A Finite Equational Base for CCS with Left Merge and Communication Merge

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Abstract. Using the left merge and communication merge from ACP, we present an equational base (i.e., a ground-complete and $\omega$-complete set of valid equations) for the fragment of CCS without recursion, restriction and relabelling. Our equational base is finite if the set of actions is finite.

1 Introduction

One of the first detailed studies of the equational theory of a process algebra was performed by Hennessy and Milner \cite{10}. They considered the equational theory of the process algebra that arises from the recursion-free fragment of CCS (see \cite{12}), and presented a set of equational axioms that is complete in the sense that all valid closed equations (i.e., equations in which no variables occur) are derivable from it in equational logic \cite{16}. For the elimination of parallel composition from closed terms, Hennessy and Milner proposed the well-known Expansion Law, an axiom schema that generates infinitely many axioms. Thus, the question arose whether a finite complete set of axioms exists. With their axiom system ACP, Bergstra and Klop demonstrated in \cite{4} that it does exist if two auxiliary operators are used: the left merge and the communication merge. It was later proved by Møller \cite{14} that without using at least one auxiliary operator a finite complete set of axioms does not exist.

The aforementioned results pertain to the closed fragments of the equational theories discussed, i.e., to the subsets consisting of the closed valid equations only. Many valid equations such as, e.g., the equation $(x \parallel y) \parallel z \approx x \parallel (y \parallel z)$ expressing that parallel composition is associative, are not derivable (by means of equational logic) from the axioms in \cite{4} or \cite{10}. In this paper we shall not neglect the variables and contribute to the study of full equational theories of process algebras. We take the fragment of CCS without recursion, restriction and relabelling, and consider the full equational theory of the process algebra that is obtained by taking the syntax modulo bisimilarity \cite{15}. Our goal is then to present an equational base (i.e., a set of valid equations from which every other valid equation can be derived) for it, which is

\footnotesize
\begin{itemize}
\item The first and third author were partly supported by the project “The Equational Logic of Parallel Processes” (nr. 060013021) of The Icelandic Research Fund.
\item This is the full version of an extended abstract that has appeared as \cite{3}.
\end{itemize}
finite if the set of actions is finite. Obviously, Moller’s result about the unavoidability of the use of auxiliary operations in a finite complete axiomatisation of the closed fragment of the equational theory of CCS a fortiori implies that auxiliary operations are needed to achieve our goal. So we add left merge and communication merge from the start.

Moller [13] considers the equational theory of the same fragment of CCS, except that his parallel operator implements pure interleaving instead of CCS-communication and the communication merge is omitted. He presents a set of valid axiom schemata and proves that it generates an equational base if the set of actions is infinite. Groote [7] does consider the fragment including communication merge, but, instead of the CCS-communication mechanism, he assumes an uninterpreted communication function. His axiom schemata also generate an equational base provided that the set of actions is infinite. We improve on these results by considering the communication mechanism present in CCS, and by proving that our axiom schemata generate an equational base also if the set of actions is finite. Moreover, our axiom schemata generate a finite equational base if the set of actions is finite.

Our equational base consists of axioms that are mostly well-known. For parallel composition \( (\parallel) \), left merge \( (\parallel) \) and communication merge \( (\mid) \) we adapt the axioms of ACP, adding from Bergstra and Tucker [5] a selection of the axioms for standard concurrency and the axiom \((x \mid y) \mid z \approx 0\), which expresses that the communication mechanism is a form of handshaking communication.

Our proof follows the classic two-step approach: first we identify a set of normal forms such that every process term has a provably equal normal form, and then we demonstrate that for distinct normal forms there is a distinguishing valuation that proves that they should not be equated. (We refer to the survey [2] for a discussion of proof techniques and an overview of results and open problems in the area. We remark in passing that one of our main results in this paper, viz. Corollary 34, solves the open problem mentioned in [2, p. 362].) Since both associating a normal form with a process term and determining a distinguishing valuation for two distinct normal forms are easily seen to be computable, as a corollary to our proof we get the decidability of the equational theory. Another consequence of our result is that our equational base is complete for the set of valid closed equations as well as \(\omega\)-complete [8].

The positive result that we obtain in Corollary 34 of this paper stands in contrast with the negative result that we have obtained in [1]. In that article we proved that there does not exist a finite equational base for CCS if the auxiliary operation \(\parallel\) of Hennessy [9] is added instead of Bergstra and Klop’s left merge and communication merge. Furthermore, we conjecture that a finite equational base fails to exist if the unary action prefixes are replaced by binary sequential composition. (We refer to [2] for an infinite family of valid equations that we believe cannot all be derivable from a single finite set of valid equations.)

The paper is organised as follows. In Sect. 2 we introduce a class of algebras of processes arising from a process calculus à la CCS, present a set of equations that is valid in all of them, and establish a few general properties needed in the remainder of the paper. Our class of process algebras is parametrised by a commu-
communication function. It is beneficial to proceed in this generality, because it allows us to first consider the simpler case of a process algebra with pure interleaving (i.e., no communication at all) instead of CCS-like parallel composition. In Sect. 3 we prove that an equational base for the process algebra with pure interleaving is obtained by simply adding the axiom $x \mid y \approx 0$ to the set of equations introduced in Sect. 2. The proof in Sect. 3 extends nicely to a proof that, for the more complicated case of CCS-communication, it is enough to replace $x \mid y \approx 0$ by $x \mid (y \mid z) \approx 0$; this is discussed in Sect. 4.

2 Algebras of Processes

We fix a set $A$ of actions, and declare a special action $\tau$ that we assume is not in $A$. We denote by $A_\tau$ the set $A \cup \{\tau\}$. Generally, we let $a$ and $b$ range over $A$ and $\alpha$ over $A_\tau$. We also fix a countably infinite set $V$ of variables. The set $P$ of process terms is generated by the following grammar:

$$P ::= x \mid 0 \mid \alpha.P \mid P + P \mid P \parallel P \mid P \mid P \parallel P,$$

with $x \in V$, and $\alpha \in A_\tau$. We shall often simply write $\alpha$ instead of $\alpha.0$. Furthermore, to be able to omit some parentheses when writing terms, we adopt the convention that $\alpha.$ binds stronger and $+$ binds weaker than all the other operations.

Table 1. The operational semantics.

| $\alpha.P \xrightarrow{\alpha} P$ | $P \xrightarrow{\alpha} P'$ | $P \parallel Q \xrightarrow{\alpha} P' \parallel Q$ |
|---------------------------------|--------------------------|---------------------------------|
| $P \parallel Q \xrightarrow{\alpha} P' \parallel Q$ | $P \parallel Q \xrightarrow{\alpha} P' \parallel Q$ | $Q \xrightarrow{\alpha} Q'$ |
| $P \parallel Q \xrightarrow{\gamma(a,b)} P' \parallel Q'$ | $P \parallel Q \xrightarrow{\gamma(a,b)} P' \parallel Q'$ |
| $P \xrightarrow{\alpha} P'$, $Q \xrightarrow{b} Q'$, $\gamma(a,b)\downarrow$ | $P \xrightarrow{\alpha} P'$, $Q \xrightarrow{b} Q'$, $\gamma(a,b)\downarrow$ |

A process term is closed if it does not contain variables; we denote the set of all closed process terms by $P_0$. We define on $P_0$ binary relations $\xrightarrow{\alpha}$ ($\alpha \in A_\tau$) by means of the transition system specification in Table 1. The last two rules in Table 1 refer to a communication function $\gamma$, i.e., a commutative and associative partial binary function $\gamma : A \times A \rightarrow A_\tau$. We shall abbreviate the statement ‘$\gamma(a,b)$ is defined’ by $\gamma(a,b)\downarrow$ and the statement ‘$\gamma(a,b)$ is undefined’ by $\gamma(a,b)\uparrow$. We shall in particular consider the following communication functions:

1. The trivial communication function is the partial function $f : A \times A \rightarrow A_\tau$ such that $f(a,b)\uparrow$ for all $a, b \in A$.  

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2. The CCS communication function \( h : A \times A \rightarrow A_r \) presupposes a bijection \( \bar{\sigma} \) on \( A \) such that \( \bar{\sigma} = a \) and \( \bar{\sigma} \neq a \) for all \( a \in A \), and is then defined by \( h(a, b) = \tau \) if \( \bar{\sigma} = b \) and undefined otherwise.

**Definition 1.** A bisimulation is a symmetric binary relation \( \mathcal{R} \) on \( \mathcal{P}_0 \) such that \( P \mathcal{R} Q \) implies

\[
\text{if } P \xrightarrow{\alpha} P', \text{ then there exists } Q' \in \mathcal{P}_0 \text{ such that } Q \xrightarrow{\alpha} Q' \text{ and } P' \mathcal{R} Q'.
\]

Closed process terms \( P, Q \in \mathcal{P}_0 \) are said to be bisimilar (notation: \( P \leftrightarrow_\gamma Q \)) if there exists a bisimulation \( \mathcal{R} \) such that \( P \mathcal{R} Q \).

