Stromal disrupting effects of nab-paclitaxel in pancreatic cancer

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Background: Nab-paclitaxel and gemcitabine have demonstrated a survival benefit over gemcitabine alone in advanced pancreatic cancer (PDA). This study aimed to investigate the clinical, biological, and imaging effects of the regimen in patients with operable PDA.

Methods: Patients with operable PDA received two cycles of nab-paclitaxel and gemcitabine before surgical resection. FDG-PET and CA19.9 tumour marker levels were used to measure clinical activity. Effects on tumour stroma were determined by endoscopic ultrasound (EUS) elastography. The collagen content and architecture as well as density of cancer-associated fibroblasts (CAFs) were determined in the resected surgical specimen and compared with a group of untreated and treated with conventional chemoradiation therapy controls. A co-clinical study in a mouse model of PDA was conducted to differentiate between the effects of nab-paclitaxel and gemcitabine.

Results: A total of 16 patients were enrolled. Treatment resulted in significant antitumour effects with 50% of patients achieving a >75% decrease in circulating CA19.9 tumour marker and a response by FDG-PET. There was also a significant decrement in tumour stiffness as measured by EUS elastography. Seven of 12 patients who completed treatment and were operated had major pathological regressions. Analysis of residual tumours showed a marked disorganised collagen with a very low density of CAF, which was not observed in the untreated or conventionally treated control groups. The preclinical co-clinical study showed that these effects were specific of nab-paclitaxel and not gemcitabine.

Conclusion: These data suggest that nab-paclitaxel and gemcitabine decreases CAF content inducing a marked alteration in cancer stroma that results in tumour softening. This regimen should be studied in patients with operable PDA.

The outcome of patients with PDA remains poor (Hidalgo, 2010). One important characteristic of this disease is the formation of a rich stromal reaction that accompanies the malignant transformed epithelium (Mahadevan and Von Hoff, 2007). The stroma is composed of extracellular matrix proteins such as collagen, hyaluronic acid, and SPARC (secreted protein acidic and rich in cysteine) and cellular elements such as cancer-associated fibroblast (CAF), endothelial, immune, and inflammatory cells (Mahadevan and Von Hoff, 2007). The stroma in PDA contributes to poor vascularisation and high intratumoural pressure that decreases drug diffusion (Von Hoff et al, 2009). There is an increasing interest in targeting different components of the cancer stroma in PDA and several preclinical studies using genetically engineered mouse models (GEMMs) of PDA show promising results (Olive et al, 2009; Provenzano et al, 2012; Jacobetz et al, 2013). The elimination of the cancer stroma leads to decrease intratumour pressure, heighted vascularisation, and improved drug delivery.

One interesting stromal target of PDA is SPARC, which is overexpressed in PDA (Infante et al, 2007). Although the functions of this protein are not fully understood, it is implicated in stromal...
Stromal targeting in PDA

Preclinical study. The compound K-Ras /L-SLG12Vgeo; p53flox/flox; Elas-tTA;tetO-Cre mice used in this study were kindly provided by Dr Mariano Barbacid (Guerra et al, 2011). Mice were treated with nab-paclitaxel at a final concentration of 50 mg kg⁻¹ paclitaxel L⁻¹, once a week and gemcitabine at a final concentration of 50 mg kg⁻¹ L⁻¹, twice a week for 3 weeks. Tumour growth was monitored in vivo by high-resolution ultrasound. Average tumour volumes at baseline in the nab-paclitaxel, gemcitabine, and combination groups were 20.8 (4.7), 113.3 (39.3), and 87.9 (34.1) mm³, respectively. Responses upon chemotherapy were evaluated as follows: progression, when tumour volumes at the end of the study were >50% larger than at the start point; partial response, when tumour volumes regressed by >50% when compared with initial volumes and complete response, and when tumours at the end of the study could not be detected by ultrasound. All experiments were approved by the CNIO Ethical Committee and performed in accordance with the guidelines for Ethical Conduct in the Care and Use of Animals as stated in the International Guiding Principles for Biomedical Research Involving Animals, developed by the Council for International Organizations of Medical Sciences.

