Thermalization in a periodically driven fully connected quantum Ising ferromagnet

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Abstract – By means of a Floquet analysis, we study the quantum dynamics of a fully connected Lipkin-Ising ferromagnet in a periodically driven transverse field showing that thermalization in the steady state is intimately connected to properties of the \( N \to \infty \) classical Hamiltonian dynamics. When the dynamics is ergodic, the Floquet spectrum obeys a Wigner-Dyson statistics and the system satisfies the eigenstate thermalization hypothesis (ETH): Independently of the initial state, local observables relax to the \( T = \infty \) thermal value, and Floquet states are delocalized in the Hilbert space. On the contrary, if the classical dynamics is regular no thermalization occurs. We further discuss the relationship between ergodicity and dynamical phase transitions, and the relevance of our results to other fully connected periodically driven models (like the Bose-Hubbard one), and possibilities of experimental realization in the case of two coupled BEC.

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Introduction. – Recent experimental advances in ultra-cold atomic systems [1–4] and femtosecond resolved spectroscopies [5] have made the study of out-of-equilibrium closed many-body quantum systems no longer a purely academic question. The key problem in this context are the properties of the final/steady state after the system has undergone a time-dependent perturbation [6,7]. Depending on the nature of the perturbation, particular aspects acquire a prominent role. For a gentle (quasi-adiabatic) driving, the distance of the evolved final state from the instantaneous ground state carries precious information on the crossing of quantum critical points [8] and on the accuracy of quantum adiabatic computation [9] and quantum annealing protocols [10–12]. In the opposite case of a sudden quench, the focus is on the (possible) thermal properties of the steady state. Thermalization is expected in “classically ergodic” systems, where the Hamiltonian behaves as a random matrix [13], its eigenstates obey the eigenstate thermalization hypothesis (ETH) [6,14–16], and relaxation to the microcanonical ensemble, with vanishing fluctuations in the thermodynamic limit, follows. Randomness of the eigenstates implies a strong connection between thermalization and delocalization in the Hilbert space [6,17–21].

Our goal is understanding the properties of the long-time dynamics of a many-body quantum system undergoing a periodic driving. The interest in periodically driven systems —a long-standing topic in quantum chaos of small quantum systems [22]— has risen again vigorously only quite recently, with a focus on many-body dynamics [23–31] and its properties of stability and ergodicity [32]. In a recent work [23] it was proposed that a periodically driven closed quantum system might display, in the thermodynamic limit, a tendency towards a fully coherent “periodic steady state” —a kind of diagonal ensemble [6,16] for periodically driven systems— where destructive interference effects average to zero for long times the transient fluctuations induced by off-diagonal Floquet matrix elements. This effect has been explicitly demonstrated on a periodically driven quantum Ising chain, as a direct consequence of the smooth continuous nature of the Floquet quasi-energy spectrum. A quantum
Ising chain, being integrable, does not show thermalization: The energy per site results from a GGE average \[ \langle T = \infty \rangle \text{ value} [23]. \] On the contrary, one might conjecture \[ [23,35] \] that when a classically ergodic system is periodically driven, the “steady state” would show thermalization to the \( T = \infty \) ensemble. This is indeed shown in recent works \[ [27,36] \] on two non-integrable periodically kicked spin chain models, consistently with the Floquet states obeying ETH at \( T = \infty \) \[ [28]. \] The same phenomena are observed in ref. \[ [26] \] where the case of interacting hard-core bosons is considered and the Floquet states are shown to obey ETH being random superpositions of unperturbed eigenstates. Analogous conclusions for a Bose-Hubbard chain are reported in ref. \[ [37]. \] These results call for a more detailed scrutiny of the relation between integrability and thermalization in periodically driven quantum many-body systems.

In the present letter we address this relation by studying a periodically driven fully connected quantum Ising ferromagnet: a very clear prototype model whose long-time dynamics can be analyzed reliably up to the thermodynamic limit. The very rich phenomenology we are going to describe should occur also in a driven two-mode Bose-Hubbard model, whose Hamiltonian is equivalent to a fully connected spin system \[ [31] \] and is experimentally feasible by modulating the inter-well barrier height in a double-well BEC realization \[ [38]. \] Systems of this kind can be mapped, for large \( N \), onto large-S quantum spins with effective Planck’s constant \( \hbar/N \). Hence, for \( N = \infty \) their dynamics is that of a one-dimensional classical non-linear Hamiltonian system \( H(\mathbf{Q},\mathbf{P},t) \) \[ [39]. \] At variance with the perfectly regular classical dynamics observed after a quantum quench \[ [39,40], \] we will show how rich is the periodic driving case: we can see classically regular motion, chaos, and even full ergodicity by choosing appropriately the parameters of the driving. We will solve numerically the Schrödinger equation at finite “large” \( N \). In both classically ergodic and regular cases, the intensive observables relax to a steady periodic regime: stroboscopic time fluctuations vanish in the large-\( N \) limit. However, the classically ergodic cases are very different from the regular ones: while the latter show a sensitivity to the initial state and never thermalize, the former effectively thermalize towards a \( T = \infty \) ensemble. This is a consequence, as we will show, of the Floquet states obeying ETH at \( T = \infty \) and being delocalized in the Hilbert space.

