Adaptive Event-Triggered Load Frequency Control of Multi-Area Power Systems Under Networked Environment via Sliding Mode Control

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\section*{ABSTRACT} The robust stability and stabilization of adaptive event-triggered load frequency control (LFC) with sliding mode control (SMC) for multi-area power systems under a networked environment are investigated in this paper. The adaptive event-triggered scheme is proposed to maximize network bandwidth utilization, and it can be adaptively modified according to circumstances. Furthermore, to provide stronger robustness performance which is against the frequency deviation induced by power unbalance or transmission time delays, the SMC is developed. Then, the LFC scheme for multi-area power systems under the networked environment is modeled as a Markov jump linear system model, to describe the uncertainty parameters and external disturbances better in this system. Additionally, by employing Wirtinger-based inequality and Lyapunov theory, the robust stability and stabilization criteria with less conservatism are derived. Finally, simulations are performed to demonstrate the efficacy and superiority of the developed approach.

\section*{INDEX TERMS} Adaptive event-triggered scheme, load frequency control, networked communication, sliding mode control, Wirtinger-based inequality.

\section*{I. INTRODUCTION} To operate a power system with sufficient supply and reliability, it is significant to make the power generation corresponds to the power demand during variations in load and source [1]–[3]. The load frequency control (LFC) plays thus a critical role in mitigating unwanted tie-line power flows and frequency variations between neighboring interconnected areas [4], [5]. The LFC scheme explicitly aims to ensure zero steady-state errors for frequency and tie-line input flows of power systems [6], [7]. Therefore, holding the power system steady and secure is a very important process. At the same time, the investigation of LFC in power systems can be treated as the research of objective optimization and robust control.

In modern power systems, dedicated communication channels and open communication infrastructure are the popular methods to connect neighboring contact areas [8], [9]. Modern power systems with open communication networks are competitive compared to conventional power systems with dedicated communication channels. At the same time, it has lower costs and greater flexibility [10]. However, along with it come the finite communication time delays, packet dropouts, and disordering [11]. Hence, some new challenges have been present for the power systems. More importantly, since multi-area power systems are growing rapidly, so the limited communication and computational resources are congested by higher load data transfers. Therefore, the problem of limited network utilization is becoming more and more severe.

The event-triggered scheme has recently become a focus area for the modern power system with open communication networks to save network bandwidth and minimize...
transmission frequency. For the event-triggered scheme, the data packets of the sampled signals can be transmitted only when the designed triggering criterion is satisfied [12]. In this way, the unnecessary use of computational and networking resources can be reduced and the use of network bandwidth maximized. Therefore, the event-triggered scheme has been applied in various fields, for instance, networked systems [13], [14], multi-agent systems [15], [16] as well as the LFC scheme for power systems [17], and some representative results have been demonstrated. The communication delays and event-triggered scheme were incorporated for the LFC scheme in [18]; the event-triggered LFC scheme including supplementary adaptive dynamic programming was presented in [19]; as well as based on the event-triggered communication, the distributed reactive power sharing control was studied [20]. However, the above researches about the event-triggered scheme are all pre-designed. To improve this and save more network utilization, the adaptive event-triggered scheme [21], [22] in which the event-triggered threshold can be adaptively modified according to the previous and present transmitted signal packets is investigated in this paper.

Sliding mode control (SMC), with fast response and robust performance, is a control strategy to guarantees power system high robust performance [18], [23], [24]. Under load disturbance, the control process of frequency and tie-line power deviations may become very challenging. It is well known that the SMC procedure demands that specified switching surfaces be built and a controller synthesized [25]. Depending on this the trajectories of the state can be forced to move in a finite time towards the sliding mode surface, which can improve the system transient performance greatly [26], [27]. Thus, it has been widely utilized in engineering practice, especially in the LFC scheme. For example, the robust stabilization of the LFC scheme with the SMC approach was proposed in [28]; the sliding mode LFC for hybrid power system was studied in [29]; and the robust SMC of wind energy conversion systems were investigated in [30]. Therefore, to solve frequency problems, the SMC strategy is proposed in this paper.

In particular, Markov jump systems can be utilized to model abrupt variations caused by device failures, sudden environmental changes, changing interconnections with subsystems [32], [33]. Multiple practical systems, such as solar boiler stations, economics, dc motor units, RLC circuits, can be modeled as Markov jump system [34]. Also, it is composed of several interacting operating modes and a dynamic mechanism involving stochastic hopping, which is ultimately controlled by a Markov chain [35]. Hence, taking the uncertain model parameters and external disturbances caused by the complexity of the power system model and various environments, the Markov model is applied in this paper.

