Luminous Red Novae: population models and future prospects

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ABSTRACT

A class of optical transients known as Luminous Red Novae (LRNe) have recently been associated with mass ejections from binary stars undergoing common-envelope evolution. We use the population synthesis code COMPAS to explore the impact of a range of assumptions about the physics of common-envelope evolution on the properties of LRNe. In particular, we investigate the influence of various models for the energetics of LRNe on the expected event rate and light curve characteristics, and compare with the existing sample. We find that the Galactic rate of LRNe is \( \sim 0.2 \) yr\(^{-1} \), in agreement with the observed rate. In our models, the luminosity function of Galactic LRNe covers multiple decades in luminosity and is dominated by signals from stellar mergers, consistent with observational constraints from iPTF and the Galactic sample of LRNe. We discuss how observations of the brightest LRNe may provide indirect evidence for the existence of massive (>40 M\(_\odot\)) red supergiants. Such LRNe could be markers along the evolutionary pathway leading to the formation of double compact objects. We make predictions for the population of LRNe observable in future transient surveys with the Large Synoptic Survey Telescope and the Zwicky Transient Facility. In all plausible circumstances, we predict a selection-limited observable population dominated by bright, long-duration events caused by common envelope ejections. We show that the Large Synoptic Survey Telescope will observe 20–750 LRNe per year, quickly constraining the luminosity function of LRNe and probing the physics of common-envelope events.

Key words: black hole physics – gravitational waves – stars: evolution.

1 INTRODUCTION

Common-envelope evolution (Paczynski 1976) is a phase of mass transfer in the evolution of many stellar binaries, wherein the two stars or stellar cores orbit inside a shared gas envelope. The resulting drag force causes significant energy dissipation and a rapid decay of the binary’s orbit. The outcome is either a stellar merger or, if the envelope is successfully ejected, a binary with a much-reduced separation. The common-envelope phase is thought to be an important evolutionary channel for the formation of X-ray binaries, binary pulsars, and gravitational-wave sources such as merging double white dwarfs, binary neutron stars, and double black holes (e.g. Smarr & Blandford 1976; van den Heuvel 1976; Tutukov & Yungelson 1993; Voss & Tauris 2003; Dominik et al. 2012; Belczynski et al. 2016; Stevenson et al. 2017; Vigna-Gómez et al. 2018).

The short duration of the common envelope phase makes catching a binary during this process observationally challenging. Recently, a class of optical transients in the luminosity gap between novae and supernovae known as Luminous Red Novae (LRNe) have been associated with common-envelope evolution (Soker & Tylenda

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and not an LRN (Kasliwal et al. 2011; Pastorello et al. 2019). On the other hand, we include the transient UGC 12307–2013OT1 in our sample, despite the uncertainty over its classification, owing to its late discovery (Pastorello et al. 2019); its inclusion in our sample makes it possible to use tools such as possible LRNe in the literature, such as V4332 Sgr (Martini et al. 1999; Kimeswenger 2006), have been omitted in our analysis; their absolute magnitude is not known, and so we cannot compare their properties to our simulated population of LRNe. There are other optical transients with similar luminosities to LRNe. These contribute a source of confusion noise to the population of LRNe with a possibly different physical mechanism behind the transient. We do not regard SN2008S (Arbour & Boles 2008), NGC 300 OT 2008 (Berger et al. 2009; Prieto et al. 2009; Bond et al. 2009) and M51 OT2019-1 (Jencson et al. 2019) as LRNe, but rather as likely Type IIn electron capture supernovae (Prieto et al. 2008; Adams et al. 2016). We also neglect the luminous infra-red transient VVV-WIT-06, which is most likely an obscured classical nova (Banerjee et al. 2018). Nova Vul 1670 has been suggested as a possible historical example of a LRN (Kamiński et al. 2015), however we do not include it in our present sample. Due to its unusual light curve, we also exclude OGLE-2002-BLG-360 (Tylenda et al. 2013) from our subsequent analysis. We exclude LRN PTF 10FQS from our sample; it is likely this is an intermediate-luminosity red transient, and not an LRN (Kasliwal et al. 2011; Pastorello et al. 2019). On the other hand, we include the transient UGC 12307–2013OT1 in our sample, despite the uncertainty over its classification, owing to its late discovery (Pastorello et al. 2019); its inclusion in our sample does not affect the findings of this paper. In the future, better models and larger statistical samples could make it possible to use tools such as those introduced by Farr et al. (2015) for counting amid confusion to avoid making binary cuts on potential LRN candidates.

As Table 1 shows, the current scarcity and diversity of LRN observations makes extracting information about the intrinsic popu-
loration of these transients and their progenitors difficult, though some progress has been made. The unusually luminous extragalactic LRN NGC 4490-OFT011 has been identified with a merger involving a massive blue progenitor (Smith et al. 2016). Within our Galaxy, only four likely LRNe have been detected in approximately 25 yr: V4332 Sgr, V1309 Sco, V838 Mon, and tentatively OGLE-2002-BLG-360 (though see above). The Large Synoptic Survey Telescope (LSST) will observe \( \approx 20,000 \) deg\(^2\) with a cadence of \( \approx 3 \) d down to a single-visit limiting \( r \)-band magnitude of \( \approx 24.2 \) (LSST Science Collaboration et al. 2017), and is expected to increase the number of detected LRNe by several orders of magnitude (LSST Science Collaboration et al. 2009).

In this paper, we use the binary population synthesis code COMPAS (Stevenson et al. 2017; Barrett et al. 2018; Vigna-Gómez et al. 2018; Neijssel et al. 2019) and a model of LRN plateau durations and luminosities from Ivanova et al. (2013b) to predict what the properties of this observed population will be under a variety of models of common envelope interaction. We find that the Galactic rate of LRNe is \( \approx 0.1 \) yr\(^{-1}\), consistent with observations and previous population studies. We predict that the volumetric rate in the local Universe is \( \approx 8 \times 10^{−4} \) Mpc\(^{-3}\) yr\(^{-1}\), and that with LSST the rate of LRN detections could be as high as 750 yr\(^{-1}\).

