ICMJE Form for Disclosure of Potential Conflicts of Interest

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

1. Identifying information.

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This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking “No” means that you did the work without receiving any financial support from any third party – that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check “Yes”.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

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Entity: government agency, foundation, commercial sponsor, academic institution, etc.

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Other: Anything not covered under the previous three boxes

Pending: The patent has been filed but not issued

Issued: The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your
# ICMJE Form for Disclosure of Potential Conflicts of Interest

## Section 1. Identifying Information

1. **Given Name (First Name)**
   - Alexandra

2. **Surname (Last Name)**
   - Pender

3. **Date**
   - 04-June-2020

4. Are you the corresponding author?  
   - Yes  ✔

5. **Manuscript Title**
   - EGFR circulating tumour DNA testing: Identification of predictors of ctDNA detection and implications for survival outcomes

6. **Manuscript Identifying Number (if you know it)**
   - TCR-19-581

---

## Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest?  
   - Yes  ✔
   - No

If yes, please fill out the appropriate information below. If you have more than one entity press the “ADD” button to add a row. Excess rows can be removed by pressing the "X" button.

| Name of Institution/Company | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments                  |
|-----------------------------|--------|----------------|------------------------|--------|--------------------------|
| Bristol-Myers Squibb        |        | ✔              |                        |        |                          |
| Guardant Health             |        | ✔              |                        |        |                          |
| Roche Canada                | ✔      |                |                        |        | Fellowship research funding |

---

## Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the “Add +” box. You should report relationships that were present during the 36 months prior to publication.

Are there any relevant conflicts of interest?  
   - Yes  ✔
   - No

---

## Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
   - Yes  ✔
   - No
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 5. Relationships not covered above

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☑ No other relationships/conditions/circumstances that present a potential conflict of interest

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Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Pender reports personal fees from Bristol-Myers Squibb, personal fees from Guardant Health, grants from Roche Canada, during the conduct of the study.

Evaluation and Feedback

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)
   Curtis

2. Surname (Last Name)
   Hughesman

3. Date
   04-June-2020

4. Are you the corresponding author? [ ] Yes [✓] No
   Corresponding Author’s Name
   Cheryl Ho

5. Manuscript Title
   EGFR circulating tumour DNA testing: Identification of predictors of ctDNA detection and implications for survival outcomes

6. Manuscript Identifying Number (if you know it)
   TLR-19-581

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If yes, please fill out the appropriate information below.

| Name of Entity | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments |
|----------------|--------|----------------|------------------------|--------|----------|
| AstraZeneca    |        |                |                        | [✓]    | Monetary support to attend 2019 IASLC World Lung Conference |

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? [ ] Yes [✓] No
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Dr. Hughesman reports other from AstraZeneca, outside the submitted work.

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Law
**ICMJE Form for Disclosure of Potential Conflicts of Interest**

**Section 1. **Identifying Information

1. **Given Name (First Name)**  
   Elaine

2. **Surname (Last Name)**  
   Law

3. **Date**  
   02-June-2020

4. **Are you the corresponding author?**  
   - Yes  
   - No  
   ✔ No

   **Corresponding Author’s Name**  
   Dr. Cheryl Ho

5. **Manuscript Title**  
   EGFR circulating tumour DNA testing: Identification of predictors of ctDNA detection and implications for survival outcomes

6. **Manuscript Identifying Number (if you know it)**  
   TLCR-19-581

**Section 2. **The Work Under Consideration for Publication

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   - Yes  
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   - Yes  
   - No  
   ✔ No

**Section 4. **Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
   - Yes  
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   ✔ No
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Dr. Law has nothing to disclose.

