Exceptional complex structures and the hypermultiplet moduli of 5d Minkowski compactifications of M-theory

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Abstract: We present a detailed study of a new mathematical object in $E_6(6)\mathbb{R}^+$ generalised geometry called an ‘exceptional complex structure’ (ECS). It is the extension of a conventional complex structure to one that includes all the degrees of freedom of M-theory or type IIB supergravity in six or five dimensions, and as such characterises, in part, the geometry of generic supersymmetric compactifications to five-dimensional Minkowski space. We define an ECS as an integrable $U^*(6) \times \mathbb{R}^+$ structure and show it is equivalent to a particular form of involutive subbundle of the complexified generalised tangent bundle $L_1 \subset E_C$. We also define a refinement, an $SU^*(6)$ structure, and show that its integrability requires in addition a vanishing moment map on the space of structures. We are able to classify all possible ECSs, showing that they are characterised by two numbers denoted ‘type’ and ‘class’. We then use the deformation theory of ECS to find the moduli of any $SU^*(6)$ structure. We relate these structures to the geometry of generic minimally supersymmetric flux backgrounds of M-theory of the form $\mathbb{R}^{4,1} \times M$, where the $SU^*(6)$ moduli correspond to the hypermultiplet moduli in the lower-dimensional theory. Such geometries are of class zero or one. The former are equivalent to a choice of (non-metric-compatible) conventional $SL(3, \mathbb{C})$ structure and strikingly have the same space of hypermultiplet moduli as the fluxless Calabi-Yau case.

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1 Introduction

Supersymmetric flux backgrounds of string/M-theory remain of great interest for both phenomenology and the AdS/CFT correspondence. They also provide extensions of conventional geometrical structures that are of mathematical interest in their own right [1–10]. In the absence of flux, compactifications on manifolds with special holonomy are required in order to preserve supersymmetry. The integrable $G$-structures that describe such backgrounds can be used to understand key properties of the background, such as the massless moduli. Following [11–13], turning the fluxes on breaks the integrability of the conventional $G$-structures, and understanding properties of the geometry becomes much more difficult.

In this paper we will focus on generic minimal supersymmetric flux compactifications to five-dimensional Minkowski space. Although the geometrical structures we describe will be equally applicable to type II theories, we will focus on M theory backgrounds. They are the natural M-theory extensions of a Calabi-Yau threefold, a geometry that enters many classic problems such as braneworld models [14, 15], geometrical engineering of five-dimensional supersymmetric field theories [16–18], or the M-theory interpretation of topological string amplitudes [19, 20]. When analysed using conventional $G$-structure techniques one finds a local SU(2) structure [21] and the solutions naturally fall into two classes, one that includes the fluxless Calabi-Yau solution and the other corresponding to a back-reacted M5-brane geometry. Our focus will be in particular on the geometry that captures the moduli that fall into hypermultiplet representations in five-dimensions. For the Calabi-Yau case these come from the complex structure moduli and the three-form gauge field potentials, so our structure can be viewed as some extension of conventional complex geometry.

We will use the formalism of exceptional generalised geometry [4, 22, 23] which describes arbitrary type II or M-theory flux backgrounds in terms of geometry on an extended tangent space on which there is a natural action of the exceptional group $E_{d(d)} \times \mathbb{R}^+$. We will define a new $G$-structure in $E_{6(6)} \times \mathbb{R}^+$ geometry, referred to as an exceptional complex structure (ECS), that is a natural extension of a conventional complex structure or the generalised complex structures of Hitchin and Gualtieri. Its moduli space is quaternionic-Kähler and parameterises the hypermultiplet degrees of freedom in the $D = 5$ theory. The analogous objects were first introduced in $E_{7(7)} \times \mathbb{R}^+$ geometry in the context of $D = 4$ backgrounds of string and M-theory in [24], and analogous structures were later found in the $O(6, 6 + n)$ geometry relevant to heterotic strings [25]. This work can be viewed as an extension of these ideas to $D = 5$ Minkowski backgrounds. As we will see the ECS is a particularly rich structure that can be viewed as having aspects of both conventional complex and hyperkähler geometries.
Recall that in the language of exceptional generalised geometry, a generic supergravity background is described through a generalised metric, that is, a reduction of the structure group to the maximally compact subgroup \( H_d \subseteq E_d(d) \times \mathbb{R}^+ \) [22, 23, 26]. If the background also preserves supersymmetry then there is a further reduction of the structure group such that the Killing spinor equations are equivalent to the existence of a torsion-free generalised connection compatible with the reduced structure group [6, 8]. This rephrases the conditions of a supersymmetric background with arbitrary flux as the existence of global integrable \( G \)-structure in generalised geometry in analogy with conventional \( G \)-structure in the fluxless case.\(^1\) The \( D = 5 \) backgrounds we discuss in this paper are described by an integrable global \( \text{USp}(6) \) structure and were studied in [7] where they were dubbed exceptional Calabi-Yau (ECY) spaces. They are defined in terms two sub-structures that have to obey some compatibility conditions: a \( V \)-structure, that is invariant under \( F_4(4) \) structure, and an \( H \)-structure, invariant under \( \text{SU}^*(6) \) structure [29]. Here, we will find that it is convenient to define an ECS as a slightly weaker version of an \( H \)-structure, invariant under \( \mathbb{R}^+ \times \text{U}^*(6) \). It is equivalent to specifying a particular complex subbundle of the generalised tangent bundle, the analogue of holomorphic tangent bundle \( T_{1,0} \subset T_{\mathbb{C}} \) in complex geometry. It is these \( \text{SU}^*(6) \) and \( \mathbb{R}^+ \times \text{U}^*(6) \) structures that we will study in some detail in this paper.

We find that we can describe a given ECS in terms of two labels which we have called \( \textit{type} \), analogous to the type of generalised complex structures, and \( \textit{class} \). The class will turn out to exactly match the two classes of solutions identified in [21] using conventional \( G \)-structures. Supersymmetry means that the space of (non-integrable) \( \text{SU}^*(6) \) structures and ECSs are themselves hyperkähler and kähler spaces respectively, and, furthermore, admit analogues of the Hitchin functionals [30, 31] that exist, for example, for conventional complex structures. As we will discuss, supersymmetry can be regarded as extremisation of the appropriate functional. We derive the most general form of the ECS in each case and use this to find the exact moduli in terms of natural cohomology groups.

It is well-known that there are general no-go theorems [11, 32–34] that exclude compact solutions with non-zero flux (unless one allows sources) so that the only allowed compact background is, in our case, a Calabi-Yau manifold. Thus at first blush our moduli space calculations should be regarded as identifying the hypermultiplet moduli for non-compact backgrounds or alternatively for spaces with boundaries where the sources have been removed. Interestingly, however, it is also possible that the sources enter only in the \( V \)-structure equations, such that the \( \text{SU}^*(6) \) \( H \)-structure remains well defined even at the source. In this case, our expressions would be valid without excising sources.

The paper is structured as follows. In section 2 we review the geometry of \( D = 5 \), \( \mathcal{N} = 1 \) backgrounds in terms of local \( \text{SU}(2) \) structures and in terms of exceptional Calabi-Yau structures. Following this, we define ECS as a substructure of the ECY and study the integrability conditions in section 3. In section 4, we completely classify ECS and \( \text{SU}^*(6) \) structures, introducing the notion of \( \textit{type} \) and \( \textit{class} \). We further study the integrability

\(^1\)This is in fact only true for Minkowski backgrounds. AdS backgrounds still have a well-defined global \( G \)-structure, just now they are weakly integrable meaning that the intrinsic torsion lies in a singlet representation of the \( G \)-structure [10, 27, 28].
conditions, and relate their structure to the local SU(2) structure of the background. In section 5 we use the deformation theory of ECS to find the hypermultiplet moduli, following similar results for complex structures [35]. We first analyse the class 0 and class 1 cases separately and then introduce exceptional Dolbeault operators to analyse the moduli in full generality. Finally, in section 6, we consider how these structures apply to Minkowski backgrounds. The appendices are left for conventions and proofs of results in the main text.

2 Review of $D = 5$ Minkowski backgrounds

We would like to analyse the geometry of $N = 1$, $D = 5$ flux compactifications of M-theory with the aim of finding their moduli. First, we will briefly review what is already known about these backgrounds, both in terms of local SU(2) structures in conventional geometry, and in terms of exceptional Calabi-Yau structures in exceptional geometry. This provides the physical context for exceptional complex structures that we study in greater detail in the following sections. The reader that is only interested in the mathematical aspects of these structures can happily skip this section, referring back for notation.

2.1 Local SU(2) structures

The generic geometry for the internal manifold for backgrounds of the form $\mathbb{R}^{4,1} \times M$ was found in [21, App D] where it was given in terms of a local SU(2) structure. Generically the supersymmetry parameter can be written in terms of two orthonormal chiral spinors $\eta^1, \eta^2$ as

$$\tilde{\eta} = \sqrt{2} \left( \cos \alpha \eta^1 + \sin \alpha \left( a \eta^1 + \sqrt{1 - |a|^2} \eta^2 \right)^\ast \right).$$

(2.1)

where $a$ is some complex function with $|a| \leq 1$. Note that there can be points on $M$ where the supersymmetry parameter is chiral. These correspond to having $\sin(2\alpha) = 0$, so that one of the chiral terms vanishes and the SU(2) structure degenerates to an SU(3) structure. If this happens at every point, we have a true global SU(3) structure and one finds that supersymmetry is incompatible with the presence of flux [21, 36]. Hence in this case $M$ is a Calabi-Yau manifold and the geometry is well understood. To match [21], we will make the following definitions:

$$\begin{align*}
\epsilon^+ &= \frac{1}{\sqrt{2}} \eta \\
\epsilon^- &= -\frac{1}{\sqrt{2}} i \gamma^7 \eta \\
\theta &= \frac{\pi}{2} - 2\alpha
\end{align*}$$

(2.2)

The local SU(2) structure can equivalently be described in terms of 1-forms $\zeta_1, \zeta_2$ and three real 2-forms $\omega_1, \omega_2, \omega_3$ such that the metric and volume form can be written as

$$\begin{align*}
ds^2(M) &= ds^2_{SU(2)} + \zeta_1^2 + \zeta_2^2 \\
\text{vol} &= \frac{1}{2} \omega \wedge \omega \wedge \zeta_1 \wedge \zeta_2
\end{align*}$$

(2.3)

where $ds^2_{SU(2)}$ is the 4-dimensional SU(2) metric given by the $\omega_i$. In the volume form, we can take $\omega = \omega_i$ for any $i$. There is an alternative description of the local SU(2)
structure through a complex 2-form $\tilde{\Omega} = \omega_2 + i \omega_1$, and a real 2-form $\tilde{\omega} = \omega_3$ (following the conventions of [21]). These objects are defined through bilinears in $\eta_i$ which are not globally well-defined objects on $M$. Instead, we should build tensors from bilinears in the global spinors $\epsilon^{\pm}$. A full list of these bilinears in terms of the local SU(2) structure is given in appendix B, but here we will simply define the following

\begin{align*}
1 = \tilde{\epsilon}^+ \epsilon^+ = \tilde{\epsilon}^- \epsilon^- & \quad \tilde{\Omega} = \epsilon^- T \gamma(2) \epsilon^+ \\
\sin \theta = \tilde{\epsilon}^+ \epsilon^+ = \tilde{\epsilon}^- \epsilon^- & \quad Y = -i \tilde{\epsilon}^+ \gamma(2) \epsilon^+ \\
f = a^* \cos \theta = \epsilon^+ T \epsilon^+ = -\epsilon^- T \epsilon^- & \quad f \ \text{vol} = -i \tilde{\epsilon}^+ \gamma(6) \epsilon^+ \quad \sin \theta \ \text{vol} = -i \tilde{\epsilon}^+ \gamma(6) \epsilon^+ 
\end{align*}

(2.4)

Here the $\gamma$ are the gamma matrices for Cliff(6) in an orthonormal frame for $M$. There are some other useful spinor bilinear identities we can define

\begin{align*}
\tilde{\zeta}_1 = \tilde{\epsilon}^+ \gamma(1) \epsilon^+ & \quad i \ast \Lambda = \epsilon^+ T \gamma(3) \epsilon^+ \\
\tilde{\zeta}_2 = i \tilde{\epsilon}^+ \gamma(1) \epsilon^- & \quad \ast V = -i \tilde{\epsilon}^+ \gamma(3) \epsilon^+ \\
Y' = i \tilde{\epsilon}^+ \gamma(2) \epsilon^- & \quad Z = \ast \tilde{\zeta}_1 = i \tilde{\epsilon}^+ \gamma(5) \epsilon^- 
\end{align*}

(2.5)

Note that, from (2.1), the SU(2) structure degenerates to an SU(3) structure when $\sin \theta = \pm 1$. When this occurs, the form of the metric as given in (2.3) breaks down. Although $\zeta_1$ and $\zeta_2$ are not well defined at those points the spinors $\epsilon^{\pm}$ are, and hence the bilinears defined above are well defined but may degenerate.

The Killing spinor equations put constraints on these tensors. The necessary and sufficient conditions on the tensors for a supersymmetric background were found in [21]. It is helpful to divide these into two sets, the reason for which we will explain properly in the next section when we introduce SU*(6) structures. First one has

\begin{align*}
\text{d}(e^{3\lambda} \sin \theta) = 0 & \quad \text{d}(e^{3\lambda} V) = e^{3\lambda} \sin \theta F \\
\text{d}(e^{3\lambda} f) = 0 & \quad \text{d}(e^{3\lambda} \Lambda) = -e^{3\lambda} f F 
\end{align*}

(2.6)

The remaining constraints from the Killing spinor equations are

\begin{align*}
\text{d}(e^{3\lambda} Y') = -\xi \ast F & \quad \text{d}(e^{3\lambda} Z) = e^{3\lambda} Y' \wedge F 
\end{align*}

(2.7)

where $\xi$ is a Killing vector field that preserves all the bilinears, given by

\begin{equation}
\xi = e^{3\lambda} \tilde{\zeta}_2^# \tag{2.8}
\end{equation}

Note that generically these equations are together sufficient to imply supersymmetry.3

As was noted in [21], the full set of equations imply that $f = 0$. Since the warp factor $e^{2\lambda}$ cannot vanish, the first equation in (2.6) then splits the solutions into two classes depending on whether globally $\sin \theta \neq 0$ or $\sin \theta = 0$. Physically, these two classes correspond to fluxed backgrounds that live in the same family as the Calabi-Yau solution and backgrounds corresponding to the back-reacted geometry around an M5 brane transverse to $M_{\text{HK}} \times \mathbb{R}$, where $M_{\text{HK}}$ is a four-dimensional hyperkähler manifold, and where a flat direction of the M5 brane fibers over $M_{\text{HK}} \times \mathbb{R}$ base.4 We denote these

3When $\sin \theta = f = 0$ one must append (2.6) by conditions relating the flux to the exterior derivatives of $\tilde{\zeta}_1 \wedge Y$ and $\tilde{\zeta}_1 \wedge \tilde{\Omega}$ as given in (4.53)–(4.55).

4We can also consider compactifying $\mathbb{R}$ to $S^1$.

\hfill – 4 –
(i) class 0 \((\sin \theta \neq 0)\): flux-deformed Calabi-Yau space

(ii) class 1 \((\sin \theta = 0)\): back-reacted wrapped M5-brane geometry

These same two cases will arise naturally in our analysis of generalised SU* \((6)\) structures. In that case, integrability of the structure is not sufficient to set \(f = 0\) and so we will define class 1 by \(\sin \theta = f = 0\) and class 0 as the complement.

2.2 Exceptional Calabi-Yau structures

\(N = 1, D = 5\) flux backgrounds have been studied in context of exceptional generalised geometry for \(\mathbb{R}^{4,1}\) backgrounds in [6–8] and for AdS backgrounds in [10, 28]. These were respectively called exceptional Calabi-Yau structures (ECY), and exceptional Sasaki-Einstein structures (ESE) because they generalised the respective manifolds to arbitrary flux.\(^5\) Both ECY and ESE structures are described by a global USp(6) \(\subset E_{6(6)} \times \mathbb{R}^+\) structure, but differ in their integrability conditions. ECY are defined by an entirely integrable USp(6) structure [6], i.e. a global USp(6) structure with a torsion-free compatible connection, while ESE backgrounds are defined to have weak generalised holonomy [28] meaning the intrinsic torsion lies in a singlet representation. In this paper, we will focus on Minkowski backgrounds and will review the definition and integrability of ECY here. Details of \(E_{6(6)} \times \mathbb{R}^+\) geometry, including expressions for the generalised tangent bundle, the adjoint bundle, and the adjoint action, can be found in appendix A.

As with conventional geometry, we would like to describe integrable \(G\)-structures in terms of generalised tensors stabilised by the group \(G\), and differential conditions on those tensors. This would be the equivalent of the existence and closure conditions of the Kähler form \(\omega\) and the holomorphic 3-form \(\Omega\) for an SU(3) structure. In [7] it was shown that a USp(6) structure is defined by the combination of what was called an H-structure and a V-structure, satisfying some compatibility conditions and differential conditions. In the effective five-dimensional theory, the H-structure is be related to the scalars in the hypermultiplets, while the V-structure is related to the scalars in the vector multiplets, hence the nomenclature.\(^6\)

For M theory compactifications, the H-structure generalises the notion of complex structure on a Calabi-Yau manifold. As we will see, in some ways it is also analogous to a hyperkähler geometry, and so can more generally be viewed as an object that interpolates between the two. It is defined by a triplet of weighted adjoint valued tensors \(J_\alpha \in \Gamma((\text{det} T^*)^{1/2} \otimes \text{ad} \tilde{F})\), \(\alpha = 1, 2, 3\). These have to form a highest root \(\mathfrak{su}(2)\) algebra of \(e_{6(6)}\). In particular we require

\[
[J_\alpha, J_\beta] = 2\kappa \epsilon_{\alpha\beta\gamma} J_\gamma \quad \text{Tr}(J_\alpha J_\beta) = -\kappa^2 \delta_{\alpha\beta} \quad (2.9)
\]

\(^5\)Closely related structures also exist in other dimensions [7, 10].

\(^6\)In fact, in [7], they introduce these structures for compactifications down to 4, 5, and 6 dimensional Minkowski space preserving 8 supercharges. The H and V-structures for compactifications down to 4 dimensions were first introduced in [29].
Where $\kappa$ is some section of $(\text{det} \ T^*)^{1/2}$. Alone, these tensors define an $\text{SU}^*(6)$ structure, where $\text{SU}^*(6)$ is a particular non-compact real form\(^7\) of $\text{SL}(6, \mathbb{C})$ [37, 38]. This $G$-structure is integrable if and only if the following generalised one-forms vanish.

$$\mu_\alpha(V) := -\frac{1}{2} \epsilon^{\alpha\beta\gamma} \int_M \text{Tr}(J_\beta L V J_\gamma) \equiv 0 \quad (2.10)$$

The objects $\mu_\alpha$ can be viewed as moment maps for the action of generalised diffeomorphisms on the infinite-dimensional hyperkähler space of H-structures $\mathcal{A}_H$. We will expand a little more in the next section on how this works. In fact, the space $\mathcal{A}_H$ is a hyperkähler cone over a quarternionic-Kähler base where the $H^* = \text{SU}(2) \times \mathbb{R}^+$ of the cone direction is precisely parameterised by the $\{J_\alpha, \kappa\}$ defining the $\text{SU}^*(6)$ structure. This fact will be important when we analyse the moduli space in section 5.

For a generic background ($\sin \theta \neq 0$), the vanishing of the moment maps above is equivalent to the set of Killing spinor equations given in (2.6). The remaining constraints (2.7), (2.8) come from the differential conditions on the $V$-structure and certain compatibility conditions that we will lay out below. In the non-generic case ($\sin \theta = 0$), the picture is slightly more subtle and the vanishing of the moment maps above implies some extra conditions (see footnote 3). The $V$-structure in M theory compactifications generalises the notion of symplectic structure on the Calabi-Yau. It is defined by a single generalised vector $K \in \Gamma(E)$ that satisfies

$$c(K, K, K) > 0 \quad (2.11)$$

where $c : S^3 E \rightarrow \text{det} T^*$ is the cubic invariant of $\text{E}_6(6)$ and we have fixed an orientation on $M$ to define the inequality. This describes an $F_{4(4)}$ structure which is integrable if

$$L_K K = 0 \quad (2.12)$$

Finally, the $\text{USp}(6)$ structure is defined by an H-structure and a V-structure obeying an additional compatibility and integrability conditions. These are

$$J_\alpha \cdot K = 0 \quad c(K, K, K) = \kappa^2 \quad L_K J_\alpha = 0 \quad (2.13)$$

The first two compatibility conditions ensure that the stabiliser group of the two structures is $F_{4(4)} \cap \text{SU}^*(6) = \text{USp}(6)$, and the extra differential condition is required to ensure the intrinsic torsion of the $\text{USp}(6)$ structure completely vanishes.

As mentioned, these structures describe the geometry of arbitrary $\mathcal{N} = 1$ backgrounds with $\mathbb{R}^{4,1}$ external space. Hence, we should be able to embed the results of the previous section into this language. This was done in [10] for AdS backgrounds, and can be extended to Minkowski with the following identifications.

$$J_3 = \frac{1}{2}\kappa \left( -Y_R + (\ast V - \ast V^\#) + \sin \theta (\text{vol} + \text{vol}^\#) \right) \quad (2.14)$$

$$J_\pm = \frac{1}{2}\kappa \left( \Omega_R - (i \ast \Lambda - i \ast \Lambda^\#) - i f (\text{vol} + \text{vol}^\#) \right) \quad (2.15)$$

$$K = \xi - e^\lambda Y' + e^\lambda Z \quad (2.16)$$

\(^7\)It can be identified as the following subgroup of $\text{SL}(6, \mathbb{C})$. If $J$ is an antisymmetric $6 \times 6$ matrix such that $J^2 = -\text{Id}$, then $U \in \text{SU}^*(6)$ with $U^*$ its complex conjugate if and only if $UJ = JU^*$. 

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where $J_+ = J_1 + i J_2$, $\kappa^2 = e^{3\lambda} \text{vol}$ and the subscript $R$ denotes raising one index with the metric to creating a GL(6) adjoint element. We can see from these expressions that the triplet $J_\alpha$ are defined from a triplet of scalars, a triplet of 2-forms and a triplet of 3-forms. These are respectively given by $\{\sin \theta, \text{Re } f, \text{Im } f\}$, $\{Y, \text{Re } \tilde{\Omega}, \text{Im } \tilde{\Omega}\}$, and $\{V, \text{Re } \Lambda, \text{Im } \Lambda\}$.

In (2.14)–(2.16) we have not explicitly included the flux field gauge potentials of the geometry, which can be viewed as ‘twisting’ the generalised tensors by an $E_{6(6)} \times \mathbb{R}^+$ element $e^{A + \tilde{A}}$, or, as we will mostly use in this paper, by modifying the Dorfman derivative by flux-dependent terms. (Note that $A$ is the three-form potential for the four-form flux $F = dA$ on $M$, while $\tilde{A}$ is the six-form potential giving a dual description of a form-form flux on the non-compact $\mathbb{R}^{4,1}$. Lorentz invariance implies the later has a trivial field-strength.) The authors of [10] also showed that (2.9)–(2.13) precisely reproduce the algebraic conditions required for an SU(2) structure, along with the differential conditions for supersymmetry (2.6), (2.7). In several places in this paper, we will use the very concrete special case of a Calabi-Yau manifold case as an example, as defined in section 3.3.

3 Exceptional complex structures

A central focus of this paper is to give a general analysis of the moduli space of integrable SU$^*(6)$ structures. In terms of the reduction on a supersymmetric space, this space encodes the massless hypermultiplet degrees of freedom of an on-shell background and as such should be finite-dimensional. It turns out that rather than working directly with the SU$^*(6)$ structure one can rephrase the problem in terms of a slightly weaker notion of an exceptional complex structure (ECS). These objects, their integrability conditions, classification and relation to SU$^*(6)$ structures is the subject of this section.

3.1 SU$^*(6)$ and $\mathbb{R}^+ \times U^*(6)$ structures

Much as one can study hyperkähler geometries by focusing on one particular complex structure, we can study the geometry of SU$^*(6)$ structures by restricting to a single $J_\alpha$, which we will denote as $J_3$. The SU(2) action on the triplet $J_\alpha$ means that, as in the hyperkähler case, there is an $S^2 \simeq \mathbb{CP}^1 \simeq \text{SU}(2)/U(1)$ of such choices. In fixing one, we find a structure analogous to the ECS that was used to describe four-dimensional $\mathcal{N} = 1$ M-theory and type II geometries in [24], and the corresponding heterotic backgrounds in [25] backgrounds. As in those cases, we show that integrability is naturally defined in terms of an involutive subbundle of the generalised tangent bundle. The moduli space of ECSs is generically infinite-dimensional. However, if the ECS arises from an integrable SU$^*(6)$ structure, as we show in section 5.4, there is a natural way to interpret the moduli space of the SU$^*(6)$ structure in terms of the ECS.

First note that to define an SU$^*(6)$ structure, it is sufficient to just define $J_+$. Indeed we can then obtain the full $J_\alpha$ via

$$J_- = J_+ \quad J_3 = (-8 \text{Tr}(J_+ J_-))^{-1/2} i [J_+, J_-]$$

Recall that the space of H-structures $\mathcal{A}_H$ is hyperkähler. Parameterising point on $\mathcal{A}_H$ by a choice of $J_-$, picks out a particular complex structure on $\mathcal{A}_H$, such that $X := \kappa J_- \in$
\(\Gamma(\det T^* \otimes \text{ad} \tilde{F})\) is a holomorphic coordinate.\(^8\) From these tensors we can define two reductions of the structure group

\[
\text{SU}^*(6) \text{ structure: } X := \kappa J_\text{L} \in \Gamma(\det T^* \otimes \text{ad} \tilde{F}) \\
\mathbb{R}^+ \times \text{U}^*(6) \text{ structure: } J := \kappa^{-1} J_3 \in \Gamma(\text{ad} \tilde{F})
\]  

(3.1)

so that \(J\) is the unweighted \(J_3\). To see that \(J\) defines an \(\mathbb{R}^+ \times \text{U}^*(6)\) structure we note that, since it is unweighted, it is invariant under the \(\mathbb{R}^+ \subset \text{E}_6(6) \times \mathbb{R}^+\) action. The additional \(\text{U}(1)\) symmetry comes from the action generated by \(J\) itself. Alternatively, we can define the \(\mathbb{R}^+ \times \text{U}^*(6)\) structure more directly from the supersymmetry.

