Sniffing around oxytocin: review and meta-analyses of trials in healthy and clinical groups with implications for pharmacotherapy

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The popularity of oxytocin (OT) has grown exponentially during the past decade, and so has the number of OT trials in healthy and clinical groups. We take stock of the evidence from these studies to explore potentials and limitations of pharmacotherapeutic applications. In healthy participants, intranasally administered OT leads to better emotion recognition and more trust in conspecifics, but the effects appear to be moderated by context (perceived threat of the ‘out-group’), personality and childhood experiences. In individuals with untoward childhood experiences, positive behavioral or neurobiological effects seem lowered or absent. In 19 clinical trials, covering autism, social anxiety, postnatal depression, obsessive-compulsive problems, schizophrenia, borderline personality disorder and post-traumatic stress, the effects of OT administration were tested, with doses ranging from 15 IU to more than 7000 IU. The combined effect size was $d = 0.32$ ($N = 304$; 95% confidence interval (CI): 0.18–0.47; $P < 0.01$). However, of all disorders, only studies on autism spectrum disorder showed a significant combined effect size ($d = 0.57$; $N = 68$; 95% CI: 0.15–0.99; $P < 0.01$). We hypothesize that for some of the other disorders, etiological factors rooted in negative childhood experiences may also have a role in the diminished effectiveness of treatment with OT.

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Introduction

On the internet oxytocin (OT) is the most popular nonapeptide, with more than 5.7 million hits by the end of 2012, and its popularity has been growing exponentially during the past 10 years. OT is labeled the ‘love hormone’ and widely advertised as a wonder drug to enhance the individual’s social skills on the job and at home, and to cure or alleviate more serious illnesses such as depression, post-traumatic stress, autism and other psychiatric syndromes. The number of psychopharmacological studies on OT in non-clinical groups has increased rapidly. After the initial excitement about positive effects on trust, it soon became clear that OT might be anxiolytic and facilitate prosocial behavior, and may also stimulate aggression and selfish behavior, with varying effects depending on social context, personality, personal history and gender.

Since 20 years trials have involved clinical groups as well, including patients with autism spectrum disorders, depression, social anxiety, schizophrenia, obsessive-compulsive problems, borderline personality disorder and post-traumatic stress disorder. Although great excitement about the potential role of OT in the treatment of psychiatric disorders can be observed,1–4 little is known about its effectiveness as a stand-alone or adjunct pharmacotherapeutic drug. Issues of dosage, timing and side effects in this context have not yet been addressed systematically. Whether OT may serve as a generic anxiolytic drug with positive effects on a large variety of psychiatric disorders5 or has more specific effects on a small set of syndromes6 is unclear. Here we review and conduct a meta-analysis of OT studies with clinical groups against the background of the scientific evidence on neural and behavioral effects of OT administration in non-clinical groups. We discuss therapeutic potentials as well as limitations of the (adjunct) use of OT in treatment.

Oxytocin

OT is mainly synthesized in magnocellular neurons of the supraoptic and paraventricular nuclei of the hypothalamus that project to the posterior pituitary. From the pituitary, it is released into the bloodstream to act as a hormone and influence bodily functions. In addition, neurons in the paraventricular nuclei project to various limbic, mid- and hindbrain structures (for example, hippocampus, amygdala and nucleus accumbens) containing a plenitude of OT receptors. Within the brain, OT can act both as a neurotransmitter and as a neuromodulator.7,8

Animal studies have shown that OT is involved in lactation and the onset of maternal behavior.9,10 Recent research also
suggests an important role of OT in human caregiving. Higher maternal OT levels across pregnancy predict higher quality of postpartum maternal behavior. In pregnant women, lower plasma OT levels in mid-gestation are predictive of postnatal depression. More generally, depressive symptomatology has been found related to lower plasma OT levels, although not consistently so. Similarly, in patients with schizophrenia, plasma OT levels were found to be lower than in non-clinical subjects, and they correlated negatively with psychotic symptoms. Patients with Prader–Willi syndrome were reported to have a deficit in the OT-producing neurons of the paraventricular nuclei. The idea to use OT administration in the treatment of these clinical groups is thus not far-fetched.

**Intranasal OT administration.** Early behavioral experiments with intravenous administration of large quantities of OT were short-lived because of disappointing results, presumably because the blood–brain barrier might have been difficult to pass for OT injected into the veins. Intranasal OT administration seems to circumvent this blood–brain barrier as it leads to replicable changes in brain functioning, perception and behavior. Importantly, meta-analytic results show that participants cannot guess whether they received OT or placebo, neither in between-subject nor in within-subject studies, enabling truly double-blind, placebo-controlled experiments.

The first study on levels of salivary OT after intranasal administration showed that levels remained highly elevated for more than 2 h. The second study found increased salivary OT levels for the whole 4-h period that assessments took place. A third study followed the participants up to 7 h after intranasal administration of OT or placebo. In the placebo condition, salivary OT levels were highly correlated over time, indicating impressive individual stability over the day. At 7 h after intranasal OT, salivary OT levels were still 6 to 10-fold higher than those observed in the placebo condition, with 24 and 16 IU showing similar effects. These findings suggest that intranasal OT may be quite effective, also for use with 24 and 16 IU showing similar effects. These findings over 10-fold higher than those observed in the placebo condition, salivary OT levels were highly correlated with within-subject studies, enabling truly double-blind, placebo-controlled experiments.

OT effects on neural activity. The anxiolytic effect of OT found by Heinrichs and co-workers might be related to reduced amygdala activation. In studies using functional magnetic resonance imaging (fMRI) with healthy male participants, reduced amygdala activation was found in the OT condition when fear-inducing visual stimuli (angry or frightened faces, and fearful or threatening scenes) had to be matched with an identical stimulus. During placebo, participants showed strong activation of the left amygdala to both types of fear-inducing stimuli, whereas OT decreased amygdala activation, in particular to the faces, and reduced the functional connectivity of the amygdala to the upper brainstem, which mediates arousal and fear behavior.

