Magnetococonductivity oscillations induced by intersubband excitation in a degenerate 2D electron gas

Yu.P. Monarkha

1B. Verkin Institute for Low Temperature Physics and Engineering, 47 Nauky Ave., 61103, Kharkiv, Ukraine

Magnetococonductivity oscillations and absolute negative conductivity induced by nonequilibrium populations of excited subbands in a degenerate multisubband two-dimensional electron system are studied theoretically. The displacement from equilibrium, which can be caused by resonant microwave excitation or by any other reason, is assumed to be such that electron distributions can no longer be described by a single Fermi level. In this case, in addition to the well-known conductivity peaks occurring at the Shubnikov-de Haas conditions and small peaks of normal intersubband scattering, sign-changing oscillations with a different shape are shown to be possible. We found also that even a small fraction of electrons transferred to the excited subband can lead to negative conductivity effects.

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I. INTRODUCTION

The transport properties of a 2D electron gas in a perpendicular magnetic field have attracted much interest1,2 because of unexpected discoveries and new physics. In addition to the amazing quantum Hall effects observed in a degenerate 2D electron gas under equilibrium conditions1,2, new experiments revealed resistivity oscillations3,4 and zero-resistance states5,6, if a 2D electron gas formed is GaAs/AlGaAs heterostructures is exposed to microwave (MW) radiation. These oscillations are controlled by the ratio of the radiation frequency, \( \omega \), to the cyclotron frequency, \( \omega_c \). The zero-resistance states (ZRS) are assumed7 to be caused by instability of an electron system with absolute negative conductivity, \( \sigma_{xx} < 0 \), regardless of the actual mechanism of MW-induced resistance oscillations (MIRO) which is still under debate (for a review, see Ref. [10]).

Among different theoretical mechanisms proposed for the explanation of MIRO, here we would like to highlight the displacement8,9 and inelastic10 models. The displacement mechanism is based on a peculiarity of orbit center migration (\( X \rightarrow X' \)) when an electron absorbs a photon and simultaneously is scattered off impurities. The authors of the inelastic mechanism noticed that photon-assisted scattering affects the distribution function of electrons \( f(\varepsilon) \) in such a way that it acquires a nonequilibrium oscillating correction (a sort of population inversion) whose derivative leads to a sign-changing contribution to \( \sigma_{xx} \).

MW-induced magnetoconductivity oscillations similar to MIRO and even ZRS were observed in a nondegenerate 2D electron gas formed on the free surface of liquid helium11,12. The important distinction of these new oscillations is that they are observed only if the excitation energy of the second surface subband \( \Delta_{2,1} \equiv \Delta_2 - \Delta_1 \) is tuned to the resonance with the MW field (\( \Delta_{2,1} = h \omega \)) by varying the pressing electric field (a sort of Stark effect in the 1D potential well formed at the surface). It should be noted also that the shape of these oscillations strikingly differs from the usual shape of magnetointersubband oscillations described theoretically16 and observed15 for semiconductor heterostructures under conditions that two subbands are occupied. Instead of simple peaks of \( \sigma_{xx} \) expected at the conditions of alignment of Landau levels belonging to different subbands, the shape of MIRO observed in a 2D electron gas on liquid helium represents rather a derivative of peaks.

The oscillations reported for electrons on liquid helium were explained18-20 by a nonequilibrium population of the excited subband which triggers quasi-elastic intersubband scattering of electrons with the same peculiarity of orbit center migration as that noticed in the displacement model. Thus, the intersubband mechanism of MIRO and ZRS has something in common with the both displacement and inelastic mechanisms though it does not use the concept of photon-assisted scattering which is important for these two models. Extensive studies of MIRO in a nondegenerate 2D electron gas on liquid helium have revealed a number of remarkable effects associated with the ZRS regime: in-plane redistribution of electrons21, self-generated audio-frequency oscillations22, and incompressible states23. An explanation of these novel observations is based on the concept of electron density domains24: regions of different densities appear to eliminate the regime of negative conductivity.

It should be noted also that even the delicate theoretical predictions reported for the intersubband mechanism of MIRO20 which concern the effect of Coulomb interaction on conductivity extrema were clearly observed in the experiment25. Still, this mechanism of MIRO was described only for a nondegenerate multisubband electron system using an important simplification: \( f(\varepsilon) \propto \exp(\varepsilon / T_e) \), where \( \varepsilon \) is the in-plane energy, \( T_e \) is the electron temperature. It is not clear how the Pauli exclusion principle affects this mechanism; and the theory does not indicate in what respect the results obtained for electrons on liquid helium can be applied to a degenerate 2D electron system similar to those investigated in semiconductor structures.
In this work we develop a theory of magnetoconductivity oscillations in a degenerate 2D electron gas which are induced by nonequilibrium population of excited subbands. We introduce a new definition of the extended dynamic structure factor of a multisubband 2D electron system which incorporates the concept of quasi-Fermi levels (imref) and describes the contribution of elastic intersubband scattering to the momentum relaxation rate under conditions that electron distribution is strongly displaced from equilibrium and cannot be attributed to simple heating of electrons. We demonstrate that nonequilibrium populations of excited subbands can lead to magneto-intersubband oscillations whose shape differs from the shape of usual oscillations caused by the equilibrium population of the second subband and the alignment of staircases of Landau levels. This induces important changes in quantum magnetotransport of a degenerate 2D electron system and can even lead to negative linear response conductivity.

II. MAGNETOTRANSPORT IN MULTISUBBAND 2D SYSTEMS

Electrons formed on the free surface of liquid helium have a rather low density $n_e \lesssim 2 \times 10^9$ cm$^{-2}$, therefore at temperatures which are comparable with the Fermi temperature they are already localized in sites of the Wigner lattice. Above the Wigner solid transition temperature this system can be considered as a nondegenerate Coulomb liquid where the Pauli exclusion principle is unimportant. Electrons on a liquid helium film represent a remarkable exception: for a special arrangement of various substrates they can form a 2D Fermion system even at $T = 0$.

