Rotationally invariant constant mean curvature surfaces in homogeneous 3-manifolds

Francisco Torralbo *

Departamento de Geometría y Topología, Universidad de Granada, 18071 Granada, Spain

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**Abstract**

We classify constant mean curvature surfaces invariant by a 1-parameter group of isometries in the Berger spheres and in the special linear group $\text{Sl}(2, \mathbb{R})$. In particular, all constant mean curvature spheres in these spaces are described explicitly, proving that they are not always embedded. Besides new examples of Delaunay-type surfaces are obtained. Finally the relation between the area and volume of these spheres in the Berger spheres is studied, showing that, in some cases, they are not solution to the isoperimetric problem.

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**1. Introduction**

In the last years, constant mean curvature surfaces of the homogeneous Riemannian 3-manifolds have been deeply studied. The starting point was the work of Abresch and Rosenberg [2], where they found a holomorphic quadratic differential in any constant mean curvature surface of a homogeneous Riemannian 3-manifold with isometry group of dimension 4. Berger spheres, the Heisenberg group, the special linear group $\text{Sl}(2, \mathbb{R})$ and the Riemannian product $S^2 \times \mathbb{R}$ and $H^2 \times \mathbb{R}$, where $S^2$ and $H^2$ are the 2-dimensional sphere and hyperbolic plane, are the most relevant examples of such homogeneous 3-manifolds.

Abresch and Rosenberg [1] proved that a complete constant mean curvature surface in $S^2 \times \mathbb{R}$ and $H^2 \times \mathbb{R}$ with vanishing Abresch–Rosenberg differential must be rotationally invariant (that is, invariant under a 1-parameter group of isometries acting trivially on the fiber). Moreover, do Carmo and Fernández [7, Theorem 2.1] showed that, even locally, every constant mean curvature surface in $S^2 \times \mathbb{R}$ or $H^2 \times \mathbb{R}$ with vanishing Abresch–Rosenberg differential must be rotationally invariant too. Finally, Espinar and Rosenberg [5] for every homogeneous Riemannian spaces with isometry group of dimension 4, proved that every constant mean curvature surface with vanishing Abresch–Rosenberg differential must be invariant by a 1-parameter group of isometries.

Constant mean curvature surfaces invariant by a 1-parameter group of isometries were studied in the product spaces $S^2 \times \mathbb{R}$ and $H^2 \times \mathbb{R}$ by Hsiang and Hsiang [12] and Pedrosa and Ritoré [16]. Also, in the Heisenberg group, the study was made by Tomter [17], Figueroa, Mercuri and Pedrosa [9] and Caddeo, Piu and Ratto [3]. Tomter described explicitly in [17]...
the constant mean curvature spheres computing their volume and area in order to give an upper bound for the isoperimetric profile of the Heisenberg group. The authors in [9] studied not only the rotationally invariant case, but the surfaces invariant by any closed 1-parameter group of isometries of the Heisenberg group, and organized most of the results that had appeared in the literature. In the special linear group \(\text{SL}(2, \mathbb{R})\) the classification was obtained by Gorodski [10] and, very recently, the classification was made in the universal cover of \(\text{SL}(2, \mathbb{R})\) by Espinoza [6].

The aim of this paper is to classify the constant mean curvature surfaces invariant by a 1-parameter group of isometries that fix a curve, that is, rotationally invariant, in the Berger spheres (Theorem 1). In this classification it turns out that constant mean curvature spheres are not always embedded (see Fig. 2) contradicting the result announced by Abresch and Rosenberg in [2, Theorem 6]. Besides, we obtain some new examples of surfaces similar to the Delaunay constant mean curvature surfaces in \(\mathbb{R}^3\). Moreover, since we obtain an explicit immersion for the constant mean curvature sphere (see Corollary 1), we analyze the relation between the area and the volume of the constant mean curvature spheres and show that, for some Berger spheres, they are not the best candidates to solve the isoperimetric problem. Finally some Delaunay-type surfaces give rise, in some Berger spheres, to embedded minimal tori which are not the Clifford torus, proving that the Lawson conjecture is not true in some Berger spheres (see Remark 3(2)).

Using the same techniques, and giving a sketch of the proofs, we classify rotationally invariant constant mean curvature surfaces in \(\text{SL}(2, \mathbb{R})\) (see Theorem 2), and we obtain an explicit description for the constant mean curvature spheres, showing that they are not always embedded (see Fig. 6). Although the classification in \(\text{SL}(2, \mathbb{R})\) was made by Gorodski in [10], there exist a mistake in [10, Theorem 2(b)] where he claims that for every \(H > 0\) there exists a sphere with constant mean curvature \(H\), something that is actually false (see Remark 4(1)).

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2. Constant mean curvature surfaces in the homogeneous spaces

Let \(N\) be a simply connected homogeneous Riemannian 3-manifold with isometry group of dimension 4. Then there exists a Riemannian submersion \(\Pi : N \to M^2(\kappa)\), where \(M^2(\kappa)\) is a 2-dimensional simply connected space form of constant curvature \(\kappa\), with totally geodesic fibers and there exists a unit Killing field \(\xi\) on \(N\) which is vertical with respect to \(\Pi\). As \(N\) is oriented, we can define a cross product \(\wedge\), such that if \([e_1, e_2]\) are linearly independent vectors at a point \(p\), then \([e_1, e_2, e_1 \wedge e_2]\) is the orientation at \(p\). If \(\nabla\) denotes the Riemannian connection on \(N\), the properties of \(\xi\) imply (see [4]) that for any vector field \(V\)

\[
\tilde{\nabla}_V \xi = \tau(V \wedge \xi),
\]

(1)

where the constant \(\tau\) is the bundle curvature. As the isometry group of \(N\) has dimension 4, \(\kappa - 4\tau^2 \neq 0\). The case \(\kappa - 4\tau^2 = 0\) corresponds to \(S^3\) with its standard metric if \(\tau \neq 0\) and to the Euclidean space \(\mathbb{R}^3\) if \(\tau = 0\), which have isometry groups of dimension 6.

In our study we are going to deal mainly with the Berger spheres, which correspond to \(\kappa > 0\) and \(\tau \neq 0\), and with the special linear group \(\text{SL}(2, \mathbb{R})\), which correspond to \(\kappa < 0\) and \(\tau \neq 0\). Although the special linear group is not simply connected the projection \(\tilde{\Pi} : \text{SL}(2, \mathbb{R}) \to \text{SL}(2, \mathbb{R})\) preserves the submersion \(\Pi : \text{SL}(2, \mathbb{R}) \to M^2(\kappa)\) and so, there exist a fibration \(\Pi : \text{SL}(2, \mathbb{R}) \to M^2(\kappa)\). The fibration in both cases, i.e., in the Berger and in the special linear group cases, is by circles.

Along the paper \(E(\kappa, \tau)\) will denote either a simply connected homogeneous Riemannian 3-manifold with isometry group of dimension 4, where \(\kappa\) is the curvature of the basis, \(\tau\) the bundle curvature (and therefore \(\kappa - 4\tau^2 \neq 0\)) or \(\text{SL}(2, \mathbb{R})\).

Now, let \(\Phi : \Sigma \to E(\kappa, \tau)\) be an immersion of an orientable surface \(\Sigma\) and \(N\) a unit normal vector field. We define the function \(C : \Sigma \to \mathbb{R}\) by

\[
C(\Sigma, N, \xi),
\]

where \((,)\) denotes the metric in \(E(\kappa, \tau)\), and also the metric of \(\Sigma\). It is clear that \(C^2 \leq 1\).

Suppose now that the immersion \(\Phi\) has constant mean curvature. Consider on \(\Sigma\) the structure of Riemann surface associated to the induced metric and let \(z = x + iy\) be a conformal parameter on \(\Sigma\). Then, the induced metric is written as \(e^{2u}|dz|^2\) and we denote by \(\bar{\partial}_x = (\partial_x - i\partial_y)/2\) and \(\bar{\partial}_y = (\partial_x + i\partial_y)/2\) the usual operators.

