Fekete points and convergence towards equilibrium measures on complex manifolds

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Introduction

The setting

Let $L$ be a holomorphic line bundle on a compact complex manifold $X$ of complex dimension $n$. Let $(K, φ)$ be a weighted compact subset, i.e. $K$ is a non-pluripolar compact subset $K$ of $X$ and $φ$ is (the weight of) a continuous Hermitian metric on $L$. If $s$ is a section of $kL := L^⊗k$, we denote the corresponding pointwise length function by

$$|s|_kφ = |s|e^{-kφ}.$$

We refer to [BB1, §2.1 and §2.2] for more details on the terminology and notation. Finally let $μ$ be a probability measure on $K$. 


The asymptotic study as \( k \to \infty \) of the spaces of global sections \( s \in H^0(X, kL) \) endowed with either the \( L^2 \)-norm

\[
\|s\|_{L^2(\mu,k\phi)}^2 := \int_X |s|^2_{k\phi} \, d\mu
\]

or the \( L^\infty \)-norm

\[
\|s\|_{L^\infty(K,k\phi)} := \sup_K |s|_{k\phi}
\]

is a natural generalization of the classical theory of orthogonal polynomials. The latter indeed corresponds to the case

\[
K \subset \mathbb{C}^n \subset \mathbb{P}^n =: X
\]
equipped with the ample tautological line bundle \( O(1) =: L \). It is of course well known that

\[
H^0(\mathbb{P}^n, O(k))
\]
identifies with the space of polynomials on \( \mathbb{C}^n \) of total degree at most \( k \). The section of \( O(1) \) cutting out the hyperplane at infinity induces a flat Hermitian metric on \( L \) over \( \mathbb{C}^n \), so that a continuous weight \( \phi \) on \( O(1)|_K \) is naturally identified with a function in \( C^0(K) \). On the other hand, a plurisubharmonic function on \( \mathbb{C}^n \) with at most logarithmic growth at infinity gets identified with the weight \( \phi \) of a non-negatively curved (singular) Hermitian metric on \( O(1) \). In the general case we will say that a weight \( \phi \) on \( L \) is plurisubharmonic (psh, for short) if the associated (singular) Hermitian metric is non-negatively curved (in the sense of currents).

Our geometric setting is therefore seen to be a natural (and more symmetric) extension of the so-called weighted potential theory (cf. [ST] and in particular Bloom’s appendix therein). It also contains the case of spherical polynomials on the round sphere \( S^n \subset \mathbb{R}^{n+1} \), as studied e.g. in [M], [MO] and [SW] (we are grateful to N. Levenberg for having pointed this out to us). Indeed, the space of spherical polynomials of total degree at most \( k \) is by definition the image by restriction to \( S^n \) of the space of all polynomials on \( \mathbb{R}^{n+1} \) of degree at most \( k \). It thus coincides with (the real points of) \( H^0(X, kL) \) with \( X \) being the smooth quadric hypersurface

\[
\{[X_0 : X_1 : \ldots : X_n] : X_1^2 + \ldots + X_n^2 = X_0^2 \} \subset \mathbb{P}^{n+1}
\]
endowed with the ample line bundle \( L := O(1)|_X \). Here we take \( K := S^n = X(\mathbb{R}) \), and the section cutting out the hyperplane at infinity again identifies weights on \( L \) with certain functions on the affine piece of \( X \).

In view of the above dictionary, one is naturally led to introduce the equilibrium weight of \((K, \phi)\) as

\[
\phi_K := \sup \{ \psi \text{ psh weight on } L : \psi \leq \phi \text{ on } K \}, \quad (0.1)
\]
whose upper semi-continuous (usc, for short) regularization $\phi^*_K$ is a psh weight on $L$ since $K$ is non-pluripolar (cf. §1.1).

The equilibrium measure of $(K, \phi)$ is then defined as the Monge–Ampère measure of $\phi^*_K$ normalized to unit mass:

$$\mu_{\text{eq}}(K, \phi) := V^{-1} \MA(\phi^*_K), \quad \text{with } V := \int_X \MA(\phi^*_K).$$

This measure is concentrated on $K$ and $\phi = \phi^*_K$ holds $\mu_{\text{eq}}(K, \phi)$-a.e.

This approach is least technical when $L$ is ample, but the natural setting appears to be the more general case of a big line bundle, which is the one considered in the present paper, following our preceding work [BB1]. As was shown there, the Monge–Ampère measure $\MA(\psi)$ of a psh weight $\psi$ with minimal singularities, defined as the Beford–Taylor top-power $(dd^c \psi)^n$ of the curvature $dd^c \psi$ on its bounded locus, is well behaved.

Its total mass $V$ is in particular an invariant of the big line bundle $L$, and in fact coincides with the volume $\vol(L)$, characterized by

$$N_k := \dim H^0(kL) = \vol(L) \frac{k^n}{n!} + o(k^n).$$

Note that the case of a big line bundle covers in particular the case where $X$ is allowed to be singular, since the pull-back of a big line bundle to a resolution of singularities remains big.

The main goal of the present paper is to give a general criterion involving spaces of global sections that ensures convergence of certain sequences of probability measures on $K$ of Bergman-type towards the equilibrium measure $\mu_{\text{eq}}(K, \phi)$.

**Fekete configurations**

Let $(K, \phi)$ be a weighted compact subset as above. A Fekete configuration is a finite subset of points in $K$ maximizing the determinant in the interpolation problem. More precisely, let $N := \dim H^0(L)$ and

$$P = (x_1, \ldots, x_N) \in K^N$$

be a configuration of points in the given compact subset $K$. Then $P$ is said to be a Fekete configuration for $(K, \phi)$ if it maximizes the determinant of the evaluation operator

$$\text{ev}_P: H^0(L) \longrightarrow \bigoplus_{j=1}^N L_{x_j}$$
with respect to a given basis \( s_1, ..., s_N \) of \( H^0(L) \), i.e. the Vandermonde-type determinant

\[ |\det(s_i(x_j))| e^{-\left(\phi(x_1) + \cdots + \phi(x_N)\right)}. \]

This condition is independent of the choice of the basis \( (s_i)_{i=1}^N \).

For each configuration \( P = (x_1, ..., x_N) \in X^N \) we let

\[ \delta_P := \frac{1}{N} \sum_{j=1}^N \delta_{x_j} \]

be the averaging measure along \( P \). Our first main result is an equidistribution result for Fekete configurations.

**Theorem A.** Let \((X, L)\) be a compact complex manifold equipped with a big line bundle. Let \( K \) be a non-pluripolar compact subset of \( X \) and \( \phi \) be a continuous weight on \( L \). For each \( k \) let \( P_k \in K^{N_k} \) be a Fekete configuration for \((K, k\phi)\). Then the sequence \( P_k \) equidistributes towards the equilibrium measure as \( k \to \infty \), that is

\[ \lim_{k \to \infty} \delta_{P_k} = \mu_{\text{eq}}(K, \phi) \]

holds in the weak topology of measures.

Theorem A first appeared in the preprint [BB2] by Berman–Boucksom. It will be obtained here as a consequence of a more general convergence result (Theorem C below).

In \( \mathbb{C} \) this result is well known (cf. [ST] for a modern reference and [Dei] for the relation to Hermitian random matrices). In \( \mathbb{C}^n \) this result has been conjectured for quite some time, probably going back to the pioneering work of Leja in the late 1950s. See for instance Levenberg’s survey on approximation theory in \( \mathbb{C}^n \) [L, p. 120] and Bloom’s appendix to [ST].

As explained above, the spherical polynomials situation corresponds to the round sphere \( S^n \) embedded in its complexification \( X \), the complex quadric hypersurface in \( \mathbb{P}^{n+1} \) (with \( L \) being the restriction of \( O(1) \) to \( X \)). This special case of Theorem A thus yields the following result.

