Theory of Two-Dimensional Spatially Indirect Equilibrium Exciton Condensates

Feng-Cheng Wu,1 Fei Xue,1,† and A.H. MacDonald†

1Department of Physics, The University of Texas at Austin, Austin, TX 78712, USA

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We present a theory of bilayer two-dimensional electron systems that host a spatially indirect exciton condensate when in thermal equilibrium. Equilibrium bilayer exciton condensates (BXC) are expected to form when two nearby semiconductor layers are electrically isolated, and when the conduction band of one layer is brought close to degeneracy with the valence band of a nearby layer by varying bias or gate voltages. BXC are characterized by spontaneous inter-layer phase coherence and counterflow superfluidity. The bilayer system we consider is composed of two transition metal dichalcogenide monolayers separated and surrounded by hexagonal boron nitride. We use mean-field-theory and a bosonic weakly interacting exciton model to explore the BXC phase diagram, and time-dependent mean-field theory to address condensate collective mode spectra and quantum fluctuations. We find that a phase transition occurs between states containing one and two condensate components as the layer separation and the exciton density are varied, and derive simple approximate expressions for the exciton-exciton interaction strength which we show can be measured capacitively.

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I. INTRODUCTION

Recent advances in the study of two-dimensional van der Waals materials1 have opened up new horizons in condensed matter physics by allowing familiar properties, including those of metals, superconductors, gapless semiconductors, semiconductors, and insulators, to be combined in new ways simply by designing stacks of atomically thick layers. In this article we consider condensation of spatially indirect excitons in the case of a two-dimensional semiconductor bilayer formed by two group-VI transition metal dichalcogenides (TMD) that are separated and surrounded by an insulator, for example hexagonal boron nitride (hBN). The TMDs are in their 2H structure monolayer form. Two-dimensional material stacks of this type are promising hosts for exciton condensation, both because they host strongly bound excitons2–4 and because of recent progress in realizing flexible high quality TMD heterostructures.5–12

In van der Waals heterostructures it is possible13,14 to tune the positions of the Fermi levels in individual layers over wide ranges while maintaining overall charge neutrality, either by applying a gate voltage between surrounding electrodes or a bias voltage between the semiconductor layers. When the indirect band gap between the conduction band of one layer and the valence band of the other layer is reduced to less than the indirect exciton binding energy, charge will be transferred between layers in equilibrium. At low densities, the transferred charges form spatially indirect excitons, and these are expected15–21 to form Bose condensates. The bilayer exciton condensate (BXC) state has spontaneous interlayer phase coherence and supports dissipationless counterflow supercurrent.22–24 that could enable the design of low-dissipation electronic devices.25

Exciton condensates in TMD heterostructures are similar to atomic spinor Bose-Einstein condensates because of the presence of both spin and valley degrees of freedom. The spin-valley coupling of conduction band electrons and valence band holes that are specific to TMD heterostructures enriches the excitonic physics. In this paper we study the interplay between the exciton condensation and spin and valley internal degrees of freedom to construct an exciton condensate zero temperature phase diagram as a function of effective layer separation d and exciton chemical potential µ, or equivalently exciton density. We demonstrate that there are two distinct condensate phases with different number of condensate flavors, as shown in Fig. 1.

Our paper is organized as follows. In Sec. I, we explain how we model the heterostructure, and present the mean-field phase diagram implied by Hartree-Fock theory. In Sec. II, we derive an effective boson model that incorporates exciton-exciton interaction effects and can be used to describe excitons in the low density limit. The difference between the strengths of the repulsive interactions between excitons with the same internal label and between excitons with different internal labels changes sign as the layer separation increases. This change drives the transition from phase-II, a phase with two condensate flavors present, to phase-I, a phase with only one condensate flavor. Both phases spontaneously break the symmetry of the model Hamiltonian, and the symmetry breaking pattern of each phase is analyzed. In this section we also explain how capacitance measurements can be used to study the exciton phase diagram experimentally and to extract the value of the exciton-exciton interaction strength within each phase. In Sec. III, we use a time-dependent Hartree-Fock theory to study the stability of phase-I against small fluctuations, and to calculate the collective mode spectra of these exciton condensates. Finally in Sec. IV we present a brief summary,
conduction bands with labels \(c = 1, 2, 3, 4\) corresponding to spin and valley. We assume that exciton binding energies and densities are small enough to justify a parabolic band approximation for all band extrema. Our mean-field ansatz allows up to two types of excitons to be present; for examples pairs formed from holes in band \(v = 1\) and electrons, selected by spin-splitting, in band \(c = 1\), can condense, along with pairs formed from holes in band \(v = 2\) and electrons in band \(c = 2\). Although the unpaired conduction bands \(c = 3, 4\) are only slightly higher in energy, this pairing ansatz is fully self-consistent at low exciton density, because of the substantial exciton binding energy. Our pairing ansatz is also justified by an interacting boson model, described in Sec. III, which allows for the most general possible pairing scenario.

The ansatz leads to the mean-field Hamiltonian:

\[
H_{MF} = \sum_{\vec{k}(vc)} \left( a_{c\vec{k}}^\dagger a_{c\vec{k}} \right) \left( \xi_{c\vec{k}} + \xi_{vc} - \Delta_{c\vec{k}} \right) \left( a_{c\vec{k}}^\dagger a_{c\vec{k}}^\dagger \right) - \sum_{\vec{k},c=3,4} \left( \frac{\hbar^2 k^2}{2m_e} - \frac{1}{2} \tilde{\mu} \right) a_{c\vec{k}}^\dagger a_{c\vec{k}},
\]

where the prime in the first summation restricts the pair index \((vc)\) to (11) and (22) contributions. \(a^\dagger\) and \(a\) are fermionic creation and annihilation operators. The kinetic term \(\xi_{c\vec{k}} = \hbar^2 k^2 / (4m_e)\) accounts for the difference between conduction and valence band effective masses, \(m_c\) and \(m_h\), and \(\sigma_{x,y}\) are Pauli matrices. The dressed energy difference between conduction and valence bands, \(\xi_{c\vec{k}}^{(vc)}\), and the coherence induced effective interlayer tunneling amplitude, \(\Delta_c^{(vc)}\), are defined as:

\[
\xi_{c\vec{k}}^{(vc)} = \frac{\hbar^2 k^2}{4m} - \frac{1}{2} \tilde{\mu} - \frac{1}{A} \sum_{\vec{k}'} V(\vec{k} - \vec{k}') \langle a_{c\vec{k}'}^\dagger a_{c\vec{k}} \rangle,
\]

\[
\Delta_{c\vec{k}}^{(vc)} = \frac{1}{A} \sum_{\vec{k}'} U(\vec{k} - \vec{k}') \langle a_{c\vec{k}'}^\dagger a_{c\vec{k}} \rangle,
\]

where \(\langle \ldots \rangle\) is the expectation value in the mean-field ground state.

\[
\langle a_{c\vec{k}}^\dagger a_{c\vec{k}} \rangle = \frac{1}{2} \left( 1 - \xi_{c\vec{k}}^{(vc)} / E_{c\vec{k}}^{(vc)} \right),
\]

\[
\langle a_{c\vec{k}}^\dagger a_{c\vec{k}} \rangle = \Delta_{c\vec{k}}^{(vc)} / (2E_{c\vec{k}}^{(vc)}),
\]

\[
E_{c\vec{k}}^{(vc)} = \sqrt{\xi_{c\vec{k}}^{(vc)}^2 + \Delta_{c\vec{k}}^{(vc)}^2}.
\]

In Eq. (2), \(m = m_e m_h / (m_e + m_h)\) is the reduced mass, and \(A\) is the area of the system. The parameter \(\tilde{\mu}\) is:

\[
\tilde{\mu} = \mu - 4\pi e^2 n d / \epsilon_n = \frac{1}{A} \sum_{\vec{k}} \sum_{c=1,2} \langle a_{c\vec{k}}^\dagger a_{c\vec{k}} \rangle,
\]

where \(\mu\) is the chemical potential for excitons, and \(n\) is the total charge density transferred between layers.

