PROTEIN SEQUENCE DESIGN WITH A LEARNED POTENTIAL

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ABSTRACT

The primary challenge of fixed-backbone protein design is to find a distribution of sequences that fold to the backbone of interest. This task is central to nearly all protein engineering problems, as achieving a particular backbone conformation is often a prerequisite for hosting specific functions. In this study, we investigate the capability of a deep neural network to learn the requisite patterns needed to design sequences. The trained model serves as a potential function defined over the space of amino acid identities and rotamer states, conditioned on the local chemical environment at each residue. While most deep learning based methods for sequence design only produce amino acid sequences, our method generates full-atom structural models, which can be evaluated using established sequence quality metrics. Under these metrics we are able to produce realistic and variable designs with quality comparable to the state-of-the-art. Additionally, we experimentally test designs for a de novo TIM-barrel structure and find designs that fold, demonstrating the algorithm’s generalizability to novel structures. Overall, our results demonstrate that a deep learning model can match state-of-the-art energy functions for guiding protein design.

Significance

Protein design tasks typically depend on carefully modeled and parameterized heuristic energy functions. In this study, we propose a novel machine learning method for fixed-backbone protein sequence design, using a learned neural network potential to not only design the sequence of amino acids but also select their side-chain configurations, or rotamers. Factoring through a structural representation of the protein, the network generates designs on par with the state-of-the-art, despite having been entirely learned from data. These results indicate an exciting future for protein design driven by machine learning.
Introduction

Computational protein design has emerged as a powerful tool to expand the space of known protein folds \cite{1, 2, 3, 4, 5, 6}, create variations on existing topologies \cite{7, 8, 9, 10, 11}, and access the vast space of sequences yet to be traversed by evolution \cite{12}. These advances have enabled significant achievements in the engineering of therapeutics \cite{13, 14, 15}, biosensors \cite{16, 17, 18}, enzymes \cite{19, 20, 21, 22}, and more \cite{23, 24, 25, 26, 27}. Key to such successes are robust sequence design methods that minimize the folded-state energy of a pre-specified backbone conformation, which can either be derived from existing structures or generated de novo. This difficult task is often described as the “inverse” of protein folding—given a protein backbone, design a sequence that folds into that conformation.

Current approaches for fixed-backbone sequence design commonly involve specifying an energy function and sampling sequence space to find a minimum-energy configuration. Methods for optimizing over residues and rotamers include variations on the dead-end elimination algorithm \cite{28, 29, 30, 31}, integer linear programming \cite{32, 33}, belief propagation \cite{34, 35}, and Markov Chain Monte Carlo (MCMC) with simulated annealing \cite{36, 37, 38}. RosettaDesign \cite{39, 40}, an example of the latter, is the only method among these that has been broadly experimentally validated \cite{39, 40}.

Most molecular mechanics force fields are highly sensitive to specific atom placement, and as a result the designed sequences can be convergent for a given starting backbone conformation. For most native proteins, however, the existence of many structural homologs with low sequence identity suggests that there are a distribution of viable sequences that fold into a given target structure. Access to these sequences can be important for function, as is evident in most evolutionary trajectories, both natural and in vitro \cite{41, 42}. In practice, the generation of diverse sequences with Rosetta often requires manually manipulating amino acid identities at key positions \cite{7, 9}, adjusting the energy function \cite{43, 44}, or explicitly modeling perturbations of the protein backbone \cite{45, 46, 47, 48}. Design methods that account for flexibility of the protein backbone often use “softer” potentials \cite{49}, such as statistical potentials that are derived from data \cite{50, 51, 52, 53, 54}, but these methods often do not perform as favorably as well-parameterized “hard” molecular mechanics force fields.

We sought to develop a method that could potentially produce diverse sequences from a fixed starting backbone while capturing accurate physical interactions to guarantee the viability of the sequences. Additionally, while conventional energy functions used in sequence design calculations are often composed of pairwise terms that model interatomic interactions, we hypothesized that a more comprehensive representation of the environment could better capture the multi-body nature of interacting amino acids (e.g., in hydrogen bonding networks).

With the emergence of deep learning systems and their ability to learn patterns from high-dimensional data, it is now possible to build models that learn complex functions of protein sequence and structure, including models for protein backbone generation \cite{55} and protein structure prediction \cite{56}. We anticipated that a deep learning approach conditioned on local chemical environments could capture higher order interactions relevant for sequence design, while still allowing for implicit modeling of backbone flexibility.

In this study, we explored an approach for fixed-backbone sequence design that uses a neural network model as a statistical potential learned directly from crystal structure data. This potential predicts a distribution over the amino acid identity and rotamers at a given residue position, conditioned on the surrounding chemical environment. To design sequences and rotameric states for a candidate protein backbone, we iteratively sample from these learned conditional distributions at each residue position. While conventional energy functions require careful parameterization and iterative
refinement, our method learns directly from data and requires no modeling or additional fitting to data apart from training the network.

Not relying on any human-specified priors, rather learning directly from crystal structure data, our method produces realistic and variable sequences with quality on par with Rosetta designs under several key metrics. We computationally validate designed sequences via structure prediction and experimentally test the generalization of our method to a de novo TIM-barrel scaffold, showcasing its practical utility in design applications for which there are no closely-related native structures.

Results

Approach

We train a deep 3D convolutional neural network (CNN) to predict amino acid identity given the residue’s local environment, consisting of all neighboring backbone and side-chain atoms within a fixed field-of-view, masking the side-chain atoms of the residue of interest. This conditional model defines a distribution over amino acid types and rotamer angles at each sequence position (Figure 1). We also train a baseline model that has only backbone atoms as an input, as a means to initialize the backbone with a starting sequence.

