PHOTOPRODUCTION OF THE ISOLATED PHOTON AT HERA IN NLO QCD

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The NLO QCD calculation for the photoproduction of the isolated photon with a large $p_T$ at the HERA $ep$ collider is presented. The single resolved photon contribution and the QCD corrections of order $\alpha_s$ to the Born term are consistently included. The NNLO contributions, the box and the double resolved photon subprocesses, are sizeable and are taken into account in addition. The importance of the isolation cut, as well as the influence of other experimental cuts on the $p_T$ and $\eta_\gamma$ (the final photon rapidity) distributions are discussed in detail. The investigation of the renormalization scale dependence is performed in order to estimate the size of missing higher order QCD corrections. Results are compared with experimental data and with the prediction of a different NLO calculation.

1 Introduction

The production of the prompt photon with large transverse momentum, $p_T$, in the $ep$ collision is considered. Such reaction is dominated by events with almost real photons mediating the $ep$ interaction, $Q^2 \approx 0$, so in practice we deal with the photoproduction of the prompt photon. The other name for such process is Deep Inelastic Compton (DIC) scattering (although $Q^2 \approx 0$, the scattering is “deep inelastic” due to a large transverse momentum of the final photon). The photon emitted by the electron may interact with the proton partons directly or as a resolved one. Analogously, the observed final photon may arise directly from hard partonic subprocesses or from fragmentation processes, where a quark or a gluon decays into the photon.

The importance of the DIC process in the $ep$ collision for testing the Parton Model and then the Quantum Chromodynamics was studied previously by many authors. Measurements were performed at the HERA $ep$ collider by the ZEUS group also the H1 Collaboration has presented preliminary results. In these experiments only events with isolated photons were included in the analysis, i.e. with a restriction imposed on the hadronic energy detected close to the photon. The corresponding cross sections for the photoproduction of an isolated photon and of an isolated photon plus jet were calculated in QCD in next-to-leading order (NLO). There also exists analogous calculation for the large-$Q^2$ $ep$ collision (DIS events).

In this paper the results of the NLO QCD calculation for the DIC process with an isolated photon at the HERA $ep$ collider are presented. We consider the parton distributions in the photon and parton fragmentation into the photon as quantities of order $\alpha_{em}$. Our approach differs from the NLO approach by set of subprocesses included in the analysis. The comparison of our predictions with the NLO results obtained by L.E. Gordon (LG) is presented for cross sections with kinematical cuts as in the ZEUS Collaboration measurements.

The present analysis is the final, much extended and improved version of the previous one. We show results for non-isolated final photon, and we study the influence of the isolation cut on the production rate of the photon. The role of other specific cuts applied by the ZEUS Collaboration are discussed and the comparison with data is made. We emphasize the importance of the box diagram $\gamma g \rightarrow \gamma g$, being the higher order process, in description of the data.

We study the renormalization scale dependence of the cross section in order to estimate the size of missing higher order (NNLO or higher) QCD corrections. The NLO results for the photoproduction of the isolated $\gamma$ are compared to the leading logarithm (LL) ones, and in addition the LL predictions for isolated $\gamma + \text{jet}$ final state are presented.

In the recent ZEUS analysis of the prompt photon plus jet production the intrinsic transverse momentum of partons in the proton was included in Monte Carlo simulations to improve agreement between data and predictions. This momentum is not included in our calculations.

We start with discussion on the choice of relevant diagrams defining our NLO approach to the DIC process and the equivalent photon approximation in sec. 4. In secs. 5 and 6 the results of numerical calculations are presented and compared with data and other NLO predictions. In sec. 7 we show LL predictions for the photon plus jet production. Finally, sec. 8 summarizes our results.
2 The NLO calculation for $\gamma p \rightarrow \gamma X$ Deep Inelastic Compton scattering

2.1 General discussion

We start by describing processes which are (should be?) included in the NLO QCD calculations of the cross section for the DIC process,

$$\gamma p \rightarrow \gamma X,$$

where the final photon is produced with large transverse momentum, $p_T \gg \Lambda_{QCD}$. Although we will consider the process (1), the problem which we touch upon is more general - it is related to different approaches to NLO calculations of cross sections for hadronic processes involving resolved photons, see 6, 17 and for more detailed discussion 20.

The Born level contribution to the cross section for process (1), i.e. the lowest order in the strong coupling $\alpha_s$ term, arises from the Compton process on the quark (fig. 1):

$$\gamma q \rightarrow \gamma q.$$

It gives the $[\alpha^2_{em}]$ order contributions to the partonic cross section $a$. At the same $\alpha^2_{em}$ order it contributes to the hadronic cross section for the process $\gamma p \rightarrow \gamma X$.

The Parton Model (PM) prediction for the DIC process (1), which applies for $x_T = 2p_T/\sqrt{S} \sim O(1)$, relies solely on the Born contribution (2), namely:

$$d\sigma_{\gamma p \rightarrow \gamma p} = \sum_q \int dx_p q_p(x_p) d\sigma_{\gamma q \rightarrow \gamma q},$$

where $q_p$ is the quark density in the proton and $d\sigma_{\gamma p \rightarrow \gamma X}$ ($d\sigma_{\gamma q \rightarrow \gamma q}$) stands for the hadronic (partonic) cross section. In the QCD improved PM the cross section is given by (3), however with scale dependent quark densities. For semihard processes, where $x_T \ll 1$, the prediction based on the process (2) only is not a sufficient approximation, and one should also consider the contributions corresponding to the collinear showers, involving hadronic-like interactions of the photon(s). There are two classes of such contributions: single resolved with resolved initial or final photon, and double resolved with both the initial and final photon resolved (figs. 2, 3). They correspond to partonic cross sections of orders $[\alpha^2_{em} \alpha_s]$ (single resolved) and $[\alpha^2_s]$ (double resolved). If one takes into account that partonic densities in the photon and the parton fragmentation into the photon are of order $\sim \alpha_{em}$, then the contributions to the hadronic cross section from these resolved photon processes are $\alpha^2_{em} \alpha_s$ and $\alpha^2_{em} \alpha^2_s$, respectively. Both single and double resolved contributions are included in the standard LL QCD analyses of the DIC process 4, 5, 9, 21, 22.

