Transplantation of Human Neuroblastoma Cells, Catecholaminergic and Non-Catecholaminergic: Effects on Rotational Behavior in Parkinson's Rat Model

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SUMMARY

Cultured human catecholaminergic and non-catecholaminergic donor cells were used in neural transplantation experiments in a rat model of Parkinson's disease. Using two different human catecholaminergic neuroblastoma cell lines, one control non-catecholaminergic neuroblastoma cell line, and one sham control (tissue culture medium), transplants were made into the striatum using a modified Ungerstedt hemiparkinsonian rat model. Significant decreases in apomorphine-induced rotational behavior were produced by two of three catecholaminergic cell lines. Grafted cells staining positively for tyrosine hydroxylase (TH) and catecholamine fluorescence indicated viable catecholamine activity in the two cell lines which produced reductions in rotational behavior. Catecholamine fluorescence was not detected in either of the two controls. These data suggest a link between catecholamine secretion by transplanted cells and motor improvement using a rat rotational behavior model.

KEY WORDS

dopamine, neuroblastoma, neural transplantation, substantia nigra, Parkinson's disease

INTRODUCTION

Since 1985, when Backlund et al. /3/ presented the first clinical tests of transplants of dopaminergic tissue in two Parkinson's patients, Parkinson's disease has been the focus of many transplant attempts. Neural tissue transplants have been performed on rats /5/, monkeys /15/, and humans /23/. Recent transplants to the striatum that utilized autologous human adrenal medullary dopamine-producing tissue have yielded variable results /2, 18/. Human fetal tissue transplants may offer greater promise /11, 24, 26/ but the complex moral and ethical issues raised by this procedure makes its potential for widespread human application uncertain. Experimental neural transplants in animals that use adrenal medullary grafts and fetal dopaminergic grafts have proved promising, producing good results in both rodents and primates /7, 27, 31/. Another source of neurotransmitter-producing tissues are cultured cells which are transplanted into neurotransmitter deficient areas of the host brain. However, cell grafts consisting of cultured cells

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have yielded poor long term results /32/ due to technical difficulties, primarily the severe disruption of the cell processes caused by dissociating cells /14/, and secondarily the proper maintenance of tissue culture /32/. We transplanted cultured cells which are abundant, provoke no ethical problems, and may be genetically engineered. Furthermore, the use of cultured cells prevents the introduction of unrecognized infections or degenerative diseases.

Transplants of cultured cells to remedy experimental parkinsonism have been investigated /16, 19/. (It has been possible to maintain satisfactory primary cultures of fetal neurons for long periods of time /24, 31/.) Numerous studies on intracerebral transplantation of various immortal cell lines into the rat striatum have been published in recent years. These include combined grafts of C6 glioma cells with chromaffin cells /4/, a wide employment of PC-12 cells /1, 13, 21/, B16/C3 melanoma cells /12/, and neuroblastoma cells similar to those employed here /22/.

The approach involves injection of cultured, immortal cells through a needle into specific sites in the brain. The advantages of this method are the following: In comparison to whole tissue transplants, injection of cells in suspension appears to allow a more uniform and wider anatomical distribution of the donor cells over the entire needle track. Grafts into multiple sites and multiple injections in the same needle track might help the graft become better integrated with the host brain /14/, and decrease tissue damage sometimes associated with whole tissue transplants in rats /25/. Having several graft sites might better target specific dopamine deficient tissues, and injection of cells along the entire needle track and not just the base of the track will better disperse the cells, allowing increased integration with the host. This becomes important when attempting to influence a large structure such as the striatum in higher order animals /10/. Furthermore, immortal cell lines can provide an unlimited supply of cells. And finally, cell cultures may be genetically modified, as are the genetically modified temperature sensitive dopaminergic cells reported by Bredesen et al. /6/ and Whittemore et al. /37/ which stop dividing and mature at body temperature.

