Profiling and predicting the problem-solving patterns in China’s research systems: A methodology of intelligent bibliometrics and empirical insights

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ABSTRACT

Uncovering the driving forces, strategic landscapes, and evolutionary mechanisms of China’s research systems is attracting rising interest around the globe. One topic of interest is to understand the problem-solving patterns in China’s research systems now and in the future. Targeting a set of high-quality research articles published by Chinese researchers between 2009 and 2018, and indexed in the Essential Science Indicators database, we developed an intelligent bibliometrics-based methodology for identifying the problem-solving patterns from scientific documents. Specifically, science overlay maps incorporating link prediction were used to profile China’s disciplinary interactions and predict potential cross-disciplinary innovation at a macro level. We proposed a function incorporating word embedding techniques to represent subjects, actions, and objects (SAO) retrieved from combined titles and abstracts into vectors and constructed a tri-layer SAO network to visualize SAOs and their semantic relationships. Then, at a micro level, we developed network analytics for identifying problems and solutions from the SAO network, and recommending potential solutions for existing problems. Empirical insights derived from this study provide clues to understand China’s research strengths and the science policies underlying them, along with the key research problems and solutions that Chinese researchers are focusing on now and might pursue in the future.

1. INTRODUCTION

China’s accelerating development in science, technology, and innovation over recent decades has sparked interest in the driving forces, strategic landscapes, and evolutionary mechanisms behind it. Profiling China’s achievements in science and technology (Mu & Qu, 2008; Zhou & Leydesdorff, 2006) and discussing issues and challenges for refining China’s research systems
(Cao & Suttmeier, 2017; Tang, 2019) draw research policy analysis attention. Bibliometrics and bibliometric data sources (e.g., scientific publications and patents) are recognized as sturdy tools to identify and answer research questions about the research landscape—for example, the influence of national scientific funding on emerging research (Huang, Zhang et al., 2016) or empirical studies on examining the research strengths of China’s specific practical sectors (Huang, Zhang et al., 2014). Further, with the big data boom and rise of artificial intelligence, bibliometrics has benefited greatly from advanced information tools. Zhang, Porter et al. (2020) call the “development and applications of intelligent models for recognizing patterns in bibliometrics” intelligent bibliometrics, highlighting its tremendous capability and potential to lead a new research thread in bibliometrics.

Returning to the rising interest in China’s far-reaching impact on global science, technology, and the economy, one specific question is: What are the problem-solving patterns in China’s research systems now and in the future? Identifying such patterns will help us understand the mechanisms of China’s research systems and China’s competitive advantage on the international stage. Further, more knowledge of which problems Chinese researchers solve, and how, could support policy studies. Those could help uncover potential driving forces in China’s science policy, and eventually benefit the global technological evolution and economic revolution.

Some studies have touched on intelligent bibliometrics by combining semantic approaches and expert knowledge to identify insights from scientific documents. Some analysts have employed subject-action-object (SAO) analysis as an effective tool for extracting patterns (e.g., independent problems and solutions) (Heffernan & Teufel, 2018; Yang, Huang, & Su, 2018). However, how to develop bibliometric methods to recognize problem-solving patterns in a convincing and semiautomatic way remains elusive. Moreover, how to predict the directions of advance of such science policy patterns is a further challenge.

To address these questions, we assembled a data set of 27,971 research articles published by Chinese researchers between 2009 and 2018 and indexed as “top papers” in the Essential Science Indicators database on the Web of Science (ESI WoS). We then developed an intelligent bibliometric methodology to profile the problem-solving patterns of China’s research systems and predict potential solutions in the future. We analyzed the macrolevel landscape and investigated China’s disciplinary interactions through science overlay maps (Rafols, Porter, & Leydesdorff, 2010). The results revealed the evolution of disciplinary emphases in China’s research systems. At the micro level, we followed the assumption raised in one of our pilot studies that problem-solving patterns are reflected in SAO structures (Zhang, Zhou et al., 2014a), and, hence, we constructed a tri-layer SAO network with subjects, actions, and objects, each in their own layer. Word embedding techniques are incorporated for representing SAOs, and network analytics, such as community detection and link prediction, are then used to identify the problem-solving patterns and predict potential connections between existing problems and possible solutions. The empirical results from this study should provide insights into China’s research systems. They should be of interest to those studying, devising policies, managing, or engaging in China’s science, technology, and innovation processes.

The rest of this paper is organized as follows. Section 2 reviews previous studies on advanced bibliometric techniques. Section 3 presents the intelligent bibliometrics-based methodology in detail. An empirical study on profiling the problem-solving patterns of China’s research systems and predicting potential solutions is given in Section 4. Section 5 discusses the technical implications and possible applications of the proposed method and concludes this study.
2. RELATED WORK: ADVANCED BIBLIOMETRIC TECHNIQUES

Dating back to the 1990s, van Raan (1996) highlighted the benefits of advanced bibliometrics with publication and citation data to gain “insights into the international position of actors at the research front in terms of influence and specializations, as well as into patterns of scientific communication and processes of knowledge dissemination,” rather than “only numbers.” Compared to using the traditional bibliometric indicator—citation statistics—text segmentation, with the aid of natural language processing techniques, has provided a new angle for conducting topic analysis in terms of semantics (Porter & Detampel, 1995). Interest in analyzing the full text of publications was raised in the early 2000s (Glisson, Glänzel et al., 2005) and, together with sentiment analysis and behavior analysis, this is an emerging topic in the bibliometric community (Boyack, van Eck et al., 2018).

