Experiments on Mechanical Response and Energy Dissipation Behavior of Rockburst-Prone Coal Samples Under Impact Loading

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To reveal the dynamic mechanical response and energy dissipation behavior of rockburst-prone coal samples under impact loading, the compressive experiments on Xinzhouyao coals (prone) and Machang coals (nonprone) under different impact loadings were carried out using the Split Hopkinson Pressure Bar (SHPB). The dynamic mechanical properties were studied, including dynamic elastic modulus, strain rate, peak stress, peak strain, dynamic increment factor, and energy dissipation. The results show that the dynamic elastic modulus, peak stress, and peak strain of both prone and nonprone coals perform an obvious correlation with the increase of strain rate. The strain rate strengthening effect on the dynamic elastic modulus and compressive strength of rockburst-prone coal samples are more significant, reflected by the greater increment with the increase of strain rate, while the dynamic increment factors of both prone and nonprone coals show apparent strain rate strengthening. The incident, reflected, and transmitted energy of both two coals linearly increases with the impact velocity, although the increased rate may be different. The dissipated energy of rockburst-prone coal samples increases faster, while the rate of the increase of the dissipated energy is more stable with strain rate. The results may provide an important reference for revealing the failure law of engineering-scaled coal mass suffered by rockburst.

1. Introduction

Coal and rock mass buried in deep is subjected to a complex geological environment with the depletion of shallow resources and the increase of coal mining depth [1, 2]. Coal mass with great stored elastic energy may be subjected to strong impact loading when it is disturbed by mining operations, such as uncovering coal seam from rock mass, engineering blasting, roadway excavation, nearby coal seam mining, resulting in serious mine dynamic disasters such as rockburst, coal and gas outburst accompanied with a large amount of released energy [3–9]. These disasters may lead to great property losses and casualties. Therefore, it is much significant to reveal the dynamic mechanical response of coal and rock mass under different impact loadings and the law of energy dissipation in the process of dynamic failure, which may provide a reliable theoretical basis for further understanding of the trigger mechanism of dynamic disasters [10].

Kolsky first proposed the Split Hopkinson Pressure Bar (SHPB) system in 1949 to investigate the dynamic responses [11]. The SHPB tests are usually conducted to determine dynamic properties of brittle materials including concrete, ceramics, rocks, and coals, under a wide range of impact loadings or strain rates of $10^{-1}$–$10^{-4}$ s$^{-1}$ [12–15]. The coal-rock mass under impact loading during coal mining and excavation will produce behavior dynamic responses with a high strain rate. These dynamic responses include the
variation of density, wave velocity, porosity, strength, scale effect, bedding effect, water effect, and energy dissipation [16–20]. Klepaczko et al. studied the elastic and viscoelastic properties of Nova Scotia coal over a wide range of strain rates (quasistatic to impact) [21]. Zhao et al. studied on energy dissipation characteristics of coal samples under impact loading [22]. Wang et al. studied the effects of low temperature gradient on dynamic mechanical properties of coal through Split Hopkinson Pressure Bar (SHPB) dynamic impact experiment [23]. Li et al. analyzed the peak stress, the strain rate, dynamic elastic modulus, and failure characteristics of raw coal with three coring directions under the influence of five impact loads and structural anisotropy [24]. Liu et al. studied static and dynamic uniaxial compression tests on coal-rock considering the bedding directivity [25]. Cheng et al. and Zhang studied the mechanical property of gas coal under impact load [26, 27]. Gong et al. discovered the dynamic stress-strain curves of coal-rock combined body have a double-peak feature under a high loading rate range [28]. Li et al. studied dynamic damage characteristics of elastic and plastic combination coal under impact loading [29]. Zhao et al. and Feng et al. studied fractal characteristics of coal under impact loading [30, 31]. Moreover, many techniques have also been introduced to modify the SHPB system [32–34].

