The Effect of Ground Tilt on the Lower Extremity Muscle Activity of Stroke Patients Performing Squat Exercises

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Abstract. [Purpose] The purpose of this study was to determine the effect of ground tilt on the lower extremity muscle activity of stroke patients performing squat exercises. [Subjects] Fifteen hemiparetic patients volunteered to participate in this study. [Methods] The subjects performed squat exercises at three different ground tilt angles: 15° plantar flexion, a neutral position, and 15° dorsiflexion. A surface electromyogram (sEMG) was used to record the electromyographic activities of the leg extensor muscle in the vastus lateralis (VL), vastus medialis (VM), gastrocnemius lateralis (GL), and gastrocnemius medialis (GM). The sEMG activity was analyzed using a one-way repeated measures ANOVA and a post hoc Bonferroni correction. [Results] The results of this study are summarized as follows. Significant differences were noted for the VL and the GL when the angle of the ankle joint was between the 15° plantar flexion and neutral positions during squat exercises involving the VL and when the angle of the ankle joint was between the neutral position and 15° dorsiflexion during squat exercises involving the VM. [Conclusion] In this study, sEMG showed that the VL and GL changed significantly during squat exercises according to the ground tilt angle of hemiparetic patients. Therefore, squat exercises with different ground tilt angles can be used to improve VL and GL strength.

Key words: Electromyogram, Hemiplegic patient, Squat exercises

INTRODUCTION

Patients with hemiparesis due to stroke tend to use the non-affected side of the body rather than the affected side11). Therefore, muscle weakness in the upper and lower extremities of the affected side increases, and asymmetric body alignment and weight shift can occur21). This leads to difficulty in activities of daily living3). For hemiparetic patients, improvement of independent walking ability is an important criterion for functional recovery, as well as one of the aims of treatment4, 5).

The affected side lower extremity muscle weakness in hemiparetic patients plays an important role in the restriction of walking ability6, 7). Muscle strengthening exercises for the lower extremities, especially strengthening the extensor muscle in the knee joint, is very effective in the improvement of balance during walking, walking speed, and walking distance8).

Among the lower extremity muscles, the quadriceps femoris muscle is important for dynamic stability in a standing position, while the gastrocnemius muscle is very important in the generation of vertical ground reaction force for push-off during walking9, 10). Therefore, muscle-strengthening exercises using body weight have typically been used to improve muscle strength in the lower extremities in clinical practice. Among them, squatting is the most appropriate exercise method to strengthen the quadriceps femoris, making it ideal to strengthen lower extremity muscles for patients with functional problems due to damage to the neuromusculoskeletal system3).

Tang et al. reported that the vastus medialis muscle improved after using closed kinetic chain (CKC) exercises, such as squatting12). In particular, squatting performed at a knee joint angle between 45° and 60° showed the most effective result. However, most studies have been done with healthy people or athletes. Few case studies have been done with hemiparetic patients. Furthermore, very few studies have been done on changes in muscle activity of the lower extremities in the squatting position in conjunction with changes in ankle joint angle in a variety of ground environments.

The purpose of this study was to examine the effect of changes in ground tilt angle during squatting on the affected lower extremity muscle activity of hemiparetic patients, and the results of this study would be used as foundational
data for rehabilitation programs for lower extremity muscle strengthening in hemiparetic patients.

SUBJECTS AND METHODS

Chronic hemiparetic patients (n=15) with at least 6 months elapsed after being diagnosed with stroke participated in this study after giving informed consent. For the criteria to select the subjects, three main points were considered. The selected subjects had to be able to walk independently with assistive devices and had to have a score of more than 5 on the walk item of the Functional Independence Measure (FIM), they had to be able to understand and perform the research instructions with a score of more than 25 on the Mini-Mental State Examination (MMSE), and they had to have no orthopedic disease in both lower extremities (Table 1). All of the protocols used in this study were approved by the University of Daejeon. Before participation, the procedures, risk, and benefits were explained to all the participants, who gave their informed consent. The participants’ rights were protected according to the guidelines of the University of Daejeon.

