Genetic interplay between transcription factor Pou4f1/Brn3a and neurotrophin receptor Ret in retinal ganglion cell type specification

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Abstract
Background: While the transcriptional code governing retinal ganglion cell (RGC) type specification begins to be understood, its interplay with neurotrophic signaling is largely unexplored. In mice, the transcription factor Brn3a/Pou4f1 is expressed in most RGCs, and is required for the specification of RGCs with small dendritic arbors. The Glial Derived Neurotrophic Factor (GDNF) receptor Ret is expressed in a subset of RGCs, including some expressing Brn3a, but its role in RGC development is not defined.

Methods: Here we use combinatorial genetic experiments using conditional knock-in reporter alleles at the Brn3a and Ret loci, in combination with retina- or Ret specific Cre drivers, to generate complete or mosaic genetic ablations of either Brn3a or Ret in RGCs. We then use sparse labelling to investigate Brn3a and Ret gene dosage effects on RGC dendritic arbor morphology. In addition, we use immunostaining and/or gene expression profiling by RNASeq to identify transcriptional targets relevant for the potential Brn3a-Ret interaction in RGC development.

Results: We find that mosaic gene dosage manipulation of the transcription factor Brn3a/Pou4f1 in neurotrophic receptor Ret heterozygote RGCs results in altered cell fate decisions and/or morphological dendritic defects. Specific RGC types are lost if Brn3a is ablated during embryogenesis and only mildly affected by postnatal Brn3a ablation. Sparse but not complete Brn3a heterozygosity combined with complete Ret heterozygosity has striking effects on RGC type distribution. Brn3a only mildly modulates Ret transcription, while Ret knockouts exhibit slightly skewed Brn3a and Brn3b expression during development that is corrected by adult age. Brn3a loss of function modestly but significantly affects distribution of Ret co-receptors GFRα1-3, and neurotrophin receptors TrkA and TrkC in RGCs.

Conclusions: Based on these observations, we propose that Brn3a and Ret converge onto developmental pathways that control RGC type specification, potentially through a competitive mechanism requiring signaling from the surrounding tissue.

Keywords: Cell type specification, Retinal Ganglion Cell, Neurotrophic Signal, Transcription, Pou4f1, Ret

Introduction
Retinal Ganglion Cells (RGCs) – the output neurons of the vertebrate retina – relay visual information to distinct projection areas in the brain. Currently, mouse RGCs are subdivided into about 50 types based on classification criteria including morphological, functional, and molecular parameters [7, 8, 11, 12, 27, 40, 75, 87, 92, 96]. The developmental mechanisms orchestrating the differentiation of RGC types involve transcription factors...
(TFs) in combination with extracellular signaling. Within the retina, Atoh7/Math5 [16, 64, 103] is required but not sufficient for neuronal precursors to commit to the RGC fate. Downstream of Atoh7, postmitotic TFs determine general traits of neuronal morphology and functional characteristics – in RGCs this group includes the three members of the Pou4f family, namely Pou4f1/Brn3a, Pou4f2/Brn3b, and Pou4f3/Brn3c [5, 7, 35, 38, 93, 105–107]. Brn3a transcription is initiated after that of Brn3b (embryonic day 13.5 – E13.5 vs embryonic day 11.5 – E11.5), acts downstream of Brn3b in the developmental transcriptional program, and was initially considered to function redundantly with Brn3b [83].

Deletion of Brn3a in mice leads to early postnatal lethality caused by somatosensory system and brainstem nuclei abnormalities, with no gross perturbations in the retina at this stage of development [76, 106]. Using a conditional alkaline phosphatase (AP) reporter allele knocked-in to the Brn3a locus (Brn3aCROAP) we demonstrated that Brn3a-expressing RGCs laminate in the outer strata (~70%) of the inner plexiform layer (IPL) of the retina. In this allele, the coding exons of the Brn3a gene can be removed using Cre recombination and replaced with the AP reporter, resulting in a loss of function (KO) knock-in allele (Brn3aAP). Loss of Brn3a before the actual onset of locus expression results in a shift towards bistratified arbor morphologies and general decrease of RGC numbers by approximately 30% [5, 7, 93]. This reduction is mostly explained by a loss of RGCs with small dendritic arbor areas and dense multistratified lamination pattern – ON and OFF β RGCs. Comparison of wild type and Brn3aAP/WT RGCs (effectively Brn3a heterozygote, Brn3aKO/WT), show that removal of one copy of Brn3a either early in development or in the adult, does not significantly impact RGC cell type distribution of Brn3a+ RGCs [6, 7, 85, 93]. RNA deep sequencing of affinity-purified early postnatal Brn3aAP/KO (effectively Brn3a null = Brn3aKO/KO) RGCs revealed potential transcriptional targets for Brn3a regulation of cell type development [78, 92]. However, the developmental stage at which Brn3a is necessary for specification of ON and OFF β RGCs is not known.

Amongst the neurotrophic cues required for neuronal development and specification, target derived neurotrophin (NT) and glial derived neurotrophic factor (GDNF) families of ligands play a major role. Components of receptor complexes for NTs contain members of distinct molecular families such as Trk, p75NTR and sortilin [19, 37, 42, 43]. Neurotrophin receptors are also expressed in rodent RGCs during development [66, 92] and development of dendrites and axons and physiological maturation of RGC is modulated by neurotrophin-3 (NT-3), brain derived neurotrophic factor (BDNF), and neurotrophin receptors, TrkB and p75NTR [17, 24, 25, 62, 65, 71–73, 89]. Not much is known about the effects of Glial family ligands (GFLs) and their receptors in RGC development and specification. The GDNF family of neurotrophins contains four members – GDNF, artemin, neurturin, and persephin. Four co-receptors, “GDNF family receptor-α” (GFRα1–4) attached to the cell membrane through a Glycosyl Phosphatidylinositol (GPI) anchor have selective affinity to the four ligands [2, 33, 80, 99]. The tyrosine kinase Ret co-receptor is required for downstream signaling through GFRα. Ret ablation phenotype is characterized by dramatic abnormalities of kidney formation, severely affected sympathetic ganglia, and defects in specific pain and touch somatosensory receptor cells, and megacolon (Hirschsprung disease) due to defects in the specification and migration of cells of the enteric nervous system [34, 39, 67, 68, 81, 100]. GFRα1, GFRα2 and Ret are expressed in RGC subpopulations, however, no RGC phenotypes were reported in Ret or GFR mutants. Ret and Neurturin mutants affect photoreceptor light responses by impairing synapses between photoreceptors, bipolar and horizontal cells [15]. Ret is dynamically expressed in specific retinal cell populations, beginning with RGCs (E13 – 14.5), followed by Horizontal (E17) and Amacrine (P1) cells [4, 82, 85]. In the adult retina, Ret is co-expressed with Brn3a predominantly in three mono- and two bistratified subtypes of RGCs, with Brn3b – in four mono- and two bistratified subtypes, and with Brn3c – in a single monostratified subpopulation. Of interest, Brn3a and Ret are co-expressed in ON and OFF β RGCs [85].

In the current study, we use a RetCreERt2 allele to induce sparse random recombination in Cre-dependent histological reporters targeted at the Brn3a and Rosa26 loci, to visualize RGC dendritic arbor morphologies in sparse or complete double heterozygote (RetKO/WT; Brn3aKO/WT) retinas at different developmental stages. In addition, we reveal the effects of knocking out Brn3a at important developmental timepoints on RGC subtype distribution. Finally, using immunostaining in Ret or Brn3a complete retinal knock-outs, we assess the potential crosstalk between transcriptional and neurotrophic mechanisms in RGCs. We find that Brn3a is required for the development of at least two monostratified RGC subtypes during embryonic and perinatal stages. However, the most striking finding is the specific effect of embryonic sparse double-heterozygosity on cell type specification in mono- and bistratified RGCs and on dendritic morphology in a subset of bistratified RGCs.

Materials and methods

Mouse lines and crosses

Mouse lines carrying Rosa26iAP [6], Brn3aKO [106], Brn3aCROAP [5], RetCreERt2 [67], Rax:Cre [59] and
Ret\textsuperscript{CKCFP} [100] alleles were previously described. In the Brn3a\textsuperscript{KO} allele, the entire open reading frame of Brn3a is deleted and replaced with a Neo cassette. Brn3a\textsuperscript{KO/KO} mice are perinatal lethal, while Brn3a\textsuperscript{KO/WT} mice are viable and breed normally [106]. In the Brn3a\textsuperscript{CKOAP} allele the two coding exons of Brn3a are appended with a (3 × SV40) transcriptional STOP and flanked by loxP sites [5] and the cDNA of the histochemical reporter Alkaline Phosphatase (AP) is inserted after the 3’ loxP site. Cre mediated recombination results in ablation of the Brn3a open reading frame (ORF) coupled with expression of the AP cDNA under the control of the endogenous regulatory elements of the Brn3a locus (Brn3a\textsuperscript{AP}). The Rosa\textsuperscript{26}AP reporter locus expresses AP ubiquitously in a Cre dependent manner [6]. The Ret\textsuperscript{CKCFP} conditional minigene allele includes the complete human Ret cDNA flanked by loxP sites knocked-in into exon 1 of the mouse Ret gene and followed by the Cyan Fluorescent Protein (CFP) cDNA [100]. The unrecombined locus expresses the full human Ret ORF, while Cre mediated recombination ablates Ret, and replaces it with CFP, generating a Ret null allele. The BAC transgenic mouse line Rax:Cre contains Cre recombinase controlled by the mouse Rax gene locus [59], and expresses Cre in the anterior eye field, beginning with E9. The Ret\textsuperscript{CreER\textsuperscript{2}} allele contains the Cre\textsuperscript{ER\textsuperscript{2}} (tamoxifen-dependent Cre activity) coding sequence knocked-in in the first exon of the Ret gene [67], resulting in a Ret null allele.

To understand the cell-autonomous effects of losing one or both copies of Brn3a at different time points from Ret\textsuperscript{+} RGCs, we have crossed Ret\textsuperscript{CreER\textsuperscript{2}/WT}; Brn3a\textsuperscript{KO/WT} males x Brn3a\textsuperscript{CK0AP/CK0AP} females resulting in Ret\textsuperscript{CreER\textsuperscript{2}/WT}; Brn3a\textsuperscript{CK0AP/WT} and Ret\textsuperscript{CreER\textsuperscript{2}/WT}; Brn3a\textsuperscript{CK0AP/KO} pups. To achieve random sparse recombination and AP expression, we induced Cre recombinase by intra-peritoneal (i.p.) injection of 4-hydroxytamoxifen (4-HT) at postnatal day 22 (P22 – adult, 50–100 μg 4-HT), postnatal day 0 (P0 pups, 2.5–5 μg 4-HT), or at embryonic day 15 (E15 embryos, delivered i.p. to the mother, 250 μg 4-HT) (Fig. 1 a, b). To study the effects of complete double-heterozygosity (Brn3a\textsuperscript{KO/WT}; Ret\textsuperscript{KO/WT}), on RGC dendritic morphology, we crossed Rax:Cre; Ret\textsuperscript{CKCFP}/WT males x Brn3a\textsuperscript{CK0AP/CK0AP} females resulting in Rax:Cre; Ret\textsuperscript{CKCFP}/WT; Brn3a\textsuperscript{CK0AP/WT} and Rax:Cre; Ret\textsuperscript{CKCFP}/WT; Brn3a\textsuperscript{CK0AP/KO} pups. Cre recombination and AP expression were induced by i.p. injections of 4-HT to the mother at E15 (250 μg 4-HT, Fig. 1 c, d).

