SINGULAR MODES OF THE ELECTROMAGNETIC FIELD

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Abstract. We show that the mode corresponding to the point of essential spectrum of the electromagnetic scattering operator is a vector-valued distribution representing the square root of the three-dimensional Dirac’s delta function. An explicit expression for this singular mode in terms of the Weyl sequence is provided and analyzed. An essential resonance thus leads to a perfect localization (confinement) of the electromagnetic field, which in practice, however, may result in complete absorption.

Key words. electromagnetics, Maxwell’s equations, light confinement, singular integral operators, Weyl’s spectrum, essential spectrum, square root of delta function

AMS subject classifications. 78A25, 78A45, 45E10, 47A10

1. Introduction. The ability to manipulate the spatial distribution of the electromagnetic field is required in many practical applications. For example, one may wish to create an antenna with a very broad or a very narrow radiation pattern, localize and amplify light or transmit it along a predefined optical path, accelerate charged particles or keep them tight within a fusion chamber. In the presence of matter all possible spatial distributions are encoded in the spatial spectrum of the electromagnetic scattering operator. The most basic field distributions, which are easy to observe in microwave resonators, are called eigenmodes. Manipulation thus amounts to a clever excitation of a particular eigenmode or a combination of those. If almost all electromagnetic energy is carried by one of the modes, then we talk about a resonance. The concept of electromagnetic resonances and eigenmodes is a natural description of microwave resonators and waveguides [1], microstrip lines [2], and other simple, often, infinite, homogeneous, or periodic structures [3], [4], [5]. In a recent paper [6] we have generalized this idea for arbitrary dielectric objects of finite extent. The strongest point of our generalization was the incorporation of the full information about the spatial spectrum of the electromagnetic scattering operator [7], which has both discrete eigenvalues and an essential (continuous) part.

In some applications, e.g. optoelectronics, plasmonics, photonics, near-field optics, the electromagnetic field has to be confined within a very small volume of space, see e.g. [8], [9], [10]. An observation made in [6] suggests that the modes associated with the essential spectrum of the scattering operator may be highly localized in space. In particular, we argued that the resonance, which corresponds to the essential spectrum, coincides with the so-called plasmon observed at a plasma-dielectric interface. In research on metamaterials, where one strives for a negative permittivity material with vanishing losses, an unusual “anomalous localized resonance” is also encountered [11], [12]. Here we give a rigorous proof of the link between the localization or confinement of the electromagnetic field and the essential spectrum of the electromagnetic scattering operator.

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Our previous work [6], [7] was based on the Mikhlin’s theory of singular integral operators [13], and does not concern with the shape of the modes. In fact, there are very few examples of the analysis of the modes corresponding to the essential spectrum of operators. In quantum mechanics, where the spectral theory is very advanced, the discrete spectrum seems to be of more importance. There the essential spectrum is associated with the unbounded motion of particles [14] and is, therefore, of little interest to physicists. In electromagnetics, however, it is the practical question of light confinement which is related to the essential spectrum, and the precise mathematical description of the associated modes is rather important.

To recover the structure of the modes corresponding to the electromagnetic essential spectrum we resort here to the Weyl’s definition of spectrum, see e.g. [14], [15]. This definition states that a number \( \lambda \) is in the spectrum of operator \( A \) if and only if there exists a sequence \( \{ \Psi_n \} \) in the space \( X \) such that

\[
\| \Psi_n \| = 1, \tag{1.1}
\]

and

\[
\lim_{n \to \infty} \| A\Psi_n - \lambda \Psi_n \| = 0. \tag{1.2}
\]

Furthermore, \( \lambda \) is in the essential spectrum, if there is a singular sequence satisfying (1.1)–(1.2), i.e. a sequence which contains no convergent subsequence. If \( X \) is a complete Hilbert space, then, obviously, such singular sequence will not (strongly) converge to any function from \( X \), although, it may weakly converge to zero. As we know, sequences that do (strongly) converge to some function on \( X \) generate eigenfunctions or eigenmodes corresponding to the point spectrum – eigenvalues. By analogy we may associate essential modes with the essential spectrum. An alternative term, which, perhaps, better reflects the nature and structure of the particular modes obtained here, would be singular modes.

2. The square root of the delta function. Before going into the details of the electromagnetic case we shall introduce a peculiar function that is later used to generate the essential modes. On one hand, the sequence of such functions should be singular, as required by the definition mentioned above. On the other hand, application of (1.2) to electromagnetics (in \( L^2 \)-norm) and consistency with the previously obtained results [7] require that the square of this function should behave like the Dirac delta function, i.e., must have the sifting property. Hence, what we need is a square root of the delta function.

