Excessive intravenous crystalloid infusion after video-assisted thoracoscopic surgery lobectomy is associated with postoperative pneumonia

Rong Yang 1, Chengli Du 2, Jinming Xu 2, Linpeng Yao 1, Siying Zhang 1 and Yihe Wu 2,3*

Abstract

Background: Video-assisted thoracoscopic surgery has been widely used in thoracic surgery worldwide. Our goal was to identify the risk factors for postoperative pneumonia in patients undergoing video-assisted thoracoscopic surgery lobectomy.

Methods: A retrospective analysis of adult patients undergoing video-assisted thoracoscopic surgery lobectomy between 2016 and 05 and 2017–04 was performed. We used univariate analyses and multivariate analyses to examine risk factors for postoperative pneumonia after lobectomy.

Results: The incidence of postoperative pneumonia was 19.7% (n = 143/727). Patients with postoperative pneumonia had a higher postoperative length of stay and total hospital care costs when compared to those without postoperative pneumonia. Multivariate analysis showed that body mass index grading $\geq 24.0$ kg/m$^2$ (vs. <24.0 kg/m$^2$: odds ratio 1.904, 95% confidence interval 1.294–2.802, $P = 0.001$) and right lung lobe surgery (vs. left lung lobe surgery: odds ratio 1.836, 95% confidence interval 1.216–2.771, $P = 0.004$) were independent risk factors of postoperative pneumonia. Total intravenous crystalloid infusion grading in the postoperative 24 h $\geq 1500$ mL was also identified as the risk factors (vs. 1000 to < 1500 mL: odds ratio 2.060, 95% confidence interval 1.302–3.260, $P = 0.002$).

Conclusions: Major risk factors for postoperative pneumonia following video-assisted thoracoscopic surgery lobectomy are body mass index grading $\geq 24.0$ kg/m$^2$, right lung lobe surgery and total intravenous crystalloid infusion grading in the postoperative 24 h $\geq 1500$ mL.

Keywords: Postoperative pneumonia, Risk factors, Video-assisted thoracoscopic surgery lobectomy, Lobectomy

Introduction

Lung resection is the main treatment for benign and malignant pulmonary tumours [1, 2]. Postoperative pneumonia (POP) is one of the most common complications and the main cause of death in patients undergoing lung resection [3–6]. The incidence of POP after lung surgery has been reported to range from 2.1 to 40.0% and is associated with increased mortality [7]. Several risk factors, such as age, the extent of resection, low forced-expiratory-volume-in-1-s (FEV1), advanced pathologic stage and chronic obstructive pulmonary disease for POP after lung resection have been identified [7, 8]. However, these studies investigating risk factors for POP after lung resection were based on small sample sizes, and it remains difficult to predict who will develop POP after lung resection [7–9]. Furthermore, to date, there is scarce literature research on risk factors for POP after video-assisted thoracoscopic surgery (VATS) lobectomy.

With the popularity of minimally invasive thoracic surgery, identification of risk factors for POP after VATS lobectomy on a large cohort of patients is warranted. High-risk patients could be identified during the
perioperative period, and targeting perioperative interventions in patients at high risk of POP may decrease POP frequency and mortality.

The objectives of this single-centre observational retrospective study were to identify risk factors for POP after VATS lobectomy and provide a reference for clinical prevention of POP.

Materials and methods
Study population and design
This observational retrospective study included 788 consecutive adult patients who underwent VATS lobectomy between May 2016 and April 2017 at the First Affiliated Hospital, College of Medicine, Zhejiang University (Fig. 1). Patients were considered eligible for inclusion if they were aged over 18 years and were scheduled to undergo VATS lobectomy under general anaesthesia with double-lumen intubation. Lung protective strategy of low tidal volume during single lung ventilation was managed. Excluded from the study were patients undergoing bilobectomy or combined lobectomy and sublobar resection (n = 17) or conversion to thoracotomy (n = 19). Twenty-five patients with missing data of pulmonary function and intraoperative urine output in anaesthesia records were also excluded. Finally, 727 valid cases were included (Fig. 1).

