SPECTRAL PROPERTIES OF BIPOLAR MINIMAL SURFACES
IN $S^4$

HUGUES LAPOINTE

Abstract. The $i$-th eigenvalue of the Laplacian on a surface can be viewed as a functional on the space of Riemannian metrics of fixed area. Extremal points of these functionals correspond to surfaces admitting minimal isometric immersions into spheres. Recently, critical metrics for the first eigenvalue were classified on tori and on Klein bottles. The present paper is concerned with extremal metrics for higher eigenvalues on these surfaces. We apply a classical construction due to Lawson. For the bipolar surface $\tilde{\tau}_{r,k}$ of the Lawson’s torus or Klein bottle $\tau_{r,k}$ it is shown that:

1. If $rk \equiv 0 \mod 2$, $\tilde{\tau}_{r,k}$ is a torus with an extremal metric for $\lambda_{4r-2}$.
2. If $rk \equiv 1 \mod 4$, $\tilde{\tau}_{r,k}$ is a torus with an extremal metric for $\lambda_{2r-2}$.
3. If $rk \equiv 3 \mod 4$, $\tilde{\tau}_{r,k}$ is a Klein bottle with an extremal metric for $\lambda_{r-2}$.

Furthermore, we find explicitly the $S^1$-equivariant minimal immersion of the bipolar surfaces into $S^4$ by the corresponding eigenfunctions.

1. Introduction and main results

1.1. Extremal metrics for eigenvalues. Let $\Delta$ be the Laplacian on a closed surface $M$ with metric $g$. In local coordinates, if $g = \sum g_{ij} dx_i dx_j$,

$$\Delta_g f = -\frac{1}{\sqrt{|g|}} \sum \frac{\partial}{\partial x^i} \left( \sqrt{|g|} g^{ij} \frac{\partial f}{\partial x^j} \right)$$

Let

$$0 = \lambda_0(M, g) < \lambda_1(M, g) \leq \lambda_2(M, g) \leq \ldots \leq \lambda_i(M, g) \leq \ldots$$

be the eigenvalues of the Laplacian. For any constant $t > 0$, $\lambda_i(M, tg) = \frac{\lambda_i(M, g)}{t}$.

Let us consider the following functional which is invariant under dilations,

$$\Lambda_i(M, g) = \lambda_i(M, g) Area(M, g)$$

A result of Korevaar ([10]) shows that there exists a constant $C > 0$ such that for any $i > 0$ and surface $M$ of genus $\gamma$, we have

$$\Lambda_i(M, g) \leq C(\gamma + 1)i$$

For a given surface $M$ and a number $i$, one may ask what is the supremum of $\Lambda_i(M, g)$ and which metric realizes it. It is a very difficult question with just a few answers known, all but one for $i = 1$:

1. $\sup \Lambda_1(S^2, g) = 8\pi$, and the maximum is the canonical metric on $S^2$ (see [7]).
2. $\sup \Lambda_1(\mathbb{R}P^2, g) = 12\pi$, and the maximum is the canonical metric on $\mathbb{R}P^2$ (see [11]).
The study of $\Lambda_i$-maximal metrics motivates the following question. For every $i$, consider $\Lambda_i : g \to \mathbb{R}_+$ as a functional on the space of Riemannian metrics on a surface. What are the critical points of this functional? Functionals $\Lambda_i$ continuously depend on $g$ but in general are not differentiable. However, for any analytic deformation $g_t$, $\lambda_i(M, g_t)$ is left and right differentiable with respect to $t$ (see [1]).

Following [3] we propose the following definition for the extremality of a metric:

**Definition 1.1.1.**

If 
\[
\frac{d}{dt}\lambda_i(M, g_t)|_{t=0^+} \leq \lambda_i(M, g_0)|_{t=0^-}
\]

for any analytic deformation $g_t$, preserving area and such that $g_0 = g$, the metric $g$ is said to be extremal for the functional $\lambda_i$.

Clearly, metrics (1)–(5) above are extremal since they are global maxima. An example of extremal metric for $\lambda_1(T^2, g)$ which is not a global maximum is given by the Clifford torus. This metric together with (3) are the only critical metrics for $\lambda_1$ on a torus ([3]). Metrics (1), (2), (4) are unique extremal metrics for $\lambda_1$ on a sphere, projective plane and Klein bottle, respectively.

The following proposition proved in section [14] is an extension to higher eigenvalues of what is shown for $\lambda_1$ in [3].

**Proposition 1.1.2.** A metric $g$ is extremal for the functional $\lambda_i$ if and only if there exists a finite family of eigenfunctions $\phi_1, \ldots, \phi_m$ of $\lambda_i(M, g)$ with $\sum_{k=1}^m d\phi_k \otimes d\phi_k = g$, and $j < i$ implies $\lambda_j(M, g) < \lambda_i(M, g)$.

Since extremal eigenvalues are always multiple [3], the last condition of the Proposition means that extremality applies only to the eigenvalue of the minimal rank.

It follows from Takahashi’s theorem (see [17]) that Proposition 1.1.2 is equivalent to the following statement: $(\phi_1, \ldots, \phi_m)$ is a minimal isometric immersion of $M$ into a sphere $\mathbb{S}^{m-1}$ of radius $\sqrt{\frac{2}{\lambda_i(g)}}$. In other words, any minimal surface in a sphere carries an extremal metric for an eigenvalue of some rank $i$. Motivated by (4), in Theorem [1.3.1] we compute the ranks of extremal eigenvalues on Lawson’s bipolar surfaces $\tilde{\tau}_{r,k}$. As a byproduct, we find explicitly the eigenfunctions providing the minimal immersion of a bipolar surface into $\mathbb{S}^4$, see Theorem [1.3.2].

**Remark.** It would be interesting to find also ranks of the extremal eigenvalues on Lawson’s surfaces themselves (they are minimally immersed tori and Klein bottles in $\mathbb{S}^3$). Methods developed in the present paper are not applicable straightforwardly to this problem.

