We present a comprehensive experimental and theoretical study of the kagome ice Coulomb phase, that explores the fine tuning of critical correlations by applied field, temperature and crystal orientation. The continuous modification of algebraic correlations is observed by polarised neutron scattering experiments and is found to be well described by numerical simulations of an idealised model. We further clarify the thermodynamics of field tuned Kasteleyn transitions and demonstrate some dramatic finite size scaling properties that depend on how topological string defects wind around the system boundaries. We conclude that kagome ice is a remarkable example of a critical and topological state in a real system that may be subject to fine experimental control.

I. INTRODUCTION

A. Context

Kagome ice \cite{1,2} is a quasi-two dimensional magnetic state with finite configurational entropy and algebraic correlations, which is formed when a magnetic field is applied along the cubic [111] direction of a spin ice like Ho$_2$Ti$_2$O$_7$ and Dy$_2$Ti$_2$O$_7$. Theory \cite{3} predicts kagome ice to be a topologically constrained Coulomb phase that, through small tilts of the applied field, can be tuned toward lines of unconventional Kasteleyn transitions, with associated anisotropic algebraic scaling. The physics of kagome ice is extremely rich and subtle, exemplifying departures from the usual Landau-Ginzburg-Wilson (LGW) paradigm of continuous phase transitions in magnetism, towards alternative paradigms of topological constraint \cite{4}, hitherto only observed in soft matter \cite{5}. Phase transitions and scaling in such topologically constrained systems are of great interest as they present new challenges to theory \cite{6,7,8}. Yet experimental model systems are quite scarce and, in this sense, kagome ice, being a very clean and well defined magnetic state that is easily controlled by applied field, is a most valuable example.

Over the years there have been extensive experimental investigations of the thermodynamic properties of kagome ice \cite{9,10,11,12} as well as some neutron scattering studies of correlations \cite{13,14,15}. Despite this, and detailed analytical studies \cite{3}, the understanding of kagome ice has significant gaps. In this paper, we aim to complete the characterisation of static correlations in kagome ice by means of a direct confrontation between theory, experiment and numerical simulation. In particular, we present polarized neutron scattering experiments in the static approximation that we compare with our own thermodynamics and model simulations, as well as with the existing analytical predictions of Moessner and Sondhi \cite{3}. In this way, we are able to elucidate some new properties of kagome ice, and to subject the many theoretical predictions of Ref. \cite{3} to a detailed test against simulation and experiment. We broadly confirm the theoretical picture, adding further structure to the predictions of Ref. \cite{3}.

B. Description of kagome ice

In a spin ice, Ising-like magnetic moments point along the local easy axis directions – the body diagonals of the tetrahedra of the pyrochlore lattice or \langle111\rangle directions of the cubic unit cell – and their interaction energy is minimised by ensuring that the magnetic moments obey an ice rule, i.e. two spins point in and two point out of each tetrahedron (‘2-in–2-out’). This condition is equivalent to the ice rule that governs proton disorder in water-ice \cite{16,17}. It creates a three dimensional Coulomb phase \cite{18,19}, a classical spin liquid with emergent $U(1)$ symmetry and associated dipolar correlations.

Referring to Fig. [1] when a magnetic field of moderate strength is applied along the [111] direction, it pins one quarter of the spins, which occupy the vertices of triangular [111] planes. The remaining three quarters of

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the spins occupy the vertices of kagome lattices, which are stacked alternately with the triangular planes. Because these spins make a shallow angle with the field and have a lesser Zeeman energy than the pinned spin, the ice rule can compete with the field, such that one spin per triangle of the kagome plane has a component opposing the field, as also illustrated in Fig. 1. A subset of ice rule states with reduced entropy [11] is selected, and a magnetization plateau develops at \( \frac{2}{3} \) of the eventual magnetization, which signals the kagome ice state [1, 2, 9]. When the field is strong enough to overwhelm the ice rule, the remaining field-opposing spin is reversed, forming a unique, ice rule breaking configuration with ‘3-in(out)–1-out(in)’ on every tetrahedron.

The characteristic magnetization plateau [2, 9, 10], reduced residual entropy, and entropy release at plateau termination [12] were originally identified in Dy\(_2\)Ti\(_2\)O\(_7\), and the magnetization plateau was also identified in Ho\(_2\)Ti\(_2\)O\(_7\) [14–21]. An interesting aspect of kagome ice is the liquid-gas like critical point [9] that separates plateau termination by a first-order phase transition at low temperature from a more gradual crossover at higher temperature. The existence of this was first rationalized by the monopole theory of excitations in spin ices [22], in which it can be identified as the critical end point of the monopole crystallisation transition [23]. Differences in the exact ratio of competing exchange and dipolar interactions result in a critical field that is somewhat lower in Dy\(_2\)Ti\(_2\)O\(_7\) (\( H_c \approx 0.9 \) T) than in Ho\(_2\)Ti\(_2\)O\(_7\) (\( H_c \approx 1.6 \) T).

C. Coulomb phase and Kasteleyn transition

As in the case of spin ice in zero field, we can distinguish a near-neighbour model of kagome ice in which spins are coupled ferromagnetically and where ice-rule breaking defects carry no magnetic charge, from one which includes dipole interactions, which leads to a magnetic charge on each defect. We will refer to both classes of topological defects as magnetic monopoles. In fact the physics discussed in the present paper is almost entirely that of the vacuum for such defects, which is the Coulomb phase. Hence we concentrate on the near-neighbour model with ice rule breaking defects suppressed. One key signature of the Coulomb phase is the appearance of pinch points in the diffuse neutron scattering pattern of kagome ice, as observed in Ho\(_2\)Ti\(_2\)O\(_7\) [14] and Dy\(_2\)Ti\(_2\)O\(_7\) [13, 15]. These pinch points occur at the zone center for the kagome lattice rather than that of the pyrochlore lattice, where the pinch points for spin ice [20] in zero field occur. This difference indicates the change from a three to a two-dimensional Coulomb phase.

The topological nature of Coulomb phases [24] leads to unconventional phase transitions [6, 25, 26]. A particular example is the Kasteleyn transition, originally predicted for dimers on the honeycomb lattice [24, 27, 28] (which form a Coulomb phase [24, 28]), and observed experimentally, to a good approximation, in a lipid bilayer phase transition [5]. Later, this transition was predicted to occur in both two- [3, 29] and three- [30–33] dimensional settings in spin ice.

A finite concentration of monopoles destroys the topological phase transition and the associated thermodynamic singularities [31–33, 34], so that the transition is formally unobservable if the energy scale for monopole creation is finite. However, if the monopole concentration is small enough, the asymptotic approach to the transition is observable, but unless the dynamics are non-local, such as in the worm Monte Carlo algorithm discussed below, a finite monopole concentration is necessary to main-
taint equilibrium [33]. In real systems a best compromise is required between a low monopole concentration and ergodic evolution.

The connection between kagome ice and the honeycomb lattice dimer model was originally made by Moessner and Sondhi [3], who presented an analytical calculation of the spin-spin correlation functions in kagome ice, as well as a theory of the Kasteleyn transition. A key prediction of the theory is that the Kasteleyn transition would be accompanied by unconventional scaling of the generalized susceptibility, manifesting as the movement of certain features in the diffuse neutron scattering structure factor. Although some features of this theory have been observed [14], the detailed predictions of anisotropic scaling of algebraic correlations were not tested in previous work. Here we test them for the first time.

The kagome ice phase also corresponds to the KII phase of ‘dipolar kagome spin ice’ [35, 36]. For a discussion of this relationship, we refer the reader to Appendix A.

D. Plan of the paper

The plan of the paper is as follows. In section II we review the relevant parts of the theory of Ref. [3] and add to this our analysis of the thermodynamic and critical properties. In section III we describe our numerical simulations of kagome ice and its Kasteleyn transitions and in Section IV we describe our neutron scattering experiments and their comparison with the numerical simulations and the theory. Our main findings are discussed in Section V and we draw conclusions in Section VI.

II. THEORY

A. Kasteleyn Transition

1. The model

Convenient unit vectors for describing kagome ice are defined (Fig. 1) by the direction of the applied field, where the vertical direction is defined as \( \hat{z} = [111]/\sqrt{3} \), and the two perpendicular horizontal directions spanning the kagome plane are \( \hat{x} = [110]/\sqrt{2} \) and \( \hat{y} = [112]/\sqrt{6} \). The field may be tilted away from \( \hat{z} \) towards \( \hat{y} \) by the angle \( \theta \), and any rotation of the resulting in-plane field component from \( \hat{y} \) toward \( \hat{x} \) is quantified by the angle \( \phi \). In this work, all fields are applied along [111], selecting the \( Z_2^+ \) topological sector (as opposed to the [111] and \( Z_2^- \) respectively). Our system is further defined such that ‘up’ tetrahedra have the spin in the triangular lattice above the kagome plane relative to the applied magnetic field along \( \hat{z} \), and ‘down’ tetrahedra the opposite; a triangle in the kagome plane derives its up/down identity from its tetrahedron.