The relation \( \leftrightarrow_\gamma \) is an equivalence relation on \( \mathcal{P}_0 \); we denote the equivalence class containing \( P \) by \( [P] \), i.e.,

\[
[P] = \{ Q \in \mathcal{P}_0 : P \leftrightarrow_\gamma Q \}.
\]

The rules in Table I are all in de Simone’s format [6] if \( P, P', Q \) and \( Q' \) are treated as variables ranging over closed process terms and the last two rules are treated as rule schemata generating a rule for all \( a, b \) such that \( \gamma(a, b) \). Hence, \( \leftrightarrow_\gamma \) has the substitution property for the syntactic constructs of our language of closed process terms, and therefore the constructs induce an algebraic structure on \( \mathcal{P}_0/\leftrightarrow_\gamma \), with a constant \( 0 \), unary operations \( \alpha \) (\( \alpha \in A_r \)) and four binary operations \( +, \|, |, \) and \( \parallel \) defined by

\[
\begin{align*}
0 &= [0] & [P] \parallel [Q] &= [P \parallel Q] \\
\alpha.[P] &= [\alpha.P] & [P] | [Q] &= [P | Q] \\
[P] + [Q] &= [P + Q] & [P] \parallel [Q] &= [P \parallel Q].
\end{align*}
\]

Henceforth, we denote by \( P_\gamma \) (for \( \gamma \) an arbitrary communication function) the algebra obtained by dividing out \( \leftrightarrow_\gamma \) on \( \mathcal{P}_0 \) with constant \( 0 \) and operations \( \alpha \) (\( \alpha \in A_r \)), \( +, \|, |, \) and \( \parallel \) as defined above. The elements of \( P_\gamma \) are called processes, and will be ranged over by \( p, q \) and \( r \).

### 2.1 Equational Reasoning

We can use the full language of process expressions to reason about the elements of \( P_\gamma \). A valuation is a mapping \( \nu : \mathcal{V} \rightarrow P_\gamma \); it induces an evaluation mapping

\[
[\cdot]_\nu : \mathcal{P} \rightarrow P_\gamma
\]

inductively defined by

\[
\begin{align*}
[x]_\nu &= \nu(x) & [P \parallel Q]_\nu &= [P]_\nu \parallel [Q]_\nu \\
[0]_\nu &= 0 & [P | Q]_\nu &= [P]_\nu | [Q]_\nu \\
[\alpha. P]_\nu &= \alpha.[P]_\nu & [P \parallel Q]_\nu &= [P]_\nu \parallel [Q]_\nu \\
[P + Q]_\nu &= [P]_\nu + [Q]_\nu.
\end{align*}
\]

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A process equation is a formula $P \approx Q$ with $P$ and $Q$ process terms; it is said to be valid (in $P\gamma$) if $[P]_\nu = [Q]_\nu$ for all $\nu : \mathcal{V} \to P\gamma$. If $P \approx Q$ is valid in $P\gamma$, then we shall also write $P \equiv \gamma Q$. The equational theory of the algebra $P\gamma$ is the set of all valid process equations, i.e.,

$$EqTh(P\gamma) = \{ P \approx Q : [P]_\nu = [Q]_\nu \text{ for all } \nu : \mathcal{V} \to P\gamma \}.$$

The precise contents of the set $EqTh(P\gamma)$ depend to some extent on the choice of $\gamma$. For instance, the process equation $x | y \approx 0$ is only valid in $P\gamma$ if $\gamma$ is the trivial communication function $f$; if $\gamma$ is the CCS communication function $h$, then $P\gamma$ satisfies the weaker equation $x | (y | z) \approx 0$.

Table 2. Process equations valid in every $P\gamma$.

| A1  | $x + y \approx y + x$          | C1  | $0 | x \approx 0$           |
| A2  | $(x + y) + z \approx x + (y + z)$ | C2  | $a.x | b.y \approx \gamma(a, b).\langle x \parallel y \rangle$ if $\gamma(a, b)\downarrow$ |
| A3  | $x + x \approx x$               | C3  | $a.x | b.y \approx 0$       if $\gamma(a, b)\uparrow$ |
| A4  | $x + 0 \approx x$               | C4  | $(x + y) | z \approx x | z + y | z$ |
| L1  | $0 \parallel x \approx 0$       | C5  | $x | y \approx y | x$       |
| L2  | $\alpha.x \parallel y \approx \alpha.(x \parallel y)$ | C6  | $(x | y) | z \approx x | (y | z)$ |
| L3  | $(x + y) \parallel z \approx x \parallel (z + y \parallel z)$ | C7  | $(x \parallel y) | z \approx (x | z) \parallel y$ |
| L4  | $(x \parallel y) \parallel z \approx x \parallel (y \parallel z)$ | P1  | $x \parallel y \approx (x \parallel y + y \parallel x) + x | y$ |

Table 2 lists process equations that are valid in $P\gamma$ independently of the choice of $\gamma$. (The equations L2, C2 and C3 are actually axiom schemata; they generate an axiom for all $\alpha \in A_\tau$ and $a, b \in A$. Note that if $A_\tau$ is finite, then these axiom schemata generate finitely many axioms.) Henceforth whenever we write an equation $P \approx Q$, we mean that it is derivable from the axioms in Table 2 by means of equational logic. It is well-known that the rules of equational logic preserve validity. We therefore obtain the following result.

**Proposition 2.** For all process terms $P$ and $Q$, if $P \approx Q$, then $P \equiv \gamma Q$.

In the following lemma we give an example of a valid equation that can be derived from Table 2 using the rules of equational logic.

**Lemma 3.** The following equation is derivable from the axioms in Table 2

$$C8 \quad (x \parallel y) | (z \parallel u) \approx (x | z) \parallel (y | u).$$
Proof. The lemma is proved with the derivation:

\[(x \parallel y) \mid (z \parallel u) \approx (z \parallel u) \mid (x \parallel y) \quad \text{(by C5)}\]
\[\approx (z \mid (x \parallel y)) \parallel u \quad \text{(by C7)}\]
\[\approx ((x \parallel y) \mid z) \parallel u \quad \text{(by C5)}\]
\[\approx ((x \mid z) \parallel y) \parallel u \quad \text{(by C7)}\]
\[\approx (x \mid z) \parallel (y \parallel u) \quad \text{(by L4)}\].

\[\Box\]

A set of valid process equations is an **equational base** for \(P_\gamma\) if all other valid process equations are derivable from it by means of equational logic. The purpose of this paper is to prove that if we add to the equations in Table 2 the equation \(x \mid y \approx 0\) we obtain an equational base for \(P_f\), and if, instead, we add \(x \mid (y \mid z) \approx 0\) we obtain an equational base for \(P_h\). Both these equational bases are finite, if the set of actions \(A\) is finite.

For the proofs of these results, we adopt the classic two-step approach [2]:

1. In the first step we identify a set of normal forms, and prove that every process term can be rewritten to a normal form by means of the axioms.
2. In the second step we prove that bisimilar normal forms are identical modulo applications of the axioms A1–A4. This is done by associating with every pair of normal forms a so-called distinguishing valuation, i.e., a valuation that proves that the normal forms are not bisimilar unless they are provably equal modulo the axioms A1–A4.

Many of the proofs to follow will be by induction using of the following syntactic measure on process terms.

**Definition 4.** Let \(P\) be a process term. We define the **height** of a process term \(P\), denoted \(h(P)\), inductively as follows:

\[
\begin{align*}
  h(0) &= 0 , \\
  h(x) &= 1 , \\
  h(\alpha.P) &= h(P) + 1 , \\
  h(P + Q) &= \max(h(P), h(Q)) .
\end{align*}
\]

**Definition 5.** We call a process term **simple** if it is not \(0\) and not an alternative composition.

**Lemma 6.** For every process term \(P\) there exists a collection of simple process terms \(S_1, \ldots, S_n\) \((n \geq 0)\) such that \(h(P) \geq h(S_i)\) for all \(i = 1, \ldots, n\) and

\[
P \approx \sum_{i=1}^{n} S_i \quad \text{(by A1, A2 and A4)}.
\]

We postulate that the summation of an empty collection of terms denotes \(0\). The terms \(S_i\) will be called **syntactic summands** of \(P\).
2.2 General Properties of $P_\gamma$

We collect some general properties of the algebras $P_\gamma$ that we shall need in the remainder of the paper.

The binary transition relations $\alpha \rightarrow (\alpha \in A_\tau)$ on $P_0$, which were used to associate an operational semantics with closed process terms, will play an important rôle in the remainder of the paper. They induce binary relations on $P_\gamma$, also denoted by $\alpha \rightarrow$, and defined as the least relations such that $P \overset{\alpha}{\rightarrow} P'$ implies $[P] \overset{\alpha}{\rightarrow} [P']$. Note that we then get, directly from the definition of bisimulation, that for all $P, P' \in P_0$:

$$[P] \overset{\alpha}{\rightarrow} [P'] \iff \text{for all } Q \in [P] \text{ there exists } Q' \in [P'] \text{ such that } Q \overset{\alpha}{\rightarrow} Q'.$$

**Proposition 7.** For all $p, q, r \in P_\gamma$:

(a) $p = 0$ iff there do not exist $p' \in P_\gamma$ and $\alpha \in A_\tau$ such that $p \overset{\alpha}{\rightarrow} p'$;

(b) $\alpha.p \overset{\beta}{\rightarrow} r$ iff $\alpha = \beta$ and $r = p$;

(c) $p + q \overset{\alpha}{\rightarrow} r$ iff $p \overset{\alpha}{\rightarrow} r$ or $q \overset{\alpha}{\rightarrow} r$;

(d) $p \parallel q \overset{\alpha}{\rightarrow} r$ iff there exists $p' \in P_\gamma$ such that $p \overset{\alpha}{\rightarrow} p'$ and $r = p' \parallel q$; and

(e) $p \parallel q \overset{\alpha}{\rightarrow} r$ iff there exist actions $a, b \in A$ and processes $p', q' \in P_\gamma$ such that $\alpha = \gamma(a, b), p \overset{a}{\rightarrow} p', q \overset{b}{\rightarrow} q'$, and $r = p' \parallel q'$; and

(f) $p \parallel q \overset{\alpha}{\rightarrow} r$ iff $p \parallel q \overset{\alpha}{\rightarrow} r$ or $q \parallel p \overset{\alpha}{\rightarrow} r$.