II. MEAN-FIELD PHASE DIAGRAM

We consider two monolayer TMD semiconductors separated and surrounded by hBN (Fig. 1). Many of the points we make apply with minor modification, however, to any bilayer two-dimensional semiconductor system. Monolayer TMDs are direct-gap semiconductors with band extrema located at valleys \(K\) and \(K'\). Because these TMD layers lack inversion symmetry, spin degeneracy in the TMD bands is lifted by spin-orbit interactions. Because of differences between the orbital character of conduction and valence band states, it turns out that spin splitting is large at the valence band maxima and small at the conduction band minima. As illustrated in Fig. 1, we therefore retain in our theory the two valley-degenerate valence bands labeled by \(v = 1, 2\), and four

![FIG. 1: (Color online) Transferred charge density and exciton condensate phase as a function of effective layer separation \(d\) and chemical potential \(\mu\). The solid orange line marks the second-order phase transition from the phase in which there is no charge transfer between the bilayers i.e. the phase with no excitons present, to the bilayer exciton condensate (BXC) phase. The blue dashed line separates phase-II in which two condensate flavors are present from phase-I in which the ground state has a single condensate flavor. The two red dotted lines are contours at the density values \(n a_B^2 = 0.01\) and \(0.1\). (For \(n a_B^2 \geq 0.1\) the exciton-condensate ground state is expected to be superseded by an electron-hole plasma state. See text for a more complete discussion.) The arrows mark the values of \(d\) examined in Fig. 2(a) and 2(b), and the crosses(×) indicate the parameter values examined in Fig. 2(c) and 2(d). The left inset is a schematic experimental setup for BXC studies in which the spatially indirect gap is tuned by an interlayer bias potential, and the right inset illustrates the bilayer band structure in the absence of the bias potential \(V_b\).](image-url)
Equations 2, 3 and 4 form a set of mean-field equations that can be solved self-consistently. Note that the (11) and (22) pairing channels are coupled through the dependence of \( \bar{\mu} \) and \( \bar{\mu}^* \) on the chemical potential \( \mu \). Below \( \bar{\mu} \) and \( \bar{\mu}^* \) always have a lower energy, as illustrated in Fig. 2(a). We obtain the phase diagram in Fig. 1 by comparing the total energy of phase-I and II as a function of \( (d, \mu) \). Typical quasiparticle energy bands in phase-II and I are depicted in Fig. 2(c) and (d), and show that the system is an excitonic insulator with a charge gap.

In our mean-field theory, condensation of one type of exciton occurs (e.g. \( \Delta_{(11)}^{(k)} \neq 0 \) and \( \Delta_{(22)}^{(k)} = 0 \)). In phase-II, excitons associated with both valence bands condense and have equal population (e.g. \( \Delta_{(11)}^{(k)} = \Delta_{(22)}^{(k)} \neq 0 \)). Both phases are allowed by Eq. 2. We find two distinct types of BXC phase. In phase-I, only one type of exciton condenses (e.g. \( \Delta_{(11)}^{(k)} \neq 0 \) and \( \Delta_{(22)}^{(k)} = 0 \)). In phase-II, excitons associated with both valence bands condense and have equal population (e.g. \( \Delta_{(11)}^{(k)} = \Delta_{(22)}^{(k)} \neq 0 \)). Both phases are allowed by Eq. 2.

### TABLE I: Parameter values for different combinations of monolayer layer 2H-TMDs

| Material          | \( m_e/m_h \) | \( \alpha_g \) | \( a_g^* \) | \( E_g \) |
|-------------------|---------------|---------------|-------------|----------|
| MoSe\(_2\)/MoS\(_2\) | 0.47          | 0.62          | 9.89        | 145      | 1.1      |
| MoS\(_2\)/WTe\(_2\)  | 0.47          | 0.36          | 12.97       | 111      | 1.4      |
| MoS\(_2\)/WSe\(_2\)  | 0.47          | 0.32          | 13.89       | 104      | 0.8      |

The indirect exciton binding energy \( E_B \) determines the value for \( \mu \) at which excitons first appear. When \( \mu < -E_B \) no excitons are present. In this state each layer is electrically neutral and there is no interlayer coherence. Eq. 2 has nontrivial \( (n, \Delta_k \neq 0) \) solutions only for \( \mu > -E_B \). We find two distinct types of BXC phase. In phase-II, only one type of exciton condenses (e.g. \( \Delta_{(11)}^{(k)} \neq 0 \) and \( \Delta_{(22)}^{(k)} = 0 \)). In phase-II, excitons associated with both valence bands condense and have equal population (e.g. \( \Delta_{(11)}^{(k)} = \Delta_{(22)}^{(k)} \neq 0 \)). Both phases are allowed by Eq. 2.

III. INTERACTING BOSON MODEL

To understand the phase diagram more deeply, we employ a boson Hamiltonian designed to describe weakly-interacting excitons in the low density limit. Our strategy...
FIG. 3: (Color online)(a) Chemical potential $\mu$ as a function of density $n$ obtained from Hartree-Fock calculations in which a single exciton flavor is condensed. The line is a linear fit of the numerical data to Eq. (6). (b) Exciton-exciton interaction strength extracted from the self-consistent Hartree-Fock equation solutions (blue dashed line), and exciton-exciton interaction strength calculated from the interacting boson model (red solid line). The black dashed line is the Hartree contribution $g_H$ to the total interaction strength. (c) The blue and red lines separate the interlayer ($g_X^{(1)}$) and intralayer ($g_X^{(2)}$) exchange contributions to the total exciton-exciton interaction strength.

The boson Hamiltonian is to construct a Lagrangian based on a wavefunction which parametrizes a family of states with electron-hole coherence. The Berry phase part of the Lagrangian has the same form as that in the field-theory functional integral representation of a standard interacting boson model. Appealing to this property, we promote variational parameters in the wavefunction to bosonic operators. The details of the derivation are presented in Appendices A and B.

The boson Hamiltonian is:

$$H_B = \sum \left( \frac{\hbar^2 \vec{Q}^2}{2M} - E_b - \mu \right)B_{(vc)\vec{Q}}^\dagger B_{(vc)\vec{Q}} + \frac{1}{2A} \sum' \left\{ g_H(\vec{Q}_{1a}) B_{(vc)\vec{Q}_{1a}}^\dagger B_{(vc')\vec{Q}_{1b}} B_{(vc')\vec{Q}_{2a}}^\dagger B_{(vc)\vec{Q}_{2b}} + g_X(\vec{Q}_{13}, \vec{Q}_{14}) B_{(vc)\vec{Q}_{1a}}^\dagger B_{(vc')\vec{Q}_{2a}}^\dagger B_{(vc')\vec{Q}_{1b}} B_{(vc)\vec{Q}_{2b}} \right\} ,$$

where $B_{(vc)\vec{Q}}$ is a bosonic operator for an exciton with a hole in valence band $v$, an electron in conduction band $c$, and total momentum $\vec{Q}$. $\vec{Q}_{ab}$ is the momentum transfer $\vec{Q}_{a} - \vec{Q}_{b}$. For the TMD system, there are 8 possibilities for the composite index $(vc)$. The quadratic term in Eq. (5) accounts for exciton kinetic energy ($M = m_v + m_c$) and chemical potential. The quartic terms describe exciton-exciton interactions. The prime on the quartic term summation enforces momentum conservation $\vec{Q}_{13} + \vec{Q}_{14} = \vec{Q}_{1a} + \vec{Q}_{2a}$. The two types of exciton interaction arise from the fermionic Hartree and exchange interactions respectively. In the exchange interaction, two excitons swap constituent electrons or holes. Analytic expressions for the coupling strength $g_H(\vec{q})$ and $g_X(\vec{q}', \vec{q})$ are given in Appendix A.

