Linked strategy for the production of fuels via formose reaction

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Formose reaction converts formaldehyde to carbohydrates. We found that formose reaction can be used linking the biomass gasification with the aqueous-phase processing (APP) to produce liquid transportation fuel in three steps. First, formaldehyde from syn-gas was converted to triose. This was followed by aldol condensation and dehydration to 4-hydroxymethylfurfural (4-HMF). Finally, 4-HMF was hydrogenated to produce 2,4-dimethylfuran (2,4-DMF) or C9-C15 branched-chain alkanes as liquid transportation fuels. In the linked strategy, high energy-consuming pretreatment as well as expensive and polluting hydrolysis of biomass were omitted, but the high energy recovery of APP was inherited. In addition, the hexoketoses via formose reaction could be converted to HMFs directly without isomerization. A potential platform molecule 4-HMF was formed simultaneously in APP.

Continuously increasing oil prices along with growing concerns about emission of greenhouse gas have made the renewable liquid fuels a focus of attention1–6. Among all the efforts to search for alternative fuel sources, biomass has been considered to be “the only practical source of renewable liquid fuel”7. Currently, there are three main routes to make fuels from biomass: (i) pyrolysis to make bio-oils followed by zeolite upgrading or hydrodeoxygenation to liquid fuels; (ii) gasification to produce syn-gas followed by Fischer-Tropsch synthesis (FTS) to alkanes; and (iii) acid hydrolysis to give sugars followed by aqueous-phase processing (APP) to high-quality liquid fuels8. Among these strategies, the APP converts biomass-derived sugars into H2 or high-quality liquid fuels and it has benign reaction conditions and energy recovery as high as 96%. The APP was developed by Dumesic and co-workers and is believed to be a promising route for industry-scale production8. However, the pretreatment and acid hydrolysis of woody biomass to sugars are costly and may cause environmental concerns. According to National Renewable Energy Laboratory’s estimation, the cost of unrefined sugar monomers in aqueous solution produced from lignocellulose is as high as 12–14 €/kg-sugar9. Moreover, APP cannot use entire organic matter in lignocellulose8. In contrast, the gasification reaction, which is a well established technology, can convert the entire biomass into syn-gas and its process thermal efficiency (PTE) is 77%10. However, during the conversion of syn-gas into liquid fuels by FTS, a large amount of energy is lost and the overall PTE is only 16–43%10. In this respect, a low-cost and high-efficiency refinery technology still remains absence.

Herein, we outline a linked strategy which links the gasification and the APP via formose reaction. As shown in Fig. 1, woody biomass was completely converted into syn-gas at first. Syn-gas was subsequently converted into sugars via linkage reactions and then underwent APP via 4-hydroxymethylfurfuran (4-HMF) platform to produce 2,4-dimethylfuran (2,4-DMF) or C9–C15 branched-chain alkanes as liquid transportation fuels. In the linkage reactions, methanol synthesis has a highest PTE of 85% from syn-gas and could provide additional heat10; the matured production of formaldehyde from methanol has carbon yield of 92% with the theoretical PTE of 94% and could provide additional heat and hydrogen; the formose reaction has carbon yield of 96% and the theoretical PTE of 91% under mild reaction conditions. Thus, all of the linkage reactions provide excellent energy efficiencies and carbon efficiencies. Therefore, the linked strategy retains the advantages yet avoids the shortcomings of both gasification-FTS and hydrolysis-APP routes. It should be noted that, in one hand, as another industrial process, methanol to gasoline (MTG) has high energy efficiency of about 92–93%, but MTG is unable to directly produce diesel and jet fuel which cannot be produced by the zeolite catalyst (ZSM-5) used in this process11. Furthermore, MTG has poor market control ability due to lacking of multifunctional intermediates. In another hand, combined gasification-biological processing is another alternative, such as microbial conversion of syn-gas into ethanol. However, this process has its inherent shortcomings, namely, water-solubility and has low calorific value of ethanol.
The linked strategy to produce liquid fuel assigns another importance of overcoming the energy crisis to the classic formose reaction. Although the linked strategy has relatively long processes, it has ca. 1.2 times energy recovery and ca. twice liquid fuels yield compared with gasification-FTS route. Most importantly, not only the carbon dioxide can be used as the reaction material but also value-added chemicals can be produced as the reaction intermediates. In comparison with the hydrolysis-APP route, the linked strategy achieves the entire use of biomass, and avoids the high energy-consuming pretreatment as well as expensive and polluting pyrolysis. Moreover, rare branched-chain ketohexose was produced. It may not form furan structure by direct dehydration without isomerization, but also form 4-HMF with a 2,4-substituted furan structure which is difficult to synthesize before. As a potential platform molecule, 4-HMF shows broad application prospects. In this report, we not only demonstrated the possibility for the production of a renewable liquid fuel 2,4-DMF from 4-HMF, but also prepared high-octane fuel of C9-C15 branched-chain alkanes. In addition, the linked strategy can also utilize the syn-gas produced from the gasification of coal or the reforming of natural gas and thus expand the repertoire of C1 chemistry.