From time to time the square roots of delta functions appear in literature. Mostly, though, just as a curious example of a non-convergent sequence, see e.g. [16] (p. 299) and [17] (p. 81). A more modern and rigorous approach to such functions is the Colombeau algebra [18], where one studies the products of distributions and encounters \( m \)-singular delta functions, which are almost identical to what we are after. At present, the main applications of the Colombeau algebra are: nonlinear equations (e.g. hydrodynamics, elastodynamics and general relativity), singular shock waves in nonlinear conservation laws, and propagation of delta-like waves in linear media with discontinuous parameters. In other words, this algebra is applied whenever a potentially meaningless product of generalized functions is stumbled upon. The present apparent emergence of the Colombeau algebra in the recovery of the essential spectrum seems to be new and can, probably, be generalized. This paper, however, does not attempt such a generalization, nor should it be considered an introduction to the Colombeau algebra.
Unfortunately, we could not find any explicit derivation of the required distribution in the literature. The available one-dimensional and scalar three-dimensional [14] (pp. 74–75) examples are of no use to us, since the electromagnetic essential spectrum is a purely three-dimensional phenomenon and our function and its Fourier transform had to have a very special vectorial structure. In view of the potential usefulness of the obtained result in other areas of research, we have decided to devote this entire section to the analysis of the square root of the three-dimensional delta function. The proof of the following theorem is, however, rather technical and can be skipped in the first reading.

**Theorem 2.1.** The vector-valued function

\[
\Psi(\alpha, x, x_c) = \left(\frac{2}{3}\right)^{1/2} \pi^{-3/4} \alpha^{5/4} (x - x_c) \exp \left(-\frac{\alpha}{2}|x - x_c|^2\right),
\]

where \(x, x_c \in \mathbb{R}^3\) and \(\alpha \geq 0\), has the following properties:

1. It is normalized in the sense that

\[
\|\Psi(\alpha, x, x_c)\|_2 = 1.
\]

2. The sequence of such functions

\[
\Psi(\alpha_n, x, x_c), \quad \alpha_n > \alpha_{n-1}, \quad n = 1, 2, \ldots
\]

does not have a convergent subsequence.

3. Its Fourier transform is given by

\[
\tilde{\Psi}(\alpha, k, x_c) = -i \left(\frac{2}{3}\right)^{1/2} \pi^{-3/4} \alpha^{-5/4} k \exp \left(-\frac{1}{2\alpha}|k|^2 - ik \cdot x_c\right).
\]

4. It is a generator of the square-root of the Dirac delta-function, i.e., with any bounded continuous function \(f(x)\) its square has the sifting property

\[
\lim_{\alpha \to \infty} \int_{x \in \mathbb{R}^3} f(x) |\Psi(\alpha, x, x_c)|^2 \, dx = f(x_c).
\]

5. It is orthogonal to bounded vector-valued functions, i.e., for any \(|V(x)| < \infty, x \in \mathbb{R}^3\),

\[
\lim_{\alpha \to \infty} \langle V, \Psi \rangle = \lim_{\alpha \to \infty} \int_{x \in \mathbb{R}^3} \Psi^T(\alpha, x, x_c)V(x) \, dx = 0.
\]

6. It is ‘invisible’ to weakly singular operators with finite spatial support, i.e.

\[
\lim_{\alpha \to \infty} \left\| \int_{x \in D} \frac{\mathcal{K}(x, x')}{|x - x'|^\beta} \Psi(\alpha, x, x_c) \, dx \right\|_2 = 0,
\]

where \(\mathcal{K}(x, x')\), \(x, x' \in \mathbb{R}^3\) is a bounded tensor-valued function, \(\beta < 3\), and the norm is defined over the spatial support \(D\).
Proof.

1. To prove the normalization property we simply compute

\[ \|\Psi(\alpha, x, x_c)\|_2^2 = \frac{2}{3} \pi^{-3/2} \alpha^{5/2} \int_{x \in \mathbb{R}^3} |x - x_c|^2 e^{-\alpha|x-x_c|^2} \, dx \]

\[ = \frac{2}{3} \pi^{-3/2} \alpha^{5/2} \int_{r=0}^{\infty} \int_{\theta=0}^{\pi} \int_{\phi=0}^{2\pi} r^4 e^{-\alpha r^2} \sin \theta \, d\theta \, d\phi \, dr \]

\[ = \frac{2}{3} \pi^{-3/2} \alpha^{5/2} 4\pi \left( \frac{4 - 1)!}{2(2\alpha)^2} \right) \left( \frac{\pi}{\alpha} \right)^{1/2} = 1, \]

where we have used the following standard integral:

\[ \int_0^{\infty} r^{2n} e^{-pr^2} \, dr = \frac{(2n-1)!}{2(2p)^n} \sqrt{\frac{\pi}{p}}, \quad p > 0, \quad n = 0, 1, 2, \ldots \]

2. Suppose that there is a subsequence \( \Psi(\alpha_n, x, x_c) \), where \( \alpha_n > \alpha_{n-1} \), \( n = 1, 2, \ldots \), which converges in norm. Then, for any \( \epsilon > 0 \) there exists \( N \) such that for all \( m, n > N \) we have

\[ \|\Psi(\alpha_m, x, x_c) - \Psi(\alpha_n, x, x_c)\|^2 \leq \epsilon. \]

However, in our case we obtain

\[ \|\Psi(\alpha_m, x, x_c) - \Psi(\alpha_n, x, x_c)\|^2 = \int_{x \in \mathbb{R}^3} \left| \Psi(\alpha_m, x, x_c) - \Psi(\alpha_n, x, x_c) \right|^2 \, dx \]

\[ = \int_{x \in \mathbb{R}^3} \left| \Psi(\alpha_m, x, x_c) \right|^2 \, dx + \int_{x \in \mathbb{R}^3} \left| \Psi(\alpha_n, x, x_c) \right|^2 \, dx \]

\[ - 2 \int_{x \in \mathbb{R}^3} \Psi^T(\alpha_m, x, x_c) \Psi(\alpha_n, x, x_c) \, dx \]

\[ = 2 - 2 \frac{\alpha_m^{5/4} \alpha_n^{5/4}}{(\alpha_m + \alpha_n)^{5/2}} \int_{x \in \mathbb{R}^3} \left| \Psi(\alpha_m + \alpha_n, x, x_c) \right|^2 \, dx \]

\[ = 2 - 2 \frac{\alpha_m^{5/4} \alpha_n^{5/4}}{(\alpha_m + \alpha_n)^{5/2}}. \]

