Non-Stomatal Limitation to Photosynthesis in *Cinnamomum camphora* Seedings Exposed to Elevated $O_3$

Junfeng Niu¹, Zhaozhong Feng², Wewei Zhang³, Ping Zhao¹, Xiaoke Wang²*

1 Key Laboratory of Vegetation Restoration and Management of Degraded Ecosystems, South China Botanical Garden, Chinese Academy of Sciences, Guangzhou, Guangdong, China. 2 State Key Laboratory of Urban and Regional Ecology, Research Center for Eco-Environmental Sciences, Chinese Academy of Sciences, Beijing, China. 3 Key Laboratory of Black Soil Ecology, Northeast Institute of Geography and Agroecology, Chinese Academy of Sciences, Harbin, Heilongjiang, China.

Abstract

Ozone ($O_3$) is the most phytotoxic air pollutant for global forests, with decreased photosynthesis widely regarded as one of its most common effects. However, controversy exists concerning the mechanism that underlies the depressing effects of $O_3$ on CO$_2$ assimilation. In the present study, seedlings of *Cinnamomum camphora*, a subtropical evergreen tree species that has rarely been studied, were exposed to ambient air (AA), ambient air plus 60 [ppb] $O_3$ (AA+60), or ambient air plus 120 [ppb] $O_3$ (AA+120) in open-top chambers (OTCs) for 2 years. Photosynthetic CO$_2$ exchange and chlorophyll a fluorescence were investigated in the second growing season (2010). We aim to determine whether stomatal or non-stomatal limitation is responsible for the photosynthesis reduction and to explore the potential implications for forest ecosystem functions. Results indicate that elevated $O_3$ (E-$O_3$) reduced the net photosynthetic rates ($P_n$) by 6.0-32.2%, with significant differences between AA+60 and AA+120 and across the four measurement campaigns (MCs). The actual photochemical efficiency of photosystem II (PSII) in saturated light ($F_v/F_m$) was also significantly decreased by E-$O_3$, as was the effective quantum yield of PSII photochemistry ($\Phi_{PSII}$). Moreover, E-$O_3$ significantly and negatively impacted the maximum rates of carboxylation ($V_{cmax}$) and electron transport ($J_{max}$). Additionally, under high levels of $O_3$, changes in chlorophyll a fluorescence, including reductions in photochemical quenching ($qP$), actual photochemical efficiency ($F_v/F_m$) and the effective quantum yield of photosystem II (PSII) in saturated light ($\Phi_{PSII}$), have also been widely reported [14,15]. In fact, due to its high-resolution, real-time, and non-invasive nature, chlorophyll a fluorescence measurement has become an important technique parallel to gas exchange analyses for confirming the primary site of photosynthetic limitation [16]. Combined measurements of chlorophyll a fluorescence and gas exchange can provide valuable information regarding plant photosynthetic performance [17].

Introduction

Of the known phytotoxic air pollutants, ozone ($O_3$) has the greatest potential to detrimentally impact forests [1]. The negative effects of $O_3$ on plants are commonly attributed to its highly oxidative properties that can damage cell membranes, denature critical enzymes, and give rise to various reactive oxygen species (ROS) [2,3,4]. One of the most consistent effects of $O_3$ on trees is the inhibition of photosynthesis [5,6,7]. Based on a quantitative meta-analysis of over 100 studies of $O_3$ effect on trees, it has been estimated that an 11% decrease in net photosynthetic rates ($P_N$) has resulted from the increase in $O_3$ levels that has occurred since the Industrial Revolution [8].

