Hydraulic traits are coordinated with maximum plant height at the global scale

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Water must be transported long distances in tall plants, resulting in increasing hydraulic resistance, which may place limitations on the maximum plant height ($H_{\text{max}}$) in a given habitat. However, the coordination of hydraulic traits with $H_{\text{max}}$ and habitat aridity remains poorly understood. To explore whether $H_{\text{max}}$ modifies the trade-off between hydraulic efficiency and safety or how water availability might influence the relationship between $H_{\text{max}}$ and other hydraulic traits, we compiled a dataset including $H_{\text{max}}$ and 11 hydraulic traits for 1281 woody species from 369 sites worldwide. We found that taller species from wet habitats exhibited greater xylem efficiency and lower hydraulic safety, wider conduits, lower conduit density, and lower sapwood density, which were all associated with habitat water availability. Plant height and hydraulic functioning appear to represent a single, coordinated axis of variation, aligned primarily with water availability, thus suggesting an important role for this axis in species sorting processes.

INTRODUCTION

Plant height is a straightforward but important trait for plant ecological strategies (1, 2). Height is a crucial component of water balance (3), carbohydrate transport (4), and light interception and may also correlate with leaf economic traits (5). Height is a meaningful predictor of species- and ecosystem-level traits, such as plant volume and aboveground woody biomass (6), and has also been used as an integrative indicator of species richness and environmental stress (7). Furthermore, greater height facilitates access to high-radiation habitats but, as a consequence, results in a longer path length (greater resistance) and greater gravitational potential (8). The classic hypothesis of hydraulic limitation resulting from tree height has long been considered and widely tested (9), which arises from the assumption that, with increasing height, the total path length resistance increases proportionately, making water transport to upper leaves more difficult in large-stature species (3, 10). To avoid embolism resulting from more negative water potential, leaves higher in the canopy are expected to exhibit stronger stomatal control to reduce water loss, but with the consequence of reducing transpiration, photosynthesis, and growth (3, 8, 11).

Beyond the physiological and structural limitations placed on plant height (12), environmental factors are also crucial determinants of plant height across species and biomes. At broad spatial scales, water availability, especially precipitation and potential evapotranspiration (PET), has been emphasized and evaluated as the most important factor that affects plant height. For example, among 22 environmental variables, precipitation in the wettest month was found to be the best factor explaining global patterns of $H_{\text{max}}$ across nearly 6000 species from 222 field sites (13). Another study reported that annual precipitation was the main predictor of conifer height across the United States (14). An investigation of tall tree species found that their occurrence depended critically on a narrow range of temperature seasonality, as well as high precipitation and high humidity (15). Therefore, both atmospheric and soil aridity represent limitations to attaining tall stature in forest communities. Exploring the global relationships between $H_{\text{max}}$ and hydraulic traits across species could reveal potential linkages between plant height and water transport traits and therefore help us understand the impact of climate change on the distribution of vascular species across the world’s terrestrial biomes (16).

Across aridity gradients, species should develop corresponding adaptive strategies to water availability through hydraulic regulation, which must be coordinated with plant height. Specifically, we might expect the maximum efficiency of xylem tissue (hereafter “efficiency”) to be higher in species from wet habitats. Conversely, we might expect arid habitats to favor xylem traits conferring resistance to embolization (hereafter “safety”). Furthermore, if the evolution of high safety and efficiency in the same species is either not possible or represents a substantial loss of performance, then we might expect a safety-efficiency trade-off to arise across species. Several lines of evidence support the idea of a safety-efficiency trade-off within and across species. For example, increasing climatic aridity was associated with a decline in xylem efficiency (sapwood-specific hydraulic conductivity, $K_c$) and an increase in xylem safety (xylem tension causing 50% loss of maximum conductivity, $P50$) of European beech (17). Similarly, a weak trade-off was found between $K_c$ and $P50$ across 424 woody species sampled across a wide range of precipitation, such that species from wet habitats exhibited less negative $P50$ and higher $K_c$ than species from arid habitats (18). The hydraulic safety margin (the difference between minimum xylem water potential and $P50$) has been suggested as a meaningful predictor of global aridity tolerance and growth across species (19) and has been found to be relatively convergent across species and biomes (20), which also suggests that fast growth may require highly efficient xylem but at the expense of xylem safety. Considering that greater height should result in more negative leaf water potentials, all else remaining equal, we might therefore expect taller species to exhibit greater safety (more negative $P50$) and lower $K_c$. However, this may not be the case because many of the plant traits affecting water balance also change across...
habits. Therefore, a more integrative approach (21) is required to understand the consequences of plant height on hydraulic coordination across species and habitats.

The Whitehead-Edwards-Jarvis proportionality (21) (hereafter “Darcy’s law”) represents an integration of climate and plant attributes influencing water transport through xylem tissue and can be rearranged and expressed as

$$K_s = \frac{H_t \cdot g_s \cdot A_t}{(\Psi_S - \Psi_L)} \cdot D$$

(1)

where $K_s$ is the sapwood-specific hydraulic conductivity, $H_t$ is the tree height, $g_s$ is the stomatal conductance, $A_t/\Lambda_S$ is the evaporative surface area of leaves relative to the sapwood cross sectional area, $D$ is the leaf-to-atmosphere vapor pressure deficit, and $(\Psi_S - \Psi_L)$ is the pressure potential difference between soil and leaf. Considering that $K_s$ varies considerably more than any other trait in Darcy’s law across species and habitats (22), it is possible that $K_s$ evolved to compensate for both increasing height and increasing hydraulic demand that should be expected to arise from greater leaf-to-sapwood area ratio ($A_t/A_S$) (23, 24). If this is true, then $K_s$ may serve to maintain rates of gas exchange across species differing in height and $A_t/A_S$ and thus facilitate water balance (21, 22).

