Minimum total distance clustering and balanced distance clustering in northern Thailand’s corn crop residue management system

Sirilak Phonin\textsuperscript{1,2}, and Chulin Likasiri\textsuperscript{1,2,*}

\textsuperscript{1} Centre of Excellence in Mathematics, CHE, Bangkok 10400, Thailand
\textsuperscript{2} Center of Excellence in Mathematics and Applied Mathematics, Department of Mathematics, Faculty of Science, Chiang Mai University, Chiang Mai 50200, Thailand

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Abstract — The authors study the corn crop residue management system for 16 provinces in northern Thailand encompassing 127 agricultural cooperatives (co-ops), 974 corn fields and 274 customers. To solve the system’s problems, we find clusters where co-ops will pick up crop residues from corn fields, process them into biomass fuel and sell the fuel to customers. Each cluster consists of a co-op, a set of corn fields and a set of customers, the latter two being on separate routes from the co-op. To minimize the system’s transportation cost and balance transportation cost between clusters, we propose a mathematical model with two objective functions, construct two heuristics, and apply the two heuristics to solve the problem.

Keywords: Agricultural residue management system, Northern Thailand, Heuristic, Multiple depot vehicle routing problem

Introduction

Thailand is one of the world’s leading producers and exporters of agricultural products \cite{1}. Major export crops include rice, sugarcane, tobacco, rubber, corn and palm oil. Cash crop cultivation areas are distributed around the country. In the north, corn is the major crop because it requires less water than other crops and is suitable for the region’s mountainous terrains. Harvested corn is milled on the fields using hired milling machines, and then sold to agricultural cooperatives. By value, corn exports amounted to 13\% of the country’s total corn production in 2016 \cite{2}. Yielding a total of 2.8 million metric tons, corn growing areas in northern Thailand account for 68\% of the country’s corn cultivation area \cite{3}. The typical Thai corn farmer mills his corn right after harvest, leaving corn stalks, leaves, cobs and husks out in the open for later disposal.

Farm waste disposal methods include open burning, bio-composting, and biomass pellet production. The most common disposal method among northern corn farmers is open burning in the field, which produces large amounts of air pollutants. In fact, this method of elimination is believed to be a major cause of widespread air pollution problems that are endangering the health of the region’s inhabitants. Thailand State of Pollution Report 2017 \cite{4} indicated that the level of atmospheric particulate matter less than 2.5 micron in diameter (PM2.5) was in the range 115–181 µg/m\textsuperscript{3} which is higher than the national standard of 50 µg/m\textsuperscript{3} set by the Ministry of Natural Resources and Environment’s Pollution Control Department. Also, particulate matter less than 10 micron in diameter (PM10) in northern provinces exceeded the national standard of 150 µg/m\textsuperscript{3}, with Chiang Rai province having the highest amount of PM10 at 320 µg/m\textsuperscript{3}. The region’s residents suffer directly from the resultant air pollution especially particulate matter (PM10 as well as PM2.5). In 2017, the highest recorded level of PM10 in the area reached 178 µg/m\textsuperscript{3} and on 18 days in the dry season the levels of PM10 exceeded the standard value of 120 µg/m\textsuperscript{3} \cite{5}. The highest recorded level of PM2.5 in the area that year was 116 µg/m\textsuperscript{3} and on a total of 30 days, recorded PM2.5 levels exceeded the standard value of 50 µg/m\textsuperscript{3} \cite{6}. More recently, in April 2018, the Regional Environment Office 1 (Chiang Mai) \cite{7} reported that PM10 level in Lampang province reached 155 µg/m\textsuperscript{3}.

Air pollution problems worsen every summer (March–May) due to the fact that agricultural wastes are burned on the fields without any attempt at emission control. Unless strict measures are put in place against open burning of residues, farmers are generally unwilling to use other means. Ideally, some of the waste-elimination and environmental costs should be...
transferred to growers. Having a power plant to cleanly burn agricultural residues will improve air quality, as this will do away with the numerous incomplete combustion burners currently used to dispose of the wastes. To reduce CO₂ emissions and the air pollution affecting the lives of northerners, the government annually carries out a campaign called “No Burning for 51 Days” during March and April, and has tried different policies to curb the practice of agricultural waste burning. One of these policies, to support the transformation of waste into fuel pellets, is directly related to our case study, the corn residue transportation system in northern Thailand.

