Evolutionary features of thyroid cancer in patients with thyroidectomies from 2008 to 2013 in China

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To evaluate the characteristics of thyroid carcinoma over time, we carried out a retrospective study to illustrate the evolutionary features of thyroid carcinoma. All records of thyroidectomies from the First Affiliated Hospital of Nanjing Medical University from 2008 to 2013 were obtained focusing on pathological diagnosis, size, local lymph node metastasis (LNM) of the tumors. The thyroid cancer detection rate increased from 24.6% to 41.5% significantly ($P < 0.05$). Papillary thyroid carcinoma (PTC) remained to be the most common type counting 86.4% of all thyroid carcinomas. In all 1,704 PTCs, microPTC (mPTC) with maximum diameter less than or equal to 10 mm has become the dominant form taking up 56.5% of all PTCs in 2013 while only 43.1% in 2008. The mean maximum tumor size has decreased from 17.8 mm to 12.2 mm significantly ($P < 0.05$). However, the average age, female dominance, and local LNM remained similarly in the past six years. Logistic regression test showed that the determinants for local LNM were age, gender and tumor size. mPTC has become the most common form of thyroid carcinoma detected during thyroidectomies in China while other features of thyroid carcinoma remained similarly in the recent years.

Thyroid cancer is the most common endocrine cancer1. The incidence of thyroid cancer has increased dramatically worldwide, especially in Asian countries including China2–7. Thyroid cancer has become the most common form of cancer among Chinese women aged below 30 years old6. Our previous study has showed that by using ultrasound the prevalence of thyroid nodule was 46.6% in population aged above 40 years old6. However, only 7–15% of them are supposed to be cancerous according to the recent guideline from American Thyroid Association (ATA)3. Due to the high prevalence of this clinic scenario, selection of nodules with the highest risks of malignancy for thyroidectomy would be more reasonable9 and cost-effective10. These modalities include clinical evaluation, thyroid function test, ultrasound, FNA and molecular test if possible1,9,11. Although there are currently several guidelines to walk us through the pathway of diagnosing and treating patients with thyroid nodules, some major discrepancies exist among guidelines from different regions and organizations1,5,12–14. The cut-off size for performing FNA is one of them. The ATA guideline does not recommend performing FNA routinely for nodules less than 1 cm, however the guidelines from AACE/ETA and Korean Society of Radiology do not hold the cut-off strictly13,14. One the other hand, in real practice a recent study showed that the practitioners generally held a lower threshold for FNA than the cut-off recommended by ATA15. With more surveillance modality being applied for screening for thyroid cancer2,4,16–18, it is reasonable to speculate the size of thyroid cancer might decrease annually. However, it is more intriguing to know whether the nature of these tumors remains the same.
or not as marked by for example the local lymph node metastasis (LNM) one of common parameter for cancer progression specially for those less than 1 cm. Due to that uncertainty, the primary objective of current study was to evaluate the evolutionary features of thyroid carcinoma, especially for the size and the lymph node metastasis.

Materials and Methods
Data were collected from Electronic Record Registration System in the Record Room and Pathological Diagnosis Registration and Reporting system in the Department of Pathology both from the First Affiliated Hospital of Nanjing Medical University, one of the largest academic hospitals of eastern China from 2008 to 2013 when both electronic systems were available since 2008. We reviewed all thyroid pathological diagnosis reports. Clinical data were also reviewed by inquiring the admission number in the Electronic Medical Record system when first available since 2009. All the data were obtained by chart review at a Record Room by X.L., L.Z., D.C., Z.W., H.C. and Y.D. We excluded data without precise documentation for the size of the tumor and those with invalid year. For patients with multiple entries in the same year and/or different years, we only recoded the year when the patient was first admitted in our hospital. Finally we obtained data with valid pathological diagnosis in 6,406 cases in the six year period. The methods of this work were described previously15,19. Among these cases, we obtained 1,973 malignant cases and clinical data were available for 1,710 cases. Clinical data included how the nodule was found, symptoms related to the nodule and the signs during physical examination. We divided the reasons for thyroid nodules consultant into four types: nodule(s) found by the patient, nodule(s) found during routine physical examination, nodule(s) found during consultant for other medical conditions, and for the completion of thyroidectomy after a cancerous nodule resected in other hospitals. Symptoms included discomfort or pain of the front neck, dysphagia, dysphonia or hoarseness and dyspnea. If any of these symptoms occurred, we recorded as positive, otherwise negative. If the nodule(s) or any enlargement of the thyroid gland or local lymph node was palpitated during physical examination, we recorded as positive, otherwise negative.

