Sex-specific lesion pattern of functional outcomes after stroke

Short running title: Sex-specific lesion pattern

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Abstract

Stroke represents a considerable burden of disease for both men and women. However, a growing body of literature suggests clinically relevant sex differences in the underlying causes, presentations and outcomes of acute ischemic stroke. In a recent study, we reported sex divergences in lesion topographies: specific to women, acute stroke severity was affected by lesions in the left-hemispheric posterior circulation. We here determined whether these sex-specific brain manifestations also affect long-term outcomes. We relied on 822 acute ischemic patients (age: 64.7[15.0], 39% women) originating from the multi-center MRI-GENIE study to model unfavorable outcomes (modified Rankin Scale > 2) based on acute neuroimaging data in a Bayesian hierarchical framework. Lesions encompassing bilateral subcortical nuclei and left-lateralized regions in proximity to the insula explained outcomes across men and women (area under the curve=0.81). A pattern of left-hemispheric posterior circulation brain regions, combining left hippocampus, precuneus, fusiform and lingual gyrus, occipital pole and latero-occipital cortex, showed a substantially higher relevance in explaining functional outcomes in women compared to men (mean difference of Bayesian posterior distributions (men-women)=-0.295 (90%-highest posterior density interval=-0.556 to -0.068)). Once validated in prospective studies, our findings may motivate a sex-specific approach to clinical stroke management and hold the promise of enhancing outcomes on a population level.

Key words

Acute ischemic stroke, functional outcomes, sex differences, lesion patterns, Bayesian hierarchical modeling

Abbreviations

AIS – acute ischemic stroke
AUC – area under the receiver operating characteristic curve
DWI – diffusion-weighted imaging
HPDI – highest probability density interval
LNM – lesion network mapping
mRS – modified Rankin Scale

NMF – non-negative matrix factorization

PCA – posterior cerebral artery
Introduction

Stroke results in a considerable burden of disease for both men and women. Converging evidence however underscores the relevant influence of biological sex on the idiosyncrasies of cause, presentation, and outcome of acute ischemic stroke (AIS). Of note, women appear to feature a higher AIS severity upon admission that cannot be satisfactorily explained by any sociodemographic or clinical factors, such as age and cardioembolic stroke subtype. In particular, this higher symptom load emerges despite comparable lesion characteristics, consistent with the possibility that male and female brains might react differently to ischemia-induced lesions. More concretely, we recently noted distinct sex divergences in stroke lesion topographies: Stroke severity in both men and women was explained by lesions affecting presumed bilateral motor regions and left-lateralized language regions. However, only in women, stroke severity was additionally explained by lesions extending to the posterior circulation of the left hemisphere, rendering female-specific lesion pattern more wide-spread. Whether these sex-specific effects have an impact on acute stroke symptoms only, or have a long-lasting character, is currently unknown. The aim of the present study was to address these gaps in our understanding of sex-specific lesion effects on long-term stroke outcomes.

Methods

Acute ischemic stroke patient sample

All MRI–Genetics Interface Exploration (MRI-GENIE) AIS patients with available high-quality diffusion-weighted imaging (DWI)-derived lesion segmentations and 3-months (60-190 day) modified Rankin Scale (mRS) data were included in this complete case study (c.f., supplementary materials for a sample size calculation). MRI-GENIE is a large international collaboration, built upon the infrastructure of the Stroke Generics Network (SiGN). It assembled sociodemographic, clinical, neuroimaging and genetic data of ~3,300 AIS patients, with the primary aim to facilitate genetic analyses of neuroimaging phenotypes as derived from clinical scans. While MRI-GENIE merged data from twelve international sites overall, analyses here primarily relied on five individual studies that could additionally share functional outcomes (c.f., Supplementary Table 1 for study sizes and characteristics). Subjects gave written informed consent in accordance with the Declaration of Helsinki. The study protocol was approved by Massachusetts General Hospital’s Institutional Review Board.
Stroke lesion representation derivation

DWI data and respective automatically DWI-derived lesions were non-linearly spatially normalized (c.f., ref. 6 for details on lesion segmentation and spatial normalization and supplementary materials for imaging parameters). Spatially normalized DWI data and lesion segmentations were carefully quality controlled by two experienced raters (A.K.B, M.B.). Lesion data were parsed according to 94 cortical regions, 15 subcortical regions and 20 white matter tracts, i.e., the number of lesioned voxels per atlas-defined brain region was computed. Non-negative matrix factorization (NMF) was used to reduce the collection of 129 atlas-based region and tract lesion measures to ten unique lesion patterns. The number ten was chosen in line with previous work and further motivated by achieving a balance between faithful representation of the high-dimensional input space and mitigation of the risk of overfitting.