It is important to realize that $K_s$ cannot compensate for increasing height in all habitats. The scaling of vessel diameter is relatively convergent across species, and this leads to wider vessels at the base of tall plants (25, 26), and wide conduits are more susceptible to freeze-thaw embolization than are narrow conduits (27). Furthermore, the effect of $K_s$ on whole-plant conductance may be dampened by increasing sapwood capacitance in tall plants, which serves to release water to the transpiration stream during periods of high evaporative demand and low soil water potential (28, 29). If this effect of sapwood capacitance is meaningful, then it may also confound a trade-off between P50 and $K_s$ in humid habitats, such that we may not expect increasing $K_s$ to be necessarily associated with a more vulnerable xylem (30).

In this study, we examined the strength and direction of the linkage between xylem anatomy and physiology with maximum plant height at the global scale. Given that woody species tend to grow taller in regions with higher water availability, we aimed to answer the following questions: (1) Is the hydraulic safety-efficiency trade-off across species underpinned by the close alignment of hydraulic traits and plant height? (2) Does greater xylem efficiency across species compensate for longer path length resistance (height) and wider leaf-to-sapwood area ratio via Darcy’s law? (3) Do taller species also exhibit wider conduits, lower conduit density, and less dense xylem? (4) Is the observed coordination between height and hydraulic traits also associated with a shift in habitat water availability? Considering that differences in phylogeny, plant structure, and phenology might either drive or confound relationships among plant traits and height, we also addressed each of these questions separately for angiosperms and gymnosperms, for each biome, for trees and shrubs, and for deciduous and evergreen species.

RESULTS

Our study sites covered seven biome types and a marked range of water availability (table S1). Aridity index (AI; please see the glossary in Table 1) values ranged over 50-fold from 0.08 to 4.51 (mean ± SD is 0.90 ± 0.53), representing arid deserts to tropical rain forests (Fig. 1, A and B). As expected, the maximum plant height ($H_{\text{max}}$) of each site showed significant positive correlation with AI (Fig. 1C and tables S2 and S3). Across both angiosperms and gymnosperms, $H_{\text{max}}$ increased linearly with actual measured plant height ($H_{\text{act}}$) but did not follow the 1:1 line, indicating that $H_{\text{act}}$ tended to be less than $H_{\text{max}}$ throughout its range (fig. S1). Specifically, the $H_{\text{max}} \sim H_{\text{act}}$ slope was steeper across gymnosperms than across angiosperms, such that the $H_{\text{act}}$ of gymnosperms was typically less than one-third of $H_{\text{max}}$, whereas the $H_{\text{act}}$ of angiosperms was typically less than one-half of $H_{\text{max}}$. Hence, it is possible that the poor representation of tall gymnosperm species might have contributed to weak relationships between $H_{\text{act}}$ and AI or hydraulic traits for this clade (insets in Figs. 1 and 2 and figs. S2 and S3).

**Question 1: Plant height is positively correlated with $K_s$ and P50**

$H_{\text{max}}$ increased with increasing $K_s$ across species, with a common standardized major axis (SMA) regression slope among angiosperms and gymnosperms (Fig. 2A and tables S2 and S3). Among biomes, SMA slopes for $H_{\text{max}} \sim K_s$ within angiosperms were all significantly positive except for species from boreal forest (BOR; but $n = 8$) and were significantly shallower for species from tropical seasonal forest (TRS) and tropical rainforest (TRR) than other biomes. Within gymnosperms, only temperate seasonal forest (TMS) species showed a significant positive relationship (table S4). SMA slopes did not differ between shrubs and trees nor between deciduous and evergreen species (tables S5 and S6).

Less negative P50 (lower safety) was associated with larger $H_{\text{max}}$ across species, and the $H_{\text{max}} \sim P50$ SMA slope was steeper for gymnosperms than for angiosperms (Fig. 2B and tables S2 and S3). SMAs revealed significant positive correlations for $H_{\text{max}} \sim P50$ among biomes, with TMS angiosperms exhibiting the steepest slope. In contrast, all biomes exhibited a common slope within gymnosperms (table S4). SMAs for $H_{\text{max}} \sim P50$ were significant for trees, but not for shrubs, within both angiosperms and gymnosperms (table S5). Deciduous and evergreen species exhibited common $H_{\text{max}} \sim P50$ slopes within both angiosperms and gymnosperms (table S6).

A trade-off between $K_s$ and P50 was found, with a steeper SMA regression slope for gymnosperms than for angiosperms (Fig. 2C and tables S2 and S3). SMAs showed significant positive correlations for $K_s \sim P50$ within biomes except that no correlation was evident for TRR angiosperms (table S4). Neither different leaf forms nor life forms exhibited different SMA slopes for angiosperms, whereas within gymnosperms, meaningful comparisons based on life forms and leaf forms could not be made, owing to small sample sizes of shrubs ($n = 10$) and deciduous species ($n = 8$) (tables S5 and S6). When $H_{\text{max}}$ was included as an additional predictor (i.e., $K_s \sim P50 \times H_{\text{max}}$), the best fit linear mixed-effects model (LMM) for angiosperms explained a total of 83% of the variation in $K_s$; however, only 9% was contributed by P50 and $H_{\text{max}}$. Among the random factors, species and site explained 42 and 39%, respectively, of the random variation in $K_s$. In the linear model (LM) with no random factors, P50 and $H_{\text{max}}$ together explained 13% of the total variation in $K_s$ (Table 2).

