Weight Loss After Bariatric Surgery Significantly Improves Carotid and Cardiac Function in Apparently Healthy People with Morbid Obesity

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Abstract

Purpose: Obesity clearly increases cardiovascular risk, often inducing high blood pressure (BP), impaired left ventricular (LV) function, and increased arterial stiffness. Intensive weight loss and bariatric surgery induce improvement in hypertension and diabetes for morbid obesity. Carotid artery haemodynamics is a powerful prognostic indicator for stroke and cognitive decline independent of BP. The aim of this study was to evaluate the impact of a 3-stage bariatric strategy of diet, bariatric surgery, and consequent weight loss on carotid haemodynamics and cardiac diastolic function.

Material and Methods: This prospective study included 26 patients (45 ± 10 years, 4 men) with severe obesity undergoing bariatric surgery without comorbidities (hypertension, diabetes, etc.). Anthropometry, BP, Doppler echocardiography, and common carotid haemodynamics by ultrasound were measured at three times: (1) baseline, (2) after 1-month diet (post-diet), and (3) 8 months after surgery (post-surgery). The lnDU-loop method was used to estimate local carotid pulse wave velocity ($n_{PWV}$).

Results: Baseline BMI was 47.9 ± 7.1 kg/m² and reduced by 5% and 30% post-diet and post-surgery, respectively. BP decreased only post-diet, without pulse pressure change. However, $n_{PWV}$, 6.27 ± 1.35 m/s at baseline, was significantly reduced by 10% and 23% post-diet and post-surgery, respectively, also adjusted for BP changes. The E/A ratio rose from 0.95 ± 0.20 to 1.27 ± 0.31 ($p < 0.005$), without change in LV geometry or mass, while heart rate and cardiac output fell substantially.

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**Conclusion** Weight loss following diet and bariatric surgery is associated with reduced carotid arterial stiffness and improved LV diastolic function. Diet and bariatric surgery are effective treatments for morbid obesity with its concomitant adverse cardiovascular effects.

**Keywords** Bariatric surgery · Obesity · Carotid artery · LV function · Carotid local PWV · InDU-loop

**Introduction**

Increased body weight, a global epidemic, drives cardiovascular risk, such as increased blood pressure (BP), dyslipidaemia, and intolerance to glucose [1, 2], and is associated with increased incidence of type 2 diabetes, hypertension, and cardiovascular diseases. Observational clinical studies and trials show a relationship between weight loss and decrease in BP, cholesterol concentration, and improved glycaemic control [3, 4], as well as increased compliance of main conduit elastic arteries [5].

Arterial stiffness, estimated as aortic pulse wave velocity, predicts cardiovascular events and mortality independently of other standard risk factors [6], including hypertension and diabetes [7], and obesity is associated with increased arterial stiffness [8]. Balkestein and colleagues reported a 10% increase of carotid compliance (the inverse of stiffness) associated with a 15% decrease in body mass index (BMI) [5]. Similar findings were reported for carotid-femoral (cfPWV) and brachial-ankle PWV (baPWV) [9]. In most of these studies, the decrease in BMI was achieved by diet only. Moreover, since arterial stiffness is pressure-dependent in many studies, it is not clear to what extent the reduction in stiffness observed after weight loss merely reflects a parallel decrement in BP.

Bariatric surgery is the most effective treatment for morbid obesity in terms of weight loss, disappearance or improvement of diabetes, hypertension, or dyslipidaemia; the number of procedures per year steadily increases [10]. To our knowledge, no study has examined the effect of bariatric surgery on local arterial stiffness and cardiovascular haemodynamics. Here, we studied the effect on carotid arterial mechanics and ventricular function firstly of 1 month’s weight loss after diet, then secondly approximately 8 months after bariatric surgery in otherwise uncomplicated subjects with morbid obesity. We hypothesised that carotid distensibility and PWV would improve following diet and following surgery, independent of any BP change, as would cardiac indices.

