Understanding and mitigating biases when studying inhomogeneous emission spectra with *JWST*

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ABSTRACT

Exoplanet emission spectra are often modelled assuming that the hemisphere observed is well represented by a horizontally homogenized atmosphere. However, this approximation will likely fail for planets with a large temperature contrast in the *James Webb Space Telescope (JWST)* era, potentially leading to erroneous interpretations of spectra. We first develop an analytic formulation to quantify the signal-to-noise ratio and wavelength coverage necessary to disentangle temperature inhomogeneities from a hemispherically averaged spectrum. We find that for a given signal-to-noise ratio, observations at shorter wavelengths are better at detecting the presence of inhomogeneities. We then determine why the presence of an inhomogeneous thermal structure can lead to spurious molecular detections when assuming a fully homogenized planet in the retrieval process. Finally, we quantify more precisely the potential biases by modelling a suite of hot Jupiter spectra, varying the spatial contributions of a hot and a cold region, as would be observed by the different instruments of *JWST/NIRSpec*.

We then retrieve the abundances and temperature profiles from the synthetic observations. We find that in most cases, assuming a homogeneous thermal structure when retrieving the atmospheric chemistry leads to biased results, and spurious molecular detection. Explicitly modelling the data using two profiles avoids these biases, and is statistically supported provided the wavelength coverage is wide enough, and crucially also spanning shorter wavelengths. For the high contrast used here, a single profile with a dilution factor performs as well as the two-profile case, with only one additional parameter compared to the 1D approach.

Key words: radiative transfer – methods: analytical – techniques: spectroscopic – planets and satellites: atmospheres.

1 INTRODUCTION

Exoplanet atmospheres have been studied through transmission and emission spectroscopy in the past 15 yr. Since the first detection by Charbonneau et al. (2005), ground- and space-based observatories have provided a wealth of observations. Emission spectra have mostly been carried observed with the *Hubble Space Telescope (HST)* and the *Spitzer Space Telescope*, revealing a diversity of thermal structure and atmospheric composition (e.g. Kreidberg et al. 2014; Schwartz et al. 2017; Stevenson et al. 2017; Parmentier et al. 2018; Garhart et al. 2019; Irwin et al. 2019; Mikal-Evans et al. 2019). However, most of these observations cover a narrow wavelength range (1.1–1.7 μm for *HST*) or are only band-averaged measurements. In the coming decades, new telescopes such as the *James Webb Space Telescope (JWST)* (Greene et al. 2016), the *Atmospheric Remote-sensing Infrared Exoplanet Large-survey (ARIEL)* (Tinetti et al. 2018), and the E-ELT (Brandl et al. 2018) will improve both the precision and the wavelength coverage of these observations by an order of magnitude.

One method of studying the atmospheric constituents of an exoplanet is by employing an atmospheric retrieval (Madhusudhan & Seager 2009; Line et al. 2013; Waldmann et al. 2015a,b; Bleicic, Dobbs-Dixon & Greene 2017; Cubillos et al. 2017; Benneke et al. 2019; Mollière et al. 2019). Atmospheric retrieval is the act of obtaining the physical and chemical characteristics of an atmosphere based on observations. Observations of exoplanet atmospheres often have a poor signal to noise and different combination of atmospheric parameters can often be compatible with the data. In order to quantify these degeneracies, atmospheric retrievals are often carried out by computing thousands of models allowing to explore a large parameter space and quantify the degeneracies between parameters.

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However, when performing retrievals on a data set, one has to first carefully choose the forward model that will be used for the data analysis. Such a choice includes a series of assumptions allowing one to reduce the parameter space to explore. These assumptions can sometimes bias the retrieval results towards unrealistic values, leading to precise, but wrong inferences about the atmospheric composition of an exoplanet atmosphere.

In this paper, we will look specifically at the assumption that atmospheres are horizontally homogeneous. Such a 1D assumption has been widely used to study exoplanet atmospheres (e.g. Kreidberg et al. 2014; Barstow et al. 2016; Evans et al. 2017, 2018; Evans et al. 2017). However, it is clear that the atmosphere of exoplanets are often intrinsically three-dimensional. In the specific case of tidally locked hot Jupiters, the large irradiation received on the dayside and the poor day-to-night heat redistribution can lead to variations of up to a thousand degrees between the dayside and the nightside (Showman & Guillot 2002; Knutson et al. 2007; Parmentier & Crossfield 2017).

Recently, Feng et al. (2016) showed that when retrieving the spectrum of an inhomogeneous planet with a 1D model the inferred molecular abundances could be strongly biased. In several cases, this could even lead to spurious molecular detections. They concluded that such biases can already be seen in current data, but will likely be much stronger when better observations become available. For their JWST-like simulations ($\lambda = 1–10 \mu$m) they find that 2D effects become significant if the temperature contrast between two thermal profiles observed at planetary quadrature is larger than 40 per cent.

This study follows up on the one of Feng et al. (2016). Our goal is to understand the mechanisms leading 1D retrieval models to infer the presence of spurious atmospheric constituents when applied to observations from inhomogeneous atmospheres. For this, we first develop a simple analytical model of the effect that an inhomogeneous thermal structure can have on the emission spectrum of a planet (Section 2). This allows us to quantify the signal-to-noise ratio necessary to be able to measure the presence of inhomogeneities on the planetary disc. We then look more specifically than Feng et al. (2016) on the consequences of an inhomogeneous atmosphere on the retrieved abundances when different instrument modes are used, focusing particularly on JWST/NIRSpec (Sections 3 and 4).

We find that in most cases, retrieval models will infer biased molecular abundances from the JWST/NIRSpec observations of hot Jupiter atmospheres unless the inhomogeneous nature of the atmosphere is taken into account. Finally, in Section 4.7, we uncover the mechanism responsible for the spurious inferences of molecular constituents in planetary atmospheres. We conclude that considering the presence of an inhomogeneous atmosphere will be crucial to avoid spurious molecular detections and obtain accurate molecular abundances in the JWST era.

2 DETECTABILITY OF TP INHOMOGENEITIES

We start by deriving an analytical model to determine what precision and wavelength range are necessary to detect the presence of horizontal inhomogeneities based on a single spectrum only. In order to simplify the problem, we will assume that a fraction $x = x_0$ of the visible disc emits like a blackbody at the temperature $T_{\text{hot}} = T_0$ whereas the rest of the disc is not emitting any light (i.e. $T_{\text{cold}} = 0$). The resulting spectrum, plotted in Fig. 1, is therefore a blackbody spectrum diluted by a factor $x_0$.

