Assessment of Human Health Vulnerability to Climate Variability and Change in Cuba

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In this study we assessed the potential effects of climate variability and change on population health in Cuba. We describe the climate of Cuba as well as the patterns of climate-sensitive diseases of primary concern, particularly dengue fever. Analyses of the associations between climatic anomalies and disease patterns highlight current vulnerability to climate variability. We describe current adaptations, including the application of climate predictions to prevent disease outbreaks. Finally, we present the potential economic costs associated with future impacts due to climate change. The tools used in this study can be useful in the development of appropriate and effective adaptation options to address the increased climate variability associated with climate change.

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Concern about the potential health effects of climate change began in the mid-1980s, with indications that emission of greenhouse gases from human activities could influence the climate system and result in intensification of the greenhouse effect. Given the clear evidence that many health outcomes are highly sensitive to climate variations, it is inevitable that long-term climate change will have some effect on global population health. Climate variability (CV) and change will influence all natural, human, and socioeconomic systems, thus affecting not only health but also many aspects of ecologic and social systems. Climate is one factor that may create conditions that facilitate the development of some disease-causing microorganisms (McMichael and Kovats 1999).

It is important for the health sector to understand current vulnerability to CV because this increasing variability may have a greater impact on health than gradual changes in mean temperature, precipitation, and other climatic variables. Assessing current vulnerability includes understanding both disease exposure–response relationships and current interventions implemented to reduce the burden of climate-sensitive diseases. Additional interventions that can be implemented within the time frame of decision makers (5–10 years) need to be identified to reduce the health effects projected to occur with climate change.

Climate-sensitive diseases have been identified that have important health burdens, particularly vectorborne diseases. Virus and bacteria quickly mutate, thus allowing for environmental adaptation (McMichael and Kovats 1999). CV and climate change may be additional stresses that increase mutation rates of different microorganisms, thus increasing emerging and reemerging diseases. Climate is not the only factor that affects the incidence and range of vectorborne diseases; recent increases are due in part to the collapse of vector-control programs (Burton and van Aalst 1999; Michael and Trtanj 1999).

We included acute respiratory infections (ARI$s$), acute diarrheal diseases (ADD$s$), bacterial meningitis, viral meningitis, dengue fever, and bronchial asthma (BA) in the vulnerability assessment because these diseases are known to be climate sensitive and because they have relatively high burdens of disease in Cuba. We analyzed interactions between CV and disease burdens, taking into account that epidemic processes are multicausal (Chan et al. 1999; Kovats et al. 2003). We also explored the uses of a climate index in the prediction of disease outbreaks (Michael and Trtanj 1999; World Meteorological Organization 2001). We then synthesized this information to describe current vulnerabilities to CV, including descriptions of the adaptation baseline. Finally, we estimated the potential economic costs of the projected health impacts of climate change.

Materials and Methods

Data sources. Weather and climate data. We obtained meteorologic data from 1961 to 2003 from the Climate Center at the Institute of Meteorology; data are available from 52 stations across the country. Data included monthly series of maximum and minimum mean temperatures (°C), precipitation (millimeter), atmospheric pressure (hectopascal, i.e., 10−2 pascal), vapor pressure (millimeter of mercury), percent relative humidity (percent), thermal oscillation, days with precipitation, solar radiation in (mega-joules per square meter), and isolation (hours of light). The period 1961–1990 constituted the climate baseline.

Three monthly variables were included to account for interannual and decadal variability: multivariate ENSO (El Niño Southern Oscillation) index (MEI), quasi-biennial oscillation (QBO), and North Atlantic Oscillation (NAO). Data were available since 1950 from the Climate Diagnostic Center.

Epidemiologic data. The Ministry of Public Health provided epidemiologic data that were obtained from the National Statistical Branch for 1961–2003, including the number of cases and rate of primary health care visits for ARIs, ADDs, viral hepatitis (VH), varicella (chicken pox), meningococcal disease, meningitis caused by Streptococcus pneumoniae, and Plasmodium falciparum and Plasmodium vivax malaria.

Ecologic data. We obtained an ecologic database from the Unit of Fight and Vector Control in the Ministry of Public Health. This database contained information from 1981 to 2004 on its Aedes aegypti (vector for yellow fever, dengue fever, and dengue hemorrhagic fever [DHF]) monitoring and surveillance system. Data included the larval density, biting density per hour of the vector, and the positive house index, which is the ratio of the number of houses positive for larvae to the number of houses inspected.

