Photoinduced multistage phase transitions in Ta$_2$NiSe$_5$

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Ultrafast control of material physical properties represents a rapidly developing field in condensed matter physics. Yet, accessing the long-lived photoinduced electronic states is still in its early stages, especially with respect to an insulator to metal phase transition. Here, by combining transport measurement with ultrashort photoexcitation and coherent phonon spectroscopy, we report on photoinduced multistage phase transitions in Ta$_2$NiSe$_5$. Upon excitation by weak pulse intensity, the system is triggered to a short-lived state accompanied by a structural change. Further increasing the excitation intensity beyond a threshold, a photoinduced steady new state is achieved where the resistivity drops by more than four orders at temperature 50 K. This new state is thermally stable up to at least 350 K and exhibits a lattice structure different from any of the thermally accessible equilibrium states. Transmission electron microscopy reveals an in-chain Ta atom displacement in the photoinduced new structure phase. We also found that nano-sheet samples with the thickness less than the optical penetration depth are required for attaining a complete transition.
 Ultrashort laser pulse not are only a powerful tool to excite and probe nonequilibrium electronic processes in transient states, but also emerges as an useful method to induce phase transitions that may or may not be thermally accessible. The latter has drawn increasing attention because it enables ultrafast optical manipulation and control over material properties. Among various photoinduced (PI) phase transitions and related phenomena, the ultrafast switching from an insulating to a metallic state is particularly attractive, for it has high potential for device applications. While some phase transitions are transient, in the sense that they recover on very short time scales, e.g., picoseconds (ps), others are permanent or metastable and require something other than time to reset them. Up to now, the PI insulator to steady metallic state is achieved only in few systems exhibiting competing orders or broken symmetry phases, e.g., manganites perovskites and a charge density wave (CDW) crystal of 1T-TaS₂, with their PI states only surviving at temperatures far below the room temperature. PI nonthermal insulator-metal phase transition was also reported in a strongly correlated material VO₂, however, a recent study reported a metastable and require something other than time to reset them. To gain insight into the microscopic nature of the PI-LR state, we investigated the time-resolved photoexcitations by pump–probe spectroscopy. In the experiments, the energies of pump and probe pulses are kept low at ~50 and ~3 μJ/cm², respectively, to ensure minimal disturbance of those states (see Methods). Figure 2a depicts the PI relative change of transient reflectivity ΔR/Δt. The rising time is about 100 fs. It can be clearly recognized that the decay processes of PI reflectivity change ΔR/Δt is superimposed by several coherent oscillations. The reflectivity change could be well reproduced by two-exponential decay processes²¹,²², a fast one in the time scale of 0.5–0.6 ps and a slow one with ~10 ps. Presence of rapid and slow decay dynamics after excitation has been observed in many systems. In general, the number of photoexcited hot electrons at zero time delay is related to the amplitude of ΔR/Δt. Those excited high-energy hot electrons release and transfer their energy to lattice through the emission of optical phonons. The sub-picosecond decay would be mainly attributed to hot electron relaxation via the energy exchange with strongly coupled phonons, the ~10 ps decay process would be related to the energy exchange with other phonons or dephasing process. The fast decay process is in accordance well with the electronic pre-thermalization process revealed by trARPES experiments.²³,²⁴.

The coherent oscillations arise from the coherent phonon excitations. We extract the coherent phonon spectra by fast

**Fig. 1 Resistivity switching of Ta₂NiSe₅ by the 35 fs laser pulse at 800 nm.** a The layered crystal structure of Ta₂NiSe₅. A quasi-one-dimensional structure is formed by a single Ni chain and two Ta chains along a-axis. b The four-probe resistance of the pristine (blue) and PI-LR state (green) after a writing excitation ~3.5 mJ/cm². Inset is the schematic of the sample and experimental configuration. The length of dotted red line indicates the time delay between the pump and probe pulses.
Fourier transformation from transient reflectivity spectra after subtracting the electronic decay background, as shown in Fig. 2b. For pristine state at 50 K, five phonon modes of 1.0-, 2.0-, 3.0-, 3.7-, 4.0-THz are detected. These modes are identified as $A_g$ symmetries in earlier studies, arising from displacive excitation of coherent phonons. Remarkable differences can be found between the spectra of pristine state and PI-LR state at low temperatures. As shown in Fig. 2b, the 2.0- and 3.7-THz modes are absent for PI-LR state at 50 K. Besides, the peak frequencies of the remaining modes of PI-LR state are a little higher comparing with the ones of pristine state respectively. These changes in phonon number and frequency imply a PI lattice structural phase transition. More information of coherent modes evolution are recognized from the temperature-dependent spectra (Fig. 2c). For the spectra of pristine sample, the 2.0 THz mode is present solely in the low-temperature monoclinic phase, and the modes 3.7- and 4.0 THz gradually merge into one 3.7 THz across $T_c$ accompanying the structural transition from monoclinic to orthorhombic phase. While for the PI-LR state, the temperature-dependent spectra show only three recognizable modes throughout the measured temperature range, irrespective of thermal cycles. Although the PI-LR phase does not show a phase transition in the measured temperature range, the coherent phonon modes in the PI-LR phase are very similar to those in the high temperature pristine phase in $T_a$-$NiSe_3$, therefore, it is expected that the PI-LR phase is in the similar lattice symmetry but with different atomic construction comparing to the pristine high temperature orthorhombic phase.

