Acid-catalyzed rearrangements in arenes: interconversions in the quaterphenyl series

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Abstract
Arene undergo rearrangement of phenyl, alkyl, halogen and other groups through the intermediacy of ipso arenium ions in which a proton is attached at the same carbon as the migrating substituent. Interconversions among the six quaterphenyl isomers have been studied here as a model for rearrangements of linear polyphenyls. All reactions were carried out in 1 M CF₃SO₂H (TfOH) in dichloroethane at 150 °C in a microwave reactor for 30–60 min, with product formation assessed by high field NMR analysis. Under these reaction conditions, m,p'-quaterphenyl is the equilibrium product. This isomer is unchanged by the reaction conditions and all other quaterphenyl isomers rearrange to m,p' as the dominant or sole product. DFT computations with inclusion of implicit solvation support a complex network of phenyl and biphenyl shifts, with barriers to rearrangement in the range of 10–21 kcal/mol. Consistent with experiments, the lowest energy arenium ion located on this surface is due to protonation of m,p'-quaterphenyl. This supports thermodynamic control based on carbocation energies.

Introduction
Carbocations are enigmatic reactive intermediates of enduring importance in chemistry. No other reactive species displays such a complex and fascinating collection of molecular rearrangements. Building on a long history, new synthetic applications [1,2] and explanations of carbocation reaction mechanisms [3-6] continue to be discovered. Chemistry in superacid solutions has played a major role in this field [7,8]. Every student of organic chemistry is taught the importance of arenium ions in the classic two step SₐAr mechanism for electrophilic aromatic substitution. Addition of an electrophile to an arene leads to a bound species, sometimes called a σ-complex, which then loses a proton at the site of substitution to yield the product [9]. Of course challenges to this simple mechanism exist [10-15], including the recent proposal of a one-step
Reaction dynamics of electrophile–arene π complexes may also play a role in site selectivity [17]. It is less commonly known that arenium ions, like many other types of carbocations, often rearrange by 1,2-shifts. This leads to a fascinating collection of rearrangements that can migrate hydrogen, halogens or more complex substituents around the ring and even modify the carbon skeleton. Early reports by Baddeley [18] helped to explain odd results from Friedel–Crafts reactions [19] and this type of process is sometime referred to as a Baddeley rearrangement. Many examples of alkyl group migration have been described [19]. Phenyl groups migrate easily and degenerate phenyl shifts in biphenyl were confirmed by isotopic labeling [20,21]. One classic example of arenium ion chemistry is the interconversion of terphenyl isomers 1–3 (Scheme 1). This rearrangement was first reported by Allen and Pingert in 1942 [22] and then independently rediscovered by Olah and Meyer twenty years later [23]. Interconversion of isomers 1–3 is believed to occur through the intermediacy of ipso arenium ions 4–6 which connect through 1,2-phenyl shifts.

Scheme 1: Acid-catalyzed rearrangements of arenes.

The term "ipso" was first proposed by Perrin and Skinner to explain unusual results in electrophilic substitution reactions; this refers to protonation at the site of a substituent [24]. Ipso protonation is the essential step in arenium ion rearrangements.

Our interest in this field arose from an accidental rediscovery of rearrangements in the terphenyl series (1–3; Scheme 1), by heating 1 with AlCl₃ – a reaction independently discovered twice before [22,23]! We confirmed earlier observations of facile acid-catalyzed interconversion, as well as the fact that m-terphenyl (2) is favored at equilibrium (observed ratio 1:2:3 is <1:69:31) and used theory to explain this product selectivity [25]. This preference is consistent with formation of the most stable arenium ion intermediate, as shown by DFT computations.