Given a backbone structure \( X \) for an \( n \)-residue protein, we are interested in sampling from the true distribution of sequences \( Y \) given \( X \),

\[
P(Y|X) = p(y_1, \ldots, y_n|X)
\]

We assume that the identity and conformation (rotamer) of each side-chain \( y_i \) is entirely determined by its local context, namely the identities and rotamers of its neighboring residues \( y_{NB(i)} \) and backbone atoms \( X \). Under this assumption, the input backbone \( X \) defines a graph structure, where nodes correspond to rotamers \( y_i \) and edges exist between pairs of residues \( (y_i, y_j) \) within some threshold distance of each other. We can interpret this graph as a Markov Random Field (MRF), where each rotamer \( y_i \) is independent of all residues conditioned on those in its Markov blanket, i.e.

\[
p(y_i|y_{-i}, X) = p(y_i|y_{NB(i)}, X) \overset{\text{def}}{=} p(y_i|\text{env})
\]

The conditional distribution at a single residue position \( i \) can be factorized as follows:

\[
p(y_i|\text{env}_i) = p(r_i|\text{env}) p(\chi_1|r_i, \text{env}_i)p(\chi_2|r_i, \chi_1, \text{env}_i) \\
p(\chi_3|r_i, \chi_1, \chi_2, \text{env}_i)p(\chi_4|r_i, \chi_1, \chi_2, \chi_3, \text{env}_i)
\]

where \( r_i \) is the amino acid type of residue \( i \) and \( \chi_1, \chi_2, \chi_3, \chi_4 \) are the torsion angles for the side-chain.

If we had access to the true conditional distributions \( p(y_i|\text{env}_i) \) for each residue, by doing Gibbs sampling over the graph, we would be able draw samples as desired from the joint distribution \( P(Y|X) \). Gibbs sampling is an MCMC algorithm and involves repeatedly sampling values for each variable conditioned on the assignments of all other variables [57]. We train a network to learn these conditional distributions from data. For a residue position \( i \), given backbone atoms \( X \) and neighboring rotamers \( y_{NB(i)} \), our trained classifier outputs \( p_\theta(y_i|\text{env}) \), a discrete distribution over amino acid types and rotamers where \( p_\theta(y_i|\text{env}) \approx p(y_i|\text{env}) \).

The network is trained to learn this conditional distribution in an autoregressive manner. Conditioning on the local environment, the network predicts a distribution over residue types \( p_\theta(r_i|\text{env}_i) \). Then, both the environment feature vector and the ground-truth residue identity \( \hat{r}_i \) are used to predict a discrete distribution \( p_\theta(\chi_1|\hat{r}_i, \text{env}_i) \). Then, the environment feature vector, the ground-truth residue identity, and the discretized ground-truth angle \( \hat{\chi}_1 \) are used to predict a discrete distribution \( p_\theta(\chi_2|\hat{\chi}_1, \hat{r}_i, \text{env}_i) \). This autoregressive unroll proceeds up to \( \chi_4 \).

We approximate the joint probability of a sequence \( P(Y|X) \) with the pseudo-log-likelihood (PLL) [58] of the sequence under the model,

\[
\text{PLL}(Y|X) = \sum_i \log p_\theta(y_i|\text{env}_i)
\]

Training the neural network with cross-entropy loss involves maximizing the log probability of the correct residue class given the residue environment, and the PLL is the sum of these log probabilities. We treat the negative PLL as a heuristic model energy for selecting sequences and in some experiments optimize the PLL via simulated annealing.
**Protein Sequence Design with a Learned Potential**

**Figure 2:** Model-based rotamer repacking and sequence design. A) Native test case backbones. B-C) Post-design metrics: B) Rotamer repacking results. (Left) Post-repack rotamer side-chain RMSD (Å) across all test cases, 5 runs each (Random initialization of rotamers, initialization with baseline model prediction from backbone atoms only, rotamer repacking with conditional model, Rosetta rotamer packing starting from baseline model initialization with and without extra $\chi_1, \chi_2$ sampling). (Right) Visualization of 5 rotamer repacking trajectories for test case 3mx7 (Black – model-designed rotamers. Blue – crystal structure side-chains). C) Native sequence recovery. (Left) Model design for test case 1cc8 (White – crystal structure. Pink/purple – model-designed non-mutations/mutations). (Right) Fraction native sequence recovery for model versus Rosetta baselines across all test cases ($n = 50$). D-E) Design variability: D) Cluster plot representation of inter-sequence string distances across methods for test cases. Edges are between sequences with greater than 37.5% overlap with weights proportional to percent sequence overlap. Nodes are positioned using the Fruchterman-Reingold force-directed algorithm [59]. E) Position-wise amino acid distributions for test case 1cc8. Columns are ordered by increasing solvent-accessible surface area (SASA) of native sequence residues from left to right. (Top) Native sequence and aligned homologous sequences from MSA ($n = 670$); (Middle) Model designs ($n = 50$); (Bottom) Rosetta-FixBB designs. ($n = 50$). MSAs obtained using PSI-BLAST v2.9, the NR50 database, BLOSUM62 with an E-value cutoff of 0.1.

**Trained classifier recapitulates expected biochemical features**

Our conditional model achieves a 57.3% test set accuracy for amino acid type prediction, and the baseline classifier achieves a 33.5% test set accuracy. Compared to other machine learning models for the same task, our model gives an improvement of 14.7% over 60 (42.5%), and similar performance to 61 (52.4%), 62 (56.4%) and 63 (58.0%). We note that we do not use the same train/test sets as these studies. The predictions of the network correspond well with biochemically justified substitutability of the amino acids (Fig. S1A and B). For example, the model often confuses large hydrophobic residues phenylalanine (F), tyrosine (Y), and tryptophan (W), and within this group, more often confuses Y for F which are similarly sized compared to the bulkier W. This type of learned degeneracy is necessary in order for the classifier to be useful for guiding residue selection in sequence design, as native proteins are often structurally robust to mutations at many residue positions.

Looking at residue-specific accuracies (Fig. S1C, top), on the whole, the conditional model is more certain about hydrophobic/non-polar residue prediction compared to hydrophilic/polar; this corresponds with the intuition that exposed regions in many cases allow a variety of polar residues, while buried core regions might be more constrained...
and therefore accommodate a limited set of hydrophobic residues. Both the conditional and baseline models do especially well at predicting glycine and proline residues, both of which are associated with distinct backbone torsion distributions (Fig. S1C).

The conditional model is trained to predict binned rotamer torsion ($\chi$) angles in an autoregressive fashion. Across 24 bins, the hard test set accuracy of the model is 52.7%, 43.6%, 26.8%, and 30.6% for $\chi_1$, $\chi_3$, $\chi_4$, respectively. The hard accuracy represents accuracy to within less than 15 degrees. We also report the accuracy to within 30, 45, and 60 degrees (Fig. S1D).

