The Effects of the Trans-Regional Transport of PM$_{2.5}$ on a Heavy Haze Event in the Pearl River Delta in January 2015

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Received: 22 March 2019; Accepted: 29 April 2019; Published: 1 May 2019

Abstract: The Pearl River Delta (PRD), a region with the fastest economic development and urbanization in China, sometimes has severe haze pollution caused by fine particulate matter (PM$_{2.5}$). From October to April of the following year, the PRD is influenced by northerly winds, which can bring pollutants from upwind polluted regions. However, the ways that pollutants are transmitted and the contributions of trans-regional inputs are not yet clear. Observational analysis and numerical simulations are applied to explore the effect of PM$_{2.5}$ trans-regional transport during a heavy haze event occurring from 14 to 25 January 2015. The results show that northerly winds resulted in an increase in the PM$_{2.5}$ concentration in the northern PRD one day earlier than in the southern PRD. The main transport path of PM$_{2.5}$ was located at an altitude of 0.1 to 0.7 km; the maximum total transport intensity below 3 km was $9.7 \times 10^3$ $\mu g \cdot m^{-2} \cdot s^{-1}$; and the near-surface concentration increased by 13.7 to 34.4 $\mu g/m^3$ by trans-regional transport, which accounted for 56.5% of the contribution rate on average. Southerly winds could also bring a polluted air mass from the sea to the coast, causing more severe haze in coastal regions blocked by mountains, although the overall effect is reduced pollution.

Keywords: Pearl River Delta (PRD); haze; WRF-Chem; trans-regional transport

1. Introduction

The Pearl River Delta (PRD) is a typical urban agglomeration, with a land area of 42,200 km$^2$ and a very large population of 57.2 million people (residential population in 2016). During the past few decades, this region has developed rapidly in terms of both the economy and urbanization. As in other large cities, development is accompanied by haze problems mainly caused by fine particle (PM$_{2.5}$) pollution, especially from October to April of the following year [1], the dry season dominated by northerly winds.

Advection transport plays a very important role in haze events. At the local scale, pollutants can mix and accumulate within the region and even transform under favorable conditions, such as high humidity, sufficient light, and sufficient precursor conditions. Affected by the transport of atmospheric pollutants among cities, air pollution has become increasingly serious, complicated and regionalized...
since the 2000s [2–4]. According to studies by Sun et al. [5] and Yang et al. [6], secondary aerosols are the primary factors in severe haze events occurring in North China, in addition to the primary aerosol from local emissions. Moisture absorption growth of highly aging secondary aerosol due to regional transport is the leading factor on the stage when pollution growing rapidly. Regional transport is mainly located at heights of 200 to 500 m, and its contribution increases with height. In terms of large scale, regional transport can bring pollutants to downwind regions, resulting in an increase in PM$_{2.5}$ or other pollutants [7–11]. Wang et al. [12] studied an extreme haze event in central and eastern China that occurred in January 2013 by the Nested Air Quality Prediction Modeling System (NAQPMS). The results show that there is still significant transport within the Beijing-Tianjin-Hebei (BTH) region, even under static and stable weather conditions. Moreover, transport from outside BTH and intraregional transport among cities have similar contributions, accounting for 20% to 30% of the total transport.

The PRD is located in southern China, facing the South China Sea, and surrounded by mountains on the other three sides. Special geographic conditions make the transport conditions complex. Fan et al. [13] proposes a conceptual model of the atmospheric boundary layer in the PRD and reveals that strong background wind can purify the region, which is also demonstrated by Wu et al. [14,15], while weak wind increases the PM$_{2.5}$ concentration, especially during the dry season under stable weather conditions. In addition, local circulations, such as the sea-land breeze, mountain wind and urban heat island circulation, can also affect the air quality of the PRD if the background wind is weak. Wu et al. [16] finds that in December, 69.6% of PM$_{2.5}$ in Guangzhou (GZ) comes from outside the PRD. According to the research of Xue et al. [17,18], the contributions of external sources to annual average concentration of PM$_{2.5}$ in Guangdong Province and outside Guangdong are 72% and 28%, respectively. Sulfate, nitrate, ammonium and secondary PM$_{2.5}$ are more conducive to long-distance transport because of their smaller particle sizes [19]. Wang et al. [20] and Hu et al. [21] use the California Puff-3 (CALPUFF-3) and Community Multiscale Air Quality (CMAQ) models, respectively, to simulate and find that intercity pollutants in the PRD have mutual influence characteristics. PM$_{10}$ in the PRD region is mainly caused by several large cities, such as GZ, Foshan (FS), and Dongguan (DG). However, cities in the western PRD are more seriously affected by inputs from external pollution sources, such as Jiangmen (JM), Zhuhai (ZH), Zhongshan (ZS) and FS. Lyu et al. [2] designed a “zero-emission perturbation test” with the Mesoscale Model/Sparse Matrix Operator Kernel Emissions (MM5/SMOKE)-CMAQ model to simulate the spatial and temporal distributions of the main atmospheric pollutants in the PRD during 2006 and found that the long-distance transport of PM$_{2.5}$ is more significant than that of PM$_{10}$, especially in winter, while NO$_2$ is mainly from the interior of the PRD. When the PRD is controlled by a continental air mass (or coastal air mass), there are high-throughput transport inputs of PM$_{2.5}$ and SO$_2$ from Jiangxi (or Fujian).

