A novel microRNA signature for pathological grading in lung adenocarcinoma based on TCGA and GEO data

ZHIYU YANG1*, HONGKUN YIN2*, LEI SHI3 and XIAOHUA QIAN1

1SJTU-Yitu Joint Laboratory of Artificial Intelligence in Healthcare, School of Biomedical Engineering, Shanghai Jiao Tong University, Shanghai 200030; 2Shanghai Yitu Healthcare Technology Co. Ltd., Shanghai 200051; 3Hangzhou Yitu Healthcare Technology Co. Ltd., Hangzhou, Zhejiang 310012, P.R. China

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Abstract. Lung adenocarcinoma (LUAD) is one of the most common types of lung cancer and its poor prognosis largely depends on the tumor pathological stage. Critical roles of microRNAs (miRNAs) have been reported in the tumorigenesis and progression of lung cancer. However, whether the differential expression pattern of miRNAs could be used to distinguish early-stage (stage I) from mid-late-stage (stages II-IV) LUAD tumors is still unclear. In this study, clinical information and miRNA expression profiles of patients with LUAD were downloaded from The Cancer Genome Atlas (TCGA) and Gene Expression Omnibus databases. TCGA-LUAD (n=470) dataset was used for model training and validation, and the GSE62182 (n=94) and GSE83527 (n=36) datasets were used as external independent test datasets. The diagnostic model was created through miRNA feature selection followed by SVM classifier and was confirmed by 5-fold cross-validation. A receiver operating characteristic curve was calculated to evaluate the accuracy and robustness of the model. Using the DX score and LIBSVM tool, a 16-miRNA signature that could distinguish LUAD pathological stages was identified. The area under the curve rates were 0.62 [95% confidence interval (CI): 0.56-0.67], 0.66 (95% CI: 0.54-0.76) and 0.63 (95% CI: 0.43-0.82) in TCGA-LUAD internal validation dataset, the GSE62182 external validation dataset, and the GSE83527 external validation dataset, respectively. Kyoto Encyclopedia of Genes and Genomes and Gene Ontology enrichment analyses suggested that the target genes of the 16-miRNA signature were mainly involved in metabolic pathways. The present findings demonstrate that a 16-miRNA signature could serve as a promising diagnostic biomarker for pathological staging in LUAD.

Introduction

Lung cancer is the leading cause of cancer-associated deaths worldwide and has a low 5-year survival rate after diagnosis (1,2). Non-small cell lung cancer (NSCLC) accounts for ~85% of all lung cancer cases and lung adenocarcinoma (LUAD) is the predominant histological subtype of NSCLC, which is often exhibited by females and people who have never smoked (3-5). The poor patient survival rate of NSCLC is primarily due to the high frequency of late diagnosis (6) and the prognosis of NSCLC largely depends on the tumor stage. The lung tumor of patients with NSCLC with pathological stage I disease (early stage) can be completely removed through surgical resection and these patients, therefore, have a 5-year survival rate of >70%. However, mid-late-stage (stages II-IV) lung cancer is difficult and often impossible to remove completely with surgery, and the 5-year survival rate for patients with stage II-IV disease ranges from 40 to <10% (7,8). Thus, accurate staging is critical for NSCLC treatment.

MicroRNAs (miRNAs or miRs) are a class of small (~22 nucleotides), often phylogenetically conserved noncoding RNAs that are widely expressed and regulate the majority of biological functions (9). Mammalian miRNA binding sites are most commonly found in introns or the 3’ untranslated region of mRNAs (10). After miRNAs are cleaved and activated by the dicer complex, the activated miRNAs bind to a complementary sequence in the 3’ untranslated region of the target mRNAs, which results in decreased gene expression through translational repression and mRNA destabilization and degradation (11). Since miRNAs regulate gene expression through incomplete base pairing, each miRNA has the ability to regulate multiple genes. Unlike mRNAs, miRNAs are stable and can be easily detected in archived formalin-fixed paraffin-embedded specimens (12). Deregulation of miRNA expression has been linked to the majority of cellular functions, especially those...
involved in cancer initiation and progression (13), making miRNAs attractive biomarkers for the detection, classification, and prognosis of multiple cancer types (14-16).