For these surfaces, the Abresch–Rosenberg quadratic differential \(\Theta\), defined by

\[
\Theta(z) = \left(\bar{\partial}^2 (\bar{\partial}_x, \bar{\partial}_y, N), (\kappa - 4\tau^2) (\Phi_x \wedge \Phi_y)^2 (dz)^2\right),
\]

where \(\kappa\) is the second fundamental form of the immersion, is holomorphic (see [2]). We denote \(p(z) = \langle \sigma(\bar{\partial}_x, \bar{\partial}_x), N \rangle\) and \(A(z) = \langle \Phi_x, \xi \rangle\).

**Proposition 1.** (See [4,8].) The fundamental data \([u, C, H, p, A]\) of a constant mean curvature immersion \(\Phi : \Sigma \to E(\kappa, \tau)\) satisfy the following integrability conditions:
Given a constant mean curvature surface $\Sigma$ with vanishing Abresch–Rosenberg differential we know that it must be invariant by a 1-parameter group of isometries (see [1,7,5]).

Now we will restrict our attention to constant mean curvature spheres $S$, which will be treated in a uniform way for all $E(\kappa, \tau)$. The advantage of using this approach is that we will obtain a global formula for the area of the constant mean curvature spheres in the terms of $\kappa$ and $\tau$ (see Proposition 2). In this case using (2) and taking into account that $\Theta = 0$ we get

$$C_z = -\frac{(H - i \tau) A}{4(H^2 + \tau^2)}\left[4(H^2 + \tau^2) + (\kappa - 4 \tau^2)(1 - C^2)\right],$$

$$C_{zt} = \frac{-e^{2u}}{32(H^2 + \tau^2)}C \left[4(H^2 + \tau^2) + (\kappa - 4 \tau^2)(1 - C^2)\right]^2.$$

Because $[4(H^2 + \tau^2) + (\kappa - 4 \tau^2)(1 - C^2)] > 0$ the only critical points of $C$ appear where $A$ vanish, i.e., taking into account (2) when $C^2(p) = 1$. But the Hessian of $C$ is given by $(H^2 + \tau^2) > 0$ (except for minimal spheres in $S^2 \times \mathbb{R}$, but in that case the sphere is the slice $S^2 \times \{0\} \subset S^2 \times \mathbb{R}$) so all critical points are non-degenerate. Hence, $C$ is a Morse function on $S$ and so it has only two critical points $p$ and $q$ which are the absolute maximum and minimum of $C$. The function $v : S \to \mathbb{R}$ given by $v = \text{arctanh} C$ is a harmonic function from (2) with singularities at $p$ and $q$ and without critical points. Now there exist a global conformal parameter $w = x + iy$ over $S$ such that $v(w) = \text{Re}(w) = x$. In this new global conformal parameter the function $C$ is $C(x) = \text{tanh}(x)$ and so it is not difficult to check that the conformal factor of the metric can be written as:

$$e^{2u(x)} = \frac{16(H^2 + \tau^2) \cosh^2 x}{[4(H^2 + \tau^2) \cosh^2 x + (\kappa - 4 \tau^2)]^2}, \quad x \in \mathbb{R}.$$

Now, to obtain the area of the constant mean curvature sphere it is sufficient to integrate the above function for $x \in \mathbb{R}$ and $y \in [0,T]$, where $T$ must be $2\pi$ since, by the Gauss–Bonnet theorem,

$$4\pi = \int_S K \, dA = \int_\mathbb{R} e^{2u(x)} K \, dx = -T \int_\mathbb{R} u''(x) \, dx = 2T.$$

Then, the area is given by

$$\text{Area}(S) = \int_0^{2\pi} \int_\mathbb{R} e^{2u(x)} \, dx \, dy = 2\pi \int_\mathbb{R} e^{2u(x)} \, dx,$$

and a straightforward computation yields the following lemma.

**Proposition 2.** The area of a constant mean curvature sphere $S$ in $E(\kappa, \tau)$ is given by:

$$\text{Area}(S) = \begin{cases} 
\frac{8\pi}{4\mu^2 + \kappa} \left[ 1 + \frac{4(h^2 + \tau^2)}{4h^2 + \kappa} \frac{\arctan(\sqrt{4\tau^2 - \kappa})}{\sqrt{4h^2 + \kappa}} \right], \\
\frac{8\pi}{4\mu^2 + \kappa} \left[ 1 + \frac{4(h^2 + \tau^2)}{4h^2 + \kappa} \frac{\arctanh(\sqrt{4\tau^2 - \kappa})}{\sqrt{4h^2 + \kappa}} \right],
\end{cases}$$

if $\kappa - 4 \tau^2$ is negative or positive respectively, where $H$ is the mean curvature of $S$.

**Remark 1.** The same formula was already obtained for constant mean curvature spheres in the Heisenberg group with $\kappa = 0$ and $\tau = 1$ by [17, Proposition 5] and in $M^2(\kappa) \times \mathbb{R}$ by [15] when $\kappa = 1$ and by [12] when $\kappa = -1$. It is important to remark that in [17,15] the mean curvature is the trace of the second fundamental form while here the mean curvature is half of it.
3. The Berger spheres

A Berger sphere is a usual 3-sphere $S^3 = \{ (z, w) \in \mathbb{C}^2 : |z|^2 + |w|^2 = 1 \}$ endowed with the metric

$$g(X, Y) = \frac{4}{\kappa} \left[ \langle X, Y \rangle + \left( \frac{4\tau^2}{\kappa} - 1 \right) \langle X, V \rangle \langle Y, V \rangle \right]$$

where $\langle . , . \rangle$ stands for the usual metric on the sphere, $V_{(z, w)} = (iz, iw)$, for each $(z, w) \in S^3$ and $\kappa, \tau$ are real numbers with $\kappa > 0$ and $\tau \neq 0$. For now on we will denote the Berger sphere $(S^3, g)$ as $S^3_0(\kappa, \tau)$, which is a model for a homogeneous space $E(\kappa, \tau)$ when $\kappa > 0$ and $\tau \neq 0$. In this case the vertical Killing field is given by $\xi = \frac{4\tau}{\kappa} V$. We note that $S^3_0(4, 1)$ is the round sphere.

The group of isometries of $S^3_0(\kappa, \tau)$ is $U(2)$. The next proposition classifies, up to conjugation, the 1-parameter groups of $U(2)$ into two types.

**Proposition 3.** A 1-parameter subgroup of $U(2)$, up to conjugation and reparametrization, must be one of the following types:

(i) $\text{Rot} = \left\{ \begin{pmatrix} 1 & 0 \\ 0 & e^{i\theta} \end{pmatrix} : \theta \in \mathbb{R} \right\}$.

(ii) $\left\{ \begin{pmatrix} \alpha & 0 \\ 0 & e^{i\alpha} \end{pmatrix} : \alpha \in \mathbb{R} \setminus \{0\} \right\}$.

**Proof.** All 1-parametric subgroups of $U(2)$ are generated, via the exponential map, by an element of the Lie algebra $u(2) = \left\{ \begin{pmatrix} ia & xe^{-iy} \\ -x e^{iy} & ib \end{pmatrix} : a, b, x, y \in \mathbb{R} \right\}$.