Along with the engagement of new data sources and indicators, such as technology opportunity analysis (Ma, Porter et al., 2014), technology roadmaps (Li, Zhou et al., 2015), and triple helix models to describe university-industry-government collaborations (Leydesdorff & Zhou, 2014; Zhang, Zhou et al., 2014b), the interactions between bibliometrics and information technologies are increasing. In turn, bibliometric solutions are becoming more effective: for example, large-scale data analytics and mapping (Börner, Klavans et al., 2012; Boyack, Newman et al., 2011), accurate knowledge extraction and representation (Zhang, Wan et al., 2018a; Zhang, Zhang, & Li, 2019a), full-text analytics (Boyack et al., 2018), and social network analytics (Rost, Teichert, & Pilkington, 2017; Yan & Guns, 2014). Driven by diverse practical needs, incorporating computational models, particularly artificial intelligence techniques, with bibliometric indicators and approaches is spearheading new research frontiers—for example, information visualization enhances the ability and adaptability of decision support (Chen, 2006; Waltman, van Eck, & Noyons, 2010). This research route moves forward either by developing more effective algorithms, approaches, and tools for visualization (Chen & Song, 2019; Ping & Chen, 2018) or by facilitating network analytics to uncover latent relationships by analyzing the topological structures of science maps (Rost et al., 2017; Zhang, Wang et al., 2018c). Specifically, SAO analysis with the capability of understanding syntax from sentences has received great attention from the communities of bibliometrics and technology management. For instance, Zhang et al. (2014a) defined problem-solving patterns from scientific documents based on TRIZ theory, SAO analysis, and a substantial amount of expert knowledge. Yang et al. (2018) followed a similar approach, constructing an SAO network and using indicators of the network’s topological structure to identify independent problems or solutions. Comparably, Heffernan and Teufel (2018) applied a set of classification approaches for distinguishing problems or solutions through supervised learning and a feature space specifically designed for the task.

Topic analysis, as another stream in advanced bibliometrics, has gained from topic models, which exploit latent Dirichlet allocation and its extensions for performing unsupervised clustering tasks (Suominen & Toivanen, 2016; Yau, Porter et al., 2014). In parallel, community detection approaches, which are associated with network analytics, group similar nodes as topics based on their topological features (Huang, Jia et al., 2018; Waltman & van Eck, 2014).

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1 Technology opportunity analysis was introduced by Porter and Detampel (1995), highlighting the identification of opportunities related to technological R&D (e.g., key technological components, inventors/owners of a technology, and key players of a technological area), and has been broadly extended to a wide range of studies in technology analysis, assessment, and forecasting.

2 TRIZ stands for the theory of inventive problem solving. Specifically, Zhang et al. (2014a) projected problems, solutions, and the type of solutions as objects, subjects, and actions (i.e., verbs) respectively, and thus, profiled problem-solving patterns in a semantic way.
Incorporating community detection with word embedding techniques has led to novel solutions for knowledge representation and topic extraction (Zhang, Lu et al., 2018b). Further, as a key subarea of topic analysis, topic detection and tracking can be traced back decades (Allan, 2002), but investigating changes in topics over time has long been a challenge, not only the bibliometric community, but also to a wide range of practical sectors. Machine learning techniques and advanced data analytics are bringing new thoughts and tools for handling these issues—for example, Tang and Popp (2016) studied technological change through a learning process, while Zhang et al. (2017) identified predecessor-descendant relationships over time through streaming data analytics.

Referring to the description of advanced bibliometrics given by van Raan (1996), we define these techniques, approaches, and methodologies of advanced bibliometrics based on computational models (particularly advanced data analytic techniques and artificial intelligence techniques, such as network analytics, streaming data analytics, fuzzy systems, and machine learning) as intelligent bibliometrics, highlighting “the development and application of intelligent models for recognizing patterns in bibliometrics” (Zhang et al., 2020).

3. METHODOLOGY

The purpose of this study is to propose an intelligent bibliometrics-based methodology for profiling the problem-solving patterns in China’s research systems and predicting possible solutions in future. The framework of this method is given in Figure 1.

The data used in the analysis are the bibliographical information in scientific articles retrieved from WoS, such as titles, abstracts, author keywords, KeyWords Plus (a unique field in the WoS database) containing terms that frequently appear in the titles of an article’s

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More information on KeyWords Plus may be found at https://support.clarivate.com/ScientificandAcademicResearch/articles/KeyWords-Plus-generation-creation-and-changes?language=en_US.
3.1. Macro-Level Investigation: Science Overlay Maps for Profiling Disciplinary Interactions

Science overlay maps, known as an effective tool for illustrating relationships among scientific disciplines (Rafols et al., 2010), can reveal empirical insights and strategic emphases (Rotolo, Rafols et al., 2017). Thus, profiling the interactions between scientific disciplines, as well as the diverse policy emphases within China’s research systems, and predicting potential cross-disciplinary innovation could benefit individual researchers in reviewing the landscape of related disciplines and extending their knowledge bases for further innovative activities. More importantly, in terms of science policy, these insights could support the decisions of governments and their agencies to act pre-emptively (e.g., scoping national R&D and strategic plans by identifying emerging disciplines/directions and allocating research investments). Given these circumstances, we design this macrolevel investigation incorporating science overlay maps with an approach of link prediction. Specifically, based on WoS categories and their co-occurrence statistics, we construct a base map that illustrates China’s disciplinary interactions from a bird’s-eye view, and then conduct three tasks:

- **Compare the emphasis of policies between research institutions and university systems:** Targeting the most representative entities at the top levels of research, we generate a science overlay map for each selected institution. We compare these maps to gain empirical insights for understanding the diverse emphases among China’s research institutions and university systems in terms of science policy.
- **Track the evolution of scientific disciplines:** We can divide the entire data set into a set of smaller sequential data sets and generate a science overlay map for each time period. From changes in the nodes on the map, we can track the evolution of various disciplines.
- **Predict disciplinary interactions:** We introduce an algorithm of link prediction with an index of resource allocation (Zhang, Zhu et al., 2019b) to make predictions by considering the base map as a complex network where each node represents a discipline, and each weighted edge represents the co-occurrence frequency between connected nodes. This process infers missing links between existing nodes, which represent potential interactions between disciplines, and results in a ranked list of discipline pairs based on the weights of their edges. High-ranking pairs indicate likely cross-disciplinary directions in the future based on China’s current scientific strengths.

