SIGNATURE OF DIFFERENTIAL ROTATION IN SUN-AS-A-STAR Ca\textsc{ii} K MEASUREMENTS

L. Bertello\textsuperscript{1}, A. A. Pevtsov\textsuperscript{2}, and A. Pietarila\textsuperscript{1}

\textsuperscript{1}National Solar Observatory, Tucson, AZ 85719, USA; bertello@nso.edu, apietarila@nso.edu
\textsuperscript{2}National Solar Observatory, Sunspot, NM 88349, USA; pevtsov@noao.edu

Received 2012 July 17; accepted 2012 October 19; published 2012 November 19

ABSTRACT

The characterization of solar surface differential rotation (SDR) from disk-integrated chromospheric measurements has important implications for the study of differential rotation and dynamo processes in other stars. Some chromospheric lines, such as Ca\textsc{ii} K, are very sensitive to the presence of activity on the disk and are an ideal choice for investigating SDR in Sun-as-a-star observations. Past studies indicate that when the activity is low, the determination of Sun’s differential rotation from integrated-sunlight measurements becomes uncertain. However, our study shows that using the proper technique, SDR can be detected from these type of measurements even during periods of extended solar minima. This paper describes results from the analysis of the temporal variations of Ca\textsc{ii} K line profiles observed by the Integrated Sunlight Spectrometer during the declining phase of Cycle 23 and the rising phase of Cycle 24, and discusses the signature of SDR in the power spectra computed from time series of parameters derived from these profiles. The methodology described is quite general, and could be applied to photometric time series of other main-sequence stars for detecting differential rotation.

Key words: stars: rotation – Sun: activity – Sun: rotation – Sun: surface magnetism

Online-only material: color figures

1. INTRODUCTION

Rotation plays a fundamental role in processes of stellar formation and evolution, through the modification of hydrostatic balance and the redistribution of chemical elements (see Maeder & Eenens 2004 for a review of stellar rotation). The Sun is the only star for which surface differential rotation can be studied in great detail, by using spectroscopic Doppler-velocity measurements or by tracking the motion of features such as sunspots (Beck 2000). Furthermore, the internal rotation of the Sun can be probed extensively using the techniques developed through helioseismology, that is, the analysis of observed oscillations of the solar surface (e.g., Thompson et al. 2003). Although solar surface differential rotation (SDR) is still not fully understood, it is thought to be a key ingredient of the global-scale solar dynamo that generates the 22 year cycle of magnetic activity (Schrijver & Zwaan 2000).

Stellar differential rotation cannot be observed directly since the surfaces of stars usually cannot be imaged with sufficient resolution. However, one may expect active stars to be rotating differentially assuming that Sun-like dynamos also work on other stars. Several photometric and spectroscopic techniques have been developed to investigate the rotation of distant stars. Photometric methods are designed to detect the signature of spots in stellar light curves. If a star is rotating differentially, large starspots located at different latitudes may produce modulations in the light curve signal that can lead to the detection of differential rotation (e.g., Fröhlich et al. 2012; Frasca et al. 2011). Flux measurements in the calcium H and K spectral lines have also been successfully employed to monitor chromospheric stellar activity and detect rotation (e.g., Donahue et al. 1996). Spectroscopic techniques measure the change in wavelength position and line profile of rotationally broadened absorption lines produced by the spot-observer relative motion due to stellar rotation (e.g., Barnes et al. 2005; Ammler-von Eiff & Reiners 2012). The deployment of asteroseismic space projects, such as the NASA Kepler mission (Koch et al. 2010), make the detection of stellar differential rotation even more accessible. The Kepler satellite provides high-quality optical photometric light curves of unprecedented precision and duration (Borucki et al. 2010; Koch et al. 2010) that routinely allow detailed studies of stellar magnetic activity on late-type stars. Rotational modulation due to starspots is commonly seen in the Kepler light curves of these stars, allowing detailed study of the surface distribution of their photospheric magnetic activity (e.g., Fröhlich et al. 2012; Frasca et al. 2011; Savanov & Dmitrienko 2012; Walkowicz & Basri 2011). The multi-year duration light curves provided by the Kepler mission will make it possible to investigate in detail how activity phenomena—such as starspot evolution, differential rotation, and activity cycle—operate on stars with a wide range of masses and convection zone depths.

Assessing the properties of SDR from disk-integrated measurements has a very important diagnostic value for the interpretation of stellar observations. Since the Sun can be observed in both disk-integrated and disk-resolved modes, solar observations can serve as a test bed for different techniques that can be applied to other stars in search for their differential rotation. Here, we present a very effective method for extracting the rotational components from time series of photometric measurements. We have applied this method to solar disk-integrated chromospheric and photospheric time series, allowing us to compare the detected rotational periods to the well-known profile of SDR.

Different solar activity indices and methods have been used in previous studies in order to unambiguously extract the signature of SDR from Sun-as-a-star data. Hasler et al. (2002) used UARS SOLSTICE data and a combination of wavelet and Fourier analyses, but beside the basic period of $\sim$27 days their results were inconclusive. Hempelmann & Donahue (1997) applied wavelet analysis to disk-integrated Ca\textsc{ii} K line core emission time series covering the duration of Cycles 21 and 22. Their results match the SDR profile only qualitatively. In a later study, Hempelmann (2002) used a similar time–frequency analysis on SOLSTICE UARS data and found a clear signature of SDR.

of stellar differential rotation even more accessible. The Kepler satellite provides high-quality optical photometric light curves of unprecedented precision and duration (Borucki et al. 2010; Koch et al. 2010) that routinely allow detailed studies of stellar magnetic activity on late-type stars. Rotational modulation due to starspots is commonly seen in the Kepler light curves of these stars, allowing detailed study of the surface distribution of their photospheric magnetic activity (e.g., Fröhlich et al. 2012; Frasca et al. 2011; Savanov & Dmitrienko 2012; Walkowicz & Basri 2011). The multi-year duration light curves provided by the Kepler mission will make it possible to investigate in detail how activity phenomena—such as starspot evolution, differential rotation, and activity cycle—operate on stars with a wide range of masses and convection zone depths.