This study focuses upon catecholaminergic cells derived from neuroblastoma, suitable for neural transplants in the short term, like that of Horellou et al. /20/, and suggests why they might provide insight into the best ways to remedy parkinsonian deficits. We also suggest why a neuroblastoma control cell line that is non-catecholaminergic is unable to diminish a parkinsonian deficit.

A rotational behavior model for the study of nigro-striatal function was described by Un- gerstedt /33, 35, 36/. In the present study dopamine-producing and non-dopamine producing cell lines which both stain positively for TH were stereotactically introduced into the striatum deficient in dopamine, and alterations in rotational behavior observed.

To our knowledge the direct comparison of closely related dopaminergic and non-dopaminergic cell lines which both express TH has not been attempted. The purpose of this study was to examine the capability of a catecholaminergic line to remedy parkinsonian behavior in the model, as well as to investigate the possibility of a more direct link between dopamine production and this model's behavioral improvement.

MATERIALS AND METHODS

The experimental protocol consisted of the following steps spanning four months: Rats received SN lesions, were allowed to mature for 1 month, were tested for rotational behavior in the following month, and those showing a constant frequency of rotations during at least 10 consecutive sessions (30 days) were selected for study. All animals chosen for transplant to the striatum ipsilateral to the damaged substantia nigra displayed at least 4 full body turns/min over a 10 min interval after the apomorphine had taken effect. A total of 27 lesioned rats fulfilled this criterion. Animals

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Abbreviations: ANOVA, analysis of variance; AP, anterior/posterior; D, depth; EDTA, ethylene-diamine tetra acetic acid; H&E, hemotoxylin and eosin; HEPES, hydroxy ethyl piperazine ethane sulfonic acid; L, lateral; 6-OHDA, six-hydroxydopamine; SN, substantia nigra; SPG, sucrose-potassium phosphate-glyoxylic acid; TH, tyrosine hydroxylase; VTA, ventral tegmental area.
were randomly assigned to groups such that 7 received injections of the dopaminergic cell line LA-N-6, 8 received dopaminergic SMS-LHN, 6 received non-dopaminergic cell line SMS-KCN, and 6 received SHAM, i.e. tissue culture medium alone (Pucks-EDTA; Gibco) Apomorphine-induced rotational tests again were performed up to 30 days post-transplant. Mean rotations per minute were calculated for each animal. The rats were sacrificed 30 days after striatal transplant, and histochemical staining and immunofluorescence workups were performed on brain sections.

Lesioning procedure

The rats were anesthetized intraperitoneally with 50 mg/kg of nembutal. The 6-hydroxydopamine (6-OHDA) solution was prepared at room temperature by dissolving 2.6 mg salt to produce 3 mg/ml of active base of 6-OHDA (2,4,5-trihydroxyphenylalanine-HCl) in 0.15 M saline solution, with 0.2 mg/ml anhydrous ascorbic acid as a vehicle. Using a modification of the Ungerstedt method /34/, two unilateral lesions were made on male 250 gram Sprague-Dawley rats, one in the substantia nigra (SN) and one in the ventral tegmental area (VTA).

From the bregma the modified Ungerstedt coordinates of the lesion were: for VTA, AP was -5.3 mm, L -0.7 mm, and D -8.3 mm from bregma; for SN, AP was -5.3 mm, L -2.5 mm, and D -8.3 mm. A slow, gradual 5 µl injection of 6-OHDA solution at the coordinates was administered over a 5 min period at a rate of 1 µl per minute using a 25 gauge 10 µl micro syringe (Hamilton Co., Reno, Nevada) fixed to a micromanipulator. The syringe was withdrawn slowly 5 min after the last increment of injection, the incision sutured and the animal allowed to recover.

Rotational behavior

Three days after striatal transplants, apomorphine-induced rotational trials were started, and continued every 3 days for four weeks, for a total of 10 sessions. Animals were injected intraperitoneally with a 250 µg/kg solution of apomorphine in sterile water, then placed in a black topless plexiglass 24x24x24 inch box. After waiting for 15 min for the apomorphine to take effect, we then observed rotational movement for 10 min and calculated number of complete rotations/min. The means of data from 2 animals which expired prior to completing the 30 days of post-transplant testing, one each from SMS-LHN and SHAM, were also calculated.

