NEW TABULAR ALGORITHMS FOR LIG PARSING

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Abstract
We develop a set of new tabular parsing algorithms for Linear Indexed Grammars, including bottom-up algorithms and Earley-like algorithms with and without the valid prefix property, creating a continuum in which one algorithm can in turn be derived from another. The output of these algorithms is a shared forest in the form of a context-free grammar that encodes all possible derivations for a given input string.

1 Introduction

Tree Adjoining Grammars (TAG) [8] and Linear Indexed Grammars (LIG) [7] are extensions of Context Free Grammars (CFG). Tree adjoining grammars use trees instead of productions as primary representing structure and seems to be adequate to describe syntactic phenomena occurring in natural language, due to their extended domain of locality and to their ability for factoring recursion from the domain of dependencies. Linear indexed grammars associate a stack of indices with each non-terminal symbol, with the restriction that the indices stack of the head non-terminal of each production (the father) can be inherited by at most one body non-terminal (the dependent child) while the other stacks must have a bounded stack size.

Several parsing algorithms have been proposed for TAG, ranging from simple bottom-up algorithms, like CYK [17], to sophisticated extensions of the Earley’s algorithm [9]. In order to improve efficiency, it is usual to translate the source tree adjoining grammar into a linear indexed grammar [16, 12, 13, 17]. However, in some cases is not possible to translate the parsing strategy from TAG to LIG, as there are parsing strategies for TAG which are not incorporated in any parsing algorithm for LIG. To eliminate this drawback, we present in this paper several parsing algorithms for LIG which mimic the most popular parsing strategies for TAG [1].

1.1 Linear Indexed Grammars

A linear indexed grammar is a tuple \((V_T, V_N, V_I, P, S)\), where \(V_T\) is a finite set of terminals, \(V_N\) a finite set of non-terminals, \(V_I\) is a finite set of indices, \(S \in V_N\) is the start symbol and \(P\) is a finite set of productions. Following [7] we consider productions in which at most one element can be pushed on
or popped from a stack of indices:

\[ A_0[\omega \gamma] \rightarrow A_1[] \ldots A_{i-1}[] A_i[\omega \gamma'] A_{i-1}[] \ldots A_m[] \]

\[ A_0[] \rightarrow a \]

where \( m \) is the length of the production, \( A_j \in V_N \) for each \( 0 \leq j \leq m \), \( A_i \) is the dependent child, \( \omega \) is the part of the indices stack transmitted from the father to the dependent child, \( \gamma, \gamma' \in V_I \cup \{\epsilon\} \) and for each production either \( \gamma \) or \( \gamma' \) or both must be \( \epsilon \) and \( a \in V_T \cup \{\epsilon\} \).

The derivation relation \( \Rightarrow \) is defined for LIG as \( \Gamma \Rightarrow \Gamma' \)

- if \( \Gamma = \Gamma_1 A[\alpha \gamma] \Gamma_4 \) and there exists a production \( A[\omega \gamma] \rightarrow \Gamma_2 A'[\omega \gamma'] \Gamma_3 \) such that \( \Gamma' = \Gamma_1 \Gamma_2 A'[\alpha \gamma'] \Gamma_3 \Gamma_4 \)

- or else if \( \Gamma = \Gamma_1 A[] \Gamma_4 \) and there exists a production \( A[] \rightarrow a \) such that \( \Gamma' = \Gamma_1 a \Gamma_4 \)

where \( A \in V_N, \alpha \in V_T^* \) and \( \gamma, \gamma' \in V_I \cup \{\epsilon\} \). The reflexive and transitive closure of \( \Rightarrow \) is denoted by \( \Rightarrow^* \). The language defined by a LIG is the set of strings \( w \in V_T^* \) such that \( S[] \Rightarrow^* w \).

To parse this type of grammars, tabulation techniques with polynomial complexity can be designed based on a property defined in [17], that we call context-freeness property of LIG, establishing that if \( A[\gamma] \Rightarrow uB[]w \) where \( u, w \in V_T^* \), \( A, B \in V_N \), \( \gamma \in V_I \cup \{\epsilon\} \) and \( B[] \) is a dependent descendant of \( A[\gamma] \), then for each \( \Gamma_1, \Gamma_2 \in (V_N[V_T^*] \cup V_T^*)^* \) and \( \beta \in V_T^* \) we have \( \Gamma_1 A[\beta]\gamma \Gamma_2 \Rightarrow \Gamma_1 uB[\beta]w \Gamma_2 \). Also, if \( B[\gamma] \) is a dependent descendant of \( A[] \) and \( A[] \Rightarrow uB[\gamma]w \) then \( \Gamma_1 A[\beta]\gamma \Gamma_2 \Rightarrow \Gamma_1 uB[\beta]\gamma \Gamma_2 \).

### 1.2 Parsing Schemata

We will describe parsing algorithms using Parsing Schemata, a framework for high-level description of parsing algorithms [15]. An interesting application of this framework is the analysis of the relations between different parsing algorithms by studying the formal relations between their underlying parsing schemata.

