Chapter 39

Structural Biology and the Design of Effective Vaccines for HIV-1 and Other Viruses

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Structural biology provides a wealth of information about the three-dimensional organization and chemical makeup of proteins. An understanding of atomic-level structure offers enormous potential to design rationally proteins that stimulate specific immune responses. Yet current vaccine development efforts make little use of structural information. At the Vaccine Research Center, a major goal is to apply structural techniques to vaccine design for challenging pathogens, that include human immunodeficiency virus type 1 (HIV-1) and other enveloped viruses such as influenza, Ebola, and respiratory syncytial viruses. Our three-part strategy involves 1.) the definition of the functional viral spike at the atomic level 2.) achieving a structural understanding of how neutralizing antibodies recognize the spike, and 3.) rational development of proteins that can elicit a specific antibody response. Overall, our strategy aims to incorporate information about viral spike-antibody interactions, to assimilate immunogenic feedback, and to leverage recent advances in immunofocusing and computational biology.

For an elusive pathogen such as HIV-1, whether such a strategy will succeed depends both on the existence of sites of envelope (Env) vulnerability susceptible to neutralizing antibody and on the ability of the human immune system to generate high titer of such antibodies. With HIV-1, the atomic-level definition of one such vulnerable site [1] and the discovery of individuals with broadly neutralizing sera that target this site [2] bodes well for our informatics-based approach. With Ebola and influenza viruses, structures of functional viral spikes have been determined [3, 4], and sites of antibody vulnerability and elicitation of appropriate antibodies are under investigation. With respiratory syncytial virus, structures of closely related spikes have been determined [5], and issues center on the elicitation of antibodies that defuse rather than exacerbate disease.

39.1 Enveloped Viruses and Neutralizing Antibodies

A hallmark of enveloped viruses is the presence of a lipid membrane that surrounds the viral core structural proteins (Fig. 39.1). These membranes are derived from the host cell, and among other purposes, serve to protect the internal components of the virus from immune recognition. While protective, the membrane is also a barrier: to infect a cell, enveloped viruses must breach not only the target host membrane, but also their own membranes. To do so, they utilize energy stored in metastable viral spikes that protrude through the viral membrane [6]. These spikes also target the virus to specific receptors on host cells, prior to the fusion event. Together, these processes facilitate entry of the viral genome to the host cell.

In the case of type 1 fusion machinery (Fig. 39.1), the viral spike is composed of a trimer of glycoproteins, each with a single transmembrane domain. The glycoproteins are cleaved during the maturation process into exterior N-terminal and transmembrane C-terminal components. The N-terminal component typically interacts with appropriate host receptors. The C-terminal component is involved in membrane fusion, which it accomplishes by inserting a hydrophobic “fusion peptide” into the target cell membrane, and then refolding to bring fusion peptide and transmembrane region/viral membrane into close proximity [7].

Because it protrudes through the protective membrane, the viral spike is a target for neutralizing antibody, which either binds to the spike to prevent cell attachment or binds and prevents conformational changes required for fusion. Vaccine efforts to elicit neutralizing antibodies that target the viral spike are currently underway for a number of
human viral pathogens. Here we describe efforts with four enveloped viruses, all of which utilize type 1 fusion entry machines.

### 39.2 HIV-1

HIV-1 is an enveloped lentivirus. Since its crossover from chimpanzees to humans at the end of the 19th or early part of the 20th centuries [8], the human immunodeficiency virus has killed more than 20 million individuals, and an additional ~30–35 million are currently infected [9].

Significant effort has been expended to develop an effective HIV-1 vaccine. To date, however, no vaccine against HIV-1 has been developed that elicits a fully protective response in humans. In large measure, this lack of progress is related to the elusive properties of the HIV-1 Env, which is highly variable, silenced by carbohydrates, and flexible in conformation [10]. We have therefore sought to understand the structure of the HIV-1 Env in an effort to reveal vulnerabilities on the Env glycoproteins, gp120 and gp41.

