Extremely high strength and work hardening ability in a metastable high entropy alloy

S. S. Nene¹, M. Frank¹, K. Liu¹, R. S. Mishra¹, B. A. McWilliams² & K. C. Cho²

Design of multi-phase high entropy alloys uses metastability of phases to tune the strain accommodation by favoring transformation and/or twinning during deformation. Inspired by this, here we present Si containing dual phase Fe₄₀Mn₂₈Co₁₀Cr₁₅Si₅ high entropy alloy (DP-5Si-HEA) exhibiting very high strength (1.15 GPa) and work hardening (WH) ability. The addition of Si in DP-5Si-HEA decreased the stability of f.c.c. (γ) matrix thereby promoting pronounced transformation induced plastic deformation in both as-cast and grain refined DP-5Si-HEAs. Higher yet sustained WH ability in fine grained DP-5Si-HEA is associated with the uniform strain partitioning among the metastable γ phase and resultant h.c.p. (ε) phase thereby resulting in total elongation of 12%. Hence, design of dual phase HEAs for improved strength and work hardenability can be attained by tuning the metastability of γ matrix through proper choice of alloy chemistry from the abundant compositional space of HEAs.

The concepts of high entropy alloy (HEA) and transformation induced plasticity (TRIP) was recently merged by Li et al.¹,² in a dual phase Fe₅₀Mn₃₀Co₁₀Cr₁₀ high entropy alloy (DP-HEA). A major discovery in this work was the observation of concurrent increase in strength and ductility with refinement of grain size. The fundamental basis for this was TRIP in this DP-HEA and appropriately, Li et al.¹,² called it DP-TRIP-HEA. While the overall results were very exciting, the yield strength (YS) of the DP-TRIP-HEA was quite low, ~200–300 MPa range in as-homogenized condition and ~250–375 MPa after thermomechanical processing. They further tried to enhance the YS by addition of interstitial carbon and called it as DP-iHEA³. The C addition could not increase the YS significantly, however, reduced the tripping tendency of the material³. Moreover, our recent work showed that friction stir processing (FSP) of DP-HEA increased the YS of the material by 100 MPa while retaining the other mechanical properties reported by Li et al.¹,². These results suggested that, either the change in alloy chemistry or the processing path could result in enhanced YS but may lose the metastability driven TRIP or twinning induced plasticity (TWIP) effects in DP-HEAs. However, TRIP literature⁴–⁷ suggested that (h.c.p.) ε phase is harder than the γ matrix and hence evolution of martensite dominant microstructure would result in increased YS of the alloy while retaining the TRIP or TWIP effect. In view of this, martensite fraction in the microstructure can be increased by increasing the metastability of the (f.c.c.) γ phase. Moreover, recent results on TRIP assisted HEAs¹–⁴ showed that presence of both Fe and Mn is needed for altering the γ phase stability during deformation and hence should be an integral part of the alloy chemistry. Along with transition elements, it is shown that, light weight elements like Si, C, Al have a massive effect on γ phase evolution in TRIP assisted Fe-Mn alloys⁶–⁷.

Thus, present study involved design of a TRIP assisted HEA with enhanced strength using two strands of motivation.

1. **Design of dual phase HEA with increased metastability of the γ matrix:** This altered phase stability in the HEA can be achieved by changing the alloy chemistry. The selection of the alloy chemistry was based on the literature available for TRIP assisted HEAs and steels along with the use of thermodynamic simulations by Thermo-Calc software.

2. **Microstructure engineering:** Microstructure of the newly designed HEAs was tailored using FSP. FSP being a unique high temperature severe deformation process that alters grain size and phase evolution. At the same time, shear driven transport of elements during FSP helps to retain the chemical homogeneity of the microstructure⁸–⁹.

