Finite-temperature dynamics of matter-wave dark solitons in linear and periodic potentials: an example of an anti-damped Josephson junction

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We study matter-wave dark solitons in atomic Bose-Einstein condensates at finite temperatures, under the effect of linear and periodic potentials. Our model, namely a dissipative Gross-Pitaevskii equation, is treated analytically by means of dark soliton perturbation theory, which results in a Newtonian equation of motion for the dark soliton center. This reduced model, which incorporates an effective washboard potential and an anti-damping term, constitutes an example of an anti-damped Josephson junction. We present a qualitative (local and global) analysis of the equation of motion. For sufficiently small wavenumbers of the periodic potential and weak linear potentials, the results are found to be in good agreement with pertinent ones obtained via a Bogoliubov-de Gennes analysis and direct numerical simulations.

I. INTRODUCTION

During the last years, matter-wave dark solitons have been the focus of many research efforts in the physics of ultracold atoms, due to their ubiquitous presence in the formation and nonlinear dynamics of atomic Bose-Einstein condensates (BECs) 1, 2. Importantly, these efforts have been driven by considerable experimental developments along this direction. In particular, experimental investigations on matter-wave dark solitons started over one decade ago 3–6; however, since the soliton lifetime was rather limited (either due to the instability of dark solitons in higher-dimensional setups, or due to the detrimental effect of strong thermal fluctuations), these early experiments were not able to characterize many of the soliton properties. Nevertheless, there has been a new generation of more controllable experiments 7–11, which have been carried out at extremely low temperatures and –at least in some cases– in more quasi one-dimensional (1D) setups, so as to enable the definitive observation of robust moving, oscillating and potentially interacting dark solitons, in very good agreement with the corresponding theoretical predictions. Furthermore, dark solitons were also observed recently in multicomponent BECs, where they were coupled either with dark or bright solitons, thus forming “dark-bright” 12–15 or “dark-dark” 14, 16 soliton states, respectively.

In the same context, and since BEC experiments are obviously performed in the presence of thermal fluctuations, the study of matter-wave dark solitons in finite-temperature BECs is a quite relevant and interesting problem. Pertinent theoretical studies have been performed in various settings and using different approaches (see, e.g., Refs. 17–24). A relevant – and analytically tractable – mean-field model that has recently gained attention 18, 20, 22–25 (also in the context of vortices 20) is the so-called dissipative Gross-Pitaevskii equation (DGPE). This model, which was first introduced phenomenologically 26 and later was justified from a microscopic perspective 27, can describe accurately finite-temperature-induced soliton decay: results stemming from a perturbative study of soliton dynamics in the framework of DGPE, compares favorably to ones obtained by the more accurate stochastic Gross-Pitaevskii model 20, 22, 23 (the latter, is a grand canonical theory of thermal BECs, containing damping and noise terms which describe interactions of low-energy atoms with a high-energy thermal reservoir 28).

Our aim in the present work is in a sense two-fold. On the one hand, we aim to study matter-wave dark solitons in thermal BECs in an experimentally relevant and realizable setting. On the other hand, we are aiming to reverse engineer an interesting dynamical system that has been studied in the context of damping (and external constant driving) in some detail in the context of Josephson junctions; see relevant details in Ch. 2 of Ref. 29 and Ch. 8 of Ref. 30 for physical and mathematical aspects, respectively. The present setting of BECs enables the possibility of analyzing this dynamical system in the presence of an anti-damping mechanism induced by the coupling of the dark soliton to thermal fluctuations.

In particular, we focus on the examination of matter-wave dark soliton dynamics in the presence of the following effects: (i) coupling to thermal excitations, described in the framework of the DGPE model discussed above; (ii) a (weak) periodic, so-called optical lattice (OL) 31, potential 32; (iii) an (also weak) electric or gravitational field, inducing a linear external potential – see, e.g., Ref. 33 for an experimental realization.

The steps of our analysis, and the structure of our presentation, are as follows. First, starting from a DGPE which encompasses the above potentials (linear and periodic), we employ dark soliton perturbation theory 2, 34–36, to derive an equation of motion for the ensuing dynamics of the soliton center position (section II). Motivated by its direct analogy with the equation describing Josephson junction dynamics (which has exciting fea-
tudes such multi-stability and hysteresis), we will offer a systematic local and global analysis (fixed points and phase plane structure) of the pertinent dynamical system. Then (in section III), we will present results of systematic numerical simulations, comparing our analytical results with ones obtained from direct numerical integration of the DGPE. Finally (in section IV), we will summarize our results and present our conclusions, as well as some interesting possibilities for future extensions of this work.

II. THEORETICAL APPROACH

A. Perturbation theory for dark solitons.

The dynamics of a quasi-1D condensate (elongated along the $x$-direction) under the presence of thermal fluctuations, can be described by the following dimensionless DGPE [20, 22]:

\[ (i - \gamma)u_t + \frac{1}{2}u_{xx} - |u|^2 u + \mu u - V(x)u = 0. \]

Here, subscripts denote partial derivatives, $u(x, t)$ is the condensate wavefunction, $\mu$ is the chemical potential, and the term $-\gamma u_t$ accounts for finite-temperature effects (note that the parameter $\gamma$ scales with temperature $T$ according to a power law, $\gamma \propto T^\alpha$, with $1 < \alpha < 4$) [20, 22, 23]. Finally, the external potential $V(x)$ is assumed to of the form:

\[ V(x) = -Ex + V_0 \cos(2kx), \]

where, in the right-hand side, the first term represents an electric or gravitational field of strength $E$, and the second term describes an optical lattice potential of strength $V_0$ and wavenumber $k$ [32].

Without the external potential and the thermal term, i.e., when $V(x) = 0$, $\gamma = 0$, Eq. (1) possesses a dark soliton solution on top of a constant background of density $\mu$; this solution is of the form

\[ u(x, t) = \sqrt{\mu} [\cos \phi \tanh(X) + i \sin \phi], \]

where $X = \sqrt{\mu} \cos \phi |x - x_0(t)|$ and $x_0(t) = \sqrt{\mu} \sin \phi t$ is the soliton center. The amplitude and velocity of the soliton are respectively given by $\sqrt{\mu} \cos \phi$ and $\sqrt{\mu} \sin \phi$, while $\phi$ (with $|\phi| < \pi/2$) is the soliton phase angle (so-called “black” and “grey” solitons correspond to $\phi = 0$ and $\phi \neq 0$, respectively).