The authors study corn-crop residue management in northern Thailand with the aim to help curb the practice of in-field open burning. At present, efforts are being made to encourage the conversion of corn cobs and husks, which make up 6% and 20% of corn harvest, respectively [8], into biomass pellets with the potential to be used as bioenergy feedstock. There are works aimed at reducing biomass open burning by penalizing emissions as well as paying an incentive for biomass conversion to other energy resources [9].

In any crop residue management system, the most important cost is transportation, since the crop residues themselves cost virtually nothing. Northern Thailand’s corn crop residue management system consists of farmers (or fields where corn harvest takes place and from where crop residues are sent (or picked up by the co-op) for processing); co-ops, which process the harvested crop, turn corn cobs and husks into biomass pallets and offer both corn kernels and biomass pellets for sale; and customers, who agree to purchase the biomass fuel from the co-ops.

In this study, an optimization model is constructed to find clusters/routings comprising a co-op (depot), and two types of clients, namely: (1) farmers (or pickup customer), and (2) factories (or delivery customers). In our problem, pickup customers are served, the vehicle comes back fully loaded to the depot and then leaves again with the processed products to be dropped off at delivery customers. There are multiple agricultural cooperatives in the system. We are interested in finding the routing via Multiple Depot Vehicle Routing Problem with Backhaul (MDVRPB) with two alternative objective functions: to minimize the total distance in all routes, and to balance the total distance in each route so that each depot will bear equal share of the transportation cost. The latter objective plays a very important role in policy setting. Since the total transportation cost in this objective is generally higher than the cost obtained from the first objective, the difference can be used to determine an appropriate incentive for corn residue conversion to biomass energy resources. Here, routes are identified under the following restrictions: each route begins and ends at the same depot; each customer belongs to only one route; each route comprises two types of clients i.e., the farmers and the factories, and the co-ops serving as depots in the case study; and the total demand of the assigned customer cannot exceed the depot’s capacity. In the approach of the proposed heuristics, we have investigated both split and no split deliveries but found not positive results on the split deliveries. Hence, in this work, split deliveries are not allowed, but they will be investigated further in future work.

Literature review

The Vehicle Routing Problem (VRP), a well-known combinatorial optimization problem, is concerned with designing routes to serve a set of customers subject to vehicle and customer restrictions. VRP was first introduced by Dantzig and Ramser [10], who proposed a mathematical formulation and algorithm for finding the optimal routing for a fleet of gasoline delivery trucks between a bulk terminal and the service stations supplied by the terminal. Eksioglu et al. [11] classified the literature of VRP by five different aspects consisting of: (1) type of study, (2) scenario characteristics, (3) problem physical characteristics, (4) information characteristics, and (5) data characteristics. Among the first researchers to study a variety of VRP, Solomon [12] studied the VRP with Time Windows (VRPTW) constraints, which are the constraints that considerably complicate the problem. Because of this, the topic was subsequently extensively studied. Sexton and Bodin [13] were the first to examine the pickup and delivery problem with constraint on delivery times. They proposed heuristics to find and improve the initial route. Savelsbergh and Sol [14] gave an extensive survey on the problem types and solution methods of pickup and delivery problems, which involve transportation of loads from origin to destination without transshipment node. In their survey, VRP is considered static whereas pickup and delivery problems are often dynamic. Hence, in pickup and delivery problems, the concept of depots vanished. However, Parragh et al. [15, 16] later conducted another survey on pickup and delivery problems. In their survey, there are no dynamic concepts in pickup and delivery problem. The problems can be classified into two groups, VRP with Backhaul (VRPB), where the transportation is done from and to the depot, and VRP with Pickup and Delivery (VRPPD), where the loads are transported between pickup and delivery locations. For a recent literature review on VRPB, we refer the interested reader to work of Koç and Laporte [17].

