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Grafting strategies for hydroxy groups of lignin for producing materials

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Lignin is one of the most abundant biopolymers on Earth and is considered as the primary resource of aromatic compounds. Recently, lignin has attracted attention from scientists and industrialists due to its inherent potential arising from its unique structure, which leads to its possible use in many applications. Many efforts have been made to ameliorate the reactivity and compatibility of lignin in different areas. Although methods have been proposed for endowing lignin with different properties, there continues to be a considerable demand for discovering new and effective ways of unraveling the beneficial uses of this aromatic polymer. Considering the structure of lignin, different grafting modifications can occur on the aliphatic and/or aromatic groups of lignin. To date, there has been a lack of fundamental understanding of the modification pathways of lignin for generating lignin-based products. In this review paper, we discuss comprehensively the chemical reactions that were introduced in the literature for preparing lignin with different features via modifying its phenolic and aliphatic hydroxy groups for altered uses. This review paper critically and comprehensively elaborates on the recent progress in lignin reactions as well as the challenges, advantages and disadvantages associated with the reaction procedures and the product development processes. Furthermore, the research gap in reaction strategies and product development are described throughout this study.

Introduction

Lignin is an abundant, natural polymer representing between 15 and 30 wt% of lignocellulosic biomass. This polymer exists in the cellular wall of cellulose fibers and provides structural support against oxidative stresses and microbial attacks for plants. Lignin, an amorphous heteropolymer, is insoluble in water and has a limited reactivity. It mainly comprises methoxylated phenylpropanoid (guaiacyl and syringyl) subunits that provide lignin with an energy density of 30% higher than that of polysaccharide polymers. Lignin can be an alternative product to petroleum feedstocks for producing different chemicals. The availability of lignin in the biosphere exceeds 300 billion tons, with a growth rate of around 20 billion tons every year. However, a small fraction of the extracted lignin is used in the formulation of adhesives, dispersants, surfactants or as antioxidants in rubbers and plastics. Thus, there is considerable room for taking greater advantage of the inherent potential of this abundant polymer in various fields.

Based on the types of plants (softwood, hardwood, and non-wood), the amount of each monolignol could be different. Hardwood lignin contains the highest amount of syringyl alcohol among the three classes of lignin with a smaller amount of coniferyl alcohol monolignols. Lignin extracted from softwood resources (also called coniferous or guaiacyl lignin) merely contains coniferyl alcohol monolignols. Meanwhile, lignin from grass (i.e., non-wood lignin) contains all three monolignols while the highest amount of monolignols is uncertain.

Since methoxy groups provide steric hindrance to the aromatic hydroxy groups of monolignol, their amount in monolignol is very critical. This is due to the fact that the enzyme catalyzing monolignol units’ polymerization severely attacks the hydroxy groups, which connect monomers to generate a polymer chain. Hence, the overall cross-linking in the lignin structure decreases due to the enhancement in the steric hindrance, which lowers the ability of the aromatic part of lignin to react with other monomers. In addition, considering the internal cross-linking of the lignin structure, hardwood lignin, by virtue of having numerous units of syringyl alcohol monolignol, exhibits minimal internal cross-linking, while lignin from grasses has more of a cross-linked structure than other lignin classes. It is worth noting that this internal cross-linked structure affects both the lignin molecule and the characteristics of lignin-based materials.

The polymeric nature of lignin presents technical restrictions when used directly for synthesizing with other chemicals, which raises the need for its structural modification. Lignin modification and its use in alternative products has become

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particularly popular in biorefining processes. Biorefining can be considered as analogous to petroleum refining that is supposed to create many biodegradable, non-toxic and recyclable chemicals from the biomass. In the past, different modification pathways had been conducted on lignin to make it a valuable product. Based on the lignin structure, modification reactions can occur on aromatic, aliphatic, or both parts.

Lignin can be isolated from the spent pulping liquors of sulfite, kraft, organosolv, and soda processes. Among these, sulfite and kraft processes are the two dominant techniques that are commercially utilized in the pulping industry. Lignin produced from the kraft process is usually used as a fuel and burned in mills, while lignin generated in the sulfite pulping process is extracted as lignosulfonate. The solubility of kraft lignin is much lower than that of lignosulfonate due to the lack of hydrophilic groups on kraft lignin. Nonetheless, kraft lignin possesses some outstanding properties in comparison with other types of lignin, such as a higher phenolic hydroxide group content, which is raised from the cleavage of β-aryl bonds during the pulping process. Interest on lignosulfonate, on the other hand, has increased because its sulfonic acid groups are attached to its aliphatic part rendering it soluble in water and providing it with the capability of emulsifying and binding properties. There are two commercial techniques called LignoBoost and Lignoforce that utilize acidification for lignin isolation from black liquor with the lignin solid content of 50–60 wt%.

Herein, the primary objective of this review is to discuss the fundamentals associated with the modification of the aromatic and aliphatic groups of lignin. Distinguishing the altered reaction pathways on lignin aromatic and aliphatic parts can possibly lead to the identification of an appropriate method for producing lignin-based products with desired properties for altered applications. Furthermore, the challenges and perspectives associated with the modification methods at both laboratory and commercial scale practices are discussed throughout this study. In addition, since reviews are available on the topics of polymerization, catalytic reactions, depolymerization, redox-neutral strategies, and photo-redox catalysis, this study has excluded the discussion on the above-mentioned strategies. Lignin oxidation has also been covered briefly in this study, while more comprehensive information on the oxidation of lignin and its derivatives could be found in the literature. However, the graft modifications of lignin have not been studied comprehensively, which further begets the lack of studies on some curtail applications of lignin in industry. This study also excludes discussion on model compounds but provides comprehensive discussion on the modification of industrial lignin as the raw material.

### Alternative methods for modification of phenolic structure of lignin

#### Phosphorylation

Phosphorus-containing compounds have been widely studied to develop non-toxic and environmentally friendly flame retardants to diminish the production of toxic fumes and smoke during burning and to hamper the combustibility of polymers. Phosphorylation of lignin occurs via the addition of a phosphoryl group (−PO₃) to a molecule. This reaction proceeds through the SN₂ reaction mechanism (Fig. 1). Generally, in an SN₂ reaction, lignin’s hydroxy groups, as a nucleophile, attack the carbon atom, an electrophilic center, due to the withdrawal of some electron density by the leaving-group (e.g., bromine, chlorine) from carbon, which makes the carbon partially positive. This leads the nucleophile that is the lone pair of an electron on oxygen (hydroxyl of lignin) to attack the partially positive carbon. As the nucleophilic groups of lignin generate a bond with the carbon atom, the bond among the hydroxy groups of lignin form a bond with the carbon atom to generate the product. The reaction mentioned above was reported to occur on both aromatic and aliphatic hydroxy groups of lignin. Table 1 shows some of the phosphorylation reactions conducted on lignin. Lignin phosphorylation has been carried out under different conditions using various phosphorus reagents, such as phosphorus trihalides, phosphorus oxyhalides, phosphorus thiohalides, phosphorus oxides, and phosphorus sulfides, for instance. Tetrahydrofuran, pyridine, acetonitrile, dimethylformamide, formaldehyde, and urea were also used as solvents for phosphorylation in different studies. The reaction conditions were reported to occur in the time range of 1–12 h and the temperature range of 25–180 °C. After the reaction, lignin-based products were reported to be isolated from the reaction media using methanol, diethyl ether and ion exchange processes. The phosphorylated group on lignin has facilitated its use as a high-performance flame-retardant additive in polyurethane, polybutylene succinate, polypropylene, epoxy and polyactic acid. The proposed application is attributed to the fact that the phosphorylation of aromatic compounds...
enhances char formation under fire conditions by acting either in the gas phase or in the condensed phase via interacting with the polymeric matrix.\textsuperscript{38,39,48} Phosphorylated lignin has also been used as a cation exchange resin\textsuperscript{49} and a sorbent of metal ions in wastewater treatment processes.\textsuperscript{37,49,51}

While lignin phosphorylation has certain advantages, some drawbacks, such as long reaction times, use of toxic reagents, \textit{e.g.}, phosphorus oxychloride and phosphorus oxychloride, or toxic solvents, such as dimethyl formaldehyde or dioxane, exist for the phosphorylation of lignin, which may be obstacles for the development of these reaction systems at commercial scales.\textsuperscript{52}

**Hydroxymethylation**

Hydroxymethylation introduces a hydroxymethyl group (–CH$_2$OH) into lignin molecules, which is performed by elec-

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**Table 1  Phosphorylation of lignin**

| Lignin source/type | Reaction conditions | Reaction conditions | Reaction conditions | Reaction conditions | Reaction conditions | Reaction conditions | Reaction conditions | Reaction conditions | Reaction conditions | Reaction conditions | Reaction conditions | Reaction conditions | Reaction conditions |
|--------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|
|                    | Time (h)            | Temperature (°C)    | pH                  | Reagent             | Solvent             | Separation          | Property improvement | Yield (%)           | Application         | Ref.                |                     |                     |                     |
| Wheat straw alkali | 12                  | 95                  | 3–4                 | Triethylamine, dimethylformamide | Methanol            | Thermal stability   | 92                  | Flame retardant     | 53                  |                     |                     |                     |
| Kraft              | 7–8                 | 20–25               | N/A                 | Tetrahydrofuran     | Water               | Thermal stability   | N/A                 | Flame retardant     | 48 and 38           |                     |                     |                     |
| Spruce             | 1                   | 80                  | N/A                 | Urea                | Water and HCl       | Phosphorus content  | 96                  | Sorbent             | 37                  |                     |                     |                     |
| Black liquor       | 2                   | 115                 | N/A                 | Pyridine            | N/A                 | N/A                 | N/A                 | Sodium and metal ion adsorbent | 51                  |                     |                     |                     |
| Kraft              | 12                  | N/A                 | N/A                 | Pyridine            | Water and DMSO      | Oxidative stability | N/A                 | Flame retardant     | 39                  |                     |                     |                     |
| Wheat straw alkali | 5                   | 70                  | 5                   | Dimethylformamide and formaldehyde | Water               | Flame retardancy, thermal stability | N/A             | Flame retardant     | 44 and 45           |                     |                     |                     |
| Cotton stalks      | 2                   | 115                 | N/A                 | Pyridine            | HCl                 | Adsorption selectivity, thermal stability | N/A             | Heavy metal ion adsorbent | 51                  |                     |                     |                     |
| Hydrolysis         | 3–6.5               | 140–180             | N/A                 | Urea                | Water               | Thermal stability   | 70–75               | Fire-retardant fillers for epoxy compounds | 46                  |                     |                     |                     |
| Alkali and an organosolv | 15          | 80                  | N/A                 | Acetonitrile        | Diethyl ether       | Thermal stability   | N/A                 | Flame retardant for polybutylene succinate | 47                  |                     |                     |                     |

N/A: not available.