Socioeconomic data. We obtained data from the National Planification Center from 1981 to 2003, including the percentage of houses without potable water, percentage of houses with dirt floors, the adult (≥ 16 years old)
of age) illiteracy rate, monthly bird rates, and an index of monthly infestations of *A. aegypti* based on the number of houses where a foci of *A. aegypti* mosquitoes was observed.

**Statistical methods.** The empirical orthogonal function (EOF) analysis method has been used extensively in meteorologic and climatologic studies [for more information on EOF, see Hair et al. (1999)]. The EOF is designed to derive the dominant variability patterns from sets of fields of any type, synthetic indicators or indexes, and summarize the variability observed in a group of variables. This method facilitates the description of associations between the weather or climate, CV, and health outcomes (Basilevsky 1994; Dillon and Goldstein 1984; Lorenz 1956). Uncertainties with this method include the use of the scale additive and the factor score. The approach used will depend on the objectives, for example, if one wants to achieve a measure that maintains the condition orthogonally (factor score) and that is applicable to other studies (scale additive). The scale additive creates a compound or synthetic measure using substitute variables, with those variables that contribute more weight for each selected factor acting as representatives of related factors. The weight values for each component were used to obtain the indices that represent the main source of variation in climate information according to the following equation:

\[
IB_{t,r,c} = \sum_{r} \alpha_c \left[ \frac{CV}{\sigma_c} \right],
\]

where \(IB_{t,r,c}\) is the Bultó index, which expresses the variability at time \(t\), in region \(r\), in the country \(c\); \(CV\) characterizes the study region; \(\alpha_c\) is the weight for each variable; \(CV_t, r, c\) represents the weather and CV series at time \(t\); \(\sigma_c\) is the standard deviation of the variable. According to Equation 1, the anomalies in the different scales of the variability are expressed by linear combinations of variables that contributed the most to each component (Ortíz Bultó and Rivero 2004; Ortiz Bultó et al. 1998, 2000).

Four indices were constructed to describe climate trends and variations that influence ecosystem dynamics, leading to changes in the incidence of climate-sensitive diseases. These indices were used to describe the relationships between climate and health in Cuba (Ortíz Bultó et al. 2000):

- **IB**\(_{1,c}\), describes intermonthly and interseasonal variation; it includes maximum and minimum mean temperature, precipitation, atmospheric pressure, vapor pressure, and relative humidity.
- **IB**\(_{2,c}\), describes seasonal and interannual variation; it includes solar radiation and sunshine duration as factors that affect temperature and humidity. Positive values are associated with a high solar energy level.
- **IB**\(_{3,c}\), describes interannual and decadal scale variation; it includes the same climate variables as **IB**\(_{2,c}\).
- **IB**\(_{4,c}\), describes the relationships among socioeconomic variables and can be interpreted as life quality or the degree of poverty as they influence disease risk.

Other factors that influence disease transmission include the abundance and geographic distribution of the vector, as well as the socioeconomic conditions and trends. In the case of socioeconomic data, similar procedures were used to construct an indicator.

**Cuban climate.** The Cuban archipelago comprises the island of Cuba, the Juventud Island, and 1,600 small islands and keys. The Cuban climate results from its location in the northern portion of the tropics, near the Tropic of Cancer. The climate changes little over the year. Cuba’s climate is tropical and seasonally wet, with marine influence and semicentennial features. The months from May to October are generally hot and rainy, and those from November to April (winter, the dry season) are characterized by lower ambient temperatures and precipitation. The rainfall depends on the intensification or weakening of the North Atlantic subtropical anticyclone. The most important changes are linked with the presence of disturbances in the tropical circulation (tropical waves and hurricanes). Tropical cyclones also contribute to total rainfall.

In winter, drought conditions can be severe in almost all parts of Cuba, particularly in the eastern region. Drought reduces the water available for washing and sanitation and increases the risk of disease.

**The effect of El Niño in Cuba.** CV can be expressed at various temporal scales (by day, season, and year). The ENSO has been a significant element contributing to CV in Cuba. In Cuba, ENSO events cause significant anomalies in atmospheric circulation patterns, resulting in positive rainfall anomalies and increased minimum temperatures during the winter months (dry season) and an increased frequency of severe weather events (Cárdenas 1998).

Many regions can be affected when rainfall increases by an increase in vector density and transmission potential (McMichael et al. 2003). Ecosystem effects are significant, resulting in high levels of *A. aegypti*. Temperature also affects the behavior of the vector and humans, increasing the probability of transmission (e.g., increases in temperature decrease the incubation period of the mosquitoes). The numbers of cases of diarrhea also increase considerably because of poor sanitary conditions.

