A PHOTOMETRIC REDSHIFT OF \( z \approx 9.4 \) FOR GRB 090429B

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ABSTRACT

Gamma-ray bursts (GRBs) serve as powerful probes of the early universe, with their luminous afterglows revealing the locations and physical properties of star-forming galaxies at the highest redshifts, and potentially locating first-generation (Population III) stars. Since GRB afterglows have intrinsically very simple spectra, they allow robust redshifts from low signal-to-noise spectroscopy, or photometry. Here we present a photometric redshift of \( z \approx 9.4 \) for the \textit{Swift} detected GRB 090429B based on deep observations with Gemini-North, the Very Large Telescope, and the GRB Optical and Near-infrared Detector. Assuming a Small Magellanic Cloud dust law (which has been found in a majority of GRB sight lines), the 90% likelihood range for the redshift is \( 9.06 < z < 9.52 \), although there is a low-probability tail toward somewhat lower redshifts. Adopting Milky Way or Large Magellanic Cloud dust laws leads to very similar conclusions, while a Maiolino law does allow somewhat lower redshift solutions, though in all cases the most likely redshift is found to be \( z > 7 \). The non-detection of the host galaxy to deep limits \( (Y(AB) \sim 28, \text{ which would correspond roughly to 0.001L}^\odot \text{ at } z = 1) \) in our late-time optical and infrared observations with the \textit{Hubble Space Telescope} strongly supports the extreme-redshift origin of GRB 090429B, since we would expect to have detected any low-\( z \) galaxy, even if it were highly dusty. Finally, the energetics of GRB 090429B are comparable to those of other GRBs and suggest that its progenitor is not greatly different from those of lower redshift bursts.

Key words: early universe – galaxies: high-redshift – gamma-ray burst: individual (GRB 090429R) – techniques: photometric

Online-only material: color figures

1. INTRODUCTION

The burst detections and rapid afterglow identifications of the \textit{Swift} satellite (Gehrels et al. 2009), combined with intensive ground-based follow-up efforts, have confirmed some gamma-ray bursts (GRBs) as among the most distant objects known in the universe (Tanvir et al. 2009; Salvaterra et al. 2009), illuminating the conditions of star formation at the earliest epochs. As burst detections push toward progressively higher redshifts, the mere existence of GRBs at these times will provide important constraints on models of gravitational collapse, galaxy formation, and the early generations of stars. At the same time, high-quality spectroscopy of the burst afterglows can be expected to reveal element abundances (e.g., Starling et al. 2005; Kawai et al. 2006; Berger et al. 2005), host galaxy kinematics, and potentially, the \( H_1 \) fraction of the intergalactic medium (IGM), as the process of cosmic reionization unfolds (e.g., Barkana & Loeb 2004; Totani et al. 2006; Tanvir & Jakobsson 2007; McQuinn et al. 2008).

GRBs offer some advantages over other techniques for the selection and study of distant galaxies. Most notably, they have unprecedented luminosity, both of the prompt emission, and
afterglow (e.g., Racusin et al. 2008; Bloom et al. 2009), enabling them to provide detailed diagnostics of their environments, and pinpointing their host galaxies however faint. However, this utility comes at a price—GRB afterglows achieve such brightness only fleetingly, and so the time available to obtain redshifts and other information for a burst is often very short (normally < 24 hr). In order to realize the ambitions of finding bursts at extreme redshift, and efficiently exploiting high-redshift GRBs as probes of this early cosmic epoch, it is necessary to devote increasing effort to the rapid identification of GRB near-infrared (NIR) afterglows. In addition to workhorse NIR instrumentation at large observatories, a growing number of dedicated facilities and instruments have been commissioned, with a primary aim of rapidly locating distant GRBs (e.g., PAIRITEL, Bloom et al. 2006; Gamma-Ray Burst Optical and Near-Infrared Detector (GROND), Greiner et al. 2008). Follow-up spectroscopy of these candidates has proved several to be at very high redshift (e.g., Kawai et al. 2006; Greiner et al. 2009), culminating in GRB 090423 at $z \approx 8.2$ (Tanvir et al. 2009; Salvaterra et al. 2009).

However, in some cases rapid spectroscopy is not possible, and we must fall back on photometric redshift measurements (e.g., Jakobsson et al. 2006; Haislip et al. 2006; Tagliaferri et al. 2005). Here again, GRBs offer some advantages over galaxies for the application of such techniques. First, there is little intrinsic variation in the spectral shape of an afterglow—it can be modeled simply as a power law plus host galaxy dust extinction Ly$\alpha$ absorption. This is in contrast to the diverse spectra of galaxies, which can have contributions from young/old populations (or a mixture), dust in complex configurations, exhibit intrinsic curvature, Balmer breaks, etc., none of which are a concern for GRB afterglows. Second, the identity of a GRB afterglow is unambiguous from its fading, and thus there is no chance of mistaking a GRB afterglow with, e.g., a Galactic L or T dwarf, which can also confuse high-$z$ galaxy searches. It has been shown that GRB photometric redshifts are generally robust for these reasons (Krühler et al. 2011). Indeed, while the fundamental accuracy is limited by the bandwidths and bands used, GRBs are much less subject to the “catastrophic” failure of photometric redshift determination that can impact individual galaxy measurements.

