Effect of Concentration/Dose Ratio in De Novo Kidney Transplant Recipients Receiving LCP-Tacrolimus or Immediate-Release Tacrolimus: Post Hoc Analysis of a Phase 3 Clinical Trial

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Background: A previous phase 3 clinical trial in de novo adult kidney transplant recipients (NCT01187953) compared the efficacy and safety of once-daily LCP-tacrolimus (LCPT) and twice-daily immediate-release tacrolimus (IR-Tac). However, whether the rate of tacrolimus metabolism affects outcomes between LCPT and IR-Tac was not examined.

Material/Methods: Patients were initiated on 0.17 mg/kg/day LCPT or 0.1 mg/kg/day IR-Tac, with doses adjusted over time to maintain target therapeutic trough concentrations. This post hoc analysis examined dosing trends, relative efficacy, and safety of LCPT (n=247) and IR-Tac (n=249) in slow, intermediate, and rapid metabolizers as defined by concentration/dose ratios at day 30.

Results: For all metabolizer subgroups, minimum target tacrolimus trough concentrations were obtained more rapidly with LCPT than with IR-Tac. Slow metabolizers were more likely to exceed target trough concentrations with LCPT, while rapid metabolizers were more likely to fall below target trough concentrations with IR-Tac. Regardless of metabolizer status, significant differences were not detected between LCPT and IR-Tac for treatment failure, death, graft failure, biopsy-proven acute rejection, estimated glomerular filtration rate, or other clinical outcomes.

Conclusions: Although within metabolizer subgroups, attainment of target trough concentrations in the first week differed between LCPT and IR-Tac, these results suggest that, regardless of metabolizer phenotype, clinical outcomes do not differ between these formulations when dose adjustments are made.

Clinical trial registration: https://clinicaltrials.gov/ct2/show/NCT01187953

MeSH Keywords: Kidney Transplantation • Pharmacokinetics • Tacrolimus

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Background

For the last 2 decades, tacrolimus has been the predominant calcineurin inhibitor used to prevent graft rejection and is prescribed in approximately 90% of adult kidney transplant recipients [1,2]. Although highly effective, tacrolimus has a narrow therapeutic window [3]. Overexposure can increase the risk of toxicities, such as new-onset diabetes, hypertension, and renal toxicity, whereas underexposure can lead to acute graft rejection [3–5].

The risk of acute nephrotoxicity increases as the trough concentration of tacrolimus rises [6], but nephrotoxicity has been reported even when the targeted maintenance trough concentrations were at the low end of the therapeutic range [7,8]. It has been proposed that nephrotoxicity might instead be related to elevated peak concentrations [9]. At the same time, low trough concentrations of tacrolimus can lead to the production of de novo donor-specific antibodies [10,11]. Dosing of tacrolimus is further complicated by intra- and inter-individual variability in tacrolimus pharmacokinetics [12,13].

Tacrolimus metabolism is influenced by many factors, including genetics, demographics, and comorbidities [6,14,15]. Tacrolimus is metabolized primarily by cytochrome P450 3A5 and, to a lesser extent, by CYP450 3A4, and genetic polymorphisms of these isoenzymes affect the dose of tacrolimus required to achieve therapeutic concentrations [16,17]. Individuals who carry the CYP3A5*1 allele require higher doses of tacrolimus to attain therapeutic trough concentrations, which may expose them to higher peak concentrations [18]. For example, following administration of immediate-release tacrolimus (IR-Tac), peak concentrations of tacrolimus were 33% higher in African Americans carrying the CYP3A5*1 allele than in those not carrying it.

Although polymorphisms of the CYP3A5 gene are important, they may account for less than half of the variability in the required tacrolimus dose, especially in the white population [19]. Identifying polymorphisms requires genetic testing, which is often impractical. In addition, adapting the tacrolimus dose based on CYP3A5 genotype has not been proven to improve outcomes in kidney transplantation; therefore, it has not found its way into clinical practice [20]. Because of the limitations of genetic testing and the difficulty of predicting metabolism based on patient characteristics, a convenient surrogate marker for tacrolimus metabolism is needed.

The tacrolimus blood concentration/dose (C/D) ratio is a potential surrogate marker for tacrolimus metabolism. The C/D ratio has been used to predict the rate of tacrolimus metabolism and can account for factors beyond polymorphisms [21]. In retrospective studies, renal transplant recipients identified as rapid metabolizers of tacrolimus by their C/D ratio had decreased rates of patient and overall graft survival, increased rates of rejection, more rapid decline in estimated glomerular filtration rate (eGFR), and increased rates of calcineurin inhibitor nephrotoxicity and BK nephropathy than those identified as slow metabolizers [21–23]. Similarly, a case-control study showed that renal transplant recipients with BK viremia had lower C/D ratios than controls, suggesting that faster metabolism of tacrolimus is associated with a higher risk of BK viremia [24]. However, a causal link between C/D ratio and clinical outcomes has not yet been established.