To study potential transcriptional regulation of Brn3a via Ret signaling we crossed Rax:Cre; Ret\textsuperscript{CKCFP}/WT males x Ret\textsuperscript{CKCFP/CKCFP} females resulting in Rax:Cre;
Ret<sup>CKCFP/WT</sup> (full-retina Ret-heterozygote) and Rax:Cre; Ret<sup>CKCFP/CKCFP</sup> (full-retina Ret-knockout) offspring. To study potential Brn3a transcriptional regulation of Ret, GFRα and Trk receptors, we crossed Rax:Cre; Brn3a<sup>KO/WT</sup> males x Brn3a<sup>CKOAP/CKOAP</sup> females to get Rax:Cre; Brn3a<sup>CKOAP/WT</sup> (full-retina Brn3a-heterozygote) and Rax:Cre; Brn3a<sup>CKOAP/KO</sup> (full-retina Brn3a-knockout) offspring. For these experiments, tissues were harvested from mice of both sexes, at E15, P0, and between two and four months of age.

All mice were on C57/Bl6-SV129 mixed background. All animal procedures were approved by the National Eye Institute (NEI) Animal Care and Use Committee under protocol NEI640.

**AP histochemistry and morphometric analysis**

Mouse retinas were stained, processed, and imaged, and RGC dendritic arbors were traced and quantified as described previously [8, 9, 85]. Animals were anesthetized and fixed by intracardiac perfusion with 4% Paraformaldehyde (PFA). Retinas were dissected and flat mounted, heat inactivated in a water bath at 65 °C for one hour, and then AP histochemical stain developed. Color images of retina whole mounts and DIC grayscale image stacks (at 1 μm z step) of individual RGC dendritic arbors were captured with a Zeiss Imager.Z2. Morphological characteristics were measured using ImageJ software as described in Fig. 2 and references [8, 85]. Relative lamination levels of dendritic arbors in the IPL were described by the lamination measurements in Figs. 3, 5 and 6, and oriented by the previously reported stratification levels of ON and OFF Starburst Amacrine Cells (SACs) and the borderline between ON and OFF sublaminae of the IPL, as inferred from the lamination of axon terminals of ON bipolar cells [77]. Neuronal reconstructions were made using Neuromantic (Darren Myat, [http://www.reading.ac.uk/neuromantic](http://www.reading.ac.uk/neuromantic)) and projections were generated using a Matlab (Mathworks, Inc.) script [93]. Numbers of cells, mice and litters analyzed for each genotype combination and condition, are indicated in the legend to Fig. 7.

**Fig. 2** Sparse random recombination in RetCre<sup>ERt2</sup>; Brn3a<sup>CKOAP</sup> mouse retinas reveals several types of monostratified RGCs. (a-c1) AP histochemical staining of retinal flat mounts from RetCre<sup>ERt2/WT</sup>; Brn3a<sup>CKOAP/WT</sup> (a, b, c) and RetCre<sup>ERt2/WT</sup>; Brn3a<sup>CKOAP/KO</sup> (a1, b1, c1) mice, injected i.p. with 4-hydroxytamoxifen (4-HT) at embryonic day 15 (E15, mother injected i.p. at 15th day of gestation with 250 μg 4-HT, a—a1), at postnatal day 0 (P0, 5 μg 4-HT, b—b1), or at postnatal day 22 (P22, 75–100 μg 4-HT, c—c1). RGCs were imaged in retinas from RetCre<sup>ERt2/WT</sup>; Brn3a<sup>CKOAP/WT</sup> and RetCre<sup>ERt2/WT</sup>; Brn3a<sup>CKOAP/KO</sup> mice in which recombination was induced at E15 (n = 324 and 232 cells from 10 and 8 mice, derived from 5 distinct experiments/litters), P0 (n = 89 and 93 cells from 3 and 2 mice) or P22 (n = 148 and 145 cells, from 3 and 3 mice). (d—h2) Examples of most frequently encountered monostratified RGC morphologies: ONαS (d—d2), ON spiny (e—e2), ON and OFFβ (f—f2), M5 (g—g2) and Brn3aKO-specific beta (h—h2). For each cell, focal planes at the level of the cell body and axon (d, e, f, g, h), and dendritic arbor (d1, e1, f1, g1, h1) are shown in flat mount (en face) perspective. (d2, e2, f2, g2, h2) represent 3D reconstructions with en face perspective (top) and lateral projection (bottom). Axons are green, dendrites are blue. The horizontal bars on the sides of each vertical projection represent the boundaries of the IPL and are 25 μm long. (i—i1) RGC dendritic arbor parameters. (g) Inner distance (ID) and outer distance (OD), normalized to the IPL thickness, define the boundaries of dendritic arbor stratification, and are set to 0 at the GCL and 1 at the INL. (g1) Arbor area of the bounding polygon is determined from en face perspective. Measurements for monostratified RGCs are shown in Fig. 3. Scale bar in (a-c) is 500 μm, in h1 – 25 μm.
Indirect immunofluorescence

Retina vertical sections were processed and immunostained as previously described [5, 85, 93]. In brief, adult and postnatal day 0 (P0) retinas were fixed for 30 min in 2% paraformaldehyde, cryoprotected in OCT, and sectioned at 14 μm thickness on a cryostat. For embryonic day E14.5 experiments, pregnant females from timed matings were anesthetized with ketamine-xylazine, embryos anesthetized on ice and decapitated, and whole heads were fixed for 3.5 h at 4 °C, and cryoprotected in OCT. For each genotype and age, retinas from at least three different animals were sectioned and stained together on the same slide. Images (40x) were acquired using a Zeiss LSM700 confocal microscope and Zen software, or a Zeiss Imager. Z2 fitted with an apotome. Number of analyzed retinas

![Fig. 3](image-url)
and collected images are indicated in figure legends. Antibodies and dilutions used for analysis: 1:50 rabbit polyclonal anti-Brn3b generated in our lab [85], validated by western blotting and lack of reaction with KO tissues; 1:20 mouse monoclonal anti-Brn3a (Millipore, MAB1585, RRID: AB_94166, clone 5A3.2; [107]), validated by western blot and lack of Reactivity against KO tissues; 1:25 rabbit polyclonal anti-RET (IBL, cat # R787); 1:50 mouse monoclonal anti-alkaline phosphatase (VEB Gent, Belgium, E6 clone). 1:1000 chicken anti-GFP (used for detection of CFP protein; Abcam, ab13970, RRID: AB_300798). Alexa-Fluor conjugated Donkey polyclonal secondary antibodies were from Molecular Probes/Life Sciences and used at 1:300 dilution. TrkA: 1:40 Anti-TrkA antibody (R&D Systems; AF1056, RRID: AB_2283049) was directed against mouse myeloma cell line NS0-derived recombinant rat TrkA Ala33- Pro418. The antibody shows <5% cross reactivity with recombinant human TrkA and <1% cross reactivity with recombinant mouse TrkB and TrkC. On Western blotting with rat striatum lysate, the antibody recognizes a 140-kDa band specific for TrkA (Manufacturers information). TrkB: 1:40 Anti-TrkB antibody (R&D Systems; AF1494, RRID: AB_2155264) was produced against the extracellular domain (Cys32-His429) of recombinant mouse TrkB and detects mouse TrkB in direct ELISA and Western blotting and shows 25% cross reactivity with human TrkB and <2% cross reactivity with recombinant rat TrkA and TrkC (Manufacturers information). TrkC: 1:40 Anti-TrkC antibody (R&D Systems; AF1404, RRID: AB_2155412) was raised in goat against the extracellular domain (Cys32-Thr429) of recombinant mouse TrkC. The antibody has <2% cross reactivity with recombinant TrkB or TrkA, as tested by ELISA and Western blotting with recombinant proteins. On Western blotting with mouse DRG lysate, it recognizes two bands of 100 kDa and 145 kDa, the predicted molecular weight of TrkC (Manufacturers information). GFRα1: 1:20 Anti- GFRα1 antibody (R&D Systems; AF560, RRID:AB_2110295) is a polyclonal goat antibody raised against Sf-21-derived recombinant mouse GFRα3/GDNF Rα3 (aminoacids Glu34-Arg379), and affinity purified with the target antigen. Detects mouse GFRα3/GDNF Rα3 in direct ELISAs and Western blots. In direct ELISAs and Western blots, less than 5% cross-reactivity with recombinant human GFRα-3 is observed. (Manufacturers information. See also extensive reference list in RRID). GFRα3: 1:40 Anti- GFRα3 antibody (R&D Systems; AF2645, RRID: AB_2110295) is a polyclonal goat antibody raised against Sf-21-derived recombinant mouse GFRα3/GDNF Rα3 (aminoacids Glu34-Arg379), and affinity purified with the target antigen. Detects mouse GFRα3/GDNF Rα3 in direct ELISAs and Western blots. In direct ELISAs, approximately 10% cross-reactivity with recombinant human GFRα3 is observed and less than 2% cross-reactivity with recombinant mouse (rm) GFRα2, rmGFRα4, and recombinant rat GFRα1 is observed. (Manufacturers information. See also extensive reference list in RRID).

Statistical methods
For RGC type distributions (Figs. 2, 3, 4, 5 and 6), data was collected from retinas from multiple animals (summarized in Fig. 7) for each treatment and genotype. Total numbers of measured cells are indicated in Fig. 7 legend, and ranged from 90 to more than 300. Differences in cell type distribution were assessed using the Chi-square method, and Chi Statistics and P values indicated in Supplementary Table 1. For Indirect Immunofluorescence Experiments (Figs. 8, 9 and 10, Supplementary Figs. 2 and 5), data was collected from at least three animals, and cells were counted in 6 – 20 images for each genotype. Total numbers of measured cells are indicated in pie charts. Individual comparisons between groups of interest were performed using the Kolmogorov–Smirnov (KS2) test, and comparisons of marker distributions between different genotypes were assessed with the Chi-square method. All statistical parameters are indicated in Supplementary Table 2.

