ABSTRACT

Introduction: Few studies have examined outcomes and potential complications among glaucoma patients who are prescribed topical beta-blockers. This study examined resource usage (number of GP visits and hospitalizations) and diagnoses of respiratory or cardiovascular conditions among glaucoma patients prescribed beta-blockers compared to patients not prescribed beta-blockers.

Methods: A retrospective cohort analysis was conducted using data from the UK Clinical Practice Research Datalink (CPRD) database over the period January 1, 2006 to March 31, 2014. Adult patients with at least one diagnosis of glaucoma were categorized into beta-blocker users and non-beta-blocker users. Beta-blocker users were further separated into patients that maintained beta-blocker therapy and patients that discontinued beta-blocker treatment in year 2 of the post-index period. The CPRD data was queried directly to obtain the number of GP visits, and hospitalizations were extracted by linking the CPRD and Hospital Episode Statistics (HES) patient-level data.

Results: In the 12 months after being prescribed beta-blockers, patients that later discontinued beta-blocker treatment had a significantly higher average number of hospitalizations than patients that maintained beta-blocker therapy and patients that discontinued beta-blocker treatment in year 2 of the post-index period. The CPRD data was queried directly to obtain the number of GP visits, and hospitalizations were extracted by linking the CPRD and Hospital Episode Statistics (HES) patient-level data.

Conclusion: This study suggests that the introduction of beta-blockers in a certain group of patients who later discontinue their use is
associated with increased use of medical resources (higher number of GP visits and hospitalizations) in glaucoma patients in the UK, which may be indicative of a potential relationship between use of topical beta-blockers in glaucoma therapy and adverse outcomes.

**Keywords:** Beta-blocker; CPRD; Glaucoma; Ophthalmology; Resource utilization

**INTRODUCTION**

Glaucoma is among the leading causes of irreversible blindness and represents a significant public health burden in countries with an aging population [1]. Worldwide, the total number of suspected cases of glaucoma is estimated to be over 60 million [2]. In the UK, glaucoma is estimated to affect 0.9% of the population and remains the second leading cause of blind registrations [3].

The most common type of glaucoma is primary open angle glaucoma (POAG), representing 90% of all glaucoma cases [4]. POAG is characterized by a progressive, chronic optic neuropathy. Elevated intraocular pressure (IOP) associated with the majority of POAG patients is related to a chronic progressive resistance of aqueous humor drainage through the trabecular meshwork in the anterior chamber of the eye [5]. Elevated IOP is a key risk factor for glaucoma onset and progression and is currently the only known modifiable risk factor.

A range of topical IOP-lowering agents, including prostaglandin analogues, selective or non-selective beta-blockers, selective adrenergic agonists, carbonic anhydrase inhibitors, and parasympathomimetics are currently licensed for use in glaucoma [6]. Guidelines published by the National Institute for Health and Clinical Excellence (NICE) recommend topical ocular hypotensive agents as the first-line treatment for glaucoma in patients who are at risk of vision loss within their lifetime; however, no agent is specifically prioritized [7]. Prescribing patterns in the UK do not indicate a clear preference for any single therapy though trends in treatment denote a notable shift from beta-blockers (BBs) to prostaglandin analogues for first-line use [8, 9]. The use of adjunctive therapy, either in a fixed-dose combination or as separate medications, is common with almost 40% of PA patients requiring two or more medications within 5 years of diagnosis [9].

Despite contraindications, BBs are used in a significant number of patients with diagnoses of respiratory or cardiovascular conditions [10, 11]. Few studies have examined the outcomes of glaucoma patients with contraindications for topical beta-blockers but who still receive them. Houde et al. [10] found that more than half of glaucoma patients treated with topical beta-blockers had a contraindication for their use, while Vinker et al. [11] found that more than 60% of patients with glaucoma and obstructive lung disease were using topical beta-blockers. Another study reported that up to 25% of glaucoma patients were receiving prescriptions for treatment of respiratory disease or congestive heart failure. Schmier et al. [12] found that the introduction of timolol, a topical beta-blocker, in glaucoma patients who later discontinue this treatment was associated with increased use of medical resources and higher payments; however, these results have not been confirmed in other clinical settings.

