Orbit Dynamics for Unstable Linear Motion

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ABSTRACT

A treatment is given of the orbit dynamics for linear unstable motion that allows for the zeros in the beta function and makes no assumptions about the realness of the betatron and phase functions. The phase shift per turn is shown to be related to the beta function and the number of zeros the beta function goes through per turn. The solutions of the equations of motion are found in terms of the beta function.

1. Introduction

In the case of linear unstable motion, the beta function can be zero at some points in the lattice. Because of the zeros in the beta function, and other assumptions often made about the realness of the beta function and phase function, the usual treatment given for stable motion does not carry over to the case of unstable motion. A treatment is given below, that allows for the zeros in the beta functions and does not make assumptions about the realness of the betatron and phase functions.

It will be shown that the solutions of the equations of motion can be written in the form

\[ x = \beta^{\frac{1}{2}} \exp (\pm \psi) \]
\[ \psi = P \int_{s_0}^{s} \frac{ds}{\beta} + i \frac{\pi}{2} N_z \]  

(1-1)

\( N_z \) is the number of times \( \beta(s) \) goes through zero between \( s_0 \) and \( s \). \( P \) indicates the principle value of the integral. The solutions of the equations of motion can also be
written as

\[ x = \exp[\pm \mu s/L] f(s) \]  

(1-2)

where \( f(s) \) is periodic and \( L \) is the length of one turn. It will be shown that for unstable motion

\[ \mu = 2\pi (g + iq/2) \]

\[ q = \frac{1}{2} N_z \]  

(1-3)

\[ g = \frac{P}{2\pi} \int_0^L \frac{ds}{\beta} \]

where \( N_z \) is the number of zeros the beta function goes through in one turn. \( P \) indicates the principle value of the integral.

Often, the case of unstable linear motion is found when a gradient perturbation is applied to a lattice whose unperturbed \( \nu \)-value is close to \( q/2 \), \( q \) being some integer. In this case, perturbation theory will show [1] that the solutions have the form given by Eq. (1-1) where \( q/2 \) is the half integer close to the unperturbed to the \( \nu \)-value. In the general case, where the unstable motion cannot be viewed as due to a perturbing gradient then the value of \( q \) is given by \( \frac{1}{2} N_z \) where \( N_z \) is the number of zeros in the beta function in one turn.

It will also be shown that near a zero of the beta function at \( s = s_1 \), \( \psi \) will become infinite and the dominant term is \( \psi \) is given by

\[ \psi \sim \pm \frac{1}{2} \log(s - s_1) \]  

(1-4)

2. The Definition of the Beta Function

The linear parameters can be defined in terms of the elements of the one period transfer matrix. The \( 2 \times 2 \) transfer matrix, \( M \), is defined by

\[ x(s) = M(s, s_0)x(s_0) \]

\[ x = \begin{pmatrix} x \\ p_x \end{pmatrix} \]  

(2-1)

The one period transfer matrix is defined by

\[ \hat{M}(s) = M(s + L, s) \]  

(2-2)
where the lattice is assumed to be periodic with the period $L$. The matrix $M$ is assumed to be symplectic

$$M \widetilde{M} = I$$

$$\widetilde{M} = S \tilde{M} S$$

$$S = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \quad I = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

$\tilde{S}$ is the transpose of $S$. Also $|M| = 1$ where $|M|$ is the determinant of $M$. One can show that $\tilde{M}(s)$ and $\tilde{M}(s_0)$ are related by

$$\tilde{M}(s) = M(s, s_0) \tilde{M}(s_0) M(s_0, s)$$

(2-4)

It follows from Eq. (2-4) that $\tilde{M}_{11} + \tilde{M}_{22}$, the trace of $\tilde{M}$, is independent of $s$. For unstable motion it is assumed that $|\tilde{M}_{11} + \tilde{M}_{22}| > 2$. This may be shown to lead to unstable exponentially growing motion.

One can now introduce the constant parameter $\mu$ defined by

$$\cosh \mu = \frac{1}{2} \left( \tilde{M}_{11} + \tilde{M}_{22} \right)$$

(2-5)

If $\tilde{M}_{11} + \tilde{M}_{22}$ is positive, then $\mu$ will be real. However if $\tilde{M}_{11} + \tilde{M}_{22}$ is negative then $\mu$ has to have the imaginary part $iq\pi$ where $q$ is an odd integer. In general, one can write

$$\mu = \mu_R + iq\pi$$

$$\cosh \mu_R = \frac{1}{2} \left| \tilde{M}_{11} + \tilde{M}_{22} \right|$$

(2-6)

where $q$ is an even integer if $\tilde{M}_{11} + \tilde{M}_{22}$ is positive, and $q$ is an odd integer when $\tilde{M}_{11} + \tilde{M}_{22}$ is negative. It will be seen below that $\mu_R$ is the exponential growth per period; that is, the growth per period for the unstable solution is $\exp(\mu_R)$. It will also be seen below that $q$ is related to the number of zeros in the beta function, $\beta(s)$, in a period, which is $2q$.

