Towards Unsupervised Whole-Object Segmentation: Combining Automated Matting with Boundary Detection

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Abstract

We propose a novel step toward the unsupervised segmentation of whole objects by combining “hints” of partial scene segmentation offered by multiple soft, binary mattes. These mattes are implied by a set of hypothesized object boundary fragments in the scene. Rather than trying to find or define a single “best” segmentation, we generate multiple segmentations of an image. This reflects contemporary methods for unsupervised object discovery from groups of images, and it allows us to define intuitive evaluation metrics for our sets of segmentations based on the accurate and parsimonious delineation of scene objects. Our proposed approach builds on recent advances in spectral clustering, image matting, and boundary detection. It is demonstrated qualitatively and quantitatively on a dataset of scenes and is suitable for current work in unsupervised object discovery without top-down knowledge.

1. Introduction

It is well known that general scene segmentation is an ill-posed problem whose “correct” solution is largely dependent on application, if not completely subjective. Objective evaluation of segmentations is itself the subject of significant research (see [31] for a recent review). Here we consider instead the more specific problem of whole object segmentation; i.e., our goal is to accurately and concisely segment the foreground objects or “things” without necessarily worrying about the background or “stuff” [1] — without the use of top-down object knowledge. As we will explain, we use hypothesized boundary fragments to suggest partial segmentation “hints” to achieve this goal. Once the objects of interest are defined (which admittedly could itself involve some subjectivity), it becomes somewhat easier to define natural and intuitive measures of segmentation quality on a per-object basis.

Furthermore, segmentation results are intimately tied to the selection of the parameter controlling the granularity of the segmentation (e.g., the number of segments/clusters, or a bandwidth for kernel-based methods). Instead of seeking a single perfect segmentation, the integration of multiple segmentations obtained over a range of parameter settings has become common [9, 12, 17, 21, 29]. In such approaches, segmentation is treated as a mid-level processing step rather than an end goal. This reduces the pressure to obtain — or even define — the one “best” result, while also side-stepping the problem of parameter selection.

We are motivated by approaches such as [21], in which Russell et al. showed that it is possible to discover objects in an unsupervised fashion from a collection of images by relying on multiple segmentations. Systems using segmentation in this way, effectively as a proposal mechanism, should benefit from an underlying segmentation method which accurately and frequently delineates whole objects. Thus we will present a novel segmentation strategy designed to outperform existing methods in terms of two interrelated and intuitive measures of object segmentation qual-

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ity. First, we take into account accuracy in terms of pixel-wise, per-object agreement between segments. Second, we also consider conciseness in terms of the number of segments needed to capture an object.

Following the classical Gestalt view as well as Marr’s theories, we recognize that a key cue in differentiating objects from each other and their background lies in the discovery of their boundaries. Therefore we use hypothesized fragments of boundaries as input for our segmentations. Certainly there exists substantial prior work in directly exploiting boundary reasoning for object/scene segmentation and figure-ground determination, e.g. [18] and references therein. Recently, however, Stein et al. [26] have argued for the importance of detecting physical boundaries due to object pose and 3D shape, rather than relying on more typical, purely appearance-based edges, which may arise due to other phenomena such as lighting or surface markings. They have demonstrated improved boundary detection using cues derived from a combination of appearance and motion, where the latter helps incorporate local occlusion information due to parallax from a dynamic scene/camera. We employ this method for generating the necessary boundary information for our approach.

Not only do boundaries indicate the spatial extent of objects, however, they also suggest natural regions to use in modeling those objects’ appearances. The pixels on either side of a boundary provide evidence which, though only local and imperfect, can offer a “hint” of the correct segmentation by indicating discriminative appearance information.

In practice, we use the output offered by recent α-matting approaches as an approximate “classification” which realizes these segmentation hints. And though the individual mattes only suggest binary discrimination (usually “foreground” vs. “background”), we can nevertheless segment arbitrary numbers of objects in the scene by utilizing the collective evidence offered by a large set of mattes.