The above studies mainly focus on the quantitative analysis of the contributions of trans-regional transport and cross-boundary transport, but less on the transport pathway and influence mechanism. This study uses the Weather Research and Forecasting coupled with Chemistry (WRF-Chem) online coupling model to explore the behaviors and effects of the trans-regional transport of pollutants into the PRD by the zero-emission perturbation test and other methods, taking a haze event in January 2015 as an example. It could help us to learn more about the effect of pollutants transport and represent a basis for further studies on this topic in the investigated region.

2. Data and Methods

2.1. Observation Data and Case Study

Six-hourly visibility data obtained from the Global Telecommunication System (GTS) [22], hourly near-surface meteorological observation data (wind speed, wind direction, temperature and relative humidity) collected from China Meteorological Administration (CMA) ground-based stations, and reanalysis data obtained from the European Centre for Medium-Range Weather Forecasts Interim
Reanalysis (ERA-Interim) [23] at a 0.25° × 0.25° resolution were used to analyze the synoptic situation. To analyze the atmospheric chemistry conditions during this haze event lasting from 14 to 25 January and evaluate the simulation results, we used hourly air quality monitoring data (PM$_{2.5}$, PM$_{10}$, SO$_2$, NO$_2$, CO, and O$_3$) obtained from the Chinese Ministry of Environmental Protection (CMEP) [24].

In this study, the spatial scope of the PRD is shown by the yellow shaded area in Figure 1 and includes GZ, Shenzhen (SZ), ZS, ZH, FS, JM, DG, Huizhou (HZ) (excluding Longmen), and Zhaoqing (ZQ) but not Hong Kong or Macao. Time we mentioned in this study is Beijing time (BJT).

![Spatial distribution of the meteorological observation sites and atmospheric environment monitoring sites in the Pearl River Delta (PRD)](image)

In this case study, a “haze station” was recorded when a station had the visibility below 10 km and the relative humidity lower than 90%, excluding precipitation, blowing snow, blowing sand, sandstorm, floating dust, smoke screen and other obstruction phenomenon to vision [25]. When more than 7 of the 15 surface meteorological observation stations in the PRD were recorded as “haze stations” at any time, a regional haze event occurred [26].

PM$_{2.5}$ was the primary atmospheric pollutant in this haze event lasting from 14 to 25 January because its individual air quality index (IAQI) [27] was always higher than that of any other atmospheric chemistry constituents when the air quality index (AQI) [27] was 50 or higher (Figure S1). This event can be divided into three stages according to synoptic conditions (Figure 2) and the change in the regional average PM$_{2.5}$ concentration (Figure 3). (1) The slow growth stage from January 15 to 18. The PRD was under the control of northerly winds, and the PM$_{2.5}$ concentration changed from less than 50 µg/m$^3$ to over 100 µg/m$^3$, causing regional average visibility to be reduced to below 10 km. The AQI in large cities indicated slight pollution (100 ≤ AQI < 150). (2) The sharp growth stage from 19 to 22 January. The PRD was heavily polluted, and the regional 10-m wind speed was lower than the previous period especially on 20 January when it was less than 1 m/s. The daily AQI in large cities grew rapidly from 19 to 20 January, and was maintained at a high level of up to 150 with the minimum visibility below 5 km on 20 and 21 January. The AQI and PM$_{2.5}$ concentration in northern PRD cities such as GZ reached a peak on 21 January, which was one day earlier than that in cities in the southern PRD, such as SZ. (3) The recovery stage from January 23 to 25. The PRD was controlled by southerly winds, and air quality improved in most cities, with AQI values of approximately 100 and PM$_{2.5}$ concentrations of approximately 75 µg/m$^3$ (excluding ZQ, which is a city in the northwestern PRD), the second grade standard of the Ambient Air Quality Standard (GB 3095-2012) [28].
From 18 to 19 January, much of southern China was controlled by a high-pressure system (Figure S2), with northerly winds across Hunan, Hubei and even the Yangtze River Delta (YRD) region and reaching the PRD region. This high-pressure system facilitated the long-distance transport of pollutants from north to south. From 08:00 on 19 January to 08:00 on 20 January, the high-pressure...
system moved eastward over the sea. On 20 January, affected by the transformed high pressure and the surface inverted trough, the surface wind was low. This was conducive to the accumulation of pollutants and aggravated pollution in the PRD. Most of the eastern region of China was re-controlled by the new high-pressure system on 21 January, on which the PRD was at the bottom of the high-pressure system and the northerly wind speed increased. PM$_{2.5}$ concentration in the PRD region increased from north to south until noon on 21 January, caused by the upstream pollutants spreading southward along the dominant wind direction. However, it rapidly declined until 23 January. Then, a low-pressure system developed over southwestern China, and clean air mass from the sea that moved toward the PRD alleviated air pollution.