**Corollary A.** Let \( K \subset S^n \) be a compact subset of the round \( n \)-sphere and assume that \( K \) is non-pluripolar in the complexification of \( S^n \). For each \( k \) let \( P_k \in K^{N_k} \) be a Fekete configuration of degree \( k \) for \( K \) (also called extremal fundamental system in this setting). Then \( \delta_{P_k} \) converges to the equilibrium measure \( \mu_{\text{eq}}(K) \) of \( K \).

This is a generalization of the recent result of Morza and Ortega-Cerdà [MO] on equidistribution of Fekete points on the sphere. Their result corresponds to the case \( K = S^n \) whose equilibrium measure \( \mu_{\text{eq}}(S^n) \) coincides with the rotation invariant probability measure on \( S^n \) for symmetry reasons.
Bernstein–Markov measures

Let as before \((K, \phi)\) be a weighted compact subset and let \(\mu\) be a probability measure on \(K\). The distortion between the natural \(L^2\) and \(L^\infty\) norms on \(H^0(L)\) introduced above is locally accounted for by the distortion function \(\varrho(\mu, \phi)\), whose value at \(x \in X\) is defined by

\[
\varrho(\mu, \phi)(x) = \sup_{\|s\|_{L^2(\mu, \phi)} = 1} |s(x)|^2, \tag{0.3}
\]

the squared norm of the evaluation operator at \(x\).

The function \(\varrho(\mu, \phi)\) is known as the Christoffel–Darboux function in the orthogonal polynomials literature and may also be represented as

\[
\varrho(\mu, \phi) = \sum_{i=1}^{N} |s_i|^2 \tag{0.4}
\]
in terms of any given orthonormal basis \((s_i)_{i=1}^{N}\) for \(H^0(L)\) with respect to the \(L^2\)-norm induced by \((\mu, \phi)\). In this latter form, it sometimes also appears under the name density of states function. Integrating (0.4) over \(X\) shows that the corresponding probability measure

\[
\beta(\mu, \phi) := \frac{1}{N} \varrho(\mu, \phi) \mu, \tag{0.5}
\]

which will be referred to as the Bergman measure, can indeed be interpreted as a dimensional density for \(H^0(L)\).

When \(\mu\) is a smooth positive volume form on \(X\) and \(\phi\) is smooth and strictly psh, the celebrated Bouche–Catlin–Tian–Zelditch theorem ([Bou], [C], [T], [Z]) asserts that \(\beta(\mu, k\phi)\) admits a full asymptotic expansion in the space of smooth volume forms as \(k \to \infty\), with \(V^{-1}(dd^c\phi)^n\) as the dominant term.

As was shown by Berman (in [B1] for the \(\mathbb{P}^n\) case and in [B2] for the general case), part of this result still holds when \(\mu\) is a smooth positive volume form and \(\phi\) is smooth but without any a priori curvature sign. More specifically, the norm distortion still satisfies

\[
\sup_X \varrho(\mu, k\phi) = O(k^n) \tag{0.6}
\]

and the Bergman measures still converge towards the equilibrium measure:

\[
\lim_{k \to \infty} \beta(\mu, k\phi) = \mu_{eq}(X, \phi) \tag{0.7}
\]

now in the weak topology of measures.
Both of these results fail when $K$, $\mu$ and $\phi$ are more general. However sub-exponential growth of the distortion between $L^2(\mu, k\phi)$ and $L^\infty(K, k\phi)$ norms, that is

$$\sup_K \varrho(\mu, k\phi) = O(e^{\epsilon k}) \quad \text{for all } \epsilon > 0,$$

appears to be a much more robust condition. Following standard terminology (cf. [NZ] and [L, p. 120]), we will say that the measure $\mu$ is Bernstein–Markov for $(K, \phi)$ when (0.8) holds.

When $K=X$ any measure dominating Lebesgue measure is Bernstein–Markov for $(X, \phi)$ by the mean-value inequality. In §1.2 we give more generally a characterization of a stronger Bernstein–Markov property, with respect to psh weights instead of holomorphic sections, generalizing classical results of Nguyen–Zeriahi [NZ] and Siciak [S]. The result shows in particular that Bernstein–Markov measures for $(K, \phi)$ always exist when $(K, \phi)$ is regular in the sense of pluripotential theory, i.e. when $\phi_K$ is usc. Regularity holds for instance when $K$ is a smoothly bounded domain in $X$.

Our second main result asserts that the convergence of Bergman measures to the equilibrium measure as in (0.7) holds for arbitrary Bernstein–Markov measures.

**Theorem B.** Let $(X, L)$ be a compact complex manifold equipped with a big line bundle. Let $K$ be a non-pluripolar compact subset of $X$ and $\phi$ be a continuous weight on $L$. Let $\mu$ be a Bernstein–Markov measure for $(K, \phi)$. Then

$$\lim_{k \to \infty} \beta(\mu, k\phi) = \mu_{eq}(K, \phi)$$

holds in the weak topology of measures.

In the classical one-variable setting, this theorem was obtained using completely different methods by Bloom and Levenberg [BL2], who also conjectured the several variable case in [BL3]. A slightly less general version of Theorem B (dealing only with stably Bernstein–Markov measures) was first obtained by Berman–Witt Nyström in the preprint [BW]. Theorem B will here be obtained as a special case of Theorem C below.

**Donaldson’s $L$-functionals and a general convergence criterion**

We now state our third main result, which is a general criterion ensuring convergence of Bergman measures to equilibrium in terms of $L$-functionals, first introduced by Donaldson [D1], [D2]. This final result actually implies Theorems A and B above, as well as a convergence result for so-called optimal measures first obtained in [BBLW] by reducing the result to the preprint [BB2].
The $L^2$ and $L^\infty$ norms on $H^0(kL)$ introduced above are described geometrically by their unit balls, which will be denoted respectively by

$$B^\infty(K, k\phi) \subset B^2(\mu, k\phi) \subset H^0(kL).$$

We fix a reference weighted compact subset $(K_0, \phi_0)$ and a probability measure $\mu_0$ on $K_0$ which is Bernstein–Markov with respect to $(K_0, \phi_0)$. This data should be taken to be the Haar measure of the compact unit torus endowed with the standard flat weight in the $\mathbb{C}^n$ case. We can then normalize the Haar measure $\text{vol}$ on $H^0(kL)$ by

$$\text{vol} B^2(K_0, k\phi_0) = 1,$$

and we introduce the following slight variants of Donaldson’s $L$-functional [D1]

$$\mathcal{L}_k(\mu, \phi) := \frac{1}{2kN_k} \log \text{vol} B^2(\mu, k\phi)$$

and

$$\mathcal{L}_k(K, \phi) := \frac{1}{2kN_k} \log \text{vol} B^\infty(K, k\phi).$$

By [BB1, Theorem A], we have

$$\lim_{k \to \infty} \mathcal{L}_k(K, \phi) = \mathcal{E}_{\text{eq}}(K, \phi),$$

(0.9)

where

$$\mathcal{E}_{\text{eq}}(K, \phi) := \frac{1}{M} \mathcal{E}(\phi^*_K)$$

denotes the energy at equilibrium of $(K, \phi)$ (with respect to $(K_0, \phi_0)$) and $\mathcal{E}(\psi)$ stands for the Monge–Ampère energy of a psh weight $\psi$ with minimal singularities, characterized as the primitive of the Monge–Ampère operator:

$$\frac{d}{dt} \Big|_{t=0} \mathcal{E}(t\psi_1 + (1-t)\psi_2) = \int_X (\psi_1 - \psi_2) \mathcal{M}\mathcal{A}(\psi_2)$$

normalized by

$$\mathcal{E}(\phi^*_0, K_0) = 0.$$

Since $\mathcal{L}_k(\mu, \phi) \geq \mathcal{L}_k(K, \phi)$ for any probability measure $\mu$ on $K$, (0.9) shows in particular that the energy at equilibrium $\mathcal{E}_{\text{eq}}(K, \phi)$ is an a priori asymptotic lower bound for $\mathcal{L}_k(\mu, \phi)$. Our final result describes the limiting distribution of the Bergman measures of asymptotically minimizing sequences.
Theorem C. Let $\mu_k$ be a sequence of probability measures on $K$ such that

$$\lim_{k \to \infty} \mathcal{L}_k(\mu_k, \phi) = \mathcal{E}_{eq}(K, \phi).$$

Then the associated Bergman measures satisfy

$$\lim_{k \to \infty} \beta(\mu_k, k\phi) = \mu_{eq}(K, \phi)$$

in the weak topology of measures.

