A 20-Amino-Acid Deletion in the Neuraminidase Stalk and a Five-Amino-Acid Deletion in the NS1 Protein Both Contribute to the Pathogenicity of H5N1 Avian Influenza Viruses in Mallard Ducks

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Abstract
Since 2003, H5N1-subtype avian influenza viruses (AIVs) with both a deletion of 20 amino acids in the stalk of the neuraminidase (NA) glycoprotein (A−) and a deletion of five amino acids at positions 80 to 84 in the non-structural protein NS1 (S−) have become predominant. To understand the influence of these double deletions in the NA and NS1 proteins on the pathogenicity of H5N1-subtype AIVs, we selected A/mallard/Huadong/S/2005 as a parental strain to generate rescued wild-type A−S− and three variants (A−S+ with a five-amino-acid insertion in the NS1 protein, A+S− with a 20-amino-acid insertion in the NA stalk, and A+S+ with insertions in both NA and NS1 proteins) and evaluated their biological characteristics and virulence. The titers of the AIVs with A− and/or S− replicated in DEF cells were higher than that of A+S+, and the A−S− virus exhibited a replication predominance when co-infected with the other variants in DEF cells. In addition, A−S− induced a more significant increase in the expression of immune-related genes in peripheral blood mononuclear cells of mallard ducks in vitro compared with the other variants. Furthermore, an insertion in the NA and/or NS1 proteins of AIVs resulted in a notable decrease in virulence in ducks, as determined by intravenous pathogenicity index, and the two insertions exerted a synergistic effect on the attenuation of pathogenicity in ducks. In addition, compared with A+S+ and A+S−, the A−S+ and A−S− viruses that were introduced via the intranasal inoculation route exhibited a faster replication ability in the lungs of ducks. These data indicate that both the deletions in the NA stalk and the NS1 protein contribute to the high pathogenicity of H5N1 AIVs in ducks.

Citation: Li Y, Chen S, Zhang X, Fu Q, Zhang Z, et al. (2014) A 20-Amino-Acid Deletion in the Neuraminidase Stalk and a Five-Amino-Acid Deletion in the NS1 Protein Both Contribute to the Pathogenicity of H5N1 Avian Influenza Viruses in Mallard Ducks. PLoS ONE 9(4): e95539. doi:10.1371/journal.pone.0095539

Editor: Hui-Ling Yen, The University of Hong Kong, Hong Kong

Received August 4, 2013; Accepted March 28, 2014; Published April 17, 2014

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Funding: This work was supported by the Major National Basic Research Development Program (973 Program) (grant number 2011CB505003), the Jiangsu High School Natural Science Foundation (10KJA230055), the Important National Science & Technology Specific Projects (2012ZX10004214001002), the Special Fund for Agro-scientific Research in the Public Interest (20100312), the National High-Tech Research and Development Program of China (2011AA10A209), the Qing Lan Project, and a Project Funded by the Priority Academic Program Development of Jiangsu Higher Education Institutions and the Yangzhou University Funding for Scientific Research (2012CXB01). The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

Competing Interests: The author have declared that no competing interests exist.

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Introduction
Avian influenza virus has a wide geographical distribution in poultry and wild birds and certain genotypes/subtypes exhibit continuous cross-species transmission to humans and other mammals, which has resulted in the global concern of a potential pandemic threat [1]. The viral surface glycoproteins hemagglutinin (HA) and neuraminidase (NA) are major determinants in the interspecies transmission and adaptation of influenza A viruses to a new host [2]. The sialidase activity of NA not only facilitates the release and diffusion of progeny virions but also initiates the viral infection process [3–5]. A deletion in the stalk region of the NA (A−) decreases the ability of NA to release the virus from cells [6–9] and alters the virulence of the virus [10,11]. In addition, a deletion in the stalk of the NA gene may be required for the adaptation of H5N1 influenza viruses from wild aquatic birds to poultry [12–19]. The non-structural (NS) gene of influenza A virus encodes two proteins, namely NS1 and NEP, which share ten amino acids from the first residues at the N-terminal of the ORF [20]. The NS1 protein is a multifunctional protein involved in various protein-protein and protein-RNA interactions. In addition, NS1 is responsible for the inhibition of host immune responses by regulating the production of interferons (IFN) in the infected cells [21–23], the downregulation of host apoptosis, the post-transcriptional block of cellular mRNA maturation [24], and the regulation of the pathogenicity of influenza A viruses [25,26]. A five-amino-acid deletion at positions 80 to 84 in the NS1 protein of H5N1-subtype AIVs (S−) appeared in 2000 [16,27–29], which has resulted in an increase in the virulence of H5N1 viruses in chicken and mice [30].
H5N1 influenza viruses with both a short NA stalk and a five-amino-acid deletion in the NS1 protein were first found in 2002 and were the prevailing strains by 2003. However, the role of the double deletions in the NA and NS1 proteins in the pathogenicity of H5N1 subtype AIVs remains unknown. In this study, four rescue viruses with or without deletions in the NA and NS1 proteins were obtained using a reverse genetics technique based on the wild-type H5N1-subtype AIV strain A/mallard/Huadong/S/2005, and their biological characteristics and virulence were determined.

**Materials and Methods**

**Ethics Statement**

All of the animal studies were approved by the Jiangsu Administrative Committee for Laboratory Animals (Permission number: SYXKSU-2007-0005) and complied with the guidelines for laboratory animal welfare and ethics of the Jiangsu Administrative Committee for Laboratory Animals.