The above research has an important role in promoting the study of mechanical properties of coal and rock under impact loading. Extensive suites of dynamic SHPB tests have been carried on rock and common coal materials, but only a few such dynamic tests have been completed on rockburst-prone coals. Most of these experiments only obtained the dynamic stress-strain curve. Here, we supplement this dearth of observations by recovering a full suite of dynamic failure characteristics and energy dissipation laws to contrast the response of rockburst-prone coals (Xinzhouyao coals) against a control sample of rockburst resistant coals (Machang coals) by using the Split Hopkinson Pressure Bar (SHPB) experimental system to reveal the mechanism of coal mine dynamic disasters induced by impact load.

2. Experiments on the Dynamic Properties of Rockburst-Prone Coal under Impact Loading

2.1. Coal Sample Preparation. One group of coals with rockburst proneness was taken from Xinzhouyao Coal Mine, Shanxi province. For comparison, another group of coals with nonproneness were collected from Machang Coal Mine, Guizhou province. The collected coal blocks are drilled and polished in the Mining Engineering Laboratory of Liaoning Technology University. According to the recommendation of the International Society of Rock Mechanics (ISRM), the sample size is $\varphi 50 \times 50 \text{ mm}$ (diameter × length) with the flatness ($<0.5 \text{ mm}$) and parallelism ($<0.02 \text{ mm}$) of both ends. In this experiment, 36 coal samples were prepared, including 18 coal samples from Xinzhouyao Coal Mine and 18 coal samples from Machang Coal Mine. The measured static mechanical properties of coal samples are shown in Table 1.

2.2. Split Hopkinson Pressure Bar (SHPB) System. The SHPB system is composed of bullet, bullet velocimetry, incident bar, transmission bar, buffer bar, and damper, shown in Figure 1. The bullet is made of Cr alloy rigid with 37 mm in diameter and 300 mm in length. The incident and transmission bars are variable cross-section bars with 50 mm in diameter and 1200 mm in length. The diameter and length of the buffer bar are 50 mm and 1000 mm, respectively. The stress wave velocity in the pressure bar is 5190 m/s, the elastic modulus is 210 GPa, and the density is $7.8 \times 10^3 \text{ kg/m}^3$.

2.3. Basic Principles of the SHPB System. In the experiment, high pressure nitrogen is used to provide impact loading for the bullet. The bullet moving velocity is controlled by the pressure on the incident bar. When the elastic stress wave propagates to the interface between the specimen and the incident bar, the stress wave will reflect and transmit. At the same time, an electrical signal is formed. All electrical signals are collected by strain gauges at both ends of the incident and transmission bars and delivered to the data acquisition system.

Based on the one-dimensional stress wave theory, the collected strain signal is processed by the three-wave method to obtain the dynamic stress-strain relationship of coal samples [23]:

\[
\sigma'_s(t) = \frac{EA}{2A_s} \left[ \varepsilon'_i(t) + \varepsilon'_r(t) + \varepsilon'_f(t) \right],
\]

\[
\varepsilon'_s(t) = \frac{C_0}{L} \int_0^t \left[ \varepsilon'_i(t) - \varepsilon'_r(t) - \varepsilon'_f(t) \right] dt,
\]

\[
\dot{\varepsilon}'_s(t) = \frac{C_0}{L} \left[ \varepsilon'_i(t) - \varepsilon'_r(t) - \varepsilon'_f(t) \right].
\]

where $\sigma'_s(t)$, $\varepsilon'_i(t)$, $\varepsilon'_r(t)$, $\varepsilon'_f(t)$ are the dynamic stress, strain, and strain rate of coal samples respectively; $A$ and $A_s$ represent the cross-sectional area of the elastic bar and coal sample, respectively; $E$ represents the elastic modulus of the elastic bar; $C_0$ and $L$ represent the longitudinal wave velocity of the elastic bar and the length of the specimen, respectively; $\varepsilon'_i(t)$, $\varepsilon'_r(t)$, $\varepsilon'_f(t)$ represent the strain value of incident wave, reflected wave, and transmitted wave, respectively.

