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MIMO Robust Disturbance Feedback
Control for Refrigeration Systems via an LMI Approach

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Abstract: This paper proposes Multi Input and Multi Output (MIMO) robust Disturbance Feedback Control (DFC) design using Linear Matrix Inequalities (LMIs). DFC can be added to existing controllers as an additional control loop, to attenuate disturbances and model uncertainties. The extended state space representation of the overall system is considered with parametric model uncertainties. LMIs are formulated to solve the optimization problem such that the DFC satisfies Lyapunov stability and robust performance. DFC was applied in the superheat control and the suction pressure control for a refrigeration system, and experimental results shows that DFC improves disturbance rejection compared to conventional PI controllers.

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Keywords: Disturbance rejection, PID control, Robust control, Uncertain linear systems.

1. INTRODUCTION

PID control has been widely adopted in industrial control systems due to its simplicity and low cost, e.g., see Åström and Hägglund (2005). Conventional PID control has only been designed for Single-input and Single-output (SISO) systems, and the design is typically based on a linear time invariant (LTI) system description without model uncertainties, which means the classical PID controllers do not consider the modeling error and mutual interactions for Multi-input and Multi-output (MIMO) systems explicitly.

Robust control design is a method to guarantee stability and performance of systems with model uncertainty. In addition, the robust control design can be extended easily to MIMO control systems using state-space representation. Therefore, the robust control design method can be a powerful tool to address the issue of the conventional PID control. Moreover, the robust control design can be formulated using LMIs as Semi Definite Programming (SDP), and the SDP can be solved systematically with an optimization solver such as CVX by Michael Grant and Stephen Boyd (2014).

Many researchers have proposed robust PID control or low order robust controllers, for satisfying stability and robustness of systems, e.g., see Sivrioglu and Nonami (1996), Ge et al. (2002), Sadabadi and Karimi (2013). As for MIMO design, MIMO PID tuning by an iterative LMI procedure has been proposed as a new challenge by Boyd et al. (2015).

The authors of this paper suggests to improve the existing control in closed-loop systems using Disturbance Feedback Control (DFC) as shown in Fig. 1. We have proposed simple grid-based DFC design using robust control theory for industrial control devices such as Programmable Logic Controllers (PLCs), for more detail see Kawai et al. (2015). This method is for SISO systems, and it could be improved

Fig. 1. Block diagrams of disturbance feedback control.

Fig. 2. The refrigeration system test setup at Aalborg University.
if the DFC applies systematic design methods using LMIs for MIMO systems.

One of the industrial companies in Japan, Fuji Electric has applied control to $V/f$ (motor voltage/output frequency) using a similar control structure, which is called "disturbance observer" proposed by Ohnishi et al. (1983), to compensate for the dead-time voltage error (Hoshino et al. (2007)). This design method has important issues to guarantee the robustness for variation of the motor parameters.

For these reasons, this paper presents a robust design method for the same DFC using LMIs for MIMO systems with model uncertainty. DFC is applied in the superheat control and the suction pressure control for the refrigeration system, and the effectiveness against the heat load disturbances using PI with/without DFC is examined.

The rest of the paper first describes the problem definition and the parametric uncertainty model in Section 2. Next, Section 3 then shows the LMIs formulations for DFC design. After that, practical examples are demonstrated in Section 4 using the refrigeration system as shown in Fig. 2. Finally, discussion and conclusions are described in Section 5.

2. PROBLEM DEFINITION

Fig. 1 shows a block diagram of a closed-loop system with DFC ($L$ block), where $r$ is the reference input, $u = u_k + u_l$ is the control input, $y$ is the output, $w$ is the disturbance, $W$ is a weighting function, $G$ is the plant, $G_n$ is the nominal plant model, $K$ is an existing feedback controller, $L$ is the transfer function of the disturbance feedback, $y_n$ is the output of the nominal plant, and $\epsilon$ is the error between $y_n$ and $y$. The block diagram indicates that the DFC compensates for the disturbance using $u_l$. Furthermore, DFC does not add anything to the control input if the plant has no model uncertainty, or disturbance. Therefore, the basic features and performance of the existing system can be maintained with DFC. For this reason, the proposed method is an effective technique to handle disturbances and model uncertainty for various systems. Note that, although superficially similar, this configuration is not identical to model reference control.

