Health items with a novel patient-centered approach provided information for preference-based transplant outcome measure

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1. Introduction

Over the last 3 decades, the transplantation of solid organs (i.e., heart, lung, liver, kidney, and pancreas) has become the standard of care for end-stage organ failure [1]. Advances in surgical techniques and post-transplant medical therapies have improved the lifespan of all organ recipients [2]. The lifelong immunosuppressive therapies applied in post-transplant care are nevertheless associated with complications (e.g., diabetes, arterial hypertension, osteoporosis, and psychological impairments), which can have adverse effects on the actual (or perceived) health status—often denoted by the term health-related quality of life (HRQOL)—of solid-organ transplant recipients [3,4]. Improvements in clinical outcomes and post-transplant survival time have therefore increased the urgency of gaining insight into the HRQOL of patients after transplant [5].

The multidimensional concept of HRQOL can be defined as an individual’s perceived well-being within the physical, mental, and social domains of health [6]. Increasing attention is being paid to the evaluation of...
HRQOL in both clinical research and patient-care services [7]. The investigation of HRQOL is particularly important in the transplant setting, given that a substantial proportion of candidates and recipients are concerned about their HRQOL [8,9].

HRQOL is commonly assessed in terms of patient-reported outcomes (PROs). The National Quality Forum of the United States defined PROs as “any report of the status of a patient’s (or person’s) health condition, health behavior, or experience with healthcare that comes directly from the patient, without interpretation of the patient’s response by a clinician or anyone else.” The term PRO encompasses a broad spectrum of outcomes that include the symptoms of a disease or the side effects of a treatment (e.g., immunosuppressant), functions (e.g., social and physical activities or cognitive functioning), and multidimensional constructs, including HRQOL. The tools to assess PROs are referred to as PRO measures (PROMs) [10]. PROMs may be taken as measurements for clinical research or program evaluation: they could be used on a one-time basis to provide a functional and psychosocial profile of individual patients; or they might be applied sequentially to monitor patients [6,10–12]. The application of PROMs could potentially enhance care management by helping healthcare providers to understand the impact of specific treatments on the lives of patients beyond whether specific clinical values are within a given range. This could enhance patient-doctor communication and increase patient engagement in the course of treatment, thus ultimately resulting in higher patient satisfaction and better outcomes [13]. Recent reviews of the use of PROMs in studies on solid-organ transplantation have indicated that most of the PROMs in current use (e.g., the 36-item Short Form Survey [SF-36] and the EQ-5D) are generic, with only a few being specifically related to transplant [14–17]. Another issue is that the contents (i.e., items, questions, attributes) of these PROMs were derived mainly by experts, and many years ago, without any patient involvement. However, there is now a shift toward a patient-centered approach that emphasizes patient involvement and advocacy. Most of these literature reviews emphasize the necessity of developing a distinctive, patient-centered, transplant-specific PROM.

One of the most challenging tasks in the development of such a PROM is to determine which set of health items should be incorporated to capture the full range of health issues. Health items refer to single components of an individual’s health state. A health item corresponds to one specific area of health functioning that usually ranges from normal to severely limited. When several health items are combined into a PROM, they describe a unique health state [18–20]. Face and content validity are crucial to the development of an HRQOL PROM, yet these are seldom evaluated. We aimed to generate health items for a short, patient-centered, preference-based, transplant-specific PROM (TXP) focusing on HRQOL. A typical feature of preference-based measurement is that all relevant characteristics of the object of study have to be evaluated together. Therefore, there is a cognitive constraint on the number of items that may be included in a description of a health status. In general, people can discriminate 7 (± 2) pieces of information at a time, and so most preference-based studies usually present no more than nine items [21]. That is probably the maximum amount of information people can process simultaneously [22].

Our aim is to develop a short, easily comprehensible preference-based PROM that can be integrated into routine care in a meaningful way while posing a minimal burden on patients and care providers. In the present study, we report on the procedure to identify and select the health items for such a PROM. We explain our novel methodology based on focus-group meetings and a special online survey conducted among transplant recipients.

