Light therapy with boxes or glasses to counteract effects of acute sleep deprivation

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Sleep deprivation, in the context of shift work, is an increasing major public health issue. We aimed to determine whether early light administration can counteract sleep deprivation effects, and to compare LED-glasses with a traditional light therapy box. This cross-over design study included 18 individuals exposed to light therapy for 30 minutes at 5 am after one night of complete sleep deprivation, to mimic the night shift condition. Individuals were randomly exposed to 10,000 Lux light box, 2,000 Lux LED blue-enriched glasses, and control (ambient dim-light at 8 lux). Alertness, cognition and mood were assessed throughout the night and following morning. Five women and 13 men (mean 24.78 year old) presented with a progressive and increasing alteration of alertness, cognition, and mood during each sleep deprivation. A rebound was observed at 8 am resulting from the circadian drive overriding cumulative sleep homeostatic effects. Morning light significantly improved sleepiness and sustained attention from 5 to 7 am. These effects were comparable between devices and significantly different from control. Both devices were overall well and similarly tolerated. Early morning light therapy in the condition of sleep loss may have broad practical applications to improve sleepiness, sustained attention and subsequent risk of accidents.

It has become increasingly clear that sleep loss affects important brain functions such as alertness, information processing, cognitive function and mood1–5. Thus, sleep deprivation, in the context of shift work, is an increasing major public health issue6–8. Indeed, it is demonstrated that the effect of shift work on sleep mainly concerns acute sleep loss in connection with night shifts and early morning shifts6. Sleep deprivation is associated with increased attention-deficit or somnolence-related accidents, especially during the early morning period, which corresponds to both the nadir of the circadian rhythm of alertness and the homeostatic sleep pressure rise. Incidentally, early morning is the most at-risk period of work-related accidents or traffic accidents10. Shift work has also been associated with type 2 diabetes, weight gain, coronary heart disease, stroke, and cancer6, leading to great social, economic and human costs including accidents, which points to the urgent need for practical strategies aimed at counteracting sleep deprivation effects.

Light is not only important for vision, but also has strong and pervasive effects on physiology and behavior, including vigilance states in both a circadian and a direct non-circadian manner, as well as by influencing the sleep homeostat11,12. Light therapy (LT) is well-established for disorders such as circadian rhythm sleep disorders including shift work, sleep phase delayed disorders, and mood disorders such as seasonal affective disorder and major depressive episodes from unipolar or bipolar disorders13–15. Efficacy and tolerance of LT have been well documented, but one potential shortcoming in daily life might be its use for 30 to 60 minutes in the morning. This might be difficult for some patients to be unoccupied during LT. Thus, the development of portable devices such as LT glasses may represent an alternative allowing more freedom to the user and consequently broader clinical applications16.

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In this context, this work has two objectives. First, we examine if morning LT can enhance vigilance, cognition, and mood; which are all altered with sleep deprivation and are known risk factors for accidents. For this, we tested a 30-minute bright light intervention at 5am, which is close to the end of many conventional night shifts and corresponds to the alertness nadir17. Secondly, we aimed to compare the efficacy and tolerance of LT glasses to a traditional LT box.

### Results

#### Participants

20 subjects (7 women, 13 men) were recruited, but one woman was excluded because of a depressive episode as assessed by the Beck’s Depression Inventory, and another woman did not respond to our queries during the follow-up and never show up again for unknown reasons. Thus, the study population consisted of 18 subjects (5 women, 13 men), with a mean age of 24.78 years. See Table 1 for detailed characteristics.

#### Sleepiness

Sleepiness, measured by KSS, increased throughout the night until 3:00, with no significant difference between groups. Sleepiness significantly improved at 5:00 and 7:00 in both LT conditions compared to the control (p < 0.05), an effect which disappeared at 8:00, with no differences between LT box and glasses (Fig. 1). Cohen’s d was used to assess size effect. Compared with controls, the effect size, at 5:00, was 0.52 and 0.58 (medium effect size) respectively for boxes and glasses, whereas, at 7:00, it was 0.42 for boxes (small effect size) and 0.78 for glasses (medium to large effect size).

