Evidence for Dust Grain Growth in Young Circumstellar Disks

Henry B. Throop, John Bally, Larry W. Esposito, Mark J. McCaughrean
Submitted to Science: 16 January 2001
Revised: 19 April 2001

Hundreds of circumstellar disks in the Orion nebula are being rapidly destroyed by the intense ultraviolet radiation produced by nearby bright stars. These young, million-year-old disks may not survive long enough to form planetary systems. Nevertheless, the first stage of planet formation – the growth of dust grains into larger particles – may have begun in these systems. Observational evidence for these large particles in Orion’s disks is presented. A model of grain evolution in externally irradiated protoplanetary disks is developed and predicts rapid particle size evolution and sharp outer disk boundaries. We discuss implications for the formation rates of planetary systems.

The growth of dust grains orbiting young stars represents the first stage of planet formation. However, stars born in massive star-forming regions such as the Orion nebula are heated by intense ultraviolet (UV) radiation from nearby O and B stars, and the gas and dust in their disks can be lost in less than $10^5$ years. Planet formation in such environments may therefore be inhibited if it requires substantially longer than this time. But, if growth to large particles can occur before removal of the gas and small particles, planets may nevertheless form from these disks. In this paper, visual and near-infrared wavelength images obtained with the Hubble Space Telescope (HST) are used to show that particles in Orion’s largest disk have grown to radii larger than 5 µm. Furthermore, the absence of millimeter-wavelength emission may provide evidence that grains have grown to sizes larger than a few millimeters. We develop a grain evolution model incorporating the effects of photo-ablation that demonstrate that the timescale for grain growth can be shorter than the photo-evaporation time. It is thought that the majority of stars in the Galaxy form in photo-evaporating regions like the Orion nebula; if this is true, then giant planets and Kuiper belts of icy bodies around stars are probably rare unless they are formed very rapidly.

Solar system-sized circumstellar disks in the Orion nebula were first inferred from radio observations of dense ionized regions surrounding young low-mass stars. HST subsequently yielded images of extended circumstellar material surrounding over half of the observed 300 young low-mass stars in core of the Orion nebula. Most of these ‘proplyds’ consist of comet-shaped ionized envelopes pointing directly away from the brightest stars in the Nebula.
Proplyds are believed to contain evaporating circumstellar disks \cite{10} and over 40 disks have been resolved on HST images. More than 25 are found inside ionized envelopes while 15 are seen purely in silhouette against the background light of the nebula.

Assuming disk masses $\sim 0.01 - 0.05 M_\odot$ ($1 M_\odot = 1$ solar mass) \cite{10}, external radiation erodes disks in the central 1 pc of the Orion nebula on $10^4$ to $10^5$ yr timescales \cite{3,10}. Soft UV photons (91 nm < $\lambda$ < 200 nm) from nearby massive stars heat the disk surface layers to about 1000 K. Gas heated above the local escape velocity is lost from the disk at loss rates of $\dot{M} \approx 10^{-7}$ to $10^{-6} M_\odot$ yr$^{-1}$ \cite{2}, and forms the cometary ‘proplyds’ surrounding many of Orion’s young stars. Dust grains will be entrained in the escaping neutral outflow where the gas drag forces on them exceed the force of gravity; the small ionized outflow component has negligible effect on grain loss. \cite{11} Entrained dust has been observed just inside the ionization fronts in several proplyds, \cite{9} but not considered in previous modeling.

The properties of the grains in Orion’s circumstellar disks can be probed by the wavelength-dependence of the attenuation of the background nebular light that filters through the translucent disk edges. Standard interstellar grains with radii of 0.1-0.2 $\mu$m \cite{12} scatter shorter wavelength visible light more efficiently than longer wavelengths. Therefore, disks containing predominantly small interstellar grains become more transparent with increasing wavelength. On the other hand, the opacity of disks containing predominantly large grains (larger than several times the wavelength) will be independent of the wavelength. While small grains ‘redden’ transmitted light, large grains do not alter its color, rendering the translucent portion of the disk ‘grey.’