$\mu$ is related to the eigenvalues of $\tilde{M}$, $\lambda_1$ and $\lambda_2$, where $\lambda_1 + \lambda_2 = \tilde{M}_{11} + \tilde{M}_{22}$ and $\lambda_1 \lambda_2 = 1$ from $|\tilde{M} - \lambda I| = 0$. It follows from Eq. (2-5) that

$$\lambda_1 = \exp(\mu)$$

$$\lambda_2 = \exp(-\mu)$$

(2-7)

One can define the linear parameters, $\beta$, $\alpha$, $\gamma$, using the elements of the one period transfer matrix. If one uses the form of the transfer matrix often used [2] for stable motion
the linear parameters will be imaginary for unstable motion. To make the linear parameters real, they will be defined here in terms of the one period transfer matrix as

\[
\hat{M} = \begin{bmatrix}
\cosh \mu + \alpha \sinh \mu & \beta \sinh \mu \\
\gamma \sinh \mu & \cosh \mu - \alpha \sinh \mu
\end{bmatrix}
\]

**Equation (2-8)**

\[\beta \gamma = 1 - \alpha^2\]

\(\beta, \alpha, \gamma\) are then given in terms of \(\hat{M}_{ij}\) as

\[
\beta = (-1)^q \frac{\hat{M}_{12}}{\sinh \mu_R}
\]

\[
\alpha = (-1)^q \left( \hat{M}_{11} - \hat{M}_{22} \right) / 2 \sinh \mu_R
\]

\[
\gamma = \left( 1 - \alpha^2 \right) / \beta
\]

**Equation (2-9)**

Eq. (2-6) does not specify the sign of \(\mu_R\). One can define the sign of \(\mu_R\) to be always positive. Then \(\beta, \alpha, \gamma\) can then be computed from the \(\hat{M}_{ij}\) using Eq. (2-9). It will be seen later that the sign of \(\beta(s)\) can change within a period, and \(\beta(s)\) can be zero at certain values of \(s\) for unstable motion.

Having defined the linear parameters, one can now find the relationships among them, their connection with the growth rate, the emittance and the solutions of the equations of motion. The treatment usually given for stable motion does not carry over for unstable motion, because if often assumes that \(\beta\) and \(\psi\), as defined for stable motion, are real and that \(\beta\) is never zero. One needs a treatment which does not make assumptions about the realness of \(\beta, \psi\), and allows \(\beta\) to go through zero. This is given below.

### 2.1. Differential Equations for \(\beta, \alpha, \gamma\)

It is assumed that the linearized equations of motion can be written as

\[
\frac{dx}{ds} = A_{11}x + A_{12}px
\]

\[
\frac{dp_x}{ds} = A_{21}x + A_{22}px
\]

\[A_{11} + A_{22} = 0\]

**Equation (2-10)**

In the large accelerator approximation, \(A_{11} = A_{22} = 0\) and \(A_{12} = 1\). We note that

\[
\frac{d}{ds} M(s, s_0) = A M(s, s_0)
\]

\[
\frac{d}{ds} M(s_0, s) = -M(s_0, s) A
\]

**Equation (2-11)**
The last equation follows from \( M(s, s_0)M(s_0, s) = I \). Then using Eq. (2-4)

\[
\hat{M}(s) = M(s, s_0) \hat{M}(s_0) \hat{M}(s_0, s)
\]

one finds

\[
\frac{d\hat{M}}{ds} = A \hat{M} - \hat{M} A.
\]  
(2-13)

\( A \) is the \( 2 \times 2 \) matrix whose elements are the \( A_{ij} \) of Eq. (2-10). Replacing \( \hat{M} \), using Eq. (2-8), in Eq. (2-13) gives the result

\[
\frac{d\beta}{ds} = 2A_{11} \beta - 2A_{12} \alpha \\
\frac{d\alpha}{ds} = -A_{21} \beta + A_{12} \gamma \\
\frac{d\gamma}{ds} = 2A_{21} \alpha - 2A_{11} \gamma
\]  
(2-14)

The first equation in Eqs. (2-14) gives the connection between \( \alpha \) and \( \beta \)

\[
\alpha = \frac{1}{A_{12}} \left( -\frac{1}{2} \frac{d\beta}{ds} + A_{11} \beta \right)
\]  
(2-15)

2.2. Differential Equation for \( \beta \)

In this section, the differential equation for \( \beta \) will be obtained without making any assumptions about the form of the solutions of the equations of motion. For the sake of simplicity, the derivation will be given for the large accelerator case which assumes \( A_{11} = A_{22} = 0 \) and \( A_{12} = 1 \).