To obtain the NLO QCD predictions for the process (1) the $\alpha_s$ corrections to the lowest order process (2) have to be calculated leading to terms of order $\alpha^4_{em} \alpha_s$ (fig. 4). In these $\alpha^4_{em} \alpha_s$ contributions there are collinear singularities to be subtracted and shifted into corresponding quark densities or fragmentation functions. This way the single resolved photon contribution appears in the calculation of the $\alpha_s$ corrections to the Born process. It is worth noticing that in the NLO expression for the cross section there are no collinear singularities which would lead to the double resolved photon contributions. It indicates that taking into account $[\alpha^4_s]$ subprocesses, associated with both the initial and final photons resolved, goes beyond the accuracy of the NLO calculation. This will be consistent within the...
NNLO approach, where $\alpha_s^2$ correction to the Born term and $\alpha_s$ correction to the single resolved terms should be included, all giving the same $\alpha_{em}^2\alpha_s^2$ order contribution to the hadronic cross sections.

The other set of diagrams is considered by some authors \cite{21,22} in the NLO approach to DIC process \cite{23}, due to their different way of counting the order of parton densities in the photon (and the parton fragmentation into the photon). This approach, which we will call “1/$\alpha_s$” approach, is motivated by large logarithms of $Q^2$ in the $F_2$ existing already in the PM. By expressing $\ln(Q^2/\Lambda_Q^2)$ as $\sim 1/\alpha_s$ one treats the parton densities in the photon as proportional to $\alpha_{em}/\alpha_s$ (see e.g. \cite{23}). By applying this method to the DIC process, we see that the single resolved photon contribution to the hadronic cross section for $\gamma p \rightarrow \gamma X$ becomes of the same order as the Born term, namely

$$\frac{\alpha_{em}}{\alpha_s} \otimes [\alpha_{em} \alpha_s] \otimes 1 = \alpha_{em}^2. \quad (4)$$

The same is also observed for the double resolved photon contribution

$$\frac{\alpha_{em}}{\alpha_s} \otimes [\alpha_s^2] \otimes \frac{\alpha_{em}}{\alpha_s} = \alpha_{em}^2. \quad (5)$$

We see that by such counting, the same $\alpha_{em}^2$ order contributions to the hadronic cross section are given by the direct Born process, single and double resolved photon processes although they correspond to different final states (observe a lack of the remnant of the photon in the direct process). Moreover, they constitute the lowest order (in the strong coupling constant) term in the perturbative expansion, actually the zeroth order, so the direct dependence of the cross section on the strong coupling constant is absent. If one takes into account that some of these terms correspond to the hard processes involving gluons, the lack of terms proportional to $\alpha_s$ coupling in the cross section seems to be contrary to intuition.

In the “1/$\alpha_s$” approach beside the $\alpha_s$ correction to the Born cross section, the $\alpha_s$ corrections to the single and to the double resolved photon contributions are included in the NLO calculation, since all of them give terms of the same order, $\alpha_{em}^2\alpha_s^2$.

To summarize, the first approach starts with one basic, direct subprocess as in the PM (eq. \ref{eq:pm}), while the second one with three different types of subprocesses (as in the standard LL calculation). Obviously, some of NNLO terms in the first method belong to the NLO terms in the second one.

In this paper we apply the first type of NLO approach to the DIC process, however with some important NNLO terms additionally included. A comparison between our results and the results based on the other approach \cite{21,22} is discussed in sec. \ref{sec:comparison}.

2.2 The cross section

Below we describe our approach to the DIC process, where the parton densities in the photon and the parton fragmentation into the photon are treated as $\sim \alpha_{em}$.

In the NLO QCD calculation of the DIC process we take into account the following subprocesses:

- the Born contribution (fig. \ref{fig:born});
- the finite $\alpha_s$ corrections to the Born diagram (so called K-term) from virtual gluon exchange, real gluon emission (fig. \ref{fig:no}), and the process $\gamma g \rightarrow q\bar{q}\gamma$;
- two types of single resolved photon contributions, with resolved initial and final photons (fig. \ref{fig:resolved}).

Besides the above full NLO set, we will include two terms of order $\alpha_{em}^2\alpha_s^2$ (formally from the NNLO set):
- the double resolved contributions (fig. \ref{fig:double}) and the direct diagram (box) $\gamma g \rightarrow \gamma g$ (fig. \ref{fig:direct}), since they were found to be large.

The cross section for the $\gamma p \rightarrow \gamma X$ scattering has the following form:

$$E\gamma \frac{d^3\sigma_{\gamma p \rightarrow \gamma X}}{d^3p_\gamma} = \sum_b \int dx f_{b/p}(x, \bar{Q}^2) \frac{\alpha_s(Q^2)}{2\pi^2 s} K_b +$$

$$\sum_{abc} \int \frac{dz}{z} \int dx \alpha_s(Q^2) f_{b/p}(x, \bar{Q}^2) \cdot$$

$$\cdot D_{\gamma/c}(z, Q^2) E\gamma \frac{d^3\sigma_{ab \rightarrow cd}}{d^3p_{\gamma}} \quad (6)$$

The first term is the K-term describing the finite $\alpha_s$ corrections to the Born process, and the second one stands for the sum over all other contributions (including the Born contribution). The $f_{a/\gamma}$ ($f_{b/p}$) is a $a$ ($b$)-parton distribution in the photon (proton) while the $D_{\gamma/c}$ is a $c$-parton fragmentation function. For the direct initial (final) photon, where $a = \gamma$ ($c = \gamma$), we take $f_{a/\gamma} = \delta(x_\gamma - 1)$ ($D_{\gamma/c} = \delta(z - 1)$) (the Born contribution is obtained for $a = \gamma$, $b = q$ and $c = \gamma$). The variables $x_\gamma$, $x$ and $z$ stand for the fraction of the initial photon, proton, and $c$-parton momenta taken by the $a$-parton, $b$-parton, and the final photon, respectively. The renormalization scale is assumed equal to the factorization scale and is denoted as $\bar{Q}$. 

