ORIGINAL ARTICLE

Tribological Properties of Cylinder Block/Valve Plate Interface of Axial Piston Pump on the Tribometer

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Abstract
The tribological properties of cylinder block/valve plate is an important consideration in the design of axial piston pump. The effect of materials and heat treatment on friction and wear properties has been studied in depth. Engineering experiences show that the speed and load also affect the tribological properties, but these have not been systematically analyzed. The purpose of this paper is to evaluate the tribological properties of the commonly used materials (CuPb15Sn5 and 38CrMoAl/42CrMo) for cylinder block/valve plate with different heat treatment and contact pressure at different speed. During the test, tribometer is used to simulate the contact pattern between the valve plate/cylinder block in axial piston pump, the friction coefficient, wear rate and surface topography are analyzed to evaluate the tribological properties of different types of friction samples at different speed. Results indicate that: (1) contact surface of the samples at 1800 r/min is more prone to adhesive wear than those at 500 r/min; (2) in the terms of wear resistance, quench-tempered and nitrided 38CrMoAl (38CrMoAl QTN for short) is better than quench-tempered and nitrided 42CrMo, although they are all commonly used materials in the axial piston pump; (3) 2.5 MPa is the critical contact pressure of the interface between valve plate made of 38CrMoAl QTN and cylinder block made of CuPb15Sn5 on the tribometer, which implies the pressure bearing area at the bottom of the cylinder block should be carefully designed; (4) the valve plate/cylinder block made of 38CrMoAl QTN/CuPb15Sn5 exhibits good tribological properties in a real axial piston pump. This research is useful for the failure analysis and structural optimization design of the valve plates/cylinder block.

Keywords: Axial piston pump, Valve plate/cylinder block, Tribological properties, Heat treatment, Tribometer

1 Introduction
Axial piston pump is widely used in industrial applications such as aerospace, agriculture and heavy machinery because of its excellent operating performance [1, 2]. In axial piston pumps, the valve plate/cylinder block interface is one of the key tribological pairs, and plays an important impact on the pump performance [3, 4].

Researchers have conducted extensive researches on the friction and wear properties of the valve plate/cylinder block [5–7]. Zhao et al. [8] studied the tribological properties of the valve plate/cylinder block made of PEEK/38CrMoAlA under the condition of 3# aviation kerosene lubrication. Zhu et al. [9] investigated the influence of surface morphology on its tribological properties under low-speed conditions, which indicated that the smooth surface could significantly improve its tribological properties. Some researchers investigated the effects of coatings on its friction and wear properties under low-speed conditions, in which duplex TiN [10], PVD TiN [11], CrSiN [12], and Cr–X–N (X=Zr, Si) [13] were coated onto the cylinder block surface, and results indicated that both the friction coefficient and wear rate could be significantly reduced. Chen et al. [14] applied low temperature plasma nitriding (LPN) into 38CrMoAl...
hydraulic plunger and got compound layer on its surface, the results showed that the heat treatment could effectively improve its tribological properties. Schuhler et al. [15] found that the wear resistance of stainless steel was improved after nitriding.

Li et al. [16, 17] investigated the performance of the friction pairs with textured valve plate in the range of 150–750 r/min, and pointed out that both the friction coefficient and wear rate were reduced. Deng et al. [18–20] pointed out that the textured valve plate could increase oil-film stiffness and reduce the leakage. Wu et al. [21, 22] studied the friction and wear properties of bionic non-smooth surfaces on the valve plate/cylinder block (glass fiber-epoxy resin), and found that this configuration lowered friction coefficient and wear rate.

In short, the above researches mainly focused on the following two aspects: (1) improving the friction performance by covering wear-resistant materials or deploying texture on the surfaces between the valve plate and the cylinder block; (2) exploring the effect of surface morphology and heat treatment on its friction and wear properties. It should be noted that most of the researches related to the valve plate/cylinder block were carried out on a tribometer under low-speed conditions (≤ 1000 r/min).

To reduce friction and wear, the valve plate/cylinder block is designed to create a full film to balance most external loads and prevent contact between the metallic surfaces of tribological pairs. But under some extreme conditions, such as overload or excessive oil temperature, the fluid film lubrication might break down, so that the interface transitions to boundary lubrication or mixed lubrication. In this case, the surfaces of the material are so close that contact might occur between the asperities, which could result in severe wear, metal adhesion and eventually failure of the tribological pairs. Research results have shown that both operating speed and contact pressure play an important impact on the performance of the rotating friction pair [23–25]. Undoubtedly, in the case of boundary lubrication or mixed lubrication, the operating speed and contact pressure must have an important effect on its friction and wear properties as well. However, these problems have not been systematically discussed yet.

