Effects of propofol and sevoflurane on tumor killing activity of peripheral blood natural killer cells in patients with gastric cancer

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Abstract
Objective: This study aimed to investigate the effects of propofol and sevoflurane on cytotoxicity of natural killer (NK) cells in patients with gastric cancer.

Methods: Patients with gastric cancer were anesthetized by propofol or sevoflurane. Peripheral blood NK cells were isolated and co-cultured with BGC-823 gastric cancer cell culture supernatant, and the rate of apoptosis and effector molecules were analyzed by flow cytometry. Effects of propofol and sevoflurane on NK cell function and SMAD4 protein expression were investigated.

Results: Cytotoxicity of NK cells in patients with gastric cancer was inhibited before surgery, but it was enhanced in patients who were anesthetized by propofol compared with those who had sevoflurane. In vitro co-culture with BGC-823 cells significantly inhibited the cytotoxicity of NK cells, which was abolished by treatment of propofol or transforming growth factor (TGF)-β1. SMAD4 protein expression in the NK cell nucleus was significantly downregulated by TGF-β1 treatment and BGC-823 supernatant co-culture, and this expression could be restored by propofol.

Conclusions: Cytotoxicity of NK cells in patients with gastric cancer is low, but it can be promoted by propofol. Propofol regulates cytotoxicity in NK cells by promoting SMAD4, thereby affecting cellular function.

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Introduction

Gastric cancer is one of the most common malignant tumors of the digestive tract, with the highest incidence and mortality among tumors worldwide.\(^1,2\) Surgery combined with radiotherapy and chemotherapy represents the main clinical treatment for gastric cancer. Tumor recurrence and metastasis are the main reasons restricting the clinical treatment effects of gastric cancer.\(^3,4\) Regulation of various cells in the microenvironment of gastric cancer has an important effect on the development of tumor cells, and formation of the immunosuppressive microenvironment is closely related to progression of gastric cancer and a poor prognosis.\(^5,6\) There are a large number of infiltrating immune cells in gastric cancer tissue, including T cells, B cells, and natural killer (NK) cells. Elevated levels of these activated immune cells and related effector molecules in tumor tissues usually indicate a longer survival time.\(^7,8\) In T, B, and NK cell recombination activating gene 2 and interleukin 2 receptor double knockout (Rag2P-/-Pil2rgP-/-P) mice, tumors spontaneously form and sarcoma easily develops.\(^9,10\) These data indicate that immune cells in the tumor microenvironment are closely related to occurrence and development of tumors, and enhancing the function of immune cells is likely to be a new strategy for immunotherapy of gastric cancer.

Innate immunity is the first line of defense against infection and surveillance of tumors.\(^11,12\) NK cells are important in the body’s immune system.\(^13\) Unlike CD8$^+$ cytotoxic T cells (CD8$^+$CTL), which recognize tumor antigens in a major histocompatibility complex (MHC) class I molecule-dependent manner, there is no rearrangement of genes encoding antigen recognition-related proteins in development of NK cells. Therefore, recognition of NK cells to tumor antigens is not restricted by MHC.\(^14,15\) When cancer cells downregulate MHC class I molecules to escape recognition and death by CD8$^+$CTLs, NK cells directly recognize malignant cancer cells through their surface-activated receptors, and secrete molecular targets (e.g., granzyme, perforin, and interferon-γ) to induce apoptosis in cancer cells.\(^16\) NK cells also express the immunoglobulin G low affinity receptor CD16, which binds to the Fc fragment of immunoglobulin G to kill cancer cells with antibody-dependent cell-mediated cytotoxicity.\(^17\) There is increasing evidence that activation and infiltration of NK cells are directly related to the prognosis of cancer.\(^18,19\) However, most NK cells in infiltrating tumor tissues are significantly inhibited, and the mechanism has not yet been clearly defined.

