Abstract

A key problem in text summarization is finding a salience function which determines what information in the source should be included in the summary. This paper describes the use of machine learning on a training corpus of documents and their abstracts to discover salience functions which describe what combination of features is optimal for a given summarization task. The method addresses both “generic” and user-focused summaries.

Introduction

With the mushrooming of the quantity of on-line text information, triggered in part by the growth of the World Wide Web, it is especially useful to have tools which can help users digest information content. Text summarization attempts to address this need by taking a partially-structured source text, extracting information content from it, and presenting the most important content to the user in a manner sensitive to the user’s or application’s needs. The end result is a condensed version of the original. A key problem in summarization is determining what information in the source should be included in the summary. This determination of the salience of information in the source (i.e., a salience function for the text) depends on a number of interacting factors, including the nature and genre of the source text, the desired compression (summary length as a percentage of source length), and the application’s information needs. These information needs include the reader’s interests and expertise (suggesting a distinction between “user-focused” versus “generic” summaries), and the use to which the summary is being put, for example, whether it is intended to alert the user as to the source content (the “indicative” function), or to stand in place of the source (the “informative” function), or even to offer a critique of the source (the “evaluative” function (Sparck-Jones 1997)).

A considerable body of research over the last forty years has explored different levels of analysis of text to help determine what information in the text is salient for a given summarization task. The salience functions are usually sentence filters, i.e. methods for scoring sentences in the source text based on the contribution of different features. These features have included, for example, location (Edmundson 1969), (Paice and Jones 1993), statistical measures of term prominence (Luhn 1958), (Brandow, Mitze, and Rau 1995), rhetorical structure (Miike et al. 1994), (Marcu 1997a), similarity between sentences (Skorokhodko 1972), presence or absence of certain syntactic features (Pollock and Zamora 1975), presence of proper names (Kupiec, Pedersen, and Chen 1995), and measures of prominence of certain semantic concepts and relationships (Paice and Jones 1993), (Maybury 95), (Fum, Guida, and Tasso 85). In general, it appears that a number of features drawn from different levels of analysis may combine together to contribute to salience. Further, the importance of a particular feature can of course vary with the genre of text.

Consider, for example, the feature of text location. In newswire texts, the most common narrative style involves lead-in text which offers a summary of the main news item. As a result, for most varieties of newswire, summarization methods which use leading text alone tend to outperform other methods (Brandow, Mitze, and Rau 1995). However, even within these varieties of newswire, more anecdotal lead-ins, or multi-topic articles, do not fare well with a leading text approach (Brandow, Mitze, and Rau 1995). In other genres, other locations are salient: for scientific and technical articles, both introduction and conclusion sections might contain pre-summarized material; in TV news broadcasts, one finds segments which contain trailing information summarizing a forthcoming segment. Obviously, if we wish to develop a summarization system that could adapt to different genres, it is important to have an automatic way of finding out what location values are useful for that genre, and how it should be combined with other features. Instead of selecting and combining these features in an adhoc manner,
which would require re-adjustment for each new genre of text, a natural suggestion would be to use machine learning on a training corpus of documents and their abstracts to discover salience functions which describe what combination of features is optimal for a given summarization task. This is the basis for the trainable approach to summarization.

Now, if the training corpus contains “generic” abstracts (i.e., abstracts written by their authors or by professional abstractors with the goal of dissemination to a particular - usually broad - readership community), the salience function discovered would be one which describes a feature combination for generic summaries. Likewise, if the training corpus contains “user-focused” abstracts, i.e., abstracts relating information in the document to a particular user interest, which could change over time, then then we learn a function for user-focused summaries. While “generic” abstracts have traditionally served as surrogates for full-text, as our computing environments continue to accommodate increased full-text searching, browsing, and personalized information filtering, user-focused abstracts have assumed increased importance. Thus, algorithms which can learn both kinds of summaries are highly relevant to current information needs. Of course, it would be of interest to find out what sort of overlap exists between the features learnt in the two cases.

In this paper we describe a machine learning approach which learns both generic summaries and user-focused ones. Our focus is on machine learning aspects, in particular, performance-level comparison between different learning methods, stability of the learning under different compression rates, and relationships between rules learnt in the generic and the user-focused case.

