Understanding the Mechanism of Diels–Alder Reactions with Anionic Dienophiles: A Systematic Comparison of \([ECX]^-\) (\(E = P, As; X = O, S, Se\)) Anions

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ABSTRACT: While Diels−Alder (DA) reactions involving neutral or cationic dienophiles are well-known, the characteristics of the analogous reactions with anionic dienophiles are practically unexplored. Herein we present the first comparative computational investigations on the characteristics of DA cycloadditions with anionic dienophiles on the basis of the reactions of \([ECX]^-\) anions (\(E = P, As; X = O, S, Se\)) with 2\(\text{H}\)−pyran−2−one. All of these reactions were found to be both kinetically and thermodynamically feasible, enabling synthetic access toward 2−phosphaphenolate and arsaphenolate derivatives in the future. This study also reveals that the \([ECO]^-\) anions show clear regioselectivity, while for \([ECS]^-\) and \([ECSe]^-\) anions, the two possible reaction channels have very similar energetics. Additionally, the activation barriers for the \([ECO]^-\) anions are lower than those of the heavier analogues. The observed differences can be traced back to the starkly differing nucleophilic character of the pnictogen center in the anions, leading to a barrier-lowering effect in the case of the \([ECO]^-\) anions. Furthermore, analysis of the geometries and electron distributions of the corresponding transition states revealed structure−property relationships, and thus a direct comparison of the cycloaddition reactivity of these anions was achieved. Along one of the two pathways, a good correlation was found between the activation barriers and suitable nucleophilicity descriptors (nucleophilic Parr function and global nucleophilicity). Additionally, the tendency of the reaction energies can be explained by the changing aromaticity of the products.

INTRODUCTION

During the past decade, the chemistry of the 2−phosphaethynolate ([PCO]−) anion has gained significant interest from both the experimental and theoretical points of view. On the basis of its first documentation by Becker et al. in 1992, this anion was synthesized in the form of a lithium salt, Li[OCP]. Even though the potential of low−coordinate phosphorus compounds was clear at that time, the chemistry of the [PCO]− anion remained practically unexplored for a long time, most likely because of the low stability of the lithium salt. Later on, Grützmacher and co-workers developed a convenient synthetic route to accessing the sodium salt, Na[OCP], which is stable as a dioxane adduct at room temperature and even at higher temperature under an inert atmosphere. The sodium cation, further counterions have been tested; however, in practice, the sodium salt remains the most frequently used analogue, especially because of its simple and efficient synthesis in large amounts. Consequently, a plethora of thorough experimental and theoretical studies have been devoted to the properties and reactivity of the [PCO]− anion, underlining that this simple anion has become an important building block, especially in low-coordinate phosphorus chemistry. On the basis of these investigations, besides nucleophilic substitutions and P−transfer reactions, cycloadditions are also of high importance, in which various P heterocycles can be accessed in a straightforward manner.

The first cycloadditions involving the [PCO]− anion have demonstrated that it reacts with diphenylketene and a bulky carbodiimide (Dipp−N==C==N−Dipp, where Dipp = 2,6-diisopropylphenyl) in a formal [2 + 2] cycloaddition reaction, leading to four−membered heterocycles (Scheme 1). Expanding this scope, versatile [2 + 2], [3 + 2], and [4 + 2] cycloaddition reactions have been described in which the reaction partners were, e.g., tetracyclone or activated alkynes (typically with ester functionality). The experimental work also triggered computational interest in the mechanisms...
of these cycloaddition reactions. Recent studies reported the synthesis of aza- and diazaphosphabenzenes using tri- and tetrazines along with a phosphophenate analogue from methyl coumalate. Importantly, cycloadditions utilizing the $[\text{PCO}]^-$ anion can also deliver annulations; for example, the 2-phosphanaphth-3-olate framework has been successfully accessed from phthalazine (Scheme 1). Very recently, this methodology even enabled the construction of “depolymerizable” coordination polymers. Although several heterocycles can be obtained using the $[\text{PCO}]^-$ anion, these reactions typically proceed rather in a stepwise manner (via consecutive nucleophilic attacks) instead of following a concerted mechanism [such as in more conventional pericyclic reactions, e.g., Diels–Alder (DA) reactions].

Among the heterocycles synthesized using the $[\text{PCO}]^-$ anion, substituted 2-phosphophenols and their corresponding anions (2-phosphophenate) are of special importance. Not only can the parent 2-phosphophenate anion be obtained from $[\text{PCO}]^-$ and 2H-pyran-2-one but further heavily substituted analogues have also been reported, showing the functional group tolerance of this reaction. Importantly, these versatile 2-phosphophenoles have been utilized as ligands toward Cu$^+$/Au$^+$ centers, and they also allow for the synthesis of neutral chelating ligand systems for transition metals in low oxidation states (e.g., CuI, Pd$^{II}$, and Rh$^{II}$). Not surprisingly, the cycloaddition reactions of the $[\text{PCO}]^-$ anion clearly resemble those of phosphaalkynes (R-C≡P), which have also become useful synthons in organophosphorus chemistry during the last decades, well-documented by the rich chemistry of the tert-butyl analogue, BuC≡P. The reactions of phosphaalkynes with pyrones, leading to phosphabenzenes, are also known. Recently, the cycloaddition using the trimethylsilyl analogue (TMS-C≡P) enabled synthetic access to biphosphines (P analogues of bipyridine) and phosphaallines.

Several heavier congeners of the $[\text{PCO}]^-$ anion are also known, however, to a much lesser extent. Among these, the $[\text{PCS}]^-$ anion (the P analogue of the thiocyanate ion) was reported as a lithium salt by Becker and Hübler almost 3 decades ago. In contrast, further heavier $[\text{ECX}]^-$ analogues have been achieved synthetically only very recently. Indeed, the arsathyynolate ($[\text{AsCO}]^-$) anion was accessed in 2016, while the $[\text{AsCS}]^-$, $[\text{AsCSe}]^-$, and $[\text{PCSe}]^-$ anions were obtained only in 2018 by Goicoechea and co-workers. While the $[\text{PCS}]^-$ anion has interesting coordination properties as a ligand, most investigations on this anion are rather of theoretical nature (bonding analysis via computations and photoelectron spectroscopy). In general, to the best of our knowledge, the chemistry of the heavier $[\text{ECX}]^-$ anions is largely unexplored experimentally, except for the $[\text{AsCO}]^-$ anion, which reacts in cycloadditions with heteroallenes, such as an isocyanate (Dipp-N=C=O), a diphenylketene (Ph$_2$C=C=O), and a carbodiimide (Dipp-N=N-Dipp).

