EBIT Observation of Ar Dielectronic Recombination Lines near the Unknown Faint X-Ray Feature Found in the Stacked Spectrum of Galaxy Clusters

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Abstract
Motivated by possible atomic origins of the unidentified emission line detected at 3.55–3.57 keV in a stacked spectrum of galaxy clusters, an electron beam ion trap (EBIT) was used to investigate the resonant dielectronic recombination (DR) process in highly charged argon ions as a possible contributor to the emission feature. The He-like Ar DR-induced transition 1s22l–1s23l′ was suggested to produce a 3.62 keV photon near the unidentified line at 3.57 keV and was the starting point of our investigation. The collisional-radiative model NOMAD was used to create synthetic spectra for comparison with both our EBIT measurements and with spectra produced with the AtomDB database/Astrophysical Plasma Emission Code (APEC) used in the Bulbul et al. work. Excellent agreement was found between the NOMAD and EBIT spectra, providing a high level of confidence in the atomic data used. Comparison of the NOMAD and APEC spectra revealed a number of missing features in the AtomDB database near the unidentified line. At an electron temperature of $T_e = 1.72$ keV, the inclusion of the missing lines in AtomDB increases the total flux in the 3.5–3.66 keV energy band by a factor of 2. While important, this extra emission is not enough to explain the unidentified line found in the galaxy cluster spectra.

Key words: atomic processes – line: identification – methods: laboratory: atomic – techniques: spectroscopic – X-rays: galaxies: clusters

1. Introduction

Studies of galaxy clusters driven by the search for a dark matter candidate, the sterile neutrino, whose decay may produce an X-ray photon, have found a promising unidentified X-ray emission feature. The unknown feature has been reported at 3.55–3.57 keV (Bulbul et al. 2014) in the stacked X-ray Multi-Mirror (XMM-Newton) spectrum of high-count galaxy clusters and at 3.52 keV ± 0.02 keV (Boyarsky et al. 2014) in the XMM-Newton spectrum of the Perseus galaxy cluster and the Andromeda galaxy. Bulbul et al. (2014) noted that the observed feature could be due to a number of atomic transitions including lines from Ar and K, while Gu et al. and Shah et al. made arguments in support of charge exchange between bare sulfur and atomic hydrogen occurring as a result of the interaction between the hot intracluster medium (ICM) and cold dense clouds in galaxy clusters (Gu et al. 2015; Shah et al. 2016).

The possibility that the feature could be a signature of dark matter has spurred many follow-up studies: some confirmed the detection (Iakubovskiy et al. 2015; Urban et al. 2015) while others, including the high-resolution broadband Hitomi results from the Perseus cluster (Aharonian et al. 2017), found little evidence for the unidentified line (Malyshev et al. 2014; Anderson et al. 2015; Carlson et al. 2015; Tamura et al. 2015; Sekiya et al. 2016). The existence of the unidentified line is still under investigation and may remain in question until future high-energy resolution X-ray satellite missions are able to measure the spectra with good energy resolution and sensitivity in a number of galaxy clusters.

To help eliminate possible atomic origins and to aid the analysis of future observations near the unidentified line, we utilized the electron beam ion trap (EBIT) at the National Institute of Standards and Technology (NIST) to study the $1s^22l–1s23l′$ resonant dielectronic recombination (DR) transitions in Li-like Ar ($Ar^{15+}$), which produce X-ray photons close in energy to the unknown line. In this work, we show measured and calculated Ar X-ray spectra that include many DR satellites from lower charge-state ions that were not listed in AtomDB (Foster et al. 2012), the atomic database that was used in the Bulbul et al. (2014) analysis and often used in astrophysical X-ray spectral modeling. We further demonstrate that inclusion of these lines leads to a significant increase in emission in this energy region and produces agreement with measurements.

