Disorder Effects on Competition between Antiferromagnetism and Superconductivity in Cuprate Superconductors through the Enhancement in Charge Susceptibility

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The coexistence state of antiferromagnetism (AF) and superconductivity (SC) has been observed in five-layered cuprates. However, this coexistence state disappears, and the AF phase and SC phase lose contact in the doping phase diagram toward double- and single-layered cuprates. We investigate the mechanism of the disappearance of the coexistence of AF and SC in disordered cuprate superconductors in order to understand these doping phase diagrams. In single- and double-layered cuprates, electrons on the CuO$_2$ plane experience the disorder effect through inhomogeneity in the charge reservoir layer. These impurity potentials can be effectively enhanced toward the underdoped region by the effect of many-body corrections that involve an increase in charge susceptibility. As a result, strong disorder effects are expected particularly in the competing regions of AF and SC, where the coexistence phase of AF and SC is extremely suppressed. We show the validity of this suppression mechanism by considering the Aslamazov-Larkin-type vertex correction to the effective impurity potential in the effective mean-field phase diagram.

KEYWORDS: cuprate superconductor, competition of antiferromagnetism and superconductivity, disorder, charge compressibility, Aslamazov-Larkin term, Maki-Thompson term

1. Introduction

The general structure of doping phase diagrams in the underdoped region is one of the critical issues with high-temperature cuprate superconductors. In single-layered cuprates such as La$_{2-x}$Sr$_x$CuO$_4$ (LSCO), which have been studied extensively since its discovery, it has been known that the antiferromagnetic (AF) phase and superconducting (SC) phase do not coexist and are separately located in the hole-doping phase diagram while sandwiching a spin-glass phase.$^1$ However, it has recently been discovered by nuclear magnetic (quadrupole) resonance experiments that a five-layered cuprate superconductor shows the coexistence of the AF and SC states in the underdoped region.$^2$–$^5$ The discovery of such coexistence indicates

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that a pure CuO$_2$ plane shows the coexistence of the AF and SC phases, and that the single-layered cuprates such as LSCO lose their coexistent phase because of disorder from the charge reservoir layer adjacent to the CuO$_2$ plane. The existence of the coexistent phase has been substantiated by numerous theoretical approaches on the basis of the Hubbard model$^{6-14}$ and $t$-$J$ model.$^{15-18}$ However, there has been no established theory for describing the disappearance of the coexistence due to inhomogeneity or some other factors on a unified picture.

The existence of disorder effects from the charge reservoir layer, the so-called out-of-plane disorder effects, has been reported both theoretically and experimentally. The impurity potential from the Sr site in LSCO is estimated by the local spin-density approximation +U (LSDA+U) method.$^{19}$ LSDA+U studies have shown that the in-gap band with an oxygen-like character shifts further into the gap by $\sim 1$eV through a distortion of the CuO$_2$ plane. In comparison with the hopping energy estimated as $t_{dp} \sim 1$eV, this impurity potential through the lattice distortion induced by the out-of-plane disorder is not strong enough to reach the unitarity limit but is also ineligible for the strong disturbance of the electronic state. This situation is different from an in-plane disorder such as the Zn substitution of the Cu site where Zn itself becomes a stronger scatterer. In the case of the in-plane disorder, the suppression of the SC transition temperature $T_c$ in the underdoped region is sharper than that in the overdoped region.$^{20}$ The suppression of the SC transition temperature $T_c$ due to an out-of-plane substitution has been observed in single-layered cuprates.$^{21-24}$ Its suppression of $T_c$ occurs with a smaller residual resistivity in the case of the out-of-plane disorder than in the case of the in-plane disorder.$^{21}$ The pseudogap temperature $T^*$ is robust against the out-of-plane disorder in contrast to the $T_c$ being sensitive to the out-of-plane disorder.$^{23}$

In the double-layered cuprate YBa$_2$Cu$_3$O$_{6+x}$ (YBCO), whose CuO$_2$ plane is less disordered than the LSCO but not so clean as the five-layered cuprate, the coexistence of a spin density wave and superconductivity has recently been observed by neutron scattering.$^{25}$ Figure 1 shows a schematic phase diagram of the five-layered, double-layered, and single-layered cuprates by taking the disorder strength of the CuO$_2$ plane into account. We illustrate in their phase diagrams that the AF state, SC state, and their coexistence state (AF+SC) are suppressed around the competing regions of the AF, SC, and AF+SC states accompanied by the effect of impurity potential. In LSCO, not only does the AF+SC phase vanish but also the AF phase and SC phase lose contact with each other because of the stronger impurity potential resulting from the substitution of La by Sr. However, the difference between the phase diagrams shown in Fig. 1 does not seem to have been clarified yet on the basis of the microscopic theory. In this paper, we propose a possible mechanism to describe the difference
Fig. 1. Schematic doping-temperature phase diagram for different disorder strengths we propose. Antiferromagnetism (AF), superconductivity (SC), their coexistence (AF+SC), and spin-glass (SG) phase are drawn. Here, the stripe phase at 1/8-filling and incommensurate SDW are not drawn for simplicity. We discuss the difference in phase diagrams among the cuprates from the viewpoint of the strength of the disorder potential on the CuO$_2$ plane.

in doping phase diagrams among clean and disordered cuprate superconductors.

This paper is organized as follows: In §2, we introduce the concept of renormalized impurity potential and consider its behavior in cuprate. In §3, we discuss the role of AL-type correction in charge susceptibility and impurity scattering. Then, on the basis of the microscopic theory starting from the Hubbard model, we analyze the effects of the Aslamazov-Larkin (AL) term on charge susceptibility (§4), and on the phase diagram of disordered cuprates (§5 and §6).

2. Relationship of Fermi Liquid Corrections between Charge Susceptibility and Impurity Potential

A nonmagnetic impurity potential generally suppresses antiferromagnetism and unconventional superconductivity. The impurity-concentration dependence of the SC transition temperature $T_c$ is well described by the well-established Abrikosov-Gor’kov formula in many superconductors.$^{26}$

In this section, we introduce the concept of the effective impurity potential renormalized by electron-electron correlation effects. This concept paves the way for discussing disorder effects such as the suppression of AF and SC in cuprates, which are typical strongly correlated electron systems.

The renormalized impurity potential $\tilde{v}$ is defined by considering the vertex correction as

$$\tilde{v}(k, k + q, i\epsilon_n) = v_0(q)\Lambda(k, q, i\epsilon_n),$$

(1)
Fig. 2. Diagrammatic representation of relationship between the effective impurity potential \( \tilde{v} \) and the bare potential \( v_0 \) through the correction from the vertex function \( \Gamma \).

with

\[
\Lambda(\mathbf{k}, \mathbf{q}, \epsilon_n) = 1 + T \sum_{\mathbf{k}', \epsilon_n'} G(\mathbf{k}', \epsilon_n') G(\mathbf{k} + \mathbf{q}, \epsilon_n') \Gamma(\mathbf{k}', \epsilon_n', \mathbf{k}, \epsilon_n; \mathbf{q}, 0),
\]

(2)

where \( v_0 \) and \( \Lambda \) are the bare impurity potential and its renormalization factor, respectively. \( \Gamma \) denotes a four-point vertex function. Figure 2 shows the diagrammatic representation of the renormalized impurity potential \( \tilde{v} \). The renormalization factor of the impurity scattering for the quasi-particle at the Fermi surface is given in the forward scattering limit \( q = 0 \):

\[
\lim_{q \to 0} \Lambda(\mathbf{k}_F, q, 0) = \frac{1}{z} \frac{1}{1 + F_0^s},
\]

(3)

where \( 1/z \equiv 1 - \partial \Sigma^R(\mathbf{k}_F, \epsilon)/\partial \epsilon|_{\epsilon=0} \) is the mass renormalization factor and \( F_0^s \) is the isotopic and spin-symmetric component of the Landau parameters.

