First-in-Man Study of a Cardiac Extracellular Matrix Hydrogel in Early and Late Myocardial Infarction Patients

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VISUAL ABSTRACT

Early group- 60 days-1 year post-STEMI (7 patients) Late group-1-3 years post-STEMI (8 patients)

Transendocardial injection catheter in the LV VentiGel- porcine cardiac ECM hydrogel

HIGHLIGHTS

- A first-in-man clinical trial was completed with VentiGel, an extracellular matrix hydrogel derived from decellularized porcine myocardium, in post-MI patients.
- Results from the trial support the safety and feasibility of transendocardial injection of VentiGel in post-MI patients with left ventricular dysfunction.
- Although the study was not designed to evaluate efficacy, there were suggestions of improvements including increases in 6-min walk test distance and decreases in New York Heart Association functional class across the entire cohort of patients.
- Improvements in left ventricular remodeling were mainly observed in patients who were treated >1-year post-MI as opposed to <1 year.
- Results from the trial warrant further evaluation in larger randomized, controlled clinical trials.
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ver the past 2 decades, there has been extensive interest in using regenerative medicine to treat patients with myocardial infarction (MI) and ischemic heart failure. Although several growth factor and gene therapeutics have been explored, the vast majority of trials have tested different types of stem cells \(^1\). However, tissues are not just comprised of cells but also include a scaffolding framework, the extracellular matrix (ECM). The ECM contains numerous proteins and proteoglycans with a unique tissue-specific composition that provides cues, which influence all aspects of cell behavior necessary for proper tissue function as well as repair \(^2\). After an MI, there is not only cell death but also an inflammatory response and up-regulation of matrix metalloproteinases that degrade the native cardiac ECM \(^3\). After the initial inflammation, the area is replaced by a collagen-rich scar. With the goal of replacing this abnormal microenvironment with healthy myocardial ECM cues to facilitate cardiac repair, we developed an injectable, catheter-deliverable hydrogel derived from porcine decellularized myocardial ECM \(^4\). This material can be stored in a lyophilized form and rehydrated with sterile water to form a liquid that gels into a porous and highly hydrated gel. This injectable biomaterial approach represents a novel strategy to modulate cell behavior, provide cues, and support tissue repair following MI.

**SUMMARY**

This study evaluated the safety and feasibility of transendocardial injections of VentriGel, a cardiac extracellular matrix hydrogel, in early and late post-myocardial infarction (MI) patients with left ventricular (LV) dysfunction. VentriGel was delivered in 15 patients with moderate LV dysfunction (25% ≤ LV ejection fraction ≤ 45%) who were between 60 days to 3 years post-MI and were revascularized by percutaneous coronary intervention. The primary endpoints were incidence of adverse events and abnormal clinical laboratory results. This first-in-man study established the safety and feasibility of delivering VentriGel in post-MI patients, thus warranting further evaluation in larger, randomized clinical trials. (J Am Coll Cardiol Basic Trans Science 2019;4:659–69) © 2019 The Authors. Published by Elsevier on behalf of the American College of Cardiology Foundation. This is an open access article under the CC BY-NC-ND license (http://creativecommons.org/licenses/by-nc-nd/4.0/).

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an alternative paradigm to cell-based regenerative medicine strategies (13).

**METHODS**

**TRIAL DESIGN AND PATIENT POPULATION.** This phase 1 trial was approved by the Food and Drug Administration and the institutional review boards of each participating clinical center. Eligibility for the trial included patients with their first ST-segment elevation MI treated by percutaneous coronary intervention within the past 60 days to 3 years who had moderate LV dysfunction (25% ≤ LV ejection fraction [EF] ≤ 45%). The enrollment criteria were intentionally broad so that we could begin to evaluate the safety and potential efficacy of VentriGel in both early and late MI patients. The primary objective was to investigate the safety and feasibility of a single dose of VentriGel delivered via multiple sequential endomyocardial injections using the MyoStar catheter guided by the NOGA cardiac mapping system (Biologics Delivery Systems, Cordis Corporation, Hialeah, Florida). The primary endpoints were incidence of adverse events and abnormal clinical laboratory results.

