Scattering theory for graphs isomorphic to a homogeneous tree at infinity
Yves Colin de Verdière, Francoise Truc

To cite this version:
Yves Colin de Verdière, Francoise Truc. Scattering theory for graphs isomorphic to a homogeneous tree at infinity. 2013. hal-00728357v2

HAL Id: hal-00728357
https://hal.archives-ouvertes.fr/hal-00728357v2
Preprint submitted on 17 May 2013

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L’archive ouverte pluridisciplinaire HAL, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d’enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.
Scattering theory for graphs isomorphic to a homogeneous tree at infinity

Yves Colin de Verdière∗
Françoise Truc †

May 17, 2013

Abstract

We describe the spectral theory of the adjacency operator of a graph which is isomorphic to a regular tree at infinity. Using some combinatorics, we reduce the problem to a scattering problem for a finite rank perturbation of the adjacency operator on a regular tree. We develop this scattering theory using the classical recipes for Schrödinger operators in Euclidian spaces.

1 Introduction

The aim of this paper is to describe in an explicit way the spectral theory of the adjacency operator on an infinite graph Γ which, outside of a finite sub-graph Γ0, looks like a regular tree Tq of degree q + 1. We mainly adapt the case of the Schrödinger operators as presented in [12, 11]. The proofs are often simpler here and the main results are similar. This paper can be read as an introduction to the scattering theory for differential operators on smooth manifolds. Even if we do not find our results in the literature, there is probably nothing really new for experts in the scattering theory of Schrödinger operators, except the combinatorial part in Section 5.

The main result is an explicit spectral decomposition: the Hilbert space ℓ2(Γ) splits into a sum of two invariant subspaces ℓ2(Γ) = Hac ⊕ Hpp. The first one

∗Keywords: scattering on graphs, spectral measure, regular tree, eigenfunction expansion.
†Math Subject Classification (2000): 05C63, 05C50, 05C12, 35J10, 47B25.
∗Grenoble University, Institut Fourier, Unité mixte de recherche CNRS-UJF 5582, BP 74, 38402-Saint Martin d’Hères Cedex (France); yves.colin-de-verdiere@ujf-grenoble.fr; http://www-fourier.ujf-grenoble.fr/~ycolver/
†Grenoble University, Institut Fourier, Unité mixte de recherche CNRS-UJF 5582, BP 74, 38402-Saint Martin d’Hères Cedex (France); francoise.truc@ujf-grenoble.fr; http://www-fourier.ujf-grenoble.fr/~trucfr/
is an absolutely continuous part isomorphic to a closed sub-space of that of the regular tree of degree \( q + 1 \), while the second one is finite dimensional and we have an upper bound on its dimension. The absolutely continuous part of the spectral decomposition is given in terms of explicit generalized eigenfunctions whose behavior at infinity is described in terms of a scattering matrix.

We first introduce the setup, then we recall the spectral decomposition of the adjacency operator \( A_0 \) of a regular tree \( T_q \) by using the Fourier-Helgason transform. In Section 3, we consider a Schrödinger operator \( A = A_0 + W \) on \( T_q \), where \( W \) is a compactly supported non local potential. We build the generalized eigenfunctions for \( A \), define a deformed Fourier-Helgason transform and get a spectral decomposition of \( A \) (Theorem 4.3). In section 4, we derive a similar spectral decomposition of the adjacency operator of any graph \( \Gamma \) asymptotic to a regular tree \( T_q \) by proving the following combinatorial result (Theorem 4.2): any such graph \( \Gamma \) is isomorphic to a connected component of a graph \( \hat{\Gamma} \) which is obtained from \( T_q \) by a finite number of modifications. This implies that the adjacency operator of \( \hat{\Gamma} \) is a finite rank perturbation of the adjacency operator of \( T_q \). In section 5, we investigate some consequences of the scattering theory developed in section 3: we write the point-to-point correlations of scattered waves in terms of the Green’s function, we define the transmission coefficients, connect them to the scattering matrix, and get an explicit expression of them in terms of a Dirichlet-to-Neumann operator. For the sake of clarity, this part has been postponed, since it is not necessary to prove Theorem 4.2.

2 The setup: graphs asymptotic to a regular tree

Let us consider a connected graph \( \Gamma = (V_\Gamma, E_\Gamma) \) with \( V_\Gamma \) the set of vertices and \( E_\Gamma \) the set of edges. We write \( x \sim y \) for \( \{x, y\} \in E_\Gamma \).

**Definition 2.1** Let \( q \geq 2 \) be a fixed integer. We say that the infinite connected graph \( \Gamma \) is asymptotic to a regular tree of degree \( q + 1 \) if there exists a finite sub-graph \( \Gamma_0 \) of \( \Gamma \) such that \( \Gamma' := \Gamma \setminus \Gamma_0 \) is a disjoint union of a finite number of trees \( T_l \), \( l = 1, \ldots, L \), rooted at a vertex \( x_l \) linked to \( \Gamma_0 \) and so that all vertices of \( T_l \) different from \( x_l \) are of degree \( q + 1 \). The trees \( T_l \), \( l = 1, \ldots, L \), are called the ends of \( \Gamma \).

Equivalently, \( \Gamma \) is infinite, has a finite number of cycles and a maximal sub-tree of \( \Gamma \) has all vertices of degree \( q + 1 \) except a finite number of them.

**Definition 2.2** We define the edge boundary \( (\partial_e \Gamma_0) \) of \( \Gamma_0 \) as the set of edges of \( \Gamma \) connecting a vertex of \( \Gamma_0 \) to a vertex of \( \Gamma' \), namely one of the \( x_l \)'s. We denote by \( |x|_{\Gamma_0} \) the combinatorial distance of \( x \in V_\Gamma \) to \( \Gamma_0 \).
Figure 1: A graph $\Gamma$ asymptotic to a regular 2-tree with $L = 3$; the edge boundary $\partial_1 \Gamma_0$ has 4 edges.

In particular, for $l = 1, \cdots, L$, $|x_l|_{\Gamma_0} = 1$.

The space of complex-valued functions on $V_\Gamma$ is denoted

$$C(\Gamma) = \{ f : V_\Gamma \to \mathbb{C} \}$$

and $C_0(\Gamma) \subset C(\Gamma)$ is the subspace of functions with finite support. We define also

$$l^2(\Gamma) = \{ f \in C(\Gamma); \sum_{x \in V_\Gamma} |f(x)|^2 < \infty \}.$$  

It is a Hilbert space when equipped with the inner product:

$$\langle f, g \rangle = \sum_{x \in V_\Gamma} \overline{f(x)} g(x).$$

Let us emphasize that we take the physicist’s notation, as in [12] for example: our inner product is conjugate-linear in the first vector and linear in the second. On $C_0(\Gamma)$, we define the adjacency operator $A_\Gamma$ by the formula:

$$(A_\Gamma f)(x) = \sum_{y \sim x} f(y) \quad (1)$$

The operator $A_\Gamma$ is bounded on $l^2(\Gamma)$ if and only if the degree of the vertices of $\Gamma$ is bounded, which is the case here. In that case, the operator $A_\Gamma$ is self-
adjoint; otherwise, the operator \( A_\Gamma \) defined on \( C_0(\Gamma) \) could have several self-adjoint extensions.

For any \( \lambda \) outside the spectrum of \( A_\Gamma \), we denote by \( R_\Gamma(\lambda) : l^2(\Gamma) \to l^2(\Gamma) \) the resolvent \( (\lambda - A_\Gamma)^{-1} \) and by \( G_\Gamma(\lambda, x, y) \) with \( x, y \in V_\Gamma \) the matrix of \( R_\Gamma(\lambda) \), also called the Green’s function.

3 The spectral decomposition of the adjacency matrix of the tree \( T_q \) and the Fourier-Helgason transform

3.1 Points at infinity

Let \( T_q = (V_q, E_q) \) be the regular tree of degree \( q + 1 \) and let us choose an origin, also called a root, \( O \). We denote by \( |x| \) the combinatorial distance of the vertex \( x \) to the root. The set of points at infinity denoted \( \Omega_O \) is the set of infinite simple paths starting from \( O \). We will say that a sequence \( y_n \in V_q \) tends to \( \omega \in \Omega_O \) if, for \( n \) large enough, \( y_n \) belongs to the path \( \omega \) and is going to infinity along that path. If \( x \) is another vertex of \( V_q \), the sets \( \Omega_O \) and \( \Omega_x \) are canonically identified by considering paths which coincide far from \( O \) and \( x \). There is a canonical probability measure \( d\sigma_O \) on \( \Omega_O \):

\[ d\sigma_O \] is the unique probability measure on \( \Omega_O \) which is invariant by the automorphisms of \( T_q \) leaving \( O \) fixed. Later on we will always denote by \( \Omega \) the set of points at infinity, because the root is fixed. For the tree \( T_q \), the Busemann function \( x \to b_\omega(x) \) associated to the point \( \omega \in \Omega_O \) is defined as follows: let us denote by \( x_\omega \) the last point lying on \( \omega \) in the geodesic path joining \( O \) to \( x \), (take \( x_\omega = O \) in the case where \( O \) belongs to the geodesic from \( x \) to \( \omega \) ), and let us set \( b_\omega(x) = |x_\omega| - d(x, x_\omega) \). The level sets of \( b_\omega \) are the horocycles associated to \( \omega \). We notice that the function \( b_\omega(x) \) increases by one for one of \( x \)'s neighbors, namely the one of the ray from \( x \) to \( \omega \), and decreases for the others. Thus the function \( b_\omega(x) \) goes to \( +\infty \) as \( x \) tends to \( \omega \). As \( x \) tends to \( \omega' \neq \omega \), the function \( b_\omega(x) \) tends to \( -\infty \), whereas the quantity \( b_\omega(x) + |x| \) remains bounded, since it tends to \( 2|x_\omega| \).

3.2 The spectral Riemann surface

Let us define the Riemann surface \( S = \mathbb{R}/\tau \mathbb{Z} \times i\mathbb{R} \) with \( \tau = 2\pi/\log q \). We denote by \( S^0 = \mathbb{R}/\tau \mathbb{Z} \) the circle \( \Im s = 0 \), and we set \( I_q := [-2\sqrt{q}, 2\sqrt{q}] \).

**Definition 3.1** For any \( s \in S \), we set : \( \lambda_s = q^{\frac{1}{2}+is} + q^{\frac{1}{2}-is} \).

**Proposition 3.1** The map \( \hat{\Lambda} : s \to \lambda_s \) is holomorphic from \( S \) to \( \mathbb{C} \). It maps bijectively the physical sheet \( S^+ = \{ s \in S \mid \Im s > 0 \} \) onto \( \mathbb{C} \setminus I_q \). By this map the circle \( S^0 \) is a double covering of \( I_q \).
Definition 3.2 If $J$ is a subset of $\mathbb{C}$, we denote by $\hat{J}$ the pre-image of $J$ by the map $\tilde{\Lambda}$, i.e. $\hat{J}$ is the subset of $S$ defined by $\hat{J} := \{ s \in S \mid \lambda_s \in J \}$.