Whether OT reduces arousal or downregulates fear may be derived from experiments with positive emotional signals such as infant laughter. Healthy female adults (without offspring) listened in the fMRI scanner to brief episodes of infant laughter and scrambled control sounds. OT reduced amygdala activation when individuals listened to infant laughter compared with control sounds. In addition, OT increased functional connectivity between the amygdala and neural reward regions, the orbital frontal cortex and the caudal anterior cingulate cortex. Increased functional connectivity between the orbital frontal cortex, anterior cingulate cortex and amygdala may enhance cognitive control over arousal and at the same time increase the incentive salience of infant laughter.
A slightly more complicated picture emerged from a study on activation of different subregions of the amygdala to negative and positive stimuli. Participants were instructed to classify briefly presented negative (fearful), positive (happy) or neutral facial expressions as quickly and accurately as possible. OT decreased activity in lateral and dorsal regions of the anterior amygdala when viewing fearful faces, and stimulated activity in these parts in response to happy faces. OT also increased the proportion of gaze changes toward the eyes across negative as well as positive expressions, and this effect was mediated by enhanced coupling between the posterior amygdala and superior colliculus. OT might thus facilitate the detection of fear or happiness from subtle cues around the eyes.

Female subjects may respond differently to OT administration than male subjects because of sex differences in circulating levels of OT (women tend to have higher levels than men) and because of the regulatory influence of sex hormones, particularly estrogen, on the oxytonergic system. Because the menstrual cycle may influence the effectiveness of OT administration, much more OT studies have been conducted with male than with female participants. The scarce studies on female participants show inconsistent results.

In two studies increased amygdala activation was found in female participants who watched fearful faces or threatening scenes after OT administration. However, reduced amygdala activation in response to infant cry sounds has also been observed among female participants. Females twins, without children of their own and in good health, listened to a series of short cry bouts of varying pitch and to scrambled control sounds in the fMRI scanner, either after OT administration or a placebo. OT administration was related to reduced right amygdala activation and enhanced insula and inferior frontal gyrus activation when exposed to infant crying compared with control sounds. This is particularly relevant since the insula is involved in the perception of the own infant’s sad faces and the inferior frontal gyrus has been associated with empathic prosodic comprehension. Empathy has been found related to parental responsiveness to infant signals. It is based on a neural simulation mechanism that is activated both when subjected to an emotion and when observing someone else experiencing the emotion, thus enabling humans to understand others’ emotions. The insula and inferior frontal gyrus are suggested to have an important role in this simulation process. Decreased amygdala activation might promote responsiveness to infant crying by preventing parents from being overwhelmed by anxious or aversive feelings. This fits well with findings of stress-reducing effects of OT in lactating mothers.

**Tend and defend.** It seems unlikely that OT would be a ‘love hormone’ or neurotransmitter for prosocial messages in every context. From an evolutionary perspective OT may on the one hand facilitate bonding of the mother to her offspring and release caregiving behavior to nurse-dependent neonates. On the other hand, OT may also enhance the inclination to protect helpless offspring against predators, and thus increase (defensive) aggression against threats from out-group members of the same or other species.

OT appears to be a double-edged sword that promotes both tending and defending offspring in the service of survival. In a series of experiments with variations of the Prisoners’ Dilemma, OT increased in-group trust but did not affect out-group trust or distrust. These outcomes support and extend previous findings with OT-enhancing trust (only) in the context of social interaction, and point to the need to take the context into account when establishing the effects of OT administration (Figure 1).

**Meta-analytic evidence.** Taking stock of the first wave of OT experiments with healthy participants, we tested whether intranasally administered OT leads to more empathic recognition of facial expressions and more trust in conspecifics (see for details Van IJzendoorn and Bakermans-Kranenburg). We also examined the hypothesis that OT promotes parochial altruism, suggesting that trust in out-group members may decrease after OT administration. We identified 23 empirical papers with 31 pertinent effect sizes, providing data for meta-analyses on effects on face recognition (13 effect sizes, $N = 408$), in-group trust (8 effect sizes, $N = 317$) and out-group distrust (10 effect sizes; $N = 505$).

Intranasal OT administration was found to enhance the recognition of facial expressions of emotions, and to elevate the level of in-group trust. The experiments conducted to date do, however, not support the hypothesis that out-group distrust is significantly increased in the OT condition. Intranasal OT administration indeed seems ‘a sniff of trust’, but the effect sizes are more modest than the popular press seems to suggest. The standardized difference between the means of the OT versus placebo condition (Cohen’s $d$) ranged from weak ($d = 0.21$, face recognition) to moderate ($d = 0.43$, in-group trust) according to conventional effect size criteria.

This first wave of experiments documents a proof of principle of the perceptual and behavioral effects of intranasal administration of an important neuropeptide involved in social–emotional relationships. It opens a myriad of possibilities to uncover the development and dynamics of emotional empathy and trust in close relationships, and to study the potential effects of OT administration in the treatment of groups with clinical problems in the socioemotional domain.
Moderation of OT administration effects

OT is considered to affect skills that are necessary for social interaction, but it does not exert its influence equally to all types of input and all individuals. The context- and person-dependent nature of the effects of OT administration has been observed in several reviews. Here, we systematically review the moderating effects of context, personality and childhood experiences.

Context. Several studies (and, indeed, our meta-analytic results reported above) point to divergent effects of OT dependent on in-group versus out-group members to whom trust or cooperation is directed. The effect of prior contact is illustrated in a study using the Coordination Game. Half of the players had brief contact with a group of other players before the game started; with one of them they were matched. The other half were matched with a partner they had not met before the game. OT stimulated cooperative behavior only for those participants who had had brief prior contact with their potential partners, even though they did not know with whom exactly they were matched during the game.

Only a minimum of social cues needs to be present to realize the trust-increasing effect of OT in an economic game. When trustees were not met in person but described as trustworthy (depicted as studying philosophy, practicing first aid), they were trusted more money than when they were described in a less favorable way (studying marketing, playing violent sports). Larger effects of OT for positive emotions or happy faces compared with angry faces or negative social words may also be considered illustrative of this effect. In a series of studies by De Dreu and co-workers, OT enhanced in-group trust and cooperation, whereas such positive effects were weaker or absent when the fellow players or recipients were partners of out-groups. Following from the evolutionary idea that OT stimulates parental behavior to protect the own offspring against predators, it was hypothesized that OT may even increase aggression to out-group members.

