Prone Positioning for Severe Acute Respiratory Distress Syndrome in COVID-19 Patients by a Dedicated Team

A Safe and Pragmatic Reallocation of Medical and Surgical Workforce in Response to the Outbreak

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Keywords: COVID-19, Prone Positioning, Surgeon reallocation, Workforce

Annals of Surgery Volume 272, Number 6, December 2020

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Objective: The aim of this study was to define whether rapidly reallocating health care workers not experienced with PP for performing PP in ICU is feasible and safe.

Summary Background Data: In the setting of severe acute respiratory distress syndrome (ARDS), the use of prone and supine positioning procedures (PP) has been associated with improved oxygenation resulting in decreased mortality. Nevertheless, applying PP is time consuming for ICU staffs that are at risk of mental or physical exhaustion, especially with the constant surge of admitted COVID-19 patients with severe ARDS.

Methods: This prospective cohort study conducted at a single regional university hospital between March 27 and April 15, 2020. Among 117 patients admitted to ICU, 67 patients (57.3%) presented with proven SARS-CoV-2 infection with severe ARDS requiring PP. After accelerated simulation training, 109 volunteers including surgeons, physicians, nurses and physiotherapists, multiple dedicated teams performed daily multiple PP following a systematic checklist. Patient demographics and PP data were collected. Patient safety and HW safety were assessed.

Results: Among 117 patients admitted to ICU, 67 patients (57.3%) required PP. Overall, 53 (79%) were male, with a median age of 68.5 years and median body mass index of 29.3 kg/m². A total of 384 PP were performed. Overall, complication occurred in 34 PP (8.8%) and led to PP cancelation in 4 patients (1%). Regarding HW safety, four HW presented with potential COVID-19 related symptoms and none was positive.

Conclusions: To overcome the surge of critically ill COVID-19 patients, reallocating HW to targeted medical tasks beyond their respective expertise such as PP was safe.

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Conflicts of interest and source of funding: The authors declare that they do not have anything to disclose regarding funding or conflict of interest relating to this publication.

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ISSN: 0003-4932/20127206-e311
DOI: 10.1097/SLA.0000000000002465

Methods

Study Population
All patients admitted in ICU for severe ARDS (according to Berlin criteria) with a RT-PCR diagnosis (NucleoSpin RNA Virus kit, Macherey-Nagel Inc., Bethlehem, PA) of SARS-CoV-2 and/or typical chest CT features of COVID-19 and who required prone and supine positioning (persistent PaO2/FiO2 ratio < 150 mm Hg despite mechanical ventilation, sedation, and curarization) by a dedicated team between March 27 and April 15, 2020 at a single university hospital were prospectively included. Positioning procedure (PP) corresponded to any procedure consisting in placing the patient in prone or supine position. Patients with severe ARDS due to an
identified cause other than COVID-19 were excluded. Over the study period, the number of ICU beds has been extended from 40 to a maximum of 92 beds to anticipate the surge.

**Positioning Team**

Volunteers were searched among physicians, operating room nurses that were not yet involved in non-ICU and ICU dedicated to COVID-19 patients. Physiotherapists were also involved owing to their ability to position patients safely. Within 1 day, 212 volunteers were identified and trained through 15 training sessions within 3 days. Ninety-minute training sessions were delivered by simulation educators under supervision of an anesthesiologist (F.C.) and 1 surgeon (L.S.D.) using the educational platform of the university medical simulation center. Briefly, rationale, technical aspects, and specific complications of prone and supine positioning were reviewed. The simulation sessions followed the usual structure of simulation-based learning, namely: briefing with presentation of the training program and a checklist (Fig. 1); practical prone and supine positioning simulation; debriefing with reflective analysis. Additionally, each member was trained regarding the use of full personal protective equipment (PPE) including PFP2 face mask with protective eyewear and disposable surgical gown, cap, and gloves. Each positioning team consisted of 1 physician, 1 OR nurse, and 1 physiotherapist along with 1 ICU nurse and 1 anesthesiologist/intensivist. The checklist was systematically applied before initiating PP to facilitate communication between all team members. The main objective of this checklist was to prevent the occurrence of PP-related complications such as nonscheduled extubation or removal of intravenous or intra-arterial lines during the procedure, and the development of pressure sores between the procedures. Additionally, the procedure could be cancelled or aborted in case of respiratory or hemodynamic instability before or during prone or supine positioning. Each patient was placed prone 1 day for a maximal duration of 16 hours and then replaced supine for 8 hours. This was repeated until clinical improvement indicating that prone positioning was no more needed or stopped in case of occurrence of any PP-related complications precluding new PP.