The rest of this paper is structured as follows. In Section 2.1, we introduce the population synthesis code COMPAS, which we use to simulate a catalogue of common-envelope events. In Section 2.2, we describe how mass transfer, including common-envelope evolution, is implemented within COMPAS. In Section 2.3, we describe the parameters of the simulations used in this paper. In Section 2.4, we summarise the formalism that we employ for predicting the observable properties of LRNe. In Section 3.1, we present the results of the population synthesis simulation and compare to previous work. In Sections 3.2 and 3.3, we present our predictions for the observable properties and rates of LRNe, respectively. We discuss these results in Section 4.

## 2 Methods

### 2.1 Population synthesis

In order to predict the rate and properties of common-envelope events, we simulate a large stellar population using the rapid population synthesis module of COMPAS (Stevenson et al. 2017; Barrett et al. 2018; Vigna-Gómez et al. 2018; Neijssel et al. 2019). The COMPAS binary population synthesis module simulates a population of binaries by Monte Carlo sampling a distribution of initial (ZAMS) binary masses and separations, then evolving each star in the binary according to the single-stellar evolution prescription of Hurley, Pols & Tout (2000). COMPAS evolves each binary by modelling the physics of mass loss and transfer due to effects such as stellar winds, Roche lobe overflow and supernovae, until the binary either merges, becomes unbound, or forms a double compact object. We describe in detail how mass transfer during binary evolution is implemented in COMPAS below.

The version of COMPAS used in this paper, and the parameters used in our simulation, are similar to those described in Vigna-Gómez et al. (2018). Where substantive changes have been made to either we describe these explicitly in text.

### 2.2 The common envelope

In the COMPAS models, a Roche lobe overflow mass transfer episode begins when the radius of one of the star becomes larger than the effective radius of its Roche lobe (Eggleton 1983). This may occur due to radial expansion of a star, orbital evolution of the binary, or both.

A mass transfer episode either ends with the system gently decoupling from Roche lobe overflow, or mass loss from the donor leads to a runaway process. The first of these scenarios, dynamically stable mass transfer, occurs on either the nuclear or thermal timescale of the donor. In the second scenario, dynamical instability leads to the formation of a common envelope, with subsequent inspiral on the dynamical timescale (Paczynski 1976).

The stability of mass transfer is determined in COMPAS using the mass-radius relationship, \( \xi = d \log R / d \log M \), in order to quantify how the radius responds to mass loss (Soberman, Phinney & van den Heuvel 1997; Tout et al. 1997). We compare the adiabatic mass-radius coefficient of the donor star \( \xi_{ad} \) to the Roche lobe mass-radius coefficient of the binary \( \xi_{RL} \). The latter is computed under the assumption of stable mass transfer, with the amount of angular momentum lost from the system given by the fiducial COMPAS model, as described in section 2.3.1 of Neijssel et al. (2019). In order for the mass transfer episode to be dynamically stable, we require \( \xi_{ad} \geq \xi_{RL} \). If this condition is not satisfied, mass transfer is assumed to be unstable on a dynamical timescale and leads to a common-envelope phase. We use the choices and implementation of \( \xi_{ad} \) from Vigna-Gómez et al. (2018), informed in part by quantitative comparisons to observations of Galactic double neutron stars and merging binary black holes. In addition to the standard picture of a common envelope described above, these choices are intended to phenomenologically account for common envelopes initiated by the expansion of the accretor beyond its Roche lobe in response to rapid mass transfer (Nariai & Sugimoto 1976).

For main-sequence (MS) and Hertzsprung-Gap (HG) donors, we use fixed values of \( \xi_{ad,MS} = 2.0 \) and \( \xi_{ad,HG} = 6.5 \), respectively. These mass-radius relations represent roughly average typical values for these phases, following adiabatic mass-loss models from Ge et al. (2015). The prescription for HG donors is an effective value intended to describe delayed dynamical instability (Hjellming & Webbink 1987), rather than incipient Roche lobe overflow from a radiative HG donor. For core-helium burning and giant stars we use a fit for condensed polytropes with convective envelopes presented in Soberman et al. (1997), \( \xi_{ad} = \xi_{SPH} \). Finally, for stripped stars, such as naked helium, helium-shell-burning or helium giant stars, we assume mass transfer to always be stable; this results in having no LRNe from these stripped donors in our model.

#### 2.2.1 Common-envelope evolution

The common-envelope phase remains a poorly understood key aspect of binary evolution. We use the standard assumption that if \( \xi_{ad} < \xi_{RL} \), mass transfer is unstable and leads to a common-envelope phase (Paczynski 1976). However, the stability threshold is uncertain: for example, apparent initial instability for HG donors could resolve into stable mass transfer (Pavlovskii et al. 2017).

It is predicted to lead to a significant tightening of the binary, and thus contribute to both stellar mergers and double compact object formation (see, e.g. discussion in Ivanova et al. 2013a). The common-envelope phase is initiated when unstable mass transfer allows the envelope of the donor to engulf the companion as well as the core of the donor, so that both stars/stellar cores orbit inside the recently formed common envelope. The binary formed by the companion and the donor’s core is not in co-rotation with the envelope. Viscous shear and tidal interactions with the envelope cause...
the companion to inspiral. This inspiral liberates orbital energy. If the released energy is large enough, and is effectively transferred to the envelope, the envelope may be ejected; otherwise, the system merges. The conditions that are assumed to lead to either envelope ejection or stellar merger within the envelope are discussed below.