3.2. Micro-Level Investigation: Subject-Action-Object Analysis for Recognizing and Predicting Problem-Solving Patterns

The SAO structure of a sentence is key to translating free text into structured formats (Zhang et al., 2014a). SAO is the most basic grammatical syntax in English, following the form “some-one [subject] did [action] something [object].” Our microlevel investigation concentrates on SAO strings retrieved from raw text in the combined title and abstract fields of the articles. However, this SAO analysis includes two novel techniques: enhancing the representation of SAOs by incorporating word embedding techniques; and constructing a tri-layer SAO network.
\textbf{Table 1.} Stepwise results of “action” cleaning and consolidation

| Step | Description |
|------|-------------|
| 3.1  | Actions retrieved from the filtered SAOs |
| 3.2  | Rules-based cleaning and consolidation: consolidating verbs with the same stem (e.g., increase/increases/increased); removing copulas and modal verbs; and removing general verbs (e.g., suggest, introduce, and study)\textsuperscript{a} |
| 3.3  | Removing actions with a frequency of less than 5 |
| 3.4  | Dictionary-based refinement: consolidating actions based on knowledge and rules summarized in the literature\textsuperscript{b} |
| 3.5  | Screening and selecting core actions with human intervention |

Notes: \textsuperscript{a} This is based on a thesaurus summarized from our previous experiments and knowledge. \textsuperscript{b} Two key sources are applied to help summarize key verbs: a project Semantic Knowledge Representation granted by the US National Library of Medicine summarized 30 key ontological predicate definitions as a semantic predication gold standard for the biomedical literature (Kilicoglu, Rosemblat et al., 2011); and a platform provided by AULIVE Inc. based on patent analysis summarized 37 key functional verbs\textsuperscript{4}.

4 More information may be found at http://www.productioninspiration.com/.
where $\vec{\theta}$ represents a word vector that comprises the vector of a component $\vec{c}$, $\alpha$ and $\beta$ represent the weights of the word vector $\vec{\theta}$ and the component vector $\vec{c}$, respectively, and $\Sigma \alpha = 1$ and $\Sigma \beta = 1$.

Step 6: Construct the tri-layer SAO network $G$ according to Definition 2, in which nonweighted edges $E^c$ among layers represent their original SAO relationships, and edges $E$ within one layer are the semantic relationships between nodes (e.g., subjects and subjects). The semantic relationships are weighted by Salton’s cosine similarity between the vectors of related components:

$$e^c(n_x, n_y) = \begin{cases} 1 & \text{if } n_x \text{ and } n_y \text{ belong to any same SAOs} \\ 0 & \text{else} \end{cases}$$

where $e^c(n_x, n_y)$ is the weight of an edge between any two nodes $n_x$ and $n_y$ in different layers of the network $G$.

$$e_s(n'_x, n'_y) = \cos(\overrightarrow{c_{n'_x}}, \overrightarrow{c_{n'_y}})$$

where $e_s(n'_x, n'_y)$ is the weight of an edge between any two nodes $n'_x$ and $n'_y$ in the network $G_s$, and $e_a(n'_x, n'_y)$ is calculated in the same way.

Step 7: Follow an approach of fluid community detection (Parés, Gasulla et al., 2017) to group the subject and object layers of the network $G$ into communities (i.e., research topics). More specifically, initialize $k$ communities randomly with a density $d(c)$ in the range $(0, 1]$. Then, apply the modularity approach (Newman, 2006) to help decide the optimal number $k$ of communities. Here, a larger modularity indicates a better division of the network’s communities:

$$d(c) = \frac{1}{n \in c}$$

where $n \in c$ is the number of nodes in community $c$.

Then maximize the aggregated density of each node to update its community information:

$$L'_{n_x} = \operatorname{argmax}_{c \in \mathcal{C}} \sum_{n_y \in \Gamma(n_x)} d(c) \times \delta(c(n_y), c)$$

$$\delta(c(n_y), c) = \begin{cases} 1, & \text{if } c(n_y) = c \\ 0, & \text{if } c(n_y) \neq c \end{cases}$$

where $n_x$ is the node being updated, $L'_{n_x}$ is the set of new candidate communities for $n_x$, $\Gamma(n_x)$ represents the set of nodes neighboring $n_x$, and $\operatorname{argmax}$ is the abbreviation of the arguments of the maxima, representing an operation that seeks an argument to achieve the maximum value from a target function in a learning process.

This process iterates until the community structure of the network converges. Ultimately, each community takes on the label of the node with the highest weighted centrality (Freeman, 1978):

$$CT_{n_x} = \frac{\sum_{n_y \in \Gamma(n_x)} e(n_x, n_y)}{N-1}$$

where $\Gamma(n_x)$ denotes the set of nodes neighboring $n_x$, $e(n_x, n_y)$ is the weight of the edge between $n_x$ and $n_y$, and $N$ is the total number of nodes in the layer.
Step 8: Assuming that subjects may relate to solutions and objects may relate to problems, apply the algorithm of link prediction with a weighted index of resource allocation (Zhang, Zhu et al., 2019b) over the tri-layer SAO network to infer missing edges between the two layers $EG$ and $SO$. We choose this algorithm on the assumption that every node owns a unit of unspecified resource, and the neighbors shared between two nodes are resource transmitters that allocate the resource to each node connected to it. The weight of the edge replaces the number of resource units needed to improve accuracy for the task of link prediction. The formula to predict the weight of the link $WRA_{n_s,n_o}$ between the given subject node $n_s$ and the object node $n_o$ is calculated as:

$$WRA_{n_s,n_o} = \frac{\sum\limits_{n_{cn}\in \Gamma(n_s) \cap \Gamma(n_o)} e(n_{cn}, n_s) + e(n_{cn}, n_o)}{\sum\limits_{n_k\in \Gamma(n_s) \cap \Gamma(n_o)} e(n_{cn}, n_k)}$$

where $\Gamma(n_s)$ denotes the set of nodes neighboring $n_s$, and $e(n_{cn}, n_s)$ is the weight of the edge between $n_{cn}$ and $n_s$. The larger the value of $WRA_{n_s,n_o}$, the higher the possibility that a link will form between the two nodes in future.

The raw outputs of this procedure include a list of predicted subject-object pairs ranked by the weight of the predicted edges $EG_{SO}$ and a set of similarity matrices between problems, between solutions, and between problems and solutions.