The largest circumstellar disk in the Orion nebula, 114-426, is seen in silhouette against background nebular light. This nearly edge-on disk (the central star is occulted by the disk) has a radius of $\sim 550$ astronomical units (AU) and a resolved translucent outer edge roughly 200 by 200 AU in size at its northeast ansa (Fig. 1). \cite{9} Grain properties in this region can be probed by the attenuation of the bright background 1870 nm Paschen $\alpha$ and 656 nm H$\alpha$ lines. Because both these lines originate from recombinations of ionized hydrogen, the brightness ratio between these two lines is relatively constant over the extent of 114-426.

We used the Planetary Camera of HST’s WFPC2 instrument to obtain a set of four dithered 400 second H$\alpha$ exposures of 114-426 on January 11, 1999, resulting in reduced images with an angular resolution of 0.07”, or 30 AU. \cite{9} We also used HST’s NICMOS1 camera to obtain a 640 second Paschen $\alpha$ exposure on 26 February 1998 at resolution of 0.16”. \cite{13} In order to compare these images at identical resolutions, we convolved the H$\alpha$ image with a synthetic Paschen $\alpha$ point-spread function (PSF), and the Paschen $\alpha$ image with an H$\alpha$ PSF.

\footnote{The term ‘interstellar’ refers to the population of small, primordial dust grains in the Orion nebula that have not been processed in a circumstellar disk.}
Linear slices through the disk midplane at 656 nm and 1870 nm show that the opacity profiles of the translucent western edge of 114-426 are indistinguishable (Fig. 2). Thus, background light is not ‘reddened’; dust at the disk edge is ‘grey’ to a level of ∼5% between 656 nm and 1870 nm. The mean extinction of the translucent ansa of the 114-426 disk at these wavelengths can be compared to the reddening produced by observations of interstellar grains along several typical lines-of-sight (Fig. 3). The 114-426 disk’s translucent edge is achromatic and can not be fit by any standard interstellar extinction law. The standard interstellar extinction curve indicates that the opacity should be 5-10 times lower at 1870 nm than at 656 nm. This result depends only weakly on composition, grain shape, or the presence of fractal aggregates \[14,15\]. The observed grey opacity indicates that extinction is dominated by particles larger than 5 µm in radius, 25-50 times larger than typical interstellar grains. In contrast to the NE ansa, the disk’s polar halo region decreases in size with wavelength, indicating a suspended population of small particles above the disk poles. \[13\] Although previous observations of 114-426 \[8\] indicated a decrease in disk size by 20% from 656 nm to 1870 nm, that result may have reflected poor signal/noise ratio in the earlier 1870 nm observations \[11\].

The lack of millimeter-wavelength emission places additional constraints on grain sizes. We observed six Orion nebula disks, including 114-426, with the Owens Valley Radio Observatory (OVRO) millimeter wavelength interferometer at \( \lambda = 1.3 \) mm continuum. \[16\] None were detected, implying mass limits of \( M_{\text{disk}} < 0.020 M_\odot \) under the assumption of an interstellar emissivity of \( 2 \times 10^{-2} \) cm\(^2\) g\(^{-1}\). However, in re-visiting our analysis of these data, we note the possibility that grains have grown larger than a few millimeters and thus the standard emissivity may underestimate the mass. The models described below predict that particles grow to larger than 1 mm throughout the disk in less than \( 10^5 \) yrs, causing an emissivity of \( 2 \times 10^{-3} \) cm\(^2\) g\(^{-1}\) and implying disk masses as large as 0.2 \( M_\odot \).