Generally, thyroidectomies were performed for the following reasons as previously described15,20: a) worrisome findings from ultrasonography, and/or abnormal lymph node enlargement, b) malignancy suspected from previous thyroid FNA, or inclusive FNA results, c) patients with multiple or bilateral nodules or symptoms of neck or throat compression, or enlargement during follow-up, d) concerning clinical or physical examination findings warranting consideration for removal. A small minority of patients chose thyroidectomy at their own wish, primarily for cosmetic reasons.

Malignancy, if present, was reported as one of the following subtypes: papillary thyroid carcinoma (PTC) including crPTC (clinical relevant papillary thyroid carcinoma, with the maximum diameter larger than 10 mm) and microPTC (mPTC) with the maximum diameter less than or equal to 10 mm; follicular thyroid carcinoma (FTC); medullary thyroid carcinoma (MTC); and anaplastic thyroid carcinoma (ATC). For each case, we collected data confirming the maximum diameter of the tumor and other pathologic features as well. All pathological findings were confirmed by experienced endocrine pathologist (Z.Z.). We divided results according to the chronological sequence from 2008 to 2013.

Lymph node dissection was performed at the discretion of the surgeon based upon clinical and other factors. Most often, a neck ultrasound or computed tomography (CT) scan was performed prior to surgery allowing assessment of neck adenopathy. Frozen sections were routinely used to guide the extent of the surgical procedure. If the nodule was found to be malignant by frozen section and no abnormal lymph nodes were identified on preoperative imaging (or during the surgery), an ipsilateral central lymph node dissection (CLND) from level VI was generally performed. Only when the tumor size was within 10 mm and no abnormal lymph node was found during the operation, CLND was not routinely performed. If abnormal lymph nodes were identified during pre-operative ultrasound or intraoperatively, and the snap-frozen sample showed malignant lesion, a modified lateral lymph node dissection (LLND) was performed. All the samples were formalin fixed paraffin embedded (FFPE) for final histopathology confirmation.

Fasting serum-free thyroxine (FT4, reference interval: 12.0–22.0 pmol/l), serum-free triiodothyronine (FT3, reference interval: 3.10–6.80 pmol/l), serum thyroid-stimulating hormone (TSH, reference interval: 0.27–4.2 mIU/L), anti-thyroglobulin (anti-Tg or TgAb, reference interval: 0–115 IU/ml), and anti-thyroid peroxidase (anti-TPO or TPoAb, reference interval: 0–34 IU/ml) were measured by chemiluminescent immunoassay (AutoBio Co., Ltd., Zhengzhou, China) before surgery. Coefficients of variation for the assays were all <15%. Serum TPoAb and TgAb were defined as positive and negative if the value of the antibody was higher than the upper reference or lower than the upper reference, respectively.

Quantitative data were shown as mean ± SD or mean ± SE as indicated, compared using One Way ANOVA whereas numbers and percentage were provided for qualitative data. Percentages were compared using the χ² test or χ² test for trend. Logistic regression test was used to determine the risk factors for local LNM. All analyses were additionally corrected for confounders including age, gender, nodule size, year group and presence of Hashimoto thyroiditis. All tests were 2-sided, and a P value < 0.05 was considered statistically significant. Statistical analyses were performed with SPSS software, version 13.0 for Windows (SPSS Inc, Chicago, IL, USA).

Ethics. This study was reviewed and deemed exempt from written informed consent by the Institutional Review Board (IRB) of the First Affiliated Hospital of Nanjing Medical University. The patient records were anonymized and de-identified prior to analysis. It was approved by the IRB for analysis.

