ProphetNet-X: Large-Scale Pre-training Models for English, Chinese, Multi-lingual, Dialog, and Code Generation

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Abstract

Now, the pre-training technique is ubiquitous in natural language processing field. ProphetNet is a pre-training based natural language generation method which shows powerful performance on English text summarization and question generation tasks. In this paper, we extend ProphetNet into other domains and languages, and present the ProphetNet family pre-training models, named ProphetNet-X, where X can be English, Chinese, Multi-lingual, and so on. We pre-train a cross-lingual generation model ProphetNet-Multi, a Chinese generation model ProphetNet-Zh, two open-domain dialog generation models ProphetNet-Dialog-En and ProphetNet-Dialog-Zh. And also, we provide a PLG (Programming Language Generation) model ProphetNet-Code to show the generation performance besides NLG (Natural Language Generation) tasks. In our experiments, ProphetNet-X models achieve new state-of-the-art performance on 10 benchmarks. All the models of ProphetNet-X share the same model structure, which allows users to easily switch between different models. We make the code and models publicly available\textsuperscript{1}, and we will keep updating more pre-training models and finetuning scripts.

1 Introduction

In recent years, quite a few natural language generation pre-training models are proposed (Qi et al., 2020; Lewis et al., 2019; Song et al., 2019; Brown et al., 2020). Downstream generation tasks benefit from these large scale pre-training models greatly in fluency and accuracy. Researchers also extend these general pre-training works into specific domains such as DialoGPT (Zhang et al., 2019) is extended from GPT (Brown et al., 2020) for dialog system, mBART (Liu et al., 2020b) is extended from BART (Lewis et al., 2019) for multi-lingual generation, CodeBERT (Feng et al., 2020) is extended from BERT (Devlin et al., 2018) for programming language modeling, etc.

Although there are pre-trained models for some specific domains, it is not convenient for users to find them and set them up. Besides, even some models in the same pre-training family with the same model structure and pre-training tasks, their codes and details vary a lot because of different implementation and backends selection.

ProphetNet (Qi et al., 2020) is firstly proposed as an English text pre-training model with future tokens’ prediction, and successfully improves the performance on different downstream NLG tasks. In this work, we pre-train the ProphetNet on different corpus, respectively. The corpus covers different languages and domains. All the pre-trained models share the same model structure with different vocabularies. We provide six pre-trained models with downstream task finetuning scripts, including ProphetNet-En pre-trained with 160GB English raw text, ProphetNet-Zh pre-trained with 160GB Chinese raw text, ProphetNet-Multi with 101GB Wiki-100 corpus and 1.5TB Common Crawl\textsuperscript{2} data, ProphetNet-Dialog-En with 60 million sessions Reddit open-domain dialog corpus, ProphetNet-Dialog-Zh with collected Chinese dialog corpus over 30 million sessions, and ProphetNet-Code pre-trained with 10 million codes and documents. ProphetNet-X achieves new state-of-the-art results on 10 benchmarks, including Chinese summarization (MATINF-SUMM (Xu et al., 2020a) and LCSTS (Hu et al., 2015)), Chinese question answering (MATINF-QA (Xu et al., 2020a)), cross-lingual generation (XGLUE NTG (Liang et al., 2020) and

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\textsuperscript{1}https://github.com/microsoft/ProphetNet
\textsuperscript{2}https://commoncrawl.org/
XGLUE QG (Liang et al., 2020)), English summarization (MSNews (Liu et al., 2020a)), English dialog generation (DailyDialog (Li et al., 2017), PersonaChat (Zhang et al., 2018), and DSTC7-A VSD (Alamri et al., 2019)), and code summarization (CodeXGLUE (Lu et al., 2021)). Users can simply download the ProphetNet-X repository and find corresponding pre-trained model with downstream task finetuning scripts.

The main contributions of ProphetNet-X can be described as follows:

- We provide a family of pre-trained models named ProphetNet-X, with six models including English and Chinese natural language generation in open-domain and dialog, multilingual generation, and code generation.
- All the pre-trained ProphetNet-X models share the same model structure. Users only need to simply modify one model file to use it in different language or domain tasks.
- We conduct extensive experiments, the results show that ProphetNet-X models achieve new state-of-the-art performance on 10 publicly available benchmarks.

2 ProphetNet-X

2.1 Architecture

We train different ProphetNet-X models based on ProphetNet. ProphetNet is an encoder-decoder natural language generation model with future n-gram prediction. ProphetNet leverages stacked Transformer encoder layers and stacked multi-stream self-attention Transformer decoder layers. ProphetNet aims to prevent overfitting on strong local correlations such as 2-gram combinations, and deploy future tokens’ prediction to enhance autoregressive generation ability.