**Definition 1.** Let \(t \in \mathfrak{su}(2) \subset \text{e}_6(6)\) be the Lie algebra element that generates \(\text{U}(1) \subset \text{E}_6(6) \times \mathbb{R}^+\) where \(\mathfrak{su}(2)\) is a highest root subalgebra, and we normalise \(\text{Tr} \, t^2 = -1\). All such elements lie in the same adjoint orbit \(\mathcal{O}\). An \(\mathbb{R}^+ \times \text{U}^*(6)\) structure is a smooth section \(J \in \Gamma(\text{ad} \tilde{F})\) such that \(J|_p\) lies in \(\mathcal{O}\) for every point \(p \in M\).

Given such a \(J\), one can use it to decompose the generalised tangent bundle into eigenbundles. We find that

\[
E_C = L_1 \oplus L_{-1} \oplus L_0 \\
27 \rightarrow 6_1 \oplus 6_{-1} \oplus 15_0
\]  

(3.2)

In the second line we have expressed this decomposition in terms of \(\text{U}^*(6)\) representations. Here the subscripts denote the charge under the \(\text{U}(1) \subset \text{U}^*(6)\) generated by the \(J\). Much as for conventional almost complex structures and almost generalised complex structures, we can also define the \(\mathbb{R}^+ \times \text{U}^*(6)\) structures purely in terms of \(L_1\):

**Definition 2.** An \(\mathbb{R}^+ \times \text{U}^*(6)\) structure is defined by a subbundle \(L_1 \subset E_C\) such that

i) \(\dim_{\mathbb{C}} L_1 = 6\)

ii) \(L_1 \times_N L_1 = 0\)

iii) \(L_1 \cap \bar{L}_1 = \{0\}, \quad L_1 \cap L_0 = \{0\}\)

iv) The map \(\zeta : L_1 \times (L_{-1})^* :\rightarrow \mathbb{R}\) defined by

\[
\zeta(V, Z) = \text{Tr} \left( (V \times_{\text{ad}} Z)(\bar{V} \times_{\text{ad}} \bar{Z}) \right)
\]

(3.3)

is negative \(\forall V \in L_1, Z \in (L_{-1})^*\)

We call such structures *almost ECS*. Any bundle obeying the first two conditions is called an *almost exceptional Dirac structure* in analogy with [2].

Here, \(N \subset S^2E\) is a particular bundle transforming in the \(27\) of \(\text{E}_6(6) \times \mathbb{R}^+\), and \(\times_N\) represents the projection onto that bundle.\(^9\) Note that we could equally well define the

---

\(^8\) This was first noticed in collaboration with Edward Tasker.

\(^9\) The decomposition of \(N\) into natural geometric bundles, as well as the projection map, are given in appendix A.
structure in terms of $L_{-1}$. While conditions (iii) and (iv) appear to depend on the full decomposition (3.2), one can define $L_0$ from $L_1$ via the following. Let $A = \{ Z \in E^* \mid \langle V, Z \rangle = 0 \; \forall \; V \in L_1 \oplus L_{-1} \} \subset E^*$, where $\langle \cdot , \cdot \rangle$ is the natural pairing between $E$ and $E^*$. That is, $A$ is the null space of $L_1 \oplus L_{-1}$. Then we define

$$L_0 = (L_1 \times_{\text{ad}} A) \cdot L_{-1} \tag{3.4}$$

Once we have found $L_0$ such that (iii) holds, we have a well-defined splitting of the dual space $E^*$ into $(L_{\pm 1})^*$ and $(L_0)^*$. Hence (iv) is well-defined.

We can also decompose the weighted adjoint bundle into eigenbundles of $J$. We find

$$78 \oplus 1 \rightarrow 1_{+2} \oplus 1_{-2} \oplus 20_{+1} \oplus 20_{-1} \oplus \text{ad} P_{\mathbb{R}^+ \times U^*(6)} \tag{3.5}$$

The singlets imply that an $\mathbb{R}^+ \times U^*(6)$ structure defines a line bundle

$$\mathcal{U}_J \subset (\det T^*) \otimes \text{ad} \tilde{F}_C \simeq \mathbb{C} \oplus \Lambda^3 T^*_C \oplus (T^*_C \otimes \wedge^5 T^*_C) \oplus (\Lambda^3 T^*_C \otimes \wedge^6 T^*_C) \oplus (\wedge^6 T^*_C)^{\otimes 2} \tag{3.6}$$

One can show that it is fixed by requiring

$$V \cdot X = 0 \; \forall \; V \in \Gamma(L_1), \tag{3.7}$$

where $X$ is a local section of $\mathcal{U}_J$. The product $V \cdot X$ is defined by the projection $E \otimes (\det T^*) \otimes \text{ad} \tilde{F} \rightarrow C$ where $C$ is the generalised tensor bundle transforming in the $351_4$ of $E_6(6) \times \mathbb{R}^+$.\footnote{Note that this is $R_4$ in the tensor hierarchy of $E_6(6)$ [39].} One can equally define a local section $X$ by the condition $J \cdot X = [J, X] = 2i X$. Furthermore, one can show that for any non-zero $X$, one has that $\text{Tr}(X \bar{X})$ is negative, where we recall that $\text{Tr}(X \bar{X})$ is a section of $(\det T^*)^2$ which has a canonical orientation and hence a well defined notion of a negative section. One can use the converse to reformulate of the last condition in definition 2 as

iv') Given any non-zero local section $X$ of the line bundle $\mathcal{U}_J \subset (\det T^*) \otimes \text{ad} \tilde{F}$, defined by $L_1$, one has $\text{Tr}(X \bar{X}) < 0$

As we will see later one can view this requirement as an generalisation of the notion of stability for three-forms defining an $\text{SL}(3, \mathbb{C})$ structure introduced by Hitchin [30, 31].

We can then give the definition

**Definition 3.** Given an almost ECS $J$ with trivial line bundle $\mathcal{U}_J$, an $\text{SU}^*(6)$ structure is a global non-vanishing section of $\mathcal{U}_J$.

All $\text{SU}^*(6)$ structures will arise in this way and any two will be related by some $E_6(6) \times \mathbb{R}^+$ transformation. Note that any two $\text{SU}^*(6)$ structures $X$ and $X'$ which define the same $\mathbb{R}^+ \times U^*(6)$ structure will be related by some non-vanishing function $h$

$$X' = hX \tag{3.8}$$

Much like for complex structures and generalised complex structures, suitable values for $X$ do not fill out the whole of $78 \oplus 1$. Instead, they exist in some particular $E_6(6) \times \mathbb{R}^+$ orbit.
It is important to reiterate that since $SU^*(6) \not\subset USp(8)$, a choice of $X$ does not define a generalised metric and hence does not fully define a supergravity background. To do so, one needs to also specify a compatible $V$-structure $K$. Nonetheless, the space of $SU^*(6)$ structures encodes the moduli space of hypermultiplets in the effective theory that arises on compactification on $M$, and so, even by itself encodes important information.

### 3.2 Involutivity, moment maps, and integrability

We will now look at the conditions imposed on $X$ by integrability. Recall from section 2.2 that the integrability of the $SU^*(6)$ structure is a subset of the supersymmetry conditions. This was given as the vanishing of a triplet of moment maps for the action of generalised diffeomorphisms on the space of $H$-structures $A_H$. We will see that we will be able to recast this as an involutivity condition on $L_1$, which gives the integrability of the ECS (roughly corresponding to the vanishing of the moment maps $\mu_+ = \mu_1 + i\mu_2 = 0$, along with the vanishing of just a single moment map ($\mu_3$). In using this description for integrable $H$-structures, one drops the explicit hyperkähler structure on $A_H$ that is guaranteed by supersymmetry, and instead views $A_H$ as a Kähler space. For each $SU^*(6)$ structure there is an $S^2$ of integrable ECS and corresponding moment maps which will all give equivalent integrability conditions, a point we will return to in the following section.

The intrinsic torsion for the generalised structures lies in a subbundle of the torsion bundle $W$ which transforms under $E_6(6) \times \mathbb{R}^+$ as $27 \oplus 351$. Decomposing into $U^*(6)$ representations we find that they transform as

\[
W_\text{int}^{SU^*(6)} : 15_2 \oplus 15_{-2} \oplus 15_0 \oplus \overline{6}_1 \oplus \overline{6}_{-1} \quad (3.9)
\]

\[
W_\text{int}^{\mathbb{R}^+ \times U^*(6)} : 15_2 \oplus 15_{-2} \quad (3.10)
\]

where again the subscripts denote the $U(1)$ charge. We define the integrable structures as those with vanishing intrinsic torsion. Just as the integrability of complex structures (and generalised complex structures) is given by involutivity of an eigenbundle, we find

**Definition 4.** An integrable $\mathbb{R}^+ \times U^*(6)$ structure, or ECS, is an almost ECS that is involutive under the Dorfman derivative. That is

\[
L_V W \in \Gamma(L_1) \quad \forall V, W \in \Gamma(L_1) \quad (3.11)
\]

In analogy with generalised complex geometry, we refer to an involutive structure that does not satisfy $L_1 \cap L_{-1} = \{0\}$ as an exceptional Dirac structure.

In general, $L_V W \neq [V, W]$. However, the definition of the Dorfman derivative is such that

\[
L_V W + L_W V \sim d \times_E (V \times_N W) \quad (3.12)
\]

which clearly vanishes by property (ii) of definition 2. Hence, it is equivalent to determine integrability with respect to the Courant bracket.

To see that this definition is correct, one can introduce a compatible connection $D$ that is not necessarily torsion free. Generalised torsion is defined such that

\[
L_V W = L^D_V W - T(V) \cdot W \quad (3.13)
\]
where $L^D_1$ is the Dorfman derivative where each instance of $\partial$ is replaced with $D$. Because of the compatibility of $D$, the first term must be a section of $L_1$. Moreover, since the left hand side does not depend on the choice compatible connection, the projection of $L_1 W$ onto $L_0 \oplus L_{-1}$ can only depend on the intrinsic torsion $T^{\text{int}}$. Given (3.10) we can see that

$$T^{\text{int}}(V) \cdot W \in \Gamma(L_0)$$

(3.14)

Hence, for $L_1 W$ to be a section of $L_1$ for all $V, W \in \Gamma(L_1)$, we need that $T^{\text{int}}|_{15_{-2}} = 0$. The complex conjugate of this condition then sets the whole of $T^{\text{int}} = 0$. We then see that definition 4 is correct.

From (3.9) we can see that the integrability conditions for $\mathbb{R}^+ \times U^*(6)$ structures is a subset of the conditions for an integrable $SU^*(6)$ structure. This makes sense as the $\mathbb{R}^+ \times U^*(6)$ structure is a strictly weaker structure. Given $X$ defining an $\mathbb{R}^+ \times U^*(6)$ structure that is integrable, we want to know what additional conditions are required so that the $SU^*(6)$ structure is also integrable. Let us consider just the map $\mu_3$ from (2.10). In [7], it is shown that this is equal to

$$\mu_3(V) \propto \int_M \text{Tr}(k_3 T^{\text{int}}(V)) + \frac{1}{2} \int_M T^{\text{int}}(J_3 \cdot V) \cdot \kappa^2$$

(3.15)

From this we can see that $\mu_3 \equiv 0$ if and only if the singlet part of $T^{\text{int}}(V)$ is 0 for all $V \in \Gamma(E)$. From the decomposition of $E$ given in (3.2), we see that this is equivalent to the $15_0 \oplus \bar{6}_1 \oplus \bar{6}_{-1}$ part of $T^{\text{int}}$ vanishing. This is precisely the remaining part of the intrinsic torsion of the $SU^*(6)$ structure given in (3.9). This motivates the following alternative definition of an integrable $H$-structure

**Definition 5.** An integrable $SU^*(6)$ structure $X := \kappa J_+$, is an $SU^*(6)$ structure with an integrable $U^*(6)$ structure, along with the vanishing of the moment map $\mu_3$.

As we mentioned, $\mu_3$ is a moment map for the action of generalised diffeomorphisms on space of $SU^*(6)$ structures $\mathcal{A}_H$. Following [7], we recall that $\mathcal{A}_H$ has a hyperkähler cone structure with hyperkähler potential given by\(^{11}\)

$$\mathcal{K} = \int_M \kappa^2 = \int_M \left(- \text{Tr}(X \bar{X})\right)^{1/2}$$

(3.16)

where in the second equality we have expressed the potential with respect to the particular holomorphic structure picked out by $J$. We can view the right hand side as a Kähler potential in the holomorphic coordinate $X$. To see how the moment map arises, splitting the functional derivative into holomorphic and antiholomorphic parts $\delta = \delta' + \bar{\delta}'$, and using $\bar{\omega} = i \delta' \bar{\delta}' \mathcal{K}$ we can first write the Kähler form on the space as

$$i_{\beta \alpha} \bar{\omega} = -\frac{1}{2} \int_M \frac{1}{(- \text{Tr}(X \bar{X}))^{1/2}} \left[\text{Tr}(i_{\alpha} \delta X i_{\beta} \delta \bar{X}) - \text{Tr}(i_{\beta} \delta X i_{\alpha} \delta \bar{X})\right] + \frac{1}{2(- \text{Tr}(X \bar{X}))} \left(\text{Tr}(i_{\alpha} \delta X \bar{X}) \text{Tr}(X i_{\beta} \delta \bar{X}) - \text{Tr}(i_{\beta} \delta X \bar{X}) \text{Tr}(X i_{\alpha} \delta \bar{X})\right)$$

(3.17)

\(^{11}\)Note our conventions differ from that of [7] by an irrelevant overall factor of $\frac{1}{4}$.
Using the non-holomorphic coordinate $J_+$, this takes the much simpler form

$$\iota_{\beta} \iota_{\alpha} \varpi = -\frac{i}{2} \int_{M} [\text{Tr}(\iota_{\alpha} \delta J_+ \iota_{\beta} \delta J_-) - \text{Tr}(\iota_{\beta} \delta J_+ \iota_{\alpha} \delta J_-)]$$

(3.18)

Here $\alpha, \beta \in \Gamma(TA_H)$. Taking $\beta = \rho_V$ to be the vector generated by an infinitesimal generalised diffeomorphism, i.e. $\iota_{\rho_V} \delta J_+ = L_V J_+$, then we see that

$$\iota_{\rho_V} \iota_{\alpha} \varpi = -\frac{i}{2} \int_{M} [\text{Tr}(\iota_{\alpha} \delta J_+ \iota_{\rho_V} \delta J_-) - \text{Tr}(\iota_{\rho_V} \delta J_+ \iota_{\alpha} \delta J_-)]$$

(3.19)

$$= -\frac{i}{2} \int_{M} [\text{Tr}(\iota_{\alpha} \delta J_+ L_V J_-) + \text{Tr}(\iota_{\alpha} \delta J_- L_V J_+)]$$

(3.20)

$$= \iota_{\alpha} \delta \left( \frac{i}{2} \int_{M} \text{Tr}(J_- L_V J_+) \right)$$

(3.21)

$$= \iota_{\alpha} \delta \mu_3(V)$$

(3.22)

Therefore the moment map for generalised diffeomorphisms is indeed the function $\mu_3$ defined above.

### 3.3 Example: Calabi-Yau manifolds

Calabi-Yau three-folds provide a supersymmetric background when all the fluxes vanish. We should thus be able to embed some of the data of the Calabi-Yau into the formalism of ECS. From [7] we see that the $SU^\ast(6)$ structure for the Calabi-Yau is given entirely in terms the $SL(3, \mathbb{C})$ structure parameterised by the complex three-form $\Omega$. Explicitly one has\(^\text{12}\)

$$J_3 = \frac{1}{2} \kappa(I - \text{vol} - \text{vol}^\#)$$

(3.24)

$$J_+ = \frac{1}{2} \kappa(-\Omega + \Omega^\#)$$

(3.25)

where $I$ is the $\text{GL}(3, \mathbb{C})$ structure associated to $\Omega$, that is the conventional complex structure of the Calabi-Yau, and $\kappa^2 = \text{vol} = \frac{i}{8} \Omega \wedge \bar{\Omega}$ is the volume form determined by the $SL(3, \mathbb{C})$ structure.

As we have noted there is an $S^2$ family of ECSs defined by each $SU^\ast(6)$ structure. Conventionally, we take the one associated to $J_3$ so that in this case

SU*\((6)\) structure: $X = \frac{1}{2} \kappa^2(-\Omega + \Omega^\#)$

(3.26)

\(\mathbb{R}^+ \times U^\ast(6)\) structure: \[
\begin{cases}
J = \frac{1}{2}(I - \text{vol} - \text{vol}^\#) \\
L_1 = e^{i \text{vol}} \cdot (T^{1,0} \otimes \wedge^{0,2} T^*)
\end{cases}
\]

(3.27)

It is a simple check using the formulæ for the adjoint action in appendix A that $L_1$ is the $+i$ eigenbundle of $J$, and $X$ lives in the $-2i$ eigenbundle of $J$. Note that $J$ is determined by knowing only the complex structure $I$ and the volume form, that together

\(^{12}\)Note that these expressions can be derived by taking the $\sin \theta \to -1$ limit in (2.14) and (2.15). Taking the $\sin \theta \to 1$ limit gives the opposite orientation $\text{vol} = -\frac{i}{8} \Omega \wedge \bar{\Omega}$. 

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define a $U(1) \times SL(3, \mathbb{C}) \subset GL(3, \mathbb{C})$ structure. Recall that a complex structure always determines an orientation, so the additional information is just choice of section of the (trivial) determinant bundle. We see that embedding the Calabi-Yau structure into the language of ECS takes the following pattern of inclusions.

\[
\text{USp}(6) \subset \text{SU}^*(6) \subset \mathbb{R}^+ \times U^*(6) \\
\cup \cup \cup
\text{SU}(3) \subset \text{SL}(3, \mathbb{C}) \subset U(1) \times \text{SL}(3, \mathbb{C})
\]

(3.28)

We next consider what the integrability conditions given by definitions 4 and 5 imply for the underlying $GL(3, \mathbb{C})$ and $SL(3, \mathbb{C})$ structures. Here we will ignore the flux for simplicity and assume that we know it vanishes. More generally, integrability of the $SU^*(6)$ structure also puts constraints on the flux as we will see later. First, let’s consider the integrability of the $\mathbb{R}^+ \times U^*(6)$ structure. Taking $V = e^{a + i \text{vol}}(v + \omega), V' = e^{a + i \text{vol}}(v' + \omega') \in \Gamma(L_1)$, we have

\[
L_V V' = L_{e^{a + i \text{vol}}(v + \omega)} e^{i \text{vol}}(v' + \omega') \\
= e^{i \text{vol}} L_{v + \omega} (v' + \omega') \\
= e^{i \text{vol}} [\mathcal{L}_v v' + (\mathcal{L}_v \omega' - v' \partial \omega) - \omega' \wedge d\omega]
\]

(3.29)

For this to be a section of $L_1$ also, we require that $\mathcal{L}_v v' \in \Gamma(T^{1,0})$ for any $v, v' \in \Gamma(T^{1,0})$. This is precisely the statement that the $GL(3, \mathbb{C})$ structure is integrable. If this is the case then the exterior derivative decomposes into the Dolbeault operators $d = \partial + \bar{\partial}$. With this, the 5-form terms vanish identically. Further, the term in the parenthesis becomes $v \cdot \partial \omega' - v' \cdot \bar{\partial} \omega \in \Gamma(\wedge^{0,2} T^*)$. Hence

\[
\mathbb{R}^+ \times U^*(6) \text{ structure integrable} \iff \text{GL}(3, \mathbb{C}) \text{ structure integrable}
\]

(3.30)

Next we consider the vanishing of the moment map $\mu_3$. Using the algebra in appendix A, one can show that

\[
\mu_3(V) = \int_M -L_V \kappa^2 + \frac{1}{16} \kappa^2 \left[ -\bar{\Omega}^\# \mathcal{J}_v \Omega + \Omega^\# \mathcal{J}_v \bar{\Omega} - (\Omega^\# \wedge \bar{\Omega}^\#) \sigma \right]
\]

(3.31)

This first term vanishes since $L_V \kappa^2$ is a total derivative.\(^\text{13}\) The final term gives

\[
-\frac{1}{2} \int_M \kappa^2 \text{vol}^\# \sigma \sigma \wedge \sigma \wedge \sigma \wedge \sigma \wedge \sigma \wedge \sigma \wedge \sigma
\]

(3.32)

This is true if and only if $\kappa^2 = c \text{vol}$ for some constant $c \in \mathbb{R}$, which we can set to 1 without loss of generality. This just says that the volume form picked out by the $SU^*(6)$ structure is the same as that picked out by the $SL(3, \mathbb{C})$ structure. The rest of (3.31) is proportional to

\[
\int_M \left( \mathcal{L}_v \Omega \wedge \bar{\Omega} - \Omega \wedge \mathcal{L}_v \bar{\Omega} \right) = \int_M \left( v \cdot d\Omega \wedge \bar{\Omega} + d(v \cdot \Omega) \wedge \bar{\Omega} - \Omega \wedge d(v \cdot \bar{\Omega}) \right)
\]

\[
= 2 \int_M v \cdot (\bar{\Omega} \wedge \Omega) - \Omega \wedge d(v \cdot \bar{\Omega})
\]

(3.33)

\(^{13}\)Here we use the fact that $\int_M d(\ldots) = 0$ which means we are taking $M$ to be compact without boundary, or that the fields die off sufficiently quickly at infinity.
In moving to the second line we have used integration by parts to put the derivatives on the $\Omega, \bar{\Omega}$, and have used the integrability of $I$ to write $d\Omega = \bar{a} \wedge \Omega$ for some $\bar{a} \in \Gamma(T^{*0,1})$. This vanishes for all $v \in \Gamma(T)$ if and only if $\bar{a} = 0$, or equivalently, if $d\Omega = 0$. Therefore, we have

$$\text{SU}^*(6) \text{ structure integrable } \Leftrightarrow \text{SL(3, } \mathbb{C}) \text{ structure integrable} \quad (3.34)$$

As we have stressed, for a given H-structure there is an $S^2$ family of ECS one can define. It is thus natural to ask how the analysis would have changed if we had chosen a different ECS. Suppose for example, we act by a global $\text{SU}(2)$ rotation on the $J_\alpha$, so that we have a new $\text{SU}^*(6)$ structure with $J'_1 = J_3$, $J'_2 = J_1$ and $J'_3 = J_2$. We then have

$$\text{SU}^*(6) \text{ structure: } X' = \frac{1}{2} \kappa^2 (I - \text{vol} - \text{vol}^\# - i\rho + i\rho^\#) \quad (3.35)$$

$$\mathbb{R}^+ \times U^*(6) \text{ structure: } \begin{cases} J' = \frac{1}{2} (-\hat{\rho} + \hat{\rho}^\#) \\ L'_1 = e^{i\hat{\rho}} \cdot T_\mathcal{C} \end{cases} \quad (3.36)$$

where $\Omega = \rho + i\hat{\rho}$. Recall that $\hat{\rho}$ by itself defines an $\text{SL}(3, \mathbb{C})$ structure [30]. Hence in this case, both the $\text{SU}^*(6)$ structure and the $\mathbb{R}^+ \times U^*(6)$ structure are defined by a conventional $\text{SL}(3, \mathbb{C})$ structure. As we will see below, this is actually the generic case. Turning to the integrability conditions, it is easy to see that, in this case, involutivity gives

$$\mathbb{R}^+ \times U^*(6) \text{ structure integrable } \Leftrightarrow \ d\hat{\rho} = 0 \quad (3.37)$$

which is a considerably weaker than integrability of $I$ that we got previously. Imposing the moment map condition implies that in addition $d\rho = 0$ and hence the $\text{SL}(3, \mathbb{C})$ structure is integrable. As expected, since the corresponding $\text{SU}^*(6)$ structures are the same (up to a constant $\text{SU}(2)$ transformation), we thus get the same integrability condition as in (3.34).

4 Classification of ECS and $\text{SU}^*(6)$ structures

In this section we will examine what definition 2 implies for the structure of $L_1$ and of the corresponding $\text{SU}^*(6)$ structures. We will find that the isotropy and reality conditions place strong restrictions on the possible local form of the ECS in terms of natural bundles, such that characterised by two numbers that we refer to as type and class.

4.1 A closer analysis of ECS

First, we define a notion of type similar to that of generalised complex structures defined in [2], and also defined in exceptional geometry in [24]. We then go further and show that ECS are also characterised by a second important property that we call class. It is important to note that, in general, this classification is only local, in that the type of the structure can change over the manifold, just as for generalised complex structures. However, while the type and class of an ECS can change smoothly, as we will see in the following sections, it is not possible to have a smooth class-changing integrable $\text{SU}^*(6)$-structure. We make these definition only for M-theory though a similar analysis could be
made for type IIB. To ensure that all objects defined here are globally well-defined sections of natural geometric bundles, we will work with the flux twisted\textsuperscript{14} Dorfman derivative $L^F$, where $F \in H^3_4(M, \mathbb{R})$ is defined only up to its cohomology class.

**Definition 6.** The *type* of an almost ECS $L_1 \subset E_C$ at a point $p \in M$ is the (complex) codimension of its image under the anchor map. That is, if $a : E \to T$ is the anchor map, naturally extended to the complexified bundles, then

$$\text{type } L_1|_p = \text{codim}_C a(L_1|_p) = 6 - \text{dim}_C a(L_1|_p)$$

We will find that the only allowed types of an ECS are 0 and 3.

We would like to classify the possible forms of $L_1$ based on the criteria set out in definition 2. We will only state the results here and leave the proofs for appendix C. Let us first focus on condition (ii), the isotropy condition that states

$$L_1 \times_N L_1 = 0$$

If we write $V_i = v_i + \omega_i + \sigma_i \in L_1$, then using the formula for the projection onto $N$ around (A.33) we find that the elements of $L_1$ must satisfy

$$v_1 \omega_2 + v_2 \omega_1 = 0$$
$$j \omega_1 \land \omega_2 + j \omega_2 \land \omega_1 = 0$$
$$\omega_1 \land \omega_2 - v_1 \omega \sigma_2 - v_2 \omega \sigma_3 = 0$$

Careful consideration of these equations shows that any exceptional Dirac structure must be of the following form.