We have developed a numerical model \[13\] to explore grain behavior within photo-evaporating disks. Our model includes (i) grain growth due to mutual collisions and accumulation of ice mantles, \[18\] (ii) coupling and loss of small grains entrained in UV photo-evaporation induced outflow, \[3,10\] and (iii) photosputtering of ices. \[19\] The grain density is assumed to remain constant as grains collide and stick within turbulent eddies produced by heat escaping from the disk midplane. Vertical and azimuthal symmetry is assumed \[20\] and the abundance and size distribution of ice, silicate, and gas are tracked separately at each time-step. Our disk has an initial mass of 0.1 \( M_\odot \), with a grain size distribution identical to interstellar dust. The model starts when the ionizing source turns on, and stops when the disk thermal optical depth has dropped below unity and can no longer sustain convection, \[15\] typically in a little over \( 10^5 \) yr. After convection stops, grain growth is dominated by processes such as settling and gravitational interactions. These processes are not considered here. The
Grains grow most rapidly in the center of the model disk (Fig. 4a) where the highest densities and temperatures are found and photo-evaporation does not operate. The growth rate decreases with distance from the central star; grain sizes reach \( r = 1 \text{ m} \) at 10 AU and \( r = 1 \text{ mm} \) at 500 AU in \( 10^5 \text{ yr} \). In the outer disk, small (\(< 1 \text{ mm}\)) grains are entrained in the photo-evaporative flow from the disk surface and lost from the system, decreasing the optical depth (Fig. 4b). After \( 10^5 \text{ yr} \), few particles remain in the disk outward of 40 AU. At the transition between these ‘grain growth’ and ‘grain loss’ regimes, an edge populated by large, cm-sized particles is left behind. After the silicate population has stabilized, photo-sputtering continues to reduce ice particle sizes and remove gas, and nearly all ice and gas are removed by \( 10^6 \text{ yr} \). Only silicates that grow large enough (\( r > 1 \text{ mm} \)) to resist photo-evaporative entrainment are retained. Ices do not survive and only rocky planets, planetary cores, or asteroids can form.

In the standard planetary formation model, giant planets such as Jupiter form by accreting hydrogen and helium rich gas from the disk onto a large rocky core. In Orion-like environments, there may not be time to grow the requisite cores before loss of the gas since Jupiter’s formation would require \( 10^6 – 10^7 \text{ yr} \). If giant planets form in Orion-like systems, they must do so before disk photo-evaporation. One viable mechanism for rapid formation of giant planets is gravitational collapse, which has been postulated to occur in disks with \( M_{\text{disk}} > 0.13 M_\odot \) on \( 10^3 \text{ year} \) time-scales around solar-mass stars. Icy Kuiper belt objects and comets are believed to have formation time-scales of \( 10^8 – 10^9 \text{ yr} \). Thus, these objects are also difficult to form in Orion-like environments. The architectures of any new planetary systems that might form in Orion are likely to be different from that of our Solar system.

The evidence for large particles in 114-426 complements several previous studies of particles in young disks. The reflected-light near-infrared spectrum of the disk orbiting HR4796A provides evidence for particles with radii larger than 2-3 \( \mu \text{m} \). Several disks in NGC2024 and Taurus reveal relatively flat sub-millimeter spectra that may indicate large grains. However, near-IR observations of the disk in HH30 show normal dust opacities and no evidence for grain growth and sub-mm observations of the HL Tau disk are inconclusive. Grain growth in disks appears to depend strongly on their environment.

The majority of young stars in the Milky Way galaxy appear to have formed in large, dense clusters like the Orion nebula, rather than in smaller, dark clouds.
such as Taurus-Auriga. Within large clusters, the majority of stars form near massive stars where their disks can be rapidly destroyed. Thus, planet formation models must be revised to consider the destructive effects of these environments. We present evidence for large gains in one Orion disk. This creates the possibility that planetary system with architectures different from our own Solar system may nonetheless form in such hazardous environments.

