Duals in natural characteristic

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Abstract

In this article we introduce a derived smooth duality functor $R\text{Hom}(\cdot,k)$ on the unbounded derived category $D(G)$ of smooth $k$-representations of a $p$-adic Lie group $G$. Here $k$ is a field of characteristic $p$. Using this functor we relate various subcategories of admissible complexes in $D(G)$.

1 Introduction

Let $G$ be a $p$-adic Lie group of dimension $d$, and let $k$ be a field of characteristic $p$. We denote by $\text{Mod}(G)$ the abelian category of smooth $G$-representations in $k$-vector spaces.

In this paper we endow the unbounded derived category $D(G) = D(\text{Mod}(G))$ with a tensor product $\otimes_k$ plus internal homs $R\text{Hom}$, and begin exploring the resulting closed symmetric monoidal category. The duality functor $R\text{Hom}(\cdot,k)$ is of particular interest to us. It gives a derived approach to the higher smooth duality functors $S^j$ introduced by Kohlhaase in [Koh]. Our first result (Proposition 2.7) shows that the functors $S^j$ are compatible with duals on the Hecke side. If $H_U$ denotes the Hecke algebra of a torsion free open pro-$p$ subgroup $U \subseteq G$, we give an $H_U$-equivariant spectral sequence with $E_2$-page $H^i(U, S^j(V))$ converging to the twisted dual Hecke modules $H^{d-1-j}(U, V)^\vee(\chi_G)$. Here the character $\chi_G : G \to k^\times$ turns out to coincide with the duality character in [Koh]. This is a non-trivial fact and we give a proof. In particular $\chi_G = 1$ if $G$ is an open subgroup of the $\mathfrak{g}$-points of a connected reductive group over a $p$-adic field $\mathfrak{g}$.

Motivated by [DGA], which gives a differential graded version of the Hecke algebra $H_U^*$ along with an equivalence between $D(G)$ and the derived category $D(H_U^*)$ of differential graded modules over $H_U^*$, we turn to studying the functor $R\text{Hom}(\cdot,k)$ in the derived setting.

We first observe that $R\text{Hom}(\cdot,k)$ is involutive on the subcategory $D_{\text{adm}}(G)$ of complexes $V^\bullet$ with admissible cohomology representations $h^i(V^\bullet)$ for all $i \in \mathbb{Z}$. We then introduce a possibly larger subcategory $D(G)^a \supseteq D_{\text{adm}}(G)$ consisting of globally admissible complexes, by which we mean $H^i(U, V^\bullet)$ is finite-dimensional for all $i \in \mathbb{Z}$. As we show in Proposition 4.5 a complex $V^\bullet$ belongs to $D(G)^a$ precisely when the natural biduality morphism

$$\eta_{V^\bullet} : V^\bullet \longrightarrow R\text{Hom}(R\text{Hom}(V^\bullet, k), k)$$

is a quasi-isomorphism. As a result, the notion of being globally admissible is independent of the choice of $U$. Finally we show that a globally admissible $V^\bullet$ satisfying various boundedness conditions actually lies in the subcategory $D_{\text{adm}}(G)$. For instance, Corollary 4.12 tells us $D_{\text{adm}}(G)$ contains exactly those complexes $V^\bullet$ whose total cohomology $H^*(U, V^\bullet)$ is finite-dimensional.
To orient the reader we point out that $D(G)^\alpha$ is equivalent to the category $D_{\text{fin}}(H^*_G)$ of differential graded $H^*_G$-modules with finite-dimensional cohomology spaces in each degree. We have work in progress aiming at an intrinsic description of the duality functor on $D(H^*_G)$ corresponding to $R\text{Hom}(-, k)$.

2 Higher smooth duality

For any compact open subgroup $K \subseteq G$ we have the completed group ring $\Omega(K)$ of $K$ over $k$. This is a noetherian ring (cf. [pLG] Thm. 33.4). We let $\text{Mod}(\Omega(K))$ denote the abelian category of left $\Omega(K)$-modules. But $\Omega(K)$ also is a pseudocompact ring (cf. [pLG] IV §19). We therefore also have the abelian category $\text{Mod}_{\text{pc}}(\Omega(K))$ of pseudocompact left $\Omega(K)$-modules together with the obvious forgetful functor $\text{Mod}_{\text{pc}}(\Omega(K)) \to \text{Mod}(\Omega(K))$. Both categories have enough projective objects. Any finitely generated $\Omega(K)$-module $M$ is pseudocompact in a natural way. This leads to the natural isomorphism

$$\text{Ext}^*_{\text{Mod}_{\text{pc}}(\Omega(K))}(M, N) \cong \text{Ext}^*_{\text{Mod}(\Omega(K))}(M, N)$$

for any finitely generated module $M$ in $\text{Mod}(\Omega(K))$ and any pseudocompact module $N$ in $\text{Mod}_{\text{pc}}(\Omega(K))$.

Pontrjagin duality gives rise to the equivalence of categories

$$\text{Mod}(K)^{\text{op}} \cong \text{Mod}_{\text{pc}}(\Omega(K))$$

$$V \mapsto V^\vee := \text{Hom}_k(V, k)$$

where, of course, in order to make $V^\vee$ a left module we use the inversion map $g \mapsto g^{-1}$ on $K$. In particular, we have the natural isomorphisms

$$\text{Ext}^*_{\text{Mod}(K)}(V_1, V_2) \cong \text{Ext}^*_{\text{Mod}_{\text{pc}}(\Omega(K))}(V_1^\vee, V_2^\vee).$$

If we apply this with the trivial $K$-representation $V_2 := k$ and use (1) we obtain the natural isomorphism

$$\text{Ext}^*_{\text{Mod}(K)}(V, k) \cong \text{Ext}^*_{\text{Mod}(\Omega(K))}(k, V^\vee)$$

for $V$ in $\text{Mod}(K)$.

If $K' \subseteq K$ is another open subgroup then in (2) we have on both sides the obvious restriction maps. Hence we may pass to the inductive limit

$$\lim_{\to K} \text{Ext}^*_{\text{Mod}(K)}(V, k) \cong \lim_{\to K} \text{Ext}^*_{\text{Mod}(\Omega(K))}(k, V^\vee).$$

Note that, for $V$ in $\text{Mod}(G)$, the right hand side are Kohlhaase’s higher smooth dual functors

$$S^*(V) := \lim_{\to K} \text{Ext}^*_{\text{Mod}(\Omega(K))}(k, V^\vee)$$

in [Koh]. We use the left hand side to understand these as derived functors. For any $V_1, V_2$ in $\text{Mod}(G)$ we introduce

$$\text{Hom}(V_1, V_2) := \{ f \in \text{Hom}_k(V_1, V_2) : f \text{ is } K\text{-equivariant} \}$$

for some compact open subgroup $K \subseteq G$.

2
Via the \( G \)-action defined by \( gf := gf(g^{-1}) \), for \( g \in G \), this is again an object in \( \text{Mod}(G) \). Since the functors
\[
\text{Mod}(G) \to \text{Mod}(G) \\
V_2 \mapsto \text{Hom}(V_1, V_2)
\]
are left exact we have the corresponding right derived functors
\[
\text{Ext}^i(V_1, V_2) \quad \text{for } i \geq 0.
\]

**Lemma 2.1.**

i. If \( V_2 \) is injective in \( \text{Mod}(G) \) then \( \text{Hom}(V_1, V_2) \) is \( H^0(U, -) \)-acyclic for any compact open subgroup \( U \subseteq G \).

ii. \( \text{Ext}^*(V_1, V_2) = \lim_{\to K} \text{Ext}^*_{\text{Mod}(K)}(V_1, V_2) \).

**Proof.** Note that any injective object in \( \text{Mod}(G) \) remains injective when viewed in \( \text{Mod}(U) \). Therefore this is Prop. 2.2 in the appendix by Verdier in [CG].