The primary objective of this study was to examine resource utilization (number of GP visits and hospitalizations) among patients prescribed BBs compared to patients not prescribed BBs in the UK clinical setting. For this purpose, data from the Clinical Practice Research Datalink (CPRD) and Hospital Episode Statistics (HES) databases were used. The CPRD in the UK includes anonymized longitudinal medical records for patients followed in primary care, and includes about 8% of the UK population with approximately 14 million patients, with around 5.4 million of these being currently alive and registered from 680 primary care practices spread throughout the UK [13]. Records are derived directly from GP software system and contain complete prescribing and diagnostic and clinical information with standard coding as well as information on tests requested, laboratory results, and referrals made at or following on from each consultation. Read codes are used to record symptoms, diagnoses,
and procedures. The HES data contains records of all patients admitted to NHS hospitals in England. It contains details of inpatient care, outpatient appointments, and accident and emergency attendance records. Each HES record contains a wide range of information about an individual patient admitted to an NHS hospital, including clinical information about diagnoses and operations, patient demographics, and administrative information.

METHODS

The CPRD database was used to identify adult (≥18 years of age) patients with at least one glaucoma diagnosis during the study identification period (January 01, 2006–March 31, 2011) and aligned with standard inclusion/exclusion criteria for retrospective research (see supplementary material for a complete list of criteria).

All patients were followed for 3 years. The year of 2011 was used as the limit for the identification period in order to allow 3 years follow-up for all patients.

Patients were categorized into two mutually exclusive cohorts (Fig. 1):

- **BB users**: Based on a prescription of a free (or fixed) combination of a BB and a non-BB glaucoma treatment, at least once, in year 2 of the study but with no BB prescription in year 1.
- **Non-BB users**: Patients with no prescription of BBs during the 3 years of follow-up, but treated with other glaucoma treatments (as per inclusion criteria).

There was a group of ‘Other users’: patients who were not eligible for the two groups. For example, patients who were prescribed a BB but not concomitantly with a non-BB glaucoma treatment or in a fixed combination. These patients were not analyzed.

The BB user cohort was further separated into maintainers (patients with prescriptions for BB treatment in year 2 and year 3) and discontinuers (patients with prescriptions for BB treatment in year 2 but not in year 3).

The start of the follow-up was the first date when a glaucoma diagnosis was recorded in the identification period (glaucoma diagnosis date). The index date depended on cohort assignment, being the date of first BB prescription in the follow-up period for the BB group and day 1 of year 2 for the non-BB cohort. All patients in the cohorts had 1 year of pre-index data (year 1) and 2 years of post-index data (year 2 and 3). The pre-index period was the time period from the glaucoma diagnosis date until (but not including) the index date, and the post-index period was the time period from the index date until the end of follow-up (end of year 3) (Fig. 2).

The event rates and resource use were analyzed for the first year of the post-index period, i.e., after initiating BBs in the BB user group. Discontinuation or maintenance on therapy was assessed in the second year of the post-index period.

The CPRD database was queried to obtain the number of GP visits, while hospitalizations were extracted by linking the CPRD and HES patient-level data. CPRD has full access to HES data, although not all patients in CPRD have HES linkage. The amount of overlap identified for this study was 66.1%.

Respiratory and cardiovascular conditions were examined using all relevant respiratory and cardiovascular Read codes (patients having one or more respiratory or cardiovascular condition in the relevant periods). Codes sets were defined by an expert on Read coding and data extraction who defined the most appropriate lists of codes used at CPRD that would best describe cardiovascular and respiratory conditions comparing beta-blocker usage and non-use in glaucoma patients in the UK. A total of 451 Read codes were selected for respiratory and 1369 codes were selected for cardiovascular disease.

Costing data from HES hospitalization codes were grouped using the Healthcare Resource Groups (HRG) grouper tool and appropriate costs were assigned using standard CPRD procedures.
In addition to summary statistics, the Wilcoxon signed rank sum test was used for the pre- versus post-index comparisons of resource use within the individual treatment groups. Chi square tests were used for between-group comparison of event rates in the pre-index and the post-index period. McNemar’s test (comparing correlated/dependent proportions) was used for the pre- versus post-index comparisons of event rates within the individual treatment groups.

