, Liverpool, United Kingdom

Frequency stability of the power system is impacted by the increasing penetration of wind power because the wind power is intermittent. Meanwhile, sometimes the demand side loads increase quickly to require more power than total power produced. So balancing the active power in the power system to maintain the frequency is the main challenge of the high penetration of wind power to the smart grid. This paper proposes coordination rotor speed control (RSC), pitch angle control (PAC) and inertial control (IC) to control wind turbines, together with demand side response (DSR) participating in frequency regulation to balance active power in the power system. Firstly, the model of a single area load frequency control (LFC) system is obtained, which includes variable-speed wind turbines (VSWT) and DSR containing aggregated air conditioners and plug-in electric vehicles (PEVs). Then the RSC, PAC and IC, which controls wind turbines participating in frequency regulation in the power system, are introduced, respectively. Finally, the coordination of these three methods for wind turbines in different wind speeds is proposed. Case studies are carried out for the single area LFC system with a wind farm and DSR supported grid frequency. Coordination RSC and PAC combined IC are used to control wind turbines with DSR to balance active power in the power system. The proposed method used in the power system with high penetration of wind power and fluctuation of demand load is tested, respectively. Coordinated RSC or PAC with DSR can increase penetration of wind power and reduce peak load.

Keywords: wind turbine, demand side response, frequency regulation, smart grid, plug-in electric vehicle

1 INTRODUCTION

Due to environmental pressures and increasing fossil fuel prices, intermittent renewable energy has been developed rapidly in recent years, especially wind energy. With the low penetration of wind power in the power system, i.e., less than 20% of the total power system capacity, thermal plants with abundant regulating capability can balance the active power of the power system. However, when the penetration of wind power is increased, the frequency stability will be progressively influenced due to the uncertainty of wind power and insufficient thermal power reserves (Zhang et al., 2011; Khoo et al., 2020; Yang et al., 2020). Moreover, it may even cause one situation that the total power produced by the power system is less than the demand load required. Therefore, balancing the active power in the power system is a challenging problem when the high penetration of wind power level increases to a certain level.

Many methods can be used to solve this imbalance problem caused by intermittent wind power. Traditionally, the spinning generation reserves with enough capacity and the grid-scale energy storage
system can be used to meet this task. However, these solutions require expensive auxiliary equipment and high installation and operation cost. Thus it will increase the operation cost and reduces the advantages of wind power (Dui et al., 2018; Oshnoei et al., 2020; Paul et al., 2020). The advance load frequency control (LFC) scheme is an alternative way to provide the robustness against to the disturbances caused by the intermittent wind power (Zhang et al., 2016; Soliman et al., 2019). However, an advanced LFC scheme usually makes the power system a little complex and can not maintain the power system balance if the high penetration of wind power participates in the power system.

With the increasing penetration of wind power in the power system, variable-speed wind turbines (VSWTs) are required to participate in frequency regulation via augmented additional controllers, such as inertial control (IC), pitch angle control (PAC) and rotor speed control (RSC) (Margaris et al., 2012; Ravanji et al., 2020). When the grid frequency changes, not only the synchronous generators will respond to this change, but also wind turbines will quickly change their output active power to follow this disturbance change (Ma and Chowdhury, 2010). The IC method used for frequency regulation can transiently release the large kinetic energy stored in the rotating mass to emulate inertia of wind generators for providing frequency regulation (Ma and Chowdhury, 2016; Ravanji et al., 2020; Prasad and Padhy, 2020). The PAC and RSC used in the VSWT to participate in the frequency control for an extended period are investigated (Ma and Chowdhury, 2010; Zhang et al., 2011; Chau et al., 2018). In this paper, the VSWTs participate in frequency regulation via coordination of IC, RSC and PAC control methods under different wind speeds in the LFC system.

