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Huijben, J.; Patel, V.; Regts, G.

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Sampling from the low temperature Potts model through a Markov chain on flows

Jeroen Huijben  |  Viresh Patel  |  Guus Regts

Korteweg de-Vries Institute for Mathematics, University of Amsterdam, Amsterdam, The Netherlands

Correspondence
Guus Regts, Korteweg de-Vries Institute for Mathematics, University of Amsterdam, Amsterdam, The Netherlands.
Email: guusregts@gmail.com

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Abstract
In this article, we consider the algorithmic problem of sampling from the Potts model and computing its partition function at low temperatures. Instead of directly working with spin configurations, we consider the equivalent problem of sampling flows. We show, using path coupling, that a simple and natural Markov chain on the set of flows is rapidly mixing. As a result, we find a \( \delta \)-approximate sampling algorithm for the Potts model at low enough temperatures, whose running time is bounded by \( O(m^2 \log(m\delta^{-1})) \) for graphs \( G \) with \( m \) edges.

KEYWORDS
ferromagnetic Potts model, flows, Glauber dynamics, partition function

1 | INTRODUCTION

Let \( G = (V,E) \) be a graph and let \( [q] := \{1, \ldots, q\} \) be a set of spins or colors for an integer \( q \geq 2 \). A function \( \sigma : V \to [q] \) is called a \( q \)-spin configuration or coloring. The Gibbs measure of the \( q \)-state Potts model on \( G = (V,E) \) is a probability distribution on the set of all \( q \)-spin configurations \( \{ \sigma : V \to [q] \} \). For an interaction parameter \( w > 0 \), the Gibbs distribution \( \mu_{\text{Potts}} := \mu_{\text{Potts},G,q,w} \) is defined by

\[
\mu_{\text{Potts}}[\sigma] := \frac{e^{wm(\sigma)}}{\sum_{\tau : V \to [q]} e^{wm(\tau)}},
\]

for all \( \sigma : V \to [q] \). This is an open access article under the terms of the Creative Commons Attribution License, which permits use, distribution and reproduction in any medium, provided the original work is properly cited.

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where, for a given \( q \)-spin configuration \( \tau \), \( m(\tau) \) denotes the number of edges \( \{u, v\} \) of \( G \) for which \( \tau(u) = \tau(v) \). The denominator of the fraction (1) is called the partition function of the Potts model and is denoted by \( Z_{\text{Potts}}(G; q, w) \).

The regime \( w \in (0, 1) \) is known as the anti-ferromagnetic Potts model, and \( w \in (1, \infty) \) as the ferromagnetic Potts model. Furthermore, values of \( w \) close to 1 are referred to as high temperature, whereas values close to 0 or infinity are referred to as low temperature. This comes from the physical interpretation in which one writes \( w = e^{\beta/2} \) with \( J > 0 \) being the interaction energy between same spin sites and \( \beta \) the inverse temperature.

We will be concerned with the algorithmic problem of approximately sampling from \( \mu_{\text{Potts}} \) as well as approximately computing \( Z = Z_{\text{Potts}}(G; q, w) \) for \( w \) close to infinity (that is in the low temperature ferromagnetic regime). Given error parameters \( \epsilon, \delta \in (0, 1) \), an \( \epsilon \)-approximate counting algorithm for \( Z \) outputs a number \( Z' \) so that \( (1 - \epsilon) \leq Z/Z' \leq (1 + \epsilon) \), and a \( \delta \)-approximate sampling algorithm for \( \mu = \mu_{\text{Potts}} \) outputs a random sample \( I \) with distribution \( \hat{\mu} \) so that the total variation distance satisfies \( ||\mu - \hat{\mu}||_{TV} \leq \delta \).

It was shown in [10] that, for graphs of a fixed maximum degree \( \Delta \geq 3 \), there is a critical parameter \( w_{\Delta} > 1 \), corresponding to a phase transition of the model on the infinite \( \Delta \)-regular tree, such that approximating the partition function is computationally hard.\(^1\) This result indicates that it might be hard to compute the partition function of the ferromagnetic Potts model for large values of \( w \). However, recently several results emerged, showing that for certain finite subgraphs of \( \mathbb{Z}^d \) [1, 3, 15] as well as \( \Delta \)-regular graphs satisfying certain expansion properties [6, 14, 16] it is in fact possible to approximate the partition function of the ferromagnetic Potts model for \( w \) large enough. In fact the algorithms in [3, 14] even work for all values \( w \geq 1 \) under the assumption that the number of colors, \( q \), is suitably large in terms of the maximum degree. The running times of all these aforementioned algorithms are polynomial in the number of vertices of the underlying graph, but typically with a large exponent. The exception is [6], in which the cluster expansion techniques of [16] for expander graphs are extended to a Markov chain setting giving running times of the form \( O(n^2 \log n) \) for approximating the partition function, where \( n \) is the number of vertices of the input graph.

In this article, we present Markov chain based algorithms for approximating the partition function of the ferromagnetic Potts model at sufficiently low temperatures with similar running times as [6]. While most results in this area focus on graphs of bounded maximum degree, the graph parameters of interest for us are different and so our methods, as well as being able to handle subgraphs of the grid \( \mathbb{Z}^d \) (although not for all temperatures), can also handle certain graphs classes of unbounded degree (cf. Lemma 7). The parameters of interest for us are in fact similar to those in [1]; here we achieve better running times for our algorithms, while [1] achieves better parameter dependencies.

We show how to efficiently generate a sample from the Potts model using a rapidly mixing Markov chain and then use this to approximate the partition function. The Markov chain however is not supported on \( q \)-spin configurations\(^2\) but on flows taking values in \( \mathbb{Z}_q := \mathbb{Z}/q\mathbb{Z} \). For planar graphs, this Markov chain on flows may be interpreted as Glauber dynamics of \( q \)-spin configurations on the dual graph; see Section 6 for an example of this. We use this Markov chain on flows together with another trick to show that we can efficiently approximate a certain partition function on flows at high temperatures, which in turn can be used to approximate the Potts partition function at low temperatures. Below we state our main results.

\(^1\)Technically they showed that the problem is \#BIS hard, a complexity class introduced in [7] and known to be as hard as \#BIS, that is the problem of counting the number of independent sets in a bipartite graph. The exact complexity of \#BIS is unknown, but it is believed that no fully polynomial time randomized approximation scheme exists for \#BIS, but also that \#BIS is not \#P-hard.

\(^2\)See, for example, [2] for an analysis of the usual Glauber dynamics for the ferromagnetic Potts model (at high temperatures).
1.1 Main results

To state our main results, we need some definitions. In the present article, we deal with multigraphs and the reader should read multigraph whenever the word graph is used. A graph is called even if all of its vertices have even degree. In what follows we often identify a subgraph of a given graph with its edge set.

Given a graph \( G \), fix an arbitrary orientation of its edges. For any even subgraph \( C \) of \( G \), we can associate to it a signed indicator vector \( \chi_C \in \mathbb{Z}^E \) as follows: choose an Eulerian orientation of (each of the components of) \( C \). Then for \( e \not\in C \) we set \( \chi_C(e) = 0 \) and for \( e \in C \), we set \( \chi_C(e) = 1 \) if \( e \) has the same direction in both \( C \) and \( G \), and \( \chi_C(e) = -1 \) otherwise. We often abuse notation and identify the indicator vector \( \chi_C \) with the set of edges in \( C \). A \( \mathbb{Z} \)-flow, is a map \( f : E \to \mathbb{Z} \) satisfying

\[
\sum_{e: \text{e directed into } v} f(e) = \sum_{e: \text{e directed out of } v} f(e) \quad \text{for all } v \in V.
\]

We denote the collection of \( \mathbb{Z} \)-flows by \( \mathcal{F}(G) \); note that \( \mathcal{F}(G) \) with the obvious notion of addition is known as the first homology group of \( G \), and also as the cycle space of \( G \). Clearly, when viewing \( \chi_C \) as a function on \( E \), we have \( \chi_C \in \mathcal{F}(G) \) for any even subgraph \( C \). It is well known that \( \mathcal{F}(G) \) has a generating set (as a \( \mathbb{Z} \)-module) consisting of indicator vectors of even subgraphs; see, for example, [11, Section 14].\(^3\) We call such a generating set an even generating set for the cycle space.

Let \( \mathcal{E} \) be an even generating set of \( \mathcal{F}(G) \); we define some parameters associated to \( \mathcal{E} \) (see below for some examples of even generating sets and associated parameters). For \( C \in \mathcal{E} \), let

\[
d(C) := \max\{ d(C) | C \in C \}.
\]

We write

\[
\nu(C) := \max\{|C_1 \cap C_2| \mid C_1, C_2 \in \mathcal{E} \text{ with } C_1 \neq C_2 \}.
\]

Define

\[
\ell(C) := \max\{|C| \mid C \in \mathcal{E} \}.
\]

Finally, for an edge \( e \in E \), define \( s(e) \) to be the number of even subgraphs \( C \in \mathcal{E} \) that \( e \) is contained in and

\[
s(C) := \max\{ s(e) | e \in E \}.
\]

We now present our approximate sampling and counting results. All of our results are based on randomized algorithms that arise from running Markov chains. For us, simulating one step of these Markov chains always includes choosing a random element from a set of \( t \) elements with some (often uniform) probability distribution, where \( t \) is at most polynomial in the size of the input graph. We take the time cost of such a random choice to be \( O(1) \) as in the (unit-cost) RAM model of computation; see, for example, [19].

