A SPATIALLY RESOLVED INNER HOLE IN THE DISK AROUND GM AURIGAE

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Abstract

We present 0.3 resolution observations of the disk around GM Aurigae with the Submillimeter Array (SMA) at a wavelength of 860 μm and with the Plateau de Bure Interferometer at a wavelength of 1.3 mm. These observations probe the distribution of disk material on spatial scales commensurate with the size of the inner hole predicted by models of the spectral energy distribution (SED). The data clearly indicate a sharp decrease in millimeter optical depth at the disk center, consistent with a deficit of material at distances less than ~20 AU from the star. We refine the accretion disk model of Calvet et al. based on the unresolved SED and demonstrate that it reproduces well the spatially resolved millimeter continuum data at both available wavelengths. We also present complementary SMA observations of CO J = 3–2 and J = 2–1 emission from the disk at 2″ resolution. The observed CO morphology is consistent with the continuum model prediction, with two significant deviations: (1) the emission displays a larger CO J = 3–2/J = 2–1 line ratio than predicted, which may indicate additional heating of gas in the upper disk layers; and (2) the position angle of the kinematic rotation pattern differs by 11° ± 2° from that measured at smaller scales from the dust continuum, which may indicate the presence of a warp. We note that photoevaporation, grain growth, and binarity are unlikely mechanisms for inducing the observed sharp decrease in opacity or surface density at the disk center. The inner hole plausibly results from the dynamical influence of a planet on the disk material. Warping induced by a planet could also potentially explain the difference in position angle between the continuum and CO data sets.

Key words: circumstellar matter – planetary systems: protoplanetary disks – stars: individual (GM Aurigae)

Online-only material: color figures

1. INTRODUCTION

Understanding of the planet formation process is intimately tied to knowledge of the structure and evolution of protoplanetary disks. Of particular importance is how and when in the lifetime of the disk its constituent material is cleared, which provides clues to how and when planets may be assembled. While observations suggest that the inner and outer dust disk disperse nearly simultaneously (e.g., Skrutskie et al. 1990; Wolf & Walter 1996; Andrews & Williams 2005), it is not clear which physical mechanism(s) drives this process, or the details of how it progresses. Possible dispersal mechanisms, of which several may come into play over the lifetime of a disk, include a drop in dust opacity due to grain growth (e.g., Strom et al. 1989; Dullemond & Dominik 2005), photoevaporation of material by energetic stellar radiation (e.g., Clarke et al. 2001), photophoretic effects of gas on dust grains (Krauss & Wurm 2005), inside-out evacuation via the magnetoRotational instability (Chiang & Murray-Clay 2007), and the dynamical interaction of giant planets with natal disk material (e.g., Lin & Papaloizou 1986; Bryden et al. 1999). Observing the distribution of gas and dust in disks allows us to evaluate the roles of these disk clearing mechanisms.

One particular class of systems, those with “transitional” disks (e.g., Strom et al. 1989; Skrutskie et al. 1990), have become central to our understanding of disk clearing. These disks exhibit a spectral energy distribution (SED) morphology with a deficit in the near- to mid-infrared excess over the photosphere consistent with a depletion of warm dust near the star. The advent of the Spitzer Space Telescope has allowed detailed measurement of mid-infrared spectra with unprecedented quality and quantity. Combined with simultaneous advances in disk modeling that can now reproduce in detail the SED features (e.g., D’Alessio et al. 1999, 2001; Dullemond et al. 2002; D’Alessio et al. 2006), these observations have revolutionized the study of disk structure. However, such studies rely entirely on SED deficits whose interpretations are not unique, since effects of geometry and opacity can mimic the signature of disk clearing (Boss & Yorke 1996; Chiang & Goldreich 1999).

Spatially resolved observations are crucial for confirming the structures inferred from disk SEDs. High-resolution imaging at millimeter wavelengths is especially important because dust opacities are low, and the disk mass distribution can be determined in a straightforward way for an assumed opacity. Millimeter observations also avoid many of the complications present at shorter wavelengths, including large optical depths, spectral features, and contrast with the central star. Several recent millimeter studies have resolved inner emission cavities for disks with infrared SED deficits through direct imaging observations, e.g., TW Hya (Calvet et al. 2002; Hughes et al. 2007), LkHor 330 (Brown et al. 2007, 2008), and LkCa 15 (Piétu et al. 2007; Espaillat et al. 2008). These observations unambiguously
associate infrared SED deficits with a sharp drop in millimeter optical depth in the disk center. More information is needed to determine whether the low optical depth is a result of decreased surface density or opacity.

GM Aurigae is a prototypical example of a star host to a “transitional” disk. The \( \sim 1-5 \) Myr old Taurus star (Simon & Prato 1995; Gullbring et al. 1998) of spectral type K5 is located at a distance of 140 pc in the Taurus-Auriga molecular complex (Bertout & Genova 2006), and its brightness and relative isolation from intervening cloud material have enabled a suite of observational studies of its disk properties. The presence of circumstellar dust emitting at millimeter wavelengths was first inferred by Weintraub et al. (1989), and the disk structure was subsequently resolved in the \( ^{13}\text{CO} \ J = 2-1 \) transition by Koerner et al. (1993). Their arcsecond-resolution mapping of the gas disk revealed gaseous material in rotation about the central star. Assuming a Keplerian rotation pattern allowed a determination of the dynamical mass for the central star of \( 0.8 \ M_\odot. \) Further modeling of the structure and dynamics of the disk was carried out by Dutrey et al. (1998), using higher-resolution \( ^{13}\text{CO} \ J = 2-1 \) observations. Scattered light images revealed a dust disk inclined by \( \sim 50^\circ \) extending to radii \( \sim 300 \) au from the star (Stapelfeldt & The WFPC2 Science Team 1997; Schneider et al. 2003).

