Log-Rank Test vs MaxCombo and Difference in Restricted Mean Survival Time Tests for Comparing Survival Under Nonproportional Hazards in Immuno-oncology Trials
A Systematic Review and Meta-analysis

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IMPORTANCE The log-rank test is considered the criterion standard for comparing 2 survival curves in pivotal registrational trials. However, with novel immunotherapies that often violate the proportional hazards assumptions over time, log-rank can lose power and may fail to detect treatment benefit. The MaxCombo test, a combination of weighted log-rank tests, retains power under different types of nonproportional hazards. The difference in restricted mean survival time (dRMST) test is frequently proposed as an alternative to the log-rank under nonproportional hazard scenarios.

OBJECTIVE To compare the log-rank with the MaxCombo and dRMST in immuno-oncology trials to evaluate their performance in practice.

DATA SOURCES Comprehensive literature review using Google Scholar, PubMed, and other sources for randomized clinical trials published in peer-reviewed journals or presented at major clinical conferences before December 2019 assessing efficacy of anti-programmed cell death protein-1 or anti-programmed death/ligand 1 monoclonal antibodies.

STUDY SELECTION Pivotal studies with overall survival or progression-free survival as the primary or key secondary end point with a planned statistical comparison in the protocol. Sixty-three studies on anti-programmed cell death protein-1 or anti-programmed death/ligand 1 monoclonal antibodies used as monotherapy or in combination with other agents in 35 902 patients across multiple solid tumor types were identified.

DATA EXTRACTION AND SYNTHESIS Statistical comparisons (n = 150) were made between the 3 tests using the analysis populations as defined in the original protocol of each trial.

MAIN OUTCOMES AND MEASURES Nominal significance based on a 2-sided .05-level test was used to evaluate concordance. Case studies featuring different types of nonproportional hazards were used to discuss more robust ways of characterizing treatment benefit instead of sole reliance on hazard ratios.

RESULTS In this systematic review and meta-analysis of 63 studies including 35 902 patients, between the log-rank and MaxCombo, 135 of 150 comparisons (90%) were concordant; MaxCombo achieved nominal significance in 15 of 15 discordant cases, while log-rank did not. Several cases appeared to have clinically meaningful benefits that would not have been detected using log-rank. Between the log-rank and dRMST tests, 137 of 150 comparisons (91%) were concordant; log-rank was nominally significant in 5 of 13 cases, while dRMST was significant in 8 of 13. Among all 3 tests, 127 comparisons (85%) were concordant.

CONCLUSIONS AND RELEVANCE The findings of this review show that MaxCombo may provide a pragmatic alternative to log-rank when departure from proportional hazards is anticipated. Both tests resulted in the same statistical decision in most comparisons. Discordant studies had modest to meaningful improvements in treatment effect. The dRMST test provided no added sensitivity for detecting treatment differences over log-rank.

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ovel immunotherapies in oncology have improved patient care and treatment. Several recent trials in immuno-oncology demonstrated nonconstant treatment effect or violation of the proportional hazards assumption. These trials showed delayed separation or crossing of Kaplan-Meier curves, suggesting the relative risk of progression or death between immuno-oncology drugs and control were not constant over time, reflective of the biological mechanism of these therapies.

From a statistical perspective, standard approaches, such as the log-rank test, may not be optimal for comparing 2 treatment arms when violation of the proportional hazards assumption is expected. Similarly, hazard ratios (HRs) from the proportional hazards model may be difficult to interpret as a single measure of treatment benefit.

While the log-rank test remains consistent under a variety of patterns of ordered hazards, it is most powerful when the underlying effect satisfies the proportional hazards assumption. Nonproportional hazards may have a considerable impact on the statistical power of the log-rank test to detect a treatment difference. Many alternative tests have been explored, including weighted log-rank tests, weighted Kaplan-Meier test, combination tests, milestone survival, and the difference in restricted mean survival time (dRMST) or ratios of RMST. For a discussion of these tests, please refer to publications by Lin and colleagues and Roychoudhury and colleagues. The MaxCombo test combines log-rank test (unweighted) and several weighted log-rank tests to handle different types of treatment effect (eg, delayed) and recently demonstrated robust results regarding power under different proportional hazards and nonproportional hazard assumptions.