We focus here on their zero-momentum limits $g_H = g_H(0)$ and $g_X = g_X(0,0)$, which are more easily interpreted and capture much of the exciton-exciton interaction physics. For the case in which the exciton condensate is populated by a single flavor we find that for low exciton densities

$$\mu = -E_b + gn ,$$

where $g = g_H + g_X$ is the total exciton-exciton interaction, as expected from the mean-field theory for weakly interacting bosons. This behavior is illustrated in Fig. 3(a). We have verified that the interaction parameter obtained by examining the dependence of $\mu$ on $n$ in the fermion mean-field theory agrees with the analytic expression in Appendix A, as illustrated in Fig. 3(b) which plots $g$ as a function of layer separation $d$. We find that $g_H = 4\pi e^2 d/\epsilon$ and that $g_X = g_X^{(1)} - g_X^{(2)}$, where $g_X^{(1)}$ and $g_X^{(2)}$ are both positive and originate from inter and intra-layer fermionic exchange interactions respectively. The binding energy of isolated excitons is due microscopically to attractive interlayer exchange interactions. When excitons overlap and interact with each other, coherence between layers is reduced weakening interlayer exchange, but strengthening intra-layer exchange. This explains the signs of the two contributions to $g_X$. The overall sign of $g_X$ is positive at $d = 0$ because the loss of interlayer exchange energy when excitons overlap is greater than the gain in intralayer exchange energy. In Fig. 3(c), we show that $g_X$ becomes negative beyond a critical layer separation $d_c \sim 0.25a_B$. It turns out that although both $g_X^{(1)}$ and $g_X^{(2)}$ increase with layer separation $d$, the rate of increase of $g_X^{(1)}$ is smaller than for $g_X^{(2)}$. The difference in behavior can be traced to the exponential decrease in the momentum space inter-layer Coulomb interaction with layer separation $d$ as shown in Eq. (A19) and (A20).

To find the ground state in the realistic multi-flavor case, we assume that all excitons condense into $\vec{Q} = 0$ states and introduce the following matrix:

$$F = \frac{1}{\sqrt{A}} \begin{pmatrix} \langle B_{(11)} \rangle & \langle B_{(12)} \rangle & \langle B_{(13)} \rangle & \langle B_{(14)} \rangle \\ \langle B_{(21)} \rangle & \langle B_{(22)} \rangle & \langle B_{(23)} \rangle & \langle B_{(24)} \rangle \end{pmatrix} .$$

Neglecting the small spin-orbit splitting of conduction band states, the total energy per area can be written in a compact form,

$$\frac{\langle H_B \rangle}{A} = -(E_b + \mu)T - \frac{g_H}{2} (T)^2 + \frac{g_X}{2} (T)^2,$$

where $T = F^\dagger F$. In Eq. (8) $T$ is the total density of excitons, summed over all flavors, and $(T)^2 = (T^2)^2$ measures the flavor polarization of the exciton condensate. This energy functional is invariant under the following transformation:

$$F \rightarrow e^{\mathbf{i} \theta} U_a F U_a^\dagger.$$
Here $e^{i\phi}$ captures the $U(1)$ symmetry which originates from separate charge conservation in the individual layers. $\mathcal{U}_2$ and $\mathcal{U}_4$ are respectively $2 \times 2$ and $4 \times 4$ special unitary matrices, which capture the $SU(2)$ symmetry of the valence bands and the $SU(4)$ symmetry present in the conduction bands when their spin-splitting is neglected. The overall symmetry group of the system is $U(1) \times SU(2) \times SU(4)$. When the conduction band spin-orbit splitting is included, the higher energy conduction band states in each valley are not occupied and the symmetry group is reduced to $U(1) \times SU(2) \times SU(2)$, corresponding to separate charge conservation and rotations in both conduction and valence band valley spaces.

$\mathcal{F}$ acquires a nonzero value in the ground state only if $\mu > -E_b$. By minimizing the energy functional, we verify that the sign of $g_X$ determines the position of a phase boundary between two different classes of exciton condensate which we refer to as phase-I and II. When $g_X < 0$, phase-I is energetically favorable and a representative realization of the ground state is,

$$\mathcal{F}_I = \sqrt{n_I} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix},$$

where $n_I = (\mu + E_b)/(g_H + g_X)$ is the exciton density. $\mathcal{F}_I$ is invariant under the transformation:

$$\left(e^{i\phi} \ 0 \ 0 \ 0 \right) \mathcal{F}_I \left(e^{-i\phi} \ 0 \ 0 \ \mathcal{V}_3 \right) = \mathcal{F}_I,$$

where $\mathcal{V}_3$ is a $3 \times 3$ unitary matrix. Therefore, phase-I spontaneously breaks the $U(1) \times SU(2) \times SU(4)$ symmetry down to $U(1) \times U(3)$ symmetry.

When $g_X > 0$ phase-II is realized. Energy minimization shows that a representative realization of the ground state in phase-II is,

$$\mathcal{F}_{II} = \sqrt{n_{II}/2} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix},$$

where $n_{II} = (\mu + E_b)/(g_H + g_X/2)$ is the total exciton density in phase-II. $\mathcal{F}_{II}$ is invariant under the transformation:

$$\mathcal{U}_2 \mathcal{F}_{II} \left(\mathcal{U}_2 \left(1 \right) \ 0 \ 0 \ \mathcal{V}_2 \right) = \mathcal{F}_{II},$$

where $\mathcal{V}_2$ is a $2 \times 2$ unitary matrix. Phase-II spontaneously breaks the $U(1) \times SU(2) \times SU(4)$ symmetry down to $SU(2) \times U(2)$ symmetry. A similar analysis can be applied to identify the symmetry breaking pattern when the spin splitting of the conduction bands is considered. In phase-I, an application of an infinitesimal external Zeeman field lifts both conduction and valence band valley degeneracies, and selects a unique condensate ground state with a finite spin-polarization, as illustrated in Fig. 4. (a) Four representative degenerate ground states in phase-I. For illustration purpose, only two conduction bands are shown. (The other two are slightly higher in energy because of spin-orbit splitting and do not participate in the ground state manifold.) The dashed black oval highlights the two bands with spontaneous phase coherence in phase-I. Charge is transferred from the valence band partner to the conduction band partner. In the upper panels (↑↑) and (↓↓), coherence is established between like spins and the ground state is not spin-polarized. In the lower panels (↑↓) and (↓↑), charge is transferred between opposite spins and the ground state is spin-polarized, with a finite spin-polarization that proportional to the transferred charge density. Because spin and valley are locked by spin-orbit coupling, spin-polarized states are stabilized by an infinitesimal Zeeman field. (b) In the presence of an infinitesimal Zeeman field that favors spin up, the spin-polarized state (↑↓) is selected as the unique ground state in phase-I. (c) Two representative degenerate ground states in phase-II. Both states have zero spin-polarization, and remain degenerate in an infinitesimal Zeeman field as shown in (d).