2.2. Model and Simulation Setup

To investigate the effects of pollutant transport on PM$_{2.5}$ concentration in the PRD region, three-dimensional simulations with the WRF-Chem model (version 3.9.1) were conducted [29]. This model was developed by the National Center for Atmospheric Research (NCAR), the National Oceanic and Atmospheric Administration, the Air Force Weather Agency (AFWA), the Naval Research Laboratory, the University of Oklahoma, and the Federal Aviation Administration (FAA) [30]. Two nested domains were employed. The parent domain (D01), which is centered at 27.5° N, 113.5° E, has a horizontal resolution of 36 km × 36 km, and the subdomain (D02) has a 12 km × 12 km resolution (Figure 4). 21° N–24° N, 112° E–115° E was defined as the domain of emission source sensitivity experiment (DS). Each domain has 48 vertical layers extending from the surface to the 100-hPa level, of which 21 layers are within 2 km (above ground level (AGL)) to adequately resolve the planetary boundary layer (PBL) structure and local atmospheric circulation under stable weather conditions. All of the model domains use the same parameterization scheme (Table 1). The simulations were initialized at 08:00 on 16 January 2015, and ran for 217 h until 08:00 on 25 January. The first 40 h were considered as the spin-up period [31,32].

![Figure 4](image-url)

**Figure 4.** The two nested domains and spatial distribution of PM$_{2.5}$ emissions (shading; unit: µg·m$^{-2}$·s$^{-1}$). The area enclosed by the dotted line is the DS region.
Table 1. Physical parameterization schemes used in the simulations.

| Parameter                                      | Configurations                                          |
|------------------------------------------------|--------------------------------------------------------|
| Microphysics scheme                           | Morrison scheme [38]                                    |
| PBL scheme                                     | MYJ scheme [39]                                         |
| Cumulus scheme                                 | Grell-Freitas scheme [40]                               |
| Land surface scheme                            | Noah Land Surface Model [41]                           |
| Longwave / shortwave radiation scheme          | RRTMG scheme [42]                                      |
| Chemical scheme                                | RADM2-MADE/SORGAM [43–45]                              |
| Photolysis option                              | Fast-J photolysis [46]                                  |

To explore the impact of emissions transport on the PM$_{2.5}$ concentration in the PRD, three experiments were conducted using the emission zero-out method [2,47,48] as follows: (1) Control experiment (CTL), with all emissions; (2) Local experiment (LC), with only emissions in the DS region (21° N–24° N, 112° E–115° E), to indicate the impact of local emissions in the PRD region; (3) Transport experiment (TR), excluding emissions in the DS region, to indicate the impact of cross-regional transport from outside the PRD region. According to Grewe et al. [49], the emission zero-out method tends to underestimate the actual contribution of target emissions to secondary pollutants, mainly caused by nonlinearity in atmospheric chemistry which could be calculated by (TR+LC)-CTL [50]. It will be discussed in Section 3.3.

2.3. Calculation of the Trans-Regional Transport of Pollutants

A simplified boundary model is established, as shown in Figure 5 and Table 2. The cross-regional transport intensity of PM$_{2.5}$ in the unit grid at different layers under each boundary condition is calculated at each time. The “closed line method”, proposed by Yang et al. [51], is used to calculate the concentration transport flux intensity of the region bounded by the simplified boundary [50].

Figure 5. (a) Simplified boundary (black solid line) of the PRD region and (b) schematic diagram of the trans-boundary transport of pollutants.

Table 2. Information on the simplified boundary of the PRD region.

| Name               | Starting Location | Ending Location | Length (km) | Average Elevation (m) | $\theta_n$ (°) |
|--------------------|-------------------|-----------------|-------------|-----------------------|----------------|
| North (AB)         | 113.10° E, 23.78° N | 114.38° E, 23.78° N | 136            | 234                   | 0              |
| East (BC)          | 114.38° E, 23.78° N | 115.28° E, 22.88° N | 136            | 212                   | 45             |
| Southeast (CD)     | 115.28° E, 22.88° N | 112.19° E, 21.64° N | 347            | 22                    | 22.5           |
| West (DE)          | 112.19° E, 21.64° N | 112.19° E, 22.88° N | 136            | 145                   | 90             |
| Northwest (EA)     | 112.19° E, 22.88° N | 113.10° E, 23.78° N | 136            | 95                    | 45             |

Note: $\theta_n$ is the minimum angle between the boundary and horizontal.

It is assumed that the concentration of pollutants $P$ at grid point (at time $t$) $(i, k, j, l)$ ($k$: layer; $j$: meridional location; $i$: zonal location) is $P_{t,k,j,i}$. Taking boundary AB as an example, boundary vectors and their corresponding normal vectors are defined as $\mathbf{I}_n$ (pointing from A to B; $n$: boundary;) and $\mathbf{N}_n$ (perpendicular to AB and pointing to the interior of the PRD), respectively. $W_{t,k,j,i}$ is the
projection length of the wind vector \( \vec{U}(U_{t,k,j,i}, V_{t,k,j,i}) \) onto \( \vec{N}_n \) at any point \((t, k, j, i)\) on the boundary AB. A positive value of \( W_{n,t,k,j,i} \) represents the cross-regional input of pollutants into the PRD, while a negative value represents the cross-regional output outside of the PRD. \( pn \) is the number of grids along the boundary \( n \) at each layer, and \( kn \) is the number of layer.

