MODELING THE DISRUPTION OF THE GLOBULAR CLUSTER PALOMAR 5 BY GALACTIC TIDES

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ABSTRACT

The globular cluster Palomar 5 is remarkable not only because of its extended massive tidal tails, but also for its very low mass and velocity dispersion, and its size, which is much larger than its theoretical tidal radius. In order to understand these extreme properties, we performed more than 1000 N-body simulations of clusters traversing the Milky Way on the orbit of Pal 5. Tidal shocks at disk crossings near perigalacticon dominate the evolution of extended low-concentration clusters, resulting in massive tidal tails and often in a quick destruction of the cluster. The very large size of Pal 5 can be explained as the result of an expansion following the heating induced by the last strong disk shock ~150 Myr ago. Some of the models can reproduce the low observed velocity dispersion and the relative fractions of stars in the tails and between the inner and outer parts of the tails. Our simulations illustrate to what extent the observable tidal tails trace out the orbit of the parent object. The tidal tails of Pal 5 show substantial structure not seen in our simulations. We argue that this structure is probably caused by Galactic substructure, such as giant molecular clouds, spiral arms, and dark matter clumps, which was ignored in our modeling. Clusters initially larger than their theoretical tidal limit remain so, because after being shocked, they settle into a new equilibrium near apogalacticon where they are unaffected by the perigalactic tidal field. This implies that, contrary to previous wisdom, globular clusters on eccentric orbits may well remain supertidally limited and hence vulnerable to strong disk shocks, which dominate their evolution until destruction. Our simulations unambiguously predict the destruction of Pal 5 at its next disk crossing in ~110 Myr. This corresponds to only 1% of the cluster lifetime, suggesting that many more similar systems could once have populated the inner parts of the Milky Way but have been transformed into debris streams by the Galactic tidal field.

Key words: Galaxy: halo — globular clusters: general — globular clusters: individual (Palomar 5) — methods: n-body simulations — stellar dynamics

1. INTRODUCTION

Among the Milky Way globular clusters, Palomar 5 is exceptional in many respects. First, it is one of the faintest and least massive; its velocity dispersion is so small that it could only recently be determined, using high-resolution spectroscopy, to be below 1 km s⁻¹ (Odenkirchen et al. 2002, hereafter Paper I). Second and most remarkable, data from the Sloan Digital Sky Survey (SDSS; York et al. 2000) show a pair of tidal tails, which extend at least 4 kpc in opposite directions from the cluster and contain more stars than the cluster itself (Odenkirchen et al. 2001, 2003, hereafter Paper II; Rockosi et al. 2002). Pal 5 is actually the first, and only, globular cluster so far for which such tails have been detected at a comparable level of significance. Thus, this is the first direct evidence that mass loss induced by the Galactic tidal field can substantially affect the evolution of globular clusters, a process that is speculated to have destroyed many low-mass clusters of an initially much richer Galactic system of clusters (e.g., Vesperini & Heggie 1997).

The tidal field generated by a smooth Galactic mass distribution stretches the cluster in a direction toward the Galactic center and weakly compresses it in tangential directions. The stretching creates drains through which stars from the outer parts of the cluster are carried away. This limits the bound part of a cluster that is in equilibrium with the tidal field to a tidal radius $r_{\text{tid}}$, whose size can be estimated by equating the outwardly directed stretching tidal force to the cluster’s internal gravitational attraction. For a cluster of mass $M$ orbiting at galactocentric radius $R$ in a galaxy with constant circular speed $v_c$, we get

$$r_{\text{tid}}^3 \simeq \frac{GM}{v_c^2} R^2. \quad (1)$$

For eccentric orbits, $r_{\text{tid}}$ varies along the orbit and is smallest at perigalacticon. The strong tidal force at perigalacticon acts only for a short fraction of the orbital period, and passages of the perigalacticon are often referred to as tidal shocks or “bulge shocks.”

The tidal field generated by the stellar disk with its steep vertical gradient is different. It is much stronger than that of the smooth halo and bulge, and it is compressive, because while the cluster is crossing the disk, its stars feel the additional attraction of the disk stars within the cluster. However, the strong compression only acts for a short time. This allows use of the impulse approximation, which gives for the velocity change of a star at vertical distance $z$ from the
cluster center (Ostriker, Spitzer, & Chevalier 1972; Spitzer 1987),

$$\Delta v = -2g_m x/V,$$

where $V$ is the vertical velocity of the cluster crossing the disk and $g_m \approx 2\pi G\Sigma(R)$ is the maximal vertical acceleration exerted by a (thin) disk with surface density $\Sigma(R)$. These changes in velocity result in relative changes of the specific energies of the stars of order

$$\frac{\langle |\Delta E| \rangle}{\langle |E| \rangle} \approx \frac{\sigma g_m r_h}{V \langle |E| \rangle} \approx \frac{2g_m r_h}{\sigma V},$$

where we have used $\langle |z| \rangle \approx r_h/2$, with $r_h$ denoting the cluster half-mass radius. Low-concentration systems tend to have large half-mass radii and low velocity dispersions, which makes them very susceptible to disk shocks, in particular at small galactocentric radii where $\Sigma(R)$ and hence $g_m$ are large. Not surprisingly therefore, low-concentration clusters are hardly found in the inner Galaxy, where the shocks not only are much stronger but also occur much more frequently than for orbits in the Galactic halo.

The velocity changes also result in an average heating per star of $\Delta E \approx 2g_m r_h^2/3V^2$ (Ostriker et al. 1972; Spitzer 1987). One may use this result to estimate the “shock heating time” $t_{sh}$, the timescale over which disk shocks significantly affect the cluster dynamics,

$$t_{sh} = t_{disk} \left[ \frac{\langle |\Delta E| \rangle}{\langle |E| \rangle} \right] = t_{disk} \frac{3 \sigma^2 V^2}{4 g_m r_h^2}$$

(Gnedin, Lee, & Ostriker 1999). Here, $t_{disk}$ is the time between strong disk shocks, which for eccentric orbits, such as that of Pal 5, equals the orbital period $P$.

Apart from tidal forcing, the evolution of globular clusters is also driven by internal processes, such as stellar mass loss, two-body relaxation, and binary interactions. These internal processes cause the cluster to (eventually) undergo a core collapse and result in mass segregation and evaporation of preferentially low-mass stars. While the evolution of isolated globular clusters has been the subject of many studies, the combined effects of these internal and the external processes have rarely been investigated. Gnedin & Ostriker (1997) used Fokker-Planck simulations including two-body relaxation, tidal limitation, and disk and bulge shocks to investigate the dissolution of the individual Galactic globular clusters (although their adopted mass for Pal 5 is 5 times larger than our best current value). With the same method, Gnedin et al. (1999) studied the evolution of globular clusters with various concentrations $c \equiv \log (r_{lim}/r_{core})$ from the cluster’s limiting and core radius, and ratios $\beta \equiv t_{sh}/t_{disk}$ of the two-body relaxation time $t_{sh}$ and the shock heating time (4). They considered clusters with $c \in [0.6, 2.6]$ and $\beta \in [10^{-5}, 10^2]$ orbiting the Milky Way on the orbit of the cluster NGC 6254 and concluded that (1) for $\beta \geq 0.1$, disk shocks dominate the cluster evolution and may lead to quick destruction, (2) for smaller values of $\beta$, tidal forcing accelerates the two-body–relaxation driven evolution, but also that (3), in response to disk shocking, the cluster shrinks, substantially reducing $\beta$ and diminishing the further importance of disk shocks. Pal 5 has $c \sim 0.6$ (Paper II) and $\beta \sim 10$ ($\S$ 2.4), which means that, according to Gnedin et al., the evolution of Pal 5 is entirely dominated by disk shocks.

Collisional N-body–simulation studies that investigate internal processes, along with a time-varying tidal field, either ignore disk shocks (Baumgardt & Makino 2003) or account for disk shocks but assume an otherwise constant tidal field (Vesperini & Heggie 1997).

Unfortunately, neither of these studies is directly applicable to Pal 5, since they either exclude relevant external processes or do not cover the globular cluster parameters relevant for Pal 5. Gnedin et al., for example, assumed in their study that disk shocks are slow and rare, i.e., the cluster-internal dynamical time is shorter than the duration of the shock and much shorter than $t_{disk}$, whereas for Pal 5, shocks are fast and frequent (see § 2.4). Also, the orbit of Pal 5 differs significantly from that of NGC 6254, which they adopted.

Moreover, as far as we are aware, all studies of globular cluster evolution start with clusters limited by their (peri-galactic) tidal radius, assuming that this limitation is quickly achieved by the tidal force field. As we see below, this assumption is not justified either theoretically, as our simulations will demonstrate, or observationally, since Pal 5 is an excellent counterexample (§ 2.3).

The primary goal of the present paper is to study, via detailed N-body simulations, the effect of Galactic tides on a globular cluster moving on the orbit of Pal 5 and to quantitatively compare the observable properties of the models with those of the cluster in an attempt to understand its current dynamical state and to constrain the formation history of this object. As a by-product, our simulations also give valuable insights into the evolution of low-concentration globular clusters with $\beta \gg 1$ experiencing strong disk shocks in conjunction with a time-varying tidal field.