2. Methods

A mixed-methods design [23] consisting of 5 sequential phases was applied to ensure the coverage of existing
PROMs and patient preferences. This mixed-methods design is characterized by an initial phase of qualitative data collection and analysis based on a scoping literature review (phase 1), followed by expert meetings (phase 2), focus-group meetings (phase 3), quantitative data collection and analysis using an online HealthFan survey (phase 4), and a second round of expert meetings for the final selection of health items to be included in the PROM (phase 5, Figure 1). The search strategy and the results of the scoping literature review (phase 1) to identify all already available PROMs that have been applied to assess quality of life, health status, or HRQOL in adult solid-organ transplant recipients have been described previously [14]. The four subsequent phases are described in the present study, the methods of which are sequentially described below.

2.1. Phase 2: first round of PROMs expert meetings

Meetings were held with four experts (E.B., S.B., P.K., K.V.) for the purpose of performing a conceptual evaluation of health items that had been collected in an earlier study (phase 1) [14]. In line with the World Health Organization’s description of health as a state of complete physical, mental, and social well-being, items that were apparently not connected to one of the three major domains of health (e.g., financial matters, quality of care, adherence to medications) were excluded. As the next step, conceptually overlapping items were combined and phrased as single items. Finally, all relevant health items were selected for inclusion in the inventory.

Analytical presentations ultimately depend on the quality, relevance, and integrity of their content. In our substantive consideration of the content of the health items, we followed a content-driven analytical design. Each of the health items that were included was categorized into one of three main health domains (physical, social, and mental functioning). The items were graphically depicted in a HealthFan [14,24]: a systematic approach that assembles related health items within subdomains. A HealthFan makes it possible to present large sets of health items to patients in a clear, informative diagram and is intended to make the cognitive task easier [25].

2.2. Phase 3: focus-group meetings

Focus-group meetings were held with transplant recipients. They were recruited at the University Medical Center Groningen (UMCG) in the Netherlands between May and June 2018. We received a waiver from the Medical Ethics Review Board of the UMCG, indicating that the study was not subject to the Dutch legislation on Medical Research Involving Human Subjects Act (METc 2017/648). Patients were invited to participate by their physicians. Exclusion criteria included inability to speak the Dutch language, impaired cognition, and unwillingness to grant informed consent. There is no guideline for the number of participants in focus-group studies [26,27]. Based on experience with previous studies, groups with the size of minimum 4 to maximum 12 people are most informative. We included a heterogeneous sample of adult solid-organ recipients to represent the post-transplant population in terms of gender and age and time since transplantation. Separate meetings were held for liver, kidney, and lung recipients. Each focus-group meeting lasted approximately 2 hours (with a short coffee break) and was held in a comfortable conversation room in the hospital [28]. The focus-group meetings were moderated by an experienced focus-group leader (K.V. or V.H.) with a background in health outcome studies. Another researcher (V.H. or C.A.) attended the meetings as an observer, in order to create an overview of the statements expressed in the meeting on a flip chart. This overview was used to stimulate discussion and generate as much feedback as possible.

Before the meetings started, signed consent forms were obtained from the participants. Each meeting started with a brief round of introductions. The audio recording was not started until after the introductions to ensure that the names of the participants were not recorded.

The moderator of the focus-group meeting followed an interview guide during the session to ensure that all of the topics were discussed (Table 1). The meetings continued until we reached saturation, which means that no new data/topics were brought into the discussion [29]. Finally, the HealthFan containing the health items that had been extracted during phase 2 were presented to the participants, who were asked to add any items that they missed in the inventory. The participants were also asked...
to select the 10 health items from the HealthFan they deemed most important regarding their own health state. Based on the results of these focus-group meetings, we developed a revised version of the HealthFan for the online survey with a larger group of solid-organ recipients.

2.3. Phase 4: online HealthFan survey

Transplant recipients were invited to take part in an online survey. Data were collected from December 2018 through February 2019. The link to the survey was sent by email to 207 patients who had received transplants at the UMCG. It was also advertised on the websites of five organizations representing solid-organ transplant recipients in the Netherlands. The online survey opened with a brief introduction to the topic to familiarize respondents with the aims of research. First, they were asked to indicate their gender, age, time since transplant, and type of transplantation. Second, participants were asked to complete the Dutch version of the EQ-5D-5L instrument as a generic measure of HRQOL [30]. Third, they were asked to select the 10 health items from the second version of the HealthFan (Figure 2) that were most important to them. They were further asked to add any health items that they missed in the survey. The survey continued with two feasibility statements regarding the clarity and difficulty of the HealthFan task. At the end, respondents had the opportunity to comment on the survey.

