Electrostatics of Edge States of Quantum Hall Systems with Constrictions: Metal–Insulator Transition Tuned by External Gates

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The nature of a metal–insulator transition tuned by external gates in quantum Hall (QH) systems with point constrictions at integer bulk filling, as reported in recent experiments [S. Rodaro \textit{et al.}, Phys. Rev. Lett. 97, 046801 (2005)], is addressed. We are particularly concerned here with the insulating behavior—the phenomena of backscattering enhancement induced at high gate voltages. Electrostatics calculations for QH systems with split gates performed here show that observations are not a consequence of interedge interactions near the point contact. We attribute the phenomena of backscattering enhancement to a splitting of the integer edge into conducting and insulating stripes, which enable the occurrence of the more relevant backscattering processes of fractionally charged quasiparticles at the point contact. For the values of the parameters used in the experiments we find that the conducting channels are widely separated by the insulating stripes and that their presence alters significantly the low-energy dynamics of the edges. Interchannel impurity scattering does not influence strongly the tunneling exponents as they are found to be irrelevant processes at low energies. Exponents of backscattering at the point contact are unaffected by interchannel Coulomb interactions since all channels have the same chirality of propagation.

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I. INTRODUCTION

An electron gas in two spatial dimensions (2DEG) in the presence of a strong magnetic field at particular values of the filling fraction (ratio of the number of electrons to flux quanta penetrating the sample) forms a charge gap in the bulk and gapless excitations at the edges creating one-dimensional (1D) chiral metallic states. These states have attracted a great amount of interest as an arena for studying the exotic properties of the Luttinger liquid (LL) model.\(^{1,2}\)

Even though remarkable theoretical progress has been achieved in understanding the properties of Luttinger liquids\(^{2,3}\) in the experimental front open problems remain.\(^{4,5}\) For instance, questions have been raised from transport experiments, across a gate-created constriction in quantum Hall (QH) systems, by Rodaro \textit{et al.}\(^6\). The measurements in Ref. 6 observe, in QH samples at integer bulk filling, a metal–insulator transition induced by varying the voltage of the metallic gates \(|V_\text{g}|\) that define the constriction. At low \(|V_\text{g}|\) the system is metallic (backscattering is suppressed) across the point contact and becomes insulating (backscattering is enhanced) as \(|V_\text{g}|\) is increased. At high \(|V_\text{g}|\) the observed current across the constriction has a bias-voltage evolution that would be reminiscent of a bulk-filling fraction \(\nu = 1/3\).

These types of tunneling experiments intend to probe predictions of the LL theory such as the power-law dependence of the interedge tunneling correlation functions and the related power-law suppression of the densities of states. In QH systems points of enhanced backscattering are created by bringing counter-propagating edges in close proximity by either placing metallic split gates over the top of the 2D electron gas, like the ones illustrated schematically in Fig. 1, or by allowing for breaks in barriers like the ones obtained by cleaved edge overgrowth\(^7\) (in which the interedge spacing is on the order of a magnetic length enabling additional electron correlations along the barrier). The theoretical groundwork for single-point tunneling phenomena in Luttinger liquids was laid by Kane and Fisher\(^8\) by using a renormalization-group scheme. At low energies a change in nature of transport across a backscattering point depending on the value of the Luttinger liquid parameter was predicted: perfect transmission for attractive interactions across the sample and a perfect reflection at the point contact for repulsive interactions. These predictions were later confirmed by Fendley, Ludwig and Saleur\(^9\) using the Bethe-ansatz technique which established the exact flow between the Dirichlet, \(\mathcal{T} \to \infty\), the strong-tunneling stable fixed point, and the Neumann, weak-tunneling unstable fixed point. Here \(\mathcal{T}\) is the tunneling amplitude. Contrary to the experiments of Ref. 6 the LL theory predicts a rather uninteresting voltage-independent top–bottom tunneling conductance \((G \sim V^{2\nu-2})\) at filling \(\nu = 1\), typical for Fermi liquids. It is the intention of this paper to examine the disagreement between theory and experiment.

The low \(|V_\text{g}|\) behavior of the differential tunneling conductance at a constriction in relation with experiments\(^10\) and\(^11\) was examined in\(^12\). It was concluded that repulsions between electrons on opposite sides of the gates suppress the quasiparticle (QP) backscattering at the constriction—thus leading to the metallic behavior observed at low \(|V_\text{g}|\). The idea there was that edges on opposite sides of the Hall bar must be regarded as counter-propagating channels of a LL formed along the gates, rather than as the left and right portions of the top or bottom chiral channels of the overall Hall bar. Repulsive interactions between the chiral channels of such a LL are expected to enhance the left–right electron tunneling.

The reason for the occurrence of the opposite phenomena: the enhancement of backscattering at the constriction observed at high values of \(|V_\text{g}|\), in systems similar to those illustrated in Fig. 1, was analyzed in Ref. 13. In this paper, we explain in significant detail the results that were discussed...
The ratios of ISs to the CSs are of the order of the backscattering of fractionally charged quasiparticles. The presence of these wide insulating stripes enables the latter to exhibit the fractional QH effect, QHE, (FQHE). The values of these voltages. The other venue that we pursue in this paper is the study of the charge distribution along the edges in the presence of magnetic fields. In Sect. IV we find the geometric dimensions of the compressible and incompressible stripes that form at the edges of the 2DEGs in the presence of a magnetic field. In Sect. IV we find the geometric dimensions of the compressible and incompressible stripes that form at the edges of the 2DEGs in the presence of a magnetic field. In Sect. V we discuss implications of the reconstructed edge in transport and finite-frequency noise experiments. We close the paper with our conclusions.

II. STRUCTURE OF EDGES IN SPLIT HALL BAR SYSTEMS IN THE ABSENCE OF MAGNETIC FIELDS

In this section based purely on electrostatic arguments we study the charge distribution and edge structure and edge position of a 2D electron gas in the presence of a confining potential provided by electrostatic split gates. We first examine the case of the absence of a magnetic field. The geometry of the model is represented schematically in Fig. 1. The 2DEG resides on the interface of two semiconductors, the $xy$ plane ($z = 0$) of Fig. 1. Two metallic gates are deposited over the top a distance $\approx 10 l_B$ from the 2DEGs’ plane along the $y$ axis of Fig. 1. $l_B = |hc/Be|^{1/2}$ is the magnetic length, $\approx 100 \, \text{Å}$, for a magnetic field $B = 6.5 \, \text{T}$ that will be introduced later. The electron charge here is $-e$ and $c$ is the light constant. We assume here nevertheless that the gates and the 2DEGs are coplanar. The gates, of lateral extension $x \in (-a, a)$, are used to create the constriction to enhance the interedge electron tunneling amplitude. As the gate voltage is increased, the electrons under the gate are repelled leaving behind positively charged dielectric stripes parallel to the $y$ axis of Fig. 1 extended in the intervals $a < |x| < b$. In equilibrium, there is no net electric field on the 2DEGs’ plane, and the 2DEGs can be treated as metallic components with constant potential. The equilibrium position will be reached at the points where the horizontal component of the electric field created by the charged dielectric strips and the one created by the metallic components (the 2DEGs and the gate) sum to zero. At these points we require

$$\lim_{x \to \pm b} \left\{ E_x^{\text{el}}(x, z = 0) + E_x^{\text{rel}}(x, z = 0) \right\} \to 0 \quad (1)$$

Each of those fields is singular at the sharp edges but in equilibrium they cancel exactly.

Semiconductor structures in experiments were fabricated from AlGaaS/GaAs single heterojunctions of charge density $n_0 \approx 10^{11} \, \text{cm}^{-2}$ and dielectric constant $\epsilon = 12.6$. In the depleted region the charge density is defined by the positive background charge of the donor semiconductor, $en_0$. In the 2DEGs the electron charge density increases gradually away from the boundaries (located at $\pm b$), reaching values of $-en_0$. In Ref. 5 and elaborated on other experimental implications in connection with the proposed model. In analogy to the low $|V_g|$ case, one might be tempted to attribute the experimental observations at high $|V_g|$ to the possibility of sign-reversed interactions across the barrier due to the presence of image charges in the metallic gates. To study the nature of interactions between edges on opposite sides of the gates, we allow for different potentials on the respective 2DEGs, and study the change of position of one edge upon a change of potential on the other 2DEG. We find in fact that electron interedge interactions remain weakly repulsive at any values of these voltages. The other venue that we pursue in this paper is the investigation of the effects of the edge-reconstruction phenomena that take place in wide and smooth edges inevitably created at high gate voltages, and caused by the presence of magnetic fields in QH samples. In the absence of a magnetic field we find that the width of the QH edge increases linearly on $|V_g|$, with only logarithmic $|V_g|$ corrections, favoring the need for an electrostatic treatment of such smooth edges. The charge distribution along the edges in the presence of magnetic fields changes. With the introduction of charge energy gaps at points of fractional filling, the edge breaks into a sequence of compressible (CS) and incompressible (IS) stripes, the latter exhibiting the fractional QH effect, QHE, (FQHE). Quite generally such stripes form in any situation where at zero magnetic fields a charge-density gradient is present, as noted in Refs. 14,15,16. The ISs are strongly electron-correlated states and exhibit insulating properties as opposed to CSs which behave as metal stripes (of constant potential in the area they occupy). The charge density remains with a gradient in the compressible ones and the low energy physics of the edge is described by their fluctuations. Large part of this paper is dedicated to the calculation of positions and dimensions of the CSs and ISs. The values of $|V_g|$ of Ref. 6 for the geometry of these systems turn out to be such that for bulk $\nu = 1$ filling the ratios of ISs to the CSs are of the order $\approx 1$ and $\approx 0.5$, for the outermost and the next to the outermost one, respectively. The presence of these wide insulating stripes enables the backscattering of fractionally $(e/3)$ charged quasiparticles whose tunneling exponent would correspond to an effective bulk $\nu = 1/3$ filling. The interchannel interactions do not influence this exponent. The latter has its physical origin in the known fact that interchannel interactions cannot break the universality of the Hall conductance in same-chirality multichannel edges like the ones at higher integer Landau level filling Hall systems.

This paper is organized as follows. In Sect. II we examine the functional dependence of the edge width and edge position as a function of the voltage of the gates. Subsequently, in Sect. III we examine the nature of interedge interactions in the presence of metallic gates. In Sect. IV we find the geometric dimensions of the compressible and incompressible stripes that form at the edges of the 2DEGs in the presence of a magnetic field. In Sect. IV we find the geometric dimensions of the compressible and incompressible stripes that form at the edges of the 2DEGs in the presence of a magnetic field. In Sect. V we discuss implications of the reconstructed edge in transport and finite-frequency noise experiments. We close the paper with our conclusions.
in the bulk. We are interested here in studying the case of high gate voltage, which justifies the assumption of putting the 2DEGs, the positively charged dielectric stripes, and the metallic gates all in the same plane, \( z = 0 \) of Fig. [2]

We assume here that the system is translational invariant along the gates. The problem then becomes effectively two-dimensional. A knowledge of the electric-field configuration in space leads to the knowledge of the electric charge distribution in space and thus to the knowledge of the electric-field configuration in the bulk. We are interested here in studying the case of positively charged stripes of the host semiconductor extend in the depleted regions \( a < |x| < b \).