Toth and Vigo [18] offered an exact algorithm for VRPB where pickup customers are visited after all delivery customers have been visited. The lower bounds obtained consist of Lagrangian and dropping capacity constraint lower bounds. Salhi and Nagy [19] investigated both single VRPB and multiple VRPB. In their work, pickups and deliveries can be done in mixed order. Their proposed method is done by solving VRP for delivery customers and inserting pickup customers to the route. In Tavakkoli-Moghaddam et al. [20], pickup customers also needed to be served first and the authors used local search and GA to solve this NP-hard problem. In Nagy et al.’s [21] study of VRP with divisible deliveries and pickups, even though a customer might have both pickup and delivery demand, the demands are served on two separate visits. They also studied the savings from satisfying pickups and deliveries separately instead of simultaneously.
Another extension of VRP is called Multiple Depot Vehicle Routing Problem (MDVRP). Currently, fewer studies have been published on MDVRP than on classic VRP. Baldacci and Mingozzi [22] proposed an exact method for solving an extended model of Capacitated VRP (CVRP) including Heterogeneous VRP (HVRP), Site-Dependent VRP (SDVRP), and MDVRP. Bounding procedures offered in this work are based on the LP-relaxation and Lagrangian relaxation. Contardo and Martinelii [23] also proposed an exact algorithm for solving Capacitated MDVRP (CMDVRP) under length-of-route constraints. Their algorithm involved column-and-cut generation and a new set of valid inequalities. Consequently, compared to the existing methods, it produced tighter lower bounds for unsolved problems and solved some hard instances optimally.

Only in small instances can exact algorithm solve general MDVRP within reasonable execution time. Metaheuristic methods have been proposed to solve large-size versions of the problem. These methods are capable of finding near-optimal solutions within reasonable time, but they cannot guarantee an optimal solution. To construct the heuristics, we study related works on solving MDVRP using metaheuristics. Lim and Wang [24] proposed algorithms for solving MDVRP with existing methods, they offered both 1- and 2-stage approaches. Whereas their 1-stage approach conducts the assignment and routing procedures simultaneously, the 2-stage approach solves the assignment problem and then applies TSP to find the routing schedule independently. Their algorithms are partitioned into assignment method and routing method. Crevier et al. [25] studied MDVRP with Inner-Depot route (MDVRPI) where routing can start and end at a different depot. Routings in the proposed algorithm are generated based on tabu search algorithm. A set of benchmark instances for this problem is also introduced in this work. Kuo and Wang [26] proposed a variable neighborhood search for solving MDVRP with Loading Cost (MDVRPLC). Their heuristics combined stochastic method for the initial solution, neighborhood search and simulated annealing to improve the solution. Allahyari et al. [27] proposed a MDVRP where only some customers need to be visited. The demand of those who are not visited are satisfied by the customer in the tour. The metaheuristics are constructed based on greedy randomized adaptive search, iterated local search and simulated annealing. Oliveira et al. [28] decomposed the problem into subproblems with a single depot, simultaneously obtained the partial solutions and formed a complete solution to the problem via the proposed method similarly to that of GA and local search. Wang et al. [29] solved split delivery MDVRP with minimum service time requirement. Their objective is to minimize the duration of the longest route. After an initial feasible solution is constructed without splits, the improved solution is found by splitting service time to reduce the longest route, and to satisfy the minimum service time requirement.

Corn crop residue data collection

Locations of corn fields, co-ops and customers are mapped out and then the shortest distances between any two locations are identified using Google Map. The corn crop residue management system for 16 provinces in northern Thailand covers 974 fields, 127 co-ops, and 274 customers, for a total of 1375 locations. Northern Thailand is located between 17° 10’–20° 27’ North latitude and 97° 22’–100° 00’ East longitude.

Optimization model

In order to construct the optimization model, we first define some parameters and decision variables, then we state the two objective functions followed by constraints.