**Methods**

**Study Sample and Acquisition Protocol**

This prospective study in the University Hospital of Pisa (Tuscany, Italy) included 26 patients (45 ± 10 years, 5 men) referred for bariatric surgery with third-degree obesity (BMI ≥ 40 kg/m²). The final study population comprised 32 recruited patients; however, 2 patients dropped out of the study after the diet period and 4 were excluded for inadequate carotid distension waveforms.

All were free of hypertension (systolic BP (SBP) ≥ 140 mmHg and/or diastolic (DBP) ≥ 90 mmHg), diabetes mellitus, atrial fibrillation, heart failure, or previous ischaemic cardiac and cerebral events. Patients with any significant systemic disease were excluded. Five patients had impaired glucose tolerance (IGT) at an oral GT test before the study. All subjects were evaluated at (1) baseline, (2) after 1 month’s diet and before the surgical procedure (post-diet), and (3) 5–11 months (average 8 months) after bariatric surgery (postsurgery). Anthropometry, BP measurements, and ultrasound (US)/echocardiographic examinations were performed on each occasion.

**Anthropometric and BP Measurements**

Body weight and height were measured, and BMI and body surface area (BSA) were calculated [11]. Brachial BP was measured by a digital electronic manometer (Omron, model 705cp, Kyoto, Japan), with a suitable adult-size cuff according to arm circumference, after sitting for > 10 min.

**Cardiac and Vascular Ultrasound Studies**

All echocardiographic and carotid ultrasound examinations were performed by a single trained sonographer (C.M.), with patients lying supine in a quiet room for > 10 min before the exams. Data were collected using a Hitachi Aloka Alpha10 Prosound system.

**Echocardiographic Examination**

Stroke volume (SV) was calculated as the product of aortic valve cross-sectional area and transaortic flow-velocity time integral. Cardiac output (CO) was calculated as the product of SV and heart rate (HR). The total peripheral resistance (TPR) was estimated as the ratio between mean BP (MBP) and CO. Left ventricular (LV) inner diameter and wall thickness were measured in end-diastole from M-mode images [12], and LV mass index was calculated as the ratio of LV mass to height².⁷ [13]. Relative wall thickness (RWT) was calculated as a ratio between wall thickness and inner diameter.
Indices of LV diastolic function were obtained by mitral inflow measurements including early peak filling (E velocity), late peak atrial filling (A velocity), and E/A ratio [14].

Systolic and diastolic mitral longitudinal velocities at both the septal and lateral sides were measured by colour-guided pulsed-wave tissue Doppler in the apical four-chamber view. The sample volume was placed at the junction of the LV wall with the mitral annulus, and the cursor was aligned so that the angle of incidence between the Doppler beam and the longitudinal motion of the LV was as close as possible to 0°. From spectral traces, peak longitudinal velocities during systole (s′), during early diastolic filling (e′), and during late diastolic filling (a′) were measured over five consecutive cardiac cycles. Reported values represent the average of septal and lateral sides. The intra-individual variability of tissue Doppler measurements in our laboratory is 4.7 ± 3.5%, 5.8 ± 4.3%, and 5.2 ± 4.0% for s′, e′, and a′ velocities, respectively.

Carotid Waveform Acquisition

Flow velocity and distension waveforms were acquired at the level of the right common carotid artery (CCA) using a 10.0-MHz linear array probe with radiofrequency data output at the frequency of 1 kHz, as previously reported [15]. In the longitudinal right CCA view, a single scan line was aligned perpendicularly to the vessel walls, approximately ≈1.5 cm proximal to the carotid bulb; the cursors were placed by the operator at the anterior and posterior carotid walls to enable wall tracking. After 20 s of acquisition, all distension curves and flow profiles were displayed, and individual beats with noisy or unrepresentative waveforms were rejected by the operator before ensemble averaging.

Determination of Non-invasive Local Carotid Pulse Wave Velocity and Arterial Distensibility

The local carotid pulse wave velocity (ncPWV (c)) was determined using the lnDU-loop method (Eq. 1) as previously described in detail [16]:

\[ c = \pm \frac{1}{2} \left( \frac{dU_\pm}{d(\ln D_\pm)} \right) \]  

where subscripts + and − indicate forward and backward directions of wave travel, respectively, i.e. waves travelling from the heart towards the peripheral circulation and reflected waves travelling back from the periphery to the heart. Also, dU and dlnD represent the instantaneous changes in the blood velocity U and the natural logarithm of the diameter. The relationship between U and lnD (Fig. 2) is linear in early systole whose slope was used for the calculation of c (Eq. 1).

