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Two tales of one city: Unequal vulnerability and resilience to COVID-19 by socioeconomic status in Wuhan, China

Xiaogang Wu, Xiaoguang Li, Yao Lu, Michael Hout

1. Introduction

The outbreak of COVID-19 has caused tens of millions of infections and triggered a global public health crisis. As of February 5, 2021, the COVID-19 pandemic had resulted in more than 104.17 million infections and 2,265,354 deaths across 219 countries and territories around the world (WHO, 2020). Previous studies of natural disasters, such as earthquakes, tsunamis, and forest fires, have demonstrated devastating short- and long-term effects on physical and psychological health (Briere & Elliott, 2000; Corrarino, 2008; Leon, 2004; Mills, Edmondson, & Park, 2007). The COVID-19 pandemic is no exception. In addition to the severe damage that the virus can do to the human body, the fear of infection (combined with economic lockdown and social isolation) has impaired individuals’ psychological well-being and social relationships (Brooks et al., 2020; Dubey et al., 2020; Recchi et al., 2020). For example, even as the pandemic gradually abated in China, many cities have witnessed soaring rates of divorce and suicide (Prasso, 2020; Zhou & Goh, 2020).

Against this backdrop, the present study investigates health disparities evidenced by COVID-19 in Wuhan, China’s epicenter. Previous studies have shown a high correlation between SES and health outcomes (e.g., self-rated health and chronic disease), but empirical studies during disasters or epidemics (e.g., mortality and infection risk) are rare. Of particular concern is the fact that the decision to lock down the city of Wuhan was an unexpected event for all social classes, and no one was adequately prepared in terms of protective or living materials. In this context, all people were affected by the city’s closedown, regardless of their SES. As a result, did the association between SES and health inequalities persist during the 72-days lockdown of the city? Specifically, our key research question is: are there socioeconomic disparities in health outcomes associated with the pandemic, as measured by infection risks and mental health distresses? One line of arguments posits that a natural disaster is a potential equalizer because of its universal impact on the population at the same period in time (Aguilar, Pante, & Tugado, 2016; Saguin, 2016). COVID-19 substantially restricts the economic activities of almost everyone regardless of SES (Jones & Jones, 2020). Also, the coronavirus is a threat to every individual because of the natural properties of viral contagion.

Although disasters such as pandemics are events that are random in nature, individuals’ vulnerability to natural disasters is inequitable and is shaped by their socioeconomic status (SES). This study examines health inequality by SES amid the COVID-19 pandemic and its underlying mechanisms in Wuhan, China’s epicenter. Using survey data collected in the city during the lockdown period from February 20 to March 6, 2020, we identify two ways in which SES shapes health inequalities—vulnerability and resilience to COVID-19. First, higher SES is associated with a lower risk of infection for both survey respondents and their family members. Second, higher SES reduces mental distress during the pandemic, and this protective effect is particularly strong for individuals who contract the virus or who have family members infected with the disease. Mediation analysis further illustrates that SES shapes the risk of infection and mental distress primarily through three channels: access to daily essential and protective supplies, employment status, and the community environment. These findings lend support to the fundamental cause theory that links socioeconomic differentials to health inequality in a unique context. The outbreak of COVID-19 magnifies pre-existing socioeconomic inequalities.
Another strand of research, however, contends that natural disasters exacerbate fundamental inequalities due to marked differences in material and non-material resources across socioeconomic groups (Bolin & Kurtz, 2018), that lower SES individuals are less able to buffer themselves against the effects of a disaster than their higher SES counterparts. For example, during and after Hurricane Katrina in the United States, low-income individuals, as opposed to high-income individuals, were less likely to be rescued, more likely to lose their homes, and less likely to regain employment and housing (Brodie, Weltzin, Altman, Blendon, & Benson, 2006; Elliott & Pait, 2006; Elliott, Hite, & Devine, 2009; Russel, Sastry, & VanLandingham, 2010; Lachlan, Burke, Spence, & Griffin, 2009; Sastry & VanLandingham, 2009). Similar processes will likely unfold during the COVID-19 pandemic, resulting in a heavier burden placed on the shoulders of the disadvantaged (Ahmed, Ahmed, Pissarides, & Stiglitz, 2020; Bowleg, 2020; Lamarque, 2020). Emerging evidence points to an SES-gradient in economic and health outcomes related to COVID-19, such that those on the bottom rungs of the hierarchy ladder are hardest hit (Buchanan, Patel, Rosenthal, & Singhvi, 2020; Finch & Hernández Finch, 2020; Lederer & Kurtenbach, 2020; Pickert, 2020; Recchi et al., 2020).

