1. Introduction

In the past decade, there has been a great deal of interest in zinc oxide ZnO semiconductor materials lately, as seen from a surge of a relevant number of publications in Figure 1 (Wenckstern, 2008). It can be seen that the present renaissance in ZnO research started in the mid 1990s. More than 2000 papers on ZnO were published in 2005 and even higher numbers in 2006.

![Fig. 1. Publications per annum for the search of ZnO in the abstract before 2007, For 2007, only papers published before June 6th are considered. From Ref. (Wenckstern, 2008).](image)

With a wide band gap of 3.4eV and a large exciton binding energy of 60 meV at room temperature, ZnO has been considered as a promising material for optoelectronic devices (Klingshirn, 2007):

- ZnO as a blue/UV optoelectronics, including light emission diodes (LEDs) and laser diodes in addition to (or instead of) the GaN–based structure.
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- ZnO as a radiation-hard material for electronic devices in a corresponding environment.
- ZnO as a material for electronic circuits, which is transparent in the visible.
- ZnO as a diluted or ferromagnetic material, when doped with Co, Mn, Fe, V or similar elements, for semiconductor spintronics.
- ZnO as a transparent, highly conducting oxide (TCO), when doped with Al, Ga, In or similar elements, as a cheaper alternative to indium tin oxide (ITO).

More applications about ZnO can be found in references (Janotti & Van de Walle 2009).

It is known that GaN is a III-V compound semiconductor material with in the hexagonal wurtzite-type structure and an important application in optoelectronic devices. With a similar crystallinity to GaN, ZnO has more advantages in optoelectronic application (Özgür, et al., 2005; Shur & Davis, 2004; Tsukazak, et al., 2005; Look, 2001; Janotti & Van de Walle 2009):
- a exciton binding energy of 60 meV at room temperature (RT) is higher than one of GaN (24 meV), resulting in ZnO can be excited at RT and prepared the optoelectronic devices in shorter wavelength.
- the band gap of ZnO (Eg = 3.4 eV) can be effectively modulated (controlled) in 3-4.5 eV by doping Cd or Mg.
- ZnO film can be fabricated with large area and good uniformity on various substrates, leading to the application in a wider field, however, GaN film is prepared on some limited substrates (SiC, Sapphire, Si).
- the growth temperature for high quality ZnO film is about 500°C, which is much lower than that for GaN film (≥1000°C).

The properties of GaN and ZnO are summarized in Table 1 (Madelung, 1996; Norton et al., 2004).

| Property                          | GaN          | ZnO          |
|----------------------------------|--------------|--------------|
| Crystal Structure                | Wurtzite     | Zn Blende    | Wurtzite     |
| Lattice Constant (nm)            |              |              |              |
| a₀                              | 0.3189       | 0.452        | 0.3249       |
| c₀                              | 0.5185       | 0.45         | 0.5207       |
| a₀/c₀                           | 1.6259       |              | 1.602        |
| Density (g/cm³)                  | 6.15         | 5.606        |
| Thermal Conductivity (W/cm²K)    | > 2.1        | 0.6, 1-1.2   |
| Linear expansion coefficient (C⁻¹) | 5.59×10⁻⁶  | -            | 6.5×10⁻⁶     |
|                                  | 3.17×10⁻⁶    | -            | 3.0×10⁻⁶     |
| Energy bandgap (eV)              | 3.51         | 3.3          | 3.4          |
| Exciton binding energy (meV)     | 24           | -            | 60           |
| Electron effective mass          | 0.2          | -            | 0.24         |
| Electron Hall Mobility at room   |              |              |              |
| temperature (cm²V⁻¹s⁻¹)          | ~1000        | ~1000        | 200          |
| Hole effective mass              | 0.8          | -            | 0.59         |
| Hole Hall Mobility at room       |              |              |              |
| temperature (cm²V⁻¹s⁻¹)          | ≲200         | ≲850         | ≲50          |
| Electron saturation velocity     |              |              |              |
| (10⁶cm s⁻²)                      | 2-2.5        | 2            | 3.2          |

Table 1. The properties of GaN and ZnO. From Ref. (Madelung, 1996; Norton, et al, 2004).
Fig. 2. (a) The structure of a typical p–i–n junction LED. (b) Current–voltage characteristics of a p–i–n junction. The inset has logarithmic scale in current with F and R denoting forward and reverse bias conditions, respectively. (c) Electroluminescence spectrum from the p–i–n junction (blue) and photoluminescence (PL) spectrum of a p-type ZnO film measured at 300K. From Ref. (Tsukazak, et al., 2005).
Figure 2a shows the schematic structure of a typical homostructural p–i–n junction prepared by Tsukaza et al. The I-V curve of the device displayed the good rectification with a threshold voltage of about 7V (Figure 1b). The electroluminescence spectrum from the p–i–n junction (blue) and photoluminescence (PL) spectrum of a p-type ZnO film at 300K were shown in Figure 1c, which indicated that ZnO was a potential material for making short-wavelength optoelectronic devices, such as LEDs for display, solid-state illumination and photodetector.

2. ZnO basic properties

ZnO is a II-V semiconductor with the ionicity at the borderline between covalent and ionic semiconductor (Özgör, et al., 2005). ZnO has three crystal structures: rocksalt, zinc blende and wurtzite, as shown in Figure 3(a), (b) and (c), respectively. Under conventional conditions, the thermodynamically stable phase is wurtzite, which has a hexagonal unit cell with space group \( C_{6v} \) or \( p63mc \), and lattice parameters \( a = 0.3296 \), and \( c = 0.52065 \) nm. In this structure, the oxygen anions (\( O^2- \)) and Zn cations (\( Zn^{2+} \)) form a tetrahedral unit, composing two interpenetrating hexagonal-close-packed (hcp) sublattices and each sublattice includes four atoms per unit cell and every atom of one kind (group-II atom) is surrounded by four atoms of the other kind (group-VI), or vice versa, as shown in Figure 3(c). The wurtzite structure of ZnO lacks central symmetry and can be simply considered a number of alternating planes composed of \( O^2- \) and \( Zn^{2+} \), grown alternatively along the c-axis due to the low formation energy of the direction. The zinc-blende ZnO structure can be stabilized only by growth on cubic substrates, and the rocksalt (NaCl) structure may be fabricated at relatively high pressures. The wurtzite ZnO can be transformed to the rocksalt structure at relatively modest external hydrostatic pressures. In addition to the above crystal structures, theoretical calculation showed that a fourth phase of ZnO, cubic cesium chloride, may be possible at extremely high temperatures, however, the result has not been proved, experimentally.

