Four-dimensional micro-building blocks

T.-Y. Huang1*, H.-W. Huang2,3*, D. D. Jin1,4*, Q. Y. Chen1,5, J. Y. Huang1, L. Zhang4,6, H. L. Duan1,5†

Four-dimensional (4D) printing relies on multimaterial printing, reinforcement patterns, or micro/nanofibrous additives as programmable tools to achieve desired shape reconfigurations. However, existing programming approaches still follow the so-called origami design principle to generate reconfigurable structures by self-folding stacked 2D materials, particularly at small scales. Here, we propose a programmable modular design that directly constructs 3D reconfigurable microstructures capable of sophisticated 3D-to-3D shape transformations by assembling 4D micro-building blocks. 4D direct laser writing is used to print two-photon polymerizable, stimuli-responsive hydrogels to construct building blocks at micrometer scales. Denavit-Hartenberg (DH) parameters, used to define robotic arm kinematics, are introduced as guidelines for how to assemble the micro-building blocks and plan the 3D motion of assembled chain blocks. Last, a 3D-printed microscaled transformer capable of changing its shape from a race car to a humanoid robot is devised and fabricated using the DH parameters to guide the motion of various assembled compartments.

INTRODUCTION

Shape-morphing systems have been developed and used in broad areas, including camouflage (1), soft robotic actuators (2–5), solar tracking systems (6), and biomedical devices (7–10), to facilitate control and perception in the interactions between machines and their environments. Self-shaping from two-dimensional (2D) materials has the standard paradigm when constructing 3D morphing machines through computational origami designs. Self-shaping is a particularly unique and powerful technique when constructing small-scale machines, as it does not rely on a manual assembly process and the shape change can be actuated wirelessly (11–17). Researchers have also implemented programmable shape transformations in 2D materials by introducing fibrous micro- and nanoarchitectures (18, 19), reinforcing nanoparticles (20–23), or inhomogeneous cross-linking (24, 25) into stimuli-responsive gels or shape memory polymers. Although origami can mostly map any 3D shapes to intricate 2D blueprints, it renders the origami machines either poor load-bearing structures or resilient soft structures with limited load capability (26–29).

Recent advances in 3D printing have endowed directly printed machines with spatially controlled mechanical properties (30–34). Sophisticated multimaterial printing techniques that combine stimuli-responsive materials with various properties and micro/nano additives have been developed to enable programmable shape shifting in 3D printing (35, 36). However, existing programming approaches are inherited directly from their origami counterparts. Thus, state-of-the-art 3D morphing machines are still generated through 3D printing in planar 2D self-folding structures (37, 38). The lack of a programmable design paradigm that is specific to 3D printing can be attributed to the notably increased computational complexity of the forward and inverse designs of 3D-to-3D shape transformations. Moreover, the finite element analysis (FEA) (39, 40), which has long been the only tool able to simulate shape transformations of direct 3D-printed structures, is a time-consuming process, especially when analyzing the evolution of a complex 3D shape. The computational load considerably increases as programmable designs become more complex. Modular designs as an alternative have been investigated in reconfigurable robotics for decades to enrich the morphologies, capabilities, and degrees of motion in single robots (41–43). Several algorithms have been developed for automatically generating the design assembly and motion planning in modular robots (44). However, modular design algorithms can hardly be applied to small-scale machines because of fundamental differences in the design, fabrication, and actuation principles.

In this work, we propose a programmable morphing modular design, inspired by modular robotics (41–44) and LEGO-like building blocks (45), to facilitate the design of complex 3D-to-3D shape transformations in direct 3D-printed microstructures. 4D direct laser writing (4D DLW) with submicrometer resolution (46) is used to construct a variety of microscaled shape-morphing building blocks using a two-photon polymerizable gel precursor whose cross-linking degree can be modulated by the laser dosage (Fig. 1A). Spatially and temporally controlled laser writing creates differentially cross-linked polymer networks that are vital to engineering the direction and magnitude of the shape transformation in response to external stimuli, thus endowing the building blocks with actively deforming layers, rigid layers, and compliant hinge joints. The modular design can reconstruct large and complex continuum shape transformations by assembling tiny replicated building blocks with small and simple discretized deformations. Each building block can be viewed as a motor with constrained rotation such that the assembly of the building blocks resembles a robotic arm whose movement can be captured by forward kinematics using Denavit-Hartenberg (DH) parameters. The DH parameters also provide us with guidelines for how to assemble the building blocks in 3D and plan their 3D motion. FEA is introduced to study the deformation of each kind of the replicated building blocks quantitively. This analysis agrees well with the experimental results. Once we gain control of single building blocks through FEA, the DH parameters are used as the design guidelines.
to assemble various building blocks and program the 3D motion of each assembled compartments to enable complex 3D-to-3D transformations, thus notably reducing the computational load.

