Substance use and universal access to HIV testing and treatment in sub-Saharan Africa: implications and research priorities

Kathryn E Lancaster1*, Angela Hetrick1, Antoine Jaquet2,3, Adebola Adedimeji4, Lukoye Atwoli5,6, Donn J Colby7, Angel M Mayor8, Angela Parcesepe9 and Jennifer Syvertsen10

1 Division of Epidemiology, College of Public Health, Ohio State University, Columbus, OH, USA
2 University of Bordeaux, Inserm, Bordeaux Population Health Research Center, UMR 1219, Bordeaux, France
3 Inserm, ISPED, Bordeaux Population Health Research Center, UMR, Bordeaux, France
4 Department of Epidemiology and Population Health, Albert Einstein College of Medicine, Bronx, NY, USA
5 Department of Mental Health, Moi University School of Medicine, Eldoret, Kenya
6 Department of Psychiatry and Mental Health, University of Cape Town, Cape Town, Republic of South Africa
7 SEARCH, Thai Red Cross AIDS Research Center, Bangkok, Thailand
8 Retrovirus Research Center, Universidad Central del Caribe School of Medicine, Bayamón, Puerto Rico
9 Department of Maternal and Child Health, University of North Carolina at Chapel Hill, Gillings School of Global Public Health, Chapel Hill, NC, USA
10 Department of Anthropology, University of California, Riverside, CA, USA

Abstract

As universal testing and treatment for HIV, or ‘treat all’, expands across sub-Saharan Africa (SSA), substance use will likely have a negative impact on the success of scale-up efforts for antiretroviral treatment (ART). Overwhelming evidence highlights the negative impact of substance use on HIV care and treatment outcomes. Yet, as many countries in SSA expand ART, evidence of the extent of substance use, and its impact in the region, is more limited. Stigma, and the psychoactive effects of substance use, are barriers to seeking HIV treatment and adhering to ART regimens for persons with heavy alcohol use or substance use. As a result, we identified several implementation and operations research priorities and metrics for monitoring the impact of substance use and Treat All. Identifying barriers and facilitators to the integration of the prevention and treatment of substance use with HIV care, and assessing effects on HIV outcomes, through longitudinal studies are priorities that will determine the impacts of substance use on ‘treat all’ in SSA. Future research must use existing infrastructure, including large networks of HIV clinics, to enhance our understanding of the implementation and service delivery of substance use screening, referral and treatment. These networks will also inform robust and standardised substance use estimates and interventions within the ‘treat all’ era in SSA.

Keywords: injection drug use, non-injection drug use, alcohol, antiretroviral treatment, Africa

Introduction

Substance use among people living with HIV (PLWH) is a major public health challenge globally and within sub-Saharan Africa (SSA) [1-4]. While alcohol is the most commonly used substance, injection drug use and non-injection drug use are growing [5]. An estimated 500,000 to 3 million persons reported injecting drugs in SSA and nearly one-fifth are estimated to be living with HIV [3,4]. Non-injection drug use in Africa ranges widely across substances; in 2014 an estimated 1.6 million persons used opiates, 2.8 million persons used cocaine, and 5.5 million persons used amphetaamines and prescription stimulants [5]. In 2010, approximately 30%, or 314 million persons, consumed alcohol in Africa, and among those, 92 million were heavy episodic drinkers [6]. As Africa becomes more integrated within drug trafficking routes, injection and non-injection drug use are expected to expand [1]. The intertwining relationships between substance use and HIV are complex in many ways, with each simultaneously hindering optimal physical and mental health, well-being and quality of life. PLWH who use substances, and are not engaged in substance use treatment, may be less likely to achieve optimal engagement into HIV care and treatment when compared to non-substance-using PLWH [7-9]. In parallel, those who are engaged in substance use treatment are likely not offered HIV testing and do not receive HIV risk-reduction counselling [10-12].