#### Cognition

Reaction time and sustained attention measured by PVT differed only at 7:00, when reaction time was significantly shorter in two LT conditions (p < 0.05) compared to control (Fig. 2a).

|                      | Mean ± SEM |
|----------------------|------------|
| Age (years)          | 24.78 ± 0.87 |
| Gender (F)           | 5 (27.78)  |
| BMI (kg/m²)          | 24.00 ± 1.18 |
| Short Form (36) Survey Score – physical (/100) | 90.13 ± 1.40 |
| Short Form (36) Survey Score – mental (/100) | 79.59 ± 2.39 |
| Usual Bedtime (hh:mm) | 23:45 ± 0:13 |
| Usual Time Out of Bed (hh:mm) | 7:19 ± 0:12 |
| Mid Sleep Time (hh:mm) | 3:39 ± 0:11 |
| Hornes & Ostberg Morningness-Eveningness Score (/86) | 45.06 ± 2.39 |
| Pittsburgh Sleep Quality Index Score (/21) | 3.67 ± 0.65 |
| Insomnia Severity Index Score (/28) | 5.33 ± 0.87 |
| Beck Depression Inventory-II Score (/39) | 2.50 ± 0.47 |
| Epworth Sleepiness Scale (/24) | 5.86 ± 0.65 |
| Positive and Negative Affect Schedule – positive affect (/50) | 32 ± 1.46 |
| Positive and Negative Affect Schedule – negative affect (/50) | 13 ± 0.66 |

**Table 1.** Characteristics of the population (n = 18). Legend: Values are expressed in mean ± SEM, or in N (%).
The number of correct responses on the PVSAT was stable and similar in all conditions until 5:00, when a drop was observed in the number of correct responses in the control condition while LT box and glasses kept a stable level of correct responses compared to control (p < 0.05 for both active LT vs. control). This difference became non-significant at the 7:00 and 8:00 time points (Fig. 2b).

No significant differences were observed in working memory between groups as measured by the Verbal 1-back (Fig. 2c), 2-back (Fig. 2d) and 3-back (Fig. 2e) tasks. Nevertheless, there was a tendency for individuals using the LT glasses condition to respond more correctly than the other conditions at the 5:00 and 7:00 time points in the 2-back task.

Mood. Whereas negative affects evaluated by PANAS remained stable throughout the sleep-deprived night, positive affects decreased progressively without any statistical differences between the conditions. Of note, at the 5:00 and 7:00 time points, a slightly higher positive affect and lower negative affect were observed for both LT conditions compared to control but these trends were not statistically significant (Table 2, Supplementary Fig. S1).

Light therapy tolerance. All three conditions were well tolerated with mean scores under 3/10 for all assessed side effects from a ten-point visual analog scale (VAS). Figure 3 illustrates a comparison of the tolerance total score between different light conditions (control, box, or glasses).

No statistical differences were observed between LT glasses and box regarding the VAS total score and sub-scores for headache, nausea, dizziness, visual blur, visual discomfort, abdominal pain, palpitation, dry eyes, agitation, anxiety, and irritability (Table 3). Compared to control, glasses were associated with more visual discomfort (p = 0.006), headache (p = 0.02) and a poorer total VAS score (p = 0.001). Boxes also had a significantly poorer total VAS score compared to control (p = 0.003).

VAS were then separated into 3 larger categories: mild (VAS-score from 0 to 3.3/10), moderate (from 3.4 to 6.6/10) or severe (from 6.7 to 10/10). Only 5 severe VAS were recorded among the 540 VAS filled by the subjects in the study. In the control condition, one subject complained about severe visual discomfort; in the LT box condition, one subject complained about severe dry eyes; in the LT glasses condition, two subjects complained about severe visual discomfort and 1 about severe irritability (Supplementary Fig. S2).
Comparison of clinical assessments at different time points between 3 light conditions. n = 18. Legend: Values are mean ± SEM, or N (%). LT = light therapy; KSS = Karolinska Sleepiness Scale; PVT = Psychomotor Vigilance Task; PVSAT = Paced Visual Serial Attention Task; PANAS = Positive and Negative Affect Schedule.

Table 2. Comparison of clinical assessments at different time points between 3 light conditions. n = 18. Legend: Values are mean ± SEM, or N (%). LT = light therapy; KSS = Karolinska Sleepiness Scale; PVT = Psychomotor Vigilance Task; PVSAT = Paced Visual Serial Attention Task; PANAS = Positive and Negative Affect Schedule.