Assessing the properties of SDR from disk-integrated measurements has a very important diagnostic value for the interpretation of stellar observations. Since the Sun can be observed in both disk-integrated and disk-resolved modes, solar observations can serve as a test bed for different techniques that can be applied to other stars in search for their differential rotation. Here, we present a very effective method for extracting the rotational components from time series of photometric measurements. We have applied this method to solar disk-integrated chromospheric and photospheric time series, allowing us to compare the detected rotational periods to the well-known profile of SDR.

Different solar activity indices and methods have been used in previous studies in order to unambiguously extract the signature of SDR from Sun-as-a-star data. Hasler et al. (2002) used UARS SOLSTICE data and a combination of wavelet and Fourier analyses, but beside the basic period of $\sim$27 days their results were inconclusive. Hempelmann & Donahue (1997) applied wavelet analysis to disk-integrated Ca\textsc{ii} K line core emission time series covering the duration of Cycles 21 and 22. Their results match the SDR profile only qualitatively. In a later study, Hempelmann (2002) used a similar time–frequency analysis on SOLSTICE UARS data and found a clear signature of SDR.
from the end of Cycle 22 until the beginning of Cycle 23. However, SDR was not visible in the foregoing part of Cycle 22. Attempts have been made using white-light measurements, but the results are negative (Hempelmann 2002). Donahue & Keil (1995) reported a successful detection of SDR from the analysis of chromospheric full-disk Ca II K observations made almost daily, since 1977, by the National Solar Observatory at Sac Peak K-line monitoring program (later referred to as SPO data; Keil & Worden 1984). Donahue & Keil divided the time series in 200 day intervals and computed the power spectrum of the individual segments using the Lomb–Scargle periodogram. Figure 3 of Donahue & Keil (1995) shows the observed solar period during Cycles 21 and 22. However, this result was criticized by Schrijver (1996) since the derived sidereal rotation period of 28.5 days at the beginning of Cycle 22 is too large and the observed decline in rotation period during that cycle is too steep. An additional limitation in the analysis is that the Lomb–Scargle periodogram typically produces a large number of peaks spread over a broad spectral band. In their investigation, however, only the periodicity corresponding to the location of the peak with maximum power within a small spectral window centered at around 27 days was considered. Peaks outside that frequency range were ignored.

Ideally, the power spectrum of time series containing the possible signature of SDR should have a minimum number of peaks to facilitate the interpretation of the different components of the signal. This is particularly important when prior knowledge of the actual rotation period is not available, e.g., in the case of stellar differential rotation. Our choice of spectral estimator was made to specifically address this point. The detection of stellar rotation hinges on the presence of active features (e.g., starspots), and properties such as lifetime and emergence rate of these features may play a critical role in the interpretation of the observed signal. In the next section, we describe the main properties of the time series investigated in this study. In Section 3 we delineate the main steps of our data analysis, with particular emphasis on the choice of spectral estimator and computation of statistical significance of the derived estimates. The results of the data analysis are discussed in Section 4, which also includes the description of a simple model to estimate the effects of magnetic field diffusion and the rate of sunspot emergence on the detection of solar rotation in Sun-as-a-star observations.

2. THE ISS Ca II K PARAMETER TIME SERIES

In this study we use observations from the Integrated Sunlight Spectrometer (ISS), one of three instruments comprising the Synoptic Optical Long-term Investigations of the Sun (SOLIS; Balasubramaniam & Pevtsov 2011, and references therein). The ISS, in operation since late 2006, takes high spectral resolution ($R \equiv 300,000$) daily observations of the Sun-as-a-star in nine different wavelength bands. For this study we select the observations taken in the Ca II K spectral line centered at 393.37 nm, the longest continuous data in the ISS data set. A least-squares fit to known wavelength positions of five photospheric spectral lines situated in the red and blue wings of the Ca II K line is performed to determine the linear dispersion. The error in determination of dispersion is extremely small to the point that one can see slight 0.02% annual variations that are attributed to seasonal changes in the refraction index of air (Bertello et al. 2011). In late 2011, the ISS was upgraded to include optical encoders on the spectral grating and mechanisms moving the CCD camera (for flat-fielding purposes). This upgrade further improved the ISS stability.

| Parameter | Full-length | First 2/3 | Last 2/3 |
|-----------|-------------|-----------|----------|
| $I_{\text{EM}}$ | 27.7 | 26.3 | 27.8 |
| $I_{\text{K3}}$ | 27.8 | 26.3 | 27.8 |
| Wilson–Bappu | 27.4 | 26.4 | 27.6 |
| $(\lambda_{\text{K2V}} - \lambda_{\text{K1V}})$ | 27.7 | 26.2 | 27.7 |
| $(\lambda_{\text{K2R}} - \lambda_{\text{K1V}})$ | 27.5 | 26.0 | 27.7 |
| $(\lambda_{\text{K2R}} - \lambda_{\text{K1V}})$ | 141.0 | none | none |
| $I_{\text{K2V}}/I_{\text{K3}}$ | 27.7 | 51.3 | 27.8 |
| MF 630.15 nm | 28.0 | 27.4 | 27.8 |

Notes. Rotational periods have been calculated from spectra of the full-length time series (Column 2), and from spectra computed using the first (Column 3) and the last (Column 4) 2/3 portions of the time series. Only the period of the tallest peaks above the 99.9% confidence level is listed. The estimated uncertainty of these values is ±0.3 days. 1 Å EM is the 1 Å emission index, 0.5 Å EM is the 0.5 Å emission index, $I_{\text{K3}}$ is the intensity in the line core, Wilson–Bappu is the wavelength separation between outer edges of K2, $\lambda_{\text{K2R}} - \lambda_{\text{K1V}}$ is the wavelength separation of V & R emission minimum, $(\lambda_{\text{K2V}} - \lambda_{\text{K3}})/(\lambda_{\text{K2R}} - \lambda_{\text{K3}})$ is the line asymmetry, $\lambda_{\text{K2R}} - \lambda_{\text{K1V}}$ is the wavelength separation of V & R emission maximum, and $I_{\text{K2V}}/I_{\text{K3}}$ is the ratio of the blue emission peak to the intensity in the line core. The violet and red wings of the line profile are indicated by V and R, respectively.

