Parametric Survival Models of Hemodialysis Patients in Relation with Patient-Related Factors

Reem Yousif MEKKI1,2, Mohamed Hassan MUDAWI4, Manahil Saidahmed MUSTAFA1, Altaiyb Omer Ahmed MOHMMED1, Ahmed Bakheet Abd ALLA3, Abdel Rahman AHMED5

1 College of Science, Sudan University of Science and Technology
2 College of Computer Science and Mathematics, University of Bahri, Sudan
3 College of Medical Laboratory Science, Sudan University of Science and Technology
4 College of Social and Economic Studies, University of Bahri, Sudan
5 College of Petroleum Geology and Minerals, University of Bahri, Sudan

Abstract

Background: Survival analysis refers to analyzing of statistical data for which the outcome variable of interest is time until an event occurs. This research aimed at comparing different models of parametric Proportional Hazards (PH) models (Weibull, exponential, Gompertz) in patients with hemodialysis to determine the best model for assessing the survival of patient. Study consists of 325 hemodialysis patients who referred to public hospitals in Khartoum state in the period from December 2005 to December 2015. Data was used to estimate the survival function with view to identify risk factors influencing among end-stage renal disease (ESRD) population. Based on the Cox-Snell Residuals and AIC, BIC, and Gompertz (PH) model is an efficient model than other when the values of (AIC=662.21), (BIC=703.83) and (R2=0.211) where maintained Study assessed that the variables dealing with univariate models were significant but had a significant effect on hemodialysis survival. The Gompertz model had the smallest AIC and BIC value; therefore, it was selected as the most appropriate model. In multivariable analysis, the BIC had the lowest value and the highest value in each analysis. The study assessed that diabetes mellitus and hypertension, regular, and hospital, had a significant effect.

Keywords: survival analysis, parametric models, hemodialysis, Cox-Snell residuals, AIC, BIC, R2.

INTRODUCTION

Survival analysis focuses on estimating the probability about individual who will hazard for a given length of time until death. Survival analysis is particularly useful when the probability of occurrence of the event under study changes with time1-3.

The final stage of chronic kidney disease is End-stage Renal Disease (ESRD) and is characterized by progressive permanent kidney failure. Dialysis therapy is a procedure aimed at eliminating the body’s excrement and toxic substances and compensating for the loss of function of the kidneys. One dialysis class is hemodialysis4. It has been estimated that more than 1.1 million patients worldwide are estimated to have ESRD, with an addition of 7 percent annually. For example, incidence and prevalence levels in the United States are projected to increase by 44% and 85%, respectively,
from 2000 to 2015, and incidence and prevalence rates per million population by 32% and 70%. The progress of ESRD patients in developing countries has similar trends.

Sudan is one of the countries where the chronic kidney failure is alarming. The frequency reported rate of (ESRD) new cases in Sudan is 70-140 per million people annually. The data available about the root a cause of renal disease that leads to chronic renal disease is very limited.

Scientific studies have uncovered major causes of end stage renal disease in survival time. These causes are affecting in the survival of hemodialysis patients for a live long time. Millions of people are being affected with outbreak of kidney disease around the world.

This study is to compare the performance of different parametric models of the survival of hemodialysis patients. Parametric models were selected to estimate the survival probabilities. The application of these models helps to identify the prognostic factors that resulted in increasing the probability of survival.

MATERIALS AND METHODS
This study consisted of 325 hemodialysis patients who referred to public hospitals named Ahmed Gasim, Ibn Sina, Omdurman, Selma center, Bahri, and Ribat in Khartoum State during the period of time (December 2005 to December 2010) and then they were followed-up till 2015. Data captured age, date of diagnosis of the disease, survival status even in the case of death or alive per months, sex, marital status, education level and occupation.

DATA COLLECTION
Khartoum State composed of 3 biggest cities named Khartoum, Bahri and Omdurman. Data of the study were collected from the biggest and well-known public hospitals in these three cities. Total number of patients covered in our study was 325.

INCLUSION CRITERIA
In the period from December 2005 to December 2015, all hemodialysis patients referred to the 6 public hospitals were included and all age ranges were included.