In this paper we discuss the discovery and multi-wavelength follow-up of GRB 090429B. The afterglow was not visible in deep early optical imaging, but was found in deep IR observations starting ~2.5 hr after the burst. While spectroscopic observations were curtailed by poor weather conditions, our photometry does allow us to construct a spectral energy distribution (SED) for the burst, and to infer a photometric redshift of $z \approx 9.4$, making GRB 090429B one of the most distant objects known to date.

The paper is structured as follows. In Section 2 we present our full data set on GRB 090429B and the uncertainties of our photometric measurements; in Section 3 we derive our photometric redshift, supplemented with deep host observations. Finally, in Section 4 we summarize our conclusions, highlighting the importance of rapid-response NIR imaging and spectroscopic capability on large telescopes for the study of the early universe using GRBs. Throughout this paper we assume $\Lambda$CDM cosmology with $H_0 = 72$ km s$^{-1}$ Mpc$^{-1}$, $\Omega_M = 0.27$, $\Omega_{\Lambda} = 0.73$, and use a standard nomenclature to describe the variation of the afterglow flux density as $F \propto t^{-\beta}$.

### Table 1

| $T - T_0$ (s) | Flux Density (µJy) | Error (µJy) |
|--------------|-------------------|-------------|
| 158          | 0.53              | 0.12        |
| 291          | 0.80              | 0.18        |
| 368          | 1.18              | 0.27        |
| 452          | 1.19              | 0.27        |
| 561          | 1.69              | 0.36        |
| 651          | 1.37              | 0.31        |
| 708          | 1.35              | 0.30        |
| 768          | 1.18              | 0.27        |
| 838          | 1.00              | 0.22        |
| 927          | 1.31              | 0.21        |
| 4618         | 0.099             | 0.026       |
| 5636         | 0.111             | 0.029       |
| 6417         | 0.126             | 0.033       |
| 10316        | 0.089             | 0.023       |
| 10982        | 0.087             | 0.023       |
| 15921        | 0.0269            | 0.0053      |
| 120249       | 0.0021            | 0.0006      |

**Notes.** X-ray observations obtained by the XRT instrument on board the *Swift* satellite. The flux density is calculated at 2 keV, while the conversion factor from flux to Jy is $8.87 \times 10^{20}$ erg cm$^{-2}$ s$^{-1}$ keV$^{-1}$ (0.3–10 keV). The counts to flux conversion factor is $2.98 \times 10^{-11}$ erg cm$^{-2}$ s$^{-1}$ count$^{-1}$. Uncertainties are $1\sigma$. For the best-fit X-ray spectrum parameters, see Section 2.

### 2. OBSERVATIONS AND ANALYSIS

#### 2.1. Swift Observations

The Burst Alert Telescope (BAT; Barthelmy et al. 2005) aboard the *Swift* satellite triggered on GRB 090429B at $T_0 = 05:30:03$ UT. The 15–350 keV light curve is composed of three distinct peaks with a total duration $T_{90} = 5.5$ s, and the time-integrated spectrum can be fitted by a single power law with an exponential cutoff. The derived total fluence in the 15–150 keV band is $3.1 \times 10^{-7}$ erg cm$^{-2}$, with $F_{\text{peak}} = 49$ keV. This peak energy is among the few detected by *Swift* within the BAT bandpass.

After 106 s, the narrowfield instruments began their standard burst-response observation sequence. The X-ray Telescope (XRT; Burrows et al. 2005) identified an uncataloged fading source at R.A.(J2000) = 14$^{h}$02$^{m}$40$^{s}$, decl.(J2000) = +32$^\circ$10′14″; no optical/UV counterpart was seen in the UV–Optical Telescope (Roming et al. 2005) data. The X-ray data has been characterized using standard routines in HEASOFT, XSPEC, and QDP, with the light curve fitting process as described in Evans et al. (2009). For some analyses, we have used the automatic data products produced by the UK Swift Science Data Centre (Evans et al. 2007, 2009). Our presentation of parameters derived from the *Swift* data follows the convention of quoting errors at the 90% level. The time-averaged 0.3–10 keV X-ray spectrum from 97 to 29893 s after the burst is best fit by a power law with photon spectral index $\Gamma_X = 2.01^{+0.16}_{-0.24}$ and with a total absorption column density of $N_H = 10.1^{+3.6}_{-5.3} \times 10^{20}$ cm$^{-2}$, mildly (2$\sigma$) in excess of the Galactic absorption of $1.2 \times 10^{20}$ cm$^{-2}$; we discuss the possible significance of this finding in Section 3.5.

The X-ray light curve, given in Table 1 and illustrated in Figure 1, is adequately fit by a combination of brightening and fading temporal power laws: initially, the X-ray flux rises with...
temporal index $\alpha_{X1} = -0.96^{+0.43}_{-0.52}$, referenced to the burst time; following the peak time $T_X = 589^{+146}_{-80}$ s, the light curve then breaks to a power-law decay with $\alpha_{X2} = 1.20^{+0.08}_{-0.07}$.

2.2. Optical and Near-IR Observations

Basic reduction steps for all optical and NIR photometry were performed using IRAF software. Photometric analysis used both IRAF and the Starlink GAIA software, as well as our own custom scripts. Errors in the sky-subtraction step are estimated from multiple apertures of size equal to that of the source aperture, placed around the field of the GRB.

Optical images were calibrated using field stars from the Sloan Digital Sky Survey (SDSS) Data-Release 7 (DR7) catalog (Abazajian et al. 2009) and NIR images were provisionally calibrated directly to the Two Micron All Sky Survey (2MASS) catalog, but subsequently refined as described below. Detections and limits on the brightness of any associated source are presented in Table 2.

