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doi:10.5937/jaes0-31703

Cite article:
Sudarsana K., Gegiranang Wiryadi G., Adi Susila G. (2022) FINITE ELEMENT ANALYSIS OF PUNCHING SHEAR-UNBALANCED MOMENT INTERACTIONS AT EDGE COLUMN-FLAT PLATE CONNECTIONS, Journal of Applied Engineering Science, 20(1), 91 - 100, DOI:10.5937/jaes0-31703

Online access of full paper is available at: www.engineeringscience.rs/browse-issues
FINITE ELEMENT ANALYSIS OF PUNCHING SHEAR-UNBALANCED MOMENT INTERACTIONS AT EDGE COLUMN-FLAT PLATE CONNECTIONS

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The unbalance moments at the edge connections of flat plate structures induced by lateral forces (i.e. an earthquake) may not always act in parallel directions of the building axes. Most research studied the unbalanced moments in one direction, a few of them in biaxial directions, and none of them in incline directions. This paper presents the results of a nonlinear finite element analysis on punching shear capacity at edge column-slab connections subjected to three directions of the unbalanced moments namely perpendicular, incline 45°, and parallel to the slab free edge in combination with the shear force. A 3-D numerical analysis of ten isolated edge column-plate connections was conducted by applying an appropriate element size, mesh, and calibrated material parameters of the concrete damage plasticity (CDP) model in ABAQUS. The connections were subjected to ten variations of the moment to shear (M/V) ratios. The results show that the punching shear capacity decreases exponentially for the unbalanced moment acting perpendicular and parallel to the slab free edge, and linearly for unbalanced moment incline 45° as the increase in M/V ratio. The M-V interaction at the edge connections depends on the unbalanced moment directions which are slightly different from the ACI 318 code.

Key words: edge slab-column connection, shear-unbalanced moment interaction, unbalanced moment direction, finite element analysis

INTRODUCTION

A flat plate reinforced concrete structure is one of the structural systems having slabs directly supported by columns without beams. The structural system has some advantages such as simplified construction by using flying formworks, reducing story height, easy to install MEP facilities. The simplifications associated with both the structural form and the technology required in their construction, are unfortunately accompanied by some difficulties in analysis and design in ensuring safety and serviceability. The main drawback of this system is the punching shear phenomenon in the slab around columns which may cause catastrophic failure. The risk of punching shear failure may increase with the presence of an unbalanced moment that is transferred from the slab to the column. Uneven gravity load distributions, different spans length, and any lateral load (i.e. earthquake or wind) can result in an unbalanced moment. In edge column-slab connections, the unbalanced moments cannot be avoided due to unbalanced slab spans and lateral loads. In high-risk seismic areas, a flat plate structure is recommended only for low-rise buildings, however, the system in high-rise buildings requires adding a special lateral force resisting system such as a shear wall or special frames [1].

The directions of earthquake excitation on the building axes can’t be predicted, it can be parallel, perpendicular, or inclined with a certain angle. Some experimental researches have been done to simulate earthquake forces as biaxial loads acting perpendicularly [2] to [5]. However, the effect of an inclined moment due to earthquake force has not been investigated. Therefore, research on the directions of unbalanced moments needs to be conducted. In addition, the interaction between punching shear force and unbalanced moment at edge column-slab connection is still questionable among researchers. The ACI 318-19 takes into account part of the unbalanced moment being transferred as an eccentric shear and limits the punching shear capacity of the slab with the shear capacity of concrete only without considering the contribution of the slab reinforcement.