EXCLUSION CRITERIA
Patients with hemodialysis that have been diagnosed with acute renal failure, inadequate medical history, patients with hemodialysis who have stayed for a brief period of time and those in emergency conditions have been removed.

DATA ANALYSIS
The descriptive statistical analysis, percentage, and frequency were measured using Microsoft Excel software. In addition to the variables considered in this analysis, qualitative variables like (sex, marital status, education, occupation, daily dialysis, weekly frequency dialysis, hospital, diabetes mellitus and hypertension, diabetes mellitus, polycystic kidney disease, renal obstructions, shrunken kidney, unknown and other) and quantitative variables were classified into effective variables.

The log-rank test is a statistical test used to compare the survival distributions of two or more groups used to test the hypothesis where there is no difference between the categories for and variable. It does not provide any estimation of the actual size of the effect; in other words, it provides a statistical, but not a clinical, assessment of the effect of the factor.

In this analysis, the quantitative variables were not distributed as usually calculated by the Kolmogorov-Smirnov test when the probability value was higher than the significance level of 0.05, so the parametric method was used.

The form of Survival Analysis has been applied in this study was heavily relied on both a Univariate where there is only one explanatory variable required and Multivariate where at least two explanatory variables of patients with chronic kidney disease diagnosed with ESRD under hemodialysis care as they explained in Tables 5 and 6.

Parametric models
A parametric survival model time is supposed to follow a certain distribution, which its probability density function can be represented by unknown parameters. Weibull, Exponential, Gompertz, log logistic, log-normal and gamma distributions are widely used.

Parametric proportional hazard (PH) Models
Cox (1972) introduced the parametric (PH) model it’s also known as the Cox regression model. The widely used models are Exponential, Weibull and Gompertz distribution.
Exponential Distribution
The simplest and most important distribution in survival studies is the exponential distribution. It is often referred to as a purely random failure pattern.

The hazard function is

\[ h(t) = \lambda, \quad t \geq 0 \quad (1) \]

A constant, independent of \( t \).

The corresponding survivorship function is

\[ S(t) = e^{-\lambda t}, \quad t \geq 0 \quad (2) \]

and so the implied probability density function

\[ f(t) = \lambda e^{-\lambda t}, \quad t \geq 0, \quad \lambda > 0 \quad (3) \]

A high \( \lambda \) value shows high risk and limited survival; a low \( \lambda \) value shows low risk and long survival. The distribution is also referred to as the unit exponential when \( \lambda = 1 \).11

Under the exponential PH model, the hazard function of a particular patient with covariates \( x_1, x_2, x_3 ... x_p \) is given by

\[ h(t|x) = \lambda \exp(B_1x_1 + B_2x_2 + B_3x_3 + ... + B_px_p) = \lambda \exp(B x) \]

Weibull Distribution
Proposed by Weibull (1939) and its applicability to different cases of failure, again discussed by Weibull (1951). In several studies of reliability and mortality from human diseases, it was then used.12,11

\[ h(t) = \lambda \gamma t^{\gamma-1}, \quad (4) \]

A more general form of hazard function is such that

The survivor function is

\[ S(t) = \exp\left(-\int_0^t \lambda \gamma u^{\gamma-1} du\right) = \exp(-\lambda t^\gamma) \quad (5) \]

The corresponding probability density function is

\[ f(t) = \lambda t^{\gamma-1} \exp(-\lambda t^\gamma), \quad (6) \]

The shape and scale parameters are therefore called gamma and \( \lambda \), under the Weibull PH model, the hazard function of a specific patient is provided by the hazard function of a specific patient.

\[ h(t|x) = \lambda \gamma t^{\gamma-1} \exp(B_1x_1 + B_2x_2 + B_3x_3 + ... + B_px_p) = \lambda \gamma t^{\gamma-1} \exp(B x) \]

Gompertz Distribution
The Gompertz model has found application in demography and the biological sciences. In the particular case where \( \gamma=0 \), the hazard function has a constant value. The hazard function increases with time, decreases with time2,11.

