Continuous Monitoring of Breath Acetone, Blood Glucose and Blood Ketone in 20 Type 1 Diabetic Outpatients Over 30 Days

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Abstract

Although breath acetone (BA) has been identified as a breath biomarker for some abnormal metabolic status, such as diabetic ketoacidosis, diabetes under insulin treatments, on a ketogenic diet, heart congestion failure, and post intense exercises, many intra-individual biological parameters also influence the breath acetone concentration. Therefore, it would be insightful to study longitudinal variations of breath acetone concentration in given individuals that have no baseline effect resulting from individual physiological heterogeneity. We carried out a daily-based continuous monitoring of BA, blood glucose (BG), and blood ketone (BK) in 20 type 1 diabetic (T1D) outpatients and 5 healthy volunteers over a period of 30 days. 600 breath samples from the T1D outpatients and the healthy subjects were collected and tested. BA was measured using a cavity ringdown BA analyzer. Simultaneous BG and BK levels were also measured using a standard BG/ketone meter. Our findings include: (1) The T1D subjects have elevated mean BA concentrations as compared to the controls. (2) There exists a positive correlation (R=0.57, P<0.05) between the individual mean BA concentration and the individual mean BK in the 20 T1D outpatients. (3) Some adverse or abnormal physiological conditions such as diabetic ketoacidosis, low body mass index or a special daily activity (e.g., exercises) can be identified via an abnormal BA concentration. This study suggests that continuous monitoring BA is useful in monitoring some abnormal physiological status such as T1D outpatients with very high BG or ketone bodies.

Keywords: Breath acetone; Blood glucose; Blood ketone; Type 1 diabetic patients; Continuous measurement; Cavity-ringdown breath analyzer; Diabetic management

Introduction

Breath analysis has received continuously increasing attention because of its potential as a non-invasive method for disease diagnosis and metabolic status monitoring. Among thousands of breath volatile organic compounds (VOCs), acetone is the second to the highest abundant species in normal human breath gases, which has been extensively studied as a breath biomarker of diabetes or as a high abundant breath VOC in various physiological cases since 1950s [1,2]. To date there are more than 50 independent studies of breath acetone (BA) using various techniques and methods in a laboratory or in a clinic [3-5]; more than 3211 human subjects including 626 diabetic patients have participated in these studies. However, one issue in breath acetone measurements, which is often neglected, is that many intra-individual biological parameters (age, gender, body mass index (BMI), etc.) influence the BA concentration [6-9]. Therefore, it would be insightful to study longitudinal variations of BA in given individuals that have no baseline effect resulting from individual physiological heterogeneity. Diskin et al. monitored five metabolites including acetone in the breath of five healthy volunteers over a 30-day period (excluding weekend days) [10]. The results show that the distribution patterns for these various metabolites for a given individual are apparently like a crude fingerprint, which could act as a baseline for the future to reveal the onset of adverse clinical conditions once it established. Subsequently, Turner et al. determined concentrations of three common breath metabolites: ammonia, acetone, and propanol in the exhaled breath of 30 healthy volunteers over a 6-month period (collection of samples at weekly intervals) [11]. The results show that there is a wide range of concentrations of the three breath metabolites and that abnormally high concentration of these metabolites can be indicative of a particular state of a disease. The two results mentioned above shows the promising of breath acetone used for the management of adverse clinical conditions for a given individual. For given individuals, diurnal variations including fasting, exercise, and diet were also reported to correlate to BA concentration [12-20]. Therefore, a systematic study of the longitudinal variation of BA for given individuals under a control condition is a rationally sound methodology to illustrate a more accurate picture of breath acetone and its possible relations to other clinical parameters such as blood glucose (BG) and blood ketone (BK).

High sensitivity laser spectroscopy has attractive applications in trace gas quantification including breath gas measurement. Laser spectroscopy used for breath analysis has the advantages of high sensitivity, high selectivity, fast response, low instrumental cost, and relatively small instrument geometry as compared with the gas chromatography mass spectrometry (GC-MS) method which is an established method primarily used for lab-based trace gas analysis. Recently, several groups have developed novel optical approaches attempting to focus on a compact and practical solution for single analyte detection [20-25]. Notably, a recent paper reports on a portable and compact device for BA monitoring based upon laser cavity enhanced absorption spectroscopy [20]. Data are presented on elevated breath acetone from two individuals following an overnight fast and exercise, and from a third individual during several days of routine behavior.