The Zeeman energy of a spin in the presence of magnetic field \( \vec{B} \) is \( E^B = -\vec{\mu} \cdot \vec{B} \), where \( \vec{\mu} \) is the single-ion magnetic moment. When the field is exactly aligned along the [111] direction with \( \vec{B} = B\hat{z} \), for the kagome plane spins \( E^B = \mp \frac{1}{3} |\vec{\mu}|B \), in the ratio 2:1, so that the kagome ice microstates have equal probability. Tilting the field away from \( \hat{z} \) towards \( \hat{y} \) by an angle \( \theta > 0 \) gives a contribution to the Zeeman energy from the in-plane spin components, which singles out one sublattice in the kagome plane (labelled with index \( \kappa = 1 \)) as the preferred location for the field-opposing spin, while keeping the other sublattices (\( \kappa = 2, 3 \)) equivalent [3, 14, 29]. The tilt can be further generalised by rotating in the \( x-y \) plane by an angle \( \phi \), which further lowers the symmetry, distinguishing all three sublattices.

2. Spins and pseudo-spins

Given that classical spin ice is built from discrete spin degrees of freedom, it often proves convenient to reformulate the problem in the language of an Ising model, introducing pseudo-spin degrees of freedom, \( \sigma_i = \pm 1 \). Taking an up tetrahedron as the crystallographic basis, the four spins align with respect to the local axes \( \vec{d}_0 = \hat{z} = \frac{1}{\sqrt{3}}[1, 1, 1], \vec{d}_1 = \frac{1}{\sqrt{3}}[-1, -1, 1], \vec{d}_2 = \frac{1}{\sqrt{3}}[-1, 1, -1], \vec{d}_3 = \frac{1}{\sqrt{3}}[1, -1, -1] \), so that \( \vec{d}_\kappa \cdot \vec{d}_0 = -\frac{1}{3} \). In the convention that \( \sigma_i = 1 \) corresponds to a spin pointing out, the pseudo-spin is defined

\[
\sigma_i = \frac{\vec{\mu}_i \cdot \vec{d}_i}{|\vec{\mu}_i|}.
\]

In terms of these variables, spin ice maps to an Ising antiferromagnet with 2-in–2-out becoming ‘2-down–2-up’ for pseudo-spins and the nearest neighbour model in zero field is the antiferromagnet studied by Anderson [37].

In the kagome ice problem, 2-in–1-out becomes 2-down–1-up for pseudo-spins. The Zeeman energy of a kagome plane spin \( \kappa \), with field along the \( \hat{z} \) axis, can be written \( E^\kappa_B = -\sigma\vec{\mu}_i \hat{B} \), with \( \hat{B} = \mp |\vec{\mu}|B \) a pseudo-magnetic field in the reverse, \( -\hat{z} \) direction. Hence, the three kagome plane spins map onto a kagome antiferromagnet in an external field. The pseudo-spin correlations can be accessed through the out-of-plane spin components which, as we discuss below, can be measured in the non-spin flip channel in polarised neutron scattering experiments.

3. Dimer mapping

Moessner and Sondhi’s mapping to hardcore dimer configurations on a honeycomb lattice [3] works as follows. The honeycomb lattice and the kagome lattice are a parent/medial pair, with sites of the kagome lattice at the mid-point of the links of the honeycomb lattice [19].
Figure 2. (a-c) Kagome ice, showing the relationship of down spins (orange arrows) and dimers (dark grey rods), where down-triangles are shaded grey. (a) Disordered structure. (b) Long range order induced by a field tilted towards the $\hat{y}$ direction. (c) Partial order resulting from a tilted field perpendicular to the $\hat{y}$ direction. (d) the Kasteleyn phase diagram, where the central kagome ice state is surrounded by long range order depending on sublattice $\kappa = 1, 2, 3$ selected by the tilted field.

A dimer is placed on a link of the honeycomb lattice located by a kagome site carrying a field-opposing spin, i.e. the outward pointing spin of an up triangle. The kagome sublattice on which the dimer resides is specified by the index $\kappa$, as defined above. Fig. 2 shows how, if the ice rules are obeyed, there is a single dimer per unit cell and no dimers can touch [27]. The entropic phase of kagome ice is therefore a hardcore dimer liquid which has critical correlations and corresponds to the Coulomb phase for the spins.

As the dimers have no internal energy, the “particle enthalpy” for this system is $\langle H \rangle = -\sum_{\kappa} \langle N_\kappa \rangle \mu_\kappa$ with $\langle N_\kappa \rangle$ the mean number of dimers on sublattice $\kappa$ and $\mu_\kappa$ the relevant chemical potential. The $\mu_\kappa$ correspond to the change in the in-plane component of Zeeman energy of spin $\kappa$ when it is flipped to become the out-pointing spin of the triangle:

$$\mu_1 = \left(\frac{4\sqrt{2} |\vec{\mu}| B}{3}\right) \sin \theta \cos \phi$$

$$\mu_{2,3} = -\left(\frac{\sqrt{2} |\vec{\mu}| B}{3}\right) \sin(\cos \phi \pm \sqrt{3} \sin \phi). \quad (2)$$

These values are defined with respect to a large, positive and constant term which imposes the constraint that $N_1 + N_2 + N_3 = \frac{N}{3}$, with $N$ the number of spins. The chosen sign giving the favourable placement on the first sublattice with $\mu_1 > 0$ is consistent with the standard conventions of thermodynamics and allows us to define a standard fugacity for dimer placement, $z_\kappa = e^{\mu_\kappa/k_B T}$.

A Kasteleyn transition to dimer alignment on sublattice $\kappa = 1$ occurs when $z_1$ is equal to the sum of those for the other two sublattices [3, 27]: $z_1 = z_2 + z_3$. The transition is from the dimer liquid phase to a dimer solid phase and the phase diagram, illustrated in Fig. 2d, has three-fold symmetry. In terms of the spins, the condition $z_1 = z_2 + z_3$ corresponds to a long-range ordered, ice-rule obeying state in which the field-opposing spins of the kagome plane are all located on sublattice $\kappa = 1$ (Fig. 2b).

4. Phase Diagram

To discuss the phase diagram [3], it is convenient for us to define a scale free parameter

$$Y(B,T,\theta) = \frac{2\sqrt{2} |\vec{\mu}| B \sin \theta}{\ln 2} \quad (3)$$

and to determine the value $Y_K$ that this parameter takes at the Kasteleyn transition. The critical parameter $Y_K$ will also be a function of the angle $\phi$ and will play an important role in our future discussions. Moessner and Sondhi previously named this parameter $h$, but we have chosen $Y$ to avoid confusion with reciprocal space labelling, i.e. $(h,k,l)$.

The fugacity relation at the transition is derived in detail in Appendix B and can be written [38]

$$\cosh \left(\frac{Y_K \sin (\phi) \ln 2}{\sqrt{3}}\right) = 2^{Y_K \cos (\phi)-1}. \quad (4)$$

For $\phi = 0$ this is solved for $Y_K = 1$ giving a surface of Kasteleyn transitions (denoted by subscript $K$) in the space of $B, T, \theta$:

$$k_B T_K = \frac{2\sqrt{2} |\vec{\mu}| B \sin \theta}{\ln 2} \quad (5)$$

Usually two parameters will be fixed, typically $\theta, B$ (in addition to $\phi$), which then uniquely defines the transition point $T_K$. As the field is rotated in the plane, an iterative solution can be found, with $Y_K \to \infty$ for $\phi = \pm 60^\circ$, corresponding to the transition temperature falling to zero.

The characteristic triangular form of the phase diagram with three lines of Kasteleyn transitions separated by discontinuous points thus reflects the symmetry of the kagome plane and rotation of the field between the equivalent $(112)$ and $(110)$ axes, as shown in Fig. 2. The ordered dimer phase and, therefore, the saturation magnetization, lies along a local $\hat{y}$-axis, even for arbitrary $\phi$. Hence, for such an arbitrary field tilt, the induced in-plane magnetization follows the in-plane field direction.
at high temperature, but as the transition is approached it swings away from the field to order finally along one of the (112) axes.

Given this three-fold symmetry, the case \( \theta > 0, \phi = \pm 60^\circ \) is equivalent to a tilt with \( \theta < 0 \) and \( \phi = 0 \). In this situation the dimer/field-opposing spin may occur with equal probability at sites with \( \kappa = 2, 3 \). No Kasteleyn transition occurs because the degeneracy of the ice rule states is not fully lifted. Although the entropy is reduced by the tilted field, the resulting state has chains of spins running across the kagome planes that need not be correlated with each other (see Fig. 2c). These are the so-called \( \beta \) chains found when the field is applied along a (110) type direction of a spin ice\[39, 40\], or columnar order for dimers. (\( \alpha \) chains are also present, formed by the apical spins and the uniquely selected kagome sub-lattice where the spin cannot oppose the field.) The dependence on \( \theta \) can be seen to be asymmetric because in the pyrochlore lattice tilts with \( \theta > 0 \) represent a tilt of the field toward the bisector of a tetrahedron face, where degeneracy is fully removed [11], while tilts with \( \theta < 0 \) represent a tilt of the field toward a tetrahedron edge, where degeneracy is only partially removed [39, 40].