The relationship between ncPWV and local arterial distensibility (D) is described by the Bramwell-Hill equation:

\[ D = \frac{1}{\rho c^2} \]  

where \( \rho \) is the blood density (assumed 1060 kg/m³) and c is calculated using Eq. 1.

The Separation of D and U into Forward and Backward Components and Wave Intensity I

WIA allows separation of wave intensities travelling to the forward from the backward directions [16]. Wave intensity (dl) is given by the product of dD and dU. Therefore, forward and backward intensities are given by:

\[ dl_\pm = dD_\pm dU_\pm \]  

By definition, dl− is always negative, while dl+ is always positive. As in Fig. 1, the forward wave intensity is characterised by two main waves; the first is a large forward compression wave (FCW) related to the ejection phase of the heart. The second is later, a forward expansion wave (FEW) prior to aortic valve closure and contemporaneous with the end of ejection. dl− is mainly characterised by a small peak from a backward compression wave (BCW) resulting from reflection of the FCW from downstream arterial sites during early systole. These three intensity peaks have been characterised in terms of underlying area and arrival time. The reflection index, the portion of the forward travelling wave that is reflected back from the periphery to the heart, was calculated as the ratio between BCW and FCW areas.

![Fig. 1 Example of wave intensity for one of the subjects (not showing late diastole when wave intensity is minimal)](image-url)
Statistical Analysis

IBM SPSS statistics (IBM Corporation, Armonk, NY, USA) was used for the statistical analysis. Data presented a normal distribution and are reported as mean ± SD. Changes in anthropometric and BP measurements and cardiac parameters were evaluated using repeated measures ANOVA. If a significant change was found, the three treatment steps were compared using 2-tailed paired samples t tests. \( p \leq 0.05 \) was considered statistically significant. A similar analysis was performed also on the wave intensity parameters FCW area, BCW area, FEW area, and relative timing. Repeated measures ANCOVA was used to evaluate changes in \( n_{pwv} \) adjusting for DBP changes during treatment. Analysis of variance or multiple regressions were then used as appropriate.

Results

Treatment Outcomes

The average basal weight, BMI, and BSA of the study participants were 129.9 ± 27.0 kg, 47.9 ± 7.1 kg/m\(^2\), and 2.29 ± 0.28 m\(^2\), respectively. The 1-month diet period produced a \( \approx 5\% \) reduction in body weight and BMI, and a \( \approx 2\% \) reduction in BSA. After the 8-month follow-up post-bariatric surgery, the weight and BMI dropped by 30 ± 8% of the basal value, while the decrease in BSA was 14 ± 4% (Table 1).

There was a 5.5% decrease in SBP and DBP over the whole length of the study. However, BP decreased mainly during the initial 1-month diet period, and there was little further change at the 8-month follow-up after bariatric surgery.

Cardiac Structure and Function

Baseline, post-diet, and post-surgery cardiac indices are reported in Table 2. HR was 76 ± 10 bpm at baseline and decreased significantly post-diet (10 ± 11%) and post-surgery (20 ± 10%). Basal SV was 92 ± 18 mL and did not change significantly post-diet or post-surgery, whereas CO decreased post-diet (17 ± 13%) and post-surgery (21 ± 17%), due to the decline in HR. Baseline EF was 68% and did not change with the treatment. TPR increased significantly after diet and surgery \( (p < 0.001) \) for both), LV mass, LVMI, and RWT did not change post-diet or post-surgery. Early diastolic \( e' \) and late diastolic \( a' \) velocities of the mitral annulus significantly increased and decreased, respectively, post-surgery. Mitral E and A velocities showed similar trends, so that the E/A ratio significantly increased \( (36 ± 30\%) \) during the treatment, while the \( E/e' \) ratio did not change post-diet and post-surgery. Considering the three observational time points, i.e. baseline, post-diet, and post-surgery, \( e' \) velocity and E/A ratio were inversely related to \( n_{pwv} \) \( (r = -0.37, p < 0.001 \) and \( -0.32, p < 0.01, \) respectively). Moreover, E and E/A ratio negatively correlated with HR \( (r = -0.29, p < 0.01 \) and \( -0.44, p < 0.001, \) respectively).

