Sudden Hadronization in Relativistic Nuclear Collisions

Johann Rafelski\textsuperscript{a} and Jean Letessier\textsuperscript{b}

\textsuperscript{a}Department of Physics\textsuperscript{a}, University of Arizona, Tucson, AZ 85721

\textsuperscript{b}Laboratoire de Physique Théorique et Hautes Energies\textsuperscript{b}

Université Paris 7, 2 place Jussieu, F–75251 Cedex 05.

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We formulate and study a mechanical instability criterion for sudden hadronization of dense matter fireballs formed in 158A GeV Pb–Pb collisions. Considering properties of quark-gluon matter and hadron gas we obtain the phase boundary between these two phases and demonstrate that the required deep QGP supercooling prior to sudden hadronization has occurred.

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Hot and dense hadron matter fireball is formed in central collisions of relativistic 158A GeV heavy Pb-nuclei with Pb-target, comprising a new state of matter \cite{1}. Driven by internal pressure, a fireball expands and ultimately a breakup (hadronization) into final state particles occurs. Early on in the hadron production data analysis it was discovered that strange hadrons emerge from a source in which strange \( s \) and antistrange \( \bar{s} \) quarks have same size phase space \cite{2}. This is the case if final state hadrons are directly produced by the deconfined quark matter phase.

The required sudden fireball breakup could arise if a fireball made of a the new form of matter significantly supercools, and in this state encounters a strong mechanical instability \cite{3}. Despite extensive ensuing study, the mechanisms determining when and how sudden hadron particle abundances are formed (chemical freeze-out) have not been fully understood \cite{4,5}. However, there is growing evidence that the fireball breakup occurs over a relatively short period of time \cite{6}.

We propose and study here a natural mechanical instability criterion ensuing the fireball expansion into the metastable supercooled state. We consider the exploding fireball dynamics in its center of momentum frame of reference. The surface normal vector of exploding fireball is \( \vec{n} \), and the local velocity of matter flow \( \vec{u} \). The rate of momentum flow vector \( \vec{P} \) at the surface is obtained from the energy-stress tensor \( T_{ki} \) \cite{11}:

\[
\vec{P} = p^{(i)} \vec{n} + \left( p^{(i)} + \varepsilon^{(i)} \right) \frac{\vec{u} \cdot \vec{n} \cdot \vec{n}}{1 - \vec{u}^2}.
\]

The upper index \( (i) \) refers for the intrinsic energy density \( \varepsilon \) and pressure \( P \) of matter in the frame of reference, locally at rest, \textit{i.e.} observed by a co-moving observer. We omit the superscript \( (i) \) in the following. For the fireball expansion to continue, \( \mathcal{P} = |\vec{P}| > 0 \) is required. For \( \mathcal{P} \rightarrow 0 \) at \( v_c \neq 0 \), we have a conflict between the desire of the motion to stop or even reverse, and the continued inertial expansion.

When the flow velocity remains large but \( \mathcal{P} \rightarrow 0 \), the intrinsic pressure \( P \) must be negative. As illustration consider the fireball to be made of a quark-gluon liquid confined by an external vacuum pressure \( \mathcal{B} \). The total pressure and energy comprise particle (subscript \( p \)) and the vacuum properties:

\[
P = P_p - \mathcal{B}, \quad \varepsilon = \varepsilon_p + \mathcal{B}.
\]

Eq. (1) with \( \vec{P} = 0 \) thus reads:

\[
\mathcal{B} \vec{n} = P_p \vec{n} + \left( P_p + \varepsilon_p \right) \frac{\vec{u} \cdot \vec{n} \cdot \vec{n}}{1 - \vec{u}^2},
\]

and it describes the (equilibrium) condition where the pressure of the expanding quark-gluon fluid is just balanced by the external vacuum pressure.

Expansion beyond \( \mathcal{P} \rightarrow 0 \) is in general not possible. A surface region of the fireball that reached it but continues to flow outwards must be torn apart. This is a collective instability and thus the ensuing disintegration of the fireball matter will be very rapid, provided that much of the surface reaches this condition. We adopt the condition \( \vec{P} = 0 \) at any surface region to be the instability condition of an expanding hadron matter fireball.

Negative internal pressure \( P < 0 \) is a requirement. At this stage the fireball must thus be significantly supercooled. The adiabatic transfer of internal heat into accelerating flow of matter provides the mechanism which leads on the scale of \( \tau = 2 \times 10^{-23} \text{s} \) to the development of this ‘deep’ supercooling.