![Figure 1](https://example.com/figure1.png)

**Figure 1.** Plot showing two planetary spectra considering that a part $x$ of the atmosphere emits like a blackbody and the other part is not emitting any light. The blue curve has a $\beta$ value of 1.2 and the red curve has the value of 0.8, the function has been plotted using equation (6). The hotter profile covers a smaller fraction of the surface ($x = 0.2$), the opposite is true for the colder profile ($x = 0.8$). For a given wavelength, there exists more than one combination of these parameters which give the same flux output. Note that at a given wavelength, there exists multiple atmospheric scenarios which can give the same flux output.

As shown by the lines crossing in Fig. 1, for a given wavelength $\lambda_0$, the flux $F(\beta_0)$ that is observed could also correspond to a planet with a hotter hot part covering a smaller area or a colder hot part covering a larger area. Indeed, if observations at a single wavelength are made, there is an intrinsic degeneracy between the temperature of the hot region and the area covered by it. If we write the hot temperature as $T_{\text{hot}} = \beta T_0$, we can write the flux emitted by the planet as

$$F(\lambda) = x B(\beta, \lambda) \times \frac{\pi a^2}{D^2}, \quad (1)$$

where $a$ is the planet radius and $D$ is the distance from the Earth and $B(\beta, \lambda)$. For convenience, we will now assume $\pi a^2 = 1$. $B(\beta, \lambda)$ is the Planck function taken at a temperature $T = \beta T_0$:

$$B(\beta, \lambda) = \frac{2hc^2}{\lambda^5} \frac{1}{e^{hc/\lambda kT} - 1}. \quad (2)$$

The total flux emitted by the planet at a given wavelength can be written as

$$F(\lambda) = x_0 B(\beta_0, \lambda). \quad (3)$$

For a specific wavelength $\lambda_0$, the ensemble of models that can match the planetary flux is described by

$$F(\lambda_0) = x_0 B(\beta_0, \lambda_0) = x B(\beta, \lambda_0). \quad (4)$$

This can also be written as a relationship between $\beta$ and $x$:

$$x = \frac{x_0 B(\beta_0, \lambda_0)}{B(\beta, \lambda_0)}. \quad (5)$$

We can see that at a given wavelength $\lambda_0$, there exists a continuous ensemble of solutions ($\beta, x$) that can match the planetary flux. However, as is seen if Fig. 1, the different solutions will diverge and become distinguishable away from $\lambda_0$. We now wonder what additional observations could allow us to distinguish between the real planet parameters $x_0$, $\beta_0$, and the ensemble of solutions degenerate at $\lambda = \lambda_0$. We first write the flux of one of these
solution as
\[ F(\lambda) = xB(\beta, \lambda) = \frac{x_0 B(\beta_0, \lambda_0)}{B(\beta, \lambda_0)} \times B(\beta, \lambda). \] (6)

Now let us imagine that we have two observations, one at a wavelength \( \lambda_0 \) and one at a wavelength \( \lambda_0 + \Delta \lambda \). We want to derive a criteria to understand what signal-to-ratio would be necessary to disentangle between the true solution and a planet model where the temperature of the hot part of the atmosphere differ from the cooler part by \( \Delta \beta \).

If the difference between the profiles is larger than the error on the measurement (\( \sigma_{F_p} \)) then the model at \( \beta_0 + \Delta \beta \) will not be a good fit to the observations. This can be written as
\[ |F(\lambda_0 + \Delta \lambda, \beta_0, x_0) - F(\lambda_0, \beta_0 + \Delta \beta, x_0)| > \sigma_{F_p}. \] (7)

We now suppose that we try to disentangle two models that differ by a small value \( \Delta \beta \). We further assume that the model fluxes are approximately linear over the wavelength range \( \Delta \lambda \) considered.

This last approximation would hold best away from the peak of the Planck function. For a \( \Delta \lambda \) of 1 \( \mu \)m the error induced by the linearization is going to be at maximum of a factor 2 to 3. As seen later, even a factor 2 is a good enough as the flux variations can span orders of magnitude difference when varying \( \beta \) and \( \Delta \lambda \).

We perform a Taylor expansion on equation (7) of order one (see equations A1 and A2) as a function of \( \beta \) and \( \lambda \), which leads to the following equation:
\[ \frac{\partial}{\partial \beta} \left( \frac{\partial F}{\partial \lambda} \right)_{\lambda_0, \beta_0} \Delta \lambda \Delta \beta > \sigma_{F_p}. \] (8)

We now divide both sides of (8) by the flux \( (F_p) \) and define the signal-to-noise ratio as \( SNR = \frac{F_p}{\sigma_{F_p}} \), hence we obtain a criterion for the detectability of spatial inhomogeneities in a planet atmosphere:
\[ \frac{1}{F_p} \frac{\partial}{\partial \beta} \left( \frac{\partial F}{\partial \lambda} \right)_{\lambda_0, \beta_0} \Delta \lambda \Delta \beta > \frac{\sigma_{F_p}}{F_p} \approx \frac{1}{SNR}. \] (9)

We note that this equation can be seen as a function of \( \lambda_0 \) and \( \beta_0 \) or as a function of \( \lambda_0 \) and \( x_0 \) since \( \beta_0 \) and \( x_0 \) are related by equation (5).

We use this criterion to show the ability to disentangle 2D effects even away from the peak of the Planck function. For a \( \Delta \lambda \) of 1 \( \mu \)m the error induced by the linearization is going to be at maximum of a factor 2 to 3. As seen later, even a factor 2 is a good enough as the flux variations can span orders of magnitude difference when varying \( \beta \) and \( \Delta \lambda \).

Lastly, the contour lines scale with the temperature contrast \( \Delta \beta \) as seen from equation (9), with a larger temperature contrast shifting the contour lines to the right, hence requiring a lower SNR, this effect is marginal.