The structural transformation was directly clarified by transmission electron microscopy (TEM). Figure 3a depicts the perspective view of $T_a$-$NiSe_3$ atomic model. An appropriate [110]-type zone-axis (Fig. 3b) of $T_a$-$NiSe_3$ was selected for TEM structural characterization, by which atomically-resolved images can be readily obtained (see Methods). Figure 3c shows the electron diffraction pattern and the corresponding morphologies of a [110]-oriented pristine nanoflake at room temperature. The stripe-like domain in TEM morphology and the splitting or elongation of (3-30)-type reflections in diffraction pattern are arising from the twinning formation of monoclinic phase. Upon heating across $T_c$, the lattice structure transforms into orthorhombic phase along with the disappearance of both the stripe-like domain and the splitting/elongation of (3-30) reflections (see Supplementary Fig. 3). The high-angle annular dark-field (HAADF) atomic imaging experiments were performed. Figure 3d shows an atomically-resolved HAADF image of the [110]-oriented pristine state at room temperature, in which the HAADF intensities scale with the atomic number of constituent elements: the brightest dots correspond to $T_a$ ($Z = 73$) columns and the least bright dots correspond to $Ni$ ($Z = 28$) columns. A periodic structural unit is framed and false-colored in Fig. 3d, and its enlargement is shown in Fig. 3e together with the corresponding positions of $T_a$ columns in the [110] projection. Note that it is hard to differentiate the HAADF atomic images between the low-temperature monoclinic and high temperature orthorhombic phases of pristine $T_a$-$NiSe_3$ in the limitation of TEM experiments, consistent with the fact that the two pristine phases have quite tiny differences in crystallographic parameters as revealed by X-ray experiments.

Similar TEM structural characterizations were carried out for writing-pulse excited $T_a$-$NiSe_3$ nanoflakes. Figure 3f shows the TEM morphology and the corresponding electron diffraction of [110]-oriented $T_a$-$NiSe_3$ after 800 nm pulses (at ~3.5 mJ/cm²). Clearly, the twinning related stripe contrast in TEM morphology and the splitting of reflections in diffraction pattern are both absent, in stark contrast to the results (Fig. 3e) for the pristine state (see Supplementary Fig. 4 for more [010]-oriented). Figure 3g shows a representative [110]-oriented atomically-resolved HAADF image and the Fig. 3h is the enlargement of the periodic structural unit frame correspondingly together with the $T_a$-columns extracted in [110]-projection. The clean and uniform atomic periods in Fig. 3g suggest that there no observable PI vacancies or defects in the induced hidden state. Despite the greater similarity in the chain-like structure along $a$-axis between Fig. 3d, g careful inspection of their respective atomic
arrangements unveils notable Ta-lattice shear distortion comparing Fig. 3e, h. The colored lines and arrows in both Fig. 3e, h mark the Ta lattice displacement, we can recognize clearly a small and a large shear motion respectively between different Ta-chains. The small one can be considered as a shear motion of the Ta against the Ni chains, with a counter Ta-Ta displacement measured to be about 0.5 ± (0.05) Å along <110>-type direction for the PI-LR phase. While the large shear motion recorded a relative displacement of about 1.6 ± (0.05) Å along <110>-type direction, which occurs between the two nearest-neighboring Ta-chains, where the weakest Ta-Se bonds exist. Considering the large shear motion of Ta lattice, geometrically, it corresponds closely to a a/2 a-axis sliding between the nearest-neighboring Ta-chains (see Supplementary Fig. 5 for details).