Three gp120 envelope glycoproteins associate non-covalently with three gp41 transmembrane molecules to form the functional viral spike (reviewed in [11]). Despite extensive effort, by our group and others, the trimeric functional spike has so far resisted atomic-level structure determination. Recent low resolution cryo-electron microscopy (EM) studies have provided insight into possible gp120-gp41 subunit arrangements within a functional viral spike [12, 13]. Information from cryo-EM studies is being used in our efforts to design candidate Env molecules amenable to high resolution crystallographic analysis. Part of the difficulty in structurally characterizing the functional spike relates to the biology of HIV-1. Both gp120 and gp41 components of the viral spike undergo conformational rearrangements during viral entry (Fig. 39.2). The binding by the gp120 component to the CD4 receptor is believed to induce large structural rearrangements [14, 15], which are required for the formation of the binding site for a requisite coreceptor [16], generally CXCR4 or CCR5 (reviewed in [17, 18]). The gp41 component then utilizes a large conformational change, involving formation of a trimeric “post-fusion” coiled-coil [19-23], to fuse the viral and target cell membranes. Since the “pre-fusion” conformation of the viral spike [24] is believed to be the primary target for elicitation of neutralizing antibody, we have sought to understand its conformation in atomic-level detail.

Our current approaches focus on developing strategies to overcome the inherent flexibility of the envelope spike and to

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**Fig. 39.1** Enveloped viruses with type 1 fusion machinery. Cryo-electron tomograms or electron micrographs (top row) are shown for HIV-1, influenza virus, RSV and Ebola virus. Schematics (bottom row) point out locations of viral spike, lipid envelope, and viral contents. HIV-1 cryo-electron tomogram and rendering reproduced with permission from the work of Sriram Subramaniam and colleagues, NCI/NIH (2008) Molecular architecture of native HIV-1 gp120 trimers. Nature 455:109–113. Influenza cryo-electron tomogram and rendering reproduced with permission from Harris, A., Cardone, G., Winkler, D.C., Heymann, J.B., Brecher, M., White, J.M. and Steven, A.C. (2006) Influenza virus pleiomorphy characterized by cryoelectron tomography. Proc Natl Acad Sci USA 103:19123–19127, copyright (2006) National Academy of Sciences, U.S.A. RSV electron micrograph reproduced with permission from Brown G, Aitken J, Rixon HW, Sugrue RJ. (2002) Caveolin-1 is incorporated into mature respiratory syncytial virus particles during virus assembly on the surface of virus-infected cells. J Gen Virol. 83(Pt 3):611–21, copyright (2002) Society for General Microbiology. Ebola electron micrograph reproduced with permission from Halfmann, P., Kim, J.H., Ebihara, H., Noda, T., Neumann, G., Feldmann, H. and Kawaoka, Y. (2008) Generation of biologically contained Ebola viruses. Proc Natl Acad Sci USA 105:1129–1133, copyright (2008) National Academy of Sciences, U.S.A.
isolate sufficient amounts of conformationally stable trimeric proteins for high resolution structural studies. In an effort to obtain well-diffracting crystals of the “pre-fusion” trimeric viral spike, we have utilized heterologous trimerization domains as well as ligands and chemical/mutational modifications to enhance protein stability. Most of the viral spikes that we have constructed have mutations that prevent the proteolytic cleavage of gp41 from gp120, which increases protein stability and ease of production. However, since cleaved viral spikes are antigenically and structurally distinct from uncleaved spikes, and represent the functional form of the molecule, methods for creating cleaved viral spikes are being optimized. gp41 peptides are currently being developed that bind to the N and C termini of gp120 and the co-crystallization of other published peptides is also being pursued [25].

In a further attempt to increase the number of crystallization variants [26], the methods described above are being applied to envelope proteins from the closely related primate lentiviruses, HIV-2 and SIV. HIV-1, HIV-2 and SIV envelope glycoproteins share a high degree of sequence conservation and common structural elements. Like HIV-1, HIV-2 and SIV use CD4 and chemokine co-receptors, thereby suggesting the use of a common mechanism for viral entry. High resolution structure determination of a trimeric HIV-2 or SIV envelope spike could provide a useful prototype for HIV-1 immunogen design.

