Influence of phase-diversity image reconstruction techniques on circular polarization asymmetries

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ABSTRACT

Context. Full Stokes filter-polarimeters are key instruments for investigating the rapid evolution of magnetic structures on the solar surface. To this end, the image quality is routinely improved using a-posteriori image reconstruction methods.

Aims. We analyze the robustness of circular polarization asymmetries to phase-diversity image reconstruction techniques.

Methods. We used snapshots of magneto-hydrodynamical simulations carried out with different initial conditions to synthesize spectra of the magnetically sensitive Fe i line at 5250.2 Å. We degraded the synthetic profiles spatially and spectrally to simulate observations with the IMaX full Stokes filter-polarimeter. We also simulated the focused/defocused pairs of images used by the phase-diversity algorithm for reconstruction and the polarimetric modulation scheme. We assume that standard optimization methods are able to infer the projection of the wavefront on the Zernike polynomials with 10% precision. We also consider the less favorable case of 25% precision. We obtain reconstructed monochromatic modulated images that are later demodulated and compared with the original maps.

Results. Although asymmetries are often difficult to define in the quiet Sun due to the complexity of the Stokes V profiles, we show how asymmetries are degraded with spatial and spectral smearing. The results indicate that, although image reconstruction techniques reduce the spatial smearing, they can modify the asymmetries of the profiles, which are mainly caused by the appearance of spatially-correlated noise.

Key words. techniques: polarimetric – Sun: photosphere – Sun: surface magnetism – instrumentation: high angular resolution

1. Introduction

The observation of Stokes profiles in spectral lines is a valuable tool for inferring information about the thermodynamical and magnetic properties of the solar plasma. This information is encoded in the amplitude and the shape of the Stokes profiles. Therefore, it is important to avoid any effect that perturbs the shape, because it can crucially modify the information encoded in the profile.

Of special relevance is the asymmetry of the circular polarization profile, which is known to be related to the correlation between velocity and magnetic field gradients along the line-of-sight (LOS; Illing et al. 1975). This effect has been exploited to build atmospheric models with such gradients to explain asymmetries around magnetic flux concentrations (Solanki & Pühlke 1988; Grossmann-Doerth et al. 1988; Sánchez Almeida et al. 1989; Solanki & Montavon 1993). The situation is especially relevant in the weakly-magnetized zones of the quiet Sun away from active regions, where Stokes V profiles present a variety of shapes with strongly asymmetric profiles (Sigwarth et al. 1999; Sánchez Almeida & Lites 2000; Khomenko et al. 2003; Socas-Navarro et al. 2004; Martínez González et al. 2008; Vitčič et al. 2011; Vitčič & Sánchez Almeida 2011).

Earth-based observations are always affected by disturbances from the atmosphere. As a consequence, the diffraction limit of the telescope is practically never reached. It is often impossible to overcome the 1″ limit if the observations are not accompanied by an adaptive optics system and powerful post-processing methods. For this reason, Khomenko et al. (2005b) and Shelyag et al. (2007) have analyzed the effect of spatial smearing on the Stokes asymmetries observed in the pair of Fe i lines at 630 nm and at 1565 nm. They conclude that asymmetries are heavily disturbed by the lack of spatial resolution. They even discovered that it is possible to find regions in which the field polarity is different in the two spectral regions (Sánchez Almeida et al. 2003; Rezaei et al. 2007), something fully attributed to the lack of spatial resolution. The fundamental reason is that the shape of Stokes V profiles in weakly magnetized regions are very complex, and they change on much smaller scales than the resolution element of the largest Earth-based telescopes, even in the hypothetical absence of atmosphere.

Even if the telescope is put in a balloon at 40 km height, like Sunrise (Solanki et al. 2010), there is some remaining atmosphere (albeit small) that, together with the intrinsic aberrations of the telescope and instruments, can modify the observations. For this reason, the IMaX instrument onboard Sunrise was designed to use the phase-diversity, post-facto reconstruction algorithm (Paxman et al. 1992; Vargas Domínguez 2009). In this paper, we focus on the interesting problem of testing how the spatial and spectral degradation produced by the Sunrise/IMaX combination affects circular polarization asymmetries and whether the post-reconstruction algorithms can help us extract reliable information (comparable to the unperturbed synthetic case) about them from degraded data. To this end, we use profiles synthesized on 3D models of the solar photosphere. We degraded them to simulate the observational conditions of Sunrise/IMaX and reconstruct the images using the phase-diversity algorithm.
2. Data processing