**Data Collection**

Patients’ demographics such as sex, age, body mass index, and significant medical history were collected. Duration of ICU stay was calculated as the time between the ICU admission date and the date of death or the date of ICU discharge whether to an outside ICU at another hospital or to a post-ICU ward. The median number of PP for each patient, both prone and supine positioning, during the study period was recorded. During each PP, patient weight, PP duration, pre- and post-PP oxygenation monitoring data and the occurrence of complications as detailed above were prospectively collected.

Regarding medical staff safety, a 6-question survey was administered by email 15 days after the last day of the study period, to all team members who performed at least 1 PP during the study period. All surveys were anonymous. The survey was created using a widely available internet tool (http://www.googleforms.com). Questions related to volunteers’ background (nurse or physician or physiotherapist), number of performed PP, COVID-19-related symptoms, and testing and PP-related symptoms.

**Statistical Analysis**

Utilizing SPSS Statistics software (version 22.0, IBM, Armonk, NY), continuous variables were expressed as median (range) or mean (standard deviation) unless otherwise specified. Continuous variables were compared using an independent samples t test or a Mann-Whitney U test as appropriate. Categorical variables were compared using a Chi-square test or a Fisher exact test as appropriate. This study was conducted according to the ethical standards of the Committee on Human Experimentation institutionally approved and reported according to the Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) guidelines.

**RESULTS**

**Study Population**

Over the study period, 660 patients with suspected SARS-CoV-2 infection were hospitalized at a tertiary regional university hospital, of which 371 had a RT-PCR-proven SARS-CoV-2 infection or typical chest CT features of COVID-19. Among 117 patients admitted to ICU, 67 patients (57.3%) developed severe ARDS requiring PP. Most were male (n = 53, 79%), median age was 68.5 years (interquartile range [IQR], 61.5–72.5), and median body mass index was 29.3 (IQR, 26.3–34.4). Demographics and baseline characteristics of the 67 included patients are listed in Table 1. Median ICU stay was 16 days (IQR, 10–22). One patient required extracorporeal membrane oxygenation. After a median follow-up of 28 days (IQR, 21–35) from the ICU admission date, 32 patients (48%) have been discharged home while 20 (30%) remain hospitalized in ICU. The overall mortality rate was 15% (n = 10).

**PP**

Overall, 384 PP were performed with a median number per patient of four (IQR, 2–8) (Table 2). Mean duration for PP was 14.5 minutes (SD, 6.3). In case of prone positioning, mean duration was 17 minutes (SD, 6.9), whereas 12.7 minutes (SD, 5.0) in case of supine positioning (P < 0.001). Overall, the complication rate was 8.8% (n = 34) and was not influenced by prone or supine positioning. PP procedure was aborted or cancelled in 4 patients (1%) owing to complications, including respiratory instability (n = 3) and pressure sores (n = 1). PP characteristics and complications are listed in Table 2.

**Medical Staff Safety**

Of 212 trained volunteers, 109 eventually performed PP and 95 (87%) responded to the survey. Among them, 37 (40%) were physicians or surgeons, 28 (29.5%) were nurses or OR nurses, and 29 (30.5%) were physiotherapists. Twenty-three (24.5%) respondents performed >20 PP, 27 (28.7%) between 10 and 20 PP, and 44 (46.8%) <10 PP. Additionally, 69 (72.6%) respondents found the training session helpful for PP preparation and 50 (52.6%) found it reassuring regarding the risk of SARS-CoV-2 infection.