**Proposition 1.** Any isotropic subbundle $L \subset E_C$ has the form

$$e^{\alpha + \beta} \cdot (\Delta \oplus S_2 \oplus S_5)$$

where $\alpha \in \Omega^3(M)_C$ and $\beta \in \Omega^6(M)_C$ are arbitrary but fixed, and where $\Delta \subset T_C$, $S_2 \subset \wedge^2 T^*_C$, $S_5 \subset \wedge^5 T^*_C$ satisfy the following conditions. For all $v \in \Delta$, $\omega, \omega' \in S_2$ and $\sigma \in S_5$ we have

$$v \omega = 0 \quad v \omega' = 0 \quad j \omega \land \sigma = 0$$

We now turn our attention to conditions (i) and (iii) in definition 2. One finds that imposing $\text{dim}_C L_1 = 6$ restricts us to type 0, 3 and 6. Then imposing $L_1 \cap L_0 = \{0\}$ excludes the type 6 case. We can therefore summarise the general form of an ECS in proposition 2. To do so, it is helpful to introduce some notation which will be useful both here and later when we discuss the moduli of these structures.

Let $\Delta \subset T_C$ be some subbundle. We define by $\mathcal{F}_p^k(\Delta) \subset \wedge^k T^*_C$ to be the bundle of differential $k$-forms $\phi$ satisfying

$$\phi(x_1, \ldots, x_p, v_1, \ldots, v_{k-p}) = 0 \quad \forall x_1, \ldots, x_p \in \Gamma(T_C), v_1, \ldots, v_{k-p} \in \Gamma(\Delta)$$

\textsuperscript{14}This implies that the generalised tangent bundle is globally isomorphic to $E = T \oplus \wedge^2 T^* \oplus \wedge^5 T^*$. 

\textsuperscript{14}
Note that this defines a filtration of the fibers of $\wedge^k T^*_C$ with
\[
0 = F^k_k(\Delta) \subseteq F^k_{k-1}(\Delta) \subseteq \ldots \subseteq F^k_0(\Delta) \subseteq F^k_{1}(\Delta) := \wedge^k T^*_C
\] (4.9)
where we have defined $F^k_1(\Delta) = \wedge^k T^*_C$ for convenience. We can now summarise the results stated above as follows.

**Proposition 2.** An ECS at $p \in M$ can only be of type 0 or type 3, and their general form is given by
\[
\begin{align*}
\text{type 0:} & \quad e^{\alpha + \beta} \cdot T_C|_p \\
\text{type 3:} & \quad e^{\alpha + \beta} \cdot (\Delta \oplus F^3_2(\Delta))|_p
\end{align*}
\] (4.10)
where $\Delta|_p \subset T_C|_p$ is dimension 3 and $\alpha \in \Omega^3(M)_C$, $\beta \in \Omega^6(M)_C$.

In each case we can also write the form of the line bundle $U_J$ defined by the ECS
\[
U_J|_p = \begin{cases} 
  e^{\alpha + \beta} \cdot \mathbb{C} & \text{type 0} \\
  e^{\alpha + \beta} \cdot F^3_2(\Delta)|_p & \text{type 3}
\end{cases}
\] (4.11)
where comparing with (3.6), for type 0 the leading term is in $\mathbb{C}$, the space of functions at $p$, and for type 3 it is a three-form in $F^3_2(\Delta) \subset \wedge^3 T^*_C$ at $p$. Note that a section $\xi \in \Gamma(F^3_2)$ defines $\Delta$ via the condition that $v \cdot \xi = 0$ for all $v \in \Gamma(\Delta)$. Note also that, for type 3 ECS, the complex 3-form twist $\alpha$ is only defined up to a section of $F^3_1(\Delta)$. Indeed, if $\gamma \in \Gamma(F^3_1(\Delta))$ then, viewed as an adjoint element, one can show that
\[
e^{\alpha + \beta} \cdot (\Delta \oplus F^3_2(\Delta)) = e^{(\alpha + \gamma) + (\beta - \frac{1}{2} \alpha \wedge \gamma)} \cdot (\Delta \oplus F^3_2(\Delta))
\] (4.12)
We will use this freedom to make a particularly simple choice of complex twist in the following.

The objects $\alpha$, $\beta$, $\Delta$ are not generic and are constrained by condition (iv) of definition 2. To find the non-linear conditions imposed by (iv), we will consider the type 0 and type 3 cases separately. Note first that we can rewrite the group action
\[
e^{\alpha + \beta} = e^{c + i\theta} e^{ia + ib}
\] (4.13)
where we have decomposed $\alpha = c + ia$ and $\beta = \bar{c} + i(b - \frac{1}{2} c \wedge a)$ into real and imaginary parts. Since the conditions in definition 2 are preserved by real $E_6(6) \times \mathbb{R}^+$ transformations we expect they will only constrain $a$ and $b$. Starting with the type 0 structure, we can hence assume, without loss of generality, that it has the form.
\[
L_1|_p = e^{ia + ib} \cdot T_C|_p
\] (4.14)
where $a \in \Omega^3(M)_\mathbb{R}$, $b \in \Omega^3(M)_\mathbb{R}$. Condition (iv) of definition 2 is then equivalent to
\[
\text{Tr}(K^3_a) + 6b^2 < 0
\] (4.15)
Here, $K_a : T \to T \otimes \det^* T$ is the map introduced by Hitchin in [30]. Note in particular that (4.15) implies that $\text{Tr}(K^3_a) < 0$ and so $a$ defines an $\text{SL}(3, \mathbb{C})$ structure leading to
Corollary 1. A type 0 ECS at \( p \in M \) is equivalent to an \( \text{SL}(3, \mathbb{C}) \) structure on \( T|_p \), a bounded 6-form, and a generic real \( E_{6(6)} \times \mathbb{R}^+ \) transformation of the form \( e^{c+\tilde{c}} \) where \( c \in \Omega^3(M)_\mathbb{R} \) and \( \tilde{c} \in \Omega^6(M)_\mathbb{R} \).

For type 3, the details of the calculation become more complicated and so we have left them to appendix C and summarise the results here. We can use condition (iv) to put a constraint on \( \dim(\Delta \cap \bar{\Delta}) \). Indeed, we find that we must have

\[
\dim(\Delta \cap \bar{\Delta}) \leq 1 \quad (4.16)
\]

We make the following definition which refines the classification of ECS

Definition 7. The class of an almost ECS \( L_1 \subset EC \) at \( p \in M \) is the (complex) codimension of \( a(L_1 \oplus L_{-1})|_p \). That is

\[
\text{class } L_1|_p = \text{codim}_C a(L_1 \oplus L_{-1})|_p = \text{codim}_C (\Delta + \bar{\Delta})|_p \quad (4.17)
\]

Allowing \( \dim \Delta \) to be 3 or 6, this definition holds for all ECS and it follows from (4.16) that the class can only be 0 or 1.

For class 0 type 3, \( \Delta|_p \) defines a \( \text{GL}(3, \mathbb{C}) \) almost complex structure. In this case, one can use the ambiguity in (4.12) to write any imaginary twist \( i\alpha \) in the decomposition (4.13) as a real twist \( c \). Hence, without loss of generality we can consider

\[
L_1|_p = e^{ib} \cdot \left( \Delta \oplus F^2_1(\Delta) \right)|_p \quad (4.18)
\]

From (C.26), the condition (iv) of definition 2 is then equivalent to

\[
b > 0 \quad (4.19)
\]

where we are using the natural orientation defined by the almost complex structure to define the sign of \( b \). Concretely if \( \xi \) is a section of \( F^3_2(\Delta) \) (which in this case is the space of \((0,3)\)-forms) our convention is that \( i\tilde{c} \wedge \xi \) is a positive six-form. Together \( \Delta \) and \( b \) are stabilised by \( U(1) \times \text{SL}(3, \mathbb{C}) \) and so we have

Corollary 2. A type 3 class 0 ECS at \( p \in M \) is equivalent to a \( U(1) \times \text{SL}(3, \mathbb{C}) \) structure on \( T|_p \) and a generic real \( E_{6(6)} \times \mathbb{R}^+ \) transformation of the form \( e^{c+\tilde{c}} \) where \( c \in \Omega^3(M)_\mathbb{R} \) and \( \tilde{c} \in \Omega^6(M)_\mathbb{R} \).

For class 1, the situation is more complicated. One finds that the action of \( b \) and some parts of \( a \) are not independent. In particular, we can use the ambiguity in (4.12) to remove the 6-form twist and write, without loss of generality

\[
L_1|_p = e^{ia} \cdot \left( \Delta \oplus F^2_1(\Delta) \right)|_p \quad (4.20)
\]

where \( a \) is a section of \( \mathcal{A} \cap \bar{\mathcal{A}} \) where \( \mathcal{A} := \wedge^3 T_c / F^3(\Delta) \). Using the result and notation of (C.26), the constraint is then

\[
i(ja \wedge \xi) \wedge (ja \wedge \bar{\xi}) > 0 \quad (4.21)
\]

We will explain the content of this condition more explicitly in section 4.3. In particular, we will see that together \( \Delta \) and \( a \) are stabilised by \( U(2) \times (\text{GL}(1, \mathbb{R})^2 \rtimes \mathbb{R}) \) and so we have
The 2-form piece implies that for all \( \alpha \) \( \in \Omega^3(M) \), \( \beta \in \Omega^6(M) \), \( \Delta \subset T_\mathbb{C} \), \( \dim \Delta = 3 \). We also have \( a \) and \( b \) as defined in (4.13).

**Corollary 3.** A type 3 class 1 ECS at \( p \in M \) is equivalent to a \( U(2) \times GL(1, \mathbb{R})^2 \) structure on \( T|_p \) and a real \( E_6(6) \times \mathbb{R}^+ \) transformation of the form \( e^{c+\tilde{c}} \) where \( c \in \Omega^3(M) \) and \( \tilde{c} \in \Omega^6(M) \).

Note that in this case, unlike that of type 0 or type 3 class 0, the transformation is not generic in that not all \( e^{c+\tilde{c}} \) transformations define different structures.

We can, thus summarise the classification of almost ECS in the following proposition.

**Proposition 3.** Any almost ECS must take one of the forms in table 1.

| Class | Type | Bundle \( L_1 \) | Constraints | \( G \)-structure on \( T \) |
|-------|------|-----------------|-------------|------------------|
| 0     | 0    | \( e^{a+\beta} \cdot T_\mathbb{C} \) | \( \text{Tr}(K^2_a) + 6b^2 < 0 \) | \( SL(3, \mathbb{C}) \) |
| 0     | 3    | \( e^{a+\beta} \cdot (\Delta \oplus F_2^p(\Delta)) \) | \( \dim(\Delta \cap \tilde{\Delta}) = 0 \), \( b > 0 \) | \( U(1) \times SL(3, \mathbb{C}) \) |
| 1     | 3    | \( e^{a+\beta} \cdot (\Delta \oplus F_2^p(\Delta)) \) | \( \dim(\Delta \cap \tilde{\Delta}) = 1 \), (4.21) | \( U(2) \times (GL(1, \mathbb{R})^2 \ltimes \mathbb{R}) \) |

**Table 1.** Classification of almost ECS in \( E_6(6) \times \mathbb{R}^+ \) geometry for M-theory backgrounds. Here \( \alpha \in \Omega^3(M)_\mathbb{C} \), \( \beta \in \Omega^6(M)_\mathbb{C} \), \( \Delta \subset T_\mathbb{C} \), \( \dim \Delta = 3 \). We also have \( a \) and \( b \) as defined in (4.13).

For this to be true we require \( [\Delta, \Delta] \subseteq \Delta \). In the global type 0 structure this is trivial, but more generally it implies the existence of a generalised involutive distribution where \( \dim \Delta|_p = 0, 3 \). For a global type 3 structure this becomes a three-dimensional foliation. The 2-form piece implies that for all \( v, v' \in \Gamma(\Delta) \)

\[
v \cdot d\omega' - v' \cdot d\omega + v' \cdot (v\cdot(F + d\alpha)) \in \Gamma(F_2^p)\]

A short calculation shows that, provided \( \Delta \) is integrable in the sense of Frobenius, the de Rham differential restricts to \( d : \Gamma(F_2^p) \to \Gamma(F_2^{p+1}) \). Hence, \( \Gamma(F_2^p) \) defines a filtration of the de Rham complex. It is then clear that for all \( v \in \Gamma(\Delta) \), \( \omega' \in \Gamma(F_2^p) \) we have \( v \cdot d\omega' \in \Gamma(F_2^p) \). We further require that \( v' \cdot (v\cdot(F + d\alpha)) \in \Gamma(F_2^p) \) which we can restate as

\[
F_\mathbb{C} = F + d\alpha \in \Gamma(F_2^p)\]
Finally, we need the 5-form term to vanish in (4.22). Since \( \omega' \in \Gamma(F^2_1) \) and \( d\omega \in \Gamma(F^3_1) \), you can show that \( \omega' \wedge (d\omega - \nu_J F_C) \in \Gamma(F^3_1) \). This space trivially vanishes because \( \Delta \) is of dimension (at least) 3. Therefore, the final term vanishes trivially.

Finally, recall the ambiguity (4.12) in the definition of the complex 3-form twist \( \alpha \sim \alpha + \gamma \), for any \( \gamma \in \Gamma(F^3_1) \). Combining this with (4.24), we see that \( F_C \) is only defined up to \( d\gamma \). That is, the complex flux is part of the cohomology group

\[
F_C \in \{ a \in \Gamma(F^1_1) \mid da = 0 \} =: H^1(M, \mathcal{F}_1^*)
\]

We can summarise the results as follows.

**Proposition 4.** An integrable ECS is of the form \( L_1 = e^{\alpha+\beta} \cdot (\Delta + F^3_1(\Delta)) \) for \( \Delta \subset T_C \), \( \alpha \in \Omega^2(M)_{\mathbb{C}} \) and \( \beta \in \Omega^6(M)_{\mathbb{C}} \) as in proposition 3 such that

\[
[\Delta, \Delta] \subseteq \Delta \quad F_C := F + d\alpha \in H^4(M, \mathcal{F}_4^*)
\]

In the case that the ECS is of global type 0, the second condition just implies \( F_C = 0 \), which in turn implies that \( F = -d\alpha \) and hence \( F \) must be in a trivial cohomology class. Since the twisted Dorfman derivative is only defined up to the cohomology, we can take \( F = 0 \) in this case. For global type 3 solutions, the complex flux does not need to vanish and in general, \( F \) can be in a non-trivial cohomology class.

We will determine the conditions on \( \alpha, \beta \) for integrability of the full \( SU^*(6) \) structure in the following sections.

### 4.2 The classification of \( SU^*(6) \) structures

Recall that an \( SU^*(6) \) structure can be described by a triplet of adjoint elements \( J_\alpha \) which form a highest weight \( su(2) \) subalgebra. In passing to the description in terms of ECS, we have broken the explicit \( SU(2) \) symmetry prescribed by this structure. We now take a closer look at the implications of this additional symmetry of the structure.

In the definition of ECS in section 3.1, we took \( L_1 \) to be the \(+i\) eigenbundle of \( J = \kappa^{-1} J_3 \). However, this is just an arbitrary choice and we could choose any one of \( J_1, J_2, J_3 \). In fact, we can choose any linear combination \( J_u = \kappa^{-1} u^\alpha J_\alpha \), where \( u \in \mathbb{R}^3 \), such that

\[
\text{Tr}(J_u J_u) = -1 \quad \Leftrightarrow \quad |u|^2 = 1
\]

We see that we have an \( S^2 \cong \mathbb{CP}^1 \cong SU(2)/U(1) \) of possible ECS for each \( SU^*(6) \) structure. That is, each \( J_u \) defines a \( U^*(6) \times \mathbb{R}^+ \) structure. (Equivalently we can rotate the original \( J_\alpha \) by a global \( SU(2) \) transformation to define a new \( SU^*(6) \) structure \( J'_\alpha \) and set \( J_u = J'_u \).) Recall that we could equally define the structure in terms of eigenbundles. Taking the \(+i\) eigenbundle of \( J_u \) to be \( L_u \), we find

\[
L_u = \begin{cases} 
(1 + \frac{|u|^2 - 1}{2 |u|^2 - 1} \kappa^{-1} J_3) \cdot L_1 & u^3 \neq \pm 1 \\
L_{\pm 1} & u^3 = \pm 1
\end{cases}
\]

We saw that we could describe the integrability of an \( SU^*(6) \) structure as the vanishing of the moment maps (2.10), or equivalently as the integrability of \( L_1 \) and the vanishing
of $\mu_3$. However, since the choice of $J_u$ just corresponds to a global SU(2) rotation of the $J_\alpha$, integrability defined by $J_u$ implies the vanishing of the same (rotated) moment maps. Hence the integrability of the SU*(6) structure can also be written as

$$[L_u, L_u] \subseteq L_u, \quad u^\alpha \mu_\alpha = 0$$

(4.29)

for any $u \in S^2$.

We may wonder whether involutivity of some fixed $L_u$ implies involutivity of all $L_u$. However, it turns out that this condition is not quite strong enough. A quick calculation shows that requiring involutivity for all $u \in S^2$ implies that the intrinsic torsion of the SU*(6) structure satisfies

$$T^{\text{int}}|_{15_{\pm 2} \oplus 15_0} = 0$$

(4.30)

which is a strictly stronger condition than just involutivity of $L_1$ (which just required the $15_{\pm 2}$ part of $T^{\text{int}}$ to vanish). It is then possible to show that

$$L_V X = A(V) X \quad \forall V \in \Gamma(L_1)$$

(4.31)

where $\Gamma(E^*) \ni A \sim T^{\text{int}}|_{15_{\pm 2}}$. From this it is clear that full integrability of the SU*(6) structure is given by further imposing $L_V X = 0$ for all $V \in \Gamma(L_1)$. Defining $X_u$ as the SU*(6) structure defined by an involutive $L_u$, it is easy to see that $L_V X_u = 0$ for all $V \in \Gamma(L_u)$ gives the same condition for any $u \in S^2$. This proves the following statement.

**Proposition 5.** Let $X \in \Gamma(\det T^* \otimes \text{ad} \tilde{F})$ define an SU*(6) structure, equivalently defined by weighted adjoint tensors $J_\alpha$. Then the following are equivalent.

1. The SU*(6) structure is integrable
2. $\forall u \in S^2, \quad u^\alpha \mu_\alpha = 0$
3. $\forall u \in S^2, \quad [L_u, L_u] \subseteq L_u, \quad L_V X_u = 0$ for all $V \in \Gamma(L_u)$
4. $\exists u \in S^2, \quad [L_u, L_u] \subseteq L_u, \quad u^\alpha \mu_\alpha = 0$

Turning to the form of the SU*(6) structure, since $L_u$ defines an ECS for any $u$, it must take the form of one of the cases in table 1. Since a generic $L_u$ mixes together parts of $L_{\pm 1}$, the type may vary as we change $u$. However, since $L_1 \oplus L_{-1}$ is SU(2) invariant, the class will remain fixed as we vary $u$. Hence, the following is well-defined.

**Definition 8.** The class of an SU*(6) structure at point $p \in M$ is the class of any of its associated ECS. That is

$$\text{class } X|_p = \text{class } L_u|_p \quad \forall u$$

(4.32)

It then immediately follows that we only have two categories of SU*(6) structures.

**Proposition 6.** Let $X \in \Gamma(\det T^* \otimes \text{ad} \tilde{F})$ define an SU*(6) structure, equivalently defined by weighted adjoint tensors $J_\alpha$. Then

$$\text{either } \text{class } X|_p = 0 \iff \exists u \quad \text{type } L_u|_p = 0$$

$$\text{or } \text{class } X|_p = 1 \iff \forall u \quad \text{type } L_u|_p = 3$$

(4.33)
The second of these statements is clear. Indeed, if \( \text{codim} \, a(L_1 \oplus L_{-1})|_p > 0 \) then \( \text{codim} \, a(L_u)|_p > 0 \) for any \( L_u \subset L_1 \oplus L_{-1} \). Hence type \( L_a|_p \neq 0 \) so it must be type 3 for all \( u \). The first statement can be seen by applying (4.28) to the class 0, type 3 solution in table 1.

Finally, we can apply the conditions in proposition 5 to find the form of integrable SU\(^*(6)\) structures. For a class 0 structure, choosing a \( u \) such that the \( L_u \) is type 0, then from (3.6) we have

\[
X_u = e^{\alpha + \beta} \cdot h = e^{\tilde{c} + i a + i b} \cdot h
\]

where \( h \) is a function and we have decomposed \( \alpha = c + i a \) and \( \beta = \tilde{c} + i (b - \frac{1}{2} c \wedge a) \). Recall that integrability of \( L_u \) implies

\[
da = dc = 0
\]

It is then relatively straightforward to show that the vanishing of the moment map \( u^a \mu_\alpha \) is equivalent to

\[
d\hat{a} = 0, \quad dh = 0, \quad d \left( \frac{b}{a \wedge \hat{a}} \right) = 0
\]

where \( \hat{a} \) is the conjugate three-form to \( a \) defined by Hitchin [40]. This means that \( a + i \hat{a} \) is a holomorphic three-form defining an integrable SL(3, \( \mathbb{C} \)) structure. Thus we have

**Proposition 7.** An integrable class 0 SU\(^*(6)\) structure is equivalent to

i) an integrable SL(3, \( \mathbb{C} \)) structure \( \tilde{\Omega} = a + i \hat{a} \)

ii) a closed real three-form \( c \) and real six-form \( \tilde{c} \), a complex constant \( h \) and a real constant \( b_0 \) with \( 6b_0^2 < 1 \)

where there exists a \( u \) such that \( X_u \) takes the form (4.34) with \( b = \frac{1}{4} b_0 \, a \wedge \hat{a} \).

Recall that for a type 0 structure integrability implied the \( F \) appearing in the twisted Dorfman derivative was trivial in cohomology. Hence we can choose a twist where \( F = 0 \), and this is why no cohomological data needs to be specified in the proposition. When \( u \) is such that we have a class 0 type 3 ECS, this is not immediately clear, since by proposition 4 the integrability of the ECS allows a non-trivial \( F \). However, once one imposes the moment map condition, so that the SU\(^*(6)\) structure is integrable, one again finds \( F \) is trivial in cohomology.

In the next section we will see explicitly how these ingredients are related to the local SU(2) structure and spinor bilinears defined in section 2.2. This actually gives the simplest way to summarise the geometrical structure determined by an integrable class 1 structure. We find

**Proposition 8.** An integrable class 1 SU\(^*(6)\) structure is equivalent to

i) an SU(2) \( \times \) GL(1, \( \mathbb{R} \)) \( \times \) \( \mathbb{R} \) structure defined by \( \langle \omega_\alpha, \zeta_1, \zeta_2 \rangle \) where we make the identification \( (sw_\alpha, s^{-1} \zeta_1, s^{-1} \zeta_2) \sim (\omega_\alpha, \zeta_1, \zeta_2) \) and \( \zeta_1 + t \zeta_2 \sim \zeta_1 \) for \( (s, t) \in \text{GL}(1, \mathbb{R}) \times \mathbb{R} \), and a function \( e^{3\lambda} \) satisfying (4.53) and (4.55)

ii) a six-form potential \( \tilde{A} \), and a form-form flux \( F \) satisfying (4.54)
For general $u$ we can write the $SU^*(6)$ structure as

$$X_u = e^{A + \tilde{A}} e^{-i\omega_u \Lambda_1} \cdot e^{3\lambda \Omega_u \Lambda_2}$$

(4.37)

with $\omega_u = u^\alpha \omega_u$ and $\Omega_u$ given by (4.52)

Comparing (4.34) and (4.37) for generic $u$, we see that for a class 0 structure the leading term in $X_u$ is a function $h$ while for a class 1 structure it is the three-form $e^{3\lambda \Omega_u \Lambda_2}$. The condition $dh = 0$ means that $h$ is constant and so we the $SU^*(6)$ structure cannot smoothly change from class 0 to class 1 as one moves from point to point in the manifold.

Thus we have

**Corollary 4.** There are no (smooth) class-changing integrable $SU^*(6)$ structures.

One can on the other hand have a smooth type-changing ECS, as it only specifies $X$ up to a local $\mathbb{C}^*$ action.

### 4.3 ECS and $SU^*(6)$ structures and the local $SU(2)$ structure

We are now in a position to see how this classification applies to the general expressions (2.14), (2.15) for the $J_\alpha$ in terms of the local $SU(2)$ structure that were found in [10]. Since every $SU^*(6)$ structure admits a $USp(6)$ structure, the objects defined in (2.14), (2.15) are in fact generic, and so the $SU(2)$ bilinears give a good parameterisation of any ECS.

One can ask under what conditions we have a class 0 $SU^*(6)$ structure. From (4.33) we can see that this equates to the condition that there exists a type 0 $L_u$, or equivalently, from (3.6), that $X_u = e^{\alpha + \beta} h$ for some function $h$. Examining (2.14), (2.15), we see that this is true provided either $\sin \theta$ or $f$ is non-vanishing. That is, we have

$$X = \begin{cases} 1 & \sin \theta = f = 0 \\ 0 & \text{otherwise} \end{cases}$$

(4.38)

We will study these two cases separately.

**Class 0.** Let us first focus on the class 0 case. Using (4.28) and the expressions for the $J_\alpha$ given in (2.14), (2.15), we find that the generic class 0 ECS is given by

$$L_u = e^{A + \tilde{A}} e^{\Lambda_u / f_u} \cdot T_C$$

(4.39)

where we have included the form-field gauge potentials $A$ and $\tilde{A}$ and defined

$$\Lambda_u = \begin{cases} V - i(u^1 - iu^2) \Lambda - i(u^1 + iu^2) \tilde{\Lambda} \\ \tilde{\Lambda} \\ \Lambda \end{cases}$$

$$f_u = \begin{cases} \sin \theta - i f(u^1 - iu^2) / 2(1 + u^3) - i f(u^1 + iu^2) / 2(1 - u^3) \\ f \end{cases}$$

(4.40)

These expressions hold for generic $u$ and generic points on the manifold where $f_u \neq 0$. Wherever

$$u = r^{-1}(\text{Im } f, -\text{Re } f, \sin \theta) \big|_p$$

(4.41)
where \(r^2 = \sin^2 \theta + f \bar{f} \) one has \(f_u = 0 \) and the ECS \(L_u|_p \) degenerates to a type 3 (but still class 0) structure.

Comparing with (4.10) we can read off

\[
\begin{align*}
\alpha &= (A + \text{Re}(\Lambda_u/f_u)) + i \text{Im}(\Lambda_u/f_u) \\
\beta &= \tilde{A} - \frac{1}{2} A \wedge (\Lambda_u/f_u)
\end{align*}
\]

(4.42)

and hence from proposition 3 we have that \(a_u = \text{Im}(\Lambda_u/f_u) \) defines an \(\text{SL}(3, \mathbb{C})\) structure.