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| 1. Given Name (First Name) | 2. Surname (Last Name) | 3. Date               |
|---------------------------|------------------------|-----------------------|
| Amadea                    | Kristanti              | 04-June-2020          |

4. Are you the corresponding author? [ ] Yes [x] No

Corresponding Author's Name: Cheryl Ho

5. Manuscript Title

EGFR circulating tumour DNA testing: Identification of predictors of ctDNA detection and implications for survival outcomes

6. Manuscript Identifying Number (if you know it)

TLCR-19-581

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Dr. Kristanti has nothing to disclose.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  B. Kelly
2. Surname (Last Name)  McNeil
3. Date  02-June-2020

4. Are you the corresponding author?  
   - Yes  
   - No  ✔

Corresponding Author’s Name  Cheryl Ho

5. Manuscript Title  EGFR circulating tumour DNA testing: Identification of predictors of ctDNA detection and implications for survival outcomes

6. Manuscript Identifying Number (if you know it)  TLCR-19-581

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Dr. McNeil has nothing to disclose.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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**Royalties**: Funds are coming in to you or your institution due to your patent
**ICMJE Form for Disclosure of Potential Conflicts of Interest**

**Section 1. Identifying Information**

| 1. Given Name (First Name) | 2. Surname (Last Name) | 3. Date |
|-----------------------------|------------------------|---------|
| Selina                      | Wong                   | 02-June-2020 |

4. Are you the corresponding author?  
   - Yes  
   - No  

Corresponding Author’s Name  
Dr. Cheryl Ho

5. Manuscript Title  
EGFR circulating tumour DNA testing: Identification of predictors of ctDNA detection and implications for survival outcomes

6. Manuscript Identifying Number (if you know it)  
TLCR-19-581

**Section 2. The Work Under Consideration for Publication**

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  
Are there any relevant conflicts of interest?  
- Yes  
- No

**Section 3. Relevant financial activities outside the submitted work.**

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Are there any relevant conflicts of interest?  
- Yes  
- No

**Section 4. Intellectual Property -- Patents & Copyrights**

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
- Yes  
- No
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 5. Relationships not covered above

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Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Wong has nothing to disclose.

Evaluation and Feedback

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
Tracy

2. Surname (Last Name)  
Tucker

4. Are you the corresponding author?  
☒ No

3. Date  
02-June-2020

5. Manuscript Title  
EGFR circulating tumour DNA testing: Identification of predictors of ctDNA detection and implications for survival outcomes

6. Manuscript Identifying Number (if you know it)  
TLCR-19-581

Section 2. The Work Under Consideration for Publication

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Are there any relevant conflicts of interest?  
☒ No

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Are there any relevant conflicts of interest?  
☒ Yes  
☐ No

If yes, please fill out the appropriate information below.

| Name of Entity     | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments             |
|--------------------|--------|----------------|------------------------|--------|----------------------|
| Astra Zeneca       | ☑      | ☐             | ☐                      | ☐      | educational grant    |

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
☒ No
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 5. Relationships not covered above

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☐ Yes, the following relationships/conditions/circumstances are present (explain below):

☑ No other relationships/conditions/circumstances that present a potential conflict of interest

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Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Tucker reports grants from Astra Zeneca, outside the submitted work.

Evaluation and Feedback

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3. Relevant financial activities outside the submitted work.
4. Intellectual Property.
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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Ian
2. Surname (Last Name) Bosdet
3. Date 02-June-2020
4. Are you the corresponding author? ☑ No
   Corresponding Author’s Name Cheryl Ho
5. Manuscript Title EGFR circulating tumour DNA testing: Identification of predictors of ctDNA detection and implications for survival outcomes
6. Manuscript Identifying Number (if you know it) TLCR-19-581

Section 2. The Work Under Consideration for Publication

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Are there any relevant conflicts of interest? ☑ No

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Are there any relevant conflicts of interest? ☑ No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ☑ No
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Dr. Bosdet has nothing to disclose.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

### Section 1. Identifying Information

| 1. Given Name (First Name) | 2. Surname (Last Name) | 3. Date |
|---------------------------|------------------------|---------|
| Sean                      | Young                  | 02-June-2020 |

4. Are you the corresponding author?  
   - [ ] Yes  
   - [x] No

Corresponding Author’s Name  
Dr. Cheryl Ho

5. Manuscript Title  
EGFR circulating tumour DNA testing: Identification of predictors of ctDNA detection and implications for survival outcomes

6. Manuscript Identifying Number (if you know it)  
TLCR-19-581

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Are there any relevant conflicts of interest?  
- [ ] Yes  
- [x] No

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Are there any relevant conflicts of interest?  
- [ ] Yes  
- [x] No

### Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
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- [x] No
ICMJE Form for Disclosure of Potential Conflicts of Interest

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Dr. Young has nothing to disclose.

