Review Article

Cross-Talk between Gut Microbiota and Heart via the Routes of Metabolite and Immunity

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Considering the prevalence of cardiovascular disease (CVD), significant interest has been focused on the gut microbiota-heart interaction because the gut microbiota has been recognized as a barometer of human health. Dysbiosis, characterized by changes in the gut microbiota in CVD, has been reported in cardiovascular pathologies, such as atherosclerosis, hypertension, and heart failure. Conversely, gut microbiota-derived metabolites, such as trimethylamine/trimethylamine N-oxide (TMA/TMAO), can impact host physiology. Further, bacterial dysbiosis can disturb gut immunity, which increases the risk of acute arterial events. Moreover, studies of germ-free mice have provided evidence that microbiota diversity and the presence of a specific microbe in the gut can affect immune cells in hosts. Therefore, the changes in the composition of the gut microbiota can affect host metabolism and immunity. Importantly, these effects are not only confined to the gut but also spreaded to distal organs. The purpose of the current review is to highlight the complex interplay between the microbiota and CVD via TMAO and different immune cells and discuss the roles of probiotics and nutrition interventions in modulating the intestinal microbiota as novel therapeutic targets of CVD.

1. Introduction

Paralleling the improvement of the social economy and aging population, cardiovascular disease (CVD) becomes the leading cause of death and disability worldwide. According to the American Heart Association, the overall rate of death attributable to CVD in 2013 was 222.9 per 100,000 Americans, accounting for 35% of deaths before the age of 75 years [1]. Cardiovascular health including health behaviors (e.g., healthy dietary pattern, appropriate energy balance, and nonsmoking) and health factors (e.g., optimal blood lipid level and blood pressure (BP)) has been recently defined as the primary goals. The role of the ecological system consisting of gut commensal bacteria in cardiovascular health becomes the focus of the current research.

In CVD, the ratio and abundance of the gut microbiota change and interventions using prebiotics, probiotics, and synbiotics share similar therapeutic efficacies in attenuating cardiac dysfunctions. Moreover, accumulating evidence indicates that bacterial dysbiosis increases cardiometabolic risks. It is likely that the gut microbiota substantially contributes to the global epidemic of CVD.

The microbiota in the gut, coevolving with the host, mainly colonizes in the colon. It can perform multiple functions, such as fermentation of nondigestible dietary substances, control of intestinal epithelial cell proliferation, and prevention from propagation of pathogenic microorganisms [2, 3]. Accumulating evidence also shows that manipulation of the composition of the gut microbiota affects host metabolism and immunity [4], whereas the effect is not only confined to the intestine but also spreaded to distal organs through different pathways [5, 6]. In this review, we aimed to discuss the compositional and functional changes in the gut microbiota in relation to CVD, determine the effects of
the gut microbiota on CVD from the view of trimethylamine N-oxide (TMAO) and immune cells, and evaluate how gut interventions can lead to novel therapeutic targets for CVD.

2. Changes in the Composition of the Gut Microbiota in CVD

The development of high-throughput sequencing of nucleic acids (i.e., DNA and RNA) for taxonomic mapping allows the identification of the origins and composition of the microbiota [7]. After birth, the intestinal tract is colonized by nonpathogenic microorganisms and maintains a coexisting and symbiotic relationship with microbial ecology, which evolves over time and is susceptible to both exogenous and endogenous modifications. Generally, 35,000 species of the gut microbiota have been classified into five phyla (i.e., Bacteroidetes, Firmicutes, Actinobacteria, Proteobacteria, and Verrucomicrobia). The composition and ratio of the intestinal flora seem to change during the pathogenesis of CVD (Table 1).