We see that, in particular, we can rewrite Kohlhaase’s functors as the derived functors
\[
S^*(V) = \text{Ext}^*(V, k) .
\]

**Remark 2.2.** By [Bru] Thm. 4.1 the global dimension of \( \Omega(K) \) as a pseudocompact ring is equal to the cohomological dimension of \( K \). By Lazard (cf. [CG] I-47) the latter is equal to \( d \) provided \( K \) is pro-p and torsion free. Since \( G \) contains arbitrarily small open pro-p subgroups without torsion we conclude from Lemma 2.1.ii that \( \text{Ext}^i(V_1, V_2) = 0 \) for any \( i > d \).

**Proposition 2.3.** For any compact open subgroup \( U \subseteq G \) we have the \( E_2 \)-spectral sequence
\[
H^i(U, \text{Ext}^j(V_1, V_2)) \implies \text{Ext}^{i+j}_{\text{Mod}(U)}(V_1, V_2) .
\]
In particular,
\[
H^i(U, S^j(V)) \implies \text{Ext}^{i+j}_{\text{Mod}(U)}(V, k) .
\]

**Proof.** This is the composed functor spectral sequence which exists by Lemma 2.1.i.

The above spectral sequence has an additional equivariance property which we now describe. We fix a compact open subgroup \( U \subseteq G \) and consider the compact induction \( X_U := \text{ind}_U^G(k) \) in \( \text{Mod}(G) \). We then have the endomorphism ring \( H_U := \text{End}_{\text{Mod}(G)}(X_U)^{op} \) so that \( X_U \) becomes a right \( H_U \)-module. Frobenius reciprocity gives a natural isomorphism of functors \( H^0(U, -) \cong \text{Hom}_{\text{Mod}(G)}(X_U, -) \) on \( \text{Mod}(G) \). By using injective resolutions it extends to a natural isomorphism of cohomological functors
\[
H^*(U, -) \cong \text{Ext}^*_\text{Mod}(G)(X_U, -) .
\]
Through its right action on \( X_U \) the right hand side becomes a left \( H_U \)-module. In this way \( H^*(U, -) \) is equipped with a left \( H_U \)-module structure. In particular, \( \text{Hom}_{\text{Mod}(U)}(V_1, V_2) = H^0(U, \text{Hom}(V_1, V_2)) \cong \text{Hom}_{\text{Mod}(G)}(X_U, \text{Hom}(V_1, V_2)) \) carries a left \( H_U \)-module structure which is functorial in \( V_1 \) and \( V_2 \). By derivation we obtain a functorial left \( H_U \)-module structure on \( \text{Ext}^*_{\text{Mod}(U)}(V_1, V_2) \).

**Remark 2.4.** The spectral sequence in Prop. 2.3 is \( H_U \)-equivariant.
Proof. This is straightforward from the way the composed functor spectral sequence is constructed. □

We now suppose in addition that $U$ is pro-$p$ and torsion free. Then $U$ is a Poincaré group of dimension $d$ ([CG] I-47 Ex. (3)). A straightforward variant of the appendix by Verdier in [CG] therefore gives the following: In $\text{Mod}(U)$ we have the dualizing object

$$\hat{I} := \lim_{\to} \text{Hom}_{k}(H^{d}(K, k), k),$$

which actually is isomorphic to the trivial representation $k$ in $\text{Mod}(U)$, together with an isomorphism

$$\text{Hom}_{k}(H^{i}(U, V), k) \cong \text{Ext}^{d-i}_{\text{Mod}(U)}(V, \hat{I}) \cong \text{Ext}^{d-i}_{\text{Mod}(U)}(V, k) \quad \text{for any } i \geq 0$$

which is natural in $V$ in $\text{Mod}(U)$; this latter isomorphism is induced by the Yoneda product

$$\text{Ext}^{d-i}_{\text{Mod}(U)}(V, \hat{I}) \times H^{i}(U, V) \longrightarrow H^{d}(U, \hat{I}) \cong H^{d}(U, k)(\cong k)$$

(Def.

4.5, Prop.

3.1.5, and first displayed formula on p.

V-20). In the following we will keep writing $\hat{I}$ (instead of $k$) but will view it even as a trivial $G$-representation. From now on we assume that $V$ lies in $\text{Mod}(G)$ and we will see that then all terms in the above Yoneda pairing carry a natural left $H_{U}$-action.

A. From the proof of Prop. 8.4.i in [OS] we know a formula for the $H_{U}$ action on $H^{*}(U, V)$.

Viewing $H_{U}$ as the convolution algebra of $U$-bi-invariant functions with compact support on $G$ we denote by $\tau_{h} \in H_{U}$, for $h \in G$, the characteristic function of the double coset $UhU$ in $G$. The diagram

$$\begin{array}{ccc}
H^{*}(U, V) & \xrightarrow{\tau_{h}} & H^{*}(U, V) \\
\text{res} & & \text{cores} \\
H^{*}(U \cap h^{-1}Uh, V) & \xrightarrow{h_{*}} & H^{*}(U \cap hUh^{-1}, V)
\end{array}$$

is commutative.

B. By [CG] I Prop. 18 the same $\hat{I}$ is also a dualizing object in $\text{Mod}(U')$ for any open subgroup $U' \subseteq U$.

C. As introduced above, we have a natural left $H_{U}$-action on $\text{Ext}^{*}_{\text{Mod}(U)}(V, \hat{I})$. To give an explicit formula we let $V'$ be any other object in $\text{Mod}(G)$ and we first recall that, for any open subgroup $U' \subseteq U$ and any $h \in G$, we have the following natural maps:

- The restriction map $\text{Ext}^{*}_{\text{Mod}(U)}(V, V') \xrightarrow{\text{res}} \text{Ext}^{*}_{\text{Mod}(U')}(V, V')$ which derives the obvious forgetful map on homomorphisms.
- The corestriction map $\text{Ext}^{*}_{\text{Mod}(U')}(V, V') \xrightarrow{\text{cores}} \text{Ext}^{*}_{\text{Mod}(U)}(V, V')$ which derives the map which sends a $U'$-equivariant homomorphism $f : V \to V'$ to the $U$-equivariant homomorphism $\sum_{g \in U/U'} gf(g^{-1}) : V \to V'$. 


The conjugation map \( \text{Ext}^*_{\text{Mod}(U)}(V, V') \xrightarrow{h} \text{Ext}^*_{\text{Mod}(hUh^{-1})}(V, V') \) which derives the map which sends a \( U \)-equivariant homomorphism \( f : V \to V' \) to the \( hUh^{-1} \)-equivariant homomorphism \( hf(h^{-1}-) : V \to V' \).

As for A, it is straightforward to verify that, for any \( h \in G \), the diagram

\[
\text{Ext}^*_{\text{Mod}(U)}(V, V') \xrightarrow{\tau_h} \text{Ext}^*_{\text{Mod}(U)}(V, V')
\]

is commutative.

E. It is easily checked that the map

\[
H_U \longrightarrow H_U \\
\tau \longmapsto \tau(-1)
\]

is an anti-involution of the \( k \)-algebra \( H_U \). It sends \( \tau \) to \( \tau h^{-1} \).

**Lemma 2.5.** For any \( 0 \leq i \leq d \) and any \( h \in G \) the diagram of Yoneda pairings

\[
\begin{array}{ccc}
\text{Ext}^{d-i}_{\text{Mod}(U)}(V, \hat{I}) & \times & H^i(U, V) \\
\text{res} & & \text{cores} \\
\text{Ext}^{d-i}_{\text{Mod}(U\cap h^{-1}Uh)}(V, \hat{I}) & \times & H^i(U \cap h^{-1}Uh, V) \\
\text{res} & & \text{cores} \\
\text{Ext}^{d-i}_{\text{Mod}(U\cap hUh^{-1})}(V, \hat{I}) & \times & H^i(U \cap hUh^{-1}, V) \\
\text{cores} & & \text{cores} \\
\end{array}
\]

is commutative.