Local PWV

Baseline, post-diet, and post-surgery haemodynamic indices are reported in Table 3. Figure 2 shows the lnDU-loops, from which \( n_{pwv} \) is calculated, at baseline, post-diet, and post-surgery in a typical subject in the study. On average at baseline, \( n_{pwv} \) was 6.27 ± 1.35 m/s. On average, diet produced a significant decrease in \( n_{pwv} \) by 10 ± 14%. The difference was still significant after adjusting for BP effects, but decreased to 9%.

Eight months after the bariatric surgery, \( n_{pwv} \) was 4.74 ± 1.09 m/s, 23 ± 15% and 14 ± 15% less than at the start and after the 1-month diet period, respectively (22% and 14% after BP adjustment). The difference was statistically significant with respect to both the basal and post-diet measurements.

The relative carotid distension \( \Delta D/D_{min} \% \) significantly increased by 20% post-surgery (6.08 ± 1.41 vs. 7.30 ± 1.93) and negatively correlated with \( n_{pwv} \) \( (r = -0.57, p < 0.001) \).

Table 1  Physical and haemodynamics features of subjects included in the study. Participant characteristics: baseline, post-diet, and post-surgery values. Values are mean ± SD. Pressure was recorded at the brachial artery. \( SBP \), systolic BP; \( DBP \), diastolic BP. \(^\dagger\) p < 0.05, \(^\S\) p < 0.001 with respect to basal value; \(^\ddagger\) p < 0.05, \(^\ddagger\S\) p < 0.01 with respect to diet

| Physical and haemodynamics features | Baseline | Post-diet | Post-surgery | rm-ANOVA |
|-------------------------------------|----------|-----------|--------------|----------|
| Body weight (kg)                    | 129.9 ± 27.0 | 123 ± 24.8\(^\dagger\) | 90.5 ± 21.3\(^\S\) | \( p < 0.005 \) |
| BMI (kg/m\(^2\))                    | 47.9 ± 7.1 | 45.5 ± 6.7\(^\S\) | 33.4 ± 6.9\(^\S\) | \( p < 0.005 \) |
| BSA (m\(^2\))                       | 2.29 ± 0.28 | 2.24 ± 0.26\(^\S\) | 1.96 ± 0.24\(^\S\) | \( p < 0.005 \) |
| SBP (mmHg)                          | 122.2 ± 13.3 | 116.3 ± 12.4\(^\S\) | 115.7 ± 16.8\(^\S\) | \( p < 0.005 \) |
| DBP (mmHg)                          | 81.5 ± 7.5 | 78.1 ± 6.2\(^\S\) | 77.0 ± 9.0\(^\S\) | \( p < 0.05 \) |
| PP (mmHg)                           | 40.7 ± 10.0 | 38.2 ± 9.4 | 38.7 ± 10.4 | \( p = 0.35 \) |
| Mean BP (mmHg)                      | 91.7 ± 8.2 | 87.6 ± 7.1\(^\S\) | 86.7 ± 10.5\(^\S\) | \( p < 0.05 \) |
Wave intensity did not show statistically significant changes between different occasions (Fig. 3). FCW was very similar across the three time points. On the other hand, BCW fell slightly from $7.71 \pm 1.60 \times 10^{-10}$ m² at baseline to $6.34 \pm 1.90 \times 10^{-10}$ at 8 months, the end of the study. The trend for the FEW was similar: $8.37 \pm 2.02 \times 10^{-10}$ basally and $6.25 \pm 1.49 \times 10^{-10}$ at 8 months. Consequently, both the reflection index BCW/FCW and the ratio FEW/FCW decreased after the surgery, but these differences were not statistically significant.