The fundamental cause theory addresses expectations as to how SES might affect the health outcomes of individuals affected by a disaster such as a pandemic (Link & Phelan, 1995; Lutfey & Freese, 2005). According to this theory, low SES individuals generally exhibit worse health status and higher mortality than their high SES counterparts at almost every stage of the life course (Lutfey & Freese, 2005). This is because SES shapes access to important resources that influence health, including income, knowledge, power, and beneficial social networks. While it has been well documented that disparities in health outcomes and economic well-being (e.g., by gender, race, ethnicity, and geographic location) are found to be partially attributable to differences in SES across several countries in the current pandemic (Finch & Hernández Finch, 2020; Hu, 2020; Qian & Fan, 2020; Yaya, Yeboah, Charles, Otu, & Labonte, 2020), little empirical research has investigate the mechanism through which SES affects health disparities. The present study is set to fill the void.

We proposed two stages in which SES affected health outcomes at the peak of the COVID-19 lockdown in Wuhan, China’s epicenter. In the first stage, we study differential vulnerability to COVID-19 by SES, as measured by infection risk. We speculate that high SES reduces the risk of infection because higher-status individuals are better able to secure resources to shield against viral infections. We specifically explore three underlying channels. First, SES affects access to daily essential and protective supplies, which helps individuals maintain normalcy and stay safe during the pandemic. Second, higher SES individuals tend to hold jobs with greater economic rewards, greater job security, and lower occupational hazards. During the pandemic, they are more likely to retain their jobs and be engaged in work remotely. These conditions reduce exposure to the infection. Finally, higher SES results in a more favorable living environment. Individuals with higher SES tend to live in communities with greater resources and networks, which ease access to supply and information while minimizing contact with others and potential disease transmission. Taken together, these channels lower the risk of COVID-19 infection.

In the second stage, we examine differential resilience to COVID-19 by SES, as measured by mental health distress, which captures negative emotional effects such as depression, anxiety, or fear. We study the gender role of SES in fostering resilience as well as how a protective effect of SES varies by infection status. We speculate a positive relationship between SES and resilience, which operates through three main channels. First, individuals of high SES tend to have greater access to daily essential and protective supplies. This brings a sense of normalcy and security and reduces fear and anxiety that can result from material shortages during the pandemic (Zhang et al., 2020). Second, higher status individuals tend to have greater employment stability and higher monetary rewards than their lower status counterparts, who are at a disproportionate risk for job loss and wage penalties (Hu, 2020; Qian & Fan, 2020). The more sufficient and stable the income, the lower the risk of depression and anxiety (Lei et al., 2020). High SES individuals are also more likely to hold jobs for which remote-work is feasible, which mitigates exposure to and concerns about infection. Finally, higher SES communities may provide more social support and cultivate collective efficacy (Cohen, Finch, Bower, & Sastry, 2006; Miao, Zeng, & Shi, 2021; Qian & Hansen, 2021; Wu, 2021). Such a social environment fosters mental health and resilience during a crisis. In sum, SES has a protective effect on mental health during the pandemic.

The protective effect of SES may be particularly strong among individuals who are infected or are exposed to heightened risks of infection. For those individuals, COVID-19 does take a toll on mental health. However, high-SES individuals are more likely to receive prompt, higher-quality treatment (Harris, 2020), which reduces their psychological distress. Also, these individuals have sufficient financial resources to cover medical and living expenses during hospitalization and quarantine, thereby mitigating financial insecurity. Moreover, high SES individuals tend to live in more spacious homes, thereby reducing the risk of within-family disease transmission and decreasing the mental health burdens of the infected. Therefore, we expect that there will be a larger protective effect of SES on mental health for those who are most vulnerable to mental distress. In other words, the mental distress posed by COVID-19 are greatest among individuals with low SES.

