Insufficient risk assessment of herbicide-tolerant genetically engineered soybeans intended for import into the EU

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Abstract
The introduction of herbicide-tolerant (HT) genetically engineered (GE) soybeans has raised new challenges for the European risk assessment of imported food and feed. Food and feed products derived from these plants may show specific patterns of chemical residues and altered nutritional composition. Furthermore, there has been a substantial increase in the usage of herbicides in soybean production due to the emergence of resistant weeds. This concerns particular glyphosate-based herbicides and also other herbicides. In this review, we give an overview of available data regarding glyphosate application on HT GE soybeans in North and South America. We have further compared this data with herbicide applications in experimental field trials conducted by the industry. We conclude that field trials carried out for risk assessment purposes do not generally represent the real agronomic conditions in commercial HT GE plant cultivation. In most cases, neither the applied dose nor the number of applications match real conditions. This finding is especially relevant for risk assessment since a review of relevant publications shows that the amount and timing of spraying glyphosate as a complementary herbicide onto HT GE plants can impact their composition; this is relevant to EFSA comparative risk assessment of GMOs. Further, closely related issues were identified that overlap with EU GMO and pesticide regulation, but are not currently considered. These issues concern indirect, cumulative and combinatorial effects as well as the assessment of mixed toxicity. Consequently, current risk assessment practice for HT GE plants cannot be considered to fulfil EU regulatory standards which require the safety of food and feed to be demonstrated. It is much more likely that concerns about the health risks of HT GE plant material used for food and feed have been underestimated. We therefore conclude that the EU risk assessment of food and feed derived from HT GE plants needs substantial improvement.

Keywords: Genetically engineered plants, Herbicide tolerance, Glyphosate, Complementary herbicides, Risk assessment, Health risk assessment, European Food Safety Authority EFSA, Herbicide residue, GMO regulation, Pesticide regulation

Background
HT GE soybeans were introduced in the 1990s to agricultural systems in the USA and several countries in South America, such as Argentina, Brazil, Paraguay and Uruguay. Herbicide tolerance, in particular to glyphosate, is still a dominant trait in GE plants, including glyphosate-tolerant “Roundup Ready” soybeans. According to the EU Commission [1], the percentage of GE glyphosate-tolerant soybeans cultivated in 2014 in Brazil, the USA and Paraguay made up around 90% of production, and in Argentina and Uruguay even reached 100%. The EU imports around 33 million tons of soybeans and soymeal per year [2]. By mid-2019, the EU had authorised 20 GE soybean events for import, most of them resistant to glyphosate and some of them resistant to other active ingredients as well (see Table 1).

Herbicide resistance in GE technology was adopted rapidly in soybean growing regions, because it simplified weed control and promised cost reductions [4, 5].

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Ploughing to control weeds was replaced by applying the broad-spectrum herbicide glyphosate, thereby supporting the widespread application of no-till farming for the cultivation of GE soybean and other such crops.

This change in agricultural practice led to massive pressure on weeds to evolve resistance to glyphosate. Herbicide resistance in weeds was an issue in many regions before HT GE soybeans were introduced, but no glyphosate-resistant weed species were known in the soybean growing areas. At that time, about 25% of the soybean acreage in the USA was sprayed with four or more herbicide-active ingredients, while only around 10% was treated with just one single active ingredient per year [6]. After the introduction of HT GE soybeans, the use of glyphosate increased strongly, while the use of other active ingredients was reduced as was the number of sprayings [6]. Interestingly, during this development, the overall amount of herbicides applied in soybean fields in the USA was not reduced. There was instead a slight increase from an average treatment rate of 1.04 lbs in 1995 to 1.08 lbs per acre in 1998 [6]. The amount of active ingredients (a.i.) increased from 0.61 to 0.92 lbs per acre [6], which equals 0.68 kg (a.i.) to 1.03 kg (ai.)/ha.

Scientists working for Monsanto in 1997 [7] and 2000 [8] claimed that the development of weed resistance to glyphosate should be expected to be a very rare event. As Croon et al. [8] explain:

“Although it cannot be stated that evolution of resistance to glyphosate will not occur, the development of weed resistance to glyphosate is expected to be a very rare event because:

1. Weeds and crops are inherently not tolerant to glyphosate, and the long history of extensive use of glyphosate has resulted in few instances of resistant weeds.

2. Glyphosate has many unique properties, such as its mode of action, chemical structure, limited metabolism in plants, and lack of residual activity in soil, which make the development of resistance unlikely.

3. Selection for glyphosate resistance using whole plant and cell/tissue culture techniques was unsuccessful, and would, therefore, be expected to occur rarely in nature under normal field conditions.” (page 52/53)

It is noteworthy that at that time, the first cases of glyphosate resistance were already being reported; starting in 1996 with a case of herbicide-resistant rigid ryegrass in Australia [9]. Nevertheless, regulators did not take regulatory action regarding weed resistance management. As a result, in November 2019, according to an international register [9], there were already 47 different glyphosate-resistant weed species known to occur. Well-known examples are rigid ryegrass (Lolium rigidum), Johnsongrass (Sorghum halepense), Palmer amaranth (Amaranthus palmeri), Italian ryegrass (Lolium perenne ssp. multiflorum) or horseweed (Conyza canadensis). There are 17 species known to occur in the USA, 9 in Brazil and 15 in Argentina. Since these weeds are known to affect a large proportion of the acreage where soybeans are grown [10], there are good reasons to expect that in these areas more glyphosate was sprayed to control the weeds, using higher dosages, alone or in combination with other herbicides. This review focuses on the health risks of HT GE soybeans at the stage of consumption. We give an overview of available data on the amount of herbicides, in particular glyphosate, used in HT GE soybean cultivation in North and South America. We include a discussion of how recent pattern of herbicide usage affects EU
GMO risk assessment for food and feed safety when applications are filed for market approval and import.

**The interface between pesticide and GMO regulation in the EU**
Current European Food Safety Authority (EFSA) risk assessment of HT GE plants is divided into two parts: assessment of the GE organism by the GMO Panel, and assessment of the pesticide by the Pesticide Panel. Both assessments have different legal frameworks, which are—in regard to the risk assessment of food safety—both linked to the Regulation (EC) No 178/2002.

**GMO regulation in the EU**
Regulation (EC) No 1829/2003 in combination with Directive 2001/18/EC and Implementing Regulation (EU) No 503/2013 is the most relevant for the risk assessment of GE plants: the provisions in Regulation (EC) No 1829/2003 aim to protect human and animal health, food and feed that consist of, contain, or are produced from GE organisms (which are called genetically modified organisms, GMO), which should undergo a risk and safety assessment before being placed on the market in the European Union. Its recitals 2, 3 and 9 clarify that: (a) “A high level of protection of human life and health should be ensured in the pursuit of [Union] policies”; (b) “In order to protect human and animal health, food and feed consisting of, containing or produced from genetically modified organisms...should undergo a safety assessment through a [Union] procedure before being placed on the market within the [Union]”; and (c) “(...) genetically modified food and feed should only be authorised for placing on the Community market after a scientific evaluation of the highest possible standard, to be undertaken under the responsibility of [EFSA], of any risks which they present for human and animal health and, as the case may be, for the environment (...).”

