Unified magnifying endoscopic classification for esophageal, gastric and colonic lesions: a feasibility pilot study

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ABSTRACT

Background and study aims Image-enhanced magnifying endoscopy allows optimization of the detection and diagnosis of lesions found in the gastrointestinal tract. Current organ-specific classifications are well-accepted by specialized endoscopists but may pose confusion for general gastroenterologists. To address this, our group proposed the Unified Magnifying Endoscopic Classification (UMEC) which can be applied either in esophagus, stomach, or colon. The aim of this study was to evaluate the diagnostic performance and clinical applicability of UMEC.

Patients and methods A single-center, feasibility pilot study was conducted. Two endoscopists with experience in magnifying narrow band imaging (NBI), blinded to white-light and non-magnifying NBI findings as well as histopathological diagnosis, independently reviewed and diagnosed all images based on UMEC. The diagnostic performance of UMEC was assessed while using the gold standard histopathology as a reference.

Results A total of 303 gastrointestinal lesions (88 esophageal squamous lesions, 90 gastric lesions, 125 colonic lesions) were assessed. The overall accuracy for both endoscopists in the diagnosis of esophageal squamous cell cancer, gastric cancer, and colorectal cancer were 84.7%, 89.5%, and 83.2%, respectively. The interobserver agreement for each organ, Kappa statistics of 0.51, 0.73, and 0.63, was good.

Conclusions UMEC appears to be a simple and practically acceptable classification, particularly to general gastroenterologists, due to its good diagnostic accuracy, and deserves further evaluation in future studies.
geal Society (JES) magnifying endoscopic classification (2012). For stomach, the Vascular Surface (VS) Classification (2001) was rearranged to Magnifying endoscopy simple diagnostic algorithm for early gastric cancer (MESDA-G) (2016) [4]. For the colon, Sano’s classification (2006) [5, 6], Hiroshima (2008) [7], Showa (2009) [8], Jikei (2009) [9], NBI international colorectal endoscopic classification (NICE) (2010) [10, 11] were proposed to be unified into Japan NBI Expert Team (JNET) classifications (2016) [12]. However, these diagnostic criteria still differ between each organ by having different categorizations. Current organ-specific classifications are well-accepted by specialized expert endoscopists but may still pose confusion for general gastroenterologists.

Bearing this in mind, the idea of generalizing the practical use of image enhanced magnifying endoscopy diagnostic criteria seemed quite appealing, hence, our group proposed the Unified Magnifying Endoscopic Classification (UMEC) which can be applied either in esophagus, stomach, or colon. In brief, we simplified the magnifying endoscopic classification by dividing into three categories: Non-neoplastic, intramucosal neoplasia, and deep submucosal invasive cancer. The aim of this feasibility pilot study was to evaluate the diagnostic performance of UMEC using our clinical data.

### Methods

#### Study population

This was a retrospective analysis of data collected prospectively at a single tertiary referral center, Showa University Koto Toyosu Hospital, Tokyo, Japan between April 2014 and March 2020. Endoscopic images from patients who underwent magnifying NBI examination of the esophagus, stomach, and colon were reviewed.

#### Image selection and data analysis

In this feasibility study, endoscopic images of patients who underwent magnifying NBI of the esophagus, stomach, and colon were retrieved. Of these, only cases with corresponding histopathological results were selected and included. Images were then grouped based on the histopathological diagnosis and separate folders per case were created. Subsequently, white-light and non-magnifying images were deleted, and an all-magnifying NBI image catalog was created. Image quality was assessed by rating each image from 1 to 5, and was based on the clarity of the visual field and the microvascular structure (1 being the lowest quality – blurred/unclear visual field with obscured microvacularity, and 5 having the highest quality – clear visual field with no obstruction, clear microvacularity). Images with ratings of 1 or 2 from the evaluators were excluded from this study.

Two magnifying NBI images were selected per case and inserted into PowerPoint (Windows 2010; Microsoft, Santa Clara, California, United States) presentation slides against a black background while preserving the file size, format and image quality. Each case was assigned a number and subsequently arranged randomly according to random number tables created by Excel (Windows 2010; Microsoft).