The projection length of the wind vector \( \vec{U} \) can be calculated as follows:

\[
W_{n,t,k,j,i} = V_{t,k,j,i} \cdot \cos \theta_n + U_{t,k,j,i} \cdot \sin \theta_n, \tag{1}
\]

The average transport flux intensity \( F_{n,t,k} \) at time \( t \) and level \( k \) through boundary \( n \) can be calculated by the discrete summation method,

\[
F_{n,t,k} = \frac{1}{pn} \sum_{(i=1,j=1)}^{(pn,pn)} P_{t,k,j,i} \cdot W_{n,t,k,j,i}, \tag{2}
\]

\( M_{n,t} \) is used to represent the average transport flux intensity across boundary \( n \) at time \( t \) at a level below 3 km,

\[
M_{n,t} = \frac{1}{kn} \sum_{k=1}^{kn} F_{n,t,k} = \frac{1}{pn \cdot kn} \sum_{k=1}^{kn} \sum_{(i=1,j=1)}^{(pn,pn)} P_{t,k,j,i} \cdot W_{n,t,k,j,i}, \tag{3}
\]

3. Results

In this section, the CTL simulation results are evaluated by observations at first; then, the distribution of the PM\(_{2.5}\) concentration simulated by the three experiments is described. Finally, the effects of extra-regional transport and cross-regional transport of PM\(_{2.5}\) are discussed.

3.1. Evaluation of the Simulation Results

The 10-m wind, 2-m temperature (T2), 2-m relative humidity (RH2), and near-surface PM\(_{2.5}\) concentration from 18 to 24 January simulated by the CTL experiment were compared based on the observation data from 15 stations in the PRD (Figure 6). In terms of T2 and RH2, good agreement was found between the CTL simulation and observations, with high correlation coefficients (R) of 0.96 and 0.90 (\( p < 0.01 \), respectively. The model tended to overestimate the speed of the 10-m wind, but the simulated wind direction was basically the same as the observed wind direction (mainly northerly winds for both). With respect to regional PM\(_{2.5}\) concentration, the model could simulate the variation characteristics, with an R value of 0.35 (\( p < 0.01 \)), and root mean square error (RMSE) of 32.90 \( \mu g/m^3 \), not as good as meteorological elements but still within double errors (Figure S3). Besides, it tended to underestimate most of the time, especially during the stage of weak wind and shear (12:00–20:00, 20 January) and the stage in which the northerly surface wind speed increased sharply (12:00–24:00, 21 January). The comparison of observations and simulation results among cities (Figure 7, Table 3) showed that the R values of GZ, FS and DG were 0.67, 0.66, and 0.66, with normalized mean biases (NMBs) of 19.01%, 9.80%, and 22.28%, respectively. The increase in PM\(_{2.5}\) concentration on 20 and 21 January in northern cities was also reflected by the simulation. For SZ and ZS (the cities in the southern PRD), the time at which the simulated concentration reached its peak was 6 to 13 h earlier than that of the observation, which was caused by the earlier increase in northerly wind speed. Northerly winds brought upstream pollutants downstream and made the PM\(_{2.5}\) concentration increase in advance of downstream cities.
were spaced out, and the airflow was stagnant, which was not conducive to the horizontal diffusion of pollutants, causing the PM$_{2.5}$ concentration in the central PRD to sharply increase from 75 Ångstroms (Å) on 19 January. On 20 January, controlled by the surface reverse trough, the isobaric lines over the PRD pollution process are shown in Figure 8. Highly polluted regions caused by PM$_{2.5}$ were mainly in Sichuan Province and the northern part of the YRD. Located at the southern edge of the surface high-pressure system on 19 and 21 January, the PRD was controlled by prevailing northerly winds, which brought PM$_{2.5}$ downstream from those polluted regions, causing an increase in daily concentration in the northern part of the YRD. Yet, PM$_{2.5}$ was mainly transported westward from the Sichuan Province and YRD regions, which is consistent with previous studies showing the westward movement of PM$_{2.5}$ during haze events. From 20 January, the center of the high-pressure system moved away from the mainland, and the prevailing southerly winds controlled the southern PRD, the time at which the simulated concentration reached its peak was 6 to 13 h earlier than that of the observation, which was caused by the earlier increase in northerly wind speed.

Overall, the model could simulate the haze event and be appropriate for studying the impacts of the haze process in the PRD. The model performance was evaluated using the mean of the observation (MO), mean of the control experiment (MC), mean bias between the observation and control experiment (MB), normalized mean bias (NMB), and root mean square error (RMSE) (Table 3). The model biases for PM$_{2.5}$ were systematically underestimated in most cities, with NMBs of 19.01%, 9.80%, and 22.28%, respectively. The increase in PM$_{2.5}$ concentration on 20 and 21 January was also underestimated, with NMBs of 41.2% and 27.1%, respectively. The predicted PM$_{2.5}$ concentration was generally lower than the observation, which was caused by the earlier increase in northerly wind speed. The RMSE values for PM$_{2.5}$ were also high, ranging from 32.9 to 54.3 g/m$^3$, indicating that the model performance was generally poor in most cities.