It would be interesting to compare the above coherent phonon excitations and structural characterization with the equilibrium structural transition revealed by Raman scattering studies29–32. Raman studies have revealed significant role played by the 2-THz mode in the structural phase transition. The mode has a B_{2g} symmetry in the high temperature orthorhombic phase but evolves into an A_{g} symmetry in the low-temperature monoclinic phase. It corresponds to the in-chain Ta lattice oscillations or shear motion of TaSe_{6} octahedra along the a-axis29. An instability of this mode as the origin of phase transition was suggested by density function theory based calculations29 and indeed observed in a recent Raman measurement33. In the present study, the optical pump–probe experiment detects only the A_{g} coherent phonon modes. In the pristine state below T_{c}, five A_{g} modes were detected at 50 K and two of them (2.0- and 4.0 THz) disappeared due to evolving into B_{2g} modes above T_{c}, the result is in accordance with the Raman measurement. On the other hand, the 2-THz mode is also absent in the PI-LR phase. In fact, the pump fluence dependent pump–probe measurement in the monoclinic phase presented below indicates a clear weakening and disappearance of the 2-THz mode upon increasing fluence. It is expected that an offset displacement gradually develops in the direction of the in-chain phonon mode, and the oscillatory motion would be on top of that. Increasing the pump field would lead to a much larger offset displacement, similar to what has been observed in WTe2 and MoTe233,34. Above a threshold pump field, the lattice would not recover to its original state and a new equilibrium state is reached eventually. The in-chain Ta atoms displacement found by TEM agrees well with the lattice instability of B_{2g} mode proposed by the Raman work. Nevertheless, the large displacement of Ta atoms revealed by TEM measurement yields evidence that the PI new state is different from any of already known phases in pristine Ta_{2}NiSe_{5}. As the Ta lattice sliding has an intimate correlation with the EI state in Ta_{2}NiSe_{5}30,31, it can be expected that the pristine exciton condensation will be destroyed as soon as the Ta lattice transformation occurred. Note that previous report has revealed a high-pressure induced a/2 interlayer-sliding transition in Ta_{2}NiSe_{5} with the lattice transformed from monoclinic to orthorhombic symmetry along with a IMT's electronic transition35. As well, in pristine Ta_{2}NiSe_{5}, the electronic transition at 326 K is accompanied concomitantly by a lattice transition with the Ta sublattice slightly sheared along a-axis. It is therefore not surprising that the Ta lattice transformation will come along with an electronic phase transition in our observations in Ta_{2}NiSe_{5} system.
Recent structure investigation combined with laser-pumping and first-principle calculation study on layered van der Waals CDW material 1T-TaS2 suggested that the interlayer electronic ordering can play an important role in electronic phase transitions. The metal-insulator transition is driven not by the 2D order itself, but by the vertical ordering or stacking of the 2D CDWs. Among 13 different stackings, there exist two exceptionally stable stacking configurations, one being an insulator and the other being a metal. They have a small energy difference, and their competition is responsible for the metal-insulator transition in 1T-TaS2. The stacking scenario was used also to explain PI insulator-metal phase transition in 1T-TaS2 compound. However, the observed in-chain displacement of Ta atoms in Ta2NiSe5 in the PI LR state indicated that the distortion appears more complicated than a simple stacking fault proposed in ref. 37.

To generate the PI-LR state in Ta2NiSe5, a pulse fluence beyond a threshold is required. Figure 4a shows a shot-by-shot dependence of resistance measured at 50 K. It records a steep drop of resistivity following the excitations beyond a threshold fluence. From the figure, the threshold is estimated near ~2.5 mJ/cm². Each subsequent shot will drive the resistance drop into a new steady step value until another shot incident. With the shot number increasing, the resistivity drops quasi-exponentially to a local minimum value. Higher density excitations will drive the resistance to smaller values till reaching a minimum constant. This step-by-step type behavior is closely coincident with the step-by-step type behavior that is usually observed in other phase change materials. For relative weak pulse energies, the temporal photoresponse spectra behave in a different rule. Figure 4b presents the coherent phonon spectra in a pristine nano-thickness sample with an increase of pump fluence up to 2.2 mJ/cm². The original oscillations in the time domain is presented in Supplementary Fig. 7. Initially at weak pump fluence, the primitive five coherent modes are recorded. With the pump fluence increasing, the 2.0 THz mode tends degraded gradually and disappear completely above ~5.0 μJ/cm², while the 3.7- and 4.0 THz modes get merged into a broad one, suggesting a PI structural phase transition. However, this transition is transient, it doesn’t yield a permanent reduction of DC resistivity and the coherent phonon spectra will recover to the initial state when the pump fluence is tuned back to small values (see Supplementary Fig. 8). With the excitation intensity increasing, the three low frequency modes show a clear red shift and an asymmetric broadening to the lower-frequency side, the phenomena can be ascribed to coherent anharmonic oscillations under high density photoexcitations. The red shift of the peak frequency can be considered as an electronic softening effect relating with the transient photoexcited carriers. While for the asymmetric broadening modes, the effect is typically referred as the nonthermal modification to the lattice potential-energy surface at high-intensity excitations. This broadening modification is a transient effect that only lasts for a short time before thermal equilibrium is attained between electrons and lattice background. We performed the time-domain trace analysis for the modification effect. Figure 4c shows the Fourier transformed spectra obtained after cutting the initial time delay Td at two different positions for a pump fluence 1.5 mJ/cm². As can be seen, we perform Fourier transformation of the pump-probe waveform after the first 2 ps (Td = 2 ps), the red shift and broadening of the phonon modes approach to disappear. The inset shows the change of 3 THz mode of Fourier transformed spectra after cutting different time delays Td. A more detailed analysis of the transient phonon spectra as a function of cutting...
time delay $T_d$ at various excitation intensity is presented in Supplementary Fig. 9.

