Influence of pharmacogenetics on response and toxicity in breast cancer patients treated with doxorubicin and cyclophosphamide

J Bray1,2, J Sludden1, MJ Griffin1, M Cole1, M Verrill2, D Jamieson1 and AV Boddy*,1
1Northern Institute for Cancer Research, Paul O’Gorman Building, Medical School, Newcastle University, Newcastle upon Tyne, NE2 4HH, UK;
2Northern Centre for Cancer Care, Newcastle Freeman Hospital, Newcastle upon Tyne, NE7 7DN, UK

BACKGROUND: Doxorubicin and cyclophosphamide (AC) therapy is an effective treatment for early-stage breast cancer. Doxorubicin is a substrate for ABCB1 and SLC22A16 transporters. Cyclophosphamide is a prodrug that requires oxidation to 4-hydroxy-cyclophosphamide, which yields a cytotoxic alkylating agent. The initial oxidation is catalysed by cytochrome P450 enzymes including CYP2B6, CYP2C9, CYP2C19 and CYP3A5. Polymorphic variants of the genes coding for these enzymes and transporters have been identified, which may influence the systemic pharmacology of the two drugs. It is not known whether this genetic variation has an impact on the efficacy or toxicity of AC therapy.

METHODS: Germ line DNA samples from 230 patients with breast cancer on AC therapy were genotyped for the following SNPs: ABCB1 C1236T, G2677T/A and C3435T, SLC22A16 A146G, T312C, T755C and T1226C, CYP2B6*2, *8, *9, *3, *4 and *5, CYP2C9*2 and *3, CYP3A5*3 and CYP2C19*2. Clinical data on survival, toxicity, demographics and pathology were collated.

RESULTS: A lower incidence of dose delay, indicative of less toxicity, was seen in carriers of the SLC22A16 A146G, T312C, T755C variants. In contrast, a higher incidence of dose delay was seen in carriers of the SLC22A16 1226C, CYP2B6*2 and CYP2B6*5 alleles. The ABCB1 2677A, CYP2B6*2, CYP 2B6*8, CYP 2B6*9, CYP 2B6*4 alleles were associated with a worse outcome.

CONCLUSION: Variant alleles in the ABCB1, SLC22A16 and CYP2B6 genes are associated with response to AC therapy in the treatment of breast cancer.

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Anthracycline-based adjuvant regimens have become the standard of care for early-stage breast cancer in the United Kingdom. The regimen of doxorubicin and cyclophosphamide (AC) is one of a number of available choices, with widespread use in patients with an indication for chemotherapy, but a low to moderate risk of recurrence. The combination of doxorubicin and cyclophosphamide was first tested by the National Surgical Adjuvant Breast and Bowel Project as a simple alternative regimen to replace cyclophosphamide, methotrexate and 5-fluorouracil (CMF), which had been established as an effective adjuvant treatment (Fisher et al, 1990). In 1998, a meta-analysis of 11 randomised trials was published by the Early Breast Cancer Trialists’ Collaborative Group, which explored polychemotherapy in early breast cancer. From this, it was apparent that anthracycline-containing combinations conferred a statistically significant and clinically relevant advantage in survival and recurrence compared with CMF (Early Breast Cancer Trialists’ Collaborative, 1998).

A number of factors are known to influence the response to AC chemotherapy, including tumour stage, grade, number of lymph nodes involved, oestrogen receptor (ER), progesterone (PR) and ERBB2 expression (Clarke et al, 2008). However, possible pharmacological receptor influences on the response to adjuvant therapy have not been widely considered. In particular, there have been few investigations of the possible influence of variations in the genes encoding transporters and drug metabolising enzymes relevant for the two drugs. Doxorubicin is subject to transport by the ABCB1 transporter (Fairchild et al, 1987; Bradley et al, 1988; Leith et al, 1999) and by the solute transporter SLC22A16 (Okabe et al, 1999; Ariyoshi et al, 2007). Cyclophosphamide is a prodrug activated by a number of different cytochrome P450 enzymes, including CYP2B6 (Chang et al, 1993), CYP2C9, CYP2C19 (Chang et al, 1997) and CYP3A5 (Roy et al, 1999). Each of these enzymes and transporter genes is known to exhibit a degree of genetic variation, characterised by single nucleotide polymorphisms (SNPs) (Ingelman-Sundberg et al, 1999; Aithal et al, 2001; Kim et al, 2001; Lal et al, 2007). These SNPs are present at significant frequencies in a European population and their influence on the pharmacology of a number of different agents has been characterised (Demorais et al, 1994; Aithal et al, 1999; Haas et al, 2004; Kimchi-Sarfaty et al, 2007).