Fig. 3. ZnO crystal structures: (a) rocksalt, (b) zinc blende, (c) wurtzite. The shaded gray and black spheres denote Zn and O atoms, respectively. From Ref.(Özgör, et al., 2005).

Other basic properties of ZnO can be seen from Table 1.

Figures 4, 5 and 6 show the morphologies of ZnO single crystal, powder, film and nanomaterials.
3. Challenges in ZnO

ZnO has a strong potential for various short-wavelength optoelectronic device applications. However, to realize these applications, a reliable technique for fabricating high quality p-type ZnO and p-n junction needs to be established. Compared with other II-VI semiconductor and GaN, it is a major challenge to dope ZnO to produce p-type
semiconductor due to self-compensation from native donor defects and/or hydrogen incorporation (Wang, et al., 2004; Xiu, et al., 2005). Great efforts have been made to achieve p-type ZnO by mono-doping group-I elements (Li, Na and K), group-IB elements (Ag and Cu) or group-V elements (N, P, As, and Sb) and co-doping III–V elements with various technologies, such as evaporation/sputtering process, ion implantation, pulsed laser deposition, thermal diffusion of As after depositing a ZnO film on GaAs substrate, and hybrid beam deposition (McCluskey & Jokela, 2009; Yan, et al., 2006; Kang, et al., 2006; Özgür, et al., 2005; Look, et al., 2004; Marfaing & Lusson, 2005; Yan & Zhang, 2001; Yamamoto, 2002). It is believed that the most promising dopants for p-type ZnO are the group V elements, although theory suggests some difficulty in achieving shallow acceptor level. The first p-type ZnO with a hole concentration of $10^{16}$–$10^{17}$ cm$^{-3}$ was reported in films made by vapour-phase transport in NH$_3$, followed by molecular beam epitaxy (MBE) with an atomic nitrogen source (Minegishi, et al., 1997). The mechanism of p-type ZnO:N is considered that N substitutes for an O, forming an acceptor with a hole binding energy of 400meV according to first-principles calculations (Park, et al., 2002), and x-ray absorption spectroscopy verified that N occupies the O substitutional site in Fons’s experiment, which is consistent with the radius of N is near with that of O (Fons et al., 2006). P, As and Sb in ZnO are deep acceptor because of their large ionic radii as compared to O. However, some researchers claimed that p-type ZnO were achieved with these large-size-mismatched impurities (Heo, et al., 2003; Ryu, et al., 2000; Xiu, et al., 2005). Therefore, the microscopic structure of these impurities in ZnO has not been understood completely, which cannot be contributed to these impurities occupied O site to generate holes, simply.

In this paper, we fabricated p-type As doped ZnO films on glass and SiO$_2$/Si substrates at different temperatures by sputtering Zn$_3$As$_2$/ZnO target or cosputtering Zn$_3$As$_2$ and ZnO targets, and investigated the optical and electrical properties of the films, systematically. Especially, the mechanism of p-type conductivity of ZnO: As film was discussed according to As$_{2Zn}$–2V$_{Zn}$ shallow acceptor model proposed by Limpijumnong et al., which helped to understand the microscopic structure of As in As-doped ZnO and the microscopic origin of p-type ZnO by doping large-size-mismatched impurities.

4. Experiment

Magnetron sputtering (DC sputtering, RF magnetron sputtering, and reactive sputtering) is one of the popular growth techniques for ZnO investigations because of its low cost, simplicity and low operating temperature. A schematic diagram of the magnetron sputtering system in our experiments is shown in Figure 7. Figure 8 shows a photograph of the typical glow from ZnO target when sputtering.

As-doped ZnO films were grown on glass and SiO$_2$/Si substrates at different substrate temperatures by sputtering Zn$_3$As$_2$/ZnO target or cosputtering Zn$_3$As$_2$ and ZnO targets. Undoped ZnO films were deposited by sputtering ZnO target. Silicon oxide layer with a thickness of 250 nm was thermally grown in dry oxygen on Si substrate. The substrates were first cleaned by acetone and ethanol and then rinsed in de-ionized water each for 5 min at room temperature. The sputtering chamber was evacuated to a base pressure of 10$^{-3}$Pa. A pure Ar (99.999%) was used as the working gas. The distance between the targets and the substrate was 14cm. The targets were presputtered for 20 min to remove contaminants. The As-doped ZnO targets were prepared by adding Zn$_3$As$_2$ and sintering at 900°C for 3h. The Zn$_3$As$_2$ contents in the targets were 0.5mol%, 1.0mol%, 1.5mol%, 2mol%, respectively. The pure Zn$_3$As$_2$ target was sintered in pure Ar (purity: 99.999%; pressure: 0.1MPa) at 800°C for 2h. The film thickness was measured with ellipsometer.
The structures and morphologies of the as-grown ZnO films were characterized by X-ray diffraction (XRD, Siemens D-5000, and Cu Ka, λ = 1.5405Å), atomic force microscopy (AFM, NTD-Pro47) and scan electron microscopy (SEM, JSM-6700F). The composition of As-doped ZnO film was analyzed by an energy dispersive X-ray (EDX) spectroscopy (INCA, Oxford) attached to the SEM. The concentration of As in ZnO film was measured with Secondary ion mass spectroscopy (SIMS, Physical Electronics model 7200). The bonding state of As in ZnO:As films were studied by x-ray photoelectron spectroscopy (XPS) using the Mg Kα line (Physical Electronics model 5600). The x-ray source and the C 1s line were taken as the standard reference. The electrical properties of the films were investigated at room temperature in the Van der Pauw configuration using HL5500 Hall system. The measurement process was the following: ensuring Ohmic contact→the resistivity measurement→Hall effect measurement→repeating Hall effect measurement. During the whole measurement, the resistivity was measured once and every sample had one value of the resistivity and several values of the mobility and carrier concentration. For one sample, if the results of several Hall effect measurements showed the same
conduction type, we consider it had stable conduction type. If the results of several Hall effect measurements were not consistent, and the conduction type of the film was not confirmed. The optical transmission spectra of the films were measured at room temperature using an UV–vis double beam spectrometer. Low temperature photoluminescence (PL) were systematically performed for ZnO films by the excitation from 325 nm He-Cd laser.