FIG. 4: (Color online) (a) Four representative degenerate ground states in phase-I. For illustration purpose, only two conduction bands are shown. (The other two are slightly higher in energy because of spin-orbit splitting and do not participate in the ground state manifold.) The dashed black oval highlights the two bands with spontaneous phase coherence in phase-I. Charge is transferred from the valence band partner to the conduction band partner. In the upper panels (↑↑) and (↓↓), coherence is established between like spins and the ground state is not spin-polarized. In the lower panels (↑↓) and (↓↑), charge is transferred between opposite spins and the ground state is spin-polarized, with a finite spin-polarization that proportional to the transferred charge density. Because spin and valley are locked by spin-orbit coupling, spin-polarized states are stabilized by an infinitesimal Zeeman field. (b) In the presence of an infinitesimal Zeeman field that favors spin up, the spin-polarized state (↑↓) is selected as the unique ground state in phase-I. (c) Two representative degenerate ground states in phase-II. Both states have zero spin-polarization, and remain degenerate in an infinitesimal Zeeman field as shown in (d).

Based on this mean-field calculation, we conclude that although the system has 8 types of excitons in total, only one or two flavors condense in the ground state. The number of condensed flavors is in general limited by the number of distinct valence or conduction bands, which ever is smaller in number. Although the boson model correctly captures the phase transition position as a function of $d$, it is important to emphasize that it is valid only in the low exciton density limit. For this reason, it fails to accurately predict the $\mu$ dependence of the phase boundary. In addition it fails to capture the tendency toward weaker electron-hole pairing at high exciton densities, which eventually leads to an electron-hole quantum liquid state with no interlayer coherence.

The relationship between the exciton density $n$, the exciton chemical potential $\mu$ and the coupling strength $g$ makes it possible to extract the value of $g$ from capacitance measurement. The differential capacitance per
area for the heterostructure is:

$$C = e^2 \frac{\partial n}{\partial \mu}. \quad (14)$$

The Hartree coupling strength $g_H$ can be identified as the inverse of the geometric capacitance:

$$C_{\text{geo}} = e^2 / g_H = e / (4\pi d). \quad (15)$$

Therefore, capacitance measurement provides a simple way to determine the value of $g_X$ in the low-exciton density limit:

$$e^2(C^{-1} - C_{\text{geo}}^{-1}) = \begin{cases} g_X < 0, & \text{phase-I} \\ \frac{1}{2}g_X > 0, & \text{phase-II}. \end{cases} \quad (16)$$

The sign of $g_X$ helps to distinguish phase-I and II.

**IV. FLUCTUATIONS AND STABILITY**

The bilayer exciton condensate is a state with spontaneously broken continuous symmetries, and therefore hosts low-energy collective fluctuations. Theoretical studies of fluctuation properties are of interest in part because they can reveal mean-field state instabilities. The collective modes can be studied using the interacting boson model, which is described in detail in Appendix C. The interacting boson model admits analytic density, phase and flavor fluctuations in both phase-I and II. However, it is valid only in the low exciton density limit. Here, we study another approach that can be applied to any exciton density. This approach is based on the following variational wave function which captures exciton density and phase fluctuations in phase-I:

$$|\Phi\rangle = \prod_\k [Z_\k + \sum_\Q z_\k(\Q)\gamma_\k(\Q)\gamma_\k(\Q)]|XC\rangle. \quad (17)$$

where $|XC\rangle$ is the phase-I ground state, $\gamma_\k(\Q)$ are respectively quasiparticle creation operators for occupied and empty quasiparticle states in $|XC\rangle$ associated with the ground state condensate and are defined as follows:

$$\gamma_\k,0 = u_\k a_\k^\dagger + v_\k a_\k^\dagger, \quad \gamma_\k,1 = v_\k a_\k^\dagger - u_\k a_\k^\dagger, \quad (18)$$

where $u_\k$ and $v_\k$ are parameters determined by self-consistent Hartree-Fock equations (2). $Z_\k$ is a normalization factor,

$$Z_\k = \sqrt{\frac{1}{\k} - \sum_\Q |z_\k(\Q)|^2}, \quad (20)$$

and $z_\k(\Q)$ are complex parameters.

To study fluctuation dynamics, we construct the Lagrangian:

$$\mathcal{L} = \langle \Phi | i\partial_t - H | \Phi \rangle \approx \mathcal{B} - \delta E^{(2)}, \quad (21)$$

where $\mathcal{B}$ is the harmonic Berry phase, and $\delta E^{(2)}$ is the harmonic energy variation (10)

$$\delta E^{(2)} = \sum_{\Q,\k,p} \{\mathcal{E}_{\k,p}(\Q)z_\k(\Q)z^*_p(\Q) + \frac{1}{2} \Gamma_{\k,p}(\Q)|z_\k(\Q)z^*_p(\Q) + z^*_\k(\Q)z_p(\Q)| \}. \quad (22)$$

Explicit forms for the matrices $\mathcal{E}$ and $\Gamma$ are given in App. D. To decouple $\pm \Q$ contributions in Eq. (22), we perform a change of variables, defining

$$z_\k(\Q) = x_\k(\Q) + iy_\k(\Q), \quad (23)$$

$$z^*_\k(-\Q) = x_\k(\Q) - iy_\k(\Q). \quad (24)$$

Note that $x_\k(\Q)$ and $y_\k(\Q)$ are complex numbers, and that there is a redundancy,

$$x_{-\k}(-\Q) = x_\k(\Q), \quad y_{-\k}(-\Q) = y_\k(\Q). \quad (25)$$

In terms of the $x$ and $y$ fields, the Berry phase $\mathcal{B}$ and
energy variation $\delta E^{(2)}$ are,
\[
B = \frac{1}{2} \sum_{Q, \vec{k}} \left( y^i \partial_t x^i + y^i \partial_t x^* - x^i \partial_t y^i - x^i \partial_t y^* \right) (Q),
\]
\[
\delta E^{(2)} = \sum_{Q, \vec{k}, \vec{p}} \left( x_{\vec{k}}^+ K^{(+)}_{\vec{k}, \vec{p}} x_{\vec{p}} + y_{\vec{k}}^+ K^{(-)}_{\vec{k}, \vec{p}} y_{\vec{p}} \right) (Q).
\] (26)

The kernel matrices $K^{(\pm)}_{\vec{k}, \vec{p}} (Q) = (E_{\vec{k}, \vec{p}} \pm \Gamma_{\vec{k}, \vec{p}}) (Q)$ are real and symmetric. The $x$ and $y$ fields in $\delta E^{(2)}$ can be identified with exciton density and phase respectively, and the Berry phase contribution to the action captures the conjugate relationship between these fluctuation variables.

Stability of the mean-field ground states against small fluctuations requires that the matrices $K^{(\pm)}$ are nonnegative. We have verified that this condition is satisfied out to large $d$ by explicit numerical calculations like those summarized in Fig. 5(a) and (b). At $Q = 0$, the matrix $K^{(-)}$ always has a zero-energy eigenvalue since,
\[
\sum_{\vec{p}} K^{(-)}_{\vec{k}, \vec{p}} (0) \Delta \vec{p} / E_{\vec{p}} = 0,
\] (27)
which follows from the fact that ground state energy is independent of global interlayer phase.

