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ABSTRACT

The Nancy Grace Roman Space Telescope Coronagraph Instrument (CGI) will be capable of characterizing exoplanets in reflected light and will demonstrate space technologies essential for future missions to take spectra of Earthlike exoplanets. As the mission and instrument move into the final stages of design, simulation tools spanning from depth of search calculators to detailed diffraction models have been created by a variety of teams. We summarize these efforts, with a particular focus on publicly available datasets and software tools. These include speckle and point-spread-function models, signal-to-noise calculators, and science product simulations (e.g. predicted observations of debris disks and exoplanet spectra). This review is intended to serve as a reference to facilitate engagement with the technical and science capabilities of the CGI instrument.

Keywords: Roman, WFIRST, coronagraph, exoplanets, debris disks, modeling, diffraction, instrument yield, simulations

1. INTRODUCTION

The Nancy Grace Roman Space Telescope (Roman)\textsuperscript{1} Coronagraph Instrument (CGI) is a technology demonstration\textsuperscript{2–5} that will use high-contrast imaging and spectroscopy (coronagraphy), wavefront sensing, and wavefront control,\textsuperscript{6,7} to image planets in reflected light.\textsuperscript{3} Formerly known as the Wide-Field Infrared Survey Telescope, Roman is orders of magnitude more sensitive than Hubble Space Telescope (HST) or ground-based observatories.\textsuperscript{8} Two primary coronagraph technologies will be tested, a Hybrid-Lyot Coronagraph (HLC) coronagraph\textsuperscript{9} for high contrast small-field-of-view (FOV) (<0.5 as) imaging, a bow-tie Shaped Pupil Coronagraph (SPC)\textsuperscript{10} for spectroscopy and a wide-FOV SPC. The design and preparation for CGI has spawned many new modeling tools to accurately predict performance. This work attempts to summarizes the most common of these tools, both to serve as a roadmap for future potential users of CGI and to aid other missions which may benefit from reuse of the many open source tools which have been shared by CGI science and engineering teams. This review cannot completely capture the simulation work that has gone into developing Roman CGI but it is intended to serve as a starting reference for the community to engage with the technical and science capabilities of the instrument. Additionally, to better understand the context of these tools, we suggest previous works, as well as several papers in these proceedings, that provide detailed descriptions of the design reference mission and typical observing modes.\textsuperscript{3,8,11,12}

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Table 1. Partial Listing of Open Source CGI Software Packages and Libraries

| Package                                  | Application                  | References | URL                                                                 |
|------------------------------------------|------------------------------|------------|----------------------------------------------------------------------|
| Observing Scenarios                      | Complete Observation Simulation | 13         | https://roman.ipac.caltech.edu/sims/Coronagraph_public_images.html   |
| PROPER                                   | Diffraction Simulation       | 14,15      | https://github.com/ajeldorado/proper-models/tree/master/wfirst.cgi   |
| FALCO                                    | Coronagraph Simulation       | 16         | https://github.com/ajeldorado/falco-matlab, https://github.com/ajeldorado/falco-python |
| Lightweight Coronagraph Simulator         | Coronagraph Simulation       | 17         | https://github.com/leonidprinceton/LightweightSpaceCoronographSimulator |
| CZT-based Optical Propagation            | Diffraction Simulation       |            | https://github.com/ARCExoplanetTechnologies/ACED                     |
| WebbPSF                                  | Diffraction Simulation       | 18,19      | https://github.com/spacetelescope/webbpsf                            |
| MSWC                                     | Binary Star Simulation       | 20,21      | https://github.com/ARCExoplanetTechnologies/MSWC                     |
| EXOSIMS                                  | Mission Simulation           | 22,23      | https://github.com/dsavransky/EXOSIMS                                |
| Imaging Mission Database                 | Mission Planning             | 24         | https://plandb.sioslab.com                                           |
| Coronagraph convolved Debris Disks        | Disk Simulation              | 25         | https://roman.ipac.caltech.edu/sims/Circumstellar_Disk_Sims.html     |
| Known debris disk simulated scenes       | Disk Simulation              | 26         | https://roman.ipac.caltech.edu/sims/Chen_WPS.html                    |
| direct-imaging-sims + model spectra      | Target simulation            | 27,28      | https://github.com/blacy/direct-imaging-sims, https://www.astro.princeton.edu/~burrows/wfirst/index.html |
| Giant planet albedo spectra              | Target simulation            | 29         | https://wfirst.ipac.caltech.edu/sims/Exoplanet_Characterization.html  |