2. Data, variables, and methods

2.1. Data and the study setting

We study disparities in health by SES during the COVID-19 outbreak in Wuhan, where China’s first coronavirus case was confirmed. The government took extreme measures to lock down the entire city from January 23 until April 7. Our data are from the Life Experience and Community during the Covid-19 in Wuhan (LECC-Wuhan). Our survey follows network-based respondent-driven sampling methods. We first recruited 149 college students and faculty members from seven universities in Wuhan, who are referred as “seeds.” These seeds completed online questionnaires and were asked to recruit additional respondents via “one-on-one” private social networking; they were forbidden to recruit unknown others by posting the survey link on any online forum. To be eligible, respondents had to be residents of Wuhan at the time of the survey. To increase diversity, the seeds were asked to refer acquaintances of both sexes and individuals of all ages. Specifically, each seed had to refer the same number of males as females. These participants fell equally into three age categories: 30 years or younger, 30–50 years, and 50 years and older. All of the seeds received interviewer training to ensure that they could assist their recruits in completing the survey. The recruits were encouraged to contact the seeds with any questions during the survey.

The survey successfully collected data from 4234 respondents. The spatial distribution of seeds and respondents are plotted in Fig. 1 (also see Miao et al., 2021). The timelines of the survey and the prevalence of infection at the study site allows us to effectively investigate the key research questions. To minimize the potential sampling bias, we used sample weight and random iterative method (RIM) weighting (Miao et al., 2021) in the descriptive analysis (Table 1). RIM weighting allows researchers to weigh each variable as an individual entity to ensure that each data point is accurately represented, while keeping the characteristics proportionate as a whole (Miao et al., 2021). Our weighting procedure derives weight factors and applies them to the sample such that the weighted sample matches the two independent distributions, namely, age and education level. We weighted the data according to the demographic characteristics of the 2015 National 1% Population Sample Survey in Wuhan City, and yielded similar results.
2.2. Variables

Health outcomes. The first set of outcome variables measures infection and infection risk. We ask whether respondents had confirmed or suspected COVID-19 infection or were exposed to significant risk of infection. Respondents were asked, "Which of the following categories do you currently belong to?" Choices include healthy, confirmed COVID-19 infection, suspected COVID-19 infection (i.e., individuals awaiting test results), symptomatic of COVID-19 and not ruled out for infection (i.e., individuals who have not yet been tested), and close contact with a confirmed COVID-19 patient. We code healthy respondents as 0 and all other categories as 1 to increase sample size for robust estimates (59 confirmed COVID-19 patient. We code healthy respondents as 0 and all other categories as 1 to increase sample size for robust estimates (59 individuals, 1.39 %, are classified as 1). For simplicity, we use respondent confirmed/suspected infection to describe these scenarios. The descriptive statistics of all variables included in the analysis are presented in Table 1. In a sensitivity analysis, we restricted the sample to patients with confirmed or suspected infection or who are symptomatic, and we find similar results.

We then asked whether respondents had co-residing family members with confirmed COVID-19 infections; about 4.8 % of respondents reported that they did. Studying the infection of individuals and family members is meaningful because there is a high correlation between individual SES and coresident family members’ infection risk. We finally combine the two measures to construct a variable of whether the individual has confirmed or suspected COVID-19 infection, and whether his or her co-residing family members are infected. This further increases the sample size as 5.2 % of the respondents or their co-residing family members were diagnosed with coronavirus.

