Switchable, Reagent-Controlled Diastereodivergent Photocatalytic Carbocyclisation of Imine-Derived α-Amino Radicals

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Abstract: A reagent-controlled stereodivergent carbocyclisation of aryl aldimine-derived, photocatalytically generated, α-amino radicals possessing adjacent conjugated alkenes, affording either bicyclic or tetracyclic products, is described. Under net reductive conditions using commercial Hantzsch ester, the α-amino radical species underwent a single stereoselective cyclisation to give trans-configured amino-indane structures in good yield, whereas using a substituted Hantzsch ester as a milder reductant afforded cis-fused tetracyclic tetrahydroquinoline frameworks, resulting from two consecutive radical cyclisations. Judicious choice of the reaction conditions allowed libraries of both single and dual cyclisation products to be synthesised with high selectivity, notable predictability, and good-to-excellent yields. Computational analysis employing DFT revealed the reaction pathway and mechanistic rationale behind this finely balanced yet readily controlled photocatalytic system.

Introduction

Recently, the photocatalytic generation and downstream reactivity of α-amino radical species have attracted a lot of attention across the synthetic community.[1] These high-value reactive intermediates exhibit nucleophilic behaviour at the position alpha to the nitrogen atom, and have been shown to undergo various radical-radical coupling reactions,[2] to add to electrophilic acceptors,[3] and to intercept dual catalytic manifolds.[4] To this end, contemporary photocatalytic developments have enabled the generation of these key nucleophilic α-amino radical species from inherently electrophilic imine derivatives via single-electron reduction (often with concomitant proton transfer in a PCET mechanism).[5] This umpolung approach to amine synthesis is complementary to traditional two-electron conversions, and thus has expanded the range of α-branched amine architectures that can be accessed from simple and readily obtained imine precursors.[6]

Previous studies from our group have revealed two distinct product-forming pathways under photocatalytic conditions, where the outcome depended almost exclusively on the nature of the electrophilic coupling partner (Scheme 1A). Firstly, the net reductive Giese-type products were demonstrated to be favoured when coupling with ω,ω-unsubstituted esters (such as allyl sulfones, and dehydroalanine derivatives).[7] Secondly, tetrahydroquinoline products were formed through a redox-neutral reverse-polarity Povarov-type radical cascade mechanism, whose pathway was favoured by vinyl sulfone and maleimide derivatives.[8] Notably, in the latter cases, the alternative Giese-type product was not obtained, even with suitable adjustment of the reaction conditions.

In a continuation of our research programme into establishing new reactivity of imine-derived α-amino radical species, we sought to explore the performance of electrophile-tethered imines as a means of achieving intramolecular C–C bond formation, thereby constructing complex (poly)cyclic molecular frameworks (Scheme 1B). Whilst singly cyclised Giese-type amino-indanes or doubly cyclised tetrahydroquinoline structures could feasibly result, the predominant outcome would depend on many competing factors, some of which we hoped to control in order to direct the reaction towards specific valuable products.[9,10] Herein we wish to report our findings.

Results and Discussion

Preliminary experiments were carried out using an ω,ω-unsubstituted ester tethered imine (1a), (Ir[dF(CF3)ppy]2(dbbpy))PF6 as photocatalyst, the commercial Hantzsch ester (HE1) as a stoichiometric reductant, in DMSO and under blue light irradiation (Scheme 2A, left). Under these initial conditions, the net reductive C–C coupled Giese-type...
Having uncovered this unusual redox-dependent switch in the amino-indane product was pleasingly observed in modest yields (2a, 38%), with only the trans-configured diastereomer present. Interestingly, the structurally complex cis-fused tetracyclic tetrahydroquinoline structure (3a) was also isolated from the reaction mixture, albeit in low yield. Following this, phenyl-substituted Hantzsch ester (HE2, Scheme 2A, right), which our group has found to be effective in related reductive coupling methodologies,[7–11] was employed in the reaction system. In this case, the product distribution was notably reversed, with the tetrahydroquinoline structure (3a) dominating under these conditions. The interlinked nature of these reaction products, coupled with the absence of the opposite diastereomer for each, suggested that potentially diastereodivergent pathways leading to the net-reductive (2a-trans) and redox-neutral products (3a-cis) were in operation.[12]

Hence, conditions in this work.