Results
Sparse random recombination in mice carrying Ret<i>CreER</i><sub>2</sub> and Brn3a<sub>CKOAP</sub> alleles induces mosaic gene dosage manipulations in RGCs
We had previously demonstrated that ablation of Brn3a before the onset of its expression (E12), results in essentially complete loss of RGC types with small, dense dendritic arbors (ON and OFF β or “midget-like” RGCs), while other Brn3a<sup>−</sup> RGC types are only modestly affected [5, 7, 93]. ON and OFF β RGCs, alongside several other cell types, are labelled when sparse random recombination is induced in adult Ret<i>CreER<sub>2</sub>/WT; Brn3a<sub>CKOAP/WT</sub></i> mice [85]. To explore the time points at which Brn3a is required for betta RGC development, we induced
random sparse recombination in \( \text{Ret}^{\text{CreER}}_{2/WT}; \text{Brn3a}^{\text{CKOAP}}_{/WT} \) and \( \text{Ret}^{\text{CreER}}_{2/WT}; \text{Brn3a}^{\text{CKOAP/KO}}_{/WT} \) mice at E15, P0 and P22. Sparse recombination of the \( \text{Brn3a}^{\text{CKOAP}}_{/WT} \) allele results in loss of one copy of the \( \text{Brn3a} \) gene by replacement with the AP reporter cDNA (\( \text{Brn3a}^{\text{AP}}_{/WT} \), effectively a loss of function allele, \( \text{Brn3a}^{\text{KO}}_{/WT} \)), which permits visualization of the recombined cells. This then means that AP positive cells (either \( \text{Ret}^{\text{CreER}}_{2/WT}; \text{Brn3a}^{\text{AP}}_{/WT} \) or \( \text{Ret}^{\text{CreER}}_{2/WT}; \text{Brn3a}^{\text{AP/KO}}_{/WT} \)) carry one \( \text{Brn3a} \) gene copy less than the surrounding tissue. Given that the \( \text{Ret}^{\text{CreER}}_{2/WT} \) allele is a constitutive knock-in allele (the CreER2 replaces the Ret endogenous transcription), sparsely labelled RGCs and surrounding tissue are both Ret heterozygotes (\( \text{Ret}^{\text{KO/WT}}_{/WT} \)). Therefore, upon recombination, sparsely labelled RGCs become either \( \text{Ret}^{\text{KO/WT}}_{/WT}; \text{Brn3a}^{\text{KO}}_{/WT} \) RGCs in a \( \text{Ret}^{\text{KO/WT}}_{/WT}; \text{Brn3a}^{\text{KO/WT}}_{/WT} \) retinal background or \( \text{Ret}^{\text{KO/WT}}_{/WT}; \text{Brn3a}^{\text{WO/WT}}_{/WT} \) RGCs in a \( \text{Ret}^{\text{KO/WT}}_{/WT}; \text{Brn3a}^{\text{WT/WT}}_{/WT} \) retinal background (Fig. 1 a, b, Figs. 2, 3, 4 and 5). In these experiments, the \( \text{Brn3a} \) gene dosages of labelled RGCs are different from the surrounding tissue. In order to study the effects of complete, homogenous double heterozygosity of Ret and \( \text{Brn3a}^{\text{KO}}_{/WT} \) on RGC development, we induced random sparse recombination in either \( \text{Ret}^{\text{CreER}}_{2/WT}; \text{Rosa26AP/WT} \) or \( \text{Ret}^{\text{CreER}}_{2/WT}; \text{Rosa26AP/WT} \) mice. In these experiments, labelled RGCs and surrounding retina have the same genotype (either \( \text{Ret}^{\text{KO/WT}}_{/WT} \); \( \text{Brn3a}^{\text{KO/KO}}_{/WT} \) or \( \text{Ret}^{\text{KO/WT}}_{/WT} \); \( \text{Brn3a}^{\text{KO/KO}}_{/WT} \), Fig. 1 c, d, Fig. 6). The study of adult RGC type distribution in full \( \text{Brn3a}^{\text{KO/KO}}_{/WT} \) mutants is impossible, as they are dying at birth.

Although molecular characterizations of RGC type distributions are making great progress, neuroanatomically classifications are still the gold standard for RGC type classification. Classifications based on dendritic arbor morphology typically distinguish monostatified RGCs (whose dendrites constitute a contiguous arbor with respect to the vertical dimension of the retina “z dimension”) and bistratified RGCs (in which dendrites laminate in clearly separable, distinct sublaminae). We therefore present separately

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**Fig. 4** E15 sparse recombination induces significant morphological changes in bistratified RGCs of \( \text{Ret}^{\text{CreER}}_{2/WT}; \text{Brn3a}^{\text{CKOAP}}_{/WT} \) retinas. a-h represent example bistratified morphologies from the same experiments as shown in Fig. 2. RGCs were quantitated from \( \text{Ret}^{\text{CreER}}_{2/WT}; \text{Brn3a}^{\text{CKOAP}}_{/WT} \) and \( \text{Ret}^{\text{CreER}}_{2/WT}; \text{Brn3a}^{\text{CKOAP/KO}}_{/WT} \) mice in which recombination was induced at E15 (10 and 8 mice, derived from 5 distinct experiments/litters), P0 (3 and 2 mice) or P22 (3 and 3 mice). The most frequently encountered bistratified RGC morphologies were ON–OFF DS (a-a3), SB (b-b3), Recursive (c-c3), Large bist stratified (d-d3), ON–DS (e-e3), Brn3aKO-specific bist stratified (f-f3), Abnormal type 1 (g-g4), and Abnormal type 2 (h-h4). For each cell focal planes through the cell body and axon (a, b, c, d, e, f, g, h), and the ON (a1, b1, c1, d1, e1, f1, g1, g2, h1) and OFF (a2, b2, c2, d2, e2, f2, g3, h2-h3) dendritic arbors are shown. Reconstructions, using the same conventions as in Fig. 2, are shown in (a3, b3, c3, d3, e3, f3, g4, h4). Scale bar in (h3) and horizontal bars delineating the IPL in reconstructions are 25 μm. Axons are green, vitreal (ON) dendrites are blue, scleral (OFF) dendrites are red, second (scleral) sub-layer of OFF dendrites in Abnormal bist stratified type 2 cell is cyan (arrows in h3-h4). Recursive dendrites laminating in the ON arbor but branching from the OFF arbor, are labeled in yellow in b3, c3, d3 and h3 (arrowheads in b1 and b3, c1 and c3, d1 and d3, and h1 and h4). Morphological measurements for all bistratified RGCs are presented in Fig. 5.
the distributions of monostratified (Figs. 2, 3) and bistatified RGCs (Figs. 4, 5) observed in the various genetic backgrounds, in order to better illustrate the morphological parameters that distinguish them. All samples were analyzed in the adult, when morphological criteria for individual cell types are clearly distinguishable, regardless of timing of induction of recombination. It should be noted that sparse random
recombination will inherently result in varying numbers and cell type distributions of RGCs recombined in the individual animals. However, the RGC types dominating the cell types at each age and genotype are proportionally represented in each preparation (Supplementary Table 3).

**Cell type distribution of monostratified Ret+ Brn3a+ RGCs is significantly affected by developmental Brn3a gene dosage manipulations**

Sparse random recombination induced at E15, P0 or P22 results in labeling of RGCs at low densities permitting the clear visualization of individual dendritic arbors (Fig. 2 a-c1). As previously shown [85], Brn3a expression in adult retina (P22) predominantly intersects with Ret expression in three monostratified morphological RGC types (ON and OFFβ—"midget-like"; Fig. 2 f-f2, and ON spiny, Fig. 2 e-c2, Fig. 3a-d, right hand panels). These morphologies are characterized by lamination ranging from the inner 30% of the IPL to the INL (Fig. 3a, b) and thick dendritic arbors spanning multiple lamina (Fig. 3c). Dendritic arbor areas in the flat mount perspective are amongst the smallest RGC arbors (Fig. 3b, c). In addition, isolated instances of several other monostratified cells were observed (Fig. 3a-d, right panel). A similar range of RGC types was observed when recombination was induced in RetCreERt2/WT; Brn3aCKOAP/WT mice at P0 (Fig. 3a-d, compare middle to right panels). However, the overall monostratified RGC type distribution changed dramatically when recombination was induced at E15. Two cell types, On-Alpha-Sustained = ONαS and Pixel Detectors (M5) (Fig. 2 d-d2, g-g2) made up more than 50% of monostratified cells (Fig. 3a-d, left panel-E15, Table 2). These RGC types are characterized by dendritic arbors with large area and sharp lamination (Fig. 3b, c), that stratify between the GCL and the "ON" ChAT band of the IPL (Fig. 3a, b). Of note, ONαS and M5 RGC morphologies are not observed in samples induced at P0 and P22, and have never been reported in sparse recombination sets of Brn3a+ RGCs in our previous studies [5, 7, 85, 93]. Thus, these two morphologies appear unique to the RetCreERt2/WT; Brn3aAP/WT RGC dataset with E15 induction.

**Embryonic and perinatal Cre activation results in loss of ON and OFF betta and ON spiny RGCs in Brn3aKO/ KO retinas**

We then analyzed subpopulations of Brn3aKO/KO RGCs generated by inducing sparse recombination at E15, P0, and P22 (adult). When recombination was induced in the adult, type distribution of RetCreERt2/WT; Brn3aAP/KO (= RetKO/WT; Brn3aKO/KO) monostratified RGCs was indistinguishable from that of RetCreERt2/WT; Brn3aAP/WT (= RetKO/WT; Brn3aKO/WT) cells (Fig. 3 e–h vs. a-d, right hand panels, Table 2). However, when recombination was induced at P0—resulting in random sparse Brn3a loss of function soon after birth, monostratified RetCreERt2/WT; Brn3aAP/KO RGCs were clearly distinct from RetCreERt2/WT; Brn3aAP/WT RG controls (Compare Fig. 3 e-h to a-d, middle panels, Table 2). The relative abundance of ON and OFFβ RGCs decreased considerably, and ON-Spiny neurons were completely missing. Additionally, we identified amongst RetCreERt2/WT; Brn3aAP/KO RGCs induced at either P22 or P0 a minor subpopulation of RGCs with morphologies reminiscent of betta RGCs, but exhibiting somewhat larger areas and sparser dendritic arbors (Brn3aKO-specific betta RGC= Brn3aKO-β, Fig. 2 h-h2, Fig. 3e-h, middle and right scatter plots). RGCs with ON and OFF β and ON Spiny morphologies are also underrepresented amongst RetCreERt2/WT; Brn3aAP/KO RGCs induced at E15, when compared to RetCreERt2/WT; Brn3aAP/WT control RGCs while ONαS and Pixel Detector (M5) RGCs are present in both backgrounds at similar levels (Fig. 3 e–h vs. a-d, left hand panels, Table 2).