4. EMPIRICAL STUDY: WHAT ARE THE PROBLEM-SOLVING PATTERNS OF CHINA’S RESEARCH SYSTEMS?

The Essential Science Indicators (ESI) database in the Web of Science (WoS) is designed to reveal emerging science trends and influential entities (e.g., papers, journals, individuals, institutions, and countries), covering a 10-year rolling file. It collects two types of papers: highly cited papers are the top 1% of papers in a given discipline in a specific year, based on citation counts received from the WoS database, indicating their permanent impact; and hot papers are the top 0.1% papers in a given discipline in the most recent 2-month period, based on citation counts received from the WoS database, indicating the emerging interests of related communities. Top papers in the ESI database contain both types, representing a set of well-recognized and high-quality research articles in a discipline. Thus, the ESI database has been widely used for profiling research disciplines and areas (Liao, Tang et al., 2019; Zhang, Wan et al., 2018a) and evaluating the research performance of a given entity (Csajbók, Berhidi et al., 2007; Fu, Chuang et al., 2011).

Aiming to focus on high-quality research conducted by Chinese researchers, this case study exploited the WoS ESI database, and, on November 15, 2019 assembled a data set of 27,971 highly cited articles published by Chinese researchers between January 1, 2009 and December 31, 2018, with the following search criteria:

Countries/Regions = China AND Results = Top Papers

Note that considering the setting of the ESI database, Chinese researchers are affiliated with at least one Chinese institution, and both first authors and coauthors are counted. Moreover, as

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5 More information on the WoS ESI may be found at https://clarivate.com/webofsciencegroup/solutions/essential -science-indicators/.
the strict selection criterion (e.g., top 1% of the citation counts) of those top papers in the ESI database, ESI in total contains approximately 155,000 top papers from the globe, and thus, the coverage of the 27,971-article data set could be convincing for portraying the research landscape of the Chinese research system. Brief information about the data set is given in Table 2.

In addition to our main task of profiling the problem-solving patterns in China’s research systems, we make overall observations from the data, which could be of interest to stakeholders in science policy and related academic researchers, or be investigated in further studies.

1. On average, each article has 5.9 authors, indicating that Chinese researchers might prefer relatively large research teams.
2. China’s researchers have established collaborations with researchers from 174 countries and regions, demonstrating a high degree of collaborative diversity.
3. Articles published by China’s researchers span 211 of the 254 WoS categories, indicating a high coverage of disciplines in China’s research systems, which may be supported by the central government’s national strategies and science policies.

Note that this statistical information is based on top papers. An analysis of all articles may produce different results.

4.1. Macro-Level Investigation: Science Overlay Maps for Profiling Disciplinary Interactions

Science overlay maps specifically focus on the WoS Categories and profile disciplinary interactions to help uncover and understand the science policies behind those patterns. The top 30 WoS categories in which Chinese researchers publish articles are listed in Table 3.

As shown, science disciplines, such as chemistry, physics, and biology, lead the list, followed by engineering and computer science. These rankings may reflect two drivers. First, China has a long history of establishing policies that make the natural sciences a priority. Thus China’s research systems provide more funding in those disciplines than to the social sciences (including arts and humanities). Second, the global publication systems follow Western traditions, which can mean that it is difficult for Chinese researchers to publish in high-quality social science journals due to language barriers and differences in culture and values. However, the balance between the two areas is potentially changing. We generated a science overlay map for China’s research systems in Figure 2, in which China’s specific research interests in

| Indicator       | Number    | Indicator       | Number    |
|-----------------|-----------|-----------------|-----------|
| Records         | 27,971    | Author keywords | 44,263    |
| Authors         | 164,445   | Keywords Plus   | 54,801    |
| Affiliations    | 17,160    | WoS categories  | 211       |
| Countries       | 175       | Journals        | 2,427     |

Note: WoS categories were refined by Clarivate Analytics as “research areas” in early 2019, but we used the finer grained version of the WoS categories, given that the period of study ends in 2018; and the numbers provided above are all raw numbers prior to cleaning.
Table 3. Top 30 WoS categories in which Chinese researchers published articles

| No. | WoS category                        | #A   | No. | WoS category                        | #A   |
|-----|-------------------------------------|------|-----|-------------------------------------|------|
| 1   | Chemistry, Multidisciplinary        | 5,812| 15  | Automation & Control Systems        | 1,099|
| 2   | Materials Science, Multidisciplinary| 4,451| 17  | Plant Sciences                      | 729  |
| 3   | Chemistry, Physical                 | 3,953| 18  | Biochemistry & Molecular Biology    | 624  |
| 4   | Nanoscience & Nanotechnology        | 3,270| 19  | Telecommunications                  | 609  |
| 5   | Physics, Applied                    | 2,815| 20  | Oncology                            | 605  |
| 6   | Engineering, Electrical & Electronic| 2,281| 21  | Computer Science, Info. Systems    | 543  |
| 7   | Multidisciplinary Sciences          | 1,983| 22  | Physics, Multidisciplinary          | 524  |
| 8   | Physics, Condensed Matter           | 1,978| 23  | Mathematics                         | 523  |
| 9   | Energy & Fuels                      | 1,969| 24  | Mechanics                           | 494  |
| 10  | Engineering, Chemical               | 1,921| 25  | Food Science & Technology           | 486  |
| 11  | Environmental Sciences              | 1,862| 26  | Geosciences, Multidisciplinary      | 456  |
| 12  | Engineering, Environmental          | 1,780| 27  | Engineering, Mechanical             | 405  |
| 13  | Mathematics, Applied                | 1,225| 28  | Biotech. & Applied Microbiology     | 393  |
| 14  | Computer Science, Artificial Intel. | 1,194| 29  | Green & Sustainable Science & Tech. | 389  |
| 15  | Automation & Control Systems        | 1,099| 30  | Cell Biology                        | 388  |

Note that as one journal may belong to multiple WoS categories, the set of articles assigned to WoS categories may overlap.

Figure 2. Science overlay map for China’s research systems.
chemistry, electrical and electronic engineering, applied mathematics, and multidisciplinary sciences are observed.

Further, in the Supplementary Information, we profiled the interactions between disciplines and uncovered reasons for the observed patterns through two sets of science overlay maps and a forecasting study based on an approach of link prediction.

- Affiliation-based maps (see Supplementary Information A-1): We generated science overlay maps for the Chinese Academy of Sciences (CAS), Tsinghua University, and Peking University, respectively, and particularly tracked the outputs of Chinese researchers in social sciences from top Chinese journals indexed by the Chinese Academy of Social Sciences (CASS). This study revealed the diversity of science policies in China’s research systems between universities and government-funded research institutions.