We conducted a comprehensive analysis comparing log-rank test with MaxCombo using data from randomized immuno-oncology trials of nearly 36,000 patients across different solid tumor types to help clinical trialists and statisticians understand the advantages and limitations associated with MaxCombo and facilitate informed decision-making for primary analyses in phase 3 trials. Performance of dRMST relative to log-rank test and MaxCombo was evaluated because of its interpretability and frequent use in practice. Lastly, we used case studies to examine these tests under different nonproportional hazard scenarios.

### Methods

**Literature Search and Data Sources**

A comprehensive literature review was conducted using criteria outlined in eFigure 1 (PRISMA diagram) and the eMethods in the Supplement. Briefly, we searched for randomized clinical trials with registrational intent published in peer-reviewed journals or presented at major clinical conferences before December 2019 that assessed the efficacy (defined as overall survival or progression-free survival) of anti-programmed cell death protein-1 or anti-programmed death ligand 1 monoclonal antibodies in solid tumors. This study followed the Preferred Reporting Items for Systematic Reviews and Meta-analyses (PRISMA) reporting guideline.

**Statistical Methods**

We performed 150 statistical comparisons with log-rank test, MaxCombo, and dRMST using the primary analysis populations defined in the original protocol, with comparisons made at the 2-sided .05 level. Additional information is provided in eMethods in the Supplement, including weights used for MaxCombo. The MaxCombo test uses a combination of Fleming-Harrington weighted log-rank test and adaptively selects the best test statistic based on underlying data. The primary analysis used MaxCombo 2, which accounts for proportional hazards and delayed treatment effect scenarios, and is considered most relevant for immuno-oncology trials. MaxCombo 3 additionally incorporates the scenario of diminishing effect with longer follow-up and was used as a sensitivity analysis in eFigure 2 in the Supplement. The minimum of the maximum observed event time between the 2 arms was used to select the truncation time for computing dRMST. Additional summary measures besides HRs included dRMST between arms and milestone survival estimates at 6, 12, and 18 months (when estimable), chosen for their clinical relevance across tumor types, particularly in the metastatic setting. Piecewise HRs at intervals of 0 to 6 months and more than 6 months were provided to describe changes in treatment effect over time. Trial maturity was defined as the proportion of patients with events.

For each study, if both tests being compared had 2-sided P values ≤ .05 (nominally significant) or > .05 (not nominally significant), the results were considered concordant; other outcomes were considered discordant. For studies with discordant results, where MaxCombo was significant but log-rank test was not, the proportional hazards assumption may have been violated. We tested for this using the Grambsch-Therneau test at the .05 level.

### Key Points

**Question** How do the alternative statistical tests MaxCombo and dRMST compare with the log-rank test under nonproportional hazards using data from immuno-oncology trials?

**Findings** Of 150 comparisons, 90% were concordant between MaxCombo and log-rank, and in 10% of cases, MaxCombo detected nominal significance while log-rank did not. The difference in restricted mean survival time test provided no added sensitivity for detecting treatment differences over log-rank.

**Meaning** The MaxCombo test provides a pragmatic alternative to log-rank under nonproportional hazards.
Results

This systematic review and meta-analysis of 63 studies included 35,902 patients with non–small cell lung cancer, small cell lung cancer, squamous cell carcinoma of the head and neck, melanoma, kidney cell carcinoma, and tumors of gastrointestinal, gasto-urinary, breast, and ovarian origin (PRISMA diagram, eFigure 1 in the Supplement). Studies of the following malignancies included 35,902 patients: non–small cell lung cancer, kidney cell carcinoma, and tumors of gastrointestinal, gastric, and breast origin. eTable 1 in the Supplement shows the HRs over the entire treatment period for these 23 studies with any discordance, along with HRs in the interval of 0 to 6 months and more than 6 months; the Kaplan-Meier survival estimates at 6, 12, and 18 months (when estimable); and the dRMST between arms.

