The LIFT trial: study protocol for a double-blind, randomised, placebo-controlled trial of K⁺-binder Lokelma for maximisation of RAAS inhibition in CKD patients with heart failure

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**Abstract**

**Background:** CKD is common in heart failure (HF) and associated with morbidity and mortality, yet life-prolonging medications such as renin-angiotensin-aldosterone inhibitors (RAASi) are underused due to risk of hyperkalaemia. Sodium zirconium cyclosilicate (SZC) is a potassium-binding medication that has been shown to reduce incidence of hyperkalaemia in CKD, non-CKD, and HF populations, which we propose will support maximisation of RAASi therapy.

**Methods:** We propose a 1:1 randomised, double-blind, placebo-controlled trial in which participants will receive either SZC or placebo. We will up-titrate participants’ RAASi therapy while monitoring their serum potassium levels and adjusting their SZC dose if necessary. Participants with CKD and HF will be recruited from CKD and HF clinics at St George’s Hospital. The total study period will be 18 months; 130 participants will be enrolled for approximately two months each following screening. Our primary outcome will be the proportion of participants who achieve maximum RAASi dose while maintaining normokalaemia. Secondary outcomes include participants reaching maximum RAASi dose without severe hyperkalaemia; time from randomisation to hyperkalaemia; time from randomisation to severe hyperkalaemia; number of RAASi dose escalations per participant; final doses of RAASi therapy; changes in quality of life score, eGFR, ACR, serum sodium, troponin T; number and duration of hospital admissions; and within-participant change in serum potassium compared to baseline.

**Discussion:** This trial will be the first to examine the use of SZC for the maximisation of RAASi dosing in patients with advanced CKD and HF. We will assess the impact of achieving target RAASi dosing on hospital admission rates and duration of stay, with the hope that optimum RAASi treatment will translate into reduced morbidity and improved QoL. If clinical benefit is demonstrated, we hope that the joint multidisciplinary CKD-HF approach will be expanded.

**Trial registration:** EudraCT number 2020–002946-18. Registered on 08 June 2020. Online record pending.

**Keywords:** Chronic kidney disease, Heart failure, RAAS, Potassium binder, Randomised

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Background

Chronic kidney disease (CKD) is a common comorbidity in individuals with heart failure (HF), with a prevalence of 32% according to a 2013 meta-analysis of over one million individuals [1]. Patients with combined CKD-HF are at 2.3 times greater risk of all-cause mortality than those with HF alone [1]. Potentially life-saving medications for systolic HF are underused in combined CKD-HF due to risk of hyperkalaemia [2]. These medications include renin-angiotensin-aldosterone system inhibitors (RAASi); angiotensin converting enzyme inhibitors (ACEi), angiotensin receptor blockers (ARB), and mineralocorticoid receptor antagonists (MRA) [3, 4].

While numerous national and international guidelines recommend RAASi treatment in patients with combined CKF-HF [5–8], the risk of hyperkalaemia in CKD stage 4/5 patients is high and potentially fatal [9]. There is therefore reluctance amongst general practitioners, general physicians, and cardiologists managing these patients to prescribe medications that may increase serum potassium levels [10].

In a study of 1056 acute heart failure admissions in 851 patients at St George's Hospital, London, patients with CKD stage 4/5 and systolic HF were less likely to receive ACEi or ARB compared to non-CKD patients (36% vs 84%, p < 0.001) and less likely to receive MRA (17% vs 57%, p < 0.001) [11]. These findings have led to the establishment of a joint kidney failure-heart failure clinic and from other heart failure clinics at St George's Hospital. Patients discharged from the heart failure wards and inpatients admitted with acute heart failure and referred to the heart failure team will also be approached to take part. The study is being conducted in conjunction with St George's, University of London and has been approved by the Cambridge NHS Research Ethics Committee [281626], NIHR portfolio [CPMS 47057] and the Medicines and Health Regulator Authority [CTA 12853/0009/001-0001].

