INTRODUCTION

Spinocerebellar ataxia type 3 (SCA3), an autosomal dominant cerebellar ataxia caused by abnormal expansion of cytosine-adenine-guanine (CAG) repeats in ATXN3 gene (MIM: 109150) (Kawaguchi et al., 1994), is regarded as the most common SCA subtype in China (Gan et al., 2010). It was originally reported in a Portuguese-Azorean family and named as Machado-Joseph disease (Coutinho & Andrade, 1978). Clinical manifestations of SCA3 are heterogeneous, including cerebellar ataxia, external ophthalmoplegia, pyramidal and extrapyramidal signs, and peripheral neuropathy. Genetic anticipation phenomenon is seen in SCA3 patients. Age at onset (AAO) is inversely correlated with the size of CAG repeats.
repeats in the pathogenic allele (Costa & Paulson, 2012). The polyglutamine (polyQ) diseases caused by CAG repeat expansions may share similar pathogenic mechanism. To study the genotype–phenotype relationship is of great help to understand the pathogenesis and develop rational, effective therapies for polyQ diseases.

Spinocerebellar ataxia type 3 is a relatively common subtype of polyQ diseases. While normal subjects have two normal alleles of ATXN3 ranging between ten and 44 CAG repeats, SCA3 patients have at least one expanded allele ranging between 52 and 87 CAG repeats (Gan, Ni, Dong, Wang, & Wu, 2015). Since SCA3 is inherited in an autosomal dominant manner, homozygous SCA3 patients who have two expanded alleles of ATXN3 are rarely reported. Prior to this report, a total of 14 homozygous SCA3 patients have been reported, including four Chinese patients (Carvalho, La Rocque-Ferreira, Rizzo, Imamura, & Speck-Martins, 2008; Fukutake et al., 2002; Lerer, Merims, Abeliovich, Zlotogora, & Gadoth, 1996; Lysenko, Grewal, Ma, & Peddaredygari, 2010; Shang et al., 2018; Sobue et al., 1996; Zeng et al., 2015). Compared with heterozygous SCA3, homozygous SCA3 has a double dosage effect on AAO and clinical phenotypes (Shang et al., 2018).

However, a comprehensive and systematic research on homozygous SCA3 is absent. The correlation between AAO and CAG repeats in homozygous SCA3 patients is unknown. Here we reported seven Chinese homozygous SCA3 patients and summarized all previously reported homozygous SCA3 patients. Our study provided additional genetic and clinical features of homozygous SCA3.

2 | MATERIALS AND METHODS

2.1 | Subjects

Seven homozygous SCA3 patients and 12 heterozygous SCA3 subjects from five families were recruited after genetic testing of ATXN3 between February 2015 and February 2019. Another 30 unrelated heterozygous SCA3 patients were recruited as controls. The disease severity with the scale for the assessment and rating of ataxia (SARA, 0–40) and the international cooperative ataxia rating scale (ICARS, 0–100) was recorded. Cognitive status was assessed by Mini-Mental State Examination (MMSE, 0–30). This study was approved by the Ethics Committee for clinical medical research and each participant gave a written informed consent.

2.2 | Genetic analysis

Genomic DNA was extracted from venous blood samples from participants using Blood Genomic Extraction Kit (Qiagen). Genetic testing was conducted as previously reported (Kawaguchi et al., 1994). The CAG repeats number of heterozygous SCA3 was determined by polymerase chain reaction amplification combined with Sanger sequencing as described in our previous studies (Gan et al., 2010, 2015). The CAG repeats number of homozygous SCA3 was conducted using DNA fragment analysis by capillary electrophoresis on an ABI 3730XL DNA analyzer (XiangYin Biotechnology).

2.3 | Statistical analysis

Statistical analyses including Pearson's correlation coefficient was performed using GraphPad Prism v7.0 for Windows (GraphPad Software, www.graphpad.com). Mann-Whitney test was used to compare groups. The threshold for statistical significance was \( p < .05 \).