**Viruses and Cells**

A/mallard/Huadong/S/2005(SY), which has a 20-amino-acid deletion in the NA stalk and a five-amino-acid deletion at residues 80–84 in the NS1 protein, was isolated from mallard ducks and identified as an H5N1-subtype highly pathogenic AIV by our lab [31]. MDCK, 293T, and Vero cells were purchased from the Shanghai Institute of Biological Science, CAS, and cultured in DMEM (Invitrogen, CA, USA) containing 10% fetal calf serum (FCS, HyClone, UT, USA). Primary duck embryo fibroblasts (DEF) or primary chick embryo fibroblast (CEF) cells were prepared from embryonated unvaccinated duck eggs or SPF chicken red blood cells (CRBCs) [36]. The RNAs of the propagated rescue viruses were extracted and amplified, and each viral gene segment was sequenced to ensure the absence of unwanted mutations. The rescue viruses were named A–S– if the virus exhibited both deletions in the NA and NS1 proteins, A+S– if the virus exhibited the 20-amino-acid insertion in the NA stalk, A–S+ if the virus exhibited the five-amino-acid insertion in the NS1 protein, and A+S+ if the virus exhibited both insertions in the NA and NS1 proteins.

**Growth Curve**

Confluent MDCK, Vero, CEF, and DEF cells in 35-mm dishes were infected in duplicate with each rescue virus at a multiplicity of infection (MOI) of 0.01 and incubated at 37°C in the appropriate medium containing 1% FCS. The virus titers of the supernatants, which were collected at different time points, were determined as the number of 50% tissue culture infectious doses (TCID50) per 1 ml of CEF cell culture using the method described by Reed and Muench [37].

**NA Activity Assays**

For the enzymatic assays, virus dilutions in U-bottomed microtiter plates were incubated with increasing concentrations (5 to 100 μM) of the fluorogenic substrate 4-methylumbelliferyl N-acetylneuraminic acid (4-MUNANA; Sigma, MO, USA), and the fluorescence of the released 4-methylumbelliflorone was monitored using a Safire2 microplate reader (Tecan, Mannedorg, Switzerland). The kinetic parameters $K_m$ and $V_{max}$ were calculated by Reed and Muench [37].

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**Table 1. Primers for the mutagenesis of the NA and NS genes of the H5N1 AIV SY strain.**

| Primer name | Primer sequences (5′→3′) |
|-------------|--------------------------|
| mNA         | TaATCTCTCATGGAGGAAATAACGCAGGAGT  |
| NA-1d       | TaATCTCTATTAACCAAGGTTGTATTTTGATATAT  |
| NA-2u       | AACCTGAAACAACCACTGTTGAATAAGTTATAGTT  |
| Ba-NA-2     | AACATCAGAACATTTTCTTCTATTCGAGAAGACTTGCTT  |
| mNS         | TaATCTCTCACCTGACGGACAGCAAGCAGGAGT  |
| Bm-NS-1d    | TAATCTCTCAAATTCGCCATTATAGTGCT  |
| Bm-NS-2u    | TAATCTCTGCAAATTCGCCATTCAGGAC  |

Bm-NS-1d, the restriction endonuclease site for BsmBI is underlined.

Bm-NS-2u, the restriction endonuclease site for BsaI is underlined.

doi:10.1371/journal.pone.0095539.t001
fitting the data to the appropriate Michaelis–Menten equations using KaleidaGraph software (Synergy Software) [11,15,33].

To determine the rate of virus elution from CRBCs, 50 μl of serial twofold dilutions of the viral stocks in phosphate-buffered saline (PBS) was incubated with 50 μl of 1% CRBC suspension in U-bottom microtiter plates. The plates were left on ice for 1 h to allow virus adsorption to the CRBCs and then transferred to a water bath at 37°C. The decrease in HA titer, which reflects the NA-mediated virus elution from CRBCs, was monitored for 24 h [15,33].

Antiviral Activity Assay of IFN-β

The antiviral activity of IFN-β was assayed as previously described [1,36]. Briefly, Vero cells plated at a density of 2×10⁶ cells per well in 6-well plates were treated with recombinant human IFN-β (R&D systems, MN, USA) at different concentrations (100 U, 200 U, 400 U, 800 U, 1600 U, 2000 U, and 10,000 U) for 24 h in serum-free DMEM, and the cells were then inoculated with the viruses at an MOI of 0.0001. The culture supernatants were collected 72 h after inoculation for subsequent determination of the TCID₅₀ per 0.1 ml of the CEF cell culture.

Competition Inhibition Assay in vitro

Vero, MDCK, DEF, and CEF cells at a density of 2×10⁶ cells per well in 6-well plates were used for the serial passaging. The A–S– virus, which was mixed equivalently with the A–S+, A+S–, or A+S+ viruses (1×10⁶ TCID₅₀ per 0.1 ml of each), was inoculated into the monolayer cells at an MOI of 0.01. After adsorption for 1 h at 37°C, the inoculum was removed, and fresh medium containing 1% FCS was added to the wells. The inoculated cells were incubated at 37°C for 24 h or 48 h according to the viral growth rate in the different cells. When approximately 80% of the cytopathic effect was obtained, the medium was collected and centrifuged at 800 g for 5 min at 4°C to remove the debris, and the supernatant was named the P1 stock. Each virus mixture stock was diluted 1000 (Hemagglutination titers ≤3log₂) or 10,000-fold (Hemagglutination titer ≥6log₂) with medium containing 1% FCS and passed continuously with the same cells up to the 10th passage. All of the supernatants were collected and stored at −70°C until use.

