Refractory Ceramics of Clay and Alumina Waste

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Refractory ceramics were produced from clays and alumina waste. Specimens were shaped by uniaxial pressing, subjected to thermal analysis by dilatometry and heat-treated in a conventional furnace at 1300 and 1400°C, applying a heating rate of 5°C/min and a dwell time of 2 and 3 hours at the maximum temperature. The samples were characterized by X-ray diffraction (XRD), scanning electron microscopy (SEM) and physicomechanical properties. The dilatometric analysis before heat treatment indicated that the formulation containing the largest amount of fluxing oxides presented the highest linear shrinkage. The XRD analysis revealed that mullite was the major phase and needle shaped crystals typical of mullite obtained from clay minerals were observed by SEM. The increase in firing temperature and dwell time at the maximum temperature improved the physicomechanical properties of the specimens. The thermal expansion coefficient (TEC) in the range of 25 to 1000°C varied from 6.2 to 6.9 x 10⁻⁶°C⁻¹.

Keywords: Clays, alumina waste, refractory ceramics.

1. Introduction

Refractory ceramics are materials that do not undergo any physical or chemical change when used in high temperature applications. The main thermomechanical and thermophysical properties of refractories are related to their ability to withstand different degrees of thermal and mechanical stresses, and to resist corrosion by liquids and gases. These properties depend on the characteristics of raw materials and formulations (chemical and mineralogical composition, particle size and shape distribution) the forming process and sintering temperature.

Different types of refractories can be produced depending on the kind of raw materials and the processing parameters. Compositions containing silica and alumina are extensively studied. After heat treatment, these compositions generate mullite and corundum (α-Al₂O₃), which applications include furnace linings, refractory supports and thermal insulation.

Mullite is one of the most important refractory ceramics, because of its unique combination of properties: high melting point (~ 1800°C), excellent mechanical and thermal shock resistance, chemical stability, low thermal expansion coefficient, low thermal conductivity at high temperatures, low dielectric constant, and its ability to act as an electrical insulator, making it potentially useful in various applications from refractories to electronic substrates.

An alternative to synthesize mullite refractories at a lower cost is to use natural raw materials such as clay minerals, which have considerable amounts of aluminum (Al₂O₃) and silicon (SiO₂) oxides. However, due to the low Al₂O₃/SiO₂ ratio of these materials, alumina precursors should be added to balance this ratio, thereby formulating stoichiometrically suitable compositions to obtain mullite as the major phase. The alumina production process (the Bayer process) generates a waste with a high content of Al₂O₃, which has been studied as a possible precursor for this purpose.

Concern about environmental issues around the world has grown in recent decades, especially in the academic community and research has strongly focused on the development of sustainable methods aimed at recycling inorganic waste. Many studies have emphasized interest in the development of compositions suitable for the production of refractories coatings used in ovens.

The aim of this research was to prepare ceramic refractories from industrial alumina waste and clays. The effects of alumina waste content and sintering parameters (dwell time and temperature) on phase composition, microstructural, mechanical, physical and thermal properties were analysed. The effective use of waste materials not only helps to solve a complex environmental problem such as pollution and the conservation of natural resources, but also produces materials with high added value.

2. Experimental Procedures

Two clays (X and Y) and alumina waste (AW) were used as precursor raw materials for the production of test specimens. The clays were subjected to a chemical analysis using a Shimadzu EDX-720 energy dispersive X-ray fluorescence spectrometer. The alumina waste had an Al₂O₃ content of > 90%. The mineralogical, granulometric and thermal characterization of AW was described by Silva et al.
After analyzing the chemical composition of the precursors, two formulations were prepared, using the stoichiometric form of mullite (3Al₂O₃·2SiO₂), which were called S1 (57.7 wt.% of clay X + 42.3 wt.% of AW) and S2 (53.3 wt.% of clay Y + 46.7 wt.% of AW). The formulations were subjected to chemical characterization (Table 1) by X-ray fluorescence, using a Shimadzu EDX-720 energy dispersive X-ray fluorescence spectrometer and mineralogical characterization by X-ray diffraction using a Shimadzu XRD-6000 X-ray diffractometer operating with CuKα radiation, 40 kV voltage, 30 mA current, step size of 0.02° and 2θ sweep angle varying from 5 to 60°.