A once-daily MeltDose tablet formulation of tacrolimus, LCPT-Tacrolimus (LCPT; Veloxis), is licensed in the United States (trade name Envarsus®) for prophylaxis of organ rejection in kidney transplant patients [25] and in Europe (trade name Envarsus®) [26] and Canada (trade name Envarsus PA®) [27] for prophylaxis of transplant rejection in adult kidney or liver allograft recipients. LCPT provides greater bioavailability than both twice-daily IR-Tac and once-daily extended-release tacrolimus capsules [28–30]. Due to greater bioavailability and a prolonged-release profile, LCPT achieves similar target trough concentrations and overall exposure at a dose approximately 30% lower than that of IR-Tac, while also producing lower peak concentrations and less peak-to-trough fluctuation [28].

The efficacy and safety of once-daily LCPT and twice-daily IR-Tac were compared in a 24-month, randomized, double-blind, phase 3 clinical trial in 543 de novo adult kidney transplant recipients [31,32]. The study showed that LCPT achieved therapeutic trough concentrations faster than IR-Tac. After an initial dose, trough concentrations of tacrolimus were subtherapeutic in twice as many patients treated with IR-Tac than with LCPT. Despite these differences, the efficacy and safety profiles for LCPT and IR-Tac were comparable. The influence of metabolizer status, however, was not examined in the primary analysis. Therefore, in the present post hoc analysis, we assessed dosing, safety, and efficacy of LCPT and IR-Tac for different metabolizer phenotypes as identified by the C/D ratio.

Material and Methods

Study design

This was a post hoc analysis of data from a randomized, double-blind, double-dummy, phase 3 clinical trial (NCT01187953) that compared the efficacy and safety of once-daily LCPT to twice-daily IR-Tac for the prevention of acute allograft rejection for 24 months after de novo kidney transplantation [31,32]. The study was performed at 68 sites in the USA, Latin America, Europe, and Asia between October 13, 2010 and March 26, 2014. The clinical trial was approved by the health authority.
ethics committee, or institutional review board for each participating center. Informed consent was obtained from all patients, and the study was conducted in accordance with the International Conference on Harmonisation Guidelines for Good Clinical Practice and the Declaration of Helsinki. Complete methods and primary findings of this study have been published previously [31,32].

Briefly, the study included adult (≥18 years) de novo recipients of a living or deceased donor kidney transplant. Patients were excluded if they received another organ or a bone marrow transplant, had a panel-reactive antibody >30%, a body mass index <18 kg/m² or >40 kg/m², received or expected to receive sirolimus, everolimus, azathioprine, or cyclophosphamide within 3 months before enrollment, or had laboratory variables that were abnormal (i.e., outside laboratory reference range) and clinically relevant as judged by the Investigator. Within 48 h after transplantation, consenting patients were randomly assigned in a 1:1 ratio to receive oral LCPT 0.17 mg/kg/day as a single daily dose or oral IR-Tac 0.1 mg/kg/day in 2 equal doses. To maintain blinding, both groups also received matching placebos. For 48 h after the initial dose, dose adjustments were discouraged. Subsequent doses were adjusted to maintain a target trough concentration of 6 to 11 ng/mL for the first 30 days, then 4 to 11 ng/mL. To maintain blinding, investigators who wanted to adjust dosages used an interactive, automated system that issued dispensing instructions for the assigned treatment dose (LCPT or IR-Tac) and matching placebo. Study medication was administered in combination with mycophenolate mofetil (starting at 2 g/day), corticosteroids (required for 12 months, dosed per local practice), and an interleukin-2 receptor antagonist (dosed per product label).

Endpoints examined in this post hoc analysis

Endpoints evaluated in this post hoc analysis included tacrolimus trough concentration up to month 24; total daily dose of tacrolimus up to month 24; treatment failure, death, loss to follow-up, biopsy-proven acute rejection, clinically suspected and treated acute rejection, delayed graft function, cytomegalovirus (CMV) infection, BK polyoma viremia, and BK virus nephropathy within 24 months; and eGFR at months 1 (baseline), 6, 12, 18, and 24. Treatment failure was a composite endpoint including death, graft failure, biopsy-proven acute rejection (Banff Grade ≥1A), or loss to follow-up. Data on delayed graft function, CMV infection, BK polyoma viremia, and BK virus nephropathy were collected from adverse event reports. The eGFR was estimated using the Modification of Diet in Renal Disease 7 formula [33,34].

Tacrolimus concentrations were measured in whole-blood samples drawn ≤30 min before morning doses of study medication. The central laboratory measured tacrolimus concentrations by protein precipitation, followed by solid-phase chromatography, and reverse-phase high-performance liquid chromatography-tandem mass spectroscopy. An X Terra MS C8, 2.1×100 mm, 5-µm column (Waters, Milford, MA, USA) was used, and the mobile phase was 90:10 (v/v) methanol: 0.002 M ammonium acetate in 0.1% formic acid. Rapamycin was used as the internal standard. Detection was in positive ion mode (m/z 821.500→768.450 for tacrolimus and m/z 931.570→864.480 for rapamycin). Results were quantified using the peak area ratio method. The trough concentrations were used to adjust the dose to maintain tacrolimus trough levels within the pre-defined therapeutic ranges. Local laboratories measured tacrolimus concentrations according to their own internal, validated liquid chromatography-mass spectroscopy methods.