Discussion

We now discuss implications of the results. First, our experiments clearly demonstrate multi-staged PI phase transitions. At small excitation fluence, the system is triggered to a transient or short-lived state accompanied by a structural change. Upon increasing the pump fluence beyond a threshold, the sample is driven to a stable phase showing high conductivity. This phase has a crystal structure different from the original one with observable sliding of Ta-atom, which is also robust against thermal excitations up to at least 350 K. Obviously, this phase must correspond to one of the pronounced minima in the free energy landscape. The energy barrier is high enough to prevent the system from thermally recovering to the pristine phase. In principle, the PI atomic vacancies might provide such a stabilized energy barrier, like a case of irreversible IMTs from insulating 2H-MoTe$_2$ to metallic 1T'-MoTe$_2$ induced by high-intensity photo-irradiations. However, this possibility can be excluded here in Ta$_2$NiSe$_5$ system, because our atomically-resolved TEM experiments indicate a homogeneous atom arrangement with negligible vacancy or disorder in the PI state of Ta$_2$NiSe$_5$. Therefore, the PI multistage phase transitions in Ta$_2$NiSe$_5$ must be a result of self-organization through different trajectories from photoexcited states.

Second, our measurements provide useful information about exciton formation in Ta$_2$NiSe$_5$ system. The binding energy of exciton appears to be very sensitive to the lattice distortion. The phase of Ta$_2$NiSe$_5$ below $T_c = 326$ K has been widely considered as a prototype E"$_1$-17–19,44–46. As is known, for a small gap semiconductor, an excitonic instability occurs only if the exciton binding energy is larger than the electronic band energy gap. Then, the system would form a new phase through spontaneous formation and Bose condensation of excitons in which the exciton binding energy would become smaller than the band energy gap. Our measurement reveals the presence of a nonthermal stable phase with subtle difference in structure relative to the pristine Ta$_2$NiSe$_5$.

The absence of a phase transition suggests very small exciton binding energy in this phase. Therefore, the subtle structural change could induce a large change in the exciton binding energy. Nevertheless, the driving mechanism for the PI phase transitions may not be directly linked to the exciton interaction. Although it is not easy to solve the issue conclusively at present stage, a comparative study to the pure semiconductor Ta$_2$NiS$_5$, an isostructural sister compound to Ta$_2$NiSe$_5$ without exhibiting exciton insulator ground state$^{17}$, would be particularly helpful. To this end, we performed similar measurement on Ta$_2$NiS$_5$. Indeed, we observed similar PI-LR state in Ta$_2$NiS$_5$ (see Supplementary Fig. 10). The results indicate that the exciton interaction is not a prerequisite for the formation of PI-LR state.