**Climatic trends in Cuba.** Since about the 1950s, the mean ambient temperature in Cuba increased between 0.4 and 0.6°C. Minimum temperatures increased approximately 1.5°C, whereas the maximum temperature remained almost constant. These warmer temperatures were associated with an increase in winter precipitation and a decrease in summer precipitation. The increase in winter precipitation can be linked to an increase in the frequency of extreme events, particularly after the 1970s. The main climate trends observed in Cuba during the 1990s include a decrease in the diurnal temperature range by 2°C; an increase in precipitation in the dry season and a decrease in the wet season; a later start of the wet and dry seasons, with a lag in summer precipitation; an increase in extreme weather events, such as droughts, floods, and other dangerous meteorologic events; stronger hurricane seasons; and more frequent extreme events, particularly ENSO events (warm events in 1991–1993, 1994–1995, 1997–1998, and 2002–2003, and cold events in 1994, 1996, 1998–1999, and 1999–2000).

The frequency of climate anomalies increased in the last decades. The Climate Center at the Institute of Meteorology has a prediction model of the multivariate ENSO index (PMEl) that forecasts the occurrence of El Niño or La Niña events 3 months in advance (Ortíz and Rivero 2003b). Positive values are associated with warmer events and negative values are associated with cold events.

Winter trend indices in the 1980s and 1990s are shown in Figures 1 and 2. These
results, with no autochthonous cases reported until 1997; Cuba was declared free of *A. aegypti*, except in the capital (Havana, population of 2.25 million) and the cities of Santiago de Cuba and Guantánamo. Since the initiation of the vector-control programs, *A. aegypti* has been detected (and quickly eliminated), particularly along the highway that unites these cities. The main difficulties in Havana have been the size of the city and the population heterogeneity. In Santiago and Guantánamo the primary problem has been the lack of a constant supply of drinking water, which compels the population to store water in containers that serve as breeding sites for the vector. As a result, these cities have experienced epidemics in recent years. In 1997, Santiago de Cuba was affected by an epidemic in which 17,114 clinical cases were reported, including 205 cases of DHF and 12 deaths (Kourí et al. 1997). The next epidemic was in 2000 in Havana, where there were 138 cases of dengue. Another epidemic occurred in 2001–2002 in Havana, with nearly 12,000 cases.

VH type A is a water- and foodborne disease that is highly resistant to extreme environmental conditions, contributing to viral persistence and the possibility spreading throughout the community (Piatkin and Krivochein 1981). In Cuba VH type A is seasonal, increasing from August to October during the baseline period 1961–1990. However, with recent CV and climate change, winter seasons are becoming warmer and rainier (greater CV), resulting in an increase of the peak months of transmission to March through June of each year. These new seasonal conditions are shown by the response of the combination of the climatic indices $IB_{2,1,c}$ and $IB_{2,2,c}$, with a high range of $IB_{2,1,c}$ and a low range of $IB_{2,2,c}$, characterizing warmer and drier summer seasons.

There are multiple causative agents of ARIs, with the most frequent being those of viral origin. Drought, cold winds, and abrupt temperature variation during the winter season, combined with an increase in dusty conditions, can insult the mucous membranes of the respiratory passages, which can facilitate contracting an ARI (San Martín 1963). In addition, close personal contact during winter months can contribute to the spread of ARIs.

During the 1961–1990 baseline, peaks in March and October characterized ARIs (Figure 4). Currently, as a consequence of increasing climate anomalies (e.g., drought and warmer winters), a new peak is now observed in June when the rainy season is delayed (Figure 2). Low temperatures during the winter season and close contact among persons also may be possible causes of this increase. These changes are shown in the response of the combination of the climatic indices $IB_{2,1,c}$ and $IB_{2,2,c}$, with a high range of $IB_{2,1,c}$ and a low range of $IB_{2,2,c}$, characterizing warmer and drier summer seasons.
Chicken pox is transmitted person to person. During the baseline period the seasonal peak was in March (the end of winter). Currently, the peak is observed in April, a month characterized by high CV. High CV may result in insults to the upper respiratory tract, increasing viral transmission, particularly among infants and children. The climate patterns are characterized by a combination of moderate IBt,1,c values with high IBt,3,c values (dry and high contrasting conditions).

The main cause of bacterial meningitis in Cuba since 1999 has been S. pneumoniae, a bacterial agent common in the upper respiratory tract. CV apparently contributes to the infection, particularly in children younger than 5 years and the elderly. The disease occurs most often during January to April, but there is a regional difference in the pattern of S. pneumoniae. The central region has higher solar radiation and more CV, which is shown by high IBt,2,c values and low IBt,3,c values. The combined physical–geographic characteristics and socioeconomic conditions (IBt,4,c) may explain the high incidence of disease.

