Searching the Search Space of Vision Transformer

Minghao Chen\textsuperscript{1,*}, Kan Wu\textsuperscript{2,*}, Bolin Ni\textsuperscript{3,*}, Houwen Peng\textsuperscript{4,†}, Bei Liu\textsuperscript{4}, Jianlong Fu\textsuperscript{4}, Hongyang Chao\textsuperscript{2}, Haibin Ling\textsuperscript{1}

\textsuperscript{1}Stony Brook University, \textsuperscript{2}Sun Yat-sen University, \textsuperscript{3}Institute of Automation, CAS, \textsuperscript{4}Microsoft Research Asia

Abstract

Vision Transformer has shown great visual representation power in substantial vision tasks such as recognition and detection, and thus been attracting fast-growing efforts on manually designing more effective architectures. In this paper, we propose to use neural architecture search to automate this process, by searching not only the architecture but also the search space. The central idea is to gradually evolve different search dimensions guided by their \textit{E-T Error} computed using a weight-sharing supernet. Moreover, we provide design guidelines of general vision transformers with extensive analysis according to the space searching process, which could promote the understanding of vision transformer. Remarkably, the searched models, named S3 (short for \textit{Searching the Search Space}), from the searched space achieve superior performance to recently proposed models, such as Swin, DeiT and ViT, when evaluated on ImageNet. The effectiveness of S3 is also illustrated on object detection, semantic segmentation and visual question answering, demonstrating its generality to downstream vision and vision-language tasks. Code and models will be available at here.

1 Introduction

Vision transformer recently has drawn great attention in computer vision because of its high model capability and superior potentials in capturing long-range dependencies. Building on top of transformers [45], modern state-of-the-art models, such as ViT [14] and DeiT [44], are able to achieve competitive performance on image classification and downstream vision tasks [17, 24] compared to CNN models. There are fast-growing efforts on manually designing more effective architectures to further unveil the power of vision transformer [31, 58, 19].

Neural architecture search (NAS) as a powerful technique for automating the design of networks has shown its superiority to manual design [63, 43]. For NAS methods, search space is crucial because it determines the performance bounds of architectures during the search. It has been observed that the improvement of search space induced a favorable impact on the performance of many state-of-the-art models [2, 20, 55]. Researchers have devoted ample efforts to the design of CNN space [49, 16, 46]. However, limited attention is paid to Transformer counterparts, leading to the dilemma of search space design when finding more effective and efficient transformer architectures.

In this paper, we propose to Search the Search Space (S3) by automatically refining the main changeable dimensions of transformer architectures. In particular, we try to answer the following two key questions: 1) \textit{How to effectively and efficiently measure a specific search space?} 2) \textit{How to automatically change a defective search space to a good one without human prior knowledge?}

For the first question, we propose a new measurement called \textit{E-T Error} for search space quality estimation and utilize a once-for-all supernet for effective and efficient computing. Specifically, the “E” part in the E-T error is similar to the error empirical distribution function used in [39] focusing on

*\textsuperscript{*}Equal contributions. Work done when Minghao, Kan, Bolin are interns of MSRA. †Corresponding author.
Figure 1: **Left:** The pipeline of searching the search space. **Right:** The general vision transformer architecture explored in this paper.

the overall quality, while the “T” part considers the quality of top-tier architectures in a search space. In contrast to [39] that trains each subnet for only a few epochs, we train a once-for-all supernet with AutoFormer [7] to obtain a large amount of well-trained subnets, providing a more reliable proxy for performance estimation.

For the second question, as shown in Fig. 1 we decompose the search space by multiple dimensions, including depth, embedding dimension, MLP ratio, window size, number of heads and Q-K-V dimension, and progressively evolve each dimension to compose a better space. Particularly, we use linear parameterization to model the tendencies of different dimensions so as to guide the search.

In addition, we analyze the search process of search space and provide some interesting observations and design guidelines: 1) The third stage is the most important one and increasing its number of blocks leads to performance improvement. 2) Shallow layers should use a small window size while deep layers should use a large window size. 3) MLP ratio is better to be progressively increased along with network depth. 4) Q-K-V dimension could be smaller than the embedding dimension without performance drop. We hope these observations will help both the manual design of vision transformer and the space design for automatic search.

In summary, we make the following contributions:

- We propose a new scheme, *i.e.* S3, to automate the design of search space for vision transformer. We also present a new pipeline for vision transformer search with minimal human interactions. Moreover, we provide analysis and guidelines on vision transformer architecture design, which might be helpful for future architecture search and design.

- The experiments on ImageNet verify the proposed automatic search space design method can improve the effectiveness of design space, thus boosting the performance of searched architectures. The discovered models achieve superior performance compared to the recent ViT [14] and Swin [31] transformer families under aligned settings. Moreover, the experiments on downstream vision and vision-language tasks, such as object detection, semantic segmentation and visual question answering, demonstrate the generality of the method.

2 Approach

2.1 Problem Formulation

Most of existing NAS methods can be formulated as a constrained optimization problem as:

$$\alpha^* = \arg\min_{\alpha \in \mathcal{A}} \mathcal{L}(W^{*}_\alpha; D_{val}) \quad s.t. \quad W^{*}_\alpha = \arg\min_{W_\alpha} \mathcal{L}(W_\alpha; D_{train}), \quad g(\alpha) < c,$$

where $W_\alpha$ is the weights of the network architecture $\alpha$, $\mathcal{A}$ is a predefined search space, $\mathcal{L}(\cdot)$ is the loss function, $D_{train}$ and $D_{val}$ represent train and validation datasets respectively, $g(\cdot)$ denotes a function calculating resource consumption of a model while $c$ denotes a given resource constraint.