The total RNAs of the P1, P5, and P10 mixture samples from the different cells were prepared through treatment with the Trizol reagent (Invitrogen, CA, USA), and the full-length cDNAs of the viruses were synthesized using a 12-μl random primer [39]. The total viral RNA copies were quantified by quantitative real-time PCR (qRT-PCR) using the primers for the matrix gene, and the viral RNA copies of the A+S–, A–S+, and A+S+ viruses were quantified using the primers for NA and/or NS genes (one of the primer pairs was located in the insertion regions) (Table 2). The percentages of the A+S–, A–S+, or A+S+ viruses in the mixture of viruses were counted by comparing the copies of the single-mutant virus with that of the total viruses. All of the real-time PCR reactions were performed under the following conditions: 95°C for 30 s and 40 consecutive cycles of 95°C for 3 s and 60°C for 30 s. For all reactions, melting curve analysis was performed to verify the product specificity.

Expression Levels of Immune-related Genes in Peripheral Blood Mononuclear Cells of Mallard Ducks

The whole blood was collected from six-week-old ducks, and the peripheral blood mononuclear cells (PBMCs) were purified by treatment with lymphocyte separation media (Mediatech Inc., Herndon, VA, USA). The PBMCs in RPMI-1640 (Invitrogen, CA, USA) with 2% FCS were plated in six-well plates at 2×10⁶ cells per well and infected with each virus at an MOI of 1. The culture plates were gently rocked every 15 min for 1 h, and the media was then replaced with fresh media. The cells were harvested at 8 h postinfection, and the total RNA of these samples was extracted.

The quantification of the cytokine mRNA levels was performed according to the protocol described by Kuo et al. (2010) [40]. The primers for the IFN-α, MX1, IL-1β, IL-8, IL-10, IL-18, MHC-I, MHC-II, and TLR-7 genes of ducks were designed based on published sequences or previously reported primers [41,42]. All of the primers are listed in Table 3. The expression level of each gene relative to that of GAPDH was calculated using the threshold cycle 2−ΔΔCT method [43].

To determine the replication of the viruses in the duck PBMCs, the duck PBMCs were infected with each virus at an MOI of 1. The supernatant and cells were harvested at 4 h, 8 h, and 24 h. The numbers of the viruses were determined by quantifying the M gene copy numbers according to the above methods.

Virulence in Chickens and Mallard Ducks

To determine the effect of A– and S– on the virulence of the rescue viruses, ten six-week-old SPF chickens were inoculated intravenously with 0.1 ml of a 1:10 dilution of allantoic fluid and observed clinically over a period of 10 days. The intravenous pathogenicity index (IVPI) was determined according to the OIE standard [44]. The IVPIs of these viruses in six-week-old mallard ducks without AIV antibody were similarly determined.

To further determine the virulence of the viruses in ducks, six-week-old mallard ducks were randomly divided into six groups with 12 ducks per group. The ducks in groups 1 through 5 were inoculated intranasally with 0.1 ml of SY or one of the four rescue viruses at a dose of 1×10⁶ EID₅₀, and the ducks in group 6 were challenged with sterile PBS as a negative control. On days 1, 3, 5, and 7 post-challenge, three ducks from each group were euthanized, and their heart, liver, spleen, lungs, kidneys, and brain were collected. The tissues samples were homogenized in PBS with antibiotics and titrated through inoculation in 10-day-embryonated chicken eggs. Oropharyngeal and cloacal swabs were collected from each group on days 3, 5, and 7 post-challenge. The swabs were placed immediately in PBS, and an aliquot was titrated through inoculation in 10-day-embryonated chicken eggs for the examination of virus shedding. All of the animals were housed in animal biosafety level 3 facilities at Yangzhou University.

Statistical Analysis

The viral titers and viral loads are expressed as the mean ± standard deviation (SD). The expression levels of the immune-related genes are presented as the mean fold change ± SD. The statistical analyses were performed using an independent-sample t test. Differences with a P value of less than 0.05 were regarded as statistically significant.

Results

Prevalence of H5N1 Viruses with Double Deletions in NA and NS1 Proteins

All available sequences of the NA and NS1 genes from H5N1 viruses isolated between 1996 and 2012 were downloaded from GenBank, and the frequency of H5N1 viruses with double deletions in the NA and NS1 proteins was calculated. The results of the statistical analysis revealed that double deletions in the NA and NS1 proteins of H5N1 viruses were first found in 2002, and
the numbers of these viruses were markedly increased in 2003. The ratio of H5N1 viruses with double deletions in the NA and NS1 proteins was increased up to 90% in 2004 and thereafter (Table 4), which indicates that this type of virus has become predominant worldwide. In addition, the ratio of H5N1 viruses with double deletions in the NA and NS1 proteins isolated from land-based poultry was higher than that from domestic waterfowl in the early stage.

**Virus Rescue and Viral Replication in Different Cells**

Four rescue viruses were generated. All of these viruses shared the same PB2, PB1, PA, HA, NP, and M genes derived from SY and carried different modified NA and/or NS genes. The TCID50 assay using CEF cells was performed to determine the replication kinetics of the viruses in Vero, MDCK, CEF, and DEF cells. As shown in Figure 1, the titers of the four viruses were similar to each other in Vero or CEF cells. However, the titers of A+S− and A+S+ in MDCK cells were approximately 1.5 log10 TCID50/ml higher than those of A−S− and A−S+, and the titers of A+S+ in DEF cells were approximately 0.5 log10 TCID50/ml lower than those of the other three viruses at 12 and 24 h postinfection. The wild-type strain SY displayed a similar growth pattern as the rescue A−S2 in the four types of cells. These results suggest that both A− and S− can improve the viral replication in DEF cells at the early stages of AIV infection.