2.1 Design Concepts for Disturbance Feedback Control

Fig. 3 shows a general closed-loop system with two input, two output formulation (left) and the closed-loop system for DFC $L(s)$ (Right). In general, when designing controllers, the transfer function $T$ from $w$ to $z$, where $z$ is the output for evaluating the performance of the controlled systems and $y$ are the measurements.

Note that the motivation of the DFC design is to improve the existing system. We assume that the existing controller $K$ is fixed, meaning we can deal with $K$ as a part of the plant $P$. Thus, $P$ includes $G, G_n, W$, and $K$ in the DFC design.

2.2 Parametric Uncertainty Model

Fig. 4 shows a block diagram of the closed-loop system with the addition of DFC. The plant model is transformed

![Diagram](image)

Fig. 3. A closed-loop system for design of an $H_\infty$ controller $H(s)$ (Left), and a closed-loop system for design of Disturbance Feedback Control $L(s)$ (Right).

from the $s$-domain shown in Fig. 1 to a time domain representation;

$$\dot{x} = Ax + Bu$$

$$y = Cx,$$

where $A \in \mathbb{R}^{n \times n}$, $B \in \mathbb{R}^{n \times m}$, $C \in \mathbb{R}^{m \times n}$. We assume that the plant model has no direct feed through $D$ because most real thermo dynamical systems have relative degree at least one. The plant parameters are affected by parametric uncertainties (Carsten Scherer and Siep Weiland (2004)) expressed by

$$A = A_n + \sum_{i=1}^{p} \delta_{a,i} A_i, \quad \delta_{a,i} \in [-1, +1],$$

$$B = B_n + \sum_{i=1}^{q} \delta_{b,i} B_i, \quad \delta_{b,i} \in [-1, +1],$$

$$C = C_n + \sum_{i=1}^{r} \delta_{c,i} C_i, \quad \delta_{c,i} \in [-1, +1],$$

where $\delta_a = (\delta_{a,1}, \ldots, \delta_{a,p})$, $\delta_b = (\delta_{b,1}, \ldots, \delta_{b,q})$, $\delta_c = (\delta_{c,1}, \ldots, \delta_{c,r})$ are unknown vectors, which express the ensemble of all uncertainty quantities in a given dynamics and $A_n, B_n, C_n$ are the nominal state space representation using $G_n$ and $A_i, B_i, C_i$ describe the uncertainty.

2.3 PI Controller

We consider PI controllers as the existing controller $K$;

$$\dot{x}_k = A_k x_k + B_k (r - y),$$

$$u_k = C_k x_k + D_k (r - y),$$

where $A_k \in \mathbb{R}^{m \times m}$, $B_k \in \mathbb{R}^{m \times m}$, $C_k \in \mathbb{R}^{m \times m}$, and $D_k \in \mathbb{R}^{m \times m}$ are the state space representation of $K$. If SISO system with a PI controller is considered, then $A_k = 0$, $B_k = 1$, $C_k = k_i$, and $D_k = k_p$, where $k_p$ is a proportional gain, and $k_i$ is an integral gain.

2.4 Disturbance Weighting Function

We consider a disturbance weighting function. The weighting function for the disturbance $w$ is defined as

$$\dot{x}_w = A_w x_w + B_w w,$$

$$y_w = C_w x_w + D_w w,$$

where $A_w \in \mathbb{R}^{m_w \times m_w}$, $B_w \in \mathbb{R}^{m_w \times m_w}$, $C_w \in \mathbb{R}^{m_w \times m_w}$, and $D_w \in \mathbb{R}^{m_w \times m_w}$ are the state space representation of the weighting function. Note that this choice of order $m_w$ may depend on the given application. Here, we simply chose $m_w = m$. 