Food and feed that consists of, contains or is produced from GE organisms must not “have adverse effects on human health, animal health or the environment” (Articles 4(1)(a) and 16(1)(a) of Regulation (EC) No 1829/2003) or “differ from the food which it is intended to replace to such an extent that its normal consumption would be nutritionally disadvantageous for the consumer” and/or “differ from feed which it is intended to replace to such an extent that its normal consumption would be nutritionally disadvantageous for animals or humans” (Articles 4(1)(c) and 16(1)(d) of the Regulation (EC) No 1829/2003).

In addition, Implementing Regulation (EU) No 503/2013 requests that “the comparative analysis of composition and agronomic as well as phenotypic characteristics shall constitute, together with the molecular characterisation, the starting point to structure and conduct the risk assessment of a new genetically modified food and feed.”

There are some difficulties inherent in this approach to the risk assessment of GE organisms:

Current EFSA risk assessment of HT GE is divided into the assessment of the organism performed by the GMO Panel, and assessment of the pesticide performed by the Panel on Plant Protection Products and their Residues (PPR). This separation raises the question about gaps in the risk assessment of food safety of the GMOs sprayed with the pesticide. The risk assessment needs to ensure that the safety of the organism as a whole (as well as food and feed derived thereof) is shown and is not reduced to the assessment of some single parts or pieces.

Moreover, risk assessment of GE organisms cannot always be narrowed down to well-defined potential hazards or be reduced to very specific potential adverse effects but includes uncertainties. The EU risk assessment tries to overcome this problem with a so-called ‘comparative approach’ where GE plants are grown side by side with their conventional counterparts in experimental field trials and then both are compared regarding some phenotypic characteristics (such as height, yield and flowering) and selected compounds.

Implementing Regulation (EU) No 503/2013 is providing detailed requirements about which practices must be taken into account and which standards have to be applied in providing and assessing the relevant data. The following provisions of its Annex II are of specific relevance for the risk assessment of HT GE plants: “In the case of herbicide tolerant genetically modified plants and in order to assess whether the expected agricultural practices influence the expression of the studied endpoints, three test materials shall be compared: the genetically modified plant exposed to the intended herbicide; the conventional counterpart treated with conventional herbicide management regimes; and the genetically modified plant treated with the same conventional herbicide management regimes.” (Annex II, 1.3.1) “The different sites selected for the field trials shall reflect the different meteorological and agronomic conditions under which the crop is to be grown; the choice shall be explicitly justified. The choice of non-genetically modified reference varieties shall be appropriate for the chosen sites and shall be justified explicitly.” (Annex II, 1.3.1.2.1 (b)).

**Pesticide regulation in the EU**
Regulation (EC) No 1107/2009 is the one most pertinent for placing plant protection products on the market, and Regulation (EC) No 396/2005 for setting maximum residue levels (MRLs) of pesticides in food and feed. Both regulations require a high level of protection for health...
and the environment (see, for example, Recitals 8 and 24 and Article 1.4 of Regulation (EC) No 1107/2009 as well as Recital 5 and Article 1 of Regulation (EC) No 396/2005). Consequently, safety needs to be established and substances or products produced or placed on the EU market should not have any harmful effects on human or animal health.

More specifically, Article 29 of Regulation (EC) No 1107/2009 requests that active substances as well as synergists have to be approved, and the maximum residue levels of pesticides for specific agricultural products have to be determined. Article 4 states that pesticides must not have any harmful effects on human or animal health, taking into account known cumulative and synergistic effects. Further provisions can be found in Regulation (EC) No 396/2005: (i) Recital 5 states that residues should not be present at levels presenting an unacceptable risk to humans and, where relevant, to animals; (ii) Recital 10 requests that specific MRLs for each pesticide in food and feed products have to be established; (iii) Recital 26 is highly relevant for imported products derived from HT GE plants, since it requests that MRLs have to be set for food and feed produced outside the community if produced by different agricultural practices (use of plant protection products); (iv) Article 14 adds that the presence of pesticide residues originating from sources other than current plant protection uses and their known cumulative and synergistic effects have to be determined, as well as “the results of any evaluations and decisions to modify the uses of plant protection products” (Article 14.2 (d)).

Consequently, further investigation of the residues from spraying with the complementary herbicide may be required even if a particular pesticide is authorised for use on plants grown in the EU, or imported from a third country. In the case of the cultivation of HT GE plants, several aspects linked to herbicides require special attention, e.g. the patterns of application and exposure, the occurrence of specific metabolites and combinatorial effects. As Kleter et al. [11] point out, HT GE crops can change the way herbicides can be used on these crops, e.g. (a) post-emergent over-the-top applications (i.e. on the crop itself) instead of directed sprays, avoiding herbicide contact with the crop; or (b) pre-emergent and pre-harvest applications made to the conventional crop and not, or in different quantities, to the GM crop. Further, and depending on the specific trait, residue profiles may be very specific, i.e. when the herbicide is metabolised by the introduced protein. As a result, consumption of food and feed products derived from HT GE plants can be associated with an exposure to herbicide residues that is different in quantity and quality compared to products derived from other crop plants.

The interface between pesticide and GMO regulation
Under EU regulation, applications for authorisation of GE organisms in the EU must contain a comprehensive safety and environmental risk assessment which must address any potentially adverse effect on human health and the environment. This requirement includes long-term potential and cumulative effects and also other harmful effects on human or animal health. Residues from the use of complementary herbicides are crucial in this context. Consequently, authorisation for import and use of food and feed cannot be granted if GE plants containing residues from complementary herbicides pose unacceptable risks, or are suspected of causing harm to human as well as animal health.

As shown above, there are several provisions for the assessment of health risks in both EU GMO and pesticide regulation: (i) both require a high level of protection for health and the environment, and (ii) both request that the conditions of agricultural production are considered. (iii) Moreover, both request that combinatorial effects are taken into account. (iv) Finally, Implementing Regulation (EU) No 503/2013 explicitly addresses these two areas of risk assessment by requesting field trials with and without the application of the complementary herbicide. However, this conceptual split addressing issues related to the use of complementary herbicides in two regulations disregards that HT GE plants plus complementary herbicide(s) are a system designed to be routinely used in combination.

Comparing data on the amount of complementary herbicides applied for cultivation and applied for risk assessment studies
Using increased amounts of complementary herbicides for HT GE soybeans raises two crucial questions:

1. What are the current practices in the cultivation of HT GE soybeans in terms of use of complementary herbicides?
2. Are these practices reflected in the studies submitted in requests for authorisation of GE soybeans for import, food and feed use in the EU?

Current practice in the cultivation of HT GE soybeans in terms of use of complementary herbicides
Starting from spraying 1.03 kg active ingredient (a.i.)/ha (0.92 lbs (a.i.)/acre) in 1998 [6], there has been a substantial increase in the usage of glyphosate in soybean production per hectare. This is evident from numbers of different sources. For example, Bindraban et al. [12] report 3.84 kg (a.i.)/ha in 2007, Benbrook [10] reports
around 4 kg (a.i.)/ha to be sprayed in Argentina and Brazil in 2014, while Monsanto, in its product label, recommends about 7 kg (a.i.)/ha to be sprayed [13]. Official figures from the USDA database [14] show that up to 6–7 kg (a.i.)/ha of glyphosate can be expected in soybean cultivation, including pre- and post-emergence applications. Further, Monsanto, in a patent application which deals with “cropping systems for managing weeds” [15], recommends spraying up to 8 kg (a.i.)/ha in HT soybeans. This amount corresponds to product label recommendations for the maximum overall application per year [13]. After having investigated representative figures for farmer use, we assume that even 10 kg (a.i.)/ha of glyphosate per year is not unrealistic nowadays for some regions, such as Argentina, where HT GE soybeans are grown (see, for example, [16]).