However, controversy exists concerning the mechanism that underlies the depressing effects of $O_3$ on CO$_2$ assimilation in plants [9]. While previous studies have frequently linked these effects to decreased stomatal conductance ($g_s$), many others have related the $O_3$-induced decline in photosynthesis to altered mesophyll activities, such as reduced maximum rates of carboxylation ($V_{cmax}$) and electron transport ($J_{max}$) [10,11,12,13]. Additionally, under high levels of $O_3$, changes in chlorophyll a fluorescence, including reductions in photochemical quenching ($qP$), actual photochemical efficiency ($F_v/F_m$) and the effective quantum yield of photosystem II (PSII) in saturated light ($\Phi_{PSII}$), have also been widely reported [14,15]. In fact, due to its high-resolution, real-time, and non-invasive nature, chlorophyll a fluorescence measurement has become an important technique parallel to gas exchange analyses for confirming the primary site of photosynthetic limitation [16]. Combined measurements of chlorophyll a fluorescence and gas exchange can provide valuable information regarding plant photosynthetic performance [17].

Field and experimental evidence suggests that broadleaf evergreen trees in Mediterranean areas are comparatively more $O_3$ tolerant than deciduous species in temperate and boreal regions [18,19]. This can be largely attributed to the sclerophyllous traits of the former, as well as the uniquely Mediterranean
climate that concentrates high levels of O3 during seasons of drought, which triggers stomatal closure [20,21,22,23]. In subtropical regions, trees also develop glossy and leathery leaves, however, the climate is generally characterized by four clearly demarcated seasons with rain and heat co-occurring in summers, drought, which triggers stomatal closure [20,21,22,23].

In the present study, seedlings of C. camphora, a subtropical evergreen broadleaf tree species native to the Yangtze River Delta in eastern China, were exposed to ambient air (AA), ambient air plus 60 [ppb] O3 (AA+60), or ambient air plus 120 [ppb] O3 (AA+120) in open-top chambers (OTCs) for 2 years. During the second growing season (2010), gas exchange and chlorophyll a fluorescence were measured and analyzed. The aims of this experiment were to: (1) determine the extent to which photosynthesis is reduced in the experimental seedlings exposed to elevated O3 (E-O3, AA+60 or AA+120), (2) clarify whether stomatal or non-stomatal limitation is responsible for this reduction, and (3) explore the ecological meaning of our findings to broad-scale studies.

Materials and Methods

Experimental site and plant material

Permits and approvals for the work were obtained from East China Normal University and Tiantong Forest Farm, which are responsible for the protection of the Tiantong National Forest Park. The experiment was carried out within the park, at the Tiantong National Field Observation and Research Station for Forest Ecosystems (29°48′N, 121°47′E), Ningbo, Zhejiang province, China. One-year-old C. camphora seedlings, a typical subtropical evergreen broadleaf tree species widely distributed throughout eastern China and Japan, were planted in 5-[L] plastic pots and fumigated with E-O3 for 2 years. These seedlings were purchased from a nearby commercial nursery and selected for phenotypic homogeneity. The potting soil consisted of a mixture of purchased from a nearby commercial nursery and selected for phenotypic homogeneity. The potting soil consisted of a mixture of

OTCs and treatments

Six OTCs (octagonal base, 5.5 [m²] of basal area, and 2.6 [m] in height) were set up at the experimental field in early 2009. The rate of light transmittance of the OTCs was 98.3% and the average air velocity corresponded to a turnover rate of two complete air changes per minute. O3 was generated from pure O3, Beijing, China) in order to obtain the designated O3 concentration within each OTC. The seedlings were fumigated from 9:00–17:00, 7 days per week, 25 May to 10 September 2009 and 1 May to 7 October 2010, except for rainy and mostly cloudy days.

Replicate AA, AA+60, and AA+120 chambers were randomly arranged in the experimental field. Seeding positions within each OTC were changed every 3-5 days. Every 10-15 days, all chambers were emptied and randomly reassigned a new O3 level, and the seedlings were replaced according to their specified treatment levels. This allowed us to eliminate position and chamber effects, treating each plant as an independent experi-

mental unit. Five seedlings within each OTC and a total of 30 plants (5 plants×3 groups×2 OTCs) were investigated.

Measurements

Temperature and relative air humidity were recorded at 30-minute intervals using thermo-hygrographs (DSR-TTH, ZGGLAB Microsystem Inc., Hangzhou, China) inside and outside the OTCs. O3 concentrations at approximately 10 [cm] above the plant canopy were monitored using a UV-absorption O3 analyzer (Model 49b, Thermo Scientific Inc., Connecticut, USA).