Early studies in this field used AlCl₃ as catalyst and it was generally assumed that adventitious traces of water generated the actual catalyst, precise identity unknown. We found these "water-promoted" reactions with catalytic AlCl₃ to be unreliable. To remove ambiguity about the catalyst and extend the temperature range, we developed a more reliable method for studying higher temperature carbocation rearrangements. In our method, we use 1 M (ca. 20% by volume) trifluoromethanesulfonic acid (TfOH) as catalyst with dichloroethane as our preferred solvent. Most importantly, reactions are conducted in the capped tube of a microwave reactor. With this approach, we can safely and reproducibly heat reactions to ca. 170 °C, so far without incident.

Other more complex rearrangements are easily observed. In the binaphthyl series 7–9, three sequential rearrangements occur at ambient temperature favoring the 2,2′-isomer 9 (97%) at equilibrium [26]. Aryl shifts occur readily in naphthalene, with beta-substitution favored at equilibrium. Skeletal rearrangements of fused arene rings are also possible and can proceed through several mechanisms. The first example was reported by Dansi and Salvioni in 1941 in the rearrangement of benz[a]anthracene to chrysene [27]. We recently studied the rearrangement of anthracene (10) to phenanthrene (11) [28], finding evidence to support a complex process, suggested earlier [29], that involves initial reduction to 1,2,3,4-tetrahydroanthracene, followed by a pirouette rearrangement of the reduced ring through a spirocyclic intermediate and then re-oxidation to phenanthrene. These reactions involve a complex series of proton and hydride transfers.

Results and Discussion

Beyond terphenyls, acid-catalyzed rearrangements pose limitations in the synthesis of extended polyphenyls but the factors controlling interconversion of isomers are poorly understood [30-33]. As one recent example, Jasti and co-workers showed that cycloparaphenylenes undergo rapid acid-catalyzed rearrangement which precludes using Scholl-type chemistry in this series [30]. In the present work, we have explored rearrangements of quaterphenyls, the next homolog in the paraphenylene
Scheme 2: Rearrangement of quaterphenyl isomers by phenyl shifts.

Scheme 3: Synthesis of quaterphenyl isomers.

The results of quaterphenyl isomerizations are summarized in Table 1. Initially it was expected that $m,m'$-quaterphenyl (14)
Table 1: Product distributions from rearrangement of quaterphenyl isomers.\textsuperscript{a,b}

| Reactant | Time (min) | 12 | 13 | 14 | 15 | 16 | 17 | Yield |
|----------|------------|----|----|----|----|----|----|-------|
| 12       | 30         | –  | 100| –  | –  | –  | –  | 70    |
| 12       | 60         | –  | 100| –  | –  | –  | –  | 55    |
| 13       | 30         | –  | 100| –  | –  | –  | –  | 88    |
| 13       | 60         | –  | 100| –  | –  | –  | –  | 75    |
| 14       | 30         | –  | 50 | 50 | –  | –  | –  | 81    |
| 14       | 60         | –  | 67 | 33 | –  | –  | –  | 90    |
| 15       | 30         | –  | 81 | 19 | –  | –  | –  | 70    |
| 16       | 30         | –  | 57 | 43 | –  | –  | –  | 64    |
| 17       | 30         | –  | 60 | 40 | –  | –  | –  | 67    |

\textsuperscript{a}All reactions were carried out in 1 M CF\textsubscript{3}SO\textsubscript{3}H in dichloroethane at 150 °C in a microwave reactor. \textsuperscript{b}Yields are total isolated products after flash chromatography.

might be the major isomer formed at equilibrium, as previous examples have heavily favored meta-substitution patterns. Contrary to this expectation, the major product observed in all cases was \textit{m,p}'-quaterphenyl (13). In 30 min at 150 °C, \textit{p,p}'-quaterphenyl (12) isomerized completely to 13. Under the same conditions, \textit{m,m}'-quaterphenyl (14) isomerized more slowly, yielding 13 and 14 in a 50:50 mixture in 30 min. Increased reaction time with \textit{m,m}'-quaterphenyl (14) yielded 13 and 14 in a 67:33 ratio. Starting with \textit{o,m}'- (16) or \textit{o,o}'-quaterphenyl (17) yielded a mixture of ca. 60% 13 and ca. 40% 14 in 30 min. Similarly, \textit{o,p}'-quaterphenyl (15) isomerized to an 81:19 mixture of 13:14. When starting with \textit{m,p}'-quaterphenyl, no rearrangement to 14 was observed, even at increased reaction times.