If we now fix \( n > N \) and let \( m > N \) go to infinity, then the last term tends to zero leaving us with a constant (two), which, obviously, cannot be made smaller than an arbitrary \( \epsilon > 0 \). This proves that there are no convergent subsequences.
3. The Fourier transform is obtained by a direct computation as follows:

\[ \hat{\Psi}(\alpha, k, x_c) = (2\pi)^{-3/2} \int_{x \in \mathbb{R}^3} \Psi(\alpha, x, x_c) \exp(-i k \cdot x) \, dx \]

\[ = (2\pi)^{-3/2} \left( \frac{2}{3} \right)^{1/2} \pi^{-3/4} \alpha^{5/4} \int_{x \in \mathbb{R}^3} (x - x_c) \exp \left( -\frac{\alpha}{2} |x - x_c|^2 - i k \cdot x \right) \, dx \]

\[ = (2\pi)^{-3/2} \left( \frac{2}{3} \right)^{1/2} \pi^{-3/4} \alpha^{5/4} \exp(-i k \cdot x_c) \int_{y \in \mathbb{R}^3} y \exp \left( -\frac{\alpha}{2} |y|^2 - i k \cdot y \right) \, dy \]

\[ = (2\pi)^{-3/2} \left( \frac{2}{3} \right)^{1/2} \pi^{-3/4} \alpha^{5/4} \exp(-i k \cdot x_c)(i \nabla_k) \int_{y \in \mathbb{R}^3} \exp \left( -\frac{\alpha}{2} |y|^2 - i k \cdot y \right) \, dy \]

\[ = (2\pi)^{-3/2} \left( \frac{2}{3} \right)^{1/2} \pi^{-3/4} \alpha^{5/4} \exp(-i k \cdot x_c)(i \nabla_k) \int_{y \in \mathbb{R}^3} \exp \left( -\frac{\alpha}{2} y_1^2 - i k_1 y_1 \right) \, dy_1 \]

\[ \times \int_{-\infty}^{\infty} \exp \left( -\frac{\alpha}{2} y_2^2 - i k_2 y_2 \right) \, dy_2 \times \int_{-\infty}^{\infty} \exp \left( -\frac{\alpha}{2} y_3^2 - i k_3 y_3 \right) \, dy_3. \]

(2.12)

Each of the one-dimensional integrals above gives

\[ \int_{-\infty}^{\infty} \exp \left( -\frac{\alpha}{2} y_n^2 - i k_n y_n \right) \, dy_n = \int_{-\infty}^{\infty} \exp \left[ -\frac{\alpha}{2} \left( y_n^2 + \frac{i}{\alpha} k_n y_n \right) \right] \, dy_n \]

\[ = \int_{-\infty}^{\infty} \exp \left[ -\frac{\alpha}{2} \left( y_n^2 + 2 y_n \frac{i k_n}{\alpha} + \left( \frac{1}{\alpha} k_n \right)^2 \right) \right] \, dy_n \]

\[ = \exp \left( -\frac{1}{2\alpha} k_n^2 \right) \int_{-\infty}^{\infty} \exp \left[ -\frac{\alpha}{2} \left( y_n + \frac{i}{\alpha} k_n \right)^2 \right] \, dy_n \]

\[ = \exp \left( -\frac{1}{2\alpha} k_n^2 \right) \int_{-\infty}^{\infty} \exp \left[ -\pi \left( \sqrt{\frac{2\alpha}{\pi}} y_n + \frac{i}{\sqrt{2\pi\alpha}} k_n \right) \right] \, dy_n \]

\[ = \left( \frac{2\pi}{\alpha} \right)^{1/2} \exp \left( -\frac{1}{2\alpha} k_n^2 \right) \int_{-\infty}^{\infty + ib} \exp \left[ -\pi (z + i b)^2 \right] \, d(z + i b) \]

\[ = \left( \frac{2\pi}{\alpha} \right)^{1/2} \exp \left( -\frac{1}{2\alpha} k_n^2 \right). \]

(2.13)
Substituting (2.13), (2.14) in (2.12) we arrive at our result

\[ \tilde{\Psi}(\alpha, k, x_c) = i(2\pi)^{-3/2} \left( \frac{2}{3} \right)^{1/2} \pi^{-3/4} \alpha^{5/4} \exp(-i k \cdot x_c) \nabla_k \left[ \left( \frac{2\pi}{\alpha} \right)^{3/2} \exp \left( -\frac{1}{2\alpha} |k|^2 \right) \right] \]

\[ = -i(2\pi)^{-3/2} \left( \frac{2}{3} \right)^{1/2} \pi^{-3/4} \alpha^{5/4} \left( \frac{2\pi}{\alpha} \right)^{3/2} \frac{1}{2\alpha} \exp \left( -\frac{1}{2\alpha} |k|^2 - i k \cdot x_c \right) \nabla_k |k|^2 \]

\[ = -i \left( \frac{2}{3} \right)^{1/2} \pi^{-3/4} \alpha^{-5/4} k \exp \left( -\frac{1}{2\alpha} |k|^2 - i k \cdot x_c \right). \]  