By taking the opposite steps (see Appendix A) we determine

\[
\phi^{\text{str}}(x, z) = \tau b \text{Re} \left\{ E(k') - E(\psi, k') \right\}
- \frac{1 + k^2}{2} |K(k') - F(\psi, k')| + \text{i} \left( \frac{\zeta}{b} - k \right) \right\},
\]

where \( \psi = \arcsin \frac{|(a/b)^2 - 1|^{1/2}}{1 - a/b} \) and \( \tau = 4\pi\epsilon_0/\epsilon \) (more details are given in Appendix A). Here and in the following \( K = K(k) \), \( E = E(k) \), \( K' = K(k') \), \( E' = E(k') \) are the complete (complementary complete) Jacobi elliptic integrals of the 1st and 2nd kind of modulus \( k = a/b \) and \( k' = (1 - k^2)^{1/2} \), respectively. The potential constant \( A(a, b) \) introduced in (4) is given in (A9).

The potential \( \phi^{\text{el}}(x, z) \) created by the metallic components with the boundary conditions (4) and (5) can be determined by using \( \pi/2 \) folding conformal transformations at the points \( \pm a, \pm b \), mapping the upper half-space of Fig. [2] to a rectangle. To fulfill (5), corresponding in the transformed space to a vanishing horizontal component of electric field on the boxes’ vertical sides, the space inside the box has to be part of a larger space, obtained by successive iterative reflections of the box around its vertical sides, reaching eventually to an infinitely long capacitor whose plates are at potentials \( V_g \) (lower plate) and \( V_1 - A \) (top plate). The potential resulting from such a transformation is obtained from

\[
\Phi(\zeta) = \frac{V_1 - A - V_g}{K(\sqrt{1 - a^2/b^2})} \sin^{-1} \left( \frac{\zeta}{a} \right) + iV_g
\]

with \( \phi^{\text{el}} = \text{Im} \Phi \). The electric field created by the metallic components is obtained from \( E^{\text{el}}_x = -\text{Im}[d\Phi/d\zeta] \) at \( z = 0 \), whereas the one of the dielectric stripes is obtained from \( E^{\text{str}}_x = -\text{Im}[d\Phi/d\zeta] \) at \( z = 0 \), cf. (A8). Both of these fields exhibit singularities at the edge boundaries. To reach mechanical equilibrium at the boundary positions, one requires that

\[
V_1 - V_g = \frac{4\pi\epsilon_0}{\epsilon} \left[ K(\sqrt{1 - a^2/b^2}) - E(\sqrt{1 - a^2/b^2}) \right].
\]
This equation defines the positions ±b of the edges of the 2DEGs.

For small values of the dimensionless constant \( \kappa := (V_1 - V_g)/\tau a \), with the expansion series for small values of the argument in complete elliptic integrals, one gets the linear relation \( b/a = 1 + 2\kappa \). For the limit of large \( \kappa \), for which \( b - a \gg a \), we make use of the logarithmic series and get the asymptotic large \( |V_g| \) dependence of \( b \):

\[
b = \frac{\kappa a}{\ln(4\kappa/e) - \ln(4\kappa/e)} , \quad \kappa = (V_1 - V_g)/\tau a .
\]

(Here the Euler number \( e \) is not to be confused with the elementary charge \( e \).) The distance of the edge position increases linearly on \( V_1 - V_g \) with only logarithmic corrections. A plot of the dependence of the edge position as a function of the potential difference \( |V_1 - V_g| \) obtained by the numerical solution of the transcendental equation (10) is shown in Appendix B 1 (Fig. 16).

The charge density distribution on the 2DEGs’ regions, the \( z = 0 \) plane at \( |x| > b \), can be found similarly, by the knowledge of the \( z \)-component electric field. In the \( z = 0 \) plane the stripes give a contribution of \( E_{\text{str}} = -\text{Re}[dF/d\zeta]_{|z=0} \). The derivative of \( F \), with the appropriate behavior at \( x \to \pm \infty \) is given by \( dF/d\zeta = -i\tau G_{\text{str}}(\zeta) + \tau \), where from Eq. (A8) we have \( G_{\text{str}}(\zeta) = (\zeta^2 - (a^2 + b^2)/2)/D(\zeta) \). In the regions \( |x| > b \) we find

\[
N(x) = n_0(G_{\text{str}}(x) - 1) - (V_1 - A - V_g)G_{\text{el}}(x) ,
\]

which is the total charge density \( eN(x) = -en(x) + en_0 \), a superposition of the positively charged dielectric background of density \( en_0 \) and the 2DEGs in \( |x| > b \) on top—carrying charge density \( -en(x) \). In Eq. (12) the short notation \( G_{\text{el}}(\zeta) = b/[K' D(\zeta)] \) is used. The profile of the edge of the electron liquid follows to be

\[
n(x) = n_0 \left( \frac{x^2 - b^2}{x^2 - a^2} \right)^{1/2} , \quad |x| > b .
\]

At large distances from the edge the charge density reaches the expected bulk value of \( n_0 \). The width of the edges increases as \( b - a \), becoming smoother at higher \( |V_g| \). Numerical estimates for the edge position in devices used in Ref. 8 for \( V_1 - V_g = 1 \) V and \( a = 2500 \) \( \text{Å} \) are \( b = 4964 \) \( \text{Å} \). The distance of the gate to the 2DEG is \( \approx 1000 \) \( \text{Å} \). This justifies our assumption of taking the 2DEG and the gate as being coplanar.

III. NATURE OF INTERACTIONS

In this section we study the influence of the metallic gates on the electron interactions between edges on opposite sides of the gates. Previous work \( ^{11} \) has found that QP or electron backscattering in the constriction can be enhanced or suppressed depending on the sign of the interedge interactions in the constriction. Attractive interactions, for instance, would effectively lower the filling fraction in the Hall bar and enhance the backscattering of the emerging QP at the point contact. In general cases the influence on the electron interactions of nearby metallic gates is hard to estimate. The geometry examined here, however, is such that it allows for exact calculations. Intuitively one would expect that the presence of image charges in the gate, halfway the between edges, to weaken the interedge Coulomb repulsions or even reverse their sign.

To study these effects we allow for different voltages on the 2DEG subsystems. In the following we calculate the positions of the edges as a function of the voltages and look at the ratios of the change of the position of one edge upon the change of voltage on each of the 2DEGs. Due to the voltage difference between the 2DEGs, their distance from the metallic gate should be different. We assume in general the edge boundaries be positioned at \( b \) and \( b \) whereas the gate be extended within the interval \( \bar{a} < x < a \), with \( \bar{a} - b \neq b - a \).

A. Field of Asymmetric Dielectric Stripes

Here we calculate the electric field and the potential configuration created by the positively charged asymmetric stripes and its constant potential surroundings. The dielectric stripes extend in the intervals \( \bar{b}, \bar{a} \) and \( (a, b) \) as shown in Fig. 3 (upper left). The boundary conditions for the dielectric stripes now have to be modified to

\[
\phi_{\text{str}}(x, z = 0) = \begin{cases} 0 & : \bar{a} < x < a , \\ A & : x < \bar{b} , \\ B & : x > b , \end{cases}
\]

where \( \tau(x) = 4\pi e n_0/e \) and \( A, B \) are constant potentials that will be defined below.

We impose the boundary conditions (14), (15) on \( dF/d\zeta \), where \( \phi_{\text{str}}(x, z) = 1\text{m} F(\zeta) \) and \( \zeta = x + iz \). We introduce as before the auxiliary function \( D(\zeta) \) (Ref. 23) to build \( f(\zeta) = iD(\zeta)dF/d\zeta \) such that \( f(\zeta) \) takes imaginary values everywhere on the \( x \) axis. Using the Schwarz equality (A5), we get in analogy to (A8): \( f(\zeta) = \tau |D(\zeta) + F(\zeta, \bar{b}, \bar{a}, a, b)| \). The function \( F(\zeta, b, \bar{a}, a, b) \) again must be chosen with the correct behavior at infinity, \( f(\zeta) \to 0 \) as \( |\zeta| \to \infty \), resulting in \( F(\zeta, \bar{b}, \bar{a}, a, b) \) given in (A5) for constant \( \tau \). The knowledge of \( F(\zeta, b, \bar{a}, a, b) \) immediately leads to the knowledge of the electric field in the depleted regions, \( z = 0, b < x < \bar{a}, a < x < b \),

\[
E_x = \tau \frac{F(x, \bar{b}, \bar{a}, a, b)}{D(x)} .
\]

The electric field created by the stripes, as one would expect, is singular at every edge (including the edge boundaries \( b \) and \( b \) of the 2DEGs).

The potential created by the dielectric stripes, \( \phi_{\text{str}}(x) \), after integration of \( f(\zeta)/D(\zeta) \) (Ref. 24) is found to be given by the expression (A11). The constants \( A \) and \( B \) are defined as potential differences \( A = \phi_{\text{str}}(b, 0) - \phi_{\text{str}}(\bar{a}, 0) \) and \( B = \phi_{\text{str}}(b, 0) - \phi_{\text{str}}(a, 0) \). From (A11) the expression for \( B \) turns
out to be
\[ B = \frac{\tau}{4} S_{k} \left( \frac{b - \bar{b}}{a - \bar{a}} + \frac{a - \bar{a}}{b - \bar{b}} \right) K(k') - \frac{\tau}{2} S_{k}^{+} E(k') , \] (17)
where the modulus of the elliptic functions is [transformed from \( k \) of (A1) to] \( k = S_{k}^{+}/S_{k}^{+} \) with \( S_{k}^{\pm} = [(b - \bar{a})(\bar{a} - \bar{b})]^{1/2} \pm [(\bar{b} - a)(\bar{a} - \bar{b})]^{1/2} \). The expression for \( B \) is invariant under \( b \leftrightarrow \bar{b}, \bar{a} \leftrightarrow a \), implying that \( A = B \). This constant represents the value of the potential at large distances from the gates.

B. Field of Gates and 2D Electron Gases

As in the previous sections, we assume that the metallic gates and the 2DEGs are coplanar. The boundary conditions for the potential of the metallic components now have to be modified to

\[ \phi^{el}(x, z = 0) = \begin{cases} V_{g} & : \bar{a} < x < a , \\ V_{1} = V_{2} - A & : x < \bar{b} , \\ V_{2} = V_{2} - B & : x > \bar{b} , \end{cases} \] (18)

\[ \frac{\partial \phi^{el}(x, z)}{\partial z} \bigg|_{z=0} = 0 : \bar{b} < x < \bar{a}, a < x < \bar{b} . \] (19)

The potential difference of the 2DEGs renders the \( \pi/2 \) folding transformations, used previously, not directly applicable. We circumvent this limitation and determine the field configuration in space by employing the following steps.

