Rehabilitation using virtual gaming for Hospital and hOMe-Based training for the Upper limb post Stroke (RHOMBUS II): protocol of a feasibility randomised controlled trial

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ABSTRACT

Introduction Upper limb (UL) rehabilitation is most effective early after stroke, with higher doses leading to improved outcomes. For the stroke survivor, the repetition may be monotonous. For clinicians, providing a clinically meaningful level of input can be challenging. As such, time spent engaged in UL activity among subacute stroke survivors remains inadequate. Opportunities for the stroke survivor to engage with UL rehabilitation in a safe, accessible and engaging way are essential to improving UL outcomes following stroke. The NeuroBall is a non-immersive virtual reality (VR) digital system designed for stroke rehabilitation, specifically for the arm and hand.

The aim of the Rehabilitation using virtual gaming for Hospital and hOMe-Based training for the Upper limb post Stroke study is to determine the safety, feasibility and acceptability of the NeuroBall as a rehabilitation intervention for the UL in subacute stroke.

Methods and analysis A feasibility randomised controlled trial (RCT) will compare the NeuroBall plus usual care with usual care only, in supporting UL rehabilitation over 7 weeks. Twenty-four participants in the subacute poststroke phase will be recruited while on the inpatient or early supported discharge (ESD) stroke pathway. Sixteen participants will be randomised to the intervention group and eight to the control group. Outcomes assessed at baseline and 7 weeks include gross level of disability, arm function, spasticity, pain, fatigue and quality of life (QoL). Safety will be assessed by recording adverse events and using pain, spasticity and fatigue scores. A parallel process evaluation will assess feasibility and acceptability of the intervention. Feasibility will also be determined by assessing fidelity to the intervention. Postintervention, semistructured interviews will be used to explore acceptability with 12 participants from the intervention group, four from the usual care group and with up to nine staff involved in delivering the intervention.

Ethics and dissemination This trial has ethical approval from Brunel University London’s Research Ethics Committee 25257-NHS-Oct/2020-28121-2 and the Wales Research Ethics Committee 5 Bangor (Health and Care Research Wales) REC ref: 20/WA/0347. The study is sponsored by Brunel University London. Contact: Dr Derek Healy, Chair, University Research Ethics committee (Derek.hea@brunel.ac.uk). Trial results will be submitted for publication in peer-reviewed journals, presented at national and international conferences and distributed to people with stroke.

Trial registration number ISRCTN11440079; Pre-results.

INTRODUCTION

Stroke is a leading global cause of adult severe disability,1 resulting in one person suffering a stroke every 5 min in the UK.2 Nearly three-quarters of stroke survivors have persistent problems in using their hand and arm.3 4 Motor improvements and self-reported capacity of upper limb (UL) function by stroke survivors have been shown to be greatest in...
the first 6 weeks following stroke and remain significant for up to 2 years. This may be because there is a period of increased neural plasticity in the acute and early subacute poststroke period. This suggests that rehabilitation interventions for the hemiplegic UL should exploit the early window of neural plasticity in the acute poststroke period and continue into the chronic phase. Practice and intense repetition of movement are recognised as key elements of physical interventions aimed at improving UL impairment and function poststroke. Despite this, time spent moving the haemiplegic UL in subacute stroke rehabilitation settings has been found to be limited.

More rehabilitation in the early stages after stroke is needed to drive improvements in recovery and arm function. With National Health Service (NHS) staffing and resources limited, time spent rehabilitating the upper limb in the subacute stroke phase is insufficient. Additionally, as early support discharge (ESD) pathways for stroke survivors can reduce length of inpatient stay, the development of motivating, enjoyable and affordable rehabilitation devices that can be used safely and easily in the inpatient rehabilitation setting and at home are essential in implementing the required dose and intensity.

For the last 3 years, a team of clinical researchers, stroke survivors and bioengineers (www.neurofenix.com) have been undertaking a programme of iterative research to provide a possible solution. The NeuroBall is a portable device that allows all-in-one arm training through a uniquely designed rehabilitation gaming app, displayed on a tablet computer. The device (https://neurofenix.com) was purposely developed in line with the Medical Research Council Complex Interventions Framework. It was initially tested in a proof-of-concept study, and later, its feasibility and acceptability for use among community dwelling chronic stroke survivors was evaluated in a non-randomised intervention study. Findings showed the device to be safe, enjoyable and easy to use, but it has yet to be evaluated in the subacute inpatient and ESD stroke pathways.