Motivated by the debates above, this paper investigates the LFC scheme combining adaptive event-triggered strategy and SMC for power systems operated over a bandwidth-limited communication channel. In open communication networks, the transmission time delay is the inevitable factor destroying the system performance. Hence, the transmission time delay is considered in this paper. To save more network bandwidth utilization, the adaptive event-triggered scheme is applied in this paper. At the same time, considering the increase in renewable energy sources, the wind power has been integrated into the proposed system model [26], [31]. Additionally, the SMC strategy is employed to guarantee the power system’s robust performance which will be caused by external disturbances and parameter variation. Specifically, taking the uncertain parameters and external disturbances, the Markov model is proposed in this paper. By utilizing Lyapunov theory, the criteria of stability and stabilization for the power system can be deduced in terms of linear matrix inequality (LMI). Specifically, through the Wirtinger-based inequality, the less conservative conditions of them can be obtained. The main contribution of this study is three-fold:

1) In multi-area power systems, higher load data transfer congested restricted communication and computing resources. To improve the limited network bandwidth utilization and save more network resources, the adaptive event-triggered scheme is applied. In this scheme, the adaptive threshold is considered which can be adaptively modified according to the previous and present transmitted signal packets.

2) The stability of the power grid is vitally necessary. It should be noted that SMC has the advantage of fast response and robust performance. Thus, to improve the robust performance of the power system, the SMC strategy is employed in this paper.

3) By exploiting a suitable Lyapunov function and Wirtinger-based inequality, the controller design strategies and stability conditions with an $H_\infty$ attenuation level $\gamma > 0$ are studied under less conservative condition.

The remainder of this paper is structured as follows. Section II introduces the model of the LFC scheme which involves adaptive event-triggered scheme and SMC strategy with transmission time delays. Then, by using the Wirtinger-based inequality and Lyapunov theory, the asymptotically stable condition with $H_\infty$ performance and robust controller design results are investigated in Section III. Section IV demonstrates numerical results to express the effectiveness of the method presented in this paper. Eventually, this work is concluded in Section V.

II. PROBLEM STATEMENT

The multi-area power system is presented in Fig. 1, which contains the adaptive event-triggered scheme and sliding mode control strategy. The notations of the $i$-th control area are given in Table 1. The multi-area power system model can generally be represented by a linear time-invariant system as follows:
Adaptive Event-Triggered LFC of Multi-Area Power Systems

TABLE 1. Notations.

| Symbol          | Quantity                                      |
|-----------------|-----------------------------------------------|
| $\Delta P_{di}$ | Load deviation                                |
| $\Delta P_{mi}$ | Generator mechanical output deviation        |
| $\Delta P_{vi}$ | Valve position deviation                      |
| $\Delta P_{windi}$ | Out of wind turbine generator deviation     |
| $\Delta f_i$   | Frequency deviation                           |
| $\Delta P_{tie-i}$ | tie-line active power deviation              |
| $M_i$          | Moment of inertia                             |
| $D_i$          | Generator damping coefficient                 |
| $T_{gi}$       | Time constant of the governor                 |
| $T_{chi}$      | Time constant of the turbine                  |
| $R_i$          | Speed drop                                    |
| $\beta_i$      | Frequency bias factor                         |
| $T_{ij}$       | Tie-line synchronizing coefficient            |

where

\[
\begin{align*}
\dot{x}(t) &= Ax(t) + Bu(t) + F\omega(t) \\
y(t) &= Cx(t)
\end{align*}
\] (1)

where

\[
\begin{align*}
x_i(t) &= \begin{bmatrix} \Delta f_i & \Delta P_{mi} & \Delta P_{vi} & \int ACE_i & \int \Delta P_{tie-i} \end{bmatrix}^T \\
x(t) &= \begin{bmatrix} x_1(t)^T & x_2(t)^T & \ldots & x_N(t)^T \end{bmatrix}^T \\
y_i(t) &= ACE_i, \ \omega_i(t) = \begin{bmatrix} \Delta P_{di} & \Delta P_{windi} \end{bmatrix}^T \\
y(t) &= \begin{bmatrix} y_1(t)^T & y_2(t)^T & \ldots & y_N(t)^T \end{bmatrix}^T \\
\omega(t) &= \begin{bmatrix} \omega_1(t)^T & \omega_2(t)^T & \ldots & \omega_N(t)^T \end{bmatrix}^T
\end{align*}
\]

The ACE signal for each control area is the sum of $\Delta f_i$ multiplied by $\beta_i$ and the tie-line power exchange $\Delta P_{tie-i}$, which is expressed by:

\[
ACE_i = \beta_i \Delta f_i + \Delta P_{tie-i}
\] (2)

Next, the sliding-mode surface function can be constructed as:

\[
s(t) = Gx(t) - \int_0^t G(A - BKC)x(\tau)d\tau
\] (3)

where $G$ and $K$ are constant matrices and $G$ is selected to ensure matrix $GB$ to be nonsingular.