B. Topological Excitations

For a model system, with periodic boundaries, lying within the constrained manifold of states that satisfy the ice rules, the only allowed excitations are correlated spin flips around closed loops. These can be either short loops within the system, which do not change the magnetization, or long loops that span the entire system. The latter, which we call strings, are topological excitations. As a consequence, the magnetization defines the topological sector [42], and both are changed by flipping such strings.

Starting from the ordered state, the only available excitations are the system-spanning strings, which cost an energy that scales linearly with the system size, \( L \). As a result, the system remains completely frozen at low temperature. However, the entropy introduced by a string also scales linearly with \( L \), so that their introduction becomes favorable above a singular threshold, which is the Kasteleyn transition. The transition condition, Eqn. 4 follows directly by tracking the free energy cost, \( \delta G \) of introducing a string into the ordered state. As the string passes through each unit cell, the change in Zeeman energy (or dimer number enthalpy) is \( \delta e \) and the entropy creation, \( \delta s \), so that \( \delta G = L(\delta e - T\delta s) \), which is zero at the transition. The system can access different string configurations by flipping short loops of spins or dimers. When adding a second string, the two strings cannot pass through the same triangle. Consequently there is an entropic repulsion between strings and the free energy cost of adding the second string is slightly greater than the first ensuring that the transition is continuous rather than discontinuous.

Such strings can be found in other problems of statistical mechanics, mapping onto world lines for hard core bosons undergoing Bose condensation at the transition [41] or onto directed polymers [43]. In both cases the strings can be thought of as walkers making ballistic progress against the direction of ordering while diffusing in the \( d-1 \) dimensional plane perpendicular to this direction. Note that, in spin ice, the strings can, in principle, meander in three dimensions. In practice, the apical spin is considered to be firmly fixed so that we only consider string and loop excitations in isolated planes and we only simulate a single kagome plane, later returning to the relation with three dimensional loops in the discussion.

C. Thermodynamics

1. Free energy

As the magnetic ordering occurs along one of the local (112) axes we can restrict the thermodynamic discussion to the case \( \theta > 0, \phi = 0 \) without loss of generality. Including a finite in-plane angle \( \phi \) changes the finite size scaling properties at the transition, as shown in detail below, but the thermodynamics of the transition is captured by this constrained case. We define \( M = \langle \sum_i \hat{\mu}_i \cdot \hat{y} \rangle \), the dimensionless in-plane component of the total magnetic moment, and its conjugate magnetic field variable \( H = B|\hat{\mu}| \sin \theta \), the in-plane component of the applied field, in energy units.

All microstates forming the kagome ice manifold have the same internal energy so that the Helmholtz free energy, \( F(M, T) = -TS(M) \) is purely determined by the system entropy. There are, however interactions, in the form of the hardcore dimer constraints and these are ultimately responsible for the phase transition but they do not appear directly in the phenomenology. Phase transitions driven only by entropy are actually not so rare for hard particle systems[44, 45], but two things single out the Kasteleyn transition. The first is that no symmetry, either microscopic or emergent, is broken at the transition, placing the transition outside the usual paradigm in which phase transitions and symmetry breaking in phase space go hand in hand. The second is that the transition occurs for \( S = 0 \) making it anisotropic, with zero fluctuations on the low temperature-high field side.

Despite these particularities a complete thermodynamic description is possible. As in a paramagnet, the two intensive variables \( H, T \) collapse into a single thermodynamic variable [38]:

$$\frac{H}{k_B T} = \frac{1}{k_B T} \frac{\partial F}{\partial M} = -\frac{\partial S}{k_B \partial M}. \quad (6)$$

In a paramagnet, the entropy approaches zero as the magnetisation saturates, but the slope \( \frac{\partial S}{\partial M} \) is infinite, precluding a phase transition at finite temperature. Here, the entropy is also zero at saturation but the Kasteleyn
phase transition for the finite ratio \((H/k_BT)_{K}\) ensures that the entropy must go to zero with a finite slope.

2. Landau-style expansion

The asymmetry of the Kasteleyn transition has led previously to a classification lying between first and second order \([4]\), although on the high entropy side the transition satisfies all the thermodynamic and phenomenological criteria of a second order transition. The honeycomb lattice dimer problem can be solved exactly with the calculation of the partition function, all thermodynamic quantities and correlation functions, but it is useful to develop the phenomenology of the transition through the construction of a Landau-like free energy \([43, 46]\).

The Gibbs potential, \(G = F - HM\), can be expanded in powers around the saturated moment \(M_{\text{max}}\). Introducing the dimensionless variable \(m = \left(\frac{M_{\text{max}}}{Nk_BT}\right)\) and the dimensionless parameter \(\eta = \left(\frac{H}{k_BT}\right)\) it follows that

\[
\frac{G^*}{Nk_BT} = -\eta m + \frac{\alpha_2}{2}m^2 + \frac{\alpha_3}{3}m^3 + \cdots - \mu_0 MH_{\text{max}}/Nk_BT,
\]

where \(\alpha_i\) are the parameters of the expansion of \(G^*\) in \(m\). Minimising with respect to \(m\), the leading term of the Gibbs free energy is \(G \sim -\eta^{1+\beta}\), with \(\beta = 1\) at this mean field level, while the exact solution \([27]\) yields \(\beta = 1/2\) \([3]\). The entropy, \(S = -\frac{G}{T} \sim \eta^\gamma \sim m\), indeed scales linearly with \(M\) near the transition, consistent with the finite value for \((H/k_BT)_{K}\).

The magnetisation is singular around its maximum value, \(m \sim \eta^\beta\) and, as there is a single intensive thermodynamic variable, the critical exponents for the susceptibility \((-\frac{\partial^2 G}{\partial m^2})\) and specific heat \((-T\frac{\partial^2 G}{\partial T^2})\), \(\gamma\) and \(\alpha\) are equal. As a consequence the Rushbrooke scaling relation \(\beta + 2\gamma = 2\) reduces to \(\beta + \gamma = 1\). This means that the one scaling dimension \(\Delta = \gamma + \beta\) is unity, which excludes anomalous scaling, ensuring Gaussian exponents for dimensions below the upper critical dimension \([17]\).

The singular free energy can be equated to the inverse correlation volume, \(G \sim \xi^{-d-1}\xi_y^{-1}\) where \(\xi_y \sim \eta^{-\nu_y}\) and \(\xi_x \sim \eta^{-\nu_x}\) are the diverging correlation lengths parallel and perpendicular to the ordering direction. From this the modified hyperscaling relation, \(1 + \beta = (d-1)(\nu_x + \nu_y)\) follows \([17]\). From the insertion of a single string with its ballistic and diffusive nature parallel and perpendicular to the ordering direction respectively, we can anticipate that \(\nu_y = 1\) and \(\nu_x = 1/2\), consistent with the absence of an anomalous scaling dimension. Putting the mean field value, \(\beta = 1\) into the hyperscaling relation gives an upper critical dimension of \(d = 3\) (Ref. \([39\text{ and } 43]\)) so that the two-dimensional problem is outside the mean field regime. Indeed the exact result yields \(\beta = 1/2, \gamma = 1/2\).

Hence, from this analysis one can conclude that singular part of the free energy satisfies the scaling and hyper-scaling relations for a critical point for a one parameter system.

D. Correlation functions

From the analytic solutions for the correlation function \([3]\), one finds the following expressions for the correlation lengths:

\[
\xi_x^{-1} = \arcsin \sqrt{1 - 4T^{-1}} \quad (8a)
\]

\[
\xi_y^{-1} = \xi_x^{-1} \frac{q^2 - T}{3} \sqrt{1 - 4T^{-1}}, \quad (8b)
\]

which near the transition take the power law forms discussed above. Unusually, the development of diverging correlation lengths does not signal the onset of power law correlations. In the Coulomb phase, with zero tilted field, both spin and dimer correlation functions are already of dipolar form with, in two dimensions, correlations falling as \(\sim 1/r^2\) at large distance, giving characteristic logarithmic divergences with system size, for the structure factors. The growing correlation lengths introduce an anisotropy to the correlation functions with in-plane distance \(r\) replaced by an effective scale

\[
r' = \sqrt{x^2 + \left(\frac{\xi_y}{\xi_x}\right)^2 y^2}. \quad (9)
\]

Structure factors for both pseudo and real spins can be accessed by polarized neutron scattering (see below). As the transition is approached, the developing anisotropy causes peaks in both structure factors to drift towards the Brillouin zone centre and to sharpen, arriving there as the transition is reached. Kagome ice therefore has field-tunable critical correlations, with the drift determining the ratio of the correlation lengths \([3]\).

