Pharmacokinetic modeling of intravenous sildenafil in newborns with congenital diaphragmatic hernia

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Abstract

Purpose We developed a pharmacokinetic model of intravenous sildenafil in newborns with congenital diaphragmatic hernia (CDH) to achieve a target plasma concentration of over 50 μg/l.

Methods Twenty-three CDH newborns with pulmonary hypertension (64 blood samples) received intravenous sildenafil. Patients received a loading dose of 0.35 mg/kg (IQR 0.16 mg/kg) for 3 h, followed by a continuous infusion of 1.5 mg/kg/day (IQR 0.1 mg/kg/day). For model development, non-linear mixed modeling was used. Inter-individual variability (IIV) and inter-occasion variability were tested. Demographic and laboratory parameters were evaluated as covariates. Normalized prediction distribution errors (NPDE) and visual predictive check (VPC) were used for model validation.

Results A two-compartment disposition model of sildenafil and a one-compartment disposition model of desmethyl sildenafil (DMS) was observed with IIV in sildenafil and DMS clearance and volume of distribution of sildenafil. NPDE and VPC revealed adequate predictability. Only postnatal age increased sildenafil clearance. This was partly compensated by a higher DMS concentration, which also has a therapeutic effect. In this small group of patients, sildenafil was tolerated well.

Conclusions This model for sildenafil in CDH patients shows that concentration-targeted sildenafil dosing of 0.4 mg/kg in 3 h, followed by 1.6 mg/kg/day continuous infusion achieves appropriate sildenafil plasma levels.

Keywords Sildenafil · Pharmacokinetics · Modeling · Congenital diaphragmatic hernia · Cardiovascular tolerance

What is already known about this subject:
- Therapy resistant pulmonary hypertension (PH) in congenital diaphragmatic hernia (CDH) patients has a high mortality rate.
- Sildenafil is used for the treatment of PH, but data on optimal dosing and pharmacokinetics (PK) are lacking.
- In this study, we developed a PK model for sildenafil in CDH patients.

What this study adds:
- An intravenous sildenafil loading dose of 0.4 mg/kg in 3 h followed by continuous infusion of 1.6 mg/kg/day achieves therapeutic sildenafil plasma levels in CDH patients in approximately 1 h.
- The current PK model is the first step toward concentration range targeted sildenafil dosing in CDH patients.

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Introduction

Congenital diaphragmatic hernia (CDH) is a developmental defect of the diaphragm with abnormal lung development and pulmonary vasculature growth, resulting in pulmonary hypertension (PH) [1]. CDH is associated with a reported mortality of approximately 27% in live-born patients since the implementation of international treatment guidelines [2-4]. PH is one of the most important risk factors for poor outcome in infants with CDH [1, 5, 6].

During fetal life, high resistance in the pulmonary circulation is normal and causes most of the blood flow to bypass the lungs through the ductus arteriosus. As part of normal transition, the pulmonary vascular resistance drops immediately after birth and the blood flow through the lung vasculature increases significantly. Normal values of pulmonary vascular pressures, similar to healthy adults, are usually reached around the age of 2 months [7]. In infants with CDH, the pulmonary vascular resistance often does not drop adequately, due to increased vascular reactivity, excessive muscularization of the pulmonary arterioles, and increased thickness of the arterial media and adventitia [8].

Intravenous sildenafil, a phosphodiesterase 5 (PDE5) inhibitor that increases cyclic guanosine monophosphate (cGMP) resulting in pulmonary vasodilation, is increasingly used in infants with CDH, with promising results [9-11]. In newborns with persistent pulmonary hypertension of the newborn (PPHN) without CDH, sildenafil improves oxygenation index (OI) and survival [12]. However, data on optimal dosing, pharmacokinetics (PK), and dynamics are scarce in newborns, and CDH patients are often excluded from trials. PK of intravenous sildenafil in term neonates with PPHN, using a two-compartment model, shows a threefold increase in sildenafil clearance and its active metabolite, desmethyl sildenafil (DMS), in the first week of life [13]. DMS has a 50% potency compared to sildenafil [14]. Volume of distribution was fourfold higher than in adults, resulting in a longer half-life [13].

Also, long-term safety data are scarce [15]. In a study evaluating safety using a dose-escalating strategy, intravenous sildenafil was well tolerated and it improved oxygenation when using a higher infusion dose. With a 3-h loading dose of 0.4 mg/kg and a continuous infusion of 1.6 mg/kg/day, target plasma sildenafil concentration was achieved without causing hypotension [16].