To measure extensor muscle activity in the affected lower extremity, a QEMG-4 (LXM3204, Laxtha, Daejeon, South Korea), a 4-channel surface electromyogram (sEMG) system, was used. The collected data were then analyzed with the Telescan 2.89 electromyogram software (Laxtha, Daejeon, South Korea). For the surface electrode, an Ag/AgCl electrode (3M, St. Paul, MN, USA) with a sampling rate of 1024 Hz was used. Signals were processed through a band-pass filter of 20–450 Hz and a notch filter of 60 Hz. For the sEMG electrode position, electrodes were attached to the vastus medialis, vastus lateralis, gastrocnemius medialis, and gastrocnemius lateralis with the proposed SENIAM project method (Surface Electromyography for the Non-Invasive Assessment of Muscles, 2005). During squatting, the sEMG signal was collected in five-second segments, and the measurement was done three times for each position. The sEMG data obtained in the first and last one second were removed, and only the data for the three seconds between the first and last seconds were transformed into root mean square (RMS) values and recorded.

The experiment was done at three positions (15° ankle joint plantar flexion, neutral, and 15° dorsiflexion) and using our own manufactured quadriceps board. For squatting, the knee joint was positioned at 45° of flexion, using a goniometer while the legs were placed shoulder-width distance from each other and the hands were placed freely. To shift weight to the affected side during squatting, a posture mirror, on which a line was drawn, was used. A sufficient amount of practice was performed to ensure that the same experimental posture would be used during measurement. Measurement in each experiment was done three times, and the order of the experiment was assigned randomly. To reduce muscle fatigue, a one-minute rest break was given between the experiments.

SPSS version 18.0 for Windows was used for statistical analysis. For general characteristics of the subject, descriptive statistics was used. One-way repeated measures ANOVA was used to compare the lower extremity muscle activity according to the ground tilt angle, and the post hoc Bonferroni correction was applied. The level of significance was set as α = 0.05.

RESULTS

The muscle activity in the affected lower extremity during squatting according to the three positions (15° ankle joint plantar flexion, neutral, and 15° dorsiflexion) is shown

| Table 1. General characteristics of the subjects (n=15) |
|--------------------------------------------------------|
| Characteristics                                      |
| Gender                                                |
| Male                                                   | 8 (53)a |
| Female                                                | 7 (47)  |
| Age (yr)                                              | 67.13±13.30b |
| Height (cm)                                           | 161.47±5.32 |
| Weight (kg)                                           | 59.67±9.06 |
| BMI (kg/m²)c                                          | 22.80±2.64 |
| Stroke type                                           |
| Infarction                                             | 8 (53)  |
| Hemorrhage                                            | 7 (47)  |
| Paretic side                                          |
| Left                                                   | 9 (60)  |
| Right                                                  | 6 (40)  |
| MASd                                                   |
| Grade 0                                                | 0 (0)   |
| Grade 1                                                | 12 (80) |
| Grade 1+                                               | 3 (20)  |
| Duration (mo.)                                         | 17.73±12.83 |
| MMSE-K                                           e     | 27.12±2.81 |

a(n (%), bMean±SD, cBMI: body mass index, dMAS: Modified Ashworth Scale, eMMSE-K: Mini-Mental State Examination-Korea

| Table 2. Comparison of electromyography activation according to ground tilt angle (n=15) |
|--------------------------------|---------------------------------------------------------------|
| Muscle                        | Ground tilt angle                                             |
|                               | −15°                                    | 0°                                 | 15°                                 |
| Vastus medialis               | 51.80±31.06a                          | 48.25±28.79                         | 46.74±27.97                         |
| Vastus lateralis*             | 62.67±34.45                          | 54.89±29.41                         | 54.74±30.83                         |
| Gastrocnemius medialis        | 21.14±7.06                           | 18.65±4.14                          | 19.41±10.61                         |
| Gastrocnemius lateralis*      | 29.09±9.47                           | 28.21±10.50                         | 22.81±8.39                          |