It is possible to determine experimentally if the condition \( P < 0 \) has been reached. Namely, the Gibbs-Duham relation for a unit volume:

\[
P = T \sigma + \mu_b n_b - \varepsilon,
\]

relates the pressure, to entropy density \( \sigma = S/V \), energy density \( \varepsilon = E/V \), and baryon density \( n_b = b/V \), \( V \) is the volume, \( T \) is the temperature, and \( \mu_b \) the baryochemical potential. Dividing by \( \varepsilon \) we obtain:

\[
\frac{PV}{E} = \frac{T_b}{E/S} + \frac{\mu_b}{E/b} - 1.
\]

The microscopic processes governing the fireball breakup determine how the quantities entering the right hand side
For an exactly symmetrical, spherical expansion the two\footnote{of Eq. (1)} are changed as hadrons emerge. Understanding this we can determine, if the intrinsic fireball pressure prior to breakup, has been negative.

The energy $E$ and baryon content $b$ of the fireball are conserved. Entropy $S$ is conserved when the gluon content of a QGP fireball is transformed into quark pairs in the entropy conserving process $G + G \to q + q$. Similarly, when quarks and antiquarks recombine into hadrons, entropy is conserved in the range of parameters of interest here. Thus also $E/b$ and $S/b$ is conserved across hadronization condition. The sudden hadronization process also maintains the temperature $T$ and baryochemical potential $\mu_b$ across the phase boundary. What changes are the chemical occupancy parameters. As gluons convert into quark pairs and hadrons $\gamma_q \to 0$ but the number occupancy of light valance quark pairs increases $\gamma_q \to \gamma_q \to 1$ increases significantly, along with the number occupancy of strange quark pairs $\gamma_s > \gamma_s \to 1$.

The sudden hadronization picture differs from, e.g., the droplet-driven reequilibration transformation\footnote{\cite{2,3}}, in which chemical equilibrium of valance quark pair abundances is maintained. Instead a change (reheating) of statistical parameters $T, \mu_b$ occurs, along with a possible formation of a mixed phase required for volume expansion. In such reequilibration hadronization picture, entropy increase can also occur\cite{4}. To draw the line between these two hadronization pictures (equilibrium/sudden), we need to determine the quark pair occupancy parameters $\gamma_i$. Our study of final state hadron abundances strongly favors $\gamma_q \approx \exp(m_q/2T) > 1, \gamma_s \approx \gamma_q$\cite{1}. Evaluating Eq. (2) using the results of our data analysis, we indeed obtain $P_f < 0$. The magnitude of $|P_f|$ can vary between a few percent (in terms of energy density $E/V$), up to 20\% for the latest published result\cite{1}. The precise value, which arises from several cancellations of larger numbers is sensitive to the strategy of how the currently available experimental data is described, e.g., if strangeness conservation is implemented, and if so, if differentially at each rapidity, or as an overall conservation law: how many high mass resonances can be excited in hadronization process, etc ...

Importantly, we have not been able to obtain a scheme of hadron production analysis which describes the data with $\chi^2/dof < 1$ and would not imply $P < 0$ for the hadronizing fireball matter. On the other hand, if we do force the hadronizing particles to be in chemical equilibrium, we find $\chi^2/dof > 2.5$, $dof = 10$ in our analysis which agrees for this limit with\cite{3}, and in this case we find $P > 0$.

Understanding in detail the breakup condition $\mathcal{P} \to 0$ requires that we model the shape and direction of flow in the late stage of fireball evolution, obviously not an easy task. However, considering $\vec{n} \cdot \mathcal{P} \to 0$, we find the constraint:

$$\frac{-PV/E}{1 + PV/E} = \kappa \frac{v_c^2}{1 - v_c^2}, \quad \kappa = (\vec{\nu}_c \cdot \vec{n})^2/v_c^2. \tag{6}$$

For an exactly symmetrical, spherical expansion the two vectors $\vec{\nu}_c$ and $\vec{n}$ are everywhere parallel, thus $\kappa \to 1$. However, in 158A GeV Pb–Pb reactions the longitudinal flow is considerably greater than the transverse flow\cite{6}, and we note $\kappa \to 0$ for a longitudinally evolving cylindrical fireball. For the Pb–Pb collisions considered here, our analysis suggest $0.1 < \kappa < 0.6$.

We now substitute, in Eq. (1), the fireball matter properties employing the Gibbs-Duham relation, Eq. (4), and arrive at:

$$E/S = \left( T_b + \frac{\mu_b}{S/b} \right) \left( 1 + \kappa \frac{v_c^2}{1 - v_c^2} \right). \tag{7}$$

Eq. (7) establishes a general constraint characterizing the fireball breakup condition.