III. NUMERICAL SIMULATIONS

A. Details of the simulations

The Hamiltonian of two dimensional kagome ice is limited to the Zeeman energy term

\[
\mathcal{H} = -\vec{H} \cdot \sum_i \vec{S}_i. \quad (10)
\]

Here \(\vec{S}_i\) is a dimensionless vector of length \(S_L = \frac{2\sqrt{3}}{3}\), describing the component of the magnetic moment lying in the \(x - y\) plane: \(\mu_i = |\vec{p}| \vec{S}_i + \mu_2 \hat{z}\), and \(\vec{H}\) is the field component in the plane for arbitrary \(\phi\), again expressed in energy units.

This system was updated using a worm algorithm, full details of which are given in Appendix C. In the following, we report simulations of diffuse neutron scattering from the magnetic moments with both in-plane and \(\hat{z}\)
components. In a polarized neutron scattering experiment, the in-plane spin components will be observed in the spin flip (SF) channel, while the \( \hat{z} \) components, corresponding to the pseudo-spin variables, will be observed in the non-spin flip (NSF) channel.

The majority of our simulations were carried out on a system of 8112 spins \((L \times L \text{ kagome unit cells with } L = 52)\) with periodic boundary conditions. For finite size scaling analysis the simulation sizes were extended to 99856 spins \((L = 316)\). At the start of the simulation, the system was placed in an ordered state at zero temperature (as illustrated in Fig. 2b), and then evolved upward in temperature under constant field. The diffuse scattering maps were each generated from 100 independent spin configurations.

B. Simulated Kasteleyn transition

1. Neutron scattering map at \( \theta = \phi = 0 \)

We first examine the manifestations of the Kasteleyn transition in the diffuse neutron scattering structure factor as predicted in Ref. [3].

Fig. 3 illustrates the scattering patterns for \( \theta = 0 \). These are six-fold symmetric pinch point patterns characteristic of the kagome ice Coulomb phase. They includes sharp Bragg peaks at the \((2, 2, 0)\) points signalling the partial all-in-all-out order [32]. As the tilt is applied, the in-plane field lowers this symmetry to two-fold with the development of arms of more intense scattering either side of the ordering direction [48]. In Fig. 4 we show the general features of the pseudo-spin (NSF) and non-collinear spin (SF) structure factors, and an example of their evolution in tilted field with \( \theta > 0 \) and \( \phi = 0 \).

Our numerical results are consistent with experiment (see below) but show differences with the analytical predictions of Moessner and Sondhi [3]. In the pseudo-spin or NSF structure factor a single peak appears at \((q_x = 4/3, q_y = 0)\) as compared to the group of three peaks located symmetrically around \((1, 1, 0)\) \((q_x = 1, q_y = 0)\) and including \((2/3, 2/3, 0)\) \((q_x = 2/3, q_y = 0)\) predicted in Ref. [3] (i.e. peaks at \((8\pi/3, 0)\) as against \((4\pi/3, 0)\) respectively, in the coordinates of Ref. [3]).

2. Drift of peaks at \( \theta \neq 0, \phi = 0 \)

Both the simulated spin and pseudo-spin structure factors confirm that the diffuse peaks drift [3], with increasing field tilt, from their initial locations close to the Brillouin zone boundaries, towards the pinch points at the zone center. The intensity of the peaks (not illustrated here, see Ref. [3]) is further confirmed to depend logarithmically on the size of the system as predicted [3], while the Bragg peak intensities scale linearly with the system size. The peak shape (Fig. 5) is also predicted to be logarithmic [3], and is well described by back-to-back logarithmic decay functions, which affords a superior description to a single Lorentzian (although the difference turns out to be less significant in experiment – see Fig. 5 b). The pseudo-spin structure factor corresponds to that of an Ising antiferromagnet on the kagome lattice, constrained to the “two-up-one-down” sector of states by an external field.

In Fig. 6 we show the inverse correlation length extracted by tracking the position of the logarithmic peak at \((q_x = 4/3, q_y = 0)\) in the NSF channel (i.e. the pseudo-spin correlation function). The peak positions are extracted from the simulated scattering pattern by applying the same peak tracking algorithm that we apply to the experimental data and described in full in Appendix E. We see that, despite the peak appearing at a
different reciprocal space position, the correlation length extracted from its drifting position as the Kasteleyn transition is approached is as predicted [3]. No feature of the scattering pattern was proposed in Ref. [3] for the independent measurement of $\xi^{-1}_y$, and it can be seen in Fig. 4 that no peak does actually move along the $y$-direction when the tilted field is symmetric ($\theta > 0, \phi = 0$). However, $\xi^{-1}_y$ does depend on $\xi^{-1}_x$ and if the extracted values of $\xi^{-1}_y$ are used to obtain $\xi^{-1}_y$ as prescribed, we see that it does scale as predicted. Usually inverse correlation lengths are measured using the width of features in reciprocal space. We find that the width of the logarithmic peak along both $x$ and $y$, at the peak position, scales like $\xi^{-1}_x$, as shown in Fig. 7.

C. Exploration of general tilt, $\phi \neq 0$

In this section, we identify some topological consequences of general tilt, $\phi \neq 0$.

1. Scattering function

Finite $\phi$ acts to further lower the symmetry for dimer placement in the kagome plane and hence further lowers the symmetry of the in-plane scattering function. The effects of this reduced symmetry are shown in Fig. 8 where the two-fold symmetry observed for $\phi = 0$, which is visible in Fig. 4, is reduced, with the emergence of an ‘arm’ of intense scattering on each side of the scattering plane. However, features still drift as the system evolves towards the Kasteleyn transition, as shown in Fig. 9.

2. Finite Size Scaling of the Susceptibility

Rotating the tilted field in the plane has spectacular consequences for the string insertion close to the transition. In Fig. 10 we show a snapshot of the in-plane spins for $\phi = 50^\circ$ in which we highlight the first string placed in the system. The string progresses through the system propagating in a mean direction, $\phi' < 0$ which minimises the unfavourable Zeeman energy (see Appendix C). This makes it incompatible with the periodic boundaries, forcing it to make multiple loops of the system before closing on itself. The multiple passages are characterised by a cut variable $Y$, as shown in the figure.

This approach to incommensurability has a dramatic effect on the finite size scaling properties of the susceptibility $\chi$, which following the finite size scaling hypothesis can be written, close to the transition in the form

$$\chi = \eta^{-\gamma}G \left( \frac{\xi_x}{RL}, \frac{\xi_y}{L} \right),$$

where $G$ is a scaling function and $R = (\frac{1}{L})^{\frac{2d_c}{d}}$ is an incommensurability function similar to the shape function studied in the context of directed polymers [43]. When $\phi = 0$, as $\xi_y \gg \xi_x$, the scaling function should be dominated by the ballistic propagation of the strings in the $\hat{y}$
Figure 5. (a) Simulated logarithmic peak in the SF channel ($\Upsilon = 0.54$) at approximately $(1/3, -1/3, 2/3)$ ($q_x = -2/3, q_y = -1/3$) (see Fig. 4). A comparison of fits of two logarithmic decay functions ($a \log(b - x) + c$) that intersect at infinity at the peak maximum with a fit to a single Lorentzian. (b) For comparison we include the experimental data of Fig. 14, where this peak is marked by crosses. The estimated $\Upsilon$ for the experimental data is 0.45 for $\theta = 0.77^\circ$. The discrimination between logarithmic and Lorentzian is not so clear in experiment.

Figure 6. Simulated inverse correlation length $\xi^{-1}$ obtained by tracking the position of the logarithmic peak at $(1/3, 2/3, 0)$ ($q_x = 4/3, q_y = 0$) (when $\Upsilon = 0$) in the Monte Carlo simulation of the NSF channel, as well as $\xi^{-1}$ obtained from it, compared with the analytical predictions of Ref. [3] (labelled 'An.')

Figure 7. (a) Cuts through simulated data ($\phi = 0$) at several different values of $T_K$ approaching the Kasteleyn transition. The cuts are perpendicular to $q_y$, at the average peak center for each of the four logarithmic peaks (which are fitted by 4 Lorentzians). (b) Cuts of simulated data at the same values of $T_K$ at the location on $q_x$ of the average peak centers for two peaks that overlap with the experimental data. The central peak at small $T_K$ is another logarithmic peak that drifts towards a different kagome ice BZ center not found on this cut along $q_x$. (c) The average width $\Gamma$ of the four fitted Lorentzians in (a) as a function of $x$ (cuts at constant $q_y$) and $y$ (cuts at constant $q_x$), showing that the widths of the logarithmic peaks cut in either direction resemble $\xi_x$ rather than $\xi_y$.

direction and consequently depend on the single variable $\xi_y/L$. This is achieved by setting $Y = 0$ ($R = \infty$).