The rotamer prediction module by construction is a backbone-dependent rotamer library that samples from the joint $p(\chi_1, \chi_2, \chi_3, \chi_4 | X, r_i)$, given backbone atoms $X$ and residue identity $r_i$. We see that the network-learned factorized $\chi$ distributions match well with the empirical residue-specific rotamer distributions (Fig. S1D), which rotamer libraries typically seek to capture.

**Design algorithm recovers native rotamers and sequence**

We selected four native structures from the test set as test cases for evaluating our method: PDB entries 1acf, 1bkr, 1cc8, and 3mx7 (Fig. 2A). We selected these test structures because they span the three major CATH [64, 65] protein structure classes (mostly alpha, alpha-beta, and mostly beta) and because their native sequences were recoverable via structure prediction with Rosetta AbInitio, ensuring they could serve as a positive control for later in silico folding experiments.

We assess the performance of our sequence design method by comparison with two Rosetta baselines. The first, Rosetta-FixBB, is a fixed-backbone Rosetta design protocol, and the second, Rosetta-RelaxBB, interleaves backbone relaxes between fixed backbone design cycles, allowing the template backbone to move. The Rosetta-FixBB protocol provides the most relevant comparison to our method as both operate on fixed backbones. However, Rosetta-RelaxBB is the more commonly used mode of sequence design, generating solutions that are more variable than those of Rosetta-FixBB. We have therefore included designs from both methods for comparison.

We first evaluated how well the model can pack rotamers, given a fixed backbone and sequence – a benchmark normally requiring highly accurate energy functions to achieve good results (Movie S1). The model is capable of recovering rotamers with low side-chain RMSD (Å) and low $l_2$ $\chi$ recovery error to native (Fig. 2B and S3A and Dataset S2). Moreover, Rosetta is far more sensitive to the backbone than the model: for the test case 1acf, Rosetta does well once the crystal structure backbone has been relaxed under the Rosetta energy function with the native sequence and rotamers in place; our model, however, is robust to the deviations in the backbone and performs similarly in both cases (Fig. S3A).

We next ran sequence and rotamer design trajectories for the native backbone test cases (Dataset S2 and Movie S2). On average, the model recapitulates the native sequence to a similar extent as Rosetta-FixBB and to a better extent than Rosetta-RelBB (Fig. 2C and S3B). In addition, model-designed sequences adhere to an amino acid distribution similar to the native sequence and its aligned homologs (Fig. S4A and SI Appendix 1A).

**Model designs achieve greater sequence diversity than fixed-backbone Rosetta designs**

While the Rosetta-FixBB designs are convergent, the model designs are more variable in terms of inter-sequence overlaps across designs (Fig. 2D and S4B).

We studied patterns of variability by position, specifically between solvent-exposed and buried regions. The model designs are more variable in solvent-exposed regions, as are sequences homologous to the native found through multiple sequence alignment (MSA); in comparison, the Rosetta-FixBB protocol is much more convergent (Fig. 2E and S4C). However, both the model designs and Rosetta designs converge to similar core (low solvent-accessible surface area) regions, indicating that perhaps the need for a well-packed core in combination with volume constraints determined by the backbone topology might limit the number of feasible core designs. In general, model designs have higher position-wise entropy (Figure S4D, bottom), while still retaining correct patterns of hydrophobic/non-polar and hydrophilic/polar residue positions at buried and solvent-exposed positions, respectively.

**Model designs are comparable to Rosetta designs under a range of biochemically relevant metrics**

We next assessed the validity of designed sequences en masse using metrics that are agnostic to any energy function. Sequences designed onto the test case backbones should ideally have the following key biochemical properties [66, 67]: (1) core regions should be tightly packed with hydrophobic residues, a feature that is important for driving protein folding and maintaining fold stability [68, 69, 70]; (2) designs should have few exposed hydrophobic residues, as these...
incurs an entropic penalty as a result of solvent ordering under the hydrophobic effect and an enthalpic penalty from disrupting hydrogen bonding between polar solvent molecules [71, 72, 73], making it energetically favorable to place polar residues at solvent-accessible positions and apolar residues at buried positions; (3) If polar residues are designed in core regions, they should be supported by hydrogen bonding networks [74, 75].

Model designs tend to be well-packed, with core packing overall improving after relaxing the backbone under the Rosetta energy function (Fig. S5A,B). In general, the model-designed sequences do not have exposed hydrophobics in solvent-exposed positions, similar to the Rosetta designs (Fig. S5C). Finally, the model designs match the native structure in terms of numbers of side-chain and backbone buried unsatisfied hydrogen bond acceptors and donors (Fig. S5D-F); this indicates that over the course of model design, side-chains that are placed in buried regions are adequately supported by backbone hydrogen bonds or by design of other side-chains that support the buried residue (SI Appendix 1B).

To confirm that key sequence features are retained despite the increased variability seen, we calculated Psipred [75, 76, 77, 78, 79, 80] secondary structure prediction cross-entropy relative to DSSP assignments [81]. We found that the predictions for model-designed sequences from single sequences are comparable in accuracy to those for the native sequence and Rosetta designs (Fig. S6A), indicating that despite variation, the designed sequences retain local residue patterns that allow for accurate backbone secondary structure prediction.

A key structural feature is the placement of N-terminal helix capping residues—typically there will be an aspartic acid (D), asparagine (N), serine (S), or threonine (T) preceding the helix starting residue, as these amino acids can hydrogen bond to the second backbone nitrogen in the helix [82, 83]. The majority of both the model designs and the Rosetta designs successfully place these key residues at the native backbone capping positions (Fig. S6B).
We evaluated the model energy (negative PLL) and the Rosetta energy for a range of structures (decoys) folded with the model. We next asked whether the model has learned to be robust to a larger range of input backbones beyond what is seen in the training set, as well as whether the model PLL can be used to find high likelihood backbones given a target sequence. In essence, we query the extent to which the classifier can capture the likelihood of structure given sequence.