Ideally, the search space $\mathcal{A}$ is an infinite space $\Omega$ that contains all possible architectures. In practice, $\mathcal{A}$ is usually a small subspace of $\Omega$ due to limited computation resource. In this paper, we break the
convention of searching in a fixed space by considering the architecture search together with the search space design. More concretely, we decouple the constrained optimization problem of Eq. (1) into three separate steps:

**Step 1.** Searching for an optimal search space under a specific constraint:

\[
\mathcal{A}^* = \min_{\mathcal{A} \subseteq \Omega} Q(\mathcal{A}; \mathcal{D}_{\text{val}}) \quad \text{s.t.} \quad |\mathcal{A}| \leq M,
\]

where \( Q \) is a measurement of search space to be elaborated in Sec. 2.3, and \( M \) represents the maximum search space size.

**Step 2.** Following one-shot NAS [16, 38], we encode the search space into a supernet and optimize its weights. This is formulated as solving the following problem:

\[
W_{\mathcal{A}^*} = \arg \min_W E_{\alpha \in \mathcal{A}^*} [\mathcal{L}(W_{\alpha}; \mathcal{D}_{\text{train}})],
\]

where \( W \) is the weights of the supernet, \( W_{\alpha} \) is the weights in \( W \) specified by the architecture \( \alpha \).

**Step 3.** After obtaining the well-trained supernet, we search for the optimal architecture via ranking the performance using the learned weights, which is formulated as:

\[
\alpha_{\mathcal{A}^*} = \arg \min_{\alpha \in \mathcal{A}^*} \mathcal{L}(W_{\alpha}^*; \mathcal{D}_{\text{val}}), \quad \text{s.t.} \quad g(\alpha) < c,
\]

where \( W_{\alpha}^* \) is the weights of architecture \( \alpha \) inherited from \( W_{\mathcal{A}^*}^* \).

### 2.2 Basic Search Space

We set up the general architectures of vision transformer by following the settings in ViT [14] and Swin-T [31] as shown in Fig. 1 (right). To be specific, given an input image, we uniformly split it into non-overlap patches. Those patches are linearly projected to vectors named patch embeddings. The embeddings are then fed into the transformer encoder, which performs most of the computation. At last, a fully connected layer is adopted for classification.

The transformer encoder consists of four sequential stages with progressively reduced input resolutions. Each stage contains blocks with the same embedding dimension. Therefore, stage \( i \) has two search dimensions: the number of blocks \( d_i \) and embedding dimension \( h_i \). Each block contains a window-based multi-head self-attention (WSA) module and a feed-forward network (FFN) module. We do not force the blocks in one stage to be identical. Instead, block \( j \) in the \( i \)th stage have several search dimensions including window size \( w_{ij} \), number of heads \( n_{ij} \), MLP ratio \( m_i \), Q-\( K \)-V embedding dimension \( q_{ij} \).

### 2.3 Searching the Search Space

**Space Quality Evaluation.** For a given space \( \mathcal{A} \), we propose to use E-T Error, denoted as \( Q(\mathcal{A}) \), for evaluating its quality. It is the average of two parts: expected error rate \( Q_e(\mathcal{A}) \) and top-tier error \( Q_t(\mathcal{A}) \). For a given space \( \mathcal{A} \), the definition of \( Q_e(\mathcal{A}) \) is given as:

\[
Q_e(\mathcal{A}) = E_{\alpha \in \mathcal{A}, g(\alpha) < c}[e_{\alpha}],
\]

where \( e_{\alpha} \) is the top-1 error rate of architecture \( \alpha \) evaluated on ImageNet validation set, \( g(\cdot) \) is the computation cost function and \( c \) is the computation resource constraint. It measures the overall quality of a search space. In practice, we use the average error rate of \( N \) randomly sampled architectures to approximate the expectation term. The top-tier error \( Q_t(\mathcal{A}) \) is the average error rate of top 50 candidates under resource constraints, representing the performance upper bound of the search space.

**Once-for-all Supernet.** In contrast to RegNet [39] that trains hundreds of models with only a few epochs (~10) and uses their errors as a performance proxy of the fully trained networks, we encode the search space into a supernet and optimize it similar to AutoFormer [7]. Under such training protocol, we are able to train an once-for-all transformer supernet that serves as a reliable and efficient performance indicator.

**Searching the Search Space.** The search of search space mainly contains two iterative steps: 1) supernet optimization and 2) space evolution.
we remove the choices if they become less than zero. The detailed algorithm is also shown in Alg. 1.

\begin{algorithm}
\caption{Searching the Search Space}
\begin{algorithmic}[1]
\State \textbf{Input:} Infinite search space $\Omega$, max iteration $T$, threshold $\tau$ for subspaces evolved, the subspaces $S_1^{(0)}, \ldots, S_D^{(0)}$, evolve steps for subspaces $\gamma_1, \ldots, \gamma_D$
\State \textbf{Output:} The most promising search space $A^*$
\State 1: Initialize a search space $A^{(0)}$ from $\Omega$ with minimal prior human knowledge
\State 2: \While{$t \in [0, 1, \ldots, T]$} do
\State 3: \quad Optimize the weights $W_{(t)}^{(i)}$ of supernet corresponding to the space $A^{(t)}$
\State 4: \quad Randomly sample $N$ architectures from the well converged supernet
\State 5: \quad \For{space $S_i^{(t)}$ in $S_1^{(t)}, \ldots, S_D^{(t)}$} do
\State 6: \quad \quad Get the subspace $\{\alpha | \alpha \in A^{(t)} \cap \alpha_i = v_i^{(t)}\}$ for each choice $v_i^{(t)} \in S_i^{(t)}$, where $\alpha_i$ is the $i^{th}$ dimension value of the architecture $\alpha$
\State 7: \quad \quad Calculate the E-T Error of each subspace according to Eq. (5)
\State 8: \quad \quad Evolve the subspace and get $S_{i(t+1)}$ according to Eq. (8)
\State 9: \quad \EndFor
\State 10: $A^{(t+1)} = S_1^{(t+1)} \times S_2^{(t+1)} \times \cdots \times S_D^{(t+1)}$
\State 11: \EndWhile
\end{algorithmic}
\end{algorithm}

\textbf{Step 1.} For the search space $A^{(t)}$ of $t^{th}$ iteration, we encode it into a supernet. We adopt sandwich training [57] to optimize the weights of supernet, i.e., sampling the largest, the smallest, and two middle models for each iteration and fusing their gradients to update the supernet. Notably, we do not use inplace-distill since it leads to collapse for transformer training.