Electrons in semiconductor structures usually have the effective mass which is much smaller than the free electron mass. Therefore, at low temperatures these electrons can be described as a 2D Fermi gas. A 2D electron system formed in a semiconductor device can have more than one subband. There is a number of experiments demonstrated importance of intersubband scattering for electron transport in a 2D system. These results represent properties of an equilibrium system, when the gate potential and the Fermi level position in a GaAs/AlGaAs heterostructure provide the second subband occupancy. There is also a possibility of changing carrier density by illuminating samples with light due to electron-hole pair generation. In this work, we shall focus on magnetotransport properties of a 2D electron system under conditions that electron populations of excited subbands deviate substantially from equilibrium and cannot be described by a single chemical potential.

The energy spectrum of a multisubband 2D electron system in crossed magnetic ($B$) and electric ($E_\parallel$) fields is described by three quantum numbers $(l, n, X)$: here we shall ignore the spin variable:

$$\varepsilon_{l,n,X} = \Delta_l + \varepsilon_n + eE_\parallel X,$$

where $\Delta_l$ is the subband energy ($l = 1, 2, \ldots$), $X$ is the coordinate of the center of the cyclotron motion, $\varepsilon_n$ is the usual Landau spectrum

$$\varepsilon_n = \hbar \omega_c (n + 1/2),$$

$$(n = 0, 1, \ldots),$$

and $\omega_c = eB/m_e c$ is the cyclotron frequency. In the center-of-mass reference frame moving with regard to the laboratory frame with the drift velocity $\mathbf{u}_d$, the electric field $E_\parallel \to 0$ and the in-plane electron motion is described by the pure Landau spectrum of Eq. (2). The degeneracy of each Landau level is given by $S_{\Lambda}/2\pi l_B^2$, where $l_B = \sqrt{\hbar c/eB}$ is the radius of the cyclotron orbit at $n = 0$, and $S_{\Lambda}$ is the surface area.

The schematic view of Landau levels of a two-subband system is shown in Fig. 1. The Landau levels of the excited subband are up-shifted by $\Delta_{2,1} \equiv \Delta_2 - \Delta_1$ as compared to respective levels of the ground subband. In contrast with the model considered previously, the equilibrium Fermi energy $\varepsilon_F$ is assumed to be smaller than the intersubband excitation energy $\hbar \omega_{2,1} = \Delta_{2,1}$ (here $\omega_{2,1}$ is the excitation frequency). It is obvious that at certain magnetic fields defined by the condition $\omega_{2,1}/\omega_c = m$ (here $m = 1, 2, \ldots$) Landau levels of the excited subband becomes completely aligned with high enough Landau levels of the ground subband which triggers elastic intersubband scattering.

At strong magnetic fields directed perpendicular to the electron layer, magnetotransport of a 2D electron gas is well described by the center-migration theory, if the collision broadening of Landau levels is taken into account.

**Fig. 1:** Schematic illustration of a two-subband 2D electron system in a magnetic field. The energy spectrum of the ground (blue) and the first excited (red) subbands represents a staircase of Landau levels. The position of the Fermi-level at equilibrium is shown by the pink horizontal line.
account. For semiconductor electrons, there are two scattering mechanisms important at low temperatures: Coulomb scattering from charged centers and surface roughness scattering. Both of them represent essentially elastic scattering process. Each experimental realization of a 2D electron system has its own specific nature of scatterers. The details of this nature are not important for the effect considering in this work, and they can be incorporated in the theory by changing the matrix elements of electron scattering. As we shall see, the important parameters of the theory are the Landau level broadening and the momentum collision rate at zero magnetic field. Therefore, here we shall model the scatterers by artificial heavy atoms interacting with electrons by an arbitrary potential $V_{\text{int}} (|\mathbf{R}_e - \mathbf{R}_a|)$ (here $\mathbf{R}_e$ and $\mathbf{R}_a$ are radius vectors of an electron and an atom respectively).

In the model considering here, the interaction Hamiltonian can be represented in terms of creation ($a^\dagger_\mathbf{K}$) and destruction ($a_\mathbf{K}$) operators of atoms as

$$H_{\text{int}} = \frac{1}{\Omega_v} \sum_e \sum_{\mathbf{K}, \mathbf{K}'} \exp[-i (\mathbf{K}' - \mathbf{K}) \mathbf{R}_e] \times V_{\mathbf{K}' - \mathbf{K}'} a^\dagger_\mathbf{K}' a_\mathbf{K},$$

(3)

where $\Omega_v = S_A L_v$ is the volume containing these atoms, $\mathbf{K}$ represents a 3D wave vector of an atom, and $V_{\mathbf{K}' - \mathbf{K}}$ is a Fourier-transform of the potential $V_{\text{int}} (\mathbf{R})$. For the effective potential $V_\mathbf{K} \delta (\mathbf{R}_e - \mathbf{R}_a)$, conventionally describing interaction with short-range scatterers, $V_\mathbf{Q} = V_\mathbf{a}$. Static defects resulting in elastic electron scattering are described by the limiting case $M_a \to \infty$ (here $M_a$ is the mass of an artificial atom). Surface defects can be modeled by a 2D layer of artificial atoms. Similar modeling can be considered for a description of remote scatterers.

In the case of a nondegenerate 2D electron gas, the problem of finding the nonequilibrium magnetocconductivity $\sigma_{xx}$ can be equally well solved by considering the momentum exchange at a collision in the laboratory or in the center-of-mass reference frames. For nondegenerate electrons, a great simplification appears because $[1 - f (\varepsilon_n', \mathbf{X}')] \simeq 1$, and the quantity to be averaged in the laboratory frame is independent of $\mathbf{X}$. This allows one to restrict the averaging procedure to the Landau level index $n$ only, assuming the distribution function $f (\varepsilon_n) \propto \exp (-\varepsilon_n / T_c)$ with an effective temperature $T_c$.

