Southern Hemisphere forcing of South Asian monsoon precipitation over the past ~1 million years

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The orbital-scale timing of South Asian monsoon (SAM) precipitation is poorly understood. Here we present new SST and seawater δ18O (δ18Osw) records from the Bay of Bengal, the core convective region of the South Asian monsoon, over the past 1 million years. Our records reveal that SAM precipitation peaked in the precession band ~9 kys after Northern Hemisphere summer insolation maxima, in phase with records of SAM winds in the Arabian Sea and eastern Indian Ocean. Precession-band variance, however, accounts for ~30% of the total variance of SAM precipitation while it was either absent or dominant in records of the East Asian monsoon (EAM). This and the observation that SAM precipitation was phase locked with obliquity minima and was sensitive to Southern Hemisphere warming provides clear evidence that SAM and EAM precipitation responded differently to orbital forcing and highlights the importance of internal processes forcing monsoon variability.
By 2050 over 5 billion people will live in the region directly influenced by the South Asian monsoon (SAM)\(^1\), for whom a better understanding of the forcing mechanisms and improved forecasts will be critical. The conventional view is that the SAM is primarily driven by interhemispheric temperature/pressure gradients between the Asian continent (particularly low pressure zones over the Tibetan Plateau and India) and the southern subtropical Indian Ocean\(^2\), although the role of Tibetan plateau summer warming has recently been challenged\(^3\). Variability in SAM precipitation over the instrumental period has been considered to be directly linked to ENSO in that monsoonal precipitation over land tends to decrease during El Niño years\(^4\). However, under the influence of global warming this relationship has become markedly less clear\(^5,6\). It is thus not surprising that simulating the basic aspects of the SAM remains difficult for even the most advanced coupled ocean–atmosphere general circulation models\(^7\). The same holds for our understanding of the link between monsoon precipitation and Northern Hemisphere (NH) summer insolation on orbital time scales, where insolation is modulated by Earth's precession, obliquity and eccentricity cycles\(^8\).

Previous studies of SAM variability on orbital time scales have mainly relied on wind-based proxies from the Arabian Sea\(^9,10\). Interpretation of the biological proxies is based on modern observations demonstrating that strong summer monsoon winds promote upwelling-driven productivity and a distinct plankton assemblage\(^11\). Lithogenic grain size proxies are directly linked to the transport capacity of the summer monsoon winds\(^12\). In the eastern Indian Ocean, Bolton et al.\(^13\) used \(\delta^{18}O\) gradients between two planktic foraminiferal species to reconstruct wind-driven upper ocean stratification changes which, unsurprisingly, exhibit orbital frequencies similar to the wind-driven proxies from the Arabian Sea. Several of these records indicate a consistent time lag of ~8–9 kyrs between peak NH summer insolation and peak wind intensity at the precession band\(^14,10\). This is in direct contrast to the speleothem \(\delta^{18}O\) records from central China that reflect the isotopic composition of East Asian monsoon (EAM) precipitation\(^14\) and lag NH insolation by only ~3 kyrs\(^11,12,15\) in the precession band. The trans-regional complexity of the monsoon response to orbital forcing is further demonstrated by a speleothem \(\delta^{18}O\) record from Southwest China that is directly in phase with precession\(^16\). The fact that the \(\delta^{18}O\) signal of precipitation does not directly reflect rainfall amount\(^17\) implies that records integrating the signal from large river catchments are more likely to reflect monsoon rainfall. A new \(\delta^{18}O\)sw record integrating the signal from the Yangtze river valley indicates that precession and direct insolation forcing were not the dominant drivers of the EAM\(^20\). This highlights a fundamental lack of understanding of the internal climate processes regulating the Asian monsoon response to insolation forcing. This situation is perpetuated by the lack of proxy records of monsoon precipitation variability rather than that of wind. The assumption that monsoon rains and winds have been linearly related in the past has yet to be tested and, given that it is the amount and intensity of monsoon precipitation which are of direct societal relevance, filling this knowledge gap is vitally important. The ideal location for recovering such records is the core convective region of the South Asian monsoon in the Bay of Bengal where the existing short monsoon precipitation record\(^21\) can now be extended using recently obtained drill cores.