Let $p, p' \in P_\gamma$; we write $p \rightarrow p'$ if $p \overset{\alpha}{\rightarrow} p'$ for some $\alpha \in A_\tau$ and call $p'$ a residual of $p$. We write $p \rightarrow^*$ if $p$ has no residuals. We denote by $\rightarrow^*$ the reflexive transitive closure of $\rightarrow$.

It is easy to see from Table 1 that if $P \overset{\alpha}{\rightarrow} P'$, then $P'$ has fewer symbols than $P$. Consequently, the length of a transition sequence starting with a process $[P]$ is bounded from above by the number of symbols in $P$.

**Definition 8.** The depth $|p|$ of an element $p \in P_\gamma$ is defined as

$$|p| = \max\{n \geq 0 : \exists p_n, \ldots, p_0 \in P_\gamma \text{ s.t. } p = p_n \rightarrow \cdots \rightarrow p_0\}.$$ 

The branching degree $bdeg(p)$ of an element $p \in P_\gamma$ is defined as

$$bdeg(p) = |\{ (\alpha, p') : p \overset{\alpha}{\rightarrow} p' \}|.$$ 

For the remainder of this section, we focus on properties of parallel composition on $P_\gamma$. The depth of a parallel composition is the sum of the depths of its components.

**Lemma 9.** For all $p, q \in P_\gamma$, $|p \parallel q| = |p| + |q|$.

**Proof.** If $p = p_m \rightarrow \cdots \rightarrow p_0$ and $q = q_n \rightarrow \cdots \rightarrow q_0$, then

$$p \parallel q = p_m \parallel q \rightarrow \cdots \rightarrow p_0 \parallel q = p_0 \parallel q_n \rightarrow \cdots \rightarrow p_0 \parallel q_0,$$

so clearly $|p \parallel q| \geq |p| + |q|$.
It remains to prove that $|p| + |q| \geq |p||q|$. We proceed by induction on the depth of $p \parallel q$. If $|p||q| = 0$, then $(p \parallel q) \not\not\not$, so $p \not\not\not$ and $q \not\not\not$; hence $|p| = 0$ and $|q| = 0$, and it follows that $|p||q| = |p| + |q|$. Suppose that $|p||q| = n + 1$. Then there exist $r_{n+1}, \ldots, r_0 \in P_\gamma$ such that

$$p \parallel q = r_{n+1} \rightarrow r_n \rightarrow \cdots \rightarrow r_0.$$

Note that $|r_i| = i$ for all $0 \leq i \leq n + 1$. Further note that the transition $r_{n+1} \rightarrow r_n$ cannot be the result $r_{n+1} \gamma(a,b) r_n$ of communication between a transition $p \xrightarrow{a} p'$ and a transition $q \xrightarrow{b} r'$; for then there would exist a longer transition sequence from $p \parallel q$, obtained by replacing the single transition $r_{n+1} \rightarrow r_n$ by two transitions $r_{n+1} = p \parallel q \xrightarrow{a} p' \parallel q \xrightarrow{b} q' = r_n$, contradicting our assumption that $|p||q| = n + 1$. Hence, either $r_n = p' \parallel q$ with $p \rightarrow p'$, or $r_n = p \parallel q'$ with $q \rightarrow q'$. In the first case it follows by the induction hypothesis that $|p'| + |q| \geq |p'\parallel q| = n$, so $|p| + |q| \geq |p'| + |q| + 1 \geq n + 1 = |p||q|$. In the second case the proof is similar. \hfill \Box

According to the following lemma and Proposition 2, $P_\gamma$ is a commutative monoid with respect to $\parallel$, with $0$ as the identity element.

**Lemma 10.** The following equations are derivable from the axioms in Table 2:

- $P2 \quad (x \parallel y) \parallel z \approx x \parallel (y \parallel z)$
- $P3 \quad x \parallel y \quad \approx y \parallel x$
- $P4 \quad x \parallel 0 \quad \approx x$

An element $p \in P_\gamma$ is parallel prime if $p \neq 0$, and $p = q \parallel r$ implies $q = 0$ or $r = 0$. Suppose that $p$ is an arbitrary element of $P_\gamma$; a parallel decomposition of $p$ is a finite multiset $[p_1, \ldots, p_n]$ of parallel primes such that $p = p_1 \parallel \cdots \parallel p_n$. (The process 0 has as decomposition the empty multiset, and a parallel prime process $p$ has as decomposition the singleton multiset $[p]$.) The following theorem is a straightforward consequence of the main result in [11].

**Theorem 11.** Every element of $P_\gamma$ has a unique parallel decomposition.

**Proof.** In a similar way as in [11 Sect. 4] it can be established that the inverse of $\rightarrow^*$ is a decomposition order on the commutative monoid $P_\gamma$ with respect to parallel composition; it then follows from [11 Theorem 32] that this commutative monoid has unique decomposition. \hfill \Box

The following corollary follows easily from the above unique decomposition result.

**Corollary 12 (Cancellation).** Let $p, q, r \in P_\gamma$. If $p \parallel q = p \parallel r$, then $q = r$.

The branching degree of a parallel composition is at least the branching degree of its components.

**Lemma 13.** For all $p, q \in P_\gamma$, $bdeg(p \parallel q) \geq bdeg(p), bdeg(q)$. 

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Proof. First we prove that $bdeg(p \parallel q) \geq bdeg(q)$. By Proposition 11 if $q \xrightarrow{\alpha} q'$, then $p \parallel q \xrightarrow{\alpha} p \parallel q'$. Suppose that $q_1$ and $q_2$ are distinct processes such that $q \xrightarrow{\alpha} q_1$ and $q \xrightarrow{\alpha} q_2$. Then $p \parallel q \xrightarrow{\alpha} p \parallel q_1$ and $p \parallel q \xrightarrow{\alpha} p \parallel q_2$. Since $p \parallel q_1 = p \parallel q_2$ would imply $q_1 = q_2$ by Corollary 12, it follows that $p \parallel q_1$ and $p \parallel q_2$ are distinct. Hence $bdeg(p \parallel q) \geq bdeg(q)$.

By commutativity of $\parallel$, it also follows that $bdeg(p \parallel q) \geq bdeg(p)$. $\square$

We define a sequence of parallel prime processes with special properties that make them very suitable as tools in our proofs in the remainder of the paper:

$$\varphi_i = \tau.0 + \cdots + \tau^i.0 \quad (i \geq 1)$$

(with $\tau^i.0$ recursively defined by $\tau^i.0 = 0$ if $i = 0$, and $\tau.\tau^{i-1}.0$ if $i > 0$).

Lemma 14. (i) For all $i \geq 1$, the processes $\varphi_i$ are parallel prime.

(ii) The processes $\varphi_i$ are all distinct, i.e., $\varphi_k = \varphi_l$ implies that $k = l$.

(iii) For all $i \geq 1$, the process $\varphi_i$ has branching degree $i$.

Proof. (i) Clearly $\varphi_i \neq 0$. Suppose $\varphi_i = p \parallel q$; to prove that $\varphi_i$ is parallel prime, we need to establish that either $p = 0$ or $q = 0$. Note that $p \parallel q \xrightarrow{\tau} 0$. There do not exist actions $a$ and $b$ and processes $p'$ and $q'$ such that $\gamma(a, b) = \tau$ and $p' \parallel q'$, for then also $p \parallel q \xrightarrow{\alpha} p' \parallel q$, quod non. Therefore, according to Proposition 7 there are only two cases to consider:

(a) If there exists $p'$ such that $p \xrightarrow{\tau} p'$ and $p' \parallel q = 0$, then it follows by Lemma 9 that $|q| = 0$, and hence $q = 0$.

(b) If there exists $q'$ such that $q \xrightarrow{\tau} q'$ and $p \parallel q' = 0$, then it follows by Lemma 9 that $|p| = 0$, and hence $p = 0$.

(ii) If $\varphi_k = \varphi_l$, then $k = |\varphi_k| = |\varphi_l| = l$.

(iii) On the one hand, $\varphi_i \xrightarrow{\tau^j} \tau^j.0$ for all $0 \leq j < i$ and $\tau^k.0 = \tau^l.0$ implies $k = l$ for all $0 \leq k, l < i$, so $bdeg(\varphi_i)$ is at least $i$. On the other hand, if $\varphi_i \xrightarrow{\alpha} p$, then $\alpha = \tau$ and $p = \tau.0$ for some $0 \leq j < i$, so $bdeg(\varphi_i)$ is at most $i$. $\square$

3 An Equational Base for $P_f$

In this section, we prove that an equational base for $P_f$ is obtained if the axiom

$$F \quad x \mid y \approx 0$$

is added to the set of axioms generated by the axiom schemata in Table 2. The resulting equational base is finite if $A$ is finite. Henceforth, whenever we write $P \approx_F Q$, we mean that the equation $P \approx Q$ is derivable from the axioms in Table 2 and the axiom $F$.

Proposition 15. For all process terms $P$ and $Q$, if $P \approx_F Q$, then $P \approx_f Q$.

To prove that adding $F$ to the axioms in Table 2 suffices to obtain an equational base for $P_f$, we need to establish that $P \approx_f Q$ implies $P \approx_F Q$ for all process terms $P$ and $Q$. First, we identify a set of normal forms $N_f$ such that every process term $P$ can be rewritten to a normal form by means of the axioms.
Definition 16. The set $\mathcal{N}_F$ of F-normal forms is generated by the following grammar:

\[ N ::= 0 \mid N + N \mid \alpha.N \mid x \parallel N , \]

with $x \in V$, and $\alpha \in A_r$.

Lemma 17. For every process term $P$ there is an F-normal form $N$ such that $P \approx_F N$ and $h(P) \geq h(N)$.