Parameters and decision variables

The model’s parameters are denoted as follows: \( r \) is the number of co-ops/clusters; \( m \) is the number of corn fields; \( n \) is the number of customers; \( K \) is a set of co-ops/clusters, \( K = \{ 1, \ldots, r \} \); \( P \) is a set of corn fields, \( P = \{ r + 1, \ldots, r + m \} \); \( D \) is a set of customers, \( D = \{ r + m + 1, \ldots, r + m + n \} \); \( V \) is the set of all locations \( V = K \cup P \cup D \); \( c_{ij} \) is the distance between \( i \)th and \( j \)th vertices; \( f_k \) is the fixed cost of opening the \( k \)th co-op; \( s_i \) is the supply at \( i \)th corn field; \( d_i \) is the demand at \( i \)th customer; and \( q_k \) is the capacity of \( k \)th co-op. The decision variables are:

\[
x_{ij}^k = \begin{cases} 
1, & \text{if corn residues are transported from } i \text{th vertex to } j \text{th by } k \text{th co-op}, \\
0, & \text{otherwise.}
\end{cases}
\]

\[
y_k = \begin{cases} 
1, & \text{if } k \text{th co-op is opened}, \\
0, & \text{otherwise.}
\end{cases}
\]

\( u_k^i \) is the load of the \( k \)th vehicle (i.e., the capacity of the \( k \)th co-op) when departing from \( i \)th vertex.
Objective function

The two main optimization objectives in this work are to minimize the traveled distance in the system and minimize traveled distance differences among clusters.

The first objective, to minimize the total distance traveled, can be written as

$$\min \sum_{k \in K} \sum_{i \in V} \sum_{j \in V} c_{ij} x_{ij}^k + \sum_{k \in K} f_k y_k. \quad (1)$$

The second objective, to balance the cost between clusters, can be written as

$$\min \sum_{k \in K, k \neq l} \sum_{i \in K} \left\{ \left( \sum_{i \in V} \sum_{j \in V} c_{ij} x_{ij}^k + f_i y_i \right) - \left( \sum_{i \in V} \sum_{j \in V} c_{ij} x_{ij}^l + f_i y_l \right) \right\}^2. \quad (2)$$

Constraints

Each cluster needs to have a single co-op that serves the entire sets of corn fields and customers. A co-op makes the rounds of all the corn fields in a single routing and serves all the customers in another single routing. We therefore do not consider the capacities of the trucks used for pickups and deliveries. The constraints of this problem can be described as follows:

1. The vehicle at each co-op can be used when that co-op is open:

   $$\sum_{j \in P} x_{kj}^k \leq y_k, \forall k \in K, \quad (3)$$

2. Each route from corn field or customer ends at the co-op serving as the start of that route:

   $$\sum_{i \in E} x_{ik}^k = \sum_{j \in P} x_{kj}^k, \forall k \in K, \quad (5)$$

   $$\sum_{i \in D} x_{ik}^k = \sum_{j \in D} x_{kj}^k, \forall k \in K. \quad (6)$$

3. The $k$th route only starts from the $k$th co-op:

   $$\sum_{i \in K \neq k} \sum_{j \in P \cup D} \left( x_{ij}^k + x_{ji}^k \right) = 0, \forall k \in K. \quad (7)$$

4. Each corn field or customer can only be in one cluster:

   $$\sum_{k \in K} \sum_{j \in V} x_{ij}^k = 1, \forall i \in P \cup D. \quad (8)$$

5. The flow conservation of each vertex, i.e., the number of entering arcs of each vertex, is equal to the number of that vertex’s leaving arcs:

   $$\sum_{k \in K} \left( \sum_{i \in E} x_{ij}^k - \sum_{i \in E} x_{ji}^k \right) = 0, \forall j \in V. \quad (9)$$

6. Since corn residues need to be processed at the depots before delivery to customers can be made, the corn fields cannot be directly connected to the customers in each route:

   $$\sum_{k \in K} \sum_{j \in D} \sum_{i \in P} \left( x_{ij}^k + x_{ji}^k \right) = 0. \quad (10)$$
The combined supply from corn fields on each route cannot exceed the capacity of the co-op serving that route and the combined demand from customers cannot exceed the total amount of corn residues available on that route:

$$\sum_{i \in F} \sum_{j \in D} d_{ij} x_{ij}^k \leq \sum_{i \in F} \sum_{j \in P} s_{ij} x_{ij}^k, \forall k \in K.$$  \hfill (11)

$$\sum_{i \in F} \sum_{j \in P} s_{ij} x_{ij}^k \leq C_k \forall k \in K.$$  \hfill (12)