First, make an inversion transformation around a point \( s \) on the \( x \) axis under which the points \( a_{i}, i = 1, \ldots, 4 \) corresponding to \( b, \ldots, b \) of the \( \zeta = x + iz \) plane map to points \( I(a_{i}) \) as follows,

\[ \zeta_{1} = \frac{R^{2}}{\zeta - s} + P , \quad I(a_{i}) = \frac{R^{2}}{a_{i} - s} + P . \] (20)

\( R \), the radius of inversion, introduces an overall length scale. The potential field is scale invariant and independent of \( R \), however. \( P \) merely shifts the origin of the \( \zeta_{1} \) plane, which we take to be the middle point between \( I(\bar{b}) \) and \( I(b) \), see Fig. 3 (lower left). Finally we choose \( s \) such that the distances between the 2DEG edges and the metallic gate in \( \zeta_{1} \) space be equal, \( I(\bar{a}) - I(\bar{b}) = I(b) - I(a) \). This condition is resolved at two points, \( s_{1}, s_{2} \), from which only \( s_{1} \) is used below. The transformation in (20) inverts the space bringing the points \( \pm \infty \) to \( \mp i0 \) and \( \pm \infty \) to \( \pm 0 \). In \( \zeta_{1} \) space the gate lies in the outer regions and the dielectric stripes around the origin enclosing the 2DEGs. The 2DEG subsystems of potentials \( V_{1} \) and \( V_{2} \) are located, respectively, between \( I(\infty) \) and \( I(b) \) for \( V_{1} \), and between \( I(b) \) and \( I(\infty) \) for \( V_{2} \).

Second, we perform another conformal transformation mapping the new configuration to a box \( \pi/2 \) folding transformations at the points \( I(a_{i}), i = 1, \ldots, 4 \). This brings us in the \( \zeta_{2} \) plane,

\[ \zeta_{2} = \operatorname{sn}^{-1} \left( \frac{\zeta_{1}}{I(b)} \right) + \frac{K}{I(a)} \] (21)

where we have selected the multiplicative and the additive constants be 1 and \( K \), respectively, as shown also in the right panel of Fig. 3. The modulus of the Jacobi elliptic integral \( K \) is \( I(b)/I(a) \), which equals \( k = S_{k}^{+}/S_{k}^{+} \) of the Jacobi integrals contained in the expression for \( B \) of the previous section.

To fulfill the boundary conditions (19) of vanishing electric field along \( \hat{z} \) in the depleted regions in \( \zeta \) space, the shaded box of Fig. 3 (right) in \( \zeta_{2} \) space has to be part of the periodic configuration shown on the same figure, in which the electric field vanishes on the vertical borders of the shaded box.

The expression for the potential field in the new space can be calculated analytically to the close form (see Appendix B 1).

\[ \phi^{el}(\zeta_{2}, \bar{\zeta}_{2}) = \frac{\sqrt{V_{1} - V_{2}}}{\pi} \left( \frac{\pi}{\pi K(\zeta_{2}) + e^{-\sqrt{K}}} \right) \operatorname{Re} \left\{ i \theta_{1} \left( \frac{\pi K(\zeta_{2}) + e^{-\sqrt{K}}}{\pi K(\zeta_{2}) + e^{-\sqrt{K}} - \pi K} \right) \right\} . \] (22)

Here \( \theta_{1} \) is an elliptic theta function\( ^{10} \) and \( C \) is the reflection of the \( \pm \infty \) points of \( \zeta \) space in \( \zeta_{2} \) space, \( C = \zeta_{2}(I(\pm \infty)) \).

FIG. 3: Upper left: original potential configuration in space—metallic gate and 2DEG potential. Since \( V_{1} \neq V_{2} \) the distance of the 2DEGs from the gate is different. Lower left: after inversion around a point \( s_{1} \) the 2DEGs’ distances from the gate are equal. Right: forming a box configuration (shaded) by mapping the upper \( \zeta_{1} \) half-plane, which in turn maps the lower half-plane of the original \( \zeta \) plane \( ^{21} \). Application of the Schwarz mirroring principle about the vertical borders of the shaded box leads to a periodic structure along the \( x_{2} \) axis.
This field configuration can now be mapped onto the original plane.

Now we have the necessary ingredients to proceed on determining the functional dependence of the 2DEGs’ edge positions \( b, b \) on \( V_1 \) and \( V_2 \).

C. Edge-state Position as a Function of Potentials and the Influence of Metallic Gate on Interedge Interactions

Since the edges of the 2DEGs are mobile, their equilibrium position is reached at points where the \( x \) component of the electric field in the \( z = 0 \) plane of the overall system vanishes. Once again we use the mechanical equilibrium equation similar to Eq. (1):

\[
E_x(x, z = 0)|_{x = \bar{b}} = 0 , \quad E_x(x, z = 0)|_{x = \bar{b}} = 0 , \tag{23}
\]

and try to turn these conditions into a system of equations for the edge boundaries \( \bar{b} \), \( \bar{b} \). The \( x \)-component electric field at \( x \to \bar{b} \) and \( x \to \bar{b} \) in the \( z = 0 \) plane of both, the dielectric stripes, as well as the metallic components in principle are known. The calculations in this section consist primarily of a simplification of the expressions for the electric field of the metallic components at \( z = 0 \). We seek a reformulation of \( E^{\text{el}}_{x y} \) from the elliptic \( \vartheta_1 \) functions, of modulus \( \exp(-\pi K'/2K) \), to elliptic integrals of the same modulus as the ones of the expression for the potential \( B \) of Eq. (17) that is present in the potentials of the capacitor plates. For the sake of clarity we give some details here, but leave the rest to Appendix B.2.

First, for the electric field of the metallic components the relationship between \( E^{\text{el}}_{x y} \) and \( E^{\text{el}}_{y z} \) at the points \( \bar{z}_2 = 2nK + iy_2 \) [corresponding to points in the intervals \( x \in (\bar{b}, \bar{a}) \) and \( x \in (\bar{a}, \bar{b}) \)] is needed. Using the equalities \( (20), (21) \) one can show that at the points \( \bar{z}_2 = 2nK + iy_2 \), where the field does not have a horizontal component in \( \bar{z}_2 \) space, the Jacobian of the transformation \( \zeta \to \zeta_2 \) takes a simple form, and hence

\[
E^{\text{el}}_{x y}(x, \bar{z}_2) = E^{\text{el}}_{y z}(\bar{z}_2, \bar{z}_2) \frac{\partial \zeta_2}{\partial \zeta} \frac{\partial \zeta}{\partial \zeta} \bigg|_{x_2 = 2nK} . \tag{24}
\]

For the coordinate transformation \( (24) \), with the explicit form of \( s_1 \) from Ref. [25] one obtains:

\[
J(2 \to 0) = -i \frac{\partial \zeta_2}{\partial \zeta} \frac{\partial \zeta}{\partial \zeta} = -\frac{1}{2} \frac{S^+}{D(\zeta)} . \tag{25}
\]

The Jacobian of the transformation to the original space provides the expected square-root singularities for the \( x \) component of the electric field at the edge boundary points \( \bar{b} \) and \( \bar{b} \). The sign of the electric field is determined by the factor \( D(\zeta) \) along the line \( \zeta = x \to i0 \).

Second, the vertical component of the electric field in \( \bar{z}_2 \) space is given by

\[
\begin{aligned}
E^{\text{el}}_{y z}(\bar{z}_2, \bar{z}_2) = \bar{V}_1 - \bar{V}_2,
E^{\text{el}}_{x y}(\bar{z}_2, \bar{z}_2) = -\bar{V}_1 + \bar{V}_2,
\end{aligned}
\]

where the prime in \( \vartheta_1 \) denotes the derivative with respect to the first argument of \( \vartheta_1 \) and \( \bar{V}_0 = V_0 - \bar{V}_2 - (\bar{V}_1 - \bar{V}_2)C/2K \) was introduced.

The conditions \( (23) \) involve the fields \( E^{\text{el}}_{x y} \) at \( \bar{z}_2 = 0, 2K \), \( \arg \). With \( (210) \) to \( (212) \) and by using \( \bar{V}_1 \) instead of \( \bar{V}_1 \) and \( \bar{V}_0 = V_0 - B \) one gets for their symmetric and antisymmetric combinations,

\[
\begin{aligned}
E^{\text{el}}_{x y}(2K) - E^{\text{el}}_{x y}(0) &= -2 \frac{(V_1 - V_2)(b - \bar{b})}{\pi} S^+ \frac{S^+}{K'}, \tag{27}
E^{\text{el}}_{y z}(2K) + E^{\text{el}}_{y z}(0) &= -2 \frac{B + V_0}{K'} - 2 \frac{V_1 - V_2}{\pi} \frac{l}{S^+} \tag{28}
\end{aligned}
\]

where the length \( l \) is given by Ref. [24]. On the other hand, the field created by the charged dielectric stripes is obtained from \( (16) \). Inserting these field expressions and \( (17) \) into the difference and sum of fields obtained by multiplying \( (23) \) by \( D(x) \), we get

\[
\begin{aligned}
b + \bar{b} &= \frac{a + \bar{a}}{2} - \frac{V_1 - V_2}{\pi r} , \tag{29}
0 &= \frac{x'}{2(r - \bar{b})} - \frac{x'}{2} \frac{(S^+)^2}{K'} + \frac{S^+ V_0}{K'} + \frac{V_1 - V_2}{\pi l} \tag{30}
\end{aligned}
\]

with \( (S^+)^2 \leq (b - \bar{b})^2 \) and \( E' \leq K' \). Equations \( (29) \) and \( (30) \) define a complete system from which the dependence of the edge positions \( \bar{b} \) and \( \bar{b} \) of the 2DEGs on the voltages of their respective potentials can be found. We have solved these equations self-consistently numerically and have plotted results for \( b(V_1, V_2) \) for fixed \( V_0 \) in Fig. \( \textbf{[1]} \) The plot for \( b(V_1, V_2) \) is equivalent to \( b(V_1, V_2) \) under the exchange \( \bar{V}_1 \leftrightarrow \bar{V}_2, x \leftrightarrow -x \). In the limit when the voltages on the 2DEG subsystems are equal, \( V_1 = V_2 \), we have \( \bar{a} = -a, \bar{b} = -b \) and get \( S^+ = 2b \). The equations for the edge positions in this limit simplify, yielding an equation consistent with the earlier result \( \text{[Eq. (10)]} \) that was derived slightly differently in Sect. \( \text{[11]} \).

The quantity of importance in our calculations here is the
the edge positions from the gate is linear in agreement with the one shown in Fig. 16.