The solid line, in figure 1, shows the behavior of $\nu_c(T_b)$ constraint arising from Eq. (7) for the example $E/S = 0.184 \pm 0.05$ GeV (error range shown by dotted lines), $\kappa = 0.6$. Outside of the region bounded by the solid line (i.e., for greater $T_b$ and $\nu_c$), the flow expansion can occur as the internal particle pressure is greater than the confining pressure. Also shown in figure 1 is hadron production analysis result\cite{1} and its statistical error, the systematic error is of same magnitude. The agreement of theory and experiment results from the choice of non-spherical flow with specific freeze-out shape described by the average value $\kappa = 0.6$, see Eq. (1). Figure 1 illustrates the great sensitivity to the analysis on the freeze-out constraint. The dashed horizontal line, in figure 1, is the velocity of sound of the interacting quark-gluon liquid, which barely differs from $1/\sqrt{3}$\cite{7}.

![FIG. 1. Fireball velocity as function of breakup temperature constraint for the case $E/S = 0.185 \pm 0.005$ GeV, with $S/b = 42$ and $\mu_b = 0.2$ GeV; the dotted lines describe the uncertainty in the determination of $E/S$. Dashed line: velocity of sound of relativistic quark-gluon liquid. Also shown is hadron production analysis result\cite{1}.

We have so far not used in the discussion any key specific property of the equations of state of the matter filling the fireball. However, our results imply that the matter inside the fireball is deeply supercooled. Can this be
the deeply supercooled liquid of quarks and gluons? In fact a study of QGP equations of state employing properties of QCD interactions and thermal QCD [7], fine tuned to agree with the properties of lattice QCD results [8] suggest that. We extend this study to consider the phase boundary. The thin solid line in the $T, \mu_\text{b}$ plane in figure 2 shows where the pressure of the quark-gluon liquid equals the equilibrated hadron gas pressure. The hadron gas behavior is obtained evaluating and summing the contributions of all known hadronic resonances considered to be point particles. When we allow for finite volume of hadrons [19], we find that the hadron pressure is slightly reduced, leading to some (5 MeV) reduction in the equilibrium transition temperature, as is shown by the dashed line in figure 2. For vanishing baryo-chemical potential, we note in figure 2 that the equilibrium phase transition temperature is $T_{\text{pt}} \approx 172$ MeV, and when infinite hadron size is allowed, $T_{\text{ip}} \approx 166$ MeV. The scale in temperature we discuss is result of comparison with lattice gauge results. Within the lattice calculations [18], it arises from the comparison with the string tension.

![Figure 2](image-url)

**FIG. 2.** Thin solid and dashed lines: equilibrium phase transition from hadron gas to QGP liquid without and with excluded volume correction, respectively. Dotted: breakup condition at shape parameter $\kappa = 0.6$, for expansion velocity $\nu_c^2 = \{0.1/10, 1/6, 1/5, 1/4\}$ and $1/3$, and thick line for $\nu_c = 0.54$, The experimental point denotes chemical nonequilibrium freeze-out analysis result [11].

The dotted lines, in figure 2, correspond to the condition Eq. (3) using the shape parameter $\kappa = 0.6$, Eq. (3), for (from right to left) $\nu_c^2 = \{0.1/10, 1/6, 1/5, 1/4\}$ and $1/3$. The last dotted line corresponds to an expansion flow with the velocity of sound of relativistic noninteracting massless gas. The thick solid line corresponds to an expansion with $\nu_c = 0.54$. The hadron analysis result is also shown [11]. Comparing in figure 2 thin solid/dashed with the thick line, we recognize the deep supercooling as required for the explosive fireball disintegration. The super-cooled zero pressure $P = 0$ QGP temperature is at $T_{\text{eq}} = 157$ MeV, (see the intercept of the first dashed line to the right in figure 2) and an expanding fireball can deeply super-cool to $T_{\text{desc}} \approx 147$ MeV (see the intercept of thick solid line) before the mechanical instability occurs.

Deep supercooling requires a first order phase transition, and this in turn implies presence of latent heat $B$. Physical consistency then requires presence of external (negative) vacuum pressure $-B$. More precisely, the vacuum contribution to the physical properties of deconfined matter can be derived from $\ln \mathcal{Z}_{\text{vac}} \equiv -B V / \beta$:

$$P_{\text{vac}} = \frac{T}{V} \ln \mathcal{Z}_{\text{vac}} = -B,$$

$$\varepsilon_{\text{vac}} = \frac{\partial \ln \mathcal{Z}_{\text{vac}}}{\partial V / \beta} = B \left\{ 1 + \frac{\partial \ln B / B_0}{\partial \ln \beta / \beta_0} \right\}. \tag{9}$$

The temperature, $T = 1/\beta$, dependence of the vacuum pressure has been considered within the model of color-magnetic vacuum structure [20, 21]. Near to the phase transformation condition, the variation of $B$ with $\beta$ is minimal (see figure 2 in [21]), and thus the logarithmically small last term in Eq. (8) can be, in principle, ignored.

