The demand for low-noise auxiliary drives has been increasing in the automotive industry, as the entire noise level within the vehicle interior has been continuously decreasing over the recent years. In contrast to "larger" drives, the fractional horsepower (FHP) auxiliary drives used here are not subject to any standards. They are customized solutions and hardly anything is reported on their noise and vibration characteristics. Furthermore, their design is very much cost-driven, and noise performance is mostly treated in a retrofit approach within the final system. This article determines the noise and vibration characteristics of FHP auxiliary fan drive systems as typical for use within LED headlights for thermal management. It provides tools for further systematic analysis and eventual mitigation of potentially disturbing noise of such drives already at the development stage.

Keywords: automotive industry; auxiliary drives; fans; fractional horsepower drives; noise; NVH; performance evaluation

1. Introduction

With increasing demands on comfort, safety, and performance, the number of auxiliary drives has been steadily increasing, with up to 100 of such drives used today in a mid-size passenger car [1, 2]. At the same time, the demand for overall noise level reduction has also become more rigorous, as both modern combustion engines and the increase of transportation electrification cause less noise to mask the noise of auxiliary drives [1] (see Fig. 1).

To meet the noise requirements within modern passenger cars, further understanding of the noise, vibration, and harshness (NVH) characteristics of auxiliary drives is of utmost importance. As a matter of fact, significant research has been carried out for integral horsepower drives. However, hardly anything systematic is known for fractional and sub-fractional horsepower drives. These small drives are not subject to any standards and typically developed as mass applications under tight time and financial constraints. The required noise performance of the system is often met in the form of a retrofit approach using, e.g., vibration absorbers which add to the cost.

Recently, the automotive sector initiated the development of proper noise standards, suggesting that the topic will gain increasing importance, calling for techniques to address the topic already at the design level of the individual drives and their components.

This article determines the noise and vibration characteristics of automotive auxiliary fan drive systems as typical for use within LED headlights for thermal management. It identifies different techniques that are suitable for such analysis, as techniques established...
In neighboring fields and/or with larger machines first need to be evaluated. Thereby, this article provides tools for further systematic analysis and eventual mitigation of potentially disturbing noise of such fractional horsepower drives already at the development stage.

In Sects. 2–3, this article reviews the noise generation of electric machines and drives, and overviews the performed fan noise investigations. The main part, consisting of Sects. 4–6, presents the results of a variety of experimental investigations. These include different air-borne and structure-borne sound measurements, and the investigation of the influence of different control techniques, rotational speed, and measuring positions. Finally, Sect. 7 concludes the findings of this article.

2. Review of noise generation in electric machines and drives

With electric machines and drives, the following three sources of noise are distinguished between [3]: 1) mechanical, 2) electromagnetic, and 3) aerodynamic sources, which can either directly or indirectly lead to noise, as illustrated in Fig. 2. In general, the mechanical and electromagnetic noise sources generate forces, which act on different parts of the motor, such as the stator core or the stator housing, and other elements of the realized machine. Depending on the mechanical structure and thus its transfer functions, these forces can cause vibrations as shown in Fig. 2. Such oscillations are referred to as "structure-borne noise," which can subsequently result in so-called "air-borne noise" at a vibratory surface. Such noise is indirectly generated. On the other hand, aerodynamic sources typically generate noise directly, due to air pressure fluctuations and turbulence [3, 4]. Both aerodynamic and mechanical noise are proportional to the rotational speed of the machine. In contrast, the electromagnetic noise strongly depends on the load condition. Thus, depending on the application, and notably its speed, one or another source may be more dominant.

The characteristics of the different noise sources of electrical machines and drives are detailed in the following:

1) Mechanical noise sources mainly include mechanical unbalances of the rotating system, gear unit, bearings (slide or ball), friction, and manufacturing tolerances, which, in turn, can cause dynamic forces. The frequencies of mechanical noise are mainly related to the bearing parameters and to possibly occurring unbalances. Most dominant is typically the rotor unbalance, which manifests itself in a first order harmonic component equal to the rotational frequency [5].