Results
Malignancy detection rate from 2008 to 2013. It was found that the rate of malignancy in all thyroid surgeries increased significantly from 2009 to 2013. The malignancy rate of all thyroidectomies increased from 20.6% in 2009 to 41.5% in 2013 significantly (P < 0.05, Table 1). In the meantime the benign rate dropped from 79.4% to 58.5% correspondingly (P < 0.05, Table 1).
**Pathological diagnosis classification of all thyroid malignancies from 2008 to 2013.** *Means percentages were significantly different compared with previous year; †means percentages were significantly different compared with the year 2008.*

|                | 2008       | 2009       | 2010       | 2011       | 2012       | 2013       | Total       | P for difference | P for trend |
|----------------|------------|------------|------------|------------|------------|------------|-------------|-----------------|-------------|
| PTC, n(%)      | 137(68.5%) | 146(76.0%) | 230(85.5%) | 277(86.6%) | 364(90.3%) | 550(93.4%) | 1704(86.4%) | <0.001          | <0.001      |
| FTC, n(%)      | 42(21.0%)  | 13(6.8%)   | 12(4.5%)   | 15(4.7%)   | 12(3.2%)   | 13(3.2%)   | 107(5.4%)   |                 |             |
| MTC, n(%)      | 6(3.0%)    | 2(1.0%)    | 5(1.9%)    | 6(1.9%)    | 9(2.2%)    | 7(1.2%)    | 35(1.8%)    |                 | 0.550       |
| ATC, n(%)      | 0(0.0%)    | 1(0.5%)    | 2(0.7%)    | 0(0.0%)    | 1(0.2%)    | 0(0.0%)    | 4(0.2%)     |                 | 0.210       |
| Other, n(%)    | 15(7.3%)   | 30(15.6%)  | 20(7.4%)   | 22(6.9%)   | 16(4.0%)   | 20(3.4%)   | 123(6.2%)   |                 | 0.016       |
| Unknown        | 14(7%)     | 26(13.5%)  | 16(5.9%)   | 19(5.9%)   | 10(2.5%)   | 14(2.4%)   | 99(5.0%)    | <0.001          | <0.001      |

**Total**       | 812        | 934        | 1015       | 1085       | 1140       | 1420       | 6406        |                 |             |

**Clinical information for thyroid cancer from 2009 to 2013.**

In all 1,973 patients of thyroid malignancies, the percentage of papillary thyroid carcinoma (PTC) has significantly increased from 68.5% in 2008 to 93.4% in 2013 (P < 0.05, Table 2), while other cancer types decreased or remained similar in detection percentage (Table 2). Majority of the patients had unilateral cancer (74.3%) and only 20.7% of the patients had cancer on both lobes of the thyroid gland. The mean numbers of loci were 1.4 ± 0.8 (Table 2).

**Characteristics of papillary thyroid carcinoma changes from 2008 to 2013.**

Due to the dominance of PTC, we analysed the detailed features of PTC. The mean age of all the PTC patients was 44.0 ± 13.6 years old, 79.8% being female (Table 3). It was documented that the mean size of PTC dropped significantly from 17.8 ± 14.3 mm in 2008 to 12.2 ± 9.5 mm in 2013 (P < 0.05, Table 3). We divided all the PTC into two groups mPTC and crPTC. It was found that the percentage of mPTC was increased from 43.1% in 2008 to 56.5% in 2013.
significantly ($P < 0.05$, Table 3). With mPTC being the dominant form of PTC in 2013, the other features including mean age, gender distribution and percentage of LNM remained without significant changes in the past six years annually or in total ($P > 0.05$, Table 3).

In further analysis, we compared the positive lymph node metastasis (LNM) rate between mPTC and crPTC in each year which showed positive LNM was all higher significantly in crPTC group than in mPTC group (Table 4) except that only similar trend was revealed in 2011 although without significance ($P = 0.055$, Table 4). No significance was revealed when comparison of positive LNM percentage was made in consecutive two years or in total six years both in mPTC and in crPTC groups. In general, evidenced positive LNM in all PTC, mPTC and crPTC was 31.3% (Table 3), 20.6% and 41.2% respectively (Table 4) without clear upward or downward trend in the past six years.