**Enzymatic Activity of the Neuraminidase**

To evaluate the possible effects of A− and S− on the neuraminidase activity, the enzymatic parameters of SY and the four rescue viruses were determined using the MUNANA fluorogenic substrate. As shown in Table 5, the K_m values, which reflect the affinity for the substrate, for the viruses with A− were very similar (P>0.05) but approximately 1.4- to 2.9-fold lower (reflecting a higher affinity) than those obtained for the viruses with long-stalk NA (P<0.05). In addition, the V_max values, which depend on both the specific activity and the amount of enzyme in the reaction, for the viruses with A− were 1.56- to 2.01-fold lower than those obtained for the viruses with long-stalk NA (P<0.05), which indicates that A− decreases the enzymatic activity of the neuraminidase toward small MUNANA substrates.

The elutions of the viruses from CRBCs were also determined. The complete elution of the viruses with long-stalk NA occurred within 6 h, whereas the viruses with short-stalk NA were completely eluted from the CRBCs after a 12-h incubation at 37°C. This finding indicates that A− reduced the rate of viral elution from CRBCs.

**IFN Resistance of the Rescue Viruses**

To evaluate the resistance of the viruses to IFN, Vero cells were pretreated with different concentrations of IFN and infected with the viruses. The replication of A+S− in Vero cells was completely inhibited in the presence of IFN-β at a concentration of 400 U, and the replication of A+S− was fully inhibited at an IFN-β

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**Table 2.** SYBR green real-time PCR primers for the identification and quantification of the NA and NS genes containing or not containing amino-acid deletions in the viral cDNAs.

| Target genes | Primer name | Primer sequences | Target sequence number |
|--------------|-------------|------------------|-----------------------|
| M gene       | M-F         | AAGTGGCTTGGCTACTGTTG | EU195395 (422–443) |
|              | M-R         | TGAATGCGGTTGGTGATGTT | EU195395 (498–520) |
| NA gene      | NA-Fa       | GAAACAAACCTCGGTAATCAG | EU195394 (-- ) |
|              | NA-R        | CCACCTCTAATGGGGCAAA | EU195394 (212–231) |
| NS gene      | NS-Fb       | AATGGCAGATTGCATCCAG | EU195396 (-- ) |
|              | NS-R        | AACCGCGCAGCTTGGCAG | EU195396 (305–325) |

NA-Fa: the primer was targeted to the nucleotide sequence of the 20-amino-acid insertion in the NA stalk.

NS-Fb: the primer was targeted to the nucleotide sequence of the five-amino-acid insertion in the NS1 protein.

doi:10.1371/journal.pone.0095539.t002

**Table 3.** Real-time PCR primers for detection of the expression levels of immune-related genes in the PBMCs of mallard ducks.

| Target genes | Forward primers | Reverse primers |
|--------------|-----------------|-----------------|
| GAPDH        | ATGTTCGTGATGGGTG | CTGTCTTGCTGTCG  |
| DMDX1        | TACAGACGGCATCATAGAC | GTACGGGGAGACATGAAG |
| DIL-10       | GGGGAGGAGAAACGTGGAGA | GCAGGCGGGGAGCTT |
| DIL-18       | GAGAGGATGGATGGTGGGAAT | ACCTTGACGCTGGAG |
| DIL-8        | AGGACAAACAGAGGCTGGCTT | GCCTTCAGCTGCTGCT |
| DIL-18       | AGGAGGGATGGATGGTGGGAAT | ACCTTGACGCTGGAG |
| DIFN-α       | TTGCTCCTTCCGCA | GCTGAGGGTCTGAGAGG |
| DTLR-7       | GTGCGCACTGCAAGCACA | TTAGGGGACCTCACAGG |
| DMHC-I       | GAAGGAGGAAGACTCATGGTGG | CTCCTCAGCTTCAGT |
| DMHC-II      | CCACCTCTCAGCTTGGG | CGGTTTCTCAGCTTGG |

doi:10.1371/journal.pone.0095539.t003
Table 4. Frequency of H5N1 viruses with double deletions in the NA and NS proteins from 1996 to 2012a.

