Detecting Levels of Interest from Spoken Dialog with Multistream Prediction Feedback and Similarity Based Hierarchical Fusion Learning

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Abstract

Detecting levels of interest from speakers is a new problem in Spoken Dialog Understanding with significant impact on real world business applications. Previous work has focused on the analysis of traditional acoustic signals and shallow lexical features. In this paper, we present a novel hierarchical fusion learning model that takes feedback from previous multistream predictions of prominent seed samples into account and uses a mean cosine similarity measure to learn rules that improve reclassification. Our method is domain-independent and can be adapted to other speech and language processing areas where domain adaptation is expensive to perform. Incorporating Discriminative Term Frequency and Inverse Document Frequency (D-TFIDF), lexical affect scoring, and low and high level prosodic and acoustic features, our experiments outperform the published results of all systems participating in the 2010 Interspeech Paralinguistic Affect Subchallenge.

1 Introduction

In recent years, there has been growing interest in identifying speakers’ emotional state from speech (Devillers and Vidrascu, 2006; Ai et al., 2006; Liscombe et al., 2005). For Spoken Dialog Systems (SDS), the motivation has been to provide users with improved over-the-phone services by recognizing emotions such as anger and frustration and directing users to a human attendant. Other forms of paralinguistic information which researchers have attempted to detect automatically include other classic emotions, charismatic speech (Biais et al., 2008), and deceptive speech (Hirschberg et al., 2005). More recently, the 2010 Interspeech Paralinguistic Affect Subchallenge sparked interest in detecting a speaker’s level of interest (LOI), including both the speaker’s interest in the topic and his/her willingness to participating in the dialog (Schuller et al., 2010). Sensing users’ LOI in SDS should be useful in sales domains, political polling, or service subscription.

In this paper, we present a similarity-based hierarchical regression approach to predicting speakers’ LOI. The system has been developed based on the hierarchical fusion learning of lexical and acoustic cues from speech. We investigate the contribution of a novel source of information, Discriminative TFIDF; lexical affect scoring; and prosodic event features. Inspired by the successful use of Pseudo Relevance Feedback (Tao and Zhai, 2006) techniques in Information Retrieval and the cosine similarity measure (Salton, 1989) in Data Mining, we design a novel learning model which takes the multistream prediction feedback that is initially returned from seed samples \(^1\) and uses a mean cosine similarity measure to calculate the distance between the new instance and prominent seed data points in the Euclidean Space. We then add this similarity measure as a new feature to perform a reclassification. Our main contributions in this paper are: (1) the novel Discriminative TFIDF approach for lexical modeling and keywords spotting; (2) using lexical affect scoring and language modeling techniques to augment lexical modeling; (3) combin-

\(^1\)Seed samples are from a random small subset in the test set.
ing (1) and (2) with additional low-level prosodic features together with voice quality and high-level prosodic event features; and (4) introducing a multistream prediction feedback and mean cosine similarity based fusion learning approach.

We outline related work in Section 2. The corpus, system features, and machine learning approaches are described in Section 3. We describe our experimental results in Section 4 and conclude in Section 5.

2 Related Work

Schuller et al. (2006) were among the first to study LOI from conversational speech. They framed this task as either a three-way or binary classification, extracting standard acoustic features and building a bag-of-words vector space model for lexical analysis. By linearly combining lexical features with acoustic features, they achieved high F-measures when using Support Vector Machine (SVM). Since a bag-of-words model is a naive model, there may be more valuable lexical information that it cannot capture. Moreover, as lexical and acoustic features are extracted from different domains, a single layer linear combination may not yield the optimal results.

In 2010, Interspeech launched a Paralinguistic Challenge (Schuller et al., 2010) that included the task of detecting LOI from speech as a subchallenge. Competitors were given conversational speech corpora with annotated LOI, baseline acoustic features, and two baseline results. The evaluation metric used for the challenge was primarily the cross correlation $^2$ (CC) measure (Grimm et al., 2008), with mean linear error $^3$ (MLE) also taken into consideration. The baseline was built only on acoustic features, and the CC and MLE for Training vs. Development sets were 0.604 and 0.118. For the test data, CC and MLE scores of 0.421 and 0.146 were observed.