Proof. Recall that $h(P)$ denotes the height of $P$ (see Definition 11). In this proof we also use another syntactic measure on $P$: the length of $P$, denoted $\ell(P)$, is the number of symbols occurring in $P$. Define a partial order $\prec$ on process terms by $P \prec Q$ if the pair $(h(P), \ell(P))$ is less than the pair $(h(Q), \ell(Q))$ in the lexicographical order on $\omega \times \omega$; i.e., $P \prec Q$ if $h(P) < h(Q)$ or $h(P) = h(Q)$ and $\ell(P) < \ell(Q)$. It is well-known that the lexicographical order on $\omega \times \omega$, and hence the order $\prec$ on process terms, is well-founded; so we may use $\prec$-induction.

The remainder of the proof consists of a case distinction on the syntactic forms that $P$ may take.

1. If $P$ is a variable, say $P = x$, then $P \approx x \parallel 0$ by L5; the process term $x \parallel 0$ is an F-normal form and $h(P) = h(x) = h(x) + 0 = h(x \parallel 0)$.
2. If $P = 0$, then $P$ is an F-normal form.
3. If $P = \alpha.P'$, then, since $h(P') < h(P)$, it holds that $P' \prec P$, and hence by the induction hypothesis there exists an F-normal form $N$ such that $P' \approx_F N$ and $h(P') \geq h(N)$. Then $\alpha.N$ is an F-normal form such that $P \approx_F \alpha.N$ and $h(P) \geq h(\alpha.N)$.
4. If $P = P_1 + P_2$, then, since $h(P_1), h(P_2) \leq h(P)$ and $\ell(P_1), \ell(P_2) < \ell(P)$, it holds that $P_1, P_2 \prec P$, and hence by the induction hypothesis there exist F-normal forms $N_1$ and $N_2$ such that $P_1 \approx_F N_1$, $P_2 \approx_F N_2$, $h(P_1) \geq h(N_1)$ and $h(P_2) \geq h(N_2)$. Then $N_1 + N_2$ is an F-normal form such that $P \approx_F N_1 + N_2$ and $h(P) \geq h(N_1 + N_2)$.
5. If $P = Q \parallel R$, then, since $h(Q) \leq h(P)$ and $\ell(Q) < \ell(P)$, it holds that $Q \prec P$, and hence by the induction hypothesis and Lemma 13 there exists a collection $S_1, \ldots, S_n$ of simple F-normal forms such that $Q \approx_F \sum_{i=1}^n S_i$ and $h(Q) \geq h(S_i)$ for all $i = 1, \ldots, n$. If $n = 0$, then $P \approx_F 0 \parallel R \approx 0$ by L1, and clearly $h(P) \geq h(0)$. Otherwise, by L3

\[ P \approx_F \sum_{i=1}^n (S_i \parallel R) . \]

So it remains to show, for all $i = 1, \ldots, n$, that $S_i \parallel R$ is provably equal to an appropriate F-normal form. We distinguish cases according to the syntactic form of $S_i$:
(a) If $S_i = \alpha.N'_i$, with $N'_i$ an F-normal form, then by L2

\[ S_i \parallel R \approx \alpha.(N'_i \parallel R) . \]
Since \( h(N'_i) < h(S_i) \leq h(Q) \), it holds that \( N'_i \parallel R \prec P \) and hence by the induction hypothesis there exists an F-normal form \( N_i \) such that \( N'_i \parallel R \approx_F N_i \) and \( h(N'_i \parallel R) \geq h(N_i) \). Clearly, \( \alpha.N_i \) is an F-normal form such that \( S_i \parallel R \approx_F \alpha.N_i \) and \( h(S_i \parallel R) \geq h(\alpha.N_i) \).

(b) If \( S_i = x \parallel N'_i \), with \( N'_i \) an F-normal form, then by L4

\[
(x \parallel N'_i) \parallel R \approx x \parallel (N'_i \parallel R).
\]

Note that \( h(x) = 1 \), so \( h(N'_i) < h(S_i) \leq h(Q) \). It follows that \( N'_i \parallel R \prec P \), and hence by the induction hypothesis there exists an F-normal form \( N_i \) such that \( N'_i \parallel R \approx_F N_i \) and \( h(N'_i \parallel R) \geq h(N_i) \). Clearly, \( x \parallel N_i \) is an F-normal form such that \( S_i \parallel R \approx_F x \parallel N_i \) and \( h(S_i \parallel R) \geq h(x \parallel N_i) \).

6. If \( P = Q \parallel R \), then \( P \approx_F 0 \) according to the axiom F and clearly \( h(P) \geq h(0) \).

7. If \( P = Q \parallel R \), then \( P \approx (Q \parallel R + R \parallel Q) + Q \parallel R \approx_F Q \parallel R + R \parallel Q \) by the axioms P1, F and A4. We can now proceed as in case 5 to show that for \( Q \parallel R \) and \( R \parallel Q \) there exist F-normal forms \( N_1 \) and \( N_2 \), respectively, such that \( Q \parallel R \approx_F N_1 \), \( R \parallel Q \approx_F N_2 \), \( h(Q \parallel R) \geq h(N_1) \) and \( h(R \parallel Q) \geq h(N_2) \). Then \( N_1 + N_2 \) is an F-normal form such that \( P \approx_F N_1 + N_2 \) and \( h(P) \geq h(N_1 + N_2) \). □

It remains to prove that for every two F-normal forms \( N_1 \) and \( N_2 \) there exists a distinguishing valuation, i.e., a valuation \(*\) such that if \( N_1 \) and \( N_2 \) are not provably equal, then the \(*\)-interpretations of \( N_1 \) and \( N_2 \) are distinct. Stating it contrapositively, for every two F-normal forms \( N_1 \) and \( N_2 \), it suffices to establish the existence of a valuation \(*\) : \( \mathcal{V} \rightarrow \mathcal{P}_\gamma \) such that

\[
\text{if } [N_1]_* = [N_2]_*, \text{ then } N_1 \approx_F N_2.
\]

(2)

The idea is to use a valuation \(*\) that assigns processes to variables in such a way that much of the original syntactic structure of \( N_1 \) and \( N_2 \) can be recovered by analysing the behaviour of \([N_1]_*\) and \([N_2]_*\). To recognize variables, we shall use the special processes \( \varphi_i \) (\( i \geq 1 \)) defined in Eqn. (11) on p. 9. Recall that the processes \( \varphi_i \) have branching degree \( i \). We are going to assign to every variable a distinct process \( \varphi_i \). By choosing \( i \) larger than the maximal ‘branching degrees’ occurring in \( N_1 \) and \( N_2 \), the behaviour contributed by an instantiated variable is distinguished from behaviour already present in the F-normal forms themselves.

**Definition 18.** We define the width \( w(N) \) of an F-normal form \( N \) as follows:

(i) if \( N = 0 \), then \( w(N) = 0 \);
(ii) if \( N = N_1 + N_2 \), then \( w(N) = w(N_1) + w(N_2) \);
(iii) if \( N = \alpha.N' \), then \( w(N) = \max(w(N'), 1) \);
(iv) if \( N = x \parallel N' \), then \( w(N) = \max(w(N'), 1) \).

The valuation \(*\) that we now proceed to define is parametrised with a natural number \( W \); in Theorem 22 we shall prove that it serves as a distinguishing valuation (i.e., satisfies Eqn. (2)) for all F-normal forms \( N_1 \) and \( N_2 \) such that \( w(N_1), w(N_2) \leq W \). Let \( \square \) denote an injective function

\[
\square : \mathcal{V} \rightarrow \{ n \in \omega : n > W \}
\]

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that associates with every variable a unique natural number greater than $W$. We define the valuation $* : V \to \mathbb{P}$, for all $x \in V$ by

$$*(x) = \tau.\varphi_i \gamma.$$  

The $\tau$-prefix is to ensure the following property for all normal forms (not just $N_1$ and $N_2$).

**Lemma 19.** For every F-normal form $N$, the branching degree of $[N]_*$ is at most $w(N)$.

**Proof.** Structural induction on $N$. ∎

**Lemma 20.** Let $S$ be a simple F-normal form, let $\alpha \in \mathcal{A}_\tau$, and let $p$ be a process such that $[S]_* \xrightarrow{\alpha} p$. Then the following statements hold:

(i) if $S = \beta.N$, then $\alpha = \beta$ and $p = [N]_*$;
(ii) if $S = x \parallel N$, then $\alpha = \tau$ and $p = \varphi_i \gamma \parallel [N]_*$.

An important property of $*$ is that it allows us to distinguish the different types of simple F-normal forms by classifying their residuals according to the number of parallel components with a branching degree that exceeds $W$. Let us say that a process $p$ is of type $n$ ($n \geq 0$) if its unique parallel decomposition contains precisely $n$ parallel prime components with a branching degree $> W$.

**Corollary 21.** Let $S$ be a simple F-normal form such that $w(S) \leq W$.

(i) If $S = \alpha.N$, then the unique residual $[N]_*$ of $[S]_*$ is of type 0.
(ii) If $S = x \parallel N$, then the unique residual $\varphi_i \gamma \parallel [N]_*$ of $[S]_*$ is of type 1.

**Proof.** On the one hand, by Lemma 19 in both cases $[N]_*$ has a branching degree of at most $w(N) \leq w(S) \leq W$, and hence, by Lemma 13 its unique parallel decomposition cannot contain parallel prime components with a branching degree that exceeds $W$. On the other hand, by Lemmas 14(i) and 14(ii), the process $\varphi_i \gamma \parallel [N]$ is parallel prime and has a branching degree that exceeds $W$. So $[N]_*$ is of type 0, and $\varphi_i \gamma \parallel [N]$ is of type 1. ∎

**Theorem 22.** For every two F-normal forms $N_1, N_2$ such that $w(N_1), w(N_2) \leq W$ it holds that $[N_1]_* = [N_2]_*$ only if $N_1 \approx N_2$ modulo A1–A4.