Because the wind power and demand side load are all uncertain, sometimes the total produced power is less than the demand loads required. In this situation, the demand side response (DSR), which can control or shift the controllable loads, has become a promising smart grid technology for accommodating intermittent renewable generations (Zhu et al., 2014; Esther and Kumar, 2016; Zhu et al., 2016a; Hosseini et al., 2020). In recent years, the PEVs have drawn increasing attention to the transportation electrification and suppressed the fluctuation in renewable energy sources have been investigated in lots of literature (Kariminejad et al., 2018; Nunna et al., 2018; Liu et al., 2020; Wang et al., 2020). The dynamic demand control (DDC) method, which controls the thermostatically controlled appliances (TCAs), such as electric water heaters (Vivekananthan and Mishra, 2015), refrigerators (Algarini et al., 2021) and air conditioners (Jay and Swarup, 2011), can self-adjust the usage of appliances based on frequency deviation of the power system (Hosseini et al., 2020). In this paper, DSR which includes aggregated air conditioners and PEVs participates in frequency regulation to reduce peak loads and to help the power system in stable.

This paper investigates combination wind turbines and DSR participating in frequency regulation in the power system to solve the imbalance problem caused by high penetration of wind power. Combination wind turbines and DSR participates in frequency regulation to deal with high wind power penetration and the DSR can remit frequency fluctuation when the total produced power is not enough for demand load required. Finally, wind turbines with different capacity of DSR supporting grid frequency are tested. This combination method has several advantages over other resources used for energy balancing and ancillary services, including providing enough capacity to adopt the high penetration of wind power and fluctuation of demand load problems.

### 2 Dynamic Model of Smart Grid for Frequency Regulation

The model of a signal area LFC system is proposed. A wind farm which contains several VSWTs participates in frequency regulation. The DSR which includes several PEVs and air conditioners is used to reduce the peak load and compensate for imbalance problem caused by wind power. Figure 1 shows the structure of the frequency regulation of the smart grid.

From Figure 1, the relationship between the energy imbalance and the corresponding frequency deviation can be given as follows:

$$
\Delta P_m(t) + \Delta P_{\text{wind}}(t) - \Delta P_L(t) - \Delta P_{\text{DDC}}(t) - \Delta P_{\text{V2G}}(t) = 2Hs\Delta f(t) + D\Delta f(t)
$$

where \(\Delta P_m\) is the generator mechanical output, \(\Delta P_{\text{wind}}\) is the deviation of the wind power from the wind farm, \(\Delta P_{\text{DDC}}\) is the power deviation from the DDC based on air conditioners, \(\Delta P_{\text{V2G}}\) is the power deviation from the PEVs, \(\Delta P_L\) is the load change, \(2H\) is the equivalent inertia constant, \(D\) is the equivalent load-damping coefficient, and \(\Delta f\) is the frequency deviation of smart grid. \(K(s)\) is usually a PID controller.

#### 2.1 The Model of a Variable Speed Wind Turbine

Wind power is developing very fast in recent years and its intermittent characteristic may cause energy imbalance for the smart grid. The details of VSWT model is introduced in (Thomsen and Poulsen, 2007; Boukhezzar and Sigerudidjane, 2011; Zhu et al., 2016b). The VSWT model is a complex nonlinear system, which consists of aerodynamics model, turbine mechanics model, generator model, and pitch actuator model.

The aerodynamic blades on the rotor convert the kinetic energy of the wind into mechanical energy, effectively providing the torque, \(T_r\), on the rotor:

$$
T_r = \frac{P_r}{\omega_r}
$$

where \(\omega_r\) is the rotor speed, and the mechanical power absorbed from the wind \(P_r\) is shown as:

$$
P_r = \frac{1}{2} \rho \pi R_{\text{wind}}^2 v^3 C_p
$$

where \(\rho\) is the air density, \(R_{\text{wind}}\) is the wind radius, \(v\) is the effective wind speed, and \(C_p\) is the power coefficient.

