Facile synthesis of indolo[3,2-a]carbazoles via Pd-catalyzed twofold oxidative cyclization

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Abstract

A rapid and efficient route has been developed for the synthesis of 9-methoxycarbonylindolo[3,2-a]carbazole derivatives. The key steps in this approach involved an aromatic amination and an oxidative biaryl coupling. Via the present route, indolo[3,2-a]carbazole derivatives are available in 3–4 steps based on commercially available starting materials.

Introduction

Many biologically active carbazole derivatives are of interest as drug-like templates. The indolo[3,2-a]carbazole skeleton, one of the isomeric indolocarbazoles, was firstly identified from nature in 2002 [1]. Up to now, four members of this family were isolated from marine organisms (Figure 1) [1-3]. Preliminary results of the antimicrobial and cytotoxicity assays indicated that these compounds have medicinal potentiality to target neurological and psychiatric disorders [1,2].

Several methods for the construction of the indolo[3,2-a]carbazole skeleton were developed by Bergman [4,5], Cachet [6], and Zhiping Li [7]. Very recently, we also reported a novel strategy toward indolo[3,2-a]carbazoles [8], and the key transformations involved a biomimetic transamination/aromatic cyclization sequence to deliver 9-methoxycarbonyl indolo[3,2-a]carbazole derivatives. Previous literature aimed at providing rapid and efficient access to indolo[3,2-a]carbazoles, however, most of them have some disadvantages. Few methods were reported to be suitable for scale-up preparation and the introduction of substituents to aromatic rings was also inconvenient. It could still be necessary to exploit more practical methods and economic procedures to assemble these polycyclic molecules.

In this paper, we describe a concise, 3 to 4 steps procedure for the synthesis of indolo[3,2-a]carbazoles via palladium-catalyzed twofold oxidative cyclization.

Findings

The oxidative biaryl coupling reaction was originally described over 30 years ago using stoichiometric palladium(II) [9,10]. Quite recently, the reaction has been developed to proceed cata-
lytically and widely applied as an atom economic approach to carbazoles [11,12].

As an interest concerning the development of synthesis methods for carbazoles, we considered that indolo[3,2-a]carbazoles \( \text{1} \) which represents racemosin B and its analogues also could be conveniently delivered by bidirectional indole annihilations from methyl 2,4-dianilinobenzoates \( \text{2} \) (Scheme 1). Further disconnection of compounds \( \text{2} \) provided two commercially available basic fragments: 2,4-dibromobenzoic acid and anilines. Bergman et al. have described a synthetic route to indolo[3,2-b]carbazole by adopting twofold oxidative biaryl coupling as a key step [13], and the method has been further applied to the synthesis of malasseziazoles by Knölker et al. [14]. However, the main challenge in retrosynthetic analysis was to achieve the regioselective cyclization, since the formation of undesired regioisomeric monocyclized products would block the further cyclization. If the reaction follows the designed sequence, it would allow us to establish a novel and simple route to indolo[3,2-a]carbazole derivatives.

Our investigation started with the preparation of methyl 2,4-dianilinobenzoates \( \text{2a–e} \) (Scheme 2). The double Buchwald–Hartwig coupling of methyl 2,4-dibromobenzoate \( \text{3} \) (obtained by treatment of dibromobenzoic acid with sulfuric acid in methanol) with an excess of anilines in the presence of cesium carbonate and catalytic quantities of Pd(OAc)\(_2\) and BINAP were processed smoothly. The desired methyl 2,4-dianilinobenzoates \( \text{2a–e} \) were obtained in good to excellent yield. In a parallel synthesis, using the Houplis protocol [15], Cu-catalyzed selective monoaminations of the 2,4-dibromobenzoic acid at the 2-position were achieved. After methyl esterification of orthoaminated benzoic acids, substrates \( \text{4a} \) and \( \text{b} \) were treated with other anilines under the previous Buchwald–Hartwig conditions to afford methyl 2,4-dianilinobenzoate \( \text{2f–p} \) with various substituents at the aniline moieties.

Given the ease with which methyl 2,4-dianilinobenzoates could be assembled, further efforts were focused on the optimization studies of the key bidirectional indole annihilations using \( \text{2a} \) as the model substrate. To maximize efficiency and technical simplicity, we prefer to employ air at ambient pressure as the terminal oxidant, and hence only the combination of bases (K\(_2\)CO\(_3\) and NaOEt-Bu) and solvents (AcOH, TFA, PivOH) were screened to promote the demanding transformation (Table 1). Initial investigations were performed with \( \text{2a} \) and catalytic Pd(OAc)\(_2\) in AcOH at 110 °C under air (Table 1, entries 1 and 2). To our delight, the desired product could be formed...
Scheme 2: Reagents and conditions: (a) H$_2$SO$_4$, MeOH; (b) Ar-NH$_2$, Pd(OAc)$_2$, BINAP, dioxane, 100 °C; (c) 5 mol % Cu$_2$O, K$_3$PO$_4$, DMA, 70 °C.