For low exciton density $n$ (Fig. 5(a)), the lowest eigenvalues of $K^{(+)}$ and $K^{(-)}$ have similar behavior and are separated from the continuum. This is expected since $K^{(+)}$ and $K^{(-)}$ are identical in the limit $n \rightarrow 0$. Fig. 5(b) demonstrates that the lowest eigenvalues of $K^{(+)}$ are close to the particle-hole continuum when the exciton density becomes large; the interacting boson model discussed above fails qualitatively in this limit.

The Euler-Lagrange equation for the Lagrangian in Eq. (21) gives rise to the equation of motion,
\[
\partial_t x_{\vec{k}} (Q) = - \left( K^{(-)}_{\vec{k}, \vec{p}} y_{\vec{p}} \right) (Q),
\]
\[
\partial_t y_{\vec{k}} (Q) = + \left( K^{(+)}_{\vec{k}, \vec{p}} x_{\vec{p}} \right) (Q),
\] (28)
which leads to
\[
\partial_t^2 y_{\vec{k}} (Q) = - \left( (K^{(+)} K^{(-)})_{\vec{k}, \vec{p}} y_{\vec{p}} \right) (Q).
\] (29)

It follows that the energy of the collective mode is given by the square root of the lowest eigenvalues of the matrix product $K^{(+)} K^{(-)}$, which is plotted in Fig. 5(c) and (d). The lowest energy collective mode is the gapless Goldstone mode of the exciton condensate. For low exciton density $n$ (Fig. 5(c)), the Goldstone mode has linear dispersion at small $Q$, becoming quadratic at large $Q$. This agrees with the Goldstone mode behavior predicted by the weakly interacting boson model(Eq. (21)). For large $n$ (Fig. 5(d)), the Goldstone mode deviates from quadratic behavior at large $Q$. The failure of the weakly interacting boson model in the high density limit originates from the internal structure of the excitons. When the typical distance between excitons is comparable to exciton size, excitations must be described in terms of the underlying conduction and valence band fermion states.

V. SUMMARY AND DISCUSSION

By combining Hartree-Fock theory and an interacting boson model, we have shown that spatially indirect exciton condensates in group-VI TMD bilayers have two distinct phases. We have also studied the dynamics of exciton condensate density and phase fluctuations and calculated the associated collective mode spectra.

The topic of exciton condensation in semiconductors has a long history and our work is related to some earlier studies. For example, Berman et al. studied exciton condensation in bilayers formed from gapped graphene, although the possibility of two distinct condensate phases was not considered. The phase transition between the two condensate phases as a function of layer separation was studied previously for the case of quantum well bilayer excitons, and further explored in a very recent publication. The TMD layers considered in this paper are distinguished from semiconductor quantum well systems by exciton binding energies that are an order of magnitude larger, and by spin-valley coupling which leads to two-fold degenerate valence bands and approximately four-fold degenerate conduction bands. Compared to Refs. we used a completely different approach to derive an interacting boson model. Our approach is physically transparent, and is based on a variational wavefunctions defined by parameters whose quantum fluctuations are characterized by using a Lagrangian formalism. The bosonic nature of excitons is automatically taken into account in the Lagrangian, and there is no need to calculate combinatorial factors arising from the indistinguishability of bosonic particles. Our approach provides a simple yet systematic way to model the exction-exciton interaction. We have also discussed a fermionic Hartree-Fock approach from which the exction-exciton interaction strengths can be extracted with similar results, and proposed that the interaction strengths can be experimentally determined by performing capacitance measurement.

Because the hBN dielectric barrier in the systems of interest, must be thick enough to make interlayer tunneling weak, Fig. 1 implies that phase-I with a single condensate flavor is more likely to be realized in experiment than phase-II. Phase-I breaks the invariance of the system Hamiltonian under separate valley rotations in conduction and valence bands, and is ferromagnetic in the sense that infinitesimal Zeeman coupling leads to a spin-polarization that is proportional to the exciton density.

In spite of their large gaps, band edge states in TMDs have relatively large Berry curvatures. In monolayer TMDs momentum space Berry curvatures lead to unusual excitonic spectra in which hydrogenic degeneracies are lifted. Although band Berry curvatures should be less important in spatially indirect exciton systems because weaker binding implies that the exciton states are formed within a smaller region of momentum space. In terms of its influence on quasiparticle bands, exciton condensation...
has the effect of preventing gaps between conduction and valence band states from closing. Since the host semiconductor materials are topologically trivial, and since transitions between trivial and non-trivial states can occur continuously only when the quasiparticle charged excitation energy vanishes, we argue that exciton condensation will not result in interaction-driven topologically nontrivial states in our system.

The critical temperature of spatially indirect exciton condensate is the Berezinskii-Kosterlitz-Thouless transition temperature, given at low exciton densities by the weakly-interacting boson expression

\[ k_B T_{BKT} \approx 1.3 \frac{\hbar^2 n}{M} = 2.6 (na_B^2) \frac{m}{M} \text{Ry}^*, \]

where \( M \) is the electron-hole pair total mass and \( m \) is the reduced mass. In the low exciton density limit \( k_B T_{BKT} \) scales linearly with exciton density [34]. For the MoS\(_2\)/hBN/MoTe\(_2\) heterostructure and exciton densities \( n \) in the \( 10^{12} \text{cm}^{-2} \) range, \( na_B^2 \approx 0.01 \) and \( T_{BKT} \) is about 10K. The maximum possible transition temperature is closely related to the critical density at which the Mott transition to an electron-hole plasma occurs, and this increases as \( d/a_B \) decreases. Using the variational Monte Carlo estimate of DePalo et al. [34] the critical value of \( na_B^2 \approx 0.3 \) as \( d/a_B \rightarrow 0 \). From this we conclude that \( k_B T_{BKT} \) cannot exceed around 300K. Adjustment of exciton density by external bias voltage can be employed to search for the highest transition temperature and to study the Mott transition to an ungauged electron-hole plasma that is expected at high exciton densities. The most interesting regime is likely to be the case of very small layer separations of which current leakage driven through the tunnel barrier by an interlayer bias potential might be appreciable, requiring the bilayer to be treated as a non-equilibrium system.

The exciton condensate should be experimentally realizable in TMD bilayers provided that samples with sufficiently weak disorder can be achieved. The photoluminescence line width \( W \) of an individual monolayer TMD is a particularly useful characterization of sample quality for this purpose. \( W \) is currently dominated by the position-dependence of exciton energies. Therefore, the narrower the line width \( W \), the weaker the disorder, and the better the sample quality. We expect bilayer exciton condensation to occur only in samples in which \( W < k_B T_{BKT} \), since the excitons will otherwise simply localize near positions where they have minimum energy. Note that the inhomogeneous broadening \( W \) of spatially indirect excitons will not be experimentally accessible since the corresponding transitions are optically inactive when the interlayer tunneling is negligible, but that it should be similar to the broadening of the readily measurable direct exciton energies. It should therefore be possible to judge on the basis of optical characterization when samples have achieved sufficient quality to study spatially indirect exciton condensate physics.