The secondary objective was to investigate the preliminary efficacy of VentriGel by measuring the changes in various parameters from baseline to 3 and 6 months after the procedure. The secondary endpoints included evaluation of LV volumes, EF, and scar size by cardiac magnetic resonance (CMR), serum B-type natriuretic peptide (BNP) level, the 6-min walk test distance, quality of life using the Minnesota Living with Heart Failure Questionnaire (MLWHFQ), and New York Heart Association (NYHA) functional classification assessment.

Patients who experienced their first ST-segment elevation MI treated by percutaneous coronary intervention within the past 60 days to 3 years and had an LVEF between 25% and 45% by baseline screening echocardiogram were recruited and enrolled at 6 sites. LVEF was determined by 2-dimensional transthoracic echocardiography performed in accordance with the American Society of Echocardiography guidelines. Key exclusion criteria included sustained ventricular tachycardia, LV thrombus, significant coronary artery stenosis requiring percutaneous or surgical revascularization within 6 months of enrollment, heart failure due to any cause other than index MI, and NYHA functional class IV heart failure in the prior 6 months. Additional details including full inclusion/exclusion criteria are provided in the Supplemental Appendix. An independent safety advisory board was chartered to review safety data after the first 9 patients and ad hoc.

**PROCEDURES.** VentriGel, an ECM hydrogel derived from decellularized porcine myocardium, was manufactured at a single Good Manufacturing Practice facility and provided to trial sites as lyophilized material in sterile vials and stored frozen. Immediately before the procedure, VentriGel was resuspended in sterile water and loaded into 1-ml syringes for injection. All patients underwent electromechanical mapping with the NOGA XP system (Biosense Webster, Irvine, California). The area of infarct was determined by <6.9 mV univoltage potential. All patients received sequential injections of VentriGel (0.3-ml individual injections up to a total of 18 injections or 5.4 ml) using a MyoStar catheter into the infarct and border zone areas with a wall thickness >8 mm as defined by the screening echocardiogram. Each injection was delivered over 45 s through a 27-gauge needle. A limited echocardiogram was obtained immediately post-injection to rule out pericardial effusion. Patients were routinely discharged at 24 h post-procedure with a cardiac event monitor for 30 days. Patients received a follow-up phone call on day 5 post-discharge; returned for safety visits on days 7 (±2 days), 14 (±2 days), 30 (±2 days), 90 (±4 days), and 180 (±7 days); and received a telephone follow-up at 12 months (±14 days). The 24-h Holter monitoring was performed at screening and at 90 and 180 days. Cardiac MRI was performed according to imaging guidelines provided by the Yale Cardiovascular Research Group at baseline and at 90 and 180 days. The 6-min walk test was performed according to American Thoracic Society guidelines at baseline and at 90 and 180 days. NYHA functional classification and MLWHFQ were obtained at baseline and at 30, 90, and 180 days.

**DATA AND STATISTICAL ANALYSIS.** Data were recorded in case report forms and monitored against source documentation for accuracy by clinical study monitors. Independent centralized core laboratories (Yale Cardiovascular Research Group, New Haven, Connecticut, and KCRI, Krakow, Poland) provided all MRI image analysis. Statistical analysis was performed with GraphPad Prism version 8.01 (GraphPad Software, La Jolla, California). Categorical variables are summarized by counts and proportions. Descriptive data of continuous variables are summarized using mean and standard error of the mean. Data were compared using a repeated-measures mixed-effects model in Prism. This mixed model uses a compound symmetry covariance matrix and is fit using restricted maximum likelihood. A Geisser-Greenhouse correction was used when sphericity could not be assumed.
Each follow-up time point was compared with baseline with a paired Student’s t-test. Significance was accepted at \( p < 0.05 \). Given the small, exploratory nature of this study, there were no adjustments for multiple comparisons.