The previous observation in patients of atherosclerosis (AS) that the abundances of Veillonella and Streptococcus in atherosclerotic plaques were correlated with their abundance in the oral cavity first suggested that the plaque microbiota may be partly derived from the oral cavity and/or the gut [8]. Furthermore, periodontal pathogens may in turn influence plaque composition and rupture, accompanying with increasing risks for coronary artery disease [9]. Interestingly, patients with symptomatic AS had a higher relative abundance of Anaeroglobus in the oral cavity than asymptomatic AS control [10]. Patients with symptomatic AS demonstrated enriched genus Collinsella in the gut compared to healthy controls; gut metagenome may be associated with the inflammatory status of the host [11]. Taken together, these data seem to suggest that the gut microbiome is more proinflammatory in patients with CVD [12]. Specially, large-scale clinical research on patients with coronary AS demonstrated that the abundances of Enterobacteriaceae and Streptococcus increased, while those of probiotics (Clostridium) decreased [13]. Patients with heart failure (HF) showed significantly decreased diversity of the intestinal microbiome and down-regulated key intestinal bacterial groups [14]. Older patients had diminished proportions of Bacteroidetes and larger quantities of Proteobacteria and enriched Lactobacillus [15] compared to younger patients with HF.

Studies on APOE^{−/−} rats provided direct evidence that AS susceptibility within a host may be influenced by gut (cecal) microbial transplantation. Cecal microbial transplantation from AS-prone versus AS-resistant inbred strains of mice enhanced choline diet-dependent AS. The study also revealed that Prevotella was positively correlated with atherosclerotic plaque lesions [16]. On the 7th day after surgery for acute myocardial infarction (AMI) in a previous study, the abundance of the gut microbiota, such as the Synergistetes phylum and Lachnospiraceae family, significantly increased, paralleling gut barrier impairment [17]. Bacteria from the phyla Bacteroidetes and Firmicutes were prevalent in spontaneously hypertensive rats. These findings suggest that bacteria from the oral cavity and perhaps even the gut may correlate with disease progression of CVD.

3. Gut Microbiota-Derived Metabolite: TMAO and CVD

The gut microbiota can elicit effects on the host through bioactive metabolisms. Recent research studies have established that TMAO, an intestinal microbiota metabolite of choline and phosphatidylcholine, can increase the risk of incident major adverse cardiovascular events [18, 19]. Initially, Wang et al. found that the three metabolites of the dietary lipid phosphatidylcholine, namely, choline, TMAO, and betaine, predicted the risk for CVD in an independent large clinical cohort [5]. Then, they found that omnivorous human subjects produced more TMAO than vegans or vegetarians following the same ingestion. Furthermore, oral broad-spectrum antibiotics to suppress the intestinal microbiota can suppress detectable endogenous TMAO in both the plasma and urine, suggesting that the dietary status and specific bacterial taxa affect TMAO concentration. Thus, the gut microbiota plays a specific role in TMAO formation [6].

TMAO is derived from a diet containing choline through the digestion of gut flora which metabolizes choline to trimethylamine (TMA), a gas that is then absorbed into the circulation. TMA can be catalyzed as TMAO by FMO3, a key rate-limiting enzyme in the liver [20]. Specially, FMO3, as a direct FXR target gene, can be activated by bile acids to upregulate expression [20].

In clinical studies, patients who had major adverse cardiovascular events also had higher baseline levels of TMAO than those who did not. The TMAO levels were associated with a 3.4-fold increased mortality risk. TMAO was directly correlated with the severity of HF, independent of the brain natriuretic peptide level and glomerular filtration rate [21]. One to 3 days after acute MI, the circulating TMAO concentrations have been observed to rise. Specifically, the level of TMAO levels in acute MI was associated with prognosis, which predicted adverse outcome of all-cause mortality or reinfarction (death/MI) at 2 years [22].

