ICMJE Form for Disclosure of Potential Conflicts of Interest

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

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Royalties: Funds are coming in to you or your institution due to your patent
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Nicholas  
2. Surname (Last Name) Yung  
3. Date 28-June-2020  
4. Are you the corresponding author? ✔ Yes  ☐ No  
5. Manuscript Title A Narrative Review of In Utero Gene Therapy: Advances, Challenges, and Future Considerations  
6. Manuscript Identifying Number (if you know it) TP-20-89

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?
Are there any relevant conflicts of interest?  ✔ No

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Are there any relevant conflicts of interest?  ✔ No

Section 4. Intellectual Property -- Patents & Copyrights

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Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Yung has nothing to disclose.

Evaluation and Feedback

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**Section 1. Identifying Information**

1. Given Name (First Name)  
Nathan

2. Surname (Last Name)  
Maassel

3. Date  
23-June-2020

4. Are you the corresponding author?  
☐ Yes  ☑ No

Corresponding Author’s Name  
Nicholas Yung

5. Manuscript Title  
A Narrative Review of In Utero Gene Therapy: Advances, Challenges, and Future Considerations

6. Manuscript Identifying Number (if you know it)  
TP-20-89

**Section 2. The Work Under Consideration for Publication**

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest?  
☐ Yes  ☑ No

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Are there any relevant conflicts of interest?  
☐ Yes  ☑ No

**Section 4. Intellectual Property -- Patents & Copyrights**

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
☐ Yes  ☑ No
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

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Dr. Maassel has nothing to disclose.

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Royalties: Funds are coming in to you or your institution due to your patent
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Sarah
2. Surname (Last Name) Ullrich
3. Date 22-June-2020

4. Are you the corresponding author? ☐ Yes ✔ No
   Corresponding Author's Name Nicholas Yung

5. Manuscript Title
   A Narrative Review of In Utero Gene Therapy: Advances, Challenges, and Future Considerations

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Are there any relevant conflicts of interest? ☐ Yes ✔ No

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Dr. Ullrich has nothing to disclose.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

**Section 1. Identifying Information**

| 1. Given Name (First Name) | Adele |
| 2. Surname (Last Name) | Ricciardi |
| 3. Date | 30-June-2020 |
| 4. Are you the corresponding author? | ☑ No |

Corresponding Author's Name
Nicholas Yung

5. Manuscript Title
A Narrative Review of In Utero Gene Therapy: Advances, Challenges, and Future Considerations

6. Manuscript Identifying Number (if you know it)
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Are there any relevant conflicts of interest? ☑ No

**Section 4. Intellectual Property -- Patents & Copyrights**

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ☑ Yes ☐ No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

| Patent? | Pending? | Issued? | Licensed? | Royalties? | Licensee? | Comments |
|---------|----------|---------|-----------|------------|-----------|----------|
| Compositions and Methods for In Utero Delivery | ☑ | ☐ | ☐ | ☐ | | |
| Compositions and Methods for Embryonic Gene Editing In Vitro | ☑ | ☐ | ☐ | ☐ | | |

Ricciardi
### Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

- [ ] Yes, the following relationships/conditions/circumstances are present (explain below):
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### Section 6. Disclosure Statement

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Dr. Ricciardi has a patent Compositions and Methods for In Utero Delivery pending, a patent Compositions and Methods for Embryonic Gene Editing In Vitro pending, and a patent Compositions and Methods for Enhancing Triplex and Nuclease-Based Gene Editing pending.

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**Evaluation and Feedback**

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Section 1. Identifying Information

1. Given Name (First Name)        2. Surname (Last Name)        3. Date
David                        Stitelman                  22-June-2020

4. Are you the corresponding author?  Yes ☐  No ☑

Corresponding Author’s Name
Nicholas Yung

5. Manuscript Title
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|---------|----------|---------|-----------|------------|-----------|----------|
| 20200113821 | ☐ | ☑ | ☐ | ☐ | ☐ | ☐ |

Stitelman
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Dr. Stitelman has a patent 20200113821 issued.

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