A CONTINUOUS VARIABLE SHOR ALGORITHM

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Abstract. In this paper, we use the methods found in [21] to create a continuous variable analogue of Shor’s quantum factoring algorithm. By this we mean a quantum hidden subgroup algorithm that finds the period $P$ of a function

$$\Phi : \mathbb{R} \to \mathbb{R}$$

from the reals $\mathbb{R}$ to the reals $\mathbb{R}$, where $\Phi$ belongs to a very general class of functions, called the class of admissible functions. One objective in creating this continuous variable quantum algorithm was to make the structure of Shor’s factoring algorithm more mathematically transparent, and thereby give some insight into the inner workings of Shor’s original algorithm. This continuous quantum algorithm also gives some insight into the inner workings of Hallgren’s Pell’s equation algorithm.

Two key questions remain unanswered. Is this quantum algorithm more efficient than its classical continuous variable counterpart? Is this quantum algorithm or some approximation of it implementable?

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1. Introduction

In this paper, we create a continuous variable analogue of Shor’s quantum factoring algorithm. This algorithm is called a continuous variable Shor algorithm for the following reason. Recall that Shor’s quantum factoring algorithm \cite{28}, \cite{27}, \cite{22} reduces the task of factoring an integer $N$ to that of finding the period $P$ of a function

$$\Phi : \mathbb{Z} \rightarrow \mathbb{Z} \mod N$$

from the integers $\mathbb{Z}$ to the integers $\mathbb{Z}$ modulo $N$. So by a continuous variable analogue to Shor’s factoring algorithm, we mean a quantum algorithm that finds the period $P$ of a function

$$\Phi : \mathbb{R} \rightarrow \mathbb{R}$$

from the reals $\mathbb{R}$ to the reals $\mathbb{R}$.

One of the objectives in creating this continuous variable quantum algorithm was to make the structure of Shor’s factoring algorithm more mathematically transparent, and thereby give some insight into the inner workings of his original quantum factoring algorithm \cite{27}, \cite{28}. This continuous quantum algorithm also gives some insight into the inner workings of Hallgren’s Pell’s equation algorithm \cite{17}.

Whether or not this quantum algorithm is more efficient than its classical continuous variable counterpart remains to be determined. By allowing continuous variables, the complexity class of problems can easily change. For more insight into this issue, we refer the reader to Bartlett et al \cite{2}, \cite{3}. Moreover, the implementability of this continuous variable quantum algorithm, or an approximation thereof, also remains to be determined.

Continuous variable algorithms for two other quantum algorithms are to be found in the open literature. A continuous variable analogue of Grover’s algorithm was constructed by Pati, Braunstein, and Lloyd in \cite{5}; and a continuous variable Deutsch-Jozsa algorithm was recently created by Pati and Braunstein in \cite{6}.

There is also a great deal of literature written on many other areas of continuous variable quantum information science. For example, work on continuous variable teleportation can be found in \cite{7}, \cite{9}, and \cite{11}, on continuous variable quantum secrecy sharing in \cite{20} and \cite{29}, on continuous variable entanglement in \cite{24}, and on continuous variable quantum error correction in \cite{1} and \cite{15}.
2. Mathematical machinery

To create a continuous variable analogue of Shor’s algorithm, we will need to make use of the mathematical machinery of generalized functions (also known as distributions) and of rigged Hilbert spaces (also known as Gel’fand triplets.) For more in depth discussions of this mathematical machinery, we refer the reader to [4], [12], [13], [14], [25], and [26].

2.1. Generalized functions. In regard to generalized functions, the reader is no doubt familiar with one generalized function, namely, the Dirac delta function

$$\delta(x)$$

on the reals \(\mathbb{R}\). We will also make use of the following generalized function

$$\delta_P(x) = \frac{1}{|P|} \sum_{n=-\infty}^{\infty} \delta \left( x - \frac{n}{P} \right),$$

which is an infinite sum of Dirac delta functions over the lattice \(\{ \frac{n}{P} : n \in \mathbb{Z} \}\), where \(P\) is a nonzero real number.

2.2. Rigged Hilbert spaces. We will make use of the rigged Hilbert space \(\mathcal{H}_R\) with orthonormal basis

\[\{|x\rangle : x \in \mathbb{R}\},\]

where by orthonormal we mean there is a bracket product on \(\mathcal{H}_R\) defined by

\[\langle x | y \rangle = \delta(x - y).\]

The elements of \(\mathcal{H}_R\) are formal integrals of the form

\[\int_{-\infty}^{\infty} dx \ f(x) |x\rangle,\]

where \(f : \mathbb{R} \to \mathbb{C}\) is a function or a generalized function.