Experimental work is too expensive to investigate one parameter, and became more expensive if it does to investigate many parameters. A numerical approach using a finite element method (FEM) has been done by [6] - [9] to investigate the behavior of interior column-slab connections and show that the approach gives good results compared to the experimental results. Also, detailed mechanisms of stress and strain distributions of materials are difficult to visualize in experimental work, however, they can be easily obtained using finite element analysis [10] and [11]. Therefore, a numerical approach using a finite element method (FEM) was used to investigate some parameters in this study namely the directions of unbalanced moments and the ratio of M/V to develop moment-shear interaction of the edge connections.
ACI CODE PREDICTION ON PUNCHING SHEAR

The ACI 318-19 code, assumes a critical shear section located at d/2 from the loaded area or column faces. When gravity load, wind, earthquake, or other lateral forces exist in the structure and cause a transfer of unbalanced moment (M_{sc}) from a slab to a column, a portion of this moment (\gamma_v M_{sc}) is transferred by eccentric shear which is assumed to vary linearly about the centroid of the critical shear section. The rest of the unbalanced moments is transferred by flexure (\gamma_f M_{sc}) within the column strip of the slab. The shear stress distribution along the critical section for the M_{sc} acting perpendicular to the slab-free edge is shown in Figure 1.

The nominal shear stress along perimeter AB and CD in the Figure 1, \nu_{u,AB} or \nu_{u,CD}, is determined using:

\nu_{u,AB} = \frac{V_u c_{AB} M_{sc}}{A_c J_c} \quad \text{or} \quad \nu_{u,CD} = \frac{V_u c_{CD} M_{sc}}{A_c J_c} \quad (1)

\nu_c = 1 - \frac{1}{1 + \left( \frac{2}{3} \frac{b_2}{b_1} \right)} \quad (2)

Where b_1 and b_2 are the sides of the control perimeter of a rectangular column, perpendicular and parallel to the unbalanced moment vector, respectively, M_{sc} is the unbalanced moment acting at the centroid of the critical section, and c is a distance from the centroid of the critical section to the side of the critical section (c_{AB} or c_{CD}). \nu_u is the factored shear force. The A_c and J_c in Equation 1 are areas of the critical section calculated from the circumference of the critical section (b_1) multiplied by the effective slab depth (d) and the polar inertia of the critical section, respectively. The value of A_c and J_c for the stress distribution in Figure 1 is given in Equation 3 and Equation 4.

\begin{align*}
A_c &= b_1 d = \left( c_1 + d + 2 \left( c_1 + d/2 \right) \right) d \\
J_c &= \frac{6}{6} \left( c_1 + d \right) d^3 + \frac{d \left( c_1 + d \right) \left( c_1 + d \right)^2}{2} \quad (4)
\end{align*}

RESEARCH METHODS

Specimens Data

The specimens model was subassembly edge column-plate connections as part of a previously designed three-story flat plate structure for an office building with the column to column span of 3.0 meters in both direc-
tions. The structural design followed the Indonesian Code (SNI 2847:2019) [13] for structural concrete requirements and the Indonesian seismic code (SNI 1726:2019) [14] for designing seismic loads. The detailed design of the structure can be seen in [15]. The subassembly edge column-slab connection has a slab dimension of 1625 x 3000 x 120 mm and a column dimension of 250 x 250 mm. The height of column stubs was 1000 mm above and below the slab surfaces giving a total height of 2120 mm. Deformed rebar with a diameter of 10 mm (D10) was used for the top and bottom slab reinforcement with detailed placement spacing shown in Figure 2. The column stubs were reinforced with 8D16 (8 pcs) deformed rebar with a diameter of 16mm for longitudinal reinforcement and plain rebar diameter of 8 mm with a spacing of 100mm for transverse reinforcement.

Material properties for all analysis models are given in Table 1. The stress-strain diagram of the concrete follows the Hognestad model curve [16] for normal concrete with a modulus of elasticity (Ec) and poison ratio (ν) defined in ACI 318-19 code. The stress-strain diagram of steel rebar was assumed to be elastoplastic with yield and ultimate points.