2.4. Phase 5: second round of expert PROM meetings and final selection of health items

Meetings were held with three clinical transplant experts (S.B., H.B., W.B.) and 2 PROM experts (P.K. and K.V.) in order to select the relevant health items for the TXP. The discussions in these expert meetings were based on the results of the survey. The frequency distributions (see below) for the entire group and for the subgroups based on specific transplanted organs were analyzed separately. If several items within a similar concept (e.g., energy, fatigue, and loss of strength) from one subdomain were chosen frequently by the respondents, the overlapping items were condensed when considered appropriate and logical. To ensure a complete coverage of the range of health, items from various subdomains were included in the final list. The final items were operationalized into questionnaire items with response categories, which were formulated in lay language.

2.5. Data analysis

Frequency distributions (proportions) were computed to determine the importance of the attributes. Data from subgroups of the transplant recipients were used to create graphs based on gender and transplanted organ. All data were analyzed using SPSS (IBM SPSS Statistics for Windows, version 23.0; IBM Corp, Armonk, NY). The graphs were created with R (ggplot2 package), working within R Studio and CorelDraw v.19 (Corel Corporation, Ottawa, Canada).

3. Results

3.1. Phase 2: first round of expert meetings

The scoping literature review performed for phase 1 of the five phases of which the development of this new patient-centered PROM for organ recipients consists was published previously [14]. Of the 287 health items identified during the scoping literature review, 40 were excluded during the expert meetings performed for phase 2, because their scope was not consistent with the World Health Organization’s definition of health. After overlapping items (e.g., taste and smell) were combined and nonrelevant items were eliminated in the expert meetings, 78 distinct health items grouped in 16 subdomains were selected for the first version of the HealthFan. The items in the physical domain were subdivided into the following categories: belly, body heat, chest, eating, energy, pain, physical aspects, respiration, and skin. The items in the social domain were subdivided into activities, autonomy, and relationships. The items in the mental domain were subdivided into cognition, feelings, and worries [14].

3.2. Phase 3: focus-group meetings

Of the approximately 80 transplant recipients who were approached, 25 expressed interest in participating in the
focus-group meetings, and 18 were available on the planned dates and times. The meetings were organized by organ type. The study population consisted of kidney, liver, and lung recipients ranging in age from 24 to 73 years, with a history of organ transplantation ranging from 6 months to 22 years (Table 2). The health items that the transplant recipients mentioned most frequently during the focus-group meetings were lack of energy, adverse side effects of immunosuppressant drugs, and skin problems. One of the lung recipients mentioned three items that had not been identified in the literature search: joy in life, cheerfulness, and optimism. Two transplant recipients also identified lifestyle changes as an important health item. In the group of kidney recipients, excessive bleeding from surgical wounds was mentioned as an additional health item. No new health items were mentioned by the liver recipients.

3.3. Phase 4: online HealthFan survey

In all, 176 Dutch transplant recipients completed the online survey. Of these respondents, 26 were excluded because they had not completed any part of the survey. The respondents consisted of 97 men and 53 women (Table 2). Another 22 respondents had not selected any health items. The health items analysis was thus ultimately based on data from 128 respondents. Although 38 respondents selected more than 10 items (11–31 items) and 27 respondents selected fewer than 10 items, they were still included in the analysis.

