Joint Learning of Sentence Embeddings for Relevance and Entailment

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Abstract

We consider the problem of Recognizing Textual Entailment within an Information Retrieval context, where we must simultaneously determine the relevancy as well as degree of entailment for individual pieces of evidence to determine a yes/no answer to a binary natural language question.

We compare several variants of neural networks for sentence embeddings in a setting of decision-making based on evidence of varying relevance. We propose a basic model to integrate evidence for entailment, show that joint training of the sentence embeddings to model relevance and entailment is feasible even with no explicit per-evidence supervision, and show the importance of evaluating strong baselines. We also demonstrate the benefit of carrying over text comprehension model trained on an unrelated task for our small datasets.

Our research is motivated primarily by a new open dataset we introduce, consisting of binary questions and news-based evidence snippets. We also apply the proposed relevance-entailment model on a similar task of ranking multiple-choice test answers, evaluating it on a preliminary dataset of school test questions as well as the standard MCTest dataset, where we improve the neural model state-of-art.

1 Introduction

Let us consider the goal of building machine reasoning systems based on knowledge from fulltext data like encyclopedic articles, scientific papers or news articles. Such machine reasoning systems, like humans researching a problem, must be able to recover evidence from large amounts of retrieved but likely irrelevant information and judge what answer the evidence entails to the question at hand.

A typical approach, used implicitly in information retrieval (and its extensions, like IR-based Question Answering systems (Baudiš, 2015)), is to determine evidence relevancy by a keyword overlap feature (like tf-idf or BM-25 (Robertson et al., 1995)) and prune the evidence by the relevancy score. On the other hand, textual entailment systems that seek to confirm hypotheses based on evidence (Dagan et al., 2006) (Marelli et al., 2014) (Bowman et al., 2015) are typically provided with only a single piece of evidence or only evidence pre-determined as relevant, and are often restricted to short and simple sentences without open-domain named entity occurrences.

In this work, we seek to fuse information retrieval and textual entailment recognition by defining the Argus Yes/No Question Answering task. The problem is, given a real-world event binary question like Did Donald Trump announce he is running for president? and numerous retrieved news article fragments as evidence, to determine the answer for the question. We further generalize this problem and propose a Hypothesis Evaluation where the questions and evidence can vary in form, size and vocabulary.

Our research is motivated by the Argus automatic reporting system for the Augur prediction market paltform. (Baudis et al., 2016b) Therefore, we consider the question answering task within the constraints of a practical task that has limited available dataset and only minimum supervision. Hence, the evidence are authentic news sentences (with noise like segmentation errors, irrelevant participial phrases, etc.), and whereas we have gold standard for the correct answers, the model must do without explicit supervision on which in-
individual evidence snippets are relevant and what do they entail.

To this end, we introduce an open dataset of questions and newspaper evidence, and a neural model within the Sentence Pair Scoring framework (Baudiš et al., 2016a) that (A) learns sentence embeddings for the question and evidence, (B) the embeddings represent both relevance and entailment characteristics as linear classifier inputs, and (C) the model aggregates all available evidence to produce a binary signal as the answer, which is the only training supervision.

A related task concerns ranking answers of multiple-choice questions given a set of evidencing sentences. We evaluate our model on this task as well, considering the MCTest dataset and the AI2-8grade/CK12 dataset that we introduce below.

The paper is structured as follows. In Sec. 2, we formally outline the Argus question answering task, describe the question-evidence dataset, and describe the multiple-choice questions task and datasets. In Sec. 3, we briefly survey the related work on similar problems, whereas in Sec. 4 we propose our neural models for joint learning of sentence relevance and entailment. We present the results in Sec. 5 and conclude with a summary, model usage recommendations and future work directions in Sec. 6.

2 The Hypothesis Evaluation Task

Formally, the Hypothesis Evaluation task is to build a function $y_i = f_{hi}(H_i)$, where $y_i \in [0,1]$ is a binary label (no towards yes) and $H_i = (q_i, M_i)$ is a hypothesis in the form of question text $q_i$ and a set of $E_i = \{e_{ij}\}$ evidence texts $e_{ij}$ as extracted from an evidence-carrying corpus.

