Multimarker Reverse Transcriptase-Polymerase Chain Reaction Assay in Lymphatic Drainage and Sentinel Node Tumor Burden

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ABSTRACT

Purpose. We assessed molecular (presence of melanoma cells markers in lymph fluid [LY]) and pathological features (sentinel lymph node [SN] tumor burden according to Rotterdam criteria, metastases microanatomic location) and correlated them with survival and melanoma prognostic factors in a group of patients with positive SN biopsy.

Methods. We analyzed 368 consecutive SN-positive patients after completion lymph node dissection (CLND). In 321 patients we obtained data on SLN microanatomic location/tumor burden (only 7 cases had metastases <0.1 mm); in 137 we additionally analyzed 24-hour collected LY after CLND (multimarker reverse transcriptase-polymerase chain reaction [MM-RT-PCR] with primers for tyrosinase, MART1 (MelanA), and uMAGE mRNA (27.7% positive samples)). Median follow-up time was 41 months.

Results. According to univariate analysis, the following factors had a negative impact on overall survival (OS): higher Breslow thickness ($P = .0001$), ulceration ($P < .0001$), higher Clark level ($P = .008$), male gender ($P = .0001$), metastatic lymph nodes $>1$ ($P < .0001$), nodal metastases extracapsular extension ($P < .0001$), metastases to additional non-SNs ($P = .0004$), micrometastases size $\geq 0.1$ mm ($P = .0006$), and positive LY MM-RT-PCR ($P = .0007$). SN tumor burden showed linear correlation with increasing Breslow thickness ($P = .01$). The 5-year OS rates for SLN tumor burden $<0.1$ mm, 1–1.0 mm, and $\geq 1.0$ mm were 84%/66%/44%, respectively, and for positive and negative LY MM-RT-PCR 47%/0%, respectively. The independent factors for shorter OS (multivariate analysis): male gender, primary tumor ulceration, number of involved nodes $\geq 4$, micrometastases size $>1.0$ mm, and, in additional model including molecular analysis—positive MM-RT-PCR results (hazard ratio [HR] 3.2), micrometastases size $>1.0$ mm (HR 1.13), and primary tumor ulceration (HR 2.17). Similar results were demonstrated for disease-free survival (DFS) data.

Conclusions. SN tumor burden categories according to Rotterdam criteria and the positive result of LY MM-RT-PCR assay demonstrated additional, independent prognostic value in SN-positive melanoma patients, showing significant correlation with shorter DFS and OS.

Sentinel node biopsy (SNB) is currently a valuable and reliable diagnostic procedure for precise staging of cutaneous melanoma patients with clinically noninvolved regional nodes.¹-⁴ In case of positive sentinel node biopsy the radical removal of lymph nodes of involved basin is indicated. The new 2010 American Joint Committee on Cancer (AJCC) cutaneous melanoma staging system confirmed the value of sentinel lymph node biopsy (SNB) as minimally invasive surgical procedure for microstaging of...
the presence of metastases in regional nodal basin.\textsuperscript{5} The AJCC and our previous analysis confirmed that the features of nodal metastases (number of involved nodes) and primary tumor features (e.g., presence of ulceration, tumor Breslow thickness) have the impact on patient outcome after completion lymph node dissection (CLND) due to positive SNB.\textsuperscript{6,7} However, current prognostic factors are still inadequate for precise guide of the treatment, because of the high heterogeneity of SNB-positive patients. Recent discoveries have stressed the prognostic role of SN tumor burden and microanatomic tumor deposit location.\textsuperscript{7–9} Van Akkooi et al. showed that submicrometastases <0.1 mm according to the Rotterdam criteria have extremely favorable prognosis identical to patients with negative SNs.\textsuperscript{7,8}

Additional disease-specific factors can provide molecular techniques. We have demonstrated previously with using two-marker or multimarker reverse transcriptase-polymerase chain reaction (MM RT-PCR) technique in the group of cutaneous melanomas with regional node metastases (microscopic and macroscopic), that positive result of this assay correlated significantly with early melanoma recurrence and shorter survival.\textsuperscript{10–12}

The aim of the present study was to assess the role of these new molecular and pathologic prognostic factors and correlate them with other clinical-pathological factors and survival data in group of cutaneous melanoma SN-positive patients after CLND, treated in 1 institution.