### Table 3. Statistics of the hourly simulation results of the PM$_{2.5}$ concentration in cities in the PRD.

| Parameter | PRD | GZ  | SZ  | ZH  | FS  | ZS  | JM  | DG  | HZ  | ZQ  |
|-----------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| MO (g/m$^3$) | 74.6| 82.9| 62.2| 73.5| 78.0| 65.9| 84.1| 84.1| 54.3| 81.9|
| MC (g/m$^3$) | 49.7| 67.1| 41.5| 49.4| 70.3| 49.6| 63.3| 65.3| 53.2| 54.8|
| MB (g/m$^3$) | −24.9| −15.8| −20.7| −24.1| −7.6| −16.3| −20.8| −18.7| −1.1| −27.1|
| NMB (%) | −33.4| −19.0| −33.3| −32.8| −9.8| −24.7| −24.7| −22.3| −2.1| −33.1|
| NME (%) | 41.2| 27.2| 38.0| 33.8| 21.9| 35.4| 29.3| 29.1| 34.4| 35.3|
| RMSE (g/m$^3$) | 32.9| 29.3| 33.2| 29.1| 23.1| 33.1| 32.3| 30.3| 23.1| 34.7|

1 MO: Mean of the OBS. MC: Mean of the CTL. MB: Mean bias between the OBS and CTL. NMB: Normalized mean bias. NME: Normalized mean error. RMSE: Root mean square error.
Overall, the model could simulate the haze event and be appropriate for studying the impacts of PM$_{2.5}$ transport on haze events in the PRD with reasonable deviation. Errors between observation and simulation are associated with several aspects including the selection of different physical parameterization schemes, differences between the initial fields and observations and systematic errors due to the set-up of model. For chemistry elements such as PM$_{2.5}$, simulated results are also affected by meteorological elements (such as wind speed, temperature, and PBL height (PBLH)), emission inventories and chemical mechanisms [8,52,53]. Therefore, whether the model systematically underestimated the PM$_{2.5}$ concentration in the PRD region needs to be further investigated through other cases and by improving accuracy of emission inventories, comparing different combinations of physical parameters selections.

3.2. Distribution of PM$_{2.5}$ near the Surface

Simulated near-surface PM$_{2.5}$ concentrations, wind fields and sea level pressure during the pollution process are shown in Figure 8. Highly polluted regions caused by PM$_{2.5}$ were mainly in Sichuan Province and the northern part of the YRD. Located at the southern edge of the surface high-pressure system on 19 and 21 January, the PRD was controlled by prevailing northerly winds, which brought PM$_{2.5}$ downstream from those polluted regions, causing an increase in daily concentration in the PRD. On 20 January, controlled by the surface reverse trough, the isobaric lines over the PRD were spaced out, and the airflow was stagnant, which was not conducive to the horizontal diffusion of pollutants, causing the PM$_{2.5}$ concentration in the central PRD to sharply increase from 75 $\mu$g/m$^3$ to 115 $\mu$g/m$^3$. Meanwhile, located between the surface reverse trough (west) and surface high-pressure system (east), PM$_{2.5}$ was pushed northwards by southerly winds and accumulated in the northern YRD, where the PM$_{2.5}$ concentration exceeded 160 $\mu$g/m$^3$. From 23 January, the center of the high-pressure system moved away from the mainland, and the prevailing southerly winds controlled the PRD and purified the region. Meanwhile, pollutants in the YRD could still travel along the southeastern coast toward the PRD under a northeasterly wind.

![Figure 8](image-url)

**Figure 8.** The simulation results of the daily average near-surface PM$_{2.5}$ concentration (shaded; unit: $\mu$g/m$^3$), 10-m wind field (blue vector; unit: m/s) and sea level pressure (black contour; unit: hPa). From (a) to (d) are 19, 20, 21, and 23 January, respectively (the solid purple box refers to the emissions control region).
3.3. Extra-Regional and Intra-Regional Transport of PM$_{2.5}$

To further explore the impact of extra-regional transport on PM$_{2.5}$ concentration in the PRD during the haze event quantitatively, the emission zero-out experiments were designed as described in Section 2.2.

Firstly, contribution of nonlinear response were calculated in different scenarios (Figure 9, Table 4). It was found that when considering effects of nonlinear response, and average PM$_{2.5}$ concentration for transport was 22.85 to 39.35 $\mu$g/m$^3$ (CTL-LC) with a contribution of 38.75% to 66.73%. Besides, higher effects of nonlinearity mostly occurred at time when 10-m wind at a low wind speed (close to zero), or wind direction shifted from northerly to southerly (vice versa). In this case, nonlinear response devoted almost as much as extra-regional transport and local emission did, and further research were in need to find the reasons. This study mainly focused on the process of transport and its effects without considering nonlinear response. Therefore, the contributions of PM$_{2.5}$ to the PRD caused by extra-regional transport ($C_{TR}$) and intra-regional transport ($C_{LC}$) were defined by the simulated results of the TR and LC experiments, respectively. The contribution rate of extra-regional transport was expressed as $G = 100\% \times C_{TR} / (C_{TR} + C_{LC})$.