This shows that linear int. is the log-hazard function. Monotonically, the Gompertz risk increases or decreases. The survival function is

\[ S(t) = \exp\left[-\frac{e^2}{\gamma} (e^{\gamma t} - 1)\right], \quad (8) \]

And the corresponding density function is

\[ f(t) = \exp\left[(\lambda + \gamma t) - \frac{1}{\gamma} (e^{\gamma t} - e^\gamma)\right], \quad (9) \]

Under the Gompertz PH model, the hazard function of a particular patient is given by

\[ h(t|x) = \lambda \exp(\gamma t) \exp\left(B_1x_1 + B_2x_2 + B_3x_3 + ... + B_px_p\right) = \lambda \exp(B x) \exp(\gamma t) \]

Selection Criterion
One of these criteria is the information criterion of Akaike (AIC), the Baysian Information Criterion (BIC) and the Cox-Snell Information Criterion (CSIC), the latter of which is a graphic rather than a mathematical criterion, many of the criteria used to choose the best model from different models deal with the same data for prediction in the future.

AIC: Comparisons may also be made on the basis of statistics between a variety of potential models which do not necessarily need to be nested2,12-14.
Our findings showed that a total of 325 patients with hemodialysis were enrolled in this study. The demographic characteristics of the targeted patients showed that 59.7% were male, 40.3%, were female in terms of sex. By December 2015, 52.3% of patients had died and 47.7% were still alive, according to survival status. The marital status of the patients showed that 2.5% were divorced, 71.4% were married, 24% were single and 2.2% were widowed. Education revealed that 7.7% of patients were illiterate, 32.6% received basic education, 4% were intermediate, 39.1% completed secondary education and 16% graduated. Patients’ occupation wise shows that 18.8% were employees, 13.8% were freelancers, 41.2% were unemployed, 3.7% were police officers, 4.3% were retired 7.4% were students, 11.8% were professionals.

In regard to the qualitative variables such as age; the minimum age was 6 years. The maximum age was 88 years. The first quartile was 46.03 years. The median age was 45 years. While third quartile was 75 years. The results breakdowns were as presented in Table 1.

Results of clinical characteristics showed that 88.9% of patients with hemodialysis were normal and 11.1% were sporadic patients with hemodialysis, 27.4% were diabetic mellitus and 72.6% were not diabetic mellitus. 29.5% had hypertension and 70.5% had no hypertension. 89.8% had both diabetes mellitus and hypertension, and 10.2% had neither diabetes mellitus nor hypertension. 3.4% had shrunken kidneys and 96.6% had no shrunken kidneys. Dialysis frequency per week found that two times (8.8%) and three times (81.2%) had polycystic kidney disease and 94.8% had no polycystic kidney disease. 8.0% had renal obstruction and 92.0% had no renal obstruction. 9.5% were uncertain and 90.5% were uncertain. 5.8% had each other, and 94.2% had no other.

Based on the log rank test, the variables considered to be important in Table 1 and Table 2 with p-value > 0.05 were entered in the mean parametric model, while other variables were not significantly excluded from the parametric model. The variables used in the parametric model were normal, dialysis frequency per week, hospitals, diabetes mellitus, hypertension, diabetes mellitus and hypertension, shrunken kidneys, other.

The median overall survival time was estimated at 84 months and the trust level was found at 95% (61-89) as shown in Figure 1, which clarified the overall survival curve of hemodialysis patients.

For univariate analysis, an additive Weibull and compertz model are found with similar meaningful
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Based on hazard ratio factors; age, diabetes mellitus, diabetes mellitus + hypertension, increased risk of death and other variables were observed; regular, hospital, hypertension, kidney shrunk dialysis frequency per week, and other, respectively, variables were found to have substantially higher survival rates. (see Table 3, 4, and 5).

Based on a multivariate analysis, it was assessed that risk factors, including age, hospital, dialysis frequency per week, daily dialysis, were significant relative to other variables for the Wald test (P-value < 0.05). The most important factors in hemodialysis patients were HR variables, including age (1.014), diabetes mellitus (1.127), diabetes mellitus + hypertension (1.165). On the other side, other factors, such as regular (0.581) hospital, have been noted (see Table 3, 4, and 5).

Table (6) displays AIC, BIC and $R^2$ for different models: Exponential, Weibull and Gompertz. Gompertz has the lowest AIC (662.21), BIC (703.83), and the highest $R^2$ (0.211).