We are going to reduce the possible 1-parametric groups by conjugation. It is clear that given $A \in u(2)$ and $D \in U(2)$ then $A$ and $DAD^{-1}$ are conjugated. So if $A = \begin{pmatrix} ia & xe^{iy} \\ -xe^{-iy} & ib \end{pmatrix}$, then taking $D = \begin{pmatrix} 1 & 0 \\ 0 & e^{i\theta} \end{pmatrix}$ it follows that

$$\begin{pmatrix} 1 & 0 \\ 0 & e^{i\theta} \end{pmatrix} \begin{pmatrix} ia & xe^{iy} \\ -xe^{-iy} & ib \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & e^{-i\theta} \end{pmatrix} = \begin{pmatrix} ia & x \\ -x & ib \end{pmatrix}.$$ 

Hence we may suppose that, up to conjugation, $y = 0$, i.e., $A = \begin{pmatrix} ia & x \\ -x & ib \end{pmatrix}$. First, if $a = b$, taking $D = \frac{1}{\sqrt{2}} \begin{pmatrix} i & -1 \\ 1 & -i \end{pmatrix}$ we have

$$\frac{1}{\sqrt{2}} \begin{pmatrix} i & -1 \\ 1 & -i \end{pmatrix} \begin{pmatrix} ia & x \\ -x & ib \end{pmatrix} \frac{1}{\sqrt{2}} \begin{pmatrix} -i & 1 \\ 1 & i \end{pmatrix} = \begin{pmatrix} i(a-x) & 0 \\ 0 & i(a+x) \end{pmatrix}.$$ 

On the other hand if $a \neq b$ then taking $D = \begin{pmatrix} -\lambda & i\mu \\ -i\mu & \lambda \end{pmatrix}$ where $\lambda, \mu \in \mathbb{R}$ such that $\lambda^2 + \mu^2 = 1$ and $\lambda \mu(a-b) = \chi(\lambda^2 - \mu^2)$, we have

$$\begin{pmatrix} -\lambda & i\mu \\ -i\mu & \lambda \end{pmatrix} \begin{pmatrix} ia & x \\ -x & ib \end{pmatrix} \begin{pmatrix} -\lambda & i\mu \\ -i\mu & \lambda \end{pmatrix} = \begin{pmatrix} i(\alpha\lambda^2 + b\mu^2 + 2\chi\lambda\mu) & 0 \\ 0 & i(\alpha\mu^2 + b\lambda^2 - 2\chi\lambda\mu) \end{pmatrix}.$$ 

So we may always assume that, up to conjugation, every 1-parameter subgroup of $U(2)$ is generated by $\begin{pmatrix} \alpha & 0 \\ 0 & e^{i\theta} \end{pmatrix}$ with $\alpha, \beta \in \mathbb{R}$. We note that we can interchange $\alpha$ and $\beta$ by conjugation. Via the exponential map this group becomes in $t \mapsto \begin{pmatrix} e^{i\alpha} & 0 \\ 0 & e^{i\beta} \end{pmatrix}$.

Finally if $\alpha = \beta = 0$ then we get the trivial group, if $\beta \neq 0$ we can reparametrize $t \mapsto t/\beta$ obtaining (i) if $\alpha = 0$ and (ii) if $\alpha \neq 0$. Both groups (i) and (ii) are not conjugated because their determinants do not coincide. \hfill \Box

Among the two types of groups described in the previous lemma the only 1-parameter group of isometries of $U(2)$ which fixes a curve is Rot. It fixes the set $\ell = \{ (z, 0) \in S^3 \}$ which is a great circle that we shall call in the sequel the axis of rotation. The other type of group (i) is, for $\alpha = 1$, the translation along the fiber and, for $\alpha \neq 1$, the composition of a rotation and translation along the fiber.

In the Berger sphere $S^3_0(\kappa, \tau)$, we will denote by $E^1_{(z, w)} = (\bar{w} \xi, \bar{z} \eta)$ and $E^2_{(z, w)} = (-i \bar{w}, i \bar{z})$. Then $\{E^1, E^2, V\}$ is an orthogonal basis of $T_{(z, w)} S^3_0(\kappa, \tau)$ which satisfies $|E^1|^2 = |E^2|^2 = 4/\kappa$ and $|V|^2 = 16\tau^2/\kappa^2$. The connection $\nabla$ associated to $g$ is given by

$$\nabla_{E^1} E^1 = 0, \quad \nabla_{E^2} E^1 = -V, \quad \nabla_{E^1} V = \frac{4\tau^2}{\kappa} E^2,$$

$$\nabla_{E^2} E^1 = V, \quad \nabla_{E^2} E^2 = 0, \quad \nabla_{E^2} V = -\frac{4\tau^2}{\kappa} E^1,$$

$$\nabla_{V} E^1 = \left( \frac{4\tau^2}{\kappa} - 2 \right) E^2, \quad \nabla_{V} E^2 = -\left( \frac{4\tau^2}{\kappa} - 2 \right) E^1, \quad \nabla_{V} V = 0.$$
Let \( \Phi : \Sigma \to S^3_b(\kappa, \tau) \) be an immersion of an oriented constant mean curvature surface \( \Sigma \) invariant by \( \text{Rot} \). Then we can identify \( S^3_b(\kappa, \tau)/\text{Rot} \) with \( S^3_b \) (a closed hemisphere) and so \( \Sigma \) is \( \pi^{-1}(\gamma) \) for some smooth curve \( \gamma \subseteq S^3_b \).

It is sufficient to consider that \( \gamma \) is in the closed upper half sphere and it is parametrized by arc length in \( S^2 \), i.e., \( \gamma(s) = (\cos x(s)e^{iy(s)}, \sin x(s)) \), with \( \cos x(s) \geq 0 \) and \( x'(s)^2 + y'(s)^2 \cos x(s) = 1 \) for all \( s \in I \). Then we can write down the immersion as \( \Phi(s,t) = (\cos x(s)e^{iy(s)}, \sin x(s)e^{it}) \). A unit normal vector along \( \Phi \) is given by

\[
N = C \left\{ -\tau \, \text{Re} \left[ \left( \frac{\tan \alpha}{\cos x} + i \tan x \right) e^{i(t+y)} \right] E_\phi - \tau \, \text{Im} \left[ \left( \frac{\tan \alpha}{\cos x} + i \tan x \right) e^{i(t+y)} \right] E_\phi + \frac{\kappa}{4\tau} V_\phi \right\}
\]

where \( \alpha \) is an auxiliary function defined by \( \cos \alpha(s) = x'(s) \), and

\[
C(s) = \frac{\cos x(s) \cos \alpha(s)}{\sqrt{\cos^2 \alpha(s) \cos^2 x(s) + \frac{4\tau^2}{\kappa} \sin^2 x(s)}}.
\]

Now by a straightforward computation we obtain the mean curvature \( H \) of \( \Sigma \) with respect to the normal \( N \) defined above:

\[
\frac{2 \cos^3 \alpha \cos^3 x}{\tau C^2} H = \left( \cos^2 x + \frac{4\tau^2}{\kappa} \sin^2 x \right) \alpha' + \frac{\sin \alpha}{\tan x} \left( 1 - \frac{4\tau^2}{\kappa} \right) \cos^2 x \cos^2 \alpha + \frac{4\tau^2}{\kappa} \left( 1 - \tan^2 x \right).
\]

Then we get the following result:

**Lemma 1.** The generating curve \( \gamma(s) = (\cos x(s)e^{iy(s)}, \sin x(s)) \) of a surface \( \Sigma \) of \( S^3_b \) invariant by the group \( \text{Rot} \) satisfies the following system of ordinary differential equations:

\[
\begin{cases}
  x' = \cos \alpha, \\
  y' = \sin \alpha, \\
  \alpha' = \frac{1}{(\cos^2 x + \frac{4\tau^2}{\kappa} \sin^2 x)} \left\{ \frac{2 \cos^3 \alpha \cos^3 x}{\tau C^2} H \\
  - \frac{\sin \alpha}{\tan x} \left( 1 - \frac{4\tau^2}{\kappa} \right) \cos^2 x \cos^2 \alpha + \frac{4\tau^2}{\kappa} \left( 1 - \tan^2 x \right) \right\}
\end{cases}
\]

where \( H \) is the mean curvature of \( \Sigma \) with respect to the normal defined before. Moreover, if \( H \) is constant then the function:

\[
\tau C \sin x \tan \alpha - H \sin^2 x
\]

is a constant \( E \) that we will call the energy of the solution.

**Remark 2.** From the uniqueness of the solutions of (3) for a given initial conditions one can show that if \( (x, y, \alpha) \) is a solution then:

(i) We can translate the solution by the \( y \)-axis, i.e., \( (x, y + y_0, \alpha) \) is a solution for any \( y_0 \in \mathbb{R} \).

