Outcomes of patients with anemia and renal dysfunction in hospitalized heart failure with preserved ejection fraction (from the CN-HF registry)

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Abstract

Background: Although a large number of studies on heart failure with reduced ejection fraction (HFrEF) have found that anemia and renal dysfunction (RD) independently predicted poor outcomes, there are still few reports on patients with heart failure with preserved ejection fraction (HFpEF).

Methods: Clinical data of HFpEF patients registered in the China National Heart Failure Registry Study (CN-HF) were evaluated and the clinical features of patients with or without anemia/RD were compared to explore the impact of anemia and RD on all-cause mortality and all-cause re-hospitalization.

Results: 1604 patients with HFpEF were enrolled, the prevalence of anemia was 51.0%. Although anemia was associated with increased risk of all-cause mortality and all-cause re-hospitalization in univariate COX regression (p<0.05), multivariate COX model confirmed that anemia was not independently associated with all-cause mortality [hazard ratio (HR) 1.14, 95% confidence interval (CI) 0.85–1.52, p=0.386] and all-cause re-hospitalization (HR 1.13, 95% CI 0.96–1.33, p=0.152). Similarly, RD was not an independent predictor of all-cause mortality (HR 1.18, 95% CI 0.88–1.57, p=0.269) and all-cause re-hospitalization (HR 0.94, 95% CI 0.79–1.12, p=0.488) as assessed in the adjusted COX regression model. The interaction between RD and anemia on end-points events was also not statistically significant. However, anemia was associated with increased all-cause re-hospitalization in patients with New York Heart Association (NYHA) class III-IV.

Conclusions: In patients with HFpEF from CN-HF registry, anemia was common, but was not an independent predictor of all-cause mortality and all-cause re-hospitalization, except for the all-cause re-hospitalization in patients with NYHA class III-IV.

Clinical Trial Registration: http://www.clinicaltrials.gov/ct2/home; ID: NCT02079428.

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1. Introduction

Heart failure (HF) is the severe and end-stage of many cardiovascular diseases, anemia and renal dysfunction (RD) are common comorbidities of HF [1]. The prevalence of anemia in HF patients is approximately 4%–58%, varies widely in different regions and is associated with poor prognosis [2–5]. A large number of studies on heart failure with reduced ejection fraction (HFrEF) have found that anemia and RD independently predicted a worse outcome, and intravenous iron supplementation can improve cardiac function, quality of life and outcome of HF [5,6].

Heart failure with preserved ejection fraction (HfPEF) has received intensive research attention in recent years, the proportion of HfPEF is as high as 22%–73% in HF patients [5]. It was shown that the prevalence of anemia was similar in patients with HFrEF and HfPEF [7,8]. However, the prognostic information of coexisting anemia and RD in patients with HfPEF is poorly described. In this study, it was sought to determine the association between RD and anemia in patients with HfPEF enrolled in the prospective, multicenter China National Heart Failure Registration Study (CN-HF).

2. Methods

2.1. Study population

We used the data from CN-HF (ID: NCT02079428). CN-HF, sponsored by Ministry of Science and Technology of the People’s Republic of China, was a nationwide, hospital-based, multicenter, prospective registry study. This cohort consisted of 7171 HF patients enrolled from 45 hospitals across nine regions in China. Inclusion criteria for CN-HF studies were: (1) Patients discharged from the Department of Cardiology or Cardiovascular; (2) HF was included in the discharge diagnosis or dead diagnosis during hospitalization; (3) Informed consent form was given. Exclusion criteria were: (1) Patients who did not agree to sign an informed consent form; (2) Patients who had participated in the study during the previous hospitalization. Data from the eligible patients were obtained from medical records as well as from face-to-face interviews. All data were recorded in standardized case report forms (CRFs), which were completed before hospital discharge. This recorded information included mainly history and physical examination, diagnostic tests (biomarkers, noninvasive cardiac imaging, invasive evaluation when applicable), treatment (pharmacological treatment, device), in-hospital outcome, follow-up and long-term outcome. Of about 200 variables, 67 variables were mandatory while others were optional.