2) Electromagnetic noise is essentially caused by pulsating radial and tangential magnetic forces. The local radial and tangential force densities \( f_r \) and \( f_t \) can be calculated based on the Maxwell stress tensor:

\[
\begin{align*}
\frac{1}{2} f_r &= \frac{1}{\mu_0} (B_r^2 - B_t^2) \\
\frac{1}{2} f_t &= \frac{1}{\mu_0} B_t B_r
\end{align*}
\]

where \( \mu_0 \) is the permeability of free space, and \( B_r \) and \( B_t \) are the radial and tangential components of the air-gap flux density, respectively. The radial magnetic pull is proportional to the square of the flux density. In a permanent magnet motor, the torque pulsations are a superposition of the cogging torque and torque ripples due to the operational principle of the machine. The latter include both torque ripples inherent to the machine due to non-ideal back-EMF waveforms and control-related torque ripples which are due to the switching and commutation. The cogging torque—which mainly occurs with permanent magnet machines—can be addressed by the motor design, the operational torque ripples as part of the control strategy of the drive. The frequencies of the electromagnetic noise are related to the torque and radial force harmonics.

3) Aerodynamic sources directly generate air-borne noise in the form of air pressure fluctuations and turbulences. The noise spectrum of axial fan drives is typically dominated by only a few tonal noise components, the frequencies of which are related to the numbers of blades respectively struts of the fan and the rotational frequency. In contrast, the aerodynamic noise of radial fans is typically broadband (resulting from air flow through the air channel, ventilation drills, or cooling modules), including tonal blade passing noise (BPN) and interference noise components related to the housing [1]. The first dominant harmonic of the BPN is obtained by multiplication of the rotational speed by the number of blades; multiples thereof are typically also observed.

Subjective sound sensitivity differs not only with the individuals, but also with the frequency, as the human ear is less sensitive to low than to high frequencies. While the terms "noise," "vibration," and "harshness" are often used jointly, as also mirrored in the established "NVH" acronym, they do refer to different frequency ranges [6]:

- Vibrations are defined as oscillations up to 20 Hz, which are perceived exclusively as vibrations of the structure. (Road-exited vibrations being an example.)
- Harshness represents a transition zone, which is caused by oscillations in the range from 20 Hz to 50 Hz. This type of oscillation is both audible and tactile. A typical example is the idle shaking of a combustion engine.
- Noise describes audible oscillations in the range from 50 Hz to 20 kHz. In automotive acoustics, these oscillations are rather located in the area of comfort than in driving dynamics.

Within these three fields which are frequently referred to at once, this article focuses on the Noise developed by the auxiliary drives. Typical sound pressure levels (SPL) are listed below for comparison with the experimentally determined SPLs [7]:

- low voice: 40 dB(A)
- normal conversation: 55 dB(A)
### Table 1. Fan Systems Under Investigation

| Fansystem | voltage in V | current in A | speed in rpm | number of blades | type          |
|-----------|--------------|--------------|--------------|-----------------|---------------|
| E         | 8 – 16       | 0.135        | 5000         | 64              | radial        |
| F         | 9 – 16       | –            | 5000         | 64              | radial        |
| G         | 9 – 16       | –            | 4000         | 64              | radial        |
| H         | 9 – 16       | –            | 6000         | 64              | radial        |
| I         | 8 – 16       | –            | 5000         | 0               | radial        |
| J         | 14           | 0.3          | 7000         | 37              | radial        |
| K         | 14           | 0.3          | 6850         | 37              | radial        |
| L         | 14           | 0.3          | 6650         | 37              | radial        |
| M         | 14           | –            | –            | 3               | radial        |
| N         | 14           | –            | –            | 37              | radial        |

- heavy city traffic: 92 dB(A)
- jet plane take-off: 150 dB(A)

#### 3. Overview of fan noise investigations

The investigations presented in the following sections include 1) selected preliminary measurements (see Sect. 4), using inexpensive equipment for estimation, and 2) high quality measurements, which have been carried out in a low-reflection measurement chamber with a sophisticated microphone array (see Sect. 5) and professional acceleration and force sensors (see Sect. 6). The preliminary measurements have been performed using a readily available microphone positioned at different locations in the proximity of the respective fan drive suspended by elastomers, measuring the characteristics of the aerodynamic noise. (A sound-insulating box has been put over the entire setup and, additionally, an “empty measurement” has been carried out with the fan system deactivated to detect external influences.) The high quality measurements have been performed in approximation to the international standard ISO 13347-3 [8]. The influence of the measuring position, rotating speed, and control strategy on the SPL have been investigated.

Table 1 shows the different investigated radial fan drive systems. All of the investigated fan drives feature a single-phase outer-rotor brushless DC motor with four poles and a laminated iron core with an asymmetric air-gap to facilitate the starting.

