Bulk-boundary correspondence in point-gap topological phases

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A striking feature of non-Hermitian systems is the presence of two different types of topology. One generalizes Hermitian topological phases, and the other is intrinsic to non-Hermitian systems, which are called line-gap topology and point-gap topology, respectively. Whereas the bulk-boundary correspondence is a fundamental principle in the former topology, its role in the latter has not been clear yet. This paper establishes the bulk-boundary correspondence in the point-gap topology in non-Hermitian systems. After revealing the requirement for point-gap topology in the open boundary conditions, we clarify that the bulk point-gap topology in open boundary conditions can be different from that in periodic boundary conditions. On the basis of real space topological invariants and the \( K \)-theory, we give a complete classification of the open boundary point-gap topology with symmetry and show that the non-trivial open boundary topology results in robust and exotic surface states.

Recently, non-Hermitian topological phases have attracted much attention [1–115]. Non-Hermitian systems differ essentially from Hermitian ones: The complex-valued energy spectra of non-Hermitian systems allow two types of the gap structure, \( \text{i.e.} \), line-gap and point-gap [36]. Whereas the line-gap is a relatively straightforward generalization of a gap in Hermitian systems, the point-gap is intrinsic in non-Hermitian systems. The multiple gap structures enable corresponding topological phases of non-Hermitian systems, line-gap, and point-gap topological phases [16, 36]. Both topological phases are indispensable to understanding non-Hermitian topological phenomena.

A central character of topological phases is the bulk-boundary correspondence (BBC): the bulk topology causes anomalous gapless boundary modes in the open boundary conditions (OBCs). For example, the quantum Hall systems support chiral edge modes from the nontrivial bulk Chern number [116]. The exact quantization of the Hall conductance is a consequence of the nontrivial bulk Chern number for general three-dimensional systems. Nonetheless, these surface states can disappear without changing the bulk topological number. Thus, the relation between the bulk topology and the surface states is ambiguous.

In this paper, we establish the BBC in point-gapped topological phases. Following the strategy learned from line-gap topological phases, our arguments rely on topological numbers in OBCs. Remarkably, there appears an essentially new feature intrinsic to point-gap topological phases. We find that a particular class of non-Hermitian skin effects, which we dub in-gap skin effects, ruins point-gap topological numbers in OBCs. As a result, the topological classification in OBCs can be different from that in PBCs. Based on this result, we resolve the uncertainty of the BBC in point-gap topological phases, and show that non-trivial topological numbers in OBCs result in robust and exotic surface states. Using the \( K \)-theory, we also give a complete classification table for point-gap topological phases under OBCs in the presence of symmetry.

Uncertainty of BBC in point-gap topological phases.—First, let us see the fore-mentioned uncertainty of the BBC in point-gap topological phases. We start with a model of exceptional topological insulators (ETIs) [76],

\[
H_{\text{ETI}}(k) = \sin k_x \sigma_x + \sin k_z \sigma_z + \left( 2 - \sum_{i=x,y,z} \cos k_i \right) \sigma_z - i \sin k_y \sigma_0, \tag{1}
\]

where \( \sigma_i = x,y,z \) are the Pauli matrices and \( \sigma_0 \) is the 2×2
measures the topology of the surface states, of which value coincides with the 3D winding number.

The coincidence of the topological numbers suggests the BBC in point-gap topological phases [76]. However, there is an uncertainty in this interpretation. In Fig. 1(c), we show the spectrum of the same model under the OBC in the y-direction (yOBC). Whereas the bulk topological number in Eq. (2) remains the same, no surface state covering the point-gapped region appears. Instead, we have skin modes in the gap. Since the skin modes are localized bulk modes [122], and do not have 1D winding number [64], the simple BBC does not hold under the yOBC.

Point-gap topological number under OBCs and BBC. – The solution of the problem is to use topological numbers in OBCs. Let us consider a 3D Hamiltonian \( \mathcal{H}(k_x, k_y) \) with momentum-space representation in the \( x \)- and \( y \)-directions and real-space representation in the \( z \)-direction under the zOBC. Then, we construct the bulk Hamiltonian \( \mathcal{H}_{\text{bulk}}(k_x, k_y) \) by the projection of \( \mathcal{H}(k_x, k_y) \) onto the bulk [47]. When the bulk Hamiltonian has a point gap at \( E (\det[\mathcal{H}_{\text{bulk}} - E] \neq 0) \), we can define the real-space 3D winding number \( w_3 \) under the OBC,

\[
 w_3|_{\text{zOBC}} = -\frac{i}{12\pi} \int_{\mathcal{B}Z} d^2k \mathcal{T}_z [\varepsilon^{ijk} Q_i Q_j Q_k],
\]

with \( Q_{i=x,y} = i(\mathcal{H}_{\text{bulk}} - E)^{-1} \partial_{k_i}(\mathcal{H}_{\text{bulk}} - E) \) and \( Q_z = (\mathcal{H}_{\text{bulk}} - E)^{-1}[Z, \mathcal{H}_{\text{bulk}} - E] \), where \( Z \) is the position operator in the \( z \)-coordinate, and \( \mathcal{T}_z \) stands for the trace per unit length in the \( z \)-direction. This is a non-Hermitian generalization of the real-space topological number in Hermitian systems [123–126]. For the full PBCs, this quantity reproduces Eq. (2) with the identification

\[
 \int \frac{dk_z}{2\pi} \text{Tr}[A(k_z)] \leftrightarrow \mathcal{T}_z[A], \quad i\partial_{k_z} \leftrightarrow [Z, \cdot],
\]

where \( A(k_z) \) is a function of \( k_z \) and \( A \) is the real-space representation of \( A(k_z) \) [127, 128]. Thus, for the ETI in Eq. (1), the coincidence between \( w_3|_{\text{zPBC}} \) with \( E = 0 \) in Eq. (2) and \( w_1 \) in Eq. (3) results in the correspondence between \( w_3|_{\text{zOBC}} \) with \( E = 0 \) in Eq. (4) and \( w_1 \) in Eq. (3).

Since any nontrivial 3D winding number under zOBC can be produced by stacking the ETIs in Eq. (1) up to continuous deformations, we generally have the BBC

\[
 w_3|_{\text{zOBC}} = \sum_{k_p} w_1(k_p),
\]

with

\[
 w_1(k_p) = -\int_{S^1_p} \frac{dk}{2\pi i} \text{Tr}[(h_{\text{surface}} - E)^{-1}\nabla h_{\text{surface}}(k_x, k_y)],
\]

where \( h_{\text{surface}}(k_x, k_y) \) is the surface effective Hamiltonian, \( k_p \) is the Fermi point satisfying \( \det[h_{\text{surface}}(k_p) - E] = 0 \).
and $S^3_z$ stands for the counter clockwise circle around $k = k_p$ on the surface Brillouin zone. As we shall show later, the bulk topological number under the zOBC can be different from that under the yOBC. Therefore, the above BBC does not require surface states under the yOBC.

The necessity of the OBC bulk topological number becomes obvious once we consider another model. Figure 2(a) is the bulk spectra of the following model under different boundary conditions:

$$H(k) = \sin k_x \sigma_x + 2 \sin k_z \sigma_y + 2 \left( 2 - \sum_{i=x,y,z} \cos k_i \right) \sigma_z + \frac{3}{2} i (\sin k_y + \sin k_z) \sigma_0.$$  

(8)

Because of NHSEs in the bulk spectrum, the OBC spectrum has a wider point-gapped region than the PBC spectrum. Therefore, there is a region where only the topological number under the zOBC is well-defined, as shown in Fig. 2. We find that the BBC holds for the topological number under the zOBC, not for that under the PBC.

**FIG. 2.** The energy spectra (top) and the 3D winding numbers (bottom) of the model in Eq. (8) under different boundary conditions. The magenta lines in the top figures represent $E = -0.5 + i \text{Im} E$ with $-4 < \text{Im} E < 4$. (a)(top) The bulk spectra under the full PBC (gray) and the zOBC (turquoise). The zOBC spectrum is calculated by using the non-Bloch theory in Ref. [46]. The point-gapless region under the zOBC is wider than that under the full PBCs. (bottom) The 3D winding number under the full PBC along the magenta line in the top figure. The gray shadings represent point-gapless regions. (b)(top) The energy spectrum under the zOBC. The blue modes are surface states which are calculated with the system size $L_x = L_y = 100, L_z = 20$. Surface states appear even in the regions where $w_3|_{\text{fullPBC}}$ is ill-defined (inside the red circle). (bottom) The real-space 3D winding number under the zOBC along the magenta line in the top figure. The turquoise segments represent point-gapless regions.

**In-gap skin effects and absence of surface states.**—Now we show that the BBC in Eq. (6) also explains the absence of surface states in Fig. 1(c) under the yOBC. A key observation is the presence of in-gap skin modes. In a manner similar to Eq. (4), we can introduce the 3D winding number under the yOBC, but the in-gap bulk skin modes make the topological number ill-defined. Consequently, the BBC under the yOBC does not require any surface states.

Let us see how this happens in detail. First, we note that the in-gap skin modes originate from modes with $(k_x, k_z) = (0, 0)$, where the Hamiltonian in Eq. (1) becomes

$$H_{\text{ET1}}(k_x = 0, k_y, k_z = 0) = -\cos k_y \sigma_z - i \sin k_y \sigma_0.$$  

(9)

This 1D Hamiltonian gives the complex spectra $\mp e^{\pm ik_y}$ in the eigensector of $\sigma_z = \pm 1$, which have the energy winding numbers $\pm 1$ along the $k_y$ direction, as illustrated in Fig. 3(a). Thus, from the general theory of NHSE [64], we have the skin modes inside the point gap when one imposes the yOBC [129].

At first glance, the in-gap skin modes appear to be isolated from the other bulk modes, but this is not the case. For a finite $L_y$, the Hamiltonian under the yOBC is a continuous function with respect to $k_x$ and $k_z$, so is its eigenvalues. Therefore, there must be ordinary bulk modes near the skin modes. Figure 3(c) shows the energy spectrum of Eq. (1) under the yOBC, with a high momentum resolution. The bulk modes around the in-gap skin modes are now evident. Importantly, the point-gapped region disappears due to these bulk modes. Therefore, we do not have a well-defined 3D winding number and surface states under the yOBC.

The disappearance of surface modes can be regarded as a result of a topological phase transition under continuous change of the boundary conditions. Decreasing the hopping terms between the $y = 1$ sites and $y = L_y$ sites, we can smoothly change the boundary condition from the full PBC to the yOBC. According to the deformation, the modes at $(k_x, k_z) = (0, 0)$ shrink to the in-gap skin modes as shown in Fig. 3(b). Finally, the originally point-gapped region is fully covered by bulk modes under the yOBC. We also show the change of the 3D winding number throughout the topological phase transition for the model in Eq. (8) in the Supplemental Material [130].

**BBC of point-gap topology with symmetry.**—The above arguments can also apply to point-gapped systems under symmetries. Namely, when a symmetry-protected point-gap topological number under the OBC is non-zero, then the corresponding boundary states appear.

It should be noted here that possible point-gap topological numbers under OBCs can be different from those under PBCs. The disagreement stems from the property that some point-gap topological numbers in PBCs are always accompanied by in-gap skin modes, which spoil the corresponding topological numbers in OBCs.
in Fig. 1(c) but the momentum resolution around $(k_x, k_z) = (0, 0)$ is much finer. The orange modes represent the modes with $k_x = k_z = 0$. (a) The full PBC spectrum. A point gap is open in the region containing $E = 0$ with the nontrivial 3D winding number $+1$. The modes at $k_x = k_z = 0$ form a loop and have a nontrivial 1D winding number in each eigensector of $\sigma_y = \pm 1$. (b) The spectrum under a boundary condition between the full PBC and the yOBC. Here the hopping amplitude between the $y$ sites and the $k$ sites is $10^{-6}$. The point-gapped region with the non-zero 3D winding number shrinks. (c) The yOBC spectrum. The region including $E = 0$ is completely closed by the in-gap skin effect of the modes at $k_x = k_z = 0$. Comparing with Fig. 1(c), we can see that the point-gapped region is completely collapsed by the modes near $k_x = k_z = 0$.

Whereas we have considered the full general symmetries in the Supplemental Material, we focus here on a particular class of symmetries, which we call AZ$^\dagger$ symmetry. The AZ$^\dagger$ symmetries are a non-Hermitian generalization of the Altland-Zirnbauer (AZ) symmetry [36]: It consists of non-Hermitian versions of time-reversal symmetry (TRS$^\dagger$), $\mathcal{C}H^T(k)\mathcal{C}^{-1} = H(-k)$, particle-hole symmetry (PHS$^\dagger$), $TH^*(k)T^{-1} = -H(-k)$, and chiral symmetry (CS) $\Gamma H^T(k)\Gamma^{-1} = -H(k)$, where $\mathcal{C}$, $T$, and $\Gamma$ are unitary operators. The AZ$^\dagger$ symmetry naturally arises in the non-Hermitian Hamiltonian of the retarded Green function, and thus it governs non-Hermitian topological phases in materials [131]. The presence and/or absence of these symmetries define ten symmetry classes, and the topological classification in these classes under the full PBC has been known [36].

In Table I, we show how the point-gap topological classification under the PBCs changes under OBCs: In one dimension, all the point-gap topological numbers in the AZ$^\dagger$ classes become trivial under the OBC, because their non-trivial values in the PBC always result in in-gap skin modes under the OBC. Actually, all the $Z$ indices in 1D AZ$^\dagger$ classes reduce to the 1D winding number [36], which gives in-gap skin modes under the OBC [62, 64]. Furthermore, the $Z_2$ topological number in 1D classes AII$^\dagger$ and DIII$^\dagger$ under the PBC causes symmetry-protected skin modes inside the point gap under the OBC [64]. Therefore, no 1D point-gap topological number survives under the OBC.

The reduction of topological numbers in Table I in two and three dimensions occurs as a result of the dimensional reduction [132]. First, we focus on class AII$^\dagger$. From a Hamiltonian $H(k)$ with a point gap at $E$ in class AII$^\dagger$, we can obtain a topologically equivalent gapped Hermitian Hamiltonian $\tilde{H}(k)$:

$$
\tilde{H}(k) = \begin{pmatrix}
0 & H(k) - E \\
H^*(k) & 0
\end{pmatrix},
$$

which belongs to class DIII as it has an additional CS $\Sigma \tilde{H}(k)\Sigma^{-1} = \tilde{H}(k)$ ($\Sigma = \sigma_z \otimes 1$) together with TRS $\mathcal{C}H^*(k)\mathcal{C}^{-1} = \tilde{H}(-k)$ ($\mathcal{C} = \sigma_x \otimes \mathcal{C}$) [36]. Using the dimensional reduction in class DIII [132], one can show that the parity of the 3D $Z$ index for $H(k_x, k_y, k_z)$ equals to the product of the 2D $Z_2$ indices of $H(k_x, k_y, k_y^0)$ with $k_y^0 = 0$ and $\pi$, and similarly, the 2D $Z_2$ index of $H(k_x, k_y, k_0)$ equals to the product of the 1D $Z_2$ indices of $H(k_x, k_y^0, k_0^0)$ with $k_y^0 = 0$ and $\pi$. Thus, for class AII$^\dagger$ under full PBCs, a non-trivial 2D $Z_2$ index or an odd parity of the 3D $Z$ index at $E$ yield a non-zero 1D $Z_2$ index at $E$ along a high symmetric line in the BZ. Therefore, they always accompany symmetry-protected in-gap skin modes [64], trivializing the corresponding topological numbers in OBCs. As a result, only the even part of the $Z$ index in three dimensions survives in the OBC. We can also show the reduction $Z \to 2Z$ in 2D class DIII$^\dagger$, using a similar dimensional reduction. On the basis of the $K$-theory, we prove the BBC for point-gap topological phases under the OBC in all 38-fold symmetry classes in non-Hermitian systems, including AZ$^\dagger$ ones, in the Supplemental Material [130].

| Symmetry class | TRS$^\dagger$ | PHS$^\dagger$ | CS | $d = 1$ | $d = 2$ | $d = 3$ |
|----------------|--------------|--------------|----|--------|--------|--------|
| AI$^\dagger$   | +1           | 0            | 0  | 0      | 0      | 0      |
| AII$^\dagger$  | +1           | 0            | 0  | 0      | 0      | 0      |
| BDI$^\dagger$  | +1           | 0            | 0  | 0      | 0      | 0      |
| D$^\dagger$    | +1           | 0            | 0  | 0      | 0      | 0      |
| DIII$^\dagger$ | +1           | 0            | 0  | 0      | 0      | 0      |
| AI$^\dagger$   | +1           | 0            | 0  | 0      | 0      | 0      |
| AII$^\dagger$  | +1           | 0            | 0  | 0      | 0      | 0      |
| BDI$^\dagger$  | +1           | 0            | 0  | 0      | 0      | 0      |
| D$^\dagger$    | +1           | 0            | 0  | 0      | 0      | 0      |
| DIII$^\dagger$ | +1           | 0            | 0  | 0      | 0      | 0      |

TABLE I. Classification of point-gap topological phases. For topological numbers with arrows, the left specifies topological numbers under PBCs and the right specifies those under OBCs. For topological numbers without arrows, the classification under OBCs coincides with that under PBCs. We consider the AZ$^\dagger$ symmetry classes with the spatial dimension $d = 1, 2$ and 3.