Following Refs. [21, 22], we now seek a solution of Eq. (11) in the form $u(x, t) = u_0(x) \exp[-i\theta(t)]v(x, t)$, where $u_0(x)$ and $\theta(t)$ denote the background amplitude and phase, respectively, while the unknown complex function $v(x, t)$ denotes a dark soliton. We assume that the condensate dynamics involves a fast relaxation scale to the ground state, which can be approximated in the framework of the Thomas-Fermi (TF) approximation, as follows: $u_0 \approx \sqrt{\max \{\mu - V(x), 0\}}$. Then, the evolution of the dark soliton on top of this ground state, is described by the following perturbed nonlinear Schrödinger (NLS) equation:

\[ iv_t + \frac{1}{2}v_{xx} - (|v|^2 - 1)v = P[v], \]

where we have used the scale transformations $t \rightarrow \mu t$, $x \rightarrow \sqrt{\mu}x$, and $V \rightarrow V/\mu$, and the functional perturbation $P[v]$ is given by:

\[ P[v] = (1 - |v|^2)vV + \frac{1}{2}V_x v_x + \gamma_v. \]

Below we will treat $P[v]$ as a small perturbation (at least in the spatial region where the dark soliton dynamics takes place), assuming that the thermal fluctuations (governed by the parameter $\gamma$) and the strengths $V_0$ and $E$ of the linear and OL potentials are weak, namely, $\gamma \ll 1$, $V_0 \ll 1$ and $E \ll 1$. Under these assumptions, we can then apply to Eq. (11) the perturbation theory for dark solitons, originally developed in Ref. [33] and then adapted to dark solitons in BECs in Ref. [35] (see also Ref. [36], for an application to BECs confined in OLs, and Ref. [2] for a review).

The fundamental premise underlying the dark soliton perturbation theory is that, to the leading order of approximation, the soliton evolves adiabatically; in other words, it preserves its form of Eq. (3), yet its parameters, namely the phase angle $\phi$ and the position of the center $x_0$ become slowly-varying functions of time. More specifically, the solution of Eq. (11) is taken to be of the form $v = \cos \varphi(t) \tanh[\cos \varphi(t)(x - x_0(t))] + i \sin \varphi(t)$, where $x_0(t) = \int_0^t \sin \varphi(t) \, dt$ and $\varphi(t)$ denote the time-dependent center and phase angle of the dark soliton, respectively. These functions are found to evolve according to the following equations [2, 34, 36]:

\[ \dot{x}_0 = \sin \varphi(t), \]

\[ \dot{\varphi} = \frac{1}{2 \cos^2 \varphi \sin \varphi} \text{Re} \left\{ \int_{-\infty}^{+\infty} P[v]v^* \, dx \right\}, \]

where overdots denote time derivatives and asterisk denotes complex conjugate. Evaluating the integral in Eq. (6), and considering the case of almost black solitons with sufficiently small soliton phase angles, we neglect terms of order $O(\text{d} \varphi / \text{d} t)$. Then, we can derive from Eqs. (3)- (11) an equation for the soliton center; this equation, when expressed in the original variables, has the following form:

\[ \ddot{x}_0 = \frac{2}{3} \gamma \dot{x}_0 + \frac{1}{2} E + A_k k V_0 \sin(2kx_0), \]

where

\[ A_k = \frac{\pi k}{\sqrt{\mu}} \left( 1 + \frac{k^2}{\mu} \right) \text{csch} \left( \frac{\pi k}{\sqrt{\mu}} \right), \]

and $A_k \approx 1$ for small $k$. Note that Eq. (8) has the form of a Newtonian equation of motion of a classical unit-mass
particle, in the presence of (anti)damping, of strength \( (2/3)\gamma \mu \), and in the presence of the effective “washboard” potential:

\[
U(x) = \frac{1}{2} (A_k V_0 \cos(2kx) - Ex). \tag{10}
\]

Alternatively, for the purposes of our analytical considerations, Eq. (8) can be expressed as the following dynamical system:

\[
\begin{align*}
\dot{x} &= y, \\
\dot{y} &= \frac{2}{3} \gamma \mu y + \frac{1}{2} E + A_k k V_0 \sin(2kx).
\end{align*}
\tag{11}
\]

It is quite remarkable that this dynamical system draws a direct analogy between the dynamics of a dark soliton in a thermal BEC under the combined effect of gravity and an optical lattice, and that of a Josephson junction. In the latter, the dynamical variable is the relative phase between the two superconducting elements, whose time derivative is associated with the voltage in the junction. The bias current is analogous to our linear field here, while the Josephson current is the one that provides the periodic potential. Lastly, the lossy resistor part in the original Josephson junction setting (i.e., \( \gamma < 0 \)) is replaced here by the anti-damping effect (i.e., \( \gamma > 0 \)) induced by thermal fluctuations. It is also quite noteworthy that the original experimental setting of Ref. [33] (see also Ref. [37]), which is the closest to our present scenario where no fixed points exist, will be investigated in the next section devoted to the global analysis of the dynamics.

### B. Qualitative analysis of the dynamics

Let us now analyze in detail the dynamics of the system of Eqs. (11). First, we note that due to the periodicity of the nonlinearity in the system (11), we will restrict the analysis to the interval \( 0 \leq x \leq \pi/k \) (one period of the periodic function within effective potential). Second, it is relevant to observe that two different possibilities occur: the existence and non-existence of fixed points of Eqs. (11). Obviously, fixed points exist for \( E/2A_k k V_0 \leq 1 \), i.e., for \( E/2A_k k V_0 < 1 \) (existence of two fixed points) or \( E/2A_k k V_0 = 1 \) (existence of one fixed point). First, we will provide the local analysis for the case \( E/2A_k k V_0 < 1 \). This case, as well as the one with \( E/2A_k k V_0 = 1 \), and the scenario where no fixed points exist, will be investigated in the next section devoted to the global analysis of the dynamics.