Figures 3(a) and (b) illustrate the hardware of a typical automotive radial fan system used for Fansystems E–H. Fansystems F–H have the same hardware as Fansystem E, but differ with respect to the control strategy. In contrast to Fansystem E, which is operated with frequency-hopping PWM control, Fansystems F–H are implemented with an angle modulated switching strategy (AMSS), which needs only one switching cycle of the corresponding switch per electrical period, reducing the switching frequency and thus the electromagnetic emissions [9]. Fansystem I is identical to Fansystem E except for the rotor which has the blades removed, see Fig. 3(c), to minimize the aerodynamic noise. Fansystems I–N [see Fig. 11(b)] are also radial fans, similar to the fan shown in Figs. 3(a) and (b) but designed for a different operating point.

#### 4. Preliminary investigation of aerodynamic noise

In order to extract the aerodynamic from the mechanical and electromagnetic noise components, Fansystem E has been modified by removing the fan blades of the rotor as illustrated in Fig. 3(c), which is denoted Fansystem I. As a result, the system load is reduced, as is the current (see Fig. 4). Yet, since the current shape hardly alters (it is still rectangular), the same dominant frequency components are to be expected and a comparison of Fansystems E and I is justified.

These harmonics can trigger resonances of the system and lead to electromagnetic noise, which can be analyzed in subsequent investigations of the mechanical structure.

Figure 5 shows the order analysis of the noise spectra of Fansystems E (64 blades) and I (blades removed). The difference between Fansystems E and I, especially in the range of $15 < \nu < 50$, can be attributed to the aerodynamic noise. As expected, when the rotor of Fansystem I is not balanced after the blades have been taken off, a distinct first order mechanical noise component is observed.

#### 5. Air-borne sound measurements in a low reflection chamber

Air-borne sound measurements have also been carried out using microphones, according to the international standard ISO 13347-3 [8] as far as possible. A “type A” test setup (free inlet, free outlet) has been realized as illustrated in Fig. 6. In addition, a separating element is used to distinguish the inflow from the outflow noise, in approximation to the hall room method (German standard DIN 45 635 Part 38 [10]).

For the near-field measurements either a radius of 0.5 m or 0.3 m is chosen, the latter in accordance with the preliminary measurements presented in Sect. 4. For the far-field measurements, an available microphone array has been used with a radius of 1.2 m. Since the far- and near-field measurements yielded the same qualitative re-
5.1 SPL position dependence

The SPL of a radial fan system often strongly depends on the measuring position, given the different directions of the inflow and outflow of air as well as the special shape of the housing. Figure 6(b) shows the different measuring positions of the near-field measurements. It is expected that the inflow noise is dominant at position “LL” and the outflow noise is dominant at position “C.”

Figure 7 shows the near-field SPLs of Fansystems E, F, and I measured at the positions according to Fig. 6(b), when they are operated at 5000 rpm. Fansystems E and F exhibit very similar behavior even though they differ in the control strategy. This shows that for the studied fans, the control strategy has little influence on the air-borne noise. As expected, the SPLs of the Fansystem I, which has the blades removed, is much lower (about 10 dB), due to the absence of aero-dynamic noise. Hence, the aerodynamic noise is dominant for the studied Fansystems and rotational speed. The variation in SPLs with position can be explained by the distance to the respective noise sources and the different vibratory surfaces.

5.2 SPL speed dependence

The SPLs as a function of the rotational speed can be estimated with the help of an acoustic model law, e.g., according to Madison [12], according to which the SPL increases with the log10 of the rotational speed:

$$\Delta L = 10 \cdot \alpha \cdot \log_{10}\left( \frac{n_2}{n_1} \right),$$  \hspace{1cm} (3)

where $\Delta L$ is the change in SPL, $n_1$ is the initial speed, $n_2$ is the changed speed, and $\alpha$ is an empirical correction factor between 4 and 6.

Figure 8 shows the measurement results of Fansystems F–H. All measured points show the expected logarithmic behavior of (3), except for measuring point “R,” which may be explained by the fact that this speed does not excite the natural frequency of the housing in this direction to the same extent.

5.3 Separation of in- and outflow noise

In approximation to DIN 45 635 [10], a separating element has been applied to the test setup shown in Fig. 6 in the zx-plane, which features a hole for the fan’s outflow nozzle [10]. Two microphones are...
used, one positioned before and another behind the separating element, to determine the in- and outflow noise.

Figure 9 shows the measurement results of said microphone positions. While the inflow noise has distinct frequency components in the range of $10 < \nu < 50$, corresponding to a frequency range of 833 to 4166 Hz, the outflow noise shows a clear attenuation of the amplitudes in the same range. This may be explained by the radial fan’s inflow nozzle and rotor acting like a membrane. The same principal behavior was observed for Fansystems F–H which differ in terms of control strategies and speeds but have the same housing.