The slab was assumed to be simply supported along the three edges with a loading scheme was defined as shown in Figure 3. The loads were applied through the column stubs in which the shear force was applied as a vertical force (perpendicular to the slab surface) and the unbalanced moment was obtained from two equal horizontal forces acting on both ends of the column’s stubs.

The 45° inclined unbalance moment was exerted by two simultaneously applied horizontal loads perpendicular to each other. As many as 10 specimen models were analyzed with variations in the eccentricity (e = M/V) namely 0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 1, 1.4, and shear force only (V, e=0) and unbalanced moment only (M, e=∞) to investigate the interaction between the shear forces and unbalanced moments.

**Finite Element Simulations**

Nonlinear finite element analysis was done using Abaqus/Standard software. Concrete Damage Plasticity (CDP) features in Abaqus were used to model the damage behavior of concrete. The CDP features are a method based on plasticity proposed by Lubliner [17] and modified by Lee & Fenves [18]. Many researchers have

| Concrete data | Compressive strength, \( f'_{c} \) (MPa) | Tension strength, \( f_{t} \) (MPa) | Modulus Elasticity, \( E_{c} \) (MPa) | Strain at peak compression stress, \( \varepsilon_{co} \) | Strain at peak tension stress, \( \varepsilon_{i} \) |
|---------------|-----------------|-----------------|-----------------|-----------------|-----------------|
| 30            | 1.81            | 25742           | 0.0023          | 0.00007         |

| Steel reinforcement data | Yield stress, \( f_{y} \) (MPa) | Tension strength, \( f_{u} \) (MPa) | Modulus Elasticity, \( E_{s} \) (MPa) | Yield strain, \( \varepsilon_{y} \) | Ultimate strain, \( \varepsilon_{u} \) |
|--------------------------|-----------------|-----------------|-----------------|-----------------|-----------------|
| 400                      | 600             | 200000          | 0.002           | 0.1             |
proven the ability of the CDP Model in modeling the plastic behavior of concrete [9], [19] to [21]. It is required a sensitive analysis process to obtain a convergence value for the CDPs parameters namely the dilation angle (ψ), the eccentricity (ɛ) the ratio between biaxial and the uniaxial compressive strength of concrete ($\sigma_{bo}/\sigma_{co}$), the ratio of von misses equivalent stress on the tensile and compression meridian ($K_c$), and the viscosity ($\mu$). It is also important to define the tensile strain, and the use of damage mode on the strain and compressive behavior in the analysis. Also, considering most of the plasticity-based models are meshed size-dependent [9], a mesh sensitivity study was conducted.

Investigation of material parameters of the CDP model and calibration of the modeling technique was already conducted using the experimental results of specimen code XXX tested by El-salakawy [22] as reported in Sudarsana [23]. In modeling the specimens, the concrete was modeled as 3D stress element 8-nodes hexahedral (bricks) with reduced integration (C3D8R) and steel rebar modeled as 2-nodes linear truss element (T3D2). Modeling of reinforcement using a 3D element or truss element gives equivalent results [24]. Therefore, the truss element was chosen to reduce the analysis time. Concrete and rebar were assumed to have a perfect bond which was defined using an embedded region. As many as nineteen models have been analyzed to obtain the convergence value of CDP’s parameters. As many as four mesh sizes were evaluated for mesh sensitivity namely 30 mm, 40 mm, 50 mm, and 60 mm. The chosen mesh sizes are greater than the maximum aggregate sizes [9]. It was found that the mesh size of 60 mm gave the closest results to experimental data. Details of the calibration model can be found in [23]. After trial and errors process, and with a mesh size of 60 mm, it was found that the values of CDPs parameters were dilation angle $\psi = 40^\circ$, eccentricity $\epsilon = 0.1$, $K_c = 2/3$, ratio $\sigma_{bo}/\sigma_{co} = 1.16$, and viscosity parameter $\mu = 0.00005$. Applying all values in the numerical model, considering the tensile strain on the tension behavior, and using damage parameters of compression and tension gave good results to the experimental results as shown in Figure 4. The validated parameters obtained from the sensitivity analysis were then be used in the modeling of the specimen model in Figure 2 with a variation on the M/V ratio to investigate the behavior of punching shear failure and the interaction of punching shear and unbalanced moment at the edge column-slab connections.