In an incommensurate situation, as the string length becomes indeterminate, the diffusive evolution of the string in the perpendicular plane, with associated correlation length $\xi_x$ should dominate the finite size scaling. As the incommensurability factor evolves from $Y = 0$ ($R = \infty$) to $Y = \infty$ ($R = 0$), the scaling function should evolve between these regimes. For any finite $R$, $G$ should ultimately crossover to the ballistic case, with the crossover region scaling closer and closer to the transition as $R$ increases.

In consequence, different finite size scaling predictions emerge in the two limits. Identifying $\eta^{-\gamma} = \xi_y^{\gamma/\nu_y}$ in the ballistic limit and $\eta^{-\gamma}$ as $\xi_x^{\gamma/\nu_x}$ in the incommensurate limit the scaling hypothesis can be re-written

$$\chi_B = L^{\gamma/\nu_y} G_B \left( \frac{\xi_y}{L} \right)$$
$$\chi_I = L^{\gamma/\nu_x} G_I \left( \frac{\xi_x}{L} \right)$$

(12)
where $G_B(\xi_y/L)$ and $G_I(\xi_x/L)$ are new scaling functions.

This phenomenology is confirmed by simulation in Figs. 11 and 12. In Fig. 11 we show the susceptibility near the transition for $\phi = 0$ and for different system sizes. The divergence of the susceptibility at the transition is cut off by the finite size of the sample, as shown in the upper panel. The finite size scaling is tested in the lower panel where we plot $\chi L^{-1/2}$ against $(T - T_K) L$ at fixed $H$, finding an excellent data collapse corresponding to the ballistic limit.

In Fig. 12 we show finite size scaling for data with $\phi = 20^\circ$. In the upper panel we show that the ballistic scaling fails to give data collapse. However, in the lower panel we test the incommensurate scaling by plotting $\chi L^{-1}$ against $(T - T_K) L^2$ at fixed $H$, finding an encouraging collapse of the numerical data. Although the simulation is quite challenging, and the data remains noisy, it seems that we have clear evidence of the crossover between the two scaling limits [43].

In the first instance the ballistic universal function shows a broad single peaked function, while in the incommensurate case two peaks are visible. This is because, in the ballistic case, the scaling is many body, with many simultaneous strings present in the large-$L$ limit. In the incommensurate case, despite reaching the scaling limit, one sees the individual effect of adding one string at a time. Our data shows the effect of adding a first string, and then a second, but more extensive simulations should reveal a comb of single string peaks stretching out from the transition [43].

Finally, in Fig. 13 we show the equivalent of Fig. 6 for $\phi = 20^\circ$ and $\phi = 50^\circ$, the evolution of the correlation lengths with $\Upsilon_K$. We see that, despite the radical change in finite size scaling of the susceptibility as $\phi$ increases from zero, the behaviour of the correlation lengths as a function $\Upsilon_K$ is independent of the finite size scaling regime reached.
Figure 10. A snapshot of the kagome ice lattice taken from a simulation at $T = T_K$ and $\phi = 50^\circ$. The lattice contains a single string (highlighted in red) which winds around the lattice through the periodic boundary conditions until it closes on itself. This snapshot shows the loop forming in a direction that is approximately perpendicular to the field direction as this minimises its unfavourable Zeeman interaction. The blue line parallel to the lattice vector is a trajectory for calculating a cut number which records the number of times a string is encountered in that direction.

IV. EXPERIMENT

A. Method

A large single crystal of Ho$_2$Ti$_2$O$_7$ was grown by the floating zone method. The crystal approximated a long cylinder ($d \approx 7$ mm, $l \approx 60$ mm) with a visible $(1, 1, 1)$-type facet running the length of the boule. It was previously used to measure diffuse scattering in zero field [20]. To ensure a precise alignment, a face perpendicular to the longest $(111)$ direction was cut, so that when the crystal rests on the cut face, the $(h, h, 2h) - (h, h, 0)$ plane lies in the horizontal scattering plane of a neutron spectrometer, with the $(111)$ direction vertical. The long axis of the crystal boule makes an angle of $26^\circ$ with the vertical direction and is coplanar with the $(111)$ and $(h, h, 2h)$ directions (Fig. 15).

The crystal was held in a copper clamp running the length of the boule, attached to a block with cut-outs that allow two adjustable orthogonal tilts and a continuous metallic path from the sample clamp to the mixing chamber of the dilution fridge. Neutron Laue diffraction measurements were used to refine the alignment and showed that the crystal was mounted with $\theta \lesssim 0.1^\circ$ and $\phi \lesssim 0.2^\circ$ and the adjustable tilts were locked by opposing screws to prevent any movement of the sample by the applied field. The sample was mounted in a dilution refrigerator insert, which itself was mounted in a 2.5 T vertical field cryomagnet. The cryomagnet plus refrigerator insert and crystal were placed in the polarised neutron diffuse scattering spectrometer D7 at the Institut Laue Langevin (Grenoble, France) [49] with the $(h, h, 2h)$ defined by Laue diffraction approximately antialigned with the incident beam (i.e. with the tilted crystal boule approximately coplanar with the incident beam and vertical field and $(111)$ directions).

Diffuse scattering structure factors were measured in the $(h, h, 2h) - (h, h, 0)$ scattering plane using neutrons with wavelength $\lambda = 4.8$ Å. The flipper currents were optimised using a 40 mm long ‘quartz’ (amorphous silica) sample (matching the sample height) for selected fields from 0.1 to 2.5 T. The cryomagnet provides the guide field at the sample position, and only $z$ (NSF) and $z'$ (SF) channels can be measured. Empty sample holder measurements were used to subtract field independent background scattering. Measurements of the quartz sample (for each field) and a vanadium cylinder (at 0.1 T) were used to calibrate polarisation efficiency and detector efficiency respectively.

Maps of the structure factor were made by measur-
Figure 12. The simulated finite size scaling function with applied at an angle of $\phi = 20^\circ$. In the top panel the data is plotted as a function of scaling variables in the ballistic limit. In the bottom panel the scaling variables for the incommensurate limit are used. A better fit is clearly obtained in the lower panel illustrating the crossover with $\phi$ between the two scaling regimes governed by the correlation lengths parallel and perpendicular to the direction of the ordered moment.

Figure 13. Simulated scaling of the correlation lengths in systems with $\phi = 0, 20,$ and $50^\circ$ (compare with Fig. 6, where $\phi = 0$), as obtained by tracking the position of the peak at $(\frac{1}{4}, \frac{1}{2}, 0)$ ($q_x = 4/3, q_y = 0$) (when $\Upsilon = 0$) in the Monte Carlo simulation of the NSF channel, compared with the analytical predictions of Ref. [3] (labelled ‘An.’).

B. Results

1. Field alignment

Although the crystal axes were initially aligned precisely with the applied field, comparison of the recorded data with simulation immediately suggests that the actual field within the sample was tilted. That is, the diffuse scattering data measured in the $(\bar{h}, \bar{h}, 2h) - (\bar{h}, h, 0)$ plane have distinctive features, characteristic of the kagome ice phase in tilted field. As can be seen in Fig. [14] the symmetry of the diffuse scattering in the SF and NSF channels is reduced from six-fold, to approximately two-fold. This is particularly pronounced in the SF channel, where the scattering is stronger, and the reduced symmetry can be clearly seen by comparing to a Monte Carlo simulation of kagome ice with a field tilt, $\theta > 0, \phi = 0$. Quantitative comparisons with theory and simulation discussed below suggest that $\theta \approx 0.7^\circ$ (and $\phi \approx 0$). Note that while this crystal showed positive tilt, a previously studied crystal of a different shape [14] showed negative tilt of similar magnitude.

Given the precise alignment of the crystal, an obvious cause of the tilted field is the large demagnetizing effects in spin ice [50]. If the sample is approximated as an ellipsoid whose unique axis is misaligned with the applied field, then the internal field will be uniform but not parallel to to the applied field. As noted by Morris et al. [51] the large, anisotropic shaped crystals of spin ice typically used in neutron scattering typically suffer from a significant misalignment of internal field and applied field. The exceptionally large demagnetizing fields of spin ice are well established, having been much discussed with respect to experimental corrections [52] and also as exemplifying departures from the usual textbook theory of demagnetizing factor [53, 54]. The elongated shape of our sample and its tilted relation to the scattering plane therefore provides a convincing explanation of why the internal field is tilted and this may be safely assumed going forwards.

The $\omega$ angles at which the $(\bar{h}, h, 2h)$ axes were associated with the real shape of the crystal.
Figure 14. Experimental data for the SF channel and NSF channel measured at 0.75 K and 1.0 T, compared with Monte Carlo simulations of kagome ice at $T = 0.54$ ($\phi = 0$). The experimental data is in the lower left quadrant of each panel, simulation (‘MC’) in the upper right. Crosses indicate the peak positions found by the peak tracking algorithm.

Figure 15. (a) Schematic of the crystal in direct space showing the axis vectors $\hat{x} = (110), \hat{y} = (1\bar{1}2), \hat{z} = (111)$. (b) The lattice in the same orientation, with the angles $\phi$ and $\theta$ defined in purple and green.

