Historical empathy and medicine: Pathography and empathy in Sophocles’ *Philoctetes*

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Accepted: 3 April 2022 / Published online: 21 April 2022
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Abstract
The aim of this article is to explore the ways in which the engagement with Greek tragedy may contribute fruitfully to the unfolding of empathy in medical students and practitioners. To reappraise the general view that classical texts are remote from modern experience because of the long distance between the era they represent and today, I propose an approach to Greek tragedy viewed through the lens of historical empathy, and of the association between past situations and similar contemporary experiences, in particular. After a brief examination of the concept of empathy, its links with literary reading, and the discussion of these interrelations within the training of narrative medicine, and narrative ethics in particular, the focus turns to selected parts of Sophocles’ *Philoctetes*, such as the disease scene—an ancient example of pathography. Here Neoptolemus’ empathy for Philoctetes’ situation and its consequences are explored with specific interest in the modern readers’ affective response in connection with their own experiences in medical practice. Neoptolemus’ ethical conflict, which is resolved by his decision to care for Philoctetes, and the problematic nature of this attitude are both indicative of the aim of Greek tragedy to problematize universal issues and thus to point towards the instability of human life and the fluidity of human nature. Realizing through historical empathy the precariousness of human existence may lead to a better understanding and hence better care for others and open new perspectives in the development of empathy within the context of contemporary medical education and practice.

Keywords
Empathy · Narrative medicine · Narrative ethics · Historical empathy

Introduction
Empathy is a complex notion which has been examined by several disciplines, including psychology, philosophy, neurosciences, and medicine, and thus has received various definitions accordingly. Furthermore, the boundaries between empathy and related concepts, such as theory of mind (ToM), mentalizing, perspective-taking, sympathy, and compassion, are often blurred and there is no unanimous agreement among researchers about their distinctions and subcategories (Singer and Tusche 2009, p. 254; Preckel et al. 2018, pp. 1–2; Yaseen and Foster 2019, pp. 8–9; Guidi and Traversa 2021). This results to a terminological minefield with more than forty–three different definitions of empathy (Cuff et al. 2016, pp. 146–147, table 1) and with numerous questions yet unanswered. Hence, there is a need for the delineation of the term “empathy” by each author, as Pinotti and Salgaro (2019, pp. 141, 154) and recently Zhou et al. (2021) also remark. A more inclusive working definition, and the one from which the following discussion begins, derives from the approach of empathy in an interdisciplinary context as the ability based on neural networks developed from young age to perceive and share the feelings of others as well as the capacity to understand our own and others’ mental states. Thus, empathy is viewed from both its affective and cognitive aspects.

1 Pinotti and Salgaro (2019, pp. 141–158), Yaseen and Foster (2019, pp. 3–11) offer recent reviews of the term. Guidi and Traversa (2021) discuss the term “clinical empathy” in the context of the medical practice.

2 For example, Trieu et al. (2019, pp. 17–35, esp. 17–18), Stietz et al. (2019, pp. 1–8) and Tholen et al. (2020, p. 1) suggest a distinction between the cognitive and emotional aspects of empathy while Cuff et al. (2016, pp. 146–147) and recently Guidi and Traversa (2021) offer accounts of works which include both. For the differences
The association of empathy with prosocial or moral behavior is another much disputed matter among scholars and researchers: recent representative examples for it and against it are the works of Bazalgette (2017) and Bloom (2016) respectively. Brief reviews of this subject are also found, for example, in Singer and Tusche (2009, pp. 253–254), Pinotti and Salgaro (2019, pp. 146–147). Compassion has also received attention from this perspective; defined as the feeling of concern and motivation to help, it is distinguished from empathy as “compassion is feeling for and not feeling with the other” (Singer and Klimecki 2014, p. R875; Preckel et al. 2018, p. 1). Therefore, compassionate responses are based on “other-oriented feelings and the activation of prosocial motivation and behavior” (Singer and Klimecki 2014, p. R878).

### Literary reading and empathy in medicine

The interrelations between reading and empathy have been examined with various empirical studies which indicate the link between the two (Kidd and Castano 2013, reappraised by van Kuijk et al. 2018; Kidd and Castano 2019; Mar and Oatley 2008, p. 182; Djikic et al. 2013, pp. 28–47; Johnson 2012, pp. 150–155). The surge of neurosciences led to an increase of scholarly attention to the interaction between readers’ engagement with fiction and empathetic behavior in real life (Pinotti and Salgaro 2019, p. 145 offer a recent review). Shalev and McCann (2020, pp. 608–609) discuss the overlap of the “narrative comprehension network” with neural networks thought to be involved in theory of mind and conclude that “the neuroscientific literature posits convincingly that empathy is a teachable competency with an identifiable neural signature and suggests that humanistic endeavors—such as engagement in fiction—increase empathy”.

Literary critics, particularly those working within the interdisciplinary field of cognitive literary studies, have explored this interrelation from many aspects. Two of them are of particular interest in the following discussion: “narrative empathy” and “emotional immersion”. According to Keen (2013, Sect. 1), narrative empathy is “the sharing of feeling and perspective—taking induced by reading, viewing, hearing, or imagining narratives of another’s situation and condition” (see also Keen 2018, pp. 127–133). Ryan (2015, p. 10) remarks the paradox that the fate of fictional characters can generate emotional reactions to readers with physical symptoms such as crying, even though readers know very well that these characters never existed. For Ryan (2015, p. 9) this is due to the emotional immersion, “the emotional involvement with the fate of the characters”.

