SPARCL1, Shp2, MSH2, E-cadherin, p53, ADCY-2 and MAPK are prognosis-related in colorectal cancer

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Abstract

AIM: To investigate the expression of markers that are correlated with the prognosis of colorectal cancer (CRC) patients.

METHODS: One hundred and fifty-six CRC patients were followed up for more than 3 years after radical surgery. Immunohistochemical (IHC) analysis was performed to detect the expression of 14 pathway-related markers (p53, APC, p21ras, E-cadherin, endothelin-B receptor, Shp2, ADCY-2, SPARCL1, neuroligin1, hsp27, mmp-9, MAPK, MSH2 and rho) in specimens from these patients. Bioinformatics analysis involving a Support Vector Machine (SVM) was used to determine the best prognostic model from combinations of these markers.

RESULTS: Seven markers (SPARCL1, Shp2, MSH2, E-cadherin, p53, ADCY-2 and MAPK) were significantly related to the prognosis and clinical pathological features of the CRC patients \( (P < 0.05) \). Prognostic models were established through SVM from combinations of these 7 markers and proved able to differentiate patients with dissimilar survival, especially in stage I/II patients. According to the best prognostic model, the p53/SPARCL1 model, patients having high p53 and low SPARCL1 expression had about 50% lower 3-year survival than others \( (P < 0.001) \).

CONCLUSION: SPARCL1, Shp2, MSH2, E-cadherin, p53, ADCY-2 and MAPK are potential prognostic markers in CRC. A p53/SPARCL1 bioinformatics model may be used as a supplement to tumor-nodes-metastasis staging.

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Key words: Colorectal cancer; Prognosis; SPARCL1; p53; Bioinformatics

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INTRODUCTION

The incidence and mortality of colorectal cancer (CRC) are in the forefront of all cancers in western developed countries. In China, the incidence of CRC has also increased in recent years, with 177,000 new cases and 99,000 deaths every year and a 5-year survival rate of 63.4%. Tumor-nodes-metastasis (TNM) staging is helpful in predicting the survival of most patients. However, the heterogeneity of patients in their clinical outcome and their response to adjuvant chemotherapy calls for more useful prognostic pooled/panel molecular markers that will provide evidence for the choice of adjuvant therapy, especially for stage II and III patients.

Recently, Parsons et al. analyzed DNA mutations in CRC patients, and found that genetic changes of tumors are based on signaling pathways. Additionally, Wood et al. listed the number of mutations of all 140 genes included in 38 groups or pathways, which provided an impetus for the ongoing research on markers in CRC. After ranking these genes and pathways by the number of mutations listed by Wood et al., we selected three genes (p53, APC, ras) and 11 pathways which included genes with several mutations, and 14 genes were ultimately chosen from the pathways as candidate markers for our study. The genes are p53, APC, p21ras, Shp2, ADCCY-2, SPARCL1, neuroligin1, hsp27, mmp-9, MAPK, MSH2 and rho.

To identify prognosis-related markers of CRC, 156 patients who were followed up for more than 3 years after radical surgery were included in our survey. Immunohistochemical (IHC) analysis was performed to individually detect the expression of the 14 candidate markers in the specimens. The survival status of these patients was also analyzed. We found that seven tumor markers were found to be significantly related to the prognosis and clinical pathological features of these patients.

With the rapid development of the life sciences, bioinformatics has been developed and applied to collect, deposit and analyze large datasets and screen for useful information. In order to select molecular biomarkers more intelligently, we used a bioinformatics tool, the Support Vector Machine (SVM) classifier, to discriminate patients with different prognoses. SVM is based on the principles of Structure Risk Minimization and Vapnik-Chervonenkis Dimension as statistical learning theory, and thus provides a good generalization control. SVM applications are actively used in various areas, from face recognition to genomics, and SVM is also a powerful tool for analyzing multiple markers. In this study, the seven prognostic markers were randomly combined, and SVM was used to evaluate which combination model was the best for predicting the prognosis of CRC patients.

MATERIALS AND METHODS

Ethics

This work has been carried out in accordance with the Declaration of Helsinki (2000) of the World Medical Association and approved by the Ethics Committee of the Second Affiliated Hospital of Zhejiang University, College of Medicine, along with the patients’ informed consent.

