Complex Multisystem Phenotype With Immunodeficiency Associated With NBAS Mutations: Reports of Three Patients and Review of the Literature

Anna Khoreva 1, Ekaterina Pomerantseva 2, Natalia Belova 3, Inna Povolotskaya 2,4, Fedor Konovalov 5, Vladimir Kaimonov 6, Alena Gavrina 3, Sergey Zimin 6, Dmitrii Pershin 1, Natalia Davydova 6, Vasilii Burlakov 1, Ekaterina Viktorova 1, Anna Roppelt 1, Ekaterina Kalinina 1, Galina Novichkova 1 and Anna Shcherbina 1*

1 Dmitry Rogachev National Research and Clinical Center for Pediatric Hematology, Oncology and Immunology, Moscow, Russia, 2 Genetics and Reproductive Medicine Center “GENETICO” Ltd., Moscow, Russia, 3 Center of Inborn Pathology, GMS Clinic, Moscow, Russia, 4 Veltischev Research and Clinical Institute of Pediatrics, Pirogov Russian National Research Medical University, Moscow, Russia, 5 Genomed Ltd., Moscow, Russia, 6 Speransky Children’s Hospital, Moscow, Russia

Objectives: Mutations in the neuroblastoma-amplified sequence (NBAS) gene were originally described in patients with skeletal dysplasia or isolated liver disease of variable severity. Subsequent publications reported a more complex phenotype. Among multisystemic clinical symptoms, we were particularly interested in the immunological consequences of the NBAS deficiency.

Methods: Clinical and laboratory data of 3 patients ages 13, 6, and 5 in whom bi-allelic NBAS mutations had been detected via next-generation sequencing were characterized. Literature review of 23 publications describing 74 patients was performed.

Results: We report three Russian patients with compound heterozygous mutations of the NBAS gene who had combined immunodeficiency characterized by hypogammaglobulinemia, low T-cells, and near-absent B-cells, along with liver disease, skeletal dysplasia, optic-nerve atrophy, and dysmorphic features. Analysis of the data of 74 previously reported patients who carried various NBAS mutations demonstrated that although the most severe form of liver disease seems to require disruption of the N-terminal or middle part of NBAS, mutations of variable localizations in the gene have been associated with some form of liver disease, as well as immunological disorders.

Conclusions: NBAS deficiency has a broad phenotype, and referral to an immunologist should be made in order to screen for immunodeficiency.

Keywords: SOPH syndrome, primary immunodeficiency, osteogenesis imperfecta, liver failure, optic atrophy
INTRODUCTION

Primary immunodeficiencies (PIDs) form a heterogeneous group of inherited disorders (1) that were previously considered to be very rare diseases. In recent years, the number of known PIDs has increased considerably through two lines of research: the genetic dissection of known clinical phenotypes and the discovery of new clinical phenotypes (2). PIDs are predominantly caused by mutations of the genes responsible for immune system development and various functions (1). Yet, in some PIDs, immunologic defects are part of a larger phenotype, with various multisystemic features, frequently including skeletal abnormalities. Since bone/joints defects often manifest early in life, sometimes at birth, awareness of the possible immunological and other concomitant symptoms is required to prevent life-threatening complications in these patients.

Skeletal dysplasias have been predominantly reported in association with humoral or combined immunodeficiencies (3), for instance with a large group of syndromic combined immunodeficiencies including cartilage-hair hypoplasia, Schimke immuno-osseous dysplasia, Rofman syndrome and the recently described skeletal dysplasia with neurological impairment, caused by mutations in the exostosin-like glycosyltransferase 3 (EXTL3) gene (1, 4–6). Despite the multifaceted immune manifestations of this group of disorders, skeletal defects, such as facial dysmorphism, metaphyseal, or spondyloepiphysial dysplasia with severe disproportionate short stature, short limbs and growth delay are usually the first symptoms that lead to specialists’ referral.

Skeletal findings such as osteopenia and minimal trauma fractures have been described in another combined immunodeficiency—hyper-IgE syndrome (in both autosomal-dominant and autosomal recessive forms). Patients with autosomal dominant signal transducer and activator of transcription 3 (STAT3) deficiency develop minimal trauma fractures, mostly affecting long bones and ribs; cystic changes of the bones; osteopenia, scoliosis, degenerative spine disease and craniosynostosis (7, 8). Recently, Stray-Pedersen et al. described patients with autosomal recessive hyper-IgE syndrome with phosphoglucomutase 3 (PGM3) deficiency who presented with severe skeletal dysplasia resembling Desbuquois dysplasia, striking skeletal abnormalities and T−B−NK−SCID phenotype (9).

Due to the increased use of next-generation sequencing (NGS) techniques, particularly whole-exome sequencing (WES), this list has recently been enriched by the syndrome that is caused by neuroblastoma amplified sequence (NBAS) gene mutations and described in patients who clinically present with severe osteogenesis imperfecta combined with immunodeficiencies and developmental delays [rev. in (10)].

NBAS, also known as the neuroblastoma amplified gene (NAG), is a large gene comprising 52 exons and is mapped to chromosome 2p24.3, which was originally identified as frequently co-amplified with N-myc in neuroblastomas (11, 12). NBAS is highly expressed in cells of the connective tissues, eye, brain, and hematopoietic cells and encodes a membrane protein, a component of the Syntaxin 18 complex, that is involved in Golgi-to-endoplasmic reticulum (ER) retrograde transport and the nonsense-mediated mRNA decay (NMD) pathway. NMD also regulates normal gene expression during a wide range of physiological processes, including cell differentiation, response to stress, etc., as it can also target non-mutant transcripts (13).

