Construction and Characterization of an Attenuated *Listeria monocytogenes* Strain for Clinical Use in Cancer Immunotherapy

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*Listeria monocytogenes* has been exploited previously as a vaccine vector for the delivery of heterologous proteins such as tumor-specific antigens for active cancer immunotherapy. However, for effective use of live vector in clinics, safety is a major concern. In the present study, we describe an irreversibly attenuated and highly immunogenic *L. monocytogenes* platform, the *L. monocytogenes* dal-, dat-, and actA-deleted strain that expresses the human prostate-specific antigen (PSA) using an antibiotic resistance marker-free plasmid (the *dal dat actA* 142 strain expressing PSA). Despite limited in vivo survival, the *dal dat actA* 142 strain was able to elicit efficient immune responses required for tumor clearance. Our results showed that immunization of mice with the *dal dat actA* 142 strain caused the regression of the tumors established by the prostate adenocarcinoma cell line expressing PSA. An evaluation of immunologic potency indicated that the *dal dat actA* 142 strain elicits a high frequency of PSA-specific immune responses. Interestingly, immunization with the *dal dat actA* 142 strain induced significant infiltration of PSA-specific T cells in the intratumoral milieu. Collectively, our data suggest that the *dal dat actA* 142 strain is a safe and potent vector for clinical use and that this platform may be further exploited as a potential candidate to express other single or multiple antigens for cancer immunotherapy.

Biological and immunological characteristics of *Listeria monocytogenes* make this gram-positive bacterium an ideal vaccine vector. *L. monocytogenes* triggers potent cellular immune responses in an infected host due to its ability to survive in both phagocytic and cytosolic compartments. Several groups have shown recombinant *L. monocytogenes* to be an effective agent for immunotherapy against infection and cancer (2, 16, 19–21, 24, 27, 28). Currently, there are two methods to genetically modify *L. monocytogenes* to express heterologous antigens in vivo. These include the insertion of a heterologous gene in the bacterial chromosome either by homologous recombination (7, 16) or by phage-specific insertion (13) and the transformation of *L. monocytogenes* with a plasmid carrying a foreign antigen (7, 26). The plasmid-based strategy has the advantage of multicopy expression but relies on complementation for the maintenance of the plasmid in vivo. To address this, two mechanisms have been described previously for *L. monocytogenes*. One is based on the complementation of a prfA-deficient *L. monocytogenes* strain (XFL7) with a copy of episomal prfA (a major gene transcription activator for several virulence genes in *L. monocytogenes*) (7). This complementation ensures the retention of the plasmid in vivo but requires the presence of antibiotic resistance genes for in vitro selection. The second approach uses complementation with alanine racemase (dal), an enzyme involved in the synthesis of the cell wall component d-alanine (35).

In *L. monocytogenes*, the d-alanine metabolism is regulated by two genes, *dal* and *dat* (34). The complementation of the *dal* strain with either one of these genes is sufficient for restoring the synthesis of d-alanine both in vivo and in vitro (34, 35). Based on this property, Verch et al. designed the shuttle vector pTV3 that is devoid of antibiotic resistance markers but harbors a copy of the *L. monocytogenes* dal (dal₃₉₄₉) gene (35). This plasmid could complement the growth of both *Escherichia coli* *ala drx* (MB2159) and the *dal dat* mutant strains in vivo and in vitro (35). The *dal* strain contains a *dal* gene from which 82% of the nucleotides have been deleted; the remaining 18% are 44 bp corresponding to the 5′ end and 158 bp corresponding to the 3′ end (33). The 18% homology between the episomal *dal₃₉₄₉* gene and the *dal* strain chromosome is low for reverse recombination to create a revertant. However, due to regulatory concerns about using this plasmid in humans, it was safer to replace the *dal₃₉₄₉* gene in pTV3 with the nonhomologous *Bacillus subtilis* *dal* (*dal₁₅₃₅*) gene. Previously, Zhao et al. (37) showed that the *dal₁₅₃₅* gene can complement the growth of the *L. monocytogenes* *dal* strain in vivo and in vitro.

The *L. monocytogenes* *dal* strain containing pTV3-based plasmid is often attenuated merely due to the metabolic burden caused by the expression of a foreign antigen. Therefore, there is always a probability that these strains might regain their original virulence if the antigen expression is reduced or lost for any reason. This prompted us to construct an attenuated strain that exhibits a reduction in virulence due to an irreversible deletion of a major *L. monocytogenes* virulence gene, i.e., *actA*. ActA is a major virulence factor of *L. monocytogenes* that is involved in actin polymerization, and it is necessary for cytoplasmic movement and the cell-to-cell spread of the organism (12). Here, we tested this new generation of an antibiotic-free, *dal₁₅₃₅* plasmid antigen expression system (pAdv142) by complementing the attenuated *dal dat actA* strain. We tested the resulting *L. monocytogenes* *dal dat actA*
142 strain (expressing prostate-specific antigen [PSA]) in a previously described mouse model for prostate cancer because of its ability to eradicate established tumors and to induce cell-mediated immunity to PSA (27).

