Yield Performance of Barley Hybrids (*Hordeum vulgare* L.) under Drought stress and non-stressed Conditions

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ABSTRACT

This study was carried out at the experiment field, Kalar Technical Institute, Garmian Region in two growing seasons of 2016-2017 and 2017-2018 in order to evaluate the growth and yield potentials of barley under water stressed using hybrids as a source of wide range of genotypic variations. Therefore, five *F₂* barley hybrids (*Hordeum vulgare* L.) were screened for grain yield, biomass dry matter, plant height and harvest index under irrigated and drought conditions. Results showed that there was no effect of drought on grain yield (P>0.05) in 2017, while significantly reduced yield in 2018 and across-year mean (P=0.05). The grain yield reduction was between 8.8% for genotype (3/1) and 16.3% for genotype (3/18) averaging over years. Biomass dry matter, plant height and harvest index were also affected by drought in 2018 and cross-year mean (P<0.05), but not in 2017 due to difference in drought stress times and periods. Genotypes were significantly differed for all traits except for plant heights. Averaging over years, grain yield was in the range 299.1 (3/1) to 336.2 g m⁻² (3/14) under irrigated condition, and 267.8 (3/5) to 302.3 g m⁻² (3/4) under unirrigated condition (P=0.001), biomass dry matter was ranged from 1099.1 (3/1) to 1370.5 g m⁻² (3/14) under irrigated condition, and 892.6 (3/1) to 1153.9 g m⁻² (3/14) under unirrigated condition (P=0.05), and harvest index were from 25.1 (3/14) to 28.0 (3/1) under irrigated conditions, and 25.9 (3/14) to 31.2 (3/1) under unirrigated conditions (P=0.04). Regression analysis, averaging over years, showed a positive relationship between grain yield and biomass under irrigated (R²=0.76; P=0.05) despite that, any positive relation was not found under unirrigated conditions (R²=0.43; P=0.23) due to post-anthesis drought stress. A strong relationship was also found between plant height and biomass dry matter under both irrigated (R²=0.89; P=0.02) and unirrigated (R²=0.97; P=0.003) conditions due to the high contribution of plant height in increasing plant biomass. It is concluded that genotypes had different response to drought due to their genetic diversity, and relatively low impact of water stress was appeared on growth and grain yield of barley in this semi-arid region compared to worldwide expected range of yield reduction.

**Keywords:** Barley, Drought tolerance, Yield components, Water-use efficiency.

1. Introduction

Barley is one of the most important and sustainable crops in Asia, Africa, America and Europe that farmers can cultivate which covers more than 40 million hectares in water limited environment regions [¹]. It can grow and yield effectively in a wide range of environmental conditions such as drought-prone and high-temperature, which indicated as least affected by stresses in areas where barley is a major source of food [²]. Since barley is a widely distributed crop in arid and semi-arid areas and it tolerates drought more than most of grain crops, it is necessary to determine which stages are most sensitive to drought and reduce grain yield production [³]. It has been studied that the degree of drought stress has an impact on decreasing vegetative growth and increases the sensitivity of barley to water limited during the period of stem elongation, swelling the sheath of ears and grain filling period [⁴]. The worldwide cultivated area of barley is estimated to be around 48.5 million hectare, and producing around 134 million tons with the production capacity of 2.8 tons per hectare [⁵]. In Iraq, barley is a major crop that comes after wheat in terms of cultivated area, production, consuming and industrial uses [⁶]. Therefore, appropriate programs should be adopted for improving its quantitative characteristics, such as grain and its components through

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studying the genetic parameters that control these grain related traits [7].
Drought is the most important limiting factor for crop production and it is becoming an increasingly severe problem in many areas of the world [8]. Generally drought stress occurs when the available water in the soil is reduced and atmospheric conditions cause continuous loss of water by transpiration or evaporation [9]. Drought incidence and severity has been expected due to climate change and will increase in dry areas [10]. World population is also predicted to increase from 7.7 billion to 9.7 billion in 2050 which will extremely increase the global food demand [11]. Plants under dry condition change their metabolism to overcome the drought stress, and different growth stages of the crop do not response similarly to water deficit. Seed germination is highly responsive to existing environment. However, the severity of post-anthesis drought stress is a determinant factor for grain yield and needs to be studied [12]. Such information is important for plant breeders to select traits for drought tolerance, and for farmers for better crop management to avoid the occurrence of water stress at the critical stages of development. However, due to the long-term domestication of barley and modern breeding and cultivation, the genetic diversity has been significantly narrowed [13]. In this experiment, the impact of drought and role of irrigation treatments on grain yield production, biomass, harvest index, and plant height of barley were investigated using five barley hybrids. Comparing the genotypes to have a better yield potential under drought and assessing yield reduction due to water stress were the main objectives of this study. Therefore, these hypothesize: i) low effect of water stress on barley yield potentials, ii) genotypic variations between genotypes, and iii) associations between growth traits (biomass dry matter and plant height) on grain yield and harvest index, were examined.

