Strain-engineered inverse charge-funnelling in layered semiconductors

Adolfo De Sanctis, Iddo Amit, Steven P. Hepplestone, Monica F. Craciun, and Saverio Russo*

Centre for Graphene Science, College of Engineering, Mathematics and Physical Sciences, University of Exeter, Exeter EX4 4QF, United Kingdom

E-mail: S.Russo@exeter.ac.uk

The control of charges in a circuit due to an external electric field is ubiquitous to the exchange, storage and manipulation of information in a wide range of applications, from electronic circuits to synapses in neural cells. Conversely, the ability to grow clean interfaces between materials has been a stepping stone for engineering built-in electric fields largely exploited in modern photovoltaics and opto-electronics. The emergence of atomically thin semiconductors is now enabling new ways to attain electric fields and unveil novel charge transport mechanisms. Here, we report the first direct electrical observation of the inverse charge-funnel effect enabled by deterministic and spatially resolved strain-induced electric fields in a thin sheet of HfS$_2$. We demonstrate that charges driven by these spatially varying electric fields in the channel of a phototransistor lead to a 350\% enhancement in the responsivity. These findings could enable the informed design of highly efficient photovoltaic cells.

*To whom correspondence should be addressed
The development of novel ways to tailor the electrical and optical properties of atomically thin semiconductors, for example by local modification of their composition or structure, holds the promise to explore new implementations of electric fields and unveil novel mechanisms of charge transport which can boost the efficiency of opto-electronic devices. The application of strain is one original way to engineer electric fields in semiconducting materials through a varying energy gap. However, common bulk semiconductors can only sustain strain of the order of $\sim 0.1 - 0.4\%$ without rupture, a value which limits the range of novel physical phenomena and applications that can be accessed. On the contrary, layered semiconductors, such as graphene and transition metal dichalcogenides (TMDs), are theoretically predicted to be able to sustain record high levels of strain $> 25\%$ expected to lead to an unprecedented tunability of their energy gap by more than 1 eV. One tantalizing charge transport phenomenon which could be accessible owing to large values of strain is the funneling of photoexcited charges away from the excitation region and towards areas where they can be efficiently extracted. Such effect is heralded as a gateway for a new generation of photovoltaic devices with efficiencies that could approach the thermodynamic limit.

In general, strain-induced gradients of energy gaps create a force on (neutral) excitons that pushes them towards the regions with the smallest energy gap. In direct gap semiconductors, this area corresponds to that of maximum tension. Hence, the strain pattern generated by simply poking a sheet of direct gap TMD would normally funnel the charges towards the apex of the wrinkle. Consequently, the extraction of the charges for energy harvesting or sensing poses considerable technological challenges and for this reason the funneling effect has not yet been observed experimentally in electrical transport. On the other hand, the opposite behaviour is theoretically expected in some indirect gap semiconductors (e.g. HfS$_2$ and HfSe$_2$) and in black-phosphorus, where the energy gap increases in the regions of tension. This would allow the exploitation of the so-called inverse charge funnelling whereby a strain pattern generated by poking a sheet of these materials would
push the charges away from the apex of the wrinkle, making them readily available for energy harvesting or computing purposes to an external circuit.

In traditional semiconductors such as Si and Ge, strain is typically introduced at the growth stage by dislocations or elemental composition. These techniques do not easily allow the creation of complex planar strain patterns, forbidding the development of ultra-thin charge-funnel devices. These limitations can be overcome by using atomically thin semiconductors, such as HfS$_2$. In this case, specific strain patterns can potentially be engineered in the plane of the TMDC by exploiting the lattice mismatch between the semiconductor and its in-situ grown oxide, see figure 1a. Ab initio DFT calculations suggest that the [1 1 1] cleavage plane of monoclinic HfO$_2$ has a spatial arrangement of Hf atoms commensurate to that of the basal plane of HfS$_2$, with an Hf-Hf distance of 3.426 Å. Since the Hf-Hf distance in HfS$_2$ is 3.625 Å, a transition between these two structures is likely to introduce an average 2.7% compressive strain in the semiconductor at the interface with its oxide. Hence, anchoring the TMD at the edges, for example by depositing electrical contacts, would allow the same amount of strain to be induced away from the oxidised area in the opposite direction (green arrows in figure 1a). Such tensile strain pattern results in the spatial modulation of the bandgap of HfS$_2$ and therefore the creation of spatially varying electric fields, which are the key ingredients to observe the inverse charge funnel effect. The magnitude of these electric fields can be determined from the change in the energy gap with strain. This has been calculated using DFT and the results, shown in figure 1b-c, predict an increasing (decreasing) value of the direct gap ($\Gamma \rightarrow \Gamma$) with compressive (tensile) strain whilst the indirect gap ($\Gamma \rightarrow M$) behaves the opposite.

