Doubly magic nuclei from Lattice QCD forces at $M_{PS} = 469$ MeV/c$^2$

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We perform ab initio self-consistent Green’s function calculations of the closed shell nuclei $^4$He, $^{16}$O and $^{40}$Ca, based on two-nucleon potentials derived from Lattice QCD simulations, in the flavor SU(3) limit and at the pseudo-scalar meson mass of 469 MeV/c$^2$. The nucleon-nucleon interaction is obtained using the HAL QCD method and its short-distance repulsion is treated by means of ladder resummations outside the model space. Our results show that this approach diagonalises ultraviolet degrees of freedom correctly. Therefore, ground state energies can be obtained from infrared extrapolations even for the relatively hard potentials of HAL QCD. Comparing to previous Brueckner Hartree-Fock calculations, the total binding energies are sensibly improved by the full account of many-body correlations. The results suggest an interesting possible behaviour in which nuclei are unbound at very large pion masses and islands of stability appear at first around the traditional doubly-magic numbers when the pion mass is lowered toward its physical value. The calculated one-nucleon spectral distributions are qualitatively close to those of real nuclei even for the pseudo-scalar meson mass considered here.

Introduction. Quantum chromodynamics (QCD) is expected to ultimately explain the structure and the interactions of all hadronic systems, together with small corrections of electroweak origin. At the moment, systematic and non-perturbative calculations of QCD can be carried out only by Lattice QCD (LQCD). Indeed, high-precision studies have been shown to be possible e.g., in the case of single-hadron masses [1]. “Direct” calculations of multi-baryon systems have also been attempted on a lattice by several groups [2–6]. However, the typical excitation energy $\Delta E$ for multi-baryons is one to two orders of magnitude smaller than $O(A_{QCD})$. Accordingly, high statistic data with very large Euclidean times $t \gtrsim \hbar/\Delta E \sim 10$-100 fm/c is required. This is still far beyond reach due to exponentially increasing errors in $t$ and $A$ (the atomic number), as demonstrated theoretically and numerically in recent studies [7–9].

In this work, we follow a different route and perform a first ab initio study for medium mass atomic nuclei directly based on QCD by taking a two-step strategy. In the first step, we extract the nuclear force from ab initio LQCD calculations with the HAL QCD method. This procedure generates consistent two-, three- and many-nucleon forces in a systematic way [10–13]. Note that the HAL QCD interactions obtained directly from spatiotemporal hadron-hadron correlations on the lattice are faithful to the scattering phase shifts and binding-energies by construction. This is done systematically without the fitting procedures needed by phenomenological potentials. Furthermore, explorative studies of three-nucleon potential show that this is weaker than the corresponding nucleon-nucleon (NN) force, in agreement with the empirically observed hierarchy of nuclear forces [14]. Thus, the interaction can be applied to larger nuclei. In the second step, we calculate the properties of nuclei with ab initio many-body methods using the LQCD potentials as input. The advantage of the HAL QCD approach is that one can extract the potential dictating all the elastic scattering states below the inelastic threshold from the lattice data for $t \gtrsim 1$ fm/c [15]. This makes the LQCD calculation of potentials affordable with reasonable statistics, together with the help of advanced computational algorithms [16–19]. We can then take the advantage of the recent developments in nuclear many-body theories to calculate various information on nuclei such as binding energies and spectral distributions. Note that a similar two-step strategy has also been taken in Ref. [20,21] where, however, effective field theories have been used to fit the LQCD data.

Past LQCD studies in the flavor SU(3) limit by the HAL QCD collaboration have led to interactions in both the nucleon and hyperon sectors with masses of the pseudo-scalar meson (which corresponds to the pion) as low as $M_{PS} = 469$ MeV/c$^2$. In these cases, potentials have been obtained for the $^1S_0$ and the coupled $^3S_1$-$^3D_1$ channels [22,23]. Exploratory calculations based on these HAL QCD potentials were performed in the Brueckner Hartree-Fock (BHF) approach [24,25]. This is quantitative enough to give the essential underlying physics for infinite matter but it is less reliable in finite systems. More sophisticated calculations are needed in order to go beyond the mean-field level, which is necessary to properly predict binding energies and to describe the truly complex structures of nuclei at low energy. BHF becomes even more questionable for finite nuclei due to assumptions with the unperturbed single particle spectrum where there is a problem in the choice between a continuous or a gap form, neither of which is completely satisfactory.