**Question 2: Darcy’s law**

$H_{\text{max}}$ was positively correlated with $A_t/A_S$ but only significant within angiosperms (Fig. 2D and table S3). $K_s$ was positively correlated with $H_{\text{max}} \times A_t/A_S$ and $H_{\text{act}} \times A_t/A_S$, across both angiosperms and
Table 1. Abbreviations for different traits (units), biomes, and method names in this study.

| Abbreviation | Index | Unit |
|--------------|-------|------|
| **Traits**   |       |      |
| Al           | Aridity index | —    |
| $H_{\text{max}}$ | Maximum plant height | m |
| $H_{\text{act}}$ | Actual measured plant height | m |
| P50          | The xylem tension at 50% loss of the maximum hydraulic conductivity | MPa |
| $K_s$        | Sapwood-specific hydraulic conductivity | kg m$^{-1}$ s$^{-1}$ MPa$^{-1}$ |
| $K_L$        | Leaf-specific hydraulic conductivity | $\times 10^{-4}$ kg m$^{-1}$ s$^{-1}$ MPa$^{-1}$ |
| $\Psi_{\text{pre}}$ | Minimum water potential at predawn | MPa |
| $\Psi_{\text{mid}}$ | Minimum water potential at midday | MPa |
| $\Psi_{\text{tlp}}$ | Leaf turgor loss point | MPa |
| $A_l/A_s$    | Leaf-to-sapwood area ratio | m$^2$ cm$^{-2}$ |
| WD           | Sapwood density | g cm$^{-3}$ |
| $V_{\text{dia}}$ | Mean tangential vessel diameter for angiosperms or tangential tracheid diameter for gymnosperms | $\mu$m |
| $V_D$        | Number of vessels (angiosperms) or tracheids (gymnosperms) per square millimeter | mm$^{-2}$ |
| $V_{L_{\text{max}}}$ | Maximum vessel length for angiosperms | $\mu$m |
| **Eq. 1**    |       |      |
| $H_t$        | Tree height | m |
| $g_s$        | Stomatal conductance | mol m$^{-2}$ s$^{-1}$ |
| $D$          | Leaf-to-atmosphere vapor pressure deficit | MPa |
| $\Psi_S - \Psi_L$ | The pressure potential difference between soil and leaf | MPa |
| **Biomes**   |       |      |
| DES          | Deserts | —    |
| WDS          | Woodland/shrubland | —    |
| BOR          | Boreal forest | —    |
| TMS          | Temperate seasonal forest | —    |
| TMR          | Temperate rainforest | —    |
| TRS          | Tropical seasonal forest | —    |
| TRR          | Tropical rainforest | —    |
| **Methods**  |       |      |
| SMA          | Standardized major axis | —    |
| LMM          | Linear mixed-effects model | —    |
| LM           | Linear model | —    |
| PCA          | Principal components analysis | —    |
| PC           | Principal component | —    |
gymnosperms, but the log-log slopes were all significantly less than one (0.69 across angiosperms and 0.75 across gymnosperms; \( P < 0.001 \) in all cases), thus indicating less than proportional (i.e., non-compensating) scaling (Fig. 2E). For angiosperms, temperate rainforest (TMR) species exhibited the steepest \( H_{\text{max}} \sim A_{\text{L}} / A_{\text{S}} \) slopes across biomes, while \( H_{\text{max}} \sim A_{\text{L}} / A_{\text{S}} \) slopes did not differ between life forms nor leaf forms (tables S4 to S6).

**Question 3: Coordination between plant height and other hydraulic traits**

Wider conduit diameter (Vdia), lower conduit density (VD), and lower sapwood density (WD) in terminal branches were associated with larger \( H_{\text{max}} \) across species. Although SMA slopes for \( H_{\text{max}} \sim V_{\text{dia}} \) and \( H_{\text{max}} \sim VD \) differed significantly between angiosperms and gymnosperms, the slope for \( H_{\text{max}} \sim WD \) did not differ between the two clades (Fig. 2, F to H, and tables S2 and S3). \( H_{\text{max}} \sim V_{\text{dia}} \) and VD showed shallower slopes for angiosperm species from TRS and TRR, but all biomes exhibited a common slope for \( H_{\text{max}} \sim WD \) (table S4). Angiosperm shrubs exhibited significantly steeper \( H_{\text{max}} \sim V_{\text{dia}}, VD, \) and WD slopes than did angiosperm trees. Evergreen angiosperms exhibited a steeper \( H_{\text{max}} \sim WD \) slope than did deciduous angiosperms (tables S5 and S6).

For other hydraulic traits, increasing height was associated with less negative minimum water potential at predawn (\( \Psi_{\text{pre}} \)) and at midday (\( \Psi_{\text{mid}} \)), with a common SMA slope among angiosperms and gymnosperms (fig. S2). \( H_{\text{max}} \) also exhibited significant positive correlations with leaf-specific hydraulic conductivity (\( K_{L} \)) and turgor loss point (\( \Psi_{\text{tlp}} \)) (fig. S3). Maximum vessel length (only angiosperms, \( VL_{\text{max}} \)) showed no pattern with \( H_{\text{max}} \) either among biomes or between life forms and leaf forms (tables S3 to S6). Details on comparisons among biomes, between life forms and leaf forms, and LMM and LM results for these traits are reported in appendix S1.