(ii) Reflection of a solution curve across a line \( y = y_0 \) is a solution curve with opposite sign of \( H \), that is, \( (x, 2y_0 - y, -\alpha) \) is a solution for \(-H\).

(iii) Reversal of parameter for a solution is a solution with opposite sign of \( H \), that is, \( (x(2s_0 - s), y(2s_0 - s), \alpha(2s_0 - s) + \pi) \) is a solution for \(-H\).

(iv) If \( (x, y, \alpha) \) is defined for \( s \in [s_0 - \varepsilon, s_0 + \varepsilon] \) with \( x'(s_0) = 0 \) then the solution can be continued by reflection across \( y = y(s_0) \).

So thanks to the above properties we can always consider a solution \( (x, y, \alpha) \) with positive mean curvature and initial condition \( (x_0, 0, \alpha_0) \) at \( s = 0 \).

**Lemma 2.** Let \( (x(s), y(s), \alpha(s)) \) be a solution of (3) with energy \( E \). Then the energy \( E \) satisfies

\[
-H - \frac{1}{2} \sqrt{4H^2 + \kappa} \leq 2E \leq -H + \frac{1}{2} \sqrt{4H^2 + \kappa}
\]

and \( x(s) \in [x_1, x_2] \) where \( x_j = \arcsin \sqrt{E}, \; j = 1, 2 \).

\[
t_1 = \frac{\kappa - 8HE - \sqrt{\kappa^2 - 16\kappa E(H + E)}}{2(4H^2 + \kappa)}, \quad t_2 = \frac{\kappa - 8HE + \sqrt{\kappa^2 - 16\kappa E(H + E)}}{2(4H^2 + \kappa)}.
\]

Also \( x'(s) = \cos \alpha(s) = 0 \) if, and only if, \( x(s) \) is exactly \( x_1 \) or \( x_2 \).
Proof. First from (4) we obtain
\[
\sin \alpha = \frac{1}{\rho} \sqrt{1 + \frac{4 \tau^2}{\kappa} \tan^2 x}, \quad \cos \alpha = \frac{1}{\rho} \sin x \sqrt{1 - \frac{4}{\kappa} (E + H \sin^2 x)^2} \]
where \( \rho = \sqrt{\tau^2 \sin^2 x + (1 - \frac{4 \tau^2}{\kappa})(E + H \sin^2 x)^2} \). Then \( \frac{4}{\kappa} (E + H \sin^2 x)^2 - \sin^2 x \cos^2 x \leq 0 \), that is, \( p(\sin^2 x) \leq 0 \), where \( p \) is the polynomial
\[
p(t) = \left( 1 + \frac{4H^2}{\kappa} \right) t^2 - \left( 1 - \frac{8H}{\kappa} \right) t + \frac{4}{\kappa} E^2.
\]
As \( p(t) \) must be non-positive the vertex of this parabola must be non-positive too, that is,
\[
\left( 1 - \frac{8}{\kappa} E \right)^2 - \frac{16}{\kappa} E^2 \left( 1 + \frac{4H^2}{\kappa} \right) \geq 0
\]
and \( \sin^2 x(s) \in [t_1, t_2] \) where \( t_1 \) and \( t_2 \) are the roots of \( p \). Finally, as \( \cos x(s) \geq 0 \) because we choose the curve \( \gamma \) on the upper half sphere, it must be \( x(s) \in [0, \pi/2] \) so \( x(s) \in [x_1, x_2] \) where \( x_j = \arcsin \sqrt{t_j}, \ j = 1, 2 \). \( \square \)

Now we describe the complete solutions of (3) in terms of \( H \) and \( E \).

Theorem 1. Let \( \Sigma \) be a complete, connected, rotationally invariant surface with constant mean curvature \( H \) and energy \( E \) in \( \mathbb{S}^2_1(\kappa, \tau) \). Then \( \Sigma \) must be of one of the following types:

(i) If \( E = 0 \) then \( \Sigma \) is a 2-sphere (possibly immersed, see Corollary 1). Moreover, if \( H = 0 \) too then \( \Sigma \) is the great 2-sphere \( \{(z, w) \in \mathbb{S}^2: \text{Im}(z) = 0\} \) which is always embedded.

(ii) If \( 4E = -2H \pm \sqrt{4H^2 + \kappa} \) then \( \Sigma \) is a Hopf torus of radius \( r_H^2 = \frac{1}{2} \pm \frac{H}{\sqrt{4H^2 + \kappa}} \), that is, \( T_H = \{(z, w) \in \mathbb{S}^2: |z|^2 = r_H^2, |w|^2 = 1 - r_H^2\} \).

(iii) If \( E > 0 \) or \( E < -H \) (and different from the case (ii)) then \( \Sigma \) is an unduloid-type surface (see Fig. 3).

(iv) If \( -H < E < 0 \) then \( \Sigma \) is a nodoid-type surface (see Fig. 4).

(v) If \( E = -H \) then \( \Sigma \) is generated by a union of curves meeting at the north pole (see Fig. 5).

Surfaces of type (iii)–(v) are compact and only if
\[
T(H, E) = 2 \int_{x_1}^{x_2} \frac{(E + H \sin^2 x)}{\sqrt{\sin^2 x \cos^2 x - \frac{4}{\kappa} (E + H \sin^2 x)^2}} \ dx
\]
is a rational multiple of \( \pi \) (see Lemma 2 for the definition of \( x_j, \ j = 1, 2 \)). Moreover, surfaces of type (iii) are compact and embedded if and only if \( T = 2\pi / k \) with \( k \in \mathbb{Z} \).

Remark 3.

1. In the round sphere case this study was made by Hsiang [11, Theorem 3]. However he did not distinguish, in terms of the energy, between the nodoid and unduloid case. The sub-Riemannian case, which we can think as fixing \( \kappa = 4 \) and taking \( \tau \to \infty \), was studied by Hurtado and Rosales [13, Theorem 6.4].

2. As \( T(H, E) \) is a non-constant continuous function over a non-empty subset of \( \mathbb{R}^2 \) (see (5) for the restrictions of \( E \)), there exist values of \( H \) and \( E \) such that \( T(H, E) \) is a rational multiple of \( \pi \) and so the corresponding surfaces of type (iii)–(v) are compact.

Among all these compact examples, the minimal ones only appear in (iii) and, from (5), for \( 0 < E^2 \leq \kappa / 16 \). For \( \kappa = 4 \) and \( \tau = 0.4 \), Fig. 1 shows that there exists a value of \( E \) such that \( T(0, E) = 2\pi \), that is, the corresponding surface is embedded and compact so it is an embedded minimal torus which is not a Clifford torus. This surface is a counterexample to the Lawson’s conjecture in the Berger spheres with \( \kappa = 4 \) and \( \tau \leq t_0 \). The author thinks that there exists a value \( t_0 \approx 0.57 \) such that for \( \tau \leq t_0 \) there are always examples of compact embedded minimal tori (unduloid-type surface) whereas for \( \tau > t_0 \) there are not. These surfaces would be counterexamples to the Lawson’s conjecture in the Berger spheres with \( \kappa = 4 \) and \( \tau \leq t_0 \).