The particle size of the formulations was analyzed using a CILAS 1090 particle size analyzer, which revealed that the mean particle diameter (mD) of S1 was 4.66 μm and that of S2 was 5.21 μm. The formulations were homogenized for 24 hours and had the adjust of their moisture content by adding 7 wt.% of distilled water. Then, the test specimens were shaped under uniaxial pressing in a RIBEIRO-P15T Brasil hydraulic press, applying 15 MPa pressure for 10 seconds and 23 MPa for 20 seconds. The prepared specimens (30 mm x 5 mm x 5 mm) were dried at 110ºC for 24 hours, and some of them had their thermal properties were evaluated by dilatometry, using a NETZSCH DIL 402c dilatometer operating at a maximum temperature of 1300ºC and applying a heating rate of 5ºC/min in an air atmosphere. Test specimens were sintered in a conventional oven furnace (MAITEC INTI with flyer controller FE 50 RP) at temperatures of 1300 and 1400ºC, applying a heating rate of 5ºC/min and dwell times of 2 and 3 hours at the highest temperature.

Crystalline phases identification and quantification were performed using the Internal Standard Method by comparing the strongest peak of the analyzed phases with a standard reference material, corundum (cor). Calculus was performed by the software Shimadzu (Search Match: untitled-XRD: Qualitative Analysis) and JCPDS cards were defined using PCPDFWIN database of the XRD6000 program. The calculation of crystallinity degree was determined using the relation between the integrated area of the amorphous background line and the integrated intensity of the diffraction reflections.

Microstructural characterization was performed by scanning electron microscopy (SEM) using a Shimadzu SSX-550 scanning electron microscope. The dimensions of the mullite needles (length and thickness) were measured, using image analysis software (ImageJ, National Institutes of Health, USA). The following physicomechanical properties were evaluated: linear shrinkage, water absorption, apparent porosity, density, and three point flexural strength. Linear shrinkage values were calculated considering the linear dimensional variation of sintered specimens. Water absorption, apparent porosity and density were determined according to the Archimedes method. The flexural strength of the samples were analyzed in a Shimadzu Autograph AG-X universal testing machine operating at 0.5 mm/min.

The thermal expansion coefficients (TEC) of the formulations previously sintered at 1300 and 1400ºC were determined. For this, the samples were heated from 25 to 1000ºC, applying a heating rate of 5ºC/min in an air atmosphere, using an equipment BP engineering RB 3000 model dilatometer.

### 3. Results and Discussion

Figure 1 shows the X-ray diffraction patterns of formulations S1 and S2. A mineralogical analysis of formulations S1 and S2 revealed characteristic peaks of kaolinite (JCPDS: 78-2110), smectite (JCPDS: 29-1499), quartz (JCPDS: 46-1045) and corundum (α-Al₂O₃ - JCPDS: 71-1125), which originates from the alumina waste.

Figure 2 shows the thermal expansion curves of the green bodies produced from formulations S1 and S2 and heated to 1300ºC at a heating rate of 5ºC/min in an air atmosphere. The thermal expansion curves of both formulations showed a slight shrinkage that occurred between ~ 100 and ~ 150ºC, which can be attributed to the release of free water, which is consistent with the endothermic peak at ~ 117ºC, as shown in Table 1.

![Table 1. Chemical composition (in %) of formulations S1 and S2.](image)

| Formulations | Al₂O₃ | SiO₂ | F₂O₃ | MgO | TiO₂ | K₂O | CaO | Other Oxides |
|--------------|-------|------|------|-----|------|-----|-----|--------------|
| S1           | 56.92 | 34.21| 4.29 | 2.10| 0.65 | 0.50| 0.48| 0.85         |
| S2           | 53.80 | 38.69| 2.87 | 2.08| 0.66 | 0.29| 0.88| 0.73         |