**Dramatic shifts in bistratified RGC type distribution in RetCreERt2/WT; Brn3aCKOAP/WT retinas with E15, vs. P0/P22 inductions**

In keeps with previously published data, the distribution of bistratified RGCs in RetCreERt2/WT; Brn3aCKOAP/WT retinas with adult (P22) or perinatal (P0) induction of recombination consisted mostly of ON–OFF direction selective RGCs (ON–OFF-DS, Fig. 4 a-a3), Small Bistratified, (henceforth SB, Fig. 4 b-b3), and a significant number of bistratified RGCs with recursive dendrites (Fig. 4, c-c3, Fig. 5 a-c, middle and right panel). The inner
Fig. 6 (See legend on previous page.)
and outer dendritic arbor of these three cell populations are centered respectively on the ON and OFF starburst laminae (Fig. 5a-c), however they can be distinguished by smaller outer dendritic arbor areas for the SBs (Fig. 4b-b3, Fig. 5a-c) and a high degree of recursive dendrites for recursive RGCs (Fig. 4c3, yellow dendrites, originating in the outer dendritic arbor and returning to the inner arbor). In addition, distance between inner and outer arbor are larger for SB and recursive RGCs compared to the ON–OFF DS RGCs (Fig. 4a-c3, Fig. 5a-c, middle and right pannel). SB morphologies were essentially missing, amongst E15-induced bistratified neurons, while three distinct morphological types, not observed in the samples induced at P0 and P22 made up sizeable fractions of bistratified neurons (AT1, AT2, ON-Direction Selective = ON-DS, Fig. 4 e-e3, g-g4, h-h4, Fig. 5 a-e left panels, Table 2). AT1 and AT2 are two unusual bistratified morphologies characterized by ON dendritic arbors which stratify in apposition to the GCL (normalized ID index is between ~ 0 and 0.2, where 0 is GCL level, Fig. 5a, b, left panels), while their OFF dendritic arbor lamine close to the INL (Fig. 5a, c, left panels). In the case of AT1, the OFF arbor is relatively simple and derives in most cases via a single branch straight from the cell body. However, AT2 bistratified neurons have a thicker OFF dendritic arbor (in z dimension) (Fig. 5a, c, left panels), which can be occasionally resolved into two subarbors, creating the impression of a tri-stratified neuron (Fig. 4h-h4). Morphologies similar to AT2 were recovered by random sparse recombination in wild type retina.
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(Badea et al. 2004 [8], Fig. 15 a), and resemble cell type 85 in the serially reconstructed dataset in the Eyewire Museum (http://www.museum.eyewire.org). However, we are not aware of any instances of AT1 morphologies in either repositories or previous literature. The ON-DS RGC, is, based on dendritic arbor areas and lamination, most likely the ON direction selective RGC, which is known to occasionally have smaller branches co-stratifying with the OFF ChAT band, and has been recorded in early embryonic induced Brn3a CKOAP/WT samples ([5, 7, 93], Fig. 4 e-e3, Fig. 5a-c, left side). Thus, analogous to monostratified RGCs, several unique bistratified morphologies appear in Ret CreERt2/WT; Brn3aAP/WT; Brn3aAP/KO retinas with E15 induced recombination, when compared to those recombined at adult (P22) or perinatal (P0) ages.

Brn3a loss of function induces subtle effects in bistratified RGCs
In our previous work, we had reported a moderate effect of Brn3a ablation on bistratified RGC morphology. Here we use sparse random recombination in the Ret CreER2; Brn3a CKOAP/WT intersection to study the effect of Brn3a ablation on bistratified RGCs at several developmental stages. Bistratified Ret CreER2/WT; Brn3aAP/WT and Ret CreER2/WT; Brn3aAP/KO RGC types did not differ significantly when sparse random recombination was induced at P22, while only modest differences were observed in the P0 induced data set (Fig. 5, a-c vs f–h, middle and right plots, Table 2). The majority of bistratified RGCs labeled belonged to the ON–OFF DS, SB, and Recursive Bistratified types. A few examples of Large Bistratified RGCs (LB, Fig. 4 d-d3) were observed specifically amongst P0 and P22 Ret CreER2/WT; Brn3aAP/ KO RGCs (Fig. 5 f–h, middle and right panels). In addition, in P0 induced recombinations, a few instances of a Brn3aKO-specific subpopulation were recovered. These cells exhibit a simplified ON arbor from which relatively simple straight branches descend and form small tufts into the OFF lamina (Fig. 4 f-f3, Fig. 5 f–h middle panels), and resemble the ones previously described in early sparse recombination experiments [7]. The AT1 and AT2 morphologies are observed in the dataset induced at E15 in both Ret CreER2/WT; Brn3aAP/WT and Ret CreER2/WT; Brn3aAP/KO RGCs with comparable frequencies (Fig. 5, f–h, left panels, Table 2).

Early Complete (Ret KO/WT; Brn3a KO/WT) double heterozygosity does not affect Ret+ RGC type distribution
Ret expression in the retina changes significantly between embryonic, postnatal and adult stages of development [85]. We had previously described the RGC type distribution in Ret CreER2/WT; ROSA26AP/WT mice in which random sparse recombination was induced at P14 and adult [85]. Is the dramatic shift in Ret+ Brn3a+ RGC types observed in E15 random sparse recombination experiments due to developmental differences of expression intrinsic to the Ret locus? Alternatively, are the shifts in RGC types due to a genetic interaction between Brn3a and Ret in the double heterozygote mice? To further explore these questions, we induced random sparse recombination in Ret CreER2/WT; Brn3a CKOAP/WT.

Table 1  RGC subtypes and their possible matches from EyeWire Museum. For each type we provide the closest correspondence in the EyeWire museum and physiology or anatomy literature, if available

| RGC subtype            | #-s of RGCs from EyeWire Museum | References |
|------------------------|--------------------------------|------------|
| Monostratified RGCs    |                                |            |
| ON alpha sustained     | 8w (ONaS)                      | [61, 84, 101] |
| M5                     | 8n, 9n                         | [32, 56, 94, 95] |
| ON spiny               | 6sn                            | [13, 55, 86, 90] |
| ON β                   | 63 (F-miniβ), 5ti, 5si         | [55, 90, 111] |
| OFF β                  | 2an (F-miniβ)                 | [55, 90, 111] |
| OFF transient          | 4i, 4on, 4ow, 3o               | [61, 84]   |
| OFF sustained          | 1no, 1ni, 1wt                  | [61, 84]   |
| Bistratified RGCs      |                                |            |
| ON–OFF DS              | 37c, 37d, 37r, 37v (ON–OFF DS) | [3, 46, 88, 104] |
| Small Bistratified     | 70k(ON DS), 81i, 82n            | [28, 70, 98] |
| Recursive              | 72, 73                         | [7]        |
| Large Bistratified     | n/a                            | [7, 85]    |
| ON—DS                  | 7id, 7i, 7iv (sOn DS)          | [108, 109] |
| Abnormal type 1        | n/a                            | n/a        |
| Tristratified (Abnormal Type 2) | 85                          | [8]        |
Fig. 8 Effects of complete loss of function of Brn3a or Ret on RGCs. a Immunostaining of retina sections from Rax; Brn3a^Cre^/WT (top row) and Rax; Brn3a^Cre; Brn3a^CKOAP^/WT (bottom row) mice, using anti-Ret (green), anti-alkaline phosphatase (anti-AP, red) antibodies, and DAPI nuclear stain. Arrows indicate Ret-only-positive cells, arrowheads indicate Ret^+^AP^+^ double-labeled cells. b Pie-charts and c box-plots representing proportions of different cell categories according to the expression of Ret and AP in Rax; Brn3a^Cre^/WT (left) and Rax; Brn3a^Cre; Brn3a^CKOAP^/WT (right) animals. Total number of cells for each category are presented next to the pie-chart markers, and data spread for each category is shown in the boxplots, expressed as percent total DAPI positive cells. d RGCs (in red) and their respective retinas (in black) have matching genotypes in both Rax; Brn3a^Cre^/WT (left) and Rax; Brn3a^Cre; Brn3a^CKOAP^/WT (right) animals. e Immunostaining of sections through retinas from Rax; Ret^Cre^/WT (top row, "RetWT") and Rax; Ret^Cre; Ret^CKCFP^/WT (bottom row, "Ret^CKCFP^" ) animals, using anti-GFP (staining CFP expressing cells, green) together with anti-Brn3a (red), anti-Brn3b (white) antibodies, and DAPI nuclear stain. Arrowheads indicate (CFP^+^Brn3a^+^) double-positive cells, and arrows indicate (CFP^+^Brn3a^+^Brn3b^+^) triple-labeled cells respectively. f Pie charts and g box-whiskers plots representing quantitation of immunostaining sections from Ret^WT^ (left) and Ret^CKCFP^ (right) mice. Total number of cells for each category is presented next to the pie-chart markers. h CFP-positive RGCs (in green) and their respective retinas (in black) have matching genotypes in both Rax; Ret^Cre^/WT (left) and Rax; Ret^Cre; Ret^CKCFP^ (right) animals. For each genotype in (a–c and e–g), sections from at least 3 different animals were stained and 9–20 images were quantified. For Box-Whisker plots, the red lines represent the median, the rectangles represent the interquartile interval, and the whiskers the full range of observations. Red crosses are outliers. Significance levels: *, p < 0.05; **, p < 0.01; ***, p < 0.001; n.s. > 0.05. Total number of mice, images and cells in Supplementary Table 2. Scale bar in (a) and (e) is 25 μm.
ROSAs6<sup>AP/WT</sup>; Brn3a<sup>KO/WT</sup> and Ret<sub>CreERt2/WT</sub>; ROSAs6<sup>AP/WT</sup>; Brn3a<sup>KO/WT</sup> mice at E15 and analyzed RGC type distribution in adult (> P60) mice (Fig. 1 c, d, Fig. 6a). The profiles of mono- and bistratified RGCs is similar in complete Brn3a<sup>WT/WT</sup> and complete Brn3a<sup>KO/WT</sup> retinas (Fig. 6) and the distribution of monosтратified RGC types induced at E15 in Ret<sub>CreERt2/WT</sub>; ROSAs6<sup>AP/WT</sup> mice was not affected by Brn3a dosage and resembled the RGC types identified in Ret<sub>CreERt2/WT</sub>; Brn3a<sup>CKOAP/WT</sup> mice induced at the same age, with small variations in M5 and betta cell numbers (Fig. 6 b-e, Table 2). However, bistratified RGCs induced at E15 in either Ret<sub>CreERt2/WT</sub>; ROSAs6<sup>AP/WT</sup>; Brn3a<sup>KO/WT</sup> or Ret<sub>CreERt2/WT</sub>; ROSAs6<sup>AP/WT</sup>; Brn3a<sup>KO/WT</sup> backgrounds were restricted to the previously described major types (ON–OFF DS, SB, Recursive, ON-DS and LB), and no instances of AT1 and AT2 RGCs were observed (Fig. 6 f-j). This distribution is consistent with the one seen for Ret<sub>CreERt2/WT</sub>; Brn3a<sup>CKOAP/WT</sup> or Ret<sub>CreERt2/WT</sub>; ROSAs6<sup>AP/WT</sup> mice upon adult inductions. Thus, complete heterozygous Brn3a loss did not affect the pattern of Ret expression in RGCs at E15. Moreover, the expression profile of Ret amongst RGC types, as measured by induction of Ret<sub>CreERt2/WT</sub> in the background of the ROSAs6<sup>AP/WT</sup> allele, does not change dramatically from E15 to adult (compare Fig. 6 to Parmhans 2018, [85], Figs. 6 h and 7 c-d).