\(^3\)In fact there is even a basis consisting of indicator functions of cycles. For later purposes, we however need to work with even subgraphs.
Our main sampling results read as follows.

**Theorem 1.** Fix a number of spins \( q \in \mathbb{N}_{\geq 2} \).

(i) Fix integers \( d \geq 2 \) and \( t \geq 1 \) and let \( G \) be the set of graphs \( G = (V, E) \) for which we have an even generating set \( C \) for \( G \) of size \( O(|E|) \) such that \( d(C) \leq d \) and \( \iota(C) \leq t \). For any \( w > \frac{(d+1)q}{2} - (q-1) \) and \( \delta \in (0,1) \), there exists a \( \delta \)-approximate sampling algorithm for \( \mu_{\text{Potts}, G; q, w} \) on all \( m \)-edge graphs \( G \in \mathcal{G} \) with running time \( O(m^2 \log(m\delta^{-1})) \).

(ii) Fix integers \( \ell \geq 3 \) and \( s \geq 2 \) and let \( G \) be the set of graphs \( G = (V, E) \) for which we have an even generating set \( C \) for \( G \) of size \( O(|E|) \) such that \( \ell(C) \leq \ell \) and \( s(C) \leq s \). For any \( w > (q-1)(\ell s - 1) \) and \( \delta \in (0,1) \), there exists a \( \delta \)-approximate sampling algorithm for \( \mu_{\text{Potts}, G; q, w} \) on all \( m \)-edge graphs \( G \in \mathcal{G} \) with running time \( O(m \log(m\delta^{-1})) \).

While parts (i) and (ii) are not directly comparable, we note that when \( t = 1 \), part (i) has a better range for \( w \).

Our main approximate counting results read as follows.

**Theorem 2.** Fix a number of spins \( q \in \mathbb{N}_{\geq 2} \).

(i) Fix integers \( d \geq 2 \) and \( t \geq 1 \) and let \( G \) be the set of graphs \( G = (V, E) \) for which we have an even generating set \( C \) for \( G \) of size \( O(|E|) \) such that \( d(C) \leq d \) and \( \iota(C) \leq t \). For \( w > \frac{(d+1)q}{2} - (q-1) \) and \( \epsilon \in (0,1) \), there exists a randomized \( \epsilon \)-approximate counting algorithm for \( Z_{\text{Potts}}(G; q, w) \) on all \( n \)-vertex and \( m \)-edge graphs \( G \in \mathcal{G} \) that succeeds with probability at least 3/4 and has running time \( O(n^2 m^2 \epsilon^{-2} \log(n \epsilon^{-1})) \).

(ii) Fix integers \( \ell \geq 3 \) and \( s \geq 2 \) and let \( G \) be the set of graphs \( G = (V, E) \) for which we have an even generating set \( C \) for \( G \) of size \( O(|E|) \) such that \( \ell(C) \leq \ell \) and \( s(C) \leq s \). For \( w > (q-1)(\ell s - 1) \) and \( \epsilon \in (0,1) \), there exists a randomized \( \epsilon \)-approximate counting algorithm for \( Z_{\text{Potts}}(G; q, w) \) on all \( n \)-vertex and \( m \)-edge graphs \( G \in \mathcal{G} \) that succeeds with probability at least 3/4 and has running time \( O(n^2 m \epsilon^{-2} \log(n \epsilon^{-1})) \).

**Remark 1.** We note that the dependence of the Potts model parameter \( w \) on the parameters \( \ell(C) \) and \( s(C) \) is similar as in [1], except there the dependence on \( s \) is order \( \sqrt{s} \), which is better than our linear dependence. This of course raises the question whether our analysis can be improved to get the same dependence.

We now give a few examples of applications of our results.

**Example 1.** Let \( G_1 \) and \( G_2 \) be two graphs that both contain a connected graph \( H \) as induced subgraph. Let \( G_1 \cup_H G_2 \) be the graph obtained from \( G_1 \) and \( G_2 \) by identifying the vertices of the graph \( H \) in both graphs. If both \( G_1 \) and \( G_2 \) have an even generating set consisting of cycles of length at most \( \ell \) for some \( \ell \), then the same holds for \( G_1 \cup_H G_2 \).

Now use this procedure to build a subgraph \( G = (V, E) \) of \( \mathbb{Z}^d \), \( d \geq 2 \) from the union of finitely many copies of elementary cubes \( ((0,1)^d) \). Since an elementary cube has a generating set consisting of 4-cycles, as is seen by induction on \( d \), and so the resulting graphs has an even generating set \( C \) consisting only of 4-cycles. The relevant parameters of \( C \) are \( d(C) = 8(d-1) - 4 \), \( \iota(C) = 1 \), \( \ell(C) = 4 \), \( s(C) = 2(d-1) \), and \( |C| \leq (d-1)|E|/2 \).
(ii) In a similar manner as in (i) one can also construct graphs with concrete parameters from lattices such as the triangular lattices (here $d = 3, t = 1, \ell = 3,$ and $s = 2$) or its dual lattice, the honeycomb lattice (here $d = 6, t = 1, \ell = 6,$ and $s = 2$).

(iii) For any (multi)graph $G = (V, E)$ with even generating set $\mathcal{C}$, the graph $G/e$ obtained by contracting some edge $e \in E$ has an even generating set $\mathcal{C}/e := \{C/e : C \in \mathcal{C}\}$. One can check that $\mathcal{C}$ and $\mathcal{C}/e$ have the same parameters $d, t, \ell, s$ (see Lemma 7). This allows us to apply our algorithms to many graph classes of unbounded degree, for example, any graph that can be obtained from $\mathbb{Z}^d$ by a series of contractions.

As we shall see in the next subsection, the Markov chains on flows that we introduce are a natural means of studying the ferromagnetic Potts model at low temperatures. The examples above show that it is easy to generate many graphs (also of unbounded degree) for which these chains mix rapidly and therefore for which our results above apply. In this article, we begin the analysis of these Markov chains on flows, but we believe there is a lot of scope for further study of these chains to obtain better sampling and counting algorithms for the ferromagnetic Potts model at low temperature.

1.2 | Approach and discussion

The key step in our proof of Theorems 1 and 2 is to view the partition function of the Potts model as a generating function of flows taking values in an abelian group of order $q$. Although well known to those acquainted with the Tutte polynomial and its many specializations, this perspective has not been exploited in the sampling/counting literature (for $q \geq 3$) to the best of our knowledge. For the special case of the Ising model, that is, $q = 2$, this perspective is known as the even “subgraphs world” and has been key in determining an efficient sampling/counting algorithm for the Ising model (with external field) by Jerrum and Sinclair [18], although the Markov chain used there is defined on the collection of all subsets of the edge set $E$ rather than on just the even sets. We however define a Markov chain on a state space which, for $q = 2$, is supported only on the even sets. For $q = 2$ one could interpret our Markov chain as Glauber dynamics with respect to a fixed basis of the space of even sets (which forms a vector space over $\mathbb{F}_2$), that is, we move from one even subgraph to another by adding/subtracting elements from the basis. In the general case ($q \geq 3$), the even subgraphs need to be replaced by flows, but, aside from some technical details, our approach remains the same. We analyze the Markov chain using the well-known method of path coupling [5, 17] to obtain our first sampling result Theorem 1(i), and the proof of Theorem 2(i) then follows by standard arguments after a suitable self-reducibility trick.

Another well-known way of representing the partition function of the Potts model is via the random cluster model. Only recently, it was shown that a natural Markov chain called random cluster dynamics is rapidly mixing for the Ising model [13], yielding another way of obtaining approximation algorithms for the partition function of the Ising model. In the analysis a coupling due to Grimmett and Jansson [12] between the random cluster model and the even subgraphs world was used. We extend this coupling to the level of flows and we analyze the Glauber dynamics on the joint space of flows and clusters to obtain a proof of part (ii) of Theorems 1 and 2.

Organization. In Section 2, we introduce the notion of flows and the flow partition function, showing the connection to the Potts model and the random cluster model. We also give some preliminaries on Markov chains. In Section 3, we introduce and analyze the flow chain and prove Theorem 1(i). In Section 4, we introduce and analyze the joint flow-random cluster Markov chain, which allows us to prove Theorem 1(ii). In Section 5, we examine the subtleties involved in showing that our sampling algorithms imply corresponding counting algorithms: we deduce Theorem 2 from Theorem 1 in this section. Finally, in Section 6, we use the duality between flows and Potts configurations to deduce a
slow mixing result for our flow chain (on $\mathbb{Z}^2$) from existing results about slow mixing for the Potts model.

## 2 | PRELIMINARIES

### 2.1 | The flow partition function

Let $G = (V, E)$ be a graph. Throughout, if it is unambiguous, we will take $n := |V|$ and $m := |E|$. In order to define a flow on $G$, we first orient the edges of $G$. (We will assume from now on that the edges of graphs have been given a fixed orientation even if this is not explicitly stated). For an abelian group $\Gamma$, a $\Gamma$-flow (on $G$) is an assignment $\mathbf{f} : E \rightarrow \Gamma$ of a value of $\Gamma$ to every edge of $G$ such that, for every vertex, the sum (in $\Gamma$) at the incoming edges is the same as the sum (in $\Gamma$) at the outgoing edges. For a positive integer $q$, the flow partition function is defined as

$$Z_{\text{flow}}(G; q, x) = \sum_{\mathbf{f} : E \rightarrow \mathbb{Z}_q} x^{\#\text{nonzero edges in } \mathbf{f}}.$$ 

Note that $Z_{\text{flow}}$ only depends on the underlying graph and not on the orientation of $G$. It is moreover well known that in the definition of the partition function we can replace the group $\mathbb{Z}_q$ by any abelian group $\Gamma$ of order $q$, without changing the partition function. We will however make no use of this and solely work with the group $\mathbb{Z}_q$.