The link between literature and empathy has also been examined in medical contexts. Narrative medicine is an interdisciplinary approach of medicine and humanities which argues, among others, that the development of narrative competence achieved through the close reading of literary texts promotes empathy and thus practitioners’ understanding of their patients and their narratives (representative examples are the works of Charon 2006, 2017a, b; Whitehead 2014; Marini 2016). Even though narrative medicine has been a generally well-received and influential movement, some of its principles, especially the insistence on the training of narratological skills and its relation to empathy, have been questioned (Ahlzén 2019; Neilson 2022; O’Mahony 2013). For example, Ahlzén (2019) concludes that empathy may be affected by reading literature under certain carefully specified circumstances but argues that the “narratological theory apparatus may at times stand in the way for the plot” and specific narrative skills should not be considered a necessary or even contributing condition for the clinicians’ interest in stories of illness. Nevertheless, contemporary health challenges, such as the facts that patients live longer, with more illness complexity and comorbidity than in previous generations (Dosani 2021) and that their narratives are more complex and require further interpretation (Zaharias 2018a, b), increase the physicians’ need to be more attentive to meaning—creating processes. The norms of narrative competence govern the interaction with the patient in a way sensitive to a whole host of cultural, emotional, and contextualized aspects” (Vannatta and Vannatta 2013, p. 43) and help physicians fully understand the stories of their patients including the implicit meaning of narratives (Kalitzkus and Matthiessen 2009; Vannatta and Vannatta 2018).
quantitative studies have concluded that narrative medicine (see also O’Mahony 2013). Various recent qualitative and empirical way that literature can improve clinical practice less, Ahlzén (2019) admits that it is hard to prove in a solid evidence for it in the clinic, and Scott–Conner and Agarwal (2021) who in their discussion of narrative medicine training in surgical education emphasize the need for recording a patient’s story in the medical record with a language rich in metaphor, found in great literature, which can also contribute to other health care providers’ understanding and empathic responses. Schattner (2012) also refers to cases in varied medical settings, where patients’ clues and expressions of affect were not acknowledged by physicians, especially in primary care where the motivation of many visits are psychosocial problems which need to be addressed, and proposes that narrative competence is essential to the effective practice of empathic medicine. Therefore, the dismissal of narrative skills as unnecessary, which has been proposed by scholars against narrative medicine, does not correspond to the complicated circumstances of contemporary clinical practice in which narrative competence, as shown above, can have positive outcomes including an empathic understanding of the patient. As Charon (2016, p. 348) rightly argues, both plot and form require attention.

The paucity of reliable empirical studies which demonstrate a link between narrative medicine training and the development of empathy has also been presented as an argument against narrative medicine (Ahlzén 2019). Nevertheless, Ahlzén (2019) admits that it is hard to prove in a solid empirical way that literature can improve clinical practice (see also O’Mahony 2013). Various recent qualitative and quantitative studies have concluded that narrative medicine may be linked to heightened empathy despite the challenges in “quantifying the long–term impact of narrative medicine objectives, such as fostering empathy and ethical decision–making” (Remein et al. 2020; Milota et al. 2019; Arntfield et al. 2013; Schattner 2012). Remein et al. (2020) in their review acknowledge that it is unclear how transferable the results of any specific narrative medicine intervention may be (see also Fioretti et al. 2016), but a common conclusion of all recent reviews is that narrative medicine education can lead to a range of positive outcomes for health sciences professionals, including enhancing empathy (Remein et al. 2020; Milota et al. 2019; Barber and Moreno–Leguizamón 2017). Regarding studies and reviews on specific kinds of literature, some recent representative examples are the qualitative study of Vannatta and Vannata (2013) who suggest that the use of literature improves the doctor’s empathy and subjective attunement to narratives of abuse and the article of Schoonover et al. (2020) who offer a review of studies on the use of poetry, which as part of a narrative medicine intervention may increase empathy.

Another criticism of the principles of narrative medicine in relation to empathy is that eliciting the patients’ stories might lead some of them to feel uncomfortable or forced and distressed and this might have negative results on the relationship between patient and doctor (Kalitzkus and Matthiessen 2009; Ahlzén 2019; Mitchell 2014; O’Mahony 2013). The link between the study of literature and the development of abstract clinical competencies related to empathy, such as accurate interpretation, imagination, ethical issues, and moral reflection has also received attention (Hojat 2009; Zaharias 2018a, b). Furthermore, Zaharias (2018a) remarks that the use of principles of literary analysis to the patient narrative also leads to self–reflection, which offers insight into the self and the practitioner’s own effect on the interaction.

Roche (2017) also refers to cases where constant pain may lead to iatrogenic stigmatization and remarks that through patients’ storytelling and physicians’ narrative competence pain physicians can develop empathic skills and help patients manage pain and suffering.  

Yang et al. (2018) emphasize that narrative medical education will be effective if it is continued throughout the professional life of medical staff. Greenhalgh (2006) notes that narrative techniques “provide the opportunity to generate insights that cannot be gained using the traditional tools of the quantitative researcher” and based on this McDonald et al. (2015) suggest that there may be a case to further develop qualitative work in this area.

Barber and Moreno–Leguizamón (2017) in their review also raise the issue that no study included a patient–reported outcome measure. The number of participants in narrative medicine interventions is also small but they acknowledge the benefit of education in small groups. Gull et al. (2002), for example, who had a larger interdisciplinary group of participants, conceded difficulties in managing it. The effectiveness of narrative medicine for increasing empathy may also be related to its cultural acceptance by students (Daryazadeh et al. 2020).

Muszkat et al. (2010), for example, demonstrated that their poetry programme was perceived by students as increasing their capacity for empathy. In the study of Lancaster et al. (2002) students reported increased empathy as the most valuable aspect of the close reading of literature on specific themes. Fox et al. (2020) also showed that the practice of close reading was tied to the skill of perspective–taking as the analysis of their workshop texts helped medical students generate empathy and craft strategies for combating weight stigma in their own future medical practice. Two recent studies of the use of narrative medicine programmes in pediatric psycho–oncology and palliative care also support the use of narrative medicine to foster empathic behaviors (Lorenz et al. 2021; Sagin et al. 2021 respectively). In the latter it is worth noting that many students “chose to take on the perspective of, and empathize with, their patients” even though this was not a part of the intervention.
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This is where empathy and the engagement with literature
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tioners. The place of empathy, and of emotions in general,
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offers the intellectual foundations to the practice of the nar-
native ethics of healthcare (Irvine and Charon 2017, p. 122).
Therefore, “in addition to the clinical practice of helping
patients, families, and clinicians arrive at fitting decisions
about healthcare, teaching narrative ethics entails training in
the close reading of literary, legal, and clinical texts” (Irvine
and Charon 2017, p. 124).