**RESULTS**

**PATIENTS.** All patients gave written informed consent; 22 patients were consented and screened, and 15 patients were subsequently enrolled between September 2015 and July 2017 (Supplemental Table 1). The majority of patients were men (\( n = 12 \)) and had Class II heart failure (\( n = 11 \)). Age ranged from 45 to 69 years, and other pertinent baseline characteristics are summarized in Table 1. Concomitant medications are listed in Supplemental Table 2. VentriGel was delivered between 3 and 35.5 months post-MI. Enrolled patients were divided with approximately one-half of the patients treated <12 months post-MI and one-half of the patients treated >12 months post-MI (Table 1). Thirteen of the 15 patients received all 18 injections. The 2 remaining patients received 15 and 16 injections due to injection requirement restrictions such as wall thickness minimum.

**SAFETY.** Overall, VentriGel was well tolerated, and there were no deaths or patients who discontinued from the study. No adverse event was reported as definitely related to VentriGel (Supplemental Table 3) or the mapping/injection procedure. One major adverse cardiac event, cardiogenic shock, and 1 moderate event, complete heart block, both in the first patient treated were reported as possibly related for study treatment. This patient’s history included trifascicular block, which was added as an exclusion

| TABLE 1 Patient Demographics and Baseline Characteristics |
|----------------------------------------------------------|
| **VentricGel** Treatment (N = 15) | Patients -12 Months Post-MI at Treatment (n = 7) | Patients -12 Months Post-MI at Treatment (n = 8) |
| Age, yrs | 59.6 ± 8.8 | 57.7 ± 10.3 | 61.3 ± 7.5 |
| Female | 3 (20.0) | 2 (28.6) | 1 (12.5) |
| White, non-Hispanic | 12 (80.0) | 6 (85.7) | 6 (75.0) |
| White, Hispanic | 1 (6.7) | 1 (12.5) | 0 (0) |
| African American | 2 (13.3) | 0 (0) | 2 (28.6) |
| Body mass index, kg/m² | 30.0 (4.4) | 29.1 (4.1) | 30.7 (4.7) |
| Tobacco use | | | |
| Former | 10 (66.7) | 5 (71.4) | 5 (62.5) |
| Current | 1 (6.7) | 1 (14.3) | 0 (0) |
| Diabetes mellitus | 3 (20.0) | 2 (28.6) | 1 (12.5) |
| Hypertension | 7 (46.7) | 4 (57.1) | 3 (37.5) |
| Dyslipidemia | 12 (80.0) | 4 (57.1) | 8 (100) |
| Previous PCI | 15 (100) | 7 (100) | 8 (100) |
| Previous CABG | 3 (20.0) | 0 (0) | 3 (37.5) |
| NYHA functional class | | | |
| I | 3 (20.0) | 1 (14.3) | 2 (25.0) |
| II | 11 (73.3) | 5 (71.4) | 6 (75.0) |
| III | 1 (6.7) | 1 (14.3) | 0 (0) |
| Time from MI to injection (months) | 15.2 ± 10.6 | 6.5 ± 2.9 | 22.8 ± 8.7 |

Values are mean ± SD or n(%).

CABG = coronary artery bypass grafting; MI = myocardial infarction; NYHA = New York Heart Association; PCI = percutaneous coronary intervention.

![FIGURE 1](https://via.placeholder.com/150)

Absolute changes in (A) the 6-min walk test distance, (B) NYHA functional class, and (C) Minnesota Living With Heart Failure Questionnaire (MNLIWHFQ) score from baseline to follow-up visits are shown for the total cohort. The \( p \) value is for the paired Student’s t-test between follow-up and baseline.

\( * \) \( p < 0.05 \), \( ** \) \( p < 0.01 \). MN = Minnesota; NYHA = New York Heart Association; QOL = quality of life.
criterion based on recommendations by the safety advisory board for the final 13 patients. One intracardiac thrombus was reported as possible for the mapping/injection procedure. No significant ventricular arrhythmias were found by Holter monitoring. One episode of nonsustained ventricular tachycardia was reported as not related to VentriGel. There were no clinically meaningful changes in the clinical laboratory results. As expected by the injection procedure, a small, nonsignificant increase in C-reactive
protein was observed 1 day after the injection procedure but not at other time points (Supplemental Table 4).