2.1. Simulations and spectral synthesis

The snapshots that we have used correspond to individual time steps of a 3D magneto-hydrodynamical simulation of solar magneto-convection done with the MURAM code (Vögler 2003; Vögler et al. 2005; Cameron et al. 2011). An initially vertical magnetic field of 200 G strength was introduced into already developed purely hydrodynamical convection. The simulation box was split into four parts with the opposite polarities of the magnetic field in the adjacent parts. This magnetic field evolved self-consistently with convective motions. The redistribution of the magnetic field led to an almost exponential decrease with time of its average unsigned value over the simulation domain. The snapshots used in the present work were taken 17, 36, and 112 min after the magnetic field was introduced. At these time moments, the average unsigned magnetic field strength at photospheric base was of 140 G, 80 G and 30 G. The last snapshot is representative of a quiet Sun internetwork because it is close to the value obtained by Khomenko et al. (2005a), while the first two are representative of a bipolar enhanced network. The computational box has 288 × 288 × 100 grid points, with the largest number of points in the horizontal directions. The grid step in the horizontal direction is roughly 20 km, with a total horizontal extent of 6 × 6 Mm². The grid size in the vertical direction is ~14 km, with an ensuing total height of 1400 km. On average, the spatial extent above and below optical depth unity at 5000 Å amounts to 600 km and 800 km, respectively.

We computed the Stokes spectra of the Fe i 5250.2089 Å line formed at solar disk center (μ = 1). The calculation used the SIR code (Ruiz Cobo & del Toro Iniesta 1992) in LTE approximation. The spectra were computed in a 2.5D approach, i.e. individually for each vertical column of the snapshots, corresponding to the LOS direction. We used the following atomic parameters: lower level energy E₁ = 0.121 eV, log gf = −4.96, and iron abundance A_{Fe} = 7.50. The detailed description of this particular simulation run and analysis of Stokes spectra of this and other Fe i lines can be found in Khomenko et al. (2005b) and Khomenko & Collados (2007).

Since we simulate the effect of image degradation on the polarimetric measurements, we first have to modulate the resulting monochromatic synthetic images of the Stokes parameters. These modulated images will be distorted by the presence of aberrations and measured on the CCD. For simplicity, we apply the ideal modulation scheme

\[ O = \frac{1}{\sqrt{3}} \begin{bmatrix} 1 & 1 & 1 & 1 \\ 1 & 1 & -1 & -1 \\ 1 & -1 & -1 & -1 \\ 1 & -1 & 1 & 1 \end{bmatrix}. \]

and neglect any crosstalk between Stokes parameters. Differences between the real modulation matrix for IMaX and the ideal one presented above will fundamentally affect the efficiency of the modulation scheme. Consequently, the only difference lies in the final noise level. The resulting modulated images are spatially degraded, noise is added, and the reconstructed image is obtained through standard phase-diversity formulae. The ensuing Stokes parameters are obtained by applying the demodulation matrix \( D = O^{-1} \).

2.2. Degradation and phase-diversity reconstruction

The synthetic profiles at full resolution are degraded to the IMaX spatial and spectral resolution. IMaX is a filter-polarimetric instrument based on Fabry-Perot interferometers, so that the spectral point spread function (PSF) of the double passage is known analytically. This PSF has very extended tails that would make it necessary to synthesize a large portion of the spectrum to correctly take the smearing into account. Instead, we follow the approach of Lagg et al. (2010) who substitute the real PSF by a Gaussian PSF. It has been verified empirically that a Gaussian of 85 mÅ (~2.9 km s⁻¹) of full width at half-maximum (FWHM) gives a good representation of the effect of the real PSF, considering the contribution of secondary lobes. The smeared profiles are then sampled at 12 wavelength points to simulate the L12-2 observing mode of IMaX (Martínez Pillet et al. 2011), from −192.5 mÅ to +192.5 mÅ in steps of 35 mÅ.