For the ideal sliding-mode surface, the following function can be satisfied

\[
s(t) = 0, \ \ \dot{s}(t) = 0
\] (4)

Then, substituting (1) and (4), the following equivalent sliding mode control law can be obtained

\[
u_{eq}(t) = -KCx(t) - (GB)^{-1}GF\omega(t)
\] (5)

Therefore, the dynamic model (1) can be rewritten as:

\[
\dot{x}(t) = Ax(t) - BKCx(t) + \tilde{F}\omega(t)
\] (6)

where $\tilde{F} = F - B(GB)^{-1}GF$.

The variations in system configuration and partly system failure will destroy the system stability. However, the Markov jump linear systems (MJLS) can be applied to investigate the system stability caused by them [10]. The open communication network is structured as a Markov finite-state process with the following properties

\[
P[r_s(t + \Delta t) = j| r_s(t) = i] = p_{ij}
\]

\[
0 \leq i, j \leq L, \ 0 \leq \pi_{ij} \leq 1, \ \sum_{j=0}^{L} \pi_{ij} = 1
\]

where $\pi_{ij}$ expresses the probability from mode $i$ to mode $j$, as well as replace the probability of $r_s(t) = i$ to $r_s(t + \Delta t) = j$.
The system (1) can be further converted into the following Markov jump system as:

\[ \dot{x}(t) = Ax(t) - BK_rC_x(t) + \tilde{F}_o(\omega(t)) \]  

(7)

For convenience of analysis, the \( K_r(\tau(t)) \) can be denoted as \( K_r \).

Under network environment conditions, the sample data \( AC_E_i(t) \) cannot be used directly, as there are transmission time delays and data losses across communication networks. Therefore, taking the impact of networked environments into account. It is possible to write the input of the controller as:

\[ y(t) = C_x(t_k h), \quad t \in [t_k h + \tau_k, t_{k+1} h + \tau_{k+1}) \]  

(8)

where \( t_k h \) is the transmitted instant of \( x(t_k h) \); \( h \) means the constant sampling period. Only the network-induced transmission delays are considered as \( \tau_k, \tau_{k+1} \), \( d = \tau_k \).

Since multi-area power systems sub-area are located in various locations. Hence, the multi-packet transmissions are needed. For the event-triggered scheme, when the defined triggering criteria are satisfied, the sampled signal packets can be transmitted. Throughout this scenario, the inefficient use of computational and communication resources can be reduced. And, the network bandwidth utilization can be maximized. In this subsection, the event-triggered transmission scheme can be rewritten as:

\[ [x(t_k h + jh) - x(t_k h)]^T \Phi [x(t_k h + jh) - x(t_k h)] \]

\[ > \lambda_k x(t_k h)^T \Phi x(t_k h) \]  

(9)

where \( \Phi \) is an unknown positive matrix which need to be designed and \( \lambda \in [0, 1) \).

The benefit of the event-triggered scheme is that the occurrence of a transmission is contingent on the threshold of the state-dependent. To improve this scheme performance, the following adaptive event-triggered scheme will be introduced into this paper:

\[ [x(t_k h + jh) - x(t_k h)]^T \Phi [x(t_k h + jh) - x(t_k h)] \]

\[ > \lambda_k(t_k h)x(t_k h)^T \Phi x(t_k h) \]  

(10)

Comparing with the predetermined time invariant \( \lambda \) in (9), the \( \lambda_k(t_k h) \) in (10) can be adaptively modified according to the previous and present transmitted signal packets:

\[ \lambda_k(t_k h) = \max(\lambda_m, \eta \lambda(t_k h - 1)) \]  

(11)

where \( \lambda_m > 0 \) and

\[ \eta = \begin{cases} 0, & \text{if } \|x(t_k h)\| \leq \|x(t_k h - 1)\| \\ 1 - \frac{2a}{\pi} \text{atan}(\frac{\|x(t_k h)\| - \|x(t_k h - 1)\|}{\|x(t_k h)\|}), & \text{otherwise} \end{cases} \]

Denote:

\[ \Omega_0 = [t_k h + \tau_k, t_k h + h + \tau_M), \]

\[ \Omega_j = [t_k h + jh + \tau_M, t_k h + jh + h + \tau_M), \]

\[ \Omega_{dk} = [t_k h + d_k h + \tau_M, t_k h + 1 h + \tau_{k+1}), \quad (j = 1, 2, \ldots, d_k) \]

So, there are

\[ [t_k h + \tau_k, t_k h + 1 h + \tau_{k+1}) = \bigcup_{j=0}^{d_k} \Omega_j \]  

(12)

At the same time, defining

\[ e(t) = \begin{cases} 0, & k \in \Omega_0 \\ x(t_k h) - x(t_k h + mh), & k \in \Omega_m \\ x(t_k h) - x(t_k h + jh), & k \in \Omega_j \end{cases} \]  

(13)

where \( j = \sup\{m \in N | t_k h + mh < t_{k+1} h, m = 1, 2, \ldots \} \).