| Year    | Ratiosb | Viruses with A− | Viruses with S− | Viruses with A+ and S+ | Viruses with A− and S− | Total | Land-based poultry | Domestic waterfowl | Other sourcesc |
|---------|---------|-----------------|----------------|------------------------|------------------------|-------|-------------------|-------------------|-----------------|
| 1996–1999 | 10/16  | 0/16          | 6/16          | 0/16 (0%)             | 0/7 (0%)               | 0/4   | 0/0               | 0/5               | 0/0             |
| 2000    | 3/21   | 5/21          | 13/21         | 0/21 (0%)             | 0/0 (0%)               | 0/11  | 0/0               | 0/10              | 0/0             |
| 2001    | 5/22   | 15/22         | 2/22          | 0/22 (0%)             | 0/7 (0%)               | 0/14  | 0/0               | 0/1               | 0/0             |
| 2002    | 20/29  | 21/29         | 1/29          | 13/29 (44.8%)         | 5/8 (62.5%)            | 4/12  | 33.3%             | 4/9               | 44.4%           |
| 2003    | 38/46  | 42/46         | 1/46          | 35/46 (76.1%)         | 13/15 (86.7%)          | 11/14 | 78.6%             | 11/17             | 84.7%           |
| 2004    | 104/110| 102/110       | 3/110         | 99/110 (90%)          | 48/49 (98.0%)          | 19/23 | 92.6%             | 32/38             | 84.2%           |
| 2005    | 114/121| 115/121       | 6/121         | 114/121 (94.2%)       | 46/46 (100%)           | 31/36 | 86.1%             | 37/39             | 94.9%           |
| 2006    | 147/153| 148/153       | 5/153         | 147/153 (96.1%)       | 49/49 (100%)           | 25/27 | 92.6%             | 73/77             | 94.8%           |
| 2007    | 134/138| 133/138       | 4/138         | 133/138 (96.4%)       | 53/54 (98.1%)          | 39/41 | 95.1%             | 41/43             | 95.3%           |
| 2008    | 75/76  | 73/76         | 1/76          | 73/76 (96.1%)         | 42/42 (100%)           | 12/13 | 92.3%             | 19/21             | 90.5%           |
| 2009    | 52/55  | 51/55         | 3/55          | 51/55 (92.7%)         | 30/30 (100%)           | 2/4   | 50.0%             | 19/21             | 90.5%           |
| 2010    | 48/48  | 43/48         | 0/48          | 43/48 (99.6%)         | 20/22 (90.9%)          | 10/11 | 90.9%             | 13/15             | 86.7%           |
| 2011    | 68/69  | 68/69         | 1/69          | 68/69 (98.6%)         | 15/15 (100%)           | 13/14 | 92.9%             | 40/40             | 100%            |
| 2012    | 10/10  | 10/10         | 0/10          | 10/10 (100%)          | 0/0 (0%)               | 9/9   | 100%              | 1/1               | 100%            |

*a*All available sequences of both NA and NS1 genes from H5N1 viruses deposited in Genbank were selected.

*b*The numbers indicate the ratio of the viruses to the total H5N1 viruses or the sources-based isolates in the indicated year.

*c*Other sources: The viruses from wild birds, mammals (including humans), and environmental samples.

doi:10.1371/journal.pone.0095539.t004
concentration of 1600 U. However, the titers of A⁻S⁻ and A⁻S⁺ were still detectable in the presence of IFN-β at a concentration of 10,000 U (Table 6), which indicates that A⁻ and S⁻ both enhance the interferon resistance of the viruses and that A⁻ plays a more important role.

SYBR Green Real-time PCR Assay

A mixture of cDNAs of the A⁻S⁻ and A⁺S⁺ viruses at the same concentration of approximately 4.00 × 10⁴ copies/μl was used to test the specificity and accuracy of the SYBR green real-time PCR assay. The results indicated that the average amount of the NA gene without deletion (from the A⁺S⁺ virus) was 1.98 × 10⁴ copies/μl. The average amount of the NS gene without deletion (from the A⁺S⁺ virus) was 1.97 × 10⁴ copies/μl, and the average amount of the M gene (from the A⁻S⁻ and A⁺S⁺ viruses) was 3.97 × 10⁴ copies/μl. The percentage of the A⁺S⁺ virus was approximately 49.75%, and the percentage of the A⁻S⁻ virus was approximately 50.25% (P > 0.05). In addition, the plasmids pHW256-NA⁺ and pHW256-NA⁻, pHW258-NS⁺, and pHW257-M at the concentrations of 4.5 × 10⁵ copies/μl, 6.0 × 10⁵ copies/μl, and 3.3 × 10⁶ copies/μl, respectively, were evaluated by assays for five replicate tests, and the average concentrations were 4.504 × 10⁶ copies/μl, 5.982 × 10⁵ copies/μl, and 3.302 × 10⁶ copies/μl for each plasmid, respectively. Furthermore, the plasmids mixtures (pHW256-NA⁺ and pHW256-NA⁻, or pHW258-NS⁺ and pHW258-NS⁻) were tested using the assays, and only the copy numbers of plasmids pHW256-NA⁺ or pHW258-NS⁺ were detected (data not shown). These data indicate that the SYBR green real-time PCR method can efficiently detect the proportion of the viruses with intact NA or NS genes in the virus mixtures of interest.

Competitive Growth on Different Cells

The A⁻S⁻ virus, which was mixed with A⁻S⁺, A⁺S⁻, or A⁺S⁺, was serially passaged in Vero, MDCK, CEF, and DEF cells for 5 days. The cells were infected with the wild-type strain and the four rescue viruses at an MOI of 0.01 TCID₅₀/cell, and the culture media were harvested at the indicated times after infection. The virus titers at each time point are presented as the mean ± SD of duplicate experiments.

doi:10.1371/journal.pone.0095539.g001

Table 5. Enzymatic properties of the NA protein of H5N1 viruses.

| Virus     | Kₘ (μM)⁴ | Vₘₐₓ (fluorescence U/S)⁴ | Vₘₐₓ ratio⁵ | Elution time (h) |
|-----------|----------|-------------------------|-------------|-----------------|
| SY        | 275.57±8.62 | 13.02±0.23               | 1.00        | 12              |
| A⁻S⁻      | 192.7±3.35  | 15.69±0.56               | 1.20        | 12              |
| A⁻S⁺      | 244.9±3.37  | 10.49±0.09               | 0.81        | 12              |
| A⁺S⁻      | 551.2±19.8  | 26.18±0.58               | 2.01        | 6               |
| A⁺S⁺      | 381.97±2.9  | 20.36±0.12               | 1.56        | 6               |

⁴The results are presented as the mean ± SD from three independent determinations on duplicate samples using dilutions of the H5N1 viruses.

