The optical torque on small bi-isotropic particles

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Most previous theoretical studies on the optical torque exerted by light on dipolar particles are incomplete. Here we establish the equations for the time-averaged optical torque on dipolar bi-isotropic particles. Due to the interference of scattered fields, it has a term additional to that commonly employed in theory and experiments. Its consequences for conservation of energy, angular momentum, and effects like negative torques, are discussed.

In recent times, and with the steady improvement of particle manipulation techniques, the torque exerted by light on particles is gaining increasing attention. However, in spite of the last advances attained on the theory and experiment interpretation of the optical force (OF) on small particles \[1\]--\[4\], there is no yet a parallel one on the optical torque (OT). Most studies \[5, 6\] have no quantitative assessment with theory or, if theoretical, involve a static formulation \[6, 10–12\] and are incomplete. Here we establish the equations for the time-averaged optical torque on dipolar bi-isotropic particles.

We know few exceptions: reports involving Mie’s calculations for larger particles or sets of spheres \[13, 14\]. However, the latter convey computational procedures that, although yielding rigorous exact results, do not completely allow to see through analytic calculations the details of the different involved physical processes. These, like in the case of optical forces, are clearly illustrated in the equations for the torque on a dipolar object that, being a canonical system, is of great utility both for forthcoming increasingy controlled experiments and interpretation purposes.

In this letter we establish the theory of the OT on dipolar particles. By "dipolar" we mean those in the wide sense, i.e. whose polarizabilities are fully given by the first electric and magnetic partial waves \[8\]--\[10\], (e.g. Mie coefficients if they are spheres). We put forward that the time-averaged OT \(\Gamma\) on a dipolar particle contains a component additional to that \(\Gamma_0\) (named intrinsic torque) commonly derived from static equations and that, for reasons later discussed, coincides with what we shall name extinction optical torque (EOT). This additional component is the recoil optical torque (ROT) due to interference of fields scattered by the particle, and is essential to fulfill the conservation of angular momentum and energy. It is curious, on the other hand, that this ROT implicitly appears as a well-known textbook result \[16\] for the rate of angular momentum radiated by a dipole, even though apparently without being noticed by many modern research works. However, the ROT is essential (as noticed in \[14\] for sets of large particles) to predict new OT effects, such as e.g. the appearance of negative optical torques (NOT). A phenomenon which keeps an analogy with pulling forces \[17–19\] and thus is incomplete. Here we establish the equations for the time-averaged optical torque on dipolar bi-isotropic particles.

\begin{align*}
\langle \Gamma \rangle & = \frac{1}{8\pi} \Re \int_S \mathbf{r} \times [\epsilon(\mathbf{E} \cdot \mathbf{s})\mathbf{E}^* + \mu^{-1}(\mathbf{B} \cdot \mathbf{s})\mathbf{B}^*] - \frac{1}{2}(|\epsilon|E|^2 + |\mu^{-1}|B|^2)s]dS. (\mathbf{r = rs}). \quad (1)
\end{align*}

The angular momentum \(\langle \mathbf{J} \rangle\) has been splitted into the mechanical one: \(\langle \mathbf{J}_{\text{mech}} \rangle\) and that of the wave: \(\langle \mathbf{J}_{\text{field}} \rangle, \langle \mathbf{dJ}_{\text{field}}/dt \rangle = 0\) for these fields. \(\mathbf{T}\) is Maxwell’s stress tensor. \(dS\) denotes the element of any surface \(S\) (which will be considered a sphere for calculation purposes) that encloses the particle, and \(s\) is its local unit outward normal. \(\mathbf{r = rs}\) is a point of \(S\).

The wavefields in Eq. (1) are total fields: the sum of those incident and scattered, denoted by the (i) and (s)-superscripts: \(\mathbf{E}^{(i)} + \mathbf{E}^{(s)}, \mathbf{B}^{(i)} + \mathbf{B}^{(s)}\). Obviously the last two terms of the integrand of (1) do not contribute to \(\langle \Gamma \rangle\).