Being aware of the well-documented potential of the $[\text{PCO}]^-$ anion in cycloadditions, we have become interested in whether similar properties can be expected for the heavier analogues as well. This has prompted us to investigate systematically the reactivity of the so-far-known P and As analogue anions with the formula $[\text{ECX}]^-$ (E = P, As; X = O, S, Se) in a prototype cycloaddition with a synthetically useful diene, the 2H-pyran-2-one (Scheme 2). In this computational study, we have also included the neutral model species MeC≡P (Me = CH$_3$ or methyl) as a reference. Our main goals are to systematically compare the cycloaddition reactivity of the investigated $[\text{ECX}]^-$ anions on the basis of the activation barriers and reaction energies to establish structure-reactivity relationships. Furthermore, we target to gain a conceptual understanding of the factors influencing the energetics and regioselectivity of these model reactions using reaction descriptors, such as global and local nucleophilicity, charge transfer, and asynchronicity. Because the outcomes of these reactions are further new phospha- and arsaphenolate analogues, our calculations may also help in the planning of the experimental work (in terms of the reaction conditions and expected regioselectivity).

**RESULTS AND DISCUSSION**

**General Description of the Reaction Profiles.** The reaction of 2-pyrene with an $[\text{ECX}]^-$ anion or MeC≡P can take place via two different reaction pathways (RPs), leading to constitutional isomeric intermediates INT(A) and INT(B) (Scheme 3). In the following, we will refer to these two pathways as RP(A) and RP(B). In RP(A) (Scheme 3, red), the...
Scheme 3. Two Possible Pathways, RP(A) (Red) and RP(B) (Blue), for the DA Reaction of [ECX]− Anions with 2H-Pyran-2-one, Followed by a rDA Step, Leading to CO2 and an Anionic Pnicatophenolate Derivative

Table 1. Calculated Relative Energies Compared to the Separated Reactants (Dienophile + 2-Pyrene) for Both Steps along RP(A) and RP(B) (kcal·mol⁻¹; Figure 1) ∗

| dienophile (pathway) | RC | TS1 | INT | TS2 | PC | PRO (ΔEᵦᵢ) | ΔEᵦ ¹ | ΔEᵦ ² |
|----------------------|----|-----|-----|-----|----|-------------|-------|-------|
| [PCO]⁻ (A)           | −14.9 − 3.4 | 4.1 16.2 | −14.0 − 1.5 | −0.4 14.5 | −39.4 − 26.9 | −31.4 − 23.4 | 19.0 16.2 | 13.6 16.0 |
| [PCO]⁻ (B)           | −15.8 − 3.7 | 12.7 26.3 | −16.7 − 4.8 | 11.4 25.4 | −36.8 − 25.1 | −28.5 26.3 | 28.1 30.2 |
| [PCS]⁻ (A)           | −15.3 − 3.9 | 10.0 21.2 | −18.7 − 7.6 | −0.9 12.4 | −46.5 − 38.6 | −25.3 21.2 | 17.8 20.0 |
| [PCS]⁻ (B)           | −13.9 − 4.2 | 12.0 22.9 | −23.4 − 12.1 | 1.9 14.1 | −45.2 − 36.1 | −25.9 22.9 | 25.3 26.1 |
| [PCSe]⁻ (A)          | −13.5 − 4.1 | 11.4 22.1 | −20.6 − 10.0 | −1.5 11.4 | −49.6 − 42.6 | −24.9 22.1 | 19.1 21.4 |
| [PCSe]⁻ (B)          | −13.5 − 4.1 | 10.8 21.0 | −25.6 − 14.6 | −1.3 10.4 | −49.6 − 42.6 | −24.2 21.0 | 24.3 25.1 |
| [AsCO]⁻ (A)          | −14.3 − 3.3 | 4.1 15.7 | −14.3 − 2.1 | 2.4 17.1 | −34.1 − 23.5 | −20.9 − 20.3 | 25.0 23.0 | 30.0 32.9 |
| [AsCO]⁻ (B)          | −14.9 − 4.1 | 10.1 23.0 | −18.5 − 7.1 | 11.5 25.8 | −34.5 − 23.1 | −18.4 15.7 | 16.6 19.2 |
| [AsCS]⁻ (A)          | −13.6 − 4.3 | 9.0 19.4 | −19.5 − 9.3 | 1.0 13.3 | −45.2 − 37.1 | −29.1 − 20.3 | 25.0 23.0 | 30.0 32.9 |
| [AsCS]⁻ (B)          | −14.9 − 4.1 | 9.2 19.7 | −25.6 − 15.1 | −1.0 12.8 | −44.0 − 36.5 | −39.2 − 34.0 | 22.5 19.4 | 20.4 22.5 |
| [AsSeC]⁻ (A)         | −13.3 − 3.1 | 10.8 19.7 | −21.6 − 11.9 | 0.2 12.0 | −48.6 − 41.7 | −42.7 − 38.6 | 24.1 19.7 | 21.7 23.9 |
| [AsSeC]⁻ (B)         | −13.3 − 4.3 | 8.0 18.0 | −28.0 − 17.8 | −2.5 8.7 | −47.3 − 41.0 | 21.3 18.0 | 25.4 26.5 |
| P≡C–Me–P (A)        | −5.7 − 3.6 | 15.1 17.9 | −21.8 − 17.6 | 2.4 7.2 | −55.8 − 55.3 | −57.0 − 52.7 | 15.1 17.9 | 24.2 24.7 |
| P≡C–Me–P (B)        | −5.7 − 3.6 | 15.1 17.1 | −24.5 − 20.8 | −0.9 2.7 | −55.8 − 55.7 | 15.1 17.1 | 23.7 23.5 |

The values in boldface and italics present the DF-CCSD(T)/aug-cc-pVTZ and M06-2X/aug-cc-pVTZ(PCM=THF) levels, respectively. ΔEᵦ ¹ and ΔEᵦ ² represent the absolute activation barriers of the first and second steps, respectively (for details, see the main text).

