Relationships between nicotine craving, orexin-leptin levels and temperament character traits among non-treatment seeking health professionals

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ABSTRACT

BACKGROUND: Repeated cigarette use in individuals generally occurs due to the craving for smoking. Orexin-leptin hormones and temperament character traits are thought to be important factors affecting nicotine craving.

OBJECTIVE: The aims of this study were to determine orexin-leptin blood levels, which appear in nicotine deprivation, in health professionals who were diagnosed as having tobacco use disorder in accordance with the Diagnostic and Statistical Manual of Mental Disorders, Fifth Edition (DSM-V) and to assess the temperament-character traits of the same group and to provide biologic and psychotherapeutic data for the treatment of tobacco abuse.

METHODS: The study sample consisted of faculty of medicine students and resident physicians who were smokers (n = 40). The control group comprised medical students and resident physicians who were non-smokers (n = 40). The Sociodemographic and Clinical Information Form, DSM-IV SCID-I Clinical Version, and Temperament and Character Inventory (TCI) were applied to both groups. Substance Craving Scale (SCS) and Fagerstrom Test for Nicotine Dependence were applied only smoking group. Blood samples were taken for plasma orexin and serum leptin levels for both groups.

RESULTS: The plasma orexin levels were lower in the smokers group (p < .001). No statistically significant relations were determined between the SCS and plasma orexin and serum leptin levels in the smoking group. No significant differences were determined between the leptin (U = 119.5, p = .33) and orexin (U = 99, p = .11) levels of the heavy and very heavy smokers. The total points of novelty seeking (NS), and NS3 and NS4 subscale points in the TCI of the smoking group (p = .003, p = .003, p = .002), and the self-directedness (SD) SD2 and SD5 (p = .02, p = .01) subscale points, and total cooperativeness points (TC), and C4 and CS subscale points (p = .001, p = .002, p = .001) of the non-smoking group were found as high.

CONCLUSIONS/IMPORTANCE: Differences were detected between the smokers and non-smokers in TCI scale subgroups and in terms of orexin levels. These results will greatly assist in the fight against craving that appears as a result of smoking cessation. Orexin appears to be more specific for nicotine craving than leptin. It seems more likely that the quest for the treatment of craving will continue through orexin. Temperament and personality traits are important for determining psychotherapeutic and supportive approaches to the release of tobacco and tobacco products. A number of studies investigating monoaminergic mechanisms indirectly related to orexin and leptin are required to research temperament, which has a greater genetic burden.

Introduction

Craving is recognized as a prominent feature of nicotine dependence [1,2]. It is temporarily suppressed by the administration of nicotine, but, over time, nicotine produces craving. It is commonly the first symptom of dependence and can occur within days of initiating smoking in individuals who have no tolerance and who report no symptoms of nicotine withdrawal [3]. It intensifies during nicotine withdrawal but can persist for years after other withdrawal symptoms have resolved [1].

Brain structures related to craving can be classified as orbitofrontal cortex, anterior cingulate cortex, prelimbic cortex, basolateral amygdala, nucleus accumbens, ventral tegmental area, and hypothalamic–pituitary–adrenal axis. It is claimed that the craving inhibitory system includes the mesolimbic system beginning from the ventral tegmental area and terminating in the nucleus accumbens [4]. Dopaminergic neurons in the VTF have a key role in the rewards system, and the release of dopamine in the nucleus accumbens is related with the use of many substances (ethanol, opioids, cannabinoids, phencyclidine, tolune, psychostimulants, and nicotine) [5–7].
Nicotinic acetylcholine receptors (nAChRs) exist extensively in the reward pathway. Through these nicotinic receptors, nicotine affects the activity of the dopamine reward pathway and increases extra-cellular dopamine levels in the nucleus accumbens, striatum, and frontal cortex, which is an expected result [8]. Thus, craving is inhibited by nicotine-induced dopamine release.

Research conducted to date has shown that orexin neurons are spread throughout a wide area in the brain. One of these areas is the dopaminergic neurons in the midbrain and VTF [9]. Orexin causes an excitatory effect in the VTF and directly stimulates cells that contain dopamine [10].

Several studies were conducted previously for the purpose of assessing the effects of nicotine on orexin and its receptors. In these studies, increases were reported in prepro-OX mRNA, OX receptor mRNA, and OX peptide expression after chronic and acute nicotine use [11]. It has been shown that the increase of orexin synthesis following nicotine uptake [12] and the increased levels of orexin increase dopamine release in the reward pathway [13]. As a consequence of the lack of nicotine, there is a decrease in the levels of orexin and dopamine, and it has been suggested that this begins the craving process [14].