Study aims and objectives

The aim of the Rehabilitation using virtual gaming for Hospital and Home-Based training for the Upper limb post Stroke (RHOMBUS II) study is to determine the safety, feasibility and acceptability of the NeuroBall for UL rehabilitation in subacute stroke survivors.

The study objectives are:
1. To determine the safety of the intervention.
2. To determine the feasibility of delivering the intervention.
3. To determine the fidelity to the intervention.
4. To explore the acceptability of the intervention to people with stroke, therapists and other healthcare professionals.
5. To explore factors that may impact adoption of the NeuroBall.
6. To determine the feasibility of conducting a definitive trial.

METHODS AND ANALYSIS

Study design

The RHOMBUS II trial is a feasibility RCT with a parallel process evaluation comparing the use of the NeuroBall plus usual care, with usual care only to support UL rehabilitation. A timeline and summary of all participant events and data collection points is provided in table 1.

Study setting

The study will take place in the Hillingdon Hospitals’ NHS Foundation Trust (THH) Acute Stroke Unit (ASU) and the homes of participant stroke survivors on Central and North West London NHS Foundation Trust (CNWL) ESD stroke team.

Trial status

At the time of submission of this study protocol, data collection is ongoing.

Participants

Participants are eligible to be included in the trial if they meet the following inclusion criteria:
1. Aged 18 years or over.
2. Clinically confirmed stroke with new unilateral weakness.
3. Able to provide consent to take part in the study.
4. Mild to severe reduction in arm and/or hand function poststroke (as measured by the Motricity Index 9–25, ie, participants need a flicker of activity in the shoulder or elbow as a minimum to take part).
5. Ability to communicate in English, sufficient for completion of the trial intervention and assessment.
6. Able to see the graphics and visual display on the screen.

Potential participants will not be eligible according to the following exclusion criteria:
1. Unstable medical conditions.
2. Unable to follow a two-stage command.
3. Uncontrolled photosensitive epilepsy.
4. Shoulder/arm pain exacerbated on movement.
5. Fixed contracture, active disease or orthopaedic conditions affecting the haemiplegic arm.
6. Current participation in an UL rehabilitation trial.
7. Significant cognitive impairment and inability to comprehend and follow all instructions relating to participation in the study.
8. Care home residents.

Sample size

Twenty-four stroke survivors will be recruited based on recommendations that between 24 and 50 participants are sufficient for feasibility studies. The data collected from this study will be used to determine the primary outcome and outcome measure to use in a definitive trial. In addition to stroke survivors, nine healthcare staff will be invited to participate in an interview to explore the acceptability of the intervention.
Recruitment
Participants will be recruited from the THH ASU and the CNWL ESD stroke pathway. Each clinical team will identify potential participants and complete the screening checklist. Potential participants will be introduced to the study by the site principal investigator or a member of the multidisciplinary team, provided with a summary information sheet and a more detailed participant information sheet (PIS). Interest in participation will be confirmed by a member of the clinical team before the person is approached by the research assistant (RA). The RA will answer any questions about the study, outline the procedures and obtain informed consent if the person wishes to take part. Recruitment will take place across a 10-month period. Participants’ general practitioners will be informed of their involvement in the trial. Stroke survivors who participate in interviews will receive another PIS before the RA seeks consent for this part of the study.

Reasons for non-participation
A non-participation questionnaire will be distributed to stroke survivors who are eligible but do not wish to take part in the study. This will help identify reasons for refusal so future studies can be tailored to maximise inclusivity. The survey will take approximately 5 min to complete.

Randomisation and allocation
Following baseline assessments, participants will be randomly allocated to the intervention or usual care group in a 2:1 ratio. A person independent to the delivery
of the study will generate a sequence using permuted blocks of randomly chosen size three or six. The allocation sequence will be placed in opaque, sealed envelopes. Following each baseline assessment, an envelope will be drawn sequentially by the RA who will inform the participant if they are in the intervention or control group.

**Intervention**

Participants in the intervention group will receive the following interventions:

- The NeuroBall.
- Usual care for the UL as determined by the ASU or ESD therapy team.

The intervention is described in detail elsewhere using the Template for Intervention Description and Replication. In summary, the NeuroBall is a non-immersive VR digital platform in the form of an app, designed for UL stroke rehabilitation. The device is a portable sensor-enabled hand controller that tracks arm and hand movements and provides extrinsic feedback on a stroke survivor’s exercise session through an artificial intelligence enabled analytics dashboard. The device can be used to promote specific practice of unilateral or bilateral movements in the shoulder, elbow, wrist and hand through uniquely designed games displayed on a tablet computer and is supported by an instruction handbook, a QuickStart guide and short instructional videos. The NeuroBall software measures activity data, including game played, duration of play and the number of repetitions performed and is automatically sent via secure transmission to bioengineers at Neurofenix.