Recall from Section 1 that $\mathcal{F}(G)$ denotes the set of $\mathbb{Z}$-flows. We write $\mathcal{F}_q(G)$ for the set of $\mathbb{Z}_q$-flows (namely the set of all flows $\mathbf{f} : E \rightarrow \mathbb{Z}_q$), and for $F \subseteq E$ we denote by $\mathcal{F}_q(V, F)$ the set of all flows $\mathbf{f} : F \rightarrow \mathbb{Z}_q$. The support of a flow $\mathbf{f}$ is the collection of edges that receive a nonzero flow value and is denoted by $\text{supp}(\mathbf{f})$. We denote by $\text{nwz}(F; q)$ the number of flows $\mathbf{f} : F \rightarrow \mathbb{Z}_q$ such that $\text{supp}(\mathbf{f}) = F$ (where nwz stands for nowhere zero). Finally, for positive $x$, there is a natural probability measure $\mu_{\text{flow}}$ on $\mathcal{F}_q(V, E)$, defined by

$$\mu_{\text{flow}}(\mathbf{f}) := \frac{x^{\#\text{nonzero edges in } \mathbf{f}}}{Z_{\text{flow}}(G; q, x)} \quad (6)$$

for each $\mathbf{f} \in \mathcal{F}_q(V, E)$.

The following fact is well known and goes back to Tutte [20].

**Lemma 3.** Let $q \in \mathbb{N}_{\geq 2}$ and let $x \in \mathbb{C} \setminus \{1\}$. Let $G = (V, E)$ be a graph. Then

$$q^{\mid V \mid} Z_{\text{flow}}(G; q, x) = (1-x)^{|E|} Z_{\text{Potts}} \left( G; q, \frac{1 + q - 1)x}{1 - x} \right). \quad (7)$$

This lemma follows by combining (9) and (11): it illustrates a useful coupling between random flows and the random cluster model. We remark that the function $x \mapsto \frac{1 + (q-1)x}{1-x}$ (seen as a function from $\mathbb{C} \cup \{\infty\} \rightarrow \mathbb{C} \cup \{\infty\}$) has the property that it sends 0 to 1, 1 to $\infty$ and the interval $[0, 1]$ to $[1, \infty]$ in an orientation preserving way. So approximating the partition function of the $q$-state ferromagnetic Potts model at low temperatures ($1 \ll w$) is equivalent to approximating the flow partition function for values $x \in (0, 1)$ close to 1.

### 2.2 | The random cluster model and a useful coupling

We view the *partition function of the random cluster model* for a fixed positive integer $q$ as a polynomial in a variable $y$. It is defined for a graph $G = (V, E)$ as follows:
To see it, note first that we may assume

to see that these values can be uniquely completed to a flow by iteratively “removing” a leaf from $T$.

We then have the following chain of equalities:

\[
(1 - x)^{|E|} \sum_{A \subseteq E} \text{nwz}(A; q) \sum_{F \subseteq A \subseteq E} \left(\frac{x}{1 - x}\right)^{|F|} = (1 - x)^{|E|} \sum_{F \subseteq E} \left(\frac{x}{1 - x}\right)^{|F|} q^{|V|} \sum_{A \subseteq F} \text{nwz}(A; q)
\]
\[(1 - x)^{|E|} \sum_{F \subseteq E} \left( \frac{x}{1 - x} \right)^{|F|} q^{1-|F|}|P_q(V, F)| = (1 - x)^{|E|} \sum_{F \subseteq E} \left( \frac{x}{1 - x} \right)^{|F|} q^{1-|F|+c(F)}
\]
\[= (1 - x)^{|E|} Z_{RC}(G; q, \frac{qx}{1-x}).\]

The previous lemma in fact gives a coupling between the probability measures $\mu_{\text{flow}}$ and $\mu_{\text{RC}}$ (with the same parameters as in the lemma). More concretely, given a random flow $f$ drawn from $\mu_{\text{flow}}$, let $A$ be the support of $f$. Next select each edge $e \in E \setminus A$ independently with probability $x$. The resulting set $F$ is then a sample drawn from $\mu_{\text{RC}}$. To see this, observe that the probability of selecting the set $F$ is given by

\[
\sum_{A \subseteq E} \text{wz}(A; q) x^{|A|} Z_{\text{flow}}(G; q, x) x^{\text{|F|}-\text{|E|}} F = |P_q(V, F)| Z_{\text{flow}}(G; q, x) x^{\text{|F|}-\text{|E|}} F = \mu_{\text{RC}}(F),
\]

where the last equality follows by the lemma above and (12) and the definition of $\mu_{\text{RC}}$. Conversely (by a similar calculation), given a sample $F$ drawn from $\mu_{\text{RC}}$ one can obtain a random flow drawn from $\mu_{\text{flow}}$ by choosing a uniform flow on $(V, F)$.

For any $\delta > 0$, this procedure transforms a $\delta$-approximate sampler $\mu_{\text{flow}}$ for $\mu_{\text{flow}}$ with parameters $q$ and $x \in (0, 1)$ into a $\delta$-approximate sampler $\mu_{\text{RC}}$ for $\mu_{\text{RC}}$ with parameters $q, \frac{qx}{1-x}$ in time bounded by $O(|E|)$. Indeed, denoting for a flow $f$, $\delta_{\text{flow}}(f) := \mu_{\text{flow}}(f) - \mu_{\text{flow}}(f)$, we have by the triangle inequality

\[
\sum_{F \subseteq E} |\mu_{\text{RC}}(F) - \mu_{\text{RC}}(F)| = \sum_{F \subseteq E} \left| \sum_{f \in P_q(V, F)} \delta_{\text{flow}}(f) x^{F \setminus \text{supp}(f)} (1 - x)^{\text{|E|}-\text{|F|}} F \right|
\]
\[\leq \sum_{F \subseteq E} \sum_{f \in P_q(V, F)} |\delta_{\text{flow}}(f)| x^{\text{|F|}-\text{supp}(f)} (1 - x)^{\text{|E|}-\text{|F|}} F
\]
\[= \sum_{f \in P_q(V, F)} |\delta_{\text{flow}}(f)| \sum_{F \subseteq \text{supp}(f)} x^{\text{|F|}-\text{supp}(f)} (1 - x)^{\text{|E|}-\text{|F|}} F
\]
\[= \sum_{f \in P_q(V, F)} |\delta_{\text{flow}}(f)| \leq 2\delta.
\]

The Edwards–Sokal coupling [9] allows us to generate a sample from the Potts model (with parameters $q, w+1$), given a sample $F$ from the random cluster model (with parameters $q, w$): for each component of $(V, F)$ uniformly and independently choose a color $i \in [q]$ and color each of the vertices in this component with this color. Again if we have a $\delta$-approximate sampler $\mu_{\text{RC}}$ for $\mu_{\text{RC}}$ this will be transformed into a $\delta$-approximate sampler $\mu_{\text{Potts}}$ for $\mu_{\text{Potts}}$ in time bounded by $O(|E|)$. We summarize the discussion above in a proposition.

**Proposition 5.** Let $G = (V, E)$ be a graph and let $q \in \mathbb{N}_{\geq 2}$ and $x > 0$. Let $\delta > 0$. Given an approximate $\delta$-approximate sampler $\mu_{\text{flow}}$ for $\mu_{\text{flow}}$ with parameters $q$ and $x \in (0, 1)$, we can obtain $\delta$-approximate samplers from

- $\mu_{\text{RC}}$ with parameters $q$ and $\frac{qx}{1-x}$ in time $O(|E|)$,
- $\mu_{\text{Potts}}$ with parameters $q$ and $\frac{1+(q-w)x}{1-x}$ in time $O(|E|)$. 

2.3 Generating sets and bases of flows

In this subsection, we give some useful properties of the set of flows and their even generating sets that will allow us to define Markov chains for sampling from $\mu_{\text{flow}}$ in the next section. In particular, we show that an even generating set for the cycle space also generates the collection of $\mathbb{Z}_q$-flows in an appropriate sense to be made precise below.

Let $G = (V, E)$ be a connected graph and recall (from Section 1) that $\mathcal{F}(G)$ is the set of $\mathbb{Z}$-flows on $G$ and let $C$ be an even generating set of $\mathcal{F}(G)$. We already mentioned that $\mathcal{F}(G)$ forms a $\mathbb{Z}$-module; in fact it is a free-module of dimension $|E| - |V| + 1$, compare [11, Section 14]. Similarly, the collection of $\mathbb{Z}_q$-flows on $G$ is closed under adding two flows and multiplying a flow by an element of $\mathbb{Z}_q$, making the space of $\mathbb{Z}_q$-flows into a $\mathbb{Z}_q$-module; it is also a free module of dimension $|E| - |V| + 1$ by the same argument as for $\mathbb{Z}$, compare [11, Section 14]. (Note that this fact also implies (12).)

**Lemma 6.** Let $C$ be an even generating set for $\mathcal{F}(G)$. Then $C$ is a generating set for $\mathcal{F}_q(G)$ for any positive integer $q$.