The condition bearing on the sequence $(\mu_k)_{k=1}^{\infty}$ in Theorem C is independent of the choice of the reference weighted compact subset $(K_0, \phi_0)$. In fact (0.9) shows that it can equivalently be written as the condition

$$\log \frac{\text{vol} B^2(\mu_k, k\phi)}{\text{vol} B^\infty(K, k\phi)} = o(kN_k),$$

which can be understood as a weak Bernstein–Markov condition on the sequence $(\mu_k)_{k=1}^{\infty}$, relative to $(K, \phi)$, cf. Lemma 3.2 below.

For measures of the form $\mu_k = \delta_{P_k}$, the weak Bernstein–Markov condition reads

$$\lim_{k \to \infty} \frac{1}{kN_k} \log |(\det S_k)(P_k)|^{-1}_{k\phi} = \mathcal{E}_{eq}(K, \phi),$$

(0.10)

where $S_k$ is an $L^2(\mu_0, k\phi)$-orthonormal basis for $H^0(kL)$, which thus means that the sequence of configurations $(P_k)_{k=1}^{\infty}$ is asymptotically Fekete for $(K, k\phi)$. In order to get Theorem A, we then use the simple fact that

$$\beta(\mu, \phi) = \mu$$

(0.11)

for measures $\mu$ of the form $\delta_P$.

The proof of Theorem C is closely related to the generalization of Yuan’s equidistribution theorem for algebraic points [Y] obtained in [BB1].

Applications to interpolation

Optimal configurations

Next, we will consider an application of Theorem C to a general interpolation problem for sections of $kL$. The problem may be formulated as follows: given a weighted set $(K, \phi)$, what is the distribution of $N_k$ (nearly) optimal interpolation nodes on $K$ for elements in $H^0(X, kL)$? Of course, for any generic configuration $P_k$ the evaluation operator $ev_{P_k}$
in (0.2) is invertible and interpolation is thus possible. But the problem is to find the distribution of optimal interpolation nodes, in the sense that $P_k$ minimizes a suitable operator norm of the interpolation operator $(ev_{P_k})^{-1}$ over all configurations of $N_k$ points in $K$.

We fix a weight $\phi$ on $L$. Given a measure $\mu$ on $K$ we say that a configuration $P \in K^{N_k}$ is $(p, q)$-optimal for $1 \leq q \leq \infty$ and $1 \leq p < \infty$ (resp. $p = \infty$) if it minimizes the $L^p(\mu)-L^q(\delta_P)$ distortion
\[
\sup_{s \in H^0(kL)} \frac{\|s\|_{L^p(\mu,k\phi)}}{\|s\|_{L^q(\delta_{P_k},k\phi)}}
\] (resp. the $L^\infty(K)-L^q(\delta_P)$ distortion). In the orthogonal polynomials literature, $(\infty, \infty)$-optimal configurations are usually called Lebesgue points, whereas $(\infty, 2)$-optimal configurations are known as Fejér points.

In practice it is virtually impossible to find such optimal configurations numerically. But the next corollary gives necessary conditions for any sequence of configurations to have sub-exponential distortion and in particular to be optimal.

**Corollary C.** Let $\mu$ be a Bernstein–Markov measure for the weighted compact set $(K, \phi)$ and let $1 \leq p, q \leq \infty$. For any sequence $P_k \in K^{N_k}$ of $(p, q)$-optimal configurations the $L^\infty(K)-L^\infty(\delta_{P_k})$ distortion has subexponential growth in $k$, and the latter condition in turn implies that $(P_k)_{k=1}^\infty$ is asymptotically equilibrium distributed, i.e.
\[
\lim_{k \to \infty} \delta_{P_k} = \mu_{eq}(K, \phi)
\] holds in the weak topology of measures.

For Lebesgue points, i.e. for $(p, q) = (\infty, \infty)$, the result was shown in [GMS] when $K$ is a compact subset of the real line $\mathbb{R} \subset \mathbb{C} \subset \mathbb{P}^1 = X$, and in [BL1] when $X$ is a compact Riemann surface and $L = \mathcal{O}(1)|_X$ for a given projective embedding $X \subset \mathbb{P}^N$.

**Remark 0.1.** For a numerical study in the setting of Corollary A and with $\mu_0$ being the invariant measure on $S^2$ see [SW], where the cases $(p, q) = (\infty, \infty)$ and $(p, q) = (2, 2)$ are considered.

**Optimal measures**

Given a weighted subset $(K, \phi)$, the measures $\mu$ on $K$ which minimize the $L^2(\mu, k\phi)$-$L^\infty(K, k\phi)$ distortion among all probability probability measures on $K$ are called optimal measures (for $(K, k\phi)$) in [BBLW]. Such measures appear naturally in the context of optimal experimental designs (see [BBLW] and references therein).
It was shown in [BBLW] (by reducing to the convergence of Fekete configurations obtained in the preprint [BB2]) that any sequence of $(K,k\phi)$-optimal measures $\mu_k$ converges to $\mu_{eq}(K,\phi)$ as $k \to \infty$. But optimal measures satisfy (0.11) and yield probability measures on $K$ that minimize the functional $L_k(\cdot,\phi)$—see [KW] and Proposition 2.9 in our setting. This latter property implies in turn that any sequence of $(K,k\phi)$-optimal measures $\mu_k$ is weakly Bernstein–Markov and the convergence $\mu_k \to \mu_{eq}(K,\phi)$ follows by Theorem A.

**Recursively extremal configurations**

Finally, we will consider a recursive way of constructing configurations with certain extremal properties. Even if the precise construction seems to be new, it should be emphasized that it is inspired by the elegant algorithmic construction of determinantal random point processes in [HKPV].

Fix a weighted compact set $(K,\phi)$ and a probability measure $\mu$ on $K$. A configuration $P=(x_1, \ldots, x_N)$ will be said to be recursively extremal for $(\mu, \phi)$ if it arises in the following way. Denote by $\mathcal{H}_N$ the corresponding Hilbert space $H^0(X,L)$ of dimension $N$. Take a pair $(x_N,s_N)$ maximizing the pointwise norm $|s(x)|^2_\phi$ over all points $x$ in the set $K$ and sections $s$ in the unit-sphere of $\mathcal{H}_N$. Next, replace $\mathcal{H}_N$ by the Hilbert space $\mathcal{H}_{N-1}$ of dimension $N-1$ obtained as the orthogonal complement of $s_N$ in $\mathcal{H}_N$ and repeat the procedure to get a new pair $(x_{N-1},s_{N-1})$, where now $s_{N-1} \in \mathcal{H}_{N-1}$. Continuing in this way gives a configuration $P:= (x_1, \ldots, x_N)$ after $N$ steps.

Note that $x_N$ may be equivalently obtained as a point maximizing the Bergman distortion function $\rho(x)$ of $\mathcal{H}_N$ and so on. The main advantage of recursively extremal configurations over Fekete configurations is thus that they are obtained by maximizing functions defined on $X$ and not on the space $X^N$ of increasing dimension. This advantage should make them useful in numerical interpolation problems. We show that a sequence of recursively extremal configurations $P_k$ is, in fact, asymptotically Fekete in the sense that (0.10) holds. As a direct consequence $P_k$ is equilibrium distributed.

**Corollary D.** Let $\mu$ be a Bernstein–Markov measure for the weighted set $(K,\phi)$ and $(P_k)_{k=1}^\infty$ be a sequence of configurations which are recursively extremal for $(\mu,k\phi)$. Then

$$\lim_{k \to \infty} \delta_{P_k} = \mu_{eq}(K,\phi)$$

in the weak topology of measures.
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1. Regular sets and Bernstein–Markov measures

Recall that $L$ denotes a given big line bundle over a complex compact manifold $X$. The existence of such a big line bundle on $X$ is equivalent to $X$ being Moishezon, i.e. bimeromorphic to a projective manifold, and $X$ is then projective if and only if it is Kähler.