VI. ACKNOWLEDGMENT

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Appendix A: Interacting boson model for excitons in the low density limit

In the low density limit, excitons can be approximated as interacting bosons. We take a BCS like variational wave function to describe excitons,

\[ |\Psi\rangle = \frac{1}{\mathcal{N}} \exp(\Omega^\dagger)|\text{vac}\rangle, \]

\[ \Omega^\dagger = \sum_{\nu,\nu'} \lambda_{\nu} a_{\nu'}^\dagger a_{\nu}, \]

where \( C \) and \( V \) respectively denote a conduction and valence band state, and include internal indices such as spin and valley and also momentum label. \( |\text{vac}\rangle \) is the vacuum state defined by \( a_{\nu}^\dagger |\text{vac}\rangle = a_{\nu} |\text{vac}\rangle = 0 \). \( \Omega^\dagger \) operator creates particle-hole excitations on top of the vacuum. \( \mathcal{N} \) is a normalization factor so that \( \langle \Psi | \Psi \rangle = 1 \).

The density matrix with respect to \( |\Psi\rangle \) is \( \rho_{\alpha\beta} = \langle \Psi | a_{\lambda}^\dagger a_{\beta} |\Psi\rangle \), where \( \alpha \) and \( \beta \) can be conduction or valence states. We expand the density matrix to fourth order in \( \lambda_{\nu} \).

\[ \rho_{VV'} \approx \delta_{VV'} + (-\lambda \lambda^\dagger + \lambda^\dagger \lambda)_{VV'}, \]

\[ \rho_{CC'} \approx (\lambda \lambda - \lambda^\dagger \lambda)_{CC'}, \]

\[ \rho_{VC} \approx (\lambda - \lambda^\dagger \lambda)_{VC}, \]

where \( \lambda \) is understood to be a matrix and \( \lambda^\dagger \) its Hermitian conjugate.

We introduce another matrix \( \Lambda \) so that \( \rho_{CC'} \) has a quadratic form without fourth-order correction,

\[ \lambda = \Lambda + \frac{1}{2} \Lambda \Lambda^\dagger \Lambda. \]

FIG. 6: Feynman diagrams for the Hartree exciton-exciton interaction processes. A double line with arrow represents an exciton state, and a single solid (dashed) line with arrow depicts an electron (hole) in the exciton. Wavy lines are interaction processes. A double line with arrow represents an electron-hole plasma that is expected at high exciton densities. The critical temperature of spatially indirect exciton condensation to occur only in samples in der, and the better the sample quality. We expect bi- the narrower the line width \( W \), the weaker the disor- d/a

\[ \approx 10^2 \text{cm}^{-2} \] range, \( na_B^2 \approx 0.01 \) and \( T_{BKT} \) is about 10K. The maximum possible transition temperature is closely related to the critical density at which the Mott transition to an electron-hole plasma occurs, and this increases as \( d/a_B \) decreases. Using the variational Monte Carlo estimate of DePalo et al. [34] the critical value of \( na_B^2 \approx 0.3 \) as \( d/a_B \rightarrow 0 \). From this we conclude that \( k_B T_{BKT} \) cannot exceed around 300K. Adjustment of exciton density by external bias voltage can be employed to search for the highest transition temperature and to study the Mott transition to an ungauged electron-hole plasma that is expected at high exciton densities. The most interesting regime is likely to be the case of very small layer separations of which current leakage driven through the tunnel barrier by an interlayer bias potential might be appreciable, requiring the bilayer to be treated as a non-equilibrium system.

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Expanding $\rho$ up to fourth order of $\Lambda$, we have that
\[
\rho_{VV} \approx \delta_{VV} - (\Lambda^\dagger \Lambda)_{VV},
\rho_{CC} \approx (\Lambda^\dagger \Lambda)_{CC},
\rho_{VC} \approx (\Lambda - \frac{1}{2} \Lambda^\dagger \Lambda)_{VC}.
\]

(A4)

The number of excitons is $\langle N_{ex} \rangle = \sum_C \rho_{CC} \approx \text{Tr} \Lambda^\dagger \Lambda$. Therefore, we verify that $\Lambda$ acts as the small parameter in the limit of low $\langle N_{ex} \rangle$.

An important property of the density matrix is that
\[
\rho^2 - \rho = O(\Lambda^5),
\]

(A5)

which indicates that $|\Psi\rangle$ can be approximated as a Slater determinant up to fourth order in $\Lambda$.

$|\Psi\rangle$ parametrizes a family of states with electron-hole coherence, and also represents low-energy states in the low-exciton density limit. We choose to construct an effective interacting boson model using this variational wavefunction approach rather than a commonly used auxiliary field approach because of the necessity of consistently accounting for both exchange and Hartree mean-fields in spatially-indirect exciton systems. (See additional discussion below.) To study low-energy dynamics, we construct a Lagrangian based on $|\Psi\rangle$,
\[
\mathcal{L} = \langle \Psi | i \partial_t - H | \Psi \rangle = \mathcal{B} - \mathcal{H},
\]

(A6)

and again expand everything to $\Lambda^4$. This Lagrangian provides an effective field theory for excitons. The Berry phase has the following form,
\[
\mathcal{B} = \langle \Psi | i \partial_t | \Psi \rangle \approx \frac{i}{2} \left( \text{Tr}[\Lambda^\dagger \partial_t \Lambda] - \text{Tr}[\partial_t (\Lambda^\dagger \Lambda)] \right),
\]

(A7)

FIG. 7: Feynman diagrams for the exchange exciton-exciton interaction processes. The convention is the same as in Fig. 6. (a) and (b) are the interlayer contributions, which correspond to the first two terms in $\mathcal{H}^{(2)}$ (Eq. (A9)) and the two terms in $\mathcal{g}^{(1)}_X$ (Eq. (A19)). (c) and (d) are the intralayer contributions, which correspond to the last two terms in $\mathcal{H}^{(4)}_X$ (Eq. (A9)) and the two terms in $\mathcal{g}^{(2)}_X$ (Eq. (A20)).
where $B_{(vc)\vec{q}}$ is a complex field that depends on momentum $\vec{q}$ but not on $\vec{k}$. $x_h = m_h / M$, where the total mass $M = m_e + m_h$. For notation convenience, we also introduce $x_e = m_e / M = 1 - x_h$. $f(\vec{k})$ is the 1s wavefunction for a single exciton,

$$
\left[\hbar^2 k^2 / 2m - \frac{1}{A} U(\vec{k} - \vec{k'}) f(\vec{k'})\right] f(\vec{k}) = -E_b f(\vec{k}), \tag{A13}
$$

where the reduced mass $m = m_e m_h / M$, and $E_b$ is the binding energy for 1s state. The normalization condition is that

$$
\frac{1}{A} \sum_{\vec{k}} f(\vec{k})^2 = 1. \tag{A14}
$$

Here we have chosen $f(\vec{k})$ to be real. In Eq. (A12), $f(\vec{k} + x_h \vec{Q})$ is the wavefunction for an exciton with center-of-mass momentum $\vec{Q}$.

