COMPARISON OF SINUSOIDAL AND INVOLUTE SPUR GEARS BY MESHING CHARACTERISTICS

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Comparison of sinusoidal and involute spur gears by meshing characteristics. The article deals with the relevant task of development of gears, that are alternative to the involute (conventional) ones, and can be applied in power drives of machines. The most common requirement for alternative types of gearing is higher comparing with traditional load capacity and durability. To provide this requirement, a sinusoidal gearing can be used. The purpose of this work is to detect its advantages comparing with conventional transmissions. In order to achieve the goal, the theoretical study of qualitative meshing characteristics, which relate to three levels - single, complex and integral, was performed. For gearings the meshing characteristics are the integral characteristic by its algorithm of definition. It is established that at the extreme points of the line-of-action, the sinusoidal gearing have the characteristic of surface strength at least 10.14 times greater; the characteristic of scuffing at least 10.13 times less; the characteristic of wear of pinion’s teeth surfaces at least 21.58 times less; the characteristic of wear of gear’s teeth surfaces at least 36.81 times less; the characteristic of oil film’s thickness at least 6.57 times more; specific work of frictional forces on the surface of the pinion’s teeth at least 8.3 integral characteristic by its algorithm of definition. It is established that at the extreme points of the line -of-action, the sinusoidal gearing of wear of pinion’s teeth surfaces at least 21.58 times less; the characteristic of wear of gear’s teeth surfaces at least 36.81 times less; the characteristic of oil film’s thickness and the specific work of frictional forces. The complex characteristics, together with geometric-kinematic, determine the gearing power loss. This characteristic is inherently a measure of gearing effectiveness and it is an integral characteristic by its algorithm of definition. It is established that at the extreme points of the line-of-action, the sinusoidal gearing have the characteristic of surface strength at least 10.14 times greater; the characteristic of scuffing at least 10.13 times less; the characteristic of wear of pinion’s teeth surfaces at least 21.58 times less; the characteristic of wear of gear’s teeth surfaces at least 36.81 times less; the characteristic of oil film’s thickness at least 6.57 times more; specific work of frictional forces on the surface of the pinion’s teeth at least 8.3 times less; the specific work of frictional forces on the surface of gear in at least 14.16 times less. The gearing power loss of sinusoidal meshing 2.13 times less. Therefore, the assumption of sinusoidal gearing developer Ernest Wildhaber about the increase of load capacity, as well as the previous results obtained by the first researcher of this gearing Yu.V. Anikin, were approved. The results of the work may be used for further experimental studies, in particular, for the reducers’ load levels selecting during the experiments.

Keywords: spur gear, convex-concave contact, sinusoidal gearing, meshing characteristics

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Introduction. The drives based on gears are widely used in all kinds of equipment, both general and special purpose. The characteristics of gearing serviceability, such as load capacity, efficiency, smoothness of work, determine the quality of equipment in general. Therefore, the task of gear drives improvement is certainly relevant. The advanced way to improve gearing is the choice of rational geometry of gearing. This approach has been applied for the involute (conventional) gearing up to the present [1 – 3]. Since the conventional gearing has practically exhausted the reserves for increasing the load capacity, one of the ways to solve this problem is a search of alternative geometry for gearing. The application of alternative gearing types, in particular, sinusoidal gearing, in which convex-concave contact of active surfaces is realized, has high expectation.

Analysis of recent research and publications. More than half a century ago, the first alternative Wildhaber-Novikov gearings began to develop actively and it was implemented in the industry. They have a convex-concave contact. Since the contact in these gearings is the disadvantage is a small contact ratio. However, the advantages of convex-concave contact have been confirmed by years of research and practice of their application.

Since then, a number of alternative types of gearing with a convex-concave contact of teeth active surfaces have appeared. Moreover, in recent years the researches aimed at creating unconventional gears with convex-concave contact have been carried out actively. This is quite logically, because the new methods of teeth cutting by disc [4, 5] or shank-type [6] milling cutter have become available.