3 | RESULTS

3.1 | Genetic findings

Genetic testing in the five families (Figure 1a) revealed that seven patients had biallelic expansions in ATXN3. The expanded CAG repeats were 60.29 ± 3.50 (small, range 55–64) and 62.29 ± 3.90 (large, range 56–67), respectively (Figure 1b, Table 3). Of seven SCA3 homozygotes, two had an expanded CAG repeat less than 60 (55/56 and 56/58). Twelve heterozygous SCA3 individuals were identified in five families, including seven pre-symptomatic (expanded CAG range 62–71) and five manifest patients (expanded CAG range 58–67). The expanded CAG repeats of 30 unrelated heterozygous SCA3 patients was 67.63 ± 3.50 (range 58–72) (Table 3).

3.2 | Clinical features of homozygous SCA3 patients

The clinical features of seven homozygous SCA3 patients are summarized in Table 1. Three out of five families were consanguineous marriages. The mean AAO was 41.3 years and the oldest AAO was 59 years. All patients presented with symptoms of cerebellar ataxia, including unsteady gait, slurred speech, and dysphagia. The symptoms progressed gradually. Five out of seven (71.4%, 5/7) homozygous SCA3 patients presented with peripheral neuropathy.

Case 1 (Family a, IV-2) is a female patient who suffered dizzy with slow progression of gait unsteadiness and dysarthria at the age of 25. Family history disclosed that the parents are first cousins. She needs a mobility aid to protect the safety of walking when she was 33 years old. She gradually...
At the age of 35, she was evaluated at the outpatient clinic of our hospital for the first time. Brain magnetic resonance imaging (MRI) showed mild cerebellar and brainstem atrophy. Three years later, the patient began to fall frequently and had to use a wheelchair. During the recent 4 years, she suffered from facial hypomimia with open mouth and drooling, constipation, and sleep disorders. Neurological examinations revealed severe truncal and limb ataxia. The extraocular movements showed gaze-evoked vertical and horizontal nystagmus and up-gaze limitations. Tendon areflexia, bilateral extensor plantar reflex, and muscular atrophy of both lower limbs were noted. Decreased vibration sense was observed, but position sense was normal. She had slight cognitive dysfunction (MMSE 16/30, primary school education level). The clinical scales of SARA and ICARS were evaluated (SARA = 32/40, ICARS = 57/100). Brain MRI was performed again and cerebellar atrophy aggravated slightly (Figure 1c-a). An electrophysiological study showed evidence of sensory and motor peripheral neuropathy. Her father (III-2) developed gait disturbance at age of...
59 and double vision at age of 65. She is 71 years old now and had no dysarthria and dysphagia. Her mother (III-9) was asymptomatic and died of endometrial carcinoma at age of 50. In addition, the patient's uncles (III-1, III-4, and III-6) appeared slightly unsteady gait after 55 years old. Her cousins had no clinical symptoms up to the present age (IV-1, 42 years old, IV-4, 40 years old).

Case 2 (Family b, III-2) is a 37-year-old man with a 2-year history of cerebellar ataxia including unsteady walk, dysphagia, and slurred speech. He is a child of first-cousin consanguineous parents. His parents had no gait problem, but detailed neurological assessment was not done. Upon neurological examinations, significant nystagmus and binocular abduction limitations were observed. Distinctly abnormal finger-nose test and heel-knee-tibia test were noted. Pyramidal and extrapyramidal signs, muscle weakness or paresthesia were not observed. Brain MRI showed cerebellar atrophy. An electrophysiological study showed mainly decreased sensory nerve conduction velocity, supporting the multiple peripheral neuropathy.

Case 3 (Family c, II-5) is a female patient who developed gait problem at her 35 years old. Brain MRI showed mild cerebellar atrophy at her 38 years old (Figure 1c-b). Her clinical symptoms worsened quickly when she was 43 years old. She fell frequently and developed severe dizzy especially at horizontal position. Severe dysarthria and dysphagia were observed. She reported a feeling of cold in both legs. She experienced problems of constipation and urinary incontinence. Neurological examinations revealed mild bulging eyes, frequent blinking, nystagmus, paresthesia of both lower extremities. The clinical scales showed a severe disease stage (SARA = 15/40, ICARS = 42/100). She was anxious about her illness and suffered from mild anxiety and depression. She has thoughts of hurting herself or committing suicide. The family history revealed that her father had developed unsteadiness of gait in the sixth decade of life and died at age of 74 for unknown reason. Her mother died of liver cancer at age of 51 without any cerebellar symptoms.