Table 2 shows the Kaplan-Meier plots for the 8 studies where dRMST but not log-rank test was statistically significant. Of these 8 studies, the median (range) HR was 0.86 (0.78-0.92), while the median (range) dRMST was 1.45 (0.85-2.06). Five of these comparisons were for progression-free survival with a median (range) HR of 0.86 (0.84-0.92), while the median (range) dRMST was 1.45 (0.85-1.92) months. These 5 cases were also significant using MaxCombo 2. The remaining 3 comparisons were for overall survival with HRs of 0.78, 0.80, and 0.85, while dRMST was 2.04, 1.39, and 2.06 months, respectively. None of these 3 cases achieved nominal significance using MaxCombo 2. Of note, the Grambsch-Therneau test that measures departure from proportional hazards assumption was also not significant (eTable 1 in the Supplement).

eFigure 4A in the Supplement provides the Kaplan-Meier plots for the 8 studies where dRMST but not log-rank test was statistically significant. Of these 8 studies, the median (range) HR was 0.86 (0.78-0.92), while the median (range) dRMST was 1.45 (0.85-2.06). Five of these comparisons were for progression-free survival with a median (range) HR of 0.86 (0.84-0.92), while the median (range) dRMST was 1.45 (0.85-1.92) months. These 5 cases were also significant using MaxCombo 2. The remaining 3 comparisons were for overall survival with HRs of 0.78, 0.80, and 0.85, while dRMST was 2.04, 1.39, and 2.06 months, respectively. None of these 3 cases achieved nominal significance using MaxCombo 2. Of note, the Grambsch-Therneau test that measures departure from proportional hazards assumption was also not significant (eTable 1 in the Supplement).

In 5 studies where dRMST was not nominally significant while the log-rank test and MaxCombo 2 tests were significant, the median (range) HR was 0.75 (0.58-0.83), and the median (range) dRMST was 1.81 (1.42-3.94) months (eTable 2 and eFigure 4B in the Supplement). Among the cases with concordance using a 2-sided test, there were no instances where the inference would have differed if a 1-sided test was used.
Select Case Studies
From the 15 discordant studies between log-rank test and Max-Combo 2, we selected 3 case studies, 1 from each of the 3 types of nonproportional hazard scenarios. In studies with more than 2 arms, only the comparisons of interest where the tests differed in outcome were addressed. Two case studies are discussed below. A third case study depicting delayed separation of progression-free survival Kaplan-Meier curves in first-line gastric cancer from the Study of Pembrolimub (MK-3475) as First-Line Monotherapy and Combination Therapy for Treatment of Advanced Gastric or Gastroesophageal Junction Adenocarcinoma (KEYNOTE-062) trial23 is described in eResults in the Supplement and shown in eFigure 6 in the Supplement. Plots of the cumulative hazard and log(HR) over time for all 3 case studies are shown in eFigure 7 in the Supplement.

Nonproportional Hazard Scenario 1: Substantial Crossing of Overall Survival Kaplan-Meier Curves in First-line Non–Small Cell Lung Cancer
The Phase III Open Label First Line Therapy Study of MEDI 4736 (Durvalumab) With or Without Tremelimumab Versus SOC in Non–Small-Cell Lung Cancer (NSCLC) (MYSTIC) trial23 was a study of durvalumab alone or plus tremelimumab vs chemotherapy alone in first-line non–small cell lung cancer. Figure 1 compares the intent-to-treat population (regardless of anti-programmed death/ligand 1 expression) using durvalumab plus tremelimumab vs chemotherapy alone. For the comparison of interest, the study randomized 163 patients in the durvalumab plus tremelimumab arm and 162 in the chemotherapy arm. The analysis was conducted after 112 (68.7%) and 126 (77.8%) events were observed in the experimental and control arms, respectively. Overall HR was 0.85 (95% CI, 0.66-1.10), suggesting a possible modest survival benefit. The HR in the first 0 to 6 months was 1.55 (95% CI, 1.00-2.38) and after 6 months, 0.61 (95% CI, 0.44-0.84). Median survival was 12.0 (95% CI, 8.5-17.9) and 13.0 (95% CI, 10.3-15.1) months in the treatment and standard of care groups, respectively. This example illustrates the challenge of using either single measure (HR or median) to describe treatment benefit when there is a strong departure from proportional hazards.

