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Space-filter techniques for quasi-neutral hybrid-kinetic models

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ABSTRACT

The space-filter approach has been proved as a fundamental tool in studying turbulence in neutral fluids, providing the ability to analyze scale-to-scale energy transfer in configuration space. It is well known that turbulence in plasma presents challenges different from neutral fluids, especially when the scale of interest includes kinetic effects. The space-filter approach is still largely unexplored for kinetic plasma. Here, we derive the space-filtered (or equivalently “coarse-grained”) equations in configuration space for a quasi-neutral hybrid-kinetic plasma model, in which ions are fully kinetic and electrons are a neutralizing fluid. Different models and closures for the electron fluid are considered, including finite electron-inertia effects and full electrons’ pressure-tensor dynamics. Implications for the cascade of turbulent fluctuations in real space depending on different approximations are discussed.

I. INTRODUCTION

Turbulent plasmas can be found in a wide range of space, astrophysical, and laboratory systems. Understanding the properties of turbulent fluctuations and of their cascade—which plasma processes allow the transfer of energy from the large (“injection”) scales to the small (“dissipative”) scales of a system and how this energy is eventually converted into heat and non-thermal particles—is a fundamental step in order to understand the evolution of such systems (e.g., Refs. 1–4). In particular, turbulence in weakly collisional, magnetized plasmas is substantially different from turbulence in neutral fluids or in collisional plasmas, as it opens up the stage to a large variety of physical regimes (see, e.g., Refs. 5–17). This includes turbulent phase-space dynamics (“phase-space cascades”) and micro-instabilities (e.g., Refs. 18–28). A relevant aspect of plasma turbulence is the formation of localized (“coherent”) structures such as current sheets and magnetic structures, where both observations and simulations reveal an enhancement of kinetic features, from temperature anisotropy to particle energization and dissipation (e.g., Refs. 29–49). In this context, increasing attention has been focused on the role of current sheets and of magnetic reconnection as possibly mediating the energy transfer in plasma turbulence, both in the framework of magneto-hydrodynamic (MHD) models and in the kinetic regime.⁵⁰,⁶¹⁶² Therefore, it is of particular interest to develop a suitable theoretical framework that allows such investigation within kinetic and reduced-kinetic models that are widely adopted for kinetic-turbulence studies (see, e.g., Cerri et al.⁶³ and references therein).

The filtering approach has been intensively employed in the study of turbulence and scale-to-scale coupling in neutral fluids, at least since the seminal work of Germano,⁶⁴ and its subsequent use in Large Eddy Simulations (LES) both in the context of neutral fluids⁶⁵,⁶⁶ and MHD⁶⁷–⁷¹ (see, e.g., Miesch et al.⁷² for a review on LES techniques in MHD). The approach is based on the following idea. First, a low-pass spatial filter is applied to all quantities of interest, which allows the transfer of energy from the large (“injection”) scales to the small (“dissipative”) scales of a system and how this energy is eventually converted into heat and non-thermal particles. Filtering is a linear operation (being essentially a convolution), meaning that, the filter applied to a product is not equal to the product of the filtered quantities. This implies that, in constructing energy equations, quadratic terms give rise to the so-called sub-grid terms. The sub-grid terms play a crucial role in LES simulations, being the terms that lay below the resolved scale of the simulation and that are parameterized according to a given scheme (e.g., Ref. 73). In this work, however, we follow the opposite
II. NEUTRAL HYBRID-KINETIC (NHK) MODEL

The NHK model equations for a proton–electron plasma embedded in a magnetic field \( \mathbf{B} \) can be written in the following form:

\[
\frac{\partial f_i}{\partial t} + \mathbf{v} \cdot \frac{\partial f_i}{\partial \mathbf{x}} + \left[ \frac{e}{m_i} \left( \mathbf{E} + \mathbf{c} \times \mathbf{B} \right) + \mathbf{F}_{\text{ext}} \right] \frac{\partial f_i}{\partial \mathbf{v}} = 0,
\]

\[
E = -\frac{u_e}{c} \times \mathbf{B} - \frac{\mathbf{V} \cdot \mathbf{B}}{en} - \frac{m_e}{e} \left[ \frac{\partial u_e}{\partial t} + (\mathbf{u}_e \cdot \nabla) \mathbf{u}_e \right],
\]

\[
\frac{\partial \mathbf{B}}{\partial t} = -\mathbf{c} \times \mathbf{E}, \quad \frac{\partial \mathbf{E}}{\partial t} = \frac{\mathbf{c}}{4\pi} \nabla \times \mathbf{B},
\]

where \( f_i(x, \mathbf{v}, t) \) is the distribution function of the ions (protons) of mass \( m_i \) at spatial position \( x \) and proton velocity \( \mathbf{v} \), \( c \) is the speed of light, and \( \mathbf{F}_{\text{ext}} \) is an external force per unit mass. In the generalized Ohm’s law for the electric field \( \mathbf{E} \), Eq. (2), quasi-neutrality \( n_e = n_i = n \) has been assumed, \( m_i \) is the electron mass, and the electron flow \( \mathbf{u}_e \) is related to the ion flow \( \mathbf{u}_i \) and to the current density \( J \) by \( \mathbf{u}_e = \mathbf{u}_i - \mathbf{J} / en \). The above set of equations has to be closed by defining the thermal model of the electron fluid, e.g., by adopting a closure for the electron pressure tensor \( \Pi_e \) or by providing evolution equations for its components. Note that the form (2) of the generalized Ohm’s law is equivalent to the non-approximated version of its classical form (as given in Appendix D for details).

\[
E = -\frac{u_i}{c} \times \mathbf{B} + \left( \frac{J \times \mathbf{B}}{1 + \varepsilon_m} \right) en - \frac{\mathbf{V} \cdot \left( \Pi_e - m_e \mathbf{u}_i \right)}{1 + \varepsilon_m} en \nonumber
\]

\[
\frac{\varepsilon_m}{1 + \varepsilon_m} \frac{\partial \mathbf{u}_i}{\partial t} + \mathbf{V} \cdot \left( \mathbf{J} - \mathbf{u}_i \mathbf{J} / en \right),
\]

A. Electron pressure equations and fluid closure

The NHK equations (1)–(3) must be completed by one or more dynamic equations for the components of the electron pressure tensor, \( \Pi_e \). In the following, we consider the coupling with two models for the electron fluid:

(a) Isotropic, polytropic fluid. This is the simplest case of an isotropic fluid, \( \Pi_{i,j} = \rho_i \partial \delta_{ij} \), with a polytropic closure (e.g., Refs. 81 and 82)

\[
\frac{d}{dt} \left( \frac{\rho_i}{n^\gamma} \right) = 0,
\]

where \( \gamma \) is the polytropic index (e.g., \( \gamma = 1 \) for isothermal electrons), and the total (Lagrangian) derivative above uses the electron fluid velocity, i.e., \( d/dt = \partial_t + \mathbf{u}_e \cdot \nabla \). Therefore, using continuity equation, it rewrites as

\[
\frac{\partial P_e}{\partial t} + \mathbf{V} \cdot (P_e \mathbf{u}_e) = (1 - \gamma) P_e \mathbf{V} \cdot \mathbf{u}_e.
\]

(b) Fully anisotropic, adiabatic fluid. In this case, the full (a gyrotropic) pressure tensor dynamics is retained and an adiabatic closure is adopted (e.g., Refs. 84 and 85)

\[
\frac{\partial \Pi_{i,j}}{\partial t} + \mathbf{V} \cdot \left( \Pi_{i,j} \mathbf{u}_e \right) = -\left( \{ \Pi_e - \mathbf{V} \mathbf{u}_e \} \right) \text{sym}
\]

\[
-\Omega_e \left( \Pi_e \times \mathbf{b} \right) \text{sym},
\]

with the symmetrized terms, \( \{ \ldots \} \) sym, given by
\[
\begin{align*}
\{ (\Pi \cdot V) u \}_\varphi &= \Pi_\varphi \delta_b u_\varphi + \Pi_\varphi \delta_b u_i, \\
\{ \Pi \times b \}_\varphi &= \epsilon_{ijk} \Pi_\varphi b_i + \epsilon_{ijk} \Pi_\varphi b_j,
\end{align*}
\]
where \(\epsilon_{ijk}\) is the completely antisymmetric Levi-Civita symbol and \(b = B/|B|\) is the unit vector along the magnetic field direction. Note that Eq. (7) reduces to the diadadic version of Eq. (6), i.e., with \(\gamma = 5/3\), when an isotropic pressure tensor is considered, \(\Pi_e = P_e I\), and the equation is projected onto \(I/3\). It also provides the double-diadic limit when a gyrotropic pressure tensor is considered, \(\Pi_e = P_e b^2 + P_e c (I - b b)\), and the resulting equation is separately projected along the magnetic field direction and perpendicular to it, i.e., contracted with \(b b\) and with \((I - b b)/2\), respectively (see, e.g., Refs. 86 and 87). In particular, note that a gyrotropic pressure tensor is also recovered in the limit of massless electrons, since Eq. (7) requires that the condition \(\{ \Pi_e \times b \}_\varphi = 0\) is satisfied in such limit.88

Let us now examine the energetics of the NHK model equations.

### B. Energy equations for the forced NHK system

The energy equations are derived using the moments of the Vlasov equation, i.e., from the corresponding fluid equations. Here, we interrupt the fluid hierarchy at the equation for the pressure tensor components

\[
\frac{\partial \rho}{\partial t} + \nabla \cdot (\rho u_i) = 0,
\]

\[
\frac{\partial (\rho u_i)}{\partial t} + \nabla \cdot (\rho u_i u_j + \Pi) = \frac{e}{m_i} \frac{\partial}{\partial t} \left( E + \frac{u_i}{c} \times B \right) + \rho F_{\text{ext}},
\]

\[
\frac{\partial \Pi}{\partial t} + \nabla \cdot (\Pi u_i + Q_i) + \{ (\Pi \cdot V) u \}_\varphi = \Omega_{\text{ad}} \{ (\Pi \times b) \}_\varphi,
\]

where \(\rho = m_i n\) is the (ion) mass density (see Appendix E for explicit formulation with index and moment definitions). Note that as far as the global energy equations are concerned, the closure and/or the dynamic equation for the heat flux tensor is not relevant. In fact, it will enter the equations as a total divergence of a heat flux vector, \(\nabla \cdot q\) (see later), and for a “closed” system, it will vanish when integrated over the whole system domain. In this sense, the generalization of the following equations to the case where both ions and electrons are fully kinetic is straightforward (see Appendix C).

#### 1. Ion bulk (kinetic) energy

By taking the scalar product between \(u_i\) and the momentum equation, and using the continuity equation, one finds the equation for the ion bulk energy,

\[
\left. \frac{\partial E_{\text{ik}}}{\partial t} + \nabla \cdot (E_{\text{ik}} u_i) = -u_i \cdot (V \cdot \Pi) + \rho u_i \left( \frac{e}{m_i} \left( E + F_{\text{ext}} \right) \right) \right|_{\text{kinetic}}
\]

where \(E_{\text{ik}} = \frac{1}{2} \rho u_i^2\) is the ion bulk (or kinetic) energy density.

#### 2. Ion internal (thermal) energy

By taking the trace of Eq. (12), i.e., by contracting the indices (where a sum over repeated indices is understood), and multiplying by 1/2, one obtains the equation for the ion internal energy,

\[
\frac{\partial E_{\text{it}}}{\partial t} + \nabla \cdot (E_{\text{it}} u_i) = -\Pi_i : Vu_i,
\]

where the ion internal (or thermal) energy density is defined as \(E_{\text{it}} = \frac{1}{2} \text{tr}(\Pi)\) and \(q_i\) is the ion heat-flux vector defined by \(q_{i,k} = \frac{1}{2} \sum_{j,k} Q_{i,jk}\).