The aim of this work is to investigate how the operating speed, contact pressure and heat treatment affect the tribological properties of valve plate/cylinder block samples made of 38CrMoAl/42CrMo and CuPb15Sn5 under the conditions of boundary lubrication and/or mixed lubrication; understand the dominant wear mechanisms and the possible failure mode. During the test, tribometer is used to simulate the contact pattern between the valve plate/cylinder block in axial piston pump. Then friction coefficient, wear rate and surface topography are used to evaluate the tribological properties of valve plate/cylinder block at a speed of 1800 r/min because pumps are usually driven by 4-pole electric motors and operate at a speed of approximately 1500 r/min in most industrial applications. These findings is useful for the failure analysis and structural optimization design of the valve plates/cylinder block.

2 Test Details

2.1 Contact Between Valve Plate and Cylinder Block

Sketch of the valve plate/cylinder block in an axial piston pump is shown in Figure 1. As shown, the valve plate is fixed in the rear cover of the pump (not shown), cylinder block is pressed against the valve plate to create a sealing surface by combination of spring and the force generated by high-pressure oil acting on the bottom of the cylinder block. To reduce power loss at the cylinder block and valve plate interface, the contact area is limited to two rings known as "balance lands". To reduce wear, it is typical to have the valve plate or the cylinder block coated with the soft material, such as brass, red copper or bronze, while the opposing surface is usually

![Figure 1](image)
characterized by the steel or ductile iron surface, for example 38CrMoAl or 42CrMo.

With the help of drive shaft, the cylinder block rotates on the surface of the valve plate; while it rotates, the pistons nested in the cylinder block reciprocate in the piston chambers, then the oil is sucked in or discharged out of the piston chambers. The friction torque, \( M_{f} \), between the valve plate and cylinder block can be expressed as follows:

\[
F_f = \mu \cdot (F_p + F_k - F_o),
\]

\( F_p = \sum_{i=1}^{n} A_b \cdot p_i, \)

\( F_o = A \cdot p_s, \)

\( M_f = r \cdot F_f, \)

\( (1) \)

\( (2) \)

\( (3) \)

\( (4) \)

where \( F_f \) is friction force, \( \mu \) is friction coefficient; \( r \) is torque arm of the force with regard to drive shaft; \( F_p \) is the pressure-clamping force caused by instantaneous pressure in each piston/cylinder chamber, \( p_i \); \( A_b \) is the effective pressurized area in a single piston bore; \( n \) is the number of pistons; \( F_k \) is spring force; \( F_o \) is bearing force; \( p_s \) is oil film pressure between friction pairs; \( A \) is effective area of oil film.

2.2 Test Specimens

The dimensions of the specimens are shown in Figure 2. As shown, the upper sample used to simulate the cylinder block is made of CuPb15Sn5; the outer diameter of friction surface is 26 mm, the inner diameter of friction surface is 20 mm. The base material of the lower sample simulating the valve plate is 38CrMoAl or 42CrMo. Material compositions of the samples are shown in Table 1. 38CrMoAl is a kind of nitriding steel, it has excellent heat resistance and corrosion resistance, 42CrMo has high toughness and hardenability. CuPb15Sn5 is one of the materials commonly used in the application of the axial piston pump cylinder block, the lead particles are distributed in the copper substrate; since the lead element acts as a solid lubricant during friction process, CuPb15Sn5 has a low friction coefficient.

For comparison, there are 6 sets of specimens, namely, 2 experiment groups and 4 control groups. The upper samples of these 6 groups are all made of CuPb15Sn5. As listed in Table 2, NHT means ‘no heat treatment’, NT represents ‘nitriding treatment’, and QTN is ‘quenching, tempering and nitriding treatment’. The upper/lower sample of ‘Experiment 1’ is made of CuPb15Sn5/38CrMoAl QTN. The upper/lower sample of ‘Experiment 2’ is made of CuPb15Sn5/42CrMo QTN.