Minor products with more downfield chemical shifts were also observed via \textsuperscript{1}H NMR of these crude product mixtures; these were easily separated from quaterphenyl isomers using flash column chromatography. Analysis of the crude product mixtures by MALDI–TOF–MS confirmed these minor products could be attributed to oligomerization (\textit{m/z} = 344, 673).

Our conclusion from this series of experiments is that \textit{m,p}'-quaterphenyl (13) is the equilibrium product from rearrangement of all of the six isomers. This is most clearly demonstrated in the rearrangement of 12 to 13 and the failure of 13 to produce other isomers. In some cases, reaction times were insufficient to fully reach equilibrium.

Computational models for quaterphenyl rearrangements

While the energy surface for the interconversion of quaterphenyl isomers initially seems straightforward, there are additional modes of rearrangement that must be considered. 1,2-Phenyl shifts are possible to interconvert isomers, occurring through protonation at the ipso site on the external phenyl rings (Scheme 4a). Biphenyl shifts are also possible through protonation at the internal ipso sites (Scheme 4b). This results in a very complex potential energy surface. Protonation of a terminal phenyl group can also lead to terphenyl migration but this process is structurally degenerate and was not explored by computations.

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Both phenyl and biphenyl rearrangement pathways were studied by DFT methods, with inclusion of implicit solvation by the polarizable continuum model (PCM). As in our earlier research, we employed B3LYP/6-31+G(d,p) theory with PCM solvation in dichloroethane [25,26,28]. As noted earlier by Tantillo, the B3LYP functional provides a very good description of carboxylic chemistry [3].
Figure 1 shows the lowest energy pathways for 1,2-phenyl shifts, while Figure 2 shows those for a 1,2-biphenyl shifts. In each case, the energy reference is arbitrarily chosen as the linear ipso cation 12c or 12d for phenyl or biphenyl shift, respectively. Predicted barriers for rearrangements of ipso cations are all in the range of 9–22 kcal/mol. Common to both potential energy surfaces are the lowest energy non-ipso carbocations 12a–17a which lie at the bottom on the energy scale. It is noteworthy that m,p′ cation 13a is the lowest energy species predicted by our calculations. In each diagram, double-headed vertical arrows show the energy difference between ipso cations and their non-ipso counterpart which might be formed rapidly by secondary 1,2-hydride shifts.

In principle, the equilibrium product in these complex reactions might correlate with relative energies of the neutral quaterphenyl isomers. Reliable heats of formation for quaterphenyls are unavailable in the literature but these values and other estimates for relative energies can be predicted by theory. Starting with the lowest energy conformer for each quaterphenyl, we first computed heats of formation using the T1 method [39]. Predicted values (Supporting Information File 1) are narrowly clustered in a range of ca. 2 kcal/mol, with the lowest energy isomer predicted to be o,o′-quaterphenyl (17). This low ranking for the most congested isomer may be attributed to intramolecular π stacking. Very similar results were obtained with M06-2X/6-311+G(d,p) theory, which also placed 17 as the lowest energy quaterphenyl isomer. Our results thus do not support thermodynamic control based on relative energies of the neutral quaterphenyls.

A more plausible scenario is that thermodynamic control applies to carbocation intermediates, with the equilibrium product determined by the energy of the lowest energy carbocation in solution. This is predicted to be 13a. The speed of equilibration for different isomers is determined by the number of required steps and their barriers. Thus 12 rearranges to 13 in a phenyl or biphenyl migration, passing through TS7 or TS13, respectively. This rearrangement is complete in our standard 30 min reaction time. By contrast, 17 requires three separate migration steps to arrive at 13, passing through 16 and 14; this rearrangement (Table 1) is incomplete during the same reaction period.