2. Materials and Methods

2.1 Plant materials and site conditions
Tow field experiments were carried out at Kalar Technical Institute, Research Field of Field Crops Department (longitude 45° 22’ 681” east, latitude 34° 21’ 558” north, and elevation level of 178 meters) for two seasons of 2016-2017 (Feb 2017 – May 2017; referred to hereafter as 2017) and 2017-2018 (Dec 2017 – May 2018; referred to hereafter as 2018). The site environment is semi-arid with limited winter rainfall [14] and Aridisols type of soil [15]. The plant materials were obtained from previous full-diallel cross investigation by Mahmood, 2010 [16]. In which, five F2 barley hybrids (Hordeum vulgare L.), Local//Zanbaka (3//18), Local//ARTa/3//Avar (3//14), Local//Roho//Zanbaka (3//5), Local//Avar/H//Sout (3//1), Local//Tadmor//Roho (3//4), were tested for grain yield and yield components under drought and irrigated conditions. The total rainfall in the region was 226.1 mm in 2017 and 287.4 mm in 2018 [16], the rainfall distributions data has shown in Table 1. Based on the severity of the drought, complementary irrigation managements were applied for irrigated treatments when necessary (4 times in April and May in 2017 and 6 times in March and mid-late April in 2018) in each season.

| Seasons       | Months of the seasons | Total (mm) |
|---------------|-----------------------|------------|
| November      | December              | 2016-2017  |
| 2016-2017     | 21.0                  | 29.4       | 25.4       | 19.4       | 122.8      | 6.3        | 1.8        | 226.1      |
| 2017-2018     | 15.7                  | 0.0        | 23.2       | 165.4      | 0.0        | 75.1       | 8.0        | 287.4      |

2.2.2.1 Grain Yield (g m-2) and Biomass Dry Matter (g m-2)
In both field trials (2017 and 2018), all plants were harvested in each plot at ground-level around ten days after physiological maturity. The harvested materials were then separated into ears and straw. The ears were threshed and the grains were weighed based on 15% moisture content after drying for 48 hours at 72 °C for each plot samples. The remaining materials (straw and chaff) were oven dried for 48 hours at 72 oC. Biomass dry matter was then calculated from the sum of grains, straw and chaff for each plot.

2.3.3 Plant Height (cm) and Harvest Index
Plant height was measured on five randomly selected plants from each experimental unit which was using a ruler from the soil surface to the top of the plant ears. The average of plant heights was then calculated to be plant height for each plot. Harvest index was also calculated as the ratio of grain yield and total biomass dry matter of the above-ground biomass.

3. Results

3.1 Grain yield (GY; g m-2)
In 2017, drought had no significant effect on decreasing grain weight (P=0.68). Despite that, grain yield was reduced in drought situation by 332.7 to 264.9 g m-2 (P=0.001), and reduced from 319.1 to 282.0 g m-2 (P=0.002) for the cross-year mean in 2018. Averaging over years, genotype (3//1) had the lowest grain yield reduction (8.8%), while genotype (3//18) had the highest rate of (16.3%). Genotypes were ranged from 283.5 (3//5) to 328.7 g m-2 (3//14) under irrigated conditions, and 287.2 (3//1) to 315.3 g m-2
2 (3//14) under unirrigated conditions (P=0.04) in 2017. In 2018, genotypes differed in the ranges 305.6 (3//1) to 354.7 g m$^{-2}$ (3//18) under irrigated conditions, and 239.5 (3//5) to 289.3 g m$^{-2}$ (3//14) under unirrigated conditions (P=0.004). For the cross-year mean, genotypes differed in the range 299.1 (3//1) to 336.2 g m$^{-2}$ (3//14) under irrigated condition, and 267.8 (3//5) to 302.3 g m$^{-2}$ (3//4) under unirrigated condition (P=0.001). The interaction between irrigation and genotype was not significant in both years and cross-year mean (P=0.51, P=0.26 and P=0.44, respectively; Table 2).