A parsing system for a grammar \( G \) and string \( a_1 \ldots a_n \) is a triple \((I, \mathcal{H}, D)\), with \( I \) a set of items which represent intermediate parse results, \( \mathcal{H} \) an initial set of items called hypothesis that encodes the sentence to be parsed, and \( D \) a set of deduction steps that allow new items to be derived from already known items. Deduction steps are of the form \( \eta_i \vdash_{\xi} \mathcal{H}_{\text{end}} \) meaning that if all antecedents \( \eta_i \) of a deduction step are present and the conditions \( \mathcal{H}_{\text{end}} \) are satisfied, then the consequent \( \xi \) should be generated by the parser. A set \( \mathcal{F} \subseteq I \) of final items represent the recognition of a sentence. A parsing schema is a parsing system parameterized by a grammar and a sentence.

Parsing schemata are closely related to grammatical deduction systems [14], where items are called formula schemata, deduction steps are inference rules, hypothesis are axioms and final items are goal formulas.

### 2 A CYK-like Algorithm

We have chosen the CYK-like algorithm for LIG described in [16] as our starting point. Due to the intrinsic limitations of this pure bottom-up algorithm, the grammars it can deal with are restricted to those having two elements, or one element which must be a terminal, in the right-hand side of each production. This restriction could be considered as the transposition of the Chomsky normal form to linear indexed grammars.
The algorithm works by recognizing in a bottom-up way the part of the input string spanned by each grammar element. The items used in the tabular interpretation of this algorithm are of the form 

\[ [A, \gamma, i, j | B, p, q] \] 
and represent one of the following types of derivation:

- \( A[\gamma] \Rightarrow a_{i+1} \ldots a_p B[ ] a_{q+1} \ldots a_j \) if and only if \( (B, p, q) \neq (i, j) \), \( B[ ] \) is a dependent descendent of \( A[\gamma] \) and \( (p, q) \leq (i, j) \).

- \( A[] \Rightarrow a_{i+1} \ldots a_j \) if and only if \( \gamma = - \) and \( (B, p, q) = (i, j) \).

where \( - \) means that the value of a component is not bound and \( (p, q) \leq (i, j) \) is used to represent that \( i \leq p \leq q \leq j \) when \( p \) and \( q \) are bound.

These items are like those proposed for the tabulation of linear indexed automata [10] and for the tabulation of bottom-up 2-stack automata [6]. They are slightly different from the items of the form 

\[ [A, \gamma, i, j | B, \eta, p, q] \] 
proposed by Vijay-Shanker and Weir in [16] for their CYK-like algorithm, where the element \( \eta \in V_F \) is redundant: due to the context-freeness property of LIG we have that if 
\( A[\gamma] \Rightarrow a_{i+1} \ldots a_p B[ ] a_{q+1} \ldots a_j \) then for any \( \beta \) we have that 
\( A[\beta \gamma] \Rightarrow a_{i+1} \ldots a_p B[\beta] a_{q+1} \ldots a_j \).

**Schema 1** The parsing system \( \mathcal{P}_{\text{CYK}} \) corresponding to the CYK-like parsing algorithm for a linear indexed grammar \( G \) and a input string \( a_1 \ldots a_n \) is defined as follows:

\[
\begin{align*}
\mathcal{I}_{\text{CYK}} & = \{ [A, \gamma, i, j | B, p, q] | A, B \in V_N, \gamma \in V_F, 0 \leq i \leq j, (p, q) \leq (i, j) \} \\
\mathcal{H}_{\text{CYK}} & = \{ [a, i - 1, i] | a = a_i, 1 \leq i \leq n \} \\
\mathcal{D}_{\text{Scan}}^{\text{ScyK}} & = \{ [a, j, j + 1] | \gamma = - \} \\
\mathcal{D}^{[\text{oo}]}_{\text{CYK}} & = \{ [A, \gamma, i, j | C, k, j] | \gamma = - \} \\
\mathcal{F}_{\text{CYK}} & = \{ [S, - , 0, n | - , - , -] \}
\end{align*}
\]
The hypotheses defined for this parsing system are the standard ones and therefore they will be omitted in the remaining parsing systems described in this paper.

Steps in the set $D_{\text{Scan}}$ are in charge of starting the parsing process. The other steps are in charge of combining the items corresponding to the elements in the right-hand side of a production in order to generate the item corresponding to the left-hand side element, propagating bottom-up the information about the indices stack.

The space complexity of the algorithm with respect to the length $n$ of the input string is $O(n^4)$, as each item stores four positions of the input string. The time complexity is $O(n^6)$ and it is given by the deduction steps in $D_{\text{CYK}}^{[\text{left}]}$ and $D_{\text{CYK}}^{[\text{right}]}$. Although these steps involve 7 positions of the input string, by partial application each step can be decomposed in a set of deduction steps involving at most 6 positions.

A CYK-like algorithm generalized for linear indexed grammar with productions manipulating more than one symbol at the top of the indices stacks is described in [17]. The same kind of generalization could be incorporated into the algorithm presented here.

3 A Bottom-up Earley-like Algorithm

The CYK-like algorithm has an important limitation: it can only be applied to linear indexed grammars having at most two children in the right-hand side. To overcome this limitation we use dotted production into items instead single nodes. Thus, we can distinguish the part of a production already processed from the part not yet processed. With respect to notation, we use $A$ to denote a grammar element having the non-terminal $A$ when the associated indices stack is not relevant in that context.

