Evaluation of Propidium Monoazide Real-Time PCR for Early Detection of Viable *Mycobacterium tuberculosis* in Clinical Respiratory Specimens

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**Background:** Conventional acid-fast bacilli (AFB) staining cannot differentiate viable from dead cells. Propidium monoazide (PMA) is a photoreactive DNA-binding dye that inhibits PCR amplification by DNA modification. We evaluated whether PMA real-time PCR is suitable for the early detection of viable *Mycobacterium tuberculosis* (MTB) in clinical respiratory specimens.

**Methods:** A total of 15 diluted suspensions from 5 clinical MTB isolates were quadruplicated and subjected to PMA treatment and/or heat inactivation. Eighty-three AFB-positive sputum samples were also tested to compare the ΔC_{\text{T}} values (C_{\text{T}} value in PMA-treated sputum samples−C_{\text{T}} value in non-PMA-treated sputum samples) between culture-positive and culture-negative specimens. Real-time PCR was performed using Anyplex MTB/NTM Real-Time Detection (Seegene, Korea), and the C_{\text{T}} value changes after PMA treatment were compared between culture-positive and culture-negative groups.

**Results:** In MTB suspensions, the increase in the C_{\text{T}} value after PMA treatment was significant in dead cells (P=0.0001) but not in live cells (P=0.1070). In 14 culture-negative sputum samples, the median ΔC_{\text{T}} value was 5.3 (95% confidence interval [CI], 4.1-8.2; P<0.0001), whereas that in 69 culture-positive sputum samples was 1.1 (95% CI, 0.7-2.0). In the ROC curve analysis, the cutoff ΔC_{\text{T}} value for maximum sensitivity (89.9%) and specificity (85.7%) for differentiating dead from live cells was 3.4.

**Conclusions:** PMA real-time PCR is a useful approach for differentiating dead from live bacilli in AFB smear-positive sputum samples.

**Key Words:** *Mycobacterium tuberculosis*, Propidium monoazide, Real-time PCR

**INTRODUCTION**

Early detection of *Mycobacterium tuberculosis* (MTB) in clinical specimens is important for the treatment and monitoring of tuberculosis (TB) [1]. Traditionally, the acid-fast bacilli (AFB) smear has been used for the diagnosis and monitoring of TB because of its rapidity and easy applicability. However, it has low sensitivity compared with culture tests and cannot discriminate MTB from non-tuberculous mycobacteria (NTM). It also cannot differentiate viable from dead cells [2].

To improve its sensitivity and specificity, various molecular methods based on nucleic acid amplification have been developed and applied in clinical laboratories [3, 4]. However, none of these approaches can discriminate between viable and dead cells because bacterial DNA (the target of the test) is present in both cases. This renders the methods inappropriate for the
monitoring of pulmonary TB during or after treatment [5]. Many AFB smear-positive and culture-negative cases are observed in patients with far-advanced cavitary TB. It is thought that, in many cases, these organisms are dead and that their presence is not a sign of treatment failure [6]. However, if they are still alive, the patients are exposed to the risk of being treated with ineffective regimens until culture results are reported.

The ability to determine the viability of AFB found in smear-positive sputum specimens would improve the monitoring of patients and assist decisions regarding the clinical course. Propidium monoazide (PMA; Biotium, Hayward, CA, USA) is a photoreactive DNA-binding dye that inhibits PCR amplification by DNA modification. This compound can penetrate damaged cell walls but does not permeate intact cell walls; thus, PMA can selectively modify DNA in damaged cells [7]. When dead cells are treated with PMA, the compound inhibits the amplification of DNA so that no PCR amplification signal will be detected or the $C_T$ value (a measure of the number of PCR cycles before a specific product is detected) will be higher than that of dead cells not treated with PMA. In viable cells, PMA does not inhibit PCR; therefore, little or no difference would be observed in $C_T$ values in the presence of this reagent. We evaluated whether this method could be combined with real-time PCR in order to distinguish living from dead bacteria in clinical respiratory specimens.