Proof. First we obtain several useful formulae. Substituting (6) in the third equation of (3) we get
\[
\alpha'(s) = \frac{\tau^2 \tan x(s) q(\sin^2 x(s))}{\cos x(s) \sqrt{\cos^2 x(s) + \frac{4\tau^2}{\kappa} \sin^2 x(s)} [\tau^2 \sin^2 x(s) + (1 - \frac{4\tau^2}{\kappa})(E + H \sin^2 x(s))^2]^{3/2}}
\]
Lemma 2). A straightforward computation shows that

\[ q(t) = \frac{H}{\kappa} (\kappa - 4\tau^2)(4H^2 + \kappa) + \frac{1}{\kappa} (\kappa - 4\tau^2) \left( \frac{12EH^2}{\kappa} - (E + 2H) \right) t^2 + \left( \frac{12HE^2(\kappa - 4\tau^2)}{\kappa^2} + 2E + H \right) t + \frac{4E^3(\kappa - 4\tau^2)}{\kappa^2} - E. \]  

\( (10) \)

(i) Firstly if \( H = 0 \) then by (6) we get that \( \sin \alpha = 0 \), i.e., \( x(s) = s + x_0 \) and \( y(s) = 0 \). Hence the surface \( \Sigma \) is the great 2-sphere \( \{(z, w) \in S^2_0(\kappa, \tau): \Im(z) = 0 \} \). Secondly if \( H > 0 \) then, by Lemma 2, \( \sin^2 x(s) \in [0, \kappa/(4H^2 + \kappa)] \), i.e., \( \tan^2 x(s) \in [0, \kappa/(4H^2)] \) and we may suppose that \( \tan x(s) \in [0, \sqrt{\kappa}/2H] \). By (6) \( \cos \alpha > 0 \) in that interval so we can express \( y \) as a function of \( x \). Taking into account (3) and (6) an easy computation shows that

\[ y'(x) = \frac{H}{\tau} \tan x \sqrt{\frac{1 + 4\tau^2}{\kappa} \tan^2 x}, \quad x \in [0, \arctan \frac{\sqrt{\kappa}}{2H}]. \]

We can integrate the above equation by the change of variable given by

\[ u = \sqrt{1 - \frac{4H^2}{\kappa} \tan^2 x} \sqrt{1 + \frac{4\tau^2}{\kappa} \tan^2 x}. \]

Finally we get

\[ y(x) = \begin{cases} 
- \arctan \left( \frac{1}{\sqrt{2}} \lambda(x) \right) + \frac{H}{\tau} \frac{\sqrt{4\tau^2 - x^2}}{\sqrt{4H^2 + x}} \arctan \left( \frac{\sqrt{4\tau^2 - x^2}}{\sqrt{4H^2 + x}} \lambda(x) \right) & \text{if } \kappa - 4\tau^2 < 0, \\
- \arctan \left( \frac{1}{\sqrt{2}} \lambda(x) \right) - \frac{H}{\tau} \frac{\sqrt{\kappa - 4\tau^2}}{\sqrt{4H^2 + x}} \arctan \left( \frac{\sqrt{\kappa - 4\tau^2}}{\sqrt{4H^2 + x}} \lambda(x) \right) & \text{if } \kappa - 4\tau^2 > 0,
\end{cases} \]

\( (11) \)

where \( \lambda(x) = \sqrt{1 - \frac{4H^2}{\kappa} \tan^2 x} \sqrt{1 + 4\tau^2 \tan^2 x} \). We note that \( y(\arctan(\sqrt{\kappa}/2H)) = 0 \) where meets orthogonally the axis \( \ell \)

and \( y \) is a strictly increasing function of \( x \), for \( x \in [0, \arctan(\sqrt{\kappa}/2H)] \). Then \( y \) reach its minimum at \( x = 0 \). The function \( y \) only give us half of a sphere, but we can obtain the other half by reflecting the solution along the line \( x = 0 \). Then its easy to see that the sphere is embedded if, and only if, \( y(0) > -\pi \). In other case the sphere is immersed (see Fig. 2).

(ii) If \( E = \frac{1}{4} (-2H \pm \sqrt{4H^2 + \kappa}) \) the previous lemma says that \( t_1 = t_2 \) and so \( x(s) \) must be the constant \( x_1 = x_2 = \arcsin \left( \frac{1}{2} \sqrt{\frac{2H}{\sqrt{4H^2 + \kappa}}} \right) \). We can integrate completely the solution to obtain that \( \Phi(s, t) = (re^{i\ell t}, \sqrt{1 - r^2}e^{i\ell t}) \) where

\[ r = \sqrt{\frac{1}{2} \pm \frac{H}{\sqrt{4H^2 + \kappa}}}, \]

i.e., \( \Sigma \) is a Clifford torus.

(iii) case \( E > 0 \) We suppose now that the equality in (7) does not hold and that \( E > 0 \). We consider the maximal solution of (3) with initial condition \( (x_1, 0, \pi/2) \) (we will later see that this is not a restriction) and we may suppose, by the maximality condition, that there exist \( s_2 \) such that \( \alpha(s_2) = \pi/2 \).

We analyze the sign of \( \alpha' \) using (9). It is sufficient to study the sign of the polynomial \( q \) in (10) between \( t_1 \) and \( t_2 \) (see Lemma 2). A straightforward computation shows that \( q \) is strictly increasing and that \( q(t_1)q(t_2) \leq 0 \). Then there exists a
unique \( s_1 \) such that \( \alpha'(s_1) = 0 \). So \( \alpha \) is a strictly increasing function in \([0, s_1]\), strictly decreasing in \([s_1, s_2]\) and \( s_1 \) is an absolute maximum. Now, as \( \sin \alpha > 0 \) we can express \( x \) as a function of \( y \), from (3)

\[
\frac{dx}{dy} = \cos x \cot \alpha > 0 \tag{12}
\]

so \( x(y) \) is a strictly increasing function and, because \( \cos \alpha(s_2) = 0 \), it must be \( x(y(s_2)) = x_2 \). In particular the solution \( x \) takes all values in the interval \([x_1, x_2]\) so, by the uniqueness of the solution, every maximal solution with initial condition \((x_0, 0, \alpha_0)\) with \( x_0 \) necessarily in \([x_1, x_2]\) must be a reparametrization of this one. Finally, taking into account the above formula, the third equation of (3) and (6), we get

\[
\frac{d^2x}{dy^2} = \frac{-\tau^2 \sin x \cos x}{(\cos^2 x + \frac{4\tau^2}{\kappa} \sin^2 x)^2(E + H \sin^2 x)^3} \left[ \cos^2 x q(\sin^2 x) \right] \\
+ \left( \cos^2 x + \frac{4\tau^2}{\kappa} \sin^2 x \right) \left( E + H \sin^2 x \right) \left( \cos^2 x \sin^2 x - \frac{4}{\kappa} \left( E + H \sin^2 x \right)^2 \right) \right]. \tag{13}
\]

It is straightforward to check that \( d^2x/\text{d}y^2 \) has only one zero at \( y_1 \) in \([0, y(s_2)]\) and that \( x \) is convex in \([0, y_1]\) and concave in \([y_1, y_2]\). By successive reflections across the vertical lines on which \( x(y) \) reaches its critical points, we get the full solution which is similar to an Euclidean unduloid (see Fig. 3).

The period of this unduloid is given by

\[
T = 2y(s_2) = 2 \int_{x_1}^{x_2} y'(x) \text{d}x = 2 \int_{x_1}^{x_2} \frac{(E + H \sin^2 x)\sqrt{1 + \frac{4\tau^2}{\kappa} \tan^2 x}}{\tau \sqrt{\sin^2 x \cos^2 x - \frac{4}{\kappa} (E + H \sin^2 x)^2}} \text{d}x. \tag{14}
\]

Hence if (14) is a rational multiple of \( \pi \) then the surface is compact. Moreover, the surface is embedded if and only if \( T = 2\pi /k \) for \( k \in \mathbb{N} \).