Mutations in NBAS were initially described by Maksimova et al. (14) as a cause of short stature, optic-nerve atrophy, and the Pelger-Huët anomaly of granulocytes (SOPH) syndrome in Yakuts (OMIM 614800). This syndrome is characterized by autosomal recessive inheritance, severe postnatal growth impairment, facial dysmorphisms with senile face, small hands and feet, and normal intelligence. Subsequent case reports have described immunological defects in some patients with SOPH syndrome (10, 15–22). Recent studies demonstrated SOPH syndrome to be only a part of a clinical spectrum ranging from isolated acute liver failure to a complicated phenotype that includes skeletal dysplasia, immunological abnormalities, and the involvement of other organs (10, 16).

Herein, we describe three Russian patients with compound heterozygous mutations of the NBAS gene and analyze the published data in an attempt to find genotype-phenotype correlations in patients who have variable disease phenotypes.

METHODS

Subjects

The studies involving human participants were reviewed and approved by the Independent Ethics Committee of Dmitriy Rogachev National Center for Pediatric Hematology, Oncology and Immunology (Moscow, Russia).

After informed consent peripheral blood samples were drawn from the patients and their parents. The parents consented to the publication of the photos of the patients.

Exome Sequencing

Genomic DNA was extracted from peripheral blood. The exomes of Patients 1 and 2 and those of their parents were captured from the genomic DNA using the Agilent SureSelect Human All Exon V7 kit (Agilent Technologies, Santa Clara, CA, USA) and were sequenced (paired-end, 2 × 101 bp) using the Illumina Novaseq 6000 (Illumina Inc., San Diego, CA, USA). In the case of Patient 3, the library was prepared from Proband’s genomic DNA using the TruSightOne V1.1 kit (Illumina Inc., San Diego, CA, USA) and then sequenced (paired-end, 2 × 151 bp) using the Illumina NextSeq 500 (Illumina Inc., San Diego, CA, USA).

Bioinformatic analysis of the WES data of Patients 1 and 2 and their parents was performed using a pipeline developed in-house, which included read mapping with bwa-mem, read trimming

Abbreviations: ALT, alanine aminotransferase; ALF, acute liver failure; AST, aspartate aminotransferase; ER, endoplasmic reticulum; IVIG, intravenous immunoglobulin; KREC, kappa-deleting recombination excision circles; NBAS, neuroblastoma-amplified sequence; NAG, neuroblastoma amplified gene; NMD, nonsense-mediated mRNA decay; PID, primary immunodeficiency; PTC, premature termination codons; RALF, recurrent acute liver failure; SOPH, short stature, optic nerve atrophy, and Pelger–Huët anomaly of granulocytes; TREC, T-cell receptor excision circle.
and adaptor removal using ngs-bits, local indel re-assembly usingabra2, single nucleotide variation (SNV) and short indel calling using Freebayes, and variant annotation using ENSEMBL-VEP. Copy number variation (CNV) calling was performed using EXCAVATOR2 software. Variant prioritization was based on variant frequency in the gnomAD, variant impact predictions, known clinical pathogenicity according to ClinVar and Human Gene Mutation Database (HGMD) and family segregation data according to the ACMG criteria.

For the clinical exome data of Patient 3, another in-house pipeline was used: Read alignment to the human reference genome hg19 was performed using BWA MEM, variant calling using Freebayes, and variant annotation using the Snpeff software suite. The population frequencies in the ExAC database were used to exclude common single nucleotide polymorphisms (SNP), with subsequent variant filtering based on variant type, pathogenicity predictions, evolutionary conservation scores, and ClinVar annotations according to the ACMG classification criteria.

**Sanger Direct Sequencing**

Polymerase chain reaction (PCR) Sanger sequencing was used for validation of genetic variants detected by exome sequencing. Sanger sequencing was conducted on the genomic DNA of the patients and their parents using specific primers which were designed using the online tool Primer Blast (primers sequences and PCR conditions are available upon request). Amplification products of appropriate size were identified using agarose gel electrophoresis. Products were purified with GeneJET PCR Purification Kit and then submitted to sequencing reaction using reverse primers with the ABI BigDye Terminator Cycle Sequencing Kit v. 1.1 on an ABI PRISM 3130XL Genetic Analyzer. Each read was aligned to NM_015909.3 reference sequence, and genetic variants were detected with UCSC Blat.

**Chromosomal Microarray**

To validate the NBAS deletion detected by exome sequencing, chromosomal microarray (CMA) analysis was conducted on the genomic DNA of Patient 1 using the CytoScanHD platform (Affymetrix). The results were interpreted using the Chromosome Analysis Suite (ChAS), and ENST00000281513.5 was used as the NBAS reference sequence.

**Immunological Assays**

The lymphocyte subsets were assessed using standard flow cytometry methods with the use of corresponding monoclonal antibodies (Becton Dickson, Franklin Lakes, NJ, USA) on the BD FACSCanto II (Becton Dickson). The levels of serum immunoglobulin were measured using the nephelometry technique on a BN ProSpec (Siemens, Berlin, Germany).

The T-cell receptor excision circle (TREC) and kappa-deleting recombination excision circles (KREC) levels were assayed in whole blood samples. Briefly, DNA was extracted from 100 µl EDTA anticoagulated whole blood by using Ribo-prep nucleic acid extraction kit (Amplisense, Russia).

The Real-time qPCR for TRECs and KRECs was performed by using T&B PCR kit (ABV-test, Russia) on CFX 96 Real-Time PCR System (Bio Rad, USA). Amplification of ALB was used to assess correct sampling and quality of DNA extraction and to determine of TRECs and KRECs levels. The number of copies of TRECs (KRECs) was calculated per 10⁵ white blood cells, taking into account the quantity of ALB using formula: [The number of copies TRECs (KRECs)/the number of copies ALB] × 200,000. The normal/cutoff levels of TRECs and KRECs of 1,000 copies/10⁵ cells were used.

**Literature Review**

We searched PubMed, EMBASE, and Scopus for studies mentioning NBAS defects and SOPH syndrome from their inception to August 25, 2019 and identified 23 eligible studies describing 74 patients (accounting for sample overlap).