RESULTS AND DISCUSSION

**FEA for the 4D micro-building blocks**

Unlike 3D printing, 4D printing relies largely on mathematics to deal with sophisticated forward and inverse problems. The success of 4D printing depends on how accurate the computational models are in comparison to experimental results. Typically, accurate FEA incurs a heavy computational load, and divergence becomes a critical issue when the simulated 3D model becomes more complicated. To address this issue, we propose a modular design in which a large and complicated 3D structure and its shape transformation can be reconstructed by using small and discretized building blocks. These building blocks follow DH parameters that define the forward and inverse kinematics of assembled chain blocks. FEA is then introduced to study the deformation of each replicated building block.

Here, we carry out FEA on the basis of the Flory theory (47) in which we only need to characterize the mechanical properties of the gel polymerized with various laser powers at the fully swollen state. Other material properties, such as the cross-linking density and the Flory interaction parameter, along with the shape evolution with varying environmental conditions, can be subsequently obtained by the Flory theory. We first characterize the stress and strain relationship of the polymerized gels as functions of laser power via a group of in situ micromechanical compression tests (see section S1 and fig. S1). This test was conducted in an alkaline solution with pH ≈ 9 in which the samples were fully swollen. Figure 1B shows the dependence of the nominal compression stress on the stretch ratio of the gel samples with various photocuring parameters. The slopes of the fitted curves are directly associated with the laser powers adopted during photopolymerization. Following the work of Hong et al. (47), the nominal stress can be expressed by a partial derivative of the Legendre transformation–amended free-energy function concerning the deformation gradient, which yields the following (see sections S2 and S3 for more details)

$$\frac{\nu \sigma_{ij}}{kT} = Nv(F_{ij} - H_{ij}) + \left[\ln\left(1 - \frac{1}{J}\right) + 1 + \frac{C}{J} - \frac{\mu}{kT}\right]H_{ij}$$

where $\sigma_{ij}$ is the nominal stress; $F_{ij}$ represents the deformation gradient; $J = \det F = \lambda_1 \lambda_2 \lambda_3 = 1 + vC$ is the invariant of the deformation gradient, where $\lambda_1$, $\lambda_2$, and $\lambda_3$ denote the polymer stretch in three principal directions, respectively; $C$ is the concentration of solvent molecules; $v$ is the volume per molecule; $\mu$ is the chemical potential; and $H_{ij}$ represents the inverse transpose of the deformation gradient. Here, $\chi$ is the Flory interaction parameter, denoting the extent of mixing between the solvent and polymer, which is a quantity dependent on the temperature and polymer concentration. To eliminate the singularity of the free energy in Eq. 1, we assign a free-swelling state rather than a dry state as a reference. Thus, we may reasonably...
assume the chemical potential (µ) of the solvent to be zero, and Eq. 1 can be deduced as

\[
Nv\left(\lambda_0\lambda_1 - \frac{1}{\lambda_0\lambda_1}\right) + \lambda_0^2\lambda_2^2\ln\left(1 - \frac{1}{\lambda_0\lambda_1\lambda_2}\right) + \frac{1}{\lambda_0\lambda_1} + Nv(\lambda_0^2 - 1) + \lambda_0^2\ln\left(\frac{1 - \frac{1}{\lambda_0^2\lambda_1\lambda_2}}{\lambda_0^2}\right) + \frac{\lambda_0^2\sigma'}{M} = \lambda_0^2\lambda_1^2\lambda_2^2
\]