**Table 4.** Average concentration and contribution of PM$_{2.5}$ in PRD for different scenarios.

|          | CTL  | TR   | LC   | (TR + LC) − CTL |
|----------|------|------|------|-----------------|
| Concentration ($\mu$g/m$^3$) | 58.96 | 22.85 | 19.61 | −16.50          |
| Contribution (%) | 100   | 38.75 | 33.27 | −27.98          |

![Figure 9](image-url). Time series of regional average PM$_{2.5}$ (a) concentration, (b) contribution rate, and (c) 10-m wind in CTL experiment. (extra-regional (TR), black solid line; local emission (LC), red dotted line; reverse of nonlinear response (CTL − (TR + LC)), blue dash line; 10-m wind, black vector).
The distributions of the near-surface PM$_{2.5}$ concentration in the three simulation experiments were compared in Figure 10. Without considering nonlinear response, extra-regional transport of PM$_{2.5}$ had an important impact on the PRD, particularly for cities along the upstream edge, with daily concentrations of 10 to 50 µg/m$^3$. Notably, even under a weak circulation background, 10 to 30 µg/m$^3$ of PM$_{2.5}$ was still caused by extra-regional transport. Local emissions mainly affected the Pearl River Estuary, and the maximum daily contribution was 70 to 90 µg/m$^3$. In addition, the intra-regional transport of pollutants was also important to downwind areas, contributing at least 10 to 30 µg/m$^3$ daily.

Quantitative analysis showed that the average contribution of extra-regional transport to the hourly PM$_{2.5}$ concentration in the PRD was 22.85 µg/m$^3$, where the average contribution rate was 56.48% (Figure 11). Extra-regional transport had great significance in GZ, SZ, and JM, three cities located in different regions of the PRD. The wind speed near the surface was higher from 12:00 to 20:00 on 21 January and 08:00 to 20:00 on 23 January; the average hourly concentration of PM$_{2.5}$ contributed by extra-regional transport to these three cities was 21.16 to 37.27 µg/m$^3$, and the average contribution rate was 52.42% to 93.12%; the variation trends of extra-regional transport contribution in three cities were similar, excluding a higher concentration contribution in coastal city SZ and JM than that in the northern city GZ, when controlled by southerly winds from 00:00 on 23 January to 00:00 on 25 January. However, total surface PM$_{2.5}$ concentration in SZ still decreased and maintained at a low level around 50 µg/m$^3$ during 23 to 24 January, much less than that in 21 to 22 January (Figure 7b). The transport of marine aerosols and pollutant composition should be taken into consideration.
on 21 January and 08:00 to 20:00 on 23 January; the average hourly concentration of PM$_{2.5}$ contributed by extra-regional transport to these three cities was 21.16 to 37.27 µg/m$^3$, and the average contribution rate was 52.42% to 93.12%; the variation trends of extra-regional transport contribution in three cities were similar, excluding a higher concentration contribution in coastal city SZ and JM than that in the northern city GZ, when controlled by southerly winds from 00:00 on 23 January to 00:00 on 25 January. However, total surface PM$_{2.5}$ concentration in SZ still decreased and maintained at a low level around 50 µg/m$^3$ during 23 to 24 January, much less than that in 21 to 22 January (Figure 7b). The transport of marine aerosols and pollutant composition should be taken into consideration for further analysis in the future. SZ and JM were likely to be affected by pollutants coming from Hong Kong and the YRD region under the dominant wind (Figure 8d, Figure 9h).

Taking SZ (22.55° N, 114.10° E) as an example to study the relationship between the influence of extra-regional transport on the variation in PM$_{2.5}$ concentration and turbulent kinetic energy (TKE) (Figure 12), the influence of extra-regional transport on the PM$_{2.5}$ concentration was found to be mainly below 1 km, with a maximum concentration contribution of 50 to 60 µg/m$^3$, especially at night to early morning. The TKE in the boundary layer was weak during this period, which was not conducive to the vertical diffusion of pollutants and conducive to enhancing the effect of extra-regional transport on the PM$_{2.5}$ concentration. From noon on 21 January to noon on 22 January, affected by the northerly wind, the main transport channel of extra-regional pollutants was up to an altitude of 2.5 km. From 23 to 24 January, affected by the southerly wind, the transport channel reached 1.5 km but was concentrated below 0.5 km, with a contribution of 20 to 50 µg/m$^3$. This result indicated that southerly wind also had an extra-regional transport impact on PM$_{2.5}$. The smaller the vertical variation in the horizontal wind direction and the greater the wind speed, the more favorable the extra-regional transport of PM$_{2.5}$ was.
The process of the long-distance transport of PM$_{2.5}$ was further explored through the latitude-height profile (Figure 13), which is still based on the city of SZ (22.55° N, 114.10° E).