The perioperative period is an important time for tumor metastasis and recurrence in patients suffering from tumors. Tumor cells show different changes in immune activity after surgery, and during surgery, patients have different degrees of stress responses.\(^20,21\) Therefore, perioperative management is important for postoperative recovery of patients with cancer. Anesthesia
is an important procedure in surgery, and it can reduce stimulation of the operation to patients and decrease the stress response.²² Recent studies have shown that, in addition to analgesic sedation, anesthetics can affect the biological functions of tumor cells and immune cells.²³,²⁴ Propofol and sevoflurane are two commonly used anesthetics in the clinical setting. Intravenous infusion of propofol is widely used in the clinic because of the fast onset, short duration of infusion, rapid and complete recovery, decreased adverse reactions, and no significant accumulation after continuous infusion.²⁵ At present, the effects of these two anesthetic methods on postoperative immune function in patients with cancer are still unclear. Therefore, this study aimed to investigate the function and mechanism of these two anesthetics on CD3-CD56⁺ NK cells in peripheral blood of patients with gastric cancer.

Materials and methods

Patients

Patients with gastric cancer who were admitted to our hospital from September 2016 to December 2017 were included in this study. None of these patients had a previous history of malignant tumors, history of chemotherapy and radiotherapy, autoimmune diseases, or long-term medication history. These patients with gastric cancer were subdivided on the basis of the presence or absence of lymph node metastasis. Twenty patients had lymph node metastasis and 16 patients had no lymph node metastasis. According to the TNM staging criteria from the International Union Against Cancer in 2003, there were 11 cases of stage I, 11 cases of stage II, eight cases of stage III, and four cases of stage IV. Prior written and informed consent was obtained from every patient and the study was approved by the ethics review board of the First Affiliated Hospital of Jinzhou Medical University.

Anesthesia

Before anesthesia, the patients were subjected to monitoring of an electrocardiogram, invasive blood pressure, oxygen saturation, rectal temperature, and central venous pressure. In the propofol group, anesthesia was induced with 2.5 mg/kg propofol, 1 μg/kg remifentanil, and 0.15 mg/kg cisatracurium besilate by intravenous injection. This was followed by maintaining intravenous infusion of 4 mg/kg/hour propofol and 0.2 to 0.3 μg/kg/hour remifentanil. In the sevoflurane group, the patients were subjected to inhalation of 8% sevoflurane in fresh gas flow (5 L/min). Patients were induced with 1 to 2 μg/kg remifentanil and 0.15 mg/kg cisatracurium besilate by intravenous injection, followed by maintaining intravenous infusion of 0.2 to 0.3 μg/kg/min remifentanil and inhalation of 2% to 3% sevoflurane. The anesthesia depth index was monitored for all of the patients. The bispectral index was maintained at a level of 50 to 60 by adjusting the propofol infusion rate and the sevoflurane inhalation concentration.

Collection of tissue and peripheral blood samples

After the operation, freshly resected tumor tissues and corresponding adjacent tissues were collected. After washing with phosphate-buffered saline (PBS), the tissues were immersed in fresh complete medium. Tumor sand paracancerous tissues were ground into a single cell suspension using the MagicFilter® disposable filter on a clean bench. Mononuclear lymphocytes were isolated using the Ficoll lymphocyte separation solution (Haoyang Biotech, Tianjin, China) according to the manufacturer’s instructions.
Peripheral blood samples were collected from each patient (5 mL for each time point) at 1 hour before induction of anesthesia, at the end of surgery, and at 24 hours after surgery. Blood samples were added to EDTA-k2 anti-coagulation tubes (BD, Franklin Lakes, NJ, USA). Mononuclear lymphocytes were isolated using the Ficoll lymphocyte separation solution according to the manufacturer’s instructions. Peripheral blood samples from 10 normal healthy people were used as the control group.

**Isolation of peripheral blood mononuclear cells**

Peripheral blood mononuclear cells were isolated from peripheral blood of patients with gastric cancer by the Ficoll lymphocyte separation method. A 5× volume of sterile PBS solution was then added to the monocytes, which were centrifuged at 200×g for 6 minutes. The supernatant was discarded and the cells were re-suspended with sterile PBS.

**Detection of apoptosis**

For patients in the propofol and sevoflurane groups, NK cells were sorted by the magnetic bead sorting method. The cells were cultured with complete medium, which contained 200 IU interleukin-2, for 24 hours. Cells were then co-cultured with gastric cancer BGC-823 cells at a ratio of 3:1 in a 37°C, 5% CO₂ incubator for 6 hours. The cells were collected and rinsed with pre-cooled PBS, and then stained with ANXN V FITC APOPTOSIS DTEC KIT I reagent (BD, Franklin Lakes, NJ, USA) according the manufacturer’s instructions. The rate of apoptosis was detected by flow cytometry. Cells that were positive for annexin V alone were regarded as early apoptotic cells, while cells that were positive for propidium iodide (PI) alone were regarded as necrotic cells.