The question immediately raised is how the close reading
of texts within the frame of narrative medicine may lead to
the development of empathy. Close reading as a main pro-
cedure of New Criticism, a literary theory which focused on
internal textual features and structure (Abrams and Harpham
2012, pp. 242–243; Cain 2018, pp. 11–21; Duarte et al.
2020, pp. 1, 3–4), is the detailed analysis of the complex
interrelationships and meanings of the words on the page
rather than of the contexts which surround them (Cain 2018,
p. 20; Eagleton 1996, p. 38). One of the advantages of close
reading in narrative medicine is the familiarization of doc-
tors with the interpretation of complicated literary texts. All
the elements of a story, such as its plot, its genre, its diction,
its temporal and spatial natures, are required for the reader
to extract its ethical, personal or affective meaning (Irvine
and Charon 2017, pp. 112–113). Thus, a doctor who has
become an efficient reader has the ability to approach the
complex illness stories with more understanding (Charon
2017a, pp. 165, 166; Schneider et al. 2019, pp. 173, 176).

As Charon (2006, p. 110) remarks, “developing skill as a
reader or a clinician entails knowing which of one’s count-
less registers to bring to bear on each interpretive situation”.
Close reading requires training as does the reading of many
clinical “texts”, for example, a normal chest X–ray. This is
why “good readers make good doctors”, as Charon (2006,
p. 113) argues, and the training involves the introduction of
students and physicians “to great literary texts and giving
them the tools to make authentic contact with works of fic-
tion, poetry, and drama” (Charon 2006, x).

Such a contact may in turn lead to a change within the
readers, who are affected and thus altered by their reading
(Charon 2006, p. 126), an effect which according to Keen
(2013) “can be considered an aspect of ethics in narrative
discourse”. Gadamer (2004) introduced the notion of the
fusion of horizons through which “we expand our own
vision of reality, our own state of being, indelibly changing
us toward the next encounter with a text” (Irvine and Charon
2017, p. 112). According to Gadamer an authentic experi-
ce of a work of art involves a living relationship to it and
“does not leave him who has it unchanged” (Inwood 2005,
p. 349).14 This approach endows the theoretical background
of narrative medicine with a wider scope, since in the tradi-
tional concept of close reading the reader’s response was not
a matter of attention. In the reader response criticism and the
reception theory within it, in both of which the focus turns
to the readers and their reception of the texts, the meaning
of the text is created and is the result of its interaction with
a reader (Reader Response Criticism) or readers of a certain
period (Reception Theory) (Abrams and Harpham 2012, pp.
330–333, 336–337; Eagleton 1996, pp. 67–75; Culler 1997,
p. 123). Central to the reception theory is the notion of the
“horizon of expectations”, which is a term used for the set
of cultural norms, assumptions, and criteria shaping the way

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13 Yaseen and Foster (2019, pp. 12–13) and Guidi and Traversa
(2021) discuss the association of burnout and reduced empathy with
diagnostic errors.

14 Taylor (2002, pp. 126–142) discusses Gadamer’s account of the
fusion of horizons in attempts to understand different societies and
epochs.
in which readers understand and interpret a text or an artwork at a given time. It may be formed by such factors as the prevailing conventions or current moral codes (Balick 2001, p. 116).

Both New Criticism and Reader Response theories include many different views and trends and, even though they were introduced as contradictory theories, elements of both may be particularly useful in the field of narrative medicine. Charon (2017a, p. 164) suggests a combination of “the timeless practice of close reading with attention to the roles of emotion and intersubjectivity in how the reader reads”. With the increase of interest in neurosciences and cognition, theoretical models which combine, among others, the interdisciplinary study of human mind (including emotions and empathy, in particular) and structural elements of the texts, emerged, such as Eder’s (2003) work on narratology and cognitive reception theories. Eder proposes this “convenient collective term for theories that recruit concepts from cognitive science in their efforts...to understand how texts or narratives are understood” emphasizing the emotional side of reception (Eder 2003, p. 284; Herman 2013, Sect. 3.2c). In his brief discussion of structures of emotional influence Eder (2003, p. 290) refers to the works of film theorists such as Plantinga (1999) on scenes of empathy but then focuses only on the character in feature films. There is no analysis of other areas, which, as he suggests, may be successfully explored within this framework; such areas are the structures involved, among others, in perspective and in the readers’ emotional engagement with characters (Eder 2003, p. 295). Both proposed areas could be of particular interest for narrative medicine, as this approach may endow Charon’s suggested model with a wider scope by taking into account recent interdisciplinary directions in the research of empathy.

“*We must go to the past if we are to make new the present*”: Greek tragedy and historical empathy in contemporary medicine

Martingale (2013, p. 181) makes this thought-provoking statement in his discussion of the classical reception and the notion of a “new humanism”. Could this apply to medicine and in which respects? Narrative medicine has focused on texts drawn primarily from English and American literature. The ancient Greek culture has received little systematic scholarly attention, even though Meineck (2020b, p. 267) highlights that “under the aegis of narrative medicine, texts such as Homer’s *Iliad* and *Odyssey* and the ancient Greek plays... have provided fertile ground for the kind of joint close readings and collective reflections central to the effectiveness of many of these programs”. Greek tragedy problematizes universal issues regarding the human nature, and especially suffering and its effects. The Greek tragic plays have been read and performed from the fifth century B.C. until today, continue raising timeless questions, and provoke much discussion about ever-present issues. Yet, the lack of interest in Greek tragedy may be due to its peculiarities, such as the special generic conventions of these texts which make them less familiar to contemporary readers than, for example, the works of Shakespeare, Tolstoy and other authors who also refer to the past. Another reason may be the general view of classical texts as obsolete and remote from modern experience, since there seems to be a long temporal and sociocultural distance between the era they represent and today. These reasons make the contextualization of Greek tragedy a complicated task for the modern reader as the plays reflect a specific historical period in the past, within which they were produced and whose particular circumstances they evoke: the democratic city-state of Athens in the fifth century B.C. The significance of taking the context of the Greek plays into account may be exemplified, among others, with a brief reference to the notion of communal action: the number of Athenian citizens was relatively small and democratic citizenship meant active participation in public events.