The relative energies of the stationary points belonging to the two-step RPs are presented in Table 1 (for selected reaction profiles, see Figure 1), and the corresponding Gibbs free energy profiles can be found in Table S1. Because our main goal is a comparison of the [ECX]− anions and the entropy effects are very similar for the investigated reactions, in the following, we discuss the relative (electronic) energies instead of the Gibbs free energies (as is commonly employed in the literature of DA reactions).58–61 The polarizable continuum model (PCM) calculations were carried out using tetrahydrofuran (THF) as the solvent because THF (among other ethereal solvents such as dimethoxyethane or dioxane) is commonly employed as a moderately polar reaction medium.

On the basis of our initial calculations, both pathways of the [PCO]− anion proceed in two concerted steps (Scheme 3), which is in good accordance with a previous study.60 Then we extended the investigation to the other P- and As-containing [ECX]− anions and MeC≡P, assuming a similar mechanism, and we located the relevant stationary points corresponding to reactant and product complexes (RC and PC, respectively), intermediates (INT), and products (PRO), as well as the transition states (TS1 and TS2) connecting them. Besides these two routes, we have explored further possibilities, in which the DA reaction is not in a concerted, but stepwise manner (that is, exclusively the pnictogen center attacks pyrone in a completely asynchronous manner); however, these remained unsuccessful after several attempts.

First, several density functional theory (DFT) and ab initio methods were evaluated; for computational details and considerations on the applied methods see the Computational Methods section. On the basis of this testing procedure, we selected the M06-2X/aug-cc-pVTZ level of theory because this gave relative energies similar to those of the DF-CCSD(T)/aug-cc-pVTZ level (the average difference: ΔE = 3.1 kcal·mol⁻¹).

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In general, the results obtained in a vacuum (without solvent effects) and employing PCM follow similar trends, but the actual relative energies differ remarkably. These differences can be attributed to the differing strengths of the interactions (in the absence or presence of the shielding effect of the solvent).

In the case of PCM calculations, the RCs have only moderate relative stability ranging from $\Delta E_{\text{rel}} = -3$ to $-4$ kcal·mol$^{-1}$, and thus the formation of these complexes is thermodynamically disfavored ($\Delta G_{\text{rel}} > 0$ kcal·mol$^{-1}$; Table S2); therefore, the formation of the RCs becomes thermodynamically favored ($\Delta G_{\text{rel}} < 0$; Table S2). Hence, in a vacuum, it is more feasible to set the zero point of the energy profiles to the RCs instead of the separated reactants. Consequently, the first activation barriers are very similar in a vacuum and using PCM (the difference is below 4 kcal·mol$^{-1}$; Table 1). The formation of the intermediates (INT) is exothermic in all cases; thus, the second activation barriers are relative to the energies of the intermediates. Because the results using PCM clearly give a more realistic and practically useful picture about the energetics of the reactions conducted in the solution phase (with a moderately polar solvent), in this section, we will focus on these results in detail (Table 1).

The energy profiles of three selected examples are presented in Figure 1. Besides the neutral reference dienophile (MeC$\equiv$P, red), we also highlight the two extremes of the profiles involving anionic species: those with the highest and lowest $\Delta E_{\text{1}^\ddagger}$ barriers, which are RP(B) of [PCO]$^-$ and RP(A) of [AsCO]$^-$, respectively. Because both extremes are considered, all of the remaining profiles can be placed between these two.

The first activation barriers ($\Delta E_{\text{1}^\ddagger}$) encompass a rather wide range between 15.7 and 26.3 kcal·mol$^{-1}$, and both reactions involving MeC$\equiv$P have rather similar barriers ($\Delta E_{\text{1}^\ddagger} \approx 17$ kcal·mol$^{-1}$). Importantly, the first transition state (TS1) determines the regioselectivity of these reactions, that is, whether the RP(A) or RP(B) path is favored kinetically.
corresponding first barriers along the two pathways are less significant (0.3–1.7 kcal·mol⁻¹). Furthermore, in the case of Se analogues \([\text{ECSe}]^−\), the kinetically preferred route is RP(B). Because of its importance, the detailed analysis of the first barriers can be found in a separate section below.

The TS1s are followed by bicyclic intermediates (INT), which are more stable along RP(B) than along RP(A). Furthermore, the stability of the intermediates is rather different for the neutral and anionic dienophiles. While the neutral intermediates derived from MeC\(_2\Pi\) have rather high relative stability \((\Delta E_{\text{rel}} = −17.6/−20.8 \text{ kcal·mol}^{-1})\), the analogues formed from the \([\text{PCO}]^−\) and \([\text{AsCO}]^−\) anions are less stable \((e.g., \Delta E_{\text{rel}} = −4.8 \text{ and } −2.1 \text{ kcal·mol}^{-1})\), respectively; Figure 1). Compared to the latter, the S- and Se-containing intermediates are significantly more stable: The stability of the intermediates clearly increases in the order \(X = \text{O} < \text{S} < \text{Se}\), and it also depends slightly on the type of pnictogen; the As-containing intermediates are more stable than those with P \((by \ 0.6 \text{ kcal·mol}^{-1})\). A consequence of this is that the intermediates \(\text{AsCSe}^−\) and \([\text{AsCO}]^−\) are more stable than the separated reagents, in most cases the 

\[ \text{RP}(\text{B}) \], being almost as stable as the intermediates obtained from the neutral MeC\(_2\Pi\). In the second reaction step, the bridged bicyclic intermediates undergo a retro-Diels–Alder (rDA) reaction, leading to anionic pnictaphenolate analogues accompanied by the elimination of carbon dioxide \((\text{CO}_2)\). The relative energies of the corresponding TSs are mostly rather similar but differ remarkably in two cases \([\text{PCO}]^−\) and \([\text{AsCO}]^−\) along the two pathways. In most cases, the second transition state (TS2) lies at lower energy than TS1 (the exceptions are both pathways of \([\text{AsCO}]^−\)). However, because the intermediates are more stable than the separated reagents, in most cases the second barrier \((\Delta E_{\text{f1}})\) typically exceeds the first one \((\Delta E_{\text{f2}})\). Nevertheless, if the entropy factors are also taken into account, clearly the first step can be considered to be rate-determining \((\text{larger activation Gibbs free energies; Table S2})\). Again, in the \(O < S < \text{Se}\) order, a trend can be observed for the \(\Delta E_{\text{f2}}\) barriers: in the case of RP(A), a gradual increase is observed, while for RP(B), a decrease is observed. Following TS2, tightly bound PCs of the pnictaphenolate anion and \(\text{CO}_2\) form and are only slightly more stable in \((\text{electronic})\) energy than the separated products themselves \((\text{approximately by } 3 \text{ kcal·mol}^{-1})\). All reaction sequences are highly exothermic, offering the thermodynamic driving force for the reaction.

