Diastolic function in heart transplant: From physiology to echocardiographic assessment and prognosis

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Heart transplant (HTx) still represents the most effective therapy for end-stage heart failure, with a median survival time of 10 years. The transplanted heart shows peculiar physiology due to the profound alterations induced by the operation, which inevitably influences several echocardiographic parameters assessed during these patients’ follow-ups. With these premises, the diastolic function is one of the main aspects to take into consideration. The left atrium (LA) plays a key role in this matter, and that same chamber is significantly impaired with the transplant, with different degrees of altered function based on the surgical technique. Therefore, the traditional echocardiographic evaluation of diastolic function applied to the general population might not properly reflect the physiology of the graft. This review attempts to provide current evidence on diastolic function in HTx starting from defining its different physiology and how the standard echocardiographic parameters might be affected to its prognostic role. Furthermore, based on the experience of our center and the available evidence, we proposed an algorithm that might help clinicians distinguish from actual diastolic dysfunction from a normal diastolic pattern in HTx population.

KEYWORDS
diastolic function, heart transplant, echocardiography, prognosis, physiology

Introduction

The prevalence of people being affected by heart failure worldwide is incessantly increasing and is now over 60 million (1). Consensually, the ranks of those in advanced stages of the disease are expanding. Many treatment strategies are available for such patients with the common goal of supporting the mechanical function of the heart. Heart transplant (HTx) is recognized as the most effective destination therapy since the
Determinants of diastolic function in heart transplant patients

Histological findings in diastolic dysfunction

From a histological standpoint, diastolic dysfunction is related to a substantial subversion of the extracellular matrix due to the presence of edema or fibrosis. Such tissue alterations determine the stiffening of myocardial walls and therefore alter lusitropic properties. In various pathological conditions, they occur before the overt manifestations of the disease, leaving space for pre-clinical detection. This could be true also for early identification of graft-specific complications since edema could be the result of acute graft rejection, while fibrosis may be the manifestation of both chronic graft rejection or CAV. However, recent evidence suggests that diastolic function might also be linked to microvascular density (6). Considering that, Daud et al. found that diastolic dysfunction in patients with severe CAV might be secondary to the loss and/or remodeling of microvasculature rather than a consequence of interstitial fibrosis (7).

Cardiac allograft physiology

Graft physiology is considerably different from normal, as a consequence of various factors such as denervation, altered anatomy, and hemodynamic status of the recipient. First, the electrical impulse originates from the donor atrium and, at least in the first 6–12 months after transplant, it is not under any control of the recipient’s nervous system. Because of the reduced variability of heart rate, cardiac output is critically preload dependent in HTx. Second, because of the mismatch between the recipient and donor heart dimensions, the cardiac allograft is usually subject to enhanced mobility into the recipient cavity, enlarged by the dilated explanted heart, and clockwise rotated. Finally, atrial contribution to ventricular filling is reduced because of altered anatomy and function consequent to surgical anastomosis. Usually, HTx patients are characterized by restrictive physiology during the first period, probably because of inflammatory edema related to ischemic reperfusion injury, allograft ischemic time, surgery, and/or immune-mediated acute response. During follow-up, the diastolic pattern tends to improve after the first few weeks progressing to a non-restrictive filling pattern during the first year (8, 9). Nonetheless, in some patients, an abnormal diastolic filling can be identified many years after transplantation and this correlates with symptoms of heart failure and a history of acute rejection episodes (10).