The items of the new parsing system $P_{\text{buE}}$ are of the form $[A \rightarrow Y_1 \cdot Y_2, \ldots, i, j | B, p, q]$ and can be obtained by refining the items in $P_{\text{CYK}}$. They represent one of the following two cases:

- $A[\gamma] \Rightarrow Y_1 Y_2 \Rightarrow a_{i+1} \ldots a_p B[\gamma] a_{q+1} \ldots a_j Y_2$ if and only if $(B, p, q) \neq (-, -, -)$, $B[\gamma]$ is a dependent descendant of $A[\gamma]$ and $(p, q) \leq (i, j)$.

- $Y_1 \Rightarrow a_{i+1} \ldots a_j$ if and only if $\gamma = -$ and $(B, p, q) = (-, -, -)$. If the dependent child is in $Y_1$ then the indices stack associated to $A$ and to the dependent child must be empty.

The set of deduction steps of $P_{\text{buE}}$ is obtained by refining the steps in $P_{\text{CYK}}$: steps $D_{\text{CYK}}^{[\text{left}]}$, $D_{\text{CYK}}^{[\text{right}]}$, $D_{\text{CYK}}^{[\text{left}]}$, $D_{\text{CYK}}^{[\text{right}]}$, $D_{\text{CYK}}^{[\text{left}]}$, $D_{\text{CYK}}^{[\text{right}]}$ and $D_{\text{CYK}}^{[\text{left}]}$ are separated into different steps Init and Comp. Finally, the domain is extended to deal with linear indexed grammars having productions of arbitrary length.

Schema 2 The parsing system $P_{\text{buE}}$ corresponding to the bottom-up Earley-like parsing algorithm for a linear indexed grammar $G$ and an input string $a_1 \ldots a_n$ is defined as follows:

$\mathcal{I}_{\text{buE}} = \{ [A \rightarrow \gamma_1 \cdot \gamma_2, \ldots, i, j | B, p, q] \mid A \rightarrow \gamma_1 \gamma_2 \in P, B \in V_N, \gamma \in V_I, 0 \leq i \leq j, (p, q) \leq (i, j) \}$

$D_{\text{Init}}^{\text{buE}} = [A \rightarrow \bullet - , i, i | - , - , - ]$

$D_{\text{Scan}}^{\text{buE}} = [A[\gamma] \rightarrow \bullet \gamma, j, j | - , - , - ]$

$[a, j, j + 1]$

$[A[\gamma] \rightarrow a \cdot , - , j + 1 | - , - , - ]$
The space complexity with respect to the input string is $O(n^4)$ because each item stores four positions. The time complexity with respect to the input string is $O(n^6)$ and it is given by deduction steps in $D_{buE}^{Comp[oo][oo\gamma]}$.  

\[ D_{buE}^{Comp[oo][oo\gamma]} = \frac{[A \rightarrow Y_1 \cdot B[oo] Y_2, \gamma, i, k | C, p, q],}{[A \rightarrow Y_1 B[oo] \cdot Y_2, \gamma, i, j | C, p, q]} \]

\[ D_{buE}^{Comp[oo][oo\gamma]} = \frac{[A[oo] \rightarrow Y_1 \cdot B[oo] Y_2, -i, k | -,-,-],}{[A[oo] \rightarrow Y_1 B[oo] \cdot Y_2, \eta, i, j | C, p, q]} \]

\[ D_{buE}^{Comp[oo][oo\gamma]} = \frac{[A[oo] \rightarrow Y_1 \cdot B[oo\gamma] Y_2, -i, k | -,-,-],}{[A[oo] \rightarrow Y_1 B[oo\gamma] \cdot Y_2, \eta, i, j | C, p, q]} \]

\[ D_{buE} = D_{buE}^{Init} \cup D_{buE}^{Scan} \cup D_{buE}^{Comp[\cdot]} \cup D_{buE}^{Comp[oo][oo\gamma]} \cup D_{buE}^{Comp[oo][oo]} \cup D_{buE}^{Comp[oo][oo\gamma]} \]

\[ F_{buE} = \{ [S \rightarrow Y, -, 0, n | -, -, -] \} \]

4 An Earley-like Algorithm

The algorithm described by the parsing system $P_{buE}$ does not take into account whether the part of the input string recognized by each grammar element can be derived from $S$, the axiom of the grammar. Earley-like algorithms limit the number of deduction steps that can be applied in each moment by predicting productions which are candidates to be part of a derivation having as its starting point the axiom of the grammar.

As a first approach, we consider prediction is performed taking into account the context-free skeleton only. The parsing system so obtained is denoted $P_E$ and can be derived from $P_{buE}$ by applying the following filters:

- Init deduction steps only consider productions with $S$ as left-hand side.
- Instead of generating items of the form $[A \rightarrow Y_1 \cdot B[\cdot] Y_2, -i, i | -,-,-]$ for each possible production $A \rightarrow Y \in P$ and positions $i$ and $j$, a set of Pred deduction steps generate only those items involving productions with a relevant context-free skeleton.