### 1.2. Construction of bipolar surfaces

The Lawson’s surface $\tau_{r,k}$, with $r > k > 0$ and $(r, k) = 1$, is minimally immersed into $\mathbb{S}^3$ by

\[
I : \mathbb{R}^2 \to \mathbb{R}^4 \quad I(u, v) = (\cos ru \cos v, \sin ru \cos v, \cos ku \sin v, \sin ku \sin v)
\]
Remark. If following, for any \( r, k \in \mathbb{N} \) with \( 0 < k < r \) and \( (r, k) = 1 \) we have the following,

1. If \( rk \equiv 0 \mod 2 \), \( \hat{\tau}_{r,k} \) is a torus and carries an extremal metric for the functional \( \lambda_{4r-2} \).
2. If \( rk \equiv 1 \mod 2 \), \( \hat{\tau}_{r,k} \) is a torus and carries an extremal metric for the functional \( \lambda_{2r-2} \).
3. If \( rk \equiv 3 \mod 4 \), \( \hat{\tau}_{r,k} \) is a Klein bottle and carries an extremal metric for the functional \( \lambda_{r-2} \).

**Remark.** If \( rk \equiv 3 \mod 4 \), the double cover of \( \hat{\tau}_{r,k} \), which is a torus, is an extremal metric for \( \lambda_{2r-2} \).

For example, the Klein bottle \( \hat{\tau}_{5,3} \) and the double cover of \( \hat{\tau}_{3,1} \) have extremal metrics for \( \lambda_3 \) and \( \lambda_1 \) respectively. Any other \( \hat{\tau}_{r,k} \) has an extremal metric for \( \lambda_i \) with \( i \geq 5 \) (for \( \hat{\tau}_{2,1}, i = 6 \)). It would be interesting to determine the type of the critical metrics on bipolar surfaces. The only one that we know is \( \hat{\tau}_{3,1} \) which is a global maximum.

In order to prove Theorem 1.3.1 we need to analyze the behaviour of the zeros of the eigenfunctions providing the minimal immersion of a bipolar surface. Explicit expressions for the eigenfunctions are given by

**Theorem 1.3.2.** Set \( n = r + k \), \( m = r - k \) if \( rk \equiv 0 \mod 2 \), and \( n = \frac{r+k}{2} \), \( m = \frac{r-k}{2} \) if \( rk \equiv 1 \mod 2 \). The minimal isometric immersion of a bipolar surface \( \hat{\tau}_{r,k} \rightarrow S^4 \subset \mathbb{R}^5 \) is given by

\[
(\varphi_0(y), \cos(mx)\varphi_1(y), \sin(mx)\varphi_1(y), \cos(nx)\varphi_2(y), \sin(nx)\varphi_2(y)) \in S^4,
\]
where $\varphi_0$, $\varphi_1$ and $\varphi_2$ are defined by the following elliptic functions:

\begin{equation}
\begin{aligned}
\varphi_0(y) &= \sqrt{\frac{n^2 + m^2}{2n^2}} \left(1 - \frac{n^2 - m^2}{2\wp(y; a_{11}, a_{12}) + b_1}\right) \\
\varphi_1(y) &= \frac{1}{\sqrt{2}} \left(-1 + \frac{n^2}{2\wp\left(y + \frac{1}{n}K\left(\frac{m}{n}\right); a_{21}, a_{22}\right) + b_2}\right) \\
\varphi_2(y) &= \sqrt{\frac{n^2 - m^2}{2n^2}} \left(1 + \frac{m^2}{2\wp(y; a_{31}, a_{32}) + b_3}\right)
\end{aligned}
\end{equation}

and the matrices of constants are

\[
(a_{ij}) = \begin{pmatrix}
n^2m^2 + \frac{(m^2+n^2)^2}{12} & -\frac{n^2m^2(m^2+n^2)}{6} + \frac{(m^2+n^2)^3}{216} \\
m^2(m^2-n^2) + \frac{(2m^2-n^2)^2}{12} & \frac{m^2(m^2-n^2)(2m^2-n^2)}{6} - \frac{(2m^2-n^2)^3}{216} \\
n^2(n^2-m^2) + \frac{(2n^2-m^2)^2}{12} & \frac{n^2(n^2-m^2)(2n^2-m^2)}{6} - \frac{(2n^2-m^2)^3}{216}
\end{pmatrix}
\]

\[
(b_i) = \begin{pmatrix}
\frac{n^2-5m^2}{6} \\
\frac{4m^2+n^2}{6} \\
\frac{4n^2-5m^2}{6}
\end{pmatrix}
\]

### 1.4 Plan of the paper.
The paper is organized as follows. In the next section, using a spectral-theoretic approach, we construct $S^1$-equivariant minimal tori and Klein bottles in $S^4$. In section 3 we show that these minimal surfaces and the Lawson’s bipolar surfaces are isometric, and prove Theorem 1.3.2. In section 4 we prove Theorem 1.3.1 and find the ranks of the extremal eigenvalues on Lawson’s bipolar surfaces. In the last section we compare our approach to constructing $S^1$-equivariant minimal tori in $S^4$ with other methods developed in [6, 18].

### 2. Equivariant immersion of a torus in $S^4$ by eigenfunctions

#### 2.1 Basic facts.
We consider an arbitrary torus $T^2$ as a fundamental domain in $\mathbb{R}^2$ for the group of motions generated by $(x, y) \to (x + 2\pi, y)$, $(x, y) \to (x, y + a)$, where $a > 0$ is a constant. We suppose its metric conformal and invariant under the actions $(x, y) \to (x + t, y)$, $(x, y) \to (x, -y)$, $t \in \mathbb{R}$. It is given by

\[ f(y)(dx^2 + dy^2) \]

where $f(y) = f(-y) = f(y + a) > 0$.

The Laplacian on $T^2$ is then

\[ \Delta = -\frac{1}{f(y)} \left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2}\right) \]

and the differential equation satisfied by the eigenfunction $\varphi$ of eigenvalue $\lambda$ is

\[ \Delta \varphi = \lambda \varphi \]
2.2. Immersion by the eigenfunctions. The first step to prove theorem 13.2 is to construct a torus or Klein bottle $\rho_{n,m}$ admitting a minimal immersion by its eigenfunctions (13.3). It is a simple generalization of what is done in [8]. We suppose the immersion is in $S^4$, with radius 1. Takahashi’s theorem (see [17]) implies that these eigenfunctions are of eigenvalue $\lambda = 2$. This gives the following conditions,

$$\tag{2.2.1} \varphi_0^2 + \varphi_1^2 + \varphi_2^2 = 1$$

$$\tag{2.2.2} (\varphi'_0)^2 + (\varphi'_1)^2 + (\varphi'_2)^2 = m^2 \varphi_1^2 + n^2 \varphi_2^2 = f$$