Introducing \( b \), where \( \beta = b^2 \), Eqs. (2-14) can be written as

\[
\frac{db}{ds} = -\alpha \\
\frac{d\alpha}{ds} = Kb^2 + \gamma \\
\frac{d\gamma}{ds} = -2K\alpha \\
K = -A_{21}
\]  
(2-16)

The first two equations in (2-16) then give

\[
\frac{d}{ds} \left( b \frac{db}{ds} \right) = -Kb^2 - \gamma
\]  
(2-17)

\[
= -Kb^2 - \left[ \frac{1}{b^2} \left( 1 - b^2 \left( \frac{db}{ds} \right)^2 \right) \right]
\]
one then gets
\[
\frac{d^2 b}{ds^2} + K b + \frac{1}{b^2} = 0
\]
\[b = \beta_1^2\]  \hspace{1cm} (2-18)

Eq. (2-8) differs from the usual result for stable motion only in the sign of the \(1/b^3\) term.

3. \(\beta(s)\) for Unstable Motion from Perturbation Theory

Before proceeding further in finding the solutions of equations of motion for unstable motion, and their connection with the beta function, \(\beta(s)\), it will be helpful to examine a result for the beta function found using perturbation theory. In reference [1], the case was studied where a particle doing stable motion with the unperturbed tune \(\nu_0\) is perturbed by a small gradient perturbation which opens up an unstable stopband around \(\nu_0 = q/2\), where \(q\) is some integer.

In reference [1], the two solutions of the equations of motion inside the stopband are found using perturbation theory, and will be denoted here as \(x_1\) and \(x_2\). Using \(x_1\) and \(x_2\) one can find the components of \(\hat{M}\), and in particular
\[
\hat{M}_{12} = \frac{1}{W} \left\{ -x_1(s) x_2(s_0) + x_2(s) x_1(s_0) \right\}
\]
\[W = x_1 \frac{dx_2}{ds} - x_2 \frac{dx_1}{ds}\]  \hspace{1cm} (3-1)

In the following, the large accelerator approximation is being used, \(A_{12} = 1\), \(A_{11} = A_{22} = 0\). \(W\) corresponds to the Wronskian and is a constant of the motion and can be evaluated at any value of \(s\). One can find \(\beta\) from \(\hat{M}_{12}\) evaluated at \(s = s_0 + L\), and Eq. (2-9),
\[
\beta = (-1)^q \frac{\hat{M}_{12}}{\sinh \mu_R}
\]  \hspace{1cm} (3-2)

The result for \(x_1\) to lowest order [1], is
\[
x_1 = \beta_0^1 (s) \exp (g \theta) \cos (q \theta/2 - (\delta_1 + \delta_2)/2)
\]
\[g = \left[ |\Delta \nu|^2 - (q/2 - \nu_0)^2 \right]^{1/2}
\]
\[
\Delta \nu = \frac{1}{4\pi} \int ds \beta_0(s) \frac{G}{B \rho} \exp (-iq\theta)
\]
\[d \theta = ds/\nu_0 \beta_0, \quad \delta_1 = \text{ph} (\Delta \nu)
\]
\[\delta_2 = \text{ph} [(q/2 - \nu_0) + ig]\]  \hspace{1cm} (3-3a)
The gradient perturbation is $\Delta B_y = -G(s)x$. $g$ is positive. The result given by Eq. (3-3) has an error which is first order in the perturbation. The $x_2$ solution is obtained from Eq. (3-3) by replacing $g$ by $-g$ and $\delta_2$ by $-\delta_2$. $\beta_0$, $\nu_0$ are the unperturbed beta function and tune.