The authors of [7] proposed a new type of C-C gearing with the shape of a tooth that implements the convex-concave contact. The tooth shapes are constructed on the basis of line-of-action defined by the arcs, which may be symmetrical or asymmetrical relatively to the pitch point. The comparison of such gears with conventional ones presented in [8] shows the advantages of C-C gears in terms of surface strength and durability.

In [9] analytical dependencies were obtained for determining some characteristics of evolute gearing [10]. As in the C-C gearing, in evolute one the convex-concave linear contact is realized. However, by a contrast to the C-C gearing, the evolute one is based on the more general case of working surfaces synthesis by the line-of-action shape. The last one may be symmetrical or asymmetrical relatively to the pitch point. The indicators of evolute gearing are higher than the conventional ones.

The study [11] is devoted to obtaining the analytical dependencies for determining the meshing characteristics of a conchoidal gearing. The reference profile in such gearing is formed by segments of circle arcs. However, unlike Wildhaber-Novikov’s gearings a linear contact is realized in conchoidal gearings and the line-of-action is Nichomed’s conchoid. The further studies of such gearings allowed to establish their advantages comparing to the conventional ones.

In addition to the choice of rational geometry and synthesis by the line-of-action, an effective way of gears’ improving is a synthesis of reference profile according to the given geometric-kinematic meshing characteristics. Moreover, such synthesis by complex characteristics is also possible for spur gears and this is confirmed by the results of [12]. This study presents recommendations for determining the geometry of gearing with increased wear resistance. In the presented gearings, a convex-concave contact is also realized.

Among the existing alternative gearings, except the above mentioned, a sinusoidal gearing proposed by E. Wildhaber more than half a century ago (US3251236) is of great interest. The author proposed the shape of the teeth profiles providing a high smoothness of work. In a later study by Yu.V. Ankin which was performed under the advising of prof. V.A. Gavrilenko, a lower noise level was confirmed and the possibility of sinusoidal gearing in power drives application was suggested.

The article [13] presents the dependencies for determining the meshing characteristics of sinusoidal gearing, but the values of these characteristics are not defined.

The purpose of this research is to confirm the above-mentioned assumption by a detailed study of the meshing characteristics of sinusoidal gearing and their comparison with the characteristics of conventional gears.
Materials and Methods. For the qualitative estimation of gearing serviceability, a number of geometric-kinematic indicators can be used, which can be obtained for the sinusoidal gearing based on the results of study [13].

1. Sliding velocity:

\[ V_s = \omega_1 \left( \frac{u + 1}{u} \right) h \sin \lambda \sqrt{4h^2 \cos^2 \lambda + 1}, \]  

where \( \omega_1 \) is an angular velocity of pinion;

\( u \) is a gear ratio;

\( h \) is a height of sinusoidal reference profile in fractions of gearing module \( m \). It equals to a radius of the circle which creates the sinusoid (Fig. 1);

\( \lambda \) is a parameter for the sinusoid, this angle \( \lambda \) varies from 0 to \( \lambda_p \) for the working part of profile \( h_p \), from \( \lambda_p \) to \( \pi/2 \) for the root fillet (Fig. 1).

It should be noted that \( h \) and \( \lambda \) clearly determine the basic rack in the system of coordinates related to the reference profile \( x_r = h \sin \lambda, \ y_r = \lambda / 2 \).

2. The velocity of contact point in the direction normal to the contact line on the pinion’s and gear’s surfaces:

\[ V_i = \omega_i \left( \frac{R_i}{4h^2 \cos 2\lambda + 1} \right) \sqrt{4h^2 \cos^2 \lambda + 1}, \]  

where \( \omega_i \) is an angular velocity of pinion \( (i = 1) \) or gear \( (i = 2), \) rad/s;

\( R_i \) is a radius of pinion’s \( (i = 1) \) or gear’s \( (i = 2) \) pitch circle in fractions of \( m \);

3. The total velocity of contact points:

\[ V_{\Sigma} = \omega_i \left[ \frac{2R_i}{4h^2 \cos 2\lambda + 1} + \left( 1 - \frac{1}{u} \right) h \sin \lambda \sqrt{4h^2 \cos^2 \lambda + 1} \right]. \]  