Tissue assessments. The primary end point of the study was to determine the effects of treatment on tumour stroma including assessment of collagen content and structure and CAFs. In addition to study samples obtained from patients and mouse models, we also analysed a set of six samples from patients with untreated PDA and six samples from patients treated with conventional chemotherapy and radiation therapy. Collagen analysis was assessed using collagen I primary rabbit antibody (1:100; Rockland Immunochemicals, Gilbertsville, PA, USA) for 1 h at 37 °C and as secondary antibody Alexa Fluor 555 donkey anti-rabbit IgG (1:200; Invitrogen, Paisley, UK). Activated fibroblasts were determined with double staining using vimentin, as total fibroblasts marker (1:50; Cell Signaling Technology, Danvers, MA, USA) and smooth muscle actin (SMA) as an activated fibroblast marker (1:100; DakoCytomation, Glostrup, Denmark). The secondary antibodies used were Alexa Fluor 488 donkey anti-rabbit IgG (1:200; Invitrogen) for vimentin and Alexa Fluor 555 donkey anti-mouse IgG (1:200; Invitrogen) for SMA. Sections were counterstained with DAPI (Invitrogen) and mounted with Prolong Gold Antifade reagent (Invitrogen). Samples were acquired using a laser scanning confocal microscope TCS-SPS (AOBS) Leica (Leica Microsystems, Wetzlar, Germany) with two magnifications × 20 HCX PL APO CS (0.7 NA) and × 40 HCX PL APO CS (1.25 NA) oil immersion objectives. Acquisition Software was LAS AF v.2.4.1 (Leica Microsystems). Fibroblast quantification was performed with Definisi Developer XD Software (Munich, Germany). Detected cells were classified depending on the ratio between mean layer intensities of both, vimentin and SMA. Activated fibroblasts were considered when they co-expressed SMA and vimentin. We determined the fibroblast density index by adjusting the number of cells counted to the proportion of tumour fibrosis observed in the specimen (adapted from Erkan et al, 2008). The quantification was done in two different and representative fields (20 steps) for each sample. In the preclinical study, immunohistochemistry was performed using antibodies against mouse SPARC (R&D Systems, MAB942, Minneapolis, MN, USA) and cleaved caspase 3 (Cell Signaling Technology, 9661).

Statistical analysis. The analysis was made by ‘intention to treat’ with all patients included using the IBM SPSS Statistics Version 20.0 (IBM, Madrid, Spain). Quantitative variables pre- and post-treatment were compared using ANOVA test, Student’s t-test, and non-parametric Mann–Whitney U-test and Wilcoxon test for two related samples. The correlation between the outcome variables was tested with the Spearman correlation coefficient. In the preclinical study, all values are represented as mean ± s.e.m. Immunohistochemistry quantifications were evaluated for significance using the
unpaired t-test with a P-value below 0.05 considered significant for all analyses. Significant differences between experimental groups were: *P<0.05 or **P<0.01.

**RESULTS**

Clinical activity of nab-paclitaxel and gemcitabine in PDA. A total of 16 patients, whose principal characteristics are listed in Table 1, received two cycles of nab-paclitaxel and gemcitabine before surgical resection using the previously published dose and schedule (Von Hoff et al, 2011). Three patients developed disease progression during the preoperative treatment and were not resected. One additional patient with potentially resectable cancer was not operated because of high surgical risk. A total of 12 patients underwent surgery. Overall treatment was well tolerated with side effects in the range of what has been previously reported.

Figure 1 shows the results of the main clinical parameters studied. The mean CA19.9 level decreased from 2588 U dl–1 pre-treatment to 1056 U dl–1 post-treatment (P = 0.001) with 50% of patients having a >75% reduction. Similarly, the max standardised uptake value (SUV) of FDG decreased from a mean of 7.2 (range, 2.98–13.4) before treatment to 4.5 (range, 1.9–9) after treatment (P = 0.005), including eight (50%) of the patients with a partial metabolic response by FDG-PET (Supplementary Figure 1 shows a representative case). There was a statistically significant correlation between improvement in CA19.9 tumour marker and FDG PET response (P = 0.05). However, no objective responses were observed. We also determined tumour stiffness by elastography. The ratio value, a measurement of tumour stiffness, diminished from a value 36 pre-treatment to 18 post-treatment (P = 0.002). These data suggest that tumours became softer after treatment. There was a statistically significant correlation between changes from baseline in elastography ratio and CA19.9 response (P = 0.019).