Schema 3 The parsing system $P_E$ corresponding to the Earley-like parsing algorithm for a linear indexed grammar $G$ and a input string $a_1 \ldots a_n$ is defined as follows:

\[ I_E = I_{buE} \]
This algorithm, which has a space complexity $O(n^4)$ and a time complexity $O(n^6)$, is very close to the Earley-like algorithm described by Schabes and Shieber in [13] although the latter can only be applied to a specific class of linear indexed grammars obtained from tree adjoining grammars. However, both algorithms share an important feature: they are weakly predictive as they do not consider the contents of the indices stacks when predictive steps are applied. In appearance, the algorithm proposed by Schabes and Shieber in [13] consults the element on the top of the indices stack at prediction, but a deeper study of the behavior of the algorithm makes it clear that this is not really true, as the authors store the context-free skeleton of the elementary trees of a TAG into the indices stacks, reducing the non-terminal set of the resulting LIG to $\{t, b\}$. Indeed, a production $b[oo \gamma] \to t[\eta_1]\ldots t[oo \eta_a]\ldots t[\eta_n]$ is equivalent to $\eta^b[oo] \to \eta^t[\ldots \eta^a[oo]\ldots \eta^n[\ldots]$ and a production $b[oo \gamma] \to t[\eta_1]\ldots t[\eta_n]$ is equivalent to $\eta^b[\ldots \eta^a[\ldots \eta^n[\ldots]$.

5 An Earley-like Algorithm Preserving the VPP

Parsers satisfying the valid prefix property (VPP) guarantee that, as they read the input string from left to right, the substrings read so far are valid prefixes of the language defined by the grammar. More formally, a parser satisfies the valid prefix property if, for any substring $a_1 \ldots a_k$ read from the input string $a_1 \ldots a_k a_{k+1} \ldots a_n$, it guarantees that there is a string of tokens $b_1 \ldots b_m$, where $b_i$ need not be part of the input string, such that $a_1 \ldots a_k b_1 \ldots b_m$ is a valid string of the language.

In the case of LIG, preserving the valid prefix property requires checking if each predicted production $A[oo \gamma] \to n \gamma$ satisfies $S[\ldots] \Rightarrow w A[\alpha \gamma] n \gamma$ where $\gamma \in V_I \cup \{\epsilon\}$. Therefore, to obtain an Earley-like parsing algorithm for LIG preserving this property we need to modify the Pred steps of the parsing system $P_E$ in order to predict information about the indices stacks. As a consequence, items must be also modified, introducing a new element that allows us to track the contents of the predicted indices stacks. The items are now of the form $[E, h \mid A \to \gamma_1 \ldots \gamma_2, i, j \mid B, p, q]$ and they represent one of the following types of derivation:

- $S[\ldots] \Rightarrow a_1 \ldots a_h E[\alpha] \gamma_4 \Rightarrow a_1 \ldots a_h \ldots a_1 A[\alpha \gamma] \gamma_3 \gamma_4 \Rightarrow a_1 \ldots a_h B[\alpha] a_{q+1} \ldots a_j \gamma_2 \gamma_3 \gamma_4$ if and only if $(B, p, q) \neq (-, -, -)$, $A[\alpha \gamma]$ is a dependent descendant of $E[\alpha]$ and $B[\alpha]$ is a dependent descendant of $A[\alpha \gamma]$. This type of derivation corresponds to the completer of the dependent child of a production having the non-terminal $A$ as left-hand side. The indices stack associated to that non-terminal is not empty.
• \( S[\] \Rightarrow a_1 \ldots a_h E[\alpha] \ \Upsilon_4 \Rightarrow a_1 \ldots a_h \ldots a_i A[\alpha \gamma] \ \Upsilon_3 \ \Upsilon_4 \ \Rightarrow a_1 \ldots a_h \ldots a_i \ldots a_j \ \Upsilon_2 \ \Upsilon_3 \ \Upsilon_4 \) if and only if \((E, h) \neq (-, -), (B, p, q) = (-, -, -), A[\alpha] \) is a dependent descendant of \( E[\alpha] \), \( \Upsilon_1 \) does not contain the descendant child of \( A[\alpha \gamma] \) and \((p, q) \leq (i, j)\). This type of derivation refers to a prediction of the non-terminal \( A \) with a non-empty indices stack.

• \( S[\] \Rightarrow a_1 \ldots a_i A[\] \ \Upsilon_4 \Rightarrow a_1 \ldots a_i \ldots a_j \ \Upsilon_2 \ \Upsilon_4 \) if and only if \((E, h) = (-, -), \gamma = - \) and \((B, p, q) = (-, -, -)\). If \( \Upsilon_1 \) includes the dependent child of \( A[\] \) then the indices stack associated to that dependent child is empty. This type of derivation refers to the prediction or completer of a non-terminal \( A \) with an empty indices stack.

The new set of items so defined is a refinement of the items in the parsing system \( \mathbb{P}_E \): the element \( \gamma \) is used to store the top of the predicted indices stacks (in the parsing system \( \mathbb{P}_E \), \( \gamma = - \) for items resulting of a prediction) and the pair \((E, h)\) allows us to track the item involved in the prediction.

With respect to the deduction steps, the completer steps must be adapted to the new form of the items in order to manipulate the new components \( E \) and \( h \) and the predicted steps must be refined taking into account the different types of productions.