Recently, there has been a surge of interest and impressive results in interactive matting [2, 4, 6, 11, 22, 28]. Using only a sparse set of user-specified constraints, usually in the form of “scribbles” or a “tri-map”, these methods produce a soft foreground/background matte of the entire image. As initially demonstrated in [3], such methods can potentially be automated by replacing user-specified “scribbles” with constraints indicated by local occlusion information.

In the proposed approach, we use each hypothesized boundary fragment to provide the matting constraints. Based on the combination of the set of mattes suggested by all of the fragments, we then derive an affinity measure which is suitable for use with any subsequent clustering algorithm, e.g. Normalized Cuts (NCuts) [24]. Through this combination of individually-weaker sources of information (i.e. fragmented boundaries and impoverished, binary mattes), we relieve the pressure to extract the one “perfect” matte or the “true” set of extended boundary contours, and yet we are still able to segment multiple objects in the scene accurately and concisely. Though beyond the scope of this work, it is our hope that such improved segmentation strategies will benefit methods which rely on multiple segmentations to generate, for example, object models from unlabeled data.

2. Segmentation “Hints” via Multiple Mattes

As discussed in Section 1, we will use image matting to produce segmentation “hints” for generating affinities useful in segmentation via clustering. After first providing an overview of matting, we will explain how we use boundary fragments to imply multiple mattes for estimating these affinities.

2.1. α-Matting

In a standard matting approach, one assumes that each observed pixel in an image, I(x, y), is explained by the convex combination of two unknown colors, F and B. A soft weight, α, controls this combination:

\[ I(x, y) = \alpha(x, y)F(x, y) + (1 - \alpha(x, y)) B(x, y) \] (1)

We will use this model as a proxy for a classifier in our work. In this formulation, then, pixels with an α-value near one are likely part of the F “class”, while those with an α-value near zero are likely part of the B “class”. Values near 0.5 indicate mixed pixels whose “membership” may be considered unknown. Typically, F and B correspond to notions of “foreground” and “background”, but we will explain in the next section that these semantic assignments are not necessary for our approach.

Simultaneously solving for F, B, and α in (1) is of course not feasible. In general, a user specifies a small set of pixels, often referred to as “scribbles” or a “tri-map”, which are then constrained to belong to one class or the other. These hard constraints are then combined with assumptions about α (e.g., smoothness) to find a solution at the unspecified pixels [2, 4, 6, 11, 22, 28]. We have adopted the approach proposed by Levin et al. [11], which offers excellent results via a closed-form solution for α based on reasonable assumptions about the local distributions of color in natural images.

Note that methods also exist for constrained “hard” segmentations, e.g. [5] — potentially with some soft matting at the boundaries enforced in post-processing [20] — but as we will see, using fully-soft α-mattes allows us to exploit the uncertainty of mixed pixels (i.e. those with α values near 0.5) rather than arbitrarily (and erroneously) assigning them to one class or the other. In fact, we follow the conventional wisdom of avoiding early commitment throughout our approach. Hard thresholds or grouping decisions are avoided in favor of maintaining soft weights until the final segmentation procedure. In addition to retaining the maximum amount of information for the entire process, this methodology also avoids the many extra parameters required for typical ad hoc decisions or thresholds.
2.2. Multiple Mattes → Affinities

In an automated matting approach, the goal is to provide a good set of constraints without requiring human intervention. Since object boundaries separate two different objects by definition, they are natural indicators of potential constraint locations: \( F \) on one side and \( B \) on the other. In [3], T-junctions were used to suggest sparse constraints in a similar manner. The benefit of matting techniques here is their ability to propagate throughout the image the appearance information offered by such local, sparse constraints. The middle of Figure 1 depicts a sampling of mattes generated from the differing constraints (or “scribbles”) implied by various boundary fragments.

