Frailty in COPD: an analysis of prevalence and clinical impact using UK Biobank

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ABSTRACT

Background Frailty, a state of reduced physiological reserve, is common in people with chronic obstructive pulmonary disease (COPD). Frailty can occur at any age; however, the implications in younger people (eg, aged <65 years) with COPD are unclear. We assessed the prevalence of frailty in UK Biobank participants with COPD; explored relationships between frailty and forced expiratory volume in 1 second (FEV1) and quantified the association between frailty and adverse outcomes.

Methods UK Biobank participants (n=3132, recruited 2006–2010) with COPD aged 40–70 years were analysed comparing two frailty measures (frailty phenotype and frailty index) at baseline. Relationship with FEV1 was assessed for each measure. Outcomes were mortality, major adverse cardiovascular event (MACE), all-cause hospitalisation, hospitalisation with COPD exacerbation and community COPD exacerbation over 8 years of follow-up.

Results Frailty was common by both definitions (17% frail using frailty phenotype, 28% moderate and 4% severely frail using frailty index). The frailty phenotype, but not the frailty index, was associated with lower FEV1. Frailty phenotype (frail vs robust) was associated with mortality (HR 2.33; 95% CI 1.84 to 2.96), MACE (2.73; 1.66 to 4.49), hospitalisation (incidence rate ratio 3.39; 2.77 to 4.14) hospitalised exacerbation (5.19; 3.80 to 7.09) and community exacerbation (2.15; 1.81 to 2.54), as was frailty index (severe vs robust) (mortality (2.65; 95% CI 1.75 to 4.02), MACE (6.76; 2.68 to 17.04), hospitalisation (3.69; 2.52 to 5.42), hospitalised exacerbation (4.26; 2.37 to 7.68) and community exacerbation (2.39; 1.74 to 3.28). These relationships were similar before and after adjustment for FEV1.

Conclusion Frailty, regardless of age or measure, identifies people with COPD at risk of adverse clinical outcomes. Frailty assessment may aid risk stratification and guide-targeted intervention in COPD and should not be limited to people aged >65 years.

INTRODUCTION

Chronic obstructive pulmonary disease (COPD), characterised by fixed and progressive airflow obstruction, is the third leading cause of death worldwide.1 COPD is also a condition associated with ageing. While it is estimated that 10% of the adult population worldwide may be living with COPD,1 the prevalence increases from <5% in people aged <65 years to >20% in people aged >85 years.2 This has highlighted the need to understand the links between COPD and states associated with ageing, such as frailty.3 4 However, neither frailty nor COPD exclusively affect older people, and there is no clearly defined threshold above which frailty becomes a clinically meaningful concept. Most studies of frailty have focused exclusively on people over the age of 65, in whom frailty is more common. Frailty can affect people across a range of ages,5 6 including people aged <65 years in whom it has been far less frequently studied. The clinical implications of frailty at younger ages remain unclear.

Frailty describes a state of reduced physiological reserve.7 People living with frailty are more vulnerable to decompensation and adverse health outcomes in response to physiological stress. This confers an increased...
risk of a range of outcomes including mortality, hospital admission, adverse drug reactions and falls. COPD is associated with a range of extrapulmonary complications including cardiovascular morbidity, osteoporosis, and muscle weakness, all of which may contribute to frailty.

Frailty is highly prevalent in people with COPD. Most previous studies have focused exclusively on people aged >65 years. However, none of these studies have explored the clinical implications of frailty in younger people with COPD. Furthermore, while some studies have demonstrated an association between frailty and both severity of airflow limitation and mortality in people with COPD, these findings have been inconsistent. It is also not clear if the relationship between frailty and adverse outcomes in COPD is independent of the severity of COPD assessed by airflow limitation.

This study seeks to address these gaps using data from the UK Biobank, a cohort of people aged 40–70, representing a relatively younger age range than most previous studies. It will assess two models of frailty; the frailty index and the frailty phenotype. We aim: (1) to assess the prevalence of frailty in UK Biobank participants with COPD, (2) to explore the relationship between frailty and FEV1 and (3) to quantify the association between frailty and mortality, hospitalisations, major adverse cardiovascular events (MACE) and COPD exacerbations.

METHODS

This is an observational analysis of the prevalence and impact of frailty, assessed using two different definitions, in UK Biobank participants with COPD.

Study population

UK Biobank is a large cohort, recruited by invitation between 2006 and 2010 (5% response rate). Participants were aged between 40 and 70 and had to be registered with a general practitioner and live within 20 miles of one of 22 assessment centres in England, Scotland and Wales. Participants underwent a baseline assessment questionnaire, nurse interview, physical assessment and provided biological samples. Informed consent was also given for linkage to healthcare records including primary care, hospital episode statistics and national mortality records. Currently, linked primary care records are available for 218570 of the original 502333 participants. Participants with available primary care data are similar to the wider UK Biobank cohort in terms of age, sex, socioeconomic status and self-reported long-term conditions (online supplemental appendix 1).

Identifying COPD

Participants with COPD were identified from linked primary care data using a previously validated list of diagnostic codes (Read-codes). This code list has been shown to have a high positive predictive value for COPD (86.5%). We included participants with any relevant code occurring prior to UK Biobank baseline assessment. We did not include people with self-reported COPD if they did not have a corresponding primary care Read code.

Spirometry

We assessed the severity of COPD using spirometry data. We relied primarily on spirometry values coded in primary care records in the 2-year period prior to baseline assessment, as the quality of spirometry undertaken in primary care is known to be high.

Where no primary care measures were available, we used spirometry data from UK Biobank baseline assessment. These measurements were taken using a Vitalograph Pneumotrac 6800 according to American Thoracic Society/European Respiratory Society guidelines. No postbronchodilator measurements were taken. Criteria for acceptable spirometry values from UK Biobank assessment data were taken from previous UK Biobank studies and are described in full in online supplemental appendix 1.