Table 2: Grain yield (GY; g m$^{-2}$) under irrigated and unirrigated conditions for 5 genotypes of barley in 2017, 2018 and cross-year mean.

| Genotypes | 2017 |  |  | 2017-18 |  |  |  |
|-----------|------|---|---|---------|---|---|---|
|           | Irrigated | Unirrigated | Irrigated | Unirrigated | Irrigated | Unirrigated |
| 3//18      | 316.3 | 290.5 | 354.7 | 270.6 | 335.5 | 280.6 |
| 3//14      | 328.7 | 315.3 | 343.6 | 289.3 | 336.2 | 302.3 |
| 3//5       | 283.5 | 296.1 | 334.9 | 239.5 | 309.2 | 267.8 |
| 3//1       | 292.7 | 287.2 | 305.6 | 258.3 | 299.1 | 272.8 |
| 3//4       | 306.6 | 305.9 | 324.6 | 266.9 | 315.6 | 286.4 |
| Mean      | 305.6 | 299.0 | 332.7 | 264.9 | 319.1 | 282.0 |
| SED (df)  | -    | -   | 14.14** (0.81) | -    | 7.31** (0.002) | -   |
| Year (1)  | -    | -   | -    | -    | -    | -    |
| Irrigation (1) | 14.3** (0.68) | -    | 2.91** (0.001) | -    | 7.31** (0.002) | -   |
| Genotype (4) | 11.0* (0.04) | 12.31* (0.04) | -    | 8.27* (0.001) | -    | -    |
| Irr. x Gen. (4) | 20.0* (0.51) | 15.84** (0.26) | -    | 12.76* (0.44) | -    | -    |
| Year x Gen. (4) | -    | -    | -    | -    | -    | -    |

N.B: ***denotes P<0.001; **P<0.01 and *P<0.05 significance levels; ns = not significant

(SED) Standard error of differences of the means, (df) Degree of freedom

3.2 Biomass Dry Matter (BDM; g m$^{-2}$)

Biomass dry matter was not significantly affected by drought (P=0.67) in 2017. However, drought reduced BDM from 1221.2 to 800.1 g m$^{-2}$ (P=0.001), and from 1241.0 to 1003.7 g m$^{-2}$ (P=0.67) for the cross-year mean in 2018. Genotypes were ranged from 1076.0 (3//1) to 1517.8 g m$^{-2}$ (3//14) under irrigated conditions, and 1008.5 (3//1) to 1345.3 g m$^{-2}$ (3//14) under unirrigated conditions (P=0.05) in 2017. In 2018, genotypes differed in the ranges 1122.1 (3//1) to 1307.1 g m$^{-2}$ (3//18) under irrigated conditions, and 670.8 (3//4) to 962.5 g m$^{-2}$ (3//14) under unirrigated conditions (P=0.001). Genotypes differed in the range 1099.1 (3//1) to 1370.5 g m$^{-2}$ (3//14) under irrigated condition, and 892.6 (3//1) to 1153.9 g m$^{-2}$ (3//14) under unirrigated condition (P=0.05) for the cross-year mean. The irrigation x genotype interactions was not significant in both 2017 and cross-year mean (P=0.90 and P=0.90, respectively), however, it was significant in 2018 (P=0.001; Table 3).

Table 3: Biomass Dry Matter (BDM; g m$^{-2}$) under irrigated and unirrigated conditions for 5 genotypes of barley in 2017, 2018 and cross-year mean.

| Genotypes | 2017 |  |  | 2017-18 |  |  |  |
|-----------|------|---|---|---------|---|---|---|
|           | Irrigated | Unirrigated | Irrigated | Unirrigated | Irrigated | Unirrigated |
| 3//18      | 1288.0 | 1217.0 | 1307.1 | 866.3 | 1297.6 | 1041.6 |
| 3//14      | 1517.8 | 1345.3 | 1223.3 | 962.5 | 1370.5 | 1153.9 |
| 3//5       | 1229.8 | 1287.0 | 1287.8 | 724.2 | 1258.8 | 1005.6 |
| 3//1       | 1076.0 | 1008.5 | 1122.1 | 776.8 | 1099.1 | 892.6 |
| 3//4       | 1193.0 | 1179.3 | 1165.5 | 670.8 | 1179.3 | 925.0 |
| Mean      | 1260.9 | 1207.4 | 1221.2 | 800.1 | 1241.0 | 1003.7 |
| SED (df)  | -    | -   | 92.8** (0.05) | -    | 115.1** (0.67) | -   |
| Year (1)  | -    | -   | -    | -    | -    | -    |
| Irrigation (1) | 115.1** (0.67) | -    | 36.32** (0.001) | -    | 115.1** (0.67) | -   |
| Genotype (4) | 118.5* (0.05) | 31.31** (0.001) | -    | 118.5* (0.05) | -    | -    |
| Irr. x Gen. (4) | 189.0* (0.90) | 53.74** (0.001) | -    | 189.0* (0.90) | -    | -    |
| Year x Gen. (4) | -    | -    | -    | -    | -    | -    |