The aim of this study was to examine the possible influence of SNPs on the tolerance, side effects and overall clinical outcome of AC chemotherapy in patients with breast cancer.
MATERIALS AND METHODS

Study design
A total of 230 patients were recruited to the study from 12th March 2002 until closure on 31st December 2007. All of the participants were recruited from Medical Oncology outpatient clinics within the Newcastle upon Tyne Hospitals NHS Foundation Trust and had been treated with a combination of doxorubicin and cyclophosphamide. This regimen comprises 60 mg m\(^{-2}\) doxorubicin and 600 mg m\(^{-2}\) cyclophosphamide administered intravenously on day 1 of each 21-day cycle, and repeated for a total of four or six cycles. All patients gave written informed consent and the study was given ethical approval by the Newcastle and North Tyneside Research Ethics Committee I.

A 10 ml blood sample from each patient was collected into an EDTA-containing storage tube and whole blood samples were stored at −20°C before DNA extraction. Laboratory genotyping analysis was performed on all samples for SNPs in the ABCB1, SLC22A16, CYP2B6, CYP2C9, CYP2C19 and CYP3A5 genes (details in Table 1). Clinical data were collected from patient notes and from the Trust laboratory and Patient Administration Service databases. Time to progression (TTP) and overall survival (OS) data were collected at Medical Oncology outpatient clinics, where patients attended 3-monthly from time of treatment to 2 years follow-up, 6-monthly from 2 to 5 years and annually for up to 10 years.

Genotyping
DNA was purified from whole blood samples using a QiAmp Maxi Blood kit (Qiagen, Crawley, UK). DNA yields were estimated spectro photometrically using a Nanodrop ND-1000 (Nanodrop Technologies, Wilmington, DE, USA). Genotyping for the CYP2C9*2, CYP2B6*5, CYP2C19 and CYP3A5 SNPs was performed by the commercially available Taqman Assays on Demand method and genotyping of the SLC22A16 gene was performed by a custom-designed Taqman assay as per the manufacturer’s instructions (Applied Biosystems, Warrington, UK). The CYP2B6*2, *3 and *4 SNPs were determined using a previously described RFLP method (Lang et al., 2001). The ABCB1, CYP2B6*8 and *9, and CYP2C9*3 SNP genotyping were performed using pyrosequencing as per the manufacturer’s instructions (Biotage, Uppsala, Sweden). PCR conditions for all the reactions together with primer and probe sequences and restriction enzymes used for the custom-designed assays are given in Supplementary Table 1.

Statistics
A multivariate Cox proportional hazards model, applying a forward likelihood ratio, was used to assess any influence on TTP or OS. Linkage disequilibrium was explored using a \( \chi^2 \) analysis. Pearson’s \( \chi^2 \) test was used to investigate the influence of SNPs on each AC chemotherapy tolerance end point (using 2 \( \times \) 2 table), unless a group contained five individuals or less, when Fisher’s exact test was used. To standardise these data, both TTP and OS are taken as commencing when the patient had their first AC dose, regardless of when they were recruited to the study. Kaplan–Meier charts and Cox regression were used to analyse and demonstrate the influence of SNPs on TTP and OS.

RESULTS

Patient demographics
A multivariate Cox proportional hazards model, applying a forward likelihood ratio, was used to rule out any influence on TTP or OS from the following factors: age, ethnicity (Caucasian, South Asian or East Asian), histological tumour type (ductal non-specific type, lobular or other), tumour size (<20.0 mm, 20.0–49.9 mm or 50.0–+ mm), tumour grade (I, II or III), unifocal or multifocal disease, number of involved axillary lymph nodes (none, 1–3 or +), ER status (positive or negative), PR status (positive or negative), ERBB2 status (positive or negative). ERBB2 status was only determined for 47 of the study participants as routine testing for new breast cancer presentations was introduced only in late 2005, after the date of presentation for most of our patients.