Researchers also conducted studies to investigate the mesolimbic dopaminergic modulation of leptin and reported that leptin receptors were expressed in dopaminergic neurons in the VTF [15]. As a result of examinations of brain samples to assess the VTF, it was shown that leptin hyperpolarizes dopamine neurons and decrease the frequency of action potential formation [15]. It was also reported that extra-cellular dopamine levels decreased in the nucleus accumbens as a result of central infusion of leptin [16].

The psychobiologic personality hypothesis of Cloninger defines a four-dimensional temperament consisting of novelty seeking (NS), harm avoidance (HA), reward dependence (RD), and persistence (P). Existing evidence suggests that variation in each of these dimensions is highly correlated with activity in a specific monoaminergic pathway: NS with low basal dopaminergic activity, HA with high serotonergic activity, RD with low basal noradrenergic activity, and P with glutaminergic activity [17]. In many studies, NS has been associated with a variety of smoking behaviors such as tobacco use, smoking status, and nicotine addiction severity [18–21]. HA and RD were moderately related to the initiation of cigarette smoking and severity of nicotine addiction [18–20].

The aims of this study were to determine orexin-leptin blood levels, which appear in nicotine deprivation, in health professionals who were diagnosed as having tobacco use disorder in accordance with the Diagnostic and Statistical Manual of Mental Disorders, Fifth Edition (DSM-V) [22] and to assess the temperament-character traits of the same group and to provide biologic and psychotherapeutic data for the treatment of tobacco abuse.

We hypothesize that the decreased levels of serum orexin and the increased plasma leptin levels cause to increase the nicotine craving in smoking group. Also, we hypothesize that the smoking group has higher NS and HA subscales points than the non-smoking group, and that these higher points in these scales cause to increase in nicotine craving.

**Method and material**

**Sampling of the study**

The study population consisted of 1038 (1st grade, \( n = 227 \); 2nd grade, \( n = 185 \); 3rd grade, \( n = 208 \); 4th grade, \( n = 147 \); 5th grade, \( n = 152 \); 6th grade, \( n = 119 \)) faculty of medicine students of a university, and 230 resident physicians of the hospital of the same university. The sample size was calculated using power analysis (G*Power 3.0.10 programme). A sample size of 39 participants per group was determined based on a power of 70%, one-tailed and an effect size \( d = 0.50 \) (\( d = \text{effect size} \) of \( \alpha = 0.05 \)). The study was conducted on 40 participants in each group.

**Inclusion criteria**

The inclusion criteria for the smoking group were as follows:

To comply with the DSM-V tobacco use disorder diagnosis criteria, being aged between 18–65 years, having high-addiction according to the Fagerstrom Test for Nicotine Dependence (FTND) [23] criteria (6–7 points) and very high level addiction (8–10 points), agreeing to participate in the study, and providing written consent for the study. In order to exclude accompanying psychiatric diagnoses, the Structured Clinical Interview for DSM-IV Axis I Disorders (SCID-I) [24] clinical version was applied.

The inclusion criteria for the non-smoking group:

The control (non-smoking) group consisted of participants who matched the smoking group in terms of number, age, sex, body mass index (BMI), and educational status, and who studied at the same medicine faculty and hospital.

**Exclusion criteria**

The exclusion criteria for the smoking group were as follows:

Alcohol or substance use disorder (according to DSM-V criteria), having one of the other DSM-V diagnoses within the past 6 months, pregnancy, having received psychotropic medication within the past 6 months, having any of the life-long neurologic diseases, sleep-wake cycle disorders.
The exclusion criteria for the non-smoking group were as follows:

In addition to the exclusion criteria of the smoking group, individuals who have any history of smoking in their lifetime were excluded.

**Clinical evaluation tools**

**Sociodemographic and Clinical Information Form**

This form was prepared to capture the participants’ sociodemographic data, resume, and family history. This form was formulated by the researcher and questioned: sex, marital status, age, body weight, height, duration of education, place of birth, place of growing up, duration of smoking, parental cigarette use characteristics, night total sleep duration, and presence of psychiatric illness.

**Substance Craving Scale**

The Substance Craving Scale (SCS) is a 5-item question form based on self-declaration [25]. The Turkish version of the scale was prepared by Evren et al. [26] both for alcohol and for other substances. Each item is assessed with points between 0 and 6. In this way, the total craving point has a maximum of 30. The Cronbach alpha value was found as 0.84 for the whole craving scale. It was determined that the corrected item-total correlation values for each item ranged between 0.75 and 0.82.