Let the particle be magnetodielectric and bi-isotropic \[20\], dipolar in the wide sense, for example if a sphere \[7\]...
With the far-zone scattering amplitudes being circularly polarized (CP), (cf. Fig. 1):
\[
p = \alpha_e \mathbf{E}^{(i)} + \alpha_m \mathbf{B}^{(i)}, \quad m = \alpha_m e \mathbf{E}^{(i)} + \alpha_m m \mathbf{B}^{(i)}.
\]

First consider an incident plane wave, circularly polarized (CP), (cf. Fig. 1): \( \mathbf{E}^{(i)} = e \mathbf{e} e^{ik(s \cdot r)} \), \( \mathbf{B}^{(i)} = b \mathbf{e} e^{ik(s \cdot r)} \). The scattered fields at a point \( P \): \( rs \) are
\[
\mathbf{E}^{(s)} = \frac{1}{\epsilon} [3s(s \cdot p) - p] (\frac{1}{r^2} - \frac{ik}{r^2}) \exp(ikr)
- i \sqrt{\frac{\mu}{\epsilon}} k(s \times m) (\frac{1}{r^2} - \frac{ik}{r^2}) \exp(ikr)
+ i \sqrt{\frac{\mu}{\epsilon}} k(s \times p) (\frac{1}{r^2} - \frac{ik}{r^2}) \exp(ikr),
\]
\[
\mathbf{B}^{(s)} = \mu [3s(s \cdot m) - m] (\frac{1}{r^2} - \frac{ik}{r^2}) \exp(ikr)
+ i \sqrt{\frac{\mu}{\epsilon}} k(s \times p) (\frac{1}{r^2} - \frac{ik}{r^2}) \exp(ikr),
\]

With the far-zone scattering amplitudes being circularly polarized (CP), (cf. Fig. 1):
\[
e(s_{xy}) = k^2 \left[ \frac{1}{\epsilon} (s \times p) - s - \sqrt{\frac{\mu}{\epsilon}} (s \times m) \right],
\]
\[
b(s_{xy}) = k^2 [\mu (s \times m) + s + \sqrt{\frac{\mu}{\epsilon}} (s \times p)].
\]

Incident wave has \( s_i = (0, 0, 1) \), and \( e_i = e(1, +i, 0) \), \( b_i = n(1, 0, 0) \); \( n = \sqrt{-\epsilon} \). The upper and lower sign stands for left (LCP) and right (RCP) circular polarization. Introducing Eqs. (3) - (4) into (1), writing \( dS = r^2 \sin \theta d\theta d\phi \), the interference between the incident and scattered fields in the first two terms of (1) yield integrands: \( [(p^* \cdot s) (e_i \cdot s) + 2(p \cdot s) (s \times e_i^*) + (m^* \cdot s) (b_i \cdot s) + 2(m \cdot s) (s \times b_i)] \) which come from the near-zone scattered fields in the products: \( r^3 \epsilon (s \times \mathbf{E}^{(s)})(\mathbf{E}^{(i)} \cdot s) + \epsilon (s \times \mathbf{B}^{(s)})(\mathbf{B}^{(i)} \cdot s) + \mu^{-1} (s \times \mathbf{E}^{(s)})(\mathbf{E}^{(i)} \cdot s) + \mu^{-1} (s \times \mathbf{B}^{(s)})(\mathbf{B}^{(i)} \cdot s) \). Notice that these \( r^{-3} \) near-zone scattered fields [cf. Eqs. (3) and (4)] are those for which there is cancellation of the \( r^{-3} \) factor in the integrand of (1), and hence lead to results independent of the radius of integration \( r \). By contrast, the contribution of far and middle-zone terms give results proportional to \( r^{-2} \) and \( r \), which are zero allowing the integration sphere to be arbitrarily close to the enclosed particle, which is treated like a point. Thus the integrals over the polar and azimuthal angles lead to
\[
< \Gamma_0 > = \frac{1}{2} \Re[(p \times \mathbf{E}^{(i)*}) + (m \times \mathbf{B}^{(i)*})].
\]

< \( \Gamma_0 > \) arising from the interference of the incident and near-zone scattered fields (in particular, when extremely close to the object they are static) comes from the extinction of the incident angular momentum, (in fact of the spin part, SAM), and its transference to the particle. For an incident plane wave there is no contribution of the orbital angular momentum (OAM) to this extinction optical torque (EOT) < \( \Gamma_0 > \).