The effects of surgical techniques on diastolic function

The atrial function is variably altered in HTx patients according to the employed surgical technique. With the “biatricral technique,” in which the posterior cuffs of the recipient atria are left in place and attached to the donor atria, the atria result enlarged with an altered geometry, known as “snowman” configuration. In addition, impaired electrical impulse initiation (due to sinus node injury) or conduction could result in brady- or tachyarrhythmias, including atrial fibrillation (11). To overcome these limitations, two alternative techniques have been introduced over the years: the “bicaval technique” and the “total technique.” The former preserves the LA anastomosis but combines it with bicaval anastomosis, whereas the latter
Preserves the integrity of both atria but requires to anastomose both the inferior and superior vena cava and the pulmonary veins. However, the "total technique" is infrequently employed because it is technically demanding. The bicaval technique better preserves atrial anatomy and function compared to the biatrial technique (12, 13); therefore, it is the most widely chosen one. Figure 1 shows the different surgical techniques used in heart transplantation. A recent study showed that both LA and right atrial function, in particular the reservoir phase, are impaired in a population of HTx patients operated with the bicaval technique (14). Particularly, they found that LA reservoir function was more profoundly reduced in presence of a larger LA and increased LV filling pressures, whereas a reduced RA reservoir function was associated with a decreased RV longitudinal function. As mentioned earlier, both atria undergo profound alterations during HTx, even if the bicaval technique is used over the biatrial one, which almost inevitably ends with a certain degree of atrial fibrosis. The atrial reservoir function is significantly dependent not only on ventricular longitudinal function but also on the compliance of the atrium, which is strictly linked to its stiffness and relaxation properties. In particular, since the LA is the chamber mostly and more directly affected by the operation, the association between LA reservoir function and larger LA as well as higher LV filling pressure could be comprehended (14). On the other hand, due to a less extended structural change, it is reasonable to understand a closer correlation between RA function and right ventricular longitudinal function, which is the other major determinant in atrial reservoir function.

**Echocardiographic assessment of diastole**

**Challenges in diastolic evaluation**

Echocardiography represents the first-line imaging modality to assess diastolic function and it is the cornerstone exam in the follow-up of HTx patients. However, the evaluation of diastolic function in HTx is challenging since the most widely employed diastolic parameters are sensible to heart motion as well as acoustic angle. Therefore, it is unlikely that the usual cut-off values can be appropriately applied to HTx patients (15). For these reasons, when studying the diastolic function of a cardiac allograft, it is more important to record individual parameters’ variability over time instead of focusing on absolute values themselves. The basal echocardiographic assessment should be performed at least 6 months from surgery, since in earlier examinations, many parameters may be physiologically altered (16).

**Comprehensive diastolic assessment**

According to the latest recommendations (15), at least four echocardiographic variables should always be assessed when evaluating LV diastolic function, including mitral annular e’ velocity, preferably both lateral and septal, average E/e’ ratio, LA volume index, and peak tricuspid regurgitation velocity. The analysis of mitral inflow velocities and mitral annular tissue Doppler is fundamental for estimating LA pressure, that is LV filling pressure, which in turn correlates better with pulmonary capillary wedge pressure (15). The application of tissue Doppler imaging (TDI) in the assessment of diastolic function improves the accuracy of the echocardiographic exam as Doppler parameters of transvalvular flow are load and heart rate dependent. Furthermore, mainly with the use of the biatrial technique, there is often atrial dissociation and variation in transmitral E and A waves’ velocities, limiting their application in the estimation of filling pressures (17). It is also true that TDI velocities may be affected by the exaggerated translation motion of the allograft (18). Additional traditional indexes that should be performed are represented by pulmonary vein velocities and those derived by speckle tracking echocardiography (STE), such as LA strain and LV global longitudinal strain (LV-GLS). Table 2 summarizes the

### Reference values for diastolic parameters evaluated by echocardiography in heart transplant patients.

| Echocardiographic parameter | Mean ± SD | Range (2.5th to 97.5th percentile) | 95% CI of mean |
|-----------------------------|-----------|-----------------------------------|---------------|
| E/A                         | 1.8 ± 0.6 | 0.8–3.2                           | 1.7–2.1       |
| e’ (lateral) (cm/s)         | 8.0 ± 3.1 | 5.5–11.1                          | 7.2–9.1       |
| DT (m/s)                    | 156 ± 31  | 101–120                           | 146–165       |
| E/e’ (lateral)              | 7.1 ± 3.0 | 3.1–14.7                          | 6.4–8.4       |
| LA volume/BSA (bicaval) (mL/m²) | 41 ± 16 | 29–121                            | 71–79         |
| MV E (cm/s)                | 80 ± 21   | 50–120                            | 75–87         |
| LVGLS (%)                  | −16.5 ± 3.3 | 12–35                            | 15–18         |

TR velocity and PALS are not reported since no study has determined them yet. Adjusted from Ingvarsson et al. (3). BSA, body surface area; CI, confidence interval; DT, deceleration time; LA, left atrium; LVGLS, left ventricular global longitudinal strain; MV, mitral valve; PALS, peak atrial longitudinal strain; SD, standard deviation; TR, tricuspid regurgitation.
limitations and characteristics of echocardiography-derived diastolic parameters in HTx.