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2.5 Disturbance Feedback Controller

The Disturbance Feedback Controller (DFC) is chosen as
\[
\dot{x}_{cl} = A_{cl}x_{ul} + B_{cl}e
\]
where
\[
A_{cl} \in \mathbb{R}^{2n+m+m_w \times 2n+m+m_w}, \quad B_{cl} \in \mathbb{R}^{2n+m+m_w \times m},
\]
\[
C_{cl} \in \mathbb{R}^{m \times 2n+m+m_w}, \quad D_{cl} \in \mathbb{R}^{m \times m}
\]
are the state space representation of the DFC. Note that the DFC is defined as full order controller because the dimension of the plant \(P\) in Fig. 3 is \(\dim(G) + \dim(G_n) + \dim(K) + \dim(W) = n + n + m + m_w\).

3. LMI FORMULATION FOR DFC DESIGN

In this section, we present a MIMO robust DFC design method based on output feedback control via an LMI approach. Firstly, the closed loop system \(T(s)\) is obtained by the extended state space representation. Next, two constraints are introduced to design DFC. Here, we make use of the Bounded Real Lemma and regional pole placement for continuous time systems Scherer et al. (1997). The Bounded Real Lemma is used to guarantee a robust performance, and regional pole placement is introduced to specify the control performance. Moreover, a linearizing change of variables is introduced to design DFC. The DFC is categorized as an output feedback control. Therefore, a linearizing change of variable is needed to formulate the problem in terms of LMI.

3.1 The Extended State Space Representation

If the setpoint \(r = 0\), the extended state space representation of the overall system in Fig. 4 can be written as follows:
\[
\begin{align*}
\dot{x}_p &= A_p x_p + B_{w1} w + B_p u_t \\
z &= C_p x_p + D_z u_t \\
e &= C_p x_p + D_w u_t
\end{align*}
\]
where
\[
\begin{align*}
x_p &= (x \ x_n \ x_k \ x_w)^T, \\
A_p &= \begin{pmatrix} A - BD_{cl} C & 0 & BC_k & -BD_{cl} C_w \\
-B_{cl} D_{cl} C & A_n & B_{cl} C_k & -B_{cl} D_{cl} C_w \\
-B_{cl} C & 0 & A_k & -B_{cl} C_w \\
0 & 0 & 0 & A_w \end{pmatrix}
\end{align*}
\]
\[
B_{w1} = \begin{pmatrix} 0 & 0 & 0 & 0 \\
BD_{cl} w - B_{cl} D_{cl} w - B_{cl} D_{cl} w - B_{cl} D_{cl} w \end{pmatrix}^T, \\
B_p = \begin{pmatrix} B \ 0 \ 0 \ 0 \end{pmatrix}^T, \\
C_z &= \begin{pmatrix} -C & C_n & 0 & -C_w \\
0 & 0 & 0 & 0 \end{pmatrix}, \\
C_p &= \begin{pmatrix} -C & C_n & 0 & -C_w \end{pmatrix}, \\
D_z &= \begin{pmatrix} 0 \ \rho_z T \end{pmatrix}, \quad D_{w1} = (\rho_w I | 0),
\]
\[
w \text{ is an output disturbance, and } \rho_z \text{ and } \rho_w \text{ are a small numbers which are introduced to maintain full rank and to avoid numerical issues if } D_z \text{ and } D_{w1} \text{ are zero matrices.}
\]

The closed loop transfer function \(T(s)\) from \(w\) to \(z\) is defined as follows:
\[
x_{cl} = Ax_{cl} + Bw \\
z = Cx_{cl} + Dw,
\]
where
\[
\begin{pmatrix} A & B \\ C & D \end{pmatrix} = \begin{pmatrix} A_p + B_p D_{cl} C_p & B_p C_l & B_w w_1 + B_p D_{cl} D_{w1} \\
B_l C_p & A_l & B_l D_{w1} \\
C_z + D_z D_{cl} C_p & D_z D_{cl} C_l & D_z D_{cl} D_{w1} \end{pmatrix}.
\]

3.2 Bounded Real Lemma

The Bounded Real Lemma is used for the constraints in the DFC design. \(A\) is stable and the \(H_\infty\) norm of \(T(s)\) is smaller than \(\gamma\) if and only if there exists a Lyapunov matrix \(P\), which satisfies the following two lemmas (Gahinet and Apkarian (1994); Cottle (1974)).

