The roles of growth regulation and appendage patterning genes in the morphogenesis of treehopper pronota

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Treehoppers of the insect family Membracidae have evolved enlarged and elaborate pronotal structures, which is hypothesized to involve co-opted expression of genes that are shared with the wings. Here, we investigate the similarity between the pronotum and wings in relation to growth. Our study reveals that the ontogenetic allometry of the pronotum is similar to that of wings in Membracidae, but not the outgroup. Using transcriptomics, we identify genes related to translation and protein synthesis, which are mutually upregulated. These genes are implicated in the eIF2, eIF4/p70S6K and mTOR pathways, and have known roles in regulating cell growth and proliferation. We find that species-specific differential growth patterning of the pronotum begins as early as the third instar, which suggests that expression of appendage patterning genes occurs long before the metamorphic molt. We propose that a network related to growth and size determination is the more likely mechanism shared with wings. However, regulators upstream of the shared genes in pronotum and wings need to be elucidated to substantiate whether co-option has occurred. Finally, we believe it will be helpful to distinguish the mechanisms leading to pronotal size from those regulating pronotal shape as we make sense of this spectacular evolutionary innovation.

1. Introduction

The Membracidae, one of three families of treehoppers, are among the most morphologically diverse insect taxa (figure 1). The over 3300 species in this family have taken on forms to mimic thorns, twigs, seeds and fungi, as well as caterpillar frass, ants and wasps to name a few [1]. This incredible diversity arises from a single tissue, the pronotum (plural, pronota), which in most insects is a simple dorsal plate of the first thoracic segment [2]. Membracid pronota often extend to the tip of the abdomen and in some clades, completely cover the dorsal surface of the body so that even the wings are partially covered. Many clades also contain species with elaborate pronotal projections [3,4].

How the pronotum develops into a grossly enlarged structure with complex morphology is not understood. Recent work has shown that there is striking transcriptional similarity between the pronotum and the wing during the 5th instar. This work revealed a set of enriched gene ontology (GO) terms for 52 genes upregulated in the pronotum and wings relative to other body parts. They conclude that the transcriptional similarity between wings and pronotum is owing to shared and identifiable developmental processes. These results were used to expand the hypothesis that the pronotum co-opted the wing gene regulatory network during the evolution of its exaggerated morphologies in the membracids [5]. We suggest an examination of the genes that are transcriptionally shared between the pronotum and wings may shed light on developmental mechanisms that operate during morphogenesis of the membracid pronotum and clarify what processes may have been co-opted from the wings.

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The metamorphic transition from the 5th instar to the adult of membracids (figure 1g,h) is associated with a massive increase in size of the pronotum and wings [6]. We hypothesize, therefore, that the pronotum and wings are transcriptionally similar owing to growth and size regulation in the final nymphal stage, the 5th instar. The comparable size change of the pronotum and wings is not found in most other insects. The membracid pronotum undergoes such tremendous growth that it develops as a complexly folded structure under the cuticle of the 5th instar before expanding during adult ecysis [7]. Interestingly, in the 5th instar of another hemipteran, *Nilaparvata lugens* (Cicadellidae), the wings and genitalia are transcriptionally most similar to one another [5]. We suspect this transcriptional similarity is owing to a similarity in growth activity at this stage. To test this hypothesis, we investigate whether pathways related to protein synthesis, cell proliferation and growth, namely the insulin/mTOR signalling pathway, are upregulated in the pronotum and wings [8]. The insulin/mTOR signalling pathway has been well studied in insect systems and plays a major role in the regulation of organ size by controlling growth rate and duration [9–11]. Insulin is key for the coordination of whole-body growth such that the appropriate proportions of organs and appendages with overall body size are achieved [12,13].

Pronotal shape is probably owing to a variety of mechanisms including localized differential growth, changes in cell size and shape, cell migration and/or apoptosis much like the establishment of wing shape in butterflies [14–16]. We have preliminary evidence that the three-dimensional shape is established in earlier instars. We, therefore, hypothesize that the very attribute which makes the treehopper pronotum different from wings, its three-dimensional shape, is largely patterned and established along the dorsal surface prior to the final instar. No formal investigations into these earlier processes have been undertaken, hence it is unknown when and how growth is patterned in the pronotum. With these two hypotheses together, first, that transcriptional similarity is owing to shared mechanisms related to growth and size determination, and second, that pronotal dorsal shape is largely patterned and established before the 5th instar, we aim to make the distinction between the mechanisms that control pronotum growth and size, and the mechanisms that control pronotal shape.