The Bay of Bengal has a low-salinity surface “boundary layer”, that is present year round, caused by river runoff and direct precipitation over the ocean (Fig. 1). The net annual surface freshwater budget (i.e. precipitation plus runoff minus evaporation) is primarily driven by intense freshening of the surface ocean during the summer monsoon season (see Supplementary Fig. 1 Summer net precipitation in the Asian monsoon domain and modern day salinity and \(\delta^{18}O\)sw measurements. a Precipitation minus evaporation (mm/day) in the Asian monsoon domain for the period 1979–2015 (precipitation and evaporation data from ERA-Interim global reanalysis dataset). Andaman Sea core sites NGHP 17 (ref. 27) and SSK 168 (refs. 28,29) are shown in yellow and dark green-filled circles. Filled circles show cave and core locations from mainland China\(^5,19\), the Arabian Sea\(^9,10\) and the equatorial eastern Indian Ocean (ODP758)\(^13\). b Paired \(\delta^{18}O\)sw and salinity measurements from surface water samples collected from the Andaman Islands in 2011 and 2013 (see Methods) and estimates of \(\delta^{18}O\)sw calculated based on planktic foraminifera late Holocene (0–2 kyrs) \(\delta^{18}O\) values and modern day mean annual SST\(^20\) (see Methods for \(\delta^{18}O\)-temperature calibration equation) for six core locations along the N-S transect shown in black circles with numbers (see legend). Measured \(\delta^{18}O\)sw values shown in red-filled circles are from Achyuthan et al.\(^30\) and are collected close to the Andaman Islands in December 2008.
Note 1 for detailed description of the regional oceanography) as confirmed by in situ observations of salinity in the Bay of Bengal and the Andaman Sea. Surface salinity (SSS) variability in the Andaman Sea and Bay of Bengal is characterised by a semi-annual cycle of mixed layer and thermocline depth variations intrinsically linked to the seasonally reversing monsoonal circulation. Some of this is the result of wind-driven mixing but the amount of freshwater in the boundary layer is ultimately controlled by monsoon precipitation in preceding years.

Here, we combine Mg/Ca measurements (a proxy for the temperature prevailing during calcification) and oxygen isotope ($\delta^{18}O$) analyses of the calcite shells of mixed-layer dwelling planktic foraminifera *Globigerinoides sacculifer* (present all year round in the Bay of Bengal) in core NGHP 17 (ref. 27) to generate a unique orbital scale SAM precipitation record for the last ~1 million years. Furthermore, we replicated these findings with a deeper dwelling species *Neogloboquadrina dutertrei* known to thrive under the summer monsoon conditions near the fresh boundary layer in the Bay of Bengal.

Our results reveal that SAM precipitation was weak during glacial maxima and generally stronger during interglacials. Superimposed on this, higher frequency variations of SAM precipitation peaked in the precession band ~9 kys after NH insolation maxima, in-phase with wind-driven changes in the Arabian Sea and upper ocean stratification in the Eastern Indian Ocean. In contrast to the precession-dominated wind-driven records and the speleothem records from the EAM domain, the precession band only accounts for ~30% of the total variance of SAM precipitation and thus cannot be considered the primary driving force. At the same time, the fact that precession-driven variability is missing in new $\delta^{18}O$sw records from the East China Sea provides the clearest evidence that the East Asian and South Asian monsoon systems have responded independently to orbital insolation changes. Our SAM precipitation record demonstrates that obliquity forcing has played a much larger role than previously considered and was triggered by Southern Hemisphere warming and cross hemispheric moisture transport rather than NH insolation.