1.1. Pluripolar subsets and regularity

The goal of this section is to recall some preliminary results from [BB1] and to quickly explain how to adapt further results on equilibrium weights, that are standard in the classical situation, to our big line bundle setting. We refer to Klimek’s book [K] and Demailly’s survey [Dem] for details.

First recall that a subset $A$ of $X$ is said to be (locally) pluripolar if it is locally contained in the polar set of a local psh function. For a big line bundle $L$ this is equivalent to the following global notion of pluripolarity (as was shown by Josefson in the $\mathbb{C}^n$-setting).

**Proposition 1.1.** If $A \subset X$ is (locally) pluripolar, then there exists a psh weight $\phi$ on $L$ such that $A \subset \{x : \phi(x) = -\infty\}$.

**Proof.** Since $L$ is big, we can find a proper modification $\mu : X' \to X$ and an effective divisor $E$ with $\mathbb{Q}$-coefficients such that $\mu^*L - E$ is ample (so that $X'$ is in particular Kähler). By Guedj-Zeriahi’s extension of Josefson’s result to the Kähler situation [GZ], there exists a closed positive $(1,1)$-current $T$ on $X'$ which is cohomologous to $\mu^*L - E$ and whose polar set contains $\mu^{-1}(A)$. We can then find a psh weight $\phi$ on $L$ such that $dd^c\phi = \mu_*(T + [E])$, and the polar set of $\phi$ contains $A$ as desired. $\Box$

By definition, a **weighted compact subset** $(K, \phi)$ consists of a non-pluripolar compact set $K \subset X$ together with a continuous Hermitian metric $e^{-\phi}$ on $L|_K$. By the Tietze–Urysohn extension theorem, $\phi$ extends to a continuous weight on $L$ over all of $X$. Now if $E$ is an arbitrary subset of $X$ and $\phi$ is a continuous weight on $L$ (over all of $X$), we define the associated extremal function by

$$\phi_E := \sup \{ \psi \text{ psh weight on } L : \psi \leq \phi \text{ on } E \}.$$
It is obvious that \( \phi_E \equiv \infty \) on \( X \setminus E \), when \( E \) is pluripolar, and a standard argument relying on Choquet’s lemma shows conversely that \( \phi_E^* \) is a psh weight when \( E \) is non-pluripolar (compare the proof of [GZ, Theorem 5.2]).

Using Proposition 1.1 one proves the following two useful facts exactly as in the classical setting (cf. for instance [K, p. 194]).

**Proposition 1.2.** Let \( \phi \) be a continuous weight and let \( E, A \subset X \) be two subsets with \( A \) pluripolar. Then we have \( \phi_{E \cup A}^* = \phi_E^* \).

**Corollary 1.3.** If \( E \) is the increasing union of subsets \( E_j \), then \( \phi_{E_j}^* \) decreases pointwise to \( \phi_E^* \) as \( j \to \infty \).

Adapting a classical notion to our setting, we introduce the following concept.

**Definition 1.4.** If \( E \) is a non-pluripolar subset of \( X \) and \( \phi \) is a continuous weight, we say that \((E, \phi)\) is regular (or that \( E \) is regular with respect to \( \phi \)) if and only if \( \phi_E^* \) is upper semi-continuous.

As opposed to the classical case (cf. [Dem, Theorem 15.6]), we are unable to prove that \( \phi_E^* \) has a priori lower semi-continuous when \( L \) is not ample, hence our definition (note that \( \phi_E^* \) has a non-empty polar set in general in the big case, see [BB1, Remark 1.14] for a short discussion on this issue).

Note that \( \phi_E^* \) is usc if and only if \( \phi_E^* \leq \phi \) on \( E \), that is if and only if the set of psh weights \( \psi \) such that \( \psi \leq \phi \) on \( E \) admits a largest element.

Examples of non-regular sets are obtained by adding to a given subset a pluripolar one, in view of Proposition 1.2. Conversely, since \( \phi \) is in particular usc, we see that \( X \), or in fact any open subset of \( X \), is regular with respect to \( \phi \). In order to get a less trivial class of regular sets recall that a compact subset \( K \subset X \) is said to be locally regular at \( x \in K \) if there exists an open neighborhood \( U \) of \( x \) such that for every non-decreasing uniformly bounded sequence \( u_j \) of psh functions on \( U \) such that \( u_j \leq 0 \) on \( K \cap U \) their usc upper envelope also satisfies

\[
\left( \sup_j u_j \right)^* \leq 0 \quad \text{on} \ K \cap U.
\]

It is easy to adapt the argument of [N, Proposition 6.1] to prove the following result.

**Proposition 1.5.** Let \( K \subset X \) be a non-pluripolar compact subset. Then \( K \) is locally regular (i.e. locally regular at each point of \( \partial K \)) if and only if \( (K, \phi) \) is regular for every continuous weight \( \phi \) on \( L \).

As a way to test local regularity we have the so-called accessibility criterion.
Proposition 1.6. If \( K \subset X \) is a compact subset of \( X \) and there exists a real-analytic arc \( \gamma : [0, 1] \rightarrow X \) such that \( \gamma([0, 1]) \) is contained in the topological interior \( K^0 \), then \( K \) is locally regular at \( \gamma(0) \).

This follows from the fact that any subharmonic function \( u \) defined around \([0, 1] \subset \mathbb{C}\) satisfies
\[
u(0) = \limsup_{z \to 0} u(z).
\]

Corollary 1.7. Let \( \Omega \) and \( M \) be a smoothly bounded domain and a real-analytic \( n \)-dimensional totally real compact submanifold of \( X \), respectively. Then \( \Omega \) and \( M \) are locally regular.

Proof. The first assertion follows from the accessibility criterion just as in [K, Corollary 5.3.13] and the second from the fact that \( \mathbb{R}^n \) is locally regular in \( \mathbb{C}^n \).

Remark 1.8. It seems to be unknown whether ‘real-analytic’ can be relaxed to \( C^\infty \) in Corollary 1.7.

1.2. Bernstein–Markov and determining measures

Recall from the introduction that given a weighted compact subset \((K, \phi)\) we say that a probability measure \( \mu \) on \( K \) is Bernstein–Markov for \((K, \phi)\) if and only if the distortion between the \( L^\infty(K, k\phi) \)-norm and the \( L^2(\mu, k\phi) \)-norm on \( H^0(kL) \) has sub-exponential growth as \( k \to \infty \), that is:

For each \( \epsilon > 0 \) there exists \( C > 0 \) such that
\[
\sup_K |s|^2_{k\phi} \leq Ce^{\epsilon k} \int_K |s|^2_{k\phi} d\mu.
\]

(1.1)

for each \( k \) and each section \( s \in H^0(kL) \).

Following [S] we are going to obtain a characterization of the following stronger property.

Definition 1.9. Let \((K, \phi)\) be a weighted compact subset and let \( \mu \) be a positive measure on \( K \). Then \( \mu \) will be said to be Bernstein–Markov with respect to psh weights for \((K, \phi)\) if and only if for each \( \epsilon > 0 \) there exists \( C > 0 \) such that
\[
\sup_K e^{\epsilon (\psi - \phi)} \leq Ce^{\epsilon p} \int_K e^{p(\psi - \phi)} d\mu.
\]

(1.2)

for all \( p \geq 1 \) and all psh weights \( \psi \) on \( L \).
Remark 1.10. One virtue of Definition 1.9 is that it obviously makes sense in the more general situation of $\theta$-psh functions with respect to a smooth $(1,1)$-form $\theta$ as considered for example in [GZ], [BEGZ] and [BBGZ]. It is immediate to see that $\mu$ is Bernstein–Markov for $(K, \phi)$ if it is Bernstein–Markov with respect to psh weights, since (1.2) implies (1.1) with $\psi := (\log |s|)/k$ and $p := 2k$.

