RNA structure governs protein expression and function has broad-ranging implications. These include guiding the development of novel therapeutics for combating bacterial [1] and viral pathogens [2,3] and extend to understanding and mitigating diverse human genetic diseases such as Huntington’s disease [4], myotonic dystrophy type 1 [5], and cystic fibrosis [6].

2. mRNA as a sensor

An mRNA can govern its own translation and transcription using ligand-binding structural elements called riboswitches. The best characterized riboswitches are located in the 5’ untranslated regions (UTRs) of bacterial mRNAs. Upon ligand binding, the RNA undergoes allosteric rearrangement that regulates transcription or translation initiation, elongation efficiency, mRNA stability, or splicing [7–9]. Riboswitches contain two domains: a metabolite-binding region known as the “aptamer domain” and an allosteric domain termed the “expression platform” (Fig. 1A). The expression platform enacts the regulatory function signaled by the aptamer domain. Typically one structure of the riboswitch occludes an important regulatory element such as the ribosome-binding Shine–Dalgarno sequence. Riboswitch aptamer domains have evolved to bind diverse small molecules, and those domains that bind the same ligand tend to be highly conserved. In contrast, the expression domains vary in both sequence and function in different organisms. Thus, riboswitches are modular; a given aptamer domain has a specific target metabolite but the ultimate function...
depends on the linked expression platform. Riboswitches that bind ions (Mg$^{2+}$, F$^-$), carbohydrates, metabolites, proteins, and co-enzymes have been characterized. Protein expression requires mRNA to be (i) transcribed, (ii) processed (for example, 5' capped, spliced, polyadenylated), and (iii) translated by the ribosome. Interfering with any of these processes reduces the number of available mRNA transcripts or decreases protein production. Examples of ligand-sensing riboswitches that influence each of these steps are discussed below.

### 2.1. Control of transcription elongation

In an mRNA with a riboswitch, RNA polymerase synthesizes the aptamer domain before the expression domain. This allows the nascent aptamer domain to sample the cellular environment and potentially bind a cognate ligand before the expression domain is transcribed and folded, as recently observed in a co-transcriptional folding study of the adenine riboswitch [10]. Critically, this timing allows folding of one of the mutually exclusive structures that can be adopted by the riboswitch. For example, in many strains of bacteria, ligand binding to an aptamer domain causes an expression platform to form an intrinsic terminator stem, an RNA hairpin followed by a stretch of six or more uracil (U) residues, that inhibits RNA polymerase extension (Fig. 1A) [11,12]. In the absence of ligand, the unbound aptamer domain structure allows the anti-terminator stem-loop to form, sequestering the poly-U stretch and allowing mRNA synthesis to proceed. This type of regulation is exemplified by the T-box mechanism: if an uncharged tRNA binds to an element in the mRNA encoding the synthetase responsible for charging the particular tRNA, an anti-terminator helix forms that permits transcription of the message encoding the aminoaoyl-tRNA synthetase [13]. In contrast, the charged tRNA does not bind strongly to the terminator helix. In most cases, as in this example, the riboswitch mechanisms are negative feedback loops wherein a given ligand down regulates enzymes involved in ligand production. Although uncommon, there are examples of riboswitches that turn protein expression on rather than off. For example, ligand binding to the adenine riboswitch in *Bacillus subtilis* results in increased expression of proteins involved in adenine export [14].