5. Results and discussion

5.1 Undoped ZnO films
First, let us investigate the properties of undoped ZnO films grown by magnetron sputtering. The undoped ZnO films were deposited on glass substrates at various temperatures from 250 to 450°C with RF power of 120W. High purity Ar (99.999%) or mixture of Ar and O₂ (Ar:O₂ = 3:1) maintained at 0.6 Pa was used as the working gas. In addition, the ZnO film measured low temperature PL was prepared on SiO₂/Si substrate at 350°C with purity Ar maintained at 0.5 Pa.

Figure 9 shows the XRD patterns of ZnO powder and film deposited at 450°C.

Fig. 9. XRD patterns of ZnO powder (a) and film deposited at 450°C (b).

Many diffraction peaks, such as (100), (002), (101) were seen in the pattern of ZnO powder and the (002) peak was not the strongest one. In the pattern of ZnO film deposited at 450°C, a strong peak of (002) at about 34.5° and a weak peak of (004) at 72.6° were observed. Comparison of the patterns shows that the thin film tended to be oriented on the (001) surface. SEM photograph in Figure 5 showed that the grains of ZnO film were small, around 100nm in diameter, in which exhibited hexagonal form and the powder were composed of ZnO grains with different diameters.

The optical absorption spectra of ZnO powder and film deposited at 450°C in the visible are displayed in Figure 10. The fundamental absorption for both powder and film starts from about 370 nm and the absorption of film in UV region was stronger, obviously. The inset shows a plot of (αhv)² against hv for ZnO film and the optical band gap (Eg) value was obtained by extrapolating the linear portion to photo energy axis. It was found to be about 3.262eV.
Fig. 10. Absorption spectra of ZnO powder (b) and film (a). The film thickness was about 300 nm. Inset shows plot of $(ahv)^2$ against hv for estimation of direct allowed optical gap of the film. The estimated gap was 3.262eV.

Figure 11 shows XRD patterns of ZnO films grown with different conditions. The growth parameters of the films were summarized in Table 2. A strong peak of ZnO (002) at about 34.5° was observed for each sample, indicating that the films were c-axis oriented. The full-width at half-maximum (FWHM) of (002) peaks were listed in Table 2. (103) peak in the XRD pattern of the film grown at 250°C (SampleSA) shows that c-axis oriented grains in the film did not dominate completely due to the low growth temperature. (103) peak disappeared in the films deposited at 350°C (SampleSB), indicating the c-axis orientation of the film became stronger and the crystallinity was improved, which was consist with the change of (002) FWHM from 0.40° to 0.38°. Comparison of the patterns of SamplesB, Sc and Sb+annealing shows that the induction of O2 in working gas and post-annealed improved the quality of ZnO films grown with magnetron sputtering.

Fig. 11. XRD patterns of ZnO films grown different conditions: (a) $P_{Ar}=0.6\text{Pa}$, 250°C; (b) $P_{Ar}=0.6\text{Pa}$, 350°C; (c) $P_{Ar}=0.45\text{Pa}$, $P_{O2}=0.15\text{Pa}$, 350°C.
Table 2. Growth parameters and (002) FWHM of ZnO films.

| Samples | $P_{A}(\text{Pa})$ | $P_{O_2}(\text{Pa})$ | Temperature (°C) | FWHM (degree) |
|---------|-------------------|---------------------|-----------------|---------------|
| S_A     | 0.6               | 0                   | 250             | 0.40          |
| S_B     | 0.6               | 0                   | 350             | 0.38          |
| S_C     | 0.45              | 0.15                | 350             | 0.32          |
| S_B+annealing |               |                     |                 | 0.34          |

Fig. 12. XRD patterns of as-grown ZnO film at 350°C and annealed at 450°C in air for 2h.

The surface morphologies of ZnO films were investigated by AFM. Figure 13 shows AFM images of ZnO films grown with different conditions. It can be seen that the grains of the films became larger with the temperature increased from 250 to 350°C and post-annealing improved the uniform of the film, which indicated the crystallinity of the films improved and were consisted with the results of XRD.

Figure 14 shows the optical transmittance spectra of ZnO films. The transmittances are over 70% in the visible region for all the films and the fundamental absorptions are at about 370nm. The inset of Figure 14 reveals the relationship between absorption coefficient and photo energy of ZnO film deposited at 350°C. The Eg value estimated was 3.271 eV.

Low temperature PL was performed for ZnO film grown on SiO$_2$/Si substrate. The near band edge (NBE) part of the 10 K PL spectrum was shown in Figure 15, which had peaks at 3.355, 3.308, and 3.234eV (Fan, et al., 2009). Similar lines were also observed by Petersen et al., (3.350 and 3.303eV) in n-type ZnO grown by sol-gel process (Petersen, et al., 2008) and by Zhong et al (3.357 and 3.309eV) in ZnO tetrapod(Zhong, et al., 2008). The ~3.36 eV was ascribed to the neutral donor-bound-exciton (D$_0^X$) according to D.C.Look’s suggestion about the peak (Look & Clalin,2004). The 3.31 eV line was associated with the corresponding two-electron-satellite (TES) and/or exciton-LO phonon emission, therefore, the peaks at 3.355 and 3.308eV in Figure 15 were assigned to be the D$_0^X$ and the TES/exciton-LO phonon lines, respectively. The 3.234 eV observed in Figure 15 was similar to the ~3.24eV donor-acceptor-pair (DAP) emission suggested by Peterson et al (Peterson, et al., 2008), and were thus assigned as DAP.
Fig. 13. AFM images of ZnO films prepared with different conditions: (a) $P_{Ar} = 0.6$ Pa, 250°C; (b) $P_{Ar} = 0.6$ Pa, 350°C; (c) $S_B +$ annealing.