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15 Hamilton and Schneider (2002) opened the way towards the cognitive reception theories, by tracing cognitive criticism’s roots in reception theory.

16 Waugh (2006, p. 550) describes this tendency in aesthetics as “cognitive aesthetics of reception”.

17 Meineck (2020b) brings the example of the “Warrior Chorus” productions with Aquila theatre which have shown how ancient drama can be a popular resource in health humanities programs. Recently, and during the COVID-19 pandemic in particular, there has been a kind of reinvention of Greek tragedy: Rushton et al. (2020) in the discussion of the events presented by the Theater of War for Frontline Medical Providers, namely dramatic readings of scenes from ancient Greek plays... have provided fertile ground for the kind of joint close readings and collective reflections central to the effectiveness of many of these programs. Another exception are the works of Bleakley and Marshall (2012); Bleakley et al. (2014); Marshall and Bleakley (2008, 2009, 2011, 2013, 2017) and Rodulson et al. (2015) on Homer, especially Marshall and Bleakley (2009, pp. 7–12, and 2017, pp. 104–121) where empathy is approached within the frame of pity.

18 Such conventions are the open–space performances within specific religious contexts, the adherence to subjects drawn from the mythic past, the stylized structure of the plays with the choral interventions, the masked performance by actors, the three–actor rule and the female roles played by men.

19 For a discussion of the very few and doubtful cases of productions of tragic plays outside Athens see Kampourelli (2016, pp. 8–11).
and constant participation in numerous political activities (including military ones, as Rushton et al. 2020; Meineck 2020a, c emphasize) and an intensified sense of community, especially at times of suffering, which cannot easily be captured today.20 The consideration of the specific historical context of the Greek plays allows their interpretation as part of another culture from a past time, yet closely related to ours, by reflecting on which “we seek to know more about ourselves” (Meineck 2020a, p. 58). The engagement with historical empathy, a particular concept of empathy associated with the study of the past, seems appropriate here and may contribute fruitfully to the direction proposed by Meineck.

The recent sociocultural shift in history led to the consideration of empathy as a significant component of historical empathy, a widely used but also disputed concept among historians and history educators.21 Endacott and Brooks (2013, 2018), followed by Bartelds et al. (2020, p. 529), have recently proposed an approach of historical empathy as both the cognitive and affective engagement with historical figures to better understand the lived experiences, decisions and actions of people in the past, pointing out that affective connections with these figures are made “to one’s own similar yet different life experiences” (Endacott and Brooks 2013, p. 43). As Bartelds et al. (2020, p. 529) remark “this activity seems similar to the process of understanding someone’s experiences, decisions, and actions in the present”. One of the conclusions of their empirical study in historical empathy is that “students and teachers see a clear link between historical empathy and empathy in daily life” (Bartelds et al. 2020, p. 546). Endacott and Brooks (2013, p. 46; 2018, pp. 215–216) have specifically proposed the inclusion of a “reflection” phase in their model for the promotion of historical empathy; in this phase the focus is on making connections between the past and the present which may inform the students’ thoughts, emotions, and actions in the present. Through historical empathy, in particular, the appreciation of the complexity of situations faced by people in the past and the need to act for the good of others can ultimately lead students to the formation of moral judgments about the past which may help them face the ethical issues of today (Endacott and Brooks 2013, p. 45).

Even though these studies in historical empathy have tended to emphasize its aim to foster citizenship competencies (Endacott and Brooks 2018, pp. 208, 219; Bartelds et al. 2020, p. 531), the proposed link between historical empathy and empathy in everyday life may be useful when examined in new contexts. Discussing medical history Koretzky (2018, p. 2080) argues that “there is another role for history in medical education, in addition to, not instead of, teaching content knowledge. That is, to deliver emotion, to train students in empathy”.

The study of ancient literary texts and Greek tragedy, in particular, may open new perspectives in the examination of historical empathy in this context. Sullivan (2011, pp. 547–548) has argued for the close intersections between cultural medical history and literature stressing the unchanging impulse to use stories to make sense of aspects of human experience. The convergence of history and fiction was introduced by postmodern approaches to history which challenged the empirical Rankean history and its assumptions about the objective reconstruction of facts and the diametrical opposition between history and fiction (for example, White 1978, 2005). The main objection to Ranke’s principles lies in the incompleteness and unreliability of the surviving record (Hower 2018; McCullagh 2004). Boldt (2014) following Jenkins (2009) demonstrates that only a fraction of what has occurred in the past (about 1%) can be recounted. In addition, the selection and interpretation of evidence depend on historians’ judgment and thus can only be arbitrary and subjective (a brief review is found in Boadu 2020). Thus as Boldt (2014, p. 472) acknowledges “Ranke’s approach to history worked in the nineteenth and early twentieth century but historical writing and the nature of history has moved on” (see also Hower 2018). According to the postmodern approaches historians must consider “the assertion that our representation of the past has no greater claim to truth than that of novelists and poets” (Green and Troup 2016, p. 206). For example, White (1978, p. 82) criticizes historians’ “reluctance to consider historical narratives as what they are—verbal fictions”. The acceptability of literature as a primary source for academic history furthered this confluence of history and fiction (Hower 2018) and, as Humphrey remarks (2020, p. 95), the once–acclaimed history/fiction division is now recognized by all historians as “an oversimplification”. Therefore, the concept of historical empathy may be applied, beyond the strictly historical (in the Rankean sense), namely “real, non–fictional”, situations, to literary texts referring to past human experience. Boldt (2014, p. 463) interestingly remarks that “the power of great novels is not that they are fiction; it is that they are true. How would it otherwise explain that some of the greatest writers in the past are still read today, writers such as

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20 Meineck (2020a, c) and Rushton et al. (2020) point out that the audience and actors were all male, with military experience, since Athens was actively at war most of the time of the production of the plays, and had also experienced a plague (430–428 B.C.). Thus, in this perspective, the Theatre of Dionysus was “a collective space where actors voiced the unspoken suffering of citizens” (Rushton et al. 2020, p. 305).

