Nanoscopy reveals surface-metallic black phosphorus

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Black phosphorus (BP) is an emerging two-dimensional material with intriguing physical properties. It is highly anisotropic and highly tunable by means of both the number of monolayers and surface doping. Here, we experimentally investigate and theoretically interpret the near-field properties of a few-atomic-monolayer nanoflakes of BP. We discover near-field patterns of bright outside fringes and a high surface polarizability of nanofilm BP consistent with its surface-metallic, plasmonic behavior at mid-infrared frequencies $\approx 1176$ cm$^{-1}$. We conclude that these fringes are caused by the formation of a highly polarizable layer at the BP surface. This layer has a thickness of $\approx 1$ nm and exhibits plasmonic behavior. We estimate that it contains free carriers in a concentration of $n \approx 1.1 \times 10^{20}$ cm$^{-3}$. Surface plasmonic behavior is observed for 10–40 nm BP thicknesses but absent for a 4-nm BP thickness. This discovery opens up a new field of research and potential applications in nanoelectronics, plasmonics and optoelectronics.

Keywords: black phosphorus; infrared spectroscopy; metallic surface layer; near-field nanoscopy; two-dimensional materials

INTRODUCTION

Nanoparticles and two-dimensional (2D) materials1 such as graphene2–3, boron nitride4–5, transition metal dichalcogenides1,2,5,6 (TMDCs) and black phosphorus (BP)1,8–13 have intriguing physical properties and bear the promise of important applications8,14,15. Among them, BP1,11,12,16 has unique electronic properties because of its anisotropic structure. The advantages of BP in nanoelectronics and nano-optics arise from the fact that its carrier density can be dynamically controlled via gating, as in field-effect transistors (FETs)8,14,15. However, the near-field properties of BP have not yet been investigated experimentally. Here, we report the first observation of the nanoscopic near-field properties of BP. We have discovered near-field patterns of bright outside fringes and a high-surface polarizability of nanofilm BP consistent with its surface-metallic, plasmonic behavior at mid-infrared (mid-IR) frequencies below $\omega_{\text{pl}} \approx 1176$ cm$^{-1}$. This has allowed us to estimate plasma frequency of $\hbar \omega_{\text{pl}} \approx 0.4$ eV, a carrier density of $n \approx 1.1 \times 10^{20}$ cm$^{-3}$ and a surface metallic layer thickness of $\approx 1$ nm. We have also observed similar behavior in other nanolayer semiconductors, such as TMDCs, MoS$_2$ and topological insulator Bi$_2$Te$_3$ but not in insulators such as boron nitride. Surface plasmonic behavior is observed for 10–40 nm BP thicknesses, but it disappears for a 4-nm BP thickness. Our findings open up a new avenue of research and suggest potential applications in nanoelectronics, plasmonics and optoelectronics.

In contrast to graphene, a semimetal, BP is a semiconductor whose band gap depends on the number of atomic layers and, for a thickness of $\geq 10$ nm, is $\approx 0.3$–0.4 eV17,18, that is, smaller than that of TMDCs. In contrast to multilayer TMDCs, which have indirect band gaps, BP exhibits direct band gap properties regardless of its thickness, which is a significant advantage for optoelectronic applications. In addition, BP has a rather high carrier mobility ($\approx 200$–1000 cm$^2$ V$^{-1}$ s$^{-1}$)19,20, high on/off ratios in transistors8,14, strong excitonic effects and strongly anisotropic optical, electrical and thermal conductances20, which can be attributed to its puckered crystalline structure. These physical properties can be manipulated by altering its layer thickness18, its stacking order19, the applied strain force22 and the external electric field23, offering tremendous advantages for using BP in numerous potential applications in optics and electronics. The carrier density of the anisotropic thin-film BP can be manipulated by modifying the doping levels of the BP nanolayers, allowing excellent control of the interaction of BP with light24.

MATERIALS AND METHODS

Near-field microscopy

The microscope used in this study is a commercial s-SNOM system (neaspec.com). A probing linearly p-polarized quantum cascade laser is focused on the tip–sample interface at an angle of 45° to the sample surface. The scattered field is acquired through phase-modulation (pseudoheterodyne) interferometry. The background signal is suppressed by vertical tip oscillations at the mechanical resonance frequency of the cantilever ($f_0 \approx 285$ kHz) and demodulation of the detector signal at higher harmonics $n f_0$ (commonly $n = 2, 3, 4$) of the tip resonance frequency. The combined scattered fields from the tip and the reference beam pass through a linear polarizer, which further selects the p-polarized excitation and p-polarized detection signal for analysis.

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Sample fabrication

BP flakes were exfoliated by means of mechanical exfoliation onto oxidized silicon wafers. Thin coatings of Al₂O₃ were deposited via atomic layer deposition at 220 °C with trimethyl aluminum as the Al source and water vapor as the oxygen source.