Remarkably, we can predict novel topological phase transitions intrinsic to non-Hermitian systems, using the reduction of the point-gap topological numbers in the presence of symmetry: The symmetry-protected in-gap
skin modes in 3D class AII systems may disappear suddenly once one breaks TRS by an infinitesimal perturbation. The disappearance of the in-gap skin modes allows the well-defined 3D winding number under the OBC, and thus we have an abrupt transmutation from that in PBCs, and give a complete classification of the OBC point-gap topology in the presence of symmetry. Our finding reveals a novel universal property of non-Hermitian topological phases of matters. We summarize here our results for point-gap topological phases in general symmetry classes in non-Hermitian systems. Here, the presence or absence of AZ or AZ† symmetries define AZ or AZ† symmetry classes in Table S2. Moreover, each AZ or AZ† class can host SLS (pH) additionally, where the subindex \( +(-) \) of \( S/\eta \) in Tables S9 and S10 specifies the commutation (anti-commutation) relation between SLS/pH and AZ or AZ† symmetries. For an AZ (AZ†) class having both TRS (TRS†) and PHS (PHS†), \( S \) or \( \eta \) has a double subindex, where the first index specifies the commutation or anticommutation relation between SLS and TRS (TRS†), and the second one specifies those between SLS and PHS (PHS†), respectively. Tables S9 and S10 show how the point-gap topological classification under the PBCs changes under OBCs. For the topological numbers with arrows, the classification under the PBCs shown on the left changes to that under OBCs on the right, while the topological numbers without arrows remain the same under both boundary conditions. We also find that the BBC holds for the point-gap topological classification under OBCs: Topologically protected boundary states appear when the bulk point-gap topological numbers under OBCs are non-trivial.

\[
|| H^* (k) || = H (-k), \quad || T || = \pm 1, \\
CH^T (k) C^{-1} = -H (-k), \quad || C || = \pm 1, \quad (11)
\]

where \( T \) and \( C \) are unitary operators corresponding to time-reversal symmetry (TRS) and particle-hole symmetry (PHS), respectively. Furthermore, as an additional general symmetry, one can also introduce sublattice symmetry (SLS),

\[
SH(k)S^{-1} = -H(k), \quad S^2 = 1, \quad (12)
\]

or pseudo-Hermiticity (pH)

\[
\eta H^T (k) \eta^{-1} = H(k), \quad \eta^2 = 1 \quad (13)
\]

with unitary operators \( S \) and \( \eta \). The presence and/or absence of these symmetries define symmetry classes intrinsic to non-Hermitian systems [36].

Tables S9 and S10 summarize our results on point-gap topological phases in general symmetry classes. Here, the presence or absence of AZ or AZ† symmetries define AZ or AZ† symmetry classes in Table S2. Moreover, each AZ or AZ† class can host SLS (pH) additionally, where the subindex \( +(-) \) of \( S/\eta \) in Tables S9 and S10 specifies the commutation (anti-commutation) relation between SLS/pH and AZ or AZ† symmetries. For an AZ (AZ†) class having both TRS (TRS†) and PHS (PHS†), \( S \) or \( \eta \) has a double subindex, where the first index specifies the commutation or anticommutation relation between SLS and TRS (TRS†), and the second one specifies those between SLS and PHS (PHS†), respectively. Tables S9 and S10 show how the point-gap topological classification under the PBCs changes under OBCs. For the topological numbers with arrows, the classification under the PBCs shown on the left changes to that under OBCs on the right, while the topological numbers without arrows remain the same under both boundary conditions. We also find that the BBC holds for the point-gap topological classification under OBCs: Topologically protected boundary states appear when the bulk point-gap topological numbers under OBCs are non-trivial.

\begin{table*}[h]
\centering
\begin{tabular}{|c|c|c|c|c|c|}
\hline
Sym. class & TRS & PHS & CS & TRS† & PHS† \\
\hline
Complex AZ & & & & & \\
A & 0 & 0 & 0 & 0 & 0 \\
AII & 0 & 1 & 0 & 0 & 0 \\
\hline
Real AZ & & & & & \\
BDI & +1 & 0 & 0 & 0 & 0 \\
BDI† & +1 & 0 & 1 & 0 & 0 \\
D & 0 & +1 & 0 & 0 & 0 \\
DIII & +1 & 1 & 0 & 0 & 0 \\
AII & 0 & 0 & 0 & 0 & 0 \\
C & 0 & 0 & 0 & 0 & 0 \\
CII & 1 & 1 & 0 & 0 & 0 \\
CI & 0 & 0 & 0 & 0 & 0 \\
CII† & 1 & 1 & 0 & 0 & 0 \\
CI† & 0 & 0 & 0 & 0 & 0 \\
\hline
Real AZ† & & & & & \\
AI† & 0 & 0 & 0 & +1 & 0 \\
BDI† & 0 & 0 & 1 & +1 & 0 \\
D† & 0 & 0 & 0 & +1 & 0 \\
DIII† & 0 & 0 & 1 & +1 & 0 \\
AII† & 0 & 0 & 0 & 0 & 0 \\
CII† & 0 & 0 & 1 & -1 & 0 \\
C† & 0 & 0 & 0 & 0 & 0 \\
CI† & 0 & 0 & 1 & -1 & 0 \\
\hline
\end{tabular}
\caption{AZ and AZ† symmetry classes for non-Hermitian Hamiltonians. Here, “0” denotes the absence of symmetries, while “±1” indicates the presence of each symmetry, dependent on whether its operator squares to ±1.}
\end{table*}
### TABLE III. Classification of point-gap topological phases in the AZ classes without or with SLS or pH. The subscript of $\Delta_{\pm}/\eta_{\pm}$ specifies the commutation (+) or anti-commutation (−) relation to TRS or PHS. For $\Delta_{\pm}/\eta_{\pm}$, the first subscript specifies the relation to TRS and the second specifies the relation to PHS. For the topological numbers with arrows, the classification under OBCs changes from that under PBCs, where the left specifies the classification under PBCs and the right specifies that under OBCs.

### TABLE IV. Classification of point-gap topological phases in the real AZ classes without or with SLS or pH. The subscript of $Z_{\pm}/\eta_{\pm}$ specifies the commutation (+) or anti-commutation (−) relation to TRS or PHS. For $Z_{\pm}/\eta_{\pm}$, the first subscript specifies the relation to TRS and the second specifies the relation to PHS. The topological number $Z_{[i,j]}$ under OBCs indicates the Abelian group $Z_{\pm}$ generated by the element $(i,j) \in Z_{\pm}$ under PBCs. For the topological numbers without arrows, the classification under OBCs coincides with that under PBCs.
Skin modes are obtained using GBZ and thus bulk modes [18], while surface states are not obtained using GBZ and thus not bulk modes.

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Note that the isotropic structure of $\pm e^{\pm i k_y}$ in the complex energy plane causes highly degenerated in-gap skin modes at $E = 0$.

See Supplemental Material, which includes Refs. [133–136], for a demonstration of how the real-space 3D winding number changes with different boundary conditions, a proof of the BBC for point-gap topological phases in all 38-fold symmetry classes, and a connection between intrinsic point-gap topological phases in the OBC and a single exceptional point.

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We here demonstrate that the real-space 3D winding number detects a topological phase transition under continuous change of the boundary condition. Let us start with the Hamiltonian in Eq. (8) of the main text:

$$H(k) = \sin k_x \sigma_x + 2 \sin k_z \sigma_y + 2 \left( 2 - \sum_{i=x,y,z} \cos k_i \right) \sigma_z + \frac{3}{2} i (\sin k_y + \sin k_z) \sigma_0. \quad (S1)$$

While this model has the non-trivial 3D winding number $-1$ in a region including $E = 0$ under the full PBCs, in-gap skin modes arise under the yOBC, instead of surface states, as shown in Fig. S1. In the following, we will reveal that the absence of surface states can be understood as a topological phase transition under a continuous deformation of the boundary condition by using the real-space 3D winding number.

First, we note that the in-gap skin modes originate from modes with $(k_x, k_z) = (0, 0)$, where the Hamiltonian in Eq. (S1) becomes

$$H(k_x = 0, k_y, k_z = 0) = -2 \cos k_y \sigma_z + \frac{3}{2} i \sin k_y \sigma_0. \quad (S2)$$

This Hamiltonian gives elliptical complex spectra in the eigensector of $\sigma_z = \pm 1$, which have the energy winding numbers $\mp 1$ along the $k_y$ direction. According to the general theory of NHSE [62, 64], the energy winding results in skin modes in Fig. S1.

In Fig. S2, we show how the complex spectrum and the real-space 3D winding number changes under the continuous deformation of the boundary conditions. Here we define the real-space 3D winding number as follows. Taking the real-space representation of $H(k)$ in the $y$-direction and performing the projection to the bulk, we have the bulk Hamiltonian $H_{\text{bulk}}(k_x, k_z)$. Then, the real-space 3D winding number at $E$ is

$$w_3 = - \frac{i}{12 \pi} \int_{BZ} d^2 k T_y [\varepsilon^{ijk} Q_i Q_j Q_k] \quad (S3)$$

with $Q_{i=x,z} = i (H_{\text{bulk}} - E)^{-1} \partial_{k_i} (H_{\text{bulk}} - E)$ and $Q_y = (H_{\text{bulk}} - E)^{-1} [Y, H_{\text{bulk}} - E]$, where $Y$ is the position operator of the $y$-coordinate, and $T_y$ stands for the trace per unit length in the $y$-direction. Decreasing the hopping amplitudes between the $y = 1$ sites and $y = L_y$ sites, we can change the boundary conditions from the full PBC to the yOBC smoothly. During the process, the point-gapped region becomes smaller because of the shrinking of the modes with $(k_x, k_z) = (0, 0)$ in the complex energy plane. Accordingly, we can confirm that the real-space 3D winding number changes from the well-defined value $-1$ to ill-defined ones in the original point-gapped region around $E = 0$, as illustrated in Fig. S2. Therefore, we conclude that no surface states appear under the yOBC in Eq. (S1) as a result of the topological phase transition.
FIG. S2. The changes of the spectrum (top) and the real-space 3D winding number (bottom) of the model in Eq. (S1) from under the full PBC to the yOBC. L_y is the same as that in Fig. S1, but the momentum resolution around \((k_x, k_z) = (0, 0)\) is much finer in the energy spectrum. The orange circles in the top figures represent the modes with \((k_x, k_z) = (0, 0)\). In the bottom figures, the 3D winding numbers are calculated at \(E = 0 + i\text{Im}E\) with \(-4 < \text{Im}E < 4\). The purple shaded regions in the bottom figures correspond to point-gapless regions in the top figures. (a) The full PBC. A point gap is open in the region containing \(E = 0\) with the nontrivial 3D winding number \(-1\). The orange modes at \((k_x, k_z) = (0, 0)\) form a loop and have a nontrivial 1D winding number in each eigensector of \(\sigma_y \pm 1\). (b) A boundary condition between the full PBC and the yOBC. The hopping amplitude between the \(y = 1\) sites and the \(y = L_y\) sites is 0.00005. The point gapped region containing \(E = 0\) shrinks, but the 3D winding number is \(-1\) in the point gapped region. (c) The yOBC. The point-gapped region including \(E = 0\) is completely closed by the in-gap skin effect with the orange modes near \((k_x, k_z) = (0, 0)\). The in-gap skin effect makes the 3D winding number ill-defined.

S2. PROOF OF THE BBC FOR POINT-GAP TOPOLOGICAL PHASES IN AZ\(^\dagger\) SYMMETRY CLASSES

In this section, we give a proof of the BBC for point-gap topological phases with AZ\(^\dagger\) symmetry. Below, we assume that the spatial dimension \(d\) is \(d = 2, 3\), but the generalization to higher dimensions is straightforward.

Our basic strategy of the proof is to use the additivity of topological phases in the framework of \(K\)-theory. Since we can add the topological number of \(H_1\) to that of \(H_2\) by stacking \(H_1\) and \(H_2\) as

\[
\left(\begin{array}{cc}
H_1 & 0 \\
0 & H_2
\end{array}\right),
\]

we can generate any topological phases by considering a proper stacks of a model with the minimal topological number. From \(K\)-theory, we also know that models with the same topological numbers can deform into each other without gap-closing, up to addition of topologically trivial Hamiltonians. Thus, once we can show the BBC for a model with the minimal topological number, the BBC holds generally.

We can construct a model with the minimal topological number, which we call the minimal model, as follows. Except for 3D class CII\(^\dagger\), we consider the following form of Hamiltonian,

\[
H_{\text{minimal}}(k) = H_{\text{SM}}(k) + i \sin k_d \Gamma_d, \quad H_{\text{SM}}(k) = \sum_{i=1}^{d-1} \sin k_i \Gamma_i + \left[(d-1) - \sum_{i=1}^{d} \cos k_i\right] \Gamma_0,
\]

and

\[
E_{\text{minimal}}(k) = \pm \sqrt{\sum_{i=1}^{d-1} (\sin k_i)^2 + \left[(d-1) - \sum_{i=1}^{d} \cos k_i\right]^2} + s \sin k_d,
\]

where \(d \geq 2\) is the spatial dimension, \(\Gamma_{i=0,1,2,\ldots,d-1}\) are Gamma matrices that anti-commute with each other, and \(\Gamma_d\) is a matrix that commutes with all \(\Gamma_{i=0,1,2,\ldots,d-1}\), and \(\Gamma_{\mu}^2 = 1\) (\(\mu = 0, 1, \ldots, d\)). The sign factor \(s\) in Eq. (S6)
takes $s = +1$ ($s = \pm 1$) for $\Gamma_d = \hat{1}$ ($\Gamma_d \neq \hat{1}$). Note that $H_{\text{SM}}(k)$ is the Hamiltonian of a Hermitian Weyl/Dirac semimetal, and $H_{\text{minimal}}$ is straightforward generalization of Eq. (1) in the main text. Since $H_{\text{minimal}}(k)$ commutes with $i \sin k_d \Gamma_d$, the non-Hermitian term $i \sin k_d \Gamma_d$ only gives a complex energy shift if we impose the PBC in the $x_d$-direction. Therefore, skin effects do not occur when we keep the PBC in the $x_d$-direction.

The point-gap topological number of $H_{\text{minimal}}(k)$ under the full PBC is given by the topological number of the doubled Hermitian Hamiltonian [36],

$$\hat{H}(k) = \begin{pmatrix} 0 & H_{\text{minimal}}(k) \\ H_{\text{minimal}}(k)^\dagger & 0 \end{pmatrix} = \sum_{i=1}^d \sin k_i \hat{\Gamma}_i + \left[ (d-1) - \sum_{i=1}^d \cos k_i \right] \hat{\Gamma}_0. \quad (S7)$$

Here $\hat{\Gamma}_{\mu=0,...,d}$ are the Gamma matrices in the doubled space,

$$\hat{\Gamma}_{i=0,...,d-1} = \begin{pmatrix} 0 & \Gamma_i \\ \Gamma_i & 0 \end{pmatrix}, \quad \hat{\Gamma}_d = \begin{pmatrix} 0 & i \Gamma_d \\ -i \Gamma_d & 0 \end{pmatrix}, \quad (S8)$$

which satisfy $\{\hat{\Gamma}_\mu, \hat{\Gamma}_\nu\} = \delta_{\mu,\nu}$. We can easily evaluate the topological number of Eq. (S7), namely the point-gap topological number of $H_{\text{minimal}}(k)$, because Eq. (S7) is the standard massive Dirac Hamiltonian for topological insulators. The obtained point-gap topological number coincides with that under the OBC in other than the $x_d$-direction, say the OBC in the $x_1$-direction ($x_1$OBC), as no skin effects occur. One can also show that this model has surface states under the $x_1$OBC at the same time. Since the Weyl/Dirac semimetal model $H_{\text{SM}}$ has Fermi arc surface states between two Weyl/Dirac points, $H_{\text{minimal}}(k)$ also has surface states under the $x_1$OBC. As a result, we have the BBC between the surface states and the bulk point-gap topological number.

For 3D class CI$^\dagger$, the minimal model has the form

$$H_{\text{minimal}}(k) = H_{\text{SM}}(k) + i \sin k_2 \Gamma_2 + i \sin k_3 \Gamma_3, \quad H_{\text{SM}}(k) = \sin k_1 \Gamma_1 + \left[ 2 - \sum_{i=1}^3 \cos k_i \right] \Gamma_0, \quad (S9)$$

where $\Gamma_\mu$ satisfy

$$\{\Gamma_0, \Gamma_1\} = \{\Gamma_2, \Gamma_3\} = [\Gamma_{i=0,1}, \Gamma_{j=2,3}] = 0, \quad \Gamma_\mu^2 = 0, 1 = 1. \quad (S10)$$

The double Hamiltonian of this model also has the form of the standard massive Dirac Hamiltonian, and thus we can easily evaluate the point-gap topological number. We also find that $H_{\text{SM}}$ has $E = 0$ surface states, which give surface states with $\text{Re}E = 0$ of $H_{\text{minimal}}$. Thus, we have the BBC again.