We did not use spirometry to confirm the diagnosis of COPD as UK Biobank spirometry was not postbronchodilator, and previous studies demonstrated that the addition of spirometry only marginally improves the positive predictive value of the diagnostic codes used to identify COPD.

For all analyses using spirometry, we performed sensitivity analyses based on primary care values and UK Biobank values separately.

Assessing frailty

We used two different definitions of frailty, the frailty index and the frailty phenotype, which we analysed in parallel. These are described briefly here with full details in the online supplemental appendix 1.
| Total | Frailty phenotype | Total | Frailty index |
|-------|------------------|-------|--------------|
|       | Robust | Pre-frail | Frail | Robust | Mild frailty | Moderate frailty | Severe frailty |
| Total N | 3131 | 979 | 1518 | 514 | 467 | 1671 | 872 | 121 |
| Age | | | | | | | | |
| Mean (SD) | 61.9 (5.9) | 61.7 (5.9) | 62.2 (5.8) | 61.5 (5.9) | 61.4 (6.3) | 62.1 (5.9) | 61.8 (5.7) | 60.8 (5.6) |
| Sex | | | | | | | | |
| Female (%) | 1413 (45.1%) | 409 (41.8%) | 717 (47.2%) | 235 (45.7%) | 185 (39.6%) | 749 (44.8%) | 421 (48.3%) | 58 (47.9%) |
| Male (%) | 1718 (54.9%) | 570 (58.2%) | 801 (52.8%) | 279 (54.3%) | 282 (60.4%) | 922 (55.2%) | 451 (51.7%) | 63 (52.1%) |
| Socioeconomic status | | | | | | | | |
| Quintile 1 (most affluent) | 367 (11.7%) | 174 (17.8%) | 158 (10.4%) | 25 (4.9%) | 79 (16.9%) | 218 (13%) | 65 (7.5%) | 5 (4.1%) |
| Quintile 2 | 399 (12.7%) | 170 (17.4%) | 180 (11.9%) | 42 (8.2%) | 67 (14.3%) | 236 (14.1%) | 89 (10.2%) | 7 (5.8%) |
| Quintile 3 | 520 (16.6%) | 202 (20.6%) | 240 (15.8%) | 60 (11.7%) | 97 (20.8%) | 285 (17.1%) | 121 (13.9%) | 17 (14%) |
| Quintile 4 | 670 (21.4%) | 191 (19.5%) | 344 (22.7%) | 104 (20.2%) | 101 (21.6%) | 355 (21.2%) | 197 (22.6%) | 17 (14%) |
| Quintile 5 (most deprived) | 1170 (37.4%) | 241 (24.6%) | 593 (39.1%) | 282 (54.9%) | 121 (25.9%) | 575 (34.4%) | 399 (45.8%) | 75 (62%) |
| Missing | 5 | 1 | 3 | 1 | 2 | 2 | 1 | 0 |
| Ethnicity | | | | | | | | |
| White | 3041 (97.1%) | 960 (98.1%) | 1478 (97.4%) | 499 (97.1%) | 446 (95.5%) | 1628 (97.4%) | 849 (97.4%) | 118 (97.5%) |
| Other | 66 (2.9%) | 14 (1.9%) | 31 (2.6%) | 13 (2.9%) | 6 (4.5%) | 36 (2.6%) | 22 (2.6%) | 3 (2.5%) |
| Missing | 24 | 5 | 9 | 2 | 15 | 7 | 2 | 0 |
| BMI | | | | | | | | |
| <18.5 | 52 (1.7%) | 15 (1.5%) | 18 (1.2%) | 17 (3.3%) | 7 (1.5%) | 30 (1.8%) | 14 (1.6%) | 1 (0.8%) |
| 18.5–24.9 | 853 (27.2%) | 307 (31.4%) | 410 (27.2%) | 114 (22.2%) | 171 (36.6%) | 482 (28.8%) | 183 (21%) | 17 (14%) |
| 25–29.9 | 1169 (37.3%) | 439 (44.8%) | 558 (36.8%) | 141 (27.4%) | 194 (41.5%) | 666 (39.9%) | 277 (31.8%) | 32 (26.4%) |
| ≥30 | 996 (31.8%) | 218 (22.3%) | 515 (33.9%) | 229 (44.6%) | 87 (18.6%) | 472 (28.2%) | 370 (42.4%) | 67 (55.4%) |
| Missing | 61 | 0 | 17 | 8 | 21 | 28 | 4 | |
| Smoking | | | | | | | | |
| Never | 494 (15.8%) | 192 (19.6%) | 238 (15.7%) | 47 (9.1%) | 96 (20.6%) | 289 (17.3%) | 93 (10.7%) | 16 (13.2%) |
| Previous | 1628 (52%) | 541 (55.3%) | 790 (52%) | 249 (48.4%) | 223 (47.8%) | 887 (53.1%) | 461 (52.9%) | 57 (47.1%) |
| Current | 972 (31%) | 238 (24.3%) | 477 (31.4%) | 211 (41.1%) | 134 (28.7%) | 482 (28.8%) | 309 (35.4%) | 47 (38.8%) |
| Missing | 37 | 8 | 13 | 7 | 14 | 13 | 9 | 1 |
| Alcohol frequency | | | | | | | | |
| Never/special occasions only | 914 (29.2%) | 194 (19.8%) | 436 (28.7%) | 237 (46.1%) | 86 (18.4%) | 427 (25.6%) | 347 (39.8%) | 54 (44.6%) |
| One to four times a week | 1229 (39.2%) | 434 (44.3%) | 609 (40.1%) | 151 (29.4%) | 205 (43.9%) | 694 (41.5%) | 290 (33.3%) | 40 (33.1%) |
| One to three times a month | 327 (10.4%) | 108 (11%) | 156 (10.3%) | 53 (10.3%) | 48 (10.3%) | 178 (10.7%) | 85 (9.7%) | 16 (13.2%) |
A frailty index is a non-weighted count of age-related deficits (including comorbidities, symptoms, functional limitations, and laboratory values). The frailty index is calculated by summing deficits and dividing by the total number of possible deficits.