RESULTS AND DISCUSSION

Here, we report the first experimental near-field optical nanoscopic investigation of BP at mid-IR frequencies. We have observed near-field amplitude patterns, which allow direct imaging of the gap field at the tip-sample junction via bright fringes formed at the BP edges outside of the geometric boundaries of the BP. Based on a comparison with the results of full electrodynamic modeling, we attribute these observations to a high-surface polarizability of the BP, consistent with surface-metallic, plasmonic behavior at mid-IR frequencies. Note that such surface charging is a well-known phenomenon in conventional 3D semiconductors but has never been previously reported for BP or other nanolayer materials.

Near-field optical images were acquired using a commercial s-SNOM system, represented schematically in Figure 1a. A linearly polarized mid-IR quantum cascade laser (Daylight Solutions) is focused on the tip-sample interface at an angle of 45° to the sample surface, and the scattered field is detected by phase-modulation interferometry. Topographic (Figure 1c) and third-harmonic near-field amplitude images of a wedge-shaped uncoated BP exfoliated flake on a Si/SiO₂ substrate at two frequencies (Figure 1d and 1e) are

**Figure 1** Edge fringe nanoscopy. (a) Experimental schematic. (b) Line profiles, where the dashed lines represent the physical boundaries of the BP. (c) Topographic image. (d, e) Near-field amplitude images of uncoated BP at two frequencies: (d) ω=934.6 cm⁻¹, showing a bright-contrast fringe enclosing the edge of the BP, and (e) ω=1818.2 cm⁻¹, showing the absence of a fringe. (f) Bare BP and (g) BP of similar thickness coated with 1 nm of Al₂O₃. The small dark spots in the images of the uncoated samples c–f are the result of degradation (oxidation) of the BP.
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shown. The near-field amplitude images displayed in Figure 1d and 1e show bright contrast compared with the substrate. The amplitude image acquired at $\omega = 934.6$ cm$^{-1}$ (Figure 1d) shows a bright fringe surrounding the wedge, separated from the inner bright surface of the structure by a region of dark contrast. Such an edge fringe is missing in the near-field amplitude image acquired at $\omega = 1818.2$ cm$^{-1}$ (Figure 1e), suggesting a strong frequency dependence of fringe formation. In fact, the fringe disappears at a critical frequency of $\omega \approx 1176$ cm$^{-1}$.

The observed fringe in Figure 1d is situated strictly outside the geometric limits of the BP structure, as shown by the straight dashed lines drawn across the line profile plots of the three images shown in Figure 1b. Similar bright outside fringes followed by a dark-contast fringe are seen in Figure 1g for BP coated with 1 nm Al$_2$O$_3$ and in Figure 1f for uncoated BP of the identical thickness.

We associate this outside fringe around the BP nanoflake with a high surface polarizability related to its metallic, plasmonic behavior. Note that a metal nanolayer at the surface of the BP was recently observed using angle-resolved photoelectron spectroscopy. However, high surface polarizability related to its metallic, plasmonic behavior.

The optical phonon frequencies of the SiO$_2$ substrate in our spectral range are from 797 cm$^{-1}$ to 1129 cm$^{-1}$, of which optical transverse phonons at a frequency of $\omega_{TO} = 1163$ cm$^{-1}$ and longitudinal optical phonons at $\omega_{LO} = 1165$ cm$^{-1}$ produce the strongest near-field responses. In silica, a broad phonon line at $\omega_{LO} = 1130$ cm$^{-1}$ dominates. We have not seen any evidence that the substrate phonons affect the observed near-field images. The reason may be that these images originate from the upper surface of the BP, which is 10–20 nm away from the substrate. In contrast, for graphene, strong hybridization of the substrate optical phonons with the graphene plasmons has been observed. No quenching of surface plasmons by optical phonons has been found. Thus, the similarity in value between $\omega_{TO}$ and $\omega_{LO}$ is a pure coincidence. Additional evidence of the absence of any appreciable effect of the substrate is provided by the fact that an identical outside fringe is also observed with other substrates, namely, BP (Supplementary Fig. S4), and sapphire and GaAs (data not shown); see also Figure 3 and its accompanying discussion below.