2.2.2 Energy formalism

In the classic energy \( \alpha \)-formalism for the common envelope (Iben & Tutukov 1984; Webbink 1984, 2008; De Marco et al. 2011; Ivanova et al. 2013a), the change in orbital energy during the phase is compared to the binding energy required to eject the envelope to infinity. The difference in the initial and final orbital energies is given by

\[
\Delta E_{\text{orb}} = E_{\text{init}} - E_{\text{fin}} = \left( -\frac{G M_1 M_2}{2 \alpha_{\text{init}}} + \frac{G M_{1, c} M_2}{2 \alpha_{\text{final}}} \right),
\]

where \( \alpha_{\text{init}} \) and \( \alpha_{\text{final}} \) are the initial and final orbital separations, respectively, \( M_1 \) and \( M_2 \) are the initial masses of the two stars and \( M_{1, c} \) is the core mass of the donor after its envelope is removed. The parameter \( \alpha \) characterises the efficiency with which the orbital energy is used to eject the envelope whose initial binding energy is \( E_{\text{bind}} \):

\[
\alpha \Delta E_{\text{orb}} = E_{\text{bind}}.
\]

If the binding and orbital energies are the only energies involved in the common envelope interaction, then \( 0 \leq \alpha \leq 1 \). There are, however, additional possible energy sources not taken into account in the classical definition, such as recombination energy (Nandez & Ivanova 2016) or enthalpy (Ivanova & Chaichenets 2011), which allow for \( \alpha > 1 \). Other recent work suggests that \( \alpha < 0.6-1.0 \) (Iaconi & De Marco 2019). Generally speaking, a higher value of \( \alpha \) leads to more binaries surviving the common-envelope phase, while a lower value leads to more mergers during common-envelope evolution. In this paper, we assume \( \alpha = 1 \), although this assumption technically violates energy conservation in some of the models for ejecta kinetic energy described below. To check the impact of the assumed value of \( \alpha \) on our results, we repeat a population synthesis simulation with \( \alpha = 0.5 \). We discuss the effects of modifying this assumption in Section 3.1.

The binding energy of the envelope \( E_{\text{bind}} \) can be calculated from detailed stellar models for single stars (e.g. Dewi & Tauris 2000; Xu & Li 2010a; Loveridge, van der Sluys & Kalogera 2011; Ivanova 2011; Wang, Jia & Li 2016; Kruckow et al. 2016). The main source of uncertainty in calculating the binding energy is in determining the core-envelope boundary (e.g. Tauris & Dewi 2001; Ivanova 2011). In COMPAS, we use the parameter \( \lambda \) (de Kool, van den Heuvel & Pylyser 1987) to characterise the binding energy of a stellar envelope, so we can re-write equations (1) and (2) as (Ivanova et al. 2013a)

\[
\frac{G M_1 M_{1, c, \text{env}}}{\lambda R_1} = \alpha \left( -\frac{G M_1 M_2}{2 \alpha_{\text{init}}} + \frac{G M_{1, c} M_2}{2 \alpha_{\text{final}}} \right),
\]

where \( R_1 \) is the radius of the donor before the interaction. Equation (3) assumes that only the donor star has a core-envelope separation. If the companion also has an envelope, then we assume that unstable mass transfer from either star triggers a ‘double-core common envelope’, in which case equation (3) becomes

\[
\frac{M_1 M_{1, c, \text{env}}}{\lambda_1 R_1} + \frac{M_2 M_{2, c, \text{env}}}{\lambda_2 R_2} = \alpha \left( -\frac{G M_1 M_2}{2 \alpha_{\text{init}}} + \frac{G M_{1, c} M_2}{2 \alpha_{\text{final}}} \right).
\]

In this work, we follow Vigna-Gómez et al. (2018) in computing \( \lambda \) using fitting formulae to the detailed stellar structure models of Xu & Li (2010a), Xu & Li (2010b).\(^1\) In order to determine whether a common envelope interaction results in a merger or the ejection of the envelope, we solve equations (3) or (4) for \( d_{\text{final}} \). If both stars or stellar cores fit within their Roche lobes at the end of the common-envelope phase, we assume that the envelope has been ejected; otherwise, we assume that the stars merge.

2.2.3 Common-envelope evolution in COMPAS

COMPAS does not directly simulate the common-envelope interaction – such simulations are difficult and expensive even for single systems – and so our implementation contains several prescriptive assumptions for how common-envelope evolution proceeds. Here, we list several key assumptions that may differ between this work and other population synthesis studies.

(i) If the donor during a common-envelope phase is a MS star, the result is always a stellar merger.

(ii) The main change from the version of COMPAS used for Vigna-Gómez et al. (2018) is that MS accretors are allowed to engage in and survive a common-envelope phase. Previously, dynamically unstable mass transfer on to MS accretors was assumed to inevitably lead to mergers, which is still the case for MS donors. This change leads to a higher rate of common-envelope ejections compared to the previous model.

(iii) Some studies suggest that HG stars do not have a clear core/envelope separation, so unstable mass transfer involving HG donors should always lead to mergers (Belczynski et al. 2007; Dominik et al. 2012), similarly to MS donors. We flag these systems and follow their evolution. If we assume that a HG donor has a clear core/envelope separation, we evolve the system by following the common-envelope energy formalism described above, in what is referred to as the ‘optimistic’ variant. Alternatively, the system always merges in the ‘pessimistic’ variant. We examine the impact of these variants on our results in Section 3.

(iv) If the stripped core overflows its Roche lobe immediately after the common envelope is ejected, we assume that the binary does not successfully emerge from the common-envelope phase and the stars merge.

