The Origin of the Latin Fifth Declension

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The goal of the article is to review Dariusz R. Piwowarczyk’s recent works (2016; 2017; 2017 [bis]; 2019) on the origin of the Latin fifth declension, as well as to demonstrate the correctness of the dual explanation (Witczak 2015) not discussed or even mentioned by Piwowarczyk. The author is convinced that Latin, like other Indo-European languages, once had a separate dual number which disappeared in the pre-literary period. The loss of the dual number disturbed the declension system existing at the time and caused the need for the creation of a new declension class (the fifth declension). The laryngeal phoneme *h₁ formed the basic dual ending in the Indo-European languages. Proto-Indo-European animate nouns of consonant stems created a strong form in the dual number, demonstrating the ending *-eh₁ (hence IE. *-ē and Latin *-ē-), whereas inanimate nouns had a weak form (PIE. *-ih₁, hence Lat. -i in viginti ‘twenty’ and the oblique stem *-iē-, attested in Lat. aciēs, māteriēs etc.). Proto-Latin dual forms ending in *-ē (hence PIE. *-eh₁) referring to animate nouns, as well as dual forms ending in *-i (hence PIE. *-ih₁) referring to inanimate nouns, underwent the process of collectivization and singularization: most of them gained the status of typical singularia tantum and then formed a separate class of nouns which had -ē- as the common feature. It is finally suggested that a large group of originally dual nouns (especially the so-called dualia tantum) formed the Latin fifth declension.

Keywords: dual number, dualia tantum, etymology, fifth Latin declension, Indo-European languages, Latin morphology, singularia tantum.

1. Views on the origin of the Latin fifth declension as documented in relevant literature

The fifth declension is commonly believed to be a Latin (or possibly Italic) innovation, the origin of which is unclear (Leumann 1977, 285; Beekes 1985, 37–38; Pultrová 2011, 99). The question of the origin of this inflectional class was recently discussed by Dariusz R. Piwowarczyk (2016, 108–123; 2017, 511–523; 2017 [bis], 247–263; 2019), who reviews the most important opinions on the matter presented over the years. It is worth recalling the basic concepts about the origin of the Latin fifth declension.

Holger Pedersen (1926, 14–18) recognized the Latin fifth declension to be an Indo-European archaism. He linked it to the Baltic nominal stems ending in -ē and on this basis he assumed the existence of Indo-European stems ending in *-ē and *-ē. Pedersen’s proposal was criticized by Christian Stang (1966, 201), who convincingly argued that the majority of the Baltic ē-stems represent the descendants of Indo-European stems with the suffix *-iēā (< PIE. *-iēh₁).
Steinbauer (apud Mayrhofer 1986, 133–134), in turn, explained the Latin fifth declension as an offshoot of Indo-European stems in *-iā (< PIE. *-ēh2). He provides a fairly large set of Latin forms ending in -īēs/-ia such as luxuriēs/luxuria as well as correspondences such as that between the Lat. aciēs f. and Proto-Germanic *agō (cf. OSax. eggia, OHG. ekka, G. Ecke f. ‘corner, edge’ < PIE. *h₂ekjeh₂). In Steinbauer’s opinion, an archaic type of declension was inherited by Latin in which the nominative form (with a lengthened grade) was *-iēs (< PIE. *-ēh₂-s), and the accusative sg. had the ending *-iām (< PIE. *-ēh₂-m). This declensional type had allegedly produced the numerous variant forms like māteriēs vs. māteria.

Peter Schrijver assumed (without much support) that Proto-Indo-European had deverbal abstract nouns ending in *-iēs (< PIE. *-ēh₁-s), taken from oblique cases of the alleged *ih₁-stems) like the Vedic śāmī f. ‘work’, sācī- f. ‘power’, as well as denominal abstract nouns with a similar paradigm *-ī (< PIE. *-īh₂) such as the Old Indic vrkī- f. ‘she-wolf’ (Schrijver 1991, 383). The Dutch scholar assumed here that Proto-Italic *-iē- was generalized to nom.sg. from oblique cases such as gen.sg. where *-iē- < *iēh₁-. The suggested phonological development is, of course, acceptable, but the existence of *ih₁-stem abstract nouns in the Indo-European languages hangs in the air. Most Indo-Europeanists assume that all Indo-Aryan i-stems reflect the Proto-Indo-European feminine *ih₂-stems. In other words, his explanation accounts for neither the origin of the Latin fifth declension nor for the numerous variants like māteriēs vs. māteria.

Gert Klingenschmitt (1992, 127–135) simplified Schrijver’s hypothesis because he assumed the existence of only one feminine suffix *-iēh₂, forming deverbal and denominal abstract nouns, and he explained the unusual phonological development (allegedly based on the acc. sg. *-ih₂m > *-iim > *-iem) as an analogy to the inflection vulpēs : vulpem. In Klingenschmitt’s framework, the Latin vulpēs-type goes back to a hystero-kinetic i-stem inflection, demonstrating the lengthened-grade nominative-accusative in *-ēi- and -ēi-stem in oblique cases, cf. Ved. sākhi- m./f. ‘friend, (female) companion’, acc. sg. sākhāyam, nom. pl. sakhāyahaḥ, dat. pl. sakhītibhyahaḥ. It should be emphasized, however, that all inherited words such as Lat. vulpēs (gen. sg. vulpis) were included in the third declension (not in the Latin fifth declension). Moreover, the Latin word socius m. ‘fellow, partner, comrade, companion’, related etymologically to Vedic sākhā-, was remodelled to resemble o-stems (the second declension).

The current state of research on the origin of the Latin fifth declension is summarised by Lucie Pultrová (2011, 99):

“The 5th declension as a whole (leaving aside the root nouns diēs and rēs) is problematic as to origin, the endings are to a considerable extent analogical and above all, it is not clear how to interpret the suffix -ē- — did it also originate as late as in Latin, or is it inherited?”