Although the supporting evidence for this hypothesis is mixed, two recent studies indicate that differences in out-group threat matter. In an adapted Prisoner’s Dilemma, out-group threat was manipulated. When it was low, OT had no effect on non-cooperation towards the out-group; when out-group threat was high, however, participants were significantly more non-cooperative towards the out-group when they had sniffed OT rather than placebo. In the second study, participants engaged in an intergroup competition and were asked to select allies into their team. The faces of potential allies were morphed into either high threat (low on trustworthiness and high on dominance) or low threat (high on trustworthiness and low on dominance). Participants in the placebo condition preferred the low-threat allies as team-mates, but participants in the OT condition more often selected the high-threat allies as teammates. Under threat of the intergroup competition, OT motivated the selection of allies with high threat potential, presumably to make their in-group appear aggressive rather than friendly.

The (perceived) threat of the ‘out-group’ may thus have a crucial moderating role: OT administration appears to foster trust and cooperation with individuals that are considered part of the in-group (because they are known, met, or positively described); OT effects are weaker, absent or mixed when the partner is unknown; and OT increases non-cooperation when the partner is perceived as a potential threat. Notably, the perception of others as either a potential threat or a friendly conspecific may be not independent of the individual’s social and early caregiving experiences (see below).

Personality. OT provided to individuals with specific deficits in the measure of interest may prove particularly effective. A study on emotion recognition of healthy male subjects varying in social competence is a case in point: Normal variance in social–cognitive competence moderated the effect of OT. In a within-subject experiment, participants completed a self-report measure of social–cognitive performance and viewed videos of individuals discussing emotional events. While watching each video, they rated how positive or negative they thought the videotaped individual felt. Participants with high baseline social–cognitive competence performed well on the emotion recognition task in both the placebo condition and in the OT condition, but participants with low baseline social–cognitive competence performed poorly in the placebo condition and no longer fell behind their socially competent peers in the OT condition. Thus, OT improved empathic accuracy only for less socially proficient individuals.

In a related vein, OT increased donations in individuals with greater relative right frontal activity. The propensity to actively help out or donate money when seeing someone in need may be a natural inclination for individuals with high approach motivation (reflected in greater relative left frontal activity), whereas when approach motivation is low (reflected in less relative left/greater relative right activity), OT enhances empathic concern.

Bartz and co-workers found that the effects of OT were moderated by participants’ attachment style. Although the authors suggest that attachment style is rooted in early experiences with the caregiver, no empirical data supporting this claim is available; self-reported attachment styles appear to be more closely related to personality characteristics; with anxiety related to the big five personality trait of neuroticism, and avoidance negatively related to extroversion. Nevertheless, the finding that individuals with low scores on attachment anxiety had rosier childhood memories after OT (versus placebo), while individuals with high scores on attachment anxiety remembered their mother as less caring and more distant after OT (versus placebo) is relevant and points to the differentiated effect of OT administration depending on personality characteristics.

Personal history. Developmentally related to the perception of the world as being either a friendly environment or full of threat, childhood experiences may moderate the effect of intranasal OT administration. A growing body of evidence indicates that the prosocial effects of OT administration are stronger for or even limited to individuals with a supportive family background.

In a double-blind, randomized-controlled trial, we investigated the effects of OT on prosocial behavior during a
virtual ball-tossing game called Cyberball.\textsuperscript{76,77} Participants were led to believe that they were playing the ball-toss game online with the experimenter and two unknown individuals, and they could throw the ball to the other players using the keyboard. The game consisted of two parts. The first part was fair play with all players receiving one-fourth of the throws. In the second part, however, the experimenter was excluded from the game and did not receive any throws from the two unknown players. We found that participants compensated for other players’ ostracism by throwing the ball more often toward the excluded player. OT administration further increased the number of tosses toward the excluded person, but only in individuals who experienced low levels of maternal love withdrawal. The positive effects of OT on prosocial behavior toward a victim of social exclusion were thus limited to individuals with supportive family backgrounds.

In a similar vein, OT increased the participants’ willingness to donate money to a charity but only in participants who experienced low levels of parental love-withdrawal.\textsuperscript{78} In a double-blind randomized trial, participants were paid 50 Euros for participation in an ERP (event-related potential) experiment, and then were asked to watch a video while the experimenter cleaned up in the other room. They were shown a 2-min UNICEF promotional film, after which a text appeared on the screen in which the participant was asked to donate money, and a money box had been positioned next to the video screen. Participants in the low love-withdrawal group donated significantly more when they had received OT rather than placebo, but for participants reporting high love-withdrawal, there was no significant difference between the OT and placebo groups in the amount of money donated to UNICEF.

Using a hand-grip dynamometer during listening to infant cry sounds, we tested the effect of intranasal OT administration and experiences with harsh parental discipline during childhood on the use of excessive force in a parenting context.\textsuperscript{79} Participants were twins: one sibling from each twin pair was randomly assigned to the OT condition and the other sibling to the placebo condition, creating well-matched experimental and control groups. Participants were asked to squeeze the handgrip dynamometer as hard as possible and then at 50% of their maximal handgrip strength. They performed as many trials as necessary for training, with their performance displayed on a monitor to check the 50% level of each second handgrip, until they were able to modulate the force of their second squeeze to half the strength of their first squeeze. Participants were then requested to squeeze the handgrip dynamometer without receiving feedback eight times at full and half strength, respectively, the first four times listening to infant laughter, and then four times listening to infant crying. Participants’ experiences with harsh parental discipline during childhood moderated the effect of OT on the use of excessive force during exposure to infant crying: participants’ whose parents did not discipline them harshly used less excessive force in the OT condition, but for participants who were disciplined harshly there was no difference between the OT and placebo condition.

From these studies, it may be concluded that OT administration does not generate positive effects in individuals who as a consequence of unfavorable early caregiving experiences may have a bias towards negative interpretation of social cues. As an alternative or complementary explanation, untoward childhood experiences may interfere with the oxytonergic system on a more fundamental level, for example, affecting neurological pathways or methylation level of the OT receptor (\textit{OXTR}) gene. In that case differential effects of OT administration should also be visible in a task-free setting.