Gajsek et al. (2010) participated in this challenge and proposed the use of Gaussian Mixture Models as Universal Background Model (GMM-UBM) with relevance MAP estimation for the acoustic data. This is based on the success of GMM-UBM modeling in the speaker identification tasks (Reynolds et al., 2000). They achieved CC and MLE of 0.630 and 0.123 in the training vs. development condition, but CC and MLE of only 0.390 and 0.143 in the testing condition. This performance may have been due to the fact that different subsets of the corpus include different speakers: acoustic features alone may not be robust enough to capture the speaker variation.

Jeon et al. (2010) approach won the 2010 Subchallenge for this task. In addition to the baseline acoustic features provided, they used term frequency and a subjectivity dictionary to mine the lexical information. In addition to a linear combination of all lexical and acoustic features, they designed a hierarchical regression framework with multiple level of combinations. Its first two combiners tackle the prediction problems from different acoustic classifiers and then uses a final stage SVM classifier to combine the overall acoustic predictions with lexical predictions to form the final output. They report a result of 0.622 for CC and 0.115 for MLE. On the test set, they report CC and MLE of 0.428 and 0.146 respectively.

3 Our System

Unlike previous approaches, we emphasize lexical modeling, to counter problems of speaker variation in acoustic features (Jeon et al., 2010). We propose an improved version of standard TFIDF (Spärck Jones, 1972) — Discriminative TFIDF — which computes the IDF score of the target word by discriminating its different mean LOI score tags during training to produce more informative keyword spotting in testing.

In addition to Discriminative TFIDF, we utilize the Dictionary of Affect in Language (DAL) (Whissell, 1989) to detect lexical affect and compute an utterance-level affect score. To maximize the coverage of lexical cues, we also train trigram language models on the training data to capture contextual information and use the test output log likelihoods and perplexities as features. Besides these lexical features and the 1582 baseline acoustic features from the Interspeech Paralinguistic Challenge, we extract 32 additional prosodic and voice quality features using Praat (Boersma, 2001). In order to model sentence-level prosodic events, we use Au-
ToBI (Rosenberg, 2010) to extract pitch accent and phrase-based features. These features are described in detail in Section 3.2.

The simplest approach to classification is to include all features in a single classifier. However, different features streams include different number of features, extracted and represented in different domains. The Sum Rule approach (Kittler et al., 1998) is an early solution to this classifier combination problem. Instead, we train 1st-tier classifiers for each of the feature streams and then train a 2nd-tier classifier to weight the posterior predictions of the 1st-tier classifiers. We further improve this method by integrating a novel model which considers the 1st-tier multistream prediction feedback from the seed samples and uses a mean cosine similarity method to measure the distance between a new instance and prominent seed samples. We use this similarity measure to improve classification.

3.1 Corpus

The corpus we use in our experiments is the 2010 Paralinguistic Challenge Affect Subchallenge corpus Technische Universität Munchén Audiovisual Interest Corpus (TUM AVIC), provided by Schuller (2010). The corpus consists of 10 hours of audiovisual recordings of interviews in which an interviewer provides commercial presentations of various products to a subject. The subject and interviewer discuss the product, and the subject comments on his/her interest in it. Subjects were instructed to relax and not to worry about politeness in the conversation. 21 subjects participated (11 male, 10 female), including three Asians and the rest of European background. All interviews were conducted in English; while none of the subjects were native speakers, all were fluent. 11 subjects were younger than 30; 7 were between 30-40; and 3 were over 40. The subject portions of the recordings were segmented into speaker turns (continuous speech by one speaker with backchannels by the interviewer ignored). These were further segmented into sub-speaker turns at grammatical phrase boundaries such that each segment is shorter than 2sec.

These smaller segments were annotated by four male undergraduate psychology students for subject LOI, using a 5-point scale as follows: (1) Curiosity (subject is strongly interest in the topic); (2) Interest (subject wants to talk about the topic, follows the interviewer and asks questions); (0) Indifference (subject is totally tired of discussing this topic, but it is not recognized if she/he is interested in the topic); (1) Interest (subject wants to talk about the topic, follows the interviewer and asks questions); (2) Curiosity (subject is strongly interest in the topic and wants to learn more.) A normalized mean LOI is then derived from mean LOI/2, to map the scores into [-1, +1]. (Note that no negative scores occur for this corpus.) In our experiments, we consider the normalized mean LOI score as the label for each sub-speaker turn segment; we refer to this as “mean LOI” below. The corpus was divided for the Sub-challenge into training, development, and test corpora; we use these divisions in our experiments.