Case 4 (Family D, II-4) developed dizzy and body sway at age of 49. These symptoms gradually progressed and slurred speech appeared at age of 52. She complained of anxiety and depression. Neurological examinations revealed moderate nystagmus. Tendon reflex of upper limbs was normal, but deep tendon reflex of both lower limbs was absent. An electrophysiological study showed mild decreased conduction velocity of both motor and sensory nerves. Brain MRI showed mild cerebellum atrophy (Figure 1c-c). Her father died at age of 71 without cerebellar ataxia. Her mother walked unsteadily at age of 68 and died of cerebral hemorrhage at age of 77. Her young sister (Case 5, II-7) had mild gait ataxia at her 47 years old. No diplopia, dysphagia, and slurred speech symptoms were observed. Neurological exam revealed showed gaze-evoked horizontal nystagmus. Her elder brother (II-2) appeared similar symptoms in his 30 s, and died at 49 years old for unknown reasons. Her two daughters still didn't have any ataxia symptoms and neurological exam was normal.

| Patient | Gender | Age at onset (years) | Duration (years) | CAG repeats | SARA | ICARS | Clinical features |
|---------|--------|----------------------|------------------|-------------|------|-------|-------------------|
| Case 1  | Female | 25                   | 18               | 63/67       | 32/40| 57/100| Horizontal and vertical nystagmus, up-gaze limitations, dysarthria, gait ataxia, peripheral neuropathy, cognitive impairment |
| Case 2  | Male   | 35                   | 7                | 64/65       | NA   | NA    | Horizontal nystagmus and binocular abduction limitations, dysphagia, slurred speech, gait ataxia, peripheral neuropathy |
| Case 3  | Female | 35                   | 8                | 62/64       | 15/40| 42/100| Bulging eyes, nystagmus, dysarthria, dysphagia, constipation, urinary incontinence, peripheral neuropathy, depression |
| Case 4  | Female | 49                   | 5                | 60/63       | 13/40| 33/100| Nystagmus, dysarthria, gait ataxia, peripheral neuropathy |
| Case 5  | Female | 45                   | 2                | 62/63       | 9/40 | NA    | Gait ataxia, dysarthria, horizontal nystagmus |
| Case 6  | Male   | 41                   | 15               | 55/56       | NA   | 20/100| Gait ataxia |
| Case 7  | Female | 59                   | 6                | 56/58       | 5/40 | 14/100| Gaze-evoked horizontal nystagmus, gait ataxia, hyperactive tendon reflexes, cramps and cold feeling in the legs |

Abbreviations: ICARS, international cooperative ataxia rating scale; NA, not available; SARA, scale for the assessment and rating of ataxia; SCA3, spinocerebellar ataxia type 3.
Case 6 (Family e, II-7) appeared unsteady gait at age of 41. The symptoms were slightly progressed. His speech is fluent and vision is normal. No abnormal neurological examination was observed except mild limbs ataxia. His elder sister (case 7, II-3) was also a homozygous SCA3 patient. She developed head dizzy and clumsy walking when she was 59 years old. At 64 years old, she complained of cramps in the legs and cold feeling on the soles of the feet. Neurological examinations showed gaze-evoked horizontal nystagmus and brisk tendon reflexes of both lower extremities. She had mild cognitive impairment (MMSE 17/30, illiterate). Her activity of daily living scale was normal. Cranial MRI demonstrated cerebellum atrophy and demyelination in both frontal and parietal lobes (Figure 1c,d,f).

3.3 Correlation between CAG repeats and AAO of homozygous SCA3

We collected the clinical and genetic information of 14 homozygous SCA3 patients from previous reports\textsuperscript{6-12}. Adding our seven homozygous SCA3 patients, the mean AAO of all 21 homozygous SCA3 patients was 32.8 years old (Table 2). After the linear regression analysis, we found that AAO was significantly inversely correlated with both the large (CAG1) and small (CAG2) expanded CAG repeats, and the mean correlation coefficient was $r = -0.7682$ ($p < .0001$, Figure 2a). As shown in Table 3, a comparison of AAO between homozygous SCA3 and heterozygous SCA3 patients was studied in our five families. No significance of larger expanded CAG repeats was seen. However, AAO of homozygous SCA3 was earlier than that of heterozygous SCA3 (41.29 ± 11.04 vs. 56.6 ± 2.07, $p = .0328$) (Figure 2b). Next, we compared AAO in all 21 homozygous SCA3 patients and independent 30 heterozygous SCA3 patients. More significant difference of AAO was observed (32.81 ± 11.86 versus. 49.90 ± 9.73, $p < .0001$, Figure 2c). Besides, we found that the AAO of our seven homozygous SCA3 patients was elder compared to the 14 previously reported patients (41.29 ± 11.04 vs. 28.57 ± 10.10 years, $p = .0162$) (Figure 2d).