![Figure 1. XRD patterns of formulations S1 and S2.](image)
in Figure 2b. This was followed by a linear expansion in both formulations up to ~ 573°C, corresponding to the dehydroxylation of the clay minerals and the α→β polymorphic transformation of quartz\(^{26-28}\). The region between ~ 851 and ~ 1020°C was characterized by a structural rearrangement in the clays\(^{26,28}\) and transformation of the spinel phase into the first mullite crystals, which phase intensified as the temperature increased. Formulations S1 and S2 started to densify at ~ 1182°C and ~ 1192°C, respectively. The highest shrinkage occurred in formulation S1, which may be attributed to the larger amount of fluxing oxides in this formulation (Table 1). The derivative curves of both formulations (Figure 2b) showed a change in the crystalline structure of the clays, characterized by an endothermic peak at ~ 531°C and the transformation of quartz at ~ 571°C. Exothermic peaks at ~ 1135 and ~ 1258°C in formulation S2 are indicative of the nucleation and growth of mullite needles, while this phenomenon occurs at ~ 1169°C in formulation S1. These findings are in agreement with the literature\(^{26-28}\).

Figure 3 shows the XRD patterns of the specimens obtained from formulations S1 and S2 sintered at 1300 and 1400°C, with dwell times at the highest temperature for 2 and 3 hours. The mineralogical analysis of the formulations sintered at 1300°C revealed characteristic peaks of mullite (JCPDS: 79-1275), quartz (JCPDS: 46-1045) and corundum (α-Al\(_2\)O\(_3\) – JCPDS: 10-0173). Increasing the dwell time from 2 to 3 hours contributed to enhance the intensity of the mullite peaks, albeit to the detriment of the corundum and quartz peaks, which declined. Formulation S1 showed the highest peaks of mullite. This may be ascribed to a higher alumina-silica ratio (Al\(_2\)O\(_3\)/SiO\(_2\)) in the liquid phase, in which larger proportions of alumina and silica ions, and the partial decomposition of corundum, which released aluminum ions, favored greater reactivity, and hence, further intensified the mullite peaks.

Table 2 shows the phase quantification data for formulations S1 and S2 sintered at 1300 and 1400°C and dwell times of 2 and 3 hours at the highest temperature. As can be seen, the amount of mullite increased along with the increase in temperature and the dwell time at the maximum temperature, to the detriment of corundum and quartz, which levels decreased. In other words, the aluminum and silica ions from the partial decomposition of corundum and quartz reacted, causing a diffusion process that resulted in the formation of larger amounts of mullite at the highest temperatures. It is clear that extending the dwell time from 2 to 3 hours contributed to increase the mullite content, and also resulted in a more than 80% degree of crystallinity. These findings confirm our mineralogical analysis (Figure 3), which indicated a decline in the corundum and quartz peaks, and an increase in the intensity of the mullite peaks. However, both formulations underwent complete amorphization of quartz at 1400°C.

Formulation S1 showed the highest percentages of mullite, with a content of 92% at 1400°C for 3 hours. This can be attributed to the following factors: smaller mean particle diameter (mD = 4.66 μm); higher alumina/silica ratio (Al\(_2\)O\(_3\)/SiO\(_2\) = 1.66) and higher amounts of fluxing oxides (Table 1), which are essential to accelerate the reaction kinetics, thereby favoring the mullitization process. According to the literature\(^{31-33}\), mullite needles are formed through the recrystallization and dissolution of aluminosilicate in the transient liquid phase. This process can be accelerated by the dissolution-precipitation and the action of the amount of impurity present in the clay composition, e.g., Fe\(_2\)O\(_3\), TiO\(_2\), K\(_2\)O and Na\(_2\)O. In addition, this process occurs in response to the increase of the dissolution rate of alumina (Al\(_2\)O\(_3\)) in the liquid phase, in which larger proportions of
Figure 3. XRD patterns of S1 and S2 test specimens sintered at 1300°C and 1400°C. $M = \text{mullite}$; $Q = \text{quartz}$; $\alpha = \text{\(\alpha\)-Al}_2\text{O}_3$ (corundum).