21 Moore (2019, pp. 55–69) reviews the suggested areas of overlap and “variations in alignment” between psychological empathy and historical empathy. Aspects of historical empathy have been matters of controversy (for a review see Endacott and Brooks 2018, pp. 203–213), principally due to the dangers of presentism (Alleman and Brophy 2003, p. 108), egoistic drift, and false interpretations.
Charles Dickens, Shakespeare and Goethe?... And the truth in their fiction makes their novels more powerful than any other book”. According to Endacott (2014, p. 14) one of the most important aspects of historical empathy is the sense of “shared human experience”. Thus, texts such as the Greek tragic plays, which draw on diachronic human thoughts and feelings, may be particularly useful in promoting historical empathy as a stimulus for the development of empathy in medical practice.

Taking the above into account, the following discussion of Greek tragedy is based on the meanings created by both a close reading of the text and its reception by modern readers with particular attention to the links made between past situations and contemporary similar life experiences. Aspects of narratology and cognitive reception theories, such as the emphasis on the received story (Eder 2003, p. 281) and on structures which have been related to empathy, will illuminate the ways in which such associations may be drawn through the physicians’ and students’ reading and their own experiences in medical practice. Therefore, the view of Greek tragedy explored here focuses on its interpretation as a dynamic work of art of value in the development of empathy in medicine today.

Aristotle described the effect which the plays had on ancient spectators by referring to the feelings of “pity and fear” and the consequent “catharsis” in his definition of Greek tragedy in Poetics 6, 1449b24–8: “tragedy is a representation (mimēsis)… which achieves, by means of pity and fear, the purification (katharsis) of such emotions” (Finkelberg 2014, p. 137). The interpretation of “pity and fear” has caused much scholarly debate but what is of importance for this discussion is that the powerful effect of the tragic plays, and especially the characters’ suffering, on the spectators has been acknowledged since antiquity, irrespective of whether these feelings are vicarious (Halliwell 2002, p. 217; Nanay 2018, pp. 1371–1380) or self–centered (Konstan 2006, p. 155). Recently Meineck (2020b, p. 267) has made the interesting point that the Aristotelian term of eleos which is usually translated as “pity,” is possibly misleading and he suggests that the term “empathy” is more apt. He justifies this translation based on “the little we do know of the ways in which drama was received in the classical period:...it possessed the power to move the soul.” According to Miall (2018, p. 114–116) Aristotle is the first theorist to draw attention to audience response, centuries before the emergence of the reader response and reception theories discussed above.

Similar feelings arise when one reads the tragic plays today or watches their modern adaptations on stage. Bryan Doerrries, a director and classicist for whom Greek drama is an artistic means to heal traumatic experiences, especially of veterans who had returned home from the wars in Iraq and Afghanistan, points out that “when people see their own private struggles reflected in 2500–year–old stories, something powerful happens. They open up. They quote lines from the plays and relate those lines to harrowing, personal stories” (Doerrries 2015).

Recently “pathography”, that is, the literary genre based on patients’ (and their families’ and caregivers’ in some cases) stories and their experiences of illness, has received particular attention (Whitehead 2014, pp. 112–113; Marini 2016, p. 194). Pathographies and their emotional effect on the other dramatic characters (as may well be assumed on the spectators, too) are also found in the corpus of surviving ancient tragic plays. A representative example is Sophocles’ Philoctetes. Even though this tragic play is not as well known as other tragedies, such as Sophocles’ Antigone or Oedipus Rex and Euripides’ Medea, its reception within medicine in recent times has been notable: Philoctetes has been performed at the Institute for the Medical Humanities, University of Texas Medical Branch in Galveston, in 2008, so that medical students would achieve a better understanding of the complexity of pain and illness and the play has been used, among others, for therapy programmes at the Vincent’s Trauma and Wellness Center in New York (Novillo–Corvalán 2014, pp. 131–132).

Several texts have drawn on the myth of Philoctetes but as the plot may not be familiar, a brief summary of the Sophoclean version of the story is offered. On the way to Troy with the Greek fleet Philoctetes was bitten by a snake which guarded the shrine of Chryse, where the Greeks went to sacrifice. Philoctetes was then abandoned, ill, by the Greeks on the uninhabited island of Lemnos because his cries of pain were unbearable for the army to hear. He has spent ten years there. The play begins as Odysseus and Neoptolemus, Achilles’ son, come to Lemnos to take Philoctetes home and manages to get his bow. However, after Philoctetes enters, Neoptolemus pretends that he wants to take Philoctetes home and manages to get his bow. However, after Philoctetes is seized with an attack of his disease, Neoptolemus reveals the truth to Philoctetes. Odysseus enters suddenly and temporarily controls Neoptolemus but then the young hero enters to give Philoctetes his bow back. They decide to sail to Greece, but Hercules appears as a
deus ex machina and predicts that Troy will be the place of Philoctetes’ cure and glory. The play ends with Philoctetes’ farewell to Lemnos.