A similar enhancement factor can be seen in the charge susceptibility given by the linear response theory as

\[
\chi_c(\mathbf{q}, i\omega_m) = -\int_0^\beta d\tau \langle n_{\mathbf{q}}(\tau)n_{-\mathbf{q}}(0) \rangle e^{i\omega_m \tau},
\]

(4)

where \( n_{\mathbf{q}}(\tau) = \sum_{\mathbf{k}, \sigma} c_{\mathbf{k}+\mathbf{q}\sigma}(\tau)^{\dagger} c_{\mathbf{k}\sigma}(\tau) \) is the charge density operator. \( c_{\mathbf{k}\sigma}^{\dagger} \) and \( c_{\mathbf{k}\sigma} \) are the creation and annihilation operators for the particle with the momentum \( \mathbf{k} \) and spin \( \sigma = \uparrow, \downarrow \), respectively. We can define the charge compressibility \( \kappa \equiv \partial n/\partial \mu \), which is the uniform limit of the static charge susceptibility:

\[
\kappa = \lim_{q \to 0} \chi_c(\mathbf{q}, \omega = 0).
\]

(5)

In a noninteracting system, the charge compressibility reaches \( \kappa_0 \simeq 2N_F \) where \( N_F \) is the density of states at a Fermi level. The relation of the charge compressibility \( \kappa \) with \( \kappa_0 \) can be derived from Fermi liquid theory: \( \kappa_0 \)

\[
\frac{\kappa}{\kappa_0} = \frac{m^*}{m} \frac{1}{1 + F_0^s},
\]

(6)

where \( m^*/m \) is the mass enhancement factor, which is generally different from \( 1/z \) by the \( k \)-mass appearing from the \( k \)-dependence of the self-energy of electrons. The \( k \)-mass can-
cells the mass renormalization factor $1/z$. In $\text{La}_{1-x}\text{Sr}_x\text{MnO}_3$, for example, the effective mass $m^*$ remains unrenormalized, while the Drude weight $D$ decreases toward the metal-insulator transition. At the transition, the Drude weight $D = \pi n^* e^2 / m^*$ becomes zero owing to the disappearance of the effective carrier density $n^* \equiv n(1 + F_s^1/3)$. However, if the enhancement of $\Lambda$ and $\kappa$ originate from the anomaly in the Landau parameter $F_s^0 \rightarrow -1$, we can identify the origin of the enhancement in the charge compressibility as that in the effective impurity potential in the forward scattering limit.

In the presence of quantum critical fluctuations, vertex corrections affect the enhancement in the effective impurity potential and residual resistivity. As discussed above, the enhancement (or suppression) factor of the impurity potential is related to that of the charge compressibility. For these reasons, we can expect a strong disorder effect in the material in which a large enhancement in the charge compressibility is observed.

The charge compressibility, or uniform charge susceptibility, has been studied both theoretically and experimentally in cuprate superconductors. Pioneering theoretical works on the charge compressibility around the metal-insulator transition have been performed with the use of the quantum Monte Carlo method. They have shown in the two-dimensional Hubbard model that the charge compressibility diverges as the hopping rate to the Mott insulating phase decreases as $\delta \equiv 1 - n \rightarrow 0$. The charge compressibility behaves as $\kappa \propto 1/\delta$ around the Mott insulating phase. This result implies that the transition from a metal to a Mott insulator induced by controlling the filling is of the second order. Furukawa et al. have also concluded that the divergence $\kappa$ involves the behavior of the Landau parameter, $F_s^0 \rightarrow -1$ as $\delta \rightarrow 0$. Then, they have speculated that the effective mass $m^*$ diverges. The $1/\delta$ dependence in $\kappa$ has also been obtained from the path-integral renormalization group (PIRG) method. Since the quantum Monte Carlo and PIRG methods are applicable to systems with up to about 100 sites, the appearance of the singular charge susceptibility is not limited in small size systems.

The experimental study of the charge compressibility has been conducted by photoemission spectroscopy. The theoretical prediction of the chemical potential shift $\Delta \mu \propto \delta^2$, which yields $\kappa \equiv -\partial \delta / \partial \Delta \mu \propto 1/\delta$, is satisfied in LSCO, Bi-2212, and YBCO within the range of experimental error.

Although half-filled cuprates are charge transfer insulators with a different nature from the Mott-Hubbard insulator appearing in the Hubbard model, the enhancement in the charge susceptibility is observed in some cuprates. Their results suggest that a weak impurity scattering potential should be enhanced to the extent of the unitarity limit in the underdoped region of LSCO, Bi-2212, and YBCO, where an enhanced charge compressibility is observed.
3. Aslamazov-Larkin Term in Charge Susceptibility and Impurity Potential

In this section, we introduce an approach to describing the singular behavior of the charge susceptibility on the basis of the mode-mode coupling theory.

The divergence of the charge susceptibility in the Hubbard model is known to involve the divergence of the AF spin correlation length from quantum Monte Carlo calculations. The singular behavior of the charge susceptibility has also been obtained in a one-dimensional Hubbard model by the cellular dynamical mean field theory (DMFT), but this singularity cannot be obtained by the single-site DMFT. This suggests the importance of an off-site correlation for the appearance of the singular charge susceptibility. There is little doubt that the appearance of the singular charge susceptibility in the Hubbard model is closely related to the Mott transition. However, we cannot exclude the possibility of the development of AF correlation as the origin of the singular charge susceptibility with this background.

It is desirable to understand the mechanism of the enhancement in the charge susceptibility from the structure of the single-particle excitation spectrum in the Green function $G$ and the four-point vertex function $\Gamma$ in eq. (2). From this viewpoint, it has been known that the contribution of the vertex correction shown in Fig. 3, which is the so-called AL term, gives a singularity to the charge susceptibility around the AF critical point. The AL-type contribution to the static charge susceptibility constructed by the two-spin-fluctuation propagator $\chi_s(q, i\omega_m)$ is given by

$$\Delta \chi_{c,\text{AL}}(q) = 3T \sum_{q', m} \left[ \gamma_3(q, q'; i\omega_m) \right]^2 \chi_s(q', i\omega_m) \chi_s(q + q', i\omega_m),$$  \hspace{1cm} (7)

where the factor 3 corresponds to the number of spin directions. The effect of the AL-type diagram has been discussed in light of the problem of the electric conductivity anomaly in the presence of strong SC fluctuations. $\gamma_3$ denotes the coupling strength among one charge fluctuation field $n(q)$ and two spin fluctuation fields $S_i(q)$ ($i = x, y, z$), and consist of three...
Green functions $G$'s for electrons:

$$
\gamma_3(\mathbf{q}, \mathbf{q}'; i\omega_m) = \lambda^2 T \sum_{k,n} G(k, i\epsilon_n)G(k + \mathbf{q}, i\epsilon_n)G(k + \mathbf{q}', i\epsilon_n + i\omega_m),
$$

where $\lambda$ is the cubic coupling constant in the vertex with the structure of $\sum_{k,q} S_i(q) c_i^\dagger(k)c(k + q)$.

Here, we evaluate the effect of critical spin fluctuations that are singular near the incommensurate AF wave vector $\mathbf{Q}_i^*$ in the form

$$
\chi_s(\mathbf{q}, i\omega_m) = \sum_{i} \frac{N_F}{\eta_h + A(\mathbf{q} - \mathbf{Q}_i^*)^2 + C|\omega_m|},
$$

where $\eta_h$ is the distance from the magnetic critical point. The spin susceptibility in doped cuprate shows its peaks at four incommensurate ordering vectors $\mathbf{Q}_i^* = \pi/a(1, 1 \pm \epsilon), \pi/a(1 \pm \epsilon, 1)$.

Since the dominant contribution to $\kappa_{AL}(\mathbf{q})$ arises from the summation at $\omega_m = 0$ and around $\mathbf{q}' \sim \mathbf{Q}_i^*$ in the case of $\eta_h \ll 2C\pi T$, the contribution of the coherent part denoted by eq. (9) is estimated as

$$
\Delta \chi_{c,AL} = 3T \sum_{i,j} \gamma_3(\mathbf{q}, \mathbf{Q}_i^*; 0)\gamma_3(\mathbf{q}, \mathbf{Q}_j^*; 0) \sum_{\mathbf{q}'} \frac{N_F}{\eta_h + A(\mathbf{q}' - \mathbf{Q}_i^*)^2} \frac{N_F}{\eta_h + A(\mathbf{q} + \mathbf{q}' - \mathbf{Q}_j^*)^2}.
$$

We can see that $\Delta \chi_{c,AL}(\mathbf{q})$ shows its peak at $\mathbf{q} = \mathbf{Q}_i^* - \mathbf{Q}_j^*$. The maximum is attained at $\mathbf{q} = 0$:

$$
\Delta \kappa_{AL} = \Delta \chi_{c,AL}(0) = 3 \times 4 [\gamma_3(0, \mathbf{Q}_i^*; 0)]^2 T \sum_{\mathbf{q}'} \frac{N_F^2}{(\eta_h + Aq'^2)^2} \left\{ \begin{array}{ll}
\frac{3|\gamma_3(0, \mathbf{Q}_i^*; 0)|^2}{\eta_h^2} & (2D, T \gg \eta_h/2C\pi) \\
\frac{3|\gamma_3(0, \mathbf{Q}_i^*; 0)|^2}{2\pi A^{\eta_h/2}} & (3D, T \gg \eta_h/2C\pi)
\end{array} \right.
$$

In the two-dimensional square lattice with only the nearest-neighbor hopping, $\gamma_3(0, \mathbf{Q}_i^*; 0)$ takes a nonzero value except for the half-filling (Appendix A).