PSA is a kallikrein serine protease (KLK3) secreted by prostatic epithelial cells (36) and is used as a serum marker for prostate cancer (32). The potential of PSA as an antigen in immunotherapy is due to its overexpression in malignant prostate cells and low expression by normal prostate epithelial cells and other organs, such as the small intestine and testes (3). There is a great deal of information regarding the potential of this antigen for the treatment of prostate cancer (1, 10, 15, 17, 25). Previously, we showed that a recombinant L. monocytogenes vaccine expressing PSA (Lm-LLO-PSA) can cause the regression of solid tumors expressing PSA in a murine model for prostate cancer (27). The application of Lm-LLO-PSA is not ideal for a clinical setting due to its virulence and the presence of two chloramphenicol resistance genes (those for CAT) for in vitro selection in gram-negative (E. coli) and gram-positive (L. monocytogenes) bacteria. Here we show that the dalLm-based, antibiotic-free plasmid in an attenuated L. monocytogenes daldat strain backbone is a more efficient delivery vector than our previously described Lm-LLO-PSA vaccine. The expression of the heterologous antigens from the L. monocytogenes dal dat strain based on the antibiotic-free shuttle vectors is potentially more compatible with the international regulatory requirements and could be developed further for clinical use.

MATERIALS AND METHODS

Peptides, oligonucleotides, antibodies, and fluorescence-activated cell sorter (FACS) reagents. Peptides were synthesized by EZBiolabs (Westfield, IN), and oligonucleotides were synthesized by Invitrogen (Carlsbad, CA). The reagents for flow cytometry were obtained from Becton Dickinson (San Diego, CA). The antibodies used for staining in flow cytometry were as follows: CD3e-PerCP-Cy5.5 (clone 142-2C11), CD4-phycocerythrin (PE) (clone RM-4), CD8-fluorescein isothiocyanate (clone 53-6.7), CD25-allophycocyanin (APC) (clone PC61), C6D2-ALP (clone ME14), gamma interferon (IFN-γ)-PE (clone XMG1.2), anti-caspase-3-PE (clone C92-605), and anti-FoxP3 (Miltenyi Biotec, Auburn, CA). Culture media and supplements were obtained from Gibco (Carlsbad, CA) or Sigma (St. Louis, MO). Enzyme-linked immunosorbent (ELISPOT) assay antibodies were obtained from Bioxtech (Cincinnati, OH). PSA tetramers were prepared by Emory University (Atlanta, GA). All other reagents, unless indicated, were from Sigma.

Mouse, cell lines, and media. C57BL/6 mice and C57BL/6 Ighmnull mice (GKO−/−) mice were purchased from Jackson Laboratories (Bar Harbor, ME) and were maintained at the Cook Campus Animal Facility at Rutgers University, New Brunswick, NJ. Experiments with mice were performed after written approval from the Institutional Animal Care and Use Committee at Rutgers University. The construction of the prostate adenocarcinoma cell line expressing human PSA (TPS2A3) was described previously (27). TPS2A3 cells were grown and maintained in Dulbecco’s modified Eagle’s medium supplemented with 4 mM glutamine and adjusted to contain 1.5 g/liter sodium bicarbonate, 4.5 g/liter glucose, 5 µg/ml insulin, 10 mM dehydroascorbic acid, 5% fetal bovine serum (FBS), and 5% N2/Hep (BD Biosciences, CA). In the presence of 5 µg/ml recombinant human insulin (Invitrogen). MC57G fibrosarcoma cells were maintained in Eagle’s minimum essential medium with 2 mM glutamine, 1.5 g/liter sodium bicarbonate, 0.1 mM nonessential amino acids, 1.0 mM sodium pyruvate, and 10% FBS. EL4 lymphoma cells were maintained in Dulbecco’s modified Eagle’s medium with 4 mM glutamine adjusted to contain 1.5 g/liter sodium bicarbonate, 4.5 g/liter glucose, and 10% FBS. J774A.1, a murine macrophage-like cell line, was maintained in RPMI 1640 medium with 4 mM glutamine, 1.5 g/liter sodium bicarbonate, 4.5 g/liter glucose, and 10% FBS. Complete RPMI (C-RPMI) medium contained RPMI 1640 medium supplemented with 2 mM glutamine, 1.0 mM nonessential amino acids, 1.0 mM sodium pyruvate, 10% FBS, penicillin-streptomycin (1%), and HEPES buffer (1 mM). For immunological assays such as intracellular cytokine staining and cytotoxic T-cell assays, 2-mercaptoethanol was added to C-RPMI medium to a final concentration of 55 mU.