2. Dielectronic Recombination

DR is a two-step resonant process, in which a free electron is captured into a bound state of an ion while an atomic electron is simultaneously propagated into an energetically higher bound state. The doubly excited ion then stabilizes through spontaneous decay, emitting a photon. The DR process is described by Equation (1),

$$\text{e}^- + X^{q+} \rightarrow (X^{(q-1)+})^* \rightarrow X^{(q-1)+} + h\nu, \quad (1)$$

where $\text{e}^-$ represents the free electron, $X^{q+}$ is an ion ($X$) with positive charge $q$+, $(X^{(q-1)+})^*$ is the doubly excited ion with charge $(q-1)^+$, $X^{(q-1)+}$ is the stabilized ion, and $h\nu$ denotes an emitted photon.

DR resonances are labeled using three-letter notation, with the first, second, and third letters representing the principal quantum number of the initial unexcited bound electron, the excited electron, and the capture shell of the recombined
He-like Ar ionization energy horizontal lines were added at the DR process, a free electron may be captured into the electron, respectively. As a relevant example, during the KLM process, neutral argon atoms were injected into the EBIT, and the electron beam current was set to 60 mA. The electron beam energy was initially set to 2.1 keV, well above the ionization threshold of Ar\(^{16+}\) (918.375 eV from the NIST database (Kramida et al. 2018)). The trap voltage cycle included a charge breeding time of 5 s followed by a 10 ms dumping interval to displace any build-up of contaminants such as barium ions sputtered out of the dispenser cathode of the electron gun. The measurements were performed in a steady-state mode where the electron beam energy was set to remain constant during measurements. In this mode, the EBIT plasma attains steady-state at each individual electron beam energy setting, and the charge-state balance at each energy can be properly accounted for by a non-Maxwellian collisional-radiative (CR) model.

During our study, the electron beam energy was scanned from 2.1 to 5.2 keV in 15 eV steps to identify DR resonances. X-rays were collected for 3 minutes at each electron beam energy using a broadband solid-state high purity germanium (HPGe) detector with 135 eV full width at half maximum (FWHM) energy resolution at 6.5 keV. Simultaneous measurements were taken with a high-resolution (less than 2 eV FWHM at 3 keV) Johann-type crystal spectrometer (Henins 1987) using a Si (111) crystal and an X-ray CCD detector.

## 4. Analysis and Results

### 4.1. Experimental Broadband Results

Spectra obtained from the 3-minute measurements taken with the HPGe detector are plotted at each electron beam energy as shown in Figure 1 (left panel). The plot highlights some of the atomic processes occurring inside the EBIT including radiative recombination (RR), resonant DR, and...
direct excitation (DE). These processes present themselves in Figure 1 as diagonal lines, intense spots, and vertical lines, respectively. Important Ar features have been labeled in Figure 1 (left panel). Weak features appearing between the \( n = 2 \) and \( n = 1 \) RR diagonals originate from contaminant trapped ions, including barium as previously discussed.

Cuts taken through the diagonal \( n = 2 \) RR line and down the \( n = 2 \rightarrow 1 \) and \( n = 3 \rightarrow 1 \) measured Ar lines of Figure 1 (left panel) were projected onto the vertical axis for a more comprehensive view as shown in Figure 2. For reference, the \( n = 2 \rightarrow 1 \) and \( n = 3 \rightarrow 1 \) DE thresholds and the He-like ionization energy have been labeled as I, II, and III, respectively, in Figure 1 (left panel) and Figure 2.

The \( 1s^2nl''-1s2lnl'' \) DR transitions in Li-like Ar are seen in the \( n = 2 \rightarrow 1 \) cut of Figure 2 as sharp peaks below the \( n = 2 \rightarrow 1 \) DE energy threshold. Above this threshold, the He-like direct excitation is enhanced by KMM and KMN resonances. This results from L-shell Auger decay (Ali et al. 1991; Smith et al. 1996). The \( n = 2 \) RR cut exposes the higher \( n \) counterpart of the \( 1s^22l-1s2lnl'' \) DRs.

