A Note on the Middle Levels Conjecture

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September 30, 2011

Abstract

The middle levels conjecture asserts that there is a Hamiltonian cycle in the middle two levels of $2k + 1$-dimensional hypercube. The conjecture is known to be true for $k \leq 17$ [I. Shields, B.J. Shields and C.D. Savage, Disc. Math., 309, 5271–5277 (2009)]. In this note, we verify that the conjecture is also true for $k = 18$ by constructing a Hamiltonian cycle in the middle two levels of 37-dimensional hypercube with the aid of the computer. We achieve this by introducing a new decomposition technique and an efficient algorithm for ordering the Narayana objects.

1 Introduction

Let $Q_n$ denote the $n$-dimensional hypercube, i.e., $Q_n$ is a graph with $2^n$ vertices, each vertex is labeled by an $n$-bit binary string and two vertices are adjacent iff their strings differ exactly in one bit. The $i$-th level of $Q_n$ is the set of vertices labeled by strings with exactly $i$ ones.

The middle levels graph is a subgraph of $Q_{2k+1}$ induced by the middle two levels $k$ and $k + 1$, and is denoted by $M_{2k+1}$ (see Fig. 1). The middle levels conjecture asserts that the graph $M_{2k+1}$ has a Hamiltonian cycle for every $k$. It appears as an “exercise” in Knuth’s book [3, Exercise 56, Sect. 7.2.1.3], in which the conjecture is credited to Buck and Wiedermann [1].

In spite of considerable efforts, the conjecture remains open (see e.g., [2, 4] and the references therein). It was shown to be true for $k \leq 11$ by Moews and Reid, and for $12 \leq k \leq 15$ by Shields and Savage [5] and $16 \leq k \leq 17$ by Shields et al. [4].

In this note, we verify that the conjecture is also true for $k = 18$ by constructing a Hamiltonian cycle in the middle two levels of 37-dimensional hypercube with the aid of the computer. We achieve this by plugging a new decomposition technique and an efficient algorithm for ordering the Narayana objects into a Hamiltonian

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path heuristic developed by Shields et al. [4, 5]. In the largest case, our program could find a Hamiltonian path in a graph with \( \sim 2.36 \cdot 10^8 \) vertices in about a week on a standard PC.

The organization of this note is as follows. In Section 2 we briefly review the approach taken by Shields et al. [4, 5] for reducing the size of the problem. In Section 3 we describe an additional reduction that decomposes the problem into a number of smaller subproblems. In Section 4 we introduce an efficient algorithm for ordering the Narayana objects which was helpful for reducing the resource needed in the computation. Finally in Section 5, we summarize our computational results. Throughout the paper, \( n = 2k + 1 \) denotes the dimension of a hypercube.

2 Reducing the problem

The Hamiltonicity of the middle levels graph, which has \( 2^\binom{n}{k} \) vertices, can be reduced to the problem for finding a suitable Hamiltonian path in a smaller graph with \( \binom{n}{k}/n \) vertices [5].

For an \( n \)-bit binary sequence \( x = x_1x_2 \cdots x_n \), define the cyclic shift \( \sigma \) by \( \sigma(x) = x_2x_3 \cdots x_nx_1 \). For every two vertices \( x \) and \( y \) in \( M_n \), \( x \) and \( y \) are adjacent iff \( \sigma(x) \) and \( \sigma(y) \) are adjacent. This naturally introduces an equivalence relation \( \sim \) on the set of vertices of \( M_n \) such that \( x \sim y \) iff \( x = \sigma^i(y) \) for some integer \( i \). By noticing that that \( \sigma^n(x) = x \) for every \( x \), each equivalence class has \( n \) elements.

