New Viewpoint in Exaggerated Increase of PtiO₂ With Normobaric Hyperoxygenation and Reasons to Limit Oxygen Use in Neurotrauma Patients

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Multiple studies have shown that some of cerebral metabolic abnormalities occurring after traumatic brain injuries (TBI) are not of ischemic origin (1–4). The described pattern is called “metabolic crisis without brain ischemia” (5), and is associated with poor outcome within 6 months after trauma (6–8). Nevertheless, targeting adequate cerebral blood flow (CBF) and oxygen delivery (DO₂) remains the cornerstone in the clinical management of TBI, especially in the early phase. Surprisingly, DO₂ targeted therapy based on an escalation of dissolved O₂, has been shown positive outcome in a few cases only, although it causes a significant increase in O₂ pressure in cerebral tissue (PtiO₂).

High inspired O₂ fraction or normobaric hyperoxia (NH) leads to a dramatic elevation of PtiO₂ to “arterial” levels of 147 ± 36 mmHg (9). This daily and well-known phenomenon so far does not have definitive explanation and differs from the classical notions: oxyhemoglobin saturation (SO₂) must remain nearly 100% over a wide range of O₂ partial pressures (P0₂) greater than 80 mmHg (10). NH induces a negligible increase in the amount of arterial O₂ content and DO₂, whereas it is associated with an important augmentation of PtiO₂, without significant change in cerebral metabolic rate of O₂ consumption (CMRO₂) (11–14). Furthermore, studies using positron emission tomography, magnetic resonance imaging (MRI), and near-infrared spectroscopy showed an active O₂ extraction fraction (OEF) in the non-necrotic tissue during NH (9, 15–18) with negligible increase of regional SO₂ (rSO₂) at 2.8 ± 1.82% (9) or without changes in rSO₂ in tissue with intact autoregulation (16).

The data of OEF at 0.56 ± 0.06 in reversible tissue, which although reduced from viable tissue to infarction (19), discards the possibility of a luxury perfusion in these cases. In general, NH causes an insignificant increase or no in rSO₂ with an exaggerated elevation of PtiO₂ to “arterial” levels of 147 ± 36 mmHg (9). This daily and well-known phenomenon so far does not have definitive explanation and differs from the classical notions: oxyhemoglobin saturation (SO₂) must remain nearly 100% over a wide range of O₂ partial pressures (P0₂) greater than 80 mmHg (10). NH induces a negligible increase in the amount of arterial O₂ content and DO₂, whereas it is associated with an important augmentation of PtiO₂, without significant change in cerebral metabolic rate of O₂ consumption (CMRO₂) (11–14). Furthermore, studies using positron emission tomography, magnetic resonance imaging (MRI), and near-infrared spectroscopy showed an active O₂ extraction fraction (OEF) in the non-necrotic tissue during NH (9, 15–18) with negligible increase of regional SO₂ (rSO₂) at 2.8 ± 1.82% (9) or without changes in rSO₂ in tissue with intact autoregulation (16).

The data of OEF at 0.56 ± 0.06 in reversible tissue, which although reduced from viable tissue to infarction (19), discards the possibility of a luxury perfusion in these cases. In general, NH causes an insignificant increase or no in rSO₂ with an exaggerated elevation of PtiO₂, which is equal to or less than end capillary PO₂ (20). Thus, with NH the PO₂ of end capillary venous blood reaches to “arterial” levels. Therefore, the exaggerated increase of PtiO₂ with NH causes a significant increase of rSO₂, which is incompatible with the classical sigmoidal form of the oxyhemoglobin dissociation curve (ODC). Hereby, the circulated hypotheses of mitochondrial dysfunction as a contributor (21) to high PtiO₂ and the loss of O₂ homeostatic mechanisms in the injured tissue during NH (22) requires an alternative explanation based on the conformational change of hemoglobin (Hb) quaternary structure (Max Perutz—the Nobel prize in chemistry 1962). This change produces a significant decrease in Hb–O₂ affinity, considerable Hb buffering capacity augmentation, and convert the sigmoidal form of ODC to hyperbola. In this commentary, we explain the mechanisms underlying the exaggerated rise in PtiO₂ with NH and consider the factors that limiting oxygen use in the damaged cerebral tissue.

BASIC BIOCHEMISTRY

Hemoglobin plays an important role in the transport of CO₂ by the blood. In the brain tissue with a respiratory quotient (RQ) of 1, metabolism of 1 mol of O₂ results in 1 mol of CO₂. Also, 1 mol of
CO₂ in the erythrocyte generates 1 mol of protons (H⁺) by two mechanisms:

\[ \text{CO}_2 + \text{H}_2\text{O} \leftrightarrow \text{HCO}_3^- + \text{H}^+ * \]
\[ \text{CO}_2 + \text{Hb-NH}_2 \leftrightarrow \text{Hb-NH-COO}^- + \text{H}^+ \]

(*This reaction is catalyzed by carbonic anhydrase ranging between 10⁴ and 10⁶ reactions per second (23); therefore, the participation of other buffers of blood in CO₂ buffering is not discussed).