We evaluated the model energy (negative PLL) and the Rosetta energy for a range of structures (decoys) folded with the Rosetta AbInitio structure prediction protocol (Fig. 3C). Although we do not expect the model to generalize to highly out-of-distribution backbones (for example, distended or unfolded backbones), we see that the model energy is low for the decoys with lowest RMS to the native backbone, and that the energy vs. RMS plots have a “funnel”-like shape. This indicates that for low RMS structures the model is robust to deviations in the input backbone and can be used to select structures closer to the native backbone. There are cases where the model assigns high PLL to high RMS backbones (Fig. 4C, inset): for example, for 1aef an alternative N-terminal helix conformation and for 1cc8 an alternative pattern of beta strand pairing are assigned high PLLs.

Quantitatively, we see that in the lower RMS regime (∼< 10Å from the native), the relationships between the model or Rosetta energies and the structure RMS are similarly monotonic as measured by the Spearman rank correlation (Fig. 3D), indicating that the model energy and Rosetta energy can rank order structures by RMS with similar accuracy.

Unlike an analytical energy function, the model energy is not expected to generalize to structures far from the training distribution. However, these results suggest that the model has to an extent learned features that capture sequence-structure energetics, and in particular are useful for identifying and ranking low RMS decoys.

Designed sequences are corroborated by blind structure prediction

To determine whether the model-designed sequences could adopt structures with low Rosetta energy and low root-mean-square (RMS) deviation from the starting backbone, we performed blind structure prediction using the AbInitio application in Rosetta [84, 85, 86].

Top sequences for validation were selected based on quantitative design metrics (see Methods), and for each test case we ran AbInitio folding on the selected model design in addition to the best Rosetta designs and a 50% perturbed native sequence baseline under our selection criteria (Dataset S3).

The model designs had generally low sequence identity to the native sequence (≤ 43.1%), but were able to closely recover the native backbones (Fig. S8). All of the model designs achieved significantly better recovery than the 50% randomly perturbed cases. These results suggest that (1) close recovery of the native backbone is due to features learned by the model and not simply due to sequence overlap with the native, and (2) that our method is able to generate solutions not accessible via Rosetta design, that differ from native sequences, and yet fold under the Rosetta energy function.

Model captures sequence-structure relationship

We next asked whether the model has learned to be robust to a larger range of input backbones beyond what is seen in the train set, as well as whether the model PLL can be used to find high likelihood backbones given a target sequence. In essence, we query the extent to which the classifier can capture the likelihood of structure given sequence.

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Application – Model-based sequence design of a de novo TIM-barrel

Design of de novo proteins remains a challenging task as it requires robustness to backbones that lie near, but outside the distribution of known structures. Successful de novo protein designs often lack homology to any known sequences despite the fact that de novo structures can qualitatively resemble known folds [9, 7, 88]. For a design protocol to perform well on de novo backbones it must therefore avoid simple recapitulation of homologous sequences.

To assess whether our model could perform sequence design for de novo structures, we tested our method on a Rosetta-generated four-fold symmetric de novo TIM-barrel backbone.

The TIM-barrel backbone is a circularly permuted variant of the reported design 5bvl [2], which was excluded from the training set. While we did not exclude native TIM-barrels from the training set, 5bvl is distant in sequence and structure from any known protein, and contains local structural features that differ significantly from naturally occurring TIM-barrels [7], making it unlikely that the model would return memorized sequences found in the training set as solutions. The original design 5bvl was designed using a combination of Rosetta protocols and manual specification of residues.
We generated four-fold symmetric sequences for this template by sampling without annealing and using Rosetta for rotamer repacking in place of the model rotamer predictions. We found that designs exhibited dense hydrophobic packing (Fig. 4A), and had hydrogen-bonding networks and correctly placed helical capping residues (Fig. 4B). We selected 8 four-fold symmetric designs to test experimentally (Dataset S4). These structures were predicted to fold with low deviation into the desired structure by Rosetta AbInitio structure prediction (Fig. 4C), as well as by the newer deep-learning based trRosetta method [87] (Fig. 4D). Of these 8 designs, 3 are cooperatively folded proteins, as indicated by circular dichroism (CD) wavelength scans (Fig. 4E) and by the presence of clear two-state transitions in thermal melt curves (Fig. 4F).

Overall, these results indicate that our method can produce folded designs even for protein backbones outside the space of known native structures.

Discussion

In this study, we demonstrate that a design algorithm guided by an entirely learned neural network potential can give a distribution of possible sequences for a fixed backbone. Our method is able to design sequences which are comparable to those designed by Rosetta protocols across a range of key biochemical metrics, and we show how our design method generalizes to de novo backbones with experimental evidence supporting designed sequences folding to stable proteins. Remarkably, our design algorithm captures key behaviors of established design methods guided by energy functions, such as the ability to (1) accurately determine side-chain conformations, (2) differentiate the hydrophobic interior and polar exterior of the proteins, (3) design multi-body interactions as reflected by readily produced hydrogen-bonding networks, and (4) discriminate low RMS structures in a folding simulation trajectory.
Ours is the first deep learning method to tackle both sequence and rotamer design. Previous machine learning models for the task of residue prediction conditioned on chemical environment have only been applied to single-shot residue prediction or architecture class, secondary structure, or \( \Delta \Delta G \) prediction [60–89, 62, 63, 61]. Other deep learning approaches have been developed for sequence design or rotamer packing [90, 91, 92, 93, 94, 95], but most of these methods’ designs have not been comprehensively validated by a range of biochemical metrics or by folding in silico or in vitro.

The primary limitations to using a deep neural network to guide design in this manner are the possibility of artifacts due to the model learning non-robust features from the data and the inability of the network to generalize to backbones far from the training distribution. We show that the model does in fact produce biochemically justifiable designs, and moreover generalizes to out-of-distribution backbones (Fig. 3C–F). Overall, our results show that a neural network can learn necessary patterns to match state-of-the-art energy functions for guiding protein design, even without any hand-engineered features. Our method is also flexible—the design protocol easily allows for adding position-specific constraints during design, and other neural network models could be used in place of the classifier network presented without fundamentally changing the method. Though we evaluated our method against Rosetta in this paper, in practice our method could be combined with Rosetta in a natural way. Rosetta design protocols run MCMC with a uniform proposal distribution over amino acid types and rotamers. Instead, the distribution provided by the classifier could be used as a proposal distribution for Rosetta design protocols, potentially speeding up convergence. The model energy, along with other metrics, could also be used to guide early stopping to avoid over-optimization of the Rosetta energy function.