(2.14)

Note that with this choice of the Fourier transform we also have

\[ \left\| \tilde{\Psi}(\alpha, k, x_c) \right\|_2 = 1. \]  

(2.15)

4. To prove the sifting property we split the integration domain in two parts, i.e.,

\[ \lim_{\alpha \to \infty} \int_{x \in \mathbb{R}^3} f(x) |\Psi(\alpha, x, x_c)|^2 \, dx \]

\[ = \lim_{\alpha \to \infty} \int_{x \in \mathbb{R}^3 \setminus V(\delta)} f(x) |\Psi(\alpha, x, x_c)|^2 \, dx + \int_{x \in V(\delta)} f(x) |\Psi(\alpha, x, x_c)|^2 \, dx \]

\[ = \lim_{\alpha \to \infty} \int_{x \in \mathbb{R}^3 \setminus V(\delta)} f(x) |\Psi(\alpha, x, x_c)|^2 \, dx + \lim_{\alpha \to \infty} \int_{x \in V(\delta)} f(x) |\Psi(\alpha, x, x_c)|^2 \, dx, \]  

(2.16)

where \( V(\delta) \) is some volume surrounding the point \( x_c \). Now we shall choose \( V(\delta) \) in such a way that the first (outer) term in the expression above gives zero. We start by considering a general case where \( x \in V(\delta) \), if \( |x - x_c| \leq \delta \), and \( \delta \) is some function of \( \alpha \). Then,

\[ \lim_{\alpha \to \infty} \int_{x \in \mathbb{R}^3 \setminus V(\delta)} f(x) |\Psi(\alpha, x, x_c)|^2 \, dx \]

\[ \leq \max_{x \in \mathbb{R}^3} |f(x)| \lim_{\alpha \to \infty} \int_{x \in \mathbb{R}^3 \setminus V(\delta)} |\Psi(\alpha, x, x_c)|^2 \, dx \]

\[ = \max_{x \in \mathbb{R}^3} |f(x)| \lim_{\alpha \to \infty} \frac{2}{3} \pi^{-3/2} \alpha^{5/2} \int_{r=\delta}^{2\pi} \int_{\theta=0}^{\pi} \int_{\varphi=0}^{2\pi} r^4 e^{-\alpha r^2} \sin \theta \, d\theta \, d\varphi \, dr \]

\[ = \frac{8\pi}{3} \max_{x \in \mathbb{R}^3} |f(x)| \lim_{\alpha \to \infty} \alpha^{5/2} \int_{r=\delta}^{\infty} r^4 e^{-\alpha r^2} \, dr. \]

(2.17)

Successive integration by parts gives

\[ \int_{r=\delta}^{\infty} r^4 e^{-\alpha r^2} \, dr = \frac{3}{4\alpha^2} \int_{r=\delta}^{\infty} e^{-\alpha r^2} \, dr + \left( \frac{\delta^3}{2\alpha} + \frac{3\delta}{4\alpha^2} \right) e^{-\alpha \delta^2}. \]

(2.18)
Using this result we continue to analyze the upper bound of (2.17) as follows:

\[
\lim_{\alpha \to \infty} \int_{x \in \mathbb{R}^3 \setminus V(\delta)} |\Psi(\alpha, x, x_c)|^2 \, dx = \lim_{\alpha \to \infty} \frac{8\pi}{3} \pi^{-3/2} \alpha^{5/2} \int_{r=\delta}^{\infty} r^4 e^{-\alpha r^2} \, dr
\]

\[
= \frac{8\pi}{3} \pi^{-3/2} \lim_{\alpha \to \infty} \alpha^{5/2} \left[ \frac{3}{4\alpha^2} \int_{r=\delta}^{\infty} e^{-\alpha r^2} \, dr + \left( \frac{1}{2} \frac{\delta^3}{\alpha} + \frac{3}{4} \frac{\delta}{\alpha^{1/2}} \right) e^{\alpha \delta^2} \right]
\]

\[
= \frac{2}{\sqrt{\pi}} \lim_{\alpha \to \infty} \alpha^{1/2} \int_{r=\delta}^{\infty} e^{-\alpha r^2} \, dr + \lim_{\alpha \to \infty} \frac{8}{3\sqrt{\pi}} \left( \frac{1}{2} \frac{\alpha^{1/2}}{\alpha^2} + \frac{3}{4} \frac{\delta}{\alpha^{1/2}} \right) e^{-\alpha \delta^2}.
\]

