Mobbing of the top predator: a correlation between avian community richness and the number of mobbing species

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Abstract
Mobbing is an anti-predator strategy initiated by one or more members of prey species aiming at driving away a predator that is not undertaking an attack. Because of a continuous dispute as to whether mobbing of a top predator may indicate species richness, we tested the correlation between the number of species engaging in mobbing and avian community richness. In the boreal forest of central Norway, we conducted a series of 83 bird census trials in 2014 and 2015. Each census trial consisted of two 5-min phases. In the first phase an ordinary point count was performed as a control; in the immediately following second phase either a stuffed Eagle Owl or Capercaillie female decoy was presented to study mobbing of a top avian predator and non-predatory species. Mobbing was more likely to occur, and the number of species that engaged in mobbing was higher, in habitats richer of bird species. Our study showed that the cumulative effects of being mobbed increase with richness of the local avian community. We did not find any support for the notion that mobbing is triggered by mobbing activity of the two most active and abundant species. No support was provided for an assertion that using an owl decoy would result in higher number of detected species during a census. Our study urges the need for identification and quantification of costs of mobbing for an avian predator.

Keywords
Bird census · Boreal forest · Community · Eagle Owl · Mobbing · Species richness

Zusammenfassung
Mobbing des Top-Prädators: eine Korrelation zwischen der Vielfalt der Vogelgemeinschaft und der Anzahl der mobbenden Arten.

Mobbing ist eine Strategie gegen Prädatoren, die durch ein oder mehrere Angehörige einer Beuteart initiiert wird, mit dem Ziel, einen Prädator zu vertreiben, der selbst nicht angreift. Aufgrund einer anhaltenden Diskussion darüber, ob das Mobbing eines Top-Prädators Artenreichtum anzeigt, haben wir den Zusammenhang zwischen der Anzahl an Arten, welche Mobbing ausüben, und der Vielfalt der Vogelgemeinschaft untersucht. In den borealen Wäldern Zentralnorwegens führten wir in 2014 und 2015 insgesamt 83 Begehungen zur Erfassung des Vogelbestands durch. Jede Begehung bestand aus zwei 5-Minuten-Phasen. In der ersten Phase wurde ein einfaches Punktzählverfahren als Kontrolle durchgeführt. In der direkt darauf folgenden zweiten Phase wurde entweder ein ausgestopfter Uhu oder ein weibliches Auerhuhn als Lockvogel aufgestellt, um das Mobbing eines Top-Prädators bzw. eines Nichtprädators zu untersuchen. In Habitaten mit größerem Vogelartenreichtum trat Mobbing mit höherer Wahrscheinlichkeit und einer höheren Anzahl an mobbenden Arten auf. Unsere Studie zeigte, dass die kumulativen Auswirkungen des Gemobbt-Werdens mit der Vielfalt der lokalen Vogelgemeinschaft anstiegen. Wir konnten keinen Hinweis dafür finden, dass Mobbing durch die Mobbing- Aktivität der zwei aktivsten und häufigsten Arten ausgelöst wurde. Die bestehende These, dass die Nutzung eines Eulen-Lockvogels möglicherweise zu einer höheren Anzahl
an festgestellten Arten während einer Bestandserfassung führte, konnte nicht unterstützt werden. Unsere Studie unterstreicht die Notwendigkeit, die durch Mobbing verursachten Kosten für den Prädator zu identifizieren und zu quantifizieren.

**Introduction**

Mobbing is widely perceived as an anti-predator strategy seen mostly in birds (Curio 1978; Caro 2005). It includes a wide range of behaviours such as vocalisation, warning sounds, inspection of a potential threat and even a direct attack. It may serve to defend nests, offspring and territories (Caro 2005), and also to teach offspring or conspecifics about potential dangers (Curio 1978; Pitman et al. 2017). Other functions may include self-defence, intraspecific signalling of status and fitness, or even the attraction of other mobbers (Slagsvold 1984; Gehlbach and Leverett 1995).

Mobbing may be a signal for a predator that it has been detected and also to force it to leave its place (Curio 1978). Studies have shown that the more a predator is exposed or the more actively it is hunting, the more it increases its vulnerability to being mobbed (Pavey and Smyth 1998; Sunde et al. 2003; Hendrichsen et al. 2006); however, it was shown that a predator can minimise the risk of being mobbed by choosing a cryptic location (Hendrichsen et al. 2006).