Gas exchange and chlorophyll a fluorescence under light conditions were measured using an infrared gas analyzer (IRGA) fitted with a 6400-40 leaf chamber fluorometer (LI-6400, LI-COR Inc., Lincoln, NE, USA). All measurements were made from 9:00–12:00 and recorded when the coefficient of variance (CV) was less than 3%. The photosynthetic photon flux density (PPFD) was fixed at a saturating intensity of 1200 [μmol m⁻² s⁻¹]. CO2 was supplied with pure CO2 cylinders and maintained at 380 [μmol mol⁻¹]. Block temperature of the cuvette was set to 30±0.5°C, and relative humidity 60±5%. Maximum, minimum and steady state fluorescence under light conditions were measured, and Fm/Fo, g and Fm/Fo were calculated as (Fm-Fo)/Fm and (Fm-Fo)/Fo, respectively. Six plants per treatment were analyzed, and only fully expanded upper leaves were screened. Tracking analyses of leaves in the same leaf position were carried out monthly (2 July, 7 August, 7 September, and 8 October 2010).

In order to determine the maximum photochemical efficiency of photosynthesis (PSII, Fm/Fo), a field-portable chlorophyll fluorometer (FMS 2, Hansatech Instruments Ltd., Norfolk, UK) was employed. The same leaves used for gas exchange analyses were screened. Leaves were adapted to dark for 30 minutes and the minimum fluorescence (Fo) was measured by switching on the modulating light (0.6 [kHz]). Then, the application of a saturating light pulse (8000 [μmol m⁻² s⁻¹] for 1 [s]) led to the rapid closure of PSII reaction centers, yielding the maximum fluorescence (Fm). Fm/Fo was calculated as (Fm-Fo)/Fm.

The response of carbon assimilation rates to changing CO2 concentrations (A-G response curves) was determined by sequentially measuring the rates of photosynthesis at CO2 concentrations of 380, 200, 150, 100, 50, 400, 600, 900, 1200 and 1500 [μmol mol⁻¹]. Light intensity, block temperature and relative humidity were set equal to those used in gas exchange analyses. Vmax and Fm were determined and adjusted to 25°C according to Long and Bernacchi [25]. Four seedlings per treatment were screened, and two measurement campaigns (MCs) were carried out for the A-G response curves, on 22 July and 24 September 2010, respectively.

Data analysis

Data were analyzed using SAS software (Version 9.1.3, SAS Institute, Cary, NC, USA). Repeated measures analyses of variance (RANOVA) were performed in order to analyze the overall effect of O3 on the examined parameters throughout the growing season. Variances across MCs, as well as the interaction between O3 and MCs were also investigated. For each MC, ANOVA model was applied to test the O3 effect and Bonferroni methods were adopted for post-hoc multiple comparisons. Normality of distribution and homogeneity of variance were tested before all analyses. Differences between treatments were considered significant if p≤0.05.
Results

OTC microclimate and O₃ monitoring

Table 1 shows AOT₄₀ₐs (accumulated O₃ exposure over a threshold of 40 [ppb]) and SUM₆₀ₐs (sum of hourly O₃ concentration when the concentration is equal to or greater than 60 [ppb]) during each MC of gas exchange and chlorophyll a fluorescence during the 2010 growing season. Because of persistent rain (19 days) from 7 August to 7 September, the accumulation of
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AOT40 and SUM60 was lower during this period, as shown in Table 1. Under AA, the total dose of O$_3$ was 6.7 and 8.7 [ppm h] in the forms of AOT40 and SUM60, respectively. AOT40s were generally lower than values expressed as SUM60s in all O$_3$ regimes. Detailed descriptions of the average O$_3$ concentrations, as well as the OTC microclimate conditions throughout the 2 years of this experiment can be found elsewhere [24,26].

