CRISPR/Cas9 systems targeting β-globin and CCR5 genes have substantial off-target activity

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ABSTRACT

The ability to precisely modify endogenous genes can significantly facilitate biological studies and disease treatment, and the clustered regularly interspaced short palindromic repeats (CRISPR) systems have the potential to be powerful tools for genome engineering. However, the target specificity of CRISPR systems is largely unknown. Here we demonstrate that CRISPR/Cas9 systems targeting the human hemoglobin β and C-C chemokine receptor type 5 genes have substantial off-target cleavage, especially within the hemoglobin δ and C-C chemokine receptor type 2 genes, respectively, causing gross chromosomal deletions. The guide strands of the CRISPR/Cas9 systems were designed to have a range of mismatches with the sequences of potential off-target sites. Off-target analysis was performed using the T7 endonuclease I mutation detection assay and Sanger sequencing. We found that the repair of the on-and off-target cleavage resulted in a wide variety of insertions, deletions and point mutations. Therefore, CRISPR/Cas9 systems need to be carefully designed to avoid potential off-target cleavage sites, including those with mismatches to the 12-bases proximal to the guide strand protospacer-adjacent motif.

INTRODUCTION

The ability to precisely edit endogenous DNA sequences has greatly facilitated the creation of cell lines and animal models for biological and disease studies, and led to unprecedented opportunities in therapeutics. For example, engineered zinc finger nucleases (ZFNs) and transcription activator-like effector nucleases (TALENs) have generated hundreds of animal models for disease studies (1,2), and nuclease-based treatment strategies are currently undergoing clinical trials. The discovery of a bacterial defense system that uses RNA-guided DNA cleaving enzymes and clustered, regularly interspaced, short palindromic repeats (CRISPR) (3–7) may provide an exciting alternative to ZFNs and TALENs, as the CRISPR-associated (Cas) protein remains the same for different gene targets; only the short sequence of the guide RNA needs to be changed to redirect the site-specific cleavage (8).

Potential off-target cleavage by engineered nucleases poses concerns both for adverse events in therapeutic applications and confounding variables in biological studies. ZFNs (9,10) have been shown to lack exquisite specificity and may cleave sequences in addition to their intended targets, which often induces unwanted mutations and/or toxicity (11,12). Although recent reports suggest that TALENs have better specificity than ZFNs, off-target activities have been found for TALENs as well (13–15). Previous in vitro studies suggested that CRISPR/Cas9 systems have a high potential for off-target activity, as they have more promiscuous binding abilities at positions distal from the protospacer-adjacent motif (PAM) region (8,16–18). Further, because the guide RNA strands typically target a DNA sequence of ~20 bp, relatively short compared with the ≥36 bp targeted by TALENs, many potential off-target sites may exist in large genomes, such as in mammals. Additionally, because non-Watson–Crick base pairing is known to occur (18), it is possible that CRISPR/Cas9 systems have more off-target activities compared with corresponding ZFNs and TALENs.

To determine the off-target effects of CRISPR/Cas9 systems in the context of the human genome, we constructed several CRISPR/Cas9 systems with guide RNA strands targeting the human hemoglobin β (HBB) and C-C chemokine receptor type 5 (CCR5) genes, expressed them in human embryonic kidney 293T (HEK-293T) cells, and quantified their on- and off-target activities using the T7 endonuclease I (T7E1) mutation detection assay and Sanger sequencing, with special attention placed on the mismatches between the guide strand and the cleaved sequences. We found that the CRISPR/Cas9 systems targeting the human HBB and CCR5 genes have substantial off-target cleavage and resulted in a wide...
variety of insertions and deletions (indels), as well as point mutations. Our results have significant implications to the design and optimization of the CRISPR/Cas9 systems for genome editing applications.