**Model.** – Here we focus on a fully connected quantum Ising ferromagnet with a periodically driven transverse field, the smooth-driving counterpart of the kicked top of ref. \[ [41]. \] Consider \( N \) spin-(1/2), \( \hat{S}_{i=z} = \cdots = \hat{S}_{i,z-N} \), and the total spin operator \( \hat{S} = \sum_{i} \hat{S}_{i} \). The fully connected spin transverse field quantum Ising ferromagnet \[ [42] \] is written as \( \hat{H}_p(t) = -(N/2) \hat{m}^2 - N \Gamma(t) \hat{m}_z \), where \( J \) is the longitudinal coupling, \( \Gamma(t) \) is a (time-dependent) transverse field, and \( \hat{m}_{x/z} = 2 \hat{S}^{x/z}/N \) are rescaled magnetization operators. \( \hat{H}_p(t) \) commutes with \( \hat{S}^2 \), and the equilibrium ground state is a state of maximum spin, \( S = S_{\text{max}} = N/2 \), belonging to the \( (N + 1) \)-dimensional multiplet of spin eigenstates \( |S = N/2, M \rangle \). Since \( [\hat{H}_p(t), \hat{S}^2] = 0 \), the Schrödinger dynamics starting from an initial state \( |\psi_0 \rangle \) with \( S = N/2 \) will always remain in that sector. If \( m = 2M/N \) are the eigenvalues of \( \hat{m}_z \), the multiplet of interest has \( m = -1 + 2j/N \) with \( j = 0, \cdots, N; \) we denote it as \( |S = N/2, M_j \rangle \rightarrow |m \rangle \). The case \( p = 2 \) corresponds to the Ising-anisotropic version \[ [43] \] of the so-called Lipkin model \[ [44] \] (see also refs. \[ [45,46] \]):

\[
\hat{H}_{p=2}(t) = -\frac{2J}{N} \sum_{i,j} \hat{S}_{i}^{x} \hat{S}_{j}^{x} - 2\Gamma(t) \sum_{i} \hat{S}_{i}^{z},
\]  

When \( \Gamma \) is constant, \( \hat{H}_{p=2} \) has a quantum critical point \( (QCP) \) at \( \Gamma_c/J = 1 \) separating a large-\( \Gamma \) quantum paramagnet from a low-\( \Gamma \) ferromagnet; for \( p > 2 \) the transition is of first order \[ [47]. \] The non-equilibrium quantum dynamics of these models has so far been discussed in the cases of quantum annealing \[ [10–12] \] across the QCP \[ [42,47–49], \] and of a sudden quench of \( \Gamma(t) \) \[ [39,40], \] in the context of dynamical phase transitions. Here we will consider its non-equilibrium coherent dynamics under a periodic transverse field, more specifically \( \Gamma(t) = \Gamma_0 + A \sin(\omega t) \). The properties of a fully connected spin chain undergoing a smooth periodic driving have been discussed with the rotating wave approximation in the context of non-equilibrium phase transitions in ref. \[ [30] \] and from the perspective of many-body coherent destruction of tunneling in the limit of high driving frequency in ref. \[ [29]; \] here we take a different point of view and focus on the regularity/ergodicity properties of the quantum many-body system.

To discuss its exact quantum dynamics, we have to expand the state \( |\psi(t)\rangle \) on the \( (N + 1) \)-dimensional basis \( |m\rangle \) as \( |\psi(t)\rangle = \sum_{m} \psi_{m}(t)\langle m| \), the Schrödinger equation reads

\[
\frac{i\hbar}{\partial t} \psi_{m} = -\frac{N}{2} J m^p \psi_{m} - \frac{N}{2} \Gamma(t) \sum_{\alpha=\pm 1} h_{m}^{\alpha} \psi_{m+\alpha \hat{Z}}.
\]  