with \( M = kT/v \) and \( \lambda_1^2 = \lambda_1^2 - \sigma v \lambda_0 \lambda_1 / MNv \), where \( \lambda_0 \) is the stretch caused by free swelling. For a free-swelling isotropic deformation, we have \( \lambda_1 = \lambda_2 = \lambda_3 = \lambda_0 \). Equation 2 presents the inherent relationship between nominal stress and stretch, where the variables \( \sigma \) and \( \lambda_1 \) can be determined experimentally from a uniaxial compression test (Fig. 1B). Subsequently, we set the product of \( N \) and \( v \) as a dimensionless parameter \( Nv \), which characterizes the cross-linking density of the gel. We find that there are only two unknown parameters, \( Nv \) and \( \lambda_0 \), in Eq. 2, whose values under different curing parameters can be evaluated by fitting Eq. 2 with the experimental data obtained from the uniaxial compression tests. Figure 1C presents the dimensionless parameter \( Nv \) and the Flory interaction parameter \( \chi \) as functions of laser power varying from 20 to 40 mW with a constant scanning speed. \( Nv \) increases steadily and gradually reaches a plateau as the laser power approaches ~30 mW, which indicates that the gel is fully polymerized and that its cross-linking density eventually becomes constant. Similarly, the Flory interaction parameter \( \chi \) gradually increases with an increase in laser power and becomes invariant when the laser power exceeds 30 mW. This further implies that the mixing interaction between the solvent and polymer gradually becomes stable.

After obtaining the material characterizations, we conducted a finite element simulation based on the commercial software Abaqus (Abaqus/CAE 2016, Dassault Systèmes S.A., France) to predict the shape evolution of the 3D-printed structures with varying chemical potential. We used Eq. 1 to describe the deformation of the gels in solvents with different chemical potentials. \( Nv \) and \( \chi \) with different laser power were imported into the simulation to determine the mechanical properties of the gels. To verify the accuracy of our finite element prediction of the shape morphing of the printed structures, a microflower composed of 10 bilayer-like petals (Fig. 1D), in which each was encoded with different laser dosages to enable different morphing curvatures, was engineered and tested in various environments to swell and shrink. The printed structure remained unchanged during swelling in the alkaline solution, although it shrank considerably in the acidic solution. Therefore, shrinking in an acidic solution is the dominant driving force used in this work to enable deformation of printed building blocks. In addition, the proposed FEA can even capture the shape when it is entirely dehydrated in air (Fig. 1D).

In the following sections, we focus on the deformation of printed structures that are driven by shrinkage when decreasing the environmental pH values.

**Strategies for designing building blocks**

Imagine that an arbitrary 3D structure is represented as a modular design constructed by the assembly of multiple replicated 3D-printed LEGO-like building blocks. Following this concept, we can represent a reconfigurable architecture as a modular morphing system consisting of various deformable 4D building blocks (Fig. 2A). The 4D building blocks can be simply viewed as a cubic cell with a bilayer configuration, including an active and a passive material. The heterobilayer configuration bends in the direction of the active layer as the active material shrinks. To further improve the deformability and programmability of the 4D building blocks, we introduce an articulated mechanism based on the ultrahigh precision heterogeneity of the 4D DLW. The articulated micro-building blocks consist of multiple thin bilayers composed of an active and a passive layer, compliant hinges, and rigid supports in a single building block. The compliant joints facilitate the deformation driven by the active layers and extend the degrees of morphing freedom. Figure 2B shows the calculated bending curvature of conventional 4D building blocks and the articulated 4D micro-building blocks with varying thickness ratio (m) between their active and passive layers. The bending curvature of the articulated one is at least two times larger than that of the conventional one (see section S3). Figure 2C also shows that the bending curvature of the articulated micro-building blocks can be fine-tuned by varying their slenderness ratio (b, width to height) and the laser power on the active layer (figs. S2 and S3). Owing to the spatially and temporally controlled cross-linking density in the printed material, the degrees of morphing freedom of the articulated building blocks can be further extended by varying the spatial arrangement of the active and passive layers as well as the hinge joints. Several variations of the articulated building blocks are demonstrated in Fig. 2D, showing that the existence of the hinge joints can result in an entirely different form.