Table 2 illustrates the distribution of clinical and pathological factors within the patient population. At a median study follow-up of 63 months (range 1–107 months) 89% and 94% patients remain disease free and alive, respectively. Tumour pathology demographics were representative for patients receiving AC chemotherapy in this era.

Of the 173 (75%) of study patients with ER-positive disease, 161 received tamoxifen for a median of 46 months. Some patients received more than one type of hormonal modulation, because of a switch strategy, poor drug tolerance or extended adjuvant treatment. A total of 106 patients were treated with aromatase inhibitors (anastrozole, letrozole or exemestane) for a median of 19 months. There was no association between either tamoxifen or AI therapy and any of the SNPs as tested by \( \chi^2 \) analysis.

Genotype frequencies
The distributions of the genotypes and allelotypes are shown in Table 3. All frequencies were in Hardy–Weinberg equilibrium and were consistent with those seen in previously published Caucasian populations. Linkage disequilibrium (Supplementary Table 2) was explored using Pearson’s correlation co-efficient or a Fisher’s exact \( \chi^2 \) analysis. The previously reported LD among the ABCB1 SNPs was clearly seen, and was strongest between exon the 12 and 21 SNPs. Similarly, strong LD occurred for three of the SLC22A16 SNPs, with the A146G and T132C SNPs being in 100% LD. In contrast, the T1226C SNP was actually in negative association with the A146G and T132C alleles. Strong LD was also observed for the
two SNPs in CYP2B6 that contribute to the CYP2B6*6 allele, *4 (A785G) and *9 (G516T). There was a negative association between the CYP2B6*5 SNP and the CYP2B6*6 SNPs.

Genotype and toxicity indicators

One aim of this study was to correlate genotypes in ABCB1, SLC22A16, CYP2B6, CYP2C9, CYP2C19 and CYP3A5 with tolerance of AC chemotherapy, including delivered dose intensity and toxicity. Data were gathered retrospectively from the clinical and chemotherapy records of 229 of the patients for the specific end points of ‘requirement for dose delay’, ‘requirement for dose reduction’ and ‘inability to complete planned course’. In terms of dose delays, 21% of patients experienced at least one course of AC chemotherapy where the timing of the cycle was delayed. Variant carriers, compared with homozygous wild type, of the first three linked SNPs in the SLC22A16 gene showed a statistically significant lower incidence of AC dose delay (25% vs 13%, \( P = 0.031 \), 25% vs 13%, \( P = 0.031 \) and 23% vs 8%, \( P = 0.036 \) for A146G, T312C and T755C SNPs, respectively). Conversely, a significantly greater incidence of dose delay during AC treatment was seen in variant carriers of SLC22A16 T1226C (16% vs 28%, \( P = 0.021 \)), CYP2B6*2 (19% vs 55%, \( P = 0.013 \)) and CYP2B6*5 (18% vs 29%, \( P = 0.053 \)). Only 11 of the patients studied required a dose reduction and only 14 failed to complete their prescribed course. A lower incidence of dose reduction was associated with the CYP2B6*9 allele (8% vs 2%, \( P = 0.020 \)). There was no apparent influence of genotype on failure to complete course. Leucopenia and neutropenia were the most common reasons for dose delay. Carriers of the SLC22A16 T1226C minor allele also exhibited a higher incidence of leucopenia after the first cycle of therapy, compared with individuals who were wild-type homozygotes, (21% vs 6%, \( P = 0.004 \)). There was no association between genotype and leucopenia for any of the other SNPs studied.

Univariate analysis of genotype and survival

The end points used for clinical outcome measures in this study were TTP and OS. The most recent clinical data showed that 89% of study participants remain disease free after AC chemotherapy.