Question 1.11. Let $(K, \phi)$ be a regular weighted compact set. Is it true that any Bernstein–Markov measure $\mu$ for $(K, \phi)$ with respect to sections is necessarily Bernstein–Markov with respect to psh weights, i.e. that (1.1) implies (1.2)? We will only consider non-pluripolar measures $\mu$, i.e. measures putting no mass on pluripolar subsets. Note that the equilibrium measure $\mu_{eq}(K, \phi)$ is non-pluripolar, since it is defined as the non-pluripolar Monge–Ampère measure of $\phi_K$ (cf. [BB1]).

Following essentially [S] we shall say that $\mu$ is determining for $(K, \phi)$ if and only if the following equivalent properties hold (compare [S, Theorem A]).

Proposition 1.12. Let $(K, \phi)$ be a weighted compact subset and let $\mu$ be a non-pluripolar probability measure on $K$. Then the following properties are equivalent, and imply that $(K, \phi)$ is regular:

(i) each Borel subset $E \subset K$ such that $\mu(K \setminus E)=0$ satisfies $\phi_E=\phi_K$;

(ii) for each psh weight $\psi$ we have

$$\psi \leq \phi \text{ -a.e.} \implies \psi \leq \phi \text{ on } K,$$

i.e.

$$\sup_{K}(\psi - \phi) = \log \|e^{\psi - \phi}\|_{L^\infty(\mu)}.$$

Proof. Assume that (i) holds and let $\psi$ be a psh weight such that $\psi \leq \phi$ $\mu$-a.e. Consider the Borel subset

$$E := \{ x \in K : \psi(x) \leq \phi(x) \} \subset K.$$

We then have $\mu(K \setminus E)=0$ by assumption, and hence $\phi_E=\phi_K$. On the other hand, we have $\psi \leq \phi$ on $E$ by definition of $E$. Hence $\psi \leq \phi_E=\phi_K$ on $X$, and we infer that $\psi \leq \phi$ on $K$. We have thus shown that (i) $\Rightarrow$ (ii).

Assume conversely that (ii) holds. The set $\{ x : \phi_K(x) < \phi_K(x) \}$ is negligible, and hence pluripolar by [BT]. Therefore it has $\mu$-measure 0, since $\mu$ is non-pluripolar by assumption. We thus have $\phi_K=\phi_K \leq \phi$ $\mu$-a.e., and (ii) implies that $\phi_K \leq \phi$ everywhere on $K$, which means that $(K, \phi)$ is regular.

Now let $\psi$ be a psh weight on $X$ and assume that $\psi \leq \phi$ on $E \subset K$ with $\mu(K \setminus E)=0$. Then we have in particular $\psi \leq \phi$ $\mu$-a.e., hence $\psi \leq \phi$ on $K$, and it follows that $\phi_E=\phi_K$ as desired.
Proposition 1.13. Let \((K, \phi)\) be a weighted compact subset. Then the equilibrium measure \(\mu_{eq}(K, \phi)\) is determining for \((K, \phi)\) if and only if \((K, \phi)\) is regular.

Proof. Suppose that \((K, \phi)\) is regular. The domination principle, itself an easy consequence of the so-called comparison principle, states that given two psh weights \(\psi\) and \(\psi'\) on \(L\) such that \(\psi\) has minimal singularities we have

\[
\psi' \leq \psi \text{ a.e. for } MA(\psi) \implies \psi' \leq \psi \text{ on } X
\]

(cf. [BEGZ, Corollary 2.5] for a proof in our context). Applying this to \(\psi := \phi_K^*\) immediately yields the result since we have \(\phi_K^* \leq \phi\) on \(K\) by the regularity assumption. The converse follows from Proposition 1.12.

The next result provides a new proof of [S] while extending it to our context.

Theorem 1.14. Let \((K, \phi)\) be a weighted compact subset and \(\mu\) be a non-pluripolar probability measure on \(K\). Then the following properties are equivalent:

(i) \(\mu\) is determining for \((K, \phi)\);

(ii) \(\mu\) is Bernstein–Markov with respect to psh weights for \((K, \phi)\).

Proposition 1.13 combined with Theorem 1.14 shows that the equilibrium measure of a regular weighted set \((K, \phi)\) is Bernstein–Markov, which generalizes the result in [NZ].

Proof. We introduce the functionals

\[
F_p(\psi) := \frac{1}{p} \log \int_X e^{p(\psi - \phi)} \, d\mu = \log \|e^{\psi - \phi}\|_{L^p(\mu)}
\]

for \(p > 0\) and

\[
F(\psi) := \sup_K (\psi - \phi),
\]

both defined on the set \(P(X, L)\) of all psh weights \(\psi\) on \(L\). For each \(\psi\), \(pF_p(\psi)\) is a convex function of \(p\) by convexity of the exponential (Hölder’s inequality), and we have \(pF_p(\psi) \to 0\) as \(p \to 0_+\) by dominated convergence since \(p(\psi - \phi) \to 0\) \(\mu\)-a.e. (\(\mu\) puts no mass on the polar set \(\{x : \psi(x) = -\infty\}\)). As a consequence, \(F_p(\psi)\) is a non-decreasing function of \(p\), and we have

\[
\lim_{p \to \infty} F_p(\psi) = \log \|e^{\psi - \phi}\|_{L^\infty(\mu)}
\]

by a basic fact from integration theory. We can therefore reformulate (i) and (ii) as follows:

(i') \(F_p \to F\) pointwise on \(P(X, L)\);

(ii') \(F_p - F\) is bounded on \(P(X, L)\), uniformly for \(p \geq 1\), and \(F_p \to F\) uniformly on \(P(X, L)\) as \(p \to \infty\).
This clearly shows that \((ii) \Rightarrow (i)\). Let us show conversely that \((i) \Rightarrow (ii)\). By Hartogs’ lemma \(F\) is upper semi-continuous on \(\mathcal{P}(X, L)\). On the other hand, Lemma 1.15 below says that \(F_p\) is continuous on \(\mathcal{P}(X, L)\) for each \(p > 0\), so that \(F - F_p\) is usc on \(\mathcal{P}(X, L)\). Now the main point is that \(F - F_p\) is invariant by translation (by a constant), and thus descends to a usc function on

\[
\mathcal{P}(X, L)/\mathbb{R} \simeq \mathcal{T}(X, L),
\]

the space of all closed positive \((1, 1)\)-currents lying in the cohomology class \(c_1(L)\), which is compact (in the weak topology of currents).

By monotonicity we have \(0 \leq F - F_p \leq F - F_1\) when \(p \geq 1\). But \(F - F_1\) is use on a compact set, hence is bounded from above, and it follows that \(F - F_p\) is at any rate bounded on \(\mathcal{P}(X, L)\) uniformly for \(p \geq 1\). By the above discussion, we thus see that \((i) \Rightarrow (ii)\) amounts to the fact that \(F_p\) converges uniformly to a sequence \(\{\phi_k\}_{k=1}^\infty\) of \(\theta\)-psh functions for \(\theta := p \cdot dd^c \phi\) (the language of quasi-psh functions is more convenient for what follows).

Let us first recall the following general consequences of Hartogs’ lemma (compare [GZ, Proposition 2.6]). If \((\varphi_k)_{k=1}^\infty\) is a sequence of \(\theta\)-psh functions which is uniformly bounded above and if \(\varphi_k\) converges Lebesgue-a.e. to a \(\theta\)-psh function \(\varphi\), then \(\varphi_k \to \varphi\) in \(L^1(X)\) and \(\varphi = \lim \sup_{k \to \infty} \varphi_k\) quasi-everywhere (q.e. for short), i.e. outside a pluripolar set (using that negligible sets are pluripolar by [BT]).

