Real-Time Assembly of Viruslike Nucleocapsids Elucidated at the Single-Particle Level

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Supporting Information

ABSTRACT: While the structure of a multitude of viral particles has been resolved to atomistic detail, their assembly pathways remain largely elusive. Key unresolved issues are particle nucleation, particle growth, and the mode of genome compaction. These issues are difficult to address in bulk approaches and are effectively only accessible by the real-time tracking of assembly dynamics of individual particles. This we do here by studying the assembly into rod-shaped viruslike particles (VLPs) of artificial capsid polypeptides. Using fluorescence optical tweezers, we establish that small oligomers perform one-dimensional diffusion along the DNA. Larger oligomers are immobile and nucleate VLP growth. A multiplexed acoustic force spectroscopy approach reveals that DNA is compacted in regular steps, suggesting packaging via helical wrapping into a nucleocapsid. By reporting how real-time assembly tracking elucidates viral nucleation and growth principles, our work opens the door to a fundamental understanding of the complex assembly pathways of both VLPs and naturally evolved viruses.

KEYWORDS: Self-assembly, artificial virus, physical virology, biophysics, optical tweezers, acoustic force spectroscopy

The structure of viral particles is typically highly regular and remarkably stable.1 A number of viruses have been reconstituted in vitro, suggesting that quite generic physical driving forces determine their assembly pathways. This motivated trials to replace viral genomes with other cargo, allowing viruses to be employed, e.g., as drug delivery platforms.2−4 Many bulk experimental studies5−8 as well as modeling and computer simulation approaches9−11 have been performed to elucidate the in vitro assembly pathways of viral particles. An important conclusion is that the multiplicity of assembly pathways in the experiments obscures interpretation of the findings.12 Central questions yet to be answered include the nature of the critical nuclei required for productive viral particle formation as well as the nature and dynamics of nucleic acid condensation during particle formation.13−15 In order to discriminate between different pathways and to identify assembly intermediates, real-time assembly of viral particles should be probed at the single-particle level.

Single molecule techniques such as electron microscopy and atomic force microscopy (AFM) provide for high-resolution images of viruses but typically yield images with only limited information on dynamic assembly pathways.16,17 High-resolution AFM imaging and electron microscopy have recently provided access to information on transient capsid intermediates from which kinetic assembly parameters can be estimated.18 In addition, new approaches, such as resistive-pulse sensing in nanofluidic devices, probe late-state intermediates during viral assembly.19 Here we go beyond these recent studies by using combined confocal fluorescence and optical tweezing to identify the nature of critical nuclei in capsid formation. In addition, we use acoustic force spectroscopy to probe in real-time not only the dynamics and nature of particle growth but also nucleic acid condensation during the formation of single, rod-shaped nucleocapsid particles.

We do this for a previously de novo designed artificial capsid polypeptide bearing a stretch of lysines,20 which interacts through electrostatic interactions with the phosphates of single
or double-stranded DNA templates to coassemble into rod-shaped viruslike particles (VLPs). This polypeptide was designed to mimic the essential features of the in vitro assembly of Tobacco Mosaic Virus particles, viz. obligate coassembly with a linear nucleic acid template, with growth proceeding from a single nucleus. Indeed, the time evolution of the particle size distributions of both TMV and the VLPs can be accurately fitted to the same kinetic model, yielding similar energies for particle nucleation and particle growth. The rod-shaped VLPs consist of a single DNA molecule coated with multiple copies of the artificial capsid polypeptide. The latter we refer to as C−S<sub>10</sub>−B, with each of its three blocks encoding a specific physicochemical functionality, mimicking corresponding functionalities of viral capsid proteins (Figure 1A).

![Image](https://example.com/image.png)

**Figure 1.** Artificial capsid polypeptide under investigation and the resulting formation of rod-shaped particles. (A) Schematic of the triblocks polypeptide C−S<sub>10</sub>−B. Each block is highlighted by a different color and its specific function, related to its physicochemical properties, as described in the methods. (B) Particle formation on DNA molecules of different lengths was probed with AFM imaging in the air. On DNA of <~1 μm contour length, mainly single particles form in which the DNA is compacted 1/3 of its original length (panel i). When a 3-fold longer DNA is employed (~3 μm contour length), 2→4 nucleation points are observed in the early stages of particles assembly (panel ii). The white arrows point at two different nucleation sites that are formed on the same DNA molecule. (C) Quantification from AFM images of the “slow” kinetics of particle formation on a 2.5 kbp DNA, being packed to 1/3 of its original length, as also previously shown. Error bars are standard deviations and for each time point ~100 particles were analyzed.