Magnetocconductivity $\sigma_{xx}$ of a degenerate 2D electron system can be found from the average friction force $F_{\text{fr}}$ acting on electrons due to interaction with scatterers (the momentum balance method or using a direct expression for the current $j_x$ and calculating probabilities of electron scattering from $X$ to $X'$ (a version of the Titeica’s method)). In order to avoid complications with the field term $eE_{\parallel} X$ in the energy spectrum of degenerate electrons, it is convenient to consider scattering processes in the center-of-mass reference frame with moving the drift velocity $\mathbf{u}_d$ with regard to the laboratory reference frame. In this moving frame, the driving electric field $E'_\parallel$ is zero, and the electron spectrum coincides with the Landau spectrum $\varepsilon_n$. It is important that the momentum exchange at a collision $Q \equiv \mathbf{K}' - \mathbf{K}$ in the center-of-mass frame is the same as in the laboratory frame because of the linear relationship between a momentum and the respective velocity. At the same time, one have to keep in mind that in the center-of-mass reference frame the energy exchange at an elastic collision acquires a Doppler shift correction,

$$E_{\mathbf{K}}^{(a)} - E_{\mathbf{K}}^{(a)} = -\hbar \mathbf{Q} \cdot \mathbf{u}_d = -\hbar \mathbf{q} \cdot \mathbf{u}_d,$$

(4)

due to the quadratic dependence of the energy of an atom on its velocity. Here $E_{\mathbf{K}}^{(a)} = \hbar^2 K^2 / 2M_a$ and we used the notation $\mathbf{Q} = \{\mathbf{q}, \kappa\}$ with $\mathbf{q}$ and $\kappa$ standing for the in-plane and vertical components respectively. It is quite obvious that scattering probabilities should not depend on a choice of an inertial reference frame. Physically, the correction of Eq. (4) is equivalent to the energy exchange for the electron spectrum considered in the laboratory frame $eE_{\parallel} (X' - X) = \hbar q_y V_H$, here we have taken into account that $X' - X = q_y f_{\mathbf{K}'}^{(a)}$ due to the momentum conservation and used the notation $V_H = eE_{\parallel} / B$ for the Hall velocity ($u^{(y)}_d \simeq -V_H$).

The momentum balance approach allows obtaining the effective collision frequency of electrons $\nu_{\text{eff}}$ from the kinetic friction acting on the whole electron system $F_{\text{fr}}$. In the linear transport regime, $F_{\text{fr}}$ is proportional to $\mathbf{u}_d$, and conventionally it can be written as

$$F_{\text{fr}} = -N_e m_e \nu_{\text{eff}} \mathbf{u}_d,$$

where the proportionality factor $\nu_{\text{eff}}$ defines electron magnetocconductivity

$$\sigma_{xx} \simeq \frac{e^2 n_e \nu_{\text{eff}}}{m_e \omega_c^2},$$

(5)

and $n_e = N_e / S_A$ is electron density.

The simplest way of obtaining $\nu_{\text{eff}}$ is to consider the momentum balance along the $y$-axis, $F_{\text{fr}}^{(y)} = -N_e m_e \nu_{\text{eff}} u^{(y)}_d$. Assuming $u^{(y)}_d \simeq -V_H$ and using the Born approximation for scattering probabilities in the center-of-mass frame, one can find

$$F_{\text{fr}}^{(y)} (V_H) = -N_e \sum_f h q_y \tilde{W}_f (V_H),$$

(6)

where

$$\tilde{W}_f (V_H) = \frac{2 \pi n_a^{(3D)}}{\eta_0 S_A} \sum_{l', n', n} f_l (\varepsilon_n) [1 - f_{l'} (\varepsilon_{n'})] \times \frac{1}{e} \sum_{n,n'} \left( x_q u^{2,l}_l (q) \delta (\varepsilon_{n'} - \varepsilon_n + \Delta_{l',l} + h q_y V_H) \right)$$

(7)

is the probability of electron scattering with the in-plane momentum exchange equal $h q_y$, and $\Delta_{l',l} = \Delta_{l'} - \Delta_l$. Here we have used the following notations: $n_a^{(3D)}$ is the
density of scatterers, \( n = 2\pi l_B^2 n_e \) is the filling factor, \( f_I(\varepsilon_n) \) is the electron distribution function, the functions \( U_{l,l}^2(q) \) and \( f_{m,n'}^{2n'}(x_q) \) are defined by matrix elements of the interaction Hamiltonian

\[
U_{l,l}^2(q) = \frac{1}{\mathbf{I}_z} \sum_{\kappa} V^2 \sqrt{q^2 + \kappa^2} \left| (e^{-i\mathbf{k}\cdot\mathbf{r}})_{l,l} \right|^2 , \\
f_{m,n'}^{2n'}(x_q) = \delta_{\mathbf{x},X'} - \mathbf{f}_0 q_x f_{m,n'}^{2n'}(x_q),
\]

where \( x_q = q^2 l_B^2 / 2 \) and \( L_{\mathbf{n}}^{\mathbf{m}}(x) \) are the associated Laguerre polynomials. When obtaining Eq. (7), we used the advantages of describing scattering probabilities in the moving frame - the summations over indexes \( X, X' \) and \( \mathbf{K} \) are trivial leading to the factors \( n_B = 1/2\pi l_B^2 \) and \( n_{\text{scat}}^{(3D)} \).

Comparing the right side of Eq. (6) with the result expected for the linear regime \( N_e m_e \nu_{\text{eff}} V_H \), one can find that

\[
\nu_{\text{eff}} = -\frac{1}{m_e V_H} \sum_{\mathbf{q}} h q_y \bar{W}_q(V_H) .
\]

When expanding \( \bar{W}_q(V_H) \) in \( V_H \), we can consider only the linear term \( \bar{W}_q'(0) V_H \) [here the ‘prime’ denotes the differentiation] because \( \bar{W}_q(0) \) depends only on the absolute value of \( \mathbf{q} \) and, therefore, gives zero contribution into \( \nu_{\text{eff}} \).