#### 3. Equivalent electron bulk (kinetic) energy

By taking the scalar product between \(en u_i\) and the generalized Ohm’s law, Eq. (2), one obtains the equation for the electron bulk energy,

\[
\left. \frac{\partial E_{\text{ek}}}{\partial t} + \nabla \cdot (E_{\text{ek}} u_e) = -u_e \cdot (V \cdot \Pi_e) - en u_e \cdot E \right|_{\text{kinetic}}
\]

where \(E_{\text{ek}} = \frac{1}{2} m_e u_e^2\) is the electron bulk (or kinetic) energy density and it vanishes in the limit of massless electrons, \(e_m \to 0\). In such limit, this equation becomes a statement of the balance between the work done on the electron fluid by the electric field and the electron pressure forces (in the electrons’ reference frame’)

\[
enu_e \left( E + \frac{V \cdot \Pi_e}{en} \right) = 0,
\]

or, written in a way that may be useful later,

\[
V \cdot (\Pi_e u_e) = \Pi_e : Vu_e - en u_e \cdot E.
\]

Note that this is true regardless of the assumptions on the pressure tensor of the electron fluid, as long as it is massless.

#### 4. Electron internal (thermal) energy

We now derive the equation for the electron internal energy for the two cases:

(a) Isotropic, polytropic fluid. When the electron pressure tensor is diagonal and isotropic, i.e., \(\Pi_{ij} = P_e \delta_{ij}\), its associated internal energy is \(\frac{1}{2} \text{tr}(\Pi)\) = \(\frac{1}{2} P_e\). Therefore, the corresponding energy equation is just the electron pressure equation, Eq. (6), multiplied by 3/2,

\[
\left. \frac{\partial E_{\text{it}}}{\partial t} + \nabla \cdot (E_{\text{it}} u_i) = (1 - \gamma) \Pi_e : (V \cdot u_i) \right|_{\text{it}}
\]

where here, \(E_{\text{it}} = \frac{1}{2} P_e\) is the electron internal energy density.

(b) Fully anisotropic, adiabatic fluid. In this case, the energy equation for the internal energy of the electron fluid is equivalent to the one of the ions, Eq. (14), with \(q = 0\),

\[
\left. \frac{\partial E_{\text{it}}}{\partial t} + \nabla \cdot (E_{\text{it}} u_e) = -\Pi_e : Vu_e \right|_{\text{it}}
\]

with the electron internal energy density now defined as \(E_{\text{it}} = \frac{1}{2} \text{tr}(\Pi_e)\). Note that if \(\Pi_e = P_e I\), then Eq. (19) correctly reduces to Eq. (18) with \(\gamma = 5/3\).

#### 5. Magnetic energy

By taking the scalar product between \(B\) and the Faraday equation, and using the vector identity \(B \cdot (V \times E) = V \cdot (E \times B) + E \cdot (V \times B)\), one finds the equation for the ion bulk energy,
\[
\frac{\partial \mathcal{E}_B}{\partial t} + \mathbf{v} \cdot \left( \frac{E \times B}{4\pi} \right) = -\mathbf{J} \cdot \mathbf{E},
\]
where \( \mathcal{E}_B = B^2/8\pi \) is the magnetic energy density. Note that in the hybrid approximation, there is no energy equation for the electric energy density, \( \mathcal{E}_E = E^2/8\pi \), since the displacement current has been neglected.\(^9\)

**C. The total energy density equation and energy channels in the NHK model**

Let us gather all the previous energy equations here for convenience (and just rewriting terms in a convenient way),

\[
\frac{\partial \mathcal{E}_u}{\partial t} + \mathbf{v} \cdot \left( \mathcal{E}_u \mathbf{u}_i + \Pi \mathbf{u}_i \right) = \rho u_i \cdot F_{\text{ext}} + \Pi_i \cdot \mathbf{v} u_i + \epsilon u_i \cdot \mathbf{E},
\]

\[
\frac{\partial \mathcal{E}_B}{\partial t} + \mathbf{v} \cdot \left( \mathcal{E}_B \mathbf{u}_i + q_i \right) = -\Pi_i \cdot \mathbf{v} u_i,
\]

\[
\frac{\partial \mathcal{E}_\Pi}{\partial t} + \mathbf{v} \cdot (\mathcal{E}_\Pi \mathbf{u}_i + \Pi \mathbf{u}_i) = \Pi_i \cdot \mathbf{v} u_i - \epsilon u_i \cdot E,
\]

\[
\frac{\partial \mathcal{E}_P}{\partial t} + \mathbf{v} \cdot (\mathcal{E}_P \mathbf{u}_i) = \left( 1 - \gamma \right) \mathcal{E}_P \mathbf{v} \cdot \mathbf{u}_e
\]

\[
\frac{\partial \mathcal{E}_F}{\partial t} + \mathbf{v} \cdot \left( \frac{E \times B}{4\pi} \right) = -\epsilon u_i \cdot E + \epsilon u_i \cdot \mathbf{E},
\]

where we remind the reader the following definitions:

\[
\mathcal{E}_u = \frac{1}{2} m_u \nu_u^2, \quad \mathcal{E}_\Pi = \frac{1}{2} \text{tr}[\Pi_i], \quad \mathcal{E}_B = \frac{B^2}{8\pi}.
\]

Note that Eqs. (21)–(25) are well-known fundamental energy equations describing the energy channels of a kinetic plasma, and essentially the same set of equations can be derived for the full-Vlasov case.\(^5\) By summing up Eqs. (21)–(25), one obtains the equation for the total energy density, \( \mathcal{E} = \mathcal{E}_u + \mathcal{E}_\Pi + \mathcal{E}_B + \mathcal{E}_P + I_c \):

\[
\frac{\partial \mathcal{E}}{\partial t} + \mathbf{v} \cdot \Phi_E = \rho u_i \cdot F_{\text{ext}} + I_c,
\]

where we have defined the energy density flux, \( \Phi_E \), as

\[
\Phi_E = (\mathcal{E}_u + \mathcal{E}_\Pi) \mathbf{u}_i + \Pi \mathbf{u}_i + q_i + (\mathcal{E}_u + \mathcal{E}_\Pi) \mathbf{u}_e + \Pi \mathbf{u}_e + \frac{E \times B}{4\pi}.
\]

The additional \( I_c \) term comes from the closure adopted for the electron fluid, and it is zero for an adiabatic fluid,

\[
I_c = \begin{cases} 
\frac{5}{3} - \gamma \left( \mathcal{E}_P \mathbf{v} \cdot \mathbf{u}_e \right) & (a) \\
0 & (b)
\end{cases}
\]

From the above equations, it is clear that when an isothermal closure is adopted for the electron fluid, there cannot be an exact conservation of energy even when no external injection is considered; \( F_{\text{ext}} = 0 \). In fact, artificial energy loss or gain has to be included in the model in order to keep the electrons isothermal when compressibility effects are present (i.e., when \( \mathbf{v} \cdot \mathbf{u}_e = \mathbf{v} \cdot \mathbf{u}_i + (J/\epsilon u_i) \cdot \ln (n) \neq 0 \)). This is indeed a well-known feature in the astrophysics community, and it is often attributed to instantaneous radiation cooling and heating (e.g., turbulent heating) that on average maintain the isothermal state.\(^9\)

Finally, it is interesting to note the coupling between the different energy densities in Eqs. (21)–(25), i.e., the so-called “energy channels.” The thermal energy only couples to the kinetic energy via the pressure-strain term, \( \Pi \mathbf{v} u_i \) (with some modifications when explicit closure relations are adopted on the pressure), whereas the magnetic energy is only coupled to the kinetic energy via the electric-field work term, \( \epsilon u_i \mathbf{E} \cdot \mathbf{u}_e \). Moreover, as it is expected in a collisionless system, the ions’ and electrons’ energy channels never couple to each other directly, but only through electromagnetic fields. This is more evident if we take a spatial average over the entire spatial domain under consideration, denoted by \( \langle \cdots \rangle \), and we assume that the fluxes are such that \( \langle \mathbf{v} \cdot \mathbf{u}_e \rangle = 0 \), as, e.g., for periodic or insulating boundary conditions.\(^5\)

\[
\frac{\partial \langle \mathcal{E}_u \rangle}{\partial t} = \langle \rho u_i \cdot F_{\text{ext}} \rangle + \langle \Pi \mathbf{v} u_i \rangle + \langle J \cdot \mathbf{E} \rangle,
\]

\[
\frac{\partial \langle \mathcal{E}_\Pi \rangle}{\partial t} = -\langle \Pi \mathbf{v} u_i \rangle,
\]

\[
\frac{\partial \langle \mathcal{E}_B \rangle}{\partial t} = \langle \Pi \mathbf{v} u_i \rangle + \langle J \cdot \mathbf{E} \rangle,
\]

\[
\frac{\partial \langle \mathcal{E}_F \rangle}{\partial t} = -\langle J \cdot \mathbf{E} \rangle - \langle J \cdot \mathbf{E} \rangle.
\]

where we have introduced the species’ current density, \( J_s \equiv \epsilon u_i \mathbf{E} \), for brevity. Therefore, kinetic energy acts as the only mediator in the transfer between the field and the thermal energies, and conversion from electromagnetic energy to internal energy of the plasma has to necessarily go through the generation of bulk flows.\(^5\) At the same time, in a collisionless system, the energy transfer from one species to another can only occur through field energy. Thus, in steady state conditions, electromagnetic fields are the mediators that determine the partition between the species’ energy cascades. However, the above equations are volume averaged, and so they do not contain information about the spatial regions to which the energy transfer is associated or about scale-by-scale energy transfer and cross-scale interactions: in order to investigate these properties, special techniques need to be applied (see, e.g., Ref. 58). The space-filtered equations for the general NHK model (1)–(3), with both type of closures, (5) or (7), are derived in Sec. III, while the equations belonging to specific hybrid-kinetic (HK) models are provided in Appendices A and B. The full-kinetic (FK) case is also provided in Appendix C.

**III. THE SPACE-FILTERED APPROACH TO THE GENERAL NHK MODEL**

Following the procedure described in Camporeale et al.,\(^5\) we now derive the corresponding filtered equations for the energy densities in the NHK system.

**A. Filter definitions and properties**

Let us consider a vector field, \( \mathbf{V}(x, t) \). The corresponding space-filtered field \( \mathbf{V}(x, t) \) is defined as
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\[ \bar{V}(x, t) = \int_{\Omega} G(x - \xi) V(\xi, t) d^3\xi = V(x, t) * G(x), \]  
(35)

where \( \Omega \) is the entire spatial domain, \( G \) is the filter function (e.g., Gaussian, top-hat, Fourier, etc.), and \( * \) is the convolution operator defined by Eq. (35). We also assume that the filtering operation commutes with time and space differentiation,

\[ \frac{d\bar{V}}{dt} = \frac{dV}{dt} \]  
(36)

\[ \bar{V} \cdot \nabla \bar{V} = \nabla \cdot \bar{V}. \]  
(37)

Finally, we introduce the so-called Favre filter, defined as

\[ \bar{V} = \frac{\bar{\rho} V}{\bar{\rho}}. \]  
(38)

This type of density-weighted filtering operation was originally introduced in hydrodynamic turbulence in order to avoid possible contamination due to the viscous dynamics in the large-scales quantities, thus allowing for the existence of an inertial-range cascade ("inviscid criterion")\(^{20,26}\). Although in the present case we are analyzing formally collisionless equations, i.e., without any effective viscosity or resistivity, in the following, we will make use of this filtering procedure. A practical reason to follow such an approach is that the underlying idea of this work is to later apply the equations obtained with this formalism to actual numerical simulations, which will necessarily present some artificial dissipation mechanisms (e.g., numerical smoothing, hyper-dissipation operators, or even actual resistivity in the Ohm’s law—see, e.g., Appendix B). Therefore, by using the Favre-filtering approach, we should ensure the applicability to the analysis of hybrid-kinetic numerical simulations.

B. Space-filtered energy equations for the forced NHK system

We start by filtering the single energy equations, i.e., by considering the energy conservation associated with those scales larger than a given filtering scale \( \ell \). In this process, we will also define certain sub-grid terms arising from non-linear terms in the equation, such as, for instance,

\[ \varrho u_i u_i = \bar{\varrho} u_i \bar{u}_i = \bar{\varrho} \bar{u}_i \bar{u}_i + T_{u_i}^{(\ell)} , \]  
(39)

i.e., the sub-grid \( T_{u_i}^{(\ell)} \) term is determined by the difference of the non-linear terms

\[ T_{u_i}^{(\ell)} \equiv \bar{\varrho} (\bar{u}_i u_i - \bar{u}_i \bar{u}_i) , \]  
(40)

and represents the term that is associated with the ion-flow non-linearity at scales \( \ell \).