### Table 2 Clinical features of homozygous 21 SCA3 patients

| Patient | Race               | Gender | AAO  | CAG1  | CAG2  | References          |
|---------|--------------------|--------|------|-------|-------|---------------------|
| 1       | Chinese            | Female | 25   | 63    | 67    | Present study       |
| 2       | Chinese            | Male   | 35   | 64    | 65    | Present study       |
| 3       | Chinese            | Female | 35   | 62    | 64    | Present study       |
| 4       | Chinese            | Female | 49   | 60    | 63    | Present study       |
| 5       | Chinese            | Female | 45   | 62    | 63    | Present study       |
| 6       | Chinese            | Male   | 41   | 55    | 56    | Present study       |
| 7       | Chinese            | Female | 59   | 56    | 58    | Present study       |
| 8       | Chinese            | Male   | 33   | 64    | 67    | Shang et al., 2018  |
| 9       | Chinese            | Female | 38   | 57    | 65    | Shang et al., 2018  |
| 10      | Chinese           | Female | 33   | 57    | 65    | Shang et al., 2018  |
| 11      | Chinese           | Male   | 18   | 71    | 71    | Zeng et al., 2015   |
| 12      | Portuguese/Brazilian | Male  | 29   | 60    | 63    | Lysenko et al., 2010 |
| 13      | Japanese          | Male   | 43   | 60    | 60    | Fukutake et al., 2002 |
| 14      | Brazilian         | Female | 4    | 67    | 72    | Carvalho et al., 2008 |
| 15      | Japanese          | Male   | 28   | 67    | 67    | Sobue et al., 1996  |
| 16      | Yemenite Jewish   | NA     | 29   | 64    | 70    | Lerer et al., 1996  |
| 17      | Yemenite Jewish   | NA     | 37   | 65    | 66    | Lerer et al., 1996  |
| 18      | Yemenite Jewish   | NA     | 25   | 67    | 68    | Lerer et al., 1996  |
| 19      | Yemenite Jewish   | NA     | 30   | 67    | 68    | Lerer et al., 1996  |
| 20      | Yemenite Jewish   | NA     | 17   | 65    | 69    | Lerer et al., 1996  |
| 21      | Yemenite Jewish   | NA     | 36   | 65    | 69    | Lerer et al., 1996  |
| Total   | /                  | /      | 32.8 (4–59) | 62.8 (55–71) | 65.5 (56–72) | / |

Abbreviations: “/”, not applicable; AAO, age at onset; CAG1, CAG repeats of small expansion allele; CAG2, CAG repeats of larger expansion allele; NA, not available; SCA3, spinocerebellar ataxia type 3.
A strong negative correlation between size of CAG repeats and AAO has been reported in heterozygous SCA3 patients (Chen et al., 2016; Donis et al., 2016; Globas et al., 2008; Netravathi et al., 2009). The higher the size of CAG repeats, the earlier the onset age. The AAO of SCA3 patients is mainly determined by the expanded CAG repeats, approximately 45%–87% of the total AAO variance of SCA3 (Maciel et al., 1995; van de Warrenburg et al., 2002, 2005).