**Detection of NK cell killing effects**

NK cell markers in isolated mononuclear lymphocytes were detected by flow cytometry. The mononuclear lymphocyte population was measured by forward/side scatter gating and the CD3-CD56+ cell population was selected for further analysis. Peripheral blood mononuclear cells were cultured in vitro for 72 hours and then centrifuged at 800×g for 5 minutes. Expression levels of perforin and granzymes in CD3-CD56+ NK cells were detected. The experiment was performed in triplicate.

**Quantitative real-time polymerase chain reaction**

Total RNA was extracted with Trizol and cDNA was obtained from reverse transcription. Quantitative real-time polymerase chain reaction (PCR) was performed with the BeyoFast™ SYBR Green qPCR Mix kit (Beyotime, Beijing, China). The PCR system consisted of 10 μl of qRT-PCR Mix, 0.5 μl of each primer (upstream primer sequence: 5'-GATCATCGGGGGACATGAGG-3'; downstream primer sequence: 5'-GGTCGGCTCCTGTTCTTTGA-3'), 2 μl of cDNA, and 7 μl of ddH₂O. Reaction conditions were as follows: 95°C for 10 minutes, 95°C for 1 minute, and 60°C for 30 seconds for a total of 40 cycles.

**Western blot analysis**

The isolated NK cells were lysed with radioimmunoprecipitation assay buffer. Nuclear protein was extracted with the Extraction Kit (P0027; Beyotime). Protein concentrations were determined with the bicinchoninic acid method (Beyotime). A total of 10 μL of protein sample was separated by sodium dodecyl sulfate-polyacrylamide gel electrophoresis, and this was then electronically transferred onto a polyvinylidene-fluoride membrane. After blocking with
50 g/L non-fat milk at room temperature for 1 hour, the membrane was incubated with appropriate primary antibodies (granzyme B [GZMB], 1:1000; SMAD4, 1:1000; and glyceraldehyde-3-phosphate dehydrogenase, 1:4000) (BD) at 4°C overnight. The membrane was then incubated with goat anti-mouse or goat anti-rabbit HRP-conjugated secondary antibody (1:4000) at room temperature for 1 hour. After washing with TBST, color development was performed with the electrochemiluminescence method.

Co-culture of gastric cancer cells and NK cells

The culture supernatant of the gastric cancer cell line was collected and mixed with the complete medium RPMI 1640 at a 1:1 ratio to prepare the conditional medium. NK cells were isolated from human peripheral blood with the magnetic bead method. Gastric cancer and NK cells were co-cultured with the CM medium containing 100 IU interleukin-2 for 48 hours. Expression and function of GZMB in NK cells were then detected by flow cytometry.

Propofol treatment of NK cells

NK cells were isolated from peripheral blood and then divided into the following three groups: (1) the normal culture group (control group); (2) the co-culture group in which NK cells were co-cultured with conditioned medium from gastric cancer cells; and (3) the co-culture plus treatment group in which co-cultured cells were treated with 25 μg/mL of propofol. Expression and function of GZMB in NK cells were detected by flow cytometry.

Cell transfection

For transfection, 5 × 10^6 NK cells were collected and rinsed twice with pre-cooled PBS. These NK cells were re-suspended in 500 μL electroporation buffer. A total of 100 nM small interfering RNA (siRNA) sequence (siRNA to SMAD4: 5′-AAC TAC AAA TGG AGG TCA TCC-3′) was added and the cell suspension was transferred to an electric rotor under the following conditions: 250 V, 5 ms, and a 0.4-mm cuvette.

Statistical analysis

Data are expressed as mean ± standard deviation. Graph Pad Prism 6.0 software (BD) was used for statistical analysis. One-way analysis of variance was performed for multiple group comparisons and the t-test was used for comparison between two groups. \( P < 0.05 \) was considered as statistically significant.