2. Correlation functions

As discussed above, the SF channel measures in plane spin components and hence in-plane kagome ice correlations, while the NSF channel detects out of plane components and hence correlations of the pseudo-spins - a model kagome Ising antiferromagnet. The latter cross section is much weaker, because the major part of the total $10 \mu_B$ moment ($8/9$) contributes to the scattering process in the spin flip channel, while only a smaller projection ($1/9$) contributes to the NSF channel. However, general comparison with simulations in Fig. 14 clearly shows the different forms of the scattering, and that descriptions in terms of either correlation function are warranted.

Fig. 16 compares the SF scattering under different applied fields at a fixed temperature of 0.75 K. It shows that features in the diffuse scattering do clearly drift across the scattering plane as the field is changed [3], as was found with a more limited data set in Ref. 14. At fixed field, the features also move as a function of temperature. However, we see that for $T \lesssim 0.6$ K, the scattering pattern becomes independent of temperature and field within the kagome ice plateau.

3. Aspects not addressed by theory

The experimental data give access to two more aspects of kagome ice that are not addressed by the theory or the simulations described above: the behavior of the pinch points, and the approach to plateau termination.

Referring to Fig. 17a, we see that, within the kagome ice plateau, at a constant applied field of 1 T, the pinch points sharpen as the temperature decreases to $T \lesssim 0.6$ K, and below this temperature they remain of constant width, as with other parts of the diffuse scattering mentioned above. At fixed temperature (0.75 K), the pinch points are similarly sharp within the kagome ice plateau ($0.4 < H < 1.4$ T), but as the plateau termination field
approaches, they begin to broaden (Fig. [17]).

C. Scaling

From tracking peak locations in the diffuse neutron scattering patterns and fitting the wavevectors and intensities, we can reproduce the normalized inverse correlations $\xi^{-1}$ and $\xi^{-1}$ (see Section II D and III B 2).
below temperature the pinch point width does not seem to decrease monopole population and a more paramagnetic state. At low the increase in background at 1.5 K suggests an increase in − out of the kagome ice phase. At constant field (Υ − B) the pinch point broadening with field indicates a crossover of the kagome ice phase far from a Kasteleyn transition and follow the evolution of the system towards the transition.

V. DISCUSSION

In the following, we discuss our analytical, numerical, and experimental results together. We start in the kagome ice phase far from a Kasteleyn transition and follow the evolution of the system towards the transition.

A. Critical correlations

Although it proved difficult to approach the zero tilt condition exactly, both the in-kagome-plane spin correlations and the out of plane spin correlations that map to pseudo-spin degrees of freedom (see Fig. 14) have been observed and characterised. Our experimental measurements broadly confirm that Ho2Ti2O7 gives an accurate realisation of the two dimensional near neighbour kagome ice Coulomb phase, in the region of the phase diagram that is far from the experimentally observed critical end point at high field field, [15, 22, 56], or possible dipole driven ordering at low field [23, 57]. Monopoles can be safely neglected in this regime, except insofar as they act as dynamical facilitators in the real system [58–60].

2. Tilted case

Our simulations and experiments clearly confirm that the applied tilted field can be used to quantitatively tune the Coulomb phase from isotropic to anisotropic. We have determined correlation lengths parallel and perpendicular to the applied field that scale differently as one moves towards the Kasteleyn transition, with a resulting build up of anisotropic spin correlations.

When the methodology developed for the simulations is applied to the experimental data, we see that for moderate values of Υ − T, the analytical prediction captures the scaling of the correlation lengths extracted from the experimental data. This illustrates that these qualitative features survive the corrections to the simple model that are necessary for a quantitative description of real materials - dipolar interactions, demagnetizing effects, and ice rule violating monopole excitations. We can firmly
the transition criterion at $\theta$.

Figure 19. Field and temperatures that satisfy the Kasteleyn transition criterion at $\theta = 0.1$, $0.7$ and $1.5^\circ$, with the experimentally measured data points shown by circles. The solid lines show the loci of $Y_K = 1$ for three different field tilts; the color map shows $Y_K$ for $\theta = 0.7$. With the $\theta$ and $\phi$ derived from the crystal alignment by Laue diffraction, no point in the phase space is above the critical point for the Kasteleyn phase transition ($Y_K = 1$). For the values that best scale the data (i.e., $\theta = 0.7^\circ$), only points with in the regime of slow dynamics fall below the transition. To move the transition into a region where we could comfortably measure, the larger tilt of $\theta = 1.5^\circ$ would be required.

3. A topological phase transition

Our thermodynamic results have illustrated several unusual features of the Kasteleyn transition: how it has no fluctuations below the transition, no symmetry breaking and yet satisfies all the thermodynamic and scaling criteria of a second order phase transition. It is associated with a single thermodynamic variable and a single independent critical exponent. A crossover exponent to the paramagnetic phase can also be introduced by allowing a finite concentration of magnetic monopoles and forcing the monopole concentration to zero at the critical field [34].

Other examples of single exponent transitions are the athermal percolation transition and the Kosterlitz-Thouless transition [61], which is also topological and which also fails to break a symmetry. Other hard particle transitions, such as the crystallisation of discs or spheres [14], or certain liquid crystal transitions [15], although also purely entropy driven, do break symmetries and so generate a second thermodynamic variable related to the symmetry breaking.

The singular thermodynamics is driven by topological string excitations that are solely responsible for changing the magnetic moment of the sample. These strings distinguish the Coulomb phase from a paramagnet. At low field their magnetic responses differ only by a scale factor [12, 62], but while a paramagnet coasts towards a fully magnetized state in the limit $H/k_B T \rightarrow \infty$, the Coulomb phase crashes into it abruptly for a finite ratio. The external field progressively favours system spanning string excitations, suppressing short loops and hence entropy, and lowering the symmetry of the observed scattering patterns.

In the critical region, the density of strings flipped against the ordered moment falls to zero, so that they can be considered as weakly interacting, mapping onto world lines for individual random walkers. In this limit, the in-plane field direction dictates the commensurability of the string looping on the torus. We have identified a crossover, with in-plane tilt $\phi$, to an incommensurate limit in which individual strings influence the total moment [43]. This remarkable topological property of the finite size scaling features strictly depends on the existence of a torus, so may be hard to realise in a real system, where extended strings must terminate in surface magnetic charge [63] or defects [64].

4. Kasteleyn Transition in Experiment

While our numerical simulations can be driven right to a Kasteleyn transition, the experimental system could not be, being complicated by the slowing down of collective dynamics below $T \approx 0.6$ K and the onset (termination) of the kagome plateau at $H \approx 0.4$ (1.5) T. Within the kagome ice plateau, for fixed tilt, as in a typical experiment, to reach larger $Y$ requires larger fields or lower temperatures. Increasing the field too much will proliferate monopoles and terminate the kagome ice plateau, but lowering the temperature will tend to cause $\text{Ho}_2\text{Ti}_2\text{O}_7$ to run out of dynamics as the monopoles disappear. Real experimental examples of the transition are, in any case likely to be rounded by the eventual monopole contribution, as was shown for the three-dimensional example [30, 65], but the incorporation of monopoles can also lead to unconventional behaviour and scaling [34, 66].

However, rather than promoting the transition in classical spin ices such as $\text{Ho}_2\text{Ti}_2\text{O}_7$ and $\text{Dy}_2\text{Ti}_2\text{O}_7$, into regions of phase space where other phenomena are crowded, perhaps it can be reached by studying a compound with faster monopole dynamics such as $\text{CdErg}_2\text{Si}$ [67]. In this case, the spin ice is classical and the thermal monopole population fairly similar to $\text{Dy}_2\text{Ti}_2\text{O}_7$, but hopping rates are much more rapid, suggesting that equilibrium can be maintained to lower temperature. An alternative source of dynamics might be quantum fluctuations, and a quantum kagome ice phase could be a highly interesting system, as realized in a magnetization plateau of a quantum spin ice [68] or a two-dimensional kagome analog of spin ice [71]. We are not aware of any theoretical study of the Kasteleyn transition in a quantum kagome
ice [72, 73], which might also be a fascinating prospect, given the interest in quantum spin ice [68, 74, 75].

VI. CONCLUSIONS

In conclusion, we have studied the Kasteleyn transition in the kagome ice phase of spin ice subjected to a field tilted away from the [111] direction by a small angle, by analytical, numerical and experimental methods. We have exposed a striking evolution of correlations and of topological properties of the Coulomb phase as the field is tuned towards the transition.

We find good qualitative agreement between experimental neutron scattering results from a single crystal of Ho$_2$Ti$_2$O$_7$ and those provided by the nearest neighbour spin ice model. The agreement is perhaps better than in the three-dimensional, zero field regime of spin ice, where inclusion of the full dipolar interaction is essential to reproduce the broad features of the diffuse scattering [20, 76–80] and it would be interesting to investigate this point further. Consequently, we may be very optimistic that yet more of the exotic behaviour of kagome ice will be experimentally observable in spin ice materials.