**Temperament and Character Inventory**

The Temperament and Character Inventory (TCI) consists of 240 items and is a self-assessment scale in which the answers are given as “true” or “false.” The validity and reliability of the scale were performed by Köse et al. [27]. Cronbach alpha values were found as 0.60 and 0.85 in the temperament dimension, and 0.82 and 0.83 in the character dimension. The lowest Cronbach alpha coefficients were determined in RD (0.60) and perseverance (0.62).

**Data collection**

The local ethics board was contacted and permission was received for the study. The local ethics board approval date: 14.01.2015 and number: 20478486-19. The study process was completed between March 2015 and May 2016.

**Statistical analysis**

The SPSS for Windows version 17.0 statistical package program was used in the statistical analyses. First, descriptive statistics were made in analyses of the data. The Chi-square test was used for categorical variables, t-test and variance analysis were used for independent groups in numeric variables (when

The flow chart of the study process.
normal distribution conditions were not met, the Mann–Whitney U and Kruskal–Wallis tests were used).

Dependent and independent variables are listed below:

- Dependent Variable: Smoking status (smokers/non-smokers).
- Independent Variables: Sociodemographic and Clinical Characteristics, Temperament and Character Traits, Plasma Leptin and Orexin Levels.

Results

The sociodemographic and clinical features of the study groups’ characteristics are provided in Table 1.

Table 2 shows the statistically significant differences between the smokers and non-smokers in the TCI subscales. There was a moderate positive correlation between smoking duration and NS3 ($r = 0.33$, $p = .003$) and a low correlation between NS-total ($r = 0.29$, $p = .009$).

The mean plasma orexin and serum leptin levels of the smokers and non-smokers are given in Table 3.

The serum leptin levels were higher in the female participants. The serum leptin levels differed significantly in the non-smoking group ($U = 50$, $p < .001$) and in the smoking group ($U = 72$, $p = .002$) between men and women ($U = 50$, $p < .001$).

The distribution of the heavy and very heavy smokers (as per the FTND) according to the orexin and leptin

| Table 1. Sociodemographic and clinical characteristics of the study groups. |
|-----------------------------|-----------------------------|-----------------------------|
| Smoking group | Non-smoking group | $p$  |
| n = 40 | n = 40 |  |
| Age (mean)$^a$ | 24.60 ± 3.10 | 23.87 ± 2.57 | .259 |
| Body mass index (mean)$^a$ | 24.50 ± 3.98 | 23.51 ± 2.90 | .211 |
| Smoking pack-years for mother (mean)$^a$ | 5.44 ± 8.90 | 1.61 ± 5.61 | .024* |
| Smoking pack-years for father (mean)$^a$ | 14.18 ± 19.05 | 10.45 ± 21.60 | .41 |
| Night sleep time (mean hour)$^a$ | 3.62 ± 0.80 | 3.77 ± 0.57 | .342 |
| Sex$^b$ | | | |
| Male | 26 | 65 | 26 | 65.0 | .999 |
| Female | 14 | 35 | 14 | 35.0 | |
| Marital status$^b$ | | | |
| Single | 36 | 90 | 36 | 90.0 | .999 |
| Married | 4 | 10 | 4 | 10.0 | |
| Profession$^b$ | | | |
| Resident physicians | 16 | 40 | 9 | 29.0 | .091 |
| Medical students | 24 | 60 | 31 | 71.0 | |
| Place of birth$^b$ | | | |
| Village | 2 | 5 | 4 | 10 | .493 |
| District | 14 | 35 | 10 | 25 | |
| City | 24 | 60 | 26 | 65 | |

| Place of growing up$^b$ | | | |
| Village | 2 | 5 | 1 | 2.5 | .524 |
| District | 8 | 20 | 12 | 30 | |
| City | 30 | 75 | 27 | 67.5 | |

| Psychiatric disorder background$^d$ | | | |
| Yes | 10 | 25 | 4 | 10 | .077 |
| No | 30 | 75 | 36 | 90 | |
| Chronic disorder background except psychiatric disorder$^b$ | | | |
| Yes | 2 | 5 | 4 | 10 | .675 |
| No | 38 | 95 | 36 | 90 | |

$^a$Independent sample t-test, $^b$Chi-square test for independence, $^c$ Independent sampling t-test, $^*p < .05$.