As shown above, the main themes of the play are illness and chronic pain, abandonment and isolation, and, most significantly for this article, Neoptolemus’ ethical dilemma whether to deceive an ill, disabled person and thus follow Odysseus’ (and the Greek authorities’) orders or decide to care for those in need and thus become the advocate of Philoctetes’ values. While the issues of illness, pain and abandonment have received some scholarly attention, Neoptolemus’ value conflict and the unfolding of empathy with a crucial twist in the plot have been neglected. Nevertheless, the universality of the ancient text lies in the existence of similar contemporary inner conflicts and ethical situations in patient care which (especially young) doctors have to face. Questions arise regarding how empathy is involved in cases in which there is a conflict between values, such as when the “medical culture of efficiency and detachment” may become more important than care (Piemonte 2018, p. 120), and thus which attitude a doctor (and a medical student and prospective doctor) should have towards a patient. Recently dramatic readings of scenes from ancient Greek plays, including Philoctetes, have been presented for audiences of medical providers by the Theater of War for Frontline Medical Providers (a collaboration between Theater of War Productions, the Johns Hopkins University Program in Arts, Humanities, and Health, and the Berman Institute of Bioethics, USA) and as Rushton et al. (2020) point out “it is in the ambiguity of these scenes that clinicians seem to find comfort in discovering that they are not alone in their own moral discomfort” especially due to the issues they may be facing during the COVID-19 pandemic.

As mentioned in the discussion of Eder’s (2003) theoretical framework above, several aspects of fictional worlds have been proposed in film and literary studies in the fields of narratology and cognitive reception theories as eliciting empathetic emotions, such as the duration and narrative context of a scene (Plantinga 1999), the vivid use of settings (Keen 2007, p. 93), and the perspectives adopted in the presentation of the story (Keen 2007; Fernandez–Quintanilla 2020). These are features which also receive attention in examples of close readings of texts (Charon 2017b, pp. 180–207) and will be explored, taking the norms of Greek tragedy into consideration, in the examination of the tragic text below. Furthermore, Fernandez–Quintanilla (2020, pp. 140–141) who bases her study on the theoretical ground that “reader experiences are the result of the dynamic interaction between incoming textual information and the reader’s prior knowledge and experiences” has shown empirically that textual and reader dimensions are equally relevant to experiences of empathy (Fernandez–Quintanilla 2020, p. 141). Taking the above into account, the following analysis focuses on both the text and the experiences of medical practitioners and students, which are likely to affect their responses.

One of the norms in the surviving Greek tragic plays is that the action takes place in front of the palace, the place of political and social power. Nevertheless, in Philoctetes there is an exceptional use of the setting which is identified as a primitive cave in the marginalized, uninhabited island of Lemnos (II.1–2). There is no escape from this location, since the island is surrounded by sea and no ship is available to Philoctetes. The setting functions as an affective and suggestive symbol of Philoctetes’ isolation and suffering from the beginning of the play. Philoctetes’ confinement in an island in complete social and political isolation is reinforced by his lameness, which further confines him in an already restricted space (Kampourelli 2016, pp. 143–144). The only human element around is his echo (II.189–90) and his sole interlocutors are “the inlets, the headlands and the jagged rocks of the island” (II.936–40) (the translations of Philoctetes are taken from Taplin 2015).

Perspective and the viewpoints of the characters have also been proposed to contribute to readers’ empathy (Keen 2007, p. 93; Fernandez–Quintanilla 2020, pp. 129–139). Before Philoctetes’ own emotional description based on his harsh experiences (II.285–99), both the location and his illness are presented through various accounts (idealized depiction, direct report of the interior, and presentation of the cave from the outside with particular emphasis on Philoctetes’ movement difficulties, by Odysseus in II.16–23, Neoptolemus in II.27–39 and the chorus in II.144–47 respectively). All of them are offered by foreigners who have arrived there to deceive him, not by Philoctetes’ friends or assistants (Kampourelli 2016, pp. 142–143).

Therefore, from the first lines of the play the setting and the accounts through different perspectives reinforce the theme of the inhuman conditions of Philoctetes’ life and his complete isolation. Simultaneously, though, they underscore the difficult situation which Neoptolemus will be called to handle. Odysseus stresses the need for the deceitful plan (II.79–85), acknowledging that Neoptolemus is not inclined

24 For example, Novillo–Corvalán (2014, pp. 127–142) focuses on Philoctetes’ illness story and its reception and only makes a passing reference to “Neoptolemus’ ethical struggle in the context of the tensions of the drama” (Novillo–Corvalán 2014, p. 132) without any further account of it or its relation to the theme of empathy. Doerrries (2017) makes a brief connection between Neoptolemus’ difficult situation and his own experience as a caregiver for his ill father, without any reference to the young character’s dilemma, too.

25 Ricoeur (1991, pp. 22–23) emphasizes that tragedy, epic and comedy, to cite only those genres known to Aristotle, develop a sort of understanding, the narrative understanding, “which is much closer to the practical wisdom of moral judgment than to science, or, more generally, to the theoretical use of reason”.

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by nature to deceive others. Yet, for a brief time he should give himself to Odysseus, devoid of shame, since victory is a pleasant prize, and then emerge as righteous at another time.

Neoptolemus’ attitude and decisions will also receive attention from different points of view during the play. While Odysseus’ argument is based on the principle that “the end justifies the means”, Neoptolemus is still reluctant here to act against his nature and virtue as represented by his father, Achilles (and Philoctetes later in the play). He is willing to assist Odysseus to follow the orders of the leaders of the Greek expedition in Troy by using force but not guile. This introduction to Neoptolemus’ character predicts his future attitude towards Philoctetes and prepares the readers for one of the most crucial points in the plot of the play.

The lines may be interpreted in an innovative way by a contemporary audience, especially medical students and doctors. It is as if Odysseus assumes the role of a “mentor” for Neoptolemus who needs to follow new principles in order to reach a serious decision. Through the engagement with historical empathy, the difficult task the young hero is facing and needs to undertake against his values appears to have many similarities to tough decisions which young doctors need to make and to future challenges medical students are asked to prepare for.