MATERIALS AND METHODS
CRISPR design and testing
There were no CRISPR target sites in the human HBB gene sequence with their proximal 12 bases unique in the human genome (8); therefore, we chose CRISPR/Cas9 guide strands targeting HBB by comparing the similar regions in the human hemoglobin δ (HBD) gene. We designed eight 20-base guide strands to target sites near the sickle mutation in the HBB gene (Figure 1a), each adjacent to a PAM sequence that contains the canonical trinucleotide NGG. We also designed five guide strands to target two segments in the human CCR5 gene (Figure 2a), and tested the corresponding CRISPR/Cas9 systems to determine their on-target cleavage and potential off-target activity at the human C-C chemokine receptor type 2 (CCR2) gene. Herein we use the name of the guide strand (such as R-03) to represent the CRISPR/Cas9 system with the specified guide strand.

CRISPR plasmids were generated by kinasing and annealing oligonucleotides containing a G followed by 19 additional bases of the guide strand plus sticky ends, ligating into the pX330 plasmid that contains a U6 promoter-driven chimeric +85-bp guide strand and a CHb promoter-driven Cas9 expression cassette, and expressed together from the 8.5-kg Cas9 gene expression plasmid, pX330 (provided by Dr. Feng Zhang, and also available through Addgene 42230) (19). In a 24-well plate, 80,000 HEK-293T cells/well were seeded and cultured in Dulbecco’s modified Eagle medium supplemented with 10% fetal bovine serum (FBS) and 2 mM fresh l-glutamine, 24 h prior to transfection. Cells were transfected with 100, 200, 400 or 800 ng of CRISPR plasmids (normalized to 800 ng with pUC18) using FuGENE HD (Promega). The genomic DNA was harvested after 3 days using QuickExtract (EpiCentre). Targeted cleavage was measured at the endogenous loci by the rate of mutations through mis-repair, detected using amplification of these sites using bar-coded or traditional primers (Supplementary Table S1) and the T7E1 assay. The

![Figure 1](image-url)

Figure 1. On- and off-target cleavage by CRISPR/Cas9 systems targeting the HBB gene. (a) Guide strands are aligned to their target sites and corresponding region in HBB and HBD. Forward direction guide strands (marked ‘greater than’) are shown adjacent to NGG, representing the PAM sequence. Guide strands complementary to the reverse strand (marked ‘less than’) are listed to the right of CCN. Asterisks between HBB and HBD indicate nucleotides that differentiate the two genes. The first base shown in HBB is the sickle cell anemia mutation site. For clarity, the A, C, T and G nucleotides are shown in green, blue, red and black, respectively. (b) R-03-induced cleavage at HBB (on-target) and HBD (off-target) measured by the T7E1 assay. Cells were transfected with 100, 200, 400 or 800 ng of the R-03 CRISPR plasmid. (c) Guide strands ranked in order of the off-target mutation rates at HBD. Differences between the guide sequence and HBD are in red. A lowercase g indicates that the first base in HBB does not match the guide strands’ initial G (for all but R-01). The 12 bases closest to the PAM are boxed in blue and numbered on top.
fragments were separated on agarose gels and quantitated using ImageJ; the mutation frequencies were calculated and averaged. To better determine the mutation rate, amplification bands were cloned using the TOPO/C213 TA kit [Invitrogen], Sanger sequenced and aligned to observe the individual mutations and determine the mutational spectra. Sanger sequencing was chosen to ensure the detection of large insertions and deletions, as well as effectively detect single base indels, both of which can be problematic with the next-generation sequencing methods.

**Off-target analysis**

Off-target analysis was performed using a bioinformatics-based search tool to select potential off-target sites, which were evaluated using the T7E1 mutation detection assay (data not shown). Sanger sequencing was used to confirm the gene modification frequencies for the CRISPR/Cas9 systems, including guide strand R-02 at GRIN3A (Supplementary Figure S1b, Table 1).

**Chromosomal deletion analysis**

To assay for gross chromosomal deletions, genomic DNA from cells transfected with R-03 was amplified using the HBD forward primer and the reverse primer downstream of the HBB site. Genomic DNA from cells transfected with R-25 or R-30 were similarly amplified using the CCR2 forward and the CCR5 reverse primers. Agarose gels were used to confirm that the polymerase chain reaction (PCR) product sizes were consistent with chromosomal deletions between these sites (not shown). The R-03, R-25 and R-30 PCR products were cloned and the individual colonies Sanger sequenced and aligned.

