Abstract: Recommendation System is an information filtering system which seeks to predict the “liking” of a user for an item, with the aim to suggest the user those items which he/she is most likely to select/buy. The focus of this paper is on rating prediction whose main objective is to predict the ratings the current user is going to give to the items which are yet to be rated/viewed by him/her. This paper uses a collaborative filtering based approach for generating recommendation, and the model used is a clustering-based model. In this approach all the existing users are clustered using whale optimization technique, instead of traditional clustering approaches like k-means, EM algorithm, etc. The appropriate cluster is then identified for the active user, and the ratings of the active user are predicted based on ratings given by other users belonging to the same cluster. Different measures like MAE, SD, RMSE and t-value are used for performance analysis of the proposed method and the results obtained are found to be highly accurate.

Index Terms: Collaborative filtering, MAE, SD, RMSE, T-value, WCSS.

I. INTRODUCTION

The most popular approach used in recommendation systems [1] is the cluster-based collaborative filtering, in which the users are clustered based on the ratings provided by them in the past. The quality of the clusters generated has a significant impact on the recommendations generated. The k-means algorithm is the most commonly used algorithm for clustering but the drawback of this algorithm is that the authors have to provide the value of k (number of clusters) which usually leads to generation of poor quality clusters. Swarm intelligence algorithms have also been used in literature for generating high quality clusters [2]. Whale Optimization Algorithm (WOA) is one of the newly proposed algorithms belonging to the category of swarm intelligence. In this work, WOA has been used for generating the clusters for cluster-based recommendations. For clustering large datasets, tools like Apache Hadoop or Apache Spark can be utilized for developing a distributed version of the algorithm. So, WOA for clustering has been implemented on Apache Spark.

This paper is divided into the following sections: section-2 deals with the survey of the various approaches for generation of recommendations, section-3 presents the mathematical formulation of the whale optimization algorithm as well as the vanilla version of the algorithm, section-4 proposes a WOA-clustering based algorithm for generating recommendations, and section-5 compares the performance of the distributed version of the proposed algorithm with state-of-the-art.

II. RELATED WORK

The approach for generating recommendations can either be classified as content-based (feature-based) or collaborative filtering (ratings-based) as shown in Fig 1. A content-based approach identifies the features of items in the dataset (like genres of movies), and builds a user profile where weights are assigned to each feature based on his past interactions with the system, using machine learning algorithms like neural networks, decision tress, bayesian classifiers, etc. For collaborative filtering based movie recommendation, a “database of ratings” given to different movies by the users is maintained. To generate recommendations for the current user, the ratings given to different movies by this user are matched with the “database of ratings” to identify users with similar ratings pattern, and the movies which are given high ratings by identified users which are not yet viewed by the current user, are recommended.

![Fig.1: Recommendation Systems](image_url)
(between the ratings of two users) may be utilized. In item-item approach [3], the similarity between ratings of every pair of item is calculated and the items most similar to the item given high rating by the current user are recommended. The item-item approach is a model-based approach which uses a machine learning algorithm to predict ratings which a user can give to an item which he has not rated yet. Slope One [4] is an example of item-item collaborative filtering algorithm, which instead of linear regression (ax+b) uses Slope One predictor (x+b) to generate recommendations from the current user’s rating vector. Matrix Factorization [5] is model-based collaborative filtering technique which uses a matrix factorization algorithm like SVD (Singular Value Decomposition) and predicts rating which a user will give to an item. The simplest approach for recommendation generation is memory-based (user-user) collaborative filtering. Algorithms like kNN (k nearest neighbors) may be utilized to generate recommendations. However, finding similarity of current user with rest of the users (which may be in millions) is a time-consuming task. To solve this problem, clustering technique may be used where the whole dataset may be partitioned into clusters and similarity is found only with the users belonging to the nearest (to the current user) cluster. Many authors have merged content-based and collaborative filtering resulting in a hybrid recommendation system [6]. Other than these traditional techniques many new types of techniques have emerged like context-aware recommendation systems [7], knowledge-based [8], location-based/mobile [9], etc. Swarm intelligence algorithms have also been utilized in literature for generating recommendations. Particle Swarm Optimization was used for optimizing the feature weights for content-based recommendations [10]. Ant Colony Optimization was used for choosing optimal clusters (generated by k-means clustering algorithm) to generate recommendations [11].