In 2015, the World Health Organization expanded their global recommendations on the use of antiretroviral drugs for treating and preventing HIV infection by implementing a universal test and treat strategy also known as ‘treat all’ [13]. The ‘treat all’ policy encompasses initiating antiretroviral treatment (ART) as soon as possible after a diagnosis of HIV infection, to both maximise the benefits for individual health and minimise the potential for forward transmission [14,15]. The elimination of ART eligibility criteria for PLWH is anticipated to have tremendous effects on achieving universal access to HIV treatment [16]. Globally, adoption of the ‘treat all’ policy has been high, and by 2017, nearly all countries within SSA had implemented some form of immediate ART initiation [17]. The International Epidemiology Databases to Evaluate AIDS (IeDEA) consortium, funded by the US National Institutes of Health, is unique, and to our knowledge, the largest network of HIV clinics in SSA to monitor the implementation of ‘treat all’.

‘Treat all’ implementation is the critical first step toward universal testing and treatment, improved clinical outcomes for patients, and the prevention of new infections. However, to achieve the full benefits, all PLWH must be fully engaged in HIV care and treatment, including those engaging in substance use [18,19]. If left unaddressed, substance use could hinder potential advances of the ‘treat all’ policy in SSA. In this viewpoint, we characterise the current literature on substance use, including injection drug use, non-injection drug use, alcohol use and their potential impact on ‘treat all’ implementation in SSA. We conclude by identifying several knowledge gaps and providing examples of research priorities for the rollout of ‘treat all’ in the region.

Alcohol use

Alcohol continues to be the most predominantly used substance within SSA (Figure 1a) [6,20]. SSA has the highest prevalence of both HIV and heavy episodic drinking in the world [6,21]. In...
(a) Total alcohol per capita consumption (15+ years). This is based on UK guidelines where no more than 14 units of alcohol (140 mL of pure alcohol) per week is recommended.

Per capita alcohol use less than the UK’s recommended alcohol guidelines
Per capita alcohol use over the UK’s recommended alcohol guidelines
No information available
Country outside sub-Saharan African region

Key

(b) Injection drug use.

(c) Non-injection drug use

Figure 1. All panels were created using ArcGIS Desktop (Release 10, Environmental Systems Research Institute, Redlands, CA, USA). Evidence of substance use, including: (a) Total annual alcohol per capita consumption of those 15 years or older in litres of pure alcohol as stated in each country’s profile in the WHO’s 2014 Global Status Report on Alcohol and Health was used [6,20]. In an effort to discern low and high rates of alcohol use in each country, the United Kingdom’s alcohol guidelines were used to differentiate levels of alcohol use. The UK guidelines recommend no more than 14 units of alcohol (140 mL of pure alcohol) per week or 7.28 L of pure alcohol per year; (b) Injection drug use—prevalence data were pulled from a systematic review by Degenhardt et al [42]; and (c) non-injection drug use within sub-Saharan Africa. A combination of search techniques was implemented to find evidence of non-injection drug use of cocaine [43-55], heroin [4,43,52,56-69] and methamphetamine [5,53,55,70-72] within each country in SSA. The United Nations Office on Drugs and Crime Statistics Online Tool was used to determine prevalence of amphetamine and cocaine use by country. If no data were available, then PubMed and Google Scholar search functions were used with each country’s name and ‘cocaine’, ‘heroin’, ‘methamphetamine’, or ‘amphetamine’ to find studies that documented non-injection use from the year 2000 to the present. All non-injection drug use sources were combined to create Figure 1c.
many SSA countries, over half of key populations, such as female sex workers (FSW) and men who have sex with men (MSM), supplement sexual encounters with frequent heavy alcohol use, which may ultimately lead to alcohol use disorders [22-24]. The association between heavy alcohol use and HIV acquisition and transmission has been well documented [25]. Alcohol use can directly affect cognitive ability and judgement [26,27], which can lead to high-risk sexual behaviours, including unprotected, multiple sexual partners, and coercive sex [28-35]. Heavy alcohol use is also biologically linked with increased genital viral shedding, increasing the potential for HIV transmission [36,37]. In addition to HIV risk, heavy alcohol use among PLWH directly leads to sub-optimal ART adherence, decreasing the likelihood for plasma viral suppression, the ultimate goal for ART [23,27,36,38,39]. Often, due to concerns of potential interactions, ART medication is missed when drinking [40], which can lead to ART resistance [41]. These outcomes lead to higher mortality and morbidity rates, particularly among key populations, and are barriers to achieving the second and third 90-90-90 targets proposed by UNAIDS (by 2020, 90% of all people living with HIV will know their HIV status, 90% of those will be in care, and 90% of those will be virally suppressed).