Results

Phenotype and function of CD3-CD56+ NK cells in peripheral blood of patients in the propofol and sevoflurane groups

Thirty-six patients with gastric cancer, including 27 men and 9 women, with an average age of 47.6 years (ranging from 35–68 years), were included in this study. Flow cytometry was performed to investigate the killing effects of CD3-CD56+ NK cells on BGC-823 tumor cells. We found that before surgery, the mean rate of peripheral blood NK cell-induced tumor cell apoptosis was significantly lower in the gastric cancer group compared with the control group (16.4 ± 0.34 vs. 32.7 ± 0.76, \( P < 0.05 \)). Moreover, after surgery, the ability of NK cells to induce apoptosis in BGC-823 tumor cells in the propofol group was significantly stronger than that in the sevoflurane group (44.1 ± 0.68 vs. 16.5 ± 0.21, \( P < 0.05 \)). This result suggested that propofol promoted the killing effects of NK cells on tumor cells. Further analysis showed that the mean GZMB expression
level in peripheral blood NK cells in the control group was significantly higher than that in the gastric cancer group (\(P < 0.05\)). Moreover, after surgery, the mean GZMB expression level in peripheral blood NK cells in the propofol group (65.5 ± 0.83) was significantly higher than that in the sevoflurane group (50.5 ± 0.49, \(P < 0.05\)) (Figure 1). However, no significant differences were observed in expression of interferon-\(\gamma\) and perforin expression between the groups. These results indicated that, after surgery, the killing effects of NK cells in patients with gastric cancer who were anesthetized with propofol were stronger than those in patients who were anesthetized with sevoflurane.

*Gastric cancer cells inhibit granulocyte expression and tumor killing ability of NK cells in vitro*

The perforin-GZMB pathway is the main mechanism by which NK cells kill tumor cells and GZMB expression levels are directly related to the killing ability of NK cells. Previous studies have reported that tumor cells secrete cytokines to inhibit immune cell activity.\(^{26}\) Therefore, in our study, isolated NK cells were treated with the culture supernatant of BGC-823 tumor cells (the main components of gastric cancer tissue) and cellular function was assessed. After co-culture, the mean apoptosis rate in the co-culture group was significantly lower than that in the control group (\(P < 0.05\)). This finding suggested that the culture supernatant of BGC-823 tumor cells inhibited the killing effects of NK cells on tumor cells. Moreover, GZMB expression levels in NK cells in the co-culture group were significantly downregulated compared with the control group (\(P < 0.05\)) (Figure 2). These results suggested that gastric cancer cells inhibited GZMB expression and the tumor killing ability of NK cells *in vitro.*

*Propofol inhibits negative regulation of gastric cancer cells on NK cell function*

We further investigated whether propofol promotes the killing ability of NK cells. We found that, after treatment with propofol, the killing ability of NK cells on BGC-823 cells in the tumor cell co-culture group was significantly stronger than that in the propofol co-culture group (\(P < 0.05\)). This finding indicated that propofol inhibited negative regulation of tumor cells on NK cells, thereby promoting the killing ability of NK cells on tumor cells. Our results from flow cytometry also showed that the number of GZMB\(^+\) cells in NK cells in the propofol co-culture group was significantly higher than that in the BGC-823 co-culture group (\(P < 0.05\)). Additionally, quantitative real-time PCR and western blot analysis showed that propofol treatment promoted mRNA and protein expression levels of GZMB in NK cells (Figure 3). Taken together, these results suggested that propofol inhibited the negative regulation effects of gastric cancer cells on NK cell function.