**Assembly and motion planning rules of the modular system**

Considering the overall structural stiffness, freedom of assembly, and programmability of 4D micro-building blocks, we further introduce an octagonal prismatic microcylinder as the basic building blocks to construct a larger and more complicated morphing modular system that follows the superposition principle. From the side view in Fig. 3A, we can see that the octagonal prismatic building block consists of a pair of active layers and hinge joints as well as three pairs of passive layers. Its 3D configuration can be viewed as the extrusion of the side view in the normal direction. The deformation of a single prismatic building block is also simulated by FEA. The shrinkage of the building block generates a rotational movement in the assembled chain blocks, as shown in Fig. 3B. Each building block can be considered as a rotational joint connecting to another with a link (pillar). Assembling multiple building blocks resembles a robotic arm to generate desired 3D movements. The motion of assembled chains with a limited number of building blocks can also be well estimated by FEA, in good agreement with experimental results. However, FEA fails to capture the complex motion generated by the assembly of a large number (n > 60) of building blocks, as the numerical results tend to diverge. To address this issue, we introduce the DH parameters, which are used to calculate the shape transformations of a robotic arm composed of multiple joints and rigid bars. The DH parameters follow the superposition principle and describe any complex transformations with only four physical parameters in a closed analytical form (48, 49). The kinematics equations for the series chain of a modular system are obtained using a transformation [Z] to characterize the relative movement allowed at each joint and a separate transformation [X] to define the dimensions of each link. The result is a sequence of transformations that alternate joint...
and link transformations from the base of the chain to its end link, which is equated to the specified position for the end link

\[ [T] = [Z_1][X_1][Z_2][X_2] \cdots [Z_{n-1}][X_{n-1}] \]  \hspace{1cm} (3)

where \( T \) is the transformation locating the end link of the last building block. This convention positions the joint frame such that it consists of a screw displacement along the \( Z \) axis

\[ [Z_i] = \text{Trans}_z(d_i) \text{Rot}_z(\theta_z) \]  \hspace{1cm} (4)

and it positions the link frame such that it consists of a screw displacement along the \( X \) axis

\[ [X_i] = \text{Trans}_x(R_{i,i+1}) \text{Rot}_x(\alpha_{i,i+1}) \]  \hspace{1cm} (5)

where \( \theta_z, d_i, R_i, \) and \( \alpha_{i,i+1} \) are known as the DH parameters (see section S4). These parameters can be implemented in our modular morphing system. They define the assembly and motion planning rules of the building blocks shown in Fig. 3 (B to E). Figure 3 (B and C) shows the simulated rotational movement of five assembled building blocks encoded with various \( \theta_z \) and \( R \). These building blocks are connected to each other with a rigid bar to avoid the interaction of deformations between each building block, ensuring the validity of the superposition principle. The amplitude and orientation of each building block rotating about the \( Z \) axis are determined by \( \alpha_{i,i+1} \) and \( \beta \) and can be controlled by varying the laser power density and spatial arrangement of the internal active layers, respectively. Figure 3D shows each building block assembled with offsets \( (d_i) \) along the opposite \( Z \) axis. Therefore, Fig. 3, B and D, together represent the screw displacement along the \( Z \) axis, resembling Eq. 4, while the other screw displacement along the \( X \) axis is realized in the combination of Fig. 3, C and E. Figure 3C shows that connecting the rotational joints with inactive building blocks can expand the rotational radius \( (R_{i,i+1}) \) along the \( X \) axis. Meanwhile, Fig. 3E shows building blocks assembled with angles \( (\alpha_{i,i+1}) \) rotating about the \( X \) axis, measured from the previous \( Z \) axis to the new \( Z \) axis. These four parameters together determine the 3D transformation and assembly rules of the proposed modular systems.

Fig. 2. Evolution of 3D-printed building blocks. (A) 4D micro-building blocks evolve from conventional static 3D-printed building blocks to deformable building blocks and further to articulated building blocks owing to the development of active materials and micromachining techniques. The shrinkage of the active layer mainly drives the deformation during decreasing of the solvent pH, which makes the bilayer structures bend toward the active layer. (B) Effect of the thickness ratio between the active layer and passive layer (\( m \)) on the bending curvature (\( \kappa \)), indicating that the articulated building blocks deform more than conventional bilayer building blocks. (C) Bending curvature of the articulated building blocks finely tuned by varying the slenderness ratio (\( \beta \)) between the width and height of the blocks and the laser parameters between the active and passive layers. (D) Various simulated shape transformation modes of the articulated building blocks by varying the spatial arrangement of the bilayer mechanisms and the compliant hinge joints.
**Inverse and forward design of the modular systems**

