The influence of process parameters and pulse ratio of precursors on the characteristics of La$_{1-x}$Al$_x$O$_3$ films deposited by atomic layer deposition

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Abstract

The influence of processing parameters of aluminum oxide (Al$_2$O$_3$) and lanthanum oxide (La$_2$O$_3$) gate dielectric is investigated. Trimethylaluminum (TMA) and tris(isopropylcyclopentadienyl) lanthanum [La(iPrCp)$_3$] were used as precursors separately, and H$_2$O was used as oxidant. The ultra-thin La$_{1-x}$Al$_x$O$_3$ gate dielectric films are deposited on p-type silicon substrates by atom layer deposition (ALD) for different pulse ratios of precursors. Effects of different La/Al precursor pulse ratios on the physical properties and electrical characteristics of La$_{1-x}$Al$_x$O$_3$ films are studied. The preliminary testing results indicate that the increase of La precursor pulse can improve the characteristics of film, which has significant effects on the dielectric constant, equivalent oxide thickness (EOT), electrical properties, and stability of film.

PACS: 81.15−z; 82.80.Pv; 68.37.Ps

Keywords: Processing parameters; La$_2$O$_3$; ALD; Dielectric constant; EOT

Background

It is well known that the key element enabling the successful scaling of Si-based metal-oxide-semiconductor field-effect transistors (MOSFETs) over the last several decades is the traditional gate dielectric, silicon dioxide (SiO$_2$), with superior material properties. The miniaturization of MOSFET technology has pushed the conventional SiO$_2$ gate dielectric approach to its physical limit [1]. As the thickness of SiO$_2$ gate dielectric decreases, it becomes more difficult to grow the superior quality oxides, because excessive tunneling and reliability of ultra-thin SiO$_2$ will cause unacceptably high leakage current and degrade the device performance and reliability seriously. Therefore, in order to solve these problems, high-$k$ gate dielectrics are being widely considered to replace SiO$_2$ [2]. For the past years, people have been attracted by many promising candidates, such as aluminum oxide (Al$_2$O$_3$), hafnium oxide (HfO$_2$), zirconium oxide (ZrO$_2$), lanthanum oxide (La$_2$O$_3$), yttrium oxide (Y$_2$O$_3$), and their pseudobinary [3-10], and some exhilarating achievements have been obtained. As a compound of La$_2$O$_3$ and Al$_2$O$_3$, due to its high dielectric constant (approximately 25), wide energy band gap (5 ~ 6 eV), and thermal stability up to 2,100°C, LaAlO$_3$ is considered as a most promising candidate for SiO$_2$ replacement [11,12].

LaAlO$_3$ films have been deposited on Si substrate using metal-organic chemical vapor deposition (MOCVD), molecular beam epitaxy (MBE), evaporation technique, and pulsed laser deposition (PLD) [12,13]. In contrast to these techniques, atom layer deposition (ALD) is based on self-limiting film growth via alternate saturative surface reaction. Therefore, the films deposited by ALD have high purity, density and accurate thickness. Furthermore, compared to traditional techniques, the deposition temperature can be set between 200°C and 400°C by ALD; this conforms to the future trend of semiconductor industry [14-16]. Due to these incomparable superiorities, LaAlO$_3$ films deposited by ALD are widely used in recent years and some marked achievements have been obtained. It is known that the processing parameters determine the quality of films. However, the methods about optimizing each processing parameter

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have rarely been discussed. In this work, we focus on the influence of processing parameters on the growth of La$_2$O$_3$ gate dielectric, each processing parameter has been optimized, and the optimal processing parameters are provided for La$_2$O$_3$ film. Moreover, the La$_2$O$_3$ and different pulse ratios of La$_{1-x}$Al$_x$O$_3$ films were deposited, and the dielectric constant, equivalent oxide thickness (EOT), and electrical properties of the films are analyzed.