4.2. High-resolution Results

Argon spectra measured with the high-resolution crystal spectrometer at the electron beam energy corresponding to a maximum intensity of the \( n = 3 \rightarrow 1 \) transition of the KLM resonance is shown as the solid black curve in Figure 3. Measurements at the KLM resonance energy were collected with a total dwell time of 15 minutes.

The detailed structure of the \( n = 2 \rightarrow 1 \) DR transitions with a spectator electron at \( n = 3 \) in Li-like Ar is seen between 3.100 and 3.150 keV, while the \( n = 3 \rightarrow 1 \) transitions, with a spectator at \( n = 2 \), are seen between 3.600 and 3.650 keV. The spectrum also shows corresponding lines from lower charge-states, in particular around 3.560 keV, very close in energy to the reported unidentified line as discussed in the following sections. Features have been labeled with the strongest lines for more detailed identifications.

4.3. Collisional-radiative Modeling of the EBIT Plasma

The collisional-radiative package NOMAD (Ralchenko & Maron 2001), which allows for an arbitrary electron energy distribution function, was used to calculate the ionization balance, level populations, and line intensities of the EBIT plasma. The NOMAD code uses atomic data from external sources to solve the steady-state rate equations. To this end, the flexible atomic code (FAC; Gu 2008) was used to calculate atomic structure, transition rates, and collisional cross-section data. Charge-exchange occurring between trapped Ar ions and neutral atoms, which can shift the charge-state balance toward lower charge states, was included in the rate equations as the term: \( n_0\sigma_{CX}v_0 \), where \( n_0 \) is the density of neutrals in the trap, \( v_0 \) is the relative velocity between Ar ions and neutrals, and \( \sigma_{CX} \) is the charge-exchange cross section (Ralchenko et al. 2008, 2011). Since \( n_0 \) and \( v_0 \) are not well known, the product \( n_0\sigma_{CX}v_0 \) was used as the only free parameter in the model.

The simulated spectra were compared with measurements to understand the charge-state balance and correctly identify measured lines. This method has been used in previous works to accurately identify emission features from highly charged ions in X-ray and EUV spectral regions (see, e.g., Ralchenko et al. 2006, 2007, 2011; Kilbane et al. 2014; Podpaly et al. 2014; Reader et al. 2014; Silwal et al. 2017). Many of the earlier works also provide a thorough explanation of the calculations, which are omitted here.

Figure 1 (right panel) shows the modeled EBIT plasma convolved with a Gaussian of FWHM of 120 eV. Measured features including the intense DR resonances, direct-excitation lines, and RR diagonals are clearly reproduced, verifying our model at each electron beam energy. The theoretical spectrum, calculated at an electron beam energy of 2.730 keV and convolved with a Gaussian of FWHM of 1.4 eV, is shown with our EBIT spectra in Figure 3. Measured KLM DR features seen in our EBIT spectra are well reproduced, providing additional confidence in our model.

It is important to note that the emission produced by the unidirectional electron beam in the EBIT can be polarized and
anisotropic. Furthermore, the crystal spectrometer is sensitive to the polarization (see, e.g., Henderson et al. 1990; Beiersdorfer et al. 1996; Takács et al. 1996). The agreement seen between our modeled and experimental spectra, particularly at the $1s^2 2p^2 - 1s 2p^3$ and $1s^2 2s 2p^2 - 1s 2s 2p^3$ DR peaks of interest, suggest that polarization effects from these sources were not significant and were not considered for the DR analysis in this work. Additional efforts are currently underway to investigate polarization of DR transitions in Li-like Ar.