Methods & design

Study setting

Participants will be recruited from the joint kidney failure-heart failure clinic and from other heart failure clinics at St George's Hospital. Patients discharged from the heart failure wards and inpatients admitted with acute heart failure and referred to the heart failure team will also be approached to take part. The study is being conducted in conjunction with St George’s, University of London and has been approved by the Cambridge NHS Research Ethics Committee [281626], NIHR portfolio [CPMS 47057] and the Medicines and Health Regulator Authority [CTA 12853/0009/001-0001].

Eligibility criteria

Inclusion criteria

For inclusion in the study patients must fulfil all of the following criteria:

1. Age > 18 years
2. Heart failure, clinical or echo confirmed (HFrEF i.e. ejection fraction <40%); patients with atrial fibrillation will be included provided the EF can be determined
3. New York Heart Association class II to IV
4. Most recent serum potassium 5.0–5.5 mmol/L
5. Adequate blood pressure (BP) (> 90 mmHg systolic and without postural hypotension; drop of systolic blood pressure (SBP) > 20 mmHg or feeling dizzy with change in posture; exclude patients with symptomatic hypotension due to high doses of ACEi/ARB or MRA unless the clinical condition has improved)
6. Formal diagnosis of CKD (i.e. two measurements of eGFR < 60 mL/min/1.73 m² taken ≥3 months apart) with stable eGFR < 60 mL/min/1.73m²; eGFR to be calculated according to the CKD-Epi formula [18], measured at a single time-point.
7. None or submaximal dose of ACEi/ARB and/or MRA.

Exclusion criteria

Patients should not enter the study if any of the following exclusion criteria are fulfilled:

Pregnancy: individuals of childbearing potential must have a negative pregnancy test within 7 days prior to...
treatment initiation and agree to use highly-effective contraception for the trial period

(1) Active malignancy or infection
(2) BMI > 35 kg/m²
(3) Poorly controlled blood sugar (HbA1c > 70 mmol/ mmol)
(4) Recent Acute Coronary Syndrome (ACS) i.e. within three months
(5) Ongoing potassium therapy
(6) Prolonged QT > 550 ms, congenital QT syndrome and history of prolonged QT requiring drug discontinuation
(7) Currently breastfeeding
(8) Allergies to excipients of investigational medicinal product (IMP) or placebo
(9) Inability to consent

Recruitment
Participants will be identified by the research team from the clinics mentioned above by consulting clinic lists and patients’ blood test results. Potential participants nearing discharged from hospital will be referred to the research team for participation, provided they are stable and would benefit from RAASi maximisation. Eligibility for the study in all cases will be confirmed by the Principal Investigator (PI) or another study doctor. All patients who fit the eligibility criteria for the study will be approached. At this initial contact, potential participants will be informed of the nature and objectives of the study and any potential risks of participation. If participants agree to take part, they will be invited for screening.

At screening, all participants will have their last three months’ blood tests results for potassium and creatinine reviewed. An electrocardiogram (ECG) will be performed to record participants’ QT interval and further blood samples will be taken for analysis (see Study Timeline below). These tests may be done as part of standard care if clinically necessary. Participants of childbearing potential will be required to take a urine pregnancy test. Eligible participants will be asked to complete an EQ-5D quality-of-life (QoL) questionnaire and will receive educational material on low sodium / low potassium diets. Again, the PI or study doctor will confirm eligibility in all cases.

Full informed consent will be obtained prior to a participant undergoing any study activities. All potential participants will undergo assessment of capacity, with the opportunity for potential participants to ask questions and have a period of at least 24 h to consider if they would like to take part. The study team will assess if the participant is able to:

(1) Understand the purpose and nature of the research;
(2) Understand what the research involves, its benefits, risks and burdens;
(3) Understand the alternatives to taking part;
(4) Retain the information long enough to make an effective decision;
(5) Be able to make a free choice;
(6) Be capable of making this particular decision at the time it needs to be made (though their capacity may fluctuate, and they may be capable of making some decisions but not others depending on their complexity).

If the above criteria are met, written consent may be taken by the PI or study doctor. All participants may refuse or discontinue involvement at any time without giving a reason for doing so.

