Effects of SiO₂ content on the nanomechanical properties of CoCrPt-SiO₂ granular films

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CoCrPt material is used for perpendicular magnetic recording media due to its high magneto-crystalline anisotropy that brings good thermal stability on the media. The addition of SiO₂ between the CoCrPt grains offers benefits including lower noise and better thermal stability. It has been reported that the SiO₂ content has strong effects on the media’s recording performance such as coercivity, anisotropy and noise. In this work, we focus on studying the effects of the SiO₂ content on the nanomechanical properties of the media which are critical for the head-disk interface reliability. Variations of these properties with SiO₂ content provide guidelines for optimum designs considering both recording and mechanical interface performance.

Perpendicular magnetic recording (PMR) technology was a breakthrough for achieving area densities of data storage higher than 100 Gb/in² in the hard disk industry drive (HDD) industry. Compared to the previous technology of longitudinal magnetic recording (LMR), the key difference of PMR is that the grain structure and the magnetic orientation of the stored data of PMR media is granular/perpendicular instead of longitudinal. This new media structure feature brings advantages including improved thermal stability, improved signal-to-noise ratio (SNR) due to better grain separation and uniformity, and better writability due to stronger head fields and better magnetic alignment of the media¹⁻³. The most common material used for the PMR magnetic layer is CoCrPt-SiO₂ composite material that has CoCrPt granular grains/islands as data storage media and SiO₂ between grains as segregation material. The addition of SiO₂ is important and its content has significant effects on media recording performance. An increase of SiO₂ decreases the magnetic grain size, causing very high magnetic anisotropy. Increasing SiO₂ content also increases magnetic coercivity due to grain isolation, therefore increases data storage capacity and reduces noise⁴⁻⁵. A SiO₂ content of 11–14% reportedly gives optimum recording performance⁴. Even for the promising next-generation PMR technologies, the so-called heat assisted magnetic recording (HAMR)⁶, and bit-patterned media recording (BPMR)⁷, SiO₂ is still the primary choice as the segregation material between media grains⁷⁻⁸. L1₀-FePt is also proposed as a promising magnetic media due to very high magnetic anisotropy but the switching magnetic field needs to be very high, which cannot be afforded by the available write recording heads, and further improvements are needed before implementation⁷.

Besides recording properties, nanomechanical and nanotribological performances of HDDs are also very important for reliability. Studies show that head-media spacing of less than 6.5 nm is required to achieve 1 Tb/in² magnetic areal density in HDDs⁹⁻¹⁰. As the media rotates at a very high speed, the head can accidentally come into contact with the media and may scratch or wear out the surface. Although diamond like carbon (DLC), also known as carbon overcoat (COC), is commonly used to protect the media, in the worst case scenario, scratch and wear may lead to contact induced damage of the media, causing demagnetization and loss of data from the media¹¹⁻¹³. Therefore, the investigation of the effects of SiO₂ content on the nanomechanical and nanotribological properties of the media is critical, but has not been conducted systematically. In this work, we measure the nanomechanical and nanotribological properties of three media samples with different SiO₂ contents. The dependence of the properties on the SiO₂ content are quantified by growth constants obtained from curve-fitting of the experimental results. These relationships can be used for design of the media composite thin films, with concerns on HDI nanomechanics, nanotribology, and reliability, regardless of the material type for the magnetic grains. The ultimate goal is to provide guidelines to optimize the material design in terms of both recording and mechanical performance.

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Samples and Instrumentation

Sample description. Figure 1 shows a Transmission Electron Microscopy (TEM) cross-sectional view of a typical PMR magnetic disk with multiple layers of solid films. On the very top, there is a carbon overcoat (COC) with a thickness of ~2 nm to protect the recording media layer from mechanical wear and chemical corrosion. The media layer is a 14-nm thick CoCrPt-SiO₂ composite. Below the media layer, there is a Ru-based interlayer for exchange breaking and inducing texture growth on the media layer. Below the interlayer, there is a magnetic soft under layer (SUL) to help writing the magnetic data. Except for the COC layer that is normally fabricated using plasma enhanced chemical vapor deposition (PECVD), the other films are deposited by magnetron sputtering. The CoCrPt-SiO₂ film media were deposited on 2.5-in glass-substrate disks by a co-sputtering method with Co, Pt, Cr targets using an UHV-magnetron sputtering system. A Ru film was used as the seed layer. The composition of SiO₂ can be varied by controlling the deposition rate. The standard film thickness of the CoPtCr layer was 20 nm.