Table 2 Pharmacogenetic AC study patient demographics

| Demographic          | n  | Parameters | Frequency (%) |
|----------------------|----|------------|---------------|
| Follow-up            | 230| 63 months (median) |
| Age range            | 230| 57 years (median) |
| No. progressed       | 230| 25 |
| No. died             | 230| 15 |
| Ethnicity            | 230| European: 97 | South Asian: 2 | East Asian: <1 |
| Histology            | 229| Ductal: 83 | Lobular: 7 | Mixed: 3 |
| Focality             | 229| Unifocal: 86 | Multifocal: 14 |
| Tumour grade         | 227| I: 8 | II: 43 | III: 49 |
| Tumour size          | 229| <20 mm: 44 | 20–49.9 mm: 51 | \( \geq 50 \) mm: 5 |
| Involved nodes       | 230| 0: 63 | 1–3: 35 | \( \geq 4 \): 3 |
| ER status            | 230| Positive: 75 | Negative: 25 |
| PR status            | 219| Positive: 64 | Negative: 36 |
| ERBB2 status         | 47 | Positive: 55 | Negative: 43 | Mixed: 2 |

Abbreviations: AC = doxorubicin and cyclophosphamide; ER = oestrogen receptor; PR = progesterone receptor.

Table 3 Frequency results for AC study genotype and allelotype

| Genotype frequency, n (%) | Allele frequency, n (%) |
|---------------------------|-------------------------|
| Genotype                  | wt/wt | wt/v | v/v | wt | v |
| ABCB1 ex12 (C1236T)       | 66 (29) | 104 (45) | 60 (26) | 236 (51) | 224 (49) |
| ABCB1 ex21 (G2677T/A)     | 61 (27) | wt/vT = 98 (43) | wt/vA = 4 (2) | V/vT = 61 (27) | v/vA = 1 (0.4) |
| ABCB1 ex26 (C3435T)       | 41 (18) | 112 (49) | 77 (34) | 194 (42) | 266 (58) |
| SLC22A16 ex2 (A146G)      | 147 (64) | 71 (31) | 12 (5) | 365 (79) | 95 (21) |
| SLC22A16 ex2 (T312C)      | 147 (64) | 71 (31) | 12 (5) | 365 (79) | 95 (21) |
| SLC22A16 ex4 (T755C)      | 193 (84) | 36 (16) | 1 (0.4) | 422 (92) | 38 (8) |
| SLC22A16 ex5 (T1226C)     | 130 (57) | 84 (37) | 16 (7) | 344 (75) | 116 (25) |
| CYP2B6*2 (C64T)           | 219 (95) | 11 (5) | 0 (0) | 449 (98) | 2 (0.4) |
| CYP2B6*8 (A415G)          | 228 (99) | 2 (1) | 0 (0) | 458 (99.6) | 2 (0.4) |
| CYP2B6*9 (G516T)          | 110 (48) | 103 (45) | 17 (7) | 323 (70) | 137 (30) |
| CYP2B6*3 (C777A)          | 230 (100) | 0 (0) | 0 (0) | 460 (100) | 0 (0) |
| CYP2B6*4 (A785G)          | 125 (54) | 87 (38) | 18 (8) | 337 (73) | 123 (27) |
| CYP2B6*5 (C1459T)         | 165 (72) | 60 (26) | 5 (2) | 390 (85) | 70 (15) |
| CYP2C9*2 (C430T)          | 165 (72) | 58 (25) | 7 (3) | 388 (84) | 72 (16) |
| CYP2C19*3 (A1075C)        | 197 (86) | 33 (14) | 0 (0) | 427 (93) | 33 (227) |
| CYP3A5*3 (A6986G)         | 1 (0.4) | 32 (14) | 197 (86) | 34 (7) | 426 (93) |
| CYP2C19*9 (G681A)         | 163 (71) | 64 (28) | 3 (1) | 390 (85) | 70 (15) |

Abbreviations: AC = doxorubicin and cyclophosphamide; wt = wild-type allele; v = variant allele.
Carriers of the 2677A allele (n = 10/230) for ABCB1 demonstrated significantly shorter TTP and OS in the study population, with hazard ratios (HR) of 4.3 (CI 1.3–14.5, P = 0.018) and 4.8 (CI 1.1–21.6, P = 0.039), respectively, when compared to wild-type homozygotes pooled with carriers of the 2677T allele (Figure 1). There was no difference in TTP or OS associated with the other ABCB1 SNPs tested, nor the four SLC22A SNPs (Supplementary Table 3).

