Suicidal ideation and behavior in adults with major depressive disorder treated with vortioxetine: post hoc pooled analyses of randomized, placebo-controlled, short-term and open-label, long-term extension trials

Atul R. Mahableshwarkar,1 John Affinito,2 Elin Heldbo Reines,3 Judith Xu,4 George Nomikos,5 and Paula L. Jacobsen6*

1 Clinical Development, BlackThorn Therapeutics, San Francisco, California, USA
2 Global Patient Safety Evaluation Marketed Products Group, Takeda Development Center Americas, Inc., One Takeda Parkway, Deerfield, Illinois, USA
3 Medical Affairs, H. Lundbeck A/S, Ottiliavej 9, 2500 Valby, Denmark
4 Biostatistics, Takeda Development Center Americas, Inc., Deerfield, Illinois, USA
5 Clinical Research & Development, Biogen, Cambridge, Massachusetts, USA
6 Neuroscience, Takeda Development Center Americas, Inc., Deerfield, Illinois, USA

Objectives. This study aimed to evaluate the risk of suicidal ideation and behavior associated with vortioxetine treatment in adults with major depressive disorder (MDD).

Methods. Suicide-related events were evaluated post hoc using 2 study pools: one short-term pool of 10 randomized, placebo-controlled studies (6-8 weeks) and another long-term pool that included 3 open-label extension studies (52 weeks). Evaluation of suicide-related events was performed using Columbia-Suicide Severity Rating Scale (C-SSRS) scores and treatment-emergent adverse events (TEAEs) data.

Results. At baseline, the percentage of patients reporting any C-SSRS ideation or behavior events in short-term studies was similar between placebo (14.7%), vortioxetine (19.8%, 13.0%, 11.2%, and 13.7% for 5-, 10-, 15-, and 20-mg groups, respectively), and duloxetine active reference (13.2%) and did not change throughout the 6- to 8-week treatment period for placebo (17.0%), vortioxetine (19.3%, 13.5%, 12.6%, and 15% for 5-, 10-, 15-, and 20-mg groups, respectively), or duloxetine (11.3%). The incidence of suicide-related events for TEAEs in the short-term pool was 0.4% for placebo, 0.2% or 1.0% for vortioxetine 5 mg or 10 mg, and 0.7% each for vortioxetine 15 mg and 20 mg, as well as duloxetine. After 52-week treatment with vortioxetine, suicidal ideation based on C-SSRS was 9.8%, C-SSRS suicidal behavior was 0.2%, and the incidence of suicide-related events based on TEAEs was <1%. There were no completed suicides in any study.

Conclusions. Vortioxetine is not associated with increased risk of suicidal ideation or behavior in MDD patients.

Received 12 October 2018; Accepted 9 February 2019; First published online 14 June 2019

Key words: Antidepressant, Columbia-Suicide Severity Rating Scale (C-SSRS), depression, suicidal behavior, suicidal ideation, suicide, vortioxetine.

Introduction

Major depressive disorder (MDD) is a pervasive mental health condition. In 2015, the National Institute of Mental Health reported that approximately 16 million adults in the USA had at least one major depressive episode (MDE) in the past year.1 Depression and the timing of MDEs have been established as risk factors for suicide-related behavior.2 Recurrent suicidal ideation
Vortioxetine and Suicidal Ideation in MDD

has been established as a diagnostic criterion for MDD. In addition, a combination of individual, relationship, community, and societal factors, including stressful life events, family history of suicide, mental disorders, prolonged stress, and substance use problems, can contribute to the risk of suicide-related events. In an effort to mitigate the symptoms of MDD, antidepressants have been widely used to effectively treat psychiatric mood disorders, including MDD. From 2011 to 2014, 12.7% of people in the USA over 12 years of age reported taking antidepressants within the past 30 days, and 25% of those patients reported taking antidepressants for 10 years or more. When antidepressant usage outside of the USA is considered, worldwide use of antidepressants has risen steadily between 2000 and 2014.

Despite the widespread use of antidepressants, the debate persists that they can be associated with an increased risk of suicide-related events in some patients. In 2004, the US Food and Drug Administration (FDA) Advisory Committee on Psychopharmacologic Drugs determined that warning labels for all antidepressants should include information about an increased risk of suicidality in children and adolescents with MDD, which was extended in 2007 to include young adults ≤24 years of age. However, the FDA reviewed 207 short-term randomized clinical trials in adult patients with MDD or various anxiety disorders and did not detect an increased risk of completed suicide in either the antidepressant or the placebo groups. This finding conforms with several additional meta-analyses using different measures of depression (Hamilton Depression Rating Scale [HAM-D], Montgomery-Åsberg Depression Rating Scale [MADRS]), none of these analyses showed an increased risk of suicide-related events in adult patients with MDD and generalized anxiety disorder (GAD) in response to antidepressant usage. Yet, other studies have indicated a reduction in the risk of suicide in MDD patients because of antidepressant therapy.

The FDA guidance recommends prospective evaluation of treatment-emergent suicide-related events in clinical trials for psychotropic medications with an instrument that directly classifies them into relevant categories. The Columbia-Suicide Severity Rating Scale (C-SSRS), a scale based on the Columbia Classification Algorithm of Suicide Assessment, was developed to measure suicidal ideation (suicide planning) or behavior (suicidal attempts) in a hierarchical and time-sensitive manner and is one such assessment instrument that is frequently used in clinical trials.

Vortioxetine is an approved antidepressant for adults with MDD in the USA, the European Union, and other countries worldwide. It has a unique mechanism of action thought to be related to its multimodal activity (as a 5-HT3, 5-HT7, and 5-HT1D receptor antagonist; a 5-HT1B receptor partial agonist; a 5-HT1A receptor agonist; and an inhibitor of the serotonin [5-hydroxytryptamine, 5-HT] transporter [5-HTT or SERT]). Vortioxetine’s antidepressant efficacy, safety, and tolerability for doses from 5 to 20 mg/day in patients with MDD have been shown in a global clinical development program.