**Results**

Figure 2 illustrates our approach to convert methanol to 2,4-DMF as liquid transform fuel. First, biomass derived methanol was obtained via gasification and methanol synthesis, and subsequently converted into formaldehyde. Currently, as the largest downstream market of methanol, 35% worldwide methanol is consumed to produce formaldehyde through two mainstream technologies: the BASF process with silver catalyst, and the Formox process with metal oxide catalyst. Theoretically, the aldol condensation of DHA would generate a branched-chain ketohexose (dendroketose), but a small amount of straight-chain ketohexoses including fructose and sorbose were also produced. To interpret the observations, we proposed the following:

\[
\text{CH}_3\text{OH} \rightarrow \text{HCHO} + \text{H}_2 \text{ (dehydrogenation)} \quad \Delta H_r = +84 \text{ kJ/mol}
\]

\[
\text{CH}_3\text{OH} + 1/2 \text{O}_2 \rightarrow \text{HCHO} + \text{H}_2\text{O} \text{ (partial oxidation)} \quad \Delta H_r = -159 \text{ kJ/mol}
\]

In a typical production, between 50 and 60% formaldehyde was formed via partial oxidation, and the total yield of formaldehyde is about 92%. The net result provides additional heat and hydrogen.

Next, to achieve a cost-effective production of liquid fuel from formaldehyde with the potential for commercialization, we designed an integrated continuous process. In details, we carried out the self-condensation of paraformaldehyde catalyzed by thiazolium salts reported by Matsumoto et al. to produce 1,3-dihydroxyacetone (DHA) in high selectivities and yields. From the economical point of view, formalin (an aqueous solution of formaldehyde) is a better feedstock than anhydrous paraformaldehyde. Considering that the thiazolium-based catalysts are immediately deactivated in the presence of water and this deactivation could be released by removing the water, an ingenious process designed by Avison et al. can be used for reference. It was reported that by using this process, 96% selectivity was achieved while the single-pass conversion was ca. 30% (see Fig.S10 for details about continuous process).