1. **Local analysis**

In the case \( E/2A_k k V_0 < 1 \), there exist two fixed points: \( \bar{x}_- = (\bar{x}_-, 0) \) and \( \bar{x}_+ = (\bar{x}_+, 0) \). The \( x \)-coordinates of the fixed points \( \bar{x}_\pm \) satisfy the transcendental equation

\[
\sin(2k\bar{x}_\pm) = -\frac{E}{2A_k k V_0}, \tag{12}
\]

and correspond to the local minimum and the local maximum of the potential energy \( U(x) \) respectively. To study the stability of the two fixed points, we first consider the linearization of the system (11) around them, whereby the Jacobian matrix reads:

\[
J|_{\bar{x}_\pm} = \left( \begin{array}{cc} 0 & 1 \\ \pm \alpha & \frac{4}{9} \gamma \mu \end{array} \right), \tag{13}
\]

where \( \alpha = k(4A_k^2 k^2 V_0^2 - E^2)^{1/2} \). The fixed point \( \bar{x}_+ \) [where its \( x \)-coordinate, \( \bar{x}_+ \), is the maximum of the potential energy \( U(x) \)] has eigenvalues:

\[
\lambda_{1,2}^{(+)}(\gamma) = \frac{1}{3} \gamma \mu \pm \sqrt{\frac{1}{9} \gamma^2 \mu^2 + \alpha}. \tag{14}
\]

Since \( \alpha > 0 \), it is clear that \( \lambda_{1}^{(+)} > 0 \) and \( \lambda_{2}^{(+)} < 0 \) and, hence, \( \bar{x}_+ \) is always unstable: in fact, it is a saddle point, independently of whether \( \gamma = 0 \) (corresponding to the Hamiltonian variant of the model) or \( \gamma > 0 \) (corresponding to the finite-temperature case).

On the other hand, the fixed point \( \bar{x}_- \) [where its \( x \)-coordinate, \( \bar{x}_- \), is the minimum of the potential energy \( U(x) \)] has eigenvalues:

\[
\lambda_{1,2}^{(-)}(\gamma) = \frac{1}{3} \gamma \mu \pm \sqrt{\frac{1}{9} \gamma^2 \mu^2 - \alpha}. \tag{15}
\]

In the Hamiltonian case \( \gamma = 0 \) (where the Hamiltonian energy \( \mathcal{H}(x, y) \equiv \frac{1}{2} y^2 + U(x) \) is conserved), the above eigenvalues are purely imaginary, i.e., \( \lambda_{1,2}^{(-)} = \pm i \alpha \), and thus \( \bar{x}_- \) is a stable center; in this case, the dark soliton center performs oscillatory motions around the minimum of the effective potential. However, in the finite-temperature case of \( \gamma > 0 \) (where the Hamiltonian energy \( \mathcal{H}(x, y) \) is not conserved), we need to separate two cases. If \( \frac{1}{9} \gamma^2 \mu^2 < \alpha \) (i.e., for sufficiently low temperatures), the eigenvalues are complex with positive real part and, thus, the fixed point \( \bar{x}_- \) is an unstable spiral. Physically, this means that the dark soliton center will perform a motion with an oscillatory growing amplitude around the minimum of the effective potential, with a growth rate \( \frac{1}{9} \gamma \mu \) and an oscillation frequency:

\[
\omega_{\text{eff}} = \sqrt{-\alpha - \frac{1}{9} \gamma^2 \mu^2}. \tag{16}
\]

On the other hand, if \( \gamma \) is sufficiently large (such that \( \frac{1}{9} \gamma^2 \mu^2 > \alpha \)), the fixed point \( \bar{x}_- \) becomes an unstable
node. Physically, this means that the dark soliton center will perform a motion with an exponentially growing amplitude. Note that, in both cases, the soliton will eventually decay at the rims of the condensate.

At this point, we should mention that the local analysis highlights the emergence of, at least, two different bifurcations. First, the transition from \( \gamma = 0 \) to finite \( \gamma > 0 \) is a bifurcation whereby the minimum of the effective potential changes stability: it becomes from stable center an unstable spiral, with the eigenvalues becoming, from purely imaginary, genuinely complex with positive real part. Second, if \( \gamma \) is further increased so as to exceed the critical value

\[
\gamma_{cr} = \frac{3\sqrt{\alpha}}{\mu},
\]

the character of the instability changes via another bifurcation: the fixed point, from unstable spiral becomes an unstable node, with the eigenvalues turning, from genuinely complex, to real positive (via a collision on the real axis). However, the global analysis that will be discussed in the next section will reveal, in the regime \( 0 < \gamma < \gamma_{cr} \), a third type of bifurcation: a saddle connection breaking, which is relative to the behavior of the unstable manifolds of the saddle point \( \bar{x}_- \).

To conclude this section, we will now consider the case \( E/2A_0kV_0 = 1 \), as well as the scenario where no fixed points exist. In the first case, there exists solely one fixed point, say \((\bar{x},0)\), which occurs from the collision between \((\bar{x}_-,0)\) and \((\bar{x}_+,0)\) when \( \alpha = 0 \). Then, the Jacobian of Eq. (13) has eigenvalues \( \lambda_1 = 0 \) and \( \lambda_2 = (2/3)\gamma \mu > 0 \). In this case, the linear stability analysis is insufficient to provide any information about the stability of \( \bar{x} \). Both the critical case and that of the absence of fixed points will be examined by means of global analysis of the system—see below.

2. Global analysis

Let us now study the global phase portrait of the system (11). We will use two fundamental theorems for flows in two-dimensional phase spaces, namely the Hopf bifurcation theorem (see, e.g., Theorem 3.4.2 in Ref. [38]) and the Poincaré-Bendixson theorem (see, e.g., Theorem 1.1.19 in Ref. [39]), combined with a nullcline analysis. The latter, is an analysis of the direction of the flow around the curves consisting of the points on which the vector field is parallel (\( \dot{y} = 0 \)), or perpendicular (\( \dot{x} = 0 \)) to the horizontal axis \( y = 0 \).