In functional studies, although the TMAO levels did not directly affect the BP in rats, they prolonged the hypertensive effect of angiotensin II (Ang II) by affecting the structure of receptors and Ang II [23, 24]. Furthermore, TMAO could also exacerbate cardiac fibrosis and left ventricular (LV) adverse remodeling and dysfunction in a model of cardiac hypertrophy and heart failure [25]. It was found that 3,3-dimethyl-1-butanol (DMB), an inhibitor of TMA formation, can prevent cardiac inflammation and fibrosis in western diet- (WD-) induced cardiac dysfunction [26]. In addition, TMAO is associated with cardiovascular burden, such as glycemic control [27], BMI, and renal fibrosis [28].

Regarding mechanisms, the gut microbiota-driven TMA/FMO3/TMAO pathway is a key regulator of lipid metabolism and inflammation. For example, a recent study suggested that dietary supplementation with TMAO, carnitine, or choline reduced the reverse cholesterol transport (RCT) in mice. RCT can be mediated by either the classic biliary route or the nonbiliary transintestinal cholesterol excretion (TICE).
pathway [29]. During lipid metabolism, (1) TMAO significantly increases the expression of ABCA1 and ABCG1 in the liver which helps cholesterol efflux to apoA1 as the cholesterol acceptor; (2) TMAO in the gut also markedly reduces the mRNA expression of NPC1L1, which transports cholesterol into the enterocyte from the gut lumen; (3) TMAO reduces the bile acid pool in the liver, which is associated with decreased endothelial self-repair and increased monocyte adhesion [32]; (4) TMAO may be associated with macrophage polarization in WD-fed rats by increasing TNF-α and interleukin 1β (IL-1β) levels and decreasing anti-inflammatory factor IL-10 levels [23]; and (5) TMAO can significantly trigger oxidative stress and activate TXNIP-NLRP3 inflammasomes to release IL-1β and IL-18 in a dose- and time-dependent manner [33] in vitro. These studies in vivo and vitro pave the way for the clinical regulation of intestinal microorganisms and dietary interventions to prevent the formation of TMAO and improve CVD.

4. Gut Microbiota and Immunity in CVD

AS and resulting CVD involve inflammatory reactions in which both the innate and acquired immunities are involved, and anti-inflammatory therapy has received concerns [34]. The gut mucosa as one of the largest immunological active organs in the human body harbors several hundred trillions of bacteria, which are closely tied with the immune system, each influencing and being influenced by the other [35]. Therefore, whether gut microbes interact with CVD in terms of immunity remains unclear.

Recent research revealed that patients with chronic inflammatory bowel diseases, such as Crohn’s disease and ulcerative colitis, were at an increased risk of acute arterial events [36], especially young patients [37], and the disease inflammatory state may be an independent risk factor for acute arterial events. Clinical studies and animal experiments have demonstrated that elevated plasma cholesterol attributed to WDs promoted CVD development. In the process, dietary cholesterol initiates intestinal inflammation in epithelial cells [38], disrupts the immune homeostasis, induces gut dysbiosis, and increases CD4+ and CD8+ cell infiltration in distal organs, such as the heart [26].