Construction of the L. monocytogenes dal dat strain. The dal dat strain was constructed using the method of homologous recombination as described previously by Mata et al. (16). The construction of the dal dat strain has been described previously (34). The dal dat strain is based on the L. monocytogenes genetic background 1043S, which contains a streptomycin resistance gene integrated in the chromosome. To delete actA from the dal dat strain, the chromosomal region corresponding to the upstream (657 bp) and downstream (625 bp) regions of actA was amplified and joined by splicing by overlap extension PCR. The primers used for the amplification of DNA region upstream of actA were UactA-F1 (CCGGATCGCCGGAACCATGGTGGTGA) and UactA-R1 (CGTCGATGTTCTCGTGGCGGGAATCTGAA), and those used for the downstream region were DactA-F2 (ACGATTAACCCGCCACGGAGAATACGCACGTATTTCTGTCGAC), and DactA-R2 (CGCGATCCGGCTAGGCTATAATTTATATACGAGGCGG) that anneal externally to the recombinant gene.

Construction of the pAdv142 plasmid. Several modifications were introduced into the original pTV3 plasmid (35) to create the next generation of E. coli-L. monocytogenes antibiotic-free plasmids. To delete regions of interest with the restriction enzymes (RE) Xmal and EheI (New England Biolabs). The plasmid pTV3 was first linearized with Xmal, and its ends were filled with Klenow polymerase. The pTV3/Xmal linearized and end-filled fragment was further digested with EheI RE, resulting in the release of a 1,571-bp DNA fragment containing pVS. The remaining 6,000 bp of the plasmid backbone was blunt ligated, resulting in the pTV3/DAL0A plasmid. The cassette for the p60 promoter for deletion of the NheIPad restriction sites was replaced by the p60 promoter for deDal, resulting in the plasmid pTV3-Daldal-Dalp60. The dalp60 gene (protein ID no. NP 883451.1) was amplified from the chromosomal DNA of Bacillus subtilis 168 (ATCC) using the forward primer dalF (GAGAGAGTTTTCATGAGCAGACAAACACCTTTTCAGAGATATCGG), which contained a 5’ overhang to join with the p60 promoter by splicing by overlap extension PCR, and the reverse primer dalR (GTTACATTGTTTAAGTCGTTATATTACCTGCAATAAAGGATTTC), which contained an NheI site (underlined). The gene kk3 was amplified from our previously described plasmid, pAdv34 (27), using oligonucleotides as follows: F (GTGGCTAGATGTTGGAGGCTGGGATG) and R (CTTCCCGGGTTAAGGCTTGGCAGGAGGTG) and the RE sites in the oligonucleotides are underlined. The human PSA gene kk3 was cloned in pTV3-Daldal-Dalp60 at its Xhol/Xmal restriction site, resulting in the plasmid pAdv142.

Construction of dal dat 142 strain. The dal dat and dal dat strains were transformed with pAdv142 by electroporation, resulting in the dal dat 142 and dal dat 142 strains. The expression and secretion of the truncated listeriolysin O (LLO)-PSA fusion protein was confirmed in the culture supernatants of both of these strains using anti-PSA and anti-LLO antibodies, according to the previously described protocols (27). The dal dat 142 and dal dat 142 strains were passaged twice in mice as previously reported (23). The murine macrophage-like cell line J774A.1 was used to examine the ability of dal dat 142 to grow intracellularly as described previously (27).

In vitro and in vivo stability studies. Plasmid maintenance in vitro was determined by serial passages under selective and nonselective conditions. Bacteria were cultured in 10 ml of BHI-streptomycin and subcultured daily at a 1:10,000 dilution into fresh medium in the presence or absence of t-alanine (100 µg/ml). Bacterial titers were determined daily on BHI plates with or without 100 µg/ml t-alanine. Streptomycin (100 µg/ml) was added to the medium as a control to select for L. monocytogenes and to reduce the potential growth of contaminants.

Plasmid maintenance in vivo was determined by intravenous injection of 5 × 10⁷ CFU of dal dat 142. Viable bacterial loads were determined in the spleens homogenized in phosphate-buffered saline on days 1, 2, and 3 (two mice/day). The number of CFU was determined at each time point by plating on BHI-streptomycin plates in the presence or absence of 100 µg/ml t-alanine.

In vivo virulence and clearance studies with dal dat 142 and dal dat 142 strains. C57BL/6 wild-type [WT] male mice were inoculated intraperitoneally with different doses of the dal dat 142 (10⁵ and 10⁶ CFU) and dal dat 142 (10⁶ and 10⁷ CFU) strains and were monitored for signs of sickness for a period.
of 10 days. The in vivo clearance of the strains was examined by immunizing mice (10/group) with 2 × 10^6 CFU of the dal dat 142 strain (WT) or 1 × 10^6 CFU of the dal dat ΔactA 142 strain (WT and GKO). Viable CFU in the homogenized spleens and livers were determined from two mice in each group on days 1, 2, 3, 7, and 10 after plating the cell suspension on BH1-streptomycin medium.