Detailed patient data of the whole cohort are shown in Table 1. Indications for surgical resection were malignant tumour (n = 634), benign tumour (n = 10), benign non-inflammatory disease (n = 7) and inflammatory disease (n = 76). Demographic, intraoperative and outcomes data were extracted from medical records, as described in Table 1. All patients received perioperative antibiotic prophylaxis. Quinolone and clindamycin were used in patients known allergy to cephalosporin. Management of postoperative pain was implemented according to a clinical practice guideline (2016) from the American Pain Society [10]. All patients started oral feedings in the sixth to eighth postoperative hour, unless they were intubated or at risk for aspiration.

Variables and definitions
POP was defined as a new pulmonary infiltrate on chest X-ray (determined independently by two radiologists) with leucocytosis (leukocyte count > 10.0 × 10^9/L) and fever (ear temperature > 38.0 °C) [11]. Only the first episode of pneumonia diagnosed during the first 7 days after surgery was studied and was defined as POP [12].

According to the Health Industry Standard of China: Adult Weight Determination (WS/T428–2013), we use body mass index (BMI) ≥24.0 kg/m² as the pre-obesity standard, which is slightly different from the World Health Organization (WHO) standard (Pre-obesity: BMI ≥25.0 kg/m²). Preoperative renal insufficiency was defined as creatinine > 50% the upper limit of the reference range, which is 1.3 and 1.1 mg/dL for men and women, respectively [13]. The amount of total intraoperative fluids was defined as the volumes of crystalloid, colloid and blood products administered between initiation of anaesthesia care and arrival in the postanaesthesia care unit [13]. Total intravenous crystalloid/colloid infusion in the postoperative 24 h was defined as the volumes of intravenous crystalloid/colloid in the postoperative 24 h. Postoperative pathology of malignant tumour included lung cancer, atypical adenomatous hyperplasia, lymphoepithelioma-like carcinoma and lung metastasis. Inflammatory disease included bronchiectasis, tuberculosis, fungal infections, granulomatous inflammation, chronic inflammation and purulent inflammation. A prolonged air leak was defined as leak > 7 days [11]. Postoperative length of stay (PLOS) was defined as the number of hospitalised days after surgery. Hospital costs were the total hospital care costs.
### Table 1 Perioperative factors of patients with or without postoperative pneumonia by univariate analysis (n = 727)