In this study, we first investigate our assumptions related to pronotal size and shape. To test whether the changes in relative size of the pronotum and wing are comparable, we use ontogenetic allometries of pronotum and wing size relative to body size from five membracid species and compare those to *Aetalion reticulatum* (family, Aetalionidae), a closely related treehopper species that does not display the greatly enlarged pronotum. To examine the establishment of shape before the 5th instar, we use geometric morphometrics to compare pronotal shape in the 4th, 5th and adult stages in five membracid species. Finally, we explore the transcriptional similarity between the pronotum and wings of 5th instar *Entylia carinata*. To test for transcriptional similarities in patterning, we examine pronotal anterior-posterior (AP) axis patterning. Genes that are regionally expressed and pattern the AP axis of insect wings have been well documented [17–22]. We predict that if there is shared patterning between the pronotum and wings, these AP genes are likely to be differentially expressed in the anterior and posterior pronotum.

2. Methods

(a) Ontogenetic allometry: size analysis

The sample \( n = 174 \) consisted of six species, *Ent. carinata*, *Ennya chrysura*, *Polyglypta costata*, *Metheisa lucillodes*, *Membraeis mexicana* and *A. reticulatum*. *Entylia carinata* were collected from a Duke colony, all other specimens from San José, Costa Rica (altitude 1200–1400 m) under permit SINAC-ACC-PI-R-018-2020. *Aetalion reticulatum* is from the treehopper family, Aetalionidae, which is either sister to or paraphyletic with the clade containing...
Membraclidae [23]. Aetaliol reticulatum served as the outgroup being from a closely related clade that does not display the derived enlarged and exaggerated pronotal characteristics present in most Membraclidae. The 4th and 5th instars and the adults were used. For each species and stage, a minimum of five replicates were available. The surface area of the wing-pad and the lateral side of the pronotum were measured. As a proxy for body size, the head width was chosen. An Olympus SZX16 microscope with an Olympus DP71 camera and a Canon 5D Mark IV camera for larger individuals were used to photograph specimens. All measurements were performed in Fiji (v. 2.1). To obtain comparable units, the square roots of the wing and pronotum surface area measurements were taken. Head width, wing square root and pronotum square root were log transformed for use of linear allometric equation, \( \log y = a \log x + b \), to obtain the allometric coefficients of body size to wing and body size to pronotum across species.

(b) Geometric morphometrics: shape analysis
The above sample was used for the ontogenetic allometry of shape, but without A. reticulatum and the addition of adult replicates for all membraclids (\( n = 169 \)). To quantify shape, the dorsal outline of 4th, 5th, and adults of all five species were digitized in lateral view; the orientation that contains species variation, and has been used as a taxonomic feature [24]. Two fixed landmarks were used at the most anterior and posterior points of the pronotum (electronic supplementary material, figure S1). Sixteen sliding semi-landmarks were placed along the dorsal margin. All specimens were photographed in lateral view as described above. Image digitization was done in tpsDIG2 and superimposition and analyses performed in RSTUDIO (v. 1.2.5001).

(c) Transcriptomics

(i) Rearing and RNA extraction
Day three 5th instar nymphs of Ent. carinata (figure 1h) were collected to analyse gene expression profiles. The forewings (T2 wings), the forelegs (T1 legs), the anterior pronotum, and the posterior pronotum (T1) were removed from each animal in a total of four specimens (\( n = 16 \)) (electronic supplementary material, figure S1). All replicates were dissected immediately after anesthetization in \( \mathrm{CO}_2 \) and moved into TRIzol (Invitrogen). RNA was extracted and purified according to the RNA Mini Kit (Invitrogen) protocol with the DNase step. The quantity and quality of the RNA samples were determined using a Bioanalyzer 2100 with an Agilent RNA 6000 Pico chip. RNA samples were stored at \(-80^\circ\mathrm{C}\) until all replicates were ready for processing.

