Asymptotic Analysis of Elliptic Membrane Shells in Thermoelastodynamics

M.T. Cao-Rial¹ · G. Castiñeira² · Á. Rodríguez-Arós¹ · S. Roscani³

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Abstract In this paper we consider a family of three-dimensional problems in thermoelasticity for elliptic membrane shells and study the asymptotic behaviour of the solution when the thickness tends to zero. We fully characterize with strong convergence results the limit as the unique solution of a two-dimensional problem, where the reference domain is the common middle surface of the family of three-dimensional shells. The problems are dynamic and the constitutive thermoelastic law is given by the Duhamel-Neumann relation.

Keywords Thermoelastodynamics · Asymptotic analysis · Elliptic membrane shells

Mathematics Subject Classification (2010) 41A60 · 74A15 · 35Q74 · 74K25 · 74K15

1 Introduction

In the last decades, asymptotic methods have been used to derive and justify simplified models for three-dimensional solid mechanics problems for beams, plates and shells. The

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¹ Departamento de Matemáticas, E.T.S. Náutica e Máquinas, Universidade da Coruña, Paseo de Ronda, 51, 15011, A Coruña, Spain
² Área de Matemáticas, Centro Universitario de la Defensa, Universidade de Vigo, Escuela Naval Militar, Plaza de España, s/n, 36920 Marín Pontevedra, Spain
³ CONICET - Departamento de Matemática, FCE, Universidad Austral, Paraguay 1950, S2000FZF, Rosario, Argentina
foundation for these methods was established by Lions in [15] and some of the first applications were to plate bending models in [10, 11]. Many other models for plates have been justified by using asymptotic methods and a comprehensive review concerning plate models can be found in [8].

Since then, its application has been extended over the years to many other problems like beam bending, rod stretching and elastic shells. For example, Bernoulli-Navier model was justified in [1] and the Saint-Venant, Timoshenko and Vlassov models of elastic beams were justified in [23], while a model for Kelvin-Voigt viscoelastic beams was justified in [22] and models for piezoelectric beams were obtained in [24], all of them by using the asymptotic expansion method followed by rigorous convergence results. The asymptotic modelling of rods in linearized thermoelasticity was also studied in [23].

Regarding elastic shells, a complete theory can be found in [9], where models for elliptic membranes, generalized membranes and flexural shells are presented. In there, the reader can find a full description of the asymptotic procedure that leads to the corresponding sets of two-dimensional equations. More recently, in a series of papers we studied the asymptotic analysis of viscoelastic shells [4–7] and contact problems for elastic shells [3, 19–21]. For the dynamic case, the authors in [13, 14] use the asymptotic analysis to derive two-dimensional sets of equations for elastic membranes and flexural shells, though no strong convergence results are provided. Dynamic problems for shells is a topic which is attracting a considerable effort in modeling, analysis and numerical approximation, due to the abundance of real world applications, see for example [18] and references therein.

The aim of the present paper is to provide the first results of the asymptotic analysis devoted to thermoelastic shells in a dynamic regime. Here we briefly describe the formal asymptotic analysis and the limit two-dimensional problem and we focus in the case of elliptic membrane shells, for which we provide a rigorous convergence result. We also discuss the existence and uniqueness of solution for both the three-dimensional problem and the corresponding two-dimensional limit problem.

The structure of the paper is the following: in Sect. 2 we shall describe the variational and mechanical formulations of the problem in cartesian coordinates in a general domain, and present a result of existence and uniqueness of solution for that problem. In Sect. 3 we consider the particular case when the deformable body is, in fact, a shell and reformulate the variational formulation in curvilinear coordinates. Then we give the scaled formulation. To do that, we will use a projection map into a reference domain and we will introduce the scaled unknowns and forces as well as the assumptions on coefficients. We also devote this section to recall and derive results that will be needed later. In Sect. 4 we briefly describe the formal asymptotic analysis which leads to the formulation of limit two-dimensional problems. Then, in Sect. 5 we discuss the existence and uniqueness of solution for the two-dimensional limit problem and then we focus on the elliptic membrane case, for which we provide a rigorous convergence result. Finally, in Sect. 6 we show that the solution to the re-scaled version of this problem, with true physical meaning, also converges. The paper ends with Sect. 7, devoted to the conclusions and future work.

### 2 A Three-Dimensional Dynamic Problem for Thermoelastic Bodies

Let \( \hat{\Omega}^\varepsilon \) be a three-dimensional bounded domain and assume that \( \hat{\Omega}^\varepsilon \) is the reference configuration of a deformable body made of an elastic material, which is homogeneous and isotropic, with Lamé coefficients \( \hat{\lambda}^\varepsilon \geq 0, \hat{\mu}^\varepsilon > 0 \). Let \( \hat{\Gamma}^\varepsilon = \hat{\partial} \hat{\Omega}^\varepsilon \) denote the boundary of the body, which is divided into two disjoint parts \( \hat{\Gamma}_{N}^\varepsilon \) and \( \hat{\Gamma}_{0}^\varepsilon \), where the measure of the latter is...
strictly positive. Let \( \hat{x}^\varepsilon = (\hat{x}_i^\varepsilon) \) be a generic point of \( \hat{\Omega}^\varepsilon \). Notice that at first glance, the notation for sets, variables and functions seems unnecessarily complex. Indeed, the \( \varepsilon \) and \( \hat{\cdot} \) marks are only meaningful in the context of the shells setting, to be detailed in the forthcoming sections. But, given that there we are going to recall results and arguments developed in this current section, we decided to keep here this notation, in favor of future coherence.

We suppose that the material has a thermal dilatation coefficient \( \hat{\alpha}_T^\varepsilon \), a thermal conductivity coefficient \( \hat{k}^\varepsilon \), a specific heat coefficient \( \hat{\beta}^\varepsilon \) and a specific mass density \( \hat{\rho}^\varepsilon \). The constitutive equation relating the stress tensor components \( \hat{\sigma}^\varepsilon_{ij} \) to the linearized strain tensor components, and the temperature \( \hat{\vartheta}^\varepsilon \) is given by the linearized Duhamel-Neumann law (see, for example [23] and references therein):

\[
\hat{\sigma}^\varepsilon_{ij} = \hat{\lambda}^\varepsilon \hat{e}^\varepsilon_{kk}(\hat{u}^\varepsilon) \delta_{ij} + 2 \hat{\mu}^\varepsilon \hat{e}^\varepsilon_{ij}(\hat{u}^\varepsilon) - \hat{\alpha}_T^\varepsilon (3 \hat{\lambda}^\varepsilon + 2 \hat{\mu}^\varepsilon) \hat{e}^\varepsilon_{kk}(\hat{\vartheta}^\varepsilon) \delta_{ij}, \tag{1}
\]

where \( \hat{\epsilon}^\varepsilon_{ij}(\hat{v}^\varepsilon) = \frac{1}{2}(\hat{\partial}_j \hat{v}^\varepsilon_i + \hat{\partial}_i \hat{v}^\varepsilon_j) \) denotes the deformation operator. Here \( \delta_{ij} \) represents the Kronecker’s symbol and \( \hat{\partial}_i \) the partial derivative with respect to \( \hat{x}^\varepsilon_i \). Notice that here and below, and for the sake of a clearer exposition, we shall omit the explicit dependence of the various functions on space and time variables, as long as there is no ambiguity. We assume that the body is subjected to a boundary condition of place; in particular, the displacements field vanishes on \( \hat{\Gamma}^\varepsilon_0 \).

The body is under the effect of a heat source \( \hat{\varrho}^\varepsilon \) and applied volume forces of density \( \hat{f}^\varepsilon = (\hat{f}^\varepsilon_i) \) acting in \( \hat{\Omega}^\varepsilon \), and tractions of density \( \hat{h}^\varepsilon = (\hat{h}^\varepsilon_i) \) acting upon \( \hat{\Gamma}^\varepsilon_N \).

Then, the set of equations describing the mechanical behaviour of a regular three-dimensional deformable solid in thermoelasticity are the following:

**Problem 1** Find the displacements field \( \hat{u}^\varepsilon = (\hat{u}^\varepsilon_i) \) and the temperature field \( \hat{\vartheta}^\varepsilon \) verifying

\[
\begin{align*}
\hat{\rho}^\varepsilon \ddot{\hat{u}}^\varepsilon &- \text{div}\hat{\sigma}^\varepsilon = \hat{f}^\varepsilon & \text{in } \hat{\Omega}^\varepsilon \times (0, T), \\
\hat{\beta}^\varepsilon \dot{\hat{\vartheta}}^\varepsilon &= \partial_j(\hat{k}^\varepsilon \dot{\hat{\vartheta}}^\varepsilon \delta_j^\varepsilon) - \hat{\alpha}_T^\varepsilon (3 \hat{\lambda}^\varepsilon + 2 \hat{\mu}^\varepsilon) \hat{e}^\varepsilon_{kk}(\hat{u}^\varepsilon) + \hat{q}^\varepsilon & \text{in } \hat{\Omega}^\varepsilon \times (0, T), \\
\hat{u}^\varepsilon &= 0 & \text{on } \hat{\Gamma}^\varepsilon_0 \times (0, T), \\
\dot{\hat{\vartheta}}^\varepsilon &= 0 & \text{on } \hat{\Gamma}^\varepsilon_0 \times (0, T), \\
\hat{\sigma}^\varepsilon \hat{\hat{n}}^\varepsilon &= \hat{h}^\varepsilon & \text{on } \hat{\Gamma}^\varepsilon_N \times (0, T), \\
\hat{k}^\varepsilon \dot{\hat{\vartheta}}^\varepsilon n_j &= 0 & \text{on } \hat{\Gamma}^\varepsilon_N \times (0, T), \\
\dot{\hat{u}}^\varepsilon (\cdot, 0) &= \hat{u}^\varepsilon (\cdot, 0) = 0 & \text{in } \hat{\Omega}^\varepsilon, \\
\dot{\hat{\vartheta}}^\varepsilon (\cdot, 0) &= 0 & \text{in } \hat{\Omega}^\varepsilon,
\end{align*}
\]

where \( \hat{\sigma}^\varepsilon = (\hat{\sigma}^\varepsilon_{ij}) \) is described in (1).

**Remark 1** We only consider homogeneous boundary and initial conditions for the sake of simplicity. Besides, our main interest is in the asymptotic analysis which follow in the sections below, and nonhomogenous initial conditions do not introduce major additional difficulties in that sense.
Now, to derive the variational formulation of the problem, we first define the space of admissible displacements

\[ V(\hat{\Omega}^\varepsilon) := \{ \hat{v}^\varepsilon = (\hat{v}^\varepsilon_i) \in [H^1(\hat{\Omega}^\varepsilon)]^3; \hat{v}^\varepsilon = 0 \text{ on } \hat{\Gamma}_0^\varepsilon \}, \]

which is a Hilbert space equipped with the norm

\[ \| \hat{v}^\varepsilon \|_{V(\hat{\Omega}^\varepsilon)} = \int_{\hat{\Omega}^\varepsilon} \hat{e}^\varepsilon_{ij}(\hat{v}^\varepsilon) \hat{e}^\varepsilon_{ij}(\hat{v}^\varepsilon) d\hat{x}^\varepsilon. \]

We also define the space of admissible temperatures

\[ S(\hat{\Omega}^\varepsilon) := \{ \hat{\phi}^\varepsilon \in H^1(\hat{\Omega}^\varepsilon); \hat{\phi}^\varepsilon = 0 \text{ on } \hat{\Gamma}_0^\varepsilon \}, \]

which is a Hilbert space equipped with the norm

\[ \| \hat{\phi}^\varepsilon \|_{S(\hat{\Omega}^\varepsilon)} = \int_{\hat{\Omega}^\varepsilon} \hat{\partial}^\varepsilon_j \hat{\phi}^\varepsilon \hat{\partial}^\varepsilon_j \hat{\phi}^\varepsilon d\hat{x}^\varepsilon. \]

Besides, as long as there is no room for confusion, we shall avoid specifying the domain in the subindices for the norms’ notation. Further, for the sake of simplicity in the formulations to come, we define the following operators:

- The bilinear, continuous and coercive forms
  \[ a^{V,\varepsilon}: V(\hat{\Omega}^\varepsilon) \times V(\hat{\Omega}^\varepsilon) \to \mathbb{R} \]
  \[ (\hat{u}^\varepsilon, \hat{v}^\varepsilon) \to a^{V,\varepsilon}(\hat{u}^\varepsilon, \hat{v}^\varepsilon) = \int_{\hat{\Omega}^\varepsilon} \hat{A}^{ijkl,\varepsilon} \hat{e}^\varepsilon_{ij}(\hat{u}^\varepsilon) \hat{e}^\varepsilon_{kl}(\hat{v}^\varepsilon) d\hat{x}^\varepsilon, \]
  \[ \hat{A}^{ijkl,\varepsilon} = \lambda^\varepsilon \delta^{ij} \delta^{kl} + \mu^\varepsilon (\delta^{ik} \delta^{jl} + \delta^{il} \delta^{jk}) \]
  denotes the elasticity fourth-order tensor.