| Variable                                | Entire cohort (n = 727) | Univariate analysis | P-value |
|-----------------------------------------|-------------------------|---------------------|---------|
|                                          |                         | Postoperative pneumonia (n = 143) | No postoperative pneumonia (n = 584) |         |
| Age (year)                              | 62.9 ± 11.0             | 63.9 ± 11.8         | 62.6 ± 10.7 | 0.211 |
| Gender (female/male)                    | 391/336                 | 81/62               | 310/274   | 0.444 |
| Weight (kg)                             | 61.6 ± 9.9              | 63.0 ± 10.3         | 61.2 ± 9.8 | 0.057 |
| Body mass index (kg/m^2)                | 23.2 ± 2.9              | 23.9 ± 2.9          | 23.0 ± 2.8 | 0.001 |
| Body mass index grading                 |                         |                     | < 0.001   |       |
| < 24.0 kg/m^2                           | 465 (64.0%)             | 71 (49.7%)          | 394 (67.5%) |       |
| ≥ 24.0 kg/m^2                           | 262 (36.0%)             | 72 (50.3%)          | 190 (32.5%) |       |
| Smoking, pack-years                     | 10.9 ± 23.7             | 8.3 ± 16.5          | 11.6 ± 25.1 | 0.477 |
| Diabetes mellitus                       | 64 (8.8%)               | 10 (7.0%)           | 54 (9.2%)  | 0.394 |
| Hypertension                            | 206 (28.3%)             | 43 (30.1%)          | 163 (27.9%) | 0.608 |
| Coronary heart disease                  | 9 (1.2%)                | 0 (0.0%)            | 9 (1.5%)   | 0.218 |
| History of lung surgery or chest trauma | 16 (2.2%)               | 2 (1.4%)            | 14 (2.4%)  | 0.750 |
| Preoperative renal insufficiency        | 4 (0.6%)                | 1 (0.7%)            | 3 (0.5%)   | 0.584 |
| Forced expiratory volume in 1 s (percentage of predicted value) | 91.1 ± 17.5%            | 89.9 ± 17.1%        | 91.4 ± 17.6% | 0.364 |
| Forced vital capacity (percentage of predicted value) | 91.7 ± 15.9%            | 89.0 ± 14.8%        | 92.4 ± 16.1% | 0.023 |
| Peak expiratory flow (percentage of predicted value) | 67.1 ± 23.7%            | 67.3 ± 27.1%        | 67.0 ± 22.8% | 0.555 |
| Surgical lobe                           |                         |                     | < 0.001   |       |
| Left lung lobe                          | 279 (38.4%)             | 40 (28.0%)          | 239 (40.9%) |       |
| Right lung lobe                         | 448 (61.6%)             | 103 (72.0%)         | 345 (59.1%) |       |
| Amount of intraoperative fluids, mL     |                         |                     | < 0.001   |       |
| Total                                   | 1529.2 ± 412.1          | 1473.4 ± 396.4      | 1542.8 ± 415.0 | 0.071 |
| Crystalloid                             | 1235.5 ± 385.0          | 1179.7 ± 354.0      | 1249.1 ± 391.3 | 0.053 |
| Colloid                                 | 293.7 ± 255.9           | 293.7 ± 247.0       | 293.7 ± 258.3 | 0.999 |
| Blood                                   | 0                       | 0                   | 0         | –     |
| Intraoperative bleeding, mL             | 48.3 ± 34.0             | 54.2 ± 43.3         | 46.8 ± 31.1 | 0.020 |
| Intraoperative urine output, mL         | 406.7 ± 326.7           | 376.9 ± 315.0       | 414.0 ± 329.3 | 0.224 |
| Length of operation, min                | 131.7 ± 35.6            | 128.2 ± 35.0        | 132.5 ± 35.7 | 0.191 |
| Length of anaesthesia, min              | 159.1 ± 37.5            | 158.8 ± 37.6        | 159.2 ± 37.5 | 0.908 |
| Total intravenous crystalloid infusion in the postoperative 24 h, mL | 1273.5 ± 277.5          | 1321.2 ± 287.6      | 1261.8 ± 274.0 | 0.022 |
| Total intravenous crystalloid infusion grading in the postoperative 24 h |                         |                     | 0.006     |       |
| < 1000 mL                               | 128 (17.6%)             | 26 (18.2%)          | 102 (17.5%) |       |
| 1000 to < 1500 mL                       | 461 (63.4%)             | 77 (53.8%)          | 384 (65.8%) |       |
| ≥ 1500 mL                               | 138 (19.0%)             | 40 (28.0%)          | 98 (16.8%) |       |
| Total intravenous colloid infusion in the postoperative 24 h, mL | 0                       | 0                   | 0         | –     |
| Postoperative pathology                 |                         |                     | < 0.001   |       |
| Malignant tumour n                      | 634 (87.2%)             | 123 (86.0%)         | 511 (87.5%) |       |
| Benign tumour n                         | 10 (1.4%)               | 0 (0.0%)            | 10 (1.7%)  |       |
| Benign non-inflammatory disease, n      | 7 (1.0%)                | 0 (0.0%)            | 7 (1.2%)   |       |
| Inflammatory disease, n                 | 76 (10.5%)              | 20 (14.0%)          | 56 (9.6%)  |       |
| Second operation within 30 days after surgery | 1 (0.1%)               | 0 (0.0%)            | 1 (0.2%)   | 1.000 |
Statistical analysis
Continuous data are presented as mean ± standard deviation and were analysed using one-way variance analysis. If the variance was not homogeneous, a nonparametric test (Kruskal-Wallis H test for multiple independent samples) was used. Categorical variables are expressed as percentages and were compared by the R × C chi-square test or Fisher’s exact test, as appropriate. Binary logistic regression analyses were performed to evaluate the risk factors of POP. We created a multivariate analysis model using significant variables, as determined by the univariate analysis and suggested risk factors of POP. Additional file 1: Table S1 shows the assignment of variables in multivariate analysis. Odds ratios (ORs) were calculated from these models, together with their 95% confidence intervals (CIs). For all tests, a two-tailed P ≤ 0.05 was considered statistically significant. Analyses were conducted using SPSS 25.0 (SPSS, Inc., Chicago, IL, USA) [11, 13, 14].