Randomized magnifying NBI images were then assessed separately by two endoscopists, with experience in magnifying NBI, who were blinded to patients’ clinical information, white-light and non-magnifying NBI findings as well as histopathological results. In this study, an expert endoscopist was defined as an endoscopist who specialized in a specific organ and was a board-certified trainer of the Japan Gastroenterological Endoscopy Society. A general gastroenterologist (non-expert endoscopist) was defined as an endoscopist who did not specialize in a specific organ even if he or she had experience with magnifying NBI. The two endoscopists who participated in this study were considered as non-experts.

Biopsy specimens were available for all cases, however, for carcinomas, histopathologic diagnosis was made on endoscopically or surgically resected specimens. Specimens were fixed in paraffin, stained with hematoxylin and eosin, and evaluated by an expert pathologist in our institution. The expert pathologist diagnosed the specimens according to the following: 1) esophageal specimens – Japanese Classification of Esophageal Cancer, 11th edition, 2) gastric specimens – Japanese Classification of Gastric Carcinoma, 15th edition, and 3) colonic specimens – Japanese Classification of Colorectal, Appendiceal and Anal Carcinoma, 9th edition. The accuracy of UMEC-based diagnosis was assessed while using the gold standard histopathology as a reference.

**Unified magnifying endoscopic classification (UMEC)**

Through an adaptation of previous classifications for each respective organ (JES Classification for esophagus, VS Classification and MESDA-G for stomach, and JNET Classification for colon), UMEC was created as follows (Table 1).

**Esophagus:** UMEC diagnosis for the esophagus was categorized according to the characteristics of intrapapillary capillary loop (IPCL) pattern and can be classified into three groups (Fig. 1). E-UMEC 1 was considered non-neoplastic, E-UMEC 2A as inflammation and low-grade intramucosal neoplasia, UMEC 2B as high-grade intramucosal neoplasia and intramuscular cancer, and E-UMEC 3 as invasive cancer.

**Stomach:** UMEC diagnosis for the stomach was made by assessing the presence or absence of demarcation line (DL), irregular microvascular pattern (IMVP) and irregular microsurface pattern (IMSP), and was based on an adaptation of the VS Classification and MESDA-G. In brief, S-UMEC 1/2A were considered as non-cancer and S-UMEC 2B/3 as cancer (Fig. 2). For the stomach, S-UMEC 1 and 2A were not divided because there was not enough evidence that non-neoplastic and adenoma can be distinguished just by using image enhanced magnifying endoscopy. Similarly, S-UMEC 2B and 3 were not divided because there was not enough evidence that image enhanced magnifying endoscopy is clinically useful to diagnose the depth of invasion.

**Colon:** UMEC for the colon followed the JNET classification, which uses magnifying NBI observation with a focus on vessel and surface patterns to diagnose colorectal tumors as C-UMEC 1, 2A, 2B and 3 (Fig. 3). C-UMEC 1, 2A, 2B, and 3 correlate with the pathological diagnosis of hyperplastic polyp and ses-
sile serrated polyp, low-grade intramuscular neoplasia, non-invasive high-grade neoplasia/intramuscular carcinoma/carcinoma in situ (Tis), and superficial submucosal invasive carcinoma (SM-s: T1a; < 1,000 µm), and deep submucosal invasive carcinoma (SM-d: T1b; ≥ 1,000 µm), respectively.

**Table 1** Outline of UMEC.

|                      | UMEC1 | UMEC2       | UMEC3         |
|----------------------|-------|-------------|---------------|
| **Expected histology** |       |             |               |
| Non-neoplastic lesion|       | Intramuscular neoplasia | Deep submucosal invasive cancer |
| Benign low grade neoplasia |       | High-grade neoplasia |               |
| Intra muscular cancer (shallow submucosal cancer) |       |               |               |

Esophagus

|                      | E-UMEC1 | E-UMEC2A | E-UMEC2B | E-UMEC3 |
|----------------------|---------|----------|----------|---------|
| **Endoscopic finding** | IPCL | Normal IPCL (The caliber of the vessels is about 7–10 µm) | Abnormal microvessels without severe irregularity (The caliber of the vessels is about 7–10 µm) | Abnormal microvessels with severe irregularity or highly dilated abnormal vessels (The caliber of the vessels is around 20 µm) |
| **AVA** | – | – | AVA-small, middle (smaller than 3 mm) | AVA-large (3 mm or larger) |
| **Expected histology** (invasion depth) | Normal epithelium | Inflammation and LGIN | HGIN and SCC (T1a-EP, T1a-LPM, T1a-MM, T1a-SM1) | invasive SCC (T1b-SM2 or deeper) |