The real transmitted data under the adaptive event-triggered scheme (10) is

\[ u(t) = K_y(t_k h) = K C_x(t_k h) \]  

(14)

On the whole, by utilizing the Markov jump theory, the multi-area power system model based on adaptive event-triggered SMC scheme can be described as the following linear time-invariant system

\[ \dot{x}(t) = Ax(t) - BK_rC_e(t) - BK_rC_x(t - \tau_k) + \tilde{F}_o(t) \]  

(15)

Remark 1: It should be noted that the initial state output \( x(0) \) is assumed to be successfully transmitted. Then, the release time instant of the rest state output will be determined by the next judgment scheme (10). Thus, the controller will be updated at its event time, so only a part of sampling information can be transmitted, which can effectively save the limited network resources.

Remark 2: The benefit of the event-triggered scheme is that the transmission of the signal packets depends on a state-dependent threshold relative to the period communication scheme. Additional, the adaptive parament \( \lambda(t_k h) \) is considered in this paper, which can be adaptively modified according to the circumstances [21]. In this adaptive event-triggered scheme, the smaller \( \lambda(t_k h) \) is applied to set more transmission signal packets, which can increase the transmission frequency. Meanwhile, the higher \( \lambda(t_k h) \) will be utilized to set lower transmission frequency. In this way, it can save more communication bandwidth and improve network utilization.

The following lemmas are introduced in advance before presenting the main results.

Lemma 1 [36]: Let \( Z_1 = Z_2^T, 0 < Z_2 = Z_3^T \) and \( Z_3 \) be real matrices of appropriate dimensions, then \( Z_1 + Z_3^T Z_3^{-1} Z_3 < 0 \), if and only if

\[ \begin{bmatrix} Z_1 & Z_2^T \\ Z_3 & Z_3 \end{bmatrix} < 0 \text{ or } \begin{bmatrix} -Z_3 & Z_1 \\ Z_2 & Z_3 \end{bmatrix} < 0. \]

Lemma 2 [36]: For a given matrix \( M > 0 \), the following inequality holds for all continuously differentiable function \( x \) in \([a, b] \)

\[ \int_a^b \dot{x}(s)M\dot{x}(s)ds \geq \frac{1}{b - a} \zeta_1^T M \zeta_1 + \frac{3}{b - a} \zeta_2^T M \zeta_2, \]

where \( \zeta_1 = x(b) - x(a) \) and \( \zeta_2 = x(b) + x(a) - \frac{2}{b - a} \int_a^b x(s)ds \).

Lemma 3 [37]: For given positive integers \( n, m, a, \) scalar \( a \in (0, 1) \), an \( n \times n \)-matrix \( R > 0 \), two \( n \times m \)-matrices \( W_1, \)
Markov model of Power System

III. MAIN RESULTS OF THE SLIDING MODE CONTROL AND ADAPTIVE EVENT-TRIGGERED SCHEME ON THE MARKOV MODEL OF POWER SYSTEM

In this section, the robust stability and stabilization results of the system (15) with the adaptive event-triggered sliding mode control scheme and Markov model will be developed. Firstly, the robust stability results for the system (15) with $\omega(k) = 0$ will be presented. Then, taking disturbance into account, the robust $H_\infty$ stability results for power system (15) will be developed. Finally, the sliding mode controller will be designed for system (15).

Theorem 1: For given positive constant $\varepsilon \in (0, 1)$, the system (15) with $\omega(k) = 0$ is asymptotically stable, if there exist positive definite matrices $P_r, Q_{1r}, Q_{2r}, S_r, T_r, \Phi$, appropriate dimensions $X_{1r}, X_{2r}, X_{3r}, X_{4r}, Y_r$ and identity matrix with appropriate dimensions $I$, such the following matrix inequalities hold for all $r = 1, \ldots, L$

$$
\Pi_r = \begin{bmatrix}
\Pi_{11r} & * & * & * \\
\Pi_{21r} & \Pi_{22r} & * & * \\
\Pi_{31r} & 0 & \Pi_{33r} & 0 \\
\Pi_{41r} & 0 & 0 & \Pi_{44r}
\end{bmatrix} < 0 \quad (16)
$$

$$
X_r = \begin{bmatrix}
S_r & * & * & * \\
0 & 3S_r & * & * \\
X_{1r} & X_{3r} & S_r & * \\
X_{2r} & X_{4r} & 0 & 3S_r
\end{bmatrix} > 0 \quad (17)
$$

where

$$
\Pi_{11r} = e_1^T \sum_{l=1}^L \pi_{rl} P_{rl} e_1 + e_1^T Q_{1r} e_1 - (1 - d) e_1^T Q_{1r} e_3 + e_1^T Q_{2r} e_1 - e_2^T \Phi e_2 + \lambda_m e_3^T \Phi e_3 T - (e_3 - e_4)^T S_r (e_3 - e_4)
$$

$$
+ \sum_{l=1}^L \pi_{rl} [A x(t) - B K_r C x(t)]^T + \sum_{l=1}^L \pi_{rl} x^T(t) P_{rl} x(t) - x^T(t - \tau_k) Q_{1r} x(t - \tau_k)
$$