For the spatial smearing, we simulated all image degradation effects that have been considered for Sunrise/IMaX (see Vargas Domínguez 2009, for a detailed analysis). After adding all aberrations, a value of λ/5 for the rms wavefront error (WFE) has been measured as the actual performance of the instrument (Martínez Pillet et al. 2011). We consider the same aberration but divided it into several contributions. The considered telescopic aberrations (up to the 45th Zernike polynomial) produce a wavefront with an rms WFE of λ/9. These aberrations vary slowly in time so we consider them fixed during the full polariometric modulation and spectral scanning. The value of the coefficients associated to each Zernike polynomial that conforms the wavefront telescopic aberration is described by uncorrelated Gaussian distributions. The atmosphere is accounted for by considering a wavefront with turbulent Kolmogorov statistics.
Circular polarization asymmetries are usually defined in terms of the relative area \( \delta A \) and amplitude \( \delta a \) imbalances between the blue and the red lobes of Stokes \( V \) profiles (e.g., Solanki & Stenflo 1986):

\[
\delta a = \frac{a_b - a_r}{a_b + a_r},
\]

\[
\delta A = \frac{s \int V_l(l)dl}{\int |V_l(l)|dl},
\]

where \( a_b \) and \( a_r \) refer to the amplitude of the red and blue lobes, respectively. The factor \( s \) gives the sign of the area asymmetry, and it is chosen equal to the sign of the bluest peak of Stokes \( V \), following Martínez Pillet et al. (1997).

These definitions of asymmetries can only be strictly applied to standard two lobed antisymmetric profiles (one-lobed profiles can also be considered as a degenerate two-lobed profile). However, simulated Stokes \( V \) profiles often show a panoply of profiles whose spectral shapes are completely different from the standard antisymmetric profile. Table 1 displays the percentage of Stokes \( V \) profiles with different numbers of lobes in the

**Table 1. Statistical information about profiles.**

| Snapshot | Case                  | Above threshold | 1 lobe | 2 lobes | 3 lobes | 4 lobes | Amplitude asymmetry | Area asymmetry |
|----------|-----------------------|-----------------|--------|---------|---------|---------|--------------------|---------------|
| 30 G     | Full (IMaX)           | 36%             | 14.61% | 80.36%  | 4.32%   | 0.05%   | 8.05 ± 38.6        | 6.65 ± 42.4   |
|          | Degraded (IMaX)       | 11%             | 0.75%  | 99.25%  | 0.01%   | 0.01%   | 8.65 ± 3.38       | -5.72 ± 15.8  |
|          | Reconstructed (IMaX)  | 5%              | 17.44% | 82.48%  | 0.10%   | 0.03%   | 1.79 ± 14.7        | 15.58 ± 34.92 |
|          | Full (all λ)          | 54%             | 25.12% | 64.78%  | 9.88%   | 0.07%   | 20.18 ± 33.99     | 3.02 ± 29.2   |
| 80 G     | Full (IMaX)           | 68%             | 14.00% | 80.90%  | 4.90%   | 0.04%   | 9.04 ± 39.2        | 8.12 ± 42.5   |
|          | Degraded (IMaX)       | 34%             | 1.27%  | 98.71%  | 0.02%   | 0.01%   | 4.53 ± 16.2        | -0.69 ± 18.0  |
|          | Reconstructed (IMaX)  | 21%             | 14.60% | 84.74%  | 0.65%   | 0.01%   | 22.10 ± 31.8       | 16.54 ± 32.6  |
|          | Full (all λ)          | 85%             | 16.26% | 69.55%  | 14.07%  | 0.06%   | 27.67 ± 37.6       | 5.00 ± 30.6   |
| 140 G    | Full (IMaX)           | 85%             | 12.61% | 84.30%  | 3.05%   | 0.01%   | 18.07 ± 40.0       | 19.12 ± 43.5  |
|          | Degraded (IMaX)       | 71%             | 2.42%  | 97.32%  | 0.26%   | 0.00%   | 13.53 ± 20.6       | 11.46 ± 23.4  |
|          | Reconstructed (IMaX)  | 34%             | 13.19% | 85.49%  | 1.31%   | 0.00%   | 21.66 ± 32.3       | 20.18 ± 34.2  |
|          | Full (all λ)          | 95%             | 7.04%  | 80.55%  | 12.34%  | 0.05%   | 28.87 ± 38.4       | 13.50 ± 32.2  |

Notes. (a) Percentage of profiles with Stokes \( V \) signal above the noise threshold. (b) Mean and standard deviation of amplitude asymmetry. (c) Mean and standard deviation of area asymmetry.

