A statistical study on the development of metronidazole-alginate-chitosan nanocomposite formulations using Full factorial designs

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Abstract

The purpose of this study was to investigate the effect of chitosan (CS) and Alginate (Alg) polymers concentrations and CaCl_{2} concentration on metronidazole (MET) drug loading (LE), size particles and zeta potential. Nanocomposites were prepared by ionotropic pregelation method. A (2^{1} *3^{1} *2^{1}) *3= 36 full factorial design (FFD) was used to predict statistical equation and responses. The MET-CS-AlgNPs nanocomposites were characterized by X-ray diffraction, Fourier-transform infrared spectroscopy, thermal gravimetric analysis, scanning electron microscope and in vitro drug release studies. All data indicated the presence of drug into MET-CS-AlgNPs nanocomposites. The release profile of MET-CS-AlgNPs nanocomposites was found to be sustained

Keywords: Full Factorial Design, Optimization, metronidazole, nanocomposites, sodium alginate, Chitosan
1. Introduction

In pharmacy, the term of optimization can be defined as the formulation and the process to obtain the best combination of product. In optimization steps, one generally experiments by a chain of reasonable steps, changing the variables at a time until an acceptable system is produced. Different types of optimization techniques were used in the literature; like Experimental Design (ED), Formulation by Design (FBD) and Quality by Design (QBD)[1-3].

Previously, every novel pharmaceutical formulation (PF) was designed by studying the influence of variables on the characteristics of dosage form, through changing one single variable at a time while keeping other variables constant (PF). The disadvantage of this technique does not guarantee to give the true optimum process and the product obtained may be suboptimal.

Experimental Design (ED) is a technique that is used specifically to avoid the disadvantage of PF method by examining various problems that are encountered during research, development and production (ED). It is obvious that if experiments are performed randomly, the results obtained will also be random. Therefore, it is essential to plan the experiments in method so that the relevant information will be obtained. Experimental designs (ED) is a method where the independent variables are initially screened to establish and they are more effective to the product [4-6]. The second step is the ‘optimization’; in this step, a number of decisions are to be made, what plan variables will be changed to create an optimal design, and what requirements should be met. This step is the most important step in optimization design, and designers may spend much time on modeling during the optimization process[7]. The third step is called mixture designs; it contains changing mixture content and exploring how these changes will effect on the mixture. ED is a statistical method that describes a set of combinations of variables. The experimental design is chosen depending on several factors; such as number of factors, their levels, possible interactions and the order of the model.

There are various types of ED available out of which method we have to use depending on the parameters estimation. These types such as Factorial designs [8-10], Fractional factorial design [11, 12], Full factorial design (FFD) [13, 14], Plackett-burman designs [15, 16], Central composite design (Box-Wilson design) [17, 18],
Box-behnken designs (BBD) [19, 20], Taguchi design (TD) [21, 22] and Response surface designs (RSD) [23, 24].

Chitosan and alginate which are polymeric materials were widely used in development of pharmaceutical products [25]. Both are non-toxic, stable, hydrophobic for chitosan and hydrophilic for alginate polymers [26, 27]. Chitosan-alginate has been used as sustained release polymers in different dosage forms [25, 26, 28, 29]. A side effect of drugs occurs when administered in large quantities. Sustained release formulations in nanocomposites by a single dose might be a suitable way to decrease the complications and increase of the patient compliance [30-33].

The aim of this work was to investigate the effect of Alg, CS and CaCl₂ on LE, size and zeta potential of MET.

2. Materials and methods

2.1 Materials

The chemicals which were used in this study are metronidazole (C₆H₉N₃O₃ (99% purity), Sigma-Aldrich) and, low viscosity sodium alginate (10-100 kDa), AZ chem., Sigma Aldrich). All HPLC solution was used from VWR (West Chester, PA). All other chemicals including acetic acid, calcium chloride were purchased from Chem CO (England).

2.2 Preparation of CS-AlgNPs nanoparticles and MET-CS-AlgNPs nanocomposites

The modified method is called ionotropic pregelation method was used [34]. Solutions of CS, Alg and CaCl₂ were first prepared. The pH solution of CS and Alg were adjusted to 5.5 and 5, respectively. The first step is the formation of AlgNPs pre-gel by adding 6 ml of different concentrations of aqueous CaCl₂ solution to 10 ml Alg, followed by 30 minutes of stirring. The second step consisted of adding 4 ml of CS solution to the AlgNPs pre-gel with stirring for another 30 minutes. The resultant
composites were stirred overnight at room temperature to form uniform nanoparticles. The same procedure was used to form MET-CS-AlgNPs nanocomposites using only 100 mg MET mixed with Alg solution.