The proportion of respondents indicating which item was important to their health was calculated (Figure 3). The 10 health items that were most frequently identified as most important were energy (41%), fatigue, fragile skin,
Table 2. Characteristics of participants in the focus-group meetings and online survey, stratified by transplanted organ

| Characteristics | Liver | Lung | Kidney | Heart |
|-----------------|-------|------|--------|-------|
| Focus-group meeting (N = 18) |       |      |        |       |
| N               | 7     | 4    | 7      | N/A   |
| Male, n (%)     | 3 (43)| 2 (50)| 5 (71) | N/A   |
| Age in years, median (range) | 52 (28–71) | 63 (24–70) | 54 (26–73) | N/A   |
| Currently unemployed, n (%) | 3 (43) | 2 (50) | 2 (29) | N/A   |
| Time since transplant in years, median (range) | 4 (0.5–17) | 3.5 (0.6–12) | 9 (4–22) | N/A   |
| Online survey (N = 150) |       |      |        |       |
| N               | 12    | 20   | 91     | 27    |
| Male, n (%)     | 8 (67)| 14 (70)| 55 (60) | 20 (74)|
| Age in years, median (range) | 54 (18–84) | 64 (25–71) | 58 (18–80) | 63 (26–79)|
| Currently unemployed, n (%) | 5 (42) | 6 (30) | 21 (23) | 11 (41)|
| Time since transplant in years, median (range) | 11.5 (0.3–33) | 6 (0.5–30) | 8 (1–34) | 11 (1–34)|

N/A, not available.

Fig. 3. Proportion of respondents to the online survey identifying the item in question as important to their health. The three colors in the center represent the three main domains, with the bars demonstrating the percentage of patients selecting each health item. (For interpretation of the references to color in this figure legend, the reader is referred to the Web version of this article.)
joy in life, side effects, control over your life, weight, graft rejection, leisure activities, and loss of strength (24%). About half of the items (39 of 83) were considered important by less than 10% of the respondents. The frequencies with which attributes were selected were also calculated separately by gender and transplanted organ (Appendix 1 and 2), but no substantial differences were identified between the various subgroups.

3.4. Phase 5: second round of expert meetings and final selection of health items

We first took into account the most frequently selected health items and included the ones that were selected by more than 20% of the respondents. Some health items with overlapping meanings were combined. Of the 20 frequently selected health items, nine were formulated for inclusion in the final set of TXP health items. The three most frequently selected health items—energy, fatigue, and loss of strength—were combined into a single item: “fatigue.”

Skin-related symptoms and problems (skin alterations, fragile skin) together constituted the second most frequently selected health items and were combined into a single item: “skin.” Anxiety or worry with regard to side effects and graft rejection were also among the most frequently selected health items. During the expert meetings, however, consensus arose that these issues are container terms and abstract concepts that do not constitute generic health outcome items, but personal characteristics in response to post-transplant medical treatment. We decided to include “worry/anxiety” as a separate health item, accompanied by an explanation (in the form of a pop-up in the app) clarifying that such worries could be about side effects, the functioning of the transplanted organ, infections, future diseases, or other issues.

“Control over your life” was selected by over 30% of the transplant recipients from the subdomain of autonomy. Given the importance of this subdomain and the fact that many recipients also selected “physical independence,” the final health item covering this health outcome issue was formulated as “self-reliance.” The item “leisure activities” was frequently selected from the subdomain of activities. Because a large share (43%) of respondents to the online survey were neither students nor employed, we suspect that these two items were neglected. Both are nevertheless important health items for people who are younger or still active in the labor market. We therefore decided to include “activities” as a health item, accompanied by an explanation that the item could refer to leisure activities, work, or study.

Weight and sexuality were frequently selected from the physical subdomain, and they were included in the TXP. In the online survey, sexuality was selected more frequently by male respondents (26%) than it was by female respondents (18%). Because problems related to defecation (flatulence/gas, diarrhea, constipation) were frequently selected by the transplant recipients responding to the online survey, “stooling” was formulated for inclusion in the TXP. Memory and concentration were the most frequently selected health items from the cognition subdomain, and they were combined into a single item: “memory/concentration.” All selected items were used to create the TXP, which is accessible through our data-collection technology HealthSnApp (Figure 4).

There were seven subdomains in the HealthFan for which all of the health items were selected by less than 20% of the respondents: relationships, senses, eating, respiratory, body heat, chest, and pain. With the exception of “relationships,” all of these subdomains belong to the physical domain of HRQOL, which is more of an issue during the pretransplant phase. During the discussions with clinical experts, we agreed that, while these items might be relevant in the lives of individual patients, these subdomains are not substantially affected by the transplantation.