We here use these simple model capsid polypeptides to address key issues regarding capsid assembly pathways not only in real-time but also at the single-particle level. We study the nature of the critical nuclei for productive capsid formation, the dynamics of particle growth, and the dynamics and nature of nucleic acid condensation during capsid formation. Our study on this simple model system paves the way for detailed real-time in vitro studies of the assembly of naturally evolved viruses at the single-particle level.

**Results.** **Real-Time Observation of Nucleation on Long DNA.** First we use atomic force microscopy (AFM) imaging to recapitulate basic properties of encapsulation of linear DNA by the artificial capsid polypeptides. Upon mixing the capsid polypeptides with double-stranded DNA, rod-shaped particles are formed, confirming earlier findings (Figure 1B). The kinetics of particle formation can be quantified by analyzing the length of packaged DNA as a function of time (Figure 1C). DNA with a contour length <1 μm typically displays one or two nucleation sites, while longer DNA with a contour length >1 μm often shows more than two nucleation sites (Figure 1B). The relative frequency of the number of nucleation points and the resulting branches in the self-assembled particle were quantified for a 2.5 kbp-long DNA (contour length of ≈850 nm) (Fig. S1). Next to imaging in air, we additionally performed AFM imaging in aqueous solution. This yielded an average diameter of the VLPs of 9 nm, a value that matches the expected lateral dimensions of the VLP (Fig. S1).

Next we turn to investigating the assembly of single viruslike particles in real-time, first considering the nucleation of artificial capsids on their DNA templates. Specifically, we wish to elucidate the nature of the critical nuclei required for productive capsid growth. For this, we combine confocal fluorescence microscopy and optical tweezer. A long DNA molecule (λ-phage DNA, contour length ≈16.5 μm) is attached at both ends to a microsphere (“bead”), and both beads are trapped using a double optical tweezers setup. Simultaneous confocal scanning laser microscopy allows for real-time probing of the local binding of fluorescently labeled artificial capsid polypeptides on the DNA (Figure 2A). Because the DNA in this assay is long, multiple nuclei are expected to form (Fig. S1), making this technique particularly well suited to zoom in on VLP nucleation events. First, nucleation is allowed to proceed unimpeded by repeatedly keeping the DNA in a relaxed state (<1 pN) for a fixed amount of time (5 min), followed by a short period of imaging at a constant force of 5 pN (Figure 2B). We observe a shortening of the end-to-end distance as a function of time, indicating condensation of the DNA during the relaxed state phases (Figure 2Bj). We also observe an increasing number of nuclei as a function of time and a corresponding increase of the total fluorescence intensity (Figure 2Bi). With these findings, which are supported by our AFM data, we confirm that in our dynamic assay multiple nucleation sites are indeed formed, capable of compacting the DNA in a progressive way.

In order to study the mechanical effect of polypeptide binding to the DNA, relaxed DNA was first incubated with the proteins in solution, then stretched to an end-to-end distance nearly equal to its contour length, and subsequently relaxed back to zero force while recording the retraction force (Figs. S2 and S3). We find that the apparent persistence length L<sub>p</sub> obtained by fitting a wormlike chain model to the retraction force decreases sharply as the number of bound capsid polypeptides increases (Fig. S2B). This is consistent with the
occurrence of induced deformations during DNA compaction, such as kinking or bending.\textsuperscript{25,26} Indeed, the control polypeptide C−B, lacking the central S\textsubscript{10} silklike block, known to simply coat but not condense the DNA,\textsuperscript{27} does not show the reduction of persistence lengths (Fig. S3D).

Identification of the Nature of Critical Nuclei Required for Artificial Capsid Formation.