Brn3a gene dosage and dynamic Ret expression distinctly affect RGC development

We summarize the RGC type distribution changes produced by Brn3a gene dosage manipulations in Ret<sub>KO/WT</sub> neurons across all ages and genotypes in Fig. 7 (statistical comparisons in Supplementary Table 1). For each recombination age, relative frequencies for all cell types are plotted side by side for Ret<sub>KO/WT</sub>; Brn3a<sup>KO/WT</sup> (blue) and Ret<sub>KO/WT</sub>; Brn3a<sup>KO/KO</sup> (red) RGCs (Fig. 7b-d, summarized from data presented in Figs. 2, 3, 4 and 5), or Ret<sub>KO/WT</sub>; Brn3a<sup>KO/WT</sup> (gray) and Ret<sub>KO/WT</sub>; Brn3a<sup>KO/WT</sup> (white) RGCs (Fig. 7a, based on data in Fig. 6). These cell type distributions are based on the adult patterns of dendritic arbor anatomies, as it is essentially impossible to identify the RGC types at earlier, immature stages of development.

Dynamic developmental effect of random sparse heterozygosity

Sparse random Brn3a heterozygosity induced by P0 recombination in Ret<sub>CreERt2/WT</sub>; Brn3a<sup>CKOAP/WT</sup> retinas does not produce significant changes when compared to the adult pattern (compare blue bars in Fig. 7c and d, p = 0.231, χ² statistics, Supplementary Table 1), and both distributions are indeed in good agreement with previously published sparsely labelled Ret<sub>CreERt2/WT</sub>; Brn3a<sup>AP/WT</sup> or Brn3a<sup>AP/WT</sup> RGCs [5, 7, 85, 93]. However, E15 recombination induced in Ret<sub>CreERt2/WT</sub>; Brn3a<sup>CKOAP/WT</sup> retinas produces a dramatic shift in RGC type distribution relative to P0 and P22 (compare blue bars in Fig. 7b-d, p = 1.6×10⁻³⁰, χ² statistics, Supplementary Table 1). The major differential is produced by the presence in the E15-induced dataset of five RGC types that are not observed in the P0 or P22—induced samples: M5, ONaS, ON-DS, AT1 and AT2. In addition, SB RGCs, that represent 22–28% of RGCs in the P0 and P22 datasets, are absent from the E15 sample (Fig. 7b-d, Table 2). While ON-DS RGCs have previously been shown to be Brn3a positive, four of the five cell types which are unique to the Ret<sub>CreERt2/WT</sub>; Brn3a<sup>AP/WT</sup> RG population induced at E15 (ONaS, M5, AT1, AT2) were never previously observed in sparsely labelled Brn3a<sup>AP/WT</sup> RGCs [5, 7, 85, 93]. In addition, the ON dendritic arbors of these 4 cell types are laminated within the IPL in close proximity to the GCL, a sublamina that is typically not labelled when dendritic arbors of the entire Brn3a<sup>AP/WT</sup> RG population are labelled by E9.5 recombination ([5, 78, 93] and Fig. 8a). Thus, it appears that a subset of E15 induced Ret<sub>CreERt2/WT</sub>; Brn3a<sup>AP/WT</sup> RGCs (M5, ONaS, AT1 and AT2) constitute a distinct RGC subpopulation that typically does not express Brn3a or exhibits morphological differences from previously described Brn3a<sup>+</sup> RGCs.

Developmental dynamics of Ret expression in RGCs

The complete expression profile of Ret in RGCs at E15 can be derived from the Ret<sub>CreERt2/WT</sub>; ROSAs6<sup>AP/WT</sup>; Brn3a<sup>WT/WT</sup> experiments, in which recombination was induced at E15 (Figs. 6 and 7a, white bars).
Fig. 9 (See legend on previous page.)
This distribution closely resembles the one described for adult retinas of RetCreERT2/WT; ROSA26AP/WT mice in which recombination was induced in the adult or P14 [85], with the notable addition of ON-DS and Recursive RGCs in the E15 dataset. Thus, the selective presence of ON-DS RGCs in the E15 -induced Ret CreERT2/WT; Brn3aCKO/AP/WT data (but not in P0 or P22 induced samples of the same genotype), could be explained by the differential expression of Ret in ON-DS RGCs at E15 vs. P22. Interestingly, removing one Brn3a copy from the whole tissue (germline heterozygosity), in RetCreERT2/WT; ROSA26AP/WT; Brn3aKO/WT mice (Fig. 7a, gray bars), does not affect the cell type distribution when compared to the RetCreERT2/WT; ROSA26AP/WT; Brn3aKO/WT controls (Fig. 7a, white bars, \( p = 0.328, \chi^2 \) statistics, Supplementary Table 1).

**Complete vs. Sparse double heterozygosity**

The sparse removal of one Brn3a copy from RetKO/WT RGCs at E15 in RetCreERT2/WT; Brn3aCKOAP/WT retinas (Fig. 7b, blue bars), results in a RGC type distribution that is distinct from the one observed in complete Brn3a heterozygotes (RetCreERT2/WT; ROSA26AP/WT; Brn3aKO/WT, Fig. 7a, gray bars) or Brn3a wild types (RetCreERT2/WT; ROSA26AP/WT; Brn3aKO/WT, Fig. 7a, white bars). AT1 and AT2 RGCs are present and SB RGCs are absent in sparse Brn3a heterozygotes in contrast to complete heterozygotes or wild types. Thus, at least four RGC types that appear in the E15-induced in RetCreERT2/WT; Brn3aCKOAP/WT retinas (Fig. 7b, blue bars) compared to P0 or P22 (Fig. 7c, d, blue bars) cannot be explained by a dynamic shift in Ret expression between embryonic and postnatal ages: based on previous studies, M5 and ONaS are not part of the Brn3a+ RGC repertoire, regardless of induction age, while AT1 and AT2 are not seen amongst any of the other Ret+, Brn3a+ or Ret+Brn3a+ RGCs datasets reported here or in previous publications. In addition, although SB RGCs are part of the Ret+ expression profile at E15 (Fig. 7a), and the Ret+Brn3a+ datasets at P0 and P22 (Fig. 7c and 7d), they are selectively missing from RetKO/WT; Brn3aKO/WT RGCs sparsely recombined at E15 (Fig. 7b).

**Effects of embryonic, postnatal and adult Brn3a ablation**

The distributions of RGC types in retinas from which one or both copies of Brn3a have been removed at adult stage (P22) in a sparse manner are not significantly different from each other (Fig. 7d, blue vs. red bars, \( p = 0.071, \chi^2 \) statistics, Supplementary Table 1), and only a few instances of abnormal betta cells—Brn3aKO-β and large bistratified – LB were observed in the RetCreERT2/WT; Brn3aKO/WT dataset. Thus, Brn3a loss of function at the adult age has only minor effects on RGC anatomy. However, perinatal removal of Brn3a results in a significant drop in ON and OFFβ RGCs (from 27% to 4.5%) and essentially absent ON Spiny RGCs, in addition to the presence of some Brn3aKO-β and LB RGCs, resulting in a significant change in RGC type distribution relative to the heterozygote control (Table 2, Fig. 7c, blue vs. red bars, \( p = 3.9*10^{-5}, \chi^2 \) statistics, Supplementary Table 1). A large reduction in ON and OFFβ RGCs (from 24.4% to 6.5%) and relative enrichments in ON−OFF DS RGCs (from 12.7% to 23%) and recursive RGCs (from 11.4% to 21%) result in significantly altered RGC distribution in E15 induced RetCreERT2/WT; Brn3aAP/KO vs. RetCreERT2/WT; Brn3aAP/WT samples (Table 2, Fig. 7b, red vs. blue bars, \( p = 6*10^{-7}, \chi^2 \) statistics, Supplementary Table 1). These phenotypic changes are in keeps with the previously published depletion of ON and OFFβ RGCs and relative enrichment in bistratified RGCs that was previously reported for early embryonic loss of function of Brn3a (either sparse or complete). Thus, Brn3a is critical for specification of ON and OFFβ and ON Spiny RGCs at both E15 and P0, but is less important for their maintenance. Of note, E15 sparse random recombination in RetCreERT2/WT; Brn3aCKOAP/KO retinas results in the specification of...
Fig. 10 (See legend on previous page.)
similar numbers of ON-DS, M5, ONaS, AT1 and AT2 RGCs as are seen in the E15 Ret<sup>CreERT2/WT</sup>; Brn3a<sup>CKOAP/WT</sup> controls (Fig. 7b, red vs blue bars, Table 2), while SB RGCs are missing from both samples. These E15 specific changes point to the possibility that the random sparse Brn3a copy number deficit induced in Ret<sup>CreERT2/WT</sup> RGCs relative to the surrounding retina (Brn3a<sup>KO/KO</sup> RGCs vs Brn3a<sup>KO/WT</sup> retina OR Brn3a<sup>KO/WT</sup> RGCs vs Brn3a<sup>WT/WT</sup> retina) may be responsible for this subset of phenotypes.

**Regulatory crosstalk between Ret and Brn3a**

The distinct effects of global versus sparse random manipulation of Brn3a dosage on RGC type distribution suggest a genetic interaction between Ret and Brn3a and prompted us to ask whether they regulate each other at transcriptional level. We therefore compared co-expression of Ret protein and the Alkaline phosphatase (AP) reporter in full-retina Brn3a-heterozygote (Rax:Cre; Brn3a<sup>CKOAP/WT</sup>) and full-retina Brn3a-knockout (Rax:Cre; Brn3a<sup>CKOAP/KO</sup>, Fig. 8 a–d) sections. The distribution of Ret<sup>+</sup>, AP<sup>+</sup> and Ret<sup>+</sup>AP<sup>+</sup> (double-positive) cells is similar regardless of Brn3a dosage, with a modest (statistically insignificant) decrease of double-positive cells in Rax:Cre; Brn3a<sup>CKOAP/KO</sup> retinas is statistically significant ($\chi^2$ ChiStat = 10.81, $p = 0.013$) potentially suggesting that Brn3a controls Ret in a subset of RGC types.

We then asked whether Ret signaling can regulate Brn3a or Brn3b transcription. Co-expression of the conditional knock-in reporter CFP with either Brn3a or Brn3b was compared in full-retina Ret-heterozygotes (Rax:Cre; Ret<sup>CKCFP/WT</sup>) and full-retina Ret-knockouts (Rax:Cre Ret<sup>CKCFP/CKCFP</sup>, Fig. 8 e–h). In this line, the CFP reporter is expressed from the Ret locus after the removal of the Ret cDNA by Cre recombination [100]. We observed RGCs expressing Ret either alone or in combination with Brn3a, Brn3b or both. The distribution of single, double and triple labelled cells is conserved in the two backgrounds (Fig. 8 f, g, Supplementary Table 2), suggesting that Ret is not required for Brn3a or Brn3b expression in RGCs. Thus it is unlikely that the genetic interaction observed in sparsely recombined RGCs is mediated by reciprocal transcriptional control of Ret and Brn3a.