### Gas exchange

Throughout the 2010 growing season, P$_N$ was reduced, on average, by 13.0% and 25.3% under AA+60 and AA+120, respectively. Differences between these two treatment regimes were statistically significant, except on 2 July (Table 2 and Figure 1a). P$_N$/g$_s$ was also significantly reduced, while C$_i$ was significantly increased by AA+120 on 8 October. A negative O$_3$ effect on P$_N$/g$_s$ was also observed on 7 September (Figure 1d). Variations of P$_N$/g$_s$, C$_i$, and P$_N$/g$_s$ across the four MCs were statistically significant, and O$_3$ interacted significantly with MCs for P$_N$ and C$_i$ (Table 2).

V$_{cmax}$ was significantly decreased by AA+60 and AA+120 on 24 September, but only by AA+120 on 22 July (Figure 2b). Both AA+60 and AA+120 exerted significantly negative effects on J$_{max}$ (Figure 2a) across the two MCs. The difference in J$_{max}$ between AA+60 and AA+120 was statistically significant on 22 July, but not on 24 September (Figure 2a). J$_{max}$ varied significantly, while V$_{cmax}$ maintained the same levels across the two MCs (Table 2). J$_{max}$/V$_{cmax}$ was not significantly affected by E-O$_3$ in the present study (Figure 2c).

### Chlorophyll a fluorescence

F$_v$/F$_{m}$ and $\Phi_{PSII}$ were significantly decreased by E-O$_3$ (Table 2). F$_v$/F$_{m}$ was significantly decreased by AA+120 across all four MCs, and also by AA+60 on 2 July and 8 October. For F$_v$/F$_{m}$, only AA+120 exerted significant impact, on 2 July and 8 October (Figure 3a, c). Additionally, qP was significantly depressed by AA+120 across all four MCs, and also by AA+60 on 7 August and 8 October (Figure 3b). However, F$_v$/F$_{m}$ was not significantly influenced by E-O$_3$ (Figure 3d). Differences across MCs were statistically significant for all fluorescence parameters. However, no interactions were found between O$_3$ and MCs (Table 2).

### Discussion

E-O$_3$ significantly reduced P$_N$ in C. camphora over the course of the present study. At the end of the 2010 growing season, AA+60, which corresponded to an AOT40 of 26.1 [ppm h], reduced P$_N$ by 11.7%. A similar 11.4% decrease in P$_N$ occurred with an AOT40 of 36.2 [ppm h] in the Mediterranean evergreen Satsuma mandarin (Citrus unshiu [Mak.] Marc.) [15]. However, in deciduous Quercus pyrenaica, Quercus robur and Quercus faginea, an AOT40 of 26.2-28.8 [ppm h] decreased P$_N$ by 64%, 38% and 33%, respectively [27]. Based on the comparisons with these results, our findings suggest that C. camphora is less resistant to O$_3$ than Mediterranean evergreen broadleaves, but more tolerant than deciduous species [7,19]. Reduction in P$_N$ under E-O$_3$ has also been observed in other species, deciduous (Quercus serrata, Populus tremuloides Michx., Betula pendula Roth.) as well as evergreen (Pinus taeda L.) [28,29,30,31], and therefore this may represent a common response behavior to high levels of O$_3$ in woody plants [32].

Similar to observations in European beech (Fagus sylvatica) and black aspen (Populus nigra) [33], $g_s$ was not affected by E-O$_3$ in the present study (Figure 1b), indicating that the significant reduction in P$_N$ of C. camphora cannot be attributed to stomatal behavior. Additionally, C$_i$ was not reduced, but in fact significantly increased by AA+120 on 8 October, confirming that CO$_2$ supply was not the limiting factor in reducing P$_N$. Moreover, significant decrease in P$_N$/g$_s$ was detected under AA+120 on 7 September and 8 October, further suggesting that factors other than $g_s$ should be considered when attempting to clarify the mechanism responsible for the reduction in P$_N$ that results from O$_3$ stress. Increased C$_i$, as well as the negative relationship between P$_N$ and C$_i$ under elevated O$_3$, has also been documented in previous literature [34,35].