Physical phases of the lower samples were examined by XRD (X-Ray Diffraction). As shown in Figure 3(a), there is only \( \alpha \)-Fe in the samples of 38CrMoAl NHT

![Figure 2 Dimensions of the upper and lower specimens](image)

Table 1 Material composition of the specimens (%)

| Composition   | C    | Si   | Mn   | Al   | P    | Cr   | S    | Ni   | Mo   |
|---------------|------|------|------|------|------|------|------|------|------|
| 38CrMoAl      | 0.35–0.42 | 0.20–0.45 | 0.30–0.60 | 0.70–1.10 | ≤0.035 | 1.35–1.65 | ≤0.035 | ≤0.030 | 0.15–0.25 |
| 42CrMo        | 0.38–0.45 | 0.17–0.37 | 0.50–0.80 | –     | ≤0.035 | 0.90–1.20 | ≤0.035 | ≤0.030 | 0.15–0.25 |

| Composition   | Cu   | Sn   | Pb   | Ni   | Zn   | Other |
|---------------|------|------|------|------|------|-------|
| CuPb15Sn5     | Margin | 4.0–6.0 | 14.0–16.8 | 1.0–2.0 | <0.05 | <1.0  |
| Result        | Margin | 4.6  | 15.36 | 1.37  | <0.03 | <1.0  |

Table 2 Experiment group arrangement

| Groups   | Upper samples | Lower samples |
|----------|---------------|---------------|
| Control group 1 | CuPb15Sn5 | 38CrMoAl NHT |
| Control group 2 | CuPb15Sn5 | 38CrMoAl NT |
| Experiment 1   | CuPb15Sn5 | 38CrMoAl QTN |
| Control group 3 | CuPb15Sn5 | 42CrMo NHT |
| Control group 4 | CuPb15Sn5 | 42CrMo NT |
| Experiment 2   | CuPb15Sn5 | 42CrMo QTN |

Figure 2 Dimensions of the upper and lower specimens

![Figure 2 Dimensions of the upper and lower specimens](image)
and 38CrMoAl QTN; there is a small amount of nitride in the samples of 38CrMoAl NT besides α-Fe, because the nitride on the surfaces of the samples were not completely removed in the grinding stage. And there is only α-Fe in the samples of 42CrMo NT, 42CrMo NHT and 42CrMo QTN, as shown in Figure 3(b). Therefore, it can be concluded that the physical phase of these lower samples is similar.

The lower samples listed in Table 2 were cut perpendicularly. Their cross sections were polished and etched with 4% nitric acid alcohol solution. Their metallographic structure were observed by means of a metallographic microscope. Since both 38CrMoAl and 42CrMo are hypoeutectoid steel, it can be found that the microstructures of these two samples with NHT (Figure 4(a), (d)) are ferrite and pearlite. The microstructures of the samples with NT (Figure 4(b), (e)) are still ferrite and pearlite, and those with QNT are tempered sorbite (Figure 4(c), (f)).

Surface hardness of the samples was measured by Vickers hardness tester under 10 kg load condition. Mean values were obtained by 5 repeated measurements. Hardness of each group is shown in Table 3. As shown, the surface hardness of CuPb15Sn5 is 104 HV; the surface hardness of 38CrMoAl NHT is almost the same as that of 42CrMo NHT, namely, 216 HV and 218 HV. It seems that both nitriding process and quenching, tempering and nitriding treatment can significantly improve the surface hardness. It indicates the surface hardness of 38CrMoAl NT and 38CrMoAl QTN is higher than that of 42CrMo NT and 42CrMo QTN. The original morphology of the upper and lower samples is shown in Figure 5, their surface roughness are 0.2 μm and 0.4 μm, respectively.

2.3 Test Equipment and Procedure

Wear tests were performed by means of the ring-on-disk tribometer, MMU-10H, shown in Figures 6 and 7. As shown, the upper sample simulating the cylinder block was mounted on the tester’s shaft driven by a servo motor, its speed range is 0–2000 r/min; the lower sample simulating the valve plate was fixed on the bottom of the stationary oil box that was connected to the loading hydraulic cylinder through a ball join. The hydraulic cylinder in the rig generates force and applies the force to the tested sample. During the test, the load can be adjusted by changing the force of the hydraulic cylinder. L-HM46 is a mineral oil, and widely used in the hydraulic control system to transmit power. To make the test conditions consistent with the valve plate/cylinder block in a real axial piston pump, L-HM 46 hydraulic oil is injected into the cavity between the upper and lower samples by means of gear pump, it acts as a coolant and lubricant; after that, it returns to the oil tank. The main properties of L-HM46 are listed in Table 4.

During the experiment, the thermocouple fixed at the bottom of the oil box detects the temperature in real time. The torque sensor and load sensor measure the friction torque and the loading force, respectively. The computer installed in the test rig is used to regulate the force generated by hydraulic cylinder and the rotation speed of the upper sample; in addition, it collects the friction coefficient and temperature. The sampling interval is 0.1 s.