(ii) RNA-seq data processing and analysis
Library construction and sequencing were performed by the Sequencing and Genomic Technologies Core through the Duke Center for Genomic and Computational Biology (DCCGB). Libraries were prepared with the Clontech Ultra Low Input mRNA-seq (Illumina). NovaSeq 6000 S-Prime was used for sequencing and 50 bp paired-end reads were collected. Transcriptomic data preprocessing was performed with the Sequencing and Genomic Analysis and Bioinformatics Core (DCCGB). RNA-seq data were processed using the TventaGalore (v. 0.6.3) toolkit which employs Cutadapt to trim low-quality bases and Illumina sequencing adapters from the 3' end of the reads [25]. Only reads that were 20 nucleotides or longer after trimming were kept for further analysis. Reads were mapped to the treeshopper transcriptome (NCBI accession number GHIZ00000000) and quantified using Salmon (v. 1.3.0) [5,26]. Transcript-level abundance estimates from Salmon were converted into gene-level abundance estimates using the tximport package [27]. Only genes that had at least 10 reads in at least four libraries were used in subsequent analysis. Normalization and differential expression were carried out using the DESeq2 Bioconductor package (v. 1.16.1) with the R statistical programming environment R 3.2.2 [28,29]. Animal identity (ID) was used as a cofactor in each pairwise tissue comparison. A false discovery rate (FDR) was determined for all 13 367 genes tested using the method described in [30]. Differentially expressed (DE) data were merged in RSTUDIO with Ent. carinata transcriptome annotations obtained from the Open Science Framework (OSF) [31]. Of the 13 367 genes obtained from sequencing, 3483 had Gene ID annotations and clear orthology. The remaining genes had only GO term annotations or no annotation. These 3483 genes were used to identify mutually upregulated genes in the pronotum and wings and as input for pathway analysis, which requires gene IDs (see the electronic supplementary material, methods and table S2 for more details).

(iii) Comparisons of shared gene expression with pronotum
Two subsets of gene expression data were generated from the Full Gene ID subset (3483 genes) to identify (i) genes that were mutually differentially expressed (DE) in the pronotum (anterior and posterior) and wings compared to the legs, and (ii) genes that were mutually DE in the pronotum and legs compared to the wings. To obtain these two datasets, DE genes across all pairwise comparisons were subset with an FDR of less than 0.05. Venn diagrams generated in RSTUDIO with the venn package were used to identify the number of DE genes that were shared between pronotum and wings relative to legs, and pronotum and legs relative to wings.

(iv) Pathway analysis
Pathway enrichment analysis was performed to further investigate similarities and differences across pairwise comparisons of DE data for the four tissue types. The analysis was performed with QIAGEN ingenuity pathway analysis (IPA) [32]. IPA uses a powerful database with numerous canonical pathways and can hypothesize genetic correlations that cannot be predicted with other pathway analysis tools. However, IPA takes inputs from human or mouse genes only, and thus, Mus musculus orthologues were used. Three per cent of the Ent. carinata transcriptome with gene name annotations contained human and mouse genes. The remaining orthologues were identified with Ensembl (v. 104). Of the 3484 annotated genes, 3134 had orthologues to Mus musculus. For IPA, the non-DE genes were used as background. Pathways with a \( p \)-value <0.05 were considered significant after Bonferroni correction. Additional pathway analyses were performed using the Database for Annotation, Visualization and Integrated Discovery (DAVID) to confirm IPA results (electronic supplementary material, analyses and table S1) [33,34].

(d) Anterior-posterior differences
To investigate whether the anterior and posterior regions of the pronotum were similarly defined by the genes patterning the AP axis of Drosophila melanogaster wings, genes that characterize anterior and posterior identity, respectively, were identified through a literature search. Nine total genes, five anterior and four posterior were selected for their unique expression in one of the two regions (electronic supplementary material, figure S3). GO enrichment analyses were implemented in DAVID to identify gene groups with shared developmental processes. For this analysis, the AP pairwise comparison dataset was used. Genes that were upregulated in the anterior relative to the posterior (\( p \)-adjusted < 0.05) were input into DAVID for anterior GO enrichment and vice versa for the posterior with the Drosophila melanogaster genome as background. GO terms with \( p \)-adjusted < 0.05 were taken into consideration for analyses.
3. Results