- The continuous form
  \[ c^\varepsilon: S(\hat{\Omega}^\varepsilon) \times V(\hat{\Omega}^\varepsilon) \to \mathbb{R} \]
  \[ (\hat{\phi}^\varepsilon, \hat{v}^\varepsilon) \to c^\varepsilon(\hat{\phi}^\varepsilon, \hat{v}^\varepsilon) = \int_{\hat{\Omega}^\varepsilon} \hat{\alpha}^\varepsilon \hat{\phi}^\varepsilon \hat{e}^\varepsilon_{kk}(\hat{v}^\varepsilon) d\hat{x}^\varepsilon. \]

- The functional \( \hat{\tilde{J}}^\varepsilon(\cdot) \) is defined a.e. in \((0, T)\) as
  \[ \left\{ \hat{\tilde{J}}^\varepsilon(t), \hat{\tilde{v}}^\varepsilon \right\} = \int_{\hat{\Omega}^\varepsilon} \hat{\tilde{f}}^\varepsilon(t) \hat{v}^\varepsilon d\hat{x}^\varepsilon + \int_{\hat{\Gamma}^\varepsilon_N} \hat{\tilde{h}}^\varepsilon_i(t) \hat{v}^\varepsilon_i d\hat{\Gamma}^\varepsilon_N, \quad \forall \hat{\tilde{v}}^\varepsilon \in V(\hat{\Omega}^\varepsilon), \]

where we use the notation for a duality pair \( \langle \cdot, \cdot \rangle \) in \( V'(\hat{\Omega}^\varepsilon) \times V(\hat{\Omega}^\varepsilon) \), and similarly,

\[ \left\{ \hat{\tilde{Q}}^\varepsilon(t), \hat{\tilde{\phi}}^\varepsilon \right\} = \int_{\hat{\Omega}^\varepsilon} \hat{\tilde{q}}^\varepsilon(t) \hat{\phi}^\varepsilon d\hat{x}^\varepsilon \quad \forall \hat{\tilde{\phi}}^\varepsilon \in S(\hat{\Omega}^\varepsilon). \]

Then, it is straightforward to obtain the following variational formulation:
**Problem 2** Find a pair \((\hat{u}^e(\hat{x}, t), \hat{\theta}^e(\hat{x}, t))\) of \([0, T] \rightarrow V(\hat{\Omega}^e) \times S(\hat{\Omega}^e)\) verifying

\[
\begin{align*}
\hat{\rho}^e \left[ \ddot{\hat{u}}^e_\alpha, \ddot{\hat{v}}^e_\beta \right] + a^{\nu \varepsilon}(\hat{u}^e, \hat{v}^e) - c^\varepsilon(\hat{\theta}^e, \hat{v}^e) &= \left\{ \dot{\hat{f}}(t), \dot{\hat{v}}^e \right\} \forall \hat{v}^e \in V(\hat{\Omega}^e), \ a.e. \ in \ (0, T), \\
\hat{\beta}^e \left[ \ddot{\hat{\theta}}^e, \ddot{\hat{\varphi}}^e \right] + a^{S \varepsilon}(\hat{\theta}^e, \hat{\varphi}^e) + c^\varepsilon(\hat{\varphi}^e, \hat{u}^e) &= \left\{ \dot{\hat{Q}}(t), \dot{\hat{\varphi}}^e \right\} \forall \hat{\varphi}^e \in S(\hat{\Omega}^e), \ a.e. \ in \ (0, T),
\end{align*}
\]

with \(\hat{u}^e(\cdot, 0) = \hat{u}^e(\cdot, 0) = 0\) and \(\hat{\theta}^e(\cdot, 0) = 0\).

In favour of simplicity, we are going to assume that the different parameters of the problem (thermal conductivity, thermal dilatation, specific heat coefficient, mass density, Lamé coefficients) are constants.

**Theorem 1** Let us assume that

\[
\begin{align*}
\hat{f}^e \in H^1(0, T; [L^2(\hat{\Omega}^e)]^3), \\
\hat{h}^e \in H^2(0, T; [L^2(\hat{\Omega}^e)]^3), \ and \ \hat{\varphi}^e(\cdot, 0) = 0, \\
\hat{\varphi}^e \in H^1(0, T; L^2(\hat{\Omega}^e)).
\end{align*}
\]

Then, there exists a unique pair \((\hat{u}^e(\hat{x}, t), \hat{\theta}^e(\hat{x}, t))\) solution to Problem 2 such that

\[
\begin{align*}
\hat{u}^e \in L^\infty(0, T; V(\hat{\Omega}^e)), \\
\hat{u}^e \in L^\infty(0, T; [L^2(\hat{\Omega}^e)]^3) \cap L^\infty(0, T; V(\hat{\Omega}^e), \\
\hat{u}^e \in L^\infty(0, T; V'(\hat{\Omega}^e)) \cap L^\infty(0, T; [L^2(\hat{\Omega}^e)]^3),
\end{align*}
\]

\[
\begin{align*}
\dot{\hat{\theta}}^e \in L^\infty(0, T; L^2(\hat{\Omega}^e)) \cap L^2(0, T; S(\hat{\Omega}^e)), \\
\dot{\hat{\varphi}}^e \in L^\infty(0, T; L^2(\hat{\Omega}^e)) \cap L^2(0, T; S(\hat{\Omega}^e)).
\end{align*}
\]

**Remark 2** The regularity results in (4c) and (5b) imply that the duality products involving \(\hat{u}^e\) and \(\dot{\hat{\theta}}^e\) in (2) and (3) are actually the usual inner products in \(L^2(\hat{\Omega}^e)\).

The proof can be derived, for example, by following [16, p. 359], [12] or [2].

### 3 A Three-Dimensional Dynamic Problem for Thermoelastic Shells

In this section we consider the particular case when the deformable body is, in fact, a shell. We first provide key notations and some preliminary results in a summarised form. The interested reader can consult [9] and [20] for a more detailed exposition.

Let \(\omega\) be a bounded domain of \(\mathbb{R}^2\), with a Lipschitz-continuous boundary \(\gamma = \partial \omega\). Let \(y = (y_\nu)\) be a generic point of its closure \(\widetilde{\omega}\) and let \(\partial_\alpha\) denote the partial derivative with respect to \(y_\nu\). Above and in what follows, Greek indices take their values in the set \(\{1, 2\}\), whereas Latin indices do it in the set \(\{1, 2, 3\}\). We will use summation convention on repeated indices. Let \(\theta \in C^2(\widetilde{\omega}; \mathbb{R}^3)\) be an injective mapping such that the two vectors \(a_\alpha(y) := \partial_\alpha \theta(y)\) are linearly independent. These vectors form the covariant basis of the tangent plane to the surface \(S := \theta(\widetilde{\omega})\) at the point \(\theta(y)\). We also consider the two vectors...
\( a^\alpha(y) \) of the same tangent plane defined by the relations \( a^\alpha(y) \cdot a_\beta(y) = \delta^\alpha_\beta \), that constitute its contravariant basis. We define

\[
a_3(y) = a_3(y) := \frac{a_1(y) \wedge a_2(y)}{|a_1(y) \wedge a_2(y)|},
\]

the unit normal vector to \( S \) at the point \( \theta(y) \), where \( \wedge \) denotes vector product in \( \mathbb{R}^3 \). We can define the first fundamental form, given as metric tensor, in covariant or contravariant components, respectively, by \( a_{\alpha\beta} := a_\alpha \cdot a_\beta \), \( a^{\alpha\beta} := a^\alpha \cdot a^\beta \). The second fundamental form, given as curvature tensor, in covariant or mixed components, respectively, is given by \( b_{\alpha\beta} := a^3 \cdot \partial_\beta a_\alpha \), \( b^\alpha_{\beta\sigma} := a^{\beta\sigma} \cdot b_{\sigma\alpha} \), and the Christoffel symbols of the surface \( S \) as \( \Gamma^{\alpha\beta}_{\alpha\sigma} := a^\alpha \cdot \partial_\beta a_\sigma \).

We define the three-dimensional domain \( \Omega^\varepsilon := \omega \times (-\varepsilon, \varepsilon) \) and its boundary \( \Gamma^\varepsilon := \partial \Omega^\varepsilon \). We also define the following parts of the boundary, \( \Gamma_0^\varepsilon := \omega \times \{\varepsilon\} \) (it could also be the lower face or the union of both), \( \Gamma_{-}^\varepsilon := \gamma_0 \times [-\varepsilon, \varepsilon] \), where \( \gamma_0 \subseteq \gamma \). Let \( x^\varepsilon = (x^\varepsilon_i) \) be a generic point of \( \Omega^\varepsilon \) and let \( \partial_i^\varepsilon \) denote the partial derivative with respect to \( x^\varepsilon_i \). Note that \( \partial_i^\varepsilon = \partial_i + \partial_i^\varepsilon \). Let \( \Theta : \Omega^\varepsilon \to \mathbb{R}^3 \) be the mapping defined by

\[
\Theta(x^\varepsilon) := \theta(y) + x^3 a_3(y) \quad \forall x^\varepsilon = (y, x^3) = (y_1, y_2, x^3) \in \Omega^\varepsilon.
\]

By identifying \( \hat{\Omega}^\varepsilon = \Theta(\Omega^\varepsilon) \), \( \hat{\Gamma}^\varepsilon = \Theta(\Gamma^\varepsilon) \), \( \hat{\Gamma}_0^\varepsilon = \Theta(\Gamma_0^\varepsilon) \), etc. we cast this setting into the more general three dimensional framework of the preceding section, as a particular case. Further, in [9, Th. 3.1-1] it is shown that if the injective mapping \( \theta : \omega \to \mathbb{R}^3 \) is smooth enough, the mapping \( \Theta : \Omega^\varepsilon \to \mathbb{R}^3 \) is also injective for \( \varepsilon > 0 \) small enough and the vectors \( g^i_j(x^\varepsilon) := \partial_i^\varepsilon \Theta(x^\varepsilon) \) are linearly independent. Therefore, the three vectors \( g^i_j(x^\varepsilon) \) form the covariant basis at the point \( \Theta(x^\varepsilon) \) and \( g^{i\varepsilon}(x^\varepsilon) \) defined by the relations \( g^{i\varepsilon} := g^i_j \cdot \delta_j^\varepsilon \) form the contravariant basis at the point \( \Theta(x^\varepsilon) \). The covariant and contravariant components of the metric tensor are defined, respectively, as \( g^{i\varepsilon}_{\ j\varepsilon} := g^i_j \cdot g^{\varepsilon\varepsilon} \), \( g^i_{\ j\varepsilon} := g^i_{\ j\varepsilon} \cdot g^{\varepsilon\varepsilon} \), and Christoffel symbols as \( \Gamma_{ij\varepsilon}^{\ v\varepsilon} := g^{p\varepsilon} \cdot \partial_i^\varepsilon g^p_{\ j\varepsilon} \). The volume element in the set \( \Theta(\hat{\Omega}^\varepsilon) \) is \( \sqrt{g^\varepsilon} dx^\varepsilon \) and the surface element in \( \Theta(\hat{\Gamma}^\varepsilon) \) is \( \sqrt{g^\varepsilon} d\Gamma^\varepsilon \) where \( g^\varepsilon := \det(g^{i\varepsilon}_{\ j\varepsilon}) \).

We now define the corresponding contravariant components in curvilinear coordinates for the applied forces densities:

\[
\hat{f}^{i\varepsilon}(\hat{x}^\varepsilon) \hat{e}_i d\hat{x}^\varepsilon := \int f^{i\varepsilon}(x^\varepsilon) g_i^\varepsilon(x^\varepsilon) \sqrt{g^\varepsilon(x^\varepsilon)} dx^\varepsilon,
\]

\[
\hat{h}^{i\varepsilon}(\hat{x}^\varepsilon) \hat{e}_i d\hat{\Gamma}^\varepsilon := \int h^{i\varepsilon}(x^\varepsilon) g_i^\varepsilon(x^\varepsilon) \sqrt{g^\varepsilon(x^\varepsilon)} d\Gamma^\varepsilon,
\]

and the covariant components in curvilinear coordinates for the displacements field:

\[
\hat{u}^\varepsilon(\hat{x}^\varepsilon) = \hat{u}_i^\varepsilon(\hat{x}^\varepsilon) \hat{e}_i = : u_i^\varepsilon(x^\varepsilon) g^{i\varepsilon}(x^\varepsilon), \text{ with } \hat{x}^\varepsilon = \Theta(x^\varepsilon).
\]

**Remark 3** Notice that forces above depend also on the time variable \( t \in [0, T] \), but we decided to keep it implicit for the sake of readability, since the subject of the change of variable is the spatial component. The same comment applies in a number of situations below.

We also define \( \vartheta^\varepsilon(x^\varepsilon) := \hat{\theta}^\varepsilon(\hat{x}^\varepsilon) \) and \( q^\varepsilon(x^\varepsilon) := \hat{\vartheta}^\varepsilon(\hat{x}^\varepsilon) \).