**Proof.** Let $f \in \mathcal{F}_q(G)$ be a flow, we will construct a $\mathbb{Z}$-flow $f'$ which reduces modulo $q$ to $f$. Just as in the proof of Lemma 4, fix a spanning tree $T \subseteq E$, and now assign to every edge $e \in E \setminus T$ an integer from the residue class $f(e)$. These assignments can be completed iteratively into the flow $f'$ by choosing the edge toward a leaf, assigning a value to satisfy the flow condition in the leaf, and removing the edge from $T$. These new values are also in the residue class prescribed by $f$, because $f$ itself satisfies the flow condition in every leaf encountered. Writing $f'$ as a linear combination of $\chi_C$ for $C \subseteq C$ and reducing modulo $q$, we obtain $f$ as a $\mathbb{Z}_q$-linear combination of $\chi_C$.

Finally, we will require the following lemma for our reduction of sampling to counting in Section 5. For a graph $G = (V, E)$, a subgraph $H$ of $G$ and an edge $e \in E$, $H/e$ denotes the graph obtained from $H$ by contracting the edge $e$. (If $e$ is not an edge of $H$, then $H/e$ is just $H$.)

**Lemma 7.** Let $G = (V, E)$ be a graph and let $q \in \mathbb{N}$. Let $C = \{C_1, \ldots, C_r\}$ be an even generating set for the space of $\mathbb{Z}_q$-flows. Let $e \in E$ be a non-loop edge. Then $C' := \{C_1/e, \ldots, C_r/e\}$ is an even generating set for the space of $\mathbb{Z}_q$-flows of the graph $G/e$ satisfying $d(C') \leq d((C), \ell(C')) \leq \ell(C)$ and $s(C') \leq s(C)$.

**Proof.** This follows from the fact that any flow $f'$ on $G/e$ uniquely corresponds to a flow $f$ on $G$. The value on the edge $e$ for $f$ can be read off from the values of the edges incident to the vertex in $G/e$ corresponding to the two endpoints of the edge $e$. So, writing $f = \sum a_i \chi_{C_i}$ for certain $a_i \in \mathbb{Z}_q$, we get $f' = \sum a_i \chi_{C_i/e}$, proving the claim. The claimed inequalities for the parameters are clear.

**Remark 2.** Suppose $q$ is a prime in which case $\mathbb{Z}_q$ is a field and $\mathcal{F}_q(G)$ is a vector space over $\mathbb{Z}_q$. Then given an even generating set $C$ for $\mathcal{F}_q(G)$ there exists a basis $C'$ consisting only of cycles for which the parameters $d, \ell, s$ are all not worse. To see this note that if $C$ is a generating set and not a basis, we can always remove elements from it to make it into a basis. If $C$ forms a basis and some $C \subseteq C$ is the edge disjoint union of two nonempty even subgraphs $K_1$ and $K_2$, we have that either $(C \setminus \{C\}) \cup \{K_1\}$ or $(C \setminus \{C\}) \cup \{K_2\}$ forms a basis. This is generally not true for composite $q$ and therefore we work with even generating sets.
2.4 Preliminaries on Markov chains

To analyze the mixing time of our Markov chains, we will use the path coupling technique. We briefly recall the following results from Section 2 in [8].

Let \( \mathcal{M} = (Z_t)_{t=0}^\infty \) be an ergodic, discrete-time Markov chain on a finite state space \( \Omega \) with transition matrix \( P \). Let \( \mu_t \) be the distribution of \( Z_t \) and let \( \mu \) be the (unique) stationary distribution of \( \mathcal{M} \). Two distributions on \( \Omega \) are said to be \( \delta \)-close if the total variation distance between them is at most \( \delta \). The \( \delta \)-mixing time of \( \mathcal{M} \) is the minimum number of steps after which \( \mathcal{M} \) is \( \delta \)-close to its stationary distribution (i.e., the smallest \( t \) such that \( \| \mu_t - \mu \|_{TV} \leq \delta \)).

A coupling for \( \mathcal{M} \) is a stochastic process \((X_t, Y_t)\) on \( \Omega^2 \), such that each of \( X_t \) and \( Y_t \), considered independently, transition according to \( P \). More precisely, the coupling can be defined by its transition matrix \( P' \): given \((x, y)\) and \((x', y')\) \( \in \Omega^2 \), \( P'((x, y), (x', y')) \) is the probability that \((X_{t+1}, Y_{t+1}) = (x', y')\) given that \((X_t, Y_t) = (x, y)\). For \( P' \) to describe a valid coupling, it must satisfy for each \((x, y)\) \( \in \Omega^2 \), that

\[
\sum_{y' \in \Omega} P'((x, y), (x', y')) = P(x, x') \quad \text{for all } x' \in \Omega;
\]

\[
\sum_{x' \in \Omega} P'((x, y), (x', y')) = P(y, y') \quad \text{for all } y' \in \Omega.
\] (13)

For our use of path coupling, we require an integer-valued distance function \( d \) on \( \Omega \) such that between any two states \( x, y \in \Omega \) there exists a sequence \( x = x_0, x_1, \ldots, x_s = y \) in which consecutive states are at distance 1. If we can define a coupling on the set of pairs \((x, y)\) \( \in \Omega^2 \) for which \( d(x, y) = 1 \) (i.e., we define transition probabilities \( P'((x, y), (x', y')) \) for all \((x, y)\) such that \( d(x, y) = 1 \), and \((x', y')\) \( \in \Omega^2 \) that satisfy Equation (13)) then this can be extended to a complete coupling on \( \Omega^2 \). We can use such a (partial) coupling to bound the mixing time of \( \mathcal{M} \) via the following result:

**Theorem 8** (Theorem 2.2 in [8]). Let \( \mathcal{M} \) be a Markov chain on \( \Omega \) and \( d \) an integer-valued distance on \( \Omega \) as above with maximum distance \( D \). Assume there is a coupling \((X_t, Y_t) \mapsto (X_{t+1}, Y_{t+1})\) defined for all pairs with \( d(X_t, Y_t) = 1 \) (as described above) such that

\[
\mathbb{E}(d(X_{t+1}, Y_{t+1})|(X_t, Y_t)) \leq 1 - \alpha
\]

for some \( \alpha > 0 \). Then the Markov chain \( \mathcal{M} \) has \( \delta \)-mixing time at most \( \frac{\log(D^{D+1})}{\alpha} \).

3 | FLOW MARKOV CHAIN

In this section, we introduce and analyze the flow Markov chain and use it to prove Theorem 1(i).

**Definition 1.** Let \( G = (V, E) \) be a graph and \( C \) an even generating set of \( F_q(V, E) \) of size \( r \). The flow Markov chain for \((G, C)\) is a Markov chain on the state space \( F_q(V, E) \). For every flow \( f \in F_q(V, E) \), \( t \in \mathbb{Z}_q \setminus \{0\} \) and \( C \subseteq C \), the transition probabilities of the Markov chain are given by:

\[
P_{\text{flow}}(f, f + tC) = \frac{1}{r} \sum_{u \in \mathbb{Z}_q} \mu_{\text{flow}}(f + tC),
\]

\[
P_{\text{flow}}(f, f) = \frac{1}{r} \sum_{C \subseteq C} \sum_{u \in \mathbb{Z}_q} \mu_{\text{flow}}(f + uC),
\]

and all other transition probabilities are zero.
We see easily that the measure $\mu_{\text{flow}}$ satisfies the detailed balance equation

$$\mu_{\text{flow}}(f)P_{\text{flow}}(f,f + t \chi C) = \mu_{\text{flow}}(f + t \chi C)P_{\text{flow}}(f + t \chi C,f),$$

so $\mu_{\text{flow}}$ is the stationary distribution of the flow Markov chain.

We can simulate one step of this Markov efficiently by first selecting $C \in C$ uniformly at random, and for $t \in \mathbb{Z}_q$, selecting $f + t \chi C$ with probability proportional to

$$\mu_{\text{flow}}(f + t \chi C)/\mu_{\text{flow}}(f) = x^{|\{e \in E : f(e) = 0\}| - |\{e \in E : f(e) + t \chi C(e) = 0\}|}.$$

For fixed $q$, simulating one step of the Markov chain requires $O(\ell')$ time (where $\ell' = \max_{C \in C} |C|$) in order to compute $f + t \chi C$ and its support. We bound this by $O(n)$.

### 3.1 Rapid mixing of flow Markov chain

**Theorem 9.** Let $q,d \geq 2, \ell \geq 1$ be integers and $1 > x > 1 - \frac{2}{(d+1)}$. Write $\xi = x - \left(1 - \frac{2}{(d+1)}\right)$ and let $\delta > 0$. Now let $G = (V,E)$ be a graph and $C$ an even generating set of $\mathcal{F}_q(G)$ of size $r$ satisfying $d(C) \leq d$ and $n(C) \leq t$, then the $\delta$-mixing time of the flow Markov chain for $(G,C)$ with parameter $x$ is at most $\frac{4r}{d} \log(r \delta^{-1})^{-\xi^{-1}}$.

**Remark 3.** Because $\xi < \frac{2}{(d+1)} \leq \frac{2}{d}$, the upper bound in this theorem is always at least $2r \log(r \delta^{-1})$. This shows the upper bound does not get better with larger $d$ and $t$, even though they are in the denominator.

For the given range of $x$, the flow Markov chain therefore gives an efficient, randomized algorithm for approximately sampling flows according to $\mu_{\text{flow}}$. Combining this with Proposition 5, we obtain the following Corollary; it directly implies Theorem 1(i) by Lemma 6.