Fig. 14. Transmittance spectra of as-grown ZnO films prepared with different conditions: (a) $P_{Ar} = 0.6$ Pa, Room temperature; (b) $P_{Ar} = 0.6$ Pa, 250°C; (c) $P_{Ar} = 0.6$ Pa, 350°C; The inset is the $(\alpha h\nu)^2$ vs $h\nu$ curve for the optical band gap determination in the film deposited at 350°C. The $E_g$ value estimated was 3.271 eV.
5.2 As doped ZnO films prepared by sputtering Zn$_3$As$_2$/ZnO target

As doped ZnO films were prepared on glass and SiO$_2$/Si substrates by sputtering Zn$_3$As$_2$/ZnO target at a substrate temperature from 50 to 450°C, respectively. The Zn$_3$As$_2$ contents in the targets were 0.5mol%, 1.0mol%, 1.5mol%, respectively. A pure Ar (99.999%) at 0.6 Pa was used as the working gas. The films were deposited with a radio frequency (RF) power from 80 to 150W, respectively. The total thickness of the films was about 300 nm. In addition, the As-doped ZnO films performed low temperature PL were prepared on SiO$_2$/Si substrates at 250 and 350°C with purity Ar maintained at 0.5 Pa, using the target with 1mol% Zn$_3$As$_2$ and ZnO target.

Figure 16 shows the XRD patterns of As-doped ZnO films deposited on glass substrates at different temperatures. A strong peak of (0 0 2) at about 34.5° for all samples was observed, indicating that the films were c-axis oriented. Two peaks corresponding to (1 1 0) and (1 1 1) of Zn$_3$As$_2$, respectively, were detected in the patterns of the films deposited at 50 and 250°C, indicating the films were ZnO/Zn$_3$As$_2$ or ZnO:As/Zn$_3$As$_2$ ones (samples A and B). However, no diffraction peaks associated with Zn$_3$As$_2$ were detected in the patterns of ZnO films deposited at 350 and 450°C, revealing the films were ZnO:As ones, corresponding to samples C and D, respectively.

Fig. 16. XRD patterns of As-doped ZnO films deposited on glass substrates at different temperatures: 50°C (a); 250°C (b); 350°C (c); 450°C (d). From Ref.(Fan, et al., 2007a).
Similar phenomenon was observed in the XRD spectra of As-doped ZnO films deposited SiO$_2$/Si substrates with different conditions, as shown in Figure 17, and the growth parameters were summarized in Table 3. The (110) and (111) peaks of Zn$_3$As$_2$ were detected in the patterns of Samples S1, S2, S4 and S7, which showed that the films were ZnO/Zn$_3$As$_2$ or ZnO:As/Zn$_3$As$_2$ ones. Only ZnO (002) peak was observed in the patterns of Samples S3, S5 and S6, indicating the films were ZnO:As ones. Therefore, the growth parameters of ZnO:As film in our experiments were summarized in Table 4.

| Samples | RF power (W) | Temperature ($^\circ$C) | Zn$_3$As$_2$ in target (mol%) |
|---------|--------------|-------------------------|-------------------------------|
| S1      | 100          | 350                     | 1                             |
| S2      | 120          | 350                     | 1                             |
| S3      | 150          | 350                     | 1                             |
| S4      | 120          | 300                     | 1                             |
| S5      | 120          | 400                     | 1                             |
| S6      | 120          | 350                     | 0.5                           |
| S7      | 120          | 350                     | 1.5                           |

Table 3. Growth parameters of As-doped ZnO films on SiO$_2$/Si substrates.

Fig. 17. (a) XRD patterns As-doped ZnO films deposited at 350$^\circ$C with various RF powers from 100 to 150W (samples S1, S2, and S3). (b) XRD patterns As-doped ZnO films deposited with RF powers of 120W at 300–400$^\circ$C (samples S2, S4, and S5). (c) XRD patterns As-doped ZnO films deposited with RF powers of 120W at 350$^\circ$C by sputtering 0.5-1.5mol% Zn$_3$As$_2$/ZnO targets (samples S5, S6, and S7).
Table 4. Growth parameters of ZnO:As films in our experiments

The surface morphology of ZnO:As films in our experiments were investigated by AFM and SEM. Figure 18 shows AFM images of ZnO:As film deposited on glass at 350°C (SampleC). It can be seen that the film was composed of globe-like grains and had high quality, which was consist with XRD results in Figure 16.

![AFM images of ZnO:As film deposited on glass at 350°C (SampleC).](image)

| Samples | RF power (W) | Temperature (°C) | Zn$_2$As$_2$ in target (mol%) | Substrate         |
|---------|--------------|------------------|-------------------------------|-------------------|
| C       | 100          | 350              | 1                             | Glass             |
| D       | 120          | 350              | 1                             | Glass             |
| S3      | 150          | 350              | 1                             | SiO$_2$/Si        |
| S5      | 120          | 400              | 1                             | SiO$_2$/Si        |
| S6      | 120          | 350              | 0.5                           | SiO$_2$/Si        |

The microstructure of the ZnO:As films grown on SiO$_2$/Si substrates was characterized using SEM. The SEM micrographs of the films revealed that the films had a homogeneous surface formed by globe-like grains, indicating high quality of the film microstructure. The typical SEM images obtained for samples S3 and S5 are shown in Figure 19.

![SEM images of ZnO:As film deposited on SiO$_2$/Si substrates: (a), Sample S3; (b), Sample S5.](image)
EDS and SIMS analyses were carried out to study the As-doping content in ZnO:As films. EDS was performed in two different areas of the samples to confirm whether the films contain As or not. Figure 20 shows the typical EDS spectrum of ZnO:As film deposited at 350°C on glass, which indicated that the presence of element As besides Zn, O, Ca and Si. Obviously, the peaks of Ca and Si should be ascribed to the glass substrate. The element content in the film is illustrated in Table 5 (Fan, et al., 2007a). It can be seen that the film contained almost the same As content in its different areas.