Previous studies have attempted to identify miRNA signatures as potential biomarkers for patients with lung cancer. Patnaik et al (17) reported a 6-miRNA-based classifier that could predict the recurrence of localized stage I NSCLC based on the miRNA expression profiles of 77 surgically treated pathologic stage I NSCLC cases. Bishop et al (18) reported that a miRNA-based method could be used to classify lung squamous cell carcinoma and LUAD. Li et al (19) identified an 8-miRNA signature as a potential biomarker for predicting survival in LUAD. Although several miRNAs have been identified as predictors of clinical diagnosis or outcome in lung cancer, due to the small patient number and lack of external validation, the models predicted in these studies (17-19) might not be reliable. Importantly, whether miRNAs can be used as pathological staging markers remains unclear. Therefore, a larger patient cohort and an external independent validation cohort for investigation of LUAD staging-specific classifiers are urgently required.

The Cancer Genome Atlas (TCGA) database provides a collection of clinical data, DNA/RNA sequences and DNA methylation profiles ≥500 cases of 20 different tumor types, which is publicly available (20), while the Gene Expression Omnibus (GEO) is a public functional genomics data repository supporting minimum information about a microarray experiment-compliant data submissions (21). TCGA and GEO contain extensive genomic data, including miRNA sequencing (miRNAs) and related clinical information of LUAD cases. Yerukala Sathipati and Ho reported an 18-miRNA signature associated with LUAD patient survival based on the TCGA-LUAD dataset (22). This study used miRNAs expression profiles downloaded from TCGA and GEO to identify the differential miRNA expression patterns in samples from patients with early and mid-late pathological stage LUAD. Additionally, a 16-miRNA signature that could distinguish early-stage LUAD from mid-late pathological stage LUAD was constructed. Furthermore, Kyoto Encyclopedia of Genes and Genomes (KEGG) and Gene Ontology (GO) analyses were performed to understand the biological pathways regulated by the prognostic miRNA signature.

Materials and methods

miRNA expression data collection and preprocessing. The miRNA expression profiles and clinical information from TCGA-LUAD dataset were downloaded from TCGA database (https://portal.gdc.cancer.gov/), while raw data from the GSE62182 (23) and GSE83527 (24) datasets were downloaded from the GEO database (https://www.ncbi.nlm.nih.gov/geo). The expression profiles of the 3 miRNA miRNAsseq datasets used in the present study were all generated by an Illumina HiSeq 2000 platform (Illumina, Inc.). These full clinical datasets were assessed for eligibility and non-tumor samples (45 cases in TCGA-LUAD, 94 cases in GSE62182 and 41 cases in GSE83527), recurrent tumor samples (2 cases in TCGA-LUAD) and samples lacking staging information (7 cases in TCGA-LUAD) were removed. There were 470 available primary LUAD samples with histopathological information in TCGA-LUAD dataset and 94 and 36 available primary LUAD samples in the GSE62182 and GSE83527 datasets, respectively. Notably, 10 LUAD samples from the GSE83527 dataset were originally classified using the Tumor-Node-Metastasis (TNM) staging system and were therefore reclassified following the 8th edition TNM stage classification guide developed by the International Association for the Study of Lung Cancer (25). All the clinical information of the selected samples is summarized in Table I.