Statistical analyses: Modeling unfavorable functional outcomes

Unfavorable functional outcome (mRS>2) was modelled via Bayesian hierarchical logistic regression. The ten lesion patterns were incorporated as input variables with hierarchical priors capturing the biological sex of participants (as indicated in medical records), that is, one for female and one for male participants. The covariates age, age squared, sex, cardiovascular risk factors (history of hypertension, atrial fibrillation, diabetes, ischemic heart disease, prior stroke, and smoking) and total DWI-derived lesion volume were included in the model (c.f., supplementary materials for full model specifications). We did not incorporate admission stroke severity as an input variable, given that it likely reflects the extent and location of brain injury. Samples from the Bayesian posterior parameter distribution were drawn by employing the No U-Turn Sampler (NUTS), a type of Monte Carlo Markov Chain algorithm (setting: draws=5000). Model classification performance of unfavorable outcomes was measured by the area under the receiver operating characteristic curve (AUC). We first assessed similarities between male- and female-specific lesion pattern effects and determined those lesion patterns with posterior distributions substantially differing from zero in both men and women. Differences between hierarchically estimated female and male lesion pattern effects were then evaluated via subtracting the corresponding posterior parameter distributions. As in previous work, we presumed substantial lesion pattern effects or sex differences if the resulting posterior (difference) distributions did not include zero in the 90% highest probability density interval (HPDI).
Sensitivity analyses

We evaluated whether there were any general sex differences in lesion anatomy, i.e., the total DWI-derived lesion volume, as well as parcel-wise lesion volumes and frequencies in how often parcels were affected.

There was a slight imbalance in the men:women ratio in our sample. Altogether, there were more male patients experiencing a favorable long-term outcome. To ensure that results were not skewed by varying frequencies of unfavorable functional outcomes in women and men, we repeated analyses after downsampling the majority class, i.e., matching the occurrence of favorable outcome in the groups of men and women. More specifically, we downsamled the larger group of men with favorable outcomes (n=386) to the size of the smaller group of women with favorable outcomes (n=208). That is, we randomly chose 208 out of the 386 men with favorable outcomes and repeated this process 20 times. Unfavorable long-term outcomes were present in the same number of male and female patients (n=114 each). Afterwards, we repeatedly conducted the Bayesian logistic regression analyses.

Lesion network mapping analyses

Lastly, we employed lesion network mapping\(^\text{13}\) (LNM) aiming to uncover links between lesion patterns and sex-specific lesion disconnection profiles. To achieve this goal, we implemented two main changes compared to classic LNM analyses\(^\text{14,15}\). First, instead of computing lesion network maps for lesions of individual patients, we utilized the ten prototypical lesion patterns as regions of interest for the estimation of whole-brain lesion connectivity. We created 100 variations of each of the ten lesion patterns by sampling a random number and collection of lesion pattern-affiliated brain regions (a brain region was considered affiliated, if the NMF-weight exceeded 0.05). Second, we relied on male- and female-specific normative connectomes, i.e., connectomes that were based on data of only male or female healthy participants (n=346 each). Consequently, we obtained two LNM exemplars, a male- and a female-specific one, for each lesion pattern (conventionally: one LNM exemplar based on connectomes of n=1000 healthy male and female participants).\(^\text{13}\) The preprocessing of sex-specific resting-state fMRI data itself was performed as previously described. Global signal regression was included. After estimating the whole-brain voxel-wise lesion connectivity and Fisher’s z-transformation, we summarized the positive and negative \(t\)-values, i.e., the “intensity” of lesion connectivity, within Yeo/Schaefer-defined cortical networks\(^\text{16,17}\) (7 per hemisphere, therefore 14 in total; visual, somatomotor, dorsal attention, ventral attention, limbic, frontoparietal, default mode network). The Yeo-Schaefer atlas was here chosen to match the functional data at hand, as it was derived from functional data, in contrast to the HO\(^\text{8}\) or JHU atlases\(^\text{9}\), which are based on structural data. Eventually, we compared network-averaged intensities between the
male- and female-connectome-based maps for each lesion pattern (two-sided t-tests, level of significance $p<0.05$, FDR-corrected for 14 hemisphere-specific network values for 10 lesion patterns).