- Time-based maps (see Supplementary Information A-2): We zoomed in on two time periods—an earlier period between 2009 and 2011, and a later period between 2016 and 2018—to analyze the evolution of disciplinary interactions of China’s research systems.

- Link prediction (see Supplementary Information A-3): We applied an approach of link prediction to the base map of China’s research systems (Figure 2) to foresee potential cross-disciplinary interactions.

Our key findings from this macrolevel analysis are as follows:

- Over the past decade, China has pursued a balanced strategy of encouraging academic research in all scientific disciplines, but China’s efforts in social science disciplines are not as advanced as that of natural sciences on the global stage.

- Interactions within natural sciences can be clearly traced for each of the three affiliations, as well as the base map. However, how Chinese researchers will conduct cross-disciplinary studies between the natural and social sciences, where gaps still exist, is elusive so far.

- Computer science and related disciplines are one of China’s research strengths. Driven by artificial intelligence techniques and the visionary applications of the internet of things, as well as 5G and robotics, interactions between computer science (e.g., artificial intelligence, information systems, and cybersecurity) and its applications in engineering areas, such as electrical and electronic engineering, telecommunications, and automation computer science is rapidly spearheading a cutting-edge direction.

- Research strengths in chemistry, biology, and material sciences mean that sustainable technologies (e.g., 3D printing) are also a cutting-edge area that holds strong interest for China’s researchers. New materials and novel manufacturing processes in the areas of chemical engineering and biological engineering are among the most significant innovations these days, and nanotechnologies should further enhance the practical capability of those inventions.

4.2. Micro-Level Investigation: Subject-Action-Object Analysis for Recognizing and Predicting Problem-Solving Patterns

With the aid of OpenIE (Angeli et al., 2015), we extracted 195,188 raw SAO structures from our corpus and then conducted the cleaning and consolidation process (Steps 1–3 in Section 3.2)
to identify 4,528 core SAOs, including 35 core actions (i.e., verbs), 4,308 subjects (i.e., phrases and terms), and 4,409 objects (i.e., phrases and terms). Then, based on the 145,265 word-vectors trained by the Word2Vec model, these core SAOs were represented by SAO vectors (Steps 4–5 in Section 3.2).

The tri-layer network was constructed from the 4,528 SAOs. The subject and object layers consisted of 4,308 nodes and 4,409 nodes, respectively. An edge between two nodes in the same layer was only created if the cosine similarity between the two corresponding SAO vectors was above average and the similarity was then set as the weight of the edge. The action layer with the 35 core verbs was treated as a virtual layer with no edges. The natural connections within each SAO structure were used as nonweighted edges among the three layers. The descriptive statistics of the tri-layer SAO network are given in Table 4.

Subsequent to establishing the current network, we conducted community detection to identify the key problems and solutions in China’s research systems, followed by link prediction for predicting the potential problem-solving patterns that Chinese researchers could be contributing to in the near future.

### 4.2.1. Community detection for identifying key problems and solutions

Given the relatively large number of subjects and objects, cluster analysis provided a way to explore representative subjects and objects and then identify key problems and solutions. Thus, we applied a fluid community detection approach to the subject and object layers of the network. To identify the optimal number \( k \) of communities over an interval of \([2, 150]\), we plotted the resulting modularity values in a series of experiments and then selected the optimal number of communities based on two criteria: As the subjects and objects were retrieved from more than 200 WoS categories, a relatively large number of communities may better reflect reality; and a higher modularity value may indicate a better result. Hence, we chose 80 and 90 as the numbers of communities for the subject and object layers, respectively.

The weighted centrality of each subject/object was calculated, and the subject/object with the highest value of centrality was selected as the label for its community. This may be criticized, but, we employed these labels to represent the entire community and linked those communities with actions of those labels (i.e., when community \( C^A \) was labeled with a subject \( S \), the actions connected with subject \( S \) were considered to be actions associated with the community \( C^A \)). Twenty-two clumps were collected, in which one action acts as the core and is connected to either a set of subjects or a set of objects. Considering that clumps with missing subjects or objects do not adequately reflect a complete problem-solving pattern, seven clumps were discarded, leaving 15 complete clumps with 68 subjects and 78 objects. These might represent the key problems and solutions achieved by China’s research systems.

|                | No. of nodes | No. of edges | Max. | Min. | Mean  | Std. Dev. |
|----------------|--------------|--------------|------|------|-------|-----------|
| Subject layer  | 4,308        | 4,273,606    | 0.999| 9.2 × 10^{-9} | 0.293 | 0.186     |
| Object layer   | 4,409        | 4,707,372    | 0.999| 3.0 × 10^{-7} | 0.348 | 0.185     |

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6 Available at https://github.com/IntelligentBibliometrics/QSS.
over the past decade. It is intriguing that among those identified subjects and objects, the following seven WoS categories cover 71.19% of the combined set: “chemistry, multidisciplinary,” “chemistry, physical,” “materials science,” “multidisciplinary,” “physics, applied,” “nanoscience & nanotechnology,” and “engineering, environmental.”

Figure 3 shows a visualization of the tri-layer (using a procedure developed in one of our pilot studies on knowledge discovery in biomedical research—see Hu et al. (2018)), which helped us to analyze the situation more deeply. It is clear that “affect,” “provide,” “present,” “promote,” and “construct” are the top five clumps. From a semantic perspective and together with concepts in technology management (Li, Porter, & Suominen, 2018), we classified these 15 clumps into the following three aspects:

- **Breakthrough technologies**: The clumps of “provide,” “inhibit,” and “produces” may contain ideas for inventions, such as proposing new manufacturing processes, identifying new materials, and creating new products. These clumps contain 15 subjects and 16 objects, with a coverage of 21.23%.
- **Technological refinements**: The clumps of “affect,” “combine,” “estimate,” “induce,” and “promote” may indicate improvements to existing solutions. These clumps involve 18 subjects and 31 objects, with a coverage of 33.56%.
- **Potential innovative solutions**: The remaining seven clumps, covering 45.21% of the network, include “associate with,” “construct,” “involve,” “observe,” “process,” “present,” and “use.” Further investigation is needed to understand their contents in detail, but it is reasonable to consider that these solutions may be ancillary to potential innovation (e.g., general observations or the use of existing approaches), as well as possibly containing some significant findings (e.g., an impactful recombination).