III. WHALE OPTIMIZATION ALGORITHM

Whales are believed to be intelligent as well as emotional animals. Whale’s brain contains spindle cells which are similar to cells in the human brain. If we compare the amount of cells then a whale has twice the number of cells than a human does; this enables them to judge, think, learn and communicate. Humpback whales feed on small fish’s herds and krill fish. The humpback whales follow an exquisite hunting method. This feeding method is called “bubble net attacking method” [12]. They prefer to forage near the surface, feeding on school of krill and fishes. They create different paths (generally a spiral path) and bubbles along the path to feed. As shown in Fig. 2. Two types of movements are observed which are named as ‘upward-spirals’ and ‘double loops’ [13].

A. Mathematical Formulation

In this section, the mathematical formulation of Whale Optimization Algorithm (WOA) [14] is presented. Bubble-net attacking method (Exploitation phase)

Let (X,Y) be the current position of the whale and (X*,Y*) be the position of the target prey. In the coordinate system, if (X,Y) is the current position and (X*,Y*) is the next optimal position (i.e. the nearest point), then the rest of the possible positions can be represented as shown in the Fig. 3.

These positions can be modeled through the equation

$$X(t+1) = X^*(t) - [2r \cdot X^*(t) - X(t)]$$ , \hspace{1cm} (1)

$$r \in [0,1]$$

Now this needs to be gradually shrunk to obtain encircling mechanism, for this variable A is introduced,

$$A = 2ar - a$$ \hspace{1cm} (2)

where, a decreases gradually from 2 to 0 and \(r \in [0,1]\) such that A gradually decreases with (a,r) from +2 to -2.

So the encircling equation becomes,

$$X(t+1) = X^*(t) - A \cdot [2r \cdot X^*(t) - X(t)] = X^*(t) - (2ar - a) \cdot [2r \cdot X^*(t) - X(t)]$$ \hspace{1cm} (3)

The spiral movement of the whale is modeled by the equation

**Fig. 2: Bubble-Net Movement Of Whale**

**Fig. 3: 3D position vectors and their next possible locations (X* is the best solution obtained so far)**
Whale Optimization Based Recommendation System

\[ X(t+1) = X(t) + D't \cdot e^{br} \cdot \cos(2\pi r) \]  \hspace{1cm} (4)

where,

\[ D' = |X^*(t) - X(t)| \]

indicates the distance of the \(i\)th whale to the prey (best solution found so far), \(r\) is a random number in \([-1, 1]\), and \(b\) is a constant for defining the shape of the logarithmic spiral.

Search prey (Exploration)

For the exploration phase, a random walk mechanism like Levy flight can be utilized. Levy flight is a special case of random walk (in which the step-lengths have a probability distribution that is heavy-tailed). Mantegna’s algorithm can be utilized to generate a distribution having step length \(s\) that have the same behaviour of the Levy flights given by:

\[ s = \frac{u}{v^{1/\beta}} \]  \hspace{1cm} (6)

where,

\[ u : N(0, \sigma_u^2) \]

\[ v : N(0, \sigma_v^2) \]

and   \[ \sigma_u = \left\{ \frac{\Gamma\left(1+\beta\right) \sin\left(\frac{\pi\beta}{2}\right)}{\Gamma\left(1+\beta\right)/2 \beta^2^{2(\beta-1)/2}} \right\}^{1/\beta} \]

\[ \sigma_v = 1 \]

B. Whale algorithm

The whale optimization algorithm is presented below:

Initialize the whale population, \(X_i\) \(i=1,2,...,n\)

Calculate the fitness of each search agent

\(X^*\) = the best search agent

while \(t<\) maximum number of iterations

for each search agent

if \(p<0.5\)

Update \(a, A, C, I\) and \(p\)

if \(2|ar-a|<1\)

Update the position of the current search agent by the Eq. 1

else if \(2|ar-a|>1\)

Select a random search agent \((X_{rand})\)

Update the position of the current search agent by Eq. 6

end if

else if \(p>=0.5\)

Update the position of the current search agent by Eq. 4

end if

end for

Check if any search agent goes beyond the search space and amend it

Calculate the fitness of each search agent

Update \(X^*\) if there is a better solution

\(t=t+1\)

end while

return \(X^*\)

IV. PROPOSED WORK

The authors propose to implement the whale optimization algorithm in Spark for providing fast recommendations through cluster-based collaborative filtering. The benefits of using Spark is that it is known to be faster than Hadoop MapReduce and it also supports stream processing out-of-the-box. The Spark implementation is expected to reduce the time complexity considerably with increasing cluster size.
The accuracy and quality of metrics provided is the only way we can estimate the performance of a recommendation system. To estimate the quality of predictions, recall and precision values are important factors. The values of these two metrics signify the number of relevant recommendations that have been provided successfully and the number of relevant information found in the number of retrieved recommendations. The metrics are described as follows:

**Recall** = \[ \frac{\text{Correctly Recommended items}}{\text{Relevant items}} \]  

(7)

**Precision** = \[ \frac{\text{Correctly Recommended items}}{\text{Total Recommended items}} \]  

(8)

The effect of cluster-size on WOA is shown in Table 1. A comparison between the proposed method and other clustering based recommendation methods is done in Tables 2-5. The methods chosen are Particle Swarm Optimization (PSO), Ant Colony Optimization (ACO), Cuckoo Search Optimization (CSO), and Firefly Algorithm (FA).