Given the input sequence $x = (x_1, \ldots, x_M)$ and output sequence $y = (y_1, \ldots, y_T)$, n-gram ProphetNet-X replaces the auto-regressive predicting dependency relationship $p(y_t | y_{<t}, x)$ with $p(y_{t:T+n-1} | y_{<t}, x)$. Firstly, ProphetNet-X gets the encoded hidden states with stacked Transformer encoder layers $H_{\text{enc}} = \text{Encoder}(x_1, \ldots, x_M)$. Then, decoder with $n$-stream self-attention predicts next $n$ tokens at each time step, as: $p(y_t | y_{<t}, x), \ldots, p(y_{t+n-1} | y_{<t}, x) = \text{Decoder}(y_{<t}, H_{\text{enc}})$. The optimization target of ProphetNet-X can be described as:

$$L = - \sum_{j=1}^{n-1} \alpha_j \cdot \left( \sum_{t=1}^{T-j} \log p_\theta(y_{t+j} | y_{<t}, x) \right)$$

$$= - \alpha_0 \cdot \left( \sum_{t=1}^{T} \log p_\theta(y_t | y_{<t}, x) \right)$$

language modeling loss

$$- \sum_{j=1}^{n-1} \alpha_j \cdot \left( \sum_{t=1}^{T-j} \log p_\theta(y_{t+j} | y_{<t}, x) \right)$$

future n-gram loss

The details of ProphetNet and multi-stream self-attention can be found in Qi et al. (2020).

2.2 Pre-training Corpus

In this section, we introduce the pre-training corpus for ProphetNet-X.
For ProphetNet-Zh, we collect Chinese Wikipedia, CLUE (Xu et al., 2020b) and Chinese Common Crawl data to reach 160GB. For traditional Chinese data, we firstly use OpenCC \(^3\) to convert them to simplified Chinese. The pre-training corpus includes common webs, online forums, comments websites, Q&A websites, Chinese Wikipedia, and other encyclopedia websites. We build a simplified Chinese char vocabulary. The char vocabulary size is 9,360.

For ProphetNet-Multi, besides Wiki-100 corpus, we select 52 common languages to collect and clean multi-lingual data from Common Crawl. After cleaning and tokenizing, the Common Crawl corpus size we use is described in Table 1. The ProphetNet-Multi vocabulary is same as XLM-R (Conneau et al., 2019) 250k sentencepiece\(^4\) model.

For ProphetNet-Dialog-En, we utilize Reddit comments dataset (Zhou et al., 2018; Galley et al., 2019). We firstly load the weights of ProphetNet-En then clean 60 million sessions for pre-training.

For ProphetNet-Dialog-Zh, we use the pre-training corpus from Wang et al. (2020) and we crawled 18.2 million dyadic dialogues (conversation between two persons) longer than or equal to 2 turns (one turn denotes one utterance from one person) from the Douban group\(^5\) which is a popular social networking service in China. The pre-training corpus size comparison between Wang et al. (2020) and ProphetNet-Dialog-Zh is shown in Table 2. We also load the pre-trained model from ProphetNet-Zh before pre-training, which already contains external knowledge from open-domain Chinese corpus.

For ProphetNet-Code, we conduct pre-training on both PLs (Programming Languages) and their describing NL (Natural Language). We use the pre-training corpus provided by CodeSearchNet (Husain et al., 2019). It covers 6 programming languages, including Go, Java, Javascript, PHP, Python, and Ruby. We employ the same sentence-piece tokenizer as CodeBERT (Feng et al., 2020). The tokenizer is used for both PL and NL, with a vocabulary size 50,365.

For ProphetNet-En, we directly take the model pre-trained in ProphetNet (Qi et al., 2020). It is pre-trained with 160GB English raw texts, including Wikipedia, books, stories, news, and web texts. The vocabulary of ProphetNet-En is same as BERT sub-words vocabulary. The vocabulary is based on bpe subwords with a max length matching algorithm. Its vocabulary size is 30,522.

### 3 Experiments

#### 3.1 Pre-training Settings

We carry out pre-training with 12-layer encoder, 12-layer decoder ProphetNet models. The hidden size is 1,024, feed forward size is 4,096, future tokens’ prediction length is 2. Both the max sequence lengths of the input and output are set to 512.