![Table 1. Patient characteristics (n = 16)](https://www.bjcancer.com/table1.png)

| Median age (range) | 58 (41–80) |
|-------------------|-----------|
| **Gender**        |           |
| Male              | 10        |
| Female            | 6         |
| **ECOG**          |           |
| 0                 | 6         |
| 1                 | 10        |
| **Tumour location** |         |
| Head              | 14        |
| Body              | 1         |
| Tail              | 1         |
| **Clinical staging** |       |
| I                 | 2         |
| II                | 11        |
| III               | 3         |
| **Resectability** |           |
| Resectable        | 7         |
| Borderline resectable | 9     |
| Pre-treatment CA19.9 (U dl–1) | 2588 (1–36 376) |

Abbreviation: ECOG = Eastern Cooperative Oncology Group.

Of the 12 patients operated, 11 had R0 resection and 1 patient had R1 resection. In the final pathological analysis, two cases were neuroendocrine carcinomas and 10 were pancreatic adenocarcinoma. Of the 10 patients with PDA, 1 had a complete pathological response, 6 had major pathological responses (GRT-1), 1 had a partial response (GRT-2) and 2 did not response (GRT-3). Supplementary Figure 1 shows pathological analysis of a representative patient.

Tissue effects of nab-paclitaxel and gemcitabine. Nine of the 10 patients with PDA had SPARC-positive tumours. In the subset of patients with SPARC expression in the tumour stroma, there was no correlation between the degree of SPARC expression and clinical or pathological responses. As shown in Figure 2A, type I collagen analysis of tumour tissue samples from patients treated with nab-paclitaxel plus gemcitabine revealed a less abundant fibrillar collagen matrix around tumour glands. In areas of tumour regressions the collagen had an amorphous structure, with a discontinuous and disorganised network of type 1 collagen fibres. This is in contrast with samples of untreated PDA used as control that showed long, continuous well-organised, and imbricated fibres. Similarly, in tumours treated with conventional chemoradiation studied for comparison, there was an increase in collagen matrix with dense bundles of organised collagen around tumour glands.

In addition, patients treated with nab-paclitaxel plus gemcitabine had a lower number of CAF. The average number of CAF in the nab-paclitaxel plus gemcitabine-treated group was 267 ± 179 while samples from untreated patients and samples from patients treated with conventional chemotherapy and radiation therapy was 642 ± 378 (P = 0.01) and 557 ± 140 (P = 0.037). Interestingly, the proportion of SMA+ fibroblasts was similar in all these cases ranging from 76 to 78% (Figure 2B and C).

As the proportion of tumour stroma changed from one setting to another, we calculated the CAF density index on these samples. As shown in Figure 2D, the CAF density in untreated PDA tumours was 15 ± 10. In cases treated with conventional chemoradiation, because the stroma content increased the density decreased to 12 ± 11. In nab-paclitaxel plus gemcitabine-treated cases, this number decreased to 6 ± 3 because of higher stromal content and decrease number of CAF.

Preclinical study in GEMM of PDA. In order to determine the specific contributions of nab-paclitaxel and gemcitabine to these results, we performed an in vivo study in GEMM of PDA (Guerra et al, 2011). As shown in Supplementary Figure 2, the cancer, induced in these models by activation of KRAS and inactivation of TP53, is SPARC+ in CAF. Mice were enrolled in this study as individual subjects when tumours were detected by two consecutive ultrasound measurements. As expected, the combination of nab-paclitaxel and gemcitabine was very effective and resulted in a complete tumour regression in two mice and a partial tumour regressions in one mouse, as determined by ultrasound after 21 days of treatment (Figure 3A). Gemcitabine alone treatment resulted in minor responses, while nab-paclitaxel alone did not show any antitumour activity (Figure 3A). Consistently, nab-paclitaxel plus gemcitabine significantly increased the proportion of apoptotic cells compared with control (Figure 3B and C). Average increase in tumour volumes in the nab-paclitaxel, gemcitabine, and combination groups on day 21 compared with baseline were 14.1, 4.6, and 0.96, respectively.