Statistical methods

We measured the number of rotations per minute every three days up to 30 days before transplant procedures and every three days up to 30 days after transplant. The value of each day for each animal was the number of rotations for the 10 minute measurement divided by 10, resulting in a value in units of rotations per minute. We defined the Baseline value as the mean of the last five pre-transplant measurements. We defined the Post-transplant value as the mean of the ten post-transplant measurements. To establish group comparability we used a one-way analysis of variance (ANOVA) to test the null hypothesis that the four group baseline means were equal.

To investigate the effect of the transplant we derived for each rat two measures from the baseline and the post-transplant values. One measure was the Change (baseline minus post-transplant) in number of rotations per minute. The other measure was the Percent Improvement (100 times Change divided by the baseline value). For each group we used a t-test on each measure to test the null hypothesis of mean zero.

To compare the four groups on Change and Percent Improvement we used an ANOVA to test the null hypothesis of equal means. Pairwise comparisons were performed using the Bonferroni approach.

All tests were two-tailed. Significance was defined as a p-value less than 0.01. Because of the small sample size and the exploratory nature of the study we also report p-values less than 0.10.

Donor cell lines

Three neuroblastoma cell lines and one tissue-culture substance were transplanted. SMS-LHN and LA-N-6 are examples of relatively slow-growing neuroblastoma while SMS-KCN grows faster.
All cell cultures were established from surgically removed human primary abdominal tumors. Cells were maintained at 37°C in a humidified 5% CO₂ atmosphere incubator in RPMI 1640 with 10% fetal bovine serum.

SMS-LHN cells did not show catecholamine fluorescence in vitro, but were TH positive in freshly stained tumor tissue and in vitro /28/. SMS-LHN and LA-N-6 tumor tissue exhibited marked catecholamine fluorescence when excised /34/. The control SMS-KCN cell line is similar in ultrastructure, neurite outgrowth, neuron-specific enolase, and neurotransmitter biosynthetic enzymes (choline acetyltransferase, tyrosine hydroxylase, aromatic L-amino acid decarboxylase, and dopamine beta-hydroxylase) to SMS-LHN and LA-N-6 cell lines /10, 29/. However, the SMS-KCN line was derived from a tumor that showed no evidence of catecholamine production by glyoxylic acid catecholamine fluorescence and immunohistochemistry at the time of excision, and also showed no fluorescence in vitro /28/. The SMS-KCN line served as an important control because of its overall similarities to the other lines with the exception of its lack of catecholamine production both in the donor patient and in cell culture. The other control consisted of injecting tissue culture medium (Pucks-EDTA) only.

Cells were harvested from tissue culture flasks non-enzymatically with Pucks saline A using 10 mM HEPES and 1 mM EDTA (Pucks-EDTA) /28/, and triturated into a single cell suspension. Cell viability and counts were determined using a hemocytometer by trypan blue exclusion. 50 x 10⁶ viable cells were aliquoted to a 1.5 ml sterile Eppendorf centrifuge tube. The cells were washed and microcentrifuged at 400 g for 3 min. Prior to surgery we resuspended the cell pellet in 1/2 ml of Pucks-EDTA. Cell clumps were dissociated by gentle pipetting with a Pasteur pipet prior to loading the cells into the microsyringe.

Cell line transplantation

Prior to striatal surgery, we injected animals intraperitoneally with 10 mg/kg of cyclosporin A (provided by Sandoz Pharmaceutical Corp., East Hanover, NJ). Tissue culture cells were injected into a single site in the caudate-putamen. Caudate-putamen site coordinates from bregma were AP + 0.2 mm, L -3.2 mm, and D -6.5 mm. 10 μl of Pucks solution, in which the cells were suspended, was gradually injected at 1 μl/minute using a Hamilton 26 gauge 25 μl syringe. The needle and syringe were left in place for an additional 10 minutes and then slowly withdrawn. Animals remained on an 8 mg/kg daily dosage of cyclosporin that was continued for the duration of the experiment to prevent rejection of the cellular graft. Animals which were not given cyclosporin failed to show evidence of cell survival.