For \(x_0\) a constant, we define

\[|x_0\rangle = \int_{-\infty}^{\infty} dx \ \delta(x - x_0) |x\rangle\]

Since the Dirac delta function is a tempered distribution [25], it follows that

\[\langle y_0 | x_0 \rangle = \begin{cases} 
1 & \text{if } x_0 = y_0 \\
0 & \text{otherwise}
\end{cases}\]
Let \( \Phi : \mathbb{R} \longrightarrow \mathbb{R} \) be a periodic admissible function of minimum period \( P \) from the reals \( \mathbb{R} \) to the reals \( \mathbb{R} \).

**Remark 1.** We have intentionally not defined the term ‘admissible,’ since there are many possible definitions of this term. For example, one workable definition of an admissible function is a function that is Lebesgue integrable on every closed subinterval of the reals \( \mathbb{R} \).

We seek to define the Fourier transform of \( \Phi \). Since \( \Phi \) is in general neither \( L^1 \) nor \( L^2 \) nor of compact support, the usual definitions of the Fourier transform will not apply. So we need to be a bit creative.

We proceed to define the Fourier transform as follows:

**Definition 1.** Let \( \Phi : \mathbb{R} \longrightarrow \mathbb{R} \) be a periodic admissible function of minimum period \( P \) from the reals \( \mathbb{R} \) to the reals \( \mathbb{R} \). We interpret the standard expression
\[
\int_{-\infty}^{\infty} dx \ e^{-2\pi i x y} \Phi (x)
\]
for the Fourier transform \( \hat{\Phi} : \mathbb{R} \longrightarrow \mathbb{C} \) as the generalized function
\[
\hat{\Phi} (y) = \delta_P (y) \int_{0}^{P} dx \ e^{-2\pi i x y} \Phi (x)
\]
where
\[
\delta_P (y) = \frac{1}{|P|} \sum_{n=-\infty}^{\infty} \delta \left( y - \frac{n}{P} \right),
\]
and where \( \mathbb{C} \) denotes the complex numbers.
Remark 2. The above definition can be motivated as follows:

\[
\int_{-\infty}^{\infty} dx \ e^{-2\pi i xy} \Phi(x) = \sum_{n=-\infty}^{\infty} \int_{nP} e^{-2\pi i xy} \Phi(x)
\]

\[
= \sum_{n=-\infty}^{\infty} \int_{0}^{P} dx \ e^{-2\pi i(x+nP)y} \Phi(x + nP)
\]

\[
= \sum_{n=-\infty}^{\infty} e^{-2\pi inPy} \int_{0}^{P} dx \ e^{-2\pi ixy} \Phi(x)
\]

\[
= \sum_{n=-\infty}^{\infty} \frac{1}{|P|} \delta \left(y - \frac{n}{P}\right) \int_{0}^{P} dx \ e^{-2\pi ixy} \Phi(x)
\]

\[
= \delta_P(y) \int_{0}^{P} dx \ e^{-2\pi ixy} \Phi(x)
\]

where, in the context of distributions, we have

\[
\sum_{n=-\infty}^{\infty} e^{-2\pi inPy} = \frac{1}{|P|} \delta \left(y - \frac{m}{P}\right), \text{ for } y \in \left[\frac{m}{P}, \frac{m+1}{P}\right]
\]

(See [25].)

The reader can easily verify that the inverse Fourier transform behaves as expected, i.e., that

Proposition 1.

\[
\Phi(x) = \int_{-\infty}^{\infty} dy \ e^{-2\pi i xy} \hat{\Phi}(y)
\]

4. The algorithm for finding integer periods

Let

\[
\Phi : \mathbb{R} \rightarrow \mathbb{R}
\]

be a periodic admissible function of minimum period P from the reals \(\mathbb{R}\) to the reals \(\mathbb{R}\). We will now create a continuous variable Shor algorithm to find integer periods. In later sections, we will extend the algorithm to rational periods, and then to irrational periods.

We construct two quantum registers
called left- and right-registers respectively, each ‘living’ respectively in its own separate rigged Hilbert space $H_R$. The left register is constructed to hold arguments of the function $\Phi$, the right to hold the corresponding function values.