By utilizing Wirtinger-based inequality, $-\tau_M \int_{t-\tau_k}^t x^T(s) S_r \dot{x}(t) ds$ can be rewritten as:

$$
-\tau_M \int_{t-\tau_k}^t x^T(s) S_r \dot{x}(t) ds
$$

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By utilizing this fact and Lemma 1, condition (16), (17), and (18) can be obtained. Obviously, if $\Omega_r < 0$ and $\xi_0 < 0$ and by utilizing Lemma 4, (17), (18) and (19), the next condition can be obtained

$$-\tau_M \int_{t-\tau_k}^{t-\tau_k} \dot{x}(s)\dot{x}(t)ds$$

$$\leq -\tau_M\frac{W}{\tau_k}W_{1r} \dot{S}_r W_{1r} - \tau_M\frac{W}{\tau_k} W_{2r} \dot{S}_r W_{2r}$$

where

$$W_{1r} = [x(t) - x(t - \tau_k); x(t) + x(t - \tau_k) - \frac{2}{\tau_k}\int_{t-\tau_k}^{t} x(s)ds],$$

$$W_{2r} = [x(t - \tau_k) - x(t - \tau M); x(t - \tau_k) + x(t - \tau M) - \frac{2}{\tau_k}\int_{t-\tau_k}^{t} x(s)ds],$$

$$\dot{S}_r = \text{diag}[S_r, 3S_r].$$

Defining the following augmenting state variable

$$\xi(t) = \begin{bmatrix} x(t) & e(t) & x(t - \tau_k) & x(t - \tau M) \end{bmatrix}^T$$

and $e_j = [0, 0, \ldots, 1, 0, \ldots, 0]$ j = 1, , 6.

Under the adaptive event-triggered scheme (10) condition, by applying Lemma 3, it follows that

$$\Delta V(t) - e(t)^T \Phi e(t) + \lambda_m x(t - \tau_k)^T \Phi x(t - \tau_k)$$

$$\leq \xi^T(k)\Omega_r \xi(k) < 0$$

(20)

where

$$\Omega_r = \Omega_{11r} + \sum_{l=1}^{L} [A_l e_1 - B K_l C e_2 - B K_l C e_3]^T \pi_{rl} P_l$$

$$+ \sum_{l=1}^{L} \pi_{rl} P_l [A_l e_1 - B K_l C e_2 - B K_l C e_3]$$

$$+ \tau_M \sum_{l=1}^{L} [A_l e_1 - B K_l C e_2 - B K_l C e_3]^T$$

$$\times \pi_{rl} S_l [A_l e_1 - B K_l C e_2 - B K_l C e_3]$$

Because $\Omega_r < 0$ and by utilizing Lemma 4, (17), (18) and the next condition can be obtained

$$\Pi_{11r} + \sum_{l=1}^{L} \pi_{rl} P_l^T P_l + \tau_M \sum_{l=1}^{L} [A_l e_1 - B K_l C e_2]$$

$$-B K_l C e_3]^T \pi_{rl} R_l [A_l e_1 - B K_l C e_2 - B K_l C e_3]$$

$$+ \omega^{-1} \sum_{l=1}^{L} \pi_{rl} [A_l e_1 - B K_l C e_2 - B K_l C e_3]^T$$

$$\times T_l [A_l e_1 + B K_l C e_2 - B K_l C e_3] < 0$$

where $T_r$ expresses positive definite matrices.

There is a fact that if $Z < 0$ and $Y^T = Y$ can be satisfied, the $Y^TZY \leq -2Y^T Z^{-1}$ can be obtained. By utilizing this fact and Lemma 1, condition (16), (17), and (18) can be obtained. Obviously, if $\Omega_r < 0$ and $\xi_0 < 0$ can be satisfied, then $\Delta V(t) < \xi^T(k)\Omega_r \xi(k) < -\kappa \|\xi(t)\|^2 < 0$ can be obtained for a sufficiently small constant $\kappa > 0$. Therefore, system (15) with $\omega(t) = 0$ is asymptotically stable, and this completes the proof.

Remark 3: It should be noted that the inequalities scaling method is applied in this theorem. Thus, the conservative problem needs to be considered. In this theorem, the $-\tau_M \int_{t-\tau_k}^{t-\tau_k} \dot{x}(s) \dot{x}(t)ds$ is rewritten as $-\tau_M \int_{t-\tau_k}^{t-\tau_k} \dot{x}(s) \dot{x}(t)ds$. Then, Wirtinger-based inequality is employed in this derivation. In this way, the less conservative results can be expected than the existing research using Jensen inequality [6], [7].