The miRNA expression levels were reported as reads per million mRNA mapped (RPM). Since a number of miRNAs were differentially expressed by tumor subtype and differences in sample procurement were observed, the RNA extraction quality, enzymatic efficiency, and other sources might lead to systematic variability. Consequently, the accuracy of the methods used for expression analysis was critically dependent on the proper normalization of the raw data. An ideal normalizer would be a single miRNA that is stable and has invariant expression across all samples. In this study, Homo sapiens (hsa)-miR-191 was used as the normalizer because it was the most stable single miRNA and has been shown to have the lowest expression variability in lung cancer tissues (26). Finally, the hsa-miR-191-normalized RPM values were used to represent the miRNA expression levels in TCGA-LUAD, GSE62182 and GSE83527 datasets.

miRNA selection and model construction. The goal of the miRNA feature selection is to remove redundant features and to identify the most relevant features, thereby improving the classification performance for early-stage and mid-late-stage lung cancer. The expression heatmaps of the selected miRNAs were generated using HemI v1.0, a toolkit for illustrating heatmaps (http://hemi.biocukoo.org). The present group previously developed a feature selection scheme based on a DX score and successfully evaluated its effectiveness in a classification system (27,28). Briefly, the DX score is used to measure the diversity between positive and negative classes for each feature. The DX scores can be defined as follows: \( DX = \frac{(m_p - m_d)^2}{d_1^2 + d_2^2 + \sigma^2} \), where \( m_p \) and \( d_1 \) are the mean value and standard deviation of a feature in a positive (negative) sample, respectively. To avoid a denominator equal to zero when both classes had constant features, a small positive number, namely \( \sigma \), was added. The larger the DX score, the better the performance of differentiating between the positive and negative samples by this feature.

Starting with the individual DX scores, the DX score of each feature was ranked from high to low in order to form a ranked feature set and its classification performance was evaluated by 5-fold cross-validation (CV). This procedure yielded a curve of CV accuracy with several top-ranked miRNAs. The optimized miRNAs with the best accuracy were identified for later training and testing.

The predictive model was established using the miRNA feature selection scheme based on the DX score and a support vector machine (SVM) classifier. The top-ranked miRNAs with the best accuracy were identified as the optimized miRNAs that could capture the subtle difference between early-stage and mid-late-stage lung cancer. This feature selection scheme was confirmed by 5-fold CV and area under the curve (AUC) analysis. In 5-fold CV, the dataset was randomly divided into
5 subsets with the same size and each subset had practically the same number of cases of the two types (i.e., early-stage and mid-late-stage lung cancer). Then, 4 subsets were used as the training data and the remaining subset was used to validate the trained classifier. This process was repeated 5 times and each subset was used as the validation data once. The accuracy of the 5-fold CV was defined as the average classification accuracy over the 5 rounds of validation.

The SVM algorithm (29) was selected for classification since its superior performance is well established theoretically and practically. In addition, SVM is a typical supervised machine learning approach and is employed as a classifier in this predictive model. For most classification and prediction systems, SVM is superior to other machine learning methods, including the neural network and decision tree classifiers (30). More specifically, a well-established SVM tool, LIBSVM (31), was selected as the classifier. The radial basis function (RBF) was employed as the Kernel function based on various trials. A grid search was also implemented on the RBF parameter \( \gamma \) and the trade-off coefficient \( C \). To evaluate the accuracy and robustness of each classifier, a receiver operating characteristic (ROC) curve for sensitivity and specificity was calculated. Sensitivity was determined by \( \text{TP}/(\text{TP}+\text{FN}) \), while specificity was computed by \( \text{TN}/(\text{FP}+\text{TN}) \), where \( \text{TP} \), \( \text{FP} \), \( \text{FN} \) and \( \text{TN} \) refer to true positive, false positive, false negative and true negative, respectively.

**GO and KEGG pathway analyses.** To investigate the significantly enriched functions of the differentially expressed genes regulated by the miRNAs and to better understand the significant pathways in which the differentially expressed genes were involved, both GO and KEGG analyses were performed by DIANA-miRPath v3.0 online software (32). Predicted interactions between target genes and biological pathways regulated by the miRNAs were identified using DIANA-TarBase v7.0, enabling an experimentally supported miRNA functional annotation (33). Two-sided Fisher's exact test was used to analyze the significance of the GO category and KEGG pathway enrichment, and corrected \( P \leq 0.05 \) was considered to indicate a statistically significant difference.