Table 2 gives an overview of some data on glyphosate spraying in regions of North and South America where soybeans are grown. Although this overview is far from complete, it allows some overall conclusions to be drawn since it includes data on the amount of active ingredient, the number (frequency) and the timing of the spraying (pre- or post-emergence). The data in Table 2 need some interpretation since they refer to different situations.

Regional weed pressure and applications of other active ingredients that might partially replace glyphosate vary, especially in case of ‘stacked’ plants resistant to several active ingredients (glufosinate, 2,4-D, dicamba or isoxaflutole). Also, there are several strategies to control weeds such as applying high amounts of different herbicides before cultivation (pre-emergence) and in parallel reducing the amounts of herbicides during cultivation (post-emergence).

From the data available it is clear that repeated sprayings during cultivation (on-plant or post-emergence) have increased significantly, and also that the overall dosage, i.e. the total amount, applied to glyphosate-resistant GE soybeans is much higher compared to figures from 1998, e.g. 1.03 kg (a.i.)/ha [6]. It can be assumed for post-emergence applications that 3–4 kg (a.i.)/ha of glyphosate from two to three sprayings is a reasonable rate, at least in South America. For the overall dosage (pre- and post-emergence), 6–7 kg (a.i.)/ha seems to be relatively common and even 8–10 kg (a.i.)/ha can be expected under current farming conditions in some regions (such as Argentina and Paraguay; see also [25]).

The amount and frequency of herbicide spraying can vary substantially on a local or regional scale. For

### Table 2 Some data on the amount of glyphosate application in regions where GE soybeans are grown. Unless otherwise indicated, the amount of glyphosate is given in kg (a.i.)/ha

| Author                        | Total amount of glyphosate | Country      | Frequency | Timing               | Data source                        |
|-------------------------------|---------------------------|--------------|-----------|----------------------|------------------------------------|
| Avila-Vazquez et al. [16]     | 10                        | Argentina    | Approx. 12| 60% pre-emergence    | Survey                             |
| Benbrook [10]                 | 4.45                      | Argentina    | 3.17      | n.i.                 | Database analysis                  |
| Bindraban et al. [12]         | 3.84                      | Argentina    | n.i.      | n.i.                 | Literature research                |
| Primost et al. [17]           | 1.9 to 9.1 average: 3.9   | Argentina    | 2–6 (average 3.3) | n.i. | Survey                |
| Papa and Tuesca [18]          | Approx. 5                 | Argentina    | n.i.      | n.i.                 | Calculation based on publicly accessible data |
| Benbrook [10]                 | 4.45                      | Brazil       | 3.17      | n.i.                 | Database analysis                  |
| Bombardi [19]                 | 8.4                       | Brazil       | n.i.      | n.i.                 | Calculation based on publicly accessible data |
| Pignati et al. [20]           | 4.9–6.1 l/ha              | Brazil       | n.i.      | 1–2 l/ha pre-emergence and 3–4 l/ha post-emergence | Database analysis |
| Pignati et al. [21]           | 5.5 l/ha                  | Brazil       | n.i.      | n.i.                 | Database analysis                  |
| OMAFRA [22]                   | 1.8–2.7                   | Canada       | 1–2       | 0.9 pre-emergence 0.9–1.8 post-emergence | Guide to weed control |
| Franceschell [23]             | 4.15                      | Paraguay     | n.i.      | n.i.                 | Calculation based on publicly accessible data |
| Benbrook [10]                 | 1.6–2.08                  | USA          | 1.7       | n.i.                 | Database analysis                  |
| Monsanto patent application [15] | 8                        | USA          | 3–5       | Pre- and post-emergence | Patent application |
| Monsanto [13]                 | Max. approx. 8            | USA          | n.i.      | Pre- and post-emergence | Roundup product label |
| Perry et al. [24]             | 1.5                       | USA          | n.i.      | n.i.                 | Database analysis                  |
| USDA [14]                     | 7                         | USA          | 1.1–1.5   | n.i.                 | Database entry                      |

n.i. not indicated in the studies
example, lower dosages of glyphosate may be the result of replacing glyphosate with other complementary herbicides such as dicamba and 2,4-D (Fig. 1). However, this does not alter the overall trend of sharply increased use of herbicides on HT GE soybeans in North and South America. Almeida et al. [26] analysed pesticide use in Brazil between 2000 and 2012 and reported an increase of more than 120% per hectare for soybeans. A similar trend can also be observed in the USDA data [14] which is illustrated in Fig. 1.

**Amount of glyphosate applied in field trials for EU approval process**

To investigate to what extent agricultural practices were taken into account within the EU approval process and for health risk assessment, we requested field trial studies from EFSA filed by applicants for the approval of HT soybeans in the EU. These studies provide data about the amount of glyphosate that was sprayed in pre- and post-emergence. Table 3 gives an overview of studies filed by Monsanto and Bayer and the amount of glyphosate sprayed. Referring to post-emergence applications, the data show that both companies applied dosages of glyphosate (mostly about 1–2 kg (a.i.)/ha) in experimental field trials which is much lower compared to dosages used by farmers or what is recommended as maximum dosage by both companies (see Table 2).

To investigate the herbicide applications during the field trials in more detail, we compared Bayer’s data for its soybeans ‘Balance Bean’ FG72 and FG72 × A5547-127 with data from DowDuPont’s soybean ‘Enlist’ DAS-44406-6. The last two confer triple HT: the stacked event FG72 × A5547-127 is resistant to glyphosate, glufosinate and isoxaflutole (see [27]); the single event ‘Enlist’ is resistant to glyphosate, glufosinate and 2,4-D (see [28]). The data show huge differences between the companies in the overall amount sprayed and the number of sprayings (Table 4): Bayer generally applied much lower dosages (amount per ha) of glyphosate and glufosinate compared to DowDuPont. Further, also within the data from one company (DowDuPont), the dosages and the number of herbicides (three for the compositional study and two for the feeding study) varied between the two listed studies. However, EFSA [27, 28] does not take these differences into account.

For the interpretation of the data and comparison with the ones in Table 2, it should be noted that under practical conditions, each of the GE soybeans in Table 4 can be sprayed with glyphosate alone or in combination with one or two of the other active ingredients. Each farmer makes individual decisions and is likely to be influenced by the prevalent weed species, costs and regional agronomic practices. When herbicides are sprayed in combination, their dosage (as active ingredient) might be lower than spraying them singly (cf. Figure 1). However, this issue was not taken into account in the field trials. For example, plants sprayed with glyphosate only were not compared to plants sprayed with several active ingredients. This is in contrast to what has to be expected in practice: for example, until 2018, isoxaflutole was not authorised for application on soybeans in the USA. Therefore, it is likely that before 2018 US farmers applied higher amounts of glyphosate than what can be expected when combined with isoxaflutole.

Further, plants and resulting varieties, such as those marketed as ‘Balance Bean’ or ‘Enlist’ with resistance to 2,4-D and isoxaflutole, were not made resistant to a single active ingredient, but to a whole group of them: isoxaflutole belongs to the group of HPPD inhibitors and 2,4-D to the group of phenoxy herbicides. According to a patent for mutated HPPD enzymes conferring resistance against HPPD inhibitors [29], most commercially available HPPD inhibitor herbicides belong to one of four chemical families. According to available publications, FG72 soybean is resistant to HPPD inhibitors belonging to two different families, i.e. isoxaflutole and mesotrione [30]. However, members of the mesotrione family were not considered in field trials.