We assume we are given the unitary transformation

$$U_\Phi : H_R \otimes H_R \rightarrow H_R \otimes H_R$$

defined by

$$U_\Phi : |x\rangle |y\rangle \mapsto |x\rangle |y + \Phi(x)\rangle$$

Finally, we choose a large positive integer $Q$, so large that $Q \geq 2P^2$.

The quantum part of our algorithm consists of \textbf{Step 0} through \textbf{Step 4} as described below:

\textbf{Step 0} Initialize

$$|\psi_0\rangle = |0\rangle |0\rangle$$

\textbf{Step 1} Apply the inverse Fourier transform to the left register, i.e. apply $F^{-1} \otimes 1$ to obtain

$$|\psi_1\rangle = \int_{-\infty}^{\infty} dx \ e^{2\pi i x \cdot 0} |x\rangle |0\rangle = \int_{-\infty}^{\infty} dx \ |x\rangle |0\rangle$$

\textbf{Step 2} Apply \(U_\Phi : |x\rangle |u\rangle \mapsto |x\rangle |u + \Phi(x)\rangle\) to obtain

$$|\psi_2\rangle = \int_{-\infty}^{\infty} dx \ |x\rangle |\Phi(x)\rangle$$
Step 3: Apply the Fourier transform to the left register, i.e., apply $\mathcal{F} \otimes 1$ to obtain
\[
|\psi_3\rangle = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} dy \, dx \, e^{-2\pi i xy} |y\rangle |\Phi(x)\rangle = \int_{-\infty}^{\infty} dy \left( \sum_{n=-\infty}^{P} (n+1)^P e^{-2\pi i n y} \right) |\Phi(x)\rangle
\]
\[
\int_{-\infty}^{\infty} dy \, \delta_P(y) \left( \sum_{n=-\infty}^{P} e^{-2\pi i n y} \right) |\Phi(x)\rangle = \sum_{n=-\infty}^{\infty} \frac{\delta_P(y)}{|P|} \delta_P\left( \frac{n}{P} \right) |\Phi(x)\rangle
\]
where
\[
|\Omega\left(\frac{n}{P}\right)\rangle = \frac{1}{|P|} \int_{0}^{P} dx \, e^{-2\pi i \frac{n}{P} x} |\Phi(x)\rangle
\]

Step 4: Measure the left register with respect to the observable
\[
\mathcal{O} = \int_{-\infty}^{\infty} dy \, \frac{|Qy|}{Q} |y\rangle \langle y|
\]
to produce a random eigenvalue
\[
\frac{m}{Q},
\]
where $|Qy|$ denotes the greatest integer $\leq Qy$, and then determine whether $\frac{m}{Q}$ can be used to find the period $P$.

5. THE OBSERVABLE $\mathcal{O}$

In this section, we now discuss the above Step 4 in greater detail.
The spectral decomposition of the observable $\mathcal{O}$ is given by

$$
\mathcal{O} = \int_{-\infty}^{\infty} dx \frac{|Qx|}{Q} |x\rangle \langle x| = \sum_{m=-\infty}^{\infty} \left( \frac{m}{Q} \right) P_m,
$$

where $P_m$ denotes the projection operator

$$
P_m = \int dx \frac{dx}{Q} |x\rangle \langle x|.
$$

Measurement of the left register of $|\psi_3\rangle = \sum_{n=-\infty}^{\infty} \frac{n}{P} \Omega \left( \frac{n}{P} \right)$ with respect to $\mathcal{O}$ will always produce an eigenvalue $\frac{m}{Q}$ for which there exists an integer $n$ such that

$$
\frac{m}{Q} \leq \frac{n}{P} < \frac{m+1}{Q}.
$$

We seek to determine the unknown rational $\frac{n}{P}$ from the known rational eigenvalue $\frac{m}{Q}$.

If $Q \geq 2P^2$, then the unknown rational $\frac{n}{P}$ will be a convergent of the continued fraction expansion of the known eigenvalue $\frac{m}{Q}$. Thus, the continued fraction recursion can be used to determine the period $P$. (See [18, Theorem 184, Section 10.15].)

6. The algorithm for finding rational periods

We now extend the above algorithm to one for finding rational periods

$$
P = \frac{a}{b}, \quad \gcd (a, b) = 1.
$$

We choose an integer $Q \geq 2a^2$.