A remaining problem is that the approach described thus far only offers a binary (albeit soft) decision about a pixel’s membership: it must belong to either \( F \) or \( B \), which are usually understood to represent foreground and background. How then can we deal with multi-layered scenes?

We recognize that the actual class membership of a particular pixel, as indicated by its \( \alpha \) value in a single matte, is rather meaningless in isolation. What we wish to capture, however, is that locations with similar \( \alpha \) values across many different mattes (whether both zero or one) are more likely to belong to the same object, while locations with consistently different values are more likely to be part of different objects. While existing methods, such as Intervening Contours [7, 8, 10], may attempt to perform this type of reasoning over short- and medium-ranges within the image using standard edge maps, our use of mattes simultaneously factors in the boundaries themselves as well as the global appearance discrimination they imply. Furthermore, matte values near 0.5 carry a notion of uncertainty about the relationship between locations.

Using each of the \( N_F \) potential boundary fragments in the scene to generate an image-wide matte yields an \( N_F \)-length vector, \( v_i \), of \( \alpha \)-values at each pixel \( i \). If we scale the \( \alpha \)-values to be between +1 and −1 (instead of 0 and 1), such that zero now represents “don’t know”, then the agreement, or affinity, between two pixels \( i \) and \( j \) can be written in terms of the normalized correlation between their two scaled matting vectors:

\[
A_{ij} = \frac{v_i^T W v_j}{||v_i|| ||v_j||},
\]

where \( W \) is a diagonal matrix of weights corresponding to the confidence of each fragment actually being an object boundary. Thus mattes derived from fragments less likely to be object boundaries will not significantly affect the final affinity. Note that this combines all hypothesized fragments in a soft manner, avoiding the need to choose some ideal subset, e.g., via thresholding. Figure 2 provides an example schematic describing the overall process of employing boundary fragments to suggest mattes, which in turn generate an affinity matrix.

The value of \( A_{ij} \) will be maximized when the matting vectors for a pair of pixels usually put them in the same class. When a pair’s vectors usually put the two pixels in opposite classes, the affinity will be minimized. The normalization effectively handles discounting the “don’t know” (near-zero) entries which arise from mattes that do not provide strong evidence for one or both pixels of the pair.

We have now defined a novel matting-based affinity measure which can be used with any off-the-shelf clustering technique. In addition to incorporating boundary knowledge and global appearance reasoning, a unique and noteworthy aspect of our affinities is that they are defined based on feature vectors in a space whose dimension is a function of the image content — i.e. the number of detected fragments, \( N_F \) — rather than an arbitrarily pre-defined feature space of fixed dimension.

3. Detecting Boundary Fragments

In the previous section, we constructed mattes based on hypothesized boundary fragments. We will now explain the source of these hypotheses. While one could use a purely appearance-based edge detector, such as \( Pb \) [13], as a proxy for suggesting locations of object boundaries in a scene, this approach could also return many edges corresponding to non-boundaries, such as surface markings, yielding extra erroneous and misleading mattes. While it would be naïve to expect or require perfect boundary hypotheses from any method, we still wish to maximize the fraction that do indeed correspond to true object boundaries.

Recently, Stein et al. demonstrated improved detection of object/occlusion boundaries by incorporating local, instantaneous motion estimates when short video clips are available [26, 27]. Their method first over-segments a scene into a few hundred “super-pixels” [19] using a watershed-based approach. All super-pixel boundaries are used as potential object boundary fragments (where each fragment begins and ends at the intersection of three or more super-pixels). Using learned classifiers and inference on a graphical model, they estimate the probability that each fragment is an object boundary based on appearance and motion cues extracted along the fragment and from within each of the neighboring super-pixels. The resulting boundary probabilities provide the weights for \( W \) in (2). An example input image and its boundary fragments (shown in differing colors), can be found on the left side of Figure 1. For clarity, only the high-probability fragments are displayed, though we emphasize that all are used.