**RESULTS**

**Clinical Phenotype**

Patient 1 (male) was born in 2006 to healthy Russian non-consanguineous parents with no relevant family history. Fetal growth delay and shortening of the upper and lower limbs were detected prenatally. The boy was delivered at term by Cesarean section and had a birth weight of 2,200 g and a length of 46 cm. He was immediately admitted to the newborn special care unit with multiple bone fractures that had occurred during delivery. The consolidation process was uneventful, but at the age of 8 months, the infant suffered a left tibia fracture as the result of a minor impact. Subsequently, he suffered multiple bone fractures and became wheelchair bound. Treatment with calcium and vitamin D did not reduce the number of fractures.

At the age of 18 months, the boy was diagnosed with bilateral partial optic nerve atrophy. Since the age of 7 months, the patient had also suffered from recurrent bronchitis and multiple episodes of pneumonia. At the age of 3 years, hypogammaglobulinemia (IgG 2.8 g/l, IgA 0.83 g/l, IgM 1.2 g/l) was noted and he was started on irregular intravenous immunoglobulin (IVIG) infusions, which somewhat reduced the number of infectious episodes.

Upon examination at our tertiary center at the age of 11 years, the boy had a disproportionally short stature, muscular hypotonia, and recognizable facial features, including a senile (progeroid) appearance, a brachycephalic skull, bilateral exophthalmos, a beaked nose, thin lips, and a pointed chin (Figures 1A,B,G). His height 105 cm (<3rd centile) and his height 105 cm (<3rd centile). His intellectual development was mildly delayed. A blood workup demonstrated a normal complete blood count (CBC) with a Pelger-Huët neutrophil anomaly (Table 1). Immunologically, the number of T lymphocytes and their subpopulations was normal, yet a significant reduction in B-cells (0.11 × 10⁹/L) was demonstrated, as were low levels of IgM, IgG, and IgA (0.172, 3.05, 0.246 g/l, respectively). A computed tomography (CT) scan of his lungs showed cylindrical bronchiectasis of the right lower lobe. His blood biochemistry was normal at the time, but later a febrile infectious episode was accompanied by a mild increase in the liver enzymes aspartate aminotransferase (AST) and alanine...
aminotransferase (ALT) of 102 U/L (0–44 U/L) and 265 U/L (0–37 U/L), respectively (Table 1).

At the age of 12 years, this patient presented with an incidental finding of high blood glucose (18.3 mmol/L), and further investigation confirmed the diagnosis of diabetes type 2.

Patient 2 is a sister of Patient 1, born at term in 2012, with a birth weight of 2,200 g and a length of 55 cm. She has had low muscle tone from birth and craniofacial and skeletal anomalies, but no fractures until 5 years of age. Since the age of 6 months, she has suffered from multiple infections, including pharyngitis, multiple pneumonias, and two episodes of infectious gastroenteritis. Laboratory evaluation at the age of 1 year revealed elevated levels of the liver enzymes AST [86.8 U/L (0–44 U/L)] and ALT [338 U/L (0–37 U/L)], yet infectious causes of hepatitis were excluded. At the age of 4 years, hypogammaglobulinemia (IgG 2.7 g/L, IgA 0.26 g/L, and IgM 0.5 g/L) was found and she was also started on immunoglobulin replacement therapy (Table 2).

Upon examination at our tertiary center at the age of 5 years, she had facial dysmorphic features similar to those of her brother, a disproportionately short stature (93 cm, −3.5 SD), partial atrophy of the optic nerve and cognitive difficulties (Figures 1C,D,H). Her CBC and immunological workup were very similar to those of her brother (Table 2), and she was noted to have elevated liver enzymes [AST of 343 U/L, ALT of 250 U/L, and lactate dehydrogenase (LDH) of 483 U/L]. An abdominal
### TABLE 1 | Clinical and laboratory findings in patients with NBAS deficiency described in the current study. Patients 1 and 2 are siblings.

|                         | Patient 1 | Patient 2 | Patient 3 |
|-------------------------|-----------|-----------|-----------|
| **Age of patient**      | 13 years  | 5 years   | 7 years   |
| **Mutation/amino acid change** | c.5741G>A, p.Arg1914His; ex. 35-47 del. | c.5741G>A, p.Arg1914His; ex. 35-47 del. | c.5741G>A, p.Arg1914His; c.1628_1629insA, p.Ser544fs |
| **Age at onset of disease (month)** | Birth     | 6 months  | Birth     |
| **Acute liver disease episodes with elevated transaminases (during each febrile episode)** | Once      | Recurring | Recurring |
| **Recurrent acute liver failure (fever-related RALF)** | –         | –         | +         |
| **Recurrent bacterial/viral infections** | Recurrent bronchitis, several episodes of pneumonia | Pharyngitis, multiple pneumonias and two episodes of infectious gastroenteritis | Multiple pneumonias, pyelonephritis |
| **Optic nerve atrophy** | Partial   | Partial   | Partial   |
| **Other symptoms**      | Intellectual delay, diabetes mellitus type 2 | Intellectual delay | Pachygyria, epilepsy, feeding difficulty, severe developmental deficiency |
| **Skeletal findings**   |           |           |           |
| Osteogenesis imperfecta, bone fractures | + (Multiple bone fractures) | + (Forearm, femur fractures) | + (Multiple bone fractures) |
| Bone anomalies (short stature, facial dysmorphism) | + | + | + |
| **Hematological findings** |           |           |           |
| Pelger-Huët anomaly of granulocytes | + | + | + |
| Immune thrombocytopenia | –         | –         | +         |

(+) presence of the symptom; (−) absence of the symptom.