These post hoc analyses evaluated the potential risk of suicidal ideation and behavior in adult patients with MDD treated with vortioxetine using pooled data from 10 randomized, placebo-controlled, short-term studies (6 or 8 weeks) and 3 open-label, long-term extension studies (52 weeks) based on C-SSRS scores (measured in 7 of 10 randomized, placebo-controlled, short-term studies and 3 open-label, long-term extension trials) and treatment-emergent adverse events (TEAEs) data.

**Methods**

This post hoc analysis was conducted using data from 10 randomized, placebo-controlled, short-term trials (6 or 8 weeks), herein referred to as the “short-term pool,” and 3 open-label, long-term extension trials (52 weeks), herein referred to as the “long-term pool.” Integrated safety data trials were analyzed for all patients receiving at least one dose of study drug, and patients were analyzed according to the treatment (dosage) received. Two study pools were generated by analyzing applicable phase II and III studies in adults aged 18–75 years. Results for active references, duloxetine and venlafaxine, were included and analyzed where appropriate. Only one study included venlafaxine as active reference, and the venlafaxine cohort was excluded in these analyses given the small patient number in this group. Handling of safety data and safety analysis was harmonized across databases to ensure consistent reporting. Suicide-related events were assessed using C-SSRS data from 7 short-term trials and TEAEs from 10 short-term trials (Table 1). C-SSRS data were only available for 7 of 10 short-term trials. C-SSRS data were not collected in two short-term trials or in the case of a third trial, where C-SSRS data were collected differently because of a protocol amendment after enrollment had begun. Both C-SSRS and TEAE data were evaluated in the three open-label, long-term extension trials. All clinical trials used for the pooled analyses are summarized in Tables 1 and 2, and detailed methods for all individual clinical trials have been published previously.

Key inclusion criteria for the studies were women and men aged 18–75 years, with the exception of one study in elderly patients ≥65 years, who met the Diagnostic and Statistical Manual of Mental Disorders (DSM-IV-TR) criteria for MDD, with an MADRS score of ≥22, ≥26, or ≥30. Clinical Global Impression–Severity scores of ≥4 were the criteria in only a few trials. All participants in the open-label extension...
studies were required to complete one of the double-blind lead-in trials before enrolling in the open-label studies. All patients who received at least one dose of study drug were included in the safety analysis. Key exclusion criteria prohibited participation by anyone with any diagnosis of psychiatric disorder (mania, bipolar, etc) as defined by the DSM-IV-TR and patients at serious risk of suicide, as defined by a score of $\geq 5$ on item 10 of the MADRS scale.

Flexible dosing for vortioxetine in the open-label pool was between 2.5 and 20 mg. The short-term pool included treatment groups given between 1 and 20 mg of vortioxetine; however, data from patients dosed with $\leq 2.5$ mg are not included in these analyses because 1 and 2.5 mg are not approved doses. Because the open-label studies allowed flexible dosing, some patients included in the long-term pool could have been dosed with 2.5 mg.

Ethical conduct and informed consent

Studies were approved by the ethics committees for each study site and were conducted in accordance with the ethical principles outlined in the Declaration of Helsinki and the International Conference on Harmonisation Good Clinical Practice Guidelines. All participants in each trial provided written informed consent before trial commencement.

Assessment of suicidal ideation and behavior

The potential risk of suicidal ideation and behavior in response to vortioxetine was evaluated on the basis of the C-SSRS and TEAEs (Tables 1 and 2). The C-SSRS is a recommended measure to prospectively monitor the complex occurrence of suicidal ideation and behavior in clinical trials involving any drug being developed for any psychiatric indication, as well as for all antiepileptic drugs and other neurological drugs with central nervous system activity. In each trial, two versions of the C-SSRS (“Baseline” and “Since Last Visit”) were administered. The Baseline version was used at the screening visit and assessed lifetime suicidal events, and the Since Last Visit version assessed all suicidal ideation and behavior at the baseline and postbaseline visits.

### Table 1. Overview of MDD studies included in the short-term pool

| No. | Study* | Clinical Trial Number | Vortioxetine Dose, mg | Duration, Weeks | Active Reference | TEAE | C-SSRS |
|-----|--------|-----------------------|-----------------------|-----------------|------------------|------|--------|
| 1   | Jain et al. | NCT00672958 | 5 | 6 | None | X | X |
| 2   | Mahableshwarkar et al. | NCT00672620 | 2.5 and 5 | 8 | DLX | X | X |
| 3   | Henigsberg et al. | NCT00735709 | 1, 5, and 10 | 8 | None | X | X |
| 4   | Mahableshwarkar et al. | NCT01153009 | 15 and 20 | 8 | DLX | X | X |
| 5   | Jacobsen et al. | NCT01163266 | 10 and 20 | 8 | None | X | X |
| 6   | Mahableshwarkar et al. | NCT01179516 | 10 and 15 | 8 | None | X | X |
| 7   | Boulenger et al. | NCT01140906 | 15 and 20 | 8 | DLX | X | X |
| 8   | Alvarez et al. | NCT00839423 | 5 and 10 | 6 | VEN XR | X | – |
| 9   | Baldwin et al. | NCT00635219 | 2.5, 5, and 10 | 8 | DLX | X | – |
| 10  | Katona et al. | NCT00811252 | 5 | 8 | DLX | X | – |

Notes: C-SSRS, Columbia-Suicide Severity Rating Scale; DLX, duloxetine; MDD, major depressive disorder; TEAE, treatment-emergent adverse events; VEN, venlafaxine; XR, extended-release. Short-term and long-term trials were investigated with a range of doses from 1 to 20 mg; however, therapeutic doses of vortioxetine are 5–20 mg.

* All trials were randomized, double-blind, and placebo-controlled.

### Table 2. Overview of MDD studies included in the long-term pool

| No. | Study* | Clinical Trial Number | Lead-in Studies, No. (Table 1) | Vortioxetine Dose, mg | TEAE | C-SSRS |
|-----|--------|-----------------------|-------------------------------|-----------------------|------|--------|
| 1   | Alam et al. | NCT00707980 | 2 | 2.5–10 | X | X |
| 2   | Jacobsen et al. | NCT01152996 | 4 | 15 and 20 | X | X |
| 3   | Fillipov et al. | NCT01323478 | 7 | 15 and 20 | X | X |

Notes: C-SSRS, Columbia-Suicide Severity Rating Scale; MDD, major depressive disorder; TEAE, treatment-emergent adverse events.

