Background/Aims: For the management of hilar malignant biliary obstruction (HMBO), endoscopic biliary drainage (EBD) is preferred over percutaneous transhepatic biliary drainage (PTBD) because of its convenience. However, there is no established guideline for malignant hilar obstruction that requires multiple stenting. In this study, we compared the efficacy of bilateral metal stents (BMS) versus multiple plastic stents (MPS).

Methods: In this retrospective study, we analyzed 102 patients who underwent EBD with either BMS or MPS due to HMBO caused by hilar cholangiocarcinoma between 1996 and 2018 at Samsung Medical Center. We compared the successful drainage rates, cholangitis events, overall complications, mortality, and conversion rates to PTBD between the two groups.

Results: The successful drainage rates in the BMS group and the MPS group were 71.4% (25/35) and 65.6% (44/67), respectively, with no significant difference. The MPS group had a higher cholangitis risk (hazard ratio [HR], 2.08; 95% confidence interval [CI], 1.21 to 3.58) and higher 6-month mortality (HR, 2.91; 95% CI, 1.26 to 6.71) than the BMS group. There were no significant differences in overall complications or the conversion rate to PTBD between the two groups.

Conclusions: In patients with malignant HMBO, the BMS group showed better outcomes in terms of the cholangitis rate and 6-month mortality than the MPS group. Therefore, if possible, bilateral metal stenting is recommended for HMBO caused by hilar cholangiocarcinoma.

Key Words: Klatskin tumor; Cholestasis; Cholangiopancreatography, endoscopic retrograde; Stents

INTRODUCTION

The management of obstructive jaundice and cholangitis is important for improving the liver function with optimal survival outcomes and quality of life for patients with unresectable hilar malignant biliary obstruction (HMBO). For unresectable HMBO, two non-surgical methods are used for palliative drainage, endoscopic biliary drainage (EBD; internal drainage) and percutaneous transhepatic biliary drainage (PTBD; external drainage). Both methods are used complementarily and as alternatives. However, EBD is currently preferred because it is more convenient and less invasive. Therefore, the mortality rate is lower and the hospital stay is shorter in EBD patients.

The types of stents used for EBD are classified into plastic stents and metal stents according to the composition materials. In previous studies, metal stents had a higher patency rate and a lower re-intervention rate than plastic stents. The technical success rate was not different between the two types of stents. However this evidence was well-
established in only distal biliary obstructions and currently, metallic stents are recommended for the malignant distal bile duct obstructions. In HMBO, there are no definite consensus guidelines about the optimal type of stent and drainage area (unilateral or bilateral drainage) and thus, controversy still exists. Generally, metal stents are recommended for palliative biliary palliation in hilar cholangiocarcinoma patients with a predicted survival of >3 months, and plastic stents are recommended as temporary drainage for patients with cholangitis with an undetermined treatment plan. However, the consensus is based on the evidence comparing single metal and plastic stents. Multiple stents are also frequently needed because single stent placement is not enough for effective drainage in patients with complicated hilar biliary anatomy. Although several recent studies showed effective drainage in bilateral stents compared to single stents in patients with hilar cholangiocarcinoma, the evidence is not strong enough for a consensus recommendation. With recent progress in endoscopic devices and technological development, both multiple plastic stents (MPS) and bilateral metal stents (BMS) are frequently used for EBD in HMBO. However, there are few studies directly comparing the two groups.

In this study, we compared MPS and BMS for EBD in patients with HMBO caused by hilar cholangiocarcinoma.

MATERIALS AND METHODS

1. Study design, patients, and main outcomes

We retrospectively screened a total of 293 patients who underwent palliative EBD due to HMBO caused by pathologically proven hilar cholangiocarcinoma between 1996 and 2018 at Samsung Medical Center. Preoperative inoperability was determined by computed tomography, magnetic resonance imaging and positron emission tomography-computed tomography. Among these patients, we excluded 191 patients who underwent single stenting or primary PTBD. A total of 102 patients who underwent primary EBD with BMS (n=35) or MPS (two or more plastic stents, n=67) were enrolled. In BMS group, uncovered self-expandable metal stents were used and in MPS group, routine practice of scheduled 3-month interval of regular procedure was performed. We estimated that stent patency was maintained when plastic stents were regularly changed without stent dysfunction such as interval cholangitis or obstruction events. The patients were assessed for basic demographics (age, sex, and body mass index), comorbidities, such as hypertension, diabetes and end-stage renal disease, laboratory data for liver function indexes (prothrombin time and serum albumin), and Bismuth types of hilar cholangiocarcinoma. We compared the two groups using successful drainage as the main outcome. We also compared the overall complication rates, cholangitis rates, mortality, and conversion rates to PTBD. This study protocol was conducted in accordance with the Declaration of Helsinki and approved by the Institutional Review Board of Samsung Medical Center (IRB number: 2018-11-133-005). The Institutional Review Board waived the requirement for informed consent because we used de-identified data.