Figure 3: Loading and support scheme (top); unbalanced moment directions (bottom)

Figure 4: Comparison of experimental and FEM results of validated specimen XXX tested by El-Salakawy (1998)
Table 2: Maximum shear force and unbalanced moment for moment directions to free edges

| e = M/V | Perpendicular | Incline 45° | Parallel |
|---|---|---|---|
| (m) | Shear Force (V) (kN) | Moment (M) (kNm) | Shear Force (V) (kN) | Moment (M) (kNm) | Shear Force (V) (kN) | Moment (M) (kNm) |
| 0 | 91.84 | - | 91.84 | - | 91.84 | - |
| 0.1 | 81.66 | 8.17 | 80.00 | 8.00 | 74.61 | 7.46 |
| 0.2 | 83.66 | 16.73 | 79.68 | 15.94 | 68.54 | 13.71 |
| 0.3 | 91.08 | 27.33 | 80.34 | 24.10 | 65.06 | 19.52 |
| 0.4 | 84.31 | 33.72 | 78.06 | 31.23 | 60.41 | 24.16 |
| 0.5 | 69.51 | 34.75 | 75.56 | 37.78 | 56.69 | 28.34 |
| 0.6 | 58.81 | 35.28 | 72.66 | 43.59 | 52.65 | 31.59 |
| 1.0 | 33.92 | 33.92 | 56.45 | 56.45 | 42.44 | 42.44 |
| 1.4 | 23.63 | 33.08 | 32.38 | 45.33 | 31.57 | 44.20 |
| ∞ | - | 32.86 | - | 40.86 | - | 51.50 |

RESULTS AND DISCUSSION

Connection Capacity

The shear forces (V) and unbalanced moments (M) that can be resisted by the connections with the applied ratio of M/V and the unbalanced moment directions are presented in Table 2. The analysis results show that for M/V ratio (e) < 0.4, the punching shear capacity of the connections decreases as the unbalanced moment directions changes from the perpendicular, incline 45°, and parallel to the slab free edge. For the eccentricity (e) of 0.5 and 0.6, the maximum loads can be resisted by the connections with the direction of unbalanced moment incline 45° to the free edge and the minimum loads occurred in the connection with unbalanced moment parallel to the slab free edge. For the value of M/V=1.0 and M/V=1.4, the maximum load can be resisted by the connections with an unbalanced moment incline 45° to the slab free edge and the minimum loads in the connections with the unbalanced moment perpendicular to the slab free edge.

The results also indicate that the connections subjected to dominant shear forces (M/V < 0.5), the capacity of connections with a perpendicular moment is greater than that of the connections with parallel moments. This may due to the contribution of torsional resistance provided by part of the slab in column strips parallel to the free edge. On the other hand, for the connections subjected to dominant moments (M/V >1.0), the capacity of the connections with unbalanced moments parallel to the slab free edge is higher than that of the connections with unbalanced moments perpendicular to the slab free edge. This may be as the results of continuous slab span on both sides of the column and torsion resistance of the slab in column strip perpendicular to the free edge. This is also shown by the results of the connections with pure bending. Table 2 also shows that as the unbalanced moment directions changes from perpendicular to parallel the slab free edge, the shear and moment capacities of the connections change reversely down and up, respectively, at a certain value of the M/V ratio. Using the capacities of the connections subjected to perpendicular moment as a reference, the threshold value of the M/V ratio was 0.5 for the inclined 45° unbalanced moment and 1.0 for an unbalanced moment parallel to the free edge.