2.1 Argus Dataset

Our main aim is to propose a solution to the Argus Task, where the Argus system (Baudis, 2015) (Baudis et al., 2016b) is to automatically analyze and answer questions in the context of the Augur prediction market platform.\(^1\) In a prediction market, some users pose questions about the future whereas other users bet on the yes or no answer, with the assumption that the bet price reflects the real probability of the event. At a specified moment (e.g. after the date of a to-be-predicted sports match), the correct answer is retroactively determined and the bets are paid off. At a larger volume of questions, determining the bet results may present a significant overhead for running of the market. This motivates the Argus system, which should partially automate this determination — deciding questions related to recent events based on open news sources.

To help develop a machine learning model for the $f_{hi}$ function, we have created a dataset of questions with gold labels, and used this dataset with an IR information retrieval component of the Argus system to generate evidence texts from a variety of news papers. We release this dataset openly.\(^2\)

To pose a reproducible task for the IR component, the time domain of questions was restricted from September 1, 2014 to September 1, 2015, and topic domain was focused to politics, sports and the stock market. To build the question dataset, we have used several sources:

- We asked Amazon Mechanical Turk users to pose questions, together with a golden label and a news article reference. This seeded the dataset with initial, somewhat repetitive 250 questions.
- We manually extended this dataset by derived questions with reversed polarity (to obtain an opposite answer).
- We extended the data with questions autogenerated from templates, pertaining top sporting event winners and US senate or gubernatorial elections.

To build the evidence dataset, we used the Syphon component (Baudis et al., 2016b) of the stock Argus implementation\(^3\) to identify semantic roles of all question tokens and produce the search keywords if a role was assigned to each token. We then used the stock IR component to query a corpus of newspaper articles, and kept sentences that contained at least 2/3 of all the keywords. Our corpus of articles contained articles from The Guardian (all articles) and from the New York Times (Sports, Politics and Business sections). Furthermore, we scraped partial archive.org historical data out of 35 RSS feeds from CNN, CNN/Politics, ...
|                  | Train | Val. | Test |
|------------------|-------|------|------|
| Original #q      | 1829  | 303  | 295  |
| Post-search #q   | 1081  | 167  | 158  |
| Average #m per q.| 19.04 | 13.99 | 16.66 |

Figure 1: Characteristics of the Argus QA dataset.

Reuters, BBC International, CBS News, ABC News, c—net, Financial Times, Skynews and the Washington Post.

For the final dataset, we kept only questions where at least a single evidence was found (i.e. we successfully assigned a role to each token, found some news stories and found at least one sentence with 2/3 of question keywords within). The final size of the dataset is outlined in Fig. 1.

2.2 AI2-8grade/CK12 Dataset

The AI2 Elementary School Science Questions (no-diagrams variant)\(^4\) released by the Allen Institute cover 855 basic four-choice questions regarding high school science and follows up to the Allen AI Science Kaggle challenge.\(^5\) The vocabulary includes scientific jargon and named entities, and many questions are not factoid, requiring real-world reasoning or thought experiments.

We have combined each answer with the respective question (crudely by substituting wh-word in the question with the answer) and retrieved evidence sentences for each hypothesis using Solr search in a collection of CK-12 “Concepts B” textbooks.\(^6\) 525 questions attained any supporting evidence.

We consider this dataset as preliminary, because it was not reviewed by a human and many hypotheses are apparently unprovable by the evidence we have gathered (i.e. the theoretical top accuracy is much lower than 1.0). However, we released it to the public\(^7\) and included it in the comparison as these qualities reflect many realistic datasets of unknown qualities, so we find relative performances of models on such datasets instructive.