Ultrasound revealed diffuse liver parenchymal heterogeneity. A needle liver biopsy showed minimal changes, with no signs of fibrosis. In terms of the skeletal phenotype, the patient had experienced forearm and femur fractures at 5 and 6 years of age, respectively.

Whole-exome quartet sequencing of the DNA samples from Patients 1 and 2 and their parents revealed two genetic variants in *NBAS* gene. Both patients carried a previously reported c.5741G>A (p.Arg1914His) “Yakut” mutation located in exon 45 in hemizygous state inherited from their mother and a large novel 120 kbp deletion of the exons 35–47, inherited from their father (Supplementary Figure 1). The deletion was confirmed using CMA and its molecular karyotype was established: arr[hg19] 2p24.3(15370717_15492334)x1 (Supplementary Figure 2).

The patients were treated with regular IVIG infusions at doses of 0.6 g/kg/month, with trough IgG levels reaching target levels of >6 g/l. The treatment was effective in both siblings, significantly reducing the number of infections. The patients were also referred to the Center for Inborn Pathology (GMS Clinic) for further treatment of osteoporosis. In Patient 1 X-rays found very gracile bones with extremely thinned femur diaphysis, severe osteoporosis, bowing deformities, and non-union of the right radius and left femur (Figure 1J). A dual energy X-ray absorptiometry (DEXA) scan revealed severe osteoporosis with a z-score of L1–L4 −3.8. On X-ray of Patient 2 osteoporosis without axial deformities was found (Figure 1K), while DEXA scan revealed severe decrease of lumbar bone density with total L1–L4 Z-score −3.4. Treatment included bisphosphonates (pamidronate 1 mg/kg every 4 months), physical therapy, and orthopedic recommendations.

**Patient 3** (male) is a second child of healthy unrelated Russian parents without relevant family history. He was born in 2011 with a birth length of 50 cm and a weight of 2,780 g. After birth, the infant was treated in the neonatal intensive care unit for pneumonia and multiple bone fractures (both clavicles, a femur, and the 5–7th ribs). A diagnosis of osteogenesis imperfecta was suspected.

At the age of 3 months, he was diagnosed with immune thrombocytopenia and successfully treated with steroids. Since the age of 4 months, he has had multiple episodes of pneumonia and pyelonephritis. Since 11 months, varying degrees of liver enzyme elevation have been noted (AST of 33–400 U/L, ALT of 43–450 U/L).

At the age of 3 years, he developed *Pseudomonas aeruginosa* sepsis accompanied by febrile liver crises, vomiting, seizures, and asphyxia, requiring admission to a pediatric intensive care unit for mechanical ventilation and subsequent severe brain damage.

Examination at our center at the age of 5 years revealed severe generalized developmental delay, typical dysmorphic features (macrocephaly, low-set ears, sparse teeth, prominent forehead),
TABLE 2 | Immunological findings in patients with NBAS deficiency described in the current study.

| Parameter | Patient 1 | Patient 2 | Patient 3 | Reference range |
|-----------|-----------|-----------|-----------|----------------|
| **Lymphocyte subset analysis** | | | | |
| Lymphocyte count, × 10^9/L | 5.38 | 2.71 | 1.17 | 2.3–5.4 |
| **T cells** | | | | |
| CD3+, × 10^9/L | 5.2 | 2.43 | 1.05 | 1.4–3.7 |
| CD3+CD4+, × 10^9/L | 0.9 | 1.43 | 0.17 | 0.7–2.2 |
| CD3+CD8+, × 10^9/L | 4.18 | 0.61 | 0.87 | 0.49–1.3 |
| CD4+CD45RA+ naïve T cells | 0.56 | 1.04 | 0.05 | 0.43–1.50 |
| CD4+CD45RO+ memory T cells | 0.38 | 0.25 | 0.05 | 0.22–0.66 |
| CD8+CD45RA+ naïve T cells | 2.23 | 0.44 | 0.02 | 0.38–1.10 |
| CD8+CD45RO+ memory T cells | 1.45 | 0.06 | 0.001 | 0.09–0.44 |
| **B cells** | | | | |
| CD19+, × 10^9/L | 0.11 | 0.24 | 0.07 | 0.4–1.7 |
| CD19+CD27-IgD+ naïve, % | 1.58 | 6.5 | 23.6 | 54–88 |
| CD19+CD27-IgD+ naïve, × 10^9/L | 0.08 | 0.17 | 0.01 | 0.28–1.33 |
| CD19+CD27-IgD+ pre-switch memory B cells, % | 0.32 | 0.43 | 37.2 | 2.7–19.8 |
| CD19+CD27-IgD+ pre-switch memory B cells, × 10^9/L | 0.017 | 0.012 | 0.02 | 0.02–0.18 |
| CD19+CD27-IgD- post-switch memory B cells, % | 0.13 | 0.87 | 12.16 | 4.7–21.2 |
| CD19+CD27-IgD- post-switch memory B cells, × 10^9/L | 0.007 | 0.02 | 0.009 | 0.04–0.14 |
| **NK cells** | | | | |
| CD3–CD16+CD56+, × 10^9/L | 0.16 | 0.04 | 0.05 | 0.13–0.72 |
| **TREC/KREC** | | | | |
| TREC, copy numbers per 10^9 leukocytes | 910 | 3,500 | 44 | 470–4,100 |
| KREC, copy numbers per 10^5 leukocytes | 120 | 190 | 1 | 780–7,700 |
| **Serum immunoglobulins** | | | | |
| IgG (g/L) | 2.8 | 2.7 | 1.6 | 6.8–15.4 |
| IgM (g/L) | 1.2 | 0.5 | 0.13 | 0.8–1.6 |
| IgA (g/L) | 0.83 | 0.26 | 0.05 | 0.3–1.5 |

Reference range values (24, 25).
*Igg serum concentration before IVIG substitution.

contractions of the elbow, wrist, and knee joints, marked muscular hypotonia, partial atrophy of the optic nerve, and hepatomegaly (Figures 1E,F,I). His poor feeding due to the brain damage/developmental impairment necessitated placement of a gastrostomy tube. Laboratory tests showed elevated levels of AST (397 U/L), ALT (457 IU/L), and serum ferritin (1,183 ng/ml, normal range: 20–120) and hyperammonemia (116 µmol/L). Immunological examination revealed significantly reduced levels of serum immunoglobulins (IgG 1.6 g/L, IgA 0.05 g/L, IgM 0.13 g/L). Immunophenotyping identified a reduced number of T-cells (in contrast to Patients 1 and 2) and a low number of B-cells (Table 2).

