Basic Study

Thiopurine use associated with reduced B and natural killer cells in inflammatory bowel disease

James D Lord, Donna M Shows

AIM

To identify which blood and mucosal lymphocyte populations are specifically depleted by thiopurine use in vivo.

METHODS

The thiopurines azathioprine and 6-mercaptopurine have been a mainstay of inflammatory bowel disease (IBD) therapy for decades, but their mechanism of action in vivo remains obscure. Although thiopurines are lymphotoxic at high doses, and have been reported to cause T cell apoptosis in vitro, their ability to control IBD at lower doses suggests that they may selectively deplete particular lymphocyte populations. Blood cells from 19 IBD patients on a thiopurine, 19 IBD patients not on a thiopurine, and 38 matched healthy control subjects were analyzed by multiple multi-color flow cytometry panels to quantify the immune cell subsets contained therein, both as a percent of cells, and as an absolute cell count. Similar analyses were performed on colon biopsies from 17 IBD patients on a thiopurine, 17 IBD patients not on a thiopurine, and 49 healthy screening colonoscopy recipients.

RESULTS

Complete blood counts revealed lower lymphocyte, but not monocyte or granulocyte, counts in IBD patients who were taking thiopurines at the time.
of sampling. This reduction was restricted to CD3-negative lymphocytes, wherein both natural killer (NK) and B cells were significantly reduced among thiopurine recipients. Among CD19+ B cells, the transitional B cells were particularly depleted, being nearly absent in both blood and colon biopsies of thiopurine recipients. No differences were associated with thiopurine use in CD8+ T cells, mucosa-associated invariant T (MAIT) cells, invariant natural killer T (INKT) cells, gamma/delta T cells, Th1, Th17, regulatory T cells (Tregs) or naive CD4+ T cells. However, patients with IBD had significantly more circulating FOXP3+, Helios+ Tregs and fewer INKT and MAIT cells than healthy controls.

CONCLUSION
Thiopurine use is associated with reduced B and NK cell, but not T cell, subpopulations in the blood of IBD patients.

Key words: Thiopurine; Azathioprine; Mercaptopurine; Lymphocyte; Natural killer cell; Transitional B cell; Inflammatory bowel disease

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Core tip: Thiopurine medications have been used to treat inflammatory bowel disease (IBD) for almost half a century. However, the effect of thiopurines on the human immune system in vitro remains unclear. The enclosed manuscript performed a thorough flow cytometric analysis of peripheral blood specimens from IBD patients with or without thiopurine therapy and found significant differences in B and NK cell populations associated with therapy. These suggest that thiopurines function to suppress inflammation in IBD not by causing T cell apoptosis, as had been suggested by in vitro data, but rather by depleting other lymphocytes.

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INTRODUCTION
The thiopurine medications azathioprine and 6-mercaptopurine (6-MP) have been used to treat IBD since the 1960’s[1] as an effective maintenance therapy for both Crohn’s disease[2] and ulcerative colitis (UC)[3]. In more recent years, these agents have demonstrated utility as cotherapy with biopharmaceuticals, reducing the incidence of anti-drug antibodies and increasing treatment success rates[4,5]. While appealing for their oral delivery and relatively low cost, thiopurines are challenging to use, with a narrow therapeutic dose window, slow onset of efficacy[6] and a number needed to treat in the 4-6 range[2,3]. This benefit is balanced against a number of potential risks, including infections, and certain neoplasms[7].

The mechanisms by which thiopurines maintain IBD remission and prevent anti-biopharmaceutical antibody formation remain obscure. 6-thioguanine nucleotides are thought to be the active metabolites of both azathioprine and 6-MP, and originally were believed to function by incorporating into cellular nucleic acids to damage their structure[8] and thus inhibit T cell proliferation[9]. In vitro studies also demonstrated that thiopurines mediate apoptosis[10], and specifically the 6-thioguanine triphosphate (6-thio-GTP) metabolite may stimulate T cell apoptosis through inhibition of Rac1 activation, thus preventing CD28 costimulation from inducing Bcl-xL expression in these cells upon activation[11]. Leukopenia is a known effect of azathioprine therapy[12], and has been associated with therapeutic efficacy[13]. However, this association appears to be due to decreased neutrophil counts seen during the early phase of thiopurine use, with lymphopenia demonstrating no correlation with therapeutic efficacy[14]. Thus, if azathioprine suppresses the inflammation of IBD through anti-proliferative or pro-apoptotic effects on lymphocytes, these effects must be subtle, affecting only specific minor lymphocyte subpopulations, clonotypes, or anatomically sequestered populations not evident in the peripheral blood.