aMean (%RMS)±SD, p<0.05
in Table 2. For the vastus medialis, the muscle activity during squatting was the highest at 51.80% for 15° plantar flexion and the lowest at 46.74% for 15° dorsiflexion. However, no statistical significance was shown (p > 0.05). For the vastus lateralis, the highest muscle activity was 52.67% at 15° plantar flexion and the lowest was 54.74% at 15° dorsiflexion. These results were statistically significant (p < 0.05). For the gastrocnemius medialis, the highest muscle activity was 21.14% at 15° plantar flexion, and the lowest was 18.65% at 0° neutral position. However, no statistical significance was shown (p > 0.05). For the gastrocnemius lateralis, the highest muscle activity was 29.09% at 15° plantar flexion and the lowest was 22.81% at 15° dorsiflexion. These results were statistically significant (p < 0.05).

**DISCUSSION**

CKC exercises, like squatting, can cause co-contraction of the quadriceps femoris and hamstring and reduce anteri-or-posterior tibiofemoral translation. Since squatting facilitates selective contraction of the vastus medialis compared with open kinetic chain (OKC) exercise, it also has been used frequently for knee joint rehabilitation.

The alignment and movement of the patella is determined by the amount and direction of force applied to the soft tissues around the knee joint; in particular, the vastus medialis acts as a medial stabilizing muscle for the patella. Contraction of the vastus medialis and rectus femoris can cause medial tilting and lateral rotation of the patella. The function of the patella is lateral tilt and rotation during knee extension from 0° to 20° in the CKC and lateral tilt and rotation during squatting from 0° to 90°. Furthermore, the patella is moved medially during the initial period of squatting between 0° and 20° and then laterally above a knee flexion angle of about 45°.

To determine the patella movement and the Q-angle during knee joint movement, analysis was done using a three-dimensional motion analysis system, and the results showed that the in addition to the increase in Q-angle, the patella was tilted and rotated laterally up to 45° of knee flexion. Therefore, it caused increasing activation of the vastus lateralis, thereby producing lateral tilt of the patella. Although measurement of the lateral tilt of the patella was not done in this study, a significant change in the vastus lateralis occurred in the above study.

Experiments with healthy subjects showed that when the ankle was moved, the lack of stability of the knee joint caused co-contraction of the vastus medialis and vastus lateralis. When a squat extension exercise was performed on flat ground, extension occurred through the contraction in the quadriceps femoris. However, when the ankle joint tilt was applied to the heel, the activation of both the quadriceps femoris and knee extension increased. Knee extension and ankle plantar flexion were used at the same time to lift the center of gravity, and squatting might be facilitated by reducing fatigue of the quadriceps femoris through activation of the gastrocnemius.

The results of the above study were similar to the measurement results of this study in that activation of the affected gastrocnemius showed the highest at 15° plantar flexion during squatting. However, Cook et al. showed that the effect on the gastrocnemius was at the minimum during squatting at 25° to 30° on a descending ramp. However, the significant change in the affected gastrocnemius lateralis during squatting, performed when the ground tilt angle was 15° plantar flexion, was thought to be affected by the increase in activation of the vastus lateralis and the lateral tilting of the patella up to 45° of knee joint flexion in the Q-angle analysis and during patella movement, as reported by Wilson et al.

Based on the previous studies showing that changes in ground tilt angle during squatting can influence stability and lower extremity muscle activity, this study also compared the sEMG activation of the quadriceps femoris and gastrocnemius in hemiparetic patients. More activation of the vastus lateralis and gastrocnemius lateralis was found in the squatting position when the ankle joint was in the plantar flexion condition, while inhibition was found in the squatting position when the ankle joint was in the dorsiflexion condition. Therefore, the results suggest that it is more effective for a stroke patient in the initial phase, as characterized by having the problem of spasticity of the ankle plantar flexor, to apply squatting on the ground in the dorsiflexion condition rather than on flat ground for the purpose of inhibition. On the other hand, it is more effective to apply squatting on the ground in the ankle joint plantar flexion condition rather than on flat ground to increase overall muscle strength of the lower extremities.

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