(a) Ontogenetic allometry: the relative growth of pronota and wings

We found the scaling relationship (allometric coefficient or slope) of the wing and the pronotum to be similar in all membracids (pronotum and wing slope greater than 2) but not in the outgroup, A. reticulatum (figure 2). The scaling of the pronotum in A. reticulatum (slope = 1.1) was lower than the scaling of the wings (slope = 3.0). To validate that the similar allometric coefficients were unique to the pronotum and wings of membracids, we performed a check by measuring the length of Ent. carinata hindleg femurs (allometric coefficient = 1.6). We examined the untransformed surface (mm²) area data to confirm our results were not the product of data transformation (electronic supplementary material, figure S2). This revealed a similar pattern in which the pronotum surface area and wing surface area scaled close to a 1 to 1 ratio in Membracidae, but not in A. reticulatum (slope = 0.11).

(b) Geometric morphometrics: the ontogeny of shape

Our data show that some of the attributes that contribute to pronotal form are already present in the 4th and 5th instars. The morphospace generated from principal components analysis (PCA) showed elements of shape that corresponded to ontogeny along PC1, and elements that corresponded to species differences along PC2 (figure 3c). PC1 accounted for 59.4% of the shape variation and described...
the elongation along the AP axis. PC2 accounted for 19.5% of shape variation and corresponded to distal outgrowths of the pronotum. The mean shapes of pronota across species and at different developmental stages showed qualitative differences in overall shape changes (figure 3b). We found that adult morphological features emerged before the 5th (final) instar.

Our geometric morphometric analysis showed that in some species there were aspects of shape that changed between the 5th instar and the adult (figure 3c). Three of the five species showed significant differences in shape between the 5th instar and the adult based on Procrustes distance, a quantification of the degree of shape difference (figure 3c). In *Mem. lucillodes*, there was a noticeable emergence of an anterior bump in the adult. The shape changes in *Ent. carinata* and *Mem. mexicana* were more subtle and mostly owing to forward growth of the anterior process that occurred from the 5th to the adult. However, the characteristic crest of *Mem. mexicana* and the anterior and medial crests characteristic of *Ent. carinata* were already present in the 5th instar. In all species except *Mem. lucillodes*, several features of the adult pronotum were already established in the 4th instar (figure 3d).

(ii) Ingenuity pathway analysis canonical pathways
IPA predicted the eukaryotic initiation factor 2 (eIF2) signalling pathway to be significantly upregulated in the wings and anterior and posterior pronotum relative to the legs (figure 4c). eIF2 plays a major role in the regulation of translation [35,36]. IPA also showed the sirtuin signalling pathway to be significantly enriched in the pronota and wings relative to the legs, but only significantly upregulated in the anterior pronotum and wings. Sirtuin 1 is involved in the activation of Akt in the insulin signalling pathway [37]. We observed a few pathways downregulated in the pronotum and wings relative to the legs including oxidative phosphorylation and oestrogen receptor signalling. We found the mTOR signalling pathway and regulation of eIF4 and p70S6K signalling, important for the regulation of translation, to be significantly enriched, but there was not enough information to determine the directionality of regulation. However, these pathways are indirectly related to EIF2 signalling since both mTOR and p70S6K signalling pathways are upstream of protein synthesis [38,39].

(iii) Anterior and posterior pronotum patterning
To examine the possibility that the pronotum shared genes that are known to pattern the wing, we first separated the anterior and posterior portions of the pronotum. We wanted to identify whether differences existed with respect to AP axis patterning genes, which are well studied in *D. melanogaster* wings [20,21,40,41]. The pairwise DE data showed that there were 295 DE genes between the anterior and posterior pronotum regions (electronic supplementary material, figure S3). Based on our GO term enrichment analysis, many of the genes upregulated in the anterior relative to the posterior were related to muscle development (*Mhc, ScgDelta, Actn, bt, sls, wupA, sr, nau, Tm1, unc-5) and mesoderm development (*Msp300, Prm, Zasp66, twi*). We found the genes upregulated in the posterior to be related to translation (ribosomal proteins). Two of the nine AP axis patterning genes we selected, *enugnailed* and *invected*, were DE (electronic supplementary material, figure S3). Both genes are transcription factors that are co-regulated throughout *D. melanogaster* development and are necessary to establish posterior compartment identity in wings, legs and the embryo [21,42–44].