**RESULTS**

**On- and off-target cleavage of CRISPR/Cas9 systems targeting HBB and CCR5**

We quantified and compared the on- and off-target cleavage by the CRISPR/Cas9 systems targeting HBB and CCR5, with special attention placed on the effects of mismatches between the guide strands and the complementary target sequences. This allowed a direct evaluation of the impact of the location and number of mismatches within the 12 bases nearest the PAM region, as well as those in the PAM region (that usually match the canonical NGG motif) (Table 1) on potential off-target activities (8,20). We found that the CRISPR/Cas9 systems targeting

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### Table 1

| Guide Strand | CCR5% | R-25 CRISPR plasmid | CCR2% |
|--------------|-------|---------------------|-------|
| R-25         | 46    | GTGTATCATCTTTGTTTTG | 20    |
| R-30         | 21    | GTAGGAGGCCAGAGGACAGG | 5     |
| R-26         | 74    | GTGCCGCCAGTGGAGCAGG | -     |
| R-27         | 77    | GGGCAGCATACTGGAGCCAG | -     |
| R-29         | 65    | GTAGTAGAGCGGAGCAGG | -     |
| R-23         | 0     | GAGGGTAAATTTGACGATTG | -     |

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the human HBB and CCR5 genes had significant off-target cleavage activities, especially at the HBD and CCR2 genes, which have high sequence homology with HBB and CCR5, respectively.

Table 1 summarizes the on- and off-target cleavage rates in which, for each CRISPR/Cas9 system, the complementary sequence of the guide strand, the number of mismatches within the guide strand and the name and genetic region of the on- and off-target activities are provided. Specifically, in Table 1, the third and fourth columns list, respectively, the indel percentages determined by Sanger sequencing and T7E1.

Guide strands directed toward HBB resulted in high rates of on-target activity, with an average mutation frequency of 54% measured by the T7E1 assay (Figure 1c, Supplementary Figure S2). Because the T7E1 assay may not cleave the PCR product completely and assumptions must be made about the indel diversity to calculate the mutation percentages (21), we verified the mutation frequencies using Sanger sequencing. We found that for some guide strands and loci, Sanger sequencing gave much higher mutation frequencies than the T7E1 measurements. For example, Sanger sequencing of the HBB loci indicated that R-02 and R-03 resulted, respectively, in 60 of 80 (75%) and 31 of 44 (70%) sequences with insertions or deletions (indels) indicative of the error-prone nonhomologous end-joining (NHEJ) DNA repair pathway (Supplementary Figure S1a, Figure 3a). Similarly, HEK-293T cells transfected with CRISPR constructs containing guide strands targeting CCR5 resulted in high rates of on-target activity, with an average of 57% mutation frequency measured by the T7E1 assay (Figure 2c, Supplementary Figure S2).

Some CRISPR/Cas9 systems with guide strands targeting HBB also cleaved HBD (some at high rates), even though there are mismatches between the guide strands and the complementary HBD sequences. For example, guide strands having just one-base mismatch with the complementary HBD sequences, located at positions 4 (R-07), 7 (R-01), 8 (R-08), 10 (R-04) and 11 (R-03) bases from the PAM sequence, resulted in off-target mutation rates ranging from 7 to 58%, roughly corresponding to the distance between the mismatch location and the PAM sequence, with R-04 as an exception (Figure 1c). Note that two off-target sites at HBD had mutation rates even higher than the on-target rates at HBB, especially R-08, which induced a mutation rate of 46% at HBD, much higher than that at HBB (36%).

To allow RNA transcription by the U6 polymerase, the guide strand is typically preceded by a guanine (8). We (and others) found that it is not necessary for the guanine base to match the target site for efficient cleavage, as seven guide strands without a guanine at this position induced mutations in HBB (R-02 to R-08) and four guide strands (R-03, R-04, R-07, R-08) induced mutations in HBD (Figure 1c).