Figure 5: The box diagram.
3 The isolation

In order to observe photons originating from a hard subprocess one should reduce backgrounds, mainly from π0’s and γ’s radiated from final state hadrons. To achieve this, isolation cuts on the observed photon are introduced in experimental analyses. The isolation cuts are defined by demanding that the sum of hadronic energy within a cone of radius R around the final photon, where the radius R is defined in the rapidity and azimuthal angle space (see eq. 13 in Appendix), should be smaller than the final photon energy multiplied by a small parameter ε:

\[ \sum_{\text{hadrons}} E_h < \epsilon E_\gamma. \]  

The simplest way to calculate the differential cross section for an isolated photon, \(d\sigma_{\text{isol}}\), is to calculate the difference of a non-isolated differential cross section, \(d\sigma_{\text{non-isol}}\), and a subtraction term, \(d\sigma_{\text{sub}}\):

\[ d\sigma_{\text{isol}} = d\sigma_{\text{non-isol}} - d\sigma_{\text{sub}}. \]  

The subtraction term corresponds to cuts opposite to the isolation cuts, i.e. hadrons with the total energy higher than the photon energy multiplied by ε should appear within a cone of radius R around the final photon.

The isolation cuts are imposed only when calculating the K-term, and in contributions involving fragmentation function (resolved final photon). Other contributions arise from 2 \(\rightarrow\) 2 subprocesses with direct final photon that is isolated by definition.

In the analysis we apply the subtraction method with the subtraction term calculated in an approximate way, see 13 for details. The approximation bases on the assumption that an angle \(\delta\) between the final photon and a parton inside the cone of radius R is small. It allows to simplify considerably the calculations and leads to compact analytical expressions for all relevant matrix elements involved in \(d\sigma_{\text{sub}}\). Note that in this approximation the angle \(\delta\) is simply proportional to the radius R: \(\delta = R/\cosh(\eta_h)\). The above small-\(\delta\) approximation is used only in calculation of the K-term in the subtraction cross section \(d\sigma_{\text{sub}}\), for the results see Appendix. Other contributions to \(d\sigma_{\text{sub}}\), as well as \(d\sigma_{\text{non-isol}}\) and all LL expressions, are obtained in an exact way.

It is worth mentioning that there is an ongoing discussion whether the conventional factorization breaks down, and whether the cross section is an infrared safe quantity for isolated photon photoproduction in \(e^+e^-\) collisions (also for hadron-hadron reactions). In principle these questions could as well occur for the photoproduction of isolated photons in \(ep\) collisions. However we do not deal with this problem because it arises from 2 \(\rightarrow\) 3 subprocesses in which a final quark fragments into a photon. We checked this explicitly and found that all singularities in \(d\sigma_{\text{sub}}\) are canceled or factorized, as in \(d\sigma_{\text{non-isol}}\). Therefore the considered by us cross section \(d\sigma_{\text{isol}}\) is well defined (see also 13).

4 The equivalent photon approximation

We consider the production of photons with large transverse momentum, \(p_T \gg \Lambda_{QCD}\), in the \(ep\) scattering, \(ep \rightarrow e\gamma X\), at the HERA collider. This reaction is dominated by photoproduction events, i.e. the electron is scattered at a small angle and the mediating photon is almost real, \(Q^2 \approx 0\). The cross section for such processes can be calculated using the equivalent photon (Williams-Weizsäcker) approximation 2 which relates the differential cross section for \(ep\) collision to the differential cross section for \(\gamma p\) collision. For the DIC scattering the approximation has the following form:

\[ d\sigma_{ep\rightarrow e\gamma X} = \int G_{\gamma/e}(y) d\sigma_{\gamma/e\gamma X} dy, \]

where \(y\) is (in the laboratory frame) a fraction of the initial electron energy taken by the photon.

We apply the equivalent photon approximation and take the (real) photon distribution in the electron in the form 2:

\[ G_{\gamma/e}(y) = \frac{\alpha_m}{2\pi} \left\{ 1 + \left[ 1 - \frac{y^2}{m_e^2 Q_{\text{max}}^2} \right] \ln \frac{Q_{\text{max}}^2 (1 - y)}{m_e^2 y^2} \right\} - \frac{2}{y} \left( 1 - y - \frac{m_e^2 y^2}{Q_{\text{max}}^2} \right), \]

with \(m_e\) being the electron mass. In the numerical calculations we assume \(Q_{\text{max}}^2 = 1\) GeV\(^2\) what is a typical value for the recent photoproduction measurements at the HERA collider.

5 The results and comparison with data

The results for the non-isolated and isolated photon cross sections are obtained in NLO accuracy with additional NNLO terms, as discussed in sec. 2.2. We take the HERA collider energies: \(E_e=27.5\) GeV and \(E_p=820\) GeV, and we consider the \(p_T\) range of the final photon between 5 and 20 GeV (\(x_T\) from 0.03 to 0.13). The calculations are performed in \(\overline{\text{MS}}\) scheme with a hard (renormalization, factorization) scale \(Q\) equal \(p_T\). Also \(Q = p_T/2\) and 2\(p_T\) are used to study the dependence of the results on the choice of \(Q\). We neglect the quark masses and assume the number of active flavors to be \(N_f=4\) (and for comparison also \(N_f=3\) and 5). The two-loop coupling constant \(\alpha_s\) is
used in the form
\[ \alpha_s(Q^2) = \frac{4\pi}{\beta_0 \ln(Q^2/\Lambda_{QCD}^2)} \left[ 1 - \frac{2\beta_1}{\beta_0^2} \ln(\Lambda_{QCD}^2/\Lambda_{QCD}^2) \right] \]
(\(\beta_0 = 11 - 2/3N_f\) and \(\beta_1 = 51 - 19/3N_f\), with \(\Lambda_{QCD} = 0.365, 0.320\) and \(0.220\) GeV for \(N_f = 3, 4, 5\), respectively, as fitted by us to the experimental value of \(\alpha_s(M_Z^2) = 0.1177\). The \(\Lambda_{QCD}^2 = 0.120\) GeV for \(N_f = 4\) was taken in one-loop \(\alpha_s\) when calculating the cross section in LL accuracy.

We use the GRV parametrizations of the proton structure function (NLO and LO) \[\text{[12]}\], the photon structure function (NLO and LO) \[\text{[12]}\], and the fragmentation function (NLO) \[\text{[12]}\]. For comparison other parametrizations are also used: DO \[\text{[13]}\], ACGFP \[\text{[10]}\], CTEQ \[\text{[11]}\], MRST \[\text{[11]}\] and GS \[\text{[14]}\].

As the reference we take the GRV NLO set of parton distributions \[\text{[12]}\], \(N_f = 4\), \(\Lambda_{QCD} = 320\) GeV and \(\bar{Q} = p_T\).

