Development of an index system for evaluating the organisational capabilities of primary medical institutions: a modified Delphi study in China

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ABSTRACT

Objective The low performance of primary medical institutions (PMIs) in China is a significant issue. The WHO proposed that the main reason for the failure of the healthcare system in developing countries is poor organisational capabilities. However, there is no international tool for evaluating the organisational capabilities of PMIs. Therefore, this study aimed to develop an index system for evaluating the organisational capabilities of PMIs.

Design We searched the literature (English and Chinese) published before June 2020 in the PubMed, China National Knowledge Infrastructure and Wanfang databases to conduct a literature review and develop a preliminary indicator pool. Then, two rounds of Delphi expert consultations were conducted by email from June to September 2020, followed by screening, revision and supplementation of the indicators using the boundary value method. Finally, the analytic hierarchy process was used to determine the weight of the indicators.

Setting The Delphi consultation questionnaire was distributed to the leaders of PMIs in districts D, F and S in Beijing, China.

Participants Nineteen leaders of PMIs who had a profound understanding of PMI operations and management and were able to participate in Delphi research from a professional and comprehensive perspective were included in this study.

Results The Cr values were 0.76 (first-level indicators) and 0.78 (second-level indicators), indicating that the expert consultation results were accurate and reliable. The result of the expert coordination coefficient test was significant at the p<0.01 level, suggesting that the experts’ views were consistent. The organisational capability index system includes 3 first-level indicators, 9 second-level indicators and 37 third-level indicators.

Conclusions An index system for the organisational capabilities of PMIs was developed. This index system is a scoring system that focuses on basic service capabilities, management capabilities and sustainable development capabilities, and it can determine the priority of improvement areas for PMIs.

INTRODUCTION

The 2018 Global Conference on Primary Healthcare issued the Astana Declaration, which encourages countries to establish sustainable primary healthcare services, empowers individuals and communities and aligns stakeholder support with national policies, strategies and plans. In 2009, China implemented a new healthcare reform to improve the operating mechanism and service model of primary medical institutions (PMIs), establish a hierarchical diagnosis and treatment system and provide convenient and low-cost primary medical services to residents. Although this reform has now been in place for 12 years, the service capabilities of PMIs are still weak, and even patients with mild diseases prefer large hospitals for medical treatment. In 2016, the Chinese government proposed the Healthy China 2030 plan, which sets health goals for all residents and requires PMIs to assume the role of gatekeepers of the health system and to provide economic, convenient, safe and effective primary healthcare for residents. If PMIs remain weak, the goal of Healthy China 2030 will not be achieved. Therefore, it is extremely important to explore the reasons for the functional failure of PMIs.
The WHO proposed that the main cause of health system failure in developing countries is the poor organisational capabilities. The organisational capability concept derives from the resource-based theory of corporate strategic management. At present, dynamic capability theory is widely used to evaluate organisational capabilities. Dynamic capability theory focuses on how to maintain sustainable competitive advantages in a dynamic environment to achieve long-term performance goals. Therefore, improving the organisational capabilities of PMIs will enable them to achieve sustainable competitiveness in the medical services market to better function in the healthcare system.

Research on organisational capabilities has been extended to various fields, such as enterprises, social organisations, governments and schools. In addition, the organisational capability concept has been widely applied in healthcare. Uneke evaluated the organisational capabilities of the Nigerian healthcare system and found that this system lacked qualified personnel, healthcare investment was low and unsustainable, and the leadership and governance capacity needed to be strengthened. Anderson explored the organisational capabilities of the Nigerian healthcare system and found that this system lacked qualified personnel, healthcare investment was low and unsustainable, and the leadership and governance capacity needed to be strengthened. However, the indicators of the organisational capabilities of PMIs cannot be directly applied to evaluate organisational capabilities or indicators with repeated formulas or descriptions. After duplicates and conference reports were removed, 194 papers remained, and based on team members’ intensive reading, 32 papers were removed, 194 papers remained, and based on team members’ intensive reading, 32 papers were included in the preliminary indicator pool (Table 1).

Figure 1 Study overview. AHP, analytic hierarchy process.

METHODS
Developing the preliminary indicator pool

The modified Delphi method and the analytic hierarchy process (AHP) were used to develop an evaluation index system for the organisational capabilities of PMIs. The Delphi method is a structured group communication process. Through questionnaires and controlled feedback, opinions were collected from a group of experts, and a systematic evaluation of a subject was conducted with limited evidence and a lack of consensus through multiple survey rounds. This method is validated, systematic, effective, reliable and comprehensive, and it has been widely used to construct index systems.