¹Center for Friction Stir Processing, Department of Materials Science and Engineering, University of North Texas, Denton, Texas, 76207, USA. ²Weapons and Materials Research Directorate, U.S. Army Research Laboratory, Aberdeen Proving Grounds, MD, 21005, USA. Correspondence and requests for materials should be addressed to R.S.M. (email: Rajiv.Mishra@unt.edu)

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Alloy Design Approach

The $\gamma \rightarrow \varepsilon$ transformation in the TRIP alloys is governed by the Gibb’s free energy for martensite formation ($\Delta G_{\gamma \rightarrow \varepsilon}$) from the metastable $\gamma$ phase. Therefore, higher the metastability of the $\gamma$ phase, higher is the driving force for TRIP to occur and lower is the value of $\Delta G_{\gamma \rightarrow \varepsilon}$. Further, lower $\Delta G_{\gamma \rightarrow \varepsilon}$ values also indicate a low stacking fault energy (SFE) of the system since $\varepsilon$ formation (TRIP effect) is based on the pre-existence of stable intrinsic stacking faults in the microstructure. As a result, increasing $\gamma$ metastability essentially corresponds to decrease in SFE of the system. In other words, alloys with metastable $\gamma$ matrix would result in lower equilibrium $\gamma$ fraction at room temperature (25 °C) owing to higher driving force for transformation. Thus, room temperature equilibrium $\gamma$ fraction obtained from Thermo-Calc simulations for a given alloy chemistry can also be considered as a measure for tuning the $\gamma$ phase stability in the alloy design approach. In line of this, thermodynamics simulations were made with Thermo-Calc software (HEA database; TCHEA2) to predict equilibrium $\gamma$ fraction and temperature onset (temperature below which mixture of $\gamma$ and $\varepsilon$ phases exists) for Fe-Mn-Co-Cr containing HEAs (Fig. 1a–c) using the property diagrams. These simulations depict (Fig. 1a) very high equilibrium $\gamma$ phase fractions and low temperature onset ($T_o$) values for recently designed DP-HEA and DP-iHEA, respectively which indirectly demonstrate low $\gamma$ phase metastability in them. The earlier simulation work by Xiong et al. and Nava et al. showed that Cr increases the driving force for $\gamma \rightarrow \varepsilon$ transformation whereas Mn decreases it. Thus, the equilibrium $\gamma$ fraction and $T_o$ values were estimated for the Fe$_{47-x}$Mn$_{28}$Co$_{10}$Cr$_{15}$Y$_x$ (where $x$ is the at.% contribution of Y) system in comparison with Fe$_{50}$Mn$_{30}$Co$_{10}$Cr$_{10}$ and Fe$_{50}$Mn$_{30}$Co$_{10}$Cr$_{10}$Ni$_{5}$ alloys. Among that, Fe$_{47}$Mn$_{28}$Co$_{10}$Cr$_{15}$N$_2$ alloy containing almost 5 at.% higher Cr compared to DP-HEA displayed decrease in predicted equilibrium $\gamma$ phase fraction, however, with marginal change in $T_o$ value (yellow triangle in Fig. 1a). Further, extensive work by Xiong et al. on Fe-Mn alloys estimated the effect of non-transition alloying elements (i.e. Al, C, Si) on $\Delta G_{\gamma \rightarrow \varepsilon}$ by thermodynamic simulations for given Mn content in the alloy. According to their work, C and Al increased the $\Delta G_{\gamma \rightarrow \varepsilon}$ values (more $\gamma$ phase fraction) whereas Si decreased it (less $\gamma$ phase fraction).

As a result, effect of Si on the $\gamma$ phase stability was studied by obtaining equilibrium $\gamma$ phase fractions (300 K) for Fe$_{47-y}$Mn$_{28}$Co$_{10}$Cr$_{15}$Si$_x$ (where $x = 0$ to 8 at.% Si) HEA (Fig. 1b) using Thermo-Calc simulations. Figure 1b shows the dramatic decrease in the $\gamma$ fraction with increased Si content till 5 at.% beyond which the variation become sluggish. Moreover, the phase diagram (Fig. 1c) estimation for Fe$_{78-x}$Mn$_{28}$Co$_{10}$Cr$_{15}$Si$_x$ (where $x = 0$ to 10 at.%)
showed that there is increase in the $T_o$ value with increase in the Si content and reaches to a threshold value of 425 °C for 5 at.% Si. Therefore, it appears that 5 at.% Si would result in effective increase in the metastability of the $\gamma$ matrix up-to high temperature and also corresponds to the lowering of SFE of the HEA system under consideration.