Randomisation
Participants will be randomised 1:1 to either the placebo or active (SZC) arm of the trial. Randomisation is stratified by patients’ baseline RAASi dose at the start of the trial (individuals on < 50% standard dose vs those on ≥50% standard dose) to ensure equal proportions of RAASi therapies in each group.

We will use the REDCap Randomisation Module (https://wiki.uiowa.edu/display/REDCapDocs/REDCap+Randomization+Module). This module can be turned on by selecting the “Enable the randomization module” checkbox during project creation or after. This would preserve the randomisation procedure independent of the study statistician.

Randomisation will be implemented by the research pharmacy at St George’s. Randomisation codes will be generated by the study statistician on an external spreadsheet. The research pharmacy will be informed by the study team as soon the patient is consented, and will use the randomisation list to assign the participant to their allocated arm. In the case of an adverse event or patient safety issue, emergency unblinding will be performed by the on-call research pharmacist.

Confidentiality
The study will be carried out in accordance with Good Clinical Practice guidance and UK data regulation legislation. All participants will be given an anonymous study ID, which will be used for all data and samples collected. Participants’ personal details will be stored in paper files in a locked office, access to which will be restricted to necessary personnel. Study team members looking at data collected or test results will not be able to see participants’ personal details. All personal information will be destroyed one year following the end of the study.
Risk in the context of the COVID-19 pandemic
In the context of the COVID-19 pandemic, one potential safety concern is that required two-weekly study appointments may increase the risk of participants being exposed to SARS-CoV-2. A COVID-19 risk assessment has been performed, all study personnel will be instructed and trained in infection control measures and, where possible, social distancing practices will be observed.

Study timeline
The study activity schedule is outlined in Table 1 and represented schematically in Fig. 1. Baseline data will be recorded on electronic case report forms (eCRFs) and will include demographic information (age, gender, ethnicity), clinical co-morbidities (e.g. diabetes, hypertension, ischaemic heart disease), cardiovascular risk factors (e.g. hypercholesterolaemia, BMI, smoking, family history of cardiac disease), blood test results (e.g. serum haemoglobin, ferritin, urea, creatinine, sodium, potassium, calcium, phosphate, B-type natriuretic protein [BNP], troponin, parathyroid hormone [PTH]), ECG, and echocardiogram results. In addition, we will record pulse rate, blood pressure (average of three readings), weight, presence of oedema, presence of chest crepitations, signs of raised jugular venous pressure, Rockwood clinical frailty score, and E5-QD QoL questionnaire score. The end-of-trial visit will record the same data.

At initial visit, participants will be initiated on placebo or SZC at initiation dose (i.e. 10 g three times daily). If participants are already taking RAASi medication, they will continue their current medication. If not, the study

| Table 1—Study schedule of procedures. C6 (i.e. 7 visits total) will occur only in participants not prescribed RAASi at study entry. Baseline blood tests: serum haemoglobin, ferritin, urea, creatinine, sodium, potassium, BNP, troponin, calcium, phosphate, PTH. Laboratory safety assessment: serum sodium, potassium, creatinine |
The doctor will start them on appropriate RAASi therapy per local guidance. Participants will be reviewed at 48 h where they will have serum potassium concentration measured and enter the maintenance phase. If serum potassium is 3.5–5.0 mmol/L, participants will begin standard maintenance-dose SZC (i.e. 5 g once daily). For other serum potassium concentrations, and for the remainder of the maintenance phase, dosing will be titrated as outlined in Table 2. If participants experience other adverse effects from RAASi therapy (e.g. symptomatic hypotension, < 90 mmHg recorded systolic blood pressure, postural hypotension), their RAASi dose will be down-titrated if clinically indicated.