Figure 2 depicts planar views of the CoCrPt-SiO₂ media layer under TEM. The dark grains are CoCrPt with size of about 10 nm and the white segregation material between the grain boundaries is SiO₂. Samples with three different SiO₂ contents, 0%, 10.75% and 21.5%, were collected directly from the vendor. All other solid films remain same in all samples for the study.

Instrumentation and experimental setup. Shallow nanoindentation experiments utilized a 1-axis microelectromechanical systems (MEMS) transducer (Hysitron xProbe®) installed with a cube corner probe with a tip radius of ~50 nm. The root-mean-square (RMS) force resolution is ~5 nN and the RMS displacement resolution is 0.05 nm. Utilization of such a sensitive transducer and a sharp probe is to ensure that the transducer is capable of capturing the elastic and plastic behaviors of the composite thin films without significant substrate effects. Calibration experiments are performed on a standard fused quartz sample with known mechanical...
properties (elastic modulus = 69.6 ± 5% GPa, hardness = 9.25 ± 10% GPa). It is shown that the transducer is capable of obtaining accurate nanomechanical properties for contact depths down to ~2 nm.

The present nanoscratch experiments employ a 2-axis standard transducer using a TI Premier (Hysitron®) with an RMS force resolution of 0.045 μN and an RMS displacement resolution of 0.22 nm. The probe for the scratch experiments is conospherical with a tip radius of 350 nm.

**Results and Discussion**

**Nanoindentation.** For each sample, we performed 20 nanoindentation experiments with peak load varying from 30 μN down to 11 μN. The load function is of trapezoidal shape: 5 seconds loading, 2 seconds holding and 5 seconds unloading. The corresponding contact depths were limited to less than 8 nm to avoid substrate effects from the intermediate layer. Figure 3(a) shows residual scanning probe microscopy (SPM) images on the sample with 10.75% SiO₂. The indentation experiments were set up as a 4 × 5 matrix with a spacing of 1 μm between adjacent indentations.

The nanoindentation technique measures the reduced elastic modulus that is calculated from the unloading stiffness and the contact area:

\[ E_r = \frac{S}{\pi \beta \tan \beta} \]

where \( S \) is the slope of the unloading curve or called unloading stiffness, \( \beta \) is a correction factor and equal to 1 for the current tip. In addition, the hardness \( H \) can be determined by the mean contact pressure under the indenter, i.e.,

\[ H = \frac{P_{max}}{A} \]

Figure 3(b) plots the extracted average elastic modulus and hardness values for the three samples, measured from nanoindentation. It can be seen that the addition of SiO₂ reduces both elastic modulus and hardness of the composite film. The decreasing trend may be attributed to reduction in grain size with increase in SiO₂ content. Reduction in grain size indicates increase in grain boundary size filled with SiO₂. As SiO₂ is softer than CoFePt, the overall composite film may become softer with increase in SiO₂ content, causing reduction in both elastic modulus and hardness. Curve-fitting using exponential functions shows that the growth constant of the elastic modulus is −0.503 while that of the hardness is −1.338: The hardness decreases more rapidly than the elastic modulus as the SiO₂ content increases.

The elastic modulus and hardness values originate from elastic and plastic behaviors of the material being pressed by the indenter. It should be noted that hardness is defined as the mean contact pressure when a rigid indenter is pressed on a deformable body. Hardness is an indicator of the material’s yield strength, however, not a direct fundamental material property that can be used in constitutive relations. The relationship proposed by Tabor relates indentation hardness \( H \) with the yield strength \( \sigma_y \) through a coefficient of 3, i.e.,

\[ H = 3 \sigma_y \]

This relation makes good predictions for plastic metal materials (\( E/\sigma_y > 1 \)) such as bulk copper and steel. However, it is found that for materials with small \( E/\sigma_y \) ratios, the coefficient for \( H/\sigma_y \) is only about 1.7 [15]. In addition, regression formulas between indentation/scratch hardness and the yield strength were obtained from finite element simulations [18,19]. Johnson proposed a more generic relationship considering indenter geometry and material properties [20]:

\[ \frac{H}{\sigma_y} = \frac{2}{3} \left( 1 + \ln \left( \frac{1}{3 \sigma_y} \frac{E}{\tan \beta} \right) \right) \]

where \( \beta \) is the equivalent angle between the indenter and the sample surface plane, and is equal to 47.7° for the cube corner tip used in the present study. This relationship has been validated by correlating finite element simulations with nanoindentation testing data on sub-20 nm thin films [21].