Krzysztof Tomasz Witzczak (2015, 101–120) refers to Beekes’s opinion, according to which “the ē-stems of the Latin fifth declension had -h₁ in the nominative” (Beekes 1985, 37). He explains the Latin ē-stem as a trace of the dual morpheme *h₁, stressing that so far nobody has attempted to provide an explanation of the appearance of the Latin fifth declension (ē-stems) as a result of the loss of the Indo-European dual inflection, whose main feature was the suffix *-eh₁ (or simply *-h₁) for animate nouns and its variant *-ih₁ for the neuter gender and sometimes for the feminine (originally for inanimate nouns). He strongly stresses that the historical development of Latin words ending in -ēs (< *-eh₁-s ← the dual suffix *-eh₁ ~ *-h₁) and -iēs (< *-ēh₁-s ← the dual suffix *-ih₁, oblique cases *-iēh₁-)

Philologia Classica. 2021. Vol. 16. Fasc. 2 263
is fully credible phonologically. In his opinion, the erstwhile dual character of some Latin ē-stem nouns can be demonstrated on the basis of their probable semantic development.

Dariusz R. Piwowarczyk discusses the problem of the origin of the fifth Latin declension in his recent book (2019), as well as in his three earlier articles (Piwowarczyk 2016, 118; 2017, 511–523; 2017 [bis], 247–263). He notices weak points in the argumentation of his predecessors, omitting the new interpretation, according to which the Latin fifth declension was created on the basis of the lost dual forms (Witczak 2015). Generally, he follows Klingenschmitt’s position, the alleged model for the analogy being the inflection diēs, abl. sg. diēd, acc. sg. diem (with a reference to Late IE. *dēm acc. sg., originally PIE. *djeu). It is hardly convincing that one word and only one case (accusative sg.) could have been a model for the creation of the fifth declension.

In this work, I would like to review arguments of the relevant researchers and demonstrate the correctness of the hypothesis, according to which the Latin fifth declension should be explained as an innovation created on the basis of lost nouns used originally in the dual.

2. Common features of the Latin fifth declension

In his book Piwowarczyk (2019, 15–18) summarizes the following typical features of the Latin (and Italic) fifth declension:

2.1. The common feature of the fifth declension is the ē-stem, which — in his opinion — can hardly represent an Indo-European heritage.

2.2. Most nouns demonstrate no traces of the plural forms and belong to the so-called singularia tantum.

2.3. A full paradigm is only attested for two Latin nouns: diēs ‘day’ and rēs ‘thing’.

2.4. Most nouns of ē-stem represent abstract formations.

2.5. Numerous abstract nouns in -iēs demonstrate a variant form in -ia.

2.6. Extra-Latin (Sabellic) reflexes of ē-stem are scarce and uncertain.

The standard paradigm of the Latin (and Italic) fifth declension is presented in Table.

| Case and number | Latin | Sabellic (esp. Umbrian) |
|-----------------|-------|------------------------|
| nominative / vocative sg. | diēs ‘day’, rēs ‘thing’ | ? Umbrian di voc. sg. ‘luppiter’ (if from *diiē voc. sg., cf. Lat. diēs ‘day’) |
| genitive sg. | diēi (also diei, diei), rēi | Umbrian ri ‘rei’ |
| dative sg. | diēī (also diē, diei), rēi |
| accusative sg. | diēm, rem | ? Umbrian dei ‘loven’ (if from *diiēm acc. sg., cf. Lat. diem acc. sg. ‘day’) |
| ablative sg. | diē, rē | Umbrian ri ‘re’ |
| nominative / vocative pl. | diēs, rēs |
| genitive pl. | diērum, rērum |
| dative pl. | diēbus, rēbus | Umbrian iovies ‘iuvenibus’ |
| accusative pl. | diēs, rēs | Umbrian iovie ‘iuvenes’ |
| ablative pl. | diēbus, rēbus |

Table. The declension of Latin diēs, rēs and Umbrian iovies

Note that the dual hypothesis was mentioned or supported in other articles by the same author (Witczak 2017, 37–58; 2017 [bis], 191–194; 2018, 47–58; 2019, 107–123; 2021, 5–18).
Below I present the main arguments for the dual origin of the Latin fifth declension and demonstrate that the dual hypothesis provides a much better explanation for the basic features of the paradigm just presented.

3. The dual number in the Indo-European languages

The most ancient Indo-European languages (including Ancient Greek and Vedic) have three number categories: the singular, the dual and the plural (Meier-Brügger 2000, 258–259; Clackson 2007, 100–104). The majority of modern languages of the Indo-European family have only two categories: the singular and the plural.

In the Indo-European languages the dual number was typically used for natural pairs (e.g., Ved. ákṣi du. ‘eyes’, Av. aši, Hom. Gr. ὀσσε, Toch. B. eš, Lith. aki, OCS. oči du. ‘eyes’; Lith. ranki du. ‘hands’, Cz. ruce pl. [< du.] ‘hands’ < Balto-Slavic *rankai du. ‘two hands’), sometimes also for accidental or artificially arranged pairs (e.g., Hom. Gr. ἄνδρε du. ‘two men’, ἵππῳ du. ‘two horses [pulling one carriage]’, Toch. B oksaine du. ‘two oxen [in one yoke]’), and possibly also for two objects of the same kind (e.g., Toch. B pwāri du. ‘two fires’, Lith. dvį liepi ‘two lime trees’). Occasional elliptical usage of the dual is also attested (e.g., Ved. dyāvā du. ‘heaven and earth’ (literally ‘two heavens’), āhanī du. ‘day and night’ (lit. ‘two days’); Av. pitāra du. (= Ved. pitārā) ‘father and mother’ (lit. ‘two fathers’); Toch. B. ńaktene du. ‘a god and his wife’ (lit. ‘two gods’); Hom. Gr. Άιαντε ‘Ajax and his brother Teucer’ (lit. ‘two Ajaxes’) (Wackernagel 1957, 151; Malzahn 2000, 45–52; Clackson 2007, 101).