Let the cross-sectional area of the two bars be the same bar, the relation between the incident, reflected, and transmitted wave induced strain can be obtained:

\[
\varepsilon'_i + \varepsilon'_r = \varepsilon'_f. \quad (2)
\]

Substituting equation (2) into equation (1), the basic principles of the SHPB experiment can be obtained:

\[
\sigma'_s(t) = \frac{EA}{A_s} \varepsilon'_f(t),
\]

\[
\varepsilon'_s(t) = \frac{C_0}{L} \int_0^t \varepsilon'_f(t) dt,
\]

\[
\dot{\varepsilon}'_s(t) = \frac{C_0}{L} \varepsilon'_f(t). \quad (3)
\]
The SHPB experiments involve five kinds of energies, including bullet carried energy, incident energy, reflected energy, transmitted energy, and dissipated energy. The incident, reflected, and transmitted energy of the test system can be expressed as follows [25]:

\[
\begin{align*}
W_I & = AC \int_0^t \sigma^2(t)dt = ACE \int_0^t \varepsilon^2(t)dt, \\
W_R & = AC \int_0^t \sigma^2(t)dt = ACE \int_0^t \varepsilon^2(t)dt, \\
W_T & = AC \int_0^t \sigma^2(t)dt = ACE \int_0^t \varepsilon^2(t)dt,
\end{align*}
\]

where \(W_I\), \(W_R\), \(W_T\) are incident, reflected, and transmitted energy, respectively; \(\sigma_i\), \(\varepsilon_i\), \(\varepsilon_t\) are the stress corresponding to the incident wave, reflected wave, and transmitted wave on the pressure bar, respectively; \(\varepsilon_i\), \(\varepsilon_r\), \(\varepsilon_t\) are the strains corresponding to the stress of each stress wave on the pressure bar; \(A\) is the cross-sectional area of pressure bar, \(A = \pi r^2\); \(r\) is 25 mm; \(E\) is the elastic modulus of the bar material, 210 GPa; \(C\) is the wave velocity in the one-dimensional state, \(C = \sqrt{E/\rho}\); \(\rho\) is the material density of the pressure bar.

According to the mass conservation law, the energy dissipation in the failure process of coal sample \((W_L)\) can be expressed as follows:

\[
W_L = W_I - (W_R + W_T)
= ACE \int_0^t \left[ \varepsilon_i^2(t) - \left( \varepsilon_t^2(t) + \varepsilon_r^2(t) \right) \right] dt.
\]

### 3. Dynamic Mechanical Characteristics of Rockburst-Prone Coal Samples

#### 3.1. Effect of Impact Velocity on Strain Rate

Figure 3 shows the relationship between the average strain rate of two groups of coal samples and the impact velocity of bullets. With the increase of impact velocity, the strain rate of both two groups of coal samples increases significantly. There is an intersection point between the curves of the two groups of coal samples because the rockburst-prone coal sample is harder. Under the low impact velocity, the strain rate will rise faster. The initial strain rate of nonprone coal samples is small, as there are numerous small cracks within the coal sample to undergo a compaction deformation process in nonprone coal samples. However, the average strain rate of the nonprone coal sample increases with the impact velocity when the impact velocity reaches 8.71 m/s, indicating that the impact velocity at this time is the critical value. Then, the strain rate will also increase with the impact velocity, which also meets the toughening effect of the impact velocity and the strain rate.

#### 3.2. Dynamic Stress-Strain Curve

Because the stress-strain curves of coal samples show good similarity under the same strain rate, a typical stress-strain curve is selected for each strain rate in order to compare the stress-strain curves of coal samples at different strain rates more intuitively as shown in Figure 4. At the same time, the static stress-strain curves of coal sample are shown in Figure 5. It can be found...
that the static stress-strain relationship and the dynamic stress-strain relationship show obvious differences. The static stress-strain curve can be divided into four stages: compaction stage, elastic stage, plastic stage, and failure stage. The dynamic stress-strain curves of the two groups of coal samples are basically the same. The curve can be divided into five stages: the original nonlinear compaction stage, linear elastic stage, microfracture extension stage, plastic fracture propagation stage, and rapid unloading failure stage. The peak stress, peak strain, and elastic modulus can be extracted from the stress-strain curve of each coal sample. The basic mechanical parameters of the three coal samples at each strain rate are averaged in order to reduce the experimental error, and then the relationship between each mechanical parameter and the strain rate is analyzed.