A further reduction can be made by considering the complement. The complement of an \( n \)-bit binary string \( x = x_1x_2 \cdots x_n \) is \( \bar{x} = \bar{x}_1\bar{x}_2 \cdots \bar{x}_n \). Note that two vertices \( x \) and \( y \) are adjacent iff \( \bar{x} \) and \( \bar{y} \) are adjacent. By considering these two operations, the vertices of \( M_n \) is partitioned into \( |M_n|/2n \) classes, each of them has \( 2n \) vertices (Fig. 2). Here and hereafter, we denote the number of vertices of a
Figure 2: The graph $R_5$ and its relationship to the vertices of $M_5$.

The graph $G$ by $|G|$.

For an $n$-bit binary sequence $x$, let $\rho(x)$ denote this equivalence class including $x$, i.e., $\rho(x) = \{\sigma^i(x), \sigma^i(\bar{x}) \mid 0 \leq i < n\}$. Let $R_n$ denote the graph whose vertices are these equivalence classes and two vertices $\rho(x)$ and $\rho(y)$ in $R_n$ are adjacent iff there is an edge between $u$ and $v$ in $M_n$ for some $u \in \rho(x)$ and $v \in \rho(y)$.

The following lemma, which was shown by Shields and Savage [5], guarantees that we can lift a Hamiltonian path in $R_n$ to a Hamiltonian cycle in the middle levels graph.

**Lemma 1.** If there is a Hamiltonian path in $R_n$ starting from the vertex $\rho(0^k1^k)$ and ending at the vertex $\rho(01^k)$, then there is a Hamiltonian cycle in $M_n$.

### 3 Decomposition based on Runs

Since the graph $R_n$ is still huge (i.e., $|R_{37}| \sim 4.8 \cdot 10^8$), we divide $R_n$ into a number of smaller graphs and search them individually and possibly in parallel.

A **run** of a binary string $x$ is a consecutive appearance of 1’s or 0’s in $x$. For example, we say that 000000 has one run and 001011 has four runs. We will divide $R_n$ into three parts depending on the number of runs of strings in a vertex. Notice that $\rho(x)$ may contain strings having different runs. We pick a string with $k$ one’s such that it starts with 0 and ends with 1 as a representative of $\rho(x)$, and the number of runs of this string is referred as the number of runs of $\rho(x)$. Since this number is always even, we introduce a new unit called “brun” which is equal to two runs.

Note that, in $R_n$, only $\rho(0^{k+1}1^k)$ has 1 brun and only $\rho(01^k)$ has $k$ bruns. In a preliminary experiment, we found that a decomposition based on the following three intervals is plausible (see Figs. 3 and 4).

- Front part: $1 \sim (\lfloor k/2 \rfloor - 1)$ brun(s)
Middle part: \( \lfloor k/2 \rfloor \sim (k - \lfloor k/2 \rfloor + 1) \) bruns

Rear part: \( (k - \lfloor k/2 \rfloor + 2) \sim k \) bruns

Note that, when \( k = 18 \), these three intervals are \( \{1, 2, \ldots, 8\}, \{9, 10\} \) and \( \{11, \ldots, 18\} \).

We will find a Hamiltonian path in each of these three graphs and then connect them to get a Hamiltonian path in \( R_n \). In order to apply Lemma 1, we fix the start vertex of a path in the front part to \( \rho(0^{k+1}) \) and the end vertex of a path in the rear part to \( \rho(01^k) \). In addition, we should satisfy the additional requirements that (i) an end vertex of a path in the front part is adjacent to a start vertex of a path in the middle part, and (ii) an end vertex of a path in the middle part is adjacent to a start vertex of a path in the rear part.

After some considerations, we pick strings \( hc(k, r) := 0^{k-r+1}(01)^r1^{k-r} \) as terminals of paths. Note that \( \rho(hc(k, r)) \) has a maximum number of neighbors in vertices with \( r-1 \) bruns and with \( r+1 \) bruns, respectively. In addition, (i) \( hc(k, 1) = 0^{k+1}1^k \), (ii) \( hc(k, k) = 0(01)^k \), and (iii) for every \( i \), \( \rho(hc(k, i)) \) and \( \rho(Rev(hc(k, i + 1))) \) are adjacent in \( R_n \) where \( Rev(x) \) denotes the reverse of a string \( x = x_1x_2 \cdots x_n \) i.e., \( Rev(x) = x_n \cdots x_2x_1 \). We also use the following fact which can easily be verified.