Each participant in the intervention group will be provided with their own NeuroBall for personal use for 7 weeks. The device can be used as an adjunct to therapy-led rehabilitation and for self-directed exercise outside of timetabled therapy sessions. Participants will be encouraged to slowly increase their use of the device towards and beyond the minimum national daily target of 45 min as able (this may be achieved in multiple sessions of less than 45 min). Participants will be advised to self-limit use based on fatigue and to stop and seek advice from the RA or their treating therapist if they experience discomfort or pain.

**Participant training**

Stroke survivors will receive initial training from the RA (a registered physiotherapist with experience in neuro-rehabilitation) on how to use their device. If interested, family members can also be trained so they can help the participant if required. A brief training questionnaire will be completed at the start of the first session about the participant’s prior experience with technology and video games, and their confidence in using new technology will be assessed with a visual analogue scale (VAS). This information will be used to tailor the pace and content of teaching to each participant’s confidence level and experience. Training will include advice on physical requirements for using the device including maintaining good posture, avoiding compensatory movements and how to don and doff the device. If the participant is recruited from the ASU and discharged home with the device, the RA will visit them at home within two working days of discharge to check the set-up of the device. Participants will be asked to only use the NeuroBall and not use any other video gaming technology involving their affected arm for the 7 weeks of the study intervention. They may however continue to use their unaffected arm for other gaming technology for the duration of the intervention.

**Healthcare staff training**

Healthcare staff from the ASU and ESD teams will have the option to attend a 1 hour training session on use of the NeuroBall. This will be provided by the study team and will be supported with online recordings, a handbook and access to the study team for queries and follow-up training sessions if needed. Neurofenix engineers will be available remotely for technical support if required.

**Outcomes**

All outcome measures will be assessed by the RA following a standardised operating procedure. The participants will receive standardised instructions. Assessors will not be blind to group allocation as we are not examining effectiveness in this study.

**Arm impairment**

The Fugl-Meyer Assessment-upper extremity motor, coordination/speed and sensation sections will be used to assess impairment. Performance of each item is scored on a three-point ordinal scale. Scores for each item are summed to give a possible score of between 0 and 60 for...
the motor section (including coordination/speed) and 0–12 for the sensation section. The minimal clinically important difference (MCID) for the motor section is 10.28 The MCID for the sensory section has not been established.

Arm function
Arm function will be assessed using the Action Research Arm Test (ARAT).28 The ARAT consists of 19 items divided into subscales of grasp, grip, pinch and gross movement and is scored between 0 and 57, with a higher score indicating a better performance. The MCID is 12 or 17 in acute stroke if the dominant or non-dominant side is affected, respectively.29 Self-reported arm function will be assessed using the 14-item Motor Activity Log (MAL-14).30 Fourteen daily functional tasks are scored on a six-point ordinal scale rating from 0 (the weaker arm is never used for that activity) to 5 (the ability to use the arm is the same as before the stroke). A mean MAL-14 score is calculated for both scales by adding the rating scores for each scale and dividing by the number of items asked.31 Higher scores signify better function. A MCID is yet to be determined for the MAL-14.

Spasticity
The Modified Modified Ashworth Scale32 will be used to assess resistance to passive movement in the shoulder adductors, internal rotators, elbow flexors, wrist flexors and finger flexors. Performance is rated on a five-point ordinal scale (from 0 to 4) with a higher score indicating more marked spasticity.

Fatigue
Fatigue will be assessed using the seven-item Fatigue Severity Scale,33 with each of the items rated on a seven-point Likert scale. The total score is obtained by summing the score on each item; scores range from 7 to 49, with higher scores indicating greater fatigue.

Self-efficacy
Self-efficacy for Home Exercise Programme is a validated and reliable self-report 12-item questionnaire to assess self-efficacy for prescribed home exercises in the musculoskeletal population;34 as yet there is no validated measure in stroke. Participants rate their confidence on a seven-point scale ranging from 0 (not confident) to 6 (very confident). Total score is obtained by summing the score on each item; higher total score indicates higher self-efficacy. Participants who score below 59 points on the measure are twice as likely to be non-adherent to their prescribed home exercise programme.

User satisfaction
The eight-item QUEST35 will be completed postintervention to assess user satisfaction of the device. Scores range from 0 to 40 with higher scores indicating greater satisfaction.