The study conformed to the Helsinki Declaration and approved by all participating hospital ethics committees.

2.2. Definition of HfPEF

HfPEF was defined by the criteria from Chinese guidelines for the diagnosis and treatment of heart failure 2014 [9], composing the presence of 4 of the following components: (1) Heart failure symptoms and signs; (2) left ventricular ejection fraction (LVEF) ≥50%; (3) Normal-sized left ventricle: left ventricular diastolic dimension (LVDD) ≤60 mm or < 97 ml/m²; and one of the following echocardiographic parameters: a. Left ventricular hypertrophy: >115 g/m² for men or > 95 g/m² for women; b. Left atrial enlargement: >40 mm or > 34 ml/m²; c. Tissue Doppler-verified diastolic dysfunction; (4) Exclusion of valvular heart disease, pericardial disease, hypertrophic cardiomyopathy, or restrictive cardiomyopathy.

2.3. Data collection

Records from all potential HfPEF hospitalizations were obtained from CN-HF main database. The demographic data, comorbidities, physical examination, laboratory indicators, echocardiography, current medication and clinical outcomes were analyzed. Among them, physical examination, laboratory indicators and echocardiography resulted from admission examinations, the comorbidities specifically included the previous medical history and the first diagnosis by the clinician after admission, atrial fibrillation (AF) included a history of previous AF or AF confirmed by electrocardiography after admission.

Estimated glomerular filtration rate (eGFR) was calculated according to the Modification of Diet in Renal Disease (MDRD) equations [10], eGFR (ml·min⁻¹·1.73 m⁻²) = 186 × (creatinine) − 1.154 × (age) − 0.203 × (female × 0.742). RD was defined by an eGFR < 60 ml·min⁻¹·1.73 m⁻² at admission, and anemia was defined as hemoglobin (Hb) < 130 g/l in males and < 120 g/l in females according to the World Health Organization criteria (WHO) [11].

The CN-HF study cohort patients were followed up for at least 3 years after discharge by telephone or outpatient visits every 3–6 month. In this study, our study sample was 1604 patients, after excluding baseline patients who did not meet the HfPEF diagnosis and died during hospitalization (Fig. 1).

2.4. Statistical methods

We described the prevalence of anemia in HfPEF patients, compared the baseline characteristics of patients with anemia and those without anemia, and analyzed the impact of anemia and RD on all-cause mortality and all-cause re-hospitalization. Then, the patients were divided into the following four groups: patients with neither anemia nor renal dysfunction (Anemia-RD−), patients with anemia but no renal dysfunction (Anemia+RD−), patients with renal dysfunction but no anemia (Anemia−RD+), patients with both anemia and renal dysfunction (Anemia+RD+) to further analyze the impacts of anemia and renal dysfunction interactions on the outcomes. In addition, we also performed a subgroup analysis of NYHA class.

Summary statistics (means, SDs, median, interquartile range and proportions) were used to characterize the study population as appropriate. Student’s t-test or Mann-Whitney U test were used to compare the continuous variables whereas chi-square test or Fisher’s exact test were used to compare the categorical date. Kaplan-Meier curves and log-rank test were used to describe time to events and compare the outcomes. Multivariable COX proportional hazard regression model was performed to assess the hazard ratio (HR) of all-cause mortality associated with anemia and RD, and the following covariates were included in the model: baseline age, gender, hypertension, diabetes mellitus, myocardial infarction, hyperlipidemia, atrial fibrillation, heart rate, systolic blood pressure, diastolic blood pressure, NYHA classes, LVEF, eGFR < 60 ml·min⁻¹·1.73 m⁻², serum sodium, serum potassium, serum total cholesterol (TC), high density lipoprotein cholesterol (HDL), low density lipoprotein cholesterol (LDL), triglyceride (TG), brain natriuretic

![Fig. 1. Flowchart. CN-HF = China National Heart Failure Registration Study; HF = heart failure; HfPEF = heart failure with preserved ejection fraction;](image-url)
peptide (BNP)/N-terminal pro-brain natriuretic peptide (NT-proBNP), use of angiotensin converting enzyme inhibitors (ACEI)/angiotensin receptor blockers (ARB), beta-blockers, digital, mineralocorticoid receptor antagonist (MRA), nitrates, stain, aspirin, traditional Chinese medicine and anticoagulant therapy. Statistical analyses were performed using SPSS 23.0. Two-tailed p < 0.05 were considered to indicate statistical significance.