2. CORONAGRAPH SIMULATORS

2.1 Observing Scenarios

The most detailed and physically realistic simulations of CGI observations released to date have taken the form of numbered observing scenarios. These are referred to as OSNs, e.g., OS9 for the ninth scenario. Physical optics simulations of the instrument including optical surfaces, coronagraphs, and wavefront sensing and control are generated using PROPER.\(^{30,31}\) The scenarios include speckle time series, derived from wavefront maps produced using Structural-Thermal-Optical-Performance (STOP) modeling of the Roman observatory.\(^ {32}\) The OS model outputs include noisy and noiseless datacubes of coronagraphic intensity images versus time, with injected planets and realistic observing scenarios that include reference stars, and off-axis point spread functions (PSFs) for injecting additional targets. These scenario files are available to the public from IPAC.

2.2 FALCO

The FALCO\(^ {16}\) library provides a framework for running wavefront sensing and control algorithms in MATLAB and Python 3. FALCO can use a PROPER\(^ {30}\) model as its truth model in simulations, and examples using the
Figure 1. Images of 47 Uma c generated from publicly available OS9 simulations of the HLC including time dependent speckle and detector effects. Left: simulated image with injected planets. Right: the same scene after reference subtraction, showing injected planets at a much higher SNR.

CGI PROPER model are included in the publicly available FALCO repository. Example status window updates for the hybrid Lyot coronagraph are shown in Fig. 2.

![Figure 2](https://www.spiedigitallibrary.org/conference-proceedings-of-spie)

Figure 2. Status report windows from FALCO before (a) and after (b) performing wavefront control using the CGI PROPER model as the truth model. The large starting shapes on the deformable mirrors are the HLC design settings combined with the settings for flattening the starting wavefront errors.
2.3 Lightweight Space Coronagraph Simulator

Based on FALCO, the “Lightweight Space Coronagraph Simulator” computes small linear perturbations about the nominal dark hole instead of propagating the full optical model. It allows quickly simulating observation scenarios with time evolving wavefront error (WFE), Deformable Mirror (DM) drift, low-order wavefront sensing and control (LOWFS/C) residual jitter and high-order wavefront sensing and control (HOWFS/C). The sensitivities to DM commands (the Jacobian) and to WFE were computed in 6 wavelengths using FALCO and remain valid in the linear regime (up to 10 nm phase perturbations). This allows specification of DM voltages, WFE Zernikes, LOWFS residual jitter, detector noise and switching between broadband and narrowband modes. The Python code is designed to run fast and only requires NumPy. Fig. 3 shows an example dark hole time series (left) and dark hole image (right).

![Step 3/3: closed loop simulation (frame 200/200)](image)

Figure 3. An example of contrast evolution in presence of various wavefront instabilities in a linearized model of Roman-CGI. Left: Closing the loop allows maintaining the contrast throughout the observation. The drift rate is exaggerated to illustrate dark hole maintenance in the worst-case scenario of random walk of Zernike coefficients. Right: A broadband photon-counts image of a single exposure (used to close the contrast loop). See Pogorelyuk et al. for details.

2.4 CZT-based Optical Propagation

The Chirp Z-Transform (CZT) based optical propagation library, developed at Princeton and NASA Ames, implements 2D Fraunhofer and Fresnel diffraction propagation on arbitrarily sampled input and output planes. It provides the same functionality and answer (to within numerical precision) as the more commonly used MFT technique (Matrix Fourier Transform), but is asymptotically faster.