Stomach

|                      | S-UMEC 1/2A | S-UMEC 2B/3 |
|----------------------|-------------|-------------|
| **Endoscopic finding** | DL | Present | Present |
| Vascular and surface | – | Regular | Irregular microvascular pattern and/or Irregular microsurface pattern |
| **Expected histology** | Non-cancer | Cancer |

Colon

|                      | C-UMEC1 | C-UMEC2A | C-UMEC2B | C-UMEC3 |
|----------------------|---------|----------|----------|---------|
| **Endoscopic findings** | Vessel | Invisible | Regular caliber | Variable caliber |
| Surface | Regular dark White spots similar to surrounding normal mucosa | Regular (tubular/branched/ papillary) | Irregular or obscure | Amorphous areas |
| **Expected histology** | Hyperplastic polyp Sessile serrated lesion (SSL) | Adenoma Low-grade dysplasia | High-grade dysplasia/mucosal cancer Shallow SM invasive cancer | Deep SM invasive cancer |

UMECC, Unified Magnifying Endoscopic Classification; IPCL, intrapapillary capillary loop; AVA, avascular area; LGIN, low-grade intramuscular neoplasia; EP, epithelium; LPM, lamina propria mucosa; MM, muscularis mucosa; SM, submucosa; HGIN, high-grade intramuscular neoplasia SCC, squamous cell carcinoma; DL, demarcation line.

**Statistical analysis**

Sensitivity, specificity, positive predictive value, negative predictive value, accuracy for diagnosis of three categories – non-neoplastic, intramuscular neoplasia, and deep submucosal invasive cancer – were calculated separately for each endoscopist.
The Kappa statistic for the two raters were used to estimate the interobserver agreement. Kappa values ≤ 0.40, 0.41 to 0.75, and ≥ 0.75 were considered poor, good, and excellent, respectively [13]. 95% confidence intervals were calculated using the Wilson method. All analyses were performed using STATA software version 14.1 (Stata Corp. Texas, United States).

**Ethical considerations**

The study protocol adhered to the principles of the Declaration of Helsinki and was approved by the Ethics Committee of Showa University Koto Toyosu Hospital (IRB Registration No: 20T7016).

**Results**

**Esophagus**

A total of 88 esophageal squamous lesions were included in the study after excluding 29 cases with low quality images. Population characteristics are presented in Table 2. Histopathological results were as follows: nine normal, 14 inflammation, 22 intraepithelial neoplasia, and 43 SCC. The diagnostic ability for esophageal squamous lesion was evaluated and summarized in Table 3. The ability of UMEC to diagnose esophageal squamous lesions was calculated and is shown in Table 3. The sensitivity, specificity, and accuracy of UMEC for the diagnosis of SCC were 84.5%, 84.9%, and 84.7%, respectively. The interobserver agreement, Kappa statistic = 0.51 (95% CI: 0.35–0.66), was good. The sensitivity, specificity, and accuracy of UMEC for diagnosis of squamous neoplasms were 87.0%, 91.6%, and 90.4%, respectively. The interobserver agreement, Kappa statistic = 0.59 (95% CI: 0.40–0.77), was good. When the diagnostic ability of UMEC for deep submucosal cancer was evaluated, the sensitivity, specificity, and accuracy were 68.2%, 94.4%, and 91.5%, respectively. The interobserver agreement, Kappa statistic = 0.56 (95% CI: 0.32–0.80), was good.