2.3 Simulation parameters

For our model population, we evolve \( 5 \times 10^5 \) binary systems. We draw the mass of the primary from the Kroupa initial mass function (IMF; Kroupa 2001), with \( 1.0 M_\odot < M_1 < 100 M_\odot \). The lower mass limit is chosen so that we only simulate systems in which the primary will evolve off the main sequence within approximately the age of the Universe. We neglect the effect of magnetic braking in low mass stars. The upper mass limit is chosen due to the uncertainty of stellar evolution models in the high mass range. We take our ZAMS mass cut into account when we normalise our simulated event rates by the total star formation rate. The secondary mass is determined by the total star formation rate. The secondary mass is determined by drawing a mass ratio from a flat distribution (Sana et al. 2012), with a minimum secondary mass of \( 0.1 M_\odot \), corresponding to the brown dwarf limit (Kumar 1963; Hayashi & Nakano 1963). The initial binary separation is drawn from a flat-in-the-log distribution

\(^1\) We use the \( \lambda_b \) values from Xu & Li (2010a), Xu & Li (2010b), which include the internal energy terms.
with $0.01 \, \text{AU} \leq a \leq 1000 \, \text{AU}$ (Abt 1983). While it is possible that binary systems may be born with separations as wide as $10^3 \, \text{AU}$, these are not expected to interact and can be accounted for through normalization. In fact, only around half of the systems we simulate undergo any form of mass transfer within a Hubble time.

Moe & Di Stefano (2017) inferred a more complex distribution of binary initial conditions from observations, with correlations between component masses, orbital separations, and birth eccentricities. Klenczi et al. (2018) compared the impact of the Moe & Di Stefano (2017) initial conditions against initial conditions similar to the ones we assumed here on the merger rate of double compact objects, and found that the effect of changing the initial distribution was generally well within other modelling uncertainties. Therefore, we opt for the simpler, non-correlated initial conditions in this study, but caution that this is one of several sources of uncertainty.

The initial conditions used in this simulation We use a global ’solar’ metallicity of $Z = 0.0142$ (Asplund et al. 2009). In this work, we assume a continuous, constant-rate star formation of infinite duration; we discuss the effect of this assumption and the binary fraction/separation completeness further in Section 4.

2.4 Luminous red novae

To determine the observational properties of LRNe resulting from common envelope interactions in our population synthesis simulations, we follow Ivanova et al. (2013b), and adapt the scaling relations of Popov (1993) and Kasen & Woosley (2009), derived for type IIP supernovae, to estimate the luminosity and duration of the LRN plateau:

$$L_p = 1.7 \times 10^4 L_\odot \left( \frac{R_{\text{init}}}{3.5 \, R_\odot} \right)^{2/3} \left( \frac{E_\infty}{10^{46} \, \text{erg}} \right)^{5/6} \times \left( \frac{M_{\text{unb}}}{0.03 \, M_\odot} \right)^{-1/2} \left( \frac{\kappa}{0.32 \, \text{cm}^2 \, \text{g}^{-1}} \right)^{-1/3} \left( \frac{T_{\text{rec}}}{4500 \, \text{K}} \right)^{4/3},$$

$$t_p = 17 \, \text{days} \left( \frac{R_{\text{init}}}{3.5 \, R_\odot} \right)^{1/6} \left( \frac{E_\infty}{10^{46} \, \text{erg}} \right)^{-1/6} \times \left( \frac{M_{\text{unb}}}{0.03 \, M_\odot} \right)^{1/2} \left( \frac{\kappa}{0.32 \, \text{cm}^2 \, \text{g}^{-1}} \right)^{1/6} \left( \frac{T_{\text{rec}}}{4500 \, \text{K}} \right)^{-2/3},$$

where $R_{\text{init}}$ is the Roche lobe radius of the donor star prior to the common envelope phase (or the binary separation in the case of double-core common envelope events), $E_\infty$ is the kinetic energy of the ejected material after it escapes the gravitational potential well, $M_{\text{unb}}$ is the mass of the ejected material, $\kappa$ is the opacity of the ionized ejecta, and $T_{\text{rec}}$ is the recombination temperature.

In this work, we use as fiducial values $\kappa = 0.32 \, \text{cm}^2 \, \text{g}^{-1}$ and $T_{\text{rec}} = 4500 \, \text{K}$. We parametrize $M_{\text{unb}} = f_{\text{unb}} M_{\text{orb}}$, where $0 \leq f_{\text{unb}} \leq 1$ and $M_{\text{orb}}$ is the mass of the envelope. We assume $f_{\text{unb}} = 1$ for successful common envelope ejection, corresponding to the total expulsion of the envelope. We explore the effect of varying values of $f_{\text{unb}}$ for common envelope interactions leading to stellar mergers in Section 3, where we consider $f_{\text{unb}} = 0.05$ and $f_{\text{unb}} = 0.5$. Some binaries ($\approx 6$ per cent) in our simulation undergo merger while both stars are still on the main sequence, before either has developed a core/envelope separation. Simulations of stellar mergers by direct collision find that $\approx 1 - 10$ per cent of the total mass may be lost (Lombardi et al. 2002; Glebbeek et al. 2013). Simulations of stellar mergers due to unstable mass transfer in binaries have also shown that a few per cent of the primary mass is ejected (Nandez, Ivanova & Lombardi 2014). We model MS-MS mergers in the same way as mergers resulting from a common-envelope interaction, but taking $M_{\text{env}}$ to be 25 per cent of the total mass of the binary; for $f_{\text{unb}} = 0.05 (0.5)$, this corresponds to 1.25 per cent (12.5 per cent) of the total MS-MS binary’s mass being ejected during a merger, so the two variants we consider likely bracket the true value.