Let us define the spaces,

\[
V(\Omega^\varepsilon) = \{ v^\varepsilon = (v_i^\varepsilon) \in [H^1(\Omega^\varepsilon)]^3 \; ; \; v^\varepsilon = 0 \; \text{on} \; \Gamma_0^\varepsilon \},
\]
Both are real Hilbert spaces with the induced inner product of \([H^1(\Omega^\varepsilon)]^d, \ d \in \{1, 3\}\). The corresponding norm is denoted by \(\|\cdot\|_{1,\Omega^\varepsilon}\) in both cases, since no confusion is possible. With these definitions it is straightforward to derive from the Problem 2 the following variational problem (see [9] for the case in linear elasticity and use similar arguments):

**Problem 3** Find a pair \(t \mapsto (u^\varepsilon(x^\varepsilon, t), \vartheta^\varepsilon(x^\varepsilon, t)) \) of \([0, T] \rightarrow V(\Omega^\varepsilon) \times S(\Omega^\varepsilon)\) verifying

\[
\int_{\Omega^\varepsilon} \rho^\varepsilon (\dddot{u}_i^\varepsilon g^{\alpha \beta, \varepsilon} v^\varepsilon_\beta + u^\varepsilon_3 v^\varepsilon_3) \sqrt{g^\varepsilon} \, dx^\varepsilon + \int_{\Omega^\varepsilon} A_{ijkl, \varepsilon} e^\varepsilon_i|j(U^\varepsilon) e^\varepsilon_l|j(v^\varepsilon) \sqrt{g^\varepsilon} \, dx^\varepsilon \\
- \int_{\Omega^\varepsilon} \alpha^\varepsilon (3\lambda^\varepsilon + 2\mu^\varepsilon) \dot{\vartheta}^\varepsilon (e^\varepsilon_{a|\beta}(v^\varepsilon)) g^{a\beta, \varepsilon} + e^\varepsilon_{3|3}(v^\varepsilon)) \sqrt{g^\varepsilon} \, dx^\varepsilon \\
= \int_{\Gamma_N^\varepsilon} f_i^\varepsilon v^\varepsilon_i \sqrt{g^\varepsilon} \, d\Gamma^\varepsilon \quad \forall v^\varepsilon \in V(\Omega^\varepsilon), \ a.e. \ in \ (0, T),
\]

\[
\int_{\Omega^\varepsilon} \beta^\varepsilon \dot{\vartheta}^\varepsilon \varphi^\varepsilon \sqrt{g^\varepsilon} \, dx^\varepsilon + \int_{\Omega^\varepsilon} k^\varepsilon (\partial^\varepsilon_a \partial^\varepsilon_b g^{a\beta, \varepsilon} \partial^\varepsilon_{\beta} \varphi^\varepsilon + \partial^\varepsilon_3 \partial^\varepsilon_3 \varphi^\varepsilon) \sqrt{g^\varepsilon} \, dx^\varepsilon \\
+ \int_{\Omega^\varepsilon} \alpha^\varepsilon (3\lambda^\varepsilon + 2\mu^\varepsilon) \varphi^\varepsilon (e^\varepsilon_{a|\beta}(\dot{U}^\varepsilon)) g^{a\beta, \varepsilon} + e^\varepsilon_{3|3}(\dot{U}^\varepsilon)) \sqrt{g^\varepsilon} \, dx^\varepsilon \\
= \int_{\Omega^\varepsilon} q^\varepsilon \varphi^\varepsilon \sqrt{g^\varepsilon} \, dx^\varepsilon \quad \forall \varphi^\varepsilon \in S(\Omega^\varepsilon), \ a.e. \ in \ (0, T),
\]

with \(\dot{u}^\varepsilon(\cdot, 0) = u^\varepsilon(\cdot, 0) = 0\) and \(\dot{\vartheta}^\varepsilon(\cdot, 0) = 0\).

Above, \(A_{ijkl, \varepsilon} = A_{jilk, \varepsilon} = A_{klij, \varepsilon} \in C^1(\tilde{\Omega}^\varepsilon)\), defined by

\[
A_{ijkl, \varepsilon} := \lambda g^{ijkl, \varepsilon} + \mu (g^{ik, \varepsilon} g^{jl, \varepsilon} + g^{il, \varepsilon} g^{jk, \varepsilon}),
\]

represent the contravariant components of the three-dimensional elasticity tensor, and the functions \(e^\varepsilon_{ij|l}(v^\varepsilon) = e^\varepsilon_{j|i}(v^\varepsilon) \in L^2(\Omega^\varepsilon)\) that represent the covariant components of the linearized change of metric tensor, or strain tensor, are defined by

\[
e^\varepsilon_{ij|l}(v^\varepsilon) := \frac{1}{2} (\partial^\varepsilon_i v^\varepsilon_j + \partial^\varepsilon_j v^\varepsilon_i) - \Gamma^\varepsilon_{ij|l} v^\varepsilon_p,
\]

for all \(v^\varepsilon \in [H^1(\Omega^\varepsilon)]^3\), where \(\partial^\varepsilon_i\) denotes partial derivative with respect to \(x^\varepsilon_i\). Note that the following simplifications are verified,

\[
\Gamma^3_{a3} = \Gamma^3_{33} = 0 \text{ in } \tilde{\Omega}^\varepsilon, \quad A^{333} = 0 \text{ in } \tilde{\Omega}^\varepsilon,
\]

as a consequence of the definition of \(\Theta\) in (6). The definitions of the fourth order tensor (7) imply that (see [9, Theorem 1.8-1]) for \(\varepsilon > 0\) small enough, there exists a constant \(C_\varepsilon > 0\), independent of \(\varepsilon\), such that,

\[
\sum_{i,j} |t_{ij}|^2 \leq C_\varepsilon A^{ijkl, \varepsilon}(x^\varepsilon)t_{kl}t_{ij},
\]

for all \(x^\varepsilon \in \tilde{\Omega}^\varepsilon\) and all \(t = (t_{ij}) \in \mathbb{S}^3\) (vector space of \(3 \times 3\) real symmetric matrices).
Remark 4. We recall that the vector field $u^\varepsilon = (u^\varepsilon_i) : \Omega^\varepsilon \times [0, T] \to \mathbb{R}^3$ solution of Problem 3 has to be interpreted conveniently. The functions $u^\varepsilon_i : \Omega^\varepsilon \times [0, T] \to \mathbb{R}^3$ are the covariant, time dependent, components of the “true” displacements field $\mathbf{u}^\varepsilon := u^\varepsilon g^{i,\varepsilon} : \Omega^\varepsilon \times [0, T] \to \mathbb{R}^3$.

For convenience, we consider a reference domain independent of the small parameter $\varepsilon$. Hence, let us define the three-dimensional domain $\Omega := \omega \times (-1, 1)$ and its boundary $\Gamma = \partial \Omega$. We also define the following parts of the boundary,

$$
\Gamma_N := \omega \times \{1\}, \quad \Gamma_0 := \gamma_0 \times [-1, 1].
$$

Let $x = (x_1, x_2, x_3)$ be a generic point in $\bar{\Omega}$ and we consider the notation $\partial_i$ for the partial derivative with respect to $x_i$. We define the projection map $\pi^\varepsilon : \bar{\Omega} \to \bar{\Omega}^\varepsilon$, such that

$$
\pi^\varepsilon(x) = x^\varepsilon = (x_1^\varepsilon, x_2^\varepsilon, x_3^\varepsilon) = (x_1, x_2, \varepsilon x_3) \in \bar{\Omega}^\varepsilon,
$$

hence, $\partial^\varepsilon = \partial_0$ and $\partial^\varepsilon_3 = \frac{1}{\varepsilon} \partial_3$. We consider the displacements related scaled unknown $u^\varepsilon_i(\varepsilon) : \bar{\Omega}^\varepsilon \times [0, T] \to \mathbb{R}^3$ and the scaled vector fields $v^\varepsilon_i(\varepsilon) : \bar{\Omega} \to \mathbb{R}^3$ defined as

$$
u^\varepsilon_i(\varepsilon^\varepsilon)(x^\varepsilon) =: u^\varepsilon_i(x^\varepsilon) \text{ and } v^\varepsilon_i(x^\varepsilon) =: v^\varepsilon_i(x^\varepsilon) \forall x^\varepsilon \in \bar{\Omega}^\varepsilon, \quad x^\varepsilon = \pi^\varepsilon(x) \in \bar{\Omega}^\varepsilon.
$$

Besides, we define the scaled temperature $\vartheta^\varepsilon : \bar{\Omega} \times [0, T] \to \mathbb{R}$ defined as

$$
\vartheta^\varepsilon(x) := \vartheta^\varepsilon^\varepsilon(x^\varepsilon) \forall x^\varepsilon \in \Omega^\varepsilon, \text{ where } x^\varepsilon = \pi^\varepsilon(x) \in \Omega^\varepsilon.
$$

For the sake of simplicity, from now on, we are going to assume that the different parameters of the problem (thermal conductivity, thermal dilatation, specific heat coefficient, mass density, Lamé coefficients) are all independent of $\varepsilon$. Also, let the functions $\Gamma^{p,\varepsilon}_{ij}, g^\varepsilon, A^{ijkl,\varepsilon}$ be associated with the functions $\Gamma^{p}_{ij}(\varepsilon), g(\varepsilon), A^{ijkl}(\varepsilon)$, defined by

$$
\Gamma^{p}_{ij}(\varepsilon)(x^\varepsilon) := \Gamma^{p}_{ij}(x^\varepsilon), \quad g(\varepsilon)(x) := g^\varepsilon(x^\varepsilon), \quad A^{ijkl}(\varepsilon)(x) := A^{ijkl,\varepsilon}(x^\varepsilon),
$$

for all $x^\varepsilon \in \bar{\Omega}^\varepsilon$. For all $v = (v_i) \in [H^1(\Omega)]^3$, let there be associated the scaled linearized strains $(e_{[i;j]}(\varepsilon)(v)) \in [L^2(\Omega)]^{3 \times 3}_{sym}$, which we also denote as $(e_{[i;j]}(\varepsilon; v))$, defined by

$$
\begin{align*}
e_{a[\beta]}(\varepsilon; v) &:= \frac{1}{2} (\partial_\beta v_a + \partial_a v_\beta) - \Gamma_{a\beta}^p(\varepsilon)v_p, \quad (10) \\
e_{a[3]}(\varepsilon; v) &:= \frac{1}{2} (\partial_3 v_a + \partial_a v_3) - \Gamma_{a3}^p(\varepsilon)v_p, \quad (11) \\
e_{3[3]}(\varepsilon; v) &:= \frac{1}{\varepsilon} \partial_3 v_3. \quad (12)
\end{align*}
$$

Note that with these definitions it is verified that

$$
e_{[i;j]}^\varepsilon(v^\varepsilon(\pi^\varepsilon(x))) = e_{[i;j]}(\varepsilon; v)(x) \forall x \in \Omega.
$$

Remark 5. The functions $\Gamma^{p}_{ij}(\varepsilon), g(\varepsilon), A^{ijkl}(\varepsilon)$ converge in $C^0(\bar{\Omega}^\varepsilon)$ when $\varepsilon$ tends to zero.
Remark 6  When we consider $\varepsilon = 0$ the functions will be defined with respect to $y \in \tilde{\omega}$. Notice the singularities in (11) and (12) for that case. We shall distinguish the three-dimensional Christoffel symbols from the two-dimensional ones associated to $S$ by using $\Gamma_{\sigma \alpha \beta}^{\varepsilon}$ and $\Gamma_{\sigma \alpha \beta}$, respectively.

In [9, Theorem 3.3-2] we find an important result which shows that under suitable regularity conditions, take for example $\theta \in C^2(\bar{\omega}; \mathbb{R}^3)$, there exists an $\varepsilon_0 > 0$ such that $A_{ijkl}^{\varepsilon}(x)$ is positive-definite, uniformly with respect to $x \in \bar{\Omega}$ and $\varepsilon$, provided that $0 < \varepsilon \leq \varepsilon_0$. Further, the asymptotic behavior of $A_{ijkl}^{\varepsilon}(x)$ is detailed. Indeed, it is satisfied that

$$A_{ijkl}^{\varepsilon}(x) = A_{ijkl}^{0}(x) + \mathcal{O}(\varepsilon)$$

for all $\varepsilon$, $0 < \varepsilon \leq \varepsilon_0$, and

$$A_{\alpha \beta \sigma}^{\varepsilon}(0) = \lambda a_{\alpha \beta} + \mu(a_{\alpha \sigma} a_{\beta \tau} + a_{\alpha \tau} a_{\beta \sigma}), \quad A_{\alpha \beta \sigma}^{333}(0) = \lambda a_{\alpha \beta}, \quad A_{\alpha \beta \sigma}^{\varepsilon}(0) = A_{\alpha \beta \sigma}^{333}(0) = 0.$$  

Moreover, and related with (9), there exists a constant $C_\varepsilon > 0$, independent of the variables and $\varepsilon$, such that

$$\sum_{i,j} |t_{ij}|^2 \leq C_\varepsilon A_{ijkl}^{\varepsilon}(x)t_{kl}t_{ij},$$

for all $\varepsilon$, $0 < \varepsilon \leq \varepsilon_0$, for all $x \in \bar{\Omega}$ and all $t = (t_{ij}) \in S^3$.