In Table S1, we summarize possible point-gap topological phases under the OBC for AZ$^\dagger$ classes with the spatial dimension $d = 1, 2, 3$. Below, we present the minimal model for each point-gap topological phase in Table S1. In the following, $\tau_{i=x,y,z}$ and $\sigma_{i=x,y,z}$ represent the Pauli matrices, and $\tau_0$ and $\sigma_0$ are the $2 \times 2$ identity matrix.

| Symmetry class | TRS$^\dagger$ | PHS$^\dagger$ | CS | $d = 1$ | $d = 2$ | $d = 3$ |
|---------------|-------------|-------------|---|-------|-------|-------|
| A            | 0           | 0           | 0 | 0     | 0     | $Z$ [Sec.S2.1] |
| AII          | 0           | 0           | 1 | 0     | $Z$ [Sec.S2.2] | 0 |
| AII$^\dagger$| +1          | 0           | 0 | 0     | 0     | $2Z$ [Sec.S2.3] |
| BDI$^\dagger$| +1          | +1          | 1 | 0     | 0     | 0     |
| D$^\dagger$  | 0           | +1          | 0 | 0     | 0     | 0     |
| DIII$^\dagger$| −1         | +1          | 1 | 0     | $2Z$ [Sec.S2.4] | 0 |
| AII$^\dagger$| −1          | 0           | 0 | 0     | 0     | $2Z$ [Sec.S2.5] |
| CII$^\dagger$| −1          | −1          | 1 | 0     | $Z_2$ [Sec.S2.6] | $Z_2$ [Sec.S2.6] |
| C$^\dagger$  | 0           | −1          | 0 | 0     | 0     | $Z_2$ [Sec.S2.7] |
| C$^\dagger$  | +1          | −1          | 1 | 0     | $2Z$ [Sec.S2.8] | 0 |

TABLE S1. Classification of point-gap topological phases under the OBC. We consider the AZ$^\dagger$ symmetry classes with the spatial dimension $d = 1, 2$ and 3. The section numbers for the minimal models are shown for each topological number.
S2.1. class A

A non-Hermitian system in class A has a nontrivial point-gap topological phase in $d = 3$. The minimal model is

$$H(k) = \sin k_x \sigma_x + \sin k_y \sigma_y + \left( 2 - \sum_{i=x,y,z} \cos k_i \right) \sigma_z + i \sin k_z \sigma_0,$$  \hspace{1cm} (S11)

and the point-gap topological number under the full PBC is the 3D winding number in Eq. (2), which takes +1 for Eq. (S11). As shown in the main text, the corresponding point-gap topological number under the $x$OBC is

$$w_{3|xOBC} = -\frac{i}{12\pi} \int_{BZ} d^2k \mathcal{T}_x [\varepsilon^{ijk} Q_i Q_j Q_k],$$  \hspace{1cm} (S12)

with $Q_x = \mathcal{H}^{-1}_{\text{bulk}} [X, \mathcal{H}_{\text{bulk}}]$ and $Q_i=y,z = i\mathcal{H}^{-1}_{\text{bulk}} \delta_{ki} \mathcal{H}_{\text{bulk}}$, where $X$ is the position operator in the $x$-coordinate, $\mathcal{T}_x$ stands for the trace per unit length in the $x$-direction, and $\mathcal{H}_{\text{bulk}}(k_y, k_z)$ is the 3D bulk Hamiltonian with momentum-space representation in the $y$- and $z$-directions and real-space representation in the $x$-direction.

S2.2. class AIII

A non-Hermitian system in class AIII has a nontrivial point-gap topological phase in $d = 2$. The minimal model is

$$H(k) = \sin k_x \sigma_x + \left( 1 - \sum_{i=x,y} \cos k_i \right) \sigma_y + i \sin k_y \sigma_0,$$  \hspace{1cm} (S13)

which hosts CS

$$\Gamma H^\dagger(k) \Gamma^{-1} = -H(k), \quad \Gamma = \sigma_z.$$  \hspace{1cm} (S14)

The point-gap topological number under the full PBC is the first Chern number of the Hermitian matrix $iH(k)\Gamma$ [36]:

$$iH(k)\Gamma = \sin k_x \sigma_y - \sin k_y \sigma_z - \left( 1 - \sum_{i=x,y} \cos k_i \right) \sigma_x,$$  \hspace{1cm} (S15)

which takes +1 for Eq. (S13). The corresponding point-gap topological number under the $x$OBC is

$$C_{h1|xOBC} = 2\pi i \mathcal{T}_{xy} (P_\alpha [[X, P_\alpha], [Y, P_\alpha]]),$$  \hspace{1cm} (S16)

where $P_\alpha$ is the bulk-band projection operator of $i\mathcal{H}\Gamma$ in a band $\alpha$. $X$ is the position operator in the $x$-coordinate, $Y$ is the position operator in the $y$-coordinate, $\mathcal{T}_{xy}$ stands for the trace per unit area in the $xy$-plane, and $i\mathcal{H}\Gamma$ is the bulk Hamiltonian of the real-space representation of $iH(k)\Gamma$ [47, 126–128, 133].

S2.3. class AI$^\dagger$

In class AI$^\dagger$, we have a nontrivial point-gap topological phase in $d = 3$. The required symmetry is

$$\mathcal{C} H^T(k) \mathcal{C}^{-1} = H(-k), \quad \mathcal{C} \mathcal{C}^* = +1, \quad \mathcal{C} = \tau_x \sigma_x,$$  \hspace{1cm} (S17)

and the minimal model is

$$H(k) = \left[ \sin k_x \tau_x + \sin k_y \tau_y + \left( 2 - \sum_{i=x,y,z} \cos k_i \right) \tau_z + i \sin k_z \tau_0 \right] \sigma_z.$$  \hspace{1cm} (S18)

The point-gap topological number in 3D class AI$^\dagger$ is the same as that in 3D class A. Since Eq. (S18) is a stacking of the class A model in Eq. (S11) to the eigensector of $\sigma_z = \pm 1$, the 3D winding number takes $+2 \in 2\mathbb{Z}$ for Eq. (S18).
S2.4. class DIII†

In class DIII†, there exists a nontrivial point-gap topological phase in \(d = 2\). The minimal model is

\[
H(k) = \sin k_x \tau_x \sigma_x + \left(1 - \sum_{i=x,y} \cos k_i\right) \tau_x \sigma_y - i \sin k_y \tau_y \sigma_z,
\]

which has TRS†, PHS† and their combination CS

\[
\begin{align*}
CH^T(k)C^{-1} &= H(-k), & CC^* &= -1, & C &= i \tau_y \sigma_0, \\
\mathcal{T}H^*(k)\mathcal{T}^{-1} &= -H(-k), & \mathcal{T}\mathcal{T}^* &= +1, & \mathcal{T} &= \tau_0 \sigma_0, \\
\Gamma H^\dagger(k)\Gamma^{-1} &= -H(k), & \Gamma &= i\mathcal{C}\mathcal{T}^*.
\end{align*}
\]

The point-gap topological number in 2D class DIII† is the same as that in 2D class AIII. \(iH(k)\Gamma\) for Eq. (S19) is

\[
iH(k)\Gamma = \sin k_x \tau_z \sigma_x - \sin k_y \tau_0 \sigma_z + \left(1 - \sum_{i=x,y} \cos k_i\right) \tau_z \sigma_y,
\]

which is a stacking of Chern insulators to the eigensector of \(\tau_z = \pm 1\). Thus, the first Chern number takes \(+2 \in 2\mathbb{Z}\) for Eq. (S19).

S2.5. class AII†

In class AII†, there exists a nontrivial point-gap topological phase in \(d = 3\). The minimal model is

\[
H(k) = \tau_y \left[\sin k_x \sigma_z + \sin k_y \sigma_x + \left(2 - \sum_{i=x,y,z} \cos k_i\right) \sigma_y + i \sin k_z \sigma_0\right],
\]

which has TRS†,

\[
\begin{align*}
CH^T(k)C^{-1} &= H(-k), & CC^* &= -1, & C &= i \tau_y \sigma_0. \\
\mathcal{T}H^*(k)\mathcal{T}^{-1} &= -H(-k), & \mathcal{T}\mathcal{T}^* &= -1, & \mathcal{T} &= \tau_y \sigma_z.
\end{align*}
\]

The point-gap topological number in 3D class AII† is the same as that in 3D class A. Since Eq. (S22) is a stacking of the minimal model of 3D class A to the eigensector of \(\tau_y = \pm 1\), the 3D winding number takes \(+2 \in 2\mathbb{Z}\) for Eq. (S22).

S2.6. class CII†

In class CII†, there exist nontrivial point-gap topological phases in \(d = 2, 3\). We impose the following AZ† symmetry,

\[
\begin{align*}
CH^T(k)C^{-1} &= H(-k), & CC^* &= -1, & C &= i \tau_y \sigma_0, \\
\mathcal{T}H^*(k)\mathcal{T}^{-1} &= -H(-k), & \mathcal{T}\mathcal{T}^* &= -1, & \mathcal{T} &= i \tau_y \sigma_z, \\
\Gamma H^\dagger(k)\Gamma^{-1} &= -H(k), & \Gamma &= \mathcal{C}\mathcal{T}^*.
\end{align*}
\]

For \(d = 2\), the minimal model is

\[
H(k) = \sin k_x \tau_x \sigma_x + \left(1 - \sum_{i=x,y} \cos k_i\right) \tau_x \sigma_y + i \sin k_y \tau_2 \sigma_z.
\]

The point-gap topological number under the full PBCs is the Kane-Male invariant for 2D class AII Hermitian matrix \(iH(k)\Gamma\) [36], which takes \(1 \in \mathbb{Z}_2\) for Eq. (S25). The corresponding point-gap topological number under the \(x\)OBC is

\[
\eta|_{x\text{OBC}} = \dim \ker(A - 1) \mod 2
\]

(S26)
with

$$A = P_F - D_\alpha^\dagger P_F D_\alpha, \quad D_\alpha(r) = \frac{\hat{x} + i\hat{y} - (a_x + ia_y)}{|x + iy - (a_x + ia_y)|^2},$$

Here $P_F$ is the projection operator of $i\mathcal{H}\Gamma$ onto the bulk states below the Fermi energy, where $i\mathcal{H}\Gamma$ is the real-space representation of $iH(k)\Gamma$, $r = (\hat{x}, \hat{y}) \in \mathbb{Z}^2$ represents the position operator in the $xy$-plane, and $a = (a_x, a_y) \in \mathbb{R}^2 \setminus \mathbb{Z}^2$ is a two dimensional vector in the $xy$-plane [126, 134, 135].

For $d = 3$, the minimal model is

$$H(k) = \sin k_x \tau_x \sigma_x + \left(2 - \sum_{i=x,y,z} \cos k_i\right) \tau_y \sigma_y + i \sin k_y \tau_x \sigma_0 + i \sin k_z \tau_z \sigma_z. \quad (S27)$$

The point-gap topological number under the full PBC is the $Z_2$ invariant of the class AII Hermitian matrix $iH(k)\Gamma$ [36], which takes $1 \in \mathbb{Z}_2$ for Eq. (S27). The corresponding point-gap topological number under the xOBC is the 3D version of Eq. (S26) [126, 135].

**S2.7. class C†**

In class C†, there exists a nontrivial point-gap topological phase in $d = 3$. The minimal model is

$$H(k) = \sin k_x \tau_x \sigma_x + \sin k_y \tau_x \sigma_y + \left(2 - \sum_{i=x,y,z} \cos k_i\right) \tau_0 \sigma_0 + i \sin k_z \tau_z \sigma_z, \quad (S28)$$

which has the following PHS†,

$$\mathcal{T} H^\dagger(k) \mathcal{T}^{-1} = -H(-k), \quad \mathcal{T} \sigma_i \mathcal{T}^* = -1, \quad \mathcal{T} = i \tau_z \sigma_y. \quad (S29)$$

The point-gap topological number under the full PBCs is the $Z_2$ invariant of the Hermitian Hamiltonian

$$\begin{pmatrix} 0 & H(k) \\ H^\dagger(k) & 0 \end{pmatrix} \quad (S30)$$

in class CII [36], which takes $1 \in \mathbb{Z}_2$ for Eq. (S28). The corresponding point-gap topological number under the xOBC is its real-space representation given in Ref. [126].

**S2.8. class CI†**

In class CI†, there exists a nontrivial point-gap topological phase in $d = 2$. The minimal model is

$$H(k) = \sin k_x \tau_y \sigma_x + \left(1 - \sum_{i=x,y} \cos k_i\right) \tau_z \sigma_z + i \sin k_y \tau_z \sigma_y, \quad (S31)$$

which obeys

$$C H^\dagger(k) C^{-1} = H(-k), \quad C \sigma_i C^* = +1, \quad C = \tau_0 \sigma_0,$$

$$\mathcal{T} H^\dagger(k) \mathcal{T}^{-1} = -H(-k), \quad \mathcal{T} \sigma_i \mathcal{T}^* = -1, \quad \mathcal{T} = i \tau_0 \sigma_y, \quad (S32)$$

$$\Gamma H^\dagger(k) \Gamma = -H(k), \quad \Gamma = i\mathcal{C} \mathcal{T}^*. \quad (S33)$$

The point-gap topological number under the full PBC is the same as that in 2D class AIII. Since $iH(k)\Gamma$ for Eq. (S31) is a stacking of two Chern insulators to the eigensectors of $\sigma_z = \pm 1$:

$$iH(k)\Gamma = \sin k_x \tau_y \sigma_z + \sin k_y \tau_z \sigma_0 + \left(1 - \sum_{i=x,y} \cos k_i\right) \tau_z \sigma_z, \quad (S33)$$

the first Chern number takes $+2 \in \mathbb{Z}_2$ for Eq. (S31).
We here explain more details of the BBC in point-gap topological phases for 2D class AIII. We start with the model in Eq. (S13):

\[ H_{\text{AIII}}(k) = \sin k_x \sigma_x + \left( 1 - \sum_{i=x,y} \cos k_i \right) \sigma_y + i \sin k_y \sigma_0. \]  

(S34)

As explained in Sec.S2.2, this model has the first Chern number +1 of \( iH_{\text{AIII}} \Gamma \) under the xOBC. Since \( H_{\text{AIII}}(k) \) commutes with \( i \sin k_y \sigma_0 \), the surface state of this model with a fixed \( k_y \) is that of the Su-Schrieffer–Heeger (SSH) model, \( H_{\text{SSH}}(k) = H_{\text{AIII}}(k) - i \sin k_y \sigma_0 = \sin k_x \sigma_x + \left( 1 - \sum_{i=x,y} \cos k_i \right) \sigma_y \). For \( -\pi/2 < k_y < \pi/2 \), the SSH model supports the 1D winding number +1, and thus it has corresponding zero modes \( \psi_+(k_y) \) with chirality, \( \Gamma \psi_+(k_y) = +1 \psi_+(k_y) \), under the xOBC. By taking into account the complex energy shift from the non-Hermitian term \( i \sin k_y \sigma_0 \), the zero modes give surface states in the point-gapped region, as shown in Fig. S3(b).

These surface states have a topological number with the same value as the bulk first Chern number. The effective Hamiltonian of the surface states around \( E = 0 \) takes the form of \( h_{\text{surface}}(k_y) = i k_y | \psi_+(k_y) \rangle \langle \psi_+(k_y) | \) as the zero mode of the SSH model shifted by the non-Hermitian term of \( H_{\text{AIII}}, i k_y \). Then, we can define the occupation number \( \mathcal{N}(k_y) \) (the number of negative eigenvalues) for \( i h_{\text{surface}}(k_y) \Gamma \) on the surface BZ. Since \( \Gamma | \psi_+(k_y) \rangle = +1 | \psi_+(k_y) \rangle \), \( i h_{\text{surface}}(k_y) \Gamma \) is equal to \( -k_y | \psi_+(k_y) \rangle \langle \psi_+(k_y) | \) and we get

\[ \mathcal{N}(k_y) = \begin{cases} 
1 & \text{for } k_y > 0 \\
0 & \text{for } k_y < 0 
\end{cases}. \]  

(S35)

The difference of the occupation number \( \nu_{\text{AIII}} \):

\[ \nu_{\text{AIII}} = \mathcal{N}(k_y > 0) - \mathcal{N}(k_y < 0) = +1 \]  

(S36)

protects the surface energy \( E = 0 \) at \( k_y = 0 \) and thus measures the topology of the surface states, of which value coincides with the bulk first Chern number. Consequently, we have the BBC under the xOBC for Eq. (S34):

\[ \text{Ch}_1|_{\text{xOBC}} = \nu_{\text{AIII}}. \]  

(S37)

In a general case, we can get the BBC by stacking the model in Eq. (S34) up to continuous deformations. The BBC for other symmetry classes also can be proved in a similar manner.
S4. THE BBC FOR POINT-GAP TOPOLOGICAL PHASES IN 38-FOLD SYMMETRY CLASSES

According to a general theory in Ref. [36], there are 38-fold symmetry classes in non-Hermitian systems. In this section, we extend our arguments to all the 38-fold symmetry classes.

First, we briefly explain the 38-fold symmetry classes. In addition to AZ† symmetries discussed in the main text, non-Hermitian systems may host the original AZ symmetries defined by the following equations:

\[
T H^*(k) T^{-1} = H(-k), \quad T T^* = \pm 1, \\
C H^T(k) C^{-1} = -H(-k), \quad C C^* = \pm 1, \\
\Gamma H^\dagger(k) \Gamma^{-1} = -H(k), \quad \Gamma^2 = 1,
\]  

(S38)

where \(T, C, \Gamma\) are unitary operators corresponding to time-reversal symmetry (TRS), particle-hole symmetry (PHS), and chiral symmetry (CS), respectively. Furthermore, one can introduce sublattice symmetry (SLS),

\[
SH(k)S^{-1} = -H(k), \quad S^2 = 1,
\]  

(S39)

with a unitary operator \(S\), which is distinct from CS in non-Hermitian systems. The presence and/or absence of these symmetries give the 38-fold independent symmetry classes, which is a natural generalization of the Hermitian 10-fold AZ symmetry classes to non-Hermitian systems [36].