### 2.2. Regulation of splicing

Although most riboswitches identified to date exist in simple prokaryotes, a thiamine pyrophosphate (TPP) sensing riboswitch is a widely distributed element [15,16] found in bacteria, archaea [17], and eukaryotes [16,18,19] including both simple [20] and complex plants [19,21]. While bacterial TPP riboswitches typically exert control at the level of transcription [22], these elements regulate alternative splicing in eukaryotes. Eukaryotic TPP riboswitches are typically located in intronic regions of genes associated with thiamine metabolism. Differences in secondary structure between ligand-bound and unbound forms of the precursor mRNA (pre-mRNA) sequester, expose, or relocate splice sites resulting in alternatively spliced mRNAs. Inclusion or exclusion of upstream open reading frames (uORFs) in mRNAs affects the identity of the synthetized protein. *Neurospora crassa* contains several TPP riboswitches and provides the best understood examples of eukaryotic riboswitches. In the NMT1 riboswitch, ligand binding causes formation of a structure that exposes an alternative splice site that prevents production of the major ORF product (Fig. 1B) [19]. A recent study, also in *N. crassa*, suggests that a TPP riboswitch in the *NCU01977* gene controls formation of long-distance base pairs [23]. These base pairs do not cover or reveal splice sites, but rather place a 5' splice donor and 3' acceptor in favorable proximity [23]. These different forms of splicing control within a single organism highlight the functional diversity of riboswitch elements.

### 2.3. Regulation of mRNA stability

The *glmS* ribosome, a self-cleaving RNA that requires ligand binding for activation, is an example of an RNA structure that regulates mRNA stability. The ribosome is located in the 5' UTR of the mRNA encoding the glucosamine-6-phosphate synthetase (*GlmS*) enzyme, which is widely distributed in Gram positive bacteria [24]. When glucosamine-6-phosphate levels are high, the molecule binds to the aptamer domain, activating the ribosome. When the *glmS* ribosome self-cleaves, a terminus recognized by RNase J1 is produced, and RNase J degrades the remaining mRNA. Another example of riboswitch modulation of mRNA stability is found in the *p27* tumor suppressor mRNA [25]. A riboswitch in this mRNA binds the Pumilio RNA-binding protein (PUM1) and causes an allosteric RNA rearrangement that reveals an miRNA target. Subsequent miRNA binding results in miRNA-mediated gene silencing.

![Fig. 1. Mechanisms of riboswitch regulation.](attachment://image.png)
2.4. Translation

The initiation of translation can also be regulated by riboswitches. Two mutually exclusive RNA structures are used in this control, one of which sequesters the ribosome binding site (RBS) and diminishes translation efficiency. The second structure leaves the RBS site accessible. One example is a lysine-responsive riboswitch in *Escherichia coli* (Fig. 1C) [26]. Upon ligand binding, a structure forms that inhibits translation by sequestering the RBS and that also exposes two RNase E cleavage sites, leading to subsequent mRNA degradation (Fig. 1C).

Regulation of translation by riboswitches can function in concert with other forms of riboswitch regulation to allow precise control of protein expression. For example, cellular tryptophan levels control expression of the trp operon in *B. subtilis* at the levels of transcription and translation through binding of a protein complex called tryptophan-activated RNA-binding attenuation protein (TRAP) [27,28]. The combination of these two strategies highlights the highly sensitive regulation of gene expression achievable via RNA-based mechanisms. In the event that metabolite concentrations do not exceed the threshold required to halt mRNA transcription, these same concentrations may trigger translational inhibition. Such dual control may also allow rapid responses to changes in metabolite concentrations.

2.5. Other mechanisms

Although most riboswitches function in cis, a trans-acting SAM riboswitch was discovered in *Listeria monocytogenes* [29]. Two of the seven SAM riboswitches in *L. monocytogenes* (SreA and B) [29] act in trans: These RNAs bind to the 5’ UTR of the prfA virulence factor mRNA and sequester the Shine–Dalgarno sequence to inhibit prfA translation. This trans activity does not require SAM binding and therefore can be considered similar to antisense mechanisms employed by other non-coding RNAs.