From 20 to 21 January, with the eastward movement of the surface high pressure, the northerly wind below 3 km was enhanced in southern China, causing the transport of PM$_{2.5}$ southward across the Nanling Mountains to affect the PRD region. From 23 January on, meridional wind below 3 km shifted to southerly, and the transport was much weaker than that under a northerly wind. On the one hand, the southerly wind was truly able to bring the polluted air mass from the upwind region across the ocean to the PRD. However, the meridional wind was reduced, which was not sufficient to promote the transport of polluted air masses to pass over the Nanling Mountains and go north. On the other hand, from 23 January on, PRD was controlled by downward flow coming from the south side, inhibiting the vertical diffusion of pollutants and enhancing the pollution. But the mixing below the PBL was much greater than that in 20 January, so the surface concentration could maintain at around 50 μg/m$^3$.

### 3.4. Cross-Regional Transport of PM$_{2.5}$

Considering the terrain of the PRD, which is backed by the mainland and facing the sea, a simplified boundary model was established, as shown in Figure 5. The temporal and spatial variations in the transport intensity of PM$_{2.5}$ across different boundaries are shown in Figure 14.
Figure 13. Vertical cross sections of the simulated PM$_{2.5}$ concentration (color shaded; units: µg/m$^3$), wind field (black vector; unit vector: 12 m/s), PBLH (solid purple line; units: km) above the sea level, and terrain height (gray shaded; units: km) in the CTL experiment at (a) 20:00 on 20 January, (b) 08:00 on 21 January, (c) 20:00 on 21 January, (d) 20:00 on 22 January, (e) 08:00 on 23 January, and (f) 20:00 on 23 January. Blue perpendicular based on the latitude of SZ.

The main path height of PM$_{2.5}$ was from 0.1 to 0.7 km (AGL, below). The net flux of the PM$_{2.5}$ concentration before 12:00 on 22 January was positive, showing that extra-regional transport during this period promoted the increase in PM$_{2.5}$ concentration. The main input paths are from the north boundary, the northeastern boundary and the northwestern boundary, and the maximum transport intensity was $0.84 \times 10^3$ µg·m$^{-2}·s^{-1}$, which appeared at approximately 1.2 km at 18:00 on 21 January; the maximum total flux intensity was $9.68 \times 10^3$ µg·m$^{-2}·s^{-1}$ below 3 km. Specifically, affected by the low wind speed on 20 January, the average transport flux intensity at each boundary below 3 km was so small that it was close to zero. In other words, the increase in PM$_{2.5}$ was mainly related to local weather conditions. The main path height increased to 2.5 km, which was influenced by the prevailing northerly wind below 3 km, greatly increasing the transport rate of polluted air masses moving into the PRD, and leading to intensified pollution. During 23 to 24 January, due to the southerly wind bringing relatively clean air mass, the net flux of PM$_{2.5}$ into the PRD was negative and pollution was alleviated excluding coastal region in the southern PRD. Composition analysis and Potential source contribution function (PSCF) are demanded to further study whether the southeast source is from the ocean or Hong Kong and its influencing mechanism.
which resulted in a rapid increase in the PM$_{2.5}$ concentration in the PRD and the intensification of regional pollution. During the third stage of this haze event, the PRD was located under the weak surface trough system, and the air flow was stagnant and counteracted the horizontal diffusion of PM$_{2.5}$, which resulted in a rapid increase in the PM$_{2.5}$ concentration in the PRD and the intensification of regional pollution. During the third stage of this haze event, the PRD was located under the saddle area between the high-pressure (east) and low-pressure (west) systems. The surface wind turned from northerly to southerly, and the relatively clean air mass caused the alleviation of pollution in the PRD. In addition, the upwind region in the PRD was more susceptible to PM$_{2.5}$ trans-boundary transport than the central region, where local emissions were more important to pollution. In addition, intra-regional transport should not be ignored during haze events. Without considering nonlinear response, the extra-regional transport of PM$_{2.5}$ could contribute approximately 22.9 µg/m$^3$ to the hourly concentration of PM$_{2.5}$ in the PRD on average, which accounted for 56.5% of the average contribution rate. The transport was mainly located in the boundary layer, which was approximately 1 km high, and could increase up to 1.5 km when the PRD was controlled by a southerly wind, but transport...

![Figure 14](image_url)

**Figure 14.** Time series of the PM$_{2.5}$ (a) transport intensity variation with height in the PRD region (shaded; unit: µg·m$^{-2}$·s$^{-1}$), (b) average transport flux intensity at each boundary and the total transport flux intensity at all boundaries (unit: ×10$^4$ µg·m$^{-2}$·s$^{-1}$) below 3 km.

**4. Conclusions and Discussion**

In this study, the impact of the cross-regional transport of pollutants on a haze event in the PRD region from 14 to 25 January in 2015 was studied by observation analysis and numerical simulation.

(1) According to the observations, PM$_{2.5}$ was the primary pollutant in this haze event. PM$_{2.5}$ increased one day earlier in the northern PRD than that in the southern PRD under the control of a prevailing northerly wind due to the combined action of local emissions and trans-boundary transport.