FIGURE 2 Comparison of age at onset between homozygous and heterozygous SCA3 patients. (a) Age at onset is inversely correlated to CAG repeats of small (CAG1) and large (CAG2) expanded allele in 21 homozygous SCA3 patients. The correlation coefficient of age at onset and mean CAG repeats is \( r_{\text{mean}} = 0.7682 \) (Pearson \( r \), \( p < 0.0001 \)). (b) Age at onset is earlier in homozygous SCA3 patients (\( n = 7 \)) than heterozygous SCA3 patients (\( n = 5 \)) from present five families. (c) Age at onset is earlier in all homozygous SCA3 patients (\( n = 21 \)) than heterozygous SCA3 patients (\( n = 30 \)). (d) Age at onset of homozygous SCA3 patients is elder in present study (\( n = 7 \)) than in previous studies (\( n = 14 \)). SCA3, spinocerebellar ataxia type 3.

| Table 3 | Demographics of SCA3 homozygotes and heterozygotes |
|---------|---------------------------------------------------|
| Group 1 | Group 2 |
| | Homozygotes (\( n = 7 \)) | Heterozygotes (\( n = 5 \)) | Homozygotes (\( n = 21 \)) | Heterozygotes (\( n = 30 \)) |
| Male/Female | 2:5 | 4:1 | 7:8 \(^a\) | 14:16 |
| Age at onset, y | 41.29 ± 11.04 | 56.6 ± 2.07 | 32.81 ± 11.86 | 49.90 ± 9.73 |
| Age at examination, y | 50.00 ± 8.64 | 60.00 ± 6.44 | 43.07 ± 13.66 \(^a\) | 55.23 ± 11.20 |
| Disease duration, y | 8.71 ± 5.71 | 3.80 ± 4.76 | 8.73 ± 4.94 \(^a\) | 7.40 ± 4.29 |
| Large CAG repeat | 62.29 ± 3.90 | 63.40 ± 4.51 | 65.67 ± 3.95 | 67.63 ± 3.50 |
| Small CAG repeat | 60.29 ± 3.50 | 17.00 ± 2.74 | 62.76 ± 4.22 | 18.63 ± 7.09 |

Note: Values are given as mean ± SD. Group 1: SCA3 patients from five families of the present study. Group 2: all homozygous SCA3 patients and 30 independent heterozygous SCA3 patients. “a”: data from six patients (reference Lerer et al., 1996) were not available, only 15 patients were analyzed.

Abbreviation: SCA3, spinocerebellar ataxia type 3.

4 | DISCUSSION

A strong negative correlation between size of CAG repeats and AAO has been reported in heterozygous SCA3 patients (Chen et al., 2016; Donis et al., 2016; Globas et al., 2008; Netravathi et al., 2009). The higher the size of CAG repeats, the earlier the onset age. The AAO of SCA3 patients is mainly determined by the expanded CAG repeats, approximately 45%–87% of the total AAO variance of SCA3 (Maciel et al., 1995; van de Warrenburg et al., 2002, 2005).
However, the relationship between size of CAG repeats and AAO in homozygous SCA3 patients remains unknown, since homozygous SCA3 patients are very rare.

In this study, we reported seven newly homozygous SCA3 patients (the largest cohort so far) and summarized 14 previously reported SCA3 homozygotes. Two of our patients had CAG repeats less than 60 in both alleles (55/56 and 56/58), which were the smallest size of CAG repeats reported in homozygous SCA3 patients. Unfortunately, six of our seven homozygous SCA3 patients have already given birth to children, all of whom are carriers of mutant ATXN3. Consanguineous marriage should be avoided and it is important to block the transmission of mutant ATXN3 to the next generation by genetic counselling.

In addition, we first described the correlation between size of CAG repeats and AAO in totally 21 homozygous SCA3 patients. The length of expanded CAG repeats can determine 59% of AAO variance in homozygous SCA3, which is similar to that of Chinese heterozygous SCA3 patients (63%) (Chen et al., 2016). However, the AAO of 21 homozygous SCA3 patients varied greatly, range from 4 to 59 years old. We observed that patients with similar expanded CAG repeats had significantly different AAO, suggesting other factors modulating AAO. Several other factors are responsible for the residual variance. A previous study demonstrated familial factors modulate AAO of SCA3 (DeStefano et al., 1996). Methylation levels in the promotor region of ATXN3 were detected with earlier AAO and influenced the instability of intergenerational CAG repeats (Wang et al., 2017). A study reported the APOE alleles might be genetic modifiers for AAO in SCA3 (Peng et al., 2014). However, our previous research did not support the role of APOE in a large cohort of SCA3 patients (Zhou et al., 2014). Besides, mitochondrial NADH dehydrogenase subunit 3 polymorphism might be associated with an earlier AAO (Chen et al., 2016). So far, the mechanism underlying this observation is still not completely understood and much more research is needed.