2.2.1. ESO2.2m/GROND Observations

The GROND (Greiner et al. 2008) observed the field of GRB 090429B simultaneously in its (dichroic and filter defined) $g'$/$r'$/$i'$/$z'$/$HK$ filters, set beginning 14 minutes after the Swift discovery (Olivares et al. 2009). No source was detected at the X-ray afterglow position in any of the seven bands, the limits being shallower than usual due to the high airmass for this (northern) field. Nonetheless, the implied X-ray to optical spectral slope of $\beta_{OX} < 0.1$ implied suppression of the optical flux relative to the X-ray, rendering GRB 090429B a “dark” burst under the definitions of Jakobsson et al. (2004) and van der Horst et al. (2009).

2.2.2. VLT Observations

Deep $R$- and $z$-band observations were made with the VLT/FORS-2 camera at ~60 minutes post-burst. Once again no optical source was visible at the position of the X-ray afterglow, confirming that it was unusually dark, and thus a good candidate high-$z$ GRB (D’Avanzo et al. 2009).

2.2.3. Gemini-North Observations

Beginning roughly 2.5 hr after the burst trigger, we carried out a series of observations from Gemini-North. We gathered optical $i'$/$z'$ imaging with the Gemini Multi-Object Spectrograph (GMOS; Hook et al. 2004) and NIR/$JK$ imaging with the Near-Infrared Imager (NIRI; Hodapp et al. 2003). GMOS observations consisted of five exposures of 3 minutes each, per filter; NIRI observations consisted of eight dithered positions of 60 s each. The Gemini GMOS and NIRI packages under the IRAF environment were used to sky-subtract, align, and combine the images. The NIRI images were also corrected for the small nonlinearity effect seen in the detectors.\textsuperscript{26} Photometry was performed relative to SDSS stars for the GMOS data and relative to secondary calibrators from GROND for the NIRI data (see Section 2.3). Our photometry is presented in Table 2.

While no optical counterpart was present in our $i'$ or $z'$ images, we did identify a source within the X-ray localization in our NIR observations. The position of the source was R.A. = 14^h 02^m 40^s.10, decl. = +32° 10' 14.2", Following this discovery we attempted spectroscopic observations from Gemini-North; however, increasing summit winds forced the closure of the telescope and meant that these were aborted with <10 minutes of}

\textsuperscript{25} IRAF is distributed by the National Optical Astronomy Observatory, which is operated by the Association of Universities for Research in Astronomy, Inc., under cooperative agreement with the National Science Foundation.

\textsuperscript{26} http://www.gemini.edu/sciops/instruments/niri/data-format-and-reduction/detector-linearization
useable exposure time and no trace is visible in the observations. We obtained a second epoch of $K$-band observations on April 30 UT revealed a clear fading of $\approx 1.2$ mag of the identified source, confirming its transient nature (and corresponding to a power-law index of $\alpha_K = 0.53 \pm 0.10$, shallower than the X-ray decay at that time). Figure 2 presents our Gemini imaging data, while the lower panel of Figure 1 shows our optical/NIR light curve. The resulting SED, from X-ray to IR, is shown in Figure 3.

No evidence of a host galaxy is present in our images. A deep $r'$-band image of the field, taken again with GMOS under good conditions (0.4 seeing) at 14 days after the GRB, is shown in Figure 4. This allows us to place a $3\sigma$ upper limit on the host galaxy apparent magnitude of $r' > 27.07$ mag. We also note in these images the presence of a massive elliptical galaxy, offset roughly 45" from the GRB location. This galaxy has absolute magnitude $M_r \approx -21.6$ and $M_K \approx -24.5$ (\~L$^*$; Jones et al. 2006). It appears to be the central galaxy of a modest cluster at $z = 0.079$.\footnote{Redshift and $r$ magnitude of the galaxy obtained from the SDSS DR7 database; $K$ magnitude from 2MASS.} It is likely that this foreground structure provides some lensing boost to the observed flux of the burst, although the relatively large impact parameter suggests it will not be a major factor.

2.2.4. HST Observations

We obtained late-time observations of the field of GRB 090429B with the Hubble Space Telescope (HST). These were taken after the afterglow had faded, with the goal of finding or constraining the host galaxy. We used both the Advanced Camera for Surveys (ACS) and the Wide-Field Camera 3 (WFC3). Observations were obtained in F606W (broad $V - R$), F105W (broad $Y - z$), and F160W (H); a log is given in Table 3. The data were reduced in the standard fashion using MULTIDRIZZLE and the HST archive “on-the-fly” calibration. All the images were drizzled to a common pixel scale of 0.05 pixel$^{-1}$. We ascertained the location of the burst on the HST images via relative astrometry between our first epoch $K$-band observations, and those obtained with HST. In doing so, we used a total of 11 and 10 sources in common to each frame, for ACS and WFC3, respectively. The resulting astrometric accuracy is 0.08 (F606W), 0.07 (F105W), and 0.06 (F160W), respectively. At the location of the afterglow we see no
obvious host galaxy candidates in any of the images. To quantify the depths of these images we estimate the sky variance from a large number of background apertures (~50) placed in the field around the target position, avoiding visible sources. We then measure the resultant flux at the target position in an aperture of 0\'4 diameter, consistent with the approaches of many groups in searching for high-z galaxies (e.g., Bouwens et al. 2010, 2011). Our fluxes are shown in Table 3. In addition to the measured fluxes we also show the effective AB-magnitude limits at these locations, which are equal to the measured flux density + 3\(\sigma\), with an additional aperture correction to account for light missing within our small measurement apertures. These corrections are small for ACS (0.18 mag for F606W; Sirianni et al. 2005), but larger for the WFC3 images (0.31 and 0.54 mag for F105W and F160W, respectively\(^{28}\)).