In the experiments shown in Figure 2, nucleation and growth proceeds unimpeded as the DNA is in a relaxed state for fixed times, only to be stretched for a short time for imaging purposes. This precludes the observation of capsid nucleation with high temporal resolution. Therefore, we performed experiments to quantify polypeptide binding dynamics at the single-molecule level and at millisecond time scales. We keep the DNA at a fixed end-to-end distance of 15.5 μm and continuously monitor the fluorescence along the 16.5 μm contour length long DNA in the form of kymographs (Figure 3A). For these experiments, the fluorescence intensity of one dye molecule was established to be 12.0 ± 0.5 photons (see Methods and Fig. S4), with which the absolute number of bound polypeptides can be determined.\textsuperscript{28}

As DNA compaction progresses, high forces quickly develop that most likely decreases polypeptides binding and halts DNA condensation on the stretched DNA. Indeed, the number of bound capsid polypeptides as a function of time, shown in Figure 3B for two polypeptide concentrations, plateaus after about 5 min, at levels far below saturation (see Figure 3A).

With the assumption that the stretching mainly influences the maximum number of bound polypeptides, but not the binding dynamics, these data were analyzed using a simple reversible Langmuir adsorption kinetics model\textsuperscript{29} (Supporting Information). This resulted in an effective binding free energy of ∼25 times the thermal energy, which is of the same order of magnitude as earlier estimates for VLP growth.\textsuperscript{9,30}

The calibrated kymograph data yields distributions of the number of polypeptides involved in each binding event. From Figure 4A it can be seen that at 50 nM the most frequent cluster size is a trimer, whereas at 200 nM it is a hexamer. By tracking single traces to obtain mean-square displacements\textsuperscript{31} of polypeptide clusters moving on the DNA over time, we extracted diffusion constants of polypeptide clusters bound to the DNA as a function of cluster size (Figure 4B). We find that small clusters slide along the DNA, while large clusters are essentially immobilized on the DNA. The mobility of clusters drops to essentially zero for pentameric and larger oligomers (D ≤ 0.1 × 10\textsuperscript{−2} μm\textsuperscript{2}/s). The observed decrease of the
diffusion constant with cluster size is much steeper than expected for a simple linear scaling of the sliding friction with oligomer size (see Fig. S3C), suggesting strong interactions of the large oligomers with the DNA and likely conformational integration into growing VLPs.

Further analysis of the intensity of the polypeptide clusters reveals that those clusters that are smaller than pentamers only grow in 10% of the cases. For pentameric and larger clusters, this occurs in 90% of the cases (Figure 4B, inset). Previously, it has been shown that a minimal number of polypeptides need to simultaneously bind to the DNA in order to nucleate particle growth.\(^2\) This should also mean that for very short DNA, covered by fewer proteins than the critical nucleus, the binding strength of the proteins should drop to lower values.

**Figure 4.** Polypeptide size quantification and their mobility along the DNA. (A) Quantification of single photobleaching steps allows an estimation of the number of polypeptides bound per recorded event. The binding-event size statistics produces a histogram with a broad range of binding sizes. At 50 nM, the first observed peak fits with trimer binding (Gaussian peak, \(3.0 \pm 0.4\) polypeptides). At 200 nM, the first observed peak fits with hexamer binding (\(6.0 \pm 0.3\) polypeptides). (B) Diffusion constant \(D\) of the tracked binding events in the kymographs reveals an initial, drastic drop with increasing oligomer size, leveling off for oligomer sizes of \(\geq 5\) polypeptides (gray background area highlights the mobile events, error bars SEM). Inset: example of single binding events indicating that oligomer growth is more likely (90% traces) to take place when starting off with a large cluster (>5-mer, red curve) than with a small cluster (gray curve in the example, 10% traces).

**Figure 5.** Acoustic force spectroscopy reveals particles compaction dynamics. (A) Illustrative image of the AFS setup. DNA tethered microspheres are pushed along an acoustically generated pressure gradient (blue/white background) that applies a long and stable low-force clamp. (B) Decrease in DNA end-to-end length over time is a measure of the DNA compaction. The light blue background indicates the flushing in of peptides into the flow-cell while a stretching force of 15 pN is applied. The gray background area indicates a constant applied force of 1.5 pN. Inset: close-up of a compaction trace with the green line of the fit showing the compaction steps found with a previously developed step finding algorithm.\(^3\) (C) Step size statistics of compaction events at different conditions: 50 nM polypeptides–1 \(\mu\)m DNA (top histogram), 1 \(\mu\)M polypeptides–1 \(\mu\)m DNA (middle histogram), and 1 \(\mu\)M polypeptides–3 \(\mu\)m DNA (bottom histogram). The negative steps obtained at lower concentration (top histogram) are decompaction events, which shows a symmetrical distribution. The compaction event data are fitted with a multi-Gaussian function, where the distances from peaks-to-peak are equally spaced and used as one fit parameter.
Indeed, a bulk electrophoretic mobility shift assay (EMSA) confirms that binding of the artificial capsid polypeptides is strongly dependent on the length of the DNA template in the range of 10 bp to 1000 bp (Fig. S5). Taken together, our data strongly suggests that preformed oligomers bind to the DNA, and that pentamers, if bound to the DNA, should be considered as the critical nuclei for the productive formation of VLPs.