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Appendix A: Relation with kagome spin ice

Prior to the discovery of kagome ice, Wills et al. [82] introduced a two-dimensional version of spin ice consisting of ferromagnetically coupled Ising-like spins on a kagome lattice, constrained to point ‘in’ or ‘out’ of the triangles. This state, which they called ‘kagome spin ice’, is a very interesting system its own right and has a rich phase diagram when long range interactions are included [83]. It is highly relevant to artificial spin ice arrays and has been much studied in this context [31]. Here we reserve the term kagome ice for the state obtained when the magnetic field is applied along the [111] direction of a spin ice and the term kagome spin ice for the model of Wills et al. We identify similarities and differences between the two systems and to highlight the topological constraints of the kagome ice state [4].

Appendix B: Kasteleyn transition temperature

As described above, a kagome ice spin configuration with long range order is disordered at the Kasteleyn transition through the introduction of strings of reversed spins spanning the entire cell and passing through the periodic boundaries. Here we present some details for this process for general tilt $\theta$ and $\phi$.

Figure 20. The five vertices relevant to the Kasteleyn transition on kagome lattice

In kagome spin ice the lowest energy configuration on each triangle satisfies an ice-like rule with either ‘2-in–1-out’ or ‘1-in–2-out’. The odd number of in/out contributions leaves a net charge of $\pm Q/2$ associated with each triangle, where $Q$ is the monopole charge. The ground state of the nearest neighbour model is therefore a dense charge fluid with overall charge neutrality [85], the so-called KI phase. Including long range dipolar interactions induces a phase transition at finite temperature from the fully disordered KI phase to the partially ordered KII phase [35, 36], even in the absence of ice rule defects [50]. The transition is driven by a $Z_2$ symmetry breaking in which up and down oriented triangles select between ‘2-in–1-out’ or ‘1-in–2-out’ configurations, lifting a topological degeneracy that allows for the formation of system spanning spin loops [4]. The reduced symmetry corresponds to charge crystallisation [35, 36, 83] but only partial magnetic ordering [32]. For perfect charge order, the spins effectively decouple, or fragment, into two independent parts, ‘longitudinal’ and ‘transverse’, with the transverse part forming a Coulomb phase with corresponding algebraic correlations. In dipolar kagome spin ice, corrections to the emergent Coulomb interaction between monopoles lead to a further transition at low temperature, to a fully ordered phase in analogy with dipolar spin ice [57].

The application of the field along [111] in a spin ice breaks this $Z_2$ symmetry for the spins on the kagome plane by pinning the apical spin of each tetrahedron (see below). Applying the ice rules with this constraint imposes the reduced choice of ‘2-in–1-out’ or ‘1-in–2-out’ for the three remaining spins.

The kagome ice phase therefore corresponds to the KII phase of dipolar kagome spin ice. In this case, the remnant charge of the three in-plane spins is neutralised by the charge on the apical spin giving charge neutrality. In this limit the spin ice Coulomb phase is split into decoupled planes, each of which has the configuration space of the KII phase.
We start with the magnetically ordered state shown in Fig. 20 defined with all vertices in configuration 1. This ordering is along the [112], or $\hat{y}$ crystal axis, as defined for the underlying pyrochlore lattice (see also Fig. 1 and 2). There is an in-plane field $\vec{H}$, placed at an angle $\phi$ with respect to $\hat{y}$. The probability of introducing a string goes to zero at the Kasteleyn transition temperature $T_K$, which may be calculated by estimating the free energy change $\delta G=\delta \langle H \rangle - T \delta S$ on introducing the string. $\delta \langle H \rangle$ is the change in magnetic enthalpy, which in our constrained model is pure Zeeman energy, and where $\delta S$ is the change in entropy. The unit cell is taken to be an ‘up’ triangle of the kagome lattice. For a system spanning $L$ cells in the $\hat{y}$ direction we can define $\delta G = L \delta q$, $\delta \langle H \rangle = L \delta \epsilon$ and $\delta S = L \delta s$. We are therefore looking for a change in sign in $\delta q = \delta \epsilon - T \delta s$: $\delta \epsilon = T_K \delta s$.

At each step of the string construction, the virtual head of the string, or worm can be thought of as sitting in the centre of a down triangle. It advances by jumping from this site, through an up triangle to a neighbouring down triangle. The Zeeman energy to be considered is the change in energy coming from flipping the two spins on the up triangle through which the worm hops. Considering the 5-spin, up-down triangle pair in Fig. 20 we calculate the probabilities that vertex 1 changes to vertex 2-5. The worm head arrives in the down triangle at the end of the $(n-1)^{\text{th}}$ step, and the probability of it arriving from left or right is taken care of during this step. As this is a Markov process, the $n^{\text{th}}$ step is independent of this, and in this step it jumps down and out of view to the left or right. Hence there are only two probabilities, $P_L = P_{1\rightarrow 2} = P_{1\rightarrow 3}$ and $P_R = P_{1\rightarrow 4} = P_{1\rightarrow 5}$.

The changes in Zeeman energy associated with this move are:

$$\begin{align*}
\epsilon_L & = 2H S_{\perp} \cos(\phi) + 2H S_{\perp} \cos(\pi/3 - \phi) \\
& = 3H S_{\perp} \cos(\phi) + \sqrt{3}H S_{\perp} \sin(\phi) \\
\epsilon_R & = 3H S_{\perp} \cos(\phi) - \sqrt{3}H S_{\perp} \sin(\phi).
\end{align*}$$

Given that $S_{\perp} = 2\sqrt{3}/3$, we have

$$\epsilon_{L,R} = \epsilon_0 \pm v, \tag{B1}$$

where $\epsilon_0 = 2\sqrt{2}H \cos(\phi)$ and $v = 2\sqrt{2}H \sin(\phi)$.

The probabilities are then given by

$$P_{L,R} = \frac{\exp((\mp \beta \epsilon))}{\exp(\beta v) + \exp(-\beta v)}, \tag{B2}$$

$$P_L + P_R = 1,$$

and $P_L/P_R = \exp(-\beta(\epsilon_L - \epsilon_R)) = \exp(-2\beta v)$. Defining $P_{L,R} = (1/2)(1 \mp q)$, we find $q = \tanh(\beta v)$. From this we can write $\delta \epsilon = P_L \epsilon_L + P_R \epsilon_R = \epsilon_0 + v(P_L - P_R) = \epsilon_0 - qv$, and

$$\frac{1}{k_B} \delta s = -P_L \log P_L - P_R \log P_R,$$

$$= -\left(\frac{1-q}{2}\right) \log \left(\frac{1-q}{2}\right) - \left(\frac{1+q}{2}\right) \log \left(\frac{1+q}{2}\right).$$

We can now use the identities

$$\frac{1}{4}(1+q)(1-q) = \frac{1}{4 \cosh^2(\beta v)},$$

$$\frac{1+q}{1-q} = \exp(2\beta v),$$

$$\beta qv = \frac{q}{2} \log (\exp 2\beta v),$$

to show that, for $T = T_K$

$$\frac{\epsilon_0}{k_B} = \log (2 \cosh (\beta v)). \tag{B4}$$

Exponentiating both sides, changing units and using Eqn. 3 we arrive at Eqn. 4.

**Appendix C: Loop algorithm for kagome ice**

The loop algorithm for numerical simulations is constructed in this spirit. Creating a worm requires the creation of a pair of oppositely charged topological defects which are considered as virtual, in that the Boltzmann weight for their creation is never taken into account. The worm makes a path of virtual hops which can be either forward, backwards or sideways until it returns to its starting position, destroying the defect pair. Once the probabilities $P_{1\rightarrow 2}$ and $P_{1\rightarrow 4}$ are defined, the reverse probabilities $P_{2\rightarrow 1}$ are defined such that detailed balance is satisfied: $P_{2\rightarrow 1} = P_{1\rightarrow 4} \exp(-\beta(\epsilon_1 - \epsilon_2))$, where $\epsilon_1$ and $\epsilon_2$ are the Boltzmann weights for vertices 1 and 2. As for general $\phi$, $\epsilon_1 - \epsilon_2 = -(\epsilon_0 + v)$, it follows that

$$P_{2\rightarrow 1} = \exp(\beta \epsilon_0 - \log [2 \cosh(\beta v)]), \tag{C1}$$

so that $P_{2\rightarrow 1} \rightarrow 1$ at $T_K$, which is the condition one needs for singular behaviour at the Kasteleyn transition. As, in addition one defines $P_{2\rightarrow 3} = 1 - P_{2\rightarrow 1}$, it follows that $P_{2\rightarrow 3} \rightarrow 0$ at $T_K$. Similarly, one finds $P_{4\rightarrow 1} = P_{4\rightarrow 2} \exp(-\beta(\epsilon_1 - \epsilon_4))$ and hence that $P_{4\rightarrow 1} = \exp(\beta \epsilon_0 - \log [2 \cosh(\beta v)])$, which is again the condition needed for the Kasteleyn transition.