2.2.5. UKIRT Observations

We obtained observations with the United Kingdom Infra Red Telescope (UKIRT) Wide-Field Camera (WFCAM), beginning April 29 at 09:18 UT, roughly 4 hr post-burst. Only a limited number of exposures were possible due to high winds keeping the telescope shut much of the night. These observations were not deep enough to reveal the afterglow; however, because the large field of view (13.6 arcmin square for each chip) includes many bright 2MASS stars these images allowed us to precisely determine the magnitudes of fainter stars, which was crucial for calibrating the NIRI images (see Section 2.3). Pipeline reductions were performed by the Cambridge Astronomical Survey Unit (CASU\(^{29}\)).

2.3. Precise Photometric Calibration and Uncertainties

Since our photometric redshift analysis will depend critically on the accuracy of our photometry, we took particular care in both the calibration and estimates of photometric uncertainties. The Gemini-North/NIRI detections are crucial, but also difficult to analyze since the field of view is small (2 arcmin on a side) and there is only one 2MASS star (namely, star B in Table 4, and Figure 4) that is in all the sub-exposures of the nine-point dither pattern. There is another 2MASS star (A in Table 4) which appears on two of the sub-exposures, and we used this as a cross check on the derived photometry. Both these stars are toward the faint end of the 2MASS catalog and have relatively large photometric uncertainties. To overcome this we used the wide-field UKIRT/WFCAM and ESO2.2 m/GROND JHK images (both of which were obtained close in time to the Gemini observations), which were very precisely calibrated using many bright 2MASS stars, to obtain more accurate magnitudes for these reference stars. The two independent determinations were

\(^{28}\) http://www.stsci.edu/hst/wfc3/phot_zp_lbn

\(^{29}\) http://casu.ast.cam.ac.uk/

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**Figure 4.** Wide-field image of the GRB 090429B field, obtained with Gemini-North 14 days after the burst. The location of the GRB is marked with a crosshair. Additionally, we mark the positions of the three comparison stars used to refine our photometry (note that star C is faint, and lies at the end of the marked arrow, just to the south of the galaxy), and the location of a large elliptical galaxy (G1), which is the central galaxy of a modest cluster at \(z \approx 0.08\), which may provide a modest lensing magnification. Note the silhouette of the guide probe obscuring part of the field.

**Table 3**

| Date       | Start Time | Inst/Filter | Exp Time | Limit  | Flux Density (\(\mu\)Jy) |
|------------|------------|-------------|----------|--------|--------------------------|
| 2010 Jan 3 | 03:13      | ACS/F606W   | 2100     | >27.6  | 0.005 ± 0.008             |
| 2010 Jan 10| 21:54      | WFC3/F160W  | 2412     | >27.5  | 0.007 ± 0.005             |
| 2010 Feb 22| 19:22      | WFC3/F160W  | 2412     | >27.5  | 0.007 ± 0.005             |
| 2010 Feb 24| 03:19      | WFC3/F105W  | 2412     | >28.3  | 0.001 ± 0.005             |
| 2010 Feb 28| 13:56      | WFC3/F105W  | 2412     |        |                          |

**Notes.** A log of the HST optical and NIR observations of the GRB 090429B field. Flux densities are given in the measured apertures and are not corrected for light outside the apertures. Errors are 1\(\sigma\) and the limits are given in the AB-magnitude system at the 3\(\sigma\) level (and include aperture corrections).
consistent with each other within their respective calculated errors (typically 0.01–0.02 mag), and we therefore formed a weighted average to obtain our best estimates of the NIR errors (typically 0.01–0.02 mag), and we therefore formed a weighted average to obtain our best estimates of the NIR magnitudes, as shown in Table 2.

Magnitudes for the afterglow were measured relative to star B, although this procedure was further complicated by the fact that the point spread function (PSF) was found to change across the frame resulting in the core of the reference star becoming noticeably extended when it was close to the southern edge of the detector, as it was in some sub-exposures. This precluded small aperture (5 pixel radius, \( \leq 0.6'' \)) photometry for these exposures, so in such cases we used a fainter star (C in Table 2) closer to the GRB position as a secondary reference, having determined its magnitude relative to star B using those frames where it was not near the edge. We note that a small aperture was required to maximize the signal-to-noise ratio for the afterglow and that profile-fitting photometry was deemed inappropriate due to the small number of bright stars available to define the PSF.

The magnitudes (and errors) for the afterglow in each band were then determined from an error weighted mean of the different sub-exposures. Finally, we converted to flux density using a recent NIR spectrum of Vega (see Bohlin 2007) which resulted in values that are 2\%–3\% higher for our passbands than were then determined from an error weighted mean of the different sub-exposures. Finally, we converted to flux density using a recent NIR spectrum of Vega (see Bohlin 2007) which resulted in values that are 2\%–3\% higher for our passbands than those denoted cal refer to our improved values based on the UKIRT/WFCAM and ESO2.2/GROND observations. Uncertainties are 1\sigma.