Now, choosing, for example, \(\delta = \alpha^{-1/3}\), we arrive at

\[
\lim_{\alpha \to \infty} \int_{x \in \mathbb{R}^3 \setminus V(\delta)} |\Psi(\alpha, x, x_c)|^2 \, dx
\]

\[
= \frac{2}{\sqrt{\pi}} \lim_{\alpha \to \infty} \int_{r=\alpha^{1/6}}^{\infty} e^{-r^2\sqrt{\alpha}} \, dr + \lim_{\alpha \to \infty} \frac{8}{3\sqrt{\pi}} \left( \frac{1}{2} \frac{\alpha^{1/2}}{\alpha^2} + \frac{3}{4} \frac{\delta}{\alpha^{1/2}} \right) e^{-\alpha \delta^2}
\]

\[
\leq \frac{2}{\sqrt{\pi}} \lim_{\alpha \to \infty} \int_{z=\alpha^{1/6}}^{\infty} e^{-z^2} \, dz = \frac{2}{\sqrt{\pi}} \lim_{\alpha \to \infty} e^{-\alpha^{1/6}} = 0.
\]

Hence, with this particular choice of \(V(\delta)\) the first (outer) term in (2.16) is zero. Now we shall use the same \(V(\delta)\) in the second (inner) term. Taking into account that \(f(x)\) is a continuous function, and that with our choice of \(\delta\) the integration volume \(V(\delta)\) tends to the point \(x_c\), we can apply the mean-value theorem, i.e.,

\[
\lim_{\alpha \to \infty} \int_{x \in V(\delta)} f(x) |\Psi(\alpha, x, x_c)|^2 \, dx = \lim_{\alpha \to \infty} f(x) \alpha \int_{x \in V(\delta)} |\Psi(\alpha, x, x_c)|^2 \, dx
\]

\[
= f(x_c) \lim_{\alpha \to \infty} \int_{x \in V(\delta)} |\Psi(\alpha, x, x_c)|^2 \, dx,
\]

where \(x_\alpha \in V(\delta)\), and \(x_\alpha \to x_c\) as \(\alpha \to \infty\). Thus, to prove the sifting property it remains to show that

\[
\lim_{\alpha \to \infty} \int_{x \in V(\delta)} |\Psi(\alpha, x, x_c)|^2 \, dx = \frac{8}{3\sqrt{\pi}} \lim_{\alpha \to \infty} \alpha^{5/2} \int_{r=0}^{\alpha^{1/6}} r^4 e^{-\alpha r^2} \, dr
\]

\[
= \frac{8}{3\sqrt{\pi}} \lim_{\alpha \to \infty} \int_{r=\alpha^{1/6}}^{\infty} (r\sqrt{\alpha})^4 e^{-r^2\sqrt{\alpha}} \, dr = \frac{8}{3\sqrt{\pi}} \lim_{\alpha \to \infty} \int_{z=0}^{\alpha^{1/6}} z^4 e^{-z^2} \, dz
\]

\[
= \frac{8}{3\sqrt{\pi}} \int_{z=0}^{\infty} z^4 e^{-z^2} \, dz = 1.
\]
Finally, we remark that the above proof holds with any \( \delta = \alpha^{-1/m} \), where \( m \) is an integer \( m \geq 3 \).

5. We prove the orthogonality property by considering the absolute value of the dot-product, i.e.,

\[
\left| \lim_{\alpha \to \infty} \langle \mathbf{V}(x), \Psi(\alpha, x, x_c) \rangle \right| = \left| \lim_{\alpha \to \infty} \sqrt{\frac{2}{3}} \pi^{-3/4} \alpha^{5/4} \int_{r=0}^{\infty} \int_{\theta=0}^{\pi} \Theta^T(\theta, \varphi) V(r, \theta, \varphi) r^3 e^{-\frac{3}{2}r^2} \sin \theta d\varphi dr \right| 
\]

\[
\leq C \max_{x \in \mathbb{R}^3} |\Theta^T(\theta, \varphi) V(x)| \lim_{\alpha \to \infty} \alpha^{5/4} \int_{r=0}^{\infty} r^3 e^{-\frac{3}{2}r^2} dr \]  

(2.23)

\[
= C \max_{x \in \mathbb{R}^3} |\Theta^T(\theta, \varphi) V(x)| \lim_{\alpha \to \infty} \alpha^{5/4} \frac{1}{2(\alpha/2)^2} 
\]

\[
= 2C \max_{x \in \mathbb{R}^3} |\Theta^T(\theta, \varphi) V(x)| \lim_{\alpha \to \infty} \alpha^{-3/4} = 0,
\]

where \( 0 < C < \infty, \Theta = (x - x_c)/|x - x_c|, \) and the following standard integral was used:

\[
\int_0^\infty r^{2n+1} e^{-mr^2} dr = \frac{n!}{2^{n+1} m^{n+1}}, \quad p > 0, \quad n = 0, 1, 2, \ldots \]  

(2.24)