To specify the 38-fold symmetry classes, we introduce the convention used in Ref. [36] as follows: (i) The AZ and AZ† symmetries define 18-fold symmetry classes in Table S2. (ii) Furthermore, each of them can host SLS additionally, which defines AZ class +\(S\) and AZ† class +\(S\). We also introduce the subindex +\((-\) of \(S\) specifying the commutation (anti-commutation) relation between SLS and AZ or AZ† symmetries. For an AZ (AZ†) class having both TRS (TRS†) and PHS (PHS†), \(S\) has a double subindex, where the first index specifies the commutation or anticommutation relation between SLS and TRS (TRS†), and the second one specifies those between SLS and PHS (PHS†), respectively.

| Sym. class | TRS | PHS | CS | TRS† | PHS† |
|------------|-----|-----|----|------|------|
| Complex AZ | A   | 0   | 0  | 0    | 0    |
|            | AIII| 0   | 1  | 0    | 0    |
| Real AZ    | AI  | +1  | 0  | 0    | 0    |
|            | BDI | +1  | 1  | 0    | 0    |
|            | D   | 0   | +1 | 0    | 0    |
|            | DIII| -1  | +1 | 0    | 0    |
|            | AII | -1  | 0  | 0    | 0    |
|            | CII | -1  | -1 | 0    | 0    |
|            | C   | 0   | -1 | 0    | 0    |
|            | CI  | +1  | -1 | 0    | 0    |
| Real AZ†   | AI† | 0   | 0  | +1   | 0    |
|            | BDI†| 0   | 1  | +1   | +1   |
|            | D†  | 0   | 0  | 0    | +1   |
|            | DIII†| 0  | 0  | 1    | -1   |
|            | AII†| 0   | 0  | 0    | -1   |
|            | CII†| 0   | 0  | 1    | -1   |
|            | C†  | 0   | 0  | 0    | 0    |
|            | CI† | 0   | 0  | 1    | -1   |

TABLE S2. AZ and AZ† symmetry classes for non-Hermitian Hamiltonians.

Let us now identify the independent 38-fold symmetry classes. As was shown in Ref. [36], we can divide the 38-fold symmetry classes according to the number \(N \leq 3\) of their generators TRS, PHS, TRS† and PHS†. (i) For \(N=0\), we have 5 classes, which are classes A, AIII, A +\(S\), AIII + \(S_+\), and AIII + \(S_-\). (ii) For \(N = 1\), we have 6 classes, AI,
AII, AII$^\dagger$, D, and C. (iii) For $N = 2$, there are 15 classes, BDI, DIII, CI, CII, BDI$^\dagger$, DIII$^\dagger$, CI$^\dagger$, AII + $S_x$, AII + $S_\pm$, D + $S_\pm$, and C + $S_\pm$. (iv) For $N = 3$, we have 12 classes, BDI + $S_{\pm\pm}$, DIII + $S_{\pm\pm}$, CI + $S_{\pm\pm}$, and CII + $S_{\pm\pm}$. Therefore, we have, in total, 5 + 6 + 15 + 12 = 38 classes. Here we have several remarks: First, AZ$^\dagger$ + $S$ classes do not appear above because the combination between TRS† and OBCs and the BBC are shown for each topological number. The section numbers for the proof of the classification under OBC, which is defined by

\[ H(k_x) = i \left( \sin k_x \sigma_x - \cos k_x \sigma_y \right), \]  

(S40)

which has TRS and PHS of class BDI with $T = \sigma_0$ and $C = \sigma_0$. This model has a non-zero $\mathbb{Z}_2$ point-gap topological number of BDI class in $d = 1$, which is defined by $(−1)^\nu = \text{sgn}(|\text{Tr}[H(\pi)]/\text{Tr}[H(0)C]|)$ [36]. Furthermore, as Eq. (S40) is anti-Hermitian so does not show skin effects, this model has the same non-zero point-gap topological number under OBC, which is defined by

\[ \eta|_{\text{OBC}} = \dim \ker(A - 1) \mod 2 \]  

(S41)

with

\[ A = P_F - D_{a_x} P_F D_{a_x}, \quad D_{a_x}(\hat{x}) = \frac{\hat{x} - a_x}{|\hat{x} - a_x|}. \]

Here $P_F$ is the projection operator of the Hermitian Hamiltonian $i\hat{H}C^*$ onto its negative energy states, where $\hat{H}$ is the real-space representation of $H(k_x)$, $\hat{x} \in \mathbb{Z}$ is the position operator in the real space lattice $x$, and $a_x \in \mathbb{R} \setminus \mathbb{Z}$ [126]. One can easily check that this model has a zero energy boundary state under OBC, so the BBC holds.

### S4.1. Classes without SLS

In the remaining 28 classes, 6 classes (BDI, D, DIII, CI, C, and CI) in Table S3 do not support SLS. We first argue these classes in $d = 1, 2, 3$. In a manner similar to Sec.S2, on the basis of $K$-theory, we can prove the BBC by constructing a model with a minimal topological number. Below we present such minimal models. Except for $d = 1, 2$ in class BDI and $d = 1$ in class D, the minimal model has the form of Eq.(S5), and for $d = 1, 2$ in class BDI and $d = 1$ in class D, the minimal model is either anti-Hermitian or Hermitian. Therefore, all the minimal models can avoid skin effects, so have the common value of the point-gap topological numbers between OBC and PBC. Furthermore, using the conventional BBC for Hermitian systems, each of these models has a boundary state, so we have the BBC.

| AZ class | $d = 1$ | $d = 2$ | $d = 3$ |
|----------|---------|---------|---------|
| BDI      | $\mathbb{Z}_2$ [Sec.S4.1.1] | $\mathbb{Z}$ [Sec.S4.1.1] | 0 |
| D        | $\mathbb{Z}_2$ [Sec.S4.1.2] | $\mathbb{Z}_2$ [Sec.S4.1.2] | $\mathbb{Z}$ [Sec.S4.1.2] |
| DIII     | 0       | $\mathbb{Z}_2$ [Sec.S4.1.3] | $\mathbb{Z}_2$ [Sec.S4.1.3] |
| CI       | 0       | 2$\mathbb{Z}$ [Sec.S4.1.4] | 0 |
| C        | 0       | 0       | 2$\mathbb{Z}$ [Sec.S4.1.5] |
| CI       | 0       | 0       | 0       |

TABLE S3. Point-gap topological phases in 6 classes without SLS. These classes do not show the skin effects, and thus the classification under the OBCs coincides with that under the PBC. The section numbers for the proof of the classification under OBCs and the BBC are shown for each topological number.

### S4.1.1. class BDI

In class BDI, there exist nontrivial point-gap topological phases in $d = 1, 2$ [36]. For $d = 1$, the minimal model is
For \( d = 2 \), the minimal model is
\[
H(k) = i \left[ \sin k_x \sigma_x + \sin k_y \sigma_y + \left( 1 - \sum_{i=x,y} \cos k_i \right) \sigma_y \right],
\]
(S42)
with \( T = \sigma_0 \) and \( C = \sigma_0 \). The point-gap topological number in 2D class BDI is the first Chern number of the Hermitian Hamiltonian \( iH(k)TC^* \). For Eq. (S42), we have
\[
iH(k)\Gamma = -\sin k_x \sigma_x - \sin k_y \sigma_z - \left( 1 - \sum_{i=x,y} \cos k_i \right) \sigma_y,
\]
(S43)
which gives the first Chern number +1 \( \in \mathbb{Z} \). This model does not show skin effects because of the anti-Hermiticity and shows a chiral edge state closing the point gap at \( E = 0 \) under OBCs. Thus we have the BBC.

\section{S4.1.2. class D}

In class D, there exist nontrivial point-gap topological phases in \( d = 1, 2 \) and 3. For \( d = 1 \), the minimal model is
\[
H(k_x) = \sin k_x \sigma_x + \cos k_x \sigma_y,
\]
(S44)
which has PHS of class D with \( C = \sigma_0 \). The point-gap topological number is given by
\[
(-1)^{\nu_2[H]} = \text{sgn} \left\{ \frac{\text{Pf}[H(\pi)C]}{\text{Pf}[H(0)C]} \times \exp \left\{ -\frac{1}{2} \int_{k_x=0}^{k_x=\pi} \text{d log det}[H(k_x)C] \right\} \right\},
\]
(S45)
which becomes non-trivial for Eq.(S44). This model is Hermitian and thus does not show skin effects. Therefore, this model supports the same non-trivial point-gap topological number under OBC. Because the minimal model has a boundary zero mode under OBC, we have the BBC.

For \( d = 2 \), the minimal model is
\[
H(k) = \sin k_x \sigma_x + \left( 1 - \sum_{i=x,y} \cos k_i \right) \sigma_y + i \sin k_y \sigma_0, \quad C = \sigma_0.
\]
(S46)
This model has the same form as Eq.(S5), and thus we can prove the BBC in a similar manner. The \( \mathbb{Z}_2 \) point-gap topological number is defined by [36]
\[
(-1)^{\nu_2[H]} = \prod_{X=I,II} \text{sgn} \left\{ \frac{\text{Pf}[H(k_{X+})C]}{\text{Pf}[H(k_{X-})C]} \times \exp \left\{ -\frac{1}{2} \int_{k=k_{X-}}^{k=k_{X+}} \text{d log det}[H(k)C] \right\} \right\},
\]
(S47)
where \((k_{I+}, k_{I-})\) and \((k_{II+}, k_{II-})\) are two pairs of particle-hole symmetric momenta.

For \( d = 3 \), the minimal model is
\[
H(k) = \sin k_x \sigma_x + \sin k_y \sigma_z + \left( 2 - \sum_{i=x,y,z} \cos k_i \right) \sigma_y - i \sin k_z \sigma_0, \quad C = \sigma_0.
\]
(S48)
which also has the form of Eq.(S5). The point-gap topological number in 3D class D coincides with the winding number for 3D class A, which takes +1 \( \in \mathbb{Z} \) for Eq. (S48).

\section{S4.1.3. class DIII}

In class DIII, there exist nontrivial point-gap topological phases in \( d = 2, 3 \). For \( d = 2 \), the minimal model is
\[
H(k) = \sin k_x \tau_x \sigma_x + \left( 1 - \sum_{i=x,y} \cos k_i \right) \tau_x \sigma_y + i \sin k_y \tau_0 \sigma_0, \quad T = i \tau_y \sigma_0, \quad C = \tau_0 \sigma_0.
\]
(S49)
The point-gap topological number is the Kane-Male $\mathbb{Z}_2$ invariant for 2D class AII Hermitian matrix $iH(k)TC^*$ [36], which is non-trivial for Eq. (S49). For $d = 3$, the minimal model is

$$H(k) = \sin k_x \tau_x \sigma_x + \sin k_y \tau_x \sigma_z + \left( 2 - \sum_{i=x,y,z} \cos k_i \right) \tau_x \sigma_y + i \sin k_0 \tau_0, \quad \mathcal{T} = i\tau_y \sigma_0, \quad \mathcal{C} = \tau_0 \sigma_0. \quad (S50)$$

The point-gap topological number under the full PBC is the $\mathbb{Z}_2$ invariant of the class AII Hermitian matrix $iH(k)TC^*$ [36], which is non-trivial for Eq. (S50). These models have the form of Eq.(S5), and thus we have the BBC.

### S4.1.4. class CII

In class CII, there exist nontrivial point-gap topological phases in $d = 2$. For $d = 2$, the minimal model is

$$H(k) = \tau_0 \begin{bmatrix} \sin k_x \sigma_x + \left( 1 - \sum_{i=x,y} \cos k_i \right) \sigma_y + i \sin k_y \sigma_0 \end{bmatrix}, \quad (S51)$$

with $\mathcal{T} = i\tau_y \sigma_z$ and $\mathcal{C} = i\tau_y \sigma_0$. The point-gap topological number is the first Chern number of $iH(k)TC^*$. Since the above model is a stacking of Eq. (S13), this model has the first Chern number $+2 \in 2\mathbb{Z}$ and the BBC holds.

### S4.1.5. class C

In class C, there exists a non-trivial point-gap topological phase in $d = 3$. The minimal model is

$$H(k) = \tau_0 \begin{bmatrix} \sin k_x \sigma_x + \sin k_y \sigma_z + \left( 1 - \sum_{i=x,y,z} \cos k_i \right) \sigma_y - i \sin k_z \sigma_0 \end{bmatrix}, \quad \mathcal{C} = i\tau_y \sigma_0. \quad (S52)$$

The point-gap topological number is equal to the 3D winding number in $d = 3$ class A. Since Eq. (S52) is a stacking of Eq.(S11), the 3D winding number for Eq. (S52) takes $+2 \in 2\mathbb{Z}$, and the BBC holds.

### S4.1.6. class CI

The point gap topological phase is trivial for class CI in $d = 1, 2, 3$.

### S4.2. Classes with SLS

So far, we have argued the BBC for point-gap topological phases in 16 classes, all of which do not support SLS. Below we discuss the remaining 22 classes supporting SLS in Eq.(S39). See Table S4. Without loss of generality, we choose $\mathcal{S}$ as $\mu_z \otimes \hat{1}$ such that $H(k)$ takes the form of

$$H(k) = \begin{pmatrix} 0 & h_+(k) \\ h_-(k) & 0 \end{pmatrix}, \quad (S53)$$

where $\mu_{i=x,y,z}$ are the Pauli matrices, $\mu_0$ is the $2\times2$ identity matrix and $\hat{1}$ is an identity matrix acting on $h_{\pm}(k)$.

In a manner similar to the above, we prove the BBC by constructing models with minimal point-gap topological numbers. To show the existence of boundary states for these models under OBCs, we use the following lemmas:

**Lemma 1** Suppose $h_{\pm}$ is diagonalizable and $[h_+, h_-] = 0$, and let $|\phi_n\rangle$ be an eigenvector diagonalizing $h_+$ and $h_-$ simultaneously,

$$h_{\pm} |\phi_n\rangle = E_n^{\pm} |\phi_n\rangle. \quad (S54)$$
Then, we obtain the eigenvectors and eigenenergies of $H$ from those of $h_{\pm}$,

$$H \left( \frac{c_n^\pm \sqrt{E_n^+}}{\pm c_n^\pm \sqrt{E_n^-}} |\phi_n\rangle \right) = \pm \sqrt{E_n^+}E_n^- \left( \frac{c_n^\pm \sqrt{E_n^+}}{\pm c_n^\pm \sqrt{E_n^-}} |\phi_n\rangle \right),$$

(S55)

where $c_n^\pm$ is a constant.

**Lemma 2** Suppose $h_-$ is diagonalizable and $h_-$ has its inverse $h_-^{-1}$, and let $|\psi_n\rangle$ be an eigenvector of $h_-h_+$,

$$h_-h_+|\psi_n\rangle = \lambda_n |\psi_n\rangle.$$  

(S56)

Then, we obtain the eigenvectors and eigenenergies of $H$ as,

$$H \left( \frac{\sqrt{\lambda_n}h_-^{-1}}{\pm |\psi_n\rangle} \right) = \pm \sqrt{\lambda_n} \left( \frac{\sqrt{\lambda_n}h_-^{-1}}{\pm |\psi_n\rangle} \right).$$

(S57)

These lemmas imply that if $h_{\pm}$ ($h_-h_+$) in the former (latter) lemma does not show skin effects and supports a boundary state, then $H$ also does. Here it should be noted that the commutation relation $[h_-, h_+] = 0$ and the invertibility $h_-^{-1}$ may depend on the boundary condition. For instance, whereas $e^{ik_x}$ and $e^{-ik_x}$ commute with each other, their matrix representations under the OBC do not. The invertibility of $e^{ik_x}$ also depends on the boundary condition because $e^{ik_x}$ has a zero mode under the OBC.

For a system satisfying the assumption for Lemma 2, we also have the following corollary.

**Corollary 1** If $h_+$ has a (right) zero eigenvalue, $h_+|\psi_0\rangle = 0$, then we have

$$H|\Psi_0^1\rangle = 0, \quad H|\Psi_0^2\rangle = |\Psi_0^1\rangle,$$

with

$$|\Psi_0^1\rangle = \begin{pmatrix} 0 \\ |\psi_0\rangle \end{pmatrix}, \quad |\Psi_0^2\rangle = \begin{pmatrix} h_+^{-1}|\psi_0\rangle \\ 0 \end{pmatrix}.$$  

(S58)

(S59)

The equation in Corollary implies that $H$ has a $2 \times 2$ Jordan block with zero eigenenergy

$$H|\Psi_0^j\rangle = \sum_{j=1,2} |\Psi_0^j\rangle J_{ji}, \quad J = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix},$$

(S60)

and thus it has an exceptional point. We use this result in Sec.6.