The $\varphi$-functions must then satisfy this system of second-order differential equations and all have the same period $a$,

$$\tag{2.2.3} \begin{cases} 
\varphi''_0 = -2(m^2 \varphi_1^2 + n^2 \varphi_2^2) \varphi_0 \\
\varphi''_1 = (m^2 - 2(m^2 \varphi_1^2 + n^2 \varphi_2^2)) \varphi_1 \\
\varphi''_2 = (n^2 - 2(m^2 \varphi_1^2 + n^2 \varphi_2^2)) \varphi_2
\end{cases}$$

We consider only the case where $\varphi_1(y)$ is odd and both $\varphi_0(y)$ and $\varphi_2(y)$ are even functions. This is because in this case we can find initial conditions giving periodic solutions. The following initial conditions are required,

$$\tag{2.2.4} \begin{cases} 
\varphi'_0(0) = 0 \\
\varphi_1(0) = 0 \\
\varphi'_2(0) = 0
\end{cases}$$

We can find two first integrals (see [8] and [11]) for the system (2.2.3),

$$E_1 = (m^2 \varphi_1^2 + n^2 \varphi_2^2)^2 - (m^4 \varphi_1^2 + n^4 \varphi_2^2) + m^2 (\varphi'_1)^2 + n^2 (\varphi'_2)^2$$

$$E_2 = n^2 (n^2 - m^2) \varphi_2^2 (\varphi_2^2 - 1) + m^2 (n^2 - m^2) \varphi_2^4 \varphi_1^2 + m^2 \varphi_2^2 (\varphi'_1)^2 - 2m^2 \varphi_1 \varphi_2 \varphi'_1 \varphi'_2 + (\varphi'_2)^2 ((n^2 - m^2) + m^2 \varphi_1^2)$$

Since (2.2.2) and (2.2.4) give $\varphi'_1(0)^2 = n^2 \varphi_2^2(0)$, the expression for $E_1$ reduces to

$$E_1(0) = n^4 \varphi_2^4(0) - n^2 (n^2 - m^2) \varphi_2^2(0)$$

It admits a minimum for $\varphi_2^2(0) = \frac{n^2 - m^2}{2n^2}$, which corresponds to a periodic solution. Indeed if we set

$$\tag{2.2.5} \begin{cases} 
\varphi_0(0) = \sqrt{\frac{n^2 + m^2}{2n^2}} \\
\varphi'_1(0) = \frac{\sqrt{n^2 - m^2}}{2} \\
\varphi_2(0) = \frac{\sqrt{n^2 - m^2}}{2n^2}
\end{cases}$$

the solution lies on the intersection of the sphere and the cylinder of equation

$$2\varphi_1^2 + \frac{2m^2}{n^2 + m^2} \varphi_0^2 = 1$$

By setting

$$\varphi_0(y) = \sqrt{\frac{n^2 + m^2}{2n^2}} \cos \theta(y) \quad \varphi_1(y) = \frac{1}{\sqrt{2}} \sin \theta(y)$$

we have the following differential equation and solution for $\theta(y)$,

$$(\theta')^2 = n^2 - m^2 \cos^2 \theta$$
Using this solution we find

\[
(2.2.6) \quad a = \frac{1}{n} \int_{0}^{2\pi} \frac{d\theta}{\sqrt{1 - \left(\frac{m}{n}\right)^2 \cos^2 \theta}} = \frac{4}{n} K \left( \frac{m}{n} \right)
\]

where \( K \left( \frac{m}{n} \right) \) is a complete elliptic integral of the first kind.

In particular, we have \( \varphi_2(y) \neq 0 \) for any \( y \) and the following metric

\[
(2.2.7) \quad 2f(y)(dx^2 + dy^2) = \left[ (m^2 + n^2) - 2m^2 \cos^2 \theta(y) \right] (dx^2 + dy^2)
\]

After some substitutions, the ODEs of (2.2.3) can be integrated to become the following uncoupled system of first-order,

\[
\begin{align*}
(\varphi')^2 &= \frac{2n^2m^2}{n^2+m^2} \phi_0^4 - (m^2 + n^2)\phi_0^2 + \frac{m^2+n^2}{2} \\
(\phi_1')^2 &= -2m^2\phi_1^2 + (2m^2 - n^2)\phi_1^2 + \frac{n^2-m^2}{2} \\
(\phi_2')^2 &= -2n^2\phi_2^4 + (2n^2 - m^2)\phi_2^2 + \frac{m^2-n^2}{2}
\end{align*}
\]

Each of these equations has a solution in terms of the Weierstrass \( \wp \) function, given by (13.3). If \( n \) is odd or \( m \) is even, \( \rho_{n,m} \) is the torus with structure presented in section 2.1, where the period \( a \) and the metric \( f(y) \) are given by (2.2.3) and (2.2.4) respectively.

In the case \( n \) is even and \( m \) is odd, we may consider the domain of the eigenfunctions to be a Klein bottle \( K \) instead of a torus. Indeed, we can then enlarge the group of motions by adding the generator \( (x,y) \to (x+\pi,-y) \) to the ones described in 2.1. The eigenfunctions are still well-defined because of the parity of the \( \varphi \)-functions. When \( n \) is even and \( m \) is odd, \( \rho_{n,m} \) is the Klein bottle with metric \( f(y) \) in (2.2.3) and period \( a \) in (2.2.4).

**Remark.** As in [4], the first integral \( E_1 \) can be considered as an Hamiltonian \( \hat{H}(q_1, q_2, p_1, p_2) \) by setting,

\[
\begin{align*}
q_1 &= \varphi_1 & p_1 &= 2m^2\varphi_1' \\
q_2 &= \varphi_2 & p_2 &= 2n^2\varphi_2'
\end{align*}
\]

Since \( E_2 \) is a second independent first integral, the equations for \( \varphi_1 \) and \( \varphi_2 \) in (2.2.3) form an integrable system. We can also find \( E_2 \) from \( E_1 \) by writing \( (\varphi_0\varphi'_0)^2 \) in the two following ways

\[
(\varphi_1\varphi_2')^2 = (1 - \varphi_1^2 - \varphi_2^2)(m^2\varphi_1^2 + n^2\varphi_2^2 - (\varphi_1')^2 - (\varphi_2')^2)
\]