In an attempt to test the moderating role of caregiving experiences in the absence of social stimuli, we examined the influence of intranasally administered OT on functional brain connectivity with resting state fMRI.\textsuperscript{80} Intranasal OT induced functional connectivity changes between the posterior cingulate cortex (PCC) and the brainstem and, specifically, OT-induced functional connectivity changes between the PCC and the cerebellum and between the PCC and the postcentral gyrus only in participants who experienced low levels of maternal love withdrawal. The PCC is considered a functional connectivity hub because of its high degree of connectivity with other brain regions,\textsuperscript{81} including the thalamus, amygdala, the brainstem, the frontal cortex and the cerebellum.\textsuperscript{82,83} Our finding that intranasal OT changes PCC connectivity is in line with a previous study in which we found that OT increased connectivity between the amygdala and the PCC and other emotional brain regions during exposure to infant laughter.\textsuperscript{40} Increased connectivity between the PCC and the postcentral gyrus suggests that intranasal OT leads to better processing of touch-related information: The postcentral gyrus is part of a somatosensory brain network\textsuperscript{81} that has been associated with the experience of pleasant and human touch.\textsuperscript{84,85} The cerebellum has traditionally been associated with motor function and the coordination of movement. However, recent studies indicate that it also has an important role in emotion and cognition.\textsuperscript{86,87} For example, Alalade \textit{et al.}\textsuperscript{88} showed that PCC–cerebellum connectivity is altered in patients with depression and suggested that this could represent heightened rumination during resting state.\textsuperscript{88} The cerebellum is one of the least heritable brain structures and is more influenced by environmental factors during development than other brain regions.\textsuperscript{89} Children who experienced early deprivation had smaller superior–posterior cerebellar lobe volumes than a control group.\textsuperscript{90} The susceptibility of the cerebellum to early environmental factors might explain why experiences of love withdrawal moderate OT effects on connectivity between the PCC and the cerebellum, even in a task-free setting.

The moderating effect of adverse childhood experiences was also demonstrated in a pilot study on students.\textsuperscript{91} In a randomized within-subject design, salivary cortisol concentrations were measured before and after double-blind intranasal administration of placebo or OT. About half of the participants had experienced the divorce or permanent separation of their parents before the age of 13 years, with a prolonged separation from one parent as a result. In these participants, cortisol decreases after intranasal OT (versus placebo) were significantly smaller than in participants without early separation experiences, reflecting decreased sensitivity to the effects of intranasal OT.

These studies thus consistently indicate that positive OT effects on behavior or neurobiology are lowered or absent in individuals with negative caregiving experiences. The results...
on resting state connectivity and salivary cortisol levels suggest that quality of caregiving experiences moderates the effects of intranasal OT even in the absence of social stimuli. Early adversity may alter basal oxytonergic system functioning, and likely involves changes at the OT receptor level. Altered receptor density, affinity or function at the OT receptor level may be related to experience-dependent methylation of genetic areas regulating the OT system. Differences in genetic expression may, in turn, lead to decreased sensitivity to intranasal OT.

Experiments with clinical participants

OT administration has been used in clinical trials with a wide variety of clinical groups, including autism, social anxiety, postnatal depression, obsessive-compulsive problems, schizhenia, borderline personality disorder and post-traumatic stress. The promise of treating patients with these disorders with OT lies in its anxiolytic role, lowering aversive feelings against potentially disturbing signals such as negative facial expressions or cry sounds, and thus rendering patients less anxious and more at ease with a threatening social environment. Less aversion might lower the threshold for positive interactions despite initially negative social signals.

In general, OT is supposed to facilitate the establishment and maintenance of social relationships. At the same time, the effects of OT administration seem to be dampened in individuals with negative childhood attachment experiences, which appear to be rather common in many individuals suffering from psychiatric disorders. In this section, we address the question whether OT can improve social functioning and decrease symptomatology of some of the most wide-spread or persistent psychopathologies. We limit our discussion to randomized controlled trials with intranasal or intranasal OT administration. Pertinent studies were found by searching Web of Knowledge and Medline with keywords oxytocin, administration, random*, clinic* and disorder*. References of the studies were screened for additional papers. In addition, references of some recent narrative reviews were screened. The search was completed on 1 November 2012. We found 19 non-overlapping studies, including 304 participants. The findings of these experiments are reviewed below and combined in a meta-analysis to compute the combined effect size across the experiments and to examine whether some psychopathological syndromes are more open to the influence of OT treatment than others.

Autism spectrum disorders. Is OT effective in supporting patients suffering from autism? The effect of OT on repetitive autistic behaviors in 15 adults (14 males) with autism spectrum disorder (n = 6 with autism, n = 9 with Asperger’s disorder) was tested using continuous intravenous administration of OT or placebo over a 4-h period. Six repetitive behaviors were assessed: need to know, repeating, ordering, need to tell/ask, self-injury and touching. The ratings were completed at baseline, and 60, 120, 180 and 240 min after infusion. Although there was no significant main effect for drug, in the OT condition repetitive behaviors (in particular repeating and touching) started to decrease 1 hour after infusion. After 4 h, 13 patients (86.7%) in the OT condition and only six patients (40%) in the placebo condition showed a decrease in repetitive behaviors.

Effects on social cognition of the same trial were also reported. Comprehension of affective speech was used as outcome measure. Four sentences with neutral content were read aloud with one of four emotional intonations (happy, indifferent, angry and sad), and the participants had to determine the emotional mood expressed. Baseline comprehension and comprehension at 30, 60, 120, 180 and 240 min over the course of the infusion was assessed. Compared with subjects who received placebo first, subjects who received OT first showed increased ability to assign accurately emotional meaning to the speech intonations after a delay of about 1 week.

The Reading the Mind in the Eyes Test (RMET) was used to examine facial emotion recognition in 16 male youth aged 12–19 years with autism or Asperger’s disorder with and without OT administration. The RMET is considered a broad theory of mind assessment involving basic and complex social skills. It tests the ability to infer mood, gender and motor cues from pictures of the eye region of faces. The younger participants (under 15 years) received 18 IU OT or placebo, the older subjects 24 IU. The authors found improved performance on the RMET not only in the total group but also in the younger participants with the lower OT dose. The effect seemed strongest for the easier RMET items.