**Corollary 10.** Fix integers $q, d \geq 2$, and $t \geq 1$. For any $w > \frac{(d+1)}{2}q - (q - 1)$ and $\delta > 0$, there exists an algorithm that on input of an $m$-edge graph $G$ and even generating set $C$ of $\mathcal{F}_q(G)$ of size $r$ satisfying $d(C) \leq d$ and $n(C) \leq t$ outputs a $q$-state Potts coloring $\sigma : V \rightarrow [q]$ within total variation distance $\delta$ of the $q$-state Potts-measure $\mu_{\text{Potts}}$ with parameter $w$. This is obtained by running the flow Markov chain for at most $O(\ell \log(r \delta^{-1}))$ steps where each step takes $O(m)$ time.

The following technical lemma will be used in the proof of Theorem 9. Note that the lower bound is actually attained in the limit case $(a_1, \ldots, a_q) = (t,0,-\infty, \ldots, -\infty), (b_1, \ldots, b_q) = (0,t,-\infty, \ldots, -\infty)$. The proof is postponed to the end of this section.

**Lemma 11.** Let $x \in (0,1)$ be a real number, and $t \geq 0$ and $a_1, \ldots, a_q, b_1, \ldots, b_q$ integers satisfying the following constraints:

- $\sum_i a_i = \sum_i b_i$;
- $\sum_i |a_i - b_i| \leq 2t$.

Then

$$S := \sum_i \min \left( \frac{x^{-a_i}}{\sum_j x^{-a_j}}, \frac{x^{-b_i}}{\sum_j x^{-b_j}} \right) \geq 1 - \frac{1 - x^t}{1 + x^t}.$$
Proof of Theorem 9. To prove the theorem, we determine an upper bound for the mixing time of the flow Markov chain by using path coupling. For this we define the distance between two flows as the minimal number of steps the flow Markov chain needs to go from one to the other. By Theorem 8 it is now enough to define a coupling for states at distance 1. If the expected distance after one step of this coupling is at most $1 - \alpha$, the mixing time of the Markov chain is at most $T := \frac{\log(r\delta^{-1})}{\alpha}$. (The maximal distance in $F_q(V, E)$ is at most $r$, because in $r$ steps the coefficients of every even set in $C$ can be adjusted to the desired value.)

We will construct a coupling on states at distance 1 for which
\[ \sum_{x \in \mathbb{Z}_q} \mu_{\text{flow}}(x+\chi) = \sum_{x \in \mathbb{Z}_q} \mu_{\text{flow}}(x+\chi) = 1. \]

Consider a pair of flows $(f, g)$ which differ by a multiple of $\chi C$. To construct the coupling we first select u.a.r. an even set $D \in C$. We will separate three cases, and define the transition probabilities in each of these cases. The cases are (a) when $C = D$, (b) when $C$ and $D$ have no common edges, and (c) when $C$ and $D$ do have common edges.

(a) We get a valid coupling by making the transition $(f, g) \rightarrow (f + t\chi D, f + t\chi D)$ with probability $\frac{\mu_{\text{flow}}(f+\chi D)}{\sum_{x \in \mathbb{Z}_q} \mu_{\text{flow}}(f+\chi D)}$. Then the distance will always drop from 1 to 0.

(b) Now the edges of $D$ have the same values in $f$ and $g$, and we see that $\mu_{\text{flow}}(f + t\chi D)/\mu_{\text{flow}}(f) = \mu_{\text{flow}}(g + t\chi D)/\mu_{\text{flow}}(g)$ for all $t$. Therefore, we get a valid coupling by making the transition $(f, g) \rightarrow (f + t\chi D, g + t\chi D)$ with probability $\frac{\mu_{\text{flow}}(f+\chi D)}{\sum_{x \in \mathbb{Z}_q} \mu_{\text{flow}}(f+\chi D)} \leq \frac{\mu_{\text{flow}}(g+\chi D)}{\sum_{x \in \mathbb{Z}_q} \mu_{\text{flow}}(g+\chi D)}$. In this case, the distance between the two new states remains 1.

(c) The coupling in this case is more complicated, as the values of $f$ and $g$ on $D$ are different. Below we prove the following:

Claim. There is a coupling where the total probability for all transitions $(f, g) \rightarrow (f + t\chi D, g + t\chi D)$ is at least $1 - \frac{1-x'}{1+x'}$.

In all these transitions the distance remains 1, and therefore the probability of the distance increasing to 2 is at most $\frac{1-x'}{1+x'}$.

We can now calculate the expected distance after one step of this coupling. Case (a) occurs with probability $1/r$, and case (c) with probability at most $d/r$. Hence the expected distance is at most
\[
1 - \frac{1}{r} + \frac{d}{r} \cdot \frac{1 - x'}{1 + x'} = 1 - \frac{1}{r} + \frac{(d+1)x' - (d-1)}{r(1+x')}
\leq 1 - \frac{(d+1)x' - (d-1)}{2r} = 1 - \alpha.
\]

We see that $\alpha$ is positive for $x > 1 - \frac{2}{d+1} > \sqrt{1 - \frac{2}{d+1}} = \sqrt{\frac{d-1}{d+1}}$. Further, we see for these $x$ that the derivative of $\alpha$ with respect to $x$ satisfies,
\[
\frac{d\alpha}{dx} = \frac{(d+1)x^{x-1}}{2r} \geq \frac{(d+1)x^x}{2r} \geq \frac{(d-1)t}{2r} \geq \frac{dt}{4r}.
\]

Hence we find that $\alpha \geq \frac{dt}{4r}$. 

We finish by proving the Claim in case (c). Explicitly the transition probabilities in this case are given by (writing $p_t = \frac{\mu_{\text{flow}}(f+\chi D)}{\sum_{x \in \mathbb{Z}_q} \mu_{\text{flow}}(f+\chi D)}$ and $q_t = \frac{\mu_{\text{flow}}(g+\chi D)}{\sum_{x \in \mathbb{Z}_q} \mu_{\text{flow}}(g+\chi D)}$)
\[
(f, g) \rightarrow (f + t\chi D, g + t\chi D) \text{ with probability } \min(p_t, q_t),
\]
and for \( s \neq t \)
\[
(f, g) \rightarrow (f + sX_D, g + tX_D) \text{ with probability } \frac{(p_t - \min(p_s, q_s))(q_t - \min(p_t, q_t))}{\sum_{u \in Z_q} (p_u - \min(p_u, q_u))} = \frac{(p_s - \min(p_s, q_s))(q_t - \min(p_t, q_t))}{\sum_{u \in Z_q} (q_u - \min(p_u, q_u))}.
\]

It is easily checked that this yields a valid coupling, that is, that the first coordinate has transition probabilities \( p_t \), and similarly \( q_t \) for the second coordinate.

Now we wish to bound the sum of the diagonal entries. To do this, we have to take a closer look at the weights occurring in this table. We define \( a_i \) to be the number of edges in \( D \) with value 0 in the flow \( f + iX_D \). This ensures that \( \mu_{\text{flow}}(f + iX_D) \propto x^{-a_i} \) and \( p_t = \frac{x^{-a_i}}{\sum x^{-a_i}} \). Similarly, we define \( b_i \) as the number of edges in \( D \) with value 0 in the flow \( g + iX_D \).

We derive some boundary conditions on the \( a_i \)'s and \( b_i \)'s. Ranging \( i \) over \( \mathbb{Z}_q \), every edge of \( D \) will get value 0 in exactly one of \( f + iX_D \). So \( \sum_i a_i \) is the length \( |D| \). The same holds for the \( b_i \)'s, so in particular we find that \( \sum_i a_i = \sum_i b_i \).

Second we will bound \( \sum_i |a_i - b_i| \). If an edge is counted in \( a_i \), but not in \( b_i \), it must be an edge of \( C \). For every such edge it can happen once that it is counted in \( a_i \) and not \( b_i \), and once vice versa. Hence the total absolute difference \( \sum_i |a_i - b_i| \) is bounded by \( 2|C \cap D| \leq 2i \).

Now the sum of all the probabilities on the diagonal is
\[
\sum_i \min \left( \frac{x^{-a_i}}{\sum_j x^{-a_j}}, \frac{x^{-b_i}}{\sum_j x^{-b_j}} \right),
\]
and the numbers \( a_i, b_i \) satisfy the conditions of Lemma 11, so the sum is bounded below by \( 1 - \frac{1-x^i}{1+x^i} \).

**Proof of Lemma 11.** First of all, let us introduce a little terminology: an index \( i \) is called \( b \)-minimal if the minimum of the \( i \)-term in \( S \) is not equal to the \( a \)-term. Also assume that \( \sum_j x^{-a_j} \geq \sum_j x^{-b_j} \). And note that the two conditions imply
\[
2i \geq \sum_i |a_i - b_i| \geq |a_j - b_j| + \left| \sum_{i \neq j} a_i - b_i \right| = |a_j - b_j| + |b_j - a_j| = 2|a_j - b_j|.
\]

Hence the absolute difference between \( a_j \) and \( b_j \) is always at most \( i \).

The proof contains two steps. In the first step, we change the numbers \( a_i \) in such a way that the conditions still hold and \( S \) does not increase. After the first step there will be at most one \( b \)-minimal index \( i \). This allows us to eliminate the minima from the expression for \( S \). In the second step, we give a lower bound for this new obtained expression.