As we vary \(u\), this 3-form changes and so we can compare the induced \(\text{SL}(3, \mathbb{C})\) structures. If we do not impose integrability of the SU\(^*(6)\) structure, then \(\sin \theta \) and \(f\) are two independent functions on the manifold and in general, \(\text{Im}(\Lambda_u/f_u) \) will define inequivalent \(\text{SL}(3, \mathbb{C})\) structures for different values \(u\). Imposing SU\(^*(6)\) integrability, however, we find that involutivity of the \(L_u \forall u\) is equivalent to

\[
\begin{align*}
\text{d}(\Lambda/f) &= -F \\
\text{d}(V \csc \theta + \Lambda/f) &= 0 \\
\text{d}(f \csc \theta) &= 0
\end{align*}
\]

(4.43)

In particular, we see that there is some constant \(c\) such that \(f = c \sin \theta\). This is enough to show that the \(\text{SL}(3, \mathbb{C})\) structures induced from \(\text{Im}(\Lambda_u/f_u)\) will be equivalent up to rescaling by a non-vanishing constant. Concretely, one finds a closed holomorphic three-form, as in proposition 7, given by

\[
\tilde{\Omega} = \begin{cases} 
V - \sin \theta \text{Re}(\Lambda/f) + ir \text{Im}(\Lambda/f) & f \neq 0 \\
\Lambda/\sin \theta & f = 0
\end{cases}
\]

(4.44)

Moreover, imposing this we find that the right hand side of (4.41) is a constant vector. Then if (4.41) holds at a point \(p\), it will hold everywhere on \(M\) and the solution will be globally type 3.

Finally, imposing the condition that \(L_V X = 0\) for \(V \in \Gamma(L_1)\) gives

\[
\text{d}(e^{3\lambda} f) = 0
\]

(4.45)

which, combined with (4.43), gives the subset of the Killing spinor equations in (2.6).

**Class 1.** Let us now consider the class 1 case. Here we have \(\sin \theta = f = 0\) and so the expressions for the \(J_\alpha\) in (2.14), (2.15) simplify. In terms of the local SU(2) structure given in terms of the triplet of 2-forms \(\omega_1, \omega_2, \omega_3\), and the 1-forms \(\zeta_1, \zeta_2\), we have

\[
J_\alpha = \frac{1}{2} \kappa \omega_\alpha R + \frac{1}{2} \kappa (\omega_\alpha \wedge \zeta_1 - \omega_\alpha^# \wedge \zeta_1^#)
\]

(4.46)

where we have realigned\(^{15}\) the basis of \(J_\alpha\) relative to [10] so that they match with the SU(2) structure.

\(^{15}\)We send \(J_3 \rightarrow -J_3, J_2 \rightarrow -J_1, J_1 \rightarrow -J_2\). This is an SU(2) rotation so defines an equivalent SU\(^*(6)\) structure.
It is clear then that choosing a $J_u$ is equivalent to choosing some distinguished complex structure $\omega_{u,R} = u^a \omega_a R$, three-form $u^a \omega_a \wedge \zeta_1$ and three-vector $u^a \omega^a_\# \wedge \zeta_1^\#$. Using these, and including the form-field gauge potentials $A$ and $\tilde{A}$, we find that the ECS for any $u$ is

$$L_u = e^{A + \tilde{A}} e^{-i \omega_u \wedge \zeta_1} \cdot [\Delta \oplus \mathcal{F}_1^2(\Delta)]$$

$$\Delta = T_{u,0}^1 \oplus \mathbb{C} \zeta_1^\#$$

(4.47)

(4.48)

where $T_{u,0}^1 \subset T\mathbb{C}$ has a $+i$ eigenvalue under the action complex structure $\omega_{u,R}$ and $\mathbb{C} \zeta_1^\#$ is defined by having zero wedge product with $u^a \omega^a_\# \wedge \zeta_1^\#$. Note that in writing $L_u$, the imaginary exponential is not uniquely determined since there is a kernel for the action on $\Delta \oplus \mathcal{F}_1^2(\Delta)$. The kernel is the space of sections $\mathcal{F}_1^3 \cap \overline{\mathcal{F}_1^3}$, that is elements of the form $\gamma \wedge \zeta_2$ where $\gamma$ is a $(1,1)$ form. Thus we should identify

$$\omega_u \wedge \zeta_1 \sim \omega_u \wedge \zeta_1 + \gamma \wedge \zeta_2$$

(4.49)

Comparing with (4.10) we can read off

$$\alpha = A - i \omega_u \wedge \zeta_1$$

$$\beta = \tilde{A} + \frac{1}{2} i A \wedge \omega_u \wedge \zeta_1$$

(4.50)

and hence we see that the general solution of the constraint (4.21) is $a = -\omega_u \wedge \zeta_1$ up to the equivalence (4.49). It is then relatively straightforward to show that the group that stabilises the pair $(\Delta, a)$, up to the equivalence (4.49), is indeed $U(2) \times (\text{GL}(1, \mathbb{R})^2 \times \mathbb{R})$ as claimed in corollary 3. The $\text{GL}(1, \mathbb{R})^2$ factors act as $\omega_u \rightarrow s \omega_u$, $\zeta_1 \rightarrow s^{-1} \zeta_1$ and $\zeta_2 \rightarrow s' \zeta_2$. The $\mathbb{R}$ action is the shift $\zeta_1 \rightarrow \zeta_1 + t \zeta_2$ for some function $t$, which in (4.49) corresponds to $\gamma = t \omega_u$.

The corresponding $\text{SU}^*(6)$ structure is given by

$$X_u = e^{A + \tilde{A}} e^{-i \omega_u \wedge \zeta_1} \cdot e^{i 3 \lambda} \Omega_u \wedge \zeta_2$$

(4.51)

where

$$\Omega_u = \sqrt{1 - (u^3)^2} \left( \omega_3 + \frac{u^1 - i u^2}{2(1 + u^3)} (\omega_1 + i \omega_2) - \frac{u^1 + i u^2}{2(1 - u^3)} (\omega_1 - i \omega_2) \right)$$

(4.52)

Note that $X_u$ fixes a conventional $\text{SU}(2) \times \text{GL}(1, \mathbb{R}) \times \mathbb{R}$ structure on $T$. Involutivity of $L_u$ $\forall u$ together with $L_V X = 0$ for $V \in \Gamma(L_1)$ implies

$$d(e^{i 3 \lambda} \omega_a \wedge \zeta_2) = 0$$

(4.53)

which is equivalent (given $f = \sin \theta = 0$) to the conditions (2.6). It also implies that $F_C = F - \text{id}(\omega_u \wedge \zeta_1) \in \Gamma(\mathcal{F}_1^3)$ for all $u$ or equivalently

$$(\omega_a \wedge \zeta_2)^\# \cdot d F = \frac{1}{2} \epsilon_{\alpha \beta \gamma} (\omega_\beta \wedge \zeta_2)^\# \cdot d (\omega_\gamma \wedge \zeta_1)$$

(4.54)

$$(\omega_a \wedge \zeta_2)^\# \cdot d (\omega_\beta \wedge \zeta_1) + (\omega_\beta \wedge \zeta_2)^\# \cdot d (\omega_a \wedge \zeta_1) = \frac{2}{3} \delta_{\alpha \beta} (\omega_\beta \wedge \zeta_2)^\# \cdot d (\omega_\gamma \wedge \zeta_1)$$

(4.55)
where here $F = dA$ is the physical flux. Note that the condition (4.53) means that these equations are invariant under $\zeta_1 \to \zeta_1 + t \zeta_2$ as expected. We see, in particular, that the full $\text{SU}(2) \times \text{GL}(1, \mathbb{R}) \times \mathbb{R}$ structure is not integrable as a conventional $G$-structure, although the larger structure defined by the set of 3-forms $\omega_\alpha \wedge \zeta_2$ is.

Including the V-structure $K$, fixes $\zeta_1$ and $\zeta_2$ and hence a global conventional SU(2)-structure. As discussed in [21], the corresponding additional supersymmetry conditions (2.7) imply that the six-dimensional space has is locally a product of $\mathbb{R}$ with a real line bundle over a four-dimensional hyperkähler base. The $\mathbb{R}$ factor is spanned by $\zeta_2^#$ and the fiber of the line bundle by $\zeta_1^#$, while the $\omega_\alpha$ define the hyperkähler structure on the base. The full solution can be interpreted as the back-reacted geometry of an M5 brane wrapped on the line bundle fiber. Thus physically a class 1 SU*(6) structure should be viewed as a particular to six-dimensional generalisation of a four-dimensional hyperkähler structure, that captures the geometry of the wrapped brane.

5 Moduli of H-structures

As previously mentioned, an SU*(6) structure does not define a generalised metric and hence does not define a supergravity background. However, much as the moduli space of a Calabi-Yau locally splits into Kähler and complex moduli, the moduli space of a USp(6) structure splits locally into H-structure and V-structure moduli. Therefore, by studying the moduli of the H-structure, we will be able to retrieve some information about the spectrum of the effective theory on $\mathbb{R}^4 \times 1$. From the classification of the previous section we have shown that H-structures in M theory are characterised by their class and that, furthermore, the class is a global notion in that it is the same at all points on the manifold. Thus we expect two different moduli spaces problems, one for class 0 and one for class 1.

The moduli space $M_H$ was described in [7] in terms of a hyperkähler quotient of the space of SU*(6) structures by generalised diffeomorphisms. This description comes from the condition for integrability given by 2 in proposition 5 as the vanishing of the triplet of moment maps $\mu_\alpha$. Here, we will instead exploit the structure implied by the integrability conditions 4 of proposition 5 and choose a particular ECS. This will lead to explicit statements about the moduli of the structure in terms of natural cohomology groups. It comes at the cost of losing the explicit hyperkähler construction, since only one of the Kähler structures is manifest. However, the moduli space should be the same independent particular choice of ECS that we make. We will see that reinstating the SU(2) symmetry thus implies an interesting structure on the cohomologies defined by these different choices.

Let us first review the hyperkähler geometry of the moduli space as given in [7]. Recall from the discussion around (3.16) that the space of SU*(6) structures $A_H$ has a natural hyperkähler structure. The moduli space $M_H$ is defined to be the space of integrable SU*(6) structures up to generalised diffeomorphisms. From condition 2 in proposition 5, integrability is given by the vanishing of the three maps $\mu_\alpha$ and hence

$$M_H = \{ X \in A_H \mid \mu_\alpha = 0 \} / \text{GDiff} = A_H / \text{GDiff}$$

(5.1)
This construction, called a hyperkähler quotient, keeps the hyperkähler nature of $\mathcal{M}_H$ manifest. In fact $\mathcal{A}_H$ and hence also $\mathcal{M}_H$ has the structure of a hyperkähler cone and the physical moduli space of hypermultiplet scalars is given by

$$\mathcal{M}_{\text{hyper}} = \mathcal{M}_H/\mathbb{H}^* = \mathcal{M}_H/(\text{SU}(2) \times \mathbb{R}^+)$$  \hspace{1cm} (5.2)$$

This is because there are deformations of the SU$^*(6)$ structure that do not deform the generalised metric and hence should not be regarded as physical moduli. Specifically, the SU(2) comes from the ambiguity in the definition of the orthonormal internal spinors $\eta^i$ in (2.1) which can be absorbed into the definition of the external component of the full 11 dimensional spinor. Hence this SU(2) symmetry is related to the R-symmetry of the 5 dimensional $\mathcal{N} = 1$ theory. The $\mathbb{R}^+$ corresponds to shifting the warp factor $\lambda$ by a constant, but this can be absorbed into the definition of the external flat metric. This defines the hyperkähler cone structure of $\mathcal{A}_H$ which becomes the internal SU(2) symmetry of the $J_\alpha$ along with $\mathbb{R}^+$ rescalings of $\kappa$. However, this descends to $\mathcal{M}_H$ since the action of GDiff commutes with the $\mathbb{H}^*$ action.

In general, a hyperkähler cone $\mathcal{M}$ can be viewed as a real cone over a tri-Sasaki space $\mathcal{L}$ \cite{41,42}. This is an SO(3) bundle over the quaternionic Kähler base $\mathcal{M}/\mathbb{H}^*$ and is defined by setting the hyperkähler potential (in our case given by $K$ in (3.16)) to a constant. Selecting some $U(1) \subset \text{SO}(3)$, we can consider the quotient $\mathcal{L}/U(1)$. This defines a Kähler space called the \textit{twistor space}

$$Z = \mathcal{L}/U(1) = \mathcal{M}/\mathbb{C}^*$$  \hspace{1cm} (5.3)$$

The twistor space defines an $S^2$ bundle over the base space $\mathcal{M}/\mathbb{H}^*$ via the following commuting diagram.

$$\begin{array}{ccc}
\mathcal{M} & \rightarrow & Z = \mathcal{L}/U(1) = \mathcal{M}/\mathbb{C}^* \\
\downarrow & & \downarrow \\
\mathcal{M}/\mathbb{H}^* & \rightarrow & \\
\end{array}$$  \hspace{1cm} (5.4)$$

For the moduli space of SU$^*(6)$ structures the associated twistor space $Z_H$ has a natural description in terms of ECS. Indeed, the conditions for integrability given by 4 of proposition 5 allows us to write $\mathcal{M}_H$ in a different, but equivalent way. Fixing some $u \in S^2$, we have

$$\mathcal{M}_H = \{X \in \mathcal{A}_H \mid L_a \text{ involutive}, \ u^\alpha \mu_\alpha = 0\}/\text{GDiff} = \hat{\mathcal{A}}_H/\text{GDiff}$$  \hspace{1cm} (5.5)$$

where $\hat{\mathcal{A}}_H = \{X \in \mathcal{A}_H \mid L_a \text{ involutive}\}$. While this Kähler quotient construction hides the manifest hyperkähler structure, we can now exploit a general result about group actions that preserve a Kähler structure: the space can be viewed as either a Kähler quotient, or a quotient by the complexified group GDiff~\textsubscript{C},\textsuperscript{16} (see for example \cite{43}). We can therefore

\textsuperscript{16}One has to be careful in defining this complexified group since the natural complexification is not well defined. What we mean by GDiff~\textsubscript{C} is the group generated by $p_{\nu}, I_{\nu} \in \Gamma(T\mathcal{A}_H)$, where $I$ is the complex structure on $\mathcal{A}_H$. 

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write the moduli space of H-structures in the convenient form\(^{17}\)

\[ \mathcal{M}_H = \hat{A}_H / \text{GDiff}_\mathbb{C} \]  

(5.6)

Finally, from the discussion around (3.8), we know that choosing an ECS \( L \subset E_{\mathbb{C}} \) defines the \( SU^*(6) \) structure \( X \) up to a complex scaling. Hence, the twistor space can be defined via ECS as

\[ Z_H = \mathcal{M}_H / \mathbb{C}^* = \{ L_u \text{ an ECS} | L_u \text{ involutive} \} / \text{GDiff}_\mathbb{C} = \hat{A}_{\text{ECS}} / \text{GDiff}_\mathbb{C} \]  

(5.7)

where we have defined \( \hat{A}_{\text{ECS}} \) to be the space of integrable ECS.

The space (5.7) is now in a form that allows analysis very similar to the analysis of the moduli of conventional complex structures [35]. In the following sections we will use the deformation theory of ECS to get a local dimension of the twistor space around an arbitrary point. We should highlight that \( Z_H \) is not the moduli space of ECS, but rather is the space of structures satisfying the additional condition that the moment map \( u^\alpha \mu_\alpha \) vanishes. The moduli space of ECS is given by \( \mathcal{M}_{\text{ECS}} = \hat{A}_{\text{ECS}} / \text{GDiff}_\mathbb{R} \) which is infinite dimensional and does not have nice properties. By imposing the vanishing of the moment map, the moduli we find are, in general, moduli of the full \( SU^*(6) \) structure rather than of the associated ECS. Thus, once we have analysed the structure of \( Z_H \), we should project on the \( S^2 \) fiber to recover the physical moduli space \( \mathcal{M}_{\text{hyper}} \). This \( S^2 \) fiber has a natural interpretation as the \( SU(2)/U(1) = S^2 \) of ECS associated to any \( SU^*(6) \) structure, as was laid out previously in section 4.2. Projecting on this \( S^2 \) corresponds to removing one complex modulus from the infinitesimal analysis which we will explain in more detail in the following. Finally we note that the resulting space \( \mathcal{M}_{\text{hyper}} \) should be independent of the choice of \( u^\alpha \) we made in defining (5.7).

5.1 Deformation theory and moduli of \( SU^*(6) \) structures

The form of (5.7) means we can understand the local structure of \( Z_H \) by analysing the deformation theory of ECS. By identifying deformations up to local complexified generalised diffeomorphisms, we will find a finite-dimensional result in terms of natural cohomology groups. The dimension of these gives a local dimension of \( Z_H \) which we can use to find the moduli of \( \mathcal{M}_H / \mathbb{H}^* \) by removing a particular complex modulus associated to the \( S^2 \) fiber of \( Z_H \to \mathcal{M}_H / \mathbb{H}^* \). Let us start by outlining the general deformation theory.

At a point \( p \in M \), the space of almost ECS is given by the coset

\[ Q_{\mathbb{R} \times SU^*(6)} = E_{6(6)} \cdot J_0 = E_{6(6)}/U(6) = E_{6,\mathbb{C}}/P \]  

(5.8)

where \( J_0 \) is some fixed ECS and \( P \) is the parabolic subgroup that stabilises \( L_1 \)

\[ P = \text{Stab} L_1 = \text{GL}(6, \mathbb{C}) \times \mathbb{C}^{21} \]  

(5.9)

\(^{17}\)In fact, one really needs to consider the space \( \hat{A}_H^{ps} \) of ‘polystable’ points in \( \hat{A}_H \). This has interesting links to geometric invariant theory but we won’t go into more detail. Here, we are just interested in the infinitesimal structure of the moduli space for which this technicality is not important. The links between ECS and geometric invariant theory were explored in more detail in [24, 25].
By considering all possible \( p \in M \) we find that \( J \) must be a section of the bundle
\[
Q_{\mathbb{R}^+ \times U^*(6)} \longrightarrow Q_{\mathbb{R}^+ \times U^*(6)} \longrightarrow M
\]  
(5.10)

Infinitesimally, the deformations are given by sections of the bundle
\[
\mathfrak{e}^+_{6,\mathbb{C}}/p \longrightarrow Q_{\mathbb{R}^+ \times U^*(6)} \longrightarrow M
\]  
(5.11)

In practice, we choose an embedding \( \mathfrak{e}^+_{6,\mathbb{C}}/p \hookrightarrow \mathfrak{e}^+_{6,\mathbb{C}} \). Then, given some section \( A \in \Gamma(Q_{\mathbb{R}^+ \times U^*(6)}) \), we can define the deformed \( L_1 \) bundle \( L'_1 \) by
\[
L'_1 = (1 + \epsilon A) \cdot L_1
\]  
(5.12)

for some small parameter \( \epsilon \ll 1 \) and we view \( A \) as a map \( L_1 \to E_{\mathbb{C}}/L_1 \). Through the embedding \( \mathfrak{e}^+_{6,\mathbb{C}}/p \hookrightarrow \mathfrak{e}^+_{6,\mathbb{C}} \), we get an embedding \( E_{\mathbb{C}}/L_1 \hookrightarrow E_{\mathbb{C}} \).

By assumption, the original bundle \( L_1 \) is involutive and hence the intrinsic torsion vanishes. For a generic deformation \( A \), \( L'_1 \) will have some non-zero intrinsic torsion that appears as an obstruction to the involutivity of the bundle with respect to the (flux-twisted) Dorfman derivative. By expanding the involutivity condition to first order in \( \epsilon \), we find a map
\[
d_2 : \Gamma(Q_{\mathbb{R}^+ \times U^*(6)}) \longrightarrow \Gamma(W_{\text{int}}^{\mathbb{R}^+ \times U^*(6)})
\]  
(5.13)

The integrable deformations are determined by the kernel of this map. That is, \( L'_1 \) is integrable if and only if \( A \in \ker d_2 \).

We also have a notion of trivial deformation given by complexified generalised diffeomorphisms. To linear order, these are given by the action of the Dorfman derivative along some complexified vector \( V \in \Gamma(E_{\mathbb{C}}) \). That is, \( L'_1 \) is said to be a trivial deformation if
\[
L'_1 = (1 + \epsilon L^F_v)L_1 \quad \text{some } V \in \Gamma(E_{\mathbb{C}})
\]  
(5.14)

This defines a second map
\[
d_1 : \Gamma(E_{\mathbb{C}}) \longrightarrow \Gamma(Q_{\mathbb{R}^+ \times U^*(6)})
\]  
(5.15)

where a deformation \( A \) is trivial if an only if \( A \in \im d_1 \). It is an easy check that any trivial deformation is involutive to linear order in \( \epsilon \). Indeed,
\[
L^F_{W + \epsilon L^F_W} (W' + \epsilon L^F_W W') = L^F_{W'} + \epsilon (L^F_{L^F_W} W' + L^F_W L^F_W W') + O(\epsilon^2)
\]  
(5.16)

This implies that \( d_2 \circ d_1 = 0 \), and hence we have a three-term complex
\[
\Gamma(E_{\mathbb{C}}) \xrightarrow{d_1} \Gamma(Q_{\mathbb{R}^+ \times U^*(6)}) \xrightarrow{d_2} \Gamma(W_{\text{int}}^{\mathbb{R}^+ \times U^*(6)})
\]  
(5.17)

where the cohomology of (5.17) gives the tangent space \( T_J \mathcal{Z}_H \).

To get the physical moduli, we need to remove the modulus associated to the \( S^2 \) fiber. From section 4.2, and particularly the discussion around (4.28), it is clear that this \( S^2 \) is generated by \( \eta(\kappa^{-1} J_+) \) for some constant \( \eta \in \mathbb{C} \) and that deformations of this kind are always integrable provided we start at a fully integrable \( \text{SU}^*(6) \) structure. We must therefore remove the complex modulus associated to the image of \( \kappa^{-1} J_+ \) under the projection \( \text{ad} \tilde{F}_C \to Q_{\mathbb{R}^+ \times U^*(6)} \).
5.2 Class 0 structures

A generic ECS associated to a class 0 SU\(^*(6)\) structure is of type 0,\(^{18}\) and so is of the form

\[ L_1 = e^{\alpha + \beta} \cdot T_C \quad \alpha \in \Omega^3(M)_C, \beta \in \Omega^6(M)_C \]  

(5.18)

The conditions arising from definition 2 put algebraic conditions on \(\alpha, \beta\) which we derived in (4.15). In particular, we saw that Im \(\alpha\) defines an \(\text{SL}(3, \mathbb{C})\) structure as in [30]. It is easy to see from (4.26) that this is an integrable \(\mathbb{R}^+ \times U^*(6)\) structure iff \(d\alpha = 0\).

To study the deformations we can choose the following embeddings:

\[ E_C / L_1 = \wedge^2 T_C^* \oplus \wedge^5 T_C^* \]  

(5.19)

\[ \Omega_{\mathbb{R}^+ \times U^*(6)} = \wedge^3 T_C^* \oplus \wedge^6 T_C^* \]  

(5.20)

Then a generic deformation of \(L_1\) of the form (5.18) will be

\[ L'_1 = (1 + \epsilon(a + b))L_1 = e^{\alpha + \beta + \epsilon(a + b)}T_C \]  

(5.21)

where the formula on the right hand side is to be taken to first order in \(a, b\), and where \(b = b - \frac{1}{2}a \land \alpha\). From this it is clear that

\[ L'_1 \text{ integrable } \iff \text{ da = 0 } \]  

(5.22)

since the condition \(db = 0\) is trivial. We then want to consider when a deformation is trivial. That is, when we can write it in the form\(^{19}\)

\[ L'_1 = (1 + \epsilon V)L_1 \quad \text{some } V \in E_C \]  

(5.23)

Writing \(V = e^{\alpha + \beta}(V + \omega + \sigma)\), we find that the trivial \(L'_1\) can be written as

\[ L'_1 = e^{\alpha + \beta - d\sigma - d\tilde{\sigma}} T_C \]  

(5.24)

where \(\tilde{\sigma} = \sigma + \frac{1}{2}a \land \omega\). Hence, the deformation is trivial if and only if \(a, b\) are exact. From this it is clear to see that the deformations are counted by the complex de Rham cohomology groups

\[ T_J Z_H = H^3(M, \mathbb{C}) \oplus H^6(M, \mathbb{C}) \]  

(5.25)

We now must remove the modulus associated to \(J_+\) to find the physical moduli. To do so, we need to know how \(\kappa^{-1} J_+\) projects onto \(\Omega_{\mathbb{R}^+ \times U^*(6)} = \wedge^3 T_C^* \oplus \wedge^6 T_C^*\). Fortunately, this projection is quite simple and we just take the 3 and 6-form components of \(e^{-a - \beta} \kappa^{-1} J_+ e^{\alpha + \beta}\). Moreover, since we have chosen our representative ECS to be class 0, one can show that the 6-form component in particular never vanishes. Hence, the associated modulus we should remove is a particular combination of classes in \(H^3\) and \(H^6\) which

\(^{18}\)Generic in the sense that any the space of \(L_1\) with non-surjective projection onto \(T\) are measure 0 in the Grassmannian of all \(L_1\).

\(^{19}\)As we saw in section 4.1, the flux must be in the trivial cohomology class for class 0 structures. Hence we can use the untwisted Dorfman derivative in this case.
are related through the SL(3, $\mathbb{C}$) structure, Im $\alpha$. We can use this element to write any 6-form deformation in terms of 3-form deformations and write the physical moduli as

$$\text{moduli} = (H^3(M, \mathbb{C}) \oplus H^6(M, \mathbb{C}))/[J_+] \simeq H^3(M, \mathbb{C})$$

(5.26)

As a sanity check, $H^3(M, \mathbb{C})$ has a natural symplectic structure and hence must be $2n$ complex dimensional. It is therefore $4n$ real dimensional - the required dimension of a quarternionic Kähler space.

One may ask whether we would obtain the same result if we had taken our representative ECS to be of type 3. This will follow from the analysis we do in the next section.