Once we choose the basis in Eq.(S53), we only need to consider how AZ symmetries act on $h_{\pm}$. For complex AZ + $S$ classes, we have 3 different situations:

a. $H$ does not have any AZ symmetries, which corresponds to $A + S$. In this case, $h_{\pm}$ does not have any AZ symmetries.

b. CS commutes with SLS, i.e. $AIII + S_+$. Without loss of generality, we take

$$\Gamma = \mu_0 \otimes \gamma$$

(S61)

with $\gamma$ a unitary operator acting on $h_{\pm}$, which leads to

$$\gamma h_{\pm}^1(k) \gamma^{-1} = -h_{\mp}(k), \quad \gamma^2 = 1.$$  

(S62)

Therefore, $h_{\pm}$ does not have CS, but they are not independent.

c. CS anti-commutes with SLS, i.e. $AIII + S_-$. Without loss of generality, we take

$$\Gamma = \mu_x \otimes \gamma$$

(S63)

with $\gamma$ a unitary operator acting on $h_{\pm}$, which leads to

$$\gamma h_{\pm}^1(k) \gamma^{-1} = -h_{\mp}(k), \quad \gamma^2 = 1.$$  

(S64)

Therefore, $h_{\pm}$ has its own CS, and they are independent.
For real \( AZ + S \) classes, we have 4 different situations:

d. TRS (if exists) commutes with SLS, while PHS (if exists) anti-commutes with SLS: We can take generally

\[
T = \mu_0 \otimes T, \quad C = \mu_x \otimes C, \tag{S65}
\]

where \( T \) and \( C \) are unitary operators acting on \( h_{\pm}(k) \). In this basis, TRS and PHS in Eq.(S38) reduce to

\[
Th_{\pm}^*(k)T^{-1} = h_{\pm}(-k), \quad TT^* = \pm 1, \\
Ch_{\pm}^T(k)C^{-1} = -h_{\pm}(-k), \quad CC^* = \pm 1. \tag{S66}
\]

Therefore, \( h_+(k) \) and \( h_-(k) \) are independent and belong to the same real AZ class as \( H(k) \). We have 8 such classes, AI + \( S_+ \), BDI + \( S_{++} \), D + \( S_- \), DIII + \( S_{+-} \), AII + \( S_+ \), CII + \( S_{+-} \), C + \( S_- \), and CI + \( S_{+-} \).

e. TRS (if exists) anti-commutes with SLS, but PHS (if exists) commutes with SLS: Generally, we can take the basis,

\[
T = \mu_x \otimes T, \quad C = \mu_0 \otimes C, \tag{S67}
\]

which leads to

\[
Th_{\pm}^*(k)T^{-1} = h_{\mp}(-k), \quad TT^* = +1, \\
Ch_{\mp}^T(k)C^{-1} = -h_{\mp}(-k), \quad CC^* = \pm 1. \tag{S68}
\]

Thus, \( h_{\pm} \) supports neither TRS nor PHS, but it retains the combination of TRS and PHS, i.e. CS. There are 5 such independent classes, AI + \( S_- \), BDI + \( S_{+-} \), D + \( S_+ \), C + \( S_+ \), and CI + \( S_{+-} \).

f. \( H \) has TRS and PHS both commuting with SLS: In this case, we can take the basis

\[
T = \mu_0 \otimes T, \quad C = \mu_0 \otimes C, \tag{S69}
\]

from which we have

\[
Th_{\pm}^*(k)T^{-1} = h_{\pm}(-k), \quad TT^* = \pm 1, \\
Ch_{\pm}^T(k)C^{-1} = -h_{\pm}(-k), \quad CC^* = \pm 1. \tag{S70}
\]

Thus, \( h_{\pm} \) may retain only TRS. (If \( H \) does not have TRS, \( h_{\pm} \) has no symmetry.) We have 4 such independent classes: BDI + \( S_{++} \), DIII + \( S_{++} \), CII + \( S_{++} \), and CI + \( S_{++} \).

g. \( H \) has TRS and PHS both anti-commuting with SLS: Taking the basis

\[
T = \mu_x \otimes T, \quad C = \mu_x \otimes C, \tag{S71}
\]

we have

\[
Th_{\pm}^*(k)T^{-1} = h_{\mp}(-k), \quad TT^* = \pm 1, \\
Ch_{\mp}^T(k)C^{-1} = -h_{\mp}(-k), \quad CC^* = \pm 1, \tag{S72}
\]

so \( h_{\pm} \) supports only PHS. We have such 2 independent classes: BDI + \( S_{--} \), and CI + \( S_{--} \).

Below, we construct the minimal models for these 22 classes.
This class has nontrivial point-gap topological phases in $d = 1, 3$. For $d = 1$, the point-gap topological number under the PBC is a pair of the 1D winding numbers for $h_{\pm}(k_x)$, $(w_1[h_+], w_1[h_-]) \in \mathbb{Z} \oplus \mathbb{Z}$ [36], where $w_1[h_{\pm}]$ is given by

$$w_1[h_{\pm}] = \int_0^{2\pi} \frac{dk_x}{2\pi i} \text{Tr}[h_{\pm}^{-1} \partial_{k_x} h_{\pm}].$$

We also have the 1D winding number $w_1[H]$ of $H(k_x)$, $w_1[H] = w_1[h_+] + w_1[h_-]$, which gives skin effects. Therefore, we have $w_1[h_+] + w_1[h_-] = 0$ under the OBC [62, 64]. As a result, under the OBC, the possible point-gap topological number is $(w_1[h_+], -w_1[h_+])$ and the $\mathbb{Z} \oplus \mathbb{Z}$ classification changes to the $\mathbb{Z}$ one. Here we use the notation $\mathbb{Z}[1, -1]$ to represent the latter $\mathbb{Z}$ classification because its generator is given by the element $(1, -1) \in \mathbb{Z} \oplus \mathbb{Z}$ of the former. (We often use the same notation below.) The minimal model of this phase is

$$h_+(k_x) = -ie^{ik_x},$$
$$h_-(k_x) = ie^{-ik_x}.$$  

which has $(+1, -1) \in \mathbb{Z} \oplus \mathbb{Z}$. This model gives

$$H(k_x) = \sin k_x \mu_x + \cos k_x \mu_y, \quad \mathcal{S} = \mu_z,$$

which supports a boundary zero mode under the OBC. Thus, we have the BBC.

For $d = 3$, the classification of the point-gap topological phase is $\mathbb{Z} \oplus \mathbb{Z}$, of which topological numbers are a pair of the 3D winding numbers of $h_{\pm}(k)$, $(w_3[h_+], w_3[h_-]) \in \mathbb{Z} \oplus \mathbb{Z}$ [36]. Thus, we have two generators, $(1, 0)$ and $(0, 1)$.

| Case | AZ class | SLS | $d = 1$ | $d = 2$ | $d = 3$ |
|------|----------|-----|---------|---------|---------|
| a    | A        | $S_+$ | $\mathbb{Z} \oplus \mathbb{Z} \rightarrow \mathbb{Z}[1, -1]$ [Sec.S4.2.1] | 0 | $\mathbb{Z} \oplus \mathbb{Z}$ [Sec.S4.2.1] |
| b    | AIII     | $S_+$ | $\mathbb{Z}$ [Sec.S4.2.2] | 0 | $\mathbb{Z}$ [Sec.S4.2.2] |
| c    | AIII     | $S_-$ | 0 | $\mathbb{Z} \oplus \mathbb{Z}$ [Sec.S4.2.3] | 0 |
| d    | AI       | $S_+$ | $\mathbb{Z} \oplus \mathbb{Z} \rightarrow \mathbb{Z}[1, -1]$ [Sec.S4.2.4] | 0 | 0 |
|      | BDI      | $S_+$ | $\mathbb{Z}_2 \oplus \mathbb{Z}_2 \rightarrow \mathbb{Z}_2[1, -1]$ [Sec.S4.2.5] | $\mathbb{Z} \oplus \mathbb{Z} \rightarrow \mathbb{Z}[2, 0] \oplus \mathbb{Z}[1, -1]$ [Sec.S4.2.5] | 0 |
|      | D        | $S_+$ | $\mathbb{Z}_2 \oplus \mathbb{Z}_2 \rightarrow \mathbb{Z}_2[1, -1]$ [Sec.S4.2.6] | $\mathbb{Z}_2 \oplus \mathbb{Z}_2 \rightarrow \mathbb{Z}_2[1, -1]$ [Sec.S4.2.6] | $\mathbb{Z} \oplus \mathbb{Z} \rightarrow \mathbb{Z}[2, 0] \oplus \mathbb{Z}[1, -1]$ [Sec.S4.2.6] |
|      | DIII     | $S_+$ | 0 | $\mathbb{Z}_2 \oplus \mathbb{Z}_2$ [Sec.S4.2.7] | $\mathbb{Z}_2 \oplus \mathbb{Z}_2$ [Sec.S4.2.7] |
|      | AII      | $S_+$ | $2\mathbb{Z} \oplus 2\mathbb{Z} \rightarrow 2\mathbb{Z}[1, -1]$ [Sec.S4.2.8] | 0 | $\mathbb{Z}_2 \oplus \mathbb{Z}_2$ [Sec.S4.2.8] |
|      | C        | $S_+$ | 0 | $2\mathbb{Z} \oplus 2\mathbb{Z}$ [Sec.S4.2.9] | 0 |
|      | Cl       | $S_+$ | 0 | 0 | $2\mathbb{Z} \oplus 2\mathbb{Z}$ [Sec.S4.2.10] |
| e    | AI       | $S_+$ | $\mathbb{Z}$ [Sec.S4.2.12] | 0 | $\mathbb{Z}$ [Sec.S4.2.12] |
|      | BDI      | $S_+$ | 0 | $\mathbb{Z}$ [Sec.S4.2.13] | 0 |
|      | D        | $S_+$ | $\mathbb{Z}$ [Sec.S4.2.14] | 0 | $\mathbb{Z}$ [Sec.S4.2.14] |
|      | C        | $S_+$ | $\mathbb{Z}$ [Sec.S4.2.15] | 0 | $\mathbb{Z}$ [Sec.S4.2.15] |
|      | Cl       | $S_+$ | 0 | $\mathbb{Z}$ [Sec.S4.2.16] | 0 |
| f    | BDI      | $S_+$ | $\mathbb{Z}$ [Sec.S4.2.17] | 0 | 0 |
|      | DIII     | $S_+$ | $2\mathbb{Z}$ [Sec.S4.2.18] | 0 | $\mathbb{Z}_2$ [Sec.S4.2.18] |
|      | CII      | $S_+$ | $2\mathbb{Z}$ [Sec.S4.2.19] | 0 | $\mathbb{Z}_2$ [Sec.S4.2.19] |
|      | CI       | $S_+$ | $\mathbb{Z}$ [Sec.S4.2.21] | 0 | 0 |
| g    | BDI      | $S_+$ | $\mathbb{Z}_2$ [Sec.S4.2.21] | $\mathbb{Z}_2$ [Sec.S4.2.21] | $\mathbb{Z}$ [Sec.S4.2.21] |
|      | Cl       | $S_+$ | 0 | $2\mathbb{Z}$ [Sec.S4.2.22] | 0 |

TABLE S4. Point-gap topological phases in 22 classes with SLS. For topological numbers colored red or blue, the left specifies topological numbers under PBCs and the right specifies those under OBCs. For topological numbers colored green, the classification under OBCs coincides with those under PBCs. The section numbers for the proof of the classification under OBCs and the BBC are shown for each topological number.
The minimal model for the generator (1, 0) is

\[ h_+(k) = \sin k_x \sigma_x + \sin k_y \sigma_y + \left( 2 - \sum_{i=x,y,z} \cos k_i \right) \sigma_z + i \sin k_z \sigma_0, \]

\[ h_-(k) = \sigma_0. \] (S76)

We also have the minimal model for another generator (0, +1) \( \in \mathbb{Z} \oplus \mathbb{Z} \), by swapping \( h_+(k) \) and \( h_-(k) \) in Eq. (S76). Under the xOBC, we have \([h_+, h_-] = 0\) and either \( h_+ \) or \( h_- \) supports boundary states. Thus we have the BBC.

\[ S_{4.2.2}. \text{ class AIII} + S_+ \text{ (case b.)} \]

In this class, there exist nontrivial point-gap topological phases characterized by the winding numbers of \( h_+ \) in \( d = 1, 3 \). For \( d = 1 \), the minimal model is

\[ h_+(k_x) = e^{ik_x}, \]

\[ h_-(k_x) = -\gamma h_+^\dagger(k_x) \gamma^{-1} = -e^{-ik_x}, \] (S77)

where \( \gamma = 1 \) and \( w_1[h_+] = +1 \). From this, we have \( H(k_x) = i (\sin k_x \mu_x + \cos k_x \mu_y) \) with \( S = \mu_x \) and \( \Gamma = 1 \). This model has a boundary state under the OBC, and thus we have the BBC.

For \( d = 3 \), we have the minimal model with \( \gamma = \sigma_0 \) and \( w_3[h_+] = +1 \) as follows.

\[ h_+(k) = \sin k_x \sigma_x + \sin k_y \sigma_y + \left( 2 - \sum_{i=x,y,z} \cos k_i \right) \sigma_z + i \sin k_z \sigma_0, \]

\[ h_-(k) = -\gamma h_+^\dagger(k) \gamma^{-1} = -\sin k_x \sigma_x - \sin k_y \sigma_y - \left( 2 - \sum_{i=x,y,z} \cos k_i \right) \sigma_z + i \sin k_z \sigma_0. \] (S78)

Note that \( h_+(k) \) concides with Eq. (S11) so \( h_\pm \) has surface states under the xOBC. Thus, from \([h_+, h_-] = 0\), we have the BBC.

\[ S_{4.2.3}. \text{ class AIII} + S_- \text{ (case c.)} \]

In this class, \( h_\pm \) has its own CS so belongs to class AIII. Thus, we have a \( \mathbb{Z} \oplus \mathbb{Z} \) point-gap topological phase in \( d = 2 \). A pair of the first Chern numbers for \( i h_\pm(k) \gamma \), \((Ch_1[ih_+\gamma], Ch_1[ih_-\gamma]) \in \mathbb{Z} \oplus \mathbb{Z} \), is the point-gap topological number[30]. Using the model in Eq. (S13), we obtain the minimal model with the topological number \((+1, 0) \in \mathbb{Z} \oplus \mathbb{Z}\) as

\[ h_+(k) = \sin k_x \sigma_x + \left( 1 - \sum_{i=x,y} \cos k_i \right) \sigma_y + i \sin k_y \sigma_0, \]

\[ h_-(k) = i \sigma_0, \quad \gamma = \sigma_z. \] (S79)

We also have another minimal model with topological number \((0, +1) \in \mathbb{Z} \oplus \mathbb{Z} \) by exchanging \( h_+(k) \) and \( h_-(k) \) in Eq. (S79). In both cases, \( h_+ \) and \( h_- \) commute, and either \( h_- \) or \( h_+ \) has a boundary state. Thus, the BBC holds.

\[ S_{4.2.4}. \text{ class AI} + S_+ \text{ (case d.)} \]

In this class, \( h_\pm \) belongs to class AI, and there is a \( \mathbb{Z} \oplus \mathbb{Z} \) point-gap topological phase in \( d = 1 \). The point-gap topological number under the PBC is a pair of the 1D winding number \((w_1[h_+], w_1[h_-])\), where \( w_1[h_\pm] \) is given by Eq. (S73). In a manner similar to the \( d = 1 \) class A + S case, one can avoid skin effects only when \( w_1[h_+] + w_1[h_-] = 0 \), so the point-gap topological phase under the OBC is classified as \( \mathbb{Z}[1, -1] \). The minimal model is

\[ h_+(k_x) = e^{ik_x}, \quad h_-(k_x) = e^{-ik_x}, \quad T = 1. \] (S80)

This model gives \( H(k_x) = \cos k_x \mu_x - \sin k_x \mu_y \) with \( T = \mu_0 \) and \( S = \mu_z \), which has a zero energy boundary state. Thus we have the BBC.
In class BDI+$S_+$, $h_\pm$ belongs to class BDI, which has nontrivial point-gap topological phases in $d = 1, 2$. For $d = 1$, the point-gap topological number under the PBC is a pair of the $\mathbb{Z}_2$ invariants for $h_\pm(k_x)$, $(\nu[h_+], \nu[h_-]) \in \mathbb{Z}_2 \oplus \mathbb{Z}_2$ [36],

\[
(-1)^\nu_1[h\pm] = \text{sgn} \left\{ \frac{\text{Pf}[h\pm(\pi)C]}{\text{Pf}[h\pm(0)C]} \right\},
\]

(S81)

which coincides with Eq. (S41) in OBCs. As the Hamiltonian $H(k_x)$ has TRS for class AII$^\dagger$,

\[
T_{\text{AII}}H^T(k_x)T_{\text{AII}}^{-1} = H(-k_x), \quad T_{\text{AII}}^\dagger T_{\text{AII}}^* = -1,
\]

(S82)

where $T_{\text{AII}}$ is given by the combination of $C$ and $S$, i.e. $T_{\text{AII}} = SC = i \mu_y \otimes C$, we also have the $\mathbb{Z}_2$ number for $H(k_x)$ in class AII$^\dagger$,

\[
(-1)^\nu_1[H] = \text{sgn} \left\{ \frac{\text{Pf}[H(\pi)C_{\text{AII}}]}{\text{Pf}[H(0)C_{\text{AII}}]} \times \exp \left[ -\frac{1}{2} \int_{k_x=0}^{k_x=\pi} d \log \det [H(k_x)C_{\text{AII}}] \right] \right\},
\]

(S83)

which satisfies $\nu_1[H] = \nu_1[h_+] + \nu_1[h_-] \pmod{2}$. Since the $\mathbb{Z}_2$ number results in the symmetry-skin effect [64], we have the condition $\nu_1[h_+] + \nu_1[h_-] = 0 \pmod{2}$ to avoid it. Therefore, the point-gap topological phase under OBC is $\mathbb{Z}_2[1, 1]$. The minimal model is

\[
h_+(k_x) = h_-(k_x) = i (\sin k_x \sigma_x - \cos k_x \sigma_y),
\]

(S84)

which shows the BBC. For $d = 2$, the point-gap topological phase is $\mathbb{Z} \oplus \mathbb{Z}$, which topological number is given by a pair of the first Chern numbers, $(\text{Ch}_1[ih_+\gamma], \text{Ch}_1[ih_-\gamma])$ [36]. However, using the dimension reduction discussed in the main text, we have the symmetry-protected skin effect when the first Chern number of $iH \Gamma$, $\text{Ch}_1[iH \Gamma] = \text{Ch}_1[ih_+\gamma] + \text{Ch}_1[ih_-\gamma]$ is odd. Therefore, the point-gap topological phase becomes $\mathbb{Z}[1, 1] \oplus \mathbb{Z}[2, 0]$ under OBCs.