**Question 4: Effects of habitat water availability on the coordination between height and hydraulic traits**

When Al was involved as a fixed factor (e.g., \( H_{\text{max}} \sim \text{trait} \times \text{Al} \)), the best fit LMMs for angiosperms explained a total of over 90% variation in \( H_{\text{max}} \) (91 to 99% for the six hydraulic traits in Table 2A), but within which only 4 to 33% was contributed by fixed factors (hydraulic trait...
Fig. 2. Plant height is aligned with hydraulic traits. Relationships between maximum plant height (inset: actual measured plant height) and (A) sapwood-specific hydraulic conductivity ($K_s$), (B) the xylem tension at 50% loss of the maximum hydraulic conductivity ($P_{50}$), (C) trade-off between $K_s$ and $P_{50}$, (D) leaf-to-sapwood area ratio ($A_l/A_S$), (E) the product of $A_l/A_S$ and $K_s$ (Darcy’s law), (F) mean tangential vessel or tracheid diameter ($V_{dia}$), (G) number of vessels or tracheids per square millimeter ($V_D$), and (H) sapwood density ($W_D$) across species. Scaling slopes in (E) for angiosperms (0.69 and 0.66 based on $H_{\text{max}}$ and $H_{\text{act}}$, respectively) and gymnosperms (0.75 and 0.52 based on $H_{\text{max}}$ and $H_{\text{act}}$, respectively) are all significantly less than 1 ($P < 0.001$). Different colors indicate biome types (see Table 1), and symbols for angiosperms (circles, solid lines) and gymnosperms (crosses, dashed lines) are scaled to $A_l$ values in (A and B) and (D to H), but to $H_{\text{max}}$ values in (C). Model parameters are reported in tables S3 to S6.
Table 2. Effects of AI on the coordination between height and hydraulic traits. LMM and LM for log-log–transformed trait relationships within (A) angiosperm and (B) gymnosperm species. For LMM, one hydraulic trait and AI are fixed factors; site and species are random factors. Sampling sizes (n), F values, P values (*P <0.05, **P <0.01, and ***P <0.001), variance components of random factors and residuals, and $R^2$ for each model are reported. Models for other traits are reported in table S7.

| Model | (A) Angiosperm | n | (B) Gymnosperm | n |
|-------|----------------|----|----------------|----|
| $H_{\text{max}} \sim K_s \times \text{AI}$ | LMM | 1127 | LM | 162 |
| Fixed effect | $F^p$ | $F^p$ | $F^p$ | $F^p$ |
| Trait | 57.84*** | 119.38*** | 0.48 | 7.30*** |
| AI | 50.98*** | 132.56*** | 0.67 | 0.60*** |
| Trait × AI | 5.49* | 4.98* | 0.27 | 6.99 |
| Random effect | Variance component | Variance component | Variance component |
| Species | 870 | 0.550 | 97 | 0.651 |
| Site | 163 | 0.066 | 87 | 0.000 |
| Residual | 0.059 | 0.006 | 0.059 | 0.006 |
| $R^2_{\text{fixed}}$ | 0.14 | 0.00 | 0.92 | 0.19 |
| $R^2_{\text{all}}$ | 0.04 | 0.00 | 0.98 | 0.09 |

$H_{\text{max}} \sim P_{50} \times \text{AI}$ | LMM | 954 | LM | 253 |
| Fixed effect | $F^p$ | $F^p$ | $F^p$ | $F^p$ |
| Trait | 13.18*** | 87.42*** | 2.15 | 64.12*** |
| AI | 29.40*** | 138.26*** | 0.02 | 0.12 |
| Trait × AI | 0.98 | 11.90*** | 0.17 | 7.45*** |
| Random effect | Variance component | Variance component |
| Species | 684 | 0.761 | 131 | 0.627 |
| Site | 215 | 0.007 | 126 | 0.002 |
| Residual | 0.038 | 0.003 | 0.038 | 0.003 |
| $R^2_{\text{fixed}}$ | 0.04 | 0.00 | 0.04 | 0.00 |
| $R^2_{\text{all}}$ | 0.95 | 0.20 | 0.95 | 0.20 |

$K_s \sim P_{50} \times H_{\text{max}}$ | LMM | 660 | LM | 147 |
| Fixed effect | $F^p$ | $F^p$ | $F^p$ | $F^p$ |
| P50 | 7.53* | 70.61*** | 2.71 | 21.2*** |
| $H_{\text{max}}$ | 17.4*** | 28.41*** | 0.01 | 2.19 |
| P50 × $H_{\text{max}}$ | 0.54 | 1.28 | 0.46 | 2.30 |
| Random effect | Variance component | Variance component |
| Species | 494 | 0.395 | 89 | 0.150 |
| Site | 130 | 0.357 | 82 | 0.263 |
| Residual | 0.168 | 0.218 | 0.168 | 0.218 |
| $R^2_{\text{fixed}}$ | 0.09 | 0.18 | 0.09 | 0.18 |

