THE ROLE OF COLLINEAR PHOTONS IN THE RARE DECAY $\bar{B} \rightarrow X_s \ell^+ \ell^-$

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We review the phenomenology of the rare decay $\bar{B} \rightarrow X_s \ell^+ \ell^-$. We present the results of a detailed phenomenological analysis and discuss the role of the decay in the search for new physics at present and future colliders. Moreover, we extensively elaborate on the size of electromagnetic logarithms $\ln\left(m^2_b/m^2_\ell\right)$ in view of experimental cuts. We point out the differences in the analyses of BaBar and Belle and give suggestions on how to treat collinear photons in the experimental analyses. These recommendations correspond precisely to theoretical prescriptions and can be combined with measurements performed at a Super-B factory.

1 Introduction

In recent years, several flavour facilities as well as the experiments at the Fermilab Tevatron have accumulated a large set of data and have confirmed the CKM mechanism \cite{1,2} of quark flavour mixing and CP violation with tremendous success. Besides the determination of the parameters of the CKM matrix and the unitarity triangle, one major goal has been the search for new physics (NP) beyond the Standard Model (SM). Among the prime candidates for this search are observables related to rare, flavour-changing neutral current (FCNC) decays of $B$, $D$, and $K$ mesons. These decays probe the SM directly at the loop level and are therefore, via virtual effects, sensitive to scales presently not accessible at direct collider experiments. With the start of the LHC being within eyespot, the search for new physics via direct production of new degrees of freedom will also become feasible in the near future, and the situation calls for an interplay between flavour and collider physics \cite{3–5}.

Among inclusive flavour-changing neutral current (FCNC) processes (for a review see \cite{6,7}), the inclusive $\bar{B} \rightarrow X_s \ell^+ \ell^-$ decay represents an important test of the SM, complementary to the inclusive $\bar{B} \rightarrow X_s \gamma$ decay. The two most attractive kinematic observables are the dilepton invariant mass spectrum and the forward-backward asymmetry (FBA), see Fig. 1. In the so-called ‘perturbative $q^2$-windows’, namely in the low-dilepton-mass region $1 \text{ GeV}^2 < q^2 = m^2_\ell < 6 \text{ GeV}^2$, and also in the high-dilepton-mass region with $q^2 > 14.4 \text{ GeV}^2$, theoretical predictions for the invariant mass spectrum are dominated by the perturbative contributions, and a theoretical precision of order $10\%$ is in principle possible.

2 Theoretical framework and Phenomenological results

The computation of observables of rare decays in flavour physics involves two widely separated scales $M_H \gg M_L$, with $M_H \simeq O(M_W, M_Z, m_t)$ and $M_L \simeq O(m_b)$, entailing the need for resummation of the occurring large logarithms $\ln(M^2_H/M^2_L)$. This is most conveniently done in
the framework of an effective theory where the top-quark as well as the heavy electroweak gauge bosons are integrated out. In this framework the occurring large logarithms can be resummed order by order in $\alpha_s$ by means of techniques of the renormalization group-improved perturbation theory. The relevant Lagrangian density can be found, e.g., in Ref. [8].

The calculations in $\bar{B} \to X_s \ell^+ \ell^-$ have achieved a very sophisticated level. The recently calculated NNLL QCD contributions [9–18] have significantly improved the sensitivity of the inclusive $\bar{B} \to X_s \ell^+ \ell^-$ decay in testing extensions of the SM in the sector of flavour dynamics. In particular, the value of the dilepton invariant mass $q^2$ for which the differential FBA vanishes is one of the most precise predictions in flavour physics with a theoretical uncertainty of order 5%. This well corresponds to the expected experimental sensitivity of 4–6% at the proposed Super-$B$ factories [19–22]. Also non-perturbative corrections scaling with $1/m_b^2$, $1/m_b^3$, or $1/m_c^2$ [23–29] have to be taken into account. Moreover, factorizable long-distance contributions away from the resonance peaks are important; here using the Krüger-Sehgal approach [30] avoids the problem of double-counting.

In the high-$q^2$ region, one encounters the breakdown of the heavy-mass expansion at the endpoint; while the partonic contribution vanishes in the end-point, the $1/m_b^2$ and $1/m_b^3$ corrections tend towards a non-zero value. However, for an integrated high-$q^2$ spectrum an effective expansion is found in inverse powers of $m_b^{\text{eff}} = m_b \times (1 - \sqrt{s_{\text{min}}})$ rather than $m_b$ [31, 32].