**Outcomes**

We assessed the following outcomes by linkage to prospective mortality registers: all-cause mortality, hospitalisations, MACE, hospitalisation with COPD exacerbation, community COPD exacerbation, and all-cause hospitalisations (excluding ‘elective’ admissions). MACE was defined as the composite of death, non-fatal myocardial infarction, or stroke. Mortality was ascertained through linkage to national mortality registers. Hospitalisations were defined as any hospital admission coded as ‘urgent’ or ‘emergency’ (excluding ‘elective’ admissions). MACE was defined using the International Classification of Diseases 10th Edition (ICD-10).

**Table 1 Continued**

| Frailty phenotype | Total | Robust (24.8%) | Pre-frail (20.4%) | Frail (14%) | Frailty index | Robust (25.3%) | Mild frailty (22%) | Moderate frailty (16.9%) | Severe frailty (9.1%) |
|-------------------|-------|----------------|-------------------|-------------|--------------|----------------|-------------------|---------------------|---------------------|
| Daily or almost daily | 643 (20.5%) | 243 (24.8%) | 310 (20.4%) | 72 (14%) | 118 (25.3%) | 367 (22%) | 147 (16.9%) | 11 (9.1%) |
| Missing | 18 | 0 | 7 | 1 | 10 | 5 | 3 | 0 |
| FEV1 (% predicted) | | | | | | | | | |
| >70% | 1173 (37.5%) | 449 (45.9%) | 545 (35.9%) | 147 (28.6%) | 215 (46%) | 607 (36.3%) | 309 (35.4%) | 42 (34.7%) |
| 50%-70% | 1020 (32.6%) | 321 (32.8%) | 500 (32.9%) | 172 (33.5%) | 141 (30.2%) | 561 (33.6%) | 277 (31.8%) | 41 (33.9%) |
| 30%-50% | 518 (16.5%) | 136 (13.9%) | 264 (17.4%) | 100 (19.5%) | 68 (14.6%) | 300 (18%) | 141 (16.2%) | 9 (7.4%) |
| <30% | 109 (3.5%) | 11 (1.1%) | 65 (4.3%) | 26 (5.1%) | 15 (3.2%) | 60 (3.6%) | 30 (3.4%) | 4 (3.3%) |
| Missing | 311 | 62 | 144 | 69 | 28 | 143 | 115 | 25 |

**Medication**

| Medication | Total | Robust (55.9%) | Pre-frail (32.8%) | Frail (13.9%) | Robust (55.9%) | Pre-frail (32.8%) | Frail (13.9%) |
|------------|-------|----------------|-------------------|-------------|--------------|----------------|-------------|
| Inhaled corticosteroid | 1888 (60.3%) | 547 (55.9%) | 1158 (76.3%) | 334 (65.0%) | 233 (49.9%) | 1027 (61.5%) | 556 (63.8%) |
| LABA | 2315 (73.9%) | 668 (68.2%) | 1241 (74.3%) | 403 (78.4%) | 289 (61.9%) | 143 (63.8%) | 99 (81.8%) |
| LAMA | 1896 (60.6%) | 481 (49.1%) | 968 (63.8%) | 375 (73.0%) | 213 (45.6%) | 1006 (60.2%) | 582 (66.7%) |

*Based on prescription within the 6 months prior to baseline.

BMI, body mass index; LABA, long-acting beta-agonist; LAMA, long-acting muscarinic antagonist.
Revision (ICD-10) codes from mortality records (cardiovascular death) and hospital episode statistics (non-fatal myocardial infarction (I21) or stroke (I63-I64)). Hospitalised COPD exacerbations were defined using previously validated ICD-10 codes (acute exacerbation of COPD (J44.0 or J44.1) or lower respiratory tract infection (J22) codes in any position, or COPD code (J44.9) in first position of a hospital episode).34

Community COPD exacerbations were identified using a previously validated combination of primary care diagnostic codes, symptom codes and prescriptions.35 We defined an exacerbation as either (1) a medical diagnosis of lower respiratory tract infection of acute exacerbation of COPD, (2) prescription of COPD-specific antibiotic combined with oral corticosteroid prescription or (3) two or more respiratory symptoms recorded on the same day as prescription of COPD-specific antibiotics or oral corticosteroids. These criteria were applied after excluding events occurring on the same day as codes suggesting routine annual COPD reviews or provision of rescue medication.

Statistical analysis
The overall distribution of each frailty measure was summarised descriptively using bar plots. The relationship between frailty and baseline characteristics was summarised using descriptive statistics (means and SD or counts and percentages for continuous and categorical variables, respectively). For the frailty index, we summarised this data using categories of the frailty index (robust, mild, moderate, severe) as described above.

To assess the relationship between each frailty measure and adverse clinical outcomes, we used Cox-proportional hazards models (for all-cause mortality and MACE, modelling time to first event for MACE) and negative binomial models (for all-cause hospitalisations, hospitalised COPD exacerbations and community COPD exacerbations). For MACE, a cause-specific model was used, with participants dying of other causes being censored at death with event status set to ‘0’. All models were initially adjusted for age, sex, socioeconomic status, body mass index, smoking and alcohol frequency (model 1) and then additionally adjusted for FEV1 (expressed as a percentage of predicted FEV1 based on age, height and ethnicity) (model 2). Negative binomial models also included an offset term of log observation time. In all models, fractional polynomials were used to model non-linear associations between numerical variables (frailty index, age, socioeconomic status and percent predicted FEV1). We assessed interactions using product terms between frailty and age and between frailty and percent predicted FEV1. This was to assess whether the association between frailty and outcomes varied depending on age or severity of COPD. Interaction terms were retained if they improved model fit (assessed using Akaike Information Criterion).

In sensitivity analyses, we repeated all of the above analyses restricting the sample to those with primary-care-based spirometry values (as UK Biobank spirometry data were not postbronchodilator). We also repeated all analyses using FEV1 expressed as an absolute value instead of as a percentage of predicted FEV1.