Efforts to model the SED of GM Aurigae have long indicated the presence of an inner hole, and estimates of its size have grown over the years as the quality of data and models have improved. In the early 1990s, the low \( 12 \mu \text{m} \) flux led to \( \sim 0.5 \) au estimates of the inner disk radius (Marsh & Mahoney 1992; Koerner et al. 1993). That value was later increased to \( 4.8 \) au by Chiang & Goldreich (1999) in the context of hydrostatic equilibrium models, and a putative planet at a distance of \( 2.5 \) au from the star was shown to be clear of an inner hole of this extent using simulations of the relevant hydrodynamics (Rice et al. 2003). With the aid of a ground-based mid-IR spectrum, Bergin et al. (2004) increased the gap size estimate to \( 6.5 \) au, and subsequently Calvet et al. (2005) inferred an inner hole radius of \( 24 \) au using a Spitzer IRS spectrum in combination with sophisticated disk structure models. Recently, Dutrey et al. (2008) have argued for a \( 19 \pm 4 \) au inner hole in the gas distribution, using combined observations of several different molecular line tracers. Like the SED-based measurements, their method is indirect: they use a model of the disk in Keplerian rotation to associate a lack of high-velocity molecular gas with a deficit of material in the inner disk.

We present interferometric observations at \( 860 \mu \text{m} \) from the Submillimeter Array\(^7\) and \( 1.3 \) mm from the Plateau de Bure Interferometer\(^8\) that probe disk material on scales commensurate with the \( 24 \) au inner disk radius inferred from the SED. These data allow us to directly resolve the inner hole in the GM Aur disk for the first time. We describe the observations in Section 2 and present the dual-wavelength continuum data in Section 3.1. We also present observations of the molecular gas disk in the \( \text{CO} \ J = 3-2 \) and \( J = 2-1 \) lines that allow us to study disk kinematics in Section 3.2. We use these data to investigate disk structure in the context of the SED-based models of Calvet et al. (2005), described in Section 4. Implications for the disk structure and evolutionary status are discussed in Section 5.

2. OBSERVATIONS AND DATA REDUCTION

The GM Aur disk was observed with the 8-element (each with a 6 m diameter) Submillimeter Array (SMA; Ho et al. 2004) in the very extended (68–509 m baselines) and compact (16–70 m baselines) configurations on 2005 November 5 and 26, respectively. Observing conditions on both nights were excellent, with \( \sim 1 \) mm of precipitable water vapor and good phase stability. Double sideband receivers were tuned to a central frequency of \( 349.935 \) GHz (860 \( \mu \text{m} \)), with each \( 2 \text{GHz} \)-wide sideband centered \( \pm 5 \) ghz from that value. The SMA correlator was configured to observe the \( \text{CO} \ J = 3-2 \) (345.796 GHz) and HCN \( J = 4-3 \) (354.505 GHz) transitions with a velocity resolution of \( 0.18 \) km s\(^{-1}\). No HCN was detected, with a \( 3\sigma \) upper limit of \( 0.9 \) Jy beam\(^{-1}\) in the \( 2^\circ \times 1^\circ 9 \) synthesized beam. The observing sequence alternated between GM Aur and the two gain calibrators 3C 84 and 3C 111. The data were edited and calibrated using the MIR software package. The passband response was calibrated using observations of Saturn (compact configuration) or the bright quasars 3C 273 and 3C 454.3 (extended configuration). The amplitude scale was determined by bootstrapping observations of Uranus and these bright quasars, and is expected to be accurate at the \( \sim 10\% \) level. Antenna-based gain calibration was conducted using 3C 111, while the 3C 84 observations were used to check on the quality of the phase transfer. We infer that the “seeing” induced on the very extended observations by phase noise and small baseline errors is small, \( \lesssim 0.1 \). Wideband continuum channels from both sidebands and configurations were combined. The derived 860 \( \mu \text{m} \) flux of GM Aur is 640 \( \pm 60 \) mJy.

Additional SMA observations in the extended (28–226 m) and subcompact (6–69 m baselines) configurations were conducted on 2006 December 10 and 2007 September 14, respectively, with a central frequency of \( 224.702 \) GHz (1335 \( \mu \text{m} \)). While the subcompact observations were conducted in typical weather conditions for this band (2.5 mm of water vapor), the extended data were obtained in better conditions similar to those for the higher frequency observations described above. The correlator was configured to simultaneously cover the \( J = 2-1 \) transitions of \( \text{CO} \ (230.538 \text{GHz}), \) \( ^{13}\text{CO} \ (220.399 \text{GHz}), \) and \( ^{12}\text{CO} \ (219.560 \text{GHz}) \) with a velocity resolution of \( \sim 0.28 \) km s\(^{-1}\). The calibrations were performed as above.

GM Aurigae was also observed with the 6-element (each with a 15 m diameter) Plateau de Bure Interferometer (PdBI) in the A configuration (up to 750 m baselines) on 2006 January 15. Observing conditions were excellent, with atmospheric phase noise generating a seeing disk of \( \lesssim 0.2 \). The PdBI dual-receiver system was set to observe the 110.201 GHz (2.7 mm) and 230.538 GHz (1.3 mm) continuum simultaneously. As with the SMA data, observations alternated between GM Aur and two gain calibrators, 3C 111 and J0528+134. The data were edited and calibrated using the GILDAS package (Pety 2005). The passband responses and amplitude scales were calibrated with observations of 3C 454.3 and MWC 349, respectively. The derived 1.3 and 2.7 mm fluxes of GM Aur are \( 180 \pm 20 \) and 21 \( \pm 2 \) mJy.

The standard tasks of Fourier inverting the visibilities, deconvolution with the CLEAN algorithm, and restoration with a synthesized beam were conducted with the MIRIAD software.

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\(^8\) Based on observations carried out with the IRAM Plateau de Bure Interferometer. IRAM is supported by INSU/CNRS (France), MPG (Germany), and IGN (Spain).

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9 See http://cfa-www.harvard.edu/~cqi/mircook.html.
package. A high spatial resolution image of the 860 μm continuum emission from the SMA data was created with a Briggs robust = 1.0 weighting scheme for the visibilities, excluding projected baselines ≤ 70 kλ, resulting in a synthesized beam FWHM of 0″.30 × 0″.24 at a position angle of 34°. A similar image of the 1.3 mm continuum emission with a synthesized beam FWHM of 0″.35 × 0″.30 at a position angle of 35° was generated from the PdBI data using natural weighting (robust = 2.0). Table 1 summarizes the line and continuum observational parameters.