The variables that match the univariate parametric model are shown in Table 7. We also found that the Gompertz model is the safest one to use in the future for forecasting. It is selected as it has the lowest AIC and BIC value.

For these three models, Figures 2, 3 and 4 displays the Cox-Snell residuals, the cumulative hazard function of Cox-Snell residuals (vertical axis) against the Cox-Snell residuals (horizontal axis) calculated below in Map. The fitness of the survival model is more fitting for the short deviation of residuals from the straight line through the origin with a slope of 1. Then, based on criteria (AIC, BIC) and residual Cox-Snell, the Gompertz model is the better model compared to another parametric model.

Table 1. Demographic characteristics

| Variable         | Categories | Frequency N= 325 | Percentage % | Log-rank test |
|------------------|------------|-----------------|--------------|---------------|
|                  |            |                 |              | Chi-square test | P-value |
| Sex              | male       | 194             | 59.70%       | 0.66          | 0.416   |
|                  | female     | 131             | 40.30%       |               |         |
| Marital status   | divorced   | 8               | 2.50%        | 4.92          | 0.1778  |
|                  | married    | 232             | 71.40%       |               |         |
|                  | single     | 78              | 24%          |               |         |
|                  | widowed    | 7               | 2.20%        |               |         |
| Education status | illiterate | 25              | 7.70%        |               |         |
|                  | basic      | 106             | 32.60%       |               |         |
|                  | intermediate | 15           | 4.60%        |               |         |
|                  | secondary  | 127             | 39.10%       | 0.5           | 0.9732  |
|                  | graduates  | 52              | 16%          |               |         |
| Occupation       | employee   | 61              | 18.80%       |               |         |
|                  | freelancers| 46              | 13.80%       |               |         |
|                  | unemployed | 134             | 41.20%       |               |         |
|                  | policemen  | 11              | 3.70%        |               |         |
|                  | retired    | 14              | 4.30%        |               |         |
|                  | student    | 24              | 7.40%        |               |         |
|                  | worker     | 36              | 11.18%       | 7.3           | 0.294   |
| Age              | minimum    | 6               |              |               |         |
|                  | maximum    | 88              |              |               |         |
|                  | first quartile | 46.03     |              |               |         |
|                  | median     | 45              |              |               |         |
|                  | third quartile | 75         |              |               |         |
Table 2. Clinical characteristics

| Variable                        | Categories         | Frequency N= 325 | Percentage | Chi-square test | P-value |
|---------------------------------|--------------------|------------------|------------|-----------------|---------|
| daily dialysis                  | irregular          | 36               | 11.10%     | 5.35            | 0.000   |
|                                 | regular            | 289              | 88.90%     |                 |         |
| Hospital                        | AhemdGasim         | 50               | 15.40%     |                 |         |
|                                 | Bhari              | 70               | 21.50%     |                 |         |
|                                 | EbnSena            | 74               | 22.80%     |                 |         |
|                                 | Omdurman           | 62               | 19.10%     |                 |         |
|                                 | Ribat              | 25               | 7.70%      |                 |         |

Causes of end stage renal failure among study population

| Causes of end stage renal failure | No     | Yes    | p-value |
|----------------------------------|--------|--------|---------|
| DIABETES MELLITUS                | 236    | 89     | 0.007   |
| HYPERTENSION                     | 229    | 96     | 0.000   |
| DIABETES MELLITUS AND HYPERTENSION | 292   | 33     | 0.0302  |
| SHRUNKEN KIDNEYS                 | 314    | 11     | 0.0115  |
| DIALYSIS FREQUENCY PER WEEK      |        |        |         |
| Two times                        | 36     | 18.80% |         |
| three times                      | 264    | 81.20% |         |
| POLYCYSTIC KIDNEY DISEASE        |        |        |         |
| Yes                              | 17     | 5.20%  | 0.8305  |
| No                               | 299    | 92.00% |         |
| RENAL OBSTRUCTIONS               |        |        |         |
| Yes                              | 26     | 8.00%  | 0.7167  |
| No                               | 294    | 90.50% |         |
| UNCERTAIN                        |        |        |         |
| Yes                              | 31     | 9.50%  | 0.2634  |
| No                               | 306    | 94.20% |         |
| OTHER                            |        |        |         |
| Yes                              | 19     | 5.80%  | 0.0058  |
| other=Systemic lupus erythematous, tropical disease (malaria), Gout, cardiovascular disease, NSAID.