As \(u_k \to u\) in \(L^1(X)\) and \(e^{u_k}\) is uniformly bounded, we may assume upon extracting a subsequence that \(u_k \to u\) Lebesgue-a.e. and \(\int_X e^{u_k} \, d\mu \to l\) for some \(l \in \mathbb{R}\). We have to show that \(l = \int_X e^u \, d\mu\).

Since the functions \(e^{u_k}\) stay in a weakly compact subset of the Hilbert space \(L^2(\mu)\), the closed convex subsets

\[
C_k := \text{Conv}\{e^{u_j} : j \geq k\} \subset L^2(\mu)
\]
are weakly compact in $L^2(\mu)$, and it follows that there exists $v$ lying in the intersection of the decreasing sequence of compact sets $C_k$. For each $k$ we may thus find finite convex combinations

$$v_k := \sum_{j \in I_k} t_{k,j} e^{u_j}$$

with $I_k \subset [k, \infty[$ such that $v_k \to v$ strongly in $L^2(\mu)$. Note that

$$\int_X v_k \, d\mu \to l \quad \text{as} \quad \int_X e^{u_k} \, d\mu \to l,$$

and hence $\int_X v \, d\mu = l$.

Observe, on the other hand, that the $\theta$-psh functions $w_k := \log v_k$ converge to $u$ Lebesgue-a.e., since we have arranged that $u_j \to u$ Lebesgue-a.e. As $(w_k)_{k=1}^{\infty}$ is also uniformly bounded above, it follows from the general consequences of Hartogs' lemma recalled above that $w_k \to u$ weakly and $\limsup_{k \to \infty} w_k = u$ q.e., and hence $\mu$-a.e., since $\mu$ puts no mass on pluripolar sets. But $v_k \to v$ in $L^2(\mu)$ implies that a subsequence of $(v_k)_{k=1}^{\infty}$ converges to $v$ $\mu$-a.e. and we conclude that $v = e^u$ $\mu$-a.e. This implies as desired that

$$l = \int_X v \, d\mu = \int_X e^u \, d\mu.$$

**Corollary 1.16.** If $(K, \phi)$ is a regular weighted compact subset then

$$\psi \mapsto \sup_K (\psi - \phi)$$

is continuous on $\mathcal{P}(X, L)$.

Compare [ZZ, Lemma 27] for a related result in the $\mathbb{C}$-case.

**Proof.** By Proposition 1.13 the equilibrium measure $\mu := \mu_{eq}(K, \phi)$ is determining for $(K, \phi)$ since $(K, \phi)$ is regular. By Lemma 1.15, the functionals $\log \|e^{\psi - \phi}\|_{L^p(\mu)}$ are continuous, and they converge uniformly to $\sup_K (\psi - \phi)$ by Theorem 1.14.

2. Volumes of balls

2.1. Convexity properties

Let $(K, \phi)$ be a weighted compact subset and let $\mu$ be a non-pluripolar probability measure on $K$. Let $S = (s_1, ..., s_N)$ be a basis of $H^0(L)$ and let $\text{vol}$ be the corresponding Lebesgue measure. As is well known, the Gram determinant satisfies

$$- \log \det((s_i, s_j)_{L^2(\mu, \phi)})_{i,j} = \log \text{vol} B^2(\mu, \phi) - \log \frac{\pi^N}{N!},$$

(2.1)
where $\pi^N/N!$ is of course the Euclidian volume of the unit ball in $\mathbb{C}^N$.

Now let $\det S$ be the image of $s_1 \wedge \ldots \wedge s_N$ under the natural map
\[
\bigwedge^N H^0(X, L) \longrightarrow H^0(X^N, L^\otimes N),
\]
that is, the global section on $X^N$ locally defined by
\[
(\det S)(x_1, \ldots, x_N) := \det(s_i(x_j))_{i,j}.
\]
By [BB1, Lemma 5.3], we have the following result.

**Lemma 2.1.** The $L^2$-norm of $\det S$ with respect to the weight and measure induced by $\phi$ and $\mu$ satisfies
\[
\|\det S\|^2_{L^2(\mu, \phi)} = N! \det \left( (s_i, s_j)_{L^2(\mu, \phi)} \right)_{i,j}.
\]

On the other hand, a straightforward computation yields the following identity.

**Lemma 2.2.** If $P \in X^N$ is a configuration of points, then
\[
\|\det S\|^2_{L^2(\delta P, \phi)} = \frac{N!}{N^N} |\det S|_{\phi}(P).
\]

Combining these results, we record the following consequence.

**Proposition 2.3.** We have
\[
\log \text{vol} B^2(\mu, \phi) = -\log \|\det S\|^2_{L^2(\mu, \phi)} + N \log \pi. \tag{2.2}
\]

If $\mu = \delta_P$, then
\[
\log \text{vol} B^2(\delta P, \phi) = -\log |\det S|_{\phi}(P) + \frac{\pi^N}{N!} + N \log N. \tag{2.3}
\]

Note that the last formula reads
\[
\frac{\log \text{vol} B^2(\delta P, \phi)}{\text{vol} B^2(\nu, \psi)} = -\log |\det S|_{\phi}(P) + N \log N \tag{2.4}
\]
when $S$ is $L^2(\nu, \psi)$-orthonormal. The volume of balls satisfies the following convexity properties.

**Proposition 2.4.** Let $(K, \phi)$ be a weighted compact subset and $\mu$ be a probability measure on $K$. The functional $\log \text{vol} B^2(\mu, \phi)$ is convex in its $\mu$-variable and concave in its $\phi$-variable.

**Proof.** The function $\log \det$ is concave on positive definite Hermitian matrices. Since the Gram matrix $\left( (s_i, s_j)_{L^2(\mu, \phi)} \right)_{i,j}$ depends linearly on $\mu$, formula (2.1) implies that
\[
\mu \longrightarrow \log \text{vol} B^2(\mu, \phi)
\]
is convex. On the other hand, concavity in $\phi$ follows from equation (2.2) and Hölder's inequality. \qed
2.2. Directional derivatives

**Proposition 2.5.** The \(L\)-functional has directional derivatives given by
\[
\frac{\partial}{\partial \phi} \log \text{vol} \, B^2(\mu, \phi) = \langle N \beta(\mu, \phi), \cdot \rangle
\]
and
\[
\frac{\partial}{\partial \mu} \log \text{vol} \, B^2(\mu, \phi) = -\langle \cdot, \varrho(\mu, \phi) \rangle.
\]

**Proof.** This is similar to [BB1, Lemma 5.1], itself a variant of [D1, Lemma 2]. By (2.1) we have to show that, given two paths \(\phi_t\) and \(\mu_t\), we have
\[
\left. \frac{d}{dt} \right|_{t=0} \log \det \left( \int_X s_i \bar{s}_j e^{-2\phi_t} d\mu \right)_{i,j} = -2 \int_X \left( \frac{d}{dt} \bigg|_{t=0} \phi_t \right) \varrho(\mu, \phi_0) d\mu
\]
and
\[
\left. \frac{d}{dt} \right|_{t=0} \log \det \left( \int_X s_i \bar{s}_j e^{-2\phi} d\mu_t \right)_{i,j} = \int_X \varrho(\mu_0, \phi) \left( \frac{d}{dt} \bigg|_{t=0} d\mu_t \right).
\]
The only thing to remark is that the variations are independent of the choice of the basis \(S\) by (2.1), so that one may assume that \(S=(s_j)_{j=1}^\infty \) is \(L^2(\mu, \phi)\)-orthonormal. The result then follows from a straightforward computation. \(\square\)

**Remark 2.6.** If \((\mu, \phi)\) is a weighted subset, the condition
\[
\beta(\mu, \phi) = \mu
\]
holds by definition if and only if
\[
\varrho(\mu, \phi) = N \quad \mu\text{-a.e.}
\]
According to Proposition 2.5, this is the case if and only if \(\phi\) is a critical point of the convex functional
\[
\langle \mu, \cdot \rangle - \frac{1}{N} \log \text{vol} \, B^2(\mu, \cdot).
\]
On the other hand, this condition is related to Donaldson’s notion of \(\mu\)-balanced metric (cf. [D2, §2.2]). Indeed \(\phi\) is \(\mu\)-balanced in Donaldson’s sense if and only if \(\varrho(\mu, \phi) = N\) holds everywhere on \(X\).