As mentioned above, the hydraulic cylinder generates a normal force \( F_N \) to the interface between the upper and lower samples. The maximum output force is 10 kN, and its accuracy is ±1% FS. The friction torque \( T \) is acquired by a sensor. Therefore, the friction coefficient \( \mu \) can be calculated by

\[
\mu = \frac{T}{F_N \cdot R}
\]  

(5)

where \( R \) is the torque arm of \( F_N \) with regard to the shaft. The contact pressure, \( P_{Load} \), is calculated by

\[
P_{Load} = \frac{F_N}{A}
\]  

(6)

where \( A \) is the maximum contact area.
In most industrial applications, axial piston pumps are typically driven by 4-pole motors and operate at speed of approximately 1500 r/min although their rated speed are usually higher than that. In addition, the maximum operating speed of the tribometer is 2000 r/min. Therefore, the maximum speed during the test is determined to be 1800 r/min, which is slightly higher than 1500 r/min.

| Material       | NHT | NT | QTN |
|----------------|-----|----|-----|
| 38CrMoAl       | 216 | 724| 772 |
| 42CrMo         | 218 | 572| 642 |
| CuPb15Sn5      | 104 |   |    |

Figure 4 Metallographic of the lower sample section

Table 3 Surface hardness of the lower sample (HV)

In most industrial applications, axial piston pumps are typically driven by 4-pole motors and operate at speed of approximately 1500 r/min although their rated speed are usually higher than that. In addition, the maximum operating speed of the tribometer is 2000 r/min. Therefore, the maximum speed during the test is determined to be 1800 r/min, which is slightly higher than 1500 r/min.
In each cycle, the upper sample rotated 27430 times, namely, the sliding distance was 2000 m, which was equal to the number of revolutions by the radial center perimeter of friction ring. Lubricant flow rate was 1.5 mL/s. System temperature was adjusted to 23 ± 1 °C before test. Each test was repeated 3 times. All samples were ultrasonically cleaned with acetone solution for ten minutes before and after the test, then washed with alcohol and dried for weighing. Accuracy of the analytical balance is 1 mg. Each sample was weighed five times and then the average was calculated. After that, surface topography of the sample was observed using VHX-5000 super deep scene 3D microscope.

3 Results
3.1 Effect of Speed on the Tribological Characteristics
In this section, the test object is the ‘Experiment 1’ in Table 2. The contact pressure was adjusted to 3 MPa, and the speed was 500 r/min and 1800 r/min, respectively. Due to the difference in surface hardness between the upper and lower samples, wear mainly occurs on the upper samples made of CuPb15Sn5. Therefore, only the wear rate of the upper samples is calculated as the measured mass loss divided by the sliding distance, the unit is mg/m.
The friction coefficients at 500 r/min and 1800 r/min are respectively shown in Figure 8. At the speed of 500 r/min, it gradually increases, then reaches a stable wear stage; the stable value is 0.105. In regard to 1800 r/min, the friction coefficient fluctuates between 0.045 and 0.08, and there was a huge noise during the test. After the test, it was found that a large amount of loose copper particles or wear debris were accumulated between the friction pairs. All of these phenomena indicate that the friction at 1800 r/min is unstable. Their corresponding wear rates at 500 r/min and 1800 r/min are shown in Figure 9, i.e., 2.25 mg/m and 5.81 mg/m, respectively.

As shown in Figure 10(a), the surface of the upper samples at 500 r/min does not show severe adhesive wear; however, there is severe adhesive wear on the surface under the condition of 1800 r/min (Figure 10(b)). After the test, a lot of CuPb15Sn5 debris were found on the friction surface under the condition of 1800 r/min. The reasons may be as follows: on the one hand, it is impossible to keep a complete oil film between the contact surfaces under the combined action of the centrifugal force and the contact pressure of 3 MPa; on the other hand, friction heat might soften the upper sample. In this test, both frictional heat and centrifugal force increase significantly with speed. Therefore, adhesive wear is aggravated as the rotational speed increases.

In addition, it can be inferred from Figure 10 that the fluctuation in the friction coefficient at 1800 r/min might result from three-body abrasive wear. The process is generally as follows: adhesion wear occurs because of high contact pressure and high rotational speed, then adhered copper material is knocked off and is crushed into a large amount of loose wear abrasives, these loose abrasive particles will slide across the wearing surface, just acting as rolling bearings between the friction surfaces, which will convert the sample from sliding friction to rolling friction, thereby reducing the friction coefficient. However, due to the differences in the shape and size of these loose abrasive copper particles, and they are not evenly distributed between the friction surfaces, which will make the friction pair rotate unevenly, and then the friction coefficient curve fluctuates.