Other unique and varied riboswitch mechanisms have been characterized. For example, some riboswitches function in a cooperative manner [30]. These include the glycine and tetrahydrofolate riboswitches that contain tandem aptamer domains or bind multiple ligand molecules, respectively. These coupled binding strategies provide organisms a means to tune the degree of riboswitch response to ligand concentration. Cooperative binding of two glycine molecules, for example, likely evolved to allow precise response to cellular concentrations of glycine [31]. Another unique example found in *L. monocytogenes* is an RNA thermosensor. A 5’ UTR structure that inhibits translation of prfA virulence factor at temperatures below 37 °C [32]. Infection of warm host cells results in an RNA rearrangement that allows translation. This use of temperature as a ‘ligand’ demonstrates the wide range of regulation mechanisms achievable by RNA structure.

3. Hijacking the ribosome: IRES elements

3.1. Cap-dependent translation

Under most conditions, translation initiation in eukaryotes is dependent on a 5’ 7-methylguanylate cap on a mRNA. Initiation is mediated by eukaryotic initiation factors (eIFs) that are recruited through a series of recognition events (reviewed in [33]). This process begins with recognition and binding of the 5’ cap by the eIF4 cap-binding complex. This event leads to formation of the 48S initiation complex, a ribonucleoprotein complex containing the 40S ribosomal subunit and several eIFs. This initiation complex scans the mRNA from 5’ to 3’ for an AUG start codon [34,35]. At the start codon, the complex stalls, the eIFs dissociate, and the remainder of the ribosome is recruited to form an 80S unit. It is only at this point that the elongation stage of translation begins. Initiation is the rate-limiting step of translation and regulation of this event allows tight control of protein expression. RNA structures play regulatory roles in canonical translation initiation; for example, extensive, stable mRNA structure can interfere with a scanning ribosomal complex [36].

3.2. Cap-independent translation

Canonical translation initiation is attenuated by cellular mechanisms in response to environmental stimuli such as nutrient stress, mitosis, apoptosis, and viral infection. Even during times of stress, however, synthesis of certain proteins is required, and specific eukaryotic mRNAs use a structure-based strategy to ‘hijack’ the ribosome and initiate translation through an internal ribosomal entry site (IRES). Viral mRNAs also co-opt host protein synthesis machinery through cap-independent binding. At these internal entry sites, typically in the 5’ UTR of a message, RNA structure replaces many or all of the eIF proteins required to recruit the ribosome (Fig. 2).

Eukaryotic and viral RNAs use IRES elements in strategically different ways. Eukaryotic mRNAs with IRES elements encode proteins required for apoptosis, cell development, oncogenesis and for survival of nutrient stress, hypoxia, heat shock, and viral infection (reviewed in [37]). These conditions coincide with a decline in cap-dependent translation initiation, and IRES mechanisms allow the cell to respond with synthesis of appropriate proteins. For example, during apoptosis, the mRNA encoding c-myc, a transcription enhancer important to apoptosis and cancer mechanisms, switches from canonical to IRES-mediated translation initiation and is actively produced despite inactivation of cap-dependent translation [38]. This may be a widespread cellular strategy. In silico analyses estimate that nearly 10% of cellular mRNAs have IRES activity [39]. In addition, viruses often contain highly structured 5’ regions incompatible with traditional translation initiation, and may lack the 5’ cap or poly(A) tail required for canonical translation [40]. RNA viruses use IRES elements to proliferate in the cellular environment.

3.3. IRES structures

The first IRES elements were discovered in the positive sense RNAs of picornaviruses and encephalomyocarditis viruses [41,42]. IRES elements in closely-related viral species exhibit a high degree of structural conservation, despite large differences in primary sequence, emphasizing an important role for RNA structure in directing protein expression. In contrast, cellular IRES elements show much less sequence and structural conservation, suggesting these elements are more highly specialized.

IRES elements may require eIFs and/or RNA-binding proteins called IRES trans-acting factors (ITAFs), whereas others directly bind the ribosome to initiate translation. The number of eIF and ITAF factors required to initiate translation is roughly inversely related to the amount of compactly folded RNA structure in an IRES [43]. Viral IRES elements tend to be more structured than their cellular counterparts and bind fewer co-factors [44]. Four classes of IRES elements have been defined for Picornaviridae (Fig. 2A). Class I viral IRES elements are self-sufficient, RNA-driven systems that do not require any factors for initiation. At the opposite end of the spectrum, Class IV elements require canonical eIFs as well as ITAFs [45].