\textbf{Step 2.} We first decompose the search space $A^{(t)}$ by the search dimensions, defined in Sec 2.2, and four stages. Denote their corresponding subspaces as $S_1^{(t)}, S_2^{(t)}, \ldots, S_D^{(t)}$, where $D$ is the product of the number of stage and the number of search dimensions. The search space $A^{(t)}$ now can be expressed as the Cartesian product of all search spaces:

$$A^{(t)} = S_1^{(t)} \times S_2^{(t)} \times \cdots \times S_D^{(t)}, \quad \text{(6)}$$

Then the search space $A^{(t)}$ could be evolved to a better one $A^{(t+1)}$, with the update of $S_1^{(t)}$. Particularly, for a certain subspace $S_i^{(t)}$, we first get the subspace $\{\alpha | \alpha \in A^{(t)} \cap \alpha_i = v_i^{(t)}\}$ for each choice $v_i^{(t)} \in S_i^{(t)}$, where $\alpha_i$ is the $i^{th}$ dimension value of the architecture $\alpha$. Then we compute the E-T Errors of the subspaces. We hypothesize there is a strong correlation between the continuous choices and the E-T Error in most cases, so we fit a linear function to estimate its tendency for simplification:

$$y = wx + b,$$

where $x \in \mathbb{R}$ is the choices in $S_i^{(t)}$, and $y \in \mathbb{R}$ is the corresponding E-T Error. $w$ and $b$ are both scalar parameters. Then the new subspace $S_i^{(t+1)}$ is given as:

$$S_i^{(t+1)} = \left\{ v_j^{(t)} - \frac{w}{\tau} \gamma_i \mid v_j^{(t)} \in S_i^{(t)} \right\}, \quad \text{(8)}$$

where $\tau$ is the threshold for search space evolving, $\gamma_i$ is the steps for search dimension $S_i$. Note that we remove the choices if they become less than zero. The detailed algorithm is also shown in Alg. 1.

\subsection{2.4 Searching in the Searched Space}

Once the space search is done, we perform neural architecture search over the searched space. The search pipeline contains two sequential steps: 1) supernet training without resource constraints, and 2) evolution search under resource constraints.

The \textit{supernet training} follows the same train recipe in space search. Similar to AutoFormer [7] and BigNas [57], the maximal, minimal subnets and several random architectures are sampled randomly from the evolved search space in each iteration and their corresponding weights are updated. We provide more details in Sec. 4.1. The \textit{evolution search} process follows the similar setting in SPOS [16]. Our objective in this paper is to maximize the classification accuracy while minimizing the model size and FLOPs. Notably, vision transformer does not include any Batch Normalization [23],
Figure 2: Visualization of space search process. Columns and rows represent different stages and search dimensions. The dots in each sub-figure means the choices of that search dimension. We plot addition lines for better viewing the change tendency of dimensions.

Figure 3: The left 4 subplots present the empirical distribution function of different stages of the searched space focusing on the number of blocks. The rightest is the top-tier error of different stages. hence the evolution process is much faster than CNN. We provide more details in the supplementary materials.

3 Analysis and Discussion

In this section we provide analysis and discussion according to the space searching process. We hope that our observations can shed lights on both manual design of vision transformer architecture and the search space design for NAS methods. To minimize the prior knowledge used in search space design, we initialize the search space by setting a same search space for different stages. We provide the detailed search space and its evolution process in Fig. 2. Fig. 3 shows a direct illustration of space quality of the searched space focusing on the number of blocks.

The third stage is most important and increasing blocks in the third stage leads to better performance. As shown in the first row of Fig. 2, the blocks of stage 3 is constantly increasing from step 0 to step 2. We also observe that there are more blocks in stage 3 in some famous CNN networks, such as ResNet [18], EfficientNet [43], which share the same architecture characteristics with our finding in transformers. As a result, it seems that the third stage is the most important one. On the other hand, contrary to stage 3, the number of blocks decrease in stage 1,2,4 showing that these stages should include fewer layers.

Shallow layers should use a small window size while deep layers should use a larger one. As shown in the last row of Fig. 2, with the search steps increasing, the window size space decreases in stage 1 while increases in stage 3. It shows that shallow layers tend to have smaller window size while deep layers tend to have larger one. We assume that transformers increase focusing region with deeper layers. To verify this, we visualize the attention maps (top row in Fig. 4 (b,c) ) in different layers and
Figure 4: Illustration of attention maps of some selected layers ((b)-(e)), and the average relative distance of a query and its top-k keys with highest attention ((f), (g)). For attention maps ("3-7" represents the "stage 3 - block 7", while (c)-(e) follow the rule), the reference position is on left top, marked with +. The numbers 1-5 on attention map subpatches represent the top-5 highest attention. Besides, bottom row shows top-5/10 average relative distances of some selected layers. The orange lines | represent the median and the green triangles ▲ represent the mean.

draw a box plot (bottom row in Fig. 4 (f,g)) to show the relative distances from the target position to its top-5/10 focusing positions. The attention maps show that the highest attentions (noted by numbers) expand with deeper layer, which is illustrated from (b) to (c). As for the average relative distance, deeper layers have larger medians and means, indicating larger focusing regions. The above observations are consistent with our assumption and provide solid evidence for it.