First we consider the case \( E/2A_0kV_0 < 1 \), where the two fixed points \( \bar{x}_- = (\bar{x}_-,0) \) and \( \bar{x}_+ = (\bar{x}_+,0) \) exist. Since the potential energy \( U(x) \) (cf. Eq. (11)) is decreasing, the maxima (minima) \( \bar{x}_+ \mod(\pi/k) \) [\( \bar{x}_- \mod(\pi/k) \)] are in different and decreasing energy levels, with every minimum lying between two maxima. Therefore, in the Hamiltonian case, \( \gamma = 0 \), the conditions for the existence of homoclinic orbits (cf. Lemma 14.3 in Ref. [41]) are satisfied; thus, at the energy levels of the maxima \( \bar{x}_+ \mod(\pi/k) \) of \( U(x) \), and in the direction of increasing energy, correspond to homoclinic orbits on the saddles \( \bar{x}_+ \mod(\pi/k) \) surrounding the centers \( \bar{x}_- \mod(\pi/k) \). Let us denote by \( W^s(\bar{x}_+) \) and \( W^u(\bar{x}_+) \), the stable and the unstable manifold of \( \bar{x}_+ \), respectively. We may perform a nullcline analysis in the rectangular region \( B_0 = \{ 0 \leq x \leq \pi/2, Y_1 \leq y \leq Y_2 \} \), where \( Y_1 < 0 \) and \( y = Y_2 > 0 \) are arbitrary horizontal lines, below and above the homoclinic orbit of \( \bar{x}_+ \), respectively. This analysis shows that the non-homoclinic branch of \( W^u(\bar{x}_+) \) escapes from \( B_0 \) and becomes unbounded. On the other hand, in the Hamiltonian case \( \gamma = 0 \), the orbits are symmetric with respect to the horizontal axis \( y = 0 \). The symmetric orbit of the unbounded branch of \( W^u(\bar{x}_+) \) is the non-homoclinic branch of \( W^s(\bar{x}_+) \), which enters in the region \( B_0 \), by crossing \( y = Y_2 \) from below.

The transition from \( \gamma = 0 \) to finite \( 0 < \gamma < \gamma_{cr} \) can be analyzed with the help of the Hopf bifurcation theorem and the Poincaré-Bendixson theorem. The former, establishes the non-existence of periodic orbits bifurcating from the unstable spiral \( \bar{x}_- \). This is due to the fact that, while the two conditions of the theorem concerning the eigenvalues are satisfied, the third one concerning the degeneracy condition is not (see, e.g., Ref. [38]). Besides, the nullcline analysis in region \( B_0 \) shows that, apart from the stable manifold \( W^s(\bar{x}_+) \), all orbits escape the strip \( Y_1 \leq y \leq Y_2 \), and there is not any other closed orbit in the strip.

Since a periodic orbit does not exist, one may apply the Poincaré-Bendixson theorem on the invariant manifold \( W^s(\bar{x}_+) \). This way, we can establish that each branch lying in the half-plane \( y > 0 \) joins the unstable spiral \( \bar{x}_- \). The situation is more intriguing for the branch of \( W^s(\bar{x}_+) \) lying in the half-plane \( y < 0 \), since two possibilities exist regarding its connection to a fixed point. These possibilities depend on the size of \( 0 < \gamma < \gamma_{cr} = 3\sqrt{\alpha}/\mu \), and on the fact, that the transition from \( \gamma = 0 \) to finite \( \gamma > 0 \) is a homoclinic bifurcation for the saddle \( \bar{x}_+ \), where each homoclinic orbit for \( \gamma = 0 \) breaks.

When \( \gamma < \gamma_{cr} \) is sufficiently small, a nullcline analysis for \( \gamma \to 0 \), shows that the gradient of the branch \( W^s(\bar{x}_+) \) lying in the half-plane \( y < 0 \) is close to that of its analogue for \( \gamma = 0 \); furthermore, for \( \gamma \to 0 \), the spiraling growth is weak. Therefore, since the branch of \( W^s(\bar{x}_+) \) lying in the half-plane \( y > 0 \) is connected to the spiral point \( \bar{x}_- \), the other branch of \( W^s(\bar{x}_+) \) lying in the half-plane \( y < 0 \), is the one that connects to the saddle point \( \bar{x}_{-1} \) in the second period of the effective potential \( \pi/k \leq x \leq 2\pi/k \). Hence, for small values of \( 0 < \gamma < \gamma_{cr} \), the homoclinic bifurcation creates a saddle connection (see, e.g., Refs. [39, 41]) between \( \bar{x}_+ \) and \( \bar{x}_{-1} \). In this connection, the branch of \( W^s(\bar{x}_+) \) lying in the half-plane \( y < 0 \) is simultaneously – the branch of the unstable manifold \( W^u(\bar{x}_{-1}) \) lying also in the half-plane \( y < 0 \). When \( \gamma < \gamma_{cr} \) is further increased, the branch of \( W^u(\bar{x}_+) \) lying in the half-plane \( y < 0 \), connects to the unstable spiral point \( \bar{x}_{-1} \) in the second period of
the effective potential. Thus, another type of bifurcation occurs, when \( \gamma < \gamma_{\mathrm{cr}} \) exceeds a threshold value \( \gamma_{\mathrm{thr}} < \gamma_{\mathrm{cr}} \), namely, a saddle connection breaking bifurcation. The existence of \( \gamma_{\mathrm{thr}} \) is guaranteed by the fact that as \( \gamma < \gamma_{\mathrm{cr}} \) is increased, the growth and oscillation frequency of the spiraling orbit far from the equilibrium \( \bar{x}_+ \) dominates, enforcing the considered branch of \( W^s(\bar{x}_+) \) to form the shape of the spiral. Furthermore, in the regime \( \gamma_{\mathrm{thr}} \leq \gamma < \gamma_{\mathrm{cr}} \), both branches of \( W^s(\bar{x}_+) \) become unbounded.

When the bifurcation for \( \gamma \geq \gamma_{\mathrm{cr}} \) occurs, the situation is exactly as in the saddle connection breaking setting, with the difference that the unstable spirals are replaced by the unstable nodes, and the orbits are escaping (converge) from the strip \( Y_1 \leq y \leq Y_2 \) (on the stable manifolds) at an exponential rate.