We can see that the contour line at 1 micron corresponds to an SNR \( \sim 1 \) for a low contrast (\( \Delta \beta = 0.2 \)) This means that with the observation centred at 1 micron, and a wavelength coverage of 2 microns (\( \Delta \lambda \)), we are able to easily disentangle 2D effects even if the secondary eclipse is measured with an SNR of 1 only. The SNR required increases as we move to longer wavelengths, where at 10 microns we need an SNR in the range 10–100. To conclude, we find that observing shorter wavelengths and a large wavelength coverage is best to disentangle temperature inhomogeneities from a disc-averaged spectrum.

3 JWST SIMULATIONS

3.1 Forward model
We use the Non-linear optimal Estimator for MultivariatE spectral analySIS code NEMESIS (Irwin et al. 2008) to compute our model spectra. NEMESIS uses the correlated-f approach (Lacis & Oinas 1991) to model the spectra. We built our k-distribution lookup tables to have a resolution (full width at half-maximum) of \( \Delta \lambda = 0.02 \mu \)m, using line data from various sources. For this study, the molecules used are: H2O (Barber et al. 2006), CO (Rothenfusser et al. 2010), CO2 (Tashkun & Perevalov 2011), and CH4 (Yurchenko & Tennyson 2014). As we are modelling an atmosphere that is H2-dominated, we also modelled the H2–H2 and H2–He collisionally induced absorptions (Richard et al. 2012).

3.2 TP profile parametrization
To model our thermal profiles, we employ the five-parameter, three-channel description of the incoming and outgoing fluxes presented in Parmentier & Guillot (2014). Briefly, the temperature as a function of the thermal optical depth, \( \tau \), is given by
\[ T^4(\tau) = \frac{3T_{\text{em}}^4}{4} \left( \frac{2}{3} + \tau \right) + \frac{3T_{\text{em}}^4}{4} (1 - \alpha) \zeta(\tau) + \frac{3T_{\text{em}}^4}{4} \alpha \zeta(\tau). \] (10)

with \( \zeta(\tau) \) given by
\[ \zeta(\tau) = \frac{2}{3} + \frac{2}{3\gamma} \left[ 1 + (\gamma \tau - 1) e^{-\gamma \tau} \right] + \frac{2\gamma}{3} \left( 1 - \frac{\tau^3}{2} \right) E_2(\gamma \tau). \] (11)
The parameters $\gamma_1$ and $\gamma_2$ are the ratios of the mean opacities in the two visible streams to the thermal stream: $\gamma_1 = \kappa_{v1}/\kappa_{IR}$ and $\gamma_2 = \kappa_{v2}/\kappa_{IR}$. The parameter $\alpha$ has a range between 0 and 1 and is the weighting used between the two visible streams: $\kappa_{v1}$ and $\kappa_{v2}$. $E_2(\gamma, \tau)$ is the second-order exponential integral function. $T_{int}$ is the internal temperature of the planet and it is usually a fixed value as it does not have much effect on the spectra as long $T_{int} \ll T_{star}$. Here, we have fixed it to a value of 200 K following Line et al. (2013). $T_{star}$ is the radiative equilibrium temperature and is of the form

$$T_{int} = \beta \left( \frac{R_*}{2a} \right)^{1/2} T_* ,$$

where $R_*$ and $T_*$ are the stellar radius and temperature, respectively, $a$ is the semimajor axis of the planets orbit, and $\beta$ is a parameter which is used as a proxy for determining how hot or cold a profile is. Finally, the parameter $\tau$ is the grey infrared opacity

$$\tau = \frac{\kappa_{IR} P}{g} ,$$

where $\kappa_{IR}$ is the mean infrared opacity, $P$ is the pressure in the atmosphere, and $g$ is the surface gravity, which we consider to be constant with a value of $\log (g) = 3.69$ cm s$^{-2}$ at the 1 bar level (calculated using the mass and radius presented in Table 1). The profile therefore has five free parameters: $\alpha$, $\beta$, $\kappa_{IR}$, $\gamma_1$, and $\gamma_2$.

Table 1. Values used to create the forward model atmospheres. In column 1, we present the volume mixing ratios of the molecules which make up the atmosphere, with the rest of the atmosphere consisting of 85 per cent H$_2$ and 15 per cent He. In columns 2 and 3, we present the physical parameters of the planet WASP-43b and the star WASP-43, respectively (Gillon et al. 2012). In column 4, we present the parameters used to create the two TP profiles used in this study.

| Chemistry | Planet | Star | TP |
|-----------|--------|------|----|
| log H$_2$O | $-3.42$ | $R_p$ ($R_J$) | $1.036$ | $R_*$ ($R_\odot$) | $0.667$ | log $\kappa_{IR}$ | $-1.0$ |
| log CO | $-3.34$ | $M_p(M_J)$ | $2.052$ | $T_*$ (K) | $4520$ | log $\gamma_1$ | $-1.0$ |
| log CO$_2$ | $-6.63$ | $\log (g)$ (cm s$^{-2}$) | $3.69$ | $M_*(M_\odot)$ | $0.717$ | log $\gamma_2$ | $-1.0$ |
| log CH$_4$ | $-8.20$ | $T_{int}$ (K) | $200$ | [Fe/H] | $-0.01$ | $\alpha$ | $0.5$ |
|                    | $a$ (au) |                | $0.01526$ |            |            | $\beta_{hot}$ | $1.0$ |
|                    |            |                |            |            |            | $\beta_{cold}$ | $0.4$ |

Figure 3. The temperature–pressure (TP) profiles used to generate the model atmosphere for this study. The parametrization of the TP profiles are presented in Line et al. (2013) and Parmentier & Guillot (2014). We present a brief description in Section 3.2.

Figure 4. Spectra for the hot ($\beta_{hot} = 1$, magenta) and cold ($\beta_{cold} = 0.4$, green) profiles, alongside the composite spectrum (red) produced with a weighting of $x = 80$ per cent. This composite spectrum was binned and noise added to produce synthetic data used in the retrieval study. For comparison, we also show (in blue) a spectrum generated using the average of the hot and cold TP profiles ($T_{average} = \frac{1}{2}(T_{hot} + T_{cold})$). Note the small, but visible differences between the composite and average spectra, especially at shorter wavelengths.