The second outcome variable is mental distress, measured by the Hopkins Symptom Checklist (HSCL-5). HSCL-5 is a validated and widely used assessment of anxiety and depressive symptoms (Schmalbach et al., 2021). The scale consists of five questions about the frequency of several emotional affects in the past week: nervousness or shakiness inside, feeling fearful, feeling blue, worrying too much about things, and feeling hopeless about the future. The response categories are none or little (<1 day), not too much (1–2 days), sometimes (3–4 days), and most of the time (5–7 days). The HSCL-5 is a 5-point Likert scale consisting of five questions that measure two dimensions of mental distress: anxiety and depression. The Cronbach’s alpha of the five items was 0.91, suggesting high reliability. A total score was obtained by summing individual scores on the five items with higher values indicating more severe mental health problems.

Socioeconomic status (SES). We construct a composite measure that captures different aspects of socioeconomic resources: educational attainment, occupation, self-assessed socioeconomic position, and home ownership. Educational attainment is measured by the respondent’s highest level of education. Occupation is classified into six categories according to 1-digit Chinese Standard Classification of Occupation (CSCO): management, professional, clerical and office, sales and service, general unskilled workers, and unemployed/student/retired. Self-assessed socioeconomic position is classified into five categories: upper class, upper middle class, middle class, lower middle class, and lower class, where respondent were asked directly, “In general, what class does your family’s socio-economic status belong to in Wuhan?” Home ownership refers to whether a respondent owned the housing unit he or she occupied in Wuhan at the time of the survey. We use hybrid item response theory (IRT) model to construct an SES scale based on these variables (Ayala, 2009). The hybrid IRT model is used because it can incorporate different types of variables (binary for housing, ordinal for education and self-assessed SES, and nominal for occupation). The SES scale is then converted into a standardized scale with values from 0 to 10.

Mediation variables. We include three sets of mediating variables. The first set pertains to access to daily essential and protective supplies during the pandemic; both are dummy variables. Measures are based on answers to the questions, “Does your household have reliable sources of

\[\text{Footnote 1} \text{For these individuals, a questionnaire was filled out using a mobile phone in hospital or at home, as long as the person was willing to participate in the survey.}\]

\[\text{Footnote 2} \text{This variable only includes confirmed or suspected cases and does not count those respondent who closely contacted with confirmed cases.}\]
Table 1 Descriptive Statistics for Variables Used in the Analysis (N = 4234).

| Variables | Mean/percentage (unweighted) | Mean/percentage (weighted) |
|-----------|-----------------------------|---------------------------|
| Test positive and mental distress variables | | |
| Respondent confirmed/suspected COVID-19 | 1.39 % | 1.58 % |
| Respondent family members confirmed COVID-19 | 4.82% | 5.03% |
| Respondent/Coresident family members confirmed COVID-19 | 5.22 % | 5.41 % |
| Mental distress variables | 11.95 (5.51) | 11.88 (5.66) |
| SES | 5.45 (1.81) | 4.19 (1.83) |
| Indicators constructing SES | | |
| Educational attainment | | |
| Middle school and below | 10.20 % | 38.91 % |
| High school | 17.71 % | 23.80 % |
| 3-year college | 20.88 % | 15.43 % |
| Bachelor degree and above | 48.80 % | 21.86 % |
| Occupation | | |
| Management | 10.68 % | 9.30 % |
| Professionals | 11.31 % | 5.79 % |
| Clerk and office | 5.20 % | 2.42 % |
| Sales and service | 10.53 % | 11.55 % |
| General unskilled workers | 13.89 % | 14.62 % |
| Unemployed/students/retired | 48.39 % | 56.33 % |
| Self-reported class | | |
| Upper class | 9.78 % | 15.40 % |
| Upper middle class | 32.88 % | 36.27 % |
| Middle class | 50.00 % | 42.82 % |
| Lower middle class | 6.75 % | 4.46 % |
| Lower class | 0.59 % | 1.05 % |
| Housing property ownership | 86.51 % | 80.65 % |
| Mediation variables | | |
| Access to daily essentials | 83.82 % | 82.95 % |
| Access to protective gear supplies | 62.90 % | 63.56 % |
| Work type | | |
| Unemployed/students/retired | 48.39 % | 56.33 % |
| Have job and commute to work | 25.70 % | 27.65 % |
| Have job and work from home | 25.91 % | 16.02 % |
| Neighborhood mutual aid organization | | |
| Neighbor WeChat group | 82.99 % | 79.43 % |
| Number of services of neighborhood committee | 4.23 (2.43) | 4.12 (2.50) |
| Control variables | | |
| Age | 37.77 (14.72) | 39.09 (16.77) |
| Female | 56.99 % | 47.72 % |
| Married | 57.58 % | 58.24 % |
| Household size | 3.47 (1.28) | 3.60 (1.44) |
| CCP membership | 23.48 % | 14.51 % |
| Wuhan hukou | 90.41 % | 84.92 % |
| Community lockdown | 89.28 % | 90.51 % |
| Worried about contracting coronavirus | 3.05 (1.27) | 2.98 (1.35) |