**Nature and Dynamics of DNA Condensation during Capsid Growth.** The combined fluorescence microscopy and optical tweezers experiments discussed previously are suboptimal for studying capsid growth. This is because (i) the many nuclei on the long DNA (Fig. S1C) make it difficult to follow growth of each of them, and (ii) growth of the nuclei cannot be followed over a sufficiently long time due to photo bleaching. Therefore, as a complementary real-time, single-particle technique, we apply acoustic force spectroscopy (AFS). AFS allows us to probe end-to-end distances for short DNA molecules tethered between a surface and a microscope (see schematic in Figure 5A) as a function of time (up to hours), for a fixed low force and with high temporal resolution (50 Hz). Since the DNA used in the AFS experiment is short (2.9 kbp ≈ 1 μm), growth of virusslike particles is initiated from one or at most two nuclei (Fig. S1A,B), allowing us to follow growth in much greater detail. Data for multiple DNA strands is acquired simultaneously, leading to superior statistics.

After confirming that results for the effective persistence lengths obtained from force-extension curves obtained by optical tweezers agree with those obtained from force-extension curves obtained by AFS (Fig. S6A,B), we apply AFS to probe in detail the nature and dynamics of DNA condensation during capsid growth. The DNA condensation is observed in real-time by measuring the end-to-end distance of DNA molecules kept at fixed low force of 1.5 pN and in the presence of artificial capsid polypeptides.

Surprisingly, we find that DNA condensation into viruslike particles proceeds in a stepwise fashion (Figure 5B, inset). A multi-Gaussian distribution with equally spaced peak distances is fitted to the extracted step sizes. The short DNA (≈ 1 μm) reveals a sharp peak at a step size of 30 ± 1 nm in DNA contour length for both low (50 nM) as high (1 μM) C–S10–B concentrations (Figure 5C). This shows that the most probable step size for the condensation process is concentration independent. At low polypeptide concentrations, we also find decondensation steps, recorded as negative steps. Remarkably, the most probable step sizes for condensation and decondensation appear equal, not only at low forces but also if we increase the tension in order to induce decondensation (Fig. S6C). Employing a 3-fold longer DNA (8.3 kbp ≈ 3 μm), the step-size distribution has much less pronounced peaks, which we attribute to the presence of multiple growing nuclei on the longer DNA. In this case, simultaneous steps at multiple locations cannot be deconvoluted and are detected as larger steps (Figure 5C).

**Discussion.** The self-assembly pathway of even relatively simple viruses, such as the tobacco mosaic virus that consists of a single-stranded RNA packaged by a large number of identical copies of coat protein, is highly complex. It is only partially understood and in fact remains the object of controversy. At least in part this is due to the circumstance that capsid assembly pathways are difficult to address other than with real-time, single-particle methods. Two unresolved issues are considered in this work: the nature of the critical nuclei for productive capsid formation and the dynamics and nature of nucleic acid condensation during capsid growth. For a simple artificial capsid polypeptide model system, which mimics essential features of the assembly of the much more complicated natural tobacco mosaic virus, we have shown that powerful, real-time, single molecule techniques can be used to successfully address such issues.