Injection drug use

Injection drug use is now documented in many countries in SSA, with prevalence estimates ranging from 0% to 2.3% in the general adult population (Figure 1b) [42]. The number of persons who inject drugs (PWID) is growing, particularly within Kenya, Tanzania, Nigeria, Mauritius and South Africa [4,8,73]. The emergence of injection drug use provides an additional route for HIV transmission. Serial use and sharing of drug injection equipment, preparing drugs for injection, and collectively using shared drug preparations, create risks for acquiring and transmitting HIV [74]. Additionally, blood sharing practices, known as ‘flashing’, can directly increase transmission potential as a person injects a syringe full of blood drawn back immediately after initial injection to another person as a way of sharing drugs [75,76]. Injection drug use, particularly of heroin, is commonly associated with poor ART adherence [7]. However, involvement in substance use treatment, such as methadone substitution therapy, significantly improves HIV clinical outcomes, including ART adherence and viral suppression [9,77,78]. Aside from the negative impact of injection drug use on HIV treatment outcomes, this ‘hard-to-reach’ population is less likely to be aware of their HIV infection and entered/retained into care. PWID face persistent social barriers to HIV testing and linkage to care, including stigma and punitive legal systems [79,80]. It then will represent a major challenge in achieving the first 90 of the 90-90-90 targets proposed by UNAIDS [81]. Therefore, the integration or linkage of harm-reduction strategies into HIV care programmes might constitute a central element to achieve universal access to treatment. Evidence-based interventions that have been documented to prevent HIV transmission and improve HIV treatment outcomes are largely unavailable within SSA [8,82]. In 2009, only three African countries included harm reduction services, including syringe exchange and/or methadone substitution programmes, as part of their national plans [82]. However, since 2013, availability of these harm reduction services has expanded, particularly within East Africa [83-88].

Non-injection drug use

Non-injection substance use is emerging and is a major concern for several SSA countries (Figure 1c) [4,5,43-72]. Specifically, smoking or ingesting heroin, methamphetamine and amphetamine-type stimulants has been increasing [4,8,89]. Although injection drug use has received much attention for its direct link to HIV transmission, heroin is also commonly smoked, particularly in developing markets. Several countries within Africa have become major international transport corridors for heroin and cocaine trafficking [1,2,4]. As a result, cocaine use throughout West Africa and heroin consumption throughout East Africa have been documented [4,76,90]. South Africa is also experiencing a severe methamphetamine epidemic, the majority of which is with non-injection routes, particularly among key populations at risk for HIV, including sex workers and men who have sex with men [89,91]. Similar to other substances, non-injection substance use can lead to delays in HIV diagnosis, poor linkage to care and treatment, as well as sub-optimal ART adherence for viral suppression [8,70,92]. Without adequate surveillance, the impact of these emerging non-injection drug use epidemics on HIV acquisition, transmission and treatment within the context of high HIV prevalence is unknown [8]. Furthermore, harm-reduction strategies are often tailored for injection drug use to improve HIV treatment outcomes and need to include strategies for sexual and non-injection drug-related risk reduction [70].

Research priorities

The growing substance use epidemic has the strong potential to aggravate the HIV epidemic in SSA. For a successful rollout of ‘treat all’ in SSA, several knowledge gaps in understanding and addressing substance use require attention and resources. To address these gaps, we have identified a set of research priorities, including four implementation and operations priorities that address screening and treatment and three needed metrics for monitoring the impact of ‘treat all’ (Table 1).