**Stomach**

From 150 cases, 60 cases with low-quality images were excluded from this study. A total of 90 gastric lesions were included to this study. Population characteristics are presented
### Table 2  Patient clinicopathological characteristics.

| Variables                               | Esophagus (n= 88) | Stomach (n=90) | Colon (n=125) |
|-----------------------------------------|-------------------|----------------|--------------|
| Age (year), mean (SD)                   | 65 (13)           | 75 (12)        | 64 (13)      |
| Sex                                     |                   |                |              |
|  Male (%)                               | 63 (72 %)         | 67 (74 %)      | 73 (58 %)    |
|  Female (%)                             | 25 (28 %)         | 23 (26 %)      | 52 (42 %)    |
| Macroscopic type of cancer lesions      |                   |                |              |
|  0-I=5                                  |                   |                |              |
|  0-IIa = 8                              |                   |                |              |
|  0-IIb = 19                             |                   |                |              |
|  0-IIc 11                               |                   |                |              |
|  Ip + Ila = 1                           |                   |                |              |
|  IIa = 11                               |                   |                |              |
|  IIa + Ilc = 3                          |                   |                |              |
|  Ilc = 24                               |                   |                |              |
| Treatment of cancer lesions             |                   |                |              |
|  Endoscopic resection                   | 48                | 39             | 22           |
|  Surgery                                | 5                 | 0              | 24           |
| Lesion diameter (mm) of cancer lesions, median (IQR) | 10 (5–22) | 17.5 (10–30.5) | 11.0 (6–39) |
| Histopathology                          |                   |                |              |
|  Normal = 9                             |                   |                |              |
|  Inflammatory change = 14               |                   |                |              |
|  Intraepithelial neoplasia = 22         |                   |                |              |
|  Squamous cell carcinoma = 43           |                   |                |              |
|  Gastritis = 26                         |                   |                |              |
|  Adenoma = 25                           |                   |                |              |
|  Adenocarcinoma = 39                    |                   |                |              |
|  Well-differentiated tubular = 27       |                   |                |              |
|  Moderately differentiated tubular = 12 |                   |                |              |
| Invasion depth                          |                   |                |              |
|  Intramucosal                           |                   |                |              |
|  EP = 21/ LPM = 6/ MM = 5              | 31                | 15             |              |
|  Shallow submucosal                     | 9                 | 3              | 8            |
|  Deep submucosal                        | 2                 | 5              | 23           |

SD, standard deviation; IQR, interquartile range; EP, epithelium; LPM, lamina propria mucosa; MM, muscularis mucosa.

### Table 3  Diagnostic yield of magnifying NBI applying UMEC for esophageal lesions.

|                           | Sensitivity (95 % CI) | Specificity (95 % CI) | PPV (95 % CI) | NPV (95 % CI) | Accuracy (95 % CI) | Kappa value (95 % CI) | P value |
|---------------------------|-----------------------|-----------------------|---------------|---------------|--------------------|-----------------------|---------|
| E-UMEC 1 vs 2 + 3 (non-neoplastic vs neoplastic) |                       |                       |               |               |                    |                       |         |
| Endoscopist A             | 91.3 (77.4–97.4)      | 90.8 (85.8–92.9)      | 77.8 (65.9–83.0) | 96.7 (91.5–99.0) | 90.9 (83.6–94.1) | 0.59 (0.40–0.77) | < 0.0001 |
| Endoscopist B             | 82.6 (67.9–91.5)      | 92.3 (87.1–95.5)      | 79.2 (65.1–87.7) | 93.8 (88.5–97.0) | 89.8 (82.1–94.4) |                       |         |
| E-UMEC 1 + 2A vs 2B + 3 (non-cancer vs cancer) |                       |                       |               |               |                    |                       |         |
| Endoscopist A             | 73.3 (66.1–75.2)      | 97.7 (90.1–99.6)      | 97.1 (87.4–99.5) | 77.8 (71.7–79.3) | 85.2 (77.8–87.1) | 0.51 (0.35–0.66) | < 0.0001 |
| Endoscopist B             | 95.6 (87.7–98.7)      | 72.1 (63.9–75.4)      | 78.2 (71.7–80.8) | 93.9 (83.2–8.3)  | 84.1 (76.0–87.3) |                       |         |
| E-UMEC 1 + 2 vs 3 (Deep submucosal invasive cancer vs others) |                       |                       |               |               |                    |                       |         |
| Endoscopist A             | 81.8 (56.3–94.6)      | 90.0 (87.3–92.7)      | 56.3 (38.7–65.0) | 97.2 (93.3–99.2) | 89.8 (83.4–93.0) | 0.56 (0.32–0.80) | < 0.0001 |
| Endoscopist B             | 54.5 (33.6–62.0)      | 98.7 (95.7–99.8)      | 85.7 (52.8–97.4) | 93.8 (91.0–94.8) | 93.2 (87.9–95.0) |                       |         |