2.3 Methodology

First, we presented the modeling of the responses (loading efficiency, particle size and zeta potentials). Secondly, we build up the FFD to perform the experiments. Then, we used the multiple regressions to develop the loading efficiency, particle size and zeta potentials models responses. Finally, the analysis of concentration variance was used to analyze the experimental data to predict the effects and contribution of parameters on responses.

2.3.1 Modeling of different responses

The loading efficiency percent is the first response which was taken as a parameter and defined as the amount of total entrapped drug divided by the total nanoparticles weight. The second and third responses taken in this work was particle size and zeta potentials. Table 1 shows three independent parameters and their levels.

| Parameter | Low | Medium | High |
|-----------|-----|--------|------|
| Alg (mg)  | 200 | -      | 400  |
| CS (mg)   | 50  | 100    | 200  |
| CaCl₂(mg) | 30  | -      | 60   |

2.3.2 Full Factorial Design (FFD)

FFD is used by researchers in their labs. It consists of several factors with separate possible levels. At FFD, the experiment takes all possible combinations of these levels across all such factors. FFD allows researchers to study the effect of each factor as
well as their interactions on the response variable [35]. In our study, we used the FFD to conduct the experiments. Therefore, \((2^1 \times 3^1 \times 2^1) \times 3 = 36\) combinations were used corresponding to \(n = 3\) parameters or factors (CS, Alg and CaCl₂).

**Table 2: Experimental Parameter**

| Std Order | Run Order | Sample Code | Alg | CS | CaCl₂ |
|-----------|-----------|-------------|-----|----|-------|
| 17        | 1         | MAC1        | 200 | 200| 30    |
| 24        | 2         | MAC2        | 400 | 200| 60    |
| 10        | 3         | MAC3        | 400 | 100| 60    |
| 2         | 4         | MAC4        | 200 | 50 | 60    |
| 35        | 5         | MAC5        | 400 | 200| 30    |
| 20        | 6         | MAC6        | 400 | 50 | 60    |
| 32        | 7         | MAC7        | 400 | 50 | 60    |
| 6         | 8         | MAC8        | 200 | 200| 60    |
| 22        | 9         | MAC9        | 400 | 100| 60    |
| 29        | 10        | MAC10       | 200 | 200| 30    |
| 36        | 11        | MAC11       | 400 | 200| 60    |
| 14        | 12        | MAC12       | 200 | 50 | 60    |
| 25        | 13        | MAC13       | 200 | 50 | 30    |
| 5         | 14        | MAC14       | 200 | 200| 30    |
| 9         | 15        | MAC15       | 400 | 100| 30    |
| 1         | 16        | MAC16       | 200 | 50 | 30    |
| 31        | 17        | MAC17       | 400 | 50 | 30    |
| 26        | 18        | MAC18       | 200 | 50 | 60    |
| 3         | 19        | MAC19       | 200 | 100| 30    |
| 7         | 20        | MAC20       | 400 | 50 | 30    |
| 16        | 21        | MAC21       | 200 | 100| 60    |
| 11        | 22        | MAC22       | 400 | 200| 30    |
| 28        | 23        | MAC23       | 200 | 100| 60    |
| 27        | 24        | MAC24       | 200 | 100| 30    |
| 13        | 25        | MAC25       | 200 | 50 | 30    |
| 23        | 26        | MAC26       | 400 | 200| 30    |
| 30        | 27        | MAC27       | 200 | 200| 60    |
| 15        | 28        | MAC28       | 200 | 100| 30    |
| 34        | 29        | MAC29       | 400 | 100| 60    |
| 18        | 30        | MAC30       | 200 | 200| 60    |
| 12        | 31        | MAC31       | 400 | 200| 60    |
| 19        | 32        | MAC32       | 400 | 50 | 30    |
| 4         | 33        | MAC33       | 200 | 100| 60    |
| 8         | 34        | MAC34       | 400 | 50 | 60    |
| 33        | 35        | MAC35       | 400 | 100| 30    |
| 21        | 36        | MAC36       | 400 | 100| 30    |
2.3.3 Multiple Regression method

Multiple Regressions is a statistical method that is used to estimate the correlation between dependent and independent variables. The term of correlation coefficient ($R^2$) indicates how well data fit the multiple regression model. It provides a measure of how well observed outcomes are replicated by the model, as the proportion of total variation of outcomes explained by the model. An $R^2$ close to 1 indicates that the regression model perfectly fits the data.