Ab initio theories for medium mass nuclei have advanced greatly in the past decade and methods such as coupled cluster and self-consistent Green’s function (SCGF) are now routinely employed to study the structure of full isotopic chains up to Ca and Ni [26–29], with the inclusion of three-nucleon forces [30]. Their use with soft interactions from chiral effective field theory have led to first principle predictions of experimental total binding energies [31,32] and nuclear radii [33–35] with unprecedented accuracies. Interactions like the
HAL QCD potentials pose a bigger challenge for calculations of medium mass isotopes due to their short-distance inter-nucleon repulsion. However, the SCGF method has the advantage that two-nucleon scattering (ladder) diagrams at large momenta—needed to resolve the short-range repulsion—can be dealt with explicitly by solving the Bethe-Goldstone equation (BGE) in the excluded space [36–38]. This route was exploited in the past to study spectral strength distribution but this is a suitable choice to investigate possible self-bound nuclei at large pion masses. In this work, we will focus on this "HAL46 for simplicity.

Formalism. We follow closely the approach of Ref. [38] and focus on the single-particle propagator given by [35, 36]:

\[ g_{\alpha\beta}(\omega) = \sum_{n} \frac{(\chi_{\alpha}^{n})^{*} \chi_{\beta}^{n}}{\omega - \varepsilon_{n}^{+} + i\eta} + \sum_{k} \frac{Y_{\alpha}^{k}(Y_{\beta}^{k})^{*}}{\omega - \varepsilon_{k}^{-} - i\eta}, \]  

where \( \chi_{\alpha}^{n} \equiv \langle \Psi_{n}^{A+1}|c_{\alpha}\Psi_{0}^{A}\rangle \) (\( \Psi_{n}^{A+1} \) and \( \Psi_{0}^{A} \)) are the spectroscopic amplitudes, \( \varepsilon_{n}^{+} \equiv E_{n}^{A+1} - E_{0}^{A} \) (\( \varepsilon_{k}^{-} \equiv E_{k}^{A} - E_{k}^{A-1} \)) are their quasiparticle energies and \( c_{\alpha}^{\dagger}(c_{\alpha}) \) are the second quantisation creation (annihilation) operators. In these definitions, \( |\Psi_{n}^{A+1}\rangle \) and \( |\Psi_{0}^{A}\rangle \) represent the exact eigenstates of the \((A+1)\)-nucleon system, while \( E_{n}^{A+1} \) and \( E_{k}^{A-1} \) are the corresponding energies. We perform calculations within a spherical harmonic oscillator model space, indicated as \( P \), and use Greek indices, \( \alpha, \beta, \ldots \) to label its basis functions. Within this space, the one-body propagator is obtained by solving the Dyson equation with an irreducible self-energy given by

\[ \Sigma_{\alpha\beta}(\omega) = \Sigma_{\alpha\beta}^{(0)} + \sum_{ij} D_{\alpha i j}^{(0)} \left( \frac{1}{\omega - (\mathbf{K} + \mathbf{C}) \pm i\eta} \right) D_{\beta j}. \]  

This expression is the sum of a mean-field (MF) term, \( \Sigma^{(0)} \), and the contributions from dynamical correlations. The coupling (\( D \)) and interactions matrices (\( K \) and \( C \)) are computed in the third-order algebraic diagrammatic construction [ADC(3)] approximation that generates non-perturbative all-order summations of 2p1h and 2h1p configurations, as shown in Fig. [1] [37, 43, 48]. We follow the \( \mathcal{S}\Omega \) approximation of Refs. [38, 49], in which \( \Sigma^{(0)} \) is calculated exactly from the fully-dressed propagator \( g(\omega) \) while \( D, K \) and \( C \) are written in terms of a simplified MF reference propagator, \( g^{\text{REF}}(\omega) \), that is chosen to best approximate \( g(\omega) \) through its first two moments at the Fermi energy (see Ref. [38] for details).