Changes in diastolic function after surgery

Soon after cardiac transplantation, Doppler echocardiographic indexes of LV diastolic function are suggestive of elevated filling pressures. Particularly, isovolumetric relaxation time (IVRT) is shortened and the transmitial inflow pattern shows an increase in E wave velocity and a shortening of deceleration time (DT) (19). The opening of the mitral valve occurs during the rapid ventricular pressure decline resulting in high peak early mitral flow velocity (E wave). Besides, the elevated LV filling pressure and the abrupt rise in early diastolic pressure explain the rapid deceleration of transmitial flow velocity with a shortened DT; also, LA pressure is increased and may contribute to the earlier opening of the mitral valve with a shortened IVRT (10). Moreover, it is common to find low TDI velocities at the mitral annular level, which tend to gradually increase over time, despite the fact that HTx values remain lower compared to the general population, even after 1 year (20). The elevation in filling pressure observed in the first month after surgery is due to the tendency of fluid accumulation due to a systemic inflammatory state and high doses of corticosteroids, in addition to the abovementioned reasons, such as inflammatory edema related to ischemic reperfusion injury, allograft ischemic time, surgery, and/or immune-mediated acute response. The restrictive diastolic pattern occurs irrespective of rejection status, as shown by studies assessing echocardiographic indexes on the day that endomyocardial biopsy was performed (8, 20), as well as independently of the surgical technique used (5) and clinical variables such as pre-operative pulmonary pressure and the age of the donor’s heart (19). In very few cases, the restrictive physiology might be predominantly explained by prolonged donor organ ischemia (21).

As the diastolic function improves with time, a progression to a non-restrictive pattern is seen. IVRT and DT prolong and transmitial early filling velocities decrease (19). However, during follow-up, the mitral E/A ratio could still be ≥2, thus indicating a possible restrictive filling pattern, even though LV diastolic function may be normal. LA impairment caused by surgical intervention leads to a reduced atrial component to LV filling explaining the increased E/A ratio (Figure 2). Conversely, TD-derived diastolic velocities strongly correlate with altered relaxation and diastolic dysfunction. The ratio E/e', combining TDI parameters with mitral inflow velocities, corrects transmitial velocities for the influence of relaxation and is a valuable index of LV filling pressures and diastolic dysfunction in both the biastral (17) and bicaval techniques (22).

Based on our experience and the available evidence on reference values in HTx patients, we proposed an algorithm that might help clinicians distinguish actual diastolic dysfunction with high LV filling pressure from normal LV filling pressure in the HTx population (Figure 3).
TABLE 2  Advantages and disadvantages of echocardiography-derived diastolic parameters in heart transplant.