⁵Vₘₐₓ ratio of the rescue viruses to the wild-type SY virus.

doi:10.1371/journal.pone.0095539.t005

Figure 1. Growth kinetics of the viruses in Vero, MDCK, CEF, and DEF cells. The cells were infected with the wild-type strain and the four rescue viruses at an MOI of 0.01 TCID₅₀/cell, and the culture media were harvested at the indicated times after infection. The virus titers at each time point are presented as the mean ± SD of duplicate experiments.

doi:10.1371/journal.pone.0095539.g001

H5N1 AIV with Deletions in the NA and NS1 Proteins
Table 6. IFN-β resistance of H5N1 viruses.

| Viruses | Titers after pretreatment with different concentrations of IFN-β | No treatment |
|---------|---------------------------------------------------------------|--------------|
|         | 100 U | 200 U | 400 U | 800 U | 1600 U | 2000 U | 10,000 U |                     |
| SY      | 7.67  | 7.5   | 6.67  | 6.67  | 4.5    | 4.5    | 2.67     | 7.5       |
| A+5−    | 7.33  | 7     | 7     | 6.67  | 4.67   | 3.67   | 1.33     | 7         |
| A+5+    | 7     | 6.5   | 6.5   | 6     | 4.33   | 4.33   | 1.83     | 1.83      |
| A+5−    | 6.67  | 7     | 6.67  | 5.33  | <      | <      | <        | <         |
| A+5+    | 6.5   | 6.33  | <     | <     | <      | <      | <        | 6.67      |

aVero cells were pretreated with different concentrations of recombinant human IFN-β at 37°C. After 24 h, the cells were infected with the viruses at an MOI of 0.0001. The virus titers (log10TCID50/0.1 ml) were measured 72 h after infection. The values indicate the means of three experiments.

doi:10.1371/journal.pone.0095539.t006

Expression Levels of Immune-related Genes in the PBMCs of Mallard Ducks in vitro

To examine the effect of the four rescue viruses on the host immune system, the PBMCs obtained from the MDCK cells infected with each virus were cultured for 24 h. The expression levels of immune-related genes were determined using the above-described SYBR green real-time PCR assay. The results indicated that the viral replication in DF-1 cells was lower than that in Vero cells for all of the four rescue viruses, whereas the percentages of A−S− and A−S+ were 1.5% and 17.4%, respectively, and the percentages of A+−S− and A+−S+ were 0.5% and 17.4%, respectively (Fig. 2). The percentage of A−S− and A+−S− on day 3 postinfection (Fig. 3A) were 1.5% and 17.4%, respectively, and the percentages of A−S+ and A+−S+ were 1.5% and 17.4%, respectively (Fig. 2). The percentage of A−S− and A+−S− was increased slightly after serial passage in DEF cells and CEF cells. These data indicated that the A−S− and A+−S− viruses replicate predominantly in DEF cells that are co-infected with other viruses.
Viral shedding was detected in both oropharyngeal and cloacal swabs from infected ducks on days 3, 5, and 7 postinfection. On day 3 postinfection, the viral shedding ratios obtained from the oropharyngeal swabs from the groups infected with A+Sa, A+S2, A2Sa, and A2S2 were 22.2% (2/9), 44.4% (4/9), 100% (9/9), and 88.9% (8/9), respectively (Table 7), which was correlated with the viral titers in the lungs at the same time point (Fig. 4). On day 7 postinfection, the viral shedding ratios obtained from the oropharyngeal swabs from the group infected with A+Sa and A+S2 were 100% and for both, which was also correlated with the higher viral titers in lungs at the same time point. However, at this time point (on day 7 postinfection), there was no detectable viral shedding in both the A2Sa and the A2S2 infected groups. Compared with the ducks infected with A+Sa and A+S2, the viral shedding of ducks infected with A+Sa and A+S− were 100% and for both, which was also correlated with the higher viral titers in lungs at the same time point. However, at this time point (on day 7 postinfection), there was no detectable viral shedding in both the A−S− and the A−S+ infected groups. Compared with the ducks infected with A+Sa+ and A+Sa−, the viral shedding of ducks infected with A−S+ and A−S− reached a peak two days earlier. On day 3 postinfection, the viral shedding ratios in the cloacal swabs samples from ducks infected with A−S+−, A−S+, or A−S− were 11.1% (1/9), 66.7% (6/9), and 33.3% (3/9), respectively. However, there was no detectable viral shedding in the cloacal swabs samples from ducks infected with A+Sa+. These results indicate that mallard ducks infected with A+Sa+ shed the progeny virus only through the oropharynx, whereas mallard ducks infected with A+Sa−, A−S+, and A−S− shed the progeny virus through both the oropharynx and cloaca.