The spectral band for the Ca II K line covers about 0.05 nm, and thus does not include the continuum near the Ca K line. To overcome this limitation, the observed line profiles are normalized using intensities at two narrow bands situated in the blue (393.147–393.153 nm) and red (393.480–393.500 nm) wing of the Ca K line. Mean intensities in these two bands are scaled to match intensities in the “reference” spectral line profile taken by the NSO Fourier Transform Spectrometer (Wallace et al. 2007). This normalization also helps to remove any residual linear gradients in intensity in the spectral direction. The normalization bands are situated far in the wings of the K line, and thus, are not likely affected by solar cycle variation. As a test, we examined intensities in the cores of photospheric lines situated near the bands used for scaling the intensities, and did not find any systematic trends indicating a solar cycle dependency. For a more detailed description of the ISS data reduction we refer the reader to Bertello et al. (2011).

From each spectrum the nine parameters listed in Table 1 are extracted. These parameters may have contributions from different heights and/or solar features, and their response to solar activity is not identical. As described in Bertello et al. (2011), K3 intensity, 0.5 Å and 1 Å emission indices are highly correlated with each other. Wavelength separation of V and R emission maximum does not correlate well with any other parameters, while K3 wavelength shows moderate correlation with most of the other parameters.

3. DATA PROCESSING

From 2006 December 2 to 2012 January 27, the ISS instrument has taken 2078 measurements in the Ca II K spectral band. Each individual spectrum is normalized according to the procedure described in the previous section and the set of nine parameters listed in Table 1 is computed. Time series of these parameters are updated as new daily observations are taken and can be accessed from the SOLIS-ISS Web site at http://solis.nso.edu/iss.
3.1. Data Rejection

Figure 1 shows the temporal variation of some of the parameters investigated in this study. The other parameters exhibit a similar behavior, with the exception of $\lambda_{K3}$ that does not show any significant temporal trend and was therefore excluded from our analysis. The rising phase of Cycle 24 is clearly visible in the temporal behavior of these parameters. The error bars of the individual measurements are typically smaller than the size of the points shown in the figure, and are omitted for clarity. Although the error bars for individual measurements are small, there is a small number of measurements which deviate significantly from the general population. These outliers were traced to specific (bad) observations. The first step in the data reduction consists of rejecting the outliers from the time series. This was achieved by fitting a cosine function with period of $\sim 11$ years to the data and eliminating points that are $3\sigma$ away from the model. The procedure was repeated until no points were eliminated. The number of points rejected depends on the particular time series, varying from 14 ($I_{K2V} - I_{K3}$) to 44 ($I_{K2R} - I_{K3}$) out of 2078 total points.

3.2. Resampling and Filtering

Each clear day the ISS takes typically one to two observations in the Ca $\Pi$ K bands, producing time series of parameters that are not evenly sampled in time. The problem of estimating the power spectral density from irregularly sampled data is in general more complicated than that of equidistant data. The direct Fourier transform and the method of Lomb–Scargle converge to the usual periodogram if the observations are equidistant, but suffer from a significant bias in the estimated spectra of unequally sampled time series (Benedict et al. 2000). Furthermore, the Lomb–Scargle periodogram (Scargle 1982) is particularly designed to detect sinusoidal signals in white-noise unequally spaced time series. However, observational data such as those investigated here often contain fractions of non-Gaussian noise or may consist of periodic signals with non-sinusoidal shapes. These properties of the data make the interpretation of the Lomb–Scargle periodogram more difficult and can lead to misleading estimates. Depending on the properties of the time series, many resampling methods are available (e.g., de Waele & Broersen 2000) that allow the use of more effective spectral estimators. Nearest neighbor resampling with the slotting principle (Broersen 2009) is a technique that replaces an irregularly spaced sample by an equidistant signal with the resampling distance $\Delta$. At every resampling node, the closest irregular observation is substituted if it is within half the slot width $\Delta$ from the resampling time. If no irregular observation falls within the slot width, the grid node is left empty as a missing observation. If the duty cycle of the resampled time series is reasonably high, missing observations can be filled via linear interpolation without introducing spurious peaks in the spectral estimator (de Waele & Broersen 2000). Because of the high temporal coverage of the ISS observations, a suitable value for the slot width is $\Delta = 1$ day, with the resampling time set at noon local time. This particular choice for the resampling time selects the daily ISS observation with the best signal-to-noise ratio.

To eliminate spectral leakage from the very low frequency range, each time series was detrended using a fourth-order B-spline. Next, the signals were digitally filtered by means of a low-pass finite impulse response linear phase filter designed according to the recipe described in McClellan et al. (1979). The application of the filter before the spectral estimate is necessary to ensure that signal distortions due to aliasing are negligible. The filter was designed to have a passband between 0 and 0.2 cycles day$^{-1}$ (5 days), a transition band between 0.2 and 0.4 cycles day$^{-1}$ (2.5 days), and a stopband above 0.4 cycles day$^{-1}$. This was achieved using 21 coefficients, which gives an attenuation of $\sim 65$ db for the stopband and a maximum deviation of $\sim 0.001$ db in the passband. Finally, the data were pre-whitened to reduce the non-stationary components of the signal.