Figure 4 plots the yield strength values of the three samples calculated by Eq. (1) and curve-fits the variation with respect to the SiO₂ content. The yield strength decreases with increasing SiO₂ content with a relationship
fitted by $\sigma_y = 7.04e^{-1.705\varphi}$. The growth constant is $-1.705$ for the yield strength, which is larger than that for hardness. With the addition of SiO$_2$, the composite film is more readily subject to plastic yielding.

**Nanoscratch.** Nanoscratch has been widely applied to characterize contact and tribological behavior of thin films and coatings under sliding contact, including friction and scratch/wear resistance$^{22,23}$. Unlike nanoindentation that measures mechanical properties, nanoscratch is capable to simulate working (sliding) conditions and measure the tribological behavior of the sample surface under sliding contact with the probe. This is especially so in the HDD industry, where nanoscratch is frequently employed to evaluate wear resistance and durability of the disk under impact from particles found in the HDD or impact with the recording head.

**Experimental setup.** The load function used in the present nanoscratch measurements is shown as in Fig. 5(a). The scratch test includes three steps: (1) pre-scan step from 0 to 20 s for data correction with a light contact force of 2 $\mu$N to remove misalignment of the normal displacement due to tilt or gradient of the sample surface; (2) loading step from 20 s to 50 s for the tip to scratch laterally the sample surface with a constant normal load; and (3) retrace step for the tip to scratch backwards with a light contact force of 2 $\mu$N to measure the residual depth of the groove. For each sample, we performed seven experiments with the scratch load varying from 30 $\mu$N to 90 $\mu$N with an increment of 10 $\mu$N. There is a spacing of 0.75 $\mu$m between two adjacent nanoscratch tracks. Figure 5(b) displays the atomic force microscopy (AFM) image of a media sample after a set of scratch experiments that was scanned using a sharp AFM tip with a tip radius of 10 nm. The grooves from left to the right correspond to residual wear tracks after completion of the nanoscratch experiments with a normal load varying from 90 $\mu$N to 30 $\mu$N. The depths of the wear tracks will be compared and discussed in a later section.

**Coefficient of friction (COF).** The COF is an important parameter to quantify levels of frictional resistance at contacting/ sliding interfaces. Figure 6(a) shows the COF data at the scratch load of 30 $\mu$N. With a constant scratch load, the friction resistance from the sample surface is also nearly constant. The average COFs for the three samples are plotted in Fig. 6(b). The COF values for scratch load from 30 $\mu$N to 90 $\mu$N are similar, which is
also indicated by small data deviation. The average COF for the three samples increases slightly with increasing SiO₂ content, with a growth constant of 0.501.

The simple theory proposed by Bowden and Tabor can be used for interpretation of the COF data. The theory attributes the COF to two basic components that are additive: deformation friction \( \mu_{\text{def}} \) and adhesive friction \( \mu_{\text{adh}} \). At the initial stage of contact, the major contributor to the total friction is adhesion between the two surfaces arisen from Van der Waals forces. As the contact force increases, the major source of friction is resistance of the softer material to ploughing of a hard asperity (the diamond probe in this case). The deformation friction coefficient for a rigid sphere sliding on a soft surface is linear with the square root of the ratio of the in-situ depth to the spherical tip radius, \( R \). The in-situ depth is the maximum scratch depth measured in the loading step and includes both elastic and plastic deformation.

The three samples share the same COC coating and thus their adhesive friction components should be the same. Therefore, the difference on their COFs is due to the deformation friction that is determined by the in-situ scratch depth \( h_{i} \). When the sample is under scratching by the probe, the elastic deformation is the major contributor of the total deformation. As the contact force increases, the deformation friction coefficient increases, the elastic modulus gets smaller and the elastic deformation under the same load larger. This correlation is verified by curve-fitting, based on the exponential function in Fig. 6(b). The COF reports a growth constant of 0.501 with SiO₂ content, which is very close to that of elastic modulus (0.503), but lower than for hardness (1.338), and yield strength (1.705).