3. **Bipolar surfaces of the Lawson’s tori or Klein bottles**

3.1. **Properties of \( \tilde{r}_{\tau,k} \).** The explicit parametric representation of (1.2.1) is given by,

\[
\tilde{I}(u,v) = \frac{1}{\sqrt{r^2 \cos^2 v + k^2 \sin^2 v}} \left( \begin{array}{c}
-\kappa \sin v \cos v \\
\kappa \sin v \cos v \\
-r \cos^2 v \sin ku \cos ru - k \sin^2 v \sin ru \cos ku \\
r \cos^2 v \sin ru \cos ku + k \sin^2 v \sin ku \cos ru \\
r \cos^2 v \sin ku \sin ru + k \sin^2 v \cos ru \cos ku \\
r \cos^2 v \cos ku \cos ru - k \sin^2 v \sin ru \sin ku
\end{array} \right)
\]
If we apply the following orthogonal transformation to this vector we get a cleaner result,

\[
A = \frac{1}{\sqrt{2}} \begin{pmatrix}
1 & 1 & 0 \\
-1 & 1 & 1 \\
0 & -1 & 1
\end{pmatrix}
\]

(3.1.1)

\[
A \circ \tilde{I}(u, v) = \frac{1}{\sqrt{8} \sqrt{r^2 \cos^2 v + k^2 \sin^2 v}} \begin{pmatrix}
(r - k) \sin 2v \\
(r + k) \sin 2v \\
[r(k - 2) + (r + k) \cos 2v] \sin(r - k)u \\
[r(k + 2) + (r - k) \cos 2v] \sin(r + k)u \\
[r(k + 2) + (r - k) \cos 2v] \cos(r + k)u \\
(r - k) \cos 2v \cos((r - k)u)
\end{pmatrix}
\]

Since the image of \(A \circ \tilde{I}\) is always orthogonal to the vector \((r + k, k - r, 0, 0, 0, 0)\), we may consider it to lie in \(S^4 \subset \mathbb{R}^6\) instead of \(S^5\).

The bipolar surface immersed by \(\text{SPECTRAL PROPERTIES OF BIPOLAR MINIMAL SURFACES IN } S^4\) has the metric

\[
\frac{(r^2 - (r^2 - k^2) \sin^2 v)^2 + r^2 k^2}{r^2 - (r^2 - k^2) \sin^2 v} \begin{pmatrix}
\frac{du^2}{4} + \frac{dv^2}{(n + m)^2 - 4mn \sin^2 v}
\end{pmatrix}
\]

(3.1.2)

If \(rk \equiv 0 \mod 2\), the group of motions of the bipolar surface is generated by \((u, v) \rightarrow (u, v + \pi), (u, v) \rightarrow (u + 2\pi, v)\) in \(\mathbb{R}^2\), which corresponds to a torus. Thus if \(\tau_{r,k}\) is a Klein bottle, \(\tilde{\tau}_{r,k}\) is a torus. Furthermore, we can set \(n = r + k\) and \(m = r - k\) to write the previous metric as

\[
g_0 = \frac{(n + m)^2 - 4mn \sin^2 v}{(n + m)^2 - 4mn \sin^2 v} \begin{pmatrix}
\frac{du^2}{4} + \frac{dv^2}{(n + m)^2 - 4mn \sin^2 v}
\end{pmatrix}
\]

(3.1.3)

**Lemma 3.1.4.** If \(rk \equiv 1 \mod 4\), \(\tilde{\tau}_{r,k}\) is a torus. If \(rk \equiv 3 \mod 4\), \(\tilde{\tau}_{r,k}\) is a Klein bottle.

**Proof.** If \(rk \equiv 1 \mod 2\), we can set \(n = \frac{r + k}{2}\) and \(m = \frac{r - k}{2}\). The previous parametric representation and metric of the bipolar surface then become,

\[
A \circ \tilde{I}(u, v) = \frac{1}{\sqrt{2} \sqrt{(n + m)^2 - 4mn \sin^2 v}} \begin{pmatrix}
m \sin 2v \\
m \sin 2v \\
[m + n \cos 2v] \sin 2nu \\
[n + m \cos 2v] \sin 2mu \\
[n + m \cos 2v] \cos 2nu \\
[m + n \cos 2v] \cos 2mu
\end{pmatrix}
\]

(3.1.5)

\[
g_0' = \frac{(n + m)^2 - 4mn \sin^2 v}{(n + m)^2 - 4mn \sin^2 v} \begin{pmatrix}
\frac{du^2}{4} + \frac{dv^2}{(n + m)^2 - 4mn \sin^2 v}
\end{pmatrix}
\]

(3.1.6)
If $rk \equiv 1 \mod 4$, then $n$ is odd and $m$ is even. If we study the group of invariant transformations for \[3.1.5\], we find it to be generated by $(u, v) \to (u + \pi, v)$, $(u, v) \to (u, v + \pi)$ in $\mathbb{R}^2$. This corresponds to a torus.

Now if $rk \equiv 3 \mod 4$, $n$ is even and $m$ is odd so that we may add the generator $(u, v) \to H_1^{-1} \circ H_2 \circ H_1(u, v)$ to the group and turn the torus in a Klein bottle. The following transformations are used,

$$H_1(u, v) = \left( u, \frac{1}{n + m} \int_0^v \frac{dv}{\sqrt{1 - \left(\frac{2\sqrt{m/n}}{n+m}\right)^2 \sin^2 v}} \right) = (u, z)$$

$$H_2(u, v) = \left( u + \frac{\pi}{2}, \frac{a}{4} - z \right)$$

This can be verified directly by using some identities, for $\alpha = \frac{m}{n}$ and $\alpha' = \sqrt{\frac{m^2}{n^2}}$. First, we use the following expressions for $\sin v$ and $\cos v$ in \[3.1.5\] and \[3.1.6\] to apply $H_1$,

$$\sin v = \sin \left[ (1 + \alpha)nz, \frac{2\sqrt{\alpha}}{1 + \alpha} \right] = (1 + \alpha) \frac{\sin (nz, \alpha)}{1 + \alpha \sin^2 (nz, \alpha)}$$

$$\cos v = \cos \left[ (1 + \alpha)nz, \frac{2\sqrt{\alpha}}{1 + \alpha} \right] = \frac{\cos (nz, \alpha) \sin (nz, \alpha)}{1 + \alpha \sin^2 (nz, \alpha)}$$

$$\sqrt{(1 + \alpha)^2 - 4\alpha \sin^2 v} = (1 + \alpha) \frac{1 - \alpha \sin^2 (nz, \alpha)}{1 + \alpha \sin^2 (nz, \alpha)}$$