In § 2 we summarize the observed structural and dynamical properties of Pal 5. The N-body simulations are presented in § 3. The dynamics of the simulated tidal tails are discussed in detail in § 4. In § 5, we compare our simulations directly to the data for Pal 5. The results of the N-body simulations and the implication for Pal 5 are discussed, respectively, in §§ 6 and 7 and summarized in § 8.

2. THE OBSERVED PROPERTIES OF PAL 5

We now summarize the structural and kinematic properties of Pal 5 that are relevant for the present study. Most of these properties have been presented and discussed in Papers I and II.

2.1. The Orbit

As shown in Paper II, the orbit of Pal 5 is rather tightly constrained by its position and radial velocity in conjunction with the observed orientation and curvature of the tidal tail, because the tail stars deviate very little from the cluster orbit, as is evident, for instance, for the simulated tidal tail in Figure 6 below. Figure 1 shows the meridional projection of that orbit obtained with our standard model for the Galactic gravitational potential (see § 3.1 for details). Different assumptions for the Galactic potential, e.g., different circular velocities, result in very similar orbits, except for their periods (Paper II). In particular, the perigalactic and apogalactic radii are always at about 5.5 and 19 kpc.

2.2. Mass and Velocity Dispersion

With a total luminosity of only $M_V = -4.77 \pm 0.20$ (Paper I), Pal 5 is one of the faintest Galactic globular clusters. It also has an unusually flat stellar luminosity function
Grillmair & Smith 2001), implying that its mass-to-light ratio is atypically low. From these two facts, in Paper I, we estimated the total mass to be $5200 \pm 700 M_\odot$, substantially less than previous estimates.

In Paper I, we measured the line-of-sight velocity dispersion from high-resolution spectra of 18 giant stars within 6° of the cluster center to be, at most, 1.1 km s$^{-1}$. However, the line-of-sight velocity distribution is significantly non-normal but has extended high-velocity tails, which dominate the calculation of $\sigma_{\text{los}}$. When modeling this distribution as Gaussian for the cluster dynamics plus a contribution from binaries to account for the tails, we obtained for the dynamically relevant velocity dispersion $\sigma_{\text{los}} = 0.22^{+0.19}_{-0.10}$ km s$^{-1}$.

As we discussed in Paper I, these estimates for the mass and velocity dispersion are consistent with the best-fit King model and the assumption of dynamical equilibrium. However, this does not imply that the cluster is in equilibrium, and we shall now see that it cannot possibly be.

2.3. Extent and Tidal Radius

Figure 2 shows the surface density averaged over annuli, of the cluster and the tidal tail. The profile of the cluster itself (which can easily be obtained from data in directions perpendicular to the tail) appears to be truncated at a limiting radius of about 16.1 $\pm$ 107 pc (see Fig. 5 of Paper II). This radius must be compared to the theoretical tidal radius (eq. [1]) for the cluster, which, for $v_c = 220$ km s$^{-1}$ and the mass estimate given above, is $\sim 54$ pc at the current location of Pal 5 and only $\sim 24$ pc at the perigalacticon of its orbit. This latter value is much smaller than $r_{\text{lim}}$ and actually equals the cluster’s present-day core radius.

Thus, the cluster is much larger than its tidal radius and cannot possibly be in equilibrium with the Galactic tidal force field. This is surprising and shows that the current dynamical state of Pal 5 must be a peculiar one. In particular, any estimate based on the assumption of equilibrium may well be in error.

2.4. The Importance of Disk Shocks

After having assessed the various properties of Pal 5 and its orbit, we now consider the importance of disk shocks at disk crossings. The tidal force acting on a star at position $r$ with respect to the cluster center is

$$F_{\text{tid}}(r, t) = (\mathbf{r} \cdot \nabla)\nabla \Phi(\mathbf{R}(t), t),$$

(5)

where $\Phi$ is the gravitational potential of the Milky Way and $\mathbf{R}(t)$ the galactocentric position of the cluster center at time $t$. Equating $|F_{\text{tid}}|$ to the cluster internal attraction $GM/r^2$ gives relation (1) for the radius $r$ if $\Phi$ is taken to be the potential of a singular isothermal sphere.

In Figure 3, we plot the strength of the tidal force field, quantified by the eigenvalues of $\partial^2 \Phi/\partial x_i \partial x_j$ as a function of time on Pal 5’s orbit in our model for the Galactic potential.

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6 In previous studies, this discrepancy was not detected, because (1) the mass of the cluster was overestimated by a factor of 4–6 and (2) the perigalactic radius was unknown.
must be regarded as crude ones, because they are based on the assumption of dynamical equilibrium, which we just saw is not satisfied. We conclude, nonetheless, that disk shocks for Pal 5 are fast (shock duration less than $t_{\text{dyn}}$), frequent ($t_{\text{dyn}}$, not much less than $t_{\text{disk}}$), and entirely dominate the evolution of this object ($t_{\text{sh}} < t_{\text{th}}$).

3. THE SIMULATIONS

In order to model the tidal disruption of low-concentration globular clusters in general and Pal 5 in particular, we performed collisionless $N$-body simulations, i.e., with softened gravity, suppressing short-range interactions. This is entirely justified, because the evolution of these systems is dominated by disk shocks and effects driven by two-body relaxation (partly caused by close encounters) are much less important (stellar mass loss affects clusters only in the first Gyr of their life).

3.1. The Galactic Gravitational Field

In our simulations, we considered only one choice for the Galactic gravitational potential; any effects due to variations of the Galactic potential are beyond the scope of this paper (but see § 7.3). Because the evolution of the simulated cluster is dominated by disk shocks, it is important to model the Galactic disk as realistically as possible. We used model 2 of Dehnen & Binney (1998), which contains a compound of three exponential disks for the thick and thin stellar disk and for the ISM, respectively, and two spheroidal components for the bulge and halo. The potential parameters have been determined to fit all observational constraints known in 1998 while a disk scale length of 2.4 kpc is consistent with recent studies of the infrared background light distribution in the Milky Way (Drimmel & Spergel 2001).

3.2. Initial Conditions

To create suitable initial conditions, we used King models (Michie 1963; Michie & Bodenheimer 1963; King 1966) with barycenters at the position and velocity of the orbit of Pal 5 (see § 2.1 and Fig. 1) 10 radial orbital periods (2.95 Gyr) ago. King models have three free parameters, two scales (size and mass) and a shape parameter $W_0$, which is a dimensionless measure for the depth of the gravitational potential and equivalent to the concentration $c$. As independent parameters, we used $W_0$, the total initial mass $M_0$, and the galactocentric radius

$$R_t = \sqrt{\frac{r_{\text{lim}}^3}{GM_0}} v_c,$$

(7)

Note.—Cluster internal timescales are estimated at the half-mass radius $r_{\text{h}} \approx 30$ pc.

### TABLE 1

| Timescales for Pal 5 | Timescale (Myr) |
|---------------------|-----------------|
| Duration of shocks  | $\leq 10$       |
| Internal dynamics, $t_{\text{dyn}}$ | $\approx 80$ |
| Time between shocks, $t_{\text{disk}}$ | $\approx 300$ |
| Shock-driven evolution, $t_{\text{sh}}$ | $\approx 2000$ |
| Two-body relaxation, $t_{\text{th}}$ | $\approx 20,000$ |

Time between shocks, $t_{\text{sh}} < t_{\text{th}}$. 

(see § 3.1). On top of a smooth underlying tidal field, whose dominant effect is a stretching (the absolute largest eigenvalue is negative, i.e., dotted line in Fig. 3) and whose strength varies by a factor of $\approx 10$ between apogalacticon and perigalacticon, there are short spikes coincident with disk crossings, when the tidal field is compressive and $\geq 10$ times stronger than usual. The duration of these shocks is given by the disk scale height divided by the cluster’s vertical velocity and amounts to 10 Myr or less. The strongest shocks, which occur at disk crossings near perigalacticon, happen roughly once per orbit. Actually, the last such shock occurred 146 Myr ago, while the next one is due in 110 Myr.

With numbers from the previous subsections, we find from equation (3) that a typical relative change of kinetic energy

$$\frac{\Delta KE}{KE} = \frac{v^2}{2GM_0} \frac{\rho(r_h)}{0.01 \ M_\odot \ pc^{-3}} \left( \frac{M_\odot}{0.3 \ M_\odot} \right)^{-1},$$

(6)

which is too long for relaxation-related processes to currently play an important role and implies $\beta \approx 10$ for Gnedin et al.’s shock-importance parameter.

We have summarized these various timescales in Table 1. Note that the estimates for internal timescales ($t_{\text{dyn}}$, $t_{\text{sh}}$, $t_{\text{th}}$)
with \( v_c = 220 \text{ km s}^{-1} \), which means that the limiting radius\(^7\) \( r_{\text{lim}} \) of the model equals its tidal radius \( r_{\text{tidal}} \) (eq. [1]) at a distance \( R_t \) from the Galactic center. With the choice of \( R_t \) as parameter (rather than, say, \( r_{\text{lim}} \)), the importance of the tidal field is largely independent of the cluster mass when the other two parameters are kept fixed.