3.3 Calculation of the Green’s function

The results of this section are classical, see for example the paper by P. Cartier [2]. We denote by $A_0$ (resp. $G_0$) the adjacency operator (resp. the Green’s function) on $\mathbb{T}_q$. We will compute explicitly $G_0(\lambda, x, y)$. Let us recall that the regular tree is 2-point regular: for any $x, y, x', y' \in V(\mathbb{T}_q)$ so that $d(x, y) = d(x', y')$, there exists an automorphism $J$ of $\mathbb{T}_q$ so that $J(x) = x'$ and $J(y) = y'$. The Green’s function $G(\lambda, x, y)$ satisfies $G(\lambda, Jx, Jy) = G(\lambda, x, y)$ for any automorphism $J$ of $\mathbb{T}_q$. Hence, $G(\lambda, x, y)$ is a function of the distance $d(x, y)$. It is therefore enough to compute $G_0(\lambda, O, x)$ for an $x \in V_q$, that is the value $f(x)$ of the $l^2$ solution of

$$(\lambda - A_0)f = \delta_O,$$  

where $f(x)$ depends only on the distance $|x|$ to the origin $O$. So we set $f(x) = u_k$ if $|x| = k, k \in \mathbb{N}$, and rewrite equation (2) as follows:

i) $\lambda u_k - qu_{k+1} - u_{k-1} = 0$ for $k \geq 1$

ii) $\lambda u_0 - (q + 1)u_1 = 1$
iii) $\sum_{n=0}^{\infty} (q + 1)q^{n-1}u_n^2 < +\infty$

The last condition stands for $f$ to be in $l^2(T_q)$.

- If $\lambda \notin I_q$, the equation
  \[ q\alpha^2 - \lambda \alpha + 1 = 0 \]
  admits an unique solution $\alpha$ such that $|\alpha| < 1/\sqrt{q}$. From i) and iii), we get that $u_k = C\alpha^k$ and the constant $C$ is determined by ii) :
  \[ C = C_\lambda = \frac{1}{\lambda - (q + 1)\alpha}. \]

Therefore we have
\[ G_0(\lambda, O, x) = \frac{2q\alpha^{|x|}}{\lambda(q-1) + (q+1)F(\lambda)} \]
where $F(\lambda)$ denotes the determination of $\sqrt{\lambda^2 - 4q}$ in $\mathbb{C}\setminus I_q$ equivalent to $\lambda$ as $\lambda$ tends to infinity. Thus using the invariance of the Green’s function by the group of automorphisms of the tree, we see that the Green’s function $G_0(\lambda, x, y)$ is a function of the distance $d(x, y)$ and we have, for any $x, y \in V(T_q)$,
\[ G_0(\lambda, x, y) = C_\lambda \alpha^{d(x,y)}. \quad (3) \]

The operator of matrix $G_0(\lambda, ..,)$ is clearly bounded in $l^2(T_q)$ and $\lambda$ is not in the spectrum of $A_0$.

- If $\lambda \in I_q$, there is no $l^2$ solution of Equation (2). Therefore we cannot solve $(\lambda - A_0)f = \delta_O$ in $l^2$, the resolvent does not exist and $\lambda$ is in the spectrum of $A_0$. 

Figure 3: The surface $S$, the map $\tilde{\Lambda}$ from $S$ to $\mathbb{C}$, and the double cover of $S_0$ over $I_q$. 

\[
\begin{align*}
S_+ & \quad \tilde{\Lambda} \\
-\tau/2 & \quad S_0 \quad \tau/2 \\
-2\sqrt{q} & \quad I_q \quad 2\sqrt{q}
\end{align*}
\]
Using the parameter $s \in S^+$, we have

$$\alpha = q^{-\frac{1}{2} + is}, \quad C_{\lambda_s} := C(s) = \frac{1}{q^{\frac{1}{2} - is} - q^{\frac{1}{2} + is}} \quad \text{and} \quad F(\lambda_s) = q^{\frac{1}{2} - is} - q^{\frac{1}{2} + is}.$$ 

**Theorem 3.1** The spectrum of $A_0$ is the interval $I_q = [-2\sqrt{q}, +2\sqrt{q}]$.

The Green's function of the tree $T_q$ is given, for $s \in S^+$ by

$$G_0(\lambda_s, x, y) = C(s)q^{(-\frac{1}{2} + is)d(x, y)} = \frac{q^{(-\frac{1}{2} + is)d(x, y)}}{q^{\frac{1}{2} - is} - q^{\frac{1}{2} + is}}. \quad (4)$$

As a function of $s$, the Green's function extends meromorphically to $S$ with two poles $-i/2$ and $-i/2 + \tau/2$.

Moreover we have, for any $x \in V_q$ and any $y$ belonging to the ray from $x_\omega$ to $\omega$,

$$G_0(\lambda_s, x, y) = G_{\text{rad}}(\lambda_s, y)q^{\left(-\frac{1}{2} + is\right)b_{\omega}(x)} \quad (5)$$

with

$$G_{\text{rad}}(\lambda_s, y) = C(s)q^{\left(-\frac{1}{2} + is\right)|y|} \quad (6)$$

**Proof.** –

The last result comes from the definition $b_{\omega}(x) = |x_\omega| - d(x, x_\omega)$.

\[ \square \]

### 3.4 The density of states

Let us recall how to introduce a notion of spectral measure (also called density of states) on the graph $\Gamma$. For a given continuous function $\phi : \mathbb{R} \to \mathbb{R}$, we associate by the functional calculus an operator $\phi(A_\Gamma)$ on $l^2(\Gamma)$, which has a matrix $[\phi(A_\Gamma)](x, x')$. We consider then, for any $x \in V_\Gamma$, the linear form on $C(\mathbb{R}, \mathbb{R})$

$$L_x(\phi) = [\phi(A_\Gamma)](x, x) .$$

$L_x$ is positive and satisfies $L_x(1) = 1$, so we have $L_x(\phi) = \int_\mathbb{R} \phi d\sigma_x$ where $d\sigma_x$ is a probability measure on $\mathbb{R}$, supported by the spectrum of $A_\Gamma$ which is called the spectral measure of $\Gamma$ at the vertex $x$.

The density of states of $T_q$ is given by the

**Theorem 3.2** (See for example [4]) The spectral measure $d\sigma_x$ of $T_q$ is independent of the vertex $x$ and is given by

$$d\sigma_x(\lambda) := d\sigma(\lambda) = \frac{(q + 1)\sqrt{4q - \lambda^2}}{2\pi ((q + 1)^2 - \lambda^2)} d\lambda \quad (7)$$

**Proof.** –

7
For the sake of clarity, we recall the main ingredients:

1) an explicit computation of the diagonal entries of the Green's function

\[ G_0(\lambda, x, x) = \frac{2q}{\lambda(q-1) - (q+1)F(\lambda)} \]

where \( F(\lambda) \) denotes as previously the determination of \( \sqrt{\lambda^2 - 4q} \) in \( \mathbb{C}/I_q \) (with \( I_q = [-2\sqrt{q}, 2\sqrt{q}] \)) equivalent to \( \lambda \) for great values of \( \lambda \).

2) The expression of the spectral measure via Stone formula

\[ d\varepsilon(\lambda) = \frac{-1}{2i\pi} (G(\lambda + i0, x, x) - G(\lambda - i0, x, x)) \, dt. \]  

\[ \square \]

The previous density of states is the weak limit for the densities of a graph asymptotic to a regular tree. More precisely we have

**Theorem 3.3** Let \( \Gamma \) be as in definition 2.1. Consider the adjacency operator \( A_\Gamma \) defined by (1), denote \( A := A_\Gamma \) for simplicity. When \( x \) tends to infinity, the densities of states \( d\rho^A_x(\lambda) \) of \( \Gamma \) converge weakly to the density of states \( d\varepsilon(\lambda) \) of \( T_q \) defined by (7).

**Proof.–**

It is enough to compute the limits of \( \int \lambda^n d\rho^A_x(\lambda) \) for \( n \) fixed and \( x \to \infty \). By definition, we have \( \int t^n d\rho^A_x = [A^n](x, x) \), and

\[ [A^n](x, x) = \sum a_{x,x_1}a_{x_1,x_2}\cdots a_{x_{n-1},x} \]

where the sum is on loops \( \gamma = (x, x_1, x_2, \cdots, x_{n-1}, x) \) of length \( n \) based at \( x \). If we assume that \( |x|_{\Gamma_0} > n/2 \), the loops do not meet \( \Gamma_0 \) and therefore \( [A^n](x, x) = [A^n_0](x, x) \).

\[ \square \]

### 3.5 The Fourier-Helgason transform

Let us recall the definition of the Fourier-Helgason transform on the tree \( T_q \) with the root \( O \).

**Definition 3.3** For any \( f \in C_0(T_q) \), the Fourier-Helgason transform \( \mathcal{FH}(f) \) is the function defined by the finite sum

\[ \mathcal{FH}(f)(\omega, s) := \hat{f}(\omega, s) = \sum_{x \in V_q} f(x)q^{(1/2+is)b_\omega(x)}. \]  

for any \( \omega \in \Omega_O \) and any \( s \in S \).
Definition 3.4 For any $\omega \in \Omega$ and any $s \in S$ we define the "incoming plane wave" $e_0(\omega, s)$ as the function $x \to e_0(x; \omega, s)$, where

$$\forall x \in V_q, \quad e_0(x, \omega, s) = q^{(1/2 - is)b_\omega(x)}.$$  

For $s \in S_0$, such a plane wave is a generalized eigenfunction for the adjacency operator $A_0$ on $T_q$ in the sense that it satisfies

$$(\lambda_s - A_0)e_0(x, \omega, s) = 0 \quad (\lambda_s = 2\sqrt{q}\cos(s \log q)),$$

but is not in $l^2$.

If we restrict ourselves to $s \in S_0$, definition 3.3 writes

$$\hat{f}(\omega, s) = \langle e_0(\omega, s), f \rangle = \sum_{x \in V_q} f(x)e_0(x, \omega, s),$$

and the completeness of the set $\{e_0(\omega, s), s \in S_0, \omega \in \Omega\}$ is expressed by the following inversion formula (see [CMS]):

Theorem 3.4 For any $f \in C_0(T_q)$, the following inverse transform holds

$$f(x) = \int_{S_0} \int_{\Omega} e_0(x, \omega, s)\hat{f}(\omega, s)d\sigma_O(\omega)d\mu(s)$$  

where

$$d\mu(s) = \frac{(q + 1)\log q}{\pi} \frac{\sin^2(s \log q)}{q + q^{-1} - 2\cos(2s \log q)}|ds|.$$  

Moreover the Fourier-Helgason transform extends to a unitary map from $l^2(T_q)$ into $L^2(\Omega \times S^0, d\sigma_O \otimes d\mu)$.

The Fourier-Helgason transform is not surjective: its range is the subspace $L^2_{even}(\Omega \times S^0, d\sigma_O \otimes d\mu)$ of the functions $F$ of $L^2(\Omega \times S^0, d\sigma_O \otimes d\mu)$ which satisfy the symmetry condition (see, for example, [9] or [10])

$$\int_{\Omega} e_0(x, \omega, s)F(\omega, s)d\sigma_O(\omega) = \int_{\Omega} e_0(x, \omega, -s)F(\omega, -s)d\sigma_O(\omega).$$

The Fourier-Helgason transform provides a spectral resolution of $A_0$: if $\phi : \mathbb{R} \to \mathbb{R}$ is continuous,

$$\phi(A_0) = (\mathcal{F}\mathcal{H})^{-1}\phi(\lambda_s)\mathcal{F}\mathcal{H},$$

where $\phi(\lambda_s)$ denotes the operator of multiplication by that function on $L^2_{even}(\Omega \times S^0, d\sigma_0 \otimes d\mu)$.

Corollary 3.1 From the inverse Fourier-Helgason transform formula (12) we find back the expression of the spectral measure of $T_q$ (see Theorem 3.2).