Although HRs have been criticized as not having a mechanistic interpretation,24 restricted means can be computed for distinct portions of the timeline and then added to yield a unified estimate of benefit or harm during follow-up.25,26 In this case, dRMST up to 1 year was ~0.85 months and 2.03 months beyond a year, indicating the change in treatment efficacy over time. The summed dRMST was 1.19 months (95% CI, -1.44 to -3.81; P = .37), indicating the possibility of a small combined benefit. However, like the overall HR, the combined benefit in dRMST may not be a useful descriptor for patients given the obvious heterogeneity in treatment effect across the population.

The overall survival estimates for treatment and control groups at 6, 12, and 18 months were 69%, 50%, and 42% compared with 78%, 52%, and 34%, respectively, suggesting higher risk of early mortality in the experimental arm with a potentially important subset of patients who benefited long-term. Despite MaxCombo tests being nominally significant, the benefit was clearly modest and likely restricted to a subgroup of patients, thereby leading to a substantial crossing. Both MaxCombo 2 and MaxCombo 3 tests were positive owing to the Fleming-Harrington (with weights, 0, 0.5) test statistic, which applies more weight to late events.

Nonproportional Hazard Scenario 2: Moderate Crossing of Overall Survival Kaplan-Meier Curves in First-line Non–Small Cell Lung Cancer
The Study of Atezolizumab Compared With a Platinum Agent (Cisplatin or Carboplatin) + (Pemetrexed or Gemcitabine) in Participants With Stage IV Non–Squamous or Squamous Non–Small Cell Lung Cancer (Impower 110) study27 evaluated atezolizumab alone vs chemotherapy alone in anti-programmed death/ligand 1 selected first-line non–small cell lung cancer (NCT02409342). The comparison shown in Figure 2 is for the anti-programmed death/ligand 1+ (≥1% positivity of tumor cells) population using the Ventana SPI142 assay. For the comparison of interest, the study randomized 166 patients in the atezolizumab arm and 162 in the chemotherapy arm. The analysis was conducted after 71 (42.8%) and 84 (51.9%) events were observed in the experimental and control arms, respectively. Although there was a modest crossing during the first 4 months (HR, 1.04; 95% CI, 0.85-1.27), there was a clinically meaningful overall treatment benefit with HR of 0.74 (95% CI, 0.54-1.02). However, log-rank test failed to reach nominal significance in this case, while both MaxCombo 2 and MaxCombo 3 did. As in case study 1, MaxCombo 2 and MaxCombo 3 tests were positive owing to the Fleming-Harrington (with weights, 0, 0.5) test statistic. dRMST was 2.99 months (95% CI, -0.17 to 6.14; P = .06). HR in the first 6 months was 0.89 (95% CI, 0.56-1.41) and 0.64 (95% CI, 0.41-0.98) beyond 6 months.

Data from the Phase III Open Label First Line Therapy Study of MEDI 4736 (Durvalumab) With or Without Tremelimumab Versus SOC in Non–Small-Cell Lung Cancer (NSCLC) (MYSTIC) trial.23 Hazard ratio (HR) and 95% CI computed from an unstratified Cox model. PD-L1 indicates programmed death/ligand 1; mS, median survival; SoC, standard of care; Trt, treatment.
whether the use of weighted log-rank test is clinically appropriate is an important consideration. However, immuno-oncology agents may address areas of high unmet need for patients. If a subgroup of patients might gain considerable long-term treatment benefit, arguably more emphasis should be placed on late events when looking for statistical significance, if the mechanism of the drug supports such findings (eg, immuno-oncology agents). Prespecification of the Max-Combo and any subsequent testing on subgroups of interest using appropriate type I error control are necessary to generate robust data for decision-making. We recommend Max-Combo 2 as primary and Max-Combo 3 as sensitivity analyses for immuno-oncology trials.

Not all patients derive equal benefit from new immuno-oncology therapies, resulting in a heterogeneous population.

Therefore, the current practice of using a single test or single summary to compare treatment effect is not sufficiently nuanced as evidenced by the various case studies we discussed, where the use of a single HR to summarize treatment benefit was misleading. Although MaxCombo does not have a corresponding easy-to-interpret summary statistic like an HR for log-rank test, supporting MaxCombo with descriptive summary statistics may be valuable for understanding overall treatment benefits. Beyond the measures already described, the net chance of survival benefit\(^{28,29}\) can be used as an intuitive measure of treatment benefit for patients and physicians. We suggest that no single number adequately describes treatment differences when there is a changing effect over time.