2.5 Ejecta kinetic energy

The kinetic energy of the ejecta $E_\infty$ is the most poorly determined quantity in equations 5 and 6. We consider several prescriptions for calculating $E_\infty$:

(i) As the default model, we use the original prescription from Ivanova et al. (2013b), who assume that the kinetic energy of the ejecta is proportional to the gravitational potential energy of the ejected material at the donor’s surface before the interaction,

$$E_\infty = \zeta (GM_{\text{unb}} M)/R_{\text{init}},$$

where $M$ is the mass of the donor, or, for a double-core common-envelope, the total mass in the binary. Ivanova et al. (2013b) consider several values of $\zeta$; here we use $\zeta = 10$ for ejections and $\zeta = 1$ for mergers. This implies ejecting the material with $v_\infty = \sqrt{\zeta v_{\text{esc, don}}}$, where $v_{\text{esc, don}}$ is the escape velocity from the surface of the donor. If we enforce conservation of energy, $\Delta E_{\text{orb}} = E_\infty + E_{\text{bind}}$, this variant corresponds to a variable $\alpha$ given by $\alpha = 1/(1 + \zeta)$, although we use a fixed $\alpha = 1$ to determine whether the binary is able to eject the envelope in all variants for consistency.

(ii) We assume that the velocity of the ejected material is the same as the escape velocity from the binary, so that

$$E_\infty = \frac{1}{2} M_{\text{unb}} v_{\text{esc, bin}}^2 = \frac{GM_{\text{unb}} (M_1 + M_2 - M_{\text{unb}})}{a},$$

where $a = a_f$ for both mergers and ejections (the pre-CE escape velocity prescription), or,

$$(a) \quad a = a_f \quad \text{for mergers and} \quad a = a_f \quad \text{for ejections (the post-CE escape velocity prescription).}$$

These two variants span a broad range of ejecta kinetic energies in units of the envelope binding energy depending primarily on the mass ratio at the moment of CE onset, with significantly reduced ejecta energies for (ii-a) relatively to (ii-b) when the envelope is ejected and the binary hardens.

(iii) Alternatively, we use a prescription based on the simulations presented in Nandez & Ivanova (2016), calibrated to few-solar-mass systems, where for mergers we use the same value for $E_\infty$ as in prescriptions (ii-a) and (ii-b), and for ejections we use

$$E_\infty = 0.3 \Delta E_{\text{orb}}.$$ (9)

Variant (iii) corresponds to energy conservation with a fixed $\alpha = 0.7$.

2.6 Selection effects

In order to compute the detection rates and properties of observable LRNe, we need to take survey selection effects into account. For
each simulated LRN we compute the maximum cosmological volume in which the event can be detected in a magnitude-limited survey, while making the simplifying assumption of a static Universe. We then compute the star formation rate within the detectable volume of each event. For distances \( D < 100 \, \text{Mpc} \), we calculate the star formation rate from the blue luminosity. We take the cumulative \( B \)-band luminosity to distance \( D \) from the Gravitational-Wave Galaxy Catalogue (White, Daw & Dhillon 2011), and convert from luminosity to star formation rate using the approximate Milky Way values of \( 2M_\odot \, \text{yr}^{-1} \) of star formation with a \( B \)-band luminosity of \( 1.5 \times 10^{10} L_\odot \) (Licquia & Newman 2015; Licquia, Newman & Brinchmann 2015). Beyond 100 Mpc, where the galaxy catalogue is incomplete, \(^3\) we assume a global star formation rate of \( 0.015 M_\odot \, \text{yr}^{-1} \) (Madau & Dickinson 2014). The contribution of each simulated event to the event rate is the ratio of the integrated star formation rate in the observable volume of the event to the total evolved stellar mass represented by our simulation.

We consider the LSST limiting magnitude to be the median \( r \)-band value for the Wide, Fast, Deep survey of 21.6 (LSST Science Collaboration et al. 2017). The LSST Wide, Fast, Deep Survey will monitor 18000 square degrees with an average time between visits of 3 d. We account for the observed sky fraction in our predicted detection rates, but not for their duration: since more than 99 per cent of LRNe last for longer than 10 d in our fiducial model, we do not place any further cuts on plateau duration. We also predict LRN detection rates for the Zwicky Transient Facility (ZTF), which has a similar sky coverage and observing strategy to LSST, but with an average single-visit limiting \( r \)-band magnitude of 20.6 (Bellm et al. 2019). In applying selection effects, we do not apply a bolometric correction when converting between LRN luminosities calculated from equation (5) and magnitudes. The LRN SED is presently poorly constrained. Our model explicitly assumes a universal value of \( T_{\text{exc}} = 4500 \, \text{K} \). As the population of detected LRNe increases, it will be possible to improve this analysis.

## 3 RESULTS

In this section, we examine the luminosities and durations of our model population as given by equations (5) and (6) and make predictions for the observed statistics of LRNe that will be seen by LSST. We consider three distinct sources of uncertainty in our modelling: uncertainty in the population synthesis prescription; the fraction of the envelope mass that is ejected during a merger; and model uncertainty in the kinetic energy of the ejecta. The population synthesis variation we consider is whether systems with donors in the Hertzsprung Gap are able to expel their envelope or not, i.e. whether a system is a core helium burning, on the giant branch, EAGB, or is universal for all stellar types and masses, however, no global prescription exists for arbitrary initial masses. Therefore, here we only consider constant values of \( f_m \) to keep our analysis tractable. We consider each of the four prescriptions for \( E_\lambda^\infty \) discussed in Section 2.4. We use as our default model prescription (i) for \( E_\lambda^\infty \) from (Ivanova et al. 2013b), with \( \xi = 10 \) for ejections and \( \xi = 1 \) for mergers, \( f_m = 0.05 \) and pessimistic CE.

\(^3\)The GWGC is not complete even for \( D < 100 \, \text{Mpc} \) (Kulkarni, Perley & Miller 2018). However, since the majority of our detections are expected to come from sources at greater than 100 Mpc, this does not affect our results.