**Tumor regression study.** The tumor regression study was performed using the murine adenocarcinoma prostate tumor model expressing PSA, TPSA23 (27). Three groups of male C57BL/6 mice (eight/group) had 2 × 10^5 TPSA23 cells implanted on day 0 and were immunized with 10^6 CFU of Lm-LLO-PSA (27) or 10^6 CFU of dal dat ΔactA 142 on days 6, 13, and 20 or were untreated (naïve). Tumor growth was monitored once a week using electronic calipers for a period of 8 weeks. The mice were sacrificed when the tumor size was found to be greater than 15 mm.

**Immunogenicity studies of mice.** Male C57BL/6 mice (two/group) were immunized twice with dal dat ΔactA 142 (10^6 CFU) or Lm-LLO-PSA as a positive control (10^6 CFU) at 1-week intervals or were left untreated (naïve). The immune responses elicited for the PSA H-2D^d peptide epitope (22) were determined on day 6 after the boost using the ELISPOT assay, PSA tetramer staining and intracellular cytokine staining for IFN-γ staining. The data were analyzed for tetramer staining and IFN-γ staining using CellQuest Pro software.

**Cytotoxic T-cell assay.** Splenocytes from immunized and naïve mice were stimulated in vitro for 5 days in C-RPMI medium containing 20 U/ml of interleukin 2 (Sigma) in the presence of mitomycin C-treated MC57G cells infected with PSA-vaccinia virus at an effector/stimulator ratio of 20:1 as described previously (27). The cytotoxicity of effector T cells was examined using a FACS assay, which is based on the staining for cleaved caspase-3 and thus measures the number of apoptotic target cells (9). The target cells EL4 were labeled with 0.6 μM Cell Trace Far Red DDAO-succinimidyl ester (SE) (Molecular Probes, Invitrogen) for 20 min at 37°C. Labeled cells were washed once with C-RPMI medium, and pulsed at a concentration of 2 × 10^6/ml and pulsed with 1 μg/ml of PSA peptide for 1 h. Labeled EL4 cells pulsed with PSA were then washed once in C-RPMI medium and were finally resuspended at a concentration of 1 × 10^6/ml for use in the cytotoxic T-lymphocyte assay. Effector cells were mixed with the targets at effector/target ratios of 10:1, 3:1, 1:1, 0.3:1, and 0.1:1 and incubated for 3 h at 37°C and 5% CO_2. After 3 h, these cells were fixed with paraformaldehyde for 20 min, washed twice in FACS buffer (phosphate-buffered saline, 2% FBS), permeabilized with Perm/Wash (BD), and labeled with anti-caspase-3-PE antibody (BD) for 1 h. The induction of apoptosis in the target cells was measured by determining the number of caspase-positive/DDAO-SE-positive cells at each effector/target ratio. Specific lysis was considered to be directly related to the percentage of caspase-positive cells and was described in the following equation: (% caspase-positive DDAO-SE-positive EL4 PSA peptide-pulsed cells) – (% caspase-positive DDAO-SE-positive EL4 cells).

**Analysis of TILs.** Male C57BL/6 mice (three/group) that had TPSA23 tumors implanted on day 0 were immunized with 10^8 CFU of dal dat ΔactA 142 and 10^9 CFU of irrelevant L. monocytogenes (Lm-LLO-E7) on day 7 and day 14. On day 20, tumors embedded in Matrigel were excised from the mice. The PSA-specific tumor-infiltrating lymphocytes (TILs) and regulatory T cells were determined in the tumors embedded in Matrigel and spleens using the protocol described previously (27).

**Statistical analyses.** The nonparametric Kruskal-Wallis test was applied to compare the tumor sizes among different treatment groups. Tumor sizes were compared on day 40 for statistical analysis, because this was the latest time point with the highest number of mice in each group. The Kaplan-Meier test was applied to compare the survival of mice in different groups. A P value of less than 0.05 was considered statistically significant in these analyses.

**RESULTS**

**Construction of a dal dat ΔactA strain that expresses the tLLO-PSA fusion protein by means of an antibiotic resistance-free plasmid.** To construct an attenuated dal dat ΔactA strain, an in-frame deletion of actA was generated in the dal dat strain background to avoid any polar effects on the expression of the downstream genes. The resulting dal dat ΔactA strain contains the first 19 amino acids at the N terminus and 28 amino acid residues at the C terminus, with a deletion of 591 amino acids of the chromosomal ActA. The deletion of actA was verified by PCR using primers that anneal externally to this region of the chromosome on the genomic DNA isolated from the dal dat or dal dat ΔactA strain (data not shown). The deletion of actA was further confirmed by DNA sequencing.