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**Discussion**

According to the deletion length and location in the NA stalk, H5N1 viruses isolated in 1997 were divided into four groups: a long NA stalk, a short NA stalk with a 20-amino-acid deletion at positions 49 to 68, a short NA stalk with a 20-amino-acid deletion at positions 55 to 74, and a short NA stalk with a 19-amino-acid deletion at positions 55 to 73. Since that time, only long NA stalks and short NA stalks with a 20-amino-acid deletion at positions 49 to 68 have been observed in H5N1 viruses, which indicates that the other two types of viruses had a selective evolutionary disadvantage. H5N1 viruses with a short NA stalk and a five-amino-acid deletion from position 80 to 84 in the NS1 protein were first observed in 2002, became predominant in 2003, and have continued to exhibit a very high ratio (approximately 90%) in subsequent isolates. In addition, the deletion in both NA and NS1 proteins of H5N1 viruses was biased for land-based poultry in the early stage. However, there were few isolates of other subtypes of influenza virus that have contained the double deletions in the NA and NS1 proteins. It is possible that the H5N1 viruses with double deletions in the NA and NS1 proteins have a prevailing advantage and are stably maintained in poultry. It is worthwhile to note that H5N1 viruses have been found to be highly pathogenic to ducks since 2002 [45,46]. To investigate the role of double deletions in the NA and NS1 proteins in the pathogenicity of H5N1-subtype AIVs, a series of rescue viruses, which were derived from a H5N1 AIV with double deletions in the NA and NS1 proteins, was obtained by reverse genetics. We found that these rescue viruses all
replicated efficiently in embryonated chicken eggs, which indicates that the presence or absence of the deletion in the NA stalk and the NS1 protein of H5N1 viruses did not significantly change their viral replication ability in embryonated chicken eggs.

In accordance with previous reports [33,47], at the early stage of viral infection, the titers of A+S- and A+S+ in MDCK cells were approximately 1.5 \log_{10} \text{TCID}_{50}/mL higher than those of A-S- and A-S+, which indicates that the replication ability of the viruses with a long-stalk NA in MDCK cells was better than that of the viruses with a short-stalk NA. The enzymatic activities of the neuraminidase of viruses with a long-stalk NA were higher than those of viruses with a short-stalk NA, as judged by their higher rates of elution from CRBCs. Thereafter, higher NA activity facilitated the release and diffusion of progeny virions, which resulted in a higher replication ability of the viruses with a long-stalk NA in MDCK cells. MDCK cells express high amounts of both \alpha2,3 and \alpha2,6-gal sialyl glycoconjugates [48,49]. Vero cells express a high amount of \alpha2,3-linked receptors and a relatively low amount of \alpha2,6-gal-linked receptors, and avian cell lines (QT-6 and DF-1) express a high amount of \alpha2,3-gal-linked receptors [48]. Thus, the match of the viral NA activity and the viral binding ability to cellular receptors contributes to the replication ability of H5N1 viruses in different cell lines. It is thus reasonable that the growth pattern of the viruses in Vero, CEF, and DEF cells are different from that in MDCK cells.

Vero cells are IFN-\alpha/\beta-deficient, and the replication abilities of the four rescue viruses in Vero cells were similar. However, there was a significant difference in viral growth in the IFN-\beta-pretreated
Figure 4. Replication kinetics of SY and the four rescue viruses in mallard ducks. The virus titers in the lungs, livers, hearts, spleens, kidneys, and brains of mallard ducks inoculated intranasally with 10^6 EID_{50}/0.1 ml of SY and the four rescue viruses were determined. Each horizontal bar represents the mean virus titer in log_{10} EID_{50}/g of tissue. The horizontal line indicates the lower limit of detection. Different lowercase letters indicate significant differences among SY and the four viruses infected groups on the same day postinfection (P<0.05). For example, on day 3 postinfection, the mean viral titers in the lungs of A+S+ (a) and A+S− (ab) groups were significantly lower than that of A−S+ (c) and A−S− (c) groups, and there were no significant differences between the A+S− (ab) and SY (bc) groups. There were also no significant differences among the A−S− (c), A−S− (c) and SY (bc) groups.

doi:10.1371/journal.pone.0095539.g004

Table 7. Virus shedding of oropharyngeal and cloacal swabs of mallard ducks inoculated with 0.1 ml of 10^6 EID_{50} H5N1 viruses.

| Virus   | NO. of positive swabs/NO. of total swabs (log_{10} EID_{50} ± SD) |
|---------|---------------------------------------------------------------|
|         | Oropharyngeal swabs                                           | Cloacal swabs                                           |
|         | 3 dpi           | 5 dpi           | 7 dpi             | 3 dpi           | 5 dpi           | 7 dpi             |
| A+S+    | 2/9 (3.00 ± 0.71)| 4/6 (3.63 ± 0.97)| 3/3 (3.00 ± 0.50)| 0/9 (<0.5)    | 0/6 (<0.5)    | 0/3 (<0.5)    |
| A+S−    | 4/9 (2.38 ± 0.92)| 6/6 (2.79 ± 0.86)| 3/3 (1.58 ± 1.23)| 1/9 (1.5)     | 2/6 (1.75 ± 0.01)| 2/3 (1.63 ± 1.24)|
| A−S+    | 9/9 (3.22 ± 1.37)| 6/6 (2.50 ± 1.22)| 0/3 (<0.5)        | 6/9 (1.33 ± 0.79)| 3/6 (1.50 ± 0.66)| 0/3 (<0.5) |
| A−S−    | 8/9 (3.16 ± 0.88)| 4/6 (2.13 ± 0.92)| 0/3 (<0.5)        | 3/9 (1.67 ± 0.14)| 0/6 (<0.5)    | 0/3 (<0.5)     |
| SY      | 8/9 (2.81 ± 0.40)| 4/6 (2.81 ± 1.20)| 0/1 (*)          | 7/9 (1.32 ± 0.45)| 1/6 (1.25)    | 0/1 (<0.5)     |

The oropharyngeal and cloacal swabs were collected for virus isolation from each group at 3, 5, and 7 days postinfection.