### Table 1: Summary of human trials studying the association between gut microbiome and cardiovascular disease.

| Study             | Aim                                                                 | Method                        | Outcome                                                                                       | Number                  |
|-------------------|----------------------------------------------------------------------|-------------------------------|------------------------------------------------------------------------------------------------|-------------------------|
| Koren et al. [8]   | To investigate the effect of oral or gut microbiota on the microbial composition of atherosclerotic plaques | qPCR, 16S rRNA                | The abundances of *Veillonella* and *Streptococcus* in atherosclerotic plaques correlated with their abundance in the oral cavity | 30 adults: 15 CVD and 15 healthy |
| Hyvärinen et al. [9] | To investigate the association between coronary artery disease and periodontal pathogens | qPCR                           | Levels of *A. actinomycetemcomitans* associated with increased risk for CAD                     | 179 CAD, 166 ACS, 119 healthy |
| Fak et al. [10]    | To elucidate the relationship between the oral microbiota composition and patients with asymptomatic and symptomatic atherosclerosis | 16S rRNA                      | Higher relative abundance of the bacterial genus *Anaeroglobus* in symptomatic atherosclerosis  | 27 symptomatic AS, 35 asymptomatic AS, 30 healthy controls |
| Karlsson et al. [11]| To investigate whether the gut metagenome is associated with symptomatic atherosclerosis | MEDUSA                        | Genus *Collinsella* enriched in patients, *Eubacterium* and *Roseburia* enriched in controls | 12 symptomatic AS, 13 healthy controls |
| Jie et al. [12]    | To systematically examine the composition and functional capacity of the gut microbiome in relation to cardiovascular diseases | Shotgun sequencing | Increased abundance of Enterobacteriaceae and *Streptococcus* spp. in patients | 218 CVD, 187 healthy controls |
| Luedde et al. [14] | To systematically investigate specific changes of the intestinal microbiome in HF patients | 16S rRNA                      | Decreased diversity of the intestinal microbiome and downregulated key intestinal bacterial groups, such as *Blaustia*, *Collinsella*, *Erysipelotrichaceae*, and und in HF patients | 20 HF, 20 controls |
| Kamo et al. [15]   | To investigate whether gut microbiota in HF is associated with aging | 16S rRNA                      | Diminished proportions of Bacteroidetes, larger quantities of Proteobacteria, and enriched *Lactobacillus* in older patients with HF | 12 HF patients younger than 60 years, 10 HF patients 60 years of age or older |

CAD: coronary artery disease.

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**Note:** Table 1 provides a summary of human trials investigating the association between gut microbiome and cardiovascular disease.
of the gut in cases of dysbiosis affects not only itself but also the systemic circulation and central or peripheral tissues. Therefore, we can conclude that the intervention of intestinal immunity may be another novel therapeutic target for the prevention of CVD [34].

4.1. Gut Microbiota and Immunity in AS. The relationship between inflammation and immune responses has been clarified by our understanding of innate and adaptive immunology. First, inflammation as a result of innate and adaptive immunity is produced from the start to the end in AS. Innate immunity is the first line of defense against pathogens and plays a role in the development of diseases such as atherosclerosis. Various types of innate immune cells, such as macrophages and neutrophils, are responsible for phagocytosis and the secretion of pro-inflammatory cytokines. The activation of innate immunity can lead to the development of atherosclerotic lesions. In the development of atherosclerosis, the release of oxysterols, inflammatory cytokines, and oxidative stress can induce the activation of innate immune cells. For example, the expression of Toll-like receptors (TLRs) on macrophages is upregulated in response to microbial components, leading to the production of inflammatory cytokines such as TNF-α, IL-1, IL-6, and IL-12. These cytokines can promote the recruitment and activation of immune cells, leading to the development of atherosclerosis.

4.2. Gut Microbiota and Immunity in HF. HF is primarily a clinical diagnosis that develops secondary to either LV systolic or diastolic dysfunction, which is the final outcome of various CVDs [49]. HF with a preserved ejection fraction (EF) and HF with a reduced EF account for 50% of cases [50]. The levels of numerous proinflammatory cytokines, such as TNF-α, IL-1, IL-6, and IL-12, have been observed to be elevated in patients and in animal models with HF [51, 52]. Elevated circulating CD14+ monocyte counts 3 days after MI predict a failure to recover the LV systolic function at 3 months post-MI in patients [53]. Endotoxins, an important stimulus for cytokine production in patients with HF [54], can originate from disrupted intestines resulting from a reduced cardiac output, potentially leading to further exacerbations. An early study on intestinal microbes and HF displayed the perspective of intestinal permeability, that is, gut hypothesis. Whether manipulation of the gut microbiota can attenuate HF and improve long-term outcomes remains to be determined.

5. Gut Microbiota as a Potential Therapeutic Target in CVD

The experimental evidence and clinical observations prove the functional link between the intestinal microbiota and CVD, suggesting the theoretical basis to manipulating intestinal microbiota to prevent CVD. The gut microbiota consisting of trillions of commensal microorganisms can express enzymes capable of interacting and interfering with the nutrition and drug we consume, ultimately impacting each other.