Determinants of local lymph node metastasis in PTC, mPTC and crPTC. We also determined the risk factors for local LNM in PTC, mPTC and crPTC. As showed in Fig. 5 and Supplementary Table 2 the LNM was positively related to nodule size $>$ 10 mm (OR = 2.480, CI 1.985–3.098, $P < 0.001$), male (OR = 1.587, CI 1.220–2.064, $P = 0.001$) and age below 45 years old (OR = 2.226, CI 1.786–2.774, $P < 0.001$) after adjustment for other covariates in all PTCs. Consistent findings were revealed in mPTC and crPTC groups (Fig. 5 and Supplementary Tables 3 and 4). However, the year and presence of Hashimoto’s thyroiditis were not related to LNM (Fig. 5 and Supplementary 2–4).

Discussion
Our study reported significantly increased malignancy detection rate in the past six years reaching 41.5% in 2013 which was favorably higher than 28.1% in Malaysia and 6.7% in Germany. However, this figure was still lower than 56% in a study from Brigham and Women’s Hospital (BWH), one of the major affiliates of Harvard Medical School in the United States. The discrepancy behind this might be related to different algorithms physicians or surgeons were using for patients with thyroid nodules reflecting different background of medical insurance and cost. Using ultrasound as one single tool might not be accurate enough for preoperative diagnosis. Combination of FNA, standardized cytology reporting system, repeated biopsy for undetermined thyroid nodules and molecular diagnosis may provide more precise preoperative diagnosis and result in a higher malignancy detection rate.

One important factor might be low FNA rate in our cohort. We only had 12.8% of the patients who received FNA...
preoperatively from 2009 to 2013, however, at BWH 100% of patients received FNA before surgery\textsuperscript{21}. Similar situation was also found in Germany which also resulted a much lower malignancy rate\textsuperscript{20}. We also found that preoperative FNA was significantly increased during these year which correlated to the increased cancer detection rate implying the FNA remains the main step when diagnosing thyroid nodule(s) as suggested by ATA guidelines\textsuperscript{5,23}. It is reasonable to believe a multi-disciplinary approach including a routine preoperative FNA might be needed to achieve higher malignancy detection rate in the future\textsuperscript{21,28}.

With higher malignancy detection rate, the major form of malignancy remained to be PTC reaching 93.4% in 2013 and 86.4% averagely in the six-year period which were both higher than previous reports\textsuperscript{38}. This significantly increased distribution of PTC in all thyroid cancer might be due to patient selection bias and the more recognition of high risk appearances for PTC under the ultrasound. Several ultrasonographic features have been shown to be related to thyroid malignancy, for example, marked hypoechogenicity, irregular or microlobulated margins, a taller than wide configuration (anteroposterior dimension greater than transverse dimension), microcalcification, or chaotic arrangement of intranodular vascular images and presence of metastatic lymph nodes or extracapsular growth\textsuperscript{5}. Among them, microcalcification is believed to have a high specificity for PTC\textsuperscript{12,23}. The question rises whether FNA or surgery is needed for when highly suspicious ultrasound features are reported in a sub-centimeter lesion. According the recent guideline from ATA, these sub-centimeter nodules might not need FNA in the absence of highly suspicious LN based on the fact that vast majority of these microPTC (if confirmed) either progress very slow or not at all\textsuperscript{39}. However, according to the data from the current study, this was not entirely true. We did found that around 20% of all these micro-PTC already showed the positive local lymph node metastasis. If we set the FNA cut-off as nodules with maximum diameter larger than 1 cm as recommended by ATA, all these microPTCs with LNM could not have been confirmed histologically. On the other hand, for the rest 80% of the micro-PTCs, the ATA guideline seemed to guide the right way at least from the perspective of LNM. The question would lie in more about when we need to be more aggressive and when to me more conservative for those highly suspicious nodule within 1 cm. Our analysis suggests that for male patients with younger age (<45 years old), more attention may be needed to be paid as the risk of LNM in this group of patients is high. There has been a major area of controversy that whether these sub-centimeter PTCs needed to be treated as crPTC\textsuperscript{40,41}. Some investigators advocated in favor of not performing further treatment in addition to initial thyroid surgery and some even suggest to remove the cancer label from indolent lesions\textsuperscript{5,42}, whereas others suggested an aggressive surgical approach followed by radioidine ablation therapy\textsuperscript{40}. Dr Miyauchi compared the outcome of immediate surgery and active surveillance for patients with microPTC\textsuperscript{43}. The oncological outcomes were similarly excellent, but the incidences of unfavorable events were definitely higher in the immediate surgery group. He has suggested that active surveillance is now recommended as the best choice for patients with low-risk mPTC. A recent publication\textsuperscript{44} suggested specific recommendations with regard to the optimal selection of patients with microPTCs for an active surveillance. They described a risk-stratified clinical decision-making framework\textsuperscript{44}. This framework was composed with three major factors, tumor/neck US characteristics, patient characteristics and