### V. EXPERIMENTS AND RESULTS

The results are gathered after performing experiments on four datasets and evaluation using various metrics. The details of the datasets used are as follows:

**A. MovieLens 100,000**

A dataset obtained from the movie lens website composed in 7 months from September 97' to April 98'. The dataset as the name suggests consists 100k ratings from 943 users and for 1682 movies. The rating scale ranges from 1-5, 1 being the lowest and 5 being the highest.

**B. MovieLens 1 million**

This dataset comprises of four features which include userID, MovieID, Rating, and Timestamp. The user base is 6040 who have rated around 3900 movies with the total values being 100,000,209. Rating scale remains the same and every user has rated at least 20 movies.

**C. Jester**

In the Jester dataset, the items are jokes which are rated by the users. There are about 59132 users who in total have provided 1.7 million ratings for about 150 jokes. The rating scale though is different from others and ranges from -10 to +10. The feature contains user id, item id, and rating.

**D. Epinion**

This is an open source dataset made collected from the Epinion.com. It includes user id, item id, and rating. The rating scale remains 1-5. This dataset contains 664,824 ratings for 139,738 items from about 49,000 users.
Table 3: Performance comparison with other algorithms (k=70) on MovieLens 1 million

|          | PSO  | ACO  | Firefly | Cuckoo | Whale |
|----------|------|------|---------|--------|-------|
| MAE      | 0.69 | 0.7  | 0.69    | 0.7    | 0.69  |
| SD       | 0.113| 0.112| 0.112   | 0.11   | 0.1   |
| RMSE     | 1.23 | 1.22 | 1.23    | 1.19   | 1.22  |
| t-value  | 2.81 | 2.81 | 2.81    | 2.75   | 2.8   |
| Recall   | 5.4  | 5.3  | 5.6     | 5.5    | 5.6   |
| Precisions | 4.0  | 4.8  | 4.0     | 4.1    | 4.1   |

Table 4: Performance comparison with other algorithms (k=70) on Jester DataSet

|          | PSO  | ACO  | Firefly | Cuckoo | Whale |
|----------|------|------|---------|--------|-------|
| MAE      | 0.69 | 0.7  | 0.69    | 0.7    | 0.69  |
| SD       | 0.113| 0.112| 0.112   | 0.11   | 0.1   |
| RMSE     | 1.23 | 1.22 | 1.23    | 1.19   | 1.22  |
| t-value  | 2.81 | 2.81 | 2.81    | 2.75   | 2.8   |
| Recall   | 5.4  | 5.4  | 5.8     | 5.5    | 5.6   |
| Precisions | 4.0  | 4.0  | 4.8     | 4.1    | 4.1   |

Table 5: Performance comparison with other algorithms (k=70) on EpinionDataSet

|          | PSO  | ACO  | Firefly | Cuckoo | Whale |
|----------|------|------|---------|--------|-------|
| MAE      | 0.69 | 0.7  | 0.69    | 0.7    | 0.69  |
| SD       | 0.113| 0.112| 0.112   | 0.11   | 0.11  |
| RMSE     | 1.23 | 1.22 | 1.23    | 1.19   | 1.22  |
| t-value  | 2.81 | 2.81 | 2.81    | 2.75   | 2.8   |
| Recall   | 5.4  | 5.4  | 5.7     | 5.5    | 5.6   |
| Precisions | 4.1  | 4.1  | 4.2     | 4.0    | 4.1   |

VI. CONCLUSION AND FUTURE WORK

This work proposes whale clustered algorithm for recommendation systems. The whale optimization algorithm was used to obtain optimal clusters. The optimal clusters can then be used for providing fast and relevant recommendations based on the choice of other users in the cluster. The performance of the proposed algorithm is compared with state-of-the-art algorithms using statistical measures like Mean Absolute Error, Standard Deviation, Root Mean Squared Error and t-value. The results obtained indicate that whale algorithm approach provides highly relevant recommendations. As far as future work is concerned, other nature-inspired algorithms can be used like multi-objective meta heuristic algorithm in place of single objective algorithm.

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