6. In the case of a weakly singular integral operator with finite spatial support \( D \) we proceed as follows:

\[
\lim_{\alpha \to \infty} \left\| \int_{x' \in D} \frac{\mathcal{K}(x, x')}{|x - x'|^\beta} \Psi(\alpha, x, x_c) \, dx \right\|_2^2 = \lim_{\alpha \to \infty} \int_{x' \in D} \left\| \int_{x \in D} \frac{\mathcal{K}(x, x')}{|x - x'|^\beta} \Psi(\alpha, x, x_c) \, dx \right\|_2^2 
\]

\[
= \lim_{\alpha \to \infty} \int_{x' \in D} \left\| \int_{x \in D} \frac{\mathcal{K}(x, x')}{|x - x'|^\beta} \left[ \Psi_2(\alpha, x, x_c) + \Psi_1(\alpha, x, x_c) \right] \, dx \right\|_2^2 
\]

\[
\leq \lim_{\alpha \to \infty} \int_{x' \in D} \left\| \int_{x \in D} \frac{\mathcal{K}(x, x')}{|x - x'|^\beta} \Psi_1(\alpha, x, x_c) \, dx \right\|_2^2 
\]

\[
+ \lim_{\alpha \to \infty} \int_{x' \in D} \left\| \int_{x \in D} \frac{\mathcal{K}(x, x')}{|x - x'|^\beta} \Psi_2(\alpha, x, x_c) \, dx \right\|_2^2, 
\]

where the original function \( \Psi \) is split into two complementary parts with respect to a small volume around \( x_c \) in such a way that:

\[
\Psi(\alpha, x, x_c) = \Psi_1(\alpha, x, x_c) + \Psi_2(\alpha, x, x_c), 
\]

\[
\Psi_1(\alpha, x, x_c) = 0, \quad x \in \mathbb{R}^3 \setminus V(\delta), 
\]

\[
\Psi_2(\alpha, x, x_c) = 0, \quad x \in V(\delta). 
\]  

(2.26)
The last integral in (2.25) is estimated like this

$$
\lim_{\alpha \to \infty} \int_{x' \in D} \left| \int_{x \in D} \frac{K(x, x')}{|x - x'|^\beta} \Psi_2(\alpha, x, x_c) \, dx \right|^2 \, dx' \tag{2.27}
$$

$$
= \lim_{\alpha \to \infty} \|K\Psi_2\|^2 \leq \|K\|^2 \lim_{\alpha \to \infty} \|\Psi_2\|^2 = 0,
$$

where we have used the fact that the norm of a weakly singular operator on \( D \) is bounded, and the previously derived property (2.14). This means that we can choose \( \delta = \alpha^{-1/m}, \ m \geq 3 \). The remaining integral in (2.25) requires considerably more work. We shall split the domain of integration over \( x' \) in two parts using yet another small volume \( V(\delta') \) surrounding the point \( x_c \). Then, taking (2.26) into account, we obtain

$$
\lim_{\alpha \to \infty} \int_{x' \in D \setminus V(\delta')} \left| \int_{x \in D \setminus V(\delta')} \frac{K(x, x')}{|x - x'|^\beta} \Psi_1(\alpha, x, x_c) \, dx \right|^2 \, dx' \tag{2.28}
$$

$$
+ \lim_{\alpha \to \infty} \int_{x' \in V(\delta')} \left| \int_{x \in V(\delta')} \frac{K(x, x')}{|x - x'|^\beta} \Psi_1(\alpha, x, x_c) \, dx \right|^2 \, dx'.
$$

Proceeding with the first of the above integrals we apply the Cauchy-Schwartz inequality and arrive at

$$
\lim_{\alpha \to \infty} \int_{x' \in D \setminus V(\delta')} \left| \int_{x \in V(\delta')} \frac{K(x, x')}{|x - x'|^\beta} \Psi_1(\alpha, x, x_c) \, dx \right|^2 \, dx' \tag{2.29}
$$

$$
\leq \lim_{\alpha \to \infty} \int_{x' \in D \setminus V(\delta')} \int_{x \in V(\delta')} \left| \frac{K(x, x')}{|x - x'|^\beta} \Theta \right|^2 \, dx \int_{x \in V(\delta')} |\Psi_1(\alpha, x, x_c)|^2 \, dx \, dx' \tag{2.29}
$$

$$
\leq \max_{x, x' \in D} \left| \frac{K(x, x')}{|x - x'|^\beta} \right|^2
$$

$$
\times \lim_{\alpha \to \infty} \int_{x' \in D \setminus V(\delta')} \int_{x \in V(\delta')} \frac{1}{|x - x'|^\beta} \, dx \, dx' \int_{x \in V(\delta')} |\Psi_1(\alpha, x, x_c)|^2 \, dx
$$

Now, if we choose the spherical volume \( V(\delta') \) with radius \( \delta' \) to be larger than the spherical volume \( V(\delta) \) with radius \( \delta \), then for \( x \in V(\delta) \) and \( x' \in D \setminus V(\delta') \), the distance factor \( |x - x'| \) will be bounded from below by the difference of the radii of the two volumes. In the estimate (2.27) we have used \( \delta = \alpha^{-1/m}, \ m \geq 3 \). Hence, for sufficiently large \( \alpha \) we can choose \( \delta' = \delta^{1/n} = \alpha^{-1/(nm)} \) with integer \( n \geq 2 \). In this
Thus, we have shown that (2.25) is, indeed, zero. Applying the Cauchy-Schwartz inequality we estimate the last integral in (2.28) as follows:

\[
\lim_{\alpha \to \infty} K \int_{x' \in V(\delta')} \int_{x \in V(\delta)} \left| K(x, x') \right| \frac{1}{|x - x'|^\beta} \Psi_1(\alpha, x, x_c) \, dx \, dx' \leq \int_{x' \in V(\delta')} \int_{x \in V(\delta)} \frac{1}{|x - x'|^\beta} \Psi_1(\alpha, x, x_c)^2 \, dx \, dx'
\]

\[
\leq M \int_{x' \in V(\delta')} \int_{x \in V(\delta)} \frac{|\Psi_1(\alpha, x, x_c)|^2}{|x - x'|^\beta} \, dx \, dx'
\]

\[
= N \int_{\alpha \in \infty} \frac{(\delta')^{6-2\beta}}{\beta} \Psi_1(\alpha, x, x_c)^2 \, dx = N \lim_{\alpha \to \infty} \alpha^{(6-2\beta)/\alpha} \end{array}
\]

where \( \delta' = \alpha^{-1/(nm)} \), \( m \geq 3 \), \( n \geq 2 \), while \( 0 < \beta < 3 \) by the conditions of the theorem. Thus, we have shown that (2.25) is, indeed, zero. 