For ProphetNet-En, ProphetNet-Zh, ProphetNet-Multi, ProphetNet-Dialog-En, and ProphetNet-Code, we carry out un-supervised pre-training with masked span prediction task. Spans of continuous tokens are masked out from the encoder input sentences and predicted from the decoder side. We masked continuous 9 tokens in every 64 tokens from the encoder side, and predict the 9 tokens on the decoder side. In other words, for maximum 512 encoder sequence length, totally $8(\text{spans}) \times 9(\text{tokens per span}) = 72$ tokens.

| Corpus Size      | Single-turn | Multi-turn |
|------------------|-------------|------------|
| LCCC-base        | 3,354,382   | 3,466,607  |
| LCCC-large       | 7,273,804   | 4,733,955  |
| ProphetNet-Dialog-Zh | 23,309,502 | 6,985,425  |

Table 2: Statistics of Chinese Dialog pre-training corpus.

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\(^3\)https://github.com/BYVoid/OpenCC
\(^4\)https://github.com/google/sentencepiece
\(^5\)https://www.douban.com/group
Table 3: Results of ProphetNet-Zh on MATINF-QA, MATINF-SUMM, and LCSTS. “R-1”, “R-2”, and “R-L” represent “ROUGE-1”, “ROUGE-2”, and “ROUGE-L”, respectively.

Table 4: Results of ProphetNet-Multi on XGLUE zero-shot cross-lingual generation task. Task QG and NTG represent Question Generation and News Title Generation. Numbers in this table are BLEU-4 scores.

are masked and predicted. If the last part does not reach a maximum length of 64, 15% continuous tokens are masked. ProphetNet-Dialog-En has special tokens [X_SEP] to separate turns in a session and [SEP] to separate different sessions. For ProphetNet-Dialog-Zh, we conduct supervised pre-training. Previous turns of dialogs are fed into the encoder, and the response is predicted from the decoder. It means that for a multi-turn session with $n$ sentences, $n - 1$ samples are created for pre-training. The pre-trained ProphetNet-Dialog-Zh can be used to directly generate dialogs without finetuning.

We carry out pre-training on NVIDIA Tesla V100 GPUs, and the total cost exceeds 30,000 GPU hours.

3.2 Finetuning Benchmarks

For different ProphetNet-X models, we select different benchmarks to evaluate them, respectively.

For ProphetNet-Zh, we evaluate our pre-trained model with MATINF-QA (Xu et al., 2020a) for generative question answering task, MATINF-SUMM (Xu et al., 2020a) and LCSTS (Hu et al., 2015) for summarization task.

For ProphetNet-Multi, we follow UnicoderFNP to evaluate on XGLUE (Liang et al., 2020) for cross-lingual zero-shot generation tasks. The pre-trained multi-lingual model is finetuned with English supervised data and inference with English and other un-seen languages data. There are NTG (News Title Generation) and QG (Question Generation) tasks.

For ProphetNet-Dialog-En, we carry out finetuning on DailyDialog (Li et al., 2017) for chit-chat generation, Persona-Chat (Zhang et al., 2018) for knowledge grounded conversation generation and DSTC7-A VSD (Alamri et al., 2019) for conversational question answering.

For ProphetNet-Dialog-Zh, we use the STC (Shang et al., 2015) single-turn open-domain dialog dataset cleaned by Wang et al. (2020), and real-world Xiaoice Chinese dialog dataset for evaluation.

For ProphetNet-Code, we evaluate the performance on code summarization task from CodeXGLUE (Lu et al., 2021).

For ProphetNet-En, we report the results on summarization tasks CNN/DM (Hermann et al., 2015), Gigaword (Rush et al., 2015), and MSNews (Liu et al., 2020a); question generation tasks SQuAD 1.1 (Rajpurkar et al., 2016) and MSQG (Liu et al., 2020a).
### Results

For ProphetNet-Zh, we see significant improvements in Table 3. TextRank (Mihalcea and Ta-rau, 2004) and LexRank (Erkan and Radev, 2004) are extractive baselines and others are abstractive baselines. MTF-S2S\textsubscript{single} (Xu et al., 2020a) and MTF-S2S\textsubscript{multi} denote single task finetuning and multi-task finetuning on MATINF dataset. We see consistent gains on both Chinese question answering task and summarization tasks.

For ProphetNet-Multi, we show the results in Table 4. Unicoder\textsubscript{DAE} and Unicoder\textsubscript{FNP} are pre-trained on Wiki-100 with denoising auto encoder task and ProphetNet, respectively. Comparing the results between the Unicoder\textsubscript{FNP} and ProphetNet-Multi, we see that more pre-training corpus improves supervised English inference results and other zero-shot languages inference performance. And compared with other baseline methods, ProphetNet-Multi achieves new state-of-the-art results on both NTG and QG tasks.