The H⁺ uptake by Hb facilitates CO₂ transport by stimulating bicarbonate formation: erythrocyte membranes do have a rapid anion exchange protein (capnoporin), which exchanges HCO₃⁻ for Cl⁻ at a ratio of 1:1. The H⁺ released by carbamate formation is taken up by the Hb as well. Thus, Hb remains the main buffer for protons (i.e., for CO₂), but its capacity is biochemically limited (24):

\[ \text{Hb}(O_2)_k - \text{O}_2 \leftrightarrow \text{Hb}(O_2)_{k-1} + 0.6\text{H}^+ \quad (1) \]

where \( k = 1, 2, 3 \) or 4; 0.6 is the Haldane coefficient (the amount of the oxygen-linked H⁺ binding of Hb).

Thus, the release of 1 mol of O₂ will allow the Hb to bind a maximum 0.6 mol of H⁺ or the Hb buffering capacity is lost in the brain tissue when OEF exceeded 60% (i.e., full saturation of Hb with H⁺) and CO₂ begins to accumulate intensively in the brain tissue, provoking important acidosis. The imbalance of Hb capacities as a H⁺ buffer and as an O₂ carrier function. With the constant CMRO₂, unchanged capillary permeability, temperature, pH, and DO₂, the PtiO₂ generated depends only on the Hb–O₂ affinity, which decreases when Hb is saturated with negative allosteric effectors [2,3-diphosphoglycerate (2,3 DPG), CO₂, H⁺, Cl⁻] (24).

The Hill coefficient (\( n \)) and the ODCs provide a way to assess these effects:

\[ \text{SO}_2 = \frac{(\text{PO}_2)^n}{(P^{50}\text{O}_2)^n + (\text{PO}_2)^n} \quad (2) \]

where P⁵⁰O₂ is O₂ half-saturation pressure of Hb.

The increase of concentrations of the negative allosteric effectors in erythrocytes shifts the sigmoidal shape of ODC to the right and when Hb saturates with them, the ODC becomes hyperbolic in form (Figure 1). In this condition, Hb converts from the quaternary conformational state R (relax) to a state T (tense), which has a lower Hb–O₂ affinity, hyperbolic form of ODC and increases up to six times the buffer capacity of Hb (24).

**PHYSIOLOGY AND PATHOPHYSIOLOGY**

In brain tissue (RQ at 1.0), use of 1 mol of O₂ will result in the production of more CO₂ than in the other tissues (RQ at 0.8). That is, the Hb buffering capacity is depleted with higher SO₂ in the brain tissue (i.e., SO₂ ≈ 40%, Eq. 1) than in other tissues. From this critical point, the generated CO₂ is transported only in dissolved states and accumulated in the brain tissue causing clinically important acidosis with “normal” values of PtiO₂. High levels of CO₂ and intracellular acidosis directly or indirectly suppress the mitochondrial respiration: the CMRO₂ is inhibited proportionally to decrease the perfusion by compensatory mechanisms [i.e., OEF is unable to raise (25)] trying to counteract the life-threatening intracellular acidosis. This is a blood-buffering capacity (BBC)-dependent mitochondrial suppression while independent of tissue O₂ availability for cell aerobic metabolism. The O₂ consumption (VO₂)/buffer's delivery inter-independency is shown in Figure 2.

Therefore, the accepted term “O₂ delivery” does not reflect the pathophysiology of metabolism and has to be replaced by “buffer's delivery,” which depends on the amount of Hb, the CBF, the total content of CO₂ in arterial blood, and the Hb quaternary conformational state at the end of capillaries. The exaggerated increase in PtiO₂ by NH in the VO₂ supply-dependent phase
has no metabolic effect due to the depletion of BBC. Moreover, augmentation in BBC in this phase through the increases of Hb concentration, augmentation of CBF or controlled hyperventilation without a change of Hb conformational state at the end of capillaries, is able to unlock the mitochondrial suppression and raise the CMRO2. Importantly, the high concentrations of 2,3 DPG as a significant negative allosteric effector can reduce the Hill coefficient to one without depleting the buffering capacity of Hb and can convert the ODC to hyperbola. In this case, the NH also causes an exaggerated increase in PtiO2 in relatively healthy tissue by physiological reduction on rCBF through vasoconstriction.