8. The subtour elimination constraints:

$$u_i^k - u_j^k + g_{k} x_{ij}^k \leq q_k - s_j, \forall i, j \in P, i \neq j, \forall k \in K.$$  \hfill (13)

$$u_i^k - u_j^k + g_{k} x_{ij}^k \leq q_k - d_j, \forall i, j \in D, i \neq j, \forall k \in K.$$  \hfill (14)

$$s_i \leq u_i^k \leq q_k, \forall i \in P, \forall k \in K.$$  \hfill (15)

$$d_i \leq u_i^k \leq q_k, \forall i \in D, \forall k \in K.$$  \hfill (16)

$$x_{ij}^k \in \{0, 1\},$$

$$y_k \in \{0, 1\},$$

$$u_i^k \in Z^+ \cup \{0\}.$$  

Heuristics construction

The problem of clustering corn fields, customers and co-ops comprising 1375 vertices cannot be solved via CPLEX. In order to find a best solution, we work to improve existing greedy algorithm-based heuristics. In both proposed heuristics, the corn fields must be clustered first in order to determine the total available supplies before the customers are visited. In the first heuristics, called the Minimum Distance and Supply Ratio (MDSR), a corn field (or customer) will be assigned to a co-op/cluster if the ratio between its distance to the cluster and the size of its supply is the lowest and its supply does not exceed the remaining capacity of the cluster’s co-op. The process of this heuristic is illustrated in Figure 1. In the second heuristic, called the Minimum Distance and Supply Ratio with Balancing co-op (MDSRB), the co-op serves the supplier that has the lowest ratio between distance to the cluster and size of supply, provided that the size of this supplier’s supply does not exceed the co-op’s remaining capacity. This heuristic also aims to evenly spread the closest supplier of each co-op. Consequently, the co-ops are sequentially assigned to the as-yet unassigned corn fields. The process of the second heuristic is illustrated in Figure 2.

Minimum Distance and Supply Ratio (MDSR)

The assignment strategy of this heuristic is to assign a supplier (customer) to the cluster only if the ratio between its minimum distance to the cluster and its supply (demand) is the smallest. Call the co-op of this cluster the minimum feasible co-op. Hence, for each supplier $i$ in this algorithm, we assign the supplier to its minimum feasible co-op. For each remaining suppliers, repeat this process until all suppliers have been assigned to co-ops. The proposed algorithm can be written as follows;

While the unassigned supplier set is not empty,
    Find the supplier located nearest to its minimum feasible co-op
    If the size of this supplier’s supply exceeds the remaining capacity of every co-op, this supplier cannot be assigned to any co-op, the problem is infeasible.
    Else,
        assign this supplier to the cluster with its minimum feasible co-op,
        remove the supplier from the unassigned supplier set, and
        update the remaining capacity of the chosen co-op.
    End.
    Repeat this process until the unassigned supplier set is empty.
End
Minimum Distance and Supply Ratio with Balancing co-op (MDSRB)

This heuristic’s assignment strategy is to assign the cluster to a supplier (customer) with the lowest ratio between minimum distance to the cluster and size of supply (demand). This supplier is called the minimum feasible supplier of this cluster. In other words, in this algorithm, we assign to each cluster its minimum feasible supplier. We assign a supplier to an
unassigned co-op until the unassigned co-op list is empty, and repeat this process until all suppliers have been assigned to co-ops. The proposed algorithm can be written as follows;

\[
\text{Do while } \text{the unassigned supplier set is not empty,} \\
\text{While the list of unassigned co-ops is not empty} \\
\quad \text{If the size of each supplier's supply exceeds the remaining capacity of co-op } j, \\
\quad \quad \text{remove co-op } j \text{ from the unassigned co-op list.} \\
\quad \text{If the unassigned co-op list is not empty,} \\
\quad \quad \text{move to the next co-op on the list.} \\
\quad \text{Else,} \\
\quad \quad \text{the problem is infeasible.} \\
\text{End.} \\
\text{Else,} \\
\quad \text{assign co-op } j \text{ to its minimum feasible supplier,} \\
\quad \text{remove the supplier from the unassigned supplier set,} \\
\quad \text{update the remaining capacity of co-op } j, \\
\quad \text{and move co-op } j \text{ to the end of the unassigned co-op list.} \\
\text{End.} \\
\text{Move to the next co-op in the list.} \\
\text{Move to the next unassigned supplier.} \\
\text{End.}
\]