### 3.2 Effect of Contact Pressure on Tribological Characteristics

In this section, the samples are from ‘experiment 1’ in Table 2. The operating speed was 1800 r/min. During the test, the contact pressure was set as 1 MPa, 1.5 MPa, 2 MPa, 2.5 MPa and 3 MPa, respectively. As shown in Figure 11, under the condition of 1 MPa, 1.5 MPa, 2 MPa and 2.5 MPa, the friction pair goes through a short running-in wear stage in which the friction coefficient gradually decreases, then it reaches a stable wear stage, their average friction coefficients at stable stage are 0.075, 0.07, 0.065 and 0.08, respectively.

Within the contact pressure range of 1–2 MPa, the friction coefficient decreases with the increase of contact pressure; as the contact pressure increases to 2.5 MPa, the friction coefficient is about 0.08. This trend matches the relation between friction and Sommerfeld Number [25]. The Sommerfeld Number is a dimensionless quantity used extensively in hydrodynamic lubrication analysis. From a macroscopic point of view, when
the rotational speed and fluid viscosity are constant, the Sommerfeld Number decreases as the contact pressure increases. Under full fluid film lubrication condition, the friction coefficient decreases as Sommerfeld Number decreases. Once the conditions like the contact pressure decreasing the Sommerfeld Number enough, the interface transitions to mixed lubrication or boundary lubrication. Under the condition of mixed lubrication or boundary lubrication, the contact of the surfaces begins to occur between the asperities, so the friction level increases sharply. In addition, it should pointed out that a large amount of debris were found in the oil box under the condition of 2.5 MPa after the experiment, and the maximum temperature have reached 50 °C (as shown in Figure 12), which was higher than that under 2 MPa condition as for 1–2 MPa, there is little debris in the oil box after experiment.

When the contact pressure is further increased to 3 MPa, the experimental friction coefficient becomes extremely unstable. As can be seen from Figure 11(e), the friction coefficient fluctuates between 0.045 and 0.08. The reason is that the loose abrasive particles generated by the adhesive wear are present on the friction surface, which act as rolling bearings between the surfaces to reduce the friction coefficient.

The wear rate at the contact pressure of 1 MPa, 1.5 MPa, 2 MPa, 2.5 MPa and 3 MPa are shown in Figure 13. As shown, the wear rate increases nonlinearly as the contact pressure increases. It increases slowly when the contact pressure is less than 2.5 MPa; once the contact pressure exceeds 2.5 MPa, it is sharply increased.

Figures 14, 15 illustrate the surface morphology of the sample after tests. Since the rate of wear is low at the contact pressure of 1 MPa and 1.5 MPa, the original processing marks on the surface of upper samples are still clearly visible, as shown in Figure 14(a), (b). At the contact pressure of 2 MPa, the original morphology of the upper sample disappears, and its surface is smoother than that before the experiment, as shown in Figure 14(c). There are no scratches or adhesive wear on the surface of the upper samples shown in Figure 14(a)−(c).

As described in Section 2.2, the surface roughness of the upper and lower samples are 0.2 μm and 0.4 μm, respectively. In other words, there are some asperities on every surface. These asperities may be preserved under light load and full film lubrication conditions, such as 1 MPa and 1.5 MPa. As the load is greater than the critical value, the tips of these asperities contact each other because of the breakdown of the lubricant film, and the “real” contact area is much smaller than the dimensions of friction pair, then the asperities are quickly loaded beyond their yield stress. As a result, the plastic deformation makes the surface of the friction pair look smoother. This phenomenon is consistent with the test results under 2 MPa operating conditions (Figure 14(c), (f)).

As the load is further increased, the subsequent relative movement of the friction pair would make the joined asperities shear each other, and then new junctions form. If the force of adhesion at the junction between the two surfaces is higher than cohesion, the shearing might occur at the base of one of the asperities. As a result, the material from one surface may be transferred to the other one, which is known as adhesive wear. This description is consistent with the test results under 2.5 MPa condition. As shown, a small amount of adhesive wear appears on the sample surface shown in Figure 15(a), and the surface of the lower sample is slight oxidized due to friction heat (Figure 15(c)).
As the contact pressure is increased to 3 MPa, severe wear occurs on the upper sample (Figure 15(b)), and several pieces of bronze are torn away from its surface. For the lower sample shown in Figure 15(d), some bronze particles adhere to its surface, and its surface is severely oxidized by the friction heating. The results indicate that the valve plate/cylinder block friction...
pairs cannot reach the specified life at the contact pressure of 3 MPa. Obviously, it can be concluded from Figures 11(a)–(c), 13 and 14 that the interface transitions to the mixed lubrication condition in the range of 1.5–2 MPa. The wear rate is sharply increased when the contact pressure is higher than 2.5 MPa. Based on the above results, 2.5 MPa and 1800 r/min are selected as subsequent experimental parameters.