**Proof.** We fix injective resolutions \( V \xrightarrow{\approx} \mathcal{J}^* \) and \( \hat{I} \xrightarrow{\approx} \mathcal{I}^* \) in \( \text{Mod}(G) \), which remain injective resolutions after restriction to any given open subgroup of \( G \).

The upper rectangle: Let \( \beta^* : \mathcal{J}^* \to \mathcal{I}^*[d-i] \) a \( U \)-equivariant and \( \alpha^* : \mathcal{I}^* \to \mathcal{J}^*[i] \) a \( U \cap h^{-1}Uh \)-equivariant homomorphism of complexes representing classes \( [\beta^*] \in \text{Ext}^{d-i}_{\text{Mod}(U)}(V, \hat{I}) \) and \( [\alpha^*] \in H^i(U, V) \), respectively. Then \( \beta^* \) also represents \( \text{res}[\beta^*] \) whereas \( \text{cores}[\alpha^*] \) is represented by \( \sum_{g \in U \cap U \cap h^{-1}Uh} g \alpha^* \). We compute

\[
[\beta^*[i] \circ \text{cores}[\alpha^*] = [\beta^*[i] \circ \sum_{g \in U \cap U \cap h^{-1}Uh} g \alpha^*] = [\beta^*[i] \circ \sum_{g \in U \cap U \cap h^{-1}Uh} g \alpha^*(g^{-1}-)] = [\sum_{g \in U \cap U \cap h^{-1}Uh} g(\beta^*[i] \circ \alpha^*)(g^{-1}-)] = [\sum_{g \in U \cap U \cap h^{-1}Uh} g(\beta^*[i] \circ \alpha^*])
\]

\[
= \text{cores}(\text{res}[\beta^*[i] \circ [\alpha^*])
\]
The middle rectangle: Let $\beta^* : J^* \to I^*[d - i]$ a $U \cap h^{-1}Uh$-equivariant and $\alpha^* : J^* \to J^*[i]$ a $U \cap hU$-equivariant homomorphism of complexes representing classes $[\beta^*] \in \text{Ext}^{d-i}_{\text{Mod}(U)^{h^{-1}Uh}}(V, \hat{I})$ and $[\alpha^*] \in H^i(U \cap hU^{-1}, V)$, respectively. The $h_*[\beta^*]$ and $h_*^{-1}[\alpha^*]$ are represented by $\hat{h} \beta^*$ and $h^{-1} \alpha^*$. We compute

$$h_*[\beta^*[i]] \circ [\alpha^*] = [h\beta^*[i] \circ \alpha^*] = [h\beta^*[i](h^{-1}\alpha^*(-))] = [h\beta^*[i](h^{-1}\alpha^*(hh^{-1}))]$$
$$= [h\beta^*[i](h^{-1}\alpha^*(h^{-1}(-)))] = [h(\beta^*[i] \circ h^{-1}\alpha^*)(h^{-1}(-))] = [h(\beta^*[i] \circ h^{-1}\alpha^*)]$$

$$= h_*([\beta^*[i]] \circ h_*^{-1}[\alpha^*]) .$$

The lower rectangle: This is entirely analogous to the computation for the upper rectangle. □

At this point we fix an isomorphism $H^d(U, \hat{I}) \cong k$ and henceforth treat it as an identification. Since, for any other open pro-$p$ and torsion free subgroup $U' \subseteq G$, the corestriction maps $H^d(U', \hat{I}) \cong \cong H^d(U' \cap U, \hat{I}) \cong H^d(U, \hat{I})$ are isomorphisms (cf. [CC] I-50(4), this induces a corresponding identification $H^d(U', \hat{I}) = k$. In particular, under these identifications the conjugation isomorphism $h_* : H^d(U \cap h^{-1}Uh, \hat{I}) \cong H^d(U \cap hU^{-1}, \hat{I})$, for any $h \in G$, becomes the multiplication by a scalar $\chi_G(h) \in k^\times$.

Lemma 2.6. The map $\chi_G : G \to k^\times$ is a character which is independent of $U$ and is trivial on any pro-$p$ subgroup of $G$.

Proof. The independence from the chosen identification $H^d(U, \hat{I}) = k$ as well as the triviality on $U$ are obvious. The former implies the independence from $U$ and hence, by the latter, the triviality on any open torsion free pro-$p$ subgroup of $G$. Suppose that we have checked the multiplicativity of $\chi_G$ already and let $U_0$ be any pro-$p$ subgroup of $G$. Note that, as a $p$-adic Lie group, $G$ always has an open torsion free pro-$p$ subgroup. Hence $\chi_G|U_0$ factorizes through a finite quotient which is a $p$-group. Since any finite subgroup of $k^\times$ has order prime to $p$ it follows that $\chi_G$ is trivial on $U_0$. To establish multiplicativity let $g, h \in G$. Since conjugation commutes with corestriction we have the following three commutative diagrams, which together show our claim:

$$H^d(U \cap gUg^{-1}, \hat{I}) \underset{\text{cores}}{\cong} H^d(U \cap gUg^{-1} \cap ghU(gh)^{-1}, \hat{I})$$

$$\overset{g_*}{\longrightarrow} \ H^d(U \cap gU^{-1}Ug, \hat{I}) \underset{\text{cores}}{\cong} \ H^d(U \cap g^{-1}Ug \cap hUh^{-1}, \hat{I}).$$

$$H^d(U \cap hUh^{-1}, \hat{I}) \underset{\text{cores}}{\cong} H^d(U \cap g^{-1}Ug \cap hUh^{-1}, \hat{I})$$

$$\overset{h_*}{\longrightarrow} \ H^d(U \cap hU^{-1}Uh, \hat{I}) \underset{\text{cores}}{\cong} \ H^d(U \cap (gh)^{-1}Ugh \cap h^{-1}Uh, \hat{I}),$$

6
and

\[ H^d(U \cap gUg^{-1} \cap ghU(gh)^{-1}, \hat{I}) \xrightarrow{\text{cores}} H^d(U \cap ghU(gh)^{-1}, \hat{I}) \]

\[ H^d(U \cap g^{-1}Ug \cap hUh^{-1}, \hat{I}) \xrightarrow{\text{cores}} H^d(g^{-1}Ug \cap hUh^{-1}, \hat{I}) \]

\[ H^d(U \cap (gh)^{-1}Ugh \cap h^{-1}Ugh, \hat{I}) \xrightarrow{\text{cores}} H^d(U \cap (gh)^{-1}Ugh, \hat{I}). \]

The map

\[ H_U \rightarrow H_U \]

\[ \tau \mapsto \chi_G \tau \] (pointwise product of functions)

is an algebra homomorphism. Pulling back an \( H_U \)-module \( M \) along this homomorphism defines the twisted \( H_U \)-module \( M(\chi_G) \). Also note that we may use the anti-involution in \( E \) to make the \( k \)-linear dual \( M^\vee := \text{Hom}_k(M,k) \) of a left \( H_U \)-module \( M \) again into a left \( H_U \)-module.