1. Filtered ion bulk (kinetic) energy equation

By filtering the ion momentum equation (11) and using the above definitions, one gets the filtered momentum equation in the following form:

\[ \frac{\partial (\varrho \bar{u}_i)}{\partial t} + \bar{V} \cdot (\varrho \bar{u}_i \bar{u}_i) = -\nabla \cdot (T_{u_i}^{(\ell)} + \bar{\Pi}_i) + \bar{\varrho} F_{ext} + \frac{e}{m_i} \left( \bar{E} + \bar{u}_i \times \bar{B} + T_{u_i B}^{(\ell)} \right) , \]  
(41)

where the sub-grid term related to the ion-flow non-linearity, \( T_{u_i}^{(\ell)} \), is defined in (40), and we have introduced the sub-grid term associated with the \( \bar{u}_i \times \bar{B} \) non-linearity,

\[ T_{u_i B}^{(\ell)} = \frac{1}{c} (\bar{u}_i \times \bar{B} - \bar{u}_i \times \bar{B}) . \]  
(42)

By taking the scalar product of (41) with \( \bar{u}_i \), the filtered equation for the ion bulk energy density follows:\(^{25}\)

\[ \frac{\partial \bar{E}_u^{(\ell)}}{\partial t} + \bar{V} \cdot \left( \bar{E}_u^{(\ell)} \bar{u}_i \right) = \bar{\varrho} \bar{u}_i \cdot \left[ \bar{F}_{ext} + \frac{e}{m_i} \left( \bar{E} + T_{u_i B}^{(\ell)} \right) \right] - \bar{u}_i \cdot \left[ \bar{V} \cdot (\bar{\Pi}_i + T_{u_i}^{(\ell)}) \right] , \]  
(43)

where now the filtered ion bulk (kinetic) energy density is defined as

\[ \bar{E}_u^{(\ell)} = \frac{1}{2} \bar{\rho} |\bar{u}_i|^2 . \]

Note that the sub-grid terms above have an immediate physical interpretation as, e.g., "turbulent" fields or stresses. If \( \ell \) is a characteristic scale of the filter defined in (35), then the first sub-grid term, \( T_{u_i B}^{(\ell)} \), is indeed the Reynolds stress associated with the ion-flow fluctuations at scales \( \ell \) (i.e., what contributes to the transport of ion-momentum at scales \( \ell \), \( \bar{u}_i \) due to the advection of sub-scale ion-momentum by sub-scale ion-flow fluctuations, in analogy to its original definitions within mean-field MHD\(^{90,91}\)). Analogously, \( T_{u_i}^{(\ell)} \) is (minus) the "MHD contribution" to the "turbulent" electric field, \( \epsilon_{MHD}^* \equiv -T_{u_i}^{(\ell)} \), i.e., the electric field associated with the \( \bar{u}_i \times \bar{B} \) fluctuations at scales \( \ell \) (see Sec. III B 3). These terms, i.e., the "sub-scale electromotive force" \( \epsilon_{MHD}^* \) and the "sub-scale Reynolds stress" \( T_{u_i}^{(\ell)} \) associated with the ion-flow fluctuations, are indeed analogous to those present in the coarse-grained MHD equations (see, e.g., Aluie\(^{92}\) and references therein). Thus, another useful way to rewrite the equation above is

\[ \frac{\partial \bar{E}_u^{(\ell)}}{\partial t} + \bar{V} \cdot \left( \bar{E}_u^{(\ell)} \bar{u}_i \right) = \bar{\varrho} \bar{u}_i \cdot \bar{F}_{ext} + \bar{\varrho} \bar{J}_i \cdot \bar{E} + \bar{\varrho} \bar{u}_i \cdot \bar{J}_i + \epsilon_{MHD}^* + T_{u_i}^{(\ell)} \cdot \nabla \bar{u}_i , \]  
(44)

where we have introduced the Favre-filtered ion current density, \( \bar{J}_i \equiv \frac{\varrho}{\bar{\varrho}} \bar{u}_i = \epsilon_{MHD}^* \bar{u}_i \). Therefore, when considering a characteristic scale \( \ell \) for the filters, the energy transfer of ions’ kinetic energy through that scale is mediated by a combination of two effects. The first is represented by the interaction between the ion current density at scales \( \ell \), \( \bar{J}_i \), and the MHD contribution of the "turbulent" electric-field fluctuations at scales \( \ell \), \( \epsilon_{MHD}^* \). The second effect relies on the interaction of the strain tensor of the ion flow at scales \( \ell \), \( \Sigma_{\ell} \), with the turbulent ion-flow Reynolds stress at scales \( \ell \), \( T_{u_i B}^{(\ell)} \). That is, the net ion-kinetic energy density flux through a scale \( \ell \), \( T_{inj, \ell} \), is given by the combination of the above two terms: \( T_{inj, \ell} = \Sigma_{\ell} \cdot \bar{J}_i - \bar{J}_i \cdot \epsilon_{MHD, \ell} \). The strain-Reynolds stress interaction as a mechanism for scale-to-scale energy transfer is indeed analogous to the one arising in the coarse-grained MHD equations.\(^{25,27,28}\) The term \( \bar{J}_i \cdot \epsilon_{MHD, \ell} \) represents the iononic contribution to the so-called "turbulent Ohmic dissipation" due to the MHD sub-grid electromotive force associated with the \( \bar{u}_i \times \bar{B} \) fluctuations, viz. \( \bar{J}_i \cdot \epsilon_{MHD, \ell} \), that would also be present in the MHD description.\(^{25,27,28}\) As we will discuss in Secs. III B 3 and III C, however, in the hybrid-kinetic case, there are additional (i.e., non-MHD) contributions to the "sub-grid electric field" \( \epsilon_{MHD, \ell} \) as well as sub-grid terms arising from a two-fluid-like description (i.e., ions and...
electrons, as opposed to the single-fluid MHD treatment). This in turn provides additional scale-to-scale energy transfer mechanisms through, e.g., turbulent Ohmic dissipation and strain-Reynolds stress interaction of the electron fluid (see Sec. III C). The term $\tilde{n}_i \cdot \tilde{F}_{\text{ion}}$ is the rate of ion-kinetic energy density injected into the system by the external forcing at scales $\ell$. If the forcing acts only at scales $\ell_f$ and $\tilde{F}_{\text{ion}}$ itself is a constant, then this term is a constant, $\varepsilon_{\phi,0}$, for all the scales $\ell < \ell_f$. However, we caution that in a more general case, if $\tilde{F}_{\text{ion}}$ varies at scales $\ell_f$ and the density is not a constant, then it has been shown that $\varepsilon_{\phi}$ is only approximately constant: while decaying at scales $\ell < \ell_f$, it is not exactly zero (see, e.g., Aliev 75). The other terms on the first line of the right-hand side of (44) instead represent the energy density flux between the different energy channels at scales $\ell$. In particular, the ion-kinetic energy is connected to the magnetic-field energy channel through the interaction between the ion-current density and the electric field at scales $\ell$, $\tilde{J}_i \cdot \tilde{E}$, and to the ion-thermal energy through the pressure-strain interaction at scales $\ell$, $\tilde{P}_{i, \ell} : \tilde{\Sigma}_{i, \ell}$ (see later). Also, note that for a deeper investigation of the pressure strain interaction at scales $\ell$, one can further decompose the strain tensor into different contributions, e.g., isotropic compression and volume-preserving deformations and rotations, $\Sigma = -\tilde{\mathcal{D}} + \mathcal{W}$ (see, e.g., Refs. 75, 101, and 102).

2. Filtered ion internal (thermal) energy equation

We now apply a Favre filter on the ion pressure tensor equation, so we obtain

$$\frac{\partial \tilde{P}_{\text{ion}}}{\partial t} + \mathbf{V} \cdot \left( \tilde{P}_{\text{ion}} \mathbf{u}_i + \tilde{Q}_i \right) = \left\{ \tilde{F}_{\text{ion}} : \mathbf{V} \right\} \tilde{u}_i + \tilde{T}_{\text{ion,}}$$

$$+ \frac{\epsilon}{m_e} \epsilon \left( \tilde{P}_{\text{ion}} \times \tilde{B} + \tilde{T}_{\text{ion, \phi}} \right),$$

(45)

where we have introduced the sub-grid tensors associated with the pressure-strain and pressure-magnetic ions' non-linearities,

$$\left\{ \tilde{T}_{\text{ion,}} \right\}_{ij} = \tilde{P}_{\text{ion}} \hat{k} \partial_i u_j \tilde{u}_j - \tilde{P}_{\text{ion}} \hat{k} \partial_k \tilde{u}_i \tilde{u}_j,$$

(46)

$$\left\{ \tilde{T}_{\text{ion, \phi}} \right\}_{ij} = \tilde{P}_{\text{ion}} \hat{k} \partial_i \tilde{B}_m \tilde{B}_m - \tilde{P}_{\text{ion}} \hat{k} \partial_k \tilde{B}_m \tilde{B}_m.$$  

(47)

(In the above, sum over repeated indices is understood.) Then, multiplying (45) by 1/2 and taking its trace (i.e., projecting onto $\frac{1}{2} I$), one obtains

$$\frac{\partial \tilde{E}_{\text{ion}}}{\partial t} + \mathbf{V} \cdot \left( \tilde{E}_{\text{ion}} \mathbf{u}_i + \tilde{Q}_i \right) = -\tilde{P}_{\text{ion}} : \nabla \tilde{u}_i - \tilde{T}_{\text{ion, \phi}} \tilde{E}_{\text{ion}},$$

(48)

where $\tilde{T}_{\text{ion, \phi}} \tilde{E}_{\text{ion}}$ is defined as the trace of $\tilde{T}_{\text{ion, \phi}}$, 

$$\tilde{T}_{\text{ion, \phi}} \tilde{E}_{\text{ion}} = \tilde{P}_{\text{ion}} \hat{k} \partial_k \tilde{u}_i \tilde{u}_j - \tilde{P}_{\text{ion}} \hat{k} \partial_k \tilde{u}_i \tilde{u}_j,$$

(49)

and we have used the fact that the right-hand side of (45) vanishes when taking its trace.

As we can see, the interaction between the pressure tensor and the strain tensor associated with scales $\ell$, $-\tilde{P}_{\text{ion}} : \tilde{\Sigma}_{\text{ion}}$, is providing the (only) connection of the ion-thermal energy to another energy channel, that is, with the ion-kinetic energy. At the same time, the (only) transfer mechanism of ion-thermal energy density through scale $\ell$ (i.e., the cascade rate of ion-internal energy) is provided by the “turbulent” pressure-strain non-linearity at scales $<\ell$, $\tilde{T}_{\text{ion, \phi}} \tilde{E}_{\text{ion}}$.