After screening, recruitment, and randomisation, visits will take place every two weeks (± two days) until the end of the study. The number of visits will depend on participants’ baseline dose of ACEi / ARB / MRA. Patients with

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**Table 2** IMP dosage protocol

| SZC dose at start of visit | Serum potassium at visit | Dose change at end of visit |
|---------------------------|--------------------------|----------------------------|
| On 10 g daily             | 5.0–6.0 mmol/L           | Continue 10 g daily        |
|                           | 3.5–5.0 mmol/L           | Change to 5 g daily        |
|                           | < 3.5 mmol/L             | Stop                       |
|                           | > 6.0 mmol/L             | Stop and initiate rescue   |
| On 5 g daily              | > 5.0 mmol/L             | Change to 10 g daily       |
|                           | 3.5–5.0 mmol/L           | Continue 5 g daily         |
|                           | < 3.5 mmol/L             | Stop                       |
| On 0 g daily (i.e. temporary stop) | ≤ 5.0 mmol/L | Continue with no IMP |
|                           | > 5.0 mmol/L             | Initiate 5 g daily         |

Table 2—Dosage protocol for study visits based on serum potassium measurements. IMP may be restarted two weeks after discontinuation due to hypokalaemia depending on serum potassium result.
Following the final study visit, there will be no further follow up visits related to the trial.

Sample collection and analysis
Serum samples will be obtained by venepuncture by one of the research team. Samples for potassium, sodium, calcium, phosphate, urea, creatinine, ferritin, troponin T and BNP testing will be collected into 5 mL SST tubes. Samples for haemoglobin and PTH testing will be collected into 4 mL EDTA tubes. All analysis will be carried out at the NHS South West London Pathology laboratory at St George’s Hospital. With participant consent, serum samples will be saved and stored in a −80 °C freezer in the Cardiovascular Research Institute at St George’s for the duration of the study.

IMP and placebo information
The unlabelled study drug (SZC, brand name Lokelma®) or placebo will be provided by AstraZeneca PLC (Cambridge, UK) and delivered to St George’s research pharmacy in bulk. Lokelma® is licensed for use in the UK and European Union for the treatment of hyperkalaemia. The study drug will be labelled upon receipt by an independent company (RenaClinical, Horley, UK) and prepared in accordance with Good Manufacturing Practice and local regulatory guidance.

The study drug will be supplied as a powder for oral suspension in 5 g or 10 g sachets. The entire contents of the sachet should be emptied into a drinking glass containing approximately 45 mL of water and stirred well. The powder does not dissolve, and the tasteless liquid should be consumed while still cloudy. The study drug does not require any special storage conditions, can be taken with or without food, and can be taken with many other medications.

Outcomes
The main clinical outcome measure will be serum potassium concentration, which will be measured every two weeks, and the dose of RAASi at the end of the study. The statistical outcome measure will be achieving target level of RAASi without hyperkalaemia (i.e. serum potassium ≥5.6 mmol/L). Target RAASi doses are defined as maximum dosing as in Table 3.

Table 3—a—ARNI = angiotensin receptor-neprilysin inhibitor, BD = twice daily, OD = once daily. For analysis and dose titration, participants’ RAASi doses will be classified as low / medium / maximum based on the values in this table. Note—this is not a dose conversion table.

| ACEi       | Minimum dose | Half maximum dose | Maximum dose |
|------------|--------------|-------------------|--------------|
| Ramipril   | 2.5 mg OD    | 5 mg OD           | 10 mg OD     |
| Perindopril arginine | 2.5 mg OD  | 5 mg OD           | 10 mg OD     |
| Enalapril  | 2.5 mg OD    | 5 mg OD           | 10 / 20 / 40 mg OD |
| Lisinopril | 2.5 mg OD    | 5 / 10 mg OD      | 20 / 40 mg OD |
| Perindopril erbumine | 2 mg OD   | 4 mg OD           | 8 / 16 mg OD |

| ARB        | Minimum dose | Half maximum dose | Maximum dose |
|------------|--------------|-------------------|--------------|
| Losartan   | 25 mg OD     | 50 mg OD          | 100 mg OD    |
| Candesartan| 4 mg OD      | 8 / 16 mg OD      | 32 mg OD     |
| Irbesartan | 75 mg OD     | 150 mg OD         | 300 mg OD    |
| Telmisartan| 20 mg OD     | 40 mg OD          | 80 mg OD     |
| Olmesartan | 10 mg OD     | 20 mg OD          | 40 mg OD     |
| Valsartan  | 40 mg BD     | 80 mg BD          | 160 mg BD    |

| MRA        | Minimum dose | Half maximum dose | Maximum dose |
|------------|--------------|-------------------|--------------|
| Spironolactone | 12.5 mg OD | 25 mg OD          | 50 mg OD     |
| Eplerenone  | 12.5 mg OD   | 25 mg OD          | 50 mg OD     |

| ARNI       | Minimum dose | Half maximum dose | Maximum dose |
|------------|--------------|-------------------|--------------|
| Valsartan + sacubitril | 24 / 26 mg BD | 49 / 51 mg BD | 97 / 103 mg BD |