Overall Approach
In our approach, a summary is treated as a representation of the user’s information need, in other words, as a query. The training procedure assumes we are provided with training data consisting of a collection of texts and their abstracts. The training procedure first assigns each source sentence a relevance score indicating how relevant it is to the query. In the basic “boolean-labeling” form of this procedure, all source sentences above a particular relevance threshold are treated as “summary” sentences. The source sentences are represented in terms of their feature descriptions, with “summary” sentences being labeled as positive examples. The training sentences (positive and negative examples) are fed to machine learning algorithms, which construct a rule or function which labels any new sentence’s feature vector as a summary vector or not. In the generic summary case, the training abstracts are generic: in our corpus they are author-written abstracts of the articles. In the user-focused case, the training abstract for each document is generated automatically from a specification of a user information need.

It is worth distinguishing this approach from other previous work in trainable summarization, in particular, that of (Kupiec, Pedersen, and Chen 1995) at Xerox-Parc (referred to henceforth as Parc), an approach which has since been followed by (Teufel and Moens 97). First, our goal is to learn rules which can be easily edited by humans. Second, our approach is aimed at both generic summaries as well as user-focused summaries, thereby extending the generic summary orientation of the Parc work. Third, by treating the abstract as a query, we match the entire abstract to each sentence in the source, instead of matching individual sentences in the abstract to one or more sentences in the source. This tactic seems sensible, since the distribution of the ideas in the abstract across sentences of the abstract is not of intrinsic interest. Further, it completely avoids the rather tricky problem of sentence alignment (including consideration of cases where more than one sentence in the source may match a sentence in the abstract), which the Parc approach has to deal with. Also, we do not make strong assumptions of independence of features, which the Parc based work which uses Bayes’ Rule does assume. Other trainable approaches include (Lin and Hovy 1997); in that approach, what is learnt from training is a series of sentence positions. In our case, we learn rules defined over a variety of features, allowing for a more abstract characterization of summarization. Finally, the learning process does not require any manual tagging of text; for “generic” summaries it requires that “generic” abstracts be available, and for user-focused abstracts, we require only that the user select documents that match her interests.

Features
The set of features studied here are encoded as in Table 2, where they are grouped into three classes. Location features exploit the structure of the text at different (shallow) levels of analysis. Consider the Thematic features. The feature based on proper names is extracted using SRA’s NameTag (Krupka 1995), a MUC6-fielded system. We also use a feature based on the standard tf.idf metric : the weight $dw(i,k,l)$ of term $k$ in document $i$ given corpus $l$ is given by:

$$dw(i,k,l) = tf_{ik} \cdot \ln(n) - \ln(df_k) + 1$$

where $tf_{ik} =$ frequency of term $k$ in document $i$ divided by the maximum frequency of any term in document $i$, $df_k =$ number of documents in $l$ in which term $k$ occurs, $n =$ total number of documents in $l$. While

2Filter 1 sorts all the sentences in the document by the feature in question. It assigns 1 to the current sentence if it belongs in top $c$ of the scored sentences, where $c =$ compression rate. As it turns out, removing this discretization filter completely, to use raw scores for each feature, merely increases the complexity of learnt rules without improving performance.
the tf.idf metric is standard, there are some statistics that are perhaps better suited for small data sets (Dunning 1993). The $G^2$ statistic indicates the likelihood that the frequency of a term in a document is greater than what would be expected from its frequency in the corpus, given the relative sizes of the document and the corpus. The version we use here (based on (Cohen 1995)) uses the raw frequency of a term in a document, its frequency in the corpus, the number of terms in the document, and the sum of all term counts in the corpus.

We now turn to features based on Cohesion. Text cohesion (Halliday and Hasan 1996) involves relations between words or referring expressions, which determine how tightly connected the text is. Cohesion is brought about by linguistic devices such as repetition, synonymy, anaphora, and ellipsis. Models of text cohesion have been explored in application to information retrieval (Salton et al., 1994), where paragraphs which are similar above some threshold to many other paragraphs, i.e., “bushy” nodes, are considered likely to be salient. Text cohesion has also been applied to the explication of discourse structure (Morris and Hirst 1991), (Heast 97), and has been the focus of renewed interest in text summarization (Boguraev and Kennedy 1997), (Mani and Bloedorn 1997), (Barzilay and Elhadad 1997). In our work, we use two cohesion-based features: synonymy, and co-occurrence based on bigram statistics. To compute synonyms the algorithm uses WordNet (Miller 1995), comparing contentful nouns (their contentfulness determined by a “function-word” stoplist) as to whether they have a synset in common (nouns are extracted by the Alembic part-of-speech tagger (Aberdeen et al. 1995)). Co-occurrence scores between contentful words up to 40 words apart are computed using a standard mutual information metric (Fano 1961), (Church and Hanks 1989): the mutual information between terms $j$ and $k$ in document $i$ is:

$$\text{mutinfo}(j, k, i) = \ln\left(\frac{n_i tf_{ji} tf_{ki}}{tf_{ji} tf_{ki}}\right)$$

where $tf_{ji,ki} = \text{maximum frequency of bigram } jk \text{ in document } i$, $tf_{ji} = \text{frequency of term } j \text{ in document } i$, $n_i = \text{total number of terms in document } i$. The document in question is the entire cmp-lg corpus. The co-occurrence table only stores scores for $tf$ counts greater than 10 and mutinfo scores greater than 10.

## Training Data

We use a training corpus of computational linguistics texts. These are 198 full-text articles and (for the generic summarizer) their author-supplied abstracts, all from the Computation and Language E-Print Archive (cmp-lg), provided in SGML form by the University of Edinburgh. The articles are between 4 and 10 pages in length and have figures, captions, references, and cross-references replaced by place-holders. The average compression rate for abstracts in this corpus is 5%.

Once the sentences in each text (extracted using a sentence tagger (Aberdeen et al. 1995)) are coded as feature vectors, they are labeled with respect to relevance to the text’s abstract. The labeling function uses the following similarity metric:

$$N1 + \frac{\sum_{i=1}^{N2} i_{s1} i_{s2}}{\sqrt{\sum_{i=1}^{N2} i_{s1} \cdot \sum_{i=1}^{N2} i_{s2}}}$$

where $i_{s1}$ is the tf.idf weight of word $i$ in sentence $s1$, $N1$ is the number of words in common between $s1$ and $s2$, and $N2$ is the total number of words in $s1$ and $s2$.

In labeling, the top $c\%$ (where $c$ is the compression rate) of the relevance-ranked sentences for a document are then picked as positive examples for that document. This resulted in 27,803 training vectors, with considerably redundancy among them, which when removed yielded 900 unique vectors (since the learning implementations we used ignore duplicate vectors), of which 182 were positive and the others negative. The 182 positive vectors along with a random subset of

| Feature | Values | Description |
|---------|--------|-------------|
| sent-loc-para | [1, 2, 3] | sentence occurs in first, middle or last third of para |
| para-loc-section | [1, 2, 3] | sentence occurs in first, middle or last third of section |
| sent-special-section | [1, 2, 3] | 1 if sentence occurs in introduction, 2 if in conclusion, 3 if in other |
| depth-sent-section | [1, 2, 3, 4] | 1 if sentence is a top-level section, 4 if sentence is a subsubsection |

| Feature | Values | Description |
|---------|--------|-------------|
| sent-in-highest-tf | [1, 0] | average tf score (Filter 1) |
| sent-in-highest-tf.idf | [1, 0] | average tf.idf score (Filter 1) |
| sent-in-highest-$G^2$ | [1, 0] | average $G^2$ score (Filter 1) |
| sent-in-highest-title | [1, 0] | number of section heading or title term mentions (and Filter 1) |
| sent-in-highest-nameinstant | [1, 0] | number of name mentions (Filter 1) |

| Feature | Values | Description |
|---------|--------|-------------|
| sent-in-highest-syn | [1, 0] | number of unique sentences with a synonym link to sentence (Filter 1) |
| sent-in-highest-co-occ | [1, 0] | number of unique sentences with a co-occurrence link to sentence (Filter 1) |

| Feature | Values | Description |
|---------|--------|-------------|
| sent-in-highest-co-occ | [1, 0] | number of unique sentences with a co-occurrence link to sentence (Filter 1) |

| Feature | Values | Description |
|---------|--------|-------------|
| sent-in-highest-co-occ | [1, 0] | number of unique sentences with a co-occurrence link to sentence (Filter 1) |