The blade pitch angle, \(\theta\), is changed by a hydraulic/mechanical actuator. A simplified model of the dynamics in this paper is presented by the following first order linear model:

$$
\dot{\theta} = -\frac{1}{\tau_p} \theta + \frac{1}{\tau_\theta} \dot{\theta}
$$
where $\theta_j$ is the reference and the one control input for the wind turbine.

The generator power, $P_e$, is given by:

$$P_e = T_g \omega_j$$

where $T_g$ is controlled, however, it cannot be changed instantaneously.

A wind farm represents a number of aggregated individual wind turbines. It assumes the wind speed for each wind turbine is same at the same time. Considering the clustering effect of wind turbines, the deviation of the active power from the wind farm is expressed as:

$$\Delta P_{\text{wind}} = \sum_{j=1}^{N_{\text{wind}}} (P_{\text{desired},j} - P_{\text{wind},j})$$

where $P_{\text{wind}, \text{desired}}$ is the desired active power output of the wind farm, $\Delta P_{\text{wind}}$ is the deviation of the actual power output from the desired value, $P_{\text{desired},j}$ is active power output of the $j$th wind turbine and $N_{\text{wind}}$ is the total number of wind turbines in the wind farm (Chang-Chien et al., 2014).

### 2.2 Plug-In Electric Vehicles Model

The PEVs participating in frequency regulation contain several small capacity batteries. Therefore, large scale PEVs have potential to provide frequency support to the grid. The battery of the PEV comprising parallel/series connected battery cells is connected to the distribution grid through the DC/AC inverter (Hajizadeh and Golkar, 2007). The PEV model is introduced in (Zhu et al., 2016b). The frequency derivation. In this paper, a typical TCA, air conditioner, is considered as the DDC loads. The detail of this model is introduced in (Zhu et al., 2016b).

In this paper, the active power losses in the inverter and the transformer are ignored, thus the active V2G power injected into the grid, $P_{V2G}$, can be given as:

$$P_{V2G,j} = I_{dc,j}V_{dc,j}$$

Linearizing (Eq. 7) yields the incremental active power as follows:

$$\Delta P_{V2G,j} = V_{dc,j}^a \Delta I_{dc,j} + I_{dc,j}^a \Delta V_{dc,j}$$

where $V_{dc,j}^a$ and $I_{dc,j}^a$ represent for the DC voltage and current of the battery at the initial time, respectively.

Similar to the BESS, the partial adjustment of battery voltage is used to compensate the power change resulting from current deviation (Xia et al., 2018). Thus, the V2G power of $PEV_i$ responding to frequency regulation is

$$\Delta P_{V2G,i} = \frac{k_{bj}}{1 + sT_{bj}} \Delta f$$

where $k_{bj} = -k_{rj}I_{dc,j}^a$ is the battery gain, which represents the sensitivity of V2G power with respect to frequency deviation.

Assume that there are $N$ PEVs in the smart grid discussed in this paper can be used to provide frequency support, and consider that the $T_{bj}$ in (Eq. 9) is not very sensitive to frequency deviation, then the aggregated V2G power can be approximately presented as following:

$$\Delta P_{V2G} = \sum_{i=1}^{N} \Delta P_{V2G,i} = \frac{k_{ev}}{1 + sT_{ev}} \Delta f$$

where the aggregated PEVs gain $k_{ev} = \sum_{j=1}^{N} k_{bj}$, and the aggregated time constant $T_{ev} = \sum_{j=1}^{N} T_{bj}$.