5.3 Class 1 structures

For class 1 solutions, all associated ECS are of type 3. We therefore want to understand the deformations of

$$L_1 = e^{\alpha + \beta} \cdot (\Delta \oplus \mathcal{F}^2_1(\Delta))$$

(5.27)

We will keep $\Delta$ general for now, and hence the results of this section apply to class 0 type 3 as well. For convenience, we will define a dual filtration of multivectors

$$\mathcal{F}_k^p(\Delta) \subset \wedge^k T^*_C$$

given by

$$\xi \cdot \phi = 0 \quad \text{for all} \quad \xi \in \mathcal{F}_k^p(\Delta) \quad \text{and for all} \quad \phi \in \mathcal{F}_k^p(\Delta).$$

It is possible to show that one can choose the following for the quotient spaces.

$$E_C/L_1 = \left(T_C/\mathcal{F}_0^1\right) \oplus \left(\wedge^2 T^*_C/\mathcal{F}_1^2\right) \oplus \wedge^3 T^*_C$$

$$\Omega_{\mathbb{R}^+ \times U(6)} = \left[\left(T_C/\mathcal{F}_0^1\right) \otimes \left(T_C/\mathcal{F}_1^1\right)\right] \oplus \left(\wedge^3 T_C/\mathcal{F}_0^3\right) \oplus \left(\wedge^3 T^*_C/\mathcal{F}_1^3\right) \oplus \wedge^6 T^*_C$$

(5.28)

(5.29)

While these spaces may seem confusing at first, things are made easier by choosing some space $\Sigma \subset T_C$ that is complement to $\Delta$. If the structure is class 0 then $\Delta \cap \bar{\Delta} = 0$ and so there is a canonical choice of $\Sigma = \bar{\Delta}$. Interestingly, as we will see in section 6, there is a canonical choice of $\Sigma$ even when $\Delta \cap \bar{\Delta} \neq 0$. We can use this split $T_C = \Delta \oplus \Sigma$ to simplify the quotients to

$$E_C/L_1 = \Sigma \oplus (\Sigma^* \otimes \Delta^*) \oplus \wedge^2 \Delta^* \oplus \wedge^5 T^*$$

$$\Omega_{\mathbb{R}^+ \times U^*(6)} = [\Sigma \otimes \Delta^*] \oplus \wedge^3 \Sigma \oplus \wedge^3 \Delta^* \oplus (\wedge^2 \Delta^* \otimes \Sigma^*) \oplus \wedge^6 T^*$$

(5.30)

(5.31)

The final result should be independent of this choice of splitting and so we will work with the general form (5.28), (5.29).

An important consideration to make in the type 3 case is the possibility of non-trivial flux. As we saw in proposition 4, the complex flux locally defined by $d\alpha$ does not need to vanish. Instead, it falls into some, possibly non-trivial, cohomology class in $H^4(M, \mathcal{F}_1^*)$. This, in turn, implies that the physical flux $F$ need not be in a trivial cohomology class. As we will discuss in the following section, the cohomology class of $F$ represents something physical, related to the number of M5 branes wrapping a cycle. In this case, it is easiest to work with the flux-twisted Dorfman derivative.\textsuperscript{20} We will find that the moduli are therefore

\textsuperscript{20}The expression for the flux-twisted Dorfman derivative is given in appendix A. This formulation of generalised geometry is equivalent to the original formulation with flux-twisted bundles.
counted by the cohomology of a ‘flux-twisted’ differential. To find such a differential that squares to 0, it will be convenient to work with the complex-flux twisted Dorfman derivative \( L^F \) and consider deformations of the untwisted bundle \( \tilde{L}_1 = \Delta \oplus F^1_1(\Delta) \). This has the same quotient as (5.28), (5.29).

Consider a general deformation element \( R = r + \Xi + \theta + \tau \in \Gamma(\Omega_{R+U^*}(6)) \) where \( r \in \Gamma([((T_\mathcal{C}/\mathcal{S}^1_0) \otimes (T_\mathcal{C}/F^1_0)], \Xi \in \Gamma(\Lambda^3 T_\mathcal{C}/\mathcal{S}^2_0), etc. We then have the deformed bundle

\[
\tilde{L}'_1 = (1 + R) \tilde{L}_1 = e^{\theta + \tau}(1 + r + \Xi) \cdot (\Delta + F^2_1(\Delta))
\]

What are the conditions for \( \tilde{L}'_1 \) to be involutive under \( L^F \)? We will leave the detailed calculation to the appendix and for now just note that the moduli are controlled by two cohomology groups related to \( \Delta \). First, since \( \Delta \) is involutive with respect to the Lie bracket, this defines a Lie algebroid and has an associated differential

\[
d_\Delta : \Lambda^p(T^*_\mathcal{C}/F^1_1) \longrightarrow \Lambda^{p+1}(T^*_\mathcal{C}/F^1_1) \quad d^2_\Delta = 0
\]

If we take \( i : \Delta \hookrightarrow T_\mathcal{C} \) to be the natural inclusion, then \( i^* : T^*_\mathcal{C} \rightarrow (T^*_\mathcal{C}/F^1_1) \). We can define the differential above via \( i^* \circ d = d_\Delta \circ i^* \), where we take the natural extension of \( i^* \) to \( \Lambda^p T^*_\mathcal{C} \). This will define cohomology groups which we will denote by \( H^p_\mathcal{A} \). We will further denote by \( H^p_\mathcal{A}(M, B) \) the cohomology group of \( \Lambda^p(T^*_\mathcal{C}/F^1_1) \) evaluated in the bundle \( B \).

The second cohomology group of interest is defined in terms of the filtration \( F^k_\mathcal{C}(\Delta) \). Recall that \( d : F^p_k(\Delta) \rightarrow F^{p+1}_k(\Delta) \) if \( \Delta \) is an integrable distribution. Hence, the de Rham differential descends to the following complex.

\[
\left( \Lambda^1 T^*/F^1_k \right) \xrightarrow{d} \left( \Lambda^2 T^*/F^2_k \right) \xrightarrow{d} \ldots \xrightarrow{d} \left( \Lambda^6 T^*/F^6_k \right)
\]

We then denote the cohomology groups associated to this complex as \( H^p(M, F^*, F^*_k) \).

(It is probably worth noting that neither of these cohomologies are the basic cohomology of foliated spaces defined in e.g. \([44]\).)

After a lengthy calculation, one finds that the deformations are counted by the cohomology of a differential that we will label \( d_{\Delta,F} \) which creates the following complex

\[
\Gamma \left( \left( T_\mathcal{C}/\mathcal{S}^1_0 \right) \oplus \left( \Lambda^2 T_\mathcal{C}/F^1_2 \right) \oplus \Lambda^3 T_\mathcal{C} \right) \xrightarrow{d_{\Delta,F}} \Gamma \left( \left( \Lambda^1 T_\mathcal{C}/\mathcal{S}^2_0 \right) \oplus \left( T_\mathcal{C}/\mathcal{S}^1_0 \right) \otimes (T_\mathcal{C}/F^1_0) \right) \oplus \left( \Lambda^3 T_\mathcal{C}/F^1_2 \right) \oplus \Lambda^6 T_\mathcal{C} \]

\[
\xrightarrow{d_{\Delta,F}} \Gamma \left( \left( \Lambda^3 T_\mathcal{C}/\mathcal{S}^3_0 \right) \otimes (T_\mathcal{C}/F^1_0) \right) \oplus \left( T_\mathcal{C}/\mathcal{S}^1_0 \right) \otimes \Lambda^2 (T_\mathcal{C}/F^1_0) \right) \oplus \left( \Lambda^4 T_\mathcal{C}/F^1_2 \right)
\]

If we take \( R = \Xi + r + \theta + \tau \in \Gamma(\Omega_{R+U^*}(6)) \), and \( V = v + \omega + \sigma \in \Gamma(E_\mathcal{C}/L_1) \), then the closure conditions are\(^{21}\)

\[
0 = d_\Delta \Xi
\]

\[
0 = d_\Delta r - j\Xi \cdot j^2 F_\mathcal{C}
\]

\[
0 = d\theta - r \cdot F_\mathcal{C}
\]

\(^{21}\)The definition of \( j\Xi \cdot j^2 F_\mathcal{C} \) can be found in appendix A.
and the exactness conditions are

\[ r = d_{\Delta}v \]  
\[ \theta = d\omega - v_{,\hat{F}_{\mathcal{C}}} \]  
\[ \tau = d\sigma + \omega \wedge F_{\mathcal{C}} \]

(5.39)  
(5.40)  
(5.41)

We are implicitly taking projections onto relevant quotient spaces where needed above. It is an easy check to see that \( d^2_{\Delta,F} = 0 \). If \( F_{\mathcal{C}} \) is in a trivial cohomology class in \( H^4(M, \mathcal{F}_{\mathcal{C}}^*) \), which is true for class 0 backgrounds in particular, then the deformations are counted by

\[ T_J \mathcal{Z}_H = H^0_{\Delta}(M, \Lambda^3 T_{\mathcal{C}}/\mathfrak{g}_2^3) \oplus H^1_{\Delta}(M, T_{\mathcal{C}}/\mathfrak{g}_6^1) \oplus H^3(M, \Lambda^* T_{\mathcal{C}}/\mathcal{F}_1^*) \oplus H^6_0(M, \mathbb{C}) \]  

(5.42)

To find the physical moduli, we need to remove the modulus associated \( J_+ \). Again, this is done by finding the projection of \( \kappa^{-1}J_+ \) onto the space (5.29). The precise form of this projection is complicated but one can show that the projection onto the following space is always non-vanishing

\[ \Lambda^3 \Delta^* \simeq \Lambda^3 T^*/\mathcal{F}_2^3 \subseteq \Lambda^3 T^*/\mathcal{F}_1^3 \subseteq \Omega_{\mathbb{R}^+ \times U^*(6)} \]

(5.43)

In the first equality we have chosen a decomposition \( T = \Delta + \Sigma \). We can therefore use \( J_+ \) to remove deformations along \( \Lambda^3 \Delta^* \) to obtain the physical moduli of the background.

### 5.4 Exceptional Dolbeault operators

The moduli found in the previous sections determine the moduli of all structures of constant type. This works well for class 1 \( SU^*(6) \) structures where the notion of type is unambiguous. However, as we noted in section 4.2, the type of an ECS associated to a class 0 \( SU^*(6) \) structure is not uniquely specified. Although it is generically type 0 there are two points on the \( S^2 \) of structures where it becomes type 3. We would therefore like to characterise the moduli in a way that is independent of the type of particular ECS used to analyse the problem, and treats both class 0 and class 1 in a single formalism. This will lead us to defining an ‘exceptional Dolbeault operator’ whose cohomology groups then capture the moduli.

To allow analysis for arbitrary type, we would like to be able to find the deformations of \( L_u \) for arbitrary \( u \in S^2 \). Recall from proposition 5 that integrability can be defined in terms of any \( L_u \) and hence our results should be independent of this choice. Being able to find the deformations requires a ‘nice’ choice of embedding \( E_{\mathcal{C}}/L_u \rightarrow E_\mathcal{C} \), and \( \epsilon_{6,C}/\mathfrak{p}_u \leftrightarrow \epsilon_{6,C} \). Fortunately, one such nice embedding is naturally selected by the \( U^*(6) \) structure independent of class. Decomposing into eigenbundles of \( J_u \), one finds

\[ E_{\mathcal{C}} = \mathfrak{x}_1 \oplus \mathfrak{x}_{-1} \oplus \Lambda^2 \mathfrak{x}^* \]

(5.44)

\[ \text{ad} \tilde{F}_{\mathcal{C}} = \text{ad} P_{\mathbb{R}^+ \times U^*(6)} \oplus \Lambda^3 \mathfrak{x}_{-1}^* \oplus \Lambda^6 \mathfrak{x}_{-2}^* \oplus \Lambda^3 \mathfrak{x}_1^* \oplus \Lambda^6 \mathfrak{x}_{+2}^* \]

(5.45)

\[ W_{\text{int}}^{\mathbb{R}^+ \times U^*(6)} = \Lambda^4 \mathfrak{x}_{-2} \oplus \Lambda^4 \mathfrak{x}_2^* \]

(5.46)
where $\mathcal{X}$ is a bundle that transforms in the 6 of $SU^*(6)$. The subscript denotes the $U(1)$ charge under $J_u$, so $\mathcal{X}_1 \simeq L_u$. A natural choice of embeddings is then

$$E_C/L_u = \Lambda^2 \mathcal{X}^* \oplus \Lambda^5 \mathcal{X}_{-1} \quad \mathcal{O}_{R^+ \times U^*(6)} = \Lambda^3 \mathcal{X}_{-1} \oplus \Lambda^6 \mathcal{X}_{-2}$$

(5.47)

We assume that we start from a fully integrable $SU^*(6)$ structure and hence there exists a torsion-free compatible connection $D$. Using (3.13) with vanishing torsion, we know that we can replace the definitions of $d_1, d_2$ in terms of the Dorfman derivative with expressions involving $L_D^V$. This means that we can write the maps $d_1, d_2$ in terms of the connection $D$. Moreover, viewing $D : \Gamma(T) \to \Gamma(E^* \otimes T)$, we can decompose $E^*$ into $J_u$ eigenbundles. The compatibility of $D$ implies that it is consistent to define a decomposition of $D$ as

$$D = D_u + D_{-u} + D_0$$

(5.48)

where $D_{au} = \pi_n D$ where $\pi_n$ is the projection of $E^*$ to the subspace with $J_u$ charge $n$. Note that, while $D_{\pm u}$ depend on the choice of $L_u \subset L_1 \oplus L_{-1}$, the operator $D_0$ is independent of that choice.

With these decompositions, we find that the complex (5.17) can be written

$$\Gamma(\Lambda^2 \mathcal{X}^*) \xrightarrow{D_{-u}} \Gamma(\Lambda^3 \mathcal{X}_{-1}) \xrightarrow{D_{-u}} \Gamma(\Lambda^4 \mathcal{X}_{-2})$$

(5.49)

$$\Gamma(\Lambda^5 \mathcal{X}_{-1}) \xrightarrow{D_{-u}} \Gamma(\Lambda^6 \mathcal{X}_{-2})$$

Note that the involutivity of $L_u$ implies that $D_{-u}^2 = 0$. In fact, it is possible to show that $L_u$ defines a Lie algebroid and that $D_{-u}$ is the associated differential

$$D_{-u} : \Lambda^p \mathcal{X}_q^* \longrightarrow \Lambda^{p+1} \mathcal{X}_{q-1}$$

(5.50)

In full generality, not much can be said about the cohomology of (5.49) without more knowledge of the maps $D_{-u}, D_0$. However, if we make the following assumption, we can give a generic result about the moduli of the $SU^*(6)$ structures.

**Definition 9.** $D_0, D_{-u}$ are said to satisfy the exceptional $\partial \bar{\partial}$-lemma if they satisfy the following

$$\text{im} \ D_0 \cap \ker \ D_{-u} \subseteq \text{im} \ D_{-u} D_0$$

(5.51)

We show in appendix C that provided the exceptional $\partial \bar{\partial}$-lemma holds, and $D_0$ is a cochain homomorphism, then the cohomology $\mathcal{H}$ of the complex (5.17) is given by the cohomology of $D_{-u}$. More precisely we have

$$\mathcal{H} = H^3_{D_{-u}} \oplus H^6_{D_{-u}}$$

(5.52)

Recall that the cohomology of (5.17) is precisely the tangent space to the twistor space $\mathcal{H} = T_J \mathcal{Z}_H$. To find the physical moduli, we therefore need to remove the modulus associated to $J_+$. This is particularly easy with the embeddings chosen since $\Lambda^6 \mathcal{X}_{-2}$ is precisely the line bundle generated by $J_+$. Hence, the deformations associated to $J_+$ are simply $H^6_{D_{-u}}$ and we get the following result

- 33 -
**Proposition 9.** Provided a background satisfies the generalised \( \partial\bar{\partial} \)-lemma, the hypermultiplet moduli are given by \( H^3_{D_{-u}} \).

The definition of \( D_{-u} \) as the differential associated to the Lie algebroid \( L_u \) mirrors the properties of the Dolbeault operator \( \partial \) with the complex distribution \( T^{1,0} \). Moreover, through complex conjugation, one can show that \( D_u \) squares to 0, is the differential associated to \( L_{-u} \), and \( D_u = \overline{D}_{-u} \). We therefore make the following definition.

**Definition 10.** The exceptional Dolbeault operators associated to the integrable ECS \( J_u \) are the operators \( D^\pm_u \).

It is interesting to note that, if the \( SU^*(6) \) structure is fully integrable, then \( L_u \) is involutive for all \( u \in S^2 \). This implies that we have a set of differentials \( D_u \) labelled by \( u \in S^2 \simeq \mathbb{CP}^1 \). Moreover, as the analysis above was independent of the choice of \( u \), these differentials should be quasi-isomorphic.\(^{22}\) Finally, we note that, unlike conventional Dolbeault operators, we do not have \( D(uD_{-u}) = 0 \). Instead, using the fact that any connection must satisfy \( D \times_N D = 0 \), we have

\[
(D_u)^2 = 0 \quad (D_{-u})^2 = 0 \quad D_uD_{-u} + D_{-u}D_u \sim (D_0)^2 \quad (5.53)
\]

This should be expected as \( L_u \oplus L_{-u} \) does not define a Lie algebroid, and hence we cannot form a differential out of \( D_u + D_{-u} \).

### 5.4.1 Example: Calabi-Yau and class 0

We return to the explicit example of compactification on a Calabi-Yau. Following the method set out above, we decompose \( E_C, \text{ad} \tilde{F}_C \) into eigenspaces of \( J \). This is outlined in appendix C but for now, we just note that there is an isomorphism between this complex and the following, using the holomorphic three-form \( \Omega \).

\[
\begin{array}{ccc}
\Omega^2(M)_C & \xrightarrow{\partial} & \Omega^3(M)_C \\
& \searrow D_0 & \swarrow D_0 \\
\Omega^5(M)_C & \xrightarrow{\partial} & \Omega^6(M)_C
\end{array}
\]

(5.54)

where \( D_0 = \Omega^\# \cdot \bar{\partial} + \bar{\Omega}^\# \cdot \partial \). One can show that this satisfies the generalised \( \partial\bar{\partial} \)-lemma and hence the moduli are counted by

\[
H^3_\partial(M) \quad (5.55)
\]

Note that this contains all the hypermultiplet moduli of deformations of the Calabi-Yau manifolds, namely the complex structure moduli \( H^{2,1}_\partial \) and the deformations of the three-form and six-form potential \( A \) and \( \tilde{A} \). The latter lie in de Rham cohomology classes \( H^3_\partial(M, \mathbb{R}) \) and \( H^6_\partial(M, \mathbb{R}) \). Using the holomorphic three-form these can be associated with \( H^{3,0}_\partial, H^{0,3}_\partial \) and \( H^{1,2}_\partial \) thus filling out \( H^3_\partial(M, \mathbb{R}) \).

\(^{22}\)This should at least hold in the sense that \( H^3_u \cong H^3_\partial \).
This was calculated for $J = \tilde{J}_3$, but as was noted above, we should be able to do the analysis for arbitrary $u \in S^2$. Since the Calabi-Yau is class 0, the generic ECS for a Calabi-Yau is in fact type 0. One can use this to show that the generic differential $D_u$ will be quasi-isomorphic to the de Rham differential. That is

$$D_u \sim \begin{cases} d & u^3 \neq \pm 1 \\ \partial & u^3 = 1 \\ \bar{\partial} & u^3 = -1 \end{cases}$$

(5.56)

Therefore, either using the results of section 5.2 or the results from (5.52), at a generic point $u \in S^2$, the moduli will be counted by $H^3_d(M, \mathbb{C})$. The fact that these calculations give the same result, i.e. the quasi-isomorphism of the various $D_u$, is equivalent to Hodge’s Theorem on a Calabi-Yau manifold.

These arguments can be easily extended to a generic class 0 background, which by corollary 1 differs from the above by a 6-form and an irrelevant $E_6(6) \times \mathbb{R}^+ \times \mathbb{R}^+$ twist. It is easy to then incorporate the 6-form into the isomorphisms (5.54) and into the definition $D_0$. The generalised $\partial\bar{\partial}$-lemma is then equivalent to the conventional $\partial\bar{\partial}$-lemma for the associated $SL(3, \mathbb{C})$ structure. If this is satisfied then $H^3_{D-u}$ equals $H^3_0(M) = H^3_d(M, \mathbb{C})$ depending on the choice of $u$.

6 Hypermultiplet moduli for Minkowski backgrounds

We have showed in the previous section how to calculated the infinitesimal moduli for an arbitrary integrable M theory H-structure $J_\alpha$. As we discussed in section 2.2 this should allow us to calculate the hypermultiplet moduli of a general supersymmetric Minkowski compactification.

Recall that the full supersymmetric background includes a compatible V-structure defined by a generalised vector $K$. Together $(J_\alpha, K)$ define a generalised metric encoding the physical metric and form-field potentials, and supersymmetry implies the background satisfies the supergravity equations of motion. However, there are well-known no-go theorems [11, 32–34] that exclude compact solutions with non-zero flux, so that the only allowed compact background is, in our case, a Calabi-Yau manifold, although non-compact backgrounds are also of significant interest, such as for geometrical engineering.

The basic way to avoid the no-go theorems is to include sources for the fluxes coming from branes and orientifold planes. Thus generically we should consider deformations on spaces with boundaries where the sources have been removed. However, it is also possible that the sources enter only in the V-structure equations, such that the H-structure remains well defined even at the source. To see how this can work, recall that, in terms of bilinears, a generic type 0 structure had the form

$$L_u = e^{\alpha+\beta} \cdot T_C$$

(6.1)
with $\alpha$ and $\beta$ given by

\[
\alpha = (A + \text{Re}(\Lambda_u/f_u)) + i \text{Im}(\Lambda_u/f_u)
\]
\[
\beta = \tilde{A} - \frac{1}{2} A \wedge (\Lambda_u/f_u)
\]

We see that the ECS does not determine the gauge potentials $A$ and $\tilde{A}$ but only combinations of potential and bilinear such as $A + \text{Re}(\Lambda_u/f_u)$. It is only once one specifies $K$ that the separate terms are picked out. Thus the solution may be singular such that $A$ and $\text{Re}(\Lambda_u/f_u)$ both diverge but $\alpha$ remains finite and hence the ECS remains well defined. The H-structure is well defined, but there is no compatible supersymmetric V-structure that is not divergent at some point. If this is the case then one can calculate the hypermultiplet moduli without having to make any excision of the sources.

Recall that the general analysis of [21] showed that the local supersymmetric solutions split into two classes, that directly correspond to class 0 and class 1 $\text{SU}^\ast(6)$ structures. The former class includes the fluxless Calabi-Yau background but more generally can be considered as a deformation to ‘Calabi-Yau with flux’ since class 0 backgrounds define an integrable conventional $\text{SL}(3, \mathbb{C})$ structure (albeit non-metric-compatible). The second class of supersymmetric solutions could actually be reduced to solving for a single function, and have the interpretation of the back-reacted geometry of an M5-brane wrapped on a circle fibered over a five dimensional space of the form $M_{\text{HK}} \times \mathbb{R}$ where $M_{\text{HK}}$ is a four-dimensional hyperkähler space. The two classes are distinguished by $\sin \theta = f = 0$ for class $1$/M5-brane, and otherwise the background is class $0$/Calabi-Yau with flux.\(^{23}\)

In section 5 we saw how to calculate the infinitesimal moduli for global class 0 and 1 in terms of cohomologies, and so we can simply apply those results here to find the hypermultiplet degrees of freedom for the Minkowski backgrounds. As noted in corollary 4, we cannot have smooth class-changing structures so the global analysis of section 5 is valid away from singular points. In fact, it may be of slightly broader applicability in that the corresponding ECS may be smooth and non-singular. If we choose the corresponding ECS as type 3 at both the class 0 and class 1 points, the moduli space analysis is still then captured by the discussion in section 5.3 though now with a distribution $\Delta$ that changes class.

6.1 Class 0

Recall from proposition 7, an integrable class 0 $\text{SU}^\ast(6)$ structure defines an integrable conventional $\text{SL}(3, \mathbb{C})$ structure $\tilde{\Omega}$. Furthermore, the generic ECS associated to a class 0 $\text{SU}^\ast(6)$ structure is type 0 and so from the analysis of section 5.2 we have

\[
\text{moduli} = H^3_3(M, \mathbb{C})
\]

We see that the physical hypermultiplet moduli space is locally the (complexified) moduli space of $\text{SL}(3, \mathbb{C})$ structures. One can view this as deformations of the complex structure

\(^{23}\)As was observed in [21], the full set of supersymmetry equations sets $f = 0$ always. However, this cannot be seen from integrability of the $\text{SU}^\ast(6)$ structure alone and so we shall keep it general.
associated to $\tilde{\Omega}$, the constant $b_0$ and of the closed three- and six-forms $c$ and $\tilde{c}$ that appear in the proposition.

We emphasise that this result was obtained without introducing a specific $V$-structure $K$ and hence is independent of the precise value of the flux. Instead, we only required integrability of the $SU^*(6)$ structure which constrains the flux to be $d$-exact. Different choices of $K$ will give different values of the flux within the trivial cohomology class. Provided the background admits a compatible Kähler metric, one could choose $K$ such that the fluxes vanish and the background is genuinely Calabi-Yau (i.e. taking $\sin \theta \equiv -1$). As discussed at the end of section 5.4, (6.3) then gives the expected result. On the other hand, one could choose $K$ such that the fluxes do not vanish and hence we are necessarily away from the Calabi-Yau solution. Despite this, we find that the hypermultiplet moduli are given by the same cohomology groups as in the fluxless case. This remarkable fact is non-trivial as one cannot smoothly deform a Calabi-Yau solution to one with flux and so there is no guarantee that the moduli will be the same.

In finding the moduli, we could have alternatively deformed around the type 3, class $0$ ECS. In this case, we could use the results of section 5.3 to write the moduli in terms of the cohomology of the differential associated to the $\Delta = T^{1,0}$, i.e. the Dolbeault operator $\bar{\partial}$. The moment map condition implies that the complex flux $F_C$ is exact and hence we can find an exact decomposition into Dolbeault cohomology groups. We find

$$T_{ij}Z_H = H_{\bar{\partial}}^0(M, \Lambda^{3,0}T) \oplus H_{\bar{\partial}}^1(M, T^{1,0}) \oplus H_{\bar{\partial}}^{1,2}(M) \oplus H_{\bar{\partial}}^{0,3}(M) \oplus H_{\bar{\partial}}^{3,3}(M)$$

$$= \bigoplus_{k=0}^3 H_{\bar{\partial}}^{k,3-k}(M) \oplus H_{\bar{\partial}}^{3,3}(M)$$

(6.4)

In the second line, we have formed isomorphisms using the holomorphic 3-form $\tilde{\Omega}$. As argued at the end of section 5.3, the physical moduli are found by removing deformations along $\Lambda^{0,3}T^*$. Therefore, the physical moduli are

$$\text{moduli} = \bigoplus_{k=1}^3 H_{\bar{\partial}}^{k,3-k}(M) \oplus H_{\bar{\partial}}^{3,3}(M) = \bigoplus_{k=0}^3 H_{\bar{\partial}}^{k,3-k}(M)$$

(6.5)

(6.6)

where again we have used an isomorphism induced by the holomorphic 3-form $\tilde{\Omega}$ in the last expression.