(Noll 1976; Löfdahl & Scharmer 1994), again with an rms WFE of \( \lambda/9 \). This atmospheric contribution might even be considered large for the case of IMaX/SUNRISE. Assuming the presence of an image stabilization system, we neglect tip and tilt. We also consider a ripple WFE of \( \lambda/9 \) associated to the polishing that we simulate as a screen with a von Karman spectrum and an external scale of 30 cm that simulates the diameter of the polishing tool. Considering the previous contributions, the total rms of the WFE obtained as the addition in quadrature is on the order of \( \lambda/5 \). Furthermore, we also take the effect of the finite size of the CCD pixels into account, which do not sample the image with Dirac delta functions but with a small amount of diffraction. Finally, the resulting PSF is then convolved with the monochromatic modulated images and noise at the level of \( 10^{-3} \) in units of the continuum intensity is added. Although the IMaX detectors’ full well is \( 1.7 \times 10^4 \) electrons, the final images are obtained with real time accumulations until the desired signal-to-noise ratio approaches 1000. After demodulation, we obtain the degraded monochromatic images of the Stokes parameters. Additionally, since we apply image reconstruction techniques based on the phase-diversity technique, we also simulate images with exactly the same wavefront, but also with an additional optimal defocus, in which the peak-to-valley defocus wavefront equals the observation wavelength (Lee et al. 1999). For the case of IMaX, this optimal defocus amounts to \( \sim 1.8 \lambda d \).

Our aim is to compare the asymmetries of Stokes \( V \) in the degraded profiles with those found in the original one and in the phase-diversity reconstructed data. Since we know the PSFs of the focused and defocused images exactly, we could apply a standard phase-diversity reconstruction algorithm (Gonsalves & Chidlaw 1979; Paxman et al. 1992; Löfdahl & Scharmer 1994) and obtain a perfect reconstruction using

\[
\hat{F} = \hat{D}_0 \hat{P}_0^\dagger + \gamma \hat{D}_b \hat{P}_b^\dagger,
\]

where \( \hat{P}_0, \hat{P}_b, \hat{D}_0, \) and \( \hat{D}_b \) are the Fourier transforms of the focused PSF, defocused PSF, focused image, and defocused image, respectively, and \( \hat{F} \) is the Fourier transform of the reconstructed image. In real situations, the PSFs are not known, and the reconstruction algorithm is preceded by an iterative process in which the PSFs are estimated by maximizing a merit function. To overcome this iterative process but still consider uncertainties in the estimation of the PSFs, we make the assumption that the projection of the final wavefront on every Zernike polynomial is known with 10% relative error. This figure is representative of extensive simulations carried out with IMaX data (e.g., Vargas Domínguez 2009). This error represents the uncertainties that one would have when using optimization methods to estimate the wavefront. From the reconstructed focused and defocused PSFs, we obtain the reconstructed image following Gonsalves & Chidlaw (1979), Paxman et al. (1992) and Löfdahl & Scharmer (1994). Because of the presence of noise and the diffraction limit of the telescope, it is fundamental to filter out high frequencies from the resulting image (Löfdahl & Scharmer 1994). These high frequencies cannot be recovered. Additionally, the noise on the reconstructed images presents a Gaussian distribution with a greater variance than that of the original focused and defocused images. The main problem is that this noise is spatially correlated (Meynadier et al. 1999). This leads to an aesthetically unappealing web-like noise that avoids using many profiles in the quiet-Sun because their amplitudes are already very low. Furthermore, this noise introduces artifacts that perturb the appearance of the Stokes profiles. This effect is also discussed by Puschmann & Beck (2011), who use different deconvolution methods.

3. Circular polarization asymmetries

Circular polarization asymmetries are usually defined in terms of the relative area \( \delta A \) and amplitude \( \delta a \) imbalances between the blue and the red lobes of Stokes \( V \) profiles (e.g., Solanki & Stenflo 1986):

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\delta a = \frac{a_b - a_r}{a_b + a_r},
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\delta A = \frac{s \int V_l(l)dl}{\int |V_l(l)|dl},
\]

where \( a_b \) and \( a_r \) refer to the amplitude of the red and blue lobes, respectively. The factor \( s \) gives the sign of the area asymmetry, and it is chosen equal to the sign of the bluest peak of Stokes \( V \), following Martínez Pillet et al. (1997).