### 2.3 Controllable Air-Conditioner Based Dynamic Demand Control Model

Domestic electric appliances of the user can be classified into five different groups based on their characteristics (Trovato et al., 2017), in which the TCAs can be used as a controllable load for frequency regulation, since they are relevant directly to the frequency derivation. In this paper, a typical TCA, air conditioner, is considered as the DDC loads. The detail of this model is introduced in (Zhu et al., 2016b). The frequency dependent characteristic of air conditioner can be shown as:

$$\Delta P_{\text{DDC}} = \Delta P_{tC} + D_{\omega} \Delta \omega = \frac{m c_p}{EER} \Delta T_{st} + D_{\omega} \Delta \omega$$

where $m$ is the mass of air flow, $c_p$ is the specific heat capacity of air, $EER$ is the energy efficient ratio (EER) (Ruelens et al., 2017), $D_{\omega}$ is the reheat coefficient of the air conditioner, $\Delta \omega = 2\pi \Delta f$ is the deviation of the speed, and $\Delta P_{tC}$ is the controllable change in power consumed, which depends on the characteristic of the air conditioner and the set point of a smart thermostat ($\Delta T_{st}$). $\Delta T_{st}$ can be expressed as follows:

$$\Delta T_{st} = \frac{K}{s} \alpha \Delta f$$

where $K$ is integral gain and $\alpha$ is a coefficient (given as 0.5 R/Hz in this paper). The temperature set-point is bounded and varies based on the weather condition and different time interval in a day.
From the aforementioned discussion, the load model with respect to frequency deviation of air conditioner is given as:

\[ \Delta P_{\text{ddc},i} = \left(0.5 \frac{K_{\text{ddc},i}}{s} + 2\pi D_{\text{ac},i}\right) \Delta f \]  

(13)

where \( K_{\text{ddc}} = \frac{m_{\text{ac},i} \cdot \omega_r}{2\pi} \) is the combined integral gain.

Due to the small capacity of an individual air conditioner, it is necessary to aggregate several small domestic loads into a relatively large and lumped DDC load to participate in the LFC scheme. Assume that there are \( M \) air conditioners in the smart homes of the grid, then the aggregated power of the DDC can be presented as follows:

\[ \Delta P_{\text{DDC}} = \sum_{i=1}^{M} \Delta P_{\text{ddc},i} = \left(0.5 \frac{K_{\text{DDC}}}{s} + 2\pi D_{\text{ac}}\right) \Delta f \]  

(14)

where \( K_{\text{DDC}} = \sum_{i=1}^{M} K_{\text{ddc},i} \), and \( D_{\text{ac}} = \sum_{i=1}^{M} D_{\text{ac},i} \).

3 CONTROL DESIGN OF THE WIND TURBINE SUPPORTED IN FREQUENCY REGULATION

The wind turbines can be controlled by IC, RSC and PAC control methods to participate in frequency regulation in the smart grid. The IC is used for short-time grid frequency restoration. Meanwhile, RSC and PAC are used for grid frequency restoration.

3.1 Inertial Control for Short-Time Grid Frequency Restoration

For a synchronous generator, the kinetic energy is released automatically from the rotating mass when the frequency drops. In the VSWT, the IC utilizes the kinetic energy of wind turbine’s rotor during grid frequency excursion (Ma and Chowdhury, 2010). The kinetic energy is stored in the wind generator as shown in (Eq. 15).

\[ E_k = \frac{1}{2} J \omega_r^2 \]  

(15)

where \( J \) is the equivalent moment of inertia.

The power from the rotating mass is expressed as follows:

\[ \Delta P_{\text{ic}} = \frac{dE_k}{dt} = J \omega_r \frac{d\omega_r}{dt} \]  

(16)

To couple the grid frequency change, \( \frac{d\omega_r}{dt} \) is replaced by the grid frequency change \( \frac{df_{\text{sys}}}{dt} \) for the inertial control loop. So extracted power from the inertial controller is described as:

\[ \Delta P_{\text{ic}} = K_{\text{ic}} \frac{df_{\text{sys}}}{dt} \]  

(17)

where control coefficient \( K_{\text{ic}} \) has replaced the coefficient \( J \omega_r \).