**Methods**

La$_2$O$_3$ and La$_{1-x}$Al$_x$O$_3$ gate stacks were deposited on p-type Si (8 to 12 Ω-cm) B-doped (100) wafers using an atomic layer deposition reactor (Picosun R-200, Espoo, Finland). Before the deposition, silicon wafers were cleaned in 80°C solution (NH$_4$OH:H$_2$O$_2$:H$_2$O = 1:1:5) for 15 min and followed by a 10 min rinse in de-ionized water [17]. The thickness of native SiO$_2$ layer on Si substrates was determined by ellipsometry as 1.5 nm. For ALD film deposition, trimethylaluminum (TMA) and tris(isopropylcyclopentadienyl) lanthanum [La(iPrCp)$_3$] were used as precursors, H$_2$O was used as oxidant, and ultra-high purity nitrogen (N$_2$, 99.999%) was employed as carrier and purge gas. The container of the aluminum precursor is at room temperature, corresponding to a vapor pressure of 10 to 15 hPa. The pulse time, purge time, heating temperature and deposition temperature of La precursor and pulse time, and purge time of oxidant will be determined by the following experiments. The Al-injection, purge, oxidant injection, and purge times were 0.1, 3, 0.1, and 4 s. For each experiment, 100 cycles of La$_2$O$_3$ and Al$_2$O$_3$ films are deposited by ALD. Film thicknesses were measured by Wollam M2000D spectroscopic ellipsometry. The capacitance-voltage (C-V) measurements were carried out using a Keithley 590 C-V analyzer (Keithley Instruments Inc., Cleveland, OH, USA) at 1 MHz, and the diameter of mercury probe is 859 μm. The bonding structures of the films were examined by X-ray photoelectron spectroscopy (XPS). The atomic force microscopy (AFM) of the films was measured by Seiko SPI3800-SPA-400 scanning probe microscope (Seiko Instruments Inc., Chiba, Japan).

**Results and discussion**

**Optimization of heating temperature of La precursor**

The heating temperature of precursor is changed from 120°C to 180°C. The La-injection, purge, oxidant injection, and purge times are 0.5, 6, 0.5, and 10 s, and the deposition temperature of films is 200°C. Heating temperature of precursor is an important processing parameter for ALD process. Each precursor has a different boiling point. If the heating temperature of precursor is below the boiling point of precursor, the ALD process cannot operate normally. The heating temperature must be controlled strictly so that the precursor can vaporize. The dependence of the growth rate on the heating temperature of precursor is shown in Figure 1. The growth rate increases with the heating temperature of precursor. When the temperature is below 180°C, the thickness of the deposition films equals the thickness of the natural growth SiO$_2$ on Si substrate. This depends on the heating temperature is too low for the La precursor to vaporize. The growth rate is 0.65 nm per cycle when the temperature is 180°C; the experimental growth rate is close to the growth rate reported in previous work [18]. The La precursor can be vaporized when the heating temperature of precursor is above 180°C. Therefore, the best heating temperature can be defined as 180°C.

**Optimization of deposition temperature region for La$_{1-x}$Al$_x$O$_3$**

Typically, ideal processing parameters of ALD just can operate in a definite range. Under a low deposition temperature, the precursor will stick to the substrate surface when the following precursor reacts to it. The residues of previous substrate exhaust incompletely from the reactor chamber, which causes the increase of the growth rate. On the contrary, in a higher deposition temperature circumstances, the stick precursor can obtain higher energy and can be separated from the surface. The precursor cannot reach saturation adsorption, which will decrease the growth rate of films.

Figure 2 shows the dielectric constant ($k$ value) of Al$_2$O$_3$ film variation for deposition temperature. The deposition temperature of Al precursor is changed from 220°C to 360°C. The $k$ value of Al$_2$O$_3$ films varies slightly in the range of 220°C to 360°C; the $k$ value fluctuates between 7.8 and 8.5. This indicates that the range
of deposition temperature fits for the deposition of Al$_2$O$_3$ films.