5.1 Non-isolated versus isolated photon cross section

The \(p_T\) distribution for the produced final photon without any cut is presented in fig. \[\text{[15]}\] where the NLO results and separately the Born term (with NLO parton densities) are shown. The cross section decreases by three orders of magnitude when \(p_T\) increases from 4 GeV to 20 GeV, and obviously the most important contribution is coming from the lowest \(p_T\) region. The subprocesses other than the Born one give all together contribution almost two times larger than the cross section for the Born subprocess alone.

The importance of particular contributions to the non-isolated cross section integrated over 5 GeV \(< p_T < 10\) GeV is illustrated in Table 1 (the first line). The total NLO cross section is equal to 226 pb, with individual contributions equal to: Born = 36.3%, single resolved = 35.1%, double resolved = 18.7%, box = 6.2%, K-term = 3.9%. We see that the single resolved photon processes give a contribution comparable to the Born term. Also the double resolved photon processes are important. It is worth noticing that the overall double resolved photon cross section is build from many, relatively small, individual terms. The direct box diagram \((\gamma g \rightarrow \gamma g)\) gives 17% of the Born \((\gamma g \rightarrow \gamma g)\) contribution. Relatively large box contribution (although being \(\alpha_s^2\)) is such partially due to large gluonic content of the photon at small \(x_\gamma\).

Next, in fig. \[\text{[15]}\] we compare the differential cross section \(d\sigma/d\eta\), for the non-isolated photon with corresponding predictions for the isolated photon using various values of the isolated cone variables \((\epsilon, R)\). The isolation cut suppresses the cross section by above 10% in the whole rapidity range. For \(\epsilon = 0.1\) and \(R = 1\) the suppression is 17-23% at rapidities \(-1.5 < \eta < 4\). This large effect is not too sensitive to the value of \(\epsilon\): changing the value by a factor of 2 from \(\epsilon = 0.1\) to \(\epsilon = 0.2\) or to \(\epsilon = 0.05\) varies the results for isolated photon by about 4\% (fig. \[\text{[15]}\]). The dependence on \(R\) is stronger but also not very large: when changing \(R\) value by a factor of 2 from 1 to 0.5 the results increase by about 7\% (fig. \[\text{[15]}\]).

The suppression due to the isolation imposed on the photon is presented in Table 1 (the second line) for individual contributions and for the total cross section. As expected, the cross section for fragmentation processes (i.e. with resolved final photon) is strongly suppressed: after isolation it is lowered by a factor of 5. At the same time the QCD corrections to the Born diagram increase significantly, i.e. the contribution to the subtraction cross section, \(d\sigma_{sub}\), due to this corrections is negative. The isolation restrictions do not modify contributions of other subprocesses since they involve photons isolated by definition. The subtraction cross section, being a sum of negative QCD corrections and fragmentation contributions, is of course positive and the total cross section for isolated final photon is lower, by 20\%, than for the non-isolated one.

In the following we keep \(R = 1\) and \(\epsilon = 0.1\), standard values used in both theoretical and experimental analyses.

5.2 Other experimental cuts

In order to compare the results with data we consider other cuts imposed by the ZEUS group on prompt photon events at the HERA collider \[\text{[12]}\]. The influence of the limited energy range, \(0.2 < y < 0.9\), is shown in fig. \[\text{[15]}\]. The cross section is strongly reduced, by 30-85\%, in the positive rapidity region. At negative rapidities the change due to the \(y\)-cut is weaker: 5-10\% at \(-1.2 < \eta < -0.4\) and 10-30\% at other negative rapidities. Separately we show the results obtained without the box subprocess (fig. \[\text{[15]}\]). The box diagram contributes mainly in the rapidity region between -1 and 3. After imposing the \(y\)-cut its contribution is important in narrower region from -1 to 1. The influence of the \(y\)-cut can be read also from Table 1 (the third line). One sees e.g. that the Born contribution is reduced 3.5 times, while other ones are suppressed less, roughly by a factor of 2.

The results obtained for the isolated photon with the \(y\)-cut and in addition with the cut on the final photon rapidity, \(-0.7 < \eta < 0.9\), are presented in the last line of Table 1. The restriction on \(\eta\) decreases the contributions of all subprocesses approximately by a factor of 2 (except for the double resolved contribution reduced almost 3 times).
The role of various experimental cuts is illustrated also in fig. 3 this time for the $x_\gamma$ distribution. In particular we see that the isolation and the energy cut reduce considerably the contributions from large and medium $x_\gamma$, while the contributions from $x_\gamma$ below 0.1 are reduced less. On the other hand, the small $x_\gamma$ contributions are strongly, by two orders of magnitude, diminished by the photon rapidity cut. This shows that measurements at the central $\eta_\gamma$ region ($-0.7 \leq \eta_\gamma \leq 0.9$) are not too sensitive to the small $x_\gamma$ values in the photon.

When calculating the QCD corrections to the Born process in the subtraction term $da_{\text{sub}}$ we used the small-$\delta$ approximation described in sec. 3. Because these corrections give less than 10% of the cross section for the isolated photon production with various cuts (see the third column in Table 1), we expect that the error resulting from using the approximations is small, though we use in fact not a small value of $\delta$ ($\delta = R/cosh\eta_\gamma, R = 1$).

5.3 The comparison with data

Two types of the final state were measured in the ZEUS experiment: 1) an isolated photon with $-0.7 \leq \eta_\gamma \leq 0.9$ and $5 \leq p_T \leq 10$ GeV; 2) an isolated photon plus jet with the photon rapidity and transverse momentum as above, the jet rapidity in the range $-1.5 \leq \eta_{\text{jet}} \leq 1.8$, and the jet transverse momentum $p_T^{\text{jet}} \geq 5$ GeV.

We compare our NLO predictions with the ZEUS data from the first type of measurements in fig. 11a. In fig. 11a the comparison is made for the transverse momentum distribution for various $N_f$. Although the predictions tend to lie slightly below the data a satisfactory agreement is obtained for $N_f = 4$. Note a large difference between the results for $N_f=4$ and 3 due to the fourth power of electric charge characterizing processes with two photons. We observe a very small contribution from the bottom quark (for $N_f=5$). The predictions are obtained in massless quark scheme and may overestimate the production rate.