As a triose, the carbon number of DHA is not suitable as a feedstock to produce liquid fuels. Noting that the aldol condensation reaction of ketones is a classic method to increase the carbon chain length in organic chemistry, and features several advantages such as high efficiency, high carbon recovery and high energy recovery, we subsequently investigated the aldol self-condensation of DHA to produce hexoses. In a typical aldol condensation reaction, a strong basic condition is required. We screened four commercial strong basic ionic exchange resins, and selected IRA-900(OH\(^{-}\)) as the best catalyst. Theoretically, the aldol condensation of DHA would generate a branched-chain ketohexose (dendroketose), but a small amount of straight-chain ketohexoses including fructose and sorbose were also produced. To interpret the observations, we proposed the following:
that DHA could isomerize to glyceraldehyde (GLYD) under basic conditions, and the subsequent condensation of GLYD with DHA could produce straight-chain ketohexoses\textsuperscript{22,23}. The ratio of produced branched- vs. straight-chain sugars was closely related to the reaction temperature. The branched vs. straight chain sugar ratio dropped from ca.19 to ca.8 as temperature increased from 273 K to 303 K, which could be explained by the promotion of isomerization at higher temperatures. Interestingly, the branched vs. straight chain sugar ratio has an obvious distinction with Ref. 23. The $^{13}$C-NMR experiments further proved the ratio (details in SI). It should be noted that under higher temperatures, the overall sugar yield also decreased, which is due to that DHA and GLYD can isomerize to lactic acid and then irreversibly converted into lactate\textsuperscript{24,25}. Therefore, we selected 273 K as the optimal temperature, and conducted experiments of the continuous aldol condensation of DHA. The experiments showed that nearly 100% of ketohexoses yields were achieved at liquid hourly space velocity (LHSV) below 1 h\textsuperscript{-1}. With the further increase of LHSV, the sugar yield dropped obviously, and incomplete conversion of DHA was detected. Interestingly, the selectivity of branched-chain sugar increased with the increase of LHSV. This could be explained that the production of branched chain sugar does not require the isomerization step and therefore has a faster reaction rate (see table S1, fig.S11 and relate text in Supplementary Information about continuous process).

Unlike hexoaldoses (e.g. glucose) which need to isomerize into hexoketoses before the dehydration to form the furan structure\textsuperscript{12-15}, dendroketose can be directly dehydrated into 4-HMF in high boiling point organic solvents catalyzed by sulfonic acid resins. Our experiment using DMSO as solvent showed over 90% yield of 4-HMF. However, the separation of 4-HMF in this process is difficult and results in high energy consumption. In aqueous solutions, the dehydration of dendroketose is nonselective and produces large amount of by-products besides 4-HMF. Considering the successful application of biphasic system in the production of 5-HMF\textsuperscript{26} and the high selectivity in the conversion of fructose into 5-HMF catalyzed by tantalum compounds\textsuperscript{27}, we investigated the dehydration of dendroketose in a biphasic system catalyzed by tantalum-based solid acid catalyst in a continuous fixed-bed reactor. Under a fixed LHSV of 1 h\textsuperscript{-1}, the selectivity of 4-HMF slightly increased with the higher feed ratio of organic solvent (methyl isobutyl ketone, MIBK) to aqueous dendroketo solution. The space velocity also significantly affected the selectivity of 4-HMF. With the feed ratio fixed at 2:1 (org:aq), the lower LHSV led to a higher conversion of dendroketos but a rapid drop of 4-HMF selectivity, while the increase of LHSV resulted in a lower conversion but a higher selectivity (see table S2, fig. S12 and relate text in Supplementary Information about continuous process).

Combining the process in Fig. 2 together, we have achieved the continuous process from formaldehyde into 4-HMF. Under optimized conditions, the production of DHA from formaldehyde has a selectivity of 96% with a single-pass conversion of ca. 30%; the condensation of DHA into dendroketose achieved 100% conversion and >99% ketohexose selectivity (branched-chain ketohexose : straight-chain ketohexoses = 94:6); the dehydration of aqueous dendroketose into 4-HMF achieved a selectivity of 96% with a single-pass conversion of 71%. Theoretically, the overall process has a carbon efficiency of 100% and the PTE of 92%, with water as the only by-product. At this point, we have demonstrated the feasibility of the production of a potential platform molecule 4-HMF. Because 4-HMF has the same functional group and similar structure with 5-HMF, branched-chain alkanes with the number of carbon atoms ranging from C\textsubscript{9} to C\textsubscript{15} can be produced from 4-HMF via aqueous-phase processing developed by Dumesic and co-workers\textsuperscript{8}.