4. Discussion

In this article, we report on the development of a new HRQOL-related PROM consisting of health items that are important to solid-organ transplant recipients. The opinions of patients should be included in all stages of the process of developing any patient-centered PROM for assessing the overall quality of an individual’s health condition [31,32]. We therefore involved transplant recipients throughout the entire process of determining and selecting the health items. Based on a mixed-methods approach consisting of a literature review, focus-group meetings, and an online survey, organ recipients identified and selected health items that have had the most impact on their health. The top nine health items that were selected for inclusion in the TXP were as follows: fatigue, skin, worry/anxiety, self-reliance, activities, weight, sexuality, stooling, and memory/concentration.

The absence of a patient-centered, preference-based PROM for assessing HRQOL in transplant recipients has posed a significant obstacle to the accurate measurement of the overall health condition experienced by transplant recipients after transplantation. Generic HRQOL instruments (e.g., SF-36 and EQ-5D) are the most frequently applied in studies involving transplant recipients [14]. These instruments aim to assess generic aspects of health status which make them suitable for comparisons across different health conditions and populations. The selection of health items for most current generic PROMs has predominantly relied on consensus and expert opinion, with most having been determined without any significant patient input [33,34]. Most of these instruments are profile PROMs that do not measure the impact of health conditions as they are experienced by patients. Although a few of these PROMs are preference-based (e.g., the EQ-5D), patients were not involved in assigning weights to any of the distinct items...
included in them. Moreover, these generic instruments do not address many concerns that are specific to transplant recipients with regard to organ rejection and the adverse effects of immunosuppressive medications (e.g., fragile skin, stooling, weight gain, and sexual dysfunction) [35,36]. Our approach therefore involved patients throughout the entire process—from the beginning of formulating the health items to the ultimate selection of relevant items.

In the literature review, we identified 43 transplant-specific PROMs relating to HRQOL [14]. Many of these tools are modified modules of disease-specific PROMs containing health items pertaining to the context of organ transplants, although they are not necessarily driven by the perspective of transplant recipients. For example, the following items were repeated most frequently in the existing transplant-specific PROMs: hair/nails, mouth/gingiva, depression, irritation/anger, and headaches/migraines. In our study, however, none of these items was selected by more than 10% of the transplant recipients in either the focus-group meetings or the online survey. Another drawback to the existing PROMs is that almost all of them consist of descriptive profile questionnaires, which are unable to generate a single value for HRQOL. Two transplant-specific PROMs that are frequently administered to solid-organ recipients—the Modified Transplant Symptom Occurrence and Symptom Distress Scale [37,38] and the Transplant Effects Questionnaire [39,40]—contain 59 and 23 health items, respectively. With only nine health items originating directly from transplant recipients, the TXP can facilitate the measurement of HRQOL in both clinical and research settings.

Joy in life was selected by a substantial share (36%) of the respondents to the online survey. This item had been added to the HealthFan survey based on input from a lung recipient who participated in the focus-group meetings. Although this item refers to a generic aspect of life, it is not clear whether it should be regarded as a component of health (or HRQOL). As a health item, joy in life seems to be more of an overarching concept that goes beyond the overall construct that the TXP is intended to capture (i.e., experienced health and its effect on daily life for transplant recipients). If this item is included, it could potentially dominate the other items,
thus reducing the amount of information provided about the other health items [41]. It was therefore excluded from the TXP.

Our procedure for selecting the items that transplant recipients deemed important might have been subject to some limitations. One limitation might be the low participation rate in the focus-group meetings. When we planned the focus-group meetings, we recruited organ recipients ensuring there was quite a lot of variation on a number of demographic characteristics, such as age, gender educational level, and time since transplantation. Patients were recruited in outpatient clinics and the doctors paid special attention to invite patients in such a way to generate a representative sample. Afterward, we analyzed the data and found no difference between the people who refused to participate and the people who participated in focus-group meetings. Additionally, the respondents who participated in the online HealthFan survey (phase 4) were also asked to identify missing health items. Not one missing health item was identified, which may indicate that the focus-group meetings were adequate. By inviting people from both the UMCG and from patient organizations to participate in the online survey, we aimed to have a representative selection of the transplant population. To invite the organ recipients, we addressed all patients who receive post-transplant care in our hospital, by email. Some patients could not be reached because they changed their email address. Moreover, some patients indicated that they did not feel comfortable to click on a link that was provided by email. These are possible reasons for the somewhat low participation rate. One of the limitations of our study is that we were unable to check whether respondents participated based on the invitation by email or based on the announcements done by patient organizations. This was a deliberate choice to ensure the privacy of the respondents. Of the 176 respondents who initially started the survey, 26 did not fill in any response and 22 only provided demographic information. This could indicate that the task was not what they expected or that they did not feel competent to complete it.