Some authors (Pan American Health Organization 1997) suggested that pneumococcal infections might increase in the winter. In Cuba the number of weekly cases increase approximately 4-fold from summer to winter, and a prominent peak in the number of weekly cases occurs during the last week of December and the first week of January.

**Dose–response relationships for some epidemiologic indicators.** Our results suggest that the incidences of VH and ADDs are associated with high levels of climatic anomalies. Table 1 presents stratified dose–response functions that can be used to estimate disease incidence for all geographic levels. The precision of the estimates depends on the disease, climate index, and coefficients for each geographic region or local area. Figure 5 shows the association between CV, based on the indices IBt,1,c and IBt,2,c and the number of houses positive for A. aegypti. Figure 6 shows the association between CV and VH.

**Scenarios of changing CV.** To create scenarios of CV, we divided Cuba into geographic regions: western region (including the provinces Pinar del Río, La Habana, Matanzas, and Juventud Island), central region (including the provinces Cienfuegos, Villa Clara, Sancti Spíritus, Ciego de Ávila, and Camagüey), eastern region (including the provinces Las Tunas, Granma, Santiago de Cuba, and Guantánamo), 18 subregions, and eight zones according to the structure of the relief and the characteristics described by IBt,2,p.

We analyzed baseline data for 1961–1990 by decade and compared the data with conditions from 1991 to 2000. This allowed identification of CV in the different regions of the country. We found that major variability was related to the anticyclone. CV was found in the mean latitudes during the winter and to a lesser degree in mountainous regions and Juventud Island. We found less variability inland, in the eastern region, and along the southern coast (Ortíz Bultó and Rivero 2003b; Ortíz Bultó et al. 2004).

We performed analyses from the rainier period to the less rainy using all possible combinations. The results were used to describe and quantify the magnitude of CV in space–time using complex climatic indices. CV was stratified based on historic information on the effects of QBO and NAO, certain phases of which increase hot and dry weather during the warm season (Cárdenas 1998; Enfield 1998). ENSO results in more warm and rainy conditions during the cold season (Ortíz Bultó

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**Figure 5.** Association between CV and the number of houses with A. aegypti mosquitoes using the IBt,1,c and IBt,2,c indices.

**Figure 6.** Association between CV and VH using the IBt,1,c and IBt,2,c indices.

**Figure 7.** Projected anomalies in CV < 0.70 per decade using the IBt,1,c index.

**Figure 8.** Projected anomalies in CV > 0.70 per decade using the IBt,1,c index.

**Figure 9.** Projected incidence per 100,000 inhabitants of S. pneumoniae meningitis (MSP).
and Rivero 2004). The different combinations of CV resulted in the following scenarios: (a) positive values of NAO with MEI in the warm phase and west–east QBO and (b) negative values of NAO with the other parameters constant, for example, dry season described by IB_{1t1c} (Figures 7 and 8 show two extreme scenarios of variability with different levels of anomalies). Figure 9 shows the projected incidence rate for pneumococcal meningitis using the low-CV scenarios.

Using this type of analysis offers one tool for the development of surveillance systems to identify control and/or adaptation activities to reduce projected health impacts (Table 2).

**Climate change scenarios.** Climate scenarios were based on the HadCM2 (Hadley Center model) general circulation model using preindustrial carbon dioxide concentrations. The outputs were used to obtain CV rates (Mitchell et al. 1995) that were used as input to the Bultó indices (Ortíz Bultó et al. 1998; Ortíz Bultó and Rivero 2004). Climate change scenarios in 2010, 2020, and 2030 are shown in Figure 10.

Under both scenarios, the projected climate conditions are associated with an increase of ARLs and VH by oral/food transmission in 2010 (Figures 11 and 12). For ARLs, a new outbreak is projected for June. For VH, an increase in incidence is projected in the first months of the year, reaching a maximum in March. Climate conditions in winter seasons are projected to be warmer and rainier, and the rainy season is projected to be drier and hotter, which may then influence the incidence of VH.

It is important to note that some Bultó indices suggest more impact than others based on the epidemiologic characteristics of the disease. Therefore, each health outcome is likely to respond differently to CV and climate change. It is important to understand that many factors can influence the rate and intensity of these diseases, such as the effectiveness of community response.

**Adaptation measures in Cuba.** Whether the projected health impacts of CV and climate change are actually experienced will depend on the measures used to attenuate or prevent these impacts. Adaptation includes the strategies, policies, and measures designed and implemented to reduce potential adverse health effects. Increasing the adaptive capacity of a population shares similar goals with sustainable development, increasing the ability of individuals and communities to cope with changes and challenges (Burton and van Aalst 1999).