The arrival time of the waves was significantly affected by the surgical procedure. The time lag between FCW and BCW was higher in the post-surgery recording than at baseline. The baseline time lag between FCW and BCW was $33.0 \pm 10.7$ ms, which increased to $40.8 \pm 12.2$ (p < 0.05) and $43.5 \pm 14.4$ (p < 0.005) after 1 month of diet and 8 months after bariatric surgery, respectively.

### Discussion

Arterial stiffness and body weight are generally positively correlated, and weight loss has been associated with decrease in cfPWV and baPWV [17]. However, to the authors’ knowledge, no studies have examined changes in local PWV (ncPWV) after bariatric surgery. Here, we evaluated the effect of extensive weight loss on cardiac haemodynamics and cardiac structure and function in 26 patients with third-degree obesity carefully selected according to the absence of comorbidities, particularly hypertension and diabetes, which affect cardiovascular structure independently of obesity. We compared data between baseline, post-diet, and post–bariatric surgery.

As much as 40-kg weight loss or 11.7 kg/m² BMI, almost 30% of basal BMI here, was associated with improvements in cardiac performance during systole and diastole. The changes in HR we observed following reduction in BMI are similar to those reported in overweight young adults [17]. Stroke volume

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did not decrease significantly, so the significant decrease in CO both post-diet and post-surgery reflects the changes in HR. Contrary to expectation, the lack of change in LV mass and geometry over the study may be explained by the relatively short follow-up period. Previous studies demonstrating LV mass reduction post-surgery had a longer follow-up period [19].

The ~36% increase in E/A ratio is a beneficial effect of weight loss on E velocity (early diastolic transmitral velocity) reported after bariatric surgery [20] but also in the general population, where a 1-unit decrease in BMI during 1-year follow-up led to an increase in early diastolic myocardial velocity (e' velocity) of 0.11 cm/s [21]. The mechanism underlying this improvement is not clear. Although it has been suggested that an increase in e' velocity follows the reduction of LV mass [22], in our study, this occurred without observing such reduction. The improvement of LV diastolic function after bariatric surgery, assessed both as transmitral flow velocity pattern and as tissue myocardial velocities, happened in a relatively short time (months), suggesting that it could be mainly depending on functional mechanisms, particularly changes in heart rate. An increase of the transmitral A peak velocity with increasing heart rate was demonstrated by Harrison et al. [23]. Furthermore, the inverse relationship between carotid stiffness and e' velocity and E/A ratio suggests that arterial stiffness contributes to LV diastolic dysfunction [24] and indicates that reversing local stiffening as judged by cPWV improves cardiac performance. Indeed, adjusting for cPWV reduced by 100% and 50% the e' changes obtained after diet and surgery, respectively.

In line with our hypothesis, the ~25% reduced cPWV at ~8 months post-surgery was due to increased relative distension of the carotid wall. Borlotti et al. [25] reported values of cPWV in the carotid artery just above 4 m/s using the lnDU-loop method in a healthy 40-year-old sample. Di Lascio et al. [26] found an average cPWV of 5.64 ± 1.7 m/s in 50.5 ± 20-year-olds using the foot-to-foot method with accelerometric sensors positioned on the carotid. We used the PD2-loop method [27] for pressure and distension waveforms acquired simultaneously on the left and right common carotid arteries giving cPWV values of 4.58 ± 0.63 m/s in healthy 40–50-year-olds (unpublished data). Therefore, our results indicate that increased carotid stiffness is a likely consequence of obesity because it does decline, and into the healthy range, after this marked weight loss, in agreement with other groups [5], but here shown to be independent of the BP change. As further support for our hypothesis, only three patients did not show a decrease in cPWV between the basal and the post-surgery measurements, and 2 of these already had basal PWVs within the normal range.
Recently, Streese et al. [28] examined the changes in the Cardio-Ankle Vascular Index (CAVI) and baPWV 6 weeks and 4 years after bariatric surgery. Neither of the changes measured significantly, in apparent disagreement with our findings. Similarly, Galkine et al. [29] studied changes in CAVI after bariatric surgery in patients with at least one comorbidity, reporting an increase in CAVI at 1 year and no difference from baseline at a 4-year follow-up. Explanations for this disparity are that CAVI and baPWV are global indices of arterial stiffness, including long muscular arterial pathways, while central (aortic) PWV and our lnDU-loop method provide central and here local measures of PWV. Given the difference in wall composition and stiffness of different sites along the arterial bed, local stiffness might be affected differently. In addition, the majority of participants included in their studies were hypertensive, while ours were not.