**Experimental Considerations.** On the basis of these reaction profiles and the available experimental data on the \([\text{PCO}]^−\) anion, predictions can be targeted regarding the heavier analogues. The rate-determining barrier for the \([\text{PCO}]^−\) anion is only slightly higher than 15 kcal·mol⁻¹ \((\text{in the favored RP(A)}, \text{being in nice agreement with the observation that} \text{this reaction proceeds at room temperature or using slight warming})\). Furthermore, the analogous reaction of the phosphaalkyne, ‘BuC\(_2\Pi\)P, was carried out at 120 °C. Additionally, we calculated the first activation barriers for the reaction of ‘BuC\(_2\Pi\)P with pyrone, which were found to be 18.7 and 17.4 kcal·mol⁻¹ for RP(A) and RP(B), respectively \((\text{at the M06-2X/aug-cc-pVTZ\(\text{(PCM=THF)}\)} \text{level of theory})\). This is in line with the higher experimental reaction temperature \((120 °C)\).

Because the activation barrier for the favored RP(A) path of the \([\text{AsCO}]^−\) anion \((15.7 \text{ kcal·mol}^{-1})\) is rather similar to that of \([\text{PCO}]^−\), the reaction of the former can be expected to take place under similar conditions. Compared to the \([\text{ECO}]^−\) anions, the reactions of the remaining S- and Se-containing anions are expected to proceed at significantly higher temperature \((21.0 \text{ kcal·mol}^{-1})\). Nevertheless, the As analogues may react more easily compared to the corresponding P analogues because of their lower first barriers. In general, the rate-determining barriers are just slightly higher than those for the ‘BuC\(_2\Pi\)P analogue; therefore, at an appropriate temperature, all of these anionic heterocycles can be considered to be accessible, employing any member of the \([\text{ECX}]^−\) family.

The DA reactions of the \([\text{PCO}]^−\) and \([\text{AsCO}]^−\) anions are highly regioselective; however, for the parent 2-pyrone, this is only of “theoretical” nature because both RPs result in the same pnictaphenolate anion. Using substituted 2-pyrones and the \([\text{PCO}]^−\) ion, this regioselectivity has experimental evidence, demonstrating exclusive formation of the products in which the P center attacks the most electrophilic C6 center of 2-pyrene. This route is in our case RP(A); therefore, these findings are in accordance with the significantly lower activation barrier along this route.

Furthermore, the regioselectivity of the DA reactions has been studied extensively using Me\(_5\))Si\(_2\Pi\)P and a deuterium-labeled 2-pyrene or 6-halo-2-pyrones. In the case of deoutero- or chloro-substituted pyrones, the formation of both regioisomers proves that the reaction channels (A and B) are competitive, being in nice agreement with our model calculation for the MeC\(_2\Pi\) dienophile. In contrast, the sterically more demanding bromo substituent leads to the blockage of one of the reaction paths, resulting in the clean formation of only one of the isomers.

**Activation Energy Barrier of the DA Step.** The most remarkable difference between the reactivity of the pnictogen-containing dienophiles can be outlined by investigating the first DA cycloaddition step. To the best of our knowledge, the characteristics of the DA reactions involving anionic dienophiles have not been studied until now. Likely, this can be traced back to two main reasons: On the one hand, suitable anionic dienophiles with tunable properties have become accessible only very recently \((\text{see the Introduction})\). On the other hand, conjugated dienes are substantially electron-rich \((\text{even those with electron-withdrawing groups})\); therefore, the diene–anion interactions are dominated by electrostatic repulsion between the two electron-rich fragments. Thus, these types of DA reactions are generally hard to access; therefore, this deserves a closer inspection.

Thorough investigations focusing on the description of DA reactions involving neutral or cationic dienophiles can be found in the literature. Classical, the DA-type cycloadditions can be understood based on the frontier molecular orbital (FMO) theory and, therefore, possible orbital interactions between the FMOs \((\text{highest occupied molecular orbital (HOMO)}\) and lowest unoccupied molecular orbital (LUMO) \) of the dienes and dienophiles should be analyzed. In the so-called normal-electron-demand (NED) DA reaction, the most significant stabilizing interaction arises between the LUMO of the diene and the HOMO of the diene. In contrast, if the determining interaction is between the HOMO of the diene and the LUMO of the diene, the DA reaction is considered to be inverse-electron demand (IED). Additionally, in the rarely observed case called the neutral DA reaction, both of the two possible HOMO–LUMO interactions are of
Global Nucleophilicity. In the case of our investigated reactions, the HOMO of the diene (pyrone) is rather stabilized ($\epsilon_{\text{HOMO}} = -8.4$ eV) and the LUMOs of the anions are substantially destabilized ($\epsilon_{\text{LUMO}} > 0$ eV). On the contrary, pyrone has a LUMO energy of $-1.1$ eV, and the HOMO energies of the anions are in the range of $\epsilon_{\text{HOMO}} = -1.44$ to $-1.90$ eV (Table 2). Thus, the favored orbital interaction arises between the HOMO of the anion and the LUMO of pyrone; hence, these reactions can be considered to be of the IED type. In contrast to the [ECX]$^-$ anions, in the case of the neutral MeC≡P, both HOMO–LUMO interactions are substantial (IED gap, 7.6 eV; NED gap, 8.4 eV), leading to a neutral type.

First, we discuss the effect of the HOMO energy of the dieneophiles on the first activation barriers. However, instead of the HOMO energies, we apply the global nucleophilicity index ($N$) introduced by Domingo et al.,

$$\text{Global Nucleophilicity = } \text{HOMO energy of the diene} - \epsilon_{\text{LUMO}}.$$ 

Importantly, the pathways RP(A) and RP(B) show rather different tendencies (Figure 2).