There was a trend towards shorter TTP and OS associated with rare allele homozygosity for the CYP2C19*2 SNP (Supplementary Table 3). Similarly, there was a trend towards shorter TTP associated with the CYP2B6*8 SNP (HR 6.772, CI 0.914–50.160, n = 2/230, P = 0.06) and patients heterozygous for this SNP had a significantly shorter OS compared with patients who were homozygous wild type (HR 11.906, CI 1.554–91.222, P = 0.017). However, the relevance of these data is uncertain, given the low number of rare allele homozygotes for both SNPs (n = 3/230 and 2/230, respectively).

SNPs within the CYP2B6 gene had the biggest impact on outcome in the cohort. The CYP2B6*2 SNP was associated with a shorter TTP (HR 4.646, CI 1.593–12.553, n = 11/230, P = 0.005), but with no discernable effect on OS (Figure 2A and B). The two highly linked CYP2B6 SNPs (*9 and *4) were also associated with a poorer outcome (Figure 3A, B; Supplementary Table 3). In contrast, and consistent with the greater incidence of dose delay, there was a trend towards longer TTP in carriers of the *5 allele (HR 0.325, CI 0.097–1.087, n = 65/320, P = 0.068; Supplementary Table 3).

The CYP2C9*2 and *3 alleles and the CYP3A5*3 SNP had no effect on TTP or OS (Supplementary Table 3).

Multivariate analysis of genotype and survival

The SNPs were subjected to multivariate Cox regression analysis using a forward stepwise likelihood ratio. The statistical significance of the association of the ABCB1 2677A allele with shorter
TTP and OS was retained, as was the association of the CYP2B6*8 allele with shorter OS and the CYP2B6*2 allele with shorter TTP.

**DISCUSSION**

The combination of doxorubicin and cyclophosphamide is widely used as adjuvant treatment for breast cancer. As AC, these two drugs are also important components of the FAC and ACMF regimens. Patients selected for adjuvant treatment typically have relatively early-stage disease and have a good probability of a prolonged disease-free period. However, it is imperative to apply the appropriate regimen and dosing schedule to ensure that all patients benefit from the most effective treatment, while minimising the risk of toxicity. The recent introduction of widespread testing for ERBB2, and prescription of trastuzumab for those patients positive for this prognostic marker (Cobleigh et al., 1998), has highlighted the importance of treatment individualisation.

The aim of this study was to investigate the impact of pharmacogenetic factors on the tolerability of AC chemotherapy and on treatment outcome, as measured by TTP and OS. As the median follow-up in this patient group was only 62 months, there were relatively few deaths recorded at the end of the study, reducing the power of our study regarding this end point. However, the most important outcome, as regards adjuvant treatment, is TTP, as after disease recurrence, patients will usually go on to receive other treatments, which may influence OS data.

A number of genetic variants were investigated in the breast cancer patients studied. The target genes were selected on the basis of their known importance for the metabolism and transport of either doxorubicin or cyclophosphamide. For doxorubicin, the genes most likely to influence the systemic pharmacology of this drug are the transporters ABCB1 (Mechetner et al., 1998) and SLC22A16 (Okabe et al., 2005). Recent papers have investigated the importance of variations in genes that code for the carbonyl reductase enzymes, which convert doxorubicin to doxorubicinol (Lakhman et al., 2005). However, these investigations have been far from conclusive and relate mainly to cardiotoxicity, which was not an end point in our study.

For cyclophosphamide, the main interest is in those enzymes that are responsible for metabolic activation to form 4-hydroxycyclophosphamide. These include CYP2B6, CYP2C9, CYP2C19, CYP3A4 and CYP3A5. Of these, the impact of CYP3A4 on the activation of cyclophosphamide appears to be minimal (Chang et al., 1993; Roy et al., 1999), and despite being highly polymorphic, the identified coding SNPs occur at a low frequency in northern European populations similar to the population in this study (Eiselt et al., 2001). CYP2B6 has been reported to be the major enzyme involved in the activation of cyclophosphamide (Chang et al., 1993). This is indirectly supported by our study, as variant alleles of CYP2B6 had the greatest impact on disease outcome, with CYP2B6*2, *8, *4 and *9 alleles being associated with poor prognosis. CYP2B6*5 was associated with a greater incidence of dose delay and may be associated with longer progression-free survival, both of which may be indicative of a higher rate of cyclophosphamide activation. However, though CYP2B6*2 was also associated with dose delay, paradoxically it was associated with shorter TTP, and this contradiction is currently unexplained.