In addition, as patent application WO2007053482 filed by Dow AgroSciences [31] shows, GE plants, such as DAS-44406-6, which produces the enzyme AAD12, are not only resistant to 2,4-D, but also to further herbicides classified as pyridyloxyacetates. Substances such as triclopyr, fluoroxypr and MCPA are members of this group. Thus, under practical conditions, the farmers have a choice to add other active herbicide ingredients to their weed management. These additional active ingredients
were not considered in field trials and thus not by EFSA in their risk assessment.

In conclusion, Bayer’s and Monsanto’s herbicide applications are not representative of the agronomic conditions under which these plants are grown. DowDuPont’s
field trials with repeated spraying and higher total amount of herbicides applied are closer to the practical conditions than Bayer’s field trials. However, the question remains why DAS-44406-6 soybean materials for the 90-day feeding study and for compositional analysis were produced under different conditions. Soybeans for the feeding study were sprayed with much less glyphosate and 2,4-d and no glufosinate at all. Therefore, the feeding study, which could have shown adverse effects of herbicide residues or other parameters, tested non-representative soybean material. All three companies performed field trials with only one herbicide regime, i.e. a combination of complementary herbicides at low dosages, but not single herbicides at higher dosages. Finally, considering that the transgenic enzymes HPPD and AAD12 confer resistance to more than one active ingredient, none of the companies included the full range of herbicides the crops are resistant to.

Impact on food and feed risk assessment
This chapter investigates the impact of different herbicide regimes on the risk assessment of food and feed products derived from HT GE plants. Since GMO and pesticide regulation are involved, both regulatory areas will be taken into account here.

Pesticide risk assessment
In general, it can be expected that HT GE crops and their harvested products, respectively, contain residues of the applied complementary herbicides. Which residues and degradation products are actually present depend on the plant species, the inserted genetic constructs as well as the herbicide regime. The latter comprises the kind of herbicide, the amount and frequency of application and the pre-harvest interval (PHI), which is the waiting time to harvest a crop after a pesticide application. It is noteworthy that a short PHI for glyphosate in some countries and some crop species is allowed for use as desiccant, which is especially relevant for cultivation of conventional crops.

Since HT GE crops and complementary herbicides form a technological package, specific agricultural practices can be applied. There are several issues that should be considered when assessing residues from complementary herbicides in GE crops, for example, specific patterns of application, exposure, occurrence of specific metabolites and emergence of combinatorial effects require special attention (see, for example, [11]).

It is obvious that, if agricultural practice raises the amounts that are sprayed, the amounts of residues in the harvest will increase as well [32]. Furthermore, crops with multiple herbicide resistance can be treated with herbicide combinations, and if so new residue patterns and new exposure patterns of the feed and food chain can be expected. Thus, for crops with multiple herbicide resistance, herbicide residues should be considered and assessed in combination before any authorisation for GE plants can be granted.

There are at least three relevant issues in the pesticide legislation (EU Regulation (EC) No 396/2005 and (EC) No 1107/2009): (i) the active ingredients and their metabolites have to be assessed to set maximum residue levels (MRL); (ii) the additives and synergists that are part of commercially produced herbicide formulations have to be taken into account insofar as these can leave residues in the harvest; (iii) the combinatorial effects should be taken into account if the crops are resistant to several active ingredients. Each of these issues poses challenges for the food and feed safety of HT GE plants. Their risk assessment, performed according to the GMO regulation, is dependent on data from the pesticide risk assessment process to conclude on the overall safety of the final products. As yet there is no clear strategy for establishing the interface between pesticide and GMO regulation. However, this is necessary to systematically address the overlapping issues in the approval process. Under the current practice, these two areas of risk assessment are kept widely separated which causes well-documented major gaps in the overall safety assessment of these crops. We provide three examples.

Missing data to set specific MRLs
Even though active ingredients and their metabolites have to be assessed in accordance with Regulation (EC) No 396/2005 to set maximum residue levels (MRL), no such MRLs were set for isoxaflutole, because Bayer did not provide sufficient data [33]. This is worrying for the safety of sprayed soybeans because isoxaflutole is classified as a “suspected human carcinogen” by EFSA [33]. Further, metabolites of isoxaflutole were found in HT GE soybeans that had not previously been found in conventional soybeans because the plants cannot survive isoxaflutole. In regard to these metabolites, EFSA [33] stated that they were unable to evaluate risks to health from these new substances due to a lack of necessary data and therefore they could not set maximum limits for the amounts of these new residues in the harvest.

Also, there are knowledge gaps in the risk assessment of HT GE soybeans resistant to 2,4-d and glufosinate. When these crops are sprayed with 2,4-d, metabolites such as 2,4-dichlorophenol (2,4-DCP) can occur. These are regarded as more toxic than 2,4-d itself [34, 35], but were not assessed by EFSA. In addition, glufosinate is...
Missing data to assess additives and synergists in imports from third countries

Commercial herbicide formulations applied onto plants in the EU are normally assessed in accordance with EU Regulation (EC) No 1107/2009. However, formulations sprayed in North and South America can escape this requirement (see below). This is relevant for the overall safety of products derived from GE plants, since there is a common understanding that commercially traded formulations of glyphosate, such as Roundup, can be more toxic than glyphosate itself [37–41].

The EU has already taken measures to remove problematic additives known as polyethoxylated tallow amine (POEA) from the market [41, 42]. However, these additives can still be used in third countries for the cultivation of GE plants. It can be assumed from existing data that commercial mixtures used in fields in Argentina consist of about 50% glyphosate and about 15% additives known as POEA, which are much more toxic than glyphosate [38, 43].

The exact mixtures sprayed onto the plants are kept secret and treated as confidential business information. The EU Commission [44] has confirmed the respective gaps in risk assessment: “A significant amount of food and feed is imported into the EU from third countries. This includes food and feed produced from glyphosate-tolerant crops. Uses of glyphosate-based plant protection products in third countries are evaluated by the competent authorities in those countries against the locally prevailing regulatory framework, but not against the criteria of Regulation (EC) No 1107/2009 (…)“. EFSA agrees that further investigations and data are needed [41, 45].

No assessment of combinatorial effects

Cumulative effects should be investigated if a plant contains or produces other compounds of potential toxicity. Regulation (EC) No 396/2005 on maximum residue levels of pesticides in food and feed of plant and animal origin also requests risk assessment of combinatorial effects. It is widely accepted that the combinatorial effects of herbicide residues can far exceed the toxicity of the single substances (see, for example, [46]). In addition, the EU Commission [47] confirmed that combinatorial effects should be investigated, while also indicating that adequate methods are not available: “It is true that the legislation requires cumulative and synergistic effects of pesticide residues to be considered in the MRL setting, but only when the methods will be available.” This problem might only be solved in future: in 2019, EFSA published a new Guidance [48]; it compared the ‘whole mixture approach’ with a ‘component-based approach’ for the risk assessment of mixed toxicity that could also be applied in risk assessment of genetically engineered plants.