For the first step, assume that two different indices \( t, u \) are \( b \)-minimal, and assume also that \( a_t \geq a_u \). Now we increase \( a_t \) by 1, and decrease \( a_u \) by 1, that is, define the new sequence
\[
a'_t = \begin{cases} a_t + 1 & i = t, \\ a_u - 1 & i = u, \\ a_i & \text{otherwise}. \end{cases}
\]

First we note that \( \sum_j x^{-a'_j} > \sum_j x^{-a_j} \), simply because
\[
x^{-a'_d} - x^{-a_t} = x^{-(a_t+1)}(1-x) > x^{-(a_u+1)}(1-x) = x^{-a_u} - x^{-a'_u},
\]
Now we will show for every $i$, that the term $\min(x^{-a_i}/\sum_j x^{-a_j}, x^{-b_i}/\sum_j x^{-b_j})$ does not increase. For $i \neq t, u$ this is easy, because $x^{-a_i}$ does not change and the sum in the denominator increases. Hence the first term in the minimum decreases and the minimum cannot increase. We also assumed that both $t, u$ were $b$-minimal, and because we do not change the $b_i$’s, the minimum cannot increase.

Further, we have to check that the new sequence still satisfies all the conditions. It is clear that $\sum_i a_i' = \sum_i a_i = \sum_i b_i$ and $\sum_j x^{-a_i'} > \sum_j x^{-a_i} \geq \sum_j x^{-b_j}$. Further we see for $i = t, u$ that

$$\frac{x^{-a_i}}{\sum_j x^{-a_j}} > \frac{x^{-b_i}}{\sum_j x^{-b_j}} \geq \frac{x^{-b_i}}{\sum_j x^{-a_j}},$$

hence $a_i > b_i$ for $i = t, u$. Therefore, $|a_i' - b_i| = |a_i - b_i| + 1 = |a_i - b_i| + 1$ and $|a_i' - b_u| = |a_u - b_u - 1| = |a_u - b_u| - 1$ (because $a_u - b_u$ is a positive integer), so the sum of the absolute values remains the same.

After repeating this adjustment with the same indices, eventually one of them will stop being $b$-minimal. Now repeat with two new $b$-minimal indices, as long as they exist. In the end there must be at most one $b$-minimal index.

Now we are ready for step two. If there are no $b$-minimal indices, the sum is equal to 1 and the result holds. Hence we assume wlog that 1 is the only $b$-minimal index and we can write

$$S = \frac{x^{-b_1}}{\sum_j x^{-b_j}} + \sum_{i \neq 1} \frac{x^{-a_i}}{\sum_j x^{-a_j}} = \frac{x^{-b_1}}{\sum_j x^{-b_j}} + 1 - \frac{x^{-a_1}}{\sum_j x^{-a_j}}.$$

Note that for positive $p, q$, the function $\frac{p^q}{p+q}$ is increasing in $q$ and decreasing in $p$. Because $x^{-a_i} \leq x^{-(b_1+i)}$ and $\sum_{j \geq 2} x^{-a_j} \geq \sum_{j \geq 2} x^{-(b_j-i)}$, we can thus estimate that

$$S \geq \frac{x^{-b_1}}{\sum_j x^{-b_j}} + 1 - \frac{x^{-a_1}}{x^{-b_1} + \sum_{j \geq 2} x^{-b_j}}.$$

Now write $X = x^{-1}, B_1 = x^{-b_1}$ and $B_2 = \sum_{j \geq 2} x^{-b_j}$, so that the lower bound for $S$ can be written as $\frac{B_1}{B_1 + B_2} + 1 - \frac{X^3 B_1}{X^2 B_1 + B_2}$. By AM-GM we can estimate that

$$(B_1 + B_2)(X^2 B_1 + B_2) = X^2 B_1^2 + B_2^2 + (X^2 + 1)B_1 B_2 \geq 2X B_1 B_2 + (X^2 + 1)B_1 B_2 = (X + 1)^2 B_1 B_2,$$

so that we find:

$$S \geq 1 + \frac{B_1}{B_1 + B_2} - \frac{X^3 B_1}{X^2 B_1 + B_2} = 1 + \frac{B_1(X^2 B_1 + B_2) - X^3 B_1 (B_1 + B_2)}{(B_1 + B_2)(X^2 B_1 + B_2)}$$

$$= 1 - \frac{(X - 1)(X + 1)B_1 B_2}{(B_1 + B_2)(X^2 B_1 + B_2)} \geq 1 - \frac{(X - 1)(X + 1)B_1 B_2}{(X + 1)^2 B_1 B_2} = 1 - \frac{X - 1}{X + 1}.$$

4 | JOINT FLOW-RANDOM CLUSTER MARKOV CHAIN

In this section, we will consider a different chain that allows us to sample flows. We will again prove rapid mixing by using path coupling, and this holds for roughly the same range of parameters $x$.  

To describe the chain, let $q \geq 2$ be an integer and let $G = (V, E)$ be a graph $m$ edges. Let $C$ be an even generating set for the flow space $F_q(G)$ of size $r$ and let $ℓ = ℓ(C).

**Definition 2.** Let $Ω_{\text{flow-RC}}$ be the set of pairs $(f, F)$ with $F \subseteq E$ a set of edges and $f$ a flow on $(V, F)$. The joint flow-RC Markov chain is a Markov chain on the state space $Ω_{\text{flow-RC}}$ depending on two parameters $x, p \in (0, 1)$. The transition probabilities are as follows:

For $e \in E \setminus F$:

$$P_{\text{flow-RC}}[(f, F),(f, F \cup \{e\})] = \frac{(1-p)x}{m}.$$  

For $e \in F$ such that $f(e) = 0$:

$$P_{\text{flow-RC}}[(f, F),(f, F \setminus \{e\})] = \frac{(1-p)(1-x)}{m}.$$  

And for $t \in \{1, \ldots, q - 1\}$, $C \in C$ an even set such that $C \subseteq F$:

$$P_{\text{flow-RC}}[(f, F),(f + t\mathbf{1}_C, F)] = \frac{p}{qr}.$$  

All other transition probabilities are zero, except for the stationary probabilities $P_{\text{flow-RC}}[(f, F), (f, F)]$.

Simulating one step of this Markov chain starting in the state $(f, F)$ can be done as follows. We first select either “flow” or “edges” with probabilities resp. $p$ and $1 - p$.

- If we select “flow,” we will update the flow $f$. We choose $C \in C$ and $t \in \mathbb{Z}_q$ uniformly at random. If the flow $f + t\mathbf{1}_C$ is supported on $F$ (for $t \neq 0$ this is equivalent to $C \subseteq F$), we make the transition $(f, F) \rightarrow (f + t\mathbf{1}_C, F)$. Otherwise the chain stays in $(f, F)$.
- If we select “edges,” we will update the set of edges $F$. We choose an edge $e \in E$ uniformly at random. If $e$ is not contained in $F$, we make with probability $x$ the transition $(f, F) \rightarrow (f, F \cup \{e\})$. If $e$ is contained in $F$ and $f(e) = 0$, we make with probability $1 - x$ the transition $(f, F) \rightarrow (f, F \setminus \{e\})$. Otherwise the chain stays in $(f, F)$.

The total cost of simulating one step of this Markov chain is $O(ℓ)$ for checking whether $C \subseteq F$ in the first case.

Further this Markov chain has stationary distribution $μ_{\text{flow-RC}} : (f, F) \mapsto \frac{1}{Z_{\text{flow}}^x}x^{|F|}(1-x)^{|E\setminus F|}.$ (From Lemma 4 it follows that the sum over all states is 1.) This follows easily from checking the detailed balance equation.

### 4.1 Rapid mixing of joint flow-RC Markov chain

**Theorem 12.** Let $ℓ \geq 3, q, s \geq 2$ be integers and $1 > x > 1 - \frac{q}{(q - 1)s}. Write $ξ = x - \left(1 - \frac{q}{(q - 1)s}\right)$ and let $δ > 0$. Let $G = (V, E)$ be a graph and $C$ an even generating set of $F_q(V, E)$ of size $r$ satisfying $ℓ(C) \leq ℓ$ and $s(C) \leq s$, then there is a value of $p$ for which the joint flow-RC Markov chain for $(G, C)$ comes $δ$-close to $μ_{\text{flow-RC}}$ with parameter $x$ in at most $\frac{2m + s}{ℓ} log((2m + r)δ^{-1})ξ^{-1}$ steps.

**Remark 4.** An exact value for $p$ in the theorem above can be obtained from Equation (14).
Remark 5. Note again that \( \xi > \frac{q}{(q-1)\varepsilon s} > \frac{1}{\varepsilon s} \), and hence the required number of calls in the above theorem is at least \( 2s(m + r) \log((2m + r)\delta^{-1}) \). Again this means the bound does not get better with larger \( \ell' \), even though it appears in the denominator, and even gets worse with larger \( s \).

It would be interesting to see if the theorem could be used to say anything about possible rapid mixing of the Glauber dynamics for the random cluster model at low temperatures, compare [13].

The following corollary is immediate by Proposition 5 and directly implies Theorem 1(ii) by Lemma 6.