We and others have obtained structural information on the individual gp120 and gp41 components (Fig. 39.2). For gp41, only post-fusion structures have been determined [19, 21–23]. For gp120, structures of several proposed intermediates in the entry pathway have been determined. These structures include a flexible, unliganded gp120-core for a simian immunodeficiency virus isolate [15], the CD4-bound gp120 core for several different HIV-1 isolates [27–29], and HIV-1 gp120 bound to both CD4 and the N-terminus of CCR5 that was obtained by a combination of X-ray crystallography, nuclear magnetic resonance (NMR) spectroscopy and molecular docking [30]. These structures reveal the conformational flexibility that gp120 must adopt to facilitate HIV-1 entry.

In addition to the entry mechanism, the structural information reveals additional mechanisms of humoral immune evasion. Mapping antibody epitopes onto atomic-level structures...
showed that a large portion of the gp120 surface is not recognized by antibody [10]. This surface corresponds to regions with high concentrations of N-linked carbohydrate (glycan), suggesting that carbohydrate is seen as “self” by the humoral immune system and can form an immunologically “silent” face [10]. In the context of the functional viral spike, this glycan can protect neighboring epitopes through an “evolving glycan shield” [31]. These and other studies, defining for example protective variable loops [32] and conformational masking of antibody epitopes [33], have led to an understanding of the molecular mechanisms that protects HIV-1 from the humoral immune response.

39.3 Structure-Assisted Vaccine Design for HIV-1

Currently, two lines of investigation have shown promise in our effort to harness structural biology for vaccine design. One line involves the delineation of functional constraints to identify potential footholds of conservation and exposure. We have investigated antibodies, called CD4-induced antibodies that bind to the co-receptor-binding site on gp120. These were found capable of neutralizing not only HIV-1, but also the more evolutionarily divergent HIV-2 [34]. They also accumulate to high titers in most HIV-1 infected individuals [34]. Unfortunately, the virus effectively hides the site of co-receptor binding prior to CD4 engagement [34, 35]. After CD4 engagement at the cell surface, the close proximity of the target-cell membrane sterically occludes potential sites of vulnerability on the co-receptor-binding site [36]. These studies demonstrate that functional constraints restrict epitope variation but do not necessarily expose epitopes sensitive to antibody neutralization. We are currently exploring whether the functional constraint of binding to the CD4 receptor, which — unlike the co-receptor-binding site — must be available as an initial site of attachment [1], can provide a site of vulnerability to neutralizing antibodies.

A second line of investigation involves structural characterization of monoclonal antibodies that neutralize diverse isolates of primary HIV-1 and comparative analysis to antibodies that do not neutralize the primary isolates. Only four antibodies that neutralize the primary isolates have thus far been characterized—the monoclonal antibodies 2F5, 2G12, 4E10, and b12 [37–40]. We have determined the structures of 2F5 and b12, each with their HIV-1 envelope epitopes [1, 41] (Fig. 39.3). In the case of the broadly neutralizing 2F5 antibody, the structure of the membrane-proximal external region of gp41, when bound by 2F5, was found to adopt an extended...
The broadly neutralizing antibody, IgG1 b12, primarily recognizes the conformationally invariant outer domain of gp120. Focusing on the conserved CD4-binding loop, the b12 epitope overlaps considerably with the CD4 contact surface on gp120 and extends further towards the glycan covered “silent face”; however, b12 has only peripheral interactions with the other conformationally mobile parts of the gp120, such as the residues that form the bridging sheet in the CD4-bound state of gp120. Our research indicated that b12 exploits the conformationally invariant and functionally conserved CD4-contact site to achieve neutralization [1]. With a vulnerable site on HIV-1 gp120 defined, we are pursuing collaborative studies to generate b12-binding immunogens in a number of different protein forms. These alternative forms include trimeric mimics of the viral spike, monomeric variants of gp120, domain versions of gp120 that retain b12 binding, and epitope-scaffold mimics believed to be unencumbered by most Env-based mechanisms of humoral evasion. Tests of these various formats in small animals should reveal their potential to elicit antibodies similar to the template broadly neutralizing ones.