### Results

**Study design.** Although patients with stage I or stage II LUAD could both be removed by surgery, their 5-year survival rate was different. According to previous reports, stage I LUAD patients had a 5-year survival rate of >70%, whereas the 5-year survival rate of stage II patients was only ~40% (7,8). Thus, the aim of the present study was to separate stage I from stage II-IV LUAD, which was based on the 5-year survival rate above or below 50%. In the present study, miRNA expression profiles and tumor staging information were obtained from 3 public datasets that had been sequenced using the same miRNAseq platform (TCGA-LUAD, GSE62182 and GSE83527). Only LUAD tissues with pathological staging information were used in this study. TCGA-LUAD dataset originally contained 528 miRNA profiles of patients with LUAD, but 2 recurrent tumor profiles, 45 solid tissue normal profiles, 4 repeated profiles and 7 profiles without staging information were removed according to the exclusion criteria. To minimize unwanted variation between different datasets, the miRNA expression levels in each profile were normalized to that of hsa-miR-191. The miRNA signature associated with LUAD pathological grade was first trained and validated through the normalized miRNA expression profiles of the remaining 470 patients in TCGA-LUAD dataset. Since the miRNA signature might be overfitted to the training dataset, it was further evaluated in patients from the GSE62182 and GSE83527 independent datasets to test the robustness of the diagnostic model. The study flow diagram is shown in Fig. 1.
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Figure 1. Study flow diagram. The microRNA signature was first generated and internal validated in TCGA-LUAD dataset, and then externally validated in the GSE62182 and GSE83527 datasets to demonstrate its performance. TGCA, The Cancer Genome Atlas; LUAD, lung adenocarcinoma.

Figure 2. Generation and validation of the 42-miRNA signature. (A) Accuracy curve obtained by selecting the top 50 miRNA features. (B) ROC curve for the internal validation of the 42-miRNA signature in The Cancer Genome Atlas-LUAD dataset. (C) ROC curve for the external validation of the 42-miRNA signature in the GSE62182 dataset. (D) ROC curve for the external validation of the 42-miRNA signature in the GSE83527 dataset. miRNA, microRNA; ROC, receiver operating characteristic; AUC, area under the curve; LUAD, lung adenocarcinoma.
validation dataset was 0.69 [95% confidence interval (CI): 0.64-0.73] (Fig. 2B). The 42-miRNA model showed a similar performance in the other two external validation datasets [AUc, 0.75 (95% CI: 0.56-0.88) and 0.58 (95% CI: 0.45-0.69), respectively; Fig. 2C and D].

However, the combination of 42 miRNAs was markedly complex and could be difficult to use for clinical detection; thus, the accuracy of the combination of other miRNAs to obtain a more simplified miRNA signature was calculated. After permutation and combination analyses, the number of miRNAs was first reduced to 26. The results showed that the 26-miRNA signature had a similar performance compared to that of all 42 miRNAs (Fig. 3A), with the AUcs in the internal validation dataset and the two independent external validation datasets calculated to be 0.65 (95% CI: 0.65-0.69), 0.69 (95% CI: 0.56-0.78) and 0.62 (95% CI: 0.40-0.82), respectively (Fig. 3B-D).

Then, the number of miRNAs was further reduced to construct an easier and suitable model that could be a potential biomarker for the staging of LUAD. It was observed that the 16-miRNA signature (hsa-mir-2116, hsa-mir-4161, hsa-mir-3942, hsa-mir-4435, hsa-mir-1307, hsa-mir-1254, hsa-mir-582, hsa-mir-5690, hsa-mir-4713, hsa-mir-1293, hsa-mir-939, hsa-mir-421, hsa-mir-335, hsa-mir-4677, hsa-mir-4754 and hsa-mir-4746; Table II) showed a similar ability to classify LUAD pathological stages to that of the combinations of 42 or 26 miRNAs (Fig. 4A). The AUc for the 16-miRNA signature was 0.62 (95% CI: 0.65-0.67) in the internal validation dataset (Fig. 4B), 0.66 (95% CI: 0.54-0.76) in the GSE62182 external validation dataset (Fig. 4D) and 0.63 (95% CI: 0.43-0.82) in the GSE83527 external validation dataset (Fig. 4F). The expression heatmaps of the 16 miRNAs in TCGA-LUAD, GSE62182 and GSE83527 datasets were generated using Heml v1.0 software (34) (Fig. 4C, E and G).