In conclusion, there is evidence of several gaps in the risk assessment of HT GE plants which can substantially reduce the reliability of food and feed risk assessment of products derived from these plants. The EFSA is the authority responsible for carrying out food and feed risk assessment in the EU; it appears to be aware of this problem. For example, in its assessment of residues from spraying various GM crops with glyphosate, EFSA [45] explicitly states that existing data are not sufficient to conclude on the health risks of consuming products derived from HT GE crops: “For genetically modified crops, data were sufficient to derive MRL for sweet corn (EPSPS modification) and cotton seed (EPSPS modification), noting that MRLs should be tentative pending on the submission of confirmatory methods for enforcement of AMPA and N-acetyl-glyphosate. For sugar beet roots, maize and soybeans (EPSPS modification), soybeans (GAT modification) and rapeseeds (GOX modification), the available data were insufficient to derive MRLs and risk assessment values.” Similarly, already in 2015, EFSA pointed to insufficient data regarding additives such as POEA to assess food safety of HT GE crops [41]. However, so far, no regulatory conclusions or consequences have been drawn from these findings by the responsible risk managers, i.e. the EU Commission or the EU Member States.

GMO risk assessment

Crops and especially soybeans contain many biologically active substances, e.g. estrogens, allergens and anti-nutritional compounds, which may interact with trait-related characteristics and act as stressors [49, 50]. Each of them can be characterised and quantified in isolation, but not all relevant substances of a given crop are known or taken into account when assessing food safety. Internationally agreed lists of nutritional compositional parameters to be analysed in soybean matrices for food or feed use only cover a limited number of the known biological substances: in the list suggested by the OECD [51], testing of the concentration of allergens is not required, pharmaceutically active ingredients, such as saponins, are not mentioned and not all known plant estrogens and known toxic substances are included.

Changes in plant composition cannot only be triggered by genetic interventions, but also by interactions with complementary herbicides. The latter is particularly relevant for soybeans: firstly, HT is the dominant transgenic trait in GE soybeans, and secondly, soybeans contain naturally high concentrations of phytoestrogens and allergens. The concentration of these substances can

classified in the EU as showing reproductive toxicity and is no longer approved for agricultural use in the EU [36].
increase or decrease and may thereby impact the safety and nutritional composition of GE soybeans derived for food and feed. Table 5 gives an overview of publications reporting changes in the composition of glyphosate-resistant GE soybeans and other parameters at different glyphosate dosages. It is evident that the respective changes can depend on the kind and concentration of the herbicide used. The applied doses varied mostly in the range between approximately 1–3 kg (a.i.)/ha, only Correia [66] applying more than 6 kg (a.i.)/ha. The results show complex effects, both increasing and decreasing trends, evidencing both constitutional changes (e.g. content of protein, macro- and micronutrients, oil or sugar) and plant physiological and phenotypical changes (e.g. rate of photosynthesis, nitrogen fixation, plant biomass), depending on the applied doses of glyphosate. For example, Zobiole et al. [52] and also Bøhn et al. [53] found that glyphosate application can cause significant changes in soybean plant constituents. More specifically, Zobiole et al. [52] applied glyphosate at three different dosages (800 g, 1200 g and 2400 g/ha) which resulted in dose-correlated changes in plant compositions.

As recent research shows, there are previously undetected mechanisms which can cause compositional changes in glyphosate-resistant GE plants: Fang et al. [93] found that Arabidopsis engineered to be glyphosate resistant via an inserted mutated epsps gene exhibited a fecundity advantage in glyphosate-free environments. Apparently, the overproduced EPSPS protein interacted with plant metabolism. Since the plant hormone auxin plays a key role in growth, fecundity and adaptation to environmental stressors, the authors assumed that the overproduced EPSPS increased the auxin content. Consequently, the plant may produce more seeds and be more resistant to environmental stressors such as drought and heat. Also, the dosage and number of sprayings with the complementary herbicides could have an impact on these effects, since genome x environment interactions often play an important role. Moreover, Fang et al. [93] observed that the effects were enhanced under stressful conditions.

Thus, if plants in field trials receive non-representative amounts of herbicides, this will not only impact the amount of herbicide residues, but could also conceal changes in plant composition and the interactions of biologically active compounds in a dose–response manner. Such changes can cause health risks, for instance by increasing the effects of allergens or phytoestrogens.

Some further overlapping issues

There are some further relevant issues in the risk assessments of HT GE plants and pesticides that require the establishment of a step-by-step interface process during the approval procedure [94]: for example, it should be taken into account that there may be specific interactions between residues of herbicides and plant constituents. This is particularly relevant for natural allergens and phytoestrogens in plants, since there are several indications that their risks to health can increase by interactions with compounds derived from glyphosate-based herbicides. Studies have shown that the endocrine system of young rats is disturbed when fed with soy milk in combination with glyphosate [95]. Several publications indicate that glyphosate formulations can act as so-called endocrine disruptors (see, for example, [96–102]). There may be synergistic or additive interactions of plant components in soybeans, such as isoflavones (see, for example, [103]), with residues from glyphosate formulations.

Furthermore, the potential impact of glyphosate residues on the intestinal microbiome needs to be considered. Some negative effects have already been shown for cattle [104], poultry [105] and rats [106]. As glyphosate has an antibiotic effect on certain widespread bacteria such as E. coli [107, 108], permanent exposure to glyphosate may also change the gut flora of humans. This issue is also relevant for sub-chronic low-dose exposure to 2,4-D [109]. Changes in the gut flora are suspected of being involved in many diseases (see, for example, [110, 111]). Adverse health effects via the microbiome might also be triggered by residues from spraying with the complementary herbicide (see also [112]). In general, antibiotic effects and other adverse impacts on the intestinal flora might occur from exposure to a diet containing HT plants, which is not assessed under the pesticide regulation.

Of significance, but not discussed here are the harmful health effects of glyphosate under occupational exposure (see, for example, [16, 113]). In addition, it is noteworthy to remember that tank mixing herbicides with further adjuvants (most often surfactants) may have more severe or modified effects on non-target organisms than the herbicide formulations alone [114].