There is discussion of whether mobbing may be considered an altruistic behaviour. A reciprocal altruism, as defined by Trivers (1971), was indicated in some hypotheses attempting to explain the phenomena of mobbing (Curio 1978; Frankenberg 1978); however, it is not necessarily the reason for predator harassment in all species (Ostreiher 2003).

Costs of mobbing include an increased risk of death, in particular by being hunted down, higher stress level (Sordahl 1990), energy consumption connected with the mobbing activity, less time spent foraging, eggs and nestlings cooling in case of nesting birds, and many more potential costs that require further investigation (Winkler 1994).

With the great costs of mobbing also come great benefits. As the main benefit, predatory defence seems to be particularly important (Caro 2005). Mobbing is intended to distract, intimidate or expel a predator from a given area (Curio 1978; Pettifor 1990; Flaschkamp 1994). Teaching offspring the appearance of a predator, together with parental care, self-defence and mate defence, constitutes a list of significant benefits, explaining the importance and need for mobbing activities (Curio 1978; Shields 1984).

As in other vertebrates, mobbing in birds is rarely known to cause predation (Sordahl 1990). Nonetheless, *Micrastur* falcons use a hunting technique called provoked mobbing (Smith 1969). They provoke mobbing behaviour in prey birds and catch one of the appearing mobbers. Except this special case of *Micrastur* falcons, mobbing usually spoils the hunting prospects of a predator in various ways. The predator presence and identity may be exposed to all members of the community; additionally, it may be distracted from hunting, its feathers may be soiled with faeces of some species such as thrushes, its diet may be shifted to less preferred prey, the stress level may increase, and it may even be killed during an act of mobbing (Pettifor 1990; Pavey and Smyth 1998; Caro 2005; Hendrichsen et al. 2006; Templeton and Greene 2007; Courter and Ritchison 2010; Randler and Förtschler 2011; Consola and Mumme 2012). Moreover, moving from one place to another in order to find an appropriate hunting spot without mobbers is energy- and time-consuming, especially during the breeding season (Sunde et al. 2003; Hendrichsen et al. 2006).

To clarify fitness consequences of mobbing for an avian predator, it is vital to better understand the interplay between the costs and benefits of being mobbed. One could expect that the importance of this interplay increases in places with many potential mobbers, which is in habitats with higher species richness. Here we test the prediction that the number of species engaging in mobbing correlates positively with avian community richness. Second, we test the notion that tendency to join the mob by other species in the community is triggered by mobbing activity of the most common and active mobbing species.

**Materials and methods**

**Study area**

We conducted the study in a valley at an elevation of 250–600 m a.s.l. near Evenstad, Norway (61°25’N, 11°05’E). Landscape consisted of a mosaic of agriculture, sparse settlement and cultivated forests on the hill slopes dominated by Norway Spruce (*Picea abies*) and Scots Pine (*Pinus sylvestris*).

**Census trials**

We performed series of bird census trials. Census points were located randomly within the study area. We defined minimum distance between census points as 1 km to avoid repeated detection of the same resident birds, and no point was used more than once in order to avoid a positive reinforcement (Knight and Temple 1986). We conducted
In the second phase, either a stuffed Eagle Owl (Bubo bubo) decoy, representing a top avian predator of the area, or a stuffed Capercaillie female (Tetrao urogallus) decoy, representing a common non-predatory species, was used as a control treatment. The stuffed Eagle Owl specimen was mounted permanently on a 1.5 m wooden pole, whereas the Capercaillie decoy was mounted permanently on a flat Styrofoam bottom. The Eagle Owl decoy was placed in a visible location within the census point (experimental treatment), while the Capercaillie decoy was set onto a prominent place (control treatment). Both decoys were placed in a wide variety of habitats that were available in the research area and where both the Eagle Owl and the Capercaillie were known to reside. After placement of the decoys but before starting the census of the second phase, both decoys were covered with a green cloth for about 2 min. The cloth was removed when no potential mobber was observed within a radius of 50 m from the decoy and to avoid responses in birds provoked by the cloth itself (Cawthorn et al. 1998). No mobbing behaviour was recorded while the cloth was covering the decoys.