**Proof.** By Lemma 6 we may assume that $N_1$ and $N_2$ are summations of collections of simple F-normal forms. We assume $[N_1]_* = [N_2]_*$ and prove that then $N_1 \approx N_2$ modulo A1–A4, by induction on the sum of the heights of $N_1$ and $N_2$.

We first prove that for every syntactic summand $S_1$ of $N_1$ there is a syntactic summand $S_2$ of $N_2$ such that $S_1 \approx S_2$ modulo A1–A4. To this end, let $S_1$ be an arbitrary syntactic summand of $N_1$; we distinguish cases according to the syntactic form of $S_1$.  

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1. Suppose $S_1 = \alpha.N'_1$; then $[S_1]_* \xrightarrow{\alpha} [N'_1]_*$. Hence, since $[N_1]_* = [N_2]_*$, there exists a syntactic summand $S_2$ of $N_2$ such that $[S_2]_* \xrightarrow{\alpha} [N'_1]_*$. By Lemma 19, the branching degree of $[N'_1]_*$ does not exceed $W$, so $[S_2]_*$ has a residual of type 0, and therefore, by Corollary 21 there exist $\beta \in \mathcal{A}$ and an F-normal form $N'_2$ such that $S_2 = \beta.N'_2$. Moreover, since $[S_2]_* \xrightarrow{\alpha} [N'_1]_*$, it follows by Lemma 20 that $\alpha = \beta$ and $[N'_1]_* = [N'_2]_*$. Hence, by the induction hypothesis, we conclude that $N'_1 \approx N'_2$ modulo A1–A4, so $S_1 = \alpha.N'_1 \approx \beta.N'_2 = S_2$.

2. Suppose $S_1 = x \parallel N'_1$; then $[S_1]_* \xrightarrow{\varphi} [N'_1]_*$. Hence, since $[N_1]_* = [N_2]_*$, there exists a summand $S_2$ of $N_2$ such that $[S_2]_* \xrightarrow{\varphi} [N'_1]_*$. Since $S_2$ has a residual of type 1, by Corollary 21 there exist a variable $y$ and an F-normal form $N'_2$ such that $S_2 = y \parallel N'_2$. Now, since $[S_2]_* \xrightarrow{\varphi} [N'_1]_*$, it follows by Lemma 20 that

$$\varphi^{-1} \parallel [N'_1]_* = \varphi^{-1} \parallel [N'_2]_*.$$  

Since $[N'_1]_*$ and $[N'_2]_*$ are of type 0, we have that the unique decomposition of $[N'_1]_*$ (see Theorem 11) does not contain $\varphi^{-1}$ and the unique decomposition of $[N'_2]_*$ does not contain $\varphi^{-1}$. Hence, from (3) it follows that $\varphi^{-1} = \varphi^{-1}$ and $[N'_1]_* = [N'_2]_*$. From the former we conclude, by Lemma 21 and the injectivity of $\varphi^{-1}$, that $x = y$ and from the latter we conclude by the induction hypothesis that $N'_1 \approx N'_2$ modulo A1–A4. So $S_1 = x \parallel N'_1 \approx y \parallel N'_2 = S_2$.

We have established that every syntactic summand of $N_1$ is provably equal to a syntactic summand of $N_2$. Similarly, it follows that every syntactic summand of $N_2$ is provably equal to a syntactic summand of $N_1$. Hence, modulo A1–A4, $N_1 \approx N_1 + N_2 \approx N_2$, so the proof of the theorem is complete.

Note that it follows from the preceding theorem that there exists a distinguishing valuation for every pair of F-normal forms $N_1$ and $N_2$ that are distinct modulo A1–A4; it is obtained by instantiating the parameter $W$ in the definition of $*$ with a sufficiently large value. Hence, we get the following corollary.

**Corollary 23.** For all process terms $P$ and $Q$, $P \approx_F Q$ if, and only if, $P \equiv_f Q$, and hence the axioms generated by the schemata in Table 2 together with the axiom $F$ constitute an equational base for $P_f$.

**Proof.** The implication from left to right is Proposition 15. To prove the implication from right to left, suppose $P \equiv_f Q$. Then, by Lemma 17 there exist F-normal forms $N_1$ and $N_2$ such that $P \approx_F N_1$ and $Q \approx_F N_2$; from $P \equiv_f Q$ we conclude by Proposition 15 that $N_1 \equiv_f N_2$. Now choose $W$ large enough such that $w(N_1), w(N_2) \leq W$. From $N_1 \equiv_f N_2$ it follows that $[N_1]_* = [N_2]_*$, and hence, by Theorem 22, $N_1 \approx N_2$. We may therefore conclude that $P \approx_F N_1 \approx N_2 \approx_F Q$.

**Corollary 24.** The equational theory of $P_f$ is decidable.

**Proof.** From the proof of Lemma 17 it is easy to see that there exists an effective procedure that associates with every process term a provably equivalent F-normal.
Furthermore, from Definition 18 it is clear that every F-norm form has an effectively computable width. We now sketch an effective procedure that decides whether a process equation \( P \approx Q \) is valid:

1. Compute F-normal forms \( N_1 \) and \( N_2 \) such that \( P \approx F N_1 \) and \( Q \approx F N_2 \).
2. Compute \( w(N_1) \) and \( w(N_2) \) and define \( W \) as their maximum.
3. Determine the (finite) set \( V' \) of variables occurring in \( N_1 \) and \( N_2 \); define an injection \( \llbracket \cdot \rrbracket : V' \to \{ n \in \omega : n > W \} \), and a substitution \( * : V' \to P_0 \) that assigns to a variable \( x \) in \( V' \) the closed process term \( \tau.\varphi_{x \in \omega} \). (We may interpret Eqn. (11) as defining a sequence of closed process terms instead of a sequence of processes.)
4. Let \( N_1^* \) and \( N_2^* \) be the results from applying \( * \) to \( N_1 \) and \( N_2 \), respectively.
5. Determine if the closed process terms \( N_1^* \) and \( N_2^* \) are bisimilar; if they are, then the process equation \( P \approx Q \) is valid in \( P_f \), and otherwise it is not. \( \square \)

4 An Equational Base for \( P_h \)

We now consider the algebra \( P_h \). Note that if \( A \) happens to be the empty set, then \( P_h \) satisfies the axiom F, and it is clear from the proof in the previous section that the axioms generated by the axiom schemata in Table 2 together with F in fact constitute a finite equational base for \( P_h \). We therefore proceed with the assumption that \( A \) is nonempty, and prove that an equational base for \( P_h \) is then obtained if we add the axiom

\[
H \quad x \mid (y \mid z) \approx 0
\]

to the set of axioms generated by the axiom schemata in Table 2. Again, the resulting equational base is finite if the set \( A \) is finite. Henceforth, whenever we write \( P \approx H Q \), we mean that the equation \( P \approx Q \) is derivable from the axioms in Table 2 and the axiom H.

**Proposition 25.** For all process terms \( P \) and \( Q \), if \( P \approx H Q \), then \( P \approx_h Q \).

We proceed to adapt the proof presented in the previous section to establish the converse of Proposition 25. Naturally, with H instead of F not every occurrence of \( \mid \) can be eliminated from process terms, so the first thing we need to do is to adapt the notion of normal form.

**Definition 26.** The set \( N_H \) of H-normal forms is generated by the following grammar:

\[
N ::= 0 \mid N + N \mid \alpha.N \mid x \parallel N \mid (x \mid a) \parallel N \mid (x \mid y) \parallel N ,
\]

with \( x, y \in V, \alpha \in A_\tau \) and \( a \in A \).

In the proof that every process term is provably equal to an H-normal form, we use the following derivable equation.
Lemma 27. The following equation is derivable from the axioms in Table 2 and the axiom H:

\[
\text{C9} \quad \tau.x \mid y \approx_{H} 0.
\]

Proof. Let \( a \in A \); then

\[
\tau.x \mid y \approx_{H} \tau.(x \parallel 0) \mid y \quad \text{by P4 (see Lemma 10)}
\]

\[
\approx_{H} (a.x \mid \overline{x}.0) \mid y \quad \text{by C2}
\]

\[
\approx_{H} 0 \quad \text{by H}.
\]

\(\square\)

Lemma 28. For every process term \( P \) there exists an \( H \)-normal form \( N \) such that \( P \approx_{H} N \) and \( h(P) \geq h(N) \).

Proof. As in the proof of Lemma 17 we proceed by \( \prec \)-induction and do a case distinction on the syntactic form of \( P \). For the first four cases (\( P \) is a variable, \( P = 0 \), \( P = \alpha.P' \) and \( P = P_1 + P_2 \)) the proofs are identical to those in Lemma 17, so they are omitted.

5. If \( P = Q \parallel R \), then, since \( h(Q) \leq h(P) \) and \( \ell(Q) < \ell(P) \), it holds that \( Q \prec P \), and hence by the induction hypothesis and Lemma 4 there exists a collection \( S_1, \ldots, S_n \) of \( H \)-normal forms such that \( Q \approx_{H} \sum_{i=1}^{n} S_i \) and \( h(Q) \geq h(S_i) \) for all \( i = 1, \ldots, n \). If \( n = 0 \), then \( P \approx_{H} 0 \parallel R \approx 0 \) by L1, and clearly \( h(P) \geq h(0) \).