The research process of this study is shown in figure 1. First, we searched the literature (English and Chinese) published before June 2020 in the PubMed, China National Knowledge Infrastructure and Wanfang databases to gather evaluation indicators and descriptions (online supplemental appendix 1). The inclusion criteria consisted of all indicators of the organisational capabilities of PMIs, including service provision indicators, internal management indicators and sustainable development indicators. The exclusion criteria were indicators that could not be applied to evaluate organisational capabilities or indicators with repeated formulations or descriptions. After duplicates and conference reports were removed, 194 papers remained, and based on team members’ intensive reading, 32 papers were included in the preliminary indicator pool (Table 1).

The modified Delphi method is a structured group communication process. Through questionnaires and controlled feedback, opinions were collected from a group of experts, and a systematic evaluation of a subject was conducted with limited evidence and a lack of consensus through multiple survey rounds. This method is validated, systematic, effective, reliable and comprehensive, and it has been widely used to construct index systems.
considered. Finally, 3 first-level, 11 second-level and 50 third-level indicators were included in the preliminary indicator pool.

The definition of organisational capabilities

Based on the literature review, this study defines organisational capabilities as the ability to dynamically adapt to and optimise the external environment by using the organisational structure and process and combining the knowledge of organisational members. Thus, organisational capabilities represent the strategic ability to reconstruct and integrate the internal and external resource structure of an organisation to enable the organisation to cope with changes in the external environment. Organisational capabilities form a hierarchical structure, and different researchers have provided different classification methods. Collis was the first to formally propose the viewpoint of a hierarchy of organisational capabilities. By systematically summarising the existing definitions of organisational capabilities, he divided organisational capabilities into three categories: the first category refers to the ability to carry out basic functional activities, the second category captures the ability to dynamically improve various business activities and the third category represents the ability to recognise and develop one’s own potential, formulate development strategies before competitors and implement those strategies more effectively. Additionally, Winter proposed a hierarchical model of dynamic capabilities. He claimed that the first category of dynamic capabilities is zero-level capabilities, which can guarantee only the survival of the enterprise in the market. The ability to adapt to changes (first-level capabilities) and the ability to create new capabilities (second-level capabilities) are higher-level capabilities compared with zero-level capabilities. Based on these ideas, this study divides the organisational capabilities of PMIs into three categories: basic, core and development capabilities.

Basic capabilities refer to the ability of PMIs to allocate basic medical resources and to provide basic medical and public health services. All PMIs must demonstrate these capabilities. Basic capabilities include four secondary capabilities: resource management capabilities, medical service capabilities, public health service capabilities and infectious disease prevention and control capabilities. Core capabilities involve a series of management capabilities, reflect the management capabilities of PMIs and provide a guarantee for the service provision and operation of PMIs. Core capabilities include three secondary capabilities: normative capabilities, decision-making capabilities and leadership capabilities. Development capabilities are advanced abilities of PMIs and include four secondary capabilities: learning capabilities, strategic management capabilities, marketing capabilities and risk management capabilities. Institutions with development capabilities can continuously optimise their service mode, enhance their service ability and resolve potential risks to assume a dominant position in future development.

Using the Delphi method to develop an index system

A Delphi consultation questionnaire was designed to collect expert opinions based on the preliminary indicator pool. The questionnaire consisted of three parts: one part for gathering basic information about the experts, another part for assessing their familiarity with PMI evaluation and still another part for estimating the constructed index system. The estimation focused on the importance, feasibility and sensitivity of every indicator of the organisational capabilities of PMIs (1–10 scale in the first round and 1–5 scale in the second round). In addition, a comment column was used to collect the experts’ opinions on deletions or additions for each indicator.

There are 16 districts in Beijing, and they are divided into three types based on the total amount of medical resources: rich, medium and scarce medical resources. One district was selected from the area of each type, and districts D, F and S were selected. In this way, the representativeness of the samples and the applicability of the results could be improved. The Delphi consultation questionnaire was distributed to the leaders of the PMIs in these districts, who were selected by purposeful sampling based on the inclusion criteria. The inclusion criteria were as follows: (1) being engaged in work related to primary healthcare; (2) having a profound understanding of PMI operations and management and (3) being able to participate in Delphi research from a professional and comprehensive perspective.