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[20]. In our lab, we have developed a cavity ringdown spectroscopy (CRDS)-based breath acetone analyzer, which has been used for BA analysis under various situations (human subjects, animals, in a lab or a clinic) [26-28]. Recently, a fully-integrated, standalone, portable acetone breath analyzer, LaserBreath-001, was built in our lab for near-real time, on-line BA measurement with high data throughput, which was validated by using the GC-MS method [24,25].

In the present study, we take advantage of the high data throughput capability of the BA analyzer and use it to monitor BA on a day-to-day base in 20 Type 1 diabetic (T1D) outpatients and 5 non-diabetic healthy subjects under fasting over a period of 30 days. Concurrent BG and BK levels in the T1D patients and non-diabetic subjects were also measured using a standard BG/BK meter. Different from the Diskin and Turner’s studies, which established the distribution patterns and the concentration range of breath acetone for given healthy individuals over a longer time period, the present work for the first time and Turner’s studies, which established the distribution patterns and BK levels in the T1D patients and non-diabetic subjects were also healthy subjects under fasting over a period of 30 days. Concurrent BG was validated by using the GC-MS method [24,25].

Real-time, on-line BA measurement with high data throughput, which provides information on gender, age, BMI, and time since diagnosis of diabetes. After an overnight fasting of at least 8 hours (h), BG and BK ranges 0-4.3 mmol/L in the healthy and T1D subjects respectively, with SD of 2.7 ppm. Likewise, BK ranges 0-0.5 mmol/L. Here the individual mean is defined as a mean value over the 30 days period for each individual. In total, there are 4 healthy female subjects (age of 28-39), 1 healthy male subject (age of 25), 15 T1D female subjects (ages of 7-65), and 5 T1D male subjects (ages of 17-46) included in this study.

As can be seen in the table, the overall range of BA concentration in the 5 healthy subjects was 0.2-6.2 ppm. A global mean of the healthy subjects was determined to be 1.9 ppm with a standard deviation (SD) of 1.1 ppm. Here the global mean is defined as a mean value averaged over all data collected from all 5 healthy subjects over the 30-day period. Meanwhile, the overall range of BA concentration in the 20 T1D subjects was 0.5-49.5 ppm, with a global mean of 3.1 ppm and a SD of 2.7 ppm. Likewise, BG ranges 66.6-124.2 mg/dL for the healthy subjects and 46.8-453.6 mg/dL for the T1D subjects with a global mean of 99.4 mg/dL and 155.7 mg/dL respectively. BK ranges 0-0.5 mmol/L and 0-4.3 mmol/L in the healthy and T1D subjects respectively, with corresponding global means of 0.16 and 0.25 (mmol/L). The results indicate that the T1D subjects have a higher mean BA concentration than the healthy controls, which is consistent with the published data in the literatures [3,26,30-32].

Turner et al. monitored BA in 8 T1D patients during the induced
hypoglycemia in steps, the results supported that the mean BA concentration in T1D was higher than that in the healthy subjects [31]. An elevated mean BA in T1D patients was also observed in Wang et al. work, in which BA in 34 T1D patients and 15 healthy individuals was measured using a CRDS-based breath acetone analyzer [26]. In a recent work by Blaikie et al. BA in 113 young T1D patients (age of 7-18) in a clinic at the Oxford Children’s Hospital was measured using a soft-ionization mass spectrometer [32]. Their results show that the average value (0.51 ppm) is higher than that found for a healthy cohort of the same age group reported by Spanel et al. [9]. We conducted 1-tailed nonparametric Mann-Whitney U tests to evaluate the significant difference in IMBA distributions between the T1D outpatients and the healthy controls. The test result gave P<0.05 which indicates a statistical difference between the two groups. Discussion on IMBG and IMBK is in sections 3.3 and 3.4.