NBI, narrow-band imaging; UMEC, unified magnifying endoscopic classification; PPV, positive predictive value; NPV, negative predictive value; CI, confidence interval.
in Table2. Upon histopathological examination, 51 were diagnosed as non-cancer, and 39 as cancer. The sensitivity, specificity and accuracy of UMEC for the diagnosis of gastric cancer (S-UMEC 1/2A vs UMEC 2B/3) were calculated and shown in Table4. The overall sensitivity, specificity, and accuracy of UMEC for both endoscopists were 90.9%, 89.2%, and 89.5%, respectively. The interobserver agreement, Kappa statistic = 0.73 (95% CI: 0.59–0.87), was good. The overall sensitivity, specificity, and accuracy of UMEC for in the diagnosis of colonic neoplasms (C-UMEC 1 vs C-UMEC 2 + 3) were 90.6%, 97.9%, and 96.0%, respectively. The interobserver agreement, Kappa statistic = 0.79 (95% CI: 0.66–0.91), was good. Finally, the overall sensitivity, specificity, and accuracy of UMEC for diagnosis of deep submucosal invasive cancer (C-UMEC 1 + 2 vs 3) were 80.5%, 97.6%, and 94.4 %, respectively. The interobserver agreement, Kappa statistic = 0.66 (95% CI: 0.49–0.83), was good.

Discussion

The diagnostic performance and accuracy of UMEC to identify neoplasms and carcinomas among endoscopically identified lesions was evaluated in this study. When compared to the gold standard histopathology, UMEC showed satisfactory accuracy in diagnosing non-neoplastic, intramucosal neoplasia, and deep submucosal invasive cancer.

The role of magnifying NBI differs in each organ. In the esophagus, it is used to analyze the histopathology of squamous epithelium and the invasion depth of the cancer. In the stomach, magnifying NBI plays a role in the assessment of the
histopathology of the columnar epithelium, for the differentiation between non-cancer and cancer. Similar to the esophagus, in the colon, magnifying NBI is used to analyze the histopathology of columnar epithelium and the invasion depth of the cancer. Although these differences exist, applying the same categorization to each organ may be simpler and easy to understand. In addition, UMEC does not aim to replace the existing organ-specific classifications utilized by specialized expert endoscopists; however, a generalized use of a magnifying NBI diagnostic criteria common to the gastrointestinal tract may be more practical for non-expert endoscopists and general gastroenterologists, hence, the proposal of UMEC in the present study.

Esophagus

Since the introduction of IPCL Pattern Classification by Inoue in 2007 [14], IPCL pattern has been applied to estimate histopathological atypia by use of magnifying NBI [14]. By assessing the irregularity of IPCL, which refers to the changes in morphology such as dilation, tortuosity, change in caliber, and various shapes, magnifying NBI has been shown to accurately diagnose esophageal SCC [15, 16]. Studies on the diagnostic performance of IPCL pattern classification and the invasion depth have shown satisfactory results, with over 80% rates [16–21]. In 2012, the Japan Esophageal Society (JES) established a classification of magnifying NBI findings to diagnose ESCC and its invasion depth of superficial ESCC [22]. This newly developed JES magnifying endoscopic classification is useful in estimating the invasion depth of superficial esophageal SCC and this classification has been used in clinical practice in Japan but not yet being standardized elsewhere outside of Japan.

From our experience, auxiliary criteria of assessing avascular area and reticular pattern vessels are subjective and its interpretation is not standardized or categorized. We have simplified the criteria as described in Table 1. Therefore, we recommend focusing on IPCL morphology. By utilizing UMEC, the overall accuracy for diagnosis of SCC was 84.7% and the overall accuracy for diagnosis of deep submucosal invasion was 91.5%, which seems to be comparable to previous studies.