Table 3. Analysis results for hemodialysis patients using an exponential parametric model in univariate and multivariate analysis

| Variable                        | Coef ($\hat{\beta}$) | HR[exp($\hat{\beta}$)] | p-value | Coef ($\hat{\beta}$) | HR[exp($\hat{\beta}$)] | p-value |
|---------------------------------|------------------------|-------------------------|---------|------------------------|-------------------------|---------|
| Age                             | 0.021                  | 1.021                   | 0.000   | -0.014                 | 1.014                   | 0.012   |
| Daily dialysis                  | -0.987                 | 0.373                   | 0.000   | -0.543                 | 0.581                   | 0.017   |
| Hospital                        | -0.225                 | 0.798                   | 0.000   | -0.162                 | 0.850                   | 0.004   |
| Diabetes mellitus               | 0.41                   | 1.507                   | 0.010   | 0.120                  | 1.127                   | 0.564   |
| Hypertension                    | -0.423                 | 0.655                   | 0.024   | -0.414                 | 0.661                   | 0.076   |
| Diabetes mellitus +hypertension | 0.833                  | 2.300                   | 0.000   | 0.153                  | 1.165                   | 0.578   |
| Shrunken kidneys                | -2.061                 | 0.127                   | 0.04    | -1.804                 | 0.165                   | 0.075   |
| Dialysis frequency per week     | -0.408                 | 0.665                   | 0.024   | -0.409                 | 0.664                   | 0.018   |
| Other                           | -1.076                 | 0.341                   | 0.018   | -0.849                 | 0.428                   | 0.075   |
| Intercept                       | -2.419                 |                         |         |                       |                         | 0.001   |

Coef: coefficient, HR:Hazard Ratio, p-value significant at < 0.05 level of significance.
DISCUSSION

This research compared various parametric (PH) models to determine the best model for assessing and analyzing the risk factors affecting patients with hemodialysis survival in public hospitals in Khartoum State. In this analysis, we closely tracked the medical history of the targeted patients in the hospitals through the duration before the occurrence of a significant event such as death or living.

In the analysis of survival results, the focus is always on the probability or risk of death at any time after the initial period. One of the reasons for modeling data on survival is to decide which combinations of possible explanatory variables especially affect the type of the hazard function, the care that causes the risk of death

Table 4. Analysis results for hemodialysis patients using a Weibull parametric model in univariate and multivariate analysis

| Variable                        | Coef (β) | HR[exp(β)] | p-value | Coef (β) | HR[exp(β)] | p-value |
|---------------------------------|----------|------------|---------|----------|------------|---------|
| Age                             | 0.021    | 1.021      | 0.000   | 0.014    | 1.014      | 0.012   |
| Daily dialysis                  | -1.046   | 0.351      | 0.000   | -0.562   | 0.570      | 0.014   |
| Hospital                        | -0.242   | 0.785      | 0.000   | -0.179   | 0.836      | 0.001   |
| Diabetes mellitus               | 0.415    | 1.514      | 0.009   | 0.121    | 1.129      | 0.562   |
| Hypertension                    | -0.418   | 0.658      | 0.026   | -0.426   | 0.653      | 0.069   |
| Diabetes mellitus + hypertension| 0.878    | 2.406      | 0.000   | 0.133    | 1.142      | 0.632   |
| Shrunken kidneys                | -2.077   | 0.125      | 0.000   | -1.830   | 0.160      | 0.071   |
| Dialysis frequency per week     | -0.736   | 0.479      | 0.000   | -0.388   | 0.678      | 0.026   |
| Other                           | -1.115   | 0.328      | 0.014   | -0.876   | 0.417      | 0.066   |
| Intercept                       | -3.438   | 0.000      |         | 1.249    | 50         |         |