Using A. and C. we then may rewrite the diagram in Lemma 2.5 as the commutative diagram

\[
\begin{array}{ccc}
\text{Ext}^{d-i}_{\text{Mod}(U)}(V, \hat{I}) & \times & H^i(U, V) \\
\tau_h \downarrow & & \text{ch}_h \downarrow \\
\text{Ext}^{d-i}_{\text{Mod}(U)}(V, \hat{I}) & \times & H^i(U, V) \\
\end{array}
\]

This says that the duality isomorphism (4) in fact is an isomorphism of \( H_U \)-modules

\[ \text{Ext}^{d-i}_{\text{Mod}(U)}(V, k) \xrightarrow{\cong} H^i(U, V)^\vee(\chi_G). \]  

**Proposition 2.7.** For any compact open subgroup \( U \subseteq G \) which is pro-p and torsion free and any \( V \) in \( \text{Mod}(G) \) we have an \( H_U \)-equivariant \( E_2 \)-spectral sequence

\[ H^i(U, S^j(V)) \Rightarrow H^{d-i-j}(U, V)^\vee(\chi_G). \]

**Proof.** The spectral sequence arises by combining the second spectral sequence in Prop. 2.3 (observe Remark 2.4) with the duality isomorphism (5).

**Remark 2.8.** Suppose that \( G = G(\mathfrak{F}) \) where \( \mathfrak{F}/\mathbb{Q}_p \) is a finite extension and \( G \) is a connected reductive \( \mathfrak{F} \)-split group over \( \mathfrak{F} \). Assuming that a pro-p Iwahori subgroup \( U \) of \( G \) is torsion free it is shown in [OS] Prop. 7.16 that \( \chi_G = 1 \). Under additional assumptions this was proved before in [Koz].

In fact, we will show that \( \chi_G \) coincides with the duality character introduced by Kohlhaase in [Koh] after Def. 3.12 and which we temporarily denote by \( \chi_G^{\text{Koh}} \).

**Proposition 2.9.** We have \( \chi_G = \chi_G^{\text{Koh}} \).


Proof. The character $\chi_{G}^{Koh}$ describes the $G$-action on a certain one dimensional $k$-vector space $E^d(k)$ the original definition of which we do not need. Instead we use [Koh] Prop. 3.2 which says that, for any compact open subgroup $G_0 \subseteq G$, there is a natural $G_0$-equivariant isomorphism $\ell_{G, G_0} : E^d(k) \cong \Ext^d_{\Mod(\Omega(G_0))}(k, \Omega(G_0))$ such that:

1) For any $g \in G$ the diagram

$$E^d(k) \xrightarrow{\chi_{G}^{Koh}(g)} E^d(k) \xrightarrow{\ell_{G, G_0}} \Ext^d_{\Mod(\Omega(G_0))}(k, \Omega(G_0)) \xrightarrow{g^\ast} \Ext^d_{\Mod(\Omega(gG_0g^{-1}))}(k, \Omega(gG_0g^{-1}))$$

is commutative, where $g^\ast$ is the conjugation isomorphism (compare the argument in the last paragraph of the proof of [Koh] Prop. 3.13).

2) For any open subgroup $G_1 \subseteq G_0$ the diagram

$$E^d(k) \xrightarrow{\ell_{G_0, G_1}} \Ext^d_{\Mod(\Omega(G_0))}(k, \Omega(G_0)) \xrightarrow{\ell_{G, G_1}} \Ext^d_{\Mod(\Omega(G_1))}(k, \Omega(G_1))$$

is commutative. Moreover $\ell_{G_0, G_1}$ is the composite of the restriction map

$$\Ext^d_{\Mod(\Omega(G_0))}(k, \Omega(G_0)) \xrightarrow{\text{res}} \Ext^d_{\Mod(\Omega(G_1))}(k, \Omega(G_0))$$

and the map

$$\Ext^d(k, j^\vee_{G_1, G_0}) : \Ext^d_{\Mod(\Omega(G_1))}(k, \Omega(G_0)) \to \Ext^d_{\Mod(\Omega(G_1))}(k, \Omega(G_1))$$

which is induced by the Pontrjagin dual $j^\vee_{G_1, G_0}$ of the extension by zero map $j_{G_1, G_0} : C^\infty(G_1, k) \to C^\infty(G_0, k)$.

The Pontrjagin dual of $C^\infty(G_0, k)$ being $\Omega(G_0)$ we have, using (2), the isomorphism

$$P_{G_0} : \Ext^d_{\Mod(\Omega(G_0))}(k, \Omega(G_0)) \cong \Ext^d_{\Mod(\Omega(G_0))}(C^\infty(G_0, k), k).$$

Combining it with the above two diagrams we arrive at the commutative diagrams

$$E^d(k) \xrightarrow{\chi_{G}^{Koh}(g)} E^d(k) \xrightarrow{\ell_{G_0, G_0}} \Ext^d_{\Mod(G_0)}(C^\infty(G_0, k), k) \xrightarrow{g^\ast} \Ext^d_{\Mod(gG_0g^{-1})}(C^\infty(gG_0g^{-1}, k), k)$$
Specializing to $G_0 = U$ again we note that the duality isomorphism \[^\text{(4)}\] for $V = C^\infty(U, k)$ and $i = 0$ is given by

\[
\text{Ext}^d_{\text{Mod}(U)}(C^\infty(U, k), k) \cong \text{Hom}_k(\text{Hom}_{\text{Mod}(U)}(k, C^\infty(U, k)), H^d(U, k))
\]

\[
e \mapsto [\phi \mapsto \phi^*(e)].
\]

Let $\text{con}_U : k \to C^\infty(U, k)$ denote the map which sends $1 \in k$ to the constant function with value 1 on $U$. Then the above isomorphism is equivalent to the isomorphism

\[
\text{Ext}^d_{\text{Mod}(U)}(C^\infty(U, k), k) \cong H^d(U, k)
\]

\[
e \mapsto \text{con}^*_U(e).
\]

The first isomorphism being natural in conjugation by $g \in G$ and this conjugation sending $\text{con}_U$ to $\text{con}_{\text{gUg}^{-1}}$ we see that we have the commutative diagram

\[
\begin{array}{ccc}
\text{Ext}^d_{\text{Mod}(U)}(C^\infty(U, k), k) & \xrightarrow{\text{con}^*_U} & H^d(U, k) \\
g_* & & g_* \\
\text{Ext}^d_{\text{Mod}(\text{gUg}^{-1})}(C^\infty(\text{gUg}^{-1}, k), k) & \xrightarrow{\text{con}^*_{\text{gUg}^{-1}}} & H^d(\text{gUg}^{-1}, k).
\end{array}
\]

Furthermore, if $U' \subseteq U$ is any open subgroup, then we have the commutative diagram of duality pairings

\[
\begin{array}{ccc}
\text{Ext}^d_{\text{Mod}(U)}(C^\infty(U, k), k) & \times & H^0(U, C^\infty(U, k)) \xrightarrow{\text{cores}} H^d(U, k) \\
\text{res} & & \text{cores} \\
\text{Ext}^d_{\text{Mod}(U')}(C^\infty(U', k), k) & \times & H^0(U', C^\infty(U', k)) \xrightarrow{\text{cores}} H^d(U', k) \\
\text{Ext}^d_{\text{Mod}(U')}((\text{U}', k), k) & \times & H^0(U', C^\infty(U', k)) \xrightarrow{H^0(U', \text{U}')} H^d(U', k).
\end{array}
\]