As the play progresses, despite his initial doubts Neoptolemus finally consents to Odysseus’ plan and proceeds with the deceit when Philoctetes appears. He manages to gain Philoctetes’ trust and is even invited by the lonely hero himself, who considers Neoptolemus his friend, to enter the cave with him. At this important dramatic point Sophocles offers an impressive example of pathography and presents an acute episode of Philoctetes’ disease on stage. Despite previous references to his cries of pain and details of it by other characters and mainly by Philoctetes himself, the disease scene in the middle of the play (II.731–55) is a moment of extreme dramatic significance which leads to the culmination of the young hero’s dilemma (II.756–806). Interestingly, Keen (2007, p. 79) argues that “empathy with plot situation gravitates toward middles of plots, when problems and enigmas have not yet been solved or brought to closure”. Philoctetes vividly presents his suffering with long cries of pain shouting that this is his end and even asks Neoptolemus to amputate his painful leg. Neoptolemus is puzzled until he admits that “this sickness bears down on Philoctetes horribly” (I.755). Philoctetes even addresses death whom he says that he calls regularly as the end of this suffering but without success. Neoptolemus reacts to all this with silence until in line 806 he justifies this attitude by expressing the reason for it: “I have [long] been feeling anguish for your sufferings”. Neoptolemus’ internal affective state for Philoctetes is viewed as a process which begun long ago. The visualization of Philoctetes’ illness motivates Neoptolemus’ (and the readers’) empathy, since he, as an internal spectator, has experienced Philoctetes’ suffering as an eye–witness. Keen (2007, p. 71) in her study about empathetic characters among college students found out that a character’s negative affective states, such as those provoked, among others, by suffering and experiencing painful obstacles, make a reader’s empathizing more likely. Moreover, Sophocles presents Neoptolemus break his long silence (II.782–805), an indication of his internal turmoil, to express in line 806 his emotional response to what Philoctetes is going through; he is participating in Philoctetes’ situation and cannot simply observe it from an emotional distance. The process of developing empathy for the suffering of the other is explicitly displayed.

Plot twists according to Keating (2013, p. 57) create suspense for future events. After his acute episode of pain and suffering Philoctetes is falling asleep. When he wakes up he is ready to leave Lemnos in the hope that he is returning to his homeland in Greece, as Neoptolemus promised him. Taking into consideration Neoptolemus’ empathy as already expressed in his own words an important question arises: will they be going to Troy as the Greek leaders and the duty obligate or is Neoptolemus going to reveal the truth and take Philoctetes to his homeland, as promised?

At this crucial dramatic moment indicative questions which may further promote the readers’ historical empathy and, thus their engagement with the characters and their situation, may be to contemplate which the ending of the play could be and which their expectations of Neoptolemus’ reaction from now on are. They may also consider which character they empathize with, how they would react if they were one of these characters and whom they would choose and why. Most significantly, the dramatic action may bring to mind parallels from their everyday experience, their attitude towards illness and their patients, as well as related value conflicts during clinical work. It is of interest that in Keen’s (2007, p. 80) view situational empathy, “which responds primarily to aspects of plot and circumstance”, principally involves recognition by the reader of prior or current experience.

Such questions focus on the affective response to the young hero’s empathy and inner conflict by doctors and medical students and can lead to many correlations and actually a “re–living” of what Neoptolemus needs to cope with in a new context based on the experiences of contemporary readers. According to Irvine and Charon (2017, pp. 119–120) narrative ethics focuses on what has happened to a patient to lead to this situation and what alternative endings

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26 This may be explained by the focus on the new character, since in both the Aeschylean and Euripidean versions of the myth Odysseus was followed by Diomedes. Thus, the inclusion of the dramatic character of Neoptolemus in this play is another innovation of Sophocles to emphasize the theme of the dilemma of the young hero (Taplin 2015, p. 143).
to this story can be imagined. Learning to view a story from different perspectives and create alternative possible plots, immersing into the world of the other and participating in his emotional situation, and finally, thinking about the way he reacts to the suffering around him and about the choices he makes in a context familiar to our own experiences, is what actually empathy does in real life. This is the kind of involvement Aristotle referred to with the feelings of “pity and fear” leading to the “catharsis”.27

Having considered possible different perspectives, it is interesting to examine the choice of plot the tragic poet made. The previous expression of empathy turns into action with Neoptolemus’ reversal which is presented climactically (ll. 895–926). At first, he asks questions which indicate his despair and confusion. Then he refers to his internal pain and mental anguish due to the failing of his nature. This culminates at the agonizing question of what he should do (with particular emphasis on his “torment”) and finally at the revelation of the truth.

Sommer (2013, pp. 157–158) argues that “literary works or dramatic performances make use of empathy, either by representing empathic behavior or by creating scenarios that actively engage their audience’s capacity for empathy”. In Neoptolemus’ case both may be detected. Apart from the representation of empathy as Neoptolemus turned into a participant of Philoctetes’ pain before, by revealing his ethical struggle and the truth, he is also becoming the internal “observer” who gradually takes the protagonist’s (Philoctetes’) side. In the creation of scenes the triangular constellation of protagonist, antagonist, and observer (who is normally the reader or the spectator of a performance) has been considered an essential constituent of narrative empathy as the observer chooses a side (Sommer 2013, p. 157). Even though the focus of the play is mainly on the wound of Philoctetes and his physical illness, Sophocles draws attention to Neoptolemus’ inner torment and its resolution. His reversal is the effect of empathy whose implications and consequences are climactically presented (and shared by the readers).

In his discussion of the scenes of empathy in films Plantinga (1999, p. 239) remarks that “the pace of the narrative momentarily slows and the interior emotional experience of a favored character becomes the locus of attention”. The means by which this is achieved is a “prolonged concentration on the character’s face”. Even though the means by which audience attention is achieved in such scenes in films cannot apply to the tragic plays, given the conventions of Greek tragedy (a masked performance in an open theatre), the vivid and powerful way Neoptolemus expresses his internal conflict through his words draws the readers’ attention to it and may elicit strong empathetic emotions, similar to those described by Plantinga. The empirical study of Fernandez–Quintanilla (2020, p. 138) showed that “readers’ self–reported empathy with characters tends to happen when the stories allow access to the characters’ situation and mental states”, especially emotions.