References

[1] S. V. W. Beckwith, T. Henning, Y. Nakagawa, In V. Mannings, A. P. Boss, S. S. Russell, editors, Protostars and Planets IV. U. Ariz. Pr. (2000).
[2] C. J. Henney and C. R. O’Dell, Astron. J. 118, 2350 (1999).
[3] H. Stoerzer and D. Hollenbach, Astrophys. J. 495, 853 (1998).
[4] F. M. Walter, J. M. Alcala, R. Neuhauser, M. Sterzik, S. J. Wolk, In V. Mannings, A. P. Boss, S. S. Russell, editors, Protostars and Planets IV. U. Ariz. Pr. (2000).
[5] E. Churchwell, M. Felli, D. O. S. Wood, M. Massi, Astrophys. J. 321, 516 (1987).
[6] C. R. O’Dell, Z. Wen, X. Hu, Astrophys. J. 410, 696 (1993).
[7] M. J. McCaughrean and C. R. O’Dell, Astron. J. 111, 1977 (1996).
[8] M. J. McCaughrean et al., Astrophys. J. 492, L157 (1998).
[9] J. Bally, C. R. O’Dell, M. J. McCaughrean, Astron. J. 119, 2919 (2000).
[10] D. Johnstone, D. Hollenbach, J. Bally, Astrophys. J. 499, 758 (1998).
[11] H. B. Throop, Light scattering and evolution of protoplanetary disks and planetary rings, PhD thesis University of Colorado (2000).
[12] S.-H. Kim, P. G. Martin, P. D. Hendry, Astrophys. J. 422, 164 (1994).
[13] M. J. McCaughrean, K. R. Stapelfeldt, L. M. Close, In V. Mannings, A. P. Boss, S. S. Russell, editors, Protostars and Planets IV. U. Ariz. Pr. (2000).
[14] K. Lumme, J. Rahola, J. W. Hovenier, Icarus 126, 455 (1997).
[15] M. I. Mishchenko, L. D. Travis, D. W. Mackowski, J. Quant. Spec. Rad. Trans. 55, 535 (1996).
[16] J. Bally, L. Testi, A. Sargent, J. Carlstrom, Astron. J. 116, 854 (1998).
[17] L. G. Mundy, L. W. Looney, E. A. Lada, Astrophys. J. 452, L137 (1995).
[18] H. Mizuno, W. J. Markiewicz, H. J. Voelk, Astron. & Astrophys. 195, 183 (1988).

[19] M. S. Westley, R. A. Baragiola, R. E. Johnson, G. A. Baratta, Nature 373, 405 (1995).

[20] B. Dubrulle, G. Morfill, M. Sterzik, Icarus 114, 237 (1995).

[21] J. B. Pollack et al., Icarus 124, 62 (1996).

[22] A. P. Boss, Science 276, 1836 (1997).

[23] P. Farinella, D. R. Davis, S. A. Stern, In V. Mannings, A. P. Boss, S. S. Russell, editors, Protostars and Planets IV. U. Ariz. Pr. (2000).

[24] G. Schneider et al., Astrophys. J. Lett. 513, 127 (1999).

[25] A. E. Visser, J. S. Richer, C. J. Chandler, R. Padman, Month. Not. Royal Astron. Soc. 301, 585 (1998).

[26] V. Mannings and J. P. Emerson, Month. Not. Royal Astron. Soc. 267, 361 (1994).

[27] A. M. Watson, K. R. Stapelfeldt, J. E. Krist, C. J. Burrows, Astrophys. J. 1, 1 (2001).

[28] J. A. Cardelli, G. C. Clayton, J. S. Mathis, Astrophys. J. 345, 245 (1989).

[29] H. Stoerzer and D. Hollenbach, Astrophys. J. 515, 669 (1999).

[30] H. W. Yorke, P. Bodenheimer, G. Laughlin, Astrophys. J. 411, 274 (1993).

Acknowledgments: This research was funded by the Cassini project, the NASA Astrobiology Institute, NASA grants NAG5-8108 and GO-06603.01-95A, DLR grant number 50–OR–0004 and European Commission Research Training Network RTN1–1999–00436. We thank C. Campbell, N. Turner, and two anonymous reviewers for their comments.
**Figure 1:** Images of the 114-426 disk in Orion at 656 nm (left) and 1870 nm (right) obtained with HST. The images have been rotated and scaled to the same spatial scale, and are shown at full resolution before convolving as described in the text. The maximum and minimum background intensities have been normalized to unity and zero respectively. Both images were processed and calibrated through the standard HST data pipeline.