3.3 Effect of Material and Processing Treatment on the Tribological Performance

3.3.1 Friction Coefficient and the Wear Rate

In this section, the contact pressure is 2.5 MPa and the operating speed is 1800 r/min. Figure 16(a) shows the friction coefficients of 38CrMoAl samples with different treatment. As shown, the friction coefficient of the ‘control group 1’ gradually increases from 0.06 to 0.1 in the initial stage, then it rapidly increases to a certain value and starts to fluctuate, the average value is about 0.12. The friction coefficients of the ‘control group 2’ and the ‘experiment 1’ are between 0.07 and 0.08, it seems that the curve of ‘experiment 1’ is more stable than that of ‘control group 2’.

Figure 16(b) shows the friction coefficients of 42CrMo samples with different heat treatment. The friction coefficient of the ‘control group 3’ slowly decreases from 0.08 to 0.07. In the ‘control group 4’, it remains stable after a brief increase, but exceeds 0.11 and fluctuates greatly in the second half of the test. After the quenching and tempering processes, the ‘experiment 2’ shows a significant improvement compared with the ‘control group 4’, but there still exists fluctuations in the later stage.

Figure 17 illustrates the wear rate of upper sample in each experiment. It can be found that the wear rate is consistent with the friction coefficient. For 38CrMoAl with different treatment, the ‘experiment 1’ experiences the lowest wear rate, namely, 2.7 mg/m; and those of ‘control group 1’ and ‘control group 2’ are 8.95 mg/m and 6.35 mg/m, which are 3.3 times and 2.4 times as high as that of the ‘experiment 1’, respectively. As for the 42CrMo with different treatment, the ‘control group 3’ has the lowest wear rate, namely, 3.65 mg/m; and those of ‘control group 4’ and ‘experiment 2’ are 8.15 mg/m and 4.4 mg/m.

Comprehensive comparison of the above tests, it can be found that the wear characteristics of 38CrMoAl and 42CrMo are quite different. The wear rate of 38CrMoAl without any heat treatment is 145% higher than that of 42CrMo under the same condition; the wear rate of 38CrMoAl treated by nitriding process is 22% lower than that of 42CrMo; the wear rate of 38CrMoAl treated by tempering, quenching and nitriding process is 38% lower than that of 42CrMo.

3.3.2 Surface Topography

Figure 18 shows surface topography of the friction pairs with 38CrMoAl lower sample. For ‘control group 1’, the upper sample is severely worn (Figure 18(a)), the marks of adhesive wear exceed 198 μm in diameter and scratches produced by abrasive also exceed 70 μm; there also exists severe wear on the lower sample shown in Figure 18(d), it can be found that scratches with a width greater than 100 μm appear on it, and bronze particles adhere to the surface.

Compared with the test results of the ‘control group 1’, the surface wear of the ‘control group 2’ is slight. As illustrated in Figure 18(b), both scratches and adhesive wears are found on the upper sample surface, and the plastic deformation occurs on its surface, too; Figure 18(e) is the lower sample paired with it, it can be found that its surface roughness has disappeared, some bronze particles
accumulate and have been transferred to its surface. Bronze particles transferred to the lower sample would come into contact with the upper sample, and eventually form adhesive wear or indentation (plastic deformation) on the upper sample.

Figure 18(c), (f) depicts the surface topography from 'experiment 1', its wear seems to be the slightest. For the upper sample shown in Figure 18(c), some adhesive wear and scratches exist on its surface as well, but these are negligible by comparison with Figure 18(a), (b); the lower sample paired with it is shown in Figure 18(f), it can be
found that its surface is smooth and bronze particles are transferred to its surface as well.

Figure 19 shows the surface topography of the friction pairs with 42CrMo lower sample. For ‘control group 3’, there is a small amount of adhesive wear and slight indentations on its upper sample surface (Figure 19(a)); and some bronze particles are evenly spread between the lower-sample roughness peaks (Figure 19(d)), thereby smoothing its surface.