2.3 MCTest Dataset

The Machine Comprehension Test (Richardson et al., 2013) dataset has been introduced to provide a challenge for researchers to come up with models that approach human-level reading comprehension, and serve as a higher-level alternative to semantic parsing tasks that enforce a specific knowledge representation. The dataset consists of a set of 660 stories spanning multiple sentences, written in simple and clean language (but with less restricted vocabulary than e.g. the bAbI dataset (Weston et al., 2015)). Each story is accompanied by four questions, each with four possible answers; the questions are tagged as based on just one in-story sentence, or requiring multiple sentence inference. We use an official extension of the dataset for RTE evaluation that replaces answers by full statements that combine each answer with the question.

The dataset is split in two parts, MC-160 and MC-500, based on provenance but similar in quality. We always train the model on a joined training set.

The practical setting differs from the Argus task as the MCTest dataset contains relatively restricted vocabulary and well-formed sentences; furthermore, the goal is to find the single key point in the story to focus on, while in the Argus setting we may have many pieces of evidence supporting an answer. Finally, a specific characteristics of MCTest is that it consists of stories where the ordering and proximity of evidence sentences matters.

3 Related Work

Our primary concern when integrating natural language query and textual evidence is to build sentence-level representations that can be used both for relevance weighing and answer prediction.

Sentence-level representations in the retrieval + inference context have been popularly proposed within the Memory Network framework (Weston et al., 2014), but just averaged word embeddings are explored; the task includes only very simple sentences and a small vocabulary. Much more realistic setting is introduced in the Answer Sentence Selection context (Wang et al., 2007) (Baudiš et al., 2016a), with state-of-art models using complex deep neural architectures with attention (dos Santos et al., 2016), but this selection task

\(^4\)http://allenai.org/data.html
\(^5\)https://www.kaggle.com/c/the-allen-ai-science-challenge
\(^6\)We have also tried English Wikipedia, but the dataset is much harder.
\(^7\)https://github.com/brmson/dataset-sts directory data/hyev/ai2-8grade
consists of only retrieval and no inference (answer prediction). A more indirect retrieval task regarding news summarization was investigated by (Cao et al., 2016).

In the entailment context, (Bowman et al., 2015) introduced a large dataset with single-evidence sentence pairs (Stanford Natural Language Inference, SNLI), but a larger vocabulary and slightly more complicated (but still conservatively formed) sentences. They also proposed baseline recurrent neural model for modeling sentence representations, while word-level attention based models are studied more recently (Rocktäschel et al., 2015) (Cheng et al., 2016).

In the MCTest text comprehension challenge (Richardson et al., 2013), the best models use complex feature engineering ensembling multiple traditional semantic NLP approaches (Wang and McAllester, 2015), while the best deep model so far of (Yin et al., 2016) uses convolutional neural networks to build sentence representations, and attention on multiple levels to select evidencing sentences.

4 Neural Model

Our approach is to use a sequence of word embeddings to build sentence embeddings for each hypothesis and respective evidence, then use the sentence embeddings to estimate relevance and entailment of each evidence with regard to the respective hypothesis, and integrate the evidence by different strategies.

4.1 Sentence Embeddings

To produce sentence embeddings, we investigated the usage of neural models of the dataset-sts framework for deep learning of sentence pair scoring functions. (Baudiš et al., 2016a)

We refer the reader to (Baudiš et al., 2016a) and its references for detailed model descriptions. We evaluate an RNN model which uses bidirectionally summed GRU memory cells (Cho et al., 2014) and uses the final states as embeddings; a CNN model which uses sentence-max-pooled convolutional filters as embeddings (Kim, 2014); a RNN-CNN model which puts the CNN on top of per-token GRU outputs rather than the word embeddings (Tan et al., 2015); and an attn1511 model inspired by (Tan et al., 2015) that integrates the RNN-CNN model with per-word attention to build hypothesis-specific evidence embeddings. We also report the baseline results of avg mean of word embeddings in the sentence with projection matrix and DAN Deep Averaging Network model that employs word-level dropout and adds multiple nonlinear transformations on top of the averaged sentence embeddings (Iyyer et al., 2015).