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| Model         | n  | (A) Angiosperm | n  | (B) Gymnosperm |
|--------------|----|----------------|----|----------------|
| $R^2_{all}$  | 0.83 | 0.13           | 0.72 | 0.13           |
| $H_{\text{max}} \sim \text{AI} \times 
  \text{AI}$ | 739 | LMM            | 74  | LMM            |
| Fixed effect | $F^p$ | $F^p$          | $F^p$ | $F^p$          |
| Trait       | 25.86*** | 100.04***    | 0.00 | 0.06           |
| AI          | 5.17**   | 49.93***      | 0.00 | 1.03           |
| Trait $\times$ AI | 6.49** | 5.80**         | 0.00 | 2.40           |
| Random effect | Variance component | Variance component | Variance component |
| Species     | 637 | 0.529          | 43  | 0.283          |
| Site        | 84  | 0.094          | 42  | 0.000          |
| Residual    | 0.073 | 0.000          |     |                |
| $R^2_{\text{fixed}}$ | 0.17 | 0.00           | 0.17 | 0.00           |
| $R^2_{all}$ | 0.91 | 0.17           | 0.99 | 0.05           |
| $H_{\text{max}} \sim \text{VDia} \times 
  \text{AI}$ | 574 | LMM            | 145 | LMM            |
| Fixed effect | $F^p$ | $F^p$          | $F^p$ | $F^p$          |
| Trait       | 56.52*** | 311.62***     | 0.03 | 43.13***       |
| AI          | 11.16**  | 101.61***     | 0.12 | 15.12***       |
| Trait $\times$ AI | 4.11*  | 2.18           | 0.01 | 12.42***       |
| Random effect | Variance component | Variance component | Variance component |
| Species     | 506 | 0.567          | 88  | 0.515          |
| Site        | 110 | 0.084          | 79  | 0.000          |
| Residual    | 0.011 | 0.005          |     |                |
| $R^2_{\text{fixed}}$ | 0.33 | 0.00           | 0.33 | 0.33           |
| $R^2_{all}$ | 0.99 | 0.42           | 0.99 | 0.34           |
| $H_{\text{max}} \sim \text{VD} \times 
  \text{AI}$ | 343 | LMM            | 38  | LMM            |
| Fixed effect | $F^p$ | $F^p$          | $F^p$ | $F^p$          |
| Trait       | 33.73*** | 147.93***     | 0.00 | 0.45           |
| AI          | 0.00    | 26.37***       | 0.00 | 7.06*          |
| Trait $\times$ AI | 0.91  | 2.58           | 0.00 | 10.14**        |
| Random effect | Variance component | Variance component | Variance component |
| Species     | 320 | 0.583          | 33  | 0.566          |
| Site        | 36  | 0.067          | 26  | 0.000          |
| Residual    | 0.012 | 0.000          |     |                |
| $R^2_{\text{fixed}}$ | 0.26 | 0.00           | 0.26 | 0.00           |
| $R^2_{all}$ | 0.99 | 0.34           | 0.99 | 0.34           |

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Higher WD, lower (shrubs versus trees) along this hydraulic axis, with shrubs having less variation (Fig. 3A). Species could be distinguished by life form—especially angiosperms (Fig. 3D). Results for PCA on six traits were very similar, showing that life form could be separated in the PCA plot for both angiosperms and gymnosperms, the same model of the six hydraulic traits with PC1 and PC2 explaining 46 and 22% of total variation, whereas gymnosperms, PC1 and PC2 explained 46 and 22% of total variation (Table 2A). For gymnosperms, the same model of the six hydraulic traits with AI had insignificant factor effects in LMMs and low explanatory power (5 to 34%) in LMs (Table 2B).

Synthesis: Principal components analysis and path analyses
Principal components analysis (PCA) on angiosperms based on five traits showed that PC1 and PC2 explained 45 and 19% of total variation, respectively (Fig. 3, A and B). $H_{\text{max}}$ was closely related to AI, whereas $K_s$ and WD, and P50 formed a nearly orthogonal “hydraulic” axis of variation (Fig. 3A). Species could be distinguished by life form—especially angiosperms (Fig. 3D). Similarly, within gymnosperms, PC1 and PC2 explained 46 and 22% of total variation, respectively (Fig. 3, C and D). AI and P50 formed one key axis of variation, whereas $H_{\text{max}}$, $K_s$, and WD formed another axis (Fig. 3C). Only life form could be separated in the PCA plot for both angiosperms and gymnosperms (Fig. 3D). Results for PCA on six traits were very similar, except that the added $A_t/A_s$ occupied a position near $H_{\text{max}}$ for angiosperms and a position near $K_s$ for gymnosperms (fig. S4).

Path analyses revealed similar trait associations as PCA. Considering only angiosperms, AI exhibited a large (i.e., steep standardized slope coefficient) and highly significant effect on $H_{\text{max}}$ whereas after keeping the effect of AI on $H_{\text{max}}$ constant, the effects of P50 and $K_s$ on $H_{\text{max}}$ were weak, albeit significant (Fig. 4A). This does not mean that covariation between P50 (or $K_s$) and $H_{\text{max}}$ was not meaningful (see table S2) but rather that covariation between P50 and $H_{\text{max}}$ was also aligned with covariation between AI and $H_{\text{max}}$ i.e., AI appeared to be a key climate variable aligned with both $H_{\text{max}}$ and hydraulics. In contrast, among gymnosperms, the direct effect of AI on $H_{\text{max}}$ was weak and nonsignificant (as expected from the PCA), whereas the xylem traits $K_s$ and P50 appeared to be more proximally linked to $H_{\text{max}}$ than was AI (Fig. 4B).