**Results**

$\delta^{18}O$ and salinity relationship. The $\delta^{18}O$ signature of planktic foraminifera is a function of calcification temperature and the $\delta^{18}O$ of ambient seawater ($\delta^{18}O_{\text{sw}}$). The close relationship between salinity and the oxygen isotope ($\delta^{18}O$) composition of seawater in the region is verified by our new paired $\delta^{18}O_{\text{sw}}$ and salinity measurements of surface water samples collected from the Andaman Islands in 2011 and 2013 (Fig. 1). The salinity and $\delta^{18}O_{\text{sw}}$ gradient across the Bay of Bengal is replicated by the $\delta^{18}O$ composition of planktic foraminifera from core sites across the Bay of Bengal confirming the fidelity of this proxy (Fig. 1). The Mg/Ca ratio of planktic foraminiferal calcite has the unique advantage of being an independent temperature proxy that is measured on the very same shells as $\delta^{18}O$. Paired Mg/Ca–$\delta^{18}O$ measurements therefore allow the accurate reconstruction of temperature and $\delta^{18}O_{\text{sw}}$ signals. Given the regional nature of salinity–$\delta^{18}O_{\text{sw}}$ relationships, we cannot extrapolate the modern relationship to provide absolute SSS values for the past (especially during drier intervals). However, the reconstructed changes in $\delta^{18}O_{\text{sw}}$ are principally a function of the amount of fresh surface water in the boundary layer and proportional to SSS changes in the Andaman Sea. This provides a unique, continuous summer monsoon precipitation intensity record from the heart of the SAM core convective region.

**Phasing of South Asian monsoon precipitation.** To understand the link between tropical SST in the Andaman Sea and monsoon precipitation, we analysed phase (time lead/lag) relationships between SST and $\delta^{18}O_{\text{sw}}$ records with respect to ETP. In addition, we compared the phasing with wind records (two Arabian Sea monsoon stacks hereafter denoted AS19 and AS210, and the recently published composite cave $\delta^{18}O$ records from Southwest19 and East China15 to understand the variability of the Asian monsoon systems on orbital time scales. Figure 4 summarizes coherence and phase relationships between our new Andaman Sea records and ETP in the precession and obliquity bands. Only precession and obliquity phase wheels are presented as the very small eccentricity changes trigger only negligible ~100 kyr power in seasonal or mean annual insolation variations and cannot account for the dominant ~100 kyr cyclicity. The SST record strictly follows peak insolation changes without delays. In stark contrast, the $\delta^{18}O_{\text{sw}}$ derived from the same samples significantly lags maximum NH insolation by ~9 kys in the precession band (Fig. 4). This is also consistent with the ~4 kyr phase lag of the $\delta^{18}O_{\text{sw}}$ record with respect to ice volume minima inferred from the benthic $\delta^{13}C$ record (see methods). In the obliquity band, the Andaman Sea $\delta^{18}O_{\text{sw}}$ records are nearly in-phase with obliquity minima. The $\delta^{18}O_{\text{sw}}$ lag appears to be close to ice volume maxima and sensible heat minima, which lead/lag obliquity maxima/minima by ~14 and 6 kys, respectively.

**Discussion**

Our new SAM precipitation record has the same precession-band phasing as the SAM wind-strength/upwelling records; all are offset by ~3 to ~5 kys with respect to minimum ice volume and the Chinese cave $\delta^{18}O$ records. This ~9 kyr lag between Andaman Sea SST and $\delta^{18}O_{\text{sw}}$ records demonstrates that direct NH summer insolation forcing has not set the timing and strength of SAM precipitation in the precession band. At the same time, nearly identical phasing between the thermocline $\delta^{18}O_{\text{sw}}$ and mixed layer $\delta^{18}O_{\text{sw}}$ (see Fig 4 and Supplementary Figure 10) records substantiate that the strong monsoon
precipitation signal has consistently been imprinted within the relatively fresh layer extending down to the thermocline. The strong coupling between basin-scale monsoon winds over the Arabian Sea and eastern Indian Ocean and SAM precipitation, and the significantly longer phasing offset with respect to minimum ice volume supports the role of Southern Hemisphere warming in triggering the cross-equatorial transport of latent heat powering the SAM circulation. Our results indicate broad-scale precession-band coherence within the SAM region, from the Arabian Sea through to the Bay of Bengal, as derived from a wide variety of monsoon proxies. These consistent results argue against the hypothesis that tropical monsoon (EAM and SAM) variability is dominated by and responds directly to NH summer solar radiation on orbital time scales, a hypothesis strongly debated since it was promoted by Wang et al. The new δ18Osw records from the East China Sea off the Yangtze river do not show the precession-related variability that dominates the speleothem records from Yangtze river valley caves, suggesting that EAM precipitation is more sensitive to internal forcing such as greenhouse gas and high-latitude ice sheet dynamics rather than directly responding to NH summer insolation. It is not surprising that a record of upper ocean stratification from the equatorial eastern Indian Ocean exhibits orbital frequencies similar to the wind-based proxies from the Arabian Sea given that the equatorial region is well beyond the influence of monsoon freshwater. The wind forced records from the Arabian Sea and Eastern Indian Ocean are dominated by orbital precession, while our new δ18Osw record of SAM precipitation is clearly distinct from both the SAM wind proxies and the new EAM precipitation records. This is indicative of complex internal forcing and demonstrates the spatial heterogeneity of monsoon precipitation response to orbital forcing over the vast Asian continent, as well as the decoupling of SAM precipitation from wind intensity and stratification changes