Notice that the limits are functions of $y \in \tilde{\omega}$ only, that is, independent of the transversal variable $x_3$. We also recall [9, Theorem 3.3-1], which provides the asymptotic behavior of Christoffel’s symbols $\Gamma_{ij}^{\varepsilon}$, $g_{ij}^{\varepsilon}$ and $g(\varepsilon)$. Indeed, if $\theta \in C^3(\tilde{\omega}; \mathbb{R}^3)$, then

$$\Gamma_{ij}^{\varepsilon} = \Gamma_{ij}^{0} - \varepsilon x_3 b_{ij}^{\varepsilon} + \mathcal{O}(\varepsilon^2), \quad \partial_3 \Gamma_{ij}^{\varepsilon} = \mathcal{O}(\varepsilon), \quad \Gamma_{33}^{\varepsilon} = \Gamma_{33}^{0} = 0,$$  

$$\Gamma_{ij}^{3} = b_{ij}^{3} - \varepsilon x_3 b_{ij}^{3}, \quad \Gamma_{ij}^{33} = -b_{ij}^{3} - \varepsilon x_3 b_{i}^{3} b_{j}^{3} + \mathcal{O}(\varepsilon^2),$$  

$$g_{ij}^{\varepsilon} = a_{ij}^{\varepsilon} + 2\varepsilon x_3 a_{ij}^{\varepsilon} b_{i}^{3} + \mathcal{O}(\varepsilon^2), \quad g_{ij}^{3} = \lambda_{ij}^{3}, \quad g(\varepsilon) = a + \mathcal{O}(\varepsilon).$$

for all $\varepsilon$, $0 < \varepsilon \leq \varepsilon_0$, where the order symbols $\mathcal{O}(\varepsilon)$ and $\mathcal{O}(\varepsilon^2)$ are meant with respect to the norm $\| \cdot \|_{0,\infty,\tilde{\omega}}$ defined by

$$\|w\|_{0,\infty,\tilde{\omega}} = \sup\{|w(x)|; x \in \tilde{\omega}\},$$

and the covariant derivatives $b_{ij}^{\varepsilon}|_{\alpha}$ are defined by

$$b_{ij}^{\varepsilon}|_{\alpha} = \partial_{\alpha} b_{ij}^{\varepsilon} + \Gamma_{\alpha \beta}^{\varepsilon} b_{\beta}^{\varepsilon} - \Gamma_{\alpha \beta}^{\varepsilon} b_{\beta}^{\varepsilon}.$$  

The functions $b_{ij}^{\varepsilon}$, $b_{ij}^{3}$, $\Gamma_{ij}^{\varepsilon}$, $\Gamma_{ij}^{3}$ and $a$ are identified with functions in $C^0(\tilde{\omega})$. Further, there exist constants $a_0$, $g_0$ and $g_1$ such that

$$0 < a_0 \leq a(y) \quad \forall y \in \tilde{\omega},$$  

$$0 < g_0 \leq g(\varepsilon)(x) \leq g_1 \quad \forall x \in \tilde{\omega} \quad \text{and} \quad \forall \varepsilon, 0 < \varepsilon \leq \varepsilon_0.$$  

(19)
Let the scaled heat source \( q(\varepsilon) : \Omega \times (0, T) \to \mathbb{R} \) and scaled applied forces \( f(\varepsilon) : \Omega \times (0, T) \to \mathbb{R}^3 \) and \( h(\varepsilon) : \Gamma_N \times (0, T) \to \mathbb{R}^3 \) be defined by

\[
q^\varepsilon(x) = q(\varepsilon)(x) \quad \forall x \in \Omega, \quad \text{where} \quad x^\varepsilon = \pi^\varepsilon(x) \in \Omega^\varepsilon,
\]

\[
f^\varepsilon(x) = (f^i)^\varepsilon(x) = f(\varepsilon)(f^i(\varepsilon))(x) \quad \forall x \in \Omega, \quad \text{where} \quad x^\varepsilon = \pi^\varepsilon(x) \in \Omega^\varepsilon,
\]

\[
h^\varepsilon(x) = (h^i)^\varepsilon(x) = h(\varepsilon)(h^i(\varepsilon))(x) \quad \forall x \in \Gamma_N, \quad \text{where} \quad x^\varepsilon = \pi^\varepsilon(x) \in \Gamma_N^\varepsilon.
\]

Also, we define the spaces

\[
V(\Omega) = \{ v = (v_i) \in [H^1(\Omega)]^3; v = 0 \text{ on } \Gamma_0 \}, \quad S(\Omega) = \{ \varphi \in H^1(\Omega); \varphi = 0 \text{ on } \Gamma_0 \},
\]

which are Hilbert spaces, with associated norms denoted by \( \| \cdot \|_{1,\Omega} \). The scaled variational problem can then be written as follows:

**Problem 4** Find a pair \( t \mapsto (u(\varepsilon)(x,t), \vartheta(\varepsilon)(x,t)) \) of \( [0, T] \to V(\Omega) \times S(\Omega) \) verifying

\[
\int_\Omega \rho(\ddot{u}_a(\varepsilon)g^{\alpha\beta}(\varepsilon)v_\beta + \dddot{u}_3(\varepsilon)v_3)\sqrt{g(\varepsilon)}dx + \int_\Omega A_{ijkl}(\varepsilon)e_{k||l}(\varepsilon; u(\varepsilon))e_{i||j}(\varepsilon; v)\sqrt{g(\varepsilon)}dx
\]

\[
- \int_\Omega \alpha T(3\lambda + 2\mu)\vartheta(\varepsilon)(e_\alpha^||\beta(\varepsilon; \dot{u}(\varepsilon))g_{\alpha\beta}(\varepsilon) + e_3^||3(\varepsilon; \dot{u}(\varepsilon)))\sqrt{g(\varepsilon)}dx
\]

\[
= \int_\Omega f^i(\varepsilon)v_i\sqrt{g(\varepsilon)}dx + \frac{1}{\varepsilon} \int_{\Gamma_N} h^i(\varepsilon)v_i\sqrt{g(\varepsilon)}d\Gamma \forall v \in V(\Omega), \text{ a.e. in } (0, T),
\]

\[
\int_\Omega \beta \dot{\vartheta}(\varepsilon)\varphi\sqrt{g(\varepsilon)}dx + \int_\Omega k(\partial_3 \vartheta(\varepsilon)g^{\alpha\beta}(\varepsilon)\partial_\beta \varphi + \frac{1}{\varepsilon^2} \partial_3 \vartheta(\varepsilon)\partial_3 \varphi)\sqrt{g(\varepsilon)}dx
\]

\[
+ \int_\Omega \alpha T(3\lambda + 2\mu)\varphi(e_{a||\beta}(\varepsilon; \dot{u}(\varepsilon)))g^{\alpha\beta}(\varepsilon) + e_3^||3(\varepsilon; \dot{u}(\varepsilon)))\sqrt{g(\varepsilon)}dx
\]

\[
= \int_\Omega q(\varepsilon)\varphi\sqrt{g(\varepsilon)}dx \quad \forall \varphi \in S(\Omega), \text{ a.e. in } (0, T),
\]

with \( \dot{u}(\varepsilon)(\cdot, 0) = u(\varepsilon)(\cdot, 0) = 0 \) and \( \vartheta(\varepsilon)(\cdot, 0) = 0 \).

**Remark 7** Notice that the time-dependent version of the linearized strain tensor above is well posed when we define

\[
e_{i||j}(\varepsilon; u(\varepsilon))(t) := e_{i||j}(\varepsilon; u(\varepsilon)(t)).
\]

See for example [18]. Further, as commented earlier, we usually omit the explicit time dependence for the sake of a shorter notation.

**Remark 8** The unique solvability of Problem 4 for \( \varepsilon > 0 \) small enough is similar to Problem 2 and the regularity obtained for the solutions is analogue. In particular, we find \( \dot{u}(\varepsilon)(\cdot, t) \in V(\Omega) \) and \( \dot{\vartheta}(\varepsilon)(\cdot, t) \in S(\Omega) \) a.e. in \( (0, T) \).

We now present some additional results which will be used in the next section. In [9, Theorem 3.4-1], we find the following useful result:
Theorem 2 Let $\omega$ be a domain in $\mathbb{R}^2$ with boundary $\gamma$, let $\Omega = \omega \times (-1, 1)$, and let $g \in L^p(\Omega)$, $p > 1$, be a function such that
\[
\int_{\Omega} g \partial_3 v \, dx = 0, \text{ for all } v \in C^\infty(\Omega) \text{ with } v = 0 \text{ on } \gamma \times [-1, 1].
\]
Then $g = 0$ a.e. in $\Omega$.

We provide here, as a standalone theorem, a result which can be found inside the proof of [9, Theorem 4.4-1].

Theorem 3 Let $X(\Omega) := \{ v \in L^2(\Omega); \partial_3 v \in L^2(\Omega) \} (\partial_3 v$ being a derivative in the sense of distributions). Then, the trace $v(\cdot, z)$ of any function $v \in X(\Omega)$ is well defined as a function in $L^2(\omega)$ for all $z \in [-1, 1]$ and the trace operator defined in this fashion is continuous. In particular, there exists a constant $c_1 > 0$ such that
\[
\|v\|_{L^2(\Gamma_N)} \leq C_1 \left( |v|_{0,\omega}^2 + |\partial_3 v|_{0,\omega}^2 \right)^{1/2}
\]
for all $v \in X(\Omega)$. As consequence there exists a constant $c_2 > 0$ such that
\[
\|v_3\|_{L^2(\Gamma_N)} \leq c_2 \left( \sum_{i,j} |e_{i||j}(\varepsilon; v)|_{0,\omega}^2 \right)^{1/2} \forall v \in V(\Omega).
\]

4 Formal Asymptotic Analysis

In this section we briefly describe the formal procedure to identify possible two-dimensional limit problems, depending on the geometry of the middle surface, the set where the boundary conditions are given, the order of the applied forces (the procedure is described in detail in [9] for elastic shells in the static case). We consider scaled applied forces and heat source of the form
\[
f(\varepsilon)(x) = \varepsilon^m f^m(x), \quad q(\varepsilon)(x) = \varepsilon^m q^m(x) \quad \forall x \in \Omega, \quad h(\varepsilon)(x) = \varepsilon^{m+1} h^{m+1}(x) \quad \forall x \in \Gamma_N,
\]
where $m$ is an integer number that will show the order of the volume, heat source and surface forces, respectively. We substitute in (20) to obtain the following problem:

Problem 5 Find a pair $t \mapsto (u(\varepsilon)(x, t), \vartheta(\varepsilon)(x, t))$ of $[0, T] \to V(\Omega) \times S(\Omega)$ verifying
\[
\int_{\Omega} \rho(\ddot{u}_\alpha(\varepsilon)g^{ab}(\varepsilon)v_\beta + \ddot{u}_3(\varepsilon)v_3)\sqrt{g(\varepsilon)} \, dx + \int_{\Omega} A^{ijkl}(\varepsilon)e_{k||l}(\varepsilon; u(\varepsilon))e_{i||j}(\varepsilon; \nu)\sqrt{g(\varepsilon)} \, dx \\
- \int_{\Omega} \alpha_T (3\lambda + 2\mu)\partial(\vartheta(\varepsilon)(e_{a||b}(\varepsilon; \nu)g^{ab}(\varepsilon) + e_{3||3}(\varepsilon; \nu)))\sqrt{g(\varepsilon)} \, dx \\
= \int_{\Omega} \varepsilon^m f^{i,m} v_i \sqrt{g(\varepsilon)} \, dx + \int_{\Gamma_N} \varepsilon^m h^{i,m+1} v_i \sqrt{g(\varepsilon)} \, d\Gamma \forall \nu \in V(\Omega), \text{ a.e. in } (0, T), \quad (23)
\]
\[
\int_{\Omega} \beta \ddot{\vartheta}(\varepsilon) \varphi \sqrt{g(\varepsilon)} \, dx + \int_{\Omega} k(\partial_\alpha \vartheta(\varepsilon)g^{ab}(\varepsilon)\partial_\beta \varphi + \frac{1}{\varepsilon^2} \partial_3 \vartheta(\varepsilon)\partial_3 \varphi)\sqrt{g(\varepsilon)} \, dx
\]
\[-\int_{\Omega} \alpha_T (3 \lambda + 2 \mu) \phi(e_{a_1b}(\varepsilon; \dot{u}(\varepsilon))) \frac{g^a}{\sqrt{g}}(\varepsilon)dx
\]
\[= \int_{\Omega} \varepsilon^m q^m \phi \frac{\sqrt{g}}{\varepsilon} dx \quad \forall \varepsilon \in S(\Omega), \text{ a.e. in } (0, T), \quad (24)\]

with \( \dot{u}(\varepsilon)(\cdot, 0) = u(\varepsilon)(\cdot, 0) = 0 \) and \( \dot{\vartheta}(\varepsilon)(\cdot, 0) = 0 \).