![Figure 2. Ultrasound features of the nodules of the patients who had thyroidectomy with malignant histology from 2009 to 2013. (A) Multi-nodularity of the patients. (B) Mean maximum size of the largest nodule during ultrasonography, data were express as mean ± SD.](image)
medical team characteristics\(^4\). They have described as inappropriate to observe or follow-up the patients if there is evidence of aggressive cytology on FNA (rare), or subcapsular locations adjacent to recurrent laryngeal nerve

Figure 3. Thyroid function and autoimmune status of the patients who received thyroidectomy with malignant histology from 2009 to 2013. (A) Mean levels of TSH (normal reference range 0.27–4.20 mIU/L). (B) Mean levels of FT3 (normal reference range 3.10–6.80 pmol/L). (C) Mean levels of FT4 (normal reference range 12.00–22.00 pmol/L). (D) Mean levels of TPoAb (normal reference range < 34.0 IU/ml). (E) Mean levels of TgAb (normal reference range < 115.0 IU/ml). (F) Positivity rates of TPoAb and TgAb. Data were express as mean ± SE.

Figure 4. Preoperative FNA rates among the patients who received thyroidectomy with malignant histology from 2009 to 2013. \( P < 0.001 \) for trend from 2009 to 2013.
Figure 5. Determinants of Lymph Node Metastasis in PTC, mPTC and crPTC. Logistic regression was used to determine the factors for local lymph node metastasis (LNM) in PTC, mPTC and crPTC after adjustments for other covariates.
Table 3. Characteristics of PTCs from 2008 to 2013. *means percentages were significantly different compared with previous year; bmeans percentages were significantly different compared with the year 2008; crPTC, clinical relevant papillary thyroid carcinoma; mPTC, micro papillary thyroid carcinoma; LNM, lymph node metastasis; LN, lymph node.  Data were presented as mean ± SD.

| Year | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | Total | P for difference | P for trend |
|------|------|------|------|------|------|------|-------|-----------------|------------|
| Age(year) | 43.5 ± 14.8 | 43.5 ± 13.9 | 45.9 ± 14.7 | 44.5 ± 13.7 | 43.5 ± 13.1 | 44.5 ± 13.1 | 1704 | 0.394 | 0.976 |
| Male, n(%) | 29(21.2%) | 31(21.2%) | 42(18.3%) | 49(17.7%) | 87(29.3%) | 106(19.3%) | 344(20.2%) | 0.394 | 0.976 |
| Female, n(%) | 108(78.8%) | 115(78.8%) | 188(81.7%) | 228(82.3%) | 277(76.1%) | 444(80.7%) | 1360(79.8%) | 0.394 | 0.976 |
| Mean Diameter(mm) | 17.8 ± 14.3 | 16.8 ± 11.9 | 16.6 ± 12.2 | 15.4 ± 11.2 | 14.4 ± 11.8 | 12.2 ± 9.5 | 14.6 ± 11.5 | <0.001 | <0.001 |
| crPTC, n(%) | 37(27.0%) | 37(25.3%) | 49(21.3%) | 48(17.3%) | 80(22.0%) | 134(24.4%) | 380(22.3%) | 0.276 | 0.703 |
| Positive LNM, n(%) | 32(23.4%) | 37(25.3%) | 49(21.3%) | 48(17.3%) | 80(22.0%) | 134(24.4%) | 380(22.3%) | 0.276 | 0.703 |
| Negative LNM, n(%) | 7(56.9%) | 9(64.4%) | 141(61.3%) | 154(55.6%) | 183(50.3%) | 311(56.5%) | 815(47.8%) | <0.001 | <0.001 |
| No LN Resected, n(%) | 68(49.6%) | 58(39.7%) | 108(47.0%) | 145(52.3%) | 162(44.5%) | 249(45.3%) | 790(46.4%) | 0.158 | 0.670 |