A logistic regression model was developed to examine the presence of a cardiovascular condition in the 1-year post-index period and a separate logistic regression model was developed to examine the presence of a respiratory condition in the 1-year post-index period. The model was adjusted for BB use as well as age (at index), male [gender with reference female],

1. Read codes including term "glau" & codes starting with F45, excluding procedures
2. Codes starting with P, Q or codes F451400

**Fig. 1** Patient selection criteria

**Fig. 2** Study time frames

**Statistical Analyses**

In addition to summary statistics, the Wilcoxon signed rank sum test was used for the pre- versus post-index comparisons of resource use within the individual treatment groups. Chi square tests were used for between-group comparison of event rates in the pre-index and the post-index period. McNemar’s test (comparing correlated/dependent proportions) was used for the
region (London as reference category), any Charlson comorbidity (pre-index), and post-index prostaglandin use.

A negative binomial regression model was used to examine the association between BB use and resource use (i.e., number of hospitalizations and GP visits) controlling for confounding variables as described above.

This was a retrospective, non-interventional study: it is an analysis of existing data and does not contain any new studies with human or animal subjects performed by any of the authors.

RESULTS

Patient Disposition

A total of 9104 patients met the inclusion and exclusion criteria for the study (Fig. 1). Of these, 888 were BB users and 7400 were non-BB users. There were 816 ‘Other users’ as defined in the “Methods”. Of the BB users, patients that maintained BB use represented approximately 90% (n = 797) and patients that discontinued BB use represented approximately 10% (n = 91) of the cohort.

BB users had a mean age of 69.4 years compared to 70.7 years in the non-BB cohort. The cohorts had similar gender distributions, with male patients making up 49.5% and 47.3% of the BB cohort and non-BB cohort respectively (Table 1).

The Charlson comorbidity index (CCI) for each patient was calculated using the Quan method [14]. The mean CCI score for BB users was 0.26 with 83.2% of patients having a CCI score of 0. The mean CCI score for non-BB users was 0.36 with 77.2% of patients having a CCI score of 0. Of the 17 conditions used to derive the CCI, diabetes without chronic complication was the most frequently observed condition in the data with 9.9% of glaucoma patients having a record related to this diagnosis (see supplementary material for detailed information on comorbidities).

Hospitalizations

The rate of hospitalization was significantly lower in the patients with a BB prescription compared to the patients with no BB prescription in the pre-index period (p = 0.004). However, the difference between the number of hospitalizations in the BB user group and the non-BB user group was not significant in the post-index period (Fig. 3).

There was a significant increase in the number of hospitalizations within the BB user group from year prior to year after BB initiation, but not in the non-BB group (p = 0.015). There were no statistically significant changes between pre-index and post-index period in hospitalizations.

Table 1  Baseline demographics of the CPRD study patients

| Characteristics | Discontinuers | Maintainers | Non-BB users |
|----------------|--------------|-------------|--------------|
| Age <65 years |               |             |              |
| N              | 34           | 257         | 2091         |
| Age ≥65 years | 57           | 540         | 5309         |
|               |              |             |              |

\(\triangle\) Adis
hospitalizations. There was a numerical increase in the average number of hospitalizations in the group of patients that discontinued BB treatment compared to the patients that continued BB treatment. Even within the maintainer group there was a greater increase to the year after BB initiation than in the non-BB user group, although it was not statistically significant (Tables 2, 3).

**GP Visits**

There was a very similar pattern in terms of GP visits compared to hospitalizations (Fig. 4). The mean number of GP visits in the 1-year pre-index period was 12.96 for BB users and 14.06 for non-BB users ($p = 0.020$), and in the 1-year post-index the mean number of GP visits was 15.51 for BB users and 14.64 for non-BB users ($p = 0.002$).