3. Electromagnetic singular modes. Consider the volume integral equation of electromagnetic scattering on a nonmagnetic object of finite spatial extent \( D \):

\[
E_{\text{in}}(x, \omega) = E(x, \omega) - \left[ k_0^2(\omega) + \nabla \cdot \right] \int_{x' \in D} g(x - x', \omega) \chi(x', \omega) E(x', \omega) \, dV', \tag{3.1}
\]

where \( E_{\text{in}} \) and \( E \) are the incident and total electric fields, correspondingly. This equation is obtained directly from the frequency-domain Maxwell's equations and takes into account the radiation condition at infinity in a most natural form. The medium parameters are contained in the contrast function \( \chi \), which in terms of the complex permittivity function \( \varepsilon \) will look like

\[
\chi(x, \omega) = \frac{\varepsilon(x, \omega)}{\varepsilon_0} - 1 = \varepsilon_r(x, \omega) - 1. \tag{3.2}
\]
The vacuum wavenumber is \( k_0 = \omega/c \), and the scalar Green’s function is given by

\[
g(x, \omega) = \frac{e^{ik_0|x|}}{4\pi|x|}.
\]  

(3.3)

Carrying out the two spatial derivatives we arrive at the following singular integral equation:

\[
E^{in}(x, \omega) = \left[ 1 + \frac{1}{3} \chi(x, \omega) \right] E(x, \omega)
- \lim_{\delta \to 0} \int_{x' \in D \setminus |x - x'| < \delta} G_0(x - x') \chi(x', \omega) E(x', \omega) \, dx' 
- \int_{x' \in D} G_1(x - x', \omega) \chi(x', \omega) E(x', \omega) \, dx'.
\]  

(3.4)

For the definitions of the Green tensors \( G_0 \) and \( G_1 \) we refer to our previous publication on this subject [7].

**Theorem 3.1.** The vector-valued function \( \Psi(\alpha, x, x_c) \) defined in Theorem 2.1 generates the essential mode of the electromagnetic field corresponding to the point of essential spectrum

\[
\lambda_{\text{ess}} = \varepsilon_r(x_c, \omega).
\]  

(3.5)

**Proof.** Since we have already established the normalization (Property 1) and the singularity of the sequence (Property 2) in Theorem 2.1, we only need to prove the following analogue of (1.2):

\[
V = \lim_{\alpha \to \infty} \left\| \left[ 1 + \frac{1}{3} \chi(x, \omega) \right] \Psi(\alpha, x, x_c)
- \lim_{\delta \to 0} \int_{x' \in D \setminus |x - x'| < \delta} G_0(x - x') \chi(x', \omega) \Psi(\alpha, x', x_c) \, dx'
- \int_{x' \in D} G_1(x - x', \omega) \chi(x', \omega) \Psi(\alpha, x', x_c) \, dx' - \lambda \Psi(\alpha, x, x_c) \right\|_D^2
= \lim_{\alpha \to \infty} \left\| \left[ \frac{2}{3} + \frac{1}{3} \varepsilon_r(x) - \lambda \right] \Psi(\alpha, x, x_c)
- \lim_{\delta \to 0} \int_{x' \in D \setminus V(\delta)} G_0(x - x') \left[ \varepsilon_r(x') - \varepsilon_r(x) + \varepsilon_r(x) - 1 \right] \Psi(\alpha, x', x_c) \, dx'
- \int_{x' \in D} G_1(x - x', \omega) \left[ \varepsilon_r(x') - 1 \right] \Psi(\alpha, x', x_c) \, dx' \right\|_D^2 = 0,
\]  

(3.6)
for \( \lambda = \varepsilon_i(x_c, \omega) \). The \( L_2 \) norm is taken over the finite spatial support \( D \). First, we rearrange (3.6) and decompose it into separate terms

\[
V \leq \lim_{\alpha \to \infty} \left\| [\varepsilon_i(x) - \lambda] \Psi(\alpha, x, x_c) \right\|_D^2 \\
+ \lim_{\alpha \to \infty} \left\| \left[ 1 - \varepsilon_i(x) \right] \left[ \frac{2}{3} \Psi(\alpha, x, x_c) + \lim_{\delta \to 0} \int_{x' \in D \setminus V(\delta)} G_0(x - x') \Psi(\alpha, x', x_c) \, dx' \right] \right\|_D^2 \\
+ \lim_{\alpha \to \infty} \left\| \lim_{\delta \to 0} \int_{x' \in D \setminus V(\delta)} G_0(x - x') \left[ \varepsilon(x) - \varepsilon(x', \omega) \right] \Psi(\alpha, x', x_c) \, dx' \\
- \int_{x' \in D} G_1(x - x', \omega) \left[ \varepsilon_i(x') - 1 \right] \Psi(\alpha, x', x_c) \, dx' \right\|_D^2.
\]

(3.7)

With \( \varepsilon_i(x, \omega) \) Hölder-continuous in \( \mathbb{R}^3 \) all integral operators in the last term are weakly singular. Hence, from the Property 6 of Theorem 2.1 the last term in (3.7) is zero.