At low temperatures, $\eta_h \gg 2C\pi T$, $\Delta \kappa_{AL}$ shows a less singular behavior because the finite-$\omega_m$ contribution is not negligible. At zero temperature $T = 0$, the frequency summation in eq. (7) can be approximated by the integration with respect to $\omega$. As in the case of $\eta_h \gg 2C\pi T$, the maximum is attained at $\mathbf{q} = 0$:

$$
\Delta \kappa_{AL} \approx 3 \times 4 [\gamma_3(0, \mathbf{Q}_i^*; 0)]^2 \cdot 2 \sum_{\mathbf{q}'} \int_0^\infty \frac{d\omega}{2\pi} \frac{N_F^2}{(\eta_h + Aq'^2 + C\omega)^2}
$$
\[ \Delta \kappa_{\text{AL}}(0, \mathbf{Q}^*; 0) \sim \begin{cases} 
abla \gamma_3(0, \mathbf{Q}^*; 0) \frac{N_F^2}{C} \sum_{q'} \frac{1}{\eta_s + Aq'^2} & (2D, T = 0) \\
abla \gamma_3(0, \mathbf{Q}^*; 0) \frac{N_F^2}{\pi^2 A C} \log \frac{Aq_c}{\eta_s} & (3D, T = 0) \end{cases} \]

where \( q_c \sim 1/a \) is the short-wavelength cutoff and of the order of the inverse of the lattice constant \( a \). The singularity in \( \Delta \kappa_{\text{AL}} \) at quantum critical points is weakened in two dimensions or suppressed to the cusp singularity in three dimensions. The charge susceptibility shows the power-law singularity near the magnetic instability \( \eta_s \sim 0 \) at finite temperatures, where the critical exponent of \( \Delta \kappa_{\text{AL}} \) are the same as that of the SDW susceptibility \( \chi_s(Q^*, 0) \) in two dimensions, and half of that of \( \chi_s(Q^*, 0) \) in three dimensions. However, this critical exponent is not always reliable because it is easily changed by other processes such as the mode-mode coupling effect among charge-density channels.

Other peaks in \( \Delta \chi_{c,\text{AL}}(\mathbf{q}) \) appear at \( \mathbf{q} = Q_i^* - Q_j^* \neq 0 \). These peaks are generally smaller than \( \Delta \chi_{c,\text{AL}}(0) \) because \( \gamma_3 \) is smaller for such mode coupling processes. The case of \( Q_i^* = -Q_j^* \) corresponds to a stripe charge fluctuation. The stripe charge fluctuations are known to be widely observed in cuprate superconductors. Moreover, a stripe ordered phase is stabilized instead of the SC phase at a doping rate \( \delta = 1/8 \). We note that \( \Delta \chi_{c,\text{AL}}(\mathbf{q}) \) shows the emergence of stripe fluctuations, but unfortunately, is not accountable for the stabilization of the stripe ground state with completely suppressed superconductivity only at \( \delta = 1/8 \) in cuprates.

Here, we consider the effect of the AL process on the impurity scattering problem. By taking into account the AL-type correction to the impurity scattering process, we can obtain a singularly strong disorder effect around AF critical points. Such a correction must be expressed in diagrammatic form as shown in Fig. 4.

When the charge susceptibility is nearly critical at \( \mathbf{q} = 0 \), the renormalized impurity
potential $\tilde{v}$ at the Fermi level can be expanded around the forward scattering as

$$\tilde{v}(q) \sim \frac{1}{\eta_c + A|q|^2} v_0(q),$$

where $\eta_c$, the distance from the critical point with respect to a uniform charge density fluctuation, corresponds to the square inverse of the charge density correlation length $\eta_c \propto 1/\xi_c^2$. This kind of vertex correction leads to a long-ranged impurity potential even if the bare potential $v_0$ is short-ranged. The range of the impurity potential is given by $\xi_c$, which diverges at the critical point.

We discuss the scattering rate of quasi-particles and the residual resistivity due to renormalized impurity scattering under the condition of a spherical Fermi surface. The scattering rate $1/\tau$, which causes the suppression of the superconductivity and antiferromagnetism, relates to the imaginary part of the self-energy. It is attained for the impurity concentration $c_{\text{imp}}$ within the Born approximation as

$$\frac{1}{\tau_k} \simeq 2\pi c_{\text{imp}} \sum_{k'} |\tilde{v}(k - k')|^2 \zeta(\xi^*(k')) = 2\pi N_F c_{\text{imp}} \left\langle \frac{v_0^2}{(\eta_c + 2A k_F^2 (1 - \cos \theta))^2} \right\rangle_{\text{FS}},$$

where $\xi^*(k)$ is the quasi-particle dispersion and $\theta$ is the angle between $k$ and $k'$. $\langle \cdots \rangle_{\text{FS}}$ denotes the average on the Fermi surface. Here, note that the Born approximation is not applicable in the strong impurity scattering region around the criticality $\eta_c \sim 0$. In such cases, eq. (14) should be replaced with $1/\tau_k = 2c_{\text{imp}} \text{Im} \tilde{t}(k, k)$ with the use of the $t$-matrix $\tilde{t}(k, k') \equiv \tilde{v}(k - k') + \sum_{k''} \tilde{v}(k - k'')G_R(k'', 0)\tilde{t}(k'', k')$. If we ignore the momentum dependence in $\tilde{v}(k - k')$, the $t$-matrix is approximated as $\tilde{t}^{-1} = \tilde{v}^{-1} - i\pi N_F$. By this reason, the scattering amplitude saturates to $1/\tau \sim 2c_{\text{imp}}/\pi N_F$ toward the unitarity limit. However, we can examine the feature of the enhancement even if the Born approximation is adopted. By taking the average in eq. (14), the behavior of the scattering rate near the criticality $\eta_c \sim 0$ is

$$\frac{1}{\tau_{k_F}} \propto \begin{cases} 1/\eta_c^{3/2} & \text{(2D)} \\ 1/\eta_c & \text{(3D)} \end{cases}.$$  

The electric resistivity shows a different behavior from the scattering rate. The residual resistivity $\rho_0$ due to the impurity scattering is obtained as

$$\rho_0 \propto \frac{1}{\tau_{tr}} = 2\pi N_F c_{\text{imp}} \left\langle \frac{v_0^2 (1 - \cos \theta)}{(\eta_c + 2A k_F^2 (1 - \cos \theta))^2} \right\rangle_{\text{FS}}.$$
The contribution of the forward scattering is subtracted in $\rho_0$ so that the lifetime in transport phenomena, $\tau_{tr}$, is different from $\tau_k$ in general. We can easily take this average. As a result, we obtain

$$\rho_0 \propto \begin{cases} 1/\sqrt{\eta_c} & \text{(2D)} \\ \log(1/\eta_c) & \text{(3D)} \end{cases}.$$  \hspace{1cm} (17)

The residual resistivity also shows a divergence at critical point $\eta_c = 0$. However, its power of divergence is weak compared with that of the scattering rate $1/\tau_k$. Thus, even if the enhanced impurity potential, due to critical charge fluctuations, destroys the long-range order, the residual resistivity does not show a visible enhancement in certain cases. Therefore, even though the residual resistivity $\rho_0$ is insensitive to the impurity potential in contrast with the quasi-particle lifetime $\tau_k$, the SC transition temperature $T_c$ can vanish at a smaller residual resistivity in the underdoped region than in the overdoped region.

4. Aslamazov-Larkin-type correction to the charge susceptibility in the Hubbard model

In this section, we analyze the role of the AL-type vertex correction in the Hubbard model with the use of the spin-fluctuation propagator at the level of random phase approximation (RPA). The consideration of the spin susceptibility within the RPA level is consistent with the analysis of the mean-field phase diagram for the antiferromagnetism. For comparison, we also study the effect of the Maki-Thompson (MT) term consisting of a diagram simpler than the AL term.

The two-dimensional Hubbard model in a square lattice is described by

$$H = \sum_{k,\sigma} \epsilon(k)c_{k\sigma}^\dagger c_{k\sigma} + U \sum_{q, k, k'} c_{k+q \uparrow}^\dagger c_{k \uparrow} c_{k' \downarrow}^\dagger c_{k' \downarrow}.$$  \hspace{1cm} (18)

The dispersion in cuprates is well fitted by $\epsilon(k) = -2t(\cos k_x + \cos k_y) + 4t' \cos k_x \cos k_y$ with the hopping integral between the nearest-neighbor sites $t$ and the next-nearest neighbor sites $t'$. In this study, we choose $t' = 0$ for simplicity and owing to the limitation of RPA analysis (see §6). In this section, we perform a diagrammatic analysis by putting the Green function for noninteracting electrons $G^{(0)}_{\sigma}(k, i\epsilon_n) = [i\epsilon_n - \epsilon(k) + \mu]^{-1}$ into all $G_{\sigma}(k, i\epsilon_n)$. This means that no self-energy correction is considered in the Green function $G$.