Recently, further refinements were presented such as the NLO QED two-loop corrections to the Wilson coefficients whose size is of order 2% [16]. Furthermore, it was shown that in the QED one-loop corrections to matrix elements large collinear logarithms of the form $\log(m_b^2/m_{\text{lepton}}^2)$ survive integration if only a restricted part of the dilepton mass spectrum is considered. This adds another +2% contribution in the low-$q^2$ region for $\mathcal{B}(\bar{B} \to X_s \mu^+ \mu^-)$ and results in [8]

$$\mathcal{B}(\bar{B} \to X_s \ell^+ \ell^-)_{[q^2 < 6 \text{ GeV}^2]} = \begin{cases} 1.59 \pm 0.11 \times 10^{-6} & \ell = \mu \\ 1.64 \pm 0.11 \times 10^{-6} & \ell = e \end{cases} \text{ for } \mu \quad (1)$$

We will elaborate more on the difference between electron and muon channel in section 4. In Ref. [33] also the results for the high-$q^2$ region and for the FBA were derived. The result for the branching ratio (BR) in the high-$q^2$ region reads

$$\mathcal{B}(\bar{B} \to X_s \ell^+ \ell^-)_{[q^2 > 14.4 \text{ GeV}^2]} = \begin{cases} 2.40 \times 10^{-7} (1_{-0.26}^{+0.29}) & \ell = \mu \\ 2.09 \times 10^{-7} (1_{-0.30}^{+0.32}) & \ell = e \end{cases} \text{ for } \mu \quad (2)$$
In this case the relative impact of the collinear QED logarithm is about $-8\%$ ($-20\%$) for muons (electrons) and therefore much larger than in the low-$q^2$ region due to the steep decrease of the differential decay width at large $q^2$. The large error in Eq. \((2)\) is mainly due to the sizable uncertainties in the parameters that enter the $O(1/m_b^3)$ non-perturbative corrections. As was pointed out in Ref. [29] the error can be significantly decreased by normalizing the $\bar{B} \rightarrow X_u \ell^+ \ell^-$ decay rate to the semileptonic $\bar{B} \rightarrow X_u \ell \nu$ decay rate with the same $q^2$ cut. For a lower cut of $q_0^2 = 14.4$ GeV$^2$ this leads to [33]

$$R^\ell(\hat{s}_0) = \int_{\hat{s}_0-\infty}^{\hat{s}_0+\infty} \frac{d\Gamma(\bar{B} \rightarrow X_u \ell^+ \ell^-)}{d\hat{s}} \left/ \int_{\hat{s}_0-\infty}^{\hat{s}_0+\infty} \frac{d\Gamma(\bar{B}^0 \rightarrow X_u \ell \nu)}{d\hat{s}} \right.$$  

\[= \begin{cases} 2.29 \times 10^{-3}(1 \pm 0.13) & \ell = \mu \\ 1.94 \times 10^{-3}(1 \pm 0.16) & \ell = e. \end{cases} \tag{3}\]

where $\hat{s} = q^2/m_b^2$. The uncertainties from poorly known $O(1/m_b^3)$ power corrections are now under control; the largest source of error is $V_{ub}$. The zero of the FBA is found to be at

$$\langle q_0^2 \rangle_\ell = \begin{cases} (3.50 \pm 0.12) \text{ GeV}^2 & \ell = \mu \\ (3.38 \pm 0.11) \text{ GeV}^2 & \ell = e. \end{cases} \tag{4}\]

The error is about 3% but includes parametric and perturbative uncertainties only. However, unknown subleading non-perturbative corrections of order $O(\alpha_s \Lambda/m_b)$, which are estimated to give an additional uncertainty of order 5%, have to be added in addition. It is often argued that especially the small $\mu$ dependence at the zero is an accident and should be increased by hand. However, by comparing the NLO-QCD with the NNLO-QCD result one can clearly see that the $\mu$ dependence is a reasonable reflection of the perturbative error, see left panel in Fig. 2 as well as Refs. [19,35,36]. Moreover, the zero is stable under change of the $b$ quark mass scheme; the variation is below 2% when switching from 1S to $\overline{\text{MS}}$ or pole scheme.