For each fragment, we can now generate an image-wide matte according to [11] as described in Section 2. The super-pixels on either side of a fragment naturally designate spatial support for the required labeling constraints. Since super-pixels generally capture fairly homogeneous regions, however, we have found it better to expand the constraint set for a fragment by using a triplet of super-pixels formed by also incorporating the super-pixels of the two neighboring fragments most likely to be boundaries. This process is
illustrated in Figure 3. Note also that our approach avoids any need to choose the “right” boundary fragments (e.g. by thresholding), nor does it attempt to extract extended contours using morphological techniques or an ad hoc chaining procedure, both of which are quite brittle in practice. Instead we consider only the individual short fragments, with an average length of 18 pixels in our experiments.

We employ the technique proposed in [26, 27] in order to improve our performance by offering better boundary detection and, in turn, better mattes. As mentioned above, that approach utilizes instantaneous motion estimates near boundaries. We are not, however, incorporating motion estimates (e.g. optical/normal flow) directly into our segmentation affinities [23, 32], nor is our approach fundamentally tied to the use of motion.1

Using the boundary-detection approach described above, we not only obtain a better set of hypothesized boundary fragments and probabilities of those fragments corresponding to physical object boundaries (i.e. for use in \( W \) from (2)), but we can also use the computed super-pixels as our basic image elements instead of relying on individual pixels. In addition to offering improved, data-driven spatial support, this drastically reduces the computational burden of constructing \( A_{ij} \), making it possible to compute all pairwise affinities between super-pixels instead of having to sample pixelwise affinities very sparsely.

The benefit here is more than reduced computation, however, particularly when using the popular NCuts technique. Other authors have noted that non-intuitive segmentations typical of NCuts stem from an inability to fully populate the affinity matrix and/or the topology of a simple, four-connected, pixel-based graph [30]. By computing affinities between all pairs of super-pixels, we alleviate somewhat both of these problems while also improving speed.

We will not review here all the details of spectral clustering via NCuts, given a matrix of affinities; see [14] for specifics of the method we follow. We compare the segmentations obtained using NCuts on our matting-based affinities to two popular approaches from the literature, each of which also uses NCuts and offers an implementation online. First is the recent multiscale approach of Cour et al. [7], in which affinities are based on Intervening Contours [10]. Second, we compare to the Berkeley Segmentation Engine (BSE) [8, 13], which relies on a combined set of patch-based and gradient-based cues (including Intervening Contours). Note that different methods exist for the final step of discretizing the eigenvectors of the graph Laplacian. While the BSE uses \( k \)-means (as do we, see [14]), the multiscale NCuts method attempts to find an optimal rotation of the normalized eigenspace [34]. Furthermore, both methods compute a sparsely-sampled, pixelwise affinity matrix.

4. Image Segmentation by NCuts

Given our pairwise affinity matrix \( A \), which defines a fully-connected, weighted graph over the elements in our image, we can use spectral clustering according to the Normalized Cut Criterion to produce an image segmentation with \( K \) segments [24].2 To obtain a set of multiple segmentations, we can simply vary this parameter \( K \).

5. Evaluating Object Segmentations

In this section, we will present an intuitive approach for determining whether one set of segmentations is “better”
than another generated using a different method. For the eventual goal of object segmentation and discovery, we propose that the best set of segmentations will contain at least one result for each object in the scene in which that object can be extracted accurately and with as few segments as possible. Ideally, each object would be represented by a single segment which is perfectly consistent with the shape of that object’s ground truth segmentation. We leave the problem of identifying the object(s) from sets of multiple segmentations to future work and methods such as [21].

Thus, we define two measures to capture these concepts: consistency and efficiency. For consistency, we adopt a common metric for comparing segmentations, which conveniently lies on the interval \([0, 1]\) and captures the degree to which two sets of pixels, \(R\) and \(G\), agree based on their intersection and union:

$$c(R, G) = \frac{|R \cap G|}{|R \cup G|}. \quad (3)$$

Here, \(R = \{A, B, C, \ldots\} \subseteq S\) is a subset of segments from a given (over-)segmentation \(S\), and \(G\) is the ground truth object segmentation.

