Study of Charge Radii with Neural Networks

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A feed-forward neural network model is trained to calculate the nuclear charge radii. The model trained with input data set of proton and neutron number Z, N, the electric quadrupole transition strength \( B(E2) \) from the first excited 2\(^+ \) state to the ground state, together with the symmetry energy. The model reproduces well not only the isotope dependence of charge radii, but also the kinks of charge radii at the neutron magic numbers \( N = 82 \) for Sn and Sm isotopes, and also \( N = 126 \) for Pb isotopes. The important role of \( B(E2) \) value is pointed out to reproduce the kink of the isotope dependence of charge radii in these nuclei. Moreover, with the inclusion of the symmetry energy term in the inputs, the charge radii of Ca isotopes are well reproduced. This result suggests a new correlation between the symmetry energy and charge radii of Ca isotopes. The Skyrme HFB calculation is performed to confirm the existence of this correlation in a microscopic model.

I. INTRODUCTION

Theoretical and experimental studies of the isotopic changes of ground- and low-lying-state properties have been studied intensively to elucidate the evolution of shell structure, shape coexistence phenomena, and shape transitions, over the years [1–4]. In particular, charge radii, and electromagnetic moments are very sensitive quantities to extract precise information of the nuclear structure, such as deformation change and the shell evolution along the isotopic or isoscalar chains [2, 5–13], and to study the neutron skin thickness [14]. An extensive compilation of the nuclear electromagnetic moments can be found in Ref. [15], and references therein. In these researches, the isotope dependence of charge radii of Ca isotopes shows a particularly unique feature, which raises a challenging quest for the nuclear theory to understand the structure of these isotopes [15, 17]. The behavior of charge radii around the shell closures has been frequently studied in heavy isotopes. Many isotopes show obvious kinks at the shell closures, namely, a sudden change of the slope of charge radii for the isotopic chain at the magic number. In particular, the kink around \( N = 28, 50, 82 \) and \( N = 126, 150, 200 \) were extensively studied.

There are two groups of models for evaluation of charge radius: microscopic and phenomenological ones. Shell model and \textit{ab initio} models provide reasonable predictions of the charge radii in light and medium mass nuclei with realistic two-body and three-body interactions [21]. The energy density functional (EDF) such as the Hartree-Fock (HF) and HF-BCS (or Bogolyubov) models [22–26] as well as the relativistic mean field models (RMF) [24–28] provide global quantitative descriptions for the charge radii in a wide region of mass table. However it is still not quite successful for EDF to fix optimum interactions or to choose appropriate functional forms of EDF in order to provide precise prediction for the charge radii systematically. Phenomenological models such as the "liquid-drop" model (LDM) [29] and Garvey-Kelson relation [30] as well as their developed versions [31–33], in which the isospin dependence, shell effects and odd-even staggering are included, are also introduced to study the isotope dependence of charge radii. These phenomenological models work well on the global prediction of the charge radii, but it might be difficult for these models to reveal all the important physical quantities in the fitting, and to grasp microscopic origins of the model.

Machine learning (ML) is one of the most popular algorithms in dealing with complex systems due to its powerful and convenient inference abilities. Neural network, an algorithm of machine learning, has been widely used in different fields such as artificial intelligence (AI), medical treatment, and physics of complex systems. There are many successful applications of machine learning in nuclear physics, for examples, predictions of the nuclear mass [36–38], charge radii [39, 40], dripline locations [41, 42], \( \beta \)-decay half-lives \( T_{1/2} \) [43], the fission product yields [44], and the isotopic cross-sections in proton induced spallation reactions [45]. Very recently, a multilayer neural network was applied to predict the ground-state and excited energies with high accuracy [46]. In the above works, ML was applied to improve the accuracy of calculated results based on the EDF. In this work, we try to train a description of the nuclear charge radii based directly on some experimental or quasi-experimental data, such as the mass number dependence, shell effects, and deformation. We are desperately interesting in finding any other physical quantities which are correlated to the charge radii. The special attention will be payed to the cases of Ca isotopes.