To a lesser extent, CCR5-targeting CRISPR/Cas9 systems also induced off-target cleavage on CCR2, with mutation rates of 5% and 20% (Figure 2c, Supplementary Figure S2). Specifically, guide strand R-25 was designed with two identical genomic targets in CCR5 and CCR2 genes to identify the influence of factors beyond sequence homology, such as genomic context. The CRISPR/Cas9 system with R-25 showed a >2-fold difference in mutation rate at these two sites (46% versus 20% mutation rate, Figure 2c). These results suggest that other
features such as genomic context may play an important role in cleavage activity. Surprisingly, although guide strand R-30 had two mismatches with CCR2 at the two bases proximal to the PAM region, it induced mutations in CCR2 at a rate of 5% as measured by T7E1 with 800 ng of plasmid in transfection (Figures 2c, Supplementary Figure S3). R-30 transfections with 1100 ng of plasmid induced mutations of 21% quantified by sequencing, but

![Figure 3](image_url)

**Figure 3.** Chromosomal deletions in HBB and HBD induced by CRISPR/Cas9 systems. HEK-293T cells were transfected with each CRISPR construct, and their genomic DNA harvested after 3 days in culture. The (a) on- and (b) off-target loci for guide strands R-03 were amplified with flanking PCR primers, cloned and Sanger sequenced. Sequencing reads are given for each guide strand and aligned to the wild-type sequence. The number of times each read occurred is indicated to the left of the alignment. Unmodified reads are indicated by ‘WT’. In (b) the guide strand mismatch is boxed. In (a) and (b), the A, C, T and G nucleotides are shown in green, blue, red and black, respectively, for clarity. (c) Genomic DNA from cells treated with R-03 was amplified using an HBD forward primer and reverse primer downstream of the HBB site. The PCR products were sequenced and aligned to ‘HBB-HBD’ with the bases unique to HBB or HBD indicated in blue or green, respectively, surrounding an identical area found in both genes. Sequencing detected that each product contained indels and mutations consistent with NHEJ, near the target sites for R-03. Insertions and point mutations are marked in yellow and deletions (:) are highlighted in gray.
only 6% by T7E1 (Supplementary Figures S3, S1h); part of the difference is likely because of the incomplete cleavage of PCR products by T7E1.

A distinct feature of CRISPR off-target activity as related to mismatches in the guide strand is that mismatches in the PAM region can prevent off-target cleavage (19). For example, R-06, which has a one-base mismatch in the PAM, did not induce detectable mutations at HBD, although it has a perfect match of the 14 bases proximal to the PAM (Figure 1c, Supplementary Figure S2). Further, R-02 did not induce cleavage at HBD because of the one-base mismatch in the PAM and two mismatches at positions 2 and 4 from the PAM (Figure 1c). Similarly, there was no off-site mutagenesis detected at CCR2 by the CCR5-targeting CRISPR/Cas9 systems with guide strands R-27 and R-29 that had NTG and NGT PAM substitutions, respectively. In particular, although R-29 had a perfect match with the 18-bp sequence proximal to the PAM, a one-base mismatch in the PAM region prevented cleavage of CCR2 (Figure 2c, Supplementary Figure S2). Clearly, off-target cleavage could also be prevented without any mismatch in the PAM, by having multiple mismatches between the guide strand and the complementary target sequence proximal to the PAM, as demonstrated by R-05 (Figure 1c) and R-26 (Figure 2c).

To quantify the change in CRISPR/Cas9 cleavage activity with transfection conditions, CRISPR plasmids were transfected at doses from 100 to 800 ng, and corresponding on- and off-target activities measured by T7E1 (Figures 1b and 2b, Supplementary Figure S4). With the dose decreases, we found that R-04 and R-25 gave lower on- and off-target activities, whereas R-30 resulted in increased on-target activity and decreased off-target activity; the on- and off-target activities of R-03 and R-08 remained roughly the same. In general, transfection with the lowest dose (100 ng) increased the ratio of on-target to off-target activities for R-04, R-25 and R-30, although not for R-03 and R-08. These findings expand the results of a recent study where no appreciable changes in on- and off-target rates were found with two CRISPR guide strands at two doses (22).