3. Filtered electric field and role of sub-grid non-linearities

By applying the Favre filter to the generalized Ohm’s law (2), we obtain

$$\mathbf{E} = -\frac{\mu_e}{c} \times \tilde{B} - \tilde{T}_{\text{ion, \phi}} - \frac{m_e}{e} \mathbf{V} \cdot \tilde{P}_{\text{ion}} + \frac{1}{\rho} \left[ \mathbf{V} \cdot \left( \frac{\partial}{\partial t} \tilde{u}_i \tilde{u}_j + \tilde{T}_{\text{ion, \phi}} \right) \right] + \frac{\partial}{\partial t} \left( \tilde{u}_i \tilde{u}_j \right),$$

(50)

where the sub-grid terms are now related to the electron-flow and $\mathbf{u}_e \times \tilde{B}$ non-linearities,

$$\tilde{T}_{\text{ion, \phi}} = \tilde{u}_i \tilde{u}_j - \tilde{u}_i \tilde{B}_j,$$

(51)

$$\tilde{T}_{\text{ion, \phi}} = \frac{1}{c} \left( \mathbf{u}_e \times \tilde{B} + \tilde{u}_i \tilde{B}_j \right).$$

(52)

Note that using the electron continuity equation and the properties (36)-(38) of the filters, one can show that the relation $\left( \mathbf{u}_e \cdot \mathbf{V} \right) \mathbf{u}_e = \frac{1}{\rho} \left[ \mathbf{V} \cdot \left( \frac{\partial}{\partial t} \mathbf{u}_e \mathbf{u}_e \right) + \partial \left( \tilde{u}_i \tilde{u}_j \right) \right]$ holds. Therefore, applying the Favre filter to Eq. (2) is entirely equivalent to rewrite the electron momentum equation in its conservative form [see (D1) and apply the regular filter (35) on it]. It is interesting to note that the filtered electric field at scales $\ell$ in (50) has an explicit contribution from the filtered fields at the same scales, plus a contribution $\epsilon^*$ from scales $<\ell$,

$$\mathbf{E} = -\frac{\mu_e}{c} \times \tilde{B} - \frac{m_e}{e} \mathbf{V} \cdot \tilde{P}_{\text{ion}} + \frac{1}{\rho} \left[ \mathbf{V} \cdot \left( \frac{\partial}{\partial t} \tilde{u}_i \tilde{u}_j + \tilde{T}_{\text{ion, \phi}} \right) \right] + \epsilon^*,$$

(53)

where we have defined $\epsilon^*$ as the sub-grid electric field,

$$\epsilon^* = \epsilon_{\text{MHD}} + \epsilon_{\text{Hall}} + \epsilon_{\text{d}};$$

(54)

sometimes referred to as “turbulent” electric field, which is arising from unresolved scales $<\ell$ due to the nonlinear contributions. It is worth to further decompose this sub-grid electric field into its “MHD contribution” (i.e., due to the $\mathbf{u}_e \times \tilde{B}$ non-linearities), “Hall contribution” (i.e., related to the $\mathbf{J} \times \tilde{B}$ term), and “electron-inertia contribution” (i.e., associated with the $\mathbf{u}_e \mathbf{u}_e$ nonlinearity that is retained when $\epsilon_m = 0$),

$$\epsilon^* = \epsilon_{\text{MHD}} + \epsilon_{\text{Hall}} + \epsilon_{\text{d}};$$

(55)

$$\epsilon_{\text{MHD}} = -\tilde{T}_{\text{ion, \phi}};$$

(56)

$$\epsilon_{\text{Hall}} = -\tilde{T}_{\text{ion, \phi}};$$

(57)

where we have used the identity $\tilde{T}_{\text{ion, \phi}} = \tilde{T}_{\text{ion, \phi}} + \tilde{T}_{\text{ion, \phi}}$ in which the $\mathbf{J} \times \tilde{B}$ sub-grid term is defined as

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**Postscript:**

The physics of plasma is a rich and complex field, involving interactions between charged particles and electromagnetic fields. The text provides a detailed exploration of the transfer of energy through various scales, with particular emphasis on the role of non-linearities. The filtered ion internal energy equation and the filtered electric field are key components in understanding these processes. The decomposition of the sub-grid electric field into MHD, Hall, and electron-inertia contributions is a significant step in unraveling the dynamics of plasma systems.
At this point, we can clearly see the difference between an MHD treatment and the hybrid-kinetic case. In fact, in the coarse-grained MHD equations, one would only obtain the sub-grid electromotive force associated with the $\mathbf{u} \times \mathbf{B}$ fluctuations, Eq. (55). As soon as we allow for a two-fluid-like description, the additional terms in Eqs. (56) and (57) arise. In particular, the Hall sub-grid electric field, $e_{\text{Hall}}$, accounts for the separation between the ion dynamics and the magnetic-field dynamics (which is now frozen into the electron-fluid dynamics) that occurs as the ion-inertial scales are approached. This term should actually be captured also into the electron-fluid dynamics) that occurs as the ion-inertial dynamics and the magnetic-field dynamics (which is now frozen into the electron-fluid dynamics) that occurs as the ion-inertial scales are approached. This term should actually be captured also in the Hall-MHD limit. The last term, $e_{d,e}$, arises from fine-inertial effects of the electron fluid, $e_{\text{inert}} \neq 0$, which now contributes to the sub-grid electric field through (the divergence of) its sub-grid Reynolds stress, $T^{(e)}_{nn}$. Therefore, this term could not be captured by a MHD or Hall-MHD treatment. Note that in the massless-electron limit, the only contributions to the "turbulent" electric field come from the MHD and Hall terms, $e_{\text{MHD}}$ and $e_{\text{Hall}}$, respectively. As we will see later, in Sec. III C, this has a direct implication on the turbulent energy transfer across scales. In particular, this formalism seems to provide a proof of the assumption made in Hellinger et al.\cite{Hellinger2020} that in a hybrid model with isothermal, massless electrons this transfer can be approximated by its additive incompressible contributions due to the MHD and Hall terms. If one is interested to investigate in more detail the mechanisms underlying the electron-inertia term, $e_{d,e}$, it could be further decomposed by using the relation $T^{(e)}_{nn} = T^{(e)}_{uu} + T^{(e)}_{jj} - T^{(e)}_{[uu]}$, i.e.,

$$e_{d,e} = -e_{\text{inert}} \frac{m_1}{\varepsilon} \mathbf{V} \cdot \left( T^{(e)}_{uu} + T^{(e)}_{jj} - T^{(e)}_{[uu]} \right),$$

where the "current-current" and "current-flow" sub-grid terms are given by

$$T^{(e)}_{jj} \equiv \frac{m_1^2}{e} \left( \frac{\mathbf{j} \cdot \mathbf{j}}{\varepsilon} - \frac{\mathbf{j} \cdot \mathbf{j}}{\varepsilon} \right),$$

and

$$T^{(e)}_{[uu]} \equiv \frac{m_1}{e} \left[ \left( \mathbf{j} \cdot \mathbf{u} \right) - \left( \mathbf{j} \cdot \mathbf{u} \right) \right],$$

where the filtered electron bulk energy density is defined as $\tilde{\mathcal{E}}_{\text{ne}} = e_{\text{ne}} \frac{1}{2} |\mathbf{u}_e|^2$ and we have introduced the Favre-filtered electron current density, $\tilde{J} = -e \frac{e}{\varepsilon} \mathbf{V} \cdot \mathbf{u}_e = \tilde{e} \mathbf{V} \cdot \mathbf{u}_e$. Therefore, when considering a characteristic scale $\ell$ for the filters, the energy transfer of electrons' kinetic energy through that scale is mediated by the interaction between the electron current density at scales $\geq \ell$, $\tilde{J}_{\ell}$, and the "turbulent" electric-field fluctuations at scales $< \ell$, $\tilde{e}_{\ell}$, that is, $T^{(e)}_{\text{kin,\ell}} = -\tilde{J}_{\ell} \cdot \tilde{e}_{\ell}$. Also note that it would be possible to rewrite (63) in an analogous way as done for (44), so that the energy flux through scale $\ell$ is given by the combination of the electrons' current density and the electrons' strain tensor for scales $\geq \ell$ interacting with the turbulent "MHD + Hall" electric field and with the turbulent electron-flow Reynolds stress, respectively, i.e., $T^{(e)}_{\text{kin,\ell}} = -\tilde{J}_{\ell} \cdot \tilde{e}_{\text{MHD,\ell}} + e_{\text{Hall,\ell}} + e_{\text{Hall}} T^{(e)}_{uu} \cdot \mathbf{D}_{\ell}$. The other two terms instead represent the connection between the electrons' kinetic energy channel and the other energy channels at scales $\geq \ell$, i.e., to the magnetic-field energy density (through the current-field interaction, $\tilde{J}_{\ell} \cdot \mathbf{B}_\ell$) and to the electrons' thermal energy density (through the pressure-strain interaction, $\mathbf{P}_{\ell} \cdot \mathbf{D}_{\ell}$).

In the limit of massless electrons, $e_{\text{inert}} \rightarrow 0$, Eq. (62) reduces to the filtered version of the balance equation in (16),

$$\frac{e}{m_1} \tilde{J} \cdot \mathbf{u}_e - \tilde{e} \mathbf{V} \cdot \mathbf{u}_e = \frac{e}{m_1} \tilde{J} \cdot \mathbf{u}_e,$$

where now $\tilde{e} = -T^{(e)}_{uu} = e_{\text{MHD}} + e_{\text{Hall}}$. Note that this equation, when space averaged, provides a sort of "vertex-conservation law" through the "disappearing" electrons' kinetic energy channel in the limit $e_{\text{inert}} \rightarrow 0$. Note that, as discussed in Sec. III B 3, even in this limit there is a difference between the scale-to-scale transfer that is found in coarse-grained MHD equations\cite{Bellan2011} and the one operating in hybrid models, which arises from the Hall term. Interestingly, a similar difference in the sub-grid turbulent electric field, and thus in the associated scale-to-scale magnetic-energy transfer, can be found from Hall-MHD equations. This may indeed explain why Hall-MHD and hybrid-kinetics with isothermal, massless electrons seem to provide similar results in terms of the turbulent cascade of magnetic-field fluctuations (see, e.g., Papini et al.)\cite{Papini2018} and why this transfer may be approximated by the additive contributions due to the MHD and Hall terms in the sub-grid electric field (see, e.g., Hellinger et al.\cite{Hellinger2020} see also the discussion associated with Fig. 2). Indeed, the relevance and nature of the Hall electric-field fluctuations in possibly mediating the transition to a so-called reconnection-mediated regime\cite{Oliveira2019,Russo2018} of sub-ion-scale turbulent energy transfer were already pointed out by means of hybrid-kinetic simulations in Cerri and Califano.\cite{Cerri2017}

4. Filtered electron bulk (kinetic) energy

By taking the scalar product of (50) with $\mathbf{\tilde{u}}_e$, one obtains the filtered equation for the equivalent electron bulk energy density,

$$\frac{\partial \tilde{\mathcal{E}}_{\text{ne}}}{\partial t} + \mathbf{V} \cdot (\tilde{\mathcal{E}}_{\text{ne}} \mathbf{u}_e) = -\tilde{u}_e \cdot \left[ \mathbf{V} \cdot (\tilde{\mathbf{\Pi}}_e + e_{\text{inert}} T^{(e)}_{uu}) \right] - \frac{e}{m_1} \tilde{u}_e \cdot (\tilde{E} + T^{(e)}_{uu})$$

or, equivalently, as

$$\frac{\partial \tilde{\mathcal{E}}_{\text{ne}}}{\partial t} + \mathbf{V} \cdot (\tilde{\mathcal{E}}_{\text{ne}} \mathbf{u}_e + \tilde{\mathbf{\Pi}}_e \cdot \mathbf{u}_e) = \tilde{\mathbf{\Pi}}_e \cdot \mathbf{V} \mathbf{u}_e + \tilde{\mathbf{f}}_e \cdot (\tilde{E} - \tilde{e})$$

5. Filtered electron internal (thermal) energy

We now apply the Favre filter also to the electron pressure equations, (6) or (7) depending on the closure adopted:

(a) Isotropic, polytropic fluid

$$\frac{\partial \tilde{P}_{\text{ne}}}{\partial t} + \mathbf{V} \cdot (\tilde{P}_{\text{ne}} \mathbf{u}_e) = (1 - \gamma) \left[ \tilde{P}_{\text{ne}} (\mathbf{V} \cdot \mathbf{u}_e) + T^{(e)}_{\text{ne}} \right]$$

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where $T_{\text{PS}}^{(e)}$ is the sub-grid term associated with the isotropic-compression contribution to the pressure-strain electrons’ non-linearity, defined as

$$T_{\text{PS}}^{(e)} = P_e(\nabla \cdot \mathbf{u}_e) - \mathbf{P}_e(\mathbf{V} \cdot \mathbf{u}_e).$$

(66)

(b) Fully anisotropic, adiabatic fluid

$$\frac{\partial \tilde{\Pi}}{\partial t} + \mathbf{V} \cdot (\tilde{\Pi} \mathbf{u}_e) = -\left\{ (\Pi_e \cdot \mathbf{V}) \mathbf{u}_e + T_{\text{PS}}^{(e)} \right\} \text{sym} + \frac{e}{m_e c} \left[ \tilde{\Pi}_e \times \mathbf{B} + T_{\text{PI},B}^{(e)} \right] \text{sym},$$

(67)

where we have introduced the electrons counterpart of the sub-grid terms related to the pressure-strain and pressure-magnetic tensor non-linearity,

$$\left\{ T_{\text{PS}}^{(e)} \right\}_{\text{sym}} = \Pi_{e,ik} \partial_{x_j} u_{ej} - \Pi_{e,jk} \partial_{x_i} u_{ej},$$

(68)

$$\left\{ T_{\text{PI},B}^{(e)} \right\}_{\text{sym}} = \epsilon_{ilm} \left( \Pi_{e,lm} B_m - \Pi_{e,m} B_m \right).$$

(69)

From the above equations, the corresponding equations for the filtered electron internal energy density follow:

$$\frac{\partial \tilde{E}_{\text{E}}}{\partial t} + \mathbf{V} \cdot \left( \tilde{E}_{\text{E}} \mathbf{u}_e \right) = \left\{ (1 - \gamma) \frac{3}{2} \left[ \tilde{P}_e(\mathbf{V} \cdot \mathbf{u}_e) + T_{\text{PS}}^{(e)} \right] - \tilde{\Pi}_e \cdot \mathbf{V} \mathbf{u}_e - T_{\text{PS}}^{(e)} \right\} \text{sym}.$$

(70)

where $\tilde{E}_{\text{E}} = \frac{1}{2} \text{tr} \left[ \tilde{\Pi}_e \right]$ and the sub-grid term on the right-hand side of (70) is defined as the trace of $T_{\text{PS}}^{(e)}$ given in (68), i.e., $T_{\text{PS}}^{(e)} = \text{tr} \left[ T_{\text{PS}}^{(e)} \right]$.