We now combine the theoretical properties of the QGP equations of state with the dynamical fireball properties in order to constrain $B$. Reviewing Eq. (3) we obtain:

$$-P V / E \varepsilon_{\text{QGP}} + P_p = B, \tag{10}$$

To evaluate $B$, we note that lattice results for $\varepsilon_{\text{QGP}}$ are well represented by $\varepsilon_{\text{QGP}} = a T^4$, with $a \approx 11$, value extrapolated for the number of light quark flavors being $n_f = 2.5$ at the hadronization point [7]. We obtain, for the fireball formed in Pb-Pb reactions,

$$0.2 \cdot 11 T_h^4 \approx 0.17 \text{ GeV/fm}^3 \leq B.$$

Is our picture of fireball evolution compelling? We found that particle production occurred at condition of negative pressure expected in a deeply supercooled state and have shown internal consistency with (strange) hadron production analysis involving chemical non-equilibrium. Moreover, these chemical freeze-out conditions agree with thermal analysis [7], allowing the conjecture that the explosive quark-gluon fireball breakup forms final state hadrons, which do not undergo further reequilibration. However, we noted that the chemical equilibrium reaction picture differs from ours only in terms of its statistical significance ($\chi^2 / \text{dof} > 2.5$, [13]). It produces a chemical freeze-out temperature of $T = 168$ MeV, just the value we found for an equilibrium phase transition implicit in the assumption of chemical equilibrium. The higher chemical freeze-out temperature produces greater population of excited hadronic states. Their decays deform hadron spectra, and this allows for
a second evolution stage with a thermal freeze-out temperature at or below 120 MeV.

Strongly in favor of the here described sudden QGP hadronization resulting in chemical nonequilibrium reaction picture is the presence of a hadron multiplicity excess, related to an entropy excess \[ \frac{\chi^2}{\text{dof}} < 1 \] This is seen both as multiplicity per baryon, and as increase of multiplicity comparing \( pA \) to \( AA \) reactions. We could not describe this effect by admitting other physical models such as, in medium, change of hadron masses. We have found that invariably the statistical significance of the analysis decreases as we modify individual hadron properties in an ad-hoc fashion, while maintaining chemical equilibrium of hadron abundances. Our experience shows that the only theoretical description of hadron production data that works \( \chi^2 / \text{dof} < 1 \) requires excess of valence quark pair abundance, irrespective of the detailed strategy of data analysis. This agrees well with the dynamical study of nuclear collisions within the UrQMD model concludes that at CERN energies the chemical non-equilibrium is required to characterize the numerical results in terms of a statistical model \[ 23 \].

Also in favor of our result is the conclusion of Csörgő and Csernai \[ 3 \], who required as verification for the presence of a deeply supercooled state of matter and sudden hadronization: i) short duration and relatively short mean proper-time of particle emission, now seen in particle correlations \[ 6 \], ii) clean strangeness signal of QGP \[ 24 \]; iii) universality of produced particle spectra which are the remarkable features of strange particle production \[ 25 \]; v) no mass shift of the phi-meson; despite extensive search such a shift has not been found by the NA49 collaboration \[ 26 \].

In summary, we have introduced a constraint, Eq. \[ 5 \], which relates the physical and statistical properties of the hadronic fireball at the point of sudden breakup. We obtained this result from mechanical stability consideration, employing only properties of the energy-stress tensor of matter, and the Gibbs-Duham relation, Eq. \[ 1 \]. We showed that this constraint is consistent with analysis results obtained considering the experimental particle production data for \( pA \) at 158A GeV. We further studied the behavior of the phase transition between hadron gas and quark-gluon liquid, and have determined the magnitude of the deep supercooling occurring in the fireball expansion. Employing a lattice-QCD based estimate on number of degrees of freedom in the energy density of the QCD thermal matter, we obtained a constraint on the magnitude of latent heat/vacuum pressure \( B \geq 0.17 \text{GeV/fm}^3 \). We conclude that both in theoretical study of the data as well as for reasons of principle the deciding factor about the sudden nature of the phase transformation is the absence of chemical equilibrium.

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