To engineer strain-induced electric fields through lattice mismatch we employ a spatially resolved photo-oxidation technique. Upon exposure to a focussed laser ($\lambda = 375$ nm, $P = 1$ MW/cm$^2$) we find that HfS$_2$ is readily oxidized, becoming invisible to the naked eyes (see figure 2a). Surprisingly, topographic atomic force microscopy (AFM) measurements show no ablation of the material in the laser-exposed area while the tapping phase image clearly
reveals a change in its viscoelastic properties (see also supplementary section S2.1). The energy dispersive X-ray microanalysis (EDXMA) shows the absence of the S peaks (K lines) and the appearance of an O peak (Kα line) in the laser-irradiated areas. This is in stark contrast to the spectrum of the pristine HfS2 where the expected S peaks are clearly measured and no O peak is resolved (figure 1b). No change is observed in the Hf and substrate peaks. Quantitative analysis shows that, upon laser irradiation, the weight ratio of S decreases from ∼20% to ∼1% of the total, while the O content increases from ∼1% to ∼20%, indicating the formation of HfO2. Furthermore, the oxidized area is compatible with the diffraction-limited spot size of our laser system (see figure S1d,e), indicating that a photon-assisted oxidation process, as opposed to a thermally driven one, is taking place.

The photo-oxidation of 2D semiconductors has recently been shown to depend on the rate of charge-transfer between the surface of the material and the aqueous oxygen present in air via the oxygen-water redox couple $2\text{H}_2\text{O} \rightleftharpoons \text{O}_2(\text{aq}) + 4\text{e}^- + 4\text{H}^+$, where the O2 binds to a metal site. Adopting the same model and supported by the EDXMA data, we summarize the oxidation reaction of HfS2 as $\text{HfS}_2(\text{s}) + 3\text{O}_2(\text{aq}) + h\nu \rightarrow \text{HfO}_2(\text{s}) + 2\text{SO}_2(\text{g})$. Here, the absorption of a photon of energy $h\nu$ produces an optical excitation in the HfS2, leaving it in an excited state ($\text{HfS}_2 + h\nu \rightarrow \text{HfS}_2^*$) which provides free carriers that are transferred to the oxygen on the surface, producing an oxygen radical ion $\text{O}_2^{2-}(\text{aq})$ which reacts with the HfS2 (see supplementary section S1 for details). The feasibility of the proposed reaction is confirmed by DFT calculations, which show an energy cost of $-11.58$ eV per HfS2 molecule (see supplementary section S3.1). Indeed, a detailed study of the oxidation rate and its dependence on the laser flux and the initial amount of pristine material confirms that the process is described by the Mercus-Gerischer theory as expected for photo-oxidation (see supplementary equation (S4) and supplementary figure S1b,c).

Theoretically, we expect that a 3% compressive strain should induce a change by as much as 30 meV in the bandgap of HfS2 (figure 1f). Indeed, a measurement of the absorption coefficient $\alpha$, in the region close to the laser-written oxide, confirms an energy gap difference...
of 30 meV (see figure 2c). The absorption coefficient measured in the centre of the oxidised area is close to zero, showing that the direct absorption edge lies above 2.9 eV, as expected for HfO$_2$. Raman spectroscopy allows us to map the strain profile induced in a clamped device, as shown in figure 2d inset. First-principle studies have shown that the peak corresponding to the Raman-active A$_{1g}$ phonon mode of HfS$_2$ downshifts (upshifts) with the application of tensile (compressive) strain. In figure 2d we plot the frequency of such mode as a function of position along the length of the device. The experimental frequency of the A$_{1g}$ mode of pristine multi-layer HfS$_2$ is found to be 336.1 ± 0.01 cm$^{-1}$ from the measurements reported in figure S1c-d, in good agreement with literature. The deviation of the measured peak from this value demonstrates the presence of compressive and tensile strain along the device (green arrows in figure 2d), compatible with the model proposed in figure 1a (the data have been calibrated using the position of two fixed peaks to compensate for instrumental shifts, as explained in the methods section).