Table 4. Rate of local lymph node metastasis comparison between mPTC and crPTC from 2008 to 2013.  Rate of Positive LNM was compared between mPTC and crPTC in each year. LNM, lymph node metastasis; mPTC, micro papillary thyroid carcinoma; crPTC, clinical relevant papillary thyroid carcinoma.

| Year | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | Total | P for difference | P for the trend |
|------|------|------|------|------|------|------|-------|-----------------|---------------|
| Positive LNM in mPTC, n(%) | 9(15.3%) | 8(15.4%) | 14(15.7%) | 30(24.4%) | 40(22.1%) | 67(21.5%) | 168(20.6%) | 0.456 | 0.128 |
| Positive LNM in crPTC, n(%) | 28(35.9%) | 43(45.7%) | 59(41.8%) | 54(35.1%) | 82(44.8%) | 100(41.8%) | 366(41.2%) | 0.398 | 0.596 |
| P value* | 0.007 | <0.001 | <0.001 | 0.055 | <0.001 | <0.001 | <0.001 | <0.001 | <0.001 |

(RLN), or evidence of extrathyroidal extension or clinical evidence of invasion of RLN or trachea (rare) or N1 disease at initial evaluation, etc. Although in our data we have not been able to show all the detailed information described above, they do mention the LNM as a factor to include the patient as the candidate for surgery and FNA should be done for these sub-centimeter lesions to exclude aggressive form of thyroid cancer. We found this framework would be more reasonable to apply when facing individual patient.

The main limitation of current study was that all the data were from a single academic center with a retrospective nature. Patient selection bias was unavoidable. We only compared the local LNM, other pathological features such as extrathyroidal extension, capsule invasion were not compared which are also recognized as important prognostic information. There were a certain percentage of lymph nodes not resected during the thyroidectomy. We did not have robust follow-up evidence, as suggested by many studies that PTC as the major form of thyroid cancer is generally indolent in nature and longer time of follow-up is often suggested for future study, we hope to analyze the follow up data as to further confirm our points.

Our study has filled in the blanks of thyroid research by showing the evolutionary trend of thyroid carcinoma from a single academic center in China. The major findings of the current study lie in that mPTC has become the dominant form of thyroid cancer without significant change in local LNM in recent years. Although the detection rate of mPTC was indeed increased from 43.1% to 56.5%, the local lymph node metastasis was generally around 20% suggesting than around 80% of these microPTCs did not have evidence of cancer progression as manifested by LNM. Our findings support this hypothesis that an increasing number of thyroidectomies are being performed for small and often indolent disease questioning the current algorithms surgeons are using for patients with thyroid nodules in China. Our study provided some evidence for physicians or surgeons that clinical judgments are very important independent of the nodule size especially when high risk ultrasound appearances or suspicious LN were present at first clinical consult. A risk-stratified clinical decision-making framework might be useful when making decisions for these sub-centimeter lesions especially when microPTC is confirmed by FNA, however further study is needed to illustrate this issue.

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Author Contributions
X.L. carried out analysis, co-designed the study and wrote the manuscript; X.L., L.Z., D.C., Z.W. and H.C. collected the data. M.S. and H.L. performed the thyroidectomies. Z.Z. interpreted the pathology results. X.L., Y.D., J.C. and E.K.A contributed to study design; X.L. wrote the initial manuscript. X.L. and L.Z. contributed to the statistics of this study and interpreted the data. T.Y. and X.W. co-directed the project, co-designed the study and revised the manuscript. T.Y. and X.W. are the guarantors of this work and, as such, had full access to all the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis.

Additional Information
Supplementary information accompanies this paper at http://www.nature.com/srep

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