In order to compare the effect of Si addition on the $\gamma$ phase metastability as against the equivalent addition of the Ni or Al in the HEA matrix, $T_o$ values and equilibrium $\gamma$ fractions were obtained for Fe$_{42}$Mn$_{28}$Co$_{10}$Cr$_{15}$Y$_5$ (where Y$_5$ stands for 5 at.% of elements like Si or Al or Ni) alloys from simulations. It clearly implies that, 5 at.% Si addition results in lowest equilibrium $\gamma$ fraction (maroon circle in Fig. 1a) and highest $T_o$ value as against addition of similar amount of Ni or Al in the alloy (green triangle and blue diamond in Fig. 1a). Thus, Fig. 1a–c prove that 5 at.% Si addition not only makes the Fe-Mn-Cr-Co matrix more metastable but also extend the ($\gamma$ + $\varepsilon$) phase field to higher temperature in comparison with the Fe-Mn-Cr-Co matrix with or without Ni or Al. Accordingly, the alloy chemistry for the new HEA was fixed to Fe$_{42}$Mn$_{28}$Cr$_{15}$Co$_{10}$Si$_5$ (all in at.%).

### Microstructure and Phase Evolution in As-Cast and FSP Conditions

Figure 2a shows the electron back scattered diffraction (EBSD) inverse pole figure (IPF) map for as-cast Fe$_{42}$Mn$_{28}$Cr$_{15}$Co$_{10}$Si$_5$ HEA (hence forth designated as DP-5Si-HEA) along with the corresponding EDS scan and elemental composition (Fig. 2b,c). As expected, addition of Si and Cr resulted in the dual phase microstructure owing to increased metastability of the $\gamma$ phase$^{1-5}$. As rapid cooling was done during casting, the phase fraction obtained in as-cast material observed to be away from the equilibrium phase fractions. However, presence of distinct peaks for $\varepsilon$ phase supports the dual phase nature of the microstructure in DP-5Si-HEA (Fig. 2a and d).

The as-cast microstructure was refined by multi-pass friction stir processing (M-pass, FSP carried out with 3 overlapping passes starting with 650 rotations per minute (RPM) down to 350 RPM in the second pass and the last pass being carried out at 250 RPM).

As expected, FSP resulted in refining (grain size ($d$) = 1.3 $\mu$m) the as-cast ($d$ = 100 $\mu$m) microstructure while retaining the chemical homogeneity (Fig. 2c and f) and $\gamma$ phase dominance (Fig. 2g) in the microstructure. Besides the chemical homogeneity$^{4,8}$, FSP also leads to severe fragmentation of the as-cast dendritic structure into fine equiaxed grains wherein each grain retains different level of strain after processing which resulted in the increase of dislocation storage upon FSP$^{1,4}$. Decrease in the rotational rate during each pass of FSP not only reduces the temperature but also decreases imposed strain during processing and thus limits the dislocation storage. Stored deformation during each pass promotes the conversion of metastable $\gamma$ phase to $\varepsilon$ phase as a result of TRIP. The starting of lower strain rate$^8$ processing at 250 RPM during third overlapping pass of FSP limits further nucleation of the $\varepsilon$ phase and stabilizes the metastable $\gamma$ phase in the microstructure at the onset of cooling. Therefore, this kinetic stability of phases triggers the formation of $\gamma$ phase (88%) dominant microstructure with limited fraction of $\varepsilon$ phase (12%) upon M-pass (multi-pass friction stir processing). This was further confirmed by the XRD analysis (Fig. 2g) showing the intense peaks for $\gamma$ phase along with some distinct peaks for $\varepsilon$ phase.