Demonstrating the creation of strain gradients using a spatially resolved photo-oxidation process allows the realisation of novel planar heterointerfaces and energy band tailoring. Hence, we employed scanning photocurrent microscopy (SPCM) mapping to study the photoresponse of a strain-engineered HfS$_2$ photodetector in a field effect transistor (FET) configuration in search of the inverse charge funneling effect. Figure 3a shows the SPCM maps before and after photo-oxidation of a single spot in the channel of the FET. We observe an enhancement of the photoresponse close to the laser-oxidised area, where the responsivity increases by 350% at low powers and by 200% at the saturation power (120 W/cm$^2$), as detailed in figure 3b. In order to correlate this observation with the electrical detection of charge funnelling, we perform SPCM in the device presented in figure 2d. Figure 4a schematically depicts the band alignment in such device for an applied bias $V_{sd} = 0$ V, where the changes in the valence band maximum (VBM) and conduction band minimum (CBm) with strain are taken from figure 1b for indirect transitions (based on the data from Raman and absorption spectroscopy). Excited electron-hole pairs, in the proximity of the
strained area, are funnelled towards the electrodes by the built-in energy gradient, giving an enhanced photoresponse. For $V_{sd} = 0 \text{ V}$, both sides of the strained junction will give equal contribution. Indeed, we were not able to measure any photoresponse in absence of a bias, see also Supplementary figure S9. The application of a source-drain bias larger than the difference between the conduction band energy at the maximum strain point and its value in the unstrained region ($V_0$) is expected to exhibit a larger photoresponse in regions with maximal energy gradients (see also supplementary figure S7d). This is indeed observed in the SPCM map shown in figure 4d. Furthermore, by reversing the bias it is possible to mirror the profile of the built-in electric field and consequently reflect the position of the maximum photoresponse, see figures 4c and 4e.

To fully capture the role of the inverse charge funneling effect on the measured photoresponse we develop a one-dimensional analytical model. For simplicity we assume that the strain gradient induces a built-in potential which decays linearly with the distance from the strain junction, creating a local built-in electric field $E_0$ (see supplementary equation (S11)). By solving the charge continuity equation (see supplementary section S5), assuming the rate of carrier generation to be a delta function at the illumination point $x_0$, we find that the charge density as a function of position $x$ is given by:

$$\Delta n = \Delta n_0 e^{-\frac{1}{2} \left( \frac{q}{k_B T} (E_{sd} \pm E_0) + \sqrt{\left( \frac{q}{k_B T} (E_{sd} \pm E_0) \right)^2 + \frac{4}{\tau D}} \right) |x-x_0|},$$

where $\Delta n_0$ is the excited carrier density at the injection point, $T$ is the temperature, $k_B$ is the Boltzmann constant, $q$ is the electron charge, $\tau$ is the carriers lifetime, $D$ is the diffusion coefficient and $E_{sd}$ is the electric field due to the applied bias. The plus (minus) sign applies to the left (right) strain-engineered region, respectively and $E_0 = 0$ outside those regions. Calculating the current generated by this charge density distribution (see ??) by scanning the laser along the channel, we can describe experimentally measured SPCM (see figure 4f).