Table 4

| Star | R.A.      | decl.       | J2MASS  | Jcal  | H2MASS  | Hcal  | K2MASS  | Kcal  |
|------|-----------|-------------|---------|-------|---------|-------|---------|-------|
| A    | 14:02:35.05 | 32:11:07.2  | 14.754  | ±0.034 | 14.753  | ±0.006 | 14.411  | ±0.055 |
| B    | 14:02:40.60 | 32:09:28.9  | 15.419  | ±0.055 | 15.451  | ±0.007 | 14.968  | ±0.076 |
| C    | 14:02:38.11 | 32:10:08.9  | 19.523  | ±0.035 | 19.001  | ±0.028 | 18.585  | ±0.032 |

Notes. Vega magnitudes for our two secondary standard stars utilized in photometry of the afterglow of GRB 090429B. The 2MASS entries refer to the magnitudes contained within the 2MASS catalog, while those denoted cal refer to our improved values based on the UKIRT/WFCAM and ESO2.2/GROND observations. Uncertainties are 1\sigma.

3. RESULTS AND DISCUSSION

3.1. Temporal Behavior

Since our observations were taken over several hours, temporal variations in the afterglow luminosity could affect our analysis. Unfortunately, we have a rather limited handle on the variability at optical/IR wavelengths. Although most GRBs begin power-law decline in luminosity fairly early, in some cases flat or even increasing luminosity can be seen for a period of time (e.g., Rykoff et al. 2009). A rapidly fading afterglow (similar to those commonly observed) would imply even more stringent upper limits in our (earlier) blue band filters since the extrapolation to a common time would yield a more extreme limit on color index in, e.g., \( z - H \). Alternatively, the more unusual case of a rapidly rising afterglow would yield somewhat weaker constraints since the non-detections in the bluer bands could be ascribed to the brightening of the afterglow in the time frame between the optical and IR observations. However, this is countered by the fact that such a rising afterglow would also imply an even bluer \( H - K \) color, which is more difficult to attain with extinction. In this scenario, the rising of the afterglow may offer some support for a high-redshift scenario, since the time dilation at \( z \approx 9 \) would result in a forward shock which takes a factor of \( \sim 10 \) longer to reach maximum than at \( z \approx 0 \).

In an attempt to constrain the temporal slope of the optical afterglow we first perform photometry on the individual NIRI frames. We find no statistically significant variation over the \( \sim 10 \) minute time frame of these observations, implying that the afterglow is not varying especially rapidly. Second, we utilize acquisition images taken prior to the aborted NIRI spectroscopic observations. These suggest a minor brightening of the afterglow between 13000 s and 17000 s after the burst (0.3 ± 0.2 mag, corresponding to \( \alpha \approx -1.0 \pm 0.7 \)). However, these observations were obtained at a single ather position and contained substantial persistence. Hence, we can accurately remove neither sky nor dark current and the resulting observations contain large variations in the sky on relatively short length scales. Thus, we caution against their use for detailed photometric work, aside from noting that the afterglow is neither rising nor falling at an unusually rapid rate. We gain a much better handle on the decay between the first- and second-night observations, which gives \( \alpha \approx 0.6 \pm 0.1 \), but of course this may not apply during the first few hours post-burst.

On balance, then, we favor photometric fitting in which the observed magnitudes are assumed to be constant over the period of the early observations (i.e., we assume \( \alpha = 0 \)). This is consistent with the relatively flat X-ray behavior between 1 and 3 hr post-burst (Figure 1). For completeness, we have included a single power-law temporal decay as a possible parameter within these fits and confirm that for any reasonable slope \(-1 < \alpha < 1 \) our results are broadly insensitive to the assumed value of \( \alpha \) (see below). To avoid extrapolating over too wide a range of times and to counter against unusual afterglow behavior (which is normally most notable in the first few hundred seconds in the rest frame) we also fit only data taken after 4000 s. The inclusion of earlier data would further strengthen our results if we assumed the afterglow to be decaying, but would produce
and rest-frame $V$ there exists a degeneracy between extinction ($\beta$ between spectral index, fitting.

Since the early limits are shallower than those obtained minimal difference to the fit if we assumed a flat or rising light curve (since the early limits are shallower than those obtained at later times).

3.2. Photometric Redshift Analysis

Here we attempt to derive the redshift of GRB 090429B via our broadband photometry of its afterglow. The absence of any detections within the optical window, if interpreted as the signature of high redshift, immediately implies $z > 6.3$. Similarly, if we interpret the red $J - H$ color of the afterglow as indicative of a Lyman-break lying within the $J$ band, the inferred redshift is $8.0 < z < 10.5$.

To obtain stronger constraints on the redshift of GRB 090429B, we performed the following analysis. We considered just the seven deepest observations, namely, those obtained at the VLT and Gemini-North on the first night with filters redder than 6000 Å. We assume initially that there is no temporal variation over the course of observations, although including plausible variability within our fits also confirms that our results are broadly insensitive to this assumption (see below). After correcting for Galactic foreground extinction ($E_{B-V} = 0.015$; Schlegel et al. 1998) we fitted these flux density measurements with a grid of simple models for the SED of the afterglow. The errors are likely to be Gaussian distributed, to good approximation, since the uncertainties are dominated by background subtraction (although we also included zero-point calibration uncertainties in the modelling), and therefore used minimum-$\chi^2$ fitting.