Strikingly, the statement that these two calculations of the moduli are equal appears to suggest that these backgrounds should always satisfy some kind of Hodge theorem. However, this is not quite correct due to a technicality in the way one derives the moduli from the moment map picture. One needs that the Kähler metric on the space of structures is non-degenerate transverse to the action of $G_{Diff}$. One sufficient condition is to say the backgrounds satisfies the $\partial\bar{\partial}$-lemma which is enough to guarantee the isomorphism of (6.3) and (6.4). In the same way, that the existence of a Kähler metric implies the conventional $\partial\bar{\partial}$-lemma, we expect that the existence of a compatible supersymmetric $K$ is also sufficient.
6.2 Class 1: $\sin \theta = f = 0$

In this case, the internal spinors are of fixed norm and hence the local SU(2) structure is in fact global. As discussed in section 4.3, the SU$^\ast(6)$ structure defines a SU(2) $\times$ GL(1, $\mathbb{R}$) $\times$ $\mathbb{R}$ structure satisfying the equations (4.53)$–$(4.55). All the corresponding ECS are of type 3. We can then use the results of section 5.3 to find the moduli of such a background as the cohomology of the complex (5.35) for the differential $d_{\Delta,F}$. Crucially $F$ does not need to be in a trivial cohomology class and hence we cannot decompose the moduli into the cohomology of $d_{\Delta}$ alone.

Recall that the cohomology class of $F$ encodes some physical information about the background namely the number of M5 branes wrapping the circle fibered over $M_{HK} \times \mathbb{R}$. Under infinitesimal deformations of the background, this cohomology class should remain unchanged. However we have already seen that class 0 structures have trivial flux. Hence, when $F$ is non-trivial, there should be no deformation from class 1 to class 0. Such deformations were parameterised by $\Xi$. Thus physically we expect these moduli are obstructed by $0 \neq [F] \in H^4(M)$.

To see this more directly from the moduli equations (5.36)$–$(5.38), it is useful to use the full structure of the supergravity background. In particular, the metric naturally selects a complement to $\Delta$ given by
\[ \Sigma = \hat{T}^{0,1} \oplus \mathcal{C}_{\xi} \] (6.7)
where $\xi$ is a real Killing vector that also satisfies $\mathcal{L}_\xi F = \mathcal{L}_\xi \lambda = \mathcal{L}_\xi \omega = \mathcal{L}_\xi \zeta_1 = 0$. This particular choice of complement is convenient as one can use the Killing spinor equations to show $[\Sigma, \Sigma] \subseteq \Sigma$. We can therefore decompose the exterior derivative as
\[ d = d_{\Delta} + d_{\Sigma} \quad d_{\Delta} = \text{Pr}_{p+1,q} \circ d \quad d_{\Sigma} = \text{Pr}_{p,q+1} \circ d \] (6.8)
where $\text{Pr}_{p,q} : \wedge^n T^* \to \wedge^p \Delta^* \otimes \wedge^q \Sigma^*$. We will use the abuse of notation $\wedge^{p,q}T^* = \wedge^p \Delta^* \otimes \wedge^q \Sigma^*$. Since $\Delta \neq \Sigma$, the reader should not be confused and think of $\wedge^{p,q}T^*$ as a decomposition under some complex structure.

We can already put constraints on the real flux $F$. Recall that the integrability of a class 1 SU$^\ast(6)$ structure implies that $F_\Sigma \in F_{3,1}^1(\Delta)$. As discussed in section 4.3, in terms of the global SU(2) structure we have $F_\Sigma = F - \text{id}(\omega \wedge \zeta_1)$ and hence, using subscripts $p,q$ to denote the $\wedge^{p,q}T^*$ component of the form, we must have
\[ 0 = (F_\Sigma)_{3,1} \] (6.9)
\[ = F_{3,1} - \text{id}(\omega \wedge \zeta_1))_{3,1} \] (6.10)
\[ = F_{3,1} - \text{id}_{\Delta}(\omega \wedge \zeta_1)_{2,1} \] (6.11)
\[ \Rightarrow F_{3,1} = \text{id}_{\Delta}(\omega \wedge \zeta_1)_{2,1} \] (6.12)
where we have decomposed a tangential Dolbeault operator $\hat{\partial}$ coming from the integrable hyperkähler structure on $M_{HK}$. Since $F$ is a real form,\(^{25}\) we can put constraints on $F_{1,3}$.

\(^{24}\)This is not the case for AdS backgrounds.
\(^{25}\)Again, since we do not have a complex structure $\tilde{F}_{3,1} \neq F_{1,3}$ and so we need to be careful. One can use the complex structure on $M_{HK}$ however to form constraints.
Now suppose we have some integrable deformation \( R = \Xi + r + \ldots \) such that \( \Xi \) is globally non-vanishing.\(^{26}\) Since this is an integrable deformation, we have

\[
\Delta \Xi = 0 \quad \Delta r - j\Xi j^2(F_C)_{2,2} = 0 \tag{6.13}
\]

Since \( \Xi \) is non-vanishing we can define \( \Xi^{-1} \in \Gamma(\wedge^3T^*) \) to be the unique section such that \( \Xi \cdot \Xi^{-1} = 1 \). Eq. (6.13) then implies

\[
(F - \text{id}(\omega_u \wedge \zeta_1))_{2,2} = -\Delta(r \cdot \Xi^{-1}) \tag{6.14}
\]

Again, using reality conditions, one can now completely determine the form of \( F \) and we find

\[
F - \text{id}(\omega_u \wedge \zeta_1) + d(r \cdot \Xi^{-1}) = d\Sigma \rho \tag{6.15}
\]

some \( \rho \in \Gamma(\wedge^{1,2}T^*) \) that can be described explicitly in terms of \( r, \Xi \). The left hand side of (6.15) is clearly \( d \)-closed and hence the right hand side must be \( d\Delta \)-closed. Careful consideration of this equation and application of the \( \hat{\partial}\bar{\partial} \)-lemma induced from integrable hyperkähler base implies we can therefore write \( d\Sigma \rho = d\Delta \sigma \) for some \( \sigma \in \Gamma(\wedge^{0,3}T^*) \). Hence we have

\[
F - \text{id}(\omega_u \wedge \zeta_1) + d(r \cdot \Xi^{-1}) - d\sigma = 0 \tag{6.16}
\]

We then see that

\[
\Xi \neq 0 \quad \Rightarrow \quad [F] = 0 \in H^4(M) \tag{6.17}
\]

or, put another way, the cohomology class of the flux acts as an obstruction to the trivector deformation as expected.

7 Discussion

In this paper we defined and classified a new object in \( E_6(6) \times \mathbb{R}^+ \) generalised geometry which we called an exceptional complex structure and used it to analyse generic supersymmetric \( D = 5 \) Minkowski backgrounds of M-theory. These are the analogue of \( \text{SL}(3, \mathbb{C}) \) structures in conventional geometry, or \( \text{SU}(3,3) \) structures in Hitchin’s generalised geometry, and they extend the definition of exceptional complex structures in \([24, 25]\) to \( D = 5 \) backgrounds. We saw that ECSs fell into three families labelled type and class. In each case, along with some extra data, the ECS defined a conventional \( G \)-structure on \( T \); in particular for class 0 type 0 backgrounds this was simply an \( \text{SL}(3, \mathbb{C}) \) structure. Integrability of the ECS did not however, in general, lead to integrability of the conventional \( G \)-structure but instead constrained part of the intrinsic torsion.

The \( D = 5 \) supersymmetric background define a \( \text{SU}^*(6) \) structure \([7]\) that encodes the massless hypermultiplet scalar degrees of freedom in the five-dimensional effective theory. We showed that for each such ‘H-structure’ there is an \( S^2 \) of associated ECSs. While the type of the associated ECS may vary, we found that the class is fixed and constant on the manifold, so that \( \text{SU}^*(6) \) structures were of either class 0 and class 1 matching the two types

\(^{26}\)This restricts us to deformations into class 0 backgrounds with a smooth metric.
of solution identified in [21]. Class 0 SU*(6) structures describe flux-deformed Calabi-Yau solutions in the sense of section 6, while class 1 correspond to an M5 brane wrapped on a circle transverse to $M_{\text{KH}} \times \mathbb{R}$, where $M_{\text{KH}}$ is hyperkähler. We analysed the integrability conditions of the SU*(6) structures in multiple ways and found that class 0 solutions always have an integrable SL(3, $\mathbb{C}$) structure on $T$, but it is not necessarily metric-compatible. We also found that class 1 structures had a particular non-integrable SU(2) $\times$ GL(1, $\mathbb{R}$) $\times$ $\mathbb{R}$ structure. In each case, the integrability conditions had natural interpretations as Kähler quotients, or equivalently as the extremisation of a Hitchin functional.

Using the classification of SU*(6) structures and their integrability, we were able to find the hypermultiplet moduli of arbitrary backgrounds. For class 0 structures, that the moduli correspond to the complexification of the moduli space of the associated SL(3, $\mathbb{C}$) structure. This was true independent of the flux, showing that flux deformed Calabi-Yau solutions remarkably have the same hypermultiplet moduli as the fluxless Calabi-Yau background. For class 1 solutions, we found the moduli in terms of the cohomology of some differential $d_{\Delta,F}$ and used this to show that a non-trivial flux obstructs some of the moduli. This can be viewed as the statement that some deformations would break the supersymmetry of the wrapped brane configuration and so are lifted.

We saw that an integrable SU*(6) structure defined a rich set of cohomologies, with an $S^2$ of natural ‘exceptional Dolbeault operators’ dependent on which compatible ECS one chooses. Provided the deformation problem for the SU*(6) structure is well-defined, there must be relations between the corresponding cohomologies since we can parameterise the deformation using any of the different ECSs. In the class 0 case, this was equivalent to the Hodge Theorem relating de Rham and Dolbeault cohomology groups.

Although we focused on the M-theory case, the general formalism is equally applicable to type II theories. The main difference would be the classification of the structures in terms of type and class and the corresponding modification of the moduli space calculations. Type IIA should follow straightforwardly from M-theory with the generic ECS being again type 0. For type IIB however it is easy to see that the generic case is type 1, and so the distribution $\Delta$ in the analogue of proposition 2 is never the whole of $T_\mathbb{C}$. Nonetheless one expects that the tools used to analyse type 3 ECS here would carry over to the type IIB case.

Another very natural extension is to try to understand obstructions in this theory. In general the Kähler quotient only matches the moduli space if the quotient group has a free action on the space of structures. While there are generally no generalised diffeomorphisms that fix the full supergravity solutions, the analogue of the statement that a Calabi-Yau has no isometries, this may not be the case for ECS alone. For example, in Calabi-Yau backgrounds, we have $L_V J = 0$ for $V = v$ where $v$ is a holomorphic vector field, i.e. a real vector field such that $L_v \Omega$ is proportional to $\Omega$. While on a generic complex manifold there can be an infinite number of solutions to this equation, Calabi-Yau manifolds are unobstructed [45, 46] and hence have no holomorphic vector fields. It would be interesting to see if a similar statement holds when we move to arbitrary flux backgrounds.

One of the intriguing observations in this work is that, for generic class 0 backgrounds, one simply needs to specify an integrable SL(3, $\mathbb{C}$) structure. The full supersymmetric background is completed by finding an compatible V-structure. In the Calabi-Yau case,
this corresponds to specifying the Ricci-flat Kähler metric. More generally it requires
identifying a holomorphic vector \( \xi \) together a exact flux \( F \) satisfying (2.7). This opens
up a relatively straightforward way of searching for new supersymmetric flux backgrounds
given any complex manifold with vanishing first Chern class. One might wonder if there
was an analogue of the Calabi-Yau theorem in this case. In addition, as we have also
stressed, there is the possibility that the sources in compact background only effect the V-
structure, so that the \( \text{SU}^*(6) \) structure is globally well-defined and we can directly identify
the hypermultiplet moduli as \( H^3(M, \mathbb{C}) \).

Another obvious extension of this work is to try to apply it AdS backgrounds. These
are described by USp(6) structures with weak generalised holonomy [10, 27, 28, 47]. In
particular, they have an \( \text{SU}^*(6) \) structure that is not quite integrable but has intrinsic
torsion in a USp(6) singlet. One can always choose the ECS such that this singlet appears
in the moment map and not the involutivity condition. Moreover, if we impose the condition
from the Killing spinor equations that \( f = 0 \), then this choice of ECS is globally of type 3.
Unlike the Minkowski case, however, we find that the class of the structure is not constant
on \( M \). Indeed, this follows from the AdS Killing spinor equation \( d(\epsilon^{3A} \sin \theta) = 2me^{2\lambda} \tilde{\zeta}_1 \)
where \( m \) is the inverse AdS radius. This implies there can be class-changing solutions. Even
though the moment map is non-zero, it has been shown by Ashmore, Petrini, Tasker and
Waldram [48] that one can still interpret the moduli space as a suitable Kähler quotient and
so find the moduli in terms of the cohomology of \( d_{\Delta,F} \). Grading this cohomology by the R-
charge, we should get finite dimensional results, which collectively give the whole spectrum
of chiral operators on the associated CFT. It would be very interesting to investigate these
ideas in the simple cases such as the Maldacena-Nunez solutions [32].

Another direction, that we hope to address soon, is to try and apply the theory of
ECS to topological theories. Recall that the 1-loop corrections to topological string the-
ories could be calculated by quantising the Hitchin functional for generalised complex
structures [49]. It is natural to wonder if there is an analogous calculation using the ECS
Hitchin function (3.16). One could then compare with one-loop corrections to the universal
hypermultiplet in five dimensions as in [50–52].

Finally, one may use the mathematical structure analysed here as a stepping stone for
understanding \( D = 4 \) backgrounds of M-theory with non-trivial flux. We already know
from [24] that such backgrounds are given in terms of an analogous ECS and we expect
backgrounds with non-trivial flux to be also given by type 3 structures. The moduli will
therefore be broadly similar, this time counted by a differential associated to some 4-
dimensional \( \Delta \subset T_C \) which defines a transverse holomorphic foliation. These will encode
the back-reacted geometry of M5 branes wrapping cycles in the internal manifold and are
of interest in model-building.

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A Conventions

A.1 Exterior and interior products

We use the following conventions for the exterior and interior products of differential forms and multivectors. Here \( u \in \Gamma(\wedge^p T) \), \( v \in \Gamma(\wedge^q T) \), \( \lambda \in \Omega^p (M) \), \( \rho \in \Omega^q (M) \), and we take \( p \geq q \) without loss of generality.

\[
(u \wedge v)^{a_1 \ldots a_{p+q}} = \frac{(p+q)!}{(p! q!)} u^{[a_1 \ldots a_p} v^{q]a_{p+1} \ldots a_{p+q}} \tag{A.1}
\]

\[
(\lambda \wedge \rho)^{a_1 \ldots a_{p+q}} = \frac{(p+q)!}{p! q!} \lambda^{[a_1 \ldots a_p} \rho^{a_{p+1} \ldots a_{p+q}} \tag{A.2}
\]

\[
(v \wedge \lambda)^{a_1 \ldots a_{p+q}} = \frac{1}{q!} v^{b_1 \ldots b_q} \lambda_{b_1 \ldots b_q a_1 \ldots a_{p+q}} \tag{A.3}
\]

\[
(u \wedge \rho)^{a_1 \ldots a_{p+q}} = \frac{1}{q!} u^{a_1 \ldots a_{p+q} b_1 \ldots b_q} \rho_{b_1 \ldots b_q} \tag{A.4}
\]

\[
(j v \wedge \rho)^a b = \frac{1}{(q-1)!} v^{a c_1 \ldots c_q-1} \rho_{b c_1 \ldots c_q-1} \tag{A.5}
\]

\[
(j \lambda \wedge \rho)^{a_1 \ldots a_{p+q-1}} = \frac{(p+q-1)!}{(p-1)! q!} \lambda^{a_1 \ldots a_{p-1}} \rho_{a_p \ldots a_{p+q-1}} \tag{A.6}
\]

Also, for \( \Xi \in \Gamma(\wedge^3 T) \), \( F \in \Omega^4 (M) \), we define

\[
(j \Xi \wedge j^2 F)^{a} b c = \frac{1}{2} \Xi^{a p q} F_{b c p q} \tag{A.7}
\]

we can also define for 3-forms \( \alpha, \beta, \gamma, \delta \)

\[
(j \alpha \wedge \beta) \wedge (j \gamma \wedge \delta) = \left( \frac{6!}{3! 2!} \right)^2 \alpha_{a_1 b_2 c_3} \beta_{d_4 e_5 f_6} \gamma_{b_1 c_2 d_3} \delta_{a_4 a_5 a_6} \tag{A.8}
\]

Indices with the same letter are antisymmetrised.

A.2 E_{6(6)} \times \mathbb{R}^+ generalised geometry

The generalised tangent bundle, the adjoint bundle, and the \( N \) bundle for \( E_{6(6)} \times \mathbb{R}^+ \) geometry are as follows

\[
E = T \oplus \wedge^2 T^* \oplus \wedge^5 T^* \tag{A.9}
\]

\[
\text{ad } \bar{F} = \mathbb{R} \oplus (T \otimes T^*) \oplus \wedge^3 T^* \oplus \wedge^6 T^* \oplus \wedge^3 T \oplus \wedge^6 T \tag{A.10}
\]

\[
N = T^* \oplus \wedge^4 T^* \oplus (T^* \otimes \wedge^6 T^*) \tag{A.11}
\]

We take the following sections of these bundles, where each term matches with the expressions above in the obvious way.

\[
V = v + \omega + \sigma + \tau \hspace{1cm} R = l + r + a + \bar{a} + \alpha + \bar{\alpha} \tag{A.12}
\]

The following gives the adjoint action \( R \cdot V = V' \)

\[
v' = lv + r \cdot v + \alpha \omega - \bar{\alpha} \sigma \tag{A.13}
\]

\[
\omega' = lw + r \cdot \omega + v \cdot a + \alpha \sigma \tag{A.14}
\]

\[
\sigma' = ls + r \cdot \sigma + v \cdot \bar{a} + a \wedge \omega \tag{A.15}
\]
The following gives the Lie algebra bracket $[R, R'] = R''$

\[ l'' = \frac{1}{3} (\alpha \cdot a' - \alpha' \cdot a) + \frac{2}{3} (\tilde{\alpha} \cdot \tilde{a} - \tilde{\alpha} \cdot \tilde{a}') \]  
(A.16)

\[ r'' = [r, r'] + j \alpha \cdot j a' - j a' \cdot j a - \frac{1}{3} (\alpha \cdot a' - \alpha' \cdot a) \]  
+ \frac{j \tilde{\alpha} \cdot j \tilde{a} - j \tilde{\alpha} \cdot j \tilde{a}'}{2} \]  
(A.17)

\[ a'' = r \cdot a' - a' \cdot a + \alpha' \cdot \tilde{a} - \alpha \cdot \tilde{a}' \]  
(A.18)

\[ \tilde{a}'' = r \cdot \tilde{a}' - r' \cdot \tilde{a} - a' \wedge \tilde{a} \]  
(A.19)

\[ \alpha'' = r \cdot \alpha' - r' \cdot \alpha - \tilde{\alpha} \cdot a' - \tilde{\alpha} \cdot a \]  
(A.20)

\[ \tilde{\alpha}'' = r \cdot \tilde{\alpha}' - r' \cdot \tilde{\alpha} - \alpha' \wedge \alpha \]  
(A.21)

### A.2.1 Dorfman derivative

The following is the Dorfman derivative on vectors.

\[ L_v V' = L_v v' + (L_v \omega' - v' \cdot d \omega) + (L_v \sigma' - v' \cdot d \sigma \wedge \omega + d \omega) \]  
(A.22)

The following is the Dorfman derivative on adjoint elements.

\[ L_v R = L_v l + \left( L_v r + j \alpha \cdot j d \omega - \frac{1}{3} \alpha \cdot d \omega - j \tilde{\alpha} \cdot j d \sigma + \frac{2}{3} \tilde{\alpha} \cdot d \sigma \right) \]  
+ \left( L_v a + r \cdot d \omega - \alpha \cdot d \sigma \right) \]  
+ \left( L_v \tilde{a} + r \cdot d \sigma + d \omega \wedge a \right) \]  
(A.23)

To obtain the twisted Dorfman derivative we make the following substitutions.

\[ d \omega \rightarrow d \omega - v \cdot F \]  
\[ d \sigma \rightarrow d \sigma - v \cdot \tilde{F} + \omega \wedge F \]  
(A.24)

### A.2.2 The cubic invariant

The following is the cubic invariant for $E_{6(6)}$

\[ c(V, V, V) = - \left( v \cdot \omega \wedge \sigma + \frac{1}{3!} \omega \wedge \omega \wedge \omega \right) \]  
(A.25)

### A.2.3 The Killing form

The Killing form for $E_{d(d)}$ is

\[ \text{Tr}(R, R') = \frac{1}{2} \left( \frac{1}{9 - d} \text{Tr} r \cdot r' + \text{Tr} r r' + \alpha \cdot a' + \alpha' \cdot a - \tilde{\alpha} \cdot \tilde{a}' - \tilde{\alpha}' \cdot \tilde{a} \right) \]  
(A.26)
A.2.4 Projections

Let $Z = \zeta + u + s \in \Gamma(E^*)$. Then the projection $E \times E^* \rightarrow \text{ad} \tilde{F}$ is given by

$$l = -\frac{1}{3} u \omega - \frac{2}{3} s \omega \sigma$$  \hspace{1cm} (A.27)

$$r = v \otimes \zeta - j u \omega + \frac{1}{3} u \omega \| - j s \omega \sigma + \frac{2}{3} s \omega \|$$  \hspace{1cm} (A.28)

$$a = \zeta \wedge \omega + u \omega \sigma$$  \hspace{1cm} (A.29)

$$\tilde{a} = \zeta \wedge \sigma$$  \hspace{1cm} (A.30)

$$\alpha = v \wedge u + s \omega \omega$$  \hspace{1cm} (A.31)

$$\tilde{\alpha} = -v \wedge s$$  \hspace{1cm} (A.32)

If $Y = \lambda + \kappa + \mu \in \Gamma(N)$ then the projection $E \times E \rightarrow N$ is given by

$$\lambda = v \omega' + v' \omega$$  \hspace{1cm} (A.33)

$$\kappa = v \omega' + v' \omega - \omega \wedge \omega'$$  \hspace{1cm} (A.34)

$$\mu = (j \omega \wedge \sigma' + j \omega' \wedge \sigma) - \frac{1}{4} (\sigma \wedge \omega' + \sigma' \wedge \omega)$$  \hspace{1cm} (A.35)

B Spinor bilinears and the local SU(2) structure

We will build the spinor bilinears of the local SU(2) structure, and the associated generalised USp(6) structure, from the completely generic spinor

$$\hat{\eta} = \sqrt{2} (\cos \alpha \eta^1 + \sin \alpha (a \eta^1 + \sqrt{1 - |a|^{-2} \eta^2}))$$  \hspace{1cm} (B.1)

We can parameterise $a = e^{i\psi} \sin \phi$. Then we take $\epsilon^+, \epsilon^-$ as in section 2. The non-vanishing bilinears we can form are as follows.