Although the strain gradient, and thus the built-in field, should be treated as $\propto 1/x$, our simple assumptions allow the derivation of an analytical result which is still able to reproduce
well the experimental data with $\tau$ as the only free fitting parameter. In our case we find a value of $\tau \simeq 10^{-10}$ s outside the strain region, which is typical of multi-layer semiconducting TMDs.\cite{27} In the strain region we find $\tau \simeq 10^{-6}$ s, which translates in a carrier diffusion length of $L = \sqrt{\tau D} \simeq 8 \mu$m (assuming a mobility of $2.4 \text{cm}^2\text{V}^{-1}\text{s}^{-1}$).\cite{28} The observation of a diffusion length which exceeds the extension of the strained region (2.5 $\mu$m) is, indeed, a signature of efficient separation and extraction of charges, compatible with the charge-funnel effect.\cite{15} Future studies of the effects of bandgap engineering on the carriers recombination lifetimes could elucidate the physical mechanisms behind this improvement and may shed light on the role of hot-carriers in such strained devices for photovoltaic applications. In particular, charge funneling could allow carriers excited above the bandgap to be extracted before their excess kinetic energy is lost through cooling, enabling solar cells relying on this phenomena to overcome the Shockley-Queisser limit and bring their efficiency above 60\%.\cite{29} Furthermore, the spatial modulation of the semiconductor bandgap could be used to create an effective tandem solar cell, which would be able to absorb a much larger portion of the solar spectrum compared to a single bandgap device,\cite{2} see also discussion in Supplementary section S6.

In summary, in this work we report the first experimental observation of the inverse charge funneling effect, that is a novel microscopic charge transport mechanism enabled by strain-induced electric fields. By developing a unique technique of photo-oxidation, we are able to engineer deterministic and spatially resolved strain patterns in ultra-thin films of HfS$_2$ which in return generate built-in electric fields. Such strain gradient is responsible for the enhancement of the responsivity of a phototransistor of up to 350\%, which was attributed to the inverse charge funnel effect. A simple analytical model was derived to simulate the scanning photocurrent microscopy experiments, which demonstrated the charge funneling effect and allowed the determination of a long carrier recombination lifetime of $10^{-6}$ s in the strain-engineered region of the device. These results open the route towards the exploitation of strain-engineered devices for high-efficiency energy harvesting and sensing applications,
with the potential to overcome the intrinsic limitations of current solar cells by exploiting both hot-carriers extraction and lossless transport, to achieve efficiencies approaching the thermodynamic limit in photovoltaic devices. The use of atomically thin materials could open the door to the incorporation of such devices in emerging wearable electronics technologies and smart buildings, creating a new paradigm in energy harvesting.

Methods

Sample preparation
Thin flakes of HfS$_2$ were obtained by micro-mechanical exfoliation from commercial bulk crystal on different substrates. The oxygen free CaF$_2$ substrate was used in the EDXMA allowing one to probe purely the oxygen peak of the oxidised HfS$_2$. This same substrate was used for Raman spectroscopy since it only has a well-defined Raman peak at 322 cm$^{-1}$. Quartz (525 µm thick) substrate was used to perform the absorption coefficient measurements, due to its constant refractive index across the scanned energy range. Substrates of heavily doped Si capped with 285 nm of thermally grown SiO$_2$ were used to fabricate phototransistors using standard electron-beam lithography, deposition of Ti/Au (5/50 nm) for the contacts followed by lift-off in Acetone. For EDXMA analysis the sample was coated with 5 nm of Au and grounded to avoid charging of the CaF$_2$ substrate. The choice of substrate did not affect the laser-induced oxidation in terms of morphology, exposure time or incident power.

Atomic force microscopy and energy-dispersive X-ray microanalysis
Atomic force microscope (AFM) topography and phase image were acquired with a Bruker Innova AFM system, operating in the tapping (or dynamic) mode to avoid damage to the sample while maintaining a high spatial resolution. The measurements were done using a highly doped silicon tip acquired from Nanosensors with a nominal resonance frequency of 330 kHz, and a sharp radius of curvature (< 10 nm). Energy dispersive X-ray microanalysis
(EDXMA) was performed using a Hitachi S-3200N scanning electron microscope equipped with an Oxford Instruments EDS Model 7021 (detection area 10 mm² and resolution at 5.9 keV of 138 eV). The accelerating voltage was 10 kV and the total counts were fixed at 10⁴ for each spectrum. The observed Hf/S weight ratio (∼3) in the pristine area, confirmed the stoichiometry of HfS₂, as also reported in the literature.¹³