**Proposition 2.7.** For any configuration \(P \in X^N\), the pair \((\delta P, \phi)\) satisfies
\[
\beta(\delta P, \phi) = \delta P.
\]
The proof is immediate from the definition. On the other hand, following [BBLW], we introduce the following concept.

**Definition 2.8.** If \((K, \phi)\) is a weighted compact subset, we say that a probability measure \(\mu\) on \(K\) is a \((K, \phi)\)-optimal measure if and only if it minimizes \(\log \text{vol} B^2(\cdot, \phi)\) over the compact convex set \(\mathcal{P}_K\) of all probability measures on \(K\).

As in [Bos] we obtain the following characterizations.

**Proposition 2.9.** A probability measure \(\mu\) on \(K\) is \((K, \phi)\)-optimal if and only if
\[
\sup_K g(\mu, \phi) = N.
\]
In particular we then have
\[
\beta(\mu, \phi) = \mu.
\]

**Proof.** By convexity of \(\mu \mapsto \log \text{vol} B^2(\mu, \phi)\), the minimum on \(\mathcal{P}_K\) is achieved at \(\mu\) if and only if
\[
\left\langle \frac{\partial}{\partial \mu} \log \text{vol} B^2(\phi, \mu), \nu - \mu \right\rangle \geq 0
\]
for all \(\nu \in \mathcal{P}_K\), i.e. if and only if
\[
\langle g(\phi, \mu), \nu \rangle \leq N
\]
for all probability measures \(\nu\) on \(K\), which is in turn equivalent to
\[
\sup_K g(\phi, \mu) \leq N
\]
and implies that \(g(\mu, \phi) = N\) \(\mu\)-a.e. since \(\langle g(\mu, \phi), \mu \rangle = N\). \(\square\)

We note that the optimal value satisfies
\[
\min_{\mu \in \mathcal{P}_K} \log \text{vol} B^2(\mu, \phi) \geq \log \text{vol} B^\infty(K, \phi),
\]
but equality does not hold as soon as \(N \geq 2\) since it would imply that \(B^\infty(K, \phi) = B^2(\mu, \phi)\) for some measure \(\mu \in \mathcal{P}_K\) and thus that \(1 = \sup_K g(\mu, \phi) \geq N\).

Next, we have the following basic result.

**Proposition 2.10.** Let \(P\) be a Fekete configuration for the weighted set \((K, \phi)\). Then the \(L^\infty(K, \phi)\)-\(L^1(\delta_P, \phi)\) distortion is at most equal to \(N\).

**Proof.** Fix a configuration \(P = (x_1, \ldots, x_N)\) and let \(e_i \in \mathcal{H}^0(L \otimes L^*_{x_i})\) be defined by
\[
e_i(x) := \det S(x_1, \ldots, x_{i-1}, x, x_{i+1}, \ldots, x_N) \otimes \det S(x_1, \ldots, x_{i-1}, x_{i+1}, \ldots, x_N)^{-1}
\]
(the Lagrange interpolation ‘polynomials’). If \(P\) is a Fekete configuration for \((K, \phi)\) then we clearly have \(\sup_K |e_i| = 1\). The result follows since any \(s \in \mathcal{H}^0(L)\) may be written as
\[
s = \sum_{i=1}^N s(x_i) \otimes e_i.\]
\(\square\)
2.3. Energy at equilibrium

As in the introduction, we now suppose given a reference weighted compact subset $(K_0, \phi_0)$ and a probability measure $\mu_0$ on $K_0$ which is Bernstein–Markov for $(K_0, \phi_0)$. We normalize the Haar measure $\text{vol}$ on $H^0(kL)$ by the condition

$$\text{vol} B^2(K_0, k\phi_0) = 1$$

and we consider the corresponding $L$-functionals, defined by

$$L_k(\mu, \phi) = \frac{1}{2kN_k} \log \text{vol} B^2(\mu, k\phi)$$

and

$$L_k(K, \phi) = \frac{1}{2kN_k} \log \text{vol} B^\infty(K, k\phi).$$

We will use the following results [BB1, Theorems A and B].

**Theorem 2.11.** If $(K, \phi)$ is a given compact weighted subset, then

$$\lim_{k \to \infty} L_k(K, \phi) = \mathcal{E}_{\text{eq}}(K, \phi).$$

**Theorem 2.12.** The map $\phi \mapsto \mathcal{E}_{\text{eq}}(K, \phi)$, defined on the affine space of continuous weights over $K$, is concave and Gâteaux differentiable, with directional derivatives given by integration against the equilibrium measure

$$\left. \frac{d}{dt} \right|_{t=0} \mathcal{E}_{\text{eq}}(\phi + tv) = \langle v, \mu_{\text{eq}}(K, \phi) \rangle.$$

This differentiability property of the energy at equilibrium really is the key to the proof of Theorem C. Even though $\mathcal{E}_{\text{eq}}(K, \phi)$ is by definition the composition of the projection operator $P_K: \phi \mapsto \phi_K^*$ on the convex set of psh weights with the Monge–Ampère energy $\mathcal{E}$, whose derivative at $\phi_K^*$ is equal to $\mu_{\text{eq}}(K, \phi)$, this result is not a mere application of the chain rule, since $P_K$ is definitely not differentiable in general.

3. Proof of the main results

3.1. Proof of Theorem C

Let $v \in C^0(X)$, and set

$$f_k(t) := L_k(\mu_k, \phi + tv) \quad \text{and} \quad g(t) := \mathcal{E}_{\text{eq}}(K, \phi + tv).$$
Theorem 2.11 combined with $\mathcal{L}_k(\mu, \phi) \geq \mathcal{L}_k(K, \phi)$ shows that $g(t)$ is an asymptotic lower bound for $f_k(t)$ as $k \to \infty$, that is

$$\liminf_{k \to \infty} f_k(t) \geq g(t),$$

and the assumption means that this asymptotic lower bound is achieved for $t=0$, that is

$$\lim_{k \to \infty} f_k(0) = g(0).$$

Now $f_k$ is concave for each $k$ by Proposition 2.4, and we have

$$f_k'(0) = \langle \beta(\mu_k, k\phi), v \rangle$$

by Proposition 2.5. On the other hand, $g$ is differentiable with

$$g'(0) = \langle \mu_{eq}(K, \phi), v \rangle$$

by Theorem 2.12. The elementary lemma below thus shows that

$$\lim_{k \to \infty} \langle \beta(\mu_k, k\phi), v \rangle = \langle \mu_{eq}(K, \phi), v \rangle$$

for each continuous function $v$, and the proof of Theorem C is complete.

**Lemma 3.1.** Let $f_k$ be a sequence of concave functions on $\mathbb{R}$ and let $g$ be a function on $\mathbb{R}$ such that

- $\liminf_{k \to \infty} f_k \geq g$;
- $\lim_{k \to \infty} f_k(0) = g(0)$.

If the $f_k$ and $g$ are differentiable at 0, then $\lim_{k \to \infty} f_k'(0) = g'(0)$.

**Proof.** Since $f_k$ is concave, we have

$$f_k(0) + f_k'(0)t \geq f_k(t)$$

for all $t$, and hence

$$\liminf_{k \to \infty} tf_k'(0) \geq g(t) - g(0).$$

The result now follows by first letting $t > 0$ and then $t < 0$ tend to 0.

The same lemma underlines the proof of Yuan’s equidistribution theorem given in [BB1], and was in fact inspired by the variational principle in the original equidistribution result (in the strictly psh case) by Szpiro, Ullmo and Zhang [SUZ].
3.2. Proof of Theorem B

As noted in the introduction, the condition on the sequence of probability measures $\mu_k$ in Theorem C is equivalent to

$$\log \frac{\text{vol} B^2(\mu_k, k\phi)}{\text{vol} B^\infty(K, k\phi)} = o(kN_k). \quad (3.1)$$

This condition can be understood as a weak Bernstein–Markov condition for the sequence $\langle \mu_k \rangle_{k=1}^\infty$, in view of the following easy result.