Using Eq. (S42) for $h_\pm$, we can construct the minimal model with the topological number $(1, -1)$ as

\[
h_+(k) = -h_-(k) = i \left[ \sin k_x \sigma_x + \sin k_y \sigma_y + \left( 1 - \sum_{i=x,y} \cos k_i \right) \sigma_y \right], \quad C = T = \sigma_0
\]

(S85)

In a similar manner, another minimal model with the topological number $(2, 0)$ is given by

\[
h_+(k) = i \tau_0 \left[ \sin k_x \sigma_x + \sin k_y \sigma_y + \left( 1 - \sum_{i=x,y} \cos k_i \right) \sigma_y \right], \quad h_-(k) = i \tau_0 \sigma_0, \quad T = C = \tau_0 \sigma_0.
\]

(S86)

In both models, $h_+$ and $h_-$ commute with each other and they have boundary states when their first Chern numbers are non-zero. Therefore, the BBC holds.

\section*{S4.2.6. class $D + S_-$ (case d.)}

In class D+$S_-$, $h_\pm$ belongs to class D, which realizes non-trivial point-gap topological phases in $d = 1, 2, 3$. For $d = 1$, $h_\pm$ supports the $\mathbb{Z}_2$ point-gap topological number $\nu_1[h\pm]$ in Eq. (S45), and thus the classification under the PBC is given by $(\nu_1[h_+], \nu_1[h_-]) \in \mathbb{Z}_2 \oplus \mathbb{Z}_2$. Then, as $H(k)$ has TRS$^\dagger$ of class AII$^\dagger$,

\[
T_{\text{AII}}^\dagger H^T(k)T_{\text{AII}}^{-1} = H(-k)
\]

(S87)

with $T_{\text{AII}} = SC$, we have the 1D $\mathbb{Z}_2$ number $\nu_1[H]$ for the symmetry-protected skin effect, so we have the condition $\nu_1[H] = \nu_1[h_+] + \nu_1[h_-] = 0 \pmod{2}$ to avoid the skin effects. As a result, the point-gap topological phase under the OBC becomes $\mathbb{Z}_2[1, 1]$. From Eq. (S44), the minimal model is

\[
h_+(k_x) = h_-(k_x) = \sin k_x \sigma_x + \cos k_x \sigma_y, \quad C = \sigma_0,
\]

(S88)
which has \((1, 1) \in \mathbb{Z}_2 \oplus \mathbb{Z}_2\). Since it supports a boundary state, we have the BBC.

For \(d = 2\), the point-gap topological number under the PBC is a pair of \((\nu_2[h_+], \nu_2[h_-])\) in Eq. (S47) [36]. Then, from TRS\(^1\) in the above, the dimension reduction argument in the main text indicates that there arises the symmetry-protected skin effect when \(\nu_2[h_+] + \nu_2[h_-] = 1 \pmod{2}\). Hence, the topological classification under OBCs becomes \(\mathbb{Z}_2[1, 1]\). The minimal model with topological number \((1, 1)\) is

\[
h_+(\mathbf{k}) = h_-(\mathbf{k}) = \sin k_x \sigma_x + \left(1 - \sum_{i=x,y} \cos k_i \right) \sigma_y + i \sin k_y \sigma_0, \quad C = \sigma_0, \tag{S89}
\]

which shows the BBC.

In \(d = 3\), a pair of the 3D winding numbers \((w_3[h_+], w_3[h_-])\) characterize the point-gap topological phase under the PBC [36]. In a manner similar to the above, we have the symmetry-protected skin effect when \(w_3[h_+] + w_3[h_-] = 1\). Hence, the classification under OBCs becomes \(\mathbb{Z}[1, -1] \oplus \mathbb{Z}[2, 0]\). The minimal model with topological number \((1, -1)\) is

\[
h_+(\mathbf{k}) = \sin k_x \sigma_x + \sin k_y \sigma_z + \left(2 - \sum_{i=x,y,z} \cos k_i \right) \sigma_y + i \sin k_z \sigma_0, \\
h_-(\mathbf{k}) = \sin k_x \sigma_x + \sin k_y \sigma_z + \left(2 - \sum_{i=x,y,z} \cos k_i \right) \sigma_y - i \sin k_z \sigma_0, \tag{S90}
\]

and the minimal model with topological number \((2, 0)\) is

\[
h_+(\mathbf{k}) = \tau_0 \left( \sin k_x \sigma_x + \sin k_y \sigma_z + \left(2 - \sum_{i=x,y,z} \cos k_i \right) \sigma_y - i \sin k_z \sigma_0 \right), \\
h_-(\mathbf{k}) = \tau_y \sigma_0, \quad C = \tau_0 \sigma_0, \tag{S91}
\]

both of which show the BBC.

\(S4.2.7.\) class DIII + \(S_{+\text{−}}\) (case \(d\.\))

The off-diagonal components \(h_{+\text{−}}\) belong to class DIII, so we have nontrivial point-gap topological phases in \(d = 2, 3\). Under the PBC, these phases are characterized by a pair of the \(\mathbb{Z}_2\) invariants in \(d = 2, 3\) class DIII [36] for \(h_{+\text{−}}(\mathbf{k})\).

For \(d = 2\), the minimal model is

\[
h_+(\mathbf{k}) = \sin k_x \tau_x \sigma_x + \left(1 - \sum_{i=x,y} \cos k_i \right) \tau_x \tau_y \sigma_y + i \sin k_y \tau_0 \sigma_0, \\
h_-(\mathbf{k}) = i \tau_y \sigma_z, \quad T = i \tau_y \sigma_0, \quad C = \tau_0 \sigma_0, \tag{S92}
\]

which takes \((1, 0) \in \mathbb{Z}_2 \oplus \mathbb{Z}_2\). We can also construct another minimal model with topological number \((0, 1) \in \mathbb{Z}_2 \oplus \mathbb{Z}_2\) by exchanging \(h_+(\mathbf{k})\) and \(h_-(\mathbf{k})\) in Eq. (S92). Since \(h_+\) in Eq. (S92) has a boundary state and commutes with \(h_-\), we have the BBC.

Similarly, for \(d = 3\), the minimal model with topological number \((1, 0)\) is

\[
h_+(\mathbf{k}) = \sin k_x \tau_x \sigma_x + \sin k_y \tau_x \sigma_z + \left(2 - \sum_{i=x,y,z} \cos k_i \right) \tau_x \tau_y \sigma_y + i \sin k_z \tau_0 \sigma_0, \\
h_-(\mathbf{k}) = i \tau_y \sigma_0, \quad T = i \tau_y \sigma_0, \quad C = \tau_0 \sigma_0, \tag{S93}
\]

We also have another minimal model with topological number \((0, 1)\) by swapping \(h_+(\mathbf{k})\) and \(h_-(\mathbf{k})\) in Eq. (S93). Both models show the BBC from Lemma 2.
Since $h_{\pm}$ belongs to class AII, there are nontrivial point-gap topological phases in $d = 1, 3$. For $d = 1$, the point-gap topological phase is $2\mathbb{Z} \oplus 2\mathbb{Z}$ [36], which topological number is given by a pair of the 1D winding numbers $w_1[h_{\pm}]$. To avoid skin effects, the 1D winding number $w_1[H]$ of $H$ should vanish, so we have the condition $w_1[H] = w_1[h_+] + w_1[h_-] = 0$. Thus, the classification under the OBC is $2\mathbb{Z}[-1, 1]$. The minimal model is

$$h_+(k_x) = i e^{i k_x} \sigma_y, \quad h_-(k_x) = -i e^{-i k_x} \sigma_y, \quad T = i \sigma_y,$$  \hspace{1cm} (S94)

which has $(+2, -2) \in 2\mathbb{Z} \oplus 2\mathbb{Z}$ and shows the BBC.

For $d = 3$, the point-gap topological number under the PBC is a pair of the $\mathbb{Z}_2$ invariants in class AII [36] for $h_{\pm}(k)$. We have the minimal model with $(1, 0) \in \mathbb{Z}_2 \oplus \mathbb{Z}_2$,

$$h_+(k_x) = i \left[ \sin k_x \tau_x \sigma_x + \sin k_y \tau_x \sigma_y + \left( 2 - \sum_{i=x,y,z} \cos k_i \right) \tau_0 \sigma_z \right] - \sin k_z \tau_z \sigma_z,$$

$$h_-(k_x) = \tau_0 \sigma_0, \quad T = i \tau_z \sigma_y.$$  \hspace{1cm} (S95)

We can obtain another minimal model with topological number $(0, 1)$ by exchanging $h_+(k)$ and $h_-(k)$ in Eq. (S95). From them, the BBC holds.

### 4.2.9. class CII + $S_{+-}$ (case $d$)

In class CII + $S_{+-}$, $h_{\pm}$ belongs to class CII, so there exist nontrivial point-gap topological phases in $d = 2$. The point-gap topological number is a pair of the first Chern numbers $(\text{Ch}_1[ih_+ TC^*], \text{Ch}_1[ih_- TC^*]) \in 2\mathbb{Z} \oplus 2\mathbb{Z}$. The minimal model with topological number $(2, 0)$ is

$$h_+(k) = \tau_0 \left[ \sin k_x \sigma_x + \left( 1 - \sum_{i=x,y} \cos k_i \right) \sigma_y + i \sin k_y \sigma_0 \right],$$

$$h_-(k) = i \tau_y \sigma_0, \quad T = i \tau_z \sigma_y, \quad C = i \tau_0 \sigma_0.$$  \hspace{1cm} (S96)

and another one with topological number $(0, 2)$ is obtained by switching $h_+(k)$ and $h_-(k)$ in Eq. (S96). From them, we have the BBC.

### 4.2.10. class C + $S_-$ (case $d$)

$h_{\pm}$ belongs to class C, and we have nontrivial point-gap topological phases in $d = 3$. A pair of the 3D winding numbers $(w_3[h_+], w_3[h_-]) \in 2\mathbb{Z} \oplus 2\mathbb{Z}$ characterize the point-gap topological phases under the PBC [36]. The minimal model with topological number $(2, 0)$ is

$$h_+(k) = \tau_0 \left[ \sin k_x \sigma_x + \sin k_y \sigma_z + \left( 2 - \sum_{i=x,y,z} \cos k_i \right) \sigma_y - i \sin k_z \sigma_0 \right],$$  \hspace{1cm} (S97)

$$h_-(k) = i \tau_y \sigma_0, \quad C = i \tau_0 \sigma_0,$$  \hspace{1cm} (S98)

and we also have another minimal model with $(0, +2) \in 2\mathbb{Z} \oplus 2\mathbb{Z}$ similarly. These models show the BBC.

### 4.2.11. class CI + $S_{+-}$ (case $d$)

Since the point gap topological phases are trivial for class CI in $d = 1, 2, 3$, so are also for this class.
In this class, \( h_+ \) belongs to class A, and TRS exchanges \( h_+ \) and \( h_- \). Thus, we have nontrivial point-gap topological phases in \( d = 1, 3 \) under the PBC. For \( d = 1 \), the corresponding point-gap topological number is the 1D winding number \( w_1[h_+] \) for \( h_+(k_x) \) [36]. We can also define the 1D winding number \( w_1[H] \) for \( H(k_x) \), which satisfies \( w_1[H] = w_1[h_+] + w_1[h_-] \), where \( w_1[h_-] \) is the 1D winding number for \( h_- \). Then, from \( h_-(k_x) = T h_+^*(k_x) T^{-1} \), we have \( w_1[h_+] = w_1[h_-] \). Thus, to avoid skin effect, we need \( w_1[h_+] = 0 \), which implies no point-gap topological phase survives under the OBC.

For \( d = 3 \), we have a nontrivial point-gap topological phase under the OBC. The minimal model is

\[
h_+(k) = \sin k_x \sigma_x + \sin k_y \sigma_y + \left( 2 - \sum_{i=x,y,z} \cos k_i \right) \sigma_z + i \sin k_z \sigma_0, \]

\[
h_-(k) = T h_+^*(k) T^{-1} = -\sin k_x \sigma_x - \sin k_y \sigma_y - \left( 2 - \sum_{i=x,y,z} \cos k_i \right) \sigma_z + i \sin k_z \sigma_0, \tag{S99}
\]

where \( T = \sigma_z \). As \( h_\pm \) has a boundary state and \( [h_+, h_-] = 0 \), the BBC holds.

In class BDI + \( S_\pm \) (case e.),

\[
h_+(k) = \text{CS}, \quad \gamma h_+(k) \gamma^\dagger = -h_+(k) \quad \text{with} \quad \gamma = TC^*, \quad \text{and TRS and PHS exchange} \quad h_+ \quad \text{and} \quad h_-.
\]

So \( h_+ \) belongs to class AIII. Thus, we have a non-trivial point-gap topological phase in \( d = 2 \). The Chern number for \( i h_+(k) \gamma \) characterizes the point-gap topological phase[36]. The minimal model is

\[
h_+(k) = \sin k_x \sigma_x + \left( 1 - \sum_{i=x,y} \cos k_i \right) \sigma_y + i \sin k_y \sigma_0, \]

\[
h_-(k) = T h_+^*(k) T^{-1} = -Ch_+^T(k) C^{-1} = \sin k_x \sigma_x + \left( 1 - \sum_{i=x,y} \cos k_i \right) \sigma_y + i \sin k_y \sigma_0, \tag{S100}
\]

with \( T = \gamma = \sigma_z, C = \sigma_0 \). \( h_+ \) and \( h_- \) in the minimal model commute and they support boundary states. Thus, we have the BBC.

In this class, \( h_+ \) belongs to class A and PHS exchanges \( h_+ \) and \( h_- \). The system has non-trivial topological phases in \( d = 1, 3 \). For \( d = 1 \), the corresponding point-gap topological number under the PBC is the 1D winding number \( w_1[h_+] \in \mathbb{Z} \) for \( h_+(k_x) \). We can also consider the 1D winding number \( w_1[H] \) for \( H(k_x) \), where \( w_1[h_-] \) is the 1D winding number for \( h_-(k_x) \). However, the relation \( h_-(k_x) = -Ch_+^T(-k_x) C^{-1} \) leads \( w_1[h_+] = -w_1[h_-] \), so \( w_1[H] \) always vanishes. Thus, no skin effect occurs. The minimal model with \( w_1[h_+]=1 \) is

\[
h_+(k_x) = e^{ik_x}, \quad h_-(k_x) = -Ch_+^T(-k_x) C^{-1} = -e^{-ik_x}, \tag{S101}
\]

where \( C = 1 \). This model gives \( H(k_x) = i(\sin k_x \mu_x + \cos k_x \mu_y) \), which supports a boundary state. Thus the BBC holds.

In \( d = 3 \), the point-gap topological number is the 3D winding number \( w_3[h_+] \in \mathbb{Z} \). The minimal model is

\[
h_+(k) = \sin k_x \sigma_x + \sin k_y \sigma_y + \left( 2 - \sum_{i=x,y,z} \cos k_i \right) \sigma_z + i \sin k_z \sigma_0, \]

\[
h_-(k) = -Ch_+^T(-k) C^{-1} = \sin k_x \sigma_x + \sin k_y \sigma_y + \left( 2 - \sum_{i=x,y,z} \cos k_i \right) \sigma_z + i \sin k_z \sigma_0, \tag{S102}
\]

where \( C = \sigma_x \) and \( w_3[h_+]=+1 \). This model shows the BBC.
For this class, \( h_+(k) \) belongs to class A, and PHS exchanges \( h_+ \) and \( h_- \). Thus, point-gap topological phases under the PBC are \( \mathbb{Z} \) in \( d = 1, 3 \). For \( d = 1 \), the point-gap topological number is the 1D winding number \( w_1[h_+] \) for \( h_+(k_x) \). Because \( H(k) \) has TRS for class AIII, \( \mathcal{T}_{AIII} H^T(k) \mathcal{T}_{AIII}^{-1} = H(-k) \) with \( \mathcal{T}_{AIII} = \mathcal{S} \), we also have the 1D \( \mathbb{Z}_2 \) number \( \nu_1[H] \) responsible for the symmetry-protected skin effect. From the straightforward calculation, we can show that \( \nu_1[H] = w_1[h_+] \) (mod.2), thus only the even part of \( w_1[h_+] \) survives under the OBC. Thus, the classification under the OBC becomes \( \mathbb{Z} \). The minimal model with \( w_1[h_+] = 2 \) is

\[
    h_+(k_x) = e^{ik_x} \sigma_0, \quad h_-(k_x) = -Ch^T_+(-k_x)C^{-1} = -e^{-ik_x} \sigma_0, \tag{S103}
\]

with \( C = i \sigma_y \). This model gives \( H(k_x) = i(\sin k_x \mu_x + \cos k_x \mu_y) \sigma_0 \), which support a boundary state. Thus, we have the BBC.