![EDS spectrum of ZnO:As film grown at 350°C on glass.](image)

**Table 5.** Content (at%) analysis of various elements in the ZnO:As film deposited at 350°C using EDS. From Ref. (Fan, et al., 2007a).

| Element | First Area | Second Area |
|---------|------------|-------------|
| Zn      | 31.75      | 31.83       |
| O       | 67.04      | 66.94       |
| As      | 1.21       | 1.23        |

![SIMS spectrum showing the As depth profile of the As-doped ZnO film grown at 450°C on SiO₂/Si substrate.](image)

SIMS was characterized for ZnO:As films deposited on SiO₂/Si substrate at 450°C with Ar at 0.5 Pa as working gas, as exhibited in Figure 21. The As element was found to be uniformly distributed in the film sample down to a depth of ~280nm.
XPS was employed to investigate the chemical states of As atoms in ZnO:As films. Figure 22 shows the typical XPS spectra of the As3d, Zn2p and O1s core-level spectra for ZnO:As film. The As (3d) binding energies of the As-O and As-Zn bonds were associated with the values of ~45 eV and 41 eV, respectively. The observation of the 44.8 eV single peak in the As (3d) binding energy in XPS spectrum of ZnO:As film implied that the As atoms occupied the Zn site of the ZnO lattice, which was consistent with the results obtained by Wahl (Wahl, et al., 2005). Asymmetric O1s peak was detected for the sample, which had a shoulder at the higher binding energy side fitting with Gaussian distribution. The buildup of two peaks at 530.53 and 531.93 eV was observed. The domination peak at 530.53 was attributed to the O2− ion in the wurtzite structure surrounded by the Zn ions. The peak at 531.93 eV was assigned to loosely bound oxygen, such as absorbed O2 or adsorbed H2O on the ZnO surface or H-implanted in the fabrication of ZnO:As film, which was consistent with the result of SIMS. Two peaks at 1021.73 eV and 1044.83 eV with a spin-orbit splitting of 23.1 eV, corresponding to Zn2p3/2 and Zn2p1/2, respectively were seen in Zn2p XPS spectrum, which coincided with the findings for the Zn2+ bound to oxygen in the ZnO Matrix.

Fig. 22. (a) As3d, (b) Zn2p and (c) O1s core-level spectra for ZnO:As film.

Hall effect measurements were performed on As-doped ZnO films on glass and SiO2/Si substrates at room temperature. The electrical properties of As-doped ZnO films on glass substrates are summarized in Tables 6 (Fan, et al., 2007a) and 7.
Table 6. Electrical properties of As-doped ZnO films on glass at different substrate temperatures with RF power of 120W. From Ref. (Fan, et al., 2007a).

| Samples | Substrate temperature (°C) | Mobility (cm²/Vs) | Resistivity (Ω cm) | Carrier concentration (cm⁻³) | Type |
|---------|-----------------------------|-------------------|--------------------|-----------------------------|------|
| A       | 50                          | 0.979             | 1.84               | 3.46×10¹⁸                   | n    |
| B       | 250                         | 0.891             | 7.8                | 8.99×10¹⁷                   | p    |
| C       | 350                         | 0.3               | 30.3               | 6.88×10¹⁷                   | p    |
| D       | 450                         | 1.96              | 90.7               | 3.51×10¹⁶                   | n    |

Table 7. Electrical properties of As-doped ZnO films on glass with various RF powers at 350°C.

| Samples | RF power (W) | Mobility (cm²/Vs) | Resistivity (Ω cm) | Carrier concentration (cm⁻³) | Type |
|---------|--------------|-------------------|--------------------|-----------------------------|------|
| C       | 120          | 0.3               | 30.3               | 6.88×10¹⁷                   | p    |
| E       | 150          | 0.935             | 48.2               | 1.39×10¹⁷                   | n    |

From Tables 6 and 7, it can be seen that the As-doped ZnO films fabricated with various conditions exhibited different conduction types. The film grown at 50°C (Sample A) was n type with a carrier concentration of 3.46×10¹⁸ cm⁻³, a mobility of 0.979 cm²/Vs, a resistivity of 1.84Ω cm, however, Sample B, deposited at 250°C, became p-type (ρ = 8.99×10¹⁷ cm⁻³, μₜ = 0.891 cm²/Vs, R = 7.8Ω cm ), Sample C grown at 350°C, showed p type, exhibiting a 6.88×10¹⁷ cm⁻³ carrier concentration, a 0.3 cm²/Vs mobility and 30.3Ω cm resistivity. While the substrate temperature was increased to 450°C or rf power became 150W from 120W at 350°C, corresponding to Sample D (n = 3.51×10¹⁶ cm⁻³, μₑ = 1.96 cm²/Vs, R = 90.7Ω cm ) and sample E (n = 1.39×10¹⁷ cm⁻³, μₑ = 0.935 cm²/Vs, R = 48.2Ω cm ), respectively, the samples exhibited n type.

For the mechanism of p-type conductivity of ZnO by doping large-size-match impurities, such as P, As and Sb, Limpijumnong et al proposed As₃Zn⁻2V₃Zn acceptor Model (Limpijumnong, et al., 2005; Fan, et al., 2007a). This doping mechanism differs from the substitution mechanism of As into O site (AsO), in which As atom occupies Zn antisite, not O sites, forming As₃Zn⁻2V₃Zn acceptor with the ionization energy of 0.15eV. The process can be written as:

\[
\text{As}^{3+}_\text{Zn} - \text{V}^{2-}_\text{Zn} \rightarrow (\text{As}^{2+}_\text{Zn} - \text{V}^{+}_\text{Zn})^+ \quad (1)
\]

\[
(\text{As}^{2+}_\text{Zn} - \text{V}^{+}_\text{Zn})^+ + \text{V}^{2-}_\text{Zn} \rightarrow (\text{As}^{3+}_\text{Zn} - 2\text{V}^{2+}_\text{Zn})^- \quad (2)
\]