Here the top, resp. bottom, rectangle is commutative by the top rectangle in Lemma \[^\text{2.5}\] resp. the functoriality of the Yoneda pairing. Note that the middle column maps $\text{con}_{U'}$ to $\text{con}_U$. Hence we obtain the commutative diagram

\[
\begin{array}{ccc}
\text{Ext}^d_{\text{Mod}(U)}(C^\infty(U, k), k) & \xrightarrow{\text{con}^*_U} & H^d(U, k) \\
\text{Ext}^d_{\text{Mod}(U')}(C^\infty(U', k), k) & \xrightarrow{\text{con}^*_U} & H^d(U', k) \\
\text{Ext}^d_{\text{Mod}(U')}(C^\infty(U', k), k) & \xrightarrow{\text{cores}} & H^d(U', k).
\end{array}
\]
By combining it with the diagram (7) we deduce the commutative diagram

\[
\begin{array}{ccc}
E^d(k) & \xrightarrow{\con_* U \circ P_U \circ \ell_{G,U}} & H^d(U, k) \\
\con^*_U \circ P_U \circ \ell_{G,U} & \con & \con \\
E^d(k) & \xrightarrow{\con^*_U \circ P_U \circ \ell_{G,U}} & H^d(U', k),
\end{array}
\]

where the right hand oblique arrows are our standard identifications. This means that the isomorphism \(\con^*_U \circ P_U \circ \ell_{G,U} : E^d(k) \xrightarrow{\con} k\) does not depend on the subgroup \(U\). With this information we consider the commutative diagram

\[
\begin{array}{ccc}
E^d(k) & \xrightarrow{\con^*_U \circ P_U \circ \ell_{G,U}} & H^d(U, k) \\
\chi^\text{Koh}_{G}(g) & = & \chi_G(g) \\
\con^*_U \circ P_U \circ \ell_{G,U} & \con & \con \\
E^d(k) & \xrightarrow{\con^*_U \circ P_U \circ \ell_{G,U}} & H^d(gUg^{-1}, k)
\end{array}
\]

which arises by combining (6) and (8). Since the horizontal arrows coincide we conclude that \(\chi^\text{Koh}_{G}(g) = \chi_G(g)\).

\[\square\]

**Lemma 2.10.** Suppose that \(G\) is a connected reductive group over a finite extension \(\mathfrak{F}\) of \(\mathbb{Q}_p\); if \(G\) is an open subgroup of \(G(\mathfrak{F})\) then \(\chi_G = 1\).

**Proof.** The above Prop. (2.9) together with [Koh] Cor. 5.2 show the assertion in the case \(\mathfrak{F} = \mathbb{Q}_p\). In general let \(G'\) denote the Weil restriction of \(G\) to \(\mathbb{Q}_p\). It is shown in [Oes] App. 3 that \(G'\) again is a connected linear algebraic group with the property that \(G(\mathfrak{F}) = G'(\mathbb{Q}_p)\) as \(p\)-adic Lie groups. Since our field extension is separable it follows from loc. cit. A.3.4 that with \(G\) also \(G'\) is reductive. This reduces the general case to the case \(\mathfrak{F} = \mathbb{Q}_p\). \[\square\]

### 3 Derived smooth duality

We begin by recalling some general nonsense about the adjunction between tensor product and Hom-functor which for three \(k\)-vector spaces \(V_1, V_2,\) and \(V_3\) is given by the linear isomorphism

\[
\begin{align*}
\Hom_k(V_1 \otimes_k V_2, V_3) & \xrightarrow{\con} \Hom_k(V_1, \Hom_k(V_2, V_3)) \\
A & \mapsto \lambda_A(v_1)(v_2) := A(v_1 \otimes v_2).
\end{align*}
\]

Suppose that all three vector spaces carry a left \(G\)-action. Then \(\Hom_k(V_1 \otimes_k V_2, V_3)\) and \(\Hom_k(V_1, \Hom_k(V_2, V_3))\) are equipped with the \(G \times G \times G\)-action defined by

\[
\begin{align*}
(g_1, g_2, g_3)(v_1 \otimes v_2) := g_3 A(g_1^{-1} v_1 \otimes g_2^{-1} v_2) \quad \text{and} \quad (g_1, g_2, g_3) \lambda(v_1)(v_2) := g_3 \lambda(g_1^{-1} v_1)(g_2^{-1} v_2),
\end{align*}
\]

respectively. The above adjunction is equivariant for these two actions. If we restrict to the diagonal \(G\)-action, then the above adjunction induces the adjunction isomorphism

\[
\begin{align*}
\Hom_k[V_1 \otimes_k V_2, V_3] & \xrightarrow{\con} \Hom_k[V_1, \Hom_k(V_2, V_3)].
\end{align*}
\]
If the $G$-action on the $V_i$ is smooth then this also can be written as an isomorphism
\begin{equation}
\Hom_{\text{Mod}(G)}(V_1 \otimes_k V_2, V_3) \cong \Hom_{\text{Mod}(G)}(V_1, \Hom(V_2, V_3)).
\end{equation}

Let $D(G)$ denote the unbounded derived category of $\text{Mod}(G)$. The tensor product functor
\[
\text{Mod}(G) \times \text{Mod}(G) \longrightarrow \text{Mod}(G)
\]
\[
(V_1, V_2) \longmapsto V_1 \otimes_k V_2,
\]
where the $G$-action on the tensor product is the diagonal one, is exact in both variables. Therefore it extends directly (i.e., without derivation) to the functor
\[
D(G) \times D(G) \longrightarrow D(G)
\]
\[
(V_1^\bullet, V_2^\bullet) \longmapsto \text{tot}_{\otimes}(V_1^\bullet \otimes_k V_2^\bullet),
\]
which we usually denote simply by $V_1^\bullet \otimes_k V_2^\bullet$. On the other hand, since $\text{Mod}(G)$ is a Grothendieck category, we have for any $V_0$ in $\text{Mod}(G)$ the total derived functor
\[
R\Hom(V_0, -) : D(G) \longrightarrow D(G)
\]
such that $R^j\Hom(V_0, V) = \Ext^j(V_0, V)$ for any $V$ in $\text{Mod}(G)$ and $j \geq 0$. We want to extend this to a bifunctor $D(G)^{\text{op}} \times D(G) \rightarrow D(G)$. First we recall that $\text{Mod}(G)$ has arbitrary direct products (but which are not exact); we will denote these by $\prod_\infty$ to avoid confusion with the cartesian direct product. Hence, for any two complexes $V_1^\bullet$ and $V_2^\bullet$ in $\text{Mod}(G)$ we may define the complex
\[
\Hom^\bullet(V_1^\bullet, V_2^\bullet) := \prod_\infty \Hom(V_1^j, V_2^{j + \bullet})
\]
in $\text{Mod}(G)$ in the usual way. By construction we have that
\begin{equation}
\begin{aligned}
\Hom^\bullet(V_1^\bullet, V_2^\bullet) &= \lim_K \left( \prod_{j \in \mathbb{Z}} \Hom(V_1^j, V_2^{j + \bullet}) \right)^K = \lim_K \prod_{j \in \mathbb{Z}} \Hom(V_1^j, V_2^{j + \bullet})^K \\
&= \lim_K \prod_{j \in \mathbb{Z}} \Hom_{\text{Mod}(K)}(V_1^j, V_2^{j + \bullet})
\end{aligned}
\end{equation}
\[= \lim_K \Hom_{\text{Mod}(K)}(V_1^\bullet, V_2^\bullet) \]
is the inductive limit over all compact open subgroups $K \subseteq G$ of the usual Hom-complexes for the abelian categories $\text{Mod}(K)$.

The adjunction \cite{KS} shows that the assumptions of \cite{KS} Thm. 14.4.8 are satisfied (with $P_1 = C_l = \text{Mod}(G)$, $G$ the tensor product functor, and $F_1 = F_2 = \Hom$). Hence we obtain the following result.

**Proposition 3.1.** The total derived functor $R\Hom(-, -) : D(G)^{\text{op}} \times D(G) \longrightarrow D(G)$ exists and can be computed by $R\Hom(V_1^\bullet, V_2^\bullet) = \Hom^\bullet(V_1^\bullet, J^\bullet)$ where $V_2^\bullet \cong J^\bullet$ is a homotopically injective resolution. Moreover, there are the natural adjunctions
\[
\Hom_{D(G)}(V_1^\bullet \otimes_k V_2^\bullet, V_3^\bullet) = \Hom_{D(G)}(V_1^\bullet, R\Hom(V_2^\bullet, V_3^\bullet))
\]
\[1\text{This uses the fact that for any two complexes of vector spaces one of which is acyclic their tensor product is acyclic as well.}
and 
\[ R\text{Hom}_{\text{Mod}(G)}(V^\bullet \otimes_k V_2^\bullet, V_3^\bullet) = R\text{Hom}_{\text{Mod}(G)}(V_1^\bullet, R\text{Hom}(V_2^\bullet, V_3^\bullet)) \]
for any \( V_i^\bullet \) in \( D(G) \).