RuBisCO is commonly regarded as one of the primary targets of O$_3$-induced damage [36,37]. On both 22 July and 24 September, AA+120 significantly reduced V$_{cmax}$, which was also notably decreased by AA+60 on 24 September (Figure 2b). These findings concur broadly with those reported in aspen (P. tremuloides) and birch (B. pendula Roth.) [28,38,39]. Decreases in RuBisCO quantity and activity may be responsible for the decline of V$_{cmax}$ under E-O$_3$ [40]. J$_{max}$ was also significantly decreased by E-O$_3$ in the present study, while J$_{max}$/V$_{cmax}$ was not affected (Figure 2c).

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**Table 2.** Repeated measures ANOVAs (RANOVAs) of the gas exchange and chlorophyll a fluorescence parameters of Cryptomeria japonica seedlings during the 2010 growing season (P-values are shown, n=4 for V$_{cmax}$, J$_{max}$ and J$_{max}$/V$_{cmax}$, n=6 for other parameters).

| Parameters                      | O$_3$     | MCs | O$_3$-MCsa* |
|---------------------------------|-----------|-----|-------------|
| **Gas exchange**                |           |     |             |
| P$_N$ [μmol (CO$_2$) m$^{-2}$ s$^{-1}$] | <.0001    | <.0001 | 0.0169     |
| g$_s$ [μmol (H$_2$O) m$^{-2}$ s$^{-1}$] | 0.5738    | 0.0001 | 0.9956     |
| C$_i$ [μmol mol$^{-1}$]         | 0.1755    | <.0001 | 0.0377     |
| P$_N$/g$_s$ [μmol (CO$_2$) mol$^{-1}$ (H$_2$O)] | 0.0072    | <.0001 | 0.9435     |
| V$_{max}$ [μmol m$^{-2}$ s$^{-1}$] | 0.0031    | 0.3435 | 0.1481     |
| J$_{max}$ [μmol m$^{-2}$ s$^{-1}$] | <.0001    | 0.0007 | 0.0005     |
| J$_{max}$/V$_{cmax}$            | 0.4994    | 0.1172 | 0.3419     |
| **Chlorophyll a fluorescence**  |           |     |             |
| F$_v$/F$_{m}$                   | 0.0310    | 0.0011 | 0.1329     |
| $\Phi_{PSII}$                   | <.0001    | <.0001 | 0.6421     |
| qP                              | <.0001    | <.0001 | 0.3827     |
| F$_v$/F$_{m}$                   | 0.5042    | <.0001 | 0.9998     |

*MCs: measurement campaigns.

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The constant $J_{\text{max}}/V_{\text{cmax}}$ ratio indicates the close coupling between RuBP carboxylation and light-driven electron transport. Activities of these two processes are commonly related, and vary in parallel with environmental conditions [41]; thus the observed decrease in $J_{\text{max}}$ might have occurred in response to the declining $V_{\text{cmax}}$ [42]. Therefore, O3-induced degradation and deactivation of RuBisCO, as well as its feedback inhibitory effect on the electron transport system, might be a primary cause of the reduction in $P_N$.

Confirming the previous findings in evergreen Mediterranean species [43,44], $F_v/F_m$ in C. camphora was not influenced by E-O3 (Figure 3d). However, $F_v'/F_m'$ was significantly reduced by AA+120 on 2 July and 8 October, implying enhanced energy decay via non-radiative processes at the PSII reaction centers [45]. Meanwhile, in the present study, significant reductions of qP (notably under AA+120 at all four MCs and under AA+60 on 7 August and 8 October) and $\Phi_{\text{PSII}}$ (notably under AA+120 at all four MCs and under AA+60 on 2 July and 8 October) were also observed under E-O3 (Figure 3a, b). Similar results have been reported in Scots pine (Pinus sylvestris L.) and Satsuma mandarin (C. unshiu [Mak.] Marc.) [15,17]. Reduction in qP and $\Phi_{\text{PSII}}$ may correlate with a decrease in the proportion of available excitation energy used in the photochemistry [11]. Decreased photochemistry but enhanced non-radiative decay suggests that more energy absorbed by PSII is dissipated as heat, and this could then lead to the overheating of PSII reaction centers. Therefore, direct oxidative damage, as well as indirect heat-related injuries to the photochemical apparatus, may also play an important role in mediating the down-regulation of $P_N$ in C. camphora exposed to E-O3.