3.3 Composite spectra

Similar to Feng et al. (2016), we build a uniformly mixed, cloud-free model atmosphere assuming that part of the atmosphere is hotter than the rest. We now want to model the spectrum of a horizontally inhomogeneous planet atmosphere. For this, we assume that a projected fraction $x$ of the planet hemisphere we are looking at is hotter. To do this, we employ a linear weighting scheme

$$F_{weighted} = x F_{hot} + (1 - x) F_{cold} ,$$

where $F_{hot}$ is the spectrum generated from the hot 1D TP profile, $F_{cold}$ is the spectrum generated from the cooler 1D TP profile, and $x$ represents the weight applied to the hotter profile and is a free parameter in our retrievals, the TP profiles used are shown in Fig. 3. We vary the weights used to generate the observed spectra to be $x = 80$ per cent, 60 per cent, 40 per cent, and 20 per cent. Fig. 4 gives an example of how the observed spectrum was generated for a weighting of 0.8. In physical terms, if the hot and cold profiles correspond to the day- and nightside, respectively, then the weights $x = 80$ per cent, 60 per cent, 40 per cent, and 20 per cent represent approximately a planet observed at phase angles of $\theta = 144^\circ$, 108$^\circ$, 74$^\circ$, and 36$^\circ$, respectively (also 360$^\circ - \theta$).
3.4 Treatment of angles

We appreciate that when observing a planet at different points in its phase there is a need to consider different zenith angles at which a hotspot might be observed. However, we chose to fix the zenith angle to be 45° for all simulations to reduce the layer of complexity. It has been shown by Irwin et al. (2019) that for a 1D retrieval, the assumed zenith angle has second-order effects on the TP profile; as our technique is a combination of two 1D models, it should not have an extreme influence.

As we are focusing on the abundances retrieved rather than the temperatures, having a fixed zenith angle is a useful approximation. If the hotter region of the planet was modelled with a varying viewing angle, the retrieval would have to adjust the TP profile shape to compensate, which might affect the retrieved abundances.

3.5 WASP-43b

The planet and stellar parameters are based on the exoplanet WASP-43b and its star discovered by Hellier et al. (2011); we present the key parameters used in the modelling in Table 1. We use these parameters to generate a stellar spectrum from the Kurucz ATLAS9 model atmospheres (Castelli & Kurucz 2004). We use the average TP profile and assume equilibrium chemistry to calculate the volume mixing ratios (VMRs) using GGCHEM (Woitke et al. 2018) and take the approximate values at 100 mbar to create a uniformly mixed atmosphere; these values are also listed in Table 1. We appreciate that the atmospheres may not be uniformly mixed and that the different profiles may have different chemistry if the atmosphere is not quenched (Changeat et al. 2019). We aim to explore this in a later study. For this study, we use two TP profiles with a large contrast, representative of the expected day–night contrast for some hot Jupiters. Specifically, our model atmosphere is based on WASP-43b, which is known to have a day–night contrast of ∼1000 K (Kataria et al. 2015; Mendonça et al. 2018; Morello et al. 2019). The cold and hot profiles are consistent with retrieved TP profiles of the nightside and dayside, respectively, found in Stevenson et al. (2017). Other hot Jupiters have been shown to have even larger day–night contrasts (Komacek & Showman 2016; Parmentier & Crossfield 2017), so this study is by no means an extreme case.

3.6 Application to NIRSpec

Once the weighted spectrum is generated (i.e. the red spectrum shown in Fig. 4), it is binned to the wavelength grid of the different instruments in this study. We used PANDExO (Batalha et al. 2017) to generate the wavelength grid and noise for the NIRSpec Prism (0.6–5.3 μm), NIRSpec G395M (2.87–5.10 μm), NIRSpec G235M (1.66–3.07 μm), and NIRSpec G140M (0.97–1.84 μm); this is done for the integration time of the secondary eclipse of WASP-43b. For the NIRSpec Prism (nominal resolving power ∼100), we use the resolution of the largest bin step as shown in Krissansen-Totton et al. (2018) and for the different NIRSpec gratings (nominal resolving power ∼1000) we use a resolution of R = 100.

We chose to focus this study on the various NIRSpec instrument modes (G140M, G235M, G395M, and Prism) as these will be used for transiting exoplanet observations and also provide a large overlapping wavelength grid. We decided to also use the medium-resolution gratings (R ∼ 1000) as opposed to the higher resolution gratings (R ∼ 2700) to test the lower limits of the resolution obtained by JWST. We ask: is the wavelength coverage of these instruments good enough to break the degeneracy, hence requiring a 2D approach to better analyse the observations? And, if it is possible to retrieve the 2D effects, is there evidence to support a 2D approach over a 1D approach?

3.7 Retrieval

For the retrievals conducted in this study, we have wrapped the forward-modelling component of NEMESIS in a Bayesian framework, namely using a nested sampling approach (Feroz & Hobson 2008; Feroz et al. 2013), which we implemented using PYMULTINEST (Buchner et al. 2014). We use a nested sampling approach due to its ability to produce posterior distributions of the parameters and also for its efficiency in calculating the Bayesian evidence, which can be used in model comparison and selection.

We retrieve on the model atmospheres with three different retrieval styles: a 1D model (i.e. $F_{1D} = F_{average}$, where $F_{average}$ is the disc-averaged spectrum assuming a homogeneous temperature profile), a 1D model with a free parameter, which is able to dilute the spectrum ($F_{dilution} = sF_{average}$), and then a model with two TP profiles which follows equation (14), this model is 2D. These will be referred to as 1D, dilution, and 2D styles, respectively, from now on.

3.8 The dilution retrieval

Here, we employ a method we dub the ‘dilution retrieval’ where we consider the flux coming from the hot profile alone, effectively considering the colder profile to exhibit zero flux output. Hence, it follows the style of equation (14) with the second term equaling zero i.e.

$$F_{dilution} = sF_{hot} + (0 \times F_{cold}). \quad (15)$$

The parameter $s$ effectively scales the 1D forward model $F_{hot}$ to fit to the observations. The forward model will be of a higher temperature than the disc average as it is seeing a smaller emitting area on the disc of the planet, we show this in Section 2. The scaling is wavelength independent and mutes the features equally.