As PH is a major determent of survival in patients with CDH, data on the PK and pharmacodynamics of sildenafil are urgently needed. The objective of this study was to develop a PK model and dosing regimen for sildenafil in CDH patients.

Methods

Study design

This open-label study was conducted in two level-3 referral centers for CDH in Germany and the Netherlands between November 2013 and September 2015. Local ethical review boards approved the protocol. Twenty-three newborns with CDH and clinical signs of PH were treated with intravenous sildenafil. Patients received a loading dose of 0.35 mg/kg (IQR 0.16 mg/kg) for 3 h, followed by a continuous infusion of 1.5 mg/kg/day (IQR 0.1 mg/kg/day). However, many different regimens were being used; ten patients received a loading dose of 0.4 mg/kg in 3 h, followed by continuous infusion of 1.6 mg/kg/day; in one patient, the infusion was very slowly increased over time, starting with 0.2 mg/kg/day, in others no loading dose was given and a continuous infusion was started, ranging from 1.4 to 4 mg/kg/day. Target plasma sildenafil levels over 50 μg/l were aimed for, assuming that the same target range is applicable in CDH patients compared to other causes of neonatal PH [16]. DMS was not added to calculate this target range because the target range for DMS is unknown and DMS was also not taken into account in the study of Steinhorn et al. [16]. The patients were treated as per protocol according to international consensus [3]. Patient and baseline characteristics of the 23 patients are provided in Supplementary Table 1. All patients received inotropic drug support and were treated with inhaled nitric oxide (iNO). One patient started treatment with bosentan in the second week of life. Thirteen patients needed extracorporeal membrane oxygenation (ECMO) (median time of start 8:15 h after birth, IQR 9:39 h), of whom two were already on ECMO before sildenafil initiation, overall mortality was 26%.

Primary outcomes were the PK of sildenafil and its active metabolite, DMS. Safety data were collected as secondary outcome variables. Oxygenation index (OI) was used to evaluate the clinical effect of sildenafil on PH. Hypotension was defined as mean blood pressure lower than gestational age in weeks. The Vasoactive inotropic score (VIS) was used to evaluate cardiovascular tolerance. This is a scoring system used for the amount of inotropic support needed and is negatively associated with long-term outcome [17]. OI and VIS were analyzed using linear regression analysis.

Laboratory analysis

We used 50 μl EDTA blood and 200 μl internal standard solution (vardenafil in methanol) was added. This solution was vortexed for 10 s and centrifuged for 5 min. A supernatant of 200 μl was added to the insert vial, which was used in the
Thermo TQS Vantage LC-MS/MS. Column 2.1 × 100 mm Waters Acquity CSH C18 1.7 um. The mobile phase A consisted of 2 mM ammonium acetate in 0.1% formic acid in water. The mobile phase B consisted of 2 mM ammonium acetate in 0.1% formic acid in LC-MS methanol. Flow rate was 0.5 ml/min. The mobile phase composition changed linearly during analysis in a percentage mobile phase A (from 80 to 0%) and B (from 2 to 100%). Total analysis time was 4 min. The injected volume was 10 μl. The method was validated according to FDA guidelines between 2–1000 μg/l for sildenafil and 2–500 μg/l for DMS [18].

**Population PK modeling**

PK analysis was conducted with non-linear mixed-effects modeling using NONMEM® version 7.2 (ICON Development Solutions, Ellicott City, MD) and PsN® (version 3.7.6). Pirana software was used as an interface between NONMEM, R (version 3.2.2) and Xpose (version 4). Using NONMEM, we could estimate average PK parameters for the population as well as inter-individual variability (IIV), inter-occasion variability (IOV), and residual error.

**Base model development**

One-two- and three-compartment models were tested for sildenafil and DSM, using the first-order conditional estimation method with interaction (FOCE+I). First, a structural model for sildenafil was developed. IIV and IOV, with occasion defined per day, were assessed on each parameter using an exponential model. Residual variability was first tested with an additive and proportional error for each component. The parameters for the base model for sildenafil were fixed when the model for the metabolite was developed. In the combined model, we estimated all parameters.

Model selection was based on minimum objective function values, parameter precision, error estimates, shrinkage values, and visual inspection of the goodness of fit plots. Shrinkage was calculated for all model parameters. A shrinkage value below 20% was considered acceptable [19].