3. RESULTS

3.1. Millimeter Continuum Emission

Figure 1 shows the results of the SMA and PdBI continuum observations in both the image and Fourier domains. The presence of an inner hole in the GM Aur disk, as predicted by models of the SED, is clearly indicated both by the double-peaked emission structure in the image and by the null in the visibility function. The double-peaked emission structure points to the presence of an inner hole in the GM Aur disk, as predicted by models of the SED, is clearly indicated both by the double-peaked emission structure in the image and by the null in the visibility function. The null position and Equation (A9) from Hughes et al. (2007):

$$R_{\text{null}}(k\lambda) = \left(1 \text{AU}/R_{\text{hole}}(L_{\text{source}}/100 \text{pc})\right)^{2} \times 10^{5} (p + q).$$

A polynomial curve fit to the visibilities yields a null position of 190 kλ at 860 μm and 224 kλ at 1.3 mm, which correspond to inner hole radii of 31 and 26 AU, respectively. However, these estimates are uncertain to within ~30%, as the data are consistent with a broad range of null positions. We therefore turn to a more sophisticated modeling procedure described in Section 4.1 below.

3.2. CO Channel and Moment Maps

Figures 2–4 display the new SMA observations of CO emission from the GM Aur disk. Figures 2 and 3 show channel maps with contours starting at twice the rms noise level and increasing by factors of √2, while Figure 4 displays the zeroth (contours) and first (color) moments of the data: these are the velocity-integrated intensity and intensity-weighted velocities, respectively. The peak flux density is 6.7 ± 0.3 Jy beam⁻¹ in the CO $J = 3–2$ line and 2.4 ± 0.1 Jy beam⁻¹ in the CO $J = 2–1$ line, with integrated fluxes of 9.4 Jy km s⁻¹ and 21.2 Jy km s⁻¹, respectively (although emission from extended ambient cloud material is likely to increase the CO $J = 2–1$ integrated flux over that originating from the disk alone). The

| Parameter | 12CO $J = 3–2$ | 12CO $J = 2–1$ | Continuum |
|-----------|----------------|----------------|-----------|
| Rest Frequency (GHz) | 345.796 | 230.538 | 349.935 |
| Channel Width | 0.18 km s⁻¹ | 0.28 km s⁻¹ | 2 × 2 GHz |
| Beam Size (FWHM) | 2″ × 1″⁹ | 2″ × 1″¹⁴ | 0″.30 × 0″.24 |
| P.A. | 56° | 34° | 35° |
| RMS noise (mJy beam⁻¹) | 510 | 30 | 3.5 |
| Peak Flux Density (mJy beam⁻¹) | 670 ± 300 | 2400 ± 100 | 59 ± 4 |
| Integrated Continuum Flux (mJy) | 29 | 37 | 640 ± 60 |
| Integrated Line Intensity (Jy km s⁻¹) | – | – | 180 ± 20 |

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The size of the inner hole can be roughly estimated by the separation of the emission peaks, although the peak separation will also depend on the brightness of the directly illuminated inner edge of the outer disk relative to the extended disk component (Hughes et al. 2007). The separation of the peaks in the 860 μm image is 0″.38 ± 0″.03, corresponding to a physical diameter of 53 ± 4 AU (radius 27 ± 2 AU) at a distance of 140 pc. A position angle of 66° is estimated by the orientation of a line that bisects the two peaks, although a more robust value of 64° ± 2° is derived in Section 4.1 below. Since the peaks are not distinctly separated in the 1.3 mm image, the same estimate cannot be made, but the position angle is clearly consistent with that derived from the 860 μm visibilities and indicated by the perpendicular dotted lines in Figure 1.
Figure 1. Continuum emission from the disk around GM Aur at wavelengths of 860 \( \mu \text{m} \) observed with the SMA (top) and 1.3 mm observed with PdBI (bottom). The data are displayed in both the image (a) and Fourier (b) domains. In the image domain (a), the observed brightness distribution at each wavelength (left) is compared with the model prediction (center; see Section 4.1 for model details), and the residuals are also shown (right). In the data and model frames, the contours are \([3, 6, 9, \ldots] \times \) the rms noise (3.5 mJy beam\(^{-1}\) at 860 \( \mu \text{m} \) and 0.75 mJy beam\(^{-1}\) at 1.3 mm). In the residual frame, the contours start at 2\( \sigma \) and are never greater than 3\( \sigma \). The synthesized beam sizes and orientations for the two maps are, respectively, 0\( \prime\prime\) 30 \( \times \) 0\( \prime\prime\) 24 at a position angle of 34\( ^{\circ} \) and 0\( \prime\prime\) 43 \( \times \) 0\( \prime\prime\) 30 at a position angle of 35\( ^{\circ} \).

Two sets of axes are shown: the dotted line indicates the position angle of the double-peaked continuum emission, while the solid line indicates the best-fit position angle of the CO emission (see Section 3.2 for details). In the Fourier domain (b), the visibilities are averaged in bins of deprojected \( u-v \) distance from the disk center, and compared with the model prediction (red line). The inner hole in the GM Aur disk is clearly observed at both wavelengths, as a double-peaked emission structure in the image domain or as a null in the visibility function in the Fourier domain.

(A color version of this figure is available in the online journal.)

Figure 2. Channel maps of CO \( J = 3–2 \) emission from the GM Aur disk. Contour levels start at 0.61 Jy (2 times the rms noise) and increase by factors of \( \sqrt{2} \) LSR velocity is indicated by color and quoted in the upper right of each panel. The synthesized beam (2\( \prime\prime\) 2 \( \times \) 1\( \prime\prime\) 9 at a P.A. of 14\( ^{\circ} \)) and physical scale are indicated in the lower left panel. Two sets of axes are shown: the dotted line indicates the position angle of the double-peaked continuum emission, while the solid line indicates the best-fit position angle of the CO emission.

(A color version of this figure is available in the online journal.)

Channel and moment maps are broadly consistent with the expected kinematic pattern for material in Keplerian rotation about the central star, substantially inclined to our line of sight (as in Dutrey et al. 1998; Simon et al. 2000).