PATIENTS AND METHODS

Patient Characteristics

We analyzed the data of 368 consecutive patients with histologically confirmed cutaneous melanoma, who underwent radical lymph node dissection (CLND) at the Department of Soft Tissue/Bone Sarcoma and Melanoma at the Maria Sklodowska-Curie Memorial Cancer Centre and Institute of Oncology, Warsaw, Poland (CCIO) between May 1995 and February 2009 because of positive SNB. The detailed technique of SNB and the pathologic examination of SNs have been already presented in our previous publications.\textsuperscript{4} The false-negative cases (defined as nodal recurrence after initially negative SLN biopsy in the biopsied basin) were excluded from the CLND group. The margin of excision of all the primaries was \( \geq 1 \) cm. Each patient provided written informed consent. The study was approved by the local Bio-Ethics Committee according to Good Clinical Practice Guidelines.

All patients undergoing SNB had primary cutaneous melanoma after excisional biopsy with Breslow thickness \( \geq 0.75 \) mm or ulcerated or Clark level \( \geq IV \) (all histological diagnoses were confirmed in the Department of Pathology CCIO) with clinically nonpalpable regional lymph nodes and without distant metastases.

The patients had not undergone any other preliminary selection. Only patients who met with all the conditions listed previously were enrolled in the study. The clinicopathological stage of the melanoma patients was determined by pathological evaluation of the primary lesion and of the dissected lymph nodes, as well as by physical examination and routine imaging examinations (chest X-ray, ultrasound of the abdominal cavity, and computed tomography imaging, if necessary).

Patients’ characteristics are summarized in Table 1. All patients had confirmed primary cutaneous melanoma. Radical lymph node dissections were performed according to the technique described by Karakousis.\textsuperscript{13} For ilioinguinal lymphadenectomy we routinely excised the superficial and deep levels below the inguinal ligament to the level of the aortic bifurcation combined with obturator lymph node dissection. A total of 82 patients received interferon-\( \alpha 2b \) as adjuvant treatment after lymphadenectomy in accord with the EORTC 18952 trial (without significant influence on survival data).\textsuperscript{14}

All patients were followed carefully with a median follow-up time of 41 months for survivors (range, 6–120 months). Postoperative follow-up consisted of physical examination and routine imaging investigations (chest X-ray, ultrasound of the abdominal cavity). Routinely, surveillance was recommended every 3 months for the first 2 years, every 4 months in year 3, every 6 months for years 4–5, and thereafter annually.

Pathological Examination

A total of 321 cases of pathological slides of the SNs were re-evaluated for the purpose of this study [by AA, WM]. It was performed according to the EORTC pathology protocol developed by Cook et al., but only H&E staining was performed first.\textsuperscript{15} If this was negative, other slides were stained with S100 and HMB-45. The amount of SN tumor burden was measured according to the Rotterdam criteria, which consist of the following: measure the maximum diameter (in any direction) of the largest lesion on a slide.\textsuperscript{7} All positive slides are examined, and this process of measuring the largest lesion is repeated. The largest value overall (which is the largest diameter measured anywhere on 1 slide in 1 patient) has been defined as the amount of SN tumor burden (in mm). If a patient had multiple positive SNs, the largest maximum diameter of any of the SNs is the largest overall and thus the amount of SN tumor burden for this patient. Categories were made for SN tumor burden as follows: <0.1 mm (submicrometastases), 0.1–1.0 mm, and \( >1.0 \) mm.
The location of the metastases was also recorded, according to the Dewar criteria for the microanatomic location of the metastasis. This was either: subcapsular, parenchymal, combined, multifocal, or extensive.