**Target loci sequence and chromosomal deletion analysis**

As revealed by Sanger sequencing, CRISPR-targeted loci showed a wide variety of insertions, deletions and point mutations. Because HBD is located ~7 kb upstream of HBB on chromosome 11, cleavage at both sites raises the possibility of chromosomal rearrangements, including a deletion of the intervening segment (23–26). These gross chromosomal deletions are seen with guide strand R-03, which cleaves both HBB and HBD at high rates, even though it has a mismatch to HBD (Figure 3a and b). PCR amplification and sequence analysis revealed gross chromosomal deletions resulting from rejoining the DNA double-strand break ends induced by two cleavage events in (or near) the conserved region of the HBB and HBD (Figure 3c). Each of these joined HBD–HBB clones amplified from cells transfected with R-03 had an indel consistent with NHEJ. Quantitative PCR was used to estimate that 12.6% of HBB alleles contained the chromosomal deletion with HBD (Supplementary Figure S5).

Similarly, CCR5 is located ~8 kb upstream of CCR2 on chromosome 3; thus, chromosomal rearrangements may occur with cleavages at both CCR5 and CCR2. These gross chromosomal deletions were detected with the R-25 CRISPR/Cas9 system, which cleaved both genes at high rates (Figure 4a and b). Here again, PCR amplification and sequence analysis revealed two cleavage events in (or near) a conserved region of the CCR5 and CCR2 genes, as indicated by indels consistent with NHEJ (Figure 4c). Cells transfected with the R-30 CRISPR/Cas9 system also had chromosomal deletions between CCR5 and CCR2 (Supplementary Figure S1h).

Sequencing the on- and off-target loci revealed a range of different indels as a result of CRISPR/Cas9-induced DNA cleavage, including three large insertions (140, 216 and 448 bp). Specifically, our results indicated that one-base insertions and deletions occurred frequently, usually several bases from the PAM sequence, consistent with the reported cleavage between the third and fourth bases from the PAM (27). As shown in Figure 5, the frequency of cleavage-induced gene modifications varied significantly with indels of different sizes, though 21% were one-base insertions and 12% one-base deletions. Interestingly, a common indel size was a 9-bp deletion that occurred in 14% of the clones. Because the range of indels is influenced by sequence differences, microhomologies and/or palindromes in the area being cleaved (28), and our results were primarily from a limited number of overlapping target sites, further sequence analysis is needed to ensure a more general distribution.

**DISCUSSION**

Although CRISPR/Cas9 systems can induce high rates of gene modification in mammalian cells, they do not have perfect specificity, similar to previous observations with ZFNs and TALENs. Our results demonstrate that CRISPR/Cas9 systems can have significant off-target activities even if 10 or 11 of the 12 bases proximal to the PAM sequence match. Therefore, it is likely that there are many more potential off-target sites in the human genome than previously thought (8,29), if cleavage occurs when any permutation of 10 of the 12 bases in the guide strand matches a genomic sequence. Our results suggest that mismatches in, or proximal to, the PAM sequence could block cleavage, as seen by others (19,22,29). However, there are contrary examples, such as R-30 that cleaves CCR2 with mismatches in the two PAM-proximal bases (Figure 2c, Supplementary Figure S1h). Additional studies are required to deduce the key design rules concerning these mismatches.