Table 5. Analysis results for hemodialysis patients using a Gompertz parametric model in univariate and multivariate analysis

| Variable                        | Coef (β) | HR[exp(β)] | p-value | Coef (β) | HR[exp(β)] | p-value |
|---------------------------------|----------|------------|---------|----------|------------|---------|
| Age                             | 0.022    | 1.022      | 0.000   | 0.015    | 1.015      | 0.009   |
| Daily dialysis                  | -1.032   | 0.356      | 0.000   | -0.514   | 0.598      | 0.025   |
| Hospital                        | -0.251   | 0.776      | 0.000   | -0.191   | 0.826      | 0.001   |
| Diabetes mellitus               | 0.415    | 1.514      | 0.009   | 0.128    | 1.136      | 0.542   |
| Hypertension                    | -0.406   | 0.666      | 0.031   | -0.411   | 0.663      | 0.079   |
| Diabetes mellitus + hypertension| 0.900    | 2.460      | 0.000   | 0.137    | 1.147      | 0.623   |
| Shrunken kidneys                | -2.072   | 0.126      | 0.039   | -1.831   | 0.160      | 0.071   |
| Dialysis frequency per week     | -0.726   | 0.484      | 0.000   | -0.359   | 0.698      | 0.040   |
| Other                           | -1.137   | 0.321      | 0.012   | -0.876   | 0.416      | 0.066   |
| Intercept                       | -3.021   | 0.000      |         | 0.011    | 0.000      |         |

Table 6. Scores of Akaike Information Criterion (AIC) and Baysian Information Criterion (BIC) and $R^2$ for multivariate parametric models

| Models | Exponential | Weibull | Gompertz |
|--------|-------------|---------|----------|
| AIC    | 678.95      | 669.47  | 662.21   |
| BIC    | 716.78      | 711.09  | 703.83   |
| $R^2$  | 0.198       | 0.209   | 0.211    |
can be measured and the degree to which the hazard feature is impaired by other explanatory variables can be assessed. Another justification for modeling the hazard function is for individuals to achieve an approximation of the hazard function itself.

The quantitative variables were not distributed as usual in this research, as indicated in the data analysis, so the parametric models were used. In the log-rank test, the variables were important and were incorporated into parametric models. To estimate variables, univariate and multivariate tests were used.

The univariate analysis study for three models (Exponential, Weibull, and Gompertz) showed that all variables were important effects. We also found that age, diabetes mellitus, both diabetes mellitus and hypertension, increased the risk of death in patients (shorter survival) so that they could influence survival in the univariate model of this study. Other variables (regular, hospital, hypertension, shrunken kidneys, dialysis frequency per week, others) have decreased the risk of death (longer survival) and have a direct effect on the
survival of the hemodialysis patient.

Multivariate analysis showed that many variables were insignificance. From the results based on Criteria (AIC, BIC) and the highest $R^2$. Multivariate analysis found that many variables were negligible. From the results based on Parameters (AIC, BIC) and the highest $R^2$ in addition to Cox-Snell residual, we have found that Gompertz is the best model. It is therefore the most efficient fit model among other parametric models for patient hemodialysis data in addition to Cox-Snell residual; we found that the Gompertz is the best model.

According to HR, the variables including age, diabetes mellitus, diabetes mellitus +hypertension, were considered to be highly significant factors in hemodialysis patients in the three models used in the research in particular multivariate analysis. Whereas other factors, such as regular in dialysis, hypertension, shrunken kidneys, dialysis frequency per week, and other, had substantially lower survival rates.

This research has its drawbacks, that is, the incompleteness of the majority of patient records and the lack of data that make it difficult to determine the real cause of the outbreak of the disease in Sudan. It is due to the fact that certain variables were not included in this analysis because they were not included in the patient medical record.

**CONCLUSION**

Gompertz distribution model is being the best for hemodialysis patient’s analysis. Some variables such as (age, daily dialysis, hospital, dialysis Frequency per week) were significant factors. The study clarified that some variables like regular in dialysis were significant factor.

**Compliance with ethics requirements:** The authors declare no conflict of interest regarding this article. The authors declare that all the procedures and experiments of this study respect the ethical standards in the Helsinki Declaration of 1975, as revised in 2008(5), as well as the national law. Informed consent was obtained from all the patients included in the study.
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