N.B: ***denotes P<0.001; **P<0.01 and *P<0.05 significance levels; ns = not significant

(SED) Standard error of differences of the means, (df) Degree of freedom

Regression analysis showed a trend for positive relationship between grain yield and biomass in 2017 under both irrigated (R$^2$=0.61; P=0.12) and unirrigated conditions (R$^2$=0.48; P=0.20; Figure 1a). In 2018, the relationship was significant under irrigated but not under unirrigated condition (R$^2$=0.77; P=0.05
and $R^2=0.54$; $P=0.16$, respectively; Figure 1b). For the cross-year mean, a significant positive correlation was also found under irrigated ($R^2=0.76$; $P=0.05$), but not under unirrigated conditions ($R^2=0.43$; $P=0.23$; Figure 1c).

![Figure 1: Linear regressions of grain yield (GY; g m$^{-2}$) on biomass dry matter (BMD; g m$^{-2}$) for 5 genotypes of barley in (a) 2017, (b) 2018 and (c) cross-year mean under irrigated and unirrigated conditions](image)

### 3.3 Harvest Index (HI)

Harvest index was not changed significantly ($P=0.40$) in 2017, while, in 2018, it was increased from 27.4 to 32.5 ($P=0.01$) in 2018, and from 26.6 to 28.8 ($P=0.03$) for the cross-year mean. Genotypes were ranged from 22.1 (3//14) to 28.0 (3//5) under irrigated conditions, and 21.7 (3//14) to 27.5 (3//18) under unirrigated conditions ($P=0.05$) in 2017. In 2018, genotypes did not significantly differ ($P=0.45$), while for the cross-year mean, genotypes differed in the range 25.1 (3//14) to 28.0 (3//1) under irrigated conditions, and 25.9 (3//14) to 31.2 (3//1) under unirrigated conditions ($P=0.04$). Both years and cross-year mean ($P=0.12$, $P=0.30$ and $P=0.44$, respectively) was not affected by interaction between irrigation and genotype (Table 4).

#### Table 4: Harvest index (HI) under irrigated and unirrigated conditions for 5 genotypes of barley in 2017, 2018 and cross-year mean.

| Genotypes | 2017 | | | | | 2017-18 |
|-----------|------|------|------|------|------|------|
|           | Irrigated | Unirrigated | Irrigated | Unirrigated | Irrigated | Unirrigated |
| 3//18     | 24.7     | 27.5   | 27.2 | 31.3 | 25.9 | 29.4 |
| 3//14     | 22.1     | 21.7   | 28.2 | 30.1 | 25.1 | 25.9 |
| 3//5      | 28.0     | 22.2   | 26.5 | 33.1 | 27.2 | 27.7 |
| 3//1      | 27.0     | 25.6   | 27.2 | 33.3 | 28.0 | 31.2 |
| 3//4      | 25.9     | 25.3   | 27.9 | 34.8 | 26.9 | 30.0 |
| Mean      | 25.5     | 24.5   | 27.4 | 32.5 | 26.6 | 28.8 |

| SED (df) | Year (1) | - | - | 0.894** (0.001) |
|          | Irrigation (1) | 1.082** (0.40) | 0.973** (0.01) | 0.728* (0.03) |
|          | Genotype (4) | 1.503* (0.05) | 1.286** (0.45) | 0.989* (0.04) |
|          | Irri. x Gen. (4) | 2.187ns (0.12) | 1.896** (0.30) | 1.447** (0.44) |
|          | Year x Gen. (4) | - | - | 1.537** (0.27) |

N.B: ***denotes $P<0.001$; **$P<0.01$ and *$P<0.05$ significance levels; ns = not significant

(SED) Standard error of differences of the means, (df) Degree of freedom
3.4 Plant height (PH; cm)

Plant height was not affected by drought in 2017 (P=0.42). However, in 2018, drought decreased Plant height (PH) from 67.0 to 60.3 cm (P=0.01), and from 83.3 to 77.9 cm (P=0.05) for the cross-year mean. Genotypes were not significantly different in both years and cross year mean (P=0.83, P=0.44 and P=0.41, respectively). The interactions between irrigation and genotype was also not significant in both years and cross-year mean (P=0.99, P=0.82 and P=0.95, respectively; Table 5).