Similar comparison of the NLO results with the data, now for the rapidity distribution, is shown in fig. 11b. A good description of the data is obtained for $N_f=4$ and $N_f=5$ in the rapidity region $0.1 \leq \eta_\gamma \leq 0.9$. For $-0.7 \leq \eta_\gamma \leq 0.1$ our predictions lie mostly below the experimental points. This disagreement between predicted and measured cross sections is observed also for other experimental data. The above explanation of differences between cross sections involving GS and both GRV and ACFGP parton densities is insufficient for higher rapidities, $\eta_\gamma > 2$. Here the differences between the results based on particular photon parametrizations are bigger, especially when comparing predictions obtained using GRV and ACFGP ones (not shown). This is due to large differences between used parton densities at low $x_\gamma$, which is probed at the high rapidity region.

All the considered parton distributions give similar description of the data when the scale is changed to $\mu = M_Z$.

\footnote{The ZEUS Collaboration has presented recently an analysis in which an intrinsic transverse momentum of partons in the proton, $k_T$, was introduced in the PYTHIA 6.1 generator in order to improve agreement between the data and Monte Carlo predictions for an isolated photon plus jet photoproduction. The data, selected with $x_\gamma > 0.9$, are consistent with the predictions for $<k_T>= 1.69 \pm 0.18^{+0.18}_{-0.20}$ GeV.}
\( \bar{Q} = 2p_T \), see fig. 1b. Here the calculation corresponds to \( \bar{Q}^2 \) which is always above 50 GeV\(^2\) and the charm density in the GS parametrization is non-zero, as in other parametrizations.

In fig. 2 our predictions are compared to the ZEUS data divided into three ranges of \( y \). This allows to establish that the above discussed discrepancy between the data and the predictions for \( \eta^\gamma < 0.1 \) is coming mainly from the low \( y \) region, \( 0.2 < y < 0.32 \). In the high \( y \) region, \( 0.5 < y < 0.9 \), a good agreement is obtained.

We have also studied the dependence of our results on the choice of the parton distributions in the proton and parton fragmentation into the photon (not shown). Cross sections calculated using GRV \( ^4 \) MRST (set ft08a) \( ^8 \) and CTEQ4M \( ^5 \) NLO parton parametrizations for the proton vary among one another by 4 to 7% at negative rapidities and less than 4% at positive rapidity values. Results for the isolated final photon are also not too sensitive to the fragmentation function. For rapidity ranging from -1 to 4 the cross section obtained with DO LO \( ^2 \) fragmentation function is 3.5% lower than the cross section based on GRV NLO \( ^3 \) parametrization. Only at minimal (\( \eta_f < -1 \)) and maximal (\( 4 < \eta_f \)) rapidity values this difference is larger, being at a level of 3.5 - 8%.

6 The theoretical uncertainties of the results and comparison with other NLO predictions

As we already mentioned the predictions are obtained in massless quark scheme and may overestimate the production rate. An improved treatment of the charm quark, especially in the box contribution which is particularly sensitive to the change from \( N_f = 3 \) to \( N_f = 4 \), would be needed. However we do not expect that this improvement would change qualitatively our results.

We now discuss the theoretical uncertainties of our predictions related to the perturbative expansion.

6.1 The dependence on the \( \bar{Q} \) scale

In order to estimate the contribution due to missing higher order terms, the influence of the choice of the \( \bar{Q} \) scale is studied for the \( \eta_f \) distribution. In fig. 3a the results obtained using GRV densities with and without the \( y \)-cut are shown. When changing \( \bar{Q} \) from \( p_T \) to \( 2p_T \) (\( p_T/2 \)) the cross section increases (decreases) at rapidities below \( \sim 1 \) and decreases (increases) at higher rapidity values. Only at high rapidities (where the cross section is small), \( \eta_f > 3 \), the dependence on the choice of the scale is strong, above 10% (up to 20-30% at \( \eta_f \approx 5 \)). In the wide kinematical region, \( -2 < \eta_f < 2 \), the relative differences between results (with and without the \( y \)-cut) for \( \bar{Q} = p_T \) and results for \( \bar{Q} = 2p_T \) or \( p_T/2 \) are small and do not exceed 6%. Around the maximum of the cross section at rapidities \(-1 \leq \eta_f \leq 0\) these differences are 4-6%. This small sensitivity of the results to the change of the scale is important since it indicates that the contribution from neglected NNLO and higher order terms is not significant.

Note that individual contributions are strongly dependent on the choice of \( \bar{Q} \), e.g. results for the single resolved processes vary by \( \pm 10-20\% \) at rapidities \( \eta_f \leq 1 \). Results are much more stable only when the sum of resolved processes and QCD corrections is considered.

In fig. 4b we present NLO results for various \( \bar{Q} \) with and without the \( y \)-cut, however this time with no box contribution. At rapidities \( \eta_f < 1 \) the uncertainty due to the choice of the renormalization scale is about two times higher than for the cross section with included box diagram, so the box contribution (\( \sim [\alpha_s^2] \)) seems to stabilize the NLO prediction. At rapidities \( \eta_f > 2 \) the relative dependence on the choice of the scale is similar for the cross section with and without the box term.

6.2 The comparison of NLO and LL predictions

In the present calculation we include in LL accuracy the single and double resolved photon processes as well as the box diagram in addition to the Born contribution, see also \( ^1 \) (although this is not fully consistent with the discussion in sec. 3). The cross section for the \( \gamma p \rightarrow \gamma X \) scattering in LL accuracy is obtained by convolution of partonic cross sections with relevant LO parton densities.

In fig. 4a we show the LL prediction for the isolated \( \gamma \) photoproduction (dotted line) together with NLO predictions (solid line) and the Born contribution only (dot-dashed line). The highest differences between the LL and NLO cross sections are seen at the rapidity range \(-0.5 < \eta_f < 2.5 \) where the LL results lie 10-20% below the NLO ones (fig. 4a). For the \( p_T \) distribution this difference is 10-14% in the whole presented range of the transverse momentum, \( 4 \leq p_T \leq 20 \) GeV (fig. 4b).

We think that the observed difference between NLO and LL results together with the weak dependence on the \( \bar{Q} \) scale discussed in sec. 6.1 indicates reliability of the calculation.