With the electron density of $n \approx 1 \times 10^{20}$ cm$^{-3}$, the Thomas–Fermi screening radius is $\sim 1$ nm, suggesting that there is a metallic nanolayer at the surface of the BP. Assuming the presence of such a surface layer, we performed full electrodynamic modeling of the near fields using time-domain software from both Lumerical (Vancouver, BC, Canada) and CST Microwave Studio (Framingham, MA, USA), obtaining consistent results with both (see also Supplementary Fig. S1). As Figure 2b–2d show, when the s-SNOM tip is close to the edge of the sample, a gap hot-spot field is produced between the tip and the sample edge, reminiscent of hot spots in metal nanosplasmonics. The field in the hot spot depends on the permittivity of the sample surface—see Figure 2a. A bright fringe followed by a region of dark contrast that precedes a bright area on the sample surface is formed when a metallic layer (Re$\varepsilon < 0$, which is a requirement for plasmonicity) is present at the surface. The contrast of the outside fringe increases with increasing $\varepsilon$ (i.e., a plasmonic high polarizability of the sample surface. The best reproduction of the experimental data at a frequency of $\omega = 934.6$ cm$^{-1}$ $\approx 0.116$ eV is obtained for $\varepsilon = -5 + 0.5$. From this value, employing the Drude formula, we again independently determine the plasma frequency, obtaining $\omega_p = \omega_0 / \sqrt{\varepsilon_0} - \text{Re} \varepsilon_0 \approx 0.4$ eV, which is fully consistent with the value of $\approx 0.4$ eV obtained above from the frequency $\omega_{TO}$ at which the fringe experimentally disappears. Note that if the edge of the sample were not sharp as in Figure 2b–2e, our simulations show that the outside fringe would disappear. This explains why this outside fringe has never been seen in metal plasmonics, where the edges of the metal nanostructures are not sufficiently sharp.

The hot spots seen in Figure 2 are regions of high intensity of the local field that is electrodynamically coupled to the vertical (along the probe axis) polarization of the external field. Note that the local field at the apex is polarized normally to the surface, almost radially; it corresponds to an electric charge that is displaced to the apex, oscillating at the optical frequency. Therefore, hot spots are produced both when the tip is above the surface and when it is to the side of the sharp edge, as seen in Figure 2b–2d and Supplementary Fig. S1a–S1c.

The coupling of the external field to the hot spots also works in reverse (electrodynamics is fully reversible): if the vertical external field excites a hot spot, then the field of this hot spot will be scattered by the tip into the external far field. Thus, this scattering into the far field will produce similar images of the fringes seen in the near field. This is also strongly supported by the fact that the intensity of the near field and the power dissipated from the far field are very similarly distributed in space—see Supplementary Fig. S1d and S1e.

Spatially, the bright fringe is detected to lie outside of the edge of the BP by a distance approximately equal to the radius of curvature of the apex of the s-SNOM tip, which is 20 nm. This is in good qualitative agreement with the experimental near-field images shown in Figure 1d–1g. The edge of the nanosystem should be sufficiently sharp to produce the bright fringe—see Supplementary Fig. S2 and its accompanying discussion.

For a metallic nanofilms, the dispersion relation for surface plasmon polaritons (SPPs) is $k(\omega) = (e_1 + e_2)/(i\varepsilon(\omega))$, where $\varepsilon(\omega)$ is the wave vector; $e_1$ and $e_2$ are the permittivities of the substrate and superstrate, respectively; $\varepsilon(\omega)$ is the permittivity of the metallic layer on the BP at observation frequency $\omega_0$; and $r_T \approx 1$ nm is the thickness of the metallic layer. For $e = -5 + 0.5$ at $\omega = 934.6$ cm$^{-1}$, $e_1 = 6$ (inside the BP nanolayer) and $e_2 = 1$ (vacuum), we find that the SPP wavelength is $\lambda_{SPP} = 2\pi/\varepsilon(\omega) = 2.2$ nm and the decay length is $1/(2Im \varepsilon_0) = 2.1$ nm. Both of these lengths are too small to be resolved by our s-SNOM system. This explains why no fringes are seen in our spatial scans of the BP.

To further elucidate the frequency dependence of the observed edge fringe contrast in Figures 1 and 2 and confirm its dependence on the plasmonic behavior of the BP, we performed spectroscopic imaging of a BP nanolayer at a series of frequencies. The thickness of the BP sample was $h = 20$ nm (topography shown in Figure 3a), with a
the BP permittivity compared with that of SiO2. For $\omega = 934.6 \text{ cm}^{-1}$ (Figure 3e), the BP surface is brighter than the substrate, indicating a higher frequency above the phonon (Reststrahlen) spectral range, $\omega = 1562.5 \text{ cm}^{-1}$ (Figure 4c), h-BN shows the expected fringes inside the structure (Figure 4c), in agreement with recent observations. Non-encapsulated BP nanofilms chemically degrade (oxidize) under ambient conditions. We investigated the effect of degradation on the observed surface-metallic behavior by imaging a bare (unprotected) exfoliated BP sample left in air to degrade for several weeks. We performed near-field optical amplitude imaging at two frequencies, one where a strong fringe is expected in native BP ($\omega = 934.6 \text{ cm}^{-1}$) and a higher frequency above the $\omega_m$ plasmonic edge ($\omega = 1190.5 \text{ cm}^{-1}$). As shown in Figure 5b, the edge fringe
around the nanostructure is either weak or absent at $\omega = 934.6$ cm$^{-1}$, whereas it is strong at this frequency in non-degraded samples. We attribute this change to alteration of the surface properties due to degradation, causing the surface to lose its metallic behavior. We note, however, that the surface near the edges of the sample degrades last, as indicated by the bright amplitude contrast at $\omega = 934.6$ cm$^{-1}$ (Figure 5b), which turns to a darker contrast at $\omega = 1190.5$ cm$^{-1}$ (Figure 5c). Based on the spectroscopic normalized amplitude plots of degraded and non-degraded BP, we can identify the bright portion shown in Figure 5b as relatively intact BP and the large darker region as degraded BP.