* All trials were 52-week, open-label extension studies.
C-SSRS assessments for each treatment group were based on three key study periods: all prior history, baseline, and during the treatment period. All prior history assessments used the most severe score for each patient from the screening (lifetime assessment) and baseline (pretreatment assessment) visits. Baseline assessments were determined between screening and baseline visits. During the treatment period, assessments were made at each postbaseline visit. Patients were counted only once per evaluation using the most severe score.

C-SSRS-based incidences were categorized as follows: no suicidal ideation or behavior (C-SSRS score of 0), suicidal ideation (C-SSRS score of 1–5), or suicidal behavior (C-SSRS score of 6–9). Completed suicides were given a score of 10 (Table 3). C-SSRS data were analyzed for all patients in the safety set who had at least one postbaseline assessment. Shift analyses were used to illustrate categorical changes occurring for each C-SSRS-based incidence score using the most severe postbaseline score during the study period.

### Suicide-related events

Suicide-related TEAEs were evaluated using Standardized Medical Dictionary for Regulatory Activities (MedDRA) Queries (SMQ) for suicide/self-injury (SMQ code 20000037). Summaries of TEAEs, defined for suicide-related events, were grouped using predefined MedDRA terms, and data were collected during the treatment period similar to C-SSRS data collection. Preferred terms included the following: suicidal ideation, self-injurious behavior, intentional overdose, suicide attempt, and intentional self-injury. The summaries included raw number and percentage of patients with suicide-related events during the treatment period, as well as overall incidence in each group.

### Results

#### Patient characteristics

An overview of the studies included in each pool is provided in Tables 1 and 2. In the short-term pool (10 studies, N = 4990 patients), patient demographics and baseline characteristics were generally similar and well balanced across placebo, all vortioxetine dosage groups (5–20 mg), and the duloxetine group (Supplementary Table 1). The short-term pool included one study that evaluated 5 mg of vortioxetine in elderly patients ≥65 years. Patient demographics and other baseline

---

**TABLE 3. Severity ordering for C-SSRS scores**

| C-SSRS Scores | Description |
|---------------|-------------|
| **Suicidal ideation** | 1. Wish to be dead  
2. Nonspecific active suicidal thoughts  
3. Active suicidal ideation with any methods (not plan) without intent to act  
4. Active suicidal ideation with some intent to act, without specific plan  
5. Active suicidal ideation with specific plan and intent |
| **Suicidal behavior** | 6. Preparatory acts or behavior  
7. Aborted attempt  
8. Interrupted attempt  
9. Nonfatal suicide attempt  
10. Completed suicide (only applicable for the postbaseline assessments) |

Notes: C-SSRS, Columbia-Suicide Severity Rating Scale.
characteristics in the long-term pool (three studies, N~1956 patients) were generally similar to those in the short-term pool (Supplementary Table 2).

**Suicidal ideation and behavior on C-SSRS**

The lifetime histories of suicidal ideation and behavior based on C-SSRS scores were similar across all treatment groups in seven studies that used C-SSRS data for suicide-related events (N~3614) (Figure 1 and Table 4). Overall, 43.3% of patients in the placebo group (529/1223), 40.3% (180/447) in the duloxetine group, and 43.2% (256/592), 38.6% (173/442), 35.2% (158/449), and 41.1% (187/455) of patients in the 5-, 10-, 15-, and 20-mg vortioxetine treatment groups, respectively, reported any lifetime suicidal ideation or behavior.

Before treatment, 14.7% of patients reported suicidal ideation in the placebo group (179/1219) compared with 19.6% (116/592), 13.0% (58/446), 11.2% (50/448), and 13.4% (61/454) of patients randomized to 5, 10, 15, and 20 mg of vortioxetine, respectively, and 13.2% (59/447) randomized to duloxetine. One patient in the 5-mg vortioxetine group and one patient in the 20-mg vortioxetine group reported suicidal behavior.

Suicidal ideation and behavior based on C-SSRS in the short-term pool were low and similar across all treatment groups and did not change during the treatment period compared with baseline (Figure 2 and Table 4). There were no completed suicides in any study. In the placebo group, 17% (204/1199) reported suicidal ideation or behavior compared with 19.3% (113/586), 13.5% (60/446), 12.6% (56/445), and 15% (67/447) for the 5-, 10-, 15-, and 20-mg vortioxetine groups, respectively, and 11.3% (50/442) for the duloxetine group. Zero to 0.4% of patients in the vortioxetine groups and <0.1% in the placebo group reported suicidal behavior. No suicidal behavior was reported for the duloxetine group. One aborted suicide attempt in the placebo group, one preparatory act in the 5-mg vortioxetine group, and two nonfatal suicide attempts in the 10-mg vortioxetine group were reported during the treatment period.

C-SSRS data were evaluated for patients with MDD who completed the short-term lead-in studies and entered into the long-term extension trials (long-term pool; N~1956) (Table 5). During the treatment period, 90% of patients (n~1748) taking vortioxetine reported no suicidal ideation or behavior. Any suicidal ideation or behavior was reported by 41.6% of patients (n~813) in their lifetime assessment (all prior history) and by 10.0% of patients (n~194) during the treatment period. Suicidal behavior was reported by 13.3% (n~260) of patients in their lifetime histories, whereas 0.2% (n~4) reported suicidal behavior during the treatment period, which included one preparatory act, one aborted attempt, and two nonfatal suicide attempts. No completed suicides occurred during the long-term trials (Table 5).