Principal Strain on The Concrete Slab

The principal strains at the maximum loads on the tension side of the concrete slab and section through column axis for only the connections subjected to inclined 45° unbalanced moment directions with the eccentricities (e=M/V) of 0 (shear only), 0.3, and ∞ (moment only), are presented in Figure 5 to Figure 7, respectively. The other principal strains can be found in [15].

Stress on Steel Reinforcement

Four rebars are passing through the column faces both perpendicular and parallel to the slab free edge as shown in Figure 2(b), (c). Every two rebar are placed on the tension side as tension reinforcement and the compression side as compression reinforcement. The maximum stresses of all reinforcement passing through the column at column faces for all M/V ratios are given in Figure 8 to Figure 10 for unbalanced moment perpendicular, inclined 45°, and parallel to the slab free edge, respectively. It is shown that almost all rebars in the direction parallel to the unbalanced moment directions reach yield limit (400 MPa) both tension and compression rebar. In the connections with the unbalanced moment perpendicular to the slab free edge, only tension rebars reach the yield limit for M/V < 0.6. This indicates that shear force causes the rebar yield but not the torsional moment. It is also clearly seen in the connections subjected to an unbalanced moment parallel to the slab free edge. In the connections with unbalanced moment inclined 45° to slab free edge show all rebar perpendicular and parallel...
Figure 5: Principal strain for the model connection with $M/V=0$

Figure 6: Principal strain (a) and perpendicular free edge section (b) for $M/V=0.3$

Figure 7: Principal strain (a) and perpendicular free edge section (b) for $M/V=\infty$

Figure 8: Eccentricity effect to rebar stress with moment perpendicular to free edge at ultimate loads
the free edge exceeds limit yield stress, except for M/V=0.1. For the connections subjected to shear force only, all rebars reach the yield limit. The stress condition of the rebar passing through the column indicates that the slab tension reinforcement gives a certain contribution to punching shear resistance of the column-slab connections as proposed by CEB-FIP [25], and Gardner & Shao [26]. The experimental test done by [27], indicated the same phenomena in which the yield strength of the rebar passing through the column reaches before the punching failure occurs indicated that punching shear is not a pure shear failure rather than a flexure-shear failure. These phenomena show that the effect of the reinforcement ratio should be included in the expression used to predict the punching capacity of the slab column connections. This effect has not been taken into account in the ACI 318 code.

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Comparison to Code Prediction

The prediction of shear forces (\(V_{s,ACI}\)) and unbalanced moments (\(M_{s,ACI}\)) of the ACI 318-19 were calculated using Equation 8 and Equation 9 by assuming a linear distribution of the maximum shear stress obtained from Equation 5 to Equation 7 along the critical shear section. Table 4 presents the capacity of the connection following the ACI 318-19 code that was calculated at the centroid of the shear critical section for all parameter M/V ratios in all unbalanced moment directions. The shear forces and moments are given in Table 3 were adjusted to work at the column centroid before plotting together with the finite element results given in Table 2 as shown in Figure 11. When low unbalanced moment working on the connection, ACI 318 gives overestimates capacity than finite element analysis. Figure 11 shows a significant difference between the results of finite element analysis and prediction given by ACI 318. The interaction diagram at the connections where the unbalanced moment acting perpendicular to the slab free edge follows a rectangular shape as shown in Figure 11 (a). In this connection, the maximum shear force is almost half of the ACI prediction and the maximum moment is limited by the flexural moment of the slab reinforcement within the column strips. The analysis results show no special interaction between shear force and unbalanced moment as proposed by Moehle [12] or modification of Gardner and Shao [26].