In addition to analysis of the b12 antibody, it may be useful to also analyze antibodies that target the CD4-binding site (CD4BS), but do not effectively neutralize HIV-1. Such antibodies comprise a high percentage of the elicited antibody response in HIV-1 infected individuals. We have succeeded in determining the structure of F105 in complex with gp120 (Lei Chen and Young Do Kwon, personal communication). F105 is a prototypical CD4BS antibody and has been tested in Phase I clinical trials [42]: it shows broad recognition of monomeric gp120, competes with CD4 for binding, and neutralizes laboratory-adapted – but not primary – isolates of HIV-1. Analysis of the epitopes on gp120 for neutralizing antibodies. With NBD-556 and related compounds, modeling and exploration of structure-activity relationships suggest an interaction with a deep gp120 pocket (the Phe-43 pocket) [45], and efforts to define the interaction crystallographically are on-going. Currently the results suggest that the narrow entrance to the pocket prevents natural amino acids from fully accessing this site of vulnerability.

In terms of the CD4 mimetic miniproteins [46, 47], we have solved the crystal structures of gp120 with CD4M33/CD4M47, synthetic miniproteins into which the gp120 binding surfaces of the CD4 receptor were transplanted, to understand how precisely these miniproteins mimic CD4 for binding to gp120 [48, 49]. Biphenyl moiety of CD4M33 reaches into the deep Phe-43 cavity in a way that no side chains of natural amino acids can emulate [49]. Furthermore these structures show that small molecules and miniproteins bind exactly the same gp120 conformation as CD4 binds and suggest that these molecules are useful not only for therapeutic purpose but also for exposing potential vulnerable sites on gp120 for neutralizing antibodies.

Other methods of focusing the antibody response to a precise site required for broad neutralization are being developed. These include antigenic resurfacing, conformational...
stabilization, and PEG/glycan silencing (Fig. 39.4). These methods are in theory independent from each other and could be used combinatorially.

Antigenic resurfacing involves the alteration of all surfaces other than the desired site of recognition. For example, to design antigenically resurfaced immunogens for eliciting b12-like antibodies, the defined b12 epitope was kept in its bound conformation while regions otherwise not covered by glycans were subjected to modification using evolutionary similar sites from SIV or HIV-2. These variants were generated by computational design, expressed and tested for desired antigenic properties, such as binding to b12 and lack of binding to non-neutralizing antibodies. To further promote recognition of this specific region, two immunogens antigenically resurfaced with different amino acid modifications can be used in sequential prime boost immunization combinations.

Conformational stabilization involves the introduction of select mutations that reduce the flexibility of gp120 by locking it into a specific conformation [1]. Conformational stabilization can also increase physical stability, a useful property in making proteins sufficiently stable to maintain antigenic fidelity in the presence of adjuvants and immunization conditions.

Immunosilencing, meanwhile, seeks to reduce the immunogenicity of regions outside of the target epitope, through the addition of moieties such as N-linked glycan and polyethylene glycol (PEG), which inherently have low immunogenicity. N-linked glycan, for example, when added to a particular region of a protein appears to dramatically reduce the immunogenicity of that region [50, 51]. As the sequon controlling N-linked glycosylation (Asn-X-Ser/Thr) can be introduced into an immunogen in a structure-specific manner, glycan-silencing allows for targeted immunosilencing (Fig. 39.5a). A related strategy utilizes PEG-chemical modification to generate protein-PEG adducts [52–54]. Because PEG molecules can be specifically coupled to lysine residues, and lysines can be introduced in a structurally specific manner, such PEG-Lys immunosilencing can also be carried out in a structure-specific manner (Fig. 39.5b).