**Raman and optical spectroscopy**

Raman spectroscopy of ultrathin HfS₂ requires great care in order to avoid the photo-oxidation of the material: low laser intensity, long acquisition time and low background noise are required. For this reason the Raman spectra presented in this work were acquired with a custom-built micro-Raman spectrometer.²⁵ For very thin flakes we used an incident power density of 5 kW/cm² with an acquisition time of 20 s, with no visible changes in the sample. The same set-up was also used to acquire the transmission and reflection spectra, in order to measure the absorption coefficient, with an incandescent bulb and a white LED as light sources. All light sources were thermally controlled to ensure no thermal drift during measurements.

In order to determine with great accuracy the Raman shift of the A₁g mode of HfS₂ we calibrated the acquired spectra relying on the presence of two fixed peaks which were acquired in the same spectrum: the silicon peak (from the substrate) and the spurious laser-line peak (L) which appear at 520 cm⁻¹ and 316 cm⁻¹, respectively (see figure S1b and S2h). Since these two peaks do not belong to the HfS₂ they will not shift with the strain applied to the semiconductor and can be used to correct for instrumental shifts of the frequency.

**Determination of the absorption coefficient**

The absorption coefficient α(λ) is defined as the fraction of the power absorbed per unit length in the medium, and it is a strong function of the incident wavelength λ. We used the formulation by Swanepoel²⁴ to calculate the absorption coefficient of HfS₂ and HfO₂.²⁰ In order to account for the interface between the HfS₂ and the substrate we used the measured reflectance of a thick HfS₂, so that we can ignore multiple reflections from the substrate, to
compute the refractive index $n$ of HfS$_2$. We found that $n \sim 2.5$ across the measured range and, thus $R_2 \sim 5.6\%$ (reflectance at air/medium interface). Since $R_3 = 5.0\%$ (air/quartz interface), we assumed $R_2 = R_3$ in equation (A3) in reference. The same result can be obtained by computing $n$ from the measured transmittance curve, using equation (20) in reference. The bandgap of a semiconductor is related to the absorption coefficient by: 

$$\alpha \propto (h\nu - E_g)^{1/2}$$

for direct allowed transitions, therefore measurement of $\alpha$ close to the absorption edge can be used to extrapolate the value of the direct bandgap of HfS$_2$.

**Photoresponse and scanning photocurrent microscopy measurements**

In our custom made multi-functional opto-electronic set-up, solid-state diode lasers are used and all the optical components are chosen in order to minimize deviations from the TEM$_{00}$ laser mode, which has a Gaussian intensity distribution. The lasers spot diameter and depth of focus are: for $\lambda = 375$ nm, $d_s = 264$ nm and $\Delta z = 158$ nm; for $\lambda = 473$ nm, $d_s = 445$ nm and $\Delta z = 268$ nm; for $\lambda = 514$ nm, $d_s = 484$ nm and $\Delta z = 291$ nm.

Scanning photocurrent maps were acquired by measuring the photo-generated current at each laser spot location ($\lambda = 473$ nm, $P = 150$ W/cm$^2$, see supplementary section S4 for detailed electrical characterization). The electrical signal from the device was amplified with a DL Model 1211 current preamplifier and measured with an Ametek Model 7270 DSP Lock-in amplifier. The locking frequency was provided by a mechanical chopper which modulated the light source. The bias and gate voltages were provided by a Keithley 2400 SourceMeter.

**Band structure calculations**

First principles simulations were carried out using the density functional by Perdew, Burke- and Ernzerhof (PBE), as implemented in the QUANTM ESPRESSO package. The total energy of the system was minimized with respect to coordinates of all atoms and the cell parameters for the bulk structures and the ground state obtained. For bulk, the structure was allowed to fully relax using the Broyden-Fletcher-Goldfarb-Shanno (BFGS) algorithm. A cutoff of 120 Ry and a $3 \times 3 \times 3$ Monkhorst-Pack k-point set were used for these calculations. Based upon these total energy calculations, reaction energetics were calculated. The
reaction was taken as $\text{HfS}_2(s, 2D) + 3 \text{O}_2(g) \rightarrow \text{HfO}_2(s) + 2 \text{SO}_2(g)$, where the energy of the reaction is calculated from $E_R = E(\text{HfS}_2) + 3E(\text{O}_2) - E(\text{HfO}_2) - 2E(\text{SO}_2)$. The HfO$_2$ structure was fully relaxed.