Sacrifice, tissue handling, and histochemical technique

Animals were sacrificed by a lethal dose of intraperitoneal nembutal and then decapitated. The skull was opened rapidly and the entire brain removed. For TH immunohistochemistry, the brain was fixed for 3 days in 4% paraformaldehyde in phosphate buffered saline (pH 7.4). After fixation, a block was dissected surrounding the cell graft in the left caudate-putamen. The tissue block was then stored in a solution of 30% sucrose/0.1 M PBS/0.1% sodium azide prior to cutting 15 μm coronal sections.

TH immunohistochemistry was performed on brain sections. Nickel intensification of TH immunohistochemistry, cresyl violet, and hematoxylin and eosin (H&E) staining were also performed on some tissue sections. Sections for TH staining were incubated overnight at 4°C with anti-tyrosine hydroxylase anti-serum (Eugene Tech International, Inc., diluted 1:50 in 0.1% BSA, 0.1% Triton-X 100 in PBS). Primary antibody was detected with Vectastain ABC kit (Vector Laboratories), using biotinylated goat-anti-rabbit antibody, HRP-labeled ABC reagent and 3-3′-diaminobenzadine tetra hydrochloride (Vector) as chromagen. Every third section was processed as recommended by the manufacturer.

For catecholamine fluorescence, snap-frozen brain sections were processed with sucrose-potassium phosphate-glyoxylic acid (SPG) solution /30/. This SPG method has a high specific sensitivity for monoamines /8, 9/. We selected WC5 and PC12 cells as controls for the SPG preparation. WC5 is characteristically negative while
PC12 cells are known positive. These sections were examined with an Olympus Vanox fluorescence microscope (equipped with a 455/490 nm band pass excitation filter, a 500 beam-splitting mirror, and 515 nm emission barrier filter). Blue-green fluorescence in tumor cells is specific for catecholamines /8/.

RESULTS

Rotational behavior

Table 1 presents for each group the means and standard deviations for Baseline and Post-transplant rotations/minute. The ANOVA showed no statistically significant differences in Baseline means.

Table 2 presents for each group the means and standard deviations for the Change and Percent Improvement measures. The mean Change is significantly different from zero for the LA-N-6 and SMS-LHN groups (p = 0.0015 and p = 0.0066, respectively). The mean Percent Improvement is significantly different from zero for the LA-N-6 and SMS-LHN groups (p = 0.0027 and p = 0.0001, respectively) and borderline significant for the SHAM group (p = 0.0111).

For comparison of the four groups the ANOVA showed no statistically significant group differences in Change means (p = 0.0638). There was a statistically significant group difference in mean Percent Improvement (p = 0.0006). Bonferroni pairwise comparisons (at α = 0.01) revealed a statistically significant difference only between SMS-LHN and SMS-KCN. Relaxing the Bonferroni level of significance to 0.05 allowed us to identify three pairwise significant group differences in Percent Improvement: LA-N-6 vs. SMS-KCN, SMS-LHN vs. SMS-KCN and SMS-SHN vs. SHAM.

Figure 1 shows rotational behavior over the 30 days post-transplant for each transplant group. Prior to transplantation, the number of rotations induced by apomorphine in the 6-OHDA lesioned hemiparkinsonian rats gradually increased and eventually plateaued. Decreases in rotations were evident by one week after transplantation, and remained at approximately the same value until the animals were sacrificed (see Figure 1). Of the two animals that died prior to completion of the 10th measurement, one SMS-LHN rat died after the 6th measurement, and one SHAM rat died after the 4th measurement.