Figure 3 shows the growth rate of Al$_2$O$_3$ and La$_2$O$_3$ film variations for deposition temperature. When the temperature is 220°C and 240°C, the growth rate of La$_2$O$_3$ films is 0.1 and 0.09 nm per cycle. This due to films absorbs many residues, which causes the growth rate is higher than the growth rate of La$_2$O$_3$ films reported before. On the contrary, the precursors obtain higher energy and separated from the surface when the temperature above 320°C. In this situation, the growth rate of La$_2$O$_3$ films is only 0.45 nm per cycle, which is lower than the reported data. The growth rate of La$_2$O$_3$ films is stable when the temperature is between 270°C and 320°C, and the magnitude of growth rate is 0.6 to 0.7 nm per cycle. It proves that the La precursor and the oxidant react completely. So the best deposition temperature range of La$_2$O$_3$ films is 270°C to 320°C.

Furthermore, in Figure 3, the growth rate of Al$_2$O$_3$ films reaches the maximum (0.103 nm/cycle) when the deposition temperature is 220°C. The growth rate of Al$_2$O$_3$ films decreases with increasing deposition temperature. Al$_2$O$_3$ films have stable growth rate when the deposition temperature is between 270°C and 320°C. So the best temperature window is between 270°C and 320°C for deposition of La$_2$O$_3$ films.

Optimization of pulse and purge time for oxidant of La$_2$O$_3$ film

The difference of pulse time and purge time also influences the characteristics of high-$k$ films. The value of pulse time indicates the quantity of reactant per cycle. The longer pulse time lasts, the more precursors enter reactor chamber. The long pulse time wastes precursors and more precursors stick to the surface, which influences the reaction of precursor in the next cycle. On the other hand, the short pulse time causes incomplete reaction between precursor and groups on surface of substrate, which result in poor efficiency for the growth of materials. Therefore, the reasonable pulse time and purge time is very important for ALD process.

Figure 4a shows the thickness of La$_2$O$_3$ film variation with pulse time of oxidant. Heating temperature of La precursor is selected as 180°C, and deposition temperature is selected as 280°C. Pulse/purge time of La precursor is 0.5 s/6 s, and purge time of oxidant is 10 s. Pulse time of oxidant is selected as 0.1, 0.3, and 0.5 s, respectively. The thickness of La$_2$O$_3$ films decreases with increasing pulse time of oxidant. Because of hygroscopicity of the La$_2$O$_3$ film, the reaction process can produce carbohydrate and hydroxide, which possess the low dielectric constant. The growth rate of La$_2$O$_3$ films (0.065 nm per cycle) is close to the reported data when the pulse time is 0.3 s. As the increase of pulse time, the process can produce other by-products. In order to reduce the extent of moisture absorption of La$_2$O$_3$ films and prevent the production of other by-products, the best pulse time for oxidant is 0.3 s.

Figure 4b shows the thickness of La$_2$O$_3$ film variation with purge time of oxidant. Pulse time of oxidant is 0.3 s; purge time of oxidant is selected as 2, 4, 6, 8, and 10 s, respectively. The thickness of films is almost equal to the thickness of natural growth SiO$_2$ when the purge time of oxidant is less than 5 s. In this situation, the La$_2$O$_3$ films cannot be deposited normally. When the purge time of oxidant is 10 s, the thickness of La$_2$O$_3$
films is unsatisfactory. It is attributed to the moisture and impurity which injected by long-time nitrogen. The moisture and impurity can damage the deposition of films. Growth rate of La$_2$O$_3$ films is ideal when purge time takes 6 and 8 s, respectively.