Interpretation of these data in the context of the literature is made complex by the inconsistent reports on the impact of CYP2B6 SNPs on protein expression, enzymatic activity and cyclophosphamide pharmacokinetics. CYP2B6 variants have been associated with both decreased (Lang et al., 2001), (Jinno et al., 2003) and unchanged (Xie et al., 2003) protein expression compared with the wild-type gene. Increased specific activity associated with the variants has also been observed (Lang et al., 2001; Jinno et al., 2003). Similarly, though there are reports that CYP2B6 variants confer differences in the pharmacokinetics of cyclophosphamide (Xie et al., 2006), other studies have failed to show a statistically significant impact (Timm et al., 2005; Nakajima et al., 2007; Ekhart et al., 2008). Pharmacogenetic investigations into the impact of CYP2B6 SNPs on the pharmacokinetics of other drugs have shown fast and slow metaboliser phenotypes. For example, the CYP2B6*9 variant has been associated with a decreased clearance of the anti-retroviral reverse transcriptase inhibitor efavirenz (Haas et al., 2004; Ramachandran et al., 2009). Conversely, in a cohort of patients receiving thiopeta as therapy for a range of solid tumours, CYP2B6*5 variant carriers had a lower exposure to the CYP2B6 substrates, thiopeta and tepa, indicating a fast metaboliser phenotype (Ekhart et al., 2009). Given the inconsistencies in the literature and the low frequency of some of the CYP2B6 SNPs investigated in this study, these results should be treated as preliminary and require corroboration in an independent cohort.

The CYP2C19*2 SNP results in an aberrant splice variant that generates an alternative reading frame and a premature stop codon (Demoraas et al., 1994). The rare allele has been associated with a lower elimination rate constant for cyclophosphamide than the
wild-type allele (Timm et al, 2005) and a lower incidence of cyclophosphamide-induced premature ovarian failure (Takada et al, 2004). Other investigations have not observed a statistically significant effect on cyclophosphamide pharmacokinetics associated with the CYP2C19*2 SNP (Xie et al, 2006; Ekhart et al, 2008). Variants of the other CYP enzymes investigated in this study had no impact on outcome or toxicity in this cohort of breast cancer patients.

With regards to the transporters ABCB1 and SLC22A16, ABCB1 G2677T/A (variant A’ only) was associated with both a shorter TTP and OS, but had no impact on dose intensity. In contrast, the SLC22A16 T1226C was associated with a greater incidence of dose delay and leucopenia, but had no impact on survival. In a previous report investigating the effect of SLC22A16 genotype on doxorubicin pharmacology, only a minor effect of increased exposure to doxorubicin associated with SLC22A16 A146G rare allele homozygosity was observed, and the decreased incidence of dose delay associated with the same allele observed in this study is not consistent with an higher systemic exposure (Lal et al, 2007).

SLC22A16 expression in cancer cells is associated with an increased sensitivity to the cytotoxic effects of doxorubicin (Okabe et al, 2005). Although the functional significance of the T1226C variant form has not yet been characterised, it may be that patients with the variant genotype are able to take up more doxorubicin into both normal and tumour cells. This would be consistent with the higher incidence of dose delay in the patients carrying the variant allele. Although homozygous variants are present in less than 10% of the population, the total proportion of carriers of the variant allele is close to 40% and the effect seems to be maintained in the heterozygotes.

The other SNP found to have a significant effect on TTP was the ABCB1 G2677T/A. This non-synonymous variant results in substitution of either serine or threonine for alanine. This SNP has been widely studied, but the functional significance has not consistently been demonstrated (Lal et al, 2007; Nordgard et al, 2007). The A allele is relatively rare (3% of Caucasian population, only nine patients in this study), but the more common T allele has been reported to result in no functional change in ABCB1 activity (van den Heuvel-Eibrink et al, 2001) and this is reflected in the lack of effect of the T allele on treatment outcome.

Overall, a number of SNPs appeared to influence the tolerance and effectiveness of AC chemotherapy in this group of breast cancer patients, however, no formal correction for multiple testing was made and any findings should be viewed as preliminary. Further studies would be needed to validate these findings and to substantiate possible mechanisms.

Supplementary Information accompanies the paper on British Journal of Cancer website (http://www.nature.com/bjc)

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