Although we have shown in this paper how sampling with a trained deep neural network classifier can be used for sequence design, the framework we have outlined has many possible applications beyond fixed-backbone sequence design. We anticipate that the procedure we have established could be used to guide the design of interfaces, protein-nucleic acid complexes, and ligand binding sites. Because our model can design networks of polar interactions, we believe the method could potentially be extended to model water-mediated contacts during design.

Our results demonstrate both the practical applicability of an entirely learned method for protein design and also the capability of deep learning models to learn sequence-structure features, heretofore best captured by heuristic energy functions. We believe this study demonstrates the potential for machine learning methods to transform current methods in structure-based protein design.

**Methods**

Dataset S5 available for download at [https://drive.google.com/file/d/1yBQ42M4yRW1b4G6vFlQS8_UFvSjulu/view?usp=sharing](https://drive.google.com/file/d/1yBQ42M4yRW1b4G6vFlQS8_UFvSjulu/view?usp=sharing)

Code available at [https://github.com/nanand2/protein_seq_des](https://github.com/nanand2/protein_seq_des)

**Design algorithm**

Given a candidate protein backbone for which a corresponding sequence is desired, we initialize a sequence and then iteratively sample amino acid identities and rotameric states from the conditional probability distribution defined by the classifier network in order to design sequences.

Initialization can be done arbitrarily. In this study, we initialize the sequence and the rotamers by sampling from the baseline model predicted distributions. The baseline model is only conditioned on backbone atoms.

Given a residue position \( i \) and a local environment \( \text{env}_i \) around that residue with either just backbone atoms (baseline model) or other residue side-chains as well (conditional model), the sampling procedure is as follows. First, sample a residue type \( \hat{r}_i \) conditioned on the environment.

\[
\hat{r}_i \sim p_\theta(r_i | \text{env}_i)
\]

Then, conditioned on the environment and the sampled amino acid type, sample rotamer angles for that residue type in an autoregressive manner:

\[
\hat{\chi}_{1i} \sim p_\theta(\chi_{1i} | \hat{r}_i, \text{env}_i)
\]

\[
\hat{\chi}_{2i} \sim p_\theta(\chi_{2i} | \hat{r}_i, \hat{\chi}_{1i}, \text{env}_i)
\]
After a discrete rotamer bin has been sampled, rotamer angles are sampled uniformly within the selected bin.

As residues and rotamers are sampled at different positions along the protein backbone, we monitor the negative pseudo-log-likelihood of the sequence as a heuristic model energy. Note that most residues have fewer than four \( \chi \) angles. At sampling time, for each residue only the corresponding \( \chi \) angles are sampled. For residues which do not have a particular \( \chi_j \), an average value for the log probability of \( \chi_j \) under the conditional model across the train set and across the rotamer bins is used instead in the PLL calculation.

In this study we use a Gibbs sampling procedure, where we iteratively sample residue types and rotamer states from the learned conditional distributions. Although the learned distribution \( p_\theta(y_i|\text{env}) \) is only an approximation of the true conditional distribution, if the learned conditionals were to match the true data conditionals, then a Gibbs sampler run with the learned conditionals would have the same stationary distribution as a Gibbs sampler run with the true data conditionals [96]. In some cases, we do simulated annealing to optimize the PLL.

In order to speed up convergence, we do blocked sampling of residues. In practice, we draw edges in the graph between nodes where corresponding residues have \( C_\beta \) atoms that are less than 20 Å apart, guaranteeing that non-neighboring nodes correspond to residues that do not appear in each other’s local environments. During sampling, we use greedy graph coloring to generate blocks of independent residues. We then sample over all residues in a block in parallel, repeating the graph coloring every several iterations.

The model is restricted from designing glycines at non-loop positions, based on DSSP assignment [97, 81].

**Rotamer repacking.** Five rounds of rotamer repacking were done on each of the four test case backbones. Repacking is done by fixing the native sequence and randomizing starting rotamers, or using baseline model predictions on backbone atoms only to initialize rotamers. Rotamer prediction at each step and each residue position conditions on the true native residue identity. Model negative PLL averaged by protein length was annealed for 2500 iterations with starting temperature 1 and annealing multiplicative factor 0.995. Rotamer repacking was evaluated under two metrics: the \( \chi \) angle \( l_2 \) distance between the unit vectors corresponding to the rotamer angles, and residue side-chain RMSD (Å).

**Native test case sequence design.** Fifty rounds of sequence and rotamer design were done on each of the four test case backbones. Model negative PLL averaged by protein length was annealed for 2500 iterations with starting temperature 1 and annealing multiplicative factor 0.995. Top sequences for structure prediction were filtered by the following criteria: all helices capped at the N-terminal, packstat post-RosettaRelax \( \geq 0.65 \), and alpha-carbon RMSD \( \leq 1.2 \) Å. After filtering, sequences were selected by lowest model energy post-relax, or lowest Rosetta energy for the baselines and controls.

**TIM-barrel design.** Six rounds of residue sampling were run for 25K iterations each. Design was done on a TIM-barrel template prepared using RosettaRemodel [98, 7]. Rotamers were packed at each iteration using PyRosetta with a pack radius of 5 Å and extra \( \chi_1, \chi_2 \) sampling [99]. Residue and rotamer symmetry is enforced by averaging predicted logits across symmetric positions before normalizing to a discrete distribution and sampling. Cysteines and methionines were restricted during design. Top sequences were selected by finding local minima of the model residue negative pseudo-log-likelihood (model energy) over the course of sampling, specifying that the local minima must be below a 5% percentile cutoff of model energy and at least 50 steps apart. Then, the final designs for experimental validation were selected based on model energy and packstat score and by looking at qualitative design features such as core packing and polar network formation.

**Runtime.** The runtime for our method for sequence design is determined primarily by two steps: (1) sampling residues and rotamer angles and (2) computing the model energy (negative PLL). These times are determined by the speed of the forward pass of the neural network, which is a function of the batch size, the network architecture, and the GPU itself. Note that to compute the model energy, a forward pass of the network is done at each residue position where the environment around that residue has changed.