6. Filtered magnetic energy

Note that the Favre-filtered Maxwell equations of the NHK model are

$$\frac{\partial \mathbf{B}}{\partial t} = -\mathbf{\nabla} \times \mathbf{E}, \quad \mathbf{\tilde{J}} = \frac{c}{4\pi} \mathbf{\mathbf{V} \times B},$$

(71)

the equation for the filtered magnetic energy, $\tilde{E}_B = |\mathbf{B}|^2 / 8\pi$, is readily derived in the usual way,

$$\frac{\partial \tilde{E}_B}{\partial t} + \mathbf{V} \cdot \left( \mathbf{\mathbf{E} \times \mathbf{B}} / 4\pi \right) = -\tilde{\mathbf{J}} \cdot \mathbf{B} = -\frac{e}{m_i} (\tilde{\mathbf{u}}_e - \tilde{\mathbf{u}}_s) \cdot \mathbf{E} - T_{\mathbf{H}}.$$

(72)

where the sub-grid term associated with wave-particle interaction is defined as

$$T_{\mathbf{H}} = \left\{ \sum_x e_x (\tilde{\mathbf{u}}_x - \mathbf{n}_{\tilde{u}_x}) \right\} \cdot \mathbf{E}.$$

(73)

[The actual sub-grid non-linearity here is given by $T_{\text{PS}}^{(s)} = \mathbf{n}_{\tilde{u}_s} - \mathbf{n}_{\tilde{u}_s}$, so that the decomposition $\tilde{\mathbf{J}} = \epsilon \tilde{\mathbf{E}} (\tilde{\mathbf{u}}_e - \tilde{\mathbf{u}}_s) + T_{\text{PS}}^{(s)} + T_{\text{PS}}^{(e)}$ holds. The resulting sub-grid contribution to the rhs of Eq. (72) would then be $-(T_{\text{PS}}^{(s)} - T_{\text{PS}}^{(e)}) \cdot \mathbf{E}$, that we have called $-T_{\mathbf{H}}$ for brevity.]

Analogously to what has been done for the electric field in (53), we can define a sub-grid or “turbulent” current density,

$$\tilde{J} \equiv \frac{1}{q} \left( \frac{\partial \tilde{E} - \tilde{\mathbf{J}}}{\rho} \right) = T_{\text{PS}}^{(s)} - T_{\text{PS}}^{(e)},$$

(74)

so that $\tilde{J} + \tilde{J} = \tilde{J}_1 + \tilde{J}_2 + \tilde{J}_3$ (note the different filters on $J$).

$$\frac{\partial \mathbf{E}_B}{\partial t} + \mathbf{V} \cdot (\tilde{\mathbf{E} \times B} / 4\pi) = -\left( \tilde{\mathbf{J}} + \tilde{\mathbf{J}} \right) \cdot \mathbf{E},$$

(75)

and, if $\ell$ is the characteristic filtering scale, we can interpret the energy transfer of magnetic energy through that scale as a result of the interaction between the “turbulent” currents at scales $< \ell$, $\tilde{\mathbf{J}}_1$, and the electric field at scales $\geq \ell$, $\tilde{\mathbf{E}}_1$, i.e., $T_{\text{PS}}^{(mab)} = -\tilde{\mathbf{J}}_1 \cdot \tilde{\mathbf{E}}_1 - T_{\mathbf{H}}$. On the other hand, the term $-\tilde{\mathbf{J}}_2 \cdot \tilde{\mathbf{E}}_2 = -\left( \sum_x \tilde{\mathbf{J}}_x \right) \cdot \tilde{\mathbf{E}}$ represents the energy density flux within scales $\geq \ell$ that couples the magnetic energy density channel to the species’ kinetic energy densities.

C. Filtered equation for the total energy density in NHK

Gathering all the previous equations for the filtering energy densities (and just rewriting few terms in a convenient way), they read

$$\frac{\partial \tilde{E}_{\text{E}}}{\partial t} + \mathbf{V} \cdot \left( \tilde{E}_{\text{E}} \mathbf{u}_e + \left( \tilde{\Pi}_e + T_{\text{PS}}^{(e)} \right) \cdot \mathbf{u}_e \right)$$

$$= \tilde{u}_e \cdot \mathbf{\tilde{F}}_{\text{ext}} + \tilde{J}_1 \cdot \left( \tilde{E} - \epsilon_{\text{MHD}} \right) + \left( \tilde{\Pi}_e + T_{\text{PS}}^{(e)} \right) \cdot \mathbf{V} \tilde{u}_e,$$

(76)

$$\frac{\partial \tilde{E}_B}{\partial t} + \mathbf{V} \cdot \left( \tilde{E} \mathbf{u}_e + \left( \tilde{\Pi}_e + e_m T_{\text{PS}}^{(e)} \right) \cdot \mathbf{u}_e \right)$$

$$= \tilde{J}_1 \cdot \left( \tilde{E} - \epsilon_{\text{MHD}} \right) + \left( \tilde{\Pi}_e + e_m T_{\text{PS}}^{(e)} \right) \cdot \mathbf{V} \tilde{u}_e,$$

(77)

$$\frac{\partial \tilde{E}_B}{\partial t} + \mathbf{V} \cdot \left( \tilde{E} \mathbf{u}_e + \left( \tilde{\Pi}_e + e_m T_{\text{PS}}^{(e)} \right) \cdot \mathbf{u}_e \right)$$

$$= \left( \sum_x \tilde{J}_x \right) \cdot \tilde{E}.$$

(78)

where we remind that $\tilde{J}_1 \equiv \frac{e_m}{m_i} \theta \tilde{u}_s = e_m \tilde{u}_s$ is the species’ current density (i.e., carrying the appropriate sign due to the species’ charge within its definition). Then, summing up the above equations, one obtains the equation for the total energy density,

$$\frac{\partial \tilde{E}}{\partial t} + \mathbf{V} \cdot \left( \tilde{E} \mathbf{u}_e + \left( \tilde{\Pi}_e + T_{\text{PS}}^{(e)} \right) \cdot \mathbf{u}_e \right)$$

$$= \tilde{J}_1 \cdot \tilde{E}_B + \tilde{E}_{\text{ext}} + \tilde{E}_e + \tilde{S}_{\text{ab}}^{(0)} + \tilde{S}_{\text{ab}}^{(m)},$$

(81)

with the filtered total energy density and energy density flux defined as

$$\tilde{E} = \tilde{E}_{\text{E}} + \tilde{E}_B + \tilde{E}_{\text{ext}} + \tilde{E}_e + \tilde{S}_{\text{ab}}^{(0)} + \tilde{S}_{\text{ab}}^{(m)}.$$

(82)

$$\tilde{F}_{\text{E}} = \tilde{E}_{\text{E}} \tilde{u}_e + \tilde{E}_{\text{ext}} \tilde{u}_e + \left( \tilde{\Pi}_e + T_{\text{PS}}^{(e)} \right) \cdot \tilde{u}_e + \tilde{J}_1 \cdot \tilde{E}_B,$$

(83)
respectively. With respect to its non-filtered version in (27), the filtered equation for the total energy density shows additional terms. In fact, on the right-hand side of (81), in addition to the filtered version of the forcing injection, \( \tilde{T} \), now there are "source-like" (or "sink-like") sub-grid term, \( \tilde{S}_{\text{sg}} \), defined as

\[
\tilde{S}_{\text{sg}} = \tilde{j}_t \cdot \mathbf{T}_{\text{W,sg}}^{(0)} + \mathbf{T}_{\text{W,sg}}^{(0)} : \nabla \tilde{u}_t - \mathbf{T}_{\text{W,sg}}^{(0)} - \mathbf{T}_{\text{HE}} + \tilde{j}_t \cdot \mathbf{T}_{\text{W,sg}}^{(e)} - \mathbf{T}_{\text{W,sg}}^{(e)}
\]

where we have separated the base NHK contribution, \( \tilde{S}_{\text{sg}}^{(0)} \), from the ones arising from finite-electron-inertia effects, \( \tilde{S}_{\text{sg}}^{(e)} \). Notice that above we have used \( \mathbf{T}_{\text{W,sg}}^{(0)} \) for brevity, but its definition actually depends on the closure adopted for the electron fluid [cf. Eqs. (63), (68), and (70)].

\[
\mathbf{T}_{\text{W,sg}}^{(e)} = \left\{ \begin{array}{ll}
(1 - \gamma)^{3/2} \frac{1}{C_0 \Gamma} P_e (\nabla \tilde{u}_t - \tilde{P}_e (V - \tilde{u}_e)) & (a) \\
\Pi_1 : \tilde{V} u_t - \tilde{\Pi}_1 : \tilde{V} u_t & (b)
\end{array} \right.
\]

wheras the definition of the other sub-grid terms, \( \mathbf{T}_t \), we report here for completeness, is the same as given above.

\[
\mathbf{T}_{\text{W,sg}}^{(0)} = \tilde{T} - \tilde{S}_{\text{sg}}^{(0)},
\]

\[
\mathbf{T}_{\text{W,sg}}^{(0)} = \frac{1}{C_0} (u_t \times \mathbf{B} - \tilde{u}_t \times \mathbf{B}) - \epsilon_{\text{MHD}},
\]

\[
\mathbf{T}_{\text{W,sg}}^{(0)} = \frac{1}{C_0} (u_t \times \mathbf{B} - \tilde{u}_t \times \mathbf{B}) - \epsilon_{\text{MHD}} + \epsilon_{\text{Hall}},
\]

\[
\mathbf{T}_{\text{W,sg}}^{(0)} = \Pi_1 : \tilde{V} u_t - \tilde{\Pi}_1 : \tilde{V} u_t,
\]

\[
\mathbf{T}_{\text{HE}} = \frac{\epsilon}{m_e} \left[ \left( \tilde{u}_t - \tilde{u}_e \right) - \left( \tilde{u}_e - \tilde{u}_e \right) \right] \tilde{E} = \tilde{j} \cdot \tilde{E}.
\]

In Fig. 1, we show a schematic view of the link between different energy channels in the NHK model as described by Eqs. (93)–(97), when the electron-fluid description includes finite-inertia effects, \( \epsilon_{\text{MHD}} \neq 0 \), and a complete pressure-tensor dynamics [case (b) in Eq. (96); see also Chasapis et al.\textsuperscript{65} for a somewhat similar scheme, although not explicit in scale transfer]. In this regard, we remind the reader that similar analyses have previously obtained somewhat analogous fundamental equations and decomposition (see, e.g., Yang et al.\textsuperscript{72} and Del Sarto and Pegoraro\textsuperscript{102}) although without specializing to the case of hybrid-kinetic models. In particular, by considering hybrid closures, here, we have also explicitly addressed the effects associated with different electron closures (i.e., at the internal energy level) and those effects associated with the mass ratio, \( \epsilon_{\text{MHD}} = m_e / m_i \) (i.e., on the non-ideal turbulent electric field that mediates the energy transfer through scales).