Scalars

$$\bar{\epsilon}^+ \epsilon^+ = \bar{\epsilon}^- \epsilon^- = 1$$  \hspace{1cm} (B.2)

$$\bar{\epsilon}^+ \epsilon^- = \bar{\epsilon}^- \epsilon^+ = \sin \theta$$  \hspace{1cm} (B.3)

$$\epsilon^+ T \epsilon^+ = -\epsilon^- T \epsilon^- = e^{-i\psi} \sin \phi \cos \theta$$  \hspace{1cm} (B.4)

1-forms

$$\bar{\epsilon}^+ \gamma_{(1)} \epsilon^+ = -\bar{\epsilon}^- \gamma_{(1)} \epsilon^- = \cos \theta \cos \phi \zeta_1$$  \hspace{1cm} (B.5)

$$i \bar{\epsilon}^+ \gamma_{(1)} \epsilon^- = -i \bar{\epsilon}^- \gamma_{(1)} \epsilon^+ = \cos \theta \cos \phi \zeta_2$$  \hspace{1cm} (B.6)

2-forms

$$-i e^+ \gamma_{(2)} \epsilon^+ = -i e^- \gamma_{(2)} \epsilon^- = \omega_3 (1 - \sin^2 \phi (1 - \sin \theta)) - \sin \theta \zeta_1 \wedge \zeta_2$$  \hspace{1cm} (B.7)

$$\frac{1}{2} (1 - \sin \theta) \sin 2\phi (\cos \psi \omega_1 + \sin \psi \omega_2)$$

$$i e^+ \gamma_{(2)} \epsilon^- = i e^- \gamma_{(2)} \epsilon^+ = \xi_1 \wedge \zeta_2 - \omega_3 (\sin \theta + \sin^2 \phi (1 - \sin \theta))$$

$$\frac{1}{2} (1 - \sin \theta) \sin 2\phi (\cos \psi \omega_1 + \sin \psi \omega_2)$$

$$\epsilon^+ T \gamma_{(2)} \epsilon^- = -\epsilon^- T \gamma_{(2)} \epsilon^+ = \cos \theta (\cos \phi (\omega_2 + i \omega_1) + i e^{-i\psi} \sin \phi (\omega_3 - \zeta_1 \wedge \zeta_2))$$  \hspace{1cm} (B.9)
3-forms
\[
\tau^+ \gamma(3) \epsilon^+ = -i \tau^- \gamma(3) \epsilon^- = \cos \theta \sin \phi \cos \psi (\omega_1 \wedge \zeta_1 - \omega_2 \wedge \zeta_2) + \cos \theta \sin \phi \sin \psi (\omega_2 \wedge \zeta_1 + \omega_1 \wedge \zeta_2) - \cos \theta \cos \phi \omega_3 \wedge \zeta_1
\]
(\ref{eq:3-forms1})
\[
\tau^+ \gamma(3) \epsilon^- = -i \tau^- \gamma(3) \epsilon^+ = \cos \theta \sin \phi \sin \psi (\omega_1 \wedge \zeta_1 - \omega_2 \wedge \zeta_2) - \cos \theta \sin \phi \cos \psi (\omega_2 \wedge \zeta_1 + \omega_1 \wedge \zeta_2) + \cos \theta \cos \phi \omega_3 \wedge \zeta_2
\]
(\ref{eq:3-forms2})
\[
\tau^+ T \gamma(3) \epsilon^+ = \tau^- T \gamma(3) \epsilon^- = -(\omega_2 + i \omega_1) \wedge (\sin \theta \zeta_1 - i \zeta_2) + \frac{1}{2}(1 - \sin \theta) \sin^2 \phi (\omega_2 + i \omega_1) \wedge (\zeta_1 + i \zeta_2)
\]
(\ref{eq:3-forms3})
\[
\tau^+ T \gamma(3) \epsilon^- = \tau^- T \gamma(3) \epsilon^+ = -(\omega_2 + i \omega_1) \wedge (\zeta_1 - i \sin \theta \zeta_2) + \frac{1}{2}(1 - \sin \theta) \sin^2 \phi (\omega_2 + i \omega_1) \wedge (\zeta_1 + i \zeta_2)
\]
(\ref{eq:3-forms4})

4-forms
\[
\tau^+ \gamma(4) \epsilon^+ = \tau^- \gamma(4) \epsilon^- = *(i \tau^+ \gamma(2) \epsilon^-) = *(i \tau^- \gamma(2) \epsilon^+) = *i \tau^+ \gamma(2) \epsilon^+ = *i \tau^- \gamma(2) \epsilon^-
\]
(\ref{eq:4-forms1})
\[
- \tau^+ \gamma(4) \epsilon^- = - \tau^- \gamma(4) \epsilon^+ = *(-i \tau^+ \gamma(2) \epsilon^+) = *(-i \tau^- \gamma(2) \epsilon^-) = *i \tau^- \gamma(2) \epsilon^+ = *i \tau^+ \gamma(2) \epsilon^-
\]
(\ref{eq:4-forms2})
\[
- i \tau^+ T \gamma(4) \epsilon^+ = i \tau^- T \gamma(4) \epsilon^- = *(\epsilon^+ T \gamma(2) \epsilon^-) = *(\epsilon^- T \gamma(2) \epsilon^+) = *i \tau^+ T \gamma(2) \epsilon^- = *i \tau^- T \gamma(2) \epsilon^+
\]
(\ref{eq:4-forms3})

5-forms
\[
\tau^+ \gamma(5) \epsilon^+ = - \tau^- \gamma(5) \epsilon^- = *(i \tau^+ \gamma(1) \epsilon^-) = *(i \tau^- \gamma(1) \epsilon^+) = *i \tau^+ \gamma(1) \epsilon^+ = *i \tau^- \gamma(1) \epsilon^-
\]
(\ref{eq:5-forms1})
\[
- i \tau^+ \gamma(5) \epsilon^- = i \tau^- \gamma(5) \epsilon^+ = *(\epsilon^+ \gamma(1) \epsilon^+) = *(\epsilon^- \gamma(1) \epsilon^-) = *i \tau^+ \gamma(1) \epsilon^- = *i \tau^- \gamma(1) \epsilon^+
\]
(\ref{eq:5-forms2})

6-forms
\[
- i \tau^+ \gamma(6) \epsilon^+ = - i \tau^- \gamma(6) \epsilon^- = \sin \theta \text{vol}
\]
(\ref{eq:6-forms1})
\[
- i \tau^+ \gamma(6) \epsilon^- = - i \tau^- \gamma(6) \epsilon^+ = \text{vol}
\]
(\ref{eq:6-forms2})
\[
- i \tau^+ T \gamma(6) \epsilon^+ = i \tau^- T \gamma(6) \epsilon^- = e^{-i \psi} \sin \phi \cos \theta \text{vol}
\]
(\ref{eq:6-forms3})

\[\text{C \ ECS in } E_{6(6)} \times \mathbb{R}^+ \text{ geometry}\]

\textbf{Proposition 10.} Any isotropic subbundle } L \subset E_{\mathbb{C}} \text{ has the form}
\[
e^{\alpha+\beta} \cdot (\Delta \oplus S_2 \oplus S_5)
\]
(\ref{eq:prop10})
where $\alpha \in \Omega^3(M)$ and $\beta \in \Omega^6(M)$ are arbitrary but fixed, and where $\Delta \subset T$, $S_2 \subset \Lambda^2 T^*$, $S_5 \subset \Lambda^5 T^*$ satisfy the following. For all $v \in \Delta$, $\omega, \omega' \in S_2$ and $\sigma \in S_5$ we have

$$
\begin{align*}
  v \cdot \omega &= 0 & v \cdot \sigma &= 0 \\
  \omega \wedge \omega' &= 0 & j \omega \wedge \sigma &= 0
\end{align*}
$$

(C.2)

To prove this, we follow a similar proof for isotropic bundles in $O(d,d)$ geometry laid out in [2].

**Proof.** The condition for isotropy is $V_1 \times_N V_2 = 0$ for all $V_1, V_2 \in L$ which translates to

$$
\begin{align*}
  v_1 \cdot \omega_1 + v_2 \cdot \omega_1 &= 0 \\
  j \omega_1 \wedge \sigma + j \omega_2 \wedge \sigma &= 0 \\
  \omega_1 \wedge \omega_2 - v_1 \cdot \omega_2 - v_2 \cdot \sigma_1 &= 0
\end{align*}
$$

(C.3) (C.4) (C.5)

It is a simple check to see that any $L$ of the form (C.1) satisfies these conditions and hence defines an isotropic bundle. Hence it is left to show that any isotropic bundle takes that form.

Clearly we have $\Delta = a(L)$. Suppose we have some

$$
\omega_1, \omega_2 \in \pi_{\Lambda^2 T^*} \left( (\Lambda^2 T^* \oplus \Lambda^5 T^*) \cap L \right)
$$

(C.6)

where $\pi_{\Lambda^2 T^*} : E \to \Lambda^2 T^*$, and similarly for $\pi_T, \pi_{\Lambda^5 T^*}$. From (C.3) and (C.5) we see that for any $v \in \Delta$

$$
\begin{align*}
  v \cdot \omega_1 &= 0 & \Rightarrow & \omega_1 \in F_2(\Delta) \\
  \omega_1 \wedge \omega_2 &= 0 & \Rightarrow & \omega_1 \in S_2
\end{align*}
$$

(C.7)

Now consider the element

$$
\alpha(v) := \pi_{\Lambda^2 T^*} \left( \pi_{\Lambda^2 T^*}^{-1}(v) \cap L \right) \in \frac{\Lambda^2 T^*}{\pi_{\Lambda^2 T^*}((\Lambda^2 T^* \oplus \Lambda^5 T^*) \cap L)}
$$

(C.8)

From (C.3) for $V \times_N V = 0$, we see that we need

$$
\begin{align*}
  v \cdot \alpha(v) & \quad \forall v \in \Delta \quad \Rightarrow \quad \alpha \in \Lambda^3 T^* \quad \text{(WLOG)}
\end{align*}
$$

(C.9)

Then we can write any element $\lambda \in \pi_{\Lambda^2 T^*}(L)$ as

$$
\lambda = v \cdot \alpha + \omega \quad v \in \Delta, \omega \in S_2
$$

(C.10)

Now we consider any $\sigma \in \Lambda^5 T^* \cap L$. From (C.4) and (C.5) we see that for all $v \in \Delta$, $\omega \in S_2$ we need

$$
\begin{align*}
  v \cdot \sigma &= 0 & \Rightarrow & \sigma \in F_4(\Delta) \\
  j \omega \wedge \sigma &= 0 & \Rightarrow & \sigma \in S_5
\end{align*}
$$

(C.11)

Note that we also need

$$
\begin{align*}
  j(v \cdot \alpha) \wedge \sigma &= 0 & \iff & (\text{vol}^\# \cdot \sigma, v \cdot \alpha) = 0
\end{align*}
$$

(C.12)
However, since $F^5_4(\Delta) = 0$ if $\dim \Delta > 1$, one can check that

$$(\text{vol}^g \omega)_{\cdot} (v \cdot \omega) \propto v \cdot (v \omega) = 0$$  \hspace{1cm} (C.13)$$

Now consider the element

$$\theta(v, \omega) := \pi \wedge T^* \left((\pi^{-1}T + \pi^{-1}2T) \cap L\right) \in \frac{\wedge^5 T^*}{\wedge^5 T^* \cap L}$$ \hspace{1cm} (C.14)$$

From (C.5) we need

$$(\omega_1 + v_1, \omega_2 - v_2, \omega_2 - v_2, \theta(v_1, \omega_1))$$ \hspace{1cm} (C.15)$$

which has the general solution

$$\theta(v, \omega) = \frac{1}{2} (v \omega \wedge \omega + v \omega + \lambda \wedge \alpha)$$ \hspace{1cm} (C.16)$$

where $\beta \in \wedge^6 T^*$ is arbitrary. It is a simple check to see that this also satisfies (C.4).

Checking the action of $e^{\alpha+\beta}$ we see that we have

$$L = e^{\alpha+\beta} \cdot (\Delta \oplus S_2 \oplus S_3)$$ \hspace{1cm} (C.17)$$

Proposition 11.

\[ \dim L = 6 \quad \Leftrightarrow \quad \text{type} \, L = 0, 3, 6 \] \hspace{1cm} (C.18)$$

\textit{Proof.} We will consider each type $L = k$ for $k = 0, 1, \ldots, 6$

\textbf{k=0}

All type 0 bundles are of the form $L = e^{\alpha+\beta} \cdot T$ which is clearly 6 dimensional.

\textbf{k=1}

If we have a type 1 bundle then $\dim \Delta = 5$ and $\dim F^5_1(\Delta) = \dim F^5_0(\Delta) = 0$. Hence the bundle looks like $e^{\alpha+\beta} \cdot \Delta$ again. However, this is just 5 dimensional.

\textbf{k=2}

In this case we have $rk \Delta = 4$, $\dim F^2_1(\Delta) = 1$, $\dim F^2_0(\Delta) = 0$ and hence the isotropic bundle is of the form $e^{\alpha+\beta} \cdot (\Delta \oplus F^2_1(\Delta))$ which is 5 dimensional.

\textbf{k=3}

We have $\dim \Delta = 3$, $\dim F^3_1 = 3$, $\dim F^3_0 = 0$. Hence we can take $L = e^{\alpha+\beta} \cot (\Delta \oplus F^3_1(\Delta))$ which is 6 dimensional.

\textbf{k=4}

We have $\dim \Delta = 2$, $\dim F^4_1(\Delta) = 6$, $\dim F^4_0(\Delta) = 0$. However, it is not that case that $\omega \wedge \omega' = 0$ for all $\omega, \omega' \in F^4_1(\Delta)$. We take any subspace which satisfies this condition
which has maximal dimension 3. Hence the isotropic bundle of the form $e^{\alpha + \beta} \cdot (\Delta \oplus S_2)$ has maximal dimension 5

$k = 5$
We have $\dim \Delta = 1$, $\dim F^2_1 = 10$, $\dim F^3_4 (\Delta) = 1$. Again, we choose a maximal $S_2 \subset F^3_4 (\Delta)$ satisfying $\omega \wedge \omega' = 0$. This will have dimension 3 and so the isotropic bundle $e^{\alpha + \beta} \cdot (\Delta \oplus S_2 \oplus S_5)$ has dimension 5.

$k = 6$
In this case $\dim \Delta = 0$. It will be convenient to parameterise $S_5 = \Gamma \cdot \text{vol}$ where $\Gamma \subset T$.

We will also choose a basis $e^i$ of $T^*$ with dual basis $\hat{e}^i$ of $T$. The only possible type 6 solutions are given in the table below.

| $\Gamma$ | $S_2$ | $L$ | $\dim L$ |
|----------|------|-----|--------|
| $T$      | 0    | $\wedge^5 T^*$ | 6      |
| $\langle \hat{e}_1, \ldots, \hat{e}_4 \rangle$ | $\langle e^5 \wedge e^6 \rangle$ | $S_2 \oplus S_5$ | 5 |
| $\langle \hat{e}_1, \hat{e}_2, \hat{e}_3 \rangle$ | $\langle e^i \wedge e^j \mid i, j = 4, 5, 6 \rangle$ | $s_2 \oplus S_5$ | 6 |

Proposition 12. There are no ECS of type 6

Proof. There are two different 6 dimensional isotropic spaces of type 6, which shown in the table above. We will show that these do not satisfy the remaining conditions in definition 2.

Firstly, let’s consider $L_1 = \wedge^5 T^*$. Clearly, this does not satisfy condition (iii) as $\bar{L}_1 = L_1$. Therefore, this cannot be an ECS.

Secondly, let’s consider $L_1 = e^\alpha \cdot (S_2 \oplus S_5)$, where $S_2, S_5$ are as in the third row of the table above. We will show that $L_0 \cap (L_1 \oplus L_-) \neq 0$ and hence this does not satisfy condition (iii). To find $L_0$ we need to find the null space

$$A = \{Z \in E^* \mid \langle V, Z \rangle = 0 \ \forall \ V \in L_1 \oplus L_-\} \quad (C.19)$$

Using the same notation as above, it is easy to see that

$$T^* \oplus \langle \hat{e}^i \wedge \hat{e}^j \mid i = 1, 2, 3, j = 4, 5, 6 \rangle \subseteq A \quad (C.20)$$

The left hand side of this is 15 dimensional. If $L$ is to define an ECS then $A$ must be 15 dimensional too and hence this must be the whole of $A$. In particular, this implies that

$$S_5 \oplus \bar{S}_5 = \wedge^5 T^* \subset L_1 \oplus L_- \quad (C.21)$$

Now taking any $\nu \in T^*$, and some $e^\alpha \cdot \omega \in L_1$. Then we have

$$e^\alpha \omega \times_{\text{ad}} \nu = e^\alpha \omega \wedge \nu \quad (C.22)$$
Hence we have that
\[
(e^\alpha \omega \wedge \nu) \cdot L_{-1} \subseteq \wedge^5 T^* \subset L_1 \oplus L_{-1}
\]
(C.23)

Hence we see that \( L_0 \cap (L_1 + L_1) \neq 0 \) and so this cannot be an ECS
\[\square\]

**Proposition 13.** Suppose \( L_1 = e^{\alpha + \beta} (\Delta \oplus F^2_1(\Delta)) \) is an almost ECS of type 3. Then \( \dim(\Delta \cap \Delta) \leq 1 \)

**Proof.** If \( \dim \Delta = 3 \), then we can choose a local non-vanishing \( \xi \in \Gamma(F^3_1) \). That is, \( v_\lambda \xi = 0 \) for all \( v \in \Gamma(\Delta) \). Then we can take a local non-vanishing section \( X \in \Gamma(\mathcal{U}_f) \) as
\[
X = e^{\alpha + \beta} \cdot \xi
\]
(C.24)

Condition (iv) of definition 2 then reads
\[
\text{Tr}(e^{\alpha + \beta} \cdot \xi)(e^{\alpha + \beta} \cdot \bar{\xi}) < 0
\]
(C.25)

Careful evaluation of this finds
\[
0 > (\xi \wedge \bar{\xi}) \otimes (\beta - \bar{\beta}) - (\alpha \wedge \xi) \otimes (\bar{\alpha} \wedge \bar{\xi}) + \frac{1}{2} ((\alpha \wedge \xi) \otimes (\alpha \wedge \bar{\xi}) + (\bar{\alpha} \wedge \xi) \otimes (\bar{\alpha} \wedge \bar{\xi}))
\]
\[
+ (j\alpha \wedge \xi) \wedge (j\bar{\alpha} \wedge \bar{\xi}) - \frac{1}{2} ((j\alpha \wedge \xi) \wedge (j\alpha \wedge \bar{\xi}) + (j\bar{\alpha} \wedge \xi) \wedge (j\bar{\alpha} \wedge \bar{\xi}))
\]
(C.26)

We have also used the notation
\[
(j\alpha \wedge \xi) \wedge (j\bar{\alpha} \wedge \bar{\xi}) = \binom{6!}{3! 2!} \alpha_{a1b2b3} \xi_{b4b5b6} \bar{\alpha}_{a1a2a3} \bar{\xi}_{a4a5a6}
\]
(C.27)

Indices with the same letter are antisymmetrised. The other expressions containing \( j \)'s are defined similarly. One can check that the right hand side of (C.26) is a section of \( (\det T^*_R)^2 \), and depends on the choice of \( \xi \) only by multiplication by a positive real scalar. Moreover, it is invariant under the change
\[
\alpha + \beta \rightarrow (\alpha + \gamma) + (\beta - \frac{1}{2} \alpha \wedge \gamma) \quad \gamma \in F^3_1(\Delta)
\]
(C.28)

(i.e. a shift of \( \alpha, \beta \) that leaves \( L_1 \) invariant). Hence the constraint is well defined.

Now suppose we have \( \dim(\Delta \cap \Delta) \geq 2 \). Then \( \xi \wedge \bar{\xi} = 0 \) and so the \( \beta \) term drops out. Moreover, one can show that
\[
(j\alpha \wedge \xi) \wedge (j\alpha \wedge \bar{\xi}) = 3(\alpha \wedge \xi) \otimes (\alpha \wedge \bar{\xi})
\]
(C.29)
\[
(j\bar{\alpha} \wedge \xi) \wedge (j\bar{\alpha} \wedge \bar{\xi}) = 3(\bar{\alpha} \wedge \xi) \otimes (\bar{\alpha} \wedge \bar{\xi})
\]
(C.30)
\[
(j\alpha \wedge \xi) \wedge (j\bar{\alpha} \wedge \bar{\xi}) = (\bar{\alpha} \wedge \xi) \otimes (\alpha \wedge \xi) + 2(\alpha \wedge \xi) \otimes (\bar{\alpha} \wedge \bar{\xi})
\]
(C.31)

Hence, the right hand side of (C.26) becomes
\[
(\alpha \wedge \xi) \otimes (\bar{\alpha} \wedge \bar{\xi}) + (\alpha \wedge \xi) \otimes (\alpha \wedge \bar{\xi}) - (\alpha \wedge \xi) \otimes (\alpha \wedge \bar{\xi}) - (\bar{\alpha} \wedge \xi) \otimes (\bar{\alpha} \wedge \bar{\xi})
\]
(C.32)
writing \( \alpha \wedge \xi = a + ib, \alpha \wedge \bar{\xi} = c + id \) for some \( a, b, c, d \in \Omega^6(M)_R \), we find that this equals
\[
(a - c)^2 + (b + d)^2 \geq 0
\]
(C.33)

Hence (C.26) does not hold for \( \dim(\Delta \cap \Delta) \geq 2 \). \( \square \)
D Proof of the local structure of moduli of SU\(^*(6)\) structures

D.1 Type 3 moduli

For the type 3 problem we have the subbundle

\[ L_1 = e^{\alpha + \beta} \cdot (\Delta \oplus \mathcal{F}_1^2(\Delta)) \]  

(D.1)

where \( \alpha \in \Omega^3(M) \mathbb{C}, \beta \in \Omega^6(M) \mathbb{C}, \) and \( \Delta \subset T \) all satisfy

\[ [\Delta, \Delta] \subseteq \Delta \quad v \cdot (w \cdot (x \cdot d\alpha)) = 0 \]  

(D.2)

for all \( v, w, x \in \Gamma(\Delta) \). In what follows, it will be convenient to work with the \( F_\mathbb{C} \)-twisted Dorfman derivative, where locally \( F_\mathbb{C} = \text{d} \alpha \), and the untwisted bundle \( \tilde{L}_1 = \Delta \oplus \mathcal{F}_1^2(\Delta) \). This is because, for type 3 solutions, the physical flux may be in a non-trivial cohomology class and hence the gauge potential \( A \), which is implicit in the definition of \( \alpha \), may not be global. By working with \( L_1^{F_\mathbb{C}} \), we can work only with globally defined objects.

We have the quotient spaces

\[
\begin{align*}
E_\mathbb{C}/\tilde{L}_1 &= \left( T/\mathbb{S}_0^1 \right) \oplus \left( \wedge^2 T^*/\mathcal{F}_0^2 \right) \oplus \wedge^5 T^*
\end{align*}
\]

(D.3)

\[
\begin{align*}
\Omega_{R+ \times U^*(6)} &= \left[ \left( T/\mathbb{S}_0^1 \right) \otimes \left( T^*/\mathcal{F}_0^2 \right) \right] \oplus \left( \wedge^3 T/\mathbb{S}_2^3 \right) \oplus \left( \wedge^3 T^*/\mathcal{F}_2^3 \right) \oplus \wedge^6 T^*
\end{align*}
\]

(D.4)

We shall pick the following elements of the deformation space \( \Omega \)

\[
\begin{align*}
r &\in \Gamma \left( \left( T/\mathbb{S}_0^1 \right) \otimes \left( T^*/\mathcal{F}_0^2 \right) \right) \\
\theta &\in \wedge^3 T^*/\mathcal{F}_3^3 \\
\tau &\in \wedge^6 T^*
\end{align*}
\]

(D.5)

and write the deformation parameter as \( R = \Xi + r + \theta + \tau \). Hence the deformed bundle becomes

\[
\tilde{L}_1' = (1 + R) \cdot \tilde{L}_1 = e^{\theta + \tau}(1 + r + \Xi) \cdot (\Delta \oplus \mathcal{F}_1^2(\Delta))
\]

(D.6)

where we are working to linear order in the deformation parameters only. We take sections \( V', W' \in \Gamma(\tilde{L}_1') \) which are of the form

\[
V' = e^{\theta + \tau} \cdot (v + r \cdot v + \Xi \cdot \lambda + \lambda + r \cdot \lambda) \quad W' = e^{\theta + \tau} \cdot (w + r \cdot w + x \cdot \mu + \mu + r \cdot \mu)
\]

(D.7)

where \( v, w \in \Gamma(\Delta) \), \( \lambda, \mu \in \Gamma(\mathcal{F}_2^3) \). We will also denote by \( \hat{V} = \hat{v} + \hat{\lambda} = (1 + r + \Xi) \cdot (v + \lambda) \), and similarly for \( \hat{W} \).

We want to determine when \( \hat{L}_1' \) is involutive under \( L_1^{F_\mathbb{C}} \), to linear order in \( R \). This is the statement that for all \( v, w \in \Gamma(\Delta), \lambda, \mu \in \Gamma(\mathcal{F}_2^3) \) we have

\[
\begin{align*}
L_1^{F_\mathbb{C}} W' &= e^{\theta + \tau} \cdot (L_1 \hat{W} + \hat{w}(\lambda \hat{\lambda}(F_\mathbb{C} + d\theta)) + \hat{\mu} \land (\hat{\lambda}(F_\mathbb{C} + d\theta))) \\
&= e^{\theta + \tau} \cdot \left( [\hat{v}, \hat{w}] + L_1 \hat{\mu} - \hat{w} \cdot d\hat{\lambda} + \hat{\lambda} \cdot (\hat{w}(F_\mathbb{C} + d\theta)) - \hat{\mu} \land d\hat{\lambda} + \hat{\mu} \land (\hat{\lambda}(F_\mathbb{C} + d\theta)) \right) \\
&\in \Gamma(\tilde{L}_1')
\end{align*}
\]

(D.8)
Let us consider this term by term. We will use Greek letters $\alpha, \beta, \gamma, \ldots$ for $\Delta$ indices, and Latin letters $a, b, c, \ldots$ for the complement.\footnote{Here we are implicitly using the orthogonal complement under some metric. This is just for ease of the proof although it is not strictly needed to prove these results.} If we consider the vector piece only then we have, to linear order in $R$

$$
(e^{-\theta-\tau} \cdot L^{F_C}_{\text{\scriptsize{\textit{F}}}W'}_{\text{\scriptsize{\textit{T}}}})|_T = [v, w] + [r \cdot v + \Xi, \lambda, w] + [v, r \cdot w + \Xi, \mu] 
$$

$$
= [v, w] + \left(r^b \beta \partial_\beta w^\alpha - r^b \gamma \partial_\gamma v^\alpha\right) + \left((\Xi, \nu)^b \partial_\nu w^\alpha - (\Xi, \lambda)^b \partial_\lambda v^\alpha\right) 
$$

$$
+ r \cdot [v, w] + \Xi, (v, d\mu) - w, d\lambda) 
$$

$$
w, (v, d\Delta r) + (v, d\Delta \Xi), \mu - (w, d\Delta \Xi), \lambda 
$$

$$
\overset{1}{=} z + r \cdot z + \Xi, \zeta 
$$

where $z \in \Gamma(\Delta)$, $\zeta \in \Gamma(F^2_T)$ are of the form\footnote{The 0th order piece of $z, \zeta$ should be given by the Dorfman derivative of the undeformed sections $V = v + \lambda, W = w + \mu$.}

$$
z = [v, w] + O(R) \quad \zeta = v, d\mu - w, d\lambda + w, (v, F_C) + O(R) 
$$

For this to be true to linear order in $R$ we need

$$
w, (v, d\Delta r) + (v, d\Delta \Xi), \mu - (w, d\Delta \Xi), \lambda = \Xi, (w, (v, F_C)) 
$$

$$
= w, (v, F_C(j \Xi, j^2 F_C)) 
$$

This must be true for all $v, w, \lambda, \mu$ and hence we have

$$
d_\Delta \Xi = 0 \quad d_\Delta r - j \Xi, j^2 F_C = 0 
$$

Now let’s consider the 2-form piece. We have

$$
(e^{-\theta-\tau} \cdot L^{F_C}_{\text{\scriptsize{\textit{F}}}W'}_{\text{\scriptsize{\textit{T}}}})|_{\wedge 2 T^*} = v, d\mu - w, d\lambda + w, (v, F_C) 
$$

$$
+ (r \cdot v + \Xi, \lambda), d\mu + v, d(r \cdot \mu) 
$$

$$
+ d((r \cdot v + \Xi, \lambda), d\mu) + d(v, (r \cdot \mu)) 
$$

$$
- (r \cdot w + \Xi, \mu), d\lambda - w, d(r \cdot \lambda) 
$$

$$
+ (r \cdot w + \Xi, \mu), (v, F_C) + w, ((r \cdot v + \Xi, \lambda), F_C) 
$$

$$
+ w, (v, d\theta) 
$$

$$
\overset{1}{=} \zeta + r \cdot \zeta 
$$

For now, let us set $\lambda, \mu = 0$. We are left with

$$
w, (v, F_C)((r \cdot v), (v, F_C) + w, ((r \cdot v), (F_C) + w, (v, d\theta) 
$$

$$
= w, (v, F_C) + r \cdot (w, (v, F_C)) + w, (v, (F_C + d\theta)) 
$$

$$
\overset{1}{=} \zeta + r \cdot \zeta
For this to be the case, we need $\mathrm{d} \theta - v \cdot F_C \in \Gamma(F^1)$. This is equivalent to the statement that
\[
\pi_1(\mathrm{d} \theta - v \cdot F_C) = 0 \quad \pi_k : \wedge^n T^* \longrightarrow \wedge^n T^*/F^*_k
\] (D.22)
where we have introduced the projection operator $\pi_k$ as defined above for definiteness.