**Appendix D: Dimer representation of the Kasteleyn transition for general $\phi$**

The dimer partition function can be written

$$Z = \text{Tr}_{\{n_1,n_2,n_3\}} g(n_1,n_2,n_3) \exp \beta(n_1\mu_1 + n_2\mu_2 + n_3\mu_3), \tag{D1}$$

where $n_i$ is the number of dimers on sites $i$ and $g(n_1,n_2,n_3)$ is the number of configurations for fixed $n_i$. The total number of dimers, $n$, is fixed at one per triangle, so that we can define a semi-grand canonical free energy free energy $\beta \mathcal{F}_S$ (also the magnetic free energy $G(T,n,\mu_1,\mu_2,\mu_3)$). $Z$ can be calculated exactly by the Pfaffian method introduced by Kasteleyn \[27\]. Although Kasteleyn does not give an explicit expression
for the honeycomb lattice, Wu [39] does, while at the same time showing how the dimers on honeycomb and therefore spins on the kagome lattice also map onto the five-vertex model on a square lattice. The anisotropic six-vertex model was also treated exactly by Watson [30]. Wu’s expression for $Z$ is:

$$
\log Z = \frac{n}{8\pi^2} \int_0^{2\pi} d\theta \int_0^{2\pi} d\phi \log[z_1^2 + z_2^2 + z_3^2 + 2z_1z_2 \cos(\theta) + 2z_1z_3 \cos(\phi) + 2z_2z_3 \cos(\theta - \phi)],
$$

(D2)

where here $\theta$ and $\phi$ are dummy variables.

From the above one can calculate the mean number of dimers

$$
\langle n_{2,3} \rangle = -\frac{\partial G}{\partial \mu_{2,3}} = \frac{1}{\beta} \frac{\partial \log Z}{\partial \mu_{2,3}},
$$

(D3)

As the total number of dimers is fixed $\langle n_1 \rangle$ is not independent: $\langle n_1 \rangle = n - \langle n_2 \rangle - \langle n_3 \rangle$. Defining $\alpha = \langle n_1 \rangle/n$, one finds from the exact partition function

$$
\alpha_2 = \frac{1}{\pi} \cos^{-1}\left(\frac{z_2^2 - z_3^2 + z_1^2}{2z_1z_3}\right),
$$

$$
\alpha_3 = \frac{1}{\pi} \cos^{-1}\left(\frac{z_3^2 - z_1^2 + z_2^2}{2z_2z_3}\right),
$$

(D4)

with $\alpha_3 = 1 - \alpha_2 - \alpha_3$. To arrive at this expression one needs the identity

$$
\int_0^{2\pi} d\theta \frac{1}{A + B \cos(\theta) + C \sin(\theta)} = \frac{2\pi}{(A^2 + B^2 - C^2)^{1/2}},
$$

(D5)

which is valid for $A^2 > B^2 + C^2$, corresponding to the disordered regime, $z_2 + z_3 > z_1$. At high temperature $\langle n_1 \rangle = \langle n_2 \rangle = \langle n_3 \rangle = n/3$, while at the transition $\langle n_1 \rangle = 1$, $\langle n_2 \rangle = \langle n_3 \rangle = 0$. As the thermodynamic variable reaches the constraint, no further evolution can occur and the system is singular.

It follows straightforwardly that the mean energy per spin, which is 1/3 of the mean energy per dimer is:

$$
\langle \epsilon \rangle = -\frac{1}{3n}(\mu_1n_1 + \mu_2n_2 + \mu_3n_3)
$$

$$
= -\frac{1}{3}(\mu_1 + \alpha_2(\mu_2 - \mu_1) + \alpha_3(\mu_3 - \mu_1)),
$$

(D6)

The in plane magnetization can also be calculated from the mean dimer numbers by considering each of the three spins separately: $\langle S^y_1 \rangle = S_\perp$ when $\langle n_1 \rangle = 1$ and $\langle S^y_1 \rangle = -S_\perp/3$ when $\langle n_1 \rangle = 1/3$, from which it follows that $\langle S^y_1 \rangle = S_\perp(2\alpha_1 - 1)$. Similarly $\langle S^y_2 \rangle = 1/2S_\perp$ when $\langle n_2 \rangle = 0$ and $\langle S^y_2 \rangle = S_\perp/6$ when $\langle n_2 \rangle = 1/3$, from which we find $\langle S^y_2 \rangle = S_\perp(-\alpha_2 + 1/2)$, with the equivalent expression for the third spin, $\langle S^y_3 \rangle = S_\perp(-\alpha_3 + 1/2)$. The total $y$ component of the magnetization (per spin - hence the factor 1/3) is then $M_y = (S_\perp/3)(2\alpha_1 - \alpha_2 - \alpha_3)$.

Figure 21. The results of the peak tracking algorithm in the spin flip (left) and non-spin flip (right) channel for $h_K(1$ T, 0.75 K, $\theta > 0$, $\phi = 0$), with included data points in grey dots. The centers of the asymmetrical ellipses as determined by the fuzzy cluster algorithm are marked with a black x and correspond to the actual location of the calculated local maxima, and the distance between the peaks used to compute $\xi_x$ is shown by a black dashed line in the SF channel. The mean membership grade for this peak tracking solution is $\mu^m = 0.98$

For the $x$ component, $\langle S^x_1 \rangle = 0$, $\langle S^x_2 \rangle = (\sqrt{3}/2)S_\perp$ when $\langle n_2 \rangle = 0$ and $\langle S^x_2 \rangle = (1/3)(\sqrt{3}/2)S_\perp$ when $\langle n_2 \rangle = 1/3$, leading to $\langle S^x_2 \rangle = \sqrt{3}S_\perp(-\alpha_2 + 1/2)$ and to $\langle S^x_2 \rangle = \sqrt{3}S_\perp(\alpha_3 - 1/2)$. This gives the average x component per spin, $M_x = (1/3)\sqrt{3}S_\perp(\alpha_3 - \alpha_2)$. Using the relation between the $\alpha_i$ and the value $S_\perp = 2\sqrt{2}/3$ we finally find the correct expressions for $M_y$ and $M_x$ parallel and perpendicular to $[112]$

$$
M_y = \frac{4\sqrt{2}}{9} \left(1 - \frac{3}{2}(\alpha_2 + \alpha_3)\right)
$$

$$
M_x = \frac{2}{3} \sqrt{\frac{2}{3}} (\alpha_3 - \alpha_2).
$$

(D7)

The dimensionless magnetic moment entering the thermodynamic discussion above would correspond to $M = NM_y$.

Appendix E: Peak tracking algorithm

From tracking the logarithmic peak locations in the diffuse neutron scattering patterns and determining their distance from the BZ center, we can reproduce the normalized inverse correlations $\xi_x^{-1}$ and $\xi_y^{-1}$ [3]. The location of diffuse scattering peaks close to $h = -1/2$ and $-3/2$, $k = \pm 1/3$ were extracted with a two dimensional peak tracking program based on the fuzzy cluster algorithm in MATLAB, in which an initial guess for the location of four diffuse scattering peaks is refined by measuring the membership grades $\mu_{ij}$ of each point in reciprocal space with intensities higher than 5 times the background threshold [31]. To get the cluster centers ($\epsilon$), the following objective function $J_m$ for $D$ data points and $N = 4$ clusters is minimized:

$$
J_m = \sum_{i=1}^{D} \sum_{j=1}^{N} \mu_{ij}^m (x_i - c_j)^2,
$$

where $\mu_{ij}$ is the membership grade of point $i$ in cluster $j$, and $c_j$ is the center of cluster $j$. The value of $m$ is usually chosen to be 1, 1.25, or 2, depending on the shape of the membership function. The parameters $\mu_{ij}$ and $c_j$ are updated iteratively using the fuzzy c-means algorithm until convergence is achieved.
\[ J_m = \sum_{i=1}^{D} \sum_{j=1}^{N} \mu_{ij}^{m} |x_i - c_j|^2. \] (E1)

The membership grades of each point in the cluster demonstrates the relative uncertainty that the point is indeed in that cluster, with values approaching 1 for a collection of distinct spherical clusters. Although not as sharp as Bragg peaks, the logarithmic peaks indicative of short range ice-rule correlations are clearly distinguishable in both simulation and experimental data from the background with clear centers. Peaks from an experimental diffuse scattering patterns with an unknown misalignment can therefore be analyzed generically.

The distance from each diffuse peak to the kagome Brillouin zone center in \( \hat{x} \) (as denoted by the white hexagons in Fig. 21) was averaged to produce the inverse correlation length \( \xi^{-1} \), from which the analytical solution in Eq. 8 was used to derive \( \xi^{-1} \). As the nearest neighbor Hamiltonian produces logarithmic peaks that have a small tail along the \((h, h, 0)\), there is a slight deviation of the cluster center from the true maximum, which is less than 0.01 Å\(^{-1}\) for all simulations.
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