**Respiratory Events**

In the 1-year pre-index period, 3.9% of BB patients and 15.3% of non-BB patients ($p < 0.0001$) had a diagnosis for respiratory conditions. In the 1-year post-index period, 5.4% of BB patients and 16.0% of non-BB patients ($p < 0.0001$) had a diagnosis for respiratory conditions (Fig. 5). The frequency of

**Table 2** Mean number of hospitalizations and GP visits per patient

|                      | Discontinuers | Maintainers | Non-BB users |
|----------------------|---------------|-------------|--------------|
|                      | Year prior    | Year after  | Change       | Year prior    | Year after  | Change       | Year prior    | Year after  | Change       |
| **Hospitalization**  |               |             |              |               |             |              |               |             |              |
| All                  | 0.44          | 0.66        | 0.22         | 0.36          | 0.44        | 0.08         | 0.51          | 0.52        | 0.01         |
| <65 years            | 0.32          | 0.59        | 0.27         | 0.25          | 0.26        | 0.01         | 0.42          | 0.52        | 0.1          |
| ≥65 years            | 0.51          | 0.7         | 0.19         | 0.42          | 0.52        | 0.1          | 0.54          | 0.52        | 0.02         |
| **GP visits**        |               |             |              |               |             |              |               |             |              |
| All                  | 15.22         | 19.27       | 4.05**       | 12.7          | 15.08       | 2.38***      | 14.06         | 14.64       | 0.58***      |
| <65 years            | 11.5          | 17.18       | 5.68**       | 9.5           | 11.62       | 2.12***      | 10.58         | 10.98       | 0.4          |
| ≥65 years            | 17.44         | 20.53       | 3.09*        | 14.23         | 16.73       | 2.5***       | 15.44         | 16.09       | 0.65***      |

* $p < 0.05$, ** $p < 0.001$, *** $p < 0.0001$
respiratory conditions from the pre- to post-index period increased by 1.5% \( (p = 0.037) \) in the BB group, and 0.7% \( (p = 0.026) \) in the non-BB group. Both changes were statistically significant, although the increase in the BB user group was greater.

As seen in Fig. 6, patients that discontinued or stayed on BB had lower proportions of respiratory diagnoses than the non-BB group in the pre-index period. In the post-index period, patients that discontinued BB use and patients that were non-BB users had a higher proportion of respiratory diagnoses than patients that maintained BB use. The odds of patients that maintained BB use having any respiratory condition in the post-index period was significantly lower than patients that discontinued BB use and patients that were non-BB users (OR 0.249; 95% CI 0.116, 0.536; \( p = 0.0004 \); and OR 0.203; 95% CI 0.132, 0.313; \( p < 0.0001 \)). There was a statistically significant increase in respiratory event rates post- vs pre-index in patients that discontinued BB use and patients that were non-BB users but not in patients that maintained BB use.

### Table 3 Respiratory and cardiovascular conditions (%) in cohorts

|                  | Discontinuers | Maintainers | Non-BB users |
|------------------|---------------|-------------|--------------|
| Year prior       | Year after    | Change      | Year prior   | Year after | Change |
| Respiratory disease |               |             |              |            |        |
| All              | 6.6           | 14.3        | 7.7*         | 3.6        | 4.4     | 0.8    | 15.3  | 16     | 0.7*   |
| <65 years        | 2.9           | 14.7        | 11.8*        | 3.5        | 3.5     | 0      | 12.3  | 13.6   | 1.3    |
| ≥65 years        | 8.8           | 14          | 5.2          | 3.7        | 4.8     | 1.1    | 16.4  | 16.9   | 0.5    |
| Cardiovascular disease |           |             |              |            |        |
| All              | 26.4          | 31.9        | 5.5          | 22.1       | 24.2    | 2.1    | 24.6  | 23.9   | −0.7   |
| <65 years        | 5.9           | 8.8         | 2.9          | 14         | 15.6    | 1.6    | 14.6  | 14.5   | 0.1    |
| ≥65 years        | 38.6          | 45.6        | 7            | 25.9       | 28.3    | 2.4    | 28.6  | 27.5   | 1.1    |

\* \( p < 0.05 \)

**Fig. 4** Mean number of service encounters (hospitalizations and GP visits) per patient in the year prior and the year after the index date.
Cardiovascular Events

In the pre-index period, 22.5% of BB patients and 24.6% of non-BB patients had a diagnosis for cardiovascular conditions (Fig. 5). In the post-index period 25.0% of BB patients and 23.9% of non-BB patients had a diagnosis for cardiovascular conditions. Overall there was no statistically significant difference in diagnoses from the pre-index period to the post-index period in either group in terms of any cardiovascular conditions.