Brn3a modulates GDNF ligand signaling to RGCs by regulating GFRA Ret co-receptors

We next asked whether GFRA Ret co-receptors are expressed in Brn3a<sup>+</sup> RGCs and/or regulated by Brn3a. Data from a deep sequencing analysis screen of Brn3a transcriptional targets expressed in RGCs [78, 92]

**Table 2** RGC subtype frequencies in different experimental ages and groups (relative to the total number of RGCs in an experimental group)

| RGC subtype | ROSA Brn3aHET | ROSA Brn3aWT | E15 Brn3aHET | E15 Brn3aKO | P0 Brn3aHET | P0 Brn3aKO | P22 Brn3aHET | P22 Brn3aKO |
|-------------|---------------|--------------|--------------|-------------|-------------|-------------|--------------|-------------|
| ON alpha    | 8.9           | 6.5          | 7.4          | 9.5         | none        | none        | none         | none        |
| M5          | 17.2          | 19.6         | 24.1         | 22.8        | none        | none        | none         | none        |
| ON spiny    | 12.4          | 0.7          | 2.8          | 0.9         | 2.2         | none        | 4.7          | 8.3         |
| ON and OFF β| 12.4          | 13.8         | 24.4         | 6.5         | 27          | 45          | 27           | 172         |
| OFF transient | none    | none         | 0.6          | none        | 3.4         | 2.7         | 28           |             |
| OFF sustained | none | none         | 0.6          | 0.9         | 1.1         | none        | none         | none        |
| M1          | none          | 1.4          | none         | none        | none        | none        | none         | none        |
| Brn3aKO β   | none          | none         | none         | none        | 4.5         | none        | 28           |             |
| Mono Total  | 39.6          | 42           | 59.9         | 40.5        | 34.8        | 18          | 35.8         | 31.7        |
| ON–OFF DS   | 37.3          | 34.8         | 12.7         | 23.3        | 19.1        | 39.3        | 31.8         | 35.8        |
| SB          | 15.4          | 12.3         | none         | 28.1        | 21.3        | 22.3        | 20           |             |
| Recursive   | 1.2           | 5.1          | 11.4         | 21.1        | 18          | 9.5         | 76           |             |
| Large Bistratified | 2.4 | none         | none         | 3.4         | 0.7         | 4.8         |             |             |
| ON DS       | 3.6           | 5.8          | 4            | 3.4         | none        | none        | none         | none        |
| Abnormal type 1 | none | none         | 7.7          | 8.2         | none        | none        | none         | none        |
| Abnormal type 2 | none | none         | 4.3          | 3.4         | none        | none        | none         | none        |
| Brn3aKO Bistr | none | none         | none         | 3.4         | none        | none        | none         | none        |
| Bi Total    | 60.4          | 58           | 40.1         | 59.5        | 65.2        | 82          | 64.2         | 68.3        |
shows that Ret and GFRα's are expressed in RGCs at E15 and P3 (Supplementary Fig. 1). While Ret expression levels are relatively high (around 20 FPKM), the co-receptors are expressed at much lower levels. The major GFRα1 transcript is mostly expressed in Brn3bAP RGCs at both E15 and P3 (around 5 FPKM), and somewhat less in Brn3aAP/Wt RGCs at P3 (1.2 FPKM), and its expression is nearly doubled in Brn3aAP/KO RGCs, suggesting negative regulation by Brn3a. GFRα2 is homogeneously expressed across all Brn3AP RGCs at both E15 and P3 (about 4–6 FPKM), but is not regulated by Brn3a. GFRα3 is and GFRα4 are expressed at less than 1 FPKM in P3 Brn3AP RGCs and do not appear regulated by either Brn3 transcription factor (Sajgo 2017 and Supplementary Fig. 1). Since adult GFRα expression had been previously reported in RGCs [15], we stained adult Rax:Cre; Brn3a CKOAP/WT and Rax:Cre; Brn3a CKOAP/KO retina sections with anti-GFRα1, GFRα2 and GFRα3 antibodies (Fig. 9, Supplementary Table 2). When comparing Brn3aAP/Wt to Brn3aAP/KO retinas (Fig. 9, g-h, i-j, k-l Supplementary Table 2), loss of Brn3a results in significant increases in GFRα1—GFRα3 GCL cells (18.8 to 25, 25.8 to 33.3 and 38.2 to 54% DAPI+ cells in GCL, respectively). Consistent with previous reports, Brn3aAP RGCs numbers are reduced as a result of Brn3a ablation (26 to 18.8, 20.18 to 9 and 4.35 to 2.4% DAPI+ cells in GCL, respectively), however GFRα1+ Brn3aAP and GFRα2+ Brn3aAP double positive ratios are not significantly affected (GFRα1+ Brn3aAP: 7.5 vs. 11.7 and GFRα2+ Brn3aAP: 12.7 vs 11.3% DAPI+ cells in GCL). There is also a sizable but statistically insignificant decrease in GFRα3+ Brn3aAP RGCs. Overall, the partial overlap between all three GFRα receptors and Brn3aAP is significantly altered by Brn3a ablation (Fig. 9 g, i, k), resulting in a shift away from Brn3aAP and towards GFRα expression. This shift could be caused by a fate change of Brn3aAP RGCs towards GFRα+ RGCs (or displaced amacrine cells) in Brn3aAP/KO retinas, since the ratios of GFRα+ Brn3aAP RGCs are not significantly changed. We note that the cumulative number of positive cells for GFRα3+ and Brn3aAP greatly exceeds 40–50% of the DAPI positive cells in the GCL, signaling that a good number of GFRα3+ cells are amacrine neurons, as Brn3a labels some 90% of all RGCs in the adult. Interestingly, the antibody staining for both GFRα1+ and GFRα2+ reveals increased dendritic arbor labelling in close proximity to the GCL, suggesting that most GFRα1+ and GFRα2+ RGCs are laminating in the sublamina which is populated by the unusual RetCreERt2/Wt; Brn3aAP/Wt or RetCreERt2/Wt; Brn3aAP/KO RGC types induced at E15 (ONa5, M5, AT1, AT2).

Ret and its GFRα co-receptors are expressed in Brn3a+RGCs during development

RGC cell type distribution appears to be affected by Ret and Brn3a gene dosage manipulations at embryonic and early postnatal ages, but not in the adult. We therefore investigated the co-expression of Ret and Brn3a at E15 and P0 (Fig. 10), using the same conditional knock-in strategies employed in Fig. 8 and 9. At both E15 and P0, essentially all Brn3a+ RGCs in Rax:Cre; Brn3a CKOAP/WT retinas fall in either the Brn3aAPRet+GFRα1+ (13.5 and 17.3% of DAPI+ GCL cells) or Brn3aAPGFRα1+ (8.9 and 15.3% of DAPI+ GCL cells), with essentially no Brn3aAP or Brn3aAPRet+ RGCs present (Fig. 10a-f, Supplementary Table 2). Removing both copies of Brn3a (in Rax:Cre; Brn3a CKOAP/KO retinas), does not produce statistically significant alterations of individual single, double or triple stained populations in either E15 or P0 retinas (Fig. 10e, f). In E15 retinas, a modest increase of Brn3aAPRet+GFRα1+ cells and decrease of Ret+ cells results in a statistically shifted overall cell population distribution, which is “corrected” by P0. Thus, Brn3a has a large overlap of expression with Ret and GFRα1+ in both embryonic and early postnatal development, but does not play a major role in their transcriptional regulation, at least at population level. Both GFRα2+ and GFRα3+ are expressed in Brn3aAP RGCs at E15 (Supplementary Fig. 2) and P0 (data not shown), mostly in conjunction with Ret (as Brn3aAPRet+GFRα2+ and Brn3aAPRet+GFRα3+ triple positive cells).

When staining for Brn3a and Brn3b in E15 and P0 whole retina Ret heterozygotes (Rax:Cre; RetCKCFP/WT, Fig. 10g-l, Supplementary Table 2), nearly all possible subpopulations of single double and triple positive cells can be observed. However, as previously reported in many studies, E15 retinas contain much larger relative numbers of Brn3b+ RGCs (either Brn3b+, Brn3b"Brn3a", Brn3b"Ret+ or Brn3b"Brn3a"Ret") than P0 retinas (64.5% at E15 vs. 40% at P0, overall percent Brn3b+ cells in GCL), while Brn3a+ RGCs increase in numbers at P0 relative to E15 (37.5% at E15 vs. 53% at P0, overall percent Brn3a+ cells in GCL). Interestingly co-expression of Brn3a and Ret at E15 is seen only as triple positive RGCs (Brn3b+Brn3a"Ret+ 13.8% of DAPI+ cells in GCL), and Brn3a"Ret+ RGCs are essentially non-existent (Fig. 10g, i, k). At P0, Brn3a"Ret+ RGCs make up 6% of the GCL, while Brn3b"Brn3a"Ret+ RGCs are diminishing to 2.9% (Fig. 10h, j, l), beginning to resemble the adult distribution (compare to Fig. 8e-f). Like in the adult, removing both copies of Ret (Rax:Cre; RetCKCFP/CCKFP) results in minor (statistically not significant) shifts of several populations relative to the controls, (Fig. 10k,
l) but the overall cell type distributions are significantly shifted at both E15 and P0 (Fig. 10i, j).

In summary, Ret and Brn3a exhibit significant expression overlap at both E15 and P0, but no dramatic transcriptional regulatory effects in either direction can be documented.

**Expression of some downstream Ret signaling components is affected by Brn3a loss of function in RGCs**

Since transcriptional effects of Brn3a loss on Ret or its coreceptors is modest, we sought to identify further potential targets of Brn3a that could explain its genetic interaction with the Ret pathway. Several signaling cascades (MAPK, SRC-GRB, PI3K, Akt, NFκB and apoptotic pathways), are known to play a role in neurotrophic signaling downstream of the Ret receptor [2, 19, 26, 58]. We determined the representation of members of these signaling cascades amongst Brn3a+ RGCs and Brn3a target genes [78, 92], and identified a subset of genes that are enriched in Brn3a+ and/or Brn3b+ RGCs relative to the retina (cluster branches highlighted in red in Supplementary Fig. 3a, and isolated in 3b). Of these, 12 are also expressed beginning with E15 in RGCs, and most are under transcriptional control of Brn3b (compare vertical branches 3 and 4 of the hierarchical clustering map in Fig. 3a). One isoform of Rapgef1 and two isoforms of Camk2d were upregulated and one isoform of Prkcz downregulated in Brn3aAP/KO RGCs when compared to Brn3aAP/WT RGCs (Supplementary Fig. 3c). These could represent potential targets for the mechanisms by which Brn3a interferes in Ret downstream signaling.