Corollary 13. Fix integers \( \ell' \geq 3 \) and \( q, s \geq 2 \). Let \( w > (q - 1)(\ell' s - 1) \) and \( \delta > 0 \), then there exists an algorithm that on input an \( m \)-edge graph \( G \) and an even generating set \( C \) for \( \mathcal{F}_0(G) \) of size \( r \) satisifying \( \ell'(C) \leq \ell' \) and \( s(C) \leq s \), outputs a \( q \)-state Potts coloring \( \sigma : V \to [q] \) within total variation distance \( \delta \) of the \( q \)-state Potts-measure \( \mu_{\text{Potts}} \) with parameter \( w \). This is obtained by running the joint flow-RC Markov chain for \( O(m + r) \log((m + r)\delta^{-1}) \) steps, where each step takes \( O(1) \) time (since \( \ell' \) is fixed).

Proof of Theorem 12. We will again use path coupling to deduce rapid mixing of the above defined Markov chain. The distance we use on the state space is defined as the least number of steps required in the Markov chain to go from one state to the another. A crude upper bound on the diameter is given by \( 2m + r \). There are two kinds of pairs of states at distance one, which we will treat separately. Just as in the proof of Theorem 9, we will prove that the expected distance after one step of the coupling is at most \( 1 - \alpha \) for some \( \alpha \), and therefore the mixing time is at most \( \log((2m + r)\delta^{-1})\alpha^{-1} \).

Consider the states \((f, F)\) and \((f, F \cup \{e\})\). We will make a coupling on them. The transition probabilities of this coupling are as follows:

\[
\begin{pmatrix}
(f \ F) & (f \ F \cup \{e\}) \\
(f \ F) & (f \ F)
\end{pmatrix} \rightarrow \begin{pmatrix}
\begin{cases}
(f \ F \cup \{e\}) & \frac{1-p}{m}, \\
(f \ F) & \frac{(1-p)(1-s)}{m}, \\
(f \ F \cup \{e'\}) & \frac{1-p}{m} \quad \text{if } e' \notin F \cup \{e\}, \\
(f \ F \setminus \{e'\}) & \frac{(1-p)(1-s)}{m} \quad \text{if } e' \in F \text{ and } f(e') = 0,
\end{cases} \\
(f \ F \setminus \{e'\} \cup \{e\}) & f + t\chi_C \\
(f + t\chi_C \ F) & \frac{p}{qr} \quad \text{if } t \neq 0 \text{ and } C \subseteq F,
\end{pmatrix}
\]

The first two cases each occur exactly once and decrease the distance by one. The last case occurs at most \( s(q - 1) \) times and increases the distance by one. Therefore, the expected distance after one step of the coupling is at most

\[
1 - \frac{1-p}{m} + \frac{(q-1)sp}{qr}
\]

in this case.
Next is the coupling on the neighboring states \((f, F)\) and \((f + t \chi_c, F)\) (with \(t \neq 0\)). The transition probabilities are as follows:

\[
\begin{pmatrix}
  f & F \\
  f + t \chi_c & F
\end{pmatrix}
\rightarrow
\begin{pmatrix}
  f & F \cup \{e\} \\
  f + t \chi_c & F \cup \{e\} \\
  f & F \setminus \{e\} \\
  f + t \chi_c & F \setminus \{e\} \\
  f & F \\
  f + t \chi_c & F \\
  f + t' \chi_c & F \\
  f + t' \chi_c + t' \chi_c' & F
\end{pmatrix}
\]

\[
\begin{align*}
\frac{(1-p)x}{m} & \text{ if } e \notin F, \\
\frac{(1-p)(1-x)}{m} & \text{ if } e \notin F \text{ and } e \notin C, \\
\frac{(1-p)(1-x)}{m} & \text{ if } e \in C \text{ and } f(e) = 0, \\
\frac{(1-p)(1-x)}{m} & \text{ if } e \in C \text{ and } f(e) + t \chi_C(e) = 0, \\
\frac{p}{qr} & \text{ if } q \neq 0 \text{ and } C' \neq C.
\end{align*}
\]

The third and fourth case occur together at most \(t'\) times and increase the distance with one. The fifth case occurs exactly \(q\) times and decreases the distance with one. Therefore, the expected distance after one step of the coupling is at most

\[
1 - \frac{p}{r} + \frac{\ell(1-x)(1-p)}{m}.
\]

To find a useful coupling, both expected distances will have to be smaller than one and we have to solve the following equations (for \(p\) and \(\alpha\)):

\[
1 - \frac{1-p}{m} + \frac{(q-1)sp}{qr} = 1 - \frac{p}{r} + \frac{\ell(1-x)(1-p)}{m} = 1 - \alpha,
\]

i.e.,

\[
\frac{1-p}{m} - \frac{(q-1)sp}{qr} = \frac{p}{r} - \frac{\ell(1-x)(1-p)}{m} = \alpha. \tag{14}
\]

For \(p = 0\), the first term is positive while the second is negative, and vice versa for \(p = 1\). Therefore, the solution for \(p\) lies indeed in \((0, 1)\) and we will not calculate it explicitly. Instead we eliminate \(p\) to only calculate the value of \(\alpha\):

\[
\frac{1}{qrm} (qr + (q-1)sm + qm + qr\ell(1-x)) \alpha
= \left( \frac{1}{m} + \frac{(q-1)s}{qr} \right) \left( \frac{1}{r}p + \frac{\ell(1-x)}{m}p - \frac{\ell(1-x)}{m} \right) + \left( \frac{1}{r} + \frac{\ell(1-x)}{m} \right) \left( \frac{1}{m} - \frac{1}{m}p - \frac{(q-1)s}{qr}p \right)
= -\frac{(q-1)\ell s(1-x)}{qrm} + \frac{1}{rm},
\]

reducing to

\[
\alpha = \frac{q - (q-1)\ell s(1-x)}{qr + (q-1)sm + qm + qr\ell(1-x)}.
\]
Since \( x > 1 - \frac{a}{(q-1)\epsilon s} \), this value of \( \alpha \) is positive. Plugging in \( 1 - x = \frac{a}{(q-1)\epsilon s} - \xi \), we continue to find a bound on \( \alpha^{-1} \):

\[
\alpha^{-1} = \frac{qr + (q-1)sm + qm + qr(1-x)}{q - (q-1)\epsilon s(1-x)} = \frac{qr + (q-1)sm + qm + qr(1-x)}{(q-1)\epsilon s^2} < \frac{2(m+r)}{\ell} \xi^{-1}.
\]

This finishes the proof. 

\[ \square \]

5 | COMPUTING THE PARTITION FUNCTION USING THE MARKOV CHAIN SAMPLER

In this section, we prove Theorem 2. We will do this with a self-reducibility argument, making use of a connection between removing and contracting edges.

We have the following result.

Proposition 14. Let \( x \in [1/3, 1] \) and \( q \in \mathbb{N}_{\geq 2} \). Let \( \mathcal{G} \) be a family of graphs which is closed under contracting edges. Assume we are given an algorithm that for \( n \)-vertex and \( m \)-edge graph \( G \in \mathcal{G} \) and any \( \delta > 0 \) computes a random \( \mathbb{Z}_q \)-flow with distribution \( \delta \)-close to \( \mu_{\text{flow}} \) in time bounded by \( T(\delta, n, m) \). Then there is an algorithm that given an \( n \)-vertex and \( m \)-edge graph \( G \in \mathcal{G} \) and any \( \epsilon > 0 \) computes a number \( \zeta \) such that with probability at least \( 3/4 \)

\[
e^{-\epsilon} \leq \frac{\zeta}{Z_{\text{flow}}(G; q, x)} \leq e^\epsilon
\]

in time \( O(n^2_\epsilon^{-2}T(\epsilon/n, n, m)) \).

Before proving the proposition, let us show how it implies Theorem 2.

Proof of Theorem 2. We prove part (i); part (ii) follows in exactly the same way. Fix positive integers \( i \) and \( d \) with \( d \geq 2 \). Consider the class of graphs \( \mathcal{G} \) that have a basis for the cycle space \( \mathcal{C} \) consisting of even sets satisfying \( i(C) \leq i \) and \( d(C) \leq d \). By Lemma 7 this class is closed under contracting edges. By Theorem 9 we have an algorithm that for each \( m \)-edge graph \( G \in \mathcal{G} \) and any \( \delta > 0 \) computes a random \( \mathbb{Z}_q \)-flow with distribution within total variation distance \( \delta \) from \( \mu_{\text{flow}} \) in time bounded by \( T(\delta, n, m) = O(m^2 \log(m\delta^{-1})) \) provided \( x > 1 - \frac{2}{(d+1)\epsilon} \geq 1/3 \); see Remark 3). The theorem now follows from the previous proposition combined with the fact that \( Z_{\text{flow}}(G; q, x) = (1-x)^{|E|}q^{-|V|}Z_{\text{Potts}}(G; q, \frac{1+(q-1)x}{1-x}) \) by Lemma 3. The running time is given by \( O(n^2m^2_\epsilon^{-2} \log(nme^{-1})) \).

We now turn to the proof of Proposition 14.

Proof of Proposition 14. As already mentioned above the proof relies on a self-reducibility argument.

The flow partition function satisfies the following well known deletion-contraction relation: for a graph \( G = (V, E) \) and \( e \in E \) not a loop, we have

\[
Z_{\text{flow}}(G; q, x) = (1-x)Z_{\text{flow}}(G \setminus e; q, x) + xZ_{\text{flow}}(G/e; q, x).
\] (15)
This holds because the collection of all flows on $G$ and on $G/e$ are in bijection with each other, while the flows on $G \setminus e$ correspond to the flows on $G$ that take value 0 on $e$.