2. Definitions

Successful drainage was defined as either (1) a decrease in the total bilirubin level to ≤30% of the pretreatment value within 2 weeks or to ≤50% within 4 weeks or (2) no increase in the total bilirubin level over 1 mg/dL within 2 or 4 weeks if the pretreatment value was low (≤1 mg/dL). To compare effective drainage between the two groups, the drainage failure rate, which was defined as the failure to achieve successful drainage, was also evaluated. Stent patency was defined as the time between stent placement and stent exchange due to dysfunction. Initial EBD was defined as the primary procedure and the overall EBD included all revised EBDs, including the primary procedure. The definitions of procedure-related complications were modified from those of Cotton et al. and Freeman et al. Cholangitis was a serious procedure-related complication and defined as an elevation in total bilirubin with fever (≥38°C) without other infectious etiology. The overall complications included bleeding, pancreatitis, and perforation. A bleeding event was defined when endoscopic hemostasis was required or blood transfusion was needed after a procedure. Post-endoscopic retrograde cholangiopancreatography pancreatitis was defined as new or worsened abdominal pain with an elevation in serum amylase and lipase at least 3-fold the upper limit of normal for 24 hours after a procedure. Perforation was defined as frank perforation during the procedure, free air in an abdominal X-ray after the procedure, or a perforation found in an abdominal image (computed tomography, etc.) performed for abdominal pain evaluation.

3. Statistical analysis

The Pearson chi-square test and the Student t-test were used to analyze the statistical significances of different categorical and continuous variables, respectively. Logistic regression was performed initially to analyze successful drainage in the groups. Multilevel logistic regression was used to analyze the overall EBD for repeated EBD procedures after the primary procedure. The long-term effects, indicated by cholangitis events or mortality within 6 and
12 months after the procedure, were evaluated according to stent type. The mortality rate was estimated using the Kaplan-Meier method and compared using the log-rank test. We calculated the hazard ratios (HR) with 95% confidence intervals (CI) for developing cholangitis and all-cause mortality using a proportional hazards regression model. Since participants could have multiple incident cholangitis, we used Andersen and Gill model to analyze incident cholangitis. The Andersen and Gill modelling is that individuals’ failure events after the first are incorporated. In addition, the Andersen and Gill models are variance correction models which account for within-subject correlation of failure times unlike a standard Cox model.38 Analysis of study outcomes was performed using time-fixed (initial stent) and time-varying variables. In a person whose stent type was changed at least once, time-varying variables were considered to contribute to the initial stent person-time prior to the stent change, and to the next stent person-time after the stent change. To control confounding factors, we adjusted for body mass index, bismuth types (I/II, IIIa/IIIb, and IV), time-varying total bilirubin, prothrombin time, and albumin. When we calculated HR of all-cause mortality by type of stents, we further adjusted for clinical stage in the model. A two-sided p-value of less than 0.05 was considered as statistically significant. Statistical analyses were executed using STATA 15.0 software (StataCorp., College Station, TX, USA).

## RESULTS

### 1. Baseline characteristics of the participants

Of the total 102 patients, BMS were inserted into 35 patients, and MPS were inserted into 67 patients for HMBO caused by hilar cholangiocarcinoma. All the study population was in advanced stage of clinical stage over IIIC or metastatic disease. A comparison of the baseline characteristics of the two groups is presented in Table 1. There were no significant differences between the two groups in median age, sex, underlying disease, or previous history of hepatectomy. Bismuth type IV was predominant in both groups (57.1% in BMS vs 56.7% in MPS; p=0.21). Indexes of liver function status, such as total bilirubin, prothrombin time, and albumin levels, were not significantly different between the two groups. Four patients in the BMS group and six patients in the MPS group underwent palliative chemotherapy. Two patients in the MPS group underwent palliative radiotherapy and photodynamic therapy.