At one extreme, if our segmentation were to suggest a single segment for each pixel in the image, we could always reconstruct the object perfectly by selecting those segments (or in this case, pixels) which corresponded exactly to the ground-truth object’s segmentation. But this nearly-perfect consistency would come at the cost of an unacceptably high number of constituent segments, as indicated in the rightmost example in Figure 4. At the opposite extreme, our segmentation could offer a single segment which covers the entire object, as shown in the leftmost example. In this case, we would achieve the desired minimum of one segment to capture the whole object, but with very poor consistency.

We generate a set of segmentations for each scene by varying \(K\) between 2 and 20 while using either our matting-based approach, multiscale NCuts [7], or the BSE approach [8, 13]. Recall that the two latter methods compute affinities in a pixelwise fashion. To verify that any improvement offered by our approach is not solely due to our use of super-pixels, we also implemented a baseline approach which computes affinities from pairwise comparisons of \(L^*a*b^*\) color distributions within each super-pixel, using the \(\chi^2\)-distance.

For our proposed approach, we use each of the individual boundary fragments from [26] to suggest constraints for mattes as described in Section 2.2. In practice, we ignore

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\(^3\)It may be helpful to think of these measures and their tradeoff as being roughly analogous to the common notions of precision and recall, e.g., in object recognition.
those fragments with an extremely low probability (< 0.01) of being boundaries, since the resulting mattes in those cases would have almost no effect on computed affinities anyway. From an initial set of 350-1000, this yields approximately 90-350 fragments (and mattes) per image for computing pairwise, super-pixel affinities according to (2).

We first selected a set of ten desired consistency levels, from 0.5 to 0.95. Then for each labeled object in a scene and for each segmentation method, we pose the question, “what is the minimum number of segments required to achieve each desired consistency in segmenting this object?” We can then graph and compare the methods’ best-case efficiencies as a function of the desired consistencies.

A typical graph is provided at the top of Figure 5, in which bars of different colors correspond to different segmentation methods. Each group of bars corresponds to a certain consistency level, and the height of the bars indicates the minimum efficiency (i.e. number of segments required) to achieve that consistency. Thus, lower bars are better. Bars extend to the top of the graph when a method could not achieve a desired consistency with any number of segments. Thus we see that our approach is able to achieve similar consistency with fewer segments — until \( c_d \) reaches 0.85, at which point all methods fail on this image. Not surprisingly, the relatively simplistic appearance model of the color-only baseline tends to over-segment objects the most.

We can also examine the actual segmentations produced by each method at corresponding desired consistency levels, as shown at the bottom of Figure 5. For the input image and selected ground truth object shown we provide for each method the segmentations which use the minimum number of segments and achieved at least the desired consistency indicated to the left of the row. Also shown are the super-pixels used for our method and the color-distribution approach, along with a high-probability subset of the boundary fragments used in the proposed approach. (We display only a subset of the fragments actually used simply for clarity.) Below each segmentation are the actual consistencies and efficiencies (i.e. number of segments) obtained. Note how our method achieves comparable consistencies with fewer segments — even when other methods may not be able to achieve that consistency at all. More results are provided in Figures 6-7 and in the supplemental material.

Not surprisingly, when the desired consistency is low, any method is usually capable of capturing an object with few segments. But as the desired consistency increases, it becomes more difficult, or even impossible, to find a small number of segments to recover that object so accurately. Finally, as the desired consistency becomes too high, all methods begin to fail. We find, however, that for many objects our matting-based approach tends to maintain better efficiency (i.e. decreased over-segmentation) into a higher-consistency regime than the other methods.