To increase the stability and safety of the previously constructed pTV3 (35), we introduced two modifications in this plasmid. First, an unnecessary copy of the prfA gene in this plasmid was deleted, and furthermore, the dal dat ΔactA strain was replaced with the dal dat ΔprfA gene. The new plasmid, pAdv142 (Fig. 1A), expressed dal dat ΔactA, under the control of the L. monocytogenes p60 promoter. The plasmid pAdv142 was able to complement the growth of MB2159 (33) as well as that of the dal dat or dal dat ΔactA strain in the absence of exogenous t-alanine. The antigen expression cassette in pAdv142 consists of the hly promoter from L. monocytogenes and the tLLO-PSA fusion protein.

Both the dal dat strain and the dal dat ΔactA strain were transformed with pAdv142, resulting in the strains dal dat 142 and dal dat ΔactA 142. The expression and secretion of the fusion protein tLLO-PSA was confirmed in these strains by Western blotting using both anti-PSA and anti-LLO antibodies.
we observed that GKO/H11002 log more attenuated than the dal dat indicated that doses of 10^6 CFU for the 10^8 CFU for the deviation (SD).

Columns, mean number of CFU from each mouse; bars, standard plates were incubated at 37°C for 24 to 48 h for bacterial growth. determined by plating several dilutions of the homogenized spleens were sacrificed on different days, and the number of bacterial CFU was determined by subculturing the selective (BHI) and nonselective (BHI plus 100 μg/ml D-alanine) media for 8 days at a 1:10,000 dilution at 37°C and 200 rpm. The average CFU ± SD was determined for each day after plating on selective and nonselective plates in triplicate. Streptomycin (100 μg/ml) was added to the culture medium to restrict the growth of any potential contaminant. (B) In vivo stability was examined by immunizing mice with 5 × 10^7 CFU of the dal datA 142 strain intravenously in the tail vein. The CFU were determined in the homogenized spleens after 24, 48, and 72 h. Viable CFU were determined after plating on both selective and nonselective media. No colonies were recovered at the time points of 48 and 72 h. Columns, mean number of CFU from each mouse; bars, SD.

(A) Intracellular growth of the dal datA 142 strain after 60 generations. The in vitro stability was determined by subculturing the dal datA 142 strain in selective (BHI) and nonselective (BHI plus 100 μg/ml D-alanine) media for 8 days at a 1:10,000 dilution at 37°C and 200 rpm. The average CFU ± SD was determined for each day after plating on selective and nonselective plates in triplicate. Streptomycin (100 μg/ml) was added to the culture medium to restrict the growth of any potential contaminant. (B) In vivo stability was examined by immunizing mice with 5 × 10^7 CFU of the dal datA 142 strain intravenously in the tail vein. The CFU were determined in the homogenized spleens after 24, 48, and 72 h. Viable CFU were determined after plating on both selective and nonselective media. No colonies were recovered at the time points of 48 and 72 h. Columns, mean number of CFU from each mouse; bars, SD.

We examined the in vivo stability of the plasmid pAdv142 in the dal datA 142 strain after 60 generations. The in vitro stability was determined by subculturing the dal datA 142 strain in selective (BHI) and nonselective (BHI plus 100 μg/ml D-alanine) media for 8 days at a 1:10,000 dilution at 37°C and 200 rpm. The average CFU ± SD was determined for each day after plating on selective and nonselective plates in triplicate. Streptomycin (100 μg/ml) was added to the culture medium to restrict the growth of any potential contaminant. (B) In vivo stability was examined by immunizing mice with 5 × 10^7 CFU of the dal datA 142 strain intravenously in the tail vein. The CFU were determined in the homogenized spleens after 24, 48, and 72 h. Viable CFU were determined after plating on both selective and nonselective media. No colonies were recovered at the time points of 48 and 72 h. Columns, mean number of CFU from each mouse; bars, SD.

We examined the in vivo stability of the plasmid pAdv142 by passaging the dal datA 142 strain in the presence or absence of selective pressure for 7 days. Total CFU counts were determined each day after plating on selective and nonselective media. It was expected that a loss of plasmid would result in higher CFU counts after plating on nonselective medium (BHI plus D-alanine). As depicted in Fig. 3A, there was no significant difference between the numbers of CFU in selective and nonse-

FIG. 2. In vivo clearance of the dal datA 142 strain in WT (left) and GKO−/− (right) mice (A) and the dal datA 142 strain in WT mice (B). Mice were immunized intraperitoneally with 2 × 10^6 CFU of the dal datA 142 strain and 10^6 CFU of the dal datA 142 strain. The bacterial load in the organs, livers, and spleens were determined after days 1, 2, 3, 7, and 10 of immunization. Two mice from each group were sacrificed on different days, and the number of bacterial CFU was determined by plating several dilutions of the homogenized spleens and livers on BHI plates containing 100 μg/ml of streptomycin. The plates were incubated at 37°C for 24 to 48 h for bacterial growth. Columns, mean number of CFU from each mouse; bars, standard deviation (SD).