Given an arbitrary 3D shape as a target, we can convert it to a discrete counterpart composed of a finite number of joints. We can then obtain the DH parameters by inverse kinematics and construct the shape transformation between the target and initially assembled building blocks with Eq. 3 (see Fig. 4A, fig. S5, and section S4 for more details). For instance, consider a wave shape as a target shape and an initially assembled design with the shape of a roll. The DH parameters facilitate finding the transformation between the wave shape and the roll shape. Here, $\theta_z$ is the only variable parameter connecting the wave and roll, and we encode this parameter into the roll for it to morph from the roll shape into the wave shape. It is vital that the number of discretized joints of the target must be the same as the number of building blocks in the assembled structure. Figure 4B shows experimental results with geometrically identical planar stripes, which consist of 60 building blocks encoded with various $\theta_z$, $d_i$, and $R$ that can transform into various target shapes, namely, honeycomb, roll, and wave [see Fig. 4B (I to III) and movies S1 to S6]. Their inverse parameters ($\theta_z$) are provided in tables S1 and S2.

Typically, an inverse design can be reversed to a forward design. However, in the case of goggles, the reverse of the inverse design is distinct from its forward design. Note that if a collision occurs in the path of the shape transformation, then the forward kinematics fail to capture the transformation. We show that the planar stripe composed of all building blocks encoded with the same $\theta_z$ can also transform into the shape of goggles, in which two circles with identical curvatures are created, without following the inverse design (Fig. 4B, IV). Figure 4B (V and VI) shows experimental results of the screw displacement along the $Z$ axis with varying $d_i$ and $\theta_z$ in each building block, which can be captured by Eq. 4. By adding a constant $Z$ axis offset ($d_i$) into the assembly, the goggles can be converted to a helix, and the roll shape becomes a spiral shape.

Using three parameters ($R$, $d_i$, and $\theta_z$), as shown in Fig. 4, we gain control over the forward and inverse problems of the relatively simple
modular structures (1D and 2D assembly and single rotational freedom). Furthermore, by assigning the fourth parameter $\alpha$ to enable 3D assembly, we can construct complex 3D structures encoded with more sophisticated 3D-to-3D shape transformations. Following the design principle of forward kinematics, provided in Fig. 3, we further devised a micrometer-scale transformer by the 3D assembly and 3D motion planning of 4D building blocks (Fig. 5A) and printed it using the 4D DLW (Fig. 5B). Five main functional parts including the neck (I), shoulder (II), arms (III), backbone (IV), and legs (V) are engineered, and their connections are described in Fig. 5C. Transformations of each compartment can be captured separately by a series of DH parameters, shown in Fig. 5D. We choose this transformer as a demonstration because it uses a combination of several unique transformations to realize its change in shape between a race car and a humanoid robot (Fig. 5E).

To the best of our knowledge, this is the first time a transformer has been created that automatically changes its shape and stands up at such a small scale (see movie S7). However, one of the major challenges when designing a transformer is to ensure that the transformation of each component occurs simultaneously. It is especially important to have a rational design such that each component does not interfere with the others to ensure the desired shape transformation. This is the reason why the microscale transformer shown in Fig. 5 looks oversimplified compared to its large-scale counterparts. Real 4D-printed structures, in which the time dimension can also be encoded during printing, would notably facilitate reconfigurable designs as various compartments that can transform sequentially in desired orders.

CONCLUSION

We proposed a programmable modular design based on the assembly of 4D micro-building blocks to facilitate the complex forward and inverse problems of 4D printing. The modular design can reconstruct large and complex 3D structures, and their shape transformations with the 3D assembly of replicated 4D building blocks encoded with small and discretized deformations. Forward kinematics and the DH parameters are used to provide assembly guidelines and capture shape transformations. FEA predicts the shape evolution of each building block without taking the whole structure into account. This notably reduces the computational complexity. We demonstrated that a microscaled transformer capable of complex 3D shape transformations can be devised and fabricated by using the four DH parameters and single-step DLW fabrication in photoresponsive hydrogels. We expect that the proposed modular design, which resembles the forward and inverse kinematics of a robotic arm, will pave the way to facilitate the design of complex direct 4D printing.