The feasibility from 4-HMF to C\textsubscript{9} ~ C\textsubscript{15} branched-chain alkanes was demonstrated and a similar process was conducted according to Ref. 8. After condensation of 4-HMF with acetone and hydrogenation of condensation products the step of hydrodeoxygenation was studied (Fig. 3). The catalyst of Pt/C and HZSM-5 was employed. The results showed that the molar yield of alkanes gradually increased with reaction temperature, but the carbon atoms number of the main products decreased from C\textsubscript{13}-C\textsubscript{14} to C\textsubscript{12} due to C-C cleavage in higher temperature under platinum catalyst. Considering the high activity of supported Pt catalyst and to reduce the loss of carbon...
atoms, Pd/C and HZSM-5 was used. Surprisingly, a moderate yield (64% at 553 K) and the carbon atoms number (mainly C14) of branched-chain alkanes were achieved (see GC chromatogram in SI). Notably, when Pt/C was performed without additive of HZSM-5, a half yield of alkane (36% at 543 K) was obtained due to the lack of acidity of HZSM-5 to deoxygenate.

Note that it is a good strategy to maximize the advantage of reaction intermediates and balance the high-value/low-volume products with high-volume/low-value fuels28. 4-HMF is a compound with a rare β-substituted furan structure which can be converted into 2,4-DMF as a liquid fuel with high energy-density/low water solubility via high-value intermediates such as 2 and 3 (Fig. 4). Dumesic and

Figure 3 | Carbon selectivities from hydrodeoxygenation processing of various reaction temperature and catalysts.

Figure 4 | β-substituted furans compounds formed by removal of oxygen atoms from 4-HMF. (a) Reaction pathways for conversion of 4-HMF (1) to 2,4-DMF (4) through 2,4-furandimethanol (2) and 2-methyl-furan-4-methanol (3) via hydrogenation and hydrogenolysis (black arrows), or to 3-methylfuran (6) through furan-3-methanol (5) via decarbonylation and hydrogenolysis (grey arrows). 2,4-tetrahydrofurandimethanol (7), 2,4-dimethyltetrahydrofuran (8) and 3-methyltetrahydrofuran (9) are the byproducts and byproduct intermediates of hydrogenation of the furan ring. Dark grey boxes highlight reactant, product and primary intermediates. Light grey boxes highlight key byproducts and byproduct intermediates. (b) High performance liquid chromatography of reactant (1), product (4), primary intermediates (2 and 3), key byproduct (5) and key byproduct intermediate (6). According to the different retention times and different maximum absorption wavelength, we can qualitative analyze these compounds.
co-workers have developed a neat process in which 5-HMF is directly hydrogenated into 2,5-DMF\(^\text{29}\). Taking this as a reference, we have developed a catalytic process for the production of 2,4-DMF from 4-HMF.

Previous studies show that CuCrO\(_4\) selectively converts furfural into furfuryl alcohol and 2-methylfuran\(^\text{30}\), and 5-HMF into 5-methylfurfuryl alcohol and 2,5-DMF\(^\text{31}\). However, the hydrogenation of 4-HMF, which has a structure of \(\beta\)-substituted furanmethanol, remains a challenge. Table 1 summarizes the results of the liquid-phase hydrogenation of 4-HMF. Employing CuCrO\(_4\) as catalyst, >99% compound 2 was yielded at 393 K, whereas >99% compound 3 was yielded at 493 K. However, the \(\beta\)-hydroxymethyl could not be reduced into methyl. When using Cu-Ru/C catalyst, the \(\beta\)-hydroxymethyl could be reduced and 2,4-DMF was obtained, despite the yield was only 10%. Extending the reaction time resulted in a drop of 2,4-DMF yield, due to the increase of hydrogenation of the furan ring or ring decomposition products. Increase of the loading of Ru gave a 2,4-DMF yield of 19\%, but significant increase of byproducts 5 and 6 were detected due to the activation of carbonyl group by Ru. To suppress the decarbonylation reaction and reduce the loss of carbon, we developed a two-step method. 4-HMF was firstly converted into compound 3 with high selectivity by CuCrO\(_4\) catalyst. Subsequently, Cu-Ru/C was employed to hydrogenate the \(\beta\)-hydroxymethyl. By this method, the decarbonylation was efficiently inhibited, and the 2,4-DMF yield was improved to 35\%. Increasing the pressure of hydrogen in step 2 and shortening the reaction time led to incomplete conversion of compound 3, but an increase in 2,4-DMF selectivity. Based on this phenomenon, we used low pressure hydrogen and increasing the system pressure by filling CO\(_2\) and successfully achieved the conversion of 4-HMF into 2,4-DMF as liquid fuel with a yield of 72\%.