In this context, it is helpful to provide an explicit mention to the limit of isothermal, massless electrons, \( \gamma = 1 \) and \( \epsilon_{\text{MHD}} = 0 \) (see also Appendix B). In this limit, the electron-thermal energy channel does not evolve, \( \partial \tilde{E}_t / \partial t = 0 \) (i.e., the electron thermal energy is kept constant, which in turn formally requires the appearance of a source term, \( \tilde{E}_t \)). Simultaneously, the electron-kinetic energy also goes to zero in this limit. As a result, Eq. (95) effectively becomes a sort of "vertex-conservation law" through the "zero-measure" electron-kinetic energy channel, \( \tilde{j}_t \cdot \tilde{E} = (\tilde{P}_e (V - \tilde{u}_e)) - (\tilde{j}_t \cdot (\epsilon_{\text{MHD}} + \epsilon_{\text{Hall}})) = 0 \), that instantaneously affects the magnetic-energy channel,

\[
\partial \tilde{E}_t / \partial t \bigg|_{\epsilon_{\text{MHD}} = 0} = (\tilde{P}_e (V - \tilde{u}_e)) - (\tilde{j}_t \cdot (\epsilon_{\text{MHD}} + \epsilon_{\text{Hall}})) - (\tilde{j}_t \cdot \tilde{E}) = 0.
\]

A schematic view of the energy channels and transfer through scales in this limit is provided in Fig. 2. This formalism also provides evidence that, in a hybrid model with isothermal, massless electrons, the turbulent energy transfer through scales could be approximated by its additive incompressive contributions due to the MHD and Hall transfer \textsuperscript{106,108} (as, for instance, it was assumed by Hellinger et al.\textsuperscript{109}). In fact, interestingly enough, a similar difference in the sub-grid turbulent electric field (and thus in the associated scale-to-scale magnetic-energy transfer) can be obtained from the Hall-MHD equations. This in turn may explain why Hall-MHD and hybrid-kinetics with isothermal, massless electrons have been shown to provide similar results in terms of the turbulent cascade of magnetic-field fluctuations across the so-called ion break (see, e.g., Papini et al.\textsuperscript{107}). In this context, the relevance and nature of the Hall electric-field fluctuations in possibly playing a relevant role in the transition to a so-called reconnection-mediated regime \textsuperscript{53,54,56,57} of sub-ion-scale turbulent energy transfer were already pointed out by means of hybrid-kinetic simulations in Cerri and Califano\textsuperscript{9}).

D. Localized scale-to-scale energy transfer and the direction of the cascade

Because the treatment of the space-filtered technique has been kept general so far, the exact meaning of the quantities on the right-hand side of the filtered equations slightly depends on the particular
choice of the filter function $G$ in Eq. (35). However, let us consider a simple case in which $G$ is a low-pass filter in Fourier space, with $\ell_c$ being the characteristic scale below which the quantities are filtered out. In such a case, the above equations not only tell us how the different energy channels are coupled on the scales $\ell \geq \ell_c$, but they also highlight how the energy is transferred across the scale $\ell_c$ through the sub-grid terms (i.e., if the energy flux goes toward smaller or larger scales). By considering various scales $\ell_s$ within the system, one can

![Diagram](image)

**FIG. 1.** Global energy channels of the NHK model: schematic cartoon of the space-averaged filtered energy equations in (93)–(97). The scale $\ell$ denotes the filter’s characteristic scale.

**FIG. 2.** Same as Fig. 1 for the limit of isothermal, massless electrons, $\gamma = 1$ and $\ell_m = 0$ (see also Appendix B). This formalism shows that, in this limit, the turbulent energy transfer across scales is largely due to its additive incompressive contributions from the MHD and Hall transfer.
obtain a map of how different terms contribute to the energy flux through scales at each scale. Moreover, since the non-averaged sub-grid terms responsible for such energy transfer keep their real-space dependence, one can also investigate how localized this transfer is, as, e.g., its correlation with current and vorticity sheets or with other magnetic and flow structures. This is a very useful piece of information, as it enables us to investigate the presence of direct and inverse cascades in kinetic plasma turbulence and, by adopting the appropriate filters, also the occurrence of cross-scale interactions (i.e., “non-local” in Fourier space).

IV. CONCLUSIONS

In this work, we have derived the space-filtered (or “coarse-grained”) equations for a general class of quasi-neutral hybrid-kinetic (HK) models, including their explicit formulation for specific hybrid-kinetic (HK) sub-models that are often adopted for the study of kinetic turbulence in collisionless plasmas (e.g., Refs. 48 and 109–111), as well as for the full-kinetic (FK) case [for which large 3D simulations have become recently possible (e.g., Refs. 112–114)]. This theoretical framework indeed represents an extremely useful tool for investigating the mechanisms underlying the energy transfer in plasma turbulence. In fact, the space-filtered technique allows explicitly separating the contribution of different “energy channels,” elucidating their localization and correlation with “coherent structures” in real-space, and highlighting the presence of both inverse and direct cascades, as well as the behavior of the energy flux through scales (e.g., if the constant cascade rate is a well-posed assumption, or if energy dissipation is a multi-scale process rather than being localized at certain specific scales (e.g., Refs. 115–118)).

Despite the intrinsic value of the space-filtered approach for turbulence studies, it has not received widespread attention by the plasma physics community: only recently, it has been considered in the context of extended-fluid and/or of kinetic turbulence. Because of this increasing (although underrated) attention to the filtered approach, we believe that it is of interest to provide its explicit formulation for a wide range of quasi-neutral hybrid-kinetic models that are commonly adopted for the investigation of space and astrophysical plasma turbulence at kinetic scales (see, e.g., Cerri et al. and references therein). For instance, within this formalism we can indeed explain why Hall-MHD and hybrid-kinetics with isothermal, massless electrons seem to provide similar results in terms of the turbulent cascade of magnetic-field fluctuations (see, e.g., Papini et al.) and why this transfer may be approximated by the additive contributions due to the MHD and Hall terms in the sub-grid electric field (see, e.g., Hellinger et al.). Indeed, the relevance and nature of the Hall electric-field fluctuations in possibly mediating the sub-ion-scale turbulent energy transfer in hybrid-kinetic turbulence were already pointed out by Cerri and Califano in the context of the so-called reconnection-mediated regime.

Once again, we stress that the fundamental difference with a Large-Eddy Simulation (LES) approach is that when the filtering scale is well resolved within a simulation domain, the calculation of the sub-grid source terms in (85)–(86) becomes straightforward. The analysis of such terms in configuration space provides valuable information on how and where the energy cascade and coupling takes place.

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APPENDIX A: EXPLICIT FORMULATION FOR THE HYBRID-VLASOV-MAXWELL (HVM) MODEL IN THE STUDY BY VALENTINI ET AL.

The HVM model equations of Valentini et al., including the external forcing term given in Cerri et al., read as Eqs. (1)–(3), but with the following approximated generalized Ohm’s law:

\[
(1 - \alpha_0^2 \nabla^2) E = -\frac{u_n}{c} \times B - \nabla p_e + \frac{\epsilon_m}{en} \nabla \cdot (D_j + \Pi_i),
\]

where \(\alpha_0^2 = \epsilon_m m_e c^2 / 4\pi e^2 n_0\) is the electron inertial length computed with (homogeneous) background density, and we have introduced the tensor

\[
D_j \equiv \rho_i (u_i u_j - u_i u_j) = \frac{m_i}{e} (j_u + j_j - j_i j_i). \tag{A2}
\]

for brevity. This tensor is related to the (difference of the) Reynolds stress tensor of the ion and electron flows, and it can be seen as a Reynolds stress associated with the current-current and current-flow fluctuations’ non-linearity. The scalar electron pressure, \(P_e\), is closed via polytropic relation, as in Eq. (5), and the \(\nabla^2 E\) term can be obtained by using the identity

\[
\frac{\partial J}{\partial t} = \frac{\epsilon}{4\pi} \nabla \times \frac{\partial B}{\partial t} = \frac{\epsilon}{4\pi} \nabla \times \nabla \times E, \tag{A3}
\]

and then neglecting the \(\nabla \cdot E\) by means of the quasi-neutrality approximation, \(\partial J \approx \epsilon \nabla^2 E / 4\pi\). Note that this version of the generalized Ohm’s law can be obtained from (4) with the approximation \((1 + \epsilon_m)^{-1} \approx 1\) and by neglecting inhomogeneities in front of the Laplacian term, i.e., \(d_i = \epsilon_m m_e c^2 / 4\pi e^2 n_0 \approx d_e d_e\).

By following the same procedure as in Sec. II B, one easily derives the energy equations for the HVM model, given the generalized Ohm’s law in (A1),

\[
\frac{\partial E_{u_i}}{\partial t} + \nabla \cdot (E_{u_i} u_i + \Pi_i u_i) = \rho_i u_i \cdot \nabla F_{ext} + \frac{\partial}{\partial t} (j_i E + \Pi_i \nabla u_i), \tag{A4}
\]

\[
\frac{\partial E_{\Pi_i}}{\partial t} + \nabla \cdot (E_{\Pi_i} u_i + q_i) = -\Pi_i \cdot \nabla u_i, \tag{A5}
\]

\[
\frac{\partial E_{u_e}}{\partial t} + \nabla \cdot \left( (E_{u_e} + P_e) u_e \right) = \epsilon_m \rho_i u_i \cdot \nabla F_{ext} + \frac{\partial}{\partial t} (j_e E + P_e \nabla \cdot u_e)
\]

\[
\quad - \epsilon_m j_e \cdot E + \epsilon_m \frac{\delta n}{n} \frac{\partial}{\partial t} \frac{\partial}{\partial t} \nabla^2 E, \tag{A6}
\]

\[
\frac{\partial E_{p_e}}{\partial t} + \nabla \cdot (E_{p_e} u_e) = (1 - \gamma) P_e \nabla \cdot u_e, \tag{A7}
\]

\[
\frac{\partial E_{\Pi_e}}{\partial t} + \nabla \cdot \left( E_{\Pi_e} + \frac{E \times B}{4\pi} \right) = -j_e \cdot E - j_e \cdot E, \tag{A8}
\]

where we have introduced the species’ current density, \(j_e \equiv e_m u_e\), and the density fluctuations, \(\delta n \equiv n - n_0\). Note that the last term in Eq. (A6) for the electron kinetic energy density is the result of the
approximations made in (A11) with respect to its complete version in (4). Namely, the extra $-\varepsilon_{\text{d}} \cdot \mathbf{E}$ is a consequence of the approximation $\left(1+\varepsilon_{\text{d}}\right)^{-1} \simeq 1$, while the term proportional to $\partial n / \partial t$ comes from the approximation $d_e \simeq d_{e,0}$ (i.e., $1/n \simeq 1/n_0$) in the Laplacian term deriving from $\partial J / \partial t$.