**EFFICACY SIGNS.** A 6-min walk test was evaluated at baseline and 3- and 6-month follow-up visits as a measure of functional exercise capacity. In the total cohort, treatment with VentriGel significantly increased the maximum walk distance with time post-injection ($p = 0.004$) at 3 and 6 months. The walk distance was significantly greater than at baseline with average changes of $+35.6$ m ($p = 0.033$) and $+44.4$ m ($p = 0.007$), respectively (Figure 1A, Supplemental Figure 1A, Supplemental Table 5). This corresponded to improvements in NYHA functional class and the MLWHFQ quality of life score. NYHA functional class significantly decreased at 1-month post-treatment ($p = 0.041$), and this overall decrease was similar at 3 and 6 months (Figure 1B, Supplemental Figure 1B, Supplemental Table 6). The MLWHFQ score likewise significantly decreased at 1 month ($p = 0.045$), and a similar decrease was observed at 3 and 6 months but was nonsignificant (Figure 1C, Supplemental Figure 1C, Supplemental Table 6). Improvements in the walk distance (Figure 2A, Supplemental Figure 2A), NYHA functional class (Figure 2B, Supplemental Figure 2B), and the MLWHFQ score (Figure 2C, Supplemental Figure 2C) tended to be better in the late MI subset (>12 months post-MI) versus the early MI subset (<12 months post-MI).

Cardiac function was evaluated using MRI at baseline and at the 3- and 6-month follow-up visits. Thirteen of 15 patients were evaluated at 3 months, and 14 of 15 patients were evaluated at 6 months. In the 14 patients who were evaluated with MRI at 6 months, 11 patients had maintained or decreased LV end-diastolic volume (LVEDV) or LV end-systolic volume (LVESV) compared with baseline at the final follow-up. Although numerical averages for the total cohort decreased (Figure 3, Supplemental Figure 3), post hoc analysis revealed that decreases in LV volumes occurred predominantly in the late MI subset (>12 months post-MI) versus the early MI subset (<12 months post-MI) (Figures 4A and 4B, Supplemental Figures 4A and 4B, Supplemental Table 7). At 6 months, both LVESV and LVEDV decreased by approximately 8 ml ($p = 0.051$ and $p = 0.280$, respectively) in those patients treated >12 months post-MI. Similarly, changes in viable mass were more notable in the late MI population (Figure 4C, Supplemental Figure 4C, Supplemental Table 7). No major changes were observed in EF or scar size (Supplemental Table 7). The first treated patient received a pacemaker, which prevented follow-up MRI analysis; however, echocardiography was performed at 3 and 6 months and analyzed by the core laboratories. This patient’s EF increased from 40.6% at baseline to 44.6% and 46.9% at 3 and 6 months, respectively. LV diameters likewise improved compared with baseline. The LV end-systolic diameter decreased from 4.16 cm at baseline to 4.15 cm and 4.13 cm at 3 and 6 months, respectively, whereas the LV end-diastolic diameter decreased.
decreased from 5.23 cm at baseline to 4.80 cm and 5.01 cm at 3 and 6 months, respectively.

BNP decreased by approximately 5% and 12% at 3 and 6 months in the 13 patients with follow-up, although this was not significant (Figure 5A, Supplemental Figure 5A, Supplemental Table 8). Similar to LV volumes, those in the late MI subset (>12 months post-MI) had the greatest decreases in BNP (Figures 5B and 5C, Supplemental Figures 5B and 5C).
DISCUSSION

To the best of our knowledge, this study is the first clinical trial to evaluate a injectable biomaterial delivered via percutaneous transendocardial injections for cardiac repair. We observed findings that support the initial safety and feasibility of injecting VentriGel, an ECM hydrogel derived from porcine myocardium, in post-MI patients with LV dysfunction. The trial was also the first demonstration of using a decellularized ECM hydrogel in any tissue in patients.