The short-baseline spatial frequencies in the \((u, v)\) plane provided by the subcompact configuration of the SMA during our observations of the \( J = 2–1 \) transition are sensitive to emission on the largest spatial scales. These short antenna spacings reveal the severity of the cloud contamination to an extent not possible with previous data. The contamination is evident as an extended halo around the disk emission in the central channels of the \( J = 2–1 \) channel maps near LSR velocities of 5–6 km s\(^{-1}\) (Figure 3). It is also evident in the moment map (Figure 4) as an elongation of emission near the systemic velocity (green-yellow) to the northwest along the disk minor axis. This contamination indicates that caution must be exercised when deriving kinematic information from the CO lines, particularly the central channels. Spatial filtering by the interferometer does not ameliorate cloud contamination in an abundant, easily excited, high-optical depth tracer like...
CO $J = 2–1$. The $J = 3–2$ line appears less contaminated than $J = 2–1$ (Figures 2 and 4), although similarly short antenna spacings (8–43 m) are not present in this data set. Nevertheless, we expect less cloud contamination in the $J = 3–2$ transition, since the temperature of the cloud will be lower than that of the disk and will therefore populate the upper rotational levels of the CO molecule less efficiently. The cloud contamination prevents detection of self-absorption in the central channels of the CO $J = 2–1$ channel maps along the near (northwest) edge of the disk (as determined by scattered light observations; see Schneider et al. 2003). Dutrey et al. (1998) report self-absorption along the southeast edge, but our observations suggest that this brightness asymmetry may be due to cloud contamination. It is also possible that the contamination is due to a residual envelope, although we are unable to determine the large-scale structure of the extended line emission with our interferometric data.

In all figures, the disk orientation based on the position angle of $64^\circ$ derived from the continuum emission (Figure 1 and Section 4.1) is plotted over the CO emission as a set of crossed dotted lines, with the relative extent of the major and minor axes (based on the inclination angle of $55^\circ$) indicated by the length of the perpendicular lines. The position angle of $51^\circ$ derived from fitting the CO $J = 2–1$ emission, consistent with our own $J = 3–2$ and $J = 2–1$ observations, is illustrated by the solid line. Note that the position angle of the CO emission differs slightly from the position angle of the continuum emission, by $11^\circ \pm 2^\circ$ (see Section 4.1). The trend is clear for both transitions, but more obvious in the less-contaminated $J = 3–2$ transition. Note that the position angle for the CO emission is derived entirely from the rotation pattern (evident in the isovelocity contours) and not from the geometry of the integrated CO emission: the integrated emission appears to match the position angle from the continuum emission reasonably well. We do not observe the isophote twisting in integrated CO emission seen by Dutrey et al. (1998). The cloud contamination and differences in antenna spacings may play a role.

### 4. DISK STRUCTURE MODELS

#### 4.1. Updated SED Model

Here we revisit the broadband SED modeling of GM Aur presented by Calvet et al. (2005). Taking into consideration new observational constraints at submillimeter and millimeter wavelengths, we use the irradiated accretion disk models of D’Alessio et al. (2005, 2006) to re-derive the properties of the outer disk of GM Aur and its inner, truncated edge or “wall.” Our grain-size distribution follows a power-law of $a^{-3.5}$, where $a$ is the grain radius. We assume interstellar medium (ISM)-sized grains in the upper layers of the disk and accordingly adopt $a_{\text{min}} = 0.005 \mu m$ and $a_{\text{max}} = 0.25 \mu m$ (Draine & Lee 1984). Closer to the disk midplane grains have a maximum size of 1 mm. Input parameters for the outer disk include the stellar properties, the mass accretion rate, the viscosity parameter ($\alpha$), and the settling parameter ($\epsilon$) which measures the dust-to-gas mass ratio in the upper layers of the disk relative to the standard dust-to-gas mass ratio. Following Calvet et al. (2005), we adopt the same extinction, distance, inclination, dust grain opacities, and stellar properties (i.e., luminosity, radius, and temperature; see Table 2). We use a mass accretion rate of $7.2 \times 10^{-9} M_\odot \text{ yr}^{-1}$ which was derived using HST STIS spectra by Ingleby & Calvet (2009), in contrast to the value of $10^{-8} M_\odot \text{ yr}^{-1}$ derived from veiling measurements in Calvet et al. (2005). We assume an outer disk radius of 300 AU, which matches the observed extent of scattered light from the dust disk (Schneider et al. 2003) and previous fits to the continuum emission (Hughes et al. 2008), as well as the short-baseline data presented here.

In order to reproduce the outer disk component of the SED, we vary $\epsilon$ and $\alpha$ (Figure 5). As described in (Calvet et al. 2005), $\alpha$ effectively determines the mass surface density distribution and therefore the disk mass, which is best reflected by the longest-wavelength SED points. The value of $\epsilon$ has the greatest effect on the slope of the SED beyond 100 $\mu$m. With the new millimeter data we find $\epsilon = 0.5$, indicating less settling than...
reported previously. We also find \( \alpha = 0.002 \) and a more massive outer disk of 0.16 \( M_\odot \). This mass is significantly larger than an estimate based on the 860 \( \mu \)m and 1.3 mm flux measurements using opacities from Beckwith et al. (1990), which yields \( \sim 0.04 \ M_\odot \), and is only marginally Toomre stable at 300 AU (Q \( \sim 1.1 \)). The outer disk model uses an opacity of \( \sim 0.1 \ \text{cm}^2 \ \text{g}^{-1} \) at 1 mm (D’Alessio et al. 2001) which is about four times lower than that derived from the Beckwith et al. (1990) opacities, accounting for the discrepancy in mass. Within the inner disk hole, there are \( 1.1 \times 10^{-11} \ M_\odot \) of optically thin small dust grains, which account for the 10 \( \mu \)m emission and the near-IR excess. The mass in solids could be much larger than this mass if pebbles, rocks, or even planetesimals have grown in the inner disk, since they would have a negligible opacity in the near-IR. We note that Calvet et al. (2005) reports the mass of the dust as \( 7 \times 10^{-10} \ M_\odot \); this is actually the mass of the gas within the hole, assuming the standard dust to gas mass ratio. The gas mass could be significantly larger, depending on the total amount of solids and the actual ratio, but these are poorly constrained by existing data.