After applying $H_2$, we use the identities below to get back from $z$ to $v$ dependence with $H_1^{-1}$, and show that \[3.1.5\] and \[3.1.6\] are invariant under $(u, v) \to H_1^{-1} \circ H_2 \circ H_1(u, v)$.

$$\frac{1}{m + n} K \left( \frac{2\sqrt{\alpha}}{1 + \alpha} \right) = \frac{1}{n} K (\alpha) = \frac{a}{4}$$

$$sn(-w, \alpha) = -sn(w, \alpha) \quad cn(-w, \alpha) = cn(w, \alpha) \quad dn(-w, \alpha) = dn(w, \alpha)$$

$$sn(w + K(\alpha), \alpha) = \frac{cn(w, \alpha)}{dn(w, \alpha)} \quad cn(w + K(\alpha), \alpha) = -\alpha \frac{sn(w, \alpha)}{dn(w, \alpha)}$$

$$dn(w + K(\alpha), \alpha) = \frac{1}{dn(w, \alpha)}$$

$$sn^2(w, \alpha) + cn^2(w, \alpha) = 1 \quad dn^2(w, \alpha) + \alpha^2 sn^2(w, \alpha) = 1$$

\[\square\]

3.2. Relation between $\tilde{r}_{r,k}$ and $\rho_{n,m}$.

**Theorem 3.2.1.** If $rk \equiv 0 \mod 2$, $\tilde{r}_{r,k}$ is isometric to $\rho_{r+k,r-k}$. Otherwise, $\tilde{r}_{r,k}$ is isometric to $\rho_{r+k,-r-k}$.

**Proof.** We need to define two other transformations

$$H_3(u, z) = \left( u, 2z + \frac{1}{n} K \left( \frac{m}{n} \right) \right) = (x, y)$$

$$H'_3(u, z) = \left( 2u, 2z + \frac{1}{n} K \left( \frac{m}{n} \right) \right) = (x, y)$$
We recall that the metric on $\rho_{n,m}$ (see (3.1.3)) is given by

$$g(n, m) = \left[ \frac{m^2 + n^2}{2} - m^2 \cos^2 \theta(y) \right] (dx^2 + dy^2)$$

When $rk \equiv 0 \mod 2$, we set $n = r + k$ and $m = r - k$. The metric $g_0$ on $\tilde{\tau}_{r,k}$ is given by (3.1.6) and we have

$$H^1_\ast \circ H^1_\ast g(n, m) = g_0$$

When $rk \equiv 1 \mod 2$, we set $n = \frac{r+k}{2}$ and $m = \frac{r-k}{2}$. The metric $g'_0$ on $\tilde{\tau}_{r,k}$ is given by (3.1.6) and we have

$$H^1_\ast \circ H^1_\ast g(n, m) = g'_0$$

This can be verified with the additional identities,

$$\cos \theta(y) = \text{sn} \left[ K(\alpha) - ny, \alpha \right]$$

\begin{align*}
\cos \theta(z) &= -\text{sn} (2nz, \alpha) = -\frac{2\text{sn} (nz, \alpha) \text{cn} (nz, \alpha) \text{dn} (nz, \alpha)}{1 - \alpha^2 \text{sn}^4 (nz, \alpha)} \\
\sin \theta(z) &= \text{cn} (2nz, \alpha) = \frac{1 - 2\text{sn}^2 (nz, \alpha) + \alpha^2 \text{sn}^4 (nz, \alpha)}{1 - \alpha^2 \text{sn}^4 (nz, \alpha)}
\end{align*}

Using lemma 3.1.4 and previous considerations, it is easy to verify that the groups of motions in $\mathbb{R}^2$ correspond to each other, whether the surface is a torus or a Klein bottle. We may then conclude that our surfaces are isometric. \qed

Theorem 1.3.2 follows from the results of Section 2 and Theorem 3.2.1. Note that each coordinate function in (3.1.5) is one of the functions in (1.3.3) multiplied by a scalar.

4. The rank of the extremal eigenvalue of bipolar surfaces

4.1. The spectrum of $\rho_{n,m}$. Let the sequence

$$0 = \lambda_0(g) < \lambda_1(g) \leq \lambda_2(g) \leq \lambda_3(g) \leq \ldots \leq \lambda_k(g) \leq \ldots$$

be the eigenvalues of the Laplacian on a torus (or Klein bottle) represented by $g$, counting multiplicities. Theorem 3.2.1 implies that $\hat{\tau}_{r,k}$ and $\rho_{n,m}$ have the same eigenvalues for the right choice of $n$ and $m$. We will use the $(x, y)$ coordinates of the corresponding $\rho_{n,m}$ to study the spectrum of $\hat{\tau}_{r,k}$.

Since the Laplacian $\Delta$ and the operator $\frac{\partial^2}{\partial y^2}$ on $\rho_{n,m}$ commute in the $(x, y)$ coordinates, the eigenspaces of $\rho_{n,m}$ admit functions of the type $\sin(px)\phi(y)$ and $\cos(px)\phi(y)$ as basis. This gives the following eigenvalue problem for $\phi$ with periodic boundary conditions $\phi(y) = \phi(y + a)$:

$$\phi'' + [\lambda f(y) - p^2 ] \phi = 0$$

(4.1.1)

We may then study the spectrum of the above equation for each $0 \leq p \in \mathbb{N}$ to get the complete spectrum of $\rho_{n,m}$. Note that $f(y)$ is just the metric of $\rho_{n,m}$, i.e.

$$f(y) = \frac{m^2 + n^2}{2} - m^2 \cos^2 \theta(y)$$

with $f(y) = f(-y) = f(y + \frac{\pi}{2}) > 0$.

Haupt’s theorem states that, for each $p$, there exists a sequence of eigenvalues for (4.1.1), counting multiplicities:

$$\gamma_0(p) < \gamma_1(p) \leq \gamma_2(p) < \ldots < \gamma_{2n-1}(p) \leq \gamma_{2n}(p) < \ldots$$
The respective eigenfunctions $\Phi_0$ have no zeros and both $\Phi_{2n-1}$ and $\Phi_{2n}$ have 2n zeros on $[0, a)$. We know that $\gamma_0(0) = 0$ and that $\gamma_0(p) > 0$ if $p > 0$. Since $\varphi_2(y)$ has no zeros, $\gamma_0(n) = 2$.