**Fact 2.** Let \( \{\ell, \ell + 1, \ldots, r\} \) be a subset of \( \{1, 2, \ldots, k\} \). Suppose that there is a Hamiltonian path in an induced subgraph of \( R_n \) with vertices of at least \( \ell \) bruns and at most \( r \) bruns that starts from \( \rho(x) \) and ends at \( \rho(y) \). Then there is a Hamiltonian path in the same graph that starts from \( \rho(Rev(x)) \) and ends at \( \rho(Rev(y)) \).

For a Hamiltonian path \( P \), let \( Rev(P) \) denote a Hamiltonian path in a same graph whose existence is guaranteed by Fact 2. In summary, our search procedure

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**Figure 3:** The graph \( R_7 \) and the decomposition of \( R_n \) based on “brun”.

**Figure 4:** The decomposition of \( R_n \). Each small circle represents an induced subgraph by the vertices with a specified brun.
is the following: First find a Hamiltonian path in each of three parts of the graph starting from $\rho(hc(k, \ell))$ and ending at $\rho(hc(k, r))$ where $\ell$ and $r$ are the left-end and right-end of each interval, and let denote these three paths as $P_F$, $P_M$ and $P_R$. Then connect $P_F$, Rev($P_M$) and $P_R$ in this order to get a Hamiltonian path in $R_n$ which fulfills the condition in Lemma 1.

4 Ordering of Vertices

Each vertex of the graph $R_n$ can naturally be stored using $n$ bits of memory. However, this can be reduced by using an efficient ordering of the vertices. Indeed, since the number of vertices of $R_n$ is less than $2^{32}$ for $n \leq 39$, we can store them using a 32-bit integer parameter. In this section, we give an efficient algorithm for ordering the vertices of our reduced graphs. A bit surprisingly, plugging this ordering scheme into a program gives a significant improvement of a running time of the program that will be shown in the next section.

4.1 View Vertices of Middle Levels as Catalan Objects

The $n$-th Catalan number is the number of expressions containing $n$ pairs of parentheses which are correctly matched and is well-known to be

$$C(n) = \frac{1}{n+1} \binom{2n}{n}.$$ 

Notice that the number of vertices in $R_n$ is equal to the $k$-th Catalan number $C(k)$. This suggests that there is a bijection between the set of vertices of $R_n$ and the set of correctly matched $n$ pairs of parentheses.

In the following, we identify a sequence of parentheses with a binary string under a mapping “(” $\leftrightarrow$ “0” and “)” $\leftrightarrow$ “1”. In addition, by a technical reason, we add one “0” to the top of the string. For example, we consider that “(())” represents the string “000100111”. An $2k + 1$ bit binary string starting with 0 is said to be correctly matched if it is corresponding to a correctly matched $n$ pairs of parentheses.

**Fact 3.** For every vertex $\rho(x)$ in $R_n$, there is a unique correctly matched string in $\rho(x)$.

**Proof.** We should only consider a string with $k$ one’s since no string with $k+1$ one’s is correctly matched.

Suppose that we represent a string by a path in the grid such that it goes upward when we read 0 and downward when we read 1. For example, a path for the string 0000111 is drawn as Fig. 5. It is clear that a string $x$ is correctly matched iff the starting point of the path for $x$ is located at the lowest level in the path and it is only the point on this level.
Recall that \( \rho(x) \) contains every string that obtained from \( x \) by applying the cycle shift an arbitrary times. Note that, for every \( x \) with \( k \) one’s, a path for \( x \) ends at one step higher than the starting point of the path. Hence if we draw paths for \( x \) and \( \sigma^i(x) \) for some \( i \), a path for the substring that shifted backward in \( \sigma^i(x) \) is drawn at one level higher than the original level (Fig. 6).