The charge susceptibility is expressed in terms of the vertex function $\Gamma$ and the Green function $G$ as shown in Fig. 5, and its analytic expression is given as

$$\chi_c(q) = 2\chi_0(q) + T^2 \sum_{k, k', \sigma, \sigma'} G_{\sigma}(k)G_{\sigma}(k + q)\Gamma_{\sigma\sigma'}(k, k'; q)G_{\sigma'}(k')G_{\sigma'}(k' + q),$$  \hspace{1cm} (19)
Fig. 5. Diagrammatic representation of relationship between charge susceptibility and vertex function.

\[
\chi_c = \Gamma + \Gamma
\]

Fig. 6. Maki-Thompson-type vertex correction in RPA. Broken lines show the on-site interaction \( U \).

Each graph shows the contribution of (a) the transverse spin fluctuations and (b) the longitudinal spin fluctuations and charge fluctuations.

where we have introduced the abbreviations \( k = (k, i\epsilon_n) \) and \( q = (q, i\omega_m) \) with the Matsubara frequencies, \( \epsilon_n = (2n + 1)\pi T \) for electrons, and \( \omega_m = 2\pi m T \) for bosonic excitations. We define the irreducible particle-hole bubble \( \chi_0(q) \) as

\[
\chi_0(q) = -T \sum_k G(k)G(k + q), \tag{20}
\]

where the frequency summation is analytically performed in the non-interacting system without disorder as

\[
\chi_0(q) = \sum_k \frac{f_k - f_{k+q}}{i\omega_m - \epsilon(k + q) + \epsilon(k)}, \tag{21}
\]

where \( f_k = [\exp((\epsilon(k) - \mu)/T) + 1]^{-1} \) is the Fermi distribution function.

We evaluate the particle-hole irreducible vertex function \( \Gamma_1 \) representing MT processes and AL processes using spin fluctuations within RPA. The two MT terms in the vertex function are shown in Fig. 6:

\[
\Gamma_{1,\text{MT}\sigma\sigma'} \equiv \sum_{i=a,b} \Gamma^{(i)}_{1,\sigma\sigma'}, \tag{22}
\]

\[
\Gamma^{(a)}_{1,\sigma\sigma'}(k, k'; q) = \frac{U^2 \chi_0(k - k')}{1 - U \chi_0(k - k')} \delta_{\sigma, -\sigma'}, \tag{23}
\]

\[
\Gamma^{(b)}_{1,\sigma\sigma'}(k, k'; q) = \frac{U^2 \chi_0(k - k')}{1 - U^2 \chi_0(k - k')} \delta_{\sigma, \sigma'}. \tag{24}
\]
Six AL terms shown in Fig. 7 are given as follows:

\[ \Gamma_{1,\sigma\sigma'} \equiv \sum_{i=c,\ldots,h} \Gamma_{1,\sigma\sigma'}^{(i)}, \]  

\[ \Gamma_{1,\sigma\sigma'}^{(c)}(k, k'; q) = T \sum_{q'} \left[ \left( \frac{U}{1 - U^2 \chi_0(q')} \right) \left( \frac{U}{1 - U^2 \chi_0(q - q')} \right) - U^2 \right] G(k + q') G(k' + q') \delta_{\sigma, -\sigma'}, \]  

\[ \Gamma_{1,\sigma\sigma'}^{(d)}(k, k'; q) = T \sum_{q'} \left( \frac{U^2 \chi_0(q')}{1 - U^2 \chi_0(q')} \right) \left( \frac{U^2 \chi_0(q - q')}{1 - U^2 \chi_0(q - q')} \right) G(k + q') G(k' + q') \delta_{\sigma, \sigma'}, \]  

\[ \Gamma_{1,\sigma\sigma'}^{(e)}(k, k'; q) = T \sum_{q'} \left[ \left( \frac{U}{1 - U^2 \chi_0(q')} \right) \left( \frac{U}{1 - U^2 \chi_0(q - q')} \right) - U^2 \right] G(k + q') G(k' + q - q') \delta_{\sigma, -\sigma'}, \]  

\[ \Gamma_{1,\sigma\sigma'}^{(f)}(k, k'; q) = T \sum_{q'} \left( \frac{U^2 \chi_0(q')}{1 - U^2 \chi_0(q')} \right) \left( \frac{U^2 \chi_0(q - q')}{1 - U^2 \chi_0(q - q')} \right) G(k + q') G(k' + q - q') \delta_{\sigma, -\sigma'}, \]  

\[ \Gamma_{1,\sigma\sigma'}^{(g)}(k, k'; q) = T \sum_{q'} \left[ \left( \frac{U}{1 - U \chi_0(q')} \right) \left( \frac{U}{1 - U \chi_0(q - q')} \right) - U^2 \right] G(k + q') G(k' + q - q') \delta_{\sigma, \sigma'}, \]  

\[ \Gamma_{1,\sigma\sigma'}^{(h)}(k, k'; q) = T \sum_{q'} \left[ \left( \frac{U}{1 - U \chi_0(q')} \right) \left( \frac{U}{1 - U \chi_0(q - q')} \right) - U^2 \right] G(k + q') G(k' + q - q') \delta_{\sigma, \sigma'}. \]
The second-order diagram in $U$ has been subtracted from $\Gamma_1^{(i=c,\cdots,h)}$ for the purpose of avoiding double counting of the MT term $\Gamma_{1,\text{MT}}$. The AL terms consist of the particle-hole bubbles for $\Gamma_1^{(i=c,\cdots,f)}$, which is the contribution of the longitudinal spin fluctuations and appears when two propagating fluctuations have the same parity with respect to the number of particle-hole bubbles, and the particle-hole ladder for $\Gamma_1^{(i=g,h)}$, which represents the contributions from the transverse spin fluctuations. Their total irreducible vertex function is expressed as

$$\Gamma_{1,\sigma\sigma'}(k,k';q) = U\delta_{\sigma,-\sigma'} + \Gamma_{1,\text{MT}\sigma\sigma'}(k,k';q) + \Gamma_{1,\text{AL}\sigma\sigma'}(k,k';q).$$

We numerically investigate the role of the MT and AL terms for the charge susceptibility. To this end, we calculate the total charge susceptibility using the relation

$$\chi_c(q) = 2\chi_0(q) - 2U\chi_0^2(q) + \Delta\chi_{\text{MT}}(q) + \Delta\chi_{\text{AL}}(q),$$

where the third and fourth terms are respectively defined as

$$\Delta\chi_{\text{MT}}(q) \equiv T^2 \sum_{k,k',\sigma,\sigma'} G_{\sigma}(k)G_{\sigma}(k+q)\Gamma_{1,\text{MT}\sigma\sigma'}(k,k';q)G_{\sigma'}(k')G_{\sigma'}(k' + q),$$

$$\Delta\chi_{\text{AL}}(q) \equiv T^2 \sum_{k,k',\sigma,\sigma'} G_{\sigma}(k)G_{\sigma}(k+q)\Gamma_{1,\text{AL}\sigma\sigma'}(k,k';q)G_{\sigma'}(k')G_{\sigma'}(k' + q).$$

For the computation of the MT- and AL-type contributions to the susceptibility, the fast Fourier transformation (FFT) algorithm is very useful from the viewpoint of numerical efficiency. The application method of FFT to the AL term is summarized in Appendix B. We perform the calculations under the condition of $32 \times 32$ lattice sites with 512 Matsubara frequencies.

Figure 8 shows the $q$ dependence of each term in the static limit ($\omega_m=0$). There are several peaks in the AL term $\Delta\chi_{\text{AL}}(q)$, as discussed in §3. The maximum is attained at $q = 0$. The positions of the peaks in the MT term $\Delta\chi_{\text{MT}}$ almost coincide with the incommensurate ordering vector $Q_i^*$, peak positions of $\chi_0(q)$. Figure 9 shows the doping dependence of each term. $\Delta\chi_{\text{AL}}$ rapidly develops toward the AF transition, as predicted in §3. In contrast, $\Delta\chi_{\text{MT}}$ gives only a small contribution to $\chi_c$ even at $q \neq 0$.

The singularity in the AL term $\Delta\chi_{\text{AL}}$, discussed so far, arises through the divergence of $m^*$ in eq. (6). Hereafter, we show that the repetition of the AL-type vertex correction can give the enhancement of the factor $(1 + F_0^s)^{-1}$. The actual calculation for solving the Bethe-Salpeter equation based on the irreducible vertex is generally difficult for arbitrary diagrams, but we can evaluate the summation of several terms in the lowest-order terms. To check the
Fig. 8. $q$ dependence of the Aslamazov Larkin term $\Delta \chi_{AL}(q)$, the MT term $\Delta \chi_{MT}(q)$, and the noninteracting part $2\chi_0(q)$ in the static charge susceptibility for a parameter set $U = 2t$, $n = 0.875$, $T = 0.05t$, and $1 - U\chi_0(Q^*, 0) = 0.015$.