The formation energy of As₃Zn⁻2V₃Zn is lower than that of As₃Zn and As₃Zn⁻V₃Zn and its formation is easier, comparatively. Both As₃Zn and As₃Zn⁻V₃Zn are donors and As₃Zn⁻2V₃Zn is acceptor. Zn₃As₂ is a p-type semiconductor materials. Obviously, the p-type conductivity of the As-doped films deposited for this study can be attributed to Zn₃As₂ and/or As₃Zn⁻2V₃Zn complex, while the n-type conductivity is due to AsZn₃, As₃Zn⁻V₃Zn intrinsic donor defects (Vo and Zni) and hydrogen as an unintentional extrinsic donor in ZnO films. For Sample A,
grown at 50°C, the electron concentration associated with donor defects \((\text{As}_{\text{Zn}}, \text{As}_{\text{Zn}}-V_{\text{Zn}}, \text{Vo}, \text{Zni and H})\) was higher than the hole concentration related to \(\text{Zn}_{3}\text{As}_2\) and \(\text{As}_{\text{Zn}}-2V_{\text{Zn}}\) complex, and for sample B, deposited at 250°C, was lower, therefore, sample A showed n-type conduction and sample B was p-type. For sample C, ZnO:As films deposited at 350°C, only \(\text{As}_{\text{Zn}}-2V_{\text{Zn}}\) complex acted as acceptor in the film, therefore, hole in the film decreased when substrate temperature increased from 250 to 350°C, however, it was majority carrier.

The n-type conductivity of samples D and E was mostly due to form plentiful \(\text{As}_{\text{Zn}}\) and \(\text{As}_{\text{Zn}}-V_{\text{Zn}}\), resulting in electron became majority carrier.

In attempting to further understand the thermal induced n-to-p-type conversion of the ZnO:As films, we have carried out Ar-atmosphere annealing study on the n-type ZnO:As film grown on the glass substrate at 450°C. After 400°C annealing for 60min, the film was converted from n type to p type having \(p = 9.10 \times 10^{15}\) cm\(^{-3}\), as shown in table 8.

Table 8. Electrical properties of ZnO:As film (Sample D) post-annealing. From Ref. (Fan, et al., 2007a).

| Annealing time (min) | Mobility \((cm^2/Vs)\) | Resistivity \((\Omega cm)\) | Carrier concentration \((cm^3)\) | Type |
|----------------------|------------------------|---------------------------|-------------------------------|------|
| 20                   | 2.91                   | 88.7                      | 2.42 \times 10^{15}           | n    |
| 40                   | 3.69                   | 176                       | 9.61 \times 10^{15}           | n    |
| 60                   | 4.07                   | 169                       | 9.10 \times 10^{15}           | p    |

Similar changes of the conduction type were also found for As-doping ZnO films fabricated on SiO\(_2\)/Si substrates with various conditions, as summarized in table 9, which was agreement with the \(\text{As}_{\text{Zn}}-2V_{\text{Zn}}\) acceptor Model (Fan & Xie, 2008b).

Table 9. Growth parameters and electrical properties of As-doped ZnO films fabricated on SiO\(_2\)/Si substrates. From Ref. (Fan & Xie, 2008b).

| Samples | RF power \((W)\) | Substrate temperature \((\degree C)\) | Resistivity \((\Omega cm)\) | Mobility \((cm^2/Vs)\) | Carrier concentration \((cm^3)\) | Type |
|---------|-----------------|--------------------------------------|---------------------------|-----------------------|-------------------------------|------|
| S1      | 100             | 350                                  | 36.1                      | 2.76                  | 6.26 \times 10^{16}           | P    |
| S2      | 120             | 350                                  | 66.3                      | 4.44                  | 2.12 \times 10^{16}           | P    |
| S3      | 150             | 350                                  | 15.6                      | 1.82                  | 3.03 \times 10^{17}           | P    |
| S4      | 120             | 300                                  | 15.55                     | 13.3                  | 3.02 \times 10^{16}           | n    |
| S5      | 120             | 400                                  | 10.35                     | 6.08                  | 9.92 \times 10^{16}           | P    |

Figure 23 shows the optical transmittance spectra of undoped ZnO and ZnO:As films deposited on glass at 350°C. The transmittances were over 70% in the visible region and the fundamental absorption edges were clearly observed the films. Compared the absorption edges of the films, the absorption edge of ZnO:As film shifted to short-wavelength, the phenomenon can be observed in the inset of Figure 23, which reveals the relationship between absorption coefficient and photo energy. The optical band gap (\(E_g\)) value was obtained by extrapolating the linear portion to photo energy axis. As shown in the inset of Figure 23, the \(E_g\) values of the films blueshifted from 3.271 to 3.325eV.
Fig. 23. Transmittance spectra of undoped ZnO (a) and ZnO:As (b) films deposited on glass at 350°C. The inset is the $(ahv)^2$ vs $hv$ curves for the optical band gap determination in the films.

Figure 24 shows the NBE PL spectrum at 10K of the p-type ZnO:As film deposited on SiO$_2$/Si substrate. This film shows the dominant A$^0$X at 3.337eV and small emission lines such as the free electron to acceptor recombination (FA) at 3.282 eV and the donor acceptor pair emissions at 3.236, 3.197 and 3.158eV (Fan, et al., 2009; Fan, et al., 2010). The acceptor binding energy (ionization energy) can be calculated by equation (1)

$$E_A = E_{\text{gap}} - E_{FA} + k_B T / 2,$$

where $E_{\text{gap}}$=3.437eV and $E_{FA}$=3.282eV were the band gap energy and the temperature dependent transition energy, respectively. The value of $E_A$ was calculated to be 155meV, which was nearly consistent with the ionization energy of As$_{Zn}$=2V$_{Zn}$ as a shallow acceptor in ZnO (=0.15 eV). That is the As substitutes on the Zn site, then it induces two Zn-vacancy acceptors, forming As$_{Zn}$=2V$_{Zn}$ acceptor, which is good agreement with the result about the A3d XPS spectrum.