By this observation, it is easy to see that a correctly matched string in \( \rho(x) \) can be obtained by (i) draw a path for \( x \), and pick the rightmost point among all points on the lowest level of the path, and (ii) shift \( x \) so that this point becomes the top of the resulting string.

It is also easy to see that every other string in \( \rho(x) \) is not correctly matched. This guarantees the uniqueness and hence completes the proof.

By this fact, there is a bijection from the set of vertices in \( R_n \) to the Catalan objects, i.e., the vertices in \( R_n \) are uniquely mapped to integers \( \{0, 1, \ldots, C(k) - 1\} \).

### 4.2 Lexicographical Ordering for Catalan Objects

In our programs, we number vertices \( \rho(x) \) in \( R_n \) according to the lexicographical ordering (starting from 0) of a correctly matched string in \( \rho(x) \).

Obviously, the ordering of a string \( x \) is equal to the number of strings lexicographically smaller than \( x \). Hence if we can count the number of strings smaller
than \( \tilde{x} \) for a given prefix \( \tilde{x} \), then the ordering of \( x \) can easily be computed. For example, the ordering of the string 0010101 in a set \( S \subseteq \{0, 1\}^7 \) can be computed as the sum of the numbers of strings in \( S \) starting from 000, 00100 and 0010100.

Let \( P_\ell \subseteq \{0, 1\}^{2\ell+1} \) be the set of correctly matched strings of length \( 2\ell + 1 \). For a prefix \( \tilde{x} \in \{0, 1\}^t \) with \( t \leq 2\ell + 1 \), the number of strings in \( P_\ell \) starting with \( \tilde{x} \) is shown to be

\[
C_w(k, p) = \frac{p + 1}{k + 1} \left( \frac{2k - p}{k - p} \right),
\]

where \( p = \#_0(\tilde{x}) - \#_1(\tilde{x}) - 1 \) and \( k = \ell - \#_1(\tilde{x}) \). Here we denote the number of 0’s and 1’s in \( \tilde{x} \) by \( \#_0(\tilde{x}) \) and \( \#_1(\tilde{x}) \), respectively. Intuitively, \( p \) denotes the height of the end point of a path for \( \tilde{x} \) and \( k \) denotes the number of “remaining” one’s in a string (see Fig. 7). Note that these numbers are known as the Catalan Triangle (see e.g., the sequence A009766 of [7]). Using Eq. (1), we can calculate the lexicographical ordering of a vertex \( \rho(x) \) efficiently. For example, the ordering of \( \rho(0010101) \) is given by \( C_w(3, 2) + C_w(2, 2) + C_w(1, 2) = 3 + 1 + 0 = 4 \).

### 4.3 Runs and Narayana Numbers

Since we decompose the graph \( R_n \) into smaller parts, it is desirable to give an efficient ordering algorithm for the set of vertices of these decomposed graphs. By a similar argument to that in Section 4.1, the number of vertices of \( R_n \) with \( r \) bruns is shown to be

\[
N(k, r) = \frac{1}{k} \binom{k}{r} \binom{k}{r - 1},
\]

which is known as the Narayana numbers. \( N(k, r) \) is the number of correctly matched \( k \) pairs of parentheses that contains the subsequence “()” exactly \( r \) times.

Note that the Catalan numbers are represented by the sum of the Narayana numbers, i.e.,

\[
C(k) = \sum_{i=1}^{k} N(k, i).
\]

It is also shown that the lexicographical ordering of a string \( x \) in the set of correctly matched strings with \( r \) bruns can be efficiently computed using the following
formula:
\[ N_w(k, p, r) = \frac{k + (p - 1)(r - 1)}{k(k - p - r + 1)} \binom{k - p}{r} \binom{k - 1}{r - 1}, \]
that represents the number of correctly matched strings of which the meanings of \( p \) and \( k \) are the same as in Eq. (1) and \( r \) denotes the 'remaining' number of the subsequence "()". A detailed discussion on how to compute the ordering for such Narayana objects will be appeared in the full version of this note.