By substituting Eq. (A12) into Eq. (A7) and (A9), we obtain that

$$
B \approx \frac{i}{2} (B^*_{(vc)\vec{q}} \partial_{\vec{k}} B_{(vc)\vec{q}} - B_{(vc)\vec{q}} \partial_{\vec{k}} B^*_{(vc)\vec{q}}), \tag{A15}
$$

$$
\mathcal{H} = \sum_{\vec{k},\vec{k'},\vec{k''}} \left( \frac{\hbar^2 Q^2}{2M} - E_b - \mu \right) B^*_{(vc)\vec{q}} B_{(vc)\vec{q}}
+ \frac{1}{2A} \sum_{\vec{k},\vec{k'},\vec{k''}} \left\{ g_{H}(\vec{Q}_{13}) B^*_{(vc)\vec{q}_1} B^*_{(vc)\vec{q}_2} B_{(vc)\vec{q}_3} B_{(vc)\vec{q}_4}
+ g_{X}(\vec{Q}_{13}, \vec{Q}_{14}) B^*_{(vc)\vec{q}_1} B^*_{(vc)\vec{q}_2} B_{(vc)\vec{q}_3} B_{(vc)\vec{q}_4} \right\}. \tag{A16}
$$

The Berry phase $\mathcal{B}$ has the same form as that in the field-theory functional integral representation of a standard interacting boson model, which suggests that the Lagrangian $\mathcal{L} = \mathcal{B} - \mathcal{H}$ is a functional field integral representation of a boson model. By replacing the complex numbers $(B^*, B)$ with bosonic creation and annihilation operators $(B^\dagger, B)$ in the energy functional $\mathcal{H}$, we arrive at the boson model in the main text.

$g_{H}(\vec{q})$ is derived from $\mathcal{H}^{(4)}$, and has the following analytic expression

$$
g_{H}(\vec{q}) = V(\vec{q}) \left[ F(x_e \vec{q})^2 + F(x_h \vec{q})^2 \right] - 2U(\vec{q}) F(x_e \vec{q}) F(x_h \vec{q}), \tag{A17}
$$

where

$$
F(\vec{q}) = \frac{1}{A} \sum_{\vec{k}} f(\vec{k}) f(\vec{k} + \vec{q}). \tag{A18}
$$

For zero-momentum transfer, $g_{H}(0) = 2(V(0) - U(0)) = \frac{4\pi e^2}{\epsilon}$. $g_{X}(\vec{Q}_{13}, \vec{Q}_{14})$ is derived from $\mathcal{H}^{(4)}$, and can be further decomposed into two parts $g_{X} = g_{X}^{(1)} - g_{X}^{(2)}$. $g_{X}^{(1)}$ arises from the loss of interlayer exchange energy as more excitons condense, while $-g_{X}^{(2)}$ comes from the gain of intralayer exchange energy as electron or hole density increases. The explicit forms of $g_{X}^{(1,2)}$ are below.

$$
g_{X}^{(1)}(\vec{Q}_{13}, \vec{Q}_{14}) = \frac{1}{A^2} \sum_{\vec{k},\vec{k'}} U(\vec{k} - \vec{k'}) f(\vec{k'}) f(\vec{k} + \vec{Q}_e)
\times \left[ f(\vec{k} + \vec{Q}_h) f(\vec{k} + \vec{Q}_e + \vec{Q}_h)
+f(\vec{k} - \vec{Q}_h) f(\vec{k} + \vec{Q}_e - \vec{Q}_h) \right] \tag{A19}
$$

$$
g_{X}^{(2)}(\vec{Q}_{13}, \vec{Q}_{14}) = \frac{1}{A^2} \sum_{\vec{k},\vec{k'}} \left\{ V(\vec{k} - \vec{k'} + \vec{Q}_e) f(\vec{k'}) f(\vec{k}) f(\vec{k} + \vec{Q}_h) +
V(\vec{k} - \vec{k'} + \vec{Q}_h) f(\vec{k'}) f(\vec{k} + \vec{Q}_e) f(\vec{k} + \vec{Q}_e) \right\}, \tag{A20}
$$

where $\vec{Q}_e = x_e \vec{Q}_{13}$ and $\vec{Q}_h = x_h \vec{Q}_{14}$. According to Eq. (A19), $g_{X}^{(1)}$ can also be interpreted as the increase of kinetic energy due to the increase of the exciton density. The diagrammatic representation of different processes for the exciton-exciton interaction is shown in Figs. 6 and 7. The momentum dependence of the interaction strength $g_{H,X}$ is illustrated in Fig. 8. Both $g_{H}$ and $g_{X}$ have a strong momentum dependence, which indicates that exciton-exciton interaction are long-ranged instead of short-ranged.

The problem of exciton-exciton interaction has been studied using many different methods in the literature. Here we used an alternative approach based on a variational wave function combined with the Lagrangian formalism. The functional field integral representation of the boson model in Eq. (A5) is exactly given by the Lagrangian $\mathcal{L}$ with Berry phase $\mathcal{B}$ in Eq. (A15) and energy function $\mathcal{H}$ in Eq. (A16). This property establishes the equivalence between the Lagrangian and the boson model. In fact, all results obtained using the boson model can be equivalently derived from the Lagrangian. The phase transition between phase-I and II can be determined by the minimization of the energy functional (A16) with the ansatz that $(B^*, B)$ is spatially uniform and time independent. The collective mode studied in Appendix C can be obtained using the Euler-Lagrange equation of the Lagrangian.

There are other approaches in constructing an effective theory of bosonic excitation in an interacting fermion system. One example is the standard Hubbard-Stratonovich transformation. There is however a certain arbitrariness in decomposing electron-electron interactions into
Hartree or Fock channels in the Hubbard-Stratonovich scheme\cite{38}. The HS approach is not appropriate here because a proper description of the SIEXC requires that Hartree and Fock interactions to be treated on the same footing.

Appendix B: Interacting boson model for excitons with zero center-of-mass momentum

We consider another variational wave function with all excitons condensed into zero center-of-mass momentum state.

\[
|\Psi_0\rangle = \prod_k [s_1(\vec{k})a_{v_1k}^\dagger + f(\vec{k})\sum_c b_{(v_1c})a_{ck}^\dagger] \\
\times [\chi(\vec{k})a_{v_2k}^\dagger + s_2(\vec{k})a_{v_2k}^\dagger + f(\vec{k})\sum_c b_{(v_2c)}a_{ck}^\dagger]|0\rangle, \tag{B1}
\]

where \( v_1 = 1 \) and \( v_2 = 2 \), representing two valence bands, and \( c \) represents different conduction bands. \( f(\vec{k}) \) is the exciton wave function in Eq. (A13). \( b_{(vc)} \) are complex parameters, which are independent of momentum \( \vec{k} \). In \( |0\rangle \), both valence and conduction bands are empty, \( a_{ck}|0\rangle = 0 \). Factors \( s_{1,2}(\vec{k}) \) and \( \chi(\vec{k}) \) are determined by normalization and orthogonality conditions. By normalization conditions, we have that

\[
s_1(\vec{k}) = \sqrt{1 - f(\vec{k})^2}\sum_c |b_{(v_1c)}|^2, \tag{B2a}
\]

\[
s_2(\vec{k}) = \sqrt{1 - |\chi(\vec{k})|^2 - f(\vec{k})^2}\sum_c |b_{(v_2c)}|^2. \tag{B2b}
\]

To ensure that at each momentum \( \vec{k} \) the two occupied states are orthogonal to each other, we require that

\[
s_1(\vec{k})\chi(\vec{k}) + f(\vec{k})^2\sum_c b_{(v_1c)}^\dagger b_{(v_2c)} = 0. \tag{B3}
\]

Similar to the procedure in App. A, we expand the energy functional \( \langle \Psi_0|H|\Psi_0\rangle \) to fourth order in \( b_{(vc)} \), and then replace complex numbers \( (b_{(vc)}^\dagger b_{(vc)}) \) by operators \( (B_{(vc)}^\dagger B_{(vc)})/\sqrt{\lambda} \), which gives rise to the same boson model in Eq. (A16) except that all momenta are restricted to be zero.