These definitions of asymmetries can only be strictly applied to standard two-lobed antisymmetric profiles (one-lobed profiles can also be considered as a degenerate two-lobed profile). However, simulated Stokes \( V \) profiles often show a panoply of profiles whose spectral shapes are completely different from the standard antisymmetric profile. Table 1 displays the percentage of Stokes \( V \) profiles with different numbers of lobes in the
three snapshots considered. Although the majority of profiles are standard two-lobe profiles, there are many locations where 1-lobe or 3-lobe profiles are found. This situation is more critical when the full spatial and spectral resolution is considered. To overcome this problem, we have restricted our analysis to one-lobe and two-lobe profiles, leaving the more complex analysis of profiles with more lobes for the future. In such a case, we think that it will be necessary to update the definition of asymmetries. Interestingly, recent high spatial resolution observations (Martínez González et al., in prep.) also show the appearance of an increasingly larger fraction of nonstandard profiles.

To analyze asymmetries, we consider four different cases that will help us understand how Stokes $V$ profiles are distorted by spatial and spectral smearing. The first case, which we label as “Full (all $\lambda$)”, consists of the line profiles at full spatial and spectral resolution. The next, labeled as “Full (IMaX)”, are the profiles at full spatial resolution but degraded to the IMaX L12-2 observing mode spectral resolution. We also consider two observationally realistic cases. The first one is the fully degraded one, labeled as “Degraded (IMaX)”. The standard deviation of the noise added a-posteriori in units of the continuum intensity in these snapshots is $10^{-3}$. The last one is the phase-diversity reconstructed case, labeled “Reconstructed (IMaX)”. The standard deviation of the noise increases to $3-4 \times 10^{-3}$ in units of the continuum intensity after the reconstruction.

### 3.1. Spatial variation

Figures 2 and 3 show the amplitude and area asymmetries for all considered cases, respectively. The upper panels show all map locations where the Stokes $V$ profiles are above the threshold for each individual case. For the sake of a better visualization, the bottom panels display only map locations whose Stokes $V$ profiles are above three times the value of the standard deviation of the noise in all cases simultaneously. In this case, the “Reconstructed (IMaX)” case is the one controlling such map locations because of the enhanced noise level.

From left to right we show what happens when the spatial/spectral resolution is degraded, while the last panel displays the phase-diversity reconstructed case. The three cases considered (each row) correspond to the three snapshots with different seed magnetic fields, where only profiles above the threshold of three times the noise level have been considered. More precisely, the number of selected profiles increases as the seed field increases because of the enhanced Stokes $V$ amplitudes.

Concerning the high-resolution maps, we find predominantly positive area asymmetries in granules, similar to the previous analysis of Khomenko et al. (2005b) and Shelyag et al. (2007) for the Fe i lines at 630 nm and 1.56 $\mu$m. This result is compatible with the predominance of positive area asymmetries in granules found in observations (e.g., Socas-Navarro et al. 2004). This predominance is also found for amplitude asymmetries. Negative asymmetries are found in intergranular lanes and in strong kG accumulations. This result in the high-resolution maps is essentially incompatible with the observational results of Socas-Navarro et al. (2004), who find positive area and amplitude asymmetries in lanes and the network. We show below that both results can be reconciled after image degradation.

Asymmetries in the high-resolution maps can be understood in the flux tube model with a canopy developing with height (Bellot Rubio et al. 2000). The inner parts of the flux tubes coincide with intergranular lanes in the simulations and present strongly negative area asymmetries with not so strongly negative amplitude asymmetries. They are generated by strong downflows associated with a field strength that decreases with height (Shelyag et al. 2007). The granule-intergranule transition with positive area and amplitude asymmetries can be explained by rays crossing the canopy of the flux tube.

Apparently, the spectral degradation of the data at full spatial resolution has a relatively low impact on the spatial distribution of asymmetries, as seen by comparing the first and second columns. The filamentary structure of the asymmetries associated with intergranular lanes is maintained, while the profiles observed in granular regions are lost because the spectral degradation pushes them below the noise threshold. However, we can see that the spectrally degraded data presents larger (in absolute value) area and amplitude asymmetries than the original dataset. This difference is especially relevant in the cores of intergranular lanes. This can be easily understood because spectral degradation tends to increase relative area and amplitude asymmetries (Solanki & Stenflo 1986), although the dependency on the specific shape of the Stokes $V$ profile is crucial.