Table 3—ARNI = angiotensin receptor-neprilysin inhibitor, BD = twice daily, OD = once daily. For analysis and dose titration, participants’ RAASi doses will be classified as low / medium / maximum based on the values in this table. Note—this is not a dose conversion table.
Participants who discontinue the study before completion will be deemed as missing.

**Secondary outcomes**

1. Responder / non-responder classification, where a responder is defined as a patient who achieved maximum dose of MRA and ACEi/ARB with serum potassium $\leq 5.6 \text{ mmol/L}$;  
2. Responder / non-responder classification, where a responder is defined as a patient who achieved maximum dose of MRA and or ACEi/ARB and serum potassium $\leq 6.0 \text{ mmol/L}$;  
3. Time from randomisation to hyperkalaemia (serum potassium $\geq 5.6 \text{ mmol/L}$);  
4. Time from randomisation to severe hyperkalaemia (serum potassium $\geq 6.0 \text{ mmol/L}$);  
5. Number of ACEi/ARB, MRA dose changes;  
6. Number and duration of hospital admissions during the study;  
7. Change in serum potassium at respective visits vs baseline;  
8. Number of hospital admissions per patient, as well as duration of hospital admissions;  
9. Within-patient change in serum potassium as compared to baseline;

**Statistical analysis and sample size**

**Summary of baseline data and flow of patients**

We will perform an intention-to-treat analysis. The primary outcome (i.e. responder / non-responder status) will be analysed via $\chi^2$ test with $\alpha = 0.05$. The proportion of responders in each arm, the difference thereof, and the 95% confidence interval for the difference in proportions will be reported.

Additional analyses may be performed to explore the consistency of the effect across subgroups, for instance defined by the degree of MRA and/or ACEi/ARB treatment at baseline. Provided the modelling assumptions are satisfied (e.g. sufficient numbers of responders / non-responders in each arm), these will be done by logistic regression.

If there is loss to follow-up, sensitivity analysis will be conducted. Given the binary nature of the primary outcome, extreme scenarios such as the worst case in the intervention group (all losses to follow-up classified as non-responders) and the best case in the control group (all losses to follow-up are responders) will be considered.

**Secondary outcome analysis**

Descriptive summaries of the distribution of the secondary endpoints will be presented. More formal statistical analysis and modelling may also be performed: for responder/non-responder outcome (e.g. secondary outcomes 1 and 2), analysis may be done in the same manner as for the primary outcome. For time-to-event endpoints (e.g. secondary outcomes 3 and 4), survival techniques such as Kaplan-Meier estimates may be employed. The exact choice of analyses will be data-driven, so these analyses are to be regarded as explanatory.

**Sample size estimation**

Clinical evidence suggests that the proportion of patients reaching the target dose of RAASi in the control arm would be around 50% as a conservative estimation based on our experience. The study is powered to detect an effect size of the intervention of around 30%.

Group sample sizes of 52 will achieve approximately 90% power to detect a difference between the group proportions of 0.3 using a two-sided Z-test with pooled
variance and a two-sided $\chi^2$ test. The proportion of responders in the placebo arm is assumed to be 0.5 with the corresponding proportion in the active arm 0.8 under the alternative hypothesis. The significance level ($\alpha$) of the test is 0.05. This results in a total of 104 patients required and, accounting for 20% loss to follow-up, we will recruit 130 patients to be randomised to each group.

**Interim analysis and criteria for trial termination**

An interim analysis reviewing feasibility and tolerability will be performed once 10% of patients have completed the study. We envisage a further two interim analyses, upon collection of 50 and 75% of events. No early conclusions will be drawn until data collection is completed and the data is locked.