4.2. Coexistence problem. The first step to prove theorem 1.3.1 is to study the multiplicities in (4.1.1) for a fixed $p$.

**Lemma 4.2.1.** If $0 < \gamma_i(p) < 3$, then $\text{mult}(\gamma_i) = 1$ as an eigenvalue of (4.1.1) with fixed parameter $p$.

**Proof.** If we express the differential equation in the variable $\theta$, we get the following Ince’s equation with boundary condition $\varphi_R, \lambda$.

$$(1 + c_1 \cos 2\theta)\varphi''(\theta) + c_2 \sin(2\theta)\varphi'(\theta) + (c_3 + c_4 \cos 2\theta)\varphi(\theta) = 0$$

$$(4.2.2)$$

with $|c_1| < 1$ ($\alpha = \frac{\pi}{2}$).

A theorem about this equation (see [13] theorem 7.1) states that if there are 2 linearly independent $\pi$-periodic or $2\pi$-periodic solutions associated to the eigenvalue $\lambda$, then the polynomial $Q(\mu) = \mu^2 + 2\mu - \lambda$ has an integral root. This means that there exists an integer $c$ such that $\lambda = c^2 - 1$. This is impossible if $0 < \gamma_i(p) < 3$, so $\text{mult}(\gamma_i) = 1$. 

In particular, for $p = 0, m, n$, the $\varphi$-functions in (1.3.1) are the only eigenfunctions of eigenvalue 2.

4.3. Monotonicity of the spectrum. Set $R = p^2$, $b = \frac{3}{2}$ and let $z_1(y; R, \lambda)$, $z_2(y; R, \lambda)$ be the solutions to (4.1.1) (without the boundary conditions) with the following initial conditions:

$$\begin{align*}
z_1(0) &= 1 \\
\dot{z}_1(0) &= 0 \\
z_2(0) &= 0 \\
\dot{z}_2(0) &= 1
\end{align*}$$

(4.3.1)

We define $\Psi(R, \lambda) = z_1(b; R, \lambda) + z_2'(b; R, \lambda)$ which we assume to be smooth. The oscillation theorem states that $\lambda$ is an eigenvalue of (4.1.1) if and only if $\Psi(R, \lambda)^2 = 4$.

Its proof uses the following identities to find solutions $g(y) = k_1z_1(y) + k_2z_2(y)$ such that $g(y + b) = Cg(y)$ for an undetermined constant $C$.

$$\begin{align*}
z_1(y + b) &= z_1(b)z_1(y) + \dot{z}_1(b)z_2(y) \\
z_2(y + b) &= z_2(b)z_1(y) + z_2'(b)z_2(y)
\end{align*}$$

(4.3.2)

It follows that $C$ must be a root of the characteristic equation $P(x) = x^2 - \Psi x + 1$, (note that $z_1(y)z_2'(y) - z_2(y)\dot{z}_1(y) = 1$). If $\Psi^2 \neq 4$, there are two independent solutions $g_1(y), g_2(y)$ associated to distinct constants $C_1, C_2$. Any linear combination
of them is not a solution of period $2b$ since this would imply that $C_2^2 = C_2^2 = 1$ and contradict the fact that $C_1^2 C_2 = 1$ and $C_1 \neq C_2$. But if $\Psi^2 = 4$, it follows that $C^2 = 1$ and then there exists a periodic solution $g(y + 2b) = C^2 g(y) = g(y)$.

**Lemma 4.3.3.** The functions $\gamma_i(p)$ are strictly increasing in $p$ if $p \geq 0$ and $0 < \gamma_i(p) < 3$.

**Proof.** We want to study locally the $\gamma$-functions defined for $0 < p \in \mathbb{R}$, such that $\Psi(p^2, \gamma_i(p))^2 = 4$. To use the implicit function theorem, we must first verify that $\frac{\partial \Psi}{\partial \lambda} \neq 0$ at any point $(p^2, \gamma_i(p))$. According to the oscillation theorem, $\frac{\partial \Psi}{\partial R}(R, \lambda) = 0$ and $\Psi(R, \lambda)^2 = 4$ implies that $\lambda$ has multiplicity 2. We’ve shown in lemma 4.2.1 that this is impossible if $0 < \lambda < 3$. We conclude that the $\gamma$-functions can be expressed locally as a function of $p$ in the domain concerned.

The derivatives of $\Psi(R, \lambda)$ are
\[
\frac{\partial \Psi}{\partial \lambda} = [z_1(b) - z_2(b)] \int_0^b f(y) z_1 z_2^2 \, dy + z_1'(b) \int_0^b f(y) z_2^2 \, dy - z_2(b) \int_0^b f(y) z_1^2 \, dy
\]
\[
\frac{\partial \Psi}{\partial R} = [z_2'(b) - z_1(b)] \int_0^b z_1 z_2^2 \, dy - z_1'(b) \int_0^b z_2^2 \, dy + z_2(b) \int_0^b z_1^2 \, dy
\]

But $f(y) = f(-y)$ gives $z_1(y) = z_1(-y)$, $z_2(y) = -z_2(-y)$ and
\[
\begin{cases}
  z_1(b) = 2z_1(b/2)z_1'(b/2) - 1 = 1 + 2z_2(b/2)z_1'(b/2) \\
  z_1'(b) = 2z_1(b/2)z_1'(b/2) \\
  z_2(b) = 2z_2(b/2)z_1'(b/2) \\
  z_2'(b) = z_1(b)
\end{cases}
\]

These identities can be verified using (4.3.2) and their derivatives, by putting $y = -b/2$ and solving the linear system obtained. The derivatives of $\Psi$ can then be written
\[
\frac{\partial \Psi}{\partial \lambda} = z_1'(b) \int_0^b f(y) z_2^2 \, dy - z_2(b) \int_0^b f(y) z_1^2 \, dy
\]
\[
\frac{\partial \Psi}{\partial R} = -z_1'(b) \int_0^b z_2^2 \, dy + z_2(b) \int_0^b z_1^2 \, dy
\]