3.2 Feature Sets

Table 1 provides an overview of the feature sets in our system.

**Discriminative TFIDF**

In the standard vector space model, each word is associated with its Term Frequency (TF) in the utterance. The Inverse Document Frequency (IDF) provides information on how rare the word is over all utterances. The standard TFIDF vector of a term $t$ in an utterance $u$ is represented as $V(t,u)$:

$$V(t,u) = TF * IDF = \frac{C(t,u)}{C(v,u)} * \log \frac{|U|}{\sum_u u(t)}$$

TF is calculated by dividing the number of occurrences of term $t$ in the utterance $u$ by the total number of tokens $v$ in the utterance $u$. IDF is the log of the total number of utterances $U$ in the training set, divided by the number of utterances in the training set in which the term $t$ appears. $u(t)$ can be viewed as a simple function: if $t$ appears in utterance $u$, then it returns 1, otherwise 0.

In Discriminative TFIDF we add additional information to the TFIDF metrics. When calculating IDF, we weight each word by the distribution of its labels in the training set. This helps us to weight words by the LOI of the utterances they are uttered in. An intuitive example is this: Although the words “chaos” and “Audi” both appear once in the corpus, the occurrence of “Audi” is in an utterance with a Mean LOI score of 0.9, while “chaos” appears in an utterance with a label of 0.1. A standard TFIDF approach
will give these two terms the same score. To differentiate the importance of these two words, we define our Discriminative TFIDF measure as follow:

\[ V(t, u) = \frac{C(t, u)}{C(v, u)} \times \log \left( \frac{|U|}{\sum u(t) \times (1 - |MeanLOI|)} \right) \]

Here, the Mean LOI score ranging from (0,1) is the label of each utterance. Instead of summing the \( u(t) \) scores directly, we now assign a weight to each utterance. The weight is \( (1 - |MeanLOI|) \) in our task. The overall IDF score of words important to identifying the LOI of an utterance will thus be boosted, as the denominator of the IDF metric decreases compared to the standard TFIDF. Discriminative TFIDF can be viewed as a generalized version of Delta TFIDF (Martineau and Finin, 2009) that can be used in various regression settings.

Wang and McKeown (2010) show that adding Part-of-Speech (POS) information to text can be helpful in similar classification tasks. So we have also used the Stanford POS tagger (Toutanova and Manning, 2000) to tag these transcripts before calculating the Discriminative TFIDF score.

### Lexical Affect Scoring

Whissell’s Dictionary of Affect in Language (DAL) (Whissell, 1989) attempts to quantify emotional language by asking raters to judge 8742 words collected from various sources including college essays, interviews, and teenagers descriptions of their own emotional state. Its pleasantness (EE) score indicates the negative or positive valence of a word, rated on a scale from 1 to 3. For example, “abandon” scores 1.0, implying a fairly low level of pleasantness. A previous study (Agarwal et al., 2009) notes that one of the advantages of this dictionary is that it has different scores for various forms of a root word. For example, the words “affect” and “affection” have very different meanings; if they were given the same score, the lexical affect quantification might not be discriminative. To calculate an utterance’s lexical affect score, we first remove the stopwords and then sum \( \sum_{t} EE(t) \) for each word in the utterance.

### Statistical Language Modeling

In order to capture the contextual information and maximize the use of lexical information, we also train a statistical language model to augment the Discriminative TFIDF and lexical affect scores. We train trigram language models on the training set using the SRI Language Modeling Tookit (Stolcke, 2002). In the testing stage, the log likelihood and perplexity scores are used as language modeling features. Due to the data sparsity issue, we are not able to train language models on subsets of training data that correspond to different LOI scores.