The degree of preservation and productivity of the dual in individual Indo-European languages differs considerably (Fritz 2011). All three number categories have been well preserved in the Indo-Iranian group, among others in the Vedic (Malzahn 1999), Avestan and Old Persian languages as well as in the Balto-Slavic group as in Old Lithuanian, some of the modern Lithuanian dialects, Old Church Slavonic and many of the Slavic languages, both historical and contemporary (Dostal 1954; Fritz 2011, 136–154). Other branches of the Indo-European family, e.g., the Germanic, Celtic, or Tocharian languages present a somewhat different state, in which the dual is still functional, but gradually becomes obsolescent. A similar stage of gradual loss of the dual number can be observed in Ancient Greek (Hierche 1987; Fero 2013).

Some traces of the former dual remained in the ancient Anatolian languages, cf. Hitt. laplapan n. ‘eyelash; hairs on the eyelid’, laplapi nom. pl. n. (< du. with *-ih₁) ‘eyelashes; hairs growing on the upper and lower eyelid’; Hitt. mēnī nom.-acc. n. ‘face’ (originally du. ‘two cheeks’) vs. Hitt. mēna- n./c. ‘cheek’, Lat. mentum n. ‘chin’ (Rieken 1994, 51–53; 1999, 56–57; Kloekhorst 2008, 577). It is also believed that the Hittite word elzi n. ‘pair of scales / die beiden Hälften’ (< PIE. *h₁elt-ih₁ du. n. ‘two trays of the scales’, cf. Ofr. leth ‘half’, Lat. latus ‘side’) represents an original dual form (Kloekhorst 2008, 240; Fritz 2011, 185–188).

The dual number has disappeared in most of the languages of the later stages, in many cases leaving more or less numerous traces in the lexicon. It must be noted though that even in modern times there are languages with a fully productive grammatical category of the dual, including Upper and Lower Sorbian as well as Slovenian (Tjasa 2008).
4. Traces of the dual number in Latin

The dual number as a separate category was presumably lost in Latin and other Italic languages already in the prehistoric period (Buck 1933[2009], 171). It is commonly believed that Latin kept relatively few traces of the dual (Sihler 1995, 245–246; Weiss 2009, 195), mostly in the numerals, cf.

4.1. Lat. *duo 'two' < IE. *duwo- < PIE. *duwo-eh₁ (Pokorny 1959, 228–232; Blažek 1999, 163–184; Meiser 2010, 170).

4.2. Lat. *oktō 'eight' < IE. *hekto- < PIE. *hekto-eh₁ (cf. Pokorny 1959, 775; Blažek 1999, 263–276; Meiser 2010, 170).

4.3. Lat. *vigintī 'twenty' (< IE. *yękmti < PIE. *dyekmt-ih₁, originally 'two tens' (Pokorny 1959, 1177; Sihler 1995, 420, 422).

Two Latin forms *ambō, ambae 'both' and *duo, duae, duo 'two' have specific inflection which may be a result of the transformation of the original dualia tantum within the first and second declension. Their inflection keeps the original dual endings only in the nominative, but it does not demonstrate the dual endings in the remaining cases, the actual ones are regular plural endings (e. g., gen. pl. *ambōrum, ambārum, ambōrum). This is thus a case of an adaptation and substitution where the older dual inflection is replaced with the plural. In other words, numerous Proto-Indo-European dualia tantum became the Latin pluralia tantum.

In general, one can agree that the traces of the dual number in Classical Latin are few in number and are mostly restricted to *ambō 'both' (Mańczak 1991, 179–186) and the numerals (*duō, *octō, *vigintī) (Weiss 2009, 195). The question of the preservation of the dual number in the nominal forms of Early Latin is debatable (Witczak 2017; 2019).

5. Dual endings in the Indo-European languages

It is assumed that in the Proto-Indo-European language the laryngeal *h₁ formed the basic dual ending, which could have a strong form (PIE. *-eh₁ or alternatively PIE. *-i-eh₁ / *-h₁e) in animate nouns, or a weak form with a preceding vocalic element *-i- (PIE. *-i₁) in inanimate nouns (Beekes 2011, 216–217).² It is assumed that the numeral ‘two’ originally had two alternative forms: PIE. *duwo-eh₁ ‘two (persons)’ and PIE. *duwo-ih₁ ‘two (things)’, which later developed into the masculine and neuter forms: late IE. *duwō m. ‘two’ (whence e. g., Gr. δύω m., f., Olnd. d(u)vā m., Av. dūvāh m., Lat. duo m., OCS. důva m., Toch. A ṣu) and *duwōi n. ‘two’ (whence e. g., Ved. dūvē n., Av. baē n., OCS. důvě n., Toch. A we, B wi). The feminine gender, which most likely developed after the loss of the category of animacy, found still in the Anatolian languages, had the weak ending *-i₁, typical of inanimate nouns. The numeral ‘two’ thus had the feminine form IE. *duwāi ‘two’ (< PIE. *duweh₂-i₂₁), cf. Ved. dūvē, dvē f., Av. baē f., Lat. duae f., OCS. důvē f., Lith. dvī f. ‘two’ (< *dvie < Proto-Baltic *dvai), OE. twā f. ‘two’ (< Proto-Germanic *twai). Only the Greek feminine form δύω was identical to the masculine one.

² Kortlandt (1991, 5–8) tried to prove that the nominative dual of inanimate nouns was originally *-i₁, but his hypothesis has not been accepted since it is easier to assume that the particle *i was originally an exponent of the inanimateness, and the laryngeal *h₁ functioned as a general dual marker in both animate and inanimate nouns.
It is thus no wonder that two ways of forming the dual were available for the Proto-Indo-European appellative *meh₂ter- f. ‘mother’:

[1] with the Indo-European dual ending *-ē (< PIE. *-eh₁), e. g., Ved. mātārā du. ‘two mothers’;

[2] with the Indo-European dual ending *-ī (< PIE. *-ih₁), e. g., OCS. materi f. du. ‘two mothers’, Lith. móteri f. du. ‘two mothers’.