**Code and data availability**

The authors agree to make data available to researchers for the explicit purposes of reproducing the here stated results, pending the permission for data sharing by Massachusetts General Hospital’s institutional review board. The Harvard-Oxford and JHU DTI-based white matter atlases are openly available: [https://fsl.fmrib.ox.ac.uk/fsl/fslwiki/Atlases](https://fsl.fmrib.ox.ac.uk/fsl/fslwiki/Atlases). Analyses were implemented in Python 3.7 (mainly employing the packages nilearn\textsuperscript{18} and pymc3\textsuperscript{19}). Exemplary code is available under: [https://github.com/AnnaBonkhoff/BMH_functional_outcomes_sex_differences](https://github.com/AnnaBonkhoff/BMH_functional_outcomes_sex_differences).

**Results**

**Prediction of unfavorable functional outcomes across men and women**

A total of 822 AIS patients were recruited to model unfavorable long-term outcomes (age: 64.7[15.0], 39% women, 28% unfavorable functional outcome, c.f., Table 1 for further clinical characteristics and Supplementary Figure 1 for a lesion overlap). Individual atlas-parcellated lesions were represented in five anatomically plausible lesion patterns per hemisphere, with varying emphases on subcortical to cortical and anterior to posterior regions (Figure 1). Three of these ten unique patterns explained considerably variation in unfavorable outcomes concurrently in both male and female patients (AUC=0.81). The relevance in explaining unfavorable outcomes across men and women of these three spatial lesion patterns were indicated by 90% posterior intervals of Bayesian parameter distributions excluding zero for both biological sexes (Men: lesion pattern #2: posterior mean: 0.20, 90% highest probability density interval (HPDI): 0.04 to 0.35; lesion pattern #7: posterior mean: 0.57, 90%-HPDI: 0.11 to 1.07; lesion pattern #8: 0.37, 90%-HPDI: 0.01 to 0.72; Women: lesion pattern #2: posterior mean: 0.21, 90%-HPDI: 0.07 to 0.35; lesion pattern #7: posterior mean: 0.76, 90%-HPDI: 0.13 to 1.25; lesion pattern #8: 0.39, 90%-HPDI: 0.07 to 0.72; Supplementary Figures 2 and 3). Mainly implicated brain regions in these three patterns were bilateral subcortical grey matter nuclei and left-lateralized regions in proximity to the insula (Figures 1 and 2).

**Sex-specific prediction of unfavorable functional outcomes**

Next, we compared the relevance of lesion patterns in men versus in women via contrasting their respective sex-specific lesion pattern posterior distributions. We here observed one predominant sex
difference: The left-hemispheric lesion pattern of posterior circulation brain regions was assigned a substantially higher relevance in explaining unfavorable function outcome specifically in women (mean difference (men-women) of Bayesian marginal posterior distributions related to expressions of lesion pattern #10: -0.30, 90% highest probability density interval: -0.56 to -0.07, Figure 3, c.f., Supplementary Figures 2 and 3 for an exhaustive display of difference distributions).

Sensitivity analyses indicate stability of results

Total lesion volume, parcel-wise lesion volumes, as well as parcel-wise frequencies of lesion status did not significantly differ between men and women (p>0.05, FWE-corrected, Supplementary Table 2). Results remained unchanged after reiterating the identical analysis workflow in balanced groups of men and women with favorable outcomes: In the 20 logistic regression analyses resulting after downsampling, we consistently observed female-specific lesion pattern #10 effects; i.e., the difference distribution of lesion pattern #10 posteriors did not overlap with zero in 16 cases (80% of cases). In the remaining four downsampling scenarios, the difference distribution had a minor overlap with zero. Importantly, only the female-specific lesion pattern #10 posterior distribution indicated a substantial effect, while the male-specific lesion pattern #10 distribution overlapped with zero. Altogether, these downsampling analyses thus reinforced the notion that detected sex-differences were not due to imbalances in the men:women ratio or more favorable long-term outcomes in men.