In the case of the reactions along RP(A), the activation barriers ($\Delta E_i^R$) correlate nicely with the global nucleophilicities ($R^2 = 0.91$; Figure 2). This trend is in accordance with the change of the IED gap between the HOMO of the actual dieneophile and the LUMO of 2-pyrene (the higher the HOMO energy of the anion lies, the larger the $N$ index is). The decrease in the IED gap results in larger orbital stabilization, and, consequently, the global nucleophilicity of the anions has a barrier-lowering effect on the first step of RP(A). Thus, in the anionic DA reactions, the nucleophilicity of the dieneophile governs the activation barriers, which complements the observations on NED DA reactions using neutral or cationic dieneophiles, where the global electrophilicity parameter of the dieneophile correlates with the activation barrier.66

In stark contrast to RP(A), the first activation barriers for the (typically unpreferred) RP(B) routes show lower variation as well as a reversed tendency. Importantly, among these points, two parallel trends can be observed depending on the pnictogen: the upper (red squares) and lower (red triangles) lines belong to the P and As analogues, respectively. Again, this separation between the pnictogen centers can be explained using the global nucleophilicity: the HOMO of the [AsCX]$^-$ anions lies at slightly higher in energy compared to that of the corresponding [PCX]$^-$ anions (Table 2), leading to better orbital interaction with pyrone (thus, the activation energies are lower for the As analogues). However, the nucleophilicity of the anions gives no explanation for the rising trend of the activation barriers along RP(B).

Further Descriptors: Parr Functions, Global and Local Charge Transfer, and Asynchronicity. Because the global nucleophilicity does not clarify the remarkable difference between the RP(A) and RP(B), the local properties of the reactants have to be taken into account as well. Hence, we calculated the natural population analysis (NPA) charges, as well as the nucleophilic and electrophilic Parr functions of the anions and pyrone, respectively (Table 3 and Figure 3), to account for the electrostatic and nucleophilic–electrophilic orbital interactions. In order to quantify the effects of the heteroatoms, we investigated the charge transfer (CT) and asynchronicity ($A_{ij}$) in the TS1s (Table 4). In the following, we give a brief summary of the applicability of these parameters to assist the reader.

![Figure 2](https://doi.org/10.1021/acs.inorgchem.2c00549)

**Figure 2.** Plot of $\Delta E_i^R$ versus $N$ for the dieneophiles. The squares and triangles represent the pnictogens P and As, respectively. The blue and red colors represent the pathways RP(A) and RP(B), respectively.
Table 3. NPA Partial Charges (q, e) and Nucleophilic Parr Function Values ($P_k^-$) Calculated at the M06-2X/aug-cc-pVTZ Level of Theory for the $[\text{ECX}]^-$ Anions and MeC\equiv\text{P}

| dienophile | q | E | C | X | $P_k^-$ | E | C | X |
|------------|---|---|---|---|---------|---|---|---|
| [PCO]$^-$ | -0.45 | 0.14 | -0.69 | 0.81 | -0.04 | 0.23 |
| [PCS]$^-$ | -0.01 | -0.73 | -0.26 | 0.69 | -0.22 | 0.53 |
| [PCSe]$^-$ | 0.08 | -0.83 | -0.25 | 0.54 | -0.32 | 0.77 |
| [AsCO]$^-$ | -0.49 | 0.17 | -0.68 | 0.82 | 0.00 | 0.18 |
| [AsCS]$^-$ | -0.04 | -0.75 | -0.21 | 0.73 | -0.15 | 0.42 |
| [AsCSe]$^-$ | 0.06 | -0.87 | -0.20 | 0.66 | -0.32 | 0.65 |
| P\equiv\text{CMe} | 0.52 | -0.53 | 0.69 | 0.20 |

Figure 3. NPA partial charges (e) and electrophilic Parr functions ($P_k^-$, boldface) of 2H-pyran-2-one at the M06-2X/aug-cc-pVTZ level of theory.

In Table 3, the NPA partial charges and Parr function values are reported for various dienophiles reacting with the $[\text{ECX}]^-$ anions and MeC\equiv\text{P}. These values provide insights into the electron density distribution and the nature of the chemical reactions.

**Parr Functions and NPA Charges.** The Parr functions quantify the local philicity of the reactive centers and are related to the contribution of these atoms to the appropriate FMO of the molecule (for nucleophilic Parr function, HOMO; for electrophilic Parr function, LUMO). Therefore, the Parr functions predict the favorable local nucleophilic–electrophilic orbital interactions arising between the two reactants, and, hence, the favored orientations can be identified. In contrast, the NPA charges can be used to map the electrostatic effects.

In pyrone, both reacting centers, C3 and C6, have electrophilic character (Figure 3), but C6 is clearly more electrophilic ($P_k^-$ = 0.54) than C3 ($P_k^-$ = 0.21). The partial charges on these centers are also markedly different because C6 is positively charged, while C3 is negatively charged. Among the two reacting centers of the anions (E and C), the pnictogen (E) is significantly more nucleophilic (larger $P_k^-$ values) than the C atom (Table 3). However, the NPA charges show a remarkable variation: For the $[\text{PCO}]^-$ and $[\text{AsCO}]^-$ anions, the negative charge is localized on the pnictogen center, while the C center is slightly positively charged. In contrast, in the S and Se analogues, the C centers are negatively charged and the pnictogens are practically neutral.

**Charge Transfer.** The global CT measures the transferred electron density from the anionic dienophiles to the pyrone, and therefore it is related to the strength of the nucleophilic–electrophilic orbital interactions between the reactants. The global CT can be decomposed to local CT terms ($\Delta q$): $CT = \sum \Delta q$. These $\Delta q$ values account for the transferred electron density by a certain atom and thus predict the local nucleophilic–electrophilic interactions (for details, see the Computational Methods section).

The global CT also has an important role in the classification of DA reactions, which was established for cycloaditions of cyclopentadiene with a series of substituted ethylenes and a small iminium cation. On the basis of the CT values, three groups of DA reactions can be considered: nonpolar (CT < 0.15 e), polar (0.15 e < CT < 0.40 e), and ionic (0.40 e < CT).