**Deeper layer should use larger MLP ratio.** Traditionally, all layers use the same MLP ratio. However, the third row of Fig. 2 illustrates that shallow layers should use small MLP ratio to result in better performance while deeper ones should use larger MLP ratio.

**Q-K-V dimension should be smaller than the embedding dimension.** In the original transformer block [45, 14], the Q-K-V dimension is the same as the embedding dimension. However, comparing the second row with the third row of Fig. 2, we find that the searched space has a slightly smaller Q-K-V dimension than embedding dimension, shown from stage 1 to stage 4. The gap between them are more obvious in the deeper layers. We conjecture the reason is that there are many heads with similar features in deeper layers. Therefore, the a relative small Q-K-V dimension is able to have great visual representation ability. We present more details in the supplementary materials.

### 4 Experiments

#### 4.1 Implementation Details

**Space searching.** The initial search space is presented in Fig. 2, the number of blocks and embedding dimension are set to \{2, 3, 4\} and \{224, 256, 288\} respectively for all stages, while the window size, number of heads, MLP ratio, Q-K-V dimensions are set to \{7, 14\}, \{3, 3.5, 4\}, \{7, 8, 9\}, \{224, 256, 288\} respectively for all blocks. We set the total iteration of space searching to 3, while setting the steps in Eq. (8) for block number, embed dim, MLP ratio, Q-K-V dim, windows size to 1, 64, 0.5, 64, 7, respectively. We set the threshold \(\tau\) of space evolution in Eq. (8) as 0.4.

**Supernet training.** Similar to DeiT [44], we train the supernet with the following settings: AdamW [32] optimizer with weight decay 0.05, initial learning rate \(1 \times 10^{-3}\) and minimal learning rate \(1 \times 10^{-5}\) with cosine scheduler, 20 epochs warmup, batch size of 1024, 0.1 label smoothing, and stochastic depth with drop rate 0.2. We also adopt sandwich strategy as describe in Sec. 2.3. The models are trained for 300 epochs with 16 Nvidia Tesla 32G V100 GPUs.
Table 1: S3 performance on ImageNet in comparison with state-of-the-arts. We group the models according to their parameter sizes.

| Models                  | Top-1 Acc. | Top-5 Acc. | #Params. | FLOPs | Res. | Model Type |
|-------------------------|------------|------------|----------|-------|------|------------|
| ResNet50 [18]           | 76.2%      | 92.9%      | 25.5M    | 4.1G  | 224  | CNN        |
| RegNetY-4GF [39]        | 80.0%      | -          | 21.4M    | 4.0G  | 224  | CNN        |
| EfficientNet-B4 [43]    | 82.9%      | 95.7%      | 19.3M    | 4.2G  | 380  | CNN        |
| T2T-ViT-14 [58]         | 80.7%      | -          | 21.5M    | 6.1G  | 44   | Transformer|
| DeiT-S [44]             | 79.9%      | 95.0%      | 22.1M    | 4.7G  | 224  | Transformer|
| ViT-S/16 [14]           | 78.8%      | -          | 22.1M    | 4.7G  | 224  | Transformer|
| Swin-T [31]             | 81.3%      | -          | 28.3M    | 4.5G  | 224  | Transformer|
| S3-T (Ours)             | **82.1%**  | **95.8%**  | **28.1M** | 4.7G  | 224  | Transformer|
| ResNet152 [18]          |            |            |          |       |      | CNN        |
| EfficientNet-B7 [43]    | 84.3%      | 97.0%      | 66M      | 37G   | 600  | CNN        |
| BoTNet-S1-110 [42]      | 82.8%      | 96.4%      | 55M      | 10.9G | 224  | CNN + Trans|
| T2T-ViT-24 [58]         | 82.2%      | -          | 64M      | 15G   | 224  | Transformer|
| Swin-S [31]             | 83.0%      | -          | 50M      | 8.7G  | 224  | Transformer|
| S3-S (Ours)             | **83.7%**  | **96.4%**  | **50M**  | **9.5G** | 224  | Transformer|
| S3-S-384 (Ours)         | **84.5%**  | **96.8%**  | **50M**  | **33G** | 384  | Transformer|
| RegNetY-16GF [39]       | 80.4%      | -          | 83M      | 16G   | 224  | CNN        |
| ViT-B/16 [14]           | 79.7%      | -          | 86M      | 18G   | 224  | Transformer|
| DeiT-B [44]             | 81.8%      | 95.6%      | 86M      | 18G   | 224  | Transformer|
| Swin-B [31]             | 83.3%      | -          | 88M      | 15.4G | 224  | Transformer|
| Swin-B-384 [31]         | 84.2%      | -          | 88M      | 47G   | 384  | Transformer|
| S3-B (Ours)             | **84.0%**  | **96.6%**  | **71M**  | **13.6G** | 224  | Transformer|
| S3-B-384 (Ours)         | **84.7%**  | **96.9%**  | **71M**  | **46G** | 384  | Transformer|

Figure 5: Comparison of subnets with inherited weights, fine-tuned and trained from scratch.

Figure 6: Error empirical distribution function of different search spaces.

4.2 Ablation Study

Effectiveness of once-for-all supernet sampling. To verify the effectiveness, we randomly sample 10 architectures from the well-trained supernet with the inherited weights, then compare the ImageNet classification performance of (1) models with only inherited weights, (2) models with finetuning, and (3) models retrained from scratch. As shown in Fig. 5, the architecture with inherited weights has comparable or even better performance when compared with the same architecture with retraining or finetuning. This phenomenon shows that our sampled architectures provide a precise and reliable performance proxy for space quality measurement.