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|--------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|
|                    | Time (h)            | Temperature (°C)    | pH                  | Reagent             | Solvent             | Separation          | Property improvement | Yield (%)           | Application         | Ref.                |                     |                     |                     |
| Wheat straw alkali | 12                  | 95                  | 3–4                 | Triethylamine, dimethylformamide | Methanol            | Thermal stability   | 92                  | Flame retardant     | 53                  |                     |                     |                     |
| Kraft              | 7–8                 | 20–25               | N/A                 | Tetrahydrofuran     | Water               | Thermal stability   | N/A                 | Flame retardant     | 48 and 38           |                     |                     |                     |
| Spruce             | 1                   | 80                  | N/A                 | Urea                | Water and HCl       | Phosphorus content  | 96                  | Sorbent             | 37                  |                     |                     |                     |
| Black liquor       | 2                   | 115                 | N/A                 | Pyridine            | N/A                 | N/A                 | N/A                 | Sodium and metal ion adsorbent | 51                  |                     |                     |                     |
| Kraft              | 12                  | N/A                 | N/A                 | Pyridine            | Water and DMSO      | Oxidative stability | N/A                 | Flame retardant     | 39                  |                     |                     |                     |
| Wheat straw alkali | 5                   | 70                  | 5                   | Dimethylformamide and formaldehyde | Water               | Flame retardancy, thermal stability | N/A             | Flame retardant     | 44 and 45           |                     |                     |                     |
| Cotton stalks      | 2                   | 115                 | N/A                 | Pyridine            | HCl                 | Adsorption selectivity, thermal stability | N/A             | Heavy metal ion adsorbent | 51                  |                     |                     |                     |
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N/A: not available.
formaldehyde resins produced with phenolated lignin demonstrated an adequate curing time and viscosity required for panels’ production which is comparable to those of commercial resins.\(^\text{74}\)

Table 3 shows the phenolation reaction carried out on lignin. Generally, lignin is mixed with phenol or cardanol, an alkyl phenol isolated from the liquid shell of cashew nut, mostly in water under harsh acidic conditions at a temperature range of 25–125 °C for 20 min–6 h. Phenolated lignin can be separated from the reaction mixture using filtration and/or washing with water, acetone or ether.

Additionally, phenolated lignin used in phenolic resins has been reported to have better mechanical properties than unmodified or hydroxymethylated lignin.\(^\text{76,78}\) Nonetheless, the immense amount of sulfuric acid used in lignin phenolation is not economically or environmentally attractive since it requires an expensive recovery process.

Sulfonation

Sulfonation occurs through the substitution of a sulfonate group with lignin’s aliphatic hydroxy groups through the addition reaction (Fig. 3), rendering lignin negatively charged. This reaction primarily occurs on the carbon of the \(\alpha\) position.\(^\text{87}\) As an exception, sulfonation with chlorosulfonic acid occurs on the lignin’s phenolic ring. Sulfonated lignin has a broad range of applications in various industries such as oil drilling, paper coating, cement and concrete production, in ion-exchange, and as a surfactant, binder, and dispersant. The anti-oxidant and UV absorbent properties of sulfonated lignin have also made this product attractive to be used in flame retardants and sunscreens’ production, respectively.\(^\text{96}\)

Table 4 shows sulfonation reactions performed on lignin. The sulfonation of lignin has been reported to be conducted using either sulfur dioxide (\(\text{SO}_2\)), sulfur trioxide (\(\text{SO}_3\)), sulfuric acid (\(\text{H}_2\text{SO}_4\)), sodium metabisulfite (\(\text{Na}_2\text{S}_2\text{O}_5\)), or bisulfite (\(\text{M}_2\text{SO}_3\)) (where \(\text{M}\) can be \(\text{Ca}, \text{Na}, \text{H}, \text{Mg}, \text{K}, \text{or their combination}\) as a reagent. In this reaction, lignin is generally mixed with the reagent mostly in water under either acidic or alkaline conditions in a high-temperature range of 70–180 °C for 0.5 to 4 hours. Thus, the produced lignin samples could be separated and purified using filtration and a dialysis membrane.

Sulfoalkylation

Sulfoalkylation

Sulfoalkylation. Sulfoalkylation reaction introduces a methylene sulfonate group mainly into the \(\text{o}r\) and/or \(\text{m}\) positions of the aromatic ring of lignin.\(^\text{99}\) Sulfoalkylation of lignin occurs through the \(\text{S}_\text{m}2\) route as the electrophilic S attacks the carbon in the \(\text{CH}_2\text{OH}\) group of lignin while the \(\text{OH}\) group leaves the molecule (Fig. 4). In this case, sodium sulfonate methyl derivatives are formed by the addition of nucleophilic sodium sulfite anions into alkaline media. In this reaction, unsubstituted carbon-5 sites in phenolic units of lignin are the primary targets.\(^\text{100}\) Under alkaline conditions, the reaction occurs with equimolar alkali metal sulfite salt and phenolic units.\(^\text{101}\) In sulfoalkylation, formaldehyde introduces the methoxy group.
into lignin, which is the reacting location in the sulfonation reaction with sodium sulfite.\(^{102}\) Table 5 shows the data available on the sulfomethylation of lignin. Generally, the sulfomethylation reaction has been carried out in the pH range of 7–13 at 60–140 °C for 0.5–9 hours. The sulfomethylated lignin can be separated and purified via neutralizing the pH and using membrane dialysis, respectively.\(^{20}\) Filtration\(^{103,104}\) and ion exchange resin were also reported as the practical options for purifying the product.\(^{100}\) In the meantime, sodium thiosulfate is observed to be produced in the side reaction, which is

| Lignin source/type | Reaction conditions | Property improvement | Yield (%) | Application |
|------------------|--------------------|----------------------|-----------|-------------|
| Organosolv       | 2 40 Alkaline Water | Acidification        | N/A       | N/A 64      |
| Wheat straw, and grass | 3 90 10.5 Water | Acidification and centrifugation | Molecular weight | N/A 55 and 65 |
| Kraft, and sodium lignosulfonate | 0.25–4 50 Alkaline Water | N/A | Thermal stability | Phenolic resin 66 |
| Kraft            | 72 20–25 12–12.5 Water | N/A | Cross-linking ability | Adhesives and resins 54 |
| Wheat straw, and grass | 3 90 9.7–9.9 Water | Acidification and centrifugation | Reactivity | N/A 67 |
| Calcium lignosulfonate | 2 80 11 Water | N/A | Foaming ability, foam half-life time | N/A 57 |
| Wheat straw      | N/A 55–90 10–10.5 Water | Acidification and centrifugation | Carbonyl groups, thermal degradation | N/A As bio-protection in wood and adhesive 68 |
| Alkali           | 3 60–90 10.5–12 Water | N/A | Reactivity with resol resin, viscosity | Phenolic adhesive substitution 69 |
| Softwood alkali  | 8 60 Alkaline Water | N/A | Decrease in molecular weight | Wood adhesive 70 |
| Calcium lignosulfonate | 8 58 12–12.5 Water | N/A | N/A | Wood adhesive 71 |
| Soda bagasse     | 8 58 12–12.5 Water | N/A | N/A | Wood adhesive 72 |

N/A: not available.
### Table 3  Phenololation of lignin

| Lignin source/type | Time (h) | Temperature (°C) | pH  | Reagent | Solvent | Separation | Property improvement | Yield (%) | Application | Ref.   |
|--------------------|----------|------------------|-----|---------|---------|------------|----------------------|-----------|-------------|--------|
| Black liquor       | 5        | 60               | Acidic | Water   | Filtration and water | Ion-exchange capacity | N/A       | Making resin | 80     |
| Enzymatic hydrolysis | 2    | 110–120          | Acidic | Ether   | Filtration and water | Molecular weight | 100–120 | Adhesive    | 73     |
| Sulfuric acid      | 6        | 60               | Acidic | Water   | Filtration and water | Solubility and reactivity | N/A       | N/A         | 81     |
| Organosolv         | 1        | 50–80            | N/A   | Water   | N/A     | Curing time | N/A       | Resins for particleboard | 78     |
| Organosolv         | 1–2      | 70–110           | Acidic | Acetone and water | Filtration and water | Molecular weight and dispersity | 71–96 | Thermoset resin | 82     |
| Eucalyptus/acetosolv | 1.5 | 125              | Acidic | Water   | N/A     | Reactivity | N/A       | Resin        | 83     |
| BioChoice, and a pine (softwood) kraft | 2  | 90–110           | Acidic | Water   | Ether, and filtration | Molecular weight decreased | 30–60 | Thermosets  | 75     |
| Sulfuric acid      | N/A      | 20–25            | Acidic | Ethanol or water | Acetone, and centrifugation | Light colored ISO–93–110 | Selective phenolation | 84     |
| Softwood kraft     | N/A      | 50               | Acidic | Water   | N/A     | Tensile strength, glass transition temperature | 20–40 Polyurethane film | 77     |
| Beech organosolv   | 0.33     | 110              | Acidic | Water   | N/A     | Strength | N/A       | Wood veneer and particle board adhesion | 85     |

N/A: not available.
reactivity toward sulfomethylation, oxidation was reported to highly depend on the lignin type. In order to improve lignin temperature from 100 °C to 150 °C. adversely. This yield could be enhanced by increasing the polysulfone reagent used in sulfobutylation is substantially more expensive than sulfomethylation reagents (Na2SO3, Na2S2O5), which may make this modification process expensive.

**Carboxyalkylation**

**Carboxymethylation.** Carboxymethylation is applied to lignin by introducing a carboxymethyl group (–C2H3O2) following the S2,2 reaction, as discussed earlier (Fig. 1).40 In the carboxymethylation reaction with sodium chloroacetate, salt (i.e., NaCl) and glycolic acid are observed to be generated as by-products. Since aromatic hydroxy groups ionize easier than aliphatic hydroxy groups in an alkaline environment, the reaction would occur primarily on the aromatic ring. Table 7 shows the lignin carboxymethylation reactions performed in the literature. The carboxymethylation reaction has been carried out by mixing lignin with NaOH followed by sodium chloroacetate or monochloroacetic acid in the time and temperature range of 1–6 h and 30–90 °C, respectively.40,115–117 Alternative pathways were considered for purifying the products, such as acidification,118 membrane dialysis,40 and washing with ethanol.116,119,120

Carboxymethylated lignin has been proposed as an effective dispersant for oil–water emulsions,109 crude bitumen emulsions,121 and clay,40 cement,116 and graphite suspensions.122 Carboxymethylated lignin was also used as a stabilizer in kerosene-in-water emulsions117 and as a foaming agent.110 The composite of carboxymethylated lignin–tetra ethoxysilane was tested as a packaging and antimicrobial formula as well as in wound dressings. In addition, due to its potential in adsorbing heavy metals, such as nickel and cadmium, this product has been suggested to be used in wastewater treatment and biofilters.119 Carboxymethylated lignosulfonate was also reported to improve the heat capacity of leather when it was used along with tanning chromium in leather production.115

**Carboxyethylation.** Carboxyethylation is the grafting of the –CH2–CH2–COOH group to lignin. Fig. 6 shows the mechanism of carboxyethylation of lignin in an alkaline environment. The reaction proceeds through an S2,1 mechanism in the basic medium by the substitution of the carboxyethylene group with the aromatic and aliphatic hydroxy groups of lignin. Carboxyethylated lignin by 2-chloropropionic acid has been proved by the dissociation of the carbon–halogen bond, which generates negatively charged chloride ions (as the leaving group) and positively charged carbocation intermediate (on the reagent) in the first stage. In the second stage, the alkoxide ion (of lignin) attacks the planar carbocation and bonds to the reagent. In the meantime, sodium lactate and homopropionic acid polymer could be produced as the by-products of carboxyethylation with 2-chloropropionic acid. Carboxyethylated lignin would improve its hydrophilicity.

Table 7 shows the sulfobutylation reactions conducted on lignin. In general, sulfobutylation was conducted using 1,4-butane sulfone at 70 °C for 6–7 hours at pH 12. In order to separate the produced polymer from the reaction media, the ion-exchange resin and dialysis membrane have been used. Sulfobutylated lignin has been used as a dopant and a dispersant for coal–water slurry and carbendazim.109–111

Sulfobutylation seems to be a more favorable reaction over sulfomethylation because (1) sulfobutylation needs lower temperature and pressure (Tables 5 and 6), which results in using less expensive and simpler equipment, (2) the use of toxic formaldehyde in sulfomethylation is another downside of this reaction,109 and (3) sulfomethylation can only occur on the phenolic part of lignin, while sulfobutylation can occur on both aliphatic and aromatic parts. However, the 1,4-butane sulfone reagent used in sulfobutylation is substantially more expensive than sulfomethylation reagents (Na2SO3, Na2S2O5), which may make this modification process expensive.
and generate a highly charged anionic lignin, which could be a pathway to produce pH sensitive anionic lignin for use as a dispersant and flocculant in industry. This reaction reported to slightly increase the molecular weight of lignin as well.