**Procedure(s) to account for missing or spurious data**

If data exhibit missing or spurious values, the circumstances of their collection, recording, and analysis will be investigated. Appropriate statistical analysis would be carried out depending on the type of data missing (i.e. independent or dependent variables).

**Data management and security**

Data will be collected into eCRFs and accessible to members of the study team by a unique username-password combination. Participants’ clinical data is stored securely by St George’s University Hospitals NHS Foundation Trust. Access will be granted to authorised representatives of the Sponsor, host institution, and regulatory authorities to permit trial-related monitoring, audit, and inspection in line with participant consent. Data management will comply with EU General Data Protection Regulation (2018) and the UK Data Protection Act (2018).

**Dissemination policy**

The Chief Investigator will liaise with all investigators to compile data and submit a manuscript for peer review with a view to publication in a reputable scientific journal within 180 days of study completion (i.e. the “Main Publication”). Following the Main Publication, other investigators may prepare further publications. Results from the study will also be communicated to trial participants and local and national patients’ associations.

**Discussion**

This trial will be the first to look at the use of SZC for the maximisation of RAASi dosing in CKD patients. Our hypothesis is that SZC therapy will reduce the incidence of treatment-limiting hyperkalaemia in CKD-HF patients, allowing higher doses of RAASi therapy and therefore better clinical outcomes. Achievement of the participant’s target RAASi dose is the primary endpoint, and secondary endpoints include measures to assess safety in terms of hyperkalaemia, cardiovascular risk in terms of troponin T levels [19, 20], and efficacy in terms of time-to-target dose. We will also assess the impact of achieving target RAASi dosing on hospital admission rates and duration of stay, with the hope that optimum RAASi treatment will translate into reduced morbidity and improved QoL.

The strengths of the study include its randomised, double-blind design, and the favourable safety profile of the trial drug. We intend that the design will provide high-quality evidence that, if our hypothesis is supported, will help guide changes in clinical practice. As SZC is approved for the treatment of hyperkalaemia in the UK and has a favourable safety profile, our study has the benefit of being low-risk to participants.

The side-effect profile of SZC is minimal and hence we hope that adherence to the study protocol will be satisfactory. Our statistical predictions have nonetheless accounted for a 20% dropout rate owing to participants’ decisions, movements out of the area, death, progression to renal transplant, or other causes. Our study design focuses on a single recruitment centre.

The novel value of this study centres around (I) its examinations of SZC to limit hyperkalaemia and allow RAASi maximisation in the under-studied combined CKD-HF patient group, and (ii) its expansion of the currently limited safety data on the use of RAASi therapy in advanced CKD patients. The joint CKD-HF clinic approach is an emerging practise, and, if clinical benefit is proven, we would hope to investigate future studies with wider scope for more extensive population validity.

**Abbreviations**

ACEi: angiotensin converting enzyme inhibitors; ACR: albumin-creatinine ratio; AE: adverse event; ARB: angiotensin receptor blockers; ARNi: angiotensin receptor-neprilysin inhibitors; BNP: B-type natriuretic peptide; CKD: chronic kidney disease; ECG: electrocardiogram; eCrf: electronic case report form; eGFR: estimated glomerular filtration rate; HF: heart failure; IMP: investigational medicinal product; MRA: mineralocorticoid receptor antagonists; PTH: parathyroid hormone; QoL: quality of life; RAASi: renin-angiotensin-aldosterone system inhibitors; SAE: suspected adverse event; SZC: sodium zirconium cyclosilicate

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Not applicable.

**Authors’ contributions**

Manuscript written by DM and DB. Statistical analysis planned and power calculations performed by ICS. Study conceived and planned by DM, ICS, JCK, LA, and DB. Authors have read and approved the final manuscript.

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**Availability of data and materials**

There are currently no plans to release raw data generated by the study.
Declarations

Ethics approval
The study has received ethical approval from the Cambridge NHS Research Ethics Committee and is pending approval from the Medicines and Healthcare Regulatory Authority.

Consent for publication
Not applicable.

Competing interests
The authors declare no other competing interests.

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