Infection and Drug Resistance

Immunological Aspects of Diagnosis and Management of Childhood Tuberculosis

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Abstract: The diagnosis of tuberculosis (TB) in children is difficult because of the low sensitivity and specificity of traditional microbiology techniques in this age group. Whereas in adults the culture of Mycobacterium tuberculosis (M. tuberculosis), the gold standard test, detects 80% of positive cases, it only detects around 30–40% of cases in children. The new methods based on the immune response to M. tuberculosis infection could be affected by many factors. It is necessary to evaluate the medical record, clinical features, presence of drug-resistant M. tuberculosis strains, comorbidities, and BCG vaccination history for the diagnosis in children. There is no ideal biomarker for all TB cases in children. A new strategy based on personalized diagnosis could be used to evaluate specific molecules produced by the host immune response and make therapeutic decisions in each child, thereby changing standard immunological signatures to personalized signatures in TB. In this way, immune diagnosis, prognosis, and the use of potential immunomodulators as adjunct TB treatments will meet personalized treatment.

Keywords: TB, immune status, diagnosis, TB-treatment, coinfections, personalized diagnosis

Introduction

Tuberculosis (TB) is a global health problem with presentations and outcomes that differ between children and adults and with children being at a higher risk of rapid progression to active TB. The Calmette–Guerin bacillus (BCG) vaccine confers protection from severe forms of TB in children. However, it does not prevent primary infection and, more importantly, does not prevent reactivation of latent pulmonary infection. The World Health Organization (WHO) estimates that children under 15 years accounted for 11% of all TB cases in 2018. Nevertheless, the global impact of childhood TB has only been estimated from the adult disease, and not adequately assessed in every different pediatric population. Particularly in young children, early recognition of TB is critical to define the patient’s prognosis; thus, clinical trials including pediatric TB patients are necessary to define the best diagnostic and therapeutic approaches.

TB clinical features in children are unspecific, and symptoms can mimic common childhood diseases as bacterial, viral or fungal infections, and malnutrition. The detection of Mycobacterium tuberculosis (M. tuberculosis), an intracellular pathogen that affects mainly the lungs, is a challenging task in children due to the difficulty in accessing biological samples for microbiological tests. Newborns and children up to 2 years old do not produce sputum in the amounts...
required for bacteria identification. Other samples, such as gastric washings, are positive only in 10–15% of samples due to the paucibacillary disease usually presented in children. The culture of *M. tuberculosis* is the gold standard in adults, but, in children, cultures remain negative in around 70% of cases with suspected TB.7

Both biomarkers and immunological signatures based on immune response have been proposed to predict TB susceptibility, diagnosis, and treatment outcomes in children.8 However, immunological signatures of TB based on either gene expression or functional immune pathways are a challenge in children, because of many factors impact on the expression of these biomarkers, including the genetic background, the immune system maturation associated to age and nutrition status, drug-resistant *M. tuberculosis* strains, coinfections, BCG vaccination, and clinical features. The vast array of clinical and immunological features of TB in children points to personalized medicine rather than a one-for-all therapy.

**Diagnosis Based on Clinical Features of TB in Children**

Following pulmonary TB initial infection, the induction of adaptive immunity mediated by a T cell-dependent response limits the infection’s progress. However, several endogenous or exogenous factors might alter the defense mechanisms and favor bacillary multiplication and development of disease.9–11 Initial infection may lead to an acute disease with or without extrapulmonary manifestations, or a latent TB state. The infection in most children remains latent, and if environmental and host conditions are adequate, it can evolve to the active disease at any time of life.11

Diagnosis and disease progression are among the most critical issues in TB in children. The difficulty of distinguishing between latent infection and disease, and the difficulty of microbiological isolation because of children’s paucibacillary condition are significant concerns. TB disease in children is insidious, with nonspecific clinical data and low likelihood of recovering the bacillus; thereby, the difficulties in diagnosis. In children, primary TB is more frequent than reinfection by intra or extramiliary contact with an adult with active disease, and the pulmonary form is the primary clinical expression, although extrapulmonary forms also occur.11,12 The vast array of clinical features contributes to the complexity of the disease.

Children with TB infection may be asymptomatic or with few symptoms. Infants and children >10 years old are more likely to present symptoms, the most common being fever, weight loss, decay, and respiratory manifestations. The latter will depend on the clinical form and the extent of the lesion. In primary TB, symptoms are initially scarce; however, with the enlargement of mediastinal nodes, signs of airway compression, such as cough and wheezing (the main symptoms during this period), may be found; bronchoalveolar rales may present later.13 Physical examination findings vary according to the patient’s age and the timing of evaluation.14 Older children and adolescents may present the primary form or pulmonary TB, similar to adults, with the classic bacillary impregnation syndrome (cough, asthenia, adynamia, anorexia, night sweats, chills, weight loss, and fever), pulmonary cavitation and, exceptionally, hemoptysis. During this period, smear sputum is usually positive. However, some patients might show fewer symptoms, such as chronic cough (frequent in preschool and school children) or otherwise unexplainable weight loss.15–18 The presence of *erythema nodosum*, phlyctenular keratoconjunctivitis, and, less frequently, apathy or recurrent diarrhea that do not respond to usual treatment also suggests TB.11 Most signs and symptoms improve within several weeks of starting treatment, although the cough may last several months. As expected, childhood radiographic TB findings are quite different from those of the adults, especially the size and importance of lymphadenitis.19 Caseous pneumonia is one of the complications of TB, which invades all tissues and occupies lung areas;20 the central lesion comprises softened tissue that can open to draining bronchi and the airways, with the consequent spread to the pleural cavity and the formation of bronchopleural or tracheoesophageal fistulae, pyopneumothorax, acute constrictive pericarditis, or esophagus rupture.21,22

In 2013, the WHO developed guidelines for TB, whose primary goal is to eliminate childhood TB in high-incidence countries. The recommended options include the screening of those children who have had close contact with a TB patient, HIV-infected patients, and those with poor health care access.18 For children, TB is mainly diagnosed by identifying symptoms and HIV status. In low-incidence countries, children with TB are usually identified in one of three ways: suspecting TB as the cause of symptomatic pulmonary or extrapulmonary disease, identifying an asymptomatic child with pulmonary TB during an investigation of contacts from an adult TB
case (50% of children are diagnosed this way in the United States), and running a community or school assessment program for TB. A wrong decision in this step may lead to clinicians and patients to a dreadful prognosis of the disease. Before anti-tuberculosis therapy, the mortality rate for progressive primary pulmonary TB was 30–50%; nowadays, the prognosis is excellent with effective treatment. However, there are significant discrepancies in clinical management guidelines for childhood TB worldwide, enhancing the need for a standard clinical practice based on well-conducted prospective studies.