One may note that $x_1$ can also be written as

$$x_1 = \beta_0^{\frac{1}{2}} \exp \left[ (q + iq/2) \theta \right] \left[ 1 + \exp \left[ i (q\theta - (\delta_1 + \delta_2)) \right] \right]$$  \tag{3-3b}

which makes more evident the floquet form of the solution. One sees that $\mu$, the phase change in one turn is given by

$$\mu = 2\pi g + iq\pi$$

One can write $x_1$ and $x_2$ as

$$x_1 = \beta_0^{\frac{1}{2}} \exp (g\theta) h_1(\theta)$$

$$x_2 = \beta_0^{\frac{1}{2}} \exp (-g\theta) h_2(\theta)$$  \tag{3-4}

$$h_1(\theta) = \cos \left( q\theta/2 - (\delta_1 + \delta_2)/2 \right)$$

$$h_2(\theta) = \cos \left( q\theta/2 - (\delta_1 - \delta_2)/2 \right)$$

One then finds

$$\frac{dx_2}{d\theta} = \beta_0^{\frac{1}{2}} \exp (-g\theta) h_2 \left[ -g + h'_2/h_2 + \frac{1}{2} \frac{\beta'_0}{\beta_0} \right]$$

$$\frac{x_1 dx_2}{d\theta} = \beta_0 h_1 h_2 \left[ -g + h'_2/h_2 + \frac{1}{2} \frac{\beta'_0}{\beta_0} \right]$$

$$W = \frac{1}{\nu_0} \left[ -2gh_1 h_2 + h_1 h'_2 - h_1' h_2 \right]$$

$$W = \frac{1}{\nu_0} \left[ -2g \cos \left( q\theta/2 - (\delta_1 + \delta_2)/2 \right) \cos \left( q\theta/2 - (\delta_1 - \delta_2)/2 \right) \right. \left. - (q/2) \cos \left( q\theta/2 - (\delta_1 + \delta_2)/2 \right) \sin \left( q\theta_2 - (\delta_1 - \delta_2)/2 \right) \right. \left. + q/2 \cos \left( q\theta/2 - (\delta_1 - \delta_2)/2 \right) \sin \left( q\theta/2 - (\delta_1 + \delta_2)/2 \right) \right]$$

$$W = \frac{-q}{2\nu_0} \sin \delta_2, \quad \sin \delta_2 = g/|\Delta \nu|$$  \tag{3-5}

where the $2gh_1 h_2$ term in $W$ has dropped as being of high order than the remaining term.

One finds for $\hat{M}_{12}$ from Eq. (3-1)

$$\hat{M}_{12} = \frac{1}{W} \left( \beta_0 (\theta) \beta_0 (\theta_0) \right)^{\frac{1}{2}} \left[ -\exp [g(\theta - \theta_0)] h_1(\theta) h_2(\theta_0) + \exp [-g(\theta - \theta_0)] h_1(\theta_0) h_2(\theta) \right]$$  \tag{3-6}
Putting $\theta = \theta_0 + 2\pi$ and noting that $h_1(\theta) = (-1)^q h_1(\theta_0)$, $h_2(\theta) = (-1)^q h_2(\theta_0)$, and $2\pi g = \mu_R$, one gets

$$\hat{M}_{12} = \frac{-\beta_0}{W} (-1)^q 2 \sinh \mu_R \cos (q\theta_0/2 - (\delta_1 + \delta_2)/2) \cos (q\theta_0/2 - (\delta_1 - \delta_2))$$

Equation (3-7)

$\beta$ can then be found using Eqs. (3-2), (3-5) and (3-6)

$$\beta(\theta) = \beta_0(\theta) \frac{4\nu_0 |\Delta \nu|}{qg} \cos (q\theta/2 - (\delta_1 + \delta_2)/2) \cos (q\theta/2 - (\delta_1 - \delta_2)/2)$$

Equation (3-8a)

Eq. (3-8a) can also be written as

$$\beta(\theta) = \beta_0(\theta) \frac{2\nu_0 |\Delta \nu|}{g} \left[ \cos \delta_2 + \cos (q\theta - \delta_1) \right]$$

Equation (3-8b)

Eqs. (3-8) show that as a function of $\theta$, $\beta$ will change sign and go through zero twice in each interval of $2\pi/q$. These two zeros are located at

$$q\theta = \delta_1 \pm \delta_2 + \pi$$

Equation (3-9)

As a function of $\nu_0$, $\beta$ becomes infinite near the edge of the stopband $|q/2 - \nu_0| = |\Delta \nu|$ and drops to a value of the order of $\beta_0$ near the center of the stopband, $\nu_0 = q/2$. In one turn, $\Delta \theta = 2\pi$, $\beta$ has $2q$ zeros. One sees that the number of zeros of $\beta$ in one turn is related to the imaginary part of $\mu$, which is $q\pi$.

This result that connects the number of zeros in $\beta$ in one turn with the imaginary part of the change in the betatron phase in one turn, was found here using perturbation theory, but will be shown to be generally valid in the next section.

4. Solutions of the Equations of Motion and the Beta Function

For stable motion, the role of the beta function in the solutions of the equations of motion is well known. A similar result will be found here for unstable motion. The treatment usually given for stable motion, does not carry over to unstable motion because of the assumptions usually made about the realness of the betatron and phase functions, and the absence of zeros in the beta function.