The C/D ratio was determined as the tacrolimus trough concentration divided by the total daily tacrolimus dose.

Statistical analysis

This post hoc analysis included all patients in the phase 3 clinical trial who had a calculable day 30 C/D ratio based on central laboratory data, or, if unavailable, local laboratory data (full post hoc analysis set). Trough attainment on days 2–5 after treatment, clinical outcomes, and eGFR were analyzed in the full post hoc analysis set. Total daily dose was determined in patients whose C/D ratios were calculated based on central laboratory data only (central laboratory analysis set). Average trough concentration was determined in the central laboratory analysis set using central laboratory data only.

Within each treatment group, patients were split into the following metabolizer classes: rapid (C/D below 33rd percentile), intermediate (C/D 33rd to 67th percentile), and slow (C/D above 67th percentile). The relationship between the C/D ratio at day 30 and year 1 and year 2 was tested using Pearson’s correlation coefficients in the full post hoc analysis set.

For each metabolizer subgroup, the following were calculated: average tacrolimus trough concentrations obtained from local laboratory data at each study day/period, average total daily dose at each study day/period, and proportion of patients within (6–11 ng/mL), above >11 ng/mL, or below ≤6 ng/mL the target tacrolimus trough concentration for days 2–5 after randomization. Within each metabolizer subgroup, clinical events were compared between patients receiving LCPT and IR-Tac by Fisher’s exact test. For eGFR over time, only patients with a baseline eGFR and more than 1 post-baseline observation were included. The slope of eGFR change over months 6–24 was estimated using a linear regression model for each patient. Treatment effect on the slope of eGFR changes was evaluated using analysis of covariance including treatment as a main effect and baseline eGFR (day 30 eGFR) as a covariate.
Sensitivity analysis using a general linear model with mixed effects for repeated measures was also used to evaluate the treatment effect on eGFR change over time within each metabolizer subgroup. The same analysis was also performed for eGFR change from baseline. Timepoints used in the analysis are nominal visit days. A p-value <0.05 was considered statistically significant. No adjustments were made for multiplicity. Missing data were not replaced, and no search for outliers was performed. Statistical analyses were performed using SAS version 9.4 (SAS Institute, Cary, NC, USA).

Results

Patients and metabolizer phenotype subgroups

This post hoc analysis included 496 adult de novo kidney transplant recipients who had a calculable C/D ratio at day 30 based on central or local laboratory data. This included 247 patients who had been randomly allocated to LCPT and 249 who had been randomly allocated to IR-Tac (Figure 1).

Of the 496 patients included in this analysis, day 30 C/D ratios were calculated using central laboratory data for 424 (85.5%) and using local laboratory data for 72 (14.5%). Based on C/D ratios, 82 patients in both the LCPT and IR-Tac groups were categorized as rapid metabolizers (below 33rd percentile [C/D ratio <0.96 for LCPT, <0.86 for IR-Tac]), 83 patients in the LCPT group and 85 patients in the IR-Tac group as intermediate metabolizers (33rd to 67th percentile [C/D ratio 0.96–1.53 for LCPT, 0.86–1.38 for IR-Tac]), and 82 patients in both the LCPT and IR-Tac groups as slow metabolizers (above 67th percentile [C/D ratio >1.53 for LCPT, >1.38 for IR-Tac]).

Within each metabolizer subgroup, baseline demographics were similar between patients receiving LCPT and those receiving IR-Tac (Table 1). Irrespective of metabolizer subgroup or treatment received, most patients were white, male, and the mean age was between 43 and 49 years, although slow metabolizers tended to be older than rapid metabolizers. In all cases, approximately equal numbers had a living or deceased donor. Fewer than 10% of patients had a previous transplant. Treatment durations ranged from 570 to 669 days. In all metabolizer subgroups, the C/D ratio cutoffs were higher for patients receiving LCPT than for those receiving IR-Tac due to the increased bioavailability of LCPT. Results were similar in patients whose average trough concentrations and total daily dose were measured (Supplementary Table 1).

Correlation between C/D ratios determined at day 30, year 1, and year 2

For the LCPT group overall, the C/D ratio at day 30 positively correlated with the C/D ratio at year 1 (r=0.4854; p<0.0001) and year 2 (r=0.5784; p<0.0001) (Supplementary Figure 1). Similarly, for the IR-Tac group overall, the C/D ratio at day 30 positively correlated with the C/D ratio at year 1 (r=0.5857; p<0.0001) and year 2 (r=0.5857; p<0.0001) (Supplementary Figure 2).
For rapid metabolizers, 2 days after treatment initiation, more patients treated with IR-Tac (74.2%) than treated with LCPT (41.5%) were below the minimum target trough of 6 ng/mL (Figure 2). For over half of rapid metabolizers who received IR-Tac, trough concentrations remained below the minimum target through day 5. For intermediate and slow metabolizers, more patients treated with IR-Tac than with LCPT were below the minimum trough target; however, intermediate and slow metabolizers were more likely than rapid metabolizers to achieve minimum trough concentrations. In the overall population, tacrolimus trough concentrations on day 2 were below the minimum target of 6 ng/mL for more than twice as many patients treated with IR-Tac (61.8%) than LCPT (26.5%). On days 2–5, for the overall population, more patients treated with LCPT (39.8–65.7%) than IR-Tac (13.5–28.6%) had trough concentrations above the target range (>11 ng/mL) (Figure 2). Also, in both treatment groups, more intermediate and slow metabolizers than rapid metabolizers had trough concentrations above the target range.