Clinical exome sequencing revealed two heterozygous variants in the NBAS gene: c.5741G>A, (p.Arg1914His), and c.1628_1629insA (p.Ser544fs).

Treatment with IVIG infusions at a dose of 0.4 g/kg/month and prophylactic co-trimoxazole was started at the age of 5 years, which decreased the frequency of invasive bacterial infections. However, the patient is severely disabled due to the encephalopathy.

**Literature Review**

In all, we identified reports of 77 patients with NBAS deficiency (including the 3 patients described above), who carried 52 different mutations in the homozygous or compound heterozygous state. These included 34 Yakut patients from the original report of Maksimova et al., who were homozygous for the c.5741 G>A mutation. This mutation in a compound-heterozygous state has been reported in 7 additional patients (17, 19, 21, 26). Other mutations included missense, nonsense, and splice-site variants that affected most exons and some exon-intronic junctions. Two large deletions involving exons 39–40 and 49–50 have been also reported (Figure 2).

The mean age of the patients was 9.9 ± 7.3 years, the age on disease onset was 40 months ± 1.1 year. Details of clinical presentations and immunological phenotypes are summarized (Supplementary Table 1).

Various degrees of liver disease have been described in 39 patients, recurrent acute liver failure (RALF) being the most frequent and severe. This frequently occurs in early childhood and leads to significant morbidity and
FIGURE 2 | Schematic representation of the NBAS gene/protein with mutations of patients in literature and their main symptoms. (Top) A schematic representation of the NBAS protein, showing the two predicted functional domains: neuroblastoma-amplified sequence, N-terminal (NBAS_N) and secretory pathway protein Sec39 (Sec39). (Bottom) The NBAS gene structure, with exons (numbered 1–52) indicated by boxes and introns by a straight blue line. Positions of mutations are displayed below the gene diagram. Mutations of patients in the current study are highlighted red, mutations reported to date are shown in black, and parentheses include the numbers of cases described. Colored circles above the gene scheme refer to patients’ clinical features and correspond to the mutations below the gene diagram, with circles colored as follows: black for skeletal anomalies, including facial dysmorphism, short stature, skeletal dysplasia; gray for bone fractures; yellow for acute liver failure or acute liver disease triggered by fever; blue for involvement of other systems, including Pelger-Huët anomaly and optic nerve atrophy; red for immunodeficiency. Partially filled circles indicate that the clinical features have been detected in only some of the individuals with the particular mutation. del, deletion; dup, duplication; ins, insertion.

mortality. Although some degree of liver disease has been associated with most NBAS variants, the mutations associated with severe liver phenotype were either loss-of-function or missense mutations predominantly located in the N-terminal and in the middle part of the NBAS gene (c.409C>T identified in 5 patients: c.680A>C;1749G>A, c.809G>C;2926del, c.1018G>C;2674G>T, c.2819A>C, and c.2819A>C) (15, 18, 27–31).

The next most frequent feature of NBAS deficiency was bone anomalies, which were reported in more than 90% of the patients, including severe osteogenesis imperfecta in 8 patients. Immunological abnormalities leading to recurrent ear and upper and lower respiratory-tract infections were reported in 15 patients (10, 15–22, current study), although this number could be larger, since some studies did not describe patients’ infectious and immunological status in detail. Immunological data in available patients demonstrated an impaired humoral immunity with lack of response to vaccinal antigens, hypogammaglobulinemia, low B cells and KRECs (10, 15–17, 20–22). T-cell deficiency with low CD3+CD8+ lymphocytes and severe infections was described in two patients previously (10, 18) and in one of the patients in the current study. Progressive reduction in NK cells numbers has been described by Garcia Segarra et al. (15) and then by Ricci et al. (10). As Figure 2 demonstrates, the patients who had immunodeficiency and bone disease had mutations distributed along the gene, with no particular domain predominance (10, 14–22, 27–35, current study).

DISCUSSION

The complexity and high variability of the disease phenotype, observed even within the same family, in which one of the siblings has more-advanced bone involvement (a clinical presentation of severe osteogenesis imperfecta, requiring bisphosphonates treatment) and his sister has milder skeletal abnormalities but more severe liver disease and vision damage. The case of the third, unrelated patient also provides evidence of the devastating consequences of the disease.

The variability of the symptoms might be explained by the putative functional aspects of the NBAS protein. Although its exact role in the cells has not been delineated, NBAS is known to be a subunit of the Syntaxin 18 complex, a soluble NSF-attachment protein (SNAP) receptor localized
in the ER and involved in retrograde transport from the Golgi complex to the ER in human cells (36). Impairment of this transport has been demonstrated in NBAS-mutated cell lines, resulting in ER stress (37). This might explain liver disease in the setting of the high-energy catabolic state during a febrile episode. Liver disease in many of the patients described was characterized by recurrent elevated transaminases, hypertriglyceridemia, hyperbilirubinemia, and elevated ferritin. The most severe form of liver disease, RALF, seemed to require disruption of the N-terminal or middle part of the NBAS, where most of the functional domains are located. Yet, our literature analysis showed that both N-terminal and C-terminal mutations have been associated with some form of liver disease. Even though patients with homozygous “Yakut” c.5741G>A mutation do not demonstrate liver disease, other patients with this mutation in the compound heterozygous state, including patients from the current study, showed signs of liver disease. Syntaxin 18 forms a complex with multiple proteins, including p31 and ZW10-RINT-1 (38). Aoki et al. demonstrated that the N-terminal part of NBAS is required for p31 binding, whereas ZW10-RINT-1 binds the NBAS C-terminal part. Thus, NBAS serves as a link between p31 and ZW10-RINT-1, and both its N-terminal and C-terminal domains are required for this. Therefore, it is expected that mutations of the NBAS N-terminus, as well as C-terminus affect its function and might cause liver disease in patients.