Proof.–
By homogeneity of the tree $T_q$, for any continuous function $\phi : \mathbb{R} \to \mathbb{R}$, $[\phi(A_0)](x, x)$ is independent of $x$. Using (12), we get

$$[\phi(A_0)](O, O) = \int_{\Omega} \int_{S^0} \phi(\lambda_s)e_0(O, \omega, s)e_0(O, \omega, s)\, d\sigma_0(\omega)d\mu(s) = \int_{S^0} \phi(\lambda_s)\, d\mu(s).$$

Let us perform the change of variables

$$s = f_q(\lambda) := \frac{1}{\log q} \arccos \frac{\lambda}{2\sqrt{q}}.$$

Using (13) and the fact that, by the map $s \to \lambda_s$, the circle $S^0$ is a double covering of $I_q$, we write

$$[\phi(A_0)](x, x) = 2(q+1)\frac{\log q}{\pi} \int_{I_q} \phi(\lambda) \frac{1 - \lambda^2/4q}{q + q^{-1} + 2 - \lambda^2/q} f'_q(\lambda)d\lambda$$

$$= 2(q+1)\frac{4\pi}{4\pi} \int_{I_q} \frac{\sqrt{4q - \lambda^2}}{(q+1)^2 - \lambda^2} \phi(\lambda)d\lambda,$$

which actually implies formula (7).

\[\square\]

4 A scattering problem for a Schrödinger operator with a compactly supported non local potential

We are concerned here with the scattering on $T_q$ between the adjacency operator $A_0$ and the Schrödinger operator $A = A_0 + W$, where $W$ is a compactly supported non local potential. More precisely the Hermitian matrix (also denoted $W$) associated to this potential is supported by $K \times K$ where $K$ is a finite part of $V_q$. We assume in what follows that $K$ is chosen minimal, so that:

$$K = \{ x \in V_q \mid \exists y \in V_q \text{ with } W_{x,y} \neq 0 \} .$$

Let us first describe the spectral theory of $A$: it follows from [12], Sec. XI 3, and from the fact that $A$ is a finite rank perturbation of $A_0$ (see also Section 4.3) that the Hilbert space $l^2(T_q)$ admits an orthogonal decomposition into two subspaces invariant by $A$: $l^2(T_q) = \mathcal{H}_{ac} \oplus \mathcal{H}_{pp}$ where
• $H_{ac}$ is the isometric image of $l^2(T_q)$ by the wave operator

$$\Omega^+ = s - \lim_{-\infty} e^{iA_0} e^{-itA_0}.$$

We have $A_0 H_{ac} = \Omega^+ A_0(\Omega^+)^*$, so that the corresponding part of the spectral decomposition is isomorphic to that of $A_0$ which is an absolutely continuous spectrum on the interval $I_q$.

• The space $H_{pp}$ is finite dimensional, admits an orthonormal basis of $l^2$ eigenfunctions associated to a finite set of eigenvalues, some of them may be embedded in the continuous spectrum $I_q$.

We will denote by $P_{ac}$ and $P_{pp}$ the orthogonal projections on both subspaces.

In order to make the spectral decomposition more explicit, we will introduce suitable generalized eigenfunctions of $A$. These generalized eigenfunctions are particular solutions of

$$(\lambda_s - A)e(\cdot, \omega, s) = 0,$$

meaning not $l^2$ solutions, but only point-wise solutions. For the adjacency operator $A_0$, we have seen that these generalized eigenfunctions, called the “plane waves” are given by the $e_0(\omega, s)'s$ with $s \in S^0$ and $\omega \in \Omega_O$ (see definition 3.4) and give the Fourier-Helgason transform which is the spectral decomposition of $A_0$ (Theorem 3.4).

We are going to prove a similar eigenfunction expansion theorem for $A$, using generalized eigenfunctions of $A$. We will mainly adapt the presentation of [12], Sec. XI.6, for Schrödinger operators in $\mathbb{R}^3$ (see also [11]). Our first goal is to build the generalized eigenfunctions $x \rightarrow e(x, \omega, s)$ also denoted $e(\omega, s)$. We will derive and solve the so-called Lippmann-Schwinger equation. This is an integral equation that $e(\omega, s)$ will satisfy.

### 4.1 Formal derivation of the Lippmann-Schwinger equation

Let us proceed first in a formal way by transferring the functions $e_0(\omega, s)$ by the wave operator: if $e(\omega, s)$ is the image of $e_0(\omega, s)$ by the wave operator $\Omega^+$ in some sense (they are not in $l^2$!), then we should have $e_0(\omega, s) = \lim_{t \rightarrow -\infty} e^{itA_0} e^{-itA} e(\omega, s)$

$$= \lim_{t \rightarrow -\infty} [e(\omega, s) - i \int_0^t e^{iuA_0} W e^{-iuA} e(\omega, s) du]$$

$$= e(\omega, s) - i \lim_{\varepsilon \rightarrow 0} \int_{-\infty}^\infty e^{iuA_0} W e^{-iu\lambda_s + i\varepsilon} e(\omega, s) du$$

$$= e(\omega, s) + \lim_{\varepsilon \rightarrow 0} [(A_0 - (\lambda_s + i\varepsilon))^{-1} W e(\omega, s)].$$

So $e(\omega, s)$ should obey the following ”Lippmann-Schwinger-type” equation
\( e(\omega, s) = e_0(\omega, s) + G_0(\lambda_s) W e(\omega, s) \). 

(16)

### 4.2 Existence and uniqueness of the solution for the modified "Lippmann-Schwinger-type" equation

Let \( \chi \in C_0(\mathbb{T}_q) \) be a compactly supported real-valued function so that \( W \chi = \chi W = W \). For example \( \chi \) can be the characteristic function of \( K \). We first introduce a modified "Lippmann-Schwinger-type" equation. If \( e(\omega, s) \) obeys (16) and \( a(\omega, s) = \chi e(\omega, s) \), then \( a \) obeys

\[
a(\omega, s) = \chi e_0(\omega, s) + \chi G_0(\lambda_s) W a(\omega, s) \cdot
\]

(17)

We have the following result :

**Proposition 4.1** Let \( \chi \in C_0(\mathbb{T}_q) \) be a compactly supported real-valued function so that \( W \chi = \chi W = W \). Set

\[
\mathcal{E} = \{ s \in S^0; \ker(\text{Id} - \chi G_0(\lambda_s) W) \neq 0 \}
\]

(18)

1. The set \( \mathcal{E} \) is finite and independent of the choice of \( \chi \).
2. If \( s \notin \mathcal{E} \), then (17) has a unique solution \( a(\omega, s) \in C_0(\mathbb{T}_q) \) and the function \( e(\omega, s) = e_0(\omega, s) + G_0(\lambda_s) W a(\omega, s) \) is the unique solution of the Lippmann-Schwinger equation (16).
3. The set \( \mathcal{E} \) is invariant by \( s \to -s \) and consequently it is the pre-image by \( \tilde{\Lambda} : s \to \lambda_s \) of a subset of \( I_q \) which we denote by \( \mathcal{E} \).

**Proof.**

We first prove 2). Let \( L_{s,\chi} \) be the finite rank operator on \( l^2(\mathbb{T}_q) \) defined by \( L_{s,\chi} = \chi G_0(\lambda_s) W \). The map \( s \to L_{s,\chi} \) extends holomorphically to \( \Im s > -\frac{1}{2} \). Equation (17) takes the form

\[
a(\cdot, \omega, s) = \eta(\cdot, \omega, s) + L_{s,\chi} a(\cdot, \omega, s),
\]

(19)

where \( \eta(\cdot, \omega, s) \in C_0(\mathbb{T}_q) \). By the analytic Fredholm theorem ([12], p. 101), there exists a finite subset \( \hat{\mathcal{E}} \) of \( S^0 \), defined by \( \hat{\mathcal{E}} = \{ s \in S^0; \ker(\text{Id} - L_{s,\chi}) \neq 0 \} \), so that equation (17) has a unique solution \( a(\omega, s) \in C_0(\mathbb{T}_q) \) whenever \( s \notin \hat{\mathcal{E}} \). The second assertion of 2) comes from the fact that \( W a(\omega, s) = W \chi e(\omega, s) = W e(\omega, s) \).

Let us now prove 1): the “minimal” \( \chi \) is \( \chi W = 1_K \). If \( a \) is a non trivial solution of \( a - \chi W G_0(\lambda_s) Wa = 0 \), and \( \chi \chi W = \chi W \), \( a \) is also solution of \( a - \chi G_0(\lambda_s) Wa = 0 \).
Conversely, if $a - \chi G(\lambda) W a = 0$, we have $\chi W a - \chi W G(\lambda) W a = 0$. We have to prove that $\chi W a \neq 0$. If $\chi W a = 0$, we would have $W a = 0$ and $a = 0$.

To prove 3) it is enough to notice that for any $s \notin \hat{E}$, we have $L_s = L_{-s}$.

\[\square\]

4.3 The set $\mathcal{E}$ and the pure point spectrum

**Proposition 4.2** If $(A - \lambda) f = 0$ with $\lambda \in I_q$ and $f \in l^2(\mathbb{T}_q)$, then $\text{Supp}(f) \subset \hat{K}$ where $\hat{K}$ is the smallest subset of $V_q$ so that $\text{Supp}(W) \subset \hat{K} \times \hat{K}$ and all connected components of $\mathbb{T}_q \setminus \hat{K}$ are infinite.

**Proof.** –

We will proceed by contradiction. Let $x \in V_q \setminus \hat{K}$ be so that $f(x) \neq 0$. Let us define an infinite sub-tree $T_x$ of $\mathbb{T}_q$ as follows: let $y_\alpha, \alpha = 1, \cdots, a$ be the vertices of $\mathbb{T}_q$ which satisfy $y_\alpha \sim x$ and $y_\alpha$ is closer to $\hat{K}$ than $x$. Then $T_x$ is the connected component of $x$ in the graph obtained from $\mathbb{T}_q$ by removing the edges $\{x, y_\alpha\}$ for $\alpha = 1, \cdots, a$. Let us consider the "averaged" function

$$n \in \mathbb{N} \to \tilde{f}_x(n) := \frac{1}{q^n} \sum_{z \in T_x, \ d(x,z) = n} f(z).$$

Then $\tilde{f}_x$ satisfies the ordinary difference equation $\lambda g(n) - q g(n+1) - g(n-1) = 0$.

We thus get a contradiction, since this equation has no non-zero $l^2$ solution when $\lambda$ is in $I_q$ and hence $f(x) = \tilde{f}_x(0) = 0$.

\[\square\]

**Corollary 4.1** $\# \{\sigma_{pp}(A) \cap I_q\} \leq \# \hat{K}$.

This holds because any eigenfunction associated to an eigenvalue in $\{\sigma_{pp}(A) \cap I_q\}$ is supported in $\hat{K}$ and the dimension of the vector space of functions supported in $\hat{K}$ is $\# \hat{K}$.

**Theorem 4.1** If $s \in S^0$, $(A - \lambda_s) f = 0$ and $f \in l^2(\mathbb{T}_q) \setminus 0$, then $s \in \hat{E}$.

Conversely, if $s \in \hat{E} \subset S^0$, there exists $f \neq 0$ so that $(A - \lambda_s) f = 0$ and $f(x) = O(q^{-|x|/2})$.

**Proof.** –
Due to Proposition 4.2, the support of such an $f$ is included in $\hat{K}$ and $(\lambda_s - A_0)f = Wf$. We can apply $G_0(\lambda_s)$ to both sides of the equation, (although $\lambda_s$ is in the spectrum of $A_0$) because the functions on both sides are finitely supported. On the lefthandside we have $G_0(\lambda_s)(\lambda_s - A_0)f = f$: this is true for $\lambda_s \notin I_q$ because $I_q$ is the spectrum of $A_0$ and hence by continuity ($G_0(\lambda_s)$ extends holomorphically near $S^0$) for every $\lambda_s$ since $f$ is compactly supported. Hence applying $G_0(\lambda_s)$ to both sides yields $f = G_0(\lambda_s)Wf$. Due to proposition 4.1, we can choose for $\chi$ the characteristic function of $\hat{K}$, so we get $f - \chi G_0(\lambda_s)Wf = 0$. We have a non trivial solution of $a - L_s a = 0$, namely $a = f$.