3.3. Spectral Estimation

Among the available spectral estimators we selected the maximum entropy spectral estimator (MEM), which is equivalent to
the autoregressive (AR) spectral estimator (Brockwell & Davis 1991). The maximum entropy spectral estimator is well suited for the analysis of our data because of its high spectral resolution, even with relatively short time series. If \( \{x_i\} \) is a zero-mean Gaussian stochastic process \( N(0, \sigma^2) \), which models our time series, the spectral density \( S(\nu) \) at frequency \( \nu \) is given by

\[
S(\nu) = \frac{\sigma^2}{1 + \sum_{k=1}^{p} a_k \exp(-i k \nu)^2},
\]

where \( a_k \) and \( p \) are the coefficients and order of the AR process:

\[
x_i = \sum_{k=1}^{p} a_k x_{i-k} + z_i.
\]

Different estimation procedures exist as to how the AR coefficients, \( a_k \), and the white-noise variance, \( z_i \), are estimated in Equation (2) for any order \( p \) (e.g., Robinson & Treitel 2000, Brockwell & Davis 1991, Percival & Walden 1993). The optimal choice of \( p \) needs to be considered carefully: if the number of poles, \( p \), is too high, the method can introduce spurious and/or split peaks when applied to a noisy time series with a very large number of stochastic processes. A number of criteria have been suggested for selecting \( p \) (e.g., Brockwell & Davis 1991), but in most applications \( p \) is chosen empirically (Papoulis 1991). For our study we verified that as long as \( p \) is inside a reasonable interval, \( p \in [N/4, N/2] \) (\( N \) being the number of data points), the same spectral features are found to be statistically significant for all values of \( p \). A value of \( p = N/3 \) was used in our analysis.

Unlike more traditional approaches based on Fourier techniques, the MEM lacks an easy and self-consistent procedure for evaluating the statistical significance of the spectral estimates. A significance can be estimated with a permutation test (Good 2000), which has two main advantages: (1) it is distribution-free and (2) it is applicable with any spectral estimator. The main disadvantage is that this method can be very computer-intensive, particularly with long time series. The implementation of the permutation test for spectral analysis requires the following steps.

1. From the original sequence of data \( \{x(n), n = 1, 2, \ldots, N\} \), the power spectrum, \( S(\nu_i) \), is computed for a set of frequencies \( \{\nu_i\} \).
2. A high number, \( M \), of random sequences are obtained by the random permutation of the original data sequence, \( \{x(n)\} \). For this work we used \( M = 50,000 \). Each sequence, \( \{x_i(n)\} \), obtained by random permutation has exactly the same data as the original sequence, but in a different order.
3. \( M \) different power spectra, \( S_i(\nu_i) \), are calculated from the \( M \) permutation sequences. The power spectrum is estimated using the same order \( p \) and for the same set of frequencies, \( \{\nu_i\} \), as the original power spectrum.
4. The statistical significance of the spectral features of the original sequence is assessed for each frequency, \( \nu_i \), by the achieved significance level (ASL) defined as \( \text{ASL}(\nu_i) = I[S(\nu_i) \geq S_i(\nu_i)]/M \), where \( I[A] \) is the indicator function of event \( A \). (Pardo-Igúzquiza & Rodríguez-Tovar 2000). \( I[A] \) is equal to 1 if event \( A \) happens and 0 otherwise. Spectral values \( S(\nu_i) \) with \( \text{ASL}(\nu_i) < \alpha \) are considered statistically significant with confidence \( 100(1-\alpha)\% \), where the significance level \( \alpha \) is chosen to be 0.001 (99.9% confidence level) in this work.

For comparison with the spectral estimation method described in this section, we computed the power spectrum of the full-length 1 Å EM time series using the direct Fourier transform and the Lomb–Scargle periodogram. This comparison is shown in Figure 2. The same resampled and interpolated time series was used for the Fourier and MEM analyzes, while for the Lomb–Scargle periodogram we used the one-a-day measurements (closest to noon) and preserved the original time stamp.

![Figure 2. Comparison of three different spectral estimators applied to the full-length 1 Å EM time series. The same resampled and interpolated data were used for both the Fourier and MEM analyzes, while for the Lomb–Scargle periodogram we used the one-a-day measurements (the closest to noon) and preserved the original time stamp. The frequencies at which the power was computed are the same for all three estimations. Each power spectrum is normalized to its maximum value.](image-url)
The frequencies at which the power was computed are the same for all three estimations. All three methods detect similar spectral features, but the MEM is able to isolate the ~27 day rotational component from the time series with a much higher signal-to-noise ratio. For example, within the investigated spectral range, the ratio between the primary and secondary peaks is about a factor of five for the MEM while it is significantly lower for the other two estimators. In addition, the Lomb–Scargle periodogram clearly shows a much more complex spectral structure compared to the other two estimates.

4. RESULTS AND DISCUSSION

The ISS parameter time series mostly cover the extended minimum of Cycle 23/24 when the activity was low, making the detection of SDR more difficult. The main purpose of this study is to show that, under these less than favorable conditions, the selected technique of spectral analysis plays a substantial role in the ability to detect the signature of SDR. The major constraint imposed by the low level of solar activity covered by this investigation is in the length of the temporal window that needs to be selected for the spectral estimator. As discussed below, under these circumstances, at least 900 days of ISS data are required to provide a (statistically) significant detection of SDR. As the ISS program continues, the observations will cover the full duration of Cycle 24, and it is expected that shorter time series will be needed.

4.1. Spectral Analysis

We present here results from the spectral analysis performed on the full-length time series and on the first and last 2/3 portions of the ISS data. To explore the time evolution of individual components in the power spectrum we also subdivided every time series in overlapping segments, shifted by 1 day, and computed the spectra of these segments (Section 4.2). In addition, we applied the same analysis to a time series of disk-averaged longitudinal magnetic field flux density (MF) measurements derived from daily SOLIS Vector Spectromagnetograph (VSM) magnetograms taken in the Fe i 630.15 nm spectral line (Pietarila et al. 2012). The addition of an independent time series is necessary to eliminate the possibility that our choice for the spectral estimator is biased towards a particular data set. The selection of the MF time series was made because it provides the same time coverage as the ISS observations, and also because the emission parameters derived from the Ca K spectral line are closely related to the unsigned magnetic flux (e.g., Ortiz & Rast 2005). The MF data are available from the SOLIS Web site at http://solis.nso.edu/vsm/vsm_mnfield.html. It is important to emphasize here that the power spectra of individual time series are not expected to show the same peaks at the same level of significance. There are two main reasons for that: (1) different parameters are computed from different portions of the Ca i K line profile, and therefore have different sensitivity to solar activity; and (2) parameters computed from line-intensity values are more affected by possible calibration issues than those computed from wavelength separations (see Table 1 for a description of the parameter used in this work).