Fig. 9. (Color on line) Doping dependence of the AL term (red), maximum of MT term (green), and non-interacting part (blue) in the charge susceptibility when $U = 2t$ and $T = 0.05t$. AF denotes the AF-ordered region.
higher order effects in the MT and AL terms, we consider the following correction in the charge susceptibility summed up to the $n$-th order of the irreducible vertex:

$$
\Delta \chi_{MT,n} \equiv GG(\Gamma_{1,MT}GG + \cdots + G(G(\Gamma_{1,MT}GG)^n),
$$

$$
\Delta \chi_{AL,n} \equiv GG(\Gamma_{1,AL}GG + \cdots + G(G(\Gamma_{1,AL}GG)^n).
$$

We do not show the higher-order terms including both $\Gamma_{1,MT}$ and $\Gamma_{1,AL}$ for simplicity.

Let us see the tendency of the behavior in the $n \to \infty$ limit of $\Delta \chi_{AL,n}$. Then, $\Delta \kappa_{AL}$ in eq. (11) and eq. (12) in two dimensions are replaced with a geometric series:

$$
\Delta \kappa_{AL} \to \Delta \chi_{AL,\infty}(0) = \begin{cases} 
\Delta \kappa_{AL}/\left[1 + \frac{\gamma_4}{\pi A} \cdot \frac{N_2 T}{\eta_s}\right], & (T \gg \eta_s/2C\pi) \\
\Delta \kappa_{AL}/\left[1 + \frac{\gamma_4 N_2^2}{\pi A C} \cdot \log \frac{4\pi}{\eta_s}\right], & (T = 0)
\end{cases}
$$

where $\gamma_4$ is the quartic mode-mode coupling constant of spin fluctuations. $\gamma_4$ for the quartic
coupling among four fluctuation modes near the $q \sim Q^*$ is expressed as
\[ \gamma_4 = \lambda^4 T \sum_{p,n} G^2(p,i\epsilon_n)G^2(p+Q^*,i\epsilon_n). \] (39)

$\gamma_4$ takes a negative value at $T < 0.524|\mu|$ in the case of a two-dimensional square lattice (see Appendix C). At that temperature, $\delta\kappa_{AL}$ is enhanced in a region far from the AF critical point.

Figure 10 shows the behaviors of $\Delta\chi_{MT,n}$ and $\Delta\chi_{AL,n}$ for $n = 1, 2, \text{and} 3$. The singularity in $\Delta\chi_{AL}(0)$ increases as the order $n$ of the diagrams increases. These results show that AL-type vertex corrections give a negative value to the Landau parameter $F_{s0}$ as well as $\gamma_4 < 0$ around the AF criticality in the low-temperature region. Concerning the MT term, $\Delta\chi_{MT}$ does not show a remarkable change with higher-order terms. The higher-order terms in the MT terms are negligible, but those in the AL terms considerably increase the charge susceptibility around the AF criticality.

Note that, throughout this section, we have evaluated the anomaly in the charge compressibility with the use of the Green function in a noninteracting system. Namely, the self-energy correction arising from electron-electron interaction in the Green function is not considered. To improve this point, it is desirable to take self-energy correction consistent with vertex correction into account so as to satisfy the conservation law. However, conserving approximation such as fluctuation exchange approximation do not correctly produce a coherent part in the single particle Green function and is also not capable of describing the Mott transition. Since the singular charge compressibility originates from the formation of the gap in single particle excitation at half-filling, self-energy correction has to reproduce the appearance of the charge gap. However, it is still challenging to construct such a conserving theory. For this difficulty, we ignore self-energy correction for simplicity and consider only vertex correction to the impurity potential in the next section.

5. Disappearance of coexistence of antiferromagnetism and superconductivity due to large impurity potential enhanced by AF fluctuations

In the remaining sections, it is shown that an inhomogeneity leads to the suppression of both the Néel temperature $T_N$ and the SC transition temperature $T_c$. To this end, we extend the theory of the charge susceptibility taking the effective mass enhancement due to the AL term to the impurity scattering problem in cuprates. As discussed in previous sections, we focus on the problem of how the impurity scattering potential enhanced by the singular charge fluctuation affects $T_N$ and $T_c$. Here, we introduce the two-dimensional Hubbard model with
Fig. 11. Self-energy diagram within Born approximation with respect to renormalized impurity potential. The shaded triangle represents the renormalized impurity potential.

the $d_{x^2-y^2}$-wave pairing interaction $U_d$ and the impurity potential $v_i$:

$$H = \sum_{\mathbf{k}\sigma} \epsilon(\mathbf{k}) c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma} + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

$$-U_d \sum_{\mathbf{k}} \phi(\mathbf{k}) \phi(\mathbf{k}') c_{\mathbf{k}_1}^\dagger c_{\mathbf{k}_2}^\dagger c_{-\mathbf{k}'_1} c_{-\mathbf{k}'_2} + \sum_{i\sigma} v_i n_{i\sigma},$$

(40)

where the form of the gap function $\phi(\mathbf{k}) = \cos k_x - \cos k_y$ is chosen to be consistent with observations in cuprates. The bare impurity potential is given by $v_i = v_0$ for the impurity sites, and $v_i = 0$ for the other sites. For La$_{2-\delta}$Sr$_\delta$CuO$_4$, it corresponds to

$$v_i = \begin{cases} v_0 & \text{at CuO}_2 \text{ sites adjacent to Sr,} \\ 0 & \text{at CuO}_2 \text{ sites adjacent to La.} \end{cases}$$

(41)

Namely, we consider a situation where the impurity concentration and the doping rate $\delta$ take the same value. Of course, there exist other types of inhomogeneities, such as the inhomogeneity of hopping integrals and the inhomogeneity of interactions on the CuO$_2$ plane, even if they are caused by the out-of-plane disorder. However, we assume here the local impurity potential in order to study the qualitative aspect of impurity potential renormalization.

Hereafter, we discuss the effects of vertex correction due to AF critical fluctuations neglecting vertex corrections from the $d$-wave attraction $U_d$. We define the renormalized impurity potential $\tilde{v}$ within the first order approximation in the irreducible vertex $\Gamma_1$ as

$$\tilde{v}(\mathbf{k}, \mathbf{k} + \mathbf{q}, i\epsilon_n) = v_0 \Lambda(\mathbf{k}, \mathbf{q}, i\epsilon_n),$$

(42)

with

$$\Lambda(\mathbf{k}, \mathbf{q}, i\epsilon_n) = 1 + T \sum_{\mathbf{k}', \mathbf{n}', \mathbf{n}'} G(\mathbf{k}', i\epsilon_n') G(\mathbf{k}' + \mathbf{q}, i\epsilon_n') \Gamma_1(\mathbf{k}', i\epsilon_n', \mathbf{k}, i\epsilon_n, ; \mathbf{q}, 0).$$

(43)

Hereafter, we use the irreducible vertex function $\Gamma_1$ given by eq. (32), which includes magnetic critical fluctuations within the RPA.

We evaluate the self-energy in the single-particle Green function within the self-consistent
Born approximation. With the use of the renormalized impurity potential, we obtain the self-energy shown in Fig. 11 as

$$\Sigma_{\text{imp}}(k, i\epsilon_n) = c_{\text{imp}} \sum_{k'} \tilde{v}^2(k, k', i\epsilon_n) G(k', i\epsilon_n),$$

(44)

where $c_{\text{imp}}$ is the impurity concentration $c_{\text{imp}} = |1 - n|$. Here, we have assumed that the bare impurity potential is a real number so that the renormalized potential $\tilde{v}$ satisfies $\tilde{v}(k, k', i\epsilon_n) = \tilde{v}(k', k, i\epsilon_n)$. Even if the bare potential is real, the renormalized impurity potential can be a complex number in general. Even so, the imaginary part of the analytically continued self-energy still gives the damping rate of the quasi-particle as $1/2 \tau_k = -\text{Im}\Sigma_{\text{imp}}(k, \epsilon + i\delta)$.

We must comment on the first-order term of $\tilde{v}$ in the self-energy, which is given by

$$\Delta \Sigma^{(1)}(k, i\epsilon_n) = c_{\text{imp}} \tilde{v}(k, k, i\epsilon_n).$$

(45)

For the bare potential, since it has the general form of $v_0(k, k', i\epsilon) = v_0(k - k')$, the first-order term is constant, giving only a chemical potential shift. Unlike the bare potential, eq. (45) gives the $k$ and $\epsilon_n$ dependences. Unfortunately, the sign of the renormalized potential $\tilde{v}$ in Eq. (45) depends on the sign of the bare potential $v_0$. Thus, it shows an anomalous behavior that the damping rate easily becomes negative. However, this term must give only the chemical potential shift in the Green function $G$ constituting the self-energy like

$$\Sigma(k, i\epsilon_n) = T \sum_{q, \omega_m} V(q, i\omega_m) G(k + q, i\epsilon_n + i\omega_m),$$

(46)

$$V(q, i\omega_m) = U + \frac{3}{2} U^2 \chi_0(q, i\omega_m) + \frac{1}{2} U^2 \chi_0(q, i\omega_m) - U^2 \chi_0(q, i\omega_m).$$

(47)

This self-energy $\Sigma$ is related to the irreducible vertex function by $\frac{\delta \Sigma(k)}{\delta G(k')} = \Gamma_1(k, k'; q = 0)$. We do not consider this kind of self-energy correction in the present paper. However, because eq. (45) should originally be absorbed into eq. (46), we eliminate this first-order term.