Let us write the solutions of the equations of motion as

$$x = b \exp (\psi)$$

$$b = \beta^{\frac{1}{2}}$$

Equation (4-1)
where \( \beta \) and \( b \) have been defined by Eq. (2-8). Then \( b \) has been shown to obey, see Eq. (2-18),
\[
\frac{d^2 b}{ds^2} + K b + \frac{1}{b^3} = 0
\]
\[
K = -A_{21}
\]
The treatment given in this section is for large accelerator case which assumes \( A_{11} = A_{22} = 0 \) and \( A_{21} = 1 \). Similar results can be found for the general case. \( x \) then obeys the equations
\[
\frac{d^2 x}{ds^2} + K x = 0
\]
(4-3)
Putting the form of \( x \) assumed in Eq. (4-1) into Eq. (4-3), and using Eq. (4-2) for \( b \) one gets
\[
\frac{d^2 \psi}{ds^2} + \frac{2}{b} \frac{db}{ds} \frac{d\psi}{ds} + \left( \frac{d\psi}{ds} \right)^2 \frac{1}{b^4} = 0
\]
(4-4)
Putting \( f = d\psi/ds \) one gets
\[
\frac{df}{ds} + \frac{2}{b} \frac{db}{ds} f + f^2 - \frac{1}{b^4} = 0
\]
(4-5)
The solutions of Eq. (4-5) are
\[
f = \pm \left( \frac{1}{b^2} \right) = \pm 1/\beta,
\]
(4-6)
Thus
\[
\psi = \pm \int_{s_0}^{s} \frac{ds}{\beta}
\]
(4-7)
and the two solutions of the equations of motion are
\[
x = \beta^{\frac{1}{2}} \exp \left( \pm \int_{s_0}^{s} \frac{ds}{\beta} \right)
\]
(4-8)

One may note that in deriving Eq. (2-8) no assumption was made about the realness of \( \beta \) or \( \psi \). However, there is a problem with the result for unstable motion, as in the case of unstable motion \( \beta(s) \) will go through zero. To evaluate the integral when \( \beta(s) \) has zeros, Eq. (4-2) will be replaced by
\[
\psi = \lim_{\epsilon \to 0} \int_{s_0}^{s} \frac{ds}{\beta - i\epsilon}
\]
(4-9)
where \( \epsilon \) is a positive small quantity. It can be shown that Eq. (4-9) gives (see section 6)
\[
\psi = P \int_{s_0}^{ds} \frac{ds}{\beta} + \sum_{s_n} \frac{i\pi}{|\beta'(s_n)|}
\]
(4-10)
where \( s_n \) are the locations of the zeros of \( \beta(s) \) from \( s_0 \) to \( s \). \( P \) represents the principle part of the integral.

One can also show that \( \beta'(s) = \pm 2 \) at the zeros of \( \beta(s) \). Since \( \beta\gamma = \alpha^2 - 1 \), then \( \alpha = \pm 1 \) when \( \beta = 0 \). Since \( \beta' = -2\alpha, \beta' = \mp 2 \) when \( \beta = 0 \). One can now write Eq. (4-10) as

\[
\psi = P \int_{s_0}^{s} \frac{ds}{\beta} + \frac{i\pi}{2} N_z
\]

where \( N_z \) is the number zeros in \( \beta(s) \) in \( s_0 \) to \( s \).

One may notice that the imaginary part of \( \psi \) has on an unusual dependence on \( s \). It is constant in between zeros of \( \beta(s) \) and jumps by \( \pi/2 \) at each zero of \( \beta(s) \). One can use Eq. (4-11) to find the change in \( \psi \) over one turn, \( \psi(s + L) - \psi(s) \), and find

\[
\psi(s + L) - \psi(s) = P \int_{s}^{s+L} \frac{ds}{\beta} + iq\pi
\]

where \( 2q \) is the number of zeros in \( \beta(s) \) in one turn, and \( L \) is the length of one turn. For simplicity, it is being assumed that the period \( L \) is one turn. Since \( \beta(s) \) is a periodic function, the number of zeros of \( \beta(s) \) in one turn has to be even. If one defines the tune as the imaginary part of \( \psi(s + L) - \psi(s) \) divided by \( 2\pi \), then one has

\[
\text{tune} = q/2.
\]

Eq. (4-13) shows the connection between the tune and the number of zeros in the beta function in one turn. The real part of \( \psi(s_0 + L) - \psi(s_0) \) gives the exponential growth in one turn. If one defines the exponential growth factor, \( g \), to be the real part of \( \psi(s + L) - \psi(s) \) divided by \( 2\pi \)

\[
g = \frac{P}{2\pi} \int_{s}^{s+L} \frac{ds}{\beta}
\]

Another apparent difficulty with the solutions given by Eq. (4-8) is that at the \( s \) value where \( \beta(s) \) is zero, both solutions appear to go to zero being proportional to \( \beta\frac{1}{2} \). This is not possible as the \( x \) motion which is a linear combination of these two solutions would then also have to go to zero at this \( s \) value. It will now be shown that one of the solutions will not go to zero at the zeros of \( \beta(s) \).