Early studies of azathioprine in UC showed that it reduced total plasma cell counts in the rectal mucosa[15] to levels resembling healthy controls[16]. However, it is unclear whether this is a specific effect of azathioprine vs simply a reflection of reduced lymphocytic infiltration as a consequence of decreased inflammation. These studies also demonstrated less antibody-dependent cell mediated cytotoxicity in the blood of azathioprine recipients[15-17], a phenomenon that is classically attributed to natural killer (NK) cells. More recent research comparing the mRNA transcripts of peripheral blood from Crohn’s patients revealed reduced expression of genes commonly expressed by NK and other cytotoxic lymphocytes in thiopurine recipients[18], suggesting that thiopurines may function through selective depletion of NK cells. One small study of Crohn’s patients prospectively examined the effect of azathioprine on immune cell subsets over a year, and found it to reduce total lymphocyte counts, but with no significant effect upon the percent of these lymphocytes expressing the NK markers CD16 and CD56[19].

Curiously, this study also found azathioprine to significantly increase the percent of lymphocytes expressing CD25[19]. Among CD4+ T cells, CD25 is a marker of FOXP3+ regulatory T cells (Tregs), which are known to play a central role in preventing intestinal inflammation in mice[20] and humans[21,22]. Although CD25+, FOXP3+ Tregs are not deficient
in IBD patients\textsuperscript{23}, their frequency in the blood has been reported to be reduced in active vs quiescent disease, and their frequency in the intestinal mucosa, while enriched in inflammation\textsuperscript{24,25}, may be relatively low compared to other causes of intestinal inflammation\textsuperscript{26}. Thus, an alternative mechanism by which thiopurines could control IBD may be by selectively sparing, and thus enriching, Tregs in the intestinal lamina propria.

Noting that only lymphocyte counts were reduced in thiopurine recipients, our aim was to determine if and how thiopurine use is associated with depletion of specific lymphocyte populations. We evaluated IBD patients on or off thiopurines to correlate the use of these medications with changes in B, T, and NK cell subpopulations, and compared them with the frequency of these lymphocyte subsets in matched healthy control subjects.

**MATERIALS AND METHODS**

**Ethical considerations**

Clinical data, including complete blood cell (CBC) counts presented in Figure 1, and specimens detailed below, were archived from consenting participants in a biorepository program at the Benaroya Research Institute, as authorized by an IRB-approved protocol in accordance with the declaration of Helsinki.

**Patients, specimens, and clinical data**

Five hundred and fifty-seven healthy controls, 42 IBD patients (31 Crohn’s, 10 UC, 1 indeterminate colitis) on azathioprine (n = 34) or 6-mercaptopurine (n = 8) and 168 IBD patients (105 Crohn’s, 61 UC, 2 indeterminate colitis) on no thiopurines provided CBC data in Figure 1. Leukocyte subsets in the latter were defined and reported according to the International

**Figure 1** Thiopurine use is associated with lymphopenia, but not T cell depletion. A: Leukocyte subsets from clinical complete blood cell differentials are shown for healthy controls and inflammatory bowel disease (IBD) patients on or not on a thiopurine medication at the time of sampling. Total (B) and regulatory (C) T cell (Treg) subsets per mL of blood were calculated from flow cytometry performed on PBMC from healthy controls (open grey circles) vs IBD patients on (black triangles) vs not on thiopurines (grey squares). Treg data is also shown as a percent of total CD4+ T cells. P values reflect two-tailed Mann-Whitney non-parametric comparisons. NS: P > 0.05.
Live, frozen peripheral blood mononuclear cells (PBMC) were obtained from 19 of the above IBD patients (14 Crohn’s, 5 UC) on azathioprine (n = 16) or 6-mercaptopurine (n = 3), and 19 IBD patients (also 13 Crohn’s, 4 UC) on no thiopurine medications, but matched in terms of whether or not taking a 5’ aminosaliclate agent. Also, PBMC were obtained from 38 healthy controls, age and gender-matched to each of these IBD patients. None of these blood donors were on glucocorticoids, biopharmaceuticals, or other systemic immunosuppressive agents at the time of phlebotomy.