Fig. 24. NBE region of 10 K PL spectrum for p-type ZnO:As film deposited on SiO$_2$/Si substrate.
5.3 As doped ZnO films prepared by co-sputtering Zn$_3$As$_2$ and ZnO targets

As-doped ZnO films were deposited on glass substrates by co-sputtering two targets: a pure ZnO and a pure Zn$_3$As$_2$. The working argon pressure was kept at 1.0Pa, and the substrate temperature was in the range of 250 to 500°C. The films were deposited with the RF power of 100W on ZnO target and various direct current (DC) powers (0, 2.5, 7.6 and 9.7W) on Zn$_3$As$_2$ target. The grown parameters of the films were summarized in Table10. After deposition, post-annealing was carried out for SampleG at 350 and 450°C, respectively, in Ar ambient for 30 min. The thickness of the films was in the range of 100 to 200nm.

| Samples | DC power on Zn$_3$As$_2$ target (W) | Substrate temperature (°C) |
|---------|-------------------------------------|---------------------------|
| F       | 0                                   | 350                       |
| G       | 7.6                                 | 350                       |
| H       | 9.7                                 | 350                       |
| I       | 2.5                                 | 250                       |
| J       | 2.5                                 | 300                       |
| K       | 2.5                                 | 350                       |
| L       | 2.5                                 | 400                       |
| M       | 2.5                                 | 450                       |
| N       | 2.5                                 | 500                       |

Table 10. The grown parameters of As-doped ZnO by co-sputtering.

Fig. 25. XRD patterns of As-doped ZnO films with different DC powers on Zn$_3$As$_2$ target: (F) 0W, (G) 7.6 W, and (H) 9.7 W. From Ref. (Fan, et al., 2007b).

XRD spectra of the As-doped ZnO films grown with various DC powers on Zn$_3$As$_2$ target had a dominate peak at about 34.4° corresponding to the ZnO (002), and no peaks about Zn$_3$As$_2$, as shown in Figure 25, which indicated the films had single phase wurtzite structure and the c-axis preferred orientation. The appearance of ZnO(101) peak for sample H showed that the crystallinity of the film degredated due to mass As doping, observably. Figure 26 showed the XRD patterns of As-doped ZnO films deposited at different temperatures. The peak of Zn$_3$As$_2$(111) in the XRD pattern of the film deposited at 250°C indicated that the film was ZnO/Zn$_3$As$_2$ or ZnO:As/Zn$_3$As$_2$ one. For the samples fabricated at T >250°C, only ZnO
peaks such as (100), (002), (101), (103) and (004), were observed, indicating that the films were ZnO:As ones. Obviously, the crystallinity of the films improved when the temperature increased from 250 to 400°C, and then became poor when T>400°C. This can be interpreted from nucleation theory.

Fig. 26. XRD patterns of As-doped ZnO films grown at various temperatures: 250°C(I), 300°C (J), 350°C(K), 400°C (L), 450°C (M), and 500°C(N). From Ref.(Fan&Xie, 2008a).

The typical XRD patterns of as-grown and annealed ZnO:As films were shown in Figure 27. It can be seen that the ZnO:As films remained the c-axis preferred orientation and the crystallinity did not change observably with annealing treatment in our experiment.

Fig. 27. XRD patterns of the as-grown SampleG and annealed ZnO:As films with different annealing temperatures.

The surface morphology of As-doped ZnO films by co-sputtering were analyzed using SEM. Figure 28 showed the SEM micrographs of ZnO:As film(SampleG: DC power of 7.6W on Zn₃As₂ target) and SampleG+annealing at 450°C. The film had a homogeneous surface formed by nano-grains and post-annealing in our experiment did not change the quality of the film, observably, which was consistent with the XRD result (Figure 27).
Fig. 28. The SEM micrographs of ZnO:As film (SampleG)(a) and SampleG+annealing at 450°C(b).

Fig. 29. The SEM micrographs of ZnO:As films deposited at various temperatures: 350°C(a), 400°C(b), (c)450°C, (d) the higher resolution of Fig.29.b.

The SEM micrographs of ZnO:As films deposited with DC power of 2.5W on Zn$_3$As$_2$ target at substrate temperatures from 350 to 450°C, corresponding to SamplesK, L and M, respectively. It can been that the ZnO:As films with c-axis preferred orientation had
homogeneous surface formed by globle-like grains, indicating the high quality of the films, the nonuniform surface of Sample M grown at 450°C was attributed that excessive As atoms in ZnO structure lead to poor crystallinity at higher temperature. It was noted that the grains like ones in the film deposited at 450°C (Figure 29c) was detected in the film deposited at 400°C, as shown in Figure 29d.

XPS analysis was performed for ZnO:As films deposited by co-sputtering to investigate bonding state of As in the films. The typical XPS patterns were shown in Figure 30. Only a peak at 44.8eV was detected in As3d XPS spectrum, corresponding to As–O, indicating that As was in its oxidization state and it replaced Zn site in the ZnO:As film. A dominated symmetric O 1s peak at 531.2eV was observed, as shown in Figure 30b, indicating the O2− ion in the wurtzite structure surrounded by the Zn ions. Figure 30c shows two peaks at 1021.7 and 1044.9eV, corresponding to Zn2p3/2 and Zn2p1/2, respectively. The results about As and Zn chemical bonding were similar to the ones obtained for p-type ZnO:As film grown by sputtering Zn3As2/ZnO target.

The electrical properties of As-doped ZnO films grown with different conditions were characterized by Hall effect measurements at room temperature. The results were summarized in Tables 11 and 12. It noted that the as-grown ZnO:As films deposited with various conditions exhibited different conduction types. For Sample G, deposited at 350°C with DC power of 7.6W on Zn3As2 target showed n type conductivity: $n = 1.33 \times 10^{18} \text{cm}^{-3}$, $\mu_e = 0.215 \text{cm}^2/\text{Vs}$, $R = 21.8 \Omega \text{cm}$, however, SampleH, grown at 350°C with DC power of 9.7W on Zn3As2 target, exhibited p type behavior with a carrier concentration of $8.42 \times 10^{16} \text{cm}^{-3}$, a
mobility of 0.717 cm$^2$/Vs, a resistivity of 103.5 Ω cm. The change of the conduction type of the films was attributed that plentiful As$_{2n}$-2V$_{V_{Zn}}$ acceptor in the film when DC power increased from 7.6 W to 9.7 W, resulting in hole became majority carrier. In Table 11, Also noted that the conduction type of Sample G changed from n type to p type after annealing, which was owed that annealing made reactions (1) and (2) continue, forming more As$_{2n}$-2V$_{V_{Zn}}$ acceptor in the film.