METHODS

1. Ethics statement
This research was reviewed and approved after full committee review by the Institutional Review Board at Pusan National University Yangsan Hospital (PNUYH) (No. 05-2012-065).

2. Establishment of the test protocol and optimization of PMA treatment conditions
The effect of PMA is influenced by its final concentration and the intensity of light exposure. We determined the optimal conditions for PMA treatment for a MTB suspension with a concentration of $2^+$ according to the WHO guidelines for AFB smear positivity [8]. The 0.5 McFarland suspensions were diluted 1:100. The concentrations of cells in the diluted suspensions were confirmed by smear microscopy with Ziehl-Neelson AFB staining. Cell suspensions were prepared in triplicate in 250-µL volumes for the PMA-untreated control, PMA-treated living cells, and PMA-treated heat-inactivated dead cells. For heat killing, aliquots were heated to $80^\circ$C for 20 min. The tested PMA concentrations were 50 and 100 µM. The light intensities tested ranged from 600 to 800 Watt and the exposure time ranged from 2 to 5 min at a distance of 20 cm from the light source.

DNA extraction and real-time PCR were performed with PMA-treated and PMA-untreated samples, and $C_T$ values were calculated. The conditions that induced the maximum increase in the $C_T$ value in PMA-treated heat-killed cells compared with PMA-untreated control cells and that induced the least increase in the $C_T$ value in PMA-treated live cells compared with PMA-untreated control cells were chosen. The combination of 50 µM PMA concentration, 600 Watt of light intensity, 20 cm of distance from the light source, and 5 min of light exposure was the most appropriate (data not shown). The PMA treatment procedures and real-time PCR process are described below.

Twenty micromoles of PMA stock solution was added to 250-µL aliquots at a concentration of 50 µM. The PMA-mixed samples were incubated for 10 min at room temperature on a rocker (30 rpm) covered with aluminum foil. For 600 W of photoreaction, a combination of two halogen light sources (PluslineR7s, 300 W; Philips, Roosendaal, Netherlands) was used. Samples were laid on an ice block on the rocker set 20 cm from the light source and were exposed to the light for 5 min.

The PMA-treated and PMA-untreated samples were processed identically for DNA extraction and real-time PCR. Cells were harvested by centrifugation at 18,000 g for 15 min and washed in phosphate-buffered saline. Suspensions were centrifuged at 18,000 g for 10 min. Pellets were washed with 1 mL of distilled water, resuspended in 250 µL of nuclease-free water, boiled for 30 min, and finally centrifuged at 18,000 g for 3 min. The supernatant liquid was used for the DNA template. Samples were processed according to the manufacturer’s instructions for Anyplex MTB/NTM Real-Time Detection (Seegene, Seoul, Korea), and the Applied Biosystems 7500 Real-Time PCR system (Applied Biosystems, Foster City, CA, USA) was used for real-time PCR with 5 µL of DNA template, and $C_T$ values were calculated. The real-time PCR device we used generates the result of “not detected” when the $C_T$ value reaches 40. If a $C_T$ value was close to the reaction end-point in a PMA-untreated specimen and a $C_T$ value was not obtained in a PMA-treated specimen, the $C_T$ value of the PMA-treated specimen was considered to be the end-point of 40.

3. Pilot study with culture isolates
A total of five MTB isolates cultured on 3% Ogawa media (Asan pharm, Seoul, Korea) in the mycobacteriology laboratory at PNUYH were selected randomly. Each strain was prepared in 3 concentrations corresponding to scanty, 1+, and 2+, as speci-
fied by the WHO guidelines for AFB smear positivity [8]. Briefly, cultured MTB colonies were harvested, and suspensions of 0.5 McFarland turbidity were prepared with distilled water. These suspensions were diluted 1:100, 1:200, and 1:400. The cell concentrations of diluted suspensions were confirmed by smear microscopy with Ziehl-Neelson AFB staining. If the grade of positivity in AFB smears of diluted suspensions was higher than we expected, the dilution factor was increased. Samples were quadruplicated and allocated into live (A) and heat-inactivated (B) groups with or without PMA treatment.