Let \( s_1 \) be a zero of \( \beta(s) \). Then near \( s = s_1 \), \( b = \beta\frac{1}{2} \) goes to zero like \( (s - s_1)^{\frac{1}{2}} \). However, it is shown in section 6, that near \( s = s_1 \) that \( \psi \) become infinite like \( (1/\beta'(s_1))\log(s - s_1) \).
Note that $\beta'(s_1) = \pm 2$, and that $b \exp(\psi)$ goes like $(s - s_1)^{\mp 1/2}(s - s_1)^{\pm 1/2}$. Depending on the sign of $\beta'(s)$, $b \exp(\psi)$ may or may not go to zero at $s = s_1$. If $b \exp(\psi)$ does go to zero, then $b \exp(-\psi)$ will not go to zero. Thus one of the two solutions will not go to zero at $s = s_1$.

It is interesting to note that the solutions given by Eq. (4-8) can be chosen to be real. Let us start at the $s$ value $s_0$ which is assumed to be in a region where $\beta(s)$ is positive and let $s_1$ be the location of the first zero in $\beta(s)$ after $s = s_0$. In the region $s_0$ to $s_1$, the solution $b \exp(\psi)$ is real, as $\beta$ and $\psi$ given by Eq. (4-9) are both real. After $s = s_1$, $\beta$ becomes negative and $b = \beta^{1/2}$ becomes pure imaginary. However $\psi$ jumps at $s = s_1$ by $i\pi/2$. Thus the solution $b \exp(\psi)$ remains real just after $s = s_1$. One can continue in this way through the entire lattice with $\beta$ and $\psi$ changing suddenly after each zero of $\beta(s)$ so as to keep the solutions real. This result is consistent with the result found in reference [1], that the eigenvalues and eigenfunctions of the one period transfer matrix are real in a linear half integer stopband.

4.1. Eigenvectors of the Transfer Matrix

The eigenvectors of $\hat{M}$ will now be found in terms of $\beta$, $\alpha$ and $\psi$. It will also be shown that the eigenvalues are given by $\exp(\pm \Delta \psi)$, so that $\Delta \psi = \mu$, where $\Delta \psi = \psi(s + L) - \psi(s)$.

Starting from $x = \beta^{1/2} \exp(\pm \psi)$, one can find the corresponding $p_x$ from Eq. (2-10)

$$
p_x = \frac{1}{A_{12}} \left\{ \frac{dx}{ds} - A_{11} x \right\}
$$

$$
p_x = \beta^{-1/2} (-\alpha \pm 1) \exp(\pm \psi)
$$

$$\alpha = \frac{1}{A_{12}} \left( -\frac{1}{2} \frac{d\beta}{ds} + A_{11} \beta \right)
$$

(4-15)

The two solutions can then be written as

$$
x_1 = \left[ \beta^{1/2} \right] \exp[\psi], \quad x_2 = \left[ \beta^{-1/2} (-\alpha + 1) \right] \exp[-\psi]
$$

(4-16)

These two solutions are the eigenvectors of $\hat{M}$ as

$$
\hat{M} x_1 = \exp(\Delta \psi) x_1, \quad \hat{M} x_2 = \exp(-\Delta \psi) x_2
$$

(4-17)

$$\Delta \psi = \psi(s + L) - \psi(s)$$
and the eigenvalues of $\hat{M}$ are

$$\lambda_1 = \exp(\Delta \psi) \quad \lambda_2 = \exp(-\Delta \psi) \quad (4-18)$$

Comparing Eq. (4-18) with Eq. (2-7), one sees that $\mu = \Delta \psi = \psi(s + L) - \psi(s)$.

Since $x/\exp(\pm \mu s/L)$ is a periodic function, one can write $x$ as

$$x = \beta^{\pm} \exp[\pm \mu s/L] \ f(s)$$

$$x = \beta^{\pm} \exp[\pm 2\pi (g + iq/L) \ s/L] \ f(s) \quad (4-19)$$

where $f(s)$ is periodic with period $L$. $q$ here is defined by $2q$ is the number of zeros in $\beta(s)$ in one turn. One also has

$$\mu = 2\pi (g + iq/2) \quad (4-20)$$

where it is assumed that the period is one turn.