Residual scratch depths and wear rates. The residual depths are the maximum depth values of the plastically deformed grooves after scratching by the diamond probe. Figure 7(a) summarizes the residual depths from the nanoscratch experiments using different normal loads. Each data point reports the average and the standard deviation from three separate experiments. At the minimum load of 30 \( \mu \)N, plastic deformation of the COC coating is more dominant than that in the media layer, so the residual depths are similar. With increase of the scratch load, the scratch depth increases and the probe begins to detect properties of the media layer that is relatively softer and less wear resistant. The difference between the samples with 10.75% SiO₂ and 21.5% SiO₂ does not become significant until the scratch load increases to about 50 \( \mu \)N. After that, under the same load, the sample with 21.5% SiO₂ reports the largest residual wear depths and the one with no SiO₂ reports the lowest. With an increase in SiO₂ content, hardness and yield strength decrease, as shown in Figs. (3) and (4), causing an increase of the residual depth. At the load of 90 \( \mu \)N, the residual depth of the 21.5% SiO₂ sample is almost two times that for the 0% SiO₂ sample.

To compare the wear resistance of the three samples, in the present work we calculate the specific wear rate, or wear coefficient defined by Archard:

\[
 k = \frac{V_w}{Fs} = \frac{h_{w}w_{f}}{2F}
\]

where \( V_w \) is the wear volume, \( F \) is the normal load and \( s \) is the sliding distance. For the constant load scratch experiments in the present work, the wear track can be approximated as a triangular prism and its volume is calculated by \( V_w = h_{w}w_{f} s/2 \). Only data points for load values from 50 \( \mu \)N to 90 \( \mu \)N are used in the calculation to avoid effects from the COC. Wear rates of the three samples are plotted with versus SiO₂ content, as shown in Fig. 7(b). Similar to the nanomechanical properties, the wear rate can be curve-fitted using an exponential function:
This growth constant is more than two times the growth constant for the yield strength, indicating a much greater effect of the SiO2 content on the tribological properties under scratch.

**Nanoscratch under TEM.** For nanoscratch experiments, it is important to minimize the substrate effect to ensure that the probe detects the tribological behavior of the layer of interest. As we are dealing with a multilayered system and the media layer is an anisotropic composite, it is necessary to capture material deformation and failure of different layers. We performed a scratch experiment in a Transmission Electron Microscope (TEM) to observe the cross-sectional view of the multilayered system subjected to the nanoscratch. Figure 8 shows a cross-sectional view of the wear track after a nanoscratch experiment at a normal load of 100 μN using a probe with a tip radius of 250 nm (10.75% SiO2 sample). The scratch direction is perpendicular (out of plane) to the image. The maximum wear depth caused by such a high-pressure scratch is measured to be 2.54 nm. As shown in the TEM image, almost all the plastic deformation is confined within the CoCrPt-SiO2 media layer. When under a lateral scratch, the anisotropic granular structure makes the thin film more readily plastically deformed. The grain tilting in the damaged region reportedly results in demagnetization of the media11.

**Conclusions**

The present study investigates the effects of the SiO2 content on the nanomechanical and nanotribological properties of a 14-nm nanocomposite thin films in magnetic storage media. Through nanoindentation and nanoscratch experiments using state-of-the-art nanomechanical instrumentation, it is found that the SiO2 content affects the nanomechanical and nanotribological properties of the thin film and the following conclusions could be drawn.

1. The measurement of the nanomechanical properties shows that the composite thin film becomes more compliant and softer with the addition of SiO2, segregated between media grains. The elastic modulus decreases slightly with SiO2 content, with a decay constant of 0.503. In contrast, plastic behavior including hardness and yield strength reduces more rapidly with SiO2 content, with decay constants of 1.338 and 1.705, respectively.
(2) From nanoscratch experiments, it is observed that the COF increases slightly with SiO₂ content. The growth constant is similar to the decay constant of the elastic modulus, due to the dominant fraction of the elastic deformation in the total in-situ scratch depth. The wear rate is the most direct measure for wear resistance of the sample to external contact scratch. It increases rapidly with SiO₂ content, with a growth constant of 4.245. The addition of SiO₂ into the composite makes it more readily to be worn by scratching. Attention should be paid to the wear rate when the SiO₂ content is adjusted for optimized HDD media designs. An 11% of SiO₂ content, it is suggested to achieve both wear resistance and magnetic performance that is optimum in the range of 11%–14%.

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Author contributions
Youfeng Zhang wrote the main manuscript text. Ahmad Shakil revised sections of the manuscript and formatted according to the journal. Hongbo Wang, Xinwei Li and Huan Tang provided Figs. 1, 2 and 8, and reviewed the manuscript and provided feedback. Andreas A. Polycarpou is the principal investigator of the study and corresponding author of the manuscript, and the Ph.D advisor of Youfeng Zhang and Ahmad Shakil, and worked with the students to set up the manuscript, and reviewed it multiple times.

Competing interests
The authors declare no competing interests.

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