Table 2. Percent composition of phases in formulations S1 and S2.

| Formulations   | Mullite | Corundum | Quartz | Crystallinity |
|----------------|---------|----------|--------|---------------|
| S1-1300°C/2h   | 74.30   | 10.01    | 4.02   | 88.33         |
| S1-1300°C/3h   | 78.44   | 6.30     | 4.02   | 86.75         |
| S1-1400°C/2h   | 86.63   | 1.30     | -      | 87.93         |
| S1-1400°C/3h   | 92.00   | 0.55     | -      | 92.55         |
| S2-1300°C/2h   | 72.83   | 5.87     | 8.13   | 86.83         |
| S2-1300°C/3h   | 73.00   | 4.40     | 5.91   | 83.31         |
| S2-1400°C/2h   | 83.20   | 3.05     | -      | 86.25         |
| S2-1400°C/3h   | 88.08   | 1.90     | -      | 89.98         |
aluminum ions react with the silicon ions from the silica-rich amorphous phase (SiO₂) above 1300°C, favoring the growth of secondary mullite crystals.3,11,14-36

Figure 4 shows SEM micrographs of the fracture surface of the specimens obtained from formulations S1 and S2 sintered at 1300°C with dwell times at 2 and 3 hours the highest temperature. Note the needle-shaped crystals typical of the crystal habit of mullite obtained from clay minerals, which developed into an intricately interwoven arrangement. Small round grains that are also visible can be attributed to the residual secondary phases of corundum and quartz, in addition to the presence of an amorphous phase. These findings are in agreement with the mineralogical analysis (Figure 3).

Figure 4. SEM micrographs of the fracture surface of specimens obtained from formulations S1 and S2 sintered at 1300°C with a dwell time of 2 and 3 hours.
The largest mullite crystals were found in formulation S1-1300ºC/3h. This was attributed to the dwell time at the highest temperature, and to the chemical composition of this formulation (Table 1), which contained the highest levels of fluxing oxides. Several authors have stated that to obtain an ideal stoichiometry of mullite from clays is a highly complex procedure, since the aluminosilicate matrix is immersed in a glassy phase. However, it is believed that primary mullite 2:1 derives from the decomposition of clay, while secondary mullite 3:2 is formed by the interaction between clay and the flow of fluxing oxides.

Figure 5 presents SEM micrographs of the fracture surface of specimens produced from formulations S1 and S2, sintered at 1400ºC, with dwell times of 2 and 3 hours at

![Figure 5](image-url)
the highest temperature. Increasing the sintering time and temperature led to an increase in crystal size, indicating that the silica originating from the complete amorphization of quartz at 1400°C reacted with the alumina from the corundum, forming more mullite. These crystals are immersed in the glassy phase, which is associated with residual amorphous silica. These findings are consistent with the mineralogical analysis (Figure 3), which revealed a characteristic band of silica-rich amorphous phase located at 20 varying from 15 to 30°. According to the literature\(^{35}\), at temperatures between 1200 and 1400°C, saturation of the silico-aluminous liquid by aluminum ions to reach a condition of equilibrium of the system occurs slowly, due to the low solubility of alumina in silica, making the system richer in silicon ions than the equilibrium quantity.

Formulation S1-1400°C/2h presented the largest mullite crystals, which was attributed to its higher iron oxide (Fe\(_2\)O\(_3\)) content (Table 1). According to Ganesh and Ferreira\(^{36}\), precursor materials with silica-rich compositions have long needle-shaped mullite crystals, while alumina-rich compositions tend to have more equiaxed crystals. These morphological characteristics are common in mullite derived from clays, especially when a liquid phase develops during synthesis, due to the presence of fluxing oxides, particularly iron oxide (Fe\(_2\)O\(_3\)).

Table 3 describes the dimensions (length and thickness) of the mullite needles determined from the SEM images of formulations S1 and S2. As can be seen, the dimensions of the mullite needles increased along with the increase in sintering temperature. The diameters, in general, range from 200 nm (0.2 μm) to 500 nm (0.5 μm). Mullite needles with diameters smaller than 400 nm (0.4 μm) are attributed to the orthorhombic (0.2 μm) to 500 nm (0.5 μm). Mullite needles with diameters smaller than 400 nm (0.4 μm) are attributed to the orthorhombic structure, which is considered the most stable one\(^{40}\).

Table 4 describes the physico-mechanical properties of the specimens obtained from formulations S1 and S2 sintered at 1300 and 1400°C with dwell times of 2 and 3 hours at the highest temperature. As can be seen, in general, the physico-mechanical properties improved in response to the increase in temperature and dwell time at the highest temperature. This was probably due to the higher densification of the ceramic bodies, as well as the phases formed during heat treatment, mainly mullite. According to the literature\(^{3,36,41}\), an increase in the amount of crystalline phases such as cristobalite, mullite and corundum, combined with good densification, considerably improves the mechanical strength of specimens.