The volume of each sample was adjusted to 250 µL and subjected to PMA treatment procedures as described above. A total of 60 samples were subjected to real-time PCR.

4. Clinical sample study

The study included 83 sputum samples from adults (21 women, 62 men) who visited PNUYH and National Masan Hospital (NMH) from May to December 2012 and were undergoing treatment for known pulmonary TB diagnosed by AFB culture. These patients also provided further AFB smears and cultures. The median age of patients was 49.2 yr and ranged from 25 to 76 yr. All the patients were HIV negative. The smears and cultures were processed according to routine laboratory practices. Among them, 36 patients had drug-sensitive TB and 47 patients had multidrug-resistant TB. Briefly, sputum was decontaminated with N-acetyl-L-cysteine (NAC)-2% sodium hydroxide (NaOH). Microscopy was performed following fluorescence staining at PNUYH or Ziehl-Neelson staining at NMH, and the grade of positivity in AFB smears was described as scanty, 1+, 2+, or 3+ according to the WHO guidelines [8]. Smears with positivity from scanty to 2+ were selected for the current study. All sputum samples were cultured using a Mycobacterium Growth Indicator Tube (MGIT) with the BACTEC MGIT 960 system (Becton Dickinson Microbiology Systems, Sparks, MD, USA) and 3% Ogawa media. Culture results were processed according to routine laboratory practices. Among them, 36 patients had drug-sensitive TB and 47 patients had multidrug-resistant TB. Briefly, sputum was decontaminated with N-acetyl-L-cysteine (NAC)-2% sodium hydroxide (NaOH). Microscopy was performed following fluorescence staining at PNUYH or Ziehl-Neelson staining at NMH, and the grade of positivity in AFB smears was described as scanty, 1+, 2+, or 3+ according to the WHO guidelines [8]. Smears with positivity from scanty to 2+ were selected for the current study. All sputum samples were cultured using a Mycobacterium Growth Indicator Tube (MGIT) with the BACTEC MGIT 960 system (Becton Dickinson Microbiology Systems, Sparks, MD, USA) and 3% Ogawa media. Culture results were processed according to routine laboratory practices.

Table 1. Smear and culture results of 83 clinical respiratory specimens

| AFB grade* | MTB Culture Results | Total |
|------------|---------------------|-------|
|            | Positive            | Negative |
| Scanty     | 13                  | 8      | 21   |
| 1+         | 50                  | 5      | 55   |
| 2+         | 6                   | 1      | 7    |
| Total      | 69                  | 14     | 83   |

*Smear grades described according to the World Health Organization guidelines for AFB smear positivity [8].

Abbreviations: AFB, acid-fast bacilli; MTB, Mycobacterium tuberculosis.

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Preparation of sputum samples for PMA treatment was undertaken as follows. Five hundred microliters of previously decontaminated sputum samples was used to prepare a pellet by centrifugation at 18,000 g for 15 min followed by washing twice with 1 mL of distilled water. Next, 500 µL of distilled water was added, and the mixture was divided into two parts of 250 µL each, one of which was used for the PMA-treated test sample and the other for the PMA-untreated control. All tests, including real-time PCR, were performed on the same day as the conventional smear and culture examinations.

5. Interpretation and data analysis

1) Pilot study

The PMA-treated and -untreated samples were paired within each of the live (A) groups and heat-inactivated (B) groups for calculating the difference or increase in C_T values (ΔC_T; C_T value of PMA-treated sample−C_T value of PMA-untreated sample). The paired data were analyzed using the Wilcoxon signed rank test. The PMA treatment conditions for the pilot study were regarded as valid for differentiating viable from dead cells, if the ΔC_T was significant in the heat-inactivated (B) group (P<0.05), whereas that in the live (A) group was not (P≥0.05).