4. Discussion

(a) Mechanisms related to growth
In this study, we compared size and shape ontogenies of pronota and wings in six species of treehoppers and we explored the transcriptional similarities and differences between two regions of the pronotum, the wings, and foreleg of *Ent. carinata*. We found the relative growth in the pronotum and wings to be similar from the 4th to the 5th instar and to the adult. This was not the case for the outgroup treehopper, *A. reticulatum*, in which the relative growth of the pronotum was much less than that of the wings. These results suggest that the membracid pronotum became more similar in size to the wing, diverging from the ancestral pronotal relative size over the course of evolution. The similarity between the relative size changes of the pronotum and wings to achieve similar sizes makes growth itself an important
attribute that must be considered when explaining the transcriptional similarity.

The transcriptomic results suggest that molecular factors related to growth at the 5th instar stage of development is a major contributor to the transcriptional similarity of the pronotum and wings. By comparing the pronotum and wings to the T1 legs, we identified a suite of genes important for translation regulation. Pathway analysis revealed that the eIF2 pathway was highly enriched and upregulated in the pronotum and wings. eIF2 is a necessary component of translational machinery, protein synthesis and cell proliferation [16,35,45–48]. We, however, expected to find similar molecular factors related to the regulation of growth between pronotum and wings, namely, components of the insulin/mTOR signalling pathways. The mTOR signalling pathway was enriched in the pronotum and wings relative to the legs, but there were not enough data to determine the directionality. Instead, our results show transcriptional similarities downstream of growth regulation pathways with an indication that the insulin/mTOR pathway may have a role in

Figure 4. Results from comparative transcriptomic analyses of the pronotum (anterior and posterior), wings and legs: overlapping significantly differentially expressed (DE) genes shared in the anterior and posterior pronotal with (a) wings and (b) legs respectively (FDR < 0.05) are shown in the Venn diagram. (a) There were 116 mutually significantly DE genes in the wings, anterior and posterior pronotal tissues compared to legs and (b) 40 mutually significantly DE genes in the legs, anterior, and posterior pronotal tissues compared to legs (see the electronic supplementary material, tables S3 and S4 for full list of genes). (c) The top significantly enriched canonical pathways in wings from ingenuity pathway analysis (IPA) show several pathways mutually enriched in the pronotum (anterior and posterior) and wings. Positive z-scores (purple in online version) indicate an upregulation of the pathway relative to legs. Negative z-scores (red in online version) indicate a downregulation of the pathway relative to legs. Four significantly enriched canonical pathways did not have enough data to determine whether the pathway was upregulated or downregulated in the pronotum and wings relative to legs (grey in online version). (Online version in colour.)
the expression of the ribosomal proteins we observed. Given that eIF2 was highly upregulated in the pronotum and wings, we tentatively assume that mTOR was also activated in these structures and it has been suggested these two pathways are linked [38,49,50]. Namely, they may share in the regulation by protein kinase B (PKB) or AKT, a key component of the insulin signalling pathway [51–53].

Finally, we analysed differences in the anterior and posterior portions of the pronotum to test whether axis patterning genes were regionally expressed as one potential alternative to transcriptional similarity relating to growth mechanisms. We found engrailed/invected to be significantly upregulated in the posterior pronotum, but no other patterning genes were. Engrailed/invected are frequently found to be constitutively expressed in the posterior compartment of developing tissues [20]. Other genes related to AP axis patterning might be expressed in earlier instars that could lead to development of the distinct anterior bump and medial hump traits of Ent. carinalata. Our finding also suggests that this particular component of what is often called the wing gene regulatory network is not expressed in the pronotum at this time of the 5th instar [54].

Our findings on transcriptional similarity differ from those in the previous work on treehopper transcriptomics [5]. We found that genes related to ribosomes and protein synthesis were shared between the pronotum and wings. This difference may be owing to the time at which the specimens were sampled, and the structures chosen for comparison. We chose a timepoint to maximize the possibility of observing tissue patterning mechanisms, just prior to cell proliferation. Our analysis had strict requirements, genes with known orthology, which led to a reduced data subset. Currently, a completely annotated transcriptome is unavailable for any membracid. With the completion of a transcriptome, future investigations will shed further light on the causes and consequences of transcriptional similarity between pronotum and appendages in membracids.