2.4 The metronidazole loading efficiency

The high speed centrifugation instrument was used in this work to determine the loading efficiency (LE) of MET in prepared nanocomposites. The method was as follows: 2.0 ml of suspension was centrifuged (Hettich Universal 30 RF) at 10000 rpm for 10 min. Finally, the free drug was measured by high performance liquid chromatography (HPLC, Shimadzu, Japan). The UV detection wavelength was 323 nm, in addition the column properties was Venusil C18 column (4.6 mm × 250 mm, 5 μm), 25 °C. The mobile phase prepared was set by mix acetonitrile/ 0.1% with phosphoric acid (5:95, v/v) and the flow rate was 1.0 ml/min. The LE were calculated as follows:

$$\text{% Loading Efficiency (LE)} = \frac{T_p - T_f}{\text{mass of nanoparticles}} \times 100$$

where $T_p$ is the total MET used to prepare the nanocomposites, and $T_f$ is the free MET in the supernatant.
2.5 Particle size, and zeta potential of nanocomposites

Particle size and zeta potential of nanocomposites were analyzed through dynamic light scattering (DLS) with Zetasizer Nano S (Malvern, UK) at The Arab Pharmaceutical Manufacturing. The analysis was performed in triplicate at a temperature of 25 °C.

2.6 Controlled release study of the MET from the nanocomposites

_In-vitro_ release of MET from nanocomposites is determined by primary method in HCl with pH 1.2, using a Perkin Elmer UV-Vis spectrophotometer with \( \lambda_{\text{max}} \) of 323 nm. A suitable amount of each nanocomposite was added to 2 mL of the media. The cumulative amount of drug released into the solution was measured at preset time intervals at corresponding \( \lambda_{\text{max}} \).

The percentage release of the MET into the release media was obtained by:

\[
\text{%Release} = \left( \frac{\text{Concentration of MET at time t (ppm)}}{\text{Concentration corresponding to 100% release of MET (ppm)}} \right) \times 100
\]

(2)

The concentration which corresponding to 100% release was obtained by adding a known amount of nanocomposites in 2 mL HCl in addition to using sonicate and heat the nanocomposites at 37 °C.

2.7 Instrumentation

Powder X-ray diffraction (XRD) patterns were used to determine the crystal structure of the samples in the range of 2–70 degrees on an XRD-6000 diffractometer (Shimadzu, Tokyo, Japan) using CuK\(_\alpha\) radiation (\( \lambda = 1.5406 \) Å) at 30 kV and 30 mA at University Putra Malaysia. Fourier transform infrared spectroscopy (FTIR) spectra of
the materials were recorded over the range of 400–4000 cm$^{-1}$ on Perkin Elmer (model Smart UAIR-two). Thermogravimetric analysis was carried out using a Metter-Toledo 851e instrument (Switzerland) with a heating rate of 10°C min$^{-1}$, in 150 μL alumina crucibles and in the range of 30°C–900°C. The zeta potential was measured at 25 ºC by dynamic light scattering (DLS) using a Malvern Zetasizer Nano ZS (Malvern Instruments, Malvern, UK). UV-Vis spectra were measured to determine the release, using a Shimadzu UV-1601 spectrophotometer at Isra University.

3. Results and discussion

3.1 X-ray diffraction (XRD) for MET-CS-AlgNPs nanocomposites

From the literature, the XRD diffract gram of CS have crystalline properties with intense peak at 20 equals to 19.7º. At the same time, the XRD diffract gram of Alg have a semi-crystalline properties with a peak at 20 equals to 13.6º[36]. XRD patterns of pure MET, CS-AlgNPs and MET-CS-AlgNPs nanocomposites formulations are illustrated in Figure 1. The CS-AlgNPs and MET-CS-AlgNPs showed overlap between patterns of CS and Alg, which leads to the appearance of peaks at 2Θ= 14.5º and 20.6º, whereas peaks for AlgNPs appeared without any overlap at 2Θ=39.1º. Similar to the last data, the figure does not show any high intensity peak for MET.
Figure 1 XRD diffraction spectra of MET (A), CS-AlgNPs (B) and MET-CS-AlgNPs (C)

3.2 FTIR spectroscopic analysis of CS-AlgNPs and MET-CS-AlgNPs

FTIR spectra of MET, CS-AlgNPs and MET-CS-AlgNPs are presented in Figure 2. For CS-AlgNPs, a band at 3296 cm\(^{-1}\) was observed due to O-H and N-H stretching. Absorptions due to vibration asymmetry CH\(_2\) and symmetry CH\(_2\) were located at 2930 cm\(^{-1}\) and 2850 cm\(^{-1}\), respectively. The strong band near 1589 cm\(^{-1}\) corresponded to vibration C=O, vibration C-N and bending N-H (amide I). A symmetric stretching band of the COO\(^-\) group was centered near 1420 cm\(^{-1}\).[37]
For MET-CS-AlgNPs, some bands were downshifting, for example 3296 cm\(^{-1}\) to 3283 cm\(^{-1}\), from 1589 cm\(^{-1}\) to 1585 cm\(^{-1}\) and from 1408 cm\(^{-1}\) to 1413 cm\(^{-1}\); this can be explained by the interaction between MET and CS-AlgNPs.