Otherwise, by L3

\[
P \approx_{H} \sum_{i=1}^{n} (S_i \parallel R),
\]

so it remains to show, for all \( i = 1, \ldots, n \), that \( S_i \parallel R \) is provably equal to an appropriate \( H \)-normal form. We distinguish cases according to the syntactic form of \( S_i \):

(a) If \( S_i = \alpha.N_i' \) (with \( N_i' \) an \( H \)-normal form), then by L2

\[
S_i \parallel R \approx_{H} \alpha.\langle N_i' \parallel R \rangle.
\]

Since \( h(N_i') < h(S_i) \leq h(Q) \), it holds that \( N_i' \parallel R \prec P \) and hence by the induction hypothesis there exists an \( H \)-normal form \( N \) such that \( N_i' \parallel R \approx_{H} N \) and \( h(N_i' \parallel R) \geq h(N) \). Clearly, \( \alpha.N \) is an \( H \)-normal form such that \( S_i \parallel R \approx_{H} \alpha.N \) and \( h(S_i \parallel R) \geq h(\alpha.N) \).

(b) If \( S_i = S_i' \parallel N_i'' \) with \( S_i' = x, S_i' = (x \mid a) \) or \( S_i' = (x \mid y) \), and \( N_i'' \) an \( H \)-normal form, then by L4

\[
S_i \parallel R \approx_{H} S_i' \parallel \langle N_i'' \parallel R \rangle.
\]

Note that \( h(S_i') > 0 \), so \( h(N_i'') < h(S_i) \leq h(Q) \). It follows that \( N_i'' \parallel R \prec P \), and hence by the induction hypothesis there exists an \( H \)-normal form \( N \) such that \( N_i'' \parallel R \approx_{H} N \) and \( h(N_i'' \parallel R) \geq h(N) \). Clearly, \( S_i' \parallel N \) is an \( H \)-normal form such that \( S_i \parallel R \approx_{H} S_i' \parallel \langle N_i'' \parallel R \rangle \) and \( h(S_i \parallel R) \geq h(S_i' \parallel N) \).
6. If \( P = Q \parallel R \), then, since \( h(Q) \leq h(P) \) and \( \ell(Q) < \ell(P) \), it holds that \( Q \prec P \), and, for similar reasons, \( R \prec P \). Hence, by the induction hypothesis and Lemma 6, there exist collections \( S_1, \ldots, S_m \) and \( T_1, \ldots, T_n \) of simple H-normal forms such that \( Q \approx_H \sum_{i=1}^m S_i, R \approx_H \sum_{j=1}^n T_j, h(Q) \geq h(S_i) \) for all \( i = 1, \ldots, m \), and \( h(R) \geq h(T_j) \) for all \( j = 1, \ldots, n \). Note that if \( m = 0 \), then \( P \approx_H 0 \parallel R \approx 0 \) by C1, and if \( n = 0 \), then \( P \approx_H Q \parallel 0 \approx_H 0 \parallel Q \approx_H 0 \) by C5 and C1, and clearly \( h(P) \geq h(0) \). Otherwise, by C4 and C5

\[
P \approx_H \sum_{i=1}^m \sum_{j=1}^n (S_i \parallel T_j)
\]

and it remains to show, for all \( i = 1, \ldots, m \) and \( j = 1, \ldots, n \), that \( S_i \parallel T_j \) is provably equal to an appropriate H-normal form. We distinguish cases according to the syntactic forms that \( S_i \) and \( T_j \) may take:

(a) Suppose \( S_i = \tau.S_i' \); then \( S_i \parallel T_j \approx_H 0 \) by Lemma 27, and clearly \( h(S_i \parallel T_j) \geq 0 \).

(b) Suppose \( T_j = \tau.T_j' \); then we apply C5 and proceed as in the previous case.

(c) Suppose \( S_i = S_i' \parallel S_i'' \) with \( S_i' = x \mid a \) or \( S_i' = x \mid y \); then by C7, C6, H, and L1

\[
S_i \parallel T_j \approx (S_i' \parallel T_j) \parallel S_i'' \approx_H 0 \parallel S_i'' \approx 0
\]

and clearly \( h(S_i \parallel T_j) \geq h(0) \).

(d) Suppose \( T_j = T_j' \parallel T_j'' \) with \( T_j' = x \mid a \) or \( T_j' = x \mid y \); then \( S_i \parallel T_j \approx T_j \parallel S_i \) by C5 and we can proceed as in the previous case.

(e) Suppose \( S_i = a.S_i' \) and \( T_j = b.T_j' \).

If \( b \neq \overline{a} \), then \( S_i \parallel T_j \approx 0 \) by C3 and \( h(S_i \parallel T_j) \geq h(0) \).

On the other hand, if \( b = \overline{a} \), then \( S_i \parallel T_j \approx \tau.(S_i' \parallel T_j') \) by C2, and, since \( h(S_i') < h(S_i) \leq h(Q) \) and \( h(T_j') < h(T_j) \leq h(R) \), it follows that \( S_i' \parallel T_j' \prec P \).

So, by the induction hypothesis there exists an H-normal form \( N \) such that \( S_i' \parallel T_j' \approx_H N \) and \( h(S_i' \parallel T_j') \geq h(N) \). Then clearly \( \tau.N \) is an H-normal form such that \( S_i \parallel T_j \approx_H \tau.N \) and \( h(S_i \parallel T_j) \geq h(\tau.N) \).

(f) Suppose \( S_i = a.S_i' \) and \( T_j = x \parallel T_j' \). Then

\[
a.S_i' \parallel (x \parallel T_j') \approx a.(0 \parallel S_i') \parallel (x \parallel T_j') \quad \text{(by P4, P3 in Lemma 10)}
= (a \parallel S_i') \parallel (x \parallel T_j') \quad \text{(by L2)}
\approx (x \mid a) \parallel (S_i' \parallel T_j') \quad \text{(by Lemma 3 and C5)}.
\]

Since \( h(S_i') < h(S_i) \leq h(Q) \) and \( h(T_j') < h(T_j) \leq h(R) \), it follows that \( S_i' \parallel T_j' \prec P \), and hence by the induction hypothesis there exists an H-normal form \( N \) such that \( S_i' \parallel T_j' \approx_H N \) and \( h(S_i' \parallel T_j') \geq h(N) \). Then clearly \( (x \mid a) \parallel N \) is an H-normal form such that \( S_i \parallel T_j \approx_H (x \mid a) \parallel N \) and \( h(S_i \parallel T_j) \geq h((x \mid a) \parallel N) \).

(g) If \( S_i = x \parallel S_i' \) and \( T_j = a.T_j' \), then the proof is analogous to the previous case.
(h) Suppose \( S_1 = x \parallel S'_1 \) and \( T_j = y \parallel T'_j \). Then, by the derived equation C8 (see Lemma 3)

\[
S_1 \parallel T_j \approx (x \parallel y) \parallel (S'_1 \parallel T'_j)
\]

Since \( h(S'_j) < h(S_i) \leq h(Q) \) and \( h(T'_j) < h(T_i) \leq h(R) \), it follows that \( S'_1 \parallel T'_j \prec P \), and hence by the induction hypothesis there exists an H-normal form \( N \) such that \( S'_1 \parallel T'_j \approx_H N \) and \( h(S'_1 \parallel T'_j) \geq h(N) \). Then clearly \((x \parallel y) \parallel N\) is an H-normal form such that \( S_1 \parallel T_j \approx_H (x \parallel y) \parallel N \) and \( h(S_1 \parallel T_j) \geq h((x \parallel y) \parallel N) \).

7. If \( P = Q \parallel R \), then \( P \approx Q \parallel R + R \parallel Q + Q \parallel R \). We can now proceed as in case 5 to show that for \( Q \parallel R \) and \( R \parallel Q \) there exist H-normal forms \( N_1 \) and \( N_2 \), respectively, such that \( Q \parallel R \approx_H N_1, R \parallel Q \approx_H N_2 \), \( h(Q \parallel R) \geq h(N_1) \) and \( h(R \parallel Q) \geq h(N_2) \). Furthermore, we can proceed as in case 6 to show that for \( Q \parallel R \) there exists an H-normal form \( N_3 \) such that \( Q \parallel R \approx_H N_3 \) and \( h(Q \parallel R) \geq h(N_3) \). Then \( N_1 + N_2 + N_3 \) is an H-normal form such that \( P \approx_H N_1 + N_2 + N_3 \) and \( h(P) \geq h(N_1 + N_2 + N_3) \). \( \square \)

We proceed to establish that for every two H-normal forms \( N_1 \) and \( N_2 \) there exists a valuation \( * : \mathcal{V} \rightarrow \mathbb{P}_\gamma \) such that

\[
\text{if } [N_1]_* = [N_2]_* , \text{ then } N_1 \approx_H N_2 . \tag{4}
\]

The distinguishing valuations \( * \) will have a slightly more complicated definition than before, because of the more complicated notion of normal form.

As in the previous section, the definition of \( * \) is parametrised with a natural number \( W \). Since \( | \) may now occur in H-normal forms, we also need to make sure that whatever process \( * \) assigns to variables has sufficient communication abilities. To achieve this, we also parametrise \( * \) with a finite subset \( \mathcal{A}' = \{ a_1, \ldots, a_n \} \) of \( \mathcal{A} \) that is closed under the bijection \( \gamma \) on \( \mathcal{A} \). (Note that every finite subset of \( \mathcal{A} \) has a finite superset with the aforementioned property.) Based on \( W \) and \( \mathcal{A}' \) we define the valuation \( * : \mathcal{V} \rightarrow \mathbb{P}_\gamma \) by

\[
* (x) = a_1 \cdot \varphi(1, x) + \cdots + a_n \cdot \varphi(n, x) .
\]

We shall prove that \( * \) satisfies Eqn. 4 if the actions occurring in \( N_1 \) and \( N_2 \) are in \( \mathcal{A}' \cup \{ \tau \} \) and the widths of \( N_1 \) and \( N_2 \), defined below, do not exceed \( W \). We must also be careful to define the injection \( \gamma \) in such a way that the extra factors \( 1, \ldots, n \) in the definition of \( * \) do not interfere with the numbers assigned to variables; we let \( \gamma \) denote an injection

\[
\gamma : \mathcal{V} \rightarrow \{ m : m \text{ a prime number such that } m > n \text{ and } m > W \}
\]

that associates with every variable a prime number greater than the cardinality of \( \mathcal{A}' \) and greater than \( W \).