with \( h_{m}^{\alpha} = \sqrt{1-m^2+2(1 \mp m)/N} \). The system becomes increasingly classical for \( N \rightarrow \infty \): indeed the commutator \( [\hat{m}_x, \hat{m}_y] = i(2/N)\hat{m}_z \) vanishes in that limit. A careful semi-classical analysis \[ [39,42] \] reveals that the expectation values of the magnetization are effectively described, for \( N = \infty \), by a one-dimensional classical Hamiltonian of the form

\[
\mathcal{H}_p(Q,P) = -\frac{J}{2} Q^p - \Gamma(t) \sqrt{1 - Q^2} \cos(2P),
\]  

with the identification \( \langle \psi(t)|\hat{m}_z|\psi(t)\rangle \rightarrow Q(t), \) and \( \langle \psi(t)|\hat{m}_z|\psi(t)\rangle \rightarrow \sqrt{1 - Q^2(t)} \cos(2P(t)) \). After a sudden quench of \( \Gamma \), energy conservation gives an integrable \( \mathcal{H}_p(Q,P) \); under a periodic \( \Gamma(t) \), on the contrary, classical chaos in the \( (Q,P) \) phase space can emerge \[ [50]. \] In the rest of the paper we will focus on the case \( p = 2, \)
whose theoretical and experimental importance relies also in the fact that eq. (1) describes the exact dynamics [31] and eq. (3) with $p = 2$ the mean-field dynamics [51] of two coupled-trapped Bose-Einstein Condensates under a time-periodic modulation.

**Floquet analysis.** – The natural framework to study the time evolution of a periodically driven quantum system is the Floquet theory [52,53]. It states that there exists a basis of solutions of the Schrödinger equation which are periodic “up to a phase factor” $e^{-i\mu_\alpha \tau} |\phi_\alpha(t)\rangle$, where $|\phi_\alpha(t)\rangle = |\phi_\alpha (t + \tau)\rangle$, $\tau = 2\pi/\omega_0$ being the period. The Floquet quasi-energies $\mu_\alpha$, and modes $|\phi_\alpha(t)\rangle$ are obtained by diagonalizing the evolution operator over one period $U(\tau)|\phi_\alpha(0)\rangle = e^{-i\mu_\alpha \tau} |\phi_\alpha(0)\rangle$, with $\mu_\alpha \in [-\omega_0/2, \omega_0/2]$. If we consider the stroboscopic dynamics at times $t_n = n\tau$, since $U(n\tau) = U^n(\tau)$, the Schrödinger evolution is completely determined by $|\phi_\alpha(0)\rangle$ and $\mu_\alpha$: the state can be written as $|\psi(n\tau)\rangle = \sum_{\alpha} e^{-i\mu_\alpha n\tau} R_\alpha |\phi_\alpha(0)\rangle$, where $R_\alpha = \langle \phi_\alpha(0)|\psi(0)\rangle$. We focus on the $p = 2$ case, considering intensive observables like the energy-per-site

$$e_{\psi_0}(n\tau) = \frac{1}{N} \langle \psi(n\tau)|\hat{H}_{p=2}(0)|\psi(n\tau)\rangle.$$  

Provided the Floquet spectrum is non-degenerate (which we have verified numerically), we can easily evaluate the finite-$N$ stroboscopic infinite-time average of $e_{\psi_0}$ and the corresponding squared fluctuations $\delta e^2_{\psi_0} = \overline{e^2_{\psi_0}} - e^2_{\psi_0}$:

$$\overline{e_{\psi_0}} = \lim_{n \to \infty} \frac{1}{n} \sum_{k=0}^{n-1} e_{\psi_0}(k\tau) = \sum_{\alpha} |R_\alpha|^2 \epsilon_{\alpha\alpha},$$  

$$\delta e^2_{\psi_0} = \sum_{\alpha \neq \beta} |R_\alpha|^2 |R_\beta|^2 |\epsilon_{\alpha\beta}|^2,$$

where $\epsilon_{\alpha\beta} = \langle \phi_\alpha(0)|\hat{H}_{p=2}(0)|\phi_\beta(0)\rangle/N$. We can think of $\overline{e_{\psi_0}}$ as a “Floquet diagonal ensemble average” [6,16,35].