It appeared that most respondents were male and that the mean age was above 50 years. This might suggest a certain selection. However, this overrepresentation of males is representative for the overall population of organ recipients. We compared the employment status and the preferences in the health items selection task between male and female participants and found no significant difference between these groups.

Results seem distinctive because the results of the online survey indicated a clear difference in number of selections between the top ten frequently selected health items and all other health items. In addition, we did several bootstrapping analyses on subsamples of our data, which produced very similar results. Another potential limitation could be due to the somewhat arbitrary grouping of items in the HealthFan. For example, like previous studies, we positioned activities of daily living within the physical domain of HRQOL, although the subdomain of activities (which consists of three other health items) is positioned within the social domain. We cannot know whether the grouping of items affected the way respondents selected the items that they considered most important to their health [22]. A limitation to this study might have to do with our application of a relatively straightforward HealthFan method, which is based largely on frequencies to select important health items. One way to extend the selection procedure could be to include ranking exercises as subsequent tasks, after the respondent has selected the most important items [42]. The frequency approach that we applied nevertheless seems adequate for our present purposes.

Health items that were collected from the, English, literature had to be translated to Dutch because the study was performed among Dutch participants. There may be a small risk of unintended changing the validity of the constructs due to this translation. However, the constructs were denoted by a single word or a short phrase, so we do not expect this to be an important issue. Nonetheless, some caution should be taken when generalizing these results.

Face validity and content validity can be investigated by establishing special methods to retrieve the relevant items, by opinion, and by special judgment procedures as presented in our article. In the next step in our research, we plan to approach transplant patients to assess their current health condition using the HealthSnApp data-collection technology. This mobile application (app) is combined with a central server (www.healthsnapp.info), which runs our newly developed measurement model according to interactive software routines that are generic and flexible. The technology is capable of generating a value based on 3–18health items [22,43,44]. Users (i.e., transplant recipients) will first be asked to rate their current health condition by ticking nine boxes representing the nine items derived in this study, until the descriptions in all of the boxes provide the best possible description of their current health. In the second task, slightly different health states will be shown to the respondents, who will be asked to choose whether each of these health states is better or worse than their own state of health. This comparative procedure is essential for any preference-based measurement PROM [43,44]. Because the response task in this app is simply a preference (rank order) between a patient’s own health state and a closely related hypothetical health state, the assessment is less likely affected by “subjective” motives and easier to accomplish. This mode of measurement largely prevents biases such as adaptation and coping. The present study is to establish the conceptual framework of the TXP and to select relevant health items. The procedure of items selection was based on patient input, not on expert opinion. Future studies are planned to use the TXP in a sample of transplant recipients. In addition, extensive exploration of the TXP’s measurement properties (test–retest reliability, convergent validity) will also be done.
In conclusion, we adopted a patient-centered approach to include a total of nine health items in the novel TXP. This new PROM provides transplant recipients, clinicians, and researchers with a convenient tool for measuring and monitoring the overall trend of HRQOL during the post-transplant period.

The authors declare no conflicts of interest.

CRediT authorship contribution statement

Ahmad Shahabeddin Parizi: Conceptualization, Methodology, Software, Validation, Formal analysis, Investigation, Data curation, Writing - original draft, Writing - review & editing, Visualization, Project administration.
Paul F.M. Krabbe: Conceptualization, Methodology, Software, Validation, Formal analysis, Resources, Data curation, Writing - original draft, Writing - review & editing, Visualization, Supervision, Project administration.
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Appendix 1. Proportion of respondents to the online survey identifying the item in question as important to their health, by gender.
Appendix 2. Proportion of respondents to the online survey identifying the item in question as important to their health, by organ type.