By increasing $V_1$ of the 2DEG subsystem on the left of the gate, the edge on the right-hand side approaches the gate, just as the other one on the left goes away from it. This signifies that interedge interactions in the presence of the metallic gate remain repulsive in nature.

sign of $\partial V_1 b / \partial V_2 b$. By increasing $V_1$, as can be seen in Fig. 3, $|b|$ increases, as does $b$ upon the increase of $V_2$. The graph in Fig. 5 shows that upon the increase of $V_1$, the 2DEG edge $b$, on the other side of the gate, approaches the gate as the other edge $b$ goes away from the gate. This signifies that interedge interactions remain repulsive in nature at any values of $V_1$ and $V_2$. At low values of $V_i - V_g$, $i = 1, 2$, instead $\partial V_i b / \partial V_j b \to 0$, signifying the tendency for the complete screening of interedge interactions from the gate. We conclude that the explanation of enhancement of top–bottom backscattering (the insulating behavior across the constriction) observed in the experiments Ref. 8 has to be related with other phenomena taking place at high gate voltages. We elaborate on this in the following section.

IV. STRUCTURE OF THE EDGE IN THE PRESENCE OF MAGNETIC FIELDS

The effect of strong perpendicular magnetic fields on the structure of the edge of a 2DEG is known from earlier work of Refs. 14,15,16, and 27. In the edge of a bulk integer $\nu = 1$, around points of $f = p/(2mp \pm 1)$ filling, for $p$ and $m$ integers, a hierarchy of FQH energy gaps exists. The filling fraction as usual is defined by $\nu = n_0 / 2\pi l_B^2$ as the number of electrons per flux quantum. With the introduction of the gaps, the potential in the 2DEGs is not constant throughout as in Sect. [11] As we move in the $x$ direction away from the edge boundaries, the potential varies in a discontinuous manner, jumping in value by $V_j$ each time we cross the location of a FQHE gap corresponding to filling $f$. The system therefore will tend to lower its energy if some electrons are relocated from higher to lower energies forming this way fractional-filling ISs around points of $f = p/(2mp \pm 1)$, each of which exhibits the FQHE. The energy profile across the edge varies in a step-like manner, being constant in the compressible metallic regions and increasing across the incompressible insulating stripes. Therefore application of a strong magnetic field will in principle break the edge of the 2DEG confined by a smooth external potential into a sequence of CSs and ISs. The widest ISs will be the ones with the largest gaps [see also Eq. (45) below] and these will be the ones realized in practice. In the case of integer fillings the role of the FQH energy gaps will be played by the much larger Landau level splitting. As a result the integer edges will lead to wider and more stable incompressible stripes. The positions of the incompressible stripes correspond to fractional fillings and in general follow equipotential lines parallel to the external gate (Fig. 6).

The largest charge gaps in the FQHE are known to occur at $f = 1/3$ and $2/3$ fractions, and in the following we calculate their widths. In general the position of a fractionally charged stripe of filling fraction $f$ can be found by substituting $n(x_{f}) = fn_0$ in Eq. (13) and solving it for $x_{f \pm}$. Such substitution leads to the $x_{f \pm}$ positions

$$x_{f \pm} = \pm b \left( \frac{1 - f^2 \bar{b}^2}{1 - f^2} \right)^{1/2}.$$  

(31)
Based on the estimate of Sect. III for \( b = 1.986\ a \) at \( V_1 - V_s = 0.674\ V \) and \( B = 6.5\ \text{Tesla},\ a = 25\ l_B \), we find
\[
x_{1/3\pm} = \pm 2.08\ a , \quad x_{2/3} = \pm 2.51\ a . \quad (32)
\]

In this section from the knowledge of \( V_f \)'s we calculate the widths of the sequence of CSVs and ISs on the edges. It is assumed that the metallic gates and the 2DEGs are coplanar. The electrostatics problem in the presence of a magnetic field is:\[45\]
\[
\phi'(x, z = 0) = \begin{cases} 
V_g & |x| < a , \\
V_1 + V_f & |x| > b',
\end{cases}
\]
\[
\partial\phi'(x, z) \bigg|_{z=0} = \begin{cases}
\frac{4\pi n_0}{e} & a < |x| < b , \\
\frac{2\pi}{e}(n_0 - n_f) & a' < |x| < b'.
\end{cases}
\]

In \[34\] a factor of two is missing when compared with \[3\]. This is due to the fact that the ISs are narrow when compared with the 2DEG distance from the surface of the semiconductor, and the electric field surrounding the ISs can be thought of as concentrated within the semiconductor. In \(33\) \( V_f \) is the voltage difference on the sides of the IS of filling fraction \( f \) that arises due to a discontinuity on the value of the chemical potential of electrons across the IS. \( V_f \) is given by \( V_f = \Delta \mu / e \), where for integer filling IS, \( \Delta \mu = e \omega_c \), whereas for fractional fillings \( f = p/q \), where \( q \) is an odd integer, it is given by \( \Delta \mu_f = q c_f e^2 / \epsilon l_B \). The constants \( c_f \) are \( c_{1/3} = 0.03 \) (Ref. \[27\] and references therein). The quantity \( c_f e^2 / \epsilon l_B \), the charge gap, is the energy necessary to create a pair of (particle–hole) quasiparticles of charge \( e/q \). The biggest charge gaps are known to occur at \( f = 1/3 \) and \( 2/3 \) fillings. In the following, therefore, due to the smallness of the charge gaps, the ISs at other fractions will not be considered. For \( f = 1/3 \) and \( f = 2/3 \) we have \( V_f = 1.026\ mV \). As in Ref. \[15\] the solution of the Laplace equation in the half-plane \( z < 0 \) can be found as a sum \( \phi = \phi_{\text{rel}} + \phi_{\text{str}} + \phi_0 \) of harmonic functions \( \phi_{\text{rel}} \), \( \phi_{\text{str}} \), and the zero-magnetic-field solution \( \phi_0 \). We model the boundary conditions for \( \phi_{\text{rel}} \) as the ones of the metallic 2DEGs of Sect. III and those of \( \phi_{\text{str}} \) as the ones belonging to the corresponding charged dielectric stripes. The boundary conditions for \( \phi_{\text{rel}} \) then read
\[
\phi_{\text{rel}}(x, z = 0) = \begin{cases} 
0 & |x| < a', \\
\tilde{V}'_1 & |x| > b',
\end{cases}
\]
\[
\partial\phi_{\text{rel}}(x, z) \bigg|_{z=0} = 0 , \quad a' < |x| < b',
\]
where \( \tilde{V}'_1 = V_f - A(a', b') \). In analogy to the boundary conditions \(6\), \(7\) for \( \phi_{\text{str}}(x, z) \) the potential \( \phi_{\text{str}}(x, z = 0) \) of the charged stripes formed at the edge profile fulfills
\[
\phi_{\text{str}}(x, z = 0) = \begin{cases} 
0 & |x| < a', \\
A(a', b') & |x| > b',
\end{cases}
\]
\[
\partial\phi_{\text{str}}(x, z) \bigg|_{z=0} = \frac{2\pi e}{e} n(x) - n_f , \quad a' < |x| < b'.
\]

The only difference with the previous case is the \( x \) dependence of the charge density of the stripes extended in \( a' < |x| < b' \) on the sides of \( x_f \). Qualitatively, a presence of the potential difference \( V_f \) on the sides of \( x_f \) will tend to push away the sides, and the remaining stripes will provide the traction for the electrostatic equilibrium at the points \( a' \) and \( b' \). The fact that the stripes are now charged both positively on the left of \( x_f \) and negatively on the right of \( x_f \) will result in a reduction of the traction at small \( V_f \) as compared to the case of uniform positively charged stripes provided by the dielectric of Sect. IIIA leading to a rapid opening of ISs at small \( V_f \). Nevertheless, as \( V_f \) increases the positive part of the charged stripe will become strong, leading to an eventual slowed-down increase of the width of the ISs as a function of \( V_f \) at higher values of \( V_f \).

Since the values of the charge gaps are relatively small when compared with \( |V_1 - V_s|, V_f/|V_1 - V_s| \approx 10^{-3} \) in Ref. \[6\] the charge density in the region of ISs, \( \tilde{N}(x) = n(x) - n_f \), can be expanded around the points \( x_f \). The even function \( n(x) \) is approximated by one even polynomial \( \sum_{n=0}^{N} \frac{N(N-1)}{2} x^{2n} \) matching \( n(x) \) up to the \( N \)th derivative at \( x_f \). In the following the notation \( \tau(x) = (2\pi e/\epsilon) N(x) \) is used. In electrostatic equilibrium the positions of the IS edges are obtained by requiring the electric field created by the metallic components extended outside the intervals \( a' < |x| < b' \) and the charged stripes, be zero at all points \( \pm a', \pm b' \).

In principle the calculations for the electric fields \( E_{\text{rel}}(x) \) and \( E_{\text{str}}(x) \) satisfying the boundary conditions \(35\)–\(38\) for \( \phi_{\text{rel}}(x) \) and \( \phi_{\text{str}}(x) \), are similar to the ones of Sect. III. The
only difference arises from the fact that the charge density of the stripes has now an $x$-dependent profile. In the following we describe briefly the calculations for $E^\text{str}(x)$, leaving details of the calculation in Appendix A. By representing the potential $\varphi^\text{str}$ as the imaginary part of an analytic function $F$, and using the boundary conditions for its derivative, we relate this to the function $f$, $f(\zeta) = iD(\zeta)(dF/d\zeta)$, $\zeta = x + iz$, which in turn after using Eq. (A3) takes the form $f(\zeta) = \tau iD(\zeta) + \mathcal{F}(\zeta)$, where the differences with the previous case come primarily in the form of $\mathcal{F}(\zeta)$. $\mathcal{F}(\zeta)$ will depend on the form of the $D(\zeta)$ as well as on $dF/d\zeta$, i.e., on the function $\tau(x) = \sum_n \tau_n x^n$ of $[38]$, where $n$ will be the order of our approximation. The general form of $\mathcal{F}(\zeta) = \sum_n \mathcal{F}_n$ results form the knowledge of

$$\mathcal{F}_n(\zeta, a', b') = \tau_n \zeta^{n+2} \sum_{k=0}^{[n+2/2]} \mathcal{C}_k \frac{b^{k+1}}{(2\alpha b')^{2k}} (a' b'/\zeta^2)^k,$$

(39)

where $\mathcal{C}_k (t)$ is a Gegenbauer polynomial, and $[\cdot]$ represents the integer part of a real number. $\varphi^\text{str}$ is found straightforwardly by integrating $f(\zeta)/D(\zeta)$.

In the following we will calculate the cases of $n = 2$ and $n = 4$ of the approximation.