Table 1. Baseline characteristics.

| Characteristic                  | Rapid metabolizers | Intermediate metabolizers | Slow metabolizers |
|--------------------------------|--------------------|---------------------------|-------------------|
|                                | LCPT (n=82)        | IR-Tac (n=82)             | LCPT (n=83)       | IR-Tac (n=85)    | LCPT (n=82)       | IR-Tac (n=82)    |
| Age (years), mean (SD)         | 42.5 (12.87)       | 43.6 (13.61)              | 44.4 (12.95)      | 45.6 (14.58)     | 46.2 (13.69)      | 49.0 (14.64)     |
| Sex, n (%)                     |                    |                           |                   |                   |                   |                   |
| Male                           | 51 (62.2%)         | 56 (68.3%)                | 54 (65.1%)        | 53 (62.4%)       | 59 (72.0%)        | 58 (70.7%)       |
| Female                         | 31 (37.8%)         | 26 (31.7%)                | 29 (34.9%)        | 32 (37.6%)       | 23 (28.0%)        | 24 (29.3%)       |
| Race, n (%)                    |                    |                           |                   |                   |                   |                   |
| White                          | 55 (67.1%)         | 59 (72.0%)                | 64 (77.1%)        | 69 (81.2%)       | 65 (79.3%)        | 63 (76.8%)       |
| Black or African American      | 7 (8.5%)           | 6 (7.3%)                  | 0                 | 5 (5.9%)         | 2 (2.4%)          | 3 (3.7%)         |
| Asian                          | 4 (4.9%)           | 4 (4.9%)                  | 5 (6.0%)          | 2 (2.4%)         | 1 (1.2%)          | 4 (4.9%)         |
| Other                          | 16 (19.5%)         | 13 (15.9%)                | 14 (16.9%)        | 9 (10.6%)        | 14 (17.1%)        | 12 (14.6%)       |
| Donor type, n (%)              |                    |                           |                   |                   |                   |                   |
| Living                         | 42 (51.2%)         | 37 (45.1%)                | 45 (54.2%)        | 36 (42.4%)       | 41 (50.0%)        | 44 (53.7%)       |
| Deceased                       | 40 (48.8%)         | 45 (54.9%)                | 38 (45.8%)        | 49 (57.6%)       | 41 (50.0%)        | 38 (46.3%)       |
| Previous transplant, n (%)     | 4 (4.9%)           | 1 (1.2%)                  | 7 (8.4%)          | 6 (7.1%)         | 0                 | 4 (4.9%)         |
| Treatment duration (days), mean (SD) | 569.5 (256.23)  | 634.6 (192.13)            | 669.0 (153.26)    | 633.0 (199.57)   | 621.6 (206.44)    | 637.2 (192.09)   |
| C/D ratio*                     | <0.96              | <0.86                     | 0.96–1.53         | 0.86–1.38        | >1.53             | >1.38            |

Analysis population consisted of patients who had a calculable day 30 C/D ratio based on central or local laboratory data. C/D – concentration/dose; IR-Tac – immediate-release tacrolimus; LCPT – LCP-tacrolimus; SD – standard deviation. * C/D ratio cutoffs differ between formulations because of inherent differences in bioavailability; LCPT has a higher bioavailability resulting in lower average doses.

**Trough attainment during days 2–5 after treatment initiation**

For rapid metabolizers, 2 days after treatment initiation, more patients treated with IR-Tac (74.2%) than treated with LCPT (41.5%) were below the minimum target trough of 6 ng/mL (Figure 2). For over half of rapid metabolizers who received IR-Tac, trough concentrations remained below the minimum target through day 5. For intermediate and slow metabolizers, more patients treated with IR-Tac than with LCPT were below the minimum trough target; however, intermediate and slow metabolizers were more likely than rapid metabolizers to achieve minimum trough concentrations. In the overall population, tacrolimus trough concentrations on day 2 were below the minimum target of 6 ng/mL for more than twice as many patients treated with IR-Tac (61.8%) than LCPT (26.5%). On days 2–5, for the overall population, more patients treated with LCPT (39.8–65.7%) than IR-Tac (13.5–28.6%) had trough concentrations above the target range (>11 ng/mL) (Figure 2). Also, in both treatment groups, more intermediate and slow metabolizers than rapid metabolizers had trough concentrations above the target range.