3.3. Variation of Dynamic Elastic Modulus with Strain Rate. In order to reflect the difference of each coal sample and the change rule of the elastic modulus of the coal sample with the strain rate, the elastic modulus obtained from three repeated experiments at each strain rate is retained in the image, and the fitting curve of the average elastic modulus and the strain rate is made, as shown in Figure 6.

The dynamic elastic modulus of the two groups of coal samples increases with the strain rate, and the correlation between them is significant. The elastic modulus of rockburst-prone coal samples increases rapidly from 7.42 GPa to 27.56 GPa, with the strain rate from 87.76 s\(^{-1}\) to 116.83 s\(^{-1}\), increased by 3.71 times, while the static elastic modulus of coal samples is 3.66 GPa, increased by 7.53 times. The dynamic elastic modulus of the nonprone coal samples increases from 1.14 GPa to 2.34 GPa, increased by 2.05 times, and the static elastic modulus is 0.85 MPa with 2.75 times of increment.

The relation between dynamic elastic modulus and strain rate of two groups of coal samples is fitted as follows:

\[
E_{c1} = \exp(1.01163 + 0.01351\varepsilon), \\
R^2 = 0.96066, \\
E_{c2} = \exp(-0.12356 + 0.00458\varepsilon), \\
R^2 = 0.8909.
\]

3.4. Variation of Peak Stress with Strain Rate. The relationship between strain rates and peak stress is shown in Figure 7. The same impact loading was applied on the two groups of coal samples. With the increase of strain rate, the peak stress of the rockburst-prone coal samples increases from 31.25 MPa to 117.64 MPa, increased by 3.76 times. The peak stress increases from 19.24 MPa to 98.14 MPa, increased by 5.1 times, while the static peak stress is 17.73 MPa, increased by 5.54 times. The dynamic peak
strain and strain rate of the two groups of coal samples increase approximately linearly in the range of 6.31–10.82 m/s. As the impact velocity reaches 11.64 m/s, the dynamic peak stress of outburst prone coal sample increases faster. The reason may be that there is a certain strain rate critical value in coal samples. When it exceeds this critical value, the internal stress accumulation rate of coal increases and the outburst prone coal seam is more likely to trigger a rockburst disaster. Relations between the dynamic peak stress and strain rate for the two groups of coal samples can be fitted as follows:

\[
\sigma_{\text{max}} = \exp(2 \cdot 17724 + 0 \cdot 01523\dot{\varepsilon}), \\
R^2 = 0 \cdot 98772,
\]

\[
\sigma_{\text{max}} = \exp(2 \cdot 95076 + 0 \cdot 00777\dot{\varepsilon}), \\
R^2 = 0 \cdot 86095.
\]

3.5. Variation of Peak Strain with Strain Rate. The relationship between peak strain and strain rate is shown in Figure 8. The peak strain of rockburst-prone coal samples
increases from $1.96 \times 10^{-3}$ to $7.73 \times 10^{-3}$ in the strain rate range of 87.76–168.83 s$^{-1}$. Within the same strain rate range, the peak strain of nonprone coal samples increases from $1.31 \times 10^{-3}$ to $10.43 \times 10^{-3}$, showing an obvious increase with strain rate under dynamic compression. Because the non-prone coal samples are looser with a large number of internal cracks developed, the deformation needs an excessive time, and then the peak strain will increase with the strain rate at a faster speed. The relations between peak strain and strain rate of coal samples are fitted as follows:

\[ \varepsilon_{\text{max}} = \exp(-1.21986 + 0.01901\varepsilon), \quad R^2 = 0.992502, \]
\[ \varepsilon_{\text{max}} = \exp(0.21157 + 0.00988\varepsilon), \quad R^2 = 0.93678. \]

### 3.6. Relationship between Dynamic Increment Factor and Strain Rate

Dynamic increment factor (DIF) is the ratio of dynamic compressive strength to static compressive strength \[24]\).

\[ \text{DIF} = \frac{\sigma_f}{\sigma_{fs}}, \quad (9) \]

where $\sigma_f$ is the dynamic compressive strength of the coal sample, and $\sigma_{fs}$ is the static compressive strength of the coal samples.