FIG. 3. (A) In vitro stability of the plasmid pAdv142 in the dal datA 142 strain after 60 generations. The in vitro stability was determined by subculturing the dal datA 142 strain in selective (BHI) and nonselective (BHI plus 100 μg/ml D-alanine) media for 8 days at a 1:10,000 dilution at 37°C and 200 rpm. The average CFU ± SD was determined for each day after plating on selective and nonselective plates in triplicate. Streptomycin (100 μg/ml) was added to the culture medium to restrict the growth of any potential contaminant. (B) In vivo stability was examined by immunizing mice with 5 × 10^7 CFU of the dal datA 142 strain intravenously in the tail vein. The CFU were determined in the homogenized spleens after 24, 48, and 72 h. Viable CFU were determined after plating on both selective and nonselective media. No colonies were recovered at the time points of 48 and 72 h. Columns, mean number of CFU from each mouse; bars, SD.

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lective media, and this shows that the *dal dat ΔactA* 142 strain retains the plasmid pAdv142 for at least 60 generations.

The in vivo stability of pAdv142 in the *dal dat ΔactA* 142 strain was tested after immunizing C57BL/6 mice once with this strain intravenously and examining the number of viable bacteria at different time points, such as 24 h, 48 h, and 72 h, by plating bacteria on both selective and nonselective media. We observed no significant differences (*P* = 0.1566, using paired Student’s *t* test) in CFU counts after 24 h by plating under either condition, suggesting that pAdv142/dal dat ΔactA 142 was stable in vivo (Fig. 3B). However, this does not exclude the possibility of plasmid loss. No colonies were recovered after 48 h and 72 h postinjection, which was due to the rapid in vivo clearance of the *dal dat ΔactA* 142 strain.

Furthermore, to determine if the deletion of *actA* caused any deleterious effect on the ability of the *dal dat ΔactA* 142 strain to infect macrophages and its intracellular growth, we performed a cell infection assay. We observed that both the *dal dat* 142 and *dal dat ΔactA* 142 strains were able to infect and grow in this cell line. The more virulent *dal dat* 142 strain displayed a growth rate similar to that of the WT *L. monocytogenes* 10403S strain. However, fewer colonies were recovered with the *dal dat ΔactA* 142 strain at different time points of growth (Fig. 3C). The differences in uptake of the *dal dat* 142 and *dal dat ΔactA* 142 strains were three- to fourfold at 0 h but were 37-fold at 8 h. Thus, there is a greater difference at 8 h than at 0 h, suggesting that the loss of cell-to-cell spread in the *dal dat ΔactA* 142 strain contributes to the reduction in its intracellular growth.

The *L. monocytogenes dal dat ΔactA* 142 strain mediates the regression of established tumors expressing human PSA. After an initial characterization, we further extended the study to evaluate the therapeutic efficacy of the *dal dat ΔactA* 142 strain using a murine prostate adenocarcinoma cell line engineered to express human PSA, i.e., TPSA23 (27). Naïve mice developed tumors gradually, and all were sacrificed before day 45 (Fig. 4A). Immunization of mice with Lm-LL-O-PSA, which served as a positive control for the study, resulted in the complete regression of three out of eight tumors. In contrast, five out of eight mice immunized with the *dal dat ΔactA* 142 strain became tumor free and remained in this state until the experiment was terminated on day 70 (Fig. 4A). The statistical differences between each group were examined on day 40 using the nonparametric Kruskal-Wallis test. The results indicate that immunization with the *dal dat ΔactA* 142 strain makes a significant impact on the TPSA23 tumor growth (*P* = 0.001). Thus, the *dal dat ΔactA* 142 strain caused complete tumor regression in 60% of the experimental animals.

Additionally, the average survival of mice in each group was determined using the Kaplan-Meier analysis (Fig. 4B). The mean survival of mice immunized with Lm-LL-O-PSA (70 days ± 2 days) and the *dal dat ΔactA* 142 strain (73 days ± 2 days) was twofold higher than that of the naïve mice (42 days ± 2 days), and the overall comparison with the log rank test showed a *P* value of 0.01. These results suggest that vaccination with the *dal dat ΔactA* 142 strain significantly impacts the survival of mice.

Immunization with the *dal dat ΔactA* 142 strain elicits PSA-specific cellular immune responses in mouse spleens. We have shown previously that the ability of recombinant *L. monocytogenes* -based vaccines to cause regression of the growth of established solid tumors is associated with the generation of antigen-specific T-cell responses. We examined PSA-specific immune responses elicited by the *dal dat ΔactA* 142 strain in C57BL/6 mice using PSA-specific tetramer staining, intracellular cytokine staining (IFN-γ), and ELISPOT assays. Staining with the PSA-specific tetramer showed that after two immunizations with the *dal dat ΔactA* 142 strain, 23% of activated CD8+CD62Llow T cells were PSA specific in the splenocytes (Fig. 5A). This was a twofold-higher PSA tetramer-specific T-cell level compared to that of the mice that received Lm-LLO-PSA vaccine.