4. The specific sliding on the pinion’s \( (i = 1) \) and gear’s \( (i = 2) \) teeth surface:

\[ \eta_i = \pm \frac{(u + 1)}{u} \frac{h \sin \lambda (4h^2 \cos 2\lambda + 1)}{R_i \pm h \sin \lambda (4h^2 \cos 2\lambda + 1)}. \]  

5. The reduced curvature of working surfaces in the direction perpendicular to the instant contact line:

\[ \chi_{red} = \frac{(R_i + h \sin \lambda (4h^2 \cos 2\lambda + 1)) (4h^2 \cos^2 \lambda + 1)^{1.5}}{[R_i + h \sin \lambda (4h^2 \cos 2\lambda + 1)][R_i - h \sin \lambda (4h^2 \cos 2\lambda + 1)]}. \]  

In the equation (2) and (4) the sign “+” is taken for the pinion, and “−” for the gear.

For a more complete estimation of gearing quality the complex meshing characteristics depending on the geometric-kinematic indicators (1) – (5) may be applied.

1. The surface strength is evaluated based on the assumption that in the result of gearing conformability under the load the surface stresses have constant values. This assumption is usually used in calculations of traditional gearing, because it is consistent well with the experimental results. It was used in study [13] for the determination the relative characteristic of surface strength. If the expression [13] is converted for spur gears it will be obtained:

\[ \Theta_H = \frac{h \cos \lambda}{\chi_{red} \sqrt{h^2 \cos^2 \lambda + 0.25}}. \]
This characteristic is actually the load factor for the surface stresses determine. It contains the geometric parameters of tooth and affects the critically allowable load for the condition of surface strength:

\[ T_i = kRb_w\Theta_H, \]  

(7)

where \( b_w \) is a width of gearing;

\( k \) is a proportionality factor;

\[ k = \frac{1}{E_{\text{red}}} \left( \frac{\sigma_H}{0.418} \right), \]  

(8)
determined from the Hertz equation:

\[ \sigma_H = 0.418\sqrt{q_nE_{\text{red}}\chi_{\text{red}}}, \]  

(9)

where \( q_n \) is a force acting on the unit of length of contact line (directed normally to the working surfaces of the teeth);

\( E_{\text{red}} \) is a reduced modulus of elasticity of gears’ materials.

Obviously, the critical load corresponds to the limit value of \( k_{\text{max}} \) for \( \sigma_H = [\sigma_H] \). Then, taking into account (8) and (9), the maximum specific force:

\[ q_n^{\text{max}} = \frac{k_{\text{max}}}{\chi_{\text{red}}}. \]  

(10)

2. The scuffing is usually estimated by the values of teeth working surfaces temperature which is determined by the Block’s theory \[14\]. However, for the comparative evaluation of various gearings the instantaneous flash temperature in the zone of contact \[15\] based on the Block theory may be used:

\[ \Theta_S = \frac{1.84 f q_n^{0.75}V_n^{0.25}V_{\Sigma}^{0.25}}{\sqrt{V_1} + \sqrt{V_2}}. \]  

(11)

The coefficient 1.84 in this equation contains the physical characteristics of gears and oil. Since for comparison we will consider gears made of identical materials and the same oil, we will use a relative characteristic:

\[ \tilde{\Theta}_S = \frac{f q_n^{0.75}V_n^{0.25}V_{\Sigma}^{0.25}}{\sqrt{V_1} + \sqrt{V_2}}. \]  

(12)

In the equation (11) \( f \) is the coefficient of sliding friction in the teeth contact zone. It is defined according to \[16\]:

\[ f = 0.09 q_n^{-0.1} \left[ 10 + \lg \left( \frac{HB \cdot Ra \cdot \chi_{\text{red}}}{E_{\text{red}}} \right) \right]^{0.25} V_2^{-0.07} V_S^{-0.35}, \]  

(12)

where \( q_n \) is in kgf/cm;

\( E_{\text{red}} \) is in kg/sm²;

\( \chi_{\text{red}} \) is in 1/cm;

\( V_2 \) and \( V_S \) is in cm/s;

\( HB \) is a Brinell’s hardness number of harder gear’s teeth, kg/cm²;

\( Ra \) is a surface roughness of harder gear’s teeth, cm;

\( \nu \) is an oil viscosity, cSt.