![FTIR spectra of MET (A), CS-AlgNPs (B) and MET-CS-AlgNPs (C)](image)

**Figure 2** FTIR spectra of MET (A), CS-AlgNPs (B) and MET-CS-AlgNPs (C)

3.3 Thermogravimetric analysis (TGA) of MET-CS-AlgNPs nanocomposites

Thermal decomposition process of MET-CS-AlgNPs nanocomposites and pure counterparts CS-AlgNPs was evaluated by TGA. This analysis curves provided the percentage mass loss during thermal decomposition was investigated (Figure 2). The obtained results showed that a pure MET sample undergoes a one-stage thermal degradation process, while CS-AlgNPs and MET-CS-AlgNPs samples are degraded in a three-stage process. For MET sample, the decomposition process occurred
between 137 and 288°C and had a mineral residue of 0.9%. [38], which is due to the vaporization of volatile components [39].

The CS-AlgNPs show three main thermal stages, the first stage of the decomposition process occurred between 60 and 200°C, which is due to vaporization of volatile components, such as water molecule immobilize between chitosan chains during the coating [40]. Focusing on the structure of CS and Alg, it can be seen that H₂O molecules can be bounded by hydroxyl group[41]. The second responsible weight loss occurred between 200 and 520°C due to the release of water bounded to the functional groups of CS and Alg polymers, which was not completely removed in the first step of dehydration, also degradation of both polymers.

A third inflection point was occurred between 520 and 800 °C which may be associated with the decomposition of functional groups of both polymers which were not completely removed by previous stages.

The TGA of MET-CS-AlgNPs at Figure 2 shows also three weight loss steps similar to CS-AlgNPs. The MET-CS-AlgNPs showed 70.2 % weight loss comparing to 55.3% for CS-AlgNPs. The extra weight loss at this stage is due to the incorporation of MET in the CS-AlgNPs.
Figure 3 TGA curves are shown MET, CS-AlgNPs and MET-CS-AlgNPs nanocomposites

3.4 Scanning Electron Microscopy (SEM)

| A | B |
|---|---|
| ![Image](image1.png) | ![Image](image2.png) |

Figure 4 Scanning electron microscopy (SEM) micrographs (100000× magnification) of CS-AlgNPs (A) and MET-CS-AlgNPs (B)

The CS-AlgNPs and metronidazole loaded CS-AlgNPs were morphologically characterized using SEM as shown in Figure 4. The micrographs of CS-AlgNPs at Figure 4A show that the nanoparticle has a smooth surface and spherical shape as found in the literature [42]. Figure 4B shows that metronidazole loaded CS-AlgNPs nanocomposites had also spherical shape.
3.5 Multiple linear regression analysis using full quadratic

The linear (CS, Alg, and CaCl₂), linear-square (CS*CS, Alg*Alg, and CaCl₂*CaCl₂), linear-interaction equations (CS*Alg, CS*CaCl₂, and Alg*CaCl₂) have been fitted using Minitab software for LE, size and zeta potential variables. The equations can be given in terms of the coded values of the independent variables as the following (Table 3):

Table 3 Regression model for dependent variables

| Regression model                      | R-sq  | R-sq (adj) |
|---------------------------------------|-------|------------|
| LE= -46.07 +0.3252 Alg - 0.0045 CS + 2.5210 CaCl₂ 0.000108 CS*CS + 0.000167 Alg*CS - 0.009332 Alg*CaCl₂ + 0.000878 CS*CaCl₂ | 98.91% | 98.62%     |
| Size= 96.7 - 0.4660 Alg + 2.856 CS + 2.256 CaCl₂ - 0.006495 CS*CS + 0.001552 Alg*CS - 0.00362 Alg*CaCl₂ - 0.02457 CS*CaCl₂ | 98.68% | 98.19%     |
| Potential= -43.80 + 0.11391 Alg - 0.1673 CS + 0.8187 CaCl₂ + 0.000595 CS*CS + 0.000022 Alg*CS - 0.002121 Alg*CaCl₂ + 0.000305 CS*CaCl₂ | 99.35% | 99.02%     |

Table 3 displayed the regression model for three dependent variables for LE, size and zeta potential. The LE model summary that R-square values were found as 98.91%, 98.68% and 99.35%, respectively; in addition, the Adj-R-square values were found as 98.62%, 98.19% and 99.02% respectively.
Table 4 ANOVA for LE, size and zeta potential