6.3 The comparison with other NLO results

As we discussed in Sec. 2, our NLO calculation of the DIC process differs from the “1/\( \alpha_s^2 \)”-type NLO analysis presented in ref. \( ^{14} \) by set of diagrams included in the calculation. We do not take into account \( \alpha_s \) corrections to the single and double resolved processes, which are beyond the NLO accuracy in our approach. On the other hand, we include the box diagram neglected in \( ^{14} \).
We compare our results and the results of the LG calculation (using $N_f=4$ and $Q = p_T$) for the isolated final photon ($R=1, \gamma = 0.1$) in the kinematical range as in the ZEUS analysis (i.e. for $-0.7 \leq \eta^\gamma \leq 0.9$ and $0.2 \leq y \leq 0.9$). First we use the GRV photon parton densities. The LG predictions for $d\sigma/dp_T$ cross section are about 20% higher than ours in the presented range of transverse momentum, $4 \leq p_T \leq 20$ GeV. For $d\sigma/d\eta^\gamma$ cross section (with $5 \leq p_T \leq 10$ GeV) the biggest differences are at $\eta^\gamma=0.9$ where the LG results are about 35% higher. The differences decrease towards negative rapidity values and are negligible at $-0.7 \leq \eta^\gamma < -0.5$. For $y$ range limited to low values only, $0.2 < y < 0.32$, the LG cross section is higher than ours by up to 20% at positive $\eta^\gamma$, while at negative $\eta^\gamma$, it is lower by up to 10%. For large $y$ values, $0.5 < y < 0.9$, where our predictions agree with data, the LG results are higher than ours by up to 80% (at $\eta^\gamma = 0.9$).

As it was already discussed, for $\bar{Q} = p_T$ the GS photon distributions lead to results lower by 11-14% than those obtained with GRV densities at rapidities between -1 and 1 (see sec. 5.3). In calculations presented in 5.4, this difference is even twice larger.

The LG predictions obtained using the GS parametrization lie up to 20% below ours (also based on GS distributions, with $\bar{Q} = p_T$ and $N_f = 4$) at rapidities $-0.7 \leq \eta^\gamma \leq 0.2$, and they are higher than ours by up to 30% for $0.2 \leq \eta^\gamma \leq 0.9$.

7 The LL prediction for $\gamma + jet$ photoproduction

The ZEUS Collaboration has also analyzed the prompt photon photoproduction in which in addition a hadron jet is measured. In Fig. 4 we show the LL prediction for the isolated $\gamma + jet$ final state together with the predictions for the $\gamma$ alone. The following jet rapidity and transverse momentum are assumed: $-1.5 \leq \eta_{jet} \leq 1.8$ and $p_T^{jet} > 5$ GeV, respectively. This additional cuts for the final state imposed on jets decrease the cross section, especially at high rapidities. The LL predictions for the $\gamma + jet$ are lower than those for the $\gamma$ production by 5-10% at negative rapidities and by 10-80% at positive rapidity values. The difference between both LL results is about 10% in wide range of transverse momenta, $\sim 6 \leq p_T \leq 20$ GeV, and only for the lower $p_T$ region, $4 \leq p_T \leq 6$ GeV, it is higher (13-23%).

8 Summary

Results of the NLO calculation, with NNLO contributions from double resolved photon processes and box diagram, for the isolated $\gamma$ production in the DIC process at HERA are presented. The role of the kinematical cuts used in the ZEUS measurement are studied in detail.

The results obtained using GRV parametrizations agree with the data in shape and normalization for $p_T$ distribution. For $\eta^\gamma$ distribution a good description of the data is obtained for $\eta^\gamma > 0.1$, while for $\eta^\gamma < 0.1$ the data usually lie above the predictions. This discrepancy arises mainly from the low $y$ region, $0.2 \leq y \leq 0.32$. The beyond NLO terms, especially a box contribution, improve the description of the data.

We have studied the theoretical uncertainty of results due to the choice of the renormalization/factorization scale: $\bar{Q} = p_T/2, p_T, 2p_T$. At high rapidities $\eta^\gamma > 3$, where the cross section is small, the uncertainty is 10-30%. In a wide range of rapidities, $-2 \leq \eta^\gamma \leq 2$, the dependence on the $Q$ scale is small, below 6%. Since we include some NNLO diagrams in our NLO calculation, this stability of the predictions versus the change of the scale is especially important. The week dependence on the $Q$ scale, and not large differences between LL and NLO predictions (below 20%) allows to conclude that theoretical uncertainties of our NLO calculations for an isolated photon production in the DIC process at HERA are relatively small.

We compared our results with the LG ones based on different set of subprocesses. The cross section $d\sigma/dp_T$ obtained by LG is about 20% higher than ours (for GRV photonic parton distributions). For the cross section $d\sigma/d\eta^\gamma$, this difference is up to 35% at $\eta^\gamma = 0.9$. The highest differences are present for high $y$ values only, $0.5 < y < 0.9$, where on the other hand our predictions are in agreement with the data. At low $y$ range, $0.2 < y < 0.32$, differences between both calculations are smaller and none of them describe the data well for rapidities below $0.1$.

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Our fortran code is available upon request from azem@fuw.edu.pl.

The NLO calculation for $\gamma + jet$ photoproduction will be discussed in our next paper.

The double resolved subprocesses are included in both analyses.)
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Appendix

Here we present formulae for the subtraction term in the cross section for the production of the isolated photon (see sec. [3]) in the $\gamma p \rightarrow \gamma X$ scattering. The corresponding expressions for the $ep$ reaction can be obtained using the equivalent photon approximation (sec. 4). The subtraction term is the cross section for subprocesses in which the energy of hadrons inside the cone of radius $R$ around the final photon is higher than the energy of the photon multiplied by a small parameter $\epsilon$,

$$\sum_h E_h > \epsilon E_\gamma.$$  \hfill (12)

The cone is defined in the rapidity and azimuthal angle plane:

$$\sqrt{(\eta_h - \eta_\gamma)^2 + (\phi_h - \phi_\gamma)^2} \leq R.$$  \hfill (13)

Below we use the variables $v$ and $w$ defined in the following way:

$$v = 1 + \frac{\hat{t}}{\hat{s}}, \quad w = \frac{-\hat{u}}{\hat{s} + \hat{t}},$$

where $\hat{s}$, $\hat{t}$ and $\hat{u}$ are the Mandelstam variables for the partonic subprocess,

$$\hat{s} = yx_\gamma x_{ep}, \quad \hat{t} = yx_\gamma zT_{ep}, \quad \hat{u} = xU_{ep},$$

and $S_{ep}$, $T_{ep}$ and $U_{ep}$ stand for the Mandelstam variables for $ep \rightarrow e\gamma X$ reaction,

$$S_{ep} = 2p_e p_p, \quad T_{ep} = -2p_e p_\gamma, \quad U_{ep} = -2p_p p_\gamma.$$  

The $p_e$, $p_p$ and $p_\gamma$ denote the initial electron and proton four-momenta and the four-momentum of the final photon, respectively. The fractional momenta $y$, $x_\gamma$, $x$ and $z$ are defined in secs. 2.2 and 4.