To this end, we employ a standard fully connected feedforward neural network (FNN), which can build a complex mapping between the input space and output space through multiple compounding of simple non-linear functions. The framework of the FNN is introduced in section III. The data preprocessing is shown in section IV.
The framework of FNN, which consists of input layer, hidden layers and output layer. The network maps the inputs $x_i$ to the corresponding charge radii $y_i = f(\theta, x_i)$ via the weights and biases as well as the activation functions in each layer. See text for details. 

II. NEURAL NETWORK

The framework of FNN is shown in Fig. 1, which is a multilayer neural network consists of input layer, hidden layers and output layer. The structure of the neural network is labelled as $[N_1, N_2, \ldots, N_n]$, where $N_i$ stand for neuron numbers of $i$-th layer, and $i = 1$ and $n$ represent the input and output layer, respectively. In the present work, $N_1=3$, and $N_n = 1$. For the hidden layer, the outputs $h(\theta, x)$ are calculated by a formula

$$h(\theta, x) = \tanh(0.01w(i) \cdot h(\theta, x_i - 1) + 0.01b(i)),$$

which is a $N_i \times 1$ matrix, and the activation functions of hidden layers are taken to be the hyperbolic tangent, $\tanh$. As the starting point, the outputs $h(\theta, x)$ of the input layer are actually the input data $x$. Finally the correspond output is given by

$$f(\theta, x) = w(n) \cdot h(\theta, x_i) + b(n),$$

where $\theta = \{w(1), b(1), \ldots, w(n), b(n)\}$ are the network parameters trained by a selected optimizing algorithm. The number of network parameters is determined by a formula

$$N_p = \sum_{i=1}^{n-1} N_i \times N_{i+1} + \sum_{i=2}^{n} N_i.$$

In the training procedure, we use the mean squared error (MSE) as the loss function,

$$\text{Loss}(y, f(\theta, x)) = \frac{1}{N_S} \sum_{i=1}^{N_S} (y_i^{xp} - f(\theta, x_i))^2$$

which is used to quantify the difference between model predictions $f$ and experimental values $y^{xp}$. Here, $N_S$ is the size of the training set. The learning process is to minimize the loss function via a proper optimization method. We use RMSProp method in this work to obtain the optimal parameters $w(i)$ and $b(i)$, respectively, for $i = 1, 2, \ldots, n$ in the network. RMSProp is a popular alternative to stochastic gradient descent (SGD), which is one of the most widely used training algorithms.

In the present work, the deformation and shell effects on charge radius are included by the excitation energy of the first $2^+$ state, $E_{2^+}^{x}$. We adopt as the data set all the nuclei for which both the experimental values of $E_{2^+}^{x}$ and charge radii are available. The total data set is 347 nuclei. Since the data set is not big enough, we have to choose a small network structure $[3, 40, 1]$, which includes 44 neurons, and involves 201 parameters.

In the training procedure, the training sets are chosen 10 times randomly, which are equivalent to 10 models for the description of the charge radii. In this way, we will be able to choose the appropriate model. When training a model with a given training set, the network parameters are initialized randomly to produce the output. Since the output of the network is related to the initialization, we repeat this process 50 times for each model and average the output results. Then, the mean value is taken as the result of the model. We found that the mean value converges to a certain MSE value after the repeat of 30 times.

III. DATA PREPROCESSING

Since the performance of the network depends tightly on the form of the inputs, the data preprocessing is essential to arrange the raw inputs. As it was pointed out in Ref. [50], there are correlations between the nuclear charge radii and the excitation energies of the first excited $2^+$ states, $E_{2^+}^{x}$, we choose the energies $E_{2^+}^{x}$ as a raw input. To modulate the irregularity due to the large difference in the energies $E_{2^+}^{x}$ between the magic nuclei and its neighboring ones, we smooth the mass number dependence of energies $E_{2^+}^{x}$ by the Lorentzian function,

$$E_{2^+}^{x}(X_0) = \Gamma/2 \sum_X \frac{E_{2^+}^{x}(X)}{d_{X_0,X}^2 + (\Gamma/2)^2},$$

where $X = (N, Z)$, and the sum of $X$ runs over all adopted nuclei, whose $E_{2^+}^{x}$ values are measured. Here, the expansion width $\Gamma$ is set at 7.0 for the best fitting, and $d_{X_0,X}$ has the form

$$d_{X_0,X} = \sqrt{(Z - Z_0)^2 + (N - N_0)^2}.$$

Because the $B(E2)$ and $E_{2^+}^{x}$ are empirically correlated by the Grodzin’s formula [51],

$$E_{2^+}^{x}B(E2) = 2.57 \times Z^2 A^{-2/3},$$

results are shown in section IV. Section V is devoted to the summary.