**Table 1 | Hydrogenolysis of 4-HMF**

| Run | Catalysts | \(\text{H}_2\) pressure/bar\(^\text{1}\) | Temp. / K | Time/h | Conversion/% | Products distribution/% |
|-----|------------|------------------|----------|-------|-------------|------------------------|
| 1   | CuCrO\(_4\) | 10               | 393      | 3.0   | 100%        | 99 — — — — — — — — |
| 2   | CuCrO\(_4\) | 10               | 493      | 3.0   | 100%        | 15 60 10 7 8 — — — |
| 3   | 15%Cu-5%Ru/C | 10              | 493      | 3.0   | 100%        | 0 12 5 15 9 — — — |
| 4   | 15%Cu-5%Ru/C | 7               | 493      | 10.0  | 100%        | 0 12 19 17 10 0 12 5 15 9 |
| 5   | CuCrO\(_4\) | 10               | 493      | 3.0   | 100%        | 15 60 10 7 8 — — — |
| 6   | 15%Cu-10%Ru/C | 10              | 493      | 3.0   | 100%        | 0 12 19 17 10 0 12 5 15 9 |
| 7   | 15%Cu-10%Ru/C | 10              | 493      | 3.0   | 100%        | 0 12 19 17 10 0 12 5 15 9 |
| 8   | CuCrO\(_4\) | 10               | 493      | 3.0   | 100%        | 0 4 72 2 1 0 4 72 2 1 |

\(^\text{1}\) Initial pressure at 293 K.

**Discussion**

The linked strategy reported here for conversion of formaldehyde to 2,4-DMF or \(\text{C}_9\text{-C}_{15}\) branched-chain alkanes as liquid fuels utilizes formose reaction to synthesis fuels based on 4-HMF. This strategy not only combined the advantages of gasification-FTS route and hydrolysis-APP route, but also is important because the hexoketoses from formaldehyde could be easily converted to HMFs without isomerization which is the biggest obstacle in the development of sustainable HMF-based economy in an industrial scale. We have built an continuous process to convert formalin to 4-HMF and demonstrated the feasibility of producing 2,4-DMF or \(\text{C}_9\text{-C}_{15}\) branched-chain alkanes from 4-HMF. Although challenges remain for industrial application, this study opens a new path for the biomass to liquid transportation fuel via formose reaction and put forwards a new type of voluminously available platform molecule, 4-HMF.

**Methods**

**Conversion of formaldehyde to dihydroxyacetone (DHA).** Preparation of Catalysts: The thiazolium ylide catalysts were prepared by reacting N-alkylthiazolium halides or N-alkylbenzothiazolium halides with the corresponding organic base under nitrogen. All chemicals were purchased from Alfa Aesar. For example, 3-hexylbenzothiazolium bromide (5.0 g, 16.7 mmol), triethylamine (2.3 mL, 16.7 mmol) and dioxane (50 mL) were heated at 353 K under nitrogen and stirred for 12 h. After cooling, the precipitated triethylammonium bromide was filtered. The filtrate was called catalyst solution.