It is instructive to note that the same result for \( \nu_{\text{eff}} \) and \( \sigma_{xx} \) can be found from the direct expression for the electron current along \( x \)-direction (this method was also used\(^{22,24} \) for describing a nondegenerate electron system):

\[
\mathbf{j}_x = -e n_e \sum_{\mathbf{q}} \left( \mathbf{X}' - \mathbf{X} \right)_\mathbf{q} \bar{W}_q(V_H) ,
\]

where we have to use the relationship \( (\mathbf{X}' - \mathbf{X})_\mathbf{q} = \mathbf{q} \mathbf{q}_y \) which follows from matrix elements of Eq. (6). The Eq. (11) and the definition of \( \sigma_{xx} \) obviously yield the expression for \( \nu_{\text{eff}} \) given in Eq. (10).

To obtain a finite magnetoconductivity in the treatment presented above, one have to include higher approximations by incorporating the collision broadening of Landau levels \( \Gamma_{l,n} \) (the broadening of electron density of states). Following the ideas of the center migration theory\(^{32} \) and the self-consistent Born approximation (SCBA)\(^{32} \), in the right side of Eq. (7) we shall insert \( \int d\varepsilon' \int d\varepsilon f_I(\varepsilon - \varepsilon_n) \delta_I(\varepsilon' - \varepsilon_n) \); the subscripts \( l \) and \( l' \) in the respective delta-functions just mark the subband where the level density belongs. Then, assuming the replacement \( \delta_I(\varepsilon - \varepsilon_n) \to \frac{1}{\Gamma_n} \text{Im} G_{l,n}(\varepsilon) \) [here \( G_{l,n}(\varepsilon) \) is the single-electron Green’s function], the average probability of scattering with the momentum exchange \( h \mathbf{q} \) can be represented in the following form:

\[
\bar{W}_q(V_H) = \frac{n_{\text{scat}}^{(3D)}}{S_A} \sum_{l,l'} U_{l,l'}^2(q) D_{l,l'}(q, \omega_{l,l'} - q_y V_H) ,
\]

where \( \omega_{l,l'} = \Delta_{l,l'}/h \), and

\[
D_{l,l'}(q, \Omega) = \frac{2}{\pi h q} \int d\varepsilon f_I(\varepsilon) \left[ 1 - f_{l'}(\varepsilon + h\Omega) \right] \times \sum_{n,n'} I_{n,n'}^{2l}(x_q) \text{Im} G_{l,n}(\varepsilon) \text{Im} G_{l',n'}(\varepsilon + h\Omega) \]

is a new generalization of the dynamic structure factor (DSF) of a multisoluband 2D electron system. Expanding \( \bar{W}_q \) in \( q_y V_H \) yields

\[
\nu_{\text{eff}} = \frac{n_{\text{scat}}^{(3D)}}{m_e S_A} \sum_{l,l'} \sum_{\mathbf{q}} q_y^2 I_{n,n'}^{2l}(q) D_{l,l'}'(q, \omega_{l,l'}) .
\]

Thus, the effective collision frequency of a multisoluband 2D electron system is proportional to the derivative of the extended DSF \( D_{l,l'}'(q, \omega_{l,l'}) \) with respect to frequency.

There are two important approximations for the Landau level density of states. The SCBA theory of Ando and Uemura yields the semi-elliptical shape of the density of states\(^{32} \):

\[
-\text{Im} G_{l,n}(\varepsilon) = \frac{2h}{\Gamma_n} \sqrt{1 - \frac{\left( \varepsilon - \varepsilon_n \right)^2}{\Gamma_n^2}} .
\]

where \( \Gamma_n \) is the broadening parameter. In the case of short-range scatterers, \( \Gamma_n \) is independent of Landau number \( \Gamma_n = \Gamma \) with\(^{32} \)

\[
\Gamma = \sqrt{ \frac{2 \pi}{h} \omega_c \nu_0 } ,
\]

where \( \nu_0 \) is the electron relaxation rate obtained for \( B = 0 \). The cumulant expansion method\(^{44} \) yields the Gaussian shape of Landau levels

\[
-\text{Im} G_{l,n}(\varepsilon) = \sqrt{ \frac{2\pi h}{\Gamma_n} } \exp \left[ - \frac{\left( \varepsilon - \varepsilon_n \right)^2}{\Gamma_n^2} \right] ,
\]

which does not have the sharp cutoff of the density of states. Generally, the level shape is a kind of mixture of elliptical and Gaussian forms\(^{45} \), and the shape of the lowest level is close to a Gaussian.

In the case of equilibrium Fermi-distribution, \( D_{l,l'}(q, \Omega) \) has very useful properties which simplify significantly evaluation of \( \nu_{\text{eff}} \) and \( \sigma_{xx} \). For example, consider only the contribution from intrasubband scattering processes (\( l' = l \)). Then, \( D_{l,l}(q, \Omega) \) coincides with the conventional DSF of a 2D electron system which satisfies the condition

\[
D_{l,l}(q, -\Omega) = e^{-h\Omega/T_c} D_{l,l}(q, \Omega)
\]

(18)
The derivative of this relationship gives \( D'_{l,l}(q,0) = \frac{\delta}{\partial q} D_{l,l}(q,0) \) and the linear (in \( q_b V_{tH} \)) term of Eq. (12) can be rewritten as

\[
\delta \bar{W}_q \simeq -q_b V_{tH} \frac{\hbar}{2T_e} \bar{W}_q(0) ,
\]

which allows representing \( \sigma_{xx} \) in terms of the equilibrium probability \( \bar{W}_q(0) \):

\[
\sigma_{xx} \simeq \frac{e^2 \bar{n}_e}{2T_e} \sum_q (X' - X)^2 \bar{W}_q(0) .
\]

This equation coincides with the well-known result obtained previously\(^{33,46}\), and it is similar to the Einstein relation between the conductivity and the diffusion coefficient.