Assume that \( \theta \in C^3(\overline{\omega}; \mathbb{R}^3) \) and that the scaled unknowns \( u(\varepsilon), \vartheta(\varepsilon) \) admit asymptotic expansions of the form

\[ u(\varepsilon) = u^0(\varepsilon) + \varepsilon u^1(\varepsilon) + \varepsilon^2 u^2(\varepsilon) + \cdots, \]
\[ \vartheta(\varepsilon) = \vartheta^0(\varepsilon) + \varepsilon \vartheta^1(\varepsilon) + \varepsilon^2 \vartheta^2(\varepsilon) + \cdots \]

where \( u^0 \in V(\Omega), u^j \in [H^1(\Omega)]^3, \vartheta^0 \in S(\Omega), \vartheta^j \in H^1(\Omega), j \geq 1 \). The assumption (25) implies an asymptotic expansion of the scaled linear strain as follows

\[ e_{i||j}(\varepsilon) = e_{i||j}(\varepsilon; u(\varepsilon)) = \frac{1}{\varepsilon} e_{i||j}^0(\varepsilon) + \varepsilon e_{i||j}^1(\varepsilon) + \varepsilon^2 e_{i||j}^2(\varepsilon) + \varepsilon^3 e_{i||j}^3(\varepsilon) + \cdots \]

where,

\[
\begin{align*}
& e^{-1}_{a||b}(\varepsilon) = 0,
& e^{-1}_{a||3}(\varepsilon) = \frac{1}{2} \partial_3 u^0_a,
& e^{-1}_{3||3}(\varepsilon) = \partial_3 u^0_3,
& e^{-1}_{a||3}(\varepsilon) = \frac{1}{2} \partial_3 u^1_a,
& e^{-1}_{3||3}(\varepsilon) = \partial_3 u^1_3,
& e^0_{a||b}(\varepsilon) = \frac{1}{2} (\partial_\theta u^0_\theta + \partial_a u^0_\theta) - \Gamma^{a\sigma}_{\alpha\beta} u^0_\sigma - b_{\alpha\beta} u^0_3,
& e^0_{a||3}(\varepsilon) = \frac{1}{2} (\partial_3 u^0_a + \partial_a u^0_3) + b_{3\sigma} u^0_\sigma,
& e^0_{3||3}(\varepsilon) = \partial_3 u^0_3,
& e^1_{a||b}(\varepsilon) = \frac{1}{2} (\partial_\theta u^1_\theta + \partial_a u^1_\theta) - \Gamma^{a\sigma}_{\alpha\beta} u^1_\sigma - b_{\alpha\beta} u^1_3 + x_3 (b^3_{\beta\alpha} u^0_\sigma + b^3_{\sigma\beta} u^0_3),
& e^1_{a||3}(\varepsilon) = \frac{1}{2} (\partial_3 u^2_a + \partial_a u^1_3) + b_{3\sigma} u^1_\sigma + x_3 b^3_{\sigma\beta} u^0_\sigma,
& e^1_{3||3}(\varepsilon) = \partial_3 u^1_3.
\end{align*}
\]

Besides, the functions \( e_{i||j}(\varepsilon; v) \) admit the following expansion,

\[ e_{i||j}(\varepsilon; v) = \frac{1}{\varepsilon} e_{i||j}^0(\varepsilon; v) + e_{i||j}^0(\varepsilon; v) + \varepsilon e_{i||j}^1(\varepsilon; v) + \cdots \]

where,

\[
\begin{align*}
& e^{-1}_{a||b}(v) = 0,
& e^{-1}_{a||3}(v) = \frac{1}{2} \partial_3 v_a,
& e^{-1}_{3||3}(v) = \partial_3 v_3,
& e^0_{a||b}(v) = \frac{1}{2} (\partial_\theta v_\theta + \partial_a v_\theta) - \Gamma^{a\sigma}_{\alpha\beta} v_\sigma - b_{\alpha\beta} v_3,
& e^0_{a||3}(v) = \frac{1}{2} \partial_a v_3 + b_{3\sigma} v_\sigma,
& e^0_{3||3}(v) = 0,
& e^1_{a||b}(v) = x_3 b^3_{\beta\alpha} v_\sigma + x_3 b^3_{\sigma\beta} v_3,
& e^1_{a||3}(v) = x_3 b^3_{\sigma\beta} v_\sigma,
& e^1_{3||3}(v) = 0.
\end{align*}
\]
Upon substitution on (23) and (24), we proceed to characterize the terms involved in the asymptotic expansions by considering different values for $m$ and grouping terms of the same order. In this way, taking in (23) the order $m = -2$ and particular cases of test functions, we reason that $f^{-2} = h^{-1} = 0$, which leads to $\dot{a}_t u^0 = 0$. From (24), we reason that $q^{-2} = 0$ and find that $\dot{a}_t \theta^0 = 0$. Thus the zeroth order terms of both unknowns would be independent of the transversal variable $x_3$. Particularly, $u^0$ can be identified with a function $\xi^0 \in V(\omega)$, and $\theta^0$ can be identified with a function $\zeta^0 \in S(\omega)$ where

$$V(\omega) := \{ \eta = (\eta_i) \in [H^1(\omega)]^3; \eta_i = 0 \text{ on } \gamma_0 \}, \quad S(\omega) := \{ \varphi \in H^1(\omega); \varphi = 0 \text{ on } \gamma_0 \}.$$ 

Taking $m = -1$, and using particular cases of test functions, we reason that $f^{-1} = h^0 = 0$ and we find that

$$e^0_{i|3} = 0, \quad \lambda a^{\alpha \beta} \epsilon^0_{i|\beta} + (\lambda + 2 \mu) e^0_{i|3} = \alpha_T (3 \lambda + 2 \mu) \theta^0, \quad e^0_{i|\beta} = \gamma_{\alpha \beta}(\xi^0),$$

where

$$\gamma_{\alpha \beta}(\eta) := \frac{1}{2} (\partial_{\beta} \eta_\alpha + \partial_\alpha \eta_\beta) - \Gamma^\gamma_{\alpha \beta} \eta_\gamma - b_{\alpha \beta} \eta_3,$$ (26)

denote the covariant components of the linearized change of metric tensor associated with a displacement field $\eta_a a^i$ of the surface $S$. From (24) we reason that $q^{-1} = 0$ and find that $\partial_3 \theta^1 = 0$.

Having these results in mind, for $m = 0$, developing $A^{ijkl}(0)$ and taking $v = \eta \in V(\omega)$ and $\varphi \in S(\omega)$ leads to the following two-dimensional problem, to which we shall refer as thermoelastic membrane problem:

**Problem 6** Find a pair $t \mapsto (\xi^0(y, t), \zeta^0(y, t))$ of $[0, T] \rightarrow V(\omega) \times S(\omega)$ verifying

$$2 \int_\omega \rho (\dddot{\xi}^0 a^{\alpha \beta} \eta_\beta + \dddot{\zeta}^0 \eta_3) \sqrt{\alpha} dy + \int_\omega a^{\alpha \beta \sigma \tau} \gamma_{\sigma \tau}(\xi^0) \gamma_{\alpha \beta}(\eta) \sqrt{\alpha} dy$$

$$- 4 \int_\omega \alpha_T \mu (3 \lambda + 2 \mu) \lambda + 2 \mu \zeta^0 a^{\alpha \beta} \gamma_{\alpha \beta}(\eta) \sqrt{\alpha} dy$$

$$= \int_\omega F^{i,0} \eta_i \sqrt{\alpha} dy \quad \forall \eta = (\eta_i) \in V(\omega), \ a.e. \ in \ (0, T),$$

$$2 \int_\omega \left( \beta + \alpha_T^2 (3 \lambda + 2 \mu)^2 \right) \zeta^0 \varphi \sqrt{\alpha} dy + 2 \int_\omega k \partial_\alpha \zeta^0 a^{\alpha \beta} \partial_\beta \varphi \sqrt{\alpha} dy$$

$$+ 4 \int_\omega \alpha_T \mu (3 \lambda + 2 \mu) \varphi a^{\alpha \beta} \gamma_{\alpha \beta}(\xi^0) \sqrt{\alpha} dy = \int_\omega Q^0 \varphi \sqrt{\alpha} dy \quad \forall \varphi \in S(\omega), \ a.e. \ in \ (0, T),$$

with $\xi^0(\cdot, 0) = \xi^0(\cdot, 0) = 0$ and $\zeta^0(\cdot, 0) = 0$.

Above, we have introduced $F^{i,0} := \int_1^1 f^{i,0} dx_3 + h_N^{i,1}$, with $h_N^{i,1}(\cdot) = h^{i,1}(\cdot, +1)$, and $Q^0 := \int_1^1 q^0 dx_3$. Also, $a^{\alpha \beta \sigma \tau}$ denotes the contravariant components of the fourth order two-dimensional elasticity tensor, defined as follows:

$$a^{\alpha \beta \sigma \tau} := \frac{4 \lambda \mu}{\lambda + 2 \mu} a^{\alpha \beta} a^{\sigma \tau} + 2 \mu (a^{\alpha \alpha} a^{\beta \tau} + a^{\alpha \tau} a^{\beta \sigma}).$$ (27)
The problem above will be analyzed in more detail in the following section. There, we shall study the existence and uniqueness of solution under additional hypotheses of geometric nature and a more suitable set of functional spaces, and provide a rigorous convergence result. To that end, the following ellipticity result for the elasticity tensor will be used. There exists a constant $c_\varepsilon > 0$ independent of the variables and $\varepsilon$, such that

$$
\sum_{\alpha, \beta} |t_{\alpha \beta}|^2 \leq c_\varepsilon a^{\rho \sigma \tau} (y) t_{\sigma \tau} t_{\alpha \beta},
$$

for all $y \in \partial\omega$ and all $t = (t_{\alpha \beta}) \in \mathbb{S}^2$ (vector space of $2 \times 2$ real symmetric matrices).

5 Elliptic Membrane Case. Convergence

In what follows, we assume that the family of three-dimensional linearly thermoelastic shells consist of elliptic membrane shells, that is, the middle surface of the shell $S$ is uniformly elliptic and the boundary condition of place is considered on the whole lateral face of the shell, that is, $\gamma_0 = \gamma$. Further, from the formal asymptotic analysis made in the preceding section, we assume the hypotheses which led to Problem 6, namely,

$$
f(\varepsilon)(x) = f^0(x), \quad q(\varepsilon)(x) = q^0(x) \quad \forall x \in \Omega, \quad h(\varepsilon)(x) = \varepsilon h^1(x) \quad \forall x \in \Gamma_N.
$$

Since there is no possible ambiguity, in what follows we drop the superindices indicating the order of the different functions.

We also recall that for elliptic membranes it is verified the following two-dimensional Korn’s type inequality (see, for example, [9, Theorem 2.7-3]): there exists a constant $c_M = c_M(\omega, \theta) > 0$ such that

$$
\left( \sum_\alpha \| \eta_\alpha \|^2_{1,\omega} + |\eta_3|^2_{0,\omega} \right)^{1/2} \leq c_M \left( \sum_{\alpha, \beta} |\gamma_{\alpha \beta}(\eta)|^2_{0,\omega} \right)^{1/2} \quad \forall \eta \in V_M(\omega),
$$

where

$$
V_M(\omega) := H^1_0(\omega) \times H^1_0(\omega) \times L^2(\omega),
$$

is the right space for the well-posedness of Problem 6. In this section and in the sequel, $C$ represents a positive generic constant whose specific value may change from line to line, independent of $\varepsilon$ and the unknowns. Besides, for the sake of simplicity, we assume that all the parameters involved are constant. Also, the notation $\bar{v}$ stands for the average on $x_3$, i.e.,

$$
\bar{v} := \frac{1}{2} \int_{-1}^1 v(x_3) dx_3.
$$

To favour a clearer exposition, let us reformulate Problem 6:

**Problem 7** Find a pair $t \mapsto (\xi(y, t), \zeta(y, t))$ of $[0, T] \rightarrow V_M(\omega) \times H^1_0(\omega)$ verifying

$$
\begin{align*}
2 \int_\omega \rho(\dddot{\xi}_\alpha a^{\rho \beta} \eta_\beta + \dddot{\xi}_3 \eta_3) \sqrt{\alpha} dy + \int_\omega a^{\rho \sigma \tau} \gamma_{\sigma \tau}(\xi) \gamma_{\alpha \beta}(\eta) \sqrt{\alpha} dy \\
- 4 \int_\omega \alpha_T \mu (3\lambda + 2\mu) \frac{\lambda + 2\mu}{\lambda} \xi a^{\rho \beta} \gamma_{\alpha \beta}(\eta) \sqrt{\alpha} dy \\
= \int_\omega F^i \eta_i \sqrt{\alpha} dy \quad \forall \eta = (\eta_i) \in V_M(\omega), \ a.e. \ in \ (0, T),
\end{align*}
$$

(30)
The following shows that there is a unique solution for this problem.

**Theorem 4** Let \( \omega \) be a domain in \( \mathbb{R}^2 \), let \( \theta \in C^2(\bar{\omega}; \mathbb{R}^3) \) be an injective mapping such that the two vectors \( a_0 = \partial_\gamma \theta \) are linearly independent at all points of \( \bar{\omega} \). Let \( f^i \) and \( q \in H^1(0, T; L^2(\Omega)) \), \( h^i \in H^2(0, T; L^2(\Gamma_N)) \). Then the Problem 7, has a unique solution \((\xi, \zeta)\) such that

\[
\begin{align*}
\xi & \in L^\infty(0, T; V_M(\omega)), \\
\dot{\xi} & \in L^\infty(0, T; [L^2(\omega)]^3) \cap L^\infty(0, T; V_M(\omega)), \\
\ddot{\xi} & \in L^\infty(0, T; [L^2(\omega)]^3), \\
\zeta & \in L^\infty(0, T; L^2(\omega)) \cap L^2(0, T; H^1_0(\omega)), \\
\dot{\zeta} & \in L^\infty(0, T; L^2(\omega)) \cap L^2(0, T; H^2_0(\omega)).
\end{align*}
\]

Like in Theorem 1, we can cast this problem into the setting of problems solved in [12] or [16, p. 359], for example. Details can be found in [2].

Now, we present here the main result of this paper, namely that the scaled three-dimensional unknowns \((u(\epsilon), \vartheta(\epsilon))\) converge, as \( \epsilon \) tends to zero, towards a limit \((u, \vartheta)\) independent of the transversal variable, and that this limit can be identified with the solution \((\xi, \zeta)\) of the Problem 7, posed over the two-dimensional set \( \omega \).

In what follows, and for the sake of simplicity, we assume that for each \( \epsilon > 0 \) the initial condition for the scaled linear strain is

\[ e_{i||j}(\epsilon)(0, \cdot) = 0, \]  

this is, the domain is on its natural state with no strains on it at the beginning of the period of observation.

**Theorem 5** Assume that \( \theta \in C^3(\bar{\omega}; \mathbb{R}^3) \). Consider a family of elastic elliptic shells with thickness \( 2\epsilon \) approaching zero and all sharing the same elliptic middle surface \( S = \theta(\bar{\omega}) \). For all \( \epsilon, 0 < \epsilon \leq \epsilon_0 \) let \((u(\epsilon), \vartheta(\epsilon))\) be the solution of the associated three-dimensional scaled Problem 5 for \( m = 0 \). Then, there exist functions \( \vartheta, u_a \in H^1(\Omega) \) satisfying \( \vartheta = 0, u_a = 0 \) on \( \gamma \times [-1, 1] \) and a function \( u_3 \in L^2(\Omega) \), such that

(a) \( \vartheta(\epsilon) \to \vartheta, u_a(\epsilon) \to u_a \) in \( H^1(\Omega) \) and \( u_3(\epsilon) \to u_3 \) in \( L^2(\Omega) \) when \( \epsilon \to 0 \) a.e. in \((0, T)\),

(b) \( \vartheta \) and \( u = (u_i) \) are independent of the transversal variable \( x_3 \).