On the other hand, the interference of the scattered fields in the integrand of (1) contain terms: \( 2[(p^* \cdot s) (p^* \cdot s) + (m \cdot s)(m^* \cdot s)] \) which arise from the product of the middle-zone with the far-zone fields with an \( r \)-dependence: \( r^{-2} \) and \( r^{-1} \), respectively, [cf. Eqs. (3) - (4) or (5) - (6)]: \( r^3 \epsilon (s \times \mathbf{E}^{(s)})(\mathbf{E}^{(i)} \cdot s) + \mu^{-1} (s \times \mathbf{B}^{(s)})(\mathbf{B}^{(i)} \cdot s) \), again cancelling the \( r^{-3} \) factor of the integrand. After angular integration, they lead to the scattering, or recoil, contribution to the optical torque:

\[
< \Gamma^{(s)} > = -\frac{k^3}{3} \epsilon [3(p^* \times p) + \mu(3m^* \times m)].
\]

\( \Im \) means imaginary part. As said, \( < \Gamma^{(s)} > \) equals the rate of radiation of electromagnetic angular momentum to infinity \( < dJ/dt > \) from the oscillating electric and magnetic dipoles [10]. This is logical on taking into account that these dipoles are induced on the particle by the incident wave and then re-radiate. To this component, half is contributed by the OAM and the other half by the SAM.

Therefore, in summary, (1) and (7) and (5) yield the total time-averaged torque on the particle due to an incident plane wave as
\[
< \Gamma > = < \Gamma_0 > + < \Gamma^{(s)} > .
\]
Which contains the contribution of the scattered, or recoil, component \( \langle \Gamma^{(s)} \rangle \), Eq. (8), added to \( \langle \Gamma_0 \rangle \), Eq. (7). The former, like the latter, is an intrinsic torque.

Eq. (11) is the first main contribution of this letter.

In this regard it is interesting that the ROT (8) keeps a formal analogy with the electric-magnetic component [given by \( \Re(\mathbf{p} \times \mathbf{m}^*) \)] of the electromagnetic force on a magnetodielectric dipolar particle, as it also arises by interference of the scattered fields (7). On the other hand, the term \( \langle \Gamma_0 \rangle \), Eq. (7), is formally analogous to the time-averaged Lorentz's force on an electric (magnetic) dipole from a time-varying magnetic (electric) field, given in terms of \( \Re(\mathbf{p} \times \mathbf{m}^*) \). Thus the RT plays a role in the OT as important as the \( \epsilon - m \) electric-magnetic interaction force on an electric-magnetic dipole system. As a matter of fact, like the omission of the \( \epsilon - m \) interaction avoids the prediction of phenomena like Kerker's effects or pulling forces [17-19], that of the RT prevents the obtaining of a NOT, i.e. a torque on the body counterclockwise with respect to the helicity of the incident light.

Let us assume the particle being chiral, then \( \alpha_{em} = -\alpha_{me} \). Introducing now Eqs. (2) into (7) - (9) and using the optical theorem, which expressing the conservation of energy imposes a well-known condition (cf. Eq.(16) of 7) between polarizabilities, which for a chiral particle generalizes to:

\[
\sigma^{(a)}(\epsilon) = \frac{2k^3}{3} \{ \epsilon^{-1} |\alpha_e|^2 + n^2 \mu |\alpha_m|^2 + 2\mu |\alpha_{me}|^2 \} + 2n \Re(\epsilon^{-1} \alpha_e^* \alpha_{me} + \mu \alpha_{me} \alpha_m^*) = \pm 2n \alpha^R + (\alpha_e^I + n^2 \alpha_m^I).
\]

(\( \sigma^{(a)} \) representing the particle absorption cross-section), we write the torque on the chiral particle due to a CP incident plane wave, as:

\[
\langle \Gamma \rangle = \pm \varepsilon \sigma^{(a)} \frac{2k^3}{4\pi k} = \pm \varepsilon \sigma^{(a)} \frac{2k^3}{4\pi k}.
\]

where \( \mathbf{z} \) is the unit vector along \( OZ \) and the signs plus and minus correspond to LCP and RCP, respectively.