**Trough concentration and dose over time**

Average trough concentrations and total daily dose were analyzed in patients whose day 30 C/D ratios were calculated using central laboratory data only (LCPT, n=206; IR-Tac, n=218). For rapid metabolizers, the mean LCPT dose was relatively stable over the first 4 weeks, and mean trough concentrations were at or above the target range (6–11 ng/mL) (Figure 3). In contrast, the mean total daily dose of IR-Tac had to be increased through days 9–11 to achieve a trough concentration within the target range. For intermediate and slow metabolizers, use of LCPT resulted in mean trough concentrations that exceeded the target range for several weeks following initiation (days 18–25 for intermediate metabolizers (Figure 4) and days 26–37 for slow metabolizers (Figure 5)). For these 2 metabolizer subgroups, the mean total daily dose of LCPT had to be reduced over time to attain recommended trough concentrations. For IR-Tac, the mean total daily dose in the intermediate and slow metabolizer subgroups remained relatively consistent in the 9–11 days after randomization because mean trough concentrations were within the therapeutic target range.
Outcomes

Within each metabolizer subgroup, differences between treatments were not statistically significant for treatment failure, death, graft failure, loss to follow-up, biopsy-proven acute rejection, clinical suspected and treated acute rejection, delayed graft function, CMV infection, BK polyoma viremia, or BK nephropathy (Figure 6). For the overall population, the incidence of each event was similar between treatment groups.

Renal function

For the overall population, and within each individual metabolizer subgroup, the slope of the observed eGFR change over months 6–24 did not significantly differ between treatments (Table 2, Supplementary Figure 3). Sensitivity analyses did not find treatment differences within any metabolizer subgroup for observed eGFR or change in eGFR from baseline (Supplementary Table 2).

Doses of concomitant medications

Doses of concomitant corticosteroids and mycophenolate were similar for the 2 treatment groups over time (Supplementary Figures 4 and 5, respectively).

Discussion

In a phase 3 clinical trial, the efficacy and safety of once-daily LCPT and twice-daily IR-Tac were previously compared in 543 de novo adult kidney transplant recipients [31,32]. Starting doses were 0.17 mg/kg/day for LCPT and 0.1 mg/kg/day for IR-Tac, after which dose adjustments were allowed to maintain therapeutic trough concentrations. The primary analysis showed that in the overall population, the efficacy and safety profile of LCPT and IR-Tac were similar, although minimum tacrolimus trough concentrations were obtained more rapidly with LCPT than with IR-Tac. The present post hoc analysis...
Figure 3. Total daily dose (A) and trough concentrations (B) of tacrolimus in rapid metabolizers. The analysis population consisted of patients who had a calculable day 30 C/D ratio based on central laboratory data only. Central boxes indicate the 25th to 75th percentile, and central lines the median (50th percentile). Whiskers indicate full concentration ranges. Lines connect the mean trough concentrations. C/D – concentration/dose; IR-Tac – immediate-release tacrolimus; LCPT – LCP-tacrolimus.

Figure 4. Total daily dose (A) and trough concentrations (B) of tacrolimus in intermediate metabolizers. The analysis population consisted of patients who had a calculable day 30 C/D ratio based on central laboratory data only. Central boxes indicate the 25th to 75th percentile, and central lines the median (50th percentile). Whiskers indicate full concentration ranges. Lines connect the mean trough concentrations. C/D – concentration/dose; IR-Tac – immediate-release tacrolimus; LCPT – LCP-tacrolimus.
indicated that metabolizer status does not appear to affect the relative efficacy or safety of these 2 tacrolimus formulations. As in the primary analysis, minimum tacrolimus trough concentrations were obtained more rapidly with LCPT than with IR-Tac in all metabolizer subgroups.

Trough concentrations were initially higher in the intermediate and slow metabolizer subgroups with the starting dose of LCPT, but dosing adjustments corrected elevated trough concentrations. In contrast, in over half of rapid metabolizers initiating treatment with IR-Tac, trough concentrations were below the minimum target through day 5, which required doses of IR-Tac to be increased. These data emphasize that therapeutic drug monitoring can be used to drive dose adjustments and thereby reduce over- or under-exposure.

Although therapeutic drug monitoring and subsequent dose adjustments allowed target tacrolimus concentrations to be attained, delays in achieving minimum target concentrations might lead to graft rejection [4,10]. However, this study did not identify statistically significant differences in rejection rates between formulations in any metabolizer group. However, the study was not designed or powered to detect differences within these subgroups. Nonetheless, we observed a favorable trend with LCPT. Fewer rapid metabolizers experienced a rejection with LCPT (23.2%) than with IR-Tac (36.6%). Multiple factors influence the risk of rejection, such as potency of the induction agent and number of concomitant immunosuppressive maintenance therapies prescribed; therefore, clinicians may place varying levels of importance on early achievement of minimum therapeutic concentrations.

Because this analysis was based on a clinical trial, most of the endpoints were collected prospectively, allowing more complete collection than in retrospective studies. An exception was viral infections, including BK and CMV, which were collected through adverse event reporting. The present analysis also benefited from a relatively large population, although for some events, low frequencies limited the ability to make inferences. The duration of this study also permitted outcomes to be assessed for up to 24 months, which has been sufficient to detect differences in eGFR between metabolizer groups in other studies [9,21].