(b) Pronotum evolution: co-option or convergence?

Although genes expressed in wings are also expressed in the pronotum, a more nuanced interpretation of the significance of this association is required [55,56]. We suggest that two things must be considered when investigating questions related to treehopper pronotal development and evolution: (i) the genes frequently studied in wings, such as wingless, distal-less, engrailed, hedgehog, spalt, decapentaplegic, apterous, vestigial, are also expressed in wing, and responsible for the patterning of, other appendages including legs, antennae, mouthparts and genitalia, and also play critical roles earlier, in embryonic development [2,21,57,58]; and (ii) our observations show that many general features of the adult pronotum are already evident in the 4th instar (figure 3), which suggests that critical transcriptional patterning of pronotal differentiation occurs before the 5th instar. Species-specific morphologies are progressively patterned during the nymphal stages and comparative work on the timing and the location of gene expression in earlier instars will be key for understanding the developmental and evolutionary changes that lead to morphological variation.

This leads to the question of whether the wing gene network had been co-opted in the development of the exaggerated pronotum of the Membracidae. Does the transcriptional similarity between the pronotum and the wings still point to the wing-gene network, considering our findings that translation-related genes appear to dominate among the differentially expressed genes in the pronotum? The challenge in proving co-option of a network rather than the re-use of single genes is the need to demonstrate that the genes from the co-opted network are fully expressed in the novel structure and share both topological and regulatory components with the network that was co-opted [59,60]. This is a challenge further exacerbated by the fact that the genes which are well studied in wing development are expressed in many other developing structures throughout ontogeny and morphogenesis. Therefore, it is not a simple task to determine from which antecedent structure a new morphological feature was co-opting. Ultimately, all the genes in the wing network and in the pronotum have complex expression patterns and regulatory functions in the embryo, and the full pathway by which these genes acquired novel functionalities in late-developing appendages and other structures is yet unknown.

Understanding the regulation of protein synthesis and localized growth will be essential to recognize the commonalities and differences between the mechanisms that pattern the wing and the pronotum. Components of the insulin/mTOR signalling pathway are probably shared by wings and pronota and may be convergently deployed to manage the disproportional growth of these two structures. It is possible that co-option of regulatory factors upstream of insulin/mTOR has occurred, and it is possible that those upstream factors also regulate the expression of genes involved in wing development. For example, a handful of genes were found to be uniquely enriched in the membracid pronotum but not the leafhopper pronotum [5]. Interestingly, these genes have varying functions in different tissues. In wings they have a role in the regulation of growth (apterous, four-jointed, serum response factor), planar polarity, cell size, epithelial growth and epithelial repair (grainy head, miniature, four-jointed), cell polarity and tissue symmetry (frizzled) and tracheal development (serum response factor) [61–71]. When deployed in a new cellular and molecular context, there is no guarantee that these genes will preserve their ancestral function. An exploration of questions pertaining to the control of growth, those related to relative growth and those to localized growth patterning, will be essential for understanding the development and evolution of pronotal shape diversity and may also give more insights into the mechanisms that lead to morphological diversification in general.

Ethics. Entylia carinala nymphs were collected following authorized protocols and anaesthetized before dissection. The remaining animals used were preserved specimens.

Data accessibility. Data and codes used to analyse and generate figures for allometry, geometric morphometrics and RNAseq work are available through the Dryad Digital Repository: https://doi.org/10.5061/dryad.8pkp02pg [72]. Raw sequence files are found in NCBI BioProject no. PRJNA817220 with accession nos. SRR18360271 through SRR18360286. An electronic supplementary material file with additional methods, analyses, figures, and tables has been included to further support this study [73].

Authors' contributions. A.M.K.: conceptualization, data curation, formal analysis, funding acquisition, investigation, methodology, validation, visualization, writing—original draft, writing—review and editing; H.F.N.: funding acquisition, investigation, project administration, resources, supervision, validation, visualization, writing—review and editing.

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