The original attn1511 model (Baudiš et al., 2016a) (as tuned for the Answer Sentence Selection task) used a softmax attention mechanism that effectively selects only a few key words of the sentence to focus on — for a hypothesis-evidence token $t$ scalar attention score $a_{h,e}(t)$, the focus $s_{h,e}(t)$ is:

$$s_{h,e}(t) = \exp(a_{h,e}(t))/\sum_{t'} \exp(a_{h,e}(t'))$$

A different focus mechanism exhibited better performance in the Hypothesis Evaluation task that models per-token attention more independently:

$$s_{h,e}(t) = \sigma(a_{h,e}(t))/\max_{t'} \sigma(a_{h,e}(t'))$$

We also use relu instead of tanh as the CNN transfer function.

As model input, we use the standard GloVe embeddings (Pennington et al., 2014) extended with binary inputs denoting token type and overlap with token or bigram in the paired sentence, as described in (Baudiš et al., 2016a). However, we introduce two changes to the word embedding model — we use 50-dimensional embeddings instead of 300-dimensional, and rather than building an adaptable embedding matrix only from the training set words preinitialized by GloVe, we use only the top 100 most frequent tokens in the adaptable embedding matrix and use fixed GloVe vectors for all other tokens (including tokens not found in the training set). This should improve behavior for highly vocabulary-rich tasks like Argus, while still allowing the high-frequency tokens (like interpunction or conjunctions) to learn semantic operator representations.

As an additional method for producing sentence embeddings, we consider the Ubu. RNN transfer learning method proposed by (Baudiš et al., 2016a) where an RNN model (as described above) is trained on the Ubuntu Dialogue task (Lowe et al., 2015). The pretrained model weights are

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8The Ubuntu Dialogue dataset consists of one million chat dialog contexts, learning to rank candidates for the next utter-
used to initialize an RNN model which is then fine-tuned on the Hypothesis Evaluation task. We use the same model as originally proposed (except the aforementioned vocabulary handling modification), with the dot-product scoring used for Ubuntu Dialogue training replaced by MLP point-scores described below.

4.2 Evidence Integration

Our main proposed schema for evidence integration is Evidence Weighing. From each pair of hypothesis and evidence embeddings, we produce two \([0, 1]\) predictions using a pair of MLP point-scorers of dataset-sts (Baudiˇs et al., 2016a) with sigmoid activation function. The predictions are interpreted as \(C_i \in [0, 1]\) entailment (0 to 1 as no to yes) and relevance \(R_i \in [0, 1]\).

To integrate the predictions across multiple pieces of evidence, we propose a weighed average model:

\[
y = \frac{\sum_i C_i R_i}{\sum_i R_i}
\]

We do not have access to any explicit labels for the evidence, but we train the model end-to-end with just \(y\) labels and the formula for \(y\) is differentiable, carrying over the gradient to the sentence embedding model. This can be thought of as a simple passage-wide attention model.

As a baseline strategy, we also consider Evidence Averaging, where we simply produce a single scalar prediction per hypothesis-evidence pair (using the same strategy as above) and decide the hypothesis simply based on the mean prediction across available evidence.

Finally, following success reported in the Answer Sentence Selection task (Baudiˇs et al., 2016a), we consider a BM25 Feature combined with Evidence Averaging, where the MLP scorer producing the pair scalar prediction as above has an additional BM25 word overlap score input (Robertson et al., 1995) besides the elementwise embedding comparisons.

| Model | train | val | test |
|-------|-------|-----|------|
| avg   | 0.872 | ±0.009 | 0.816 | ±0.008 | 0.744 | ±0.020 |
| DAN   | 0.884 | ±0.012 | 0.822 | ±0.011 | 0.754 | ±0.025 |
| RNN   | 0.906 | ±0.013 | 0.875 | ±0.005 | 0.823 | ±0.008 |
| CNN   | 0.896 | ±0.018 | 0.857 | ±0.006 | 0.822 | ±0.007 |
| RNN-CNN | 0.885 | ±0.010 | 0.860 | ±0.007 | 0.816 | ±0.009 |
| attn1511 | 0.935 | ±0.021 | 0.877 | ±0.008 | 0.816 | ±0.008 |
| Ubu. RNN | 0.951 | ±0.017 | 0.912 | ±0.004 | 0.852 | ±0.008 |

Figure 2: Model accuracy on the Argus task, using the evidence weighing scheme.