Patients and specimens

Tumor specimens included in this study were from 156 CRC patients who underwent a radical resection operation in the Second Affiliated Hospital of Zhejiang University, College of Medicine, between 1999 and 2004, with a median age of 60 years (range 20-92 years) at diagnosis. The clinical data of all patients are presented in Table 1. Tumor specimens for IHC were from filed blocks in the histopathological department.

Living patients were all followed up for > 36 mo after the radical operation, with a median follow-up of 62 mo (range 36-108 mo). The follow-ups were performed by history and physical surveillance every 3-6 mo for 2 years, then every 6 mo up to 5 years and every year after 5 years (conforming to NCCN V.2.2010). No patient was lost during the follow-up.

IHC

All 156 specimens in paraffin blocks were made into tissue arrays using a ZM-1 tissue array machine. Sections (4-μm thick) were cut, and immunostaining for each antigen was conducted using the avidin-biotin peroxidase complex technique (MaxVision™ HRP-Polymer IHC Kit, MAIXIN-Bio), following the manufacturer’s instructions. The antibodies used were p53 (monoclonal mouse, ZhongShan), APC (polycystic rabbit, ZhongShan), p21ras (monoclonal mouse, MAIXIN), E-cadherin (monoclonal mouse, ZhongShan), endothenin-B receptor (polycystic rabbit, CHEMICON), Shp2 (monoclonal rabbit, Abcam), ADCCY-2 (monoclonal rabbit, Abcam), SPARCL1 (monocystic goat, R & D), neurogenic (polycystic rabbit, CHEMICON), HSP27 (monocystic mouse, ZhongShan), mmp9 (polycystic rabbit, ZhongShan), ERK1 + ERK2 (monocystic mouse, ZhongShan), MSH2 (monocystic mouse, ZhongShan) and Rho(-A,-B,-C) (monocystic rabbit, MILLIPORE).

The IHC results were assessed using a semi-quantitative system, as previously described. According to the percentages of positive cells (0: none, 1: < 25%, 2: 25%-50%, 3: 50%-75% and 4: > 75%) and staining intensity (0: negative, 1: weak, 2: moderate and 3: strong), the expression levels of the proteins were divided into four groups by the sum of the two scores above: 0 (0, negative expression), 1 (2-3, low expression), 2 (4-5, medium expression) and 3 (6-7, high expression).

Bioinformatics analysis

Experimental data were then analyzed by the Zhejiang University ProteinChip Data Analysis System (ZUCIPDAS, www.zlzx.net). We constructed a non-linear SVM classifier (with a radial based function kernel, a parameter Gamma of...
0.6, and a cost of the constraint violation of 19) to distinguish groups with different prognoses, and validated results by a 10-fold cross validation method.

One hundred and thirty-one patients with complete data were then filtered for the ongoing bioinformatics analysis. The seven prognostic biomarkers (SPARCL1, Shp2, MSH2, E-cadherin, p53, ADCY-2 and MAPK) were combined randomly to build 127 SVM models. For each model, the expression of these markers was the input, and the 3-year survival status of each patient was the evaluation criteria. The model with the highest accuracy for predicting the 3-year survival of the patients was selected as the best prognostic model, and the accuracy of the models was then validated by 10-fold cross validation between training sets and test sets.

Of the 131 patients, 44 died within 3 years after surgery, but the other 87 were still alive after 3 years. Because the number of patients dead at 3 years was about half of the number of living ones, the model showed low sensitivity due to the unbalanced data. Obviously, sensitivity is important for a prognostic model, so we next defined an adjusted accuracy [accuracy = (sensitivity + specificity)/2 + sensitivity]/2; sensitivity = true positive/(true positive + false negative), specificity = true negative/(true negative + false positive). This increased the weight of sensitivity and allowed SVM to select models with higher sensitivity.

### Statistical analyses

Kaplan-Meier survival analysis (log-rank test) was used to evaluate the relationship between marker expression and the survival of patients. Kruskal-Wallis test was used to evaluate the relationship between the expression of candidate markers and some pathologic features in IHC analyses. SPSS Version 13.0 software (SPSS Inc., Chicago, IL) was used for all statistical analyses. P < 0.05 was considered to be statistically significant, and all P values were two-sided.