### 3 New physics sensitivities

By the end of the $B$ factories the fully differential shape of the branching ratio and FBA will not be accessible, contrary to their integrals over bins in the low-$q^2$ region, which are usually chosen to be $q^2 \in [1,3.5]$ GeV$^2$ and $q^2 \in [3.5,6]$ GeV$^2$ [34]. These quantities will already allow to discriminate between different NP scenarios, see right panel in Fig. 2 as well as Refs. [19,35,36]. In the SM the integrated FBA over various bins in the low-$q^2$ region reads [33]

$$\bar{A}_{\ell\ell}^{(1,3.5)} = \begin{cases} (-9.09 \pm 0.91)\% & \ell = \mu \\ (-8.14 \pm 0.87)\% & \ell = e. \end{cases}, \bar{A}_{\ell\ell}^{(3.5,6)} = \begin{cases} (7.80 \pm 0.76)\% & \ell = \mu \\ (8.27 \pm 0.69)\% & \ell = e. \end{cases}, A_{\ell\ell}^{(1,6)} = \begin{cases} (-1.50 \pm 0.90)\% & \ell = \mu \\ (-0.86 \pm 0.85)\% & \ell = e. \end{cases} \tag{5}\]
where the upper (lower) line corresponds to the muon (electron) final state. The relative errors in the respective bins are considerably smaller than for the entire low-$q^2$ region since the respective values in each bin are similar in size and of opposite sign. The first two numbers do not add up to the third one for normalization reasons [33]. In their analysis the authors of Ref. [34] consider the three linearly independent quantities that can be extracted from the double differential decay width ($z = \cos \theta$)\(^\text{a}\)

\[
d^2\Gamma/(dq^2dz) = 3/8 \left[ (1 + z^2) H_T(q^2) + 2z H_A(q^2) + 2 (1 - z^2) H_L(q^2) \right],
\]

where

\[
d\Gamma/dq^2 = H_T(q^2) + H_L(q^2), \quad dA_{FB}/dq^2 = 3/4 H_A(q^2),
\]

integrated over the aforementioned bins in the low-$q^2$ region. They are able to put contraints on the Wilson Coefficients $C_9$ and $C_{10}$ by imposing a negative $C_7$ whose magnitude is taken from $\mathcal{B}(\bar{B} \to X_s\gamma)$. Therefore, if the statistics allows, we highly encourage the experimental groups to present their results separately for the three linearly independent observables and for the two bins in the low-$q^2$ region. Hence the measurements of the branching ratio and the FBA, in addition to the $\bar{B} \to X_s\gamma$ branching ratio, will allow to fix magnitude and sign of all relevant Wilson coefficients in the SM and to put constraints on the parameter space of NP models.

New physics might also affect the high-scale Wilson Coefficients in such a way that they acquire additional phases. In Refs. [8, 33] we give the results for the branching ratio and FBA in terms of generic high-scale Wilson Coefficients. These results may serve to constrain the parameter space of NP models and are also of interest in other processes [37].

## 4 Collinear photons

After including the NLO QED matrix elements, the electron and muon channels receive different contributions due to terms involving $\ln(m_b^2/m_{\ell}^2)$. This is the only source of the difference between these two channels. We emphasize that the results we present in Eqs. (1)–(5) correspond to the process $\bar{B} \to X_s\ell^+\ell^-$ in which QED photons are included in the $X_s$ system and the di-lepton invariant mass does not contain any photon, i.e. $q^2 = (p_{\ell^+} + p_{\ell^-})^2$. This would be exactly the case in a fully inclusive analysis using the recoil technique (such an analysis would require a Super-$B$ machine [19–22]).

However, as already pointed out in Refs. [8, 33], the presence of the logarithm is strictly related to the definition of the dilepton invariant mass. If all photons emitted by the final state on-shell leptons are included in the definition of $q^2$: $(p_{\ell^+} + p_{\ell^-})^2 \to (p_{\ell^+} + p_{\ell^-} + p_\gamma)^2$ then the electromagnetic logarithm $\ln(m_b^2/m_{\ell}^2)$ would be absent due to the Lee–Kinoshita–Nauenberg theorem [38, 39], and hence its effect would disappear\(^\text{b}\) Only if all these photons are included in the $X_s$ system and not in the $q^2$ (i.e. if a perfect separation of leptons and collinear photons is achieved) our expressions containing $\ln(m_b^2/m_{\ell}^2)$ are directly applicable. We emphasize that by collinear photons we denote only photons that are emitted in the vacuum. No photons emitted in the external magnetic field of the detector nor from interaction with the detector material are considered.

In the BaBar and Belle experiments the inclusive decay is measured as a sum over exclusive states. Moreover, the treatment of the QED radiation is different [40, 41]. As a consequence the log-enhanced QED corrections are not directly applicable to the present experimental results and have to be modified. Let us elaborate on this.

\(^{a}\theta\) is the angle between the positively charged lepton and the $\bar{B}$ in the c.m.s. of the lepton pair.