**Optimization of pulse and purge time for La precursor of La$_2$O$_3$ film**

Figure 5a shows the thickness of La$_2$O$_3$ film variation with pulse time of La precursor. Pulse/purge time of oxidant is 0.3 s/8 s, and purge time of La precursor is 6 s. Pulse time of La precursor is selected as 0.1, 0.2, 0.3, 0.5, 0.8, 1, and 2 s, respectively. Growth rate of films is unsatisfactory when pulse time of La precursor is less than 0.3 s. Under this circumstance, La$_2$O$_3$ films cannot deposit completely. Growth rate of films is equal to 0.07 nm per cycle when pulse time of La precursor is over 0.3 s. La$_2$O$_3$ films reached saturated reaction in the range of time, so the best purge time of La precursor is 0.3 s.

Figure 5b shows the thickness of La$_2$O$_3$ film variation with purge time of La precursor. Pulse time of La precursor is 0.3 s; purge time of La precursor is selected as 2, 3, 4, 6, 8, 10, and 12 s, respectively. Because of the time interval between two pulses of precursor is very short, residues of previous precursor and by-product produced by reaction cannot be purged from reactor chamber by N$_2$. The next pulse of precursor is injected into the reactor chamber, which causes the impurities remain on films, and the deposition is unsatisfactory when the purge time of La precursor is less than 4 s. As purge time is increased, growth rate of films grows stable and ideal. Growth rate of films is better when purge time of La precursor is set at 4 s.

According to the above experiments, the best processing parameters for the deposition of La$_{1-x}$Al$_x$O$_3$ are obtained, which are shown in Table 1.

**Deposition and analysis of La$_2$O$_3$ and La$_{1-x}$Al$_x$O$_3$ films**

In order to research the influence of pulse ratio of precursors on the characteristics of La$_{1-x}$Al$_x$O$_3$ films deposited by ALD, following experiments are designed. The process conditions and thickness of samples are shown in Table 2. Change for deposition cycles guarantees the same thickness for films. Moreover, in order to reduce the influence on the characteristic of films by hygroscopicity, 5 cycles of Al$_2$O$_3$ are deposited at the top of films [19].

C-V characteristics acquired for samples A, B, C, and D are shown in Figure 6, the sweep voltage from −3 to 1
films can reduce the extent of formation 
films, and it has the thickness of 
films has high quality. 
¼ film is 1 is the permittivity values of La 
films. EOT and dielectric constant for sample D 
is the area of mercury probe, 
are the permittivity are determined as follows:

\[
EOT = A \frac{\varepsilon_{SiO_2}}{\varepsilon_{ox}} 
\]

\[
EOT_{high-k} = EOT - T_{SiO_2} \quad (2) 
\]

\[
\varepsilon_{high-k} = \frac{T_{high-k}}{EOT_{high-k}} \varepsilon_{SiO_2} \quad (3) 
\]

where A is the area of mercury probe, \(C_{ox}\) is the accumulation capacitance of films, \(T_{SiO_2}\) is the thickness of native oxide, \(\varepsilon_{high-k}\) is the permittivity values of \(La_2O_3\) and \(La_{1-x}Al_{x}O_3\) films, and \(\varepsilon_{SiO_2}\) and \(\varepsilon_0\) are the permittivity values of SiO2 and vacuum, respectively [17].

The result indicates EOT decrease and dielectric constant increase with increasing the La/Al precursor pulse ratio for samples A, B, and C. For sample A, EOT and 
dielectric constant are 3.89 nm and 17.06, respectively; for sample B, EOT and dielectric constant are 3.41 nm and 21.94, respectively; for sample C, EOT and dielectric constant are 2.90 nm and 24.78, respectively. The increase of the dielectric constant is attributed to an increase rate proportional to La, and the theoretical value of dielectric constant (approximately 30) of \(La_2O_3\) film is high; this will increase the dielectric constant for \(La_{1-x}Al_{x}O_3\) films. EOT and dielectric constant for sample D can be calculated in the same way. For sample D, EOT is 8.33 nm and dielectric constant is 5.05. The formation of thicker EOT is caused by generation of the La-silicate which Si atoms from substrate and La atoms from film diffusion. The smaller dielectric constant is caused by the formation of La-hydroxide which is due to the hygroscopicity of \(La_2O_3\) films.