**Shifts in C-SSRS categories**

Categorically, most patients in both the short-term and long-term pools shifted toward a positive direction (i.e., a decrease in suicidal ideation or suicidal behavior). Among patients in the short-term pool with no suicidal ideation or behavior in their lifetime assessment, 61% (50/81) experienced a decrease in suicidal ideation or suicidal behavior during the treatment period, compared with 11% (6/56) in the long-term pool.
ideation or behavior at baseline, >90% of MDD patients in all treatment groups remained in this category during the treatment period (Table 6). Of the patients with no suicidal ideation or behavior at baseline, 9.7% (99/1019) in the placebo group, 5.7% (22/384) in the duloxetine group, and 6.8% (32/471), 6.7% (26/387), 6.3% (25/395), and 6.8% (26/385) in the 5-, 10-, 15-, and 20-mg vortioxetine groups, respectively, shifted to suicidal ideation (Table 6). Of the patients who reported suicidal ideation at baseline, 40.9% (72/176) in the placebo group, 51.7% (30/58) in the duloxetine group, and 29.8% (34/114), 36.7% (18/57), and 33.3% (20/60) in the 5-, 10-, 15-, and 20-mg vortioxetine groups, respectively, shifted to the improved category of no suicidal ideation or behavior. A shift from no suicidal ideation or behavior at baseline to suicidal behavior was observed in one patient (0.2%) in the 5-mg vortioxetine group (1/471) and

| TABLE 5. Suicidal ideation and behavior based on C-SSRS using all prior history and treatment period assessments in the MDD long-term pool* |
|-----------------------------------------------|
| Vortioxetine 2.5–20 mg |
| All Prior History (N = 1956) | During Study (N = 1956) |
| No suicidal ideation or behavior (0) | 1143 (58.4) | 1748 (90) |
| Any suicidal ideation or behavior (1–9) | 813 (41.6) | 194 (10) |
| Suicidal ideation (1–5) | 553 (28.3) | 190 (9.8) |
| Suicidal behavior (6–9) | 260 (13.3) | 4 (0.2) |
| Completed suicide (10) | N/A | 0 |

*Patients were counted once using the most severe event. Patients could have also reported nonsuicidal self-injurious behavior, and these nonsuicidal events were not included in determining the most severe event. All prior history includes events that occurred before administration of the study drug in the initial double-blind study and at screening and baseline visits.

**Notes:** C-SSRS, Columbia-Suicide Severity Rating Scale; MDD, major depressive disorder. Values are number of patients (%). For studies included, see Table 2.
one patient (0.3%) in the 10-mg vortioxetine group (1/387).

Shift analyses of the long-term pool (2.5–20 mg of vortioxetine) (Table 7) revealed that of the 1134 patients who reported no suicidal ideation or behavior in their lifetime assessment (all prior history), 97.8% (n = 1109) remained in this category during the study, whereas 2.1% (n = 24) of patients shifted to a worse category of suicidal ideation and <0.1% of patients (n = 1) to suicidal behavior. Of 550 patients with a lifetime history of suicidal ideation, 78.5% (n = 432) shifted to an improved category of no suicidal ideation or behavior, and 0.2% (n = 1) worsened and shifted to the suicidal behavior category. Of the 258 patients who reported suicidal behavior in their

| TABLE 6. Shifts in C-SSRS categories from baseline to worst postbaseline assessment during the treatment period in the MDD short-term pool* |
|---------------------------------------------|-------------|-------------|
| Baseline                                    | Treatment    | No Suicidal Ideation or Behavior (%) | Suicidal Ideation (1–5) (%) | Suicidal Behavior (6–9) (%) |
| No suicidal ideation or behavior (0)        | Placebo (n = 1019) | 920 (90.3)  | 99 (9.7) | 0 |
|                                             | 5-mg VOR (n = 471) | 438 (93)  | 32 (6.8) | 1 (0.2) |
|                                             | 10-mg VOR (n = 387) | 360 (93)  | 26 (6.7) | 1 (0.3) |
|                                             | 15-mg VOR (n = 396) | 370 (93.7)  | 25 (6.3) | 0 |
|                                             | 20-mg VOR (n = 386) | 359 (93.2)  | 26 (6.8) | 0 |
|                                             | DLX (n = 384) | 362 (94.3)  | 22 (5.7) | 0 |
| Suicidal ideation (1–5)                     | Placebo (n = 176) | 72 (40.9)  | 103 (58.5) | 1 (0.6) |
|                                             | 5-mg VOR (n = 114) | 34 (29.8)  | 80 (70.2) | 0 |
|                                             | 10-mg VOR (n = 57) | 24 (42.1)  | 32 (56.1) | 1 (1.8) |
|                                             | 15-mg VOR (n = 49) | 18 (36.7)  | 31 (63.3) | 0 |
|                                             | 20-mg VOR (n = 60) | 20 (33.3)  | 40 (66.7) | 0 |
|                                             | DLX (n = 58) | 30 (51.7)  | 28 (48.3) | 0 |
| Suicidal behavior (6–9)                     | Placebo (n = 0) | 0 | 0 | 0 |
|                                             | 5-mg VOR (n = 1) | 1 (100)  | 0 | 0 |
|                                             | 10-mg VOR (n = 0) | 0 | 0 | 0 |
|                                             | 15-mg VOR (n = 0) | 0 | 0 | 0 |
|                                             | 20-mg VOR (n = 1) | 0 | 1 (100) | 0 |
|                                             | DLX (n = 0) | 0 | 0 | 0 |

Notes: C-SSRS, Columbia-Suicide Severity Rating Scale; DLX, duloxetine; MDD, major depressive disorder; n, number of patients in each category at baseline, and denominator for percentage; VOR, vortioxetine.

Baseline period includes events that occurred between screening and baseline visits. Patients were counted once using the most severe event. Patients could have also reported nonsuicidal self-injurious behavior; these nonsuicidal events were not included in determining the most severe event.