Table 5: Plant height (P.H) under irrigated and unirrigated conditions for 5 genotypes of barley in 2017, 2018 and cross-year mean.

| Genotypes | 2017 Irrigated | 2017 Unirrigated | 2018 Irrigated | 2018 Unirrigated | 2017-18 Irrigated | 2017-18 Unirrigated |
|-----------|----------------|-----------------|----------------|-----------------|------------------|-------------------|
| 3//18      | 99.6           | 96.2            | 67.2           | 61.2            | 83.4             | 78.7              |
| 3//14      | 102.6          | 98.6            | 69.0           | 64.6            | 84.8             | 81.6              |
| 3//5       | 99.1           | 95.8            | 64.3           | 56.4            | 81.9             | 74.5              |
| 3//1       | 97.3           | 94.6            | 67.4           | 58.2            | 82.4             | 76.4              |
| Mean       | 99.6           | 95.6            | 67.0           | 60.3            | 83.3             | 77.9              |

SED (df)

|                  | 2017 | 2018 | 2017-18 |
|------------------|------|------|---------|
| Year (1)         |      |      | 1.483** (0.001) |
| Irrigation (1)   | 4.37 (0.42) | 1.242** (0.01) | 2.27* (0.05) |
| Genotype (4)     | 4.41 (0.83) | 3.051 (0.44) | 2.683 (0.41) |
| Irri. x Gen. (4) | 7.09 (0.99) | 4.054 (0.82) | 4.083 (0.95) |
| Year x Gen. (4)  |      |      | 3.703 (0.27) |

N.B. ***denotes P<0.001; **P<0.01 and *P<0.05 significance levels; ns = not significant

Biomass dry matter showed a strong positive linear relationship with plant height amongst genotypes in 2017 under unirrigated conditions (R²=0.88; P=0.02), but not under irrigated conditions (R²=0.63; P=0.11; Figure 2a). In 2018, there was no significant relationship between biomass dry matter and plant height under irrigated (R²=0.54; P=0.16) and unirrigated (R²=0.51; P=0.17; Figure 2b) conditions. Averaging across years, however, a strong positive relationship was found under both irrigated (R²=0.89; P=0.02) and unirrigated (R²=0.97; P=0.003; Figure 2c) conditions.

Figure 2: Linear regressions of biomass dry matter (BMD; g m⁻²) on plant height (PH; cm) for 5 genotypes of barley in (a) 2017, (b) 2018 and (c) cross-year mean under irrigated and unirrigated conditions.
4. Discussions

Drought stress might fluctuate across years, and consequently might affect barley at different growth stages, therefore, the high drought stress severity, the more grain yield decreased [21]. In this study, drought stress had no significant effects on grain yield, biomass dry matter and plant height in 2017 due to the time drought stress occurred when plants arrived late grain-filling stage. However, in 2018, drought occurred between anthesis and early grain-filling stages. Therefore, drought significantly reduced grain yield, harvest index and plant height in 2018 and for the cross year mean. This reduction in grain yield may mostly due to the effect of water stress on grain related traits at early grain-filling duration such as grain weight and number of grains [22 and 23]. It has been confirmed by previous studies that water stress leads to growth reduction which is reflected on plant height, leaf area, dry weight, and other growth functions [24, 25 and 26]. However, grain yield reduction was ranged from 8.8-16.3% in this study, while 49–87% is expected under severe drought stress [3 and 27]. Under optimal conditions (fully irrigated), a significant relationship was found between grain yield and biomass dry matter, while under water stress the relationship was weak. This may relate to the effect of post-anthesis drought stress when plant biomass growth has reached the maximum level [28]. Indeed, during the stressed condition the relationship between sink and the source of biological product will be shifted and not constitute normal schedule [29]. Averaging over years, a strong correlation was found between plant height and biomass dry matter due to the high contribution of plant height in increasing plant biomass [30 and 31]. Therefore, biomass dry matter and plant height can be used as selection criterion for grain yield performance under drought conditions. Worldwide, some agronomic and morphological traits have been tested for drought tolerance, including grain yield [32], biomass dry matter [33 and 34].

5. Conclusions

The results of this study indicated that drought stress has significantly affected grain yield and all of the yield components. However, the rate of grain yield loss was between (8.8-16.3%) averaging over years, while the reduction is expected to be (49–87%) under severe drought stress [3 and 27]. Generally, Garman region climate can be more appropriate for barley production compared to other field crops in Kurdistan and Iraq, and improving new variety from local genetic materials through a breeding program is necessary. Genotype (3/1) is therefore highly suggested to be more screened and improved for a better yield performance under this arid and semi-arid climate condition.

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