We conclude with the case \( \frac{E}{2\mu \kappa V_{005}} = 1 \), where solely one fixed point \( \bar{x} \) exists, and the case where no fixed points exist. For the former, which is a case of saddle-node bifurcation, the nullcline analysis in a small neighborhood of \( \bar{x} \) reveals that it is unstable. For the latter, the nullcline analysis in the region \( B_0 \), establishes that any orbit must become unbounded.

3. Phase-plane portraits

The above analysis on the structure of the phase space of the system (11) is illustrated in Fig. 1 for four different values of the damping parameter \( \gamma \) (other parameters values are \( k = 0.1 \), \( E = 0.004 \), \( V_0 = 0.05 \) and \( \mu = 1 \)). The computational window is shown for two periods of the effective potential \( U(x) \).

In particular, the top left panel presents the family of homoclinic orbits in the Hamiltonian case \( (\gamma = 0) \), surrounding the stable centers. The non-homoclinic branch of \( W^s(\bar{x}_+) \) diverges and becomes unbounded, while \( W^s(\bar{x}_-) \) remains bounded.

In the top right panel, where the orbits have been plotted for \( \gamma = 0.005 \), we observe the transition from purely imaginary eigenvalues to complex eigenvalues. The stable centers of the previous case, now become unstable spirals. Furthermore, we see the homoclinic bifurcation for the saddle. It is also interesting to observe the numerically computed orbits in the half-plane \( y < 0 \) which connect to the saddles, clearly illustrating that the system is in the regime of the saddle connection.

The bottom left panel corresponds to an increased value of \( \gamma \), namely \( \gamma = 0.05 \). In this case, the saddle connection breaking bifurcation has already taken place. Now, the stable manifolds of the saddles consist of orbits which are coming from unstable spirals. The unstable manifolds, as well as all other orbits, become unbounded with increased growth, if compared with the previous case.

Finally, as shown in the bottom right-panel, the solutions grow exponentially fast in the case \( \gamma = 0.2 \). The character of the instability has changed via the collision of the two complex eigenvalues which have now become real, and the unstable spirals have thus become unstable nodes. The numerical computed phase plane demonstrates the particular node-orbits joining the saddle points.

We note that above we have focused on the anti-damped case \( \gamma > 0 \), which is physically relevant in the context of BECs. Nevertheless, the dynamical system (11) exhibits a rich behavior for \( \gamma < 0 \), a case corresponding to a damped Josephson junction (see, e.g., Refs. [42–44]). As an additional comment along this vein, suitable parallels between the two cases can be drawn on the basis of the invariance of Eq. (5) under the transformation \( \gamma \rightarrow -\gamma \) and \( t \rightarrow -t \).

C. Bogoliubov-de Gennes (BdG) analysis

We complement this dynamical systems picture by briefly describing our methods for obtaining the numerical results for the DGPE (see next section) and comparing them with our analytical predictions. First, we will identify the fixed points of the dynamical system of Eqs. (11) – corresponding to stationary dark soliton states of the DGPE, Eq. (11) – through a Newton-Raphson-type iteration method. Subsequently, we will study the linear stability of the stationary solutions of
the DGPE by means of the following ansatz:

\[ u(x, t) = u_0(x) + \epsilon [a(x)e^{\lambda t} + b^*(x)e^{-\lambda t}]. \tag{18} \]

Here, \( u_0(x) \) is the stationary solution of Eq. (11) and the term proportional to \( \epsilon \) (which is a formal small parameter) represents a small perturbation. Substituting the above expression into Eq. (11), and linearizing with respect to the amplitudes \( a \) and \( b^* \), we obtain at \( \mathcal{O}(\epsilon) \) the following linear [Bogoliubov-de Gennes (BdG)] stability equations:

\[
\begin{pmatrix}
L_1 & -\mu^2 u_0^2 \\
\mu^2 u_0^2 & L_2
\end{pmatrix}
\begin{pmatrix}
a \\
b
\end{pmatrix}
= \lambda
\begin{pmatrix}
-i + \gamma & 0 \\
0 & -i - \gamma
\end{pmatrix}
\begin{pmatrix}
a \\
b
\end{pmatrix},
\]

where \( L_1 = \tfrac{1}{2}\partial_x^2 - 2|u_0|^2 + \mu - V \) and \( L_2 = -\tfrac{1}{2}\partial_x^2 + 2|u_0|^2 - \mu + V \). This way, the linear stability problem is transformed into a spectral problem for the linearization operator:

\[
L = \begin{pmatrix}
\frac{L_1}{-i + \gamma} & \frac{-\mu^2 u_0^2}{-i + \gamma} \\
\frac{-\mu^2 u_0^2}{-i - \gamma} & \frac{L_2}{-i - \gamma}
\end{pmatrix}.
\]

The latter operator is discretized (on the same grid in which the solution is computed) and its eigenvalues \( \lambda \) and eigenvectors \( \{a(x), b(x)\} \) are computed numerically.

In the following section we will first identify the fixed points in the partial differential equation (PDE) of Eq. (11), and in the (anti-damped Josephson junction) equation of motion of the dark soliton center, namely the ordinary differential equation (ODE) of Eq. (3). The result of the fixed point scheme convergence for the PDE equilibrium will be compared to the solution of the transcendental equation for the dark soliton center [cf. Eq. (12)]. Then, we will compare the eigenvalue problem of the linearized ODE [i.e., the explicit expression for the eigenvalues of the Jacobian of Eq. (13)] and that of the full DGPE (i.e., the pertinent eigenvalues of the operator \( L \)). Finally, we will proceed to an even more demanding comparison, namely that of the full dynamics of the infinite degrees of freedom system (the DGPE) and that of its one degree of freedom reduction (the ODE for the dark soliton center). Both of these evolutions will be followed by means of a time-stepping scheme (namely an explicit fourth-order Runge-Kutta method) and the results will be illustrated, for direct comparison, in the same plot.