Stomach

By utilizing magnifying NBI, the standard method of evaluating gastric lesions, VS classification [3], and the diagnostic strategy for gastric mucosal cancer, MESDA-G [4], have been created to simplify the process of diagnosis and improve accuracy. Literature reporting on the diagnostic performance of magnifying NBI with VS classification in the diagnosis of early gastric cancer have shown satisfactory to excellent accuracy rates, ranging from 79% to more than 95% [23–25]. In the present study, through an adaptation of MESDA-G, UMEC led to good diagnostic accuracy (overall accuracy for both endoscopists: 89.5%) and interobserver agreement for distinguishing gastric cancer from non-malignant cases, coinciding with previous reports.

In differentiating intramucosal cancer from submucosal invasive cancer, there is some evidence that white light endoscopy and magnifying NBI (especially in differentiated gastric cancer) is useful in diagnosing the invasion depth [26–29]; however, consensus is yet to be reached on this. This is because NBI shows only the superficial mucosa for early gastric cancer and invasive tissue is often not exposed at the surface and mucosal structures remain, even when the cancer invades the submucosa [30], hence, making it difficult to differentiate intramucosal cancer from submucosal invasive cancer. For this reason, the difference between gastric S-UMEC 2B and 3 could not be evaluated in the present study.

Furthermore, undifferentiated cancer with non-cancerous epithelium on the mucosal surface as well as pale mucosal lesion with tissue diagnosis of signet-ring cell carcinoma are difficult to diagnose by magnifying NBI, since non-cancerous epithelium remains on the mucosal surface. This implies that these specific lesions should also be regarded as limitations in applying UMEC in the stomach [25].

Colon

To date, several magnifying NBI classifications of colorectal tumors have been advocated. Sano et al were the first to publish a magnifying NBI classification known as the Capillary Pattern classification in 2006 [6]. Based on this, other magnifying NBI classifications (Hiroshima [7, 31], Showa [8], and Jikei [9] classifications) were proposed. In 2012, the NBI International Colorectal Endoscopic (NICE) classification [10] was established as a simple classification which can be applied using NBI with or without optical magnification, and is now being used worldwide. However, as histopathological criteria of curative endoscopic resection for early CRC are being clarified, endoscopists are required to diagnose the invasion depth accurately and obtain appropriate histopathological materials by en bloc EMR or ESD. Therefore in 2016, the JNET classification [12] was proposed by the Japan NBI Expert Team, aiming to unify previous classifications which provides accurate qualitative and quantitative diagnosis linking to the appropriate treatment strategy including polypectomy, piecemeal/en bloc EMR, ESD, and surgery [32]. Several studies have shown its diagnostic performance and clinical usefulness [33, 34] and it is already widespread in Japan, and the International Evaluation of Endoscopy classification JNET (IEE-JNET), a collaborative research project by ESGE and JGES, is currently underway. Thus, our new UMEC classification, the main objective of which is to unify classification among three organs, basically adapted the JNET classification.

In our study, the overall accuracy for diagnosis of CRC was 93.3% and the overall accuracy for diagnosis of deep submucosal invasion was 95.1%. However, the accuracy for Type 2B lesions was relatively lower with poor intraobserver agreement, similar to the previous studies [34]. Because chromendooscopy, with the use of crystal violet staining, can provide a more reliable diagnosis for invasion depth than IEE [35], it is recommended to also perform chromendooscopy for Type 2B lesions [34]. In other words, due to the time associated with chromendooscopy, UMEC is clinically useful as a previous step to select which lesion should undergo chromendooscopy as part of the diagnostic strategy.
Limitations
At this point, however, certain study limitations must be acknowledged. This was a retrospective observational study, which potentially included selection bias. Moreover, this was an image review study in a single center, and a larger number of lesions are needed to further validate the results obtained. In addition, direct comparison of the diagnostic yield of previous criteria was not done in this study, and a prospective study with a clinical setting is needed to validate these study results.

Conclusions
In all, given its good diagnostic accuracy in this pilot study, UMEC appears to be a simple and practically acceptable classification, particularly to general gastroenterologists. Furthermore, generalized use of magnifying NBI diagnostic criteria may be achieved, hence, UMEC deserves further evaluation in future studies.

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Competing interests
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