We vary the temperature of the wall to best reproduce the data. The radius of the wall is set by the temperature and dust composition, and the wall’s height is set by the disk scale height. We assume that the wall is axisymmetric and composed of relatively small grains, as well as vertically flat in order to reproduce the rapid rise of the mid-IR excess at wavelengths beyond 10 \( \mu \)m. We adopt the dust composition used in D’Alessio et al. (2005) and Calvet et al. (2005). The maximum grain size is adjusted from ISM sizes to reproduce the shape of the IRS spectrum as necessary. At short wavelengths, larger grains have smaller opacities than ISM-sized grains. Therefore, at a given temperature large grains will be at smaller radii than ISM-sized grains as per Equation (12) of D’Alessio et al. (2005).

The derived size of the inner hole varies somewhat depending on whether the SED or the resolved millimeter visibilities are included. Fitting only the broadband SED and neglecting the resolved millimeter-wavelength data, the wall is located at 26 AU and has a temperature of 130 K and a height of \( \sim 2 \) AU with maximum grain size \( a_{\text{max}} = 0.25 \ \mu \text{m} \) (Figure 5, left panel). The radius of the wall differs by \( \sim 2 \) AU from Calvet et al. (2005), since here we take \( L_{\text{acc}} \sim G M M / R \) assuming magnetospheric accretion while Calvet et al. (2005) uses \( L_{\text{acc}} \sim G M M / 2 R \) as per the boundary layer model. We also adopt a different mass accretion rate.

In order to compare the SED model with the resolved continuum data, it is necessary to fix the disk geometry. As listed in Table 2, we adopt an inclination of 55°, in order to maintain consistency with Calvet et al. (2005). However, the position angle is poorly reproduced by the value of 53° ± 0°9 that is the weighted average of fits to the CO emission (Dutrey et al. 1998, 2008, see Figure 1). To derive a more appropriate position angle, we generate a sky-projected image from the disk model and use the MIRIAD task uvmode to sample the image at the same spatial frequencies as the data. We compare these model visibilities with the observed 860 \( \mu \)m visibilities (which have the finest resolution). We repeat this process for a range of position angles and calculate a \( \chi^2 \) value comparing each set of model visibilities with the data. Using this method, we fit a position angle of 64° ± 2°, which differs by 11° ± 2° from the position angle of the CO disk derived by Dutrey et al. (1998, 2008).

When considering the resolved millimeter-wavelength visibilities, a disk with a 20 AU hole reproduces the emission much better (Figure 5, right panel, and Figure 1, center panels). Using the same \( \chi^2 \) comparison of visibilities as described in the previous paragraph, the 20 AU model represents a 3σ improvement over the 26 AU model, which significantly underpredicts the amount of flux produced close to the star. This 20 AU hole has a wall with a temperature of 120 K, a height of 1.4 AU, and maximum grain size \( a_{\text{max}} = 5 \ \mu \text{m} \). For neither the 20 AU nor the 26 AU model does the wall contribute significant continuum emission at the wavelengths and spatial scales probed by our data. The main discrepancy between the fits to the SED and the millimeter visibilities occurs between wavelengths of \( \sim 20–40 \ \mu \text{m} \) where the 20 AU hole model overpredicts the flux. However, the SED morphology in this region is likely sensitive to the properties of the wall at the inner disk edge, which are not well known and are not constrained by our data. It is also possible that the composition of the grains, particularly whether the silicate and graphite form composite grains or are separated, can affect the temperature and therefore the mid-IR morphology of the wall component of the SED (P. D’Alessio et al. 2009, in preparation). Since our focus is on the interferometric millimeter-wavelength data, we adopt the model with a 20 AU inner hole for the remainder of the analysis. Figure 1
The flux density of the eastern peak of the 860 μm image is 50 mJy beam⁻¹, while that of the western peak is 59 mJy beam⁻¹. The corresponding peaks in the model images are 49 and 50 mJy beam⁻¹, respectively. Given the rms noise of 3.5 mJy beam⁻¹, these values are consistent with no flux difference and hence axially symmetric emission from the inner disk edge. The positional accuracy of the data and knowledge of the stellar proper motion are insufficient to determine whether or not the emission peaks are offset from the star. This result may be contrasted with the strong asymmetries observed in the disk around TW Hya (Qi et al. 2004, 2006), and may be due to additional heating of gas in the upper layers of the GM Aur disk (Dutrey et al. 1998), and that the SED-based model severely underpredicts the CO emission at large radii, as expected for a model with a sharp cutoff at its outer edge (Hughes et al. 2008). We therefore extrapolate the model to 525 AU to match the spatial extent of the CO emission (Dutrey et al. 1998). While this larger CO model no longer matches perfectly the continuum emission for the shortest baselines, based on the prediction assuming a constant gas-to-dust mass ratio, it retains the kinematic and thermal structure of the small-scale continuum model. In order to consistently solve for the level populations and generate predicted CO line emission originates. An increase in temperature would set the vertical location, and therefore the CO abundance are also somewhat interdependent, since the increase in gas temperature would populate the upper rotational transition of the molecule more efficiently and produce more J = 3–2 emission relative to J = 2–1. The temperature and the CO abundance are also somewhat interdependent, since the CO abundance sets the vertical location, and therefore the temperature, of the τ = 1 surface from which most of the line emission originates. An increase in temperature would therefore also vary the anomalously low CO/H₂ ratio necessary to reproduce the J = 2–1 flux. Such line ratio differences have been previously observed in the disk around TW Hya (Qi et al. 2004, 2006), and may be due to additional heating of gas in the upper disk by such processes as X-ray and UV irradiation, dissociative or mechanical heating (e.g., Glassgold et al. 2004; Kamp & Dullemond 2004; Nomura et al. 2007).

Nevertheless, while the flux levels vary between the data and model prediction, the similarity in morphology makes it clear that the overall disk structure is consistent between the molecular gas traced by CO and the model based on dust traced by continuum emission and the SED. The only other

\[ \sigma \]

compares this model with the data in the image plane (center panel) and in the visibility domain (red line in the right panel). The agreement is excellent, and the residuals are less than 3σ within the 2″ box shown.