**Lymph Fluid Preparation, RNA Isolation and RT-PCR Methods**

The lymph fluid samples (usually 50–100 ml) were collected in 137 patients in 24–72 h after the radical lymphadenectomy (CLND) from routinely used sucking, postoperative drainages. As soon as possible after collection, the samples were centrifuged (500 g, 10 min) and incubated with red blood cell-lysing solution for 10 min on ice. The samples were centrifuged again, and the pellets were collected. Total cellular RNA was prepared from fresh or frozen pellets as described previously. RNA was further purified from trace amounts of DNA according to the method recommended by the DNase I (RNase-free) producer (Gibco-BRL), except that one-fourth of the

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**TABLE 1** Patient characteristics and distribution of clinicopathological features in all CLND patients

| Category | N  | %  |
|----------|----|----|
| Gender   |    |    |
| Female   | 192| 52.2|
| Male     | 176| 47.8|
| Age (years) |   |    |
| < 40     | 75 | 20.4|
| 40–60    | 185| 50.3|
| ≥60      | 108| 29.3|
| Mean/median | 51/52 |    |
| Primary tumor site |   |    |
| Head/neck| 1  | 0.3|
| Upper extremity| 54| 14.7|
| Lower extremity| 130| 35.3|
| Anterior trunk| 49| 13.3|
| Posterior trunk| 134| 36.4|
| Primary melanoma Breslow thickness (mm) |   |    |
| ≤1.0     | 24 | 6.7|
| 1.01–2.0 | 46 | 12.8|
| 2.01–4.0 | 127| 35.5|
| >4.0     | 161| 45.0|
| Data not available | 10 |    |
| Median   | 4  |    |
| Primary melanoma level of invasion according to Clark |   |    |
| II       | 24 | 6.7|
| III      | 122| 34.1|
| IV       | 158| 44.1|
| V        | 54 | 15.1|
| Data not available | 10 |    |
| Ulceration of melanoma |   |    |
| No       | 112| 32.3|
| Yes      | 235| 67.7|
| Data not available | 21 |    |
| Pathological type |   |    |
| NM       | 205| 66.6|
| SSM      | 76 | 24.6|
| ALM      | 12 | 3.9|
| LMM      | 15 | 4.9|
| Data not available | 60 |    |
| Location of lymph node dissection |   |    |
| Neck     | 1  | 0.3|
| Axillary | 212| 57.7|
| Inguinal | 152| 41.3|
| Other/mixed | 3 (axillary-inguinal) | 0.7|
| Number of lymph nodes with metastases |   |    |
| 1        | 205| 56.0|
| 2–3      | 125| 34.2|
| ≥4       | 36 | 9.8|
| Data not available | 2 |    |

**TABLE 1 continued**

| Category                                         | N  | %  |
|--------------------------------------------------|----|----|
| Extracapsular extension of lymph node metastases |    |    |
| No                                               | 284| 77.2|
| Yes                                              | 84 | 22.8|
| Metastases to sentinel nodes only                 |    |    |
| Yes                                              | 262| 71.6|
| No                                               | 104| 28.4|
| Data not available                               | 2  |    |
| Maximal diameter of metastases to sentinel node a |   |    |
| <0.1 mm                                          | 7  | 2.2|
| 0.1–1.0 mm                                       | 105| 32.7|
| >1.0 mm                                          | 209| 65.1|
| Microanatomic localization of metastases in SN a  |   |    |
| Subcapsular                                      | 18 | 5.6|
| Combined                                         | 149| 46.4|
| Parenchymal                                      | 67 | 20.9|
| Multifocal                                       | 15 | 4.7|
| Extensive                                        | 72 | 22.4|
| Lymph MM RT-PCR assay result b                   |    |    |
| Positive                                         | 38 | 27.7|
| Negative                                         | 99 | 72.3|

CLND group patients with regional lymph nodes metastases detected by sentinel lymph node biopsy followed by completion lymph node dissection, NM nodular melanoma, SSM superficial spreading melanoma, ALM acral lentiginous melanoma, LMM lentigo malignant melanoma, SN sentinel lymph node, MM RT-PCR multimarker reverse-transcriptase polymerase chain reaction.

a 321 patients

b 137 patients
isolated as described by Chomczynski and Sacchi. Before MeW151 and from normal and fetal fibroblast lines was photometrically. RNA from the human melanoma cell line extraction. RNA concentrations were determined spectrophotometrically. RNA from the human melanoma cell line MeW151, and from normal and fetal fibroblast lines was isolated as described by Chomczynski and Sacchi. Before cDNA synthesis, the integrity of each RNA sample was checked by electrophoresis on a denaturing agarose gel. No obvious degradation of the 28S and 18S RNA bands was noted. The DNA contamination in RNA preparations were checked in PCR assay with human glyceraldehyde-3-phosphate dehydrogenase (GAPDH 1 and GAPDH 2) primers and RNA as template. The quality of cDNA was controlled by PCR, with GAPDH 1, GAPDH 2 primers.