Figure 8a shows Al2p XPS spectra of the four as-deposited nanolamine films. The binding energies of core levels are calibrated by setting the adventitious carbon 1-s peak at 284.6 eV [20]. The Al2p spectrum was fitted with three peaks (indicated by dashed line) after the application of a Smart background for samples A, B, and C. The dotted lines indicate the binding energy of La-Al-O and Al-O-Al peaks with the increasing binding energy [19,21]. La-Al-O peak becomes larger and Al-O-Al peak becomes smaller with the increasing La/Al precursor pulse ratio. This indicates La-Al-O bonds combined in sample A more than in sample B and sample C. Moreover, the smallest peaks with red curves are observed, and these peaks probably stand for Al-Si-O and La-Al-Si-O peaks. The formation of 

### Table 1 The optimized process parameters of deposition for \(La_{1-x}Al_{x}O_3\) film

| Precursor temperature (°C) | Deposition temperature (°C) | Precursor pulse time (s) | Oxidant pulse time (s) | Precursor purge time (s) | Oxidant purge time (s) |
|---------------------------|-----------------------------|--------------------------|------------------------|--------------------------|------------------------|
| La                        | 180                         | 280                      | 0.3                    | 0.3                      | 4                      |
| Al                        | 25                          | 0.1                      | 0.1                    | 3                        | 4                      |

### Table 2 Samples with different La/Al precursor pulse ratios

| Sample | Order and cycles for as-deposited films | Thickness (nm) |
|--------|----------------------------------------|----------------|
| A      | \((Al_2O_3/Al_2O_3)^{50+} (Al_2O_3)^{5}\) | 10.469         |
| B      | \((Al_2O_3/2La_2O_3)^{35+} (Al_2O_3)^{5}\) | 10.757         |
| C      | \((Al_2O_3/3La_2O_3)^{27+} (Al_2O_3)^{5}\) | 8.895          |
| D      | \((La_2O_3)^{121+} (Al_2O_3)^{5}\)      | 8.850          |

Figure 6 The C-V characteristics of the different samples.
Al-Si-O and La-Al-Si-O peaks is caused by the interdiffusion of atoms in Si substrate and as-deposited nanolaminate films. For sample D, the Al2p spectrum was fitted with two peaks, La-Al-O peak and Al-O peak. Al-O peak is attributed to a thin $\text{Al}_2\text{O}_3$ located at the surface. La-Al-O peak is caused by the contact layer for $\text{La}_2\text{O}_3$ and $\text{Al}_2\text{O}_3$ films.

Figure 8b shows O1s XPS spectra of the four as-deposited nanolaminate films. The O1s spectrum was fitted with three peaks (indicated by dashed line) after the application of a Smart background for samples A, B, and C. The dotted lines indicate the binding energy of La-O-La, La-Si-O, and La-OH peaks with the increasing binding energy [22-24]. La-O-La peak becomes larger with the increasing La/Al precursor pulse ratio. Furthermore, smaller La-OH peaks with red curves are obtained. These phenomena are attributed
to more combination of La-Al-O bonds and less La-hydroxide, which could make films with good quality. La-Si-O peaks with blue curves become smaller with the increasing La/Al precursor pulse ratio. The formation of La-Si-O peak is caused by the interdiffusion of atoms in Si substrate and as-deposited nanolaminate films. On the other hand, sample D has large La-OH and La-Si-O peaks, and this is caused by the hygroscopic characteristics of pure La$_2$O$_3$ film and interdiffusion of atoms, respectively.