Now let’s set $v, \mu = 0$. We have
\[
-w_\lambda d\lambda - (r \cdot w)_\lambda d\lambda - w_\mu d(r \cdot \lambda) + w_\lambda((\Xi, \lambda)_\lambda F_C) 
\] (D.23)
\[
= -w_\lambda d\lambda - w^a(r^c_a \partial_c \lambda_{ab} - \lambda_{bc} \partial_a r^c_a - \lambda_{ca} \partial_b r^c_a) 
\] (D.24)
\[
- r \cdot (w_\lambda d\lambda) - (w_\lambda d_\lambda r) \cdot \lambda + w_\lambda((\Xi, \lambda)_\lambda F_C) 
\] (D.25)
\[
\equiv \zeta + r \cdot \zeta 
\] (D.26)
This is implied by the fact that $d_\lambda r - j\Xi, j^2 F_C = 0$.

Next, let’s consider when $w = \lambda = 0$. We find
\[
v_\lambda d\mu + (r \cdot v)_\lambda d\mu + v_\lambda d(r \cdot \mu) + d((r \cdot v)_\lambda \mu) + d((\Xi, \mu)_\lambda v F_C) 
\] (D.27)
\[
= v_\lambda d\mu + v^a(r^c_a \partial_c \mu_{ab} - \mu_{bc} \partial_a r^c_a - \mu_{ca} \partial_b r^c_a) 
\] (D.28)
\[
+ r \cdot (v_\lambda d\mu) + (v_\lambda d_\lambda r) \cdot \mu + (\Xi, \mu)_\lambda v F_C 
\] (D.29)
\[
\equiv \zeta + r \cdot \zeta 
\] (D.30)
This is again implied by the fact that $d_\lambda r - j\Xi, j^2 F_C = 0$.

Finally for the 2-forms, we consider the case when $v, w = 0$. We find that
\[
(\Xi, \lambda)_\lambda d\mu - (\Xi, \mu)_\lambda d\lambda + d((\Xi, \lambda)_\lambda \mu) 
\] (D.31)
\[
= 3(\Xi, \lambda)^a \partial_a \mu_{bc} - 3(\Xi, \mu)^a \partial_a \lambda_{bc} + 2\partial_b ((\Xi, \lambda)^a \mu_{a[c]} 
\] (D.32)
\[
\equiv \zeta + r \cdot \zeta = \zeta 
\] (D.33)
Note that we can consider $(d_\lambda \Xi)_\lambda \lambda$ as an adjoint element and hence it has a natural action on $\mu$. Also, the final equality holds to linear order in $R$ since $\zeta \sim O(R)$ in this case. This case holds because $d_\lambda \Xi = 0$.

Now we just need to consider the 5-form pieces and show that they vanish. That is, we need
\[
(e^{-\theta - r} \cdot L^{dF}_W) |_{\wedge^5 T^*} = -\mu \wedge d\lambda - (r \cdot \mu) \wedge d\lambda - \mu \wedge d(r \cdot \lambda) 
\] (D.34)
\[
+ \mu \wedge (v_\lambda (F_C + d\theta)) + (r \cdot \mu) \wedge (v_\lambda F_C) 
\] (D.35)
\[
\equiv 0 
\] (D.35)
Let us first set \( v = 0 \). Then we have

\[
- \mu \wedge d\lambda - (r \cdot \mu) \wedge d\lambda - \mu \wedge d(r \cdot \lambda) + \mu \wedge ((\Xi, \lambda)_j F_C)
\]
\[
= (r \cdot \mu) \wedge d_\Delta \lambda - \mu \wedge d_\Delta (r \cdot \lambda) + \mu \wedge ((j^2 \Xi, \lambda j_2 F_C)_2 \lambda)
\]
\[
= (r \cdot \mu) \wedge d_\Delta \lambda - \mu \wedge ((d_\Delta r \cdot \lambda) - \mu \wedge r \cdot d_\Delta \lambda + \mu \wedge ((j^2 \Xi, \lambda j_2 F_C)_2 \lambda)
\]
\[
= -r \cdot (\mu \wedge d_\Delta \lambda) - \mu \wedge ((d_\Delta r - j_2 \Xi, \lambda j_2 F_C)_2 \lambda)
\]
\[
= 0
\]

This holds because any term \( \sim \mu \wedge d\lambda = 0 \) by virtue of the integrability of \( \Delta \), and by the fact that \( d_\Delta r - j_2 \Xi, \lambda j_2 F_C = 0 \). Now let’s consider instead \( \lambda = 0 \). We have

\[
\mu \wedge (v_\lambda (F_C + d\theta)) + (r \cdot \mu) \wedge (v_\lambda F_C) + \mu \wedge ((r \cdot \lambda)_j F_C)
\]
\[
= \mu \wedge (v_\lambda (F_C + d\theta)) + (r \cdot \mu) \wedge (v_\lambda F_C) + \mu \wedge ((r \cdot \lambda)_j F_C)
\]
\[
= (r \cdot \mu) \wedge (v_\lambda F_C) + \mu \wedge (v_\lambda F_C)
\]
\[
= r \cdot (\mu \wedge (v_\lambda F_C))
\]
\[
= 0
\]

This vanishes because \( \mu \wedge (v_\lambda F_C) = 0 \) by restrictions on \( F_C \) imposed by integrability of \( L_1 \).

Hence, we have found the integrability conditions for the deformations, and they are given by

\[
0 = d_\Delta \Xi
\]
\[
0 = d_\Delta r - j_2 \Xi, \lambda j_2 F_C
\]
\[
0 = \pi_1(d\theta - r \cdot F_C)
\]

Now we need to consider the exactness conditions. These are given by

\[
\tilde{L}_1' = (1 + L_{V_C}^{F_C}) \tilde{L}_1
\]

where \( V = -v - \omega - \sigma \in \Gamma((T/S_0^1) \oplus (\wedge^2 T^*/F_2^1) \oplus \wedge^5 T^*) \). The minus signs are for convenience. Given \( W = w + \mu \in \Gamma(\tilde{L}_1) \), we have

\[
(1 + L_{V_C}^{F_C}) W = w - [v, w]
\]
\[
+ \mu - \mathcal{L}_v \mu + w \cdot d\omega - w \cdot (v \lambda F_C)
\]
\[
+ w \cdot d\sigma + \mu \wedge d\omega + w \cdot \omega \wedge F_C - \mu \wedge (v \lambda F_C)
\]
\[
= (w - v^a \partial_a w^a) + (d_\Delta v) \cdot w
\]
\[
+ (\mu - 3v^a \partial_a \mu^a \mid c) + 2\partial_r [v^a \mu_a | c] + (d_\Delta v) \cdot \mu + w \cdot (d\omega - v \lambda F_C)
\]
\[
+ w \cdot (d\sigma + \omega \wedge F_C) + \mu \wedge (d\omega - v \lambda F_C)
\]
\[
= e^{\pi_1(d\omega - v \lambda F_C)} + (d\sigma + \omega \wedge F_C)(1 + d_\Delta v) \cdot (\tilde{w} + \tilde{\mu})
\]
where \( \tilde{W} = \tilde{w} + \tilde{\mu} \in \Gamma(\tilde{L}_1) \), and we have introduced the projection \( \pi_1 : \wedge^n T^* \rightarrow \wedge^n T^* / \mathcal{F}_1^n \) for definiteness. Hence, the exactness conditions are given by

\[
\begin{align*}
    r &= d\Delta v \\
    \theta &= \pi_1(d\omega - v \wedge F_C) \\
    \tau &= d\sigma + \omega \wedge F_C
\end{align*}
\]

This reproduces the results at the end of section 5.3.

If we assume the flux is trivial, which is not the case for any AdS solution, then we can take the complex twist \( e^{\alpha+\beta} \) to be globally well-defined. In this case, then it is possible to show using the following deformation parameter

\[
R = e^{\alpha+\beta}(r + j \Xi \cdot j\alpha + \Xi + \theta + r \cdot \hat{\alpha} - \frac{1}{2} j\Xi \cdot j\alpha \cdot \hat{\alpha} + \mu - \theta \wedge \hat{\alpha})e^{-\alpha-\beta}
\]

that the cohomology defined by the flux-twisted derivatives above is isomorphic to

\[
H^0_\Delta(M, \wedge^3 X^*/\mathcal{F}_1^3) \oplus H^1_\Delta(M, T/\mathcal{F}_1^0) \oplus H^3_{\mathcal{F}_1^1}(M) \oplus H^6_{\mathcal{F}_1^0}(M)
\]

We have had to assume something slightly stronger about the integrability conditions to show this. Namely that there exists some \( \hat{\alpha} \in \Gamma(\mathcal{F}_1^3) \) such that

\[
d\alpha = d\hat{\alpha}
\]

This is not directly implied by the involutivity conditions (which just states that \( d\alpha \in \Gamma(\mathcal{F}_1^3) \)) but it may be implied by the vanishing of the moment map.

**D.2 Generalised \( \partial\bar{\partial} \) moduli**

Following the notation from section 5.4, the moduli of generic \( SU^*(6) \) structures is counted by the cohomology of the following complex.

\[
\begin{array}{c}
\Gamma(\wedge^2 X^*) \xrightarrow{D_{-1}} \Gamma(\wedge^3 X^*_{-1}) \xrightarrow{D_{-1}} \Gamma(\wedge^4 X^*_{-2}) \\
\Gamma(\wedge^5 X^*_{-1}) \xrightarrow{D_{-1}} \Gamma(\wedge^6 X^*_{-2})
\end{array}
\]

Here \( D_0 \) and \( D_{-1} \) are operators coming from any torsion free USp(6) connection\(^{29}\) decomposed into \( SU^*(6) \) representations.

\[
D = D_1 + D_0 + D_{-1}
\]

In this section, we give the cohomology of the complex above in terms of the cohomology of \( D_{-1} \) provided the background satisfies the generalised \( \partial\bar{\partial} \)-lemma.

\(^{29}\)We will always assume that we are deforming around a full supergravity background.
Definition 11. $D_0$, $D_{-1}$ are said to satisfy the generalised $\partial\bar{\partial}$-lemma if they satisfy the following

$$\text{im } D_0 \cap \ker D_{-1} \subseteq \text{im } D_{-1}D_0$$  \hspace{1cm} (D.62)

With this we can prove the following result.

Proposition 14. If a background satisfies the generalised $\partial\bar{\partial}$-lemma, and $D_0$ defines a chain homomorphism $D_0 : \Gamma(\wedge^\bullet \mathcal{X}_1^*) \to \Gamma(\wedge^\bullet-2 \mathcal{X}_1^*)$, then the cohomology of the complex (D.60) is given by

$$H^3_{D_{-1}} \oplus H^6_{D_{-1}}$$  \hspace{1cm} (D.63)

where $H^p_{D_{-1}}$ is the $p^{th}$ cohomology of the differential $D_{-1}$

Proof. The cohomology of the complex (D.60) is given by

$$\mathcal{H} = \frac{\{A + B \in \Gamma(\wedge^3 \mathcal{X}_{-1}^* \oplus \wedge^6 \mathcal{X}_{-2}^*) | D_{-1}A + D_0B = 0\}}{\{A = D_{-1}C + D_0E, B = \frac{1}{2}D_{-1}E | C \in \Gamma(\wedge^2 \mathcal{X}_0^*), E \in \Gamma(\wedge^5 \mathcal{X}_{-1}^*)\}}$$  \hspace{1cm} (D.64)

Let us define a new quotient group by

$$\mathcal{K} = \frac{\{B \in \Gamma(\wedge^6 \mathcal{X}_{-2}^*) | D_0B = 0\}}{\{B = D_{-1}E | E \in \Gamma(\wedge^5 \mathcal{X}_{-1}^*), D_0E = 0\}}$$  \hspace{1cm} (D.65)

and two maps

$$\theta : H^3_D \oplus \mathcal{K} \longrightarrow \mathcal{H} \quad \psi : \mathcal{H} \longrightarrow H^3_{D_{-1}} \oplus \mathcal{K}$$

where $A, \tilde{A} \in \Gamma(\wedge^3 \mathcal{X}_{-1}^*)$, $B, \tilde{B} \in \Gamma(\wedge^6 \mathcal{X}_{-2}^*)$ and where the subscript denotes the cohomology group that class is a member of. $\tilde{A}, \tilde{B}$ are defined from $[A + B]_H$ in the following way. We have

$$0 = D_{-1}A + D_0B$$  \hspace{1cm} (D.67)

$$\Rightarrow \quad 0 = D_{-1}D_0B$$  \hspace{1cm} (D.68)

So, using the generalised $\partial\bar{\partial}$-lemma we can write $D_0B = D_{-1}D_0E$ for some $E \in \Gamma(\wedge^5 \mathcal{X}_{-1}^*)$.

We then define

$$\tilde{A} = A + D_0E \quad \tilde{B} = B + \frac{1}{2}D_{-1}E$$  \hspace{1cm} (D.69)

We need to check that these do define elements of $H^3_{D_{-1}}$ and $\mathcal{K}$ respectively, and if the map $\psi$ is well defined. Firstly, we note that

$$D_{-1}\tilde{A} = D_{-1}A + D_{-1}D_0E = D_{-1}A + D_0B$$
$$D_0\tilde{B} = D_0B + \frac{1}{2}D_0D_{-1}E = D_0B - D_{-1}D_0E$$

$$= 0$$

The factor of $\frac{1}{2}$ in the quotient is due to the precise form of the projection $D \times_{ad} V$ in $SU^*(6)$ indices.
This shows that $[\hat{A}]_3 \in H^3_{D-}$ and $[\hat{B}]_K \in K$. Note here we have used the fact that, when evaluated on $\Gamma(\wedge^5 X^*_\ast)$
\begin{equation}
D_- D_0 + \frac{1}{2} D_0 D_- = 0
\end{equation}
which follows from the complex (D.60). The factor of $\frac{1}{2}$ comes from the way the Dorfman derivative acts. Now suppose that $[A = B]_H = [A' + B']_H$. Then, there exists $c \in \Gamma(\wedge^2 X^*_0)$, $e \in \Gamma(\wedge^5 X^*_\ast)$ such that
\begin{equation}
A' = D_- c + D_0 e, \quad B' = \frac{1}{2} D_- e
\end{equation}
From these, we define $E'$ such that $D_0 B' = D_- D_0 E'$. It is a simple check to see that we can choose $E' = E - e$. Then we have
\begin{align*}
\hat{A}' & = A' + D_0 E' \\
\hat{B}' & = B' + \frac{1}{2} D_- E'
\end{align*}
\begin{align*}
& = A + D_- c + D_0 e + D_0 (E - e) \\
& = A + D_0 E + D_- c \\
& = \hat{A} + D_- c
\end{align*}
\begin{align*}
& = A + D_0 E + D_- D_0 F \\
& = B + D_- e
\end{align*}
Hence we see that
\begin{equation}
[A + B]_H = [A' + B']_H \quad \Rightarrow \quad [\hat{A}]_3 = [\hat{A}']_3 \quad [\hat{B}]_K = [\hat{B}']_K
\end{equation}
Finally, since $E$ as defined above is not unique, we need to check that the map does not depend on the choice. Indeed, suppose
\begin{equation}
D_0 B = D_- D_0 E = D_- D_0 E' \quad \Rightarrow \quad D_- D_0 (E - E') = 0
\end{equation}
Using the generalised $\partial \bar{\partial}$-lemma again, we can write $D_0 (E' - E) = D_- D_0 F$ for some $F \in \Gamma(\wedge^4 X^*_0)$. Then we have
\begin{align*}
\hat{A}' & = A + D_0 E' \\
\hat{B}' & = B + \frac{1}{2} D_- E'
\end{align*}
\begin{align*}
& = A + D_0 E + D_0 (E' - E) \\
& = A + D_0 E + D_- D_0 F \\
& = \hat{A} + D_- c
\end{align*}
where $c = D_0 F \in \Gamma(\wedge^2 X^*_0)$, and $e = \frac{1}{2} (E - E' + D_- F) \in \Gamma(\wedge^5 X^*_{-1})$ is such that $D_0 e = 0$. Hence we have
\begin{equation}
D_- D_0 E = D_- D_0 E' \quad \Rightarrow \quad [\hat{A}]_3 = [\hat{A}']_3 \quad [\hat{B}]_K = [\hat{B}']_K
\end{equation}
Hence, the map $\psi$ is well defined. It is a simple check to see that $\theta$ is also well defined.
Now we show that $\psi, \theta$ are inverses of each other. Firstly,
\begin{align*}
\theta \circ \psi ([A + B]_H) & = \theta ([\hat{A}]_3 + [\hat{B}]_K) \\
& = [\hat{A} + \hat{B}]_H \\
& = \left[ A + D_0 E + B + \frac{1}{2} D_- E \right]_H \\
& = [A + B]
\end{align*}
Therefore, $\theta \circ \psi = \mathbb{1}_H$. Next consider,
\[
\psi \circ \theta([A]_3 + [B]_\mathcal{K}) = \psi([A + B]_H) = [\hat{A}]_3 + [\hat{B}]_\mathcal{K} = [A + D_0E]_3 + \left[B + \frac{1}{2}D_-E\right] \tag{D.84}
\]
But since $D_0B = 0$ by assumption, we can choose $E = 0$. Hence,
\[
\psi \circ \theta([A]_3 + [B]_\mathcal{K}) = [A]_3 + [B]_\mathcal{K} \tag{D.85}
\]
So $\psi \circ \theta = \mathbb{1}_{H^3 \oplus \mathcal{K}}$ and hence $\psi = \theta^{-1}$. Clearly $\theta$ and $\psi$ are homomorphisms. Hence,
\[
\mathcal{H} \cong H^3_D \oplus \mathcal{K} \tag{D.86}
\]
Now we want to show that $\mathcal{K} \cong H^6_D$. Again, let’s define some maps
\[
\eta : \mathcal{K} \to H^6_D \quad \zeta : H^6_D \to \mathcal{K} \quad [B]_\mathcal{K} \mapsto [B]_{6} \quad [B]_{6} \mapsto [\hat{B}]_\mathcal{K} \tag{D.87}
\]
where $\hat{B}$ is defined by the following. For any $B \in \Gamma(\wedge^6 \mathcal{X}^*)$ we have $D_-B = 0$. But we also assume that $D_0$ is a chain homomorphism, meaning that $D_-(D_0B) = 0$. Hence, using the generalised $\partial\bar{\partial}$-lemma, we can define an $E$ such that
\[
D_0B = D_-D_0E \tag{D.88}
\]
We therefore define $\hat{B}$ as
\[
\hat{B} = B + \frac{1}{2}D_-E \quad \Rightarrow \quad D_0\hat{B} = D_0B + \frac{1}{2}D_0D_-E = 0 \tag{D.89}
\]
A similar proof as above shows that these maps are well defined and are inverses of each other. Hence we have
\[
\mathcal{H} \cong H^3_D \oplus \mathcal{K} \cong H^3_D \oplus H^6_D \tag{D.90}
\]
\[\square\]
\section{D.3 Calabi-Yau moduli}
Here we will show that the Calabi-Yau satisfies the generalised $\partial\bar{\partial}$-lemma and hence we can calculate its moduli using the formula above. The proof involves using a compact Calabi-Yau but the result holds more generally as one can calculate the moduli using a type 0 presentation of the ECS instead.

The ECS for a Calabi-Yau is
\[
J = \frac{1}{2} \left( I - \text{vol} - \text{vol}' \right) \quad L_1 = e^{i\text{vol}} \cdot (T^{1,0} \oplus \wedge^{0,2}T^*) \tag{D.91}
\]
Using the adjoint action of $J$, we can decompose $E_C$ and $\text{ad} \bar{F}_C$ into eigenbundles
\[
E_C = L_1 \oplus L_0 \oplus L_{-1} \quad \text{ad} \bar{F}_C = \text{ad} P_{\mathbb{R} \times \mathcal{X}^* \cup (0)} \oplus S_1 \oplus S_{-1} \oplus S_2 \oplus S_{-2} \tag{D.92}
\]
The eigenbundles needed for the deformation problem laid out in the previous section are given explicitly by

\[
\wedge^5 \mathcal{X}_{-1} = L_- = \left\{ \bar{w} - i \omega_\omega \ vol \: \bar{w} \in T^{0,1} \ \right| \ \omega \in \wedge^{2,0} T^* \right\} \quad (D.93)
\]

\[
\wedge^2 \mathcal{X}_0^* = L_0 = \left\{ \bar{v} - i \omega_\omega \ vol \: \bar{v} \in T^{1,0} \ \right| \ \theta \in \wedge^{1,1} T^* \right\} \quad (D.94)
\]

\[
\wedge^3 \mathcal{X}_{-1} = S_{-1} = \left\{ \alpha \left( \frac{2}{3} + \frac{1}{3} \Omega + i \ vol - i \ vol^\# \right) \ \right| \ \alpha \in \mathbb{C} \ \right\} \quad (D.95)
\]

\[
\wedge^6 \mathcal{X}_{-2} = S_{-2} = \left\{ \lambda + i \ vol^\# \ vol \: \lambda \in \wedge^{3,0} T^* \right\} \quad (D.96)
\]

Using the holomorphic 3-form \( \Omega \) of the Calabi-Yau, we can define a chain isomorphism \( \mathcal{X}^* \simeq T^* \). Indeed, we have

\[
\wedge^5 \mathcal{X}_{-1} \rightarrow \left\{ \bar{w}_\omega \ vol \in \wedge^{3,2} T^* \ \right| \ \omega \wedge \bar{\Omega} \in \wedge^{3,3} T^* \right\} \sim \wedge^5 T^* \quad (D.97)
\]

\[
\wedge^2 \mathcal{X}_0^* \rightarrow \left\{ \bar{v}_\omega \Omega \in \wedge^{2,0} T^* \ \right| \ \theta \in \wedge^{1,1} T^* \right\} \sim \wedge^2 T^* \quad (D.98)
\]

\[
\wedge^3 \mathcal{X}_{-1} \rightarrow \left\{ \alpha \Omega \in \wedge^{3,0} T^* \ \right| \ \beta \in \wedge^{2,1} T^* \ \right\} \sim \wedge^3 T^* \quad (D.99)
\]

\[
\wedge^6 \mathcal{X}_{-2} \rightarrow \left\{ \lambda + \ vol^\# \ vol \: \lambda \in \wedge^{3,3} T^* \right\} \sim \wedge^6 T^* \quad (D.100)
\]

We can also take the torsion free compatible connection \( \nabla \), and lift it to a generalised connection \( D \) as in [23]. With this lift, and with the isomorphism above we find

\[
D_- \rightarrow \partial \quad D_0 \rightarrow \Omega^\# \omega \bar{\partial} + \bar{\Omega}^\# \omega \partial \quad (D.101)
\]

where here \( \partial, \bar{\partial} \) denote the projection of \( \nabla \) onto the \( T^{*1,0}, T^{0,1} \) piece respectively.

We need to show that these operators satisfy the generalised \( \partial \bar{\partial} \)-lemma. We just need to show this for elements in \( \wedge^5 T^* \) and \( \wedge^6 T^* \) for the proof to hold.

**Proof.** First take \( \alpha \in \wedge^{2,3} T^* \). Then we have

\[
D_0 \alpha = (\Omega_\# \omega \bar{\partial})_\omega \alpha + (\bar{\Omega}^\# \omega \partial) \alpha \quad (D.102)
\]
We can consider only the second term which is just $\bar{\Omega}^\theta (\partial \alpha)$. Suppose further that $D_0 \alpha \in \ker D_- \sim \ker \partial$. Then each term individually has to be in $\ker \partial$. Since $h^{0,3} = 1$, we must have that, up to $\partial$ exact terms

$$\bar{\Omega}^\theta (\partial \alpha) = c \bar{\Omega} \Rightarrow \partial \alpha = c \operatorname{vol}$$  \hbox{(D.103)}$$

for some constants $c, \bar{c}$. However, vol is not $\partial$-exact and hence we must have $c = \bar{c} = 0$. Therefore, $\partial \alpha = 0$ and so $[\alpha] \in H_\partial^{2,3} = 0$. Therefore, $\alpha$ is $\partial$-exact and so $D_0 \alpha = D_0 D_- a \sim D_- D_0 a$ for some $a \in \wedge^4 T^\ast$.

Now take $\beta \in \wedge^{3,2} T^\ast$. Here we automatically have $\partial \beta = 0$ and so $[\beta] \in H_\partial^{3,2} = 0$. Therefore $\beta$ is $\partial$-exact and so $D_0 \beta = D_0 D_- b \sim D_- D_0 b$ for some $b \in \wedge^4 T^\ast$.

Finally, we take $\gamma \in \wedge^{3,3} T^\ast$ and write this as $\gamma = c \operatorname{vol} + \partial \psi$ for some constant $c$ and some $\psi \in \wedge^{2,3} T^\ast$. For any constant, we have $D_0 (c \operatorname{vol}) = 0$ since $D_0$ is built from the compatible connection $\nabla$. Therefore, we have

$$D_0 \gamma = D_0 \partial \psi = D_0 D_- \psi \sim D_- D_0 \psi$$  \hbox{(D.104)}$$

This gives the result.

Using the results of the previous section on the moduli of a background satisfying the generalised $\partial\bar{\partial}$-lemma, we see that the moduli of the Calabi-Yau are given by

$$\mathcal{H} = H_\partial^{3} \oplus H_\partial^{6} \cong H_\partial^{3} \oplus H_\partial^{0}$$  \hbox{(D.105)}$$

Note that, since $H_\partial^{0} \cong H_\partial^{P}$ for a Calabi-Yau manifold, this agrees with the result obtained for the moduli of the Calabi-Yau calculated through a type 0 ECS, as discussed in section 5.2.

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