For \( d = 3 \), the point-gap topological number is the 3D winding number \( w_3[h_+] \) for \( h_+ \). Whereas \( H(k) \) has TRS for class AIII in the above, the 3D winding number \( w_3[H] \) of \( H \) takes an even number because \( H(k) \) has PHS for class C at the same time. Therefore, no symmetry-protected skin effect occurs by the dimensional reduction. The minimal model with \( w_3[h_+] = 1 \) is

\[
    h_+(k) = \frac{\tau_0 + \tau_z}{2} \left[ \sin k_x \sigma_x + \sin k_y \sigma_y + \left( 2 - \sum_{i=x,y,z} \cos k_i \right) \sigma_z + i \sin k_z \sigma_0 \right] + \frac{\tau_0 - \tau_z}{2} i \sigma_0,
    
    h_-(k) = -Ch^T_+(-k)C^{-1} = \frac{\tau_0 - \tau_z}{2} \left[ -\sin k_x \sigma_x - \sin k_y \sigma_y + \left( 2 - \sum_{i=x,y,z} \cos k_i \right) \sigma_z + i \sin k_z \sigma_0 \right] - \frac{\tau_0 + \tau_z}{2} i \sigma_0, \tag{S104}
\]

where \( C = i \tau_x \sigma_y \). Note that \( h_{\pm} \) is block-diagonal and one of the blocks has the form of Eq.(S11). Therefore, \( h_{\pm} \) has a boundary state under the xOBC. Furthermore, we have \( [h_+, h_-] = 0 \) under the xOBC, so the BBC holds.

\[ S_4.2.15. \text{ class C} + S_+ \text{ (case e.)} \]

In class CI + \( S_{-+} \), \( h_+(k) \) belongs to class AIII, and thus the system has a \( \mathbb{Z} \) point-gap topological phase in \( d = 2 \). The corresponding point-gap topological number is the first Chern number for \( i h_+(k) \gamma \) [36]. The minimal model with \( Ch_1[ih_+ \gamma] = 1 \) is

\[
    h_+(k) = \frac{\tau_0 + \tau_z}{2} \left[ \sin k_x \sigma_x + \left( 1 - \sum_{i=x,y} \cos k_i \right) \sigma_y + i \sin k_y \sigma_0 \right] + \frac{\tau_0 - \tau_z}{2} i \sigma_0,
    
    h_-(k) = Th^*_+(-k)T^{-1} = -Ch^T_+(-k)C^{-1} = \frac{\tau_0 - \tau_z}{2} \left[ -\sin k_x \sigma_x + \left( 1 - \sum_{i=x,y} \cos k_i \right) \sigma_y + i \sin k_y \sigma_0 \right] - \frac{\tau_0 + \tau_z}{2} i \sigma_0, \tag{S105}
\]

where \( T = \tau_x \sigma_x, C = \tau_x \sigma_y, \gamma = i TC^* = \tau_0 \sigma_z \). This model show the BBC.

\[ S_4.2.16. \text{ class CI} + S_{-+} \text{ (case e.)} \]

In class BDI + \( S_{++} \), \( h_+(k) \) belongs to class A and there exist nontrivial point-gap topological phases in \( d = 1 \). The minimal model is the same as Eq. (S77) with \( T = C = \gamma = 1 \).

\[ S_4.2.17. \text{ class BDI} + S_{++} \text{ (case f.)} \]

For this class, \( h_+(k) \) belongs to class AII and nontrivial point-gap topological phases exist in \( d = 1, 3 \). For \( d = 1 \), the minimal model is

\[
    h_+(k_x) = e^{ik_x} \sigma_0, \quad h_-(k_x) = -Ch^T_+(-k_x)C^{-1} = -\gamma h^4_+(k_x) \gamma^{-1} = -e^{-ik_x} \sigma_0, \tag{S106}
\]
where $T = i\sigma_y, C = \sigma_0$ and $\gamma = \sigma_0$. This model has $w_1[h_\uparrow] = 2 \in \mathbb{Z}$, and shows the BBC.

For $d = 3$, the minimal model is

$$h_+(k) = i \left[ \sin k_x \tau_z \sigma_x + \sin k_y \tau_z \sigma_y + \left( 2 - \sum_{i=x,y} \cos k_i \right) \tau_0 \sigma_z \right] + \sin k_z \tau_z \sigma_z,$$

$$h_-(k) = -Ch_+^T(-k)C^{-1} = -\gamma h_+^\dagger(k)\gamma^{-1} = i \left[ \sin k_x \tau_z \sigma_x + \sin k_y \tau_z \sigma_y + \left( 2 - \sum_{i=x,y} \cos k_i \right) \tau_0 \sigma_z \right] + \sin k_z \tau_z \sigma_z,$$

(S107)

where $T = i\tau_z \sigma_y, C = \tau_z \sigma_x$ and $\gamma = \tau_y \sigma_z$. This model hosts the 3D non-trivial $\mathbb{Z}_2$ number $\nu_3[h_\uparrow]$ in class AII. Because $h_+$ and $h_-$ commute and support boundary states, the BBC holds.

\textit{S4.2.19. Class CII + $S_{++}$ (case f.)}

In this class, $h_+(k)$ belongs to class AII and nontrivial point-gap topological phases exist in $d = 1, 3$. For $d = 1$, the point-gap topological number is the 1D winding number $w_1[h_\uparrow] \in \mathbb{Z}$ and the minimal model is the same as Eq. (S106) with $T = C = i\sigma_y, \gamma = \sigma_0$. For $d = 3$, the point-gap topological number is the 3D $\mathbb{Z}_2$ number $\nu_3[h_\uparrow]$ for class AII [36], and the minimal model with $\nu_3[H] = 1$ is

$$h_+(k) = i \left[ \sin k_x \tau_z \sigma_x + \sin k_y \tau_z \sigma_y + \left( 2 - \sum_{i=x,y} \cos k_i \right) \tau_0 \sigma_z \right] + \sin k_z \tau_z \sigma_z,$$

$$h_-(k) = -Ch_+^T(-k)C^{-1} = -\gamma h_+^\dagger(k)\gamma^{-1} = i \left[ \sin k_x \tau_z \sigma_x + \sin k_y \tau_z \sigma_y + \left( 2 - \sum_{i=x,y} \cos k_i \right) \tau_0 \sigma_z \right] - \sin k_z \tau_z \sigma_z,$$

(S108)

where $T = C = i\tau_z \sigma_y$ and $\gamma = \tau_0 \sigma_0$. Since $h_\pm$ supports a boundary state and $[h_+, h_-] = 0$ under the xOBC. Thus the BBC holds.

\textit{S4.2.20. class CI + $S_{++}$ (case f.)}

In this class, $h_+(k)$ belongs to class AII and there exists a $\mathbb{Z}$ point-gap topological phase in $d = 1$. In a similar manner to $C + S_+, H(k)$ has TRS for class AII, thus the odd parity of $w_1[h_\uparrow]$ causes the symmetry-protected skin effect, and the topological classification changes from $\mathbb{Z}$ to $\mathbb{Z}_2$ under the OBC. The minimal model with $w_1[h_\uparrow] = 2$ is

$$h_+(k_x) = e^{ik_x \sigma_0}, \quad h_-(k_x) = -Ch_+^T(-k_x)C^{-1} = -\gamma h_+^\dagger(k_x)\gamma^{-1} = -e^{-ik_x \sigma_0},$$

(S109)

where $T = \sigma_0, C = i\sigma_y$ and $\gamma = \sigma_y$. This model shows the BBC.

\textit{S4.2.21. Class BDI + $S_{--}$ (case g.)}

In this class, $h_\pm(k)$ belongs to class D, and there exist point-gap topological phases in $d = 1, 2, 3$. For $d = 1$, the point-gap topological number is the 1D $\mathbb{Z}_2$ number $\nu_1[h_\uparrow]$,

$$(-1)^{\nu_1[h_\uparrow]} = \text{sgn} \left\{ \frac{\text{Pf}[h_\uparrow(\pi)C]}{\text{Pf}[h_\uparrow(0)C]} \times \exp \left[ -\frac{1}{2} \int_{k_x=0}^{k_x=\pi} d \log \text{det}[h_+(k_x)C] \right] \right\}.$$

(S110)

The minimal model is

$$h_+(k_x) = \sin k_x \sigma_x + \cos k_x \sigma_y, \quad h_-(k_x) = Th_+^*(k_x)T^{-1} = -\gamma h_+^\dagger(k_x)\gamma^{-1} = -\sin k_x \sigma_x - \cos k_x \sigma_y,$$

(S111)
with $C = T = \sigma_0$, and we have the BBC.

For $d = 2$, the point-gap topological number is the 2D $\mathbb{Z}_2$ number $\nu_2[h_+]$,

$$(-1)^{\nu_2[h_+]} = \prod_{X=1,2} \text{sgn} \left\{ \frac{\text{Pf}[h_+(k_{X+})C]}{\text{Pf}[h_+(k_{X-})C]} \right\} \times \exp \left\{ -\frac{1}{2} \int_{k=k_{X-}}^{k=k_{X+}} d \log \det [h_+(k)C] \right\},$$

(S112)

where $(k_{1+}, k_{1-})$ and $(k_{11+}, k_{11-})$ are two pairs of particle-hole symmetric momenta. The minimal model is

$$h_+(k) = \sin k_x \sigma_x + \sin k_y \sigma_z + \left( 1 - \sum_{i=x,y} \cos k_i \right) \sigma_y + i \sin k_y \sigma_0,$$

$$h_-(k) = T h_+^*(-k) T^{-1} = -\gamma h_+^\dagger(k) \gamma^{-1} = -\sin k_x \sigma_x - \sin k_y \sigma_z - \left( 1 - \sum_{i=x,y} \cos k_i \right) \sigma_y + i \sin k_y \sigma_0,$$

(S113)

with $C = T = \sigma_0$. Since $h_\pm$ supports a boundary state and $[h_+, h_-] = 0$ under the xOBC, we have the BBC.

For $d = 3$, the point-gap topological number is the 3D winding number $w_3[h_+] \in \mathbb{Z}$ for $h_+$. The minimal model is

$$h_+(k) = \sin k_x \sigma_x + \sin k_y \sigma_z + \left( 2 - \sum_{i=x,y,z} \cos k_i \right) \sigma_y - i \sin k_z \sigma_0,$$

$$h_-(k) = T h_+^*(-k) T^{-1} = -\gamma h_+^\dagger(k) \gamma^{-1} = -\sin k_x \sigma_x - \sin k_y \sigma_z - \left( 2 - \sum_{i=x,y,z} \cos k_i \right) \sigma_y - i \sin k_z \sigma_0,$$

(S114)

with $C = T = \sigma_0$, which also shows the BBC.

### 3.4.2.22. class CI + $S_{--}$ (case g.)

In this class, $h_+(k)$ belongs to class C, and there exists a 2$\mathbb{Z}$ point-gap topological phase in $d = 3$. The point-gap topological number is the 3D winding number $w_3[h_+]$ for $h_+$. The minimal model is

$$h_+(k) = \tau_0 \left[ \sin k_x \sigma_x + \sin k_y \sigma_z + \left( 1 - \sum_{i=x,y,z} \cos k_i \right) \sigma_y - i \sin k_z \sigma_0 \right],$$

$$h_-(k) = T h_+^*(-k) T^{-1} = -\gamma h_+^\dagger(k) \gamma^{-1} = -\tau_0 \left[ \sin k_x \sigma_x + \sin k_y \sigma_z + \left( 1 - \sum_{i=x,y,z} \cos k_i \right) \sigma_y + i \sin k_z \sigma_0 \right],$$

(S115)

with $T = \tau_0 \sigma_0$ and $C = i \tau y \sigma_0$. Since $h_\pm$ supports a boundary state and $[h_+, h_-] = 0$ under the xOBC, we have the BBC.
S5. CLASSIFICATION TABLES FOR POINT-GAP TOPOLOGICAL PHASES

In the previous section, we have completed the proof of the BBC for point-gap topological phases in 38 classes. In this section, we present classification tables in a more convenient form and summarize how the point-gap topological phases change between the PBC and the OBC. As an additional symmetry, we introduce here pseudo-hermiticity defined by

\[
\eta H^\dagger(k)\eta^{-1} = H(k), \quad \eta^2 = 1, \quad (S116)
\]

with a unitary matrix \( \eta \). Since pseudo-hermiticity is equivalent to CS by multiplying the Hamiltonian by \( i \), it does not change the classification. However, pseudo-hermiticity serves as a key internal symmetry in non-Hermitian physics, so its inclusion is convenient for application.

To obtain classification tables, we use the equivalence relations between classes. Tables S5, S6 and S7 summarize the equivalent relations between classes. Combining these tables with the results in Tables S3 and S4, we obtain the classification tables in Tables S8, S9 and S10.

### TABLE S5. Equivalence among the real AZ symmetry classes with SLS. The subscript of \( S_\pm \) specifies the commutation (+) or anti-commutation (-) relation to TRS or PHS in the real AZ class. For \( S_{\pm\pm} \), the first subscript specifies the relation to TRS/TRS\( ^\dagger \) and the second specifies the relation to PHS/PHS\( ^\dagger \).

| AZ class | \( S_- \) | \( S_{-+} \) | \( S_{--} \) |
|----------|----------|----------|----------|
| AI \( ^\dagger \) | \( \text{AI} + S_- \) | \( \text{DIII} + S_{-+} \) | \( \text{DIII} + S_{--} \) |
| \( \text{BDI} \) | \( \text{CHI} + S_{-+} \) | \( \text{CHI} + S_{--} \) |
| CI \( ^\dagger \) | \( \text{AI} + S_- \) | \( \text{DIII} + S_{-+} \) | \( \text{DIII} + S_{--} \) |
| \( \text{DIII} \) | \( \text{CHI} + S_{-+} \) | \( \text{CHI} + S_{--} \) |

### TABLE S6. Equivalence between the real AZ\( ^\dagger \) symmetry class with SLS and the real AZ symmetry class with SLS \([36]\). The subscript of \( S_\pm \) specifies the commutation (+) or anti-commutation (-) relation to TRS/TRS\( ^\dagger \) or PHS/PHS\( ^\dagger \). For \( S_{\pm\pm} \), the first subscript specifies the relation to TRS/TRS\( ^\dagger \) and the second specifies the relation to PHS/PHS\( ^\dagger \).

| AZ\( ^\dagger \) class | \( S_+ \) | \( S_- \) | \( S_{++} \) | \( S_{+-} \) | \( S_{-+} \) | \( S_{--} \) |
|-----------------------|----------|----------|----------|----------|----------|----------|
| AI \( ^\dagger \) | \( \text{D} + S_+ \) | \( \text{C} + S_- \) | \( \text{BDI} + S_{++} \) | \( \text{DIII} + S_{+-} \) | \( \text{CI} + S_{-+} \) | \( \text{CI} + S_{--} \) |
| \( \text{BDI} \) | \( \text{AI} + S_+ \) | \( \text{AII} + S_- \) | \( \text{DIII} + S_{++} \) | \( \text{DIII} + S_{+-} \) |
| \( \text{CII} \) | \( \text{AII} + S_+ \) | \( \text{AI} + S_- \) | \( \text{BDI} + S_{++} \) | \( \text{DIII} + S_{+-} \) |
| CI \( ^\dagger \) | \( \text{DII} + S_{++} \) | \( \text{BDI} + S_{--} \) | \( \text{CI} + S_{-+} \) | \( \text{CI} + S_{--} \) |
| Sym. class | $\eta$ | $\eta_+$ | $\eta_-$ | $\eta_{++}$ | $\eta_{+-}$ | $\eta_{-+}$ | $\eta_{--}$ |
|------------|-------|--------|--------|--------|--------|--------|--------|
| A          |      |        |        |        |        |        |        |
| AIII       |      |        |        |        |        |        |        |
| AIII + $S_+$ | BDI$^\dagger$ | DIII$^\dagger$ | BDI + $S_+$ | BDI + $S_{--}$ | BDI + $S_{--}$ | BDI + $S_{--}$ |
| $D$        |      |        |        |        |        |        |        |
| BDI        |      |        |        |        |        |        |        |
| $D$        |      |        |        |        |        |        |        |
| DIII       |      |        |        |        |        |        |        |
| AIII       |      |        |        |        |        |        |        |
| CHI        |      |        |        |        |        |        |        |
| CHI$^\dagger$ |      |        |        |        |        |        |        |
| C          |      |        |        |        |        |        |        |
| CI         |      |        |        |        |        |        |        |

| AZ class | Add. sym. | $d = 1$ | $d = 2$ | $d = 3$ |
|----------|-----------|---------|---------|---------|
| A        | -         | $\mathbb{Z}$ $\rightarrow$ 0 | 0 | $\mathbb{Z}$ |
| AIII     | -         | 0 | $\mathbb{Z}$ | 0 |
| A        | $S$       | $\mathbb{Z}$ $\oplus$ $\mathbb{Z}$ $\rightarrow$ $\mathbb{Z}[1, -1]$ | 0 | $\mathbb{Z}$ $\oplus$ $\mathbb{Z}$ |
| AIII     | $S_-, \eta_-$ | 0 | $\mathbb{Z}$ $\oplus$ $\mathbb{Z}$ | 0 |
| A        | $\eta$    | 0 | $\mathbb{Z}$ | 0 |
| AIII     | $S_+, \eta_+$ | $\mathbb{Z}$ | 0 | $\mathbb{Z}$ |

TABLE S7. Equivalence between the AZ/AZ$^\dagger$ symmetry class with pseudo-Hermiticity and those with SLS [36]. The subscript of $\eta_\pm / S_\pm$ specifies the commutation (+) or anti-commutation (-) relation to TRS/TRS$^\dagger$ or PHS/PHS$^\dagger$. For $\eta_{\pm\pm} / S_{\pm\pm}$, the first subscript specifies the relation to TRS/TRS$^\dagger$ and the second specifies the relation to PHS/PHS$^\dagger$.

