## Baseline characteristics and event rates among anticoagulated patients with atrial fibrillation in practice and pivotal NOAC trials

Peter A. Noseworthy, Xiaoxi Yao, Bernard J. Gersh, Ian Hargraves, Nilay D. Shah, Victor M. Montori

Knowledge and Evaluation Research Unit, Mayo Clinic, Rochester, MN, United States
Robert D. and Patricia E. Kern Center for the Science of Health Care Delivery, Mayo Clinic, Rochester, MN, United States
Heart Rhythm Section, Cardiovascular Diseases, Mayo Clinic, Rochester, MN, United States
Division of Health Care Policy and Research, Department of Health Sciences Research, Rochester, MN, United States
Optum Labs, Cambridge, MA, United States

### Article history:
- Received 13 July 2017
- Received in revised form 2 August 2017
- Accepted 3 August 2017
- Available online 9 August 2017

### Article info:

**Abstract**

The data report details the baseline characteristics and observed outcomes among patients included in a large US administrative claims database (Optum Labs Data Warehouse) and those enrolled in the pivotal phase III clinical trials examining apixaban, dabigatran, edoxaban and rivaroxaban versus warfarin for the prevention of cardio embolism (Granger et al., 2011; Cannolly et al., 2009; Patel et al., 2011; Giugliano et al., 2013) [1–4]. These data are to be interpreted in the context of the linked publication (Noseworthy et al., 2017) [5]. These data illustrate baseline characteristics in patients treated in routine practice and those enrolled in clinical trials. For instance, patients treated with apixaban in practice tended to be slightly older and more likely to be female than those enrolled in the apixaban clinical trial. Patient treated with rivaroxaban in practice tended to have lower CHADS2 scores than those included in the rivaroxaban clinical trial. Overall, and stratified by baseline CHADS2 scores, patients treated with NOACs in routine practice had comparable or slightly lower stroke risks than those in the clinical trials. Patients treated with NOACs in routine practice had slightly higher bleeding risk in practice, particularly in high-risk patients with CHADS2 ≥ 3, compared to

### Table:

| Baseline Characteristics | Practice | Clinical Trials |
|--------------------------|----------|----------------|
| Age (years)              |          |                |
| Gender                   |          |                |
| CHADS2 Score             |          |                |
| Stroke Risk              |          |                |
| Bleeding Risk            |          |                |

---

DOI of original article: http://dx.doi.org/10.1016/j.ijcard.2017.07.043
E-mail address: Noseworthy.peter@mayo.edu (P.A. Noseworthy).
those in the clinical trials. These data may serve as a benchmark for realized outcomes among anticoagulated patients with atrial fibrillation in the United States and may serve as a useful comparison to other datasets or countries.

© 2017 The Authors. Published by Elsevier Inc. This is an open access article under the CC BY license (http://creativecommons.org/licenses/by/4.0/).

Specifications Table

| Subject area | Cardiology |
|--------------|------------|
| More specific subject area | Anticoagulation for atrial fibrillation |
| Type of data | Table |
| How data was acquired | Administrative claims data |
| Data format | Analyzed |
| Experimental factors | OLDW patients with atrial fibrillation receiving treatment with either warfarin, apixaban, dabigatran, or rivaroxaban |
| Experimental features | Baseline characteristics (age, gender, and CHADS2 score) and event rates (per 100 person years) for ischemic stroke or systemic embolism and major bleeding. |
| Data source location | US administrative claims, previously published international clinical trial data |
| Data accessibility | Individual, patient-level from Optum Labs Data Warehouse is not publically available, but summary data are provided in the tables and in the linked publication [5] (DOI: 10.1016/j.ijcard.2017.07.043). All clinical trial data included have been previously published. |

Value of the data

- These data can serve as a benchmark for ‘real-world’ outcomes in anticoagulated patients with atrial fibrillation.
- These data may be valuable for comparison to outcomes and baseline characteristics observed in other dataset or in other countries.
- These data describe potentially important differences in baseline characteristics between patients treated in routine practice and those enrolled in clinical trials.