| Echocardiographic diastolic parameters | Grant specific limitations and characteristics |
|----------------------------------------|-----------------------------------------------|
| Mitral inflow velocities (E wave velocity; A wave velocity; E/A ratio) | Limitations: Reduced atrial contribution to ventricular filling. Characteristics: 1. Restrictive filling pattern soon after cardiac transplantation: Shortened IVRT; high peak E wave velocity; shortened DT. 2. Non-restrictive pattern: Prolonged IVRT and DT; decreased transmural early filling velocities. 3. Reduced atrial contribution to LV filling, E/A could still be ≥2 even though LV filling pressures are low. |
| TDI derived velocities (lateral and septal mitral annular e’ velocity) | Limitations: Exaggerated translation motion (mismatch recipient–donor, enhanced mobility), clockwise rotation of HTx. Characteristics: Low mitral annular TDI velocities with an increasing trend over time, even though they are lower than general population 1 year after HTx. |
| E/e’ ratio | Limitations: Exaggerated translation motion (mismatch recipient–donor, enhanced mobility), clockwise rotation of HTx which influence the measurement of TDI derived velocities. Characteristics: Transmirtal velocities corrected for the influence of relaxation; valuable index of LV filling pressures. |
| LA size | Characteristics: Atrial enlargement without clear impact on function. |
| Pulmonary veins velocities | Limitations: Not valuable index of LV filling pressures. |
| Speckle tracking echocardiography (LA-PALS, LA-PACS, LV-GLS, LV-GCS, Ssr, Esr) | Limitations: Susceptible to image quality, low frame rate, which is problematic in higher heart rates as seen in denervated transplanted heart. Characteristics: Altered LA and LV parameters (PALS, PACS and GLS; GCS); strong association with LV filling pressures. Ssr and Esr and E/Esr ratio correlate well with LV end-diastolic pressure and detect myocardial dysfunction earlier than LV-GLS. |

In particular, the proposed algorithm, shown in Figure 3, was created because of the limited application of each diastolic parameter alone. Cut-off values of each parameter were derived from the largest available prospective study by Ingvarsson et al. in a group of 124 clinically stable HTx patients (5), since standardized specific normal reference values for HTx patients are lacking. In particular, the assessment of TDI-derived velocities and DT might carry additional information on the diastolic function when the E/A ratio is above 2. In fact, according to the experience of our center, if these latter two indexes together point toward a restrictive filling pattern, the probability of diastolic dysfunction is high. Otherwise, additional parameters should be used to investigate diastolic function, as mentioned above.

Additional echocardiographic parameters

Pulmonary veins pattern

In HTx, the anastomoses at the level of the pulmonary vein ostia interfere with pulmonary vein flow, except from the biaatrial technique (23). In addition to that, because the contractility of the remnant recipient atrial tissue alters the various components of pulmonary veins flow, this variable is not valuable for assessing LV filling pressures, irrespective of the surgical technique used (15).

Left atrial size

A significant atrial enlargement is seen among HTx patients irrespective of surgical technique, although it is more pronounced with the biaatrial one because of the remaining atrial roof from the recipient (5, 24). In a prospective study by Ingvarsson et al. (5), they reported the following reference values for atrial dimensions in a group of 124 stable HTx patients: left atrial volume (mL), 96 ± 47 in the biatrial group vs. 75 ± 23 in the bicaival (p < 0.001), and left atrial volume/BSA (mL/m²), 53 ± 23 vs. 39 ± 13 (p < 0.001). In patients operated on with the bicaival technique, atrial volume correlates only with allograft age instead (5). However, the impact of LA size on function is not completely clear as there is scarce evidence regarding the comparison of LA function between the two techniques (24, 25).

Left atrial strain

The LA acts as both a reserve and a conduit and also as an ancillary pump. In stable post-transplant patients, LA function is altered in all its functions regardless of the surgical technique as demonstrated in a study by Zhu et al. on 112 clinically well HT patients compared to healthy controls. In this study, functional comparison using STE showed a significant difference between HT patients and controls (PALS: 18.1 ± 5.6% vs. 44.2 ± 6.5% and PACS 4.4 ± 2.3% vs. 17.5 ± 4.7%; both p < 0.001) (26). In addition to a reduction in LA-PALS, STE showed a linear negative correlation between PALS and advanced recipient age, larger LA volumes, and worse LV systolic function measured by LV ejection fraction and LVGLS, suggesting that atrial function is altered not only due to surgery but also as a consequence of ventricular dysfunction (26). Also, PALS helps in the detection of diastolic dysfunction as LV end-diastolic pressure is the
afterload on the LA during the reservoir phase (27). An association between increased ventricular filling pressures and reduced atrial strain has been observed (28). Furthermore, a recent study hinted at a possible role of LA-PALS in detecting ACR (29). Rodriguez-Diego et al. found a significant decrease in PALS in presence of any degree of ACR, even though significant inter-vendor strain reproducibility was reported (29). A possible explanation for this finding might be found in the role of PALS in detecting subtle diastolic changes related to ACR episodes, in which different grades of inflammation affect the myocardium.