* For studies included, see Table 1.
† A shift to worse category.
‡ A shift to improved category.

| TABLE 7. Shifts in C-SSRS categories from all prior history to worst postbaseline assessment during the treatment period in the MDD long-term pool* |
|---------------------------------------------|-------------|-------------|
| All Prior History                          | Treatment    | No Suicidal Ideation or Behavior (%) | Suicidal Ideation (1–5) (%) | Suicidal Behavior (6–9) (%) |
| No suicidal ideation or behavior (0)       | VOR (2.5–20 mg) | 1134 | 1109 (97.8) | 24 (2.1) | 1 (<0.1) |
| Suicidal ideation (1–5)                    | VOR (2.5–20 mg) | 550 | 432 (78.5) | 117 (21.3) | 1 (0.2) |
| Suicidal behavior (6–9)                    | VOR (2.5–20 mg) | 258 | 207 (80.2) | 49 (19.0) | 2 (0.8) |

Notes: C-SSRS, Columbia Suicide Severity Rating Scale; MDD, major depressive disorder; VOR, vortioxetine.

All prior history includes events that occurred before administration of study drug in the open-label period. Patients were counted once using the most severe event. Patients could have also reported nonsuicidal self-injurious behavior; these nonsuicidal events were not included in determining the most severe event.

* For studies included, see Table 2.
† A shift to worse category.
‡ A shift to improved category.
lifetime histories, 80.2% (n = 207) improved to no suicidal ideation or behavior, and 19% (n = 49) improved to suicidal ideation. Two patients (0.8%) remained in the suicidal behavior category.

**Suicide-related events**

Suicide-related events were further investigated using reported TEAEs in addition to C-SSRS assessments. No completed suicides occurred during any of the studies. The incidence of suicide-related events was low and similar for all treatment groups, with no dose-related increases observed among the individual vortioxetine groups in the short-term pool (N = 4990) (Table 8). One percent or less of the patients treated with vortioxetine (0.2% [2/1013], 1% [7/699], 0.7% [3/449], and 0.7% [3/455] for 5, 10, 15, and 20 mg, respectively), 0.4% (7/1621) treated with placebo, and 0.7% (5/753) treated with duloxetine showed suicidal ideation and behavior (Table 8).

The incidence of suicide-related events was low in the long-term pool (N = 1956). A total of 12 patients (0.6%) had suicidal ideation and behavior. Of these, nine patients (0.5%) had suicidal ideation, two patients (<0.1%) attempted suicide, one patient (<0.1%) had self-injurious ideation, and one patient had self-injurious behavior (<0.1%) (Table 9).

**Discussion**

The safety and tolerability of vortioxetine have previously been evaluated in a comprehensive global clinical development program, which included an analysis of pooled data using the vortioxetine clinical trial database. Suicidal ideation and behavior were assessed using the C-SSRS in eight 8-week placebo-controlled studies in MDD and in three of the open-label long-term studies. This study was an overall assessment of the safety and tolerability of vortioxetine, and it included additional safety and tolerability data from four published short-term, placebo-controlled studies in GAD. Differences between vortioxetine treatment and placebo groups in this study were not clinically relevant.

These post hoc analyses evaluated pooled data from 10 short-term (6 or 8 weeks), randomized, placebo-controlled trials (N = 4990 patients) and 3 open-label, long-term extension trials (N = 1956 patients) and indicate that vortioxetine was not associated with an increased

### Table 8. Overview of suicide-related event (TEAEs by Preferred Term) in the MDD short-term pool

| Medical category | Placebo (n = 1621) | 5 mg (n = 1013) | 10 mg (n = 699) | 15 mg (n = 449) | 20 mg (n = 455) | Duloxetine (n = 753) |
|------------------|-------------------|----------------|----------------|----------------|----------------|---------------------|
| Suicidal ideation and behavior | 7 (0.4) | 2 (0.2) | 7 (1.0) | 3 (0.7) | 3 (0.7) | 5 (0.7) |
| TEAEs leading to discontinuation | 3 (0.2) | 1 (<0.1) | 3 (0.4) | 0 | 0 | 0 |
| SAEs | 0 | 1 (<0.1) | 3 (0.4) | 1 (0.2) | 1 (0.2) | 1 (0.1) |
| Suicide/self-injury SMQ | 7 (0.4) | 2 (0.2) | 7 (1.0) | 3 (0.7) | 3 (0.7) | 5 (0.7) |
| Suicide ideation | 5 (0.3) | 0 | 2 (0.3) | 3 (0.7) | 2 (0.4) | 4 (0.5) |
| Intentional overdose | 1 (<0.1) | 1 (<0.1) | 2 (0.3) | 0 | 1 (0.2) | 1 (0.1) |
| Suicide attempt | 0 | 1 (<0.1) | 2 (0.3) | 0 | 0 | 0 |
| Intentional self-injury | 0 | 1 (<0.1) | 0 | 0 | 1 (0.2) | 0 |
| Self-injurious behavior | 1 (<0.1) | 0 | 1 (0.1) | 0 | 0 | 1 (0.1) |

Note: Values are number of patients (%). MDD, major depressive disorder; MedDRA, Medical Dictionary for Regulatory Activities; SAE, serious adverse event; SMQ, Standardized MedDRA Queries suicide/self-injury; TEAE, treatment-emergent adverse event.

*For studies included, see Table 1.

### Table 9. Suicide/self-injury SMQ overview of suicide-related events (TEAE by Preferred Term) in the MDD long-term pool

| Medical Category/Preferred Term | Vortioxetine 2.5–20 mg (N = 1956) |
|---------------------------------|-----------------------------------|
| Suicidal ideation and behavior  | 12 (0.6)                          |
| Suicide/self-injury SMQ         | 12 (0.6)                          |
| Suicide ideation                | 9 (0.5)                           |
| Suicide attempt                 | 2 (0.1)                           |
| Self-injurious behavior         | 1 (<0.1)                          |
| Self-injurious ideation         | 1 (<0.1)                          |

Notes: MDD, major depressive disorder; MedDRA, Medical Dictionary for Regulatory Activities; SMQ, Standardized MedDRA Queries suicide/self-injury; TEAE, treatment-emergent adverse event.

Values are n (%). Patients with one or more adverse events within a level of the Medical Category, Medical Query, or MedDRA Preferred Term are counted only once in that level.