For forces with a sizeable short-range repulsion, like the HAL QCD interactions, usual truncations of the oscillator space (of up to 12 shells in this case) are not sufficient and a resummation of ladder diagrams in the excluded Hilbert space, \( Q = 1 - P \), is required. We do this by solving the BGE in \( Q \) according to Refs. [50, 51] and add the corresponding diagrams to the MF self-energy, which becomes energy dependent [38]:

\[ \Sigma_{\alpha\beta}^{(0)}(\omega) = \sum_{\gamma} \int \frac{d\omega'}{2\pi} T_{\gamma\alpha\beta}(\omega + \omega') g^{\text{REF}}(\omega') e^{i\omega'\eta} \]
\[ = \sum_{\gamma} \sum_{k} T_{\gamma\alpha\beta}(\omega + \varepsilon_{k}) Y_{\beta}^{k}(Y_{\gamma}^{k})^{*}, \]  

where \( T_{\gamma\alpha\beta}(\omega) \) are the elements of the scattering t-matrix in the excluded space. We then extract a static effective interaction that we use to calculate the ADC(3) self-energy (the last term of Eq. [2]) within the model space \( P \). To do this, we solve the Hartree-Fock (HF) equations with the MF potential of Eq. [2]:

\[ \sum_{\beta} \left\{ \langle \alpha | \frac{\mathbf{p}^{2}}{2m_{N}} | \beta \rangle + \Sigma_{\alpha\beta}^{(0)}(\omega = \varepsilon_{\gamma}^{HF}) \right\} \psi_{\beta} = \varepsilon_{\gamma}^{HF} \psi_{\alpha}, \]  

where latin indices label HF states, and define a static interaction in this HF basis similarly to Refs. [38, 52]:

\[ V_{\text{rs},pq} = \frac{1}{2} \left[ T_{\text{rs},pq}^{\text{BE}}(\varepsilon_{\gamma}^{HF} + \varepsilon_{\delta}^{HF}) + T_{\text{rs},pq}^{\text{BE}}(\varepsilon_{\delta}^{HF} + \varepsilon_{\gamma}^{HF}) \right]. \]  

The \( V_{\text{rs},pq} \) matrix elements are then transformed back to the harmonic oscillator space to be used in the computations.

Note that the BGE used to generate \( T_{\text{BE}}^{\text{BE}}(\omega) \) resums scattering states where at least one nucleon is outside the whole model space. Hence, it does not depend on the isotope being computed, neither it suffers from ambiguities with the choice of the single-particle spectrum at the Fermi surface encountered with the usual G-matrix used in BHF calculations. Instead, our approach is very similar to the Bloch-Horowitz...
FIG. 2. (Color online) Ground state energy of $^4$He, $^{16}$O and $^{40}$Ca as a function of the harmonic oscillator frequency, $\hbar\Omega$, and the model space size, $N_{\text{max}}$. Symbols mark the results for the HAL469 potential from full self-consistent calculations in the $T^{BGE}(\omega)$ plus ADC(3) approach.

The method of Ref. [53], of which our $T^{BGE}(\omega)$ is also a first approximation. The ADC(3) computation accounts for all types of correlations inside the model space, including all remaining ladder diagrams. This ensures a complete many-body calculation that accounts for short distance repulsion.

It is well known that short-range repulsion, that is accounted for by Eq. (3), has the double effect of reducing the spectral strength for dominant quasiparticle peaks and of relocating it to large momenta and large quasiparticle energies [29]. Since we cannot currently calculate the location of strength at high momenta, it is not possible to quantify the magnitude of these two effects. However, they contribute to the Koltun sum rule for total binding energy with opposite signs and must cancel to a large extent. Thus, we chose to neglect both contributions and maintain a static $\Sigma^{(\omega)}$ to solve the Dyson equation. This is currently the major approximation in our calculations and its uncertainty is best estimated from the benchmark on $^4$He below. Resolving this requires a proper extension of the present SCGF formalism and it will be the subject of future work.

Infrared convergence. The one-body propagators of $^4$He, $^{16}$O and $^{40}$Ca are calculated in spherical harmonic oscillator spaces of different frequencies, $\hbar\Omega$, and increasing sizes up to $N_{\text{max}} = \text{max}(2\ell + 1) = 11$ (and $N_{\text{max}} \leq 9$ for $^{40}$Ca). The scattering matrix $T^{BGE}(\omega)$ is calculated for each frequency and model space and then used to derive the interactions of Eq. (5). We subtract the kinetic energy of the center of mass according to Ref. [54] and calculate the intrinsic ground state energy from $g(\omega)$ using the Koltun sum rule. The same lattice simulation setup used to generate the HAL469 interaction gives a nucleon mass of $m_N = 1161.1$ MeV/$c^2$ in addition to the pseudo-scalar mass of $M_{PS} = 469$ MeV/$c^2$. Thus, we employ this value of $m_N$ in all the kinetic energy terms. Fig. 2 displays the ground state energies obtained with our $T^{BGE}(\omega)$ plus ADC(3) method. As expected, the complete resummation of ladder diagrams outside the model space tames ultraviolet corrections and results in a rather flat behaviour of the total energies for $\hbar\Omega \approx 5-20$ MeV. Still, there remain some hints of oscillations with respect to $\hbar\Omega$ that could be linked to the HO truncation and to the neglect of spectral strength at high momenta as explained above.