The concept of injectable biomaterials for treating MI was first introduced in the early 2000s (14–17). At that time, it was postulated that an injectable biomaterial may improve cardiac function by acting as an internal wall support to increase infarct wall thickness. Other materials were developed based on this hypothesis, including 2 alginate-based materials (polysaccharide hydrogels derived from seaweed) that were evaluated in clinical trials. Algisyl-LVR (LoneStar Heart, Inc., Laguna Hills, California) was delivered via surgical-based epicardial injections in patients with heart failure with both ischemic and nonischemic etiologies, whereas BL-1040/IK-5001 (Bellerophon Therapeutics, Warren, New Jersey) was delivered via intracoronary infusion in patients with acute MI (18,19). In phase 2 studies, Algisyl-LVR significantly improved exercise capacity but not measures of LV remodeling (20,21), and IK-5001 likewise failed to reduce progressive LV remodeling (22). Later preclinical studies have shown that passively supporting the infarct wall does not improve long-term cardiac function and suggest that the cell and tissue response to injected biomaterials play a dominant role in cardiac remodeling (23,24). VentriGel is a relatively weak hydrogel, about 2 orders of magnitude lower than the stiffness of healthy myocardium (25), and therefore would not be expected to act as a mechanical support; rather, it was designed to be delivered via a catheter and upon injection assemble into an open porous and fibrous scaffold to allow for endogenous cells to repopulate and remodel the matrix. By acting as a new physical scaffold with appropriate pore size and fiber diameter as well as containing the ECM cues of normal, healthy myocardium, the goal was to recreate a new microenvironment in the heart. Therefore, this trial was the first to evaluate a proreparative hydrogel in the heart.

Although numerous injectable biomaterials have now been evaluated in preclinical studies in MI and heart failure models, few have actually been delivered via a catheter because this poses unique material design constraints (26,27). The quick gelling nature, high viscosity, and/or lack of hemocompatibility prevent the majority of injectable biomaterials from this type of minimally invasive delivery modality. VentriGel was specifically designed to have the appropriate viscosity and gelation kinetics to facilitate transendocardial delivery, and extensive preclinical safety studies have shown hemocompatibility and a lack of arrhythmias (5,12). This study demonstrated the safety and feasibility of transendocardial delivery of VentriGel in post-MI patients with 15 to 18 injections being performed in all patients and without
serious adverse events observed to be definitively related to material or mapping/injection procedure. The first patient, whose pre-treatment history included a trifascicular block, had 2 adverse events (cardiogenic shock and heart block) that were deemed as possibly related to the study treatment; however, this patient improved in measures of LV remodeling (as determined by echocardiography), the 6-min walk test distance, and BNP levels at 3 and 6 months. Based on the safety advisory board’s review, modest changes to the inclusion criteria (around arrhythmias) and additional early visits to the clinical site 1-week post-treatment were added to the study for the remaining 13 patients who were enrolled. No such serious adverse events were subsequently observed.

Although this phase 1 study was not designed to evaluate efficacy, there were suggestions of improvements including increases in the 6-min walk test distance and decreases in NYHA functional class across the entire cohort of patients. Enrollment criteria were set between 60 days and 3 years because it was unknown whether VentriGel would be more effective in earlier- versus later-stage MI patients. Therefore, 1 goal of this study was to inform the appropriate patient population to enroll in future studies. Post hoc analysis revealed that improvements in LV remodeling data, viable mass, and BNP levels were mainly observed in patients who had their MI >12 months before treatment. The lack of observed improvements in early MI patients (<12 months post-MI) may be a result of a more variate baseline in these patients as the infarct and peri-infarct regions recover from ischemic injury. In patients, infarct remodeling is typically thought to occur over the first several months, although studies have suggested that the dynamic time period of infarct healing is, in fact, a longer process (28,29). Overall, there were few increases in cardiac medications, and those that did occur were in the early subset of patients. No discernable changes were noted in EF, although this is not surprising given that parallel changes in LVEDV and LVESV can result in calculation of the same EF; this phenomenon has been observed in other heart failure trials (30,31). The suggested improvements in patients treated >12 months post-MI largely mirror results across the small and large animal preclinical studies with VentriGel in which the most notable changes were in LVESV and increases in cardiac muscle. In these later patients, there were trending decreases in LVESV and increases in viable mass at 6 months after treatment. In a rat MI model, analysis of gene expression in the infarct wall using whole transcript microarrays showed changes in several tissue processes that suggests the hydrogel creates a new template for healing in the infarct (11). After injection, the fibrous and porous nature facilitates endogenous cell infiltration; over approximately 3 weeks, host cells remodel the temporary matrix similar to a healing wound (12). Altered pathways included blood vessel and cardiac development. Other shifts included a decrease in cell death, an altered inflammatory response, reduced cardiomyocyte hypertrophy, reduced fibrosis, and an altered myocardial metabolism (11). Although these studies were performed in a subacute MI model, we anticipate that in the later chronic MI patients, VentriGel is likely acting through similar pathways. In patients with ischemic cardiomyopathy, cardiomyocyte apoptosis continues to occur through the stages of acute MI, subacute MI, and all the way to end-stage heart failure (32). Moreover, cardiac metabolism is dysregulated in patients with heart failure (33), and it is now known that the collagen scar is, in fact, a dynamic tissue with collagen turnover and myofibroblasts, which are present for years in patients and continue to generate fibrogenic signals (34).