1. Second-Order Expansion

Here we take the lowest order of approximation for $n(x)$ of (13), i.e., $N = 1$,

$$n(x) \approx n_f + \frac{n_f'}{2x_f} (x^2 - x_f^2),$$

(40)

with $n_f' = \partial_x n(x)|_{x_f}$. The $x$ dependence of the charge density of the stripes extended in the intervals $a' < |x| < b'$ will change the results slightly as compared with the ones of Sect. III. The result in this case for the previously introduced $F$ function, whose imaginary part gives the potential, is obtained by the integration of $[\overline{A8}]$ and given in $[A11]$. For $A$ in Eq. (37) we get

$$A^{(1)}(a', b') = R^{(1)} b' \left(\frac{1}{2}(1 + k^2)K' - E'\right)$$

$$+ \frac{1}{8} \frac{1}{2}(1 + k^2) \left[\frac{b}{2k^4} + 2 \bar{k}^2\right] K' - (1 + \bar{k}^2) E']$$

(41)

with $R^{(1)} = -x_f \tau/2, \tau = \tau/2x_f, \tau = 2\pi e n_f'/\epsilon$, and $\bar{k} = a'/b'$. As in Sect. III the value of the potential constant $A(a', b')$ is specific for our calculations. Requiring for the total value of the electric field at both edges of the stripe to vanish, we obtain two equations, one of which reads

$$\tau'(b'^2 - a'^2) \left(\frac{x_f}{4} - \frac{a'^2 + 3b'^2}{16x_f}\right) = \frac{V_f - A^{(1)}(a', b')}{K' b'},$$

(42)

and for the other one $a'$ and $b'$ are interchanged on the left-hand side. Their numerical solution would lead to the stripe width $\Delta a_f = b' - a'$. From the difference of $[42]$ with its left-hand side $(a' \leftrightarrow b')$ interchanged a constriction for the edge boundaries of the ISs is gained,

$$a'^2 + b'^2 = 2x_f^2.$$  

(43)

$x_f$ is away from the middle point between $a'$ and $b'$ shifted toward $b'$, a feature that becomes more pronounced with wider ISs. Taking the sum of $[42]$ with its left-hand side $(a' \leftrightarrow b')$ interchanged using also $A^{(1)}(a', b')$ from $[41]$ we obtain as second equation for the position of the stripe boundaries $a'$ and $b'$:

$$\left(1 - \frac{k'^2}{2}\right) E' - k'^2 K' = 3 \left(1 - \frac{k'^2/2}{2}\right) \frac{V_f}{\tau' x_f^2}.$$  

(44)

At the small values of $V_f$ considered here the above equality therefore will be resolved at values $\bar{k} \rightarrow 1 (b' - a' \ll a')$. In this limit using expansions of $E'$ and $K'$ for small $k'^2$ for $\bar{k}$ we get $\bar{k} \approx 1 - 2/(\tau' x_f^2)(2V_f/\tau' x_f)^{1/2}$, which gives

$$\Delta a_{1/3} \approx \left[4\epsilon V_f/\pi^2 c \partial_x n(x_{1/3}) \right]^{1/2} / 2.$$

The stripes will be wider for bigger gaps and around points with smallest charge gradient. Therefore the ones located further from the edge boundary (inner) ISs will be wider than the ones located closer to the boundary (outer ones).

Because asymptotically $x_f$ increases linearly with $b$, $n_f'$ decreases accordingly, and so the term $1/(\tau' x_f^2)$ from $[44]$ decreases inverse linearly. Therefore its solution has to be taken in the limit $\bar{k} \rightarrow 1 (b' - a' \ll a')$. The widths of ISs, $\Delta a_f = b' - a'$, turn out to be

$$\Delta a_f \approx \frac{\epsilon}{\pi en_0} \left(\frac{1}{\pi (1 - f^2)} \ln \left[\frac{(V_1 - V_6)}{(V_1 - V_6)}\right]\right)^{1/2},$$

(45)

proportional to $(V_1 - V_6)^{1/2}$, up to logarithmic corrections.

The dependence of the IS widths is also proportional to $V_1^{1/2}$, in sharp contrast to the previous case, of the uniformly charged stripes. Such a behavior has its origin in the fact that the stripes have a charge profile in $x$ direction. Plots of the dependence of $\Delta a_f$ on $V_1 - V_6$ and $V_f$, obtained by the numerical solution of $[43]$ and $[44]$, are shown in Figs. 7 and 8 (top).

For the narrower outer ISs we find a width of $\approx 165 \text{ Å} - 180 \text{ Å}$. The wider inner 2/3 channels, of size $\approx 340 \text{ Å} - \approx 380 \text{ Å}$, are $\approx 800 - 1000 \text{ Å}$ away.

For the additional charge, induced by the magnetic field in forming the ISs at $|x| > b$, we find

$$\Delta n = \frac{n_f'}{2x_f} \left(\frac{a'^2 + b'^2}{2} - x^2 + \left\{\frac{D'(x)}{|D'(x)|} : |x| > b' \right\} - \left\{\frac{-D'(x)}{|D'(x)|} : |x| < a' \right\}\right),$$

where $D'(x)$ is obtained by $D(x)$ of Sect. III by the substitution $a \rightarrow a'$ and $b \rightarrow b'$. A plot, on a magnified scale, of $\Delta n$ along the system of two edges is shown in Fig. 8 (bottom).
2. Fourth-Order Expansion

Here we require in the approximation also the second derivative of $n(x)$ at $x_f$ to coincide,

$$n(x) \approx n_f - \frac{1}{8} (5 x_f n_f' - x_f^2 n_f'' + 1) \left( \frac{n_f'}{x_f} - n_f'' \right) x^2 + \frac{1}{8} \left( \frac{n_f'}{x_f} - \frac{n_f''}{x_f} \right) x^4,$$

(46)

where $n_f', n_f'' \equiv \partial^2 n(x)/\partial x^2$. The equations for the stripe edge positions will be given by a set of equations similar to (42), where the $\tau_i^{(2)}$ denote the coefficients of $\tau(x) = \sum_{i=0}^{N} \tau_i^{(N)} x^{2i}$ (with $N = 2$ here) in Eq. (46):

$$b'^2 - a'^2 = \frac{\left( \tau_2^{(2)} + \tau_4^{(2)} \right) a'^2 + 3b'^2}{4} + \tau_4^{(2)} a'^4 + 2a'^2 b'^2 + 5b'^4,$$

$$A_f - \frac{b'}{K} = \frac{\tau_2^{(2)} (a', b')}{}.$$

(47)

where again the second equation follows by the exchange $a' \leftrightarrow b'$ on the left-hand side of Eq. (47). Difference and sum of these equations are given in Appendix A2. Their numerical solution is shown in Figs. 9(top) and 11. A solution exists for not too large values of $V_f$ because of $n_f' > 0$ and $n_f'' < 0$, hence $\tau_2^{(2)} > 0$ and $\tau_4^{(2)} < 0$. This is because the fourth order of approximation of $n(x)$ has an upper bound (as the original profile Eq. 13), but presumable at slightly smaller values) in contrast to the second order (40), which is unbounded at large values. Therefore, a solution for Eq. (47) can be found for any positive $V_f$, whereas for Eq. (47) it cannot.

In fourth order the additional charge $\Delta n$ is given by

$$\Delta n = \frac{\tau_2^{(2)}}{\tau_0} \left( \frac{a'^2 + b'^2}{2} - x^2 \right) + \frac{\tau_4^{(2)}}{\tau_0} \left( \frac{3a'^4 + 2a'^2 b'^2 + 3b'^4}{8} - x^4 \right)$$

$$+ \frac{1}{\tau_0} \left( \tau_2^{(2)} + \tau_4^{(2)} \right) \left( x^2 + \frac{a'^2 + b'^2}{2} \right)$$

$$\{ |D'(x)| : |x| > b' \} - \{ |D'(x)| : |x| < a' \}$$

with $\tau_0 = 2 \pi e/\epsilon_0$, a plot of which on a magnified scale along the edge is shown in Fig. 9(bottom).

In the following we give comparisons of sizes of incompressible- and compressible-stripe widths between calculations of the second and fourth order. These two forms of approximation for $\Delta n$ are plotted in Fig. 10.

The fourth-order calculations depart from the second-order ones only significant when $V_f/(V_1 - V_g) \approx 0.01$, Fig. 11. The experimental values of the ratio of these voltages are $V_f/(V_1 - V_g) \approx 0.0015$, and so the fourth order will not give appreciable corrections as shown in the table below.
level fillings when noticeable contributions in the case of edges of higher Landau integer filling discussed here. This should be expected to lead to smoother edges and wider ISs.

V. EXPERIMENTAL IMPLICATIONS

In the following we discuss implications arising from, respectively, the composite multichannel edge structure surrounding the split-gate constriction at high $|V_g|$ in QH systems at integer bulk fillings, and the inhomogeneously interacting non-chiral LL system in which they turn to at low $|V_g|$, in transport experiments. Their observation would offer a further proof of the consistency of the model that we propose to explain the nature of the metal–insulator transition observed in Ref. [6].

At low $|V_g|$, measurements performed over a broad range of filling fractions $1/3 \leq \nu \leq 1$ (Refs. [3,10,11]) consistently observe a QP backscattering suppression across a constriction. At these voltages the separation of counter-propagating edges under the gate is small and consequences of interedge interactions correspondingly are expected to be most significant. The mechanism that we proposed therefore in Ref. [12] and applicable for experiments where $\nu = 1$, attributes backscattering suppression to interactions near the point contact. This can be most easily understood by regarding the edges on opposite sides of the line junction as counterpropagating channels of a non-chiral LL defined on the constriction. These 1D systems form an inhomogeneous LL with parameter $K < 1$ in the junction region (enforcing relevancy of left–right electron tunneling or suppression of top–bottom QP tunneling) and $K = 1$ in the remaining portions of the edges. These systems have properties that can be observed in experimental studies as we elaborate in Sect. [V B] below.

At high $|V_g|$ it is found in Sect. [III] that interactions across the line junction cannot lead to the opposite phenomena of enhancement of QP backscattering. We explain below that in the reconstructed edge at high $|V_g|$ relevant fractionally charged QP tunneling processes arise and an insulating behavior is to be expected. Our proposal for the explanation of experimental observations at high $|V_g|$ is captured by the schematic illustration presented in Fig. [12].

We comment in the following also on the features one should expect from noise experiments on these systems at high and low $|V_g|$.

| $\nu = 1$ | $b = 4964 \text{ Å}$ | $b = 7649 \text{ Å}$ |
|---------|------------------|------------------|
| $V_g = -0.674 \text{ V}$ | $V_g = -0.674 \text{ V}$ |

The fourth-order approximation, however, is expected to give noticable contributions in the case of edges of higher Landau level fillings when $V_f$ is one order bigger than the case of the integer filling discussed here.

If the voltages $V_f$ were on the order of Landau level splitting (about 10 times larger than the current $V_f$), which is the case of edges of higher integer filling fractions, then the IS widths in 2nd order of approximation for $f = 1/3$ and $f = 2/3$ had a size of 519.6 Å and 1071 Å, respectively. There the 4th order would lead to sizable effects, leading to 582.6 Å and 1106 Å, respectively, cf. also Fig. [11].

Because $b$ grows almost linearly with $\alpha$, the changes of the widths of the ISs are rather moderate as $\alpha$ is varied.