**Results.** – The phenomenology of the driven model is quite rich, depending on $\Gamma_0$, $A$ and $\omega_0$. It will be helpful to use the equilibrium phase diagram as a guide (although the dynamics has no strict relation to the different equilibrium phases). When $\Gamma_0 < \Gamma_c = J$, a classical hyperbolic point at $(Q, P) = (0, 0)$ [39] makes the system prone to chaos even with a small $A$ [50]: the stroboscopic Poincaré sections in fig. 1(a) show an instance of ergodic phase space with fully developed chaos. At the quantum level [13], the corresponding distribution of Floquet quasi-energy spacings $P(S)$ with $S_{\alpha} = \rho(\mu_\alpha)(\mu_{\alpha+1} - \mu_\alpha)$, where $\rho(\omega) = (\sum_\Delta \delta(\omega - \mu_\alpha))_\Delta$ is the density of quasi-energies smoothed over a “mesoscopic” scale $\Delta$ [13,54] — is well described by an orthogonal Wigner-Dyson distribution [13] $P_{\text{WDD}}(S) = \frac{2}{\pi} S \exp(-\frac{S^2}{2})$. When $\Gamma_0 > \Gamma_c$, inside the equilibrium paramagnetic phase, on the contrary, the classical motion tends to be more regular, and a larger $A$ is needed for a substantial chaotic component in phase space: for $\Gamma_0 = 3J$, an $A/J = 0.5$ still shows very regular classical motion, fig. 1(b), and a Poisson level statistics $PP(S) = e^{-S}$ [55]. In the last case, the Poisson statistics gives rise, for very large $N$, to a Floquet spectrum with a non-extensive number of quasi-degeneracies; nevertheless relaxation to the Floquet diagonal ensemble and eqs. (5) are still valid, as shown in refs. [7,56] for the analogous case of a quantum quench with degeneracies in the energy spectrum. Results for the regular and ergodic cases are very similar to those found in the kicked-top problem [41]. Here we will focus on these two paradigmatic cases; see ref. [35] for details about the intermediate situations.

We now turn to the evolution of the observables. If $e_{\psi_0}(n\tau)$ relaxes to a periodic steady regime, its asymptotic stochastic value must equal eq. (5), and time fluctuations, eq. (6), have to vanish. In fig. 2(a) we show $e_{\psi_0}(n\tau)$ in the ergodic case: it clearly relaxes to $\overline{e_{\psi_0}}$. Two important points are in order:

i) $\delta e_{\psi_0}$ vanishes as $\sim N^{-1/2}$ (fig. 2(b)), showing indeed relaxation in the thermodynamic limit;

ii) $\overline{e_{\psi_0}}$ is independent of the initial state $|\psi_0\rangle$, up to differences of order $N^{-1/2}$, and equal to the $T = \infty$ thermal average $e_{T=\infty} = \frac{1}{N(N+1)} \text{Tr}_{\text{max}}[\hat{H}(0)]$ (the trace is restricted to the $S_{\text{max}} = N/2$ subspace).

This is true for every $|\psi_0\rangle$: as fig. 2(c) shows, the Floquet diagonal terms $e_{\psi_0}$ entering in eq. (5) are all equal to $e_{T=\infty}$, up to fluctuations of order $N^{-1/2}$, and
one can see almost by inspection that property ii) follows whatever the initial state is, thanks to the normalization \( \sum_\alpha |R_\alpha|^2 = 1 \). Indeed, when the dynamics is ergodic, all the Floquet states are equivalent: they are superpositions of energy eigenstates with random phases and each one is equivalent to the \( T = \infty \) thermal ensemble: they behave as eigenstates of a random matrix \([13–15, 54, 57, 58]\) and obey the eigenstate thermalization hypothesis at \( T = \infty \).

Concerning fluctuations, we have verified numerically (see ref. [59]) that the Floquet off-diagonal terms \( |e_{\alpha\beta}| \) in eq. (6) scale like \( N^{-1/2} \) and, consequently, so does \( \delta e_{\psi_0} \), whatever the initial state is (property i). There is also an analytical argument leading to this scaling. It relies on the fact that the Floquet states obey \( T = \infty \)-ETH and are indeed uniform superpositions with random phases of the eigenstates of the Hamiltonian

\[
|\phi_\alpha\rangle = \frac{1}{\sqrt{N+1}} \sum_{n=1}^{N+1} e^{-i\theta_n^\alpha} |n\rangle,
\]

where \( \theta_n^\alpha \) are independent random variables uniformly distributed in \([0, 2\pi]\). Using this formula, the fact that \( |n\rangle \) are eigenstates of \( H(0) \) and the central limit theorem, it is easy to show that the distributions of the real and the imaginary part of \( e_{\alpha\beta} \) have a variance scaling like \( \sim 1/N \) and indeed \( \delta e_{\psi_0} \sim N^{-1/2} \). We report the detailed derivation in ref. [59]. Thermalization to \( T = \infty \) by means of ETH applies whenever the system is classically ergodic and is valid for the energy as well as for all the other intensive observables.

