NLO Corrections to Heavy Flavour Distributions in Polarized Deep-Inelastic Scattering

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We present a first calculation of the heavy flavor contribution to the longitudinally polarized DIS structure function $g_1$, differential in the transverse momentum or the rapidity of the observed heavy antiquark $\bar{Q}$. All results are obtained at next-to-leading order accuracy with a newly developed parton-level Monte Carlo generator that also allows one to study observables associated with the heavy quark pair such as its invariant mass distribution or its correlation in azimuthal angle. First phenomenological studies are presented in a kinematic regime relevant for a future Electron-Ion Collider with a particular emphasis on the sensitivity to the helicity gluon distribution. Finally, we also provide first NLO results for the full neutral-current sector of polarized DIS, i.e., including contributions from Z-boson exchange.

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In this talk, we present for the first time two important extensions to our previous work on inclusive heavy quark (HQ) polarized electroproduction at next-to-leading order accuracy [1]. Firstly, we discuss various exclusive distributions related to the HQ DIS structure function $g^Q_1$ differential in the transverse momentum or rapidity of the HQ or in the invariant mass and the correlation in azimuthal angle of the HQ pair. These results have been obtained with a newly developed parton-level Monte Carlo generator. Secondly, we provide the electroweak contributions to the neutral current (NC) sector in DIS.

In our first paper [1], we followed the concept of the phase-space slicing method [2], dealing with all phase-space integrals in a largely analytic way. This restricts one to the computation of single-inclusive distributions either in the rapidity $y$ or in the transverse momentum $p_T$ of the HQ. To set up a general parton-level Monte Carlo generator for HQ production in polarized DIS, that evaluates all phase-space integrations numerically, we adopt the subtraction method based on generalized plus distributions [3, 4] to isolate all singular regions in phase-space. With the new program at hand, we get access to basically any HQ distribution of interest including correlated distributions in the invariant mass or the azimuthal angle of the HQ pair. We leave the discussion of the relevant technical details to forthcoming publications [5, 6] and focus here on the most important phenomenological results.

The main asset of HQ production is its dominance of gluon-induced processes, in case of DIS photon-gluon fusion, already at the lowest order (LO) approximation of QCD. To estimate how the measurement of HQ production in polarized DIS at a future Electron-Ion Collider (EIC) [7] can help to further our knowledge of the so far poorly constrained gluon helicity density $\Delta g$, we show in Fig. 1 the current uncertainties which are particularly pronounced for small momentum fractions $x$, say below $x \approx 0.01$. Apart from the best fit result given by the DSSV group [8], we will also utilize in what follows their uncertainty estimates, in particular, the two extreme sets labeled as "max $\Delta g$" and "min $\Delta g$" allowed by present uncertainties (shaded band).

As a first example, we investigate the single-inclusive $p_T$-distribution $dg^c_1/dp_T$, for which we define also a corresponding $p_T$-dependent double-spin asymmetry

$$A^c_{1,p_T}(x, Q^2, p_T) = \frac{dg^c_1/dp_T}{dF^c_1/dp_T}.$$  

Both are shown in Fig. 2 for fixed momentum fraction $x = 10^{-3}$ and photon virtuality $Q^2 = 10\text{GeV}^2$ in a range of $p_T$ which should be accessible at a future EIC. As we consider HQs with mass $m$, we
PDFs as shown in Fig. 1. All results are obtained for our default choice of scale \( \mu_R \) and factorization \( \mu_F \) scales for the renormalization (left) and the associated double-spin asymmetry \( A_{1,p_T}^c \) (right) as a function of \( p_T \) for fixed \( x = 10^{-2} \) and \( Q^2 = 10 \text{GeV}^2 \). The different lines and the shaded band correspond to different choices of helicity gluon PDFs as shown in Fig. 1. All results are obtained for our default choice of scale \( \mu_0^2 = Q^2 + 4(m^2 + p_T^2) \) with a charm quark mass of \( m = 1.5 \text{GeV} \). The lower panels show the ratios of NLO and LO results (“\( K \)-factor”).

![Figure 2](image1.png)

**Figure 2:** LO (top) and NLO (middle panel) results for the transverse momentum distribution \( 2x d g_{1}^{c}/d p_{T} \) (left) and the associated double-spin asymmetry \( A_{1,p_T}^c \) (right) as a function of \( p_T \) for fixed \( x = 10^{-2} \) and \( Q^2 = 10 \text{GeV}^2 \). The different lines and the shaded band correspond to different choices of helicity gluon PDFs as shown in Fig. 1. All results are obtained for our default choice of scale \( \mu_0^2 = Q^2 + 4(m^2 + p_T^2) \) with a charm quark mass of \( m = 1.5 \text{GeV} \). The lower panels show the ratios of NLO and LO results (“\( K \)-factor”).

obtain finite results even for \( p_T = 0 \). The asymmetry \( A_{1,p_T}^c \) reaches measurable, percent-level values and exhibits a strong sensitivity to \( \Delta g \), clearly dependent on its size and sign as can be gathered from the results obtained for the minimal and maximal \( \Delta g \).