Arterial stiffness (i.e. PWV) is intrinsically pressure-dependent [30]. In our study, brachial S/D BP decreased by 6/4 mmHg, respectively, during the 1-month diet with a 2.4-kg/m² BMI decrease. Cooper et al. [17] reported a reduction in S/D BP following 1-year lifestyle intervention of diet and physical activity, only about half observed here. Belkestein et al. [5] reported a decrease in MBP comparable with ours, but with twice the change in BMI following a 10-week energy-restricted diet and exercise programme. However, the degree of obesity in their studies was much less than that in ours (baseline BMI = 32.9 and 32.3 kg/m²), and the relationship between change in BMI and change in MBP may not be linear. Although the change in BP was significant, its relatively small amplitude was responsible for only 1% of the Δ PWV reduction. Furthermore, here, 8 months post-surgery when BMI had decreased further by 11.1 kg/m², SBP and DBP had not reduced further but there was a further fall in PWV. Other recent results suggest SBP and DBP did significantly decrease 6 months after bariatric surgery [31, 32]. However, the lack of pressure changes with time after the intervention here suggests that much of the average 0.78 m/s reduction in Δ PWV comparing diet with 8-month follow-up is related to changes in arterial wall mechanics.

Interestingly, we did not find any correlation between the magnitude of intra-subject changes in BMI (or weight) and cardiac/vascular parameters (i.e. transmitral flow velocities, mitral a’ and s’ velocities, CO, cPWV, Dv), except for a negative correlation between intra-subject changes in BMI (and weight) and mitral e’ velocity (r = −0.43, p < 0.05). Sutton-Tyrell et al. [8] found a higher correlation between aortic stiffness and visceral adiposity than between aortic stiffness and body weight, and postulated the hypothesis that correlation between aortic stiffness and body weight might actually reflect a correlation between body weight and visceral adiposity. Therefore, it is possible that other parameters contributing to determining the body weight may affect changes in cardiac and vascular parameters.

WIA, analysed for the first time in this context here, did not provide as clear a trend as found for Δ PWV. FCW area did not change, while BCW and FEW showed consistent but small decreases. The reduced reflection index may indicate improved transmission of waves to the periphery; however, this difference was not significant (p = 0.214) and could be by chance. Our sample size might have been too small to detect clinically significant differences. The difference of arrival time of BCW with respect to FCW suggests, as in the lower Δ PWV, that waves travel slower after weight loss, indicating further potential benefits.

Limitations

This study is a before-after comparison without a formal control group, but medically treated patients with third-degree obesity never lose the amount of weight found after surgery as here. However, it is theoretically possible that time-dependent changes could occur with this design, but is most unlikely. Our sample size was relatively small but prospective, and no patients had hypertension or type 2 diabetes, a unique feature of our cohort to date allowing assessing cardiovascular changes related to obesity alone. It is possible that diabetes and hypertension might make weight-related arterial stiffening less reversible. Finally, LV diastolic function was assessed simply by E and A peak velocities at transmitral flow velocity pattern, and by the corresponding components at TDI.

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Compliance with Ethical Standards

Conflict of Interest

JKC is a former president of the Artery Society. MK is responsible for clinical studies at Esaote SpA (Genova, Italy). AG, CP, CM, LL, MN, RB, ADH, and AWK declare that they have no conflict of interest.

Ethical Statement

The protocol of this study followed the Declaration of Helsinki 2001 and was approved by the local Institutional Ethics Committee “CEAVNO” (study protocol no. 140/2014, approved on March 13, 2014).

Consent Statement

Informed written consent was obtained from each patient.

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