The flux density of the eastern peak of the 860 μm image is 50 mJy beam⁻¹, while that of the western peak is 59 mJy beam⁻¹. The corresponding peaks in the model images are 49 and 50 mJy beam⁻¹, respectively. Given the rms noise of 3.5 mJy beam⁻¹, these values are consistent with no flux difference and hence axially symmetric emission from the inner disk edge. The positional accuracy of the data and knowledge of the stellar proper motion are insufficient to determine whether or not the emission peaks are offset from the star. This result may be contrasted with the strong asymmetries observed in the disk around TW Hya (Qi et al. 2004, 2006), and may be due to additional heating of gas in the upper layers of the GM Aur disk that are probed by these optically thick CO lines. While the vertical temperature gradient of the dust in the model is fixed by the SED, a relative increase in gas temperature would populate the upper rotational transition of the molecule more efficiently and produce more J = 3–2 emission relative to J = 2–1. The temperature and the CO abundance are also somewhat interdependent, since the CO abundance sets the vertical location, and therefore the temperature, of the τ = 1 surface from which most of the line emission originates. An increase in temperature would therefore also vary the anomalously low CO/H₂ ratio necessary to reproduce the J = 2–1 flux. Such line ratio differences have been previously observed in the disk around TW Hya (Qi et al. 2004, 2006), and may be due to additional heating of gas in the upper disk by such processes as X-ray and UV irradiation, dissociative or mechanical heating (e.g., Glassgold et al. 2004; Kamp & Dullemond 2004; Nomura et al. 2007).

Nevertheless, while the flux levels vary between the data and model prediction, the similarity in morphology makes it clear that the overall disk structure is consistent between the molecular gas traced by CO and the model based on dust traced by continuum emission and the SED. The only other
Figure 5. Model of the SED of GM Aur using the method of D'Alessio et al. (2005, 2006). The final model of the SED alone has an inner disk hole of 26 AU (left), while the model that best reproduces the resolved millimeter-wavelength visibilities has a hole of radius 20 AU (right). See Section 4 for model details. We show optical (open circles; Kenyon & Hartmann 1995), 2MASS (closed circles), IRAC (open squares; Hartmann et al. 2005), and IRAS (closed squares; Weaver & Jones 1992) data and a Spitzer IRS spectrum (Calvet et al. 2005). Open pentagons represent millimeter observations obtained from Andrews & Williams (2005), Beckwith & Sargent (1991), Dutrey et al. (1998), Kitamura et al. (2002), Koerner et al. (1993), Looney et al. (2000), Rodmann et al. (2006) and Weintraub et al. (1989). Filled pentagons are from this work. The final model (solid line) includes the following components: stellar photosphere (dotted line), optically thin dust region (long-dashed line), disk wall (short-long dashed line), outer disk (dot-dashed line). The peak at $\sim 1\, \mu m$ from the wall emission is due to scattered light. While the 20 AU model does not fit the IRS spectrum as well between $\sim 20–40\, \mu m$ as the 26 AU model, it reproduces the millimeter continuum emission very well at both 860 $\mu m$ and 1.3 mm (Figure 1).

(A color version of this figure is available in the online journal.)

Figure 6. Position–velocity diagram comparing the molecular line observations (left) with the predicted (right) CO $J = 2–1$ (top) and CO $J = 3–2$ (bottom) emission from the GM Aur disk, assuming a standard gas-to-dust mass ratio of 100. The plots show the brightness as a function of distance along the disk major axis, assuming a position angle of 51°. Contours are $\{2, 4, 6, \ldots\}$ times the rms flux density in each map (0.17 and 0.61 Jy beam$^{-1}$, respectively). The dotted line shows the expected Keplerian rotation curve for a star of mass 0.84 $M_\odot$. The outer radius of the model has been extended to 525 AU to reproduce the extent of the molecular gas emission (see Section 4.2 for details). The CO morphology is consistent with the SED-based model, with the exception of the line ratio: the model that best reproduces the peak flux of the CO $J = 2–1$ line underpredicts the CO $J = 3–2$ brightness by 30%.
significant difference between the two is in the position angle of the emission, which differs by \(\sim 11^\circ\). The implications of this result are discussed in Section 5.2 below.

5. DISCUSSION

5.1. Inner Disk Clearing

The resolved millimeter continuum observations of the GM Aur system are consistent with the prediction from the SED model. Models of the observed 860 \(\mu\)m and 1.3 mm maps in conjunction with the SED and Spitzer IRS spectrum, give a value of \(\sim 20\) AU for the extent of this inner cleared region. The inference of an inner hole of this size from the SED and resolved millimeter visibilities is consistent with recent millimeter-wave observations of rotational transitions of CO isotopologues from the GM Aur disk that provide spectroscopic evidence for a diminished density of cold CO within 20 AU (Dutrey et al. 2008). However, other observations indicate that this region cannot be entirely devoid of gas. Salyk et al. (2007) detect CO rovibrational emission originating from hot gas at radii near \(\sim 0.5\) AU, from which they infer a total gas mass in the inner disk of \(\sim 0.3 M_\odot\). Measurements of the H\(\alpha\) linewidth imply an accretion rate of \(\sim 10^{-8} M_\odot\) yr\(^{-1}\) (White & Ghez 2001; Ingleby & Calvet 2009); accretion at this rate requires a steady supply of gas from the outer disk. The SED model also requires \(3 \times 10^{-4}\) solar masses of dust in the inner disk to account for the 10 \(\mu\)m silicate feature and slight near- to mid-IR excess (Calvet et al. 2005).

A wide variety of mechanisms has been invoked to explain the low optical depth of the central regions of transition disks (see, e.g., Najita et al. 2007, and references therein), each with different implications for planet formation and the process of evolution between the primordial and debris disk stages. The available measurements of properties of the inner hole in the GM Aur disk allow us to evaluate the plausibility of each mechanism as the driver of disk clearing in this system.