Because of the variety of clinical manifestations, TB in children would benefit from personalized medicine. Immunodiagnostics has been suggested, but TB in children is often a “silent” infection because of the low inflammatory response. The developing immune system in young children reacts to infection with M. tuberculosis with a broad array of patterns that would help the diagnosis and could also be used as biomarkers to follow the disease progression (Table 1).

The Immune Response as an Immunological Signature in Children with TB

The immune system is a complex interaction of cells that protects the body from microbes. The lung is the entrance of many particles, microbes, and pathogens, where the innate humoral and cellular responses become critical. However, in newborns and infants, the immune response is immature. Following infection, several factors determine the progression to active TB, such as age, nutritional state, BCG status, and genetic defects. i) Age; children under three years are at the highest risk for rapid progression to active TB, while the lowest risk is found between 5 and 10 years - the so-called “safe school years”. The frequency of CD4+ T cells specific for M. Tuberculosis ESAT-6 (Early Secretion Antigen Target-6) is lower in younger children (0–3 years old) than in older children (3–15 years old). During puberty, the risk increases, especially in girls, because the host immunological responses to M. tuberculosis change with age and sexual maturation. Immunity goes from poorly functioning innate cells and a Th2 deviation in young children to an enhanced lymphocyte predominance and a Th1 bias in school children, and a total immune distortion due to sex hormones, assembling a more enhanced inflammatory response and a Th2 deviation in girls. ii) Nutritional state; TB prevalence among acutely malnourished children varies widely (2–24%) and, in these children, a high TB burden and lower levels of interferon-gamma (IFN-γ) in response to TB antigens were associated with malnutrition. BCG vaccination; unvaccinated children have an increased risk for the more severe clinical forms of TB, meningitis, and miliary TB and to develop active TB. iv) Genetic defects or inadequate innate or acquired immune responses are associated with TB development in children. Both the susceptibility to infection with M. tuberculosis and the active TB development in children involve a complex interaction of extrinsic and intrinsic factors, as well as the interaction of the pathogen with the host immune system (Figure 1).

Molecules of the innate and acquired immune system involved in the susceptibility to M. tuberculosis infection have been identified in children with genetic diseases. The Mendelian susceptibility to mycobacterial disease (MSMD) induces high susceptibility to infections by low virulence mycobacteria in children, such as BCG, but not to other pathogens. MSMD is associated with mutations in five autosomal genes: IFNGR (1 and 2), STAT1, IL12B, IL12RB1, and NEMO. These genes are involved in the axes of IL-12/IL-23-dependent and IFN-γ-mediated immunity.

IFN-γ responses to mycobacterial antigens in BCG-vaccinated infants are heterogeneous, with significant individual variation. The natural history of M. tuberculosis-infected children less than one year of age suggests they are at greater risk for progression to active TB than immunocompetent adults. The immature immune response in children has limited capability to control Mycobacterium infection. Children with active tuberculosis are often asymptomatic or have unspecific respiratory signs, and M. tuberculosis tends to grow uncontrolled; after that, the infection in many cases progresses to a caseating lesion, and Mycobacteria can spread, leading to meningitis or miliary disease forms. This occurs more often in infants and young children than in immune-competent adults. In vitro and in vivo studies showed that BCG-infected skin DCs induce the CD4+ and CD8+ T cells activation capable of secreting TNF-α, IL-6, and IL-12. In newborns, BCG-specific CD4+ T cells could be detected in the peripheral blood 3–10 weeks after vaccination. The IFN-γ CD4 T cells are key to M. tuberculosis control, and M. tuberculosis antigens can induce it by vaccination or immune-stimulation.
Table 1  Factors Pointing Toward Personalized Diagnosis

| Standard Diagnosis of Pulmonary TB in Children | Ref. |
|-----------------------------------------------|------|
| **Clinical Features** | **Microbiological Tests Sensitivity** | **DNA Tests (Xpert MTB/RIF) Sensitivity** | **IFN-g Release Assays (QFT-Gold Sensitivity)** |
| <3 years old | Asymptomatic or few symptoms. “Silent” infection. Ghon focus, lymph node disease. | Less of 40%, even in samples derived from gastric aspirates and induced sputum. | Children < 1 year is 75% in gastric aspirates | Only 54% are positively in part by immature immune response. |
| <10 years old | Ghon focus, lymph node disease, bronchial disease. | Sputum smears: about 20%. Cultures: < 40%. | >1–10 years is 100% in gastric aspirates and induced sputum. | About 11–40%. |
| Older children and adolescents | Primary form or pulmonary disease. Classic bacillary impregnation syndrome, cavitation and hemoptysis. | Smear sputum is usually positive | 11–15, is 89% in sputum. | 11–40%. IGRAs may be used to help support the diagnosis of active TB. |

| Conditions that modify TB disease and standard diagnosis tools |
|----------------------------------------------------------------|
| Age | Children under three years are at the highest risk for rapid progression to active TB, they have a paucibacillary condition, non sputum. |
| Nutrition | Malnourished children have high TB burden. Malnutrition is associated with lower levels of IFN-g in response to TB antigens. |
| BCG vaccination | Unvaccinated children have higher probability of developing active TB and more severe clinical forms of TB, meningitis, and miliary TB. |
| Immune status | The disease expression is directly linked to immune function. Neonates and infants have lower capacity of APCs to present antigens to T cells. M. tuberculosis-ESAT-6-specific CD4+ T cells number is lower in younger children (0–3 years old). Children < 5 years old do not produce IFN-g by CD8+ T cells in response to ESAT-6 and CFP-10. |
| Coinfections | Fungal coinfections are frequent in primary immunodeficiency diseases that cause T cell disorders and IL-17 overexpression. Coinfections with non-tuberculous mycobacteria affect 6% of children and may inhibit BCG vaccine effectiveness. Children < 15 years with HIV infection at higher risk of TB infection and active TB development. Human cytomegalovirus coinfection increases risk factors for TB infants. |
| Genetic immunodeficiencies | The Mendelian susceptibility to mycobacterial disease induces high susceptibility to infections. NEMO and five autosomal genes: IFNNGR (1 and 2), STAT1, IL12B, and IL12RB1, are involved in the axes of IL-12/IL-23-dependent and IFN-g-mediated immunity. |