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**Section 1. Identifying Information**

| 1. Given Name (First Name) | 2. Surname (Last Name) | 3. Date              |
|---------------------------|------------------------|----------------------|
| Janessa                   | Laskin                 | 02-June-2020         |

4. Are you the corresponding author? [ ] Yes [x] No

Corresponding Author’s Name
Cheryl Ho

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| Name of Entity | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments                        |
|----------------|--------|----------------|------------------------|--------|---------------------------------|
| Roche          | [x]    | [ ]            | [ ]                    | [ ]    | Educational grant to institution|
| Roche          | [ ]    | [x]            | [ ]                    | [ ]    | Honorarium for academic talks   |
| Pfizer         | [ ]    | [x]            | [ ]                    | [ ]    | Honorarium for advisory board meeting |
| Takeda         | [ ]    | [x]            | [ ]                    | [ ]    | Honorarium for advisory board meeting |
| AstraZeneca    | [x]    | [ ]            | [ ]                    | [ ]    | Educational grant to institution |
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Dr. Laskin reports grants from Roche, personal fees from Roche, personal fees from Pfizer, personal fees from Takeda, grants from AstraZeneca, outside the submitted work.

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Royalties: Funds are coming in to you or your institution due to your patent
Section 1. Identifying Information

1. Given Name (First Name) Aly
2. Surname (Last Name) Karsan
3. Date 02-June-2020
4. Are you the corresponding author? ☑ No
5. Manuscript Title EGFR circulating tumour DNA testing: Identification of predictors of ctDNA detection and implications for survival outcomes
6. Manuscript Identifying Number (if you know it) TLCR-19-581

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Are there any relevant conflicts of interest? ☑ No

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Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ☑ No
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

☐ Yes, the following relationships/conditions/circumstances are present (explain below):

☑ No other relationships/conditions/circumstances that present a potential conflict of interest

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Karsan has nothing to disclose.

Please visit http://www.icmje.org/cgi-bin/feedback to provide feedback on your experience with completing this form.
ICMJE Form for Disclosure of Potential Conflicts of Interest

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

1. Identifying information.

2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking “No” means that you did the work without receiving any financial support from any third party — that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check “Yes”.

3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work’s sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

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Definitions.

Entity: government agency, foundation, commercial sponsor, academic institution, etc.
Grant: A grant from an entity, generally [but not always] paid to your organization
Personal Fees: Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speakers bureaus, expert testimony, employment, or other affiliations
Non-Financial Support: Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.
Other: Anything not covered under the previous three boxes
Pending: The patent has been filed but not issued
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Licensed: The patent has been licensed to an entity, whether earning royalties or not
Royalties: Funds are coming in to you or your institution due to your patent
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  Stephen
2. Surname (Last Name)  Yip
3. Date  02-June-2020
4. Are you the corresponding author?  ☑ Yes  ☐ No
  Corresponding Author’s Name  Cheryl Ho
5. Manuscript Title
  EGFR circulating tumour DNA testing: Identification of predictors of ctDNA detection and implications for survival outcomes
6. Manuscript Identifying Number (if you know it)
  TLCR-19-581

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest?  ☑ Yes  ☐ No

Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the “Add +” box. You should report relationships that were present during the 36 months prior to publication.