Figure 3 shows the power spectra of the full-length MF and four of the eight ISS full-length parameter time series investigated in this study. All time series were divided by their standard deviation to get a zero-mean unit variance time series. The power spectrum of the MF time series is shown on the top, followed by the two spectra corresponding to parameters computed from the wavelength separations of Ca K line features. The bottom two spectra are Ca K intensity-related parameters. This figure clearly shows the different features associated with the two groups of parameters, and it is also representative for the other parameters listed in Table 1. As shown in Column 2 of Table 1, in eight of the nine time series investigated in this work the tallest peak above the 99.9% confidence level corresponds to a periodicity between 27.4 and 28.0 days. These numbers are within the range of values expected for the solar rotation period.
In general, parameters defined in terms of wavelength separation typically show a spectrum with a very predominant peak, while intensity-related parameters show spectra with a more complex structure. The only parameter showing a somewhat more erratic power spectrum is $\lambda_{K2R} - \lambda_{K2V}$, the wavelength separation of the two emission maxima. The power spectrum of this time series has a predominant peak corresponding to a rotational period of 141 days, most likely the result of low sensitivity of the parameter to solar activity.

The detection of solar rotational periods in the power spectra of Ca II K parameter time series can be affected by unrelated signatures of other processes: sunspot evolution (typically weeks), faculae evolution (up to $\sim$60 days), and active longitudes characterized by the frequent emergence of new magnetic flux (up to $\sim$200 days). These processes can reduce the coherence of the rotational signal to the point where it is no longer detectable, and some time series can be affected more than others. We believe this to be the case for the power spectrum of $\lambda_{K2R} - \lambda_{K2V}$. In fact, a recent analysis (Pevtsov & Bertello 2012) of basal and active sun emission components of the Ca K line profiles indicates that the wavelength separation between the active Sun components does not change with the phase of the solar cycle.

We test the ability of our method to detect SDR during the solar cycle by computing the power spectra of the first and last 2/3 portions of the individual time series. The results are shown in Figure 4. In the left column are the spectra computed from the first 2/3 portion of the data, while in the right column we show the spectra from the last 2/3 portion of the time series. The second portion of the data produces spectra characterized by the presence of a single predominant peak, while the spectra of the first 3.5 years of data show a more complex structure. This is not surprising since the first 2/3 of the data mainly cover the extended minimum of Cycle 23, and therefore the signature of rotation is not as dominant. A comparison between the two sets of spectra shows also a clear difference in the location of the tallest peak: around $\sim$26.3 days for the first 3.5 years of data, consistent with activity near the equator ($\sim$7°, using the formula in Snodgrass & Ulrich 1990), and $\sim$27.7 days for the portion of the time series after 2008 August. This distinct period of $\sim$27.7 days is in agreement with features rotating at higher latitude bands ($\sim$30°), as expected from the transition between Cycles 23 and 24. The power spectrum of the first portion of the MF 630.15 nm time series shows two very predominant peaks, located at 27.4 days and 26.3 days. While the secondary peak at 26.3 days is in very good agreement with the results from the ISS time series for the same time frame, the tallest peak at 27.4 days is more consistent with the ISS results from the second part of the data. A possible interpretation is that late in the time series covered by the first segment of the data, a new cycle of activity was already present at higher latitudes. These high-latitude fields were relatively weak, and did not contribute significantly to the disk-integrated Ca K profiles.

Figure 4. Same as in Figure 3, but the power spectra were computed using the first (left) and the last 2/3 (right) portions of the full-length time series. The time interval covered by each segment is indicated in the plots. The horizontal dashed line indicates the 99.9% confidence level. The list of rotation periods corresponding to the tallest peak above the 99.9% confidence level is given in Table 1, which also contains the results from the other time series investigated in this study.
every time series in overlapping segments of 900 days, with
computed from the different time series. We have subdivided
the evolution of the individual components of the power spectra
performed a time–frequency analysis to investigate the time
Ca K and MF results.

latitudes may explain the difference in periodicity between the
interpretation that the presence of weak magnetic fields at high
levels of solar activity, which indirectly supports our above
proxy for magnetic activity only for periods of intermediate and
is strong and statistically significant, while during the declining
phase of Cycle 23 (2007–2008) the correlation is weak, although
Solar minimum (2009) no
correlation is found. Therefore, the Ca K emission is a good
proxy for magnetic activity only for periods of intermediate and
high levels of solar activity, which indirectly supports our above
interpretation that the presence of weak magnetic fields at high
latitudes may explain the difference in periodicity between the
Ca K and MF results.