There was no significant difference in cardiovascular event rates between groups in the pre-index period. When adjusting for patient characteristics, there was a significant difference in cardiovascular event rates between patients that discontinued BB use and patients that were non-BB users in the post-index period. In addition, a lower likelihood of having cardiovascular events was found in the post-index period in the patients that maintained BB use vs. patients that discontinued BB use, although the difference is not significant. The odds of patients that discontinued BB use having any cardiovascular conditions in the post-index period was significantly higher than in patients that were non-BB users (OR 1.575; 95% CI 1.001, 2.479; p < 0.05).

Costs

The mean annual cost of hospitalizations for this glaucoma population was estimated at
£1876 for patients that discontinued BB use, £1899 for patients that maintained BB use, and £1807 for patients that were non-BB users who had a hospitalization with a valid HRG code in the pre-index period. In the post-index period, the mean annual costs were £2783 for the patients that discontinued BB use, £1388 for patients that maintained BB use, and £2120 for patients that were non-BB users who had a hospitalization with a valid HRG code in the post-index period.

The mean annual cost of GP visits was estimated to be £694 for patients that discontinued BB use, £582 for patients that maintained BB use, and £651 for patients that were non-BB users in the pre-index period. In the post-index period mean GP visit cost was £894 for the patients that discontinued BB use, £690 for patients that maintained BB use, and £680 for patients that were non-BB users in the post-index period.

DISCUSSION

This study shows an increase in the use of medical resources in glaucoma patients in the UK who were initially prescribed beta-blockers but subsequently discontinued their use. Although the results do not evaluate a causal effect, they suggest a potential relationship between use of topical beta-blockers in glaucoma therapy and adverse outcomes.

The rate of hospitalization was significantly lower among patients that used beta-blockers compared to patients that were non-beta-blocker users in the year prior to beta-blocker initiation, suggesting that healthcare providers are careful about patient selection in respect to when beta-blockers are initiated; those patients who have more hospitalizations are less likely to receive topical beta-blockers in the pre-index period. When beta-blocker users are disaggregated into patients that maintained beta-blocker use and patients that discontinued beta-blocker use, the significant decrease in hospitalization rate in the year prior is found between the patients that maintained beta-blocker use and the non-beta-blocker user group, but not between the patients that discontinued beta-blocker use and the non-beta-blocker user group, perhaps suggesting that there is a subset of patients (discontinuers) for whom beta-blockers were prescribed despite potential contraindications. In the year after being prescribed beta-blockers, the patients that discontinued beta-blockers had significantly higher average number of hospitalizations than patients that maintained beta-blocker use and the non-beta-blocker user group. Similar hospitalization rates were reported by Schmier et al. [12], where discontinuers more than doubled their average rate of all-cause in-patient hospitalizations when years 2 and 3 of the observation period were compared.

The number of GP visits among the patients that discontinued beta-blocker use was not significantly different to the non-beta-blocker user group in the year before being prescribed beta-blockers. In the year after beta-blocker initiation, however, there was a statistically significant difference between all groups, with the greatest number of GP visits being in the patients that discontinued beta-blocker use. The largest increase was observed within the beta-blocker user group from pre- to post-index and there was a greater increase in the group that maintained beta-blocker use compared to the non-beta-blocker user group as well.