First, the use of prebiotics, probiotics, and synbiotics, which is the easiest way to interfere with microbiota composition, had a similar efficacy in reducing LV dysfunctions in obese insulin-resistant rats [55]. For example, probiotics, as a kind of live beneficial bacteria, are administered to reestablish an appropriate intestinal balance (Table 2). In patients with CVDs, consumption of Lactobacillus plantarum (DSM 9843) increased the intestinal microbial diversity compared to a placebo [56]. Probiotic Bifidobacterium animalis subsp. lactis LKM512 could decrease the fecal TMA concentration and BMI in individuals [57]. Lab4 probiotic consortium plus L. plantarum CUL66 possessed anticholesterolemic capabilities in wild-type C57BL/6 mice fed with a high-fat diet. Probiotic Enterobacter aerogenes ZDY01 attenuated choline-induced TMAO levels via remodeling of the gut microbiota in mice [58]. Unfortunately, the treatment using probiotic L. casei Shirota in patients with metabolic syndrome [59] and VSL#3 in nonobese men during the consumption of a hypercaloric and high-fat diet cannot attenuate the production of TMAO [60]. Moreover, probiotics consisting of different strains may have various effects on CVD; thus, identifying the appropriate strains is essential for therapy.
Functional genomics on the most closely related reference strains provided specific treatment strategies to restrain TMA producers and limit their proliferation [61].

Second, the effects of other components in diets can regulate the composition of the intestinal flora (Table 3). In APOE−/− mice fed with a high-fat/cholesterol diet [62], plant sterol ester (PSE), which elevates the relative abundance of Helicobacter, Erysipelotrichaceae, and the genus Roseburia in the gut, is associated with reduced cholesterol levels, aortic plaque, and body fat. Oat β-glucan (OBG) can elevate butyrate levels and promote Verrucomicrobia population expansion. Thus, it appears to protect against high-fat/cholesterol-induced atherogenesis [63]. High-fiber diets can increase the prevalence of acetate-producing bacteria and improve the levels of Bacteroides acidifaciens, thereby preventing the development of hypertension and HF in hypertensive mice [64]. Inulin-type fructans (ITFs) can reverse endothelial dysfunction via increased NO-producing bacteria in APOE−/− mice [65]. Vegetable/fruit juices promote weight loss, increase vasodilator NO levels, and decrease lipid oxidation in healthy individuals by decreasing the proportion of the phylum Firmicutes and Proteobacteria and increasing Bacteroidetes and Cyanobacteria in the stool [66]. The combination of ω-3 PUFAs and proanthocyanidins can provide cardiovascular benefits by maintaining the standard proportions of bacterial subgroups and their function [67]. Dietary allicin, a potent antimicrobial compound found in garlic, also reduces the transformation of TMAO from L-carnitine through impacting the gut microbiota in mice [68]. In addition, 3,3-DMB, a structural analog of choline prevalent in wine, olive oil, and grapeseed oil, inhibits TMA production from the gut microbes by inhibiting distinct microbial TMA [69]. Resveratrol, a natural phytoalexin with prebiotic benefits, has been found to attenuate TMAO-

Table 2: Summary of the human randomized controlled trials analyzing the effect of probiotic supplementation on CVD.

