Burnout among primary healthcare workers during implementation of integrated mental healthcare in rural Ethiopia: a cohort study

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Abstract

Background: The short-term course of burnout in healthcare workers in low- and middle-income countries has undergone limited evaluation. The aim of this study was to assess the short-term outcome of burnout symptoms in the context of implementation of a new mental health programme in a rural African district.

Methods: We followed up 145 primary healthcare workers (HCWs) working in 66 rural primary healthcare (PHC) facilities in Southern Ethiopia, where a new integrated mental health service was being implemented. Burnout was assessed at baseline, i.e. when the new service was being introduced, and after 6 months. Data were collected through self-administered questionnaires, including the Maslach Burnout Inventory (MBI) and instruments measuring professional satisfaction and psychosocial factors. Generalised estimating equations (GEE) were used to assess the association between change in the core dimension of burnout (emotional exhaustion) and relevant work-related and psychosocial factors.

Results: A total of 136 (93.8%) of HCWs completed and returned their questionnaires at 6 months. There was a non-significant reduction in the burnout level between the two time points. In GEE regression models, high depression symptom scores (adjusted mean difference (aMD) 0.56, 95% CI 0.29, 0.83, \( p < 0.01 \)), experiencing two or more stressful life events (aMD 1.37, 95% CI 0.06, 2.14, \( p < 0.01 \)), being a community health extension worker vs. facility-based HCW (aMD 5.80, 95% CI 3.21, 8.38, \( p < 0.01 \)), perceived job insecurity (aMD 0.73, 95% CI 0.08, 1.38, \( p = 0.03 \)) and older age (aMD 0.36, 95% CI 0.09, 0.63, \( p = 0.01 \)) were significantly associated with higher levels of emotional exhaustion longitudinally.

Conclusion: In the short-term, there was no significant change in the level of burnout in the context of adding mental healthcare to the workload of HCWs. However, longer term and larger scale studies are required to substantiate this. This evidence can serve as baseline information for an intervention development to enhance wellbeing and reduce burnout.

Keywords: Job-related stress, Burnout, Professional satisfaction, Wellbeing, Healthcare workers, Primary healthcare, Ethiopia

Background

Mental healthcare is a neglected component of healthcare in most low- and middle-income countries (LMICs). As a consequence, the treatment gap even for serious mental disorders in LMICs is substantial [1]. The available mental health services are mostly centralised and led by a small number of specialists [2]. In recognition of the impossibility of reaching all in need through specialists, the World Health Organization (WHO) developed the Mental Health Gap Action Programme (mhGAP) [3]. This initiative provides interventions for improving access to care through task-shared care integrated into primary healthcare (PHC) [4]. There is some evidence indicating the feasibility [5], efficiency and cost-effectiveness [2] of this model of care provision, in addition to some evidence that PHC-based care may be less stigmatising [6]. Moreover, as PHC is the place where most people across the world receive healthcare [7], task-sharing is an attractive...
proposition for achieving Universal Health Coverage (UHC) [8, 9].

However, the acute shortage and maldistribution of HCWs in LMICs [10, 11] has major implications for the feasibility and acceptability of task-shared care. For example, in Ethiopia, the HCW to population ratio of 0.7 per 1000 population is much lower than the minimum that has been recommended by WHO (2.3 per 1000) [12]. This occurs in the context of a fragile healthcare system and a high disease burden, low healthcare resources and stressful work environment [13, 14]. Therefore, engaging HCWs in task-shared care for people with mental health problems may expose them to an additional burden with the potential for increased work-related stress and burnout. On the other hand, training HCWs in mental healthcare could reduce stress and burnout because they are better equipped to deal with a set of commonly encountered conditions and may also develop understanding of their own mental health needs.

Ethiopia has chosen to undertake the expansion of mental healthcare through integration into primary care as a major strategic agenda [15]. Although this will undoubtedly expand the responsibility of primary HCWs and may expose them to job-related health issues such as burnout, appropriate training support may increase their competence and confidence. The latter may enhance their wellbeing. Expanding the responsibility of HCWs may not increase the risk of burnout however significant the risk might be.