The toolkit of structure-based design is still evolving. Nonetheless, initial attempts in small animals are beginning
to yield results. For example, studies done in collaboration with Dr. Richard Wyatt’s group at the Vaccine Research Center have demonstrated the ability of conformational stabilization to focus immune response [55]. When gp120 monomers were stabilized in the CD4-bound conformation with multiple disulfides and used for immunization in rabbits, they elicited a stronger response of neutralizing CD4i antibodies than the native monomer. These studies demonstrate that structure-directed conformational stabilization can alter the quality and magnitude of the humoral immune response to a desired epitope. Though the CD4i antibodies elicited in this study are not potent against primary isolates, this proof of concept study bolsters our confidence that structure guided immunogen modification which leads to exposure and stabilization of vulnerable sites on the HIV envelope, elicits high titers of antibodies against a specific epitope.

Fig. 39.5  PEG and Glycan silencing of HIV-1 gp120. (a) Glycan silencing. The surface of HIV-1 HXBc2 core gp120 is shown in red bound to 2-domain CD4 (yellow; Cα-ribbon representation). Coordinates from (28); PDB ID 1gc1. N-linked glycans are shown in all atom representation at three different densities. Endogenous glycans are colored green and engineered glycans in blue. Experiments with Dr. Richard Wyatt’s group at the Vaccine Research Center are currently being carried out to assess the effect of these glycan modifications on expression, antigenicity and immunogenicity. (b) PEG silencing. The variable portion of the b12 antibody is shown as a green Cα-ribbon. N-linked glycan are colored blue and the surface of gp120 is colored red. Expect for regions corresponding to Lys residues (colored yellow) or within 10 Å of a lysine (colored gray). The HXBc2 core with V3 is shown in the left image, and the Lys-optimized core is shown in the right image. As can be seen, structure-based optimization allows the b12 binding surface to be free of Lys, and to improve coverage of the rest of the gp120 surface (for example, of the V3 loop). Experiments with Dr. Quentin Sattentau’s group at Oxford University are currently being carried out to assess the effect of such targeted PEGylation.
The precise design of a gp120 monomer in the receptor-stabilized conformation was possible because of the availability of a crystal structure of gp120 bound to CD4 and the CD4i antibody-17b. We expect atomic-level structures of the trimeric spike to enable similar precise design of immunogens with optimal exposure of stabilized vulnerable epitopes of the HIV envelope.

### 39.4 Methods for Determining Atomic-Level Structure

Critical to the utilization of structural information in HIV-1 vaccine design is the capacity to generate the requisite atomic-level information of the relevant molecules: gp120- and gp41-derived glycoproteins, HIV-1-reactive antibodies, and various immunogens. Two structural techniques –X-ray crystallography and NMR spectroscopy – are capable of generating such information. In our work to date, we have utilized X-ray crystallography almost exclusively, although collaborative efforts to obtain solution NMR structures have progressed [30, 56].

Elucidation of the trimeric HIV-1 Env spike structure is an important, though difficult, step towards rational design of an HIV-1 immunogen. Production of adequate amounts of highly purified protein is a necessary step towards obtaining crystals suitable for atomic-level analysis. We have assessed a number of expression systems for expressing HIV-1 Env glycoproteins suitable for structural analysis (Table 39.1), and currently employ a mammalian cell-based transient transfection system for protein production (Fig. 39.6). However, high-resolution structural analysis has been hindered by difficulties in producing adequate amounts of stable HIV-1 recombinant envelope trimers. Interestingly, poor viral spike expression has also been observed for other members of the class I family of viral fusion proteins, including HIV-2/SIV, coronaviruses, and retroviruses. To address this problem, we have used glycosidase processing inhibitors, multiple transfection reagents and several target cell types to optimize trimer expression, glycan processing, and cost efficiency (Fig. 39.6). At the moment, we are testing the effects of different signal sequences and trimerization domains on viral spike expression.