**New immunological tools for diagnosis of TB**

| RNA biomarkers | 10-transcript signature that distinguishes TB in children has 97% of sensitivity. In children < 15 years, a 51-transcript signature of TB risk has 82% of sensitivity. |
| Immunophenotype | TAM-TB assay has a sensitivity of 83% and a specificity of 97%. MAIT cells are lower in children with active TB. |
| MicroRNAs | 14 different miRNAs are down-regulated while miR-29 is up-regulated in children with TB. |

(Continued)
Additionally, *M. tuberculosis* Heparin-Binding Hemagglutinin (HBHA)-specific Th17 subsets have been associated with protection in children under three years, and ESAT-6-TNF-α+CD4+ T lymphocytes have been associated with active TB in children older than three years. The genomics of childhood TB revealed an association of an acquired immune defect with potential failing to contain the pathogen. Multiple genes with lower transcript expression such as T-cell receptor and costimulatory molecules (CD3D, CD3G, TRCα) and downstream signaling molecules (LAT, LCK, ITK, Ras-GRP, and NFAT) have been identified in children with acute TB, suggesting a defect in acquired immunity. Since innate and adaptive cellular immune responses and external factors are critical for the development of active TB, identifying specific immune responses as a signature will help resolve TB’s main issues (Figure 1, Table 1).

### Coinfections Modify the Immune Response to TB in Children

The host immune response induced by *M. tuberculosis* infection is modified by other concomitant infections caused by bacteria, viruses, and fungi. Once the antibiotic treatment of TB starts, fungal, viral, and non-tuberculosis mycobacterial infections are the most frequently diagnosed comorbidities. Other factors that may affect the immune response to *M. tuberculosis* are the extensive use of antibiotics or steroids, the presence of genetic immunodeficiencies, or chronic diseases. Thus, coinfections may change the immunological signature associated with TB and hinder the use of biomarkers associated with successful TB treatments.

Coinfections with non-tuberculous mycobacteria (NTM) include many environmental mycobacterial species such as *M. avium* complex, *M. kansasii*, *M. abscessus*, *M. chelonae,*...
M. fortuitum, M. terrae, M. xenopi, M. simiae, M. szulgai, and M. malmoense. NTM and M. tuberculosis share microbiological attributes, induce similar immune responses and have overlapping clinical manifestations.\textsuperscript{36} NTM account for 6\% of cases in children investigated for pulmonary TB in South Africa.\textsuperscript{38} Additionally, it has been reported that high levels of exposure to NTM correlate with lower immune IFN-γ responses to BCG vaccination, suggesting that NTM may inhibit BCG’s effectiveness.\textsuperscript{39} Thus, coinfection with NTM suggests an impairment of the immune response in TB.

Coinfection with fungi is frequent in immunodeficiencies. For example, the chronic granulomatous disease is an inherited primary immunodeficiency disease that presents T cell disorders and IL-17 deficiencies, leading to increased susceptibility to mycobacterial and fungal infections, suggesting similarities in their immunopathogenesis.\textsuperscript{40} These fungal pulmonary infections may be primary and secondary in TB infection.\textsuperscript{37} The clinical symptoms of pulmonary TB coinfection overlap with those of some pathogenic fungi, including lung infection, metabolic features, innate immune receptors, and the ability to form granulomas.\textsuperscript{37} In many cases, overlooked fungal pulmonary infections due to the lack of specific clinical manifestations cause a high rate of morbidity and mortality.\textsuperscript{41} Candida albicans is the most prevalent fungal organism with the ability to cause infections, and prevalence of 15–32\% was reported in different studies of pulmonary TB coinfection with Candida sp.\textsuperscript{42} Other fungi, such as Aspergillus niger; A. fumigatus, Histoplasma capsulatum, and Cryptococcus neoformans are the leading causes of fatal lung infections in patients previously affected by pulmonary TB.\textsuperscript{43} Aspergillus sp. does not usually cause disease but, in immunocompromised patients or individuals who have had TB, it may cause severe disease. Given that the symptoms of chronic pulmonary aspergillosis are very similar to TB symptoms, it can often be misdiagnosed; unfortunately, the infection can grow steadily and undetected for years, and its treatment is rarely successful. Therefore, timely diagnosis of fungal diseases is of great importance when treating TB patients.\textsuperscript{44}

Another human fungal disease is coccidioidomycosis, which may have similar clinical and radiologic presentations to TB but requires different treatment. Coinfection with TB and Coccidioides immitis is uncommonly reported,\textsuperscript{45} but it can also present as a life-threatening disease when the fungal cells disseminate to the skin, bone, and central nervous system. The outcome of coccidioidomycosis is determined mainly by the nature of the host immune response to the infection.\textsuperscript{46,47}

Viral coinfections are common comorbidities in children with TB; in children <15 years, HIV infection increases the risk of TB infection and the development of active TB. There are also changes in the host immune response in HIV coinfection. HIV infects cells of both the innate and adaptive immune system, such as CD4+ T cells, macrophages, dendritic cells, and neutrophils, and alter cytokines and chemokines production, which may impact on susceptibility to TB infection, progression and severity of the disease.\textsuperscript{48} Additionally, this susceptibility to TB infection with HIV coinfection has also been associated with myeloid-derived suppressor cells (MDSC), which can down-regulate T cell proliferation. Additionally, high levels of MDSC are present in HIV-exposed uninfected infants, who are known to have an increased susceptibility to TB, suggesting a potential mechanism of these cells to trigger TB susceptibility.\textsuperscript{49}