Furthermore, the pair \((u, \vartheta)\) can be identified with the solution of Problem 7.

**Proof** We follow the structure of the proof given in [9, Theorem 4.4-1] for the case of elastic elliptic membrane shells. Hence, we shall reference some steps which apply in the same manner and omit some details. Also, for the sake of readability we may use the shorter notations \( e_{i||j}(\epsilon) := e_{i||j}(\epsilon; u(\epsilon)) \). In addition to that, all references to (23) or (24) have to be considered as for \( m = 0 \) and drop the superindices. The proof is divided into several parts, numbered from (i) to (v).
(i) A priori boundedness and extraction of weak convergent sequences. For $\varepsilon > 0$ sufficiently small, there exist bounded sequences, also indexed by $\varepsilon$, and weak limits as specified below:

\[
\begin{align*}
\dot{u}_a(\varepsilon) & \xrightarrow[*]{\varepsilon \to 0} u_a \quad \text{in} \quad L^\infty(0, T; H^1(\Omega)), \\
\dot{u}(\varepsilon) & \xrightarrow[*]{\varepsilon \to 0} \dot{u} \quad \text{in} \quad L^\infty(0, T; [L^2(\Omega)]^3), \\
\varepsilon |\dot{\vartheta}(\varepsilon)| & \xrightarrow[*]{\varepsilon \to 0} \varepsilon |\dot{\vartheta}| \quad \text{in} \quad L^2(0, T; L^2(\Omega)), \\
\varepsilon^{-1} |\partial_3 \vartheta(\varepsilon)| & \xrightarrow[*]{\varepsilon \to 0} \varepsilon^{-1} |\partial_3 \vartheta| \quad \text{in} \quad L^2(0, T; L^2(\Omega)).
\end{align*}
\]

Moreover, $\vartheta, u_a = 0$ on $\Gamma_0$.

For the proof of this step we take $v = \dot{u}(\varepsilon)$ in (23) (see Remark 8) and $\varphi = \vartheta(\varepsilon)$ in (24) and sum both expressions to find

\[
\int_\Omega \rho (\ddot{u}_a(\varepsilon)g^{ab}(\varepsilon)\dot{u}_b(\varepsilon) + \ddot{u}_3(\varepsilon)\dot{u}_3(\varepsilon))\sqrt{g(\varepsilon)} \, dx + \int_\Omega A^{ijkl}(\varepsilon) e_{k||j}(\varepsilon)e_{l||j}(\varepsilon)\sqrt{g(\varepsilon)} \, dx \\
+ \int_\Omega \beta \dot{\vartheta}(\varepsilon)\dot{\vartheta}(\varepsilon)\sqrt{g(\varepsilon)} \, dx + \int_\Omega k(\partial_a \vartheta(\varepsilon)g^{ab}(\varepsilon)\partial_b \vartheta(\varepsilon) + \frac{1}{\varepsilon^2} \partial_3 \vartheta(\varepsilon)\partial_3 \vartheta(\varepsilon))\sqrt{g(\varepsilon)} \, dx \\
= \int_\Omega f^i \dot{u}_i(\varepsilon)\sqrt{g(\varepsilon)} \, dx + \int_{\Gamma_N} h^i \dot{u}_i(\varepsilon)\sqrt{g(\varepsilon)} \, d\Gamma + \int_\Omega q \vartheta(\varepsilon)\sqrt{g(\varepsilon)} \, dx. \tag{33}
\]

We now introduce the following norms:

\[
|v|_{g(\varepsilon), \Omega}^2 := \int_\Omega (v_a g^{ab}(\varepsilon)v_b + (v_3)^2)\sqrt{g(\varepsilon)} \, dx \quad \forall v \in [L^2(\Omega)]^3,
\]

which is equivalent to the usual norm $|\cdot|_{0, \Omega}$ because of the ellipticity of $(g^{ab}(\varepsilon))$ and the regularity of $\Theta$. Also,

\[
\|v\|_{A(\varepsilon), \Omega}^2 := \int_\Omega A^{ijkl}(\varepsilon)e_{k||j}(\varepsilon)v_{l||j}(\varepsilon)\sqrt{g(\varepsilon)} \, dx \quad \forall v \in V(\Omega),
\]

which is a norm in $V(\Omega)$ because of the Korn inequality (see [9, Theorem 4.4-1]) and the ellipticity of $A^{ijkl}(\varepsilon)$. Finally,

\[
||\varphi||_{g(\varepsilon), \Omega} := \int_\Omega \partial_a \varphi g^{ab}(\varepsilon)\partial_b \varphi\sqrt{g(\varepsilon)} \, dx,
\]

which is a seminorm in $S(\Omega)$. Because of the uniform ellipticity of the tensors and matrices involved, and the properties of $g(\varepsilon)$, we are going to be able to use constants independent of $\varepsilon$ in the estimates below. Indeed, going back to (33), we obtain

\[
\begin{align*}
\frac{\rho}{2} \frac{d}{dt} \{ |\dot{u}(\varepsilon)|_{g(\varepsilon), \Omega}^2 \} + \frac{1}{2} \frac{d}{dt} \{ \| u(\varepsilon) \|_{A(\varepsilon), \Omega}^2 \} \\
+ \frac{\beta}{2} \frac{d}{dt} \{ |\vartheta(\varepsilon)|_{0, \Omega}^2 \} + k ||\vartheta(\varepsilon)||_{g(\varepsilon), \Omega}^2 + \frac{k}{\varepsilon^2} ||\partial_3 \vartheta(\varepsilon)||_{0, \Omega}^2
\end{align*}
\]
\[ \int_{\Omega} f^{i} \dot{u}(\epsilon) \sqrt{g(\epsilon)} dx + \int_{\Gamma_N} h^{i} \dot{u}(\epsilon) \sqrt{g(\epsilon)} d\Gamma + \int_{\Omega} q \vartheta(\epsilon) \sqrt{g(\epsilon)} dx. \]

Integrating in \([0, t]\) with respect to the time variable, using the equivalences mentioned above, together with the uniformity with respect to \(\epsilon\) of the constants involved in those equivalences, integrating by parts the term with the tractions \(h^{i}\), using Theorem 3 and Young’s inequality, we find that there exist a constant \(C > 0\) independent of \(\epsilon\) such that

\[
|\dot{u}(\epsilon)(t)|_{0, \Omega}^2 + |e_{i||j}(\epsilon)(t)|_{0, \Omega}^2 + |\vartheta(\epsilon)(t)|_{0, \Omega}^2 + \int_{0}^{t} \left( |\partial_\alpha \vartheta(\epsilon)(r)|_{0, \Omega}^2 + \frac{1}{\epsilon^2} |\partial_3 \vartheta(\epsilon)(r)|_{0, \Omega}^2 \right) dr
\]

\[ \leq C \left( \int_{0}^{t} |\dot{u}(\epsilon)(r)|_{0, \Omega}^2 dr + \int_{0}^{t} |\vartheta(\epsilon)(r)|_{0, \Omega}^2 dr + \int_{0}^{t} |e_{i||j}(\epsilon)(r)|_{0, \Omega}^2 dr \right. \]

\[ \left. + \int_{0}^{t} |f(r)|_{0, \Omega}^2 dr + \int_{0}^{t} |q(r)|_{0, \Omega}^2 dr + \int_{0}^{t} |\dot{h}(r)|_{0, \Gamma_N}^2 dr + |\dot{h}(t)|_{0, \Gamma_N}^2 \right). \]

Hence, by using Gronwall’s inequality and the three-dimensional Korn’s inequality that can be found in [9, Theorem 4.3-1], all the assertions of (i) follow.

(ii) The limits of the scaled unknowns, \(u_i\), \(\vartheta\) found in Step (i) are independent of \(x_3\).

The part corresponding to \(u_i\) is analogous to the Step (ii) in [9, Theorem 4.4-1], so we omit it. Regarding \(\vartheta\), its independence on \(x_3\) is a consequence of the boundedness of \(\{\epsilon^{-1} \partial_3 \vartheta(\epsilon)\}\).

(iii) The limits \(e_{i||j}\) found in (i) are independent of the variable \(x_3\). Moreover, they are related with the limits \(u := (u_i)\) and \(\vartheta\) by

\[ e_{\alpha||\beta} = \gamma_{\alpha\beta}(u) := \frac{1}{2} (\partial_\alpha u_\beta + \partial_\beta u_\alpha) - \Gamma^\sigma_{\alpha\beta} u_\sigma - b_{\alpha\beta} u_3, \]

\[ e_{\alpha||3} = 0, \]

\[ e_{3||3} = \alpha_T (3\lambda + 2\mu) \frac{\lambda}{\lambda + 2\mu} \vartheta - \frac{\lambda}{\lambda + 2\mu} a^{\alpha\beta} e_{\alpha||\beta}. \]

Indeed, first considering \(v = u(\epsilon)\) in (10) and \(\eta = u\) in (26) (par abus de langage, since \(u\) is independent of \(x_3\), but actually \(u \in [H^1(\Omega)]^2 \times L^2(\Omega)\)), taking into account Step (i) and the convergences \(\Gamma^\sigma_{\alpha\beta}(\epsilon) \to \Gamma^\sigma_{\alpha\beta}\) and \(\Gamma^3_{\alpha\beta}(\epsilon) \to b_{\alpha\beta}\) in \(C^0(\hat{\Omega})\) given by (16)–(18), we have that

\[ e_{\alpha||\beta}(\epsilon) \to \frac{1}{2} (\partial_\alpha u_\beta + \partial_\beta u_\alpha) - \Gamma^\sigma_{\alpha\beta}(\epsilon) u_\sigma \]
\[ \varepsilon e_{3|3}(\varepsilon; \mathbf{v}) = \partial_3 v_3 \text{ in } L^2(\Omega), \text{ for all } \varepsilon > 0. \]

Now, for all \( \mathbf{v} \in V(\Omega) \), in (23) we can take as test function \( \varepsilon \mathbf{v} \in V(\Omega) \). Then, taking into account (8), we have

\[
\varepsilon \int_{\Omega} \rho(\ddot{\mathbf{u}}_a(\varepsilon)g^{\alpha\beta}(\varepsilon)v_\beta + \dddot{\mathbf{u}}_3(\varepsilon)v_3)\sqrt{g(\varepsilon)}\,dx + \varepsilon \int_{\Omega} A^{ijkl}(\varepsilon)e_{k||l}(\varepsilon)e_{i||j}(\varepsilon; \mathbf{v})\sqrt{g(\varepsilon)}\,dx \\
- \int_{\Omega} \alpha_T(3\lambda + 2\mu)\dot{\vartheta}(\varepsilon)(\varepsilon e_{a||\beta}(\varepsilon; \mathbf{v})g^{\alpha\beta}(\varepsilon) + \varepsilon e_{3|3}(\varepsilon; \mathbf{v}))\sqrt{g(\varepsilon)}\,dx \\
= \varepsilon \int_{\Omega} f ivi\sqrt{g(\varepsilon)}\,dx.
\]

Passing to the limit as \( \varepsilon \to 0 \), decomposing \( A^{ijkl}(\varepsilon) \) into the components with different asymptotic behaviour (see (13)–(14)), the properties of \( g(\varepsilon) \) (see (19)) and the convergences in Step (i), we obtain the following equality:

\[
\int_{\Omega} \left( 2\mu a^{\alpha\sigma}e_{a||3}\partial_3 v_\sigma + (\lambda + 2\mu)e_{3|3}\partial_3 v_3 \right)\sqrt{a}\,dx + \int_{\Omega} \lambda a^{\alpha\beta}e_{a||\beta}\partial_3 v_3\sqrt{a}\,dx \\
= \int_{\Omega} \alpha_T(3\lambda + 2\mu)\dot{\vartheta}\partial_3 v_3\sqrt{a}\,dx \quad \forall \mathbf{v} \in V(\Omega), \text{ a.e. in } (0, T). \tag{36}
\]

By taking particular test functions and using Theorem 2, we deduce (34). Then, we go back to (36) and use again Theorem 2 to deduce (35). The independence of \( e_{3|3} \) on \( x_3 \) is a consequence of this relation, as well.