The equation for the total energy density in HVM then reads

$$\frac{\partial \mathcal{E}}{\partial t} + \mathbf{V} \cdot \mathbf{\Psi}_e = \mathcal{Q}(\mathbf{u}_i + \varepsilon_{\text{d}} \mathbf{u}_e) \cdot F_{\text{ext}} + \left(\frac{5}{3} - \gamma\right) \mathcal{E}_p(\mathbf{V} \cdot \mathbf{u}_e) - \varepsilon_{\text{d}} \cdot \left(1 - \frac{\partial n}{n} \right) \mathbf{E} \cdot \nabla^2 \mathbf{E},$$  \hspace{1cm} (A9)

where the total energy density flux, $\mathbf{\Psi}_e$, is

$$\mathbf{\Psi}_e = (\mathcal{E}_m + \mathcal{E}_T) \mathbf{u}_i + \mathbf{\Pi}_i \cdot \mathbf{u}_i + \mathbf{q}_i + \frac{5}{3} \mathcal{E}_p(\mathbf{V} \cdot \mathbf{u}_e) + \frac{\mathbf{E} \times \mathbf{B}}{4\pi} c,$$  \hspace{1cm} (A10)

1. Space-filtered equations for HVM

Before presenting the space-filtered version of the energy equations, it is worth making some explicit considerations on the filtered electric field from the generalized Ohm’s law adopted by the HVM model.

a. Sub-grid (“turbulent”) electric field in HVM

By multiplying the generalized Ohm’s law in (A1) and applying the filter to it, one obtains its filtered version, i.e.,

$$\left(1 - \varepsilon_{\text{d}} d_{e,0} \nabla^2 \right) \mathbf{E} = -\frac{\mathbf{u}_e}{c} \times \mathbf{B} - \frac{m_i}{e} \frac{1}{\mathbf{q}^2} \mathbf{V} \cdot \nabla_p \mathbf{E} + \frac{\varepsilon_{\text{d}}}{c} \mathbf{E},$$  \hspace{1cm} (A11)

where we have defined $\mathbf{D}_i = \frac{\mathbf{q}}{c} (\mathbf{u}_i - \mathbf{u}_e \mathbf{u}_e)$ and, analogously to what was done in Sec. III B 3, the sub-grid (“turbulent”) electric field as $\mathbf{e}^* = \mathbf{e}_{\text{MHD}}^* + \mathbf{e}_{\text{Hall}}^* + \mathbf{e}_{\text{d}}^*$ with

$$\mathbf{e}_{\text{MHD}}^* = -\mathbf{T}^{\text{w,b}},$$  \hspace{1cm} (A12)

$$\mathbf{e}_{\text{Hall}}^* = -\mathbf{T}_{\text{Hall}},$$  \hspace{1cm} (A13)

$$\mathbf{e}_{\text{d}}^* = -\frac{m_i}{e} \frac{1}{\mathbf{q}^2} \mathbf{V} \cdot \left(\mathbf{T}^{(c)} - \mathbf{T}^{(i)}\right) = -\varepsilon_{\text{d}} \mathbf{e}^* \cdot \mathbf{V} \cdot \left(\mathbf{T}_{\text{Hall}}^* - \mathbf{T}_{\text{[b]}},\right).$$  \hspace{1cm} (A14)

Note that, with respect to (59), in HVM the electron-inertia contribution $\varepsilon_{\text{d}}$ effectively misses the contribution from the turbulent (sub-grid) Reynolds stress associated with the ion-flow fluctuations.

b. Filtered total energy equation for HVM

We now follow the same procedure as in Sec. III B to derive the filtered version of the energy equations for the HVM model,

$$\frac{\partial \mathcal{E}_m}{\partial t} + \mathbf{V} \cdot \left[\mathcal{E}_m \mathbf{u}_i + \left(\mathbf{\Pi}_i + \mathbf{T}^{(i)}\right) \mathbf{u}_i\right] = \frac{\mathcal{Q}}{c} \mathbf{u}_i \cdot F_{\text{ext}} + \mathbf{\Pi}_i \cdot \mathbf{V} \cdot \mathbf{u}_i + \mathbf{j}_e + \mathbf{E} + \mathbf{T}^{(i)} \cdot \mathbf{V} \mathbf{u}_i - \frac{1}{c} \mathbf{V} \cdot \mathbf{e}_{\text{MHD}}^*,$$  \hspace{1cm} (A15)

$$\frac{\partial \mathcal{E}_T}{\partial t} + \mathbf{V} \cdot \left[\mathcal{E}_T \mathbf{u}_i + \mathbf{q}_i\right] = -\mathbf{\Pi}_i \cdot \mathbf{V} \mathbf{u}_i - \mathbf{T}^{(i)} \cdot \mathbf{V} \mathbf{u}_i,$$  \hspace{1cm} (A16)

$$\frac{\partial \mathcal{E}_m}{\partial t} + \mathbf{V} \cdot \left[\mathcal{E}_m \mathbf{u}_i + \mathbf{\Pi}_i + \left(\mathbf{T}^{(i)}\right) \mathbf{u}_i\right] = \frac{\mathcal{Q}}{c} \mathbf{u}_i \cdot F_{\text{ext}} + \mathbf{\Pi}_i \cdot \mathbf{V} \cdot \mathbf{u}_i + \mathbf{j}_e + \mathbf{E} + \mathbf{T}^{(i)} \cdot \mathbf{V} \mathbf{u}_i - \mathbf{\Pi}_i \cdot \mathbf{V} \mathbf{u}_i - \mathbf{T}^{(i)} \cdot \mathbf{V} \mathbf{u}_i,$$  \hspace{1cm} (A16)

where the first two sub-grid terms, $\mathcal{S}^{(0)}_g$ and $\mathcal{S}^{(m)}_g$, are indeed the same as the ones in (85)–(86) for a generic NHK model, while the second term, $\mathcal{S}^{(\text{HVM})}_g$, is a model-dependent term, i.e., specific of the HVM model. Also note that this additional sub-grid term is strictly related to finite-inertia effects in the HVM’s electron fluid and thus it disappears in the massless electrons limit, $\varepsilon_{\text{d}} \to 0$.

APPENDIX B: FORMULATION FOR MASSLESS ELECTRONS AND RESISTIVE OHM’S LAW

It is useful to provide the space-filtered equations also in the limit of massless electrons and a generalized Ohm’s law that includes a resistive term.
\[ E = - \frac{u_t}{c} \times B + \frac{J \times B}{\epsilon \sigma c} + \frac{V \phi_e}{\epsilon n} + \eta J, \quad (B1) \]

and a polytropic closure is adopted for the scalar electron pressure. This version of the generalized Ohm’s law is indeed widely adopted in numerical implementations of hybrid-kinetic models (e.g., Refs. 109, 110, and 120).

In this case, the total energy equation reads

\[ \frac{\partial \mathcal{E}}{\partial t} + \nabla \cdot \mathbf{\Psi}_E = \partial_{i} \left( u_i \cdot F_{\text{ext}} + \frac{5}{3} \mathcal{E}_P (\nabla \cdot u_e) - \eta_j \cdot J \right) = \partial_{i} \left( u_i \cdot F_{\text{ext}} + \frac{5}{3} \mathcal{E}_P (\nabla \cdot u_e) + \eta_j \cdot J - \eta |J|^2 \right), \quad (B2) \]

with

\[ \mathbf{\Psi}_E = (\mathcal{E}_u + \mathcal{E}_{1u}) u_i + \Pi_i \cdot u_i + q_i + \frac{5}{3} \mathcal{E}_P u_e + \frac{E \times B}{4 \pi c}. \quad (B3) \]

Note that, since \( \eta_j \cdot J \) is not positive definite, the dissipative nature of the resistive term in (B2) is not mathematically ensured. The space-filtered version of the equation for the total energy density above is

\[ \frac{\partial \mathcal{E}}{\partial t} + \nabla \cdot \mathbf{\Psi}_E = \partial_{i} \left( u_i \cdot F_{\text{ext}} + \frac{5}{3} \mathcal{E}_P (\nabla \cdot u_e) - \eta \mathbf{j}^\prime + \eta \mathbf{j}_e \cdot \mathbf{j} + \mathbf{S}^{(0)}_{\text{sg}} + \mathbf{S}^{(n)}_{\text{sg}} \right), \quad (B4) \]

where the sub-grid term \( \mathbf{S}^{(0)}_{\text{sg}} \) corresponds to the one in (A23), while the resistive contribution to the sub-grid term, \( \mathbf{S}^{(n)}_{\text{sg}} \), is given by

\[ \tilde{\mathbf{S}}^{(n)}_{\text{sg}} = \eta \mathbf{j}^\prime \cdot \mathbf{j}, \quad (B5) \]

where we remind that \( \mathbf{j}^\prime = \mathbf{j} - \mathbf{j}_e - \mathbf{j}_h \) is the sub-grid (“turbulent”) current density.

1. The Hall-MHD limit

Another approximation often employed in hybrid-kinetic simulations consists of adopting the Hall-MHD limit of the generalized Ohm’s law (see, e.g., Ref. 121, and references therein),

\[ E = - \frac{u_t}{c} \times B + \frac{J \times B}{\epsilon \sigma c} = - \frac{u_t}{c} \times B, \quad (B6) \]

i.e., the limit of infinite conductivity and cold, massless electron fluid. In this case, the term containing the electron pressure or the resistivity in the Eqs. (B2) and (B3) vanish, and we obtain a simple total energy equation,

\[ \frac{\partial \mathcal{E}}{\partial t} + \nabla \cdot \left[ (\mathcal{E}_u + \mathcal{E}_{1u}) u_i + \Pi_i \cdot u_i + q_i + \frac{E \times B}{4 \pi c} \right] = \partial_{i} (u_i \cdot F_{\text{ext}}). \quad (B7) \]

Its filtered counterpart therefore reads

\[ \frac{\partial \mathcal{E}}{\partial t} + \nabla \cdot \mathbf{\Psi}_E = \partial_{i} (u_i \cdot F_{\text{ext}}) + \mathbf{S}^{(\text{H-MHD})}_{\text{sg}}, \quad (B8) \]

where the flux and the sub-grid terms are given by

\[ \mathbf{\Psi}_E = \tilde{\mathcal{E}}_u u_i + \tilde{\mathcal{E}}_{1u} u_i + (\mathbf{\Pi}_i + \mathbf{T}^{(n)}_{\text{em}}) \cdot u_i + q_i + \frac{\tilde{E} \times \tilde{B}}{4 \pi c}, \quad (B9) \]

and

\[ \mathbf{S}^{(\text{H-MHD})}_{\text{sg}} = - (\mathbf{j}_e + \mathbf{j}_h) \cdot \mathbf{e}_{\text{MHD}} - \mathbf{j}_e \cdot \mathbf{e}_{\text{Hall}} - \mathbf{j}_e \cdot \mathbf{e}_{\text{MHD}} - \tilde{E} + \mathbf{T}^{(n)}_{\text{em}} \cdot \tilde{\mathbf{u}}_i - \mathbf{T}^{(n)}_{\Pi \mathbf{u} \mathbf{u}}, \quad (B10) \]

APPENDIX C: FORMULATION FOR THE FULL-KINETIC CASE

For the sake of completeness, we also report here the space-filtered equations for a full-Vlasov plasma (see also Yang et al.\(^{17}\)). When the full-kinetic case is considered (neglecting the external forcing for the sake of simplicity), the moments equations that have been derived from the Vlasov equation for the ions in Sec. II B are now holding for each species \( z \) with mass \( m_z \) and charge \( e_z \), i.e.,

\[ \frac{\partial \phi_z}{\partial t} + \nabla \cdot (\phi_z u_z) = 0, \quad (C1) \]

\[ \frac{\partial (\phi_z u_z)}{\partial t} + \nabla \cdot (\phi_z u_z u_z + \Pi_z) = \frac{e_z}{m_z} e_z \left( E + \frac{u_z}{c} \times B \right), \quad (C2) \]

\[ \frac{\partial \Pi_z}{\partial t} + \nabla \cdot (\Pi_z u_z + Q_z) + \{ (\Pi_z, V) u_z \}^\text{sym} = \Omega_{\text{ex}} (\Pi_z \times B)^\text{sym}, \quad (C3) \]

where \( \Omega_{\text{ex}} = m_z v_{t z} \) is the species’ mass density, and \( \Omega_{\text{ex}} = e_z B / m_z c \) its gyrofrequency. From these equations and from Maxwell’s equations (now including displacement current in the Ampère’s law), the energy equations are readily derived,

\[ \frac{\partial \mathcal{E}_{u z}}{\partial t} + \nabla \cdot (\mathcal{E}_{u z} u_z + \Pi_z) = \mathbf{u}_z \cdot \mathbf{u}_z + e_z n_z u_z \cdot E, \quad (C4) \]

\[ \frac{\partial \mathcal{E}_{\Pi z}}{\partial t} + \nabla \cdot (\mathcal{E}_{\Pi z} u_z + q_z) = -\Pi_z \cdot \mathbf{u}_z, \quad (C5) \]