|                | DF | Adj SS      | Adj MS     | F value | Coef | T Value | VIF | P value |
|----------------|----|-------------|------------|---------|------|---------|-----|---------|
| **LE model**   |    |             |            |         |      |         |     |         |
| Model          | 7  | 9585.39     | 1369.34    | 337.95  | 47.908 | 67.86   | -   | 0.000   |
| Alg            | 1  | 1771.00     | 1771.00    | 437.07  | -7.385 | -20.91  | 1.04| 0.000   |
| CS             | 1  | 431.85      | 431.85     | 106.58  | -4.361 | 10.32   | 1.04| 0.000   |
| CaCl₂          | 1  | 208.24      | 208.24     | 51.39   | -2.532 | -7.17   | 1.04| 0.000   |
| CS*CS          | 1  | 2.09        | 2.09       | 0.51    | -0.605 | -0.72   | 1.03| 0.480   |
| Alg*CS         | 1  | 236.52      | 136.52     | 9.01    | 1.252  | 3.00    | 1.04| 0.006   |
| Alg*CaCl₂      | 1  | 6545.51     | 6545.51    | 1615.40 | -13.998| -40.19  | 1.01| 0.000   |
| CS*CaCl₂       | 1  | 22.71       | 22.71      | 5.60    | 0.987  | 2.37    | 1.04| 0.026   |
| Lack-of-fit    | 4  | 20.77       | 5.19       | 1.35    | -      | -       | -   | 0.283   |
| **Size model** |    |             |            |         |      |         |     |         |
| Model          | 7  | 141548      | 202.86     | 185.00  | 51.90 | -       | -   | 0.000   |
| Alg            | 1  | 45889       | 45889.3    | 460.35  | -43.50 | -21.46  | 1.10| 0.000   |
| CS             | 1  | 30270       | 30270      | 303.67  | 44.42  | 17.43   | 1.12| 0.000   |
| CaCl₂          | 1  | 19575       | 19574.9    | 196.37  | -28.53 | -14.01  | 1.12| 0.000   |
| CS*CS          | 1  | 6104        | 6103.7     | 61.23   | -36.54 | -7.83   | 1.13| 0.000   |
| Alg*CS         | 1  | 1963        | 1962.6     | 19.69   | 11.64  | 4.44    | 1.19| 0.000   |
| Alg*CaCl₂      | 1  | 700         | 700.2      | 7.02    | -5.43  | -2.65   | 1.06| 0.016   |
| CS*CaCl₂       | 1  | 11146       | 11145.6    | 111.81  | -27.64 | -10.57  | 1.17| 0.000   |
| Lack-of-fit    | 4  | 173         | 43.4       | 0.38    | -      | -       | -   | 0.821   |
| **Potential model** |     |             |            |         |      |         |     |         |
| Model          | 7  | 399.875     | 57.125     | 303.51  | -10.501| -44.19  | -   | 0.000   |
| Alg            | 1  | 85.093      | 85.093     | 452.11  | 2.1189 | 21.26   | 1.07| 0.000   |
| CS             | 1  | 0.256       | 0.256      | 1.36    | 0.133  | 1.17    | 1.19| 0.263   |
| CaCl₂          | 1  | 191.991     | 191.991    | 1020.07 | 3.308  | 31.94   | 1.25| 0.000   |
| CS*CS          | 1  | 30.172      | 30.172     | 160.31  | 3.347  | 12.66   | 1.13| 0.000   |
| Alg*CS         | 1  | 0.404       | 0.404      | 2.15    | 0.164  | 1.47    | 1.17| 0.165   |
Table 4 displayed the ANOVA table for the second-order model proposed for LE, zeta size and zeta potential given in suggested models. It is clear that the P-value is less than 0.05 showing the model which is significant at 95% confidence level. These LE, zeta size and zeta potential models show that lack-of-fit error value is insignificant (0.283, 0.821 and 0.432 respectively) indicating that the fitted model is accurate enough to predict the response. The mathematical models were developed to determine the optimal values of the CS-Alg-MET formulations conditions leading to maximum value of LE, minimum value of zeta size and negative value (~ 20mV) of zeta potential.

### 3.6 Evaluation of the models

#### 3.6.1 Pareto chart of responses standardized effect and Normal Plot of the Standardized effects

A Pareto charts at Figure 5 is a graphical overview of the process factors and/or interactions of influence, in ranking order from the most influencing to the least influencing. A threshold line (P value 0.05) indicates the minimum magnitude of statistically significant effects, considering the statistical significance of 95%.

The Figure 5A indicates that the effect of BB i.e. CS x CS is statistically insignificant toward LE. The effect of AC (Alg x CaCl₂) has the highest standardized effect on the LE followed by A, B, C, AB and BC. Hence, the term BB should not be considered for the empirical relation. The insignificance of factor BB can also be reasserted from the normal plot (Figure 6A), in which, the points that do not fall near the fitted line
are important. The factors having negligible effect on the output response tend to be smaller and are centered around zero.

The Figure 5B represents the effect of different parameters toward zeta size. The results indicate that all the effect is statistically significant. The effect of A (Alg) has the highest standardized effect on the zeta size followed by B, C, BC, BB, AB and AC. Hence, the term BB should not be considered for the empirical relation. All the significance of factors can be shown at the normal plot (Figure 6B).