Discussion

To assess whether the expected agricultural practices under which GE plants are grown influence the studied end points, field trials with HT GE plants need to produce comparative data from plants treated, and not being treated, with the complementary herbicides under real agronomic conditions. As shown above, the application of herbicides will not only influence the presence, amount and composition of their residues, but can also impact gene expression, agronomic performance and plant composition. Since these issues are highly relevant for the assessment of health risks, it is important that the material chosen for testing the end
| Study | Parameter | Result | Dosage (if not specified, in kg (a.i.)/ha) |
|-------|-----------|--------|------------------------------------------|
| Albrecht et al. [54] | Plant height | Decreased | 1.4/28 |
| | Number and weight of seeds per plant | Decreased | 1.4/28 |
| | Content of protein | Altered differently, increased when applied at the sixth trifoli-ate (V6) stage and decreased when applied at full bloom (R2) | 1.4/28 |
| Albrecht et al. [55] | Quality of the seeds | Decreased | 0/0.36/0.72/1.08/1.44 |
| | Occurrence of phytopathogens | Increased | 0/0.36/0.72/1.08/1.44 |
| | Yield | Decreased | 0/0.36/0.72/1.08/1.44 |
| Barbosa et al. [56] | Malondialdehyde, ascorbate peroxidase, glutathione reductase, and catalase | Elevated (seed) | – |
| | Actin fragment, cytosolic glutamine synthetase, glycine subunit G1, and glycine-rich RNA-binding protein | Expressed differently (seed) | – |
| Barroso and Carvalho [57] | Dry mass (leaves, pod, stem, shoot) | Increased with three doses of phosphate fertilizer | 0.9/1.44 |
| | Yield | Increased with three doses of phosphate fertilizer | 0.9/1.44 |
| Bellaloui et al. [58] | Protein | Increased (seed) | 1.12/3.36 |
| | Oil | Decreased (seed) | 1.12/3.36 |
| | Oleic acid | Increased (seed) | 1.12/3.36 |
| | Linolenic acid | Decreased (seed) | 1.12/3.36 |
| | N assimilation, as measured by in vivo nitrate reductase activity (NRA) in leaves, roots, and nodules | Decreased | 1.12/3.36 |
| Bellaloui et al. [59] | Protein | Increased (seed) | 0.84 |
| | Amino acids | Increased (not significant) (seed) | 0.84 |
| | Oil | Decreased (seed) | 0.84 |
| | Oleic acid | Increased (seed) | 0.84 |
| | Linolenic acid | Decreased (seed) | 0.84 |
| | Prolin | Increased (seed) | 0.84 |
| | Nitrate reductase activity (NRA) | Decreased | 0.84 |
| Bellaloui et al. [60] | Fe | Decreased (seed) | 0.84 |
| Bohm et al. [61] | Number of pods | No effect | 0.96/1.92 |
| | Yield | No effect | 0.96/1.92 |
| | N fixation | Decreased | 0.96/1.92 |
| | Isoflavones | Differences among treatments, GE soy is different from conventional soy, but the effect of glyphosate did not become apparent | 0.96/1.92 |
| Study          | Parameter                                                                 | Result                                                                                           | Dosage (if not specified, in kg (a.i.)/ha)                                                                 |
|----------------|---------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|
| Bøhn et al. [53] | Sugar, proteins, Zn, fibre, total saturated fat, omega-6 fatty acids      | Decrease of sugars, such as glucose, fructose, sucrose and maltose; decrease of total protein, Zn, increase of fibre, increase of total saturated fat and total omega-6 fatty acids (seed) | Average 'glyphosate equivalents' of 11.9 mg/kg for the GE soybeans (max. 20.1 mg/kg)                     |
|                | Ba, Zn, Se                                                                | Decrease of Ba and Zn, increase of Se                                                              | Average 'glyphosate equivalents' of 11.9 mg/kg for the GE soybeans (max. 20.1 mg/kg)                     |
| Bott et al. [62] | Root biomass and root elongation                                          | Depressions of plant growth in the glyphosate-resistant soybean cultivar Valiosa strongly dependent on the selected culture conditions | 0.9/18/1.92                                                                                               |
|                | Shoot biomass                                                             | In soil culture, shoot biomass production declined by approximately 15–30% in glyphosate treated plants grown on an acidic Arenosol, but not on a calcareous Loess subsoil, while root biomass was not significantly affected | 0.9/18/1.92                                                                                               |
|                | N fixation                                                                 | No effect of glyphosate application on N fixation as measured by acetylene reduction assay, soybean yield, or seed N content | 0.9/18/1.92                                                                                               |
| Cakmak et al. [63] | Zn in leaves                                                              | Decreased                                                                                         | 0.9/18/1.92                                                                                               |
| Cavalieri et al. [64] | Ca, Mn, Mg, and Fe in leaves                                              | Decreased                                                                                         | 0.3 and 1.2% of field rate                                                                             |
| Cavalieri et al. [64] | Effect of glyphosate formulations on nutrient accumulation and dry matter production in shoots of two glyphosate-resistant soybean cultivars | Roundup Original®, Roundup Transorb® and Roundup WG® caused the greatest damage to nutrient accumulation and dry matter production. It was concluded that nutrient accumulation and dry matter production in shoots of the soybean plants are affected by glyphosate application, even for glyphosate-resistant cultivars | 0.96                                                                                                     |
| Cesco et al. [65] | Yield                                                                     | Decreased                                                                                         | 0/0.7/1.4/2.8/3.6/4.3                                                                                   |
|                | Height                                                                    | Decreased                                                                                         | 0/0.7/1.4/2.8/3.6/4.3                                                                                   |
|                | Number of pods                                                            | Decreased                                                                                         | 0/0.7/1.4/2.8/3.6/4.3                                                                                   |
|                | Photosynthesis                                                            | Decreased                                                                                         | 0/0.7/1.4/2.8/3.6/4.3                                                                                   |
| Correia [66]   | N fixation                                                                | Decreased                                                                                         | 0.96–6.72                                                                                               |
| Ding et al. [67] | Chlorophyll                                                               | Decreased and recovery at day 28                                                                    | 1                                                                                                         |
|                | Photosynthesis                                                            | Decreased and recovery at day 28                                                                    | 1                                                                                                         |
|                | Stomatal conductance to water vapour and transpiration rates              | Decreased                                                                                         | 1                                                                                                         |
|                | Root respiration and shoot N content                                      | Decreased and recovery at day 28                                                                    | 1                                                                                                         |
| Duke et al. [68] | Daidzein                                                                  | Increased (seed)                                                                                   | 1.26/2.1                                                                                                 |
| Study                  | Parameter                                      | Result                                                                 | Dosage (if not specified, in kg (a.i.)/ha) |
|-----------------------|------------------------------------------------|------------------------------------------------------------------------|--------------------------------------------|
| Duke et al. [69]      | Plant mineral nutrition                        | Rigorous field studies on different soil types (including those highly susceptible to inducing Mn or Fe deficiency in soybeans) are needed to resolve the issue of whether glyphosate might have adverse effects on mineral nutrition of glyphosate-resistant crops | 0.86                                       |
| Duke et al. [70]      | Plant mineral nutrition                        | No effect                                                              | 0.87                                       |
| Gomes et al. [71]     | Plant mineral nutrition                        | Decreased                                                              |                                            |
|                       | Photosynthesis                                 | Decreased                                                              |                                            |
| Huber [72]            | Uptake and translocation of Fe, Mn and Zn     | Decreased                                                              | 0.0036 (2.5% of recommended rate of glyphosate) |
|                       | Mn uptake and efficiency                       | Decreased                                                              | 1.68                                       |
|                       | Root nodulation                                | Decreased                                                              |                                            |
|                       | N fixation                                     | Decreased                                                              |                                            |
|                       | Availability of Fe, Mn, and other essential mineral nutrients for crop uptake | Decreased                                                              |                                            |
| Krenchinski et al. [73]| Chlorophyll and photosynthesis                | Decreased                                                              | 0/0.72/2.16                                |
|                       | Water use efficiency                           | No effect                                                              | 0/0.72/2.16                                |
| Lappé et al. [74]     | Level of phytoestrogens (genistein and daidzein) | Decreased                                                              |                                            |
| Reddy and Zablotowicz [75]| Chlorophyll content, root and shoot dry weight, or nodule number    | No effect                                                              | 0.84                                       |
|                       | Nodule biomass                                 | Decreased by 21 to 28% 14 days after the late post-emergence application (28 days after planting) | 0.84                                       |
|                       | Seed protein                                   | Decreased with two applications (seed)                                  | 0.84                                       |
| Reddy et al. [76]     | AMPA, shikimate, glyphosate levels in plants  | Chlorosis, effects measured until 22 days after treatment              | 1.12/2.24/3.36/4.48/6.72/13.44            |
| Sanogo et al. [77]    | Immunity against Fusarium solani f. sp. glycines | Decreased                                                              | 0.84                                       |
| Santos et al. [78]    | N, Ca, Mg, Fe, Cu                             | Decreased                                                              | 2                                          |
| Serra et al. [79]     | Mn                                             | No effect                                                              | 0/0.6/1.3/1.9/2.6                          |
|                       | Fe, Zn, Mn and Cu                             | Decreased                                                              | 0/0.6/1.3/1.9/2.6                          |
|                       | N, Mn, Cu, Zn and Fe                          | Decreased                                                              | 0/0.6/1.3/1.9/2.6                          |
|                       | Nodes                                          | Decreased                                                              | 0/0.6/1.3/1.9/2.6                          |
|                       | Dry mass                                       | Decreased                                                              | 0/0.6/1.3/1.9/2.6                          |
| Petter et al. [80]    | N, P, K, Ca, Mg, B, Mn, Zn, Fe                | Decreased (leaves)                                                    | 1.08 and 1.8                               |
|                       | Shoot and root dry biomass                    | Decreased                                                              | 1.08/1.8                                   |
|                       | Plant height                                   | Decreased                                                              | 1.08/1.8                                   |
|                       | Root volume                                    | Decreased                                                              | 1.08/1.8                                   |
| Vivancos et al. [81]  | Photosynthesis                                 | Decreased                                                              |                                            |
### Table 5 (continued)