![Figure 3](image2.png)

**Figure 3:** Scale dependence of \( 2x d g_{1}^{c}/d p_{T} \) shown in Fig. 2 at LO (top) and NLO (middle) accuracy for simultaneous variations of \( \mu_R^2 \) and \( \mu_F^2 \) by a factor of 10 around the default choice \( \mu_0^2 = Q^2 + 4(m^2 + p_T^2) \). The bottom panel shows the variation of the \( K \)-factor.

In Fig. 3 we illustrate the theoretical uncertainties associated with simultaneous variations of the renormalization (\( \mu_R \)) and factorization (\( \mu_F \)) scales for the \( p_T \)-distribution \( 2x d g_{1}^{c}/d p_{T} \) computed with the best fit of the DSSV set. As is expected, the scale dependence is significantly reduced at NLO accuracy and, more importantly, its size is much smaller than the spread in the results due to
current uncertainty in $\Delta g$ as shown in Fig. 2. We note that including a $p_T$-dependence in the choice of scale $\mu$ is important to obtain sensible results in the high-$p_T$ region.

As a first example that can be only obtained with our newly developed Monte-Carlo code, we study in Fig. 4 the invariant mass distribution of the HQ pair, i.e., the differential polarized DIS structure function $2x d g_1^c / d M$ (left panels), $A_{1,M}^c$ (right panels). Here, the default choice of scale is $\mu^2 = Q^2 + 4m^2 + p_{T,Q,Q}^2$, see text.

Next, we turn to our recent computation of the electroweak contributions to the neutral current (NC) DIS structure functions at NLO accuracy \[6, 10\] which are mediated by the exchange of a $Z$ boson. Here, we will consider bottom quark production to optimize the kinematical conditions and use the PDF sets of the NNPDF group \[11\] throughout. In order to establish a common notation we denote the coupling of a boson $b$ to a fermion $f$ as $-ie\Gamma_{b,f}^{\mu}$ with $e$ the universal electric charge and

$$
\Gamma_{b,f}^{\mu} = g_{b,f}^V \Gamma_\mu^V + g_{b,f}^A \Gamma_\mu^A = g_{b,f}^V \gamma^\mu + g_{b,f}^A \gamma^\mu \gamma^5, \quad b \in \{\gamma, Z\}, f \in \{\ell, q, Q\}.
$$

To deal with the subtleties of $\gamma_5$ in higher order calculations we adopt the MVV scheme \[12\].
NLO HQ distributions in polarized DIS

F. Hekhorn

\[ R_{H}^{\gamma Z}(x, Q^2) = \left( \frac{g_{V} - g_{A}}{2} \right) \eta_{\gamma Z} H_{NC}^{\gamma Z}(x, Q^2) \]
\[ R_{H}^{ZZ}(x, Q^2) = \left( \frac{g_{V} - g_{A}}{2} \right) \eta_{\gamma Z} H_{NC}^{ZZ}(x, Q^2) \]

respectively. The factor \( \eta_{\gamma Z} \) accounts for the difference between the photon and the Z-propagator.

The relevance of the electroweak contributions is illustrated in Fig. 5. One can deduce two important results. Firstly, the \( \gamma Z \)-interference term and the Z-contribution do not exhibit the same \( Q^2 \)-dependence, which can be traced back to fact that we deal here with massive quarks. Secondly, as expected, the electroweak contributions become increasingly relevant the higher the \( Q^2 \) and they should not be neglected in analyses of DIS data once \( Q^2 \gtrsim 10^3 \text{ GeV}^2 \).

Finally, with the inclusion of the electroweak exchanges, we also get access to the parity-violating DIS structure functions \( x_{F_3}^b \), \( g_4^b \), and \( g_5^b \) which are given in Fig. 6. As they do not
contribute in LO to the fully inclusive DIS cross section and, in addition, vanish in the case of $p_T$-differential distributions [6], we shown in Fig. 5 their rapidity dependence for $Q^2 = 10^3 \text{GeV}^2$ and $x = 0.1$. The LO results were already discussed long ago in Ref. [14] but – to the best of our knowledge – the NLO results are presented here for the first time.

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