There were lower respiratory event rates in the pre-index period in the beta-blocker user groups (both in patients that maintained beta-blocker use and patients that discontinued beta-blocker use) than in non-beta-blocker users. Patients with pre-index respiratory conditions are less likely to receive beta-blocker therapy than those without pre-index respiratory conditions. The rate of respiratory events in the patients that maintained beta-blocker use did not change much from pre- to post-index period, which may indicate that the respiratory events were mild and the physicians decided to keep the patient on beta-blockers. In the pre-index period, there were fewer respiratory events compared to cardiovascular events. A possible explanation is that, in clinical practice, physicians may be more concerned about respiratory events and, in cases of existing conditions, are less likely to prescribe beta-blockers to those patients.
Patients that discontinued beta-blocker use and non-beta-blocker users were more likely to have respiratory events post-index versus maintainers. In terms of the post-index events, the most relevant change is the one within the group that discontinued beta-blocker use. There was a statistically significant increase within this group from pre- to post-index, and the post-index event rate approached the one in the non-beta-blocker user group. Although the results indicate that there is a more careful patient selection when prescribing beta-blockers in respiratory (compared to cardiovascular) cases, there still appears to be a certain group of patients for whom beta-blockers are prescribed despite clear contraindication, or in whom significant respiratory adverse events arise despite no clear contraindication.

In the pre-index period, there are larger numbers of cardiovascular events compared to the respiratory events. As discussed, a possible explanation is that there is less concern about cardiovascular contraindications to beta-blockers in prescriptions by physicians (as opposed to concern about respiratory conditions). This potential clinical practice pattern was supported by an additional regression analysis that showed no statistically significant association between beta-blocker prescription and the presence of pre-index cardiovascular conditions. Patients that discontinued beta-blocker use are significantly more likely to have cardiovascular events than non-beta-blocker users in the post-index period, but there was no significant difference in cardiovascular event rates between groups in the pre-index period.

Taken together, the results suggest that cardiovascular conditions may not be the main consideration that physicians have when prescribing beta-blockers. However, there does appear to be a more careful patient selection in terms of pre-existing respiratory conditions. As was the case with Schmier et al. [12], the low percentage of patients that discontinued beta-blocker treatment may indicate that ophthalmologists may consider co-morbid conditions before prescribing beta-blockers.

As a result of the observational nature of the study, which is based on routinely collected data, there are a number of potential limitations in the analysis and interpretation of these data. The linking of the CPRD database to the HES data for hospitalizations may result in missing data. There may also be some selection bias if factors that affect the prescription of beta-blockers impact the results. For instance, in CPRD, prescription of a drug is used as a proxy for medication use, which may result in over-estimations of drug use because it is not possible to determine whether the patient collected their prescription or used their medication. Furthermore, although the GP prescriptions are the data source, the first prescription may have been provided by a hospital not in the CPRD and be missing from this analysis. In this study, there was a relatively low number of patients that discontinued beta-blocker treatment once the exclusion criteria were applied. The number of patients that discontinued beta-blocker use may be underestimated if the patients experienced adverse events soon after taking beta-blockers.

It is important to highlight that the study codes used to identify conditions and comorbidities are determined using subjective medical judgment. In addition, non-beta-blocker users may be overrepresented by patients who are older with a higher number of comorbidities and there may be different comorbidities within specified groups. However, the number of GP visits increased across all patient groups in the post-index period, suggesting that the likelihood of engaging with health care providers was not a significant factor across the groups.

Despite the limitations, this study confirms findings from a previous analysis conducted in the USA, suggesting that careful selection of patients for beta-blocker treatment needs to be performed by the physician given the greater risk of those patients experiencing comorbidities compared to patients not treated with beta-blockers.

CONCLUSION

The introduction of beta-blockers in a certain group of patients who later discontinue their use is associated with increased use of medical resources (higher number of GP visits and
hospitalizations) in glaucoma therapy in the UK. The data add to the body of evidence that suggests that the use of topical beta-blockers in glaucoma therapy for patients with respiratory and cardiovascular complications may be associated with adverse outcomes.

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Compliance with Ethics Guidelines. This article does not contain any new studies with human or animal subjects performed by any of the authors. The study was approved by the independent scientific advisory committee (ISAC) for CPRD research (Reference No.: 15_093).

Data Availability. Additional data are available upon request to the corresponding author.

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