Daily variations in breath acetone, blood glucose, and blood ketone over 30 days

Figures 2 and 3 show the day-to-day variations in BA, BG, and BK in the 5 healthy subjects and selected 6 T1D outpatients respectively. For the healthy subjects, the mean intra-individual coefficient of variation (CV) (the variation among breath acetone concentrations of an individual volunteer) is 40.4%, which is smaller than the mean inter-individual CV (the variation among the individual means), 56.9% [33]. Similarly, for the 20 T1D outpatients, the mean intra-individual CV is also smaller than the mean inter-individual CV (41.9% versus 87.5%). Among the 5 healthy subjects (Figure 2), the subject Healthy 1 had the highest mean intra-individual CV of 53.6%. This high CV was attributed to the unscheduled exercises of the subject, which were identified in the recorded data form. Schwoebel et al. conducted a real-time analysis of four breath VOCs including acetone in seven healthy subjects in-exercise using PTR-MS and the results show that breath acetone from the subjects in-exercise had relatively high scattering signals and had a higher concentration [19]. Our observations in the subject Healthy 1 show the similar trends. The subject Healthy 3 had the highest mean breath acetone concentration (3.2 ppm) among the five healthy subjects (Table 1). Interestingly, this subject was under weight, whose BMI was only 17.75 kg/m². Indeed, according to the work by Kinoyama et al. in which concentrations of acetone and isoprene in the exhaled breath in 451 students were measured using a Biogas Acetone Analyzer (BAS-2000) to evaluate their lifestyles in the annual medical checkup at the university [34], the measured breath acetone concentrations were correlated inversely with BMI. The students with BMI under 25 kg/m² had significantly higher breath acetone concentrations than the obese students with BMI over 25 kg/m². Also, it should be noted that the BK levels in the healthy subjects were relatively low and stable, the BK variance was hardly observable when its concentration was below 0.2 mmol/L, like the healthy subject 5 in Figure 2. However, using the LaserBreath-001, BA level variance was observed due to its high sensitivity.

Correlation of breath acetone to blood glucose

Studies of the correlation of BA to BG have reported diverse results to date: positive, negative or no correlation has been reported, depending on each individual case and experimental conditions. Wang et al. conducted breath tests in 34 T1D patients under non-fasting condition using a prototype ringdown breath acetone analyzer, their observations showed that a linear correlation between the mean group acetone and BG levels existed when all the T1D subjects tested were grouped by different BG levels, 40-100, 101-150, and 151-200, and 201-
Figure 2: Day-to-day variations of simultaneous breath acetone, blood glucose and blood ketone levels measured in the five healthy subjects over 30 days.

Table 1: Summary of the test results of the subjects tested over a period of 30 days.