**Left ventricular longitudinal strain**

Due to the aforementioned limitations of the traditional indices of diastolic function for the estimation of LV filling pressures in HTx, the performance of myocardial deformation analysis using STE to predict elevated pulmonary capillary wedge pressure in HTx has been studied. Strain and strain rate parameters such as GCS and GCS have stronger diagnostic performance than traditional parameters of diastolic dysfunction, such as E/e’ (30). Ingvarsson et al. found a reduction in LV-GCS in a group of HTx patients compared with reported normal values (mean LV-GCS −22.9 ± 6.3%; p < 0.001 and mean LV-GCS −22.9 ± 6.3%; P = NS) possibly due to surgical procedure and progressive remodeling including myocardial fibrosis and/or previous rejections (5, 31). LV-GLS and LV-GCS are strongly associated with LV filling pressures as there is a tight coupling of systolic and diastolic functions and also a rise in filling pressures increases wall tension resulting in depressed myocardial systolic deformation (30). Regarding longitudinal diastolic strain rate, defined as the rate of deformation in percent of strain per second during diastole (32), there is evidence that peak systolic (Ssr) and early diastolic (Esr) strain rate and the ratio of transmitral early filling velocity to early diastolic strain rate correlate well with LV end-diastolic pressure and pulmonary capillary wedge pressure, also tracking well the changes of these parameters with time thus detecting myocardial dysfunction earlier than LV-GLS (30).

**Prognostic implications of diastolic assessment**

Graft failure due to acute cellular rejection is a common complication of HTx and the main cause of mortality in the first years after surgery (33), whereas extensive CAV is seldom seen as early as 1 year after surgery (34). The current gold standard method for diagnosing rejection is an endomyocardial biopsy (35) but other non-invasive imaging methods—of which echocardiography is the first line imaging modality—play an important role in assessing and monitoring allograft function (16). Acute graft rejection is categorized into acute cellular or antibody-mediated rejection (36, 37) and induces myocardial lymphocyte infiltration and edema manifested earlier by impaired LV filling and later by increased wall thickness and systolic dysfunction (38). Acute cellular rejection correlates with shortening of the IVRT and early mitral inflow DT, while changes in E and A wave velocities and E/A ratio have been less consistent (39). Variations in transmitral Doppler flow indices are also rather non-specific in detecting rejection as they are markedly influenced by other variables, such as heart rate, age, and loading conditions. Diastolic function assessed by transmitral Doppler diastolic indexes should allow the sensitive detection of acute rejection but their value is limited as they can be abnormal even in healthy patients (10). Nevertheless, diastolic dysfunction carries a prognostic value (40), and Doppler abnormalities in LV filling patterns have shown a return to baseline following episodes of rejection (41). TDI and relaxation velocities have been studied and results are not univocal, since, in some studies, it has been found that an association between decreased systolic and filling velocities and acute rejection (42, 43) is not confirmed by others (44). It can be said that TDI velocities are highly specific as they have a good negative predictive value, so rejection could be excluded in the presence of <10% reduction in diastolic mitral annular motion velocities (44, 45). The aforementioned markers of acute rejection are based on abnormalities in LV filling; speckle tracking-derived LV-GCS is a sensitive marker for the detection of sub-clinical regional systolic function abnormalities instead (46). Diastolic speckle tracking indexes can detect subclinical dysfunction during acute cellular rejection at an earlier stage than LV-GLS, particularly E/GDSRe, as it can detect functional alterations even in the context of normal E/e’ ratio (32). Finally, a completely normal echocardiographic examination provides a high negative predictive value for detecting acute graft rejection at endomyocardial biopsy while there is a significant correlation between the number of abnormal echocardiographic parameters and rejection grade (47).