Live, frozen, colonoscopic biopsies were obtained from 17 IBD patients (13 Crohn’s, 4 UC) on azathioprine (n = 14) or 6-mercaptopurine (n = 3), and 17 IBD patients (also 13 Crohn’s, 4 UC) on no thiopurines at the time of colonoscopy. Seven patients in each group were on an anti-TNF biopharmaceutical, and 3 patients in each group were on a glucocorticoid. Six patients in the thiopurine group and 8 in the no thiopurine group were on a 5’ aminosalicylate agent. The colonic mucosa biopsied was deemed by the colonoscopist to be actively inflamed in 6 of the patients on thiopurines and 7 of the patients on no thiopurines. As a control, biopsies from 49 healthy screening colonoscopy recipients were also examined.

Flow cytometry

Samples were thawed and colon biopsies were digested in a vortex at 37 degrees centigrade for 30 min in media containing collagenase and DNase to liberate single cells. Cells were then filtered, washed, and stained extracellularly with panels of fluorophor-conjugated antibodies. Monoclonal antibodies against CD3 (clone SK7), CD4 (RPA-T4), CD8 (RPA-T8), CD19 (HIB19), CD25 (M-A251), CD27 (L128), CD38 (HIT2), CD49d (9F10), CD56 (HCD56), CD161 (HI100), CD16 (3G10), CD19 (HIB19), CD20 (2H7), CD45RA (H1100), CD49d (9F10), CD56 (HCD56), CD161 (HP-3G10), NKG2D (1D11), IFN gamma (4S.B3), IgD (1A6-2), IgM (MMH-B8), TCRva7.2+ mucosa-associated invariant T (MAIT) cells, TCRva24/ju18+ invariant NKT cells, or CD161+, CD4-, TCRva7.2+ mucosa-associated invariant T (MAIT) cells in circulation, although fewer circulating CD161+-iNKT (P = 0.00843) and MAIT cells (P = 0.00423) were seen in IBD patients than controls (Supplemental Figure 1).

To determine if a reported increase in CD25+ CD4+ T cells associated with thiopurine use[29] reflects an increase in FOXP3+ Treg populations, the above PBMC were also stained intracellularly for FOXP3 and the “natural” Treg (nTreg) marker Helios[27]. The Helios+ FOXP3+ nTreg fraction of circulating CD4+ T cells was significantly larger in untreated IBD patients than controls (P = 0.0007), but the Helios- FOXP3+ fraction was not, and there was no correlation between either Treg population and thiopurine use among IBD patients (Figure 1C). Both Treg populations and conventional FOXP3+ T cells showed no difference in expression of the naïve T cell marker CD45RA, the inhibitory receptor CTLA4, the IL-2 receptor CD25, or the proliferation marker Ki67 between IBD patients on vs off thiopurines, and between total IBD patients and controls (Supplemental Figure 2A-D).

CD4+ effector T cells that make IFN-γ (Th1), IL-17 (Th17) or both (TH1/17) have been proposed to play a pathogenic role in IBD. Through intracellular cytokine staining, we found that thiopurine use had no significant correlation with Th17 (Figure 2A) Th1 (Figure 2B) or Th1/17 frequency (Figure 2C), which additionally were no different between IBD patients and controls. However, thiopurine use did correlate with an increased fraction of CD8+ T cells expressing...
Thiopurine use is associated with fewer circulating NK and B cells