We considered 1056 models from a grid of points in the three-dimensional parameter space. For \( R_t \), we took 12 values between 7.5 and 13 kpc in steps of 0.5 kpc. These are all larger than the perigalactic radius of Pal 5, guaranteeing that tidal interactions are important. For \( W_0 \), we used 11 values between 1.75 and 4.25 in steps of 0.25, corresponding to low concentrations \( c \) between 0.46 and 0.88. Finally, for the initial mass, the eight values 8, 10, 12, 16, 20, 24, 28, and \( 32 \times 10^3 \, M_\odot \) have been employed.

Clearly, these initial conditions are, as often with \( N \)-body simulations, somewhat artificial. We do not mean that 3 Gyr ago Pal 5 was well fitted by a King model; rather we expect that it might already have undergone severe tidal disturbances. However, the tidal field is likely to quickly erase any peculiarities of our initial models, except, of course, the lack of possible tidal tails originating from earlier epochs. Moreover, even if one were willing to improve on the initial conditions by integrating for the whole lifetime of a cluster, one had to know the detailed history of the Galactic tidal field and would require much more CPU time without gaining much scientific significance.

3.3. Technical Details

We used the publicly available \( N \)-body code gyrfalcON, which is based on Dehnen’s (2000, 2002) force solver falcON, a tree code with mutual cell-cell interactions and complexity \( \mathcal{O}(N) \). The falcON code not only conserves momentum exactly but also is about 10 times faster than an optimally coded Barnes & Hut (1986) tree code.

Each simulation used \( N = 32,000 \) bodies and a softening length of \( c = 3 \) pc with what we call the \( P_2 \) softening kernel, i.e., the Newtonian Green’s function \( \Phi = -G/r \) was replaced by

\[
\Phi(r) = -\frac{G}{\sqrt{r^2 + c^2}} \left[ 1 + \frac{c^2}{2(r^2 + c^2)} + \frac{3c^4}{4(r^2 + c^2)^2} \right].
\]

The density of this kernel falls off more steeply at large \( r \) than that of the standard Plummer kernel and actually becomes negative (although with absolute value smaller than for the Plummer kernel), such that force bias is substantially reduced (see also Dehnen 2001). With these softening parameters, the maximum possible force between two bodies is equal to that for Plummer softening with \( c \approx 1.4 \) pc, which hence might be called the “equivalent Plummer softening length.”

The time integration was performed either for 2.95 Gyr, i.e., until today, or until cluster destruction. In practice, a cluster was considered destroyed if the number of bodies within one initial limiting radius from the cluster center dropped below 1000, \( \approx 3\% \) of the initial number. We used the leap-frog integrator with a step size of \( 2^{-11} \) Gyr \( \approx 0.5 \) Myr and a block-step scheme that allowed steps up to 8 times smaller. The individual step sizes \( \tau \) were adjusted in an almost time-

\( \text{symmetric way, such that, on average, } \tau = a^{-1} \text{ kpc Gyr}^{-1}, \) where \( a \) denotes the modulus of the acceleration. This ensured that disk crossings, which have a duration of only a few Myr, are accurately integrated. With these settings, the energy of an isolated cluster was conserved to 0.8% over the period of 2.95 Gyr. One full simulation corresponds to about 6000 block steps and required about 75 minutes of CPU time on a Linux PC (AMD, 1800 MHz). A total of 1190 hours of CPU time were spent on the whole set of 1056 simulations, 361 of which were halted before \( t = 0 \), because the cluster dissolved.

3.4. Cluster Evolution in the Tidal Field

For five representative simulations, ranging from medium concentration and small extent to low concentration and large extent, i.e., from least to most vulnerable to Galactic tides, Figure 4 shows the time evolution of the cluster mass \( M \), velocity dispersion \( \sigma \), and the ratio \( GM/\sigma^2 \). These have been computed from those stars within the original limiting radius from the cluster center, which was determined iteratively as barycenter of the same stars starting the iteration with the set of stars from the previous time step.

3.4.1. The Mechanics of Tidal Disk Shocks

As is evident from this Figure, the cluster evolution is driven by disk shocks (the instants of the strongest of which are indicated by dotted vertical lines), which may actually quickly destroy the cluster, depending on its initial state. Each strong disk shock causes an almost instantaneous increase of the cluster’s velocity dispersion (middle panels of Fig. 4) by 8%–25%. This corresponds to an increase of the cluster kinetic energy by 16%–50% and pushes the cluster out of virial equilibrium. Stars that have been accelerated beyond their escape velocity are lost from the cluster, resulting in a drop of the cluster mass (left panels of Fig. 4), which is delayed from the instant of the shock by the time needed for the stars to drift out of the cluster.

In response to heating by the disk shock, the cluster also expands. This, together with the loss of the fastest stars, results in a substantial drop of the velocity dispersion by approximately twice the amount of the initial increase. This drop occurs roughly on a dynamical timescale, i.e., slower for the less concentrated and/or more extended clusters. Subsequently, the velocity dispersion shows damped oscillations until the cluster settles into a new equilibrium with velocity dispersion lower than before the shock. The damping is due to the fact that stars oscillate at different frequencies and is weakest for low-concentration clusters, since, for those, the range in orbital frequencies is smallest. For clusters of low concentration and/or large extent, the settling into a new equilibrium is forestalled by the next disk shock, i.e., these clusters are never in a state of dynamical equilibrium.

3.4.2. Mass Loss and Time Evolution

That the orbit-averaged cluster mass decreases almost \( \text{linearly} \) with time over the durations simulated is remarkable (Fig. 4).\(^8\) This implies that, at least for low-concentration clusters, disk-shocking induced mass loss quickly destroys the cluster. The orbit-averaged mass-loss rate depends strongly on the size but also on the concentration of the cluster, spanning one order of magnitude in \( M/M_0 \) in our simulations (see the bottom left panel of Fig. 5).

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\( ^7 \) The outermost radius of a King model is often called its “tidal radius,” with the idea that a globular cluster ought to be tidally limited. However, as Pal 5 clearly demonstrates, the limiting radius may be different, and actually larger, than the theoretical tidal radius, see § 2.3.

\( ^8 \) We found the second time derivative \( \dot{M} \) to be small in that \( |\dot{M}/M| > 7 \text{ Gyr} \) with no preference for a sign.
The linear mass loss is accompanied by an average decrease in velocity dispersion. In the right panels of Figure 4, we plot the virial radius \( r_{\text{vir}} \) of the stars within the initial limiting radius of the cluster. For most simulated clusters that survive until the present time, we find a modest decrease of the virial radius. In the top right panel of Figure 5, we plot the relative shrinking rate, \( \dot{r}_{\text{vir}} \), versus \( W_0 \) for various values of \( R_t \), but fixed \( M_0 \) (the dependence on \( M_0 \) is very weak at fixed \( R_t \) and \( W_0 \)). Most model clusters shrink slightly in response to the disk shocks with a rate that is primarily a function of \( W_0 \) or, equivalently, of the initial cluster concentration. Only very low-concentration clusters do not shrink but may even expand; see also the bottom two simulations in Figure 4. The top left panel of Figure 5 plots the relative rates. While there is a clear tendency for mass loss to anticorrelate with shrinking, the spread in this diagram is considerable.

We like to point out that the shrinking rates are very small, of the order of a few percent Gyr\(^{-1}\), too small to protect the cluster from continued tidal stripping, i.e., self-limitation of disk shocks does not apply here, as is also evident from the undamped mass-loss rates.

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9 One might instead want to use only stars that are bound to the cluster in the presence of the tidal field. However, because of strong changes of the tidal field during shocks, a star may switch from bound to unbound and back, resulting in spurious variations of the estimated quantities. Moreover, the contamination by unbound stars is small and does not significantly affect our estimates.
3.5. **Tidal Tail Morphology**

The stars lost from the cluster form two tidal tails, one leading the cluster and one trailing it. We will discuss the dynamics of the tidal tail in detail in § 4. Here, we want to give an impression of the morphology of these tails.

For the model whose remnant cluster best fits Pal 5 (see § 5.1), hereafter “model A,” Figure 6 shows snapshots of (1 out of 10) stars in the tail and cluster: the particle distribution projected onto the (instantaneous) orbital plane at time intervals of 125 Myr. At the first time shown, the cluster has not yet been tidally shocked, and all the particles are still inside the cluster; after that, the two tails develop.

There are several notable observations possible from this Figure. First, near the cluster, the tail shows the typical S-shape, also visible in the observations of Pal 5, which originates from the trailing tail being farther from and the leading tail nearer to the Galactic center.

Second, the tail morphology varies between thin and long near perigalacticon (e.g., $t = -2.075$ and $-0.075$ Gyr), which is due to variations of the orbital velocity and hence the separation between tail stars and cluster. This is analogous to cars on a highway: slow driving with short separations as in a traffic jam and fast driving with large distances correspond to the situations near apogalacticon and perigalacticon, respectively.