Theorem 2: For given positive constant $\varepsilon \in (0, 1)$, the system (15) is asymptotically stable with $H_\gamma$ prescribed attenuation level $\gamma$, if there exist positive definite matrices $P_r$, $Q_{1r}$, $Q_{2r}$, $S_r$, $T_r$, $\Phi$ and appropriate dimensions $X_{1r}$, $X_{2r}$, $X_{3r}$, $X_{4r}$, $Y_r$ such the following matrix inequalities hold for all $r = 1, \ldots, L$

$$\Pi_r = \begin{bmatrix} \Pi_{11r} & * & * & * \\ \Pi_{21r} & \Pi_{22r} & * & * \\ \Pi_{31r} & 0 & \Pi_{33r} & * \\ \Pi_{41r} & 0 & 0 & \Pi_{44r} \end{bmatrix} < 0$$

(21)

$$X_r > 0, I < T_r$$

where

$$\Pi_{11r} = \varepsilon^2 \omega_r^T \varepsilon_1 + \varepsilon^T \omega_{11r} \varepsilon_1 + \varepsilon^T \omega_{12r} \omega_2$$

$$- (1 - \tau_k) \varepsilon_{12r} Q_{1r} \varepsilon_1 + \varepsilon_{10} Q_{2r} \varepsilon_1$$

$$+ \varepsilon^T C T \varepsilon_1 - \gamma^2 \varepsilon_1 e_1 - \varepsilon_1 ^T \varepsilon_8 \Phi e_8$$

$$+ \lambda_m e_1 \Phi e_0 - (e_1 - e_3) S_r (e_7 + e_9)$$

$$- 3 (e_7 + e_9 - 2 e_12)^T S_r (e_7 + e_9 - 2 e_12)$$

$$- 2 (e_9 - e_10)^T X_{1r} (e_7 - e_9)$$

$$- 2 (e_9 - e_10)^T X_{3r} (e_7 + e_9 - 2 e_12)$$

$$- (e_9 - e_10)^T S_r (e_9 - e_10)$$

$$+ 2 (e_9 - e_10 - 2 e_13)^T X_{3r} (e_7 - e_9)$$

$$- 2 (e_9 + e_10 - 2 e_13)^T S_r (e_9 + e_10 - 2 e_13)$$

$$\Pi_{21r} = \Pi_{31r} = \Pi_{41r} = \omega_r^T = \begin{bmatrix} 1, 1 \ldots, 1 \\ (1, 2) \ldots \ldots, (L, 2) \ldots \ldots \ldots (L, 2) \\ (1, 3) \ldots \ldots, (L, 3) \ldots \ldots \ldots (L, 3) \\ (1, 5) \ldots \ldots, (L, 5) \ldots \ldots \ldots (L, 5) \end{bmatrix}$$

$$\Pi_{44r} = \omega_r^T$$

Proof: For a specified attenuation level $\gamma > 0$, the cost function $J$ can be interpreted as:

$$J = \int_0^\infty y^T(t) y(t) - \gamma^2 \omega^T(t) \omega(t) dt$$

(22)

Under the adaptive event-triggered scheme, and for $\omega(t) \in l_2[0, \infty]$ and $t > 0$ condition, one gets

$$J \leq y^T(t) y(t) - \gamma^2 \omega^T(t) \omega(t) + \Delta V(t)$$

$$- e(t)^T \Phi e(t) + \lambda_m x(t - \tau_k)^T \Phi x(t - \tau_k)$$
Then one further has
\[
y^T(t)y(t) - \gamma^2 \omega^T(t)\omega(t) + \Delta V(t) - e(t)^T \Phi e(t) + \lambda_{m}(t - \tau_{k})^T \Phi x(t - \tau_{k}) < 0 \tag{23}
\]

Defining the augmenting variable as:
\[
\xi(t) = \begin{bmatrix} x(t) & e(t) & x(t - \tau_{k}) & x(t - \tau_{hl}) \end{bmatrix}^T \frac{1}{\tau_{k}} \int_{t - \tau_{k}}^{t} x(m)dm \end{bmatrix}^T
\]
and \( e_j = [0, \ldots, 0, 1, 0, \ldots, 0] \) \( (j = 7, \ldots, 13) \).

The condition (23) can be calculated by
\[
\xi^T(k)\Omega'_r \xi(k) < 0 \tag{24}
\]
where
\[
\Omega'_r = \Pi'_r + \sum_{l=1}^{L}[Ae_{l} - BK_{l}Ce_{8} - BK_{l}Ce_{9} + \tilde{F}e_{11}]^T \pi_{rl}P_{l} + \sum_{l=1}^{L} \pi_{rl}P_{l}[Ae_{l} - BK_{l}Ce_{8} - BK_{l}Ce_{9}]
\]
\[
+ \tilde{F}e_{11} + \tau_{M} \sum_{l=1}^{L} [Ae_{l} - BK_{l}Ce_{8} - BK_{l}Ce_{9} + \tilde{F}e_{11}]^T \pi_{rl}S_{l}[Ae_{l} - BK_{l}Ce_{8} - BK_{l}Ce_{9} + \tilde{F}e_{11}]
\]

By utilizing the same method proposed in Theorem 1, and combining Lemma 1 and Lemma 3, then condition (21) can be obtained. Finally, if \( \Omega'_r < 0 \), so \( J < -k \| \xi'_l(t) \|^2 < 0 \) can be satisfied, and the condition (21) will be obtained. Therefore, the power system (15) is \( H_{\infty} \) stable with performance index \( \gamma \). Then, the proof of this theorem can be completed.