For the ground subband and the semi-elliptic shape of Landau levels [Eq. (15)] induced by short-range scatterers, Eq. (20) transforms into the result of Ando and Umura which indicates that the conductivity peak value \( (\sigma_{xx})_{\text{max}} = \frac{e^2}{\pi^2} (n + 1/2) \) depends only on the Landau level index \( n \) and the natural constants\(^{32}\). These ”checkpoints” of equilibrium transport regime, encourage us to use Eq. (14) for describing magnetotransport in nonequilibrium multisubband 2D electron systems.

For a nonequilibrium filling of 2D subbands, the extended DSF \( D_{l,l'}(q, \Omega) \) generally has no a relationship similar to Eq. (15). Only describing nondegenerate electrons and assuming \( f_l(\varepsilon) \propto N_l \exp(-\varepsilon/T_e) \) it was possible to introduce\(^{19,20}\) a version of the DSF \( S_{l,l'}(q, \Omega) \) which had an important property resembling Eq. (15), in spite of the fact that the occupation of subbands was not equilibrium. Unfortunately, this version of the extended DSF appears to be useless for degenerate electrons. The new definition of the extended DSF \( D_{l,l'}(q, \Omega) \) given in Eq. (12) transforms into \( \bar{n}_l S_{l,l'}(q, \Omega) \) if the electron system can be considered as a nondegenerate gas [here \( \bar{n}_l = N_l/N_e \) is the fractional occupancy of a subband].

### III. QUASI-FERMI LEVEL APPROXIMATION

Generally, it is very difficult to find \( f_l(\varepsilon) \) if a system is displaced from equilibrium. Therefore, in solid state physics it is quite common to use the concept of a quasi-Fermi level or \( \nu_{\text{ref}} \). In the following, we assume that displacement from equilibrium is such that electron populations can no longer be described by a single chemical potential (or a Fermi level), nevertheless it is possible to describe it introducing separate chemical potentials (quasi-Fermi levels) for each subband:

\[
f_l(\varepsilon) = \frac{1}{e^{(\varepsilon + \Delta_{l,1} - \mu_l) / T_e} + 1} \equiv f_{\varepsilon} \left( \varepsilon + \Delta_{l,1} - \delta \mu_l \right) ,
\]

where \( \delta \mu_l = \mu_l - \mu \). The chemical potentials \( \mu_l \) are measured from the bottom of the ground subband, while the zero of Landau energy \( \varepsilon \) is taken at the bottom of each subband. In most cases, it is sufficient to consider only two subbands (the ground subband and the first excited subband), when electron populations of higher subbands can be neglected. The form of Eq. (21) is quite accurate if electron-electron collisions are more important for intrasubband redistribution than for intersubband decay rates. Anyway, this form of \( f_l(\varepsilon) \) is very useful because it allows obtaining \( \sigma_{xx} \) in an analytical form for nonequilibrium populations of electron subbands.

One can also introduce different electron temperatures for each subband \((T_{l,e})\), still we shall assume that \( T_{l,e} = T_{l,e} = T_e \) because in-plane energy relaxation between different subbands is governed by electron-electron collisions (electron spacing is usually much larger than the average distance between nearest subbands), whose rate is quite high for 2D electron systems.\(^{31}\) Regarding possible heating of electrons \((T_e > T)\), we assume that \( T_e \) is still much lower than the quasi-Fermi energies. The opposite limiting case (nondegenerate electrons) was described in Refs. 19–20. It should be noted also that MIRO observed in a 2D electron gas on liquid helium are quite well described even by the approximation \( T_e = T \) in spite of a substantial heating\(^{22}\).

Using the distribution function of Eq. (21) and the well-known identity

\[
f_{\varepsilon}(\varepsilon)[1 - f_{\varepsilon}(\varepsilon')] = [f_{\varepsilon}(\varepsilon) - f_{\varepsilon}(\varepsilon')] \frac{1}{1 - e^{(\varepsilon - \varepsilon')/T_e}} ,
\]

it is possible to establish the following relationship for the extended DSF\(^{21}\):

\[
D'_{l',l}(q, -\Omega) = e^{-(q \Omega + \Delta_{l',l} - \mu_{l'}) / T_e} D'_{l,l}(q, \Omega) ,
\]

where \( \mu_{l',l} = \mu_{l'} - \mu_l \). For a single subband \((l' = l)\), this property coincides with the property of the usual DSF of a 2D electron gas given in Eq. (15).

When considering the contribution from intersubband scattering \( \nu_{\text{int}} \) in Eq. (14), the property of Eq. (23) allows us to transform derivatives of the DSF whose frequency argument is negative into functions with a positive argument

\[
D'_{l',l}(q, -\Omega) = -e^{-(q \Omega + \Delta_{l',l} - \mu_{l'}) / T_e} D'_{l,l}(q, \Omega) + \frac{\hbar}{T_e} e^{-(q \Omega + \Delta_{l',l} - \mu_{l'}) / T_e} D_{l,l}(q, \Omega)
\]