**Figure 2:** One-dimensional intensity slices along the major axis of the 114-426 circumstellar disk. Both images have been convolved with their complementary PSF’s to produce images at matched angular resolutions. The disk’s SW ansa is consistent with a sharp disk edge. In contrast, the disk’s translucent NE ansa is spatially extended over at least 4 resolution elements. The indistinguishable profiles at the two wavelengths indicates that transmission through the translucent portion of the disk is achromatic, and the disk is dominated by particles larger than 5\( \mu \)m.

**Figure 3:** The wavelength dependence of the light transmitted through the translucent portion of the 114-426 disk, compared to the range of standard observed interstellar reddening laws. The 656 and 1870 nm data points for the NE ansa of 114-426 is marked. All data have been normalized to the V photometric band. The points for 114-426 show that the extinction is grey and can not be represented by any interstellar extinction law. The error bar on the 1870 nm point shows \( 3\, \sigma \) errors, dominated by spatial variations in the background light at both wavelengths, and flat-field artifacts in the 1870 nm image. \( A \) indicates the extinction in magnitudes at wavelength \( \lambda \). The color ratio \( R_v \) is defined as \( A_v/(A_v - A_B) \). Other single letters indicate the standard photometric bands. The stellar data were taken from [28].

**Figure 4:** A model for grain growth in a photo-evaporating circumstellar disk exposed to a UV radiation field typical of the Orion Nebula. The initial grain size distribution is that of interstellar dust. a) The evolution of the particle size with time at several radial distances. Solid lines correspond to radial distances \( R_i = \exp(2.31 + 0.43 n_i) \) AU. The outermost several bins do not grow significantly and their lines appear superimposed on each other. Particles grow quickly at the inner edge due to high collision velocities, high gas densities, and slow loss processes. Growth is terminated when infrared optical depth drops below unity, inhibiting convection. b) The evolution of the radial profile of the disks opacity as viewed from the disk axis. Grains at the outer edge are removed by the photo-ablation flow while grains in the inner disk grow rapidly. These two processes create a disk populated by large particles. Time-steps for the solid lines correspond to times \( t_i = \exp(5.1 + 0.24 n_i) \) yr. The input parameters used here are representative of photo-evaporative conditions 0.1 pc from the Orion nebula core. [10, 29]

The following disk parameters are assumed: The surface density declines with disk radius as \( \Sigma \sim R^{-3.5} \) [30], the vertical height scales as \( z = R/10 \), the sputtering rate is given by \( (dr/dt)_s = 1 \ \mu \)m yr\(^{-1} \), and the sticking efficiency is \( \epsilon = 0.1 \). The outflow column density is \( n_0 = 3 \times 10^{22} \) cm\(^{-2} \), higher than the
$3 \times 10^{21}$ cm$^{-2}$ of [24] to account for deeper UV penetration due to the large grains in the disk. The viscosity parameter is $\alpha = 10^{-2}$, the outflow temperature at its base is $T_o = 1000 K$, the central star mass is $M_* = 1 M_\odot$, the disk inner and outer radii are $R_{in} = 10$ AU and $R_{out} = 500$ AU, and the grain density is $\rho = 1$ g cm$^{-3}$. 
Figure 1:
Figure 2:

![Graph showing relative intensity vs. astronomical units]

- Solid line: $H\alpha \times \text{PSF}_{P\alpha}$
- Dotted line: $P\alpha \times \text{PSF}_{H\alpha}$
- Dashed line: $\text{PSF}_{H\alpha} \times \text{PSF}_{P\alpha}$
Figure 3:

\[ \frac{A_{\lambda}}{A_V} = \left( \frac{1}{\lambda} \right)^{1/\mu_m - 1} \]

Orion 114–426
HD 48099
BD+56 524
Herschel 36
Orion 114–426

R_V

- BD+56 524: 2.75
- HD 48099: 3.52
- Herschel 36: 5.30
- Orion 114–426

\[ \frac{A_{\lambda}}{A_V} \] vs. \( \frac{1}{\lambda} \) (μm\(^{-1}\))