**Covariate model development**

Demographic and laboratory characteristics including postnatal age, gender, creatinine, urea, aspartate transaminase (ASAT), and alanine transaminase (ALAT) were evaluated as potential model covariates. Allometric scaling was used to account for variability in PK parameters owing to differences in bodyweight [20]. Covariates that significantly improved the model in univariate analysis, defined as \( p \leq 0.05 \), were added to the full model. A backward elimination process was subsequently performed with statistical significance indicated by \( p \leq 0.001 \). Continuous covariates were normalized to the population median values and incorporated as power model functions (Eq. 1). Categorical covariates were transformed to binary covariates and incorporated as shown in Eq. 2.

\[
\theta_i = \theta_{\text{pop}} \cdot \left( \frac{\text{cov}_i}{\text{cov}_{\text{m}}} \right)^{\theta_{\text{cov}}}
\]

\[
\theta_i = \theta_{\text{pop}} \cdot \theta_{\text{cov}}^{\text{cov}_i}
\]

With \( \theta_i \) being the individual model predicted PK parameter (e.g., clearance) for an individual with covariate value \( \text{cov}_i \), \( \theta_{\text{pop}} \) being the population estimate for that parameter, \( \text{cov}_{\text{m}} \) representing the median covariate value and \( \theta_{\text{cov}} \) the covariate effect. In the equation for categorical covariates, \( \text{cov}_i \) is either 1 or 0.

**Model evaluation**

We used multiple procedures to assess the robustness of the parameter estimates and to validate the final model. First of all, a bootstrap resampling method was applied [21]. Thousand bootstrap datasets were generated by sampling randomly from the original dataset with replacement. The validity of the model was evaluated by comparing the median values and their corresponding 95% confidence intervals of the bootstrap replicates with the estimates of the original dataset.

Subsequently, the model was validated using both visual predictive check (VPC) by simulating 500 datasets and a normalized prediction distribution errors (NPDE) analysis [22, 23]. The VPCs were prediction corrected and stratified for the covariates that are included in the final model. NPDE is a simulation-based diagnostic that can be used to evaluate models developed on datasets with variable dosing regimens. The analytical value of this method has been previously described by Comets et al. [23].

**Concentration effect relationship**

Drug concentrations were simulated on the time points of blood pressure measurement to find a relationship between the concentration of sildenafil, DSM, and hypotension (mean blood pressure lower than gestational age), assuming a 50% activity of DSM compared with sildenafil. The relationship was tested using Mann-Whitney statistical testing.
Results

Sixty-four samples were taken at different time points between 1 and 385 h after the start of the infusion, 34 of these samples were taken during ECMO. None of the patients received hemofiltration.

Median sildenafil level of all patients was 200 (range 42–262) μg/l at 3 h and increased to 366 (19–506) μg/l at 12 h. Infants receiving a loading dose of 0.4 mg/kg in 3 h achieved sildenafil levels ranging between 190 and 262 μg/l at 3 h and between 346 and 506 μg/l after 12 h.

Median DMS level within the first 12 h was 20 (range 9–85) μg/l with a slow increase to a median of 65 (range 17–92) μg/l between 24 and 48 h.

To evaluate the effect of sildenafil on OI and VIS, numbers were too small. However, in only one patient, sildenafil infusion was temporarily stopped after 1 h due to hypotension that could not be sufficiently treated with inotropes. One patient had two episodes of hypotension, after 7 h and 7 days, for which the sildenafil was decreased for the same reason. This patient also developed pulmonary hemorrhage after 6 days of sildenafil infusion. In both patients, no correlation with high sildenafil levels was seen. No other adverse events were seen.

Base model and covariate analysis

The model included two-compartment disposition of sildenafil and one-compartment disposition of DMS with IIV in both clearance of sildenafil and DMS, and in volume of distribution of sildenafil. The residual error was described with a proportional error model. Allometric scaling with fixed exponents (0.75 CL and Q and 1 for Vd) improved the model. Estimation of the exponent did not result in further improvement.

The base two-compartment model with allometric scaling was used as reference for the covariate analysis. After graphical analysis, the univariate analysis resulted in the following covariates: ECMO, postnatal age, urea, ALAT, and weight. With a median age of 2.4 days, postnatal age was the only significant covariate after backward elimination. Increase in age resulted in increased sildenafil clearance, as can be seen in Fig. 1. Age is presented in 3 groups: 2, 6 and 10 days. When age increases, the clearance highly increases. If age is increased from 2.4 to 10 days, clearance is increased with a factor of 4 (Table 1). Shrinkage (residual error in the model) is good with regards to clearance. Shrinkage is high for distribution volume, resulting in a large residual error.