With respect to the ‘control group 4’, the upper sample seems to experience severe adhesive wear, and there are also serious scratches on it (Figure 19(b)). It can be found from Figure 19(e) that the roughness peaks on the lower sample have disappeared, and a large amount of bronze has accumulated on its surface; in addition, its entire friction area is covered with oxidized marks.

As depicted in Figure 19(c), adhesive wear and scratches also occur on the upper sample from the ‘experimental 2’, but the wear rate is slighter than that of the ‘control group 4’. Apart from that, the lower sample also shows an improvement in wear resistance, as illustrated in Figure 19(f). It could be found that only a small amount of bronze adheres to its surface, and its surface scratches and oxidation phenomena are also significantly reduced.

4 Wear Mechanisms

The friction pair composed of CuPb15Sn5 and 38CrMoAl or 42CrMo usually has a short running-in wear stage, then it reaches a stable wear stage. In the running-in wear stage, the friction coefficient gradually decreases and exhibits unstable behavior, and this period appears in all the tests in this paper. During the stable wear stage, the heat treatment of the 38CrMoAl or 42CrMo will have a greater impact on the friction and wear characteristics.

The lower sample made of 38CrMoAl without any heat treatment has the worst wear resistance. At the initial stage of the test, the bronze particles generated by the
abrasion will adhere to the surface of 38CrMoAl sample and gradually accumulate. As a result, the bronze-bronze contact occurs between the friction surfaces, which leads to adhesive wear. Adhesive wear further exacerbates the surface roughness of the bronze sample, which is more likely to produce scratches on the 38CrMoAl surface and aggravate the wear of the friction pair because the hardness difference between the upper and lower samples is not obvious. However, the tribological properties of the 42CrMo without any heat treatment are better than that of 38CrMoAl under the same test conditions. In this case, the bronze particles from the upper sample are evenly spread between the roughness peaks instead of accumulating on the lower sample. With respect to 42CrMo lower sample without any heat treatment, there will be no serious wear between the friction surfaces.

After nitriding, the metallographic structures of the lower samples made of 38CrMoAl or 42CrMo don’t change. But the lower sample is greatly improved on the hardness and becomes more wear-resistant. As for the friction pairs of CuPb15Sn5/nitrided 38CrMoAl, bronze particles accumulated on the surface of nitrided 38CrMoAl make the bronze surface more susceptible to adhesive wear or plastic deformation. However, the rough surface of the bronze sample does not produce scratches on the surface of nitrided 38CrMoAl sample because of the extremely high hardness of the latter. As for the friction pairs of CuPb15Sn5/nitrided 42CrMo, the accumulation of bronze particles on the surface of nitrided 42CrMo is higher than that of nitrided 38CrMoAl. Therefore, the adhesion wear of CuPb15Sn5/nitrided 42CrMo friction pairs is worse than that of CuPb15Sn5/nitrided 38CrMoAl. The adhesion wear may lead to the increase in the friction coefficient and scratches, and even oxidation on the lower sample.

After quenching, tempering and nitriding, the surface microstructure of the lower sample is fined. Carbide is well-distributed and the surface characteristics are improved. Due to the improvement of the surface characteristics, only a small amount of the bronze particles generated by the abrasive wear adheres to its surface. The surface of quench-tempered and nitrided 38CrMoAl is hardly adhered by bronze particles, so there is only slight adhesive wear on the surface of the bronze sample. As for quench-tempered and nitrided 42CrMo, there is a small amount of bronze particles on its surface, so there is also

![Figure 16 Friction coefficient](image)

![Figure 17 Comparison of experimental average wear rate](image)
adhesive wear and scratches on the surface of the bronze sample.

5 Research Applications

Steel (usually 38CrMoAl, 42CrMo) and copper (usually CuPb15Sn5) materials have been used for the manufacture of valve plates and cylinder block for a long time. Although some researchers have tried to use new materials or cutting-edge coating technology to improve the wear resistance; in practice, most of the valve plate—cylinder block pairs still use iron-copper materials because of cost issues. Therefore, it is of great significance to fully exploit the potential performance of some common metal materials to meet the design requirements of axial piston pumps through heat treatment and friction material selection. For example, the results in this paper have confirmed that CuPb15Sn5/quench-tempered and nitrided 38CrMoAl is more suitable for valve plate/cylinder block than CuPb15Sn5/quench-tempered and nitrided 42CrMo.

Figure 20 illustrates the friction and wear characteristics of the valve plate and cylinder block inside a real axial piston pump, which had been operated for a period of time under the conditions of 30 MPa delivery pressure and 1450 r/min. Observed with naked eyes, there are some visible signs of friction on their surfaces; however, there is no serious adhesive wear and abrasive wear because of fluid films.