Finally, in post hoc analyses, we modelled the relationship between frailty and mortality, and between frailty and hospital admissions in the full cohort (with available primary care data), including a term for the interaction between frailty and COPD. This was to assess whether any relationship between frailty and mortality or hospitalisation was similar in people with and without COPD.

All analyses were performed using R.

Patient and public involvement
Patients were not involved in the planning and conduct of this research.

RESULTS
We identified 3132 UK Biobank participants with a COPD-specific primary care diagnostic code prior to baseline assessment (flow diagram shown in online supplemental appendix 1). Of these, 2820 had spirometry data (2203 of which were from primary care data recorded up to 2 years before baseline assessment, with 617 relying on UK Biobank spirometry), 3011 (96%) had complete data on frailty phenotype variables and 3131 (99.9%) had sufficient data to calculate the frailty index. The total number of participants included in each analysis is shown in the flow diagram in online supplemental appendix 1. The prevalence of frailty was 17% (n=514) using the frailty phenotype, while with the frailty index 28% (n=872) had moderate frailty and 4% (n=121) had severe frailty. For both frailty measures, prevalence was higher in people with COPD than in the wider cohort online supplemental appendix 1. Baseline characteristics are shown in table 1. The relationship between frailty and per cent predicted FEV1 is shown in figure 1. Airflow limitation was modestly lower in frailty based on the frailty phenotype (with considerable overlap in the distributions). However, this relationship was not seen between airflow limitation and the frailty index.

The relationship between frailty and clinical outcomes is summarised in figure 2. Using both the frailty index and the frailty phenotype definition, presence of frailty was associated with greater risk of all-cause mortality, MACE, all-cause hospitalisations, hospitalisation with COPD exacerbation and community COPD exacerbation. For MACE, CIs for different levels of frailty index, and for prefrailty and frailty, were overlapping. The relative effect of frailty on each of these outcomes was similar before and after adjusting for airflow limitation, with only modest attenuation of the effect estimates.

The predicted risk of clinical outcomes at different levels of frailty and airflow obstruction are shown in figure 3 (all-cause mortality and MACE), figure 4...
(all-cause hospitalisation and hospitalised COPD exacerbations) and online supplemental appendix 1 (community COPD exacerbations).

At all levels of frailty, the risk of all-cause mortality rose in a non-linear fashion with lower FEV1. There was no evidence of statistical interaction between either frailty definition and FEV1 or between age and either frailty or FEV1. This implies that, although the relative increase in mortality risk with frailty was similar at all levels of airflow obstruction, the absolute difference in mortality risk between ‘robust’ and ‘frail’ individuals was greatest in participants with lower FEV1. Furthermore, although the relative impact of frailty did not vary with age, absolute risk of outcomes is also therefore greater among older participants at any given level of frailty.

For MACE, the relationship with airflow limitation, as well as with frailty, was more modest. However, both were independently associated with a higher risk of MACE.

For hospitalisations and COPD exacerbations (hospitalised or community), there was a clear increase in risk with both airflow limitation and with frailty (figure 4 and online supplemental appendix 1). As with mortality and MACE, there was no evidence of statistical interaction.

In sensitivity analyses based on primary care-coded spirometry data, all results were similar including the relationship between frailty and FEV1 and the relationship between frailty and clinical outcomes adjusting for FEV1. Findings were also similar when using raw FEV1 values rather than per cent-predicted FEV1. Finally, the relationship between frailty and mortality and between frailty and hospital admissions, on the relative scale, was...
similar between people with and without COPD (with no evidence of statistical interaction, shown in the online supplemental appendix 1).

**DISCUSSION**

Frailty is common in ‘middle-aged’ as well as older people with COPD and is associated with a range of adverse health outcomes. In UK Biobank participants with COPD, aged between 40 and 70, frailty prevalence was 17% using the frailty phenotype, while using the frailty index 28% had moderate and 4% had severe frailty. The frailty phenotype, but not the frailty index, was associated with lower percent-predicted FEV1. Both frailty definitions were associated with higher all-cause mortality, MACE, hospitalisations and both hospitalised and community COPD exacerbations. The relationship with each of these adverse outcomes was independent of the degree of airflow limitation, for both frailty definitions. However, the difference in absolute risk between frail and robust participants was greatest in those with severe airflow limitation. These findings demonstrate that frailty is a common and clinically significant concept in people with COPD, including those aged <65 years in whom it is not routinely identified and has been infrequently studied.

Our findings that frailty in COPD is associated with mortality independently of FEV1 are consistent with some previous studies, although some have shown null associations after adjustment for age and FEV1. These studies varied in their frailty definition, sample size and length of follow-up. Frailty has also been associated with exacerbations in two cross-sectional and one longitudinal study. Our findings that frailty was common in people with COPD are in keeping with previous epidemiological studies of frailty in COPD and the wider literature of the broad physiological implications of COPD. COPD impacts multiple organ systems and is often associated with muscle weakness, osteoporosis and malnutrition. The severity of COPD is best characterised by a multi-dimensional assessment reflecting these broad impacts. For example, the BODE (Body-mass index, airflow Obstruction, Dyspnea, and Exercise) index comprises four domains (body mass index, FEV1, dyspnoea assessed using the modified Medical Research Council scale and exercise capacity based on the 6 min walking distance). It is used to assess the severity of COPD, and it is a superior predictor of mortality in COPD than FEV1 alone. Domains of the BODE index have considerable overlap with features of the frailty phenotype (eg, weight loss and slow walking speed) and are commonly-used deficits within the frailty index. However, the extent to which frailty is caused by these features of COPD, or reflects a physiological decline distinct from COPD, is not clear. The development of frailty is multifactorial with multiple potential causal mechanisms. Many of these, including environmental exposures, systemic inflammation and altered body composition, are closely linked to COPD (either as common causal factors, such as environmental exposures, or as sequelae of COPD that may contribute to the development of frailty). As frailty development is multifactorial, this is likely to vary between individuals and may also differ depending on the measure used to define frailty.