**Asynchronicity.** The classical DA cycloaditions (e.g., butadiene or cyclopentadiene with ethylene) are concerted processes, and thus the TSs of these reactions are highly synchronous. However, in asynchronous reactions (often called one step two stage), the evolution degree of the two new bonds is remarkably different. $A_p$ of the TSs also offers information on the localization of the interactions. The high $A_p$ is typically associated with the polar or ionic nature and is the result of a nonsymmetrical substitution pattern of the diene and/or dienophile.

In the following, we will discuss the Parr functions, NPA charges, and CT and $A_p$ parameters with respect to the RPs. First, we discuss a neutral reference (MeC\equiv\text{P}), for which the two activation barriers are very similar. Furthermore, the DA reactions with MeC\equiv\text{P} are close to the classical ones on the basis of the practically synchronous TS1 ($A_p \approx 0$) and its nonpolar character (CT ≈ 0 e). Compared to this neutral nucleophile, the reactions with anionic dienophiles show different characteristics in several aspects.

If the two pathways are compared for the $[\text{ECX}]^-$ anions, the Parr functions indicate a stronger local nucleophilic–electrophilic interaction when the E pnictogen center attacks the more electrophilic C6 center of pyrone, fulfilled along RP(A). Therefore, the Parr functions give a trend similar to that of the N indices (Figure S3). In accordance with the dominant nucleophilic–electrophilic interaction, all of the CT values are above 0.4 e; therefore, all of the DA reactions along RP(A) can be considered to be ionic.

Furthermore, the transferred electron density is mainly provided by the pnictogen centers [dominant $\Delta q(E)$ values], showing the localized nature of the interaction between the E–C6 centers. Similar to the N index, a good correlation can be found between the $\Delta E^z$ barriers and $\Delta q(E)$ values for the RP(A) pathways (Figure 4). Besides the local nucleophilicity, the
negative charge accumulated on the pnictogen center in the [ECO]− anions may also have a stabilizing effect because attractive electrostatic interactions arise between the reacting E···C6 centers. In agreement with the highly localized interactions and ionic character, the reactions are strongly asynchronous (ΔrA ≈ 0.80). The high ΔrA observed in the cases of the [ECO]− anions is a result of the rather strong nucleophilic–electrophilic interactions; however, for the S and Se analogues, this orbital interaction is less pronounced because of the lower Pn− values for these anions. In these cases, the significant ΔrA of the TS can be explained by the electrostatic repulsion between the C centers of the anions and the C3 center of the pyrone, which are both negatively charged (Table 3 and Figure 3).

In stark contrast to RP(A), along RP(B), the local nucleophilic–electrophilic (orbital) interactions are significantly weaker because the pnictogen centers (E) attack the much less electrophilic C3 center of the pyrone. Therefore, besides the orbital interactions, the electrostatic effects should also be taken into account for a qualitative explanation of the trend in Figures 2 and 4. Indeed, along RP(B) of the [ECO]− anions, the negatively charged pnictogen center attacks the C3 center of pyrone having a negative partial charge, which results in electrostatic repulsion between these centers, destabilizing the TS for these anions. In contrast, in the S-containing and especially the Se-containing anions, the pnictogen centers are rather neutral (no repulsion between the E···C3 centers). Additionally, the C of [ECS/Se]− is negatively charged, which leads to an electrostatic attraction with the C6 center of pyrone, thus stabilizing the TS. It is important to note that the differences in the activation barriers between the anions are much less significant along RP(B) than along RP(A), and the electrostatic interactions can be considered to be less dominant.

The weaker nucleophilic–electrophilic interactions along RP(B) [compared to RP(A)] can be further bolstered by the generally lower CT values and less asynchronous character of the TS structures (Table 4). The ΔrA values along RP(B) encompass a wider range (0.19−0.66), which can also be traced back to the importance of both electrostatic and nucleophilic–electrophilic interactions. The CT values along RP(B) also show larger variation (0.28−0.59), indicating generally weaker interactions between the reacting centers. Furthermore, the local CT values on the pnictogen centers are also similar along both pathways, and the transferred charge is practically provided exclusively by the pnictogen (Figure 4).

**Stability of the Products and rDA Step.** The final products, the pnictaphenolate analogues, are significantly more stable than the separated starting materials; thus, the reaction sequences are highly exothermic (ΔErxn = −30 to −50 kcal·mol−1). Clearly, the formation of stable CO2 and aromatic products ensures the thermodynamic driving force. On the basis of the varying reaction energies, the relative stability of the pnicaphenolate derivatives (phospha- and arsaphenolates) markedly differs from that of the neutral 2-methylphosphabenzenes from MeC=E=O·. While the reaction energies involving anionic species range between −29.1 and 43.7 kcal·mol−1, the formation of a neutral phosphabenzenes is more exothermic (−57.0 kcal·mol−1).

These differences can be explained by the differing aromaticities of the cyclic products. In order to quantify the aromaticity, we calculated the corresponding NICS(1) values (Table 5; for NICS(0), see Table S9). 2-Methylphosphabenzenes have a highly aromatic structure with a NICS(1) value of −9.2 ppm, which is similar to that of benzene [NICS(1) = −10.2 ppm].83,84 Similarly, 2-phosphaphenol also possesses

![Figure 4. Local CT (Δq) versus first activation barriers. The squares and triangles represent the pnictogens, P and As, respectively. The blue and red colors represent the pathways, RP(A) and RP(B), respectively.](http://example.com/image)

**Table 5. NICS(1) Values (ppm), Total Contribution (%) of the Aromatic and Nonaromatic Resonance Structures, Reaction Energies (ΔErxn kcal·mol−1), and HOMO Energies of the Pnicaphenolate Analogues**

| E   | X  | NICS(1)  | aromatic | nonaromatic | ΔErxn | εHOMO |
|-----|----|----------|----------|-------------|--------|-------|
| P   | O  | −4.5     | 26       | 40          | −31.4  | −1.9  |
| P   | S  | −4.8     | 31       | 12          | −40.4  | −1.8  |
| P   | Se | −5.0     | 47       | 12          | −43.7  | −1.8  |
| As  | O  | −4.4     | 15       | 35          | −29.1  | −1.8  |
| As  | S  | −4.5     | 41       | 15          | −39.2  | −1.9  |
| As  | Se | −4.8     | 41       | 13          | −42.7  | −1.8  |
| MeC=E=P | −9.2 | 70 | −57.0 | −8.0 |
significant aromatic character with a NICS(1) value of $-9.5$ ppm,\textsuperscript{12} showing that the hydroxy substituent has a negligible effect. In stark contrast, the anionic 2-npictaphenolates are less aromatic compared to the neutral congeners. This observation is in line with the higher relative stability of the neutral 2-methylphosphanbenzene (more exothermic reaction) compared to those of the anionic pnictaphenolates. Furthermore, NICS(1) shows a fair correlation ($R^2 = 0.77$) with the reaction energies ($\Delta E_{\text{rxn}}$; Figure S4); thus, the reaction energies of the investigated DA reactions can serve as a simple energetic measurement for the aromaticity of these species.