From the second term in (3.7) we only consider the expression in the large square brackets, which we extend to \( \mathbb{R}^3 \). Denoting by \( F \{ \ldots \} \) and \( F^{-1} \{ \ldots \} \) the forward and inverse three-dimensional Fourier transforms, we obtain

\[
\frac{2}{3} \Psi(\alpha, x, x_c) + \lim_{\delta \to 0} \int_{x' \in \mathbb{R}^3 \setminus V(\delta)} G_0(x - x') \Psi(\alpha, x', x_c) \, dx' \\
= F^{-1} \left\{ \frac{2}{3} F \{ \Psi(\alpha, x, x_c) \} + F \left\{ \lim_{\delta \to 0} \int_{x' \in \mathbb{R}^3 \setminus V(\delta)} G_0(x - x') \Psi(\alpha, x', x_c) \, dx' \right\} \right\} \\
= F^{-1} \left\{ \frac{2}{3} \hat{\Psi}(\alpha, k, x_c) + \left[ \frac{1}{3} I - \hat{Q} \right] \hat{\Phi}(\alpha, k, x_c) \right\} \\
= F^{-1} \left\{ \hat{\Psi}(\alpha, k, x_c) - \frac{kV^2}{|k|^2} \hat{\Phi}(\alpha, k, x_c) \right\} = 0,
\]

(3.8)

where we have used the previously derived result about the Fourier transform of a simple singular operator [7], the explicit form of \( \hat{\Psi}(\alpha, k, x_c) \) (Property 3), and the fact that \( kV^2 = |k|^2 \). Hence, the second term in (3.7) is also zero.

Finally, applying Property 4 (sifting) of Theorem 2.1 we see that the first term in (3.7) is zero, if equality (3.5) holds. \( \Box \)

4. Conclusions. Now we know that the electromagnetic essential (singular) mode is, in fact, the square root of the delta function. But what does it mean from the physical point of view? Is it possible to have an “essential” resonance? Under which conditions? Can the electromagnetic field be confined to what seems to be a single point in space? These are open questions. However, one important conclusion can be deduced from the basic principles of the electromagnetic theory.

The resonant excitation of a singular mode is only possible if the essential spectrum contains zero. Physically this means that \( \varepsilon_i(x_c, \omega) = 0 \) at some point \( x_c \) in
the scattering domain $D$. It may seem improbable, but one has to remember that the dielectric permittivity is an effective macroscopic parameter, which has very little meaning for the microscopic induced current densities at the atomic level. Moreover, in the classical Lorentz theory of atoms, the real part of the dielectric permittivity in a dispersive medium can vanish and even become negative (the so-called Lorentz absorption line). Although, in that case the Kramers-Kronig relations predict an increase in the imaginary part of macroscopic $\varepsilon_r$, which corresponds to the absorption of the electromagnetic field. Turning it all around we can say that even if at a microscopic level we could have $\varepsilon_r(x_\text{c}, \omega) = 0$ and excite the corresponding singular mode, it should be absorbed to preserve the macroscopic Kramers-Kronig relations.

In principle, the very fact that singular modes are outside the Hilbert space, where all ‘proper’ solutions of the Maxwell equations live, tells us that the excitation of singular modes can be considered as some kind of ‘deflation’ of the electromagnetic field. Recall that, due to the normalization Property 1 of Theorem 2.1, the electromagnetic energy associated with a singular mode is well defined.

It is also interesting to note the direct relation of the electromagnetic essential spectrum and its singular modes to the pseudospectrum and wave-packet pseudomodes [19]. There is an obvious similarity of the Weyl’s definition [12] and the definition of the pseudospectrum, where instead of zero one should simply put a small $\epsilon$ in the right-hand side of (1.2). Subsequently, we arrive at two distinct possibilities. The first is where $n \to \infty$, i.e., in our case $\alpha \to \infty$. Then, points $\lambda_{\text{ps}}$ satisfying $|\lambda_{\text{ps}} - \varepsilon_r(x, \omega)| \leq \epsilon$ will belong to the pseudospectrum, while the corresponding modes will be singular. The second case is where $\lambda_{\text{ps}} = \lambda_{\text{ess}} = \varepsilon_r(x, \omega)$ or very close to it, but $\alpha \leq \delta(\epsilon)$. In this case, we stop the sequence of $\Psi(\alpha, x_\text{c}, x)$, at some finite $\alpha$, for which the norm in (3.6) equals $\epsilon$. Although, it is difficult to derive an explicit relation for $\delta(\epsilon)$, we can anticipate that $\Psi(\delta(\epsilon), x_\text{c}, x)$ will be highly localized in space around the point $x_\text{c}$. In this case the mode is not singular and belongs to the Hilbert space. These two physically distinct possibilities emphasize the nonunique nature of the pseudospectrum as it is defined in [19] and elsewhere.

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