Third, the tail usually neatly huddles against the cluster orbit; only near apogalacticon do some stars of the trailing (leading) tail appear closer (farther). Fourth, occasionally near apogalacticon, the tidal tail shows a streaky structure. Each of these streaks corresponds to a swarm of stars that has been set loose by the same disk shock and fills apparently a streaklike phase-space volume, which occasionally projects also onto a streak in configuration space shown in the Figure.

4. **THE DYNAMICS OF TIDAL TAILS**

The gravitational influence of the cluster does not cease abruptly beyond its tidal radius but is completely negligible for stars that have drifted away from the cluster by more than a few tidal radii. Similarly, the self-gravity within the tidal tail is unimportant, simply because the tidal tail stars move too fast with respect to each other.10 Thus, to very good approximation, the motion of a tidal tail star is governed only by the gravitational potential $\Phi$ of the Milky Way.

For a time-invariant and smooth Galactic gravitational field, such as adopted in the simulations (but see § 7.3 for a discussion of deviations from this ideal), a tidal tail star moves on a Galactic orbit very similar to that of the cluster itself. The offset in orbital energy can be estimated as (Johnston 1998)

$$\delta E_{\text{orb}} \approx \pm r_{\text{tid}} |\nabla \Phi|,$$

since when the star leaves the cluster, its cluster-internal energy approximately vanishes, and its velocity is very similar to that of the cluster, which leaves only the difference in potential energy as the significant contribution to $\delta E_{\text{orb}}$.

In reality, the star may leave the cluster with a just higher than escape energy, and $|\delta E_{\text{orb}}|$ follows a distribution with a tail to larger values, but, in any case, $|\delta E_{\text{orb}}| \ll |E_{\text{orb}}|$. Thus, a star in the tidal tail moves on an orbit with slightly higher (lower) orbital energy than the cluster and hence a

10 This may be different in tidal tails emerging from galaxy interactions, where self-gravity in the tails, possibly in conjunction with gasdynamics, may lead to the formation of bound clumps that then become so-called “tidal dwarf galaxies” (e.g., Duc et al. 2000).
slightly longer (shorter) orbital period. Over time, the longer (shorter) orbital period results in a lag (lead) of the star with respect to the cluster, i.e., positive and negative \( P_j \) results in a lag (lead) of the star with slightly longer (shorter) orbital period. Over time, the longer orbital period moves on a higher orbital energy, it has a larger radius.

If we assume that a tail star leaves the cluster at a larger or smaller galactocentric radius, but the same Galactic azimuth and latitude, and the same velocity as the cluster, then we expect it to have the same orbital eccentricity as the cluster and to move in the same orbital plane (Paper II). In reality, we expect deviations from this ideal, but, of course, the orbital eccentricity and inclination should only slightly differ from that of the cluster orbit.

4.1. Coordinates for Tidal Tail Stars

Since the orbits of a tidal tail star and of the cluster are so similar, the position of a tail star may be referred to the cluster’s trajectory. In Paper II, we gave a simple model for the kinematics of stars in the tail, which was based on the assumption that its orbit has the same eccentricity as that of the cluster and differs only in energy. If we further assume that the Milky Way has a flat rotation curve, we have

\[
\frac{\delta x}{x_{\text{orb}}} \approx \frac{\delta P}{P}, \tag{10}
\]

where \( \delta P \) is the difference between the orbital period of the tail star and the period \( P \) of the cluster, while \( \delta x = x - x_{\text{orb}} \) is the positional offset of a tail star from the cluster trajectory at the same orbital phase, not the same time; see Figure 7 for an illustration.

Since, at the same phase \( \delta x \), equation (10) gives a simple relation between the \emph{perpendicular} positional offset of the tail star from the cluster trajectory and the period difference, which is directly related to the positional offset along the trajectory. In Paper II, we used this simple relation to estimate the mean drift rate, and consequently the mass-loss rate, from the mean radial orbital offset of the tidal tail of Pal 5 from its orbit. For a flat rotation curve Galaxy, we further have (for \( \delta P \ll P \))

\[
\frac{\delta P}{P} = \exp \left( \frac{\delta E_{\text{orb}}}{v_c^2} \right) - 1 \approx \frac{\delta E_{\text{orb}}}{v_c^2} . \tag{11}
\]

Motivated by this model, we now introduce simple coordinates for stars in the tidal tail. For a tail star at position \( x \), we first find the reference point \( x_{\text{orb}}(0) \) on the local cluster orbit by minimizing \( |x \times x_{\text{orb}}(\tau)| \) with respect to the time parameter \( \tau \) along the cluster orbit; see Figure 7 for an illustration. With \( \tau \) defined such that \( x_{\text{orb}}(0) = x_0 \), we can use the time \( \tau \) directly as the tail star’s (relative) coordinate along the tail. For the trailing (sketched in Fig. 7) and leading stars, \( \tau < 0 \) and \( \tau > 0 \), respectively. Note that, in contrast to the true position offset between cluster and tail stars, \( \tau \) is not subject to the “seasonal” variations between apogalacticon and perigalacticon seen in Figure 6, since these are caused by variations in orbital velocity.

We also use as coordinates the components

\[
\delta x_\parallel \equiv \delta x \cdot L_{\text{orb}}/|L_{\text{orb}}|, \tag{12a}
\]

\[
\delta x_\perp \equiv \delta x \cdot \mathbf{L}_{\text{orb}}/|\mathbf{L}_{\text{orb}}|, \tag{12b}
\]

of \( \delta x \) parallel to \( x_{\text{orb}} \) (in the orbital plane) and parallel to the angular momentum vector \( \mathbf{L}_{\text{orb}} \equiv x_{\text{orb}} \times \dot{x}_{\text{orb}} \) (perpendicular to the orbital plane and the paper in Figs. 6 and 7).

We go a step further and define the dimensionless coordinates,

\[
\eta \equiv \tau/(t - t_f), \tag{13a}
\]

\[
\zeta_\parallel \equiv \delta x_\parallel/|x_{\text{orb}}|, \tag{13b}
\]

\[
\zeta_\perp \equiv \delta x_\perp/|x_{\text{orb}}|, \tag{13c}
\]

where \( t_f \) is the time when the star was lost from the cluster. The coordinate \( \eta \) essentially is a \emph{dimensionless drift rate}, while \( \zeta_\parallel \) and \( \zeta_\perp \) are \emph{dimensionless offsets} in and out of the orbital plane.

The time \( \tau \) corresponds to a phase difference \( \delta \phi = \omega \tau \), which originates from the frequency difference \( \delta \omega \) acting over the time \( t - t_f \), i.e., \( \delta \phi = (t - t_f) \delta \omega \). We thus have \( \eta = \delta \omega/\omega = -\delta P/P \), and equations (10) and (11) yield

\[
\zeta_\parallel \approx -\eta, \tag{14a}
\]

\[
-\eta \approx \delta E_{\text{orb}}/v_c^2, \tag{14b}
\]

and \( \zeta_\perp \approx 0 \). That is, not only should these coordinates be conserved, i.e., all the kinematics is contained in their construction, but they are related in a very simple way. However, the model on which equation (10) is based ignores differences in orbital eccentricity and inclination between tail star and cluster. Since the orbital period is mainly a function of orbital energy, whereas the orbital position at fixed phase also depends sensitively on the eccentricity and inclination, we expect deviations from our ideal to mainly affect equation (14a) and \( \zeta_\perp \approx 0 \), but not so much equation (14b).

4.2. Kinematics of the Tidal Tail

We are now going to investigate in some detail the distribution of tidal tail stars over the relative coordinates \( (\eta, \zeta_\parallel, \zeta_\perp) \), \( \delta E_{\text{orb}} \), and \( t_f \) thereby also testing the simple relations (14) above. For this purpose, we concentrate on the one simulation already used in Figure 6 above, which is one that gives a good
description of Pal 5 (see § 5). In this model, the cluster originally had \( r_{\text{im}} = 53 \) pc.

In the top left panel of Figure 8, we plot the dimensionless drift rate \( \eta \), computed at the end of the simulation, versus the time \( t_l \) of loss from the cluster (evaluated as the last instant when the star crossed \( r_{\text{im}} \) outward). Clearly, each disk shock triggers the loss of a swarm of stars with a spread in \( \eta \), which is almost symmetric for the trailing and leading tails. The morphology in \( (\eta, t_l) \) of each of these shocks is the same. First the stars with largest \( |\eta| \) escape, because they have highest velocity, and somewhat later those with smaller \( |\eta| \) follow. The smallest \( |\eta| \) of any tail star decreases at later times, because the cluster loses mass, and escaping from it becomes easier.