**Corollary 3.2.** \( (D(G), \otimes_k, k, R\text{Hom}) \) is a closed symmetric monoidal category.

For \( V_2 = k \) viewed as complex concentrated in degree zero we, in particular, obtain the total derived duality functor 
\[ R\text{Hom}(-, k) : D(G) \to D(G) \]
such that \( R^j\text{Hom}(V, k) = S^j(V) \) for any \( V \) in \( \text{Mod}(G) \) and any \( j \geq 0 \). In order to see in which way \( k \) is a dualizing object for \( \text{Mod}(G) \) we have to introduce two finiteness conditions. First we observe that by Remark 2.2 and (11) we may use [Har] Prop. I.7.6 to conclude that the functor 
\[ R\text{Hom}(-, k) : D^b(G) \to D^b(G) \]
is way-out in both directions and respects the bounded subcategories.

Next we recall that a representation \( V \) in \( \text{Mod}(G) \) is called admissible if, for any open subgroup \( K \subseteq G \), the vector space of \( K \)-fixed vectors \( V^K \) is finite dimensional. In fact, it suffices to check the defining condition for a single compact open subgroup \( K \) (apply the Nakayama lemma to the dual \( \Omega(K) \)-module \( V^\vee \) or see [Koh] Lemma 1.7). The full subcategory \( \text{Mod}_{\text{adm}}(G) \) of admissible representations in \( \text{Mod}(G) \) is a Serre subcategory (cf. [Em1] Prop. 2.2.13). Hence we have the strictly full triangulated subcategories \( D^b_{\text{adm}}(G) \subseteq D^b(G) \) and \( D_{\text{adm}}(G) \subseteq D(G) \) of those complexes whose cohomology representations are admissible.

**Lemma 3.3.** The derived duality functor \( R\text{Hom}(-, k) \) respects both subcategories \( D^b_{\text{adm}}(G) \) and \( D_{\text{adm}}(G) \).

**Proof.** It is shown in [Koh] Cor. 3.15 that for an admissible representation \( V \) in \( \text{Mod}(G) \) the representations \( S^j(V) \) are admissible as well. Hence for an admissible \( V \) the complex \( R\text{Hom}(V, k) \) lies in \( D^b_{\text{adm}}(G) \). On the other hand we have observed already that our functor is way-out in both directions in the sense of [Har] §7. Therefore our assertion follows from loc. cit. Prop. I.7.3. \qed

Let \( V^\bullet \) be any complex in \( \text{Mod}(G) \) and fix an injective resolution \( k \to \mathcal{J}^\bullet \). We construct a natural transformation
\[ \eta_{V^\bullet} : V^\bullet \to \text{Hom}^\bullet(V^\bullet, \mathcal{J}^\bullet, \mathcal{J}^\bullet) \]
as follows. Inserting the definitions we have to produce, for any \( \ell \in \mathbb{Z} \), a natural \( G \)-equivariant map 
\[ \eta_{V^\bullet} : V^\ell \to \prod_{j \in \mathbb{Z}}^{\infty} \text{Hom}(\prod_{i \in \mathbb{Z}}^{\infty} \text{Hom}(V^i, \mathcal{J}^j+i), \mathcal{J}^{j+\ell}) \]
compatible with the differentials. It is straightforward to check that the maps \( \eta_{V^\bullet}(v)((f_{i,j})_i) := (-1)^{\ell j} f_{\ell,j}(v) \) have these properties.

**Proposition 3.4.** If the complex \( V^\bullet \) has admissible cohomology then the natural transformation \( \eta_{V^\bullet} \) is a quasi-isomorphism.
Proof. Since we have a natural transformation between way-out functors the lemma on way-out-functors ([Har] Prop. I.7.1(iii)) tells us that we need to establish the assertion only in the case where our complex is a single admissible representation (viewed as a complex concentrated in degree zero). In fact, by loc. cit. Prop. I.7.1(iv) we can go one step further. Suppose given a class $\mathcal{P}$ of admissible representations such that every admissible representation is embeddable into a finite direct sum of representations in this class. Then it suffices to check the assertion for representations in $\mathcal{P}$. We cannot apply this directly, though. First let us fix a compact open subgroup $K$ in $G$. Then we observe:

- Any admissible $G$-representation $V$ is also admissible as a $K$-representation;
- $k \xrightarrow{\sim} J^\bullet$ is also an injective resolution in Mod($K$);
- the natural transformation $\eta_V$ remains the same if constructed for $V$ considered only as a $K$-representation.

This means that, for the purposes of our proof, we may assume that our group $G$ is compact. Let $C^\infty(G, k)$ denote, as before, the vector space of $k$-valued locally constant functions on $G$. Equipped with the left translation action it is an admissible smooth $G$-representation. We have $C^\infty(G, k)^{\vee} = \Omega(G)$. Let $V$ be any admissible representation in Mod($G$). Then $V^{\vee}$ is a finitely generated (pseudocompact) $\Omega(G)$-module ([Koh] Prop. 1.9(i)). Hence we find a surjection $\Omega(G)^m \twoheadrightarrow V^{\vee}$ in Mod$_{pc}(G)$ for some integer $m \geq 0$. It is the dual of an injective map $V \hookrightarrow C^\infty(G, k)^m$ in Mod($G$). Therefore we can take the single object $C^\infty(G, k)$ for the class $\mathcal{P}$. By [Koh] Prop. 3.13 we have, for any integer $j$, that

$$R^j\text{Hom}(C^\infty(G, k), k) = S^j(C^\infty(G, k)) \cong \begin{cases} \chi_G \otimes_k C^\infty(G, k) & \text{for } j = d, \\ 0 & \text{otherwise,} \end{cases}$$

where $\chi_G : G \to k^\times$ is Kohlhaase’s duality character. Hence $R\text{Hom}(C^\infty(G, k), k) \cong (\chi_G \otimes_k C^\infty(G, k))[-d]$ and then $R\text{Hom}(R\text{Hom}(C^\infty(G, k), k), k) \cong C^\infty(G, k)$. One checks from the proof in loc. cit. that the latter quasi-isomorphism is induced by the natural transformation $\eta_{C^\infty(G, k)}$. 

Corollary 3.5. On $D_{adm}(G)$ the functor $R\text{Hom}(-, k)$ is involutive.

4 Globally admissible complexes

In this section we will generalize some of the results in section 3 to a subcategory of $D(G)$ which is potentially larger than $D_{adm}(G)$. The possible drawback is that the defining condition for this subcategory is a “global” finiteness condition.

We let Vec denote the abelian category of $k$-vector spaces and $D(k)$ its unbounded derived category. In the following we fix an open subgroup $U \subseteq G$ which is pro-$p$ and torsion free. As recalled in Remark 2.2 the functor

$$\text{Mod}(G) \longrightarrow \text{Vec}$$

$$V \longmapsto V^U = H^0(U, V)$$

has finite cohomological dimension $d$. Hence its total derived functor $R\text{H}^0(U, -) : D(G) \longrightarrow D(k)$ exists (cf. [Har] Cor. I.5.3)).
On the other hand the functor $\text{Hom}_k(\cdot, k)$ on $\text{Vec}$ of taking the $k$-linear dual is exact and therefore passes directly to a functor form $D(k)^{\text{op}}$ to $D(k)$ which, for simplicity, we also denote by $\text{Hom}_k(\cdot, k)$.