3. Results

Of the 1604 patients, 818 (51.0%) patients were diagnosed as anemia. Patients with anemia were older, had higher NYHA class and higher levels of serum potassium and NT-proBNP, were more likely to receive MRAs and Nitrates; while fewer smokers and drinkers, lower heart rate and diastolic blood pressure (DBP), lower eGFR, lower serum level of LDL and TG, less comorbidity of hyperlipidemia, and less use of ACEIs/ARBs, stain and aspirin as compared to patients without anemia (Table 1).

1416 (88.3%) patients completed the endpoint follow-up. The median follow-up duration was 33.9 months (interquartile range 23.1–40.8 months), incidence of death (17.5% vs. 10.6%) and re-hospitalization (44.9% vs. 37.7%) was higher in anemia group than in non-anemia group. Univariate COX proportional regression showed that anemia (HR 1.74, 95% CI 1.33–2.28, p < 0.001) and RD (HR 1.00, 95% CI 0.65–1.54, p = 0.809) were not statistically associated with in- all-cause mortality and all-cause re-hospitalization, except for age, heart rate, serum sodium, serum potassium, TC, HDL, LDL, TG, NYHA class, BNP/NT-proBNP, ACEI/ARB, digital, MRA, stain and aspirin, extra ANOVA model found that anemia and renal function were not independent predictors of all-cause mortality risk (Table 2). When analyzing the risk factors for all-cause re-hospitalization, univariate COX regression indicated that the HR associated with anemia was 1.27 (95%CI 1.09–1.47, p = 0.003), and the HR associated with RD was 1.17 (95%CI 0.99–1.36, p = 0.054); similarly, the adjusted multivariate COX regression showed that anemia (HR 1.13, 95% CI 0.96–1.31, p = 0.488) and RD (HR 1.00, 95% CI 0.65–1.54, p = 1.000) were not statistically associated with all-cause re-hospitalization risk.

There were 596 (37.2%) patients in the Anemia−RD− group, 446 (27.8%) in the Anemia+RD− group, 190 (11.8%) in the Anemia+RD+ group and 372 (23.2%) patients in the Anemia+RD+ group. Kaplan-Meier survival curves and log-rank test showed a significant difference both in all-cause mortality (p < 0.001) and in all-cause re-hospitalization (p = 0.011) among the four groups (Fig. 2). Compared with the reference group (Anemia+RD+), the adjusted HR in the Anemia−RD− group, Anemia+RD− group and Anemia+RD+ group for all-cause mortality were 0.74 (95% CI 0.50–1.08, p = 0.121), 0.92 (95% CI 0.65–1.31, p = 0.640) and 1.00 (95% CI 0.65–1.54, p = 1.000); the HR in the Anemia−RD− group, Anemia+RD− group and Anemia−RD+ group for all-cause re-hospitalization risk were 0.94 (95% CI 0.76–1.17, p = 0.567), 1.02 (95% CI 0.82–1.26, p = 0.872) and 0.82 (95% CI 0.63–1.09, p = 0.170) (Table 3).

When the association was examined after stratifying by NYHA class (NYHA I-II/NYHA III-IV), incidence of death was 8.3% and re-hospitalization was 34.8% in NYHA class I-II group, and 19.2% and 47.2% in NYHA class III-IV group, respectively. Anemia was an independent predictor of risk for all-cause re-hospitalization (HR 1.32, 95% CI 1.07–1.65, p = 0.011) in patients with NYHA class III-IV, but not in patients with NYHA class I-II.

4. Discussion

This study showed that the prevalence of anemia in HFpEF patients was 51.0% in CN-HF registry, and anemia was not independently associated with all-cause mortality and all-cause re-hospitalization, except for the all-cause re-hospitalization in NYHA class III-IV patients.