### Improved Strength in As-Cast and FSP DP-5Si-HEA

Figure 2a and (b1–b4) display engineering stress-engineering strain curves and the EBSD phase maps before and after tensile deformation for both as-cast and M-pass specimens respectively. M-pass specimen exhibits very high yield strength (YS) of 400 MPa and ductility of 7%. When compared with the values of YS reported by Li et al.$^{1,2}$ for DP-HEA, DP-5Si-HEA showed almost 100 and ~600 MPa increase in YS for as-cast and M-pass specimens respectively.

According to classical work hardening theory$^{12-15}$, increase in dislocation density is an indication of more grain boundary hardening which is also reflected by increased stress for yielding. Thus, the significant increase in the YS of M-pass specimen is mainly attributed to the severe grain refinement from the as-cast grain size of 100 $\mu$m to 1.3 $\mu$m attained upon FSP. However, along with the grain size, prior $\varepsilon$ fraction in the microstructure also plays crucial role in altering the YS of the TRIP alloys$^{1-4}$. This is because, $\varepsilon$ phase has different crystal structure than the $\gamma$ phase and hence $\varepsilon$/$\gamma$ interfaces act as sites for dislocation pile up thereby increasing the overall dislocation density. As a result, these heavily dislocated martensitic microstructures yield at higher stresses$^{1-4,16}$.

In the present work, M-pass specimen showed very fine grain size and almost two times more $\varepsilon$ phase fraction in comparison with the as-cast material. Moreover, the $\varepsilon$ phase obtained upon FSP is severely refined and well distributed in the $\gamma$ matrix as against the as-cast material (Fig. 3b1–c). Hence, the onset of yielding was increased in M-pass specimen thereby reaching to a YS of 950 MPa from as-cast YS of 400 MPa. Therefore, the synergistic effect of grain refinement and the phase evolution upon FSP resulted in almost 350 MPa increase in the YS for M-pass specimen in comparison with as-cast condition (Fig. 3a). Also, DP-5Si HEA displayed ultimate tensile strength (UTS) of 1.15 GPa among all DP-HEAs reported so far upon FSP or conventional thermomechanical processing$^{1-3}$. This effective combination UTS and elongation in M-pass specimen is attributed to the higher yet confined work hardening ability in the material which is discussed in upcoming section in detail.

### Rapid Work Hardening and Resultant Mechanical Properties

Figure 4a shows the work hardening (WH) curves for both conditions wherein dominance of stage III during WH is evident, which is expected for the polycrystalline alloys. As can be noted from Figs 3a and 4a, as-cast material itself showed significantly high work hardenability in comparison with conventional steels and newly designed TRIP assisted HEAs$^{1-4,16}$. This is mainly attributed to metastable coarse grained $\gamma$ enriched starting microstructure which upon subsequent loading can transform rapidly to $\varepsilon$ martensite phase as a result of TRIP$^{17-19}$. This transformation effect was captured by the decreased fraction (green color) of $\gamma$ phase from 94% to 11% in EBSP phase maps (Fig. 3b1,b2) for as-cast sample after complete tensile deformation.

M-pass condition, however, undergoes rapid drop (steep red curve) in the stage III of WH during the early stages of deformation with sustained WH (0) value beyond 3612 MPa as marked by the black arrow in Fig. 4. This
work hardening value of 3612 MPa corresponds to the onset of change in the slope in the $\theta$ vs plastic strain plot in Fig. 4a. This change in slope is due to the predominance of transformation or twinning dominated deformation over dislocation plasticity in material which makes the $\theta$ value to be sustained over certain plastic strain 1–4,20–24. Thus, the WH curve for M-pass specimen gets clearly divided into two sub-stages of stage III namely dislocation (I) and transformation plasticity dominated (II) 1–4,20–24. However, rate of work hardening during this sub-stage II (i.e. TRIP) depends on the $\gamma$ phase stability during deformation for a given grain size and $\varepsilon$ phase fraction 1–4.