An unknown factor is the degree of protonation, especially at 150 °C. We observed earlier by NMR spectroscopy that anthracene is fully protonated at ambient temperature in 1M TIOH but 1,3,5-triphenylbenzene is not [28]. One experimental observation is that the 1M TIOH/arene reaction solutions invariably have a bright color at ambient temperature due to the...
carbocation [40,41]. As these solutions are heated, they become much darker in color, implying a higher level of protonation. Upon cooling, a more normal color is returned. Full protonation of 13 at 150 °C would explain thermodynamic control by cationic intermediates.

Our naïve supposition at the outset of this project that m,m'-quaterphenyl 14 might be favored at equilibrium was unsupported by experiment, which instead showed the m,p' isomer 13 to be preferred. A comparison of 5a, the lowest energy cation from terphenyl rearrangements, with 13a, the corresponding cation for quaterphenyls, provides a simple explanation. If a single ring is protonated, greater stability accrues from a para phenyl substituent with meta a close second. The same effect should apply to longer polyphenylene chains, resulting in a chain of aryl groups that remains mostly para after generating a more basic meta substituted site.

Conclusion

Acid-catalyzed interconversions among the six quaterphenyl isomers have been studied in this work as a model for rearrangements of linear polyphenyls. All reactions were carried out in 1 M CF₃SO₃H (TfOH) in dichloroethane at 150 °C in a microwave reactor for 30 or 60 min, with product formation assessed by high field NMR analysis. Under these reaction conditions, m,p'-quaterphenyl (13) is the equilibrium product. This isomer is unchanged by the reaction conditions and all other quaterphenyl isomers rearrange to m,p' as the dominant or sole product. DFT computations with inclusion of implicit solvation support a complex network of phenyl and biphenyl shifts, with barriers to rearrangement in the range of 10–21 kcal/mol. Consistent with experiments, the lowest energy arenium ion located on this surface is due to protonation of m,p'-quaterphenyl. This supports thermodynamic control based on carbocation energies. The same effect may apply to longer polyphenylene chains, resulting in a chain of aryl groups that does not fully rearrange but remains mostly para after generating a more basic meta substituted site.

Experimental

General methods. Trifluoromethanesulfonic acid (TfOH, 99% purity) and dichloroethane (DCE, 99%+) were used as received from commercial sources. Glassware was oven dried.
and all reactions were run under a nitrogen atmosphere. 

$^1$H NMR spectra were measured in CDCl$_3$ using a Varian XL-400 MHz spectrometer. Microwave reactions were conducted using a single-mode CEM microwave reactor in 10 mL vessels with temperature monitoring by an external sensor.

General procedure for rearrangement in the microwave (MW) reactor. In a similar manner as described in [28], under a nitrogen atmosphere, the substrate (ca. 4 – 50 mg) and 1,2-dichloroethane (DCE, 4 mL) were added to a 10 mL reaction vessel. Trifluoromethanesulfonic acid (TfOH) (0.40 mL, 4.5 mmol) was added dropwise by syringe; this typically caused formation of a bright color. The reaction mixture was purged with nitrogen, capped and heated in a microwave reactor. Reaction times represent hold times after a ramp time of ca. 10 minutes. After cooling, products were isolated by careful neutralization with saturated aqueous NaHCO$_3$ and extraction with dichloromethane. The crude product mixture was purified by flash chromatography then analyzed using $^1$H NMR. The presence of oligomeric material was assessed on crude isolated products through time-of-flight matrix assisted laser desorption ionization (MALDI–TOF–MS) mass spectrometry, using sulfur as a matrix.