2.5 MSWC

Binary stars represent a special challenge for a diffraction simulation due to the angular separation between the on-axis target and its off-axis companion. The off-axis companion can contribute stellar leakage due to optical aberrations at high-frequencies for every component in the optical train. The Multi-Star Wavefront Control (MSWC) package can be used to simulate binary stars, predict the expected leakage for different binary star imaging scenarios, and determine if the contrast leakage due to the off-axis companion introduces a background contrast floor for a particular Roman CGI imaging mode. This can flag known binaries as benign or requiring suppression. Depending on the Roman CGI imaging mode, wavefront control techniques may remove the binary companion’s leakage.

2.6 WebbPSF

WebbPSF is a PSF simulation tool originally developed for *James Webb Space Telescope (JWST)* in Python. Basic SPC modes are currently included in WebbPSF and are in the process of being updated to match current filters and mask designs.

*https://www.stsci.edu/jwst/science-planning/proposal-planning-toolbox/psf-simulation-tool
3. YIELD

3.1 Yield Calculator
Nemati\textsuperscript{37, 38} developed an analytic model of instrument sensitivity that calculates the time-to-SNR for known radial velocity (RV) exoplanets. This model has been widely used by the project team as an Excel spreadsheet and is now publicly available as part of EXOSIMS (see below).

3.2 EXOSIMS
EXOSIMS\textsuperscript{22} is an open source, full exoplanet imaging mission simulation tool, which generates a survey ensemble of possible exoplanet detections given an underlying universe (e.g. exoplanet phase curves and occurrence rates) and observatory properties such as orbit, optical system performance, and background sources.

3.3 Imaging Mission Database
The online Cornell Space Imaging and Optical Systems Lab Imaging Mission Database\textsuperscript{3} uses stellar and RV exoplanet physical properties.\textsuperscript{39} As shown in Fig. 4, these properties can be combined in joint distributions and compared to the instrumental sensitivity floor (blue curve) to assess the frequency of detection in an observation. Similarly, the Imaging Mission Database generates depth of search maps\textsuperscript{40} using EXOSIMS for blind-search targets such as the EXOCAT database.\textsuperscript{41}

![Figure 4. Map of the joint distribution of planet projected separation and Δmag for 47 Uma c generated using the Imaging Mission Database.](https://www.spiedigitallibrary.org/conference-proceedings-of-spie)

4. SCIENCE TARGETS

4.1 Light from Young Giant Exoplanets
Some of the substellar companions and young giant planets discovered by ground-based direct imaging surveys will be viable targets for Roman-CGI spectroscopy and photometry. This will present the first opportunity to make observations of such objects at wavelengths shorter than 0.95 \( \mu \text{m} \). At the time of writing, we have identified HD 984 B, β-Pic b, HD 206893b, HR 8799e, and 51 Eri b as possible targets for Roman-CGI bandpasses 1, 2, and
which include spectroscopy and photometry. HR 2562b, HR 8799d, HR 8799c, κ-And b, HD 95086b, HR 3549b, HD 1160b, and HIP 65426b are possible targets for photometry in bandpass 4. We used coolTLUSTY to generate self-consistent 1D radiative-convective equilibrium atmosphere models for each possible target, assuming values for effective temperature, radius, and surface gravity taken from the literature and that atmospheres are clear with solar abundances. For some targets, we also compare to models with higher metallicities and with forsterite clouds. These are discussed in detail in Lacy & Burrows 2020 and are available publicly.