Figure 5C shows the different factors effect on the zeta potential response. It can be clearly seen that main factors (A, and C), Square factors (B*B) and 2-way interaction (A*C and B*C) have a statistically significant effect on the response. The effect of C (CaCl₂) has the highest standardized effect on the zeta potential followed by AC, A, BB, and BC. Hence, the terms AB and B should not be considered for the empirical relation. The insignificance of the factors AB and B can also be reasserted from the normal plot (Figure 6C), in which, the points that do not fall near the fitted line are important.
Figure 5 Pareto Chart of the Standardized effects toward LE (A), zeta size (B) and zeta potential (C)

Figure 6 Normal Plot of the Standardized effects toward LE (A), zeta size (B) and zeta potential (C)
3.6.2 Normal probability plot

Normal probability is used commonly in the drug formulation. For example, Ghadiri, M [43], and Javaid [44] recommend use of these plots for estimating the goodness of fit of a hypothesized distribution. If the sample is actually distributed as hypothesized, one would expect the plot of the ordered observations at Y axis versus the order statistic means at X axis to be approximately linear. Thus the correlation coefficient which measures the degree of linear association between two random variables is an appropriate test statistic. A correlation coefficient of 1.0 shows a perfect correlation between two variables.

In our work, the residual analysis was employed to study the random behavior of the residuals, and normal probability was prepared for residual errors of LE, zeta size and zeta potential responses, as shown in Figure7 (A-C). The plot of this figure is normally distributed and resembles a straight line. There is no evidence of non-normality and any pointing to possible outliers.

![Figure 7 Normal probability plots for LE (A), zeta size (B), and zeta potential (C).](image-url)
### 3.6.3 Residuals versus fitted value

The residual analysis plot consists of residuals and fitted value on the y and x axis, respectively. The plot is used to detect three things, its non-linearity, unequal error variances, and outliers.

At Figure 8 A-C, the residuals "bounce randomly" around the zero line; this indicates that the relationship is linear and reasonable. In addition, the residuals roughly form a "horizontal band" around the zero line, which indicates that the variances of the error terms are equal. Finally, there is no one residual "stands out" from the basic random pattern of residuals and this means that there are no outliers.

Figure 8 Residuals versus fitted value for LE (A), zeta size (B), and zeta potential (C).
3.6.4 Residuals versus observation order

Figure 9 Residuals versus observation order for LE (A), zeta size (B) and zeta potential (C).

The use of the residuals versus observation order is to verify the assumption that the residuals are independent from one another. Independent residuals show no trends or patterns when displayed in time order. The residuals at Figure 9 (A-C) fall randomly around the center line, this is indicating that residuals are near each other, correlated, and thus, not independent.
3.6.5 Contour plot and Surface plot of LE and zeta size against selected independent variables

![Contour Plot of LE vs CS; Alg](image1)

![Contour Plot of LE vs CaCl2; Alg](image2)

![Contour Plot of LE vs CaCl2; CS](image3)

Figure 10 the contour plot and response surface of the LE with variances of CaCl₂, CS and Alg concentrations.

The effect of the formulation and process variables on LE response can be evaluated by studying the contour and response surface plots. From Figure 10 A-1, the response plots of LE as a function of CS and Alg concentrations. Increasing the concentration of CS and decreasing the Alg can afford more space to LE (Figure 10A), at the same time, increasing the concentration of CS and CaCl₂ leads to the increase of LE (Figure 10 C). The Figure 10 C shows dual zone for the highest LE. Increasing the Alg
concentration and decreasing the CaCl$_2$ concentration, in addition to decreasing the Alg concentration and increasing the CaCl$_2$ concentration, lead to high percentage of LE.

**Figure 11** the contour plot and response surface of the zeta size with variances of CaCl$_2$, CS and Alg concentrations.

As can be seen in Figure 11 A-1, the minimum zeta size has been collected by using high concentration of Alg and the lowest concentration of CS. From Figure 11 A-2, the particle size below 50 nm can be prepared by using 50 mg of CS and 400 mg of Alg. As can be seen in Figure 11B-1, the particle size below 120 nm can be
prepared by using 400 mg of Alg and 60 mg of CaCl$_2$ (Figure 11B-2). In the case of CS and CaCl$_2$ variables at Figure 11C, the lower size than 120 nm can be collected by using CS concentration of 200 ng and CaCl$_2$ range between 30 and 60 mg.

Figure 12 the contour plot and response surface of the zeta potential with variances of CaCl$_2$, CS and Alg concentrations.