Influenza A virus and TB coinfection has been frequently identified among adults and children, and is associated with increased morbidity and mortality.\textsuperscript{50} Moreover, influenza-related alterations in the host immune response to M. tuberculosis have been reported in mice, as prior exposure to influenza A leads to enhanced mycobacterial growth and decreased survival. Following M. tuberculosis/influenza virus coinfection, mycobacteria grow in a type I IFN signaling-dependent manner.\textsuperscript{51} However, a meta-analysis of 19 studies showed no conclusive results about severe influenza being a risk factor for increasing the prevalence of TB.\textsuperscript{52}

Chronic exposure to antigens from persistent viral or bacterial infections can result in sustained T cell activation and dysfunction of antigen-specific T cells.\textsuperscript{53} Human cytomegalovirus (HCMV) and Epstein-Barr virus (EBV) may also activate T cells.\textsuperscript{54,55} Moreover, both HCMV infection and immune activation have been identified as risk factors for TB. It is unknown whether other herpesviruses are also implicated in TB risk.\textsuperscript{56} However, there is epidemiological evidence of elevated HCMV-specific IgG in persons with TB, and a link between increased latent and active HCMV infection has been reported in patients with TB and NTM disease.\textsuperscript{56–58} HCMV and immune activation have also been identified as risk factors for TB in infants,\textsuperscript{59} particularly in developing countries, such as sub-Saharan Africa, with high HCMV prevalence.\textsuperscript{56} Interestingly, HCMV-specific IFN-γ response has been associated with an increased risk of developing TB disease and shorter time to TB diagnosis. HCMV+ infants who developed TB disease had lower expression of NK cell-associated gene
signatures and a lower frequency of CD3+CD4+CD8- lymphocytes CD16+ and CD16- NK cells in infants who develop TB disease in the next 3 years when compared with HCMV+ infants who do not develop disease.\textsuperscript{59} Understanding the interplay between TB and fungal and viral infections is essential to develop diagnostic methods based on immunological signatures in children with TB (Table 1).

**Advances in Immunodiagnostic Techniques for TB in Children**

Since 2016, the WHO alerted on the urgent need to develop diagnostic methods complementing the clinical diagnosis for TB in children, particularly in endemic countries with harsh socioeconomic conditions, where the disease may be under-diagnosed and associated with higher morbidity and mortality. Two main obstacles in the diagnosis of TB in children are the availability of adequate samples from respiratory secretions and the low number of bacilli present in such secretions. Indeed, more than two-thirds of all cases of TB in children are either not diagnosed or not reported, which may partially explain why 96% of the 239,000 children who died of TB in 2015 lacked treatment.\textsuperscript{61}

Among infected children, the risk of developing the clinical disease is around 50% in infants and 25% in children between 2 and 5 years old.\textsuperscript{62} The diagnosis of TB in children continues to be a challenge both at the clinic and laboratory. Although testing based on host immune response looks promising, these technologies are still at an early stage. For example, none of them has proven to distinguish between latent and active TB disease or, even worse, between active TB and other respiratory infections.

The microbiologic diagnosis of TB has been traditionally based in smear microscopy and conventional cultures using solid media, such as Löwenstein-Jensen, and is still the gold standard for diagnosis. It usually involves a proactive search for high-quality samples from the infected site, which can include sputum, pleural effusions, lymph node aspirates, cerebrospinal fluid, tissue biopsies, gastric aspirates, and bronchoalveolar lavage.

Notwithstanding the prominent role of microbiological tests, culture time, positivity, and sensitivity continue to be major challenges. For example, the sensitivity of sputum smear microscopy, the most common technique, is less than 15%. The sensitivity of cultures, although somewhat higher, rarely goes over 40%, even on samples derived from gastric aspirates and induced sputum.\textsuperscript{63} While in adults with pulmonary TB, positivity to acid-fast bacilli (AFB) staining of sputum smears can be as high as 75%, it is only about 20% in children.\textsuperscript{64}

It seems indisputable, therefore, the need to develop diagnostic tests that are rapid, sensitive, and specific enough to lead to an early diagnosis and treatment of children with both pulmonary and extrapulmonary forms of TB. Besides sputum smear and microbiological culture, the current approved diagnostic tools include DNA amplification tests, antibody or antigen detection systems, and IFN-\(\gamma\) release assays (IGRA) (Figure 2, Table 1).

**DNA Amplification Tests**

Molecular tests such as polymerase chain reaction (PCR) are very sensitive, although they frequently require sending samples to distant referral laboratories. The Xpert MTB/RIF, and the new version Xpert MTB/RIF Ultra, are nucleic acid amplification tests. They are run in an automated real-time PCR system that simultaneously detects both \textit{M. tuberculosis} complex and rifampicin resistance in less than two hours, which has proven more sensitive, specific, and rapid than traditional smear microscopy and cultures on solid media. However, it is subject to the same constraints related to the obtaining of adequate sputum samples.\textsuperscript{65} For this reason, several studies are evaluating \textit{M. tuberculosis} detection in stool samples as an alternative, as it is less invasive and more convenient for young children. McLean et al recently published a review of nine studies performed on 1681 stool samples processed with the Xpert MTB/RIF, where a sensitivity of 67% and a specificity of 99% was reported against the standard microbiological reference tests.\textsuperscript{66}

TrueNAT is a new chip-based in real-time PCR testing that detects TB bacilli in sputum in one hour. TrueNAT sensitivity is 96% compared to XpertMTB/RIF and 86% when compared to standard cultures.\textsuperscript{67} Some studies have found the sensitivity of XpertMTB/RIF and the new version, Xpert MTB/RIF Ultra, to be 63% and 74%, respectively.\textsuperscript{68,69} The use of these technologies may improve detection rates of TB in the pediatric population (Table 1).