The Wronskian of the system (4.1.1) is a constant $z_1(y)z_2'(y) - z_2(y)z_1'(y) = 1$. Since $z_2'(b) = z_1(b)$ and $\Psi(R, \lambda)^2 = (z_2(b) + z_1(b))^2 = 4$, we have $z_1(b)z_2'(b) = 1$ and $z_3(b)z_1'(b) = 0$. There is exactly one of the terms $z_2(b), z_1'(b)$ that is zero (if it was both, $\frac{\partial \Psi}{\partial x}$ would vanish). In any case we get from the implicit function theorem
\[
\frac{d\gamma_i(p)}{dp^2} = \frac{d\lambda}{dR} = -\frac{\partial \Psi}{\partial \lambda} > 0
\]
if $p > 0$ and conclude that the functions $\gamma_i(p)$ are strictly increasing as functions of $p$ if $p \geq 0$ and $0 < \gamma_i(p) < 3$. \hfill \Box

### 4.4. Multiplicity and parity of the eigenfunctions.

Both $\varphi_0(y)$ and $\varphi_1(y)$ have two zeros on their period $a$. This restricts their rank to $\gamma_1(p)$ or $\gamma_2(p)$ in the sequences of eigenfunctions. Since the $\gamma$-functions are strictly increasing, it must be $\gamma_2(0) = 2$ (for $\varphi_0(y)$) and $\gamma_1(m) = 2$ (for $\varphi_1(y)$). The multiplicity of $\lambda = 2$ is then 5.
At each point \((p^2, \gamma_i(p))\), either \(z_1(b) = 0\) or \(z_2(b) = 0\). We can study the parity of the eigenfunctions using Lemma 4.4.1. If \(z_1(b) = 0\), the eigenfunction is \(z_1(y)\) and even. If \(z_2(b) = 0\), the eigenfunction is \(z_2(y)\) and odd. The eigenfunctions associated to \(\gamma_0(p)\) have no zeros, so they must all be even.

Since \(\varphi_1(y)\) is odd, we know \(z_2(b) = 0\) at \(p = m\). We can extend this equality to all \(0 \leq p \leq m\). Indeed,

\[
A = \left\{ p \in [0, m]| z_1(b; p^2, \gamma_1(p)) \neq 0 \right\} = \left\{ p \in [0, m]| z_2(b; p^2, \gamma_1(p)) = 0 \right\}
\]

because \(0 < \gamma_1(p) < 3\) for \(p \in [0, m]\) and \(z_2(b)\) and \(z_1(b)\) cannot vanish at the same time. The set \(A\) is then non-empty, open and closed in \([0, m]\), so it has to be the whole interval. The eigenfunctions associated to \(\gamma_1(p)\) must then be odd for \(0 \leq p \leq m\).

**Lemma 4.4.1.** On the surface \(\rho_{n,m}\), \(\text{mult}(2) = 5\). If \(\rho_{n,m}\) is a torus, \(\lambda_i = 2\) if and only if \(2(n + m - 1) \leq i \leq 2(n + m + 1)\). If \(\rho_{n,m}\) is a Klein bottle, \(\lambda_i = 2\) if and only if \(2(n + m - 2) \leq i \leq (n + m + 2)\).

**Proof.** We’ve just shown \(\text{mult}(2) = 5\). To find the rank of \(\lambda = 2\), we count \(2(n - 1)\) non-zero eigenvalues smaller than 2 for each \(\gamma_0(p), 0 \leq p \leq n - 1\). The factor 2 is the multiplicity from \(\sin(px)\phi(y)\) and \(\cos(px)\phi(y)\). For \(\gamma_1(p)\), there are \(2(m-1)+1\) non-zero eigenvalues smaller than 2 when \(\rho_{n,m}\) is a torus.

If \(\rho_{n,m}\) is a Klein bottle, \(m\) is odd and \(n\) is even. We must then reject the eigenvalues \(\gamma_0(p)\) when \(p\) is odd (because \(\phi(y)\) is even for \(\gamma_0\) and \(\gamma_1(p)\) when \(p\) is even (because \(\phi(y)\) is odd for \(\gamma_1\)). This gives \(n + m - 3\) non-zero eigenvalues smaller than 2.

**Proof of proposition 1.3.2** If \(\lambda\) is an eigenvalue of \((M, g)\) with multiplicity \(r\), lemma 3.15 in [1] states that for any analytic deformation \(g_t\) such that \(g_0 = g\), there exists \(r\) scalars \(\Pi_j\) and \(r\) functions \(\Phi_j\) depending on \(t\) such that:

1. \(\Delta\Phi_j = \Pi_j\Phi_j\) for any \(j\) and \(t\),
2. \(\Pi_j(0) = \lambda\) for any \(j\),
3. \(\Phi_j\) is orthonormal for any \(t\).

If \(i\) is the smallest integer such that \(\lambda_i(M, g) = \lambda\), then \(g\) will be an extremal metric of \(\lambda_i\) if and only if for any analytic deformation \(g_t\) there are both nonpositive and nonnegative values for \(\Pi'_j(0)\). Indeed, we’ll have

\[
\frac{d}{dt} \lambda_i(M, g_t)|_{t=0^+} = \min_j \Pi'_j(0) \leq 0 \leq \max_j \Pi'_j(0) = \frac{d}{dt} \lambda_i(M, g_t)|_{t=0^-}
\]

An addendum to [3] shows that if there exists a finite family \(\{\phi_k\}\) of eigenfunctions of \(\lambda\) on \((M, g)\) with \(\sum d\phi_k \otimes d\phi_k = g\), then for any deformation \(g_t\)

\[
\min_j \Pi'_j(0) \leq 0 \leq \max_j \Pi'_j(0)
\]

This implies the extremality of \(g\) for the functional \(\lambda_i\), if \(j < i\) implies \(\lambda_j(M, g) < \lambda_i(M, g) = \lambda\).