Effectiveness of search space evolution. We conduct two experiments. In the first experiment, we randomly sample 1,000 architectures with inherited weights from a search space, then compute and plot error empirical distribution, as in [39], of them. We denote the initial space, the one-step evolved space and the two-step evolved space by Space A, Space B and Space C respectively. As shown in Fig. 6, the space C has an obviously better overall quality compared to A and B. In the second experiment, we use evolution algorithm to search for top architectures in each space. Tab. 5 illustrates that the space C has a much better top architectures, being 16.6%/4.1% better than that in the spaces A and B. These results verify the effectiveness of search spaces evolution.
### 4.3 Image Classification

We compare our searched models with state-of-the-arts on ImageNet [12]. As described in Sec. 2.1 and Sec. 4.1, we first evolve the search space, then optimize the weights of supernet and finally search on the supernet. For the experiments of high resolution images, the models are finetuned with 384^2 inputs for 10 epochs, using an AdamW [32] optimizer with a constant learning rate of 10^{-5} and weight decay of 10^{-8}.

We compare our S3 with state-of-the-art CNN models, vision transformers and hybrid CNN-transformer models. The results are reported in Tab. 1. Our proposed models S3-tiny/small/base (T/S/B for short) achieves 82.1%/83.7%/84.0% top-1 accuracy on ImageNet [12] using 224^2 input images. Compared with vision transformer and hybrid models, our method obtains superior performance using similar FLOPs and parameters. For example, when considering parameters as the main constraint, we find a architecture that has 0.6% higher top-1 accuracy than Swin Transformer [31] while using 42% fewer parameters as shown in Fig. 7. Compared to CNN models, our S3 models are also very competitive, especially for small parameter size. The proposed S3-S-384 (84.5%) performs slightly better than EfficientNet-B7 (84.3%) [43] while using 24% fewer parameters and 11% fewer FLOPs. For base models with fewer computational cost, S3-B also achieves superior performance to state-of-the-art methods, such as RegNet [39] and ViT [14].

### 4.4 Vision and Vision-Language Downstream Tasks

To further evaluate the generalizability of the discovered architectures, we transfer them to vision and vision-language downstream tasks. We conduct experiments on COCO [28] for object detection, ADE20K [61] for semantic segmentation and VQA 2.0 [59] for visual question answering, respectively. The training and inference settings for object detection and semantic segmentation are the same as those in Swin transformer [31], while for visual language answering task, the settings are aligned with SOHO [22]. More experimental details are elaborated in supplementary materials.

**Object Detection.** As shown in Tab. 2, S3-T outperforms ResNet-50 [18] by +4.2 box AP and +3.7 mask AP under 3× learning schedule. Compared to DeiT-S [44], S3-T achieves +2.5 higher box AP and +2.4 higher mask AP. Increasing the model size and FLOPs, S3-S and S3-B surpass ResNeXt-101 [53] by +4.5 box AP, +3.9 mask AP and +4.2 box AP, +3.7 mask AP respectively. Compared to Swin-S and Swin-B, S3-S and S3-B outperform them by +0.8 box AP, +0.8 mask AP and +0.6 box AP, +0.4 mask AP respectively. All comparisons are conducted under the similar model constraints.
size and FLOPs. Under the 1× training schedule, our S3 model is also superior to other backbones, demonstrating its robustness and good transferability.

**Semantic Segmentation.** We report the mIoU score on ADE20K [61] validation set in Tab. 3. It can be seen that our S3 models consistently achieve better performance than ResNet [18], DeiT [44], and Swin [31]. Specifically, S3-T is +3.5 mIoU superior to ResNet-50. Compared to other transformer-based models, S3-T is +2.42 mIoU higher than DeiT-S, and +0.46 mIoU higher than Swin-T. S3-S outperforms ResNet-101 with a large margin (+4.46). It also surpasses DeiT-B and Swin-S by +3.63 and +0.44 mIoU, respectively. Note that we tried to add deconvolution layers to build a hierarchical structure for DeiT, but did not get performance improvements.

**Visual Question Answering.** As shown in Tab. 4, we report the accuracy on the test-dev and test-std set of VQA v2.0 dataset [59] for different vision backbones. Our model S3-T brings more than +2% accuracy gains over ResNet-101 [18], and surpassing Swin-T [31] by around 0.6% accuracy under aligned model complexity. All the above experiments demonstrate the good generality of the searched models as well as proving the effectiveness of the searched design space of vision transformer.

## 5 Related Work

**Vision Transformer.** Originally designed for natural language processing, Transformer has been recently applied to vision tasks [5, 60, 8, 50]. ViT [14] is the first one that introduces a pure transformer architecture for visual recognition. Alleviating the data hungry and computational issues, DeiT [44] introduces a series of training strategies to remedy the limitations. Some further works resort to feature pyramids to purify multi-scale features and model global information [48, 19, 35]. Swin Transformer [31] introduces non-overlapping window partitions and restricts the calculation of self-attention within each window, leading to a linear runtime complexity w.r.t. token numbers.

**Search Space.** There is a wide consensus that a good search space is crucial for NAS. Regarding CNN, the current search space, such as cell-based space [30, 9, 54] and MBConv-based space [3, 36, 56], is pre-defined and fixed during search. Several recent works [21, 33, 34, 37, 29, 27] perform search space shrinking to find a better compact one. Moreover, NSENet [10] proposes an evolving search space algorithm for MBConv space optimization. RegNet [39] presents a new paradigm for understanding design space and discovering design principles. However, the exploration of vision transformer space is still limited, and our work is the first along the direction.