Having uncovered this unusual redox-dependent switch in the product selectivity, we believed that establishing reliable condition-controlled stereo- and redox-divergence of a single imine starting material would be of synthetic value.[13,14] To this end, detailed optimisation studies to selectively produce either 2a or 3a were conducted (Scheme 2B, for full optimisation details see supporting information). In an effort to maximise the yield of the Giese-type product (2a), solvent effects were found to be key to increasing selectivity, and also in avoiding direct reduction of the imine to the corresponding uncyclised amine (Entries 3–5), with DMA providing the indane framework in good yield (76%) and selectivity (5.1:1). Initially, in situ imine formation could not be achieved under these conditions. Fortunately, a sub-stoichiometric acetic acid additive eliminated the need for imine pre-formation, affording the desired product in similar yield and selectivity directly from the aldehyde and aniline precursors (Entry 6). Moving to a marginally lower-intensity, lower-temperature light source resulted in excellent yield and selectivity (Entry 7, Conditions A).[15]

Having identified that the 4-substituent of the Hantzsch ester was a key factor in switching between the two products (Entry 2), with the substituted Hantzsch ester redactant favouring the redox-neutral cyclisation product 3a, various Hantzsch ester derivatives were then investigated (Entries 8–12).[16] These studies identified HE6 (R = Et) as the optimal reductant, affording the fused tetrahydroquinoline product in 68% yield. Furthermore, addition of an acid co-catalyst allowed for in situ imine formation (Entry 13), and switching to the lower-intensity, lower-temperature light source resulted in a 99% yield, with excellent selectivity (> 20:1, Entry 14, Conditions B).

With optimal conditions now established for both pathways, the scope of the diastereodivergent carbocyclisation was explored (Scheme 3). Pleasingly, almost quantitative yield of the Giese-type amino-indane framework (2b) was observed when unsubstituted aniline was employed in the reaction system.[17] Notably, excellent selectivity for the mono-cyclised product was observed consistently throughout the scope under Conditions A. When exchanging the electron-withdrawing substituent on the alkene acceptor, α,β-unsaturated nitrile (2e), tert-buty1 ester (2d), and alkenyl sulfone (2e) analogues were all found to be amenable to the reaction conditions. An array of substituted aniline starting materials was then explored, with the reaction exhibiting tolerance to substitution in the ortho, meta, and para positions of the aniline fragment, including alkyl (2j), trifluoromethoxy (2l), various halogen substituents (2f–2i), and even a monoprotected diaminonarene (2k). Interestingly, amino-indole and benzoxazole heteroaromatics were demonstrated to form the desired product in good yields (2m–2n). Ortho-ethyl substituted aniline (2o) and electron-deficient anilines appended with trifluoromethyl (2p) and pinacolboryl (2q) substituents were also tolerated using Conditions A.

Our attention then turned to probing the scope of the dual cyclisation protocol leading to the cis-fused tetrahydroquinoline products. Whilst an intermolecular reverse-polarity Povarov reaction, which is thought to operate by a similar mechanism, has previously been reported,[9] that chemistry could not be extended to α,β-unsaturated esters, and therefore examining the utility of other Michael acceptors with Conditions B was of interest. Pleasingly, α,β-unsaturated nitrile (3e), tert-butyl ester (3d), and alkenyl sulfone (3e) acceptors were all effective substrates in this methodology. The reaction was amenable to electronic variation on the aniline ring, with alkyl (3j), trifluoromethoxy (3l), protected amine (3k), and various halogen substituents (3f–i) tolerated. Notably, the amino-indole (3m) and benzoxazole (3n) heteroaromatics were demonstrated to form the desired products in good yield, constructing complex fused pentacy-
clic architectures from simple starting materials, with absolute selectivity for the C4/C7 position of the benzenoid ring of the indole/benzoxazole heteroaromatics, respectively. Unsurprisingly, electron-deficient and sterically demanding anilines failed to undergo the second cyclisation.

Notably, most of the reactions exhibiting selectivity for the product of the redox-neutral pathway (3) did not consume 1 equiv. of the Hantzsch ester (HE6), suggesting that sub-stoichiometric quantities of the Hantzsch ester may still be able to lead to full conversion to the tetrahydroquinoline product. With this in mind, the reaction system was studied using 20 mol% of HE6 (Scheme 4A) on a subset of alkene-tethered imine starting materials. Although generally in reduced yields compared with Conditions B, the desired products were still obtained in all cases, highlighting an alternative method with potential for scale-up compatibility.

Furthermore, to demonstrate gram-scale preparation of amino-indane structure 2b, a continuous-photoflow system was devised (Scheme 4B). Using an inexpensive 3D-printed insert for the photoreactor, a pre-mixed solution of 1b and HE1 in DMA [0.2 M] was shown, after a 45 minute run and 14 minute residence time, to deliver 0.99 g of 2b in 78% yield.