Diastolic dysfunction carries a significant prognostic value also in chronic graft rejection, which is mainly determined by CAV and, in some patients, triggered by recurring immune responses against the graft resulting in replacement fibrosis and progressive deterioration of myocardial function, especially in patients with alloreactive antibodies. Histologically, CAV is a diffuse vasculopathy secondary to a fibroproliferative process initially resulting in concentric narrowing of both the large epicardial coronary arteries, the coronary veins, and the microcirculation, and later on, in focal luminal stenoses detectable with coronary angiography (16). Diastolic dysfunction is a key element in the grading of CAV; in fact, according to the latest classification of CAV by ISHLT, severe CAV is defined in presence of visual coronary angiographic stenosis and evidence of graft dysfunction such as reduced LVEF end/or restrictive filling pattern (48). It follows that diastolic function is markedly impaired in patients with severe CAV, generally resulting in restrictive cardiac physiology, defined as symptomatic heart failure with an echocardiographic E/A
FIGURE 2
Echocardiographic assessment of diastolic function in heart transplant. This figure shows a mitral E/A ratio ≥ 2 with a deceleration time of E wave of 151 ms, thus indicating a possible restrictive filling pattern in a 3-year heart transplant patient. However, TDI analysis, shown in the middle and right pictures, shows normal e’ lateral and septal velocities, thus possibly excluding a restrictive filling pattern.

FIGURE 3
Proposed algorithm to evaluate diastolic function in heart transplant patients. The first step in the evaluation of diastolic function in HTx is assessing mitral inflow velocities. In the case of an E/A ratio < 2, high LV filling pressure can be fairly excluded. On the other hand, if the E/A ratio > 2, it is useful to evaluate TDI-derived velocities. However, when their values lie in a gray zone, DT and E/e’ ratio should be considered. If these latter two indexes together cannot exclude high LV filling pressure, additional parameters should be used, such as LAVi (in the case of bicaval technique), LA strain, and LV-GM and TR velocity. This is the diagnostic algorithm proposed by our center, based on the values derived from the study by Ingvarsson et al. (5). BSA: body mass index; DT: deceleration time; HTx: heart transplantation; LA: left atrial; LAVI: left atrial volume index; LV: left ventricular; LVGLS: left ventricular global longitudinal strain; MV: mitral valve; PALS: peak atrial longitudinal strain; TR: tricuspid regurgitation.

velocity ratio > 2, shortened IVRT (< 60 ms), shortened DT (< 150 ms), or restrictive hemodynamic values (48). Instead, in patients with severe CAV, LVEF is typically preserved, even though it tends to show lower values compared with mild CAV (7); nevertheless, the occurrence of a reduction in LVEF years later after HTx should prompt other investigations to exclude CAV. Earlier detection of ventricular dysfunction may be investigated with TDI-derived velocities and STE with CAV patients presenting with augmented duration and reduced amplitude of TDI-myocardial velocities (39) and the reduced absolute value of LV-GM (49, 50). Evidence suggests that the key histopathologic finding in CAV-related diastolic dysfunction is increased capillary wall thickness and reduced capillary density, rather than interstitial fibrosis, which has similar extent in severe CAV and non-significant CAV patients (7, 51). Furthermore, the restrictive physiology carries a prognostic significance in CAV patients, as it has been related to a lower 5-year survival (52).

In conclusion, no single diastolic parameter reliably predicts graft-specific complications (47), and a comprehensive echocardiographic evaluation of diastolic function should be performed at every follow-up visit, particularly focusing not on absolute values of the various parameter but rather on
their variation over time. Performing an appropriate baseline echocardiographic exam is fundamental for this purpose.

Conclusion

The assessment of LV diastolic function is considered an integral part of the clinical evaluation of HTx patients. It carries a relevant prognostic value in the follow-up, helping in the early detection of possible complications such as rejections. However, its assessment requires several considerations due to the profound alterations that the transplanted heart undergoes, especially LA which plays a key role in defining diastolic function.

Author contributions

CS, CF, FL, and MB participated in the writing the manuscript. GM and ML provided images and tables as well as a revision of the manuscript, whereas SV, FYA, MF, and MC critically revised the manuscript. All authors contributed to the article and approved the submitted version.

Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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