Four (4%) respondents experienced potential COVID-19-related symptoms during the study period or within the 15 following days. Of them, 3 underwent nasopharyngeal testing for SARS-CoV-2 infection and none responded having been tested positive. None was hospitalized.

Regarding PP-related symptoms, 11 (12%) respondents responded positively reporting back pain (n = 8), joint pain (n = 2), and anxiety due to the risk of SARS-CoV-2 infection (n = 1).

**DISCUSSION**

This organizational initiative was spontaneously initiated by health care workers to help overcome the increasing workload in ICU and was enthusiastically received as an integral part of the institutional response to the outbreak. As the pandemic remains active but seems to slow down, health care systems have been impacted worldwide and organizational disruptions will persist for months to come. Considering further the risk of an outbreak reactivation with a so-called “second surge,” sharing this experience among others is critical to help address present and future challenges due to COVID-19.
Positioning Checklist

**Step 1: Indication**

Indication of prone/supine positioning validated by ICU doctor

**Step 2: Preparation**

**Before entering the room:** verification 5 team members are dressed
- For all FFP2 mask - Glasses - Mobcap - blouses with apron + gloves (to be put inside the room)
- Tracheal intubation bag ready in corridor = Mac Graft, blades 3 and 4, tubes sizes 7 and 8, Eschmann mandrel

**Entrance**
- Surgeon reads checklist before positioning
- ICU doctor positions at the head and checks the checklist
- ICU nurse goes to shoulder on the side of the central venous catheter and checks the checklist
- OR nurse checks that the FiO2 is 100% for 5 min

**Placing**
- ICU doctor alone speaks and briefs the team
- Assigns everyone’s role
- Announces the side of positioning
- Monitoring of SpO2, BP and ETCO2
- ICU nurse removes ECG electrodes
- Do not forget: positioning = changing the bed sheet

**Side of positioning = side of lateral position**
**Side of translation = side of venous line or chest tube**(if present)

**Checklist before positioning**
- Pre-oxygenate with FiO2 100% (5 minutes)
- Sedated and curarized patient (Cisatracurium 20 mg)
- Tracheal tube connected, nasogastric tube aspirated and disconnected
- SpO2 sound signal activated
- P max alarm set at 45 cm H2O
- Tracheal tube centimeter mark checked
- Balloon tube pressure at 30-35 cm H2O
- Adhesive plaster checked on tracheal tube
- Oropharynx and airway suction
- Eyes protected with gel pad
- Catheters and cables secured with adequate length
- Urinary catheter with adequate length
- Surgical drains secured
- Flat bed and mattress in Care setting
- Clean bedsheets ready + absorbent pad for head
- Protection of pressure areas

**Step 3: Positioning**

**Prone positioning**
1. Move horizontally the patient on the opposite side to which the patient will be turned
2. Position arm on the side of positioning under the buttock, palm up, arm straight
3. Lateral turn
4. On the patient’s chest side, push the dirty bedsheets and place the clean bedsheets
5. Turn into prone position
6. Put on clean bedsheet and center the patient

**Supine positioning**
1. Move horizontally the patient on the opposite side to which the patient will be turned
2. Position arm on the side of positioning, palm against the thigh, arm extended
3. Lateral turn
4. On the patient’s back side, push the dirty bedsheets and place the clean bedsheets
5. Turn into prone position
6. Put on clean bedsheets and center the patient

**Step 4: Verification. Checklist read by surgeon and actions made by ICU staff**

- Reconnect the equipment
- Oropharynx and airway suction
- Check tidal volume and P max
- Bilateral auscultation
- Tracheal tube centimeter mark checked
- Tension-free drains and tubes
- Reverse Trendelenburg 10°(if prone) or 30° (if supine)
- Remove creases from bedsheet
- Pressure areas verification

**In case of prone positioning**
- Position pillows
- Position head facing towards one side, verification of venous central lines
- Ears not bent over
- Penis hanging between the legs with the catheter secured
- Palms of the hands facing up, arms spread out along the body
- Pressure areas verification