The prevalence of anemia in HFpEF patients varies greatly from 15.0% to 79.0% in different reports of different region, different study population, different LVEF and different diagnostic criteria of anemia [2,12–20] (Table 4). The prevalence of anemia in this study was roughly consistent with previous studies.
showed that anemia (Hb ≤ 120 g/l) was associated with 3-year mortality ([23]). Halawa A et al. [24] showed that anemia (Hb ≤ 100 g/l) was associated with 3-year mortality in patients with acute heart failure. In recent years, some subgroup analysis also agreed with this conclusion [2,25]. In these studies, Felker GM et al. included patients of heart failure with mid-range ejection fraction (HFMFEF), Halawa A et al. targeted only at patients with acute heart failure, and the definition of anemia was more stringent.

The impacts of anemia in patients with might be multifactorial. Direct cardiac hypoxia, increased oxidative stress, increased fluid reten-

| Table 2 | Hazard Ratios and 95%CI of anemia associated with cardiac endpoints, classified with renal dysfunction. |
| Table 3 | Numbers of events n (%) | HR | 95%CI | p value |
| All-cause mortality | Anemia—RD— | 52(8.7%) | 0.74 | 0.50–1.08 | 0.121 |
| Anemia+RD | 65(14.6%) | 0.92 | 0.65–1.31 | 0.640 |
| Anemia—RD+ | 31(16.3%) | 1.00 | 0.65–1.54 | 1.000 |
| Anemia+RD+ | 78(21.0%) | 1 | – | – |

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Anemia—RD—, patients with neither anemia nor renal dysfunction; Anemia+RD—, patients with anemia but no renal dysfunction; Anemia—RD+, patients with renal dysfunction but no anemia, Anemia+RD+, patients with both anemia and renal dysfunction.

Fig. 2. Kaplan-Meier survival curve illustrating all-cause mortality (A) and all-cause re-hospitalization (B) on different groups. Anemia—RD—, patients with neither anemia nor renal dysfunction; Anemia+RD—, patients with anemia but no renal dysfunction; Anemia—RD+, patients with renal dysfunction but no anemia, Anemia+RD+, patients with both anemia and renal dysfunction;
following three aspects: First of all, our study population were limited to the hospitalized HFpEF patients. Secondly, we grouped the patients into anemia and renal dysfunction according to admission measurements of hemoglobin and eGFR values, and others further divided the patients into more detailed subgroups according to the degree of anemia and RD [33]. Accordingly, both anemia and renal dysfunction defined in our study may be transitory rather than chronic, these factors should be considered when interpreting the data presented in this study.

In addition, results from previous subgroup studies of HFpEF or heart failure have found that anemia can increase the risk of all-cause mortality in NYHA class I-II patients [2,34]. Thus, we also performed a subgroup analysis of different NYHA class in patients with HFpEF. The results were different from the results of patients with HFpEF or heart failure [2,34].

Two study limitations of our study need to be mentioned: Firstly, multivariate COX regression is used to control confounding bias as much as possible that may affect outcome, but it cannot control the variables that are not measured. The results need to be confirmed in a randomized controlled trial; Secondly, CN-HF included both acute and chronic heart failure patients, but there was no record of acute and chronic heart failure classification, therefore, we cannot analysis the impact of anemia on acute and chronic patients with HFpEF.

5. Conclusions

In summary, the prevalence of anemia in hospitalized patients with HFpEF was 51.0% in CN-HF. Anemia was not an independent predictor of all-cause mortality and re-hospitalization when NYHA class was not considered, meanwhile, there was no interaction between anemia and renal dysfunction in predicting the endpoint event.

Acknowledgements

We would like to acknowledge all the investigators in the research sites for making data available for public use.

1. Qiaoqing Zhong. Chenzhou No.1 People’s Hospital, Chenzhou, China.
2. Yingmin Lu. Xin Hua Hospital affiliated to Shanghai Jiao Tong University School of Medicine Chongming branch, Shanghai, China.
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Sources of funding

This study was supported by the National Science & Technology Pillar Program, 12th 5-year plan of China (2011BAI11B10).

Declaration of competing interest

None.

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