In this reaction, lignin can be mixed with 2-chloropropionic acid, the donor of the carboxyethyl group, in a mixture of water and isopropyl alcohol in the basic environment at 60–90 °C for 0.5–2 h (Table 8). The production of sodium lactate is the undesired side reaction. Due to the insolubility of the produced lignin in solvents, the reaction mixture can be mixed with ethanol for lignin isolation. Alternatively, membrane dialysis and filtration can be used for isolating the product from the reaction media.

In opposition to carboxymethylation reactions, carboxyethylation can occur on both aromatic and aliphatic hydroxyl groups of lignin. Therefore, carboxyethylation may be considered as a more influential modification pathway for lignin than carboxymethylation. However, the main drawback of carboxyethylation is the solvent used in the reaction (e.g., isopropyl alcohol), which may not be an attractive pathway to develop green processes for lignin modification.

**Epoxidation**

Chemicals possessing amino or hydroxy groups have good reactivity with epoxy groups. Lignin can be rendered lipophilic when it is modified with epoxy containing materials. This reac-

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**Table 4  Sulfonation of lignin**

| Lignin source/type | Time (h) | Temperature (°C) | pH | Reagent | Solvent | Separation | Property improvement | Yield (%) | Application | Ref. |
|--------------------|----------|------------------|----|---------|---------|------------|---------------------|-----------|-------------|-----|
| Esparto grass      | 4        | 140              | 4–9| Water   | Filtration | Solubility | N/A                  | Plasticizing for cement | 91       |
| Washed aqueous slurry | 2      | 140              | ~7 | Water   | N/A | Solubility | N/A                   | Dispersant in dye | 95       |
| Kraft              | 1–5      | 100–180          | Alkaline | Water | Dialyzed | Molecular weight decreased | N/A | N/A         | 97 |
| Corn stalks        | 0.5–1    | 95               | Alkaline | Water | N/A | N/A | ~70–85 | 98 |
| Kraft              | 1–2      | 70–120           | Acidic | Water | N/A | N/A | N/A | Sulfonation of alkali pulp | 92 |
| Phenolyzed         | 1.5      | 100              | Alkaline | Tetrachloroethane | Filtration and water | Ion-exchange capacity | ~33–58 | Making resin | 80 |

N/A: not available.
tion facilitates the dissolution of lignin in organic solvents, such as methylene chloride, tetrahydrofuran, acetone, and chloroform for generating value-added products.\textsuperscript{124} Epoxy resins are used in a broad variety of applications in electrical and electronic laminates, high-performance composites, industrial coatings, adhesives, paving applications, and feedstock for emulsifiers and detergents.\textsuperscript{122,124–126} Epoxidation of lignin proceeds through the $S_N2$ mechanism as discussed earlier (Fig. 1) under alkaline conditions.\textsuperscript{112} Epoxidation was reported to occur on the aromatic ring of lignin by the substitution of hydroxy groups with epoxy groups.\textsuperscript{61,127}

Table 9 shows the epoxidation reactions of lignin reported in the literature. In this reaction, lignin is mixed with either di-epoxides, such as polyethylene glycol diglycidyl ether (PEGDGE), with different chain lengths, or epichlorohydrin in an alkaline environment ($\text{pH} > 12$) at $30–90$ °C for 1–18 h.\textsuperscript{50,112,126–128} The epoxided lignin product is then isolated by neutralizing the reaction mixture with sodium dihydrogen phosphate (NaH$_2$PO$_4$) and centrifugation. The solid epoxy lignin can then be recrystallized in chloroform for further use.\textsuperscript{61–67} Stronger alkalinity could enhance the lignin degradation and produce more phenolic hydroxy groups to react with epichlorohydrin, which increases the reaction yield.\textsuperscript{112} In this case, lignin macromolecules will be converted to more of lignin monomers, and then monomers would have a higher tendency to epoxidize. However, using epichlorohydrin has some disadvantages, such as toxicity and limited rheological characteristics associated with the gel-like dispersion.\textsuperscript{129,130}

Table 10 shows reports on the oxypropylation of lignin. To produce oxypropylated lignin, lignin is mixed with reagents, such as propylene oxide or propylene carbonate, and NaOH or KOH, and is reacted in the temperature range of 40–285 °C for 4 min to 24 h under atmospheric and pressurized (up to about 40 bar) conditions.\textsuperscript{136,138,141,144,150} To extract the product from the reaction media, the reaction mixture is acidified to pH 2.5, which facilitates the precipitation of oxypropylated lignin.\textsuperscript{136,138,141}

Oxypropylated lignin has found application in polyurethane foams.\textsuperscript{142,143} It was reported that oxypropylated lignin has remarkably enhanced the mechanical properties of foams compared to commercial polyols.\textsuperscript{145} In addition, the produced lignin polyols were suggested to be a valuable substitution for oil-based polyols, which are extensively used in polyester and polyurethane productions.\textsuperscript{136–138} However, the use of propylene oxide, which is obtained from oil-based chemicals may be unattractive, as the final product may contain less than 50% lignin.\textsuperscript{147} In addition, this reagent is very expensive which makes the reaction unfavorable for commercial purposes. This reaction also suffers from safety concerns due to the high vapor pressure as well as high toxicity, carcinogenicity, and flammability of propylene oxide in the reaction media. Instead, cyclic organic carbonates, such as propylene carbonate, could be used in this reaction to reduce the precautions since it is non-toxic and eco-friendly.\textsuperscript{137} Furthermore, high boiling and flash points, as well as low vapor pressure and high solubility make this reagent more attractive than propylene oxide to be used in the oxypropylation of lignin.\textsuperscript{136,137,146}

![Figure 4](image-url) Sulfomethylation of lignin with sodium sulfite.\textsuperscript{20,57}
| Lignin source/type       | Reaction conditions | Reagent          | Solvent        | Separation        | Property improvement                                      | Yield (%) | Application                                           | Ref. |
|-------------------------|---------------------|------------------|----------------|-------------------|----------------------------------------------------------|-----------|-------------------------------------------------------|------|
| Enzymatic hydrolysis    | 3                   | 95               | Alkaline       | Formaldehyde      | Filtration                                              | Steric energy reduction | N/A       | Dispersion for graphite suspension                   | 106  |
| Alkaline, and enzymatic | 1–5                 | 80–130           | N/A            | Formaldehyde      | H₂SO⁴                                                    | Compressive strength of concrete | ~60–90    | Dispersant for concrete paste                         | 107  |
| Oxidized                | 1–3                 | 100              | Alkaline       | Water and formaldehyde | Membrane dialysis                                      | Molecular weight, charge density | 33–38     | Flocculant for aluminum oxide suspension              | 105  |
| Aminated alkaline       | 2                   | 90               | 10             | Water             | Filtration                                              | N/A       | N/A                                                  | 109  |
| Oxidized softwood kraft | 0.5–4               | 60–100           | 7              | Water, and formaldehyde | Membrane dialysis                                      | Molecular weight, charge density | N/A       | Dispersant for cement                                 | 101  |
| Hardwood kraft          | 1–7                 | 80–140           | N/A            | Formaldehyde      | Membrane dialysis                                      | Molecular weight, charge density | N/A       | Dispersant for cement                                 | 20   |
| Kraft                   | 3                   | 75               | 3–4            | Formaldehyde, H₂O₂ | Dialyzed                                                | Molecular weight decreased, and lighter color | N/A       | N/A                                                  | 97   |
| Calcium lignosulfonate  | 2                   | 90               | 10             | Formaldehyde      | N/A                                                      | N/A       | N/A                                                  | 57   |
| Alkali                  | 5                   | 90               | 10             | Water, and formaldehyde | N/A                                                      | Adsorption selectivity, wettability | N/A       | Dispersant for coal–water slurry                      | 21   |
| Alkali-corn stalk       | 2–9                 | 75               | 9              | Formaldehyde      | Filtration                                              | N/A       | N/A                                                  | 100  |
| Hydroxymethylated       | 4                   | 95               | 13             | Formaldehyde      | Neutralization and Buchner funnel                      | Charge density, solubility     | N/A       | Adsorbent                                             | 103  |
| Wheat straw alkali      | 4                   | 95               | N/A            | Formaldehyde      | Neutralization and Buchner funnel                      | Charge density                 | N/A       | Dispersion for TiO₂                                   | 104  |

N/A: not available.
Esterification

Generally, esterification is the conversion of alcohols to esters.\textsuperscript{152} The esterification of lignin is performed by nucleophilic substitution (Fig. 8). In this reaction, the lone pair electron of the aromatic hydroxy group will attack the carbon of the ester group on the reagent (Fig. 8). As a result, the carboxylic acid group will leave, and hydroxy groups of the aromatic ring are replaced with carbonyl groups.\textsuperscript{153} This reaction is feasible using mono- or dicarboxylic acids, their anhydrides, acid chlorides, or via transesterification with carboxylic acid esters. For instance, maleic acid, acetic acid, phthalic acid, fumaric acid, or fatty acids such as oleic acid, lauric acid or their anhydrides, acid chlorides or simple esters can be used in the esterification of lignin.\textsuperscript{153-155} Esterification occurs on both aromatic and aliphatic hydroxy groups of lignin but is

![Fig. 5 General S_N2 reaction with ring-opening. Electrophilic center (carbon) is partially negatively-charged, and oxygen is partially positively-charged.\textsuperscript{109,111,112}](image)

**Table 6** Sulfobutylation of lignin

| Lignin source/type | Reaction conditions | Yield (%) | Application | Ref. |
|-------------------|---------------------|-----------|-------------|------|
| **Reaction conditions** | Time (h) | Temperature (°C) | pH | Reagent | Solvent | Separation | Advancement in properties | Application | Ref. |
| Alkali | 7 | 70 | 12 | Water | Ion-exchange resin | Molecular weight | N/A | As dopant and dispersant | 111 |
| Alkali | 6 | 65 | 12 | Water | Ion-exchange resin | Molecular weight | N/A | Dispersant for coal-water slurry | 109 |
| Eucalyptus kraft | 3 | 70 | 12 | Water | Ethanol | Brightness | N/A | Dispersant for dye | 113 |
| Alkali | 7 | 70 | 12 | Water | Dialysis membrane | Molecular weight | N/A | Dispersant for carbendazim | 110 |
| Alkali | 7 | 50 | 12 | Water | Filtration and dialysis | Hydrodynamic size | N/A | Aggregation-induced emission | 114 |
| Kraft | 1–6 | 50–90 | Alkaline | Water | Dialyzed | Molecular weight decreased, and lighter color | N/A | N/A | 97 |

N/A: not available.
more favored to occur on the aromatic hydroxy group.\textsuperscript{156} The reason for this tendency could be the lower pK\textsubscript{a} of the aromatic hydroxy groups than the aliphatic counterparts of lignin since the acetylation reagents, \textit{e.g.}, pyridine or imidazole, act as both catalysts and bases accelerating the production of nucleophiles.\textsuperscript{157}

Table 11 shows the esterification reaction conducted on lignin. Generally, lignin is mixed with different acid anhydrides (succinic, phthalic, acetic and maleic anhydrides) in solvents, such as pyridine, tetrahydrofuran, dimethylformamide, acetone, dioxin, and 1-methylimidazole, in the temperature range of 25–120 °C for the period of 5 min and 48 h.\textsuperscript{143,148–151} After the reaction, acidification or solvent (\textit{e.g.}, acetone, ethanol) addition and membrane dialysis were used as means of the product purification strategy.\textsuperscript{153,158,160,161} Esterification has been commonly used to reduce the hydrophilicity and solvophilicity of lignin, which makes it favorable to be used for composite productions.\textsuperscript{162–164} Lignin esterification by butylation, for instance, transforms the alcohol groups to butyl esters and improves lignin miscibility in low-polar solvents, which would facilitate its use in the construction industry.\textsuperscript{165–167} Esterifying lignin also enhances its compatibility with plastic blends such as polystyrene, polyethylene, and poly(3-hydroxybutyrate-co-3-hydroxy valerate) blends.\textsuperscript{160,161,168} In addition, esterified kraft lignin was