We rewrite (15) as

$$
\frac{Z_{\text{flow}}(G/e; q, x)}{Z_{\text{flow}}(G; q, x)} = 1 - \frac{1 - x}{x} \cdot \frac{Z_{\text{flow}}(G \setminus e; q, x)}{Z_{\text{flow}}(G; q, x)},
$$

(16)

and we interpret the fraction

$$
\frac{Z_{\text{flow}}(G \setminus e; q, x)}{Z_{\text{flow}}(G; q, x)}
$$

as the probability that $e$ is assigned the value 0 $\in \mathbb{Z}_q$ when a flow is sampled from $\mu_{\text{flow}}$. This probability can be estimated using the assumed sampler. Hence we can use the sampler to estimate (16).

From $G = (V, E)$, we now construct a series of graphs $G = G_0, G_1, \ldots, G_t$ where in each step we contract one edge (which is not a loop). We can do this, until every component has been contracted to a single vertex, possibly with some loops attached to it. This takes $t = |V| - c(G) \leq |V|$ steps, where $c(G)$ denotes the number of components of $G$. In the end, we have $|E| - |V| + c(G) \leq |E|$ edges (loops) left and the resulting graph $G_t$ thus has flow partition function $Z_{\text{flow}}(G_t; q, x) = (1 + (q - 1)w)^{|E| - |V| + c(G)}$. Therefore

$$
\frac{1 + (q - 1)x)^{|V| - c(G)}}{Z_{\text{flow}}(G; q, x)} = \frac{Z_{\text{flow}}(G_t; q, x)}{Z_{\text{flow}}(G_0; q, x)} = \frac{Z_{\text{flow}}(G_1; q, x)}{Z_{\text{flow}}(G_0; q, x)} \ldots \frac{Z_{\text{flow}}(G_t; q, x)}{Z_{\text{flow}}(G_{t-1}; q, x)}.
$$

(17)

Note that for each $i$ and any non-loop edge $e \in E(G_i)$ we have by (16),

$$
1 \leq \frac{Z_{\text{flow}}(G_i/e; q, x)}{Z_{\text{flow}}(G_i; q, x)} \leq \frac{1}{x} \leq 3,
$$

(18)

since $x \geq 1/3$.

We can now estimate each individual probability on the right-hand side of (17) to get an estimate for $Z_{\text{flow}}(G; q, w)$. This is rather standard and can be done following the approach in [17] for matchings. We therefore only give a sketch of the argument, leaving out technical details.

For each $i$, let

$$
p_i := \frac{Z_{\text{flow}}(G_i \setminus e; q, x)}{Z_{\text{flow}}(G_i; q, x)}.\]

To estimate $p_i$ we run our sampler $M = O(e^{-2}t)$ times with $\delta = O(\epsilon/t)$ to generate independent random flows $f_j$ ($j = 1, \ldots, M$). Denote by $X_j$ the random variable that is equal to 1 if $e$ is not contained in $\text{supp}(f_j)$ and 0 otherwise. We are in fact not interested in $p_i$, but rather in

$$
\hat{p}_i := \frac{Z_{\text{flow}}(G_i/e; q, x)}{Z_{\text{flow}}(G_i; q, x)} = \frac{1}{x} - \frac{1 - x}{x} p_i.
$$

We therefore define the random variable

$$
y_j := \frac{1}{x} - \frac{1 - x}{x} X_j \quad \text{and} \quad y^i := 1/M \sum_{j=1}^{M} Y_j.
$$

Note that $\mathbb{E}[y^i] = \mathbb{E}[Y_j] = \hat{p}_i$ and it is easy to check that $\text{Var}(y^i) = 1/M \text{Var}(Y_j) = 1/M(\mathbb{E}(Y_j) - 1)(1/x - \mathbb{E}(Y_j))$ for any $j = 1, \ldots, M$. We note that, by definition of the total variation distance, the fact that $x \geq 1/3$, and (18), we have

$$
\hat{p}_i(1 - 2\delta) \leq \hat{p}_i - \frac{1 - x}{x} \delta \leq \mathbb{E}[y^i] = \mathbb{E}[Y_j] \leq \hat{p}_i + \frac{1 - x}{x} \delta \leq (1 + 2\delta)\hat{p}_i.
$$

(19)
This implies that
\[
\frac{\text{Var}[Y^i]}{\mathbb{E}[Y^i]^2} = \frac{1}{M} \frac{(\mathbb{E}(Y_i) - 1)(1/\xi - \mathbb{E}(Y_i))}{\mathbb{E}[Y^i]^2} \leq O(\varepsilon^2/t).
\]

Consider next the random variable \( Y := \prod_{i=1}^t Y^i \). This will, up to a multiplicative factor (cf. (17)), give us the desired estimate. Since the \( Y^i \) are independent we have
\[
\frac{\text{Var}[Y]}{\prod_{i=1}^t \mathbb{E}[Y^i]^2} = \prod_{i=1}^t \frac{\mathbb{E}[(Y^i)^2]}{\mathbb{E}[Y^i]^2} - 1 = \prod_{i=1}^t \left( 1 + \frac{\text{Var}[Y^i]}{\mathbb{E}[Y^i]^2} \right) - 1 \leq O(\varepsilon^2).
\]

Then by Chebychev’s inequality \( Y \) does not deviate much from \( \prod_{i=1}^t \mathbb{E}[Y^i] \) with high probability, which by (19) and our choice of \( \delta \) does not deviates much from \( \prod_{i=1}^t \hat{\beta}_i \). More precisely, \( Y \) will not deviate more than an \( \exp(O(\varepsilon)) \) multiplicative factor from \( \prod_{i=1}^t \hat{\beta}_i \) with high probability, as desired.

We need to access the sampler \( O(t/\varepsilon^2) \) many times with \( \delta = O(\varepsilon/t) \) to compute each \( Y^i \). So this gives a total running time of \( O(n^2 \varepsilon^{-2} T(\varepsilon/n, n, m)) \). This concludes the proof sketch.

6 SLOW MIXING OF THE FLOW CHAIN

In this section, we show that the flow Markov chain cannot mix rapidly for all \( x \in (0, 1) \). We do this by using the duality of our Markov chain on flows and Glauber dynamics of the Potts model on the planar grid (although the duality holds more generally on planar graphs). A result of Borgs, Chayes, and Tetali [4] for slow mixing of the Glauber dynamics of the Potts model on the grid (below a critical temperature) then immediately implies slow mixing of our flows Markov chain at the same temperature.

Given a graph \( G = (V, E) \), let \( F_q(G) \) be the set of \( \mathbb{Z}_q \)-flows on \( G \) and let \( \Omega_q(G) \) be the set of \( \tau : V \rightarrow [q] \) of \( q \)-spin configurations on \( G \). Clearly \( |\Omega_q(G)| = q^{|V|} \) and, as noted earlier, \( |F_q(G)| = q^{|E| - |V| - 1} \).

Recall that the Glauber dynamics for the \( q \)-state Potts model for a graph \( G \) and parameter \( x \) is the following Markov chain with state space \( \Omega_q(G) \). Given that we are currently at state \( \sigma \in \Omega_q(G) \), we pick a vertex \( v \in V \) uniformly at random and update its state as follows: we choose the new state to be \( i \) with probability \( x^{m(i)} / Z_v \), where \( m(i) \) is the number of neighbors of \( v \) that have state \( i \) in \( \sigma \), and \( Z_v = \sum_i x^{m(i)} \).

Let \( G = (V, E) \) be the \((L+1) \times (L+1)\)-grid and \( H = (V', E') \) the \((L\times L)\)-grid. One can easily check that \(|V'| = |E| - |V| + 1\) and so \( |\Omega_q(H)| = |F_q(G)| \). There is a natural bijection \( \varphi : \Omega_q(H) \rightarrow F_q(G) \) defined as follows. First note that \( H \) is the planar dual of \( G \) (ignoring the outer face of \( G \)). Using this, write \( v_1, …, v_{L^2} \) for the vertices of \( H \) and \( C_1, …, C_{L^2} \) for the corresponding faces (i.e., 4-cycles) of \( G \). Given \( \sigma \in \Omega_q(H) \), let \( \varphi(\sigma) = \sum_{i=1}^{L^2} \sigma(v_i) C_i \). We see that \( \varphi \) is injective since the \( C_i \) form a basis of the cycle space of \( G \), and hence \( \varphi \) must be bijective.

Now it is easy to check that the \( q \)-state Potts Glauber dynamics on \( H \) is equivalent to the \( \mathbb{Z}_q \)-flow Markov chain on \( G \) (where both chains have the same interaction parameter, say \( x \)) via the correspondence \( \varphi \) between their state spaces. In other words if \( P \) and \( Q \) are their respective transition matrices then \( P_{\sigma_1 \sigma_2} = Q_{\varphi(\sigma_1) \varphi(\sigma_2)} \) for all \( \sigma_1, \sigma_2 \in \Omega_q(H) \).

Borgs, Chayes, and Tetali [4] showed that the mixing time of the Glauber dynamics of the \( q \)-state Potts model on the \( L \times L \) grid with interaction parameter \( x = e^{-\beta} \) is bounded below by \( x^{CL} \) for some constant \( C \) when \( \beta \) is above the critical threshold for the grid, that is, \( \beta \geq \beta_0(\mathbb{Z}^2) = \frac{1}{2} \log q + O(q^{-1/2}) \). In particular, this shows the same exponential lower bound on the mixing time for the \( \mathbb{Z}_q \)-flow Markov chain (for the same interaction parameter \( x \)) on the \((L + 1) \times (L + 1)\)-grid.
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