III. NUMERICAL RESULTS

First, let us offer a few technical remarks, before we commence the presentation of our results. We have used no flux boundary conditions (as periodic and Dirichlet ones seem less appropriate for our setting). Also, in our numerical continuations for the exact solutions and their linear stability, we have always commenced from a well-known benchmark limit (e.g., the one without potential or without the thermal effects) and subsequently performed parametric continuations in parameters such as typically \( E, V_0 \) and \( \gamma \). When solutions were found to be unstable, the dynamical evolution of the instability was monitored through the time dynamics. Our principal results can be summarized as follows.

A. The Hamiltonian case

1. Solitons located at the center fixed point

In the Hamiltonian case, i.e., for \( \gamma = 0 \), the phase plane of Eq. (11) is shown in the top panel of Fig. I (other parameter values are: \( E = 0.004, V_0 = 0.05, k = 0.1, \mu = 1 \)). Direct inspection of the phase plane suggests that the center of the dark soliton may periodically oscillate around the (center) fixed point \((\bar{x}_-, 0) = (-13.6363, 0)\) with a linearization frequency (of small amplitude oscillations) of \( \omega_{\text{eff}} = \sqrt{\alpha} = 0.0302 \) (recall that \( \alpha = k\sqrt{4A_0^2 V_0^2 - E^2} \)). On the other hand, there is a homoclinic orbit passing through the saddle \((\bar{x}_+, 0) = (-2.0709, 0)\) which intersects the x-axis at a finite turning point of about \(-20.5\). This phenomenol-
amplitude used in the two cases shown is dark soliton in order to initiate the instability evolution. The linearization problem, which has been added to the unstable difference refers to the sign of the unstable eigenvector of the effective potential, with two different perturbations: the difference refers to the sign of the unstable eigenvector of the linearization problem, which has been added to the unstable dark soliton in order to initiate the instability evolution. The amplitude used in the two cases shown is ±0.1. Parameter values are: γ = 0, E = 0.004, V0 = 0.05, k = 0.1, µ = 1.

ogy at the level of the DGPE (and the comparison with the ODE) is shown in Fig. 2. In the top left panel of the figure, the solid (blue) line shows an example of the exact stationary solution at the effective potential minimum as obtained from our fixed-point iteration method. Furthermore, the dotted (red) line denotes the external potential, while the dashed (green) line is the the background u0(x), i.e., the ground state of the system. The latter showcases the validity of our ansatz approximation assuming that the dark soliton can be embedded in the system through a product ansatz, where it is directly multiplied to the (back)ground state of the system. The top right panel of the figure presents a comparison of the eigenvalues of the matrix L which are found to be purely imaginary, corroborating the neutral stability of this center point. Within this plot, the (blue) star represents the theoretical frequency ±0.0302, which nearly coincides with one of the eigenvalues ±0.0292i of L. As has been analyzed in detail in previous works (see, e.g., Refs. 2, 11 [45]) for the Hamiltonian case, and even for the thermal BEC setting [24, 22], this eigenvalue represents the so-called anomalous (or negative energy, or negative Krein signature) mode, which is the one that pertains to the (eigenfrequency of the) motion of the dark soliton around its equilibrium position. As seen in the bottom left panel of Fig. 2, the (white) dotted line oscillating with the theoretical frequency 0.0292 provides a quite accurate description of the full dynamics of the DGPE around its center equilibrium position (the soliton is initially placed at x0 = −13.89), confirming our expectation that it is indeed this eigenmode that describes the dark soliton motion. Nevertheless, we have subjected the ODE approximation to a far more stringent test by initializing the soliton at x0 = −20.81, namely very near the turning point for the homoclinic orbit (i.e., the most unstable orbit of the system). However, even in that case as attested by the bottom right panel of the figure, the agreement between the ODE and PDE results is quite striking.

2. Solitons located at the saddle fixed point

We now turn to an examination of the unstable saddle fixed point existing at the local maximum of the effective potential, as illustrated in Fig. 4. The relevant solution is again shown in the top left panel, while, this time, the linear stability problem of the DGPE possesses a real pair of eigenvalues illustrating its saddle character. These are again in reasonable agreement with the expectation of the perturbation theory growth rates, as shown in the top right panel of the figure. In order to show different possibilities of evolution associated with this saddle point, we perturb its unstable eigenvector with a positive amplitude and with a negative amplitude, respectively,
in the two bottom panels of Fig. 3. In the case of the bottom left, the dark soliton acquires a velocity towards positive values of $x$ and thus escapes to infinity sliding downhill (i.e., washboarding) through the subsequent potential wells. In the case of the right panel, the opposite sign of the perturbation forces the soliton to move in the opposite direction, where it encounters a turning point. However, after hitting the turning point and changing direction of motion, it has enough energy to overcome the local energy maximum (at the saddle point) and also washboard towards $+\infty$. In both cases, we find that the ODE dynamics captures fairly accurate the observations of the DGPE. Nevertheless, in the right one the dynamics is very close to the homoclinic orbit and hence is most unstable, a feature which is observed with the longer meandering of the ODE trajectory in the vicinity of the saddle point before it eventually escapes towards $+\infty$. It should be noted that this feature should perhaps naturally be expected: in the infinite degrees of freedom system (the PDE), the soliton has the ability to shed (weak, but important in this case) radiative wavepackets, whereby it becomes shallower and faster and, hence, it is easier for it to overcome the relevant barrier. On the other hand, such decay channels are forbidden by virtue of the ansatz in the one degree of freedom reduction.

Having captured an individual example of both types of fixed points, we illustrate in Fig. 4 the results of a corresponding continuation over the two parameters, the strength $E$ of the linear (electric or gravitational) potential and that of the periodic (OL) potential, $V_0$, in both cases for $\gamma = 0$ i.e., in the Hamiltonian case. As predicted by the perturbation theory, when the dark soliton is around the local min (max) of the effective potential, the frequency (growth rate) of the movement of dark soliton increases as $V_0$ increases, while it decreases as $E$ increases. Both of these dependencies are essentially encapsulated in the functional form of $\alpha$ (since the relevant eigenvalue is predicted to be $\pm \alpha$ in the top panel, while should be $\pm \alpha$ in the bottom one.