Fig. 2 Proxy timeseries. a Comparison of 100 kyrs eccentricity (blue) and 100 kyrs filtered δ18Osw. b Comparison of 41 kyr obliquity (blue) and 41 kyr filtered δ18Osw. c Comparison of 23 kyr precession (blue) and 23 kyr filtered δ18Osw. d Reconstructed SST at site 17. e Oxygen isotope ratios of planktic foraminifer G. sacculifer. f Ice volume corrected δ18Osw. Envelopes in d and f denote uncertainties in δ18Osw and SST records estimated by propagating errors introduced by the δ18O and Mg/Ca measurements and calibration equations, and are on average -1 °C and -0.3‰, respectively. Bars show major interglacial periods during the last ~1 Myrs. Also shown are δ18Osw phase lags with respect to the three main orbital frequencies (** denotes 95% CI)
Fig. 3 Cross spectral and spectral analysis of proxy timeseries. a Blackman-Tukey cross spectral analysis of LR04 (Ref.35) and NGHP 17 benthic oxygen isotope record indicating strong coherency at the three main orbital frequencies (95% CI). b Redfit Spectral analysis46 of ice volume corrected δ18Osw record. c Redfit Spectral analysis46 of ice volume corrected δ18Osw and thermocline δ18Osw records showing remarkably consistent cycles. Spectral analyses were performed on unevenly spaced time series with an oversampling factor of two and a Hanning window to define spectral peaks.

Fig. 4 Phase wheels illustrating Asian monsoon response to orbital forcing. a Obliquity (41 kyr) and b precession (23 kyr) phasing of Asian monsoon proxy records during the late Quaternary. The precession index is defined as $\Delta \sin \omega$, where $\omega$ is the longitude of the perihelion measured from the moving vernal point and $\varepsilon$ is the eccentricity of Earth’s orbit around the Sun52,53. Obliquity is the axial tilt of Earth’s rotation axis with respect to the orbital plane. In the phase wheel representation, the 12 o’clock position is set to precession minima ($P_{\text{min}}$; $\omega = 90^\circ$, 21st June perihelion) and obliquity maxima ($O_{\text{max}}$), respectively. Negative/positive phases are measured in the clockwise/anticlockwise direction and represent phase lags/leads relative to $P_{\text{min}}$ or $O_{\text{max}}$. Published records plotted (with shaded phase estimate errors) are the Arabian Sea summer monsoon stacks AS1 (ref.10) and AS2 (ref.9), composite cave δ18O records from southwest15 (shown in yellow circle) and east China19, upper ocean stratification record from the eastern Indian Ocean (EIO)13 and IV-corrected δ18Osw records from NGHP 17 (this study). Orange circle denotes 80% coherency The 95% and 80% confidence intervals for coherency are 0.79 and 0.64 with bandwidth 0.00423175. The interpolated time interval is 3.5 kyrs and covers 3 to 951 kyrs. Phase errors for the IV-corrected mixed layer and thermocline δ18Osw records at the precession band are ± 17° and ± 20°, respectively. Phase errors for the IV-corrected mixed layer and thermocline δ18Osw records at the obliquity band are ± 36° and ± 20°, respectively.
over the Arabian Sea and eastern Indian Ocean. In particular, the prevalence of distinct spectral peaks in the new SAM δ18Osw record at ~178 kyr and ~30 kyr, which represent heterodynes of the primary orbital periods demonstrates that the response of SAM precipitation to orbital forcing, similar to EAM precipitation, was strongly non-linear. SAM precipitation was clearly sensitive to internal processes that combine precession, obliquity and eccentricity band forcing documenting that precession forcing was not the sole driver of SAM precipitation.