The subtraction cross section consists from two contributions which arise from subprocesses involving fragmentation function and from $\alpha_s$ corrections to the Born process:

$$E_\gamma \frac{d^3\sigma_{\gamma p \rightarrow \gamma X}^{\text{sub}}}{d^3p_\gamma} = E_\gamma \frac{d^3\sigma_{\gamma p \rightarrow \gamma X}^{\text{frag}}}{d^3p_\gamma} + E_\gamma \frac{d^3\sigma_{\gamma p \rightarrow \gamma X}^{\text{cor}}}{d^3p_\gamma},$$  \hfill (14)

where

$$E_\gamma \frac{d^3\sigma_{\gamma p \rightarrow \gamma X}^{\text{frag}}}{d^3p_\gamma} = \sum_{b=g,q} \sum_{c=g,q} \int_0^{1/(1+\epsilon)} \frac{dz}{z^2} \int_0^1 dx f_{b/p}(x, \bar{Q}^2) D_{\gamma/c}(z, \bar{Q}^2) E_\gamma \frac{d^3\sigma_{\gamma p \rightarrow \gamma X}^{\text{sub}}}{d^3p_\gamma} +$$

$$+ \sum_{a=g,q} \sum_{b=g,q} \sum_{c=g,q} \int_0^{1/(1+\epsilon)} \frac{dz}{z^2} \int_0^1 dx f_{a/\gamma}(x, \bar{Q}^2) f_{b/p}(x, \bar{Q}^2) D_{\gamma/c}(z, \bar{Q}^2) E_\gamma \frac{d^3\sigma_{\gamma p \rightarrow \gamma X}^{\text{sub}}}{d^3p_\gamma},$$  \hfill (15)

and

$$E_\gamma \frac{d^3\sigma_{\gamma p \rightarrow \gamma X}^{\text{cor}}}{d^3p_\gamma} = \sum_{i=1}^{2N_f} \int_{x_0}^{1} dx \Theta \left( \frac{v(1-w)}{1-v + vw} - \epsilon \right) \cdot$$

$$\left[ f_{q_i/p}(x, \bar{Q}^2) E_\gamma \frac{d^3\sigma_{\gamma p \rightarrow \gamma q_i+g}}{d^3p_\gamma} + f_{q_i/p}(x, \bar{Q}^2) E_\gamma \frac{d^3\sigma_{\gamma p \rightarrow \gamma q_i+q_i}}{d^3p_\gamma} + f_{g/p}(x, \bar{Q}^2) E_\gamma \frac{d^3\sigma_{\gamma p \rightarrow \gamma q_i+q_i}}{d^3p_\gamma} \right]$$  \hfill (16)
with
\[
x_0 = \frac{-y_{Tep}}{yS_{ep} + U_{ep}}.
\]
The contribution \(\text{(15)}\) comes from 2 \(\rightarrow\) 2 single and double resolved subprocesses in which the final c-parton decays into the photon. Here the calculations are standard, as for non-isolated photon case. The condition \(\text{(14)}\) is included via the upper limit of the integration over \(z; z \equiv E_h/E_c < 1/(1 + \epsilon)\) (the hadron remnant of the c-parton takes the energy \(E_h = E_c - E_\gamma\), so \(E_h = (1/z - 1)E_\gamma > \epsilon E_\gamma\)). The lower limit of the integration over the fractional momenta \(z, x\) and \(x\) is formally zero but in fact this zero-value is inaccessible due to the delta function, \(\delta(yx, xS_{ep} + yx_\gamma z_{Tep} + xzU_{ep})\), in the partonic cross sections for 2 \(\rightarrow\) 2 subprocesses.

The contribution \(\text{(16)}\) describes the \(\alpha_s\) corrections to the Born process. The diagrams involving the virtual gluon exchange do not contribute to the subtraction term, and only 2 \(\rightarrow\) 3 processes are included. In these processes the photon and two partons are produced. One of the partons enters the cone of radius \(R\) around the photon, and its energy should be higher than the photon’s energy multiplied by \(\epsilon\). To fulfill this condition the integration is performed over \(x\) values for which \(v(1 - w)/(1 - v + vw) > \epsilon\). There are three types of such processes:

- \(\gamma q \rightarrow \gamma q + g\) (with a quark inside the cone),
- \(\gamma q \rightarrow \gamma g + q\) (with a gluon inside the cone),
- \(\gamma g \rightarrow \gamma q + \bar{q}\) (with a quark inside the cone).

Below we present our analytical results for the \(\alpha_s\) corrections contributing to the subtraction term. The results are obtained with the assumption that the angle between the photon and the parton inside the cone is small. The quark masses are neglected. All collinear singularities are shifted into the fragmentation functions \(D_{\gamma/c}\) (infrared singularities do not appear in these calculations). The \(p_T\) stands for the transverse momentum of the final photon. The partonic cross sections in \(\text{(16)}\) are given by following expressions:

\[
E_\gamma \frac{d^3\sigma_{\gamma q \rightarrow \gamma q + g}}{d^3p_{\gamma}} = 4 \frac{\alpha^2_{em} \alpha_s}{3 \pi^2} e_4 \left[ \frac{(1 - v + vw)^2 + (1 - v)^2}{(1 - v + vw)(1 - v)} P(Q^2) + \frac{(R_{pT})^2}{s} \frac{(vw)^3}{(1 - v + vw)(1 - v)^2} \right],
\]