Following this, the homologous six-membered carbocycle was prepared quantitatively from the analogous precursor (4, Scheme 5A) using Conditions A. Notably, such 1-amino-tetrahydroanthracene ring systems form the backbone of several selective serotonin reuptake inhibitor (SSRI) drugs, including the anti-depressant Sertraline.

Secondly, the precursor aldehyde 6 was subjected to the reaction conditions without the addition of the aniline, thereby forming the nucleophilic ketyl radical, which subsequently cyclised to form the substituted indanol (7) in

**Scheme 2.** A) Preliminary experiments and B) optimisation for the diastereodivergent carbocyclisation.
Scheme 3. Scope of the diastereodivergent carbocyclisation. a) Product d.r. is >20:1 unless stated otherwise. In most cases, the majority of the remaining yield was identified as the product of the opposite diastereodivergent pathway; that is, 11% (determined by $^1$H NMR analysis, see Scheme 2) of 3a was observed under Conditions A for the formation of 2a. Selectivity ratios based on $^1$H NMR analysis of the crude reaction mixture are given for each product in the SI. b) 20 mol% acetic acid added to facilitate in situ imine formation. c) Analysis by single crystal X-ray diffraction; see SI (CIF) for details. d) Crystal structure of 2b·HCl shown. Aromatic CH, CH$_3$ and chloride counterion (2b) omitted for clarity. e) With 20 mol% H6 in DMA (see Scheme 4A).

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**Scheme 4.** A) Use of sub-stoichiometric quantities of Hantzsch ester. B) Continuous photoflow adaptation.

**Scheme 5.** Extended scope of the net-reductive pathway.

**Scheme 6.** A) Computed potential energy surface ($\Delta G$ [kcal mol$^{-1}$]) for the reagent-controlled diastereodivergent photocatalytic cyclisation. B,C) The key C–C bond forming transition structures. All calculations computed at COSMO(DMF)-ZORA-(U)M06-2X(TZ2P)/COSMO(DMF)-ZORA-(U)BLYP-D3(BJ)/TZ2P level of theory. Bond lengths [\text{Å}] and dihedral angles [°] of the TS geometries are provided in the insert.
excellent yield (Scheme 5B), with a 9:1 dr in preference of the trans diastereomer.

Finally, substrates with linear aliphatic backbones (8a & 8b) were successfully cyclised under photocatalytic Conditions A, affording the corresponding aminocyclopentanes (9a & 9b) in excellent yield. Interestingly, however, under Conditions B or any variant thereof, no evidence of an operational dual cyclisation pathway was detected and only diastereomeric products of a single cyclisation pathway were isolated.21

From a mechanistic standpoint, and in order to elucidate the origin of the reagent-controlled diastereodivergent photocatalytic cyclisation, in-depth DFT (density functional theory) analysis (see Supporting Information for full computational details) was performed (Scheme 6). The α-amino radical 1, generated by the well-established iridium photocatalyst mediated proton-coupled electron transfer (PCET) of imine 1b,[6a,5e,7,8] undergoes the first cyclisation through Giese addition to the tethered α,β-unsaturated ester (Scheme 6A).[5c,22] Studies on the first cyclisation revealed that the formation of trans intermediate 2-trans through the transition structure (TS) 2TS is kinetically favoured in comparison to TS1 that forms 2-cis Following this, the second cyclisation for the cis isomer from 2-cis proceeds through TS3 with a lower energy barrier than TS1, whereas the second cyclisation for the trans isomer from 2-trans proceeds through TS4 with a higher energy barrier than to form the energetically unfavourable intermediate 3-trans.

In each case, the preferred TS (TS2 and TS3) adopts a staggered conformation with a dihedral angle (ϕ,HCCCH) around the newly forming C–C bond of nearly 180° (Scheme 6B and C). Taken altogether, our computational investigations suggest that product 2b results from facile termination of 2-trans by a Hantzsch ester-derived species, and product 3b is formed from doubly cyclised 3-cis as a result of milder reducing conditions.22 Deuterium incorporation studies revealed that 2-trans could reasonably be reductively quenched via HAT, or by sequential electron transfer/proton transfer (ET/PT) from an oxidised Hantzsch species, with both pathways competing (see Supporting Information). However, this finding does not explain the origin of the different reaction outcomes when employing substituted vs. unsubstituted Hantzsch esters. Indeed, bond dissociation energy calculations reveal that oxidised forms of both HE1 and HE6 can feasibly act as HAT donors, and both can also feasibly reduce 2-trans by an ET/PT pathway (see Supporting Information for details). Instead, a possible explanation lies in the difference in oxidation potentials between HE1 and HE6 (+0.97 V and +1.10 V vs. SCE respectively; see Supporting Information for electrochemical measurements). With the unsubstituted Hantzsch ester being more readily oxidised, there is likely a higher concentration of oxidised Hantzsch species, capable of terminating the intermediate radical 2-trans. This concurs with the observed difference in reaction rates between Conditions A and B, with the former being around five times faster in time-course studies.24

To further validate the hypothesis that a higher concentration of active HAT agent favours termination of 2-trans, and therefore formation of addition product (2b) over the tetrahydroquinoline product (3b), doping experiments with 1,4-cyclohexadiene (1,4-CHD, a commonplace hydrogen atom transfer donor) were conducted (Table 1).