In the obliquity band, the Andaman Sea δ18Osw records are nearly in-phase with obliquity minima. This is opposite to obliquity timing estimates of summer monsoon wind maxima in the Arabian Sea19,20, while records of upper ocean stratification from the Eastern Indian Ocean13 only show weak coherence with obliquity and negligible obliquity related variance. This demonstrates that SAM precipitation is mainly driven by different processes from those affecting SAM wind intensity, and is more sensitive to obliquity driven warming in the Southern Hemisphere high latitudes. The δ18Osw lag appears to be close to ice volume maxima and sensible heat minima, which lead/la δ18Osw maxima by ~14 kyr by respectively. This nearly 180° out-of-phase relationship between monsoon precipitation and maximum obliquity indicates that neither decreased ice volume nor increased latent heat export following obliquity maxima set the phasing of SAM precipitation. We instead propose that obliquity forcing has played a vital role in local monsoon intensification through enhanced cross-hemispheric atmospheric moisture fluxes given that the reduction in Earth’s obliquity forcing induce strong summer thermal gradients between the two hemispheres32. The observed monsoon intensification during periods of decreased obliquity forcing and some glacial stages (e.g. Fig. 2, MIS 4 and 6) is thus likely due to the net poleward moisture transport that was triggered by enhanced heat transport following Southern Hemisphere warming. In addition, asymmetric heating of the tropics following decreased obliquity may have led to a La Niña like state and a strengthening of the Walker circulation, which would have brought low pressure zones closer to the South Asian subcontinent33. On the other hand, the presence of the ~100 kyr cycle in these records (Fig. 3) indicates that sea-level changes may have also influenced basin isolation and monsoon intensification.

Our new records provide compelling evidence that precession-driven variability of SAM precipitation around the Andaman Sea is consistent with that observed in SAM wind/upwelling and upper ocean stratification proxies from the Arabian Sea and eastern Indian Ocean, supporting a strong sensitivity to Southern Hemisphere (SH) warming. However, in contrast to these records we show that obliquity plays a vital role in the orbital pacing of SAM precipitation via combined SH warming and asymmetric heating of the tropics. In the course of global warming of the last century, the wind speed over the Arabian Sea has increased while precipitation over India exhibited no clear trend34. This decoupling is similar to that observed between maximum obliquity forcing of past SAM winds and records of upper ocean stratification in the Eastern Indian Ocean13. In view of these results, the role of atmospheric moisture flux triggered by hemispheric insolation gradients and the feedback processes between ENSO and Southern Hemisphere climate need to be examined using transient model simulations in the future. A better understanding of these mechanisms will significantly improve the prediction of SAM precipitation.

**Methods**

**Age model and oxygen isotope stratigraphy of NGHP 17.** In 2006, the Indian National Gas Hydrate Program (NGHP) used the IODP vessel JOIDES Resolution to core Site 17 (10° 45.19′ N, 93° 6.74′ E) in a water depth of 1356 m in the Andaman Sea27. The upper 118 m of foraminifera-rich nanofossil ooze was APC Core with excellent recovery, and Scannet present data here with excellent data. The core of site 17 was constructed by aligning unambiguous glacial–interglacial ~100 kyr cycles of the benthic δ18O record with an amplitude of 1.75‰, with equivalent features in the LR04 global benthic δ18O stack25 using Analysers36 (Supplementary Figure 1). C. wuellerstorfi and C. mundulus are enriched in foraminiferal species and δ18O values were adjusted to equilibrium by adding 0.64 following Shackleton et al.37. For the upper section of the core, the age model was further supported by five 14C dates converted to conventional ages and the identification of the youngest Toba ash layer38. The AMS 14C ages were corrected for reservoir age locally39. Core scars that were determined locally40. Core scars that were determined locally.