3. On the accuracy of perturbation theory

It is well-known that in the case of the periodic (at infinity) linearization operator that emerges in the presence of solely an optical lattice potential, the spectrum acquires bands separated by gaps. On the other hand, the linear potential due to its unbounded nature at $\pm \infty$ renders the linearization spectrum of eigenstates again a purely point spectrum (as in the more customary case of a parabolic trap). The above discussion justifies the purely point spectrum nature of the excitation frequencies observed in Figs. 2 and 3. However, as is well-known variations of the parameters of the system (e.g., as was seen above, the increase of $V_0$ in the stable center case of the effective potential minimum) can have the consequence that they increase the soliton’s linearization frequency, which corresponds to its oscillation frequency inside the shallow trap of its local well in Fig. 2. As this parameter increases, there will emerge critical points where the anomalous mode (of negative energy) associated with the dark soliton will collide with the positive energy modes of the background. These collisional events in the spectrum will produce instabilities [2, 45] of the oscillatory type associated with complex eigenvalue quartets (when $\gamma = 0$).

An example of this type is observed in Fig. 5. This is a feature of the full DGPE problem that our reduction to a one degree of freedom ODE cannot capture. Hence, we highlight this potential deficiency of the theory, which perceives the soliton as a stable entity, while the dynamics of the bottom panel of the figure clearly illustrate the coherent structure to be oscillatorily unstable and hence eventually departing from its local well.

Another parameter variation that renders the perturbation theory less accurate concerns the increase of $k$. This feature is illustrated in the top right panel of Fig. 6 for $k = 0.5$ and the dark soliton being located at the local maximum of the external potential. The difference between the unstable eigenvalue stemming from the linearization of the PDE and that from the ODE is obviously nontrivial, and is also clearly mirrored on the bottom panel representing the dynamics of the ODE and the DGPE. The over-estimate (by the ODE) of the growth rate of the unstable eigenmode leads the dark soliton
Thus, the phase portrait instead of consisting of the level sets of a constant energy \( U \) (as in the case of \( \gamma = 0 \)), will rather consist of curves that tend to higher levels of \( U \) during the time evolution.

1. Solitons located at the local minimum

First, we consider the case of a stationary dark soliton located at the minimum of the effective potential. As indicated above (sec. II.B), with an increase of \( \gamma \), the stationary solutions of the ODE and the DGPE remain unchanged, yet the linear stability properties of such a solution change upon crossing the critical value \( \gamma_{cr} \) [cf. Eq. (17)]: the local minimum of the effective potential becomes, from oscillatorily unstable (for \( \gamma < \gamma_{cr} \)), exponentially unstable (for \( \gamma > \gamma_{cr} \)); these changes of stability are mirrored in the phase plane portraits of Fig. 11.

First, employing the BdG analysis, we will investigate if the above ODE-based stability picture complies with the stability of the (formerly) anomalous mode of the soliton placed at the local minimum of the effective potential. In our simulations, we use the parameter values \( E = 0.004, V_0 = 0.05, k = 0.1, \mu = 1 \), resulting in the value \( \gamma_{cr} = 0.0905 \). For these values, in Fig. 7 we illustrate the spectral planes obtained by the BdG analysis, for three different values of \( \gamma \) (below, at, and above \( \gamma_{cr} \)). It is clear that, due to the dissipative type of dynamics of the DGPE, all eigenvalues of the operator \( L \) tend to the left half of the complex plane (thus having a negative real part and corresponding to decaying excitations) except for the anomalous mode. The latter, as justified in Ref. [40], has the opposite behavior and

“particle” to diverge from the unstable equilibrium point much faster in the particle approach than in the full PDE one. This can presumably be attributed to the emerging competition between the length scale of the lattice and that of the soliton proper (see discussion in Ref. [36]), which contributes towards flaws of the dark soliton perturbation theory for moderate values of \( k \).

From now on, we will restrict our considerations to the regime of small \( k \) (in particular, fixing \( k = 0.1 \)), so as to ensure the validity of the perturbation theory and avoid the competition of the soliton and lattice length scales.

B. Finite-temperature-induced dynamics

We will now turn to a detailed examination of the role the temperature-dependent parameter \( \gamma \). A direct observation concerning our dynamical system in the latter case is that the Hamiltonian \( \mathcal{H}(x,y) = \frac{1}{2}y^2 + U(x) \), instead of being conserved as in the case, \( \gamma = 0 \), rather satisfies

\[
\frac{d\mathcal{H}}{dt} = \frac{dy}{dt} + \frac{dU}{dx}y = \frac{2}{3}\gamma\mu y^2 > 0.
\]  

Thus, the phase portrait instead of consisting of the level sets of a constant energy \( \mathcal{H} \), indicated by the dashed (green) line. Parameter values are: \( \gamma = 0, E = 0.03, V_0 = 0.1, k = 0.5, \mu = 1 \).

FIG. 7: (Color online) The case of the dark soliton at local minimum of the effective potential. Three case examples of the spectral plane of the imaginary versus real part of the relevant eigenvalue \( \lambda = \text{Re}(\lambda) + \text{Im}(\lambda) \) is shown. The top left panel is for \( \gamma = 0.0905 < \gamma_{cr} \), the top right panel is for \( \gamma = 0.0905 = \gamma_{cr} \), and the bottom right panel is for \( \gamma = 0.1 > \gamma_{cr} \); (blue) stars depict the analytically derived eigenvalues of Eq. (19). Other parameter values are: \( E = 0.004, V_0 = 0.05, k = 0.1, \mu = 1 \).

FIG. 6: (Color online) The top left panel represents the stationary dark soliton located at local maximum of effective external potential. The top right panel shows the corresponding eigenvalues of the linear stability problem via (red) circles, while the (blue) star represents the less successful in this case analytical prediction. Finally, the bottom panel shows the dynamics of Eq. (11) with a perturbation of the unstable saddle point through its eigendirection of amplitude of 0.1; The dynamics of the ODE is indicated by the dashed (green) line. Parameter values are: \( \gamma = 0, E = 0.03, V_0 = 0.1, k = 0.5, \mu = 1 \).
tends to right half of the complex plane illustrating the unstable dynamics of the excitation. In the figure, it is observed that the analytically predicted relevant eigenvalues of Eq. (15) [(blue) stars] are in excellent agreement with the anomalous mode eigenvalues [(red) circles] obtained numerically via the BdG analysis.