FIG. 1: (Color online) The framework of FNN, which consists of input layer, hidden layers and output layer.
TABLE I: Values of the parameters in Eqs. (9) and (10).

| \(a_1\) | \(a_2\) | \(a_3\) | \(b_1\) | \(b_2\) | \(b_3\) | \(\alpha\) | \(\beta_1\) | \(\beta_2\) |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| 25.0 | 0.1 | 0.05 | 1.5 | -0.1 | 1.4 | -0.5 | 1.3 | 4.0 |

TABLE II: Minimum RMSD values for the training set and testing set of the models trained with or without symmetry energy. The values are given in unit of fm.

| model | training set | testing set |
|-------|-------------|-------------|
| with \(\delta_S\) | 0.0286 | 0.0280 |
| no \(\delta_S\) | 0.0266 | 0.0231 |

we actually take into account the calculated \(B(E2)\) by Eq. (7) in the ML study. That means, the dynamical deformation effect is included in the present study through \(B(E2)\) values.

In addition, in order to consider the effect of symmetry energy, we introduce a factor \(A(\delta_S)\) which is related to the symmetry energy part in the Bethe-Weizsäcker mass formula\[51, 52\],

\[
A(\delta_S) = b_1 \exp(b_2 Z^2 \delta_S^2) + b_3,
\]

and

\[
\delta_S = \frac{(N - Z)^2}{A}.
\]

Furthermore, the \(B(E2)\) and \(A(\delta_S)\) are arranged as a input data in the form:

\[
g(B(E2), \delta_S) = a_1 \ln(a_2 A^{-1/3} B(E2) A(Z, \delta_S)) \times (a_3 Z)^\alpha.
\]

The optimized values of the parameters in Eqs. (9) and (10) by the trainings are listed in Table I. Thus, the inputs for trainings are \(Z, N\), and \(g(B(E2), \delta_S)\), and the corresponding output is \(r_{ch}\).

**IV. RESULTS**

In the present ML study, all the charge radii of Ca, Sm, and Pb isotopes are put in the testing set in order to check the prediction power of models. In the calculations, the inputs are prepared both with and without the symmetry energy input. That is, the factor \(A\) is evaluated by eq. (8) or set at the value 1.0 for all the processes, respectively. The best models with smallest RMSD values are selected from each ten models with and without the symmetry energy input, after trained with different training sets. The RMSD values of the two best models are listed in Table II. We should notice that the RMSD value with the symmetry energy effect \(\delta_S\) is a slightly larger than that without the \(\delta_S\) term. However we find the substantial improvement of prediction in Ca-isotopes with \(\delta_S\) in the testing set as will be seen below.

The results of charge radii of Sn, Sm, and Pb isotopes are shown in the Figure 2. In the figure, the ML results obtained with and without the symmetry energy input are labelled by the red stars and green diamonds, respectively. The experimental data are taken from Ref. [12, 15, 53], which are labelled by the filled black circle. See the text for more details.
show a strong kink structure at $N=28$, and have a peak between two closed shells at $N=20$ and 28, and then increase rapidly after $N=28$. As shown in the panel (a), the 10 models with random data sets trained without the symmetry energy input give charge radii either linear or parabolic dependences with the increasing of neutron number. All results are not qualitatively consistent with the experimental data. When the symmetry energy input is included, as shown in panel (b), the results of 10 models are improved systematically and produce not only the kink at $N=28$, but also the peak at $N=24$, in consistent with the trend of the experimental data. The best results with the lowest RMSD values for the two kind of models with and without symmetry energy input are shown in panel (c). This figure indicates that the symmetry energy input is critical for the qualitative and quantitative description of the Ca isotopes.

The charge radii of Ca isotopes are shown in Fig. 3. Experimental data are taken from Ref. [11, 15]. See the text for more details.