The equation (12) is valid under conditions \( \nu \geq 1 \) cSt; \( q_n \geq 300 \) kgf/cm; \( V_2 \geq 100 \) cm/s; \( HB > 5000 \) kg/cm²; \( V_S > 20 \) cm/s; \( 1/\chi_{\text{red}} > 0.5 \) cm; \( Ra > 10^{-4} \) cm. If these conditions are not met, the coefficient of sliding friction is determined by the equation \[15\]:

\[ f = \ldots \]
where \( \Phi(\sigma_H, \nu) = 0.47 - 0.13 \cdot 10^{-4} \sigma_H - 0.4 \cdot 10^{-3} \nu \),
\( \sigma_H \) is in \( \text{kg}/\text{sm}^2 \).

The parameters’ measurement units included in (12) and (13) are given in accordance with [15, 16].

The specific load \( q_n \) in the equations (11), (12) and (13) taking into account the equations (7) – (9) equals:

\[
q_n = \frac{T_i}{\chi_{\text{red}} R \Theta_H}.
\]

3. Wear of teeth active surfaces may be estimated by the relative value of wear layer thickness [16]:

\[
h_{wi} = I \Omega \chi \eta \chi,
\]

where \( \Omega \) is a coefficient depending on the lubricant properties, it doesn’t take into account the geometry of the teeth;

\( I \) is an intensity of surfaces wear, which for the run-in surfaces is determined in accordance with the recommendations of I.V. Kragelsky [16] by the equation:

\[
I = K \sigma_H f^{t_f},
\]

where \( K \) is a coefficient depending on the elastic properties and hardness of gear’s material;

\( t_f \) is a parameter of frictional fatigue curve.

The equation (15) taking into account (9), (14) and (16) may be represented as:

\[
h_{wi} = 0.418 K \Omega T_i \sqrt{E_{\text{red}}} \frac{f^{t_f} |\nu|}{\chi_{\text{red}} \Theta_H}.
\]

The relative value of wear:

\[
h_{wi} = f^{t_f} |\nu|,
\]

may be used as for a comparative evaluation of gearings with different teeth profiles but made of identical materials and works in identical conditions under the same load.

4. The oil film’s thickness is determined on the basis of dependence shown in the study [17]:

\[
h_o = 3.17 \mu^{0.67} \alpha^{0.67} V_z^{0.75} \chi_{\text{red}}^{0.4} q_n^{0.15},
\]

where \( \mu_o \) is a dynamic viscosity of oil at atmospheric pressure;

\( \alpha \) is an oil viscosity’s piezo coefficient, which depends on the temperature and surface stress in the contact zone of the teeth.

The equation (18), taking into account (14), may be represented as:

\[
h_o = 3.17 R_i^{0.15} T_i^{-0.15} \mu^{0.75} \alpha^{0.67} V_z^{0.75} \chi_{\text{red}}^{0.25} \Theta_H^{0.15}.
\]

The relative value of oil film’s thickness obtained from (19) after excluding parameters that don’t depend on the teeth geometry:

\[
\tilde{h}_o = V_z^{0.75} \chi_{\text{red}}^{0.25} \Theta_H^{0.15}
\]

can be used for the comparative evaluation of gearing with different teeth profiles.

5. The specific work of frictional forces on the contact line area of unit length is determined by the equation [15]:

\[
dA_f = q_n f |\nu|.
\]
According to the results of work [13], the gearing power loss is an integral characteristic, based on the geometric-kinematic (1) and complex (6) characteristic as well as specific load (14). It is defined as:

\[ \psi_m = \frac{b_n R_i}{\pi \phi_0} \int_{\phi_0}^{\phi_2} q_n f V_5 d\phi, \] (22)

where \( \phi_0 \), \( \phi_2 \) is the pinion’s turning angles, that correspond to the starting and end of the gearing.