Two rounds of Delphi expert consultations were conducted by email from June to September 2020 (online supplemental appendix 2). In the first-round Delphi consultation, SPSS V.20.0 was used to calculate the positive coefficients, coordination coefficients and expert authority coefficients to prove the effectiveness of the Delphi questionnaire. Then, based on the experts’ scores of the importance, feasibility and sensitivity of the indicators, the boundary value method was adopted to delete indicators failing to meet the standards. Subsequently, based on expert feedback and the boundary value method, substandard indicators were modified or deleted. Then, AHP software was used to form the second-round Delphi questionnaire. The revised questionnaires were sent to the experts from the first round. The weights of the indicators were calculated based on the results of the AHP questionnaire, forming the final evaluation index system for the organisational capabilities of PMIs.

Key coefficients and calculation methods

Experts’ positive coefficients

The experts’ positive coefficients reflect positive input from the experts, as measured by the effective response rate to the expert consultation questionnaire, and they establish the credibility and scientific basis of the results. American sociologist Babbie believed that an effective response rate of 70% was very good.
The judgement coefficient (Ca) is calculated, considering the basis used by the experts when making a judgement, and the familiarity coefficient, denoted by Cs, which represents the degree of the expert’s familiarity with the problem. The judgement coefficient (Ca) is calculated, considering the basis used by the experts when making a judgement, and the familiarity coefficient, denoted by Cs, which represents the degree of the expert’s familiarity with the problem.26

The degree of influence was represented by Cr, which was generally determined by two factors: the judgement coefficient, denoted by Ca, which represents the evidence on the basis of which the expert makes a judgement, and the familiarity coefficient, denoted by Cs, which represents the degree of the expert’s familiarity with the problem.25

A Likert scale was used to divide values of the degree of familiarity (Cs) into five levels: very familiar (1), more familiar (0.75), average (0.5), less familiar (0.25) and unfamiliar (0). The familiarity coefficient of each expert (the average familiarity of each indicator) was calculated. Then, the average familiarity coefficient was computed. The degree of authority was represented by Cr, which was calculated as $Cr = \frac{Ca + Cs}{2}$. In general, the higher the Cr is, the higher the prediction accuracy. A Cr value greater than 0.7 is considered to indicate acceptable reliability.

### Expert authority coefficients (CR)

No expert can be an absolute authority on every question. The degree of authority has a considerable influence on the reliability of the evaluation and must be considered before data analysis. The expert authority coefficient is generally determined by two factors: the judgement coefficient, denoted by Ca, which represents the evidence on the basis of which the expert makes a judgement, and the familiarity coefficient, denoted by Cs, which represents the degree of the expert’s familiarity with the problem. The judgement coefficient (Ca) is calculated, considering the basis used by the experts when making a judgement, and the familiarity coefficient, denoted by Cs, which represents the degree of the expert’s familiarity with the problem.25

| Judgement basis                                      | Degree of influence |
|-----------------------------------------------------|---------------------|
| Practical experience (0.4)                          | Low (0) 0.2 0.4     |
| Theoretical analysis (0.3)                          | 0 0.15 0.3          |
| Knowledge from domestic and foreign counterparts (0.2) | 0 0.1 0.2          |
| Intuition (0.1)                                     | 0 0.05 0.1          |
| Total                                               | 0 0.5 1             |

### Coordination coefficients

The consistency of the evaluation of all experts also guarantees the scientific basis of the index system. Therefore, Kendall’s W concordance coefficient test was used to assess the coordination of the experts’ estimates of the importance, feasibility and sensitivity of each indicator.

### Data analysis

#### Using the boundary value method to screen the indicators

To screen the indicators, we used the boundary values of three important statistics, namely, the full score frequency, arithmetic mean and coefficient of variation, to indicate the importance, feasibility and sensitivity of all indicators. In the calculations of the full score frequency and arithmetic mean, the boundary value was set to the ‘mean−SD’, and indicators whose score was higher than the boundary value were retained. For the value of the coefficient of variation, the boundary value was set to the ‘mean+SD’, and the indicators whose score was lower than the boundary value were retained (table 2). The principles of indicator screening are as follows:

1. To evaluate the importance of the indicators, if none of the boundary values of the three statistics meets the requirements, the indicators are deleted.
2. If an indicator has two aspects for importance, feasibility and sensitivity and each aspect has two or more boundary values that do not meet the requirements, the indicator is deleted.
3. If all three boundary values for an indicator meet the requirements, the research group discusses the modification feedback from the experts and determines whether the indicator should be used.27

#### Using the AHP to assign weights

To ensure the scientific foundation of the index system, this study calculates the weights of the first-level and second-level indicators using the AHP and those of the third-level indicators using the percentage weight method. The AHP constructs a pairwise comparison judgement matrix to identify multiple preferences and to determine estimations for each indicator based on expert