Pronounced lesion connectivity disturbances in women in lesion pattern #10

Lesion pattern #10 was characterized by the most pronounced differences in lesion connectivity as uncovered by lesion network mapping (Figure 4). More specifically, lesion pattern #10 featured nine significant male- and female-specific intensity differences, that were consistently due to women expressing more intense lesion connectivity. Only one other lesion pattern (lesion pattern #2) had the same number of significant sex-specific intensity differences. Here, higher intensity however occurred for male- and female-based connectivity. Altogether, these explorations thus suggest that sex-differences, as ascertained for lesion pattern #10, might be linked to differences in affected functional connectivity. In women, lesion pattern #10 was associated with a more pronounced deterioration in functional connectivity than in men.

Discussion

Our study provides evidence that left-lateralized posterior circulation strokes increase the likelihood of unfavorable three-month outcomes specifically in women. This effect was not
found in male AIS patients. Our present findings thereby support and extend previous inferences on sex-specific lesion topographies for acute stroke severity. Lesions affecting the left hippocampus, precuneus, lingual and fusiform gyrus, the occipital pole and latero-occipital cortex, do not only explain more severe strokes in women in the acute phase. Rather, they have an additional permanent female-specific effect and explain unfavorable outcomes in a later phase after stroke. Future studies are warranted to now test whether women with these brain regions at risk may benefit from more aggressive acute reperfusion treatments, special post-acute rehabilitative efforts and a sex-specific planning of care.

Sex divergences in acute symptoms and longer-term outcomes post-stroke are frequently examined with respect to sociodemographic and clinical aspects: For example, previous studies have suggested that women experience a higher stroke severity partly due to their more advanced age and higher likelihood of pre-morbid disability, as well as higher frequency of atrial fibrillation and cardioembolic strokes. However, the importance of actual lesion characteristics has rarely been studied in a sex-specific manner, that is lesion effects in men and women have rarely been compared, neither in low dimensions (e.g., total lesion volume), nor in high-dimensional settings (e.g., spatially high-resolution lesion-symptom mapping studies). In most cases, if considered at all, the biological sex of patients is regressed out from lesion information, essentially rendering it impossible to subsequently deduce any linear sex-specific effects. In contrast, the here installed Bayesian hierarchical modeling framework allowed for the estimation and systematic comparison of male- and female-specific lesion effects. Our findings enabled by these sex-specific pattern analyses now i) offer the potential to enhance stroke outcome predictions and ii) motivate the focused investigation of sex-stratified stroke care decisions with the ultimate goal of improving long-term outcomes.

Neuroanatomically, it is particularly striking that observed sex differences pertained to the left hemisphere only. Rare examples of early sex-specific lesion studies already indicated that left-sided lesions may have comparatively more detrimental effects in women. These studies contrasted left- and right-hemispheric strokes and their effects on intelligence measures in men and women. They concluded, that women experience deterioration of both verbal and performance scale IQ values after left-hemispheric lesions, while in men and in case of right-hemispheric lesions just one of these qualities was affected. Additionally, a recent functional connectivity-based study on sex-stratified predictions of IQ scores reported a high predictive
capacity of fusiform gyrus-related functional connectivity patterns specifically in women.\textsuperscript{25} Of note, the left fusiform gyrus comprises the “visual word form area” that is thought to be involved in the identification of words from their visual shape and thus to contribute to higher-level processing of word meaning.\textsuperscript{26} Collectively, these previous insights may motivate the hypothesis that the left occipital fusiform gyrus, as encompassed in our female-specific left posterior circulation lesion pattern, could be one of the driving forces for our observed sex divergencies. Nonetheless, a direct comparison of these earlier findings and ours is hampered by the crudeness of our spatial lesion representation and choice of outcome score.