The drift rates \( \eta \) follow a broad distribution with a factor of 4 between minimum and maximum. Therefore, a swarm of tail stars set loose by one disk shock quickly disperses along the tail. In particular, the fastest drifting tail stars will soon run into the slowest drifting ones lost at the previous shock. This implies that, at any distance from the cluster, the tail is a superposition of stars lost at various epochs, which is also illustrated in Figure 10 below. We can estimate the number of shocks contributing to the tidal tail at some “distance” \( \tau \) by dividing the difference between maximum and minimum \( t \sim t_l \) by the average time \( t_{\text{disk}} \) between shocks,

\[
  n \approx (\eta_{\text{min}} - \eta_{\text{max}}) \frac{\tau}{t_{\text{disk}}} \sim \frac{3}{4t_{\text{min}}} \frac{\tau}{t_{\text{disk}}}. \tag{15}
\]

With \( \eta_{\text{min}} \sim 0.005 \) and \( t_{\text{disk}} \sim 300 \) Myr, this gives \( n \sim 0.5 \) \((\tau \text{ Myr}^{-1})\). For the current situation of Pal 5, this translates into \( n \sim 2l \text{ deg} \nu^{-1} \) with angular separation \( l \) from the cluster (assuming \( \nu_{\text{orb}} \sim 100 \text{ km s}^{-1} \) for the cluster’s orbital velocity).

The maximum drift rate is still rather low, \( \sim 2\% \), i.e., it would take \( \sim 50 \) orbits, or \( \sim 15 \) Gyr, for the fastest first escapees to cover a full orbital period, i.e., to fill a great circle on the sky.

The top right panel of Figure 8 shows that there is indeed a tight relation between \( \delta E_{\text{orb}} \) and \( \eta \), as expected from equation (14b). However, there is a considerable spread, in particular at small \( |\eta| \), which persists if we restrict the analysis to larger \( |t_l| \) (excluding stars still in the neighborhood of the cluster). There is also a systematic deviation in the sense that the linear relations for the trailing and leading tail have nonvanishing zero points.

The bottom panels of Figure 8 show histograms of \( t_l \), i.e., the mass-loss rate, and \( \delta E_{\text{orb}} \). A comparison with Figure 3 shows that the amount of mass lost in a shock correlates with the strength of the tidal field, as expected. The distribution in \( \delta E_{\text{orb}} \) is, of course, a superposition of the distributions created by each of the disk shocks, and the thin histogram shows the distribution from the single shock at \( -2.45 \) Gyr. That the inner edge of the distribution (toward small \( |\delta E_{\text{orb}}| \) is not abrupt is mainly because the cluster weakened with time, allowing smaller \( |\delta E_{\text{orb}}| \) at later epochs. The typical scale for \( \delta E_{\text{orb}} \) is \( \sim 300 \) \((\text{km s}^{-1})^2 \). This value indeed follows from equation (9) for a cluster with \( r_{\text{in}} \sim 60 \) pc at \( R \sim 10 \) kpc in a logarithmic potential with \( v_c \sim 220 \) km s\(^{-1}\). The distribution in \( \delta E_{\text{orb}} \) is not quite but still remarkably symmetric.

In Figure 9, we plot the dimensionless orbital offsets \( \zeta_{||} \) and \( \zeta_{\perp} \) versus \( \eta \) computed at two different epochs, once near apogalacticon and once near perigalacticon. Quite obviously, the relation \( \eta \approx -\zeta_{||} \) (eq. [14a]) does not generally hold; only for the trailing tail at \( t = 0 \), we find reasonable agreement. We also find that \( \zeta_{\perp} \) deviates from zero substantially in that typical values are significant compared to \( \eta \). A comparison between the two epochs clearly shows that \( \zeta_{||} \) and \( \zeta_{\perp} \) are in fact not conserved. This, together with the violation of \( \zeta_{||} \approx -\eta \) and \( \zeta_{\perp} \approx 0 \), implies in accordance with the discussion following equations (14) that the orbital eccentricities and inclinations of tail stars differ significantly and systematically from that of the cluster. On the other hand, \( \delta E_{\text{orb}} \) shows only marginal changes.

Fig. 8.—Distributions of tidal tail stars over the dimensionless drift rate \( \eta \), time \( t_l \) of loss from the cluster, and orbital energy offset \( \delta E_{\text{orb}} \) from the cluster. The values are obtained at \( t = 0 \) from model A \((R_0 = 10 \text{ kpc}, W_0 = 2.75, \text{ and } M_0 = 20,000 \text{ }M_\odot)\). Tidal tail stars with \( t_l > -0.2 \) Gyr have been omitted. The thin lower histogram in the bottom right panel is for stars with \( t_l \in [-2.5, -2.4] \) Gyr. In the upper right panel only one out of four bodies is plotted.
physical distance $s$ along the orbit. The first two factors, which depend mainly on the general properties of the orbit (period and perigalactic radius) and the cluster, determine the distribution of tail stars over $\tau$,

$$
\frac{dM}{d\tau} = \int_{-\infty}^{t} dt \dot{M}(t) \int_{-\infty}^{\infty} d\eta f(\eta, t) \delta(\tau - \eta(t - t)).
$$

The last factor depends on the current position on the orbit and yields

$$
\varrho(s, t) = \frac{1}{|v_{\text{orb}}(\tau)|} \frac{dM}{d\tau},
$$

where $s = \int_{0}^{t} |v_{\text{orb}}(\tau')| d\tau'$ calculated at the current time $t$.

A constant $\varrho(s)$, such as found in the simulations of Johnston et al. (1999), emerges from a constant (orbit-averaged) $\dot{M}$ in conjunction with a time-invariant distribution $f(\eta)$ of drift rates and $|v_{\text{orb}}| \approx \text{const}$ over the extent of the tail. This latter condition is not satisfied whenever the tidal tail spans a significant range in phases on an eccentric orbit.

As we saw in Figure 8, the distribution over drift rates $\eta$ is actually not time invariant for our models: the drift rates decrease, because the cluster is significantly weakened by the continued loss of stars. With a constant (orbit-averaged) mass-loss rate, this results in $dM/d\tau$ slightly decreasing with $|\tau|$. This is illustrated in Figure 10, which plots the distribution of tail stars in $\tau$ and $t_l$. In the top panel, it is evident that the typical $|\tau|$ increases slightly faster than linear with $t_l - t_l$ (the inner envelopes are not straight lines). The plot of $dM/d\tau$ in the bottom panel shows (1) some “bumpiness” at the $\pm20\%$ level and (2) a slight decrease with $|\tau|$ (data for $|\tau| > 19$ Myr are incomplete, since tail stars with $t_l < -2.95$ Gyr are lacking from our models; see also the discussion at the end of § 3.2). For the linear density $\varrho$, the latter implies a slight decrease with $s$ and a steeper than $R^{-1}$ decrease for $\Sigma$. Thus, we expect nonconstant $\varrho(s)$ even for an (orbit-averaged) constant mass-loss rate and a tail spanning a small range of $|v_{\text{orb}}|$, if the mass loss was substantial.

For clusters or satellites that undergo only nonsubstantial mass loss, the distribution over $\eta$ should indeed be independent of time, and consequently $dM/d\tau \approx \text{const}$, which is consistent with the results of the aforementioned study by Johnston et al. (1999).

The bumpiness of $dM/d\tau$, which of course translates into a bumpiness of $\varrho(s)$, is caused by individual shocks and the fact that, for small $\tau$, only a few of them contribute. These bumps are expected to be symmetric, i.e., they occur in the trailing and leading tails at about the same distance from the cluster. For larger $\tau$ or distances $s$ from the cluster, these inhomogeneities are averaged out by the superposition of many swarms of stars lost from the cluster at different shock events.

### 4.3. Stellar Density along the Tail

The linear stellar density $\varrho = dM/ds$ along the tail or, similarly, the circularly averaged surface density $\Sigma(R)$ of stars (tail and cluster) are easily observable in Pal 5 (see Fig. 2, Paper II). A study by Johnston, Sigurdsson, & Hernquist (1999) of the formation of tidal tails due to Galactic tides acting on globular clusters or satellite galaxies suggests that $\Sigma \propto R^{-1}$, or equivalently $\varrho \approx \text{const}$.\footnote{In another study Johnston, Choi, & Guhathakurta (2002) reported much steeper profiles ($\Sigma \propto R^{-3}$). However, these occurred in much more massive satellites, and over larger parts of the orbit, and so are not relevant to the situation studied here.}

We can identify three factors that determine $\varrho(s)$: the mass-loss rate $\dot{M}$; the distribution $f(\eta)$ of dimensionless drift rates, which may be a function of the time $t_l$ of loss from the cluster; and the orbital kinematics that map the phase-distance $\tau$ into

$\varrho(s, t) = \frac{1}{|v_{\text{orb}}(\tau)|} \frac{dM}{d\tau}$.
correlated with some time-averaged cluster mass. In fact
\[ \langle |E_{\text{orb}}| \rangle \propto M^{1/3}, \]
exactly as equations (9) and (1) predict. The constant of proportionality in this relation depends on
the cluster orbit and the Galactic potential only, but not on
the cluster’s intrinsic properties. The remaining two panels of
Figure 11 also show that the mean dimensional drift rate
\[ h|/C17| i \]
is proportional to
\[ M^{1/3} \]
and to \[ \langle |E_{\text{orb}}| \rangle \]. The constant of pro-
portionality in this latter relation is \[ (196 \text{ km s}^{-1})^2 \]
cor-
sponding approximately to \[ v_c^2 \], in accordance with equation
(14b).