Conversely, let us start from $a$, a non trivial solution of $a - L_s a = 0$ and define $f = G_0(\lambda_s)Wa$. Then

$$(\lambda_s - A)f = (\lambda_s - A_0)G_0(\lambda_s)Wa - WG_0(\lambda_s)Wa$$

and $(\lambda_s - A_0)G_0(\lambda_s)Wa = Wa$ by analytic extension from $s \in S^+$. Hence, using $W\chi = W$,

$$(\lambda_s - A)f = Wa - W\chi G_0(\lambda_s)Wa = Wa - Wa = 0 .$$

From the definition of $f$, we get that $f$ is a finite linear combination of the functions $G_0(\lambda_s, y)$, $y \in \text{Supp}(W)$ and we can use Equation (6) to get the bound in $x$. 

Figure 4: A simple example with $\hat{K}$ strictly larger than $K$
Theorem 4.2 The pure point spectrum \( \sigma_{pp}(A) \) of \( A \) splits into 3 parts
\[
\sigma_{pp}(A) = \sigma_{pp}^{-}(A) \cup \sigma_{pp}^{+}(A) \cup \sigma_{pp}^{0}(A)
\]
where \( \sigma_{pp}^{-}(A) = \sigma_{pp}(A) \cap ]-\infty, -2\sqrt{q}[ \), \( \sigma_{pp}^{+}(A) = \sigma_{pp}(A) \cap ]2\sqrt{q}, +\infty[ \), and \( \sigma_{pp}^{0}(A) = \sigma_{pp}(A) \cap I_q \). We have \( \#\sigma_{pp}^{\pm}(A) \leq \#\text{Supp}(W) \) and \( \#\sigma_{pp}^{0}(A) \leq \#\hat{K} \).

The first estimate comes from the mini-max principle and the fact that \( W \) is a rank \( N \) perturbation of \( A_0 \) with \( N = \#\text{Supp}(W) \). The second one is already proved.

The reader could ask if there can really be some compactly supported eigenfunctions. They can exist as shown by the following 2 examples.

Example 4.1 \( \Gamma \) is a tree with root \( O \) and \( W_{x,0} = W_{0,x} = -1 \) for any \( x \sim O \). All other entries of \( W \) vanish. Then if \( H = A_\Gamma + W \), \( f = \delta(0) \), we have \( Hf = 0 \).

Example 4.2 The graph \( \Gamma \) is the union of a cycle with 4 vertices \( \{1, 2, 3, 4\} \) and a tree whose root is attached to 2 neighboring vertices of the cycle. If \( f(p) = (-1)^p \) on the cycle and 0 on all other vertices, \( A_\Gamma f = 0 \).

However the proof of the following result is left to the reader:

Proposition 4.3 If \( \Gamma \) is an infinite tree, then \( A_\Gamma \) has no compactly supported eigenfunction.

4.4 The deformed Fourier-Helgason transform

Definition 4.1 We define the deformed Fourier-Helgason transform \( \mathcal{F}_s \mathcal{H}_{sc} \) of \( f \in C_0(\mathbb{T}_q) \) as the function \( \hat{f}_{sc} \) on \( \Omega \times (S^0 \setminus \hat{E}) \) defined by
\[
\hat{f}_{sc}(\omega, s) = \langle e(\omega, s), f \rangle = \sum_{x \in V_\Gamma} f(x) \overline{e(x, \omega, s)} . \tag{20}
\]

We want to prove the following

Theorem 4.3 For any \( f \in C_0(\mathbb{T}_q) \) and any closed interval \( J \subset I_q \setminus E \), if we denote by \( \hat{J} \) the inverse image of \( J \) by \( s \to \lambda_s \), the following inverse transform holds
\[
P_J f(x) = \int_{\hat{J}} \int_{\Omega} e(x, \omega, s) \hat{f}_{sc}(\omega, s) d\sigma(\omega) d\mu(s) . \tag{21}
\]
Moreover, \( f \to \hat{f}_{sc} \) extends to an isometry from \( \mathcal{H}_{ac} \) onto \( L^2(\Omega \times S^0, d\sigma \otimes d\mu) \).
4.4.1 The relation of the deformed Fourier-Helgason transform with the resolvent

Denoting, with a slight abuse of notation, for \( s \in S^+ \), by \( G(s) \) the operator \((\lambda_s - A)^{-1}\) and similarly by \( G_0(s) \) the operator \((\lambda_s - A_0)^{-1}\), we have the resolvent equation

\[
G(s) = G_0(s) + G_0(s)WG(s) \tag{22}
\]

For \( \sigma \in S^0 \) and \( s \) in \( S^+ \), we set

\[
h(s; \omega, \sigma) = (\lambda_s - \lambda_\sigma)G(s)e_0(\omega, \sigma),
\]

where the right hand side is a convergent series which identifies to \((\lambda_s - \lambda_\sigma)\)-times the inverse Fourier-Helgason transform of \( y \rightarrow G(s; x, y) \).

Using the definition of \( G_0 \) and (10) we have

\[
(\lambda_s - \lambda_\sigma)G_0(s)e_0(\omega, \sigma) = e_0(\omega, \sigma) + A_0G_0(s)e_0(\omega, \sigma) - G_0(s)[\lambda_\sigma e_0(\omega, \sigma)] = e_0(\omega, \sigma),
\]

so equation (22) for \( G \) gives an integral equation for \( h \)

\[
h(s; \omega, \sigma) = e_0(\omega, \sigma) + G_0(s)Wh(s; \omega, \sigma)
\]

and, if \( p(s; \omega, \sigma) = \chi h(s; \omega, \sigma) \),

\[
p(s; \omega, \sigma) = \chi e_0(\omega, \sigma) + \chi G_0(s)W p(s; \omega, \sigma). \tag{23}
\]

The key fact is the relation between (23) and the modified ”Lippmann-Schwinger-type” equation (17). If \( s \in S^+ \) is fixed and \( \sigma = s \), then the equation for \( p(s; \omega, s) \) is identical to equation (17) for \( a(\omega, s) \).

This can be used to prove

**Lemma 4.1** Let us consider \( f \in C_0(\mathbb{T}_q) \), \( \omega \in \Omega \) and \( s \in S^+ \). Then the function

\[
\Phi(s; \omega, \sigma) = \sum_{x \in V_q} h(x; s; \omega, \sigma)f(x), \quad \forall \sigma \in S^0
\]

has a holomorphic extension in \( \sigma \) to \( S^+ \) and

\[
\Phi(s; \omega, s) = \sum_{x \in V_q} e(x, \omega, s)f(x) = \hat{f}_{sc}(\omega, s).
\]

We thus have related \( \hat{f}_{sc} \) to the resolvent.
4.4.2 End of the proof of Theorem 4.3

Let \( \lambda_s = \Lambda + i \varepsilon \) with \( \Lambda \in I_q \setminus \mathcal{E} \) and \( \varepsilon > 0 \), and \( s \in S^+ \) (this implies \( 0 < \Re s < \tau/2 \)).

Up to a factor of \((\Lambda + i \varepsilon - \lambda_s)\), \((\omega, \sigma) \rightarrow h(x; s; \omega, \sigma)\) is the inverse Fourier-Helgason transform of \( y \rightarrow G(\lambda_s, x, y) \); so the Plancherel theorem implies (after multiplying by \( f(x)f(y) \)) that

\[
(\lambda_s - \overline{\lambda_s}) \sum_{z \in V_q} \overline{G(\lambda_s, x, z)}G(\lambda_s, z, y)f(x)f(y) = \ldots
\]

\[
\ldots 2i \varepsilon \int_{S^0} \int_\Omega \frac{h(x; s; \omega, \sigma)h(y; s; \omega, \sigma)f(x)f(y)}{|\lambda_\sigma - \Lambda|^2 + \varepsilon^2} d\sigma_O(\omega) d\mu(\sigma)
\]

If we sum over all \( x \)'s and \( y \)'s, we obtain for the left-hand side

\[
(\lambda_s - \overline{\lambda_s})\langle G(\lambda_s)f, G(\lambda_s)f \rangle = (\lambda_s - \overline{\lambda_s})\langle f|G(\lambda_s)G(\lambda_s)f \rangle = \langle f|G(\lambda_s) - G(\lambda_s)f \rangle
\]

whereas the right-hand side becomes

\[
\int_{S^0} \int_\Omega \frac{2i \varepsilon}{|\lambda_\sigma - \Lambda|^2 + \varepsilon^2} |\Phi(s; \omega, \sigma)|^2 d\sigma_O(\omega) d\mu(\sigma)
\]

We thus conclude that, for any closed sub-interval \( J \) of \( I_q \) disjoint from \( \mathcal{E} \),

\[
\frac{1}{2\pi i} \int_J \langle f|G(\Lambda + i \varepsilon) - G(\Lambda - i \varepsilon)f \rangle d\Lambda = \frac{1}{\pi} \int_J d\Lambda \int_{S^0} \int_\Omega \frac{\varepsilon}{|\lambda_\sigma - \Lambda|^2 + \varepsilon^2} |\Phi(s; \omega, \sigma)|^2 d\sigma_O(\omega) d\mu(\sigma)
\]

As \( \varepsilon \to 0 \), Stone’s formula implies that the left-hand side approaches \( \|P_J f\|^2 \).

Moreover the measures

\[
dl_\varepsilon = \frac{\varepsilon d\Lambda}{\pi |\lambda_\sigma - \Lambda|^2 + \varepsilon^2}
\]

close weakly to \( \delta(\Lambda - \lambda_\sigma) \) as \( \varepsilon \to 0^+ \). So one has to put \( \Lambda = \lambda_\sigma \), which implies \( \sigma = \pm s \).

Thus one gets that the right-hand side tends to \( \int_J \int_{\Omega} |f(s; \omega, \sigma)|^2 d\sigma_O(\omega) d\mu(\sigma) \),

where \( J \) is the inverse image of \( J \) by \( s \to \lambda_s \).

5 The spectral theory for a graph asymptotic to a regular tree

We are concerned here with the spectral theory of the adjacency matrix of a graph \( \Gamma \) asymptotic to a regular tree of degree \( q + 1 \), in the sense of Definition 2.1, which we recall here:
Definition 5.1 Let $q \geq 2$ be a fixed integer. We say that the infinite graph $\Gamma$ is asymptotic to a regular tree of degree $q + 1$ if $\Gamma$ is connected and there exists a finite connected sub-graph $\Gamma_0$ of $\Gamma$ such that $\Gamma' := \Gamma \setminus \Gamma_0$ is a disjoint union of a finite number of trees $T_l$, $l = 1, \cdots, L$, rooted at a vertex $x_l$ linked to $\Gamma_0$ and so that all vertices of $T_l$ different from $x_l$ are of degree $q + 1$. The trees $T_l$, $l = 1, \cdots, L$, are called the ends of $\Gamma$.

We want to reduce the spectral theory of $A_\Gamma$ to the situation studied in Section 4. For that, we need a preliminary combinatorial study which could be of independent interest.

5.1 Some combinatorics

We need the following combinatorial result:

Theorem 5.1 If $\Gamma$ is asymptotic to a regular tree of degree $q + 1$, then $\Gamma$ is isomorphic to a connected component of a graph $\hat{\Gamma}$ which can be obtained from $T_q$ by adding and removing a finite number of edges.

Remark 5.1 By removing a finite number of edges, one could assume that $\Gamma$ is a tree. Then the result is quite elementary if the degree of all vertices of $\Gamma$ is $\leq q + 1$: it is then enough to add infinite regular trees to the vertices of degrees $< q + 1$ in order to get the final result. This argument, suggested by the referee, is not enough to give a complete proof.