Therefore, the coarse-grained nature of our ten lesion patterns, that each combined several individual brain regions, as well as of our global three-month clinical endpoint, unfavorable outcome as measured on the modified Rankin Scale, can be considered limitations of this study. Nonetheless, the spatial granularity of our lesion patterns goes beyond one of early intelligence-focused lesion studies that differentiated between left- and right-hemispheric strokes only.\textsuperscript{23,24} Anatomical configurations of stroke lesions are furthermore naturally constrained by the human vasculature and our data-driven dimensionality reduction approach addresses this circumstance overtly by combining brain regions that tend to be lesioned simultaneously. Furthermore, the here derived low-dimensional lesion representations have been shown to be highly reproducible in independent datasets in previous work.\textsuperscript{4} The modified Rankin Scale captures a patient’s functional status on a very general level only, but is frequently used as a primary outcome measure in large clinical stroke trials.\textsuperscript{27} It may thus represent a natural first choice; especially, as ascertaining sex differences in lesion topographies for such a global outcome automatically suggests a meaningful impact on a broad stroke population basis. Future studies are, however, needed to pinpoint the exact brain correlates and brain functions of the detected female-specific effects and to furthermore disentangle the effects of pre-stroke functional states and acute recanalization therapies. This information was unavailable in case of our sample. For example, a concrete first step could be to conduct prospective stroke trials to systematically evaluate sex-specific treatment decisions, such as more aggressive endovascular reperfusion therapies of posterior cerebral artery (PCA)-occlusions in women. Especially since thrombectomy for primary distal posterior cerebral artery occlusion stroke was recently determined to be safe and potentially beneficial,\textsuperscript{28} we would hypothesize a resulting improvement in outcomes in view of our present findings. Importantly, posterior circulation strokes occur in \textasciitilde 30\% of all cases across both men and women\textsuperscript{29} and approximately 13\% of all strokes more specifically relate to PCA-occlusions,\textsuperscript{30} underscoring the potential benefit of enhanced sex-specific treatment outcomes. Lastly, our performance estimates were computed based on Bayesian posterior predictive distributions, as analyses were performed in a Bayesian hierarchical regression framework. Especially if future work
shifted the focus from inference on sex differences, as applied here, to a focus on clinical outcome prediction,\textsuperscript{31,32} this future work could complement our work by running cross-validated out-of-sample predictions. We here aimed to mitigate the risk of overfitting by investigating a limited number of input variables in a large sample of ~800 stroke patients. Bayesian priors may moreover intrinsically exert some regularizing effect.

Our study brings into sharp focus the possibility that lesions in the left posterior circulation underlie a female-specific higher likelihood of unfavorable functional outcomes approximately three months after acute ischemic stroke. Of note, we did not observe any sex differences in lesion volumes per se and findings remained the same when controlling for varying rates in unfavorable outcomes between men and women. If confirmed in future research, these findings prompt a more sex-specific approach to the planning of acute stroke trials in the shorter and clinical stroke management in the longer term. Our findings suggest that women could, exemplarily, benefit from more aggressive acute treatments of distal PCA-occlusions. These more sex-informed clinical practices may eventually augment AIS outcomes on a broad population basis.

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Competing interests

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Appendix

MRI-GENIE and GISCOME Investigators and the International Stroke Genetics Consortium

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Table 1. Patient characteristics. Mean values and standard deviation, unless otherwise noted. Characteristics of men and women were compared either via two-sample t-tests or two-sided Fisher’s exact tests as appropriate. Significantly more women than experienced unfavorable outcomes obtained approximately three months post-stroke (Women: 35.4% vs. Men: 22.8%). In view of this difference in our main outcome, we performed additional downsampling analyses, in which we repeatedly contrasted samples of male and female patients with the same ratios of favorable to unfavorable outcomes.