As an additional interesting observation, we note the observable bending of the stable modes in the left half of the complex spectral plane in Fig. 7 and the formation of two curves of eigenvalues for larger values of \( \gamma \) presumably associated with the two different asymptotic states within our linear plus periodic potential.

The above mentioned feature of the anomalous mode (i.e., its motion toward the right half plane) is deeply connected to the fundamental difference between dynamic and thermodynamic instability of the dark soliton: the soliton is an excited state of the condensate and, hence, while dynamically stable for the Hamiltonian variant of the problem, it is thermodynamically unstable. However, in order to realize this instability and enable the decay of the excited system to its ground state, the role of dissipation (induced by the presence of a finite, temperature-dependent parameter \( \gamma \)) is essential. This is mirrored in the direct instability of the anomalous mode, as shown in Fig. 5 in excellent agreement with our ODE predictions for both the real and the imaginary part of the relevant complex eigenvalue. Notice also that even the critical point for the collision of the complex pair of eigenvalues and its subsequent transformation into a pair of positive real eigenvalues (when the fixed point transforms from an unstable spiral into an unstable node) is very accurately captured.

2. Solitons located at the local maximum

We now turn to the examination of the effect of temperature in the case of a soliton located at the local maximum of the effective potential. As predicted also by the ODE, the linear stability problem for the PDE in this case has one unstable eigenvalue (i.e., the relevant fixed point is once again a saddle). As can be observed in Fig. 9, the agreement is once again good for sufficiently small values of \( \gamma \), but becomes less adequate as \( \gamma \) increases. Nevertheless, as can be observed, e.g., in Fig. 11 of Ref. [22], physically relevant values of (the dimensionless) parameter \( \gamma \) are of \( \mathcal{O}(10^{-3}) \) for realistic BECs, hence our approximation is quite reasonable in that range.

Finally, as seen in Fig. 10 the dynamics of the unstable dark soliton in the presence of the effect of temperature (and for values of \( \gamma \) in the physically relevant range as discussed above) is in very good agreement between the DGPE and the corresponding ODE. This is illustrated both in the oscillatory unstable, for this range of \( \gamma \)'s, case of the minimum of the effective potential and in the exponentially unstable case of its maximum.

![FIG. 8: (Color online) The figure shows the real (top panel) and imaginary (bottom panel) part of the unstable eigenvalue pertaining to the dark soliton located at the effective potential minimum vs. \( \gamma \). The (red) dashed line is the result of the perturbation theory analysis, while the (blue) solid line is the corresponding mode of the DGPE. Parameter values are: \( E = 0.004 \), \( V_0 = 0.05 \), \( k = 0.1 \), \( \mu = 1 \).](image)

![FIG. 9: (Color online) The figure shows the stability characteristics of the dark soliton for the case of the local max of the effective potential. The left panel presents a typical example of the eigenvalues of the spectral plane for \( \gamma = 0.005 \). The right panel shows the real unstable eigenvalue of the relevant saddle point vs. \( \gamma \), with the (red) dashed line illustrating the theoretical prediction, while the solid (blue) line is the corresponding linear stability eigenmode of the DGPE. Parameter values are: \( E = 0.004 \), \( V_0 = 0.05 \), \( k = 0.1 \), \( \mu = 1 \).](image)

IV. CONCLUSIONS

We have studied matter-wave dark solitons in atomic Bose-Einstein condensates with a linear and periodic (optical lattice) potential in the absence as well as in the
FIG. 10: (Color online) The figure presents contour plots of the dynamics of the DGPE for parameter values $\gamma = 0.005$, $E = 0.004$, $V_0 = 0.05$, $k = 0.1$, $\mu = 1$. The left panel shows the case of the dark soliton initialized at -14.77 in the vicinity of the effective potential energy minimum, with the (green) dashed line showcasing the results of the perturbation theory. The right panel is for the dark soliton initially located in the vicinity of the maximum of the effective potential (at $-0.414$). Here once again, the (green) dashed line is from the evolution of our perturbative ODE.

presence of thermal effects. Our fundamental model employed in the analysis was the dissipative Gross-Pitaevskii equation. Using dark soliton perturbation theory, we found that the motion of the dark soliton center is governed by an ODE, namely a Newtonian equation of motion, with an anti-damping term; this ODE is strongly reminiscent of the one describing the dynamics of the phase in a superconducting Josephson junction. We found that for sufficiently small wavenumbers of the periodic potential, such that the length scale of the solitary wave is much shorter than that of the lattice, the ODE gives a very accurate prediction for the evolution of the center of the dark soliton. On the other hand, for larger wave numbers (where a competition of the scale of the soliton and that of the periodic potential would emerge), or for sufficiently strong potentials (beyond the realm of perturbative effects), naturally, the approach was found to be less accurate.

In the case of Hamiltonian dynamics (zero-temperature limit), the resulting effective potential was found to possess effective minima that were typically stable—although potentially oscillatory unstable—and effective maxima, corresponding to saddle points. The saddle points preserved their nature under thermal perturbations, while the centers became unstable spirals and, finally—for sufficiently large strength of relevant perturbation—unstable nodes. These features (with the exception of the oscillatory instability of the Hamiltonian case that requires a higher-dimensional description to be captured) were all adequately represented by our equation of motion. In addition to the statics and near-equilibrium linearization, the ODE was found to yield a very good approximation of the full PDE in the corresponding dynamics.

There are many directions that one can envision as potential themes for future study. On the one hand, a detailed examination of the ground state of the system and especially of its linearization spectrum would be a first step towards considering the “interaction” of this spectrum with the anomalous mode of the solitary wave. To address such an interaction, an expanded higher number of mode ansatz is necessary in order to capture the relevant resonance effects. Another direction that can be envisioned concerns a consideration of dynamical systems involving two or more solitons, in the spirit of Ref. [11] in the absence, and [24] in the presence of thermal effects. For more such solitary waves, more complex phenomena including the possibility of chaotic orbits may become manifest. Another generalization may concern the study of two-dimensional such settings, where the study of vortices and their evolution would be of interest. These topics will be presented in future works.

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