In order to prove Theorem 5.1, we first introduce an integer $\nu(\Gamma)$ associated to the graph $\Gamma$; the integer $\nu$ is a combinatorial analogue of the regularized total curvature of a Riemannian surface $S$ which is of constant curvature $\equiv K_0$ near infinity, namely $\int_S (K - K_0)|d\sigma|$.

Definition 5.2 If $\Gamma$ is asymptotic to a regular tree of degree $q + 1$, we define $\nu(\Gamma)$ by

$$\nu(\Gamma) = \sum_{x \in V_\Gamma} (q + 1 - d(x)) + 2b_1,$$

where $d(x)$ is the degree of the vertex $x$ and $b_1$ is the first Betti number of $\Gamma$ or equivalently the number of edges to be removed from $\Gamma$ in order to get a tree.

Note that, if $T$ is a maximal sub-tree of $\Gamma$, $\nu(T) = \nu(\Gamma)$.

We will need the

Lemma 5.1 If, for $r \geq 2$, $B_r = \{x \in V_\Gamma \mid |x|_{\Gamma_0} \leq r\}$, then we have

$$\nu(\Gamma) = (q - 1)m - M + 2,$$

where $m$ is the number of inner vertices of $B_r$ and $M$ the number of boundary vertices (i.e. connected to a vertex of $\Gamma \setminus B_r$) of $B_r$. 

18
Proof. – Each of the $M$ boundary vertices has $q$ neighbors in $\Gamma \setminus B_r$ and one in $B_r$. From Euler formula applied to the sub-graph $\Gamma \cap B_r$ which is connected by the assumption on $\Gamma_0$, we get

$$1 - b_1 = (m + M) - \frac{1}{2} \left( \sum_{|x| \geq r-1} d(x) + M \right).$$

Thus

$$\nu(\Gamma) = \sum_{|x| \leq r-1} (q + 1 - d(x)) + 2b_1$$

is equal to

$$\nu(\Gamma) = (q + 1)m - (2m + M - 2 + 2b_1) + 2b_1.$$

We will also need the:

**Lemma 5.2** Let $F$ be a finite tree whose all vertices are of degree $q+1$ except the ends which are of degree 1. Let $M$ be the number of ends and $m$ the number of inner vertices of $F$. We have the relation

$$M = 2 + (q - 1)m.$$  \hfill (24)

Conversely, for each choice of $(m, M)$ satisfying Equation (24), there exists such a tree $F$.

Proof. – From Euler formula applied to $F$, we get $1 = |V_F| - |E_F|$. Moreover $|V_F| = m + M$. Let us choose a root inside $F$ and orient the edges from that root. Then we count the edges by partitioning them with their $m$ possible origins; this gives $|E_F| = (q + 1) + (m - 1)q$.

Conversely, the statement is true for $m = 1$, $M = q + 1$ and we proceed by induction on $m$ by adding $q$ edges to a boundary vertex and the corresponding $q$ boundary vertices, we have $m \rightarrow m + 1$, $M \rightarrow M + (q - 1)$.

**Lemma 5.3** If $\Gamma$ is asymptotic to a regular tree of degree $q+1$, $\Gamma$ can be obtained from a tree $T_q$ by removing and adding a finite number of edges if and only if $\nu(\Gamma) = 0$.

Proof. – All the changes will take place inside the sub-graph $B_r$. If we denote by $M$ the number of boundary vertices and $m$ the number of inner vertices of $B_r$, we have, using $\nu(\Gamma) = 0$ and Lemma 5.1, $M = 2 + (q - 1)m$. We replace the graph $B_r$ by a tree $F$ whose existence is stated in Lemma 5.2. The vertices of both graphs are the same and all vertices of the new graph have degree $q + 1$. Hence the new graph is a regular tree $T_q$.

We will now make some modifications of $\Gamma$ in order to get a new graph $\hat{\Gamma}$ with $\nu(\hat{\Gamma}) = 0$. 19
Lemma 5.4 If $\Gamma' = M_1(\Gamma)$ is defined by adding to $\Gamma$ a vertex and an edge connecting that vertex to a vertex of $\Gamma_0$, then $\nu(\Gamma') = \nu(\Gamma) + q - 1$.

If $\Gamma'' = M_2(\Gamma)$ is defined by adding to $\Gamma$ a tree whose root $x$ is of degree $q$ and all other vertices of degree $q + 1$ and connecting $x$ by an edge to a vertex of $\Gamma_0$, $\Gamma''$ is asymptotic to a regular tree of degree $q + 1$ and $\nu(\Gamma'') = \nu(\Gamma) - 1$.

This Lemma is quite easy to check.

Proof of Theorem 5.1.– Let us now write $\nu(\Gamma) = N'' - (q - 1)N'$ with $N' \geq 0$ and $N'' \geq 0$. By performing $N'$ times the move $M_1$ and $N''$ times the move $M_2$, we arrive to a graph $\hat{\Gamma}$ with $\nu(\hat{\Gamma}) = 0$. Let $\hat{\Gamma}$ be the graph obtained by removing from $\hat{\Gamma}$ the $(N' + N'')$ edges not in $E_\Gamma$, one of whose vertices is in $\Gamma_0$. The graph $\hat{\Gamma}$ is clearly asymptotic to a regular tree of degree $(q + 1)$ and $\Gamma$ is a connected component of $\hat{\Gamma}$.

It remains to prove that, by removing and adding a finite number of edges to $\hat{\Gamma}$, we get a tree $\mathbb{T}_q$: this is the content of Lemma 5.3.  

5.2 The spectral theory of $\Gamma$

From Theorem 5.1, we can identify the set of vertices of $\Gamma$ to a subset of the set of vertices of $\hat{\Gamma}$ which is the same as the set of vertices of $\mathbb{T}_q$. We deduce the
existence of a Hilbert space $H$ so that $l^2(T_q) = l^2(\Gamma) \oplus H$ and this decomposition
is invariant by $A_{\hat{\Gamma}}$. Moreover $A_{\hat{\Gamma}}$ is a finite rank perturbation of $A_0 = A_{T_q}$. This
will allow us to describe the spectral theory of $A_{\Gamma}$ by using the results of Section 4.

In order to get the spectral decomposition of $A_{\Gamma}$ in terms of the spectral
decomposition of $A_{\hat{\Gamma}}$ given in Section 4.4, we will need the

**Lemma 5.5** Let $A_{\hat{\Gamma}} = A_{T_q} + W$ with $\text{Support}(W) \subset K \times K$ and $K$ finite. Let $\Gamma$
be an unbounded connected component of $\hat{\Gamma}$ and $\omega$ a point at infinity of $\Gamma$. Then,
for any $s \notin \hat{\mathcal{E}}$, we have

$$\text{support}(e(., s, \omega)) \subset V_{\Gamma}.$$ 

Conversely, if $\omega'$ is a point at infinity of $\hat{\Gamma}$ which is not a point at infinity of $\Gamma$ then

$$\text{support}(e(., s, \omega')) \cap V_{\Gamma} = \emptyset.$$ 

**Proof.** We will apply the general Theorem 4.3 in our combinatorial context. Let us prove the first assertion, the proof of the second is similar. It is enough to prove it for $s \in S^+$ close to $S_0$ and hence $\lambda_s$ not in the spectrum of $A_0$, because
$s \rightarrow e(x, s, \omega)$ is meromorphic on $S$. We have then (Equation (16))

$$e(s, \omega) = e_0(s, \omega) + G_0(\lambda_s)We(s, \omega).$$
From the explicit expression of $e_0$ (see Definition 3.4), we get that the first term belongs to $l^2(\hat{\Gamma} \setminus \Gamma)$, and so does the second one, as the image of a compactly supported function by the resolvent for $\lambda_s \notin I_q = \text{spec}(A_0)$ (recall that the resolvent is continuous in $l^2$).

This proves that the restriction of $e(., s, \omega)$ to $V_{\Gamma} \setminus V_{\Gamma}$ is an $l^2$ eigenfunction, with eigenvalue $\lambda_s$, of $A_{\hat{\Gamma}}$. Since $A_{\hat{\Gamma}}$ has no eigenvalue $\lambda_s$ for $s \in S^+$ close to $S_0$, it follows that $e(x, s, \omega)$ vanishes for $s \in S^+$ close to $S_0$ and $x \notin V_{\Gamma}$. □

Theorem 5.1 allows to consider the set $\Omega$ of points at infinity of $\Gamma$ as a subset of the set $\hat{\Omega}$ of the points at infinity of $\hat{\Gamma}$. The space $l^2(\hat{\Gamma})$ splits as a direct sum $l^2(\Gamma) \oplus l^2(\hat{\Gamma} \setminus \Gamma)$ which is preserved by the adjacency matrix. Lemma 5.5 shows that the support of the generalized eigenfunctions $e(., s, \omega)$ for $\omega \in \Omega$ is included in $V_{\Gamma}$. Using this, we can state the spectral decomposition of $A_{\Gamma}$ as an immediate corollary of Theorem 4.3.

**Theorem 5.2** The Hilbert space $l^2(\Gamma)$ splits into a finite dimensional part $\mathcal{H}_{pp}$ and an absolutely continuous part $\mathcal{H}_{ac}$. This decomposition is preserved by $A_{\Gamma}$. If $f \in C_0(\Gamma)$ and, for $\omega \in \Omega$, $f(s, \omega) = \langle e(., s, \omega), f \rangle$, then the map $f \rightarrow \hat{f}$ extends to an isometry from $H_{ac}$ onto $L^2_{\text{even}}(S_0 \times \Omega, d\sigma_0 \otimes d\mu)$ which intertwines the action of $A_{\gamma}$ with the multiplication by $\lambda_s$.

### 6 Other features of the scattering theory in the setting of section 3

We are again concerned here with the scattering theory on $T_q$ between the adjacency operator $A_0$ and the Schrödinger operator $A = A_0 + W$, where $W$ is a compactly supported non local potential. Let us recall that

$$K = \{ x \in V_q \mid \exists y \in V_q \text{ with } W_{x,y} \neq 0 \} .$$

#### 6.1 Correlation of scattered plane waves

In the paper [5], the first author computed the point-point correlations of the plane waves for a scattering problem in $\mathbb{R}^d$ in terms of the Green’s function: for a fixed spectral parameter, plane waves are viewed as random waves parametrised by the direction of their incoming part. The motivation comes from passive imaging in seismology, a method developed by Michel Campillo’s seismology group in Grenoble, as described for example in the papers [6, 7]. Following a similar method, we will compute the correlation of plane waves for our graphs viewed as random waves parametrised by points at infinity.

From Theorem 4.3 we get, for any $\phi \in C_0(T_q)$ such that supp $\phi \in I_q \setminus \mathcal{E}$, the following formula for the kernel of $\phi(A)$:
\[
[\phi(A)]_{x,y} = \int_{\Omega} \int_{S^0} \Phi(\lambda_s) e(x, \omega, s) e(y, \omega, s) \, d\sigma_O(\omega) \, d\mu(s)
\]

Taking \( \phi = 1_I \), the characteristic function of some interval \( I = [a, \lambda] \subset I_q \setminus \mathcal{E} \), we get:

\[
[\Pi]_I(x, y) = 2 \int_{\Omega} \int f_q(\lambda) \overline{e(x, \omega, s)} e(y, \omega, s) \, d\sigma_O(\omega) \, d\mu(s)
\]

where we set \( f_q(t) = \frac{1}{\log q} \text{Arccos} \frac{t}{2\sqrt{q}} \) as in (14), and where we use the fact that, by the map \( s \to \lambda_s \), the circle \( S^0 \) is a double covering of \( I_q \). In particular \( f_q(\lambda_s) = s \).

In the sequel we note \( f_q(\lambda) = s(\lambda) \) for simplicity.