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Table 7  Carboxymethylation of lignin

| Lignin source/type | Time (h) | Temperature (°C) | pH | Reagent | Solvent          | Separation         | Property improvement                        | Yield (%) | Application                                      | Ref.  |
|--------------------|---------|------------------|----|---------|-----------------|-------------------|---------------------------------------------|-----------|-------------------------------------------------|-------|
| Organosolv        | 3.5     | 55               | N/A|         | Ethanol         | Filtration        | N/A                                         | N/A       | Stabilizer in ceramic industries               | 116   |
| Harwood kraft      | 1–6     | 30–70            | Alkaline |         | Water           | Membrane dialysis | Charge density, solubility, molecular weight | N/A       | Dispersant for clay suspension                 | 40    |
| Kraft              | 3.5     | 55               | N/A|         | Ethanol         | Filtration and ethanol | Surface tension | N/A       | Stabilizer for oil-in-water emulsions         | 117   |
| Kraft and organosolv | 3.5   | 55               | N/A|         | Ethanol         | Filtration and HCl | Decrease in heat capacity, and surface tension | 90        | Stabilizer of crude bitumen                   | 121   |
| Wheat straw alkali | 1–3     | 50–90            | N/A|         | Water           | HCl               | N/A                                         | ~80       | Dispersant                                     | 122   |
| Kraft              | N/A     | N/A              | Alkaline |         | Water/ethanol   | HCl               | Adsorption to fibre-laden                    | N/A       | Stabilizer of fiber-laden foams              | 120   |

N/A: not available.

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![Carboxyethylation of lignin under alkaline conditions by using 2-chloropropionic acid.\textsuperscript{123}](image-url)

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The acetylation reagents, \textit{e.g.}, pyridine or imidazole, act as both catalysts and bases accelerating the production of nucleophiles.\textsuperscript{157} Table 11 shows the esterification reaction conducted on lignin. Generally, lignin is mixed with different acid anhydrides (succinic, phthalic, acetic and maleic anhydrides) in solvents, such as pyridine, tetrahydrofuran, dimethylformamide, acetone, dioxin, and 1-methylimidazole, in the temperature range of 25–120 °C for the period of 5 min and 48 h.\textsuperscript{143,148–151} After the reaction, acidification or solvent (\textit{e.g.}, acetone, ethanol) addition and membrane dialysis were used as means of the product purification strategy.\textsuperscript{153,158,160,161} Esterification has been commonly used to reduce the hydrophilicity and solvophilicity of lignin, which makes it favorable to be used for composite productions.\textsuperscript{162–164} Lignin esterification by butylation, for instance, transforms the alcohol groups to butyl esters and improves lignin miscibility in low-polar solvents, which would facilitate its use in the construction industry.\textsuperscript{165–167} Esterifying lignin also enhances its compatibility with plastic blends such as polystyrene, polyethylene, and poly(3-hydroxybutyrate-co-3-hydroxy valerate) blends.\textsuperscript{160,161,168} In addition, esterified kraft lignin was
reported to remarkably improve the interfacial tension between the resin and reinforcing flax fibers.\textsuperscript{169} Furthermore, lignin esterification increases the thermal mobility of lignin molecules by diminishing the intermolecular interaction, which further leads to a reduction in the glass transition temperature of lignin.\textsuperscript{170}

The esterification was reported to improve the morphology of lignin-based materials. For example, carbon fibers made from phthalic anhydride-modified lignin were revealed to have reasonably high micro-scale porosity in comparison with carbon fibers made from unmodified lignin.\textsuperscript{158} It is also worth mentioning that the structural properties of carbon fibers produced from lignin depend on the reagent used in the esterification reaction. For instance, lignin with a cyclic anhydride such as succinic, maleic or phthalic may form di-esters, whereas lignin could only form a monoester with acetic anhydride. In addition, the esterification of lignin using phthalic anhydride would render lignin more hydrophobic. Using maleic anhydride, a reagent with a double bond in its structure may increase cross conjugation between lignin’s structural units.

These reports also suggested that the esterified lignin could be a green alternative to replace petroleum-based fillers in thermoplastics\textsuperscript{159,161,169} as well as being a potential photosensitizer.\textsuperscript{171} Esterification has also promoted lignin’s application as an oxygen and water-vapor barrier in the packaging.\textsuperscript{172} However, esterification was observed to reduce the antioxidant activity of lignin, which may be due to lowering its phenolic hydroxyl groups.\textsuperscript{61}

**Propargylation**

Propargylation takes place by adding a propargyl group to a molecule. Lignin propargylation occurs via an $S_N2$ mechanism, as shown in Fig. 1. This reaction occurs only on the phenolic hydroxy groups of lignin since these groups have a higher ionization efficiency compared to aliphatic ones.\textsuperscript{41,147}

Table 12 shows the propargylation reaction on lignin carried out in the previous studies. In propargylation, lignin is mixed with propargyl containing bromide and NaOH or KOH at 70–90 °C for 1–4 h in an alkaline environment.\textsuperscript{41,178} Then, the generated product is separated by acidifying the reaction mixture.\textsuperscript{41,178} Lignin propargylation increases the reactivity of lignin in a uniform and modulated way, thus increasing the potential use of the propargylated lignin in carbon fibers.\textsuperscript{147,178} According to the US Department of Energy, using propargylated lignin in carbon fibers would reduce the final price of carbon fibers by half.\textsuperscript{179} Similarly, propargylated lignin has been reported to be used in transportation applications such as tire production and composite production as the curable thermosetting resin.\textsuperscript{178} However, using propargyl bromide can be considered as the main problem of this modification because it is toxic and may cause environmental issues.

**Methylation**

Methylation reaction is the addition of a methyl group ($\text{-CH}_3$) to lignin. The methylation of lignin occurs by nucleophilic aro-
Table 9  Epoxidation of lignin

| Lignin source/type | Reaction conditions | Time (h) | Temperature (°C) | pH | Reagent | Solvent | Separation | Property improvement | Yield (%) | Application | Ref. |
|-------------------|---------------------|----------|------------------|----|---------|---------|------------|----------------------|-----------|-------------|------|
| Alkaline          |                     | 5        | 90               |    | Alkaline | Water   | Neutralization and water | Molecular weight | ~12       | Feedstock for an emulsifier, detergent, and additive | 124   |
| Alkali            |                     | 6        | 50–80            | N/A|         | Diethanolamine, and formaldehyde | N/A | Thermal stability | N/A       | Thermal stable resin | 126   |
| Organosolv lignin |                     | 3–5      | 50–90            | N/A|         | Water   | Water and filtration | Molecular weight | 107–126  | Bio-based epoxy resin | 125   |
| Wheat straw       |                     | 2        | 60               | Alkaline | Water   | N/A | N/A | N/A | Bio-based epoxy resin | 127   |
| Sugarcane bagasse |                     | 3–6      | 70               | Alkaline | Water   | Neutralization and centrifugation | Antibacterial activity, lower radical scavenging activity | N/A | Natural antibacterial | 61    |
| Sarkanda, and wheat straw, Protobind 1000 | | 3–7 | 50–90 | Alkaline | Water   | Neutralization and centrifugation | Thermal stability decreased | 61–88 | Composite formation | 67    |
| Alkaline          |                     | 8        | 50               | N/A|         | Water   | Filtration and ethane/water | Decomposition temperature decreased | 37–91 | Epoxy resin additive | 112   |
| Alkali            |                     | 3        | 30               | Alkaline | Water   | Centrifugation | Viscosity | N/A | Thickener in bio-lubricant | 129   |

N/A: not available.
mation (Fig. 9). Under alkaline conditions, the lone pair electron of aromatic hydroxide of lignin attacks the carbon of the methyl group. As a result, hydroxy groups are replaced with a methyl group in lignin. Methylation is considered as an alkylation in which a methyl group is exchanged with a hydrogen atom. This reaction had been used to cover the phenolic hydroxy groups to render lignin hydrophobic, or to analyze whether the desired reaction tends to occur on the phenolic or aliphatic hydroxy groups of lignin. The selective methylation of lignin’s phenolic hydroxy groups converts these groups to phenyl methyl ether, which are remarkably less reactive compared to hydroxy groups.

In addition, a carefully controlled and monitored methylation of lignin may reduce lignin’s reactivity, which could provide possibilities for its self-polymerization at high temperatures (above 130 °C).147 However, this radically initiated self-polymerization of lignin could be inhibited entirely by methylating the phenolic hydroxyl groups.181 In methylation, methyl groups replace only phenolic hydroxyl groups due to their remarkably higher (about 80 times) ionization efficiency.123,141

Table 13 shows the methylation reaction implemented on lignin. In the past, lignin was mixed with dimethyl sulfate, methyl iodide, diazomethane or tetramethylammonium hydroxide in an alkaline medium or it was dissolved in anhydrous N,N-dimethylformamide (DMF) for methylation. The reaction generally occurs at room temperature for 72 h or at 75–150 °C for 2–24 h.123,141,150,182,183 To collect lignin derivatives, the reaction mixture is acidified, if conducted under the alkaline conditions and purified via filtration, for instance.123,141 The methylation reduces the glass transition temperature of lignin since most of the intra-molecular hydrogen bonding becomes eliminated in this reaction.150

Methylation was reported to enable the use of lignin in thermoplastics and carbon fibers.141,150 However, methyl iodide and dimethyl sulfate, the most common reagents used for methylation, are very toxic and hazardous, which is the major drawback of this process. One advantage of lignin methylation is that the by-products, methanol and carbon dioxide, could be recycled and reused in the production of dimethyl carbonate.181,184 Among other reagents, dimethyl carbonate has the supremacy of safe and straightforward handling since it is not mutagenic or hazardous. However, the chemical reactivity of dimethyl carbonate depends on the temperature in a way that at a temperature higher than 120 °C, it participates in methylation reaction via a base mediated alkyl cleavage nucleophilic substitution mechanism, and at a lower temperature (e.g., 90 °C), it can act as a carboxymethylating agent via a base mediated acyl cleavage nucleophilic substitution mechanism.181 Therefore, to use dimethyl carbonate as a methylating agent, the reaction temperature needs to be higher than 120 °C, and since this temperature is higher than the dimethyl carbonate’s boiling point (90 °C), the reaction has to be carried out in a closed reactor and pressurized system.181

Alkylation

Alkylation introduces an alkyl group (–CnH2n+1) to a lignin macromolecule via the S_N2 mechanism (Fig. 1). Lignin can be readily alkylated through nucleophilic substitution on its active aromatic hydroxy groups with an alkyl chain having different chain lengths.47 It has been reported that the alkylation reaction increases the thermal resistance of lignin while reducing its hydrophilicity.42,181,185 Alkylated lignin could be used as a plasticizer in polymer blends.186 It was also used in polypropylene composites along with synthetic polymers and it was reported to improve the composite’s stiffness and storage moduli.181,185,187

Table 14 shows the alkylation reaction of lignin. In general, bromododecane or lead acetate, as the alkylating agent, can be mixed with lignin at pH 8–12 at 25 or 80 °C for 24–72 h in isopropanol, water, and dioxane as a solvent.42,187 As a drawback, alkylated lignin could be quite brittle, which might not be favorable in polymer blends.188

Halogenation

Halogenation is a method used for introducing a halogen group into the lignin molecule. The halogenation of lignin occurs by electrophilic aromatic substitution. As an example of halogenation, Fig. 10 shows the bromination reaction of lignin with bromine in a hydrophilic (polar) protic solvent of acetic acid. Halogenation has been reported to occur on the aromatic ring of lignin since the aromatic hydroxy group could activate the adjacent positions for the electrophoretic attack.189