| Table 2. Temperament and character traits of the study groups. |
|-----------------------------|-----------------------------|
| Smokers (n = 40) | Non-smokers (n = 40) |
| Mean ± SD | Mean ± SD | $p^*$ |
| NS3 | 6.10 ± 2.01 | 4.90 ± 1.41 | .003** |
| NS4 | 5.72 ± 1.70 | 4.52 ± 1.66 | .002** |
| NS-Total | 22.67 ± 4.85 | 19.07 ± 5.48 | .003** |
| HA2 | 3.70 ± 1.97 | 4.52 ± 1.69 | .049** |
| SD2 | 5.27 ± 2.07 | 6.22 ± 1.60 | .02** |
| SD5 | 8.35 ± 2.24 | 9.65 ± 2.40 | .01** |
| C1 | 5.50 ± 1.76 | 6.47 ± 2.50 | .04** |
| C4 | 5.00 ± 2.82 | 7.10 ± 2.99 | .002** |
| C5 | 5.65 ± 1.70 | 7.02 ± 1.73 | .001** |
| C-Total | 24.15 ± 6.36 | 29.57 ± 7.11 | .001** |

$^*$Independent sampling t-test, $^**p < .05$.

| Table 3. Plasma leptin and orexin levels of the groups. |
|-----------------------------|-----------------------------|-----------------------------|
| Smokers (n = 40) | Non-smokers (n = 40) | $p$  |
| Mean ± SD | Mean ± SD |  |
| Leptin | 7.34 ± 5.24 ng/mL | 7.71 ± 4.40 ng/mL | .73 |
| Orexin | 1.50 ± 0.50 ng/mL | 2.17 ± 0.48 ng/mL | <.001** |

$^*$Independent sampling t-test, $^**p < .05$.

The relations between plasma orexin and serum leptin levels and SCS in the smoking group

No statistically significant relations were determined between the SCS and plasma orexin and serum leptin levels in the smoking group.

The relation between TCI and craving

The distribution of the heavy and very heavy smokers (as per the FTND) according to the orexin and leptin
levels is given in Table 4. No significant differences were determined between the leptin ($U = 119.5, p = .33$) and orexin ($U = 99, p = .11$) levels of the heavy and very heavy smokers.

**Discussion**

**Sociodemographic and clinical features**

This was the first study to evaluate the orexin-leptin levels, which are affected by smoking status, and the TCI among smokers and non-smokers.

It was shown that there were decreases in the number of orexin neurons and in the amount of the OX-A receptors in cerebrospinal fluid during the ageing process [29]. There were no statistical differences in terms of age among the groups, which is important in terms of the comparison of orexin-A levels obtained from the blood samples. Again, it was reported that there were no differences between the sexes in terms of orexin-A levels [30]. In several studies conducted on leptin levels, it was considered that leptin levels were higher in women and the reason for this was estrogen [31]. The fact that there were no differences between the groups in terms of sex has increased the reliability of the statistics on leptin.

No significant differences were determined between the groups in terms of BMI. When one considers that leptin is especially related with BMI and body fat rate, the lack of significant difference between the groups becomes more important.

It is well known that orexin is the main regulator that ensures sleep-wake balance [32]. The lack of difference between the groups in terms of sleep duration increases the reliability of the study.

**Plasma orexin and serum leptin levels**

In the present study, the mean plasma orexin level values obtained as a result of the nicotine deprivation of the smokers were significantly low when compared with the non-smokers. There is only one similar study in the literature, and the mean orexin level in the smoking group was found to be low; however, this was not found to be statistically significant [14]. It was claimed that orexin levels decreased after the deprivation of nicotine and dopamine release decreased, thus starting the craving process [14].

Plaza-Zabala et al. [33] conducted a study on animal models and showed that rats that had orexin gene deletion and were treated with the Orexin-A receptor antagonist SB-334867 had less nicotine withdrawal symptoms. In the same study, increases were observed in nicotine search behaviors as a result of intracerebroventricular orexin application, and this increase was blocked with SB-334867. In another study, a significant decrease was determined in ethanol consumption as a result of administering the Orexin-A receptor antagonist, GSK1059865, in ethanol-dependent rats [34].

In light of these data, it seems that the importance of future studies on orexin-A receptor antagonists for search and withdrawal symptoms for nicotine and other substances will increase. When all the literature data are considered, our study resulted with a decrease of orexin levels in smokers in the craving period, which was an expected result.

The average serum leptin level obtained as a result of nicotine deprivation in the smoker group was lower than in the non-smoker group. However, no statistically significant differences were determined. Only four studies assessed the relation of serum leptin and craving among smokers [12,14,35,36]. Only in the study conducted by Von der Goltz et al. [14] was a control group used, and although serum leptin levels were found to be lower than in the smokers group, the difference was not found to be statistically significant. In addition to the addiction process, leptin is an important risk factor for relapse in smokers during the critical cigarette smoking cessation process [37].