**Antibody Detection Tests**

Traditionally, antibody detection tests have been designed to detect antibodies against \textit{M. tuberculosis}-secreted antigens in the serum of patients with active TB. Antibody detection has high specificity, but the sensibility depends on several factors. The type and number of antigens used for detection determine the test’s sensibility; the more the
antigen’s number, the higher the sensibility.70,71 The stage of the disease and the age of the patient also affect the sensibility of the test. Antibody detection has lower sensibility in children (25–44%) than in adults (52–85%), depending on the antigens used. This is probably associated with the children’s paucibacillary condition; the test has a higher sensibility when the bacillary loads are higher.72 Assessing humoral immune responses for 4 recombinant antigens significantly increases the sensibility of serodiagnosis of tuberculosis.73,74

The Antibodies from Lymphocyte Secretions assay (ALS) is a new serologic method to diagnose active TB and to assess treatment efficacy in children.75 ALS is based on the detection of BCG-IgG specific antibodies released by cultivated plasma cells from peripheral blood. In adult patients, ALS is highly sensitive and specific for the diagnosis of active TB. In children with clinically diagnosed TB, BCG-IgG levels derived from plasma cells were significantly higher than those in children without clinically active TB and healthy children. Concordance between ALS and clinical diagnosis was 72%, although concordance was better for children older than five years. It was also observed that after six months of treatment, there was a significant decrease in ALS titles in all children with TB, suggesting that the test may be useful in monitoring therapeutic responses in children with TB.76

Since humoral responses to mycobacterial antigens tend to be lower in children than in adults, the serological tests’ sensitivity may be affected. However, the ALS assay, can leverage on a greater number of cells to yield higher IgG titers, which is an advantage over serum-based ELISAs. Furthermore, ALS titers are higher than average in subjects testing negative for the tuberculin skin test (TST), which suggests that B-cell function remains relatively unaffected. ALS, therefore, could be particularly useful in immunocompromised patients.

Mycobacterial Antibodies Secreting Cells assay (MASC) is another assay that measures a continuous immune activation of peripheral blood mononuclear cells against TB through conventional cultures deprived of antigenic stimulation. This assay has proven useful as a diagnostic tool for TB among adults.76,77 The MASC response, ferritin, and C-reactive protein (CRP) were significantly higher in children with TB than in age-matched healthy controls. The sensitivity and specificity of MASC were 78% and 86%, respectively, while its overall positive predictive value was 69%. The sensitivity and specificity were 89% and 75% for ferritin, and 66% and 83% for CRP. Interestingly, MASC results are not affected by age,
sex, or nutritional status. The authors recognize, however, that it is important to assess other candidate TB antigens to improve MASC’s utility\(^7\) (Table 1).

**M. tuberculosis** Antigen Detection Tests

A few antigens of *M. tuberculosis* have been detected in blood, sputum, urine, gastric aspirates, and bronchoalveolar lavage. Lipoarabinomannan (LAM), a component of the *M. tuberculosis* cell wall, has been proposed as a biomarker of TB, but its sensitivity is low.\(^7\) The complex *M. tuberculosis*-Ag85 is a family of three proteins of 30–32 kD (Ag85A, Ag85B, and Ag85C). However, the detection of Ag85 in blood and urine yields highly variable results across several studies.\(^8\) Although LAM testing in urine is useful for the diagnosis of TB in adults, its value in children remains uncertain. In a South African study performed in children younger than 15 years with microbiologically confirmed TB infection, the sensitivity and the specificity of the test were low, and the authors recommended not to use it for the diagnosis of TB in children.\(^9\) In a study performed with 61 children younger than 14 years with clinically suspected TB in Indonesia, the detection of LAM in urine had a sensitivity of 83% and a specificity of 85%, concluding that ELISA for urine LAM was a valuable diagnostic test for TB in children;\(^10\) however, in this study, only 42.9% of the subjects had microbiologically confirmed TB.

**IFN-γ Release Assays**

Effector T cells react to *M. tuberculosis* antigens by producing IFN-γ. The widespread recognition of the critical role of IFN-γ in the regulation of cell-mediated immune responses against *M. tuberculosis* has led to the development of interferon-gamma-release assays (IGRA) for the diagnosis of *M. tuberculosis* infection.\(^11\) Two assays are commercially available: the QuantiFERON-TB Gold and its more recent variant, the QuantiFERON-TB Gold in-Tube (QFT-GIT), and the T-SPOT-TB.\(^12\) These assays measure IFN-γ production in whole-blood in response to specific *M. tuberculosis* antigens like ESAT-6, CFP-10, and TB7.7. These assays are still controversial in children since the response of effector T cells is not as intense, probably due to the poorer clinical conditions of children with TB or their immature immune system.

The QFT-GIT assay has been evaluated in children in India. In one study, QFT-GIT showed a sensitivity of 51.2% for detecting *M. tuberculosis* infection compared with 11% for solid culture, 12.1% for the tridimensional system BacT/ALERT, an automated microbial detection system based on liquid culture, 19.5% for Ziehl-Neelsen (acid-fast bacilli) staining, and 45.1% for PCR.\(^13\) Another study conducted in India evaluated the concordance between QFT-G and TST in children vaccinated with BCG, aged six months to 15 years, with suspected TB infection or as a contact of a patient with active TB. They did not find concordance between TST and QFT-GIT, but the specificity for TST was twice that of QFT-GIT. Because of the lack of concordance between those methods, and despite the cross-reactivity with environmental mycobacteria and BCG from vaccination, TST should remain the preferred test for *M. tuberculosis* in children living in endemic areas.\(^14\) In countries where newborn BCG vaccination is a routine, IGRA would be better to diagnose latent TB. On the other hand, it has been observed that IFN-γ production as response to the mitogen of a positive control is lower in children younger than four years.\(^15\) Concordance of TST and QFT-GIT is low for children under four who have been vaccinated with BCG. These observations highlight the need for further studies in larger pediatric groups across several age ranges (Table 1).

The IFN-γ-induced Protein 10 (IP-10) is a chemokine expressed by many antigen-presenting cells. Viral and bacterial infections are known to be associated with IP-10 levels that are higher than IFN-γ, which suggests that it can be a promising diagnostic marker in some infectious diseases like TB. However, studies in children are still scarce. One was conducted to compare the ability of an IP-10 ELISA test to detect active and latent TB with that of QFT-GIT, and T-SPOT TB. The sensitivity of the latter two tests was 91.7% compared with 66.7% for the IP-10 assay. Based on data reported for IP-10 production versus IFN-γ after stimulation by *M. tuberculosis*-specific antigens,\(^16\) the higher secretion of IP-10 should allow the use of smaller volumes of blood. Because it is a simple ELISA for a single analyte, it would make an easier-to-implement and less costly system at the laboratories. The performance of the IP-10 assay, QFT-GIT, and T-SPOT TB in diagnosing latent TB is similar. Thus, IP-10 could be used as a biomarker for TB in children, and the combination of these biomarkers could increase diagnostic tests’ overall sensitivity.\(^17\)
New Immunological Tools for Diagnosis and Biomarkers Discovery

The advancement of technological resources has provided a different set of tools to make a diagnosis while taking advantage of the immunological features for prognosis and biomarkers discovery. For a signature to become a biomarker, it should reliably indicate (or predict) biological conditions such as protective immunity, disease susceptibility, and pathology. Transcriptomics has contributed to the development of RNA diagnostic biomarkers; immunophenotyping has identified cellular markers for diagnosis and disease progression; and the discovery of microRNAs has identified signatures with diagnostic, predictive, and prognostic value in TB.