Correspondence of pinion’s turning angle with the position of contact line on the profile is determined from the equation [18]:

\[ \varphi = \frac{h^2 \sin 2\lambda + 0.5\lambda}{R_i}. \] (29)

The bending strength of sinusoidal tooth in the first approximation was evaluated in [19]. Its results are in good agreement with the assumption of Yu.V. Anikin [20] about the sinusoidal tooth’s advantages. However, a more detailed estimation of stress state of sinusoidal teeth is quite huge and complex task, so it should be extract in an independent study.

So, the geometric-kinematic (1) – (5), complex (6), (11), (17), (20), (21), as well as integral (22) characteristics was applied for comparison of sinusoidal gearing with conventional one. The geometric-kinematic meshing characteristics of conventional gearing were determined using the formulas of [21]. It may be applied because quasi-involute arc or crowded gear have the involute spur gearing in a mid-section. The dependence of \( \varphi \) on the contact line position for the conventional gearing is also determined by [21]. For comparison, we choose gearings with the parameters presented in the Table 1.

| Parameter                          | Designation | Units | Value |
|------------------------------------|-------------|-------|-------|
| Gearing Module                     | \( m \)     | mm    | 10    |
| Number of Pinion’s Teeth           | \( z_1 \)   |       | 20    |
| Number of Gear’s Teeth             | \( z_2 \)   |       | 100   |
| Addendum and Dedendum Coefficients of Involute Tooth | \( h_a, h_f \) |       | 1.25  |
| Addendum Coefficient of Sinusoidal Tooth | \( h_p \)   |       | 1.37  |
| Pressure Angle                     | \( \alpha \) |       | 20    |
| Brinell Hardness of Teeth Working Surfaces | \( HB \)   | kg/cm² | 25000 * |
| Roughness of Working Surfaces      | \( Ra \)    | cm    | 0.00032 * |
| Materials Modulus of Elasticity    | \( E_{red} \) | kg/cm² | 2141404 * |
| Oil’s Viscosity                    | \( \nu \)   | cSt   | 20 *  |
| Torque on the Pinion Shaft         | \( T_1 \)   | kgf m | 12730 * |
| Angular Velocity of Pinion         | \( \omega_i \) | rad/s | 150   |
| Parameter of Friction Fatigue Curve| \( t_f \)   |       | 2     |

**Results and Discussion.** Geometric-kinematic characteristics of both gearings are presented in Fig. 2 – 5 and complex characteristics are presented in Fig. 6 – 12. The solid and dashed lines correspond to sinusoidal and conventional gearing respectively.

\* Values are given in units for calculations by equations from [15]
and corresponds to the pinion’s addendum

and gear’s dedendum (end of meshing). The negative value of ordinate axis for these characteristics corresponds to pinion’s dedendum and gear’s addendum (starting of meshing).

3. The positive and negative values of ordinate axis $x_1$ for $\eta_1$, $\bar{h}_{11}$, $dA_{11}$ correspond to the pinion’s addendum and pinion’s dedendum respectively

4. The positive and negative values of ordinate axis $x_2$ for $\eta_2$, $\bar{h}_{22}$, $dA_{22}$ correspond to the gear’s addendum and gear’s dedendum respectively

5. The values of velocities $V_3$ and $V_5$ was defined for $\omega_0 = 1$ rad/s

* 1. An ordinate axis on Fig. 2 – 12 displays the whole depth of basic rack profile

2. The positive value of ordinate axis $x_1$ for $V_3$, $V_5$, $\chi_{rel}$, $\Theta_\eta$, $\bar{h}_\eta$ and $\bar{h}_\eta$ corresponds to the pinion’s addendum and gear’s dedendum (end of meshing). The negative value of ordinate axis for these characteristics corresponds to pinion’s dedendum and gear’s addendum (starting of meshing)

MACHINE BUILDING ENGINEERING
The coordinates \( x_r \) which correspond to the starting and end points of gearing was defined with accordance to recommendations of \([18]\) and \([21]\) for sinusoidal and conventional gearing respectively.