Annealing for 2500 steps takes between 1 to 2 hours for the native test cases on a computer with 32 GB RAM and on a single GeForce GTX TITAN X GPU, with other processes running on the machine (Dataset S2). Rosetta-RelaxBB takes 20-30 minutes per design, while Rosetta-FixBB takes 5-15 minutes per design. Compressing the network or modifying the architecture and parallelizing the sampling procedure across more GPUs would improve the overall runtime. In addition, a faster annealing schedule and early stopping of optimization would also reduce the runtime.
PROTEIN SEQUENCE DESIGN WITH A LEARNED POTENTIAL

Data

To train our classifier, we used X-ray crystal structure data from the Protein Data Bank (PDB) \[100\], specifically training on CATH 4.2 S95 domains \[64\]–\[65\]. We separated domains into train and test sets based on CATH topology classes, splitting classes into \(\sim 95\%\) and \(5\%\), respectively (1374 and 78 classes, 53,414 and 4372 domains each, see Dataset S1). This ensured that sequence and structural redundancy between the datasets was largely eliminated. We first applied a resolution cutoff of 3.0 Å and eliminated NMR structures from the dataset. During training, we did not excise domains from their respective chains but instead retained the complete context around a domain. When a biological assembly was listed for a structure, we trained on the first provided assembly. This was so that we trained primarily on what are believed to be functional forms of the protein macromolecules, including in some cases hydrophobic protein-protein interfaces that would otherwise appear solvent-exposed.

The input data to our classifier is a \(20 \times 20 \times 20 \, \alpha^3\) box centered on the target residue, and the environment around the residue is discretized into voxels of volume \(1 \, \alpha^3\). We keep all backbone atoms, including the \(C_\alpha\) atom of the target residue, and eliminate the \(C_\beta\) atom of the target residue along with all of its other side-chain atoms. We center the box at an approximate \(C_\beta\) position rather than the true \(C_\beta\) position, based on the average offset between the \(C_\alpha\) and \(C_\beta\) positions across the training data. For ease of data loading, we only render the closest 400 atoms to the center of the box.

We omit all hydrogen atoms and water molecules, as well as an array of small molecules and ions that are common in crystal structures and/or possible artifacts (Dataset S1). We train on nitrogen (N), carbon (C), oxygen (O), sulfur (S), and phosphorus (P) atoms only. Ligands are included, except those that contain atoms other than N, C, O, S, and P. Bound DNA and RNA are also included. Rarer selenomethionine residues are encoded as methionine residues. For the baseline model, we omit all side-chain atoms while training, so that the model conditions only on backbone atoms. For the conditional model, the input channels include: atom type (N, C, O, S, or P), indicator of backbone (1) or side-chain (0) atom, and one-hot encoded residue type (masked for backbone atoms for the center residue). For the baseline model, the input channels only encode atom type, since all atoms are backbone atoms and we assume no side-chain information is known.

We canonicalize each input residue environment in order to maximize invariance to rotation and translation of the atomic coordinates. For each target residue, we align the N-terminal backbone \(N - C_\alpha\) bond to the x-axis. We then rotate the structure so that the normal of the \(N - C_\alpha - C_\beta\) plane points in the direction of the positive z-axis. Finally, we center the structure at the effective \(C_\beta\) position. By using this strategy, we not only orient the side-chain atoms relative to the backbone in a consistent manner (in the positive z-direction), but also fix the rotation about the z-axis. We then discretize each input environment and one-hot encode the input by atom type.

Model training

Our model is a fully convolutional neural network, with 3D convolution layers followed by batch normalization \[101\] and LeakyReLU activation. We regularize with dropout layers with dropout probability of 10\% and with L2 regularization with weight \(5 \times 10^{-6}\). We train our model using the PyTorch framework, with orthogonal weight initialization \[102\]. We train with a batch size of 2048 parallelized synchronously across eight NVIDIA v100 GPUs. The momentum of our BatchNorm exponential moving average calculation is set to 0.99. We train the model using the Adam optimizer \(\beta_1 = 0.5, \beta_2 = 0.999\) with learning rate \(7.5 \times 10^{-5}\) \[103\] and L2 weight regularization \(5 \times 10^{-6}\). We use the same model architecture and optimization parameters for both the baseline and conditional models.

Our final conditional classifier is an ensemble of four models corresponding to four concurrent checkpoints. Predictions are made by averaging the logits (unnormalized outputs) from each of the four networks.

Design baselines

The Rosetta-FixBB baseline uses the Rosetta packer \[39\], invoked via the RosettaRemodel \[98\] application, to perform sequence design on fixed backbones. This design protocol performs Monte Carlo optimization of the Rosetta energy function over the space of amino acid types and rotamers \[39\]. Between each design round, side-chains are repacked, while backbone torsions are kept fixed. Importantly, the Rosetta design protocol samples uniformly over residue identities and rotamers, while our method instead samples from a learned conditional distribution over residue identities. The Rosetta-RelaxBB protocol is highly similar to the Rosetta-FixBB protocol but performs energy minimization of the template backbone in addition to repacking between design cycles, allowing the template backbone to move. Starting templates for both baselines have all residues mutated to alanine, which helps eliminate early rejection of sampled residues due to clashes. The REF2015 Rosetta energy function was used for all experiments \[104\]–\[105\].
Metrics

To assess biochemical properties of interest for the designed sequences we use the following three metrics: (1) packstat, a non-deterministic measure of tight core residue packing [106], (2) exposed hydrophobics, which calculates the solvent-accessible surface area (SASA) of hydrophobic residues [73], and (3) counts of buried unsatisfied backbone (BB) and side-chain (SC) atoms, which are the number of hydrogen bond donor and acceptor atoms on the backbone and side-chains, respectively, that are not supported by a hydrogen bond. We use PyRosetta implementations of these metrics. Backbone relaxes for designs were done with the RosettaRelax application [107, 108, 109, 110], with the ex1 and ex2 options for extra $\chi_1$ and $\chi_2$ rotamer sampling.

Rosetta AbInitio structure prediction

Rosetta AbInitio uses secondary structure probabilities obtained from Psipred [75, 76, 77, 78] to generate a set of candidate backbone fragments at each amino acid position in a protein. These fragments are sampled via the Metropolis-Hastings algorithm to construct realistic candidate structures (decoys) by minimizing Rosetta energy. Native test case runs used Psipred predictions from MSA features after UniRef90 [80] database alignment. TIM-barrel design runs used Psipred predictions directly from sequence. All designs were selected without using any external heuristics, manual filtering, or manual reassignments. We obtained Psipred predictions, picked 200 fragments per residue position [86], and ran $10^4$ trajectories per design. Folding trajectories in Fig. 3 were seeded with native fragments.