One of the fundamental questions in 2D materials science is the dependence of their physical properties on their thickness. To elucidate this dependence for the plasmonic properties of BP, we developed a procedure for its exfoliation using the electrostatic interaction with the s-SNOM tip biased at a potential difference $\Delta U$ with respect to the BP surface.

In Figure 6, we show the results of an exfoliation experiment. The topographic image in Figure 6a shows a nanoflake exfoliated at $\Delta U = 0$ V; it indicates the position of a line profile taken at a nanoflake thickness of 39.5 nm. The corresponding near-field image is shown in Figure 6c, and it clearly shows the expected external fringe around the nanoflake. By contrast, Figure 6b shows a nanoflake exfoliated at $\Delta U = 15$ V and the position of a line profile taken in the region of the nanoflake with a thickness of 3.9 nm. The corresponding near-field amplitude image in Figure 6d does not show any discernible outside fringe; moreover, the pronounced bright contrast characteristic of plasmonic behavior is absent. Note that some dark spots are present in Figure 6d, likely caused by degradation (oxidation). For comparison, in Figure 1d and 1f, the degradation is more severe, yet the outside fringes are clearly seen. Thus, the absence of metallic behavior in Figure 6d is unlikely to be related to this partial degradation.

Therefore, our results suggest that the surface metallic nanolayer in BP disappears when the thickness decreases from $\sim 10$ to $\sim 4$ nm. This finding is also consistent with ref. 9, in which it was found that only BP nanofilms with a thickness of $< 7.5$ nm were usable as...
dependence on the BP thickness discussed above.

for topography and in Figure 6e for near-

layer of a thicker semiconductor materials in the channels of FETs; the surface metallic behavior is likely the result of surface charges due to dangling bonds at the surfaces, a phenomenon that is well-known in bulk semiconductors but has been observed here for nanolayered materials for the first time; it is absent in a dielectric nanolayered material, h-BN.

CONCLUSIONS
To conclude, we have shown the first experimental evidence that BP exhibits highly polarizable surface-metallic (plasmonic) behavior in the mid-IR frequency region, as revealed in the near field by the formation of an outside edge fringe. This behavior occurs only at frequencies below a certain threshold plasmon frequency, found to be \( \omega_{\text{th}} \approx 1176 \text{ cm}^{-1} \), which suggests a high-carrier concentration of \( n \sim 10^{20} \text{ cm}^{-3} \) in the surface layer that results in metallic properties of the surface layer. The thickness of the metallic surface layer can be estimated from the Thomas–Fermi screening radius to be \( \sim 1 \text{ nm} \). This metallic behavior is likely the result of surface charges due to dangling bonds at the surfaces, a phenomenon that is well-known in bulk semiconductors but has been observed here for nanolayered materials for the first time; it is absent in a dielectric nanolayered material, h-BN.

BP shows promise as a semiconductor material in nanoelectronic devices, particularly FETs\(^9\),\(^14\),\(^15\), in which its conductivity would be controlled by the gate field. For such applications, the observed presence of a metallic nanolayer at the surface is of primary importance because this metallic nanolayer would screen the gate field and prevent gate control for thicker samples—see the corresponding discussion in the previous paragraph. An understanding of the fundamental properties of BP as a nanoelectronic material is of fundamental importance for its potential applications in nanoelectronics. The conducting surface nanolayer of BP can be used for interconnects or for source and drain electrodes. The conductivity of the surface nanolayer can potentially be controlled by means of chemical or field-effect doping for the fabrication of planar transistors; note that the first transistor was created using spontaneous surface doping\(^16\). BP is also promising for applications in nano-optics as a controllable nanoplasmatic material and in optoelectronics, particularly in high-speed compact electro-optical modulators.

CONFLICT OF INTEREST
The authors declare no conflict of interest.

AUTHOR CONTRIBUTIONS
YA and SG performed the experiments. MIS, YA, SBC and HW discussed the results. LZ, SBC and HW fabricated the samples. VB, MHJ and MIS performed the theoretical work and the numerical simulations. YA and MIS wrote the text of the paper.

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