2) Clinical sample study

The C_T values were obtained from each pair of samples with and without PMA treatment, and ΔC_T values were calculated. The ΔC_T values were compared with the MTB culture results, and ROC curve analysis was performed to determine the optimal cutoff ΔC_T value to differentiate dead from live cells. Sensitivity and specificity were defined as the ability to detect viable cells and to exclude dead cells in the clinical respiratory specimens, respectively. Mann-Whitney test was used to compare ΔC_T values between culture-positive and culture-negative specimens. Fisher’s exact test was used to assess the association between ΔC_T and smear-negative conversion. The statistical analysis was performed with MedCalc statistical program (MedCalc Software, Mariakerke, Belgium).
6. Estimation of time to smear-negative conversion in AFB smears
The medical records of 14 patients who had smear-positive and culture-negative results were reviewed to estimate the relationship between the ΔC_T and time to smear-negative conversion. Specifically, the ΔC_T values were compared between patients with smear-negative conversion within 1 month and longer than 2 months from the time of the PMA real-time PCR assay. There was no patient whose smear result converted to negative between 1 and 2 months.

RESULTS

1. Pilot study
Compared with the results for the PMA-untreated live (A) group, the increases in C_T values in the PMA-treated live (A) group ranged from -1.5 to 4.9 and were not significant (P=0.107). Compared with the results for the PMA-untreated heat-inactivated (B) group, the increase in C_T values in the PMA-treated heat-inactivated (B) group ranged from -0.8 to 17.7 and were significant (P=0.0001; Fig. 1). Therefore, we concluded that the conditions of PMA treatment were sufficient to differentiate viable from dead cells.

2. Clinical sample study
A total of 83 clinical samples (69 MTB culture positive and 14 culture negative) were included for statistical analysis (Table 2). In the culture-positive specimens, the range of ΔC_T values was 2.1 to 5.3 and the median value was 1.1 (95% confidence interval [CI], 0.7-2.0). In the culture-negative specimens, ΔC_T values ranged from 1.4 to 14.5 and the median value was 5.3 (95% CI, 4.1-8.2), values that were significantly higher than those in culture-positive specimens (P<0.0001). The ROC curves of ΔC_T values indicating the sensitivity and specificity for detecting dead bacilli from the smear are depicted in Fig. 2. For these data, the MTB culture results were taken as the reference to indicate viable or dead cells. The area under the curve (AUC) was 0.936. The cutoff ΔC_T value for maximum sensitivity (89.9%) and specificity (85.7%) in determining live cells was 3.4. The sensitivity and specificity of various cutoff ΔC_T values and 95% CI are

![Fig. 1. Differences between the C_T values of PMA-treated and PMA-untreated aliquots of 15 Mycobacterium tuberculosis clinical isolates. Compared with the PMA-untreated live (A) group, increases in C_T values in the PMA-treated live (A) group ranged from -1.5 to 4.9 and were not significant; however, compared with the results for the PMA-untreated heat inactivated (B) group, increases in the C_T values in the PMA treated heat-inactivated (B) group ranged from -0.8 to 17.7 and were significant (Wilcoxon signed rank test, P=0.107, P=0.0001, respectively). *For 1 case, a 38.9 C_T value was seen in the PMA-untreated heat-inactivated (B) group, and a C_T value of “not detected” was seen in the PMA-treated heat-inactivated (B) group. Abbreviation: PMA, propidium monoazide.](http://dx.doi.org/10.3343/alm.2014.34.3.203)

![Fig. 2. ROC curve of ΔC_T values in clinical respiratory specimens, in which AFB cultures were regarded as the reference method for determining the viability of cells. The area under the curve (AUC) was 0.936. The cutoff ΔC_T value (*) for maximum sensitivity (89.9%) and specificity (85.7%) in determining live cells was 3.4. Abbreviations: AFB, acid-fast bacilli; ΔC_T, C_T of PMA-treated specimen–C_T of PMA-untreated specimen.](http://dx.doi.org/10.3343/alm.2014.34.3.203)

Table 2. C_T value increases after PMA treatment according to MTB culture results

| MTB culture (N of specimens) | ΔC_T† (range) |
|------------------------------|---------------|
| Positive (69)                | 1.1 (2.1-5.3) |
| Negative (14)*               | 5.3 (1.4-14.5) |