1. Data

These data are pulled from two sources: (1) a large administrative claims dataset including 107,373 anticoagulated patients with atrial fibrillation, and (2) the pivotal, phase III clinical trials that compared ear of the NOACs to warfarin (Granger et al., 2011; Cannolly et al., 2009; Patel et al., 2011; Giugliano et al., 2013) [1–4]. Our data report illustrates baseline characteristics (age, gender, and CHADS2 score) as well as event rates for ischemic stroke or systemic embolism and major bleeding, overall and stratified by CHADS2 score.

1.1. Experimental design, materials and methods

Using a large U.S. administrative claims database, OptumLabs Data Warehouse (OLDW), which contains privately insured and Medicare Advantage enrollees of all ages and races from all 50 states,
we identified adult patients (≥ 18 years) with AF who were new users of oral anticoagulants between July 1st, 2006 and June 30th, 2016, including warfarin, apixaban, dabigatran, or rivaroxaban. Because edoxaban was approved in 2015, there were insufficient sample size to report baseline characteristics and outcomes in edoxaban-treated patients in OLDW. We used the fill dates and days supplied per prescription to determine patients’ treatment episodes. Patients were considered as continuing on treatment as long as they had another fill of the same medication within 90 days of the end of the last treatment episode. We required patients to have at least 12 months of continuous enrollment in health insurance, defined as the baseline, used to capture baseline characteristics. Patients were censored at the end of study period (i.e., 6/30/2016), discontinuation of the medication, switch to another oral anticoagulant, or the end of enrollment in health insurance, whichever occurred first.

The outcomes were inpatient admission for either stroke (effectiveness endpoint, including ischemic stroke and systemic embolism) or major bleeding (safety endpoint). When comparing outcomes to trials, we calculated the event rates per 100 years and stratified patients based on the CHADS2 score (a stroke risk score used in the trials). All the analyses were based on the time to the first event, an approach used in the trials. The study was exempt by the Mayo Clinic Institutional Review Board for approval as we used only pre-existing, de-identified data.

Acknowledgements

None.

Transparency document. Supporting information

Transparency data associated with this article can be found in the online version at http://dx.doi.org/10.1016/j.dib.2017.08.010.

References

[1] C.B. Granger, J.H. Alexander, J.J. McMurray, R.D. Lopes, E.M. Hylek, M. Hanna, H.R. Al-Khalidi, J. Ansell, D. Atar, A. Avezum, Apixaban versus warfarin in patients with atrial fibrillation, N. Engl. J. Med. 365 (2011) 981–992.
[2] S.J. Connolly, M.D. Ezekowitz, S. Yusuf, J. Eikelboom, J. Oldgren, A. Parshk, J. Pogue, P.A. Reilly, E. Themeles, J. Varrone, Dabigatran versus warfarin in patients with atrial fibrillation, N. Engl. J. Med. 361 (2009) 1139–1151.
[3] M.R. Patel, K.W. Mahaffey, J. Garg, G. Pan, D.E. Singer, W. Hacke, G. Breithardt, J.L. Halperin, G.J. Hankey, J.P. Piccini, Rivaroxaban versus warfarin in nonvalvular atrial fibrillation, N. Engl. J. Med. 365 (2011) 883–891.
[4] R.P. Giugliano, C.T. Ruff, E. Braunwald, S.A. Murphy, S.D. Wiviott, J.L. Halperin, A.L. Waldo, M.D. Ezekowitz, J.L. Weitz, J. Špinar, Edoxaban versus warfarin in patients with atrial fibrillation, N. Engl. J. Med. 369 (2013) 2093–2104.
[5] P.A. Noseworthy, X. Yao, B.J. Gersh, I. Hargraves, N.D. Shah, V.M. Montori, Long-term stroke and bleeding risk in patients with atrial fibrillation treated with oral anticoagulants in contemporary practice; providing evidence for shared decision-making, Int. J. Cardiol. (2017). http://dx.doi.org/10.1016/j.ijcard.2017.07.043 (pii: S0167-5273(17)32969-8), PMID: 28733071.