The effectiveness of the ladder resummation is better recognized by considering the infrared (IR) convergence of the total binding energies, from where one can also extrapolate to a complete set. Ref. [55] established that a harmonic oscillator model space, of frequency $\hbar\Omega$ and truncated to the first $N_{\text{max}} + 1$ shells, behaves as a hard wall spherical box of radius

$$L_2 = \sqrt{2(N_{\text{max}} + 3/2 + 2)} b,$$

where $b \equiv \sqrt{\hbar^2/m_N \Omega}/\hbar$ is the oscillator length. Given a soft interaction that is independent of the model space, if the frequency is large enough (i.e., $b$ is small) then ultraviolet (UV) degrees of freedom are converged. In this case, the calculated ground state energies are expected to converge exponentially when increasing the effective radius $L_2$:

$$E_0[N_{\text{max}}, \hbar\Omega] = E_\infty + C e^{-k_{\Omega} L_2}.$$

For the bare HAL469 interaction, if we use the SCGF without ladder sums outside the model space, the extrapolation according to Eq. (7) will fail because the short-distance repulsion requires extremely large $N_{\text{max}}$ ($\gg 20$) to reach UV convergence, while our many-body space $P$ is limited to $N_{\text{max}} = 11$. This is shown by the left panel of Fig. 3. However, our complete calculations use the BGE to resum all missing ladder diagrams within $Q$. Adding these to the two-particle ladders that are generated by the ADC(3) leads to a complete diagonalization of short-distance degrees of freedom, independently of the choice of $P$. The resulting dependence of the ground state energy on $L_2$ is shown by the middle panel and it now follows the behaviour dictated by Eq. (7). Note that single particle energies are still needed to calculate $T^{BGE}(\omega)$ but these can be identified with the free particle spectrum in the space $Q$, at large momenta. Accordingly, the flat region in Fig. 3 becomes broader as we increase $N_{\text{max}}$ (that is, when the boundary between the $P$ and $Q$ spaces moves away from the Fermi surface).

The binding energy of $^4$He for HAL469 was found to be $-5.09$ MeV with the exact Stochastic Variational calculations [55], which we will use to benchmark our approach. The solid lines in Fig. 3 are the result of a nonlinear least-squares fit to Eq. (7). The points diverging from the exponential behaviour at large $L_2$ are assumed not to be UV converged due to the above-mentioned approximations and are excluded.
Results. The calculated ground state energies of \(^4\text{He}\), \(^{16}\text{O}\) and \(^{40}\text{Ca}\) are summarised in Table I together with BHF results obtained with the same gap choice and methods of Ref. [25]. For \(^4\text{He}\), the complete \(T_{\text{BGE}}(\omega) + \text{ADC}(3)\) result deviates from the exact solution for \(^4\text{He}\) by less than 10%. Since the SCGF approach resums linked diagrams, and thus it is size extensive, one should expect that similar errors will apply for larger isotopes [57]. Thus, Table I shows both the uncertainties in the IR extrapolation [55] and an error for the many-body truncations, for which we take a conservative estimate of 10% based on our finding for \(^4\text{He}\). The SCGF results are sensibly less bound than our previous BHF results [25] and we interpret this as a limitation of BHF theory.