The second phase of the census trial started immediately after removing the cloth from a decoy. In 2015, we recorded all birds seen or heard and all those engaged in mobbing. In the limited pilot season of 2014, we only recorded birds engaged in mobbing. Following Hendrichsen et al. (2006), we defined the beginning of mobbing as the time when the first resident bird was performing mobbing behaviour on the Eagle Owl decoy within a distance of 10 m from it. We considered the following behaviour as mobbing: (1) emitting mobbing and alarm calls, and (2) aggressive behaviour including flitting towards the decoy (without touching the decoy, only flying slightly above or near it) and direct attacks on the decoy.

Sometimes a bird stopped its mobbing behaviour, but stayed within 10 m from the Eagle Owl decoy to resume its mobbing activities after a while. A bird that stopped mobbing but remained in an area within 10 m from the decoy and did not engage in other behaviours such as foraging or singing, was still considered to be mobbing.

Fifty census trials (25 trials for each the experimental and control treatment) were carried out in 2015, and 33 census trials with experimental treatment only were performed in 2014.

**Statistical analysis**

We used Spearman’s coefficient of correlation to compare numbers of species detected in different phases of the census trials. We tested whether the number of species engaged in mobbing depended on the community richness by running a logistic regression model on the data from 2015 and Poisson regression on the data from 2014. In 2015, in all but one census trial, only one species engaged in mobbing in each trial, if mobbing occurred at all (see “Results”). Hence, we considered logistic regression as an appropriate method to model whether or not mobbing occurred in the census trial as a binary response variable, and included the number of species detected during the second phase of the trial as an explanatory variable. We preferred using the number of species detected during the second phase over the total number of species detected during both phases of the trial, because some of the species detected in the first phase, i.e. before exposure of the Eagle Owl decoy, may have already been gone by the time the second phase began. However, the results were consistent even when the complete number of species detected during both phases of the census trial was used as an explanatory variable. In 2014, mobbing was much more common (see “Results”). Hence, we preferred Poisson regression to model the number of species engaged in mobbing during the trial as a response variable and included the number of species detected during the complete census trial as an explanatory variable (i.e. number of species detected in the first phase + number of mobbing species in the second phase).

Last, we tested whether the fact that one of the keenest mobbing species was engaged in mobbing had any effect on other species joining the mob. We included mobbing activity of the Great Tit or Chaffinch, i.e. whether or not at least one individual of either species was mobbing, as a binary explanatory factor in the Poisson regression run on 2014 data. We ran $\chi^2$ tests to test the significance of explanatory factors included in the models. Fit of the best model was checked by the deviance goodness of fit test. All calculations were performed in R 3.5.0.

**Results**

**Main experiment in 2015**

During a total of 50 census trials in 2015, 39 species were detected from which five species (Common Swift Apus apus, Common Raven Corvus corax, European Kestrel Falco tinnunculus, European Crested Tit Lophophanes thorn et al. 1998). No mobbing behaviour was recorded from which five species (Common Swift Apus apus, Common Raven Corvus corax, European Kestrel Falco tinnunculus, European Crested Tit Lophophanes thorn et al. 1998). No mobbing behaviour was recorded from which five species (Common Swift Apus apus, Common Raven Corvus corax, European Kestrel Falco tinnunculus, European Crested Tit Lophophanes thorn et al. 1998). No mobbing behaviour was recorded from which five species (Common Swift Apus apus, Common Raven Corvus corax, European Kestrel Falco tinnunculus, European Crested Tit Lophophanes thorn et al. 1998). 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cristatus, Coal Tit Periparus ater) were detected only after the owl decoy was presented, and one species (Green Sandpiper Tringa ochropus) was detected only after exposition of a Capercaillie decoy. The mean number of individuals detected during the first and second phases of the census trial with experimental treatment was 4.92 (confidence interval 4.32–5.51) and 4.52 (CI 3.96–5.08), respectively, and the difference was statistically significant ($r_a = 0.47, p = 0.02$). The corresponding numbers for the census trials with control treatment were 4.36 (CI 3.90–4.82) and 3.96 (CI 3.59–4.33) for the first and second phases, respectively, and again, the difference was statistically significant ($r_a = 0.74, p < 0.001$). The mean number of species detected in the second phase of the census trial did not differ between census trials with experimental and control treatment ($r_a = -0.21, p = 0.15$).