### 3.1 Population statistics

Here, we present summary statistics of our synthetic population of common-envelope events. As a sanity check, we compare our results to those of Politano et al. (2010), who performed a population synthesis study of the merger products from common-envelope evolution (see also de Mink et al. 2014). Politano et al. (2010) use detailed stellar models for binary evolution, rather than the analytic fits used in COMPAS, taken from Hurley et al. (2000). Therefore, their stellar structure models allow them to compute the binding energy of the envelope directly, avoiding the need for the \( \lambda \) parameterisation described in Section 2.2.2. On the other hand, we are able to consider a broader range of assumptions in our computationally efficient recipe-based population synthesis formalism.

This comparison is intended only as a guidepost, however, since the approach of Politano et al. (2010) differs from ours in several ways:

(i) Politano et al. (2010) do not discuss systems that merge while both stars are on the main sequence;
(ii) they consider a primary mass range of \( 0.95 M_\odot \leq M_1 \leq 10 M_\odot \), rather than \( 1 M_\odot \leq M_1 \leq 100 M_\odot \) used here;
(iii) Politano et al. (2010) use the Miller & Scalo (1979) IMF, whereas we use the Kroupa (2001) IMF. These IMFs differ for masses \( < 1 M_\odot \), but have the same slope above \( 1 M_\odot \);
(iv) Politano et al. (2010) use a minimum secondary mass of \( 0.013 M_\odot \), where we use \( 0.1 M_\odot \) (see Section 2.3);
(v) Politano et al. (2010) use a distribution of orbital periods which is flat in the log of the period (Abt 1983), whereas we use a distribution which is flat in the log of separations out to 1000 au. They do not state the period of their widest considered binaries.

We simulate \( 5 \times 10^5 \) binaries, representing \( 4.81 \times 10^5 M_\odot \) of star formation (see Sections 2.3 and 4 for the discussion of normalization).

A total of 52 per cent of our binaries are wide enough that they never interact and evolve as effectively two single stars, compared to 71 per cent in Politano et al. (2010). A total of 45 per cent of our simulated binaries undergo some form of unstable mass transfer, compared to 16 per cent in Politano et al. (2010). A total of 6 per cent of our simulated binaries begin unstable mass transfer when both stars are on the main sequence; COMPAS flags these systems as stellar mergers and does not continue tracking their evolution. A total of 39 per cent of simulated binaries undergo at least one phase of common-envelope evolution other than main-sequence mergers, with 5 per cent undergoing two phases of common-envelope evolution. We now discuss the statistics of the common-envelope phases, excluding those that merge while both stars are on the main sequence.

In our default model, 38 per cent of common-envelope phases end in stellar mergers, with the remaining 62 per cent resulting in an ejected envelope, versus 52 per cent of common envelopes leading to merger according to Politano et al. (2010). We find that 76 per cent of common-envelope phases are initiated by Roche Lobe overflow from the primary on to the secondary, with the remaining 24 per cent initiated by Roche Lobe overflow from the secondary on to the primary. 3 per cent of common envelope phases begin when both stars have developed a core-envelope separation (referred to as a double-core common envelope).

Of the common-envelope interactions that are initiated by the primary, 4 per cent have donors in the Hertzsprung gap in the Hurley et al. (2000) nomenclature, and the remaining 96 per cent have donors that are core helium burning, on the giant branch, EAGB,
or TPAGB. Of those that are initiated by the secondary, 1 per cent have donors on the main sequence, 6 per cent have donors in the Hertzsprung gap, and the remaining 92 per cent have donors that are either core helium burning, on the giant branch, EAGB, or TPAGB.

Common-envelope events involving a compact-object that lead to a merger are likely to appear as very bright supernovae, as the helium core of the donor is disrupted by the compact object and the energy of the outflow is reprocessed by the envelope (Schröder et al. 2019). However, we include them as LRN candidates here. Such systems form a small subset of our total population (≈ 2 per cent), and do not meaningfully change the characteristics of our predicted plateau distributions.

We repeated the population synthesis simulation with α = 0.5. We find that reducing α causes the fraction of common-envelope events leading to merger to increase from 38 per cent to 51 per cent. The stellar properties of the systems that merge or lead to common-envelope ejection do not change appreciably. Since the majority of detections of LRNe with LSST and ZTF will come from common-envelope ejections, the selection-biased distributions, are expected to follow the intrinsic population. For each distribution, we show contours containing 68 per cent, 90 per cent, and 95 per cent of the total integrated two-dimensional probability density. The distributions are smoothed from the Monte Carlo samples with a kernel density estimator: each simulated data point is replaced by a two-dimensional Gaussian ‘kernel’ (Scott 1992) in order to produce a smooth estimate of the underlying PDF.

Galactic events are in green and extragalactic events in black. In the margins of the joint distributions we show kernel density estimates of the one-dimensional PDFs p(t_p) and p(L_p) of the intrinsic population (blue curves) and the selection-biased population (red curves). Fig. 1 shows that the intrinsic distribution is bimodal, with the two peaks corresponding to dimmer, shorter-duration LRNe from mergers, and brighter, longer-duration LRNe from common-envelope ejections. The selection-biased distribution is dominated by the tail of the brightest events from ejections. The majority of the LRNe in table 1 are, within error, inside the outermost contour, however the five brightest events – NGC 4490–2011OT1, AT 2017jfs, UGC 12307–2013OT1, SNHunt248 and AT 2018hso – are outside the 95 per cent contour. The results for each set of variations are shown in Figs 2, 3, and 4.

The optimistic CE assumption (Fig. 2) produces a plateau distribution with brighter events than the pessimistic CE assumption: an additional peak appears at log (L_p/L_☉) ≈ 7.5. CE events can be initiated by HG donors when the binary is more compact and the envelope is more tightly bound than for more evolved donors; in our ejecta energy model, this leads to brighter LRNe. Only the optimistic CE assumption predicts a distribution which includes the brightest LRNe with the default energy prescription (i).