For English open-domain dialog generation, we show the results in Table 5 and Table 6, compared with strong new proposed PLATO (Bao et al., 2020), we see that ProphetNet-DIALOG achieves performance improvements.

Results for ProphetNet-DIALOG-Zh on STC can be seen in Table 7. In addition, Table 8 shows the results on real-world Xiaoice dialog dataset with human evaluation. Results in Table 7 hint that for dialog generation, the auto-valuation metrics (BLEU-2 and BLEU-4) may fail because open-domain dialog outputs could be very different from the given golden targets but still good responses. We observe that ProphetNet-DIALOG-Zh without finetuning can generate fluent and meaningful responses but have lower BLEU scores because of the writing style difference. Thus, we conduct a human evaluation as in (Zhao et al., 2020). We randomly collect 500 single-turn and 500 multi-turn context-response pairs from the online logs of the real-word dialog system Xiaoice. Then, we recruit 3 native speakers as human annotators. The annotators have to judge which response is better, based on informativeness, consistency, and fluency of the responses. If an annotator cannot tell which response is better, he/she is required to label a “Tie”. With the

| Model                                      | BLEU-1 | BLEU-2 | BLEU-3 | BLEU-4 | METEOR | ROUGE-L | CIDEr |
|--------------------------------------------|--------|--------|--------|--------|--------|---------|-------|
| AVSD Baseline (Alamri et al., 2019)        | 0.629  | 0.485  | 0.383  | 0.309  | 0.215  | 0.487   | 0.746 |
| CMU Sinbad’s (Sanabria et al., 2019)       | 0.718  | 0.584  | 0.478  | 0.394  | 0.267  | 0.563   | 1.094 |
| PLATO (Bao et al., 2020)                   | 0.784  | 0.637  | 0.525  | 0.435  | 0.286  | 0.596   | 1.209 |
| ProphetNet-DIALOG-En                       | 0.823  | 0.688  | 0.578  | 0.482  | 0.309  | 0.631   | 1.354 |

Table 5: Results of ProphetNet-DIALOG-En on DSTC7-A VSD.

| Model                                      | DailyDialog | PersonaChat |
|--------------------------------------------|-------------|-------------|
| Seq2Seq (Vinyals and Le, 2015)             | 0.336       | 0.183       |
| iVAE-MI (Fang et al., 2019)                | 0.309       | 0.250       |
| LIC (Golovanov et al., 2019)              | 0.405       | 0.291       |
| PLATO w/o latent (Bao et al., 2020)       | 0.397       | 0.053       |
| PLATO (Bao et al., 2020)                  | 0.461       | 0.402       |
| ProphetNet-DIALOG-En                      | 0.549       | 0.382       |

Table 6: Results of ProphetNet-DIALOG-En on DailyDialog and PersonaChat. “B-1”, “B-2”, “D-1” and “D-2” represent “BLEU-1”, “BLEU-2”, “Distinct-1” and “Distinct-2”, respectively.

| Models                                      | B-2 | B-4 |
|---------------------------------------------|-----|-----|
| Seq2Seq-Attn (Luong et al., 2015)           | 3.93| 0.9 |
| Transformer (Vaswani et al., 2017)          | 6.72| 3.14|
| GPT\textsubscript{Novel} (Wang et al., 2020)| 5.96| 2.71|
| CDialGPT\textsubscript{LCCC-base} (Wang et al., 2020) | 6.48 | 3.08 |
| CDialGPT\textsubscript{LCCC} (Wang et al., 2020) | 5.69 | 2.50 |
| CDialGPT\textsubscript{LCCC-large} (Wang et al., 2020) | 6.63 | 3.20 |
| ProphetNet-DIALOG-Zh w/o finetuning         | 2.54| 0.75|
| ProphetNet-DIALOG-Zh w/ finetuning          | 6.78| 3.05|

Table 7: Results of ProphetNet-DIALOG-Zh on STC dataset. “B-2”, and “B-4” represent “BLEU-2” and “BLEU-4”, respectively.

| Setting                  | Win | Lose | Tie | Kappa |
|--------------------------|-----|------|-----|-------|
| Ours-C vs Xiaoice-C      | 68% | 26%  | 6%  | 0.73  |
| Ours-C vs Xiaoice-S      | 76% | 24%  | 0%  | 0.65  |
| Ours-S vs Xiaoice-S      | 81% | 19%  | 0%  | 0.67  |