Another essential function of the NBAS protein is participation in the NMD pathway in human cells. NMD is thought to prevent the translation of potentially disease-causing truncated proteins via rapid mRNA degradation when premature termination codons (PTC) are formed (39). Therefore, NMD plays an important role in modulating the phenotypic outcome of genetic disorders that are caused by mutations leading to PTC. However, it has become evident in recent years that NMD is also important for physiological control of the expression of naturally occurring transcripts in developing cells and in cells responding to various stimuli (40). Analysis of the RNA sequencing data in cells in which NMD is disrupted has shown that it has a widespread effect on gene expression and has led to the identification of multiple putative NMD target mRNAs (41). A large number of targets were shown to be downregulated in response to NBAS depletion. Among them were several genes that play a role in bone development and cholesterol biosynthesis. Defects in these processes can explain bone defects in patients with NBAS deficiency. For instance, depletion of NBAS led to a significant upregulation of matrix G1a protein (MGP), which regulates bone formation (42). The NMD response has been shown to be variable among different cell types (43) and even among individuals (44), which might explain significant symptom variability in NBAS deficiency. In our analysis, the reported cases showed no correlation of the mutation types and localization with particular symptoms or disease severity, leading to the conclusion that epigenetic rather than genetic mechanisms are at play here.

Among the multisystemic clinical symptoms previously described in patients with NBAS deficiency, we were particularly interested in the immunological consequences of the defect. NBAS was originally shown to be expressed in ganglion cells, squamous epidermal cells, and in leukocytes, suggesting the crucial functions of these cell types (14). The first association of the NBAS gene with immunodeficiency was established by Garcia Segarra et al. in two patients who had NBAS mutations and suffered from recurrent viral and bacterial infections, including pneumonia and middle ear infections, and who were reported to have hypogammaglobulinemia, reduced NK cells, and abnormal antibody production in response to vaccinations. Our patients’ immunological workups demonstrated normal or low numbers of T-cells, the near-absence of B-cells, and markedly reduced serum levels of IgA, IgG, and IgM. The decrease in KRECs observed in our patients emphasizes the defect in B-cell production. The recurrent sinopulmonary infections experienced by our patient and others in the literature are consistent with a defect in humoral immunity. However, a susceptibility to viral infections, most commonly herpes simplex, varicella-zoster, and Epstein-Barr, has also been observed (15, 19). During their development, lymphocytes undergo V(D)J genomic rearrangements to assemble immunoglobulins and B- and T-cell receptor genes. Two-thirds of these rearrangements yield unproductive gene products harboring PTCs, whose clearance requires NMD (45). Therefore, NMD dysfunction might explain a lack of B-cells and sometimes T-cells which likely leads to hypogammaglobulinemia in patients with NBAS mutations. In addition, this could also explain the lack of specific correlation of phenotype with the location of a defect within the gene. However, another explanation for the patients’ viral susceptibility may lie in the fact that NMD has been shown to also serve as a barrier to viral replication, suppressing expression of viral proteins and limiting viral titer in host cells (46).

**CONCLUSION**

According to multisystem phenotype, NBAS-deficient patients are followed by various specialists, it is important that these patients are referred to immunologists early to be screened for immunodeficiencies. We suspect that the frequency of immunological defects in NBAS deficiency has been underestimated and may be higher than it appears from the literature. There is no standardized treatment for NBAS-deficient patients. Therefore, a multidisciplinary approach is crucial to diagnosing and managing them. Severe osteoporosis with bone deformities may be one of the dominant features and requires treatment using bisphosphonates. Developmental delays and serious vision damage significantly affect the quality of life of these patients. In addition, immunodeficiency seems to be an under-recognized feature of NBAS deficiency and requires further investigation. All patients with hypogammaglobulinemia should receive regular infusions of immunoglobulins to reduce the frequency of sinopulmonary infections.

Recent research has aimed to develop therapeutic modalities for NMD. There is experimental evidence that therapeutic decrease in the NMD response might benefit those with some genetic disorders and certain types of tumors (47–49). Studying NBAS-deficient patients may provide a better...
understanding of the possible side effects of such treatment. In addition, information regarding the NMD modulation options can be used to develop drugs that increase the NMD response, which might be therapeutic in NBAS deficiency.

DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

ETHICS STATEMENT

The studies involving human participants were reviewed and approved by the Independent Ethics Committee of Dmitriy Rogachev National Center for Pediatric Hematology, Oncology and Immunology (Moscow, Russia). After informed consent peripheral blood samples were drawn from the patients and their parents. The parents consented to the publication of the photos of the patients.

AUTHOR CONTRIBUTIONS

AS drafted the initial manuscript, reviewed and revised the manuscript for important intellectual content, and gave final approval of the version to be submitted. GN provided critical feedback and helped to shape the analysis and manuscript. AK and NB drafted the initial manuscript, collected the data, reviewed, and revised the manuscript. EP, IP, FK, and VK supervised the genetic tests and took part in drafting the article. DP, ND, and EK supervised the immunological assays and reviewed the manuscript. AG, SZ, VB, EV, and AR collected data, participate in drafting the article, and reviewed the manuscript. All authors contributed equally to this work, approved the final manuscript as submitted, and agree to be accountable for all aspects of the work.