We recorded mobbing in 11 out of 25 census trials with experimental treatment. In all trials but one, only one species was observed mobbing, with two mobbing species in one trial. Great Tit (Parus major) was observed mobbing in four out of 12 trials, Chaffinch (Fringilla coelebs) in six out of 23, Northern Bullfinch (Pyrrhula pyrrhula) in one out of three, and European Robin (Erithacus rubecula) in one out of seven trials (Online Resource 1). Mobbing/alarm calls were the most common mobbing behaviour (observed in all four species engaged in mobbing, Online Resource 1). We observed flitting towards the decoy in two instances only, both in the Great Tit. We did not observe any instance of direct attacks on the owl decoy. Other responses that were observed following exposure of the Eagle Owl decoy, not classified as mobbing, included flying away (one instance observed in each the Great Tit Parus major, Song Thrush Turdus philomelos, European Kestrel Falco tinnunculus and two in the Chaffinch Fringilla coelebs), and silence for a period from a few seconds (observed in one trial in a group of birds consisting of two Goldcrests Regulus regulus, one Fieldfare Turdus pilaris, two Great Tits Parus major, and three Chaffinches Fringilla coelebs) for up to 1 min (one instance observed in Winter Wren Troglodytes troglodytes). No mobbing of any type was observed during exposure of the Capercaillie decoy (Online Resource 1).

The logistic regression model on whether mobbing occurred in the second phase of the census trial, with the number of species detected during the second phase of the trial as an explanatory variable, was slightly better supported than the null model, indicating reasonably good fit to the data [$\chi^2 (df = 1) = 3.88, p = 0.049$; Fig. 1]. The model predicted increased probability of at least one species exhibiting mobbing behaviour from 0.07, when there were two species observed in the second phase, to 0.84 when there were 10 species observed (Table 1, Fig. 1).

![Fig. 1](image-url) Relationship between number of species detected during the second phase of the census trial, i.e. after exposure of the Eagle Owl decoy, and probability of at least one species mobbing the decoy. Data from 2015, Evenstad, Norway. Shown is the model fit with 95% confidence intervals

**Pilot study in 2014**

During a total of 33 census trials with experimental treatment, 56 species were detected, from which seven species (Winter Wren Troglodytes troglodytes, Long-tailed Tit Aegithalos caudatus, Mistle Thrush Turdus viscivorus, Sand Martin Riparia riparia, Tree Sparrow Passer montanus, Dunnock Prunella modularis, Common Crossbill Loxia curvirostra) were detected only after the owl decoy was presented. The mean number of individuals detected during the first phase for experimental treatment was 5.97 (CI 5.43–6.51). During the first phase of the census trial with the experimental treatment, the presence of the Great Tit (r = 0.50, p = 0.003), but not Chaffinch (r = 0.08, p = 0.67), correlated with the overall number of species detected.

Mobbing was observed in all 33 census trials with experimental treatment in 2014. The mean number of mobbing species in the trial was 4.45 (CI 3.83–5.08) and the mean number of mobbing individuals 6.15 (CI 4.51–7.80, min = 1, max = 22). Altogether, 38 species exhibited mobbing the owl decoy by emitting mobbing/alarm calls or by showing signs of aggressive behaviour (Online Resource 1).

Poisson regression model on the number of mobbing species in the second phase of the trial, with the number of species detected during both phases of the census trial with experimental treatment as an explanatory variable was supported over the null model [$\chi^2 (df = 1) = 10.81, p = 0.001$; Fig. 2]. Including mobbing activity of Great Tit and Chaffinch as a binary explanatory variable was not supported [Great Tit: $\chi^2 (df = 1) = 0.49, p = 0.49$, Chaffinch $\chi^2 (df = 1) = 0.70, p = 0.40$]. The final model fitted the data well [$\chi^2 (df = 31) = 11.85, p = 0.99$]. The fitted final Poisson
regression model (Table 1, Fig. 2) predicted increase in number of species engaged in mobbing from on average 2.8 when there were five species detected during the census trial, to 8.7 when there were 15 species detected.