Grain growth. The agglomeration of dust into larger particles should proceed faster in central regions where relative velocities of particles are faster and surface densities are higher. This would produce a drop in opacities associated only with the inefficiency of emission of large grains at the observed wavelengths (e.g., Strom et al. 1989; Dullemond & Dominik 2005). However, this process is inconsistent with the clearing of CO from the central region observed by Dutrey et al. (2008), as grain growth should proceed without diminishing the gas density. Grain growth is also somewhat inconsistent with the steep submillimeter slope observed by Rodmann et al. (2006) for the GM Aur system. The value inferred for the millimeter wavelength slope \(\alpha\) of 3.2 is the steepest in their sample of ten T Tauri stars, and is typical of a grain population that has undergone little growth, with grain size \(a_{\text{max}} \lesssim 1\) mm. Furthermore, the original SED model and the submillimeter visibilities both independently indicate a sharp decrease in surface density or opacity near 24 AU, while grain growth and dust settling are predicted to be a continuous process and so should display a more gradual transition between the inner and outer disk (Weidenschilling et al. 1997; Dullemond & Dominik 2005).

Photoevaporation. Another proposed process to generate inside-out clearing of protoplanetary disks is photoevaporation via the “UV switch” mechanism (Clarke et al. 2001). In this scenario, high-energy photons from the star heat the upper disk layers, allowing material to escape the system at a rate that gradually diminishes the disk mass, while most of the disk mass drains onto the star via viscous accretion (e.g., Hartmann et al. 1998). Once the photoevaporation rate matches the accretion rate near 1 AU and prevents resupply of material from the outer disk, the inner disk will decouple and drain onto the star within a viscous timescale, leaving an evacuated central region surrounded by a low-mass outer disk that will then rapidly disperse. As noted by Alexander & Armitage (2007), the properties of the GM Aur system are inconsistent with a photoevaporative scenario because the large mass of the outer disk should still be sufficient to provide a substantial accretion rate to counteract the photoevaporative wind. Furthermore, the measured accretion rate is high enough that within the framework of the photoevaporation scenario, it would only be observed during the brief period of time when the inner disk was draining onto the star. Photoevaporation may yet play a role in clearing the outer disk of its remaining gas and dust, but it cannot explain the current lack of inner disk material.

Inside-out MRI clearing. The magnetorotational instability operating on the inner disk edge may also drive accretion and central clearing, although it should be noted that this is purely an evacuation mechanism: it can only take hold after the generation of a gap by some other means. Nevertheless, given the creation of a gap, MRI clearing is predicted to operate in systems like GM Aur whose outer disks are still too massive for photoevaporation to dominate (Chiang & Murray-Clay 2007). The observed depletion of CO interior to 20 AU radius (Dutrey et al. 2008) is consistent with this theory, which predicts a total gas mass depletion of order 1000\(\times\) interior to the rim radius relative to the extrapolated value from the outer disk power law fit, normalizing to the total disk mass of 0.16 \(M_\odot\). This theory is consistent with the substantial accretion rate of the GM Aur system, yielding a value of \(\alpha\) of 0.005, only slightly greater than the derived value of 0.002 from the model. Salyk et al. (2007) estimate a gas-to-dust ratio of \(\sim 1000\) in the inner disk, roughly 10 times greater than that of the outer disk, which is consistent with the prediction of the inside-out MRI evaporation scenario that flux from the star should promote blowout of small dust grains by radiation pressure, substantially clearing the inner disk of dust even as the gas continues to accrete onto the star. However, it is difficult to reconcile this with the substantial population of \(\mu\)-m-size grains that must be present in the inner disk to account for the 20 \(\mu\)m silicate feature in the IRS spectrum. It is also important to consider the source of the requisite initial gap in the disk.

Binarity. The dynamical influence of an unseen stellar or substellar companion would also cause clearing of the inner disk. A notable example is the recent result by Ireland & Kraus (2008) demonstrating that the inner hole in the transition disk around CoKu Tau/4 is caused by a previously unobserved companion. There are relatively few constraints on the multiplicity of GM Aur at the <20 AU separations relevant for the inner hole. Radial velocity studies with \(\text{km s}^{-1}\) precision do not note variability (Bouvier et al. 1986; Hartmann et al. 1986), ruling out a close massive companion. As Dutrey et al. (2008) discuss, the stellar temperature and dynamical mass from the disk rotation combined with the H-band flux place an upper limit of \(\sim 0.3 M_\odot\) on the mass of a companion. Interferometric aperture-masking observations with NIRC2 that take advantage of adaptive optics on the Keck II telescope place an upper limit of \(\sim 40\) times the mass of Jupiter on companions with separations between 1.5 and 35 AU from the primary (A. Kraus and M. Ireland 2008, private
communication). The presence of hot CO in the central 1 AU of the system (Salyk et al. 2007) and the high accretion rate, undiminished relative to the Taurus median, also argue against the presence of a massive close companion. A stellar companion is therefore an unlikely origin for the central clearing in the GM Aur system.

**Planet–disk interaction.** Perhaps the most compelling mechanism for producing a transition disk is the dynamic clearing of material by a giant planet a few times the mass of Jupiter. The opening of gaps and holes in circumstellar disks has long been predicted as a consequence of giant planet formation (e.g., Lin & Papaloizou 1986; Bryden et al. 1999). Some simulations have shown that inner holes may in fact be a more common outcome than gaps as angular momentum transfer mediated by spiral density waves can clear the inner disk faster than the viscous timescale (Varnière et al. 2006; Lubow & D’Angelo 2006). The planet-induced clearing scenario was considered in detail for GM Aur by Rice et al. (2003) and found to be globally consistent with the observed properties of the system (although their estimate of the inner hole radius is based on pre-Spitzer SED information). This mechanism naturally explains the diminished but persistent accretion rates and presence of small dust grains through two predictions of models of planet-disk interaction: (1) filtration of dust grains according to size is expected at the inner disk edge, leading to a dominant population of small grains in the inner disk (Rice et al. 2006); and (2) a sustained reduction in accretion rate to ∼10% of that through the outer disk is predicted as the giant planet begins to intercept most of the accreting material (Lubow & D’Angelo 2006). These effects combined may also explain the enhanced gas-to-dust ratio in the inner disk. A planet-induced gap could also serve as a catalyst for inside-out MRI clearing (Chiang & Murray-Clay 2007).