| Table 1. Research priorities for ‘treat all’ policy in sub-Saharan Africa |
|---------------------------------------------------------------|
| **Implementation and operations**                          | **Metrics for monitoring impact**                      |
| • Develop, adapt and evaluate valid and reliable screening methods for substance use, including injection and non-injection drug use | • Estimate and characterise availability of evidence-based substance use disorder prevention and treatment services |
| • Integration of low-cost and reliable point-of-care testing for alcohol use among patients receiving HIV care | • Assess substance use effects, including time-varying changes in patterns of injection and non-injection substance use, on HIV outcomes (e.g., ART adherence, HIV viral suppression and sustained HIV viral suppression) through longitudinal studies |
| • Develop, implement and evaluate screening and treatment/referral protocols for substance use disorders that can be integrated into HIV treatment and implemented by non-specialists | • Evaluate substance use effects, including time-varying changes in patterns of injection and non-injection substance use, on co-occurring infections and non-communicable diseases through longitudinal studies |
| • Identify barriers and facilitators to the integration of the prevention and treatment of substance use disorders with HIV care | |
Implementation and operations

Screening

Substance use screening tools that are culturally appropriate and reliable must be prioritised. Within several areas of SSA, screening for substance use is either non-existent or severely limited [93]. Substance use screening can include biomarkers and self-report behavioural surveys, particularly within treatment settings. Biological tests for biomarkers of substance use, such as urinalysis, hair testing and saliva tests, can often be rapid and accurate for detecting recent substance use. However, these tests require proper training, specialised laboratories and adequate resources, which may be challenging in overburdened HIV clinical settings. Self-report screening tools are likely more feasible to implement within the current HIV care settings [94]. Several tools have been developed and validated within the United States, yet few have been evaluated with regard to their sensitivity and specificity for measuring substance use, misuse and disorders in other cultures [95]. For example, studies within Kenya have used generic questions to identify types of substances used as well as a pattern of use, while others have adapted the WHO Model Core Questionnaire among students and prison populations for the same purpose [96–99]. Cultural adaptations and validation of standardised screening tools must be undertaken to integrate local nomenclature for the various substances used in the region [100]. Common modifications have included the addition of contextual items such as factors associated with introduction to substance use and its continued use, as well as potential complications of use. Additionally, contextualisation will often require the inclusion of local names for various substances, information that would otherwise be missed without cultural context.

Epidemiological studies require this ongoing ethnographic work to monitor the on-the-ground, and often rapidly changing, drug markets [101].

As alcohol use remains highly prevalent in SSA, strategies are needed to integrate low-cost and reliable point-of-care testing for alcohol use among patients receiving HIV care. As an example, the assessment of excessive alcohol use presents various challenges in the SSA context. An accurate measure of alcohol intake in a given setting requires a minimum knowledge of types of locally brewed alcoholic beverages regularly consumed as well as conversions into standard alcohol drinks. Reproducibility of alcohol intake measures across various settings and populations is also an issue to enable reliable comparisons. To this end, the diffusion and promotion of a common tool to assess alcohol use, such as the Alcohol Use Disorder Identification Test (AUDIT), or its short version, AUDIT-C, constitutes a key step in the documentation of alcohol use in SSA [102]. Besides these technical considerations, relying on self-report to diagnose excessive alcohol use is subject to desirability bias. This is particularly sensitive in a context of access to care for chronic and lifelong conditions, such as HIV infection. Indeed, recent research using alcohol biomarkers, such as phosphatidylethanol, has found significant rates of underreported alcohol intake among HIV-infected persons [103,104]. Other factors, such as religion, ethnicity and employment, might also significantly affect self-reported alcohol use. Previous prevalence estimates on alcohol use from SSA, based on self-report, showed a particularly low level of alcohol use in predominantly Muslim countries [105,106]. Whether or not these assessments reflect the true consumption of alcohol in these countries remains to be confirmed. The use of inexpensive and reliable point-of-care testing to complement self-report measurements of alcohol intake might provide more reliability in alcohol-use estimates [107].