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Figure 1: **Inverse charge funnelling in strained HfS$_2$.** (a) Schematic diagram of the proposed device (see figure [4] for the actual implementation). Compressive strain is induced in the centre of a semiconducting HfS$_2$ channel by controlled photo-oxidation. The compression induces tensile strain away from the HfS$_2$/HfO$_2$ interface, resulting in the spatial modulation of the bandgap. (b) *Ab initio* calculations of the valence band maximum (VBM) and conduction band minimum (CBm) of 1T-HfS$_2$ as a function of strain in the $\Gamma \rightarrow \Gamma$ (direct gap) and $\Gamma \rightarrow M$ (indirect gap) directions. (c) Change in bandgap as a function of strain in the two directions, with respect to the unstrained bandgap (relaxed lattice constant $a_0 = 3.625$ Å). Inset: calculated band structure of 1T-HfS$_2$. 
Figure 2: **Photo-oxidation and strain engineering in HfS$_2$.** (a) AFM topography with phase contrast $\varphi$ signal superimposed of a representative flake after laser exposure (green-dashed line). Top-left inset: optical micrograph of the flake before (top) and after (bottom) laser-assisted oxidation. Bottom-right inset: height (H) and phase signal along a 5 $\mu$m line-cut (black line). Scalebars are 5 $\mu$m. (b) EDXMA spectra acquired in the regions A and B in panel a and quantitative analysis of the chemical elements (right). (c) Square of the absorption coefficient ($\alpha^2$) of: (A) HfS$_2$ away and (B) close to the oxidised area and (C) HfO$_2$. Extrapolated direct bandgap: $E_{g}^A = 2.785 \pm 0.001$ eV and $E_{g}^B = 2.815 \pm 0.001$ eV, $\Delta E_g = 30 \pm 1$ meV. Inset: optical micrograph of the flake where the colour boxes represent the sampling areas (1 $\times$ 3 $\mu$m) in which the absorption spectra were acquired. Spectrum (B) is centred at 1 $\mu$m from the edge of the oxide area. (d) Frequency of the A$_{1g}$ mode of HfS$_2$ as a function of position along the photo-engineered device shown in the inset (green circle indicates the photo-oxidised area). The horizontal solid line marks the average frequency of an as-fabricated flake at 336.1 cm$^{-1}$, tensile (compressive) strain is marked by a down- (up-) shift of this mode (green arrows).
Figure 3: **Photo-response of HfS$_2$/HfO$_2$ engineered device.** (a) Responsivity before (blue, $R_{e0}$) and after (red, $R_e$) laser-assisted oxidation as a function of incident optical power. Inset: ratio $R_e/R_{e0}$. (b) SPCM map of the device before (top) and after (bottom) laser-assisted oxidation. $V_{sd} = -5$ V, $V_{bg} = 50$ V, $\lambda = 473$ nm, $P = 150$ W/cm$^2$ and 0.5 $\mu$m steps. Inset: optical micrograph of the device, after laser-assisted oxidation of a single spot (green dashed circle).
Figure 4: Charge funnel effect in HfS$_2$/HfO$_2$ engineered devices. (a) Schematic band diagram of a device subject to strain induced by local oxidation, with $V_{sd} = 0$ V, according to the proposed geometry in figure 1. (b) and (c) Schematic band diagrams of the device under $V_{sd} > 0$ and $V_{sd} < 0$, respectively. (d) and (e) SPCM map of the device under $V_{sd} = \pm 1$ V, respectively. SPCM maps were acquired using $\lambda = 473$ nm, $P = 150$ W/cm$^2$ at $V_{bg} = +30$ V. (f) Normalised photoresponse along the centre of the channel in the SPCM maps in panels (d) and (e) (dots) and simulated curves (solid lines) according to equation (1). Inset: optical micrograph of the measured device and measurement diagram.