Even with the current state of the art technology for data collection, only crystals with an ordered internal structure can be used for generating atomic level information. Obtaining suitable crystals for the highly glycosylated, conformationally flexible gp120, is in the best cases demanding and in the worst cases not possible. We have pioneered a number of cutting-edge crystallization strategies to increase our chances of success (Fig. 39.7). These include variational protein crystallization [26], in which a number of closely related proteins are assessed for crystallization. Thus, for example, to obtain a complex of a CD4-induced antibody with gp120, 5 CD4-induced antibodies were combined with 3 different Clade B gp120s to make 15 different complexes. Each of the 15 complexes was then assessed for crystallization, enhancing the overall probability of obtaining crystals suitable for structural analysis [29]. We have also utilized efficient high-throughput methods for screening crystallization conditions, employing factorization [57], sparse matrices [58, 59], and precipitant-focused methods of iterative optimization; and we have also embraced the latest automated structural genomic advances [60] such as crystallization robots [61, 62] and synchrotron-based means of data collection [63, 64].

As protein flexibility may be a critical factor in elicitation, we have used hydrogen-deuterium exchange techniques [65] to quantify the flexibility of gp120 [66]. Information regarding conformational dynamics of a protein in solution can be probed using heteronuclear NMR spin relaxation spectroscopy [67–72]. These experiments require either uniformly or selectively labeled 15N, 13C, and/or 2H proteins, and we are currently optimizing protein expression in eukaryotic systems to obtain sufficient quantities of isotopically enriched HIV-1 envelope glycoprotein domains.

### Table 39.1 Production of HIV-1 HXBc2 gp120 core

| Expression System                  | Time required to produce secreted protein starting from DNA construct | Typical yield of core gp120 after affinity purification |
|------------------------------------|-----------------------------------------------------------------------|--------------------------------------------------------|
| Drosophila – S2 cells              | 50–70 days*                                                           | 5–10 mg/l                                              |
| Adenovirus                         | 40–50 days*                                                           | 40–50 mg/l                                             |
| Transient transfection – HEK293 Freestyle cells | 3–6 days                                                             | 10–20 mg/l                                             |

*Includes time required to select a stable line and/or virus.
Fig. 39.6  Effects of cell line and transfection reagents on HIV-1 gp140 production and purity. (a) Cell line. Transient transfections with 293Freestyle cells (Invitrogen) and 293Fectin (Invitrogen) had ~10% higher CAAN-gp140 yield compared to the 293GnTi-cells (a glycosylation-deficient suspension 293 cell line; HG Khorana) (87). (b) Transfection reagent. Transient transfections with 293GnTi-cells and 293Fectin had ~20% higher CAAN-gp140 yield as compared to transfections with Freestyle MAX (Invitrogen) and PEI-MAX (Polysciences) in the same cells. From these results, we conclude that 293GnTi-cells may be the most cost-effective option since glycosidase inhibitors are unnecessary and there is not a significant drop in yield. (c) Effect on the purity. A construct encoding a clade A gp140 (fibritin trimerization domain appended C-terminal to the gp41 ectodomain) was tested in transient transfections on 293Freestyle cells (293F), Chinese Hamster Ovary cells (CHO) and 293GnTi- cells (GnTi-). Transient transfections with 293GnTi-cells resulted in higher purity of the desired protein after a Ni²⁺-NTA column as compared to the 293Freestyle and CHO (Invitrogen) cells
Ebola virus is an enveloped, negative-strand RNA virus, belonging to the Filoviridae family. Ebola virus infection causes severe haemorrhagic fever, and depending on the strain, results in up to 90% mortality. A single envelope glycoprotein on the virion surface is responsible for attachment and entry into permissive cells, presumably via receptor-mediated endocytosis. Following endocytosis, the glycoprotein is cleaved by cathepsin B and/or cathepsin L in the acidic endosome environment and potentially triggers membrane fusion, which subsequently allows for the entry of the Ebola nucleocapsid into the cell cytoplasm [73].

The Ebola glycoprotein is generated as a precursor GP0 protein, which is cleaved at a furin-like site to yield GP1 and GP2. These proteins are linked by a disulphide bond, and a trimer complex of this heterodimer forms the viral spike. Recently, the crystal structure of prefusion Ebola virus strain Zaire was determined in complex with the human neutralizing antibody KZ52 [3]. The structure revealed that GP1 possesses an open chalice-like shape, while GP2 forms a belt around the base to create intimate GP1-GP2 and GP2-GP2 contacts (Fig. 39.8a). While a protective vaccine against Ebola will likely require the elicitation of an appropriate cellular immune response, neutralizing antibody responses against the Ebola viral spike may also play a significant protective role.