Remark 4: It should be noted that the feasible solution of the controller gain and \( H_{\infty} \) prescribed attention level \( \gamma \) can be obtained. However, those results are not strictly for this system. To solve the optimal attenuation level \( \gamma \), the following constrained optimization problem can be obtained as:

\[
\min \delta \quad \begin{cases} \Pi'_r < 0, \quad X_r > 0, \quad I < T_r, \\ P_r > 0, \quad Q_{1r} > 0, \quad Q_{2r} > 0, \\ S_r > 0, \quad \Phi > 0 \end{cases}
\]
where \( \delta = \gamma^2 \).

Remark 5: In Theorem 2, the robust \( H_{\infty} \) stability results for system (15) under conservative condition are developed. And the adaptive event-triggered sliding mode controller gain can be obtained. Then, an constrained optimization problem is proposed to solve the optimal attenuation level \( \gamma \) and time delay \( \tau_{M} \). In the beginning, the small time delay need be started as the initialization, and by decreasing \( \tau_{M} \) in every iteration and \( \tau_{M} = \tau_{M} + \Delta \tau \) until the constrained optimization problem cannot find the feasibility results.

### TABLE 2. Parameters of the three-area LFC scheme.

| Area | \( R \) | \( M \) | \( D \) | \( T_{23} \) | \( T_{12} \) |
|------|--------|--------|-------|----------|------|
| 1    | 0.05   | 10.0   | 1.0   | 0.1      | 0.3  |
| 2    | 0.05   | 12.0   | 1.5   | 0.17     | 0.4  |
| 3    | 0.05   | 12.0   | 1.8   | 0.2      | 0.35 |

| \( T_{12} \) | \( T_{13} \) | \( T_{23} \) |
|-------------|-------------|-------------|
| 0.52        | 0.55        | 0.47        |

It is noted that the \( H_{\infty} \) stability results and controller gain for power system (15) are all discussed in the above. Next, the SMC strategy will be exhibited in the Theorem 3 below.

**Theorem 3:** The sliding mode surface function can be designed as (3). To ensure the \( s(t) \) \( \hat{s}(t) < 0 \) reaching condition is satisfied, the decentralized switching control law can be built as:

\[
u(t) = -KC[x(t) - k_{1}(GB)^{-1}G\hat{F}(sgn(s(t)) + s(t))] \tag{25}\]

where
\[
sgn(s(t)) = \begin{cases} -1, & \text{if } s(t) < 0 \\ 0, & \text{if } s(t) = 0 \\ 1, & \text{if } s(t) > 0 \end{cases}
\]

**Proof:** The Lyapunov function can be constructed as:

\[
V(t) = \frac{1}{2} \dot{s}^T(t)s(t) \tag{26}\]

Then, combining (1) and (25), \( \dot{V}(t) < 0 \) can be further obtained. Besides, one can infer that the built controller will guarantee the reaching state. Therefore, the built controller (25) will move the system (15) to the sliding surface (3). Then, a sliding motion can be maintained afterward. Based on the SMC theory, the closed-loop system developed by applying the control law (25) to the (15) is asymptotically stable.

### IV. CASE STUDY AND DISCUSSION

In this section, to demonstrate the effectiveness of the presented adaptive event-triggered SMC scheme for a multi-area power system under a networked environment, the simulation cases of the three-area power system is proposed. At first, the availability of the designed controller and release intervals results of the built adaptive event-triggered scheme are presented. Then, the comparison results of minimum \( H_{\infty} \) prescribed attention level \( \gamma \) obtained in this paper with the results obtained in [18] are exhibited in the second case.

#### A. FREQUENCY DEVIATION AND RELEASE INTERVALS RESULTS

To demonstrate the availability and effectiveness of the proposed method, a three-area power system is carried out in this case study, as shown in Fig. 2. Meanwhile, the parameters of this model are listed in Table 2:

The transition probability matrix is set as follows

\[
P = \begin{bmatrix} 0.5088 & 0.4912 \\ 0.4286 & 0.5714 \end{bmatrix}
\]

and it is plotted in Fig. 3 (a).
FIGURE 2. A three-area restructured power system.