(iii), case \( E < -H \) In this case \( \sin \alpha < 0 \) so we can express \( x \) as a function of \( y \) and a similar reasoning as in the previous case is sufficient to check that the surface must be an unduloid (see Fig. 3).
as a function of

Second taking into account (13)

We observe now that curvature $H$. Moreover

$y$

the line

4. The special linear group $\text{Sl}(2, \mathbb{R})$

We are going to study the constant mean curvature surfaces invariant by a 1-parameter group of isometries in $\text{Sl}(2, \mathbb{R})$, that is, in the group of real matrices of order 2 with determinant 1. It is more convenient to give another description of this group as $\text{Sl}(2, \mathbb{R}) = \{ (z, w) \in \mathbb{C} : |z|^2 - |w|^2 = 1 \}$. It is easy to check that the transformation

$$
\begin{pmatrix}
  a & b \\
  c & d
\end{pmatrix}
\mapsto \frac{1}{2} \left( (a+d) + i(b-c), (b+c) + i(a-d) \right), \quad ad - bc = 1
$$

is a diffeomorphism.
We endow \( \text{Sl}(2, \mathbb{R}) \) with the metric \( g \) given by

\[
g(E^i, E^j) = \delta_{ij} \frac{4}{\kappa}, \quad g(V, V) = \frac{16\tau^2}{\kappa^2}, \quad g(V, E^j) = 0, \quad i, j = 1, 2,
\]

where \( \kappa \) and \( \tau \) are real numbers such that \( \kappa < 0 \) and \( \tau \neq 0 \) and \( \{E^1, E^2, V\} \) is a global reference on \( T \text{Sl}(2, \mathbb{R}) \) defined by

\[
E_{(z,w)}^1 = (\bar{w}, \bar{z}), \quad E_{(z,w)}^2 = (iw, iz), \quad V_{(z,w)} = (iz, iw).
\]

Then \( \text{Sl}(2, \mathbb{R}), g \) is a model for a homogeneous space \( E(\kappa, \tau) \) with \( \kappa < 0 \). \( \text{Sl}(2, \mathbb{R}) \) is a fibration over \( \mathbb{H}^2(\kappa) \) with fibers generated by the unit killing field \( \xi = -\frac{1}{4\tau} V \). We can identify the isometry group of \( \text{Sl}(2, \mathbb{R}) \) with \( U_1(2) \).

The connection associate to \( g \) is given by

\[
\nabla_E E_1 = 0, \quad \nabla_E E_2 = V, \quad \nabla_E V = \frac{4\tau^2}{\kappa} E_2,
\]

\[
\nabla_E E_1 = -V, \quad \nabla_E E_2 = 0, \quad \nabla_E V = -\frac{4\tau^2}{\kappa} E_1,
\]

\[
\nabla_V E_1 = \left( \frac{4\tau^2}{\kappa} - 2 \right) E_2, \quad \nabla_V E_2 = \left( \frac{4\tau^2}{\kappa} - 2 \right) E_1, \quad \nabla_V V = 0.
\]

As in the Berger sphere case we concentrate our attention in the 1-parameter groups of isometries which fixed a curve, that we call the axis. We define

\[
\text{Rot} = \begin{cases} 
1 & 0 \\
0 & e^{it} 
\end{cases} : t \in \mathbb{R}.
\]

Then \( \text{Rot} \) fix the curve \( \ell = \{(z, 0) \in \text{Sl}(2, \mathbb{R})\} \) which is a circle and we can identify \( \text{Sl}(2, \mathbb{R})/\text{Rot} \) with \( O = \{(z, a) \in \mathbb{C} \times \mathbb{R} : |z|^2 - a^2 = 1, a \leq 0\} \) or \( O = \{(z, a) \in \mathbb{C} \times \mathbb{R} : |z|^2 - a^2 = 1, a \geq 0\} \).

Let \( \Phi : \Sigma \to \text{Sl}(2, \mathbb{R}) \) be an immersion of an oriented constant mean curvature surface \( \Sigma \) invariant by \( \text{Rot} \). Then \( \Sigma = \pi^{-1}(y) \) for some smooth curve \( y \subset O \), where \( \pi : \text{Sl}(2, \mathbb{R}) \to O \) is the projection.

Let \( y(s) = (\cosh(x(s))e^{iy(s)}, \sinh(x(s))) \). We may suppose that

\[
x'(s)^2 + y'(s)^2 \cosh^2 x(s) = 1
\]

and we will call \( \alpha \) the function such that \( x'(s) = \cos \alpha(s) \).

Then we can write down the immersion \( \Phi(s, t) = (\cosh(x(s))e^{iy(s)}, \sinh(x(s))e^{it}) \). A unit normal vector along \( \Phi \) is given by

\[
N = C \begin{bmatrix} -\tau \Re \left( \frac{\tan \alpha}{\cosh x} - i \tanh x \right)e^{it(y)} \right) E_{\phi} - \tau \Im \left( \frac{\tan \alpha}{\cosh x} - i \tanh x \right)e^{it(y)} \right) \right) E_{\phi} - \frac{\kappa}{4\tau} V_{\phi} \right)
\]

where

\[
C(s) = \frac{\cos \alpha(s) \cosh x(s)}{\sqrt{\cosh^2 x(s) - \frac{4\tau^2}{\kappa} \sinh^2 x(s) - \frac{4\tau^2}{\kappa} \sin ^2 \alpha(s)}}.
\]

Now by a straightforward computation we get the mean curvature \( H \) of \( \Sigma \) with respect to the normal defined above:

\[
\frac{2 \cos^3 \alpha \cosh x}{\tau C^3} H = \left( \cosh^2 x - \frac{4\tau^2}{\kappa} \sinh^2 x \right) \alpha' + \frac{\sin \alpha}{\tanh x} \left( 1 - \frac{4\tau^2}{\kappa} \right) \cosh^2 x + \frac{4\tau^2}{\kappa} (2 \cos^2 \alpha - 1) \left( 1 + \tanh^2 x \right).
\]

Hence we obtain the following result:

**Lemma 3.** The generating curve \( y(s) = (\cosh(x(s))e^{iy(s)}, \sinh(x(s))) \) of a surface \( \Sigma \) invariant by the group \( \text{Rot} \) satisfies the following system of ordinary differential equations:

\[
\begin{align*}
\alpha' &= \frac{1}{(\cosh^2 x - \frac{4\tau^2}{\kappa} \sinh^2 x)} \left( \frac{2 \cos^3 \alpha \cosh x}{\tau C^3} H \right) \\
&\quad - \frac{\sin \alpha}{\tanh x} \left( 1 - \frac{4\tau^2}{\kappa} \right) \cosh^2 x + \frac{4\tau^2}{\kappa} (2 \cos^2 \alpha - 1) \left( 1 + \tanh^2 x \right)
\end{align*}
\]
where $H$ is the mean curvature of $\Sigma$ with respect to the normal defined before. Moreover, if $H$ is constant then the function
\[ \tau \cos x \tan \alpha - H \sin^2 x \]
(16)
is a constant $E$ that we will call the energy of the solution.

**Remark 2** is also true for this system and so we can always consider a solution $(x, y, \alpha)$ with positive mean curvature vector and initial condition $(x_0, 0, \alpha_0)$.

**Lemma 4.** Let $(x(s), y(s), \alpha(s))$ be a solution of (15) with energy $E$. Then:

(i) If $4H^2 + \kappa > 0$ then it must be $4E \leq 2H - \sqrt{4H^2 + \kappa}$. Also $\sinh^2 x(s) \in [t_1, t_2]$ where
\[ t_1 = \frac{-8HE - \kappa - \sqrt{16HE(H - E) + \kappa^2}}{2(4H^2 + \kappa)}, \quad t_2 = \frac{-8HE - \kappa + \sqrt{16HE(H - E) + \kappa^2}}{2(4H^2 + \kappa)}. \]
Moreover, $x'(s) = \cos \alpha(s) = 0$ if and only if $\sinh^2 x(s)$ is exactly $t_1$ or $t_2$.

(ii) If $4H^2 + \kappa < 0$ then $\sinh^2 x(s) \in [t_1, +\infty]$. Moreover, $x'(s) = \cos \alpha(s) = 0$ if and only if $\sinh^2 x(s) = t_1$.

(iii) If $4H^2 + \kappa = 0$ then $E < H/2$ and $\sinh^2 x(s) \in [E^2/H(H - 2E), +\infty]$. Moreover, $x'(s) = \cos \alpha(s) = 0$ if and only if $\sinh^2 x(s) = E^2/H(H - 2E)$.