The last ending, PIE. *-ih₁, was originally found with inanimate nouns (later neuters) but the feminine forms sometimes took it over as the basic variant. It cannot be excluded that the appellative *meh₂ter- had both the meaning of a person (‘mother’) as well as that of various objects (e. g., ‘tree trunk; body of the tree’) already in Proto-Indo-European, cf. Lat. māter f. ‘mother’ and (of trees) parent-stock; tree trunk. Thus it cannot be excluded that the Proto-Indo-European word *meh₂ter- had created two dual forms in Proto-Latin: *māterē f. du. ‘two mothers’ (animate noun) and *māterī f. du. ‘two tree trunks’ (inanimate noun). The second (inanimate) variant could be treated as a probable source for Lat. māteriēs f. ‘timber, tree trunk; building material, wood; substance, ingredient, element’ (cf. below, 8.5).

As far as consonantal stems are concerned, there was considerable variety and linguists cannot tell which dual ending was once preferred in Latin and other Italic languages. The present author believes that Latin for some time kept the dual ending *-ē (< PIE. *-eh₁) for animate nouns, which can be observed also in the Indo-Iranian family. The loss of the dual caused the Archaic Latin forms ending in -ē (once dual forms) to form a separate group, the fifth declension. Should it be assumed that the Italic languages had a variant with the dual ending *-ē (< PIE. *-h₁e), this will not change anything here since the long vowel *-ē- would still have to have been formed in the oblique cases. For instance, the genitive dual ending referring exclusively to inanimate nouns was *-ēs in Indo-European (earlier PIE. *-eh₁-s gen. du.), which is proved by the gen. du. form in Avestan -ā (< Iran. *-āh). Thus, without doubt the dual inflection with the marker -ē- (< PIE. *-eh₁) would have ensured the stabilization of the feature -ē- after the loss of the dual number in the Italic languages.

In the case of the dual forms of the neuter gender (and sometimes feminine as well) ending in *-ī (< PIE. *-ih₁) the genitive dual was similar, i. e., *-iēs (< PIE. *-ih₁-s gen. du. of inanimate nouns). In other words, the forms of the oblique cases gave rise to the ones

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3 It should be noted that Gr. μήτερε du. ‘two mothers’ demonstrates a different dual ending *-ē. It is uncertain whether the dual consonant-stem nouns in Ancient Greek should be treated as an innovation (with a shortened vowel in the final position) or an alternative formation. Theoretically, the Ancient Greek dual ending -ē could derive from PIE. *-h₁e or PIE. *-h₁.

4 Following Michiel de Vaan (2008, 367), I believe that Lat. māteriēs derives from Lat. māter f. ‘mother’, also ‘tree trunk’. I reject Osthoff’s ingenious etymology, according to which the Latin words māteria and māteriēs reflect the Proto-Italic archetypes *dmāteriā/*dmāteriēs f. ‘building material’, which go back to the intensive variant *dm-eh₂ of the Proto-Indo-European root *dem- ‘to build’, cf. Gr. δέμω ‘id.’, perf. med. δέδμημαι, Dor. δέδμαι (Beekes 2010, 314–315).

5 There is no clear evidence as to the form of the dual ending of the nominal forms with consonantal stems in the Celtic languages (the group closest to Italic). Indeed, the Old Irish nom.-acc. du. sieir ‘two sisters’ may be derived from any of the proto-forms: *swesorē (cf. OInd. svasārā du. ‘two sisters’), *swesore or *swesori (Adams 1991, 12). The Gaulish noun suiorebe (abl.-soc. du.) ‘with two sisters’ (Witczak 2015 [bis], 59–62) seems to exclude the third possibility.

6 The lack of comparative evidence for reconstructing the full inflection of inanimate dual forms in *-i-h₁ should be emphasized here. In fact, the dual inflection in Proto-Indo-European is hardly possible to be reconstructed. Avestan still keeps the genitive dual (Av. -ā < PIr. *-āh seems to derive from Indo-Iranian *-ās

Philologia Classica. 2021. Vol. 16. Fasc. 2

267
typical of the Latin fifth declension, and the transformation of the old dual inflection *-ī (with *-īē- in oblique cases) into the singular paradigm *-īēs, *-īēi was a perfect basis for the formation of the fifth declension already in the preliterary period.

6. The cause of the loss of dual number in Latin

It is most probable that the loss of the dual as a separate category took place within the first declension (ā-stems), and then within the second one (o-stems). In the nominal paradigm, a specifically Latin innovation was introduced already in the preliterary period, causing serious changes in the inflection system. The innovation was the replacement of the old plural endings *-ās and *-ōs, which are well attested in the other Italic languages, by the endings of the pronominal system *-āi (whence OLat. -āi and Lat. -ae) and *-oi (whence OLat. -ei and Lat. -ī).

The new plural forms with the nom. pl. ending *-āi (e.g., Lat. arae, iuvencae versus Osc. aasas pl. 'stakes, altars', Umbr. ivengar pl. 'heifers') proved identical to the dual forms *-āi (cf. Lat. ambae f. 'both', duae f. 'two'). The identity of the dual and the plural nominative forms (as well as some of the oblique case forms probably) in the early phase of Latin (OLat. -āi, Lat. -ae represented nom. pl. f. = nom. du. f., cf. duae iuvencae) was a factor strong enough to cause elimination of separate dual forms in the feminine gender.

In the case of the o-stems, the nominative dual of the old neuter nouns (nom. du. n. *-oi) became identical with the nominative plural of the masculine gender (nom. pl. m. *-oi, whence OLat. -ōi > -ei > Lat. -ī). The dual forms were thus treated as plural ones with some variation in the gender assignment, which was relatively frequent anyway.

The elimination of the dual number in the second declension occurred in two ways: the Romans started to treat the dual neuter form as a plural one and on this basis created new variant forms in the masculine gender as an alternative to the original neuter of the given nouns. I can illustrate this development with the following examples:

6.1. The Latin word frēnum n. 'rein, bridle, harness' has two alternative plural forms: the regular and more common frēna, -ōrum and the irregular (and relatively rarer) variant frēnī, -ōrum. The latter form undoubtedly represents the old dual (Weiss 2009, 195, fn. 9). Reins comprise two ropes or straps that are used to direct the horse, thus the dual form is very natural, unlike the plural one. Yet, the old dual frēnī was reinterpreted as a plural form (gen. pl. frēnōrum, dat.-abl. pl. frēnīs etc.).