Hence the OT on one bi-isotropic (or in particular, chiral) body illuminated by a plane wave is essentially measured by its absorption cross-section. This coincides with previous knowledge derived both from Mie theory and experiments [8, 9, 12] in large purely dielectric isotropic spheres. Therefore, (11) shows that even if the particle is magnetodielectric and bi-isotropic, being dipolar, it experiences an OT due to a plane wave which is essentially given by the absorption cross section, thus the OT being zero if the particle is non absorbing. Unlike the OF, the OT does not change depending on whether the particle satisfies Kerker’s [22, 23] or any other magnetodielectric conditions. Only the absorption cross section plays a role. As seen, this characteristic is a consequence of the conservation of both energy, ruling Eq. (11), and angular momentum, leading to (11). This is the second contribution of this letter.

The aforementioned duality of the OT torque and OF equations on dipolar particles is even more notorious when the incident field is an arbitrary wave. To do this, it is convenient to represent the fields, both in the near and intermediate regions, by the angular spectrum of plane waves, \( \hat{E}_p \).

In this case a calculation with (11) shows that Eq. (9) applies with the ROT \( \langle \Gamma^{(s)} \rangle \) remaining as in (8), however the EOT now becomes instead of \( \langle \Gamma_0 \rangle \):

\[
\langle \Gamma^{(0)} \rangle = \langle \Gamma_0 \rangle + \frac{3}{4k} \left[ 3 \left( \frac{1}{\epsilon} |\mathbf{p} \cdot \nabla| \mathbf{B}^{(i)*} - \mu |\mathbf{m} \cdot \nabla| \mathbf{E}^{(i)*} \right) \right].
\]

Where now the contribution from the OAM to the second term of (12) is half that from the SAM, and \( \langle \Gamma_0 \rangle \), contributed by the SAM, is given by Eq. (4) with the vectors \( \mathbf{E}^{(i)} \) and \( \mathbf{B}^{(i)} \) of course being those of the arbitrary incident field. Notice that the additional terms appearing in (12) are formally analogous to those of the "dipolar force" which in the OF add to the "Lorentz's force terms, and are due to the spatial structure of the incident field. This is further seen by writing (12) as

\[
\langle \Gamma^{(0)} \rangle = - \frac{2}{\langle \Gamma_0 \rangle} + \frac{3}{4k} \left[ 3 \left( \frac{1}{\epsilon} |\mathbf{p} \cdot \nabla| \mathbf{B}^{(i)*} - \mu |\mathbf{m} \cdot \nabla| \mathbf{E}^{(i)*} \right) \right].
\]

Eqs. (12) and (13) are the third main contribution of this letter.

Eq. (13) has a formal analogy with the well-known expression for the extinction OF (i.e. that containing the electric and the magnetic OF) on a magnetodielectric dipolar object [7]. Indeed, adding (13) and (8) in (9), the analog of the OT and the OF on a magnetodielectric dipolar particle is complete as long as the duality of factors \( \mathbf{p} \) and \( \mathbf{m} \), and \( \mathbf{E}^{(i)} \) and \( \mathbf{B}^{(i)} \), appearing in the OT and in the OF is concerned. It should be stressed that now \( \langle \Gamma \rangle \) is no longer proportional to \( \sigma^{(a)} \).