Fig. 3 shows that the mass fraction ejected during mergers does not substantially change the selection-biased distributions, which are dominated by common-envelope ejections. Increasing the mass fraction ejected during mergers does bring the two peaks

**Figure 1** Predicted joint luminosity/duration distribution of LRN plateaux using the default model. Blue contours show the joint distribution for the intrinsic population in our population synthesis simulation. Red contours show the predicted joint distribution that will be observed by LSST. Contours enclose 68 per cent, 90 per cent and 95 per cent of the total integrated probability. Blue and red curves in the margins are the corresponding one-dimensional distributions. The crosses (line lengths represent uncertainties) show the observed LRNe which occurred inside (green) and outside (black) the Galaxy.

**Figure 2** Predicted joint luminosity/duration distribution of LRN plateaux. The contours and symbols have the same meaning as in Fig. 1. This plot uses the same default ejecta energy model (i) as Fig. 1, but with the optimistic CE assumption.

**Figure 3** shows that the mass fraction ejected during mergers does not substantially change the selection-biased distributions, which are dominated by common-envelope ejections. Increasing the mass fraction ejected during mergers does bring the two peaks

### 3.2 Plateau luminosity-duration distributions

In this subsection, we show predictions for the joint probability distribution function (PDF) of LRN plateau luminosities and durations, p(t_p, L_p). We examine the effect of varying our default model in three ways: turning on the optimistic common envelope assumption; varying the amount of envelope material ejected during a merger; and varying the prescription for the kinetic energy of the ejecta.

Fig. 1 shows the predicted p(t_p, L_p) for our default model described in Section 2.4. The blue contours show the plateau duration-density estimates of the one-dimensional PDFs p(t_p) and p(L_p) of the intrinsic population (blue curves) and the selection-biased population (red curves).
representing mergers and ejections closer together in the intrinsic luminosity distribution (blue curve), which leads to a poorer match between predictions and the observed Galactic LRNe. In particular, V1309 Sco lies outside the 95 per cent integrated probability density contour.

Fig. 4 shows that the choice of model for the ejecta energy \( E^\infty_k \) significantly affects our predicted distributions. The pre-CE escape velocity \( E^\infty_k \) prescription (ii-a) has a unimodal luminosity distribution with reduced ejection energies and yields a significant density near many of the observed events, but does not produce any LRNe as bright as the five brightest. The reduced ejecta energies in this model deprive the intrinsic distribution of high-luminosity tails, so that the difference between the intrinsic distribution and the luminosity-biased distribution is less pronounced for this model than for other models. On the other hand, the post-CE escape velocity \( E^\infty_k \) prescription (ii-b) predicts a distribution dominated by brighter, longer duration events than the other models, and has significant density around all observed extragalactic LRNe. Meanwhile, the Nandez & Ivanova (2016) prescription (iii) yields a broad range of plateau durations but generally favours lower luminosities and again does not predict any events as bright as the five brightest observed LRNe.

### 3.3 Event rates

#### 3.3.1 Galactic

Both the intrinsic rate of common-envelope events and the observed rate of LRN transients are highly uncertain. The best constraint on the rate of LRNe comes from the number detected within the Galaxy, which, given the long duration and brightness of LRNe, we can assume to be close to a complete sample (though see discussion in Kochanek, Adams & Belczynski 2014). The detection of three Galactic LRNe within the last 25 yr gives a Galactic rate of \( \sim 0.12 \, \text{yr}^{-1} \) per Milky Way equivalent galaxy, or one event per \( \sim 17 \, M_\odot \) of star formation, assuming a Milky Way star formation rate of \( 2 \, M_\odot \, \text{yr}^{-1} \) (Licquia & Newman 2015). Ofek et al. (2008) inferred a 95 per cent-confidence lower limit of 0.019 yr\(^{-1}\) on the rate based on the observations of V838 Mon and V4332 Sgr. These
Luminous Red Novae

Figure 5  Rate of Galactic LRNe brighter than a given absolute magnitude $m$ as predicted by our default model. The grey shaded region corresponds to the observational upper limit from Adams et al. (2018), and the green line and shaded region correspond to empirical constraints from Galactic LRNe in Kochanek et al. (2014). Note that the comparison is not exact, as our magnitudes are bolometric while those of Adams et al. (2018) and Kochanek et al. (2014) are in the $I$ band.

values match the rate from our simulation of one event per $19.1 \, M_\odot$ of star formation, or $0.1 \, yr^{-1}$ in the Milky Way. They also roughly agree with a previous population study by Kochanek et al. (2014), who modelled the Galactic rate of common-envelope events to be $0.2 \, yr^{-1}$.

In Fig. 5 we show the intrinsic (i.e. Galactic) rate of LRNe as a function of absolute magnitude ($m$) predicted by our default model. We also show the observational upper limit constraints from Adams et al. (2018) as the grey shaded region, and the empirical constraints from Kochanek et al. (2014) as the green shaded region. Our model agrees with both constraints, except for the brightest events with $M \lesssim -12$, where it diverges from the rate of Kochanek et al. (2014). However, their rate is derived from only three Galactic observations, and is extrapolated for bright events.

3.3.2 Extragalactic

Outside the Galaxy, assuming a star formation rate of $0.015 \, M_\odot \, Mpc^{-3} \, yr^{-1}$ (Madau & Dickinson 2014), our predicted local average LRN rate is $\sim 8 \times 10^{-3} \, Mpc^{-3} \, yr^{-1}$. Comparing our volumetric LRN rate with the rate of formation of binary black holes (BBHs) which merge within a Hubble time, $24-112 \, Gpc^{-3} \, yr^{-1}$ (Abbott et al. 2019), we predict that LRNe are $\sim 10^4$ times more common than the formation of merging BBHs, and hence, even if the majority of BBHs are formed through common-envelope evolution, their progenitors are unlikely to form an appreciable sub-population of LRNe.