### 4.2.2. Link prediction for predicting potential problem-solving patterns

We applied the refined link prediction approach to predict the links in the tri-layer SAO network. Based on the predicted weight of the connection between a subject and an object,
10,000 problem-solving patterns (i.e., subject-object pairs) were identified that could be considered as potential solutions (i.e., subjects) for certain problems (i.e., objects). Two sets of efforts were conducted to briefly demonstrate these 10,000 patterns: a science map for visualizing the WoS-category co-occurrence among predicted solutions to review potential disciplinary interactions in China’s research systems; and 19 highlighted problem-solving patterns to provide examples of how potential solutions are recommended to a specific problem.

Given that we linked the subjects and objects with the WoS categories via their related journals, one solution (subject) may belong to multiple WoS categories. The breakdown of WoS categories in the predicted network closely follows the proportions given in Table 3. We also generated a co-occurrence matrix between categories and then a science overlay map, as shown in Figure 4, to reveal the interactions between predicted solutions at a discipline level. Despite an outcome of the microlevel investigation based on network analytics on an SAO network, insights derived from this map could provide clues from a macrolevel landscape as to how multiple disciplines might fuse based on China’s current research strengths. Such insights might be interesting in terms of science policy and strategic management.

Unlike our observations from the science overlay maps above (Figure 2 and Supplementary Information A), Figure 4 emphasizes the predicted disciplinary interactions that may occur in China’s research systems in the near future, and thus, from this analysis, we glean the following insights.

- Computer science is bridging engineering disciplines (e.g., engineering, electrical & electronic) and business disciplines (e.g., management and economics). Such a combination is to be expected as a frontier that provides solutions for social sciences, with support of China’s research advantages in computer science disciplines.

Figure 4. WoS category co-occurrence map of predicted solutions.

7 Also available at https://github.com/IntelligentBibliometrics/QSS.
| **WoS category of subjects** | **Subject (potential solutions)** | **Object (possible problems)** |
|-------------------------------|-----------------------------------|--------------------------------|
| 1 Engineering, environmental | Excellent microwave absorption (MA) performance | A nonvolatile rewritable memory effect with the function of flash |
| 2 Thermodynamics | The regional differences in impact factors on CO₂ emissions | CRISPR-Cas9 |
| 3* Microbiology | Respiratory syncytial virus | As a target of miR-448 |
| 4 Plant sciences | Melatonin | Significantly increased activities of catalase |
| 5 Gastroenterology & hepatology | Pin1 inhibition by API-1 | For the MnO₂/Mn/MnO₂ sandwich-like nanotube arrays in solution of 1.0 M Na₂SO₄ |
| 6 Medicine, research & experimental | StarBase V2.0 | To detect Pb²⁺ in practical samples |
| 7 Geochemistry & geophysics | A deep convolutional neural network | High-quality images |
| 8* Virology | Non-Latinized binomial species names | Species richness |
| 9 Computer science, artificial intelligence | t-distributed stochastic neighbor | Accurate representation of gross primary production |
| 10 Biochemical research methods | Machine learning methods | To identify feature-related wavebands for developing models for monitoring the oxidative damage of pork myofibrils during frozen storage |
| 11 Marine & freshwater biology | Tris (1,3-dichloroisopropyl) phosphate exposure | Reactive oxygen species |
| 12* Agriculture, dairy & animal science | Gene expressions of antioxidant enzymes | By real-time polymerase chain reaction |
| 13 Peripheral vascular disease | Human induced pluripotent stem cells | Better durability under harsh hydrogen evolution reaction cycling conditions than commercial Ir/C |
| 14* Behavioral sciences | Enzyme-linked immunosorbent assay | An improved photocatalytic performance under visible light irradiation |
| 15 Nuclear science & technology | The analysis of Fourier transforms infrared spectroscopy | In thermogravimetry/differential scanning calorimetry coupled with Fourier transform infrared spectroscopy |
| 16* Genetics & heredity | Hepatocellular carcinoma up-regulated long noncoding RNA | Hepatocellular carcinoma progression |
| 17 Dermatology | Acnes species | The optical properties of the anticancer function of oxaliplatin |
Extensive fusions may occur with a broad range of engineering disciplines. Also, the strengths of the connections among disciplines, such as materials science, chemistry, biology, and neurosciences, might be further enhanced.

Genetics and heredity seem to be a key that could launch a cutting-edge direction in the medical and healthcare sciences. Similarly, the bridging role of public, environmental & occupational health in connecting disciplines, such as biology, neuroscience, clinical neurology and psychology, and economics is observed.

As representative cases, we selectively highlighted 19 problem-solving patterns in Table 5, based on the following steps and criteria:

1. According to the predicted value calculated by the link prediction method, which represents the potential strength of the connection between a subject and an object, each pattern could be ranked.
2. The WoS category of the subject was set as a prior indicator, and for each category, we selected the top three high-ranked patterns.
3. Duplicate subjects and objects were removed (i.e., for each subject/object, only the pattern with the highest rank was retained).

Following a traditional approach in literature-based discovery for seeking supportive evidence from the literature, we randomly picked a few patterns in Table 5:

- Current research on “Plant sciences” (#4) is working toward proof that melatonin treatments protect antioxidant enzyme activities, which could regulate oxidative stress (Emamgholipour, Hossein-Nezhad et al., 2016).
- In #9, while $t$-distributed stochastic neighbor embedding is a machine learning technique for reducing dimensions and then visualization (Van der Maaten & Hinton, 2012) and measuring the uptake of carbon dioxide by leaves is an approach of representing gross primary production, the bridge of the two “apparently” irrelevant items is earth system modeling and visualization, a frontier area in plant sciences (Wang, Prentice et al., 2017).
- In Dermatology, #17, an acne-like skin rash is one of the most common side-effects of treating cancer with a combination of cetuximab and oxaliplatin, which has been reported in diseases such as cholangiocarcinoma (Gruenberger, Schueller et al., 2008).
4.2.3. Empirical validation for the prediction of problem-solving patterns