The Multi-marker [HTYR, MART1/MelanA, and universal melanoma antigen gene (uMAGE)] RT-PCR assay was carried out as described previously, with minor modifications. For reverse transcription, 2 μg RNA was used. The primer sequences and PCR conditions for HTYR and MART1/MelanA amplification and for uMAGE amplification were described in Ruka et al. and in Miyashiro et al., respectively. The solution was supplemented with the remaining RT reagents and SuperScript reverse transcriptase to a final volume of 20 μl, according to the conditions recommended by the manufacturer (Gibco-BRL). The reaction mixture was incubated at 37°C for 1 h. The cDNA product was further purified by phenol/chloroform extraction and precipitation with 2.5 volumes of ethanol. The precipitated pellet was dissolved in 15 μl DEPC-treated water. The resulting amplification products (10 μl) were analyzed by electrophoresis on a 2% agarose gel, with DdeI-digested pUC 19 as a molecular weight standard. The positive control in each experiment was cDNA from melanoma cell line MeW151, which is known to express each of the 3 markers.

The lymphatic drainage sample was deemed positive for the presence of melanoma cells if at least 1 melanoma marker was detected by MM RT-PCR. The researchers who performed the PCR assay were blinded to disease status, and those recording the disease status were blinded to the PCR assay results.

Statistical Analyses

All statistical analyses were performed using R 2.10.1 statistical program (R Development Core Team; http://www.R-project.org). Contingency tables were analyzed by the chi-square test. Correlation between primary tumor Breslow thickness and SN metastases size was analyzed with use of Spearman correlation coefficient. For comparison of 2 groups with non-normal distribution nonparametric the Mann–Whitney U test was applied.

For the survival analysis the Kaplan–Meier estimator was used with the log-rank tests for bivariate comparisons. Overall survival (OS) time for the assessment of prognostic value of clinical and pathological parameters was calculated from the date of lymph node dissection (CLND) to the date of the most recent follow-up (censored data) or death (as it was evaluated in the melanoma AJCC staging system). Similarly, disease-free survival time (DFS) was calculated from the date of therapeutic lymphadenectomy to the date of the most recent follow-up or disease recurrence.

Clinical and pathological parameters as follow: gender, age (<40 vs. 40–60 vs. >60 years), primary tumor Breslow thickness (≤1.0 vs. 1.01–2.0 vs. 2.01–4.0 vs. >4.0 mm), presence of ulceration of primary lesion, primary tumor level of invasion according to Clark (II/III vs. IV/V), localization of lymphadenectomy (inguinal vs. axillary), number of lymph nodes with metastases (1 vs. 2–3 vs. ≥4), presence of extracapsular invasion in involved lymph nodes, size of metastases to SN according to Rotterdam criteria, microanatomic location of the metastasis and result of lymph fluid MM RT-PCR were tested as a factors affecting patients survival. We have not analyzed the prognostic significance of multiple markers as compared with single markers in lymph fluid MM RT-PCR, because of limited number of cases with positive multiple markers.

In the course of the multivariate analysis of the factors associated with shortened survival time, we used Cox’s proportional hazard models, applying the stepwise (forward) model building procedure, including all covariates significant at 20% level in bivariate analysis. Because of the limited number of patients (137), in whom the postoperative lymph fluid was analyzed with MM RT-PCR, 2 models for multivariate analysis were constructed: I without MM RT-PCR results, and II including RT-PCR results.

The differences were considered statistically significant if the P values were <.05.