The effect of the unbalanced moment on the shear force capacity of column-slab connections may also be evaluated from the relationship of M/V ratios and shear forces. The analysis results together with ACI 318 predictions were plotted as shown in Figure 12 where the ACI 318 code predicts the punching shear capacity of the edge column-slab connections decreasing exponentially as the M/V ratio increases for all types of connections. However, the analysis results show different trends of decreasing

### Table 3: The shear forces and moments according to ACI 318-19 at the critical section

| e=M/V | Perpendicular | Incline 45° | Parallel |
|-------|---------------|-------------|----------|
|       | Shear Force \(V_{s,ACI}\) | Moment \(M_{s,ACI}\) | Shear Force \(V_{s,ACI}\) | Moment \(M_{s,ACI}\) | Shear Force \(V_{s,ACI}\) | Moment \(M_{s,ACI}\) |
| (m)   | (KN)          | (KNm)       | (KN)     | (KNm)       | (KN)     | (KNm)       |
| 0     | 173.27        | -           | 173.27   | -           | 173.27   | -           |
| 0.1   | 130.49        | 13.05       | 127.16   | 12.72       | 123.41   | 12.34       |
| 0.2   | 104.66        | 20.93       | 100.44   | 20.09       | 95.83    | 19.17       |
| 0.3   | 87.36         | 26.21       | 82.99    | 24.90       | 78.33    | 23.50       |
| 0.4   | 74.97         | 29.99       | 70.71    | 28.29       | 66.23    | 26.49       |
| 0.5   | 65.66         | 32.83       | 61.60    | 30.80       | 57.37    | 28.69       |
| 0.6   | 58.40         | 35.04       | 54.56    | 32.74       | 50.60    | 30.36       |
| 1.0   | 40.50         | 40.50       | 37.46    | 37.46       | 34.38    | 34.38       |
| 1.4   | 31.00         | 43.40       | 28.52    | 39.92       | 26.03    | 36.44       |
| ∞     | -             | 24.39       | -        | 35.26       | -        | 42.88       |

**Figure 11**: Interaction between shear forces and moments compared to ACI 318 prediction
the punching shear capacity that depends on the direction of unbalanced moments. For the connections with unbalanced moments that act perpendicular to slab free edge, the shear capacity decreases exponentially following the ACI 318 starting from M/V ratio of 0.3, but before that point, the shear capacity does not change significantly as seen in Figure 12(a). For the connections with the direction of an unbalanced moment inclined 45° to slab free edge, the shear capacity decreases linearly as the M/V ratio increases as shown in Figure 12(b). Exponentially decreases in the shear capacity as in the ACI 318 occurs in the connection with the unbalanced moments acting parallel to slab free edge. It is also shown in Figure 12, the shear capacity of the ACI 318 code overestimates the shear capacity for an M/V ratio of less than 0.3.

CONCLUSION

Nonlinear finite element analysis applying the calibrated material parameters of the CDP model in Abaqus predicts the punching shear behavior of edge column-slab connection subjected to shear force and unbalanced moment in any direction. The results show that the punching shear capacity of the connections decreases as the M/V ratio increases. The unbalanced moment directions also affected the connections’ capacity. As the unbalanced moment directions changes from perpendicular to parallel the slab free edge, the shear and moment capacities of the connections change reversely down and up, respectively, at a certain value of the M/V ratio. Using the capacities of the connections subjected to perpendicular moment as a reference, the threshold value of the M/V ratio was 0.5 for the inclined 45° unbalanced moment and 1.0 for an unbalanced moment parallel to the free edge. The analysis also shows that almost all tension rebar passing through the column faces at the direction of applied moment yield at the failure loads. The M-V interactions at edge column slab connections depend on the unbalanced moment directions which are different from the assumed interaction in the ACI 318 code. The reduction in punching shear capacity of the connections as the increase in the M/V ratios shows different trends from the ACI 318 code prediction, especially when the connections are subjected to dominant shear with the M/V ratio less than 0.3, 0.3, and 0.4, respectively for the direction of unbalanced moments perpendicular, inclined 45°, and parallel to the slab free edge, where the ACI 318 code predicts the shear capacity much higher. These results were based on finite element analysis so that further experimental tests are needed.

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