Are there any relevant conflicts of interest?  ☑ Yes  ☐ No

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| Name of Entity | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments |
|----------------|--------|----------------|------------------------|--------|----------|
| Amgen          | ☑      | ☑             | ☐                      | ☐      | advisory board |
| Bayer          | ☑      | ☑             | ☐                      | ☐      | advisory board |
| Novartis       | ☑      | ☑             | ☐                      | ☐      | advisory board |
| Roche          | ☑      | ☑             | ☐                      | ☐      | advisory board |
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Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Yip reports personal fees from Amgen, personal fees from Bayer, personal fees from Novartis, personal fees from Roche, outside the submitted work;

Evaluation and Feedback

Please visit http://www.icmje.org/cgi-bin/feedback to provide feedback on your experience with completing this form.
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**Other**: Anything not covered under the previous three boxes

**Pending**: The patent has been filed but not issued

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**Licensed**: The patent has been licensed to an entity, whether earning royalties or not

**Royalties**: Funds are coming in to you or your institution due to your patent
ICMJE Form for Disclosure of Potential Conflicts of Interest

**Section 1. Identifying Information**

1. Given Name (First Name)  
   Cheryl  

2. Surname (Last Name)  
   Ho  

3. Date  
   04-June-2020  

4. Are you the corresponding author?  
   ✔ Yes  
   □ No  

5. Manuscript Title  
   EGFR circulating tumour DNA testing: Identification of predictors of ctDNA detection and implications for survival outcomes  

6. Manuscript Identifying Number (if you know it)  
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Are there any relevant conflicts of interest?  
   ✔ Yes  
   □ No  

If yes, please fill out the appropriate information below. If you have more than one entity press the “ADD” button to add a row. Excess rows can be removed by pressing the “X” button.

| Name of Institution/Company | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments |
|-----------------------------|--------|----------------|------------------------|--------|----------|
| Astra Zeneca                | ✔      | □              | □                      | □      | Grant to facilitate the ctDNA testing and validation |

**Section 3. Relevant financial activities outside the submitted work.**

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the “Add +” box. You should report relationships that were present during the 36 months prior to publication.  

Are there any relevant conflicts of interest?  
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   □ No  

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| Name of Entity              | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments               |
|-----------------------------|--------|----------------|------------------------|--------|------------------------|
| Astra Zeneca                | ✔      | ✔              | □                      | □      | honorarium, research grant |
| Boehringer Ingelheim        | □      | ✔              | □                      | ✔      | honorarium, research grant, travel |
| BMS                         | □      | ✔              | □                      | □      | honorarium              |
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| Name of Entity | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments |
|----------------|--------|----------------|------------------------|--------|----------|
| Roche          | ☐      | ✔️             | ☐                      | ✔️     | honorarium, travel |
| Lilly          | ☐      | ✔️             | ☐                      | ☐      | honorarium |
| Eisai          | ☑️     | ✔️             | ☐                      | ☐      | Research grant, honoraria |
| Merck          | ☐      | ✔️             | ☐                      | ☐      | honoraria |
| Bayer          | ☐      | ✔️             | ☐                      | ☐      | honoraria |
| Novartis       | ☐      | ✔️             | ☐                      | ☐      | honoraria |

## Section 4. Intellectual Property -- Patents & Copyrights

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☐ Yes  ☑️ No

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Dr. Ho reports grants from Astra Zeneca, during the conduct of the study; grants and personal fees from Astra Zeneca, personal fees and other from Boehringer Ingelheim, personal fees from BMS, personal fees and other from Roche, personal fees from Lilly, grants and personal fees from Eisai, personal fees from Merck, personal fees from Bayer, personal fees from Novartis, outside the submitted work; Dr. Ho reports grants from Astra Zeneca, during the conduct of the study; grants and personal fees from Astra Zeneca, personal fees and other from Boehringer Ingelheim, personal fees from BMS, personal fees and other from Roche, personal fees from Lilly, grants and personal fees from Eisai, personal fees from Merck, personal fees from Bayer, personal fees from Novartis, outside the submitted work; .
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