**Schema 4** The parsing system \( \mathbb{P}_{\text{Earley1}} \) corresponding to the Earley-like parsing algorithm preserving the valid-prefix property for a linear indexed grammar \( G \) and a input string \( a_1 \ldots a_n \) is defined as follows:

\[
\mathcal{I}_{\text{Earley1}} = \left\{ [E, h \mid A \rightarrow \Upsilon_1 \bullet \Upsilon_2, \gamma, i, j \mid B, p, q] \mid A \rightarrow \Upsilon_1 \ \Upsilon_2 \in \mathcal{P}, \ B, C \in V_N, \ \gamma \in \mathcal{V}_I, 0 \leq h \leq i \leq j, \ (p, q) \leq (i, j) \right\}
\]

\[
\mathcal{D}_{\text{Earley1}}^{\text{init}} = \left\{ \bullet \rightarrow 0, 0 \mid -, -, - \right\}
\]

\[
\mathcal{D}_{\text{Earley1}}^{\text{Scan}} = \left\{ -, - \mid [a] \rightarrow a, -, j, j \mid -, -,- \right\}
\]

\[
\mathcal{D}_{\text{Earley1}}^{\text{Pred[\}]} = \left\{ [E, h \mid A \rightarrow \Upsilon_1 \bullet A[\] \ \Upsilon_2, \gamma, i, j \mid C, p, q] \mid -, - \mid B \rightarrow \bullet \Upsilon_3, -, j, j \mid -, -,- \right\}
\]

\[
\mathcal{D}_{\text{Earley1}}^{\text{Pred[oo,\gamma][oo]}\} = \left\{ [M, m \mid E \rightarrow \bullet \Upsilon_3, \gamma', h, i \mid -, -,- \right\}
\]

\[
\mathcal{D}_{\text{Earley1}}^{\text{Pred[oo][oo]}\} = \left\{ [E, h \mid A[oo] \rightarrow \Upsilon_1 \bullet B[oo] \ \Upsilon_2, \gamma, i, j \mid -, -,- \right\}
\]

\[
\mathcal{D}_{\text{Earley1}}^{\text{Comp[\]} = \left\{ [E, h \mid A \rightarrow \Upsilon_1 \bullet B[\] \ \Upsilon_2, \gamma, i, j \mid C, p, q], \mid -, - \mid B \rightarrow \bullet \Upsilon_3, -, j, k \mid -, -,- \right\}
\]

\[
\mathcal{D}_{\text{Earley1}}^{\text{Comp[oo,\gamma][oo]}\} = \left\{ [A, i \mid B \rightarrow \bullet \Upsilon_3, \gamma', j, j \mid -, -,- \right\}
\]

\[
\mathcal{D}_{\text{Earley1}}^{\text{Comp[oo][oo]}\} = \left\{ [E, h \mid A[oo] \rightarrow \Upsilon_1 \bullet B[oo] \ \Upsilon_2, \gamma, i, j \mid -, -,- \right\}
\]

\[
\mathcal{D}_{\text{Earley1}}^{\text{Comp[oo,\gamma][oo]}\} = \left\{ [A, i \mid B \rightarrow \bullet \Upsilon_3, \gamma', j, j \mid -, -,- \right\}
\]
The space complexity of the algorithm with respect to the length $n$ of the input string is $O(n^5)$, due to the five positions of the input string stored in each item. The time complexity is $O(n^7)$ due to deduction steps in the set $D_{\text{Comp}[oo][oo]}^\text{Earley}_1$. To reduce the time complexity we will use a technique similar to that used in [5, 2] to reduce the complexity of the tabular interpretations of automata for tree adjoining languages. In this case, we split each deduction step in $D_{\text{Comp}[oo][oo]}^\text{Earley}_1$ into two different steps such that their final complexity is at most $O(n^6)$. The resulting parsing schema is defined by the following parsing system.

**Schema 5** The parsing system $P_{\text{Earley}}$ corresponding to the Earley-like parsing algorithm preserving the valid-prefix property working with a time complexity $O(n^6)$ for a linear indexed grammar $G$ and a input string $a_1 \ldots a_n$ is defined as follows:

$$I_{\text{Earley}_1} = \left\{ \begin{array}{c} E, h | A \rightarrow Y_1 \bullet Y_2, \gamma, i, j | B, p, q | A \rightarrow Y_1 Y_2 \in P, B, C \in V_N, \gamma \in V_I, \\ 0 \leq h \leq i \leq j, \ (p, q) \leq (i, j) \end{array} \right\}$$

$$I_{\text{Earley}_2} = \left\{ \begin{array}{c} [A \rightarrow Y, \gamma, i, j | B, p, q] | A \rightarrow Y \in P, B \in V_N, \gamma \in V_I, \ i \leq j, \ (p, q) \leq (i, j) \end{array} \right\}$$

$$I_{\text{Earley}} = I_{\text{Earley}_1} \cup I_{\text{Earley}_2}$$

$$D_{\text{Comp}[oo][oo]}^\text{Earley} = \left\{ \begin{array}{c} [A, i | B \rightarrow Y_3, \gamma, j, k | C, p, q], \\ [E, h | C \rightarrow Y_4, \gamma', p, q | D, r, s] \end{array} \right\}$$