Histology

To determine the effectiveness of the lesion, frontal sections were cut through the SN and VTA.
Histochemical analysis of catecholamine activity in the region of the lesioned SN and VTA showed that the 6-OHDA had destroyed almost all TH-containing cell bodies (see Fig. 3A). There was also reduction in activity to background levels of TH-positive fine fibers and catecholamine-specific fluorescence on the lesioned side. Because questions have arisen in recent reports /17/ concerning the impact of unilateral lesions on the level of TH and the presence of other substances in the other hemisphere, we performed 2 pilot studies prior to this study, comparing TH reactivity in control animals without lesions to animals with unilateral 6-OHDA lesions. These unpublished studies examined 10 animals and showed no significant difference in TH reactivity between the control animals and TH reactivity from tissue contralateral to 6-OHDA lesions in the experimental animals. This analysis was performed by gross inspection of tissue stained for TH.

At the time of death, four weeks after transplantation, there was no evidence of mass displacement on visual inspection of the coronal brain slices. The injection sites and needle tracks were easily seen on sections stained with H&E. On microscopic examination 30 days post-transplant, surviving grafts from SMS-LHN, and LA-N-6, and SMS-KCN cell lines consisted of groupings of TH positive cells packed within and throughout the needle track. This was in contrast to rats sacrificed one or two days after transplantation in our preliminary work; these animals showed clumps of cells clustered at the base of the needle track. The comparison suggests significant multiplication of

Fig. 1: Effect of SMS-LHN, LA-N-6, SMS-KCN, or SHAM transplants placed into the caudate nucleus of 6-OHDA lesioned rats. Rats receiving SMS-LHN and LA-N-6 showed significant improvement, (i.e., reduction in apomorphine-induced rotation) over time. Rats receiving SMS-KCN non-dopamine producing donor cells or sham surgeries showed some reduction in rotation which was not statistically significant.
Fig. 2: A: Photomicrograph from the striatum of a 6-OHDA nigral lesioned rat with a LA-N-6 cell graft filling and somewhat expanding in the needle tract at 30 days post-transplant. The section was stained for tyrosine hydroxylase (TH). G = graft. Scale bar = 0.5 mm. B: High power photomicrograph of a TH stained SMS-LHN graft showing cell body staining at edge of graft and host tissue. Scale bar = 0.1 mm.
Fig. 3: A: Low-power photomicrograph, stained for TH, of the ventral midbrain from a rat with a previous 6-OHDA lesion in the left SN and VTA (right side of micrograph). Note the loss of neurons on the right side of micrograph, compared to the normal labeled left side of micrograph (right SN and VTA). SN = substantia nigra, VTA = ventral tegmental area. Scale bar = 0.5 mm. B: Photomicrograph similar to that of 2A, stained for TH but nickel intensified to show better the presence of the grafted cells. Scale bar = 0.5 mm. C: Photomicrograph of the striatum with a SMS-LHN graft showing positive SPG fluorescence with some diffusion 29 days post transplant. Some macrophages were also present. Scale bar = 0.25 mm. D: High power fluorescence photomicrograph of SMS-LHN graft showing uniform cell size and catecholamine fluorescence. Scale bar = 0.1 mm.
the grafted cells by 30 days. The grafted cells did not appear to penetrate into the surrounding tissue of animals at 30 days (see Fig. 2A, B).

Histology of the SMS-LHN and LA-N-6 grafts showed viability, as determined by TH immunoreactivity (see Fig. 2B). In many of the animals there was TH staining in and around the graft site. Some animals also showed good TH staining on the side contralateral to the graft, while there was a nicely circumscribed TH positive graft on the grafted side. It appeared the TH activity was endogenous to the graft rather than a host response, since there was no apparent TH staining except immediately adjacent to the graft. Nickel intensification of TH staining of SMS-LHN and LA-N-6 grafts showed marking specific to TH antibody labeling (see Fig. 3B). Bright positive blue-green catecholamine fluorescence radiated from the cells, consistent with neurite outgrowth; however, because of clumping, clear processes were not visible at low power. At high power catecholamine fluorescence was clearly visible (see Fig. 3D). A diffuse fluorescence from the area surrounding the cells was also visible, suggestive of an active secretion of catecholamines and moderate diffusion (see Fig. 3C). No gradient was apparent. For the SMS-KCN grafts no fluorescing cells were found at the site of transplantation after the SPG procedure.