4.2. Time–Frequency Analysis

To further confirm the results shown in Figure 4, we have
performed a time–frequency analysis to investigate the time
evolution of the individual components of the power spectra
computed from the different time series. We have subdivided
every time series in overlapping segments of 900 days, with
a 1 day time shift between consecutive segments, and com-
puted the power spectra according to the recipe described in
the previous section. According to our tests with temporal win-
dows of different sizes, a 900 day sliding window provides the
best compromise between time and frequency resolution.
Figures 6–8 show time–frequency plots computed for two of
the ISS parameter time series and for the MF data. These plots
are representative of the other time series. The signature of SDR
is clearly visible in these plots: most of the contribution to the
power spectral density of the signal is concentrated into a narrow
frequency band, whose central value varies in time in the range
period range from 28.6 to 26.3 days). This change in period over time is consistent with the migration
to the equator. To a large
degree, this curve is remarkably continuous and smooth at all
times. These figures also show, for the ISS measurements, some
additional power distribution over different time–frequency re-
gions. This is likely the result of some of the physical processes,
e.g., sunspot and facular evolution, discussed in the next section,
and possible artifacts related to data calibration. A close look
at the time–frequency distributions around the period of solar
rotation shows some differences in the temporal behavior of the
power spectra. For example, the rotational period during the de-
clining phase of Cycle 23 deduced from the MF data seems to be

Figure 5. Comparison of VSM total unsigned flux and ISS 1 Å EM index for the declining phase of Cycle 23 (left), solar minimum (center), and raising phase of Cycle 24 (right). Shown in solid line is the computed linear fit. The Pearson correlation coefficient, r, is given in the upper left corner of each panel. We computed the t-value for the coefficient, r, to assess the statistical significance of each correlation. The results are (for the left to the right panels): 13.6 (2.59), 2.48 (2.69), and 37.3 (2.59). Indicated in parenthesis are the expected 99% cutoff values in the corresponding two-tailed Student’s t distribution.

Figure 6. ISS Ca K core intensity time–frequency distribution from observations taken during the period 2006 December 2–2012 January 1. A 900 day sliding time window was used, with a difference between consecutive segments of 1 day. The beginning of each segment, in days since 2006 December 2, is indicated on the left y-axis with the year shown on the right y-axis. The power spectral density above/below the 99.9% confidence level (CL) is indicated by the color bar.

(A color version of this figure is available in the online journal.)

Figure 7. Same as Figure 6 but for the ISS λKIR – λKIV parameter.

(A color version of this figure is available in the online journal.)

7
slightly longer, and more persistent, than the one derived from the ISS measurements. This could be explained by changes in the correlation between magnetic field and Ca K proxies with activity level (Figure 5).

4.3. Uncertainty in the Rotational Period

The detection of SDR from disk-integrated measurements is affected not only by the physical processes mentioned above but also by the particular analysis performed on the data. With the approach described in this paper, the main factors limiting the identification and accuracy of the rotational components in the power spectrum are: (1) the resampling and gap filling of the signal, (2) the choice of the order \( p \) of the AR process used to model the time series, and (3) the length of the time series window. The effect of the resampling and gap filling has been tested by computing the power spectrum of the window function. We did not find any significant contribution from the window function to the power spectral density of the signals in the region of interest, between \(~22\) and \(~33\) days. The order \( p \) mainly changes the width of the rotational peaks (higher values of \( p \) produce narrower rotational peaks) without changing (significantly) their central frequency. We can determine the uncertainty in the deduced rotational period from the width of the peaks in the power spectrum and from the variation in their central location as a result of small changes (10%) in the selected order \( p \) of the AR process. Using this approach, the estimated uncertainty of the values listed in Table 1 is approximately \(\pm 0.3\) days.

4.4. Length of the Time Series

The choice for the length of the time series window used in the analysis described in Section 3 depends on three major factors: (1) the nature and properties of the data, (2) the level of activity, and (3) the period of rotation. For the case of the Sun, a slowly rotating star, our results using ISS data show that a 900 day window was necessary to provide a statistically significant detection of SDR over the whole period covered by the observations, which coincide with a prolonged period of extremely low activity. Shorter time series (few hundred days) may affect the statistical significance of the peaks and impact the overall spectral distribution by producing spectra with a distribution of peaks over a broad spectral range. Furthermore, the peaks may often be inconsistent from one observing window to another, making it very difficult to disentangle contributions from different sources. Using chromospheric full-disk Ca II K and H stellar measurements from ground-based observatories are typically limited in duration to a maximum of about 6 months. Our choice of 900 day and 250 day windows was mainly constrained by the fact that the Sun is a slowly rotating star and the ISS observations covered the extended solar minimum, with periods of no plages or other weak remnants of active regions on the Sun.

Young solar-like stars, however, are fast rotators with periods of a few days. Thus, for example, for a star with a 5 day rotation period and low spot-activity it should require about \((900/27) \times 5 = 167\) days of observing time with a 58% duty cycle. For a star with high spot-activity this number would be \((250/27) \times 5 = 46\) days. The above estimates refer to a detection of the rotation with a high significant level of confidence; the rotation modulation can be detected with even shorter observing windows albeit at a lower confidence level. This scenario is slightly different for the case of stellar light curve time series. The rotational modulation in light curves is caused by dark spots as well as bright faculae. In slowly rotating stars with a relatively low level of activity, such as the Sun, these two contributions merge together to reduce the sensitivity of the signal to rotation modulation. On the other hand, dark spots dominate active and young stars while faculae are predominant in weakly active stars during activity minimum. In these two cases, the signature of rotation can be observed from light curves if a sufficiently high signal-to-noise ratio is achieved. Several ground- and spaced-based projects provide light curves over months or years that can be investigated using the proposed technique. In particular, with a lifetime of at least 3.5 years and nearly continuous observations, the Kepler mission (Borucki et al. 2010) is delivering stellar light curves of unprecedented time sampling and photometric precision.