$$D_{\text{Comp}[oo][oo]}^\text{Earley} = \left\{ \begin{array}{c} [B \rightarrow Y_3, \gamma, j, k | D, r, s], \\ [E, h | A \rightarrow Y_1 \bullet B[oo] Y_2, \gamma', i, j | - , - , - ] \\ [E, h | C \rightarrow Y_4, \gamma', p, q | D, r, s] \\ [E, h | A \rightarrow Y_1 \bullet B[oo] Y_2, \gamma', i, j | - , - , - ] \end{array} \right\}$$
\[ \mathcal{D}_{\text{Earley}} = \mathcal{D}_{\text{InitEarley}} \cup \mathcal{D}_{\text{ScanEarley}} \cup \mathcal{D}_{\text{PredEarley}} \cup \mathcal{D}_{\text{Pred[oo]Earley}} \cup \mathcal{D}_{\text{Pred[oo]Earley}} \cup \mathcal{D}_{\text{Pred[oo]Earley}} \cup \mathcal{D}_{\text{Pred[oo]Earley}} \cup \mathcal{D}_{\text{Pred[oo]Earley}} \] 

\[ \mathcal{F}_{\text{Earley}} = \mathcal{F}_{\text{Earley}} \]

Deduction steps \( \text{Comp}[oo][oo]\) generate an intermediate item of the form \([B \rightarrow \gamma_1 \gamma_j k | D, r, s]\) that will be taken as antecedent for steps \(\text{Comp}[oo][oo]\) and that represents a derivation

\[ B[\gamma_1 \gamma] \Rightarrow a_{j+1} \ldots a_p C[\gamma']_j a_{q+1} \ldots a_q \Rightarrow a_{j+1} \ldots a_p \ldots a_r D[\gamma] a_{q+1} \ldots a_k \]

for some \(\gamma, p\) and \(q\). Deduction steps \(\text{Comp}[oo][oo]\) combine this pseudo-item with an item \([E, h | A[oo] \rightarrow T_1 B[oo] T_2, \gamma', i, j | \ldots, \ldots]\) that represents a derivation

\[ S[\gamma] \Rightarrow a_1 \ldots a_h E[\alpha] T_5 \Rightarrow a_1 \ldots a_h \ldots a_i A[\alpha'] T_3 T_5 \Rightarrow a_1 \ldots a_h \ldots a_j B[\alpha' \gamma] T_2 T_3 T_5 \]

and with an item \([E, h | C \rightarrow T_4 \gamma, p, q | D, r, s]\) representing a derivation

\[ S[\gamma] \Rightarrow a_1 \ldots a_h E[\alpha] T_5 \Rightarrow a_1 \ldots a_h \ldots a_p C[\gamma'] T_4 T_5 \Rightarrow a_1 \ldots a_h \ldots a_r D[\gamma] a_{q+1} \ldots a_q T_4 T_5 \]

and a new item of the form \([E, h | A[oo] \rightarrow T_1 B[oo] \gamma, i, k | D, r, s]\) is generated. This last item represent the existence of a derivation

\[ S[\gamma] \Rightarrow a_1 \ldots a_h E[\alpha] T_5 \]

\[ \Rightarrow a_1 \ldots a_h \ldots a_i A[\alpha'] T_3 T_5 \]

\[ \Rightarrow a_1 \ldots a_h \ldots a_i \ldots a_j B[\alpha' \gamma] T_2 T_3 T_5 \]

\[ \Rightarrow a_1 \ldots a_h \ldots a_i \ldots a_j \ldots a_p C[\alpha'] a_{q+1} \ldots a_k T_2 T_3 T_5 \]

\[ \Rightarrow a_1 \ldots a_h \ldots a_i \ldots a_j \ldots a_r D[\alpha] a_{q+1} \ldots a_k T_2 T_3 T_5 \]

6 The Shared Forest

The algorithms described so far are just recognizers. They do not build a representation of the derived trees. However, we can modify them to build these trees as a shared forest satisfying the following properties: it must store in a compact form all parse trees and it must be possible to retrieve every individual parse tree in linear time with respect to the size of the forest.

Billot and Lang [3] define the shared forest for a context-free grammar \(G = (V_T, V_N, P, S)\) and an input string \(a_1 \ldots a_n\) as a context free grammar in which the non-terminals are of the form \((A, i, j)\), where \(A \in V_N\) and \(i, j \in 0 \ldots n\), and productions are of the form

\[ (A_0, j_0, j_1) \rightarrow w_0 (A_1, j_0, j_2) w_1 (A_2, j_1, j_2) \ldots w_{m-1} (A_m, j_{m-1}, j_m) w_m \]

where \(A_0 \rightarrow w_0 A_1 w_1 A_2 \ldots w_{m-1} A_m w_m \in P\) and \(w_i \in V_T^*\), meaning that \(A_0\) recognizes the part \(a_{j_0+1} \ldots a_{j_m}\) of the input string by applying the production \(A_0 \rightarrow w_0 A_1 w_1 A_2 \ldots w_{m-1} A_m w_m\) such that \(A_1\) recognizes the part \(a_{j_k+1} \ldots a_{j_n}\) of the input string.