RESULTS

RT-PCR and Clinical-Pathological Results

Positive results for the MM RT-PCR assay were recorded in 38 of 137 analyzed lymph fluid specimens (27.7%). Positive results for the RT-PCR assay correlated with a higher Breslow thickness (P < .01), the higher number of metastatic regional lymph nodes (P = .01) and an older patients age (P < .05). A significantly higher rate of recurrence was observed in patients with positive RT-PCR results (27 of 38 cases, 71.1%) than in those with negative results (49 of 99 cases, 49.5%; P = .02). The pattern of the first recurrences in the MM RT-PCR patients was: 18
TABLE 2 Correlation of sentinel node tumor burden according to Rotterdam criteria and presence of metastases to nonsentinel nodes

| Sentinel node tumor burden | No additional nonsentinel nodes involvement | Additional nonsentinel nodes involvement |
|---------------------------|------------------------------------------|-----------------------------------------|
| <0.1 mm                   | 7 (100%)                                 | 0 (0%)                                  |
| 0.1–1.0 mm                | 86 (81%)                                 | 19 (19%)                                |
| >1.0 mm                   | 146 (69%)                                | 63 (31%)                                |

According to the multivariate analysis, we have confirmed and identified that the most important factors negatively influencing OS are: in model I (without results of lymph MM RT-PCR)—male gender (HR = 2.004; 95% CI = 1.348–2.976; P = .001), features of primary tumor [presence of ulceration (HR = 2.168; 95% CI = 1.35–3.48; P = .001)], and features of nodal metastases [SN tumor burden >1.0 mm (HR = 1.06; 95% CI = 1.014–1.11; P = .010) and number of involved nodes >1 (P < .0001)]; and in model 2 (including results of lymph MM RT-PCR)—presence of ulceration of primary melanoma (HR = 2.17; 95% CI = 1.12–4.2; P = .021), SN tumor burden >1.0 mm (HR = 1.13; 95% CI = 1.05–1.21; P = .001) and positive result of lymph fluid MM RT-PCR (HR = 3.24; 95% CI = 1.76–5.95; P < .001) (Table 4).

Survival Analysis

The median OS and 5-year OS rates were: 59.2 months and 51.5% (95% confidence interval [95% CI]: 44.9%–59.9%), respectively; and the median DFS and 5-year DFS rates were: 29.7 months and 40.5% (95% CI: 34.5%–47.8%), respectively.

The results of univariate analysis of the impact of individual factors on OS and DFS (calculated from the date of lymph node dissection) are shown in Table 3.

According to the univariate analysis, the following factors had a negative impact on overall survival of patients after CLND: higher primary tumor Breslow thickness, ulceration of primary tumor, higher level of invasion in primary melanoma according to Clark, male gender, number of lymph node with metastases >1, extracapsular extension of nodal metastases, axillary location of nodal metastases, presence of metastases to additional non-SNs, maximal SN tumor burden ≥0.1 mm (Fig. 1a) and positive result of lymph fluid MM RT-PCR (Fig. 1b).

The following factors had a negative impact on disease-free survival according to the univariate analysis: male gender, higher primary tumor Breslow thickness, higher level of invasion in primary melanoma according to Clark, ulceration of primary tumor, number of lymph nodes with metastases >1, extracapsular extension of nodal metastases, presence of metastases to additional non-SNs, maximal SN tumor burden ≥0.1 mm and positive result of lymph fluid MM RT-PCR.

DISCUSSION

The presence of nodal metastases in patients with primary cutaneous melanoma is the most important factor that adversely affects the biological behavior and is related to poor prognosis. Sentinel node biopsy is perhaps the greatest contribution in surgical oncology of last 2 decades for assessment of the stage of regional nodes. Along with the more extensive pathological protocols of sentinel node examinations (using serial sectioning and immunohistochemistry) SNB is currently the most powerful technique for the identification of micrometastases to regional lymph nodes. There is a worldwide agreement about the negative prognostic implications of node positivity in cutaneous melanoma, but the clinical significance of tiny tumor deposits is still debatable. We have confirmed in our group of patients that the Rotterdam criteria for assessment of tumor burden in SNs gives the independent prognostic information and may in the future replace other factors related to nodal metastases (as number of metastatic nodes or extracapsular involvement—the factors, which
independent significance was confirmed in our previous analysis not including SN deposit size). The group of patients with submicrometastases <0.1 mm, although responsible for only 2.2% of positive SNs in our group of patients (what might be related to rather high median Breslow thickness of primaries and explained by
demonstrated in our analysis existing the linear correlation between primary tumor thickness and SN tumor deposit size, was characterized by excellent prognosis with 5-year OS exceeding 80%. Moreover, we have confirmed that, the tumor burden assessed by these criteria is closely associated with the presence of metastases to additional non-SNs after CLND, what has been suggested previously.7,8,25,26 The presence of positive non-SNs is per se highly negative prognostic factor for patient survival analyzed recently by other authors.27,28