RNA Biomarkers

Ex-vivo analysis of RNA expression in host blood may represent a first step towards the identification of markers that could simplify the search for transcriptional signatures relevant to TB. Two recent studies conducted in India explored the value of the Dual-Color Reverse Transcriptase Multiplex Ligation-dependent Probe Amplification assay (dcR-MLPA), which evaluates the expression of multiple genes with a sensitivity comparable to real-time qPCR at a lower cost, to identify diagnostic biomarkers across the whole spectrum of childhood TB and healthy household contacts. A total of 49 mRNA were analyzed from 127 blood samples from children between 6 months and 15 years. Compared with TST negative children (uninfected controls), children with microbiologically confirmed TB overexpressed seven genes (CD14, FCGR1A, FPR1, MMP9, RAB24, SEC14L1, and TIMP2) and showed downregulation of five more (BLR1, CD3E, CD8A, IL7R, and TGFBR2) establishing a diagnostic signature. They found biomarkers that differentiated between latent TB and active TB but not between latent TB and uninfected controls. The second study found a 10-transcript signature where GBP5, IFITM1/3, KIF1B, NLRP3, NOD2, and TNIP1 were up-regulated, while IFNG, NLRP1, TAGAP, and TGFBR2 were downregulated, and that distinguished TB in children from age-matched healthy controls with a sensitivity and specificity higher than 97%. Another study using a transcriptomic microarray, performed in African children younger than 15 years, reported a 51-transcript signature of TB risk that had a sensitivity and specificity of over 82%. When compared to the Xpert MTB/RIF real-time PCR assay, which was highly specific, the transcriptional signature was more sensitive. This 51-transcript signature also identified TB from other infectious diseases (Table 1). The applicability of transcriptional signatures to clinical care and patient management is only starting to be explored with many obstacles still to be overcome. Probably the most pressing is the requirement of costly devices that can detect multitranscript signatures.

Immunophenotype

Multiparametric flow cytometry allows the evaluation of the phenotype of the cells that contribute to the immune response against TB, which has been proposed as a diagnostic tool. In a study conducted in Tanzanian children with symptoms of TB, cells from peripheral blood and sputum were obtained to assess the T-cell Activation Marker assay (TAM-TB). TAM-TB measures CD27 expression in IFN-γ-producing CD4+ T cells that release IFN-γ when stimulated by \( M.\) \textit{tuberculosis} antigens like ESAT-6 and CFP-10. The TAM-TB assay has a sensitivity of 83% and a specificity of 97%. The clinical relevance of the TAM-TB assay lies in its ability to provide results in 24 hours and with a sensitivity comparable to that of \( M.\) \textit{tuberculosis} culture.

The Mucose-Associated Invariant T cells (MAIT) are innate, non-conventional T lymphocytes that express a partially invariant TCR (Va7.2-Ja33/20/12). Upon infection, MAIT cells quickly release pro-inflammatory cytokines such as IFN-γ, TNF-α, and IL-17, promoting the activation of other cells. In healthy subjects, MAIT cells are abundant in peripheral blood, liver, respiratory, and intestinal mucosa. In the blood of patients with bacterial infections, they are less prevalent, suggesting their active recruitment at the site of infection. The exact role of MAIT cells in \( M.\) \textit{tuberculosis} infection has not been elucidated. However, MAIT cells (defined as CD3+ CD4 – CD161high Va7.2+ T cells) are lower in children with active TB than in those with latent TB or healthy controls, suggesting that MAIT cells may be necessary for preventing TB disease progression in children (Table 1). Immunophenotyping will contribute biomarkers for diagnosis in different stages of the disease.

MicroRNAs

Recent studies point out that microRNAs (miRNAs) are regulators of innate and adaptive immune responses against \( M.\) \textit{tuberculosis}. MiRNAs could potentially have
a diagnostic, predictive, and prognostic value in TB. Abnormal levels of miRNAs have been reported in adults infected by *M. tuberculosis*. To determine the diagnostic value of miRNA in children with TB, an analysis of 29 miRNAs revealed 14 of them as critical. Expression of miR-1, miR-155, miR-31, miR-146a, miR-10a, miR-25b, and miR-150 (as validated by RT-qPCR) was reduced, while expression of miR-29 was increased in children with TB compared with non-infected children. This study was one of the first studies to identify the circulating miRNA profile in children with TB and one of the first to suggest that miRNAs testing has the potential to become a novel, effective and non-invasive tool for early diagnosis of TB in children.\(^\text{97,98}\) (Table 1).

### The Impact of Immune Status on Treatment Regimens

Multiple therapeutic regimens have been evaluated in children, both in post-exposure prophylaxis and in disease treatment, but were never compared. Childhood exposure might only be treated with 6 to 9 months of daily isoniazid, four months of daily rifampicin, or three months of daily isoniazid and rifampicin, regardless of drug costs and adverse events in different country settings, where TB prevalence plays a determinant role in defining optimal prevention strategies.\(^\text{99}\) Drug safety and effectiveness in children also represent an information gap regarding the diversity of adult regimes for drug-sensitive and drug-resistant TB. Diverse clinical forms in childhood TB enhance the treatment choice dilemma, suggesting that patients with isolated lymph node or pulmonary disease might require only a short treatment with few drugs; meanwhile, complicated or severe TB clinical forms might need a more prolonged therapy with more drugs.\(^\text{99–101}\) Preclinical models of each type of TB presentation, supported by new diagnostic tools, such as mass spectrometry, dynamic positron emission tomography biomaging, and pharmacokinetic modeling, will give access to remarkable information for clinical trials design and development, solving the divergences in clinical decision making.\(^\text{102}\) Current guidelines for the management of TB in children contemplate regimes adjusted to sex, age, weight, and drug-sensitivity.\(^\text{103}\)