5 Results

5.1 Experimental Setup

We implement the differentiable model in the Keras framework (Chollet, 2015) and train the whole network from word embeddings to output evidence-integrated hypothesis label using the binary cross-entropy loss as an objective and the Adam optimization algorithm (Kingma and Ba, 2014). We apply \(L_2 = 10^{-4}\) regularization and a \(p = 1/3\) dropout.

Following the recommendation of (Baudiˇs et al., 2016a), we report expected test set question accuracy as determined by average accuracy in 16-way independent training runs and with 95% confidence intervals based on the Student’s t-distribution.

5.2 Evaluation

In Fig. 2, we report the model performance on the Argus task, showing that the Ubuntu Dialogue transfer RNN outperforms other proposed models by a large margin. However, a comparison of evidence integration approaches in Fig. 3 shows that contrary to our expectations, evidence integration is not the major deciding factor and there are no statically meaningful differences between the evaluated approaches.

\[\text{\textsuperscript{11}}\text{Unlike (Yin et al., 2016), we have found ranking-based loss functions ineffective for this task.}\]

\[\text{\textsuperscript{12}}\text{In the MCTest and AI2-8grade/CK12 datasets, we test and rank four hypotheses per question, whereas in the Argus dataset, each hypothesis is a single question.}\]
In Fig. 4, we look at the model performance on the AI2-8grade/CK12 task, repeating the story of Ubuntu Dialogue transfer RNN dominating other models. However, on this task our proposed evidence weighing scheme improves over simpler approaches — but just on the best model, as shown in Fig. 5. On the other hand, the simplest averaging model benefits from at least BM25 information to select relevant evidence, apparently.

In Fig. 6, we compare our proposed models with the current state-of-art ensemble of hand-crafted syntactic and frame-semantic features (Wang and McAllester, 2015), as well as past neural models from the literature, all using attention mechanisms — the Attentive Reader of (Hermann et al., 2015), Neural Reasoner of (Peng et al., 2015) and the HABCNN model family of (Yin et al., 2016). We see that averaging-based models are surprisingly effective on this task, and in particular on the MC-500 dataset it can beat even the best so far reported model of HABCNN-TE. Our proposed transfer model is statistically equivalent to the best model on both datasets (furthermore, previous work did not include confidence intervals, even though their models should also be stochastically initialized).

As expected, our models did badly on the multiple-evidence class of questions — we made no attempt to model information flow across adjacent evidence in our models since this aspect is unique to the MCTest task in the context of this work.

Interestingly, on the MCTest task evidence weighing does play an important role as shown in Fig. 7, significantly boosting model accuracy. This confirms that a way to allocate attention to different sentences is indeed crucial for this task.

### 5.3 Analysis

While we can universally proclaim Ubu. RNN as the best model, we observe many aspects of the Hypothesis Evaluation problem that are shared by the AI2-8grade/CK12 and MCTest tasks, but not by the Argus task.

Our largest surprise lies in the ineffectivity of evidence weighing on the Argus task, since observations of irrelevant passages initially led us to investigate this model. We may also see that non-pretrained RNN does very well on the Argus task while CNN is a better model otherwise.
Figure 6: Model (question-level) accuracy on the test split of the MCTest task, using the evidence weighing scheme. The first column shows accuracy on a train split joined across both datasets.

* The model with top MC-500 test set result (across 16 runs) that convincingly dominates HABCNN-TE in the one and all classes and illustrates that the issue of reporting evaluation spread is not just theoretical. 5/16 of the models have MC-160 all accuracy > 0.631.

An aspect that could explain this rift is that the latter two tasks are primarily retrieval based, where we seek to judge each evidence as irrelevant or essentially a paraphrase of the hypothesis. On the other hand, the Argus task is highly semantic and compositional, with the questions often differing just by a presence of negation — recurrent model that can capture long-term dependencies and alter sentence representations based on the presence of negation may represent an essential improvement over an n-gram-like convolutional scheme. The lack of success of evidence weighing in the Argus task might be also attributed to a more conservative scheme of passage retrieval employed in the IR pipeline that produced the dataset.