**Lemma 3.2.** For any probability measure $\mu$ on $K$,

$$0 \leq \log \frac{\text{vol} B^2(\mu, \phi)}{\text{vol} B^\infty(K, \phi)} \leq N \log \sup_K \varrho(\mu, \phi).$$

The proof is immediate if we recall that $\sup_K \varrho(\mu, k\phi)^{1/2}$ is the distortion between the two norms and vol is homogeneous of degree $2N_k = \dim_K H^0(kL)$.

Since a given measure $\mu$ is Bernstein–Markov for $(K, \phi)$ if and only if

$$\log \sup_K \varrho(\mu, k\phi) = o(k),$$

we now see that Theorem B directly follows from Theorem C.

3.3. Proof of Theorem A

Let $P_k \in K^{N_k}$ be a Fekete configuration for $(K, k\phi)$. Since $\beta(\delta P_k, k\phi_k) = \delta P_k$ by Proposition 2.7, Theorem C will imply Theorem A if we can show that

$$\lim_{k \to \infty} L_k(\delta P_k, k\phi) = \mathcal{E}_{eq}(K, \phi). \quad (3.2)$$

Now let $S_k$ be an $L^2(\mu_0, k\phi_0)$-orthonormal basis of $H^0(kL)$. The metric $|\det S_k|$ does not depend on the specific choice of the orthonormal basis $S_k$, simply because $|\det U| = 1$ for any unitary matrix $U$. We recall the following definition from [BB1], which is a generalization of Leja and Zaharjuta’s notion of transfinite diameter.

**Definition 3.3.** Let $(K, \phi)$ be a weighted compact subset. Its $k$-diameter (with respect to $(\mu_0, \phi_0)$) is defined by

$$D_k(K, \phi) := -\frac{1}{kN_k} \log |\det S_k|_{L^\infty(K, k\phi)} = \inf_{p \in K^{N_k}} \frac{1}{kN_k} \log |\det S_k(P_k)|_{k\phi}^{-1}.$$

A Fekete configuration $P_k \in K^{N_k}$ for $(K, k\phi)$ is thus a point $P_k \in K^{N_k}$ where the infimum defining $D_k(K, \phi)$ is achieved. The following result was proved in [BB1].
Theorem 3.4. If \((K, \phi)\) is a weighted compact subset, then
\[
\lim_{k \to \infty} D_k(K, \phi) = \mathcal{E}_{eq}(K, \phi).
\]

We set \(\mu_k := \delta_{P_k}\). Since \(P_k\) is a Fekete configuration for \((K, k\phi)\), we have
\[
-\frac{1}{kN_k} \log |\det S_k|_{k\phi}(P_k) = D_k(K, \phi)
\]
by definition, and formula (2.4) thus yields
\[
\frac{1}{kN_k} \log \frac{\text{vol } B^2(\mu_k, k\phi)}{\text{vol } B^2(\mu_0, k\phi_0)} = D_k(K, \phi) + \frac{1}{2k} \log N_k.
\]
This implies that
\[
\mathcal{L}_k(\mu_k, k\phi) = \frac{1}{2kN_k} \log \frac{\text{vol } B^2(\mu_k, k\phi)}{\text{vol } B^\infty(K_0, k\phi_0)}
\]
converges to \(\mathcal{E}_{eq}(K, \phi)\) as desired, as
\[
\log N_k = O(\log k)
\]
on the one hand, and
\[
\log \frac{\text{vol } B^2(\mu_0, k\phi_0)}{\text{vol } B^\infty(K_0, k\phi_0)} = o(kN_k)
\]
by Lemma 3.2 above, since \(\mu_0\) is Bernstein–Markov for \((K_0, \phi_0)\). The proof of Theorem A is thus complete.

3.4. Proof of Corollary C

Step 1. For each \((p, q)\)-optimal configuration \(P_k \in K^{N_k}\) the \(L^p(\mu) - L^\infty(\delta_{P_k})\) distortion is at most equal to \(N_k\). Indeed pick a Fekete configuration \(Q_k \in K^{N_k}\). For each \(s \in H^q(kL)\) we then have, using Proposition 2.10,
\[
\|s\|_{L^p(\mu, k\phi)} \leq \|s\|_{L^\infty(K, k\phi)} \leq N_k \|s\|_{L^1(\delta_{Q_k}, k\phi)} \leq N_k \|s\|_{L^1(\delta_{Q_k}, k\phi)},
\]
and the result follows since the \(L^p(\mu) - L^q(\delta_{P_k})\) distortion is at most equal to that of \(L^p(\mu) - L^q(\delta_{Q_k})\).

Step 2. For each \((p, q)\)-optimal configuration \(P_k \in K^{N_k}\) the \(L^\infty(K, k\phi)\) distortion has subexponential growth. Indeed the BM-property of \(\mu\) implies, by Step 1, that
\[
\|s\|_{L^\infty(K, k\phi)} \leq C e^{N_k} \|s\|_{L^p(\mu, k\phi)} \leq C N_k e^{N_k} \|s\|_{L^\infty(\delta_{P_k}, k\phi)}.
\]
Step 3. Every sequence $P_k \in K^{N_k}$ such that the $L^\infty(X) - L^\infty(\delta P_k)$ distortion has subexponential growth is equilibrium distributed. Indeed denote by $C_k$ the $L^\infty(X) - L^\infty(\delta P_k)$ distortion. Applying (0.12) successively to each variable of the section $\det S_k$ successively (as in [BB1, p. 378]) and using the fact that $\det S_k$ is anti-symmetric yields

$$\|\det S_k\|_{L^\infty(K^{N_k}, k\phi)} \leq C_k^{N_k} |\det S_k|_{k\phi}(P_k).$$

Since we are assuming that $C_k = O(e^{\epsilon k})$ for each $\epsilon > 0$, it follows that the sequence $(P_k)_{k=1}^\infty$ is asymptotically Fekete for $(K, \phi)$, i.e. the measures $\mu_k = \delta P_k$ satisfy the growth conditions in Theorem C, proving the convergence $\delta P_k \to \mu_{eq}(K, \phi)$.

3.5. Proof of Corollary D

The sections $s_1, ..., s_N$ appearing in the construction of the recursively extremal configuration $P = (x_1, ..., x_N)$ constitute an orthonormal basis $S$ in $H^0(L)$. Moreover, by definition, $x_j$ maximizes the Bergman distortion function $\varrho^{H_j}(x)$ of the sub-Hilbert space $H_j$ and

(i) $\varrho^{H_j}(x_j) = |s_j(x_j)|^2_{\phi};$

(ii) $s_i(x_j) = 0$ for $i < j$.

Indeed, (i) is a direct consequence of the extremal definition (0.3) of the Bergman distortion function $\varrho^{H_j}$ of the space $H_j$. Then (ii) follows from (i) by expanding $\varrho^{H_j}$ in terms of the orthonormal base $s_1, ..., s_j$ of $H_j$ (using formula (0.4)) and evaluating at $x_j$.

Now, by (ii) above, we have that the matrix $(s_i(x_j))$ is triangular and thus

$$\langle \det S(P) := \det(s_i(x_j)) = s_1(x_1) ... s_N(x_N).$$

Hence, (i) gives that

$$|\langle \det S(P) \rangle_{\phi}^2 = \varrho^{H_1}(x_1) ... \varrho^{H_N}(x_N).$$

But since $x_i$ maximizes $\varrho^{H_i}(x)$, where $\int_X \varrho^{H_i}(x) \, d\mu = \dim H_i = i$, it follows that $\varrho^{H_i}(x) \geq i$. Thus, $|\langle \det S(P) \rangle_{\phi}^2 / N! \geq 1$ and replacing $P$ by $P_k$ then gives that $P_k$ is asymptotically Fekete, i.e. (0.10) holds. The corollary now follows from Theorem C.

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