| Subject | Gender | Age (years) | BMI (kg/m²) | Acetone (ppm) | Blood glucose (mg/dL) | Blood ketone (mmol/L) |
|---------|--------|-------------|-------------|---------------|-----------------------|-----------------------|
| Healthy 1 | M      | 39          | 26.67       | 2.2 ± 1.2 (0.5-6.2) | 93.7 ± 8.5 (66.6-104.4) | 0.2 ± 0.1 (0.1-0.4) |
| Healthy 2 | M      | 29          | 24.21       | 2.0 ± 0.7 (1.0-3.5) | 100.7 ± 7.0 (82.8-120.6) | 0.2 ± 0.1 (0.0-0.2) |
| Healthy 3 | M      | 28          | 17.75       | 3.2 ± 1.2 (1.2-6.0) | 94.8 ± 7.5 (72.0-106.2) | 0.2 ± 0.1 (0.0-0.5) |
| Healthy 4 | F      | 25          | 22.48       | 1.4 ± 0.4 (0.8-2.3) | 115.4 ± 5.7 (100.8-124.2) | 0.1 ± 0.1 (0.0-0.2) |
| Healthy 5 | M      | 28          | 25.66       | 1.1 ± 0.5 (0.2-2.6) | 92.3 ± 8.4 (66.6-108.0) | 0.2 ± 0.0 (0.1-0.3) |
| T1D 1    | F      | 28          | 25.93       | 3.8 ± 1.8 (1.3-10.4) | 329.7 ± 72.9 (136.8-430.2) | 0.0 ± 0.3 (0.1-1.2) |
| T1D 2    | F      | 24          | 18.73       | 2.0 ± 0.7 (0.6-3.8) | 165.9 ± 65.3 (59.4-309.6) | 0.3 ± 0.2 (0.1-0.7) |
| T1D 3    | M      | 17          | 20.43       | 2.0 ± 0.6 (0.8-3.0) | 147.7 ± 67.0 (66.6-300.6) | 0.2 ± 0.1 (0.1-0.4) |
| T1D 4    | F      | 24          | 20.07       | 7.3 ± 8.7 (1.8-49.5) | 191.7 ± 58.6 (77.4-336.6) | 0.3 ± 0.3 (0.0-3.7) |
| T1D 5    | F      | 28          | 26.57       | 2.6 ± 2.0 (0.8-12.1) | 145.8 ± 60.9 (59.4-370.8) | 0.2 ± 0.3 (0.1-1.6) |
| T1D 6    | M      | 15          | 19.35       | 1.3 ± 0.6 (0.5-2.8) | 153.8 ± 59.8 (75.6-311.4) | 0.2 ± 0.1 (0.1-0.3) |
| T1D 7    | F      | 33          | 20.55       | 3.8 ± 1.1 (1.3-6.2) | 129.4 ± 39.5 (65.8-192.6) | 0.6 ± 0.2 (0.2-1.0) |
| T1D 8    | F      | 55          | 19.62       | 3.7 ± 2.8 (1.7-17.4) | 166.3 ± 61.3 (84.6-322.2) | 0.2 ± 0.4 (0.0-2.1) |
| T1D 9    | M      | 46          | 21.45       | 4.4 ± 1.0 (2.5-6.2) | 117.9 ± 59.4 (62.2-300.6) | 0.3 ± 0.1 (0.2-0.4) |
| T1D 10   | F      | 31          | 19.1        | 3.0 ± 1.0 (1.4-6.6) | 119.9 ± 47.3 (62.2-219.6) | 0.2 ± 0.1 (0.1-0.3) |
| T1D 11   | M      | 39          | 22.72       | 5.4 ± 1.6 (2.5-8.5) | 101.8 ± 32.1 (64.8-180.0) | 0.3 ± 0.1 (0.2-0.4) |
| T1D 12   | F      | 55          | 19.05       | 3.2 ± 1.0 (1.5-5.5) | 148.3 ± 60.9 (46.8-329.4) | 0.2 ± 0.1 (0.1-0.3) |
| T1D 13   | F      | 31          | 24.77       | 2.6 ± 0.6 (1.6-4.1) | 159.7 ± 42.8 (91.8-277.2) | 0.2 ± 0.1 (0.2-0.4) |
| T1D 14   | F      | 35          | 17.31       | 3.1 ± 1.6 (1.2-10.3) | 128.6 ± 60.0 (62.2-295.2) | 0.2 ± 0.2 (0.1-1.0) |
| T1D 15   | F      | 38          | 18.82       | 2.1 ± 0.7 (0.7-3.2) | 124.6 ± 41.9 (59.4-221.4) | 0.1 ± 0.0 (0.0-0.2) |
| T1D 20   | F      | 43          | 21.23       | 2.3 ± 0.6 (1.2-3.3) | 96.4 ± 28.3 (57.6-165.6) | 0.1 ± 0.0 (0.1-0.2) |

The test results were presented as mean ± SD (min-max) of measured data from each individual over 30 days.
419 mg/dL [26]. Turner et al. reported a positive correlation between BA and BG in 8 T1D patients during the induced hypoglycaemia in steps [31]. However, in some cases a reverse correlation was also observed. Rydosz found a negative correlation between BG and exhaled BA in 5 T1D patients measured using a commercial acetone gas sensor (TGS 822, 823 Figaro, Arlington Heights, IL, USA Inc) with micro preconcentrator in low temperature cofired ceramics [35]. Sun et al. measured BA in 20 lab-developed T1D rats, which had minimal to no baseline effect resulting from individual physiological heterogeneity, using a cavity ringdown spectroscopy based breath analyzer, a significant negative relationship between BA and BG was found when the BG level is far higher than the one that most diabetic patients would be able to tolerate [28]. Furthermore, the relationship between BA and BG shifts from negative to weakly positive when T1D rats were treated by insulin. In other published works, no correlation between BA and BG in T1D patients was found. For instance, more recently, Blaikie et al. measured BA from 113 young T1D patients aged 7-18 years in a clinic at the Oxford Children’s Hospital using a soft-ionization mass spectrometer, no correlation between BA and BG was found [32]. In the present study, the IMBA concentrations measured in the 20 T1D outpatients over 30 days show no obvious correlation to the IMBG levels, as shown in Figure 4 ($R^2=0.17$, $P=0.43$). Figure 5 shows the BA concentration versus the BG level in the 20 T1D outpatients when their BG levels were grouped into four groups: 40-100 mg/dL (low BG, group 1), 101-150 mg/dL (borderline, group 2), 151-200 mg/dL (high BG, group 3), and 201-419 mg/dL (very high BG, group 4). No strong correlation was observed, as reported in previous works [9,32].