Given the observed 20 AU inner disk radius and the scenario of clearing via dynamical interaction with a giant planet, it is possible to make a simple estimate of the distance of the planet from the star. The width of a gap opened by a planet is approximately $2 \sqrt{5} \text{Roche radii}$ (Artymowycz 1987), and simulations show that the minimum mass necessary to open a gap is of order 1 Jupiter mass (e.g., Lin & Papaloizou 1993; Edgar et al. 2007). If the outer edge of the planet-induced gap coincides with the 20 AU inner disk radius (with the portion of the disk interior to the planet cleared via spiral density waves or the MRI), then a companion between 1 and 40 times the mass of Jupiter would be located between 11 and 16 AU from the star. The influence of a planet carving out an inner cavity in the dust distribution is therefore a plausible scenario, bolstered by recent results demonstrating that a planet is responsible for dynamical sculpting of dust in the much older Fomalhaut system (Kalas et al. 2008).

### 5.2. Evidence for a Warp?

While the model comparison in Section 4 above shows that CO emission from the disk is globally consistent with Keplerian rotation, the $11^\circ$ difference in position angle between the continuum data and the two CO data sets is significant at the $\sim 5\sigma$ level, and may indicate some kinematic deviation from pure Keplerian rotation in a single plane. Changes in position angle with physical scale are commonly interpreted as warps in the context of studies of galaxy dynamics (e.g., Rogstad et al. 1974); it may be that the change in position angle in the GM Aur disk indicates a kinematic warp.

The possibility of a warp or other deviation from Keplerian rotation was discussed by Dutrey et al. (1998), although their discussion was based on possible isophote twisting observed in integrated CO $J = 2–1$ contours. We observe no such isophote twisting in the integrated CO $J = 2–1$ or $J = 3–2$ emission presented here (Figure 4), although this determination may be influenced by the differing baseline lengths and beam shapes in the respective interferometric data sets. Instead, we observe deviations from the expected position angle only in the rotation pattern of the resolved CO emission, which is reflected in the isovelocity contours of Figure 4. This position angle change does not appear to be related to the cloud contamination, as it is more clear in the less-contaminated CO $J = 3–2$ data set. In order to test whether the position angle of the true brightness distribution might have been altered by incomplete sampling of the data in the Fourier domain, we generated a model of the disk at a position angle of $64^\circ$, consistent with that measured independently for the two continuum data sets. We then fit the position angle by $\chi^2$ minimization as in Section 4.1 above. With this method, after sampling with the response at the spatial frequencies in the CO $J = 3–2$ data set, we recover the position angle to within less than a degree of the input model. This is to be expected, since the $\chi^2$ fitting procedure takes into account the interferometer response when fitting for the position angle. The position angle change is therefore robust independent of beam convolution effects.

In order to cause a change in position angle on physical scales between those probed by the continuum (∼30 AU) and the CO (∼200 AU), a warp would have to occur at a size scale of order 100 AU. The most natural explanations for the presence of a warp in a gas-rich circumstellar disk include flybys and perturbations by a planet or substellar companion. A simple estimate of the timescale of flyby interactions is

$$\tau = \frac{1}{(N \pi b^2 \sigma)},$$

where $N$ is the number density of stars, $b$ is the approach distance, and $\sigma$ is the velocity dispersion. Assuming typical values for Taurus, including a stellar density of ∼10 pc$^{-3}$ (e.g., Gomez et al. 1993) and velocity dispersion of 0.2 km s$^{-1}$ (Kraus & Hillenbrand 2008), the timescale for interactions at distances of ∼1000 AU, sufficient to cause significant perturbations at Oort Cloud radii (Scholl et al. 1982), is of order 1 Gyr. Since the results of a one-time perturbation would likely damp in a few orbital periods (10$^3$ yr at a distance of 100 AU), such an interaction is statistically unlikely. However, it should be noted that a recent interaction might have been capable of producing an extended feature like the “blue ribbon” observed in scattered light by Schneider et al. (2003).

The influence of a massive planet or substellar companion has been investigated as the origin of warps observed in gas-depleted debris disks, including β Pic (Mouillet et al. 1997) and HD 100546 (Quillen 2006). However, there is a dearth of theoretical investigation into the plausibility of warps caused by planetary systems in gas-rich disks more closely analogous to the GM Aur system. Since the warp in the GM Aur disk must occur between the Hill sphere of the putative planet and the ∼200 AU resolution of the CO line observations, it is plausible that the warp could be due to the gravitational influence of the same body responsible for evacuating the inner disk. A theoretical inquiry into this possibility would be useful, but is beyond the scope of this paper.

### 6. CONCLUSIONS

Spatially resolved observations in millimeter continuum emission, obtained using the SMA at 860 μm and PdBI at
1.3 mm, reveal a sharp decrease in optical depth near the center of the GM Aur disk. Simple estimates of the extent of this region, based on the separation of peaks in the continuum images and the position of the null in the visibility functions in Figure 1, are consistent with the inner hole radius of 24 AU derived by Calvet et al. (2005) using disk structure models to fit the SED. No significant azimuthal asymmetry is detected in the continuum emission.

Refined versions of the SED-based model of Calvet et al. (2005) show that the data are very well reproduced by a disk model with an inner hole of radius 20 AU. This model overpredicts the broadband SED flux in the 20–40 μm wavelength regime, but this region of the spectrum likely depends on the properties of the wall at the inner disk edge, which are poorly constrained by available data.

CO emission in the J = 3–2 and J = 2–1 transitions confirms the presence of a disk with kinematics consistent with Keplerian rotation about the central star, but at a position angle offset from the continuum by ~11°. The morphology of the CO emission is broadly consistent with the SED model, but with a larger CO J = 3–2/ J = 2–1 line ratio than predicted for the SED model. This is a likely indication of additional gas heating relative to dust in the upper disk atmosphere.

Given the observed properties of the GM Aur system, photoevaporation, grain growth, and binarity are unlikely physical mechanisms for inducing a sharp decrease in opacity or surface density at the disk center. The inner hole plausibly results from mechanisms for inducing a sharp decrease in opacity or surface density at the disk center. The inner hole possibly cleared by spiral density waves or the MRI.

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