Interestingly Plantinga (1999, p. 253) further argues that to “contextualize empathy, films often attempt to elicit an empathetic response after a protagonist has undergone some kind of trial or sacrifice”. Neoptolemus’ choice to reveal the truth to Philoctetes is neither easy nor effective. As he initially states that he is obliged to follow the public duty (ll. 925–26), Philoctetes reacts in a furious way and declines any suggestions for returning to Troy. At the end, despite Odysseus’ threats against him, Neoptolemus decides to take Philoctetes to his homeland, defying duty, and thus empathy has led to compassion, a motivation to actually help the one in need (ll. 1402–8).28 It is of interest that Duarte et al. (2020) in their recent pilot study in close reading used texts dealing with different aspects of care and illness, such as observation of illness from the outside, a description of illness as it is experienced, a situation of care, all of which are found in Philoctetes, in a sequence of events culminating in the choice for care. Nevertheless, Sophocles wrote the play in the specific cultural conditions of the fifth century B.C. and the Greek tragic convention is that the ending should follow the traditional myth. Thus Hercules appears as a deus ex machina and persuades Philoctetes to sail with Neoptolemus to Troy where he will find healing for his wound and be reintegrated into society. The conquest of Troy will be accomplished after the hero is cured and the divine plan will be fulfilled. Nevertheless, it should be taken into account that, even though the future seems bright for Philoctetes, lines 1440–41 imply a warning for both Neoptolemus and him.29

Hercules
And do remember this when you are laying low that land:
respect the province of the gods.

For Philoctetes the future is related to the promise of his physical cure but it also raises the question whether this will be followed by his “internal” cure as a member of the community after his reintegration into society and its norms, both

27 Catharsis in this context is closely related to “transformative catharsis”, as proposed by Highland (2005, pp. 160–162). For a recent review of the concept of catharsis see La Course Munteanu (2012, pp. 238–250).

28 As mentioned in the introduction, the concept of compassion which is followed in this article is differentiated from empathy and is based on the activation of prosocial motivation and behavior, thus on taking action to try to help.

29 Novillo–Corvalán (2014, pp. 128–144) presents the ending as optimistic focusing on the theme of the cure.
In his discussion of empathy and history Kohut (2012, p. 242) argues that “our own experiences enable us to empathize with the experiences of others, experiences different from our own but sufficiently related or comparable to enable us to imagine our way, to think our way, inside them”. I have suggested above that the view of historical empathy from this perspective offers many opportunities for the interpretation of Greek tragedy in ways which may promote empathy in medical contexts. By setting the focus on diachronic ethical issues, such as suffering, empathy, and related value conflicts, as well as the vulnerability and fickleness of human nature, the Greek tragic plays may lead to a deeper understanding and caring for the others.

This is of great importance in medical practice since a doctor who attends the patient’s performance and story needs to fulfill a demanding and multifaceted task, as Charon (2006, p. 4) rightly remarks. The doctor assumes simultaneously the roles of a listener, a reader of an illness “story” and a spectator of its performance and needs to produce complex meanings in order to understand his/her patient, show care and reach the right medical decisions accordingly. In this framework ancient Greek tragedy may contribute fruitfully to the corpus of the texts which are used for the training of medical students and physicians. This does not imply a lack of regard for other kinds of literature and artworks or an intention to compare Greek tragedy with the rest of the literary and narrative corpus. Instead, it proposes the enrichment of the corpus by adding to it these previously neglected plays and, thus, offering a wider perspective within the training of narrative medicine.

It has been proposed that the close reading of great literature develops the narrative competence necessary to understand moral complexity and ambiguity (Irvine and Charon 2017, p. 126). As Charon (2017a, p. 163) observes there is an “upsurge in interest in the emotional and moral consequences of reading” which is promising for the future. The analysis of pathography and empathy in Sophocles’ Philoctetes presented above, with the questioning of universal issues, offers an indicative sampling of the wide range of possibilities which the tragic plays open up towards this direction in contemporary medicine. This may function as a starting point for further reading of the Greek tragic plays by medical students and doctors, in the hope that this approach will offer them new insights in clinical practice and their understanding of human nature and their patients, in particular.

Conclusions

In his discussion of empathy and history Kohut (2012, p. 242) argues that “our own experiences enable us to empathize with the experiences of others, experiences different from our own but sufficiently related or comparable to enable us to imagine our way, to think our way, inside them”. I have suggested above that the view of historical empathy from this perspective offers many opportunities for the interpretation of Greek tragedy in ways which may promote empathy in medical contexts. By setting the focus on diachronic ethical issues, such as suffering, empathy, and related value conflicts, as well as the vulnerability and fickleness of human nature, the Greek tragic plays may lead to a deeper understanding and caring for the others.

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Acknowledgements I am greatly indebted to Emeritus Professor Ioannis Mouzas for his precious advice and thought-provoking comments on topics of narrative medicine and medical humanities with unfailing encouragement. I would also like to thank Associate Professor Ioannis Tsiaousis for his inspiring lectures and suggestions for further research in medical humanities and the journal’s anonymous reviewers for their insightful and constructive comments.

Author contributions VK is the sole author of this work.

Funding The author did not receive funds, grants or other support for the submitted work.

Data availability Non applicable.

Code availability Non applicable.

Declarations

Conflict of interest The author has no relevant financial or non-financial interests to disclose. The author has no conflict of interest to declare that are relevant to the content of this article.
Ethical approval Non applicable.

Research involving human participants Patients or the public were not involved in any part of my research.

Consent to participate Non applicable.

Consent for publication Non applicable.

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Publisher’s Note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.