### 2. Successful drainage, re-intervention rates, and stent patency

Table 2 shows the successful drainage rate and comparison of the drainage failure rate in the two types of stents. The successful drainage rate at the initial EBD in the BMS and MPS groups was 71.4% (25/35) and 65.6% (44/67), respectively, with no significant difference. There was no significant drainage difference in the two groups in overall EBD analysis. Total bilirubin (crude odds ratio [OR], 1.07; 95% CI, 1.01 to 1.14) and prothrombin time (crude OR, 0.07; 95% CI, 0.01 to 0.81) were the factors associated with successful drainage (Supplementary Table 1). A total of 32.4% (33/102) of patients failed to achieve successful drainage at initial EBD and about half of the patients (17/33) underwent external drainage (PTBD) and others (16/33) were transferred to another center or hopelessly discharged.

After the initial EBD, the stents were revised in 50.5% (52/102) of the total study population and the re-intervention rate of the BMS and MPS groups was 40% (14/35) and 56.7% (38/67), respectively (p=0.11) (Table 3). The median interval of stent patency was 112 days (77.5 to 143.5 days) in the BMS group and 56 days (7 to 201 days) in the MPS group and showed no significant difference (p=0.63). In the BMS group, only 11.4% (4/35) of the patients revised stents more than twice and 85.7% (12/14) additionally inserted metal-type stents at first re-intervention. In the MPS group, 37.3% (25/67) of the patients changed stents more than twice and 84.2% (32/38) changed to plastic-type stents. Forty-three point eight percent (14/32) of MPS group patients who repeatedly changed to plastic stents more than twice had needed three or more stents due to the complex hilar anatomy. PTBD was done when jaundice did not improve after endoscopic drainage and 26.4% (27/102) of the patients underwent PTBD. The conversion rates to external drainage in the BMS and MPS groups were 22.9% (8/35) and 28.4% (19/67), respectively (p=0.55).

### 3. Overall complications, post-EBD cholangitis, and 6 and 12-month mortality

Tables 4 and 5 show the procedure-related complications and survival outcomes. The overall procedure-related complication (bleeding, post-endoscopic retrograde cholangiopancreatography, pancreatitis, and perforation) rate was not significantly higher in the MPS group than the BMS group in the initial EBD (OR, 1.49; 95% CI, 0.52 to 4.23) nor in the overall EBD (OR, 1.70; 95% CI, 0.71 to 4.04). However, the incidence of cholangitis was significantly higher in the MPS group than in the BMS group in the initial EBD (HR, 2.89; 95% CI, 1.57 to 5.29) and in the
overall EBD (HR, 2.08; 95% CI, 1.21 to 3.58). Except for the type of stents, the other variables were not significantly associated with cholangitis (Supplementary Table 2).

At 6 and 12 months follow-ups, 37 and 66 participants died, respectively (Fig. 1). The fully-adjusted HR for all-cause mortality within 6 months comparing the MPS group with the BMS group was significantly high in the initial EBD (HR, 2.63; 95% CI, 1.13 to 6.10) and in the overall EBD (HR, 2.91; 95% CI, 1.26 to 6.71) (Supplementary Table 3). Regarding mortality within 12 months, patient in the MPS group were more likely to die compared to the BMS group, while the effect size was relatively smaller than mortality within 6 months and therefore no significant statistical difference was found (Table 5).

**DISCUSSION**

In our study, we compared the BMS and MPS for EBD in patients with HMBO caused by hilar cholangiocarcinoma. There was no significant difference in successful drainage rates, procedure-related complications, or external conversion rates in the two groups. However, in the MPS group, the risk of cholangitis and 6-month mortality