To summarize, it has been found that if $2q$ is the number zeros in the beta function in one turn, then the eigenvalues of the one period transfer matrix, $\exp(\pm \mu)$ are given by

$$\mu = 2\pi g + iq\pi$$

$$g = \frac{P}{2\pi} \int_0^L \frac{ds}{\beta} \quad (4-21a)$$

where $P$ indicates the principle part of the integral, and the solutions of the equations of motion are given by

$$x = \beta^{\pm} \exp(\pm \psi)$$

$$\psi = \lim_{\epsilon \to 0} \int_{s_0}^s \frac{ds}{\beta - i\epsilon} \quad (4-21b)$$

5. The Emittance Invariant

The emittance invariant can be found from the Lagrange invariant for symplectic motion. If $x_1$ and $x_2$ are two solutions of the equation of motion then [2]

$$\tilde{x}_2 \ Sx_1 = \text{constant} \quad (5-1)$$

It is assumed that the lattice is periodic, so that the coefficients in the linearized equations of motion are periodic in $s$ with the period $L$. Thus if $x(s)$ is a solution then $x(s + L)$ or
\( \tilde{M}(s)x(s) \) is also a solution. In Eq. (3-1) putting \( x_1 = x, \ x_2 = \tilde{M}x \) then one gets the invariant \([3]\)

\[
\tilde{x} s\tilde{M}x = \text{constant} \tag{5-2}
\]

Using Eq. (2-6) for \( \tilde{M} \) one finds

\[
\tilde{x} s\tilde{M}x = -\sin \mu \left( -\gamma x^2 + 2\alpha xp_x + \beta p_x^2 \right) \tag{5-3}
\]

Thus Eq. (5-3) gives the emittance invariant

\[
\epsilon = \gamma x^2 - 2\alpha xp_x - \beta p_x^2 \equiv \frac{1}{\beta} \left( x^2 - (\alpha x + \beta p_x)^2 \right) \tag{5-4}
\]

\[
\beta \gamma = 1 - \alpha^2
\]

Eq. (5-4) shows that the curve \( \epsilon = \text{constant} \) is a hyperbola. In the case of stable motion, the curve \( \epsilon = \text{constant} \) is an ellipse and \( \epsilon \) gives the phase space area enclosed by the ellipse. For unstable motion, \( \epsilon \) does not have a simple interpretation in terms of phase space, also \( \epsilon \) can be negative.

Eq. (5-4) suggests introducing the new symplectic variable \( \eta, p_{\eta} \) where

\[
\begin{pmatrix} \eta \\ p_{\eta} \end{pmatrix} = G \begin{pmatrix} x \\ p_x \end{pmatrix}
\]

\[
G = \begin{pmatrix} \beta^{-\frac{1}{2}} & 0 \\ \alpha \beta^{-\frac{1}{2}} & \beta^{\frac{1}{2}} \end{pmatrix}
\]

\[
\eta = \beta^{-\frac{1}{2}} x, \ \ p_{\eta} = \beta^{-\frac{1}{2}} (\alpha x + \beta p_x)
\]

\[
|G| = 1
\]

The emittance invariant can then be written as

\[
\epsilon = \eta^2 - p_{\eta}^2 \tag{5-6}
\]
5.1. Minimum Amplitude

Eq. (5-4) shows that the particle will move in a hyperbola. Under certain conditions, the particle will first move to smaller \( x \) or \( p_x \) before the amplitude of the motion starts to grow exponentially. It will be shown below that \( x \) and \( p_x \) can attain the minimum

\[
x_{\text{min}} = (\beta \epsilon)^{\frac{1}{2}}, \quad p_{x,\text{min}} = (-\gamma \epsilon)^{\frac{1}{2}}, \quad \gamma \beta = 1 - \alpha^2
\] (5-7)

Eq. (5-7) shows that \( x \) will have a minimum when \( \beta \epsilon > 0 \), and \( p_x \) will have a minimum when \( \beta \epsilon < 0 \) if \( |\alpha| < 1 \) or \( \beta \epsilon > 0 \) if \( |\alpha| > 1 \).

The minimum given by Eq. (5-7) can be computed from Eq. (5-4) by computing \( d\epsilon/ds \) and putting \( d\epsilon/ds = 0 \) and either \( dx/ds = 0 \) or \( dp_x/ds = 0 \).