We can extend the concept of shared forest for CFG to define the concept of LIGed forest [18]. Given the shared forest of the context-free skeleton of a LIG, when a LIG production \(A_0[oo] \rightarrow A_1[oo] \ldots A_d[oo] \ldots A_m[oo]\) is involved in a derivation, a production

\[ (A_0, j_0, j_1) \rightarrow (A_1, j_0, j_1) \ldots (A_d, j_{d-1}, j_d)[oo] \ldots (A_m, j_{m-1}, j_m) \]
is added to the LIGed forest meaning that \( A_0 \) recognizes the part \( a_{j_0 + 1} \ldots a_{j_m} \) of the input string by applying the production \( A_0 [o_0 \gamma] \rightarrow A_1 \ldots A_{[o_0 \gamma]} \ldots A_m \) such that \( A_1 \) recognizes the part \( a_{j_{i-1} + 1} \ldots a_{j_i} \) of the input string and the indices stack is passed from \( A_0 \) to \( A_d \) replacing the top index \( \gamma \) for \( \gamma' \).

The LIG so generated does not satisfy our definition of shared forest because single parse trees cannot be extracted in linear time. Vijay-Shanker and Weir [18] try to solve this problem by defining a non-deterministic finite state automaton that determines if a given LIGed forest symbol \( (A, i, j)[\alpha] \) derives a string of terminals. A similar finite-state automata is also defined by Nederhof in [11].

As an alternative approach, Boullier [4] defines the shared forest for a LIG \( G = (V_T, V_N, V_I, P, S) \) and an input string \( w \) by means of a linear derivation grammar, a context-free grammar recognizing the language defined by the sequences of LIG productions of \( G \) that could be used to derive \( w \). Previously to the construction of the linear derivation grammar, we must compute the transitive closure for a set of relations on \( V_N \times V_N \).

To avoid the use of additional data structures, such as finite automata or precomputed relations, we have been inspired by the use of context-free grammars to represent the parse forest of tree adjoining grammars [18] in order to capture the context-freeness of production application in the case of LIG.

Given a linear indexed grammar \( G = (V_T, V_N, V_I, P, S) \) and an input string \( w = a_1 \ldots a_n \), the shared forest for \( G \) and \( w \) is a context-free grammar \( G^w = (V_T, V_{Nw}, P^w, S^w) \). Elements in \( V_{Nw} \) have the form \( (A, \gamma, i, j, B, p, q) \), where \( A, B \in V_N \), \( \gamma \in V_I \) and \( i, j, p, q \in 0 \ldots n \). The axiom \( S^w \) is the non-terminal \( (S, -, 0, n, -, -, -) \). Productions in \( P^w \) are of the form:

**Case 1a:** If \( A[] \Rightarrow a_j \) then add the production \( (A, -, j - 1, j, -, -, -) \rightarrow a_j \)

**Case 1b:** If \( A[] \Rightarrow \epsilon \) then add the production \( (A, -, j, j, -, -, -) \rightarrow \epsilon \)

**Case 2a:** If \( A[oo\gamma] \rightarrow B[] C[oo] \in P, B[] \Rightarrow a_i+1 \ldots a_k \) and \( C[\alpha] \Rightarrow a_{k+1} \ldots a_p D[\alpha] a_{q+1} \ldots a_j \) then add the production \( (A, \gamma, i, j, C, k, j) \rightarrow (B, -, i, k, -, -, -) \rightarrow (C, \eta, k, j, D, p, q) \)

**Case 2b:** If \( A[oo\gamma] \rightarrow B[oo] C[] \in P, B[\alpha] \Rightarrow a_i+1 \ldots a_p D[\alpha] a_{q+1} \ldots a_k \) and \( C[] \Rightarrow a_{k+1} \ldots a_j \) then add the production \( (A, \gamma, i, j, B, i, k) \rightarrow (B, \eta, i, k, D, p, q) \rightarrow (C, -, i, k, j, -, -, -) \)

**Case 3a:** If \( A[oo] \rightarrow B[] C[oo] \in P, B[] \Rightarrow a_i+1 \ldots a_k \) and \( C[\alpha] \Rightarrow a_{k+1} \ldots a_p D[\alpha] a_{q+1} \ldots a_j \) then add the production \( (A, \eta, i, j, D, p, q) \rightarrow (B, \eta, i, k, D, p, q) \rightarrow (C, -, i, k, j, -, -, -) \)

**Case 3b:** If \( A[oo] \rightarrow B[oo] C[] \in P, B[\alpha] \Rightarrow a_i+1 \ldots a_p D[\alpha] a_{q+1} \ldots a_k \) and \( C[] \Rightarrow a_{k+1} \ldots a_j \) then add the production \( (A, \eta, i, j, D, p, q) \rightarrow (B, \eta, i, k, D, p, q) \rightarrow (C, -, i, k, j, -, -, -) \)

**Case 4a:** If \( A[oo] \rightarrow B[] C[oo\gamma] \in P, B[] \Rightarrow a_i+1 \ldots a_k \) and \( C[\alpha\gamma] \Rightarrow a_{k+1} \ldots a_p D[\alpha\gamma] a_{q+1} \ldots a_j \) and \( D[\alpha] \Rightarrow a_{p+1} \ldots a_r E[\alpha] a_{s+1} \ldots a_q \) then add the production \( (A, \eta, i, j, E, r, s) \rightarrow (B, -, i, k, -, -, -) \rightarrow (C, \gamma, k, j, D, p, q) \rightarrow (D, \eta, p, q, E, r, s) \)