3.2 Rotor Speed Control for Grid-Frequency Regulation

The wind turbine is controlled by RSC based on the frequency drop characteristic. The controller adjusts the active power output in proportion to the frequency deviation with the ratio of \(-\frac{1}{R} \) (Parizy et al., 2019) as following:

\[ \Delta P_{\text{wind}} = -\frac{1}{R} (f_{\text{sys}} - f_{\text{ref}}) = -\frac{1}{R} \Delta f \]  

(18)

where \( f_{\text{ref}} \) is the nominal frequency and \( f_{\text{sys}} \) is the measured system frequency.

The wind power \( P_{\text{ref}} \) is the initial wind plant output power and it is held constant during the grid frequency restoration period.

3.3 Pitch Angle Control for Grid-Frequency Regulation

PAC of the wind turbine is designed much like the governor control of the synchronous machine. The PAC is used for preventing the rotor speed from exceeding the nominal value (Zhang et al., 2011).

The input signal is \( \Delta f \). The \( \Delta P_{\text{wind}} \) can achieve according (Eq. 17) and output is the pitch angle. By using the PAC method, the wind farm provides either higher or lower power to share in the frequency restoration strategy in the long term. This method achieves the coordinated control strategies which will be discussed in Section 3.4.

3.4 Coordinated Control Strategies

Figure 2 shows the wind turbine power output curve at different wind speeds. In region 1, the wind turbine blades cannot rotate in very low wind speeds. While the wind turbine will start to rotate and generate the maximum electrical power from the wind when the wind speed rises in region 2. In region 3, as the power generated by the wind turbine is higher than its rated output power, the wind turbine starts to regulate the pitch angle to limit the electrical power to its rated value. Finally, at the cut-out wind speed, a braking system is used to stop the wind turbine for the sake of protecting the wind turbine in region 4. In this paper, it presents a novel frequency regulation by VSWT to coordinate IC, RSC and PAC under low or high wind speed.

When the wind speed is in the range [5 m/s, 12 m/s] (in region 2), the output power can be achieved following the trajectory of the 90% sub-optimal operation curve. The PAC does not need to be activated to down-regulate the wind turbine (Zhang et al., 2011). So the RSC is used to control wind turbine and the pitch angle is equal to 0. When the frequency drops, the RSC will control the wind turbine to produce more active output power. On the contrary, the RSC will control the wind turbine to produce less active output power.

When the wind speed is in the range [12 m/s, 25 m/s] (in region 3), the RSC is impossible to control the wind turbines because the MPPT speed has been over maximum rotor speed. Therefore PAC is the only way to down-regulate the wind turbine. When the wind speed is above the rated, the pitch angle is increased to limit the mechanical power of a wind turbine to output its rated value (Ma and Chowdhury, 2010). However, if the power grid requires less power than the rate power, the pitch angle is further increased to satisfy the grid side power demand in order to minimise the frequency deviation of the power system.

The IC can support frequency control in transient. So the IC combined RSC or PAC controls wind turbines to participate in frequency regulation to maintain grid frequency in stable more quickly with smaller fluctuation. When wind turbines produce the
rated power but the total power is less than the demand load need, the DSR will shift controllable load to other time used or reduce controllable load using to solve these imbalance problems. On the contrary, the DSR will move the controllable load to use in this time or add controllable load using to solve these imbalance problems. Coordinated frequency regulation of smart grid by DSR and variable speed wind turbines will increase the stability of the power system and allow more wind turbines to connect to the power system.

4 CASE STUDY

The proposed frequency regulation methods are tested on a single area LFC scheme which includes a wind farm, aggregated DDC based on air conditioners and PEVs. The micro generation equips with a non-heat turbine with a capacity of 800 MW. The wind turbine’s capacity is around 2.25 MW. Assume that all wind turbines are identical and the cut-in wind speed, the rated wind speed, and the cut-out speed are 5, 12, and 25 m/s, respectively (Algarni et al., 2021). The related parameters are recalled from literatures (Jay and Swarup, 2011; Xia et al., 2018). The following three cases are studied.