The curves of dynamic increment factors of two groups of coal samples at different strain rates are shown in Figure 9. The DIF of rockburst-prone and nonprone coal samples show a significant strain rate increasing effect. Under the condition of low strain rate, the DIF of rockburst-prone coal samples rapidly increases, while the DIF of nonprone coal samples...
samples increases more significantly, as well as the dynamic compressive strength.

4. Energy Dissipation of Coal Failure under Impact Loading

4.1. Effect of Impact Velocity on Stress Wave Energy. The variation of stress wave energy of coal samples with impact velocity is shown in Figure 10. The incident energy, reflected energy, and transmitted energy of both prone and nonprone coal samples grow significantly with the increase of impact velocity under impact loading. The incident energy of rockburst-prone coal samples increases from 73.65 J to 269.56 J, with an increment of 3.66 times. The reflected energy increases from 62.56 J to 184.97 J, with an increment of 2.96 times. The transmitted energy increases from 1.26 J to 2.15 J, with an increment of 1.70 times. The incident energy of nonprone coal samples increases from 41.74 J to 471.43 J, the reflected energy from 35.44 J to 392.05 J, and the transmitted energy from 0.85 J to 1.48 J with an increase of 11.29, 11.06, and 1.74 times, respectively.

4.2. Variation of Dissipated Energy with Strain Rate. The curves of dissipated energy versus strain rate of both rockburst-prone and nonprone coal samples are shown in Figure 11. The dissipated energy for crushing coal samples rises rapidly with the increase of strain rate, showing a significant strain rate effect. The strain rate of rockburst-prone coal samples is in the range of 87.76–168.83 s\(^{-1}\), and the dissipated energy increased from 9.83 J to 82.45 J by an increment of 8.39 times. The strain rate of nonprone coal sample is in the range of 67.43–220.28 s\(^{-1}\), and the dissipated energy increases from 5.45 J to 77.90 J by an increment of
14.29 times. With the increase of the strain rate, the original cracks of the coal sample could extend and develop, and new cracks would be generated, so the energy used for the destruction of the coal sample continues to increase. The dissipated energy of rockburst-prone coal sample grows faster, and the rate of the increase of the dissipated energy is more stable.

5. Conclusions

(1) The strain rate of both two groups of coal samples increases significantly with the increase of impact velocity, but there is a turning point in the strain rate change of the nonprone coal sample. The static stress-strain relationship and the dynamic stress-strain relationship show obvious differences. The dynamic stress-strain curve can be divided into four stages: the initial nonlinear compaction stage, the linear elastic stage, the microfracture evolution stage, the plastic fracturing stage, and the rapid unloading stage.

(2) The dynamic elastic modulus of coal samples increases with the increase of strain rate. However, the dynamic elastic modulus and strain rate strengthening characteristics of rockburst-prone coal samples are more significant. The peak stress and peak strain of both rockburst-prone and nonprone coal samples show an obvious increase effect with strain rate under impact loading.

(3) The dynamic increment factor (DIF) of all coal samples presents a significant strain rate effect. At a
low strain rate, the rate correlation between rockburst-prone and nonprone coal samples is similar. However, with the increase of strain rate, the strain rate strengthening of rockburst-prone coal is more significant.

(4) With the increase of impact velocity, the incident, reflected, and transmitted energy of both rockburst-prone and nonprone coals increase with varying degrees. The energy of the rockburst-prone coal sample is more likely to be concentrated than that of nonprone coal sample, and the dissipated energy is greater with obvious strain rate correlation.

Data Availability
The experimental data used to support the findings of this study are included within the article.

Conflicts of Interest
The authors declare that they have no conflicts of interest.

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