Table 15 shows the halogenation reactions of lignin. Typically, lignin is mixed with a halogen (hydrogen bromide, N-bromosuccinimide, and an ionic liquid, liquid chlorine) in the temperature range of 20–164 °C for 1–2 h.189–191 Halogenated lignin can be precipitated using a mixture of diethyl ether and ethyl acetate.191

Bromination of lignin was reported to restrict lignin’s agglomeration.192 Meanwhile, halogenated compounds are intensively used as fungicides, herbicides, insecticides, and precursors in the synthesis of pesticides. They could also be used as intermediates in the synthesis of dyes, agricultural
| Lignin source/type | Time (h) | Temperature (°C) | pH | Reagent | Catalyst/solvent | Separation | Property improvement | Yield (%) | Application | Ref. |
|-------------------|---------|-----------------|----|---------|-----------------|------------|---------------------|-----------|-------------|------|
| Kraft             | 12      | 40              |     | Alkaline | Potassium hydroxide | HCl        | Molecular weight decreased | N/A       | Use in carbon fiber | 147  |
| Soda              | 0.08-0.16 | 120–140        |     | Alkaline | Potassium hydroxide | N/A        | Molecular weight decreased | N/A       | Use in polyurethane foam | 142  |
| Organosolv, kraft, and oxidized organosolv | ~0.3–15 | 140–190      |     | Alkaline | Potassium hydroxide | Vacuum removal | Dimensional stability of the foam | N/A       | Use in polyurethane foam | 143  |
| Kraft             | 2       | 140             |     | Alkaline | Potassium hydroxide | Tartaric acid | Viscosity of the polyester-polyether polyol | N/A       | Use in the polyurethane production | 148  |
| Sodium lignosulfonate | 4    | 175             |     | Alkaline | Sodium carbonate | Distillation | Darkness, viscosity | N/A       | As dispersants for carbon black, as emulsifiers | 149  |
| Wheat straw soda  | 24      | 70              |     | Alkaline and acidic | Water | Ethyl ether anhydrous | Molecular weight, smoother morphology | N/A       | As a substitute for polyols in view of polyurethane | 140  |
| Kraft, soda, organosolv | ~0.58–1.8 | 169–271       |     | Alkaline | Potassium hydroxide | N/A        | Viscosity | N/A       | As a substitute for polyols in view of polyurethane | 140  |
| Kraft             | 18      | 40              |     | Alkaline | Water | HCl | Thermal stability decreased | N/A       | Thermoplastic materials | 150  |
| Kraft             | 0.15    | 150–285         |     | Alkaline | Potassium hydroxide | N/A        | Molecular weight decreased | N/A       | As a substitute for polyols in view of polyurethane | 144  |
| Softwood kraft    | 18      | 20–25           |     | Alkaline | Water | N/A | Molecular weight decreased | N/A       | N/A | 141  |
| Organosolv        | 3       | 170             |     | N/A | Methylimidazole, 1,4-dioxane | Acidification and filtration | Molecular weight | N/A       | As a substitute for polyols in view of polyurethane and polyesters | 136  |

Table 10 Oxyalkylation of lignin
chemicals and pharmaceuticals. As is well-known, the reagents used in halogenation have different levels of reactivity. For example, chlorine and halogen fluorine are the most aggressive reagents compared to bromine due to their high electrophilicity while bromine is a weaker reagent, and iodine is classified as the least reactive reagent of the halogens. It is advised that the chlorination reaction should be carried out with caution due to toxicity issues, which may require additional control. Furthermore, fluorination is mostly used in the production of fluorocarbons. Since halogenated organics are highly toxic, the halogenation process has serious disadvantages, which requires health caution.

Amination

Amination is a simple method for introducing amine groups onto lignin. This reaction occurs through the S_N2 route (Fig. 1). Generally, the amination reaction has been applied to lignin with the aim of generating cationic surfactants, slow-release fertilizers, flocculants, heavy metal adsorbents, coagulants, cationic asphalt emulsifiers, curing agents of epoxy resin, anion-exchange resins and retention aids.

Table 16 shows the amination reactions of lignin. Generally, lignin is mixed with amination agents, such as diethylenetriamine, dimethyamine, methylamine, propane diamine, and triethylamine in water or a solvent, such as formaldehyde or dioxane, in the temperature range of 20–90 °C under both acidic and alkaline conditions for 1–17 h. Produced aminated lignin samples can be precipitated via mixing the reaction mixtures with hydrochloric acid, acetone, ethyl acetate or isopropanol. Although inducing amine groups on lignin through amination reaction is selective and straightforward, using the toxic, carcinogenic and mutagenic chemical of formaldehyde in most of the reactions can be unsafe and cause environmental problems.
| Lignin source/type | Time (h) | Temperature (°C) | pH | Reagent | Solvent/Catalyst | Separation | Property improvement | Yield (%) | Application | Ref. |
|-------------------|----------|------------------|----|---------|-----------------|------------|----------------------|-----------|-------------|------|
| Organosolv        | 2        | 20               | N/A|         | N,N,1-Dimethylformamide/ pyridine | Filtration and ethanol | Hydrophobicity | N/A       | As a dispersant and a protective agent | 173  |
| Pulp and paper industries | 0.6     | 80               | N/A|         | Pyridine | HCl | Molecular weight | 122       | Mold lubricant | 164  |
| Organosolv        | 3        | 100              | N/A|         | Pyridine containing 4-dimethylaminopyridine | Water or diethyl ether | Strength | N/A       | Blend with poly(ε-caprolactone) | 163  |
| Organosolv        | 6        | 70               | N/A|         | Tetrahydrofuran | Methanol and filtration | Surface area, pore volume | ~36–46 | Lignin-based carbons | 158  |
| Kraft             | 5        | 100              | N/A|         | Triphenyl antimony | Acetone | Thermal stability | N/A       | N/A         | 153  |
| Lignin source/type                  | Time (h) | Temperature (°C) | pH | Reagent                        | Solvent/Catalyst                     | Separation                  | Property improvement                          | Yield (%) | Application                  | Ref. |
|------------------------------------|----------|------------------|----|--------------------------------|--------------------------------------|-----------------------------|-----------------------------------------------|-----------|------------------------------|------|
| Softwood and hardwood kraft        | 20       | 20–25            | N/A| Dimethylformamide              | Ethanol, Soxhlet extraction in chloroform | Molecular weight             | N/A Oxygen and water vapor barrier           | 152       |                              |      |
| Hardwood and softwood kraft        | 3        | 50               | N/A| Acetic, and propionic          | Filtration                           | Molecular weight, reduce water adsorption | 88–99 Polyethylene blends              | 160       |                              |      |
| Softwood kraft                     | 24       | 65               | N/A| 1-Methylimidazole            | Ethanol and centrifugation           | Tensile strength, reduced water adsorption | 24 Fillers for thermoplastics          | 161       |                              |      |
|                                    |          |                  |    |                                |                                      |                             | 95                              |           |                              |      |
|                                    |          |                  |    |                                |                                      |                             | 120                             |           |                              |      |
| Organosolv                         | 1        | 100              | N/A| Pyridine                      | N/A                                  | Solubility                   | ~96 N/A                          | 174       |                              |      |
| Softwood kraft                     | 48       | 65               | N/A| Pyridine                      | Soxhlet extraction using tetrahydrofuran | $T_g$ and melt torque reduced | 60–80 Thermoplastic                  | 159       |                              |      |
| Hardwood kraft                     | Overnight| 20–25            | Alkaline| Water                       | Acetone and filtration             | Compatibility of lignin       | 86 Polyethylene blends              | 168       |                              |      |

Table 11 (Contd.)
| Lignin source/type | Reaction conditions | Solvent/Catalyst | Separation | Property improvement | Yield (%) | Application | Ref. |
|-------------------|---------------------|------------------|------------|----------------------|-----------|-------------|------|
| Hardwood and softwood kraft | Overnight 50 | 1-Methylimidazole/1,4-dioxane | Ethyl ether | Solubility in non-polar solv, molecular weight | N/A | Use in unsaturated thermosets | 169 |
| Enzymatic hydrolysis | 1.5 80 N/A | 4-Dimethylamino pyridine, sodium acetate, and sulfuric acid | Ethanol | N/A | N/A | N/A | 155 |
| Kraft | 7 60 N/A | Acetone | Filtration, water or toluene | Hydrophobicity, molecular weight, thermal stability | N/A | Reinforced wood plastic composites | 175 |
| Bagasse | 4 90 N/A | 1-Allyl-3-methylimidazolium chloride | Ethanol | Surface adhesion compatibility | N/A | N/A | 132 |
| Sugarcane bagasse | 72 20-25 N/A | Pyridine | Filtration, water | Radical scavenging index decreased | N/A | Antioxidant | 61 |
| Synthetic | 48 30 N/A | Pyridine | HCl, centrifugation | Secondary cinnamaldehydes prohibited | N/A | N/A | 176 |
| Kraft | 48 N/A N/A | Pyridine | Methanol | Molecular weight decreased | N/A | Chromatographic eluent | 177 |
### Table 11  (Contd.)

| Lignin source/type | Time (h) | Temperature (°C) | pH | Reagent | Solvent/Catalyst | Separation | Property improvement | Yield (%) | Application | Ref. |
|--------------------|----------|------------------|----|---------|------------------|------------|----------------------|-----------|-------------|------|
| Alkaline soda      | 18       | 20-25            | N/A| Pyridine | Ethanol, or HCl  | Thermal stability decreased | N/A       | In polyolefins     | 157     |
| Organosolv        | 48       | 25               | N/A| Pyridine | Filtration       | Solubility in tetrahydrofuran | N/A       | Photosensitizers   | 171     |
| Hardwood           | N/A      | N/A              | N/A| Dioxin   | N/A              | Photodiscoloration behavior under decreased | 77        | Studying photoyellowing properties | 162     |
| Organosolv        | 3        | 60               | N/A| 1-Methylimidazole | n-Hexane | Viscosity decreased | N/A       | Additive for plasticizing | 165     |
| Hardwood kraft     | N/A      | 50               | N/A| 1-Methylimidazole | Ethyl ether | Flexural strength, viscosity | N/A       | Resin and flax fibers | 156     |

N/A: not available.