Suzuki–Miyaura coupling to form m,p'-quaterphenyl (13) [35]: 4-Biphenylylboronic acid (0.18 g, 0.91 mmol) and 1 M K$_2$CO$_3$ (1.5 mL) were added to a 10 mL Pyrex microwave tube. 3-Bromobiphenyl (0.07 mL, 0.42 mmol), ethanol (2.3 mL), and Pd(PPh$_3$)$_4$ (28 mg, 0.24 mmol) were then added. The head-space was purged with nitrogen and the tube was capped before the reaction mixture was placed in a microwave reactor (150 °C, 30 min hold time). After the reaction, the mixture was quenched with 1 M NaOH, extracted with dichloromethane, washed with water and brine, and dried with Na$_2$SO$_4$. The organic layer was then concentrated to a brown solid and purified by chromatography with hexanes to yield m,p'-quaterphenyl (13) as a white solid (0.058 g, mp 163–166 °C, lit 167–168 °C, 42% yield); $^1$H NMR (400 MHz, CDCl$_3$) δ 7.87–7.85 (m, 1H), 7.76–7.69 (m, 4H), 7.69–7.64 (m, 4H), 7.64–7.58 (m, 2H), 7.57–7.52 (m, 1H), 7.50–7.45 (m, 4H), 7.41–7.35 (m, 2H).

Suzuki–Miyaura coupling to form o,o'-quaterphenyl (15) [35]: K$_2$CO$_3$ (0.91 g, 6.6 mmol) and water (7.7 mL) were combined in a 25 mL round bottom flask which was purged with nitrogen, and cooled to 0 °C. Biphenyl-4-boronic acid (0.89 g, 4.5 mmol) was added, followed by 2-bromobiphenyl (0.50 g, 2.2 mmol), DMF (11.5 mL) and Pd(PPh$_3$)$_4$ (0.13 g, 0.1 mmol). The reaction mixture was stirred at 0 °C for 5 h. NaOH (1 M, 10 mL) was added and the product was extracted with DCM. The organic extract was washed with water and brine and dried with Na$_2$SO$_4$. The crude product was purified by flash chromatography with hexanes to yield o,o'-quaterphenyl as a white solid (0.85 mmol, mp 84–86 °C, lit 117–120 °C, 90% yield); $^1$H NMR (400 MHz, CDCl$_3$) δ 7.50–7.40 (m, 2H), 7.35–7.29 (m, 1H), 7.26–7.17 (m, 7H).

**Suzuki–Miyaura coupling to form o,m'-Quaterphenyl (16)** [35]: 2-Bromobiphenyl (0.20 g, 0.80 mmol), biphenyl-3-boronic acid (0.25 g, 1.3 mmol), and Pd(PPh$_3$)$_4$ (0.02 g, 0.02 mmol) were dissolved in DMF (10 mL). The reaction mixture was cooled to 0 °C and purged with nitrogen. A solution of K$_2$CO$_3$ (0.20 g, 1.5 mmol) and water (5 mL) was added via syringe and the reaction mixture was stirred at 0 °C for 5 h. The product was extracted with DCM, and washed with water, NaOH, and HCl. The organic extract was then washed with water and brine and dried with Na$_2$SO$_4$. The crude product was purified by flash chromatography with hexanes to yield o,m'-quaterphenyl as a white solid (0.085 g, mp 84–86 °C, lit 90–91 °C, 33% yield); $^1$H NMR (400 MHz, CDCl$_3$) δ 7.52–7.47 (m, 1H), 7.47–7.40 (m, 4H), 7.38–7.29 (m, 6H), 7.29–7.26 (m, 1H), 7.26–7.22 (m, 3H), 7.21–7.15 (m, 3H).