### Results of the two rounds of the boundary value method

| Round          | Dimension          | Importance | Feasibility | Sensitivity |
|----------------|--------------------|------------|-------------|-------------|
|                |                    | M S BD     | M S BD      | M S BD      |
| First round    | Full score frequency | 0.52 0.15 0.37 | 0.21 0.12 0.09 | 0.56 0.13 0.43 |
|                | Arithmetic mean    | 9.12 0.50 8.62 | 7.89 0.75 7.14 | 9.36 0.25 9.11 |
|                | Coefficient of variation | 0.12 0.06 0.18 | 0.22 0.07 0.29 | 0.09 0.02 0.11 |
| Second round   | Full score frequency | 0.70 0.18 0.52 | 0.51 0.25 0.26 | 0.35 0.17 0.18 |
|                | Arithmetic mean    | 4.65 0.21 4.44 | 4.09 0.61 3.48 | 4.45 0.28 4.17 |
|                | Coefficient of variation | 0.13 0.06 0.19 | 0.24 0.13 0.37 | 0.37 0.09 0.46 |

BD, boundary value; M, arithmetic mean; S, SD.
evaluations. Yaahp V.12.2 was used to generate the AHP questionnaire, and the structured framework of the AHP method was used to set the priorities for each level of the hierarchy using pairwise comparisons that were quantified using a 1–9 scale to calculate the weights.28–30

Patient and public involvement
No patient was involved in this study.

RESULTS

The characteristics of the Delphi participants
In the first-round Delphi consultation, 19 experts participated (the recovery rate was 95%). In the second-round Delphi questionnaire, the revised questionnaires were sent to the 19 experts from the first round. Fifteen questionnaires were returned (the recovery rate was 78.95%). Therefore, the experts’ feedback was clearly very positive. Among the 19 experts, more experts were from district S. The majority of the experts were men and 41–50 years old, and they mainly specialised in organisational management. More than half of the experts had bachelor’s degrees or below, most of them had associate senior titles and 63.16% of the experts had 11–20 years of seniority (table 3).

The key coefficients of the Delphi method
The Cr value of the first-level indicators was 0.76, and that of the second-level indicators was 0.78, indicating that the expert consultation results were accurate and reliable (table 4).

The three dimensions of each indicator in the two rounds were all effective (p<0.01), suggesting that the experts’ scores were consistent (table 5).

Indicator screening
The bounds of the importance, feasibility and sensitivity of each index were calculated with the boundary value method, and each indicator was deleted based on the indicator deletion rules above. In the first round, one second-level and five third-level indicators were deleted. In the second round, five three-level indicators were deleted (table 6). Since all third-level indicators under marketing capabilities were deleted, the second-level indicator marketing capabilities were also deleted. In addition, based on the experts’ suggestions, rehabilitation service capability was added to medical service capabilities as a third-level indicator.

The final index system with the indicator weights
After two rounds of Delphi consultations, 3 first-level, 9 second-level and 37 third-level indicators were included. Based on the results of the second-round Delphi consultation, the AHP and percentage weight method were used to calculate the weights of the indicators, forming the final index system for evaluating the organisational capabilities of PMIs (table 7). Among the first-level indicators, basic capabilities had the highest weight. Among the second-level indicators, medical service capabilities had the highest weight, and strategic management capabilities had the lowest weight. The three-level index is the basic measurement index for scoring. Users can evaluate the third-level index by assigning 1–5 points or by using other scoring standards, and they can calculate the total score based on the index weight. This index system can be used for self-evaluation and third-party evaluation.

DISCUSSION
In this study, two rounds of the modified Delphi method were used to develop an evaluation index system for organisational capabilities suitable for PMIs. This index system includes 3 first-level, 9 second-level and 37 third-level indicators, and it is a scoring system that provides a scientific reference for strengthening the organisational capabilities of PMIs. The government and the leaders of PMIs can use this index system to score and evaluate PMIs and to perform targeted optimisation for items whose scores are significantly lower than average.
Analysis of the organisational capability index system

Basic capabilities require PMIs to allocate basic medical resources to provide basic healthcare services for residents. At present, the main reason for the weak capabilities of China’s PMIs is a lack of investment. From 2010 to 2019, the hospital bed growth rate in China was 102.71%, while the PMI growth rate was only 36.81%. In 2019, the number of PMIs accounted for 94.72% of all medical institutions, but the proportion of government financial investment was only 31.93%. Therefore, the government should strengthen its financing of PMIs, focusing on medical equipment and the medical ability training of staff, to improve the basic capabilities of PMIs.