3.3.3 Upcoming surveys - LSST and ZTF

We make predictions for the populations of LRNe observable in future surveys with LSST (LSST Science Collaboration et al. 2009) and the ZTF (Graham et al. 2019; Bellm et al. 2019).

In the top panel of Fig. 6 we show the predicted detection rate for LRNe with LSST as a function of plateau luminosity for the model variants described in Section 2.4. The bottom panel of Fig. 6 shows the same for ZTF. The default model predicts 430 LRN detections per year with LSST, and we summarise the rates from our model variations in Table 2.

| Model name | LSST rate | ZTF rate |
|------------|-----------|----------|
| Default    | 430       | 4.3      |
| Optimistic CE | 530       | 4.9      |
| $f_m = 0.5$ | 470       | 4.7      |
| (ii-a) Pre-CE escape velocity | 20       | 0.5      |
| (ii-b) Post-CE escape velocity | 740       | 5.7      |
| (iii) Nandez & Ivanova 2016 | 20       | 0.5      |

Apart from the pre-CE escape velocity model (ii-a) and the Nandez & Ivanova (2016) model (iii), all our LSST detection rate estimates fall within the previously predicted LSST detection rate envelope of 80–3400 yr$^{-1}$ (LSST Science Collaboration et al. 2009). With our default model, we predict that ZTF will detect $\approx 4$ LRN each year, consistent with Adams et al. (2018), and between our various models we predict between $\approx 0.5–6$ LRNe per year with ZTF.

4We use $m$ for the absolute magnitude to avoid confusion with mass $M$. 

Figure 6 Top panel: cumulative rate of LRNe observed by LSST brighter than a given plateau luminosity $L_p$ as predicted by our default model and model variations described in Section 2.4. Bottom panel: cumulative rate of LRNe observed by ZTF (while the scaling between curves corresponding to different models is the same as in the top panel, but vertical axis is linear rather than logarithmic for clarity).
We find that the brightest LRNe have luminosities $6.5 < \log_{10}(L/L_\odot) < 8.5$ (absolute bolometric magnitudes $-11.5 > m > -16.5$), depending on our model assumptions. The brightest observed LRN NGC 4490-OT (Smith et al. 2016; Pastorello et al. 2019) had an absolute bolometric magnitude of $\sim -14$, suggesting it was among the brightest such events we can expect to observe.

4 DISCUSSION

We have considered a range of models for connecting CE events to LRNe, although this is not an exhaustive list of plausible variations. The current sample of observed Galactic and extragalactic LRNe is already constraining. Only our default ejecta energy model (i) with optimistic CE and the post-CE escape velocity ejecta energy model (ii-b) can plausibly explain all events. The rest of our models fail to optimistically constrain. Only our default ejecta energy model (i) with the orange dashed curve includes the observational bias.

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The current sample of observed Galactic and extragalactic LRNe is among the brightest such events we can expect to observe. The rest of our models fail to optimistically constrain. Only our default ejecta energy model (i) with the orange dashed curve includes the observational bias.
to those we quote, but could decrease the luminosity and duration of individual LRNe, especially if later ejections are obscured by the material emitted during earlier ones.

Massive red supergiants (RSGs) in low-metallicity environments have been invoked to explain the formation through common-envelope evolution (e.g. Belczynski et al. 2016; Stevenson et al. 2017; Stevenson et al. 2019) of the merging binary black holes being observed by Advanced LIGO and Virgo (Abbott et al. 2019). However, there are no massive RSGs observed in the Milky Way and the Magellanic Clouds with a luminosity greater than $\sim 10^{5.5} L_\odot$ (Humphreys & Davidson 1979; Levesque 2017). Stellar models predict that the most massive RSGs correspond to single stars with initial masses of $\sim 40 M_\odot$ (e.g. Ekström et al. 2012; Sanyal et al. 2017; Groh et al. 2019). In our model, stars with initial masses $\gtrsim 40 M_\odot$ have high mass loss rates and do not form RSGs at solar metallicity.

Using our model, we can estimate what the luminosity of a LRN with a massive RSG donor would look like. Taking approximate sample parameters of a $40 M_\odot$, $10^{5.4} L_\odot$ donor with a temperature of 4000 K and hence a radius of $\sim 1000 R_\odot$ which ejects a 20 $M_\odot$ envelope during a CE event would yield a $1.3 \times 10^{7} L_\odot$ LRN according to the default ejecta energy prescription (i). This lies at the upper end of our predictions using this model, and suggests that a population of more luminous events with red progenitors would point to the existence of massive RSGs. RSGs can have higher masses and smaller radii for a given mass at lower metallicity. The point to the existence of massive RSGs. RSGs can have higher masses and smaller radii for a given mass at lower metallicity. The upper end of our predictions using this model, and suggests that a population of more luminous events with red progenitors would point to the existence of massive RSGs.

Observations of the significant population of LRN NGC 4490-OT2011 that is associated with a blue progenitor.

Observations of the significant population of LRN that will be accessible with LSST will provide insights on CE physics and the evolution of massive stars that will be complementary to existing observations. Given the critical importance of CE events to massive binary evolution, LRNe may play an important role in elucidating massive binaries as progenitors of gravitational-wave sources.

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The CE event in the illustrative example of the plausible formation of the source of the first gravitational-wave detection GW150914 by Belczynski et al. (2016) would yield an LRN with a plateau luminosity of $\sim 2.5 \times 10^{9} L_\odot$ in our default model. However, as we discuss in Section 3.3.2, LRNe associated with BBH formation are sufficiently rare that we do not expect them to form an observable subpopulation.