Beyond the differences in demographics, drug-sensitivity, and coinfections that define the initial therapeutic regimens, the building up of immunological evidence supports the use of personalized medicine. The concept of personalized medicine develops the finer sub-classification of disease to add repeated monitoring of disease markers to enable tailoring of treatment to individual response incorporating a more precise biological stratification, that is finely adjusted to individual genetic and social factors.\(^\text{104}\) Some immunological traits were mentioned in the previous sections. Nonetheless, the initial immunological approach should include the evaluation of immune cell numbers and function, antibody and complement studies, and immunoglobulin levels to rule out primary immunodeficiencies syndromes.\(^\text{105}\)

Specific immunological defects involved in response to TB have been extensively described,\(^\text{106–108}\) concluding that the IL-12/IL-23 axis is the most critical antimycobacterial response, but the involvement of other molecules such as CD40 and CD11c\(^\text{109}\) may also play a role in TB immunity. Other immune diseases, such as chronic granulomatous disease and hyper IgE syndrome, show a defective killing of intracellular pathogens related to reactive oxygen species production.\(^\text{106,107}\) These immunodeficiencies could be suspected within the clinical evaluation of “normal” mycobacterial infections, unusual localization, or unusual pathogen species of infectious diseases, as well as recurrent or prolonged infections that do not respond to adequate antibiotic therapy,\(^\text{106}\) and some of them can be addressed by supplementing with the recombinant form of the defective molecule.

One of the most recent advances in infectious diseases is the immune checkpoint blockade, extensively studied in cancer therapy.\(^\text{110}\) Some studies have focused on TB treatment, supporting a potential benefit of a monoclonal antibody blockade of Programmed death ligand-1 (PD-1), a specific T cell molecule involved in response to TB antigens (production of cytokines and proliferation of active circulating T and NK cells) whose inhibition would enhance mycobacterial clearance, limiting lung tissue damage.\(^\text{110}\) Immune blockades are particularly important in drug-resistant TB or HIV-TB patients, where IFN-\(\gamma\), IL-2, and TNF-\(\alpha\) are produced by TB-specific CD4+ T cells, and PD-1 blockade increased the number of regulatory T cells and recruited mesenchymal stem cells,\(^\text{111,112}\) whereas mucin domain-containing protein 3 (TIM3) blockade restored T cell function and improved mycobacterial control in infected mice.\(^\text{113}\)

The treatment issues are usually focused on drug availability, lack of pediatric formulations, and trouble with patients’ follow up and adherence to treatment.\(^\text{99,103,114}\)
More clinical trials are needed to comprehend the extent of the effect of these therapies. Displaying the individual response to treatment, according to genetic, immunological, or environmental factors, would be valuable information for clinicians in order to consider drug changes, treatment duration, or the use of adjunct therapies. As the outcome of infection depends on the immune pathways activated by the pathogen, other factors affecting disease development should be taken into consideration in young children in high TB-burden settings. For instance, the pre-existing environment, coinfections, and the sexually distinct activation pathways (ie, females elicit a more controlled response to Th1-inducing pathogens, and males, although generally immunosuppressive, are likely to elicit an exaggerated response to Th1-inducing pathogens), would likely impact the treatment outcome.27 Probably a more generic immunomodulator approach, discussed in the following section, would address most of the disease conditions.

**Immunomodulators Towards Personalized TB Treatment**

Immunomodulatory agents are widely used in everyday practice to correct a patient’s digressed immune functions.115 The overlong duration of treatment, potential drug toxicity, drug interactions with HIV medications, and increasing rates of drug resistance poses a challenge for TB treatment’s efficacy. Therefore, strategies to accelerate recovery and reduce treatment duration are much needed. An example of such strategies is the development of adjunct immunotherapies. TB is often associated with immune dysregulation; thus, modulating immune reactivity is required to achieve the eradication of the pathogen and to prevent stable infection.116 Innate immunity activators are good candidates for this approach because they are predominantly immunostimulatory, and in this way, they would repair the immune dysregulation which develops in the patient. Nonetheless, very few trials have been conducted to evaluate the use of immunomodulators as an adjunct therapy in adult or childhood TB.

Probably, the most studied immunostimulant for TB is vitamin D. Several studies suggest that vitamin D plays an essential part in the treatment of TB as an adjunct to antimicrobial treatment because it decreases the duration and improves the effectiveness of treatment.117–119 Vitamin D represses IL-12 signaling, upregulates the expression of the antimicrobial peptide LL-37, which mediates *M. tuberculosis* killing, and promotes autophagy in a Toll-like receptors-dependent manner.120–122 *In-vivo* studies in humans have been unable to undoubtedly establish vitamin D as an effective immunostimulant for the eradication of TB in adults and children, probably because of the inconsistency in study designs.123–125 However, the general opinion is that vitamin D deficiency increases the risk of tuberculosis progression and that supplementation improves the immune response.

Different types of compounds and schemes have been tried in humans. A combination of BCG, diphtheria and tetanus vaccines, together with levamisole, has been used as an adjunct treatment for osteoarticular TB in patients unresponsive to standard chemotherapy. This immunotherapy was administered only for the initial eight weeks simultaneously with conventional antituberculosis therapy, and 70% of the patients had a clinical-radiological healing response by five months.126 Because of its anti-inflammatory and immunomodulatory effects, thalidomide has been administered as an adjunct treatment in children with tuberculous meningitis, showing clinical improvement with potential anti-inflammatory effects, but there were not enough data to reach conclusive results.127 An immunomodulator derived from the pooled blood of HBV and HCV-positive donors, which following chemical and heat inactivation was formulated into an oral pill, was used as adjunct immunotherapy in recently-diagnosed, relapsed, and drug-resistant TB patients with a resultant clinical and radiological improvement, and negative smear conversion in 96.3% of the subjects.128