Ideally, Roman-CGI spectroscopy will measure the strength of the 0.73 μm methane absorption feature for a reflected-light target, and constrain parameterized models incorporating cloud properties, a temperature-pressure profile, and mixing ratios of major gas-phase absorbers. Whether this task is achieved will depend on the nature of the planets observed, the quality of data Roman-CGI collects, and the quality of auxiliary measurements like mass and orbital separation that are available from other sources. In the event that Roman-CGI performance is insufficient to constrain detailed models, Lacy et al. put forward a set of models suitable for addressing a simpler task: assessing how cool giant exoplanets compare to the cool gas giants and ice giants in our own solar system. Saturn and Jupiter have higher cloud layers and lower metallicities than Uranus and Neptune. Lower metallicities decrease the amount of methane, and higher clouds make for a smaller amount of gas above the cloud layer. Together these effects weaken Jupiter and Saturn’s methane absorption features compared to Neptune and Uranus. At shorter wavelengths, from 0.4-0.6 microns, Saturn and Jupiter exhibit absorption from an unidentified chromophore which reddens their appearance. This is similar to the mix of hydrocarbons, commonly termed Tholins, that give Titan its yellow-orange appearance. Retrievals on simulated observations showed that Roman-CGI observations should be able to fit a model consisting of a two-part linear combination of Jupiter and Neptune’s reflective properties. One parameter sets the short wavelength weighting towards Jupiter versus Neptune and essentially depends on whether a chromophore is present or not. A second parameter sets the longer wavelength weighting towards Jupiter versus Neptune and mainly reflects the amount of methane present above the cloud layer. This approach supposes that Jupiter and Neptune represent two bounds of cool giant planet atmosphere behavior and that those observed will fall somewhere in between. Of course, this framework is over-simplified, but, in the absence of high resolution high SNR spectra, it could provide a useful starting point. A small grid of geometric albedo models in this form is also available, along with a GUI for calculating the light curve through out a planet’s orbit and a set of models representing Jupiter’s geometric albedo with constant cloud properties but varying metallicity.

4.2 Reflected Light from Cold Gas Giants

Giant Exoplanet Albedo Spectra and colors as a function of planet phase, separation, and metallicity from Cahoy et al. have been released along with a reference solar spectrum.

4.3 Debris Disks

The unprecedented point source sensitivity of CGI is expected to also lead to many new scattered light detections of debris disks and exozodiacal dust. Simulations of dusty systems, particularly 1 Vir, Eps Eri, HD 10647, HD 69830, HD 95086, HR 8799, and Tau Cet were prepared by a the Preparatory Science Project: The Circumstellar Environments of Exoplanet Host Stars by Chen et al. and have been publicly released and include injected giant planets. General libraries of disks with varied morphology and geometry have convolved with coronagraph transmission functions have also been released publicly.

†https://www.astro.princeton.edu/~burrows/wfirst/index.html
4.4 Fast Extended Source Simulation with a PSF Library

The effect of the spatially varying coronagraph transmission functions on complex scenes, such as exozodiacal dust models can be simulated using public PSFs libraries. These simulations are efficiently performed by generating an over-sampled scene model and applying a coronagraphic transfer function via matrix multiplication. Because of the field-dependent evolution of the PSF, a PSF must be generated for every angular offset of the pixel coordinates of the scene model. These PSFs are generated via interpolation of the PSFs available from IPAC and stored as matrices in memory. For example, an exozodiacial model can be flattened into a vector rather than a 2D array and multiplied by the matrix of interpolated PSFs since the coronagraph is still assumed to be a linear system. For details and examples of disk generation, see Milani et al.

4.5 PSF subtraction

Post-processing via Karhunen-Lo‘eve Image Processing (KLIP) subtraction of residual speckles has been extensively explored for Roman and exoplanet extraction has been one of the data challenge topics. pyKLIP supports generic data and the documentation currently includes an example of KLIP run on an observing scenario.

5. CONCLUSIONS

Roman CGI has has stimulated and nurtured a wide array of coronagraph and mission simulation tools. In addition to the simulation software describe above, Data Challenges have allowed the community to engage and develop additional tools. Integral field spectrograph modes were considered for Roman and simulated in Coronagraph and Rapid Imaging Spectrograph in Python (crispy) which may also prove useful for other

‡https://roman.ipac.caltech.edu/sims/Exoplanet_Data_Challenges.html
The majority of the tools described above have been developed in Python; however, other tools have been developed for estimating coronagraph noise\textsuperscript{55} in languages such as IDL\textsuperscript{¶}. It is hoped that the open-source availability of all these tools will allow the community to more rapidly develop science questions with Roman CGI and provide springboards for future coronagraphic missions.

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