### DISCUSSION
Our results suggest that taller woody species occur in biomes with higher water availability, have higher xylem hydraulic conductivity, and are more vulnerable to xylem embolism. To compensate for greater height and evaporative demand, $K_s$ increased (but less than proportionately) to the product of $H_{\text{max}}$ and $A_t/A_s$, similar to that predicted via Darcy’s law. Congruent with these results, taller species also had wider conduits, lower conduit density, and lower wood density. However, although these correlations were relatively consistent among groups (common SMA slopes) of life form, leaf form, and biome (with several biome exceptions), habitat water availability and species often modified the slope and intercept coefficients and thus were also important in explaining variance in plant height. Furthermore, we note that the across-species analysis presented here is, to a large extent, dissimilar from within-species studies that have explored similar relationships between plant height and hydraulic traits (3, 8, 10, 11, 31), suggesting that intrinsic evolutionary differences across species and plastic differences within species may have separate influence on plant height and hydraulic trait associations. This study extends our understanding of hydraulic architecture from local studies to a broader range of taxa and biomes across the globe, highlighting that hydraulic traits and plant height may serve as useful measurements for predicting future distributions of species under climate change scenarios.

| Model                      | n  | (A) Angiosperm | (B) Gymnosperm |
|----------------------------|----|----------------|----------------|
| $H_{\text{max}} \sim \text{WD} \times \text{AI}$ | 995 | LMM            | LMM            |
| Fixed effect               |    | $F^p$          | $F^p$          |
| Trait                      |    | 17.22***       | 125.36***      |
| AI                         |    | 53.55***       | 151.18***      |
| Trait $\times$ AI          |    | 8.62**         | 10.53**        |
| Random effect              |    |                |                |
| Species                    | 815 | 0.510          | 100            |
| Species $\times$ AI        | 118 | 0.122          | 0.000          |
| Residual                   | 0.060 | 0.005         |                |
| $R^2_{\text{fixed}}$       | 0.22 |                | 0.00           |
| $R^2_{\text{all}}$         | 0.93 | 0.22           | 0.99           | 0.28 |

and AI, with or without their interaction effects). For the random factors, 60 to 90% of the random variance was explained by species compared with 10 to 20% by site (e.g., for $H_{\text{max}} \sim K_s \times \text{AI}$, the variance components for species and site were 0.550 and 0.066, respectively). In the equivalent LMs, without random effects, hydraulic traits and AI together explained 19 to 42% of the total variation in $H_{\text{max}}$ (Table 2A). For gymnosperms, the same model of the six hydraulic traits with $H_{\text{max}}$ and AI had insignificant factor effects in LMMs and low explanatory power (5 to 34%) in LMs (Table 2B).
**Fig. 3.** PCA on plant height, hydraulic traits, and AI. (A and B) Four hundred thirty-one angiosperm species and (C and D) 96 gymnosperm species based on five traits. (A and C) The first two PC loadings and (B and D) species scores with trees (black circles) and shrubs (gray circles) are shown. The percentages of variance explained by the first two PCs are reported in the axis labels. See fig. S4 for PCA on 270 angiosperm and 30 gymnosperm species based on six traits.

**Fig. 4.** Path analysis on plant height, hydraulic traits, and Al. (A) Four hundred thirty-one angiosperms ($\chi^2 = 3.63, P = 0.06$, standardized root mean square residual (SRMR) = 0.02, normed fit index (NFI) = 0.99) and (B) 96 gymnosperms ($\chi^2 = 2.99, P = 0.08$, SRMR = 0.04, NFI = 0.97). Arrows indicate the proposed links between variables. Standardized path coefficients are shown on the arrows [not significant (ns), $P > 0.05$; *$P < 0.05$; ***$P < 0.01$; ***$P < 0.001$]. Dotted lines indicate nonsignificant paths. $R^2$ next to the endogenous variables indicate their explained variance.
traits, represent a single weak bundle of traits that are likely important for species sorting processes at the global scale.

Although positive correlation between $K_s$ and P50 was found for all bivariate tests within different groups examined in this study (e.g., biomes and life forms), the path analyses suggested that correlation between $K_s$ and P50 among gymnosperms is not a direct relationship, i.e., it is not likely a “trade-off.” In addition, although $K_s$ and P50 contributed similarly to $H_{max}$ within angiosperms and gymnosperms, AI exhibited strong and direct linkage with both $K_s$ and WD within angiosperms, whereas AI exhibited direct linkage with only P50 within gymnosperms. This suggests a potential divergence in hydraulic coordination between angiosperms and gymnosperms that we discuss below.

**Darcy’s law and contrasting height-associated hydraulic strategies within and across species**

Our results confirmed that the marked variation in $K_s$ among species was sufficient to offset both increasing height and increasing evaporative demand ($A_1/A_S$) across biomes (21, 22). Hence, $A_1/A_S$ and $K_s$ appeared to be key factors in regulating plant water balance in the face of both habitat aridity and the advantages obtained through greater height. However, these results across species might differ from within species patterns (3, 8, 10, 11, 31).

Within an individual tree, the decreasing of $A_1/A_S$ in taller branches could potentially compensate for hydraulic limitation (10, 24). For example, it has been suggested that xylem embolization may be prevented via leaf area reduction (lower $A_1/A_S$), in addition to regulation at the stomata (32). In contrast, our across-species data showed that $A_1/A_S$ and leaf area generally increased with increasing height. This is consistent with other empirical studies (18, 22) and hydraulic theory (33). This may indicate that species with sufficient water supply tend to grow taller and maximize their water use and growth (high $A_1/A_S$) rather than use water more conservatively. It is possible that higher $A_1/A_S$ and $H_{max}$ may have evolved in taller species because they tend to occupy higher radiation habitats at the top of forest canopies. Therefore, at the global scale, it appears that $K_s$ may be a key trait that has been favored in wet habitats to maintain plant water balance and compensate for increasing height (higher resistance) and $A_1/A_S$ (higher water demand).