**Proof of theorem 1.3.2** Since \(\tilde{\tau}_{r,k}\) admits an isometric immersion in \(S^4\) (theorems 1.3.2 and 3.2.1) of eigenfunctions of \(\lambda = 2\), the last proposition implies that \(\tilde{\tau}_{r,k}\) is an extremal metric for some functional \(\lambda_i\). The number \(i\) will be the smallest integer such that \(\lambda_i = 2\) on \(\tilde{\tau}_{r,k}\) and we find it using lemma 4.4.1.
(1) If \( rk \equiv 0 \mod 2 \), \( i = 2((r + k) + (r - k) - 1) = 4r - 2 \).
(2) If \( rk \equiv 1 \mod 4 \), \( i = 2((r + k) + (r - k) - 1) = 2r - 2 \).
(3) If \( rk \equiv 3 \mod 4 \), \( i = \frac{r+k}{2} + \frac{r-k}{2} - 2 = r - 2 \).

\[ \square \]

**Remark.** We may calculate the value of \( \Lambda_i(\tilde{T}_{r,k}) \), where \( i \) is the rank of the extremal eigenvalue given in the preceding theorem. We first calculate the area of a torus \( \rho_{n,m} \) as we did for the period \( A \) in (4.2.6), using the \( \theta \) change of variable.

\[ \text{Area}(\rho_{n,m}) = 2\pi \int_0^a f(y)dy = \pi \int_0^a ((m^2 + n^2) - 2m^2 \cos^2 \theta(y)) dy = \]

\[ 4\pi n \left[ \frac{m^2}{n^2} - 1 \right] K \left( \frac{m}{n} \right) + 2E \left( \frac{m}{n} \right) = 4\pi(n + m)E \left( \frac{2\sqrt{mn}}{m + n} \right) \]

where \( E \left( \frac{2\sqrt{mn}}{m + n} \right) \) is a complete elliptic integral of the second kind. Note that if \( \rho_{n,m} \) is a Klein bottle, the area must be divided by two to get \( \text{Area} \).

Using the correspondence between the surfaces \( \rho_{n,m} \) and \( \tilde{T}_{r,k} \) and the fact that \( \lambda = 2 \) in the case we are interested in, we obtain

(1) If \( rk \equiv 0 \mod 2 \), \( \Lambda_{4r-2}(\tilde{T}_{r,k}) = 16\pi r E \left( \frac{\sqrt{r^2 - k^2}}{r} \right) \).
(2) If \( rk \equiv 1 \mod 4 \), \( \Lambda_{2r-2}(\tilde{T}_{r,k}) = 8\pi r E \left( \frac{\sqrt{r^2 - k^2}}{r} \right) \).
(3) If \( rk \equiv 3 \mod 4 \), \( \Lambda_{r-2}(\tilde{T}_{r,k}) = 4\pi r E \left( \frac{\sqrt{r^2 - k^2}}{r} \right) \).

5. \( S^1 \)-equivariant maps into spheres and minimal surfaces

5.1. Minimal tori in \( S^4 \). The aim of this section is to compare our approach to study minimal immersions in \( S^4 \) with those previously used in [6] and [18]. The minimal immersion given by (5.1.3) is rotationally symmetric in \( S^4 \) for the \( S^1 \)-action represented by the matrices

\[ R(\theta) = \begin{pmatrix}
1 & \cos(m\theta) & -\sin(m\theta) & 0 \\
\cos(n\theta) & \sin(m\theta) & \cos(m\theta) & 0 \\
\sin(n\theta) & \cos(n\theta) & -\sin(n\theta) & 0 \\
0 & \sin(n\theta) & \cos(n\theta) & 0
\end{pmatrix} \]

\[ (5.1.1) \]

Immersions in \( S^4 \) equivariant under the rotations \( R(\theta) \) are studied in [6], using the integrable system approach. Minimal surfaces then correspond to geodesics in the orbit space of \( S^4 \) under \( R(\theta) \), which is parameterized by the variables \( (\rho, \alpha, \psi) \). This space has the following metric and identification in \( S^4 \),

\[ G = \cos^2 \rho(m^2 \cos^2 \alpha + n^2 \sin^2 \alpha)(d\rho^2 + \cos^2 \rho d\alpha^2) + m^2 \sin^2 \alpha \cos^2 \alpha \cos^4 \rho d\psi^2 \]

\[ \begin{pmatrix}
\sin \rho & \cos \rho \cos \alpha & 0 \\
\cos \rho \sin \alpha \cos \psi & \cos \rho \sin \alpha \sin \psi & 0 \\
0 & \cos \rho \sin \alpha \cos \psi & \cos \rho \sin \alpha \sin \psi
\end{pmatrix} \]
Note that the orbit space is homeomorphic to $S^3$ and that closed geodesics in the orbit space correspond to minimal tori in $S^4$. Since a complete set of integrals is found for the geodesic flow, the action angle variables allow the study of closed orbits. Indeed, the geodesic equations admit three independent integrals: $H_0$ which is the velocity squared, $H_1$ and $H_2$ which is the angle between the geodesic and the $\psi = \text{const}$ lines. The subspaces $\psi = \text{const}$ (with $H_2 = 0$) form totally geodesic 2-spheres in the orbit space.

**Proposition 5.1.2.** The solutions of (2.2.3) lie on the totally geodesic 2-sphere defined by $\psi = 0$.

**Proof.** We set the following identitifications between the 2-sphere $\psi = 0$ and the functions in (1.3.3),

\[
\begin{align*}
\varphi_0 &= \sin \rho \\
\varphi_1 &= \cos \rho \cos \alpha \\
\varphi_2 &= \cos \rho \sin \alpha
\end{align*}
\]

The geodesics on this 2-sphere satisfy our system (2.2.3), after a change of variable from the geodesic parameter $s$ to $y$ given by,

\[
\frac{ds}{dy} = m^2 \varphi_1^2 + n^2 \varphi_2^2
\]

□

The Lawson’s bipolar surfaces $\tilde{\tau}_{r,k}$ are also found in [6], where the $(m, n)$ parameters of $R(\theta)$ must be chosen according to the given pair $(r, k)$. It is shown that they lie on the ellipse $2\varphi_1^2 + \frac{n^2 \varphi_2^2}{m^2 + n^2} \varphi_0^2 = 1$ and that they correspond to an extremal point of $H_1$ (with $H_0 = 1$, $H_2 = 0$). Note that in our situation these surfaces were also extremals of our first integral $E_1$.

**Remark.** A general study of equivariant harmonic maps in spheres is found in [18]. The conformal equivariant immersions of $S^1 \times S^1$, given by harmonic maps are shown to correspond to minimal tori.

The system (2.2.3) is found by considering harmonic immersions in $S^4$ and $S^3$-equivariance under the transformations $R(\theta)$ above, after setting to zero the angular momenta $Q_{\alpha \beta}$. The additional integrals $E_0$, $E_m$ and $E_n$ mentioned in this paper correspond to our first integral $E_1$ (in our situation the three are dependent, see [8] for the case $m = 1$ and $n = 2$).

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