As reported in our previous work 4, DP-HEA upon FSP showed almost similar $\varepsilon$ phase fraction (~10%) with larger grain size (6.5 $\mu$m) in comparison with M-pass specimen in the present work. As a result, DP-HEA 4 had lower grain boundary area available to inhibit transformation as against the fine grained M-pass specimen during deformation 1–4,17–19. However, DP-5Si-HEA showed almost 90% change in $\gamma$ fraction (Fig. 3b 4) as against 76% 4 in DP-HEA owing to higher metastable $\gamma$ phase in former. Thus, the rapid work hardening in the present work is mainly attributed to the higher metastability 20,21 of the matrix rather than the grain size and prior $\varepsilon$ phase fraction.

This rapid change in the $\gamma$ phase fraction (TRIP effect) was also confirmed by XRD analysis (Fig. 3c) showing increased peaks for $\varepsilon$ phase after complete tensile deformation.

Moreover, the confined change in the WH rate over plastic strain of 3 to 9% in M-pass condition resulted in reasonable total elongation of 12% as against the as-cast condition. This controlled WH rate in sub-stage II in the WH plot is associated with the uniform strain accommodation by both transforming $\gamma$ and resultant $\varepsilon$ phases 1–3,5 during deformation in fine grained M-pass specimen which can further be explained by EBSD kernel average.
misorientation (KAM) maps (Fig. 4b,c) for both the conditions. Strain mismatch between hard ε phase and relatively softer γ phase is evident and needs to be accommodated by geometrically necessary dislocations (GNDs)\(^{1-4}\). However, more grain boundary area in fine grained M-pass HEA would result in more uniform KAM map (Fig. 4c) relative to the coarse grained HEA (as-cast condition)\(^{1-4}\). This is because, higher grain boundary area exerts more back stress and results in more controlled γ → ε transformation and thus sustained work hardening in the very fine grained condition\(^{1-4}\).
In view of this, coarse grained as-cast material experienced lower plastic strain due to non-uniform strain partitioning among the \(\varepsilon\) and \(\gamma\) phases (more blue color in \(\varepsilon\) phase field shown in Fig. 4b) as against refined M-pass HEA (more green color in \(\varepsilon\) phase field shown in Fig. 4c). Other than dislocation plasticity, the \(\varepsilon\) phase can deform by deformation twinning\(^1\)–\(^4\),\(^1\)\(^8\),\(^1\)\(^9\). Figure 4d1,d2 show the bright field TEM images for the deformed M-pass specimen near the fracture surface which show presence of deformation twins within \(\gamma\) grains (termed as \(\varepsilon\) twins). \(\varepsilon\) twin formation in the course of deformation further helps in accommodating strain and impacts the work hardening significantly. Twin boundaries act as stronger barrier to dislocation motion than the dislocation-dislocation interaction. In addition, the formation of twins effectively reduces the spacing between the interfaces resulting in higher flow stresses and altered dislocation storage kinetics at the newly formed twin boundaries\(^1\)–\(^4\),\(^1\)\(^3\)–\(^1\)\(^6\). The enhanced interfacial strengthening is referred as the dynamic Hall-Petch effect which promotes more sustained work hardening during deformation and hence results in enhanced plasticity in the material\(^1\)–\(^4\),\(^1\)\(^3\),\(^1\)\(^4\). Moreover, very coarse grained HEAs\(^3\),\(^2\)\(^3\),\(^2\)\(^5\) without Si (light red in Fig. 5) undergone limited change in \(\varepsilon\) fraction upon complete tensile deformation in comparison with all DP-HEAs reported so far (maroon circle in Fig. 5). Moreover, very coarse grained HEAs\(^1\)\(^2\),\(^1\)\(^3\),\(^1\)\(^5\) without Si (light red in Fig. 5) underwent limited change in \(\gamma\) phase fraction as compared to as-cast DP-5Si-HEA (red square in Fig. 5). Hence, among all DP HEAs having different microstructures, Si containing HEAs exhibits highest metastability of \(\gamma\) phase whereas C\(^1\)\(^2\)\(^5\) and Ni\(^1\)\(^5\) containing DP-HEAs showed lowest. These observations also validate the thermodynamic predictions made in Fig. 1a which showed massive decrease in equilibrium \(\gamma\) fraction for Si containing HEA as against HEAs without Si.