Previous studies have frequently linked the O3-induced decline in plant photosynthesis to its inhibitory effect on foliar stomatal...
Conductance. Tøsehagen et al. found that acute O₃ exposure inhibited the guard cell K⁺ channels, which mediate K⁺ uptake that drives stomatal opening, and thus led to decreased photosynthesis in *Vicia faba* [46]. Zhang et al. reported that the reduction in photosynthesis (~27%) of *Liriodendron chinense* (Hemsl.) Sarg. seedlings was accompanied by a significant decrease of stomatal conductance (~34.7%) after O₃ exposure for 40 days at a concentration of [150 ppb] [47]. During the first growing season (2009) of the present study, we also observed concurrent reduction in photosynthesis (~24.6%) and stomatal conductance (~34.2%) under [AA+60] [26], which was however not observed during the second growing season (2010). This suggests that the coupling between photosynthesis and stomatal conductance in plants could fail and the biochemical processes in protoplasts would become more susceptible to injuries under long-term O₃ exposure.

Decoupling between photosynthesis and stomatal conductance under elevated O₃ may have important implications for water use and carbon cycling of forest ecosystems [48]. One the one hand, failure or sluggishness of stomatal closure could give rise to excessive plant transpiration [49], resulting in unnecessary water loss, leading to regional water shortage, or even causing tree wilt and dieback if soil water supply is particularly tight, especially in arid and semi-arid areas. At the same time, increased exposure of mesophyll cells to O₃ through open stoma, on the other hand, could decrease the efficiency of light use by photosystem II for CO₂ assimilation [25], resulting in lower forest carbon sequestration and leading to a warmer atmosphere. Therefore, the potential impact of O₃ under both current and future enriched conditions should be considered adequately in carbon budget calculations, forest hydrology simulations and climate change predictions at regional and global scales.

It should be noted that the present study was conducted on just one subtropical evergreen species of 2 to 3 years age. The unique physiological characteristics of seedlings and the optimal water status under OTC conditions as well as the restriction of root growth in pots may bias tree performance [4,24]. To attain a comprehensive understanding of the effect of O₃ on forests, as well as forest responses and feedbacks to global changes, further investigations based on mature trees of a wider range of other species are critically needed.

**Conclusions**

E-O₃ (AA+60 or AA+120) significantly reduced *P*ₖ in *C. camphora*. Comparisons of this reduction with those observed in other species suggest that *C. camphora* is less tolerant to O₃ than Mediterranean evergreen trees, but more resistant than deciduous species. Reduction of stomatal conductance is not a reasonable explanation for the decline of *P*ₖ in the present study, as manifested by the increased *G* and decreased *P*ₖ/γ. As with *P*ₖ, decreases in *V*ₘₙₓ, *J*ₘₙₓ, *Φ*ₙₚₑ and γ were detected, indicating that direct oxidative damage and indirect heat-related injuries to Rubisco and photochemical apparatus were responsible for the reduction in *P*ₖ that was observed in *C. camphora* under E-O₃. This suggests that the biochemical processes in protoplasts will become more susceptible to injuries under long-term O₃ exposure, which may bear important implications for forest water use and carbon cycling.

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**Author Contributions**

Conceived and designed the experiments: JFN ZZF. Performed the experiments: JFN WWZ. Analyzed the data: JFN. Contributed reagents/materials/analysis tools: JFN. Wrote the paper: JFN. Helpful suggestions in data analyses and manuscript preparation: NKW PZ.

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