## RESULTS

### Association between the expression of candidate markers and the survival of CRC patients

The expression of candidate markers in CRC was investigated by IHC (listed in Table 2). It should be noted that some specimens were lost during sectioning and staining of tissue arrays, resulting in an average of 3.6 specimens per marker (2.3%). Representative examples of immunoadrayed slides for each marker are shown in Figure 1.

Kaplan-Meier survival analysis revealed that markers significantly related with survival were SPARCL1, Shp2, MSH2, E-cadherin, p53, ADCY-2 and MAPK. The higher protein expression of SPARCL1, Shp2, MSH2, E-cadherin, and MAPK in CRC patients was related to better survival, while the higher expression of p53 and ADCY-2 was related to worse survival. The Kaplan-Meier survival curves of these markers are shown in Figure 2.
Kruskal-Wallis tests revealed that among these markers, SPARCL1, Shp2 and MSH2 were noticeably associated with the most clinical pathological features of CRC patients, including differentiation, bowel wall invasion (pT), lymph node metastasis (pN), distant metastasis (pM), TNM stage, post-surgery recurrence or metastasis. P53 was mainly related to TNM staging; E-cadherin and MAPK were mainly related to post-surgery recurrence and metastasis (Table 3). However, other markers, such as endothelin B receptor, APC and rho, were just related to differentiation or stages (data not shown).

**Prognostic bioinformatics model established by combining the seven markers and evaluated by survival analysis**

By SVM, the seven markers can randomly form 127 combinations. After being validated by 10-fold cross validation, the model with the highest accuracy (65.3) was the p53/SPARCL1 combination among all these combinations.

According to the prediction result (PR) given by the p53/SPARCL1 model, patients can be divided into two groups: “high risk” (PR > 0) and “low risk” (PR < 0). Three-year survival of the low risk group (88.30%) was more than twice as high as that of the high risk group (37.84%). Kaplan-Meier analysis revealed that the difference of survival was significant between these two groups (P < 0.001) (Figure 3A).

**Prognostic value of the p53/SPARCL1 model for stage II and III CRC patients**

Among these 131 patients, 99 patients were classified as stage II or III. We found that the difference in 3-year survival was not great between stage II (n = 43) and III (n = 56) patients, i.e. 88.40% vs 62.50% (P = 0.039) (Figure 3B). However, when these 99 patients were grouped by the PR of the p53/SPARCL1 model, the 3-year survival rate was very different between the low risk (n = 70) and high risk (n = 29) groups, i.e. 87.14% vs 37.93% (P < 0.001) (Figure 3C). Thus, the survival difference was much greater between low and high risk groups than between stage II and
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Figure 2 Kaplan-Meier curves of 14 markers. A: P53; B: MAPK; C: E-cadherin; D: MSH2; E: ADCY-2; F: Shp2; G: SPARCL1; H: APC; I: MMP9; J: Hsp27; K: P21ras; L: endothelin-B receptor; M: Neuroligin1; N: Rho.
Among the 56 stage III patients, the 3-year survival was 78.95% for low risk patients and 27.78% for high risk ones, and this 51.17% difference was statistically significant (P < 0.001) (Figure 3E). Similar survival difference was found between stage III A/III B (n = 34) and III C (n = 22) patients, i.e. 82.36% vs 31.82% in 3-year survival rates (P < 0.001) (Figure 3F).

**DISCUSSION**

Which CRC patients should receive adjuvant chemotherapy after radical resection? Currently, it is a standard recommendation for stage III but not stage II patients. However, the 5-year survival rate of stage II B (T4N0M0) patients.

### Table 3  Relationship between marker expression and clinical features (P values)

| Markers  | Differentiation | pT | pN | pM | TNM | Post-surgery |
|----------|-----------------|----|----|----|-----|-------------|
| P53      | 0.671           | 0.654 | 0.000* | 0.119 | 0.024* | 0.207       |
| MAPK     | 0.186           | 0.597 | 0.230 | 0.188 | 0.182 | 0.001*      |
| E-cadherin| 0.028*          | 0.342 | 0.080 | 0.041* | 0.223 | 0.004*      |
| MSH2     | 0.964           | 0.006* | 0.012* | 0.061 | 0.001* | 0.001*      |
| ADCY-2   | 0.458           | 0.430 | 0.779 | 0.470 | 0.878 | 0.082       |
| Shp2     | 0.020*          | 0.035* | 0.035* | 0.049 | 0.006* | 0.006*      |
| SPARCL1  | 0.002*          | 0.171 | 0.037* | 0.021* | 0.044* | 0.014*      |