Results
Patient selection and comparative univariate analysis
A total of 727 patients met our inclusion and exclusion criteria for analysis (Fig. 1). The incidence of POP was 19.7% (143 of 727). No patient died during the period of hospitalisation. The PLOS and total hospital care costs in the POP group were significantly higher than those in the no-POP group (Table 2). Table 1 summarises the perioperative factors and comparative univariate analysis results of the cohort. In univariate analysis, BMI, BMI grading, forced vital capacity (percentage of predicted value), surgical lobe, intraoperative bleeding, and total intravenous crystalloid infusion grading in the postoperative 24 h and total intravenous crystalloid infusion grading in the postoperative 24 h were significantly different between POP and no-POP groups (Tables 1).

Comparative multivariate analysis of the risk factors of postoperative pneumonia
We included statistically significant factors in univariate analysis, namely, BMI grading, forced vital capacity (percentage of predicted value), surgical lobe, intraoperative bleeding, and total intravenous crystalloid infusion grading in the postoperative 24 h, in our multivariate regression model, to evaluate the preoperative predictors of POP. Binary logistics regression analysis demonstrated that BMI grading ≥24.0 kg/m² (vs. <24.0 kg/m²: OR 1.904, 95% CI 1.294–2.802, P = 0.001), right lung lobe surgery (vs. left lung lobe surgery: OR 1.836, 95% CI 1.216–2.771, P = 0.004) and total intravenous crystalloid infusion grading in the postoperative 24 h ≥1500 mL (vs. 1000 to <1500 mL: OR 2.060, 95% CI 1.302–3.260, P = 0.002) were independent risk factors of POP after VATS lobectomy (Table 3).

Discussion
In our study, POP occurred in 143 (19.7%) of 727 patients who underwent VATS lobectomy (Table 1). Patients with POP had higher PLOS and total hospital care costs than no-POP patients (Table 2). Three independent risk factors for POP after VATS lobectomy were identified: BMI grading ≥24.0 kg/m², right lung lobe surgery and total intravenous crystalloid infusion grading in the postoperative 24 h ≥1500 mL (Table 3).

The incidence of POP after lung resection varies. Simonsen et al. [4] reported frequencies of 3.6% and Lee et al. [9] documented a prevalence of 6.2%, whereas, Arslantas et al. [11] noted that POP occurred in 18.7% patients after lung resection. One of the reasons for this fluctuation is due to the differences in the definitions of POP. In the current study, POP were defined similarly to Arslantas et al. [11] and Allou et al. [12], including a

Table 1 Perioperative factors of patients with or without postoperative pneumonia by univariate analysis (n = 727) (Continued)

| Variable                                           | Entire cohort (n = 727) | Univariate analysis                                                                 |
|----------------------------------------------------|------------------------|-----------------------------------------------------------------------------------|
| Postoperative prolonged air leak, n                |                        |                                                                                   |
| Postoperative pneumonia                            |                        |                                                                                   |
| No postoperative pneumonia                         |                        |                                                                                   |
| P-value                                            |                        |                                                                                   |
| Postoperative prolonged air leak, n                | 17 (2.3%)              | 6 (4.2%)                                                                          |
| Postoperative pneumonia                            | 143 (19.7%)            | 11 (1.9%)                                                                         |
| No postoperative pneumonia                         | 584 (-)                |                                                                                   |
| P-value                                            | 0.120                  |                                                                                   |

Values are presented as mean ± standard deviation, n, or n (%)