\[ \frac{\partial \mathcal{E}_{\Pi z}}{\partial t} + \nabla \cdot \left( \frac{E \times B}{4 \pi c} \right) = - \sum_z \mathbf{e}_z n_z u_z \cdot E, \quad (C6) \]

where \( \mathcal{E}_{u z} = m_z n_z u_z^2 / 2, \mathcal{E}_{\Pi z} = \text{tr}[\Pi_z] / 2, \) and \( \mathcal{E}_{\mathcal{E}_z} = (E^2 + B^2) / 8 \pi. \) From the above, proceeding as in Sec. III B, the space-filtered equations for the full-kinetic case read

\[ \frac{\partial \mathcal{E}_{u z}}{\partial t} + \nabla \cdot \left( \tilde{\mathcal{E}}_{u z} u_z + \tilde{\Pi}_z + \mathbf{T}^{(n)}_{\text{em}} \cdot \tilde{u}_z \right) = \left( \tilde{\Pi}_z + \mathbf{T}^{(n)}_{\text{em}} \right) \cdot \tilde{u}_z + \tilde{E} + \mathbf{T}^{(n)}_{\Pi \mathbf{u} \mathbf{u}}, \quad (C7) \]

\[ \frac{\partial \mathcal{E}_{\Pi z}}{\partial t} + \nabla \cdot \left( \tilde{\mathcal{E}}_{\Pi z} u_z + q_z \right) = -\tilde{\Pi}_z \cdot \tilde{u}_z + \mathbf{T}^{(n)}_{\mathbf{u} \mathbf{u}}, \quad (C8) \]

\[ \frac{\partial \mathcal{E}_{\Pi z}}{\partial t} + \nabla \cdot \left( \tilde{E} \times \tilde{B} / 4 \pi c \right) = - \sum_z \mathbf{j}_z \cdot \tilde{E} + \mathbf{T}^{(n)}_{\mathbf{u} \mathbf{u}}, \quad (C9) \]

where \( \mathbf{j}_{\text{z}} \equiv \sqrt{\frac{e_z}{m_z}} \tilde{\phi}_z \tilde{u}_z = e_z n_z \tilde{u}_z \) is the current density of the \( z \) species, and the sub-grid terms \( \mathbf{T} \) are defined as

\[ \mathbf{T}^{(n)}_{\text{em}} = \tilde{\phi}_z (u_z \mathbf{u}_z - \tilde{u}_z \mathbf{u}_z), \quad (C10) \]

\[ \mathbf{T}^{(n)}_{\Pi \mathbf{u} \mathbf{u}} = \frac{1}{c} (u_z \mathbf{u}_z - \tilde{u}_z \mathbf{u}_z), \quad (C11) \]

\[ \mathbf{T}^{(n)}_{\mathbf{u} \mathbf{u}} = \tilde{\Pi}_z \cdot \tilde{u}_z - \tilde{\Pi}_z \cdot \tilde{u}_z, \quad (C12) \]
\[ T_{ij} = \left[ \sum_x \frac{e_x}{m_x} (\mathbf{q}_x \mathbf{u}_x - \mathbf{q}_x \mathbf{u}_x) \right] \mathbf{E} = \sum_x f_x \mathbf{E} = \sum_x T_{ij}^{(x)} \]  

with \( j = \sum_x f_x \) the sub-grid (or “turbulent”) current density, as defined in (74).

**APPENDIX D: GENERALIZED OHM’S LAW IN THE QUASI-NEUTRAL LIMIT: EQUIVALENCE BETWEEN (2) AND (4)**

We want to explicitly show that the two forms of the generalized Ohm’s law, Eqs. (2) and (4), are equivalent. In order to do this, let us first consider the “classic” derivation of the generalized Ohm’s law from the two-fluid momentum equations (e.g., Ref. 122),

\[ \frac{\partial (\mathbf{q}_x \mathbf{u}_x)}{\partial t} + \nabla \cdot (\mathbf{q}_x \mathbf{u}_x \mathbf{u}_x + \mathbf{P}_x) = \frac{e_x}{m_x} \mathbf{q}_x \left( \mathbf{E} + \frac{\mathbf{u}_x}{c} \times \mathbf{B} \right), \]  

(D1)

where \( \mathbf{q}_x = m_x n_x, \) and \( m_x \) and \( e_x \) are the mass and the electric charge of the species \( x. \) Multiplying (D1) by \( e_x/m_x \) and summing over the species index \( x, \) one obtains

\[ \frac{\partial}{\partial t} \left( \sum_x e_x n_x \mathbf{u}_x \right) + \nabla \cdot \left( \sum_x e_x n_x \mathbf{u}_x \mathbf{u}_x + \sum_x e_x n_x \mathbf{P}_x \right) = \sum_x \frac{e_x^2 n_x}{m_x} \left( \mathbf{E} + \frac{\mathbf{u}_x}{c} \times \mathbf{B} \right), \]  

(D2)

which, for a quasi-neutral proton-electron plasma \( n_e = n_i = n, \) rewrites as

\[ \frac{\partial \mathbf{J}}{\partial t} + e \mathbf{v} \cdot \left[ n (\mathbf{u}_i - \mathbf{u}_e) \right] = -\frac{e}{m_e} \mathbf{v} \cdot \left( \mathbf{P}_e - \varepsilon_{en} \mathbf{P}_e \right) + \frac{e^2 n}{m_e} (1 + \varepsilon_{en}) \mathbf{E} + \frac{e^2 n}{m_e} \left( \frac{\mathbf{u}_i + \varepsilon_{en} \mathbf{u}_i}{c} \times \mathbf{B} \right). \]  

(D3)

By multiplying (D3) by \( m_e/[1 + (1 + \varepsilon_{en}) e^2 n] \) and using the relation \( \mathbf{u}_e = \mathbf{u}_i - \mathbf{J}/en, \) one eventually obtains the generalized Ohm’s law in (4),

\[ E = -\frac{\mathbf{u}_i}{c} \times \mathbf{B} + \frac{\mathbf{J}}{c (1 + \varepsilon_{en}) n} + \frac{e_{en}}{1 + \varepsilon_{en}} \frac{1}{c e^2 n} \left[ \nabla \cdot \mathbf{E} + e \left( \mathbf{u}_i + \mathbf{u}_i - \frac{\mathbf{J}}{en} \right) \right]. \]  

(D4)

Now, let us consider the electron momentum equation in the quasi-neutral limit, explicitly solving for \( E \) and using the electron continuity equation in order to rewrite \( \partial_t (\mathbf{q}_e \mathbf{u}_e) + \nabla \cdot (\mathbf{q}_e \mathbf{u}_e \mathbf{u}_e + \mathbf{P}_e) \)

\[ \mathbf{q}_e [\partial_t \mathbf{u}_e + (\mathbf{u}_e \cdot \nabla) \mathbf{u}_e], \]

\[ \mathbf{E} = -\frac{\mathbf{u}_i}{c} \times \mathbf{B} + \frac{\mathbf{J}}{c (1 + \varepsilon_{en}) n} + \frac{e_{en}}{1 + \varepsilon_{en}} \frac{1}{c e^2 n} \left[ \nabla \cdot \mathbf{E} + e \left( \mathbf{u}_i + \mathbf{u}_i - \frac{\mathbf{J}}{en} \right) \right]. \]  

(D5)

which is exactly Eq. (2) of our NHK model. If we now substitute \( \mathbf{u}_e = \mathbf{u}_i - \mathbf{J}/en \) into the \( \mathbf{u}_e \times \mathbf{B} \) and \( \partial_t \mathbf{u}_e \) terms, and use the ion momentum equation in order to rewrite \( \partial_t \mathbf{u}_e, \) after some manipulations we then obtain,

\[ E = -\frac{\mathbf{u}_i}{c} \times \mathbf{B} + \frac{\mathbf{J}}{c (1 + \varepsilon_{en}) n} - \frac{e_{en}}{1 + \varepsilon_{en}} \frac{1}{c e^2 n} \left[ \nabla \cdot \mathbf{E} + e \left( \mathbf{u}_i + \mathbf{u}_i - \frac{\mathbf{J}}{en} \right) \right] \],  

(D6)

which rewrites exactly as Eq. (D4). This eventually proves the equivalence between using the form (2) or the form (4) of the generalized Ohm’s law.

**APPENDIX E: FLUID EQUATIONS: EXPLICIT FORM WITH INDEXES**

Dropping the index \( i \) for “ions,” Eqs. (10)–(12) written by components read

\[ \frac{\partial \mathbf{q}}{\partial t} + \nabla \cdot (\mathbf{q} \mathbf{u}) = 0, \]  

(E1)

\[ \frac{\partial (\varepsilon_{en})}{\partial t} + \frac{\partial}{\partial x_i} (\varepsilon_{en} u_i + \Pi_y) = \varepsilon_{en} (1 + 1 + \varepsilon_{en} u_i + \Pi_y) + \varepsilon_{en} n F_i, \]  

(E2)

\[ \frac{\partial \Pi_y}{\partial t} + \frac{\partial}{\partial x_i} (\Pi_y u_i + Q_{ij}) + \Pi_y \frac{\partial u_i}{\partial x_k} + \Pi_k \frac{\partial u_i}{\partial x_k} \]

\[ = \Omega_k (\varepsilon_{en} \Pi_y b_i + \varepsilon_{en} \Pi_y b_m), \]  

(E3)

where \( \varepsilon_{en} \) is the Levi-Civita symbol and the moments of the distribution function \( f \) are defined as usual,

\[ \mathbf{q} = m n m \int f(x, v) d^3 v, \]  

(E4)

\[ \mathbf{u}_i = m \int v^i f(x, v) d^3 v, \]  

(E5)

\[ \Pi_y = m \int w_i f(x, v) d^3 v, \]  

(E6)

\[ Q_{ij} = m \int w_i w_j f(x, v) d^3 v, \]  

(E7)

where \( \mathbf{w} = \mathbf{v} - \mathbf{u} \) is the random (thermal) component of the particle velocity.

**DATA AVAILABILITY**

Data sharing is not applicable to this article as no new data were created or analyzed in this study.

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Interestingly, by assuming a polytropic relation, the continuity equation for the electrons, as it can be rewritten as

$$\frac{\partial n}{\partial t} + \nabla \cdot (n \mathbf{v}) = 0$$

follows, i.e.,

$$\frac{\partial n}{\partial t} = 0.$$

This is consistently satisfied by

$$n = n_{eq}.$$
In fact, this is equivalent to use electric field in the frame of reference of the electron fluid, $\mathbf{E} = \mathbf{E}_0 + \mathbf{B}/c$, since the $\mathbf{u} \times \mathbf{B}$ term does not do any work on the electrons.

It is interesting to note that the $-\mathbf{J} \cdot \mathbf{E}$ term on the right-hand side of Eq. (20) comes from the approximated Ampère’s law, Eq. (3). However, if the displacement current was retained, the equation for the magnetic energy density $\mathcal{E}_B = B^2/8\pi$ would read $\partial_t \mathcal{E}_B = -\mathbf{J} \cdot \mathbf{E} = -\mathbf{E} \cdot (\nabla \times \mathbf{B})$, and an additional equation for the electric energy density $\mathcal{E}_E = E^2/8\pi$ would be included, that can be written as $\partial_t \mathcal{E}_E = -\mathbf{B} \cdot \mathbf{E} = -\mathbf{E} \cdot (\nabla \times \mathbf{B}) - \mathbf{J} \cdot \mathbf{E}$. Summing them together, we would get an equation for the total electromagnetic energy density, $\mathcal{E}_m = (B^2 + E^2)/8\pi$, that reads as (20) with the substitution $\mathcal{E}_B \to \mathcal{E}_m$ (see Appendix C).

In the context of a finite-Larmor-radius (FLR) expansion of the pressure tensor (see, e.g., and references therein). In fact, the Larmor radius is proportional to the square root of the mass, $\rho_s = \rho_{ls}/\Omega_{ls} \propto \sqrt{\rho_s}$, and therefore all the corrections to the gyrotropic parallel and perpendicular components of the pressure, $p_1$ and $p_2$, are left.

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