Appendix C: Collective modes in the interacting boson model

The collective modes can be calculated analytically using the interacting boson model. The strategy is to shift a bosonic operator by its mean-field value, 

\[
B_{(vc)}\vec{Q} = (B_{(vc)}\vec{Q})\delta_{\vec{Q},0} + b_{(vc)}\vec{Q}, \tag{C1}
\]

where \( b_{(vc)}\vec{Q} \) is also a bosonic operator that describes fluctuations around the mean field state. The bosonic Hamiltonian in Eq. (5) is then expanded to second order in \( (b^\dagger, b) \). The first order terms vanish as the energy functional in Eq. (5) is minimized in the mean-field state. The quadratic terms can be diagonalized using the Bogoliubov transformation, giving rise to the collective mode spectra. We present the dispersion and degeneracy of collective modes in phase-I and II without giving the details of the derivation.

In phase-I, there are five gapless modes, and three gapped modes. Given the mean-field value in Eq. (10), \( (b^\dagger, b)_{(vc)} \) with different composite index \( (vc) \) are decoupled. The \( (vc) = (11) \) mode is gapless, which is the Goldstone mode due to the spontaneously broken U(1) symmetry, i.e. the separate charge conservation within each layer. Its dispersion is:

\[
\omega_{(11)} = \sqrt{\frac{\hbar^2 Q^2}{2M} + [2g_H + g_+(\vec{Q})]n_1} \left[ \frac{\hbar^2 Q^2}{2M} + g_-(\vec{Q})n_1 \right],
\]

\[
g_{\pm}(\vec{Q}) = g_X(\vec{Q},0) \pm g_X(\vec{Q},\vec{Q}) - g_X(0,0) \pm g_X(\vec{Q},\vec{Q}), \tag{C2}
\]

where \( g_-(\vec{Q}) \) has a \( Q^2 \) dependence at small \( \vec{Q} \). Therefore, the \( (11) \) mode has a linear dispersion in \( Q \to 0 \) limit.

The \( (vc) = (12), (13) \) and \( (14) \) modes are degenerate, with a gapless dispersion:

\[
\omega_{(12)} = \frac{\hbar^2 Q^2}{2M} + g_x(0,\vec{Q}) - g_x(0,0), \tag{C3}
\]

which has a quadratic \( Q \) dependence in \( Q \to 0 \) limit. Similarly, the \( (vc) = (21) \) mode is also gapless and quadratic at small \( \vec{Q} \):

\[
\omega_{(21)} = \frac{\hbar^2 Q^2}{2M} + [g_x(\vec{Q},0) - g_x(0,0)]n_1. \tag{C4}
\]
The \((vc) = (22), (23)\) and \((24)\) modes are degenerate and gapped:
\[
\omega_{(22)} = \frac{\hbar^2 Q^2}{2M} - g_x(0,0)n_1,
\]
which shows that phase-I is stable against small fluctuations provided that \(g_x(0,0)\) is negative.

In phase-II, the mean-field values are given in Eq. \([12]\) and all eight collective modes are gapless. The \((vc) = (11)\) and \((22)\) fluctuations are coupled, and give rise to two non-degenerate gapless modes:
\[
\omega_{(11),(22)} = \sqrt{ \frac{\hbar^2 Q^2}{2M} + (4g_H + g_+)(\vec{Q})^2 \frac{n_{II}}{2} }
\times \sqrt{ \frac{\hbar^2 Q^2}{2M} + g_- (\vec{Q})^2 \frac{n_{II}}{2} },
\]
where \([C5]\) and \([C6]\) are respectively intralayer and interlayer Coulomb interactions.

Both of these modes have a linear dispersion at small \(Q\).

The \((vc) = (12)\) and \((21)\) fluctuations are also coupled, and lead to two modes that are degenerate with \(\omega_{(11),(22)}\).

The \((vc) = (13), (14), (23)\) and \((24)\) fluctuations are decoupled, and have degenerate collective modes:
\[
\omega_{(13)} = \frac{\hbar^2 Q^2}{2M} + [g_x(0,0) - g_x(0,0)] \frac{n_{II}}{2},
\]
which has a quadratic dispersion at small \(Q\).

### Table II: Classification of collective modes in phase-I and II

| Phase   | \(N_{gap}\) | \(N_1\) | \(N_2\) | \(N_{BSG}\) |
|---------|--------------|----------|----------|-------------|
| phase-I | 3            | 1        | 4        | 9           |
| phase-II| 0            | 4        | 4        | 12          |

In Table II we list \(N_1\) and \(N_2\), respectively the number of gapless collective modes with linear and quadratic dispersion, and \(N_{BSG}\), the number of the broken symmetry generators for each phase. There is a relationship among these three numbers in both phase-I and II,
\[
N_1 + 2N_2 = N_{BSG}.
\]

This relationship is typical for broken symmetry states in system without Lorentz invariance.

Appendix D: explicit expressions for \(E_{k,p}(\vec{Q})\) and \(\Gamma_{k,p}(\vec{Q})\)

Below are explicit expressions for \(E_{k,p}(\vec{Q})\) and \(\Gamma_{k,p}(\vec{Q})\), which appear in the energy variation \(\delta E^{(2)}\) in Eq. \([22]\).

\[
E_{k,p}(\vec{Q}) = \delta_{k,p}(\vec{p} + \vec{Q}) = \frac{1}{A} [V(\vec{Q}) - V(\vec{p})] (u_{k-p}u'_{k+\vec{Q}}v_{p+\vec{Q}} + v_{k-p}u'_{k+\vec{Q}}u_{p+\vec{Q}}) \\
- \frac{1}{A} U(\vec{Q}) (v_{k-p}u_{k'\vec{Q}+\vec{Q}}v_{p'\vec{Q}+\vec{Q}} + u_{k-p}u'_{k\vec{Q}+\vec{Q}}v_{p'\vec{Q}+\vec{Q}}) \\
- \frac{1}{A} U(\vec{Q}) (u_{k-p}u_{k'\vec{Q}+\vec{Q}}v_{p'\vec{Q}+\vec{Q}} + v_{k-p}u'_{k\vec{Q}+\vec{Q}}u_{p'\vec{Q}+\vec{Q}}),
\]

\[
\Gamma_{k,p}(\vec{Q}) = \frac{1}{A} [V(\vec{Q}) - V(\vec{p} + \vec{Q})] (u_{k-p}u'_{k+\vec{Q}}v_{p+\vec{Q}} + v_{k-p}u'_{k+\vec{Q}}u_{p+\vec{Q}}) \\
- \frac{1}{A} U(\vec{Q}) (v_{k-p}u_{k'\vec{Q}+\vec{Q}}v_{p'\vec{Q}+\vec{Q}} + u_{k-p}u'_{k\vec{Q}+\vec{Q}}v_{p'\vec{Q}+\vec{Q}}) \\
+ \frac{1}{A} U(\vec{Q}) (u_{k-p}u_{k'\vec{Q}+\vec{Q}}v_{p'\vec{Q}+\vec{Q}} + v_{k-p}u'_{k\vec{Q}+\vec{Q}}u_{p'\vec{Q}+\vec{Q}}),
\]

where \(u_k\) and \(v_k\) are defined in Eq. \([19]\), and \(V(\vec{Q})\) and \(U(\vec{Q})\) are respectively intralayer and interlayer Coulomb interactions.

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