Discussion
This study has several key findings and first confirmed that one night of sleep deprivation induced a progressive alteration of alertness, cognition, and mood. In these sleep-deprived individuals we showed that LT can significantly enhance vigilance (with greater prolonged effect of LT glasses compared to LT boxes two hours after light exposure), cognition and also tends to improve mood. No differences between LT devices, either used as a box or glasses, were demonstrated. Such LT effects on alertness and cognition altered by sleep loss may serve to decrease the risk of accidents for night workers when a 30-minute bright light intervention is administered at 5 am. Another interesting finding is the well-tolerated and good safety profiles of both LT devices in these individuals that are sleep deprived and very sensitive to discomforts. Of note, these LT were administered after a night with very low dim-light at 8 lux and were exposed without transition to bright LT, which may have increase side effects.

Bright light administration as well as protection against natural light and administration of melatonin have previously been used as strategies to effectively help night shift workers to adapt to their schedules19. However,
these studies aimed at altering the subjects’ circadian phase, which may not be appropriate, especially in those on a rapidly rotating shift schedule or who work only occasionally at night, for whom a circadian rhythm delay or advance may increase their difficulties and sleep loss. Few studies investigated the direct alerting and performance-enhancing effects of light to date, and have found that light administered in the early night as well as throughout the night successfully improves alertness and cognition in subjects who are sleep deprived at night19–22.

Weisgerber et al. found that 45 minutes of light applied in the morning after six hours of sleep deprivation (starting at the subject’s usual bedtime) had positive effects on simulated driving following light exposure, but not on reaction time as measured by the PVT, while sleepiness measured by KSS decreased slightly but only after the 44 minute simulation23.

In this context, our main objective has been to provide further insight on the potential risks and benefits of early morning bright light in sleep deprived subjects. This could prove to be an effective therapy to augment productivity and safety for those who work an occasional night shift but do not show an interest in phase delaying their circadian rhythm as they must be able to rapidly re-adapt to a daytime schedule. The timing of our 5 am LT was selected based on the timing of typical night shifts, as unlike the morning LT timing by Weisgerber et al., most work schedules are not adapted to the individual’s circadian rhythm. We then measured parameters of alertness, cognition (including reaction time), and mood throughout the night and into the morning in order to chart the evolution of these parameters as the previous study left results that were somewhat unclear regarding reaction time and sleepiness.

Interestingly, our results indicate that alertness, cognition and mood decrease with sleep deprivation throughout the night, probably as a result of the build-up of homeostatic sleep pressure combined with influences of the circadian phase influence. The improvement in all parameters at 8:00 seems to be a result of the circadian drive overriding the homeostatic process, as previously been reported24,25. Sleepiness as well as negative effects on

**Table 3.** Tolerance assessment between different light conditions (control, box, or glasses). VAS = Visual Analog Scale; LT = Light Therapy. Values are mean ± SEM. Bold = p-value < 0.05; †p-value < 0.10.
reaction time and sustained attention can be curbed with a 30-minute LT applied at 5:00 with both an immediate and a prolonged two-hour effect before returning to control levels. Mood was not significantly improved by LT. Nevertheless, a tendency to better mood in the LT groups after LT exposure was observed, which is in line with earlier results. These effects are deemed to be a result of light's non-circadian influence counteracting the sleep homeostat through direct stimulation of alertness-promoting neural systems. PANAS has already been evaluated in condition of sleep deprivation, and our results are consistent with a previous study. Finally, our results, assessing a single session of LT on mood after sleep deprivation, should not be compared to the well-demonstrated beneficial effects of LT during several days on depression. To observe such antidepressant effects, LT needs to be administered for weeks.