Although $K_s$ appeared to be an important across-species trait, in principle, any trait in Darcy’s law could compensate for any other trait. For example, longer path length (i.e., greater height) may be as-

**Coordination between height and hydraulic traits was altered by phylogeny, biome, and life form**

Relationships among hydraulic traits and plant height should be an evolutionary outcome of multiple selection pressures acting across and within habitats (2, 41). Several hypotheses addressing the hydraulic limitations imposed by height have been based on phenotypic comparisons within species, and as such, considering phylogeny in these analyses may help to explain when a particular trait correlation evolved, in which clades, and whether trait coordinations have arisen independently more than once. In addition, we might not necessarily expect variation across species and variation within species to result in similar correlations among traits, as has been found for leaf economic traits (42, 43).

In this study, we considered the most contrasting phylogenetic clades, angiosperms, and gymnosperms, which differed markedly in both structural and functional traits. For example, none of the tracheid traits measured for gymnosperms correlated with $H_{max}$ except a weak positive correlation between $H_{max}$ and Vdia. Recent work on gymno-

$\Psi_{mid}$ and consequently be related to low levels of embolism in both branches and leaves in wet habitats (less negative P50) (36).

Evidence for increased drought avoidance of tall species comes from two other correlations in our data. First, taller species tended to have less negative $\Psi_{pre}$, indicating a narrower leaf-to-soil water potential gradient (37), driven in part by a sufficient supply of water delivered via deep roots (38). Second, low wood density, and presumably higher sapwood capacitance, was evident among tall species in this study, as well as from other reports (29, 39), and suggests that tall species may avoid embolization by accessing water stored in sapwood during drought or periods of high demand (28). Stem water storage may also partially compensate for increases in axial hydraulic resistance with tree height and thus take part in regulating the water status of leaves exposed to large diurnal variations in evaporative demand in the upper canopy of some forest ecosystems (40). In addition, strong positive correlation between $H_{max}$ and Vdia in our data suggests that taller angiosperm species may be more susceptible to embolization due to a greater frequency of large diameter vessels (27).

Habitat water availability differed markedly among the biomes considered in this study. There appears to be no strong argument for why relationships between hydraulic traits and plant height should exhibit differences in the fitted slope coefficients. All the significant relationships between $H_{max}$ and hydraulic traits showed similar trends among biomes. For example, AI strongly affected the coordination between plant height and all the hydraulic traits examined in this study, which almost certainly explain the contradiction between the empirical
correlations reported here (specifically, positive correlation between plant height and $A_t/A_s$ and the balancing effect of $K_s$), with predictions arising from physiological theories based on single individuals. The key to understanding this apparent contradiction is that soil water increased across habitats, which allowed for higher evaporative loss from the canopy (per unit xylem cross section) and greater path length resistance (arising from height), and also increased $K_s$ and/or increased capacitance (30). Hence, higher $K_s$ appeared to compensate for higher evaporative water loss, as well as greater path length resistance, across species and habitats. It is also possible that factors other than hydraulics may place meaningful limitations on plant height, e.g., biomechanical and energetic limitations (11, 15). These other possible limiting factors might also explain some of the variance in $H_{\text{max}}$ that was not accounted for by hydraulics.

Life form was found as the most distinctive group, but many relationships between $H_{\text{max}}$ and functional traits were only significant within shrubs, and all the significant slopes were steeper in shrubs than in trees. Within angiosperms, $H_{\text{max}}$ increased significantly with increasing $A_t$ within shrubs but showed a decreasing trend (although not significant) within trees (table S5). Shorter tree species from temperate and tropical forests, and the tallest tree species mainly distributed in temperate areas (15), were the main drivers of this decreasing pattern. This finding could help to explain the hump-shaped curve between global forest canopy height and water availability found in a recent study, besides its proposed physiological reasons (45). Our dataset confirmed that the height of trees (main canopy component) may exhibit weak and, even sometimes, negative association with water availability (e.g., tropical forests), whereas shrubs were often responsible for the underlying across-biome relationships between $H_{\text{max}}$ and hydraulic traits. Furthermore, differences between shrubs and trees were more evident than between evergreen and deciduous species. One possible reason for this was that most hydraulic traits in this study (all traits involved in the PCA) were branch-based measurements, which may not be aligned with leaf strategies. A more comprehensive combination of traits may better represent the spectrum of plant form and function (2).

### MATERIALS AND METHODS

#### Data collection

Four categories of data were collected:

1) Plant hydraulic traits. We obtained xylem hydraulic efficiency and safety traits for as many species and study sites as possible but avoided herbs, grasses, cacti, and lianas to consider only self-supporting woody life forms. Data were confined to measurements taken on the terminal branches of mature plants. For multiple measurements on the same species from the same site, we used mean values. In total, our dataset included 1843 observations—1281 species from 369 sites worldwide with 11 functional traits. Within the 1843 observations, 1267 observations were from the TRY Plant Traits Database (46), 365 observations were collected from recent literature, and 211 observations were measured in this study (full dataset and references are in table S1).

Maximum sapwood-specific hydraulic conductivity ($K_s$), leaf-specific hydraulic conductivity ($K_{L}$), the xylem tension at 50% loss of the maximum hydraulic conductivity ($\Psi(50)$), and leaf-to-sapwood area ratio ($A_t/A_s$) of small, terminal branches (0.4 to 1.0 cm in diameter were used because these sizes were most commonly reported in literature). Four structural traits that are related with plant hydraulics were also obtained from the terminal branches as well: sapwood density ($WD$), mean tangential vessel (angiosperms) or tracheid (gymnosperms) diameter ($V/dia$), vessel or tracheid density ($VD$), and maximum vessel length (only angiosperms, $L_{max}$).