Notes: Numbers in parentheses are standard deviations for continuous variables.

daily supplies (food, other daily essentials, etc.)” and, “Does your household have reliable sources of protective gears (masks, disinfection products, etc.)?” The variables are coded 1 if the respondent answered “Yes,” and 0 otherwise.

The second set of mediating variables measures the respondent’s working status during the pandemic. We indicate whether the respondent has a job and whether he or she works remotely at the time of the interview: 1 represents “unemployed/student/retired,” 2 represents “have job and commute to work,” and 3 represents “have job and work from home.”

The last set of mediating variables captures neighborhood characteristics. We first measure the presence of a residential mutual assistance organization in the respondent’s community, which is true for 63 % of the respondents. We create a second variable indicating whether respondents or their family members have joined their community’s

WeChat online social networking group (83 % of the respondents). Finally, we include a variable measuring the number of services provided by the neighborhood organization, including dissemination of information on infection control, regular update on the number of confirmed cases in the community, daily disinfection reminders, body temperature monitoring, free distribution of supplies such as food and masks, assistance in buying food and medicines for residents in need, arrangements for medical treatment and hospitalization, and counseling services. This is a variable ranging from 0 to 8.

Covariates. We control for a series of covariates, as shown in weighted results in Table 1. The average age of respondents is 39. About 57 % of respondents are women, and 58 % of respondents are married. The average household size is 3.6. In addition, 15 % of the respondents are Chinese Communist Party members and 85 % of them have Wuhan hukou. Some 90 % of respondents lived in locked-down communities at the time of the survey. We control for whether the respondent was worried about contracting coronavirus in the mental distress models. This variable is measured by a 5-point Likert scale from least to most worried.

2.3. Empirical strategies

We first use logistic regression models to examine how SES is associated with COVID-19 infection and the risk of infection. We then use OLS linear regression models to study the socioeconomic disparities of individuals reporting mental distress during the pandemic. To address potential endogeneity bias, we conduct additional analyses using seemingly unrelated regressions (SUR) (Zellner, 1962) to jointly model SES and health outcomes, and we obtained similar findings. We report logistic and OLS for the main analyses because they are compatible with the mediation models. Specifically, for mediation analysis, we use the KHB method to decompose the total effect into direct effects and indirect (mediation) effects (Breen, Karlson, & Holm, 2013).

3. Results

3.1. SES disparities in vulnerability to COVID-19

Results are presented in Table 2. Model 1 is based on whether the respondent was confirmed or suspected as having coronavirus or was exposed to significant risk of infection. We see that, net of the controls, for every unit increase on the SES scale (ranging from 0 to 10), the infection risk declines by 18 %. In principle it would be desirable to disaggregate confirmed or suspected as having coronavirus or was exposed to significant risk of infection, and then carry out a multinomial logistic regression to get more nuanced results but that the small fraction of positive cases (1.39 %) precludes this analysis. In Model 2, higher SES is also associated with a lower risk of infection for respondents’ coresident family members (a 10 % reduction). When respondents and their family members are jointly considered (Model 3), the risk is reduced by 12 %. Hence, all three models confirm our hypothesis that SES reduces the risk of COVID-19 infection.