The ‘dilution’ factor, $s$, retrieved is consistent with the weighting of the hot profile. The technique gives freedom for the retrieval to adjust to accommodate systematics in the observations as well as account for the non 1D nature of the spectrum. This technique is useful to use as it reduces the number of free parameters needed to conduct a meaningful retrieval simulation (extra parameter compared to the parameters needed to simulate a second TP profile). This makes sense to use when considering an emission spectrum where the colder part of the planet is much colder than the hotter part, hence being completely dominated by the spectral features in the hotter region. For the large temperature contrast, the colder region could also be seen as a cloudy profile (Irwin et al. 2019).

3.9 Bayesian evidence and model selection

We use Bayesian model comparison to evaluate the statistical significance of one retrieval model over another (e.g. Ford &
Gregory 2007; Schulze-Hartung, Launhardt & Henning 2012). We compute the Bayes factor $\ln B = \ln Z_2 - \ln Z_1$, where $Z_1$ and $Z_2$ are the evidences for the 1D and 2D models, respectively, as calculated by the nested sampling algorithm. If the Bayes factor is significantly less than unity ($\ln B < 0$), the data support model 1 (1D model). If it is significantly greater than unity, the reverse is true. If $|\ln B|$ is close to zero, one cannot discriminate between the two models. It is common practice, when using the Bayes factor for model comparison, to interpret the results using the adapted Jeffrey scale (Kass & Raftery 1995). For example, the preference for model 2 over model 1 is considered statistically significant only if $\ln B > 3$. However, our target audience of astronomers and planetary scientists is most familiar with degrees of significance expressed in terms of the number of standard deviations, $\sigma$ (these are directly related to confidence intervals if the distribution in question is Gaussian). To make our results easily accessible to this audience, we converted our $\ln B$ values to a number of standard deviations, following the prescription of Trotta (2008). We then consider a particular model to be strongly favoured if it is supported at the $>3.6\sigma$ level.

4 RESULTS

We aim to show which atmospheric retrieval style was most appropriate in retrieving on an atmosphere which has two TP profiles. The results of these simulations are shown in Table 2 and are for the different planet types: with 80 per cent, 60 per cent, 40 per cent, and 20 per cent weighting applied to the hot profile, where the hot profile has a $\beta_{\text{hot}} = 1.0$ and the cold profile has a $\beta_{\text{cold}} = 0.4$. These results are instructive: they show when there is evidence to suggest that two TP profiles and also a more simple dilution retrieval will be needed to perform a more robust analysis of data obtained from different NIRSpec observing modes. Alongside the $\sigma$-significance of the retrieval method, we have presented whether or not the retrieved chemistry is biased (B) or unbiased (U). For this study, we define an unbiased retrieval to be one where the VMR of the species that have features in the wavelength region observed were constrained to values within $2\sigma$ of the input values. We define a biased retrieval to be one where one of the molecular abundance is constrained outside $2\sigma$ of the true value.

In the next subsections, we describe our results for different instruments and different planet models. For each observing mode apart from Prism and G140M, we have presented the best-fitting retrieved models and accompanying posterior distributions for the 60 per cent case in the Appendix. For Prism, we show the best-fitting retrieved models and accompanying posterior distributions for the 20 per cent and 80 per cent case in the main text; we also show the G140M 60 per cent case in the main text. The rest of the results are just described.

4.1 NIRSpec G140M

This is the most narrow wavelength region (0.97–1.84 $\mu$m) which is similar to the coverage of the Wide Field Camera 3 (WFC3) on the HST (1.1–1.7 $\mu$m): hence, the main absorber in this region is H$_2$O (See Fig. 5). This instrument would therefore be ideal if repeat studies of objects observed with WFC3 were required at a higher spectral resolution.

With regard to the ability to disentangle the contribution from two profiles, Table 2 shows it has the capability to do so with a planet with 60 per cent, 40 per cent, and 20 per cent of the flux contribution coming from the hot profile. For these simulations, the 1D retrieval...
style produced biased chemistry results, whereas the 2D and the dilution approaches did not. As the main absorber in this region is H$_2$O, an unbiased retrieval would be one where it was possible to constrain the abundance of this molecule and it was only possible to put an upper limit on the abundance of the other molecules. We present in Fig. 6 a comparison for the 60 per cent scenario, where the 2D and dilution retrievals were unbiased and the 1D was biased.

In the $x = 80$ per cent case, the H$_2$O abundance was constrained correctly for the 1D retrieval. However, we also obtain a tight constraint on the CO$_2$ abundance at three orders of magnitude higher than the input value, corresponding to a spurious detection. For $x = 60$ per cent, 40 per cent, and 20 per cent the H$_2$O abundance from the 1D retrieval was accurately constrained to smaller values than the input and CO was constrained to an order of magnitude larger than the input. Again this would be a spurious detection of CO as the absorption due to H$_2$O is roughly one order of magnitude higher than CO in this region (see Fig. 5).

For an atmosphere that has a flux contribution that is 80 per cent from the hotter profile, it is not possible to distinguish between 2D or 1D retrieval styles. This can be understood as the contribution from the colder profile, which already has muted spectral features, now has only a 20 per cent contribution to the final spectrum, which are lost in the noise.

4.2 NIRSpec G235M

For all of the cases apart from $x = 20$ per cent, we see that only the 2D or the dilution retrieval styles can correctly interpret the data. However, for the $x = 80$ per cent case, the significance of using 2D or the dilution over a 1D style would be considered as a non-detection; the chemistry in the 1D style was also not biased.

For all the unbiased scenarios, it was possible to constrain the abundance of H$_2$O and CO, but it was only possible to put upper limits on CH$_4$ and CO$_2$; this is to be expected as these molecules do not have strong features over this wavelength region (Fig. 5).

Table 2 shows that for the $x = 20$ per cent case, all three retrieval styles produced biased results. We performed another retrieval with the same model, this time without any noise added (but keeping the same uncertainties) and again we get biased chemistry. However, the posteriors were multimodal and one mode sampled the true chemistry values. We attribute this to SNR of the observation being too low that the retrieval is not able to pick out discerning features. We present in Fig. B1 a comparison for the 60 per cent scenario, where the 2D and dilution retrievals were unbiased and the 1D retrieval was biased.