Table 2 Clinical outcomes of patients with or without postoperative pneumonia

| Variable                                           | Entire cohort (n = 727) | Univariate analysis                                                                 |
|----------------------------------------------------|------------------------|-----------------------------------------------------------------------------------|
| Postoperative pneumonia                            |                        |                                                                                   |
| No postoperative pneumonia                         |                        |                                                                                   |
| P-value                                            |                        |                                                                                   |
| Postoperative pneumonia                            | 143 (19.7%)            | 0                                                                                 |
| No postoperative pneumonia                         | 0                      | 0                                                                                 |
| P-value                                            | -                      | -                                                                                 |
| In-hospital mortality, n                           | 0                      | 0                                                                                 |
| Postoperative length of stay, d                    | 59 ± 2.2               | 68 ± 3.0                                                                          |
| Total hospital care costs (RMB)                    | 158,004.3 ± 9787.6     | 63,603.9 ± 11,011.2                                                               |
| Total hospital care costs (RMB)                    | 56,765.4 ± 9052.4       |                                                                                   |
| Values are presented as mean ± standard deviation  |                        |                                                                                   |
new pulmonary infiltrate on chest X-ray, leukocyte count > 10.0 × 10⁹/L and fever. Our incidence of POP was 19.7%, which was compatible with the reported frequency [11].

Our study showed that BMI grading ≥ 24.0 kg/m² was an independent risk factor for POP after VATS lobectomy. The result of the present study corresponded with the earlier studies, which reported that overweight or obese patients have an increased risk of POP [15–17]. Obese patients often have reduced lung volume, altered ventilation pattern, decreased immune function, and comorbidity conditions, which are risk factors for intra- and postoperative complications [16–18]. Overweight and obesity are spreading worldwide, and thoracic surgeons will encounter more overweight patients in need of surgery in the future [19]. Although a BMI ≥ 24.0 kg/m² is not a surgical contraindication, it is necessary to pay close attention to overweight patients, and to strengthen respiratory exercise before lobectomy to reduce the risk of POP.

To the best of our knowledge, this is the first report to identify that right lung lobe surgery is an independent risk factor of POP after VATS lobectomy (Table 3). The incidence of POP was 23.0% (103/448) after right lung lobe surgery and 14.3% (40/279) after left lung lobe surgery, respectively (Table 3). The reasons why right lung lobe surgery has an increasing risk of POP are unclear. The lung volume of right lung is larger than the volume of left lung (right/left lung volume, 1.22 ± 0.14) [20], so right lung lobe surgery has a greater impact on lung function and has a greater trauma than left lung lobe surgery, thus leading to an increasing risk of POP. Therefore, for patients with right lung lobe surgery, it is especially necessary to strengthen the training of respiratory function and cough ability before surgery, and take some measures to prevent lung infection after surgery, to avoid the occurrence of POP.

Finally, our study showed that total intravenous crystalloid infusion grading in the postoperative 24 h ≥ 1500 mL was an independent risk factor of POP after VATS lobectomy. In this study, POPs were all diagnosed 24 h after operation, so POP was not the reason for increased intravenous crystalloid infusion in the postoperative 24 h. Excessive intravenous fluid infusion would cause pulmonary edema and impair gas exchange, thereby placing patients at heightened risk for infection and respiratory failure [11, 13]. Shin et al. found that excessive perioperative fluid is associated with increased risk of postoperative pulmonary complications and increased 30-day mortality [13]. A meta-analysis of several trials suggested that larger fluid volumes increase the chances of postoperative pneumonia and pulmonary edema [21]. The harmful effects of fluid excess are frequently manifested in the lungs, especially after pulmonary lobectomy. Arslantas et al. conducted a study of perioperative fluid administration and the results showed that excessive perioperative infusion fluid during anatomic lung

### Table 3 Logistic model of preoperative risk factors for postoperative pneumonia in patients undergoing video-assisted thoracoscopic surgery lobectomy

| Variable                                      | OR of POP (95% CI)       | P-value |
|-----------------------------------------------|--------------------------|---------|
| Body mass index grading                       |                          |         |
| < 24.0 kg/m²                                  | 1.000                    |         |
| ≥ 24.0 kg/m²                                  | 1.904 (1.294–2.802)      | 0.001   |
| Forced vital capacity (percentage of predicted value) grading |                     |         |
| < 60%                                         | 0.931 (0.195–4.456)      | 0.929   |
| 60 to < 80%                                   | 1.349 (0.858–2.121)      | 0.195   |
| ≥ 80%                                         | 1.000                    |         |
| Surgical lobe                                 |                          |         |
| Left lung lobe                                | 1.000                    |         |
| Right lung lobe                               | 1.836 (1.216–2.771)      | 0.004   |
| Intraoperative bleeding grading                |                          |         |
| < 100 mL                                      | 1.000                    |         |
| ≥ 100 mL                                      | 1.125 (0.659–1.919)      | 0.666   |
| Total intravenous crystalloid infusion grading in the postoperative 24 h |         |         |
| < 1000 mL                                     | 1.163 (0.699–1.937)      | 0.560   |
| 1000 to < 1500 mL                             | 1.000                    |         |
| ≥ 1500 mL                                     | 2.060 (1.302–3.260)      | 0.002   |