**FIGURE 1.** Procedure positioning checklist.
TABLE 1. Descriptive Data From 67 Consecutive Patients Who Underwent Positioning Procedures in ICU Between March 27, 2020 and April 15, 2020

| Characteristics                     | N = 67 |
|-------------------------------------|--------|
| Sex, n (%)                          |        |
| Male                                | 53 (79)|
| Female                              | 14 (21)|
| Age, y                              |        |
| 68.5 (61.5–72.5)                    |        |
| BMI, kg/m^2                         | 29.3 (26.3–34.4) |
| Active smoking, n (%)               | 7 (11) |
| Diabetes mellitus, n (%)            | 21 (32)|
| Preexisting hypertension, n (%)     | 41 (61)|
| Chronic pulmonary disease, n (%)    | 23 (35)|
| Active cancer, n (%)                | 3 (5)  |

Continuous variables are reported as median (interquartile range). BMI indicates body mass index.

Through this experience at the scale of our institution, several lessons have been learned. First, as part of the general effort, both interdisciplinary cohesion and agility have been demonstrated with >200 health care workers from various professional backgrounds who volunteered within roughly 24 hours. Second, most volunteers were not experienced with the technical aspects of PP. Fast dissemination of the necessary knowledge has been made possible through our local medical simulation center where all volunteers had to attend 1 training session. This highlights how simulation allows targeted and accelerated training. This training has translated in safety for both health care workers and patients. Indeed, no team member reported SARS-CoV-2 infection and PP-related complication rates were similar to the available literature. Nevertheless, the patient safety could not be fully assessed as outcomes from patients managed by the “Team DV” were not compared to those positioned by experienced ICU staffs. Furthermore, this allowing reassuring team members regarding the risk of SARS-CoV-2 infection, as reported by more than half of respondents. Indeed, training involving simulation and debriefing was not only focused on technical aspects of PP but also provided accurate preparation to the use of PPE. This training was paramount to avoid an inappropriate use of PPE thereby reducing the risk of contamination and the risk of PPE shortage. Similarly, grouping COVID-19 patients into dedicated “COVID-19 areas” in ICU allowed reducing the risk of PPE shortage as disposing the full PPE of each team member between each patient was not necessary in such dedicated areas. Finally, although the number of patients requiring PP decreases and medical clinics and elective surgery caseload gradually resumes, all trained volunteers remain enlisted in case of new surge.

Albeit restricted to 1 particular task, the present study demonstrates the feasibility and the safety of reallocating health care work force to targeted medical tasks beyond their respective expertise. Interdisciplinary cohesion, accelerated training using simulation and procedures standardization using checklists were critical for the rapid deployment of these dedicated teams.