We note that the Born approximation is valid in the case of a dilute concentration of impurities with a weak potential. In addition, since it overestimates the damping rate for a strong impurity potential, the application of the $t$-matrix theory is desirable in such a case. Nevertheless, here we use the Born approximation for simplicity because better theories, such as the $t$-matrix approximation, are numerically difficult to adopt for renormalized impurity potential including complex $k$ and $k'$ dependences.

If we have the calculated self-energy $\Sigma_{\text{imp}}(k, i\epsilon_n)$ due to impurities in eq. (44), the single-particle Green function is obtained from the Dyson equation:

$$G^{-1}(k, i\epsilon_n) = i\epsilon_n - \epsilon(k) + \mu - \Sigma_{\text{imp}}(k, i\epsilon_n).$$

(48)
Using this type of Green function, we repeatedly calculate the vertex function in eq. (32), the renormalized impurity potential in eq. (42), and the self-energy in eq. (44). This self-consistent calculation scheme is performed until $G$, $\Sigma_{\text{imp}}$, and $\Gamma$ converge. If a self-consistent solution is obtained, we can evaluate the effect of renormalized impurity scattering on the SC transition temperature $T_c$ and the Néel temperature $T_N$. Here, the carrier density $n$ is evaluated as

$$n = 2T \sum_{k,n} G(k, i\epsilon_n) e^{i\epsilon_n \delta}$$

$$= 1 + 4T \sum_{k,n \geq 0} \text{Re} G(k, i\epsilon_n).$$

(49)

We note here that $\partial n/\partial \mu$ calculated from the carrier density in eq. (49) and from the Green function in eq. (48) does not give divergence toward AF criticality because $G(k, i\epsilon_n)$ does not include the self-energy correction of eq. (46) with an effect of critical AF fluctuations. In this sense, relation eq. (5) is broken due to difference in the level of perturbation between $\kappa$ calculated from the $\mu$ dependences of $n$ and $\chi_c$ constructed by using an approximate vertex function $\Gamma_1$, eq. (32).

The AF transition temperature $T_N$ is determined with the use of the converged Green function by

$$1 - \alpha = U \max \{\chi_0(Q, 0; T = T_N)\},$$

(50)

$$\chi_0(Q, 0; T) = -T \sum_{k,n} G(k, i\epsilon_n) G(k + Q, i\epsilon_n).$$

(51)

The ordering vector $Q$, which gives the maximum $\chi_0(Q, 0)$, is not restricted to the commensurate AF vector $Q = (\pi, \pi)$ in general. Thus, hereafter, our criterion furthermore gives an incommensurate spin density wave transition. The true solution should be given at $\alpha = 0$. However, this criterion never gives the AF and SDW transition because the AL term gives the relation $\eta_c = \eta_s$ in eq. (14) and the damping rate diverges at the true AF critical point $\eta_s = \alpha = 0$. This serious problem is solved by the extension to the $t$-matrix theory in which the damping rate saturates at a constant value at $\eta_c = 0$, as discussed in §3. Because the extension to the $t$-matrix theory of the renormalized impurity potential is very difficult to compute within reasonable computation time, we choose the condition of $\alpha = 0.005$ to estimate $T_N$. This $\alpha$ corresponds to the situation where the 2D AF coherence length takes $\xi_{\text{AF}} \sim 13a$, with $a$ being the lattice constant, at $U = 2$ and in the half-filling.

The SC transition temperature $T_c$ is given by the Thouless criterion

$$1 = U_d T \sum_{k,n} |G(k, i\epsilon_n)|^2 \phi^2(k).$$

(52)
Since the impurity effect is included in the dressed Green function, a solution of eq. (52) gives a decrease in $T_c$ in disordered systems.

The numerical summation in eq. (44) requires a much longer time for calculations than that for $\chi_0$, eq. (51). Then, we rewrite Eq. (44) as

$$
\Sigma_{\text{imp}}(k, i\epsilon_n) = c_{\text{imp}} v_0^2 \sum_k G(k, i\epsilon_n) + c_{\text{imp}} \sum_q \left( \hat{v}^2(k, k + q; i\epsilon_n) - v_0^2 \right) G(k + q, i\epsilon_n). 
$$

The first term corresponds to the Born approximation for the bare impurity potential $v_0$, and its summation can be easily performed. In contrast, the second term is numerically more difficult to evaluate. Here, since the structure of the vertex correction appears at $q = 0$ and $q = (\pi, \pi)$, we approximate the summation using $q$-points with a high-priority around $q = 0$ and $(\pi, \pi)$. The resulting mesh points are shown in Fig. 12 for the $32 \times 32$ lattice. By discretizing the $q$-integral in eq. (53) with the use of the trapezoidal formula in each squared region, we can derive the weight of each mesh point in the discretized summation.

Although we take into account only the self-energy owing to impurities in the present paper, the above framework enables us to discuss how the mean-field phase diagram is influenced by renormalized impurity scattering.

6. Doping Phase Diagram in High-$T_c$ Cuprates with Disorder

First, let us investigate the behavior of the enhancement factor of the impurity potential and the Born self-energy in the limit of $v_0 \to 0$ for a parameter set $U = 2t$ and $n = 0.875$. 

![Fig. 12. Mesh in $q$-space for 32×32 lattice for numerical calculations. The $q$-summation in the Born self-energy, eq. (53), is performed over the center in the square surrounded by points with X symbols.](image)
Fig. 13. Real and imaginary parts of the renormalization factor $\Lambda(k, q, i\pi T)$ for the impurity potential as a function of the momentum $k$ of scattering electron. The momentum transfer $q$ is chosen to be zero.

where $\eta_b \equiv 1 - U \max|\chi_0(Q, 0)| = 0.015$.

Figure 13 shows the incident momentum ($k$) dependence of the renormalization factor of the impurity potential $\Lambda(k, q, i\epsilon_n)$ in the forward scattering limit $q = 0$. Its real part exhibits a peak at the Fermi surface, but the peak in the imaginary part deviates from the Fermi surface. Thus, only the enhanced real part contributes to low-energy impurity scattering processes. Note that the renormalization factor in our theory satisfies the relation $\lim_{q \to 0} \Lambda(k, q, 0 + i\delta) = 1 + \partial \Sigma(k, 0 + i\delta)/\partial \mu$, where the self-energy due to the electron correlation $\Sigma(k, i\epsilon_n)$ is given by eq. (46). In a normal Fermi liquid, $\text{Im} \Sigma(k, \epsilon + i\delta) \propto \max(\epsilon^2, \pi^2 T^2)$ is satisfied. Thus, $\lim_{q \to 0} \text{Im} \Lambda(k, q, 0 + i\delta) = \partial \text{Im} \Sigma(k, 0 + i\delta)/\partial \mu$ generally takes a nonzero value at finite temperatures. Therefore, the renormalized impurity potential generally takes a complex value even in the static limit ($i\epsilon_n \to 0 + i\delta$), even if the bare potential is real. For these reasons, the relation $\tilde{v}(k, k', i\epsilon) = \tilde{v}^*(k', k, i\epsilon)$ required in the bare potential is not satisfied.

Figure 14 shows a momentum transfer ($q$) dependence of the renormalization factor. Its global maximum appears at $q = 0$, as in the case of the AL-type correction of the charge susceptibility. Secondary peaks are also obtained near $q = 0$ as the AL term in the charge susceptibility. Correspondingly, we show the structure of the integral of the self-energy in eq. (44). The renormalized impurity potential is mainly enhanced in the forward scattering channel. Thus, the Born self-energy $\Sigma_{\text{imp}}$ can be approximated near the criticality as

$$\Sigma_{\text{imp}}(k, i\epsilon_n) \propto \tilde{v}^2(k, k, i\epsilon_n)G(k, i\epsilon_n)$$

$$\sim \text{Re}[\tilde{v}^2(k, k, i\epsilon_n)]\text{Im}G(k, i\epsilon_n),$$

(54)
Fig. 14. Real and imaginary parts of impurity potential renormalization factor $\Lambda(k, k + q, i\pi T)$ as functions of transfer $q$. The incident momentum is chosen to be $k = (\pi, \pi)$, around which the real part shows its peak.