FIG. 3: (Color online) The same as Fig. 2 but for Ca isotopes. (a) The results of the 10 models trained without the symmetry energy. (b) The results of 10 models trained with the symmetry energy. (c) The best models with the lowest RMSD trained with and without the symmetry energy. The experimental data are taken from Ref. [11, 15]. See the text for more details.

To confirm the conjecture derived by the present ML study, we calculate further the correlation between the symmetry energy and the charge radii in a microscopic model. The Hartree-Fock-Bogolyubov (HFB) calculations [54] are performed with modern Skyrme interactions. The energy density functional per nucleon can be expanded up to the second order of the isovector index $I = (\rho_n - \rho_p)/\rho$ as

$$\varepsilon(\rho_n, \rho_p) = \varepsilon(\rho, I=0) + S(\rho)I^2,$$

where $S(\rho)$ is the symmetry energy, which is important for the studies on the properties of finite nuclei and nuclear matter [55–58]. The symmetry energy $S(\rho)$ in Eq. (11) is further expanded around the saturation density as

$$S(\rho) = J(\rho_0) + L(\rho_0)\frac{\rho - \rho_0}{3\rho_0} + K_{sym}(\rho_0)\left(\frac{\rho - \rho_0}{3\rho_0}\right)^2.$$  

We calculate the charge radii of Ca isotopes by HFB model with SAMi-J family [59], which has different behavior for the symmetry energy in the nuclear matter. The SAMi-J family was obtained following the fitting protocol of SAMi under the constrained conditions; the incompressibility $K_\infty$ and the effective mass $m^*/m$ are fixed ($K_\infty = 245$ MeV, $m^*/m = 0.675$), and then the symmetry energy at saturation density ($J$) is varied from 27 to 31 MeV (SAMi-J27~SAMi-J31) [59, 60]. Fig. 4 shows the Skyrme-HFB results with the SAMi-J family, where $J=27$, 28 and 31 MeV for SAMi-J family, and $J=28.16$ MeV for SAMi. The nuclear matter properties of these parameter sets are listed in Table III. The figure shows that the greater the difference of $J$ between two parameter sets is, the more obvious difference in charge radii appears; the calculated charge radii decrease as the $J$.
values increase. Furthermore, if the values of $J$ of two parameter sets are similar, the calculated charge radii are also close to each other, i.e., the charge radii are almost the same for SAMi and SAMi-J28, whose $J$ values are $J = 28.16$ and $28.00$ MeV, respectively [61], although the values of slope parameter $L$ are somewhat difference, as is shown in Table [III]. This indicates that the symmetry energy term $J$ plays more important role than the term $L$ in the calculation of charge radii.

V. SUMMARY

A multilayer feed-forward neural network model (ML-FNN) is applied to train a model for the description of the charge radii. The model is trained with the input data set of proton number $Z$, neutron number $N$, the $E_{g+}$ and the symmetry energy. The model reproduces well not only the slope of isotopic dependence, but also the kink of charge radii at the magic numbers $N = 82$ and 126. Especially, the inclusion of the symmetry energy input makes the model better to reproduce qualitatively and quantitatively the charge radii of Ca isotopes. The microscopic Skyrme-HFB calculations show that the symmetry energy term in the energy density, particularly, the $J$ term affects the isotope dependence of charge radii of Ca isotopes. The present ML research and the microscopic calculation show the new correlation between the symmetry energy and charge-radii in Ca isotopes. Whereas the ML shows that the symmetry energy input has a remarkable effect on the charge radii of Ca isotopes, the HFB calculation also show that the symmetry energy has an effect to change the absolute magnitude of charge radii, but the kink structure at $N=28$ is not well reproduced. The physical implication of present successful ML study is still an open question, and needs to be studied in the future.

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| Parameter | $K_\infty$ (MeV) | $J$ (MeV) | $L$ (MeV) | $K_{sym}$ (MeV) | $m^*/m$ |
|-----------|------------------|-----------|-----------|----------------|--------|
| SAMi      | 245.0            | 28.16     | 43.68     | $-119.94$      | 0.675  |
| SAMi-J27  | 245.0            | 28.00     | 39.74     | $-133.15$      | 0.675  |
| SAMi-J28  | 245.0            | 31.00     | 74.37     | $-37.35$       | 0.675  |
| SAMi-J31  | 245.0            | 31.00     | 74.37     | $-37.35$       | 0.675  |

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