**KEGG and GO analyses.** Since the underlying molecular biology of different stages of LUAD is still not very clear, the present study used KEGG signaling pathway analysis and GO enrichment analysis to better understand the potential biological function and mechanism of the 16-miRNA signature. By selecting $P<0.05$ as the cut-off criterium in the KEGG pathway analysis, several comprehensive biological pathways regulated by the 16-miRNA signature were revealed in different stages of LUAD, including ‘fatty acid biosynthesis’ (hsa00061), ‘fatty acid metabolism’ (hsa01210), ‘other glycan degradation’ (hsa05111), ‘steroid biosynthesis’ (hsa00100), ‘biosynthesis of unsaturated fatty acids’ (hsa01040) and ‘ECM-receptor interaction’ (hsa04512) (Table III), most of which are metabolic pathways involving biosynthesis, metabolism, degradation of fatty acids and other glycans. Therefore,
these 16 miRNAs might be involved in fatty acid metabolism, indicating that metabolic pathways could play an important role in LuAD progression. The significant categories according to GO results included ‘biological process’ (Table IV), ‘cellular component’ (Table V) and ‘molecular function’ (Table VI). In these categories, various functions associated with RNA processing, gene expression and multiple catabolic/metabolic processes were identified. The clustered heatmap analysis of the enriched KEGG pathways and GO categories was generated by dIANA-miRPath v3.0 using the default settings (Fig. 5). The results indicated that the 16 miRNA classifiers might participate in the development of LuAD through the regulation of a series of pathways, particularly metabolism-related pathways.

**Discussion**

Considering that abnormal miRNA expression affects the molecular functions and biological processes of multiple tumors, numerous attempts have been made to use miRNAs as biomarkers for accurate prediction of lung cancer diagnosis and prognosis (18,22,35). However, most previous studies have focused on a small patient sample size. Moreover, most reported lung cancer subtype models do not involve an external confirmation dataset, therefore weakening the reliability of the results. In other words, the miRNA signature might correctly classify tumor status based on one particular dataset but might misclassify other samples from another dataset that are likely to have a different group of patients.

In this study, TCGA-LuAD, one of the largest miRNA expression datasets of LuAD, was selected to establish the current prediction model. Additionally, miRNA profiles from GEO62182 and GEO83527 were used as independent external test datasets for testing the robustness of the present algorithm. The current model showed stable diagnostic capability, as the model achieved similar accuracy in different datasets. However, the classification performance was not very high, probably due to the difficulty of the specific
Figure 4. Generation and validation of the 16-miRNA signature. (A) Accuracy curve obtained by selecting the top 20 miRNA features. (B) Classification performance of the 16-miRNA signature in TCGA-LUAD training dataset. (C) Hierarchical clustering of differentially expressed miRNAs in TCGA-LUAD dataset. (D) External validation of the 16-miRNA signature in the GSE62182 dataset. (E) Hierarchical clustering of differentially expressed miRNAs in the GSE62182 dataset. (F) External validation of the 16-miRNA feature in the GSE83527 dataset. (G) Hierarchical clustering of differentially expressed miRNAs in the GSE83527 dataset. TCGA-LUAD, The Cancer Genome Atlas-lung adenocarcinoma; miRNA, microRNA; ROC, receiver operating characteristic; AUC, area under the curve.
classification task. Unlike distinguishing squamous cell carcinoma from adenocarcinoma, where the two histopathological subtypes arise from different cells with distinct microenvironments (36), there are often more similarities than differences between the miRNA expression patterns of early- and mid-late-stage LUAD. On the other hand, miRNA
Figure 5. Heatmaps of KEGG pathways and GO annotations of the target genes of the 16 miRNAs in the signature. (A) Heatmap of KEGG pathways from the intersection of the target genes of the 16 miRNAs. The isoforms of the target genes of the 16 miRNAs were involved in multiple pathways, particularly metabolic pathways. (B) Heatmap of GO molecular function from the intersection of the target genes of the 16 miRNAs. (C) Heatmap of GO cellular components from the intersection of the target genes of the 16 miRNAs. (D) Heatmap of GO biological processes from the intersection of the target genes of the 16 miRNAs. KEGG, Kyoto Encyclopedia of Genes and Genomes; GO, Gene Ontology; miRNA, microRNA.
expression might only provide limited information for tumor staging. Multi-omics data, including mRNA expression and CpG methylation, could lead to multi-omics integration and help to discover more sensitive molecular features. In liver cancer patient survival prediction, multi-omics data have shown better performance than single-omics data for model building (37).