Core capabilities include a series of management abilities that guarantee the service provision and operation of PMIs. China has always attached great importance to the management ability of medical institutions, and in 2017, the Chinese government issued the ‘Guiding Opinions on Establishing a Modern Hospital Management System’. This policy proposed that it is necessary to improve the hospital management system and to establish a sound hospital governance system. How to establish a scientific management system to improve the performance of medical institutions, gain a competitive advantage and meet the needs of healthcare services has also become a global issue. However, the management ability of PMIs in China has been neglected for a long time. The government should carry out regular training for leaders of PMIs and introduce modern hospital management systems into institutional management. The leaders of PMIs should focus on medical quality and patient safety, adopting incentive measures to improve the enthusiasm of staff.

Development capabilities reflect the view of dynamic capability theory, and organisations with these capabilities can gain long-term competitive advantages. Although not all PMIs must have these capabilities, those that do will undoubtedly be able to better adapt to the changing medical services market and policy environment. In 2017, the Chinese government issued a policy allowing medical institutions to go beyond the current wage control level of public institutions and allowing medical service income to be used mainly for personnel rewards. Therefore, PMIs with better operating conditions are bound to develop more effectively and even provide better income to staff to stimulate their enthusiasm for work, thereby forming a virtuous cycle.

Among the nine second-level indicators, the top three indicators by weight are medical service capabilities (0.165), normative capabilities (0.152) and learning capabilities (0.127). Since the weight represents the level of importance, these three indicators are the three most important capabilities of PMIs. The main function of PMIs, which are the foundation of the healthcare service

| Table 4 | Expert authority coefficients |
|---------|-------------------------------|
| Dimension | Indicators | Ca | Cs | Cr |
| First-level indicators | Basic capabilities | 0.88 | 0.71 | 0.79 |
| | Core capabilities | 0.82 | 0.75 | 0.79 |
| | Development capabilities | 0.81 | 0.75 | 0.78 |
| | Mean | 0.75 | 0.77 | 0.76 |
| Second-level indicators | Resource management capabilities | 0.77 | 0.85 | 0.81 |
| | Medical service capabilities | 0.85 | 0.94 | 0.86 |
| | Public health service capabilities | 0.84 | 0.88 | 0.86 |
| | Infectious disease prevention and control capabilities | 0.77 | 0.83 | 0.8 |
| | Normative capabilities | 0.81 | 0.88 | 0.85 |
| | Decision-making capabilities | 0.74 | 0.94 | 0.84 |
| | Leadership capabilities | 0.72 | 0.85 | 0.78 |
| | Learning capabilities | 0.63 | 0.6 | 0.62 |
| | Strategic management capabilities | 0.75 | 0.83 | 0.79 |
| | Mean | 0.75 | 0.82 | 0.78 |

| Table 5 | Kendall’s W concordance coefficient test results |
|---------|---------------------------------------------|
| First round | Second round |
| Importance | Feasibility | Sensitivity | Importance | Feasibility | Sensitivity |
| $K_w$ | 0.223 | 0.286 | 0.178 | 0.192 | 0.350 | 0.126 |
| $\chi^2$ | 207.991 | 266.316 | 165.281 | 118.334 | 215.227 | 77.460 |
| P value | <0.001 | <0.001 | <0.001 | <0.001 | <0.001 | <0.001 |
Table 6  Indicators deleted in the two rounds of consultations