*One case showed a 38.6 C_T value in the PMA-untreated control specimen and a C_T value of “not detected” in the PMA-treated dead-cell specimens; †The ΔC_T was significantly different between the MTB culture-positive and culture-negative groups (Mann-Whitney test, P<0.0001).
Table 3. Sensitivity and specificity according to various cutoff values for $\Delta C_T$ in real-time PCR in PMA-treated specimens and untreated controls to predict live cells

| $\Delta C_T$ cutoff | Sensitivity (95% CI) | Specificity (95% CI) |
|---------------------|----------------------|----------------------|
| $\leq 1.3$          | 53.6 (41.2-65.7)     | 100.0 (76.8-100.0)   |
| $\leq 1.4$          | 55.1 (42.6-67.1)     | 92.9 (66.1-99.8)     |
| $\leq 3.4$          | 89.9 (80.2-95.8)     | 85.7 (57.2-98.2)     |
| $\leq 3.7$          | 91.3 (82.0-96.7)     | 78.6 (49.2-95.3)     |
| $\leq 4.2$          | 92.8 (83.9-97.6)     | 71.4 (41.9-91.6)     |
| $\leq 5.1$          | 97.1 (89.9-99.6)     | 50.0 (23.0-77.0)     |
| $\leq 5.3$          | 100.0 (94.8-100.0)   | 50.0 (23.0-77.0)     |

Abbreviations: PMA, propidium monoazide; CI, confidence interval; $\Delta C_T$, $C_T$ of PMA-treated specimen−$C_T$ of PMA-untreated specimen.

Table 4. Time to smear-negative conversion from the time of the PMA real-time PCR assay according to $\Delta C_T$ values in 13 smear-positive and culture-negative patients*

| $\Delta C_T$ value | Smear-negative conversion |
|-------------------|---------------------------|
|                   | Within 1 month | Longer than 2 months |
| $\geq 4.9$        | 7              | 1              |
| $< 4.9$           | 0              | 5              |

*One of the original 14 patients died during the study period.

DISCUSSION

The AFB smear and MTB culture are the most important tests for the diagnosis of TB and evaluation of treatment response. A smear produces rapid results within a day; however, it cannot determine the viability of the detected cells. Smear-positive but culture-negative specimens are not common in clinical laboratories. However, when they are encountered, clinical decision-making is delayed until culture results are reported in the case of patients who are undergoing treatment for TB [9]. If the viability of cells in an AFB smear could instead be predicted within a day, rapid action would be possible. The PMA method has been used for rapid differentiation of viable and dead cells for bacterial and fungal strains [10-13]. The MTB in sputum could also be assessed its viability rapidly by PMA method. Previous studies using PMA and Mycobacterium for predicting viable and dead cells used different methods for PMA treatment than those we used here [13, 14]. The parameters of PMA treatment are influenced by the species, the cell concentrations, and the real-time PCR kit used for detection. The final concentration of PMA in previous studies of MTB ranged from 50 to 500 µM [13, 15]. In our preliminary study described above, 50 µM PMA raised the $C_T$ values of samples of smear grade 2+, but not in 3+ smears, while concentrations over 100 µM PMA raised the $C_T$ values in samples of viable cells with smear grade 2+ (data not shown). According to annual laboratory statistics from NMH (limited to smear grade 2+), approximately 17.7% of smear-positive cultures were culture-negative [16]. However, culture-negative cases with smear positive grades of 3+ were rarely seen. Therefore, we included specimens of 2+ or lower grades and used 50 µM PMA in our pilot study. The $C_T$ values were sufficiently higher for dead cells than for viable cells, and the concentration of 50 µM was adopted for the current study with clinical specimens. A higher concentration of PMA not only induces higher $C_T$ values in dead cells but also inhibits the PCR for live cells because of the excessive cell-wall penetration or residual photoactivated PMA in washed samples [15].