It is critical that a statistical test control for type I (false-positive) error. Based on a previous review,\(^{19}\) the modified Max-Combo, which has been applied here, will control for type I error even in extreme scenarios\(^{30,31}\) (eg, the hypothetical scenario in Freidlin and Korn,\(^{30}\) where the HR was 3.53 in the first 6 months and 0.75 beyond 6 months). Notably, we did not observe such extreme cases in practice; the closest was progression-free survival in KEYNOTE-061 (eFigure 5A in the Supplement) with an HR of 1.67 in the first 6 months and 0.28 thereafter. In this case, none of the 3 tests were nominally significant (eFigure 5B in the Supplement).

An agent with a modest treatment benefit in the overall population may show a statistically positive outcome, providing an opportunity to explore underlying subpopulations that may benefit from the experimental therapy. In immuno-oncology trials, treatment effects may be more modest in the overall population compared with the anti-programmed death/ligand 1 subgroup (eg, the Efficacy Study of Nivolumab Plus Ipilimumab or Nivolumab Plus Chemotherapy Against Chemotherapy in Stomach Cancer or Stomach/Esophagus Junction Cancer [CheckMate 649 study]).\(^{32}\) However, when developing a novel agent, subpopulations may not be well understood. If the primary end point of a prospective phase 3 study is negative, there is minimal opportunity for further exploration in a regulatory setting without conducting another study. While this principle of rejecting a negative trial is generally sound, in some cases where overall survival benefit is considered substantial (eg, atezolizumab in first-line non-small cell lung cancer), the trial would have been deemed statistically negative using log-rank test.

Shen et al\(^{33}\) recently reported that MaxCombo could not identify underlying causes of nonproportional hazards or identify populations in which the benefit exists and is therefore challenging to use for drawing inference. However, no statistical test can be completely relied on for making inference from a clinical perspective. Inference must be based on comprehensive evaluation of the data, while the statistical test is merely an initial gatekeeper. Although log-rank test is less sensitive under nonproportional hazards, with a sufficiently large sample size the log-rank test will also be statistically significant, as seen in the First Line IRESSA Versus Carboplatin/Paclitaxel in Asia (IPASS) study.\(^{34}\)

Careful power considerations are needed for studies with anticipated nonproportional hazards, such as delayed...
separation,19,35 because off-base assumptions can lead to an underpowered study. In these cases, an adequate duration of follow-up and number of events planned before trial initiation are critical. Prespecification of an analysis following the final time point could further help elucidate long-term benefit. A viable multiprong approach would involve consideration of a delayed treatment effect during trial design and use of MaxCombo for primary analyses.

In our review of dRMST vs log-rank test, dRMST was not more sensitive than log-rank test. Although dRMST was concordant with log-rank test in most cases, in the 5 cases where dRMST differed from log-rank test and failed to reach statistical significance, the overall survival benefit appeared clinically meaningful with HR ranging from 0.58-0.83.

Limitations
A potential limitation of this study was using unstratified log-rank test and MaxCombo tests for each comparison and not using the stratified test or multiplicity adjustments as in the original protocols. Therefore, our conclusions may not align with the actual study results.

Conclusions
The findings of this study suggest that MaxCombo may be a pragmatic alternative to log-rank test when nonproportional hazards are anticipated. Log-rank test and MaxCombo reached the same statistical decision in most comparisons. In discordant cases, the associated clinical benefit ranged from modest to meaningful improvements in treatment effect. Notably, dRMST was not more sensitive for detecting treatment differences over log-rank test. HRs can be misleading in summarizing overall treatment benefit under nonproportional hazards and should be supplemented with additional measures (eg, milestone survival differences).

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Dr Mukhopadhyay is an employee and shareholder (or equivalent) of Otsuka America Pharmaceutical, Inc. Drs Ye and Rubin are employed by Merck Sharp & Dohme, LLC, a subsidiary of Merck & Co, Inc. Dr Halabi is a member of data monitoring committees for Sanofi, Eisai, and Ferring and reports grants from American Society of Clinical Oncology Targeted Agent and Profiling Utilization Registry, Duke University Medical Center, during the conduct of the study. No other disclosures were reported.

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