6.2. Lat. lōrum n. 'strap, girdle, rein' (< PIE. *leh₃som n.) has two alternative plural forms: the regular and more common lōra, -ōrum and the irregular (and relatively rarer) variant lōrī, -ōrum. It is probable that Late Latin lōrus (m.) represents an innovative form created on the basis of the irregular plural lōrī, originally nom.-acc. du. n. *lōso-ī 'two straps, two reins' (< PIE. *leh₃so-ih₁). The loss of the dual number in the early pre-literary phase of the development of the Latin language caused the reinterpretation of the preserved dual forms (Witzczak 2017 [bis], 185–196).
6.3. The Latin word *oculus* m. ‘eye’ has a regular nominative pl. *oculī*. Its Indo-European cognates, however, have neuter forms both in the singular (e. g., OCS. *oko* n. ‘an eye’) and the dual (cf. OCS. *oči* du. ‘two eyes’, Pol. *oczy* pl. ‘eyes’ < PSL. *oči* du. < Late IE. *okʰi* du. < PIE. *h₃ekʰ*-ih₁ du. ‘two eyes’, cf. Hom. Gr. ὀσπε du. ‘two eyes’, Lith. *akì* du. ‘id.’). It is very likely that the nom. pl. m. *oculī* is a diminutive of the nom. du. n. *okʰi* ‘two eyes’, and the Latins treated the old dual as a masculine plural. On this basis, they formed a new masculine nom. sg. *oculus*.⁷

6.4. The Latin plural form *caelī* (m. pl.) is connected with the neuter noun *caelum* n. ‘heaven.’ It can be explained as a primitive dual form denoting ‘two heavens’, i. e., ‘daily heaven and nightly heaven.’ A similar hypothesis is suggested by Michael Weiss (2017, 661–667): the Latin plural form *caelī* (originally a neuter thematic dual ending with *-o-ih₁*) was used in an elliptical sense, thus it could refer to heaven and earth, i. e., the two elemental halves of the universe. Weiss additionally argues that the original meaning of Lat. *caelum* was ‘division, part.’

Nominal paradigms of the *i*-stems, *u*-stems as well as consonantal stems most likely kept separate dual forms for longer than the *ā*-stems and *o*-stems, but one can hypothesize that the elimination of the dual number proceeded fairly quickly. The pluralization of the dual in the first and second declensions (*ā*-stems and *o*-stems, respectively) happened largely without problems. A similar process took place in the paradigms of the other stems and usually the pluralization consisted simply in adding a final -s.

7. Dual hypothesis vs. Piwowarczyk’s vision and/or different explanations of the origin of the Latin fifth declension

Having demonstrated the main elements of the dual hypothesis I would like to compare the dual hypothesis with other explanations, including Piwowarczyk’s recent solution. My argumentation follows the points introduced with an account of the main features of the Latin fifth declension, as presented in section 2.

7.1. The *e*-stem as a common feature of the Latin fifth declension (see 2.1).

The dual hypothesis connects Latin *e*-stem nouns with the dual ending *h₁*, which is reflected in the endings *-ē* (< PIE. *-eh₁*) and *-ī* (< PIE. *-ih₁*) in all consonantal stems attested in the Indo-European languages. The ending *-ē* referred primitively to animate nouns, whereas the ending *-ī* (gen. du. -iēs) created dual nouns of neuter gender (originally nouns with the inanimate meaning).

Piwowarczyk (2017 [bis]; 2019, 126) argues that there is no actual evidence for the nominal affixes *-eh₁- and *-jeh₁- in Proto-Indo-European. Unfortunately, he does not take into account that the same or similar affixes (PIE. *-eh₁- and PIE. *-ih₁-*) were used to create the dual forms of nominal formations belonging to consonantal stems.

Piwowarczyk tries to explain the alternative -iēs / -ia inflection in Latin as a remodelling of the *i*-stem declension. The feminine suffix *-ī* (< PIE. *-ih₂*) explains Latin formations ending with -ia, but Latin formations in -iēs cannot derive from the same source.

7.2. *Singularia tantum* of the fifth declension (see 2.2).

The loss of the dual number in most Indo-European languages did not happen solely via pluralization of old dual forms, but also by way of their singularization (i. e., the

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⁷ A hypothesis proposed by A. Nussbaum (see Weiss 2009, 195, fn. 9).
old dual inflection being remodelled as singular with an innovative, frequently abstract meaning). It is highly probable that some Proto-Latin dual forms (especially dualia tantum) could be treated as separate nouns with the singular form and the dual (later plural) meaning, i.e., they had to be used as typical collective (uncountable) nouns. One of the basic features of the collectives consists in an absence of the plural forms.8 In other words, the dual hypothesis explains an essential feature of the Latin fifth declension. Alternative suggestions cannot explain why the majority of è-stem nouns in Latin, except two instances (discussed in 7.3), have no plural forms, thus they represent the singularia tantum.

7.3. Latin diēs and rēs (see 2.3). Where did their plural forms derive from? The full paradigm of the fifth declension is only attested for Latin diēs ‘day’ and rēs ‘thing’. Why do these two words demonstrate plural forms? In my opinion, Lat. diēs, -iēi f. ‘day’ and its Celtic cognates (cf. OIr. diē ‘day’, OW. did ‘id.’, MW. dydd, OBret. ded, Bret. deiz ‘id.’ < PC. *diigh- or *diugg-) derive from the Italo-Celtic archetype *diuīēs, which goes back to an elliptic dual *diuī-ih₁ (‘day and night’, literally ‘two days’), created on the basis of PIE. *diuōm n. ‘clear sky, day’ (see 8.3). The Italo-Celtic formation in question lost an elliptic and abstract sense (‘day and night; 24 hours’ time’) and secondarily introduced a singular and concrete meaning (‘a day’). This semantic innovation resulted in creation of the plural forms. The Latin word rēs preserved an earlier abstract sense (‘reality, truth’) and later introduced a secondary concrete meaning (‘thing, object, fact’). In other words, the Latin word rēs, as well as diēs, gained the full paradigm thanks to their innovative semantics.