Using an adapted Cyberball computer game, social decision making was tested in 13 adults (11 males) with a clinical diagnosis of Asperger syndrome (n = 10) or high-functioning autism (n = 3). Participants could throw balls to ‘good’, ‘bad’ and ‘neutral’ players. In the placebo condition, participants did not discriminate between these three players, whereas in the OT condition (24 IU), they threw significantly more balls to the good player compared with the bad player. Patients also reported to trust and to prefer the good player more than the bad player in the OT condition. In the same study, the participants were asked to look at pictures of faces while their eye movements were being recorded, and to report the gender or the gaze direction (direct/averted) of the face. OT decreased the abnormally frequent saccade movements in the patients, and increased fixation time of the informative eye region of the faces on the pictures. Thus, OT made patients with autism more sociable by making them more aware of the social nature of interactions in a computer game, and by supporting them to overcome their reluctance to look faces in the eyes.

Prader–Willi syndrome is a complex genetic disorder with some genetic and phenotypic overlap with autism. Twenty-four Prader–Willi syndrome patients (8 males) were tested with a single dose of 24 IU OT (n = 12) or placebo (n = 12). Patients were observed in their daily routines and scored by trained staff on behavioral scales before and immediately after treatment and during two days following treatment. No immediate effects were found. Patients in the OT group displayed increased trust in others, fewer tendencies towards sadness and less disruptive behavior in the 2 days after intranasal administration. Examining changes from pre- to post-test, however, no significant differences between OT and placebo groups were found.

Translational Psychiatry
Social anxiety disorders. In a study on the effectiveness of group exposure therapy treatment for social anxiety disorder (SAD) in male community patients suffering from SAD OT sniffs were introduced as an adjunct to therapy. From the second to the last of five weekly sessions, 12 patients received OT (24 IU per session), and 13 subjects received a placebo. At 45 to 90 min after the administrations, each patient gave a speech in front of group members about increasingly difficult topics. OT reduced the negative mental representations of self, which are typical for SAD patients following a speech exposure task. Participants who received OT showed improvement in their rating of speech appearance, but they did not improve in their ratings of speech performance. There were no immediate or long-term improvements on SAD symptom outcome measures from exposure therapy combined with OT.

In a study using fMRI, the effects of a single intranasal OT administration (24 IU) on neural reactivity to angry and fearful faces in 18 SAD patients and 18 matched healthy controls were examined. When watching fearful faces, SAD patients showed heightened bilateral amygdala activation compared with controls. OT had no effect on amygdala activity to emotional faces in the control group, but attenuated the heightened amygdala reactivity to fearful faces in the SAD group. In the healthy controls no effect of OT was found. In a subsequent report on the same sample, OT effects on brain activity in response to sad faces compared with neutral facial expressions were reported. In the SAD group, heightened cortical reactions to sad faces were lowered and normalized after OT. In particular, hyperactivation of the medial prefrontal cortex and the anterior cingulate cortex was downregulated.

Social anxiety is prominent in patients suffering from fragile X syndrome. Administering placebo, 24 IU or 48 IU of OT intranasally at three different occasions 1 week apart social anxiety was measured in eight adolescent or adult male subjects. The patients were exposed to a social challenge task consisting of 5 min sitting quietly in a chair close to a female experimenter, after which the experimenter initiated verbal social interaction by asking questions and at the same time prompting the subjects to look them in the eyes. Heart rate and cortisol were measured and mean frequency of participant eye gaze was observed in the social proximity and social interaction phases of the challenge. Eye gaze frequency increased significantly following the 24 IU dose compared with placebo, but not after the 48 IU dose. There was no effect of phase in the challenge. Of the physiological measures, only salivary cortisol levels decreased following 48 IU of OT.

Post-traumatic stress disorder. Twenty years ago Pitman et al. conducted the first intranasal OT experiment with 43 male Vietnam veterans suffering from post-traumatic stress disorder. Patients received 20 IU OT (n = 15), 20 IU vasopressin (n = 13) or placebo (n = 15). Heart rate, skin conductance and lateral frontalis electromyographic responses to personal combat imagery were assessed. ‘Scripts’ portraying subjects’ personal events were read to them and subjects were instructed to imagine the events the scripts portrayed. Vasopressin facilitated electromyographic responses to the personal combat imagery, but OT did not have an effect on any of the outcome assessments.

The lack of OT effects on PTSD symptoms in this pioneering study might have blocked the way for further research on OT as a stand-alone treatment or an adjunct to other therapeutic PTSD treatments. Feldman suggested that in her lab data pointing to positive effects of OT on emotional functioning and well-being in combat-related PTSD are emerging. Whether this promise will be fulfilled in the complete sample is yet to be reported.

In another study on 18 PTSD patients, significant effects of a single dose of 24 IU on PTSD symptoms (measured with the PTSD Symptoms Scale) and on desire for social interaction were found. The brief report did not present detailed data on sample characteristics or outcome statistics, but it was concluded that OT significantly lowered the intensity of recurrent thoughts about the traumatic event. Mood was elevated and feelings of anxiety reduced.

Depression. In a study on 10 unmedicated female outpatients suffering from major depression and 10 matched healthy controls, the neural reactivity to the RMET was examined. OT (40 IU) increased neural activity during the RMET primarily in emotional circuits such as the cingulate and insula in depressed patients, in particular when patients had to identify mood of the facial expressions. In the OT condition, reaction times decreased for the healthy controls, but they increased in the depressed group. Accuracy of response during the RMET did not change under the influence of OT compared with placebo.

Postnatal depression is rather common and negatively affects the mother–baby relationship. Mah et al. hypothesized that intranasal administration of OT to mothers with postnatal depression would influence their parenting-related expressed emotion, creating a better basis for sensitive parenting. Twenty-five depressed mothers attending an outpatient perinatal psychiatry setting participated with their young infant. They received 24 IU intranasal OT alternating with placebo approximately 1 week apart. The outcome measures were the Five-Minute Speech Sample used to establish rates of criticism and emotional over-involvement, and the Self-Assessment Manikin for current mood. In the OT condition mothers were sadder, and they more often initially described their babies as difficult. They did not express more emotional overinvolvement or criticism, but they reported that the quality of their relationship with their infant was better. Thus, OT improved mothers’ perception of the relationship with their baby, though it did not make them less depressed.