Lemma 1: The LMI
\[
\begin{pmatrix} Q(x) & S(x) \\ S(x) & R(x) \end{pmatrix} > 0,
\]
where \(Q(x) = Q(x)^T, \quad R(x) = R(x)^T, \quad \text{and } S(x)\) depend affinely on \(x\), is equivalent to
\[
R(x) > 0,
\]
\[
Q(x) - S(x) R(x)^{-1} S(x)^T > 0.
\]

Lemma 2: Consider a continuous-time transfer function \(T(s)\) of realizations \(T(s) = D + C(sI - A)^{-1} B\). The following statements are equivalent:
1. \(\|D + C(sI - A)^{-1} B\|_\infty < \gamma\)
2. There exist a symmetric positive definite solution \(P\) to the LMI:
\[
\begin{pmatrix} A^T P + P A & P B & C^T \\ B^T P & -\gamma I & D^T \\ C & D & -\gamma I \end{pmatrix} < 0.
\]

3.3 Regional Pole Placement

The Regional Pole Placement is used to stabilize the control systems in the DFC design (Chilali et al. (1999)). The regional pole constraints is introduced with Theorem 1 as follows.

Theorem 1: The matrix \(A\) has all its eigenvalues in the LMI region \(\{z \in C : f_D(z) < 0\}\) with \(f_D : C \to \mathbb{R}\):
\[
f_D(z) = \alpha + z(\beta + \beta^T) = [\alpha_{ij} + \beta_{ij} z + \beta_{ji} z]_{1 \leq i,j \leq l},
\]
where \(\alpha = [\alpha_{ij}] \in \mathbb{R}^{l \times l}\) and \(\beta = [\beta_{ij}] \in \mathbb{R}^{l \times l}\) are symmetric matrices, and if and only if there exists a symmetric Lyapunov matrix \(P\) such that
\[
[\alpha_{ij} P + \beta_{ij} A^T P + \beta_{ji} PA]_{i,j < 0}, \quad P > 0.
\]

\[\]
Note that the left hand side of the first inequality in (15) is given in terms of the Kronecker product, which for any two matrices, e.g., $A$ and $B$, is defined as

$$A \otimes B = [A_{ij}B_{ij}]_{i,j}.$$  \hspace{1cm} (17)

In addition, the function $f_D$ takes values in the space of $l \times l$ Hermitian matrices.

### 3.4 Linearizing Change of Variables

As we described, DFC is categorized as an output-feedback case and a linearizing change of variables can therefore be used to design DFC. The Lyapunov matrix $P$ is partitioned, for more detail see Scherer et al. (1997), as follows;

$$P = \begin{pmatrix} Y & N \\ N^T & * \end{pmatrix}, \quad P^{-1} = \begin{pmatrix} X & M \\ M^T & * \end{pmatrix},$$  \hspace{1cm} (18)

$$P_{II_1} = P_{II_2},$$  \hspace{1cm} (19)

where

$$P_{II_1} := \begin{pmatrix} X & I \\ M^T & 0 \end{pmatrix}, \quad P_{II_2} := \begin{pmatrix} I & Y \\ 0 & N^T \end{pmatrix},$$

and $X$ and $Y$ are symmetric matrices. Then we define the change of the variables as follows;

$$\hat{A} := NA_1M^T + NB_1C_pX + YB_pC_1M^T$$
$$+ Y(A_p + B_pD_tC_p)X,$$

$$\hat{B} := NB_1 + YB_pD_t,$$

$$\hat{C} := C_1M^T + D_tC_pX,$$

$$\hat{D} := D_t.$$  \hspace{1cm} (23)

The LMI optimization finds $\hat{A}, \hat{B}, \hat{C}, \hat{D}, X, Y$ instead of $A_1, B_1, C_1, D_t$, and $P$. After that, the DFC is given by the results of the optimization problem;

$$D_t := \hat{D},$$  \hspace{1cm} (24)

$$C_t := (\hat{C} - D_tC_pX)M^{-T},$$  \hspace{1cm} (25)

$$B_t := N^{-1}(\hat{B} - YB_pD_t),$$  \hspace{1cm} (26)

$$A_t := N^{-1}(\hat{A} - NB_1C_pX - YB_pC_1M^T$$
$$- Y(A_p + B_pD_tC_p)X)M^{-T}.$$  \hspace{1cm} (27)