| Round       | Indicators deleted                              | Description                                                                 | Importance F | M     | CV  | Feasibility F | M    | CV   | Sensitivity F | M     | CV   |
|-------------|-------------------------------------------------|-----------------------------------------------------------------------------|--------------|-------|-----|----------------|------|------|----------------|-------|------|
| First round | Risk management capabilities (second-level)      | Ability to perceive the occurrence of a crisis and resolve operational risks | 0.56         | 9.36  | 0.09| 0.24           | 8.24 | 0.18| 0.19           | 8.27  | 0.17|
|             | Inpatient service capabilities                   | Ability to provide basic hospitalisation, nursing and rehabilitation services | 0.32         | 7.47  | 0.31| 0.05           | 5.74 | 0.45| 0.11           | 6.68  | 0.31|
|             | Internet medical service capabilities            | Ability to provide internet medical services and be equipped with internet facilities, equipment and personnel | 0.32         | 7.79  | 0.24| 0.05           | 6.63 | 0.28| 0.11           | 7.37  | 0.24|
|             | Family planning service capabilities             | Ability to provide education, counselling and guidance on contraception      | 0.32         | 7.74  | 0.32| 0.16           | 7.95 | 0.23| 0.11           | 7.42  | 0.23|
|             | Environmental identification capabilities         | Ability to understand changes in the needs of the medical services market and in the external policy environment | 0.42         | 8.84  | 0.15| 0.16           | 7.26 | 0.28| 0.11           | 7.68  | 0.24|
|             | Information acquisition capabilities              | Ability to keep access to information unobstructed and to obtain various types of information on the market in a timely manner | 0.37         | 8.74  | 0.15| 0.05           | 6.95 | 0.26| 0.05           | 7.21  | 0.24|
| Second round| Planning capabilities                             | Ability to develop marketing strategies aligned with current policies and the market environment | 0.60         | 4.50  | 0.18| 0.33           | 3.40 | 0.41| 0.20           | 4.40  | 0.30|
|             | Business promotion capabilities                  | Ability to build a good brand image and be recognised by the public         | 0.73         | 4.61  | 0.19| 0.20           | 2.75 | 0.56| 0.00           | 4.00  | 0.40|
|             | Infectious disease prevention and control training capabilities | Ability to carry out training on the prevention and control of infectious diseases | 0.60         | 4.63  | 0.10| 0.87           | 4.77 | 0.14| 0.27           | 4.20  | 0.37|
|             | Learning organisation-building capabilities       | Ability to create a learning organisation and establish a good learning atmosphere in the organisation | 0.40         | 4.33  | 0.14| 0.20           | 3.27 | 0.34| 0.13           | 4.07  | 0.44|
|             | Innovation capabilities                          | Ability to actively develop new medical technologies and innovate management methods | 0.73         | 4.57  | 0.20| 0.00           | 3.20 | 0.21| 0.00           | 3.87  | 0.48|

CV, coefficient of variation; F, full score frequency; M, arithmetic mean.
system, is to provide basic medical services for common diseases and frequently occurring diseases. With the growth of the ageing population of China and the intensification of competition in the medical services market, it is crucial to strengthen the medical service capabilities of PMIs. In addition, chronic diseases should be a focus, which will be conducive to meeting the goals of the Healthy China 2030 reform.36

| First-level indicators | Second-level indicators | Third-level indicators | Weights |
|------------------------|-------------------------|------------------------|---------|
| 1. Basic capabilities (0.450) | 1.1 Resource management capabilities (0.101) | Basic resource management capability | 0.015 |
|                        |                          | Human resource management capability | 0.015 |
|                        |                          | Financial management capability | 0.014 |
|                        |                          | Medical equipment and material management capability | 0.015 |
|                        |                          | Medical information management capability | 0.013 |
|                        |                          | Resource acquisition capability | 0.015 |
|                        |                          | Resource integration capability | 0.014 |
| 1.2 Medical service capabilities (0.165) | | Outpatient service capability | 0.045 |
|                        |                          | Rehabilitation service capability | 0.031 |
|                        |                          | Emergency service capability | 0.044 |
|                        |                          | Inspection service capability | 0.045 |
| 1.3 Public health service capabilities (0.103) | | Family doctor service capability | 0.020 |
|                        |                          | Health education capability | 0.020 |
|                        |                          | Vaccination capability | 0.021 |
|                        |                          | Key population health management capability | 0.020 |
|                        |                          | Key disease management capability | 0.021 |
| 1.4 Epidemic prevention and control capabilities (0.081) | | Epidemic emergency plan formulation capability | 0.013 |
|                        |                          | Epidemic resource conservation capability | 0.013 |
|                        |                          | Epidemic monitoring and warning capability | 0.014 |
|                        |                          | Epidemic assistance assessment capability | 0.013 |
|                        |                          | Epidemic emergency response capability | 0.014 |
|                        |                          | Epidemic summary and feedback capability | 0.013 |
| 2. Core capabilities (0.347) | 2.1 Normative capabilities (0.152) | Management system formulation capability | 0.078 |
|                        |                          | Process monitoring capability | 0.074 |
|                        | 2.2 Decision capabilities (0.086) | Decision-making capability | 0.031 |
|                        |                          | Decision flexibility capability | 0.027 |
|                        |                          | Decision execution capability | 0.028 |
|                        | 2.3 Leadership capabilities (0.109) | Public relations coordination capability | 0.022 |
|                        |                          | Motivation capability | 0.022 |
|                        |                          | Performance-oriented capability | 0.023 |
|                        |                          | Authorisation capability | 0.020 |
|                        |                          | Influence capability | 0.022 |
| 3. Development capabilities (0.204) | 3.1 Learning capabilities (0.127) | Basic learning capability | 0.066 |
|                        |                          | Scientific research capability | 0.061 |
|                        | 3.2 Strategic management capabilities (0.077) | Positioning capability | 0.026 |
|                        |                          | Teamwork capability | 0.026 |
|                        |                          | Culture-building capability | 0.025 |