Perhaps the best characterized Class I viral IRES is from *Dicistroviridae* intergenic region. An RNA pseudoknot in this IRES occupies the ribosomal P-site and mimics the structure of a bound peptidyl tRNA, allowing complete ribosomal assembly [46,47]. A Class I IRES in Israeli acute bee paralysis virus controls levels of two proteins, one expressed from a +1 reading frame by forming an extra GU base pair in the pseudoknot occupying the P-site,
thereby shifting the frame of the codon:anticodon mimic by one nucleotide [40].

Class II viral IRES domains are less structured. The Class II HCV IRES binds directly to the ribosome [48] but requires canonical elements such as initiator tRNA_{Met}, eIF2, and GTP for translation initiation [49]. The HCV IRES contains several major domains (II, III, and IV) that are conserved among related flaviviruses and some picornaviruses (Fig. 2B). Domain III binds eIF3 and contains a distinct 40S binding site (reviewed in [50]). A pseudoknotted element at the base of domain III is located near the AUG start codon in domain IV (Fig. 2B), and computational modeling suggests that it forms a three-dimensional structure similar to that of tRNA [51]. This tRNA-like structure likely interacts with the ribosome, ultimately aligning the start codon in the ribosomal P-site [48,49,51–54]. The use of mimicry, especially of tRNAs, appears to be a general strategy in IRES function [55].

Class III and Class IV viral IRES elements require more initiation factors than do Class II IRESs and do not directly bind the 40S ribosome. Examples of Group III IRESs are found in foot and mouth disease virus and encephalomyocarditis virus RNAs. Group IV IRES elements include those from poliovirus and hepatitis A virus. An important distinction between these two IRES classes is in their proximity to an AUG start codon. Class III IRESs immediately precede the AUG, whereas Class IV IRESs can be located at significant distance from their target ORFs.

The mRNA encoding the transcription factor c-myc contains perhaps the best characterized cellular IRES element. Normally, proteins from the myc family control cell proliferation and apoptosis; however, a mutant form is associated with carcinogenesis [56,57]. As discussed, c-myc mRNA can use either canonical or IRES-mediated translation initiation [58]. Use of the IRES element occurs under specific cellular conditions when one of four possible promoter sequences is used to produce a long carcinogenic mRNA [58]. RNA pseudoknots, similar to those important to tRNA mimicry in HCV and Dicistroviridae Class I IRESs, may form near the c-myc ribosome landing sites in the IRES elements [59]. Understanding these RNA structures will be key to determining how these complex protein regulatory pathways function.

4. Derailing translation with programmed ribosomal frameshifting

Numerous cellular and viral RNAs undergo non-canonical translation where the genetic information within the message is
“recoded” [60] by signals within the RNA (reviewed [40,61]). We will highlight the role that RNA structure plays in inducing and regulating one example of non-canonical translation: programed ribosomal frameshifting.

Ribosomal frameshifting occurs when elements within the RNA cause the ribosome to switch registers to either the −1 or +1 reading frame. Additionally, some studies suggest that −2 and +2 frameshifting events are possible [62,63]. Framing sites are minimally composed of two distinct parts: a “slippery sequence” and a cis-acting element. Various cis-acting elements, such as Shine–Dalgarno-like sequences and stop codons [64,65], are capable of inducing frameshifting, but most frameshifting events are mediated by highly structured RNA elements [66–68]. The cis-element within the mRNA pauses a translating ribosome’s active site over the slippery sequence (Fig. 3A). The codon–anticodon interactions are momentarily disrupted, and the ribosome advances two or four nucleotides in the case of −1 and +1 frameshifting, respectively, before translation continues. The efficiencies of frameshifting sites vary depending on the features of the elements involved [66,69,70]. Even low levels of programmed frameshifting, less than 10%, can have large functional consequences.