Protein Purification

Proteins were produced as fusions to a N-terminal 6xHis tag followed by a Tobacco Etch Virus (TEV) cleavage sequence. Expression was performed in E. coli BL21(DE3) using the pET24a expression vector under an IPTG inducible promoter. Proteins were purified with Ni-Sepharose columns (GE Healthcare). Purity and monomeric state were confirmed using a Superdex 75 size-exclusion column (GE Healthcare) and SDS-PAGE gels. Protein concentration was using predicted extinction coefficient and 280 nm absorbance measured on a NanoDrop spectrometer (Thermo Scientific).

Circular Dichroism Spectroscopy

Circular Dichroism spectra were collected using a Jasco 815 spectropolarimeter with measurements taken in Phosphate Buffered Saline (PBS) using a 1.0mm pathlength cuvette. Wavelength scans were collected and averaged over 3 accumulations. Melting curves were collected monitoring CD signal at 222nm over a range of 25°C to 90°C at 1°C intervals, 1 minute equilibration time and 10 second integration time. Spectra are normalized to mean residue ellipticity (deg cm$^2$ dmol$^{-1}$) from millidegrees.

Contributions

N.A. and P.-S.H. conceived research. N.A. devised the model training strategy and design algorithm, built the codebase, trained models, ran the experiments, and analyzed the results. R.R.E. helped run Rosetta baselines, Psipred, and AbInitio experiments. A.D. helped run initial model training and AbInitio experiments. N.A. and R.R.E expressed and purified proteins for validation. R.R.E and A.D. contributed to discussion and analysis. P.-S.H. and R.B.A. supervised the research. All authors contributed to the writing and editing of the manuscript.

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Movie S1. Examples of model-guided rotamer repacking on native backbone test cases.

Movie S2. Examples of model-guided sequence and rotamer design via annealing on native backbone test cases and de novo TIM-barrel scaffold.

SI Dataset S1 (dataset_S1.xlsx)
Training information, including excluded ions/ligands, train/test CATH topology classes, and train/test CATH domains

SI Dataset S2 (dataset_S2.xlsx)
Native test case analysis data including rotamer recovery data, sequence design metrics, amino acid distribution data post-design, decoy ranking, and runtime raw data

SI Dataset S3 (dataset_S3.xlsx)
AbInitio structure prediction raw data for native test cases.

SI Dataset S4 (dataset_S4.xlsx)
TIM-barrel design data, including details on experimentally tested four-fold symmetric designs, forward-folding data, CD (Circular dichroism) wavelength scans and thermal melt data, and metrics across design sampling runs.

SI Dataset S5 (dataset_S5.zip)
Other data including design scaffolds, rotamer repacking final models, designed sequence models, positionwise amino acid distributions, AbInitio fragment data, and trRosetta results. Dataset available for download at https://drive.google.com/file/d/1yBQ42M4yRW1b4G6vF1Q8S__IUfv5eJul/view?usp=sharing

Code for running sequence design algorithm available at https://github.com/nanand2/protein_seq_des

Additional analysis of model designs

Native test case structures belong to the beta-lactamase (CATH:3.30.450), T-fimbrin (CATH:1.10.418), alpha-beta plait (CATH:3.30.70), and lipocalin (CATH:2.40.128) topology classes, respectively.
Amino acid distribution post-design

Model-designed sequences adhere to an amino acid distribution similar to the native sequence and its aligned homologs (Fig. S4A), with the most pronounced difference being an apparent overuse of lysine (K) and glutamate (E) and under-use of rarer residues (H, N, Q, W, C) relative to the homolog sequences; Rosetta-FixBB designs also overuse K and E and under-use H, W, N, and C relative to the native MSA distribution. Like the model, Rosetta protocols match the native distribution well, with a tendency to overuse small hydrophobic residues, in particular alanine (A), valine (V), and isoleucine (I). Since the homologous sequences discovered by MSA likely capture amino acid patterns that can anchor and support the given backbones, the similarity of the distributions suggests that the model designs retain viable sequence patterns.

Biochemical metrics of interest

We compare our designs to the Rosetta-FixBB and Rosetta-RelaxBB protocol designs, as well as the native idealized structure, across key metrics (Fig. S5). Since the designs have less than 50% sequence overlap with the native on average, we also include data for the native sequence with either 50% or 75% of the residues randomly mutated; these perturbed sequences serve as a negative control and indicate expected performance for likely non-optimal deviations from the native sequence.

We also report performance across these metrics for designed sequences relaxed with the RosettaRelax protocol. This procedure allows the backbone and rotamers to move to adopt a lower Rosetta energy conformation.Relaxing the designs allows us to assess whether alternate rotamers and deviations of the starting protein backbone can lead to an improvement in performance across the metrics considered.

1. Packstat. Model designs tend to have a lower packstat score compared to to the native sequence and the Rosetta designs (Fig. S5A); however, on average the packstat scores are still higher relative to random perturbations of the native sequence. While this trend seems to indicate that the model designs are less optimal than the Rosetta designs, when we look at the model designs post-relax (Fig. S5B), the packstat scores improve and better match those of the native sequence and Rosetta designs, while the perturbed sequence packstat scores remain low. At the same time, we post-relax alpha-carbon backbone RMSDs between design methods are also comparable (Fig. S7E). These results suggest that the designed sequences do tightly pack core regions, as slight movements of the backbone and repacked rotamers for model designs give well-packed structures.

2. Exposed hydrophobics. Model designs in general do not place exposed hydrophobics in solvent-exposed positions, similar to the Rosetta designs (Fig. S5C). This trend in the model designs is largely due to the relative abundance of cytosolic proteins in the PDB compared to membrane proteins, which would in general have hydrophobic regions exposed to the membrane lipid environment. The native sequence for test case 3mx7 has many exposed hydrophobic residues, suggesting that the native protein might bind to a target, forming a hydrophobic interface.