\(^{b}\)We note here that if the photons emitted by the final $X_s$ system were also included in the $q^2$ definition then there would be an additional $\ln(m_b^2/m_{\ell}^2)$. 
For what concerns the di-muon final state, both BaBar and Belle do not include hard collinear photons in the $q^2$ definition nor in the final $X_s$ system. So the collinear logarithms associated to real photon emission are absent. Hence, the theoretical prediction should contain only the logarithms appearing in the calculation of virtual photon exchanges plus real unresolved (i.e. soft) emission. In Eqs. (1)–(5) we added all real and virtual effects. Because of the details of the regularization procedure we used in Refs. [8,33] (dimensional regularization for both soft and collinear singularities), a dedicated calculation is required in order to disentangle soft and collinear contributions.

For what concerns the di-electron final state, both experiments include photons in a cone whose opening angle $\theta$ is about $(35-50)$ mrad around the lepton directions. The current BaBar exclusive, and projected inclusive, $s\ell^+\ell^-$ analyses use only the single most energetic photon above the minimum energy threshold (see below) lying in the cone around the electron. For photons emitted in this cone we have $m_\ell^2 \leq (p_\ell + p_\gamma)^2 \leq \Lambda^2 \simeq 2E_\ell^2(1 - \cos \theta)$, where $E_\ell$ is the energy of the lepton, usually of order $m_b/2$. Using $E_\ell = m_b/2$ and $\theta = 45$ mrad, $\Lambda$ is found to be of order $m_\mu$. Thus, as discussed in Refs. [8,33], if the photons within the cone were included in the $q^2$ definition and the collinear photons outside the cone in the final $X_s$ system, our results would be directly applicable with $\ln(m_\mu/m_\ell^2)$ replaced by $\ln(m_\mu^2/\Lambda^2)$ where $\Lambda \sim O(m_\mu)$.

However, the experimental situation is even more complicated. At BaBar and at Belle the collinear photons outside the cone are not included at all neither in the $q^2$ nor in the $X_s$ contribution. At Belle events with energetic photons ($> 100$ MeV) or less energetic photons ($20-100$ MeV) are effectively vetoed or suppressed, respectively, by requiring energies and the momenta of the final state particles (two leptons, a $K^{(*)}$ and up to four pions) to add up to the $B$ meson energy and momentum. At BaBar energetic photons outside the cone are effectively vetoed by requiring the momenta of the final state particles (two leptons, a $K^{(*)}$ and up to three pions) to add up to the $B$ meson momentum. Moreover, the two experiments differ on the definition of the di-electron invariant mass squared, $q^2$: BaBar includes the collinear photons inside the cone in the $q^2$, while Belle does not. The first condition requires the subtraction of the BR for $B \rightarrow X_s e^+ e^- \gamma$ integrated over photons lying outside of the cone: this effect is proportional to $\log(m_\mu^2/\Lambda^2)$ and can be calculated only by means of a numerical integration over the phase space. The second one implies that the $\log(m_\mu^2/m_\ell^2)$ is absent in the BaBar case and present in the Belle one.

Finally we remind that photons which are resolved but whose energy is below an experiment–dependent threshold of about $30$ (20) MeV in the lab frame at BaBar (Belle), are not observed, i.e., are not included in the di-lepton nor in the $X_s$ system, and effectively shift the energy of the reconstructed $B$ mesons. However, most likely these events are still considered to be a candidate inside the selection window. These events therefore introduce on the measured branching ratio a logarithmic dependence on the aforementioned energy threshold. This dependence can be computed by means of the soft photon approximation. In this way all the diagrams factorize into tree–level times photon emission. Also the phase space factorizes, and the integral will just give the soft and soft-collinear singularities. This kernel can be integrated up to the soft cut and when added to the virtual terms, the soft and soft-collinear singularities will drop out and will be replaced by the logarithm of the cut.

The conclusion is that the theoretical predictions for the measurements published by both experiments are affected by large collinear logarithms whose precise size has to be determined by separate (possibly numerical) calculations which take into account the experimental cuts on the photon energy and the opening angle of the collinear cone, as well as the boost from the lab frame into the rest frame of the $B$. We urge both collaborations to converge on a unique definition of these processes. We recommend to search and include all photons in the final state and to define the di-lepton invariant mass without the photon momentum (i.e. $q^2 = (p_\ell^+ + p_\ell^-)^2$): in this way the measured rate and asymmetries would correspond precisely to our theoretical predictions and
could be combined with future measurements performed with the recoil technique. Alternatively, the exclusion of all collinear photons from the measurement of the rate would allow a fully analytical calculation of the theoretical prediction (except for of the soft photon cut dependence).

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