**Proof.** Using (16) we get that
\[ \sin \alpha = \frac{1}{\mu} (E + H \sinh^2 x) \sqrt{1 - \frac{4t^2}{\kappa} \tanh^2 x}, \quad \cos \alpha = \frac{1}{\mu} \tau \sinh x \sqrt{1 + \frac{4(E + H \sinh^2 x)^2}{\kappa \cosh^2 x \sinh^2 x}} \]
(17)
where $\mu^2 = t^2 \sinh^2 x + (E + H \sinh^2 x)^2 [1 - \frac{4t^2}{\kappa} (\tanh^2 x - \frac{1}{\cosh^2 x})].$

From the above formula for $\cos \alpha$ we deduce that $p(\sinh^2 x) \geq 0$, where
\[ p(t) = \left(1 + \frac{4H^2}{\kappa}\right) t^2 + \left(1 + \frac{8HE}{\kappa}\right) t + \frac{4E^2}{\kappa}. \]
The result follows from the study of the sign of this polynomial for $t > 0$. $\square$

Now we describe the complete solutions of (15) in terms of the mean curvature $H$ and the energy $E$.

**Theorem 2.** Let $\Sigma$ be a complete, connected, rotationally invariant surface with constant mean curvature $H$ and energy $E$ in $\text{Sl}(2, \mathbb{R})$. Then $\Sigma$ must be one of the following types:

1. If $4H^2 + \kappa > 0$ then:
   (a) If $E = 0$ then $\Sigma$ is a 2-sphere. It is not always embedded (see Fig. 6).
   (b) If $4E = 2H - \sqrt{4H^2 + \kappa}$ then $\Sigma$ is a Hopf torus of radius $r_H^2 = \frac{1}{2} + \frac{H}{\sqrt{4H^2 + \kappa}}$, i.e., $\hat{T}_H = \{ (z, w) \in S^3: |z|^2 = r_H^2, |w|^2 = r_H^2 - 1 \}$.
   (c) If $E > 0$ then $\Sigma$ is an unduloid-type surface.
   (d) If $E < 0$ then $\Sigma$ is a nodoid-type surface which is always immersed.
Moreover surfaces of type 1(c) and 1(d) are compact if and only if
\[
T(H, E) = 2 \int_{x_1}^{x_2} \frac{(E + H \sinh^2 x)\sqrt{1 - \frac{4 \tau^2}{\kappa} \tanh^2 x}}{\tau \sqrt{\sinh^2 x \cosh^2 x + \frac{4}{\kappa} (E + H \sinh^2 x)^2}} \, dx
\]
is a rational multiple of \(\pi\), where \(x_j = \text{arcsinh} \sqrt{t_j}, j = 1, 2\) (see Lemma 4(i)). Moreover, surfaces of type 1(c) are compact and embedded if and only if \(T = 2\pi/k\) with \(k \in \mathbb{Z}\).

2. If \(4H^2 + \kappa \leq 0\) then \(\Sigma\) is immersed and non-compact. Moreover the curve \(\gamma\) which generates \(\Sigma\) is of the type of Fig. 7 when \(E = 0\), Fig. 8 when \(E > 0\) and Fig. 9 when \(E < 0\).

Remark 4.

1. This theorem was first stated by Gorodski in [10] for \(\kappa = -4\) and \(\tau = 1\). However he did not take into account that for \(4H^2 + \kappa \leq 0\) there are not constant mean curvature spheres (otherwise, by the Daniel correspondence, see [4], we were able to construct constant mean curvature spheres with \(4H^2 - 1 \leq 0\) in \(\mathbb{H}^2 \times \mathbb{R}\) which is a contradiction by [14, Corollary 5.2]).

2. All the examples described in the above theorem can be lifted to the universal cover. Because the fiber in the universal cover is a line, not a circle, all the constant mean curvature spheres are embedded there. Moreover for \(E \geq 0\) the surfaces are embedded too by the same reason. This classification has been obtained, very recently, by Espinoza [6].

Proof. Firstly we are going to analyze \(4H^2 + \kappa > 0\) because it is quite similar to the Berger sphere case. In this case, taking into account the previous lemma and that \(H \geq 0\), \(x(s)\) moves between two values \(x_1 = -\text{arcsinh} \sqrt{t_2}\) and \(x_2 = -\text{arcsinh} \sqrt{t_1}\). If \(E = 0\) then \(x_2 = 0\) and so the curve \(\gamma\) may intersect the axis of rotation \(\ell\). As \(\cos \alpha > 0\) for \(x(s) \in [x_1 = -\text{arctanh}(-\sqrt{-\kappa/2H}), 0]\), we can express \(y\) as a function of \(x\). Now using (17) we get that
\[
y'(x) = \frac{H \tanh x \sqrt{1 - \frac{4 \tau^2}{\kappa} \tanh^2 x}}{\tau \sqrt{1 + \frac{4H^2}{\kappa} \tanh^2 x}}.
\]
And we can integrate explicitly this equation to obtain that
\[
y(x) = \arctan \left( \frac{\tau H \rho(x)}{\sqrt{4\tau^2 - \kappa}} \right) - \frac{H}{\tau} \arctan \left( \frac{\sqrt{4\tau^2 - \kappa} \rho(x)}{\sqrt{4H^2 + \kappa}} \right)
\]
where \(\rho(x) = \sqrt{1 + \frac{4H^2}{\kappa} \tanh^2 x / \sqrt{1 - \frac{4 \tau^2}{\kappa} \tanh^2 x}}\). We note that \(y(x_1) = 0\) where it meets orthogonally the axis \(\ell\) and \(y(x)\) is strictly increasing and strictly convex. The function \(y(x)\) only describe half of the sphere, but we can obtain the whole
sphere by reflection the solution along the line $x=0$. It is easy to see that the sphere is embedded if, and only if, $y(0) > -\pi$ (see Fig. 6).

Now if $E>0$ then $\sin \alpha > 0$ by (17) and so we can express $x$ as a function of $y$. A similar reasoning as in the Berger sphere case for $E>0$ is sufficient to check that the surface must be an unduloid (see Fig. 3) or a Hopf tori if $4E = 2H - \sqrt{4H^2 + \kappa}$. Finally if $E<0$ then $\sin \alpha$ may change its sign. As in the Berger sphere case for $-H < E < 0$ we can express the curve $(x(s), y(s))$ as two graphs of the function $x(y)$. Hence it is straightforward to check that the situation is the same as in Fig. 4 and the surface must be a nodoid-type one. In both cases the surface is compact if and only if

$$T(H, E) = 2 \int_{x_1}^{x_2} y'(x) \, dx = 2 \int_{x_1}^{x_2} \frac{(E + H \sinh^2 x) \sqrt{1 - \frac{4\tau^2}{\kappa} \tanh^2 x}}{\tau \sqrt{\sinh^2 x \cosh^2 x + \frac{4}{\kappa} (E + H \sinh^2 x)^2}} \, dx$$

is a rational multiple of $\pi$, where $x_j = \arcsinh \sqrt{T_j}$, $j = 1, 2$ (see Lemma 4).

On the other hand the situation for $4H^2 + \kappa < 0$ is different from the above and it does not have a counterpart in the Berger sphere case. We firstly observe, by the previous lemma, that in this case $x(s)$ does not have to move between two real values. It is only bounded above by a constant that depends on $H$ and only vanishes when $E = 0$ so the solution intersects the axis of rotation only in this case. Moreover, as $x'(s) = \cos \alpha(s)$ can only vanish once, the solution cannot be periodic. We are going to distinguish between $E = 0$, $E > 0$ and $E < 0$ and we define for all the cases $x_1 = \arcsinh \sqrt{T_1}$ when $4H^2 + \kappa < 0$ and $x_1 = -\arcsinh(|E|/\sqrt{H(H - 2E)})$ when $4H^2 + \kappa = 0$. Because we choose $H \geq 0$ it must be $x(s) \in ]-\infty, x_1].$

If $E = 0$ then we consider the maximal solution with initial condition $(0, 0, \pi)$. In this case $\cos \alpha(s) < 0$ for any $s$ so we can express $y$ as a function of $x$. Then

$$\frac{dy}{dx} = \frac{H \sinh x \sqrt{1 - \frac{4\tau^2}{\kappa} \tanh^2 x}}{\sqrt{1 + \frac{4\tau^2}{\kappa} \tanh^2 x}} < 0$$

so the function $y$ is strictly decreasing. Moreover $d^2y/dx^2 > 0$ so the function $y$ is strictly convex. In Fig. 7 we can see the two situations for $E = 0$.