4.4. Spectra from Collisional-radiative Maxwellian Models

The ions present in the EBIT trap are produced and excited by a quasi-monoenergetic electron beam, producing a non-Maxwellian plasma; however, the hot ICM of galaxy clusters, responsible for producing the majority of the emission, is assumed to follow a Maxwellian distribution. To predict the importance of experimentally observed features under these conditions, we applied our CR model, which accurately reproduces measured spectra, to a Maxwellian-distributed electron energy distribution with electron temperature $T_e$. The calculated Ar spectra at $T_e = 1$ keV detailed in Figure 4 (top panel) includes strong He-like direct-excitation features, Li-like DR transitions, and weaker Be-like DR transitions. The two strong Li-like DR transitions of interest mentioned in Bulbul et al. (2014) are observed near 3.62 keV along with a number of weaker Li-like DR transitions. Close in energy to the unidentified line, near 3.57 keV, we see lower charge-state Be-like Ar DR transitions and additional Li-like DR transitions.

AtomDB is an atomic database that includes the Astrophysical Plasma Emission Database (APED) containing fundamental atomic data such as wavelengths, radiative transition rates, and electron collisional excitation rate coefficients. AtomDB also includes the spectral models output from the Astrophysical Plasma Emission Code (APEC; Smith et al. 2001). APEC uses the data from APED to calculate line

![Figure 3. EBIT and theoretical spectra at an electron beam energy of 2.730 keV. Photon energies between (top) 3.08–3.20 keV and (bottom) 3.54–3.66 keV, correspond to $n = 2 \rightarrow 1$ and $n = 3 \rightarrow 1$ transitions, respectively.](image-url)
emissivities for optically thin plasmas in collisional ionization equilibrium. In Figure 4 (bottom panel) we utilized APEC to calculate the same Ar spectrum at $T_e = 1$ keV for comparison with NOMAD. Lines with an emissivity below $10^{-20}$ (ph cm$^3$ s$^{-1}$) are typically not included as individual emission features in the AtomDB data but are instead included in a pseudo-continuum consisting of weak lines. For our calculation, the emissivity cutoff was lowered to $10^{-22}$ ph cm$^3$ s$^{-1}$, and as a result, the calculated spectra from AtomDB is seen to have more weak lines when compared to our calculated spectra in Figure 4 (top panel). However, the strongest lines show the same overall structure.

Focusing only on DR transitions, we overlaid our NOMAD DR spectra, convolved with a 1.4 eV FWHM Gaussian to match the resolution of the crystal spectrometer, with that produced by APEC in the energy region of interest. The spectra were normalized to the strongest DR feature near 3.616 keV. The DR intensities in Figure 5 are generally in good agreement with a few features missing in the APEC spectra near 3.56, 3.62, 3.64, and 3.66 keV. While much of the missing emission is due to a forest of weak Be-like lines missing from the database AtomDB, we also found a few missing or underestimated intensities from Li-like transitions also contributing. In particular, the 1s$^2$2s–1s2s3p transitions at 3.62 keV and 3.64 keV are much stronger in our model and are partially responsible for the missing emission. The missing emission near 3.56 keV is solely due to Be-like transitions (discussed further in Section 5), and the 3.66 keV line originates from missing 1s$^3$3d–1s3p3d DR transitions.

5. Discussion

The astrophysical atomic database AtomDB was used in the analysis of the stacked spectra of galaxy clusters (Bulbul et al. 2014). Strong Ar emission lines were fit along with a few weaker features, including the He-like Ar DR satellites listed in AtomDB at energies of 3.618 keV and 3.617 keV and relative intensities of 0.39 and 1, respectively. Though these two lines
are not fully resolved, even in our measured high-resolution spectra, we do see the blended line in Figure 3. The projected cuts taken through the EBIT data plot shown in Figure 2 highlight strong DR resonances and show the relative strength of the \( n = 2 \rightarrow 1 \) to the \( n = 3 \rightarrow 1 \) satellite transitions of interest at the KLM DR peak.