Burnout is a phenomenon resulting from exposure to continuous job-related stress [16]. It is understood to be a group of three sub-syndromes constituted as domains. The first domain is emotional exhaustion (EE), which is a feeling of being overextended and inability to be compassionate to others. The second domain is cynicism (CY) or depersonalisation in which the HCW distances him/herself from the patient and begins to see them as impersonal objects. The third domain is a reduced feeling of personal accomplishment (PA). EE is considered as the core element of burnout [17]. In this state, the provider evaluates his/her work negatively irrespective of patient outcomes [18, 19]. Burnout may have serious consequences for the quality of healthcare delivery, patient safety [20, 21] and the wellbeing of the HCW. For example, burnout has been associated with chronic fatigue [22], physical health problems such as low back pain [23], cardiovascular diseases [24], depression [25, 26], and substance abuse problems [27] in HCWs experiencing burnout.

Several factors have been found to be associated with the development of HCW burnout, including socio-demographic [28, 29], psychosocial [30], work-related factors, such as role ambiguity and lack of experience [31], and team relationships [32]. Lower job satisfaction and employee’s negative attitude towards their job are also linked with burnout [33]. Several studies have indicated that African HCWs have low job satisfaction [34–36], which may increase the risk of burnout [37]. Development of burnout can have an impact on the HCW’s motivation [38], intention to stay in the position [39], compassion to [40], and communication with, patients [41] and service quality [42, 43].

However, HCW wellbeing in general, and level of burnout and professional satisfaction in the context of mental health service integration in particular, is a little-investigated area in LMICs especially in sub-Saharan Africa [23, 41, 44]. In addition, most of the available studies on the subject in LMIC have used a cross-sectional study design [23, 31, 32, 45, 46].

In our previous studies, we have explored the conceptualisation of burnout, job-related wellbeing and wellbeing [47] and cross-sectionally estimated the magnitude of burnout [48] in HCWs in a rural Ethiopian district. In this study, we aim to evaluate change in burnout symptoms and associated factors among rural primary HCWs during the implementation of a new integrated mental health service.

Method

Study design

A cohort study was conducted with HCWs working in governmental health facilities in a rural Ethiopia district and followed up for 6 months.

Setting

The study was conducted over 6 months, between July 2014 and December 2014, in the Sodo district of the Gurage zone, Ethiopia. Details of the setting have been reported previously and will be described in brief [48]. During the study, the district had 54 rural and four urban sub districts and an estimated population of 161,952 (79,356 men; 82,596 women) [49].

Sodo district was chosen for this study because the district hosts the PRogramme for Improving of Mental health care (PRIME) where the integration of mental health services in PHCs through task-sharing was taking place [50]. Primary care in the integration site consisted of 66 facilities that include 8 primary health centres and 58 health posts. The health centres are staffed by health officers (practitioners with 3 to 4 years of clinical training), nurses and midwives. The health posts are led by community-based health extension workers (HEWs). HEWs are high school graduates with 1-year training. Their work focuses on prevention and health promotion, and they mainly provide a house to house service. The Ethiopian healthcare system has three tiers. The first level is made of rural health centres and primary hospitals, the second level is general hospitals and the third one is specialised referral
hospitals. The workforces in the first level consists of health officers, nurses and midwives with the expectation of serving 15,000–25,000 community members in rural area and up to 40,000 people in urban areas [51]. Mental health service training guided by mhGAP intervention guide manual [52] was provided to all facility- and community-based HCWs based on an initial mental healthcare plan [53].

Study participants
To be included, participants had to fulfil the following criteria: (1) work in a PHC setting in the Sodo district, (2) have direct engagement in health service provision, (3) be already trained in mhGAP, and (4) have a minimum of 3-month work experience in the same workplace or a similar setting. The details of the recruitment and 6 months follow-up are presented in Fig. 1.

Participants were grouped based on their primary place of work as facility-based or community-based HCWs. Facility-based HCWs are health officers, nurses and midwives, and the community-based HCWs.

Outcome variables and measures
The outcome measure was used at baseline and 6 months follow-up. The primary outcome was the change in level of burnout, and the secondary outcome was the change in the professional satisfaction. The Maslach Burnout Inventory (MBI) human service survey version, a widely used burnout measure, was the main scale used as a measure of burnout [54]. The scale was 22 items [55] focused at assessing emotional exhaustion (EE), cynicism (CY) and reduced personal accomplishment (PA) domains. The interpretation of the MBI score relies on the total score of each domain. High score of EE and CY domains and low score of PA domain represent burnout [56]. EE score of 0–16 and CY score of 0–6 are considered low or normal scores, while scores above 16 for EE and scores above 6 for CY are considered moderate or high score. [57]. The psychometric property of the MBI was generally acceptable and comparable with other studies [17]. In our baseline cross-sectional study, we assessed the psychometric properties of MBI.