Spatial degradation changes the scenario completely. The main reason is that spatial smearing produces two effects: i) profiles with very different asymmetries are mixed, and ii) there is a decrease in the Stokes $V$ amplitude, thus pushing the signal in many pixels below the noise threshold. Still, the pixels associated with the strongest signals remain (11%, 38%, and 70% of the pixels for the 30 G, 80 G, and 140 G snapshots, respectively), and the filamentary structure is somehow lost and transformed into a blobby structure. Typically, asymmetries tend to be organized inside these blobs so that zero or very small asymmetries are found in the cores, while they increase in absolute value towards the borders, where profiles are lost below the noise threshold. This behavior is not exactly the same as what happens at higher spatial resolutions, where the cores of intergranular lanes present negative asymmetries while they become positive on the granule-intergranule transition region. From a general perspective, we can say that spatial smearing makes the negative asymmetry of narrow intergranular lanes appear with lower absolute values while broadening the region of positive asymmetry.

The area and amplitude asymmetries found in the spatially degraded snapshots tend to be more compatible with observations. On average, asymmetries tend to be positive, with a higher absolute value for amplitude asymmetries than for area asymmetries. Stokes $V$ profiles in intergranular lanes now have negative area asymmetries that are not greater than 15–20% or even compatible with zero. The only exceptions are isolated patches with strong negative asymmetries.

It is evident from Figs. 2 and 3 that area asymmetries are slightly less affected by the spatial and spectral smearing than amplitude asymmetries. It is especially relevant in the central parts of internetwork lanes, where strong negative amplitude asymmetries show up when reducing the spectral resolution, and these are transformed into almost amplitude symmetric profiles when degrading the spatial resolution. On the contrary, relevant negative area asymmetries are still present on internetwork lanes in the spatially and spectrally smeared data.

The main point of this work is to verify to what extend asymmetries are recovered after image reconstruction techniques. The rightmost panels of Figs. 2 and 3 demonstrate that an improvement of the spatial location of asymmetries occurs. Obviously, the enhanced noise level and its spatially correlated character leads to the number of pixels with detected signals decreasing (6%, 22%, and 43% of the pixels for the 30 G, 80 G, and 140 G snapshots, respectively). When we compare this with Fig. 1, only pixels associated to strong magnetic concentrations can be correctly analyzed. Consequently, one should be careful when
Fig. 2. Amplitude asymmetry maps for the four considered smearing cases (columns) and for the three values of the seed magnetic field used (rows): 30 G, 80 G, and 140 G. The upper panels show the map locations where the Stokes $V$ profiles are above the threshold in each individual case. The lower panels display only locations where the Stokes $V$ profiles are above the threshold simultaneously in the four considered cases. The small green lines at positions (2, 1) for the upper panels, (0.5, 0.5) for the middle panels, and (2.3, 3.8) for the lower panels show the location of the cuts along which the Stokes $V$ profiles in Fig. 4 have been obtained.
analyzing reconstructed data to account for this bias. Several conclusions can be extracted from the reconstructed images. First, the structures are much more compact than in the degraded case, a consequence of the efficient reduction of aberrations performed by the phase-diversity algorithm, even with 10% relative errors on the projections of the wavefront on the Zernike polynomials. Second, the large gradient of asymmetries from the core of intergranular lanes to the surroundings is partially

\[ \text{Fig. 3. Similar to Fig. 2 but for the area asymmetry.} \]
restored. However, the high negative values of $\delta A$ and $\delta a$ found in the very central cores are not fully recovered. Generally, in these regions, image reconstruction cannot generate strong negative asymmetries if they were not present in the degraded images. Likewise, if they were present in the degraded maps, they will be enhanced in the image reconstruction process.

### 3.2. Line profiles

Synthetic Stokes V profiles for the three snapshots considered are shown in Fig. 4 for the cuts indicated in Figs. 2 and 3 in green. The Stokes profiles are normalized to peak amplitude so one has to take into account that spatially and/or spectrally averaged signals possess a smaller amplitude. The profiles of the full spectral and spatial resolution are shown in green. The profiles of the full spatial resolution but spectral resolution degraded to IMaX are shown in black. The fully degraded profiles are shown in red and the phase-diversity recovered ones are plotted in blue.

The first impression is that the full spatial and spectral resolution profiles are very narrow and the presence of several components (several lobes on the red lobe) along the LOS can be easily witnessed. When the spectral resolution is degraded to 85 mÅ, the profiles are broadened, with strong modifications of the relative amplitudes of the two lobes. As a byproduct, the full spatially/spectrally degraded profiles tend to be much more symmetric, and only when the full resolution profile is extremely asymmetric, some asymmetry remains on the degraded profiles.