The relationship between the expression of candidate markers and some pathologic features was evaluated by Kruskal-Wallis test (SPSS Version 13.0 software) in immunohistochemistry analyses. All P values are two-sided (P < 0.05, P < 0.01). The pathologic features in the table were differentiation, bowel wall invasion (pT), lymph node metastasis (pN), distant metastasis (pM), TNM stage (TNM), post-surgery recurrence or metastasis (Post-surgery).
patients is even lower than stage III A (T1-2N1M0)\cite{9}. One explanation for this may be due to not dissecting enough lymph nodes during surgery. Another potential cause is that stage II B tumors penetrate to the surface of the visceral peritoneum or directly invade the adjacent organs, which indicates that the biological behavior of the tumor is poor. Further, we do not know which of the stage II patients are at high risk and should receive adjuvant chemotherapy to improve their survival. Therefore, better molecular tumor markers are urgently needed to predict which patients may potentially benefit from adjuvant chemotherapy.

In the network of cancer-related genes, pathways are the frame by which we can understand the network logically. In the present study, 14 candidate markers were selected based on the most frequently mutated genes and pathways listed in the study of Wood et al\cite{21}, and their expression levels in CRC specimens were detected by IHC, which is generally used for regular pathological detection. Among these 14 markers, seven markers (SPARCL1, Shp2, MSH2, E-cadherin, p53, ADCY-2 and MAPK) were significantly prognosis-related.

Shp2 is a essential component in several oncogene signaling pathways\cite{22,23}. Here, we surprisingly found that Shp2 is a predictive marker for good prognosis, which is in stark contrast to previous studies indicating a role for Shp2 in promoting carcinogenesis in other cancers\cite{24,25,26}. ADCY-2 was not. Shp2 is an essential component in several oncogene signaling pathways\cite{22,23}. Loss or low expression of E-cadherin is more frequent in CRC patients with liver metastasis\cite{27,28}, demonstrating that loss of E-cadherin is related to poor prognosis. ADCY is involved in the G-protein system-related GnRH signal pathway. The proliferation of rat pancreatic tumoral AR4-2J cells can be stimulated by putitary ADCY-activating peptide through the ADCY pathway\cite{29,30}, which suggests that ADCY promotes the growth of tumor cells. Among these markers in the present study, Shp2, MSH2, MAPK and E-cadherin were significant markers for predicting good prognosis, but ADCY-2 was not.

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P53 is an indispensable tumor suppressor that plays an important role in several carcinogenic processes. Previous studies suggest that p53 has an influence on the prognosis of patients in many cancers\cite{31,32} including CRC, and is associated with tumor staging, multi-drug resistance, response to chemotherapy or radiotherapy, post-surgery recurrence and metastasis\cite{33,34,35}. Recently, research has shown that mutant p53 proteins not only lose their tumor suppressive functions but may also gain new abilities that enhance tumorigenesis\cite{36}. Indeed, the p53 mutation is linked with chemoresistance and transformation to a more aggressive disease in many tumor types\cite{37}. The p53 codon 72 polymorphism causes an increased risk for liver metastases in CRC patients positive for p53 overexpression\cite{38}. In the present study, we found that a high expression of mutant p53 protein was associated with more frequent lymph node metastasis, advanced TNM stage and poor survival (Table 2), which is consistent with other reports.

SPARCL1, also known as hevin\cite{39}, belongs to the matricellular protein family. SPARCL1 is down-regulated in transformed prostate epithelial cell line P69SV40T\cite{40,41}, and tissues of metastatic prostate adenocarcinoma, non-small cell lung cancer, bladder and pancreatic ductal carcinoma, but up-regulated in liver cancer tissues\cite{42,43,44,45}. Additional work by our group has revealed that SPARCL1 expression is significantly different between CRC specimens with and without liver metastasis (to be published).

In the present study, the expression of SPARCL1 was not only significantly associated with histological differentiation and survival but also with distant and lymph node metastasis, suggesting that SPARCL1 is likely to be an important negative regulator in the progression or metastasis of CRC.