Aiming to evaluate the performance of the proposed method, an empirical validation was conducted to examine the predicted potential problem-solving patterns through link prediction. This design follows two reasons. First, the retrieval of SAO structures was conducted by the software OpenIE, which is a popular tool for SAO analysis and has already been examined in the NLP area (Angeli et al., 2015). Thus, we assume the collected SAOs in our study (i.e., core SAOs retrieved in the data pre-processing) are acceptable. Second, because the empirical data set is unlabeled and previous SAO approaches are mostly case-driven and semiautomatic, expert knowledge-based empirical validation is the best option for us under these circumstances. Despite the other task of recognizing problem-solving patterns, the prediction of those patterns’ recombination is the final outcome of the microlevel investigation. Therefore, targeting to these predicted problem-solving patterns, empirical evaluation was conducted from two aspects: the validation of selected problem-solving patterns in Table 5, and the validation of problem-solving patterns predicted for a specific problem.

4.2.3.1. Validation of selected predicted problem-solving patterns

These 19 problem-solving patterns cover a broad range of research disciplines, challenging the organization of a relevant expert panel for conducting the empirical evaluation. Thus, we specifically picked up five problem-solving patterns (marked with a "**" in Table 5) aligning with biology and life sciences. We formed an expert panel, including five early career researchers (e.g., Research Fellows and PhD candidates) in related areas from two CAS institutes: the Institute of Zoology and the Guangzhou Institutes of Biomedicine and Health. We interviewed these five experts and requested them to mark the relevance of the solutions to problems against five levels, where A means “exactly relevant” (equal to 1), and E means “totally irrelevant” (equal to 0). The scores for the five problem-solving patterns are given in the Supplementary Information (see Table S4).

In general, an average score for the five patterns is 0.58, which could be acceptable considering that the two patterns (i.e., #3 and #14) with the lowest scores received one B score at least. Then, we arranged an online workshop gathering the five experts to delve into the three patterns (i.e., #8, #12, and #16) and empirically discussed their potential. We conclude as follows:

- Pattern #8 refers to the naming issue in virology, raised by the irregularity of naming viruses in the early days and the species richness; then the International Committee on Taxonomy of Viruses (ICTV) introduced the rule of using non-Latinized binomials to name virus species in 2011 (Van Regenmortel, Burke et al., 2010). Thus, the connection between the subject and object of pattern #8 is promising, but considering that this is not a potential problem-solving pattern, rather than an existing one, it is reasonable to mark it with a score of 0.65.
- Pattern #12 in fact exposes a shortage of SAO analysis, which could not effectively distinguish subjects and objects in a passive tense. In this case, detecting the gene expression of antioxidant enzymes is a problem in animal science, and the approach of real-time polymerase chain reaction (RT-PCR) could be an effective and appravelable solution (Yin, Wu et al., 2014). That is to say, the SAO analysis failed to clearly identify the roles of the two items, but, intriguingly, the preposition “by” before RT-PCR could be such an excuse. However, considering that this is an evaluation for link prediction—seeking the relationships between subjects and objects—we made a good hit.
- Pattern #16 uncovers the correlation between long noncoding RNAs (lncRNA) and the hepatocellular carcinoma, and evidence for this potential pattern could be traced in some most recent papers published in related top-level journals (Xiong, Ni et al., 2017), considering the data set only covers publications before January 1, 2019. Thus, we consider this pattern demonstrates good agreement between our prediction and expert knowledge.
According to this workshop, the experts agreed that the proposed method could gain advantages in connecting problems and solutions, and such problem-solving patterns are the recombination of existing knowledge, which might be innovative for related research communities. However, the experts also pointed out that because these patterns were identified from scientific articles, if those predicted patterns were based on relatively old articles, such a recombination might have been realized already (e.g., #8). We agree, and anticipate that focusing on the most recent publications could increase the practical significance of the proposed method.

4.2.3.2. Validation of the problem-solving patterns predicted for a specific problem

In this section, we targeted a specific problem and validated whether the set of potential solutions for this problem could be empirically feasible. Considering our own background, we noticed that “Computer science, artificial intelligence (AI)” contains 461 problem-solving patterns. Of these, the problem “to identify feature-related wavebands for developing models for monitoring the oxidative damage of pork myofibrils during frozen storage” in the “food science & technology” category had 15 potential solutions, as listed in Table 6.

| Subject (Potential solution) | Level | Note |
|-----------------------------|-------|------|
| Nonlocal hierarchical dictionary learning | A | Methods for feature selection (Zhu, Hu et al. 2016a) |
| A supervised inductive manifold hashing framework | C | Manifold hashing could be feasible for feature representation (Song, Cai et al., 2017) but may not be suitable for feature selection |
| A manifold embedding algorithm | A | Methods for feature selection (Yao, Liu et al., 2017) |
| A reinforcement learning algorithm | E | Inapplicable for this case |
| Hyperspectral images | D | Related to the problem but not a solution |
| Nonparametric manifold learning | A | Methods for feature selection (Cai, Zhang & He, 2010) |
| A deterministic learning technique | C | Methods in quantum computing, which may be theoretically applicable |
| A multiobjective discrete particle swarm optimization algorithm | A | Widely applied methods for feature selection (a large number of articles have been published in journals such as Expert Systems with Applications) |
| A multimodal deep support vector classification (MDSVC) approach | B | Support vector machine is one classical approach for feature selection, and the use of deep learning for extracting explicit features may be a challenge. |
| A multikernel learning strategy | A | Classical methods for feature selection (Zeng & Cheung, 2010) |
| A projection-based TODIM method with MVNSs for personnel selection | A | Classical optimization approaches, similar to #8 |
| New hashing techniques | B | Hashing techniques are well known for data storage and transmission, but some work could be traced in the literature (Zhang, Lu et al., 2015) |
| Existing sparse coding algorithms | A | Methods for image/graph feature selection (Zhu, Li et al., 2016b) |
| Spectral embedding | B | Spectral analysis for feature selection could be traced in the literature (Li, Yang et al., 2012) |
| A novel low-rank multiview | E | Not a solution |

Note that the 15 solutions were ranked based on the predicted value calculated by the proposed approach of link prediction.
these solutions may prove to be an interesting future empirical study we could undertake to demonstrate the feasibility of the link prediction approach for predicting problem-solving patterns.