*For studies included, see Table 2. Adverse events occurring on or after the first dose and within 30 days after dosing are included. MedDRA Preferred Terms are sorted in descending order based on incidence of LuAA21004 Total.
risk of suicidal ideation and behavior in adult patients with MDD. The incidence of suicidal ideation and behavior as a result of vortioxetine administration was similar to that of placebo, and no dose-related increases were observed among the different vortioxetine treatment groups (5–20 mg). Long-term treatment (52 weeks) with vortioxetine in adults with MDD did not raise the risk of suicidal ideation and behavior. There were no completed suicides in any treatment group.

In the short-term pool, suicide-related events (including the preferred terms suicidal ideation, intentional overdose, suicide attempt, intentional self-injury, and self-injurious behavior) were low and similar among treatment groups. Of the 2616 patients treated with vortioxetine, 19 (0.6%) reported any suicidal ideation or behavior compared with 7 (0.4%) of the 1621 patients treated with placebo and 5 (0.7%) of the 753 treated with duloxetine. Similarly low incidences of suicidal ideation and behavior based on TEAEs were reported in the long-term pool (eight patients [0.7%]). These data agree with previously reported suicide-related events data for vortioxetine, which did not show clinically relevant differences for vortioxetine versus placebo, nor did the data show dose effects.31

Similar results were reported in systematic reviews and pooled analyses of randomized, controlled studies for other antidepressants in patients with MDD and GAD; none of the antidepressants investigated increased the risk of suicidal ideation and behavior based on standard depression symptom rating scales such as HAM-D or MADRS.15–17,22 Additional post hoc studies evaluated suicidal ideation and behavior based on C-SSRS and suicide-related TEAEs. For example, post hoc analyses of data for levomilnacipran extended release (ER), a serotonin and norepinephrine reuptake inhibitor, found no differences between placebo and short-term levomilnacipran ER use and no increase in suicidal ideation and behavior for longer courses of continued treatment. Pooled data for clinical trials of GAD and MDD showed that the incidences of suicidal ideation and behavior based on C-SSRS were similar or lower than placebo for vilazodone, a combined reuptake inhibitor and a 5-HT1A receptor partial agonist approved for MDD in 2011.46 The aforementioned studies agree with the meta-analyses of 207 randomized controlled trials of antidepressants and suicide-related events evaluated by the FDA.14 Taken together, independent FDA analyses and data from various clinical trials suggest the safety of antidepressants and low risk of suicidal ideation or behavior in MDD patients taking antidepressants.

Measured using the C-SSRS, suicidal ideation or behavior was low, with no differences between the vortioxetine groups and placebo group in the short-term pool during the treatment period. Suicidal ideation or behavior was 17% (204/1199) in the placebo group, 15.4% (296/1924) in the 5- to 20-mg vortioxetine groups combined (19.3% [113/586], 13.5% [60/446], 12.6% [56/445], and 15% [67/447] for 5, 10, 15, and 20 mg of vortioxetine, respectively), and 11.3% (50/442) in the duloxetine group (Table 4).

C-SSRS shift data showed that categorically, most patients either did not shift or shifted to a category with an improved postbaseline assessment of suicidal ideation or behavior incidence. C-SSRS shifts from no suicidal ideation or behavior at baseline to suicidal ideation during treatment were low and similar across treatment groups: 9.7% (99/1019) for placebo, 6.7% (109/1638) for all patients in the 5- to 20-mg vortioxetine groups combined, and 5.7% (22/384) for patients in the duloxetine treatment group. Two (0.12%) of the 1638 patients in the 5- to 20-mg combined vortioxetine groups shifted from suicidal ideation or behavior to suicidal behavior. A recent publication featuring the safety and tolerability of vortioxetine reported similar data.31 In Baldwin et al.,31 C-SSRS shift analysis comparing lifetime events with any postbaseline event during the study showed a shift from the category “no suicidal ideation or behavior” to the category “suicidal ideation” for 4.1% (34/836) in the placebo group and 2.7% (39/1464) in the vortioxetine group (5–20 mg), whereas 0.1% (2/1464) in the vortioxetine group (5–20 mg) shifted to suicidal behavior. No clinically relevant differences were observed on newly emergent suicidal ideation or behavior between placebo and vortioxetine; suicidal ideation was reported for 16.1% (224/1393) in the placebo group and 14.6% (338/2322) in the vortioxetine groups (5–20 mg) during treatment.31

In the long-term pool evaluating flexible doses of vortioxetine, 9.8% (n = 190) and 0.2% (n = 4) of 1942 patients reported suicidal ideation and suicidal behavior, respectively, based on C-SSRS during the 52-week treatment period. Over time, most patients shifted toward less suicidal ideation or behavior. Of the patients who reported prior histories of suicidal ideation or behavior, 78.5% (432/550) and 80.2% (207/258), respectively, shifted to neither suicidal ideation nor behavior during the study. Two (0.1%) of 1942 patients shifted to a worse category of suicidal behavior. Previously reported data from each long-term study individually show no, or comparably low, rates of measured suicidal ideation or behavior, regardless of vortioxetine dosage.20,42,43

Data from this study suggest that short-term and long-term treatment with vortioxetine is not associated with increased risk of suicidal ideation or behavior in patients with MDD.

Study Limitations

Not all studies used the C-SSRS data for assessment of suicidal ideation or behavior. Therefore, slight underestimations or overestimations of the categorical shifts
might exist. In addition, an accurate assessment of antidepressant-associated suicidal behavior within clinical trials has traditionally been challenging because of exclusion criteria preventing high-risk suicide patients from participating in the trials, and these post hoc analyses included clinical trials that had adopted the same criteria. 47

Conclusion

Treatment with vortioxetine was not associated with an increased risk of suicidal ideation or behavior in adults with MDD. Overall, these analyses are consistent with previously published clinical trial data investigating the risk of antidepressants on suicidal ideation or behavior.

Acknowledgments

Assistance with manuscript preparation was provided by Andrea McReynolds, PhD, and Martina Schwarzkopf, PhD, of inVentiv Medical Communications, LLC, a Syneos Health™ group company, and supported by Takeda Pharmaceutical Company, Ltd., and H. Lundbeck A/S. All authors assume full responsibility for the scientific content of the manuscript.