The observed monsoon δ18Osw record (Fig. 11) indicates that sea-level changes may have also influenced basin isolation and monsoon intensification. Our new records provide compelling evidence that precession-driven variability of SAM precipitation around the Andaman Sea is consistent with that observed in SAM wind/upwelling and upper ocean stratification proxies from the Arabian Sea and eastern Indian Ocean, supporting a strong sensitivity to Southern Hemisphere (SH) warming. However, in contrast to these records we show that obliquity plays a vital role in the orbital pacing of SAM precipitation via combined SH warming and asymmetric heating of the tropics. In the course of global warming of the last century, the wind speed over the Arabian Sea has increased while precipitation over India exhibited no clear trend34. This decoupling is similar to that observed between maximum obliquity forcing of past SAM winds and records of upper ocean stratification in the Eastern Indian Ocean13. In view of these results, the role of atmospheric moisture flux triggered by hemispheric insolation gradients and the feedback processes between ENSO and Southern Hemisphere climate need to be examined using transient model simulations in the future. A better understanding of these mechanisms will significantly improve the prediction of SAM precipitation.

**Modern salinity measurements.** Seawater samples were collected from a wooden boat or while snorkelling around the Andaman Islands in 2011 and 2013 in acid
pre-cleaned 1 litre PE bottles rinsed with 18.2 MΩ water. Samples were taken with minimal air space and were filtered on the day of collection through 0.2 micron cellulose nitrate membrane filters. Directly after filtering, 1.5 mL glass vials were filled with sample, so no air bubbles were present, and the lid with septum was sealed using parafilm. Samples were analysed for δ18O and δD by isotope ratio infrared spectroscopy (L.1102; WSS-CRDS, Picarro Inc., Santa Clara, CA, USA) at the Friedrich-Alexander University Erlangen-Nürnberg, Germany. All values are reported in the standard δ notation (‰) versus SMOW and external reproducibility based on repeated analyses of a control sample was better than 0.1% and 0.5‰ (± 1 sigma) for δ18O and δD, respectively. A detailed description of the analytical procedure used is given in van Geldern and Barth. Following stable isotope analyses, the chloride concentration of the samples was determined by titration with silver nitrate using a METROHM auto-titrator. IAPSO standard seawater (Cl = 19.376 g/kg or as specified on the bottle; the sum of chloride and bromide is 559 mM) was used to calibrate the results and the precision is estimated to be 0.3% based on repeated measurements of IAPSO seawater. Salinity was calculated by assuming all chloride was associated with Na.

**Uncertainty estimation.** Uncertainties in δ18Osw and SST are estimated by propagating errors introduced by the δ18O and Mg/Ca measurements, and by the calibration equations for both temperature and the δ18Osw. Uncertainties in SST and δ18Osw are on average ~1 °C and ~0.3‰, respectively. For the SST and δ18Osw estimates, the following equations from Mohr et al. were used to propagate the errors.

**SST calibration and error propagation.** SSTs were calculated using the multitemperature equation of Anand et al. This is given as $T = a + b(\delta^{18}O_{water} - \delta^{18}O_{seawater})$, where $a = 16.5 ± 0.2 °C$ and $b = -4.80 ± 0.16 °C$ and $T$ is estimated from Mg/Ca measurements as shown above.

Errors associated with δ18Osw are estimated by propagating the errors in $a$, $b$, and Mg/Ca measurement errors and is given as:

$$\sigma_{T} = \left( \frac{\partial T}{\partial \delta^{18}O_{water}} \sigma_{\delta} + \frac{\partial T}{\partial Mg/Ca} \sigma_{Mg/Ca} \right)^{2}$$

where,

$$\sigma_{\delta} = \frac{1}{\sigma_{\delta}} + \frac{1}{\sigma_{\delta}} + \frac{1}{\partial \delta^{18}O_{water} / \partial \sigma_{\delta} \partial Mg/Ca} \sigma_{Mg/Ca} \sigma_{\delta}.$$
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Author contributions
E.C.H. and L.G. designed the study. D.G. and E.C.H. performed the analyses. D.G. conducted the data analysis and led the writing of the paper with all authors contributing to data interpretation and the text.

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