### Table 12

| Lignin source/type | Time (h) | Temperature (°C) | pH | Reagent | Solvent | Separation | Property improvement | Yield (%) | Application | Ref. |
|--------------------|----------|------------------|----|---------|---------|------------|----------------------|-----------|-------------|------|
| Kraft              | 1        | 90               | 13.7| Potassium hydroxide | HCl     | Molecular weight | N/A       | Carbon fiber, tires | 178     |
| Paper factory      | 4        | 70               |   | Alkaline | Water/ethanol | Filtration | Solubility, thermal properties | 98        | Resin for composite matrix | 180     |
| Softwood kraft     | 2        | 75               |   | Alkaline | Water   | Acidification | Thermal stability, molecular weight | 91–96     | To increase thermal stability | 41      |
| Methylated softwood kraft | 2 | 75 | Alkaline | Water | Acidification | Molecular weight | N/A       | Carbon fiber | 178     |

N/A: not available.
Oxidation

Recently, the oxidation of lignin has become popular because of the extensive need for replacing fossil fuel feedstock with sustainable materials to produce fuels and chemicals. Oxidation occurs through the electron loss of a molecule. In lignin oxidation, the cleavage of C–O bonds occurs leading to the generation of carboxylic acids and aromatic aldehydes.\(^{210}\)

The type of catalyst used in the oxidation reaction is responsible for its low molecular weight reduction or phenolic product generation.\(^{210–212}\)

It is worth mentioning that the reaction pH plays a critical role in lignin oxidation. In one study,\(^{212}\) hydrogen peroxide was used to oxidize lignin under both acidic and alkaline conditions. As a result of the reaction under acidic conditions, formic acid and acetic acid were produced as the main components, while no aromatic acids, aldehydes, chromophoric groups, and phenolic components were detected.\(^{212}\) However, in an alkaline environment, lignin with a high amount of carboxylic acid was produced along with oxalic, formic, acetic, malonic, and succinic, as well as vanillin, syringaldehyde, and chromophoric groups. These chromophoric groups also undergo a ring cleavage reaction and further degradation to form low molecular weight acids. Interestingly, reactions conducted under strong alkaline conditions proceed at low temperatures of 80–90 °C, while those under acidic conditions need higher temperatures of 130–160 °C.\(^{212}\) That being said, most of the oxidation reactions have been performed in an alkaline environment since it helps solubilize lignin and accelerates the deprotonation of hydroxy groups.\(^{211,212}\)

**Mild oxidation.** Mild oxidation of lignin introduces carboxylic acid groups into lignin and does not necessarily depolymerize lignin.\(^{219}\) In this paper, the oxidation that reduced the molecular weight of lignin by less than 10% is considered mild. Undoubtedly, increasing the amount of carboxylate groups in lignin improves its anionicity and hydrophilicity.\(^{311,219}\) This reaction has been performed by using hydrogen peroxide (in alkaline medium).\(^{37,240}\) Nitrobenzene,\(^{218}\) metal oxides,\(^{218}\) and catalysts with oxygen.\(^{211}\)

Hydrogen peroxide is widely available in pulp mills and is extensively used for bleaching pulp worldwide. As an oxidant, it can also be used for oxidizing lignin to introduce carboxylic acid groups. It is reported that hydrogen peroxide generally decomposes the phenolae group of lignin, whereas it induces the carboxylate group into lignin.\(^{42,240,241}\) It was reported that the majority of hydrogen peroxide molecules was utilized for the partial decomposition of the lignin structure by the bond cleavage of lignin’s ether bonds.\(^{240}\)

Fig. 12 shows the mild oxidation by using different sources of lignin and oxidizing reagents. Lignin undergoes two different reaction sets in lignin oxidation with hydrogen peroxide; perhydroxyl anions attack nucleophilically while removing lignin chromophores. Meanwhile, free radical species generated by the decomposition of hydrogen peroxide yield oxidative degradation of the phenolic structures of lignin and converts them to carboxylic acid groups.\(^{242}\) The perhydroxyl anion cleaves the side oxygen.\(^{197,219–221}\) The reaction time and temperature have been reported to vary between 2–20 h and 80–160 °C, respectively, while the pressure of the reaction systems is mostly reported to be 10 bar.\(^{212,218}\)

Lignin oxidation by the aliphatic and aromatic hydroxy groups of lignin leads to the generation of ketones, quinones, aldehydes, vanillin (shown in Table 17).\(^{7,222,223}\) Also, some of the most advanced oxidative routes are used in pulp and paper industries to depolymerize or remove lignin from cellulose materials.\(^{224,225}\)

Based on the literature reports,\(^{226,227}\) a correlation could be found between the resulting product and the oxidative breaking of specific linkage. Fig. 11 depicts this correlation under aerobic oxidation conditions. As depicted, the cleavage of the C\(_{\alpha}\)-C\(_\beta\) bond forms phenolic aldehydes, while C\(_{\text{ph}}\)-C\(_{\alpha}\) bond cleavage leads to the generation of para-quinones and oxirane structures. The cleavage of the lignin aromatic ring also yields the production of muconic acid derivatives.\(^{226,227}\)

Meanwhile, aromatic aldehydes, such as vanillin, could be the main product of lignin oxidation.\(^{228}\) Vanillin is the only mercantile product achieved from lignin through oxidation with a market volume of around 20 000 tonnes per year. Nonetheless, a majority (90%) of the synthetic vanillin used today is oil-based implying a need to improve and develop the lignin-based vanillin production, which is closer in flavor/taste to natural vanilla than vanillin produced from petrochemical guaiacol.\(^{6}\) However, these oxidation pathways are accompanied by some disadvantages, such as long reaction times and use of toxic catalysts, such as sodium periodate or palladium chloride.\(^{229,230}\)

**Harsh oxidation.** In a severe oxidation reaction, the lignin’s aromatic ring is destroyed, and acids with low molecular weight are produced.\(^{211}\) The oxidation reaction depolymerizes lignin via cleavage of C–O and C–C bonds. Comparing these linkages, it is harder to break the linkages in C\(_{\alpha}\)-C\(_\beta\) since the bonding energies in C\(_{\alpha}\)-C\(_\beta\) (264.3–294.2 kJ mol\(^{-1}\)) linkages are stronger than those in C\(_\beta\)-O (161.1–247.9 kJ mol\(^{-1}\)) linkages.\(^{213–216}\) Due to the non-polarity and robustness of the C–C bond, its selective cleavage is a challenge.\(^{216}\) Table 17 shows the oxidation reaction on lignin that results in ring opening products. A wide range of reagents were used to oxidize lignin, such as hydrogen peroxide (H\(_2\)O\(_2\)) (in acidic medium),\(^{212,217}\) nitrobenzene and copper oxide\(^{218}\) and
Table 13  Methylation of lignin

| Lignin source/type               | Reaction conditions | Time (h) | Temperature (°C) | pH     | Reagent                        | Solvent            | Separation | Property improvement | Yield (%) | Application                        | Ref. |
|----------------------------------|---------------------|----------|------------------|--------|--------------------------------|--------------------|-------------|----------------------|-----------|-----------------------------------|------|
| Milled wood                      | Acidic              | 72       | 20–25            | N/A    | Methanol and hydrochloric acid | N/A                | N/A         | N/A                  | N/A       | Mask phenolic hydroxide groups    | 182  |
| Lignosulfonate                   | 2                   | 80       | 11–11.5          | N/A    | Water                          | HCl                | Molecular weight | N/A                  | Mask phenolic hydroxide groups    | 123  |
| Softwood and hardwood kraft      | 2, 10               | 25, 80   | N/A              | N/A    | N,N-Dimethylformamide          | HCl                | Molecular weight | N/A                  | Mask phenolic hydroxide groups    | 141  |
| Softwood kraft                   | 2–24                | 120 and 150 | N/A           | N/A    | Dimethyl sulfoxide             | HCl                | $T_g$ decreased | N/A                  | Carbon fiber                       | 181  |
| Softwood kraft                   | 2                   | 75       | N/A              | N/A    | Water                          | HCl                | Molecular weight, $T_g$ | N/A                  | Carbon fiber                       | 150  |
| Softwood kraft                   | 2                   | 75       | N/A              | N/A    | Water                          | HCl                | N/A         | N/A                  | N/A       | Carbon fiber                       | 178  |

N/A: not available.
chains of lignin, opens the benzene ring, and produces new compounds, which have carboxylate or chromophore groups. These groups may undergo the ring cleavage reaction under severe reaction conditions and further degrade to form different low molecular weight compounds, such as oxalic acid, formic acid, and malonic acid.212

The oxidation of lignin via hydrogen peroxide would promote the solubility and increase the charge density of lignin, and therefore it could be used as an anionic dispersant for kaolin and other suspensions.240 Employing nitrobenzene to oxidize lignin generates aromatic aldehydes as main products; however, using nitrobenzene have some disadvantages, such as difficulties in recovering the oxidant as well as the complexity of the reaction raised from the formation of phenylhydroxylamine, aniline, and nitrobenzene products, leading to a condensation among them. Also, the respective carboxylic acids produced in mild oxidation have lower yields than in harsh oxidation.218

Oxygen, Cu(II), Co(II), and CuO have also been used to oxidize lignin (Table 18). Protolignin oxidation with CuO has claimed to have a lower yield compared with nitrobenzene as the oxidant.218,244,245 Co(II) is a better oxidant than nitrobenzene, as the oxidant recovery is easier and harmful byproducts are not produced in the reaction.246 Although oxygen (or air) as a catalyst

![Image](225x644 to 284x665)

**Fig. 10** Bromination reaction of lignin by using bromine.191

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### Table 14 Alkylation of lignin

| Lignin source/type | Time (h) | Temperature (°C) | pH | Reagent | Solvent | Separation | Application | Ref. |
|--------------------|----------|------------------|----|---------|---------|------------|-------------|------|
| Unbleached hardwood kraft | 24       | 80               | 8–10 |         | Isopropanol | Water | Retardant and toughening agent for polypropylene | 185 |
| Pulping industry | N/A      | 50               | N/A |         | Distilled water | Filtration, water | Surfactants | 42  |
| Kraft | 72       | 20–25            | 11–12 |         | Dioxane | Water, centrifuged | Plasticizer | 186 |

N/A: not available.

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### Table 15 Halogenation of lignin

| Lignin source/type | Time (h) | Temperature (°C) | pH | Reagent | Solvent | Separation | Application | Ref. |
|--------------------|----------|------------------|----|---------|---------|------------|-------------|------|
| Hardwood and softwood | 2        | 163–164          | N/A | Br–Br   | Glacial acetic acid | N/A | N/A | 191 |
| Hydrolysis | 1        | 20               | N/A | Br–Br   | Carbon tetrachloride and water | Water | N/A | 190 |
| Depolymerized | N/A      | 20–25            | N/A | 1-Butyl-3-methylimidazolium bromide | Diethyl ether and ethyl acetate | Surfactant | 189 |

N/A: not available.
| Lignin source/type | Time (h) | Temperature (°C) | pH | Reagent | Solvent | Separation | Property improvement | Yield (%) | Application | Ref. |
|--------------------|----------|------------------|----|---------|---------|------------|--------------------|-----------|-------------|------|
| Epoxidated         | 3        | 80               |     | Alkaline| Formaldehyde | Filtration and water | N/A      | N/A         | Heavy metal adsorbent | 197 |
| Oxidized           | 5        | 90               | 10  | Formaldehyde | Filtration | N/A      | N/A       | Heavy metal adsorbent | 60  |
| Alkaline           | 2–6      | 40–90            | 8–13| Water   | HCl      | Molecular weight, nitrogen content | N/A | Lead removal | 108 |
| LignoBoost         | 4        | 60               |     | Acetic  | Dioxane, and formaldehyde | Membrane dialysis | Molecular weight, nitrogen content | N/A | Surfactant and slow-release fertilizers | 196 |
| Epoxidated         | 4–6      | 80               |     | Alkaline| H₂N-R-NH₂ | Water | Acetone | Decomposition temperature decreased | N/A | Curing agents of epoxy resin | 112 |
| Carboxylated       | 3        | 80               |     | Alkaline| Formaldehyde, and dioxane | Membrane dialysis | Removing both anionic and cationic dyes | N/A | Flocculant | 205 |
| Sulfuric acid treated | 4–48    | 50               |     | Acetic  | Formaldehyde, and dioxane | Ethyl acetate | Solubility | ~0.6–63 | Retention aid | 199 |
| Kraft              | 1        | 20–25            |     | N/A     | Formaldehyde | Filtration and isopropanol | N/A | 97 | Coagulant in wastewater | 198 |
| Enzymatic hydrolysis | 2       | 20               | 3   | Formaldehyde, and acetone | Membrane dialysis | Efficiency in higher pH | N/A | Flocculant for anionic azo dyes | 201 |
| Sulfonated         | 4        | 85               | 12  | Water   | Membrane dialysis | Hydrop hilicity | N/A | Enhanced the enzymatic hydrolysis of lignocellulose | 206 |
| Lignin source/type | Reaction conditions | Separation | Property improvement | Yield (%) | Application                                  | Ref. |
|-------------------|---------------------|------------|---------------------|-----------|----------------------------------------------|------|
| Lignosulfonate    | 4 85 12             | Membrane dialysis | Fluidity | N/A       | Clay-tolerance sacrificial agent             | 207  |
| Softwood kraft    | 1 70 12.5           | Membrane dialysis | Solubility, charge density | N/A       | Flocculant for dye removal                   | 208  |
| Hardwood organosolv, and enzymatic hydrolysis | 20 60 Alkaline | Dialyzed | Solubility | N/A       | Removal of sulfate, kaolin, and humic acid | 209  |
| Sulfuric acid treated | 4–72 60 N/A | Formaldehyde Membrane dialysis | Brightness of the paper | 78–90 | Retention aid in papermaking                | 200  |