FIGURE 3. Results of case study: (a) Random transmission delay, (b) Frequency deviations with step load disturbances, (c) Frequency deviations with +50% parameter uncertainty, (d) Frequency deviations with −50% parameter uncertainty.

By solving remark 4 with $G = [-1 1 -1 1 1]$, $\lambda_m = 0.01$, $d = 0.5$, $k_1 = 1.0$, the following control law can be calculated

$$K_{11}(t) = -0.0391, \quad K_{12}(t) = -0.0392,$$

$$K_{21}(t) = -0.0452, \quad K_{22}(t) = -0.0456,$$

$$K_{31}(t) = -0.0926, \quad K_{32}(t) = -0.0941$$

By utilizing the Matlab/Simulink Toolbox, the frequency response curves of all areas are illustrated in Fig. 3 (b). In this case, the total simulation time is 120s, and four random successive load disturbances are exhibited, which at 0s, 30s, 60s, and 90s, respectively. One can conclude that the multi-area power can be well stabilized under the proposed adaptive event-triggered SMC scheme. Meanwhile, the desired control performance can be maintained. As shown in Fig. 3 (b), the system frequency deviation $\Delta f_i$ for all the three areas can be brought back to zero after a finite time. It is demonstrated that by utilizing the proposed adaptive event-triggered SMC scheme, the stability of the multi-area power system can be restored.

To exhibit the efficacy of the proposed adaptive event-triggered SMC scheme further, the robustness of this system with the case of +50% parameter uncertainty and −50% parameter uncertainty will be applied. Fig. 3 (c) and Fig. 3 (d) illustrate the $\Delta f_i$ of three areas under +50% and −50% parameter uncertainty condition, respectively. It can be inferred that all the three areas’ $\Delta f_i$ can also be brought back to zero after a finite time under the parameter uncertainty condition. Consequently, it can be claimed that the proposed approach provides excellent robustness.

To certify the availability of the proposed adaptive event-triggered scheme, the release intervals and the number of transmitted packets with $\lambda_m = 0.01$ and $\lambda_m = 0.5$ are exhibited in Fig. 4 and Fig. 5, respectively. For different triggered parameter $\lambda$ given in [18], the number of transmitted packets are described in Table 3, respectively. It can be concluded that the number of packets transmitted with the proposed adaptive event-trigger is smaller than those results in [18]. Besides, it can be inferred when the adaptive time invariant $\lambda(tk)$ becomes larger, the smaller amount of sampled data is needed to transmit.

It should be pointed out that in the above comparisons, the effectiveness of the time delay is not taken into account in [18], however, it is considered in this paper. In this way, the effectiveness of the exhibited adaptive event-triggered SMC scheme can be shown.

**B. MINIMUM H∞ PRESCRIBED ATTENTION LEVEL $\gamma$**

To demonstrate the conservative of the results, caused by the application of inequalities scaling, a two-area power system is further considered in this case. The parameters are the same as those in [38] as shown in Table 4.
Table 4. Parameters of the two-area LFC scheme.

| Area | $R$ | $M$ | $D$ | $T_d$ | $T_{ch}$ |
|------|-----|-----|-----|-------|---------|
| 1    | 0.05| 10.0| 1.0 | 0.1   | 0.3     |
| 2    | 0.05| 12.0| 1.5 | 0.4   | 0.17    |

$T_{12} = 0.1986$

Table 5. Comparison of minimum disturbance attenuation level $\gamma$.

| Method                  | Disturbance Attenuation Level $\gamma$ |
|-------------------------|----------------------------------------|
| [38]                    | 0.4493                                 |
| This paper              | 0.2541                                 |

Setting $G = [-1 1 -1 1 1]$, $\gamma_{m} = 0.1$ and $d = 0.5$. By utilizing the proposed interrelated algorithm, the minimum disturbance attenuation level $\gamma$ for the proposed Remark 4 and some other methods in [38] are presented in Table 5. As Table 5 shown, the disturbance attenuation level $\gamma$ obtained in this paper is smaller than the results in [38]. It can be demonstrated that the result obtained in this paper is less conservative than the result obtained in [38], which shows the effectiveness of the proposed method.

V. CONCLUSION

In this paper, the adaptive event-triggered SMC of LFC for a multi-area power system has been proposed under open network environment conditions. The simulations have demonstrated the exhibited adaptive event-triggered scheme can save more network resources and maximize network bandwidth utilization. It has been manifested that the proposed adaptive event-triggered SMC scheme expresses better robustness towards $+50\%$ and $-50\%$ parameter uncertainty and external disturbances rejection capability. Based on the uncertainty parameter and external disturbances condition, the Markov jump linear model has been proposed to describe the LFC scheme for the multi-area power system. Moreover, by utilizing the Wirtinger-based inequality and Lyapunov theory, the robust stability and stabilization criteria with less conservatism have been built. Therefore, the designed scheme can ensure the desired system performance and reduce the communication burden has been illustrated by examples and simulations.

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