The definition of width also needs to take into account the cardinality of \( \mathcal{A}' \) to maintain that the maximal branching degree in \( [N]_* \) does not exceed \( w(N) \).
Definition 29. We define the width $w(N)$ of an H-normal form $N$ as follows:

(i) if $N = 0$, then $w(N) = 0$;
(ii) if $N = N_1 + N_2$, then $w(N) = w(N_1) + w(N_2)$;
(iii) if $N = \alpha.N'$, then $w(N) = \max(w(N'), 1)$;
(iv) if $N = x \parallel N'$, then $w(N) = \max(w(N'), n)$;
(v) if $N = (x \mid a) \parallel N'$, then $w(N) = \max(w(N'), 1)$; and
(vi) if $N = (x \mid y) \parallel N'$, then $w(N) = \max(w(N'), n)$.

Lemma 30. For every H-normal form $N$, the branching degree of $[N]_*$ is at most $w(N)$.

Proof. Structural induction on $N$. □

Lemma 31. Let $S$ be a simple H-normal form, let $\alpha \in A_r$, and let $p$ be a process such that $[S]_* \xrightarrow{\alpha} p$. Then the following statements hold:

(i) if $S = \beta.N$, then $\alpha = \beta$ and $p = [N]_*$;
(ii) if $S = x \parallel N$, then $\alpha = a_i$ and $p = \varphi_i \parallel [N]_*$ for some $i \in \{1, \ldots, n\}$;
(iii) if $S = (x \mid a) \parallel N$, then $\alpha = \tau$ and $p = \varphi_i \parallel [N]_*$ for the unique $i \in \{1, \ldots, n\}$ such that $\overline{a_i} = a_j$; and
(iv) if $S = (x \mid y) \parallel N$, then $\alpha = \tau$ and $p = \varphi_i \parallel [N]_*$ for some $i, j \in \{1, \ldots, n\}$ such that $\overline{a_i} = a_j$.

As in the previous section, we distinguish H-normal forms by classifying their residuals according to the number of parallel components with a branching degree that exceeds $W$. Again, we say that a process $p$ is of type $n$ ($n \geq 0$) if its unique parallel decomposition contains precisely $n$ parallel prime components with a branching degree $> W$.

Corollary 32. Let $S$ be a simple H-normal form such that $w(S) \leq W$ and such that the actions occurring in $S$ are included in $A' \cup \{\tau\}$.

(i) If $S = \alpha.N$, then the unique residual of $[S]_*$ is of type 0.
(ii) If $S = x \parallel N$, then all residuals of $[S]_*$ are of type 1.
(iii) If $S = (x \mid a) \parallel N$, then the unique residual of $[S]_*$ is of type 1.
(iv) If $S = (x \mid y) \parallel N$, then all residuals of $[S]_*$ are of type 2.

Proof. On the one hand, by Lemma 30 in each case $[N]_*$ has a branching degree of at most $w(N) \leq w(S) \leq W$, and hence, by Lemma 31, its unique parallel decomposition cannot contain parallel prime components with a branching degree that exceeds $W$. On the other hand, by Lemmas 14. iii and 14. iii, the processes $\varphi_i \parallel x_1$ and $\varphi_j \parallel y_1$ are parallel prime and have a branching degree that exceeds $W$. Further note that, since the assumption on CCS communication functions that $\overline{a} \neq a$ implies that $i \neq j$, the processes $\varphi_i \parallel x_1$ and $\varphi_j \parallel y_1$ are distinct. Using these observations it is straightforward to establish the corollary as a consequence of Lemma 31. □

Theorem 33. For every two H-normal forms $N_1$, $N_2$ such that $w(N_1), w(N_2) \leq W$ and such that the actions occurring in $N_1$ and $N_2$ are included in $A' \cup \{\tau\}$ it holds that $[N_1]_* = [N_2]_*$ only if $N_1 \approx N_2$ modulo A1–A4, C5.
Proof. By Lemma 6 we may assume that $N_1$ and $N_2$ are summations of collections of simple H-normal forms. We assume $[N_1]_s = [N_2]_s$ and prove that then $N_1 \approx N_2$ modulo $A_1$–$A_4$, $C_5$, by induction on the sum of the heights of $N_1$ and $N_2$.

We first prove that for every syntactic summand $S_1$ of $N_1$ there is a syntactic summand $S_2$ of $N_2$ such that $S_1 \approx S_2$ modulo $A_1$–$A_4$, $C_5$. To this end, let $S_1$ be an arbitrary syntactic summand of $N_1$; we distinguish cases according to the syntactic form of $S_1$.

1. Suppose $S_1 = \alpha.N_1'$; then $[S_1]_s \to [N_1']_s$. Hence, since $[N_1]_s = [N_2]_s$, there exists a syntactic summand $S_2$ of $N_2$ such that $[S_2]_s \to [N_1']_s$. By Lemma 30 the branching degree of $[N_1']_s$ does not exceed $W$, so $[S_2]_s$ has a residual of type $0$, and therefore, by Corollary 32 there exist $\beta \in A_\tau$ and an H-normal form $N_2'$ such that $S_2 = \beta.N_2'$. Moreover, since $[S_2]_s \to [N_1']_s$, it follows by Lemma 31(iii) that $\alpha = \beta$ and $[N_1]_s = [N_2]_s$. Hence, by the induction hypothesis, we conclude that $N_1' \approx N_2'$ modulo $A_1$–$A_4$, $C_5$. So $S_1 = \alpha.N_1' \approx \beta.N_2' = S_2$.

2. Suppose $S_1 = x \parallel N_1'$; then $[S_1]_s \to \varphi_{x\gamma} \parallel [N_1']_s$. Hence, since $[N_1]_s = [N_2]_s$, there exists a summand $S_2$ of $N_2$ such that $[S_2]_s \to \varphi_{x\gamma} \parallel [N_1']_s$. Since $S_2$ has a residual of type $1$, by Corollary 32(b) it is not of the form $\alpha.N_2'$ for some $\alpha \in A_\tau$, and H-normal form $N_2'$, or of the form $(y \mid z) \parallel N_2'$ for some $y, z \in V$ and H-normal form $N_2'$. Moreover, $S_2$ cannot be of the form $(y \mid a) \parallel N_2'$ for some $y \in V$ and $a \in A$, for then by Lemma 31(ii) $[S_2]_s \to p$ would imply $\alpha = \tau \neq a_1$. So, there exists a variable $y$ and an H-normal form $N_2'$ such that $S_2 = y \parallel N_2'$. Now, since $[S_2]_s \to \varphi_{x\gamma} \parallel [N_1']_s$, it follows by Lemma 31(iii) that

$$\varphi_{x\gamma} \parallel [N_1']_s = \varphi_{y\gamma} \parallel [N_2']_s.$$ (5)

Since $[N_1']_s$ and $[N_2']_s$ are of type $0$, we conclude that the unique decomposition of $[N_1']_s$ does not contain $\varphi_{y\gamma}$ and the unique decomposition of $[N_2']_s$ does not contain $\varphi_{x\gamma}$. Hence, from (5) it follows that $\varphi_{x\gamma} = \varphi_{y\gamma}$ and $[N_1']_s = [N_2']_s$. From the former we conclude by the injectivity of $\gamma$ that $x = y$, and from the latter we conclude by the induction hypothesis that $N_1' \approx N_2'$ modulo $A_1$–$A_4$, $C_5$. So $S_1 = x \parallel N_1' \approx y \parallel N_2' = S_2$.

3. Suppose $S_1 = (x \mid a) \parallel N_1'$, and let $i$ be such that $\tau = a_i$. Then $[S_1]_s \to \varphi_{i\gamma} \parallel [N_1']_s$. Hence, since $[N_1]_s = [N_2]_s$, there exists a summand $S_2$ of $N_2$ such that $[S_2]_s \to \varphi_{i\gamma} \parallel [N_1']_s$.

Since $S_2$ has a residual of type $1$, by Corollary 32(b) it is not of the form $\alpha.N_2'$ for some $\alpha \in A_\tau$ and H-normal form $N_2'$, or of the form $(y \mid z) \parallel N_2'$ for some $y, z \in V$ and H-normal form $N_2'$. Moreover, $S_2$ cannot be of the form $y \parallel N_2'$ for some $y \in V$, for then by Lemma 31(iii) $[S_2]_s \to p$ would imply $\alpha = a_k \neq \tau$ for some $k \in \{1, \ldots, n\}$. So, there exist a variable $y$, action $b \in A'$ and an H-normal form $N_2'$ such that $S_2 = (y \mid b) \parallel N_2'$. Now, since $[S_2]_s \to \varphi_{i\gamma} \parallel [N_1]_s$, it follows by Lemma 31(iii) that

$$\varphi_{i\gamma} \parallel [N_1]_s = \varphi_{j\gamma} \parallel [N_2]_s,$$ (6)
with $j \in \{1, \ldots, n\}$ such that $b = a_j$. By Lemma [14], the processes $\varphi_i \cdot x^\sim$ and $\varphi_j \cdot y^\sim$ are parallel prime and have branching degrees that, since $\overline{\tau} \cdot x > W$ and $\overline{\tau} \cdot y > W$, exceed $W$. Therefore, since $[N'_1]_s$ and $[N'_2]_s$ are of type 0, it follows that the unique decomposition of $[N'_1]_s$ does not contain $\varphi_j \cdot y^\sim$ and the unique decomposition of $[N'_2]_s$ does not contain $\varphi_i \cdot x^\sim$. Hence, by [9] we have that $\varphi_i \cdot x^\sim = \varphi_j \cdot y^\sim$ and $[N'_1]_s = [N'_2]_s$. From $\varphi_i \cdot x^\sim = \varphi_j \cdot y^\sim$, by Lemma [14], we infer that $i \cdot \overline{\tau} \cdot x = j \cdot \overline{\tau} \cdot y$. Since $\overline{\tau} \cdot x$ and $\overline{\tau} \cdot y$ are prime numbers greater than $i$ and $j$, it follows that $i = j$, whence $a = b$, and $\overline{\tau} \cdot x = \overline{\tau} \cdot y$, whence $x = y$ by the injectivity of $\overline{\tau}$. From $[N'_1]_s = [N'_2]_s$ we conclude by the induction hypothesis that $N'_1 \cong N'_2$ modulo A1–A4, C5. So $S_1 = (x \mid a) \parallel N'_1 \cong (y \mid b) \parallel N'_2 = S_2$.