**Search Algorithm.** There has been an increasing interest in NAS for automating network design [15, 24]. Early approaches use either reinforcement learning [62, 63] or evolution algorithms [52, 40]. These approaches require training thousands of architecture candidates from scratch. Most recent works resort to the one-shot weight sharing strategy to amortize the searching cost [26, 38, 1, 16]. The key idea is to train an over-parameterized supernet where all subnets share weights. For transformer, there are few works employing NAS to improve architectures. Evolved Transformer [41] searches the inner architecture of transformer block. HAT [47] proposes to design hardware-aware transformers with NAS to enable low-latency inference on resource-constrained hardware platforms. BossNAS [25] explores hybrid CNN-Transformers with block-wisely self-supervised. The most related work is AutoFormer [7] which provides a simple yet effective framework of vision transformer search. It could achieve once-for-all training with newly proposed weight entanglement. In this work, we focus on both the search space design and architectures of vision transformer.
6 Conclusion

In this work, we propose to search the search space of vision transformer. The central idea is to gradually evolve different search dimensions guided by their E-T Error computed using a weight-sharing supernet. We also provide analysis on vision transformer, which might be helpful for understanding and designing transformer architectures. The search models, S3, achieve superior performance to recent prevalent ViT and Swin transformer model families under aligned settings. We further show their robustness and generality on downstream tasks. In further work, we hope to investigate the usage of S3 in CNN search space design.

Acknowledgements and Disclosure of Funding

Thank Hongwei Xue for providing helps and discussions on vision-language tasks. No external funding was received for this work.

Broader Impacts and Societal Implications

This work does not have immediate societal impact, since the algorithm is only designed for finding good vision transformer models focusing on image classification. However, it can indirectly impact the society. For example, our work may inspire the creation of new vision transformer model and applications with direct societal implications. Moreover, compared with other NAS methods that require thousands of models training from scratch, our method requires much much less computation resources, which leads to much less CO$_2$ emission.

References

[1] Andrew Brock, Theodore Lim, James M Ritchie, and Nick Weston. Smash: one-shot model architecture search through hypernetworks. In ICLR, 2018. 9
[2] Han Cai, Chuang Gan, Tianzhe Wang, Zhekai Zhang, and Song Han. Once for all: Train one network and specialize it for efficient deployment. In ICLR, 2020. 1
[3] Han Cai, Ligeng Zhu, and Song Han. Proxylessnas: Direct neural architecture search on target task and hardware. ICLR, 2018. 9
[4] Zhaowei Cai and Nuno Vasconcelos. Cascade r-cnn: Delving into high quality object detection. In CVPR, pages 6154–6162, 2018. 14
[5] Nicolas Carion, Francisco Massa, Gabriel Synnaeve, Nicolas Usunier, Alexander Kirillov, and Sergey Zagoruyko. End-to-end object detection with transformers. In ECCV, 2020. 9
[6] Kai Chen, Jiaqi Wang, Jianguo Pang, Yuhang Cao, Yu Xiong, Xiaolong Li, Shuyang Sun, Wansen Feng, Ziwei Liu, Jiarui Xu, et al. Mmdetection: Open mmlab detector toolbox and benchmark. arXiv preprint arXiv:1906.07155, 2019. 14
[7] Minghao Chen, Houwen Peng, Jianlong Fu, and Haibin Ling. Autoformer: Searching transformers for visual recognition. In Proceedings of the IEEE/CVF International Conference on Computer Vision (ICCV), 2021. 2, 3, 4, 9
[8] Mark Chen, Alec Radford, Rewon Child, Jeffrey Wu, Heewoo Jun, David Luan, and Ilya Sutskever. Generative pretraining from pixels. In International Conference on Machine Learning, pages 1691–1703. PMLR, 2020. 9
[9] Xin Chen, Lingxi Xie, Jun Wu, and Qi Tian. Progressive differentiable architecture search: Bridging the depth gap between search and evaluation. In ICCV, 2019. 9
[10] Yuanzheng Ci, Chen Lin, Ming Sun, Boyu Chen, Hongwen Zhang, and Wanli Ouyang. Evolving search space for neural architecture search. arXiv preprint arXiv:2011.10904, 2020. 9
[11] MMSegmentation Contributors. MMSegmentation: Openmmlab semantic segmentation toolbox and benchmark. https://github.com/open-mmlab/mmsegmentation, 2020. 14
[12] Jia Deng, Wei Dong, Richard Socher, Li-Jia Li, Kai Li, and Li Fei-Fei. Imagenet: A large-scale hierarchical image database. In CVPR, 2009. 8, 15
[13] Jacob Devlin, Ming-Wei Chang, Kenton Lee, and Kristina Toutanova. Bert: Pre-training of deep bidirectional transformers for language understanding. In NAACL, 2019. 15
[14] Alexey Dosovitskiy, Lucas Beyer, Alexander Kolesnikov, Dirk Weissenborn, Xiaohua Zhai, Thomas Unterthiner, Mostafa Dehghani, Matthias Minderer, Georg Heigold, Sylvain Gelly, et al. An image is worth 16x16 words: Transformers for image recognition at scale. ICLR, 2021. 1, 2, 3, 6, 7, 8, 9
[15] Thomas Elsken, Jan Hendrik Metzen, Frank Hutter, et al. Neural architecture search: A survey. J. Mach. Learn. Res., 20(55):1–21, 2019. 9
[46] Dilin Wang, Meng Li, Chengyue Gong, and Vikas Chandra. Attentivenas: Improving neural architecture search via attentive sampling. In CVPR, 2021.

[47] Hanrui Wang, Zhanghao Wu, Zhijian Liu, Han Cai, Ligeng Zhu, Chuang Gan, and Song Han. Hat: Hardware-aware transformers for efficient natural language processing. In ACL, 2020.