*Propofol inhibits negative regulation of TGF-\(\beta\)1 on NK cell function*

Because TGF-\(\beta\)1 is an important cytokine for negative regulation of NK cell function in tumor cells, the effects of propofol on TGF-\(\beta\)1-induced changes in NK cellular function were further analyzed. We found that the apoptosis rate of BGC-823 gastric cancer cells induced by TGF-\(\beta\)1-treated NK cells was significantly lower compared with that in the control group (\(P < 0.05\)). However, the apoptosis rate of gastric cancer cells was significantly higher after co-culture of BGC-823 tumor cells and NK cells in the propofol group than that in the TGF-\(\beta\)1 group (\(P < 0.05\)). Moreover, quantitative real-time PCR and western blot analysis showed that GZMB
Figure 1. Tumor killing activity and GZMB expression in peripheral blood NK cells. (a) Flow cytometry analysis of the NK cell phenotype in peripheral blood. (b) Flow cytometry analysis of the pro-apoptotic ability of NK cells on tumor cells. (c) Statistical analysis of apoptosis rates in tumor cells. (d) Flow cytometry analysis of molecular changes in NK cells. Experiments were performed in triplicate. *p<0.05, compared with the NC group. NK: natural killer; SSC: side scatter; FSC: forward scatter; NC: normal control; FITC: fluorescein isothiocyanate; GZMB: granzyme B.
expression levels in NK cells in the propofol group were significantly higher than those in the TGF-β1 group (Figure 4). These results suggested that propofol inhibited the negative regulation effects of TGF-β1 on NK cell function, and thus promoted the killing effects of NK cells.

**Propofol regulates tumor killing ability of NK cells through the SMAD4 pathway**

SMAD4 is the only co-SMAD protein in the TGF-β1 signaling pathway, and it is able to promote transcription and translation of GZMB in NK cells. Therefore, SMAD4 protein expression levels in NK cells were detected. There were no significant changes in total SMAD4 protein expression levels between the control and propofol groups. After isolating protein in the nucleus, we found that SMAD4 protein expression levels in the propofol group were significantly higher than those in the BGC-823 co-culture group (P < 0.05). This finding suggested that the recovery effects of propofol on NK cell function might be related to nuclear importation of SMAD4. Moreover, SMAD4 protein expression levels after TGF-β1 antibody treatment were higher than those with BGC-823 co-culture (P < 0.05), which suggested that TGF-β1 promoted importation of SMAD4 into the nucleus. We also found that GZMB protein expression levels in...
NK cells was significantly decreased \( (P < 0.05) \), and protein expression of GZMB could not be restored by propofol treatment (Figure 5). Taken together, these results suggest that propofol regulates the tumor killing ability of NK cells through the SMAD4 pathway.

**Discussion**

Previous studies have shown that patients with gastric cancer often have recurrence of tumors and distant metastasis after surgery, which might lead to death.\(^{27,28}\) However, surveillance of the postoperative immune system is an important method of reducing recurrence of tumors and metastasis. Because of the body’s stress responses, postoperative immune function is affected, and its functional status has an important effect on perioperative metastasis of tumor cells.\(^{29}\) A previous study also showed that anesthetics exerted direct or indirect effects on the body’s immune system, thereby regulating immune activity.\(^{30}\) Studying the effects of different anesthetics on immune cells might be useful for guidance of clinical anesthesia. In this study, we found that the...
The main killing effector molecule GZMB in peripheral blood NK cells of patients with gastric cancer who were anesthetized with propofol showed significantly higher expression than that of patients who were anesthetized with sevoflurane anesthesia. In vitro experiments showed that propofol upregulated GZMB expression in NK cells through the SMAD4 signaling pathway, thus promoting tumor cell killing activity.

A large body of evidence has shown that NK cells can kill and inhibit proliferation and metastasis of various solid tumor cells. These cells function as the main effector cells in natural immunity, including in liver cancer and breast cancer. However, unfortunately, most NK cells infiltrating into solid tumor tissues are in a low-activity state. Additionally, in some patients with tumors, peripheral blood NK cell activity is lower than that in healthy people, limiting the tumor killing effects of NK cells. In recent years, anesthetic drugs have been reported to affect tumor cells and the immune system as follows. Liu et al. showed that etomidate affected the immune system of patients with lung adenocarcinoma, thereby affecting development of tumors. Moreover, high concentrations of ropivacaine or bupivacaine have shown inhibitory effects on proliferation of colon cancer cells in vitro. Gong et al. showed that sufentanil anesthesia affected the ratio of peripheral blood Treg cells. These results suggest that anesthetics can affect the body’s immune cells or tumor cells, thus affecting the patient’s prognosis. In this study, the effects of two commonly used anesthetics (i.e., propofol and sevoflurane) on the activity of peripheral NK cells in patients with gastric cancer were analyzed. We found that NK cells in the propofol...
group had stronger killing effects on gastric cancer cells compared with those in the sevoflurane group. Further analysis showed that GZMB expression levels in NK cells in the propofol group were significantly higher than those in the sevoflurane group. There is evidence that decreased NK cell activity in patients with tumors is closely related to regulation of the tumor microenvironment as follows. Peng et al.