Professional satisfaction was also assessed as a secondary outcome using the Job Satisfaction Questionnaire (JSQ). This is a 15-item scale [58] rated on a 7-point scale with responses ranging from extremely dissatisfied (coded as 1) to extremely satisfied (coded as 7). The JSQ evaluates intrinsic and extrinsic job satisfaction and can also be summarised as an overall job satisfaction score [58]. It has good overall internal consistency (Cronbach’s $\alpha = 0.89$).

Exposure variables
Socio-demographic and work-related characteristics were assessed using a structured questionnaire locally.
designed to collect information on age, gender, marital status, educational level, place of work, type of work and work experience.

Psychosocial factors: Depression was assessed using the locally validated nine-item Patient Health Questionnaire (PHQ-9) [59–62]; a cutoff point 5 and above was used to define probable depression. Social support was assessed using the three-item Oslo Social Support Scale (OSS) [63], and the list of threatening experiences (LTE) was used to identify the experience of stressful life events in the previous 6 months [64, 65]. The Alcohol Use Disorders Identification Test (AUDIT) was used to evaluate the alcohol consumption and drinking behaviour of the participants [66].

The Job Content Questionnaire (JCQ) was used to measure work-related factors; it is a 27-item scale with three main domains that focus on the individual’s decision latitude, psychological demand and social support. This scale also assesses the physical demands of the job and job insecurity [62]. The decision latitude consists of two things; the first is job skill discretion, that is, the opportunity to use a given skill in executing the job. The second is decision-making authority which is the degree of making autonomous decision regarding how to do the job.

Procedures for data collection
At baseline, the instruments were pilot tested in a neighbouring area of Silte zone on 25 primary HCWs (the data have not been included in this report). All the questionnaires were in self-report format. At both time points (i.e. at baseline or T1 and 6 months follow-up or T2), MS provided information about the study and how to fill the self-reported questionnaires and distributed the questionnaires to the participants. Participants returned the completed questionnaires in a sealed envelope.

Data management and analysis
Epi Data version 3.1 (http://www.epidata.dk/) was used for double data entry, and Stata version 13 (StataCorp, 1985–2013) was used for statistical analysis. In addition to simple descriptive statistics, longitudinally collected data were analysed using generalised estimating equation (GEE). Change in EE was considered as the main outcome representing burnout and professional satisfaction as secondary outcome, whereas demographic, psychosocial and work-related factors were treated as covariates. Factors associated with change in the major outcomes variables were explored. In the descriptive section, burnout result was summarised by adding those who scored moderate to high EE and low PA. Those endorsing either one of the two or both domains of burnout were grouped as having burnout.

Ethical approval
This study was approved by the Addis Ababa University, College of Health Sciences Institutional Review Board (protocol number 011/14/Psy). Written informed consent was obtained from all study participants after providing information about the aim of the study to the participants. Hard copies of the data were kept in a locked cabinet, and soft copies were kept in password-protected computers to maintain anonymity of participants and confidentiality of information. Care was available after the interviews for those experiencing distress or any sort of emotional problem, including suicidal ideation.

Results
Socio-demographic characteristic of the study participants
From the 145 HCWs recruited at baseline, 136 (93.8%) competed the 6 months follow-up assessment. At baseline, most participants were female (61.0%, n = 80), under 35 years of age (93.0% n = 110), single (63.0%, n = 83) and nurses (51.0%, n = 70). About a third of the participants were HEWs (33.0%, n = 45) working in the community while two thirds were facility-based (Table 1). Loss to follow-up was not significantly associated with the outcome of interest or demographic factors.

Overall burnout score
The proportion categorised as having moderate to high burnout in both domains, EE and reduced PA, was relatively low at both time points: 3.8% (n = 5) at baseline and 4.6% (n = 6) at the 6 months follow-up time point (p value = 0.765) (Table 2). There was statistically non-significant change in the proportion endorsing either of the sub domains (42.9%, n = 57 versus 46.6%, n = 61, p value = 0.584).