MATERIALS AND METHODS

Synthesis of gel precursors

In a typical procedure, N-isopropylacrylamide (NIPAAm; 98%) and acrylic acid (AAc; 99%) served as the functional monomers of the stimuli-responsive gel and were added to an ethyl lactate (EL; 98%) solution and stirred vigorously for 30 min. Polyvinylpyrrolidone (PVP; average molecular weight, ~1,300,000) was added to adjust the photoresist viscosity to prevent structural collapse and shifting.
during the construction of the complex 3D architectures. After complete dissolution, the above solution, dipentaerythritol penta-acrylate (DPEPA; 98%) cross-linker, triethanolamine (TEA; 99%) photosensitizer, and the 4,4′-bis (diethylamino) benzophenone (EMK; 97%)/N,N-dimethylformamide (DMF; 99.5%) photoinitiator solution (20 weight %) were mixed and stirred for 2 hours to obtain a homogeneous and transparent gel precursor. The photoresist was stored in an ambient ultraviolet light–free condition before use. NIPAAm, AAc, EL, PVP, DMF, and TEA were procured from Aladdin Chemicals. EMK was obtained from Reading Chemical Technology (Shanghai) Co. Ltd. DPEPA was provided by American Barki Chemical Inc. All chemicals were used without further purification.

**Procedures of 4D μ-printing**

Before printing, the borosilicate glass square substrate (22 mm by 22 mm, 0.13 to 0.17 mm thick; Thermo Fisher Scientific Inc.) was cleaned with acetone, isopropyl alcohol (IPA), and deionized water and dried under nitrogen before being placed in a 120°C oven for 20 min. After cooling to room temperature, the surface was modified by using an oxygen plasma to provide the printed microstructure with good adhesion. A gel precursor was dropped onto the glass slide, in which the microstructure was printed with a commercially available 3D laser lithography system (Photonic Professional GT, Nanoscribe GmbH) with a 63× 1.4 numerical aperture (NA) oil-immersion objective. During the fabrication, the laser power (0 to 50 mW) with a 780-nm wavelength and scanning speed (0 to 100 mm/s) were programmed to cross-link the materials with various densities. After printing, the samples were developed with IPA for 30 min and rinsed with fresh IPA twice, followed by immersion in ultrapure water.

**FEA and computer-aided design**

We carried out an FEA to simulate the response of 4D-printed microstructures to various stimuli, as mentioned in the main text. Briefly, we built 3D models of these microstructures and generated finite element meshes with element type C3D8H (eight-node linear brick, hybrid) by using the Abaqus software (Dassault Systèmes S.A., France) to perform the numerical simulation analysis. We used the theoretical model of Hong and co-workers (47) to characterize the deformation of gel materials in different chemical potential solvents, in which the parameters $N_v$ and $\chi$ were determined through the experimentally fitted data curves as shown in Fig. 1C. Practically, we introduced a user-defined subroutine of hyperelastic materials
SUPPLEMENTARY MATERIALS

Supplementary material for this article is available at http://advances.sciencemag.org/cgi/content/full/6/3/eaav8219/DC1

Section S1. Tests for swelling, shrinking, and mechanical properties of printed materials
Section S2. Theoretical modeling and parameter determination
Section S3. Deformation comparison between conventional and articulated building blocks
Section S4. Inverse design

Fig. S1. Characterization of swelling, shrinking, and mechanical properties of gel materials.
Fig. S2. Schematic curvatures of different 4D-printing strategies and geometrical sizes.
Fig. S3. Design concepts and deformation forms of two 4D-printing strategies.
Fig. S4. Comparison between experimental results of helical building blocks and the finite element simulation.

Fig. S5. Inverse design based on 4D micro-building blocks.
Table S1. Rotation angle parameters of the honeycomb-to-wave transformation.
Table S2. Rotation angle parameters of a spiral-to-wave transformation.

Movie S1. Modular morphing system consisting of 60 building blocks encoded into roll shapes.
Movie S2. Modular morphing system consisting of 60 building blocks encoded into wave shapes.
Movie S3. Modular morphing system consisting of 60 building blocks encoded into goggle shapes.
Movie S4. Modular morphing system consisting of 60 building blocks encoded into wave shapes.
Movie S5. Modular morphing system consisting of 60 building blocks encoded into helix shapes.
Movie S6. Modular morphing system consisting of 60 building blocks encoded into spiral shapes.
Movie S7. Transformer assembled by 4D micro-building blocks.

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