Our secondary goal was to determine whether light administration with a portable LT device is as efficient as a traditional LT box. Three studies have reported beneficial effects of LT glasses on parameters such as well-being at work in an environment without natural light, sleep and mood in adolescents, and melatonin suppression in healthy subjects. However, these studies did not compare the effects of LT glasses with traditional light boxes. In our study, we have found that these two devices have comparable effects on sleepiness and sustained attention. Nevertheless, the two devices emit light at different wavelength spectrums and intensity, which can have different physiological consequences. While the conventional LT box used in this study (EnergyLight) emits a polychromatic white light at 10,000 Lux, the LT glasses used in this study (Luminette) emit a blue-enriched polychromatic white light, which may exert stronger effects on physiology and behavior. Indeed, the non-image forming effects of light are primarily mediated by melanopsin-based phototransduction with a peak sensitivity of melanopsin located within the blue spectrum. The similarity of the results between LT glasses and box suggests however that polychromatic white light without blue enrichment is sufficient to produce beneficial effects, as previously observed in studies examining melatonin suppression. Sasseville et al. recently demonstrated that LT at the middle of the night (3 am) during 30 minutes at 500 µW/cm² could be as effective with or without the contribution of short-wavelength on subjective alertness and energy, thus suggesting that direct non-image forming effects of light are also mediated by rods and cones. Our results are consistent with those of Sasseville et al., as we didn't show differences in our study between white polychromatic LT (Philips) and blue-enriched LT (Lucimed): thus, bright light therapy produces its effect non solely via melanopsin pathways. However, two hours after LT, vigilance improvement induced by LT glasses was higher than that produced by LT boxes, suggesting a more prolonged effect of LT glasses which might result from greater blue light enrichment. Future studies with different monochromatic lights will help us to disentangle the different pathways of the non-image forming effects of light.

Tolerance analysis of LT boxes and LT glasses resulted in statistical differences regarding global discomfort compared to control, especially concerning headaches, visual blur and visual discomfort, which were generally higher for LT glasses. Nevertheless, the overall discomfort remained quite low with a totalVAS score < 10/100 for all conditions, and all sub-scores < 3/10 indicating a high global well tolerance of both boxes and glasses. Of note, the light therapy in this study was administered after a complete night of sleep deprivation in condition of a very low dim light < 8 Lux, without any transition phase. This could have led to a poorer global tolerance for both LT glasses and box. Indeed, previous studies reported the effects of sleep loss on emotions. Thus, the tolerance of LT glasses and box may be even higher in individuals who are not sleep deprived.

The current study includes some limitations. We should first acknowledge that our study did not allow for a full assessment of the risks of this intervention, notably, the long-term effects on the circadian system through possible phase advancement. We have not evaluated the circadian consequences of the 30-minute LT. Also, ambient light was dim light < 8 Lux aiming to avoid any melatonin suppression by light excepted during bright light therapy at 5 am, and so all participants were in a controlled condition during the night at lab. Thus this is not an ecological design but rather a proof of concept design assessing direct effects of short duration LT after sleep deprivation.

Future studies should measure for instance the circadian rhythms of melatonin secretion and core body temperature, to be able to weight the circadian effect against the observed benefits of direct early morning LT, and consequently recommend this light therapy strategy.

In conclusion, we have found some promising results regarding the positive, direct and short-term effects of light on vigilance and cognition, all of which can have important practical applications for night shift workers.

Methods
Population. Volunteers were recruited by advertisements at the Sleep Disorders Center & International Centre for Research in ChronoSomnology (CIRCSom), at the University Hospital of Strasbourg, France. Inclusion criteria were: age of 18–35 years; possession of medical insurance; no major health problems; no known sleep disturbances or disorders; and for women, use of hormonal contraceptives and no pregnancy or breastfeeding. The non-inclusion criteria were: participation to another clinical trial in the previous three months; any type of shift works during the preceding year of the inclusion; all trans-meridian travels in the preceding month; failure to pass a general medical and ophthalmological evaluation; and a pathological score on questionnaires concerning quality of life (MOS SF 36, score ≥ 50/100), sleep (PSQI, score ≥ 11/21, and ISI, score > 15/28), vigilance (ESS, score ≥ 11/24), chronotype (H&O, score < 30 or > 70/83) and mood (BDI-II, score > 8/63). Subjects were asked to respect regular sleep-wake rhythms starting a week before the beginning of the study until the end of the study, controlled by a sleep log. Subjects were asked not to consume alcohol or coffee during the day preceding each night spent in the laboratory.