4.1 The High Penetration of Wind Power in the Smart Grid

In this section, the LFC scheme with the high penetration of wind power and DSR is tested. The wind farm consists 400 wind turbines and the total wind farm output power is 900 MW (about 52.94% of the total output power). The DSR and a part of wind turbines joining in frequency regulation. Three scenarios shown in below are tested.

Scenario 1: 40 wind turbines participate in frequency regulation and 360 wind turbines directly connect to the power system.

Scenario 2: 200 wind turbines participate in frequency regulation and 200 wind turbines directly connect to the power system.

Scenario 3: 360 wind turbines participate in frequency regulation and 40 wind turbines directly connect to the power system.

Frequency deviation from three scenarios in the variable low and high wind speed is shown in Figure 3. When the wind speed is low (7 m/s-10 m/s), the wind turbines participating in frequency regulation based on the RSC method (shown in subfigures Figures 3A–C), in which the dash-dot line, the dashed line and the solid line represent three different control methods to control wind turbine participating in frequency regulation, respectively. Frequency deviation is the fastest convergent to schedule values with the smallest fluctuation by combined RSC and DSR approach. While fluctuation of frequency deviation is the biggest and recovering time is longest by RSC method. Compared to the same control method used in these three scenarios, the Scenario 3 can be obtained the best performance in these three scenarios. When the wind speed is high (16 m/s-20 m/s), the wind turbines participating in frequency regulation based on the PAC method. Subfigures Figures 3D–F show frequency deviation in three scenarios in variable high wind speed, in which dash-dot line, dash line and solid line represent for PAC method, PAC combined IC method and combined PAC and DSR method, respectively. Similar results can be obtained from the simulation study. The combined PAC and DSR method is the best method to maintain frequency deviation in desired value with the smallest fluctuation and fastest response time in variable wind speed.

4.2 The Demand Load Required Power Exceeds Produced Power

When the high penetration of wind power connects in the power system, it will cause the total produced power insufficient to demand load required because the wind power is intermittent and time-varying. On the other hand, the demand load change may suddenly increase
sometimes in a year. Due to these two reasons, the demand side load may need more power than total produced power during some periods. In this section, three scenarios are introduced in the above are simulates with significant demand load change in variable low and high wind speed. The power system required grid frequency in the range $50 \pm 0.5$ Hz.

A step demand load change is $0.56 pu$ at $t = 5$ s in the power system. When wind speed is in $[7 \text{ m/s}, 10 \text{ m/s}]$, frequency deviation from three scenarios is shown in Figure 4. In these three scenarios, the combined RSC and DSR method can recover the frequency deviation in desired range with the smallest fluctuation and the fastest response time. The fluctuation of frequency deviation from wind turbines controlled by the RSC is the biggest. When more wind turbines participate in frequency regulation, the fluctuation of frequency deviation is smaller. When wind speed is in $[16 \text{ m/s}, 20 \text{ m/s}]$, results are similar as above. Wind turbines together with DSR participating in frequency regulation can improve the power system stability and can balance the power system when the demand load change suddenly change. Meanwhile, the more wind turbines participating in the

![Figure 3](image-url)
frequency regulation can reduce the fluctuation of the frequency deviation.

5 CONCLUSION

With the increasing penetration of wind power, wind turbines are required to participate in frequency regulation. This paper discusses coordination frequency regulation via VSWTs and DSR in different wind speeds. The VSWTs participating in frequency regulation are controlled by coordinated RSC, PAC and IC in different wind speeds, together with DSR for the LFC of the power system under intermittent wind power and demand load suddenly increasing. Combined RSC or PAC with DSR can contribute to increase penetration of wind power and also slash peak load.

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding author.

AUTHOR CONTRIBUTIONS

QZ and LJ was responsible for the design and revision of the thesis proposal, YW and JS was responsible for writing the introduction and dynamic model of smart grid for frequency regulation, and YL was responsible for writing the case study and conclusion.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fenrg.2021.754057/full#supplementary-material
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