5.4 Standardized measurements

Depending on the specific area of application in a car, the one-third octave band analysis of the fan system must comply with different limit curves as follows [11]:

- Curve 1: passenger-operated auxiliary device which is activated when the combustion engine is on.
- Curve 2: passenger-operated auxiliary device which is activated when the combustion engine is off and those which operate automatically when the combustion engine is on.
- Curve 3: automatic auxiliary device when the combustion engine is off.

Furthermore, the determined total SPLs must not exceed 65, 60, and 55 dB(A) for the three curves, respectively. Exemplarily, the measurement results of the inflow direction are reported on in the following. Figure 10 compares the A-weighted one-third octave band analyses of the air-borne sound of Fansystems E and F; both measured in accordance with the industrial standard VW 82 469 [11] but at a distance of 0.5 instead of 1 m.

The results of Fansystems E and F, which differ only in terms of control strategy, show very similar results. However, Fansystem F shows less attenuation below 400 Hz, which can be explained by the used AMSS. (In contrast to a PWM strategy which splits the current into many blocks, AMSS supplies the electric machine with a single current block with a larger maximum value.) However, the total SPL of both fan systems is 52 dB(A). The frequency range between 1000 and 5000 Hz is the most critical one as the one-third octave bands are in close proximity to the limits. Yet, given the reduced microphone distance (0.5 instead of 1 m), both fansystems meet the requirements.

6. Structure-borne sound measurements

Two different structure-borne sound measurements were carried out using 1) three single-axis acceleration sensors mounted onto the housing of the tested fan systems suspended by elastomers [see Fig. 11(a)] and 2) two three-axis force sensors, where the fan systems are screwed onto a stainless steel cylinder [see Fig. 11(b)]. The latter arrangement is in accordance with the Volkswagen standard VW 82469 [11]. While the sensor weight is inherently of utmost importance for the acceleration measurements (the total sensor mass must remain below 10% of that of the fansystem [13]), it is not so much for the force measurements.

6.1 Acceleration sensor measurements

Figure 12 compares the one-third octave band analyses of the structure-borne sound of Fansystems E and F as determined by acceleration sensors shown in Fig. 11(a). To obtain the resulting effective force components, the obtained signals of the different directions have been added and then multiplied by the mass of the fansystem. Fansystems E and F show a similar characteristic. However, because of the increase in the current maxima, some peaks are slightly increased in Fansystem F.

6.2 Force sensor measurements

Figure 13 compares the one-third octave band analyses of the structure-borne sound of Fansystems M and N as determined by
that the noise spectrum of radial fans is complex. By removing the fan blades, the aerodynamic noise has been significantly reduced and the mechanically and electromagnetically generated noise components have been allocated. Furthermore, the inflow and outflow noises of the studied radial fans have been separated successfully. In general, the occurring frequencies as well as SPLs strongly depend on the measuring position, the rotating speed, and the mechanical transfer function of the housing.

Further work may include modal analysis of the radial fansystem housing so as to determine the natural frequencies, use of a laser vibrometer so as to avoid the mass of the acceleration sensors influencing the results, measurements of the devices installed in the application because the structure-borne sound may trigger system resonances, and measurements at lower speeds so as to identify the influence of the cogging torque on the noise spectrum.

Acknowledgements
Open access funding provided by Graz University of Technology. The financial support by the Austrian Federal Ministry for Digital and Economic Affairs and the National Foundation for Research, Technology and Development is gratefully acknowledged. The authors also appreciate the excellent support of the Electronic Music and Acoustic Institute at the University of Music and Performing Arts, Graz, Austria, especially Ass. Prof. Franz Zotter, Dr. Mathias Frank, and DI Stefan Warum.

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Acknowledgements
Open access funding provided by Graz University of Technology. The financial support by the Austrian Federal Ministry for Digital and Economic Affairs and the National Foundation for Research, Technology and Development is gratefully acknowledged. The authors also appreciate the excellent support of the Electronic Music and Acoustic Institute at the University of Music and Performing Arts, Graz, Austria, especially Ass. Prof. Franz Zotter, Dr. Mathias Frank, and DI Stefan Warum.

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7. Summary
As the demand for low-noise auxiliary drives in the automotive industry has increased, further study on the different noise sources and the occurring frequencies as well as applicability of findings and methods developed for larger machines is required. It has been shown...
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