For these artificial capsid polypeptides, we have shown that a broad range of preformed polypeptide oligomers can directly bind the DNA. As described by classical nucleation theory of protein capsids, a nucleus with a certain critical size has to be reached to trigger capsid formation, which seems to be true for spherical and rodlike assemblies alike. We find that binding events of oligomers consisting of less than five polypeptides typically do not lead to particle growth. These oligomers, when bound, slide along the DNA with a mobility that rapidly decreases with increasing oligomer size. Binding events of oligomers consisting of minimally five polypeptides seem to be required for triggering nucleocapsid growth. Such oligomers, when bound to the DNA, are essentially immobile. Therefore, we conclude that pentamers bound to the DNA template may be considered to be the critical nuclei for the formation of the artificial capsids. The smaller-sized oligomers (<5) that can slide along the DNA may assist the growth process. Indeed, proteins sliding along a nucleic acid molecule...
during viral assembly is theoretically shown to considerably accelerate the self-assembly of natural icosahedral viruses.\textsuperscript{36,37}

The nature and dynamics of DNA condensation during capsid growth was successfully addressed using AFS, for it allows probing end-to-end distances of multiple short DNAs over prolonged periods of time and under a precisely controlled low force. Surprisingly, we have established that DNA condensation into the artificial capsids occurs in discrete single compaction events, with approximately 30 nm of DNA contour length being condensed in each compaction event. This characteristic length of DNA per compaction event seems to be largely independent of the protein concentration and therefore also independent of nuclei size. Also, decondensation steps at low protein concentrations show the same characteristic length, suggesting that this length of DNA must corresponds to a characteristic structure of condensed DNA in the rod-shaped artificial viral capsid.

The filamentous core of the VLP is formed by the silklike middle blocks $S_{10}$ of the $C$-$S_{10}$-$B$ artificial capsid polypeptide, which assemble into a stack of beta-solenoids (Figure 6A,B). Each beta-solenoid sheet has a dimension of $\approx 2.0 \ \text{nm} \times 2.6 \ \text{nm}$ and a height of $\approx 0.6 \ \text{nm}$, as predicted by computer simulations.\textsuperscript{38,39} The binding blocks B and stability block C emanate from the filamentous core. From this we expect that the DNA is confined to a condensation region extending at most a few nanometers away from the filamentous core, since the DNA must be within the region into which the binding blocks can extend. Interestingly, a DNA molecule wrapped around histones has a similar radius of curvature.\textsuperscript{40}

To summarize, we have presented a unique combination of complementary, dynamic techniques for assembly studies of both VLPs and naturally evolved virus particles. We have used these techniques to address key issues regarding capsid assembly pathways that are difficult to address other than with real-time, single-particle methods: the nature of the critical nuclei for productive capsid formation and the dynamics and nature of nucleic acid condensation during capsid formation. Albeit our study focuses on nucleocapsid formation by simple artificial polypeptides, it does pave the way for the detailed real-time \textit{in vitro} studies of the assembly of naturally evolved viruses at the single-particle level.

\textbf{Methods. Viruslike Particle Capsid Polypeptides.} The polypeptide, $C$-$S_{10}$-$B$, consists of three blocks that each encode a specific physicochemical functionality, mimicking corresponding functionalities of viral capsid proteins. Nucleic acid binding is achieved through interactions with block $B$ that consists of 12 positively charged lysines. The silklike middle blocks $S_{10}$ = (GAGAGAGQ)$_{10}$ fold into a sheetlike beta-solenoid conformation\textsuperscript{35,47} (Figure 1A), and stacking of these sheets leads to the formation of a rigid protein filament that forms the core of the VLP.\textsuperscript{36} Folding of an initially unfolded silk block into the beta-solenoid conformation is promoted by docking onto an already existing folded silk block, such that the formation of the rod-shaped protein core is a nucleated process.\textsuperscript{30,31,35} Finally, a hydrophilic random-coil $C$, with a collagen-like sequence $C$ = (GXY)$_{32}$ (where $X$ and $Y$ are mostly hydrophilic uncharged amino acids\textsuperscript{48}) provides colloidal stability to the rod-shaped VLPs. Immediately after dissolution, the silk blocks of $C$-$S_{10}$-$B$ polypeptides are still unfolded, but over time they fold and stack, a process that is strongly promoted by binding to the nucleic acid templates, such that coassembly with nucleic acid templates is favored over capsid protein-only assembly.\textsuperscript{20} The biosynthetic capsid polypeptides were provided as lyophilized protein polymer powder ($C$-$S_{10}$-$B$ = 44.7492 kDa), produced as previously described.\textsuperscript{30} For additional sample preparation details, see the Supporting Information.