Analysis of type I collagen revealed a decrease in collagen matrix in nab-paclitaxel and nab-paclitaxel plus gemcitabine groups compared with control and gemcitabine groups (Figure 4A). Type I collagen matrix showed a heterogeneous pattern alternating between areas with moderate extracellular matrix and areas with very low collagen matrix. Collagen bundles were composed of disrupted and disorganised fibres. However, control and
gemcitabine groups showed a dense collagen matrix with long and imbricated collagen fibres around tumour glands (Figure 4A). The total number of CAF in nab-paclitaxel group and nab-paclitaxel plus gemcitabine group was $37 \pm 17.4$ and $35 \pm 24.5$, respectively, with 68% of SMA$^+$ fibroblasts in both treated groups (Figure 4B and C). The total number of CAF in control group was similar to the former groups, $39 \pm 18.4$ and 69% of SMA$^+$ fibroblasts. However, the gemcitabine-treated group showed a higher number of fibroblasts, $173 \pm 125.2$ than the other groups with a similar proportion of SMA$^+$ (65.2%). The CAF density ranged between 2.8 and 2.3 in nab-paclitaxel and nab-paclitaxel plus gemcitabine group and this parameter increased to 7 in the gemcitabine group; however, because low number of cases, there were no statistically significant differences.

**DISCUSSION**

This study aimed to investigate the effects of nab-paclitaxel and gemcitabine in PDA stroma. To this end, we conducted a clinical and co-clinical study in patients with operable PDA and in
Figure 2. Tissue effects of nab-paclitaxel and gemcitabine in human PDA. Panel (A) shows confocal microscopy analysis of type I collagen immunofluorescence as main component of extracellular matrix in untreated sample, a sample of a patient treated with chemoradiation, and a sample of a patient treated with nab-paclitaxel and gemcitabine (×20, top, and the same field at ×40, bottom; scale bar = 100 μm, ×20 field, and 50 μm, ×40 field). Panel (B) shows the effects of treatment in CAF stained for αSMA (red) and vimentin (green) immunofluorescence for quantification of activated and total fibroblasts in untreated sample (left), sample treated with chemoradiation (middle) and sample treated with nab-paclitaxel and gemcitabine (right; ×40; scale bar = 50 μm). Panel (C) shows a quantification of total and activated CAFs in untreated samples, primary PDA tumours treated with chemoradiation, primary tumour PDA tumours treated with nab-paclitaxel and gemcitabine in two (20 steps) ×40 HCX PL APO CS (1.25 NA) oil immersion objectives high-power fields. Samples treated with nab-paclitaxel and gemcitabine show a statistically significant lower activated CAF (Mann–Whitney tests were used for statistical analysis: *P = 0.037). Panel (D) represents the average CAF density index determined by dividing the number of CAF by proportion of stromal content in two ×40 HCX PL APO CS (1.25 NA) oil immersion objectives high-power fields. As shown, nab-paclitaxel and gemcitabine-treated groups have a statistically significant lower activated CAF density (Mann–Whitney tests were used for statistical analysis: *P = 0.037; **P = 0.015).

GEMM of the disease. The study shows that nab-paclitaxel and gemcitabine was very effective inducing marked tumour responses by FDG-PET and CA19.9 levels. Seven of 10 patients with PDA had major pathological responses. Analysis of residual tissues indicated an important disruption of collagen architecture and a decrease in CAF, albeit the number of activated CAF remained unchanged. These effects translated into diminished tumour stiffness as measure by EUS elastography. In the co-clinical mouse study, we observed that nab-paclitaxel-treated animals have also a distorted collagen with low cellular content while gemcitabine-treated mice showed a marked increase in CAF.

Although the main objective of the study was not clinical outcome, and thus the sample size is limited to make any strong conclusion in that sense, the antitumour effects as shown by FDG-PET, CA19.9 response, rate of R0 resections, and pathological responses, are notable and certainly support additional definitive studies in this setting (Chun et al, 2011; Andriulli et al, 2012). Of interest, and in agreement with the tissue-based analysis discussed below, we did not observe objective responses by RECIST. However, the majority of patients showed improvement in SUV uptake by FDG-PET and elastography ratio. This discrepancy between morphological and functional imaging is consistent with the tissue results and suggest that as a tumour respond to treatment there is a replacement of actively growing glucose consuming cells to an oligocellular, quiescent stroma. The lack of concordance between RECIST, metabolic, and pathological responses points to the need to incorporate other measurements of efficacy in preoperative studies in PDA. Three patients, however, develop rapid disease progression indicating that there is a group of patients who progress rapidly to nab-paclitaxel and gemcitabine. Biomarkers to identify these patients are of mayor interest.