Domain-specific burnout scores
Emotional exhaustion (EE)
The median (interquartile range) scores were 3 (0, 23) at baseline and 2 (0, 29) at follow-up (Table 4). The proportion of participants with elevated EE score was almost identical at the two time points (T1 = 7.7% (n = 11) and T2 = 7.5% (n = 10)), with no statistically significant difference. At both time points, community-based HEWs experienced higher EE than facility-based HCWs (p = 0.12). However, there was no statistically significant change in EE scores over time by professional group (Table 3). At both time points, women were more likely to report moderate to high EE: 11.2% (n = 10) in women vs. 1.9% (n = 1) in men at T1 and 10.1% (n = 8) in women at T1 vs. 3.9% (n = 2) in men at T2.
Personal accomplishment (PA)

The median (interquartile range) scores were 34 (0, 47) at baseline and 32 (0, 43) at 6 months follow-up (Table 4). At T1, 43.7% \( (n = 59) \) and, at T2, 48.5% \( (n = 64) \) participants reported reduced feeling of PA defined by the standard authors’ cut-off. The baseline differences in PA were maintained at 6 months follow-up in the various professional groups without a statistically significant change over time.

Secondary outcomes

Job satisfaction

There was no significant change in intrinsic and extrinsic job satisfaction scores, and consequently of overall job satisfaction, between T1 and T2 (Table 4).

Explanatory variables

Job content

There was no marked change in the job content measure score in any of the domains of job content and control between T1 and T2 (Table 4).

Depressive symptoms

The proportion of HCWs with a high score on the PHQ has reduced from 20.6% \( (n = 29) \) at T1 to 11.2% \( (n = 5) \) at T2, which was statistically significant \( p = 0.03 \).

Table 1 Baseline demographic characteristics of study participants

| Characteristics                          | Number | Percent |
|-----------------------------------------|--------|---------|
| Gender \( (n = 148) \)                  |        |         |
| Male                                    | 57     | 39      |
| Female                                  | 91     | 61      |
| Age \( (n = 145) \)                     |        |         |
| < 25                                    | 57     | 39      |
| 25–35                                   | 79     | 55      |
| > 35                                    | 9      | 6       |
| Marital status \( (n = 145) \)          |        |         |
| Single                                  | 96     | 66      |
| Married                                 | 49     | 34      |
| Professional group \( (n = 148) \)      |        |         |
| Nurse                                   | 75     | 51      |
| Health officer                          | 9      | 6       |
| Midwife                                 | 13     | 9       |
| Health extension worker                 | 51     | 34      |
| Place of work \( (n = 145) \)           |        |         |
| Urban                                   | 64     | 44      |
| Rural                                   | 81     | 56      |

Generalised estimating equation (GEE) model

In the crude model, a longitudinal higher average EE score was associated with female gender, lower co-workers support, lower supervisor support, low job skill discretion (which is the opportunity to use one’s specific skill), and lower intrinsic and extrinsic job satisfaction. High PHQ score or elevated depressive symptoms (adjusted mean difference (aMD) = 0.56, 95% CI 0.29, 0.83, \( p < 0.01 \)), having experience of a stressful life event (aMD = 1.37, 95% CI 0.60, 2.14, \( p < 0.01 \)), being community-based HCWs (aMD = 5.80, 95% CI 3.21, 8.38, \( p < 0.01 \)) and high job insecurity (aMD = 0.73 95% CI 0.08, 1.38, \( p = 0.03 \)) were significantly associated with higher EE scores in both crude and fully adjusted models. Increase in age was significantly associated with higher EE in the fully adjusted model (aMD = 0.36, 95% CI 0.09, 0.63, \( p = 0.01 \) (Table 5).

Discussion

In this short-term cohort study of primary HCWs in Ethiopia, carried out during implementation of a new integrated mental healthcare service, we found no significant change in burnout or professional satisfaction. Community-based HCWs, females, older staff, those with higher depressive symptom scores, those having two or more threatening life experiences at baseline and those with high perceived job insecurity were at increased risk of emotional exhaustion. Since health service in general and mental health service in particular is dependent on the HCWs wellbeing [67], the results of this study may inform intervention development to promote HCWs’ wellbeing and facilitate the integration of mental health service in other PHCs.