(17)

\[
E_\gamma \frac{d^3\sigma_{sub}}{d^3p_{\gamma}} = 4 \frac{\alpha^2_{em} \alpha_s}{3 \pi^2} e_4 \frac{(R_{pT})^2}{s} \left[ \frac{(1 - v)[(1 - v + vw)^2 + (vw)^2]}{(1 - v + vw)^2(1 - w)^2} + 1 + (1 - v + vw)^4 + v^4(1 - w)^4 \right],
\]

(18)

\[
E_\gamma \frac{d^3\sigma_{\gamma q \rightarrow \gamma q + 2q}}{d^3p_{\gamma}} = \frac{1}{2} \frac{\alpha^2_{em} \alpha_s}{\pi^2} e_4 \left[ \frac{(vw)^2 + (1 - v)^2}{vw(1 - v)} P(Q^2) + \frac{(R_{pT})^2}{s} \frac{(1 - v + vw)^4}{(vw)^2(1 - v)^2} \right],
\]

(19)

where

\[
P(Q^2) = \frac{1 + v^2(1 - w)^2}{(1 - v + vw)^2} \ln \left( \frac{R^2_{pT} v^2(1 - w)^2}{Q^2} \right) + 1.
\]

(20)
Table 1: The cross section for non-isolated and isolated final photon, isolated photon with $0.2 \leq y \leq 0.9$, and isolated photon with $-0.7 \leq \eta^\gamma \leq 0.9$.

| (pb) | total | Born | $O(\alpha_S)$ | box | single resolved | single resolved | double resolved |
|------|-------|------|---------------|-----|-----------------|-----------------|-----------------|
|      |       |      |               |     | initial $\gamma$ | final $\gamma$  |                 |
| non-isolated | 226.2 | 82.1 | 8.7           | 13.9| 54.7 (6.1%)     | 24.6 (10.9%)    | 42.2 (18.7%)    |
| isolated   | 180.4 | 82.1 | 15.2          | 13.9| 54.7 (7.7%)     | 5.12 (2.8%)     | 9.37 (5.2%)     |
| isolated   | 72.33 | 23.6 | 6.33          | 6.54| 28.2 (9.0%)     | 2.34 (3.2%)     | 5.29 (7.3%)     |
| y cut      | 35.36 | 13.6 | 3.32          | 3.41| 11.9 (9.6%)     | 1.21 (3.4%)     | 1.92 (5.4%)     |
| isolated   |       |      |               |     |                 |                 |                 |
| y, $\eta^\gamma$ cuts | 35.36 | 13.6 | 3.32          | 3.41| 11.9 (33.7%)    | 1.21 (3.4%)     | 1.92 (5.4%)     |

Figure 6: The final photon $p_T$-dependence of the cross section $d\sigma/dp_T$ for non-isolated $\gamma$ photoproduction (solid line). The Born contribution is shown separately (dash-dotted line).
Figure 7: The differential cross section $d\sigma/d\eta_\gamma$ as a function of the photon rapidity $\eta_\gamma$. a) The results for non-isolated photon (dashed line) and isolated photon with $R = 1$ and $\epsilon = 0.05$ (dotted line), 0.1 (solid line) and 0.2 (dot-dashed line). b) The results for non-isolated photon (dashed line) and isolated photon with $\epsilon = 0.1$ and $R = 0.1$ (dot-dashed line), 0.5 (dotted line) and 1 (solid line).

Figure 8: The differential cross section $d\sigma/d\eta_\gamma$ for isolated $\gamma$ ($\epsilon = 0.1$, $R = 1$) as a function of the photon rapidity $\eta_\gamma$ with (solid lines) and without (dashed lines) the box contribution. The results are obtained with imposed $y$ cut ($0.2 \leq y \leq 0.9$) and without this cut.
Figure 9: The cross section in $x_\gamma$ bins of the length 0.1. The results for non-isolated $\gamma$ integrated over the whole range of $y$ and $\eta_\gamma$ are shown with the dashed line. The solid line represents results integrated over the whole range of $y$ and $\eta_\gamma$ for isolated $\gamma$ with $\epsilon = 0.1$ and $R = 1$. Results with additional cuts in the isolated $\gamma$ cross section are shown with: dotted line ($0.2 \leq y \leq 0.9$) and dot-dashed line ($0.2 \leq y \leq 0.9, -0.7 \leq \eta_\gamma \leq 0.9$).

Figure 10: The results for isolated $\gamma$ with various numbers of active massless flavors: $N_f = 3$ (dashed lines), 4 (solid lines) and 5 (dotted lines), compared to the ZEUS data a) The differential cross section $d\sigma/dp_T$ as a function of the photon transverse momentum. b) The differential cross section $d\sigma/d\eta_\gamma$ as a function of the photon rapidity $\eta_\gamma$; the result without the box contribution is also shown for $N_f = 4$ (dot-dashed line).
Figure 11: The differential cross section $d\sigma/d\eta_\gamma$ for isolated $\gamma$ as a function of the photon rapidity $\eta_\gamma$ compared to the ZEUS data. Three different NLO photon parton distributions are used: ACFGP (dotted line), GRV (solid line) and GS (dashed line). The GRV NLO parton distributions in the proton and parton fragmentation into photon are used. a) $\bar{Q} = p_T$. b) $\bar{Q} = 2p_T$.

Figure 12: The results for three ranges of $y$: $0.2 < y < 0.32$, $0.32 < y < 0.5$ and $0.5 < y < 0.9$, compared to the ZEUS data.
Figure 13: The differential cross section $d\sigma/d\eta_\gamma$ for isolated $\gamma$ photoproduction as a function of the photon rapidity $\eta_\gamma$ with (a) and without (b) the box contribution. Three different values of the $Q$ scale are assumed: $Q = p_T/2$ (dashed lines), $Q = p_T$ (solid lines) and $Q = 2p_T$ (dotted lines). The results are obtained with imposed $y$ cut ($0.2 \leq y \leq 0.9$) and without this cut.

Figure 14: The differential cross sections $d\sigma/d\eta_\gamma$ (a) and $d\sigma/dp_T$ (b): NLO (solid line) and LL (dotted line) results for isolated $\gamma$, and LL predictions for isolated $\gamma + jet$ photoproduction (dashed line); the jet rapidity is assumed in the range: $-1.5 \leq \eta_{jet} \leq 1.8$. The dot-dashed lines show the Born contribution to the NLO cross section for isolated $\gamma$. GRV NLO (LO) parton densities in the proton and photon were applied in NLO (LL) calculations.