Funding statement

The study was funded by Takeda Pharmaceutical Company, Ltd., and H. Lundbeck A/S.

Disclosures

John Affinito is an employee of Takeda Development Center Americas, Inc. Atul R. Mahableshwarkar, George Nomikos, Judith Xu, and Paula L. Jacobsen were employees of Takeda Development Center Americas, Inc., at the time of the study. Elin Heldbo Reines is an employee of H. Lundbeck A/S.

Supplementary material

To view supplementary material for this article, please visit https://dx.doi.org/10.1017/S109285291900097X

REFERENCES

1. National Institutes of Mental Health website. 2015. Major Depression among Adults. https://www.nimh.nih.gov/health/statistics/prevalence/major-depression-among-adults.shtml/index.shtml. Accessed September 7, 2017.
2. Oquendo MA, Perez-Rodriguez MM, Poh E, et al. Life events: a complex role in the timing of suicidal behavior among depressed patients. Mol Psychiatry. 2014; 19(8): 902-909.
3. American Psychiatric Association. Diagnostic and Statistical Manual of Mental Disorders. 5th ed. Arlington, VA: American Psychiatric Publishing; 2013.
4. Zalsman G, Hawton K, Wasserman D, et al. Suicide prevention strategies revisited: 10-year systematic review. Lancet Psychiatry. 2016; 3(7): 646-659.
5. Hillhouse TM, Porter JH. A brief history of the development of antidepressant drugs: from monoamines to glutamate. Exp Clin Psychopharmacol. 2015; 23(1): 1-21.
6. American Foundation for Suicide Prevention. 2017. About suicide: risk factors and warning signs. https://afsp.org/about-suicide/risk-factors-and-warning-signs/. Accessed December 13, 2017.
7. Castelpietra G, Gobbatto M, Valent F, et al. Antidepressant use in suicides: a case-control study from the Friuli Venezia Giulia Region, Italy, 2005-2014. Eur J Clin Pharmacol. 2017; 73(7): 883-890.
8. Pratt L, Brody DJ, Gu Q. Antidepressant use among persons aged 12 and over: United States, 2011-2014. NCHS Data Brief, No. 283. Hyattsville, MD: National Center for Health Statistics; 2017.
9. Organisation for Economic Co-operation and Development. Antidepressant consumption, 2000 and 2014 (or nearest year). In: Gautam A, ed. Tackling Wasteful Spending on Health, Paris, France: OECD Publishing; 2017. https://dx.doi.org/10.1787/9789264266414-graph13-en. Accessed October 9, 2017.
10. US Food and Drug Administration. 2004. Labeling Change Request Letter for Antidepressant Medications. https://wayback.archive-it.org/7993/20161024000445/https://www.fda.gov/Drugs/DrugSafety/InformationbyDrugClass/ucm096352.htm. Accessed November 2, 2017.
11. Hammad TA, Laughren T, Racoonis J. Suicideality in pediatric patients treated with antidepressant drugs. Arch Gen Psychiatry. 2006; 63(3): 332-339.
12. FDA proposes new warnings about suicidal thinking, behavior in young adults who take antidepressant medications [news release]. Silver Spring, MD: US Food and Drug Administration; May 2, 2007. https://wayback.archive-it.org/7993/20161024000444/https://www.fda.gov/NewsEvents/Newsroom/PressAnnouncements/2007/ucm108905.htm. Accessed April 17, 2018.
13. Stone M, Laughren T, Jones ML, et al. Risk of suicidality in clinical trials of antidepressants in adults: analysis of proprietary data submitted to US Food and Drug Administration. BMJ. 2009; 339: b2880.
14. Hammad TA, Laughren TP, Racoonis JA. Suicide rates in short-term randomized controlled trials of newer antidepressants. J Clin Psychiatry. 2006; 67(2): 203–207.
15. Acharya N, Rosen AS, Polzer JP, et al. Duloxetine: meta-analyses of suicidal behaviors and ideation in clinical trials for major depressive disorder. J Clin Psychopharmacol. 2006; 26(6): 587–594.
16. Pedersen AG. Citalopram and suicidality in adult major depression and anxiety disorders. Nord J Psychiatry. 2006; 60(5): 392–399.
17. Pedersen AG. Escitalopram and suicidality in adult depression and anxiety. Int Clin Psychopharmacol. 2005; 20(3): 139–143.
18. Gibbons RD, Brown CH, Hur K, et al. Suicidal thoughts and behavior with antidepressant treatment: reanalysis of the randomized placebo-controlled studies of fluoxetine and venlafaxine. Arch Gen Psychiatry. 2012; 69(6): 580–587.
19. Tollefson GD, Fawcett J, Winokur G, et al. Measures of suicidality during pharmacologic treatment of mood and nonmood disorders. Ann Clin Psychiatry. 1993; 5(4): 209-224.
20. Fillipov G, Christens PF. Vortioxetine (Lu AA21004) 15 and 20 mg/day: open-label long-term safety and tolerability study in major depressive disorder. Paper presented at: 26th European College of Neuropsychopharmacology (ECNP) Congress; October 5-9, 2013; Barcelona, Spain.
21. Thase ME, Gomoll C, Chen C, et al. Measures of suicidality in phase 3 clinical trials of levomilnacipran ER in adults with major depressive disorder. CNS Spectr. 2017; 22(6): 475–483.
22. Kasper S, Montgomery SA, Moller HJ, et al. Longitudinal analysis of the suicidal behaviour risk in short-term placebo-controlled studies of mirtazapine in major depressive disorder. World J Biol Psychiatry. 2010; 11(1): 36-44.
23. Rihmer Z, Gonda X, Kapitany B, et al. Suicide in Hungary: epidemiological and clinical perspectives. *Ann Gen Psychiatry*. 2013; 12(1): 21.

24. Cheung K, Aarts N, Noordam R, et al. Antidepressant use and the risk of suicide: a population-based cohort study. *J Affect Disord*. 2015; 174: 479–484.

25. Posner K, Brown GK, Stanley B, et al. The Columbia-suicide severity rating scale: initial validity and internal consistency findings from three multisite studies with adolescents and adults. *Am J Psychiatry*. 2011; 168(12): 1266–1277.