### 3.5 Optimization Problem for DFC Design

Now, we summarize the conditions, which are described in subsections 3.1 to 3.4. The optimization problem after the change of variables is given by

minimize $\gamma,$ \hspace{1cm} (28)

subject to;

$$\begin{pmatrix} \hat{A}^T \hat{P} + \hat{P} \hat{A} & \hat{P} \hat{B} & \hat{C}^T \\ \hat{B}^T \hat{P} & -\gamma I & D^T \\ -\gamma I \\ \end{pmatrix} < 0, \quad \gamma > 0,$$

$$\hat{P} = \begin{pmatrix} X & I \\ I & Y \end{pmatrix},$$

$$[\alpha_{ij}P + \beta_{ij}X^TP + \beta_{ij}PA_{ij}A_{ij} < 0,$$

where

$$\hat{A}^T \hat{P} + \hat{P} \hat{A} =$$

$$\begin{pmatrix} A_pX + XA_p^T + B_p\hat{C} + (B_p\hat{C})^T \\ \hat{A} + (A_p + B_pD_tC_p)^T \\ \hat{A} + (A_p + B_pD_tC_p)^T \\ \end{pmatrix},$$

$$\hat{P} \hat{B} = \begin{pmatrix} B_j + B_p\hat{F}_j \\ YB_j + \hat{B}\hat{F}_j \end{pmatrix},$$

$$\hat{C} = (C_jX + E_j\hat{C} C_j + E_j\hat{D}C_p),$$

$$\hat{D} = E_j\hat{D}_j,$$

$$B_j := B_wR_j, \quad C_j := L_jC_z,$$

$$E_j := L_jD_z, \quad F_j := D_wR_j,$$

and $L_j, R_j$ are input/output channel for $T(s)$ from $w$ to $z$;

$$T_j = L_jTR_j.$$  \hspace{1cm} (30)

### 4. PRACTICAL EXAMPLE

#### 4.1 Refrigeration System

The system includes four main components; a compressor, a condenser, an expansion valve, and an evaporator. In a
Fig. 5. A layout of the refrigeration system with basic control structure.

Now 2 input and 2 output modeling for MIMO control design is considered. A simple superheat model and a suction pressure model is chosen for the MIMO control design and these models can be described by a first order plus dead time system, e.g., see Izadi-Zamanabadi et al. (2012). The model is created using experimental data obtained from step response tests conducted at different operating conditions. A hot water tank is set as heat load. For example, the rotational speed of the compressor is controlled to keep a constant refrigerant suction pressure $P_e$. The opening degree of the expansion valve maintains a suitable refrigerant superheat $T_{sh}$ (difference between the temperature at the outlet of the evaporator and the evaporation temperature inside the evaporator). The speed of the evaporator fan is controlled to keep the temperature on the load side $T_r$ constant. The speed of the condenser fan is controlled in order to keep the condensing pressure $P_c$ constant.

### 4.3 Tuning parameters

The PI controllers are designed using the parameters of each corner point of the $G$ space to maintain the nominal stability.

| $k$ | $\tau$ | $\theta$ |
|-----|--------|--------|
| $g_{11}$ | $[-10.65 \ -8.72] $ | $[29.48 \ 60.19] $ | $[14 \ 27] $ |
| $g_{21}$ | $[0.097 \ 0.47]$ | $[6.38 \ 14.26] $ | $[0 \ 11] $ |
| $g_{12}$ | $[0.72 \ 0.77]$ | $[21.77 \ 62.30] $ | $[10 \ 27] $ |
| $g_{22}$ | $[-0.071 \ -0.021]$ | $[9.18 \ 5.23] $ | $[0 \ 8] $ |

The data for estimation was sampled by the open loop step up/down responses. These tests were repeated twice for two conditions, one for low refrigerant flow and low load conditions, and one for high refrigerant flow and high load conditions. In all modeling situations, the condenser pressure is fixed at 9 bar as shown in Table 1.
A 20th order system because of given a priority for a disturbance rejection. Thus, we should choose a first or-

nominal time constant \( \tau_{n,11}, \tau_{n,22} \). In addition, the weight of superheat \( W_{11} \) is given a priority for a disturbance rejection.

\[
W = \begin{pmatrix} W_{11} & 0 \\ 0 & W_{22} \end{pmatrix}.
\]