With this mass dependence, we obtain for the average
density of tail stars over \( \tau \) from equation (16)
\[ \langle dM/d\tau \rangle \propto M \langle \eta \rangle \propto M^{2/3}, \]
with \( M \) denoting some measure of the cluster mass (final or
time-averaged). The constant of proportionality depends on
the relative mass-loss rate \( M/M_0 \) and hence is a function of \( R_t \)
and \( W_0 \), but only weakly dependent on \( M_0 \). Thus, at fixed \( (R_t, W_0) \), a smaller cluster mass results in a larger fraction of tail
stars at fixed \( \tau \), i.e., phase offset from the cluster.

5. COMPARISON WITH PAL 5

In this section we compare the 695 models with a surviving
cluster to the observed properties of Pal 5 and its tail. The
objective is to investigate whether the data are at all consistent
with our models and if so, with which type of models.

5.1. The Cluster

The most astonishing property of Pal 5, apart from the tails,
is its size, which is about 4 times larger than expected for a
cluster of its mass and its orbit (\( \S 2.3 \)). Instead of comparing
695 different projected surface density profiles, we plot in
Figure 12 the fractions of the projected cluster mass within 16'
(the limiting radius of Pal 5) that are also within the projected
radii of 4' and 12'. Most of our models are in the upper right
corner of the figure: they have nearly 100% for both these
fractions, meaning that most of their mass is contained within
4', the tidal radius of Pal 5 at perigalacticon. Thus, these
simulated clusters have survived until today, because they are,
unlike Pal 5, smaller than their tidal radii.

There is, however, also a long tail of models with lower
mass fractions within 4' and 12', corresponding to larger
extents. Some are similar to Pal 5 in that they have \( \sim 95\% \)
of their mass within 12' and \( \sim 60\% \) within 4'. These models have
initial parameters \[ W_0 \approx 2-3, R_t \approx (4.5 + 2W_0) \text{ kpc}, \] and \[ M_0 \approx 20,000 M_\odot, \] while model clusters with \( R_t \) larger than that (at
fixed \( W_0 \)) get destroyed, and those with lower mass are even
more diffuse or have been disrupted as well. Initially more
concentrated models with \( W_0 \approx 3 \) and \( R_t \approx (4.5 + 2W_0) \) kpc
form the tail in Figure 12 toward smaller mass fractions; they
have massive tidal tails, but their clusters are not as extended
as Pal 5.

The model that best matches Pal 5 in Figure 12 is that
with initial parameters \( R_t = 10 \text{ kpc}, W_0 = 2.75 \), and \( M_0 = 20,000 M_\odot \); it is marked with an open square and will be
denoted “model A.” This model already featured in Figures 6,
8, 9, and 10 and will be used in the remainder of the paper
for illustrative purposes.

Figure 13 shows the time evolution of the cluster mass and
velocity dispersion \( \sigma \) of model A. All models that come close
to Pal 5 in their projected mass fractions in Figure 12 show
substantial mass loss, which results in a drastic increase in the
cluster’s dynamical time $t_{\text{dyn}}$, which is reflected by the steady decline of $\sigma$. The increase of $t_{\text{dyn}}$ slows down the cluster’s response to disk shocks: the period of the (damped) oscillations that follow each shock (in Fig. 13 visible as oscillations of $\sigma$ subsequent to each dotted vertical line, see also § 3.4.1) increases. Eventually, this period approximately equals twice the time between two shocks, namely those at $t = -146$ and 110 Myr, such that just in the middle between these two shocks, i.e., now, the cluster is in state of maximal expansion and minimal velocity dispersion. This property is common to all models with projected density profiles similar to that of Pal 5. Thus, all those models that reproduce the overly large extent of Pal 5 also naturally predict a very small velocity dispersion consistent with that actually observed for Pal 5.

In Figure 14, we plot for all 695 surviving cluster models the final projected mass within 16' (the limiting radius of Pal 5)
and the projected velocity dispersion of stars within 6'. The models with projected mass profiles most similar to Pal 5 (shown as larger symbols) form the lower envelope, i.e., have lowest line-of-sight velocity dispersion $\sigma_{\text{los}}$ at a given projected mass. A direct comparison of these values for $\sigma_{\text{los}}$ with that determined for Pal 5 in Paper I (see § 2.2) is problematic, as the latter were obtained under the assumption that the line-of-sight velocity distribution was Gaussian (high-velocity tails have been modeled as binary contamination).

In Figure 15, we show for the model that best fits the projected mass profile (the biggest symbol in Fig. 14) the projected distribution of stars within 6' of the cluster center over distance $r$ and line-of-sight velocity $v_{\text{los}}$. The dotted line in the lower panel represents a Gaussian with $\sigma_{\text{los}} = 0.38$ km s$^{-1}$ (dotted line).

In Figure 16, we compare the gross radial distribution of stars in the tail of Pal 5 with those of the 695 models with surviving clusters. On the $x$-axis, we plot, for the trailing (top) and leading (bottom) tidal tails, the ratio of tail to cluster mass. Here, we rather arbitrarily truncated the tail at 200' to ensure that no stars are missing from the simulations because of our limited integration time (cf. the discussion following Fig. 10). On the $y$-axis of Figure 16, we plot the fraction of tail stars within 100'. This fraction is related to the density run along the tail: for a constant linear density, equivalent to $\Sigma \propto r^{-\gamma}$ with $\gamma = 1$, we expect $\sim 0.46$, while steeper density profiles with $\gamma > 1$ yield larger values.

The model shown in Figure 15 not only gives the best fit to the shape of the Pal 5's mass profile, but its total mass within

\[ 16' \text{ of } 5177 \, M_\odot \text{ also agrees nicely with the best estimate for Pal 5 of } 5200 \pm 700 \, M_\odot (\S \text{ 2.2}). \]

5.2. The Tidal Tail

5.2.1. The Radial Profile

In Figure 16, we compare the gross radial distribution of stars in the tail of Pal 5 with those of the 695 models with surviving clusters. On the $x$-axis, we plot, for the trailing (top) and leading (bottom) tidal tails, the ratio of tail to cluster mass. Here, we rather arbitrarily truncated the tail at 200' to ensure that no stars are missing from the simulations because of our limited integration time (cf. the discussion following Fig. 10). On the $y$-axis of Figure 16, we plot the fraction of the tail mass within 100'. This fraction is related to the density run along the tail: for a constant linear density, equivalent to $\Sigma \propto r^{-\gamma}$ with $\gamma = 1$, we expect $\sim 0.46$, while steeper density profiles with $\gamma > 1$ yield larger values.
We first notice a weak correlation between this ratio and the relative mass in the tail in the sense that (relatively) more massive tails have higher fractions of stars inside 100', corresponding to larger $C_{13}$. This is, of course, exactly what we expect from our discussion in § 4.3.

Regarding Pal 5, we see that while its leading tail falls into the locus of models, the trailing tail does not. This can be entirely attributed to the clump at $C_{24}$ from the cluster (Paper II, see also Fig. 2). We also notice that those models that best fit the properties of the cluster itself (indicated by larger symbol sizes in Fig. 16) have tails that are more massive by a factor 1.5–2 and have a steeper radial density profile (but note that the statistical uncertainty on this latter property of Pal 5 is considerable).

We should note here that for Pal 5, we actually cannot measure mass ratios, but merely number count ratios, which are identical to the former only if the stellar luminosity functions (LFs) in the cluster and the various parts of the tail are the same. Actually, Koch et al. (2004) reported a significant lack of low-mass stars in the cluster compared to its tails, which may partly explain the apparent discrepancy in the tail-to-cluster mass ratio.

Another important point is that the clumpiness of the tidal tail of Pal 5, in particular the overdensity at 3° in the trailing tail, is not reproduced in any of our models. We will discuss in § 7.3 the possible implication of this mismatch.

5.2.2. The Offset from the Orbit

In Paper II, we measured the projected rectangular offset of tail stars from the projected (assumed) orbit. In order to compare our simulations to these measurements, we computed the same quantities for our models (after projection onto the sky as seen from the Sun) as follows. First, we determined the point on the projected orbit that minimizes the projected distance to the tail star. We then define $p$ to be the projected distance with $p > 0$ ($p < 0$) for tail stars at larger (smaller) Galactic latitude than the nearest point on the orbit. We define $s$ to be the arclength along the projected orbit with $s > 0$ and $s < 0$ for the leading and trailing tail, respectively.

In Figure 17, we plot, separately for the trailing (left) and leading (right) tails of all the models with a surviving cluster, the mean (bottom) and dispersion (top) of $p$ versus the mean projected drift rate $s/(t - t_i)$.

From this figure, we see that, while the drift rates for the leading and trailing tail are of comparable size, the mean rectangular distance $|p|$ is larger by almost a factor of 2 for the former. This is also evident from the projection of model A in the bottom panel of Figure 18. The reason is partly intrinsic, i.e., because of the offsets $\zeta$ of the tail stars from the cluster orbit within the orbital plane (Fig. 9, left bottom).
and partly due to projection effects (because the leading tail is closer to us and seen at a slightly smaller inclination angle).