26. Trintellix (vortioxetine) [prescribing information]. Deerfield, IL: Takeda Pharmaceuticals, America; 2017.

27. European Medicines Agency. Human Medicines (vortioxetine). 2017. https://www.ema.europa.eu/ema/index.jsp?curl=pages/medicines/human/medicines/002717/human_med_001714.jsp&mid=W0b01ac058001d124. Accessed January 12, 2018.

28. Bang-Andersen B, Ruhland T, Jorgensen M, et al. Discovery of 1-[2-(2,4-dimethylphenylsulfanyl)phenyl]piperazine (Lu AA21004): a novel multimodal compound for the treatment of major depressive disorder. *J Med Chem*. 2011; 54(9): 3206–3221.

29. Sanchez C, Aein KE, Artigas F. Vortioxetine, a novel antidepressant with multimodal activity: review of preclinical and clinical data. *Pharmacol Ther*. 2015; 145(Suppl C): 43–57.

30. Connolly KR, Thase ME. Vortioxetine: a new treatment for major depressive disorder. *Expert Opin Pharmacother*. 2016; 17(3): 421–431.

31. Baldwin DS, Chrones L, Florea I, et al. The safety and tolerability of vortioxetine: analysis of data from randomized placebo-controlled trials and open-label extension studies. *J Psychopharmacol*. 2016; 30(3): 242–252.

32. Jain R, Mahshabeshwarkar AR, Jacobsen PL, et al. A randomized, double-blind, placebo-controlled 6-wk trial of the efficacy and tolerability of 5 mg vortioxetine in adults with major depressive disorder. *Int J Neuropsychopharmacol*. 2013; 16(2): 313–321.

33. Mahshabeshwarkar AR, Jacobsen PL, Chen Y. A randomized, double-blind trial of 2.5 mg and 5 mg vortioxetine (Lu AA21004) versus placebo for 8 weeks in adults with major depressive disorder. *Curr Med Res Opin*. 2013; 29(3): 217–226.

34. Heningsen N, Mahshabeshwarkar AR, Jacobsen P, et al. A randomized, double-blind, placebo-controlled 8-week trial of the efficacy and tolerability of multiple doses of Lu AA21004 in adults with major depressive disorder. *J Clin Psychiatry*. 2012; 73(7): 953–959.

35. Mahshabeshwarkar AR, Jacobsen PL, Chen Y, et al. A randomized, double-blind, duloxetine-referenced study comparing efficacy and tolerability of 2 fixed doses of vortioxetine in the acute treatment of adults with MDD. *Psychopharmacology (Berl)*. 2015; 232(12): 2061–2070.

36. Jacobsen PL, Mahshabeshwarkar AR, Serenko M, et al. A randomized, double-blind, placebo-controlled study of the efficacy and safety of vortioxetine 10 mg and 20 mg in adults with major depressive disorder. *J Clin Psychiatry*. 2015; 76(5): 575–582.

37. Mahshabeshwarkar AR, Jacobsen PL, Serenko M, et al. A randomized, double-blind, placebo-controlled study of the efficacy and safety of 2 doses of vortioxetine in adults with major depressive disorder. *J Clin Psychiatry*. 2015; 76(5): 583–591.

38. Boulenger JP, Loft H, Olsen CK. Efficacy and safety of vortioxetine (Lu AA21004), 15 and 20 mg/day: a randomized, double-blind, placebo-controlled, duloxetine-referenced study in the acute treatment of adult patients with major depressive disorder. *Int Clin Psychopharmacol*. 2014; 29(3): 138–149.

39. Alvarez E, Perez V, Dragheim M, et al. A double-blind, randomized, placebo-controlled, active reference study of Lu AA21004 in patients with major depressive disorder. *Int J Neuropsychopharmacol*. 2012; 15(5): 589–600.

40. Baldwin DS, Loft H, Dragheim M. A randomised, double-blind, placebo controlled, duloxetine-referenced, fixed-dose study of three dosages of Lu AA21004 in acute treatment of major depressive disorder (MDD). *Eur Neuropsychopharmacol*. 2012; 22(7): 482–491.

41. Katona C, Hansen T, Olsen CK. A randomized, double-blind, placebo-controlled, duloxetine-referenced, fixed-dose study comparing the efficacy and safety of Lu AA21004 in elderly patients with major depressive disorder. *Int Clin Psychopharmacol*. 2012; 27(4): 215–223.

42. Alam MY, Jacobsen PL, Chen Y, et al. Safety, tolerability, and efficacy of vortioxetine (Lu AA21004) in major depressive disorder: results of an open-label, flexible-dose, 52-week extension study. *Int Clin Psychopharmacol*. 2014; 29(1): 36–44.

43. Jacobsen PL, Harper L, Chrones L, et al. Safety and tolerability of vortioxetine (15 and 20 mg) in patients with major depressive disorder: results of an open-label, flexible-dose, 52-week extension study. *Int Clin Psychopharmacol*. 2015; 30(5): 255–264.

44. U.S. Department of Health and Human Services, Center for Drug Evaluation and Research (CDER). Guidance for Industry: Suicidal Ideation and Behavior: Prospective Assessment of Occurrence in Clinical Trials. Silver Spring, MD: U.S. Food and Drug Administration; 2012.

45. Klepper MJ, Cobert B. *Drug Safety Data: How to Analyze, Summarize, and Interpret to Determine Risk*. Westfield, NJ: Jones and Bartlett Learning LLC; 2011.

46. Thase ME, Edwards J, Durgam S, et al. Effects of vilazodone on suicidal ideation and behavior in adults with major depressive disorder or generalized anxiety disorder: post-hoc analysis of randomized, double-blind, placebo-controlled trials. *Int Clin Psychopharmacol*. 2017; 32(5): 281–288.

47. Grunebaum MF, Ellis SP, Duan N, et al. Pilot randomized clinical trial of an SSRI vs bupropion: effects on suicidal behavior, ideation, and mood in major depression. *Neuropsychopharmacology*. 2012; 37(3): 697–706.