In their report, Bulbul et al. (2014) calculated the maximum emissivity of the 3.62 keV Ar DR line to be 4% of the He-like Ar triplet at 3.12 keV, at \( T_e = 0.7 \) keV. They also note that if the unidentified galaxy cluster line results from the 3.62 keV DR resonance, then the flux would need to be increased by a factor of 30 from the current AtomDB estimate. As a check, we looked at the 3.62 keV DR resonance feature in the NOMAD and APEC spectra and compared its emissivity to the He-like triplet. In agreement with Bulbul et al. (2014), at \( T_e = 1 \) keV, the 3.62 keV DR is roughly 2% of the Ar triplet in both spectra. Given that the relative intensity ratio of the strong He-like lines to the 3.62 keV DR line is also comparable between our EBIT measurements and calculated spectra, we conclude that the He\( \beta \) DR data used in Bulbul et al. (2014) are not off as much as the factor of 30 needed for known atomic physics to resolve the problem.

During our investigation, we measured an interesting feature very close in energy to the unidentified line near 3.56 keV. This line, seen in the measured EBIT spectrum and replicated in our NOMAD calculated spectrum (Figure 3), has an intensity comparable to the Ar DR satellite feature near 3.62 keV. Using the NOMAD model, we were able to identify this as \( 1s^22s2p-1s2s2p3p \) electric dipole DR transitions from \( \text{Ar}^{14+} \) with an approximate energy of 3.557 keV. AtomDB does not include DR satellite lines for \( \text{Ar}^{15+} \) recombining to \( \text{Ar}^{14+} \); therefore, the 3.557 keV feature was not included in Bulbul et al. (2014), and it is not in the AtomDB spectra in Figure 4 (bottom panel) and Figure 5. It can clearly be seen in our \( T_e = 1 \) keV NOMAD spectrum (Figure 4 (top panel) and Figure 5).

Using FAC, we produced data for \( 1s22l^l2l'' \) and \( 1s22l'3l' \) DR satellite lines between 3.075 and 3.672 keV. This data was added to AtomDB and the ratio of the flux with and without the new lines was evaluated in three energy bands and over a range of electron temperatures. The three energy bands include: 3.1–3.2 keV (corresponding to the \( \text{Ar}^{16+} \) \( \text{He}\alpha \) complex), 3.66–3.72 keV (at \( \text{Ar}^{16+} \) \( \text{He}\beta \)), and 3.5–3.66 keV (where the unidentified line, \( \text{Ar}^{16+} \), and \( \text{Ar}^{15+} \) DR lines lie). As shown in Figure 6, the added data has minimal effects on the \( \text{He}\alpha \) and \( \text{He}\beta \) complexes, as these lines were already very bright. However, the new DR data leads to significant enhancement of the DR feature around 3.6 keV, especially at lower temperatures.

In Figure 7 (top panel), the Ar emissivity was calculated at \( T_e = 1.72 \) keV using the original AtomDB data and again with the newly included DR data. The emission is broken up for each Ar charge state, demonstrating the lack of \( \text{Ar}^{15+} \) features in the original spectrum. The new \( \text{Ar}^{15+} \) DR features are seen predominantly around 3.64 keV. Their effect is further highlighted in Figure 7 (bottom panel) where the ratio of the total emissivity with and without the features is calculated for each energy bin. This produces a maximum factor of 44 increase in emissivity around 3.65 keV.

Bulbul et al. (2014) find a range of temperatures for different components used to model their plasma. The lowest of these is \( T_e = 2.0 \) keV for the “Excluding Nearby Clusters” sample. At this temperature, the new DR data enhances the flux in the 3.5–3.66 keV band by a factor of 2. While significant, this is much smaller than the factor of 30 that Bulbul et al. (2014) state is required for this line to explain the 3.55 keV feature.