Finding no difference in T cells between patients on or off thiopurines, we next turned our attention to CD3- lymphocytes, which were found to be significantly reduced in the blood of IBD patients relative to controls ($P = 0.0146$), particularly if taking thiopurines ($P < 0.0001$) (Figure 3A). As this population contains both NK and B cells, we evaluated these populations independently. As with the total CD3- lymphocyte numbers, NK cells were significantly less numerous in IBD patients than controls ($P = 0.0282$), and less numerous in thiopurine recipients ($P = 0.006$), regardless of whether they were defined by the marker CD56 alone (Figure 3B), or more strictly with CD56 in combination with CD16 (Figure 3C) or NKp46 (Figure 3D). CD56 is expressed bimodally in NK cells, with a small but distinct CD56$^{high}$ population among CD16- cells discernable from the majority of NK cells, which are CD56$^{low}$. Thiopurine use was associated with a decreased number of the latter cells (Figure 3E), but not significantly with any change in CD56$^{high}$ cells (Figure 3F). Although some of this reduction simply parallels the overall reduced lymphocyte counts associated with thiopurine use (Figure 1A), among IBD patients there were also significantly fewer CD56$^{+}$ NK cells in thiopurine recipients when analyzed as a percentage of CD3-, CD19- lymphocytes ($P = 0.0189$).

B cell counts were likewise reduced in thiopurine recipients ($P < 0.0001$; Figure 4A). This difference was more evident among the naïve (CD27-, CD38-, IgD+, $P = 0.0001$; Figure 4B), than memory B cells (CD27+, $P = 0.0413$; Figure 4C), although memory B cells were less numerous in the blood of IBD patients than controls ($P = 0.0002$). The latter difference was seen in both switched (IgD+) and unswitched (IgD+) memory B cells (data not shown). The most striking effect of thiopurines on B cells was in the transitional (CD38+, CD27-, IgD+) population, which was almost completely obliterated in all thiopurine recipients ($P < 0.0001$; Figure 4D). Restricting analyses to B cells bearing the gut-homing integrin α4β7 did not change whether or not these differences were significant (data not shown), suggesting that the effect of thiopurines...
on circulating B cells was not mediated by altering B cell trafficking to the gut. The number of plasmablasts (CD27+, CD38+, CD20-, IgD-) in circulation was low in all subjects, and did not correlate with either the diagnosis of IBD or the use of thiopurines (data not shown), indicating that the effect of thiopurines on transitional B cells was not due to a global suppression of CD38 expression.

**Thiopurine use is associated with decreased B, but not T or NK cell, frequency in the intestinal mucosa**

To determine if our findings in the peripheral blood were reflected at the site of IBD activity, we evaluated lymphocyte populations in the intestinal mucosa.
Colon biopsies from IBD patients on as compared to those not on thiopurine, or from healthy screening colonoscopy recipients, were collagenase digested and evaluated by flow cytometry. In IBD patient biopsies, as in blood, thiopurine use had no effect on the mucosal CD3+ T cell fraction of live lymphocytes ($p = 0.276$; Figure 5A), nor on the CD4:CD8 ratio therein ($p = 0.096$; Figure 5B). The latter was lower ($p = 0.02$) and the percent of CD8+ T cells expressing CD103 was higher ($p = 0.016$) in healthy controls than in IBD patients off thiopurines, but these findings likely just reflect a generally lower ratio of epithelium to lamina propria in IBD, as the E-cadherin binding integrin CD103 is expressed by intraepithelial lymphocytes, most of which are CD8+.

NK cells were more difficult to define in intestinal biopsies than in blood, as only a small minority of CD56+, CD3- lymphocytes expressed CD16 or CD161 (data not shown). However, the fraction of CD3- lymphocytes expressing the NK marker CD56 did not correlate with thiopurine use in IBD patients ($p = 0.986$, data not shown).

In contrast, the use of thiopurines by IBD patients was associated with a smaller fraction of intramucosal lymphocytes being B cells ($p = 0.0133$; Figure 5C), as in blood. Transitional B cells were, again, significantly reduced as a fraction of total B cells in the setting of thiopurine use ($p = 0.0005$), although their frequency among thiopurine recipients resembled that of healthy controls, who had significantly fewer transitional B cells than untreated IBD patients ($p = 0.0005$; Figure 5D). Naïve cells were also a greater fraction of colonic B cells in IBD than controls ($p = 0.0011$), although the effect of thiopurines thereupon was less significant ($p = 0.04$) (Figure 5E). No significant associations with thiopurine use among IBD patients were observed in the fraction of B cells resembling memory ($p = 0.557$) or plasma cells ($p = 0.154$) (data not shown). However, thiopurine use was associated with significantly more IgM+ cells in the memory B cell ($p = 0.0032$; Figure 5F) and plasma cell ($p = 0.0234$, Figure 5G) compartments in IBD samples, suggesting that it may impair immunoglobulin class-switching.