The dual hypothesis easily explains how the plural forms of diēs and rēs (of secondary origin) could be introduced to the Latin fifth declension. No alternative suggestion gives an acceptable solution of the observed phenomenon.

7.4. Abstract formations of the fifth declension (see 2.4). Numerous dual forms, attested in the Indo-European, seem to present an elliptic sense (e.g., Ved. dyāvā du. ‘heaven and earth’, Av. pitarə du. ‘father and mother’), which can easily develop into an abstract and singulative semantics (e.g., ‘a specific part of the universe’, ‘a parent, a patron’) after the loss of the dual number as a grammatical category. This is why most nouns belonging to the fifth declension represent abstract formations.

Both Piwowarczyk (2019) and his predecessors provide no explanation for numerous abstracts belonging to the Latin fifth declension.

7.5. Latin variants in -iēs and -ia (see 2.5).

The observed variation seems to be of secondary origin. Below (8.5) it is suggested that two Latin nouns māteriēs (gen. sg. māteriēi) f. and māteria (gen. sg. māteriae) f. ‘timber, tree trunk; building material; substance, ingredient, element’ initially were parallel formations, representing two different and originally not synonymous words. Both terms derive from the Latin word māter f. ‘mother, tree trunk’ (< PIE. *mēh₂ter- f.). The origin of Lat. māteria is clear. It represents a collective noun in -ia (< PIE. *-iēh₂), demonstrating the primitive sense ‘multiplicity, collectivity of what is specified in the base’, i.e., a multiple character of the material. On the other hand, Lat. māteriēs derives from an old dual form *māteri f. du. ‘two tree-trunks, two elements, two ingredients’ (< PIE. dial. *mēh₂ter-ih₁- ‘two mothers’, cf. Lith. méteri f. du. ‘id.’; OCS. materi f. du. ‘id.’). The discussed example clearly demonstrates that the Latin formation in -iēs referred to one substance made of

8 It is worth emphasizing that the “collective nouns (nomina collectiva) when defined from a descriptive point of view are denominal derivatives with a singular form (singularia tantum) demonstrating a collective meaning” (Habrajška, Rychlo, Witczak 2020, 262).
two ingredients, while the variant in -ia referred to the substance made of many elements (see 8.5). Further items in -iēs are discussed below in section 8.

The dual hypothesis explains the abstract nouns in -ia and -iēs as two parallel and separate formations. The traditional position explains nothing. The new monograph exclusively devoted to this problem (Piwowarczyk 2019) does not contain a convincing solution for the Latin -iēs/-ia inflection (see 7.1 and sect. 1).

7.6. The Sabellic reflexes of ē-stem (see 2.6).

According to Piwowarczyk (2016, 116–117; 2019, 18), there is only one independent appellative in -iēs in the Sabellic languages, without a parallel Latin formation, namely, Umbrian *iovies f. (acc. pl. iovie, dat. pl. iovies) ‘young people, youth’ (Untermann 2000, 353), cf. Lat. iuvenis m. f. ‘young man, young woman’, metonymically also ‘youth’. In this case the likely derivational basis could be the dual form *iovī (< PIE. *jew-ih₁ nom. du. ‘two young people, a young couple; a young pair’).9

The Sabellic form in question can easily be explained on the basis of the dual hypothesis. The dual number as a separate category was completely lost in the Italic languages, thus the fifth declension had to be used not only in Latin, but also in most Sabellic languages.

8. Dual forms of the Lat. vīgintī type

Piwowarczyk’s monograph (2019) is devoted especially to the question of the Latin -iēs/-ia inflection. This is why for the purposes of the present article it is necessary to demonstrate that most forms in -iēs should be explained as possible traces of lost dual nouns. I cannot agree with Piwowarczyk that the Latin forms in -iēs, created by analogy to the Latin word diēs, represent alternative (secondary) variants of the forms in -ia. It is worth emphasizing that Celtic lexical documents serve as evidence for the dual origin of Lat. diēs ‘day’ (see 8.3). This observation excludes the traditional hypothesis, supported by Piwowarczyk (2017, 512–515; 2019, 126), according to which the full paradigm of Lat. diēs was secondarily created on the basis of the original accusative sg. diem (as if from IE. *diēm < PIE. *dīem).10

I would like to emphasize here that the Latin cardinal numeral vīgintī ‘twenty’ is commonly believed to be a remnant of the old dual number. It represents the Indo-European archetype *yīkñti nom.-acc. du. ‘twenty’ (< PIE. *dīg-đkmt-ih₁, lit. ‘two tens’), cf. Dor. Gr.

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9 Lat. iuvenis m./f. ‘young man, young women’, adj. ‘young’ and Umbr. iovies f. ‘young people, youth’ is evidently related to Ved. yuvan- adj. ‘young’, m. ‘young man’ and Av. yuvan- and yauva- m. ‘youth’ (de Vaan 2008, 317). In my opinion, it is uncertain whether the Latin and Umbrian words in question are connected with Ved. āyu- n. ‘life, lifetime’ and Av. āīitū n. ‘life, lifetime, time’ or not. The close cognate of Ved. āyu- is attested in Lat. aevum n. ‘period of time; past; future’ (< PIt. *āiwm n. ‘period, age’) and Lat. aetās (t-stem) f. ‘age’ (< PIt. *āiwo-tāt-s f. ‘period, age’), cf. Pael. aetate gen. sg., Osc. aitātūm acc. sg. ‘id.’ (de Vaan 2008, 29).