5.2. Asymptotes and Rotation Angle

If one plots \( \beta p_x \) versus \( x \), one can ask what are the directions of the symptotes of the hyperbola. If \( \delta_1 \) and \( \delta_2 \) are the angles with the \( x \) axis for these asymptotes, then they are given by

\[
\tan \delta_1 = 1 - \alpha \\
\tan \delta_2 = -1 - \alpha
\] (5-8)

These results can be found by assuming the asymptotic expansion for \( \beta p_x \), \( \beta p_x = \tan \delta \ x + c_0 + c_{-1} x^{-1} \ldots \) and putting this into the equation of the hyperbola, Eq. (5-4). Collecting all the \( x^2 \) terms and putting the coefficient of \( x^2 = 0 \) gives Eq. (5-8).

If one plots \( \beta p_x \) versus \( x \), then one can ask through what angle this coordinate system has to be rotated to make the hyperbola have its normal form. This rotation angle is given by

\[
\tan 2\theta = \frac{2\alpha}{\alpha^2 - 2}
\] (5-9)
6. Phase Function Results when $\beta$ has Zeros

In this section, the result for the phase function, $\psi$, given by Eq. (4-10) will be derived. Also, the behavior of $\psi$ when $s$ is near the zeros of $\beta(s)$ will be studied.

First, let us consider the case where

$$\psi = \lim_{\epsilon \to 0} \int_{s_0}^{s} \frac{ds}{\beta - i\epsilon} \quad (6-1)$$

$\epsilon > 0$, and one assumes there is only one zero for $\beta(s)$ at $s = s_1$ between $s = s_0$ to $s = s$. Then, one can write

$$\psi = P \int_{s_0}^{s} \frac{ds}{\beta} + \int_{s_1 - \delta}^{s_1 + \delta} \frac{ds}{\beta - i\epsilon} \quad (6-2)$$

where $\delta \to 0$ but $\delta \gg \epsilon$. $P$ stands for the principle part of the integral. Near $s_1$ one can write $\beta = \beta'(s_1)(s - s_1) + ...$ and find

$$\int_{s_1 - \delta}^{s_1 + \delta} \frac{ds}{\beta - i\epsilon} = \int_{s_1 - \delta}^{s_1 + \delta} \frac{ds}{\beta'(s_1)(s - s_1) - i\epsilon}$$

$$= \frac{1}{\beta'(s_1)} \int_{s_1 - \delta}^{s_1 + \delta} \frac{ds}{(s + i\epsilon)^2 + \epsilon^2} = \frac{1}{\beta'(s_1)} \left( s - s_1 \right) = \frac{\epsilon}{\beta'(s_1)}$$

$$= \frac{i\epsilon}{\beta'(s_1)} \pi \quad (6-3)$$

If there are many zeros between $s_0$ to $s$ at $s = s_n$ one then finds

$$\psi = P \int_{s_0}^{s} \frac{ds}{\beta} + \sum_{s_n} \frac{i\pi}{|\beta'(s_n)|} \quad (6-4)$$

Now, it will be shown that near a zero of $\beta(s)$, like $s = s_1$, $\psi$ becomes infinite like

$$\psi \sim \pm \frac{1}{2} \log (s - s_1) \quad (6-5)$$

The $\pm$ corresponds to the sign of $\beta'(s_1)$. We write $\psi$ as

$$\psi = A + B$$

$$A = \int_{s_0}^{s} \frac{ds}{\beta - i\epsilon} \left( \frac{1}{\beta - i\epsilon} - \frac{1}{\beta'(s_1)(s - s_1) - i\epsilon} \right) \quad (6-6)$$

$$B = \int_{s_0}^{s} \frac{1}{\beta'(s_1)(s - s_1) - i\epsilon}$$

where $s$ is assumed to be close to $s_1$ but $s > s_1$. 
The integral of $A$ has no pole near $s = s_1$ and $A$ does not become infinite at $s = s_1$. $B$ can be written as

$$B = \frac{1}{\beta'(s_1)} \int \frac{ds}{(s - s_1 - i\epsilon)}$$

$$B = \frac{1}{\beta'(s_1)} \{ \log (s - s_1 - i\epsilon) - \log (s_0 - s_1 - i\epsilon) \}$$

(6-7)

$$B \sim \pm \frac{1}{2} \log (s - s_1)$$

where, in the last result, only the dominant term that becomes infinite at $s = s_1$, has been kept and the result $\beta'(s_1) = \pm 2$ has been used.

Thus near $s = s_1$, $\psi$ becomes infinite and the dominant term is given by

$$\psi \sim \pm \frac{1}{2} \log (s - s_1)$$

(6-8)

where the $\pm$ is chosen to correspond to the sign of $\beta'(s_1)$.

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