Evaluation of the final model

All estimates were within the limits, given the criteria as defined. Goodness-of-fit plots of the final model showed that the population predictions and individual predictions were evenly distributed around the line of unity when compared with observed concentrations, and the conditional weighted residuals were normally distributed over time (Fig. 2). A bootstrap analysis with 1000 bootstrap replicates was performed to obtain 95% confidence intervals for all PK parameters.

Owing to minimization and boundary errors, the bootstrap results were recomputed without filtering the samples (1000 runs computed: 495 runs successful). Results of the bootstrap are shown in Table 1.

In the VPC, a large variation was present. However, the median and the variability were within the corresponding simulations (Fig. 3). This demonstrates the good predictive performance of the final model in the internal validation. Evaluation of the predictive performance with NPDE analysis showed adequate predictive ability, with distribution of the NPDEs not significantly deviating from a normal distribution (Figure 4 in the supplement).

Concentration effect relationship

To investigate the relationship between blood pressure and drug concentration, simulations of drug concentrations were
performed on the time points of blood pressure measurement. No correlation was seen.

**Discussion**

Although intravenous sildenafil is increasingly used in CDH patients, a structured analysis of PK data was lacking. Therefore, the objective of the study was to develop a PK model and dosing regimen for intravenous sildenafil in newborns with CDH. A loading dose of 0.4 mg/kg in 3 h followed by continuous infusion of 1.6 mg/kg/day achieves target sildenafil plasma levels within 3 h.

Only 5 RCTs with a total of 166 patients have evaluated the effect of sildenafil in newborns, all excluding patients with congenital anomalies, including CDH. When sildenafil was compared with placebo or MgSO4, a decrease in OI and mortality was seen. When sildenafil was added to inhaled NO, there was no difference in outcome [24–28]. In CDH patients, only retrospective data are available. A decrease in pulmonary vascular resistance index and an increase in cardiac output were found in a small group of oral sildenafil-treated infants with CDH refractory to iNO [9]. However, there is a large interpatient variability in pharmacokinetics of oral sildenafil [29]. Intravenous sildenafil in CDH patients was associated with improved OI and the reversal of the right-to-left shunt ratio over the ductus arteriosus. However, a significant increase in vasopressor support was also observed [10, 30].

We found that postnatal age increases sildenafil clearance suggesting maturation of the expression of hepatic CYP enzymes involved, as also observed by Mukherjee et al. Sildenafil metabolism is mostly mediated by two enzymes; CYP3A4 and CYP2C9 [31]. The activity of both enzymes is very low at birth and increases substantially during the first weeks of life [32–34]. Also, the improvement of the clinical condition possibly increases the metabolic activity of the liver [32, 35, 36]. The increase in clearance shows a non-linear increase over time and is stronger in younger age as commonly seen in infants [13, 35]. This increase in clearance lowers sildenafil plasma levels which are partly compensated with the increase of DMS concentrations in time (Figures 1 and 5 in the supplement).

A loading dose of 0.4 mg/kg in 3 h followed by continuous infusion achieved target sildenafil plasma levels within 3 h (Fig. 1). This dosing regimen was first described by Steinhorn et al. in a dose-escalating trial in newborns with PPHN in the absence of CDH. Is this small group of 4 patients, sildenafil plasma levels reached 107.78 μg/l at 3 h and 246.28 μg/l at 24 h, and it was well tolerated and improved the OI [16]. Our data suggest that there is no major difference in the PK of sildenafil in CDH patients, although our patients reached therapeutic plasma levels earlier, after approximately 1 h. As the clinical condition of CDH patients can deteriorate quickly, the ideal dosing regimen reaches therapeutic plasma levels as soon as possible with the least possible side effects. This seems feasible with the dosing regimen we propose. Evaluation of the clinical effects of sildenafil and DMS in CDH patients suggested relative cardiovascular tolerance but a more clear effect could not be seen. With the amount of patients and samples, we could develop a PK model, however, for the secondary