| Study                          | Parameter                                | Result                                                                                           | Dosage (if not specified, in kg (a.i.)/ha) |
|-------------------------------|------------------------------------------|--------------------------------------------------------------------------------------------------|-------------------------------------------|
| Wei et al. [82]               | Content of isoflavones                   | Depending on duration of soaking and pH of the solution, the results were different. GE soy showed higher and lower isoflavone values | –                                         |
| Zablotowicz and Reddy [83]   | Seed N                                   | Decreased, when high doses of glyphosate were applied (seed)                                       | 0.84/1.68/2.52/0.84/2.52/0.84             |
|                               | Yield                                    | Decreased                                                                                        | 0.84/1.68/2.52/0.84/2.52/0.84             |
| Zobiole et al. [84]           | Number and weight of seeds per plant     | Decreased by 25% and 13% (seed)                                                                  | 1.2                                       |
|                               | 17:1n-7 (not essential)                  | Increased (by 30.3%) (seed)                                                                      | 1.2                                       |
|                               | 18:1n-9 (not essential)                  | Increased (by 25%) (seed)                                                                        | 1.2                                       |
|                               | Linoleic acid (18:2n-6)                  | Decreased (2.3%) (seed)                                                                          | 1.2                                       |
|                               | Linolenic acid (18:3n-3)                 | Decreased (9.6%) (seed)                                                                          | 1.2                                       |
| Zobiole et al. [85]           | Photosynthetic parameters                | Decreased                                                                                       | 0.6/0.9/1.2/1.8/2.4                       |
|                               | Biomass production                       | Decreased                                                                                       | 0.6/0.9/1.2/1.8/2.4                       |
|                               | Water absorption                         | Decreased                                                                                       | 0.6/0.9/1.2/1.8/2.4                       |
|                               | Photosynthesis                           | Decreased                                                                                       | 0.6/0.9/1.2/1.8/2.4                       |
|                               | Water use efficiency                     | Decreased                                                                                       | 0.6/0.9/1.2/1.8/2.4                       |
| Zobiole et al. [86]           | Shoot and root dry biomass              | Decreased                                                                                       | 1.2                                       |
|                               | Photosynthetic parameters                | Decreased                                                                                       | 1.2                                       |
|                               | Micronutrients (Zn, Mn, Fe, Cu, B) in leaves | Decreased                                                                                       | 1.2                                       |
|                               | Macronutrients (N, P, K, Mg, Ca, S)      | Decreased (but no effect on N)                                                                   | 1.2                                       |
| Zobiole et al. [87]           | Photosynthetic parameters (A, SPAD, Fp, Fm) | Linear decrease; R1 growth stage Long-term physiological impacts                                 | 0.6/0.9/1.2/1.8/2.4                       |
|                               | Macronutrients (N, P, K, Mg, Ca, S)      | Decreased. Effects in the following order: Ca > Mg > N > S > K > P                               | 0.6/0.9/1.2/1.8/2.4                       |
|                               | Micronutrients (Fe, Co, Zn, Mn, Cu, Mo, B) | Effects of single application in the following order: Fe > Mn > Co > Zn > Cu > B > Mo Two applications: Fe > Co > Zn > Mn > Cu > Mo > B | 0.6/0.9/1.2/1.8/2.4                       |
|                               | Shoot, root and total biomass dry weight | Decreased proportional to glyphosate dose                                                        | 0.6/0.9/1.2/1.8/2.4                       |
| Zobiole et al. [88]           | Photosynthetic rate                      | Severely decreased RR2 more sensitive than RR1                                                  | 0.8/12/2.4                                |
|                               | Leaf area and shoot biomass production   | Severely decreased RR2 more sensitive than RR1                                                  | 0.8/12/2.4                                |
| Zobiole et al. [89]           | Photosynthetic rate                      | At the 1.8 kg (a.i.) dose: reduction 33 and 31%                                                  | 0.45/0.67/0.9/1.35/1.8                    |
|                               | Lignin and amino acid content            | Decreased (linear)                                                                              | 0.45/0.67/0.9/1.35/1.8                    |
|                               | Height and total (shoot and root) dry weight | Decreased (linear)                                                                           | 0.45/0.67/0.9/1.35/1.8                    |
| Zobiole et al. [90]           | Nodule number                            | Decreased                                                                                       | 1.2                                       |
|                               | Ni concentration                         | Decreased                                                                                       | 1.2                                       |
|                               | Chlorophyll (SPAD units)                 | Lower in RR soybean Even lower when treated with glyphosate                                       | 1.2                                       |
Table 5 (continued)

| Study            | Parameter                          | Result                                                                 | Dosage (if not specified, in kg (a.i.)/ha) |
|------------------|------------------------------------|------------------------------------------------------------------------|--------------------------------------------|
| Zobiole et al. [91] | *Fusarium* spp.                   | Chlorotic symptoms, non-persistent                                    | 1.2                                        |
|                  | Mn reducers / Mn oxidizers ratio   | Increased in RR1 and RR2 soybean                                      | 0.8/12/2.4                                 |
|                  | Root and shoot dry weight         | Decreased in RR1 and RR2 soybean                                      | 0.8/12/2.4                                 |
| Zobiole et al. [92] | Chlorophyll                        | Decreased                                                              | 0.8/12/2.4                                 |
|                  | Macro- (N, P, Mg, K, Ca, Mg, S) and micronutrients (Zn, Mn, Fe, Cu, B) accumulation | All macro- and micronutrients, with exception of N and K, accumulated more in RR1 than RR2 | 0.8/12/2.4                                 |
|                  | Nodule dry weight and number      | Reduced tendency for late applications to have less effect than early applications | 0.8/12/2.4                                 |
|                  | Shoot biomass                      | Decreased                                                              | 0.8/12/2.4                                 |
|                  | Chlorosis                          | The new generation RR2 soybeans also showed undesirable glyphosate effects as “yellow flashing” | 0.8/12/2.4                                 |
| Zobiole et al. [52] | Photosynthetic rate                | Severely decreased                                                     | 0.8/12/2.4                                 |
|                  | Macro- and micronutrient accumulations | Proportionally decreased as glyphosate rates increased and applications were delayed. Macronutrient and all micronutrient concentrations except Cu were within the nutrient-sufficiency ranges for soybean. Concentrations of Ca, Mg, S, and Cu were significantly (p < 0.05) lower in glyphosate-treated soybean, yet all values were within the sufficiency ranges to provide acceptable soybean growth. Concentrations of P and Fe appeared to be increased by glyphosate. | 0.8/12/2.4                                 |
|                  | Nodule number and dry weight      | Significantly decreased                                               | 0.8/12/2.4                                 |
|                  | Root dry weight                    | More severely depressed with glyphosate applied at V2 growth stage compared with V6 growth stage | 0.8/12/2.4                                 |
|                  | Leaf area and shoot dry weight    | More strongly decreased at the late growth stage than at the early stage | 0.8/12/2.4                                 |

Most changes relate to compounds, while some relate to phenotypic characteristics. Unless indicated, all results were statistically significant.
points and the applied herbicide regime are representative of the products that are actually imported into the EU.