Pharmacogenetic testing could have provided information on individual polymorphisms known to affect tacrolimus metabolism and helped to identify metabolizer status, but it was not assessed in the clinical trial. Furthermore, not all variants affecting tacrolimus metabolism have been identified [19,35]. We instead used the C/D ratio, which is more practical than pharmacogenetic testing for identifying rapid metabolizers. Several studies have demonstrated the utility of the C/D ratio.
for classifying patients and exploring the relationship between the C/D ratio and outcomes [9, 21, 22, 35, 36], and a recent meta-analysis provided strong evidence that kidney transplant recipients can be grouped into metabolizer subgroups using the C/D ratio [37]. For this analysis, we used the C/D ratio at day 30 to determine metabolizer phenotype. C/D ratio at day 30 appeared to be a valid assessment as demonstrated by the marked differences in tacrolimus trough concentrations and doses between subgroups. Indeed, due to the greater bioavailability of LCPT, breakpoints for C/D ratios between metabolizer

Figure 6. Clinical outcomes overall and by metabolizer phenotype. The analysis population consisted of patients who had a calculable day 30 C/D ratio based on central or local laboratory data. For all patients and within metabolizer subgroups, there were no statistically significant differences between treatments for any event. BKV, BK viremia; BKVN – BK virus nephropathy; BPAR – biopsy-proven acute rejection; CMV – cytomegalovirus; DGF – delayed graft function.
subgroups were generally higher for LCPT than for IR-Tac, leading to lower total daily dose being used to achieve comparable trough levels. Other studies have used an average C/D ratio determined 1, 3, and 6 months after renal transplantation [21,24]. However, in this study, 30 days was sufficient to reach a steady state and achieve therapeutic concentrations with a stable dose of IR-Tac or LCPT, and the C/D ratio at day 30 was generally adequate for determining metabolizer status.

Although trough concentrations were measured as a correlate for overall exposure, peak concentrations were not measured in this study. Elevated peak concentrations may be associated with calcineurin inhibitor-related toxicities [19]. A study of 38 kidney transplant recipients who experienced tacrolimus-induced hand tremor while on twice-daily IR-Tac showed that switching to LCPT reduced hand tremors but maintained comparable tacrolimus trough concentrations, suggesting that the difference was due to lower peak concentrations [38]. Another study of patients receiving IR-Tac after transplant found lower mean trough concentrations but also lower eGFR in rapid metabolizers than in slow metabolizers, suggesting that the resulting nephrotoxicity was due to higher peak tacrolimus concentrations [9]. Peak concentrations of tacrolimus have been reported in genotype-confirmed patient populations [18], but little data on peak concentrations within individual metabolizer groups are available. To better understand differences in tacrolimus formulations, further studies should investigate differences in both peak and trough concentrations for different metabolizer phenotypes.

This post hoc analysis did not examine differences between metabolizer groups but rather focused on the relative safety and efficacy of LCPT and IR-Tac within individual metabolizer subgroups and, moreover, was not powered to detect differences between subgroups. How metabolizer status affects clinical outcomes for patients receiving tacrolimus has been examined in other studies [9,21,22,35]. In a recent retrospective analysis, renal transplant recipients who received IR-Tac and were categorized as fast metabolizers according to their C/D ratio had lower patient survival, lower overall graft survival, a more rapid decline in eGFR, and a higher rejection rate over 5 years than slow metabolizers [22]. In renal and liver

### Table 2. Slope of eGFR change over months 6–24.

| Statistic                  | Overall (n=218) | Rapid metabolizers (n=68) | Intermediate metabolizers (n=78) | Slow metabolizers (n=72) | Overall (n=223) | Rapid metabolizers (n=71) | Intermediate metabolizers (n=79) | Slow metabolizers (n=73) |
|----------------------------|-----------------|---------------------------|---------------------------------|--------------------------|-----------------|---------------------------|---------------------------------|--------------------------|
| Slope of change in eGFR observed (mL/min/1.73 m² per day) |                 |                           |                                 |                          |                 |                           |                                 |                          |
| LS mean (95% CI)          | 0.136 (0.249)   | 0.315 (0.154, 0.477)     | 0.098 (–0.120, 0.316)           | 0.007 (–0.192, 0.206)   | 0.213 (0.101, 0.325) | 0.172 (0.014, 0.331)     | 0.288 (0.071, 0.505)           | 0.171 (–0.027, 0.368)     |
| Difference (95% CI)       | –0.077 (0.082)  | 0.143 (–0.083, 0.369)    | –0.190 (–0.498, 0.117)         | –0.164 (–0.444, 0.116) |                 |                           |                                 |                          |
| P-value (LCPT vs. IR-Tac) | 0.3417 (0.2134) | 0.2240 (0.022, 0.369)    |                                 |                           |                 |                           |                                 |                          |
| Slope of change in eGFR change from baseline (mL/min/1.73 m² per day) |                 |                           |                                 |                          |                 |                           |                                 |                          |
| LS mean (95% CI)          | 0.037 (0.183)   | 0.348 (0.176, 0.521)     | –0.071 (–0.340, 0.198)         | –0.140 (–0.432, 0.152) | 0.138 (–0.007, 0.282) | 0.082 (0.006, 0.251)     | 0.273 (–0.246, 0.540)          | 0.444 (0.334)           |
| Difference (95% CI)       | –0.101 (0.105)  | 0.266 (0.024, 0.508)     | –0.344 (–0.723, 0.035)         | –0.184 (–0.596, 0.228) |                 |                           |                                 |                          |
| P-value (LCPT vs. IR-Tac) | 0.3362 (0.0314) | 0.0750 (0.014, 0.070)    |                                 |                           |                 |                           |                                 | 0.3789                   |