The description of the problem suggests that the solution may be a task of feature selection for pattern recognition (i.e., waveband patterns). We followed the same approach and discussed these 15 solutions with researchers in the Australian Artificial Intelligence Institute at the University of Technology Sydney with particular expertise in machine learning and computer vision. Based on these discussions and scores marked by the experts, the average score over the entire set of 15 solutions was 0.7, which could be considered an “acceptable” result. Additionally, we added references to support the experts’ judgments, noting that all cited references are sourced from top-level journals and conferences in the area of artificial intelligence.

As a conclusion, the empirical validation gained a score of 0.58 for the predicted high-ranked patterns in the area of biology and life science, and a score of 0.7 for the predicted AI solutions for a specific problem in food science and technology. Despite certain limitations raised by the experts, we all agreed that the performance of this prediction is acceptable, and the proposed method could have practical significance for actual uses.

5. DISCUSSION AND CONCLUSIONS

In this paper, we present a methodology based on intelligent bibliometrics to investigate the problem-solving patterns in China’s research systems. The methodology leverages science overlay maps to profile the interactions among research disciplines, plus SAO analysis, with network analytics, to identify key problems and solutions, as well as to predict the potential solutions that Chinese researchers might achieve in future. We derive insights from an empirical study focusing on top papers published by Chinese researchers between 2009 and 2018, from which we derive evidence of China’s research strengths and conjectures about the science policies that drive these strengths, multidisciplinary interactions, key research problems and solutions, and potential solutions to existing problems.

5.1. Key Findings

5.1.1. Research strengths and China’s science policies

The proportion of top papers in the WoS categories, as well as the distribution of identified problems and solutions, indicates that China’s science policies emphasize research in the natural sciences more than the social sciences (including arts and humanities). However, it is also plausible the imbalance may be due to the difficulties Chinese researchers have with publishing in social science fields as a result of differences in knowledge bases, cultural backgrounds, and, of course, language barriers, which are much higher in social science journals. In general, China’s research strengths concentrate in disciplines like chemistry, materials science, applied physics, engineering, and computer science. In particular, “nanoscience and nanotechnology” stands forth as a multidisciplinary strength in China’s research systems.

5.1.2. Multidisciplinary interactions

Following the trends in multidisciplinary interactions, China’s efforts are competitive internationally. China is spearheading two main cross-disciplinary directions: computer science (highlighted by artificial intelligence techniques) and its applications in engineering areas; and nanotechnology and its relevance to chemical and biological engineering. Solid evidence,
in the form of affiliation-based science overlay maps, identifies key research problems and solutions. Predicted patterns of problems and solutions build from the research outcomes to date.

5.1.3. Problem-solving patterns

Arguably, the actions associated with the key problems and solutions indicate that around 20% of the research relates to breakthrough technologies, 30% to technological refinements, and the remaining 50% to extraneous research activity. While the disciplines of identified problems and solutions coincide with that of China’s research strengths, the predicted solutions that might be achievable for Chinese researchers are based on their current accomplishments, which demonstrate China’s extensive capabilities in spearheading cross-disciplinary research.

5.2. Methodological Implications and Potential Applications

Intelligent bibliometrics could be an effective toolkit for a broad range of empirical studies, both in practical sectors and for specific research questions. The proposed methodology of intelligent bibliometrics emphasizes the use of certain advanced technologies in information retrieval (i.e., word embedding, SAO analysis, and network analytics) and the empirical results soundly demonstrate its feasibility and reliability. The proposed framework also has the potential for a high level of flexibility, as it could easily be applied to many academic databases, such as PubMed and Scopus, or to patents, without major modifications. The main technical implications of the proposed methods are highlighted here.

- Incorporating network analytics (e.g., community detection and link prediction) with bibliometric approaches (e.g., science overlay maps) offers benefits for further knowledge discovery. For example, this combination can help predict future interactions between disciplines and help identify potential solutions for existing problems. However, our experiences with this case study indicate that the complexity of the network analytics algorithms might be sensitive to network structures. Ways to maintain the balance between large networks and efficient analytics should be considered.
- SAO analysis creates additional dimensions for understanding semantics and discovering latent relationships, compared to individual word- and term-based analysis. Involvement of word embedding techniques can further enhance the capability of measuring similarities among SAOs. However, we also acknowledge that the modularity of retrieved SAOs is much more scattered than that of terms. This substantially increases the complexity of further network analytics and may lead to reduced performance when directly applying some traditional approaches (e.g., clustering).

5.3. Limitations and Future Directions

We note limitations and potential refinements in four directions.

- The SAO structures were represented as the means of their constituent word vectors built by Word2Vec. However, applying a machine learning technique to train a weighting strategy may better reflect actual situations. For instance, the term “data mining” may give a higher weight to “data” than to “mining.”
- The validation of SAO network analytics, including identifying key problems and solutions based on SAO network analytics and predicting potential problem-solving patterns
might require quantitative approaches to complement expert knowledge to reduce potential biases.

- Deciding on the optimal number of communities in the community detection step might be better handled with an optimization technique or techniques.
- When investigating the problem-solving patterns of China’s research systems, the use of WoS categories may raise a concern that nonexistent interactions may simply mean that no journal covers those two disciplines, rather than that there are no research articles covering topics in those disciplines. Thus, engaging multiple data sources and conducting further text analytics may provide a more comprehensive perspective on the landscape under study.

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AUTHOR CONTRIBUTIONS

Yi Zhang: Conceptualization, Formal analysis, Methodology, Validation, Writing—Original draft, Writing—Review & editing. Mengjia Wu: Formal analysis, Methodology, Validation, Writing—Original draft, Writing—Review & editing. Zhengyin Hu: Conceptualization, Data curation, Formal analysis, Methodology, Validation, Visualization. Robert Ward: Formal analysis, Methodology, Visualization. Xue Zhang: Data curation, Formal analysis, Methodology, Validation, Visualization. Alan Porter: Conceptualization, Writing—Original draft, Writing—Review & editing.

COMPETING INTERESTS

Alan Porter was employed by the company Search Technology, Inc. The remaining authors have no competing interests.

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