(iv) We find a limit two-dimensional problem verified by functions \( \mathbf{\bar{u}} = (\mathbf{\bar{u}}_i) \) and \( \mathbf{\bar{\vartheta}}. \) In particular, since the solution of this problem is unique, the convergences on Step (i) are verified for the whole families \( (\mathbf{u}(\varepsilon))_{\varepsilon>0} \) and \( (\mathbf{\vartheta}(\varepsilon))_{\varepsilon>0}. \) We have that \( \mathbf{\bar{u}}(t) = (\mathbf{\bar{u}}_i(t)) \in V_M(\omega) \) and \( \mathbf{\bar{\vartheta}}(t) \in S(\omega) \) a.e. in \( (0, T). \)

By using [9, Theorem 4.2-1] (parts (a) and (b)), and Step (ii) we find that \( \bar{u}_a \in H^1_0(\omega) \) and \( \bar{\vartheta} \in H^1_0(\omega). \) Therefore, \( \mathbf{\bar{u}} \in V_M(\omega) \) a.e. in \( (0, T). \) Now, let \( \mathbf{v} = (v_i) \in V(\Omega) \) be independent of the variable \( x_3. \) Then, the asymptotic behaviour of the functions \( \Gamma^p_{\alpha\beta}(\varepsilon) \) and \( \Gamma^p_{\alpha3}(\varepsilon) \) (see (16)–(18)) implies the following convergences when \( \varepsilon \to 0 \) (see (10)–(12)):

\[
e_{a||\beta}(\varepsilon; \mathbf{v}) \to \gamma_{\alpha\beta}(\mathbf{v}) := \frac{1}{2}(\partial_\alpha v_\beta + \partial_\beta v_\alpha) - \Gamma^p_{\alpha\beta}v_\alpha - b_{\alpha\beta}v_3 \text{ in } L^2(\Omega), \tag{37}
\]

\[
e_{a||3}(\varepsilon; \mathbf{v}) \to \frac{1}{2}\partial_\alpha v_3 + b^\alpha_3 v_\alpha \text{ in } L^2(\Omega), \quad e_{3|3}(\varepsilon; \mathbf{v}) = 0. \tag{38}
\]

Having this in mind, let now \( \mathbf{v} = (v_i) \in V(\Omega) \) be independent of \( x_3 \) in (23) and take the limit when \( \varepsilon \to 0. \) In the process, we make use of the asymptotic behaviour of \( A^{ijkl}(\varepsilon) \) (see (13)–(14)) and \( g(\varepsilon) \) (see (19)), take into account the weak convergences \( e_{i||j}(\varepsilon) \to e_{i||j} \) in \( L^\infty(0, T; L^2(\Omega)), \) simplify by using (34) and consider the precise limits of the functions \( e_{i||j}(\varepsilon; \mathbf{v}) \) in (37)–(38). As a result, we obtain the equality

\[
\int_{\Omega} \rho(\ddot{\bar{u}}_a a^{\alpha\beta}v_\beta + \dddot{\bar{u}}_3 v_3)\sqrt{a}\,dx
\]
\[ + \int_{\Omega} \left( \lambda a^{\alpha\beta} a^{\sigma\tau} + \mu (a^{\alpha\sigma} a^{\beta\tau} + a^{\alpha\tau} a^{\beta\sigma}) \right) e_{\sigma||\tau} \gamma_{\alpha\beta}(v) \sqrt{\alpha} dx \]
\[ + \int_{\Omega} \lambda a^{\alpha\beta} e_{3|3} \gamma_{\alpha\beta}(v) \sqrt{\alpha} dx \]
\[ - \int_{\Omega} \alpha_T (3\lambda + 2\mu) \partial a^{\alpha\beta} \gamma_{\alpha\beta}(v) \sqrt{\alpha} dx \]
\[ = \int_{\Omega} f^i v_i \sqrt{\alpha} dx + \int_{\Gamma_N} h^i v_i \sqrt{\alpha} d\Gamma \text{ a.e. in } (0, T). \quad (39) \]

Using (35) and since \( u, v \) and \( \vartheta \) are all independent of \( x_3 \) (see Step (ii)), we can identify them with their averages and we obtain from (39) that
\[ 2 \int_{\omega} \rho (\ddot{u}_a a^{ab} \dddot{v}_b + 2 \dddot{u}_3 \dddot{v}_3) \sqrt{\alpha} dy + \int_{\omega} a^{\alpha\beta\gamma\delta} \gamma_{\gamma\delta} (\dddot{u}) \gamma_{\alpha\beta} (\dddot{v}) \sqrt{\alpha} dy \]
\[ - 4 \int_{\omega} \alpha_T \mu (3\lambda + 2\mu) \partial a^{\alpha\beta} \gamma_{\alpha\beta} (\dddot{u}) \sqrt{\alpha} dy \]
\[ = \int_{\omega} \left( \int_{-1}^1 f^i dx_3 \right) \dddot{v}_i \sqrt{\alpha} dy + \int_{\Gamma_N} h^i \dddot{v}_i \sqrt{\alpha} d\Gamma, \text{ a.e. in } (0, T), \quad (40) \]

where \( a^{\alpha\beta\gamma\delta} \) denotes the contravariant components of the fourth order two-dimensional tensor defined in (27). Now, given \( \eta = (\eta_i) \in [H^1_0(\omega)]^3 \), we can define \( v = (v_i) \) such that \( v(y, x_3) = \eta(y) \) for all \( (y, x_3) \in \Omega \). Then \( v \in V(\Omega) \) and it is independent of \( x_3 \); hence, as a consequence of [9, Theorem 4.2-1], the variational problems above are satisfied for \( \dddot{v} = \eta \). Since both sides of the equation above are continuous linear forms with respect to \( \dddot{v}_3 = \eta_3 \in L^2(\omega) \) for any given \( \dddot{v}_a \in H^1_0(\omega) \), these expressions are valid for all \( \eta = (\eta_i) \in V_M(\omega) \), since \( H^1_0(\omega) \) is dense in \( L^2(\omega) \).

Similarly, let \( \varphi \in S(\Omega) \) be independent of \( x_3 \) in (24) and take the limit when \( \varepsilon \to 0 \).

We take into account the weak convergences in Step (i), simplify by using the time derivative of (35). As a result, we obtain the equality
\[ 2 \int_{\omega} \left( \beta + \frac{\alpha_T^2 (3\lambda + 2\mu)}{\lambda + 2\mu} \right) \dot{\gamma} \varphi \sqrt{\alpha} dy + 2 \int_{\omega} k \partial_a \dot{\gamma} a^{\alpha\beta} \partial_\beta \varphi \sqrt{\alpha} dy \]
\[ + 4 \int_{\omega} \alpha_T \mu (3\lambda + 2\mu) \varphi a^{\alpha\beta} \gamma_{\alpha\beta} (\dddot{u}) \sqrt{\alpha} dy = \int_{\omega} Q \varphi \sqrt{\alpha} dy \quad \forall \varphi \in H^1_0(\omega), \quad (41) \]

hence obtaining (31), with \( \zeta \) identified with \( \dddot{\vartheta} \).

(v) The weak convergences are, in fact, strong.

For this step we first consider a case without tractions, that is, we take \( h = 0 \). Then we will show the changes to be made for the case with tractions. In both cases we are using a monotonicity argument. We define the quantity:
\[ \Lambda(\varepsilon) := \int_{\Omega} A^{ijkl}(\varepsilon)(e_{k||j}(\varepsilon) - e_{k||j}(\varepsilon)) \sqrt{g(\varepsilon)} dx \]
\[ + \int_{\Omega} \rho ((\dddot{u}_a - \dddot{u}_a) g^{ab}(\dddot{u}_b - \dddot{u}_b) + (\dddot{u}_3 - \dddot{u}_3)(\dddot{u}_3 - \dddot{u}_3)) \sqrt{g(\varepsilon)} dx \]
\[ + \int_{\Omega} \beta((\dddot{\vartheta} - \dddot{\vartheta})(\dddot{\vartheta} - \dddot{\vartheta}) \sqrt{g(\varepsilon)} dx \]
\[ + \int_{\Omega} \left[ k \left( \partial_{\alpha}(\vartheta(\varepsilon) - \vartheta) g^{\alpha\beta}(\varepsilon) \partial_{\beta}(\vartheta(\varepsilon) - \vartheta) + \frac{1}{\varepsilon^2} (\partial_3(\vartheta(\varepsilon) - \vartheta))^2 \right) \right] \sqrt{g(\varepsilon)} dx. \]

On one hand, we integrate with respect to the time variable in \([0, t]\) and take into account (32) and the initial conditions in Problem 5 to obtain

\[
2 \int_{0}^{t} \Lambda(\varepsilon) \, dr = \int_{\Omega} A^{ijkl}(\varepsilon)(e_{k||j}(\varepsilon) - e_{i||j}(\varepsilon) - e_{i||j}) \sqrt{g(\varepsilon)} dx
+ \int_{\Omega} \rho \left( (\dot{u}_a(\varepsilon) - \dot{u}_a) g^{a\beta}(\varepsilon) (\dot{u}_\beta(\varepsilon) - \dot{u}_\beta) + (\dot{u}_3(\varepsilon) - \dot{u}_3)^2 \right) \sqrt{g(\varepsilon)} dx
+ \int_{\Omega} \beta(\vartheta(\varepsilon) - \vartheta)^2 \sqrt{g(\varepsilon)} dx
+ 2 \int_{0}^{t} \int_{\Omega} k \left( \partial_{\alpha}(\vartheta(\varepsilon) - \vartheta) g^{\alpha\beta}(\varepsilon) \partial_{\beta}(\vartheta(\varepsilon) - \vartheta) + \frac{1}{\varepsilon^2} (\partial_3(\vartheta(\varepsilon) - \vartheta))^2 \right) \sqrt{g(\varepsilon)} dx \, dr,
\]

and as consequence of (15) and (19), we find

\[
\int_{0}^{t} \Lambda(\varepsilon) \, ds \geq C \left( |\dot{u}(\varepsilon)| - \dot{u}|_{0,\Omega}^2 + |e_{i||j}(\varepsilon) - e_{i||j}|_{0,\Omega}^2 + |\vartheta(\varepsilon) - \vartheta|_{0,\Omega}^2 \right)
+ \int_{0}^{t} |\partial_\alpha \vartheta(\varepsilon) - \partial_\alpha \vartheta|_{0,\Omega}^2 \, ds + \frac{1}{\varepsilon^2} \int_{0}^{t} |\partial_3 \vartheta(\varepsilon) - \partial_3 \vartheta|_{0,\Omega}^2 \, ds. \quad (43)
\]

On the other hand, from the expression of \(\Lambda(\varepsilon)\) and making use of (23)–(24) for \(v = \dot{u}(\varepsilon)\) and \(\varphi = \vartheta(\varepsilon)\), we deduce that

\[
\Lambda(\varepsilon) = \int_{\Omega} \dot{f} \dot{u}_i(\varepsilon) \sqrt{g(\varepsilon)} dx - \frac{d}{dt} \int_{\Omega} A^{ijkl}(\varepsilon) e_{k||j}(\varepsilon) e_{i||j} \sqrt{g(\varepsilon)} dx
- \frac{d}{dt} \int_{\Omega} \rho \dot{u}_a(\varepsilon) g^{a\beta}(\varepsilon) \dot{u}_\beta \sqrt{g(\varepsilon)} dx + \int_{\Omega} \rho \dot{u}_a(\varepsilon) g^{a\beta}(\varepsilon) \dot{u}_\beta \sqrt{g(\varepsilon)} dx
- \frac{d}{dt} \int_{\Omega} \rho \dot{u}_3(\varepsilon) \dot{u}_3 \sqrt{g(\varepsilon)} dx + \int_{\Omega} \rho \dot{u}_3(\varepsilon) \dot{u}_3 \sqrt{g(\varepsilon)} dx + \int_{\Omega} A^{ijkl}(\varepsilon) e_{k||j} \dot{e}_{i||j} \sqrt{g(\varepsilon)} dx
+ \int_{\Omega} q \vartheta(\varepsilon) \sqrt{g(\varepsilon)} dx - \frac{d}{dt} \int_{\Omega} \beta \vartheta(\varepsilon) \vartheta \sqrt{g(\varepsilon)} dx + \int_{\Omega} \beta \vartheta \vartheta \sqrt{g(\varepsilon)} dx
- \int_{\Omega} k \partial_\alpha \vartheta(\varepsilon) g^{a\beta}(\varepsilon) \partial_\beta (\vartheta(\varepsilon) - \vartheta) \sqrt{g(\varepsilon)} dx - \int_{\Omega} k \partial_\alpha \vartheta(\varepsilon) g^{a\beta}(\varepsilon) \partial_\beta \vartheta \sqrt{g(\varepsilon)} dx
- \frac{1}{\varepsilon^2} \int_{\Omega} k \partial_3 \partial_3 (\vartheta(\varepsilon) - \vartheta) \sqrt{g(\varepsilon)} dx - \frac{1}{\varepsilon^2} \int_{\Omega} k \partial_3 \vartheta(\varepsilon) \partial_3 \vartheta \sqrt{g(\varepsilon)} dx. \quad (44)
\]

Integrating with respect to the time variable in \([0, t]\) and taking into account the initial conditions given in Problem 5 and (32), we obtain

\[
\int_{0}^{t} \Lambda(\varepsilon) \, ds = \int_{0}^{t} \int_{\Omega} f \dot{u}_i(\varepsilon) \sqrt{g(\varepsilon)} dx \, dr - \int_{\Omega} A^{ijkl}(\varepsilon) e_{k||j}(\varepsilon) e_{i||j} \sqrt{g(\varepsilon)} dx
+ \int_{0}^{t} \int_{\Omega} A^{ijkl}(\varepsilon) e_{k||j} \dot{e}_{i||j} \sqrt{g(\varepsilon)} dx \, dr - \int_{\Omega} \rho \dot{u}_a(\varepsilon) g^{a\beta}(\varepsilon) \dot{u}_\beta \sqrt{g(\varepsilon)} dx
\]
\[
\int_0^t \int_{\Omega} \rho \dddot{u}_\alpha g^{\alpha \beta}(\varepsilon) \dddot{u}_\beta \sqrt{g(\varepsilon)} \, dx \, dr - \int_0^t \rho \dddot{u}_3 \dddot{u}_3 \sqrt{g(\varepsilon)} \, dx \\
+ \int_0^t \int_{\Omega} \rho \dddot{u}_3 \dddot{u}_3 \sqrt{g(\varepsilon)} \, dx \, dr + \int_0^t \int_{\Omega} q \dddot{\vartheta}(\varepsilon) \sqrt{g(\varepsilon)} \, dx \\
+ \int_0^t \int_{\Omega} \beta \dddot{\vartheta} \sqrt{g(\varepsilon)} \, dx \\
- \int_0^t \int_{\Omega} k \dddot{\vartheta}(\varepsilon) \sqrt{g(\varepsilon)} \, dx \\
- \frac{1}{\varepsilon^2} \int_0^t \int_{\Omega} k \dddot{\vartheta}(\varepsilon) \dddot{\vartheta}(\varepsilon) \, dx - \frac{1}{\varepsilon^2} \int_0^t \int_{\Omega} k \dddot{\vartheta}(\varepsilon) \dddot{\vartheta}(\varepsilon) \sqrt{g(\varepsilon)} \, dx 
\]