RNA pseudoknots are structural motifs that are often found at both −1 and +1 ribosomal frameshifting sites, presumably due to their ability to resist the helicase activity of the ribosome [71]. Pseudoknots contain a minimum of two base-paired helices; nucleotides within the loop of one helix base pair with nucleotides outside the stem region to form the “pseudoknotted” structure [72]. An example of pseudoknot within a −1 frameshifting element in mammalian cells is found in the mouse embryonal carcinoma differentiation regulated (Edr) gene [73] (Fig. 3B). Edr and the human ortholog PEG10 are highly expressed during development and encode proteins with two overlapping reading frames [74,75]. A pseudoknot structure also can be found in the +1 frameshifting sites within eukaryotic antizyme genes [76,77], which encode inhibitors of ornithine decarboxylase [78]. Translation of functional proteins from these genes requires a +1 frameshift [79]. The AZ1 frameshifting site is complex and is composed of a (UGC UCC UGA) slippery sequence flanked by a 5′ regulatory element and pseudoknot (Fig. 3C) [76,79]. The slippery sequence contains a UGA stop-codon that will terminate protein translation unless a frameshift occurs. Increased levels of polyamines, the downstream products of ornithine decarboxylase, up regulate levels of frameshifting through action of the 5′ regulatory element [80].

One of the first −1 frameshifting elements identified occurs at the end of the Gag reading frame in HIV-1 [70] (Fig. 3D). This frameshifting element induces a −1 frameshift for 5–10% of translating ribosomes, allowing for the translation of a gag-pol fusion protein that contains gag protein fused to the viral protease and reverse transcriptase enzymes [70]. The frameshifting element was initially suggested to consist of the slippery sequence (UUUUUUA) and a simple stem-loop structure [70]; however, this simple model has been revised as studies of this element suggested that additional sequences are required for biological activity [81]. Studies of the frameshifting element in the context of the intact HIV-1 genomic RNA suggest that the stem-loop is part of a larger structured RNA domain (Fig. 3D) [82]. The surrounding structural domain functions to attenuate the frameshifting efficiency [83]. A complex −1 frameshifting element exists within the genome of the SARS corona-virus (SARS-CoV) at the end of the first ORF. Frameshifting results in the translation of the SARS-CoV the replicase-transcriptase peptide, which is cleaved by proteases to generate up to 16 individual proteins [68]. The programmed frameshift in SARS-CoV appears to regulate the ratios of SARS viral proteins. The frameshifting element in SARS-CoV contains a stem-loop in one of the pseudoknot loops (Fig. 3E) [84]. The end of this internal stem-loop structure contains a palindromic sequence that allows the element to dimerize (Fig. 3E) [85]. Mutations that interfered with dimerization of this domain, both reduced frameshifting efficiency and inhibited viral replication [85]. These data suggest that the frameshifting efficiency within SARS-CoV is regulated by the ability of the genome to dimerize, connecting two critical steps in the replication cycle of the virus.

The frameshifting elements described here are just a few examples of a rapidly expanding list of frameshifting elements that function in diverse organisms and viruses. RNA structures within these frameshifting elements often have modular properties, and stable stem-loop structures can substitute for pseudoknots in some elements [86]. The frameshifting efficiency of the core elements can be regulated by the surrounding RNA sequence and structure [83], tertiary interactions [85], and ligands [80] that ultimately create dynamic elements capable of both precisely controlling frameshifting efficiency and responding to conditions within the cellular environment.