Among the aforementioned 16 miRNAs, the overexpression of hsa-miR-939 was correlated with poor prognosis in lung cancer (38), as well as promoting epithelial to mesenchymal transition in epithelial ovarian cancer (39). It has been reported that hsa-miR-421 promotes tumor progression in hepatocellular carcinoma (40) and osteosarcoma (41), and hsa-miR-335 participates in the progression of gallbladder carcinoma (42). On the other hand, hsa-miR-582 functions as a tumor suppressor in colorectal cancer (43) and hsa-miR-1254 inhibits cell migration and invasion in gastric cancer (44).

Based on the 16-miRNA signature, KEGG and GO analyses were employed to predict the target genes and related pathways, and the results showed that the diagnostic miRNAs regulate metabolic processes such as glycan degradation, fatty acid biosynthesis and metabolism, which is consistent with other reports (45-47). Deregulated metabolism is considered an important hallmark of cancer initiation, progression, metastasis and immune evasion (45). miRNAs are involved in the regulation of cell metabolism, which in turn regulates the molecular mechanisms driving the Warburg effect in cancer cells, including glucose uptake, glycolysis, lipid metabolism and amino acid biogenesis (46). In addition, changes in the tumor environment at different pathological stages could alter the cell metabolism in NSCLC (47). In conclusion, the present results indicate that dysregulation of fatty acid and glycan metabolism might be a critical change during the development of LUAD.

There were several limitations in this study. First, the current research only focused on LUAD, which means that the 16-miRNA pathological staging signature is not suitable for other types of lung cancer, such as squamous cell carcinoma or small cell lung cancer. Second, this study is based on TCGA and GEO public datasets, which are retrospective; thus, the performance of the 16-miRNA signature needs to be validated in future clinical studies. Third, the present diagnostic model only used miRNA expression as single-omics data; thus, incorporating more molecular-omics data such as mRNA expression, CpG methylation and genomic information might help to improve the accuracy of the model.

In conclusion, the present study identified a novel 16-miRNA signature based on a large sample size and multi-source data, which is promising and effective at predicting the pathological stages of patients with LUAD. In the authors' future studies, multi-omics information should be used to improve the model.

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Availability of data and materials

All data used in this study can be downloaded from TCGA (https://portal.gdc.cancer.gov/) and GEO (https://www.ncbi.nlm.nih.gov/geo) databases.

Authors' contributions

HY and XQ designed the study and wrote the manuscript. ZY performed data analyses. LS was responsible for interpretation of the results. XQ and LS provided the resources and supervised the study. All authors have read and approved the final version of the manuscript.

Ethics approval and consent to participate

Not applicable.

Patient consent for publication

Not applicable.

Competing interests

The authors declare that they have no competing interests.
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