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4We have experimented with Min, Max and Mean scores, but the results were poor.
Acoustic, Prosodic and Voice Quality Features

As noted above, the TUM A VIC corpus includes acoustic features (Schuller et al., 2010) for all of the data sets. These include: PCM loudness, MFCC[0-14], log Mel Frequency Band[0-7], Line Spectral Pairs Frequency [0-7], F0 by Sub-Harmonic Sum., F0 Envelope, Voicing Probability, Jitter Local, Jitter Difference of Difference of Periods, and Shimmer local. We have extracted an additional 32 standard prosodic and voice quality features to augment these, including Glottal Pulses, Voicing, Jitter, Shimmer, Harmonicity, Duration, Fundamental Frequency, and Energy (See Table 1).

Prosodic Event Features

To examine the contribution of higher-level prosodic events, we have also experimented with AuToBI (Rosenberg, 2010) to automatically detect pitch accents, word boundaries, intermediate phrase boundaries, and intonational boundaries in utterances. AuToBI requires annotated word boundary information; since we do not have hand-annotated boundaries, we use the Penn Phonetics Lab Forced Aligner (Yuan and Liberman, 2008) to align each utterance with its transcription. We use AuToBI’s models, which were trained on the spontaneous speech Boston Directions Corpus (BDC) (Hirschberg and Nakatani, 1996), to identify prosodic events in our corpus.

3.3 Fusion Learning Approaches

Assuming that our various lexical, acoustic and prosodic feature streams are informative to some extent when tested separately, we want to combine information from the streams in different domains to improve prediction. We experimented with several approaches, including Bag-of-Features, Sum Rule combination, Hierarchical Fusion, and a new approach. We present here results of each on our LOI prediction task. In the Bag-of-Features approach, a simple classification method includes all features in a single classifier. A potential problem with this method is that, when combining 1582 acoustic features with 10 lexical features, the classifier will treat them equally, so potentially more useful lexical features will not be evaluated properly. A second problem is that our features are extracted from different domains using different methods, and normalization across domains is not possible in a bag-of-features classification/regression approach. Another possible approach is the Sum Rule Combiner, which uses product or sum rules to combine the predictions from 1st-tier classifiers. Kittler et al. (1998) show that the Sum Rule approach outperforms the product rule, max rule and mean rule approaches when combining classifiers. Their sensitivity analysis shows that this approach is most resilient to estimation errors.

A third method of combining features is the Hierarchical Fusion approach of fusing multistream information, which involves multiple classifiers and performs classification/regression in multiple stages. This can be implemented by first training 1st-tier classifiers for each single stream of features, collecting the predictions from these classifiers, and training a 2nd-tier supervector classifier to weight the importance of predictions from the different streams and make a final prediction. The rationale behind this approach is to solve the cross-domain issue by letting the 2nd-tier classifier weight the streams, as the predictions from 1st-tier classifiers will be in a unified/normalized form (e.g. 0 to 1 in this task).

The Multistream Prediction Feedback and Mean Cosine Similarity based Hierarchical Fusion

Our Multistream Prediction Feedback and Mean Cosine Similarity based Hierarchical Fusion approach combines a similarity based two-stage approach with a multistream feedback approach. Figure 1 shows the architecture of this system. It is based on the intuition that, if we can identify the prominent samples (e.g. the samples that all 1st-tier classifiers assign high average prediction scores), then we can measure the average distance between a new sample and all these prominent samples in the Euclidean Space. Furthermore, we can use this average distance (average similarity) as a new feature to improve the 2nd-tier classifier’s final prediction.

To implement this process, we first train five 1st-tier Additive Logistic Regression (Friedman et al., 2000) classifiers and a Random Subspace meta learning (Ho, 1998) 1st-tier classifier (for the acoustic stream), using six different feature streams in our training data. In the testing stage, we use a random subset of the test set as seed samples. Next, we run the seed samples for each of these 1st-tier classifiers
To obtain prediction scores ranging from 0 to 1, now, we take the mean of these predicted scores for each sample, and use the following method to select the top n samples from the seed samples S as "prominent samples":

\[
Prominent(S, n) = Max_n(Mean(S))
\]