Although initial attempts at regenerative medicine for the heart focused on cell transplantation, there has been increasing focus in preclinical studies on biomaterials or matrix-based approaches to recreate a more appropriate microenvironment for tissue repair (35). In both cardiovascular and noncardiovascular applications, translation of biomaterial-alone therapies to facilitate endogenous repair is recently on this rise (13). Overall, these technologies, such as VentriGel, have significant advantages over the traditional regenerative medicine paradigm. For example, they can be off-the-shelf and cost-effective and do not have the burdens associated with supplying a living product. In the case of VentriGel, the cost of manufacturing is at least 2 orders of magnitude less than cell therapies, and, therefore, it could be a scalable and potentially cost-effective treatment for heart failure.

**STUDY LIMITATIONS.** The major limitation of this phase 1 study is that it was an uncontrolled, single-arm trial with a small number of patients not powered to evaluate efficacy. A single-arm trial was performed in this first-in-man study because of the difficulty of applying a transendocardial injection procedure in a control group, especially in earlier MI patients where there is less evidence of safety. Moreover, VentriGel is regulated as a device in Europe and Japan given that it acts as a new physical
scaffold to enable cell infiltration, and early device trials do not typically include a control group. Another limitation is that the patients’ MIs spanned a relatively large post-infarction time period when the biologic response to VentriGel may vary. Thus, the optimum time to deliver VentriGel remains to be determined and will require a larger trial. However, the beneficial response of patients whose infarcts were >12 months appears to be an encouraging starting point going forward. Importantly, the study used state-of-the-art MRI imaging rather than echocardiography, which helped reduce variability. Because injections were limited to wall thickness of >8 mm, we were frequently precluded from injecting into the infarct zone as was performed in the pre-clinical porcine studies (12).

CONCLUSIONS

These results support the safety and feasibility of transcendocardial injection of VentriGel in post-MI patients with LV dysfunction and introduces a new potential treatment for patients with heart failure. This trial was novel in many regards including the first injectable biomaterial to be delivered in patients using transcendocardial injections, translation of the first proreparative hydrogel for the heart, and translation of the first decellularized ECM hydrogel in any tissue. As such, the significance of this trial reaches beyond the cardiac field because there have been numerous preclinical studies evaluating decellularized ECM hydrogels in various applications in other tissues (36). Although there is a long precedence of using porcine-derived materials, including decellularized ECM patches (37), this trial was the first to test an injectable hydrogel form of decellularized ECM in patients and therefore provides important safety and feasibility data and opens up the possibility of using ECM hydrogels in many other applications. Importantly, this study also provides support for the safety and feasibility of treating post-MI patients with VentriGel and warrants further evaluation in larger randomized clinical trials.

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PERSPECTIVES

COMPETENCY IN MEDICAL KNOWLEDGE: Initial safety and feasibility of percutaneous transcendocardial injections of VentriGel, a porcine-derived decellularized ECM hydrogel, was established in post-MI patients with potential effects on LV remodeling in patients >12 months post-MI.

TRANSLATIONAL OUTLOOK: Larger randomized controlled trials should be performed to further evaluate the safety and efficacy of transcendocardial injections of VentriGel in post-MI patients.

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APPENDIX For supplemental material, please see the online version of this paper.