Results of binary logistics regression are presented as adjusted odds ratio (OR), 95% confidence interval (CI), and P-value.
resections could increase postoperative pulmonary complications [11]. Our findings support the view that liberal postoperative fluid infusion has harmful effects on postoperative lung function and adds to the current understanding of the postoperative fluid management in several ways.

Some studies have reported risk factors for POP following lung cancer surgery. Lee noted that age ≥ 70 years, intraoperative red blood cell transfusion and forced expiratory volume in 1 s < 70% were independent risk factors of POP after lung cancer surgery [9]. Simonson reported that major risk factors for POP following lung cancer surgery are advanced age, obesity, chronic pulmonary disease, alcoholism and atrial fibrillation [4]. The POP risk factors for VATS lobectomy in our study differ from the above studies, thus adding new content to the POP risk factors study.

Our study is one of the few to show risk factors for POP after VATS lobectomy. However, this study has potential limitations. First, it was a single-centre retrospective study. Second, antibiotics were used prophylactically in every patient, thereby masking the discovery of risk factors for POP. Finally, the study population only included adult patients who underwent VATS lobectomy, which limits the generalisability of the findings.

Conclusions
This present study suggested that patients with POP had higher PLOS and total hospital care costs than no-POP patients. The major risk factors for POP following VATS lobectomy included body mass index grading ≥ 24.0 kg/m², right lung lobe surgery and total intravenous crystalloid infusion grading in the postoperative 24 h ≥ 1500 mL. Clinicians should remain vigilant in preventing and treating pneumonia and other infections in patients with these risk factors. The next step for future studies is the creation of a clinical scoring system to predict POP.

Supplementary information
Supplementary information accompanies this paper at https://doi.org/10.1186/s13019-019-1024-6.

Additional file 1: Table S1. Assignment of variables in multivariate analysis.

Abbreviations
BMI: Body mass index; CI: Confidence intervals; FEV1: Low forced-expiratory-volume-in-1 s; ORs: Odds ratios; PLOS: Postoperative length of stay; POP: Postoperative pneumonia; VATS: Video-assisted thoracoscopic surgery; WHO: World Health Organization

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Authors’ contributions
YW conceived the idea for the study and managed the project. RY and YW designed the study. RY, CD, JX, LY, and SZ conducted the survey and collected data. RY wrote the manuscript and prepared the figures and tables. All authors interpreted the data and contributed to preparation of the manuscript. No conflicting relationship exists for any author. All authors read and approved the final manuscript.

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Availability of data and materials
All data generated or analyzed during this study are included in this published article and its additional file.

Ethics approval and consent to participate
The survey was approved by the Medical Ethics Committee of the First Affiliated Hospital, College of Medicine, Zhejiang University, Hangzhou, China (No.2017–58). Because the data were recorded retrospectively and without any specific intervention, the Medical Ethics Committee waved informed consent from the subjected patients. Data were deidentified to protect the privacy and maintain confidentiality of patient information. It was conducted in accordance with the Declaration of Helsinki.

Consent for publication
For this type of study formal consent is not required.

Competing interests
The authors declare that they have no competing interests.

Author details
1Department of Radiology, the First Affiliated Hospital, College of Medicine, Zhejiang University, Hangzhou 310003, Zhejiang Province, China. 2Department of Thoracic Surgery, the First Affiliated Hospital, College of Medicine, Zhejiang University, 79 Qingchun Road, Hangzhou 310003, Zhejiang Province, China. 3Department of Thoracic Surgery, the First Division Hospital of Xinjiang Corps, Aksu City 843008, Xinjiang Autonomous Region, China.

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