Fig. 15. Comparison of real part of $\tilde{v}^2(k, k, i\pi T)$ (solid line) and $\text{Im}G(k, i\pi T)$ (dotted line) in the $k$-space at $n = 0.875$ and $T = 0.05t$. The variable $k$ sweeps along the broken line with the arrow shown in the inset. The Fermi surface for this case is drawn with a dotted line in the inset.

where we have ignored the contribution of $\text{Re}G(k, i\epsilon_n)$ in the second line, because $\text{Re}G(k, 0 + i\delta)$ approaches zero at the Fermi surface $k = k_F$. Figure 15 shows the $k$ dependence of $\text{Re}[\tilde{v}^2(k, k, i\pi T)]$ and $\text{Im}G(k, i\pi T)$. The peak in $\text{Im}G(k, i\pi T)$ corresponds to the Fermi surface momentum. The square of the renormalized impurity potential $\tilde{v}^2$ takes both positive and negative values. However, since it takes a positive value at the Fermi surface in the $(0, \pi)$ direction, the scattering rate of quasi-particle $1/2\tau_k \sim \text{Im}\Sigma_{\text{imp}}(k, i\pi T)$ is largely enhanced at the Fermi surface.
Fig. 16. Real and imaginary parts of the enhancement factor of the Born self-energy $\Sigma_{\text{imp}}(\boldsymbol{k}, i\pi T)/v_0^2$, with $\Sigma_{\text{imp}}$ given by Eq. (53), in the limit $v_0 \to 0$.

Figure 16 shows the real and imaginary parts of the Born self-energy, eq. (53), in the first-order correction with respect to $v_0^2$. On the other hand, the Born self-energy without vertex correction at $n = 0.875$ becomes almost independent of $\boldsymbol{k}$, in the low Matsubara frequency limit as

$$\text{Im}\Sigma_{\text{imp}}(\boldsymbol{k}, i\epsilon_n) \approx -c_{\text{imp}}v_0^2N_F\text{sign}(\epsilon_n)$$

$$= -0.027 \times v_0^2\text{sign}(\epsilon_n).$$

This has essentially no $\boldsymbol{k}$ dependence. One can see that the scattering amplitude $\text{Im}\Sigma_{\text{imp}}(\boldsymbol{k}, i\pi T)$, is enhanced around the Fermi surface particularly in the $(0, \pi)$ antinode direction. Not only the imaginary part but also the real part of $\text{Im}\Sigma_{\text{imp}}(\boldsymbol{k}, i\pi T)$ exhibits a visible $\boldsymbol{k}$ dependence. Since $c_{\text{imp}}v_0^2$ is definitely positive, real-part correction works by reducing the next-nearest-neighbor hopping $t'$. The above results display the enhancement of the scattering rate due to critical AF fluctuations.

Let us see the characteristic of the resulting doping phase diagram obtained by taking into account the impurity potential renormalized by the vertex correction. Figure 17 shows the resulting doping phase diagram in the extended Hubbard model with disorder, eq. (40). As we expected from previous discussions, we find a remarkable suppression effect on the transition temperatures $T_N$ and $T_c$ around the competing region of antiferromagnetism and superconductivity. This is due to the enhancement of the impurity potential renormalized by AL-type vertex correction. In fact, such a strong suppression of $T_N$ and $T_c$ cannot be understood without the vertex correction shown in the inset of Fig. 17, for which no such
Fig. 17. (Color) Doping phase diagram of disordered extended Hubbard model, eq. (40), with vertex correction for impurity potential. $v_0$ denotes the strength of the bare impurity potential. Each line represents the transition temperature of the antiferromagnetism (AF) in red, the incommensurate spin density wave (ISDW) in blue, and the superconductivity (SC) in green. Inset: phase diagram without vertex correction for impurity potential.

suppression appears

In the phase diagram in Fig. 17, the AF phase shows a reentrant feature as the temperature is lowered. However, because the coefficient of the quartic term $\gamma_4$ in the Ginzburg-Landau free energy takes a negative value in the low-temperature region $T < 0.524|\mu|$, the AF transition is expected to be of the first order in the low-temperature region where $dT_N(n)/dn < 0$. However, in order to study the reality of the reentrant feature or the first-order transition, a more reliable theory such as the $t$-matrix theory or a theory beyond mean-field analysis is required. For an incommensurate spin density wave transition, it is difficult to conclude the order of the transition because it requires more detailed analysis of free-energy structures considering couplings among multiple ordering vectors. The $v_0$ dependence of the doping phase diagram in Fig. 17 reproduces the tendency that both antiferromagnetism and superconductivity disappear around the competing region of the AF and SC phases when we move from five-layered compounds$^{2-5}$ to the double-layered compound YBCO.$^{25}$ Moreover, the resulting phase diagram reproduces the emergence of the incommensurate SDW phase observed in YBCO.$^{25}$ However, we must be careful with the application of our theory to more disordered cuprates such as LSCO. In the underdoped LSCO, which experiences a stronger disorder, the possibility of a spin-glass state,$^{49}$ a charge-glass state,$^{50}$ and the Anderson localization
due to the two-dimensional character should be discussed. Because the Born approximation is not suitable for a strongly disordered system, our theory cannot describe such insulating characteristics originating from a strong inhomogeneity. Thus, our theory should be applied to systems having a moderate (out-of-plane) disorder.

In contrast with the experimental phase diagram, the AF phase is more robust against the impurity potential than the SC phase in our results. The actual critical doping rate for the disappearance of antiferromagnetism is obtained as $\delta_c \sim 0.12$ for five-layered cuprates, 0.05 for YBCO, and 0.02 for LSCO. In our analysis, however, it is obtained as $\delta_c \sim 0.07$ for $v_0 = t$ where the SC transition temperature $T_c$ is lowered below half of the $T_c$ for $v_0 = 0$. There are two reasons for this discrepancy. First, we have ignored vertex corrections for the AF susceptibility as shown in Fig. 18(b). Different from that for the anisotropic superconductivity, the vertex correction for the AF susceptibility has a role of destabilizing the Néel order together with self-energy corrections, as shown in Fig. 18(a). However, we had to ignore this kind of vertex correction because of the long computational time required to evaluate the so-called diffuson diagram in which vertex corrections are summed up to the infinite ladder for the renormalized impurity potential. Second, both the effects of strong spin fluctuations and strong pairing fluctuations are missing in our mean-field analysis. Note that cuprates have a larger Coulomb repulsion such as $U \sim 6t$ or $8t$. In this region, the pairing interaction goes to a relatively strong coupling regime. In the presence of strong pairing interaction, a Cooper pair with a short coherence length is realized. In such a case, the SC state becomes robust against impurities in general.  

Although electrons in cuprates experience a strong Coulomb repulsion, we have analyzed in the weak-coupling situation that $U = 2t$ because the mean-field approximation gives a greatly overestimated $T_N$ for large-$U$ regions. Our weak-coupling assumption can be justified to some extent by regarding $U$ as an effective low-energy vertex function in the spin
channel. Even if the bare $U$ is as large as the bandwidth $8t$, the lo-energy effective vertex function is suppressed to $\sim 2t$ at half-filling in the two-particle self-consistent theory and self-consistent fluctuation theory. These theories are attempts to determine a constant $U_{sp}$ which is an approximate spin-channel vertex function in a reliable way. The suppression of the effective interaction in the spin channel has also been observed in the pseudo-potential parquet approximations. These saturating phenomena of magnetic interaction are well known as the Kanamori-Brückner screening effect in the problem of the ferromagnetic transition in itinerant electron systems. Owing to the suppression of the effective interaction triggering antiferromagnetism, our mean-field analysis is expected to give a qualitatively reasonable description for cuprates.

In addition, we have not considered the next-nearest neighbor hopping $t'$ and the third-nearest neighbor hopping $t''$, which are necessary for reproducing the quasi-particle dispersions in cuprates. In weak-coupling cases, however, the effect of $t'$ makes the AF phase appear only away from the half-filling. Then, we would have to require a reliable calculation method in the large-$U$ regime for the purpose of treating an actual band dispersion in cuprates.

7. Conclusions

We have investigated the doping phase diagram of high-$T_c$ cuprate superconductors with a moderate out-of-plane disorder in order to understand the mechanism by which the coexistence of antiferromagnetism and superconductivity exists in five-layered cuprates, but is suppressed toward double- and single-layered cuprates as the number of layers decreases. We hypothesized, in solving this problem, that the impurity potential is enhanced by the many-body corrections in the underdoped region, as shown in Fig. 1. In fact, the experiments on the charge susceptibility and discussion on the basis of the Fermi liquid theory suggest that the enhancement of the impurity potential should occur in the underdoped cuprates through the many-body effects leading to the enhancement of the charge susceptibility.

On the basis of the microscopic theory taking the scattering process through the renormalized impurity potential into account, we have shown that the effective impurity potential can actually be enhanced in the underdoped region by the AL-type process which also causes the enhancement of the uniform charge susceptibility. Although the AL-type corrections to the impurity potential gives a $1/z$ factor, they lead to the remarkable effects that the coexistence region of AF and SC decreases in size and that the peak of the SC transition temperature $T_c$ moves away from the AF critical point with increasing the disorder strength in the doping phase diagram.
As can be seen in Fig. 17, the enhancement of the disorder effect is actually substantiated within the analysis by the mean-field approximation and Born approximation. The resulting doping phase diagram can account for the phase diagram of YBCO, but not for the emergence of the glassy state in LSCO, relatively strongly disordered cuprates. This problem stems from the limited available range of the Born approximation, however, it allows the validity of the concept of the renormalization of disorder potential due to strong electron correlations.