Schizophrenia. Animal studies have shown antipsychotic effects of OT. In 15 patients (12 males) who received regular antipsychotic medication, the effect of adjunct daily doses of OT (40 IU maximum) during 3 weeks was examined. Unaware raters applied the Positive and Negative Symptom Scale (PANSS) and the Clinical Global Impression-Improvement Scale at each visit. A priori aim was improvement on the total PANSS score at the final visit. PANSS scores showed significant more improvement in the OT compared with placebo condition. The PANSS Clinical
Global Impression-Improvement Scale showed a similar positive effect. Assessments at prior visits did not reveal improvement. Thus, at least 3 weeks of OT treatment may be needed to create effects on schizophrenic symptomatology. To test amnestic side effects of OT treatment, two cognitive tests were applied: the California Verbal Learning Test and the Letter Number Sequence. Tests were performed at baseline and after 3 weeks of treatment. No evidence for an amnestic effect was found. In the OT condition, patients showed even better performance on two subtests.

Another study on the antipsychotic effects of OT sniffs included 20 patients (17 males) with a diagnosis of paranoid or undifferentiated schizophrenia who were on standard antipsychotic medication. Patients received 24 IU OT or placebo two times daily for 14 days. Outcome measures of psychotic symptoms were the PANSS and a Paranoia Scale. Social cognitions were measured with the Brüne Theory of Mind Picture Stories Task and a Trustworthiness Task. Controlling for pretest differences, the OT group showed a significant decline in PANSS, but no effect on social cognition.

Averbeck and co-workers examined the effects of 24 IU OT on emotion recognition (happiness, surprise, fear, sadness, disgust and anger) in 21 male schizophrenic patients who were on medication with regular antipsychotics. Placebo and OT sessions were 1 week apart. Both morphed and unmorphed faces were displayed and patients indicated which word represented the expressed emotion best. A main effect for OT was found, indicating improved emotion recognition independent of type of emotion and morphing status.

Similar facial emotion expressions were used in a study on 13 schizophrenic patients (seven males) with (n = 5) or without (n = 8) polydipsia. Patients received 10 or 20 IU OT or placebo on three occasions. All patients were on regular antipsychotic medication. A conservative estimate of the 20 IU OT effects (compared with placebo) in both polydipsic and non-polydipsic patients on correctly identified emotions amounted to zero. We suggest that their pilot study demonstrated an overall negative impact of 10 IU OT on patients’ basal deficits in emotion recognition, while 20 IU ameliorated deficits in the polydipsic but not in the non-polydipsic group. Statistical comparisons with a healthy control group complicate comparisons with OT administration studies in other clinical samples.

Obsessive-compulsive disorders. In an early study, Den Boer and Westenberg tested the frequency of self-reported obsessive or compulsive behaviors (checking, cleaning) of 12 OCD patients (three males) after OT or placebo in a between-subjects design. The authors did not find a reduction of OCD symptoms after OT, and concluded that the dose of 160 IU per day for 6 weeks (7224 IU in total) might have been too low. In a subsequent pilot treatment with two OCD patients, the authors thus used a threefold higher dosage but again without any noticeable effect. On the positive side, no side effects of these high dosages were seen in terms of psychotic symptoms or memory disturbances.

Large OT doses were also used in a study with seven OCD patients (four males) in a within-subjects design. OC symptoms were assessed with the Yale–Brown Obsessive Compulsive Scale. Five patients received 160 IU per day for 1 week, whereas two patients sniffed 320 IU per day. No effect was seen for either the smaller or the larger dose. OT also remained ineffective in changing anxiety symptoms, memory capacity or depressive symptoms. In a letter to the editor, Epperson et al. reported on a similar experiment with two patients suffering from a special type of OCD, namely trichotillomania (compulsive hair pulling), but again without any success.

Borderline personality disorder. Patients suffering from borderline personality disorder struggle with unstable social relationships and lack of trust in significant others. It has been suggested that the enhanced trust after OT found in healthy individuals might not be found in BPD patients because of their insecure attachment style. In a social dilemma game with a confederate, the cooperative and trusting stance towards others was tested in 14 BPD patients (four males), with six patients receiving 40 IU intranasal OT and eight patients receiving placebo. They also completed the Experience in Close Relationship Scale to test attachment style. BPD patients scored more than two standard deviations higher on the scales for anxious and avoidant attachment style compared with healthy controls. BPD patients in the OT condition showed significantly less trust and cooperativeness, and in the OT condition anxious attachment was associated with less cooperation and trust. Another report on the same sample notes a trend toward attenuated stress reactivity as indexed by cortisol and dysphoria ratings in patients with BPD after OT administration compared with placebo.

Meta-analysis

The findings of the OT administration studies on clinical samples show great variety, from negative and null effects to remarkably positive outcomes. In a research area with diverging results, meta-analysis might be a tool to integrate and analyze this variety. Meta-analysis yields an overall or combined effect size, and provides a more detailed analysis of experimental effectiveness in subsets of studies related to specific psychiatric syndromes. We conducted a meta-analysis of the 19 randomized experiments discussed in the section above using Comprehensive Meta-analysis. When more than one pertinent outcome was reported, we chose the outcome with most face validity for the disorder, and in case of multiple outcomes we combined them meta-analytically within the study. In case of (partly) overlapping samples, we included only one study, so that no participant was included more than once in the meta-analytic data set. Table 1 presents some basic data on design features of the experiments.

For each study, an effect size (d) was calculated as the standardized difference between the two pertinent conditions (OT and placebo). Effect sizes indicating a positive relation between OT administration and either decreased symptomatology or increased social competence were given a positive sign. Using Comprehensive Meta-analysis, combined effect sizes were computed for all studies combined, and for the clinical syndromes separately. Significance tests were...
performed through random-effects models, as this approach is considered to be most widely applicable and conservative.\textsuperscript{118} Random-effects models allow for the possibility that there are random differences between studies that are associated with variations in procedures, measures, settings, that go beyond subject-level sampling error and thus point to different study populations.\textsuperscript{62} To test the homogeneity of the overall and specific sets of effect sizes, we computed Q-statistics.\textsuperscript{118} In addition, we report 95\% confidence intervals (CIs) around the point estimate of each set of effect sizes.