**Correlation of breath acetone to blood ketone**

Data in Figure 6 shows a plot of the IMBA concentration versus the IMBK in the 20 T1D outpatients. The linear fitting to a weak positive correlation gives $R=0.57$ and $P<0.05$. However, a strong linear trend between the group mean BA and the group mean BK was found when the BK levels of the 20 T1D outpatients were grouped into six groups: 0 mmol/L (group 1), 0.1 mmol/L (group 2), 0.2 mmol/L (group 3), 0.3-0.6 mmol/L (group 4), 0.7-1.0 mmol/L (group 5), >1.0 mmol/L (group 6), as shown in Figure 7a [36]. Also, there is a statistical difference among the six different BK groups ($P<0.05$). The group 6 has the highest group mean breath acetone concentration. The outstanding BA values came out when the BK was above 1.0 mmol/L. A normal blood ketone is expected to be lower than 0.6 mmol/L. When BK is between 0.6 and 1.5 mmol/L and blood glucose is 300 mg/dL or higher, a problem requiring medical assistance might occur. When the BK value remains, high or becomes higher than 1.5 mmol/L, the subject may be at risk of developing DKA. When the BK was below 1.0 mmol/L, the fitting results for the measured group mean BA concentrations versus the group mean BK levels are $y=2.04+3.51x$ ($R^2=0.998$, $P<0.05$, Figure 7b). The results indicate that the group mean BA concentration increases with increase in the group mean BK level. It is apparent that: (1) the BA can be a good indicator of the BK and (2) the BA elevates significantly

![Figure 3: Day-to-day variations of simultaneous breath acetone, blood glucose and blood ketone levels measured in the selected 6 T1D outpatients over 30 days.](image-url)
when T1D has ketone bodies. This result, which was obtained from a longitudinal study of 20 T1D patients over 30 days, further confirms the recent reports on a significant positive relationship between the two parameters in the cross-sectional studies of young T1D patients and lab-developed T1D rats respectively [28,32]. Blaikie et al. studied BA in 113 young T1D patients using a soft-ionization mass spectrometer, they reported a significant positive correlation of BA with BK measured with capillary at low levels of 0-0.4 mmol/L [32]. Sun et al. found a significantly positive relationship between BA and BK when 20 lab-developed T1D rats were used as subjects to reduce the baseline effect resulting from individual physiological heterogeneity [28]. Besides T1D human and rats as subjects, the similar positive correlation between the two parameters were also found in human subjects with intractable seizures treated by a ketogenic diet in the works done by Cunnane et al. [14,37].

**Conclusions**

The simultaneous BA, BG, and BK in 20 T1D outpatients and 5 healthy subjects under the fasting condition were day-to-day continuously measured for a period of 30 days. 600 breath samples from
the T1D outpatients and healthy subjects were collected and tested. For the 20 T1D outpatients, the range of breath acetone concentrations was 0.5–49.5 ppm with a global mean of 3.1 ppm, whereas breath acetone in the healthy subjects ranged from 0.2 to 6.2 ppm, with a global mean of 1.9 ppm. Using the statistical analyses and the method of grouping, we found:

1. The global mean BA in the 20 T1D outpatients over the 30 days period was higher than the global mean BA from the controls.

2. No correlation was observed between the IMBA concentration and the IMBG level; however, the T1D subjects had an elevated group mean BA concentration when the subjects fell into the group with very high BG (201–419 mg/dL).

3. There was a weak positive correlation (r=0.57, P<0.05) between the IMBA concentration and the IMBK level in the 20 T1D outpatients over the 30 days period.

4. There was a strong linear relationship between the group mean BA and the group mean BK when the BK levels of the 20 T1D outpatients were grouped as: 0 mmol/L (group 1), 0.1 mmol/L (group 2), 0.2 mmol/L (group 3), 0.3–0.6 mmol/L (group 4), 0.7–1.0 mmol/L (group 5), and >1.0 mmol/L (group 6).

5. BA is a good indicator of the T1D with ketone bodies (BK >1.5 mmol/L).

Many physiological conditions or factors influence breath acetone concentration or its variations. However, continuous monitoring of breath acetone over a long period of time, which mitigates the baseline effect, can help screen and monitor adverse clinical conditions and abnormal physiological status such as T1D with DKA, lower BMI or post intense exercise. The data of the day-to-day variations in the simultaneous BA, BG, and BK for each individual over the 30 days period show a promise that the breath acetone measurement when is conducted under a clearly defined measuring condition and using a relatively large number of subjects is insightful into addressing how the breath acetone helps metabolic status monitoring.

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