Analysis population consisted of patients who had a calculable day 30 C/D ratio based on central or local laboratory data. N represents patients with analyzable data for this endpoint. Treatment effect on the rate of eGFR change over time was assessed with an analysis of covariance model with main effect of treatment and baseline eGFR as covariates within overall and each metabolizer subgroup. C/D – concentration/dose; CI – confidence interval; eGFR – estimated glomerular filtration rate; IR-Tac – immediate-release tacrolimus; LCPT – LCP-tacrolimus; LS – least squares.

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transplant recipients who received IR-Tac, rapid metabolizers, as determined by C/D ratio, had worse renal function than slow metabolizers [9,21]. Furthermore, a case-control study of patients treated with either immediate-release or extended-release tacrolimus showed that renal transplant patients with BK viremia had a significantly lower C/D ratio than the control group, indicating more rapid tacrolimus metabolism [24].

Conclusions

The previous analysis of data from this trial indicated that even though attainment of target tacrolimus trough concentrations in the first week post-transplant differed between LCPT and IR-Tac, clinical outcomes do not differ between these formulations as long as dose adjustments are made [31,32]. Because metabolizer status can affect the susceptibility to BK virus infections, rejection, and calcineurin inhibitor-related nephrotoxicity [21–24], it is important to examine the interaction between metabolizer status, tacrolimus formulations, and clinical outcomes. The present study provides evidence that, irrespective of metabolizer status, when dose adjustments are made, outcomes do not differ between LCPT and IR-Tac. It also reveals how dosing and trough level attainment changes with C/D ratio, a surrogate marker of metabolizer status. Further appropriately powered studies are needed to confirm these findings and clarify the relationship between outcomes and tacrolimus peak and trough concentrations.

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Supplementary Material

Supplementary Table 1. Baseline characteristics of the analysis population used to evaluate tacrolimus trough concentrations and total daily dose.

| Characteristic                  | Rapid metabolizers | Intermediate metabolizers | Slow metabolizers |
|--------------------------------|---------------------|---------------------------|-------------------|
|                                | LCPT (n=68)         | IR-Tac (n=72)             | LCPT (n=70)       | IR-Tac (n=74)         | LCPT (n=68)         | IR-Tac (n=72)         |
| Age (years), mean (SD)         | 41.9 (13.34)        | 43.2 (14.01)              | 45.1 (13.20)      | 45.4 (14.04)           | 45.9 (14.27)        | 49.0 (15.19)          |
| Sex, n (%)                     |                     |                           |                   |                      |                     |                      |
| Male                           |                     |                           |                   |                      |                     |                      |
| Female                         |                     |                           |                   |                      |                     |                      |
| Race, n (%)                    |                     |                           |                   |                      |                     |                      |
| White                          | 46 (67.6%)          | 49 (68.1%)                | 55 (78.6%)        | 60 (81.1%)            | 51 (75.0%)          | 55 (76.4%)           |
| Black or African American      | 6 (8.8%)            | 6 (8.3%)                  | 0                 | 3 (4.1%)              | 1 (1.5%)            | 3 (4.2%)             |
| Asian                          | 3 (4.4%)            | 4 (5.6%)                  | 4 (5.7%)          | 2 (2.7%)              | 1 (1.5%)            | 3 (4.2%)             |
| Donor type, n (%)              |                     |                           |                   |                      |                     |                      |
| Living                         |                      |                           |                   |                      |                     |                      |
| Deceased                       | 33 (48.5%)          | 41 (56.9%)                | 33 (47.1%)        | 41 (55.4%)            | 34 (50.0%)          | 35 (48.6%)           |
| Previous transplant, n (%)     | 3 (4.4%)            | 1 (1.4%)                  | 6 (8.6%)          | 4 (5.4%)              | 1 (1.5%)            | 5 (6.9%)             |
| Treatment duration (days), mean (SD) | 558.5 (265.88)  | 630.9 (197.50)           | 671.3 (156.58)   | 647.9 (181.41)        | 649.5 (177.00)      | 629.8 (200.62)       |
| C/D ratio*                     | <0.96               | <0.83                     | 0.96–1.47         | 0.83–1.37             | >1.47               | >1.37                |
| ** Day 30 ratio of trough concentration obtained from central laboratory. C/D – concentration/dose; IR-Tac – immediate-release tacrolimus; LCPT – LCP-tacrolimus; SD – standard deviation.

Analysis population consisted of patients who had a calculable day 30 C/D ratio based on central laboratory data only. * C/D ratio cutoffs differ between formulations because of inherent differences in bioavailability; LCPT has a higher bioavailability resulting in lower average doses; ** Day 30 ratio of trough concentration obtained from central laboratory. C/D = concentration/dose; IR-Tac = immediate-release tacrolimus; LCPT = LCP-tacrolimus; SD = standard deviation.
Supplementary Figure 1. Correlation plots for C/D ratios measured at day 30 (CD30), 1 year (CD1YR), and 2 years (CD2YR) for patients who were treated with LCPT.
**Supplementary Figure 2.** Correlation plots for C/D ratios measured at day 30 (CD30), 1 year (CD1YR), and 2 years (CD2YR) for patients who were treated with IR-Tac.