The lower aromaticity of the anionic pnictaphenolates derivatives is due to the substantial contribution of non-aromatic resonance structures (e.g., B in Figure 5), similar to the phenolate anion.\textsuperscript{85} The weights of the possible resonance structures were obtained by natural resonance theory (NRT) analyses (Tables S5 and S10).

![Figure 5. Representative examples for the aromatic (A) and nonaromatic (B) resonance structures of the phospha- and arsenaphenolate anions (E = P, As; X = O, S, Se).](image)

In the order $O > S > Se$, the NICS(1) values of the pnictaphenolates decrease, along with increasing aromaticity, which is in line with the more exothermic reaction energies. This trend is also in nice accordance with our NRT calculations, which show an increasing contribution of the aromatic resonance structures, peaking in the case of the neutral phosphabenzene (Table S5 and Figure S4).

Because the nonaromatic resonance structures contain an exocyclic $C=\pi$ bond, the change in the aromaticity can be scrutinized by the relative strength of these $\pi$ bonds. The bonding energies related to the $\pi$ contributions of the $C=O$, $C=S$, and $C=Se$ bonds are 88.0, 55.4, and 44.3 kcal$^{-1}$mol$^{-1}$, respectively (Scheme S1 and Table S13).\textsuperscript{86} Correspondingly, the strongest $\pi$ bond is in the $C=O$ moiety, which therefore has the lowest ability to take part in cyclic delocalization. This results in a higher contribution of nonaromatic resonance structures (B), which obviously decreases the aromaticity. In line with the decrease in the $\pi(C=\pi)$ bonding energy in the order of $O > S > Se$, the contribution of the nonaromatic structures decreases, and that of the aromatic structures increases.

TS2. Even though the rDA reactions are useful synthetic tools for the production of unsaturated compounds from bicyclic or cyclic compounds and are widely employed in the chemistry of 2-pyrones and cyclopentadienones,\textsuperscript{87,88} the rDA reactions are clearly less explored compared to the DA reactions.\textsuperscript{89,90} Utilizing the theory of microscopic reversibility,\textsuperscript{91,92} the rDA reactions are typically explained by the reverse route, that is, the reaction going backward from the products to the bicyclic intermediates. Thus, FMO theory can also be applied to understand the reactivity trends. On the basis of the diene character (corresponding to nonaromatic resonance structure B), we discuss the characterization of TS2 using the back-route analogy.

In these back-route reactions, the anionic pnictaphenolate species play the role of dienes and CO$_2$ acts as a dienophile. All of the 2-pnictaphenolates have very similar and high HOMO energies of around $-2$ eV (Table S5), and the LUMOs are also destabilized ($\approx2.3$ eV; Table S8). The HOMO of CO$_2$ is rather stabilized with an energy of $-12.6$ eV, and its LUMO lies at $-0.1$ eV. Therefore, the stabilizing orbital interaction arises between the HOMO of the diene and the LUMO of CO$_2$, so these reactions can be considered to be NED DA reactions.

Again, we obtained the same descriptors as those above for the DA step: NPA charges, nucleophilic and electrophilic Parr function values, the CT values, and the $A_{sy}$ values of the TSs (Table S8). On the basis of the CT and $A_{sy}$ values, the “anionic” and “neutral” routes again differ. With respect to the anions, the higher FMO gap, weaker nucleophilicity, and higher aromaticity of 2-methylphosphanbenzene acting as a diene leads to lower $A_{sy}$ [0.33 and 0.39 for RP(A) and RP(B), respectively] and moderate CT [RP(A), CT = 0.33 e; RP(B), CT = 0.39 e] values. In the cases of the anionic pnictaphenolates, both the $A_{sy}$ and CT values can be found in a relatively narrow range, exceeding those of the neutral counterpart: the CT values are practically the same (0.46–0.51 e; Table S8) for all of the anions, and the $A_{sy}$ values range between 0.53 and 0.63. This shows rather high ionic character as well as significant $A_{sy}$. Thus, because of the electronic similarity of the “dienes” (pnictaphenolates), the structural and electronic characteristics in TS2 are also very similar.

## CONCLUSION

Herein, we have shown a computational study on the DA reactivity of the so-far-known P and As analogues of [ECX]$^-$ anions to compare their cycloaddition activity and to clarify the fundamental aspects of the DA reactions involving anionic dienophiles.

In the cases of the [ECX]$^-$ anions (E = P, As; X = O, S, Se) and a neutral model compound (MeC=CO), two possible RPs (A and B) were studied. Along RP(A), the pnictogen center E attacks the more electrophilic (and positively charged) center of 2-pyrene, while in RP(B), it attacks the less electrophilic (and negatively charged) center. The electronic properties (HOMO energy, global nucleophilicity, and Parr functions) of the anions acting as dienophiles markedly influence the activation barriers. For RP(A), a good correlation was found between the barriers of the DA step and the global and local nucleophilicities, and the trend is reversed for RP(B). These observations can be explained by the differing nucleophilicities and charge distributions of the anions. Clearly, the chalcogen centers (X) have an important tailoring influence by influencing the local nucleophilicity of the pnictogen center (E). The high nucleophilicity of the O-containing analogues ([ECO]$^-$) causes a barrier-lowering effect in comparison with the heavier chalcogen-containing congeners.