For photoactivation of PMA, 500-800 W of light intensity is usually employed [10, 11]. Halogen light can be sufficiently intense to activate PMA, but it causes a rise in temperature. As a result, PMA may be taken up by thermally damaged cells. We exposed the samples to light on an ice block with continuous shaking to minimize the rise in the temperature. By trial and error, we found the best condition for photoactivation of PMA with our subjects to be 600 W, a light-to-sample distance of 20 cm, and 5 min of exposure time. Because of the DNA-binding affinity of photoactivated PMA, residual PMA should be removed before the DNA extraction step to avoid binding to DNA extracted from viable cells. In our initial trial of PCR with unwashed samples after 50 µM PMA treatment, PCR was inhibited, and the signal of the internal control was not observed (data not shown). Furthermore, repeated washing to remove residual PMA can cause loss of cells. We applied the same number of washing steps to PMA-
treated and PMA-untreated samples to minimize the gap caused by cell loss in the washing step.

Another point to consider for PMA treatment is that the diverse mechanisms of action of antibiotics may affect the PMA permeability of dead mycobacteria [15]. In our study, the ∆C_T values ranged from 1.4 to 14.5 in the culture-negative specimens, a range wider than that reported in a previous study [13]. Usually, most patients with smear-positive and culture-negative results receive treatment. For application of this method to a situation, in which most patients have drug-resistant infections such as NMH, the protocol of PMA treatment and light exposure should be modified and the range of ∆C_T values should be evaluated depending on the specific study group. The suggested cutoff ∆C_T value for the best sensitivity (89.9%) and specificity (85.7%) in our study was 3.4. However, culture-positive cases with ∆C_T above the cutoff value were observed. This can occur because of a mixture of live and dead cells in the specimen [17], for example, in patients receiving treatment or after sputum decontamination. Culture-negative cases with ∆C_T values below the cutoff were also observed. In the current study, we evaluated the relation between these low ∆C_T values and positive cultures, since we considered positive cultures as evidence of the presence of live bacilli. Strictly speaking, however, not all the viable cells are culturable [18]. Clinical specimens may contain viable but non-culturable bacilli, and those specimens may yield low ∆C_T values despite providing negative culture results. This is another limitation of our study.

We noted 2 unexpected observations. First, one sample each in the pilot and the clinical studies had very low ∆C_T values. In those cases, the C_T values of the PMA-untreated specimens were 38.9 and 38.6, respectively, and C_T values from PMA-treated dead cells were not obtained (Fig. 1 and Table 2). The real-time PCR device we used generates the result of “not detected” when the C_T value reaches 40. Therefore, when the C_T value of the control sample is close to 40, theoretically, the C_T value of a PMA-treated dead cell sample cannot reach a value greater than this. This could well be the case in a clinical laboratory, meaning that the ∆C_T cannot discriminate live from dead cells in all clinical specimens; this limits the interpretation of the difference in C_T values as an indicator of the presence of dead bacilli. Another exceptional datum we found was that a pair with negative ∆C_T values in the dead-cell group was observed in our pilot study. This may have been caused by mistakes in the manual procedures such as insufficient light exposure, inappropriate shaking, or irregular, excessive pellet loss during washing. The importance of skilled sample treatment should be emphasized.

In general, smear-positive and culture-negative cases are not common. We reasoned that PMA treatment should be performed promptly because frozen storage of processed specimens damages viable cells. We therefore tested all selected smear-positive samples sequentially on the same day as we performed the requested smear and culture examination. We subsequently observed the culture results and analyzed the data. Therefore, inclusion of a sufficient number of smear-positive and culture-negative specimens is not simple. We suggest that our data can provide significant information regarding the utility of the ∆C_T in detecting viable cells.

We reviewed the clinical courses of 13 patients who showed smear-positive and culture-negative results and survived to the end of our study, and calculated the time required for smear-negative conversion. A ∆C_T value above 4.9 was significantly related to smear-negative conversion within 1 month from the time of the PMA real-time PCR assay. Because PMA penetrates damaged cell walls, a higher ∆C_T value suggests the effectiveness of treatment regimens. Thus, it is possible to use the PMA real-time PCR to predict the treatment response. A larger scale study would be required for determining an accurate cutoff value for use in clinical mycobacteriology laboratories. In conclusion, real-time PCR with PMA is useful for differentiating dead from live bacilli in AFB smear-positive sputum samples.

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