**Computational results via the proposed heuristics**

To test the models, we formulate a model with two objective functions on AIMMS where the model is optimally solved via CPLEX. The optimal route and its associated total transportation cost are found in small instances with execution time of no more than 24 h. The sizes of the test problems are \(6 \times 3 \times 3\) (6 suppliers \(\times\) 3 co-ops \(\times\) 3 customers), \(6 \times 3 \times 4\), \(6 \times 3 \times 5\) and \(6 \times 3 \times 6\). The solutions to these problems are compared with the solution obtained from the two proposed heuristics. All sets of problems are simulated and solved on Intel® Xeon® CPU 2.30 GHz 2.29 GHz (two processors) with 64 GB of RAM. In each case, 30 problems are generated using normal distribution since we observe that the real-world data are normal. The distances between two nodes are normally generated with the mean at 100 and Standard Deviation (SD) of 30. The capacities of the co-ops are generated from normal distribution with the mean at 1000 and SD of 200, combined supply from all suppliers at 60% of combined capacities of all co-ops while the combined demand of the customers is 60% of the combined supply from all suppliers. The supplies from suppliers are normally generated with the mean at 180 and SD of 54 while the demands of customers are normally generated with different mean depending on the number of suppliers and with SD being 30% of mean. All problems’ fixed cost is set to be zero.

In Table 1, the average total distance in each cluster found from the optimal solution with two objective functions, MDSR and MDSRB are shown along with average processing times. MDSR is constructed to solve the first objective function, MDSRB to solve the second objective function. As expected, the total distance traveled in the system found via the model with the first objective function is shorter than that obtained from both heuristics in all problem sizes. We compare the SD of each solution to find the best balanced distance in each cluster. The balanced distance clusters obtained from the model with the second objective function yields the worst total distance. It can be concluded from the large gaps in the SD shown in the table that the second objective function results in clusters with a lot more balanced distance traveled. For problems with 3, 4, 5 and 6 customers, the number of cases having only 2 out of 3 co-ops opened are 25, 20, 22 and 26 cases out of 30 cases, respectively, in the first objective optimal solutions. That number is much smaller at 5, 9, 6 and 9, respectively, if we solve with MDSR heuristic. However, when we solve the problem with the second objective, all co-ops are opened regardless of the method used.

To compare the heuristics in larger instances that cannot be solved optimally using the two models, 30 problems in sizes \(3000 \times 100 \times 100\), \(3000 \times 100 \times 200\), \(3000 \times 100 \times 300\), \(3000 \times 100 \times 400\) and \(3000 \times 100 \times 500\) are normally generated and solved on Intel® Xeon® CPU E5-2667 v4 @ 3.20 GHz 3.20 GHz (two processors) with 64 GB of RAM., where the distances between suppliers, customers and co-ops are generated in the interval \([1300]\). The suppliers’ supplies and customers’ demands are in the range of \([0, 3500]\) and \([3000, 12\,000]\), respectively. The capacities of the co-ops fall in the \([15\,000, 50\,000]\) interval. All problems’ fixed cost is set to be zero. Data generated are provided via \url{http://dx.doi.org/10.17632/gww7sf5m6m}. Results are shown in Table 2. Note that all co-ops are opened in all these cases.
We solve a real-world problem using MDSR and MDSRB heuristics. The results are shown in Table 3. The total traveled distance obtained from MDSR is shorter than that obtained from MDSRB because MDSR searches all corn fields (or customers) for the co-ops with the lowest distance-to-the-cluster-to-supply-size ratio with the nearest corn fields being