It is interesting to note that the phase-diversity reconstructed profiles tend towards the full spatial resolution case with IMaX spectral resolution, as expected. This means that an image reconstruction algorithm is able to partially extract the information needed to recover the Stokes V profiles. This is especially relevant for the very asymmetric profiles.

### 3.3. Statistical properties

We have tested that image reconstruction based on phase-diversity does a good job at cancelling the spatial smearing of polarimetric signals. Furthermore, although many points are lost below the enhanced noise level, the spatial appearance of asymmetries is not strongly modified. However, it is important to verify other properties. We focus now on the statistical properties of asymmetries.

Figure 5 displays the area (left panels) and amplitude (right panels) asymmetries computed on the degraded snapshots versus those obtained on the full-resolution snapshots. The upper panels correspond to the case in which the wavefront is known with 10% uncertainty, whereas the lower panels are associated to the case in which the uncertainty increases to 25%. Red points correspond to the “Degraded (IMaX)” case. Black points correspond...
Fig. 5. Left panels: area asymmetries obtained on the degraded snapshots (black for “Full (IMaX)” case, red for “Degraded (IMaX)”, and blue for “Reconstructed (IMaX)”) versus the original “Full (all λ)” area asymmetries. Right panels: same but for amplitude asymmetry. The upper panels show results when the wavefront is known with 10% uncertainty, while the lower panels display what happens when the uncertainty increases to 25%.

The spectral degradation produced by IMaX induces that area asymmetries (in absolute value) are systematically overestimated Solanki & Stenflo (1986) because the black points are above (for positive asymmetries) and below (for negative asymmetries) the main diagonal. Concerning the amplitude asymmetry at IMaX spectral resolution, the lack of spectral resolution induces a spread around the correct value. When the spatial resolution is degraded to IMaX resolution, we find that both area and amplitude asymmetries are always underestimated with respect to the original one. However, while positive asymmetries are underestimated, negative asymmetries are severely lost. The reason is that these points with negative asymmetries are associated to profiles with low Stokes V amplitude and are quickly lost below the noise level (see Khomenko et al. 2005b; Shelyag et al. 2007, for examples on simulations).

The phase-diversity reconstruction leads to a correction of the area and amplitude asymmetries such that the overlap with the black points is enhanced. This overlapping is evident for the case in which the wavefront is known with 10% accuracy but less evident when the uncertainty rises to 25%. As a byproduct, some portion of the large negative asymmetries appearing on the central parts of intergranular lanes (specifically, those surrounded by large positive asymmetries) are recovered. In contrast, isolated strong negative asymmetries (such as that found at position (2.5, 3) in the upper panel of Figs. 2 and 3) are lost below the noise level. Consequently, we conclude that the image reconstruction algorithm is able to partially recover the main properties of area and amplitude asymmetries.

Interestingly, area asymmetries can be corrected to be close to the original ones if they are divided by a factor between 0.3 and 0.5. This figure is slightly dependent on the mean magnetic field of the simulation. A correction factor of ~0.4 is a good compromise, although the spatial smearing is still present and strong asymmetries are fully lost.

4. Conclusions

We have analyzed the impact of phase-diversity image reconstruction algorithms on the Stokes V asymmetries. To this end, we employed three snapshots of 3D MHD models of solar magneto-convection with different mean magnetic fields, as representative of regions with different magnetizations on the solar surface. We synthesized the Fe i line at 5250.2089 Å, introduced spatial and spectral smearing to simulate observations with IMaX, and applied a phase-diversity reconstruction assuming imperfect knowledge of the wavefront. We concluded that asymmetries are modified by the smearing process and that...
this effect can be alleviated using the reconstruction algorithm. Area and amplitude asymmetries tend to be overestimated after the spectral smearing. This is compensated for by the spatial smearing, which tends to underestimate all asymmetries. Large negative asymmetries are lost below the noise level because they are usually associated to low-amplitude Stokes $V$ profiles. Reconstruction successfully reduces the underestimation of asymmetries and partially recovers the main characteristics. However, patches of isolated, large negative asymmetries cannot be recovered. We point out that a large number of the pixels cannot be analyzed in the reconstructed data because of the enhanced noise. This noise has a spatial correlation, which introduces additional problems. The individual line profiles tend to look more similar to those of the full spatial resolution case with degraded spectral resolution.

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