**Synthesis of o,a'-quaterphenyl (17)** [36]: A solution of 2-bromobiphenyl (0.15 g, 0.65 mmol), magnesium turnings (0.02 g, 0.69 mmol), and THF (2 mL) was stirred at ambient temperature overnight under a nitrogen atmosphere. Additional THF (4 mL) was added and the reaction mixture was cooled to −78 °C via a dry ice/acetone bath. TiCl$_4$ (0.14 g, 0.65 mmol) was added dropwise via syringe and the reaction mixture was warmed to 0 °C via an ice/water bath and stirred for 1 h. The product was extracted with ethyl acetate (3x) and dried with Na$_2$SO$_4$. The crude product was purified by chromatography with hexanes to yield o,a'-quaterphenyl as a white solid (0.040 g, mp 110–113 °C, lit 116–118 °C, 20% yield); $^1$H NMR (400 MHz, CDCl$_3$) δ 7.4–7.40 (m, 2H), 7.38–7.29 (m, 4H), 7.18–7.14 (m, 2H), 7.10–7.05 (m, 2H), 7.03–6.96 (m, 4H), 6.62–6.59 (m, 4H).

**Rearrangement of quaterphenyl isomers in a microwave reactor**

**p,p'-Quaterphenyl (12):** 150 °C, 30 min. Compound 12 (17 mg, 0.06 mmol) was heated in a MW reactor (150 °C, 30 min) according to the general rearrangement procedure. The crude product was purified via CombiFlash with hexanes to yield m,p'-quaterphenyl (13) as an off-white solid (12 mg, 70% yield).

**m,p'-Quaterphenyl (13):** 150 °C, 30 min. Compound 13 (17 mg, 0.05 mmol) was heated in a MW reactor (150 °C, 30 minutes) according to the general rearrangement procedure.
The crude product was filtered over a silica plug with hexanes to yield 13 as an off-white solid (15 mg, 88% yield).

150 °C, 1 h. 13 (16 mg, 0.05 mmol) was heated in a MW reactor (150 °C, 1 h) according to the general rearrangement procedure. The crude product was filtered over a silica plug with hexanes to yield 13 as an off-white solid (12 mg, 75% yield).

**m,m’-Quaterphenyl (14):** 150 °C, 30 min. Compound 14 (16 mg, 0.05 mmol) was heated in a MW reactor (150 °C, 30 minutes) according to the general rearrangement procedure. The crude product was purified via CombiFlash with hexanes to yield an off-white solid (13 mg, 81% yield) consisting of 13 (50%) and 14 (50%).

150 °C, 1 h. Compound 12 (10 mg, 0.03 mmol) was heated in a MW reactor (150 °C, 1 h) according to the general rearrangement procedure. The crude product was filtered over a silica plug with hexanes to yield an off-white solid (9 mg, 90% yield) consisting of 13 (67%) and 14 (33%).

**o,p’-Quaterphenyl:** Compound 15 (10 mg, 0.03 mmol) was heated in a MW reactor (150 °C, 30 min) according to the general rearrangement procedure. The crude product was purified via CombiFlash with hexanes to yield an off-white solid (7 mg, 70% yield) consisting of 13 (81%) and 14 (19%). Minor products were eluted with ethyl acetate. MALDI–TOF–MS analysis indicated oligomerization (m/z = 344, 673).

**o,m’-Quaterphenyl:** Compound 16 (28 mg, 0.09 mmol) was heated in a MW reactor (150 °C, 30 minutes) according to the general rearrangement procedure. The crude product was filtered over a silica plug with hexanes to yield an off-white solid (18 mg, 64% yield) consisting of 13 (57%) and 14 (43%).

**o,o’-Quaterphenyl:** Compound 17 (6 mg, 0.02 mmol) was heated in a MW reactor (150 °C, 30 minutes) according to the general rearrangement procedure. The crude product was filtered over a silica plug with hexanes to yield an off-white solid (4 mg, 67% yield) consisting of 13 (60%) and 14 (40%).

**Computational methods:** DFT computations on carboxylation intermediates and transition states were carried out with the B3LYP functional and 6-31+G(d,p) basis set, using the polarizable continuum model in dichloroethane to model solvation [42]. Each stationary point was characterized as a minimum or transition state by vibrational frequency analysis but the large number of reaction paths precluded calculation of intrinsic reaction coordinates. Reported relative energies are from free energy calculations at 298 K.

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