| Number of customers | Execution time (s) | Total distance in each cluster | Traveled distance in the system |
|---------------------|-------------------|---------------------------------|---------------------------------|
|                     |                   | Max | Min | Avg | SD |                         | Two opened co-ops | Three opened co-ops |
| **MDSR heuristic** |                   |     |     |     |    |                          |                   |                     |
| 3                   | 1.15              | 648.07 | 26.47 | 321.91 | 325.64 | 965.73 | 25 | 5 |
| 4                   | 3.75              | 722.40 | 24.93 | 340.51 | 365.13 | 1021.53 | 20 | 10 |
| 5                   | 5.97              | 803.13 | 25.90 | 358.74 | 409.27 | 1076.23 | 22 | 8 |
| 6                   | 21.83             | 850.23 | 10.67 | 389.94 | 439.75 | 1169.83 | 26 | 4 |
| **MDSRB heuristic**|                   |     |     |     |    |                          |                   |                     |
| 3                   | 0.01              | 565.26 | 180.93 | 377.90 | 200.91 | 1133.70 | 5 | 20 |
| 4                   | 0.03              | 591.47 | 166.83 | 403.39 | 223.40 | 1210.17 | 9 | 21 |
| 5                   | 0.05              | 645.90 | 196.13 | 429.52 | 233.72 | 1288.57 | 6 | 24 |
| 6                   | 0.04              | 666.77 | 202.03 | 462.60 | 249.73 | 1387.80 | 9 | 21 |

Table 3. Corn crop residue transportation results for 16 northern Thailand provinces.

| Heuristic | Total distance in each cluster | Traveled distance in the system | Number of the opened co-ops |
|-----------|---------------------------------|---------------------------------|-----------------------------|
|           | Max | Min | Avg | SD |                           |                           |                             |
| **MDSR**  | 2371.84 | 0.00 | 488.70 | 391.93 | 62 065.36 | 120 |
| **MDSRB** | 2622.11 | 297.13 | 1223.10 | 476.19 | 155 333.85 | 127 |

Solving crop residue transportation via the proposed heuristics

We solve a real-world problem using MDSR and MDSRB heuristics. The results are shown in Table 3. The total traveled distance obtained from MDSR is shorter than that obtained from MDSRB because MDSR searches all corn fields (or customers) for the co-ops with the lowest distance-to-the-cluster-to-supply-size ratio with the nearest corn fields being
assigned to co-ops. The second and third columns show the maximum and minimum traveled distances of all the clusters, respectively. The fourth column shows the average traveled distance in each cluster while the fifth column shows the standard deviation of all the clusters’ traveled distances. We observe that the minimum traveled distance from MDSR is 0, i.e., some co-ops are not opened in the MDSR solution, whereas every co-op is opened in the MDSRB solution. The number of opened co-ops is also given in Table 3. The reason is that MDSRB attempts to balance total traveled distance in each cluster and therefore all co-ops have to be opened.

Conclusions and discussions

This work proposes mathematical formulations for assigning two different types of clients to routings via capacitated multi-depot vehicle routing problem. One of the objectives is to find the transportation system with minimum total distance traveled, where each route in the system contains only one depot whose capacity is sufficient for the supplies/demands in that cluster. We propose two objective functions for the problem with the same set of constraints, that each route has one depot dealing with all the supplies/demands. The first model is called CMVRP2C where the total cost is minimized. The second model is called CMVRPB where the balance of the transportation costs of each route is the main objective. The optimal solutions of CMVRP2C and CMVRPB can be obtained only in small-size problems.

Two heuristics, MDSR and MDSRB, are constructed to find the corn crop residue management clusters which are larger problem. The heuristics’ assignment strategy is to first pair each cluster’s co-op with a corn field (or customer) with the lowest ratio between its minimum distance to the cluster and the size of its supply (demand). If there are two locations with the same supply size, the nearest location will be assigned first. With MDSR heuristic, all corn fields or customers are to be found and assigned to the nearest co-op, thus the co-ops located close to corn fields or customers are chosen but co-ops located far away from them are not. With both heuristics, all corn fields will be clustered first. The total supplies gathered at the co-op will be equal to the capacity of that co-op. Customers are then clustered to the co-op to make sure that all the customer demand in the cluster will be met. If there is excess supply, it will be left at the co-op. Two interesting topics are currently being investigated by the authors: when the capacity of the trucks serving the system is considered, and when the clusters of corn fields and customers are built simultaneously.

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