The results for the intracellular staining for IFN-γ were consistent with those obtained for the tetramer staining. There was a twofold increase in IFN-γ-positive CD8+CD62Llow cells (5%) in the *dal dat ΔactA* 142 strain-immunized splenocytes relative to those of the mice immunized with Lm-LLO-PSA (2.2%) (Fig. 5B). Using the ELISPOT assay, we observed that there was a 10-fold increase in the number of IFN-γ-secreting cells in splenocytes from the *dal dat ΔactA* 142 strain-immunized mice in response to in vitro pulsing with PSA H-2Dβ peptide compared to that with no peptide (data not shown).

The functional activity of the T cells generated against PSA was determined using an in vitro cytotoxic T-lymphocyte assay...
that detects the cleavage of caspase-3 in target cells as a function of cell killing (9). At an effector-to-target cell ratio of 10:1, 70% of cleaved caspase-3-positive EL4/H-2Db PSA peptide-pulsed cells were detected when incubated with in vitro-stimulated effector T cells from either L. monocytogenes dal dat A42 strain or Lm-LLO-PSA (Fig. 5C). This response was reduced in proportion to the decrease in the effector/target ratio. Taken together, these assays show that L. monocytogenes dal dat A42 strain is highly immunogenic in mice, as implied by the detection of a high frequency of PSA-specific CD8+ T-cell responses.

Immunization with the dal dat A42 strain results in infiltration of tumors by PSA-specific lymphocytes. Furthermore, we investigated the ability of PSA-specific CD8+ lymphocytes generated by vaccination with the dal dat A42 strain to infiltrate into the tumors. We observed that a very low number of PSA-specific TILs (2%) were present in the tumors harvested from both naïve and irrelevant L. monocytogenes-immunized mice. However, there was about an eightfold increase in the percentage of PSA-specific TILs (16%) in the tumors of mice immunized with the dal dat A42 strain compared to those of the naïve mice (Fig. 6A).

In addition, we determined the presence of CD4+CD25+Foxp3+ Tregs in the tumors and spleens of untreated or L. monocytogenes-immunized mice. Interestingly, we observed that immunization with L. monocytogenes (the dal dat A42 strain or the irrelevant L. monocytogenes strain) resulted in a two- to threefold decrease in the number of Tregs in the tumors but not in the spleens. However, the dal dat A42 strain showed a relatively stronger impact in decreasing the frequency of Tregs in tumors than did the irrelevant L. monocytogenes strain (Fig. 6B).

DISCUSSION

In the present study, we describe the construction of an irreversibly attenuated L. monocytogenes delivery vector, the dal dat A strain, that harbors an antibiotic resistance-free plasmid to express a tumor-specific antigen. The auxotrophic mutant dal dat strain was constructed with the aim of creating an attenuated L. monocytogenes-based platform for clinical use (34). However, the dal dat strain elicited potent immune responses only if administered in the presence of d-alanine, which may not be appropriate for immunotherapy (34). Alternatively, trans-complementation of the dal dat strain with dal restores not only the synthesis of d-alanine but also in vivo virulence. Previously, two mechanisms have been proposed that reduce dal dat strain virulence after dal complementation. These mechanisms involve the use of either a plasmid that expresses the dalBv gene under the control of a tightly regulated inducible promoter (14) or a dalBv-containing suicidal plasmid, which is subsequently sensitive to dilution and degradation in vivo (37). Complementation of the dal dat strain using both of these methods causes the cessation of bacterial growth in vivo after two or three generations, reducing their applicability as potential vaccine platforms. We believe that
one of the reasonable approaches to resolving these issues is to attenuate the dal dat strain, preferably by an irreversible deletion of one of its virulence factors. Therefore, we hypothesized that an irreversible in-frame deletion of actA would increase the safety of the dal dat strain as an immunotherapeutic vector.

In addition to the modification of the dal dat strain vaccine, we also generated an improved plasmid (pAdv142) that is retained by the complementation of dalB. The expression of dalB in pAdv142 was under the control of the L. monocytogenes promoter p60. The p60 promoter was chosen due to its constitutive mode of expression in L. monocytogenes and its ability to function in E. coli. The complementation of dalB in E. coli ala dxr makes this plasmid more amenable for further genetic manipulations and cloning purposes. We expressed the tumor antigen PSA in the dal dat ActA strain to determine its applicability as an antigen delivery system. Initially, we transformed the dal dat and dal dat ActA strains with pAdv142, resulting in the dal dat 142 and dal dat ActA 142 strains, and confirmed that the recombinant strains dal dat 142 and dal dat ActA 142 were expressing and secreting the fusion protein LLO-PSA using both anti-LLO and anti-PSA antibodies. Furthermore, we established that the dal dat 142 and dal dat ActA 142 strains could infect and grow intracellularly by using a cell infection assay. The properties such as expression and secretion of fusion protein and intracellular growth are necessary for successful antigen delivery and presentation when L. monocytogenes is used as an immunotherapeutic vector (7).