| Table 1. Characteristics of the Study Participants in the BMS and MPS Groups |
|-----------------------------------------------|
| Characteristics | BMS group (n=35) | MPS group (n=67) | p-value |
|---|---|---|---|
| Demographic factors | | | |
| Sex | | | 0.38 |
| Female | 11 (31.4) | 27 (40.3) | |
| Male | 24 (68.6) | 40 (59.7) | |
| Age at treatment, yr | 70 (62–74) | 70 (62–77) | 0.44 |
| BMI, kg/m² | 23.6±3.5 | 22.0±3.4 | 0.028 |
| Smoking | 14 (40.0) | 24 (35.8) | 0.68 |
| Clinical factors | | | |
| Hypertension | 14 (40.0) | 34 (50.8) | 0.30 |
| Diabetes | 5 (14.3) | 10 (14.9) | 0.93 |
| CKD | 0 | 1 (1.5) | >0.99* |
| Chronic hepatitis | 0 | 3 (4.5) | 0.55* |
| History of biliary disease | 6 (17.1) | 10 (14.9) | 0.77 |
| Previous hepatectomy | 0 | 3 (4.5) | 0.20* |
| Bismuth type | | | 0.21 |
| I | 0 | 3 (4.5) | |
| II | 3 (8.6) | 4 (6.0) | |
| IIIa | 11 (31.4) | 13 (19.4) | |
| IIIb | 1 (2.9) | 9 (13.4) | |
| IV | 20 (57.1) | 38 (56.7) | |
| Clinical stage | | | 0.86 |
| IIc | 9 (25.7) | 20 (29.9) | |
| IVa | 16 (45.7) | 27 (40.3) | |
| IVb | 10 (28.6) | 20 (29.9) | |
| Pre-procedure total bilirubin, mg/dL | 6.6 (2.0–14.1) | 5.8 (2.3–13.9) | 0.85 |
| PT INR | 1.1 (1.0–1.1) | 1.0 (1.0–1.1) | 0.73 |
| Albumin, g/dL | 3.6 (3.4–4.0) | 3.7 (3.2–4.1) | 0.76 |
| ECOG performance status | | | 0.55* |
| 1 | 35 (100.0) | 64 (95.5) | |
| 2+ | 0 | 3 (4.5) | |
| Treatment factors | | | |
| Emergent procedure | 0 | 5 (7.5) | 0.16* |
| Treatment | | | 0.76 |
| No treatment | 31 (88.6) | 59 (88.1) | |
| Chemotherapy | 4 (11.4) | 6 (9.0) | |
| Radiotherapy | 0 | 1 (1.5) | |
| Photodynamic therapy | 0 | 1 (1.5) | |

Data are presented as the number (%), median (interquartile range), or means±SD. BMS, bilateral metal stents; MPS, multiple plastic stents; BMI, body mass index; CKD, chronic kidney disease; PT, prothrombin time; INR, international normalized ratio; ECOG, Eastern Cooperative Oncology Group.

*Fisher exact test.
rate were higher than in the BMS group.

Previous studies mainly compared single metal stents and single plastic stents or the drainage area (unilateral or bilateral) of the same stent type for hilar obstruction. Similar to the distal biliary obstructions, studies have reported that single metal stents were superior to single plastic stents for successful drainage and patency.\textsuperscript{6,12,13,15,21} Regarding the drainage area, previous studies comparing bilateral with unilateral stents reported some controversial results. Although single stenting is technically easier and less expensive, bilateral stenting is more physiological and has superiority in drainage volume compared to unilateral
stenting. Recent studies have revealed high technical success rates of 90% to 100% in bilateral metal stent deployment. Naito et al. reported a retrospective study in 2009 comparing unilateral versus bilateral metal stenting in 46 malignant hilar biliary obstructions. There were no significant differences in successful insertion, drainage and complications between the groups. However, in the cholangiocarcinoma patients, the cumulative stent patency was significantly better in the bilateral stent group (p=0.009). In 2017, Lee et al. published a randomized study of 133 malignant hilar biliary stricture patients comparing bilateral versus unilateral metal stents. Unilateral and bilateral drainage by metal stents had similar technical success rates, but bilateral drainage showed more successful drainage (95.3% [61/64] vs 84.9% [56/66]; p=0.047) and resulted in fewer re-interventions and more durable stent patency. However, a meta-analysis done by Sawas et al. concluded that bilateral stenting should be avoided because it has no benefit over unilateral stenting in terms of occlusion rates or therapeutic failures. In summary, the previous studies, recent consensus, and review articles recommended metal stents and considering multi-sectoral drainage for favorable clinical efficacy in high-grade hilar obstruction.