Figure 12 shows the 3D response surface and contour plot of the combined effect of CS, Alg and CaCl$_2$ on the zeta potential charge. It can be revealed from the plot that all the variables have effect on zeta potential. Figure 12 A shows the combined effect of Alg and CS concentrations. As can be seen, the zeta potential is bigger than -5.0mV and it was obtained at concentration of Alg which is bigger than 300 mg and
CS concentration between 50-75 mg and 160-200 mg; whereas zeta potential is lower than -12.5 mV which was obtained at concentration of Alg below than 300 mg and CS concentration between 60-185 mg. Figure 12B shows the contour plot of the effect of Alg and CaCl$_2$ on the zeta potential. The zeta potential in the Figure obtained between -8 and -18 mV; the zeta potential around 18 mV can be obtained by low concentration of both Alg and CaCl$_2$, whereas zeta potential around -8 mV can be obtained at Alg concentration between 200-350 mg of Alg and with concentration of CaCl$_2$ between 55-60 mg. Figure 12C shows the 3D surface and contour plots represent a rising ridge surface. As the color gets darker, the zeta potential response become at -4 mV, this is occur at high concentration of CaCl$_2$ (55-60 mg) and CS concentrations below 50 mg and higher than 200 mg. The zeta potential response at -4 mV can be collected at low concentration of CaCl$_2$ (below 30 mV) and CS concentration between 75-175 mg.

### 3.6.6 Main Effects Plot for LE, zeta size and zeta potential

![Main Effects Plot for LE, zeta size and zeta potential](image)

Figure 13 Main Effects Plot for LE, zeta size and zeta potential

The main effects plot at Figure 13 use a main effects plot (CS, Alg and CaCl$_2$) to examine differences between level means for LE, zeta size and zeta potential factors.
All factors seem to affect the LE, zeta size and zeta potential because the line is not horizontal. At Figure 13A, Alg with concentration of 200 mg show higher LE comparing with 400 mg Alg. A CaCl₂ with 30 mg has a higher LE mean than 60 mg. The CS also affects the LE. CS with 200 mg had a higher LE mean than 100 and 50 mg.

It is evident from Figure 13B that zeta size is minimum at the highest level of Alg and CaCl₂ and the lowest level of CS.

From Figure 13C, the lines connecting level of CS, Alg and CaCl₂ factors are not horizontal indicating that each factor affects differently. The -11, -10 and -14 mV value of the mean of zeta potential are observed at 200 mg of Alg, 120 mg of CS and 30 mg of CaCl₂.

3.6.7 The interaction between the factor effects toward LE, zeta size and zeta potential

![Interaction Plot for LE Fitted Means](image)

**Figure 14 Interaction effects of factors on the loading efficiency**

The interaction plot at Figure 14, 15 and 16 show how the relationship between one independent factor and a continuous response depends on the value of the second independent factor. This plot displays means for the levels of one factor on the x-axis and a separate line for each level of the other factor. The Parallel lines in interaction effect figure indicate that there is no relationship between the variables. An
interaction occurs, the more nonparallel the lines are, the greater the strength of the interaction becomes.

In this interaction plot, the lines at Figure 14 A and C are parallel; this indicates that there is no relationship between the variables. The interaction at Figure 14B has nonparallel line; this interaction effect indicates that the relationship between Alg and LE depends on the value of CaCl₂. For example, if you use Alg with 300 mg, CaCl₂ with 30, 45 and 60 mg is associated with the 50 % LE mean.

![Interaction Plot for size](image)

**Figure 15 Interaction effects of factors on the zeta size**

As Figure 15 shows, there is an interaction between the Alg*CS (Figure 15A) and CS*CaCl₂ (Figure 15C). The Figure 15A shows that there is a significant interaction between factors Alg and CS. The green and red lines (200 and 125 mg CS respectively) show that the mean size response decreases when the factor Alg level is low. While in Figure 15C, the green, red and blue lines which are corresponding to 60, 45 and 30 mg CaCl₂ respectively shows that the size mean response decreases when the factor CS level is low.
Figure 16 Interaction effects of factors on the zeta potential

At interaction plot for Figure 16A and B, the lines are not parallel; this interaction effect indicates that the relationship between Alg concentration and zeta potential depends on the value of CS (Figure 16A) and CaCl$_2$ (Figure 16B). For example, if we use Alg with concentration 200 mg, then CaCl$_2$ at 30 mg is associated with the -20 mV (Figure 16B). However, if we use Alg with concentration 200 mg, then CS with 50 and 125 mg is associated with the -10 mV (Figure 16A).

3.7 Optimization of LE, size and potential

Table 5 Response optimization plot for different responses

|               | Value   | Alg  | CS  | CaCl$_2$ |
|---------------|---------|------|-----|----------|
| LE            | 70.3%   | 200  | 200 | 60       |
| Maximum Size  | 277nm   | 200  | 136 | 30       |
| Minimum Size  | 43nm    | 400  | 50  | 60       |
| Zeta potential| -19mV   | 200  | 129 | 30       |

In this study, the data was used to build a mathematical model such as linear, linear interaction, linear square and second order model. Table 5 selected mathematical model to optimize the conditions for 70.3% for LE% (200 mg Alg, 200 mg CS and 60 mg CaCl$_2$), minimizing the size (400 mg, 50 mg and 60 mg). Alg with 200 mg, CS 129 mg and 30 mg CaCl$_2$ were determined as optimum zeta potential with -19 mV.
3.7.1 Validation test

The comparison of experimental results with predicted values was shown in Table 6. From the table, the theoretical values for response were close to experimentally obtained values. This result indicates that the mathematical models can be successfully used to predict the LE, zeta size and zeta potential values for any combination of the Alg, CS and CaCl₂ within the range of the performed experimentation.