If we consider the plane wave \( e(x, \omega, s(\lambda)) \) for \( \lambda \in I_q \setminus \mathcal{E} \), as a random wave, we can define the point-to-point correlation \( C^\text{sc}_\lambda(x, y) \) of such a random wave in the usual way:

**Definition 6.1** For any \( \lambda \in I_q \setminus \mathcal{E} \), the point-to-point correlation \( C^\text{sc}_\lambda(x, y) \) of the random wave \( e(x, \omega, s(\lambda)) \) is given by

\[
C^\text{sc}_\lambda(x, y) = \int_{\Omega} e(x, \omega, s(\lambda)) e(y, \omega, s(\lambda)) \, d\sigma_O(\omega).
\]

Denoting again by \( G \) the Green’s function of \( \lambda - A \)^{-1}[x, y] := G(\lambda, x, y) \) for \( \text{Im}\lambda > 0 \) we prove the

**Theorem 6.1** For any \( \lambda \in I_q \setminus \mathcal{E} \) and any vertices \( x, y \) the point-to-point correlation can be expressed in terms of the Green’s function as

\[
C^\text{sc}_\lambda(x, y) = \frac{-2(q^2 + 2q + 1 - \lambda^2)}{(q + 1)\sqrt{4q - \lambda^2}} \Im G(\lambda + i0, x, y).
\]

**Proof.** –

Taking the derivative with respect to \( \lambda \) in equation (6.1) yields:

\[
\frac{d}{d\lambda} [\Pi]_I(x, y) = ...
\]

\[
= -2f'_q(\lambda) \frac{(q + 1) \log q}{\pi} \frac{\sin^2(s(\lambda) \log q)}{q + q^{-1} - 2 \cos(2s(\lambda) \log q)} \int_{\Omega} e(x, \omega, s(\lambda)) \overline{e(y, \omega, s(\lambda))} \, d\sigma_O(\omega)
\]

\[
= \frac{q + 1}{2\pi} \frac{\sqrt{4q - \lambda^2}}{(q^2 + 2q + 1 - \lambda^2)} \int_{\Omega} e(x, \omega, s(\lambda)) e(y, \omega, s(\lambda)) \, d\sigma_O(\omega).
\]

Thus we have

\[
\frac{d}{d\lambda} [\Pi]_I(x, y) = \frac{q + 1}{2\pi} \frac{\sqrt{4q - \lambda^2}}{(q^2 + 2q + 1 - \lambda^2)} C^\text{sc}_\lambda(x, y).
\]
Now we use the resolvent kernel of $A : (\lambda - A)^{-1}[x, y] := G(\lambda, x, y)$ for $Im \lambda > 0$ and Stone formula (8) to write

$$[\Pi]_I(x, y) = -\frac{1}{\pi} \int_a^\lambda \Im G(t + i0, x, y) dt$$

and get the result.

\[\square\]

6.2 The T- matrix and the S-matrix

The Lippmann-Schwinger eigenfunctions $e(x, \omega, s)$ are especially useful to describe the so-called $S-$matrix ($S = (\Omega^-)^*\Omega^+$). First we introduce the following object:

**Definition 6.2** Let $(\omega, s)$ and $(\omega', s')$ be in $\Omega \times (S^0 \setminus \hat{E})$. Define

$$T(\omega, s; \omega', s') = \langle We_0(\omega, s), e(\omega', s') \rangle = \sum_{(x, y) \in V_q \times V_q} e(x, \omega', s') W(x, y)e_0(y, \omega, s).$$

$T(\cdot, \cdot)$ is called the $T-$matrix.

The goal of this section is to establish a relation between $S$ and $T$ (Theorem 6.2). To get the result we will need the following

**Lemma 6.1** For any $f \in C_0(T_q)$

$$\mathcal{F}H_{sc}(\Omega^+ f)(\omega, s) = \hat{f}(\omega, s)$$

(25)

**Proof.**

Suppose that we can prove $\mathcal{F}H((\Omega^+)^*f) = \mathcal{F}H_{sc}(f)(= \hat{f}_{sc})$, then (25) follows from $\mathcal{F}H_{sc}(\Omega^+ f) = \mathcal{F}H((\Omega^+)^*\Omega^+ f) = \hat{f}$. So, by Plancherel formula it is enough to prove that

$$(f, \Omega^+ g) = \int_{S^0 \times \Omega} \hat{f}_{sc}(\omega, s)\hat{g}(\omega, s)d\sigma(\omega)d\mu(s).$$

(26)

In the sequel, we set $d\Sigma := d\sigma(\omega)d\mu(s)$ to simplify notations.

We have $(f, \Omega^+ g) = \lim_{\varepsilon \to 0} \int_{-\infty}^0 e^{\varepsilon t}(f, e^{itA}We^{-itA_0}g)dt$.

But

$$(f, e^{itA}\hat{h}) = \int_{S^0 \times \Omega} \hat{f}_{sc}(\omega, s) e^{i\lambda t} \hat{h}_{sc}(\omega, s) d\Sigma$$

24
if either \( f \) or \( h \) is in \( \mathcal{H}_{ac} \). As a result (using definition 4.1)

\[
(f, e^{itA}W e^{-itA_0}g) = \sum_{x \in V_{q}} \int_{S^0 \times \Omega} \overline{\hat{f}_{sc}(\omega, s)} \ e^{i \lambda x t} \ (W e^{-itA_0}g)(x) e(x, \omega, s) d\Sigma.
\]

Thus

\[
\lim_{\varepsilon \to 0} \int_{-\infty}^{0} e^{\varepsilon t} (f, e^{itA}W e^{-itA_0}g) \, dt
\]

\[
= \lim_{\varepsilon \to 0} \sum_{x \in V_{q}} \int_{-\infty}^{0} \int_{S^0 \times \Omega} \overline{\hat{f}_{sc}(\omega, s)} \ W(x) \ e^{-it(A_0 - \lambda s + i\varepsilon)} g(x) e(x, \omega, s) \, d\Sigma
\]

\[
= -i \lim_{\varepsilon \to 0} \sum_{x \in V_{q}} \int_{S^0 \times \Omega} \overline{\hat{f}_{sc}(\omega, s)} \ W(x) \ [{(A_0 - \lambda s + i\varepsilon)}^{-1}g](x) e(x, \omega, s) \, d\Sigma
\]

\[
= i \lim_{\varepsilon \to 0} \sum_{x, y \in V_{q}} \int_{S^0 \times \Omega} \overline{\hat{f}_{sc}(\omega, s)} \ W(x) G_{0}(\lambda s + i\varepsilon)(x, y) g(y) e(x, \omega, s) \, d\Sigma
\]

\[
= i \sum_{y \in V_{q}} \int_{S^0 \times \Omega} \overline{\hat{f}_{sc}(\omega, s)} \sum_{x \in V_{q}} G_{0}(\lambda s)(x, y) W(x) e(x, \omega, s) \, d\Sigma
\]

\[
= i \sum_{y \in V_{q}} \int_{S^0 \times \Omega} \overline{\hat{f}_{sc}(\omega, s)} [e(y, \omega, s) - e_{0}(y, \omega, s)] g(y) \, d\Sigma
\]

\[
= i(f, g) - i \int_{S^0 \times \Omega} \overline{\hat{f}_{sc}(\omega, s)} \hat{g}(\omega, s) \, d\Sigma.
\]

In the second line above we used the Lippmann-Schwinger equation and at the last step we used the isometric property of the deformed Fourier Helgason transform (Theorem 4.3).

\[\square\]

We are ready to prove the following

**Theorem 6.2** For any \( f \) and \( g \in C_{0}(T_{q}) \)

\[
(f, (S - I)g) = -2\pi i \int_{(S^0 \times \Omega)^2} T(\omega, s; \omega', s') \overline{\hat{f}(\omega, s)} \delta(\lambda s - \lambda s') \hat{g}(\omega', s') \, d\Sigma d\Sigma'
\]

where we set: \( d\Sigma d\Sigma' := d\sigma_{\Omega}(\omega) d\mu(s) d\sigma_{\Omega}(\omega') d\mu(s') \). (see Appendix A for the precise definition of the measure \( \delta(\lambda s - \lambda s') d\mu(s) d\mu(s') \).)

This can be written symbolically by

\[
S(\omega, s; \omega', s') = \delta(s - s') - 2\pi i T(\omega, s; \omega', s') \delta(\lambda s - \lambda s').
\]
Proof. –

From the definition of $S$ we get that

$$ (f, (S-I)g) = \langle \Omega^+ - \Omega^- \rangle f, \Omega^+ g \rangle = \lim_{T \to \infty} \int_T^{+T} (e^{itA}(iW)e^{-itA_0}f, \Omega^+ g) dt $$

$$ = (-i) \lim_{\epsilon \to 0} \int_{-\infty}^{+\infty} e^{-\epsilon |t|} (e^{itA}We^{-itA_0}f, \Omega^+ g) dt = (-i) \lim_{\epsilon \to 0} \int_{-\infty}^{+\infty} e^{-\epsilon |t|} \mathcal{L}(t) dt , $$

with

$$ \mathcal{L}(t) = \int_{S^0 \times \Omega} \mathcal{F}H_{sc}(e^{itA}We^{-itA_0}f)(\omega', s') \mathcal{F}H_{sc}(\Omega^+ g)(\omega', s') d\Sigma' . $$

In the last step we used the isometric property of $\mathcal{F}H_{sc}$ and the fact that $\Omega^+ g \in H_{ac}$. Moreover we have $\mathcal{F}H_{sc}(\Omega^+ g)(\omega', s') = \hat{g}(\omega', s')$ (Lemma 6.1) and

$$ \mathcal{F}H_{sc}(e^{itA}We^{-itA_0}f)(\omega', s') = e^{i\lambda_s t} \mathcal{F}H_{sc}(W e^{-itA_0}f)(\omega', s') $$

$$ = \int_{x, y \in V_q} \int_{S^0 \times \Omega} e^{i(\lambda_s - \lambda_s') t} W(x, y) e_0(y, \omega, s) f(\omega, s) e(x, \omega', s') d\Sigma . $$

Thus the expression in (28) is

$$ \mathcal{L}(t) = \sum_{x, y \in V_q} \int_{(S^0 \times \Omega)^2} e^{i(\lambda_s - \lambda_s') t - \epsilon |t|} \sqrt{V(x, y)} e_0(y, \omega, s) f(\omega, s) e(x, \omega', s') \hat{g}(\omega', s') d\Sigma d\Sigma' $$

and after doing the t-integration we get,

$$ (f, (S-I)g) = (-i) \lim_{\epsilon \to 0} \int_{(S^0 \times \Omega)^2} T(\omega, s; \omega', s') \frac{2\epsilon}{(\lambda_s - \lambda_s')^2 + \epsilon^2} \hat{f}(\omega, s) \hat{g}(\omega', s') d\Sigma d\Sigma' . $$

We conclude by noticing as previously that the measures

$$ dl_\epsilon = \frac{2\epsilon d\mu(s) d\mu(s')}{(\lambda_s - \lambda_s')^2 + \epsilon^2} $$

converge weakly to $2\pi \delta(\lambda_s - \lambda_{s'}) d\mu(s) d\mu(s')$ as $\epsilon \to 0^+$.