10 Note that the Proto-Indo-European initial cluster *dī- regularly yields Lat. i- (and not di-). Thus, the traditional explanation seems impossible for phonological (the original cluster *dī- is hardly accepted in a syllabic use *díi- in Proto-Indo-European) and morphological reasons (PIE. *dī-ēu-s ‘sky-god’, hence Lat. Iūppiter, gen. sg. Iovis ‘id.’, was the animate agent noun of masculine gender; PIE. *dī-uō-m n. ‘clear sky, day’ and *dīu-i-h₁ du. n. ‘day and night’, hence Lat. divum ‘sky’ and diēs ‘day’, were inanimate neuter nouns; all these words derive from the Proto-Indo-European root *dei- ‘to shine’, but their use was completely different). Moreover, it is extremely improbable that a new declension class was created on the basis of just one case (accusative sg.) of a single word. In other words, Piwowarczyk’s view is hardly convincing from the typological and morphological point of view.
earlier wiki evident examples. be documented through a detailed semantic analysis. Below I discuss several of the most

dualia tantum, which were once present in Latin and had no singular forms, sometimes underwent collectivization and singularization. As a result of such an adaptation, the old dual forms had to be re-

constructed morphologically and reinterpreted semantically. The collectivization and sin-
gularization of the old dual forms created the need to establish a new declension class.

This was because innovative forms ending in -iēs (< *-i-eh1-s) appeared in Latin, which

represented the old dual forms transformed on the basis of the oblique case stem. These

forms provided the impetus for the creation of the Latin fifth declension. The majority of

the nouns of the Latin fifth declension are singularia tantum, which is an evident result of

the collectivization and singularization of old dual forms.

In a few special cases the dual character of certain Latin nouns ending in -iēs may

be documented through a detailed semantic analysis. Below I discuss several of the most
evident examples.

8.1. The Latin word acīēs, -iēi f. ‘sharp edge, sharp end, blade, sword’ (figuratively

‘sharpness’) is commonly compared to the appellatives Lat. acia, -ae f. ‘thread for sewing’

(earlier *acūia), acīmen, -inis n. ‘blade, sharp end, sting and acus, -us f. ‘needle, spire, hair-
pin’, as well as the verb acūō, -ere ‘to whet, sharpen, cut to a point; spur on, provoke, incite’

(< PIE. *h2ēk- ‘to be sharp’). The deverbal derivation is completely understandable in the

majority of the cases but the word acus f. (u-stem) ‘needle, spire, hairpin’ may be treated as

the basic form in Latin and Proto-Italic. Lat. acīēs f. ‘blade (of a sword)’ seems to represent

the old dual form *aci (gen. du. *acīēs, the stem *aciē- also in other oblique cases) ‘two

blades (of a sword, knife, axe); a two-edged cutting tool’ (< PIE. *h2ēk-ih1 du., the stem in

oblique cases *h2ēk-i eh1-s). The dual character of the form can be easily confirmed by se-
mantics: the Latin word acīēs f. refers to the two sharp edges of a sword, knife or battle-axe

since in the ancient times swords, knives or battle-axes were two-edged, while Lat. acus f.

‘needle, spire, hairpin’ is evidently singulative in character since a needle or pin has only

one narrow blade. The pair acus vs. acīēs perfectly demonstrates the opposition between

the old singular and the dual form (PIE. *h2ēk-us nom. sg. vs. PIE. *h2ēk-ih1 nom. du.).

8.2. Lat. caesariēs, -iēi f. ‘hair on the head, locks of hair’ most likely represents the

original dual form *kaiāri, gen. du. *-iēs (orig. ‘two locks of hair’) of the lost Old Latin

appellative *caesar m. (orig. ‘lock of hair’, cf. Ved. kēsara- m. ‘hair, mane, kēsaravant- adj.

‘having a mane’), preserved only in the cognomen Caesar (Pinault 1998; de Vaan 2008,

81), and later in the appellative caesar ‘Roman ruler, emperor’ created from the proper

noun (Piwowarczyk 2013). In this case the original dual underwent collectivization, hence

the evidently singular form and the evidently plural meaning of the word caesariēs, -iēi f.

‘hair on the head, locks of hair’

8.3. Lat. diēs, -īei f. ‘day’ cannot be separated from OIr. dīe ‘day’, OW. did ‘id’; MW. dydd,

OBret. ded, Bret. deiz ‘id’. < PC. *diījī- or *diīji- (Matasović 2009, 101). All these nouns

11 A wider range of comparative lexical material is presented in Pokorny (1959, 1177) and Beekes

(2010, 381). Cf. also Lith. dvi deštinti ‘two tens’, dvideštint ‘twenty.’
derive from the Italo-Celtic archetype *diu(e)s, which — in my opinion — goes back to an elliptic dual *diu-ih1 (‘day and night’, literally ‘two days’), created on the basis of the Proto-Indo-European noun *diu-ó-m n. ‘clear sky, day’, cf. Ved. diváṃ n. ‘clear sky, day’, sudiváṃ n. ‘beautiful day’, divédive (reduplicated loc. sg.) ‘every day’, Arm. tıv ‘day’, Lat. divum n. ‘sky’, sub dívō ‘under the open sky’, biduuum n. ‘a period of two days’ (< *duis-diýōm); OIr. indiú ‘today’ (< Goidelic Celtic *en-diúòm, lit. ‘in this day’); W. ħeddiw ‘today’, Corn. hethew ‘id.’ (< Bryttonic Celtic *se-diúòm) (Pokorny 1959, 185; Matasovič 2009, 101).

8.4. Lat. faciès, -iēī f. ‘figure, posture; face, appearance; head, snout; person; shape’ is commonly treated as a deverbal derivative of the verb faciō ‘to do, make, prepare, accomplish etc.’ (de Vaan 2008, 198). This explanation is far from being certain. In my opinion, the Latin noun in question seems to represent the likely dual form *facī nom. du. (gen. du. *faciēs) with an elliptic meaning, originally perhaps ‘skin and body’ (vel sim.),12 which underwent singularization and transformed into an abstract noun faciēs f. ‘figure, posture, appearance, shape’, also used with the concrete meaning ‘face, head, snout’, as in the other discussed cases, on the basis of the forms of the oblique cases (nom. du. *-iēh1, obl. *-iēh1-).