| Authors          | Aim                                                                 | Population | Main findings                                                                 | Duration |
|------------------|----------------------------------------------------------------------|------------|------------------------------------------------------------------------------|----------|
| Karlsson et al.  | To clarify the effect of L. plantarum (DSM 9843) on intestinal microbiota in patients with cardiovascular disease | 16 males with atherosclerotic plaque | Increased bacterial diversity and decreased concentration of isovaleric acid and valeric acid | 4 weeks  |
| Matsumoto et al. | To investigate the effect of probiotic Bifidobacterium animalis subsp. lactis on colonic TMA and atherosclerosis-related markers in healthy subjects | 27 healthy adults | Reduced fecal TMA concentration and BMI | 12 weeks |
| Tripolt et al.   | To investigate the impact of Lactobacillus casei Shirotia (LeS) on the formation of TMAO in subjects with metabolic syndrome | 30 subjects with metabolic syndrome | Not affecting levels of TMAO | 12 weeks |
| Boutagy et al.   | To investigate whether multistrain probiotic VSL#3 would attenuate the increase in fasting plasma concentrations of TMAO following a high-fat diet | Nonobese males | Not affecting levels of TMAO | 2 weeks  |

Table 3: Nutrition intervention alters gut microbiota composition and improves CVD.

| Nutrition intervention | Main findings                                      | Alterations in gut microbiota composition |
|------------------------|----------------------------------------------------|------------------------------------------|
| PSE (plant sterol ester) | Cholesterol and aortic plaque ↓                   | Erysipelotrichaceae ↑                     |
| OBG (oat β-glucan)     | Cholesterol, aortic plaque, weight, and fat ↓      | Butyrate levels and Verrucomicrobia ↑     |
| High-fiber diet        | Improve hypertension and heart failure             | Acetate-producing bacteria ↑             |
| ITF (inulin-type fructans) | Reverse endothelial dysfunction                    | Akkermansia ↑                             |
| Vegetable/fruit juices | Promote weight loss, increase vasodilator NO, and decrease lipid oxidation | Bacteroidetes ↑ Cyanobacteria ↑          |
| ω-3 PUFAs and proanthocyanidins | Plasma cholesterol ↓                               | Maintains the standard proportions of bacterial subgroups and their function |
| Allicin                | TMAO ↓                                             | Clostridium ↑                             |
| DMB                    | TMAO ↓                                             | Inhibit distinct microbial TMA lyases     |
| Resveratrol            | TMAO ↓                                             | Lactobacillus and Bifidobacterium ↑      |
| Ganoderma lucidum mycelium | Weight, inflammation, and insulin resistance ↓     | Firmicutes-to-Bacteroidetes ratios and endotoxin-bearing Proteobacteria levels ↓ |
induced AS by decreasing plasma TMAO levels and increasing hepatic bile acid neosynthesis by changing the intestinal flora [70]. In addition, *Ganoderma lucidum* (*G. lucidum*), a medicinal mushroom used in traditional Chinese medicine, has been reported to have antiobesity properties, which are mediated by modulating the composition of the gut microbiota [71]. *G. lucidum* and its high-molecular-weight polysaccharides may be used as probiotic agents to prevent gut dysbiosis and obesity-related metabolic disorders in mice fed with a high-fat diet [72].

Given the abovementioned intervention, the gut can regulate microbial community, which can further improve CVDs. When exposed to poison, such as acrolein [73], the gut increased the levels of intestinal *Coprococcus* and enhanced macrophage atherogenicity in atherosclerotic mice. Thus, gut microbiota remodeling plays a role in the development of CVDs and risks.

6. Concluding Remarks and Future Perspectives

The evidence from animal and human studies supports that gut microbiota is in correlation with cardiovascular disease. Unappreciated complexity and considerable diversity of the bacterial microbiome have been gradually uncovered via culture-independent methods. However, the direct relationship between gut microbiota and cardiovascular disease remains obscure. In addition, the diversity of microbiome enhanced the difficulty in identifying strains in correlation with disease state, which restricted therapeutic interventions for the exact target.

Apart from these restrictions, intestinal flora as a barometer of human health is a novel therapeutic target for preventing CVD. Further, tunable expression platforms for the prominent microbiome in which gene expression is controlled by a synthetic inducer may be a good tool. Larger randomized controlled studies of adequate sample size and duration and well-defined therapeutic schedules and endpoints are strongly advisable.

Conflicts of Interest

The authors of this article have nothing to disclose. No conflicts of interest exist.

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