Specifically, the model was a simple power law, with the spectral index, $\beta_0$, and overall normalization as free parameters. The grid of models spanned a range in redshift of $0 < z < 12$ and rest-frame $V$-band extinction of $0 < A_V < 12$. Beyond $z \sim 7$ we are effectively fitting only three data points so there exists a degeneracy between extinction ($A_V$) and $\beta_0$. We therefore include a weak prior for the probability distribution of the value of $\beta_0$ (see Figure 5) which is modeled as a lognormal with a peak likelihood at $\beta_0 = 0.5$ and a width such that the relative likelihood is 50% of the maximum from about $0.3 < \beta_0 < 0.85$. This is physically motivated since it allows values of $\beta_0$ over a broad range, comparable to the range usually observed (e.g., Schulze et al. 2011), but in particular prefers

$$\beta_0 = \beta_X - 0.5 \approx 0.5,$$

as would be expected if there was a cooling break between the X-ray and optical regimes (e.g., Sari et al. 1998). The plausibility of such a cooling break is clear from Figure 3, while relaxing this assumption does not lead to any viable fits at low redshift. Added to this was absorption due to neutral hydrogen in the IGM (Madau 1995; neutral hydrogen in the host was taken to have a typical column density of $10^{21}$ cm$^{-2}$, although the results are insensitive to the exact number assumed) and extinction due to dust. We experimented with several dust laws, from the Milky Way (MW), Large Magellanic Cloud (LMC) and Small Magellanic Cloud (SMC; Pei 1992), as well as the extinction law of Maiolino et al. (2004).

We also impose a weak prior on the intrinsic luminosity of the optical afterglow (Figure 5). Studies such as that of Kann et al. (2010) indicate that there is an upper envelope to the (broad) distribution of GRB optical afterglow luminosities. We therefore apply a prior which is flat below this envelope and cuts off exponentially at brighter luminosities, although the cutoff is slow enough to allow a reasonable probability that the luminosity could be somewhat higher.

In fact, rather than fitting directly to the flux densities, we integrated our model spectra over response functions and compared the counts obtained by integrating an approximate spectrum of the comparison star. The response functions were obtained from the measured filter transmission curves, multiplied by a typical atmospheric absorption curve generated by ATRAN.30 Going to these lengths effectively corrects for the small difference in the SED shape of the afterglow from the reference star, although again the conclusions are not greatly affected. In Figure 6 we show the photometric data points and the best-fit model for the afterglow spectrum assuming that the afterglow did not evolve temporally during the first 3 hr (see below) and that the dust is similar to that in the SMC, which has frequently been found to be a good approximation to the dust laws along many other GRB sight lines (e.g., Schady et al. 2007, 2010). We also show the best-fit low-$z$ model (as it happens $z \approx 0$), which is formally ruled out at high confidence. In Figure 7 we plot contours of $\chi^2$ over a grid of models spanning a range of redshift and restframe $V$-band extinction, $A_V$. The red cross shows the best-fitting

30 http://atran.sofia.usra.edu/cgi-bin/atran/atran.cgi

Figure 5. Input priors adopted for our photometric redshift fitting. Left panel: in the relativistic fireball model, the intrinsic spectral slope in the optical should lie between $\beta_X$ and $\beta_X - 0.5$ (plus the associated measurement errors). The dotted line, for example, is a lower limit on the spectral index at later times. The grid of models spanned a range in redshift of $0 < z < 12$ for the photometric redshift analysis. The solid line shows the best-fit solutions. Right panel: the second prior is on the intrinsic optical afterglow luminosity, and impacts solutions that would result in an unreasonably bright luminosity (it is not bounded at the faint end, and hence the low-redshift solutions are unaffected). It is therefore based on the empirically observed upper envelope of afterglow luminosities. The primary impact of this prior is to disfavor moderate ($A_V > 3$) scenarios at high redshift ($z > 7$), where the burst would have been more luminous than any other known afterglow.
model, which has $z = 9.36$ and extinction $A_V = 0.1$, although the 99% confidence contour runs as low as $z \approx 7.7$ if there is a modest amount of dust (rest-$A_V \approx 0.5$) in the host. Marginalizing the likelihood (which we define $L \propto \exp(-\chi^2/2)$) over $A_V$ (assuming a flat prior) indicates a 90% likelihood range of $9.02 < z < 9.50$. There is no solution at lower redshifts ($z < 7$) which is not ruled out at $\approx 99.9$% level; the best fit at low redshift ($z \approx 0$) high-extinction ($A_V = 10.6$) model is shown as a dashed blue line, but in fact is formally ruled out at high significance ($\chi^2/\text{dof} = 26.2/4$).

likelihood is at $z = 9.38$, and 90% of the likelihood is between $9.06 < z < 9.52$.

3.3. Implications of the Absence of a Host Galaxy

Our late-time data taken with Gemini and HST are potentially extremely valuable, since we can use the absence of any host galaxy candidates to assess the plausibility of any lower-$z$ solutions to our photometric redshifts (the HST images are shown in Figure 10). The detection of a host galaxy in the optical was used, for example, to show that GRB 060923A was $z < 3$ despite its afterglow being a K-band dropout (Tanvir et al. 2008). In the case of GRB 090429B, the possible low-redshift scenarios seem to be those with $z < 1$ and high dust extinction $A_V \sim 10$ (although we emphasize that such models remain formally ruled out). The limits these data provide on this are shown graphically in Figure 11, where we plot the absolute inferred magnitude of the host galaxy in the observed $V, Y$ and $H$ bands as a function of redshift. For completeness we cut each line at the point where 1216 Å $\times (1+z)$ passes the central wavelength of the band. At $z = 0.1$, close to the minimum of our lower redshift solution, we obtain inferred absolute magnitude limits of $M_V > -10.6$, $M_Y > -9.9$, $M_H > -10.5$. These exceptionally deep limits are comparable to the luminosities of bright globular clusters, and significantly fainter than any known GRB or supernova host galaxy; indeed, they place limits of $\lesssim 10^{-14} L^*$ (Blanton et al. 2003). Even at $z = 1$ the observed $Y$-band limits would imply $M_B > -15.1$, or $\lesssim 0.001 L^*$ (Ryan et al. 2007).