[48] Wenhai Wang, Enze Xie, Xiang Li, Deng-Ping Fan, Kaitao Song, Ding Liang, Tong Lu, Ping Luo, and Ling Shao. Pyramid vision transformer: A versatile backbone for dense prediction without convolutions. arXiv preprint arXiv:2102.12122, 2021.

[49] Bichen Wu, Xiaoliang Dai, Peizhao Zhang, Yanghan Wang, Fei Sun, Yiming Wu, Yuandong Tian, Peter Vajda, Yangqing Jia, and Kurt Keutzer. Fbnet: Hardware-aware efficient convnet design via differentiable neural architecture search. In CVPR, 2019.

[50] Kan Wu, Houwen Peng, Minghao Chen, Jianlong Fu, and Hongyang Chao. Rethinking and improving relative position encoding for vision transformer. In Proceedings of the IEEE/CVF International Conference on Computer Vision (ICCV), pages 10033–10041, 2021.

[51] Tete Xiao, Yingcheng Liu, Bolei Zhou, Yuning Jiang, and Jian Sun. Unified perceptual parsing for scene understanding. In ECCV, pages 418–434, 2018.

[52] Lingxi Xie and Alan Yuille. Genetic cnn. In ICCV, 2017.

[53] Saining Xie, Ross Girshick, Piotr Dollár, Zhuowen Tu, and Kaiming He. Aggregated residual transformations for deep neural networks. In CVPR, 2017.

[54] Yuhui Xu, Lingxi Xie, Xiaopeng Zhang, Xin Chen, Guo-Jun Qi, Qi Tian, and Hongkai Xiong. PC-DARTS: Partial channel connections for memory-efficient architecture search. In ICLR, 2020.

[55] Antoine Yang, Pedro M Esperança, and Fabio M Carlucci. Nas evaluation is frustratingly hard. In ICLR, 2020.

[56] Shan You, Tao Huang, Mingmin Yang, Fei Wang, Chen Qian, and Changshui Zhang. Greedynas: Towards fast one-shot nas with greedy supernet. In CVPR, 2020.

[57] Jiahui Yu, Pengchong Jin, Hanxiao Liu, Gabriel Bender, Pieter-Jan Kindermans, Mingxing Tan, Thomas Huang, Xiaodan Song, Ruoming Pang, and Quoc Le. Bignas: Scaling up neural architecture search with big single-stage models. NeurIPS, 2020.

[58] Li Yuan, Yunpeng Chen, Tao Wang, Weihao Yu, Yujun Shi, Francis EH Tay, Jiashi Feng, and Shuicheng Yan. Tokens-to-token vit: Training vision transformers from scratch on imagenet. arXiv preprint arXiv:2101.11986, 2021.

[59] Peng Zhang, Yash Goyal, Douglas Summers-Stay, Dhruv Batra, and Devi Parikh. Yin and Yang: Balancing and answering binary visual questions. In CVPR, 2016.

[60] Sixiao Zheng, Jiachen Lu, Hengshuang Zhao, Xiatian Zhu, Zekun Luo, Yabiao Wang, Yanwei Fu, Jianfeng Feng, Tao Xiang, Philip HS Torr, et al. Rethinking semantic segmentation from a sequence-to-sequence perspective with transformers. arXiv preprint arXiv:2012.15840, 2020.

[61] Bolei Zhou, Hang Zhao, Xavier Puig, Sanja Fidler, Adela Barriuso, and Antonio Torralba. Scene parsing through ade20k dataset. In CVPR, 2017.

[62] Barret Zoph and Quoc V Le. Neural architecture search with reinforcement learning. ICLR, 2016.

[63] Barret Zoph, Vijay Vasudevan, Jonathon Shlens, and Quoc V Le. Learning transferable architectures for scalable image recognition. In CVPR, 2018.
Supplementary Materials

This supplementary material contains additional details of Section 2.4, 3 and 4.4 and a discussion about the broader impacts of this paper. The details include:

- **Searching in the searched space.** We provide the details of the two steps for vision transformer search: (1) Supernet training without resource constraints; (2) Evolution search under resource constraint.
- **Q-K-V dimension could be smaller than the embedding dimension.** We suppose the underlying reasons might be that the feature maps of the different heads are similar in deeper layers. We visualize the attention maps of the heads in deep layers and find they are consistent with our assumption.
- **Experimental settings.** For Sec. 4.4 Vision and Vision-Language Downstream Tasks (in the main manuscript), we provide the experimental settings in detail.

### A Searching in the Searched Space

**Algorithm 2** Supernet training without resource constraints

**Input:** Training epochs $N$, search space $A$, supernet $\mathcal{N}$, initial supernet weights $W_A$, train dataset $D_{\text{train}}$, loss function $Loss$

**Output:** Well-trained supernet

1: for $i := 1$ to $N$
2: for $data, labels$ in $D_{\text{train}}$
3: Sample the largest $\alpha_{\text{max}}$, smallest $\alpha_{\text{min}}$, and two random middle models $\alpha_1, \alpha_2$ from the space $A$
4: Obtain the corresponding weights $W_{\alpha_{\text{max}}}, W_{\alpha_{\text{min}}}, W_{\alpha_1}, W_{\alpha_2}$ from $W_A$
5: Compute the gradients $\nabla W_{\alpha_{\text{max}}}, \nabla W_{\alpha_{\text{min}}}, \nabla W_{\alpha_1}, \nabla W_{\alpha_2}$ based on $Loss, data, labels$
6: Update the corresponding parts in $W_A$
7: end for
8: end for

In this section, we present the details of supernet training and evolutionary algorithm. Alg. 2 elaborates the procedure of supernet training with sandwich strategy. In each iteration, we sample the largest $\alpha_{\text{max}}$, smallest $\alpha_{\text{min}}$, and two random middle models $\alpha_1, \alpha_2$. Their weights are inherited from the supernet’s weights $W_A$. We compute losses using the subnets and backward the gradients. At last, we update the corresponding weights with the fused gradients.