The physics in the classically regular case is very different: fig. 3(a) shows examples of \( e_{\psi_0}(n\tau) \): We see relaxation to the Floquet diagonal ensemble (\( \delta e_{\psi_0} \) is practically invisible and scales to 0), but the asymptotic value \( \bar{T}_{\psi_0} \) strongly depends on \( |\psi_0\rangle \). The Floquet states behave here very differently: the diagonal terms \( e_{\alpha\alpha} \) strongly depend on \( \alpha \) (see fig. 3(c)) and there is no ETH. We show numerically in ref. [59] that also in this case the off-diagonal terms \( |e_{\alpha\beta}| \) scale on average to zero like \( N^{-1/2} \). We also find that these terms show larger fluctuations than in the ergodic case: this is the reason why \( \delta e_{\psi_0} \), in the regular case, in a less smooth way with a scaling exponent depending on \( |\psi_0\rangle \) (see fig. 3(b), where for the eigenstates \( m \) of \( 2S_z/N \) we have \( \delta e_m \sim N^{-1/2} \) and for the ground state \( \delta e_{\mathrm{GS}} \sim N^{-1} \)).

Consistently with this picture, the order parameter stroboscopic time average \( \bar{m}_{\psi_0}(n\tau) \) vanishes if ergodicity is at play, even if \( \Gamma(t) \) is always within the equilibrium ferromagnetic phase. We see this in fig. 4, where we show \( m_{\psi_0}(n\tau) \) for two different \( |\psi_0\rangle \). Although different in the details, this finding is in line with the “dynamical transition” found upon quenching from the ferromagnetic phase [39, 40]. Indeed, by taking \( |\psi_0\rangle \) as the broken-symmetry ferromagnetic (GS) at \( \Gamma \) and considering the dependence of \( \bar{m}_{\mathrm{GS}} \) on the driving field amplitude \( A \), we observe a transition at a critical value \( A_c \) (see the inset) independent of the number of particles \( N \). As done in ref. [39] for the case of quantum quench, we can give a classical phase space interpretation of this fact. In the classical \( N \to \infty \) limit the ground state is a point in the phase space; if \( \Gamma \) is in the broken symmetry phase, this point has coordinates \( P = 0 \) and \( Q = \pm \sqrt{1-\Gamma^2} \). For \( A < A_c \), this point falls in the regular region of the phase space (bottom left panel of fig. 4) and its subsequent dynamics is trapped in a torus [50] which is not symmetric around \( (m_{\pm})_{N \to \infty} = Q = 0 \). Instead, when \( A > A_c \), the ground-state phase space point falls in a chaotic region symmetric around \( Q = 0 \) (bottom right panel) which is
ergodically explored by the subsequent dynamics, and so the time average of \( m_z \) vanishes. As a matter of fact, by changing the parameters \( A \) and \( \psi_0 \) of the driving, we would find a whole critical line separating regions of phase space where the symmetry is broken from regions where the symmetry is dynamically restored.

It is interesting to explore the connection between thermalization and delocalization of states in the Hilbert space [18,20,21,27]. An indicator for delocalization is the inverse participation ratio (IPR) \([60]\) of a state on a given energy shell. In the ergodic cases, we find that \( I_\psi \sim N^{-1} \), any \( \psi_0 \) appears as extended in the Floquet basis, while other states show anomalous scaling of the IPR, \( I_F(\psi_0) \sim N^{-\lambda} \) with \( 0 < \lambda < 1 \), but further work is necessary to precisely understand the physics behind this.

**Conclusions and perspectives.** – We have shown how, in a periodically driven fully connected spin model, classical ergodicity translates, at the quantum level, into the system heating up to \( T = \infty \), with Floquet states obeying \( T = \infty \)-ETH and being delocalized in the Hilbert space. On the contrary, if the classical dynamics is regular, no thermalization occurs. We expect that a similar behaviour can be seen in other fully connected models like Bose-Hubbard or Dicke models [39]. We can propose an experimental set-up to verify our predictions: modulating the inter-well barrier height in a double-well BEC realization [38], a driven two-mode Bose Hubbard Hamiltonian equivalent to our model [31] can be realized. Due to ergodicity and the exponential separation of trajectories, quantum effects can be easily observed, becoming evident after a few periods even if \( N \) is large [35] and we are well inside the semi-classical regime. In this work we have considered the signatures of quantum many-body ergodicity manifesting in the behaviour of local observables, which have a clear classical limit. The next step is to study the behaviour of genuinely quantum non-local observables like the entanglement entropy whose analysis in connection to quantum phase transitions in static fully connected spin chains has been carried out in refs. [63,64].

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