*3dpi: days postinfection.
*Two out of three ducks were dead on day 6 postinfection.

doi:10.1371/journal.pone.0095539.t007
Vero cells, which indicates that the interferon-resistance abilities of these viruses were different. The order of the interferon resistance ability from high to low of these viruses was A−S+ > A−S− > A+S+ > A+S−. These results suggest that both A− and S− enhance the interferon resistance ability of H5N1 AIVs. It has been reported that the NS1 protein is critical for the influenza virus to antagonize the host cell IFN response [1,23,50]. However, there is no report on the NA protein of influenza virus participation in the viral resistance to the host IFN response. Therefore, the mechanism through which the short-stalk NA protein counteracts the anti-viral activity of IFN-β needs to be further investigated.

It has been reported that RIG-I expression is an intracellular RNA sensor that detects the presence of RNA, leading to induced expression of IFN-β [51]. CEF cells are derived from chickens, which lack RIG-I [52], and may, therefore, fail to induce the expression of IFN-β via this pathway. Further study confirmed that no IFN expression was observed in CEF cells infected with avian influenza viruses [53]. This may explain that the viral percentage of A−S+ in the mixture of A−S−, and A+s+ or A+s− were not significantly different from each other in CEF cells and IFN-deficient Vero cells in the competition assay. However, RIG-I expression is detected in both MDCK cells [54] and duck cells [52]. Although both A+s+ and A+s− displayed higher replication ability in MDCK cells when compared with A−S−, A−S− replicated dominantly when co-infected with A+s+ or A+s− in MDCK and DEF cells. The interferon-resistance ability of A−S− virus might contribute to its replication predominance to some extent, and the precise mechanism of the replication advantage of the A−S− virus over the A+s+ virus in the competition assay needs to be further studied.

To evaluate the effect of A− and S− on the viral pathogenicity in poultry, the IVPIs of these viruses in chickens and mallard ducks were measured. It has been reported that a deletion in the NA stalk of H1N1 AIV results in increased virulence for chickens [11], and a deletion in the NA stalk of H5N1 AIV results in no significant difference in the virulence for mice via the intranasal route [9]. Because of the high pathogenicity of the parental virus SY in SPF chickens, the IVPIs of the A−S− virus and its variants for chickens were all similar and thus did not reflect the effect of A− and S− on the viral pathogenicity of these viruses in chickens. However, the IVPIs of A−S+ and A+s− for mallard ducks were significantly higher than that of A+s+ and lower than that of A−S−, which indicates that both A− and S− result in a marked increase in the virulence of the viruses for mallard ducks. In addition, this finding demonstrated that A− and S− exert a synergistic effect on the virulence of H5N1 viruses for mallard ducks. We also found that the PBMCs of mallard ducks infected with A−S− displayed a significant cytokine response, although the growth rate of A−S− was similar to that of A−S+ or A+s−. It was hypothesized that the high expression levels of IFNs and proinflammatory cytokine genes in PBMCs may play an important role in the high pathogenicity of A−S− to mallard ducks through the intranasal route.

We also monitored the viral pathogenicity in mallard ducks after intranasal inoculation. Different from the intravenous inoculation, the viruses (except for SY with two deaths out of nine ducks) at dosages of 1×106 EID50 caused serious clinical signs but no death in mallard ducks within the observation period. Compared to the A+s+ inoculated mallard ducks, the mallard ducks infected with A−S+, A+s−, and A−S− presented higher virus titers in the lungs and brain. Furthermore, compared with A+s+ or A+s−, A−S− and A−S− displayed faster replication ability in the lungs of mallard ducks. In addition, the viral shedding results demonstrated that mallard ducks infected with A+s+ shed the progeny virus only through the larynx, whereas mallard ducks infected with the other viruses shed the progeny virus through not only the larynx but also the cloaca. It is worth determining the viral replication ability in the intestines of ducks in a future study. These data suggest that with stronger ability to resist the interferon inhibition (as shown in Table 6), the A−S− and A+s− viruses possessed stronger ability to overcome or suppress the host immune system and achieved increased viral replication ability. In addition, both A− and S− enhanced the viral replication ability and shedding of H5N1-subtype AIVs in mallard ducks and the S− of H5N1 viruses had less of an effect on the virulence than A− when the virus infection occurred via the intranasal route.

In summary, H5N1 AIVs with double deletions in the NA and NS1 genes have been the prevailing strains in recent years. The rescue virus with both a short-stalk NA and a deletion in the NS1 protein exhibited increased interferon resistance, competitive inhibition in DEF cells, increased expression of immune-related genes in the PBMCs of mallard ducks, and increased virulence in mallard ducks compared with the rescue virus with intact NA and NS1 protein. Although H5N1 AIVs with double deletion in the NA and NS1 genes most likely occur in chicken in nature but not in waterfowl, the genotype is maintained in waterfowl once the virus is re-introduced [9,30]. Our data indicate that both deletions in the NA stalk and the NS1 protein contribute to the high pathogenicity of H5N1 AIVs in ducks and that these deletions may play an important role in the maintenance and circulation of these viruses in poultry.

Author Contributions
Conceived and designed the experiments: YL DP XL. Performed the experiments: YL SC ZX QF ZZ YZ MG SS. Analyzed the data: YL SC DP. Contributed reagents/materials/analysis tools: YL SC. Wrote the paper: YL SC DP XL.

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