Childhood TB is difficult to diagnose, to treat, and to follow; probably, that is the reason for the lack of information regarding immunomodulation as an adjunct therapy. However, the importance of immunomodulators in children could be gathered from the treatment of recurrent respiratory tract infections (RRTIs). Children with RRTIs are a great challenge for physicians, from a therapeutic and preventive point of view, just like TB. The majority of children with RRTIs do not have recognized immunodeficiencies, but RRTIs may be attributed to their immature immune system and environmental factors. Therefore, immunomodulatory agents are widely used in these patients.129 Several types of immunomodulators have been used in the treatment and prevention of RRTIs. A few include Imunogluken P4H®, an insoluble β-glucan isolated from *Pleurotusostreatus* combined with vitamin C;115,129 Pidotimod (PDT, 3-L-pyroglutamyl-L-thiazolidine-4-carboxylic acid), which is a synthetic dipeptide molecule exerting effects on both innate and
adaptive immunity,\textsuperscript{130} and even probiotics have been used in children, adults and elderly patients with respiratory infections.\textsuperscript{131–133} All these immunomodulators are useful to activate the host immune system in both non-specific and specific way, thereby increasing resistance against invading pathogens, decreasing the frequency of flu and flu-like disease, and the number of lower respiratory tract infections, and reducing the relapses of RRTIs and the use of antibiotics.

Bacterial immunomodulators are other type of molecules widely used in clinical practice that, when administered orally, follow the route of naturally evoked mucosal immune responses. They are absorbed in the intestine generating the immune responses within mucosal tissues that extend to other organs including, lungs.\textsuperscript{134,135} Bacterial immunomodulators are commercially available as OM-85 BV (Broncho-Vaxom), LW 50020 (Luivac), ISMIGEN, and Ribosomal extract (Ribomunyl); they consist of bacterial cells killed and subjected to mechanical, chemical, or enzymatic lysis or of isolated bacterial organelles. Usually, they are mixtures of several bacterial species most frequently responsible for respiratory tract infections, including \textit{Staphylococcus aureus}, \textit{Streptococcus pyogenes}, \textit{S. viridans}, \textit{S. mitis}, \textit{S. pneumoniae}, \textit{Klebsiella pneumoniae}, \textit{K. ozaenae}, \textit{Moraxella catarrhalis}, \textit{Haemophilus influenzae}, and \textit{Diplococcus pneumoniae}.\textsuperscript{136,137} Depending on the dosage, formulation, and method of administration, bacterial immunomodulators activate the expression of proinflammatory cytokines in macrophages and monocyte cells, mainly IFN-\textgreek{y}, IL-2, IL-1, IL-6, IL-8, and TNF-\textgreek{a}, to activate NK cells and induce high levels of total and antigen-specific IgA, IgG and IgM, and induce the production of antimicrobial peptides.\textsuperscript{138–142} Bacterial lysate therapy reduces the frequency, duration, and severity of respiratory (upper and bronchopulmonary) tract infections, the frequency of asthma attacks, and the duration of coughing, wheezing, and use of antibiotics in children.\textsuperscript{137,143–146}

Though very little has been done for childhood TB immunomodulation, bacterial immunomodulators are probably the most promising candidates in this regard. The main pending issues are that studies in healthy children are not available, high-quality clinical trials are needed, and each decision to initiate immunotherapy should be preceded by evaluating quantitative and functional efficacy of the immune system at the individual level, pointing again towards personalized medicine. We recently proposed a simple method to assess the immune status of children that could provide information on whether a patient should receive immunotherapy together with their standard antituberculosis regime.\textsuperscript{147} When immune profiles are available, it would be straightforward to determine whether a patient needs an immunostimulant, an immunosuppressor, or the supplementation of the absent immune effector.

**Concluding Remarks**

TB diagnosis is difficult in pediatric patients with the currently available microbiological tests. Since children are asymptomatic in most cases, diagnosis by clinical features is complicated. The efforts to find an ideal biomarker for TB diagnosis and treatment outcomes in children is a challenge; it should meet many criteria: 1) Be measurable in small volume samples that should be easily obtained, such as blood, urine, feces, or saliva. 2) Show high sensitivity and specificity for \textit{M. tuberculosis}, regardless of age group, nutritional status, or coinfections. 3) Discriminate between children with active TB and those with a latent TB infection or other respiratory infections. 4) Be supported by multicentric, geographically diverse trials that include communities with high endemicity, so that tests found useful could be applied in multiple pediatric subpopulations. Unfortunately, no ideal biomarker exists, and it is complicated to find a biomarker that suits all cases because the immune signature is modified by a complex interaction of intrinsic and extrinsic factors like age and coinfections. Alternatively, a new strategy, based on personalized medicine, could be useful to evaluate specific molecules produced by the host immune response. With the transformation from the standard immunological signatures to personalized TB signatures, physicians could exert therapeutic decisions, including the use of immunomodulators as an adjunct therapy for each child.

**Abbreviations**

AFB, acid-fast bacilli; ALS, Antibodies from Lymphocyte Secretions assay; BCG, Calmette–Guerin bacillus; CFP, Culture Filtrate Protein-10; CRP, C-reactive protein; ESAT-6, Early Secretion Antigen Target-6; HBHA, Heparin-Binding Hemagglutinin; IFN-\textgreek{y}, interferon gamma; IGRA, IFN-\textgreek{y} release assays; IL-IRA, interleukin 1 receptor antagonist; IP-10, IFN-\textgreek{y}-induced Protein 10; LAM, Lipoarabinomannan; MAIT, Mucose-Associated Invariant T cells; MASC, Mycobacterial Antibodies Secreting Cells assay; miRNAs, microRNAs; MSMD, Mendelian susceptibility to mycobacterial disease; \textit{M. tuberculosis}, \textit{Mycobacterium tuberculosis}; PD-1, Programmed death ligand-1; RBTIs, recurrent respiratory tract infections;
TAM, T-cell Activation Marker; TB, tuberculosis; TIM3, mucin domain-containing protein 3; TNF-α, tumor necrosis factor-alpha; TST, tuberculin skin test.

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All authors made a significant contribution to the work reported; took part in drafting, revising or critically reviewing the article; gave final approval of the version to be published; have agreed on the journal to which the article has been submitted; and agree to be accountable for all aspects of the work.

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