Normative capabilities refer to the ability of PMIs to scientifically establish clinical nursing practices, manage hospital infections, dispose of medical waste and address other aspects in need of management. These capabilities also include the ability to set up special supervisory departments to ensure regulatory compliance. Normative capabilities determine the quality of medical services. The medical service capabilities of PMIs are poor, especially in
western China, where PMIs are widely distrusted by residents. To obtain better economic benefits, most PMIs pay attention to operations and neglect management.37 38 The lack of awareness of medical quality management and the failure to implement effective management measures will increase the risk of medical accidents and force patients to choose large hospitals for medical treatment.39 In the Healthy China 2030 plan, the government proposes to increase support for central and western China, improve the service capabilities of PMIs and prioritise the health of poor individuals. PMIs should focus on this opportunity to enhance their normative capabilities, strengthen their internal management and continuously improve the quality of their medical services to meet patient needs.

Learning capabilities refer to the ability of PMI staff to acquire new medical knowledge, and institutions encourage staff members to devote themselves to scientific research. The staff of PMIs is the basis of primary healthcare services, and such staff not only impacts the quality of medical services but also is important for the sustainable development of medical institutions. Although the current educational background and medical technology of staff are important, learning capabilities are even more important for staff members to continuously acquire new knowledge and to improve their professional standards throughout their careers. Moreover, most patients of PMIs suffer from chronic diseases. Therefore, the scientific research capability of PMI staff is conducive to improving chronic disease management, promoting disease research progress, improving the professional theoretical knowledge of staff and cultivating the spirit of exploration with regard to cutting-edge medical science.40

With the global spread of COVID-19, in addition to the three most important capabilities noted above, epidemic prevention and control capabilities have become more important. PMIs are responsible for community infectious disease prevention and control, epidemiological investigation and sentinel monitoring, which are vital in controlling the COVID-19 pandemic.41 42 Due to the weak epidemic prevention and control capabilities of PMIs in China, patients suspected of having COVID-19 usually choose large hospitals for treatment. A large number of waiting patients will undoubtedly increase the risk of hospital infections and exert pressure on large hospitals to treat patients with COVID-19.43 44 Therefore, attention should be paid to PMIs, and general practitioners should fully screen and evaluate patients suspected of having COVID-19 to improve the treatment efficiency of the whole health system. In addition, PMIs should draw lessons from COVID-19, popularise epidemic prevention and control knowledge for staff, organise professional training and drills and conserve a certain amount of prevention and control materials for the next epidemic.

**Indicator modification analysis**

Among the second-level indicators, marketing capabilities and risk management capabilities were deleted. Among the third-level indicators, rehabilitation service capability was included in medical service capabilities. The marketing capability concept originates in enterprise management; it refers to business activities through which enterprises transfer products to customers.45 However, medical institutions and enterprises are different, and the factors that affect patients’ medical treatment behaviour are more attributable to actual medical quality than to attractive marketing materials and activities. Additionally, one of the characteristics of medical services is the existence of information asymmetry. Patients cannot truly judge medical quality through the marketing activities of medical institutions, which also makes the marketing of medical institutions difficult. Therefore, marketing capabilities are not applicable to PMIs.46

Risk management capabilities refer to the ability of PMIs to perceive the occurrence of crises and to resolve operational risks in a timely manner. China’s PMIs are government funded, and most PMI leaders are not worried about operational crises. Therefore, risk management capabilities are not applicable to PMIs under China’s health system. In addition, PMIs implement a policy of separating income and expenditure. The income of these institutions is turned over in full to the government, and the salaries of medical staff are paid separately by the government, irrespective of the institution’s operating status. Although this policy has ensured the accessibility of basic healthcare services, it has also led to the problem of a lack of motivation in the operation of PMIs.47

Rehabilitation service capability is important in accelerating the process of rehabilitation and reducing disability. China is devoted to establishing a hierarchical diagnosis and treatment system that encourages residents to go to hospitals for serious illnesses and to return to PMIs for recovery. However, with the increasing number of rehabilitation patients, the existing rehabilitation service capability is far from meeting the needs of patients. In China, previous research has shown that at present, only 16.7% of patients with rehabilitation needs can receive rehabilitation services, 56% of PMIs have no rehabilitation department and some rehabilitation tasks are undertaken by general practitioners.48 Healthy China 2030 proposes establishing a complete rehabilitation service system by 2030 that can provide residents with quality and affordable rehabilitation services. However, achieving this goal is difficult. Therefore, the rehabilitation service capability of PMIs urgently needs to be strengthened to divert rehabilitation patients from hospitals and to improve the utilisation of medical resources.