As far as we know, this is the first study of its kind conducted in the context of implementation of integrated mental healthcare and one of the few longitudinal studies on HCW burnout in a LMIC. The results indicate that burnout level in HCWs did not increase or worsen during early integration stages of a new mental health programme. The steady nature of burnout was also reported in the findings of a longitudinal study on burnout of teachers [68]. In other contexts, for example, in humanitarian work context, burnout and anxiety levels may rise in the initial stage and then settle at a steady level over 3 to 6 months of follow-up [69].

Table 2 Burnout domain score status of study participants stratified by the study period

| Emotional exhaustion (EE) and reduced personal accomplishment (PA) scores | Baseline | Endline |
|-------------------------------------------------------------------------|---------|---------|
| Low score in both domains (i.e. EE and PA)                              | 71      | 53.4    |
| Moderate to high score only in one domain (i.e. in EE or in PA but not both) | 57      | 42.9    |
| Moderate to high score in the two domains (i.e. EE and PA)              | 5       | 3.8     |

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Although the work itself might be additional burden, the enhancement of knowledge through the mhGAP for the diagnosis and treatment of mental disorders [4] may have boosted their confidence in dealing with those with complex health needs and thus counter balanced potential negative impact of additional work load. This can help them to have more confidence and skill in their work, especially while handling people in need of mental health services.

There might be some overlap between the constructs of depression and EE; some studies have reported depression as a predictor of burnout [25] while other studies fail to find association between the two [70]. Our study found association between EE and depression, but only depression reduced significantly during the follow-up suggesting perhaps the two constructs might be different.

Although some studies have found association between social support and burnout [71], we did not find such association. However, being a community-based health worker (HEW) was significantly associated with burnout. This may have arisen from the difference in the job demand as well as control over one's job [72]. Lower level of education might also have contributed as suggested in an Iranian health workers study [29].

In contrast to many African studies [34–36], including Ethiopian studies [73, 74], in this study, we found that there is a good level of professional satisfaction among the HCWs which was steady over the 6-month follow-up period. This is a promising and favourable situation for scaling up of not only mental healthcare but also healthcare in general as envisaged in the sustainable development goals [74].

The study has important limitations that impact interpretation. First, the sample size was relatively small although all health workers from the district were included. Secondly, there is a potential for social desirability bias due to stigma against reporting burnout and associated concepts. Thirdly, although some pre-testing and reliability checks were carried out, the outcome measures had not been validated formally in the study.
Table 5 Association of demographic, psychosocial and work-related factors with emotional exhaustion using generalised estimating equations