4.5. Simplified Flux Transport Model

To further validate our selection of parameters for spectral analysis, and to investigate the effects of properties of active Sun features on the detectability of SDR, we synthesized artificial data using a simplified flux transport model. The model evolves the radial magnetic field by the effects of flux emergence, differential rotation, meridional flow, and diffusion. First, we created a magnetogram representing the entire
solar surface covering $360^\circ$ in longitude and $180^\circ$ in latitude. The magnetogram is made of two components representing strong and weak magnetic fields. For weak fields we use a random distribution of small (in flux and size) bipolar elements. To simulate stronger fields of active regions, we insert larger bipolar pairs in selected latitudes and longitudes. The magnetogram is evolved by applying the known sunspot profile of SDR (Newton & Nunn 1951), diffusion, and meridional circulation. Throughout the simulations, the magnetogram is updated by inserting new active regions. The location (latitude and longitude) of simulated active regions, their size, and rate of emergence are taken from actual sunspot observations taken at the Kislovodsk Solar Station of Pulkovo Observatory in Russia (http://www.solarstation.ru/?lang=en&id=lastdata) during the same period as the ISS observations analyzed in this study (2006 December 2–2012 January 27). The magnetic flux of simulated active regions is defined using the known relation (Houtgast & van Sluitters 1948) between sunspot area ($S$, in millionths of solar hemisphere) and magnetic flux ($\Phi$ in Gauss):

$$\Phi = \frac{3700 \times S}{S + 66}.$$ 

Since the Kislovodsk data set does not contain information about the tilt or polarity orientation of active regions, for the modeling we assume that all low-latitude ($\leq 20^\circ$) active regions observed prior to year 2009 (approximate time of solar minimum) are oriented in agreement with the Hale polarity rule for solar Cycle 23. High-latitude active regions observed prior to 2009 and all regions observed from 2009 to 2012 are assigned a polarity orientation in correspondence with the solar Cycle 24. For simplicity, all active regions are assigned the same tilt relative to the equator. We experimented with tilts following Joys law (Hale et al. 1919) but found no significant differences in the results. New active regions are “inserted” in the simulated magnetogram based on the time of the first observation of the region. As an additional simplification, emergence of multiple active regions on the same day was ignored (only one active region was inserted in this limited number of cases). Unlike in more realistic flux transport models (e.g., Jiang et al. 2011), diffusion in our model is done by convolving the magnetogram with an inverse Gaussian filter.

To investigate the role of diffusion in deriving solar rotation from Sun-as-a-star data, we run the model with six spatial filters corresponding to different parameterizations for diffusion (width $d$ of Gaussian filter): $d = 5, 10, 15, 30, 40,$ and $50$. We found that $d = 30$ results in the complete disappearance of an active region with strong magnetic flux over approximately five to six solar rotations, thus providing a proxy for a reasonable solar diffusion rate. Based on this, we refer to models run with $d = 5, 10, 15$ as cases of “fast” diffusion, and $d = 40, 50$ as cases of “slow” diffusion. As a qualitative reference, with $d = 5$ newly injected active region magnetic field completely disappears in 2–3 days, and with $d = 50$ the presence of diffusing flux is seen for 10+ solar rotations.

Using the set of simulated $360^\circ \times 180^\circ$ maps, we create full-disk line-of-sight magnetograms for each day of observations during the ISS observing period. These daily magnetograms are used to create a time series of Sun-as-a-star unsigned and signed fluxes. Each series covers 1883 days (starting on 2006 December 2 and ending on 2012 February 27) and has a uniform time cadence of 1 day. The simulated time sequences are resampled as the ISS observations and subjected to the same data analysis.

Examples of time–frequency plots computed for the cases of “slow” ($d = 40$) and “fast” ($d = 5$) diffusion are shown in Figures 9 and 10. The case of “fast” diffusion has no clear signature of solar rotation, indicating that the fast diffusing features do not live long enough to be detected by our analysis. This case of “fast” diffusion may explain previous failed attempts in detecting differential rotation from disk-integrated white-light spectra. Although the white-light observations have a contribution from features (such as sunspots) that follow solar rotation, these features decay rapidly (to small pore sizes) and their contribution to the white-light disk-integrated signal is diminished.

When the diffusion time increases (Figure 10), our technique is able to unambiguously detect the signature of differential rotation. The excellent agreement between the results from the observed and simulated data is a further validation of the method described in this paper. In addition, we also experimented with randomly injecting magnetic bipoles with a varying rate of emergence. We found that even when the active region emergence rate is low (up to one active region in 300+ days), we were able to detect the solar rotation for normal ($d = 30$) and slow diffusion rates. For rapid diffusion ($d = 5$), we
found that the rotation rate is not well detectable even at very high rate of emergence of new active regions. To investigate the effect of active longitudes (when active regions emerge preferentially at two longitudes separated by about 180°), we run our code with randomly emerging active regions near these two preferred longitudes. Our analysis shows no definite splitting in rotation frequencies, which would indicate the presence of active longitudes.

5. CONCLUSIONS

In this paper, we show that even under unfavorable conditions of very low levels of solar activity it is possible to detect what can be characterized as the signature of surface differential rotation from disk-integrated measurements. We present evidence of strong components in the power spectra computed from time series of parameters derived from the temporal variations of Ca II K ISS line profiles and the MF time series that are consistent with the rotation rate of active regions migrating on the solar disk during the declining phase of Cycle 23 and the rising phase of Cycle 24. The length of the time series window used in our analysis is significantly longer than the one adopted in previous studies, but essential to characterize the pattern of differential rotation during periods of low magnetic activity. Longer time series also have the advantage of producing power spectra with a reduced number of significant peaks over a large spectral band, making it easier to identify the different contributions to the signal. In our tests, the adopted spectral estimator has been proven to be significantly more effective than the Lomb–Scargle periodogram method in providing spectra with a reduced number of significant peaks. This is very important when prior knowledge of the rotation rate profile is uncertain, as is the case for stellar observations. As some methods of spectral analysis may produce multiple peaks in a broad range of periods, it is important to correctly identify the peak corresponding to a true stellar rotation. The results from the ISS observations and from our numerical model suggest that, in addition to the significance level, the continuity of a specific frequency in power spectra can be used as a criterion. For example, Figure 6 shows the presence of several peaks of different duration, but the peak around 27–28 days is the only one that persists throughout the entire data set.

The results of our numerical modeling suggest that the diffusion rate of active regions plays the most important role in detection of solar rotation from Sun-as-a-star observations. Although we use a simplified flux transport model, our results show the significance of the diffusion timescale parameter of active regions in the context of Sun-as-a-star differential rotation, with possible ramifications to stellar applications as well. The rate of emergence and the presence of active longitudes seem to play a less relevant role. Due to the simplifications in the adopted transport model, our results are mostly qualitative in nature. An important challenge therefore is to quantitatively assess the implications of diffusion on determining SDR from disk-integrated measurements using more realistic flux transport models, a study that is beyond the scope of this paper.