A key feature of our calculations is the use of an harmonic oscillator space, which effectively confines all nucleons. The last line of Table I reports the deduced breakup energies for separating the computed ground states into infinitely distant \(^4\text{He}\) clusters. The \(^{16}\text{O}\) is unstable with respect to \(4\alpha\) breakup, by \(\approx 2.5\) MeV. Allowing an error in our binding energies of more than 10% could make oxygen bound but only very weakly. This is in contrast to the experimental results, at the physical quarks masses, where the \(4\alpha\) breakup requires 14.4 MeV. On the other hand, \(^{40}\text{Ca}\) is stable with respect to breakup in \(\alpha\) particles by \(\approx 24\) MeV. We expect that these observations are rather robust even when we consider the (LQCD) statistical errors in the HAL469 interaction.
fragments corresponding to spin-orbit partners do not split due to the absence of a spin-orbit term in HAL469. Otherwise, all the remaining qualitative features of the experimental spectral distribution are seen also for the $M_{PS}=469$ MeV/c$^2$. The root mean square radii are given Tab. I for the same model space and oscillator frequency. Although the total binding energies are 15-20% of the experimental value (Tab. I), the computed charge radii are about the same as the experiment. This is due to the fact that the heavy nucleon mass ($m_N=1161.1$ MeV/c$^2$) used here reduces the motion of the nucleons inside the nuclei and counterbalances the effect of weak attraction of the HAL469 potential. We have also checked the dependence of the computed radii on the effective model space size, Eq. (6), and found a rather flat converged region for all three isotopes, although the values still oscillate by about 0.03 fm with changing $L_2$. The values in Tab. I are all calculated in the middle of this plateau. The HF approach of Eq. (5) and the standard BHF give similar radii in spite that they predict very different binding energies. The final radii are then increased by many-body correlations and, for all nuclei, the full $T^{BGF}(\omega)+$ ADC(3) calculations push the matter distribution to larger distances. However, we note that accounting for the neglected high-momentum components—as discussed below—tends to enhance the central density and would slightly reduce the calculated radii.

**Summary.** We investigated the use of the BGE in an ab initio approach and used it to resum missing two-nucleon scattering diagrams outside the usual truncations of the many-body space, while the full ADC(3) method has been retained within the model space itself. A benchmark on $^4$He shows that the present implementation works relatively well and it allows to solve the self-consistent Green’s function for the HAL QCD potentials derived from Lattice QCD. An investigation of the IR convergence of the ground state energies, following the work of Ref. [55], indicates that SCGF can handle relatively hard potentials such as the HAL469, even masses as large as $A=40$. This opens a new path that allows full ab initio calculations of large nuclei even with hard nuclear interactions.

The present accuracy is sufficient to make quantitative statements on doubly magic nuclei, which are less bound compared to earlier BHF estimates for the HAL QCD potentials. Here, we have found that the behaviour when lowering the pion mass towards its physical value is consistent with the idea that nuclei near to the traditional magic numbers are formed at first. At $M_{PS}=469$ MeV/c$^2$, in the SU(3) limit of QCD, both $^4$He and $^{40}$Ca have bound ground states while the deuteron is unbound and $^{16}$O is likely to decay into four separate alpha particles. However, $^{16}$O is already close to become bound. This suggests that the region of $M_{PS} \sim 500$ MeV/c$^2$ marks a transition between an unbound nuclear chart and the emergence of bound isotopes. Further studies at lower pion masses will be pivotal to test these findings and should be possible in the near future since LQCD simulations for nuclear and hyperon forces down to physical quark masses are currently underway [39,41].

Important future work will also be the inclusion the spin-orbit as well as three-nucleon forces. Proof of principle LQCD calculations for these interactions are available [14,62] and follow the hierarchy of nuclear forces with three-nucleon terms smaller than the NN forces.

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**TABLE II.** Computed matter and charge radii of $^{16}$O and $^{40}$Ca using $M_{PS}=469$ MeV for $N_{max}=11$ and $\Omega=11$ MeV. Results are given for different levels of approximations and the charge radii from the full $T^{BGF}(\omega)+$ ADC(3) are compared to the experimental values.

| $^4$He | $^{16}$O | $^{40}$Ca |
|--------|--------|--------|
| $r_{\text{matter}}$ [fm]: | BHF [25] | 2.09 | 2.35 | 2.78 |
| | HF | 1.62 | 2.39 | 2.78 |
| $T^{BGF}(\omega)+$ ADC(3) | 1.67 | 2.64 | 2.97 |
| $r_{\text{charge}}$ [fm]: | $T^{BGF}(\omega)+$ ADC(3) | 1.89 | 2.79 | 3.10 |
| | Experiment [58,59] | 1.67 | 2.73 | 3.48 |
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