In contrast to the modeled tails, the values inferred in Paper II for the mean rectangular offset of Pal 5’s tail stars are rather similar for the trailing and leading tails (11.8 ± 0.5 and −10.1 ± 0.8, respectively). However, this is essentially a consequence of the implicit assumption, made when adopting the orbit in Paper II, that the rectangular offsets are similar in size between the two tails. The fact that the simulations consistently predict larger |p| for the leading than the trailing tail implies that the orbit of Pal 5 should actually be slightly different from the one used in Paper II and here; compare, for instance, the bottom panel of Figure 18 with Figure 9 of Paper II. (Note, however, that the uncertainty in the distance to Pal 5 still dominates the uncertainty in the orbit).

However, we may still compare the tails’ perpendicular structure to the models by means of the dispersion in p. In Paper II, we fitted a Gaussian to the distributions in p for the trailing and leading tails of Pal 5. When assuming that the distribution is actually Gaussian, we obtain for the dispersions 7.8 ± 0.5 and 7.3 ± 0.8 for the trailing and leading tail, respectively. Comparing these values with those in Figure 17, it appears that the tail of Pal 5 is at the upper end of the distribution. In particular, these values are somewhat larger than those for the models that best fit the cluster’s cumulative mass distribution (large squares in Fig. 17).

The mean drift rate estimated for the trailing and leading tail in Paper II was ~180 Gyr⁻¹, which is substantially more than predicted by the models, even by those with as high a dispersion in p as observed for the tails of Pal 5. That estimate, however, was based on rather simplifying assumptions on the relation with p and, given the model results in Figure 17, a lower value for the mean drift rate seems more appropriate. In face of the uncertainties, we cannot make precise statements, except for saying that most likely the mean drift rate is in the range 100–140 Gyr⁻¹, implying that the average tail star at the end of the observed trailing and leading tail was lost from the cluster about 3 ± 0.5 and 2.4 ± 0.4 Gyr ago, respectively.

5.2.3. Clues for Further Observations of Pal 5

In Figure 18, we plot the projection of model A onto the sky and the distribution of stars in their radial-velocity and distance-modulus offset from the cluster as a function of offset in Galactic longitude. A comparison of the projection of model A with Figure 9 of Paper II shows, as already discussed above, that the orbit chosen in Paper II is presumably not quite correct. This is important for any application for which the orbit geometry is derived from the tidal tail.

The top two panels of Figure 18 plot the observable radial velocity and the distance modulus for the tail stars versus their galactic longitude. A measurement of either of these quantities for a sufficient number of tidal tail stars would constrain the acceleration in the Galactic halo at Pal 5’s present position and
hence directly give the mass of the Milky Way within $\approx 18$ kpc, a quantity not very well known.

From this Figure, we see that the distance-modulus offset of the tails is larger than that of the orbit, simply because of the generic shape of the tidal tails (see Fig. 6), which enhances the signal when trying to measure this effect: over the currently observed arclength of the tail (from about $-6$ to $4$ in $\Delta (\cos b)$, see Fig. 9 of Paper II) the distance modulus changes by about 0.25 mag. The distance modulus offset $\Delta m$ levels off at $l \approx 8^{\circ}$ because this is close to the apogalactic of the orbit. This implies that in order to constrain the orbit of Pal 5, and hence the mass of the Milky Way, distance modulus determinations should focus on $l \approx 8^{\circ}$.

A similar effect is seen for the radial velocity offset, which also shows a larger gradient than the orbit itself. The difference here is that the spread in $\Delta v_r$ at any fixed position is larger, but also that the gradient is maximal at apogalacticon, unlike $\Delta m$, whose gradient vanishes there.

Both the gradient in $\Delta m$ and that in $\Delta v_r$ are roughly proportional to the circular speed $v_c$ of the Galactic halo at the position of Pal 5. Thus, in order to determine $v_c$, to 10% accuracy, one would need to determine $(v_r)$ at $\sim 5^{\circ}$ on either side of the cluster to about 4 km s$^{-1}$ accuracy. Note that more accurate individual measurements are not sensible because of (1) the intrinsic width of the tail and (2) possible binarity of the tail stars. For a determination of $v_c$ to 10% accuracy from the distance moduli at, say, $\sim 5^{\circ}$ on either side of the cluster, an accuracy of 0.02 mag is sufficient. Both these accuracy requirements are not beyond current capabilities, and a determination of the mass of our host galaxy within 18.5 kpc to 10%--20% accuracy seems possible.

### 6. DISCUSSION I: CLUSTER EVOLUTION DRIVEN BY DISK SHOCKS

Our simulations were originally intended to understand the evolution and dynamical status of the globular cluster Palomar 5 but are also important for understanding disk-shocking-driven globular cluster evolution in general.

The orbit of Pal 5 is rather eccentric with an apogalactic radius of about 19 kpc, near the cluster’s present location, and carries the cluster to a perigalactic radius as low as 5.5 kpc, which implies strong tidal shocks at disk crossings near perigalacticon. For a low-concentration mass cluster like Pal 5, these shocks, rather than internal processes (driven by two-body relaxation), dominate the dynamical evolution (Gnedin et al. 1999).

A strong disk shock puts the cluster out of dynamical equilibrium by unbinding some stars and changing the (cluster-)internal energies of all the others. In addition to this energy mixing, there is also a general heating of the cluster. In low-concentration low-mass clusters, like Pal 5, all this happens much faster than the dynamical time, i.e., the shocks are impulsive. In response to this instantaneous heating, the cluster expands on its dynamical timescale, then contracts again, expands, and so on. These oscillations are damped, since the internal dynamical times of the stars differ so that they get out of phase, and after a few dynamical times, the cluster is in a new dynamical equilibrium.

For very low-concentration clusters, the internal dynamical times (1) are long and (2) do not differ much, so that the damping is less efficient. This implies that for these systems the settling into a new equilibrium may be forestalled by the next strong disk shock. Hence, these clusters will never be in an equilibrium state but are continuously rattled by the repeated tidal punches.

#### 6.1. Evolution of Extended Clusters

We find that these shocks can be very efficient in driving the cluster evolution and may destroy the cluster within a few orbital periods. The disruption is faster the lower the cluster’s concentration and the larger its size compared to its perigalactic tidal radius. Thus, at smaller Galactic radii, the lifetime of low-concentration clusters is lower because of both stronger shocks and shorter orbital periods.

We should emphasize here, that our models were not initially, and never became, limited by their tidal radii. This implies that globular clusters extending beyond their theoretical (perigalactic) tidal radius and orbiting on eccentric orbits on which they experience strong disk shocks will not be quickly “tidally stripped” down to their tidal limit. This result seems to be at odds with the general wisdom of globular cluster dynamics in general and with the results of Gnedin et al. (1999) in particular. These authors found using Fokker–Planck simulations that, in response to disk shocking, the clusters become more compact, which renders the shock-induced evolution self-limiting.

However, we nonetheless think that our results are correct and do not contradict previous knowledge. Our simulations differ in various ways from those of Gnedin et al. (1999), who consider disk shocks that are nonimpulsive and rare (internal dynamical time much shorter than time between shocks). We attribute the difference in our findings mainly to the eccentricity of the orbit of Pal 5 as compared to that of NGC 6254 (the cluster studied by Gnedin et al. 1999). After being shock-heated near perigalacticon, clusters on eccentric orbits approach their new equilibrium while in the remote parts of their orbit where the Galactic tidal field is much smaller (about 10 times; see Fig. 3) than near perigalacticon, even away from the disk. As a consequence, the new equilibrium, which does not know anything about the conditions near perigalacticon, is not limited by the perigalactic tidal radius. This mechanism should not be very sensitive to the typical dynamical time of the cluster, since almost by definition of the tidal radius, the dynamical time of the outer parts of the cluster is never much smaller than the orbital time.

We find that, in response to the disk shocks, the clusters shrink somewhat, except for very low-concentration clusters, which even expand, making them yet more vulnerable and accelerating their destruction. However, this shrinking is very modest, of the order of at most a few percent per orbit even for medium-concentration clusters, much too small to protect them from continued disk shocking. For clusters of higher concentration and/or on less (more) eccentric orbits, further studies are needed to investigate under which conditions tidal-force–driven cluster evolution is self-limiting.

One implication of this possibility of supertidally limited globular clusters is that inferring the mass of the Milky Way from the limiting radii of globular clusters assuming they are tidally limited (King 1962; Bellazzini 2004) is at best dangerous and may underestimate the mass of the Milky Way.

#### 6.2. Implications for the Globular Cluster System

Many studies of the evolution of globular clusters and globular cluster systems (e.g., Vesperini & Heggie 1997; Baumgardt & Makino 2003; Fall & Zhang 2001) assumed that the sizes of globular clusters are initially limited by their...
(perigalactic) tidal radius. This assumption is based on the idea that the galactic tidal force field quickly results in such a tidal limitation, even if the clusters were originally larger. In view of our results, this assumption appears not generally justified, at least not for clusters on eccentric orbits. This implies that the above studies have probably underestimated the importance of disk shocks for the evolution of globular cluster systems.