### Table 1: Termination mechanistic investigations.

| entry | additive (x eq) | 2b (%)a | 3b (%)a | 2b : 3b |
|-------|----------------|---------|---------|---------|
| 1     | none           | 20      | 76      | 1 : 3.8 |
| 2     | 1,4-cyclohexadiene (1 eq) | 40 | 60 | 1 : 1.5 |
| 3     | 1,4-cyclohexadiene (3 eq) | 47 | 53 | 1 : 1.1 |
| 4     | 1,4-cyclohexadiene (5 eq) | 65 | 35 | 1.9 : 1 |

a: 1H NMR yields using 1,3,5-trimethoxybenzene as internal standard.

Starting with a reaction system that afforded significant quantities of both products (2b:3b = 1:3.8, Table 1 entry 1), the addition of 1 equivalent of 1,4-CHD caused a distinct shift towards the single-cyclisation product (2b:3b = 1:1.5, Table 1 entry 2). Addition of a further 2 equivalents of the HAT donor increased this further, and addition of 5 equivalents was sufficient to cause the single-cyclisation product to dominate (2b:3b = 1:9.1, Table 1 entry 4). These experimental results are consistent with the existence of an HAT termination leading to the generation of 2b, which can be switchably controlled to allow either product of the diastereodivergent pathways to be selectively obtained.

### Conclusion

A switchable photocatalytic diastereodivergent carbocyclisation of imine-derived α-amino radicals has been developed. Two sets of reaction conditions, wherein both the stereochemical and reactivity outcomes are predictably determined by the judicious choice of Hantzsch ester and solvent, lead selectively to either a Giese-type bicyclic aminoidane structure or a fused tetracyclic tetrahydroquinoline architecture. The robust strategy for single cyclisation was also shown to be applicable to larger ring systems, ketyl radicals, unbiased aliphatic substrates, and to a continuous flow regime. DFT analysis, deuterium incorporation studies, electrochemical measurements, and HAT-agent doping experiments aided in rationalising the diastereodivergent reaction pathways responsible for the reagent-controlled switchability of the product outcome. Work is currently ongoing to uncover and develop further cascade cyclisations for the synthesis of complex amine frameworks.
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Conflict of Interest

The authors declare no conflict of interest.

Keywords: diastereoselectivity · imines · photocatalysis · redox reactions · reduction
were collected using a Rigaku Oxford Super-

[14] For reviews, see: a) I. P. Beletskaya, C. N. Johnson, D. J. Dixon, Angew. Chem. Int. Ed. 2011, 50, 1232–1278; b) B. Giese, J. A. González-Gómez, T. Witzel, Angew. Chem. Int. Ed. 1988, 27, 753–764; c) W. Zhang, X. H.-I. Wang, W. Huang, J. Zhou, H.-P. Zhu, C. Peng, B. Han, J. Org. Chem. 2019, 84, 10349–10361.

[15] Mechanistic studies revealed a temperature dependence on product selectivity (see Supporting Information for details). See also: T. D. Svejstrup, A. Chatterjee, D. Schekin, T. Wagner, J. Zach, M. J. Johansson, G. Bergonzini, B. Konig, ChemPhotoChem 2021, 5, 808–814.

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[17] Electron-withdrawing substituents likely create a polarity mismatch between the substituted arene and the intermediate o-carbonyl radical, thereby suppressing the rate of the second cyclisation.

[18] See the Supporting Information for further details.

[19] See the Supporting Information for further details.

[20] National Center for Biotechnology Information, “PubMed Compound Summary for CID 68617, Sertraline”, can be found under https://pubchem.ncbi.nlm.nih.gov/compound/Sertraline, 2021.

[21] The origin of this observation remains unclear, though differences in conformational flexibility between the aliphatic- and aromatic-linker systems is likely responsible.

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[24] While this is a feasible mechanistic explanation of the observed differences between substituted and unsubstituted Hantzsch esters, we cannot rule out other factors. Direct photoexcitation of HE1 may also result in an increased concentration of active Hantzsch species (see Supporting Information for details).

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