By correlating the values presented in Table 4 with the phase quantification data (Table 2) it is clearly seen that the dwell time at maximum temperature contributed to increase the amount of mullite formed, which is the fundamental factor required to increase the flexural strength of ceramic bodies. Also note that there was no significant variation in the linear shrinkage of the ceramic bodies when the dwell time increased from 2 to 3 hours. Needle-shaped mullite crystals usually develop to form an interwoven structure, whose behavior favors an increase in mechanical strength and a reduction in the thermal expansion coefficient\(^{25,31,34,35}\). These findings corroborate those reported by Meng and Peng\(^{42}\), who evaluated the effects of mullite on the flexural strength and fracture toughness of corundum-mullite refractory materials at different temperatures and dwell times at the highest temperature. Formulation S1 presented higher apparent porosity than S2, which can be attributed to the higher iron oxide (Fe\(_2\)O\(_3\)) content of this formulation (Table 1). According to Chen and Tuan\(^{43}\), when FeO\(_3\) is converted into Fe\(_2\)O\(_3\), it triggers a reaction that releases O\(_2\) at high temperatures, which contributes to lower the density of the ceramic body resulting in the formation of more numerous pores.

**Table 3.** Dimensions (in μm) of the mullite needles.

| Formulations          | Length (μm) | Diameters (μm) | Aspect ratio |
|-----------------------|-------------|----------------|--------------|
| S1-1300°C/2h          | 2.36        | 0.25           | 9.44         |
| S1-1300°C/3h          | 2.88        | 0.36           | 7.95         |
| S1-1400°C/2h          | 5.66        | 0.46           | 12.25        |
| S1-1400°C/3h          | 5.40        | 0.35           | 15.43        |
| S2-1300°C/2h          | 1.94        | 0.30           | 6.45         |
| S2-1300°C/3h          | 2.19        | 0.27           | 8.11         |
| S2-1400°C/2h          | 3.30        | 0.38           | 8.68         |
| S2-1400°C/3h          | 3.81        | 0.45           | 8.47         |

**Table 4.** Physicomechanical properties of formulations S1 and S2.

| Formulations          | Water absorption (%), Apparent porosity (%) | Linear shrinkage (%), Flexural strength (MPa), Apparent density (g/cm\(^3\)) |
|-----------------------|---------------------------------------------|--------------------------------------------------------------------------------|
| S1-1300°C/2h          | 15.00 ± 0.29, 29.00 ± 0.44                  | 6.10 ± 0.05, 27.22 ± 1.08, 1.75 ± 0.01                                        |
| S1-1300°C/3h          | 13.48 ± 0.52, 26.30 ± 0.80                  | 6.19 ± 0.09, 32.36 ± 1.31, 1.76 ± 0.03                                        |
| S1-1400°C/2h          | 9.13 ± 0.09, 17.70 ± 1.18                   | 8.00 ± 0.05, 49.90 ± 2.91, 1.81 ± 0.01                                        |
| S1-1400°C/3h          | 7.29 ± 0.02, 15.90 ± 0.54                   | 8.79 ± 0.01, 53.65 ± 2.85, 1.85 ± 0.03                                        |
| S2-1300°C/2h          | 11.66 ± 0.04, 23.00 ± 1.39                  | 5.42 ± 0.02, 42.89 ± 1.45, 1.79 ± 0.01                                        |
| S2-1300°C/3h          | 11.24 ± 0.45, 21.82 ± 0.65                  | 5.58 ± 0.07, 43.58 ± 1.14, 1.78 ± 0.02                                        |
| S2-1400°C/2h          | 6.13 ± 0.09, 13.10 ± 0.56                   | 7.10 ± 0.05, 47.00 ± 1.58, 1.82 ± 0.01                                        |
| S2-1400°C/3h          | 4.65 ± 0.02, 9.34 ± 0.81                    | 7.45 ± 0.08, 48.47 ± 1.82, 1.85 ± 0.01                                        |
Figure 6 shows the thermal expansion curves of the ceramic bodies obtained from formulations S1 and S2 heated to 1000°C, at a heating rate of 5°C/min in an air atmosphere. The samples were previously sintered at 1300 and 1400°C, with dwell times of 2 and 3 hours at the highest temperature. The thermal expansion curves of formulations S1 and S2 showed different behaviors when the samples were reheated. The samples initially sintered at 1300°C/2h, which underwent α→β phase transition in quartz in the range of 300 to 600°C, showed a TEC of 6.0 x 10^{-6}°C^{-1} in S1 and of 6.3 x 10^{-6}°C^{-1} in S2. These data are compatible with the phase quantification (Table 2), and the formulation with the highest quartz content was the one with the highest TEC_{300-600}.