All cell lines exhibited uncontrolled growth in vivo, and therefore are not practical for transplant in animal studies of more than one month duration.

DISCUSSION

Results of this experiment show that apomorphine-induced rotational behavior in a hemiparkinsonian rat can be partially corrected by catecholaminergic dissociated cell grafts placed in the caudate-putamen.

The analysis indicates a statistically significant difference among groups in Percent Improvement in number of rotations/minute. The Bonferroni pairwise comparisons at the conservative 0.01 level of significance resulted in only one significant pairwise difference between SMS-LHN, with the (maximum) improvement of 60%, and SMS-KCN, with the (minimum) improvement of 13% (see Fig. 4). Though other pairwise comparisons were not significant at $\alpha = 0.01$ the data suggest that percent improvement is similar in the SMS-LHN and LA-N-6 groups, and that these groups are different from both the SMS-KCN and SHAM groups which are similar.

The mean Changes, while not statistically different among the groups, are ordered in the same way as the Percent Improvement means; the smallest Change is in SMS-KCN and the largest is in SMS-LHN. This measure, Change, is more variable among animals, perhaps because it does not account for the inter-rat variability in baseline (pre-transplant) rotations/minute. Though lacking statistical significance the data suggest an effect similar to that found using Percent Improvement.

Several phenomena are at work in the present experiment. In view of the greater magnitude of reduction in circling associated with the catecholaminergic cell lines as compared to the two control procedures, it is reasonable to conclude that the former is probably producing dopamine, or having a unique effect on the surrounding...
brain which may be caused by the presence of an unknown neurotransmitter. It is puzzling that as the cell lines apparently divided and grew, there were no further reductions in rotational behavior. The question of the mechanism by which the rotational behavior is improved is presently under further investigation. Reports by Gerfen et al. /17/ have recently looked at the balance between nigrostriatal systems and the unknown factors involved in this rotational model, such as differences in an individual animal’s response to apomorphine prior to lesioning. They have proposed that the system may have many complex and delicate interdependencies.

Returning to the present experiment, the data seem clear that the active cell lines, namely SMS-LHN, and LA-N-6, have a beneficial effect on apomorphine-induced rotational behavior in the parkinsonian rat model. This is in addition to an injury-related effect seen in pure form in the sham procedures.

The cells employed here were neoplastic cells, coming from neuroblastoma lines. As we and others /22/ found, these cell lines continue to grow in number. Therefore, these cells are not suitable for long-term animal experimentation and particularly not for consideration in humans. However, if cell lines can be rendered amitotic in tissue culture, they may be useful for long term investigational use. Gash et al. /16/ rendered a human neuroblastoma cell line amitotic and then grafted it into adult African green monkeys. The amitotic cells survived for up to 340 days, remained differentiated, and did not revert to an active mitotic state. Kordower et al. /22/ transplanted both mitotic and amitotic human neuroblastoma cell lines into rodents and found that both survived. However the amitotic lines had fewer surviving cells. Kordower’s dopamine-producing cell lines also reversed lesion-induced parkinsonian defects in rats as demonstrated by maze testing. The present study adds to existing research because it includes a non-dopaminergic neuroblastoma graft that exhibited no dopaminergic activity and also produced no appreciable improvement in rotational motor deficit.

The concept of genetically engineering cells via oncogene insertion or some other method to produce immortal cells lines that carry specific neurotransmitter characteristics is exciting. Bredesen et al. /6/, in a preliminary finding, have utilized genetically modified temperature-sensitive clonal neural cells for neural transplantation and suggest that this type of modification could by applied to cells of any neurotransmitter system. Since cloned genes may be inserted into cells prior to transplantation, the dopaminergic potential of transplanted cells could be enhanced. We are presently studying such nerve cell lines which are showing promise in both rodent and primate models.

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