Recall that the cosine similarity (Salton, 1989) of two utterances \(U_i, U_j\) in the vector-space model is:

\[
cos(U_i, U_j) = \frac{U_i \cdot U_j}{\|U_i\|_2 \times \|U_j\|_2}
\]

where "\cdot" indicates 'dot product'. Now, given our hypothesized prominent samples, for each of these samples and new samples, we choose the original Discriminative TFIDF, Lexical Affect Scoring, Language Modeling, Prosodic and Voice Quality, and Prosodic Event features as k vectors to represent all the samples in Euclidean Space. The reason we drop the acoustic features from the vector space model is because of the dimensionality issue — 1582 acoustic features. We substitute our 32 standard prosodic features instead. Now we use the mean cosine similarity score to represent how far a new sample \(U_n\) is from the prominent samples \(U_S\) in the space:

\[
Sim(U_n, U_S) = \text{Mean} \left( \frac{\sum_{i=1}^{k} V_{n_i} \times V_{s_i}}{\sqrt{\sum_{i=1}^{k} V_{n_i}^2} \times \sqrt{\sum_{i=1}^{k} V_{s_i}^2}} \right)
\]

In the next step, we add this mean cosine similarity measure as a new feature and include it in the 2nd-tier classifier for reclassification. Now, in the reclassification stage, all 1st-tier feature stream predictions will be re-weighted by the new 2nd-tier classifier that incorporated with Multistream Feedback information.

The reason why the Multistream Prediction Feedback is useful in this task is that, like many spoken language understanding tasks, in LOI detection, if we have a different set of speakers with different genders, ages, and speaker styles, the overall feature distribution for lexical, prosodic, and acoustic cues in the test set can be very different from the training set. Traditional speaker adaptation techniques typi-
cally focus only on the acoustic stream and may be very expensive to perform. So, by extracting more knowledge about the lexical, prosodic, and acoustic features distributions in test set using our novel approach, we will have a better understanding about the skewed distributions in the test set. In addition, our approach is inexpensive and does not require extra unlabeled data.

4 Experiments and Results

We conduct our experiments in three parts. First, we examine how well the Discriminative TFIDF feature performs, compared with standard TFIDF feature. Secondly, we look at how different feature sets influence our results. For the first two parts, we evaluate our features using the Subchallenge training vs. development sets only. Finally, we compare our similarity based multistream fusion feedback approach to other feature-combining approaches. We examine our final system first comparing training vs. development performance, and then combined training and development sets vs. the test set. WEKA (Witten and Frank, 2005) and LIBSVM (Chang and Lin, 2001) are used for regression.

4.1 TFIDF vs. Discriminative TFIDF

| Method     | CC   | MLE  |
|------------|------|------|
| TFIDF      | 0.296| 0.142|
| D-TFIDF    | 0.368| 0.140|
| S-D-TFIDF  | 0.381| 0.136|

Table 2: Single TFIDF Feature Stream Single Regression Results (Train vs. Develop, Additive Logistic Regression). D-TFIDF: Discriminative TFIDF. S-D-TFIDF: the POS tagged version of D-TFIDF. CC: Cross Correlation. MLE: Mean Linear Error.

When working with the training and development sets, we are able to access the label and transcriptions of each set to calculate the Discriminative TFIDF scores. For the testing scenario discussed in in Section 4.3, we do not have these annotations. So, we redefine the task as a keyword spotting task, where we can use the identified keywords in the training and development sets as keyword features in testing. We also sum up the word-level TFIDF scores and use the sentence-level TFIDF as a single feature for the classification experiment. The regression algorithm we use is Additive Logistic Regression with 50 iterations. Table 2 shows how different approaches perform in the experiment. We see that the Syntactic Discriminative TFIDF approach is much more informative than the standard TFIDF approach. Note that, after calculating the global IDF score, the standard TFIDF approach selects 732 terms as top-1 level keywords. In contrast, our Discriminative TFIDF has stronger discriminative power and picks a total number of 59 truly rare terms as top-1 level keywords.

4.2 Regression with Different Feature Streams

Table 3 shows performance using different feature streams in our system. We see that the acoustic and prosodic features are the dominating features in this task. The Prosodic Events feature stream also emerges as a new informative high-level prosodic feature in this task.

| Feature Streams               | CC   | MLE  |
|------------------------------|------|------|
| S-D-TFIDF                    | 0.394| 0.132|
| Language Modeling            | 0.404| 0.141|
| Prosodic Events              | 0.458| 0.133|
| Lexical Affect Scoring       | 0.459| 0.132|
| Standard Prosody + VQ        | 0.591| 0.122|
| Acoustic                     | 0.607| 0.118|

Table 3: Comparing Contributions of Different Feature Streams in the 2nd-tier Classifier (Training vs. Development, Random Subspace for the 1st-tier classifier of Acoustic Stream, and Additive Logistic Regression for other 1st-tier classifiers. Radial Basis Function (RBF) Kernel SVM as 2nd-tier Classifier.) S-D-TFIDF: the POS tagged version of D-TFIDF. VQ: Voice Quality. n: Top-n Feedback. CC: Cross Correlation. MLE: Mean Linear Error.