A consequence of the relation between $T$ and $S$ is the unitarity relation for $T$:

**Theorem 6.3** Suppose $\alpha \not\in \mathcal{E}$. Then for any $s$ and $s' \in S^+$ with $\lambda_s = \lambda_{s'} = \alpha$, and for any $(\omega, \omega') \in \Omega \times \Omega$,

$$ \mathcal{T}(\omega, s; \omega', s') = \pi \int_{S^0 \times \Omega} T(\omega'', s''; \omega, s) T(\omega'', s''; \omega', s') \delta(\lambda_{s''} - \alpha) d\Sigma'' . $$

(29)
By Theorem 6.2 we have
\[
\overline{(Sf)(\omega, s)} = \hat{f}(\omega, s) - 2\pi i \int_{S^0 \times \Omega} T(\omega, s; \omega', s') \hat{f}(\omega', s') \delta(\lambda_s - \lambda_{s'}) d\Sigma'.
\]

The adjoint of the map \( M : \hat{f} \rightarrow (Sf) \) is clearly given by
\[
(M^*(g))(\omega, s) = g(\omega, s) + 2\pi i \int_{S^0 \times \Omega} T(\omega', s'; \omega, s) g(\omega', s') \delta(\lambda_s - \lambda_{s'}) d\Sigma'.
\]

The relation \( M^* M = I \), which follows from \( S^* S = I \), implies that (29) holds.

\( \square \)

6.3 The S-matrix and the asymptotics of the deformed plane waves

Next result explicits the link between the asymptotic behavior of the generalized eigenfunctions and the coefficients of the scattering matrix.

**Theorem 6.4** There exist “transmission coefficients” \( \tau(s, \omega, \omega') \) so that the solution of the Lippmann-Schwinger equation (16) writes
\[
e(x; \omega, s) = e_0(x; \omega, s) + \tau(s, \omega, \omega') q^{-\frac{1}{2}+is}|x|
\]
for any \( x \) close enough to \( \omega' \), and these coefficients are related to the scattering matrix by the following formula
\[
S(\omega', -s; \omega, s) = -\frac{2i\pi}{C(s)} \tau(s, \omega, \omega')
\]
with
\[
C(s) = \frac{1}{q^{\frac{1}{2}+is} - q^{-\frac{1}{2}+is}}.
\]

**Proof.**

From the study of the Lippmann-Schwinger equation (16), we write the decomposition
\[
e(x; \omega, s) = e_0(x; \omega, s) + e_{\text{scatt}}(x; \omega, s),
\]
where
\[
e_{\text{scatt}}(x; \omega, s) = \sum_{y \in K} G_0(\lambda_s, x, y) g(y; \omega, s)
\]
with
\[
G_0(\lambda_s, x, y) = \frac{1}{\lambda_s - \lambda_{y}}.
\]
where \( g(y; \omega, s) = \sum_{z \in K} W(y, z)e(z, \omega, s) \).

Let us look at the asymptotic behaviour of \( e_{\text{scatt}}(x; \omega, s) \) as \( x \to \omega' \).

We have seen (Theorem 3.1) that the Green’s function \( G_0(\lambda_s; x, y) \) satisfies equation (4)

\[
G_0(\lambda_s; x, y) = C(s)q^{(-\frac{1+is}{2})d(x,y)},
\]

then (5) and (6) imply that, if \( x \to \omega' \),

\[
e_{\text{scatt}}(x; \omega, s) = \tau(s, \omega, \omega')q^{(-\frac{1+is}{2})|x|},
\]

with

\[
\tau(s, \omega, \omega') = C(s) \sum_{y \in K} g(y; \omega, s)q^{\frac{(1-2is)b_\omega'(y)}{2}} = C(s) \sum_{(y,z) \in K \times K} e(z, \omega, s)W(z, y)\overline{e_0(y, \omega', s)}.
\]

Noticing that \( e_0(y, \omega', s) = \overline{e_0(y, \omega', -s)} \) we get that

\[
\tau(s, \omega, \omega') = C(s)T(\omega', -s; \omega, s).
\]

and from (27) we derive formula (30).

\[\square\]

**Remark 6.1** For any \( y \in K \) we have \( b_\omega(y) = b_{\omega'}(y) \) if \( \omega \) and \( \omega' \) belong to the same end of \( \mathbb{T}_q \setminus K \). This implies that the function \( \omega' \to \tau(s, \omega, \omega') \) is in fact constant in each end of \( \mathbb{T}_q \setminus K \), so that the transmission coefficient \( \tau(s, \omega, \omega') \) can be written as a function \( \tau(s, \omega, l) \). Moreover the reduced Lippmann-Schwinger equation depends only on the restriction of \( e_0 \) to \( K \), this implies that the function \( \omega \to \tau(s, \omega, l) \) is also constant in each end of \( \mathbb{T}_q \setminus K \). Finally, we get an \( L \times L \) matrix depending on \( s \), denoted by

\[
\tilde{S}(s) = (S(l'_{-s, l, s}))_{l,l'} = -\frac{2i\pi}{C(s)}(\tau(s, l, l'))_{l,l'}.
\]

### 6.4 Computation of the transmission coefficients in terms of the Dirichlet-to Neumann operator

In this section, we compute the transmission coefficients following the method of [13]. Let us recall that

\[
K = \{ x \in V_q \mid \exists y \in V_q \text{ with } W_{x,y} \neq 0 \}.
\]

We recall that \(|x|\) denotes the combinatorial distance of the vertex \( x \) to the root \( O \) of \( \mathbb{T}_q \). Let us set \( B_{n-1} = \{ x \in V_T \mid |x| \leq n - 1 \} \), where \( n \) is chosen so that
$n-2$ is the supremum of $|x|$ for $x$ in $K$. We denote by $T_l$ the ends of $T_q \setminus B_{n-1}$ ($1 \leq l \leq L$), by $x_l$ the root of $T_l$ and by $\Omega_l$ the boundary of $T_l$, which consists in the set of all geodesic rays starting from $x_l$ and staying into $T_l$. The set of the roots $\{x_l \mid l = 1, \ldots, L\}$ is the circle of radius $n$ and $L = (q+1)q^{n-1}$. From now on, we consider a fixed $l$ ($1 \leq l \leq L$), a fixed geodesic ray $\omega$ in $\Omega_l$, and the associated ”incoming plane wave”

$$\forall x \in V_q, \quad e_0(x, \omega, s) = q^{(1/2-is)b_\omega(x)},$$

where $s \in S^0$. We recall that such a plane wave is a generalized eigenfunction for the adjacency operator $A_0$ on $T_q$ in the sense that it satisfies

$$(\lambda_s - A_0)e_0(x, \omega, s) = 0 \quad (\lambda_s = 2\sqrt{q}\cos(s \log q)),$$

but is not in $l^2$. We are looking for solutions

$$e(x; \omega, s) = e_0(x; \omega, s) + e_{\text{scat}}(x; \omega, s), \quad x \in V_q$$

of the equation

$$(\lambda_s - A)e(x; \omega, s) = 0, \quad (31)$$

where the scattered wave $e_{\text{scat}}(x; \omega, s)$ satisfies:

$$e_{\text{scat}}(x, \omega, s) = \tau(s, \omega, l')\Phi_s(x) \quad \text{if} \quad x \in V(T_{l'}),$$

where

$$\Phi_s(x) = q^{(-1/2+is)|x|}$$

(the so-called radiation condition) and the coefficients $\tau(s, \omega, l')$ are the transmission coefficients. These radial waves are generalized eigenfunctions of $A_0$ in the
sense defined previously. We want to get an explicit expression of the transmission vector
\[ \tau(s, \omega) := (\tau(s, \omega, 1), \ldots, \tau(s, \omega, l'), \ldots, \tau(s, \omega, L)). \] (33)
As we shall see, the transmission vector does not depend on the choice of the geodesic ray \( \omega \), it is uniquely determined by the choice of \( l \); we define
\[ \tau(s, l) := \tau(s, \omega), \quad \forall \omega \in \Omega_l. \] (34)
We thus recover the result of the previous section, with the following relation
\[ \forall l, l' \quad \tau(s, l, l') = C(s) \frac{2i\pi}{S(l', -s, l, s)}. \]

We begin with noticing that \( \tilde{b}_\omega(x_{l'}) \) does not depend of \( \omega \in \Omega_l \) for any \( l' \in \{1, \ldots, L\} \). We set
\[ \tilde{A}_l := (\alpha^{-b_\omega(x_1)}, \ldots, \alpha^{-b_\omega(x_L)}) = (\alpha^{-b_\omega(x_1)}, \ldots, \alpha^{-b_\omega(x_L)}) \quad (\alpha = q^{-1/2 + is}), \]
and denote by \( \tilde{E}_l \) the vector in \( \mathbb{R}^L \) having all null coordinates excepted the \( l \)-th coordinate, which is equal to 1.

We will prove the following

**Theorem 6.5** Consider the integer \( n \) so that \( B_{n-2} \) is the smallest ball containing the finite graph \( K \).

Set \( \Gamma = B_n, \partial \Gamma = \{x_{l'}, 1 \leq l' \leq L\} \), denote by \( \widehat{A}_n \) the restriction of \( A \) to \( B_n \) in the sense that \( \widehat{A}_n = (A_{x,y})_{(x,y) \in B_n} \), define \( I_n \) in the same way, set \( B = \widehat{A}_n - \lambda_s I_n \) and denote by \( DN_s \) the corresponding Dirichlet-to Neumann operator (see Definition 6.4, Appendix B).

Then \( DN_s \) and the transmission vector \( \tau(s,l) \) defined by (32), (33) and (34) exist for any
\[ s \notin E_0 = \{s \in S^0; \lambda_s \in \sigma(\widehat{A}_{n-1})\} \]
and
\[ (\tau(s, l, l')) = -\alpha^{-2n} \left[ \frac{1}{C(s)} (DN_s + q^{1/2 + is}I)^{-1} + A \right], \]
with \( \widehat{A}_{n-1} = (A_{x,y})_{(x,y) \in B_{n-1}}, A = (A_{l,l'}) = (\alpha^{d(x_{l},x_{l'})}), \alpha = q^{-1/2 + is} \).

**Proof.**

Let us recall that we have fixed \( l (1 \leq l \leq L) \) and a geodesic ray \( \omega \) in \( \Omega_l \). From now on we write \( e(x) \) instead of \( e(x, \omega, s) \) for any \( x \in V_q \) for simplicity.

Equation (31) splits into 3 expressions, depending on where \( x \) is taken.

- If \( x \notin B_n \) the equation is already verified, since \( A \) coincide with \( A_0 \) on each end \( T_{l'} \).
Let us write the set \( N \).

According to definition 6.4 (Appendix B), the Dirichlet-to-Neumann operator \( \Omega \) is defined as:

\[
\begin{align*}
\Omega_{\beta}(x) &= \frac{\partial \Phi}{\partial \nu}(x), \quad x \in \partial \Gamma_{\beta}, \\
\Phi(x) &= \frac{\{s, \omega, l\}}{c(x) \cdot \{s, \omega, l\}},
\end{align*}
\]

where \( \partial \Gamma_{\beta} \) is the infinite path, \( \{s, \omega, l\} \) is the unique interior neighbor of \( x \), and equation (31) writes:

\[
\forall \ell' \in \{1, \ldots, L\}, \quad e(x_{\ell'}) + \sum_{x \sim x_{\ell'}, x \in T_{l'}} e(x) = \lambda_s e(x_{\ell'}) \quad (35)
\]

where \( x_{\ell'} \) is the unique interior neighbor of \( x_{\ell'} \), (see figure 7), and where we used that the potential \( W \) vanishes outside \( B_{n-2} \).