**Figure 3** This plot shows the predicted 10-year risk of all-cause mortality and MACE based on frailty status and forced expiratory volume in 1 second (FEV1). Coloured lines indicate the point estimates for each level of frailty, with shaded areas showing the corresponding 95% CIs. Results adjusted for age, sex, socioeconomic status, smoking and alcohol frequency. MACE, major adverse cardiovascular event.

**Figure 4** This plot shows the predicted 10-year risk of all-cause hospitalisation and hospitalised COPD exacerbation based on frailty status and forced expiratory volume in 1 second (FEV1). Coloured lines indicate the point estimates for each level of frailty, with shaded areas showing the corresponding 95% CIs. Results adjusted for age, sex, socioeconomic status, smoking and alcohol frequency. COPD, chronic obstructive pulmonary disease.
Frailty is a dynamic concept. Longitudinal studies have shown that COPD is associated with the transition from a robust to a frail state using the frailty phenotype. Conversely, some people with frailty and COPD undergoing pulmonary rehabilitation show a marked improvement in frailty status. Therefore, while COPD may be a risk factor for frailty progression, the shared features may offer opportunities for interventions targeting both frailty status and COPD. The observation that frailty may improve in the context of pulmonary rehabilitation, as described by Maddocks et al., is consistent with recent reviews of interventions targeting frailty in general, in which exercise and nutritional interventions have shown the most promise in ameliorating frailty. Identification of people with COPD and frailty may, therefore, be beneficial for both identification of risk and for targeted intervention. Our findings demonstrate that this identification should not be limited to ‘older’ people with COPD, as frailty is prevalent across a wide age range and associated with a range of clinically important outcomes.

Strengths of this study include its large sample size and prospective linkage to a wide range of healthcare outcomes. We also used validated definitions, based on linked diagnostic codes, to identify baseline COPD and subsequent exacerbations. The range of variables available from the UK Biobank baseline assessment also allows the analysis of two separate measures of frailty. However, there are some important limitations. Our definition of the frailty phenotype was adapted from the available from the UK Biobank baseline assessment also in frailty status. Therefore, while COPD may be a risk factor for frailty progression, the shared features may offer opportunities for interventions targeting both frailty status and COPD. The observation that frailty may improve in the context of pulmonary rehabilitation, as described by Maddocks et al., is consistent with recent reviews of interventions targeting frailty in general, in which exercise and nutritional interventions have shown the most promise in ameliorating frailty. Identification of people with COPD and frailty may, therefore, be beneficial for both identification of risk and for targeted intervention. Our findings demonstrate that this identification should not be limited to ‘older’ people with COPD, as frailty is prevalent across a wide age range and associated with a range of clinically important outcomes.

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Conclusion
Our findings demonstrate that frailty is common in people with COPD, including those under 65 years of age, and has clinically significant implications for this population regardless of which frailty definition is used. This relationship is independent of the degree of airflow limitation. Identification of frailty in people with COPD may aid risk stratification and identification of those who may benefit from targeted interventions. For this to be beneficial, frailty assessment would need to become integrated into the routine monitoring and management of COPD.

Contributors PH, JL, JKG, DAM and FM designed the study and wrote the analysis plan. BIN is the data holder under UK Biobank project 14151. PH performed the analysis. PH, BDJ, JKG, JL, DAM and FM interpreted the findings. PH wrote the first draft. PH, BDJ, JKG, JL, DAM and FM reviewed this and subsequent drafts and approved the final version for submission. PH, BDJ, BIN, DAM and FM had full access to the data. FM is the guarantor.

Funding PH is funded by a Medical Research Council Clinical Research Training Fellowship (Grant reference MR/S021949/1).

Competing interests FM is the principal supervisor of PH (first author) who is funded by a MRC Clinical Research Training Fellowship (Grant reference: MR/S021949/1) which supported PH to do this work. FM is also a principal investigator or co-investigator on grants funded by the MRC, NIHR, Wellcome, CSO, and EPSRC to undertake multimorbidity research. The funds go to FM’s institution, the University of Glasgow.

Patient and public involvement Patients and/or the public were not involved in the design, conduct, or reporting, or dissemination plans of this research.

Patient consent for publication Not applicable.

Ethics approval The UK Biobank has full ethical approval from the NHS National Research Ethics Service (16/NW/0274). All participants gave informed consent for participation in UK Biobank. Access to UK Biobank data was granted under project 14151. Participants gave informed consent to participate in the study before taking part.

Provenance and peer review Not commissioned; externally peer reviewed.

Data availability statement Data may be obtained from a third party and are not publicly available. The UK Biobank data that support the findings of this study are available from the UK Biobank (www.ukbiobank.ac.uk), subject to approval by UK Biobank.

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Supplementary appendix: Frailty in COPD: an analysis of prevalence and clinical impact using UK Biobank

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UK Biobank: Comparison of participants with linked GP data versus those without GP data available