When DC power on Zn$_3$As$_2$ target remained 2.5 W, the As-doped ZnO films were grown at different temperatures from 250 to 500°C, corresponding to Samples I, L, K, M and N, respectively. The electrical properties of the films was shown in Table 12 (Fan & Xie, 2008a). As shown in this table, the conduction type of As-doped ZnO films conversed from n-type to p-type with increasing the substrate temperature. The films grown at 250 and 300°C and the ones grown at 400, 450, 500°C were n-type and p-type, respectively while the film deposited at 350°C showed unstable electrical behavior between n- and p-type. The change of the conduction type of the films could also be attributed to form more As$_{Zn}$-2V$_{V_{Zn}}$ acceptor in the films grown at T ≥ 400°C. The reduction of carrier concentration from 7.40 × 10$^{16}$ to 1.64 × 10$^{16}$ cm$^{-3}$ with temperature increasing from 400 to 500°C may be due to plentiful As$_{Zn}$, As$_{V_{Zn}}$ donors at excessive temperature, such as 450 and 500°C. In addition, the degeneration of crystallinity of the films deposited at excessive temperature may form a large number intrinsic donors, such as Zni and Vo, compensating for hole in the films.

Table 11. Electrical properties of undoped and As-doped ZnO films deposited with different DC powers on Zn$_3$As$_2$ target at 350°C.

| Samples          | Resistivity (Ω cm) | Mobility (cm$^2$/Vs) | Carrier concentration (cm$^{-3}$) | Type |
|------------------|--------------------|----------------------|----------------------------------|------|
| F                | 4.17               | 1.88                 | 7.95 × 10$^{17}$                 | n    |
| G                | 21.8               | 0.215                | 1.33 × 10$^{18}$                 | n    |
| H                | 103.5              | 0.717                | 8.42 × 10$^{16}$                 | p    |
| G+annealing(350°C)| 64.4               | 0.143                | 6.78 × 10$^{17}$                 | p    |
| G+annealing(450°C)| 57.2               | 0.565                | 1.94 × 10$^{17}$                 | p    |

Table 12. Electrical properties of As-doped ZnO films deposited at various temperatures with 2.5 W DC power on Zn$_3$As$_2$ target. From Ref. (Fan & Xie, 2008a).
Fig. 31. Transmittance spectra of as-grown ZnO films with different DC powers on Zn$_3$As$_2$ target: (F) 0 W, (G) 7.6 W, and (H) 9.7 W. The inset is the $(\alpha h \nu)^2$ vs $h \nu$ curves for the optical band gap determination in the films. From Ref. (Fan, et al., 2007b).

Fig. 32. Transmittance spectra of As-doped ZnO films grown at various temperatures: 250°C (I), 300°C (J), 350°C (K), 400°C (L), 450°C (M), and 500°C (N). The inset is the $(\alpha h \nu)^2$ vs $h \nu$ curves for the optical band gap determination in the films. From Ref. (Fan & Xie, 2008a).

Figure 31 shows the optical transmittance spectra of undoped and As-doped ZnO films (Samples F, G and H). The transmittances were over 70% in visible region. The absorption edge of the films shifted to short-wavelength as DC power on Zn$_3$As$_2$ target increased, indicating that the Eg of the films blueshifted, as shown in the inset in Figure 31. From XRD results, the phenomenon was attributed to the effects of As doping on the crystallinity of the films. In a simplified physical model, the structure can be considered as various nanocrystalline islands embedded in a matrix of amorphous ZnO. The absorption of photon was mainly ascribed to amorphous ZnO phase in the films and as the fraction of amorphous ZnO phase in the films increased, the extended localization in the conduction and valence
band increased. For the As-doped ZnO films deposited with different DC power on Zn$_3$As$_2$ target, the c-axis preferred orientation of the films became weaker and the crystallinity of the films degraded with DC power increased (Figure 25), resulting in amorphous ZnO phase in the films increased, therefore, the $E_g$ value blueshifted from 3.22 to 3.34eV. Similar phenomenon was also observed in the transmittance spectra of As-doped ZnO films grown at various temperature, as shown in Figure 32 (Fan & Xie, 2008a). The shift of $E_g$ value in Figure 32 was also owned to amorphous ZnO phase in the films. The crystallinity of ZnO films improved and the amorphous ZnO phase in the films decreased when the substrate temperature increased from 250 to 400$^\circ$C, the $E_g$ value red-shifted from 3.288 to 3.275eV. For p-type As-doped ZnO films grown at $T \geq 400^\circ$C, the crystallinity of the films became poorer and the amorphous ZnO phase in the films increased, the $E_g$ value blue-shifted from 3.275 to 3.302eV.

6. Conclusion

p-Type ZnO:As films with hole concentration $10^{16}$-$10^{17}$ cm$^{-3}$ were fabricated on glass and SiO$_2$/Si substrates at different temperatures by sputtering Zn$_3$As$_2$/ZnO target or cosputtering Zn$_3$As$_2$ and ZnO targets, demonstrating two new approaches to obtain p-type ZnO films for the development of ZnO-based optoelectronic devices. We studied the structural, electrical and optical properties of As-doped films with various methods and discussed the changes of conduction type of As-doped ZnO films deposited with different conditions. XPS results revealed that As was in its oxidization state and it occupied the Zn site in ZnO:As films. PL investigation showed that the acceptor binding energy was $\sim$150 meV. Both XPS and PL results were consistent with As$_{2n}$-$2V_{Zn}$ acceptor model about p-type conductivity of As-doped ZnO film proposed by Limpijumnong et al., which helped to understand the microscopic structure of As in As-doped ZnO and the microscopic origin of p-type ZnO by doping large-size-mismatched impurities.

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