**Part 1** Execute the above steps through Step 4 twice to produce two eigenvalues

$$
m_1 \quad \text{and} \quad m_2,
$$

and then goto **Part 2**

Since $Q \geq 2a^2$, the eigenvalues $\frac{m_1}{Q}$ and $\frac{m_2}{Q}$ will have unique convergents respectively of the form

$$
\frac{n_1 b}{a} \quad \text{and} \quad \frac{n_2 b}{a},
$$

(See [18, Theorem 184, Section 10.15].)
If the following Condition A is satisfied, then the reciprocal period is simply given by
\[ \frac{1}{P} = \frac{\gcd(n_1 b, n_2 b)}{a} \]

Condition A: \( \gcd(n_1, n_2) = 1, \gcd(n_1, a) = 1, \gcd(n_2, a) = 1 \)

If we assume that Condition A is satisfied, then the above expression for the reciprocal period can be computed in Part 2 given below:

**Part 2** Execute the following:

**Step 5** Compute all the convergents \( \left\{ \frac{p_{1k}}{q_{1k}} : k = 1, 2, \ldots, K \right\} \) and \( \left\{ \frac{p_{2\ell}}{q_{2\ell}} : \ell = 1, 2, \ldots, L \right\} \) of \( \frac{n_1}{q_1} \) and \( \frac{n_2}{q_2} \), respectively.

**Step 6** Search for denominators \( q_{1k} \) and \( q_{2\ell} \) which are equal

For \( k = 1, 2, \ldots, K \) do

For \( \ell = 1, 2, \ldots, L \) do

If \( q_{1k} = q_{2\ell} \) then

Let \( q = q_{1k} = q_{2\ell} \) and \( \alpha = \frac{q}{\gcd(p_{1k}, p_{2\ell})} \)

If \( \alpha \) is a period of \( \Phi \) then

Output \( \alpha \) and STOP # Period found

EndFor

EndFor

go to Part 1 # Period not found

Part 2 will find and output the period \( P \) provided the output of Part 1 satisfies Condition A. From the last corollary of the Appendix, we know this will occur after Part 1 is repeated an average of \( O \left( \log \log a \right)^2 = O \left( \log \log Q \right)^2 \) times. However, since we do not know until the completion of Part 2 whether or not the output of Part 1 satisfies Condition A, both Part 1 and Part 2 need to be repeated on average at most \( O \left( \log \log Q \right)^2 \) to finally find the output \( P \).

**Remark 3.** One can quadratically speedup Step 6 by taking advantage of the fact that the convergent denominators are linearly ordered.

7. **Finding irrational periods**

The above algorithm can be extended to finding, to any degree of desired precision, the period \( P \) of a periodic admissible function \( \Phi \) when the period \( P \) is irrational. But in this case, there is a severe restrictive condition that must be imposed on the function \( \Phi \). Namely, we need to assume that the function \( \Phi \) is
continuous. This continuity condition is needed for determining whether or not a rational is sufficiently close to the unknown irrational period.

8. Conclusion

The continuous variable quantum algorithm constructed in this paper does give some insight into the inner workings of Shor’s original quantum factoring algorithm. Moreover, it also gives some insight into the inner workings of Hallgren’s Pell’s equation algorithm\cite{17}.

On the other hand, the quantum algorithm constructed in this paper raises many more questions than it answers. Is this quantum algorithm more efficient than its classical continuous variable counterpart? Can this algorithm be implemented? Can an approximation of this algorithm be implemented?

9. Appendix. Number theoretic probabilities.

In this Appendix, we derive an asymptotic lower bound $\Omega\left(\left(\frac{\log N}{\log a}\right)^2\right)$ on the probability that the output of Part 1 of the algorithm found in Section 6 of this paper will satisfy the Condition A defined within that Section.

Notation Convention. Throughout this section, the symbol ‘p’ will always be used to denote a prime integer.

Proposition 2. Let $a$ be a fixed positive integer. Then for every positive integer $N \geq a$, if an integer $n$ is randomly chosen from the set integers

\[\{k \in \mathbb{Z} : 0 < k \leq N\}\]

according to the uniform probability distribution, then the probability

\[\text{Prob}_N\left(\gcd(a, n) = 1\right)\]

that $n$ is relatively prime to $a$ is bounded below by

\[\text{Prob}_N\left(\gcd(a, n) = 1\right) \geq \frac{\phi(a)}{a},\]

where $\mathbb{Z}$ denotes the set of integers, and where $\phi$ denotes the Euler phi function.