ACKNOWLEDGMENTS

We are grateful to the patients and their families.

SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fped.2020.00577/full#supplementary-material

REFERENCES

1. Bousfiha A, Jeddane L, Picard C, Aïal F, Gaspar BH, Al-Herz W, et al. The 2017 IUIS phenotypic classification for primary immunodeficiencies. J Clin Immunol. (2018) 38:129–43. doi: 10.1007/s10875-017-0465-8
2. Bousfiha A, Jeddane L, Aïal F, Benhsaien I, Mahlouj N, Casanova JL, et al. Primary immunodeficiency diseases worldwide: more common than generally thought. J Clin Immunol. (2013) 33:1–7. doi: 10.1007/s10875-012-9751-7
3. Sullivan K, Stiehm ER. Stiehm’s Immune Deficiencies: Inborn Errors of Immunity. San Diego, CA: Elsevier (2020). p. 62.
4. Baradaran-Heravi A, Thiel C, Rauch A, Zenker M, Boerkoel CF, Kaitila I. Clinical and genetic distinction of Schimke immuno-osseous dysplasia and cartilage-hair hypoplasia. Am J Med Genet A. (2008) 146A:2013–7. doi: 10.1002/ajmg.a.32406
5. Guo L, Eckelhau NH, Mizumoto S, Wang Z, Noyan B, Albayrak HM, et al. Identification of biallelic EXT3 mutations in a novel type of spondylo-epi-metaphyseal dysplasia. J Hum Genet. (2017) 62:797–801. doi: 10.1038/jhg.2017.38
6. Volpi S, Yamazaki Y, Brauer PM, van Rooijen E, Hayashida A, Slavotinek A, et al. EXT3 mutations cause skeletal dysplasia, immune deficiency, and developmental delay. J Exp Med. (2017) 214:623–37. doi: 10.1084/jem.20161525
7. Bergerson JRE, Freeman AF. An update on syndromes with a hyper IgE phenotype. Immunol Allergy Clin N Am. (2019) 39:49–61. doi: 10.1016/j.iac.2018.08.007
8. Sowerwine KJ, Shaw PA, Gu W, Ling JC, Collins MT, Darnell DN, et al. Bone density and fractures in autosomal dominant hyper IgE syndrome. J Clin Immunol. (2014) 34:260–4. doi: 10.1007/s10875-013-9982-2
9. Stray-Pedersen A, Becke PH, Sorte HS, Mørkrid L, Chokshi NY, Erichen HC, et al. PGM3 mutations cause a congenital disorder of glycosylation with severe immunodeficiency and skeletal dysplasia. Am J Hum Genet. (2014) 95:96–107. doi: 10.1016/j.ajhg.2014.05.007
10. Ricci S, Lodi L, Serranti D, Moroni M, Belli G, Mancano G, et al. Immunological features of neuroblastoma amplified sequence deficiency: report of the first case identified through newborn screening for primary immunodeficiency and review of the literature. Front Immunol. (2019) 10:1955. doi: 10.3389/fimmu.2019.01955
11. Wimmer K, Zhu XX, Lamb BJ, Kuick R, Ambros PF, Kovar H, et al. Co-amplification of a novel gene, NAG, with the N- myc gene in neuroblastoma. Oncogene. (1999) 18:233–8. doi: 10.1038/sj.onc.1202287
12. Scott DK, Board JR, Lu X, Pearson AD, Kenyon RM, Lunej J. The neuroblastoma amplified gene, NAG: genomic structure and characterisation of the 7.3 kb transcript predominantly expressed in neuroblastoma. Gene. (2003) 307:1–11. doi: 10.1016/S0378-1119(03)00549-1
13. Nickless A, Bailis JM, You Z. Control of gene expression through the nonsense-mediated RNA decay pathway. Cell Biol. (2017) 7:26. doi: 10.1186/s13578-017-0153-7
14. Maksimova N, Hara K, Nikolaeva I, Chun-Feng T, Usui T, Takagi M, et al. Neuroblastoma amplified sequence gene is associated with a novel short stature syndrome characterised by optic nerve atrophy and Pelger-Hüet anomaly. J Med Genet. (2010) 47:538–48. doi: 10.1136/jmedgen.2009.074815
15. Segarra NG, Ballhausen D, Crawford H, Perreau M, Campos-Xavier B, van Spaendonck-Zwarts K, et al. NBAS mutations cause a multisystem disorder involving bone, connective tissue, liver, immune system, and retina. Am J Med Genet A. (2015) 167a:2902–12. doi: 10.1002/ajmg.a.37338
16. Staufner C, Haack TB, Köpke MG, Straub BK, Kölker S, Thiel C, et al. Acute liver failure meets SOPH syndrome: a case report on an intermediate phenotype. Pediatrics. (2017) 139:1. doi: 10.1542/peds.2016-0550

Frontiers in Pediatrics | www.frontiersin.org 9 September 2020 | Volume 8 | Article 577
18. Regateiro FS, Belkaya S, Neves N, Ferreira S, Silvestre P, Lemos S, et al. Recurrent elevated liver transaminases and acute liver failure in two siblings with novel bi-allelic mutations of NBAS. *Eur J Med Genet*. (2017) 60:426–32. doi: 10.1016/j.ejmg.2017.05.005

19. Balasubramanian M, Hurst J, Brown S, Bishop NJ, Arundel P, DeVile C, et al. Compound heterozygous variants in NBAS as a cause of atypical osteogenesis imperfecta. *Bone*. (2017) 94:65–74. doi: 10.1016/j.bone.2016.10.023

20. Li X, Cheng Q, Li N, Chang G, Ding Y, Li J, et al. SOPH syndrome with growth hormone deficiency, normal bone age, and novel compound heterozygous mutations in NBAS. *Fetal Pediatr Pathol*. (2018) 37:404–10. doi: 10.1080/15513815.2018