An analysis of the specimens previously heated to 1400°C revealed that those obtained from formulation S2 showed a phase transformation at ~950°C, which, according to Karhu et al., can be attributed to the formation of liquid phase. This transformation was less intense in formulation S1, which can be attributed to its higher fluxing oxides content (Table 1) and may have accelerated the phase transformations during the initial heat treatment. This favored the production of larger amounts of crystalline phases, which probably affected the linear and homogeneous behavior observed in the analyzed temperature range.

Table 5 lists the thermal expansion coefficients (TEC) of specimens obtained from formulations S1 and S2, measured by the tangent of the curve at temperature intervals between 25 and 1000°C. Between 25 and 1000°C, the TEC values lie within the range of 6.2 to 6.9 x 10^{-6}°C^{-1}, which may be ascribed to the presence of crystalline phases (Table 2), mainly mullite and corundum, which present TEC of ~5 to 6 x 10^{-6}°C^{-1} and ~7 to 8 x 10^{-6}°C^{-1}, respectively. These values are close to those reported by Chen et al., who analyzed the TEC of corundum-mullite composites and found that samples with higher levels of alumina (Al_{2}O_{3}) showed higher values of TEC_{200-1100} of ~6 to 7 x 10^{-6}°C^{-1}. Since the specimens obtained in this work contain the same phases, their influence on the thermal properties are probably the same.

The TEC values obtained in this study are also compatible with those obtained by Foo et al., who analyzed compositions containing inorganic wastes to obtain mullite-based ceramics, and suggested that when these precursors are subjected to high temperatures, they generate products that can be employed as refractories. Therefore, mullite-based porous ceramics are promising engineering materials for high temperature applications.

Table 5. Thermal expansion coefficients (TEC) of formulations S1 and S2.

| Formulations | CTE_{25,1000°C} \((10^{-6}°C^{-1})\) |
|--------------|-------------------------------------|
| S1-1300/2h   | 6.2                                 |
| S1-1300/3h   | 6.7                                 |
| S1-1400/2h   | 6.8                                 |
| S1-1400/3h   | 6.8                                 |
| S2-1300/2h   | 6.9                                 |
| S2-1300/3h   | 6.9                                 |
| S2-1400/2h   | 6.8                                 |
| S2-1400/3h   | 6.5                                 |

Figure 6. Thermal expansion curves of formulations S1 and S2 previously sintered at 1300 and 1400°C.
applications, since they present a unique combination of factors, such as low thermal expansion coefficient and high thermal shock resistance.

4. Conclusions
Based on the data obtained in this study, the following conclusions can be drawn about the chemical, thermal, mineralogical, microstructural and physico-mechanical properties of these refractory ceramics. The predominant crystalline phase was mullite, with percentages above 70%, while corundum and quartz appears as residual phases. The SEM micrographs revealed the formation of needle shaped crystals typical of mullite derived from clay minerals. The samples sintered at 1400°C showed better physico-mechanical properties, particularly with the formulation having the highest mullite content (S1-1400°C/3h), which presented the highest flexural strength. The dilatometric analysis of presintered samples revealed that the presence of mullite and corundum phases enhanced the thermal resistance of the test specimens. Moreover, it should be pointed out that these refractory ceramics not only have high added value but also represent an environmental contribution by using recycled inorganic wastes, thereby significantly reducing the impacts caused by the inappropriate disposal of these wastes or by not subjecting them to proper treatment. These results suggest that the ceramics obtained in this study are suitable for technological applications such as refractory materials or mullite-corundum composites of high mechanical strength.

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