| Table 1 Parameter estimates of the base model, final model, and bootstrap analysis | Base model | Final model (rse I %) | Bootstrap results |
|-----------------------------------|------------|----------------------|------------------|
| OFV                              | 1191       | 1160                 | 1154 [918–1372]  |
| CL sildenafil (L/h)               | 6.7        | 5.2 (19)             | 5.1 [3.7–7.0]    |
| Age*(days)                       | 0.58 (26)  | 0.58 [0.3–0.9]       |
| V1 sildenafil (L)                 | 75.1       | 115 (30)             | 118 [61–159]     |
| V2 sildenafil (L)                 | 10         | 10 (na)              | NA               |
| Q sildenafil (L/h)                | 44.3       | 221 (3)              | 330 [1.9–13719]  |
| Cl metabolite (L/h)              | 24.2       | 25.9 (12)            | 26.6 [21.2–32.6] |
| V3 metabolite                    | 1040       | 366 (83%)            | 287 [79–999]     |
| IIV (%)                          |            |                      |                  |
| Cl sildenafil                     | 50.3       | 51.3 (24%)           | 24 [5–65]        |
| V1 sildenafil                     | 32.2       | 21.0 (55%)           | 5 [0–35]         |
| Cl metabolite                    | 37.8       | 40.5 (25%)           | 15 [2–51]        |
| Residual variability             |            |                      |                  |
| Proportional Cl sildenafil       | 0.60       | 0.50 (10)            | 0.5 [0.4–0.6]    |
| Proportional metabolite          | 0.57       | 0.44 (10)            | 0.4 [0.4–0.5]    |

*Cl = Cl (sildenafil)*(weight in kg/70)**0.75*EXP(IIV sildenafil)*(AGE/2.4)**0.58,
Shrinkage: Base model Cl sildenafil 12%, Cl metabolite 26%, V1 61%; final model Cl sildenafil 11%, V1 59 %, and Cl metabolite 19%
outcome, parameter numbers were too low. Also, 57% of patients needed ECMO treatment, at that point making the OI invalid to analyze the effect of sildenafil on PH. As these are retrospective data, echocardiography was not performed at predefined time points, making it impossible to evaluate the effect of sildenafil on PH in these patients. However, in this selected group of critically ill CDH patients, PH mortality was 26%, whereas reported overall mortality for CDH patients is 27%.

There are some limitations of the study. As CDH is a rare disease, 23 patients is a relatively large group, but to test the model and to better achieve patient targeted dosing, prospective external validation is needed. A multicenter RCT, the CoDiNOS trial, has started in Europe, comparing iNO with intravenous sildenafil as first-line treatment of PH in CDH patients (NTR6982). In this trial, sildenafil and DMS plasma levels will be collected to externally validate the model.
We were not able to retain a significant effect of ECMO on our model. However, considering the increase in circulating volume and the use of an oxygenator, one would assume ECMO to be of substantial influence [37, 38]. We probably did not find this due to the relatively few samples taken in only 23 patients. We observed both lowered sildenafil levels as well as increased levels when on ECMO. Ahsman et al. evaluated the PK of oral sildenafil in infants on ECMO and post-ECMO and also found contradicting results on the influence of ECMO [29, 39]. In comparison to the work of Ahsman, new ECMO systems are used with smaller tubes and filters. We expect that the effect of ECMO can be better evaluated as part of the external validation performed in the CoDiNOS trial (NTR6982). Bosentan is known to decrease sildenafil plasma concentrations, but it was not a significant covariate in our study because only one patient was co-treated with bosentan [40]. Sildenafil loading dose and continuous infusion seem to be tolerated well as in only two patients sildenafil had to be temporarily decreased or interrupted due to hypotension. However, because of the retrospective character of the study,
further analysis of the cardiovascular tolerance of sildenafil in this patient group, using VIS, was not possible. Kipfmueller et al. found an acute improvement of OI but also the needs to increase inotropic support in patients with CDH [30]. In a prospective setting, these secondary outcome parameters can be evaluated much more reliable.

This is the first study on the PK of intravenous sildenafil in a representative population of CDH patients in need for PH treatment. Using population PK modeling, a sildenafil plasma concentration model was developed with sparse sampling. In conclusion, intravenous sildenafil loading dose of 0.4 mg/kg for 3 h followed by continuous infusion of 1.6 mg/kg/day achieves adequate sildenafil plasma levels. Only postnatal age influences its clearance. This dosing regimen was well tolerated in this small group of CDH patients. The current PK model is the first step toward concentration targeted sildenafil dosing in CDH patients. The model will be further validated in the CoDiNOS trial (NTR6982).

**Authors’ contributions** S.C.d.O and B.K. wrote the article; S.C.d.O., F.K., K.A, and D.T designed the research. S.C.d.O. and F.K performed the research. B.K and B.d.W. developed the model. S.C.d.O., F.K., K.A, D.T., A.M., and B.K analyzed the data.

**Compliance with ethical standards**

**Conflict of interest** The authors declare that they have no conflict of interest.

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