Treatment

Written guidelines and protocols for substance use disorder treatment and referrals must be developed and implemented by non-specialists within HIV clinics. For example, the World Health Organization’s Mental Health Gap Action Programme (mhGAP) provides guidance for the integration of substance use care [108]. Until integration can be achieved, timely referral strategies can be employed to address treatment gaps in settings where referral services exist [109]. Referral strategies for those in need of substance use disorder treatment can be successful through collaboration between the patient, service providers and related treatment organisations. However, it is critical to note that throughout much of SSA, referral services are nonexistent or inaccessible, highlighting the need for development of new and innovated models for substance use care and support [110].

To facilitate an understanding of the barriers and facilitators to integrating substance use disorder and HIV treatments, we advocate for an implementation science framework approach for generating critical and actionable evidence. In HIV care settings in SSA, a complex set of patient, community, provider, organisational and systemic factors must come together to create integrated substance-use treatment among PLWH. At the patient and community levels, the challenges include the inability to engage and retain HIV-positive substance users in care due to varying levels of motivation, loss to follow-up, stigma associated with substance abuse (including from service providers), lack of social support, and co-morbid conditions including psychiatric and mental health disorders, as well as complex socioeconomic and contextual factors that inhibit access to and retention in care [109,111–114]. Provider and organisational level barriers include lack of knowledgeable and skilled providers, personnel shortages, inadequate diagnostic tools and poor treatment infrastructure, all within an environment that continues to prioritise HIV care over conditions that increases the risk of transmission. Systemic challenges are related to the absence of coherent and comprehensive substance abuse policies and programmes that support an integrated care model [3,115,116]. To further address the barriers to treatment among vulnerable drug-using populations, including MSM and FSW, non-HIV sector approaches that use dedicated substance abuse services and more community-based outreach models should be considered [109].

Metrics for monitoring impact

The focus of implementation science research must enhance our understanding of what resources are needed, how to most efficiently address multiple barriers to integrating substance use treatment and HIV prevention programmes, and how to develop and implement optimal interventions to maximise the benefits of ART in the ‘treat all’ era. This should include highlighting optimal models of care delivery, efficiency, and effects of interventions and policy innovations on HIV treatment [117]. More specifically, implementation science research should be designed to assess context-specific barriers at individual, community, health provider and structural levels to facilitate increased access to HIV prevention and treatment for substance use among PLWH. It is also necessary to identify the cost-effective approaches to facilitate the integration of substance use and HIV treatment because of the potential to dramatically improve the success of ‘treat all’ in SSA [117,118].

Multiple metrics will be necessary for monitoring the impact of substance use within the ‘treat all’ era. Robust estimates of substance use and characterisation of substance use treatment services are lacking, particularly at the country level, within SSA [119,120].
Although substance use and harm-reduction services are expanding across SSA, a number of structural barriers remain, including a lack of trained providers and an absence of policy for expansion [83–86,120]. Population estimates and identification of evidence-based substance use disorder prevention and treatment services will provide essential data for informing future programme development and research.

Longitudinal studies are needed on the time-varying changes in patterns of substance use, as well as the effect of substance use, on HIV outcomes and co-infections. Substance use is not widely captured in HIV clinical settings, particularly within SSA. This lack of regularity and standardisation in assessing substance use within clinical cohorts leads to a reliance on small convenience samples for characterising substance use among PLWH [121,122]. While these studies enhance our understanding of the impact of substance use on HIV outcomes and co-infections, the estimates are susceptible to bias, limiting the potential for guiding HIV treatment policies [123–126].

Conclusion

As the rollout of ART expands in SSA, substance use will remain an ongoing challenge for achieving universal testing and treatment. Evidence from other settings overwhelmingly emphasises the negative impact of substance use on HIV care and treatment outcomes. Within SSA, the evidence is limited for understanding and addressing substance use and HIV. Participating clinics within iDeA can serve as a representative sample of HIV treatment sites and provide critical insights on the implementation and operational management of service delivery for substance use screening, referral and treatment. Furthermore, this platform has successfully collected core information on ART exposure and has strong potential to contribute to more robust and standardised estimations of substance use among PLWH, especially in the context of ‘treat all’.

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Declaration of interests

The authors declare no competing interests.

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Note: Sources marked with * indicate countrywide prevalence data used in Figure 1. All other sources are smaller studies within SSA countries.

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