|                                    | Whole cohort (n=502,533) | GP data available (n=211,597) | No GP data available (n=290,936) |
|------------------------------------|--------------------------|-------------------------------|---------------------------------|
| Mean age (sd)                      | 56.5 (8.1)               | 56.5 (8.1)                    | 56.5 (8.1)                      |
| Sex (%)                            |                          |                               |                                 |
| Male                               | 229,132 (45.6%)          | 96,060 (45.4%)                | 133,072 (45.7%)                |
| Female                             | 273,401 (54.4%)          | 115,537 (54.6%)               | 157,864 (54.3%)                |
| Socioeconomic status               |                          |                               |                                 |
| Quintile 1 (most affluent)         | 100,663 (20.1%)          | 42,155 (20.0%)                | 58,508 (20.1%)                 |
| 2                                  | 100,096 (19.9%)          | 41,628 (19.7%)                | 58,468 (20.1%)                 |
| 3                                  | 100,398 (20.0%)          | 43,378 (20.5%)                | 57,020 (19.6%)                 |
| 4                                  | 100,375 (20.0%)          | 42,531 (20.1%)                | 57,844 (19.9%)                 |
| Quintile 5 (most deprived)        | 100,378 (20.0%)          | 41,581 (19.7%)                | 58,797 (20.2%)                 |
| Self-reported LTC count*           |                          |                               |                                 |
| 0                                  | 172,565 (34.5%)          | 71,572 (34.0%)                | 100,993 (34.8%)                |
| 1                                  | 163,680 (32.7%)          | 68,987 (32.7%)                | 94,693 (32.7%)                 |
| 2                                  | 95,211 (19.0%)           | 40,353 (19.1%)                | 54,858 (18.9%)                 |
| 3                                  | 43,113 (8.6%)            | 18,702 (8.9%)                 | 24,411 (8.4%)                  |
| 4                                  | 16,732 (3.3%)            | 7,175 (3.4%)                  | 9,557 (3.3%)                   |
| 5                                  | 6,056 (1.2%)             | 2,580 (1.2%)                  | 3,476 (1.2%)                   |
| 6 or more                          | 3,331 (0.7%)             | 1,428 (0.7%)                  | 1,903 (0.7%)                   |

Note that the LTC count displayed here is based on baseline assessment centre self-report of LTCs, with conditions based on the original list of conditions used in the main analysis, adapted for UK Biobank baseline self-reported data. These definitions were not used in the main analysis as equivalent (self-reported) data are not available for SAIL.

Quantification of frailty index

Frailty index deficits taken from Williams DM, Jylhävä J, Pedersen NL, Hägg S. A frailty index for UK Biobank participants. The Journals of Gerontology: Series A. 2019 Mar 14;74(4):582-7.

https://doi.org/10.1093/gerona/gly094

| Deficit                          | Coding                                      |
|----------------------------------|---------------------------------------------|
| Glaucoma *                       | Categorised 0/1                             |
| Cataracts *                      | Categorised 0/1                             |
| Hearing difficulty               | Categorised 0/1                             |
| Migraine *                       | Categorised 0/1                             |
| Dental problems                  | Categorised 0/1 for none vs. any            |
| Self-rated health                | 0 – excellent; 0.25 – good; 0.5 – fair, 1 – poor |
| Fatigue: frequency of tiredness / lethargy in last two weeks | 0, 0.25, 0.5, 1, respectively |
| Sleep: experience of sleeplessness/insomnia | Categorised 0, 0.5, 1, respectively |
| Depressed feelings: frequency in last two weeks | 0 – not at all, 0.5 – several days, 0.75 -- more than half, 1 – nearly every day |
|-----------------------------------------------|--------------------------------------------------------------------------|
| Self-described nervous personality | Categorised 0/1 |
| Severe anxiety/panic attacks * | Categorised 0/1 |
| Common to feel loneliness | Categorised 0/1 |
| Sense of misery (ever/never) | Categorised 0/1 |
| Infirmitory: long-standing illness or disability | Categorised 0/1 |
| Falls in last year | 0 - no fall, 0.5 - one fall, 1 - more than one fall |
| Fractures/broken bones in last five years | Categorised 0/1 |
| Diabetes * | Categorised 0/1 |
| Myocardial infarction * | Categorised 0/1 |
| Angina * | Categorised 0/1 |
| Stroke * | Categorised 0/1 |
| High blood pressure * | Categorised 0/1 |
| Hypothyroidism * | Categorised 0/1 |
| Deep-vein thrombosis * | Categorised 0/1 |
| High cholesterol * | Categorised 0/1 |
| Breathing: wheeze in last year | Categorised 0/1 |
| Pneumonia * | Categorised 0/1 |
| Chronic bronchitis/emphysema * | Categorised 0/1 |
| Asthma * | Categorised 0/1 |
| Rheumatoid arthritis * | Categorised 0/1 |
| Osteoarthritis * | Categorised 0/1 |
| Gout * | Categorised 0/1 |
| Osteoporosis * | Categorised 0/1 |
| Hayfever, allergic rhinitis or eczema * | Categorised 0/1 |
| Psoriasis * | Categorised 0/1 |
| Any cancer diagnosis * | Categorised 0/1 |
| Multiple cancers diagnosed (number reported) | Categorised 0/1 |
| Chest pain | Categorised 0/1 |
| Head and/or neck pain | Categorised 0/1 |
| Back pain | Categorised 0/1 |
| Stomach/abdominal pain | Categorised 0/1 |
| Hip pain | Categorised 0/1 |
| Knee pain | Categorised 0/1 |
| Whole-body pain | Categorised 0/1 |
| Facial pain | Categorised 0/1 |
| Sciatica * | Categorised 0/1 |
| Gastric reflux * | Categorised 0/1 |
| Hiatus hernia * | Categorised 0/1 |
| Gall stones * | Categorised 0/1 |
| Diverticulitis * | Categorised 0/1 |
### Quantification of the frailty phenotype

Taken from Hanlon P, Nicholl BI, Jani BD, Lee D, McQueenie R, Mair FS. Frailty and pre-frailty in middle-aged and older adults and its association with multimorbidity and mortality: a prospective analysis of 493 737 UK Biobank participants. The Lancet Public Health. 2018 Jul 1;3(7):e323-32.

https://doi.org/10.1016/S2468-2667(18)30091-4

| Frailty phenotype variable definitions adapted for UK Biobank |
|---------------------------------------------------------------|
| **Weight loss**                                               |
| Self-reported: “Compared with one year ago, has your weight changed?” (response: yes, lost weight=1, other=0) |
| **Exhaustion**                                                |
| Self-reported: “Over the past two weeks, how often have you felt tired or had little energy?” (response: more than half the days or nearly every day=1, other=0) |
| **Low physical activity**                                     |
| Self-reported: UK Biobank physical activity questionnaire. We classified the responses into: none (no physical activity in the last 4 weeks), low (light DIY activity [eg, pruning, watering the lawn] only in the past 4 weeks), medium (heavy DIY activity [eg, weeding, lawn mowing, carpentry and digging], walking for pleasure, or other exercises in the past 4 weeks), and high (strenuous sports in the past 4 weeks) (response: none or light activity with a frequency of once per week or less=1, medium or heavy activity, or light activity more than once per week=0) |
| **Slow walking pace**                                         |
| Self-reported: “How would you describe your usual walking pace?” (response: slow=1, other=0) |
| **Low grip strength**                                         |
| Measured grip strength (sex and body-mass index adjusted cutoffs taken from Fried et al) |
Selection of participants