When testing the multistream feedback information as a single feature stream, we see in the bottom half of Table 3 that CC and MLE are improved when we increase the number of prominent samples. Discriminative TFIDF and Language Modeling are also
important, as seen from these results, but the Lexical Affect Scoring feature performs best among the lexical features in this task. We suspect that the reason may be a data sparsity issue, as we do not have a large amount of data for training robust global Discriminative IDF scores, language models, and the feedback stream. In contrast, the DAL is trained on much larger amounts of data.

4.3 Comparing with State-of-the-Art Systems

Table 4 compares our approach to alternative learning approaches. The first half of this table reports results on training vs. development sets, and the second half compares combined training and development vs. test set result.

| Method                                | CC    | MLE  |
|---------------------------------------|-------|------|
| Shuller et al., (2010)                | 0.604 | 0.118|
| Jeon et al., (2010)                   | 0.622 | 0.115|
| Gajsek et al. (2010)                  | 0.630 | 0.123|
| Bag-of-features Fusion                | 0.602 | 0.118|
| Sum Rule Combination                  | 0.617 | 0.117|
| SVM Hierarchical Fusion               | 0.628 | 0.115|
| Feedback + Hierarchical Fusion        | 0.640 | 0.113|

| Method                                | CC    | MLE  |
|---------------------------------------|-------|------|
| Gajsek et al. (2010)                  | 0.390 | 0.143|
| Shuller et al., (2010)                | 0.421 | 0.146|
| Jeon et al., (2010)                   | 0.428 | 0.146|
| Bag-of-features Fusion                | 0.420 | 0.145|
| Sum Rule Combination                  | 0.422 | 0.138|
| SVM Hierarchical Fusion               | 0.450 | 0.131|
| Feedback + Hierarchical Fusion        | **0.480** | **0.131**|

Table 4: Comparing Different Systems. Above: Training vs. Development. Bottom: Combined Training+ Development vs. Test. CC: Cross Correlation. MLE: Mean Linear Error.

Note that a Bag-of-Features approach combining all features results in poorer performance than the use of acoustic features alone. The Sum Rule approach improves over this method by achieving CC score of 0.422. Although the improvement of CC seems small, it is extremely statistically significant (Paired $t$-test with two-tailed $P$-value less than 0.0001), comparing to the Bag-of-features model. However, when using the SVM as the 2nd-tier supervector classifier to weight different prediction streams, we achieve 0.628 CC and 0.115 MLE in training vs. development data, and 0.450 CC and 0.131 MLE on the test set; this result is significantly different from the Bag-of-features baseline (paired $t$-test, $p < 0.0001$), but it is not significantly different from the Sum Rule Combination approach.

Augmenting the SVM hierarchical fusion learning approach with multistream feedback, we observe a significant improvement over all other systems and methods. We obtain a final CC of 0.480 and MLE of 0.131 in the test mode, which is significantly different from the Bag-of-features approach (paired $t$-test $p < 0.0001$), but does not differ significantly from the SVM hierarchical fusion approach.

5 Conclusion

Detecting levels of interest from speakers is an important problem for Spoken Dialog Understanding. While earlier work, done in the 2010 Interspeech Paralinguistic Affect Subchallenge, employing traditional acoustic features and shallow lexical features, achieved good results, our new features — Discriminative TFIDF, lexical affect scoring, language modeling, prosodic event — when used with standard prosodic features and our new Multistream Prediction Feedback and Mean Cosine Similarity heuristic-based Hierarchical Learning method improves over all published results on the LOI corpus. Our method is domain-independent and can be adapted to other speech and language processing areas where domain adaptation is expensive to perform. In the future, we would like to experiment with different distributional similarity measures and bootstrapping strategies.
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