| Selected characteristics | Response categories | Crude model | Fully adjusted model* |
|--------------------------|---------------------|-------------|----------------------|
|                          |                     | Mean difference | 95% CI | p value | Mean difference | 95% CI | p value |
| Time                     |                     | −0.04 | −0.27, 0.17 | 0.68 | 0.16 | −0.09, 0.42 | 0.20 |
| Sex                      | Male                | Ref |  |  |  |  |  |
|                          | Female              | 2.68 | 0.95, 4.41 | <0.01 | −1.28 | −4.04, 1.48 | 0.36 |
| Age                      | −0.003              | −0.18, 0.17 | 0.97 | 0.40 | 0.12, 0.67 | <0.01 |
| Marital status           | Single              | 0.77 | −1.02, 2.56 | 0.40 | −1.07 | −3.34, 1.19 | 0.35 |
|                          | Married             | 0.71 | −1.18, 2.60 | 0.08 | 1.63 | −0.73, 4.01 | 0.17 |
| Place of work            | Urban               | Ref |  |  |  |  |  |
|                          | Rural               | 1.51 | −0.18 | 3.20 | 0.08 | 0.58 | 0.31, 0.84 | <0.01 |
| Psychosocial factors     | PHQ score*          | 0.70 | 0.53, 0.87 | <0.01 | 0.58 | 0.31, 0.84 | <0.01 |
|                          | Social support*     | 0.32 | −0.19, 0.85 | 0.22 | −0.02 | −0.63, 0.59 | 0.95 |
|                          | Stressful life events* | 1.43 | 0.83, 2.02 | <0.01 | 1.37 | 0.65, 2.09 | <0.01 |
| Profession               | Facility-based healthcare workers | 5.17 | 4.0, 7.39 | <0.01 | 5.65 | 2.85, 8.44 | <0.01 |
|                          | Community-based healthcare workers | 5.71 | 4.0, 7.39 | <0.01 | 5.65 | 2.85, 8.44 | <0.01 |
| Year of service          | −0.23               | −0.52, 0.05 | 0.11 | −0.18 | −0.55, 0.18 | 0.32 |
| Job satisfaction         | Intrinsic job satisfaction* | −0.32 | −0.43, −0.21 | <0.01 | −0.02 | −0.23, 0.20 | 0.84 |
|                          | Extrinsic job satisfaction* | −0.22 | −0.31, −0.14 | <0.01 | −0.14 | −0.36, 0.06 | 0.16 |
| Job content and control  | Job skill discretion* | −0.25 | −0.46, −0.03 | 0.02 | −0.22 | −0.51, 0.05 | 0.12 |
|                          | Job decision-making attribute* | −0.06 | −0.19, 0.08 | 0.42 | 0.01 | −0.18, 0.19 | 0.97 |
|                          | Job demand*         | −0.02 | −0.19, 0.16 | 0.84 | 0.06 | −0.15, 0.27 | 0.57 |
|                          | Co-workers support* | −0.57 | −1.05, −0.09 | 0.02 | −0.50 | −1.29, 0.29 | 0.21 |
|                          | Supervisors support* | −0.44 | −0.75, −0.14 | <0.01 | 0.22 | −0.21, 0.67 | 0.32 |

*Mean difference of the EE score associated with continuous predictor variables indicate (a) 1-year increase in age (b), 1-point increase in PHQ-9 total score, (c) 1-point increase in social support score, (d) 1-point increase in total score of stressful life events, (e) a 1-year increase in the service years, (f) 1-point increase in the intrinsic job satisfaction score, (g) 1-point increase in the extrinsic job satisfaction score, (h) a 1-point increase in the “job skill discretion score”, (i) a 1-point increase in the “job decision-making attribute score”, (j) a 1-point increase in the “job demand score”, (k) a 1-point increase in the “co-workers support score”, (l) a 1-point increase in the “supervisors support score”

Setting. Finally, linked to the above problem is the fact that one of the three domains (CY) was excluded from analysis because of the low internal consistency.

Conclusion

Providing mental health services did not appear to have a significant short-term negative effect on the level of burnout and professional satisfaction of primary HCWs. The results of this study can be used as baseline information to develop an intervention aimed at improving primary HCWs’ wellbeing in Ethiopia. It can also be used as a signal of the existence of burnout for policy makers and healthcare managers. Further studies are needed to assess HCWs’ wellbeing in a holistic manner and its effects on the health service, patient satisfaction and outcome. Intervention to enhance wellbeing should give priority to community healthcare workers given the higher level of burnout and nature of their job.

Abbreviations

aMD: Adjusted mean difference; AUDIT: Alcohol Use Disorders Identification Test; CY: Cynicism; EE: Emotional exhaustion; GEE: Generalised estimating equations; HCF: Healthcare worker; HEW: Health extension worker; HSTP: Health Sector Transformation Plan; JCQ: Job Content Questionnaire; JSQ: Job Satisfaction Questionnaire; LMIC: Low- and middle-income countries; LTE: List of threatening experiences; MBI: Maslach Burnout Inventory; mhGAP: Mental Health Gap Action Programme; OSS: Oslo Social Support scale; PA: Personal accomplishment; PHC: Primary health care; PHQ: Patient Health Questionnaire; PRIME: Programme for Improving Mental Healthcare; SDG: Sustainable development goals; SNNPR: Southern Nations, Nationalities and Peoples Regions; T1: Baseline; T2: Six months follow-up; UHC: Universal Health Coverage; WHO: World Health Organization

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Competing interests

Consent for publication participants took part in the study after providing written informed consent. College of Health Sciences of Addis Ababa University (REF. PSY011/14). All This study was approved by the Institutional Ethics Review Board of the Ethics approval and consent to participate made available once the study is completed next year. Healthcare (PRIME). Because of the ongoing nature of the study, we are not

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