283,963 excluded as no primary care data (similar to rest of cohort in terms of age, sex, socioeconomic status and prevalence of long-term conditions)

215,438 excluded as no diagnosis of COPD

3132 with COPD diagnostic code

311 excluded from analyses involving FEV1

3011 complete data for frailty phenotype
3131 with complete data for frailty index

2820 with valid spirometry data
- Primary care coded spirometry in 2203
- UK Biobank assessment centre spirometry in 617

Linkage with:
- Hospital episode statistics
- Mortality register
- Prospective primary care diagnostic and prescribing data

Aim 1: Analysis of frailty prevalence
Aim 2: Analysis of relationship of frailty with FEV1
Aim 3: Analysis of frailty and clinical outcomes
Comparison of frailty prevalence with full cohort

| Frailty phenotype      | COPD          | No COPD       |
|------------------------|---------------|---------------|
| Total                  | 3132          | 215439        |
| Robust                 | 979 (31.3%)   | 125207 (58.1%)|
| Pre-frail              | 1518 (48.5%)  | 79484 (36.9%) |
| Frail                  | 514 (16.4%)   | 7319 (3.4%)   |
| Missing                | 121           | 3429          |

| Frailty index          | COPD          | No COPD       |
|------------------------|---------------|---------------|
| Total                  |               |               |
| Robust                 | 467 (14.9%)   | 112289 (52.1%)|
| Mild                   | 1671 (53.4%)  | 88142 (40.9%) |
| Moderate               | 872 (27.9%)   | 13919 (6.5%)  |
| Severe                 | 121 (3.9%)    | 1089 (0.5%)   |
| Missing                | 1             | 349           |

Prevalence of domains of frailty phenotype

| Domain                  | Total with deficit (%) | Total missing |
|-------------------------|------------------------|---------------|
| Low grip strength       | 870 (28.3%)            | 55            |
| Weight loss             | 547 (17.5%)            | 9             |
| Exhaustion              | 748 (23.9%)            | 8             |
| Slow walking speed      | 1098 (35.8%)           | 62            |
| Low physical activity   | 709 (23.2%)            | 71            |
Distributions of frailty

Legend: Bar plot showing the distribution of each frailty measure in UK Biobank participants with COPD. The numbers above the bars indicate the total number of participants with each frailty status/frailty index value.

Scatter plot of frailty index (numerical values) and percent predicted FEV1
Comparing distribution of airflow limitation using primary care spirometry and UK Biobank spirometry

![Graphs showing relationship between frailty and FEV1 comparing primary care and UK Biobank spirometry.](image-url)
Relationship between frailty and clinical outcomes, adjusting for primary care spirometry only

### Frailty and adverse clinical outcomes

Before and after adjustment for airflow limitation (primary care data only)

| Frailty level | Total N | N events | Model 1 | Model 2 |
|---------------|---------|----------|---------|---------|
| **Frailty index** |         |          |         |         |
| All-cause mortality |         |          |         |         |
| Robust        | 467     | 77       | (ref)   | (ref)   |
| Mild          | 1071    | 2371     | 1.34 (1.04–1.72) | 1.39 (1.04–1.87) |
| Moderate      | 872     | 268      | 1.66 (1.28–2.16) | 1.75 (1.28–2.39) |
| Severe        | 121     | 41       | 1.96 (1.33–2.99) | 2.54 (1.57–4.1)  |
| Major adverse cardiovascular event |         |          |         |         |
| Robust        | 467     | 9        | (ref)   | (ref)   |
| Mild          | 1071    | 92       | 3.03 (1.47–6.26) | 3.42 (1.37–8.53) |
| Moderate      | 872     | 64       | 4.19 (1.9–8.4)  | 4.33 (1.7–11.07) |
| Severe        | 121     | 16       | 7.76 (2.27–18.36) | 7.93 (2.55–24.68) |
| All-cause hospitalisation |         |          |         |         |
| Robust        | 467     | 384      | (ref)   | (ref)   |
| Mild          | 1071    | 8401     | 1.62 (1.38–1.99) | 1.68 (1.34–2.11) |
| Moderate      | 872     | 5756     | 2.01 (1.69–2.39) | 2.3 (2.24–3.66)  |
| Severe        | 121     | 475      | 4.73 (3.33–6.73) | 5.22 (2.09–9.7)  |
| COPD exacerbation (community) |         |          |         |         |
| Robust        | 467     | 1594     | (ref)   | (ref)   |
| Mild          | 1071    | 939      | 1.76 (1.27–2.44) | 1.79 (1.23–2.6)  |
| Moderate      | 872     | 744      | 2.5 (1.75–3.56)  | 2.63 (1.69–4.22) |
| Severe        | 121     | 159      | 4.77 (2.72–8.38) | 3.88 (1.86–7.2)  |
| Frailty phenotype |         |          |         |         |
| All-cause mortality |         |          |         |         |
| Robust        | 979     | 159      | (ref)   | (ref)   |
| Pre-frail     | 1518    | 376      | 1.49 (1.24–1.91) | 1.37 (1.1–1.71)  |
| Fragile       | 514     | 199      | 2.38 (1.91–2.97) | 2.26 (1.74–2.93) |
| Major adverse cardiovascular event |         |          |         |         |
| Robust        | 979     | 35       | (ref)   | (ref)   |
| Pre-frail     | 1518    | 84       | 1.65 (1.94–2.33) | 1.27 (0.8–2.03)  |
| Fragile       | 514     | 49       | 2.63 (1.85–4.19) | 2.15 (1.24–3.73) |
| All-cause hospitalisation |         |          |         |         |
| Robust        | 979     | 988      | (ref)   | (ref)   |
| Pre-frail     | 1518    | 2431     | 1.72 (1.48–2)  | 1.56 (1.31–1.83) |
| Fragile       | 514     | 1617     | 3.72 (3.06–4.51) | 3.29 (2.64–4.1)  |
| COPD exacerbation (community) |         |          |         |         |
| Robust        | 979     | 3706     | (ref)   | (ref)   |
| Pre-frail     | 1518    | 8331     | 1.46 (1.29–1.65) | 1.38 (1.2–1.56)  |
| Fragile       | 514     | 4071     | 2.33 (1.97–2.74) | 2.32 (1.92–2.79) |
| COPD exacerbation (hospitalisation) |         |          |         |         |
| Robust        | 979     | 238      | (ref)   | (ref)   |
| Pre-frail     | 1518    | 888      | 2.37 (1.85–3.05) | 2.02 (1.54–2.66) |
| Fragile       | 514     | 725      | 6.25 (4.58–8.52) | 5.19 (3.7–7.28)  |
Relationship between frailty and clinical outcomes, adjusting for UK Biobank spirometry only