| Location Features | | |
|-------------------|------------------|------------------|
| sent-loc-para | [1, 2, 3] | sentence occurs in first, middle or last third of para |
| para-loc-section | [1, 2, 3] | sentence occurs in first, middle or last third of section |
| sent-special-section | [1, 2, 3] | 1 if sentence occurs in introduction, 2 if in conclusion, 3 if in other |
| depth-sent-section | [1, 2, 3, 4] | 1 if sentence is a top-level section, 4 if sentence is a subsubsection |

| Thematic Features | | |
|--------------------|------------------|------------------|
| sent-in-highest-tf | [1, 0] | average tf score (Filter 1) |
| sent-in-highest-tf.idf | [1, 0] | average tf.idf score (Filter 1) |
| sent-in-highest-$G^2$ | [1, 0] | average $G^2$ score (Filter 1) |
| sent-in-highest-title | [1, 0] | number of section heading or title term mentions (and Filter 1) |
| sent-in-highest-nameinstant | [1, 0] | number of name mentions (Filter 1) |

| Cohesion Features | | |
|-------------------|------------------|------------------|
| sent-in-highest-syn | [1, 0] | number of unique sentences with a synonym link to sentence (Filter 1) |
| sent-in-highest-co-occ | [1, 0] | number of unique sentences with a co-occurrence link to sentence (Filter 1) |
214 negative were collected together to form balanced training data of 396 examples. The labeled vectors are then input to the learning methods.

Some preliminary data analysis on the “generic” training data indicates that except for the two cohesion features, there is a significant difference between the summary and non-summary counts for some feature value of each feature ($\chi^2$ test, $p < 0.001$). This suggests that this is a reasonable set of features for the problem, even though different learning methods may disagree on importance of individual features.

**Generating user-focused training abstracts**

The overall information need for a user is defined by a set of documents. Here a subject was told to pick a sample of 10 documents from the cmp-lg corpus which matched his interests. The top content words were extracted from each document, scored by the $G^2$ score (with the cmp-lg corpus as the background corpus). Then, a centroid vector for the 10 user-interest documents was generated as follows. Words for all the 10 documents were sorted by their scores (scores were averaged for words occurring in multiple documents). All words more than 2.5 standard deviations above the mean of these words’ scores were treated as a representation of the user’s interest, or topic (there were 72 such words). Next, the topic was used in a spreading activation algorithm based on (Mani and Bloedorn 1997) to discover, in each document in the cmp-lg corpus, words related to the topic.

Once the words in each of the corpus documents have been reweighted by spreading activation, each sentence is weighted based on the average of its word weights. The top $c\%$ (where $c$ is the compression rate) of these sentences are then picked as positive examples for each document, together constituting a user-focused abstract (or extract) for that document. Further, to allow for user-focused features to be learnt, each sentence’s vector is extended with two additional user-interest-specific features: the number of reweighted words (called keywords) in the sentence as well as the number of keywords per contentful word in the sentence\(^3\). Note that the keywords, while including terms in the user-focused abstract, include many other related terms as well.

**Learning Methods**

We use three different learning algorithms: Standardized Canonical Discriminant Function (SCDF) analysis (SPSS 97), C4.5-Rules (Quinlan 1992), and AQ15c (Wnek et al. 1995). SCDF is a multiple regression technique which creates a linear function that maximally discriminates between summary and non-summary examples. While this method, unlike the other two, doesn’t have the advantage of generating logical rules that can easily be edited by a user, it offers a relatively simple method of telling us to what extent the data is linearly separable.

**Results**

The metrics for the learning algorithms used are shown in Table 3. In Table 3, we show results averaged over ten runs of 90% training and 10% test, where the test data across runs is disjoint\(^4\).

Interestingly, in the C4.5 learning of generic summaries on this corpus, the thematic and cohesion features are referenced mostly in rules for the negative class, while the location and tf features are referenced mostly in rules for the positive class. In the user-focused summary learning, the number of keywords in the sentence is the single feature responsible for the dramatic improvement in learning performance compared to generic summary learning; here the rules have this feature alone or in combination with tests on locational features. User-focused interests tend to use a subset of the locational features found in generic interests, along with user-specific keyword features.

Now, SCDF does almost as well as C4.5 Rules for the user-focused case. This is because the keywords feature is most influential in rules learnt by either algorithm. However, not all the positive user-focused examples which have significant values for the keywords feature are linearly separable from the negative ones; in cases where they aren’t, the other algorithms yield useful rules which include keywords along with other features. In the generic case, the positive examples are linearly separable to a much lesser extent.