To our knowledge, however, there are few studies comparing metal and plastic stent types when two or more stents are needed for HMBO. Although some studies analyzed both stent types and the drainage area, they only compared the same type of stents for the drainage areas. When clinically needed in difficult anatomical variations, such as hilar obstructions, three or more plastic stents can be inserted. However, it is technically difficult to insert more than two BMS. Regardless of higher re-intervention rates and lower patency, plastic stents also have the advantage that they can be easily removed when the primary obstructing malignant lesions respond to chemotherapy or radiotherapy. Therefore, endoscopic stenting for the malignant hilar obstruction, especially when multiple stenting is needed, is still challenging and the optimal approach has not been identified. In 2020 Xia et al. reported a most recent study comparing bilateral metal and bilateral plastic stents in HMBO and the BMS group showed better survival, clinical success and longer patency. The study was the first systematic and multicenter study comparing metal and plastic stents but had limitations of heterogeneities in etiology and difference between the centers. We included homogenous etiology of HMBO and the BMS group showed better clinical efficacy in high-grade hilar obstruction.

Table 5. Incidence of Cholangitis and Mortality within 6 or 12 Months According to the Type of Stent

| Cholangitis and mortality | Initial EBD, HR (95% CI) | Overall EBD, HR (95% CI) |
|---------------------------|--------------------------|--------------------------|
|                           | BMS          | MPS          | BMS          | MPS          |
| Incidence of cholangitis  | Crude  | Reference  | Adjusted | Reference  | Adjusted | Reference  | Adjusted | Reference  | Adjusted | Reference  | Adjusted |
| Mortality within 6 months | Crude  | Reference  | Adjusted | Reference  | Adjusted | Reference  | Adjusted |
| Mortality within 12 months | Crude  | Reference  | Adjusted | Reference  | Adjusted | Reference  | Adjusted |

Adjusted for body mass index, bismuth type (I/II, IIIa/IIIb and IV), time varying total bilirubin, PT INR, albumin and clinical stage.

EBD, endoscopic biliary drainage; HR, hazard ratio; CI, confidence interval; BMS, bilateral metal stents; MPS, multiple plastic stents; PT, prothrombin time; INR, international normalized ratio.
difference in achieving successful drainage. Though no significant statistical differences were found in the drainage rate, re-intervention rate, or stent patency between the two groups, the BMS group also showed better trends than those of the MPS groups. Most of the patients in our study underwent EBD before histologic diagnosis. Therefore, the clinicians preferred plastic stents (67/102) for the initial EBD when the histologic diagnosis or operability was not confirmed. However, we analyzed the results of the overall EBD by multilevel logistic regression and time-varying variables for patients with repeated endoscopic drainage procedures and the results were similar to the initial EBD results. Therefore, the results apply to primary EBD and also when stent revision is needed.

We should acknowledge that our present study had several limitations. First its retrospective design introduced inherent limitations, including potential selection, measurement, and misclassification biases. In this study, patients who underwent multiple stent revisions were included. Therefore, selection bias was inevitable due to the complexity of individual biliary anatomy and preference of interventionist in each procedure. The long screening duration of study might also lead to chronologic bias. Second, the average follow-up period after endoscopic drainage was short because inoperable stage of patients with poor prognoses were analyzed and the percentage of patients lost to follow-up was high because many patients were transferred to other hospice hospitals.

Despite these limitations, this study provides important clinical information. We only included unresectable hilar cholangiocarcinoma patients in a single center with a homogeneous study population and procedures were performed by high volume of experienced endoscopists as strong points. And because we used data from national health insurance system in Korea which all of patients are belonged and death is recorded, the mortality data were reliable. To the best of our knowledge, this is the first study directly comparing MPS with BMS in patients with HMBO caused by cholangiocarcinoma. Although the successful drainage rate was not significantly different between the groups, the BMS group showed lower cholangitis incidence after the procedure and lower 6-month mortality than the MPS group at both the initial EBD and the overall EBD.

In conclusion, for hilar cholangiocarcinoma patients who need palliative EBD procedures for HMBO with two or more stents, BMS may be recommended over MPS. And when stent revision is needed, BMS may also be preferred over MPS, considering the patient's life expectancy and technical feasibility. However, future studies with data from large sample sizes, longer follow-up periods and prospective designs are warranted to validate our results.

CONFLICTS OF INTEREST

No potential conflict of interest relevant to this article was reported.

AUTHOR CONTRIBUTIONS

Study design: J.Y.K, S.L., K.H.L. Data collection: J.Y.K, S.L., J.K.P., K.T.L., J.K.L. Statistical analysis: D.K. Study supervision: K.H.L. Writing of the draft manuscript: J.Y.K., S.L. Critical revision of the manuscript: D.K., J.K.P., K.T.L., J.K.L., D.K.L., K.H.L. All authors approved the final submission.

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