Figure 21 shows the contour curves of the valve plate and cylinder block, which were measured by WENZEL® Coordinate Measuring Machine (Model: LH87), the curve labelled “original contour” refers to the contour curve of a new valve plate or cylinder block along the radius; and the curves labelled “contour 1”, “contour 2”,...
“contour 2” are the test profiles of the worn valve plate or cylinder block, which are 120 degrees apart in the circumferential direction. It can be concluded that the friction and wear is relatively slight.

As illustrated in Figure 1, cylinder block is pressed against the valve plate to create a sealing surface by combination of spring force, $F_k$, and the force generated by high-pressure oil. So Eq. (6) is rewritten, the contact pressure at the interface between the valve plate and cylinder block is,

$$P_{Load} = \frac{\sum_{i=1}^{n} A_b \cdot p_i + F_k - A \cdot p_s}{A} = \frac{A_b}{A} \sum_{i=1}^{n} p_i - p_s + \frac{F_k}{A},$$

(7)

In order to avoid the adhesive wear of the axial piston pump under a certain speed condition, it should be
\[ p_n < p_{\text{Load}} = \frac{A_b}{A} \sum_{i=1}^{n} p_i - p_s + \frac{F_k}{A}, \]  

(8)

where \( p_n \) is the ultimate contact pressure of the friction pair metal material under a certain speed condition.

It can be known from Eq. (8) that it is related to the axial piston pump mechanical structure and delivery oil pressure. However, as far as I know, the design of \( A_b \) does not take into account the factors of the contact pressure. For example, \( A_b \) is calculated by Ref. [26].

\[ B_b = \frac{A_p - A_b}{A_p} \times 100\%, \]  

(9)

where \( B_b \) is the cylinder-block balance coefficient, typical values for \( B_b \) range between 90% and 100%; \( A_p \) is the cross-sectional area of each piston.

As found in previous experiments, \( p_n \) of the valve plate/cylinder block is 2.5 MPa, so Eq. (8) can be regarded as a constraint in the optimization of the valve plate/cylinder block, and then a more reasonable mechanical structural parameter may be obtained, thereby improving the working performance of the axial piston pump.

6 Conclusions

Test results indicate that adhesive wear and abrasive wear are the two wear models likely to be present at the valve plate/cylinder block in an axial piston hydraulic pumps. The wear is closely related to speed, contact pressure and heat treatment.

(1) The samples are more prone to adhesive wear at a speed of 1800 r/min than 500 r/min, which implies that the tribological properties of the valve plate/cylinder block samples should be evaluated around the normal operating speed of axial piston pump, so that the results would be more valuable for industrial application.

(2) Both material and heat treatment play a key impact on the tribological properties of the samples. The wear rate of CuPb15Sn5/38CrMoAl without heat treatment is 145% higher than that of CuPb15Sn5/42CrMo without heat treatment. The friction pair, CuPb15Sn5/quench-tempered and nitrided 38CrMoAl, exhibits excellent tribological properties in all the test friction pairs, whose wear rate is 38% lower than that of CuPb15Sn5/quench-tempered and nitrided 42CrMo. Therefore, CuPb15Sn5/quench-tempered and nitrided 38CrMoAl is more suitable for the manufacture of valve plate/cylinder block than CuPb15Sn5/quench-tempered and nitrided 42CrMo.

(3) For the samples made of CuPb15Sn5/quench-tempered and nitrided 38CrMoAl, the relationship between the contact pressure and the wear rate is non-linear at the speed of 1800 r/min. The wear rate is sharply increased when the contact pressure is higher than 2.5 MPa. So the contact pressure of the valve plate/cylinder block should be less than 2.5 MPa, which will contribute to optimization design of the pressure bearing area at the bottom of the cylinder block.

(4) In the follow-up research, CuPb15Sn5/quench-tempered and nitrided 38CrMoAl, CuPb15Sn5/quench-tempered and nitrided 42CrMo will be applied to the production of cylinder block/valve plate for real axial piston pumps; and comparative tests are carried out on the pumps assembled with these components to correct the process parameters and structural design parameters; these will be beneficial to the development and improvement of axial piston pumps.

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Authors’ Contributions

JH and LQ were in charge of the whole trial; ZW and ZD wrote the manuscript; ZW and LN assisted with sampling and laboratory analyses. All authors read and approved the final manuscript.

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Competing Interests

The authors declare no competing financial interests.
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