Thus, a substantial part of \( D'_{l,l}(q, \omega_{l,l'}) \) entering Eq. (14) can be eliminated by reverse scattering processes due to the first term in the right side of Eq. (24). Therefore, it is convenient to represent the contribution of intersubband scattering to \( \nu_{\text{int}} \) in the form containing only positive frequency arguments \((l > l')\). In this way, one can obtain two kinds of contributions: a normal contribution proportional to \( D_{l,l}(q, \omega_{l,l'}) \), and an abnormal (sign-changing) contribution proportional to the derivative \( D'_{l,l}(q, \omega_{l,l'}) \). To make a distinction between
these contributions, we shall use the following notations: 
\[ \nu_{\text{inter}} = \nu_N + \nu_A, \]
where
\[ \nu_N = \frac{n_a(3D)}{m_e hS_A} \sum_{l > l'} \sum_q \frac{\hbar}{T_e} q^2 U^2_{l,l'}(q) e^{-\mu_{l,l'}/T_e} D_{l,l'}(q, \omega_{l,l'}), \]  
\[ \nu_A = \frac{n_a(3D)}{m_e hS_A} \left( 1 - e^{-\mu_{l,l'}/T_e} \right) \times \]
\[ \times \sum_q q^2 U^2_{l,l'}(q) D_{l,l'}(q, \omega_{l,l'}). \]  

The normal contribution \( \nu_N \) exists even under the equilibrium condition \((\mu_{l,l'} = 0)\), though at \( \mu < \Delta_{l,l'} \) it is very small due to \( f_l(\varepsilon) \) present in \( D_{l,l'}(q, \omega_{l,l'}) \). The abnormal terms \( \nu_A \) differ from zero only if electron distribution is somehow displaced from equilibrium \((\mu_{l,l'} > 0)\).

When the first excited subband \((l = 2)\) has an extra electron population \( \delta N_2 \), one expects that all these electrons will occupy the lowest Landau level \((n = 0)\), if low temperatures \((T_e \ll \hbar \omega_c)\) are considered and the filling factor of the excited subband \( \eta_2 = 2\pi l_2^2 N_2/S_A \) is considered. Neglecting electron populations at higher Landau levels and assuming that the level broadening is small, one can find the quasi-Fermi level of the excited subband
\[ \mu_2 = \Delta_{2,1} + \varepsilon_0 - T_e \ln \left( \frac{1 - \eta_2}{\eta_2} \right). \]  

In this equations, the last two terms represent the well-known high-field approximation for the chemical potential.

The influence of higher Landau levels and a finite broadening \( \Gamma_{2,0} \) on \( \mu_2 (\eta_2) \) is illustrated in Fig. 2 for \( \hbar \omega_c/T_e = 5 \) (in this figure \( \mu_2 - \Delta_{2,1} \) and \( \Gamma_{2,0} \) are given in units of \( \hbar \omega_c \)). These results indicate that the simple form of Eq. (27) describes the dependence \( \mu_2 (\eta_2) - \Delta_{2,1} \) quite well if \( \Gamma_{2,0}/\hbar \omega_c \leq 0.3 \). At \( \Gamma_{2,0}/\hbar \omega_c = 0.1 \), it is even difficult to see the difference between results of numerical calculations (not shown in Fig. 2) and the approximation \( \Gamma_{2,0} = 0 \) illustrated in the figure by the red line. For the strong broadening \( \Gamma_{2,0}/\hbar \omega_c = 1 \), the results of numerical calculations (orange line) deviate substantially from the approximation given in Eq. (27), if \( \eta_2 > 0.2 \). Under these conditions, the analytical form can be used only for a qualitative analysis or simple estimations. It is important that considering a 2D electron system with narrow Landau levels, the approximation of Eq. (27) can be used even for substantial values of the filling factor \( \eta_2 \leq 0.8 \) which are quite sufficient for this research. The accuracy of the high field approximation increases with lowering temperature.

For larger values of the filling factor \( \eta_2 > 1 \), one can find a simple extension of the analytical form of Eq. (27) which can be used for the ground subband as well. Therefore, in the following equation, we shall use an arbitrary
subband index \((l)\):
\[
\mu_l - \Delta_{l,l} = \sum_{n=0}^{\infty} \left[ \varepsilon_n - T_e \ln \left( \frac{n+1 - \eta_l}{\eta_l - n} \right) \right] \times \\
\theta(n+1-\eta_l) \theta(\eta_l-n),
\]
where \(\theta(x)\) is the Heaviside step function, and \(\eta_l = 2\pi \ell_B^2 N_l / S_A\). This solution is found assuming that \(f_1(\varepsilon) \simeq 1\) for \(\varepsilon \leq \varepsilon_{n-1}\) if \(n < \eta_l < n+1\), therefore it is a low temperature approximation. Fig. 3 illustrates that at low temperatures \(T_c \leq 1 K\) numerical results shown by solid lines, are well approximated by the periodic extension of the high field formula of Eq. 27 given in Eq. 28. Deviations of Eq. 28 from the numerical result appear only in very narrow regions near the points \(\eta_l = 1, 2, ...\). At high temperatures \(T_c \gtrsim 0.2 \hbar \omega_c\), the deviations are strong because the numerical results shown by the red line approach the semi-classical formula \(\mu_l(\eta_l) - \Delta_{l,l} \simeq 2\pi \ell_B^2 N_l / m_0 S_A\). In our numerical calculations (here and below), the ratio of the effective electron mass to the free electron mass is fixed to the value 0.067 which is typical for semiconductor heterostructures.

In Fig. 3 the filling factor \(\eta_l\) was varied by changing electron density \(n_l = N_l / S_A\), while the magnetic field was fixed. It is remarkable that the simple analytical approximation given in Eq. 28 can be used also for the description of the well-known oscillations\(^{49}\) of the chemical potential \(\mu(B)\) of a 2D electron system with a fixed density and narrow Landau levels (here we omit the subband index). This possibility is illustrated in Fig. 4 for \(n_e = 1.5 \times 10^{10} \text{cm}^{-2}\) and \(T = 0.5 K\), assuming that the broadening of Landau levels is small. One can see that the analytical formula (red line) practically coincides with the results of numerical calculations (blue line) in a wide range of magnetic fields with the exception of the points where \(\eta(B)\) is very close to an integer \((1, 2, ...\) as indicated in Fig. 4.