3.2. SES disparities in resilience to COVID-19

Table 3 presents the results regarding the protective role of SES for mental distress. Model 1 investigates the overall relationship. We see that, net of the other control variables, SES is associated with a large reduction in mental distress for the entire sample. Meanwhile, respondents confirmed or suspected of coronavirus infection experienced heightened anxiety and depression. Models 2-4 in Table 3 examine the
possible explanation is that the toll a COVID-19 diagnosis takes on an
individual's mental health is so strong that it cannot be offset by greater
access to protective supplies (7.1 %) relative to access to daily
supplies. This is particularly true for access to protective supplies (7.1 %) relative to access to daily
supplies (42 %).

We further analyze the respective contribution of each select mediating
variable. The results point to three main channels. First, reliable
access to daily supplies is an important mediator. This is particularly true for access to protective supplies (7.1 %) relative to access to daily
d bookstore sale websites.
effects are not statistically significant. Lastly, the effect of SES is channeled through the neighborhood environment (Miao et al., 2021). Higher SES individuals are more likely to have access to neighborhood aid organizations and online community social groups, which may reduce infection risk through resource sharing, information dissemination, and provision of tangible assistance. The number of community services has a relatively small effect.

The lower panel in Table 4 illustrates the mediating mechanisms for the SES effect on mental health. It corresponds to Model 1 in Table 3. The total effect suggests that higher SES individuals are less likely to experience mental health distress during the pandemic. Again, a large proportion of the total effect is channeled through the three domains of mediating factors (46%). Specifically, about 12% and 13% of the effect of SES on mental health is explained, respectively, by more reliable access to daily essential supplies and to protective gear among high-SES individuals. In addition, the working status appears to contribute to 7.3% of the total effect on mental health, but it is not significant. Moreover, the neighborhood environment clearly matters. Higher SES individuals are more likely to live in neighborhoods offering a wide range of assistance and services, which in turn reduces mental distress. This pathway accounts for 16.2% of the total effect. Neighborhood aid organizations and online social groups have relatively limited effects. The coefficients are small and insignificant. One possible explanation is that community networks and organizations may increase anxiety by spreading rumors and negative emotions.

4. Summary and conclusions

This research investigates the impact of SES disparities on infection and mental health during the COVID-19 pandemic in Wuhan, China’s epicenter. Results show that SES shapes both vulnerability and resilience to the pandemic. Higher SES is associated with a lower risk of infection for survey respondents and their co-residing family members. Also, SES shapes health disparities by conditioning mental distress in response to COVID-19 infection, and its role varies by the vulnerability of individuals. The protective effect is especially strong for the most vulnerable, that is, those who are diagnosed with coronavirus or have family members who are. Hence, when individuals of different SES contract the virus, their psychological responses vary substantially: the higher the SES, the better the adjustment and the less severe the emotional problems.

Another contribution of this study is to explore the mechanisms by which SES shapes health inequalities during the pandemic. Mediation analysis demonstrates that the effect of SES operates through three main channels: access to daily essential and protective supplies, job stability...
and mode of work, and community environment. The health premiums experienced by high SES individuals are largely explained by their more reliable access to daily supplies, greater job stability and flexibility, and greater access to communities that provide tangible and intangible resources and support. Overall, these findings provide further evidence for the fundamental cause theory during a pandemic.

Although a pandemic is random in nature, its impact is not universal. Indeed, natural disasters never play the role of an equalizer; instead, they exacerbate pre-existing social inequalities across class, race, and gender. One important reason is that valuable social resources are the primary way to reduce vulnerability and increase resilience, both in the moment of a natural disaster and in the post-disaster recovery and reconstruction process. But for vulnerable groups, they lack these resources to secure life and carry out post-disaster reconstruction. Therefore, in the aftermath of any disaster, it is necessary for governments to pay special attention to the situation of vulnerable social groups and provide them with sufficient valuable resources to ensure life safety and reduce social inequality. In sum, the outbreak of COVID-19 reproduces existing socioeconomic inequalities that manifest in the realm of health.

Declaration of Competing Interest

The authors report no declarations of interest.

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