To capture this more quantitatively over all objects, we can compute the difference between the number of segments our method requires at each consistency level and the number required by the other methods. We would like to see that our method often requires significantly fewer segments to achieve the same consistency. Certainly, there are some “easier” objects for which the choice of segmentation method may not matter, so we would also expect to find that our method regularly performs only as well as other methods. But we also must ensure that we do better much more often than worse. Furthermore, we expect the potential benefit of our approach to be most obvious within a reasonable range of desired consistency: all methods will tend to perform equally well at low consistencies, and all methods will tend to fail equally often at very high consistencies.

Figure 8 offers evidence that our approach does indeed outperform the other methods as desired. As expected, we perform just as well (or poorly) as other methods for many of the objects, particularly at very low or very high desired consistency. But we require several fewer segments per object in a significant number of cases. Furthermore, our approach rarely hurts; we do not often require more segments than the other methods.
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separately. We performed preliminary experiments with
on the same or opposite sides of a given boundary, re-
pared to purely-local methods. Our experiments indicate 
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bottom-up perspective, since knowledge of specific objects 
count for this process [16, 25]. Our work takes the more
and oft-uncertain mattes, our novel method for addressing
Discussion & Conclusion

debate continues over whether high-level reasoning, e.g. object recognition, should precede figure-ground percep-
tion [15], or if purely bottom-up, local reasoning can ac-
count for this process [16, 25]. Our work takes the more bottom-up perspective, since knowledge of specific objects is not required (unlike [18]), but our use of matting incorporates more powerful, semi-global reasoning as compared to purely-local methods. Our experiments indicate that by maintaining “soft” reasoning throughout, and by combining multiple, individually-imperfect sources of information in the form of fragmented boundary hypotheses and oft-uncertain mattes, our novel method for addressing object segmentation yields promising results for use in sub-
sequent work on unsupervised object discovery or scene un-
derstanding. While here we have evaluated our affinities in isolation, as compared to existing methods, it is certainly possible that combining multiple types of affinities would offer further improvement.

Using boundaries and mattes as described simultane-
ously implies the grouping and separation of super-pixels on the same or opposite sides of a given boundary, re-
spectively. We performed preliminary experiments with the technique described in [33] to incorporate separately such “attraction” and “repulsion” evidence via spectral clus-
tering, rather than simply treating the two as equivalent sources of information with opposite signs. This often

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Figure 8. **Overall Performance.** At left are histograms of the relative number of segments required by our approach as compared to the other methods. The height of the bars corresponds to the fraction of the total number of objects for which we achieve the specified relative efficiency on the x-axis. Thus, bars at zero, in the center of the graph, correspond to cases where we perform just as well as the other approaches. Bars to the right (left) correspond to cases where we perform better (resp., worse), using fewer (resp., more) segments than the competition. The table and the insets on the graphs provide summary statistics at the specified consistency levels.

For each desired consistency level $c_d$, we indicate the percentage of objects for which we offer improvement over other methods and how many fewer segments we require on average ($\Delta e$) — higher values are better for both. Similarly, for cases where we do worse, we indicate how many more segments we require ($-\Delta e$) and how often — lower values are better here.

| $c_d$  | Improvement $\Delta e$ | % Obj. | Degradation $-\Delta e$ | % Obj. |
|--------|------------------------|-------|-------------------------|-------|
| 0.50   | 2.0                     | 21%   | 1.3                     | 3%    |
| 0.55   | 2.3                     | 26%   | 1.4                     | 6%    |
| 0.60   | 2.5                     | 27%   | 1.6                     | 12%   |
| 0.65   | 2.7                     | 34%   | 1.2                     | 10%   |
| 0.70   | 3.4                     | 42%   | 1.1                     | 11%   |
| 0.75   | 3.8                     | 47%   | 1.9                     | 11%   |
| 0.80   | 4.0                     | 58%   | 1.7                     | 7%    |
| 0.85   | 3.6                     | 39%   | 2.1                     | 12%   |
| 0.90   | 4.2                     | 30%   | 3.5                     | 9%    |
| 0.95   | 4.7                     | 11%   | 3.4                     | 5%    |

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