**DISCUSSION**

We performed a thorough characterization of lymphocyte subpopulations in well-matched cohorts of IBD...
Mucosal T cells

Mucosal CD4:CD8 ratio

Mucosal B cells

Mucosal transitional B cells

Mucosal naive B cells

Mucosal memory B cells: %IgM+

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patients on vs not on thiopurine immunosuppressants to determine if the lymphopenia associated with chronic use of these medications is attributable to selective depletion of a particular cell type. We found that NK and B cells, but not T cell populations, are reduced in the peripheral blood of IBD patients taking thiopurines, with the B cell depletion also being evident in intestinal samples. In particular, the transitional B cell compartment was depleted in the setting of thiopurine use.

Thiopurines have a relatively long history as monotherapy for IBD[1-3,12]. However, in recent years this role has been somewhat supplanted by their use concomitant with anti-TNF biopharmaceuticals, as a consequence of comparative efficacy trials demonstrating clear benefit of dual therapy with both a thiopurine and anti-TNF agent over monotherapy with either agent alone for IBD[4,5]. In this setting, a roughly 15% absolute increase in efficacy with dual therapy over anti-TNF monotherapy was paralleled by a roughly 15% absolute decrease in patients generating anti-drug antibodies (ADA’s) directed against the biopharmaceutical, as well as higher anti-TNF serum levels[4,5]. As ADA’s have clearly been associated with increased biopharmaceutical clearance, as well as hazardous infusion reactions[28], they may likewise lower the threshold for negative selection in apoptosis-prone transitional B cells in vivo, perhaps to such a level that nearly all nascent transitional B cells entering the periphery are spontaneously deleted, consistent with our findings (Figure 3F). Over time, this would result in a similar depletion of the naïve B cells derived from transitional B cells, which we observed (Figure 3D).

Our data suggests that thiopurines may shrink the pool of naïve and, particularly, transitional B cells to prevent these cells from ultimately becoming ADA-producing plasma cells upon de novo exposure to foreign biopharmaceutical proteins, while leaving intact pre-existing antigen-experienced memory B cells and plasma cells. Transitional B cells represent an early stage in B cell development, at which immature B cells that have undergone primary negative selection and receptor editing exit the bone marrow to become mature, but naïve, B cells in the spleen. As such, they retain a susceptibility to B cell receptor-mediated apoptosis common to immature B cells, making them vulnerable to negative selection[29]. As thiopurine metabolites have been shown to enhance T cell susceptibility to antigen receptor-mediated apoptosis in vitro[11], they may likewise lower the threshold for negative selection in apoptosis-prone transitional B cells in vivo, perhaps to such a level that nearly all nascent transitional B cells entering the periphery are spontaneously deleted, consistent with our findings (Figure 3F). Over time, this would result in a similar depletion of the naïve B cells derived from transitional B cells, which we observed (Figure 3D).

We also observed a decrease in circulating NK cells associated with thiopurine use. NK cells play an important role in providing an antiviral immune response and immune surveillance against tumors. Consequently, our findings may explain why thiopurine recipients are at increased risk of certain types of cancer, particularly lymphoma mediated by Epstein-Barr virus[30], or cervical cancer mediated by the human papilloma virus[31]. However, our study was not powered to capture such rare events and thus determine if NK cell frequency could be a biomarker.
for cancer susceptibility in thiopurine recipients.