4.3 NIRSpec G395M

For all of the planet cases, it is not possible to detect the two TP profiles. In all cases, apart from $x = 40$ per cent, if two TP profiles were not used in the retrieval, then biases in the chemistry arose. We ran the 40 per cent case with no noise model added and the results were then consistent with the other cases. Hence, the noise realization used was causing the bias and not the SNR. The wavelength region covered by this instrument (2.87–5.10 μm) has been deemed to be a crucial grating as it covers the molecular features of CO and CO$_2$ (See Fig. 5) and the photometry points of the Spitzer Space Telescope. By performing tests on this grating, it has become apparent that long-wavelength coverage by itself is not what is crucial, but it is the specific wavelength region we cover. This is highlighted from Fig. 2; we can see that for the wavelengths covered by this grating, an SNR in the range of ~10 would be needed to disentangle the 2D effects in temperature, depending on the contrast of the profiles, hence we require shorter wavelengths to be able to disentangle the effects more efficiently.

We want to emphasize that, despite there being no evidence to use a 2D retrieval or dilution retrieval, if one is not use, the chemistry will tend to be biased. Thus, caution needs to be taken when interpreting Bayesian evidence.
We present in Fig. B2 a comparison for the 60 per cent scenario, where the 2D and dilution retrievals were unbiased and the 1D retrieval was biased.

4.4 NIRSpec prism

With the largest wavelength coverage of the study, we find significant evidence for using a 2D or a dilution retrieval for each of the planetary cases. We show in Fig. 7 the best-fitting models for each of the retrieval cases for both $x = 80$ per cent (top) and $x = 20$ per cent (bottom), the residuals for the fits and also the posteriors. It can be seen that each case provides a fit that has a reduced $\chi^2$ of below one; hence, without performing a model comparison, we could conclude that 1D fit is good enough for the data. However, if we analyse the triangle plot, we see that for $x = 80$ per cent the 1D retrieval style produces a bias in the abundance and achieves a tight constraint on CH$_4$. For the $x = 20$ per cent case, the 1D retrieval style biases all of the chemistry apart from the H$_2$O content.

4.5 G235M+G395M

By combining the two gratings, we achieve a similar level of $\sigma$ confidence as using the Prism. What is interesting is that G395M alone was not able to disentangle the two TP profiles. The addition of the G295M grating meant that the spectral coverage was over a range that has a shorter wavelength, which is shown in Fig. 2 to be a crucial spectral region that needs to be covered. The required SNR increases as we move to longer wavelengths. We present in Fig. B3 a comparison for the 60 per cent scenario, where the 2D and dilution retrievals were unbiased and the 1D was biased.

4.6 2D versus dilution styles

From Table 2, it can be seen that the $\sigma$ significance of the 2D and dilution retrieval styles compared to the 1D style are comparable. The difference is insignificant and can be considered as a non-detection. We can interpret this to mean that fitting for a second TP profile for a planet, which we know to have one, is not favoured.
We see that the 1D retrieval requires an increase in the opacity of all the molecules apart from H2O to fit to the observations. This is because varying H2O bands to determine the thermal structure of the atmosphere. We see from our simulations the retrieval uses the numerous features corresponding to single molecules are detected and their formation at different scales. At small spectral scales, the molecular slope puts a strong constraint on the slope of the thermal profile, so that different layers are probed at different wavelengths. However, the temperature gradient is already determined by the small-scale molecular spectral bands that are detected. The other way is to change the levels that are probed, i.e. to change the opacities so that the long wavelengths probe cooler layers and the short wavelengths probe hotter layers. For a non-inverted TP profile, that means that the opacity should be increased at long wavelengths and decreased at short wavelengths.

One could adjust this slope in two possible ways. The first is to vary the vertical temperature gradient, so that different layers are probed at different wavelengths. However, the temperature gradient is already determined by the small-scale molecular spectral bands that are detected. The other way is to change the levels that are probed, i.e. to change the opacities so that the long wavelengths probe cooler layers and the short wavelengths probe hotter layers. For a non-inverted TP profile, that means that the opacity should be increased at long wavelengths and decreased at short wavelengths.

As we have seen in Section 4 and as discussed in Feng et al. (2016), in many cases, performing a 1D retrieval on a model created with two TP profiles can lead to biased retrieved abundances and even the spurious detection of chemical species. We now try to understand which mechanism can produce this bias.

To first order, we can assume that a planet’s atmosphere emits at a given wavelength with an amount of flux equivalent to the Planck function at the local temperature for this wavelength. For a planet with a temperature that decreases with decreasing pressure (i.e. a non-inverted TP profile), the thermal emission is larger if there are less absorbers in this region, and smaller if there are more absorbers in this region.

An emission spectrum at the resolution of JWST contains information at different scales. At small spectral scales, the molecular features corresponding to single molecules are detected and their slope puts a strong constraint on the slope of thermal profile, with each band constraining the temperature gradient at a different layer. We see from our simulations the retrieval uses the numerous H2O bands to determine the thermal structure of the atmosphere. However, as seen in the bottom panel of Fig. 1, the presence of an inhomogeneous atmosphere should affect the general slope of the spectrum (e.g. the mean slope between 1 and 5 microns, hence a larger spectral scale). Such a slope could be interpreted by the retrieval as a change in the slope of the TP profile, however, because the temperature gradient is set in each layer by the small spectral scales (e.g. the slope of molecular features), the model cannot change the TP profile to adjust the general slope of the spectrum. It must therefore rely on varying the opacities, hence the abundances.

Now let us imagine that we try to retrieve the abundances and temperature profile of a planet with a diluted hotspot, i.e. a hot temperature profile on a contained part of the visible hemisphere of the planet. At a given layer, the diluted blackbody would be given by the blue curve in Fig. 1. When trying to retrieve the planetary spectrum with a 1D model, a typical blackbody would look like the red curve of Fig. 1. The ratio between the two, shown in the bottom panel of Fig. 1, have a slope: the 1D emission is always smaller than the diluted 2D emission at short wavelengths and larger at large wavelengths.

One could adjust this slope in two possible ways. The first is to vary the vertical temperature gradient, so that different layers are probed at different wavelengths. However, the temperature gradient is already determined by the small-scale molecular spectral bands that are detected. The other way is to change the levels that are probed, i.e. to change the opacities so that the long wavelengths probe cooler layers and the short wavelengths probe hotter layers. For a non-inverted TP profile, that means that the opacity should be increased at long wavelengths and decreased at short wavelengths.