**Proposition 4.1.** The diagram

\[
\begin{array}{ccc}
D(G)^{\text{op}} & \xrightarrow{R\text{Hom}(\cdot, k)} & D(G) \\
\text{forget} & & \text{forget} \\
D(U)^{\text{op}} & \xrightarrow{R\text{Hom}(\cdot, k)} & D(U) \\
\text{RH}^0(U, \cdot) & & \text{RH}^0(U, \cdot) \\
D(k)^{\text{op}} & \xrightarrow{\text{Hom}_k(\cdot, k)[-d]} & D(k)
\end{array}
\]

is commutative (up to a natural isomorphism).

**Proof.** The upper rectangle is commutative since the forgetful functor $\text{Mod}(G) \to \text{Mod}(U)$, having the compact induction $\text{ind}_U^G$ as an exact left adjoint, preserves injective as well as homotopically injective resolutions. For the lower triangle we first observe that the second adjunction formula in Prop. 3.1 tells us that the composed functor $\text{RH}^0(U, R\text{Hom}(\cdot, k))$ is naturally isomorphic to the functor $R\text{Hom}_{\text{Mod}(U)}(\cdot, k)$. Hence it remains to exhibit a natural isomorphism between $R\text{Hom}_{\text{Mod}(U)}(\cdot, k)$ and $\text{Hom}_k(\text{RH}^0(U, \cdot), k)[-d]$. For this we start with the Yoneda pairing

\[R\text{Hom}_{\text{Mod}(U)}(V^\bullet, k) \times R\text{Hom}_{\text{Mod}(U)}(k, V^\bullet) \to R\text{Hom}_{\text{Mod}(U)}(k, k)\]

By our assumption on the group $U$ the natural homomorphism $\sigma_{\leq d} R\text{Hom}_{\text{Mod}(U)}(k, k) \xrightarrow{\cong} R\text{Hom}_{\text{Mod}(U)}(k, k)$ is an isomorphism and the upper truncation $\sigma_{\leq d} R\text{Hom}_{\text{Mod}(U)}(k, k)$ at degree $d$ (cf. [Har] p. 69/70) maps to its cohomology $H^d(U, k)[-d] \cong k[-d]$ in degree $d$. The Yoneda pairing therefore induces a pairing

\[R\text{Hom}_{\text{Mod}(U)}(V^\bullet, k) \times R\text{Hom}_{\text{Mod}(U)}(k, V^\bullet) \to k[-d]\]

and hence a natural homomorphism

\[\text{Hom}_k(R\text{Hom}_{\text{Mod}(U)}(k, V^\bullet), k[-d]) \to R\text{Hom}_{\text{Mod}(U)}(V^\bullet, k)\]

To show that it is an isomorphism we need to check that the map induced on cohomology

(13) \[\text{Hom}_k(H^{d-\ast} U, V^\bullet), k) \to \text{Ext}^\ast_{\text{Mod}(U)}(V^\bullet, k)\]

is bijective. If $V^\bullet$ is a single representation in degree zero then we have seen this already in [4]. By the Example 1 on p. 68 in [Har] the functor $\text{RH}^0(U, \cdot)$ and hence also the functor $\text{Hom}_k(R\text{Hom}_{\text{Mod}(U)}(\cdot, k), k[-d])$ are way-out in both directions. Similarly, by Remark 2.2 and [Har] Prop. I.7.6 the functor $R\text{Hom}_{\text{Mod}(U)}(\cdot, k)$ is way-out in both directions as well. Hence it follows from [Har] Prop. I.7.1(iii) that (13) always is bijective. \qed

**Definition 4.2.** A complex $V^\bullet$ in $D(G)$ is globally admissible if its cohomology groups $H^i(U, V^\bullet)$, for any $i \in \mathbb{Z}$, are finite dimensional vector spaces. Let $D(G)^{\ast} \subseteq D(G)$ denote the strictly full triangulated subcategory of all globally admissible complexes.
We will see only later in Cor. 3.6 that this definition, indeed, does not depend on the choice of $U$. To rephrase the definition let $D_{fin}(k) \subseteq D(k)$ denote the strictly full triangulated subcategory of all objects all of whose cohomology vector spaces are finite dimensional. Then $D(G)^{\circ}$ is the full preimage in $D(G)$ of $D_{fin}(k)$ under the functor $\text{RH}om(U, \_)$.

**Corollary 4.3.** The duality functor $\text{RH}om(-, k)$ respects the subcategory $D(G)^{\circ}$.

**Proof.** This is immediate from Prop. 4.1 since the functor $\text{Hom}_{k}(-, k)$ on $D(k)$ respects the subcategory $D_{fin}(k)$. □

In [12] we had introduced the biduality morphism $\eta_{V^{\bullet}} : V^{\bullet} \to \text{RH}om(\text{RH}om(V^{\bullet}, k), k)$. Our further analysis of it will be based upon the following general observation.

**Lemma 4.4.** A homomorphism $V_{1}^{\bullet} \to V_{2}^{\bullet}$ in $D(G)$ is an isomorphism if and only the induced map $H^{i}(U, V_{1}^{\bullet}) \to H^{i}(U, V_{2}^{\bullet})$, for any $i \in \mathbb{Z}$, is bijective.

**Proof.** This is an immediate consequence of the equivalence $H$ between $D(G)$ and the derived category of a certain differential graded algebra in [DGA] Thm. 9, which we will recall in section ??, By construction the functor $H$ has the property that $h^{\ast}(H(-)) = H^{\ast}(U, -)$. □

**Proposition 4.5.** The biduality morphism $\eta_{V^{\bullet}}$, for any $V^{\bullet}$ in $D(G)$, is an isomorphism if and only if $V^{\bullet}$ lies in $D(G)^{\circ}$.

**Proof.** According to Lemma 4.2 we have to check that the maps

$$H^{i}(U, \eta_{V^{\bullet}}) : H^{i}(U, V^{\bullet}) \to H^{i}(U, \text{RH}om(\text{RH}om(V^{\bullet}, k), k))$$

are bijective for any $i \in \mathbb{Z}$ if and only if $V^{\bullet}$ lies in $D(G)^{\circ}$. By Prop. 4.1 we have natural isomorphisms

$$\xi_{V^{\bullet}}^{i} : H^{i}(U, \text{RH}om(V^{\bullet}, k)) \xrightarrow{\cong} \text{Hom}_{k}(H^{d-i}(U, V^{\bullet}), k).$$

We now claim that the diagram

$$
\begin{array}{ccc}
H^{i}(U, V^{\bullet}) & \xrightarrow{H^{i}(U, \eta_{V^{\bullet}})} & H^{i}(U, \text{RH}om(\text{RH}om(V^{\bullet}, k), k)) \\
\downarrow b & & \downarrow \cong \\
\text{Hom}_{k}(\text{Hom}_{k}(H^{i}(U, V^{\bullet}), k), k) & \xrightarrow{\text{Hom}_{k}(\xi_{V^{\bullet}}^{d-i}, k)} & \text{Hom}_{k}(H^{d-i}(U, \text{RH}om(V^{\bullet}, k)), k),
\end{array}
$$

where $b$ denotes the natural map from a $k$-vector space into its double dual, is commutative up to the sign $(-1)^{i(d-i)}$. This immediately shows that $H^{i}(U, \eta_{V^{\bullet}})$ is bijective if and only if $b$ is bijective which, of course, is the case if and only if the vector space $H^{i}(U, V^{\bullet})$ is finite dimensional.