In this regard it is worth noting that the lower redshift solutions are only viable in cases where the host galaxy extinction is high, whereas such faint galaxies typically have low extinction. Indeed, they place limits of $\lesssim 10^{-14} L^*$ (Blanton et al. 2003). Even at $z = 1$ the observed $Y$-band limits would imply $M_B > -15.1$, or $\lesssim 0.001 L^*$ (Ryan et al. 2007).

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metallicity and little dust, and it is therefore extremely unlikely that one could create the extinction ($A_V \sim 10$) necessary to explain GRB 090429B. Any $z < 3$ solution would require the host of GRB 090429B to be fainter than the large majority of GRB hosts currently known. Furthermore, our wide wavelength coverage would also allow us to uncover any very red dusty host galaxies, which would provide the necessary extinction, but would be missing from optical only searches (e.g., Levan et al. 2006; Svensson et al. 2010).

This offers strong support for our high-$z$ model. In these cases only the $F160W$ observation yields potential information as to the magnitude of the host galaxy. The inferred 1500 Å absolute AB magnitude at $z \sim 9.4$ is $-19.95$. This lies roughly in the middle of the observed absolute magnitude distribution of $z \sim 8$

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**Figure 8.** Results of SED fits with a range of possible values for the temporal power-law index and different reddening laws. The plots encompass the canonical reddening laws for the SMC, LMC, and Milky Way (which are characterized by the increasing influence of the 2175 Å bump) as well as the law of Maiolino et al. (2004) which is approximately flat (“gray”) from $\sim 1800$ to $3000$ Å. As can be seen, the assumed temporal index has only a minimal impact on our results, and our assumption of $\alpha = 0$ therefore does not affect our analysis. The majority of GRB afterglows are best fit with SMC-like absorption, and we therefore adopt this as our choice model (e.g., Schady et al. 2007). Other laws can produce broader allowed redshift ranges, in particular extending as low as $z \sim 6.3$ at 99% confidence in the Maiolino et al. (2004) case, but all rule out low-$z$ ($< 6$) scenarios.

(A color version of this figure is available in the online journal.)
candidates galaxies found in deep *HST* ACS and WFC3 imaging (Bouwens et al. 2010), and thus the non-detection of a galaxy at $z \sim 9.4$ is not unexpected in observations of this depth.

### 3.4. Other Indicators of High Redshift

In addition to the above discussion there are additional lines of evidence which offer support for the high-$z$ interpretation of GRB 090429B. The best $z \lesssim 5$ solution is actually at $z \sim 0$ (although it remains a very poor fit to the available optical data) and requires $A_V \sim 10$, for an SMC extinction law, which would correspond to a foreground $N_H \sim 10^{23}$ cm$^{-2}$, nearly two orders of magnitude larger than is observed. While the dust-to-gas ratios observed through the MW can show moderately large variations, such a large offset would be unheard of, particularly in GRB afterglows where typically the ratio of dust extinction to X-ray determined gas column is actually less than is seen locally (Schady et al. 2010). Hence, the observed X-ray spectrum seems to rule out any low-redshift ($z \lesssim 1$), high-extinction scenario. This is illustrated in Figure 7 which shows that the best low-redshift solutions are well above the contours of $A_V$ inferred from the excess $N_H$, assuming typical GRB dust-to-gas ratios.

A second line of evidence comes from observed high-energy correlations seen in many GRBs, in particular, in the relation between the peak energy of the $\nu F_\nu$ spectrum ($E_p$) and the burst isotropic energy (Amati et al. 2008). Although this relation has a significant scatter, it can also be used to place some constraints on the burst redshift under the assumption that all long bursts should follow the relation. For GRB 090429B, the burst is only consistent with this relation at better than $3\sigma$ if $z > 1$, implying that it also disfavors very low redshift models for the origin of GRB 090429B. This result couples with the lag–luminosity relation, which would imply an isotropic luminosity of $L_{iso} \sim 10^{53}$ erg $s^{-1}$, similar to other long GRBs in the “silver sample” (Ukwatta et al. 2010).

### 3.5. Rest-frame Properties

The observed fluence of GRB 090429B is $3.1 \times 10^{-7}$ erg cm$^{-2}$, comparable to that observed for GRB 090423, and implies an isotropic energy release in the 15–150 keV band of $E_{iso} = 3.5 \times 10^{52}$ erg at $z \sim 9.4$. Its absolute X-ray (rest frame ~3–100 keV) brightness of $\sim 2 \times 10^{45}$ erg s$^{-1}$ at $\sim 1000$ s, and K-band luminosity of $M_{K,/(1+z)}$ of $\sim -26.2$ (AB) are also similar both to GRB 090423 and to the bulk of the long GRB population.