**Modest reduction of TrkA and TrkC expression in Brn3aAP/ K0RGCs**

Brn3a regulation of Trk neurotrophin receptors is believed to play a major role in cell type specification of projection sensory neurons of the somatosensory (DRG and TGG), auditory and vestibular pathways, and the GDNF–GFRα and NGF – Trk neurotrophic signaling axes interact in cell type specification [37, 42, 74]. We therefore asked whether Trk receptor expression in RGCs is regulated by Brn3a. Our RNAseq data predicted that all three Trk receptors (TrkA/Ntrk1, TrkB/Ntrk2 and TrkC/Ntrk3) and p75/NGFR are expressed in RGCs at E15 and P3, and that Brn3a is positively regulating TrkA and TrkC and negatively regulating TrkB ([78, 92] and Supplementary Fig. 4), while TrkB expression in adult mouse RGCs had been previously reported [18]. Using antibody staining in the adult retina, we find that TrkB is expressed in a large fraction of GCL cells, and a majority of Brn3aAP RGCs in both Brn3aAP/WT or Brn3aAP/KO retinas (Supplementary Fig. 5 e–h, Supplementary Table 2). In contrast, TrkA is partially co-expressed with Brn3a in a small fraction of RGCs, and the fraction of TrkA+ Brn3aAP RGCs is somewhat reduced in Brn3aAP/KO retinas (from 6.8 to 1.8% DAPI+ cells in GCL, Supplementary Fig. 5 a – d, Supplementary Table 2). A majority of Brn3aAP RGCs expressed TrkC, and the number of TrkC+Brn3aAP RGCs was mildly reduced by Brn3a ablation (from 34.6 to 28.5% DAPI+ cells in GCL, Supplementary Fig. 5 i–l, Supplementary Table 2). While none of the individual cell population changes were statistically significant using the KS2 test, TrkA and TrkC vs. Brn3aAP populations were significantly shifted in Brn3aAP/WT vs Brn3aAP/KO retinas, as judged by the Chi-Square distribution test. These losses in TrkA+ Brn3aAP and TrkC+ Brn3aAP RGCs may be due either to direct transcriptional regulation of the two Trk receptors by Brn3a or by the loss of specific Brn3aAP RGC subpopulations due to Brn3a ablation. It is worth pointing out that TrkA dendritic arbors were distributed in three sharp lamina across the IPL, with the most intense one being apposed against the GCL, as seen for GFRα1+ and GFRα2+, while TrkC exhibited lamination in the OFF sublaminae of the IPL. All three Trk receptors are expressed in the GCL and the proximal INL, suggesting expression in Amacrine cells in addition to RGCs.

**Discussion**

Our results show that altering the dosage of Brn3a in a sparse mosaic fashion early (E15) in the development of Ret heterozygote (RetCreERt2/WT) RGCs can change the cell type distribution and/or morphologies of heterozygote (Brn3aAP/WT) and knockout (Brn3aAP/KO) RGCs. RGCs are not affected when Brn3a is removed in the adult, and mildly affected by Brn3a removal immediately after birth. E15 or P0 removal of both copies of Brn3a results in dramatic losses in ON and OFF β and β cells in addition to RGCs.

Immunohistochemical evidence collected in whole retina knockouts of either Ret or Brn3a shows a modest transcriptional control of Ret by Brn3a while neither Brn3a nor Brn3b are affected by complete retinal loss of Ret. However, full retinal loss of Brn3a results in modest, but significant shifts in expression of Ret co-receptors GFRα1-3 in the GCL and mildly reduces neurotrophin receptors TrkA and TrkC expression in Brn3aAP RGCs. Thus, the simplest explanation of our data is that Ret and Brn3a participate in converging developmental pathways
that control RGC type specification at the early stages of postmitotic development, and potentially use a competitive mechanism based on gene dosage. The range of RGC types reported in this study was largely similar with previous reports, and equivalencies to previously reported anatomies, including a serial EM dataset (Eye-Wire museum) [12] are provided in Table 1.

**Brn3a requirement in early versus late RGC development**

Brn3a is required for the development of ON and OFF β RGCs and some bistratified RGC types, and Brn3a KO animals have a net RGC loss of about 30% [5, 7, 85, 93]. Ablating either Brn3a, Brn3b or both in adult mice does not affect RGC numbers up to six months post ablation [45]. We now show that adult ablation of Brn3a does not significantly alter the cell type distribution of Ret+ Brn3a+ RGCs, while complete loss of Brn3a at P0 and E15 produces a significant overall shift in RGC type distribution between RetCreERt2/WT; Brn3aAP/KO and RetCreERt2/WT; Brn3aAP/KO RGCs, largely based on the dramatic loss of ON and OFF β RGCs (ratios in Het vs. KO are 27% vs. 17.2% at P22, 27% vs. 4.5% at P0 and 24.4% vs. 6.5% at E15). Thus, Brn3a is required for the development of these cells but not for maintenance in the adult. In P0 and P22 induced Brn3aAP/KO RGCs we also detected an unusual dendritic arbor morphology reminiscent of ONβ RGCs, but distinguishable by larger areas and less dense dendritic coverage potentially representing abnormal ON beta RGCs resulting from relatively late loss of Brn3a. ON spiny RGCs are also selectively reduced in E15 and P0 but not adult inductions, suggesting an early dependency on Brn3a. Furthermore, two types of bistratified RGCs (LB and Brn3aKO – bistratifieds) are observed specifically in P0 and P22 induced Brn3aAP/KO RGCs. LB (Large Bistratified) RGCs have been previously seen in WT circumstances [7, 85, 93], especially in Brn3b+ RGCs, and therefore could represent another shift of cell type specificity, induced only in RetCreERt2/WT; Brn3aAP/KO RGCs. However, the simplified arbors of Brn3aKO-bistratifieds point to a developmental defect as a result of Brn3a loss, and is independent of Ret, since they were observed in Brn3a ablations using other Cre drivers [5, 7].

**Ret and Brn3a: Genetic interaction versus developmental dynamic shift of expression**

Is it possible that the shift in RGC morphologies seen upon embryonic recombination reflects the dynamic expression pattern of Ret or Brn3a in RGCs? The dynamic expression pattern of Ret was shown to be important for specification of subpopulations of DRG neurons [67, 68] and we have documented the dynamic expression of Ret in RGCs, Horizontal cells and Amacrines during embryonic and postnatal retinal development. However, the distribution of RGC types in RetCreERt2/WT; ROSA26AP/WT mice seems to be relatively stable from E15 through P14 to adult, (data in Fig. 6 in this study and Figs. 6 and 7 in [85]), arguing that the expression profile of RetCreERt2 is relatively unchanged during RGC development. In addition, for all experiments involving the Brn3aCROAP/WT allele, the cell type distribution is collected and analyzed in adult mice regardless of induction time, using AP expressed under the control of the Brn3a locus (Brn3aAP), thus reflecting only the adult expression profile of Brn3a.

The RGC morphologies observed when recombination is induced in adult RetCreERt2/WT; Brn3aCROAP/WT mice are in agreement with our previously published data regarding Brn3a expression in various RGC types. In previous studies, recombination was achieved using either transgenic elements (Pax6α:Cre or Rax:Cre) that are activated at E9.5 to 10.5, or sparse random recombination induced using alleles with no known biological effects or preferential expression patterns, under the control of the ROSA26 locus or CAG promoter, induced at a variety of ages, from E8 to adult. The repertoire of RGC types positive for Brn3a revealed by sparse labelling using general promoters (ROSA26, Pax6α:Cre) shows a dendrite laminar pattern typically excluding the innermost 20–30% of the IPL. This pattern is confirmed by immunofluorescent staining in sections where the totality of Brn3aAP RGC dendrites is revealed using whole retina Cre drivers such as Pax6α:Cre and Rax:Cre ( [5, 78, 93] and Fig. 8a in this study). It is therefore likely that P0 and adult-induced RetCreERt2/WT; Brn3aAP/WT RGCs accurately reflect the expression overlap of Ret and Brn3a in RGCs.

Overall, five cell types are unique to the E15 induced RetCreERt2/WT; Brn3aAP/WT RGCs population as contrasted to P0/adult induced RGCs: ONαS, M5, ON-DS, AT1 and AT2 (Fig. 7b). They make up a sizeable fraction (47%) of all E15 induced RGCs. Of these, only ON-DS cells are known to be Brn3a positive in the adult and are also present in the general E15 Ret RGC expression profile (RetCreERt2; Rosa26AP data, Fig. 7a), and thus could be explained by a shift of expression of Ret between E15 and P0/adult. The other four cell types have not been previously reported to be Brn3a positive. Furthermore, while ONαS, M5, and ON-DS cells are present in E15 and adult induced RetCreERt2; Rosa26AP mice, AT1 and AT2 are completely missing from these data sets. Since ONαS and M5 can be reliably matched to Ret+ RGC types (compare top of Fig. 7a and b), their appearance in E15 induced Ret-Brn3a double heterozygotes could be interpreted as an induction of Brn3a expression in these cell types, or a cell type shift caused by the genetic interaction between Ret and Brn3a. In contrast AT2 and AT1 could be derived from a faulty cell type specification decision, separating
them from the normal Ret\^{+}Brn3a\^{+} fate and resulted from the combined heterozygote loss of Brn3a and Ret. AT2 is tentatively matched morphologically to cell type 85 in the EyeWire museum, and we described one instance in a general RGC description in 2004 [8], and AT1 does appear to be a novel morphology. It is possible that AT1 and AT2 morphologies result from developmental changes in SB cells, which are absent from E15 specified Ret\textsuperscript{CreER}\textsuperscript{2} Brn3a\textsuperscript{AP} RGCs, but are present in large numbers in E15 induced Ret\textsuperscript{CreER}\textsuperscript{2}; Rosa26\textsuperscript{AP} RGCs (compare bottom of Fig. 7a and b). We conclude that labelling of ON\textsubscript{a}, M5, AT1 and AT2 RGCs in Ret\textsuperscript{CreER}\textsuperscript{2}/WT; Brn3a\textsuperscript{AP}/WT RGCs induced at E15 but not at P0 or P22 is a result of genetic interactions between Ret and Brn3a, rather than a reflection of a normal change in expression patterns of Ret, Brn3a or both throughout development. Presumably, cell type specificity and/or Ret and Brn3a expression are determined by P0, such that after a loss of one or even both alleles of Brn3a in conjunction with the heterozygous state of Ret, the distribution of Ret\^{+}Brn3a\^{+} RGC types is relatively unaffected.