Experience in Cuba has shown that primary health care is a key level for the implementation of preventive measures to reduce population vulnerability, particularly when considering the multiple factors that are related to climate-sensitive diseases. In addition to strengthening these programs, it is important to strengthen the linkage of the health sector with other sectors.

Generally, the vulnerability of a population to climate-related health risks depends on important aspects of the local environment. The level of material resources, the effectiveness of the government and civil institutions, the quality of the public health infrastructure, and access to relevant local information on extreme weather threats (Haines and Patz 2004; Woodward et al.

### Table 2. Potential health impacts of high CV using the IB_{1t1c} index.

| Disease                        | Trend | Effect                                      |
|-------------------------------|-------|---------------------------------------------|
| Airborne diseases             |       |                                             |
| BA                            | –     | Decrease in the number of winter cases       |
| ARLs                          | ++    | New epidemic peak in the warm season         |
| Meningococcal disease         | +     | Increase in incidence in the winter season   |
| Chicken pox                   |       |                                             |
| Water- and foodborne diseases |       |                                             |
| VH                            | ++    | Increase in incidence in the winter season   |
| ADD                           | ++    | Earlier increase in incidence in winter months |
| Vectorborne disease           |       |                                             |
| Dengue fever                  | ++    | More frequent epidemic outbreaks and a change in the season and spatial pattern |

Symbols: –, projected decrease; +, projected small increase; ++, projected large increase.

**Figure 11.** Projected impacts of climate change on ARLs.

**Figure 12.** Projected impacts of climate change on VH.
Immunization programs, Maintain the current vaccination program Enhance vaccination programs for ARIs and Adaptation options Current activities Future activities

Some examples of adaptation measures to CV and climate change. This group is able to asssess the risk of seven communicable diseases. This information is published in the monthly epidemiologic bulletin of El Instituto de Medicina Tropical "Pedro Kourí" (IPK 2006), available on the IPK website, and provides all decision makers with appropriate information for health planning and the design of appropriate measures.

Table 3. Some examples of adaptation measures to CV and climate change.

| Adaptation options                          | Current activities                                                                 | Future activities                                                                 |
|--------------------------------------------|-----------------------------------------------------------------------------------|----------------------------------------------------------------------------------|
| Strengthen primary health care and the public health system | Specific health promotion and preventive programs designed to reduce population vulnerability | Continue developing health promotion and preventive programs, increasing community participation on health issues |
| Measures to improve health surveillance systems | Educational programs of environmental risks, including CV and climate change and their effects on human health | Increase the participation of local governments and other sectors in developing the best conditions of life |
| Immunization programs, particularly for high-risk groups | Provide forecasts of the main climate-sensitive diseases to all levels of the National Public Health System | Incorporate new diseases and risk factors in the forecast models |
| Improve sanitary conditions | Increase number of early warning systems to predict epidemics | Decrease uncertainty through improved data and research on climate, epidemic, ecologic, and social variables |
| Educational programs on radio and TV and in newspapers | Improve contingency plans | Improve environmental care with the participation of the community, government, and all sectors |
| Publish forecasts of communicable diseases through IPK Epidemiological Bulletins (IPK 2006) | Develop educational programs about environmental health; such information is important for assessing the economic impacts (McMichael et al. 2003). To estimate the costs of morbidity attributable to CV, we first needed to determine how many cases are attributable to CV. For each disease selected for analysis, we determined any changes in disease trends due to CV. We then determined levels of disease risk, including projected increased numbers of cases, using the dose–response functions, stratified on climate indices (Figure 15). Finally, the costs associated with excess cases over baseline were estimated (Tables 4 and 5). |

Conclusion

These results demonstrate that studies of climate and health are necessary to increase our knowledge of the effects of climate on human health; such information is important for...
decision makers and for reducing the economic–social impacts of CV and climate change. This study is innovative in the development of complex climate indices to reflect climate anomalies at different scales and to explain the mechanisms and relationships between climatic conditions and diseases. Our results suggest that some diseases not previously thought to be climate sensitive (VH, chickenpox, bacterial and viral meningitis, and others) vary with the identified climatic indices. The disease risks vary by geographic region, as described by the indices. Therefore, climate projections can be used to inform the design and development of prevention activities to reduce the burden of climate-sensitive diseases, thus increasing adaptive capacity to CV. Anticipatory prevention is better than reacting once a disease outbreak has occurred.

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