3. Buried unsatisfied backbone atoms. For all of the test cases except 1bkr, model designs have similar or fewer unsatisfied backbone polar atoms compared to the native sequence (Fig. S5D,E). For 1bkr, although the average number of unsatisfied backbone polar atoms is greater than that of the native sequence or Rosetta designs, the distribution is fairly wide, indicating that there are many designs that have fewer unsatisfied backbone polar atoms compared to the native sequence. However, some of the 50% perturbed sequences have fewer unsatisfied backbone polar atoms than the native, suggesting that this metric alone is not sufficient for selecting or rejecting designs.

4. Buried unsatisfied side-chain atoms. Model designs across test cases have few unsatisfied buried side-chain polar atoms, similar to the native sequences and Rosetta designs (Fig. S5F-G). This indicates that over the course of sampling, side-chains that are placed in buried regions are adequately supported by backbone hydrogen bonds or by design of other side-chains that support the buried residue.

Heuristic structure energy

Although the Rosetta energy is not optimized during our design procedure, many of the designs have low Rosetta energy relative to perturbed sequence baselines (Fig. S7A). After relaxing the model designs, their Rosetta energy decreases to match the native backbone, with relatively low subsequent backbone deviation; in contrast, sequences that are 50% similar to native, with random perturbations, have a high Rosetta energy, even after relax (Fig. S7B). The model energy too is similarly low for the model designs and the Rosetta designs, indicating that the model energy as a heuristic roughly matches Rosetta in differentiating low-energy vs. high-energy designs (Fig. S7D). Overall, the alpha-carbon RMSD distributions post-RosettaRelax for the model designs correspond with the native backbone relaxed distributions (Fig. S7E).
**Figure S1:** Classifier performance and learned rotamer distributions. A) Classifier error matrix for individual residue prediction on test set data and for B) prediction of groups of biochemically similar residues C) Residue-specific prediction accuracy for train and test set data for the conditional model (top) and baseline model (bottom). D) Rotamer prediction accuracy to within 15, 30, 45, and 60 degrees across train and test set.
Figure S2: Learned residue-specific rotamer distributions. Binned rotamer distributions for the native test set domains (blue) versus the model predicted distributions (orange). Native distributions are the normalized empirical rotamer distributions for each residue across the test set. Model distributions are the average of the network-predicted distributions across the test set examples.
Figure S3: Rotamer and native sequence recovery. A) Rotamer recovery. \( n = 5 \) for all methods. Data shown for rotamer recovery on crystal structure backbone, as well as backbone relaxed under the Rosetta energy function with constraints to adhere to original backbone atom placement. Random init – random initialization of \( \chi \) angles. Baseline model init – initialization with rotamer prediction by baseline classifier model from backbone alone. Model – 2500 iterations of rotamer sampling and annealing with conditional model. Rosetta protocols were run either with or without extra \( \chi_{1} \) and \( \chi_{2} \) sampling (xchi). (Left) Average \( l_{2} \) chi angle error across design methods. (Right) Average residue side-chain RMSD (Å). B) Native sequence recovery across test case crystal structures (\( n = 50 \), each).
**Figure S4:** Additional metrics for assessing model designs. A) Amino acid distribution post-design relative to native sequence and its aligned homologs (max. 500 hits per sequence). MSAs obtained using PSI-BLAST v2.9, the NR50 database, BLOSUM62 with an E-value cutoff of 0.1. B) Inter-sequence overlap. Average (top) and maximum (bottom) inter-sequence fractional overlap within and across top sequences from each design method. C) Position-wise accuracy vs. solvent accessibility (SASA) across design methods for four test cases (n = 50, each). Accuracy with respect to native sequence. Solvent-accessible surface area (SASA) calculated for native residues [73]. D) Position-wise entropy vs. solvent accessibility (SASA) across design methods for four test cases (n = 50, each). Solvent-accessible surface area (SASA) calculated for native residues.
Figure S5: Biochemical metrics of interest for model designs. Designs \( (n = 50) \) compared to native idealized structure \( (n = 1) \) or distribution of relaxed native structures \( (n = 50) \). 50% and 75% mutated native sequences included as negative controls. A-B) Packstat, a measure of core residue packing \([106]\) pre- (A) and post- (B) RosettaRelax \([107, 108, 109, 110]\). C) Total solvent-accessible surface area (SASA) of exposed hydrophobic residues \([73]\). D-E) Number of buried unsatisfied polar backbone (BB) atoms pre- (D) and post- (E) relax. E-F) Number of buried unsatisfied polar side-chain (SC) atoms pre- (E) and post- (F) relax.
Figure S6: Additional design metrics across native test cases, n = 50 for all methods. A) Psipred secondary structure prediction for designed sequences. Cross-entropy of Psipred prediction (helix, loop, sheet) from sequence alone with respect to DSSP assignments [75, 76, 77, 78, 79, 81]. B) Capping residue placement. Average number of N-terminal helical capping residues across designs for test cases with capping positions. C) Percent glycines at positive φ backbone positions across test cases. D) Total number of cis-peptide prolines (ω_{i−1} < 15) for 1cc8 for designs on crystal structure backbone vs. Rosetta constrained relaxed backbone.
Figure S7: Energy under the model and under the Rosetta energy function pre- and post-RosettaRelax. 50% and 75% mutated native sequences included as negative controls. Designs (n = 50) compared to native idealized structure (n = 1) or distribution of relaxed native structures (n = 50). A-B) Rosetta energy pre- (A) and post- (B) relax. C-D) Model energy (negative pseudo-log-likelihood, normalized by protein length) pre- (C) and post- (D) relax. E) Alpha-carbon RMSD (Å) post-RosettaRelax.
**Figure S8**: Blind structure prediction of designed sequences. Native sequences and selected designs were forward folded using Rosetta AbInitio for four design test cases. 50% randomly perturbed native sequences included as a negative control. (Left) Folded structure with best summed rank of template-RMSD and Rosetta energy across $10^4$ folding trajectories. Decoys (yellow) are aligned to the idealized native backbones (blue). Sequence identity and RMSD (Å) compared to native are reported below the structures. For Rosetta-RelaxBB, RMSD of selected design to relaxed structure post-design is given in parentheses. (Right) Rosetta energy vs. RMSD (Å) to native funnel plots. RMSD is calculated with respect to the idealized and relaxed native crystal structure. For Rosetta-RelaxBB, RMSD in funnel plot is with respect to relaxed structure post-design. Selected structure with best summed rank of template-RMSD and Rosetta energy is shown in orange. The design after RosettaRelax is shown in green.