In experiments the split gates are slightly out of the 2DEG plane ($\approx 10 l_B$ off plane in $z$ direction). As a consequence the gates provide a smoother confining potential than the coplanar case examined here. This should be expected to lead to smoother edges and wider ISs.

A. High Gate Voltage

Detailed calculations in Sect. [IV] found that for the geometry of the experimental system and the values of $|V_g|$ that are used, the 1/3 and 2/3 ISs are of widths $2l_B$ and $4l_B$, respectively, separating CSs of sizes $2l_g$ and $10l_B$, respectively. These ISs are wide when compared with the width of electron wave functions $l_B$ for the noninteracting case but less than $l_B$ for the interacting one in lowest Landau level states. Therefore wave functions for electrons in guiding centers localized in front of each other on opposite sides of the ISs, have an

![Diagram](https://via.placeholder.com/150)
overlap of the order of $e^{-\Delta \alpha_{1/3}/\hbar}$, where $\Delta \alpha_{1/3}$ is the width of the narrower 1/3 IS, leading to small inter-compressible-channel tunneling amplitudes. Moreover, wide ISs enforce an effective statistics for the fluctuating CS channels similar to the one characterizing the $\nu = 1/3$ edges.\cite{Pierre2000}

In this paper, therefore, we propose the model depicted schematically in Fig. 12 as the one that develops in the experiments at high $|V_g|$ and that explains the insulating behavior across the constriction. In the absence of interchannel Coulomb interactions, the LL formed between $\nu = 1/3$ channels at the line junction anticrossing the Hall bar is equivalent to a LL along the junction at filling $1/\nu$, a reflection of quasiparticle–electron duality in tunneling processes. The flow of $G$ from high to low $V$ can be described as follows: Starting with an open constriction (Fig. 12), slowly turning off $V$, the system flows from relevant quasiparticle backscattering, suppressing the source-to-drain conductance according to $G - \nu e^2/h \sim -V^{2\nu - 2}$, to a closed constriction with irrelevant electron tunneling with $G \sim V^{2\nu - 2}$, unable to open the constriction at small $V$. In the absence of interactions the system is insulating at low bias with $I-V$ characteristics of a QH system of bulk $\nu = 1/3$. The $I-V$ evolution being reminiscent of a bulk filling 1/3 was indeed one of the puzzles of the experiments.\cite{Seifert2001}

The chiral channels constituting the integer edge are in close proximity, nevertheless, and interactions cannot be neglected. We show now that Coulomb interactions between channels do not influence the tunneling exponents. In the following we adopt a model of coupled chiral channels, each described by a single chiral LL, an assumption best fulfilled by the outermost sharper channels. The excitation spectrum of this model is composed of two slow neutral modes and a single faster charged mode, each extended on all channels. The action that accounts for the interchannel Coulomb interactions of same-chirality branches is

$$S = \sum_{i,j=1}^{3} \int dx \, d\tau \left[ \frac{2i\delta_{ij}}{\nu} \partial_\tau \phi_i \partial_x \phi_j + V_{ij} \partial_\tau \phi_i \partial_x \phi_j \right],$$

where $\phi_i, i = 1, 2, 3$, are bosonic fields associated with each CS on one side of the gates. $\partial_\tau \phi_i = -\sqrt{\pi} \rho_i(x)$ is the charge density of channel $i$ and quantization is assumed based on the commutation relations $[\rho_i(x), \rho_j(x')] = -(1/2\pi) \delta_{ij} \partial_x \delta(x-x')$. We can assume in general the intra-channel interactions $V_{ii}$ for $i = 1, 2, 3$ to be different, and the interchannel ones to fulfill $V_{ij} = V_{ji} \neq V_{ii}$. The diagonalized action can be written as

$$S = \sum_{i=1}^{3} \int dx \, d\tau \left[ \frac{2i}{\nu} (\partial_\tau \varphi_i)(\partial_\tau \varphi_i + \lambda_i(\partial_x \varphi_i)^2) \right],$$

where $\varphi_i = M_{ij}\phi_j$ and $\lambda_i$ are eigenvalues of $V$. Since all channels are of the same chirality, the interaction-dependent $M$ matrix is unitary, $(M^\dagger M)_{ij} = \delta_{ij}$, as opposed to the case corresponding to the presence of opposite-chirality channels in which case $(M^\dagger M)_{ij} = J$. The matrix elements of $J$ are $(J)_{ij} = \delta_{ij} \text{sign}(J)$ and $J$ contains at least one element of opposite sign compared to the rest, corresponding to the opposite-chirality channels present. This will result in $(M^\dagger M)_{ij} \neq \delta_{ij}$, affecting scaling exponents of tunneling processes. The correlation functions between electrons of branch $i$, $R^\text{rel.}_i(\tau) \sim e^{\sqrt{2\pi \rho_i(\nu)}},$ have the form

$$\langle R^\text{rel.}_i(\tau) R^\text{rel.}_i(\tau') \rangle \sim \prod_{j=1}^{3} (\lambda_i(\tau - \tau'))^{-2(M^\dagger M)_{ij}/\nu},$$

and the scaling dimensions are $d_i = \sum_j (M^\dagger M)_{ij}/\nu = 1/\nu$, irrelevant for $\nu = 1/3$ and unaffected by the interactions.

Another point to consider is the question of stability of the channel structure under interchannel tunneling processes mediated by impurities along the edge. For repulsions $V_{ij} = V \leq V_{ii} = U$, the action is diagonalized in terms of two neutral and one charged field. At low energies the neutral fields tend to antilock charge densities in the consecutive channels leading to an oscillatory charge density profile across the edge (in direction), in analogy to semi-classical results obtained in Ref. 12.

Interchannel tunneling processes lead to the chiral sine-Gordon model (in $\sqrt{8\pi \rho_i}/\nu$, $n = 1, 2$, for neutral fields. (In fact this does not depend on $V_{ij}$.) The cos operator is relevant only for $\beta^2 \leq 16 \pi^2$, a condition not realized in these systems since $\beta^2 = 8\pi/2$ and $\nu = 1/3$.

The multichannel edges that appear in the insulating state at high $|V_g|$ and high $V$ offer a realization of models suggested in recent papers by Kim et al.\cite{Kim2001} where finite-frequency current-noise experiments measuring cross-current correlations could observe the fractional statistics of QPs participating in the tunneling processes. The phase of the relative wave function of two QPs picked up upon their exchange can be observed in the proposed noise experiments if their exchange is mediated by a third channel. The systems studied in this paper provide such a channel naturally. Care, nevertheless, must be taken to localize the tunneling processes to a small region at, for instance, near the constriction as depicted schematically in Fig. 13 by placing additional gates to increase the distance of the edges along the rest of their lengths.

As $|V_g|$ is lowered we expect the 1/3 IS channel to eventually close with the remaining 2/3 IS to extend under the gate. At large bias, the same argument as in high $|V_g|$ for the conductance applies, with $G - \nu e^2/h \sim -V^{2\nu - 2}$ and $\nu = 2/3$.\cite{Khalaf2002}
At low $V$, however, Coulomb interactions between opposite-chirality channels as discussed in Ref. [12] are expected to be strong leading to perfect transmission through the constriction and exhibiting the symmetry $\nu = 1/3 \leftrightarrow \nu = 2/3$ between the low and high $|V_g|$ observed in the experiments of Ref. [6].

One point that needs further comment here is the necessity of comparing this multichannel edge description with the one of the Hall systems of 2/3 bulk filling which is known to contain counterpropagating modes [35] when electron correlations are taken into account. Based also on recent density functional theory (DFT) calculations on the edge structure in QH systems of integer filling, around an antidot, such modes do not seem to arise at the edges of 2/3 ISs formed at the integer edge. Therefore our description, we believe, holds even if electron correlations are taken into account.

### B. Low Gate Voltage

The experimental findings of suppression of backscattering between the top and bottom edges in QH systems with gate-created constrictions at small values of $|V_g|$, whether at bulk filling $\nu = 1/3$ or at integer filling $\nu = 1$, according to our model, is the consequence of strong Coulomb interedge repulsions across the junction region. Features that can be best exploited for a consistency check of this proposal (of interest also in the broader context of observation of the LL model) are the ones that depend on the LL parameter $K$. The ones that we discuss here are two: a $K$-dependent oscillatory behavior of $I-V$ [36] and the formation of a particle–hole order parameter in the junction region.

The interedge Coulomb interaction in systems of concern here is much stronger in the junction region than on other portions of the edge. Therefore, in these systems the interactions define a piecewise Fermi velocity $v_F$ along the length of the non-chiral LL, formed by the counterpropagating edges on the opposite sides of the junction, $v_F = v_0^2/K$ in the junction region and $v_0^2$ in the other portions of the edge. Discontinuities in the value of $v_F$, on the other hand, lead to physically observable consequences in transport experiments. Namely, the requirement of continuity of the current at all points along the LL leads to the phenomena of partial Andreev reflections [37] of edge waves at the interaction inhomogeneity boundaries ($x = \pm L/2$ or $x = L/2 \pm w$, where $L$ is the perimeter of the QH edge and $w$ the length of each gate, Fig. [14]). On the other hand, the finite length of the QH line junction introduces a time (or frequency $\omega_{\nu L} \sim v_F/(2w)$) scale; the time for the edge waves reflected from the impurity to move to the junction boundary, where they incur further reflection, and come back again. The superposition at the point contact of these multiply reflected waves and the incoming ones can be tuned to constructive or destructive interference by, either a variation of strength of the Coulomb interactions (thus $v_F$ and $\omega_{\nu L}$) that can be tuned by changing the separation distance between the left and right edges, or by a variation of the length of the gates, leading to oscillatory behavior of the frequency $\omega \sim \omega_{\nu L}$ of the current transmitted through the constriction. The intensity and amplitude of these oscillations, depending on the amplitude of the multiple reflections of the edge waves, varies as a function of $K$, too. Oscillatory behavior in $I-V$ was observed in fact in the experiments [36] although a detailed analysis of its frequency dependence on junction length $\Lambda = 2w$, interaction strength, and most importantly a distinction from oscillatory behavior originating from other sources, unrelated with the presence of electron correlations in the systems (like Fabry–Pérot ones [38], etc.), for purposes of interest here remains to be done.