N/A: not available.
### Table 17  Harsh oxidation reaction on lignin

| Lignin source/type              | Time (h) | Temperature (°C) | pH     | Catalyst     | Oxygen pressure atm | Solvent          | Separation      | Remark                                         | Yield (%) | Ref. |
|---------------------------------|----------|------------------|--------|--------------|--------------------|-------------------|-----------------|------------------------------------------------|-----------|------|
| Organosolv beech wood           | 1.5–3    | N/A              | N/A    | N/A          | 1                  | Water            | Ethyl acetate   | Formation of quinones and phenol derivatives | N/A       | 231  |
| Precipitated hardwood Alkali    | 0.08–0.016 | 95–160         | Acidic | HO–OH        | N/A                | H₂SO₄            | H₂SO₄          | Formic acid and acetic acid                   | 41        | 212  |
|                                 | 0.15–2   | 20               | N/A    | Acetic acid  | N/A                | N/A              | N/A            | N/A                                            | N/A       | 229  |
| Organosolv beech wood           | 5        | 20–25            | N/A    | N/A          | N/A                | Acetonitrile-d₃  | Filtration     | One-pot two-step reaction                     | N/A       | 231  |
| Eucalyptus black liquor         | 2        | 150–190          | Alkaline | Cu²⁺, Co²⁺  | 10–15              | Water            | N/A            | Phenolic compound production                 | 3.5       | 211  |
| Aspen                           | 12–48    | 110              | Acidic |              | 2                  | HNO₃, CH₃CN, HCl, water | Evaporation | Two-step reaction                             | N/A       | 232  |
Table 17 (Contd.)

| Lignin source/type                        | Time (h) | Temperature (°C) | pH   | Catalyst | Oxygen pressure atm | Solvent | Separation          | Remark                                                                 | Yield (%) | Ref.   |
|------------------------------------------|----------|------------------|------|----------|--------------------|---------|---------------------|----------------------------------------------------------------------|-----------|--------|
| Curaua fibers and sugar cane bagasse    | 0.5      | 55               | N/A  | Cl       | N/A                | CH₃COOH | Centrifuging and water | Lower molecular weight products. Elimination of quinones             | N/A       | 233    |
| Kraft                                    | 1        | 450              | N/A  | TiO₂, Ta₂O₅–IrO₂ | N/A                | Ethanol–isopropanol | N/A                 | Lower molecular weight products                                      | N/A       | 223    |
| Softwood and hardwood                    | 0.5–12   | 100              | N/A  | Polyoxometalate, and HO–OH | N/A                | Water | N/A                 | Vanillin and syringaldehyde                                          | 5         | 7      |
| Softwood and hardwood kraft              | 0.08–4   | 90–110           | Alkaline O₂ | ~6.9–8.8 | Water | HCl                | Lower molecular weight products                                      | N/A       | 220    |
| Softwood kraft                           | 0.5      | 95               | Alkaline Fe³⁺ | ~9.8     | Water containing Fe³⁺ | H₂SO₄   | Lower molecular weight products and vanillin                         | 20        | 219    |
| Organosolv                               | 40       | 135              | N/A  | (HTc) and V(acac)₃/Cu(NO₃)₃·3H₂O | 9.8     | Pyridine | N/A                 | Veratraldehyde and veratric acid                                     | 22–30     | 234    |
| Softwood kraft                           | N/A      | 150              | 14   | O₂       | ~9.8               | Water | N/A                 | Vanillin                                                             | ~3.5–8    | 235    |
| Softwood                                 | N/A      | 160              | 13   | CuSO₄    | ~1.9               | Water | N/A                 | Vanillin                                                             | N/A       | 217    |
| Poplar, maple, and maize                 | 28       | 65               | Acetic | 2        | HNO₃, CH₃CN, HCl  | Evaporation | Low-molecular-weight aromatics                                      | N/A       | 236    |
| Hydmlyzed                                | N/A      | 80               | Acidic HO–OH | N/A     | Sulfuric acid      | Filtration and acidification | Forming soluble fraction of oxidized hydrolyzed lignin              | 22–78     | 237    |
| Sugar-cane                               | N/A      | ~99.8–139.8      | N/A  | PdCl₂, and γ-alumina | ~1.9–9.8 | Water | N/A                 | Aromatic aldehyde                                                   | 12        | 221    |
| Soda                                     | 10–120   | N/A              | Acidic HO–OH | N/A     | Water              | Filtration     | More carboxylic groups rather than ketones or aldehydes            | N/A       | 238    |

N/A: not available.
will not contribute significantly to the oxidation cost, it has lower selectivity and produces low molecular weight acids.

Generally, although the mild oxidation pathways could be affordable, they are not effective in significantly altering the hydrophobicity of lignin. Therefore, the lignin-based materials produced in some pulping and biorefining processes may need a stronger oxidizing agent.

Silylation

Silica can be introduced on both phenolic and aliphatic hydroxy groups of lignin under an S_N2 reaction mechanism (Fig. 1). Silica and derivatives of silane have been used as flame retardants. Dissimilar to carbon-based polymers, silicas generate inorganic silica under combustion. Silica residues could hamper fire deployment by restraining mass and heat transfer. Basically, no toxic emissions are produced from the combustion of silicas. Hence, silica foams are highly favorable to be used in applications, such as construction building (e.g., acoustic designs). Lignin–silica products have been used as gaskets, sealing materials, metal and organic adsorbents, as well as adsorbents for heavy metal ions and dyes. They have also been reported as effective electrochemical sensors, polymer fillers, and biosorbents for removing toxic substances from aqueous solutions.

Table 19 shows the grafting of various silica on lignin. This reaction is generally conducted by introducing reagents including tetraethyl orthosilicate, silicon dioxide, sodium metasilicate, and tetraethoxysilane into lignin in a solvent (pyridine, ethanol, water, and dioxane) environment at 25–35 °C for 1.5 to 24 h. Although the lignin–silica reaction is popular in producing composites, these reactions are associated with drawbacks. For example, the two-step method used to produce lignin/silica composites intensely agglomerates composite particles. Also, the consumption of surfactants and various costly coupling agents in the preparation process of lignin/silica composites makes this process complicated and costly. Thus, there is a need for the expansion of a one-pot method for the lignin/silica composites’ preparation, mainly in aqueous media.

Modified lignin’s characteristics

Hydrophilic lignin

Lignin is known for its complexity due to having hydrophilic groups attached to its hydrophobic rings. Chemical modifications (e.g., carboxyalkylation and sulfoalkylation) would render it more hydrophilic. The applications for hydrophilic lignin products can expand extensively to an adsorbent, stabilizer, and dispersant for emulsions, clay suspensions, coal/water slurry, dye suspensions, and cement admixtures. However, it is mostly favored to be used as a dispersant or flocculant for emulsion and suspension systems.

Various reactions render lignin anionic by introducing a negative charge into its backbone, of which carboxyalkylation, sulfoalkylation, and oxidation are the most common ones. The reaction temperature preferred for performing carboxymethylation, carboxyethylation, and sulfoalkylation is mostly below 90 °C, but sulfoalkylation is generally carried out at higher temperature (Tables 5–8). In addition, sulfoalkylation has mostly been performed in a solvent-free environment, which is highly favorable.

Comparing the reaction routes stated above, while both carboxyalkylation and sulfoalkylation are conducted in alkaline media, sulfoalkylation seems to be less favorable due to several reasons: (1) an oxidation reaction is suggested to perform prior to sulfoalkylation to increase the reaction yield, which is unfavorable since it has a dramatic impact on the performance of the sulfoalkylation reaction; (2) the use of formaldehyde in sulfoalkylation is a major downside due to its toxicity; and (3) the sulfoalkylation reaction is a slow reaction that occurs at high temperature.

Carboxyethylation and sulfoalkylation have more advantages than carboxymethylation and sulfoalkylation, since they grant lignin with a higher anionic charge density stemming from the occurrence of the reaction on both aromatic and aliphatic hydroxy groups of lignin.

Cationic lignin is produced by the addition of a positively charged group to its backbone. While lignin cationization has not been carried out as extensive as the anionization in the past, producing cationic lignin through amination is a well-known method of cationization, which further fosters its potential use in various applications, e.g., flocculant, adsorbent, surfactant.
| Lignin source/type          | Time (h) | Temperature (°C) | pH  | Catalyst               | Oxygen pressure atm | Solvent | Separation | Remark                                                                 | Yield (%) | Ref. |
|-----------------------------|----------|------------------|-----|------------------------|---------------------|---------|------------|-------------------------------------------------------------------------|-----------|------|
| Proto                       | 2.5      | 170–180          |     | Alkaline               | N/A                 | Water   | N/A        | Product ratio depends on the material source                           | Syringaldehyde 36%, vanillin 15% | 244  |
| Alkali                      |          |                  |     |                        |                     |         |            |                                                                         | Syringaldehyde 25%, vanillin 10% |      |
| Hardwood                    | N/A      | 80–160           | 9   | Alkaline               | HO–OH               | N/A     | Water      | Lower molecular weight products                                         | 30–50     | 212  |
| Calcium lignosulfonate      | 1.5      | 80               |     | HO–OH                 | N/A                 | Water   | N/A        | Foam height and half-life decreased                                      | N/A       | 57   |
| Eucalyptus black liquor     | 2–2.30   | 150              |     | Alkaline               | Cu(II), and Co(II) | 10–15   | Water      | Lower phenolic aldehyde compared to other lignin oxidants CuO and nitrobenzene | 4         | 211  |
| Eucalyptus black liquor     | 2–4      | 170–190          |     | Alkaline               | N/A                 | Water   | N/A        | Nitrobenzene is more effective than copper(II) oxide                    | 15–18% by using nitrobenzene, 7–8% by using CuO | 218  |
| Alkali Thio                 | 2        | 180              |     | Alkaline               | N/A                 | Water   | Filtration  | Product ratio depends on the material source                           | Vanillin 17%, Vanillin 6.6%       | 248  |
| Softwood kraft              | 1        | 78–110           |     | Alkaline               | MgSO₄               | 2.72    | Water      | Increase in tensile strength                                            | 43–50     | 249  |
| Proto                       | 4        | 160              |     | Alkaline               | N/A                 | Water   | N/A        |                                                                         | Vanillin 4% Vanillin 3%          | 245  |
| Sugar cane, red spruce kraft, and hardwood organosolvent | N/A | 20–25 | N/A | HO–OH | N/A | Acetic acid | Filtration | Increase in carboxylic acid content                                     | 98        | 243  |
| Softwood kraft              | 1–3      | 60–100           |     | Alkaline               | HO–OH               | N/A     | Water Membrane | Decrease in molecular weight, increase in charge density and degree of the carboxylate group. Dispersant for kaolin suspensions | N/A       | 240  |
| Proto                       | 3        | 160              |     | Alkaline               | N/A                 | Water   | Filtration  | Half of lignin combined through C–C linkages                           | Syringaldehyde 13%, vanillin 8.5% | 250  |
| Native                      | 2        | 180              | 8.9 | Alkaline               | N/A                 | Water   | Filtration  | N/A                                                                     | Vanillin 25% Vanillin 14%        | 251  |

N/A: not available.
Hydrophobic lignin

Hydrophobic lignin and its derivatives have a strong interaction with other materials in organic solvents, and are almost insoluble in water. Reactions improving the hydrophobicity of lignin include esterification, alkylation, methylation, epoxidation, propylation, and oxypropylation, as they introduce hydrophobic groups into lignin's structure.