Figure 8c shows La$^{3d_{5/2}}$ XPS spectra of the four as-deposited nanolaminate films. The dotted lines indicate the binding energy of La-O-La peak, La-Si-O peak, and La-OH peak with the increasing binding energy [20,22,24]. The La-OH peak and La-Si-O peak become smaller with the increasing La/Al precursor pulse ratio. This indicates that the samples have good quality of IL and large dielectric constant, which attribute to the less formation of La-hydroxide and La-silicate. As to sample D, pure La$_2$O$_3$ films, it has large La-OH peak, and it will cause the reduction of dielectric constant. Moreover, the peak with red curve is observed in sample D, but the binding energy of this peak is smaller than the standard value of La-Si-O peak. We can infer that La-Si-O and La-Al-Si-O were formed in La$_2$O$_3$ film and attributed to interdiffusion of atoms. These chemical compounds will lead to a reduction of dielectric constant and increase of interfacial layer.

Atomic force microscopy was performed to obtain a three-dimensional image of the surface morphology of films. Figure 9 shows the surface morphology of sample D and sample C. For the typical scan area of $1 \times 1 \mu m^2$, the surface roughness of sample D and sample C was measured at 0.48 and 0.39 nm, respectively; for the typical scan area of $0.5 \times 0.5 \mu m^2$, the surface roughness of sample D and sample C was measured at 0.46 and 0.27 nm, respectively. This indicates that La$_2$O$_3$ films have rough surface, and it is caused by the hygroscopic characteristics of pure La$_2$O$_3$ film which formed La-hydroxide [25]. On the contrary, sample C has smooth surface due to the stable characteristic for La$_{1-x}$Al$_x$O$_3$ film. The results are in accordance with the XPS analysis results above.

Table 3 and Figure 10 show the percentage compositions of different atoms in samples A, B, C, and D. The percentage composition of La atoms in films increases with increasing La/Al precursor pulse ratio. The percentage composition of La is 12.72% and Al is 28.12% for sample A, and the ratio of La:Al is approximately 1:2; the percentage composition of La is 18.04% and Al is 23.16% for sample B, and the ratio of La:Al is approximately 1:1.3. However, the percentage
percentage compositions of La, Al, and O atoms in films. Therefore, the ratio of La to Al is less than 1. Moreover, the oxygen atoms in films are abundant. The main reason is that the inter gases contain a little moisture, and it will induce the excessive oxygen content.

**Conclusions**

In this study, we mainly investigated the influence of process parameters and pulse ratio of precursors on the characteristics of La$_{1-x}$Al$_x$O$_3$ films deposited by ALD. Firstly, main process parameters for ALD which include heating temperature of precursor, deposition temperature window, pulse time, and purge time for precursor and oxidant, respectively, are obtained. The optimized process parameters of La$_{1-x}$Al$_x$O$_3$ film are presented. Furthermore, as the increase of the La/Al precursor pulse ratio, more La-Al-O bonds formed in La$_{1-x}$Al$_x$O$_3$. When the ratio of La and Al precursor is 3 to 1, the film has a small EOT, high dielectric constant, excellent interface layer, and superior character of surface. XPS analysis shows that the La/Al/O ratio of sample with a La/Al precursor pulse ratio of 3/1 fits the stoichiometric LaAlO$_3$ composition (1:1:3) better, and this result is close to the standard value, thereby making them become a suitable insulator in MIM capacitor devices.

**Competing interests**

The authors declare that they have no competing interests.

**Authors’ contributions**

CxF generated the research idea, analyzed the data, and wrote the paper. XW was involved in some of the sample preparation and AFM experiments. CxF performed the XPS and C-V analysis. XW and XfJ provided the samples. HxL has given final approval of the version to be published. All authors read and approved the final manuscript.

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CxF is a PhD student in the Xidian University. HxL is a professor in the Xidian University. XW and XfJ are PhD students in the Xidian University.

**Acknowledgements**

This work is supported by the National Natural Science Foundation of China (Grant Nos. 61376009, 11235008) and the Specialized Research Fund for the Doctoral Program of Higher Education (Grant No. 20130203130002, 20110203110012).

**Received:** 15 November 2014 **Accepted:** 26 March 2015

**Published online:** 14 April 2015

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