Condensation Reaction: Paraformaldehyde (50 g, 1.67 mol as formaldehyde) and dioxane (450 mL) were heated at 373 K under nitrogen and then catalyst solution (50 mL) was added. The mixture was stirred at 373 K for 1 h. After the prescribed time the reaction was quenched in ice water. The reaction mixture was analyzed by HPLC (analytical methods in SI). Analysis of the reaction mixture showed that the yield of DHA is 85\% and the conversion of formaldehyde is 99\%. The reaction mixture was evaporated to remove the solvent. The residue was poured into water (100 mL) and extracted with dichloromethane (100 mL) three times to recycle the catalyst. The aqueous solution could be used directly in the following step or isolated to white powder of DHA dimer by recrystallization with ethanol and acetone. Detail experiments about continuous process for conversion of formaldehyde to DHA see reference 20, 21 and fig. S10.

**Conversion of DHA to hexoses.** Batch process: 5 mL of DHA aqueous solution (10 wt\%) and Amberlite IRA-900 basic ion exchange resin (0.5 g) were stirred at setting temperature for 12 h. After filtration to remove the resin, the filtrate was analyzed by HPLC. Experimental data see Table S1.

Continuous process: A fixed bed reactor with an inner diameter of 10 mm and a height of 382 mm, equipped with an ethanol jacket for cooling was used for conversion of DHA to hexoses. The Amberlite IRA-900 basic ion exchange resin was packed in the reactor and 10 wt\% DHA aqueous solution was fed at a constant flow-rate through the reactor at 273 K. The liquid effluent was collected for quantitative analysis by HPLC. The aqueous solution could be used directly in the following step or isolated to white powder of dendrotokese by recrystallization with ethanol and acetone. Experimental data see Table S1.
Batch process 1: The dendroketose (0.5 g, 2.8 mmol) was dissolved in DMSO (2.5 ml), to which was added Amberlyst-15 in acetic acid (0.25 g). This mixture was stirred at 383 K for 5 hours and then cooled to room temperature and filtered. The product mixture was diluted with a known mass of deionized water, centrifuged to precipitate insoluble products, and analyzed by HPLC.

Batch process 2: A biphasic reaction system was employed to convert dendroketose to 4-HMF. A mixture of dendroketose (2.4 g, 13.3 mmol) and TA-p (2.5 ml), to which was added Amberlyst ion exchange with the catalyst TA-p. After centrifugation to precipitate insoluble products, and analyzed with HPLC.

Continuous process: The continuous process for conversion of dendroketose to 4-HMF was carried out in a down-flow fixed bed reactor. The tantalum-based solid catalyst TA-p was pelleted as follows: TA-p (100 g) was mixed with sesbania gum (1 g) in water and dried overnight at 333 K in dry oven. The mixture was tableted and calcined in air to 900 K (1 K/min) and held at this temperature for 3 h. The tablets of catalysts were crushed and sieved into 40-60 mesh granules. The catalyst granules were loaded into an 8 mm tubular zirconium reactor with the volume of 14 ml. The catalyst bed was placed in two plugs of quartz wool, and the fixed bed reactor was mounted inside a heat furnace (Tianjin Aoxian Co., Ltd.). Reaction temperature and reaction pressure were monitored by Artificial Intelligence Distributed Control System (AIDCS, YuDian Tech Co. Ltd.). Reactor pressure was controlled with a back pressure valve (Tescom 44-18) and reaction temperature was controlled by an AI-518P temperature controller (YuDian Tech Co. Ltd.). Prior to introduction of feed, the reaction system was filled with nitrogen. Upon reaching the desired reaction temperature and pressure (453 K, 5.5 MPa H2 (ambient temperature) for 4 h with a stirring speed of 800 rpm. After reaction, the reactor was cooled in ice water and depressurized to 0.5 bar, distilled to give the product of 4-HMF. Experimental data see Table S2.

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**Author contributions**

J.D. and Y.F. designed experiments; J.D., T.P., Q.X. and M.-Y. C. performed the experiments; J.D., T.P., Q.-X. G. and Y.F. analyzed the data; and J.D., T.P., Q.X. Y.Z. and Y.F. wrote the paper.

**Additional information**

Supplementary information accompanies this paper at http://www.nature.com/scientificreports

Competing financial interests: The University of Science and Technology of China has filed a WO provisional patent on the technology described in this manuscript.

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