Proof.

\[\text{Prob}_N\left(\gcd(a, n) = 1\right) = \prod_{p|a} \left(1 - \frac{|N/p|}{N}\right) \geq \prod_{p|a} \left(1 - \frac{1}{p}\right) = \frac{\phi(a)}{a}\]

\[\square\]

As a corollary, we have:
Corollary 1. Let $a$ be a fixed positive integer. Then for every positive integer $N \geq a$, if $n_1$ and $n_2$ are two random integers chosen independently with replacement from the set integers
\[ \{ k \in \mathbb{Z} : 0 < k \leq N \} \]
according to the uniform probability distribution, then the probability
\[ \text{Prob}_N \left( \gcd (a, n_1) = 1 = \gcd (a, n_2) \right) \]
that both $n_1$ and $n_2$ are relatively prime to $a$ is bounded below by
\[ \text{Prob}_N \left( \gcd (a, n_1) = 1 = \gcd (a, n_2) \right) \geq \left( \frac{\varphi (a)}{a} \right)^2, \]
where $\mathbb{Z}$ denotes the set of integers, and where $\varphi$ denotes the Euler phi function.

Proposition 3. Let $a$ be a fixed positive integer. Then for every positive integer $N \geq a$, if $n_1$ and $n_2$ are two random integers chosen independently with replacement from the set of integers
\[ \{ k \in \mathbb{Z} : 0 < k \leq N \} \]
according to the uniform probability distribution, then the conditional probability
\[ \text{Prob}_N \left( \gcd (n_1, n_2) = 1 \mid \gcd (a, n_1) = 1 = \gcd (a, n_2) \right) \]
that $n_1$ and $n_2$ are relatively prime given that $n_1$ and $n_2$ are both relatively prime to $a$ is bounded below by
\[ \text{Prob}_N \left( \gcd (n_1, n_2) = 1 \mid \gcd (a, n_1) = 1 = \gcd (a, n_2) \right) \geq \frac{6}{\pi^2}, \]
where $\mathbb{Z}$ denotes the set of integers, and where $\varphi$ denotes the Euler phi function.

Proof.
\[
\text{Prob}_N \left( \gcd (n_1, n_2) = 1 \mid \gcd (a, n_1) = 1 = \gcd (a, n_2) \right) = \prod_{p \mid a \text{ and } p \leq N} \left( 1 \right. - \left( \frac{[N/p]}{N} \right)^2 \right)
\geq \prod_{p \mid a \text{ and } p \leq N} \left( 1 - p^{-2} \right)
> \prod_{p} (1 - p^{-2}) = \zeta (2)^{-1} = \frac{6}{\pi^2}
\]
where $\zeta$ denotes the Riemann zeta function. (See [18].) \hfill \square

Corollary 2. Let $a$ be a fixed positive integer. Then for every positive integer $N \geq a$, if $n_1$ and $n_2$ are two random integers chosen independently with replacement from the set of integers
\[ \{ k \in \mathbb{Z} : 0 < k \leq N \} \]
according to the uniform probability distribution, then the probability

$$\text{Prob}_N \left( \gcd (n_1, n_2) = \gcd (a, n_1) = \gcd (a, n_2) = 1 \right)$$

that the integers $a$, $n_1$, $n_2$ are all relatively prime to each other is bounded below by

$$\text{Prob}_N \left( \gcd (n_1, n_2) = \gcd (a, n_1) = \gcd (a, n_2) = 1 \right) \geq \frac{6}{\pi^2} \left( \frac{\varphi(a)}{a} \right)^2,$$

where $\mathbb{Z}$ denotes the set of integers, and where $\varphi$ denotes the Euler phi function. Moreover, we have the asymptotic bound

$$\text{Prob}_N \left( \gcd (n_1, n_2) = \gcd (a, n_1) = \gcd (a, n_2) = 1 \right) = \Omega \left( \left( \frac{1}{\lg \lg a} \right)^2 \right).$$

Proof. The first part of this corollary follows immediately from the above corollary and proposition. The second part follows immediately from a number theoretic theorem found in [13] Theorem 328, Section 18.4 which states that

$$\lim \inf \frac{\varphi(a)}{a/\ln \ln a} = e^{-\gamma},$$

where $\gamma$ denotes Euler’s constant. \qed

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