As seen in the left-hand panel of Fig. 8, H2O is dominating the diluted hotspot spectrum. Hence, increasing the H2O abundance cannot significantly change the large-scale spectral slope of the opacities. As seen in the right-hand panel of Fig. 8, to change the spectral slope of the opacities, we can increase the abundances of species that have a larger spectral slope than H2O, e.g. CH4 and CO. The combination of CH4 and CO opacity can mimic the small-scale H2O features while increasing the general spectral slope of the opacities. We note that NH3, considered in Feng et al. (2016) but not in this study, could play a similar role as CH4 and CO, giving even more opportunities to mimic the small-scale signatures of H2O while giving more liberty to adjust the large-scale spectral slope of the opacities. More generally, any additional molecule...
would give an additional leverage to adjust the spectral slope and compensate the lack of two TP profiles in the original fit. This would then lead to spurious molecule detection when using a model with one TP profile. Given that any additional molecule considered in a retrieval framework would increase the ability of the retrieval to compensate for the lack of inhomogeneities, this has the potential to lead to numerous spurious detection of molecules in JWST spectra. We therefore urge future modellers to carefully quantify the possible effects of temperature inhomogeneities in a planet atmosphere before claiming the detection of an unexpected molecule.

### 4.8 Wavelength-dependent radius effect

Recent investigation into other biases that arise when studying emission spectra include the wavelength dependence of the planet radius (Drummond et al. 2018; Gandhi & Madhusudhan 2018; Fortney et al. 2019). Fortney et al. (2019) highlight that this effect is not an offset, and can cause a planet-to-star flux ratio variation of 5 per cent for a typical hot Jupiter. Our results would remain unchanged when modelling the wavelength-dependent radius effect; this is because the biases we find arise from the gradient of the opacities observed over a large wavelength range (i.e. a large spectral scale), whereas the radius effect would have its largest effect on the smaller scales of the spectrum, particularly by changing the shape of each molecular absorption bands. We demonstrate this in Fig. 9 by showing the ratio of the 2D and 1D best-fitting models from the $x = 80$ per cent G395M simulations which had unbiased chemistry results. We see that there do not appear to be many small-scale fluctuations in the residuals (bottom panel of Fig. 9, compared to bottom panel of fig. 2 from Fortney et al. 2019) hence the wavelength-dependent radius effect and bias due to 2D structures are independent of one and other, and given good enough SNR, it should be possible to disentangle both of them.

We also would like to note that modelling the wavelength-dependent radius effect in 1D would probably lead to the overestimation of the effect for the dayside spectrum of a planet. The effect of the variation of the radius is proportional to the scale height at the limb and is therefore sensitive to the limb temperature. However, the spectrum is sensitive to the temperature of the planets dayside, which is usually hotter than the limb temperature. By assuming that the correction due to the radius effect must be done at the same temperature as the retrieved temperature from the spectrum, one would likely overestimate the importance of the radius effect. One possible way to model the radius effect would be to model it in a 2D framework like the one this study presents. When analyzing a dayside spectrum, the transit radius variation should be determined by the retrieved cold temperature. For phase curve observations, the viewing angle of the limb will vary depending on the phase of the observation, hence $\Delta R_p(\lambda) = |y\lambda R_p(T_{hot}) + (y - 1)\lambda R_p(T_{cold})|$ where $y$ would be a parameter which would represent the weighting of the limb seen by the observer.

### 5 IMPLICATION FOR FUTURE SPACE OBSERVATIONS

#### 5.1 Application to ERS observations

The first emission spectra observations of WASP-43b using the JWST will be be conducted from the Transiting Exoplanet Community Early Release Science Program (Bean et al. 2018). They will obtain a phase curve observation using MIRI/LRS that will consist of two secondary eclipse observations and one primary transit observation. The wavelength grid covered (5–12 $\mu$m) and resolution ($R \sim 100$) are similar to those investigated in this study, i.e. NIRSpec Prism and combining the gratings G235M and G395M. However, the wavelength coverage does not go short enough to be able to disentangle the temperature of the two profiles. Fig. 2 shows that potentially an SNR in the range 10–100 will be needed.

#### 5.2 Application to GTO observations

There is a planned phase curve observation of WASP-43b in the JWST Guaranteed Time Observations (GTO) program (PI: Stephen Barkmann, Proposal 1224). They propose to use NIRSpec G395H, which is the higher resolution grating. We show that studying the horizontal temperature structure of this planet is difficult with this grating and caution needs to be taken when thinking about interpretation of the data set. In no cases do our results show that a 2D retrieval is favoured over a 1D retrieval; this is attributed to the wavelength coverage only sampling the tail end of the blackbody function. We do show that in three out of the four case, the chemistry result is biased if a 2D or dilution approach is not used.

For a planetary case that had 40 per cent flux coming from the hot profile, all of the cases produced a bias result in the chemistry. When analysing without a noise model, the 2D and dilution retrieval presented unbiased results. Analysis of these data alone should be carefully interpreted: the results may vary when combined with the ERS observations to cover more molecular features.

### 6 CONCLUSIONS

We investigate how an inhomogeneous horizontal temperature structure affects the way we perform emission retrievals. We aim to understand the origins of the biases and how to mitigate them with JWST’s NIRSpec instrument.
We first derive an analytical criterion which can be used to find the signal-to-noise ratio required to disentangle inhomogeneous temperature structures. We find that the signal-to-noise ratio needed increases for longer wavelengths, this is demonstrated in Fig. 2. This is because the longer the wavelength, the closer the emission spectra from the hot and cold parts of the atmosphere are to each other.

We then build planetary scenarios with varying hot and cold flux contributions. We generate synthetic observations for the NIRSpec observing modes and perform atmospheric retrievals on them. We find that performing a homogeneous retrieval will often result in biased chemical abundances, as shown from Table 2.

We show that a novel method of applying a dilution factor to a 1D model can provide a similar retrieval capability as using the 2D technique, for cases where the hot-spot is significantly hotter than the surrounding atmosphere, suggesting that it might be an ideal technique for studying the chemistry of the atmosphere while saving on computation time. This is because it has the capability to perform the same as either a 1D retrieval (if the dilution = 1) or a 2D retrieval (if dilution ≠ 1) without facing biases in the chemistry.