**Case 4b:** If \( A[oo] \rightarrow B[oo\gamma] C[] \in P, B[\alpha\gamma] \Rightarrow a_i+1 \ldots a_p D[\alpha\gamma] a_{q+1} \ldots a_k \) and \( C[] \Rightarrow a_{k+1} \ldots a_j \) and \( D[\alpha] \Rightarrow a_{p+1} \ldots a_r E[\alpha] a_{s+1} \ldots a_q \) then add the production \( (A, \eta, i, j, E, r, s) \rightarrow (B, \gamma, i, k, D, p, q) \rightarrow (C, -, i, k, j, -, -, -) \rightarrow (D, \eta, p, q, E, r, s) \)

**Case 5:** If \( A[oo\gamma] \rightarrow B[oo] \in P \) and \( B[\alpha] \Rightarrow a_i+1 \ldots a_p D[\alpha] a_{q+1} \ldots a_j \) then add the production \( (A, \gamma, i, j, D, p, q) \rightarrow (B, \gamma, i, j, D, p, q) \)

**Case 6:** If \( A[oo] \rightarrow B[oo] \in P \) and \( B[\alpha] \Rightarrow a_i+1 \ldots a_p D[\alpha] a_{q+1} \ldots a_j \) then add the production \( (A, \gamma, i, j, D, p, q) \rightarrow (B, \gamma, i, j, D, p, q) \)

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Case 7: If $A[\text{oO}] \rightarrow B[\text{oO}\gamma] \in P$ and $B[\alpha\eta\gamma] \Rightarrow a_{i+1} \ldots a_p D[\alpha\eta] a_{q+1} \ldots a_q$ then add the production
$(A, \eta, i, j, E, r, s) \rightarrow (B, \gamma, i, j, D, p, q) (D, \eta, p, q, E, r, s)$

In cases 4a, 4b and 7, derivations starting at $(D, \eta, p, q, E, r, s)$ allow us to retrieve the rest of the indices stack corresponding to $A$. Note that we are assuming a grammar with productions having at most two children. Any production $A_0[\text{oO}\gamma] \rightarrow A_1[\text{]} \ldots A_d[\text{oO}\gamma'] \ldots A_m[\text{]}$ can be translated into

\[
\begin{align*}
\nabla_0[\text{]} & \rightarrow \epsilon \\
\nabla_1[\text{oO}] & \rightarrow \nabla_0[\text{oO}] A_1[\text{]} \\
\vdots & \\
\nabla_{d-1}[\text{oO}] & \rightarrow \nabla_{d-2}[\text{oO}] A_{d-1}[\text{]} \\
\nabla_d[\text{oO}\gamma] & \rightarrow \nabla_{d-1}[\text{]} A_d[\text{oO}\gamma']
\end{align*}
\]

where the $\nabla_i$ are fresh symbols that represent partial recognition of the original production. In fact, a $\nabla_i$ symbol is equivalent to a dotted production with the dot just before the non-terminal $A_{i+1}$ or with the dot at the right-hand side in the case of $\nabla_m$.

It is interesting to remark that the set of non-terminals is a subset of the set of items for CYK-like and bottom-up Earley-like algorithms, and Earley-like algorithms without the VPP. The case of the Earley-like algorithm preserving the valid prefix property is slightly different, as a non-terminal $(A, \gamma, i, j, B, p, q)$ represent the class of items $[E, h \mid A, \gamma, i, j \mid D, p, q]$ for any value of $E$ and $h$.

Like context-free grammars used as shared forest in the case of TAG [18], the derivations in $G^w$ encode derivations of the string $w$ by $G$ but the specific set of terminal strings that is generated by $G^w$ is not important. We do however have the language generated by $G^w$ is not empty if and only if $w$ belongs to the language generated by $G$. We can prune $G^w$ by retaining only production with useful symbols to guarantee that every non-terminal can derive a terminal string. In this case, derivations of $w$ in the original grammar can be read off by simple reading off of derivations in $G^w$.

The number of possible productions in $G^w$ is $O(n^7)$. The complexity can be reduced to $O(n^6)$ by transforming productions of the form $A[\text{oO}] \rightarrow B[\text{]} C[\text{oO}\gamma]$ into two productions $A[\text{oO}] \rightarrow B[\text{]} X[\text{oO}]$ and $X[\text{oO}] \rightarrow C[\text{oO}\gamma]$ where $X$ is a fresh non-terminal. A similar transformation must be applied to productions $A[\text{oO}] \rightarrow B[\text{oO}\gamma] C[\text{]}$.

7 Conclusion

We have described a set of algorithms for LIG parsing, creating a continuum which has the CYK-like parsing algorithm by Vijay-Shanker and Weir [16] as its starting point and a new Earley-like algorithm which preserves the valid prefix property as its goal. In the middle, a new bottom-up Earley-like algorithm and a new Earley-like algorithm have been described. The time complexity for all these algorithms with respect to the length of the input string is $O(n^6)$. Other algorithms could also have been included in the continuum, but for reasons of space we have chosen to show only the algorithms we consider milestones in the development of parsing algorithms for LIG.

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