The combined effect size of the 19 randomized experiments with OT administration in clinical samples was $d = 0.32$ ($N = 304$; 95\% CI: 0.18–0.47; $P < 0.01$, random-effects model), in a homogeneous set of outcomes ($Q = 19.67$; $P = 0.35$). This indicates a small-to-moderate effect size according to Cohen's criteria.\textsuperscript{119} The fail-safe number

### Table 1

| Author et al. | Disorder       | N  | Age mean (years) (range) | Gender (% male) | Outcome | Task or setting                           |
|---------------|----------------|----|--------------------------|-----------------|---------|------------------------------------------|
| Andari et al. | Autism         | 13 | 26 (17–39)               | 85              | Social decision | Cyberball                          |
| Guastella et al. | Autism     | 16 | 15 (12–19)               | 100             | Emotion recognition | Reading the Mind in the Eyes Test |
| Hollander et al. | Autism    | 15 | 33 (19–56)               | 93              | Emotion recognition | Vocal intonation                 |
| Tauber et al. | Prader–Willi  | 24 | 29 (18–43)               | 33              | Social behavior | 2-Day observation                   |
| Guastella et al. | Anxiety   | 25 | 42 (25–65)               | 100             | Social anxiety | Self-report and clinician ratings |
| Hall et al. | Anxiety       | 10 | 21 (13–28)               | 100             | Eye gaze       | Social interaction                   |
| Labuschagne et al. | Anxiety | 18 | 29 (19–55)               | 100             | Amygdala response | Fearful faces                      |
| Pitman et al. | PTSD          | 30 | 44 (NR)                  | 100             | Neuro-physiological responses | Personal combat imagery            |
| Yatzkar and Klein | PTSD    | 18 | NR (NR)                  | 100             | PTSD symptoms | Self-report                          |
| Mah et al. | Depression    | 25 | 28 (19–38)               | 0               | Mood           | Self-assessment Manikin             |
| Pincus et al. | Depression    | 8  | 35 (28–60)               | 0               | Emotion recognition | Reading the Mind in the Eyes Test |
| Averbeck et al. | Schizophrenia | 21 | 38 (NR)                  | 100             | Emotion recognition | Hexagon emotion discrimination task |
| Feifel et al. | Schizophrenia | 15 | 48 (NR)                  | 80              | Schizophrenic symptoms | Clinical ratings positive and negative symptom Scale |
| Goldman et al. | Schizophrenia | 13 | 47 (NR)                  | 54              | Emotion recognition | Pictures varying in intensity of emotion |
| Pedersen et al. | Schizophrenia | 20 | 37 (18–55)               | 85              | Schizophrenic symptoms | Clinical ratings Positive and Negative Symptom Scale |
| Den Boer and Westenberg | OCD | 12 | 39 (NR)                  | 25              | Obsessive/compulsive behaviors | self-report |
| Epperson et al. | OCD         | 7  | 46 (NR)                  | 57              | Obsessive/compulsive behaviors | Clinical rating Y-BOCS |
| Bartz et al. | BPD           | 14 | 35 (NR)                  | 29              | Hair pulling   | Self-report                          |

Abbreviations: BPD, borderline personality disorder; OCD, obsessive-compulsive disorder; NR, not reported; PTSD, post-traumatic stress disorder; Y-BOCS, Yale–Brown Obsessive Compulsive Scale.

| Author | Disorder | N | $d$ | $se$ | lower | upper | $p$  |
|--------|----------|---|-----|------|-------|-------|------|
| Andari | Autism   | 13| 0.57| 0.30 | -0.01 | 1.16  | 0.056|
| Guastella | Autism | 16| 0.61| 0.27 | 0.07  | 1.14  | 0.026|
| Hollander | Autism | 15| 0.66| 0.53 | -0.38 | 1.70  | 0.214|
| Tauber | Prader–Willi | 24| 0.45| 0.41 | -0.36 | 1.26  | 0.275|
| Guastella | Anxiety | 25| 0.22| 0.40 | -0.56 | 1.01  | 0.576|
| Hall | Anxiety | 8 | 0.14| 0.36 | -0.55 | 0.84  | 0.690|
| Labuschagne | Anxiety | 18| 0.45| 0.25 | -0.04 | 0.93  | 0.071|
| Pitman | PTSD | 30 | 0.00| 0.37 | -0.72 | 0.72  | 1.000|
| Yatzkar | PTSD | 18 | 0.41| 0.25 | -0.07 | 0.89  | 0.095|
| Mah | Depression | 25 | 0.44| 0.21 | 0.03  | 0.86  | 0.034|
| Pincus | Depression | 8 | 0.00| 0.35 | -0.69 | 0.69  | 1.000|
| Averbeck | Schizophrenia | 21| 0.20| 0.22 | -0.24 | 0.63  | 0.373|
| Feifel | Schizophrenia | 15| 0.55| 0.28 | 0.01  | 1.10  | 0.046|
| Goldman | Schizophrenia | 13| 0.00| 0.28 | -0.54 | 0.54  | 1.000|
| Pedersen | Schizophrenia | 20| 0.47| 0.24 | 0.01  | 0.94  | 0.044|
| Den Boer | OCD | 12 | -0.95| 0.61 | -2.15 | 0.24  | 0.118|
| Epperson | OCD | 7 | 0.53| 0.26 | 0.03  | 1.03  | 0.038|
| Epperson | OCD | 2 | 0.00| 0.71 | -1.39 | 1.39  | 1.000|
| Bartz | BPD | 14 | -1.19| 0.59 | -2.34 | -0.05 | 0.041|

Note. PTSD = Post Traumatic Stress Disorder; OCD = Obsessive Compulsive Disorder; BPD = Borderline Personality Disorder.

Figure 2 Randomized controlled trials with oxytocin administration in clinical groups: effect sizes (Cohen’s $d$, 95\% confidence interval) and significance.
was 52, that is, 52 studies with null results would be needed to reduce the overall significant result to nonsignificance. This number is smaller than Rosenthal’s criterion of 5k + 10 (k = the number of studies included in the meta-analysis), indicating that the overall effect size is not very robust.120 We used the ‘trim and fill’ method121,122 to calculate the effect of potential data censoring or publication bias on the outcome of the meta-analyses. Using this method, a funnel plot is constructed of each study’s effect size against the sample size or the standard error (usually plotted as 1/s.e., or precision). It is expected that this plot has the shape of a funnel, because studies with smaller sample sizes and larger standard errors have increasingly large variation in estimates of their effect size as random variation becomes increasingly influential, whereas studies with larger sample sizes have smaller variation in effect sizes.122,123 The plots would be expected to be shaped like a funnel if no data censoring is present. However, since smaller nonsignificant studies are less likely to be published (the ‘file-drawer’ problem,124 studies in the bottom left hand corner of the plot are often omitted.123 With the ‘trim and fill’ procedure, the k right-most studies considered to be symmetrically unmatched are trimmed and their missing counterparts are imputed or ‘filled’ as mirror images of the trimmed outcomes. The trim-and-fill approach indicated no publication bias against small studies with small effects in our set of studies.