(2) The haze event was simulated well by the CTL simulation experiment. During the first stage of this haze event, it was found that the PRD region was located at the bottom of the surface high-pressure control by the northerly wind, a synoptic pattern that was conducive to the transport and diffusion of PM$_{2.5}$ from the upstream area. The cross-regional transport resulted in the rapid increase in the PM$_{2.5}$ concentration in the northern PRD, which occurred one day earlier than the increase in the southern PRD. In the second stage of the haze event, the PRD was located under the weak surface trough system, and the air flow was stagnant and counteracted the horizontal diffusion of pollutants, which resulted in a rapid increase in the PM$_{2.5}$ concentration in the PRD and the intensification of regional pollution. During the third stage of this haze event, the PRD was located under the saddle area between the high-pressure (east) and low-pressure (west) systems. The surface wind turned from northerly to southerly, and the relatively clean air mass caused the alleviation of pollution in the PRD.
was mainly concentrated below 0.5 km. When controlled by a northerly wind, the transport height could reach 2.5 km. The more uniform the horizontal wind direction and the higher the wind speed, the more favorable the extra-regional long-distance transport of PM$_{2.5}$ was.

(3) When the PRD was influenced by a northerly wind, the main trans-boundary transport of PM$_{2.5}$ occurred mainly at altitudes of 0.1 to 0.7 km. The maximum total transport flux intensity below 3 km was $9.7 \times 10^3$ $\mu g\cdot m^{-2}\cdot s^{-1}$, with a maximum transport intensity of $0.84 \times 10^3$ $\mu g\cdot m^{-2}\cdot s^{-1}$ that appeared at approximately 1.2 km at 18:00 on 21 January. When the PRD was controlled by a southerly wind, pollution in coastal cities was intensified by the input of a marine air mass. However, pollution was mitigated in this case when taking the PRD region as a whole. During the period of low wind speed, the average transport flux intensity below 3 km was too small, and the increase in PM$_{2.5}$ was mainly related to local meteorological conditions.

This study explained the characteristics and processes of PM$_{2.5}$ cross-boundary transport, to some extent, through observational analysis and numerical simulations obtained from the WRF-Chem model. Model simulations could reproduce the main characteristics of the haze process. Nonetheless, there were some uncertainties due to numerical simulation error, nonlinear response to chemical reactions among pollutants, and emissions not being sufficiently precise, which could be improved through better experimental design by adjusting and optimizing the parameterization scheme. Beside of pollutants transport, the high temperature and high environmental relative humidity were also conducive to aerosol aging and growth, resulting in the further aggravation of pollution downstream. However, limited by data obtaining, this study was in lack of vertical observation and analysis of aerosol compositions, which could help us to understand the reasons why PM$_{2.5}$ concentration maintained at around 50 to 70 $\mu g/m^3$ (a slightly polluted level) under the control of relatively clean air mass brought by southerly winds. This work will be improved and keep on moving further.

**Supplementary Materials:** The following are available online at [http://www.mdpi.com/2073-4433/10/5/237/s1](http://www.mdpi.com/2073-4433/10/5/237/s1).

**Figure S1:** Time series of the daily AQI and IAQI of pollutant P in cities of the PRD from 14 to 25 January. From (a) to (i): GZ, SZ, ZH, FS, ZS, JC, DG, HZ, and ZQ. Figure S2: Surface weather patterns over eastern Asia at 08:00 (LST) on (a) 19 January, (b) 20 January, (c) 21 January, and (d) 23 January in 2015. Figure S3: Comparison between regional average PM$_{2.5}$ concentration from OBS and CTL.

**Author Contributions:** Conceptualization, Q.C. and L.S.; Methodology, L.S., Y.G. (Yi Gao) and Y.M.; Software, Y.G. (Yi Gao), S.H., and S.G.; Validation, Q.C.; Formal Analysis, Q.C.; Resources, L.S., Y.M. and Y.G. (Yang Gao); Data Curation, Q.C.; Writing—Original Draft Preparation, Q.C.; Writing—Review & Editing, L.S.; Visualization, Q.C.; Supervision, L.S.; Project Administration, L.S.; Funding Acquisition, L.S.

**Funding:** This research was funded by the National Natural Science Foundation of China: 41675146.

**Acknowledgments:** This work was supported by the National Natural Science Foundation of China under Grant 41675146. The authors acknowledge the CMA, Chinese Ministry of Environmental Protection, ECMWF, NCEP, and GTS for providing the observational and reanalysis data; Tsinghua University ([http://www.meicmodel.org/dataset-mix.html](http://www.meicmodel.org/dataset-mix.html)) and MEGAN for providing the emissions data; and MOZART for providing the initial chemical and boundary conditions of the simulations. We also appreciate the anonymous reviewers for their constructive comments that have helped improve this paper.

**Conflicts of Interest:** The authors declare no conflicts of interest. The founding sponsors had no role in the design of the study; in the collection, analysis, or interpretation of data; in the writing of the manuscript; or in the decision to publish the results.

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