Although some differences exist in the results obtained from the various time series investigated in this study, the overall excellent agreement in the detection of SDR is a strong validation of the adopted method. The use of simulated data, with a known rotation profile, is an important confirmation of the quality of our methodology. We believe that this approach can be successfully applied to other time series, particularly stellar measurements, even when the duty cycle is not very high. In fact, our resampled ISS time series have a duty cycle of approximately 58%.

SO LIS data used here are produced cooperatively by NSF/NSO and NASA/LWS. The NSO and NOAA are operated by the Association of Universities for Research in Astronomy, Inc. (AURA), under cooperative agreements with the National Science Foundation.

REFERENCES

Ammler-von Eiff, M., & Reiners, A. 2012, A&A, 542, A116
Balasubramaniam, K. S., & Pevtsov, A. 2011, Proc. SPIE, 8148, 814809
Barnes, J. R., Collier Cameron, A., Donati, J.-F., et al. 2005, MNras, 357, L1
Beck, J. G. 2000, Sol. Phys., 191, 47
Benedict, L. H., Nobach, H., & Tropea, C. 2000, Meas. Sci. Technol., 11, 1089
Bertello, L., Pevtsov, A. A., Harvey, J. W., & Toussaint, R. M. 2011, Sol. Phys., 272, 229
Borucki, W. J., Koch, D., Basri, G., et al. 2010, Science, 327, 977
Brockwell, P. J., & Davis, R. A. 1991, Times Series: Theory and Methods (2nd ed.; New York: Springer)
Broersen, P. M. T. 2009, IEEE Trans. Instrum. Meas., 58, 1380
de Waele, S., & Broersen, P. M. T. 2000, IEEE Trans. Instrum. Meas., 49, 216
Donahue, R. A., & Keil, S. L. 1995, Sol. Phys., 159, 53
Donahue, R. A., Sarar S. H., & Baliunas, S. L. 1996, ApJ, 466, 384
Frasca, A., Fröhlich, H.-E., Bonanno, A., et al. 2011, A&A, 532, A81
Fröhlich, H.-E., Frasca, A., Catanzaro, G., et al. 2012, A&A, 543, A146
Good, P. 2000, Permutation Test: A Practical Guide to Resampling Methods for Testing Hypotheses (2nd ed.; New York: Springer)
Hale, G. E., Ellermann, F., Nicholson, S. B., & Joy, A. H. 1919, ApJ, 49, 153
Hasler, K.-H., Rüdiger, G., & Staude, J. 2002, Astron. Nachr., 323, 123
Hempelmann, A. 2002, A&A, 388, 540
Hempelmann, A., & Donahue, R. A. 1997, A&A, 322, 835
Houtgast, J., & van Sluiers, A. 1948, Bull. Astron. Inst. Netherlands, 10, 325
Jiang, J., Cameron, R. H., Schmitt, D., & Schlüssler, M. 2011, A&A, 528, A83
Keil, S. L., & Worden, S. P. 1984, ApJ, 276, 766
Koch, D. G., Borucki, W. J., Basri, G., et al. 2010, ApJ, 713, L79
Maeder, A., & Eenens, P. (ed.) 2004, in IAU Symp. 215, Stellar Rotation (Cambridge: Cambridge Univ. Press)
McClellan, J. H., Parks, T., & Rabiner, L. 1979, in Programs for Digital Signal Processing (New York: IEEE Press), 5.1
Newton, H. W., & Nunn, M. L. 1951, MNras, 111, 413
Ortiz, A., & Rast, M. 2005, Mem. Soc. Astron. Italiana, 76, 1018
Papoulis, A. 1991, Probability, Random Variables and Stochastic Processes (3rd ed.; New York: McGraw-Hill)
Pardo-Igúzquiza, E., & Rodríguez-Tovar, F. J. 2000, Earth Planet. Sci. Lett., 181, 175
Percival, D. B., & Walden, A. T. 1993, Spectral Analysis for Physical Applications. Multitaper and Conventional Univariate Techniques (London: Cambridge Univ. Press)
Pietarila, A., Bertello, L., 2012, BAAS, 44, 203.09
Pietarila, A., Bertello, L., Harvey, J., & Pevtsov, A. 2012, Sol. Phys., in press (arXiv:1209.6390)
Robinson, E. A., & Reitel, S. 2000, Geophysical Signal Analysis (Tulsa, OK: Society of Exploration Geophysicists)
Savanov, I. S., & Dmitrienko, E. S. 2012, Astron. Rep., 56, 116
Scargle, J. D. 1982, ApJ, 263, 835
Schrijver, C. J. 1996, in IAU Symp. 175, Stellar Surface Structure, ed. K. G. Strassmeier & J. L. Linsky (Cambridge: Cambridge Univ. Press), 1
Schrijver, C. J., & Zwaan, C. 2000, Solar and Stellar Magnetic Activity (Cambridge: Cambridge Univ. Press)
Snodgrass, H. B., & Ulrich, R. K. 1990, ApJ, 351, 309
Thompson, M. J., Christensen-Dalsgaard, J., Miesch, M. S., & Toomre, J. 2003, ARA&A, 41, 599
Walkowicz, L. M., & Basri, G. S. 2011, in ASP Conf. Ser. 448, Starspots and Stellar Rotation: Stellar Activity with Kepler, ed. C. Johns-Krull, M. K. (San Francisco, CA: ASP), 177
Wallace, L., Hinkle, K., & Livingston, W. (ed.) 2007, An Atlas of the Spectrum of the Solar Photosphere from 13,500 to 33,980 cm⁻¹ (2942 to 7405 Å) (Tucson, AZ: NOAO)