**Table 2**

| Geometric-kinematic characteristics at | \( V_2^{inv} \) | \( V_1^{inv} \) | \( V_2^{inv} \) | \( V_1^{inv} \) | \( \eta_2^{inv} \) | \( \eta_1^{inv} \) | \( \chi_2^{inv} \) | \( \chi_1^{inv} \) |
|----------------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| – the end point of gearing             | 1.18           | 2.85           | 4.86           | 3.52           | 3.35           | 5.72           | 10.65          |
| – the pitch point zone                 | 1              | 1              | 1              | 1              | 1              | 1              | 1              |
| – the starting point of gearing        | 1.4            | 206.82         | 24.23          | 42.92          | 288.86         | 33.84          | 3587.7         |
| Complex characteristics at            | \( \Theta_2^{red} \) | \( \Theta_1^{red} \) | \( h_{20}^{inv} \) | \( h_{10}^{inv} \) | \( \chi_2^{red} \) | \( \chi_1^{red} \) | \( dA_{2r}^{inv} \) | \( dA_{1r}^{inv} \) |
| – the end point of gearing             | 10.14          | 10.13          | 21.58          | 36.81          | 6.57           | 8.3            | 14.16          |
| – the pitch point zone                 | 1              | 1              | 1              | 1              | 1              | 1              | 1              |
| – the starting point of gearing        | 3353           | 2671           | 341491         | 40002          | 438.57         | 9601.4         | 1124.71        |

Analysis of Table 2 shows that both transmissions have the same values in the near-pitch zone. This fact is due to two reasons. Firstly, the curvature of the sinusoidal reference profile on the pitch line according to the results of \([18, 20]\) equals zero. It means that at the pitch point the sinusoidal gearing has meshing characteristics of the involute one. Secondly, the profile angle of the sinusoidal reference profile on the pitch line is 20° (Table 1).

The geometric-kinematic characteristics of sinusoidal gear at the end point of gearing are 1.18...10.65 times better. Complex characteristics are 6.57...36.81 times better.

The most of sinusoidal gear’s characteristics at the beginning of the gearing are much better. This fact is due to the dependence of complex characteristics on reduced curvature and specific sliding. The beginning of the gearing takes place at the lowest point of the pinion’s tooth profile where the values of reduced curvature and specific slides of involute gears are usually high. On the contrary, both of characteristics of sinusoidal gearing have very low values at the beginning of gearing.

The gearing power loss, defined by formula (22), have the following values:

– \( \Psi_{2r}^{inv} = 0.01 \) for conventional gearing;
– \( \Psi_{1r}^{inv} = 0.0047 \) for sinusoidal gearing.

It should be noted that the value of gearing power loss calculated taking into account the value of \( V_\Sigma \) by the recommendations of \([15]\) equals 0.011. Consequently, the formula (22) is in good correlation with formula of \([15]\). So, sinusoidal gearing has an advantage of 2.13 times by an integral characteristic.
Conclusions

1. As a result of the definition and analysis of meshing characteristics, the advantages of sinusoidal gearing over the traditional one are determined. Thus, using the theoretical methods the assumption of previous researchers about the possibility of using of sinusoidal gears in power transmissions was confirmed.

2. The greatest advantages of sinusoidal gears, up to 5 orders of magnitude, occur at the beginning of the gearing. They are caused by almost zero values of the reduced curvature and specific sliding of the sinusoidal gearing, and the high values of these characteristics in the conventional gearing. At the pitch point, both of transmissions have the same values of characteristics. At the end of the gearing, the complex characteristics of sinusoidal gearing are 6.57…36.81 times better.

3. The gearing power loss was considered as an integral characteristic. The sinusoidal gearing’s power loss is in 2.13 times less than involute’s one. It shows not only the higher energy efficiency, but also the better combination of values of geometric-kinematic and complex characteristics of sinusoidal gearing.

4. The results of this work may be used for further experimental research. Particularly, in the reducers’ tests for determination of the load levels using the formulas (6) – (10).

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