Controlling for multiple comparisons, only the set of four studies on individuals with autism spectrum disorder (including Prader–Willi syndrome) showed a significant combined effect of $d = 0.57$ ($N = 68$; 95% CI: 0.15–0.99; $P < 0.01$) in a homogeneous set of outcomes ($Q = 0.13$; $P = 0.99$). Without the Prader–Willi syndrome study, the combined effect in the remaining set of three autism spectrum disorder studies was still significant ($d = 0.60$; $P = 0.016$). Patients diagnosed with other clinical syndromes did not seem to profit from OT administration. It should be noted that the number of studies per syndrome is rather small, and future experimental studies on clinical samples might change this overall somewhat disappointing picture.

**Conclusions**

OT research has seen a major upsurge in the past two decades, even though some basic questions have not been answered yet. At a biochemical level, it is still unclear whether peripheral OT levels (in blood, urine or saliva) are correlated with OT levels in the brain,125 and there is much debate on whether and how intranasally administered OT reaches the receptors in the brain.5 If the pathway causing the behavioral effects of OT treatment is initially peripheral followed by increased central OT levels rather than initially central, then nasal administration is a suboptimal method for increasing central OT levels.29 Acknowledging these unsolved puzzles, we conclude that there is sufficient experimental evidence to support the neural and behavioral changes caused by OT sniffs. Despite the black box of the mechanisms through which it penetrates the limbic system and reaches its receptors, it is time to take stock of the evidence from OT studies in normal and clinical groups to explore potentials and limitations of pharmacotherapeutic applications.

In normal groups, the effects of OT appear to be dependent of the (perceived) threat of the ‘out-group’: OT administration fosters trust and cooperation with individuals that are considered part of the in-group; OT effects are weaker, absent or mixed when the partner is unknown; and OT increases non-cooperation or even aggression when the partner is perceived as a potential threat. OT seems to intensify ‘parochial altruism’,34,126 that is, the inclination to defend the in-group against the out-group even when it requires aggression, which from an evolutionary point of view makes sense for the parent of young offspring. Parochial altruism at the group level may be equivalent to protecting offspring even at the expense of the mother’s self-interest.127

Moreover, recent research indicates that positive OT effects on behavior or neurobiology are lowered or absent in individuals with negative childhood experiences.78,79,91 Individual’s early caregiving experiences may profoundly affect the cognitive representation and subsequent perception of others as either a potential threat or a friendly conspecific.128,129 The results of studies on resting state connectivity,80 and salivary cortisol levels81 suggest that childhood experiences moderate OT effects even in the absence of social stimuli, pointing to environmentally induced changes of the oxytonergic system. We submit that early experiences exert their influence on methylation of genetic areas regulating the oxytonergic system, affecting the system’s functioning at the OT receptor level (see Figure 2). Methylation of the receptor gene lowers circulating OT levels and may impede the feedforward mechanism after intranasally administered OT.

It is tempting to suggest that in a similar way differences in experience-dependent methylation may be (partly) responsible for the variation in effectiveness of OT treatment in clinical groups. Only studies on individuals with autism spectrum disorder showed a significant combined effect size ($d = 0.57$), which may reflect the fact that this disorder is mainly genetically determined—except for the pseudoautistic participants who are not considered part of the in-group; OT effects are weaker, absent or mixed when the partner is unknown; and OT increases non-cooperation or even aggression when the partner is perceived as a potential threat. OT seems to intensify ‘parochial altruism’,34,126 that is, the inclination to defend the in-group against the out-group even when it requires aggression, which from an evolutionary point of view makes sense for the parent of young offspring. Parochial altruism at the group level may be equivalent to protecting offspring even at the expense of the mother’s self-interest.127
Given that in normal samples much smaller doses have been used in OT experiments in the past 10 years, and that these smaller doses already lead to a 10- to 100-fold increase of salivary OT levels, it seems overly optimistic to hypothesize that more or stronger sniffs will make the difference. For dosage of OT, it is quite possible that ‘less is more’ as an excess of OT might lead to occupation of vasopressin receptors, shifting the balance between OT and vasopressin in the brain.

To the best of our knowledge, only one study examined whether the OT receptor gene (OXTR) moderated the effect of intranasal OT administration. In a between-subject design, 57 participants of mixed ethnicity viewed infant and adult faces with neutral expressions and they assessed how appealing they found each face. Infant faces were more strongly preferred following OT inhalation relative to placebo, but this effect was only observed for participants homozygous for the rs53576 G allele. In a similar vein, individuals with one or two copies of the G allele of rs53576 were found to show lower cortisol responses to stress when they received social support compared with a no-support condition, while no such difference was found for AA carriers. If social support from a partner or close friend can be considered a ‘natural’ boost of OT, these results may indicate that genetic variation of the oxytonergic system modulates its effectiveness. If so, an individual’s genotype (and degree of methylation of the gene) determines what constitutes a sufficient dose to ensure a lasting neural or behavioral effect. This is an important issue for the application of OT administration in clinical practice, not unlike the significance of pharmacogenomics in the treatment of some types of cancer.

In sum, at the moment the pharmacotherapeutic use of OT seems less effective in many psychiatric disorders. We hypothesize that for some of these disorders etiological factors rooted in negative childhood experiences may at the same time have a role in the diminished effectiveness of treatment with OT. On the basis of the trials so far, individuals diagnosed with autism or related disorders seem to profit most from the (adjunct) application of OT, and their social–communicative skills might improve significantly. OT is not a generic wonder drug that promotes positive feelings, behaviors and relationships independent of context, personality and personal history. OT may be anxiolytic and may facilitate the establishment and maintenance of (therapeutic) relationships, but adverse personal history may mitigate these potentially beneficial effects.

Conflict of interest
The authors declare no conflict of interest.

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