**CLINICAL INTERPRETATION**

Many drugs and techniques used commonly during therapy of severe TBI, including mannitol, thiopental, ketorolac, nimodipine, hypothermia, deep sedation, transfusion of red blood cells (RBC), etc., can reduce the PtiO2 in the damaged tissue (26–30). This is because it improves the relationship within BBC and CMRO2, causing a decrease of Hb loading by negative effectors (i.e., increase in Hb–O2 affinity). The effects of medically induced augmentation of cerebral perfusion pressure on cerebral oxygenation are difficult to predict (31, 32). Indeed, the effects depend on which phase (VO2 buffer supply dependent or independent) the rCBF (i.e., BBC) change is made. Coles et al. showed that with hyperventilation, many regions of brain demonstrate an increase in CMRO2 despite the reduction in CBF (33). In fact, the reduction of Hb loading by negative allosteric effectors in the VO2 buffer supply-dependent phase, the hyperventilation rehabsilitates the suppressed mitochondrial function by augmentation of BBC. Often uncontrolled hyperventilation causes an important decrease in PtiO2 due to an increase in Hb–O2 affinity. The worst is, when hyperventilation causes end capillary T to R conformational change of Hb with a decrease in BBC, which is dangerous for damaged tissue. Unlike hyperventilation, hyperventilation improves tissue oxygenation (34). Despite this effect, hyperventilation has no clinical use in patients with TBI. The increase in PtiO2 is related not so much to the increase of CBF by vasodilatation as to the increase in Hb loading by the negative allosteric effectors and the decrease in Hb–O2 affinity. Depletion of BBC will cause the decrease of CMRO2. Several studies have shown an association between anemia and poor outcome after TBI (35–37). As expected, the higher Hb levels are associated with improved outcome after subarachnoid hemorrhage (38) and post-cardiac arrest patients (39–41) (i.e., higher BBC maintains the metabolism in the VO2 supply independent phase). On the other hand, the transfusion of RBC is an independent risk factor for poor neurological outcome after 3 and 6 months in TBI patients (42). The stored blood with low values of erythrocyte 2,3-DPG can be used without hesitation when correcting a chronic anemia for instance (43). However, in acute situations with important tissue acidosis, the organism needs to rapidly augment the buffering capacity of Hb by R to T states shift, but re-synthesis of 2,3-DPG is obviously insufficient in stored blood. In such situations, fresh blood or blood with a near normal 2,3-DPG content should be used (43). According to proposed hypothesis, the augmentation of BBC by increasing the Hb concentration is the main role of RBC transfusion in TBI patients. Inconsistent results and contradictory findings have been reported for the effects of NH in brain energy metabolism (8, 10, 19, 44–46). The lactate MR spectroscopy demonstrates that in ischemic core and contralateral striatum, the lactate levels are not affected by NH, whereas, in the region of mismatch, lactate levels changed with changes in O2 delivery (47). The T2*–weighted MRI studies showed positive CBF changes to O2 challenge in the mismatch region, in contrast to negative CBF changes in normal tissue due to O2-induced vasoconstriction (16, 48). Indeed, the increase in BBC through augmentation of rCBF in the VO2 buffer supply dependent phase (i.e., in mismatch region) improves local acidosis, unlock the mitochondrial suppression, and decrease the lactate levels.

**DISCUSSION**

Martini and colleagues determined that severe TBI management guided by PtiO2 monitoring was associated with a poor neurological outcome and was an inefficient use of hospital resources (49). Of course, recording of “normal” or high PtiO2 values with acceptable high inspired O2 fraction or positive end-expiratory pressures without sufficient BBC may create a false impression of safety and negatively impact clinical decision making. The use of absolute levels of PtiO2 as a marker of ischemia is confusing because a more important BBC deficit happens much earlier. The transition from the concept of “increase in O2 supply” to the concept of “increase of buffer supply” has important clinical implications in the strategy of treatment in neurotrauma patient. In addition, the characteristics of T Hb (i.e., hyperbolic ODC, high buffering capacity) allow us to understand and correctly assess the effects of current treatment and evolution of damaged brain tissue through PtiO2 changes with NH. The explanation of known paradoxes in neurotrauma such as an exaggerated rise in PtiO2 by NH, inexplicable decrease in PtiO2 after many drugs and techniques commonly used, and metabolic crisis without “ischemia” is explained for the first time by the Hb conformational changes and BBC-dependent mitochondrial suppression. As we have seen the metabolic crisis always coincides with ischemia but in the sense of lack of BBC. The hypothesis is structural and revolutionary. Despite the enormous amount of data available in literature, targeted practical observations are needed to confirm (or deny) this hypothesis.

**CONCLUSION**

1. The exaggerated increase of PtiO2 by NH occurs in the “T” state of Hb when ODC as a hyperbole and does not always coincide with the mitochondrial dysfunction.
2. The BBC is a limiting factor of O2 consumption by damaged brain tissues.

**AUTHOR CONTRIBUTIONS**

GH, as the first author, he developed the hypothesis and prepared the manuscript. All the co-authors helped with their important input to finalize the hypothesis discussed and to write the manuscript.
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