To establish this claim we compute $\text{RH}om(-, k)$ by using an injective resolution $J^{\bullet}$ of $k$ in $\text{Mod}(G)$ and hence in $\text{Mod}(U)$. Then $\text{RH}om(V^{\bullet}, k) = \text{Hom}^{\ast}(V^{\bullet}, J^{\bullet})$ by Prop. 3.1. Moreover the adjunction property [10] implies that $\text{Hom}^{\ast}(V^{\bullet}, J^{\bullet})$ always is homotopically injective. Finally we may also assume that $V^{\bullet}$ is homotopically injective. Our diagram therefore becomes

$$
\begin{array}{ccc}
h^{i}(V^{\bullet}) & \xrightarrow{h^{i}(U, \eta_{V^{\bullet}})} & \text{Hom}_{K(U)}(\prod_{j \in \mathbb{Z}} \text{Hom}(V^{j}, J^{j+i}), J^{\ast}[i]) \\
\downarrow b & & \downarrow \cong \\
\text{Hom}_{k}(\text{Hom}_{k}(h^{i}((V^{\bullet})^{U}), k), k) & \xrightarrow{\text{Hom}_{k}(\xi_{V^{\bullet}}^{d-i}, k)} & \text{Hom}_{k}(\text{Hom}_{K(U)}(V^{\bullet}, J^{\ast}[d-i]), k),
\end{array}
$$

15
where $K(U)$ denotes as usual the homotopy category of unbounded complexes in $\text{Mod}(U)$. We first recall that, once we fix an identification $h^d((J^\bullet)^U) = H^d(U, k) \cong k$, the map $\xi^i_{V^\bullet}$ is explicitly given by
\[
\xi^i_{V^\bullet} : \text{Hom}_{K(U)}(V^\bullet, J^\bullet[i]) \to \text{Hom}_k(h^{d-i}((V^\bullet)^U), k) \\
[e^\bullet] \mapsto \left[ [\delta_{d-i}] \mapsto [e^{d-i}(\delta_{d-i})] \right].
\]

Now let $[v_i] \in h^i((V^\bullet)^U)$. By definition of $\eta_{V^\bullet}$ its image under the top horizontal arrow in the above diagram is the homotopy class of the homomorphism of complexes
\[
\prod_{j \in \mathbb{Z}} \text{Hom}(V^j, J^{j+i}) \to J^i[i] \\
(f_j, \bullet) \mapsto (-1)^{i} f_i(v_i).
\]
of degree $i$. Under the right perpendicular arrow it is further mapped to the linear map
\[
\text{Hom}_{K(U)}(V^\bullet, J^\bullet[d-i]) \to k \\
[(f_{j,d-i})_j] \mapsto (-1)^{i(d-i)} [f_{i,d-i}(v_i)].
\]
But $[(f_{j,d-i})_j]$ corresponds under $\xi^{d-i}_{V^\bullet}$ to the linear map in $\text{Hom}_k(h^i((V^\bullet)^U), k)$ sending $[\delta_i]$ to $[f_{i,d-i}(\delta_i)]$. Hence the preimage of (14) under the bottom horizontal map in the diagram is equal to $(-1)^{i(d-i)} b([v_i])$ as claimed.

**Corollary 4.6.** The subcategory $D(G)^a$ in $D(G)$ is independent of the choice of the subgroup $U \subseteq G$.

What is the relation between the subcategories $D_{ad}(G)$ and $D(G)^a$? We had observed earlier that a representation $V$ in $\text{Mod}(G)$ is admissible if and only if the vector space $H^0(U, V)$ is finite dimensional. Moreover, by [Em2] Lemma 3.3.4, we have the following fact.

**Lemma 4.7.** If $V$ in $\text{Mod}(G)$ is an admissible representation in $\text{Mod}(G)$ then all the vector spaces $H^i(U, V)$, for $i \geq 0$, are finite dimensional.

This lemma says that, for an admissible $V$, the complex $RH^0(U, V)$ lies in $D_{fin}(k)$. By the Example 1 on p. 68 in [Har] the functor $RH^0(U, -)$ is way-out in both directions. Therefore [Har] Prop. 1.7.3(iii) implies that the functor $RH^0(U, -)$ maps $D_{ad}(G)$ to $D_{fin}(k)$. This proves the following.

**Proposition 4.8.** $D_{ad}(G) \subseteq D(G)^a$.

Alternatively this can be seen by combining Prop. 3.4 and Prop. 4.5. On the subcategory $D^+(G)$ of bounded below complexes we have a stronger result.

**Proposition 4.9.** A complex $V^\bullet$ in $D^+(G)$ lies in $D_{ad}(G)$ if and only if $H^i(U, V^\bullet)$ is finite dimensional for any $i \in \mathbb{Z}$; i.e., we have $D^+(G) \cap D_{ad}(G) = D^+(G) \cap (D(G)^a)$.

**Proof.** The direct implication holds true by Prop. 4.8. For the reverse implication we now assume that all the $H^i(U, V^\bullet)$ are finite dimensional.

Choose an integer $m$ such that $h^j(V^\bullet) = 0$ for any $j < m$. In this situation it is a standard fact (cf. [KS] Exer. 13.3) that we have $H^0(U, h^m(V^\bullet)) = R^m H^0(U, V^\bullet) = H^m(U, V^\bullet)$. Hence
$H^0(U, h^m(V^\bullet))$ is finite dimensional. As recalled before Lemma 4.7 this implies that $h^m(V^\bullet)$ is admissible. Moreover, Lemma 4.7 then says that $H^i(U, h^m(V^\bullet))$ is finite dimensional for any $i \in \mathbb{Z}$. We now use the distinguished triangles

\[
\begin{array}{ccc}
\tau \leq m V^\bullet & \overset{+1}{\longrightarrow} & \tau \leq m V^\bullet \\
\leftarrow & & \leftarrow \\
\tau \leq m V^\bullet & \overset{+1}{\longrightarrow} & \tau \leq m V^\bullet
\end{array}
\]

in $D(G)$ (cf. [KS] Prop. 13.1.15(i)). Since $\tau \leq m V^\bullet \simeq 0$ in $D(G)$ the left triangle implies that $H^i(U, \tau \leq m V^\bullet) \cong H^{i-m}(U, h^m(V^\bullet))$ is finite dimensional for any $i \in \mathbb{Z}$. Using this as an input for the long exact cohomology sequence associated with the right triangle we conclude that $H^i(U, \tau \geq m+1 V^\bullet)$ is finite dimensional for any $i \in \mathbb{Z}$ as well. But $h^j(\tau \geq m+1 V^\bullet) = 0$ for any $j \leq m$. Therefore we may repeat our initial reasoning for the complex $\tau \geq m+1 V^\bullet$ and deduce that $h^m(\tau \geq m+1 V^\bullet) = h^{m+1}(V^\bullet)$ is admissible. Proceeding inductively in this way we obtain that $h^j(V^\bullet)$ is admissible for any $j \in \mathbb{Z}$.

**Lemma 4.10.** For any $V^\bullet$ in $D(G)$ and any $i \in \mathbb{Z}$ we have: If $H^i(U, V^\bullet) = 0$ then $h^i(V^\bullet) = 0$.

**Proof.** This is almost literally the same proof as the one for the reverse implication in [DGA] Prop. 5.

**Corollary 4.11.** Any globally admissible complex $V^\bullet$ in $D(G)$ such that $H^i(U, V^\bullet) = 0$ for any sufficiently small $i$ lies in $D_+^{ab}(G)$ and hence in $D_{adm}(G)$.

**Corollary 4.12.** $D_+^{ab}(G)$ is the subcategory of all complexes $V^\bullet$ in $D(G)$ whose total cohomology $H^\bullet(U, V^\bullet)$ is finite dimensional.

**Proof.** The direct implication is a consequence of Lemma 4.7 using the hypercohomology spectral sequence. The reverse implication follows from Prop. 4.9 and Lemma 4.10.

**Remark 4.13.** If $G$ is compact then the natural functor $D^+(\text{Mod}_{adm}(G)) \overset{\sim}{\rightarrow} D^+_{adm}(G) := D^+(G) \cap D_{adm}(G)$ is an equivalence.

**Proof.** This follows from [Em2] Prop. 2.1.9 and [Har] Prop. I.4.8.

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