Figure 5. Propofol regulates NK cell activity via the SMAD4 pathway. (a, b) Western blot analysis of the effect of propofol on SMAD4 protein levels in NK cells under different culture conditions. (c) Western blot analysis for detecting the interference effects of SMAD4. (d) Restoration effect of propofol on GZMB expression in NK cells after SMAD4 interference. Experiments were performed in triplicate. *P < 0.05, compared with the NC group. NK: natural killer; NC: normal control; TGF-β1: transforming growth factor-β1; GZMB: granzyme B; GAPDH: glyceraldehyde-3-phosphate dehydrogenase; siR-SMAD4: small interfering RNA targeting Smad4; tSMAD4: total SMAD4.
showed that tumor-associated macrophage cells secreted TGF-\(\beta\)1 to inhibit the activity of NK cells. In our study, BGC-823 gastric cancer cells downregulated GZMB expression in NK cells, which inhibited their killing activity. Therefore, the recovery effect of propofol on GZMB expression was also investigated. After co-culture of propofol, the apoptosis-promoting effect of NK cells on BGC-823 gastric cancer cells was upregulated and GZMB levels were also restored. These results indicate that propofol can upregulate the tumor killing activity of NK cells in vitro. In fact, propofol can directly regulate the function of immune cells and tumor cells. Zhou et al.\(^37\) found that propofol promoted the killing activity of peripheral blood NK cells in esophageal squamous cell carcinoma in vitro. Moreover, Liu et al.\(^38\) found that propofol inhibited proliferation, metastasis, and anti-apoptotic activity of hepatoma cells by downregulating miR-374a. Furthermore, Liu et al.\(^39\) showed that propofol improved the killing activity of peripheral blood NK cells in colon cancer cells. Based on these findings, we believe that propofol anesthesia is beneficial for enhancing postoperative tumor killing activity of NK cells.

TGF-\(\beta\)1 can inhibit various immune cells, such as NK and T cells. A previous study showed that TGF-\(\beta\)1 levels were significantly increased in gastric cancer tissues and cell lines.\(^40\) In our study, an in vitro model of TGF-\(\beta\)1-inhibiting NK cells was established. We found that propofol restored tumor killing ability and GZMB expression in NK cells. These results suggest that propofol can restore the TGF-\(\beta\)1-mediated inhibition of NK cell function. SMAD4 is an important intracytoplasmic signaling cascade molecule in the TGF-\(\beta\) signaling pathway, and it is responsible for transducing signals into the nucleus, functioning as a transcription factor. A recent study showed that SMAD4 plays an important role in development and maturation of NK cells.\(^41\) Cortez et al.\(^42\) found that Smad4 inhibited transformation of NK cells into a phenotype of group 1 innate lymphoid cells by inhibiting non-canonical TGF-\(\beta\) signaling. Additionally, Wang et al.\(^43\) found that SMAD4 promoted TGF-\(\beta\)1-independent NK cell homeostasis and maturation, which directly regulated GZMB. Therefore, SMAD4 protein expression levels in NK cells were examined in our study. We found that SMAD4 protein expression levels in the NK cell nucleus were significantly downregulated with TGF-\(\beta\)1 treatment and BGC-823 supernatant co-culture, and they could be restored by propofol treatment. These results suggest that TGF-\(\beta\)1 promotes nuclear translocation of SMAD4 protein. Additionally, after interfering with SMAD4 expression in NK cells, the killing ability of NK cells on gastric cancer cells was significantly weakened, while treatment of propofol could not restore GZMB expression. These results suggest that propofol regulates GZMB expression through the SMAD4 pathway, thereby affecting the tumor cell killing effects of NK cells.

In conclusion, our study shows that the cytotoxicity of NK cells in peripheral blood of patients with gastric cancer who are anesthetized by propofol is stronger than that in the patients who are anesthetized by sevoflurane. Moreover, propofol promotes nuclear importation of SMAD4 in NK cells and upregulates GZMB expression, thereby enhancing the tumor cell killing effects of NK cells.

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