Finally, our work reveals a significantly different PI effect from reported work for the compound. The issue of PI phase transition in Ta$_2$NiSe$_5$ has been heavily debated with controversial results. Several reports denied a possible PI phase transition$^{23,47,48}$, however some recent reports support that transition$^{24,25,49,50}$. For example, in earlier tr-ARPES and ultrafast pump–probe studies on Ta$_2$NiSe$_5$, Mor et al. reported that the photon absorption saturated at a critical fluence of $F_c = 0.2$ mJ/cm$^2$ upon photoexcitation, and above the saturation fluence the band energy gaps widened, reflecting an increase of the exciton condensate density in the EL phase in Ta$_2$NiSe$_5$.$^{23}$ In accord with the tr-ARPES results, their pump–probe measurement also indicated a saturation phenomenon above a critical excitation fluence$^{47}$. Based on those results, they argued that Ta$_2$NiSe$_5$ would exhibit a blocking mechanism when pumped in the near-infrared regime, preventing a nonthermal structural phase transition. Apparently, our experimental observation of the PI-LR phase in Ta$_2$NiSe$_5$ is in sharp contrast to those results. The strong NIR laser pulses can definitely induce a structural phase transition. It is possible that the peak electric field of laser pulse used by Mor et al. was too small to drive a transition (see Supplementary Table 1). Another possibility is that the samples they used in their tr-ARPES study are thick bulk samples. As we mentioned above, the sharp switching is achieved currently only in thin flake samples. We note that more recent tr-ARPES measurements using an 800 nm amplified laser system also indicated a PI transient IMTs in Ta$_2$NiSe$_5$, though a stable state was not observed$^{24,25,49,50}$. Those results are consistent with our observation of transient structural change at moderate excitations which can recover to the initial state once the pump fluence is tuned back to a small value. Our present work brings new perspective to the control and manipulation of physical properties in Ta$_2$NiSe$_5$. The realization of ultrafast PI irreversible phase transition with a dramatic resistivity change will open up new prospects for designing novel functional photonic and electronic devices.

Methods

Sample growth. The Ta$_2$NiSe$_5$ single crystals were synthesized by traditional chemical vapor transportation technology. The quartz tube loaded with the elements (99.99% Ta power, 99.99% Ni power, and 99.999% Se pellets) in a stoichiometric ratio together with additional iodine (2% of total mass) as the transport agent was vacuumed and flame-sealed. Then the quartz tube was heated at 750–880 °C for 10 days in a two-zone furnace, followed by a natural cooling process. The obtained single crystals (normally in size of 5 x 2 x 0.1 mm) were checked by the resistance, electron diffraction energy spectrum, and infrared spectroscopy.

Device fabrication and temporal spectra of optical excitations. The thin Ta$_2$NiSe$_5$ samples used in the experiments were mechanically exfoliated from the single crystals using the sticky tape and then transferred onto the cleaned sapphire substrates. The thickness of the films (10–50 nm in thickness) was measured by the KLA-Tencor’s P-6 stylus profiler. The electrodes were fabricated by ultraviolet lithography using the bilayer photoresists (PMGI and AZ1500) and followed by the electron beam evaporation deposited with 10 nm Ti and 80 nm Au successively. The typical image of the devices taken from the Olympus BX51 Microscope.

The temporal resistance under photoexcitations were in situ recorded by the standard four-electrode method using a Keithley 2400 Source-Meter. A sample holder of the Liquid-He flow optical cryostat (Oxford Company) was used. A Ti:Sapphire Amplifier (800 nm, 35 fs, 1 kHz-repetition rate) was supplied for both the writing and pump–probe pulses. The shot-number of the writing pulse was controlled by a shutter. The temperature dependence of resistance $R(T)$ were also measured before and after the optical experiments by PPMS (Quantum Design Company). For optical pump–probe experiment, the two-electrodes geometry are normally used. The diameter of the writing-pulse spot was set normally in the range of 0.2–1 mm, fully covering the channel area between the electrodes. For pure optical pump–probe measurement, the diameter of the pump spot was 200–300 μm, more than two times larger than the one of probe pulse (90 μm). The PI phase transition can be achieved by both the front and backside incidence.

The temporal reflectivity spectra Δ$R/R(τ)$ were measured by the 800–800 nm pump–probe geometry. The decay process was fitted by the two-exponential function $ΔR/R(τ) = A_1 exp[-(τ – τ_0)/τ_1] + A_2 exp[-(τ – τ_0)/τ_2] + y_0$, where $A_1$, $A_2$ were the amplitude of transient reflectance and $τ_1$, $τ_2$ were the relaxation time.

TEM experiment. A mechanical exfoliation method with scotch tape was used to obtain the Ta$_2$NiSe$_5$ nanoflakes, which were placed on 1000 mesh copper grids for TEM measurements. For the laser treatment, the nanoflakes were excited at temperature 50 K by a set of 30 pulses (800 nm, 35 fs, −3.5 mJ/cm$^2$). In situ heating TEM observations were performed on a JEOL JEM-2100F microscope operated at 200 kV. The use of in situ heating TEM holder (model 652, Gatan Inc.) allows specimen temperature to be varied from room temperature to 750 K. Atomically-resolved HAADF images were obtained using a probe-corrected JEOL ARM-200C TEM. TEM bright-field imaging and selected area diffraction patterns were recorded using both ARM-200C and JEM-2100F microscopes.
Data availability
The data that support the findings of this study are available from the corresponding author upon reasonable request.

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