Table 1: Optimization studies for annulation conditions.$^a$

| entry | [Pd] | base (equiv) | solvent | $t$ (°C) | yield$^b$ |
|-------|------|--------------|---------|----------|----------|
| 1     | 10 mol % | K$_2$CO$_3$ (0.1) | AcOH | 110 | 58% |
| 2     | 10 mol % | NaOtt-Bu (0.1) | AcOH | 110 | 68% |
| 3     | 10 mol % | NaOtt-Bu (0.1) | TFA | 90 | 0% |
| 4     | 10 mol % | K$_2$CO$_3$ (0.1) | AcOH | 120 | 0%$^c$ |
| 5     | 10 mol % | K$_2$CO$_3$ (0.1) | PivOH | 120 | 51% |
| 6     | 10 mol % | NaOtt-Bu (0.1) | PivOH | 120 | 85% |
| 7     | 5 mol % | NaOtt-Bu (0.1) | PivOH | 120 | 35%$^d$ |
| 8     | 10 mol % | NaOtt-Bu (0.1) | PivOH | 140 | 45% |
| 9     | 10 mol % | NaOtt-Bu (0.1) | PivOH | 120 | 69%$^e$ |

$^a$A mixture of compound 2a (150 mg, 0.47 mmol), Pd(OAc)$_2$ and basein 0.5 mL solvent was stirred and heated for 48 h under air (entries 1–3, 5–8).
$^b$Isolated yield of 1a. $^c$Reaction was carried out under Ar. $^d$Intermediate 5 was isolated in 42% yield from entry 7. $^e$Compound 2a (1.5 g, 3.14 mmol) with the catalyst in 7.5 mL PivOH was stirred for 72 h (entry 9).
without observing any byproduct, and the adoption of NaOt-Bu as base gave a better yield. We next replaced the acetic acid with other acidic solvents. The desired transformation could not take place in TFA (Table 1, entry 3), however, PivOH [16] was found to be the preferred solvent (Table 1, entry 6). Moreover, the 10 mol % of palladium was required for complete conversion of 2a within 48 h (Table 1, entry 7). Since the only intermediate 5 could be detected and isolated, it indicated that the two cyclizations of 1a did not happen simultaneously but followed a particular sequence. Further attempts to shorten the reaction time by enhancing the temperature from 120 °C to 140 °C significantly decreased the yield (Table 1, entry 8), on account of decomposition of both the starting material and the product may occur. To examine whether the desired transformation was suitable for scale-up, a gram-scale synthesis of 2a was also achieved (Table 1, entry 9).

With the optimized conditions in hand, the generality and scope of the reaction were also examined (Scheme 3). A range of precursors (2b–p) with various substituents were cyclized to afford

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**Scheme 3**: Substrate scope for Pd-catalyzed twofold annulations.
the desired products in moderate to good yields. Electron-withdrawing groups (CF$_3$, F) gave the highest yields. The introduction of electron-donating substituents such as methoxy and acetylamino groups significantly reduced the reactivity, thus the complete cyclization needed longer time and the yield was relatively lower. Moreover, a chlorine atom at the aromatic ring was tolerated under these conditions.

The meta-substituted starting materials 2i and 2j gave good regioselectivity. When a trifluoromethyl group was located at the meta-position, only one regioisomer 1i was observed. However, the selectivity was reversed when acetyl was present at the meta-position (5:1 for 2j). The main cyclized product of 2j was the corresponding ortho-acetyl product 1j. Unexpectedly, the reaction preferentially occurred at a more sterically hindered position.

**Conclusion**

In conclusion, a twofold C−H activation protocol applied to methyl 2,4-dianilinobenzoates facilitated a short and quick access to the cyclized products. Via the present route, indolo[3,2-a]carbazole derivatives are available in 3–4 steps based on commercially available starting materials. The operational simplicity combined with the convenience for introducing substituents to the aromatic rings makes this method useful.

**Supporting Information**

Supporting Information File 1
Experimental part and NMR spectra of synthesized compounds.
[http://www.beilstein-journals.org/bjoc/content/supplementary/1860-5397-12-243-S1.pdf]

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