In the second case, that is for $E > 0$, we consider the maximal solution with initial conditions $(x_1, 0, \pi/2)$. Then there exists $s_1 > 0$ such that $\alpha'(s_1) = 0$, $\alpha'$ is positive for $s < s_1$ and negative for $s > s_1$. Hence, using that $\cos \alpha < 0$ and $\sin \alpha > 0$ by (17), we get that $\alpha(s) \in ]\pi/2, \pi[$. We can express $x$ in terms of $y$ because $\sin \alpha > 0$ by (17) and

$$\frac{dx}{dy} = \cot \alpha \cosh x < 0$$

so $x$ is a strictly decreasing function of $y$ (see Fig. 8).

Finally when $E < 0$ we consider the maximal solution with initial condition $(x_1, 0, 3\pi/2)$. In this case $\sin \alpha$ could vanish so we cannot express $x$ as a function of $y$. As $\alpha'$ is always negative, let $s_1 > 0$ such that $\alpha'(s_1) = -\pi$. Then $\alpha \in ]\pi, 3\pi/2]$ on $s \in ]0, s_1[\text{ and } \alpha \in ]\pi/2, \pi[ \text{ on } s > s_1$ because $\cos \alpha(s)$ does not vanish anymore. Now we can express the solution $y$ as two graphs of the function $x(y)$ meeting at the line $y = y(s_1)$. First using (20) $x(y)$ is strictly increasing on $[y(s_1), 0]$ and strictly decreasing on $[y(s_1), +\infty[$. Therefore the solution must be similar to Fig. 9. \(\square\)

**Corollary 2.** Let $4H^2 + \kappa > 0$ and $\Phi: \{-\pi, \pi\} \leq \alpha \leq \pi / 2 \text{ and } \pi \rightarrow \text{Sl}(2, \mathbb{R})$ be the immersion given by:

$$\Phi(x, t) = \begin{cases} (\cosh(x + a)e^{iy(x + a)}, \sinh(x + a)e^{iy}), & \text{if } x < 0 \\ (\cosh(a - x)e^{-iy(a - x)}, \sinh(a - x)e^{-iy}), & \text{if } x \geq 0 \end{cases}$$

where $a = \arctanh(\sqrt{-\kappa}/2H)$ and $y$ is the function defined in (18). Then $\Phi$ defines an immersion of a sphere with constant mean curvature $H$. Moreover $\Phi$ is an embedding if and only if $y(0) > -\pi$ (see Fig. 6).

5. The isoperimetric problem in the Berger spheres

In [18] the authors studied the stability of constant mean curvature surfaces in the Berger spheres. They proved that for $1/3 \leq 4\tau^2/\kappa < 1$ the solution to the isoperimetric problem are the rotationally constant mean curvature $H$ spheres, $S_H$. Besides they showed that there exist unstable constant mean curvature spheres for $\tau$ close to zero. Moreover, for $4\tau^2/\kappa < 1/3$ there exist stable constant mean curvature spheres and tori. The aim of this section is to study the relation between the area and the volume of the rotationally constant mean curvature spheres in order to understand the isoperimetric problem for $4\tau^2/\kappa < 1/3$.

We have given in Corollary 1 a parametrization of the constant mean curvature sphere $S_H$. Then, using that parametrization, we define the interior domain of $S_H$ as

$$\Omega_H = \{ (z, w) \in \mathbb{S}^3 : -y(\arccos |z|) < \arg(z) < y(\arccos |z|) \}.$$ 

Hence one of the volumes determined by $S_H$ is $\text{vol}(\Omega_H)$ (note that this does not have to be the smaller one).
Lemma 5. The volume of $\Omega_H$ is given by:

$$\text{vol}(\Omega_H) = \begin{cases} 
\frac{16\pi \tau}{\kappa^2} (2 \arctan(\frac{\tau}{\kappa^2}) - \frac{H}{\tau(4H^2 + \kappa)} + \mu \arctan(\frac{\sqrt{4\tau^2 - \kappa}}{\sqrt{4H^2 + \kappa}})), \\
\frac{16\pi \tau}{\kappa^2} (2 \arctan(\frac{\tau}{\kappa^2}) - \frac{H}{\tau(4H^2 + \kappa)} + \mu \arctanh(\frac{\sqrt{4\tau^2 - \kappa}}{\sqrt{4H^2 + \kappa}})), 
\end{cases}$$

if $\kappa - 4\tau^2$ is negative or positive respectively and where

$$\mu = \frac{2H(\kappa - 4\tau^2)(2H^2 + \kappa) - 2\tau^2(4H^2 + \kappa)}{\sqrt{4\tau^2 - \kappa}(4H^2 + \kappa)^{3/2}}.$$ 

Proof. Firstly it is easy to see that, because the symmetry of the sphere, we can restrict ourselves to the domain $\Omega_H^+ = \{(z, w) \in S^3 : \arccos(z) < y(\arccos|z|)\}$ so $\text{vol}(\Omega_H) = 2\text{vol}(\Omega_H^+)$. Secondly the volume form $\omega_b$ of $S^3(\kappa, \tau)$ and $\omega$ of $S^3$ are related by $\omega_b = \frac{16\pi}{\kappa^2} \omega$. Hence it is sufficient to calculate the volume of $\Omega_H^+$ with respect to the standard metric on the sphere.

We are going to apply the co-area formula using the function $f(z, w) = \arccos|z|$ which assigns to the point $(z, w)$ the distance to the curve $\ell = \{(z, 0) \in S^3\}$, which is the axis of rotation of the group $\text{Rot}$. Then

$$\text{vol}(\Omega_H) = 2 \int_{\Omega_H^+} \omega_b = \frac{32\pi}{\kappa^2} \int_{\Omega_H^+} \omega = \frac{32\pi}{\kappa^2} \int_{\mathbb{R}} \int_{t \in \Omega_H^+} \omega_t \, dt$$

where $\omega_t$ is the restriction of the form $\omega$ to $t = f^{-1}(\{t\})$ and we have taken into account that $|\nabla f| = 1$. Now we can parametrize $t \in \Omega_H^+$ as $\phi : [0, y(t)] \times [0, 2\pi) \to t \in \Omega_H^+, \phi(u, \theta) = (\cos u, \sin u, 0)$. We note that $t \in \Omega_H^+ = \emptyset$ for $t > \arctan(\sqrt{K}/2H)$ or $t < 0$. Hence the above integral can be rewritten as

$$\text{vol}(\Omega_H) = \frac{32\pi}{\kappa^2} \int_0^{\arctan(\sqrt{K}/2H)} \left( \int_{0, y(t) \times [0, 2\pi]} (\omega_t) \right) \, dt$$

Finally a long but straightforward computation yields the above integral and the result. □

Now we are able to draw the area of $S_H$ in terms of its volume. We are going to compare it with the tori $T_H$ (see Fig. 10) because for $\tau$ close to zero there are stable constant mean curvature spheres and tori (see [18]) so both surfaces are candidates so solve the isoperimetric problem. The area and the smallest volume enclosed by $T_H$ are given by:

$$\text{Area}(T_H) = \frac{4\pi}{\kappa} \frac{4\tau^2}{\sqrt{4H^2 + \kappa}}, \quad \text{Volume enclosed by } T_H = \frac{16\pi^2 \tau}{\kappa^2} \left(1 - \frac{2H}{\sqrt{4H^2 + \kappa}}\right).$$
We can fix, without loss of generality, $\kappa = 4$. Fig. 10 shows the four different situations that appears in the Berger spheres: for $\tau = 0.5$ the spheres are the best candidates to solve the isoperimetric problem, for $\tau = 0.407$ the minimal Clifford torus has the same area and volume that the minimal sphere so both are candidates to solve the isoperimetric problem. For $\tau = 0.374$ and $\tau = 0.244$ (in the last case there are unstable spheres and non-congruent spheres enclosing the same volume) it appears an open interval centered at $\pi^2 16\tau / \kappa^2$ such that the tori $T_H$ are the candidates to solve the isoperimetric problem.

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