\textbf{Atomic Force Microscopy.} Viruslike particles were imaged in peak force tapping mode on a Bruker Bioscope Catalyst setup, unless otherwise stated. Peptides and DNA were incubated with a final charge ratio $N/P$ = 3 (molar ratio between positively charged NH$_2$ groups from the binding block to negatively charged PO$_4$ groups of the DNA template (P)), in 10 mM phosphate buffer at pH 7.5. Viruslike particles were adhered to freshly cleaved mica treated with 5 mM TRIS and 0.5 mM Mg$^{2+}$ solution. For additional info on sample preparation for experiments in air and in liquid, see the Supporting Information. AFM image processing was performed with NanoScope Analysis 1.5 software for both a first order imaging flattening and the particles height estimation.

\textbf{Optical Tweezers with Confocal Flourescence Microscopy.} The dual-trap optical tweezers setup with integrated
confocal fluorescence microscopy (LUMICKS) is similar to an optical setup used for dual-trap optical trapping experiments in combination with confocal fluorescence and a microfluidics flow-cell that has been described previously. 49 End-biotinylated bacteriophage λ DNA was connected to streptavidin-coated polystyrene beads (diameter = 4.5 μm, Spherotech) to generate the DNA constructs, as described previously. 50 For sample preparation and kymograph recording settings, see the Supporting Information. Binding of single peptides was followed through kymograph analysis quantifying their fluorescence signal (average number of photons) when landing on the DNA. All values were background corrected. We processed the kymographs through single-molecule tracking to acquire information on the binding events intensity and mobility. Photo bleaching allows one to calibrate the intensity of a single fluorophore (12.0 ± 0.5 photons) by looking at single fluorescence decrease steps of single photobleached dyes, 28,51–53 see Fig. S3. The one-dimensional diffusion of protein complexes along the DNA was quantified by tracking the peptides traces and calculating their diffusion coefficient (D) by using a mean square displacement analysis (MSD). 31

Force-distance curves and confocal fluorescence data were analyzed using a custom-written MATLAB software, using the extensible wormlike chain model (eWLC), 54 which describes the dsDNA elastic behavior up to ∼30 pN, is used to fit FDCs and estimate the DNA effective persistence length (kapp)

Acoustic Force Spectroscopy. The home-built AFS setup 32,55 and the AFS flow-cell (LUMICKS) and tethers preparation 55 have been previously described. The 8.4 kbp DNA was obtained from a pKYBI vector, as previously described. 55 For the preparation of functionalized DNA samples and flow-cell preparation, see the Supporting Information. AFS data were analyzed using a custom-written LABVIEW software, and the step-analysis was performed with a custom-made change-point analysis software. 33 Processed data were analyzed using Origin. In the Gaussian fit in Figure 5C, the peak-to-peak distances obtained are 22.6 ± 2, 21.3 ± 0.4, and 21 ± 0.3 nm for the histograms from top to bottom. The light blue backgrounds highlight the mean of the first two Gaussian peaks. In the main text, these values are corrected for the force applied during the experiments and the observed change in the effective kapp resulting in an average step of 30 nm.

Electrophoretic Mobility Shift Assay (EMSA). EMSAs were performed to determine the effect of the dsDNA length on the protein binding. For sample preparation details, see the Supporting Information. The samples were loaded on 20% acrylamide gels in 1× TAE buffer and run at 70 V for 90 min. Gels were in a gel documentation system and analyzed with ImageJ. The N/P ratio for 50% binding of DNA (Kapp) by the protein was calculated fitting the DNA free intensities to the Hill equation, fraction bound = kapp / (1 + kapp / Kapp) − 1, with n as the Hill constant.

**ASSOCIATED CONTENT**

**Supporting Information**

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.nanolett.9b02376.

Detailed information on VLP polypeptides, on AFM, optical tweezers with confocal fluorescence, AFS and EMSA methods; AFM, optical tweezers, confocal fluorescence, EMSA and AFS images, and data graphs; models of DNA compaction and DNA helix dimensions; and description of Langmuir dynamics (PDF)

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**Notes**

The authors declare the following competing financial interest(s): The optical tweezers-fluorescence and Acoustic Force Spectroscopy technology used in this study is patented and licensed to LUMICKS B.V., in which G.J.L.W. has a financial interest.

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