Alg. 3 shows the evolution search in our method. For crossover, two randomly selected candidate architectures are picked from the top candidates firstly. Then we uniformly choose one block from them in each layer to generate a new architecture. For mutation, a candidate mutates its depth and embedding dimension in each stage with probability $P_d$ and $P_e$ firstly. Then it mutates each block in each layer with a probability $P_m$ to produce a new architecture. Newly produced architectures that do not satisfy the constraints will not be added to the next generation. Specifically, we set the size of population to 50 and the number of generation steps to 20. In each generation step, top 10 architectures are picked as the parents to generate child networks by mutation and crossover. The mutation probability $P_d$, $P_e$ and $P_m$ are set to 0.2, 0.2 and 0.4, respectively.

### B Q-K-V Dimension Could be Smaller Than the Embedding Dimension.

In Sec. 3 Analysis and Discussion, we find that Q-K-V dimension could be smaller than the embedding dimension. We suppose the underlying reasons might be that the feature maps of the different heads are similar in deeper layers. To verify the assumption, we feed Fig. 4(a) (in the main manuscript) into the once-for-all supernet, and visualize the attention map in the stage 3 - block 7. As shown in Fig. 8, the attention maps in (d), (e), (h) and (j) are very similar. Besides, the ones of (b) and (j) are close to each other as well. Therefore, the self-attention module does not require a large Q-K-V dimension (number of heads) as the embedding dimension.
Algorithm 3 Evolution search under resource constraints

**Input:** Search space $A$, supernet $N$, supernet weights $W_A$, population size $P$, resources constraints $C$, number of generation iteration $T$, validation dataset $D_{val}$, mutation probability of depth $P_d$, mutation probability of embedding dimension $P_e$, mutation probability of each layer $P_m$

**Output:** The most promising transformer $\alpha^*$

1: $G_0 := \text{Randomly sample } P \text{ architectures } \{\alpha_1, \alpha_2, \cdots, \alpha_P\} \text{ from } A \text{ with the constrain } C$
2: while search step $t \in (0, T)$ do
3:     while $\alpha_i \in G(t)$ do
4:         Obtain the corresponding weight $W_{\alpha_i}$ from the supernet weights $W_A$
5:     Obtain the accuracy of the subnet $N(\alpha_i, W_{\alpha_i})$ on $D_{val}$
6:     $G_{topk} := \text{the top } K \text{ candidates by accuracy order};$
7:     $G_{crossover} := \text{Crossover}(G_{topk}, A, C)$
8:     $G_{mutation} := \text{Mutation}(G_{topk}, P_d, P_e, P_m, A, C)$
9: $G(t+1) := G_{crossover} \cup G_{mutation}$
10: end while
11: $\alpha^* := \text{best architecture in } G(T+1) \text{ in terms of accuracy}$

Figure 8: Attention maps of different heads in the stage 3 - block 7 of the one-step pretrained supernet. The number of heads is 14, and the reference position is on left top, marked with +.

C Experimental settings

In this section, we provide the detailed experimental settings of our models when transferred to downstream vision and vision-language tasks, including object detection, semantic segmentation and visual question answering.

**Object Detection.** We conduct the object detection experiments on COCO dataset [28]. We train the model on training set ($\sim 118k$) and evaluate it on the validation set (5k). We replace the backbone of Cascade Mask R-CNN [4] with our discovered S3-Transformer and compare its performance with other prevalent backbones, including CNNs and handcrafted transformers, under the similar computational cost. All the models are conducted on MMDetection [6]. Same as [31], we utilize multi-scale training and 3x schedule (36 epochs). The initial learning rate is $1 \times 10^{-4}$. The optimizer is AdamW [32] with 0.05 weight decay. The experiments are conducted on 8 Tesla V100 GPUs and the batch size set to 16.

**Semantic Segmentation.** We choose ADE20K dataset [61] to test the representation power of our model on semantic segmentation task. It is a widely used scene parsing dataset which contains more than 20K scene-centric images and covers 150 semantic categories. The dataset is split into 20K images for training and 2K images for validation. For a fair comparison, we use the totally same setting as Swin [31], including the framework UperNet [51] and all the hyperparameters. The backbone is initialized with the pre-trained weights on ImageNet-1K. Specifically, since DeiT [44] adopt absolute position embeddings, we utilize bicubic interpolation to fit a larger resolution in segmentation task, before fine-tuning the model. We tried to add deconvolution layers to build a hierarchical structure for DeiT but it does not increase the performance. All the models are trained 160k iterations under MMSegmentation [11] framework on 8 Tesla V100 GPUs.
Visual Question Answering. We choose VQA 2.0 [59] dataset, which contains 433K train, 214K val and 453K test question-answer pairs for 204,721 COCO [28] images. We follow the framework and hyperparameters of SOHO [22] without visual dictionary module, and replace the vision backbone with the compared models. The last stage of vision backbone outputs vision tokens directly. The image resolution is $384 \times 384$. The weights of vision backbone and cross-modal transformer are initialized based on ImageNet [12] and BERT [13], respectively. We employ AdamW [32] optimizer for 40 epochs with 500 iterations warm-up, a learning rate decay by 10 times at 25th and 35th epochs, and batch size of 2048. The initial learning rates of vision backbone and cross-transformer are $5 \times 10^{-6}$ and $5 \times 10^{-5}$, respectively. An image is paired with four texts per batch, including two matched pairs and two unmatched pairs.