Overall, although our figures are higher the 42% reported by Parc, their performance metric is based on

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\(^3\)We don’t use specific keywords as features, as we would prefer to learn rules which could transfer across interests.

\(^4\)SCDF uses a holdout of 1 document.

| Metric                  | Definition                                                                 |
|-------------------------|-----------------------------------------------------------------------------|
| Predictive Accuracy     | Number of testing examples classified correctly / total number of test examples. |
| Precision               | Number of positive examples classified correctly / number of examples classified positive, during testing |
| Recall                  | Number of positive examples classified correctly / Number known positive, during testing |
| (Balanced) F-score      | $2(\text{Precision} \cdot \text{Recall})/(\text{Precision} + \text{Recall})$ |

Table 2: Metrics used to measure learning performance
| Method                     | Predictive Accuracy | Precision | Recall | F-score |
|----------------------------|---------------------|-----------|--------|---------|
| SCDF (Generic)             | .64                 | .66       | .58    | .62     |
| SCDF (User-Focused)        | .88                 | .88       | .89    | .88     |
| AQ (Generic)               | .56                 | .49       | .56    | .52     |
| AQ (User-Focused)          | .81                 | .78       | .88    | .82     |
| C4.5 Rules (Generic, pruned) | .69              | .71       | .67    | .69     |
| C4.5 Rules (User-Focused, pruned) | .89          | .88       | .91    | .89     |

Table 3: Accuracy of learning algorithms (at 20% compression)

overlap between positively labeled sentences and individual sentences in the abstract, whereas ours is based on overlap with the abstract as a whole, making it difficult to compare. It is worth noting that the most effective features in our generic learning are a subset of the Parc features, with the cohesion features contributing little to overall performance. However, note that unlike the Parc work, we do not avail of “indicator” phrases, which are known to be genre-dependent. In recent work using a similar overlap metric, (Teufel and Moens 97) reports that the indicator phrase feature is the single most important feature for accurate learning performance in a sentence extraction task using this corpus; it is striking that we get good learning performance without exploiting this feature.

Analysis of C4.5-Rules learning curves generated at 20% compression reveal some learning improvement in the generic case - (.65-.69) Predictive Accuracy, and (.64-.69) F-Score, whereas the user-focused case reaches a plateau very early - (.88-.89) Predictive Accuracy and F-Score. This again may be attributed to the relative dominance of the keyword feature. We also found surprisingly little change in learning performance as we move from 5% to 30% compression. These latter results suggest that this approach maintains high performance over a certain spectrum of summary sizes. Inspection of the rules shows that the learning system is learning similar rather than different rules across compression rates.

Some example rules are as follows:

If the sentence is in the conclusion and it is a high tf.idf sentence, then it is a summary sentence.
(C4.5 Generic Rule 20, run 1, 20% compression.)

If the sentence is in a section of depth 2 and the number of keywords is between 5 and 7 and the keyword to content-word ratio is between 0.43 and 0.58 (inclusive), then it is a summary sentence.
(AQ User-Focused Rule 7, run 4, 5% compression.)

As can be seen, the learnt rules are highly intelligible, and thus are easily edited by humans if desired, in contrast with approaches (such as SCDF or naive Bayes) which learn a mathematical function. In practice, this becomes useful because a human may use the learning methods to generate an initial set of rules, whose performance may then be evaluated on the data as well as against intuition, leading to improved performance.

**Conclusion**

We have described a corpus-based machine learning approach to produce generic and user-specific summaries. This approach shows encouraging learning performance. The rules learnt for user-focused interests tend to use a subset of the locational features found in rules for generic interests, along with user-specific keyword features. The rules are intelligible, making them suitable for human use. The approach is widely applicable, as it does not require manual tagging or sentence-level text alignment. In the future, we expect to also investigate the use of regression techniques based on a continuous rather than boolean labeling function. Of course, since learning the labeling function doesn’t tell us anything about how useful the summaries themselves are, we plan to carry out a (task-based) evaluation of the summaries. Finally, we intend to apply this approach to other genres of text, as well as languages such as Thai and Chinese.

**Acknowledgments**

We are indebted to Simone Teufel, Marc Moens and Byron Georgantopoulos (University of Edinburgh) for providing us with the cmp-lg corpus, and to Barbara Gates (MITRE) for helping with the co-occurrence data.

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