In comparing these reaction routes, while all are mostly conducted under alkaline conditions, alkylation and epoxidation benefit from a relatively lower reaction temperature (below 90 °C). On the other hand, a broad and high reaction temperature range in oxypropylation (40–285 °C) and prolonged reaction time (72 h) in alkylation, as well as in methylation (if conducted at room temperature) might be the drawbacks of some of these modification pathways. In addition, oxypropylation could render lignin more hydrophobic than esterification, which is favorable for some applications, such as foams and composites.

Although the abovementioned reactions improve the hydrophobicity of lignin, each endows lignin with different features; the thermal resistance of lignin was reported to be improved via alkylation, and thus it promotes the application of alkylated lignin as a plasticizer in polymer blends. On the other hand, epoxy lignin was reported to have a lower glass transition temperature than untreated lignin. Therefore, these reactions make products suitable for thermoplastics, plastic blends and carbon fibers. However, none of the mentioned reactions are environmentally friendly, as reagents in these reactions are mostly toxic and carcinogenic.

Applications for modified lignin

Lignin is a polymer with tremendous potential for its use in various industries. Table 20 shows the reactions conducted on lignin for specific applications, as well as drawbacks of lignin properties for the desired applications, which were improved through modification reactions. As seen, various reactions have been carried out on lignin to improve its charge density and solubility/hydrophilicity to be used as a dispersant, such as sulfomethylation for coal–water slurry and concrete admixture, sulfobutylation for coal–water slurry and carbendazim, carboxymethylation for oil–water emulsions, crude bitumen emulsion, clay, cement, and graphite suspensions, halogena-sation for surfactant, animation of cationic surfactants, and cat-ionic asphalt emulsifier productions.

Phosphorylation, hydroxymethylation, and oxypropylation reactions make modified lignin a good alternative for oil-based polyols used in polyester and polyurethane productions through improving lignin properties, such as tensile strength,
molecular weight, viscosity, and glass-transition temperature. In addition, phosphorylation, carboxyethylation, amination, silylation, and sulfomethylation make lignin a polyelectrolyte with applications in aqueous systems by enhancing its selectivity and thermal stability.

Phosphorylation, epoxidation, and amination (curing agents of epoxy resin) increase lignin’s molecular weight and thermal stability, which further promote lignin’s application in the epoxy resin industry. Epoxy resins possess a wide range of applications, such as flooring, electronic laminates, industrial coatings and adhesives and high-performance composites. However, a slow curing rate, limited water solubility, and brittleness are the negative aspects of lignin-based epoxy resins. Lignin-based adhesives could be produced by hydroxymethylation and epoxidation. They could also be applied to mimic lignin antioxidant and anti-bacterial properties.

Miscibility of the polymers is a critical factor in applications such as polymer blends. Although two polymers’ miscibility is not favorable entropically, it could be improved if the polymers involved in blending have intermolecular interactions since the negative enthalpy of mixing overcomes the opposite entropy. Using lignin in polymer blends develops a convenient and powerful pathway to produce novel and functional green materials. It should be stated that polar synthetic polymers generate an intermolecular hydrogen bonding with lignin, while non-polar ones generally generate immiscible blends, showing distinct \( T_g \) points for two immiscible phases. In the past, lignin had been used in blending with synthetic polymers. Lignin’s phenolic hydroxy groups tend to contribute more in forming hydrogen bonds with other polymers in a blend than its aliphatic ones due to the higher acidity of lignin’s phenolic hydroxy group. 

Previously, alkylation, acetylation, methylation, and esterification of lignin have been carried out to increase the compatibility of lignin with various synthetic polymers in polymer blend applications.

Lignin alkylation and acetylation have reported modulating its chemical and thermal reactivities, which further leads to a thermal improvement in a polymer blend. Comparing alkylated and acetylated lignin with the unmodified lignin, it is found that the thermal stability of the polymer blends was improved when modified lignins were used in blends' composition.

### Table 20: Different reactions conducted for desired applications

| Application                          | Shortcomings of lignin          | Reaction              | Ref.       |
|--------------------------------------|---------------------------------|-----------------------|------------|
| **Dispersant**                       | Charge density                  | Sulfomethylation      | 20, 21, 57, 100, 101, 104 and 107 |
|                                     | Solubility/wettability          | Sulfonation           | 95         |
|                                     |                                 | Sulfobutylolation      | 109, 110 and 111 |
|                                     |                                 | Carboxymethylation     | 40, 116 and 120–122 |
|                                     |                                 | Carboxyethylation      | 123        |
|                                     |                                 | Oxidation              | 240        |
|                                     |                                 | Esterification         | 173        |
|                                     |                                 | Oxyalkylation          | 149        |
|                                     |                                 | Halogenation           | 189        |
| **Surfactant**                       | Hydrophilicity/phobicity        | Sulfonation           | 92         |
|                                     | Molecular weight                | Alkylation             | 42         |
|                                     |                                 | Amination              | 196        |
| **Plasticizer**                      | Charge density                  | Esterification         | 159 and 175 |
|                                     | Hydrophilicity                  | Sulfonation           | 90 and 91  |
|                                     |                                 | Oxyalkylation          | 150        |
|                                     |                                 | Esterification         | 159        |
|                                     |                                 | Alkylation             | 186        |
| **Flame-retardant**                  | Thermal and oxidative stability | Phosphorylation        | 38, 39, 45, 47, 48, 53 and 139 |
| **Additive in polyurethane**         | Tensile strength                | Lignin–silica         | 256        |
|                                     | Glass-transition temperature    | Phosphorylation        | 47         |
|                                     | Molecular weight                | Phenolation            | 77         |
|                                     | Viscosity                       | Oxypropylation         | 136–140, 142–144 and 148 |
| ** Adsorbent of metal ions in**      | Selectivity                     | Phosphorylation        | 49 and 51  |
| wastewater treatment                | Thermal stability               | Lignin–silica         | 252        |
|                                     |                                 | Sulfomethylation       | 60         |
|                                     |                                 | Amination              | 60 and 197 |
| **Flocculant/coagulant**             | Molecular weight                | Sulfomethylation       | 105        |
|                                     | Charge density                  | Amination              | 198, 201, 205, 208 and 209 |
|                                     | Thermal stability               | Phosphorylation        | 46         |
|                                     | Molecular weight                | Epoxidation            | 112 and 125 |
|                                     | Viscosity                       | Amination              | 112        |
|                                     |                                 | Hydroxymethylation     | 54, 66 and 68–72 |
| **Epoxy**                            |                                 | Sulfonation            | 88 and 93  |
| **Adhesive**                         |                                 | Epoxidation            | 61         |
|                                     | Molecular weight                | Sulfonation            | 96         |
| **Anti-oxidant and anti-bacterial**  | High radical-scavenging activity| Phenolation            | 78, 80 and 83 |
| **Resin**                            | Thermal stability               |                        |            |
|                                     | Cross-linking                   |                        |            |

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Lignin methylation was also observed to impact the thermal stability of the lignin/polyethylene blend, diminishing the degradation temperature of the polyethylene remarkably. Nevertheless, since the lignin’s phenolic hydroxyl groups become entirely masked through methylation, the tendency to form intermolecular hydrogen bonding in polymer blends can be reduced significantly.\(^{257}\)

Lignin esterification was also performed to increase lignin compatibility in polymer blends.\(^{163}\) On the other hand, the esterified lignin’s miscibility strongly depends on the carbon numbers presented in the side chain of the ester in that the miscibility improves with an increase in the ester groups’ chain length. However, the esterified lignin’s interaction in a polymer blend might be similar to the methylated lignin’s interaction due to the masking of the phenolic hydroxy groups via esterification, hindering the hydrogen bonding development.

**Current and future trends**

Lignin has been used as fuel. The price of lignin as fuel varies between 70–150 USD per ton, which is dependent on its moisture content and contaminants.\(^{258}\) Two technologies have been developed to generate lignin in dried powder forms. Lignoboost\(^{\text{TM}}\) that has been implemented at Stora Enso, Sweden, with the production capacity of 50 000 ton per year, and Domtar, NC, USA with the production capacity of 25 000 ton per year. Lignoforce\(^{\text{TM}}\) has also been commercialized at West Fraser Inc, AB, Canada, with the production capacity of 30 t per year. The production of lignin in such quantities will undeniably pave the way to generate lignin derivatives at commercial scales. Table 21 shows the addressable markets of lignin-based products. This table also comprises the estimated market values and capacities as well as the price of the lignin or fossil-based products. As seen, there are products, such as vanillin and phenol, which have been commercially available since 1933 and 2015, respectively, with lower or equal prices to their fossil-based counterparts. Lignin-based carbon fiber is also estimated to be produced commercially in 2020–2025.

While the utilization of lignin might seem limited with current technologies, it is anticipated that lignin would be even more available in future due to the production of lignin in commercial processes, such as LignoForce and LignoBoost,\(^{259,260}\) which can pave the way for its further valorization. Although various modifications have been performed on lignin, there are still some unexplored reactions that could further improve the properties of lignin for different applications. For example, in carboxyalkylation, there is room for carboxypropylation and carboxybutylation of lignin to tune its charge density and hydrophilicity/hydrophobicity. Although sulfomethylation and sulfobutylation reactions have been conducted on lignin, no specific reports have been found in the literature on sulfoethylation and sulfopropylation of lignin, which could be a case of study since different reactions of carboxyalkylation or sulfoalkylation lead to the production of lignin with different properties as they introduce different carbon chain lengths and mimic the hydrophilicity/phobicity of lignin alternatively. In the case of oxalkylation, by far, oxypropylation has been the only reaction carried out on lignin, leaving room for investigating other routes in oxalkylation. Generally, the solvent use and recovery impact the operation costs and ultimately the price of lignin derivatives and the environmental footprints of the developed technologies. If solvent use is necessary for lignin modification, the solvent recovery process is an important aspect of the process from finance and environment perspectives. For developing more industrially attractive and environmentally friendly pathways for lignin valorization, non-toxic reagents and chemistry should be discovered. Furthermore, the use of aqueous systems for lignin alteration would help reduce the environmental impacts of any lignin valorization processes.

As mentioned earlier, lignin has been studied in many applications, such as a dispersant, flocculant, adsorbent, and flame retardant (Table 20), while biological applications for lignin have been barely touched in the literature. For example, lignin’s interaction with organic molecules, such as proteins, antibiotics, bacteria, and viruses could be studied for expanding either lignin-based biomedical applications as well as water and wastewater treatment systems. Using lignin in drug delivery systems, wound dressing, tissue engineering, and pharmaceutical applications could also be investigated. However, proceeding with such applications for lignin requires broad and detailed studies on the toxicity analysis of lignin, which has not been covered extensively as of yet.

**Conclusions**

Lignin is the most abundant aromatic polymer in nature, while its utility has been untapped in industry. Thanks to the numerous studies conducted in exploring lignin chemistry
and structure over the last few years, the applications of lignin have been growing tremendously. This trend shows the increasing level of enthusiasm and interest of researchers in developing lignin-based applications and more importantly, the replacement of aromatic substances with petrochemical origins. Thus, various modifications have been performed on lignin to alleviate or remove the restrictions in the nature of lignin that limits its applications in industry. Some of these reactions are very promising to improve lignin’s reactivity with other materials and compatibility in different environments. This work comprehensively reviewed and compared various points. Despite the reactions mentioned, there is still room for expanding the other possible modification methods. In addition, biological applications of lignin could be studied more extensively to explore further and expand the functionality of this biobased and abundant material. In conclusion, distinguishing the modifications occurring on different parts of lignin, knowing the precise manner of reactions and reaction sites of the modifications, as well as pros and cons of each reaction, can pave the way for understanding and expanding the use of lignin in various processes.

**Conflicts of interest**

There are no conflicts to declare.

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