|                          | All participants (n=822) | Male participants (n=500) | Female participants (n=332) | Statistical comparison of male and female participants |
|-------------------------|-------------------------|---------------------------|----------------------------|------------------------------------------------------|
| Age                     | 64.7 (15.0)             | 63.9 (14.2)               | 65.8 (16.2)                 | p=0.07                                               |
| Sex                     | 39.2%                   | -                         | -                          | -                                                    |
| Unfavorable outcome (mRS>2) | 27.7%                   | 22.8%                     | 35.4%                      | p=0.0001                                             |
| Normalized DWI-derived stroke lesion volume (ml, median, interquartile range) | 3.3 (0-12.8) | 2.9 (0-11.3) | 3.8 (0-17.8) | p=0.28                                               |
| Hypertension            | 64.1%                   | 63.0%                     | 65.9%                      | p=0.41                                               |
| Diabetes mellitus type 2 | 21.8%                   | 23.0%                     | 19.9%                      | p=0.30                                               |
| Atrial fibrillation     | 16.8%                   | 14.6%                     | 20.2%                      | p=0.04                                               |
| Coronary artery disease | 18.4%                   | 21.8%                     | 13.0%                      | p=0.002                                              |
| Smoking                 | 55.0%                   | 61.0%                     | 45.7%                      | p<0.0001                                             |
| Prior stroke            | 9.7%                    | 9.4%                      | 10.2%                      | p=0.72                                               |
**Figures**

**Figure 1. Anatomically plausible, parsimonious representation of stroke lesions.** Ten unique, archetypical stroke lesion patterns were derived via an unsupervised pattern-discovery framework. Lesion pattern represented predominantly right- (A) and left-hemispheric (B) stroke with varying emphases on cortical-subcortical and anterior-medial-posterior regions. Three lesion patterns, framed in yellow for right- and in orange for left-hemispheric stroke, had a high relevance in explaining unfavorable functional outcome three months after stroke for both men and women. This relevance was discernible from their Bayesian posterior distributions that did not substantially overlap with zero (i.e., their 90% credibility intervals did not include zero).

**Figure 2. Individual brain regions explaining unfavorable functions outcomes.** Characteristic constellations of cortical (A) and subcortical brain regions, as well as WM tracts (B) emerged that explained unfavorable outcomes approximately three months after stroke in 500 male and 322 female stroke patients. Lesions in the left hemisphere were more strongly associated with unfavorable long-term outcomes than lesions in the right hemisphere for both men and women. Particularly relevant regions comprised left pre- and post-central, insular and opercular cortex, superior and middle temporal gyri, supramarginal and angular gyrus and lateral occipital cortex.

**Figure 3. Sex-specific effects relating to left-hemispheric posterior circulation lesion pattern.** A Lesion pattern 10 represented left-hemispheric lesions in the presumed posterior circulation, combining the hippocampus, precuneus, fusiform and lingual gyrus, latero-occipital cortex and occipital pole. B The difference distribution of Bayesian posteriors for the male- and female-specific expression of lesion pattern #10 indicated a substantially higher relevance in explaining unfavorable functional outcome specifically in women (mean of the difference posterior distribution = -0.295, highest probability density interval (HPDI) of the posterior distribution covering 90%-certainty = -0.556 to -0.068).

**Figure 4. Significantly altered male- and female-connectome-based lesion connectivity in fourteen cortical networks (seven per hemisphere).** Sex-specific lesion connectivity was computed for each of the ten unique lesion patterns (c.f., Figure 1) and subsequently statistically compared within each of the cortical networks. Networks with significantly different lesion connectivity are represented in color (orange/red: significantly stronger in women; blue: significantly stronger in men). To allow for these statistical group comparisons in the first place, we inserted an additional simulation step: Each lesion pattern was slightly varied 100 times by sampling a random number and collection of lesion pattern-affiliated brain regions. Exemplarily more in detail: Lesion pattern #1 primarily comprised the parcels...
pre- and post-central gyrus, superior, middle or inferior frontal gyrus, insular cortex, superior parietal and supramarginal cortex. We would here e.g., randomly choose pre- and post-central gyrus for a first lesion pattern #1-like lesion, subsequently choose superior parietal, as well as supramarginal cortex for a second lesion pattern #1-like lesion and so forth until we obtained 100 lesion pattern #1-like lesions. Male- and female-specific lesion connectivity was then computed for each of these 100 simulated lesions per lesion pattern.

Lesion patterns #2 and #10 comprised most connectivity differences (nine each). While lesion pattern #2 was characterized by both higher connectivity in men and women, lesion pattern #10 featured higher lesion connectivity exclusively in women.
Figure 1

112x106 mm (.51 x DPI)
Figure 2
110x112 mm (.51 x DPI)

Figure 3
68x38 mm (.51 x DPI)
Figure 4
220x177 mm (.51 x DPI)