To verify the attenuation of the dal dat ActA 142 strain, we performed an in vivo virulence and clearance study of WT and IFN-γ−/− mice (GKO−/−). As expected, the dal dat ActA 142 strain was at least 2 log more attenuated than the dal dat 142 strain (5). Previous reports demonstrate that GKO−/− mice are extremely sensitive to infection with WT L. monocytogenes 10403S, by 3 orders of magnitude, compared to WT mice (8). A dose of 10⁶ CFU of the dal dat ActA 142 strain was easily tolerated by GKO−/− mice, supporting that IFN-γ is not required for the clearance of this strain. The dal dat ActA 142 strain was completely cleared from the spleens and livers of the WT and GKO−/− mice by 48 to 72 h postinjection, suggesting that early innate or developing immune responses may not be vital for the in vivo clearance of the dal dat ActA 142 strain. On the contrary, immunization with a low dose (2 × 10⁶ CFU) of the virulent dal dat 142 strain resulted in an increased persistence of bacteria in the spleens and livers of C57BL/6 mice for up to 168 h, due to its ability to spread from cell to cell. These observations suggest that the dal dat ActA strain may serve as a safer platform for antigen delivery than the dal dat strain.

We extended our study to compare the therapeutic efficacies of the dal dat ActA 142 strain and our previously published L. monocytogenes counterpart, Lm-LL0-PSA (27). After immunization with the dal dat ActA 142 strain, 60% of tumors were completely eradicated up to day 70. On the other hand, 38% of the mice were tumor free in the group immunized with Lm-LL0-PSA, suggesting that the dal dat ActA 142 strain was more efficacious than Lm-LL0-PSA. One of the reasons for this therapeutic effect may be the administration of a 10-fold-higher dose of the dal dat ActA 142 strain. This is supported by the observation that antitumor efficacies of the dal dat ΔactA 142 strain and Lm-LLO-PSA were comparable after the administration of similar doses of each immunotherapeutic vector (data not shown). Another live bacterial vaccine, the Salmonella enterica serovar Typhimurium ar0A strain expressing PSA, has been shown to confer protection against PSA-expressing tumors (4). However, the therapeutic efficacy for this construct has not been determined. It is well established that the efficacy of active immunotherapy using L. monocytogenes as a vaccine vector is largely dependent on the ability of this bacterium to generate potent innate and adaptive immune responses (2, 18, 30). Our data on immunological assessment showed that the dal dat ΔactA 142 strain elicited high levels of PSA-specific T-cell responses. However, the efficacy of a vaccine is dependent not only on the magnitude of T cells in the periphery but also on creating conditions for them to infiltrate into the tumors. Both the dal dat ΔactA 142 strain and the Lm-LL0-PSA vaccine (27) cause the infiltration of PSA-specific T cells in the tumor microenvironment which are required for TPSA23 tumor regression. Another possible mechanism for tumor regression may involve the down-modulation of intratumoral regulatory T cells (11, 27). Interestingly, immunization with the dal dat ΔactA 142 strain was shown to decrease the population of regulatory T cells in the tumors.

It is noteworthy that PSA is a foreign antigen in mice, and thus no tolerance is expected toward this antigen. Previously we have verified the ability of L. monocytogenes-based vaccines to limit autologous tumors growth and break immunologic tolerance in human papillomavirus 16 E6/E7 and Her2/neu transgenic mice using Lm-LL0-E7 and Lm-Her2-neu vaccines (29, 31). Thus, it is likely that the dal dat ΔactA 142 strain may be able to break tolerance. Moreover, other investigators have shown that tolerance against PSA in humans can be overcome by repeated immunizations (6, 10). As an alternative, male cynomolgus monkeys have been shown to express the PSA protein, which is 89% homologous to human PSA, making this species an attractive candidate for future evaluation of dal dat ΔactA 142 strain immunogenicity as well as evaluation of side effects that might arise upon vaccination against self antigen (15).

Overall, our data show that the dal dat ΔactA strain is superior to the dal dat strain as a vaccine vector due to its attenuation and increased safety, as evident from mouse studies. The challenge in designing an ideal L. monocytogenes vaccine for human use has been to achieve a level of attenuation such that the bacterium is less virulent yet still retains its ability to present antigen to the immune system. Here, we confirmed that the limited in vivo survival of the dal dat ActA strain does not compromise its therapeutic potency. Our aim is to move the prototype vaccine described here toward clinical trials in the near future. The broader goal of this study is to extend the application of this vector to designing potential constructs expressing other single or multiple heterologous antigens.

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