5. RNA structure and setting ribosomal speed limits

Folding of a nascent chain of amino acids into functional protein domains begins immediately after the peptide emerges from the ribosome (reviewed in [87,88]). The rate of translation can significantly impact protein folding [89]. The most extensively studied examples of regulated translational pausing in cells are at rare codons. Rare codons, those codons with low abundance tRNA partners, often occur at the junctions between independently folding protein domains [90]. Ribosomal pausing at these rare codons is thought to facilitate the folding of native proteins in cells [91]. The correlation between translational pausing and rare codon frequency is imperfect, and there is also evidence that mRNA structure modulates translation rates. For example, when individual ribosomes translating an RNA hairpin were monitored using optical tweezers, the length of time that the ribosome paused during translation was dependent on the stability of the RNA structure [92]; stable structures are eventually unwound by the mechanical forces exerted by the ribosome [93].

An extensive body of evidence demonstrating the effect of mRNA structure on translation comes from studies of the yeast ASH1 gene. Ash1p is a transcription factor that is localized to newly formed daughter cells during mitosis and regulates mating-type switching. During mitosis, four structured elements within the coding sequence of the ASH1 mRNA function both to localize the mRNA to newly forming cells and also to translationally silence the mRNA in the mother cell [94,95]. These two activities are separable: When the structured RNA elements are moved from the coding sequence to the 3′ UTR, the mRNA is correctly localized, but localization of the Ash1p protein is impaired [96]. The structured elements within the ASH1 mRNA appear to function as ribosome stop-light, preventing protein translation until proper localization of the message. Another example of the effect of mRNA structure on protein translation comes from analysis of single nucleotide polymorphisms in the human pain regulator gene catechol-O-methyltransferase (COMT) [97]. Polymorphisms within the COMT gene are associated with increased pain sensitivity in humans [98]. The increased pain sensitivity phenotypes are caused by dramatic increases in COMT protein levels due to changes in the global structure of the mRNA [97,99]. These differences in COMT protein expression are attributed, in part, to structural changes near the start codon that affect translational efficiency of the mRNA [99].
Recent innovations in RNA structure probing technologies have facilitated systematic analyses of RNA structure on genome-wide scales and examination of relationships between RNA structure and the organization of the encoded protein. The RNA probing technology SHAPE was used to analyze the structure of an HIV-1 genomic RNA (blue structure) is shown for normal translocation (middle) and −1 and +1 frameshifting events. Proposed secondary structures for (B) the −1 frameshift element for the mouse Edr gene [73], (C) the +1 frameshifting region of the human AZ1 gene [76,79], (D) the gag-pol frameshift in HIV-1 [82], and (E) the replicase-transcriptase frameshift of SARS-CoV [84]. In each example, the “slippery sequence” (purple box) is located upstream of a stable structure (orange and red boxes).

Fig. 3. Structural diversity within programmed ribosomal frameshifting elements. (A) Schematic diagram of −1 and +1 programmed frameshifting events. A translating ribosome encounters a slippery sequence upstream of a stable RNA structure. The post-translocation position of the peptide-linked tRNA (blue structure) is shown for normal translocation (middle) and −1 and +1 frameshifting events. Proposed secondary structures for (B) the −1 frameshift element for the mouse Edr gene [73], (C) the +1 frameshifting region of the human AZ1 gene [76,79], (D) the gag-pol frameshift in HIV-1 [82], and (E) the replicase-transcriptase frameshift of SARS-CoV [84]. In each example, the “slippery sequence” (purple box) is located upstream of a stable structure (orange and red boxes).

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a stop codon is encountered. Current evidence indicates, however, that coding regions within mRNAs are complex landscapes defined by both codon usage and the physical structure of the mRNA.

6. Conclusions

We have presented an overview of strategies by which RNA structure controls and modulates the synthesis and function of proteins. These examples emphasize that structured elements in RNA function as an additional level of information to govern protein expression. Conversely, in the absence of structured RNA regulatory elements, many transcriptional and translational control functions would be lost. Proteins carry out the vast majority of cellular catalytic, signaling, regulatory, and replicative functions. Ultimately, the three-dimensional structure of an mRNA exerts a great deal of influence over the function of its protein product. The influence and complexity of RNA structure in governing protein expression, synthesis and function can appropriately be considered another level of the genetic code, one that we are likely to have only glimpsed thus far.

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