Given in vitro mechanistic data suggesting that T cells are the primary target of thiopurines\(^\text{[21]}\), we focused particularly upon T cell populations, including rare T cell subsets to exclude the possibility that a subtle effect of thiopurines could be selectively hidden therein. However, we found no selective depletion of any T cell population associated with thiopurine use in IBD patients. We did find that, regardless of thiopurine use, IBD patients have paradoxically more Helios+ FOXP3+ CD4+ T cells, resembling thymically-derived, “natural” Tregs\(^\text{[27]}\), in their blood than matched healthy controls. While this could suggest that these immunoregulatory cells are being excluded from the bowel to facilitate intestinal inflammation, we have previously shown that, in UC, there is likewise a paradoxically higher frequency of Helios+ nTregs in the inflamed colonic mucosa than in controls\(^\text{[32]}\). Thus, we hypothesize that Tregs are ineffective at controlling the inflammation of IBD, rather than being numerically deficient, as they are in the enteropathy of the IPEX syndrome\(^\text{[21,33]}\). However, no significant differences were found between IBD patients and controls in the phenotype of these Tregs (See supplemental digital content 2).

In contrast to nTregs, we found a lower frequency of circulating iNKT and MAIT cells in IBD patient relative to controls. Decreased circulating MAIT cells in IBD have been previously reported\(^\text{[34]}\). Although these MAIT cells are known to express high levels of the multi-drug resistance gene ABCB1\(^\text{[35]}\), which facilitates resistance to chemotherapeutic drugs\(^\text{[36]}\) such as thiopurines, MAIT cells were not selectively enriched by thiopurine use in either the peripheral blood (See supplemental digital content 1) or intestine (data not shown) of IBD patients.

In summary, we have demonstrated that a relative lymphopenia associated with thiopurine use is attributable to decreased NK and B cells, rather than T cell depletion. Future studies associating them with clinical outcomes, such as ADA formation, may provide useful biomarkers to guide therapy for IBD patients. Furthermore, exploring the mechanism by which such changes occur may elucidate more selective means with which to tailor therapy while reducing the risk of off-target toxicities currently associated with thiopurine medications.

In conclusion, thiopurine use is associated with reduced B and NK cell, but not T cell, subpopulations in the blood of IBD patients, and reduced B cells in the colonic mucosa. These findings suggest a mechanism by which thiopurines reduce anti-drug antibodies and increase the risk of neoplasia, respectively.

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COMMENTS
Background
The thiopurines azathioprine and 6-mercaptopurine have been a mainstay of inflammatory bowel disease (IBD) therapy for decades, but their mechanism of action in vivo remains obscure. Although thiopurines are lymphotoxic at high doses, and have been reported to cause T cell apoptosis in vitro, their ability to control IBD at lower doses suggests that they may selectively deplete particular lymphocyte populations.

Research frontiers
Although long used for clinical benefit in IBD, the mechanism by which thiopurines suppress the immune system remains obscure. Understanding how such medications affect the human immune system in vivo is critical for improving upon them and identifying meaningful biomarkers with which to tailor therapy.

Innovations and breakthroughs
Historical in vitro mechanistic studies have suggested thiopurines control inflammation through T cell apoptosis, thus causing lymphopenia. The authors tested this hypothesis in vivo by correlating the frequency of major and minor lymphocyte populations with thiopurine use in IBD patients, and found that it was not T cell, but rather B and NK cell populations, that were decreased in thiopurine recipients. In particular, transitional B cells were all but eliminated in the blood of thiopurine recipients, a finding which was mirrored in the colon.

Applications
In addition to their role as a primary therapy for IBD, thiopurines have become widely used to suppress the development of antibodies to biopharmaceuticals, which are made by B cell progeny. Additionally, thiopurine use has been associated with an increased incidence of neoplasia, particularly from virally mediated tumors such as HSV-associated cervical cancer and EBV-associated lymphoma, against which NK cells perform immune surveillance. Thus, by finding selective decreases in B and NK cells associated with thiopurine use, they provide potential mechanistic evidence of how each of these clinical events occur.

Peer-review
In this study authors aimed to determine if and how thiopurine use is associated with depletion of specific lymphocyte populations. Authors demonstrated that a relative lymphopenia associated with thiopurine use is attributable to decreased NK and B cells, rather than T cell depletion. This is a good study and it gives us a well information in terms of thiopurine mechanism.
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