According to definition 6.4 (Appendix B), the Dirichlet-to-Neumann operator \( \Omega \) corresponding to \( B = \hat{A}_n - \lambda_s I_n \) writes:

\[
\Omega_{\beta}(x) = e(x_{\ell'}) - \lambda_s e(x_{\ell'}) \quad \forall \ell' \in \{1, \ldots, L\},
\]

Therefore, if \( s \notin \mathcal{E}_0 \), (35) can be rewritten as follows:

\[
\forall \ell' \in \{1, \ldots, L\}, \quad \Omega_{\beta}(x_{\ell'}) + \sum_{x \sim x_{\ell'}, x \in T_{l'}} e(x) = 0 \quad (36)
\]

Now it remains to compute \( \sum_{x \sim x_{\ell'}, x \in T_{l'}} e(x) \). We have, for \( x \in T_{l'} \),

\[
e(x) = q^{(1/2+is)\omega(x)} + \tau(s, \omega, l')\Phi_s(x).
\]

According to the expression of the radial function \( \Phi_s \), we get, for any \( s \notin \mathcal{E}_0 \) and \( l' \in \{1, \ldots, L\} \), that

\[
\Phi_s(x_{\ell'}) = q^{(-1/2+is)|x_{\ell'}|} = q^{n(-1/2+is)}
\]

\[
\Phi_s(x) = q^{(-1/2+is)(n+1)} \forall x \in T_{l'} \quad x \sim x_{\ell'}.
\]

Let us write the set \( N_l = \{x \in T_l, \ x \sim x_l\} \) as \( N_l = \{y_l\} \cup \tilde{N}_l \), where \( y_l \) belongs to the infinite path \( \omega \) whereas the \( q - 1 \) vertices of \( \tilde{N}_l \) do not. Then, using the properties of the Busemann function we have

\[
\begin{align*}
b_\omega(x_l) &= b_l(x_l) = |x_l| = n, \\
b_\omega(y_l) &= n + 1, \\
b_\omega(x) &= b_l(x_l) - 1 = n - 1, \quad \forall x \in \tilde{N}_l, \\
b_\omega(x) &= b_l(x_{\ell'}) - 1 \quad \forall x \in N_l, \quad l' \neq l.
\end{align*}
\]

31
So we get for any $l' \in \{1, \cdots, L\}$ and after setting $\alpha = q^{-1/2+is}$,

\[
e(x_{l'}) = \alpha^{-b_l(x_{l'})} + \tau(s, \omega, l')\alpha^n
\]

\[
e(x) = \alpha^{-b_l(x_{l'})+\varepsilon} + \tau(s, \omega, l')\alpha^{n+1}
\]

with

\[
\varepsilon = 1 \quad \forall x \in \tilde{N}_l
\]

\[
\varepsilon = 1, \quad \forall x \in N_{l'} \quad l' \neq l
\]

\[
\varepsilon = -1 \quad \text{if} \quad x = y_l.
\]

Hence we have

\[
\sum_{x \sim x_{l'}, x \in T_{l'}} e(x) = q\alpha^{-b_l(x_{l'})+1} + q\tau(s, \omega, l')\alpha^{n+1} \quad \text{if} \quad l' \neq l
\]

\[
\sum_{x \sim x_{l'}, x \in T_{l'}} e(x) = (q - 1)\alpha^{-b_l(x_l)+1} + \alpha^{-b_l(x_l)-1} + q\tau(s, \omega, l')\alpha^{n+1}.
\]

These equations can be summarised, for any $l' \in \{1, \cdots, L\}$, as

\[
\sum_{x \sim x_{l'}, x \in T_{l'}} e(x) = \alpha^{-b_l(x_{l'})+1}[q + \delta_l^l(\alpha^{-2} - 1)] + q\tau(s, \omega, l')\alpha^{n+1}
\]

so that equation (36) gives, for any $l' \in \{1, \cdots, L\}$

\[
\mathcal{D}\mathcal{N}_s(e_l')(x_{l'}) + \alpha^{-b_l(x_{l'})+1}[q + \delta_l^l(\alpha^{-2} - 1)] + q\tau(s, \omega, l')\alpha^{n+1} = 0. \quad (37)
\]

Let us set $\mathcal{D}\mathcal{N}_s(e_l') = (\mathcal{D}\mathcal{N}_s(e_l')(x_{l}))_{l' \in \{1, \cdots, L\}}$,

and write $e_l = (e(x_1), \ldots, e(x_L)) = \vec{A}_l + \alpha\vec{\tau}(s, \omega)$ (recall that $\vec{A}_l$ and $\vec{\tau}(s, \omega)$ are $L$–vectors having respectively $\alpha^{-b_l(x_{l'})}$ and $\tau(s, \omega, l')$ as their $l'$–coordinate).

Substituting in (37) and denoting by $\vec{E}_l$ the vector in $\mathbb{R}^L$ having all null coordinates except $x_1 = 1$, we get

\[
\mathcal{D}\mathcal{N}_s[\vec{A}_l + \alpha^n\vec{\tau}(s, \omega)] + q\alpha\vec{A}_l + \alpha^{-n}(\alpha^{-1} - \alpha)\vec{E}_l + q\tau(s, \omega)\alpha^{n+1} = 0
\]

which yields

\[
\alpha^n(\mathcal{D}\mathcal{N}_s + q\alpha I)\vec{\tau}(s, \omega) = \alpha^{-n}(\alpha - \alpha^{-1})\vec{E}_l - (q\alpha I + \mathcal{D}\mathcal{N}_s)\vec{A}_l.
\]

Using the expression of $\alpha$ and $C(s)$, we have then

\[
\alpha^n(\mathcal{D}\mathcal{N}_s + q^{1/2+is} I)\vec{\tau}(s, \omega) = \frac{\alpha^{-n}}{C(s)}\vec{E}_l - (q^{1/2+is} I + \mathcal{D}\mathcal{N}_s)\vec{A}_l.
\]

32
Since the matrix $DN_s$ is real symmetric, $DN_s + q^{1/2+is}I$ is an invertible matrix for any $s \in S^0$ so that $\lambda_s \notin \sigma(A_{n-1})$, and

$$\tau(s,\omega) = -\frac{\alpha^{-2n}}{C(s)} (DN_s + q^{1/2+is}I)^{-1} \bar{E}_l - \alpha^{-n} \bar{A}_l .$$

We conclude the proof by noticing that, for any $l' \in \{1, \ldots, L\}$, $b_l(x_{l'}) = n - d(x_l, x_{l'})$.

\[\square\]

Acknowledgment: we thank the referee for his careful reading of our manuscript and for suggesting many improvements to our initial text.

\section*{Appendix A: delta measures}

The goal of this Appendix is to define in a precise way the meaning of the measures $d\mu = \delta(S = 0)d\nu$ where $d\nu = \alpha(x)dx$ is absolutely continuous w.r. to the Lebesgue measure in $\mathbb{R}^d$ and $S$ is a $C^1$ real valued function so that $dS$ does not vanish on the hyper-surface $S = 0$. The measure $d\mu = \delta(S = 0)d\nu$ is supported by the hyper-surface $\Sigma := \{S = 0\}$.

We can assume that $\mathbb{R}^d$ and the hyper-surface $S = 0$ are oriented, so that we can play with differential forms instead of measures.

The proof of the following Lemma is left to the reader:

\begin{lemma}
There exists a differential form $\beta$ defined in some neighborhood of $\Sigma$ so that $adx_1 \wedge \cdots \wedge dx_d = dS \wedge \beta$. Moreover the restriction of $\beta$ to $\Sigma$ is uniquely defined.
\end{lemma}

\begin{definition}
If $\nu$ is the measure on $\Sigma$ associated to the restriction of $\beta$ to $\Sigma$, we can view $\nu$ as a measure on $\mathbb{R}^d$ denoted $d\nu = \delta(S = 0)d\mu$.
\end{definition}

We can view $d\nu$ as weak limits: if $f : \mathbb{R} \to \mathbb{R}^+$ is a positive $L^1$ function of integral 1 and $f_\varepsilon(t) = \varepsilon^{-1} f(\varepsilon^{-1} t)$ the measure $\delta(S = 0)d\mu$ is the weak limit as $\varepsilon \to 0$ of the measures $d\nu_\varepsilon = f_\varepsilon(t)d\mu$ (For the proof, take local coordinates so that $S = x_1$).

Usual choices are $f_1$ the characteristic function of the interval $[-\frac{1}{2}, \frac{1}{2}]$ and $f_2(t) = \frac{1}{\pi} \frac{1}{1+t^2}$.

\section*{Appendix B: the Dirichlet-to-Neumann operator $DN$ on a finite graph}

Let $\Gamma = (V, E)$ be a connected finite graph and let $\partial \Gamma$ be a subset of $V$ called the ”boundary of $\Gamma$”. Let $B = (b_{i,j}) : \mathbb{R}^V \to \mathbb{R}^V$ be a symmetric matrix associated
to $\Gamma$, namely

$$b_{i,j} = 0 \text{ if } i \neq j \text{ and } \{i,j\} \notin E.$$

After setting $V_0 = V \setminus \partial \Gamma$, we define $B_0 : \mathbb{R}^{V_0} \to \mathbb{R}^{V_0}$ as the restriction of $B$ to the functions which vanish on $\partial \Gamma$.

We have the following

**Lemma 6.3** Assume that $B_0$ is invertible. Then, for any given $f \in C(\partial \Gamma)$, there exists a unique solution $F \in C(\Gamma)$ of the Dirichlet problem

$$(D_f) : F|_{\partial \Gamma} = f \text{ and } BF(l) = 0 \text{ if } l \in V_0.$$  

The Dirichlet-to-Neumann operator $\mathcal{DN}$ associated to $B$ is the linear operator from $C(\partial \Gamma)$ to $C(\partial \Gamma)$ defined as follows:

**Definition 6.4** Assume that $B_0$ is invertible. Let $f \in C(\partial \Gamma)$, and $F$ be the unique solution of the Dirichlet problem $(D_f)$. Then, the Dirichlet-to-Neumann operator form $\mathcal{DN} : \mathbb{R}^{\partial \Gamma} \to \mathbb{R}^{\partial \Gamma}$ is defined as follows: if $l \in \partial \Gamma$,

$$\mathcal{DN}(f)(l) = \sum_{i=1}^{m} b_{l,i}F(i)(= BF(l)).$$

**References**

[1] J. Breuer. *Singular continuous spectrum for the Laplacian on certain sparse trees*. Commun. Math. Phys. 269 (3):851–857 (2007).

[2] P. Cartier, *Géométrie et analyse sur les arbres*, Séminaire Bourbaki, 24ème année (1971/1972), Exp. No. 407, Lecture Notes in Math. Springer, 317:123–140 (1973).

[3] Y. Colin de Verdière. *Spectre de graphes*. Cours spécialisés 4, Société mathématique de France (1998).

[4] Y. Colin de Verdière. *Distribution de points sur une sphère*. Séminaire N. Bourbaki, exposé 703:83–93 (1988-89).

[5] Y. Colin de Verdière. *Mathematical models for passive imaging I: general background*. ArXiv 0610043.

[6] Y. Colin de Verdière. *Semiclassical analysis and passive imaging*. Nonlinearity 22:45–75 (2009).

[7] Y. Colin de Verdière. *A Semi-classical calculus of correlations*. Thematic issue “Imaging and Monitoring with Seismic Noise” of the series “Comptes Rendus Géosciences”, from the Académie des sciences 343:496–501 (2011).
[8] M. Cowling, S. Meda & A. Setti. An overview of harmonic analysis on the group of isometries of a regular tree. Exposition.Math., 16(5):385–423 (1998).

[9] M. Cowling & A. Setti. The range of the Helgason-Fourier transformation on regular trees. Bull.Austral.Math.Soc., 59:237–246 (1998).

[10] A. Figà-Talamanca & C. Nebbia, Harmonic Analysis and representation theory for groups acting on regular trees. London Math. Soc. Lecture Notes Series, 162 Cambridge Univ. Press, 1991.

[11] T. Ikebe. Eigenfunction expansion associated with the Schrödinger operators and their applications to scattering theory. Arch. Rational Mech. Anal., 5:1–34 (1960).

[12] M. Reed & B. Simon. Methods of Modern mathematical Physics III-Scattering theory, (1980), New York, Academic Press.

[13] U. Smilansky Exterior-Interior Duality for Discrete Graphs J. Phys. A: Math. Theor., 42:035101 (2009).