**Frailty and adverse clinical outcomes**

**Before and after adjustment for airflow limitation (UK Biobank spirometry data only)**

| Frailty level | Total N | N events | Model 1 | Model 2 |
|---------------|---------|----------|---------|---------|
| **All-cause mortality** |         |          |         |         |
| Robust        | 467     | 77       | (ref)   | (ref)   |
| Mild          | 1671    | 389      | 1.34 (1.04–1.72) | 1.23 (0.91–1.66) |
| Moderate      | 872     | 268      | 1.66 (1.28–2.16) | 1.63 (1.18–2.25) |
| Severe        | 121     | 41       | 1.96 (1.33–2.90) | 2.23 (1.34–3.69) |
| **Major adverse cardiovascular event** |         |          |         |         |
| Robust        | 467     | 9        | (ref)   | (ref)   |
| Mild          | 1671    | 92       | 3.03 (1.47–6.26) | 2.45 (1.11–5.4) |
| Moderate      | 872     | 64       | 4.19 (1.9–9.3) | 3.21 (1.47–7.33) |
| Severe        | 121     | 16       | 7.75 (3.27–18.36) | 5.68 (2.53–17.01) |
| **All-cause hospitalisation** |         |          |         |         |
| Robust        | 467     | 384      | (ref)   | (ref)   |
| Mild          | 1671    | 2371     | 1.8 (1.47–2.2) | 1.69 (1.34–2.14) |
| Moderate      | 872     | 2160     | 2.01 (1.69–2.39) | 2.08 (1.89–2.55) |
| Severe        | 121     | 475      | 4.73 (3.33–6.73) | 3.36 (2.1–5.37) |
| **COPD exacerbation (community)** |         |          |         |         |
| Robust        | 467     | 1584     | (ref)   | (ref)   |
| Mild          | 1671    | 8401     | 1.62 (1.38–1.89) | 1.47 (1.23–1.76) |
| Moderate      | 872     | 5766     | 2.01 (1.69–2.39) | 2.08 (1.89–2.55) |
| Severe        | 121     | 888      | 2.39 (1.77–3.23) | 2.14 (1.46–3.14) |
| **COPD exacerbation (hospitalisation)** |         |          |         |         |
| Robust        | 467     | 146      | (ref)   | (ref)   |
| Mild          | 1671    | 939      | 1.76 (1.27–2.44) | 1.48 (0.98–2.16) |
| Moderate      | 872     | 744      | 2.5 (1.75–3.65) | 3.01 (1.99–4.61) |
| Severe        | 121     | 159      | 4.77 (2.72–8.38) | 3.64 (1.75–7.56) |
| **Frailty phenotype** |         |          |         |         |
| **All-cause mortality** |         |          |         |         |
| Robust        | 979     | 159      | (ref)   | (ref)   |
| Pre-frail     | 1518    | 376      | 1.49 (1.24–1.81) | 1.24 (0.99–1.56) |
| Frail         | 514     | 199      | 2.38 (1.91–2.97) | 2.01 (1.52–2.66) |
| **Major adverse cardiovascular event** |         |          |         |         |
| Robust        | 979     | 35       | (ref)   | (ref)   |
| Pre-frail     | 1518    | 84       | 1.55 (1.04–2.33) | 1.66 (1.27–2.27) |
| Frail         | 514     | 49       | 2.63 (1.65–4.19) | 3.35 (1.83–6.1) |
| **All-cause hospitalisation** |         |          |         |         |
| Robust        | 979     | 888      | (ref)   | (ref)   |
| Pre-frail     | 1518    | 2431     | 1.72 (1.49–2) | 1.64 (1.37–1.96) |
| Frail         | 514     | 1617     | 3.72 (3.06–4.51) | 3.38 (2.63–4.35) |
| **COPD exacerbation (community)** |         |          |         |         |
| Robust        | 979     | 3706     | (ref)   | (ref)   |
| Pre-frail     | 1518    | 8031     | 1.46 (1.29–1.65) | 1.21 (1.05–1.4) |
| Frail         | 514     | 4071     | 2.33 (1.97–2.74) | 1.89 (1.53–2.33) |
| **COPD exacerbation (hospitalisation)** |         |          |         |         |
| Robust        | 979     | 238      | (ref)   | (ref)   |
| Pre-frail     | 1518    | 888      | 2.37 (1.85–3.05) | 1.83 (1.35–2.48) |
| Frail         | 514     | 725      | 6.25 (4.38–8.52) | 4.85 (3.27–7.19) |
Relationship between frailty, FEV1 and outcomes, comparing main analysis to UK Biobank spirometry only