We see from training versus test accuracies that RNN-based models (including the word-level attention model) have a strong tendency to overfit on our small datasets, while CNN is much more resilient. While word-level attention seems appealing for such a task, we speculate that we simply might not have enough training data to properly train it.\textsuperscript{14} Investigating attention transfer is a point for future work — our preliminary experiments on multiple datasets indicate that attention models are more task specific than the recurrent text comprehension models of memory cell based RNNs.

One concrete limitation of our models in case of the Argus task is a problem of reconciling particular named entity instances. The more obvious form of this issue is Had Roger Federer beat Martin Cilic in US OPEN 2014? versus an opposite Had Martin Cilic beat Roger Federer in US OPEN 2014? — another form of this problem is reconciling a hypothesis like Will the Royals win the World Series? with evidence Giants Win World Series With Game 7 Victory Over Royals. An abstract embedding of the sentence will not carry over the required information — it is important to explicitly pass and reconcile the roles of multiple named entities which cannot be meaningfully embedded in a GloVe-like semantic vector space.

\textsuperscript{14}Just reducing the dimensionality of hidden representations did not yield an improvement.
6 Conclusion

We have established a general Hypothesis Evaluation task with three datasets of various properties, and shown that neural models can exhibit strong performance (with less hand-crafting effort than non-neural classifiers). We propose an evidence weighing model that is never harmful and improves performance on some tasks. We also demonstrate that simple models can outperform or closely match performance of complex architectures; all the models we consider are task-independent and were successfully used in different contexts than Hypothesis Evaluation (Baudiš et al., 2016a). Our results empirically show that a basic RNN text comprehension model well trained on a large dataset (even if the task is unrelated and vocabulary characteristics are very different) outperforms or matches more complex architectures trained only on the dataset of the task at hand.\(^\text{15}\)

Finally, on the MCTest dataset, our best proposed model is better of statistically indistinguishable from the best neural network model reported so far (Yin et al., 2016), even though it has a simpler architecture and only a naive attention mechanism.

We would like to draw several recommendations for future research from our findings: (A) encourage usage of basic neural architectures as evaluation baselines; (B) suggest that future research includes models pretrained on large data as baselines; (C) validate complex architectures on tasks with large datasets if they cannot beat baselines on small datasets; and (D) for randomized machine comprehension models (e.g. neural networks with random weight initialization, batch shuffling or probabilistic dropout), report expected test set performance based on multiple independent training runs.

As a general advice for solving complex tasks with small datasets, besides the point (B) above our analysis suggests convolutional networks as the best models regarding the tendency to overfit, unless semantic compositionality plays a crucial role in the task; in this scenario, simple averaging-based models are a great start as well. Preinitializing a model also helps against overfitting.

We release our implementation of the Argus task, evidence integration models and processing of all the evaluated datasets as open source.\(^\text{16}\)

We believe the next step towards machine comprehension NLP models that are based on deep learning but capable of dealing with real-world, large-vocabulary data will involve research into a better way to deal with entities without available embeddings, as mentioned in the analysis above. In the realm of embeddings, simple word-level attention mechanisms will not do. A promising approach could extend the flexibility of the final sentence representation, moving from attention mechanism to a memory mechanism\(^\text{17}\) by allowing the network to remember a set of “facts” derived from each sentence; related work has been done for example on end-to-end differentiable shift-reduce parsers with LSTM as stack cells (Dyer et al., 2015).

Acknowledgments

This work was co-funded by the Augur Project of the Forecast Foundation and financially supported by the Grant Agency of the Czech Technical University in Prague, grant No. SGS16/ 084/OHK3/1T/13. Computational resources were provided by the CESNET LM2015042 and the CERIT Scientific Cloud LM2015085, provided under the programme “Projects of Large Research, Development, and Innovations Infrastructures.”

We’d like to thank Peronet Despeignes of the Augur Project for his support. Carl Burke has provided instructions for searching CK-12 ebooks within the Kaggle challenge.

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