The artificial parameters in (8) for DFC are chosen as follows:

\[
\rho_s = 10^{-1}, \quad \rho_w = 10^{-6}.
\]

### 4.4 DFC Design

The optimal \( H_\infty \) performance was \( \gamma = 0.3940 \) with full order DFC, and the DFC was a 20th order system because \( A_l = 2n + m + m_w, n = 8, m = 2, m_w = 2 \). It could be more useful for industrial applications if a lower order DFC can be obtained. For this reason, model reduction of DFC is considered, and the DFC gain \( L \) is obtained with the Matlab command `modred`.

\[
L = \begin{pmatrix} -0.3492 & 0.5071 \\ 1.393 & -6.533 \end{pmatrix}.
\]

Fig. 8 shows a comparison of DFCs before/after the model reduction. The figure indicates that the DFC gain can keep the main feature of the original DFC in the low frequency area. Table 4 and Fig. 9 to Fig. 11 show the simulation results for comparing PI control with full order DFC or DFC gain. The set-point and disturbance were changed for the superheat control. These results indicate that DFC gain can improve the disturbance rejection more than 40%. In addition, the DFC gain shows robustness against model uncertainties for the step response simulation as well as full order DFC. From these results, we can choose the DFC gain instead of the full order DFC.

Table 4. IAE of the simulation results.

|                | PI         | PI + DFC (full order) | PI + DFC (gain) |
|----------------|------------|-----------------------|-----------------|
| The superheat control | 464.76     | 260.00                | 263.67          |
| The suction pressure control | 8.97       | 11.94                 | 14.94           |

Fig. 9. Simulation results using PI control without DFC.

### 4.5 Experimental Results

Table 5 shows the experimental condition for each component. Each set point follows the modeling condition in section 4.2 to examine the \( G \) space. Superheat control and suction pressure control are examined by PI with/without DFC for MIMO control systems. The temperature of the water tank is set at 14 degree for the initial condition. Then the set point is changed to 13.5 degree, and kept there for the first 500 seconds for making swing load disturbance

|                | g_{11}     | \tau | \theta |
|----------------|------------|------|--------|
|                | [-10.65, -8.72] | 44.84 | [20.50]|
| g_{n,21}       | [0.29]     | 10.32 | [5.50] |
| g_{n,12}       | [0.75]     | 42.03 | [18.50]|
| g_{n,22}       | [-0.046]   | 7.21  | [4.00] |
response. The set point is changed to 14 degree after the first 500 seconds, and kept there for additional 500 seconds. Experimental data is sampled each second, and the data is evaluated for 1000 seconds in total.

Fig. 12 and 13 show swing disturbance response of PI control with/without DFC. The PI control received the effects of the load disturbance, and then the superheat controller cannot regulate the set point around 10 degree. On the other hand, the proposed method can track the set point even through the load change disturbed the regulation. The suction pressure control for compressor with DFC gets worse slightly, however both controllers can keep the set point 2.5 ± 0.15 [bar] and maintain the stability.

Table 6 shows IAE of the superheat control and suction pressure control with/without DFC. IAE of the suction pressure control gets worse by 18.12. On the other hand, superheat control with DFC obtained IAE=640.23 and improved 52.43 % compared to only PI control (IAE=1345.80).

5. DISCUSSION AND CONCLUSIONS

This paper presents MIMO robust Disturbance Feedback Control using an LMI approach. The experimental results of the refrigeration system demonstrated the robustness of the proposed DFC. As future work, we will consider input constraints for this method, and we may also examine fixed order DFC design.

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Table 5. Experimental conditions.

| Set point         | Value   | Controlled by |
|-------------------|---------|---------------|
| Superheat         | 10.0°C  | PI + DFC      |
| Suction pressure  | 2.5 [bar]| PI + DFC      |
| Condenser         | 9.0 [bar]| PI           |
| Water tank        | 14.0°C  | PI            |

Table 6. IAE of the experimental results.

| The superheat control | PI | PI + DFC (gain) |
|-----------------------|----|-----------------|
|                        | 1345.80 | 640.23         |
| The suction pressure  |    |                 |
| control               | 26.89  | 45.01          |
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