8.5. The Latin words māteria, -ae f. ‘timber, tree trunk; building material; substance, ingredient, element’ and māteriēs, -iēī f. (the same meaning) are evidently motivated by the appellative Lat. māter f. ‘mother, tree trunk’ (< PIE. *meh2ter- f.), cf. OInd. mātār- f., Gr. μήτηρ f., Arm. motêr f. ‘sister’, Toch. A mācar, B mācer ‘mother’ (de Vaan 2008, 367). While the former word is the old collective with *-iēh2 (cf. Gr. θράτηρια f. ‘fraternity, association, clan organisation’, Toch. A pratri coll. ‘brothers’; Witczak 2016), the latter seems to be derived from an old dual form *māteri f. du. ‘two mothers’ (< PIE. dial. *meh2ter-ih1-), cf. Lith. mōtēri f. du. ‘two mothers’ vs. Lith. móte f. ‘mother’; OCS. materi f. du. ‘two mothers’ vs. OCS. matī f. sg. ‘mother’. The Latin word materia implies a multiple character of the material. If a thing was made of two ingredients (e. g., of copper and tin; timber and metal or flour and milk), then the use of the dual *māteri du. ‘two elements, two ingredients’ was appropriate (in oblique cases māteriē- < *meh2ter-ih1-). In other words, the collective form māteriēs f., created on the basis of the oblique case forms, was initially specifically dual. The form ending in -iēs referred to a substance made of two ingredients. It cannot be doubted that the Latin words māteria and māteriēs initially were not synonyms, but parallel forms with similar meanings.

8.6. Lat. seriēs, -iēī ‘row, series, chain; succession of generations, clan, genealogy’ is etymologically related to the verb Lat. serō, -ere ‘to create, cause; bind, connect’ (de Vaan 2008, 557) as well as to Hitt. šariye 3 sg. ‘sews together, connects’ (Kloekhorst 2008, 727), cf. also Hitt. šariya- n. ‘chain, line’. The Latin noun can be traced back to the dual form *serī (< PIE. *ser-ih1- ‘two series, two chains, two clans’), which seems fully justified semantically. A chain (used as a necklace) consists of two parts wound around the neck on both sides. Similarly, each person has two parents from two different families, whence the collective form seriēs, based on the oblique cases *serī- (< PIE. *ser-īeh1-), which seems to reflect a dual form.

12 It cannot be excluded that Lat. faciēs f. ‘figure, posture; face, appearance; head, snout; person; shape’ (if from Pht. *pwači) is related to Hitt. šariye 3 sg. ‘body’, also tuēkkaš c. ‘body, person, self’, Ved. tvāk nom. f. (k-stem) ‘skin, hide; leather bag’, Skt. tvacan n. ‘skin’, Gr. σάκος n. ‘shield (made of cow’s hide)’ (< PIE. *tyekH- ‘to cover’, cf. Skt. tvacati 3 sg. ‘s/he covers’.)
The conducted semantic and etymological analysis has confirmed, as I believe, the originally dual character of the several selected Latin appellatives ending in -īēs (gen. sg. -īēi). It is beyond doubt that the form of the oblique cases served as the basis for the creation of the forms typical of the Latin fifth declension: the transformation of the old dual inflection *-ē (with *-ē- in oblique cases) into a singular paradigm *-ēs, *-ēi was a perfect basis for the creation of the Latin fifth declension already in the pre-literary period.

9. Conclusions

The detailed analysis of the Latin and Indo-European lexical data undertaken above has led to the following conclusions:

9.1. The oldest Indo-European languages had three number categories: the singular, the dual and the plural. The dual number was lost by most of the daughter languages and left some traces in a number of them. The dual has remained a productive category in Slovenian, Sorbian and in some Lithuanian dialects.

9.2. Classical Latin has very few traces of the old dual, limited to a handful of numerals (Lat. duo 'two', octō 'eight', vigintī 'twenty'). Very few forms (especially the original dualia tantum) retained elements of the old dual inflection, cf. Lat. ambō, ambae, ambo m., f., n. 'both', duo, duae, duo m., f., n. 'two'.

9.3. The loss of the dual number in Latin, much like in the other Indo-European languages, caused pluralization, collectivization or singularization of the dual forms, i.e., their identification with either singular or plural forms.

9.4. The Latin fifth declension, which is most likely a pre-literary innovation, seems to be to a large extent a remnant of the old dual inflection.

9.5. The Proto-Latin dual forms ending in *-ē (< PIE. *-eh₁) were transformed by way of regular morphological processes into singulative forms in -ēs. Forms of this kind were later included in the new fifth declension.

9.6. The Proto-Latin dual forms ending in *-ī (< PIE. *-ih₁), especially dualia tantum of the type vigintī 'twenty' (originally 'two tens'), were transformed into singulative forms in -īēs. The change observed in Latin made use of and adapted as a standard the Proto-Indo-European suffix *-iēh₁-, which must have functioned in the oblique cases as the full grade of the dual suffix *-ih₁. Abstract nouns in -iēs, which appear to be reflective of the dual forms in *-ī, were included in the Latin fifth declension, too.

9.7. The dual hypothesis provides a much better explanation for the early development of the Latin fifth declension than any of the other problematic (and redundant) solutions proposed thus far (e.g., Pedersen 1926; Mayrhofer 1986; Schrijver 1991; Klingenschmidt 1992; Piwowarczyk 2016; 2017; 2019).

Abbreviations

Alb. — Albanian; Arc. — Arcadian; Arm. — Armenian; Att. — Attic; Av. — Avestan; Bret. — Breton; c. — commune (gender); Corn. — Cornish; Cz. — Czech; Dor. — Doric; du. — dual; Hitt. — Hittite; Hom. — Homeric Greek; Lith. — Lithuanian; mid. — middle; MW. — Middle Welsh; OBret. — Old Breton; OCS. — Old Church Slavic; OE. — Old English; Ofnd. — Old Indic; OfIr. — Old Irish; OLat. — Old Latin; Osc. — Oscan; OW. — Old Welsh; Pael. — Paelignian; PC. — Proto-Celtic; PGr. — Proto-Greek; PIE. — Proto-Indo-European; PIr. — Proto-Iranian; PIt. — Proto-Italic; Pol. — Polish; Psht. — Pashto;
PSl. — Proto-Slavic; Skt. — Sanskrit; soc. — sociative; Toch. A — Tocharian A (or East Tocharian); Toch. B — Tocharian B (or West Tocharian); Umbr. — Umbrian; Ved. — Vedic; W. — Welsh.

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