The recent structure determination of the Ebola Zaire glycoprotein provides a blueprint to design immunogens that are targeted to biologically relevant regions on the structure (Fig. 39.8c). One of the methods that can be employed to immunofocus the response is silencing regions that are not biologically relevant or known to elicit an unfavorable immune response. For example, the human neutralizing antibody, KZ52, binds to GP1:GP2 residues in the base region.
of the trimer [3]. We can effectively focus the immunogen to elicit antibodies to the conserved trimer core through the addition of glycans to specific residues to which KZ52 binds. Another approach is to remove the highly glycosylated mucin-like region, which may play a role in providing the ultimate virus immune evasion strategy. Removal of this region also focuses the immune response to the exposed highly conserved receptor binding core. Our current immunogen approach, to target the highly conserved receptor-binding domain, may also result in generating protection against many, if not all, Ebola virus strains. Knowledge of the crystal structure of the prefusion Ebola glycoprotein also allows us to create recombinant proteins that mimic the processed glycoprotein that may represent a conserved but otherwise inaccessible form of the protein that may be sensitive to neutralization.

### 39.6 Influenza Virus

Influenza virus results in 3–5 million cases of severe illness per year causing up to 500,000 deaths worldwide (WHO 2011) with the most severe cases occurring in young children and the elderly. In addition to humans, influenza also infects numerous species of mammals and birds, although wild waterfowl are thought to be the primary reservoir [74]. Influenza is a spherically-shaped single-strand negative sense RNA virus belonging to the Orthomyxoviridae family. The outer viral surface comprises three membrane-anchored proteins: hemagglutinin (HA), neuraminidase (NA) and M2. HA is the most abundant and immunogenic of the three. To date, all neutralizing monoclonal antibodies to influenza target HA; no neutralizing antibodies against NA or M2 have been reported.
Crystal structures of H1, H3, H5, H7, H9 and B HAs have each revealed a 13.5 nm cylinder-shaped homotrimeric glycoprotein consisting of a mostly β-sheet globular head sitting atop a predominantly helical stem region (Fig. 39.9a) [4, 75, 76]. HA is a class I fusion protein that is cleaved during expression to generate HA1 and an HA2 with an N-terminal fusion peptide. The head region of HA recognizes terminal sialic acid (i.e. the receptor) on the surface of the host cell to facilitate viral internalization. Upon acidification below pH 6.0 in the endosome, HA undergoes a dramatic conformational change that promotes insertion of the fusion peptide into the host membrane and brings the viral and host transmembrane regions of HA into close enough proximity to induce membrane fusion.

Influenza comprises three main viruses: A, B and C, although only A and B cause disease in humans. Type-B has no subtypes. However, influenza A is highly variable and currently consists of 16 known immunological subtypes of HA and 9 subtypes of NA combined in a multitude of reassorted strains. To date, most human infections have resulted from H1N1, H1N2, H2N2, and H3N2 strains, although more recently 390 cases of highly pathogenic H5N1 infections and a handful of H7 and H9 infections of humans have been reported [77]. In total, over 6,000 different strains of influenza
are now known to infect humans [78]. Influenza is highly immunogenic; vaccines created from inactivated or live-attenuated virus are generally effective without the need for a booster shot or the use of adjuvants. Unfortunately, selective pressure from the immune system of hosts combined with an error prone viral RNA polymerase promotes rapid mutation of the influenza viral surface proteins (referred to as antigenic drift) as well as genetic reassortments. As a result, new trivalent vaccines need to be generated each year using the strains of H1N1, H3N2 and B-type influenza that are predicted to be in circulation six months later during the upcoming flu season [79]. Not only does this require a significant outpouring of time and resources every year, but the predictions regarding upcoming influenza strains are not always on target. Furthermore, a large unpredicted human outbreak from a pathogenic avian subtype such as H5N1 would not be covered by current vaccines.