Ethics. This research project was carried out in accordance with the guidelines for clinical research as stated in the Helsinki Declaration, and was approved by the local ethical committee (Comité de Protection des Personnes, CPP Est) and the French National Public Health Agency (Agence Nationale de Sécurité du Médicament et des
Study design. Subjects participated to three sleep deprivations, with a recovery period of 7 to 28 days between each sleep deprivation in the study. The 24 hour sleep deprivation began first at home from 8 am to 8 pm while subjects were asked not to sleep nor to lie down, then from 8 pm to 8 am at the lab CIRCSom while subjects staying in the lab, in a controlled ambient light < 8 Lux (verified by lightmeter Testo® 540). During the in-lab nights, subjects’ activities were free (for example: reading, drawing, …) excepted any physical activities and activities with electronic devices or devices emitting light. Subjects were monitored by the investigator to be sure they did not fall asleep. Snacking were hourly proposed to the subjects, without mention of time, in order to avoid time cues. After each of the three sleep deprivations spent at the CIRCSom, each subject was exposed to three different lighting conditions – in an order determined by computer randomization – in this cross-over design study: (1) box: EnergyLight® LT by Philips, (2) glasses: Luminette® LT by Lucimed, and (3) control condition: 8 lux control. Throughout each of these three separate nights, which lasted from 8 pm to 8am the next morning, subjects were asked to respond to a battery of neuropsychological tests, chosen for their repeatability over time, assessing vigilance (Karolinska Sleepiness Scale – KSS), sustained attention (Psychomotor Vigilance Task – PVT); Paced Visual Serial Addition Task – PVSAT, working memory (Verbal 1, 2 & 3-back Tasks), and mood (Positive and Negative Affect Schedule – PANAS) at eight time points (Supplementary Fig. S3). After each sleep deprivation night, subjects were given time to nap, and benefited from a medical examination before being allowed to leave the hospital.

Light therapy. Subjects were exposed to LT starting at 5 am for 30 minutes during the three trials using box, glasses, or light control, depending on the randomization.

The control consisting of a non-active white light emitted from conventional incandescent light bulbs with a filter limiting the luminosity to a maximum of 8 lux. The intensity of ambient lighting, including light emitted from the computer screens used for the test battery, was controlled to be less than 8 Lux, as determined by the lightmeter Testo® 540. The control light during light therapy was the same as the ambient light.

The EnergyLight® (CE marking 86443CE02) is a medical device for LT and emits white light (from compact fluorescent lamps) with an adjustable illuminance of up to 10,000 Lux and color temperature of 4,000 °K to be placed at a distance of 15–50 cm from the eyes. For this study, the lamp was set up to 10,000 Lux and at a distance of 50 cm.

The Luminette® (CE marking BE0771114) is a pair of glasses equipped with LT and developed more recently. The glasses contain diodes emitting a blue-enriched white light reflecting to the retina at 2,000 Lux via a holographic system in order to ensure correct penetration into the eye without impeding vision.

Subjects were asked to rate their tolerance to each of the three LT conditions 30 minutes after the exposure using ten-point visual analog scales (VAS) which assessed ten possible side-effects: headache, dizziness, blurred vision, dry eyes, visual discomfort, anxiety, irritability, agitation, nausea, and palpitation. Scores ranged from “no symptoms” (score 0) to “worst imaginable experience of the symptom” (score 10). In addition, for each LT condition, these VAS scores were added resulting in a “Total VAS Score” ranging from 0 to 100 points.

Statistics. A mixed-model analysis of variance for repeated measures (PROC MIXED) was used for all analyses (package SAS version 9.1; SAS Institute, Cary, NC, USA). For each variable studied in the test battery (subjective vigilance level as determined by the KSS, subjective mood as determined by the PANAS, and cognitive performance as determined by the PVT, the PVSAT and the N-Back), differences were compared between lighting conditions (control, box, or glasses) and time point during the night, as well as two random factors “subject” and “order of the session”. Contrasts were assessed with the LSMEANS statement and p values were based on Kenward-Roger's corrected degrees of freedom. Results are expressed as mean ± SEM. From a previous study with similar design, we estimated that a sample size of 18 subjects would allow to detect differences with a statistical power of 0.80 and a significance level at 0.05.

Tolerance was evaluated by comparing between each condition the mean score obtained from the ten-point scores from VAS. We compared the individual VAS scores for headache, dizziness, visual blur, visual discomfort, abdominal pain, palpitation, dry eyes, agitation, anxiety, and irritability, and the total VAS score. Non-parametric tests were performed (Mann-Whitney U test) due to the non-gaussian distributions.

Data availability
The datasets generated during and/or analyzed during the current study are available from the corresponding author on reasonable request.

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Competing interests
The authors declare no competing interests.

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