The other important result of our study is the confirmation of the important prognostic impact of the MM RT-PCR assay proposed by us for assessment of the presence of melanoma molecular markers in lymph fluid collected routinely after lymph node dissection.10–12 In the multivariate analysis model including the results of lymph MM RT-PCR, we have demonstrated that positive result of this assay is the most important independent prognostic factor (beyond the primary tumor ulceration and SN tumor burden according to Rotterdam criteria) for poor survival in group of patients limited to SN-positive cases. The results of RT-PCR analyses of melanoma circulating cells in blood specimens (especially from single, not serial determination studies) and of detection of melanoma molecular markers in SNs are controversial.29–47 The analysis of lymph MM RT-PCR gave more consistent data, which may be related to high volume of lymph fluid in patients with confirmed lymphatic dissemination of the disease (in the blood tumor cells may be present at extremely low concentrations and tumor shedding may be intermittent) and using multiple

| Variable                                      | Model 1 (N = 321) |                  | Model 2 (N = 137) |                  |
|-----------------------------------------------|-------------------|------------------|-------------------|------------------|
|                                               | P value           | HR               | 95% CI            | P value          | HR               | 95% CI            |
| Gender                                        |                   |                  |                   |                  |
| Male vs. female                               | .001              | 0.499            | 0.336–0.742       | .021             | 2.17             | 1.124–4.201       |
| Primary melanoma level of invasion according to Clark |                   |                  |                   |                  |
| Clark III                                     | .216              | 0.580            | 0.245–1.374       |                  |
| Clark IV                                      | .574              | 1.266            | 0.557–2.875       |                  |
| Clark V                                       | .871              | 0.929            | 0.380–2.272       |                  |
| Ulceration of melanoma                       |                   |                  |                   |                  |
| Present vs absent                             | .001              | 2.168            | 1.349–3.483       | .021             | 2.17             | 1.124–4.201       |
| Maximal diameter of metastases to sentinel node |                   |                  |                   |                  |
| >1.0 mm versus 0.1–1.0 mm                    | .010              | 1.061            | 1.014–1.110       | .001             | 1.128            | 1.053–1.209       |
| Number of lymph nodes with metastases         |                   |                  |                   |                  |
| 2–3                                          | .111              | 1.409            | 0.924–2.147       |                  |
| ≥4                                           | .006              | 2.692            | 1.328–5.453       |                  |
| Lymph MM RT-PCR assay result                 |                   |                  |                   |                  |
| Positive vs. negative                         | <.001             | 3.24             | 1.78–5.95         |                  |

HR hazard ratio, CI confidence interval, MM RT-PCR multimarker reverse-transcriptase polymerase chain reaction, NA not available.
target markers, including tyrosinase, MART1, and uMAGE. Using multimarker assay might increase sensitivity of our test.\textsuperscript{42,48} The choice of the set of pigmented cell-specific markers for our MM RT-PCR guarantees the covering the different melanoma cells phenotypes and comprises the most commonly investigated marker, transcribed actively mainly in melanocytes-tyrosinase, MART-1 frequently expressed by melanoma cells mainly in locoregional stage, and uMAGE designed for detection of MAGE-A1, MAGE-A3, MAGE-A5, MAGE-A6, and MAGE-A12.\textsuperscript{36,43,44,49–51} However, the final value of this test for selecting of patients at higher risk of relapses and prediction of their prognosis should be confirmed in other cohort of patients. The results of our study should not be translated to all populations, because they are based on the group of relatively advanced primary lesions with high percentage of ulcerated melanomas and prevalence of the sentinel nodes metastatic deposits larger than 1 mm.

To summarize, we have demonstrated that SLN tumor burden categories according Rotterdam criteria and the positive result of lymph MM RT-PCR assay had additional, independent prognostic value in SN-positive melanoma patients, showing significant correlation with shorter DFS and OS. We suggest including the Rotterdam criteria for assessment of tumor burden in SNs and RT-PCR analysis of lymphatic fluid in future clinical trials involving selective lymphadenectomies in patients with cutaneous melanoma.

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