**Sparse versus Complete double heterozygosity**

In Ret\textsuperscript{CreER}\textsuperscript{2}/WT; Brn3a\textsuperscript{CKO/AP/WT} and Ret\textsuperscript{CreER}\textsuperscript{2}/WT; Brn3a\textsuperscript{CKO/KO} mice, as sparse recombination is induced at either E15, P0 or adult, labelled RGCs lose one Brn3a allele in comparison to the surrounding tissue (Brn3a\textsuperscript{AP}/WT = “Het” RGC in a Brn3a\textsuperscript{CKO/AP/WT} = “WT” territory, or Brn3a\textsuperscript{AP/KO} = “KO” RGC in a Brn3a\textsuperscript{CKO/KO} = “Het” territory, see Fig. 1). If, as argued above, E15 induced Ret\textsuperscript{CreER}\textsuperscript{2}/WT; Brn3a\textsuperscript{AP/WT} RGCs reveal a significant cell type distribution shift from the expected Ret\^{+}Brn3a\^{+} fate, this would imply that the Ret\textsuperscript{KO/WT}; Brn3a\textsuperscript{KO/WT} double heterozygote state is sufficient to alter developmental choices in RGCs. We therefore compared RGCs from Ret\textsuperscript{CreER}\textsuperscript{2}/WT; Brn3a\textsuperscript{KO/WT}, Rosa26\textsuperscript{AP/WT} and Ret\textsuperscript{CreER}\textsuperscript{2}/WT; Brn3a\textsuperscript{WT/WT}, Rosa26\textsuperscript{AP/WT} adult mice, in which recombination had been induced at E15. In this case, labelled RGCs are either double heterozygotes (Ret\textsuperscript{CreER}\textsuperscript{2}/WT; Brn3a\textsuperscript{KO/WT}) or single Ret heterozygotes (Ret\textsuperscript{CreER}\textsuperscript{2}/WT; Brn3a\textsuperscript{WT/WT}), respectively, and carry the same number of Ret and Brn3a alleles as the surrounding retinal tissue from conception into adulthood. Interestingly, we found that all RGC morphologies are consistent with the previously reported Ret expression domain, regardless of Brn3a dosage. Moreover, Ret\textsuperscript{CreER}\textsuperscript{2}/WT; Brn3a\textsuperscript{AP/KO} RGCs labelled by P0 or P22 induction are effectively germline double heterozygotes before recombination, from conception to P0 or P22 respectively, since they carry one wild type allele (unrecombined Brn3a\textsuperscript{CKO/A}) and one constitutive Brn3a KO allele (Brn3a\textsuperscript{KO}) up to the time of recombination. These populations resemble the expected Ret\^{+}Brn3a\^{+} expression domain (with the mentioned exceptions of ON and OFF β and ON Spiny RGCs), but are quite distinct from the E15 induced Ret\textsuperscript{CreER}\textsuperscript{2}/WT; Brn3a\textsuperscript{AP} RGCs, regardless of Brn3a dosage. Combined, these observations strongly suggest that only early sparse but not complete Ret\textsuperscript{KO/WT}; Brn3a\textsuperscript{KO/WT} combined heterozygosity can induce significant shifts in cell type distribution or morphological changes in RGCs. Potentially, Ret signaling in combination with information provided through Brn3a transcriptional control acts as a competitive factor in cell type specification of RGCs. Sparse double heterozygotes receive altered signals compared to the surrounding tissue, and therefore adopt novel cell fates or acquire altered morphologies. In the germline double or single heterozygotes, labelled RGCs and surrounding tissue have the same dosage of Ret and Brn3a, resulting in unaltered RGC type specification decisions. A similar phenomenon is observed in Purkinje neurons, which exhibit defects in dendrite morphogenesis in the presence of sparse but not germline knockout of the TrkC neurotrophin receptor [57].

**What are the molecular mechanisms of cross-talk between Ret and Brn3a?**

A previous characterization of Ret function in the retina [15], and our own analysis (Fig. 8e-h) do not suggest a role for Ret in RGC survival. Conversely, in previous work [5, 7, 93], we had shown that the loss of ON/OFF betta RGCs in Brn3a\textsuperscript{AP/KO} retinas is mirrored by a 20–30% reduction in total RGC numbers (reproduced in this study in Fig. 8a-d), and it is as of yet unclear whether that is a result of increased apoptosis or cell fate shifts. However it should be emphasized that both Ret and Brn3a are expressed in postmitotic neurons. Thus any developmental shifts we see in our system would be operating on the early stages of RGC type differentiation, after the last cell cycle. In the somatosensory system there is a well-established role for neurotrophic signaling in neuronal specification, survival, differentiation, and neurite growth and branching [2, 19, 36, 37, 42, 43, 74]. TrkA/B/C as well as Ret and its GFR\textalpha\textsubscript{C} co-receptors are necessary for the specification of classes of nociceptors, mechanoreceptors and proprioceptors [39, 67, 68]. Transcriptional regulation of neurotrophic receptors plays a major role in cell type specification of other projection sensory neurons [21, 22, 60]. Brn3a and its family members are important regulators of development and specification of projection neurons in the Trigeminal Ganglion (TG), Spiral Ganglion (SG) and Dorsal Root Ganglion (DRG) [10, 30, 31, 41, 44, 74, 76, 91, 106, 112], and their functions are mediated at least in part through regulation of neurotrophic receptors. In the Brn3a\textsuperscript{KO/KO} TG, TrkC
expression is essentially lost from onset (E10.5), while TrkA and TrkB are initially (E10.5) expressed but turn off at E15.5, followed by extensive cell death in the TG. By E17, only 30% of Brn3aKO/KO TG neurons survive, a majority of which express the Ret receptor [44]. These changes in neurotrophin receptors are accompanied by significant shifts in cell type distribution [48, 50–52]. In the spiral (acoustic) ganglion of Brn3aKO/KO mice TrkC is downregulated resulting in dendritic arbor abnormalities [41]. Brn3a loss of function also affects cell type distributions in a variety of DRG cell types [47, 49, 53, 54], accompanied by dynamic changes in numbers of TrkA, TrkB and TrkC receptors, and increase in Ret+ cell numbers [112]. Significantly, in DRGs, Brn3a is a direct transcriptional regulator of TrkA [69], while in RGCs Brn3a loss may modestly affect TrkA expression levels [78, 92]. In the retina, some TFs are shown to modulate neurotrophic signaling components. For instance, Dlx2, a known activator of Brn3b [110], also directly regulates Trk receptor expression in RGCs [29]. Conversely, instances of control of TFs by neurotrophins were documented in motor and sensory systems. Dendritic branching and connectivity of a subset of motor neurons in spinal cord is controlled by a TF encoded by the Pnea3 gene, which is in turn induced by target derived GDNF signaling [102]. In DRGs, GDNF activates a transcriptional program repressing neurite growth of sensory neurons [63].

Surprisingly, not much is known about Ret and Trk control of cell type specification and dendrite formation in RGCs. Gain and loss of function manipulations of the BDNF/NT4 – TrkB axis in mice and frogs did not result in RGC loss, however produced a range of phenotypes including changes in dendritic arbor formation and RGC axon shifts towards small diameter fibers [17, 25, 89].

We now show that Ret, GFRα and Trk receptors expression in RGCs is partially overlapping and can be modulated by Brn3 transcription factors ([78, 92] Figs. 8, 9 and 10, and Supplementary Figs. 1, 2, 3, 4 and 5). In our hands, the numbers of RetCFP, Brn3a and Brn3b-expressing RGCs are transiently altered during development but largely unaffected in RetKO/KO (RetCFP/CFP) mice relative to control animals. While our previous RNAseq experiments did not show a significant Brn3a-dependency of Ret gene expression in early postnatal age [85, 92], we now find that in adults, Ret expression in the retina-specific Brn3a knockout is moderately but significantly altered. The partial redistribution of double positive (Ret+) cells in Brn3aKO/KO retinas may be due to the loss of some (Brn3a+Ret+)—expressing neurons such as ON and OFF β and ON spiny RGCs. When compared to the wild type, Brn3aAP/KO retinas exhibit a significant increase in GFRα1+, GFRα2+ and GFRα3+ cells in the GCL, at the expense of Brn3aAP RGCs, potentially indicating that Brn3a expression suppresses Ret-GFRα expression in certain RGC types (or displaced amacrine cells), leading to choices in cell type specification or morphological features of dendritic arbors. On the contrary, numbers of TrkA+ Brn3aAP and TrkC+ Brn3aAP RGCs are somewhat reduced in Brn3aAP/KO compared to Brn3aAP/WT retinas, while TrkA+ and TrkC+ cell numbers in the GCL are increased. By analogy with the TGG and DRG systems, these shifts could induce alternative RGC cell type decisions.

Conclusions
Taken together, our data suggest that, in the sparsely recombined RetCreER2/WT; Brn3aAP/WT and RetCreER2/ WT; Brn3aAP/KO RGCs, the dosage reduction of Brn3a affects the expression of signaling components of the Ret (Ret, GFRα1-3 or downstream signaling molecules) and/or Trk pathway. These subtle transcriptional effects combined with Ret heterozygosity result in shifts in cell type specification or morphological defects. Ret can function as a competitive coreceptor for ligands involved in neuronal arbor formation and axon guidance, such as ephrin and p75-NTR [14] and Plexin / NCAMs [20], some of which are under transcriptional control of Brn3a [78, 92]. Thus, it is possible that the reduced Brn3a dosage in RetCreER2/WT; Brn3aAP/WT and RetCreER2/WT; Brn3aAP/KO RGCs results in partial loss of these co-receptors, and consequentially in morphological defects.

The proposed competitive nature of the Ret – Brn3a genetic interaction in the context of RGC specification could read out signals necessary to specify the appropriate numbers and spacing of distinct RGC types. Since both Ret and Brn3a are post-mitotically expressed in RGCs, this could mean that RGC type fate is still plastic after exiting the cell cycle, as has been proposed for photoreceptors [1, 23, 79, 97]. This mechanism could then explain how individual retinal clones originating early in retinal development can adjust their composition to accommodate the diversity of RGC type distribution and density, by shifting cell type specificity according to local neurotrophic signaling originating from other already specified RGC types. Alternatively, target derived neurotrophic support could help eliminate excess RGCs, by engaging either Ret-GFRα or TrkA-C signaling in an activity and/or Brn3a dependent manner. Intriguingly, Ret ligands GDNF and Neurturin, and Trk ligands BDNF, NGF and NT3 are differentially expressed at relevant developmental
stages in other retinal neurons, RGCs themselves and/or retinorecipient brain areas (Saigo, 2017 and Supplementary Figs. 1 and 4). It will remain to explore which of these sources are relevant in the competitive mechanism we propose.

**Supplementary Information**

The online version contains supplementary material available at https://doi.org/10.1186/s13064-021-01155-z.

Additional file 1: Supplementary Figure 1 Expression of Glial Derived Neurotrophin Ligands and their receptors in E15 and P3 RGCs and major retinorecipient areas of the brain.

Additional file 2: Supplementary Figure 2 Significant developmental overlap of Brn3a, Ret, and its coreceptors GFRα2 and GFRα3.

Additional file 3: Supplementary Figure 3 Ret signaling downstream targets are expressed in Brn3α+ RGCs.

Additional file 4: Supplementary Figure 4 Expression of Target Derived Neurotrophin Ligands and their receptors in E15 and P3 RGCs and major retinorecipient areas of the brain.

Additional file 5: Supplementary Figure 5 Full retinal Brn3a KO mildly affects distribution of Trk receptors in RGCs.

Additional file 6: Supplementary Table 1 χ² statistics for pair-wise comparisons between different sparse random recombination experimental groups (age and genotype) considering RGC subtype distribution.

Additional file 7: Supplementary Table 2.

Additional file 8: Supplementary Table 3.

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Authors’ contributions

V.M. and T.C.B designed experiments, prepared figures and wrote Manuscript. V.M. performed all experiments and collected all data. The author(s) read and approved the final manuscript.

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Availability of data and materials

All data related to this manuscript will be made available upon request from the corresponding authors.

Declarations

Ethics approval and consent to participate

Not applicable (animal procedure approval is stated in the relevant section of material and methods).

Consent for publication

All authors consent to publication.

Competing interests

The authors declare no competing interests.

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