Next, we illustrate this analysis addressing the phenomena of NOT in sets of dipolar particles. We consider two identical dielectric spheres with centers at \( \mathbf{r}_0 = (0, 0, 0) \) and \( \mathbf{r}_0 = (0, R, 0) \), (cf. Fig. 1). Illumination occurs with a plane CP wave. Considering the coupling between the dipoles induced in both particles, the resulting OT on the two sphere system is:

\[
\langle \Gamma \rangle = \pm \varepsilon |\alpha_e|^2 \Re \left\{ \left( \frac{2}{3} k^3 |\alpha_e|^2 + \varepsilon \sigma^{(a)} \frac{2k^3}{4\pi k} \right) \mathbf{R} + \alpha_e^R \mathbf{I} \right\}. \tag{14}
\]

The upper and lower sign of \( \pm \) applying to LCP and RCP, respectively. \( \mathbf{R} \) and \( \mathbf{I} \) denote real and imaginary parts.

Or, by using (10)

\[
\langle \Gamma \rangle = \pm \varepsilon |\alpha_e|^2 \Re \left\{ \alpha_e^R \mathbf{R} + \alpha_e^R \mathbf{I} \right\}. \tag{15}
\]
are the tensor Green function eigenvalues, so that the real and imaginary parts of $\eta_{\parallel} - \eta_{\perp}$ are $\mathcal{R} = \Re[\eta_{\parallel} - \eta_{\perp}] = \frac{k^3}{3\varepsilon} k R f\parallel(k R) + \frac{1}{\varepsilon R^3}(2k R \sin k R + 3 \cos k R)$, $\mathcal{I} = \Im[\eta_{\parallel} - \eta_{\perp}] = \frac{2k^3}{3\varepsilon}(f\parallel(k R) - f\perp(k R)) - \frac{2k R \cos k R}{\varepsilon R^3}$.

\[
\eta_{\parallel} = \frac{2e^{ik R}}{\epsilon R^3} (1 - ik R); \quad \eta_{\perp} = \frac{2e^{ik R}}{\epsilon R^3} (k^2 R^2 + ik R - 1). \tag{16}
\]

The particles rotate with respect to an axis parallel to the $\mathbf{OZ}$ direction, at $y = R/2$. There are regions where $\langle \mathbf{F} \rangle$ is negative.

\begin{figure}[h]
  \centering
  \includegraphics[width=\textwidth]{figure2}
  \caption{(Color online). Averaged optical torque, normalized to incident energy flow $\langle |\mathbf{S}_i| \rangle$, versus $k R$ on two Si particles ($n_p = 3.5$) separated a distance $R$. ($a_e^p = 7.91 \times 10^6 \text{nm}^3$, $a_e^f = 1.1 \times 10^{-5} \text{nm}^3$, $c = 3 \times 10^9 \text{nm} \cdot \text{s}^{-1}$). The particles approach each other, one has $\mathcal{R} \to 3/\epsilon R^3$, $\mathcal{I} \to 0$, $\langle \mathbf{F} \rangle \to 0$.}
\end{figure}

One may wonder on the appearance of $f_{ij}(\chi)$ in this theory, however, this is not surprising as these functions are associated to $\eta_{\parallel}$ and $\eta_{\perp}$, and appear in any interaction with a $k R$ dependence characterized by coupled dipoles. Notice, on the other hand, the oscillating behavior of the OT exerted on the two spheres versus $k R$, according to Eqs. (13) or (14); this encompass the existence of a NOT on the system.

As an example, we consider a LCP plane wave incident on two Si spheres of radius $230 \text{nm}$ in air at $\lambda \approx 1350 \text{nm}$, where the electric dipole dominates. As seen there are separations $R$ leading to a negative OT. $\alpha_e^R$ influences much more than $\alpha_e^f$ the results in (15). This torque (cf. Fig. 2) is enhanced in the electric dipole resonance region in this kind of high $n_p$ particles since, on comparison, we obtained a torque one order of magnitude smaller in the equivalent region ($\lambda = 700 \text{nm}$) if being of the same size, they are both of latex, ($n_p = 1.5$).

In conclusion, the expression of the ROT is general for any illuminating field. Our generalized EOT, together with the ROT, may give rise for specially structured incident beams, to negative torques on one dipolar object. Further studies for other sets of magnetodielectric, bi-isotropic, coupled dipoles remain to be done.

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