Discussion

Prey abundance and diversity is one of the important factors attracting the presence of raptors and owls in an area. The apparent relationship between the presence of an avian predator and the richness of an avian community may be underlined by various ecological factors, including among others the benefit of the rich prey community in enabling prey switching for the predator. This and other possible links behind this relationship have been hotly debated (Sergio et al. 2006, 2008; Martín and Ferrer 2013). Using a total of 58 census trials with exposure of the stuffed Eagle Owl decoy, we demonstrate that, on average, mobbing is more likely to occur in habitats richer of bird species and that there is a positive relationship between the number of species in the community and the number of species that engage in mobbing. This finding implies an increase in, either or both, costs and benefits of mobbing for an avian predator in richer prey communities.

Interestingly, our analysis did not provide any support for the induction of mobbing in the community by mobbing activity of either of the two most common and active species in the study area, Great Tit and Chaffinch. Mobbing calls can induce a mobbing reaction in members of the same or different species, even if birds cannot directly see the mobbed individual (Altmann 1956; Zimmerling and Ankney 2000; Langham et al. 2006; Dutour et al. 2017). In general, mobbing behaviour is a phenomenon common in all sectors of the prey community, except perhaps large species such as the Mute Swan (Cygnus olor) which are mostly free from predation risk (Caro 2005). From the prey’s perspective, the most often cited explanation for whether a given bird or species may join in mobbing is the identified predation risk for the mobber itself or for its offspring (Montgomerie and Weatherhead 1988; Dugatkin and Godin 1992a; Caro 2005). Whether size, strength, aggressiveness or other properties of the mobbing species act as a trigger, alone or in interaction—if at all—with other species around the predator, to join the mob remains to be elucidated. It is also known that the same species might be provoked to mob more at locations where a predator more often resides than in areas without an apparent long-term presence of a predator (Sandoval and Wilson 2012).

We used linear models to study the relationship between the richness of the avian community and the number of mobbing species. Whether this simple linear relationship holds also in areas with richer avian communities, such as those in the tropics, remains to be scrutinised. In fact, an indefinite increase in the number of species may not be expected, simply because not all species engage in mobbing or mobbed predator may leave the area before the number of mobbers

| Model/dataset | Explanatory variable | β   | SE  | z value | p   |
|---------------|----------------------|-----|-----|---------|-----|
| Logistic regression/2015 | Intercept | −3.29 | 1.79 | −1.83 | 0.07 |
| | No. species exposition | 0.67 | 0.38 | 1.75 | 0.08 |
| Poisson regression/2014 | Intercept | 0.49 | 0.32 | 1.54 | 0.12 |
| | No. species complete trial | 0.11 | 0.03 | 3.41 | < 0.001 |

Data from Evenstad, Norway

Table 1 Results of the best selected (1) logistic regression model on the effect of the number of species detected after exposition of the Eagle Owl decoy (“No. species exposition”) on whether mobbing occurred or not (dataset from 2015), and (2) Poisson regression model on the effect of the avian community richness (“No. species complete trial”) on the number of species engaged in mobbing (dataset from 2014)

*Null deviance of the model = 22.66 on 32 df, residual deviance = 11.85 on 31 df

*Null deviance of the model = 34.30 on 24 df, residual deviance = 30.42 on 23 df
is too high. In that case the relationship would rather be nonlinear.

Our findings highlight the fact that harassment of the avian predator by mobbing by other species from the community is higher in species richer communities. Whether this has any bearing on stress level, behavioural changes and ultimately on fitness of the avian predator remains a topic for future elucidation. As our aim was to study composition of the mobbing community, the exposure of the Eagle Owl decoy for 5 min likely captured well the initial phase of mobbing (Caro 2005). Yet, our results may still be underestimated of mobbing intensity. Combination of visual and auditory signals increased the probability of mobbing of the stuffed Eastern Screech Owl (Otus asio) in a study from the eastern USA (Chandler and Rose 1988). On the other hand, the mobbing intensity of the Common Cuckoo (Cuculus canorus) in western Poland did not depend on whether it was moving or vocalising (Tryjanowski et al. 2018). The short period of exposure of the owl decoy used in our study and the lack of any behavioural response by the decoy may explain the lack of physical attack by mobbers in our study. In addition, although the Eagle Owl is one of the apex avian predators in the studied community, it may not be recognised as the most eminent threat deserving direct physical attack by many small avian species (Donázar et al. 1989).