ACKNOWLEDGMENTS

The authors thank all of the healthcare workers who carried out the patients positioning as members of the “Team DV”: Sila Ait El Kameil, Justine Alardin, Claire Ballot, Nicolas Barbat, Lucas Barberot, Mélatine Barbelet, Ghislaine Baud, Chloé Berment, Alexandre Bezzac, Bénédicte Biansan, Claire Bodivit, Yoann BOUR, Bénargère Bourgeois, Camille Boutelle, Claire Bovidit, Amélie Camelio, Fabio Carminatti, Marylène Chabauty, Hugolône Chapuis, Léna Chevalier, Marlène Chotard, Coline Cochet, Aurore D’hoogo, Thibaut Dabudyk, Tatiana Dahes, Aurélie Dautea, Loïc David, Nicolas David, Margaux Debucy, Marc Decrock, Cécile Destynder, Leslie-Anne Dubois, Julien Fageot, Benjamin Forien, Laura Franco, Elisabeth Gachignard, Zoe Garnelet, Patrice Gayet, Aurélie Gerard, Paul Girard, Eleonardo Grandvoinnet, Noor Hamdan, Bruno Heyd, Olivier Hild, Jean-Yves Huart, Anne Jaquemin, Marie-Josée Jœnnare, Estelle Jeanvoine, Carole Jeanclerc, Clotilde Jouchaux, Emeline Lacour, Jean Lambert, Aurore Lamy, Fanny Lorand, Sandrine Lebon, Nathalie Lecarouboulé, Anna Li-Marchetti, François Loisel, Gabriel Macedo, Alexandre Mathieu, Camille Menu, Antoine Mernager, Garvey Mezepo, Stéphanie MORA, Vanessa Morel, Isabelle Mostonen, François Nobili, Narcisse Ouedraogo, Théo Paget, Thibault Pagnot, Béatrice PAGOT, Lison Pellegrini, Romain Perez, Marion Petey, Camille Petit, Clòve Petrenut, Évan Pittapurry, Paul Poirier, Anne-Lise Poirot, Florence Poras, Johanna Pothin, Marie-Aline Pretot, Corinne Pussini, Anaïs Raichon, Célia Regent, François Richard, Vincenzo Ritucci, Julie Ritter, Thibault Robin, Séverin Rochet, Alois Ronsin, Maryse Rousselet, Lucie Rudzewick, Lauriane Salwey, Charlotte Sayah, Pierre-Étienne Serre, Lucie Simon, Anne-Lise Trocme, Justine Trzebowski, Uwe Stader, Marie-Cécile Vallet, Elodie van Hecke, Luca Vaubourg, Julien Vaytet, Yannick Vene, Manon VIEUXMAIRE, Alain Vigier, Coralie Voisin and Édouard Woussen.

The authors thank all the members of ICU medical and nurse staff who cared for COVID-19 patients and collaborated with the “Team DV” members.

The authors would like to thank Alexandre Lattheir and Elodie Parra for assistance in building, organizing and planning the teams. We thank Alain Dussaucy for his help in acquiring informative data. We also thank Chantal Carugger, Catherine Chirouze, Samuel Limat, Thierry Moulin, and Emmanuel Samain for support and comments on the manuscript.

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TABLE 2. Characteristics and Complications From 384 Positioning Procedures

| Characteristics                     | Overall n = 384 (100%) | Prone to Supine n = 219 (57%) | Supine to Prone n = 165 (43%) | P   |
|-------------------------------------|------------------------|-------------------------------|-------------------------------|-----|
| Positioning duration, min           | 14.5 (6.3)             | 12.7 (5.0)                    | 17 (6.9)                      | =0.001|
| Pplat before PP, cm of water        | 22.9 (4.5)             | 22.8 (4.6)                    | 23 (4.5)                      | 0.136|
| Pplat after PP, cm of water         | 23.1 (4.2)             | 22.6 (4.0)                    | 23.7 (4.4)                    | 0.004|
| FiO₂ before PP, %                   | 69.5 (24.8)            | 66.5 (26.3)                   | 73.7 (22.1)                   | 0.001|
| SpO₂ before PP, %                   | 96 (2.9)               | 96.3 (2.7)                    | 95.4 (3)                      | 0.009|
| SpO₂ after PP, %                    | 97.3 (4.1)             | 97.4 (4.7)                    | 97.2 (3.0)                    | 0.221|
| Positioning procedure-related complications, n (%) | 34 (8.8) | 17 (7.8) | 17 (10.3) | 0.385 |
| Respiratory or hemodynamic instability | 16 (4.1)          | 7 (3.2)                       | 9 (5.4)                       |     |
| Pressure sores                      | 11 (2.9)               | 8 (3.6)                       | 3 (1.8)                       |     |
| Nonscheduled extubation             | 5 (1.3)                | 2 (0.9)                       | 3 (1.8)                       |     |
| Removal of intravenous or intra-arterial lines | 2 (0.5) | 0 (0)      | 2 (1.2)                       |     |

Continuous variables are reported as mean (standard deviation) unless otherwise specified. FiO₂ indicates fraction of inspired oxygen; Pplat, end-inspiratory plateau pressure of the respiratory system; SpO₂, pulsed blood oxygen saturation level.
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