The other point of physical importance that arises due to electronic correlations in the junction region, is the formation of the particle–hole order parameter there:

$$\hat{O}(x, t) = R^{-1\dagger}(x, t)R^{-1\dagger}(-x, t) \quad (51)$$

The origin of these phenomena is the drag effect induced by a propagating charge density on one side of the barrier to the other side to which it is coupled by Coulomb interactions. Formally this appears in the splitting of the bosonic fields into left–right movers that physically extend on both edges. The Hamiltonian as usual is described in terms of charge density fluctuations $\hat{H}(x, x') = \rho(x)U(x, x')\rho(x')$, where $x, x'$ coordinates along the perimeter of the edge and $U(x, x') = \delta^2 E/\delta \rho(x)\delta \rho(x')|_0$ is the interaction kernel. Interedge interactions at low $|V_g|$ are of Coulomb type, $V_0(x) = e^2/(\epsilon|\mathbf{x}|^2 + \alpha|\mathbf{p}|^2)^{1/2}$ and are concentrated only on the region of the gates, $x \in (L-w, w)$ and $x \in (L/2-w, L/2+w)$, where $L$ is the edge perimeter length, $\alpha$ is a dimensionless constant of order one and serves as a short-distance cutoff parameter. Interactions on the same side of the barrier, $V_s(x)$, are also of Coulomb type. Here of importance is the exponent of the expected algebraic decay with time of $\langle \hat{O}(x, \tau)\hat{O}(x, 0)\rangle$, which we calculate numerically. To this end the Hamiltonian is diagonalized in momentum space with matrices $M^\dagger M$, such that $M^\dagger J M = J$, where matrix $J$ has elements different from zero only along its main diagonal, unity in absolute value but half of them being negative. The size of the matrices chosen in our numerics is of the order of hundreds. Using the identity of Ref. [41] for the scaling dimensions $d$ of the particle–
hole order parameter, we obtain
\[ d = -\frac{1}{2} \frac{4\pi}{\nu^2} \left\{ \frac{1}{L} \sum_{k,m=-\infty}^{\infty} \frac{1}{2\sqrt{|q_k q_m|}} 8 \sin(q_k x) \sin(q_m x) \right\} M(q_k, q_l) \left[N(q_l; \tau) - N(q_l; \tau')\right] M^\dagger(q_l, q_m), \] (52)

where \( q_k = 2\pi k/L \) with \( k \) integers \( k = 0, \pm 1, \pm 2 \ldots \) (periodic boundary conditions were assumed) and \( N(q_l; \tau - \tau') = \exp\{-\lambda_q |\tau - \tau'|\}/2 \) and \( \lambda_q \) are energy eigenvalues (eigenvalues of \( JH \)). Numerical results for the scaling dimensions of the particle–hole order parameter are shown in Fig. 14 (top).

In cases of closed constrictions, calculations can be done similarly. It is expected that left–right electron tunneling in the closed constriction limit is dual to the top–bottom interedge tunneling of the open constriction limit. In this case correlations of constituent operators have to be evaluated on each separate side of the gate. In case the interactions are over an extended region, the \( \lambda_{\pm}(q) \), where \( \pm \) refers to the left and right Hall system on the left and right of the gates, each of filling \( \nu_1 \) and \( \nu_2 \), are given by

\[
\lambda_{\pm}(q) = \left( \left[V_s^2(q) \left(\frac{\nu_1 + \nu_2}{2}\right)^2 - \nu_1 \nu_2 V_s^2(q)\right]^{1/2}
\pm \frac{V_s(q)}{2} |\nu_1 - \nu_2| \right) |q|. \] (53)

If \( \nu_1 = \nu_2 \) then \( \lambda_{\pm}(q) \equiv \lambda(q) \), with \( \lambda(q) = \nu[V_s^2(q) - V_{\nu,\nu}^2(q)]^{1/2} |q| \). In general, however, calculations for eigenvalues have to be done numerically.

The particle–hole order parameter is a relevant operator in the interaction region and it persists to be so even in a small interval outside this region, see Fig. 14. The relevance of this operator depends on the strength of the interactions. Experiments probing the distribution of charge of waves incident on the line junction like the ones discussed in Ref. 43, which can lead to the observation of the particle–hole order in and around the gate region, can possibly measure \( K \) as well as its \( V_s \) dependence.

VI. CONCLUSIONS

In the current paper we have explored the reasons for the occurrence of the insulating behavior at high \( |V_s| \) at a constriction created by electrostatic gates in a 2DEG in which the integer QHE has been established. First we examined the nature of interactions between edges in the constriction region. More precisely, we answered the question of how image charges appearing on the gates influence the interedge interactions. We find that at low \( |V_s| \) (small 2DEG–gate distances) the interedge interactions are well screened. At large values of \( |V_s| \) a sign reversal of interactions does not occur; interactions remain repulsive in nature at any voltage of 2DEG subsystems. Here the analytic electrostatic calculations have been done exactly with no approximations. We then studied the structure of the gate-induced edge in the presence of magnetic fields. Smooth edges have an instability toward formation of compressible and incompressible stripes, around points of fractional (or integer at higher) fillings. It turns out that the experimental geometry and values of gate voltages used in Ref. 6 lead to formation of wide ISs whose presence as shown in Fig. 12 can lead to enhanced top–bottom fractional-charge backscattering or, equivalently, to a suppression of left–right electron tunneling through the constriction. Moreover, the \( G-V \) characteristics of constriction tunneling are expected to be similar to the one of bulk fillings 1/3, as observed in the experiments. The consistency of models proposed here can be verified, at high \( |V_s| \), in addition to noise experiments commented on in the last section, also by equilibration experiments similar to those of Alphenaar et al. One can inject current on one channel of the integer bulk edge by the use of additional metallic gates that separates only the outermost channel, for instance, bringing it in contact with a current source. One can measure its redistribution in time as well as its splitting as it passes through the point-contact region. At low \( |V_s| \), properties of the emerging inhomogeneous LL (discontinuity in value of Fermi velocity) with its tunability of interaction strength by the voltage of the gate as well as the finite size of the junction region can be exploited in consistency verification experiments. The \( K \)-dependent oscillatory behavior of \( I-V \), and the particle–hole order parameters were proposed as signatures to be observed in the experiments.
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Appendix A: Potential Configuration of Charged Dielectric Stripes

1. General form of charge distributions

A 2D potential can be represented as the imaginary part of an analytic function $F(\zeta)$ with $\zeta = x + i z$. For the derivative $dF/d\zeta = \partial_x \text{Im} F + i \partial_z \text{Im} F$, holds and therefore the components of the electric field are

$$E_x = -\text{Im} \left[ \frac{dF}{d\zeta} \right], \quad E_z = -\text{Re} \left[ \frac{dF}{d\zeta} \right]. \quad (A1)$$

The boundary conditions of Sect. II are applied to $\text{Im} F = \phi^\text{str.(x, z)}$. In the depleted region there is

$$\frac{\partial \text{Im} F}{\partial z} = \text{Re} \left[ \frac{dF}{d\zeta} \right] = \tau(x), \quad a < |x| < b. \quad (A2)$$

Since the function $\phi^\text{str.}(x, z)$ is constant along the $x$ axis outside the above intervals (at $z = 0$), $E_x(x, 0) = 0$, i.e.,

$$\frac{\partial \text{Im} F}{\partial x} = \text{Im} \left[ \frac{dF}{d\zeta} \right] = 0, \quad |x| < a \text{ or } |x| > b. \quad (A3)$$

Now another function, $D(\zeta)$, is introduced whose imaginary part is known everywhere along the $x$ axis. From (A2), (A3) one knows $\text{Re}(dF/d\zeta)$ in part of the real $x$ axis, $a < |x| < b$, and also $\text{Im}(dF/d\zeta)$ in the remaining part of the $x$ axis. Therefore, if one multiplies this function with another, known one, which is purely imaginary in the intervals $a < |x| < b$ and real otherwise, then a new function $f(\zeta)$ is built whose imaginary part one knows everywhere along the $x$ axis.

Such an auxiliary function is $i[(x^2 - a^2)(b^2 - x^2)]^{1/2}$. Therefore, the new function whose imaginary part is known everywhere along the $x$ axis, is given by

$$f(\zeta) = iD(\zeta) \frac{dF}{d\zeta}, \quad D(\zeta) = [(\zeta^2 - a^2)(b^2 - \zeta^2)]^{1/2}. \quad (A4)$$

We can generate the function in the whole complex plane by using the Schwarz equality

$$f(\zeta) = \frac{1}{\pi} \int_{-\infty}^{\infty} dx \frac{D(x)[dF/d\zeta](x)}{x - \zeta}. \quad (A5)$$

In our case $dF/d\zeta$ obeys Eqs. (A2), (A3).

The integral (A5) just reproduces the numerator of its integrand on the real axis including a factor $i$. For some analytic $\tau(x)$ the result can be expressed as

$$f(\zeta) = i\tau(\zeta)D(\zeta) + F(\zeta, a, b), \quad (A6)$$

where $F(\zeta, a, b)$ is also analytic and is to be found from the boundary conditions. These are (a) $\text{Im}[F(x, a, b)] = 0$ everywhere on the real axis and (b) $f(\zeta) \rightarrow 0$ as $|\zeta| \rightarrow \infty$, which is imposed by (A5). For $\tau_0(x) = \tau_n x^n$ one obtains

$$F_n(\zeta, a, b) = \tau_n \zeta^{n+2} \sum_{k=0}^{\left\lfloor n/2 \right\rfloor} C_k^{-\frac{3}{2}} \left(\frac{a^2 + b^2}{2ab}\right)^{\left(\frac{1}{2}a^2 + \frac{1}{2}b^2\right)} (a^2 + b^2)^k. \quad (A7)$$

where $C_k^{-\frac{3}{2}} (t)$ is a Gegenbauer polynomial, cf. Eq. (8.930) of Ref. 19 and $\left\lfloor \cdot \right\rfloor$ represents the integer part. For constant $\tau(x)$ the function $f(\zeta)$ results in $(C_0^{-\frac{3}{2}}(t) = 1, C_1^{-\frac{3}{2}}(t) = -t)$

$$f(\zeta) = \tau_0 \left[ iD(\zeta) + \zeta^3 - \frac{1}{2}(a^2 + b^2) \right].$$

The electric field is already gained from (A4) via (A1).

$$\frac{dF}{d\zeta} = \tau(\zeta) - i \frac{F(\zeta, a, b)}{\text{D}(\zeta)} \quad (A8)$$

which has the expected singular square-root behavior at every edge. These are the only singularities caused by $D(\zeta)$, and since it appears in the denominator, it should also not have any additional zero in the complex plane. Together with the requirements imposed for its construction, the function $D(\zeta)$ is (almost) fixed.
The function $F$ introduced is obtained by the integration of (A8) along a curve within the considered half-plane from $a$ to $\zeta$. These integration boundaries fix the boundary potentials outside the dielectric stripes to zero in the gate region and $\phi^{\text{tr}}(x, z = 0) = A(a, b)$ in the 2DEG regions. The potential constant $A(a, b)$ introduced in Eqs. (4) and (6) comes out to be

$$A(a, b) = -\tau b \left[ E(k') - \frac{1}{2} \left(1 + \frac{a^2}{b^2}\right) K(k') \right], \quad (A9)$$

where $k = a/b$ and $k' = (1 - a^2/b^2)^{1/2}$.