The importance of the PAM sequence (30) was corroborated by the lack of cleavage at some complementary sequences similar to the guide strand, but with PAM sequences differing from NGG (Figures 1c and 2c). An example is guide strand R-06 that cleaved HBB at 59%, but had no detectible cleavage at HBD, presumably due to the NGA in the PAM sequence. Similarly, R-29 cleaves
Figure 4. Chromosomal deletions in CCR5 and CCR2 induced by CRISPR/Cas9 systems. HEK-293T cells were transfected with each CRISPR construct, and their genomic DNA harvested after 3 days in culture. The (a) on- and (b) off-target loci for guide strands R-25 were amplified with flanking PCR primers, cloned and Sanger sequenced. Sequencing reads are given for each guide strand and aligned to the wild-type sequence. The number of times each read occurred is indicated to the left of the alignment. Unmodified reads are indicated by ‘WT’. In (b) the guide strand mismatch is boxed. In (a) and (b), the A, C, T and G nucleotides are shown in green, blue, red and black, respectively, for clarity. (c) Genomic DNA from cells treated with R-25 was amplified using a CCR2 forward primer and reverse primer downstream of the CCR5 site. The PCR products were sequenced and aligned to ‘CCR2-CCR5’ with the bases unique to CCR2 or CCR5 indicated in blue or green, respectively, surrounding an identical area found in both genes. Sequencing detected that each product contained indels and mutations consistent with NHEJ, near the target sites for R-25. Insertions and point mutations are marked in yellow and deletions (:) are highlighted in gray.

Figure 5. Indel spectra from CRISPR/Cas9 cleavage and NHEJ repair. The change in number of base pairs resulting from each indel was calculated and compiled. The y-axis represents the percentage of each number of insertion or deletion. The most common indels for the CRISPR/Cas9 systems studied: 1 bp additions, 9 bp deletions and 1 bp deletions occurred in 64, 43 and 37 of 302 clones, respectively.
CCR5 at 65% efficiency. R-29 failed to cleave at CCR2 possibly due to the less tolerated, adjacent NGT PAM sequence, although the R-29 guide strand matches the 18 bases closest to the PAM sequence at CCR2.

Although Cas9 is thought to generate blunt ends (16,27), our results indicate that CRISPR-directed on- and off-target cleavage can induce a wide range of indels, with a large number of one-base insertions and a few large deletions. The high rate of off-target cleavage may result in large indels, causing a significant potential of mutagenesis and chromosomal rearrangements. For example, if two or more cleavage sites are on the same chromosome, it may lead to gross chromosomal deletions, as seen with R-03 (Figure 3c), R-25 (Figure 4c) and R-30 (Supplementary Figure S1h). These chromosomal deletions and the high levels of on- and off-target cleavage suggest that there might be other chromosomal rearrangements, translocations and inversions. Although the ability of engineered CRISPR/Cas9 systems to target multiple sites/gens with different guide strands is an exciting feature (8,29,31), each system may lead to off-target cleavage. The effect of having multiple guide strands on off-target cleavage and its effect on rates of chromosomal rearrangement have yet to be thoroughly studied (31). A CRISPR/Cas9 system may cause chromosomal rearrangements with one guide strand inducing cleavage at two defined locations, or with a pair of guide strands inducing deletion between the target sites (25); in both cases the off-target effects of each guide strand must be assayed. Therefore, multiplexed gene editing using CRISPR/Cas9-based approaches might have significant limitations unless optimal design of the guide strands can be performed to reduce or even eliminate the potential for gross chromosomal rearrangements.

As demonstrated in this work and elsewhere (19,22), CRISPR/Cas9 systems may have high rates of off-target cleavage; therefore, care must be taken when choosing and evaluating target sites. Even with diligent choice of target sites, in most genome editing applications, quantifying the off-target activities is necessary to identify unintended cleavage and mutagenesis. Transfection conditions, including plasmid dosage, may be optimized to decrease off-target cleavage, although the effects may vary with guide strands (Supplementary Figure S4). The variety of on- and target cleavage rates induced by CRISPR/Cas9 systems raises hope that better selection of target sites, possibly through rational design and/or screening in cells, can result in gene editing with improved specificity. Advanced genome searches may be needed in choosing optimal target sites by minimizing the number of potential off-target sites corresponding to different mismatches. More extensive off-target analysis of the CRISPR/Cas9 systems, with a combination of bioinformatics and experimental approaches, may reveal patterns and design guidelines that better predict the target sites that can be effectively cleaved with high specificity.

SUPPLEMENTARY DATA

Supplementary Data are available at NAR Online.

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