Interestingly, it is also found that the WH rate varies substantially in proportion with the increased metastability of the \(\gamma\) matrix thereby showing almost 1000 MPa rise in the overall WH rate for Si containing HEA. It is also noted that, WH values observed to be similar for HEAs having similar alloy chemistry (Fig. 5). Thus, change in alloy composition (addition of Si increased whereas C and Ni decreased as shown in Fig. 5) predominantly affected the WH rate for DP-HEAs rather than change in the grain size and prior \(\varepsilon\) fraction upon processing (Fig. 5). As a result, alloy chemistry promoting more metastable \(\gamma\) matrix\(^2\)\(^6\) undergoes early onset of TRIP and hence display significant increase in UTS and YS. Similar results were obtained in present work wherein DP-5Si-HEA showed highest UTS and YS in comparison with all its counterparts. Hence, tuning the metastability of the \(\gamma\) phase by addition of non-transition elements like Si is a new path way for designing HEAs with higher strength and work hardenability.
Table 1. Processing parameters selected for FSP.

| Processing parameters | Pass 1 | Pass 2 | Pass 3 |
|-----------------------|--------|--------|--------|
| Rotational Rate (RPM) | 650    | 350    | 250    |
| Traverse Speed (mm/min) | 50.8   | 50.8   | 50.8   |
| Plunge Depth (mm)     | 3.85   | 3.85   | 3.85   |
| Tilt Angle (°)        | 2.0    | 2.0    | 2.0    |

Conclusions

Friction stir processing of Si containing HEA resulted in extremely refined metastable γ-phase dominant microstructure thereby exhibiting a very highYS and UTS of 950 MPa and 1.15 GPa, respectively, with an elongation of 12%. These improved mechanical properties in comparison with TRIP assisted HEAs are attributed to the extremely high work hardening ability of the material. This rapid work hardening ability is associated with the increased metastability of γ-phase which facilitated the transformation of γ-phase and twinning in resultant ε phase during deformation. Therefore, design of metastable DP-5Si-HEA and its microstructural engineering via FSP shows a new pathway for obtaining strong and highly work hardenable HEAs.

Materials and Methods

The Fe₄₂Mn₂₈Cr₁₅Co₁₀Si₅ HEA (DP-5Si-HEA) was produced by vacuum arc-casting in a cold-copper crucible. The vacuum level achieved was approximately 300 µmHg. An ingot with length and width for the tool were 7.5 mm, 6 mm, and 3.5 mm, respectively.

Microstructure of the alloy in as-cast (coarse-grained) and recrystallized (grain-refined) conditions were analyzed by various methods. X-ray diffraction (XRD) measurements were performed using Cu Kα radiation operated at 40 kV and 44 mA. Electron backscatter diffraction (EBSD) measurements were performed using a FEI NOVA Nano (SEM) with a Hikari camera and the TSL OIM 8 data collection software.

Tilt Angle (°) | 2.0 | 2.0 | 2.0
| Traverse Speed (mm/min) | 50.8 | 50.8 | 50.8
| Rotational Rate (RPM) | 650 | 350 | 250

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**Author Contributions**

S.S.N., K.L., R.S.M., B.A.M. and K.C.C. designed the research; S.S.N., K.L. and M.F. processed and characterized the alloy; S.S.N., K.L., M.F. and R.S.M. analyzed the results; S.S.N. and R.S.M. drafted the manuscript. All authors discussed the results and contributed to the final manuscript.

**Additional Information**

**Competing Interests:** The authors declare no competing interests.

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