**Comparison with other index systems**

In 2018, the National Health Commission of China published the ‘Community Health Service Center Service Capability Standards’ and ‘Township Health Center Service Capability Standards’ to facilitate self-evaluation and to improve the capabilities of PMIs. In 2020, the National Health Commission of China published the ‘Performance Evaluation Index System for PMIs’. These standards focus on medical service capabilities, such as the...
institutional building area, number of beds, number of pieces of medical equipment, number of medical staff, number of drugs and disease management rate. These standards are actually a checklist rather than a scoring system, and PMIs cannot make horizontal comparisons among institutions based on the evaluation results.

From an international perspective, the current hospital evaluation systems are mainly based on those of Joint Commission International (JCI), Cooperation for Transparency and Quality in Hospitals (Koordnung für Transparenz und Qualität im Gesundheitswesen (KTQ)) and the International Quality Indicators Project (IQIP). JCI is the accreditation authority recognised by the WHO, and its evaluation systems include patient-centred standards, healthcare organisation management standards, academic standards and medical centre hospital standards. The IQIP (USA) and KTQ (Germany) index systems are also widely used. The IQIP index system includes 285 evaluation indicators across 25 categories, mainly clinical indicators, focusing on medical service results, and it can be used to evaluate specialised hospitals, general hospitals and PMIs. The KTQ system focuses on patients and sets certification standards based on the plan–do–check–act (PDCA) cycle as the basic model. It includes six dimensions: patient-centred, employee-oriented, safety management, information and communication, leadership and quality management. In addition, ISO9000 is used in several countries to evaluate the medical quality of hospitals.

Although these index systems have distinct characteristics, most evaluation index systems focus on process control and outcome evaluation of medical quality (online supplemental appendix 3). In fact, these standards are hospital performance evaluation systems rather than tools used exclusively to evaluate organisational capabilities. Moreover, PMIs are fundamentally different from hospitals, and these evaluation systems cannot be used to evaluate PMIs. At present, there is no unified index system for the organisational capabilities of PMIs worldwide. The index system developed in our study is based on organisational capability theory and includes healthcare services, management capabilities and sustainable development capabilities. It comprehensively reflects all aspects of the capabilities of PMIs. Furthermore, the distribution of the weights of all the indicators is based on the Delphi method, which makes the evaluation results more scientific and reliable.

Strengths and limitations

Providing economic, convenient, safe and effective primary healthcare for residents is the core task of every country’s healthcare system. PMIs are the core institutions providing primary healthcare, and their organisational capabilities are crucial. The literature scarcely features research on the organisational capabilities of PMIs in healthcare; thus, the results of the current study fill an important gap in evaluating the organisational capabilities of PMIs. The index system developed in this study can help PMIs in other countries with similar healthcare systems determine the priority areas for organisational capability reform to improve the performance of their PMIs. However, this study has several limitations. As Delphi experts were selected in Beijing, the index system is more suitable for countries whose healthcare system is similar to that of China, and the application of this index system by other countries should be cautiously undertaken. Nevertheless, this study conducted a systematic literature review to identify the commonalities between different countries. In addition, we selected experts from districts D, F and S in Beijing to represent areas with rich, medium and scarce medical resources, respectively. These experts had a profound understanding of PMI operations and were able to provide consulting suggestions from a professional perspective. These measures increased the applicability of the study results. Furthermore, although the modified Delphi method was strictly used to develop the organisational capability index system of PMIs, the reliability and validity of the index system should be further verified by empirical research. Finally, users need to further develop questionnaires based on this index system to facilitate a practical evaluation of organisational capabilities.

CONCLUSION

Organisational capabilities are an important source of building competitive advantages, enabling organisations to achieve long-term performance goals in the market. Based on a systematic literature review, this study used the modified Delphi method and the AHP to develop a quantitative evaluation index system that includes 3 first-level, 9 second-level and 37 third-level indicators. This index system is a scoring system that focuses on basic service capabilities, management capabilities and sustainable development capabilities, and it can determine the priority of improvement areas for PMIs. This index system is widely applicable to countries with a healthcare system similar to that of China, and it provides a reference for PMIs aiming to develop their organisational capabilities.

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