Table 6 Response optimization for LE, zeta size and zeta potential

| No. | Alg  | CS  | CaCl₂ | %LE     | Zeta Size (nm) | Zeta potential (mV) |
|-----|------|-----|-------|---------|----------------|---------------------|
|     |      |     |       | Exp | Theo | Error % | Exp | Theo | Error % | Exp | Theo | Error % |
| 1   | 300  | 100 | 50    | 45.0 | 43.0 | 4.7     | 115 | 126 | 8.7    | -9.5 | -8.9 | 6.7     |
| 2   | 200  | 200 | 30    | 43.3 | 45.5 | 4.8     | 285 | 277 | 2.9    | -14.5 | -16.2 | 10.5    |
| 3   | 350  | 150 | 40    | 48.8 | 46.0 | 6.1     | 150 | 165 | 9.1    | -10.8 | -11.5 | 6.1     |

3.8 Release properties of MET from MET-CS-AlgNPs nanocomposites

![Figure 17 In vitro release behaviours of MET from MET-CS-AlgNPs nanocomposites in the 0.1N HCl](image)
Figure 17 shows the in vitro MET release profiles from MET-CS-AlgNPs nanocomposites occurred at pH 1.2 HCl solutions. It could be found the MET release process from MAC8, MAC21 and MAC19 nanocomposites were separated into two stages. At the first 10 hours, only 77%, 72% and 81% of MET were released, respectively. The polysaccharides are highly hydrolyzed, so MET can be diffused out quickly and easily [45]. In addition, at the second stage, the release profile of MET presented with slowly sustained release from the nanocomposites.

The data of the cumulative release of the MET from the AMAC8, MAC 21, MAC 19, and MAC 5 nanocomposites were fitted and the results indicate that the release of the MET following the second kinetic model for MAC8, MAC19 and MAC 5 nanocomposites. In addition, the MAC21 nanocomposites follow the first kinetic model (Table 7).

### Table 7 the correlation coefficients ($R^2$) obtained by fitting the MET release data from MET-CS-AlgNPs nanocomposites HCl solutions at pH 1.2.[46-48]

| Samples | Pseudo-first order | Pseudo-second order | Hixson-Crowell model | Korsmeyer-Peppas model |
|---------|-------------------|---------------------|----------------------|------------------------|
| MAC 8   | 0.917             | 0.988               | 0.781                | 0.877                  |
| MAC 21  | 0.943             | 0.856               | 0.734                | 0.882                  |
| MAC 19  | 0.930             | 0.990               | 0.822                | 0.891                  |
| MAC 5   | 0.664             | 0.977               | 0.787                | 0.856                  |

\[
\ln (q_e - q_t) = ln q_e - k_1 t
\]

\[
t/q = 1/k_2 q_e^2 + t/q_e
\]

\[
\frac{3}{2} M_o - \frac{3}{2} q_t = K t
\]

\[
\frac{q_t}{q_e} = K t^n
\]

$q_e$ is the quantity released at equilibrium released
$q_t$ is the quantity released at time (t)
$M_o$ is the initial quantity of drug in the nanocomposite
$q_e$ is the release at infinite time
$k$ is the rate constant of the release kinetics

The correlation coefficient ($R^2$) was calculated for each kinetic model. The results show that the second kinetic model fitted the experimental data better than the first kinetic model. The correlation coefficients ($R^2$) are presented in Table 7.

**Conclusion**

For the multiple linear regression analysis, mathematical models for LE, zeta size and zeta potential were developed using response surface methodology to formulate the input parameters Alg, CS and CaCl$_2$ concentrations. Selected mathematical models showed that the developed response surface methodology models were statistically significant and predictive.
significant and suitable for all sanding conditions to have higher R² and R²-adjusted values. High correlation values were determined between the experimental data and predicted ones. The concentrations of Alg, CS and CaCl₂ with value 200 mg, 200 mg and 60 mg, respectively were determined as optimum conditions resulting in maximum LE; 400 mg, 50 mg and 60 mg respectively, for minimum zeta size and finally 200 mg, 129 mg and 30 mg, respectively for -19 mV zeta potential. The verification experiment was carried out to check the validity of the developed mathematical model that predicted LE, zeta size and zeta potential within the range of 10% error limit.

Declaration of conflicting interests
The authors report no conflicts of interest in this work.

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