2) Maximum plant height ($H_{\text{max}}$) and actual measured plant height ($H_{\text{act}}$). We gathered $H_{\text{max}}$ for 1281 species from open source publications [e.g., local floras and wikipedia (https://en.wikipedia.org/wiki/)], as well as the published literature (48). However, because plants sampled in the field were usually shorter than their maximum attainable height and it was unknown whether hydraulic traits would be linked stronger with $H_{\text{max}}$ or $H_{\text{act}}$, we also recorded the $H_{\text{act}}$ of the plants from which the hydraulic traits were measured.

Although only 897 $H_{\text{act}}$ observations were recorded, it enabled comparisons with patterns found for $H_{\text{max}}$. In our dataset, most species were not very tall. The mean $H_{\text{max}}$ of all 1281 species was 17.8 ± 16.2 m (mean ± SD), 5.1% of which had $H_{\text{max}}$ ≥ 50 m. The mean $H_{\text{act}}$ of all 897 observations was 9.6 ± 8.7 m, only 1.8% of which had $H_{\text{act}}$ ≥ 30 m. Thus, the effect from gravity was likely negligible, relative to the effect of path length and hydraulic demand from the canopy.

3) Biome type for each site. On the basis of the database of Terrestrial Ecoregions of the World (49), we assigned an ecoregion for each site using the function extract in the package raster (50) in R (51). This was done to assign biome types using a uniform and objective criterion. To concisely summarize, we further classified the 137 ecoregions into seven biome types according to each site’s specific descriptions and previous criteria (20) from arid to wet gradients: DES, WDS (woodland/shrubland), BOR, TMS, TMR, TRS (including subtropical forests and tropical and subtropical savanna), and TRR. We compared this biome classification system with the classic Whittaker Biome Classification system based on mean annual precipitation (MAP).
and mean annual temperature (52). We found that both systems were largely consistent with each other. For sites that differed between classification systems, we assigned ecoregions from Olson et al. (49) because this system better reflect the distribution of species and communities more accurately than Whittaker (52), which was derived from gross biophysical features (fig. S5).

4) Aridity index (AI). AI was calculated as the ratio of MAP and PET. We plotted and extracted AI values for each site based on the Global Aridity Index database at a 30-arc sec resolution (www.cgiar-csi.org; Fig. 1) (53). Recent studies that have examined many environmental variables have reported that precipitation of the wettest month was the best predictor for maximum plant height (13), whereas the difference between precipitation and PET was the most important variable associated with forest canopy height (54). Considering that evapotranspiration includes more climatic factors than only precipitation, we used AI (MAP/PET) as a proxy for water availability at each site.

Data analyses
All the continuous indices were natural log–transformed to homogenize variance. If the original values were all negative (e.g., P50), then log-transformed absolute values were used. Considering that H_{max} was our study focus and H_{max} was also strongly positively correlated with H_{act} (fig. S1), we put H_{act} as insets for comparisons and only reported detailed model results for H_{max}.

For question 1, the relationships between H_{max} and K_{s} or P50 and between K_{s} and P50 were tested by AI regression. Correlation coefficients were calculated to characterize the overall associations across all species and groups (i.e., between angiosperm and gymnosperm, among biomes, or between life forms and leaf forms), whereas differences among groups were compared by evaluating SMA slopes, intercepts, and their position along a common axis. The null expectation (H0) was that the slope of the regression (or intercept or group shift) would not deviate significantly among groups. SMA analysis was performed using the sma function in the smatr package (55) in R.

For questions 2 and 3, we tested the assumption based on Darcy’s law that K_{s} should increase near proportionally to the product of height and A_{L}/A_{S} (e.g., K_{s} ∝ H_{max} × A_{L}/A_{S} or H_{act} × A_{L}/A_{S}). We then tested the relationships between H_{max} and A_{L}/A_{S} and other hydraulic traits using the same methods for question 1.

For question 4, we compared LMMs and LMs to explore whether correlations between H_{max} and other hydraulic traits were affected by AI. Models were simplified to as few traits as possible (e.g., two traits in paired correlation and three variables in LMM). This was done because missing data markedly decreased sample size in models with greater than three variables. For LMMs, we started from a simple model, “H_{max} ~ trait + AI + (1|site)”, with trait and AI as fixed factors and site as a random factor. We then used stepwise model comparison by adding interactions and random factors, including species, family and genus, plant life form and leaf form, and biome type. Last, we selected “H_{max} ~ trait × AI + (1|site) + (1|species)” as the best-fit model because it included all meaningful predictors and had the lowest Akaike information criterion value among all models. LMs were built as “H_{max} ~ trait × AI” for comparing the explanation power of random factors. We fitted LMs using the lmer function in the lme4 package (56) in R. Statistical significance of fixed factors was assessed by type III sums of squares and Satterthwaite’s approximation of denominator degrees of freedom, whereas random factors were assessed using likelihood ratio tests based on the lmerTest package (57) in R, and then, we reported the R² for both fixed factors and the entire model (58).

Last, PCA was used to investigate trait coordination and to explore which traits were the most important in distinguishing differences among groups of species. Because of the inconsistent missing data of different traits, we were limited to using no more than five or six traits in the PCA models. PCA was conducted using the princomp function in R. We also applied path analyses to explore the relationships among the five traits used in PCA using the lavaan package (59) in R. Model structures were chosen primarily based on well-understood relationships among hydraulic traits and not on trait arrangements giving the best-fit outcomes. All traits were scaled to unit variance and mean of zero before fitting. The model fit was evaluated using the χ² statistic and the normed fit index.

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