One of the goals of this work was to incorporate new methods to evaluate stromal changes. To this end, we focused on EUS-based elastography. This imaging modality is currently used to determine the degree of liver fibrosis in patients with end-stage liver disease. In PDA, its main application has been the differential diagnosis of benign and malignant pancreas masses (Janssen et al, 2007; Iglesia-Garcia et al, 2010; Dawwas et al, 2012). In this study, we show that tumour stiffness decreased during treatment and that this effect correlated with improvement in CA19.9 and FDG uptake likely reflecting modification in the cancer stroma as shown in the tissue analysis. Elastography should be further studied in preoperative trials in PDA and in particular in those with agents targeting the stroma.
The effects of nab-paclitaxel in PDA stroma are not fully understood and to some extent controversial. In our prior studies in patient-derived xenograft mouse models treated for short period of time, we observed an almost complete elimination of cancer stroma (Von Hoff et al., 2011). These results, however, were not reproduced in studies using GEMM of PDA (Frese et al., 2012). Here we show, in patient samples, that the effect is predominantly stromal remodelling with marked alteration of collagen architecture and elimination of CAF. This is best reflected by changes in CAF density and appears to be different from patients treated with conventional chemoradiation. Similar observations were made in GEMM of PDA driven by distinct engineered genetic changes as the models tested before: the most striking observation was the increase collagen and CAF in the gemcitabine only treated group. The reasons for these differences across models and patients are not known. Duration of treatment can be a factor as mice studies are conducted with short, 5–21 days, exposure while in human studies the treatment duration is 8 weeks allowing time for some of the tissue remodelling effects to occur. Another factor is differences between models. Human-derived xenografts models form less stroma of murine origin and have abnormal tumour vascularisation, particularly in subcutaneous implanted tumours. In contrast, the KPC GEMM model used in prior studies forms abundant and exuberant stroma (Olive et al., 2009). The GEMM model used in these studies, carrying different engineered genetic changes, has an intermediate stromal content not as manifested as the KPC model.

There are, however, limitations to this work that need to be taken into account when considering the implications of these data. The sample size is small and includes patients with different stages, even within a group of patients with localises PDA, making any conclusion hypothesis generating. Indeed, the content of stroma and CAF may change with different cancer stages. Another limitation is that the study does not include a pre- and post-treatment tissue biopsy to determine tissue changes but rather an untreated control. Finally, there is no randomised control arm treated with gemcitabine alone that would make the comparison more conclusive.

In summary, this clinical study shows that nab-paclitaxel plus gemcitabine exerts significant antitumour activity in primary PDA as seen by the high rate of responses by different imaging methods and tumour marker studies that led to margin-free resection in 92% of patients and pathological responses in 70% of cases. Although the number of patients is small, the results are striking enough to warrant additional studies in this setting. At the tissue level, the most interesting observation of the study is that, in marked contrast with tumour exposed preoperatively to conventional chemoradiation, tumours treated with nab-paclitaxel contained less abundant CAFs, a clearly altered collagen, and had became softer as determined by elastography. Parallel mouse studies indicate that the main effects are due to nab-paclitaxel and not gemcitabine. Based on these data, this approach should be tested in a larger randomise trial to determine the clinical impact of tumour down staging before surgery and the long-term impact of pathological tumour regression. In addition, EUS elastography appears an attractive method to monitor stromal changes with treatment.
Figure 4. Tissue effects of treatment regimens in GEMM of PDA. Panel (A) shows confocal microscopy analysis of type I collagen immunofluorescence as main component of extracellular matrix in untreated tumours and tumours treated with gemcitabine, nab-paclitaxel, and the combination (× 20, top, and detail of collagen network at × 20, bottom; scale bar = 75 μm, × 20 field). Panel (B) shows the effects of treatment in CAF stained for αSMA (red) and vimentin (green) immunofluorescence for quantification of activated and total fibroblast in samples of mice treated with the indicated agents (× 40, scale bar = 50 μm). Panel (C) shows a quantification of total and activated CAFs in untreated tumours and tumours treated with gemcitabine, nab-paclitaxel and the combination in two (20 steps) × 40 HCX PL APO CS (1.25 NA) oil immersion objectives high-power fields. Statistical analysis was not significant because of heterogeneity of samples.

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CONFLICT OF INTEREST

The authors declare no conflict of interest.

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