Generally, mobbing was less common than expected. It occurred in only 52% of census trials with experimental exposure of the Eagle Owl decoy in 2015, with only one species engaging in mobbing in all trials but one. Also, only two mobbing behaviour categories, namely mobbing calls and flitting towards the decoy, were observed. In 2014, mobbing occurred in all 33 census trials with experimental treatment, and many more species composed the mobbing community. In 2015, when only four species were observed mobbing, the study was performed earlier in the breeding season, while it was done later during the breeding cycle in 2014, when a total of 38 species were observed mobbing. The study was performed in the same study site in both years, leaving the only apparent difference to be accounted for by the timing of the study in relation to the stage of the breeding cycle (Altmann 1956; Shields 1984; Krams and Krama 2002).

Our conclusions may be flawed because of two factors that could not be fully controlled. Firstly, a fieldworker may have acquired a kind of a search image for certain species during the first phase of the census trial, resulting in higher probability of detecting these species in the second phase of the trial. In a North American Bird Breeding Survey in the USA, this appeared as a minor issue (Boulinier et al. 1998). Secondly, the number of detected species increases with time, which may confound the effect of decoy presentation. A formal experiment would be necessary to separate the confounding factor of time and the presentation of a decoy on the number of species detected, but we argue that these issues appear as of minor importance. First, our objective was to study mobbing behaviour and not to describe complete composition of the avian community. Second, we could describe well the bird species present at the spot in just a few minutes and third, the general decrease in number of species detected in the second phases of trials, irrespective of the treatment, suggested that there was not many birds left undetected by us.

If mobbing behaviour caused bias in detectability of avian species between sites occupied and not occupied by predators (Kéry et al. 2008; Sergio et al. 2008), one could expect a stronger correlation between the presence of a certain predator and avian species richness (Ozaki et al. 2006; Sergio et al. 2006; Roth and Weber 2008; Jenkins et al. 2013; Burgas et al. 2014). Despite the tendency in our study to detect fewer species in the second phase of the census trial with exposure of Capercaillie decoy compared to the one with exposure of Eagle Owl in 2015, the difference was not statistically nonsignificant, perhaps because only few species engaged in mobbing in that study year. In any case, our study is not in line with studies which reported that human mimicking or playback of mobbing calls while performing the census resulted in higher species detectability (Gunn et al. 2000; Zimmerling and Ankney 2000; Turcotte and Desrochers 2002; Langham et al. 2006).

True costs are those that handicap fitness either affecting survival or reproduction (Dugatkin and Godin 1992a; Caro 2005). Evidence is at best insufficient for other predators being attracted by mobbing activity and even less supportive for direct killing of the mobbed predator (this study, Slagsvold 1982; Sunde et al. 2003; Sandoval and Wilson 2012). It is further not clear whether other responses to being mobbed exhibited by raptors carry real costs beyond mere irritation (Pavey and Smyth 1998; Sunde et al. 2003; Consla and Mumme 2012). Indeed, during the breeding season moving away from the hunting ground as a result of mobbing may be costly in terms of lost time and energy that could have been devoted to hunting, but it has not been documented whether hunting prospects or efficiency are in fact seriously hampered by moving to a new site or by occupying alternative habitats (Pettifor 1990; Sunde et al. 2003; Hendrichsen et al. 2006). There may even be some benefits to being mobbed by a wider community of birds. This is because many mobbing species may be hunted during the mob, hence providing a predator with easy forage. Moreover, the predator may obtain information of what potential prey species there are in a certain environment (Montgomerie and Weatherhead 1988; Dugatkin and Godin 1992b).

We conclude that the analysis and identification of costs and benefits of being mobbed for an avian predator is an essential next step needed to shed light on the thesis that raptors should occupy sites with higher prey diversity.
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