**Supplementary Figure 3.** Mean eGFR (A) and mean change in eGFR from baseline (B). Analysis population consisted of patients who had a calculable day 30 C/D ratio based on central or local laboratory data. eGFR – estimated glomerular filtration rate; IR-Tac – immediate-release tacrolimus; LCPT – LCP-tacrolimus.
### Supplementary Table 2. Sensitivity analyses for eGFR (mL/min/1.73 m²) at months 1–24.

| Analysis | Statistics | Overall (N=247) | LCPT | IR-Tac | Overall (N=249) | LCPT | IR-Tac |
|----------|------------|-----------------|------|--------|-----------------|------|--------|
|          |            | (N=82) | (N=83) | (N=82) | (N=82) | (N=85) | (N=82) |
| LS mean  | (95% CI)   | 57.738 | 57.521 | 56.814 | 58.737 | 59.002 | 53.693 |
|          | ([55.353, 60.123]) | ([53.439, 61.604]) | ([52.951, 60.676]) | ([54.334, 63.140]) | ([56.646, 61.359]) | ([49.710, 57.675]) | ([56.364, 64.052]) |
| Difference (95% CI) | –1.264 | 3.829 | –3.394 | –4.061 | – | – |
| P-value: treatment | 0.4590 | 0.1866 | 0.2205 | 0.1980 | – | – |
| LS mean  | (95% CI)   | 57.516 | 56.075 | 56.971 | 58.192 | 58.575 | 53.262 |
|          | ([55.145, 59.887]) | ([52.105, 60.046]) | ([53.193, 60.749]) | ([53.881, 62.502]) | ([56.232, 60.917]) | ([49.392, 57.132]) | ([55.794, 63.310]) |
| Difference (95% CI) | –1.058 | 2.814 | –2.581 | –4.297 | – | – |
| P-value: treatment | 0.8914 | 0.7945 | 0.8487 | 0.4078 | – | – |
| LS mean  | (95% CI)   | 57.722 | 57.338 | 56.907 | 59.018 | 58.927 | 53.681 |
|          | ([55.291, 60.154]) | ([53.136, 61.540]) | ([52.969, 60.845]) | ([54.583, 63.453]) | ([56.525, 61.329]) | ([49.576, 63.785]) | ([56.086, 63.916]) |
| Difference (95% CI) | –1.205 | 3.657 | –3.095 | –3.844 | – | – |
| P-value: treatment | 0.8149 | 0.7097 | 0.7598 | 0.4590 | – | – |
| LS mean  | (95% CI)   | 57.666 | 57.666 | 58.898 | 58.898 | 58.898 | 58.898 |
|          | ([55.248, 60.083]) | ([55.248, 60.083]) | ([55.248, 60.083]) | ([55.248, 60.083]) | ([55.248, 60.083]) | ([55.248, 60.083]) |
| Difference (95% CI) | –1.232 | – | – | – | – | – |
| P-value: treatment | 0.8017 | – | – | – | – | – |
| LS mean  | (95% CI)   | 57.575 | 57.575 | 59.069 | 59.069 | 59.069 | 59.069 |
|          | ([55.144, 60.007]) | ([55.144, 60.007]) | ([55.144, 60.007]) | ([55.144, 60.007]) | ([55.144, 60.007]) | ([55.144, 60.007]) |
| Difference (95% CI) | –1.494 | – | – | – | – | – |
| P-value: treatment | 0.6891 | – | – | – | – | – |

Analysis population consisted of patients who had a calculable day 30 C/D ratio based on central or local laboratory data. Model 2: general linear model for repeated measures with time as categorical variable. Model 3: general linear model for repeated measures with quantitative variable. Model 4: general linear model for repeated measures with random intercept and time. Model 5: C/D group included as a covariate. Model 6: C/D/ratio included as a covariate. C/D – concentration/dose; CI – confidence interval; eGFR – estimated glomerular filtration rate; IR-Tac – immediate-release tacrolimus; LCPT – LCP-tacrolimus; LS – least squares.
Supplementary Figure 4. Mean corticosteroid total daily dose in rapid (A), intermediate (B), and slow (C) metabolizers. Analysis population consisted of patients who had a calculable day 30 C/D ratio based on central or local laboratory data. C/D = concentration/dose; CI = confidence interval; IR-Tac – immediate-release tacrolimus; LCPT – LCP-tacrolimus; TDD – total daily dose.
Supplementary Figure 5. Mean mycophenolate total daily dose in rapid (A), intermediate (B), and slow (C) metabolizers. Analysis population consisted of patients who had a calculable day 30 C/D ratio based on central or local laboratory data. C/D – concentration/dose; CI – confidence interval; IR-Tac – immediate-release tacrolimus; LCPT – LCP-tacrolimus; TDD – total daily dose.
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