The rest-frame duration at first sight seems surprisingly short, with $T_{90}/(1+z) \sim 0.5$ s. Interestingly, three of the four highest redshift GRBs discovered prior to this one have also had rather low values of $T_{90}/(1+z)$ around 1–4 s (Ruiz-Velasco et al. 2007; Greiner et al. 2009; Tanvir et al. 2009; Salvaterra et al. 2009). A possible explanation for this tendency may be that for high-redshift sources the BAT is observing at rest-frame MeV energies, where the light curves tend to be more rapidly variable and of shorter duration than at lower energies, and thus it is plausible that just a single peak of emission is detected rising up above the noise in these cases.

An analysis obtained using the technique discussed in Ukwatta et al. (2010) reveals that this GRB presents a small value of lag, $31/(1+z) = 58 \pm 27$ ms between the low-energy (15–25 keV) and the high-energy (50–100 keV) channels. The rest-frame correlations found by Ukwatta et al. (2010) indicate this corresponds to a peak isotropic luminosity $L_{iso} > 10^{52}$ erg s$^{-1}$, consistent with the observed fluence and short duration.

Another interesting issue is that of the absorption inferred from the X-ray spectrum. Although the measurement is not highly significant, if taken at face value, the rest-frame column density is $N_H = 1.4^{+1.0}_{-1.0} \times 10^{23}$ cm$^{-2}$ (90% confidence range). This would be already high compared to most other *Swift* observed GRBs and would be higher still if, as is very likely, the metallicity is substantially less than solar (which by convention is often assumed in calculating $N_H$). Such a high-column density is no doubt surprising, although a similar value was found for GRB 090423 (Tanvir et al. 2009; Salvaterra et al. 2009). As
in that case, it also raises the question of whether a high gas column would be compatible with the low extinction indicated by the NIR afterglow. GRBs are expected to be able to destroy dust to fairly large distances from their birth sites (e.g., Waxman & Draine 2000; Fruchter et al. 2001), but the good fit for many afterglow SEDs with an SMC extinction law suggests they have not generally been highly modified by dust destruction, which would tend to produce “gray” extinction laws (e.g., Schady et al. 2010). In any event, the large error bar on this measurement and potential systematic uncertainties in calibrating the soft response of the XRT, taken together with the wide range of dust-to-gas ratios seen in other GRB sight lines, makes the significance of this conflict hard to assess at the present time.

On balance, it seems that in most respects the general properties of GRB 090429B do not stand far apart from the population of long-duration GRBs, even at the inferred redshift of $z \sim 9.4$. In particular, it shows no evidence that its progenitor is distinct from those of GRBs seen in the more local universe. This is of particular importance at $z \sim 9.4$, since it is close to the redshift where $WMAP$ observations imply the bulk of reionization of the universe occurred ($z = 10.6 \pm 1.2$; Komatsu et al. 2011). This reionization process is likely to have been driven by the first generations of star formation, including Population III stars, whose pristine H+He composition is expected to lead to generally more massive stars. It has been proposed that a consequence of this could be that Population III stars produce particularly long duration and energetic GRBs (e.g., Mészáros & Rees 2010). This is clearly not the case for GRB 090429B, and hence we conclude that its progenitor was more likely to be a high-mass second-generation (Population II) star.

4. CONCLUSIONS

We have presented our discovery and multi-wavelength observations of GRB 090429B and its NIR afterglow, and a deep late-time search for its host galaxy. The afterglow exhibited a strong spectral break in the $J$ band, which, coupled with the non-detection in the optical and relatively blue $H - K$ color, allows us to derive a best-fit photometric redshift of $z \sim 9.4$. It is, of course, important to look carefully at the evidence against a lower redshift origin, since we know that Swift GRBs exist in much greater number at $z < 4$ than above it. Our afterglow photometry allows us to exclude all $z < 6$ solutions with high confidence. A low redshift ($z \lesssim 1$) is also effectively ruled out by our $HST$ observations which would easily locate such dusty galaxies in either the optical or IR at $z < 1$, and also the relatively modest excess $N_{\text{H}}$ which would not be consistent with a very high dust column. This immediately implies that GRB 090429B is one of the most distant objects yet discovered. The maximum-likelihood solution, with our preferred assumption of an SMC extinction law, is $z = 9.38$ with a 90% likelihood range of $9.06 < z < 9.52$. The conclusions do not depend sensitively on the priors adopted for other parameters, although a Maiolino et al. (2004) dust law would favor somewhat lower redshift, though still $z > 7$, at the expense of requiring fairly significant extinction (up to rest frame $A_V \sim 2$). Since all $z > 6$ bursts observed to date are consistent with having $A_V = 0$ (Zafar et al. 2010, 2011), this suggests that $z \sim 9.4$ provides a good estimate of the redshift of GRB 090429B.

Our campaign shows again how rapid-response multiband NIR observations play a crucial role in identifying candidate extreme-redshift afterglows. However, it also highlights the need for even more rapid observations and decisions to maximize the likelihood that spectroscopic observations can be successfully obtained. In the future, additional dedicated ground-based optical/NIR multiband imagers such as GROND and RATIR (Farah et al. 2010) can be expected to feed further such candidates directly to NIR spectrographs including X-Shooter on the VLT (D’Odorico et al. 2004), FIRE on Magellan (Simcoe et al. 2008), and GNIRS on Gemini (Elías et al. 2006); ultimately, such prompt spectroscopy of extreme-redshift candidates will not only resolve the nature of these events, but quite likely succeed in realizing the extraordinary promise of GRBs as probes of the extreme-redshift universe.

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