5 Computational Results

We develop a program for finding a Hamiltonian path for decomposed graphs based on the algorithm proposed by Shields et al. in which we represent the vertices of graphs by the ordering described in Section 4. Using this program, we have succeeded to find a desired Hamiltonian path for every three parts, i.e., the front, middle, rear parts of \( R_n \) for every \( 8 \leq k \leq 18 \), which shows the Hamiltonicity of the middle levels graphs for \( k \leq 18 \). Note that, for smaller values of \( k \), our decomposition schema would not work.

The computational results are summarized in Table 1. Our program is executed on a PC with an Intel Xeon processor of 2.26 GHz and 24 GB of memory available. Note that the maximum memory used in our experiments was about 9 GB. We show the elapsed time in seconds, and the case that takes less than 1 second is shown as 0.

The second column shows the elapsed time of a base program to find a path in the entire graph \( R_n \). In a base program, we don’t use our ordering scheme and vertices are stored as \( n \)-bit strings. The third column shows the longest elapsed time of a base program for finding a path in each of three decomposed graphs. The fourth column shows the elapsed time of a program with the ordering technique for the entire graph \( R_n \). The later columns show the elapsed time of a program in which both techniques, i.e., the decomposition described in Section 3 and the ordering described in Section 4.3 are included.

A bit surprisingly, introducing the ordering into a search program gives a significant improvement of the running time. The combination of our two techniques reduces the running time by a factor of about 30 when \( k = 16 \). For \( k = 18 \), the number of vertices of the front, middle and rear parts of the graph is 120, 624, 130, 236, 390, 440 and 120, 624, 130, respectively. Notice that the running time is the longest for the front part of the graph. This suggests that finding a Hamiltonian path is harder for a graph consisting of vertices with smaller number of runs than that with larger number of runs.

The source codes of the programs we used as well as some additional data are available on the web page [6]. Note that our program can handle up to \( k = 19 \). At the time of writing this note, the search for the front and rear parts of the graph for \( k = 19 \) has been finished successfully, and that for the middle part, which has
Table 1:
Running time to find a Hamiltonian cycle in the middle levels graph

| k  | Base | w/Decomp. | w/Ordering | w/Decomp.+Ordering | Front | Middle | Rear | Max |
|----|------|-----------|------------|-------------------|-------|--------|------|-----|
| 8  | 0    | 0         | 0          | 0                 | 0     | 0      | 0    | 0   |
| 9  | 0    | 0         | 0          | 0                 | 0     | 0      | 0    | 0   |
| 10 | 0    | 0         | 0          | 0                 | 0     | 0      | 0    | 0   |
| 11 | 1    | 1         | 1          | 0                 | 1     | 0      | 0    | 1   |
| 12 | 7    | 5         | 6          | 2                 | 4     | 1      | 4    | 4   |
| 13 | 51   | 45        | 30         | 2                 | 22    | 3      | 22   |     |
| 14 | 542  | 290       | 182        | 40                | 71    | 26     | 71   |     |
| 15 | 7,657| 3,003     | 1,984      | 133               | 477   | 83     | 477  |     |
| 16 | 88,795| 29,948   | 17,130     | 3,143             | 2,762 | 1,785  | 3,143|     |
| 17 | -    | 542,821   | 195,330    | 15,226            | 25,329| 6,410  | 25,329|     |
|    |      |           |            |                   | (6.3 days) | (2.3 days) |
| 18 | -    | -         | -          | 627,204           | 511,342| 359,015| 627,204| (7.3 days) |

about 1.18 billion nodes, is in progress.

Note Added

Several months after writing the above, our program for finding a Hamiltonian path in the middle part of the graph for \( k = 19 \) has successfully terminated. This confirms that the middle two levels of 39-dimensional hypercube is also Hamiltonian. The number of vertices of the front, middle and rear parts of the graph is about \( 2.92 \times 10^8, 1.18 \times 10^9 \) and \( 2.92 \times 10^9 \), respectively. The running time of the program (executed on the same machine as above) is about 56 days, 81 days and 27 days, respectively.

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