**IV. RESULTS AND DISCUSSION**

According to Eqs. (25) and (26) the contribution from intersubband scattering to the effective collision frequency as a function of the magnetic field is determined by the extended DSF \(D_{l',l}(q, \Omega)\) and its derivative with respect to frequency \(D'_{l',l}(q, \Omega)\) near the special points \(\Omega = \omega_{l',l} \equiv \Delta_{l',l} / \hbar > 0\). Considering the two subband model \((l = 2\) and \(l' = 1\)), in Eq. (13) which defines \(D_{2,1}(q, \Omega)\) the factor \([1 - f_1(\varepsilon + \hbar \Omega)]\) can be set to unity because the distribution function of electrons occupying the ground subband is very small at high energies: \(f_1(\varepsilon + \Delta_{2,1}) \ll 1\). The later inequality follows from the fact that the respective quasi Fermi level \(\mu_l \leq \mu\). For the regime of fixed density, \(\mu_1 < \mu\) which is quite obvious according to Fig. 3. In the regime of fixed chemical potential, \(\mu_1 = \mu\) due to a reservoir of electrons\(^{60}\). Therefore, the nonequilibrium DSF \(D_{2,1}(q, \Omega)\) as a function of frequency is determined mostly by the distribution of electrons occupying the excited subband
\[
f_2(\varepsilon) = \left\{1 - \frac{\eta_2}{\eta_1} \exp \left(\frac{\varepsilon - \varepsilon_0}{T_e} + 1\right)\right\}^{-1},
\]
where we had used the approximation of Eq. (27) for \(\mu_2\) assuming that \(\eta_2 \ll 0.8\). For larger \(\eta_2\), we shall use the extension of Eq. (28).

In the expression for the effective collision frequency \(\nu_{\text{eff}}\), the DSF is affected by integration over \(q\). For short-range scatterers, the respective integral can be easily calculated because \(\int x_q f^2_{n,n'}(x_q) dx_q = (n + n' + 1)\). Therefore, it is convenient to analyze the frequency dependence of the dimensionless function
\[
J_{2,1}(\omega / \omega_c) = \frac{\eta_1}{4\hbar} \int_0^\infty D_{2,1}(q, \omega) x_q dx_q
\]
instead of \(D_{2,1}(q, \omega)\). Here, for simplicity reasons, the collision broadening of Landau levels \(\Gamma\) is assumed to be independent of quantum numbers \(n\) and \(l\). Employing the Gaussian shape of \(\text{Im} G_{l,l}(\varepsilon)\) given in Eq. (17) yields
\[
J_{2,1} = \eta_2 \sum_{n=1}^\infty \frac{(n+1)}{\varepsilon_0 / \Gamma} \int_{-\rho / \Gamma}^\infty \exp \left(-\frac{2y^2}{\rho / \Gamma}\right) \left(\frac{\omega_c}{\omega_c - n}\right)^2 dy,
\]
where \(\rho = \hbar \omega_c / (\omega_c - n)\).
where we defined the dimensionless parameter 

\[ \pi_l = \frac{B_l}{B_{1,l}}, \quad B_{1,l}^{-1} = L_z^{-1} \sum_\kappa \left| (e^{-i\kappa z})_{l,\kappa} \right|^2. \]  

The accurate calculation of \( p_{2,1} \) requires the knowledge of the details of a particular 2D electron system such as the wavefunctions of subband states which are not considering in this work. For electrons on liquid helium, \( p_{2,1} \) is a factor of two smaller than \( p_{1,1} = 1 \). Therefore, in following numerical calculations we shall use a rough estimation: \( 2p_{2,1} \approx 1 \).

Under the conditions used for obtaining Eq. (32), the contribution from electron scattering within the ground subband \( (l = 1) \) can be found as

\[ \nu_{\text{intra}}^{(1)} \approx \nu_0 \frac{p_{1,1}}{\pi \eta} \left( \frac{\hbar \omega_c}{\Gamma} \right)^2 \Phi_{1,1} (B), \]  

where

\[ \Phi_{1,1} (B) = \sum_{n=0}^{\infty} (2n+1) \exp \left[ -\frac{4(\mu_1 - \varepsilon_n)^2}{\Gamma^2} \right]. \]  

At the same time, the contribution from electron scattering within the first excited subband \( \nu_{\text{intra}}^{(2)} \) has a very weak dependence on \( B \) because the distribution function \( f_2 (\varepsilon) \) given in Eq. (29) varies strongly near \( \varepsilon_0 \). Thus, \( \nu_{\text{intra}}^{(2)} \) can be considered as a small background value when the ratio \( N_2/N_e \ll 1 \). The background value decreases also with narrowing of the density of states. In the following, we shall neglect \( \nu_{\text{intra}}^{(2)} \) and assume that \( \nu_{\text{intra}} \approx \nu_{\text{intra}}^{(1)} \).

Comparing \( \nu_\Lambda \) of Eq. (32) with \( \nu_{\text{intra}} \) given in Eq. (35) indicates that the abnormal contribution contains the additional factor \( (1 - e^{-\mu_2/T_e}) \) which is zero under equilibrium conditions \( (\mu_2 = \mu_1 = \mu_\nu) \). If we have a nonequilibrium population of the second subband, then, according to Eq. (27) and Fig. 2 \( \delta \mu_2 \) becomes substantially larger than \( T_e \) already at a small filling factor \( \eta_2 \). For example, Fig. 2 shows that \( \mu_2 > \Delta_2 \), \( \Delta_2 \approx \mu_1 \) and set this factor to unity even if \( \mu_1 \) is fixed (according to Fig. 3) \( \mu_1 \) decreases with lowering \( N_1 \) which also reduces \( e^{-\mu_2/T_e} \).