Furthermore, the structural and electronic properties of the TS1s have been analyzed using $A_{sy}$ and global/local nucleophilicity indices. On the basis of these, we can characterize this anionic type of DA reaction: the anionic dienophile exhibits strongly localized nucleophilicity and the
ability to transfer a large amount of electron density toward the diene during the reaction, resulting in a highly asynchronous TS \((A_\nu > 0.5)\) combined with high CT values \((>0.5 \epsilon)\).

Additionally, the reaction energies of these DA reactions show a correlation with the aromaticity in the anionic pnictenophenate products: the lower the aromaticity is, the less exothermic the reaction sequence is. This effect is the most pronounced for the O-containing phospha- and arsaphenolates, which have the lowest aromatic character because of the high stability of the exocyclic C==O π bond.

Because all of the investigated reactions can be considered to be feasible, further new anionic and neutral 2-pnictenophenol analogues can be accessible in the future. Knowing the outstanding coordination properties of the phosphabenzenes and phosphaphenols, a similar potential may be expected for the heavier analogues as well, especially if the tailoring effects of the chalcogen and pnictogen atoms are considered.

### COMPUTATIONAL METHODS

In our study, we employed the Gaussian09\(^93\) and Mrse\(^94,95\) suites of programs. All of the geometries were optimized at the B3LYP-D3/aug-cc-pVTZ level of theory, and single-point-energy calculations were performed at the M06-2X/aug-cc-pVTZ and DF-CCSD(T)/aug-cc-pVTZ levels of theory. The effect of solvation was simulated by applying PCM with THF. Harmonic vibrational analyses were carried out both in a vacuum and using PCM; for local minima, all force constants were positive, while for TSs, one imaginary frequency was found. Optimization of the TSs was carried out using the force constants from a previous vibrational analysis, and intrinsic reaction coordinate calculations were performed both forward and backward along the reaction coordinate.

The accuracy of the B3LYP-D3/aug-cc-pVTZ geometries was tested in a vacuum by geometry optimizations on a model reaction \(\text{[PR(A)]} - \text{[PCO]}^+\) anion at various levels (MP2/aug-cc-pVTZ, M06-2X/aug-cc-pVTZ, and \(aB97X-D/aug-cc-pVTZ\); Table S5). All of these were found to be very similar (no significant changes were observed), and the difference in electronic energies is also minor (less than 0.6 kcal mol\(^{-1}\); therefore, the B3LYP-D3 geometries were used in further calculations. Then, coupled-cluster (CC) methods were tested in a vacuum, and calculations were carried out at the CCSD(T)/aug-cc-pVTZ, DF-CCSD(T)/aug-cc-pVTZ, and DF-CCSD(T)/aug-cc-pVQZ level of theory (Table S6). All of these calculations resulted in similar relative energy profiles; thus, the (most cost-effective) DF-CCSD(T)/aug-cc-pVTZ method was chosen for comparison. The B3LYP-D3, M06-2X, and \(aB97X-D\) functionals combined with the aug-cc-pVTZ basis set were tested (in a vacuum using single-point calculations) to find an appropriate functional for PCM calculations (note that the solvent effects cannot be simulated with the CC methods). The M06-2X/aug-cc-pVTZ level fits the best to the CC results (the average difference between the relative energy of the stationary points: \(\Delta E = 3.1\) kcal mol\(^{-1}\); it is in accordance with a previous study on the cycloadditions of \([\text{PCO}]^+\). Thus, for the calculations both in a vacuum and using PCM, the M06-2X functional was employed. For detailed results, see the Supporting Information.

The NPA charges and Wiberg bond indices (WBI) were calculated at the M06-2X/aug-cc-pVTZ and B3LYP-D3/aug-cc-pVTZ (Tables 3 and S2, and S3) levels of theory using the NBO 3.1 program.\(^96\) The NRT analyses were performed at the M06-2X/aug-cc-pVTZ level using the NBO 5.9 package (Table S10).\(^97\) The nucleophilic \((P^-)\) and electrophilic \((P^+)\) Parr functions were determined at the same levels as the Mulliken atomic spin density of the corresponding radicals obtained by the removal or addition of an electron, respectively. The HOMO energies were obtained at the M06-2X/aug-cc-pVTZ level of theory (Tables 3 and S2), and the NICS calculation were carried out at the B3LYP/aug-cc-pVTZ level of theory (Tables 5 and S9).

Besides the Parr functions, \(N^1\) was calculated for the dienophiles as \(N = f_{\text{HOMO,diene}} - f_{\text{HOMO,TCE}}\) (eV), where TCE stands for tetracyanoethylene, \(\text{[C_2(CN)_{6}]},\) having a HOMO energy of \(-11.04\) eV at the M06-2X/aug-cc-pVTZ level of theory.

We introduced \(A_\nu\) based on transient bond valves \((\nu)\) as \(A_\nu = v/\nu_1 + \nu_1\). The value of the \(A_\nu\) index is 1 and 0 for fully asynchronous and synchronous reactions, respectively. The bond valences \(\nu_1\) and \(\nu_2\) denote the C–C and C–E distances, respectively; calculated as \(\nu = \exp[-(d_{\nu_1} - d_{\nu_2})/A]\), where \(A\) is an empirically defined factor \((0.37\ \text{Å})^{98,99}\) and \(d_{\nu_1}\) and \(d_{\nu_2}\) refer to the appropriate atomic distances in the TSs and in the intermediates, respectively (for raw data, see Tables S3 and S11). To check the accuracy of our method, we calculated \(A_\nu\) using the WBI as \(A_\nu^\text{wbi} = \left[\text{WBI}(E,\text{TS1})/\text{WBI}(E,\text{INT})\right] - \left[\text{WBI}(C,\text{TS1})/\text{WBI}(C,\text{INT})\right]\). The two methods delivered similar trends for \(A_\nu\), however, those based on transient bond valences can be obtained in a simpler way.

### ASSOCIATED CONTENT

#### Supporting Information

The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/acs.inorgchem.2c00549.

Gibbs free energy profiles for the reactions, method testing, raw data for calculation of the descriptors \((\text{CT and } A_\nu)\), and optimized geometries with total energies (PDF)

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#### Notes

The authors declare no competing financial interest.

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### DEDICATION

The authors dedicate this paper to Prof. László Nyulási on the occasion of his 65th birthday.

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