For the general case of Sect. III the potential created by the dielectric stripes, after integration of $f(\zeta)/D(\zeta)$ is obtained to be

$$\phi^{\text{tr}}(\zeta) = \tau \text{Im} \left[ \frac{i}{4} \left(\frac{b - a + b}{a - b}\right) F(\varphi, \tilde{k}) \right] - i [(b - a)(a - b)]^{1/2} (\varphi, \tilde{k}) - i \left[ \frac{(b - a)(\zeta - a)}{\zeta - \tilde{a}} \right]^{1/2} + (\zeta - a). (A10)$$

Here $\tilde{k}$ is the modulus of the elliptic functions, $\tilde{k} = [(b - a)(a - b)]^{1/2}$, and $\varphi$ is given by $\varphi = \arcsin [((b - \tilde{a})(\zeta - a) / (b - a)) / (\zeta - a)]^{1/2}$. [The singularities from the square-root term and from $\varphi$ in (A11)] at $\zeta = \tilde{a}$ just cancel (Fig. 15). It is worthwhile to notice that the modulus $k$ relates to $k = S_k/S_{k'} = I(b)/I(a)$ of Sect. III by $k = (1 - \tilde{k})/(1 + \tilde{k})$.

2. Polynomial charge distributions

The charge density for ISs is given by the density profile $n(x)$ (13), see Sect. IV. Approximating $\tau_0(n(x) - n_f)$, $\tau_0 = 2\pi e^*/\epsilon$, up to terms containing the $N$th derivative of $n(x)$ at $x_f$ we arrive at different polynomial approximations for intervals $a' < x < b'$, where we denote by $\tau_i^{(N)}$ the coefficients of $x^i$ for a given order $N$.

For $N = 1$ the (second-order) approximation is given by (40) with $\tau_0^{(1)} = -\frac{1}{\pi} \frac{\pi}{\tau_0} \tau_0 n_f$ and $\tau_1^{(1)} = \frac{1}{\pi} \tau_0 n_f x_f$. The function $F(\zeta)$ is obtained by the integration of (A8) with terms $F$ from (A7) and $D(x)$ replaced by $D'(x) = [(x^2 - a^2)(b^2 - x^2)]^{1/2}$, resulting in

$$F^{(1)}(\zeta) = \tau_0^{(1)} b' \left\{ \zeta - k - \frac{1}{2} \left( \frac{E' - E(\psi, k')}{K'(F(\psi, k'))} \right) \right\} - \frac{1}{2} (1 + \tilde{k}^2) (K' - E(\psi, k')) + \frac{1}{2} \tau_1^{(1)} b'^2 \left\{ \left(\zeta^2 - k^2\right) + \frac{1}{2} \left(1 + \tilde{k}^2\right) (E' - E(\psi, k')) \right\} + \frac{5}{2} \left(3\tilde{k}^4 + 8\tilde{k}^2\right) (K' - E(\psi, k')) \left\{ \left(\zeta^2 - k^2\right) + \frac{1}{2} \left(1 + \tilde{k}^2\right) (E' - E(\psi, k')) \right\} \right\}. \quad (A11)$$

Here $\tilde{\zeta} = \zeta/b'$, $\tilde{k} = a'/b'$ and $\psi, k'$ are given by the same equations as for (8), with $a$ and $b$ substituted by $a'$ and $b'$.
added. The second term containing products of trigonometric functions and a hyperbolic sine can be written as

\[ f^{(2)}_\phi = \frac{2K}{\pi} \, \Re \left\{ \sum_{n=1}^{\infty} \frac{1}{n} \frac{e^{-2\alpha_n K'}}{1 - e^{-2\alpha_n K'}} \sin(\alpha_n \zeta_2) \sin(\alpha_n C) \right\}. \]  

(B4)

Since here just a product of two sine functions occurs, the following formulae can be used:

\[ \sum_{n=1}^{\infty} \frac{q^{2n}}{n(1 - q^{2n})} = \ln \left( \frac{\vartheta_1(\alpha + \beta, q)}{\vartheta_1(\alpha - \beta, q)} \right) \cdot \frac{\sin(\alpha + \beta)}{\sin(\alpha - \beta)}. \]

identifying \( \alpha = \pi \zeta_2 / 4K \) and \( \beta = \pi C / 4K \). Because \( k \) and therefore \( k', K, K' \), and \( q = \exp\{-\pi K'/K\} \) are fixed, the variable \( q \) related to \( f^{(2)}_\phi \) enters as \( q \rightarrow \sqrt{q} \) into the sum resulting in

\[ f^{(2)}_\phi = \frac{2K}{\pi} \, \Re \left\{ \ln \left( \frac{\vartheta_1(\frac{\pi}{4K}(\zeta_2 + C), e^{\frac{-\pi}{4K}'})}{\vartheta_1(\frac{\pi}{4K}(\zeta_2 - C), e^{\frac{-\pi}{4K}'})} \right) \cdot \frac{\sin(\frac{\pi}{4K}(\zeta_2 + C))}{\sin(\frac{\pi}{4K}(\zeta_2 - C))} \right\}. \]  

(B5)

For \( f_\phi = f^{(1)}_\phi + f^{(2)}_\phi \) representing (B1) the logarithmic sine terms cancel and \( \phi^{el}(\zeta_2, \tilde{\zeta}_2) \) obtains the form (22).

**Limit to the Symmetric Case**—The limit in (22) is performed by setting by \( C \rightarrow K \), simplifying the first arguments of the \( \vartheta_1 \) functions. Subsequently various formulae of Sect. 8.1 of Ref. 19, are used. The quantity \( \sqrt{q} \) corresponds to the modulus \( k_{1z} = 2\sqrt{k/(1 + k)} \). After argument translation the \( \vartheta_1 \) functions are rewritten in terms of elliptic functions of modulus \( k_{1z} \), which then is transformed to \( k \). The subsequent argument doubling and translation yields

\[ \phi^{el,(s)}(x_2, y_2) = \tilde{V}_1 + \left( V_\pi - \frac{\tilde{V}_1 + \tilde{V}_2}{2} \right) \frac{y_2}{K'} \]  

(B6)

\[ + \frac{\tilde{V}_1 - \tilde{V}_2}{2\pi} \, \Re \left\{ i \ln \left( \frac{1 + \sin(\zeta_2, k)}{1 - \sin(\zeta_2, k)} \right) \right\}. \]

This result for the symmetric case can be obtained in a simpler way, where the limit \( C \rightarrow K \) in (B1) just leaves the odd \( n \) with an alternating sign. There the summation, after a few manipulations involving an intermediate derivation by \( \zeta_2 \), leads directly to elliptic functions of modulus \( k \).

The dynamical limit for \( C \rightarrow K \), i.e., \( \tilde{b} \rightarrow -b, \tilde{a} \rightarrow -a \), results from \( V_1 = V_2 \). The dependence of the edge position \( b \) on \((V_1 - V_\pi)/(\tau a)\) is shown in Fig. 16.

![FIG. 16: Plot of edge position b (see Fig. 2) as a function of voltage V1 - V\_pi is shown. Lengths are measured in units of half of the gate width, a, which here is taken to be a = 50\_\_L.](image)

2. Equations Used for \( E_{y_2} \)

The expression (26) for \( E_{y_2}^{el}(\zeta_2) \) is rephrased using the Jacobi zeta function \( Z(u) \), Eqs. (16.34.1,2) of Ref. 28, and its relation Eq. (17.4.28) of Ref. 28 to elliptic integrals \( E \) and elliptic functions. Their modulus is \( k_{1z} = 2\sqrt{k/(1 + k)} \), which is transformed to \( k \) for both function types leading to

\[ E_{y_2}^{el}(\zeta_2) = -\frac{\tilde{V}_0 + \tilde{V}_1 - \tilde{V}_2}{K'} \Re \left\{ 2E \left\{ \left( \frac{\zeta_2 + C}{2}, k \right), k \right\} - (\zeta_2 + C) \frac{E}{K} + \frac{\cn(\frac{\zeta_2 + C}{2}, k)}{\sn(\frac{\zeta_2 + C}{2}, k)} - (C \rightarrow -C) \right\}. \]  

(B7)

[Notice also that the arguments of the incomplete elliptic integral of second kind \( E^{(\cdot)}_{\cdot} \) depend on the convention: see Refs. 19 and 28.] Then using Eq. (8.157) of Ref. 19 for half arguments and subsequently Eq. (8.151 2.) of Ref. 19, making the selection of the sign depending on the sign of the elliptic functions noticing \( 0 \leq C \leq 2K \). With this and the argu-
moment shift for elliptic integrals, Eq. (17.47) of Ref. 28, for the special arguments $\epsilon_2 = 0, 2K$, one gets the expressions

\[
E_{g2}(0) = -\frac{V_0}{K'} + \frac{V_1 - V_2}{\pi} \left[ 2E \left( \frac{1}{2} \right) - C \right],
\]

\[
E_{g2}(2K) = \frac{V_0}{K'} + \frac{V_1 - V_2}{\pi} \left[ 2E \left( \frac{1}{2} \right) - C \right] \tag{B8}
\]

\[
-2k \left[ \frac{dn(C - K) - k'}{dn(C + k') - k'} \right] - k' \left[ \frac{1 + sn(C - K)}{1 - sn(C - K)} \right] \tag{B9}
\]

where the second argument of $am, E, sn, dn$ is $k$.

Starting from the expression for $P$ (Ref. 25) one obtains the shift $P$ of Eq. (20). The modulus $k$ of the transformation is defined in (21) as $I(b)/I(a)$; its explicit form is given in Sect. IIIA as $k = S_K^{-1}/S_k^+$. From that the right-hand side of the equation $sn(C - K, k) = P/I(b)$ can be written as

\[
P = \frac{1}{I(b)} \left[ \frac{(a - b)(a - b)}{(a - b)(a - b)} \right]^{1/2} - \left[ \frac{(a - b)(a - b)}{(a - b)(a - b)} \right]^{1/2}, \tag{B10}
\]

which allows to express the following terms:

\[
k' \left[ \frac{1 + sn(C - K)}{1 \pm sn(C - K)} \right] = 2 \left[ (a_+ - b_+)(a_+ - b_-) \right] \frac{1}{S_k^+}, \tag{B11}
\]

\[
k \left[ \frac{dn(C - K) - k'}{dn(C - K) + k'} \right] = \frac{b - b - [(a - b)(a - b)]^{1/2} - [(a - b)(a - b)]^{1/2}}{S_k^+}. \tag{B12}
\]

In (B11) we have used the short notation $a_\pm$, respectively for $a, b$, and $b_\pm$, respectively for $b, b$.

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We select for our calculations $s_1$ only.

The length $l$ that appears in (27), (28) is

$$l = \hat{\bar{b}} - \bar{a} - a + b.$$

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$$\left\langle \Pi_i e^{\hat{O}_i} \right\rangle = \exp \left( \frac{1}{2} \left( 2 \sum_{i<j} \hat{O}_i \hat{O}_j + \sum_i \hat{O}_i^2 \right) \right), \quad \text{(B13)}$$

where $\hat{O}_i \sim i\sqrt{4\pi\phi(x)/\nu}$.

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