Table 8: Human evaluated results for ProphetNet-DIALOG-Zh on real-world Xiaoice dataset. Here, Ours means ProphetNet-DIALOG-Zh, Xiaoice means old Xiaoice retrieval based dialog system. -S(single-turn) denotes only the last turn is fed to our model or Xiaoice traditional single-turn retrieval model. -C(context) denotes feeding dialog history into our model or Xiaoice traditional multi-turn retrieval model.
| Models                | Ruby | Javascript | Go  | Python | Java | PHP | overall |
|-----------------------|------|------------|-----|--------|------|-----|---------|
| Seq2Seq (Vinyals and Le, 2015) | 9.64 | 10.21      | 13.98 | 15.93  | 15.09 | 21.08 | 14.32   |
| Transformer (Vaswani et al., 2017) | 11.18 | 11.59      | 16.38 | 15.81  | 16.26 | 22.12 | 15.56   |
| RoBERTa (Liu et al., 2019)       | 11.17 | 11.90      | 17.72 | 18.14  | 16.47 | 24.02 | 16.57   |
| CodeBERT (Feng et al., 2020)     | 12.16 | 14.90      | 18.07 | 19.06  | 17.65 | 25.16 | 17.83   |
| PLBART (Ahmad et al., 2021)      | 14.11 | 15.56      | 18.91 | 19.30  | 18.45 | 23.58 | 18.32   |
| ProphetNet-Code           | **14.37** | **16.60** | 18.43 | 17.87  | 19.39 | 24.57 | **18.54** |

Table 9: Results of ProphetNet-Code on CodeXGLUE for code-to-text summarization task. Numbers in this table are smoothed BLEU-4 scores.

| Method                | CNN/DM       | Gigaword     | MSNews      |
|-----------------------|--------------|--------------|-------------|
|                       | R-1 | R-2 | R-L | R-1 | R-2 | R-L | R-1 | R-2 | R-L |
| LSTM (Bahdanau et al., 2014) | 37.3 | 15.7 | 34.4 | 33.6 | 15.4 | 31.2 | 30.0 | 14.6 | 27.7 |
| Transformer (Vaswani et al., 2017) | 39.5 | 16.7 | 36.7 | 36.4 | 17.7 | 33.8 | 33.0 | 15.4 | 30.0 |
| MASS (Song et al., 2019) | 42.9 | 19.8 | 39.8 | 38.9 | 20.2 | 36.2 | 40.4 | 21.5 | 36.8 |
| BART (Lewis et al., 2019) | 44.1 | **21.2** | 40.9 | 37.5 | 17.6 | 34.3 | 43.8 | 24.0 | 39.2 |
| ProphetNet-En         | **44.2** | 21.1 | **41.3** | **39.5** | **20.4** | **36.6** | **44.1** | **24.4** | **40.2** |

Table 10: Results of ProphetNet-En for text summarization. “R-1”, “R-2”, and “R-L” represent “ROUGE-1”, “ROUGE-2”, and “ROUGE-L”, respectively.

For ProphetNet-Code, the code summarization results are shown in Table 9. We can see new state-of-the-art results are obtained with ProphetNet-Code. It shows that ProphetNet-X models not only benefit from pre-training on natural language generation tasks but also perform well in programming language tasks.

For ProphetNet-En, we report the results for ProphetNet in Table 10 and Table 11. We also report the results for two new tasks MSNTG and MSQG introduced from GLGE (Liu et al., 2020a).

### 4 Related Work

ProphetNet (Qi et al., 2020) is the most related to our work since we carry out pre-training based on it. Other related works involve pre-training works in different domains. For English generation pre-training, MASS (Song et al., 2019) proposes an unsupervised pre-training task with span masked and recover. BART (Lewis et al., 2019) feeds corrupted sentences into the encoder and reconstructs the original sentences. GPT (Radford et al., 2019) models perform language modeling pre-training with Transformer decoder. For multilingual pre-training, mBART (Liu et al., 2020b) introduces language labels to adopt BART denoising pre-training. Based on GPT (Radford et al., 2019), DialoGPT (Zhang et al., 2019) and CDialGPT (Wang et al., 2020) adopts language model pre-training with English and Chinese dialog corpus respectively. CodeBERT (Feng et al., 2020) and GraphCodeBERT (Guo et al., 2020) are two pre-training models for programming languages. PLBART (Ahmad et al., 2021) is similar to multilingual BART with language tags to perform denoising pre-training on programming languages.

### 5 Conclusion

In this paper, we pre-train ProphetNet-X on various languages and domains, including open-domain (for English, Chinese, and Multi-lingual), dialog (for English and Chinese), and programming (for Ruby, Javascript, Go, Python, Java, and PHP). All the models share the same model structure and are easy to use. Extensive experiments show that ProphetNet-X achieves new state-of-the-art performance on 10 benchmarks. In the future, we will extend ProphetNet-X to support more domains such as biomedical text and protein pre-training.
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