Another important distinction between \( \nu_\Lambda \) and \( \nu_{\text{intra}} \) is caused by different behaviors of the dimensionless functions \( \Phi_{2,1} (B) \) and \( \Phi_{1,1} (B) \) illustrated in Fig. 6. The both functions oscillate with varying \( 1/B \), but the periods of these oscillations are different. Assuming \( \mu_1 \) is fixed to \( \mu_\nu \), the maxima of the positive function \( \Phi_{1,1} (B) \) entering \( \nu_{\text{intra}} \) occur at \( \hbar \omega_c = \mu_\nu / (n + 1/2) \) due to the Shubnikov–de Haas effect. In contrast to \( \Phi_{1,1} (B) \), the function \( \Phi_{2,1} (B) \), which determines \( \nu_\Lambda \), is a sign-changing function having maxima and minima, according to the definition of Eq. (33) and Fig. 5 its zero-crossing points occur at magnetic fields which are close to the condition \( \Delta_{2,1}/\hbar \omega_c = m \) (here \( m = 1, 2, \ldots) \).

It is instructive to analyze \( \nu_N \) using the same approximations and conditions. Direct transformation of Eq. (25) yields

\[ \nu_N = \nu_0 \frac{2p_{2,1}}{\pi \eta} e^{-\mu_2/T_e} \frac{\hbar^2 \omega_c^2}{T_e \Gamma} J_{2,1} (\omega_{2,1}/\omega_c). \]
As compared to the contribution from intrasubband scattering of Eq. (35), here we have $T_{e}$ in the denominator because for intersubband scattering one cannot use the relationship $f(\varepsilon)[1-f(\varepsilon)] \rightarrow T_{e} \delta(\varepsilon - \varepsilon_{F})$. The shape of oscillations caused by $\nu_{N}$ is determined by the function $J_{2,1}(\omega_{2,1}/\omega_{e})$ shown above in Fig. 5 by solid lines. This shape is in a qualitative accordance with results obtained for magnetointersubband oscillations under equilibrium conditions. For nonequilibrium regime described here, Eq. (37) contains also the exponential factor $\exp(-\mu_{2,1}/T_{e})$ which becomes very small even for relatively weak excitations $N_{2} \equiv 0.1N_{e}$. It should be noted also that under conditions used here, the amplitude of $\Phi_{2,1}$ is about 5 times larger than the respective amplitude of $J_{2,1}$. Therefore, $\nu_{N}$ can be neglected as compared to $\nu_{A}$ and $\nu_{\text{intra}}$.

Typical dependencies of $\sigma_{xx}(B)$ are shown in Fig. 7. In the equilibrium case ($\mu_{1} = \mu_{2}$), $\nu_{\text{eff}} = \nu_{\text{intra}}$ and $\sigma_{xx}(B)$ has maxima when $\omega_{e} = \mu_{e}/(n + 1/2)$ according to the SCBA theory (blue dashed line). In this figure, the electron conductivity $\sigma_{xx}(B)$ is normalized by the first $(n = 0)$ peak value $\sigma_{\text{max}}^{(0)} = e^{2}/4\pi \hbar$ found for the Gaussian level density ($B \approx 0.827$ T). Already a small nonequilibrium electron population of the excited subband ($N_{2}/N_{e} = 0.1$) induces important changes into $\sigma_{xx}(B)$ shown in Fig. 7 by the red line. Besides additional maxima and a substantial reduction of the SCBA peak at $n = 3$, there are sign-changing variations of $\sigma_{xx}(B)$ near $B \approx 0.48$ T, 0.24 T and 0.156 T, and quite deep minima with regions where the linear response conductivity $\varepsilon_{xx}$ becomes negative. An increase in the electron population of the excited subband ($N_{2}/N_{e} = 0.2$) amplifies these unusual phenomena as indicated in Fig. 7 by the olive dash-dotted line. It should be noted that for such a population, $\eta_{2}(B)$ becomes larger than unity in the region of low $B$, and, therefore, the approximation of Eq. (27) defining $\mu_{2}$ fails. In this case, we had used the extension of the quasi-Fermi energy given in Eq. (28). Numerical calculations indicate also that reducing temperature from 1 K to 0.5 K amplifies additionally the effect of the sign-changing contribution $\nu_{A}$.

Thus, the theoretical analysis given above indicates that the Pauli exclusion principle does not ruin the intersubband mechanism of MIRO, if the electron distribution in the ground and excited subbands can be described by the quasi-Fermi level approximation. Moreover, a sharp increase of the inref of the excited subband as a function of the filling factor shown in Fig. 2 reduces strongly the compensational contribution from reverse intersubband scattering [the exponential term in parenthesis of Eq. (22); under conditions of Fig. 7 this term does not exceed 0.04]. This means that magnetoconductivity oscillations and ZRS induced by the resonant MW field, whose polarization direction is perpendicular to the electron layer, can be realized in sufficiently clean semiconductor devices. The regions with negative linear response conductivity attract a special interest, because they allow performing complementary studies of ZRS in heterostructures caused by a definite mechanism. These studies potentially can help also with the identification of the origin of MIRO and ZRS in the conventional setup.
V. CONCLUSION

We have presented a theory of quantum magnetotransport in a degenerate multisubband electron system under conditions that electron distributions over 2D subbands cannot be described by a single chemical potential. Using the concept of quasi-Fermi levels and the self-consistent Born approximation, we expressed magnetoconductivity equations in terms of the extended dynamic structure factor and its derivative with regard to frequency. We have shown that a displacement from the equilibrium electron distribution over excited subbands, which cannot be reduced to trivial heating, leads to appearance of abnormal sign-changing contribution to the momentum collision rate and magnetoconductivity. Calculations performed for a simplified potential of scatterers indicate that even a small fraction of electrons (about 10%) transferred to the first excited subband can drastically change the shape of magnetointersubband oscillations and lead to negative linear response conductivity. The theory can be applied to electrons on helium films with a special arrangements of substrates, and to multisubband 2D electron systems of semiconductor devices.

* E-mail: monarkha@ilt.kharkov.ua

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