As we known, ATXN3 is a deubiquitinating enzyme, which plays a role in ubiquitin-mediated proteolysis and in the regulation of the ubiquitination status. Mutant ATXN3 appears to be dysfunctional by forming insoluble aggregates primarily in the nucleus and interacting abnormally with other protein partners (Klockgether, Mariotti, & Paulson, 2019). In the current study, we demonstrated that AAO was significantly earlier in homozygous SCA3 than heterozygous SCA3. Although the pathogenesis of earlier onset in homozygous SCA3 is still unknown, gene dosage effect may play an important role in the AAO and severity of disease. We found that the AAO of homozygous SCA3 patients was negatively correlated with CAG repeats of both large and small expanded alleles. In addition, the AAO of our seven homozygous SCA3 patients is elder compared to those reported previously (41.29 years vs. 28.57 years), which may be related to the fewer CAG repeats in our seven patients. Thus, the homozygous SCA3 patients could harbor only two much smaller alleles compared to heterozygous patients, otherwise, the dosage effect might lead to an early death or developmental malfunction so that patients could not be detected. Even the normal CAG repeat at ATXN3 has a small but significant influence on AAO of SCA3 (Zhou et al., 2014), it is reasonable to assume that homozygosity enhances the clinical phenotypes through complete loss of normal function and increased toxic protein effects. In addition, homozygotes in other SCA subtypes, such as SCA2, SCA6, and SCA17, also appeared early onset and atypical symptoms than heterozygotes (Hire, Katrak, Vaidya, Radhakrishnan, & Seshadri, 2011; Kato et al., 2000; Ragothaman et al., 2004; Soga et al., 2017; Takahashi et al., 2004; Toyoshima et al., 2004; Zühlke et al., 2003).

Cranial MRI is an effective marker for clinical studies of SCA. Generally, the volume of cerebellar is negatively correlated with the clinical severity of SCA (Eichler et al., 2011). Interestingly, one of homozygous SCA3 patients (Family a, IV-2) presented relatively reserved cerebellum and brain stem volume on MRI, while the clinical stage of this patient is already late stage (SARA 32/40). Similar phenomenon existed in a previously reported case (Zeng et al., 2015) and the exact pathological mechanism is complicated and still unclear. SCA3 patients presented with severe atrophy throughout the total brainstem (midbrain, pons, and medulla), the entire cerebellum, putamen and caudate nucleus (Schulz et al., 2010). Demyelination in both frontal and parietal lobes were observed in one of SCA3 homozygotes (Family e, II-7), which was firstly reported in the homozygous SCA3 patient. Cognitive decline may be related to demyelination of cerebrum. Besides, we did electrophysiological study in three homozygous SCA3 patients and all appeared peripheral neuropathy changes. Two out of the left four cases existed abnormal sensory feeling in limbs. In all 21 homozygous SCA3 patients, 8 patients (38.1%, 8/21) presented with peripheral neuropathy, while in our 30 heterozygous SCA3 patients, 5 patients (16.7%, 5/30) presented with peripheral neuropathy. Therefore, the SCA3 homozygotes may be more prone to peripheral neuropathy. This result needs to be validated in more homozygous SCA3 patients.

In summary, we presented seven homozygous SCA3 patients from five families and described clinical features of them. In addition, 14 previously reported SCA3 homozygotes were summarized and the correlation between size of CAG repeats and AAO was analyzed. This study expands clinical features and genetic characteristics of homozygous SCA3 patients.

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CONFLICT OF INTEREST
The authors declare that they have no conflict of interest.

AUTHOR CONTRIBUTIONS
All authors gave approval for the final version of manuscript. Z-Y.W. conceptualized the study. Y.D. and Z-Y.W. performed physical examination of the patients and analyzed clinical data. Q-F.L., H-L.C., Y.Y., Y. M., and J-J. Z. contributed to acquisition of data. Q-F.L., H-L.C., Y.D., and Z-Y.W. contributed to interpretation of data. Q-F.L. drafted the manuscript and Z-Y.W. critically revised the manuscript.

ETHICS APPROVAL
The study was approved by the Ethics Committee for clinical medical research. Informed consents were obtained from participants included in the study or their guardians.

DATA AVAILABILITY STATEMENT
The data used to support the findings of this study are available from the corresponding author upon request.

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