4. Suppose $S_1 = (x \mid y) \parallel N'_1$. Then $[S_1]_s \xrightarrow{\tau} \varphi_i \cdot x^\sim \parallel [N'_1]_s$. Hence, since $[N'_1]_s = [N'_2]_s$, there exists a summand $S_2$ of $N_2$ such that

$$[S_2]_s \xrightarrow{\tau} \varphi_i \cdot x^\sim \parallel [N'_1]_s .$$

Since $S_2$ has a residual of type 2, by Corollary [32], there exist $x', y' \in \mathcal{V}$ and an H-normal form $N'_2$ such that $S_2 = (x' \mid y') \parallel N'_2$. Now, since $[S_2]_s \xrightarrow{\tau} \varphi_i \cdot x^\sim \parallel [N'_1]_s$, it follows by Lemma [31] that for some $k, l \in \{1, \ldots, n\}$ such that

$$\overline{a_k} = a_l$$

$$\varphi_i \cdot x^\sim \parallel [N'_1]_s = \varphi_k \cdot x^\sim \parallel [N'_2]_s,$$

By Lemma [14], the processes $\varphi_i \cdot x^\sim$, $\varphi_j \cdot y^\sim$, $\varphi_k \cdot x^\sim$, and $\varphi_l \cdot y^\sim$ are parallel prime and have branching degrees that exceed $W$. Therefore, since $[N'_1]_s$ and $[N'_2]_s$ are of type 0, it follows that the unique decomposition of $[N'_1]_s$ does not contain $\varphi_k \cdot x^\sim$ and $\varphi_l \cdot y^\sim$, and the unique decomposition of $[N'_2]_s$ does not contain $\varphi_i \cdot x^\sim$ and $\varphi_j \cdot y^\sim$. Hence, from (7) we infer that $[N'_1]_s = [N'_2]_s$, and either $\varphi_i \cdot x^\sim = \varphi_k \cdot x^\sim$ and $\varphi_j \cdot y^\sim = \varphi_l \cdot y^\sim$, or $\varphi_i \cdot x^\sim = \varphi_j \cdot y^\sim$ and $\varphi_k \cdot x^\sim = \varphi_l \cdot y^\sim$. From the former we conclude by the induction hypothesis that $N'_1 \cong N'_2$ modulo A1–A4, C5; from the latter it follows reasoning as in case 3 that either $x = x'$ and $y = y'$, or $x = y'$ and $y = x'$. In both cases, $S_1 = (x \mid y) \parallel N'_1 \cong (x' \mid y') \parallel N'_2 = S_2$.

We have established that every syntactic summand of $N_1$ is provably equal to a syntactic summand of $N_2$. Similarly, it follows that every syntactic summand of $N_2$ is provably equal to a syntactic summand of $N_2$. Hence, modulo A1–A4, C5 $N_1 \cong N_1 + N_2 \cong N_2$, and the proof of the theorem is complete.

\textbf{Corollary 34.} For all process terms $P$ and $Q$, $P \cong_H Q$ if, and only if, $P \equiv_h Q$, and hence the axioms generated by the schemata in Table 2 together with the axiom H constitute an equational base for $P_h$.

\textbf{Proof.} The implication from left to right is Proposition [25]. To prove the implication from right to left, suppose $P \equiv_h Q$. Then, by Lemma [28], there exist H-normal forms $N_1$ and $N_2$ such that $P \cong_H N_1$ and $Q \cong_H N_2$; from $P \equiv_h Q$ we conclude by Proposition [25] that $N_1 \equiv_h N_2$. Now choose $W$ large enough such that $w(N_1), w(N_2) \leq W$, and pick a finite set $\mathcal{A}'$ that is closed under $\overline{\tau}$ and includes all of the actions occurring in $N_1$ and $N_2$. From $N_1 \equiv_h N_2$ it follows that $[N_1]_s = [N_2]_s$, and hence, by Theorem [30], $N_1 \cong N_2$. We can therefore conclude $P \cong_H N_1 \cong N_2 \cong_H Q$. \hfill \Box
Corollary 35. The equational theory of $P_h$ is decidable.

Proof. From the proof of Lemma 28 it is easy to see that there exists an effective procedure that associates with every process term a provably equivalent H-normal. Furthermore, from Definition 29 it is clear that, given a set $A'$, every H-normal form has an effectively computable width. We now sketch an effective procedure that decides whether a process equation $P \approx Q$ is valid:

1. Compute H-normal forms $N_1$ and $N_2$ such that $P \approx H N_1$ and $Q \approx H N_2$.
2. Determine the least set $A' = \{a_1, \ldots, a_n\}$ of actions that is closed under $\bar{\cdot}$ and contains the actions in $A$ occurring in $N_1$ and $N_2$.
3. Compute $w(N_1)$ and $w(N_2)$ given $A'$, and define $W$ as their maximum.
4. Determine the (finite) set $V'$ of variables occurring in $N_1$ and $N_2$; define an injection
   \[ \bar{\cdot} : V' \to \{ m \in \omega : m \text{ a prime number such that } m > n \text{ and } m > W \} \]
   and a substitution $\ast : V' \to P_0$ that assigns to a variable $x$ in $V'$ the closed process term
   \[ a_1 \cdot \varphi_1 \cdot z \cdot a_2 \cdot \varphi_2 \cdot z \cdot \cdots + a_n \cdot \varphi_n \cdot z \cdot \]
   (Again, we interpret Eqn. (1) as defining a sequence of closed process terms instead of a sequence of processes.)
5. Let $N_1^\ast$ and $N_2^\ast$ be the results from applying $\ast$ to $N_1$ and $N_2$, respectively.
6. Determine if the closed process terms $N_1^\ast$ and $N_2^\ast$ are bisimilar; if they are, then the process equation $P \approx Q$ is valid in $P_h$, and otherwise it is not. \[ \square \]

5 Concluding remarks

We have discussed the equational theories of two process algebras arising from the fragment of CCS without recursion, restriction and relabelling. Moller has proved in [14] that these equational theories are not finitely based. We have shown that if the set of actions is finite and the auxiliary operators left merge and communication merge from Bergstra and Klop [4] are added, then finite equational bases can be obtained. They consist of (adaptations of) axioms appearing already in [4,5,10].

Denote by $E$ the set of the axioms generated by the schemata in Table 2 on p. 5 together with the axiom $x | (y | z) \approx 0$, which expresses the communication mechanism conforms to the handshaking paradigm. Our main result (Corollary 34) establishes that $E$ is an equational base for the algebra $P_h$. Note that an equational base for an algebra is an equational base for every extension of that algebra in which the axioms hold.\[1\] So, as a consequence of our result, $E$ is in fact an equational base, e.g., for every algebra of process graphs modulo bisimulation endowed with a distinguished element $0$ and operations $\alpha. (\alpha \in A_f)$, $+,$ $\parallel$, $\parallel$ and $|$ according to their standard

\[1\] The algebra $B$ is an extension of the algebra $A$ if there exists an embedding from $A$ into $B$. 21
interpretations. In particular it is clear from the preceding remarks that, although the algebra $P_h$ contains only finite processes, this is not essential for our result.

As a special case of Corollary 34, the axiom system $E$ is ground-complete with respect to bisimilarity (i.e., $\approx_H$ coincides with $\cong_h$ on the set of closed terms $P_0$). Consequently, the algebra $P_h$ is isomorphic with the initial algebra associated with $E$, i.e., the quotient of the set of closed terms modulo $\approx_H$. It also follows from our main result that the axiom system is $\omega$-complete. For suppose that every closed instance of the equation $P \approx Q$ is derivable; then the equation itself is valid in the initial algebra. By ground-completeness, it follows that $P \approx Q$ is valid in $P_h$, and hence, by Corollary 34, it is derivable from $E$.

As a stepping stone towards our main result, we first considered the process algebra $P_f$ with a trivial communication mechanism. An equational base for it is obtained if the axiom $x \parallel y \approx 0$ is added to the axioms generated by the schemata in Table 2 on p. 5 (Corollary 23). The auxiliary operator $\parallel$ is then actually superfluous. For we can replace $P1$ by $x \parallel y \approx x \parallel y + y \parallel x$, and, moreover, transform every equational proof into a proof in which $\parallel$ does not occur by replacing every occurrence of a subexpression $P \parallel Q$ by 0. It follows that the axiomatisation consisting of A1–A4, L1–L5, and the simplified axiom $P1$ is $\omega$-complete. Thus, we generalise the result of Moller [13], who establishes $\omega$-completeness of the axiomatisation under the condition that the set of actions is infinite; according to our result the condition can be omitted.

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