In a recently released preprint, Bulbul et al. (2019, hereafter BUL19) report EBIT experiments in a similar vein to these, aiming to measure the effect of the Ar DR emission. Their results are similar to ours in that they also find that their measured Ar DR is more intense than allowed for in AtomDB and therefore in Bulbul et al. (2014), but not by the factor of 30 required for Ar DR to explain the unidentified feature. In particular at \( T_e = 1.74 \) keV, BUL19 report a factor of 2.6 in missing flux in the 3.54–3.645 keV range (from BUL19, Table 4) when comparing EBIT measurements to spectra produced with AtomDB v3.0.8. They added DR data from Beiersdorfer et al. (1995) Tables V and VI including \( 1s3l3l' \) data spanning 3.645–3.680 keV and \( 1s22l3l' \) data between 3.145 and 3.588 keV. With the added lines, a better fit at
3.55–3.59 keV was obtained, but no improvement between 3.6 and 3.65 keV was observed. BUL19 mention that the additional lines cannot explain the extra flux they measured in the Ar\(_{16}^+\) DR lines. Since the Ar\(_{15}^+\) DR lines added in their work only spanned 3.145–3.588 keV, conclusions cannot be made regarding their effect on the total flux in this region.

As previously discussed in this work, we added Be-like DR data to AtomDB covering a wider energy range (3.075–3.672 keV). At \(T_e = 1.72\) keV (close to the BUL19 temperature), the addition of these lines produced a factor of 2 increase in the total flux between 3.5 and 3.66 keV. As demonstrated in Figure 7, we saw the largest increase in flux between 3.63 and 3.67 keV, suggesting these lines account for a large portion of missing flux reported by BUL19 in Table 4. The largest discrepancy they report between experiment and AtomDB is a factor of 10.7 difference in flux in the energy region between 3.630 and 3.645 keV. Inclusion of the Be-like data in this work increases the flux in this energy region by a factor of 14.5 at \(T_e = 1.72\) keV. Finally, as discussed in Section 4.4, we also found 1s\(^2\)2s–1s2s3p transitions at 3.62 keV and 3.64 keV that were either missing or greatly underestimated in AtomDB. Amending these issues will add more to the missing flux in this region.

6. Conclusions

Searching for possible atomic origins of the unidentified line in the stacked spectra of galaxy clusters (Bulbul et al. 2014), we measured X-ray emission from Ar ions at the NIST EBIT facility. The excellent agreement shown between our EBIT and NOMAD modeled non-Maxwellian spectra provides a high level of confidence in the atomic data used in our model. In comparing a \(T_e = 1\) keV Maxwellian-distributed spectra...
produced by the NOMAD code to that produced with AtomDB/APEC, we find good agreement in the line intensity ratio of the He-like triplet to the 3.62 keV DR, confirming that the Ar$^{16+}$ DR is not off by the factor of 30 required to explain the unidentified feature. We also found that the AtomDB spectra has significant emission missing in the energy region near the unidentified line due to Ar$^{15+}$ DR features. Including missing Ar$^{15+}$ DR data in AtomDB resulted in a factor of 2 increase in the flux between 3.5 and 3.66 keV at $T_e = 1.72$ keV. There are also a number of Ar$^{16+}$ DR transitions missing or underestimated in the AtomDB data that show up near 3.64 keV and 3.62 keV in Figure 5. Combined, these features contribute to a significant amount of emission that was not accounted for in AtomDB and therefore not in the Bulbul et al. (2014) work. These missing or inaccurate DR lines also account for the missing emission reported in BUL19 in this energy region.

Finally, while charge-states lower than He-like Ar may not contribute significantly to individual galaxy cluster emission (which are typically at much higher temperatures where these low charge-states are less abundant), they may be important in lower temperature astrophysical objects, in non-Maxwellian plasma sources, and in stacked spectra where weak features can be greatly enhanced. This was clearly demonstrated by comparing spectra from our controlled EBIT plasma to modeled spectra. However, in astrophysical plasmas containing multiple elements, charge states, and electron energies, the results may be more subtle and lead to physical misinterpretations, making the inclusion and accuracy of this data important.

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Software: NOMAD (Ralchenko & Maron 2001), FAC (Gu 2008), AtomDB (Foster et al. 2012), APEC (Smith et al. 2001).

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