Significant differences in plant composition can be expected even when conventionally bred varieties are compared to each other [115]. However, GE plants, especially those that produce additional EPSPS enzymes, can be expected to show differences which are caused by specific mechanisms (see, for example, [93]). Such specific molecular mechanisms can result in a plant composition that is changed in terms of quality and quantity. Field trials with the HT GE plants should be required to explore this issue ideally using the highest known dosage of the complementary herbicide applied by farmers or the highest dosage that can be tolerated by the plants. We emphasise that in most field trials, the dosage falls well below the maximum dosage recommended by the applicant (see sections “Current practice in the cultivation of HT GE soybeans in terms of use of complementary herbicides” and “Amount of glyphosate applied in field trials for EU approval process”). If information about real agronomic conditions is missing in the dossier requested for authorisation, this issue must be investigated and monitored. If the applied dosages in practice exceed the ones used in field trials, additional data has to be submitted to exclude unwanted changes in the GMO that may lead to adverse effects on human, animal and environmental health. Otherwise, the transgenic trait would not be described sufficiently and no conclusive risk assessment could be performed.

HT GE plants should also be tested under defined stress conditions (see for example [116]). This would allow defining the range of possible changes in the plant composition, agronomic performance, gene expression of introduced gene constructs and the level of residues (and metabolites) that can be expected. Such data would be useful to develop further hypotheses on the range of effects that should be considered as well as their potential impacts on health.

Clearly, the material used for comparative assessment must be produced under real agronomic practices, as requested under Regulation (EU) No 503/2013. Only then will the data from field trials be trustworthy and can represent the material entering the food and feed chain.

Based on our findings above, specific issues of major relevance for risk assessment of GE plants can be identified to improve the design of field trials and the assessment of the respective data:

1. The herbicide dosage and the number of sprayings applied in the field trials: this aspect is highly relevant for the amount of residues found in harvested products, but also for the overall plant composition and combinatorial effects. Changes in agricultural practices need to be taken into account for new applications as well as for renewals.

2. The specific herbicides used in field trials as single or combined applications: the known or otherwise expected agricultural practices for weed management during cultivation of GE with resistance to multiple active ingredients have to be taken into account. It is important to investigate whether the expected and approved varying practices for weed control influence plant composition and safety.

3. The herbicide formulations used in the countries of cultivation: not only the active ingredients, but all relevant additives and synergists need to be taken into account in the overall risk assessment of the HT GE products for which authorisation for import is being sought.

4. Toxicity of residues from spraying: GE plants should not be approved if the toxicity of the residues from the complementary herbicide is not fully determined.

5. Potential combinatorial effects: the residues from the complementary herbicides and their mixed toxicity need also to be considered when assessing potential combinatorial effects and the overall safety of the relevant products; this includes also herbicide–plant (constituent) interactions.

6. Direct and indirect effects in feeding studies: the immune system and the reproductive system should also be taken into account when testing the whole food and feed to assess possible health effects. Furthermore, indirect effects have to be considered that can be triggered via the intestinal microbiome as well as by cumulative or combinatorial effects caused, e.g. by specific dietary mixtures.

**Conclusion**

A large number of weeds (47 individual species globally [9]) have adapted to the massive use of glyphosate in agriculture and have become resistant. These HT weeds are an increasing problem in countries where HT GE crops are grown. Their widespread adoption has led to an accelerating amount of herbicides being used and has consequently led to an “arms race” in genetic engineering technology and usage of complementary herbicides. The increasing amount of herbicides sprayed onto these plants not only causes substantial problems in the environment [112, 117–123], but also creates new challenges for the risk assessment of health effects since products derived from these plants can introduce new herbicide residues into the food chain.
The GMO and pesticide regulation provisions of the EU display a substantial overlap in terms of the requirements for the assessment of health risks: both regulatory areas require a high level of protection for health and the environment. Both request that the conditions of agricultural production are taken into account. Additionally, both request the evaluation of combinatorial effects. Finally, Implementing Regulation (EU) No 503/2013 explicitly combines the two areas of risk assessment by requesting field trials with and without the application of the complementary herbicide.

As shown, the dossiers currently submitted for market approval are seriously flawed: (i) herbicide applications in studies for risk assessment do not correspond with real-life farming practice; (ii) material prepared for feeding studies is different from the material entering the food chain; (iii) crucial data are missing for the safety testing of the residues from spraying and (iv) cumulative and combinatorial effects related to the application of the complementary herbicides as well as (v) herbicide–plant (constituent) interactions and long-term effects. Therefore, current dossiers cannot be considered to meet regulatory standards in the EU which require that safety for health and the environment is demonstrated.

Although our analyses focus on HT GE soybean, it can be anticipated that most of the outcomes also apply in principle to other HT GE crops, which are already or in the foreseeable future on the market, such as the MON 87429 corn which is resistant to four active ingredients [124].

We recommend the following requirements for the risk assessment of HT GE plants:

- All residues of active substances must be assessed, including taking various practical conditions into consideration (e.g. dosage and frequency of herbicide application, PHIs).
- All applied additives/adjuvants and their residues must be assessed.
- Combinatorial effects of the applied herbicides must be investigated, i.e. both herbicide–herbicide interactions, but also herbicide–plant (constituent) interactions.
- Potential changes in plant composition due to various herbicide applications must be investigated.
- Long-term effects of consumption of HT GE soybeans must be investigated, also including potential effects on the endocrine system, reproduction and the intestinal microbiome.

**Abbreviations**

EFSA: European Food Safety Authority; EPSPS: 5-enolpyruvylshikimate-3-phosphate synthase; FAO/WHO: Food and Agriculture Organization of the United Nations/World Health Organization; GAT: glycine N-phthalamidomethyltransferase; GE: genetically engineered; GMO: genetically modified organism; GOX: glucose oxidase; HPPD: 4-hydroxyphenylpyruvate dioxygenase; HT: herbicide tolerant; MCPA: 2-methyl-4-chlorophenoxyacetic acid; MRLs: maximum residue levels; NRA: nitrate reductase activity; OECD: Organisation for Economic Co-operation and Development; PHI: pre-harvest interval; POEA: poloxymethyleneamine; RR: Roundup Ready; USDA: United States Department of Agriculture.

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JM, ABP and CT equally contributed to the report. TB and WR gave additional input and helped to finalise the publication. All authors read and approved the final manuscript.

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The authors declare that they have no competing interests.

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