In the present study, SVM was utilized to analyze and establish prognostic models of CRC from the combinations of the 7 prognostic biomarkers mentioned above. For SVM, the right balance is struck between the accuracy attained on a particular training set and the “capacity” of the machine, i.e. the ability of the machine to learn any training set without error to achieve the best generalization ability. The remarkably robust performance of SVM with respect to sparse and noisy data has made it the system of choice in a number of applications. When used for classification, SVM separates a given set of binary labeled training data and can work in combination with the kernels technique for cases in which no linear separation is possible. The accuracy of our models was evaluated by 10-fold cross validation.

Ultimately, the combination of p53 and SPARCL1 was found to be the best prognostic model of those tested. Survival analysis proved that the prediction result of the p53/SPARCL1 model was a statistically significant prognostic factor for CRC patients in all stages or only stage II / III (Figure 3).

Other researchers have attempted to identify biomarkers to further stratify stage II or stage III patients. Prognostic advantages were found in patients with MSI-high tumors and stage II and III CRC patients treated with 5-fluorouracil-based adjuvant therapy\cite{46,47}. In the PETACC-3 study, the prognostic value of MSI status was found to be more significant in patients with stage II disease than in stage III cases\cite{48,49}. However, value of MSI status as a prognostic or predictive marker may be affected by mutations in other genes involved in cancer etiology, such as the BRAF gene\cite{50,51}. Additionally, chromosome 18q loss of heterozygosity (LOH) has been associated with poor prognosis in stage II and stage III CRC patients in some studies\cite{52,53}, but not others\cite{54,55}. Differences in the methodologies used possibly explained the contradictory
findings reported. In a large prospective study of patients with non-MSI-high CRC, 18q LOH was also not associated with patient survival, indicating that 18q LOH is not an independent survival predictive marker[47].

In our study, according to the p53/SPARCL1 model, the survival rate of low-risk stage II A patients was 45.45% higher than that of high-risk cases. Moreover, low-risk stage III patients had a 51.17% higher 3-year survival rate than high-risk cases (P < 0.001), the same as the survival difference between stage III A/III B and III C. Therefore, the p53/SPARCL1 model established in this study can likely be used to supplement TNM staging, especially in stage II and III patients.

In conclusion, we discovered that SPARCL1, Shp2, MSH2, E-cadherin, p53, ADCY-2 and MAPK are significant prognostic markers in CRC. The p53/SPARCL1 model is of predictive use in discriminating patients with high or low risk, especially at stage II and III. Patients may benefit from accurate valuation and realistic treatment strategies for their disease with the help of potential prognostic markers. Larger scale studies and those involving multiple centers are planned to confirm clinical applicability of this prognostic model.

COMMENTS

Background

The incidence and mortality of colorectal cancer (CRC) are in the forefront of all cancers in China and western developed countries. More useful prognostic markers are urgently needed to provide evidence for the strategy of adjuvant therapy, especially for stage II and III patients.

Research frontiers

There are many ways to find useful markers in cancers. Cancer genomic research from the Vogelstein group has provided an enormous amount of information on genetic alterations in colorectal cancers. In this study, the authors set out to utilize this information to help in choosing their candidate markers. Moreover, the bioinformatics tool, which is used more and more to screen useful information from a large data set, was used here to further build prognostic models in CRC patients.

Innovations and breakthroughs

Some biomarkers have been identified as being related to the prognosis of CRC patients, including MSH2, E-cadherin and p53. However, this is the first study to report that SPARCL1, Shp2, ADCY-2 and MAPK are also potential prognostic markers in CRC. Furthermore, survival analysis proved that the p53/SPARCL1 model, established by the bioinformatics tool, could differentiate CRC patients with different prognoses in all stages or only stage II/III.

Applications

By finding significant prognostic markers in CRC, patients could be discriminated with high or low risk, especially in stage II and III. Patients may benefit from accurate valuation and realistic treatment strategies for their disease with the help of potential prognostic markers and models.

Terminology

The Support Vector Machine (SVM) classifier is a kind of bioinformatics tool, which is considered to be powerful for identifying the best discriminator from a large data set. Therefore, SVM applications are actively used in various areas from face recognition to genomics and SVM is also a powerful tool for analyzing multiple markers.

Peer review

This paper reports some novel findings on patient outcome with colorectal cancer. The array of genetic markers is extensive and worthy of publication.

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