21. Fischer-Zirnsak B, Koenig R, Alisch F, Günes N, Hausser I, Saha N, et al. NBAS mutations cause acute liver failure: when acetaminophen is not a culprit. *J Hepatol*. (2019) MA: doi: 10.1016/j.ajhg.2015.05.009

22. Park JW, Lee SJ. Foveal hypoplasia in short stature with optic atrophy. *Front Pediatr*. (2020) September 2020 | Volume 8 | Article 577

23. Piatosa B, Wolska-Kusnierz B, Pac M, Siewiera K, Gałkowska E, Bernatowska E. B cell subsets in healthy children: Reference values for evaluation of B cell phenotype and genotype-phenotype correlations. *Hum Mutat*. (2019) 40:721–8. doi: 10.1002/humu.23734

24. D’Aurizio R, Pippucci T, Tattini L, Giusti B, Pellegrini M, Magi A. Enhanced osteopetrosis and developmental delay by common childhood infections. In: *Developmental delay, dysmorphic features, neonatal spontaneous fractures, wrinkled skin, and hepatic failure: a new metabolic syndrome? Am J Med Genet A*. (2008) 146A:3198–201. doi: 10.1002/ajmg.a.32579

25. Aoki T, Ichimura S, Itoh A, Kuramoto M, Shinkawa T, Isobe T, et al. Identification of the neuroblastoma-amplified gene product as a component of the syntaxin 18 complex implicated in Golgi-to-endoplasmic reticulum retrograde transport. *Mol Biol Cell*. (2009) 20:2639–49. doi: 10.1091/mbc.e08-11-1104

26. Fischer-Zirnsak B, Koenig R, Alisch F, Günes N, Hausser I, Saha N, et al. NBAS mutations cause acute liver failure with onset in infancy. *Am J Hum Genet*. (2015) 97:163–9. doi: 10.1016/j.ajhg.2015.05.009

27. Hirose H, Arasaki K, Dohmae N, Takio K, Hatusawa K, Nagahama M, et al. Implication of 2Z10 in membrane trafficking between the endoplasmic reticulum and Golgi. *EMBO J*. (2004) 23:1267–78. doi: 10.1038/sj.emboj.7600135

28. Shearer WT, Rosenblatt HM, Gelman RS, Oyomopito R, Plaeger S, Stern M. Compound heterozygous mutations in NBAS cause recurrent acute liver failure in children. *EMBO J*. (2003) 22:3960–70. doi: 10.1093/emboj/cdg371

29. Palacios IM. Nonsense-mediated mRNA decay: from mechanistic insights to impacts on human health. *Brief Funct Genomics*. (2013) 12:235–36. doi: 10.1093/bfgp/elq051

30. Yepiskoposyan H, Aeschimann F, Nilsson D, Okoniewski M, Müller-Mellermann O. Autoregulation of the nonsense-mediated mRNA decay pathway in human cells. *RNA*. (2011) 17:2108–18. doi: 10.1261/rna.300247.111

31. Longman D, Hug N, Keith M, Anastasaki C, Patton EE, Grimes G, et al. DHX34 and NBAS form part of an autoregulatory NMD circuit that regulates endogenous RNA targets in human cells, zebrafish and Caenorhabditis elegans. *Nucl Acids Res*. (2013) 41:e319–31. doi: 10.1093/nar/gkt585

32. Lykke-Andersen S, Jensen TH. Nonsense-mediated mRNA decay: an intricate machinery that shapes transcriptomes. *Nat Rev Mol Cell Biol*. (2015) 16:665–77. doi: 10.1038/nrm4063

33. Nguyen LS, Wilkinson MF, Geac J. Nonsense-mediated mRNA decay: intermediate variability and human disease. *Neurosci Biobehav Rev*. (2014) 46(1 Pt 2):175–86. doi: 10.1016/j.neubiorev.2013.10.016

34. Gudikote JP, Wilkinson MF. T-cell receptor sequences that elicit strong downregulation of premature termination codon-bearing transcripts. *EMBO J*. (2002) 21:125–34. doi: 10.1093/emboj/cdf1125

35. Hug N, Longman D, Cáceres JF. Mechanism and regulation of the nonsense-mediated decay pathway. *Nucleic Acids Res*. (2016) 44:1483–95. doi: 10.1093/nar/gkw1010

36. Holbrook JA, Neu-Yilik G, Hentze MW, Kulozik AE. Nonsense-mediated decay approaches the clinic. *Nat Genet*. (2004) 36:801–8. doi: 10.1038/ng1403

37. Martín L, Grigoryan A, Wang D, Wang J, Breda L, Rivella S, et al. Identification and characterization of small molecules that inhibit nonsense-mediated RNA decay and suppress nonsense p53 mutations. *Cancer Res*. (2014) 74:3104–13. doi: 10.1158/0008-5472.CAN-13-2235

38. Nomakuchi TT, Rigo F, Aznarez I, Krainer AR. Antisense oligonucleotide directed inhibition of nonsense-mediated mRNA decay. *Nat Biotechnol*. (2016) 34:164–6. doi: 10.1038/nbt.3427

Conflict of Interest: EP, JP, and VK were employed by the company Genetics and Reproductive Medicine Center “GENETICO” Ltd., Moscow. FK was employed by the company Genomed Ltd., Moscow, Russia. The remaining authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

Copyright © 2020 Khoreva, Pomerantseva, Belova, Povolotskaya, Konovalov, Reproductive Medicine Center “GENETICO” Ltd., Moscow. This article is distributed under the terms of the Creative Commons Attribution License (CC BY). The use, distribution or reproduction in other forums is permitted, provided the original author(s) and the copyright owner(s) are credited and that the original publication in this journal is cited, in accordance with accepted academic practice. No use, distribution or reproduction is permitted which does not comply with these terms.