We are therefore focusing our efforts to design a vaccine that can direct the immune response, particularly antibodies, against more conserved regions of the influenza virus that are not likely to mutate quickly. In 1993, Okuno and colleagues reported the generation of a broadly neutralizing murine antibody that could neutralize H1, H2, H5 and some H6 HAs [80]. More recently Kashyap and colleagues reported on broadly neutralizing human monoclonal antibodies that neutralize divergent H1 and H5 strains [81]. These reports suggest that conserved regions of HA can elicit broadly neutralizing antibodies. When sequence conservation is mapped onto the three-dimensional structure of HA, it is evident that the stem region consisting mostly of HA2 is much more conserved than the HA1-head region (Fig. 9b). Since most neutralizing HA epitopes are located on the highly variable head region, we are presently exploring methods to immunofocus antibodies to the conserved HA-stem. The methods we are employing include PEG/glycan silencing, antigenic resurfacing and protein truncation of immunodominant regions as well as removal of native glycosylation and conformational stabilization (Fig. 9c). Our ultimate goal is to design an influenza immunogen that will elicit antibodies that broadly neutralize not only against multiple strains but even against multiple subtypes of influenza over time spans of many years.

### 39.7 Respiratory Syncytial Virus

Human respiratory syncytial virus (RSV) is a highly contagious pathogen, infecting almost the entire US population by the second year of life [82]. It is the leading cause of bronchiolitis and pneumonia in children less than 12 months old, and it is responsible for ~100,000 hospitalizations each year in the U.S. for children in this age group [82]. RSV can lead to severe disease and death for premature infants and young children with congenital heart disease or compromised immune systems. The elderly are also susceptible to RSV infection, which results in more than 9,000 deaths per year in the U.S. [83].

Currently, there is no approved vaccine for RSV. A formalin-inactivated virus preparation was found to elicit an immune response that enhanced, rather than reduced, disease symptoms [84]. Infections in the highest risk groups can be prevented with monthly injections of neutralizing monoclonal antibodies during the RSV season, but this is an expensive treatment that is not readily available to all people in the world. As RSV shares some similarities with HIV-1 at the molecular level, it is an appealing target for structure-based vaccine design.

The F protein of RSV is related to the gp120/gp41 viral spike protein of HIV-1, and mediates fusion of the viral and cellular membranes. It is also the target of several neutralizing antibodies, including those currently being used for disease prevention. Atomic-level structures of trimeric F proteins from related viruses in both pre-fusion and post-fusion conformations exist [5, 85, 86], allowing for a molecular understanding of interactions within the viral spike that is currently not available for HIV-1. We hope to apply many of the methods and techniques originally developed for creating HIV-1 immunogens to the design of an effective RSV F protein-based vaccine. These include the attachment of heterologous trimerization domains to the C-terminus of the RSV viral spike, to stabilize it in its prefusion conformation. Other efforts include the use of epitope-scaffold technologies to focus the immune response onto appropriate areas. Definition of beneficial immune responses and their mechanisms of protection against RSV may be necessary to prevent deleterious immunization effects.

### 39.8 Summary

The intramural program in the Vaccine Research Center of the National Institutes of Allergy and Infectious Diseases at NIH provides an excellent setting in which to combine basic discovery with translational efforts. Whether the confluence of structural information with protein design and immune analysis is sufficient to elicit broadly neutralizing antibodies will depend in part on our ability to optimize the immune response and also on the rationale of conformational mimicry, epitope accessibility, neutralization breadth, and target specificity. Our investigations have already provided insight into the parameters governing antibody elicitation and neutralization. Whether such basic science discoveries will provide benefits to public health depends on our ability to translate them from the laboratory to the clinic, a critical step facilitated.
by close interactions with other laboratories at the center. True success with HIV-1 will ultimately depend on whether we succeed in creating immunogens that can elicit broadly neutralizing responses which can then be translated into vaccine regimens capable of substantially reducing the incidence of HIV-1 infection in humans. Other future and past pandemic pathogens such as Ebola virus and influenza A virus may also be surmounted with structure-assisted efforts.

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