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Appendix A: Cubic mode-mode coupling strength among charge fluctuations and AF fluctuations

The coupling strength among one charge fluctuation field at \( q = 0 \) and two AF fluctuation fields at \( Q^* = (\pi, \pi) \) is given by

\[
\gamma_3(0, Q^*; 0) = T \sum_{k,n} \left( \frac{1}{i\epsilon_n - \epsilon(k) + \mu} \right)^2 \frac{1}{i\epsilon_n - \epsilon(k + Q^*) + \mu}.
\]  

(A-1)

Here, we suppose that the single-particle dispersion in the square lattice is given as \( \epsilon_k = -2t(\cos k_x + \cos k_y) \). This dispersion satisfies the perfect nesting condition \( \epsilon_{k+Q} = -\epsilon_k \). Then, the coupling \( \gamma_3 \) is rewritten as

\[
\gamma_3 \sim T \sum_n N_F \int_{-\infty}^{\infty} d\epsilon \left( \frac{1}{i\epsilon_n - \epsilon + \mu} \right)^2 \frac{1}{i\epsilon_n + \epsilon + \mu} = \frac{i\pi N_F T}{2} \sum_{n \geq 0} \left[ \frac{1}{(i\epsilon_n - \mu)^2} - \frac{1}{(i\epsilon_n + \mu)^2} \right] = -2\pi N_F T \mu \sum_{n \geq 0} \frac{\epsilon_n}{(\epsilon_n^2 + \mu^2)^2}.
\]  

(A-2)

In the region \( |\mu| \ll \pi T \), \( \gamma_3 \) can be expanded by \( \mu \). The leading term is obtained as

\[
\gamma_3 \sim \frac{2N_F \mu}{\pi T^2} \sum_{n \geq 0} \frac{1}{(2n + 1)^3} = -\frac{7\zeta(3)N_F \mu}{4\pi^2 T^2}.
\]  

(A-3)
which is linear in $\mu$. In contrast, in the region $|\mu| \gg \pi T$, the summation can be approximated by an integral with respect to $\epsilon$ as

$$
\gamma_3 \sim -N_F \mu \int_0^\infty d\epsilon \frac{\epsilon}{(\epsilon^2 + \mu^2)^2} = -\frac{N_F}{2\mu}.
$$

The right-hand side of eq. (A·2) is equal to $(N_F/4\pi T) \text{Im} \psi'(1/2 + i\mu/2\pi T)$, where $\psi'$ is the tri-gamma function. Therefore, $|\gamma_3|$ reaches its maximum at $\mu \sim \mu_{\text{max}}$, which corresponds to the condition $\text{Re} \psi^{(2)}(1/2 + i\mu_{\text{max}}/2\pi T) = 0$, $\psi^{(2)}$ being the tetra-gamma function, because the following identity holds

$$
\frac{\partial}{\partial \mu} \text{Im} \psi'(\frac{1}{2} + \frac{i\mu}{2\pi T}) = \frac{1}{2\pi T} \text{Re} \psi^{(2)}(\frac{1}{2} + \frac{i\mu}{2\pi T}).
$$

Then, $|\mu_{\text{max}}|$ is given by $|\mu_{\text{max}}| \approx 1.91T$, (See Appendix C).

**Appendix B: Numerical procedure for calculating Aslamazov-Larkin term**

The FFT algorithm is useful in the evaluation of the AL term where the convolution integrals are included. In this appendix, we explain how it is applied to the calculation of AL terms. We consider the following AL term in the renormalization factor for the impurity potential:

$$
\Lambda_{\text{AL}}(k, i\epsilon_n; q) = T^2 \sum_{k', i\epsilon_n', q', i\omega_m} G(k', i\epsilon_n') G(k' + q, i\epsilon_n' + i\omega_m) G(k' + q', i\epsilon_n + i\omega_m) \Gamma_s(q', i\omega_m) \Gamma_s(q - q', i\omega_m) G(k + q', i\epsilon_n + i\omega_m).
$$

(B·1)

It is more convenient to directly calculate the three-point vertex function $\lambda_{\text{AL}}$ than the four-point vertex $\Gamma_{1,\text{AL}}$ because it is expressed by fewer momentum indices. The integral in the AL term can be rapidly performed with the use of the FFT at a fixed $q$. For this purpose, we disassemble eq. (B·1) as

$$
A(k, i\epsilon; q) = G(k, i\epsilon_n) G(k + q, i\epsilon_n),
$$

(B·2)

$$
B(q', i\omega_m; q) = \sum_{k, i\epsilon_n} A(k, i\epsilon_n; q) G(k + q, i\epsilon_n + i\omega_m),
$$

(B·3)

$$
C(q', i\omega_m; q) = B(q', i\omega_m; q) \Gamma(q', i\omega_m) \Gamma(q - q', i\omega_m),
$$

(B·4)

$$
\Lambda_{\text{AL}}(k, i\epsilon_n; q) = \sum_{q', i\omega_m} C(q', i\omega_m; q) G(k + q', i\epsilon_n + i\omega_m).
$$

(B·5)

We can obtain the renormalization factor $\Lambda_{\text{AL}}$ through eqs. (B·2) - (B·5). Note that eqs. (B·3) and (B·5) are expressed as the convolution form. Thus, its numerical calculation requires only the number of times of $O(N \log N)$ for a fixed transfer momentum $q$. Moreover, we can obtain
the AL-type correction for the static charge susceptibility from the three-point function $\Lambda_{\text{AL}}$ as

$$
\Delta \chi_{c,\text{AL}}(q) = \sum_{k,i\epsilon_n} \Lambda_{\text{AL}}(k,i\epsilon_n;q)G(k,i\epsilon_n)G(k+q,i\epsilon_n).
$$

\text{(B-6)}

Appendix C: Quartic AF mode-mode coupling strength

In this appendix, the quartic coupling strength among the four AF spin fluctuation modes is calculated. This coupling strength is equivalent to the fourth-order coefficient of the Ginzburg-Landau free energy for an AF order parameter.

We assume the same single-particle dispersion used in Appendix A. The quartic coupling $\gamma_4$ of AF spin fluctuation modes at $Q^* = (\pi, \pi)$ is evaluated as

$$
\gamma_4 = T \sum_{k,n} \frac{1}{(i\epsilon_n - \epsilon(k) + \mu)^2(i\epsilon_n - \epsilon(k + Q^*) + \mu)^2}
$$

$$
= T \sum_{k,n} \frac{1}{(i\epsilon_n - \epsilon(k) + \mu)^2(i\epsilon_n + \epsilon(k) + \mu)^2}
$$

$$
\sim T \sum_n N_F \int_{-\infty}^{\infty} \frac{d\epsilon}{(i\epsilon_n - \epsilon + \mu)^2(i\epsilon_n + \epsilon + \mu)^2}
$$

$$
= -\frac{i\pi N_F}{2} \sum_{n \geq 0} \left[ \frac{1}{(i\epsilon_n + \mu)^3} + \frac{1}{(i\epsilon_n - \mu)^3} \right]
$$

$$
= -\frac{N_F}{(4\pi T)^2} \text{Re} \psi^{(2)} \left( \frac{1}{2} + \frac{i|\mu|}{2\pi T} \right),
$$

\text{(C-1)}

where $\psi^{(2)}$ is the tetra-gamma function. $\text{Re} \psi^{(2)} \left( \frac{1}{2} + ix \right)$ changes its sign at $x \simeq 0.304$; i.e., $\text{Re} \psi^{(2)} \left( \frac{1}{2} + ix \right) < 0$ ($> 0$) for $x < 0.304$ ($x > 0.304$).\(^{66}\) Thus, $\gamma_4$ changes its sign at $|\mu| \simeq 1.91T$ from positive to negative as $|\mu|$ increases; i.e., $\gamma_4$ is expected to be negative in the low-temperature region $T < 0.524|\mu|$ in the underdoped region of the simple Hubbard model with $t' = 0$. The negativity of the quartic coupling constant $\gamma_4$ leads to a first-order phase transition and makes higher-order AL terms positive.
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Note added in proof:

After the present paper had been accepted for publication, we realized that we had missed to refer previous works which reported an experimental aspects related to the physics discussed in the present paper.

Effects of defects in correlated superconductors are reviewed in H. Alloul, J. Bobroff, M. Gabay, and P. Hirschfeld: Rev. Mod. Phys. 81 (2009) 45, in which the role of disorder in single-layered curate Hg1201 compound is discussed. The importance of taking into account the disorder for understanding the phase diagram, especially apparent competition between magnetism and superconductivity, of double-layered cuprate YBCO systems was discussed from experimental side in F. Rullier-Albenque, H. Alloul, F. Balakirev, and C. Proust: Europhys. Lett. 81 (2008) 37008.

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