TABLE S8. Classification of point-gap topological phases in the complex AZ classes without or with SLS or pseudo-Hermiticity. The subscript of $S_\pm / \eta_\pm$ specifies the commutation (+) or anti-commutation (-) relation to CS. For the topological numbers colored red or blue, the classification under OBCs changes from that under PBCs, where the left specifies the classification under PBCs and the right specifies that under OBCs. The topological number $\mathbb{Z}[i, j]$ under OBCs indicates the abelian group $\mathbb{Z}$ generated by the element $(i, j) \in \mathbb{Z} \oplus \mathbb{Z}$ under PBCs. For the topological numbers colored green, the classification under OBCs coincides with that under PBCs.
| AZ class | Add. sym. | $d = 1$ | $d = 2$ | $d = 3$ |
|----------|-----------|--------|--------|--------|
| AI       | -         | $\mathbb{Z} \to 0$ | 0       | 0       |
| BDI      | -         | $\mathbb{Z}_2$      | $\mathbb{Z}$  | 0       |
| D        | -         | $\mathbb{Z}_2$      | $\mathbb{Z}_2$  | $\mathbb{Z}$  |
| DIII     | -         | 0           | $\mathbb{Z}_2$  | $\mathbb{Z}_2$  |
| AI       | -         | $2\mathbb{Z} \to 0$ | 0       | $\mathbb{Z}_2$  |
| CHI      | -         | 0           | $2\mathbb{Z}$  | 0       |
| C        | -         | 0           | 0       | $2\mathbb{Z}$  |
| CI       | -         | 0           | 0       | 0       |

| AI       | $S_+\eta_+$ | $\mathbb{Z} \oplus \mathbb{Z} \to \mathbb{Z}[1,-1]$ | 0       |
| BDI      | $S_{++}\eta_{++}$ | $\mathbb{Z}_2 \oplus \mathbb{Z}_2 \to \mathbb{Z}_2[1,1]$ | $\mathbb{Z} \oplus \mathbb{Z} \to \mathbb{Z}[2,0] \oplus \mathbb{Z}[1,-1]$ | 0       |
| D        | $S_+\eta_+$ | $\mathbb{Z}_2 \oplus \mathbb{Z}_2 \to \mathbb{Z}_2[1,1]$ | $\mathbb{Z}_2 \oplus \mathbb{Z}_2 \to \mathbb{Z}_2[1,1]$ | $\mathbb{Z} \oplus \mathbb{Z} \to \mathbb{Z}[2,0] \oplus \mathbb{Z}[1,-1]$ |
| DIII     | $S_{++}\eta_{++}$ | 0           | $\mathbb{Z}_2 \oplus \mathbb{Z}_2$  | $\mathbb{Z}_2 \oplus \mathbb{Z}_2$  |
| AI       | $S_+\eta_+$ | $2\mathbb{Z} \oplus 2\mathbb{Z} \to 2\mathbb{Z}[1,-1]$ | 0       | $\mathbb{Z}_2 \oplus \mathbb{Z}_2$  |
| CHI      | $S_{++}\eta_{++}$ | 0           | $2\mathbb{Z} \oplus 2\mathbb{Z}$  | 0       |
| C        | $S_-\eta_+$ | 0           | 0       | $2\mathbb{Z} \oplus 2\mathbb{Z}$  |
| CI       | $S_{++}\eta_{++}$ | 0           | 0       | 0       |

| AI       | $\eta_+$ | 0           | 0       | 0       |
| BDI      | $S_{++}\eta_{++}$ | $\mathbb{Z}$  | 0       | 0       |
| D        | $\eta_+$ | $\mathbb{Z}_2$  | $\mathbb{Z}$  | 0       |
| DIII     | $S_{--}\eta_{++}$ | $\mathbb{Z}_2$  | $\mathbb{Z}_2$  | $\mathbb{Z}$  |
| AI       | $\eta_+$ | 0           | $\mathbb{Z}_2$  | $\mathbb{Z}_2$  |
| CHI      | $S_{++}\eta_{++}$ | $2\mathbb{Z}$  | 0       | $\mathbb{Z}_2$  |
| C        | $\eta_+$ | $2\mathbb{Z}$  | 0       | 0       |
| CI       | $S_{--}\eta_{++}$ | 0           | 0       | $2\mathbb{Z}$  |

| AI       | $\eta_-$ | $\mathbb{Z}_2 \to 0$ | $\mathbb{Z} \to 2\mathbb{Z}$  | 0       |
| BDI      | $S_{--}\eta_{--}$ | $\mathbb{Z}_2$  | $\mathbb{Z}_2$  | $\mathbb{Z}$  |
| D        | $\eta_-$ | 0           | $\mathbb{Z}_2$  | $\mathbb{Z}_2$  |
| DIII     | $S_{++}\eta_{--}$ | $2\mathbb{Z}$  | 0       | $\mathbb{Z}_2$  |
| AI       | $\eta_-$ | 0           | $2\mathbb{Z}$  | 0       |
| CHI      | $S_{--}\eta_{--}$ | 0           | 0       | $2\mathbb{Z}$  |
| C        | $\eta_-$ | 0           | 0       | 0       |
| CI       | $S_{++}\eta_{--}$ | $\mathbb{Z} \to 2\mathbb{Z}$  | 0       | 0       |

**TABLE S9.** Classification of point-gap topological phases in the real AZ classes without or with SLS or pseudo-Hermiticity. The subscript of $S_\pm/\eta_\pm$ specifies the commutation (+) or anti-commutation (-) relation to TRS or PHS. For $S_{\pm\pm}/\eta_{\pm\pm}$, the first subscript specifies the relation to TRS and the second specifies the relation to PHS. For the topological numbers colored red or blue, the classification under OBCs changes from that under PBCs, where the left specifies the classification under PBCs and the right specifies that under OBCs. The topological number $\mathbb{Z}[i,j]$ ($\mathbb{Z}_2[i,j]$) under OBCs indicates the abelian group $\mathbb{Z}$ ($\mathbb{Z}_2$) generated by the element $(i,j) \in \mathbb{Z} \oplus \mathbb{Z}$ ($\mathbb{Z}_2 \oplus \mathbb{Z}_2$) under PBCs. For the topological numbers colored green, the classification under OBCs coincides with that under PBCs.
TABLE S10. Classification of point-gap topological phases in the real $AZ^\dagger$ classes without or with SLS or pseudo-Hermiticity. The subscript of $S_{\pm}/\eta_{\pm}$ specifies the commutation (+) or anti-commutation (-) relation to TRS$^\dagger$ or PHS$^\dagger$. For $S_{\pm}/\eta_{\pm}$, the first subscript specifies the relation to TRS$^\dagger$ and the second specifies the relation to PHS$^\dagger$. For the topological numbers colored red or blue, the classification under OBCs changes from that under PBCs, where the left specifies the classification under PBCs and the right specifies that under OBCs. The topological number $Z[i, j]$ (or $Z_2[i, j]$) under OBCs indicates the abelian group $Z$ (or $Z_2$) generated by the element $(i, j) \in Z \oplus Z$ (or $(i, j) \in Z_2 \oplus Z_2$) under PBCs. For the topological numbers colored green, the classification under OBCs coincides with that under PBCs.

| $AZ^\dagger$ class | Add. sym. | $d = 1$ | $d = 2$ | $d = 3$ |
|--------------------|-----------|---------|---------|---------|
| AI$^\dagger$      | -         | 0       | 0       | $2\mathbb{Z}$ |
| BDI$^\dagger$     | -         | 0       | 0       | 0       |
| D$^\dagger$       | -         | $\mathbb{Z} \to 0$ | 0 | 0 |
| DIII$^\dagger$    | -         | $\mathbb{Z}_2 \to 0$ | $\mathbb{Z}_2 \to 0$ | 0 |
| AI$^\dagger$      | -         | $\mathbb{Z}_2 \to 0$ | $\mathbb{Z}_2 \to 0$ | $\mathbb{Z} \to 2\mathbb{Z}$ |
| CII$^\dagger$     | -         | 0       | $\mathbb{Z}_2$ | $\mathbb{Z}_2$ |
| CI$^\dagger$      | -         | $2\mathbb{Z} \to 0$ | 0 | $\mathbb{Z}_2$ |
| AI$^\dagger$      | $S_+$     | $\mathbb{Z}$ | 0 | $\mathbb{Z}$ |
| BDI$^\dagger$     | $S_{+-}, \eta_{+-}$ | 0 | $\mathbb{Z}$ | 0 |
| D$^\dagger$       | $S_-$     | $\mathbb{Z} \to 0$ | 0 | $\mathbb{Z}$ |
| DIII$^\dagger$    | $S_{+-}, \eta_{+-}$ | 0 | $\mathbb{Z}$ | 0 |
| AI$^\dagger$      | $S_-$     | $\mathbb{Z} \to 2\mathbb{Z}$ | 0 | $\mathbb{Z}$ |
| CII$^\dagger$     | $S_{+-}, \eta_{+-}$ | 0 | $\mathbb{Z}$ | 0 |
| CI$^\dagger$      | $S_{+-}, \eta_{+-}$ | 0 | $\mathbb{Z}$ | 0 |
| AI$^\dagger$      | $\eta_+$  | $\mathbb{Z}_2$ | $\mathbb{Z}$ | 0 |
| BDI$^\dagger$     | $S_{++}, \eta_{++}$ | $\mathbb{Z}$ | 0 | 0 |
| D$^\dagger$       | $\eta_+$  | 0       | 0       | 0       |
| DIII$^\dagger$    | $S_{--}, \eta_{++}$ | $\mathbb{Z}_2$ | $\mathbb{Z}_2$ | $\mathbb{Z}$ |
| AI$^\dagger$      | $\eta_+$  | 0       | $2\mathbb{Z}$ | 0 |
| CII$^\dagger$     | $S_{++}, \eta_{++}$ | $2\mathbb{Z}$ | 0 | $\mathbb{Z}_2$ |
| CI$^\dagger$      | $S_{--}, \eta_{++}$ | 0 | $\mathbb{Z}_2$ | $\mathbb{Z}_2$ |
| AI$^\dagger$      | $\eta_-$  | 0       | $\mathbb{Z}_2$ | $\mathbb{Z}_2$ |
| BDI$^\dagger$     | $S_{--}, \eta_{--}$ | 0 | 0 | $2\mathbb{Z}$ |
| D$^\dagger$       | $\eta_-$  | $\mathbb{Z}_2 \to 0$ | $\mathbb{Z} \to 2\mathbb{Z}$ | 0 |
| DIII$^\dagger$    | $S_{++}, \eta_{--}$ | $\mathbb{Z} \to 2\mathbb{Z}$ | 0 | 0 |
| AI$^\dagger$      | $\eta_-$  | 0       | 0       | 0       |
| CII$^\dagger$     | $S_{--}, \eta_{--}$ | $\mathbb{Z}_2$ | $\mathbb{Z}_2$ | $\mathbb{Z}$ |
| CI$^\dagger$      | $S_{++}, \eta_{--}$ | $2\mathbb{Z}$ | 0 | $\mathbb{Z}_2$ |
S6. INTRINSIC POINT-GAP TOPOLOGICAL PHASES

As discussed in Ref.[64], there exist two types of point-gap topological phases: One is those smoothly connected to conventional Hermitian (or anti-Hermitian) topological phases without point-gap closing, and the other is not. The latter is called intrinsic point-gap topological phases. Comparing Tables S7, S8, and S9 in Ref.[64] for intrinsic point-gap topological phases with Tables S8, S9 and S10 in the above, we can predict which intrinsic point-gap topological phases result in boundary states. We summarize the results in Tables S11, S12 and S13. Here the superscripts "SE" and "BS" of the topological numbers indicate the skin effect and the boundary state, respectively: If the $\mathbb{Z}^{SE}$ or $\mathbb{Z}^{BS}_2$ topological number is nonzero, we have skin effects (boundary states) in the corresponding intrinsic point-gap topological phase.

A remarkable feature of intrinsic point-gap topological phases is that boundary states can avoid the doubling theorem in Ref.[136] and have a single exceptional point on a boundary. Whereas such a surface state has been known to appear in an exceptional topological insulator [76], the exact condition for the appearance has not been specified before. Here we would like to point out that the presence of an intrinsic topological phase is necessary for such a boundary state with a single exceptional point: If the system is not in an intrinsic point-gap topological phase, it is smoothly deformable to a Hermitian or an anti-Hermitian one without point-gap closing. Therefore, even if the system supports exceptional points, the exceptional points should appear in a pair. Otherwise, the exceptional points can not disappear because they have their own topological numbers [45], which contradicts the fact that the system is topologically equivalent to a Hermitian (or anit-Hermitian) one where no exceptional point exists. Actually, the exceptional topological insulator in Ref.[76] has the intrinsic point-gap topological number in 3D class A (see Table S11), which is the 3D winding number $w_3 = 1$. In Figs. S4 and S5, we show another examples of such exceptional boundary states. In both cases, the presence of exceptional points immediately follows from Corollary 1. In Fig.S4, we consider the 3D class A + $\mathcal{S}$ model in Eq.(S76). As discussed in Sec.S4.2.1, a pair of the 3D winding numbers $(w_3[h_+], w_3[h_-]) \in \mathbb{Z} \oplus \mathbb{Z}$ characterizes the point-gap topological phase in this class, and the topological number of this model is $(1, 0)$. According to Table S4 in Ref.[64], this number realizes an intrinsic point-gap topological phase, which is consistent with the presence of an exceptional point in Fig.S4. In a similar manner, we can check that the 2D class AIII + $\mathcal{S}_-$ model in Eq.(S79) realizes an intrinsic point-gap topological phase, which is also consistent with the presence of an exceptional point in Fig.S5.
FIG. S4. The energy spectra of the 3D class A + S model in Eq. (S76). The system sizes are $L_x = 30, L_y = L_z = 60$. This model has an intrinsic point-gap topological number and its boundary state hosts an exceptional point.

FIG. S5. The energy spectra of the 2D class AIII + S$^-$ model in Eq. (S79). The system sizes are $L_x = L_y = 100$. This model has an intrinsic point-gap topological number and its boundary state hosts an exceptional point.

| AZ class | Add. sym. | $d = 1$ | $d = 2$ | $d = 3$ |
|----------|-----------|---------|---------|---------|
| A        | -         | $\mathbb{Z}^{SE}$ | 0       | $\mathbb{Z}^{BS}$ |
| AIII     | -         | 0       | 0       | 0       |
| A        | $\mathcal{S}$ | $\mathbb{Z}^{SE}$ | 0       | $\mathbb{Z}^{BS}$ |
| AIII     | $\mathcal{S}^-, \eta_-$ | 0       | $\mathbb{Z}_2^{RS}$ | 0       |
| A        | $\eta$    | 0       | 0       | 0       |
| AIII     | $\mathcal{S}^+, \eta_+$ | 0       | 0       | 0       |

TABLE S11. Classification of intrinsic point-gap topological phases for complex AZ classes without or with SLS or pseudo-Hermiticity. The subscript of $\mathcal{S}_\pm/\eta_\pm$ specifies the commutation (+) or anti-commutation (−) relation to CS. The topological numbers colored red (green) result in non-Hermitian skin effects (boundary states).
result in non-Hermitian skin effects (boundary states).

The relation to TRS and the second one specifies the relation to pseudo-Hermiticity. The subscript of $\eta_{\pm}$ specifies the commutation ($+$) or anti-commutation ($-$) relation to TRS or PHS. For $S_{\pm}/\eta_{\pm}$, the first subscript specifies the relation to TRS and the second one specifies the relation to PHS. The topological numbers colored red (green) result in non-Hermitian skin effects (boundary states).

| AZ class | Add. sym. | $d = 1$ | $d = 2$ | $d = 3$ |
|----------|-----------|---------|---------|---------|
| AI       | $S_+$     | $Z^{SE}$ | 0       | 0       |
| BDI      | $S_{++}$  | $Z^{SE}$ | $Z^{SE}$ | 0       |
| D        | $S_-$     | $Z^{SE}$ | $Z^{SE}$ | $2Z^{BS}$ |
| DIII     | $S_{+-}$  | 0       | $Z^{BS}$ | $Z^{BS}$ |
| AII      | $S_+$     | $Z^{SE}$ | 0       | $Z^{BS}$ |
| C        | $S_-$     | 0       | 0       | $2Z^{BS}$ |
| CI       | $S_{+-}$  | 0       | 0       | 0       |

| AZ$^\dagger$ class | Add. sym. | $d = 1$ | $d = 2$ | $d = 3$ |
|--------------------|-----------|---------|---------|---------|
| AI$^\dagger$      | $S_+$     | 0       | 0       | $2Z^{BS}$ |
| BDI$^\dagger$     | $S_{++}$  | 0       | 0       | 0       |
| D$^\dagger$       | $S_-$     | $Z^{SE}$ | 0       | 0       |
| DIII$^\dagger$    | $S_{+-}$  | $Z^{SE}$ | $Z^{SE}$ | 0       |
| AI$^\dagger$      | $S_+$     | $Z^{SE}$ | $Z^{SE}$ | $(2Z + 1)^{SE}$ |
| C$^\dagger$       | 0         | 0       | 0       | 0       |
| Cl$^{\dagger}$    | 0         | 0       | 0       | 0       |

| TABLE S12. Classification of intrinsic point-gap topological phases for real AZ classes without or with SLS or pseudo-Hermiticity. The subscript of $S_{\pm}/\eta_{\pm}$ specifies the commutation ($+$) or anti-commutation ($-$) relation to TRS or PHS. For $S_{\pm}/\eta_{\pm}$, the first subscript specifies the relation to TRS and the second one specifies the relation to PHS. The topological numbers colored red (green) result in non-Hermitian skin effects (boundary states).

| TABLE S13. Classification of intrinsic point-gap topological phases for real AZ$^\dagger$ classes without or with SLS or pseudo-Hermiticity. The subscript of $S_{\pm}/\eta_{\pm}$ specifies the commutation ($+$) or anti-commutation ($-$) relation to TRS$^\dagger$ or PHS$^\dagger$. For $S_{\pm\pm}/\eta_{\pm\pm}$, the first subscript specifies the relation to TRS$^\dagger$ and the second one specifies the relation to PHS$^\dagger$. The topological numbers colored red (green) result in non-Hermitian skin effects (boundary states). |