As it was reported and discussed in other studies, the sample group in this study consisted of a small number of individuals [14,38–40]. However, increasing leptin levels were found to be related with relapse in studies that were conducted with more than one hundred participants [41,42], and it was claimed that leptin levels could be biologic markers for relapse in women [41].

In the study, the lower level of leptin in the control group during the withdrawal period may be related to the presence of many other factors affecting the metabolism of peripheral leptin. For this reason, unlike previous studies, it seems important to reexamine leptin in terms of the presence of biologic markers for relapse in patients with deprivation.

**Temperament and character traits**

A significant difference was determined between the smokers and non-smokers in terms of NS-total points. This result is consistent with previous studies [18,19,43]. This result is also in agreement with the findings of smokers’ high cigarette seeking sense score [44,45], substance abusers with high NS scores, and type 2 alcohol-dependents [43,46]. Individuals seeking novelty are more likely to encounter tobacco in their
surroundings than individuals who seek less stimulus. In addition, they may find smoking more rewarding, they respond more to the effects of nicotine, which enhances temperament and ensures wakefulness.

The HA2 subscale points were found lower in smokers. Similar results were shown in some other studies in which substance use in teenagers was assessed [43,47], and in several other studies [18,19], non-smokers in the present study may have been displaying risk behaviors without considering their health. These results suggest that the behavioral inhibition system and serotonin are the place in the etiology and treatment of abuse of tobacco and tobacco products.

Although studies on smoking and the TCI have generally studied temperament traits, character traits have not been studied extensively [20,48]. In these studies, it was revealed that SD subscale points were higher in non-smokers. In the present study, the SD2 and SD5 sub-scale points were determined to be lower in smokers. These results indicate to physicians that individuals with low scores on this subscale require more support for coping with withdrawal symptoms.

In the present study, total C, and C1, C4, and C5 scores were higher in the control group than in the smoking group. In the study conducted by Etter et al. [20], it was reported that those who had stopped smoking previously had higher C points when compared with those who just stopped smoking. This result showed how important social support was for the efforts to quit smoking. The smoking group in our study consisted of heavy and very heavy smokers, and one of the underlying causes of their heavy smoking maybe being less prone to cooperativeness.

**The relation between plasma orexin-serum leptin levels and nicotine craving**

We found no statistically significant result between orexin-leptin levels and the substance abstinence scale. The SCS used in our study. There are a total of 5 items on the scale of substance abuse. Nicotine dependence may not have been sufficiently evaluated. For this reason, this study may not have any relationship between orexin and leptin levels and nicotine craving.

There is only one study in the literature that examined the relationship between nicotine craving and orexin. In a study by Von der Goltz et al. [14], there was a negative correlation between plasma orexin levels and nicotine craving, and a positive correlation with serum leptin levels. In that study, the Questionnaire of Smoking Urges was used. There are 32 items in this questionnaire and 2 subscales; one evaluates intention and desire to smoke, and anticipation of pleasure from smoking, and the other primarily evaluates the anticipation of relief from the negative effect and nicotine withdrawal, and the urgent and overwhelming desire to smoke.

**Limitations**

The study has some limitations. First, dopamine levels were not quantitatively demonstrated simultaneously with plasma orexin-leptin levels. It is also thought that variations in dopaminergic genes may have an effect on craving. For this reason, genetic and in vivo studies will become even more important in the future. Another limitation may be the evaluation of peripheral blood for the orexin produced in the central nervous system. However, it is known that orexin can pass the blood–brain barrier through passive diffusion. Among other limitations medium reliability of FTND, non-treatment seeking population, reporting and recall bias, floor effects, desirability bias, single-center and cross-sectional design, shared method variance can be considered.

**Conclusions**

Orexin and leptin are claimed to be effective in the craving stage in many substance use disorders, as well as tobacco use disorders. Differences were detected between the smokers and non-smokers in TCI scale subgroups and in terms of orexin levels. These results will greatly assist in the fight against craving that appears as a result of smoking cessation. Orexin appears to be more specific for nicotine craving than leptin. It seems more likely that the quest for the treatment of craving will continue through orexin. Temperament and personality traits are important for determining psychotherapeutic and supportive approaches to the release of tobacco and tobacco products. A number of studies investigating monoaminergic mechanisms indirectly related to orexin and leptin are required to increase temperament, which has a greater genetic burden.

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