Take into account that \( \dddot{\vartheta} = 0 \), and let \( \varepsilon \to 0 \). Using the weak convergences studied in steps (i) and (iv), the asymptotic behaviour of the functions \( A_{ijkl}(\varepsilon) \) and \( g(\varepsilon) \) (see (13)–(14) and (19)) and the Lebesgue dominated convergence theorem, we find that

\[
\lim_{\varepsilon \to 0} \int_0^t \Lambda(\varepsilon) \, dr = \int_0^t \int_{\Omega} f \dddot{u}_i \sqrt{g} \, dx \\
+ \int_0^t \int_{\Omega} \rho \dddot{u}_3 \dddot{u}_3 \sqrt{g(\varepsilon)} \, dx \\
+ \int_0^t \int_{\Omega} \dddot{\vartheta}(\varepsilon) \sqrt{g(\varepsilon)} \, dx \\
- \int_0^t \int_{\Omega} \dddot{\vartheta}(\varepsilon) \sqrt{g(\varepsilon)} \, dx \\
- \frac{1}{\varepsilon^2} \int_0^t \int_{\Omega} k \dddot{\vartheta}(\varepsilon) \dddot{\vartheta}(\varepsilon) \sqrt{g(\varepsilon)} \, dx 
\]

Moreover, by the expressions of \( A_{ijkl}(0) \) (see (13)–(14)) and using (34) we have

\[
\int_{\Omega} A_{ijkl}(0) e_{k||i} e_{l||j} \, dx = \int_{\Omega} \left( \lambda a^{\alpha \beta} a^{\sigma \tau} + \mu (a^{\alpha \sigma} a^{\beta \tau} + a^{\alpha \tau} a^{\beta \sigma}) \right) e_{\sigma || i} e_{\beta || j} \, dx \\
+ \int_{\Omega} \lambda a^{\alpha \beta} e_{3||i} e_{\alpha || j} \, dx + \int_{\Omega} \left( \lambda a^{\alpha \tau} e_{\sigma || i} + (\lambda + 2\mu) e_{3||i} \right) e_{3||j} \, dx 
\]

Then, using (35), we find that (45) is actually null, since its expression above coincides with the result of adding (40) for \( \bar{v} = \dddot{u} \) to (41) for \( \varphi = \dddot{\vartheta} \) (both integrated in \([0, t]\)). Indeed,

\[
\lim_{\varepsilon \to 0} \int_0^t \Lambda(\varepsilon) \, dr = \int_0^t \left( \int_{\Omega} f \dddot{u}_i \sqrt{g} \, dx - \int_{\Omega} \rho \dddot{u}_3 \dddot{u}_3 \sqrt{g} \, dx \\
- \int_{\Omega} \rho \dddot{u}_3 \dddot{u}_3 \sqrt{g} \, dx \right) \dddot{\vartheta} \sqrt{g} \, dx \\
- \int_{\Omega} \left( \beta + \frac{\alpha^2 (3\lambda + 2\mu)^2}{\lambda + 2\mu} \right) \dddot{\vartheta} \sqrt{g} \, dx - \int_{\Omega} k \dddot{\vartheta}(\varepsilon) \dddot{\vartheta}(\varepsilon) \sqrt{g(\varepsilon)} \, dx \right) \, dr = 0. 
\]
We integrate (44) in \([0, t]\) and integrate by parts the terms with tractions corresponding to the first two components, which can be displayed as

\[
- \int_0^t \int_{\Gamma_N} \dot{h}^a(r) u_a(\varepsilon)(r) \sqrt{g(\varepsilon)} d\Gamma dr + \int_{\Gamma_N} h^a(t) u_a(\varepsilon)(t) \sqrt{g(\varepsilon)} d\Gamma \\
+ \int_0^t \int_{\Gamma_N} h^3(r) \dot{u}_3(\varepsilon)(r) \sqrt{g(\varepsilon)} d\Gamma dr.
\]

When passing to the limit \(\varepsilon \to 0\), the terms with \(u_\alpha(\varepsilon)\) above converge by using compactness arguments, since \(u_\alpha(\varepsilon) \in H^1(\Omega \times (0, T))\) and the trace into \(L^2(\Gamma \times (0, T))\) is a compact operator (see [17, p. 416]). For the term with \(\dot{u}_3(\varepsilon)\), we omit the details for the sake of briefness, refer the interested reader to [2] and provide the following sketch of the proof. Indeed, we first recall that \(\dot{u}(\varepsilon) \in V(\Omega)\) and \(\dot{\vartheta}(\varepsilon) \in S(\Omega)\) (see Remark 8). Next, we use the technique of incremental coefficients in the time variable, then integrate on \([0, t]\) to obtain the expression

\[
\frac{1}{2} \rho \left| \dot{\varepsilon}_i(\varepsilon)(t) \right|^2_0 - \frac{1}{2} \rho \left| \dot{\varepsilon}_i(\varepsilon)(0) \right|^2_0 + \frac{1}{2} a^V(\dot{u}(\varepsilon)(t), \dot{u}(\varepsilon)(t)) - \frac{1}{2} a^V(\dot{u}(\varepsilon)(0), \dot{u}(\varepsilon)(0)) \\
+ \frac{1}{2} \int_\Omega \beta(\dot{\vartheta}(\varepsilon)(t))^2 dx - \frac{1}{2} \int_\Omega \beta(\dot{\vartheta}(\varepsilon)(0))^2 dx + \int_0^t a^S(\dot{\vartheta}(\varepsilon)(r), \dot{\vartheta}(\varepsilon)(r)) dr \\
\leq \int_0^t \int_\Omega f_i(r) \dot{u}_i(\varepsilon)(r) dx dr + \int_0^t \int_{\Gamma_N} h_i(r) \dot{u}_i(\varepsilon)(r) d\Gamma dr \\
+ \int_0^t \int_\Omega \dot{\vartheta}(r) \dot{\vartheta}(\varepsilon)(r) dx dr.
\]

Then, on the right-hand side integrate by parts the term on the boundary \(\Gamma_N\), use Theorem 3 combined with Young’s inequality and, on the left-hand side Korn’s inequality. Next, apply Gronwall’s inequality to obtain that \(\left| e_{i|j}(\varepsilon) \right|_{0, \Omega}^2\) is bounded independently of \(\varepsilon\).

As a consequence of Step (i) and (22), for \(v = \dot{u}_3(\varepsilon)\) we find that

\[\left| \dot{u}_3(\varepsilon) \right|_{0, \Gamma_N} \leq C \left| e_{i|j}(\varepsilon) \right|_{0, \Omega}^2 \text{ a.e. in } (0, T).\]

Then, there exists \(\psi \in L^\infty(0, T; L^2(\Gamma_N))\) such that for a subsequence keeping the same notation, it holds \(\dot{u}_3(\varepsilon) \stackrel{\ast}{\rightharpoonup} \psi\) in \(L^\infty(0, T; L^2(\Gamma_N))\). Since we are in the conditions of [21, Theorem 3.6], we can identify \(\psi = \dot{u}_3\).

Besides, we use Lebesgue Theorem where needed, as well. Thus, the limit of the terms with traction (47) is

\[
- \int_0^t \int_{\Gamma_N} \dot{h}^a(r) u_a(r) \sqrt{\alpha d} d\Gamma dr + \int_{\Gamma_N} h^a(t) u_a(t) \sqrt{\alpha d} d\Gamma \\
+ \int_0^t \int_{\Gamma_N} h^3(r) \dot{u}_3(r) \sqrt{\alpha d} d\Gamma dr.
\]

We can undo the integration by parts, then reason like in (46).

Finally, the strong convergences \(e_{i|j}(\varepsilon) \to e_{i|j}\) in \(L^\infty(0, T; L^2(\Omega))\) also imply the strong convergences for \(u_i(\varepsilon)\), by following arguments not depending on the particular
set of equations, but on arguments of differential geometry and functional analysis which do not differ from those used in [9, Theorem 4.4-1]. Therefore, we just omit them and refer the interested reader to the book. □

6 Back to the Physical Framework

It remains to be proved an analogous result to the previous theorem but in terms of de-scaled unknowns. We shall present the limit problem in a de-scaled form. The scalings in Sect. 3 suggest the de-scalings $\xi^\varepsilon_i(y) = \xi_i(y)$ and $\zeta^\varepsilon(y) = \zeta(y)$ for all $y \in \bar{\omega}$. This way, from Problem 7 we can derive

**Problem 8** Find a pair $t \mapsto (\xi^\varepsilon(y, t), \zeta^\varepsilon(y, t))$ of $[0, T] \rightarrow V_M(\omega) \times H_0^1(\omega)$ verifying

$$2\varepsilon \int_\omega \rho(\ddot{\xi}^\varepsilon_a a^{\alpha\beta} \eta_\beta + \ddot{\xi}^\varepsilon_3 \eta_3) \sqrt{\text{ad}y} + \varepsilon \int_\omega a^{\alpha\beta\sigma\tau} \gamma_{\sigma\tau}(\ddot{\xi}^\varepsilon) \gamma_{\alpha\beta}(\eta) \sqrt{\text{ad}y}$$

$$- 4\varepsilon \int_\omega \frac{\alpha_T \mu (3\lambda + 2\mu)}{\lambda + 2\mu} \xi^\varepsilon a^{\alpha\beta} \gamma_{\alpha\beta}(\eta) \sqrt{\text{ad}y} = \int_\omega F^{i,\varepsilon} \eta_i \sqrt{\text{ad}y} \forall \eta = (\eta_i) \in V_M(\omega),$$

$$2\varepsilon \int_\omega \left( \beta + \frac{\alpha_T^2 (3\lambda + 2\mu)^2}{\lambda + 2\mu} \right) \dot{\xi}^\varepsilon \varphi \sqrt{\text{ad}y} + 2\varepsilon \int_\omega k \delta^a_{\alpha} \xi^\varepsilon a^{\alpha\beta} \delta^\beta_{\alpha} \varphi \sqrt{\text{ad}y}$$

$$+ 4\varepsilon \int_\omega \frac{\alpha_T \mu (3\lambda + 2\mu)}{\lambda + 2\mu} \varphi a^{\alpha\beta} \gamma_{\alpha\beta}(\ddot{\xi}^\varepsilon) \sqrt{\text{ad}y} = \int_\omega Q^\varepsilon \varphi \sqrt{\text{ad}y} \forall \varphi \in H_0^1(\omega),$$

with $\ddot{\xi}^\varepsilon(\cdot, 0) = \xi^\varepsilon(\cdot, 0) = 0$ and $\xi^\varepsilon(\cdot, 0) = 0$.

Above, we have used $F^{i,\varepsilon} := \int_{-\varepsilon}^\varepsilon f^{i,\varepsilon} dx_3^\varepsilon + h_i^{1,\varepsilon}$, with $h_i^{1,\varepsilon}(\cdot) = h_i^{1,\varepsilon}(\cdot, \varepsilon)$, and $Q^\varepsilon = \int_{-\varepsilon}^\varepsilon q^i dx_3^\varepsilon$. Moreover, the convergences $u_\alpha(\varepsilon) \rightarrow u_\alpha$ in $H^1(\Omega)$ and $u_3(\varepsilon) \rightarrow u_3$ in $L^2(\Omega)$ from the Theorem 5 and [9, Theorem 4.2-1] together lead to the following convergences:

$$\frac{1}{2\varepsilon} \int_{-\varepsilon}^\varepsilon u_\alpha^\varepsilon dx_3^\varepsilon \rightarrow \xi_\alpha \text{ in } H^1(\Omega), \quad \frac{1}{2\varepsilon} \int_{-\varepsilon}^\varepsilon u_3^\varepsilon dx_3^\varepsilon \rightarrow \xi_3 \text{ in } L^2(\Omega),$$

$$\frac{1}{2\varepsilon} \int_{-\varepsilon}^\varepsilon \xi^\varepsilon dx_3^\varepsilon \rightarrow \zeta \text{ in } L^2(\Omega) \text{ a.e. in } (0, T).$$

Furthermore, we can prove the convergences of the averages of the tangential and normal components of the three-dimensional displacement vector field. To this end, we can use the same arguments as in [9, Theorem 4.6-1].

7 Conclusions and Outlook

We have found and mathematically justified a two-dimensional limit model for thermoelastic shells, in the particular case of the so-called elliptic membranes. To this end we used the insight provided by the asymptotic expansion method and we have justified this approach by obtaining a convergence theorem. Future work will be devoted to the asymptotic analysis of contact models, possibly thermoelastic elliptic membrane and also flexural shells, which would be found under different sets of hypotheses for the order of the functions involved or the geometry of the middle surface. We are also interested in cases when contact takes friction into account and it is coupled with other effects like wear, adhesion or damage.
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