Our simulations predict unambiguously that Pal 5 will not survive its next disk crossing in \( \sim 110 \) Myr. If we make the assumption that there is a plausible initial condition that could have formed 10 Gyr ago and is being disrupted on this orbit now, then that we observe Pal 5 within the last 1% of its lifetime suggests that we see only the last tip of a melting iceberg. The inner Milky Way may well have been populated with numerous low-concentration globular clusters, which by now have all been destroyed, except for those like Pal 5, whose orbits have longer periods and carry them into the more remote parts of the Galaxy. Currently, we have little possibility of quantitatively assessing the initial amount of low-concentration, low-mass globular clusters of the Milky Way galaxy. If tidal tails can survive for a Hubble time (but see § 7.3), we may be able to identify the debris of destroyed clusters as narrow streams of stars, which in turn may be identifiable in deep photometric, astrometric, and/or spectroscopic surveys such as SDSS, the Radial Velocity Experiment (RAVE), and GAIA.

6.3. Tidal Tails

Each disk shock triggers the loss of a number of stars: those that have been accelerated beyond their escape velocity. These stars emerge with orbits whose energy is either slightly above or below that of the cluster. This offset in orbital energy is small compared to the orbital energy of the cluster itself (of the order of 1%), so that the stars are not dispersed all over the Galaxy but stay in a trailing or leading tidal tail, depending on the sign of the energy offset. This offset in orbital energy translates into an offset in orbital period and hence in a drift away from the cluster with a rate of the order of 1%, i.e., a full wrap-around requires \( \sim 100 \) periods.

The orbital-energy offsets follow a broad distribution, resulting in a distribution of drift rates. This implies that stars that have been lost from the cluster at the same time will not stay together but disperse along the tail. At any distance from the cluster, the tail contains stars lost at various shock events.

A constant drift rate in conjunction with a constant mass-loss rate results in a constant linear density of stars in the tidal tail or, equivalently, in a surface density \( \Sigma \propto r^{-\gamma} \), with \( \gamma = 1 \). This seems to be the generic property of tidal arms that results from continued tidal shocking (Johnston et al. 1999). However, when, as a result of continued mass loss, the cluster mass, and hence the escape velocity, drops considerably, stars with ever lower orbital energy offsets, and hence lower drift rate, can escape. In this case, the surface density exponent may be \( \gamma > 1 \), in agreement with our empirical findings.

7. DISCUSSION II: THE STATE AND FATE OF PAL 5

7.1. Is Pal 5 in Equilibrium?

Our simulations were primarily aimed at understanding Pal 5, predominantly because it has massive tidal tails. Apart from these tails, the most unusual property of Pal 5, which, however, has not been hitherto noticed, is its enormous size. The cluster is 2 times larger than its present theoretical tidal radius and even 4 times larger than its perigalactic tidal radius. This property can, in fact, be reproduced by (some of) our simulations and can be understood in terms of the expansion that immediately follows each strong disk shock. All our model clusters that can reproduce the present size (and mass) of Pal 5 are in a state of near-maximal expansion after the last disk shock, which occurred \( \sim 146 \) Myr ago.

Maximal expansion implies minimal kinetic energy, corresponding to a small velocity dispersion. Indeed, models that can reproduce cluster sizes and masses comparable to Pal 5 also have the smallest (line-of-sight) velocity dispersions of all models, namely \( \sigma_{\text{los}} \sim 0.45 \) km s\(^{-1}\). We cannot produce models with \( \sigma_{\text{los}} \) much smaller than that, apparently because the tidal field limits the lowest velocity dispersions possible for a surviving cluster on any given orbit.

The line-of-sight velocity distribution of the models is actually not Gaussian but contains some high-velocity tails resulting from stars just leaving the cluster. Correcting for these, we find a Gaussian \( \sigma_{\text{los}} \approx 0.38 \) km s\(^{-1}\) for model A that best fits size and mass. This value is consistent with our observation for Pal 5 of \( \sigma_{\text{los}} = 0.22^{+0.19}_{-0.10} \) km s\(^{-1}\) (Paper I).

All models that are comparable to Pal 5 in their cumulative mass distribution also predict that the cluster will not survive the next disk crossing in \( \sim 110 \) Myr. The models that best fit the cluster radial profile have a constant orbit-averaged mass-loss rate of \( \sim 5000 \, M_\odot \) Gyr\(^{-1}\). Extrapolating this back in time implies an original mass of \( \sim 70,000 \, M_\odot \), although this may be an overestimate, since the strength of the Galactic tidal field was probably weaker in the past.

7.2. The Tidal Tail

Our models also have massive tidal tails. However, models that best fit the properties of the cluster tend to have a fraction of stars in the tail within 200’ that is about 50%–100% higher than observed for Pal 5. Note, however, that in this comparison, we essentially assumed implicitly that the cluster’s luminosity function equals that of the tail, which actually appears to be not quite correct (Koch et al. 2004).

In our best fitting cluster models, \( \sim 55\% \) of the tail stars within 200’ are also within 100’. This corresponds to a surface density exponent of \( \sim 1.35 \) and is consistent with our observations of Pal 5 (Paper II).

All our models have a rather smooth density distribution along the tail with structure less than \( \sim 20\% \) in amplitude, because, as mentioned in § 6.3, even though the stars are lost at discrete events, they quickly disperse along the tail, washing out the discreteness of their liberation from the cluster.

This property of the model tails is in clear contrast to the observations of Pal 5, in particular its northern tail, which possesses a substantial clump at about 3’ and seems to fade away at \( \sim 6’ \), corresponding to a drift time of only \( \sim 3 \) Gyr (§ 5.2.2).

7.3. What Causes the Structure of the Tidal Tail?

Why does the tidal tail of Pal 5 show this significant structure? Obviously, it must be caused by something not accounted for in our models. Evidently our models lack any Galactic substructure, such as giant molecular clouds and spiral arms. Clearly, since the Galactic disk itself is rotating, different parts of the tidal tail encounter different substructure when crossing the disk. If the tail passes, say, a giant molecular cloud at a distance smaller than its length, different parts feel substantially different perturbations, and the tail will develop some substructure.

Another potential source of Galactic substructure that may affect the tidal tail are clumps in the dark matter halo, which
are actually predicted by standard ΛCDM cosmology (e.g., Moore et al. 1999; Klypin et al. 1999), or any supermassive compact halo objects, such as proposed by Lacey & Ostriker (1985). In fact, the very integrity of the tail of Pal 5 may impose useful upper limits on the amount, sizes, and masses of such possible dark substructure in the Milky Way halo.

8. SUMMARY AND CONCLUSIONS

In order to study the disk-shock–driven evolution of low-concentration globular clusters in general and of Pal 5 in particular, we performed 1,056 N-body simulations. All these have the same Galactic potential and orbit (that derived for Pal 5) but differ in the internal properties of the cluster: its initial concentration (measured by $W_0$ for our King models), mass, and size (corresponding to our $R_t$ parameter).

Our simulations demonstrate that disk shocks near perigalacticon are very efficient at driving the evolution of low-concentration globular clusters. In particular, the disk-shocking–induced mass loss is not self-limiting but continues at a constant (orbit-averaged) mass-loss rate without limiting the cluster to its (perigalactic) tidal radius. This behavior, which is at odds with previous results by Gnedin et al. (1999), is probably a consequence of the eccentric orbit, which enables the cluster to settle into a new equilibrium near apogalacticon, i.e., unaffected by the perigalactic tidal field.

Our findings imply that initially clusters of low to medium concentration that are not tidally limited and moving on eccentric orbits may never become tidally limited and, hence, can be quickly destroyed even when their relaxation time is long. Clearly, more theoretical and numerical work is needed to clarify and quantify the issues of cluster destruction by tides, in particular the effect of the Galactic orbit for the relative importance of disk shocks in the evolution of globular clusters.

Pal 5 is an excellent example of a supertidal globular cluster that extends to 4 times its perigalactic tidal radius. Some of our simulations actually reproduce this property together with the observed very low velocity dispersion. Both are the immediate consequences of the last disk shock, which heated the cluster so that it expanded to its present size. Our simulations also uniquely predict the destruction of Pal 5 at its next disk shock in $\sim 110$ Myr. After that the cluster will lose its identity, and eventually only an increasingly separated pair of tidal tails will remain.

The tidal tails observed within a few degrees from Pal 5 (Paper II) show substantial structure that cannot be reproduced by our simulations. We argued that this structure must be due to Galactic substructure (not accounted for in our simulations), such as giant molecular clouds, spiral arms, or even dark matter subhalos or massive compact halo objects. More work is needed to quantitatively address the origin of this structure.

The tidal tails may also be used to infer the mass of the Milky Way within 18.5 kpc to an accuracy of $\sim 10\%$ by measuring the radial velocity or distance modulus of the tail stars over as much of the tidal tails as possible. To this end, an extensive observational programme is needed to find how far the tidal tail extends beyond the SDSS data.

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