Due to the large amount of dedicated time needed to perform phase curve observations, it is prudent to know which instruments are necessary to study the 2D structure in more detail. We find that with a large enough spectral coverage we can break the correlation between the amount of flux output and the temperature for all of the planetary cases explored in this study (i.e. using Prism or combining G235M and G395M). Crucially, we show that the shorter the wavelength observed the better the detection of 2D effects. We find that, despite G395M being the grating with the largest spectral coverage, there was no evidence to support using a 2D or dilution retrieval over a 1D style, but if these retrieval styles were not used, then biased results were produced. This reiterates that not only do we need a large wavelength coverage, but this coverage needs to sample shorter wavelengths. As ARIEL (Tinetti et al. 2018) has a large wavelength coverage of 0.5–7.8 μm, it is ideal for detecting and quantifying inhomogeneities.

Smaller spectral coverage can also break the degeneracy, such as using G140M (sampling before the peak of the blackbody function), although with smaller significance and only if the hot profile contributes 60 per cent or less to the final spectrum. This is something that would not be known for the dayside of a planet unless performing a priori studies with GCM models. It would, however, be known for tidally locked planets that are observed at various points in its phase around the star.

Finally, we explain the origins of the biases that we face when performing 1D retrievals on a planetary system that has an inhomogeneous temperature structure. A 1D model matching an emission spectrum from an inhomogeneous planet underestimates the spectrum at short wavelength and overestimate it at long wavelengths. As a consequence, the 1D model requires larger opacities at longer wavelengths and smaller opacities at shorter wavelengths. In other words, the mean slope of the opacity versus wavelength needs to be larger in the 1D model than in the original spectrum. When H₂O dominates the original spectrum, this naturally leads to an increase in the abundance of molecules that have an opacity that increases more sharply with wavelength than H₂O, e.g. CH₄ or CO. Importantly, the larger the number of molecules considered, the easier it is for the 1D retrieval to find a way to compensate for its lack of inhomogeneities. We therefore recommend that future works should consider the possibility of temperature inhomogeneities when searching for new molecules in JWST data sets in order to ensure that these detections are not spurious.
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APPENDIX A: COMPLETE ANALYTICAL FORMULATION

Here, we highlight the intermediate steps between equations (6) and (9). We want to show how the flux varies with wavelength and then by β, first we differentiate equation (6) to get

\[
\frac{\delta F(\lambda, \beta, x)}{\delta \lambda} = \frac{2c^2h^3e^{\frac{\lambda}{\beta T\chi}} \left(-1 + e^{\frac{-\lambda}{\beta T\chi}}\right) x_0}{\left(-1 + e^{\frac{-\lambda}{\beta T\chi}}\right)^2 k\beta T \chi} + \frac{10c^2h \left(-1 + e^{\frac{-\lambda}{\beta T\chi}}\right) x_0}{\left(-1 + e^{\frac{-\lambda}{\beta T\chi}}\right) \lambda^6}. \tag{A1}
\]

Finally, by differentiating with respect to β, we find the relationship between the given wavelength and how hot the region of the planet under consideration is.

\[
\frac{\delta}{\delta \beta} \left(\frac{\delta F(\lambda, \beta, x)}{\delta \lambda}\right) = \frac{4c^2h^3e^{\frac{\lambda}{\beta T\chi}} \left(-1 + e^{\frac{-\lambda}{\beta T\chi}}\right) x_0}{\left(-1 + e^{\frac{-\lambda}{\beta T\chi}}\right)^2 k\beta T \chi^2 \lambda^8} - \frac{2c^2h^3e^{\frac{\lambda}{\beta T\chi}} \left(-1 + e^{\frac{-\lambda}{\beta T\chi}}\right)^2 k^2 \beta^3 T^2 \chi^2}{\left(-1 + e^{\frac{-\lambda}{\beta T\chi}}\right)^2} x_0 - \frac{2c^2h^3e^{\frac{\lambda}{\beta T\chi}} \left(-1 + e^{\frac{-\lambda}{\beta T\chi}}\right) x_0}{\left(-1 + e^{\frac{-\lambda}{\beta T\chi}}\right)^2 \chi^2 k\beta T \chi} = \frac{12c^2h^3e^{\frac{\lambda}{\beta T\chi}} \left(-1 + e^{\frac{-\lambda}{\beta T\chi}}\right)^2 k^2 \lambda_0 \beta^3 T^2 \chi^2}{\left(-1 + e^{\frac{-\lambda}{\beta T\chi}}\right)^2} x_0 - \frac{10c^2h^2e^{\frac{\lambda}{\beta T\chi}} x_0}{\left(-1 + e^{\frac{-\lambda}{\beta T\chi}}\right) \lambda_0 k\beta T \chi^6}. \tag{A2}
\]

We can then use this to set a criterion for the detectability of this relationship as shown in equation (9).

APPENDIX B: EXTRA FIGURES

Figure B1. Best-fitting retrievals and accompanying posterior distributions for a planet scenario of 60 per cent flux applied to the hot profile as seen using NIRSpec G235M. We overplot the three retrieval styles: 2D (magenta), 1D (blue), and Dilution (red) and show in the residuals (model data) that they present good fits to the data. The true values are given by the solid black lines. When analysing the chemistry result presented in the triangle plot, we find a biasing of the result from the 1D style; we see that the 1D retrieval detects H2O and CH4 at values which are outside 2σ from the input.
Figure B2. Best-fitting retrievals and accompanying posterior distributions for a planet scenario of 60 per cent flux applied to the hot profile as seen using NIRSpec G395M. We overplot the three retrieval styles: 2D (magenta), 1D (blue), and Dilution (red) and show in the residuals (model data) that they present good fits to the data. The true values are given by the solid black lines. When analysing the chemistry result presented in the triangle plot, we find a biasing of the result from the 1D style; we see that the 1D retrieval detects CO at a value which is outside 2σ from the input.

Figure B3. Best-fitting retrievals and accompanying posterior distributions for a planet scenario of 60 per cent flux applied to the hot profile as seen by combining NIRSpec G235M and G395M. We overplot the three retrieval styles: 2D (magenta), 1D (blue), and Dilution (red) and show in the residuals (model data) that they present good fits to the data. The true values are given by the solid black lines. When analysing the chemistry result presented in the triangle plot, we find a biasing of the result from the 1D style, we see that the 1D retrieval detects H$_2$O, CH$_4$, CO, and CO$_2$ at values which are outside 2σ from the input.