Anxiety and depression
GAD-236 is based on the first two questions of the GAD-757 and assesses the frequency of anxiety disorders, with a score ranging from 0 to 6. Two-item Patient Health Questionnaire38 is based on the first two question of the PHQ-939 and assesses the frequency of depressed mood, with a score ranging from 0 to 6. Higher scores indicate higher frequency of anxiety and depression.

Quality of life
QoL will be assessed using the EuroQol 5 Dimensions 5 levels questionnaire (EQ-5D-5L)40 and will be completed by participants preintervention and at the 7-week follow-up. Each dimension has five response categories rated from 1 (no problems) to 5 (extreme problems). A higher score indicates greater the perceived problems. The EQ-5D-5L has an MCID of 0.10 in people with stroke.41 In line with National Institute for Health and Care Excellence recommendation, utility weight based on the cross walk function43 was used to assign utility weights.

Participation
Participation will be assessed using the 10-item Subjective Index of Physical and Social Outcome,44 which is divided into three sections: (1) physical functioning, (2) mobility and social and (3) emotional functioning. Each question is scored from 0 to 4. The total score is obtained by summing scores on each item; range is 0–40 with a higher score indicating an increased ability to reintegrate with the community.

Gross level of disability
The simplified modified Rankin Scale questionnaire45 will be used to measure the individual’s self-reported level of disability. Yes or no responses are required from the participant or caregiver. Disability level is rated on a seven-point scale, ranging from 0 (no symptoms) to 5 (cannot sit up in bed without help).

Health service use
Client services receipt inventories (CSRI)46 has been developed to measure use of health, social care and broader community resources. The CSRI is a self-reported tool, which can be adapted to record inpatient costs, outpatient services, accommodation, medications and local authority services. Information on service utilisation will be captured using an adapted CSRI. We previously used an adapted CSRI to collect information on health service use during the community RHOMBUS study.18 For this study, we further refined the adapted CSRI based on our experience of using it and incorporated aspects of the Stroke Receipt of Services Questionnaire.47

Economic evaluation
This study will examine the feasibility of conducting an economic evaluation in a definitive RCT where the cost-effectiveness of the NeuroBall will be compared with usual care and determined using the participant, NHS
Process evaluation

Process evaluations aim to explain how complex interventions work. A parallel process evaluation will be determined alongside the economic analysis will be an incremental cost per home visits required. Post- and length of phone calls and/or the clinical or technical session requests for assistance, the number, frequency the number of additional clinical or technical inpatient training sessions received by the participants, will be determined by recording the number, length and comparing pain, fatigue, spasticity and adverse events feasibility and acceptability of the intervention. The feasibility of the intervention will be explored through semistructured interviews with 12 of the 16 participants from the intervention group and with up to nine staff who deliver the intervention. Interviews will be conducted in person or over the phone (if social distancing restrictions are in place). In person interviews will be held, with permission, in the stroke survivor’s home. Twelve participants receiving the intervention will be purposively sampled to include key criteria such as gender, age, amount of use, confidence with technology and level of UL impairment and function. The acceptability of allocation to the control group will be explored in four participants along with their experience and acceptance of not receiving the intervention. The interviews will be conducted by the RA following topic guides developed from the TFA, relevant literature and specific aims of the process evaluation.

Acknowledging the limitation of the RA being involved in the data collection and training and delivery of the intervention, a reflexive diary will be kept by the RA. An experienced qualitative researcher will oversee this stage of the study.

Data management

Personal data collected during the trial will be handled and stored in accordance with the Data Protection Act (2018) and the UK General Data Protection Regulation (GDPR) (2018). To preserve participant anonymity, only their allocated trial number will be recorded on trial documentation (with the exception of the consent forms and contact details). Consent forms will be kept separate from other data in site trial master files at Brunel University London in a locked, secure environment. Contact details will be stored electronically. Qualitative interviews will be audio recorded and will be stored electronically and identified by trial number only. Contact details and audio recordings will be stored using password-protected files. Transcripts will be anonymised or assigned a pseudonym. Only non-identifiable clinical data will be shared with Neurofenix. Data generated by the NeuroBall will adhere to a Data Privacy Protocol, informed by Information Commissioners’ Office guidelines and comply with UK GDPR. Anonymised aggregated data will be made available in a public repository following publication of findings.

Patient and public involvement

People with stroke have been involved in the ongoing development of the device since its inception. Specifically, two community stroke groups have provided input to the development of this protocol and have provided key feedback on the study design especially around the challenge of randomisation and delivering a novel intervention in a ward setting. Three members of the community stroke groups have provided advice on the trial documentation including the participant information sheets and consent forms. Two stroke survivors will be on the Trial Steering...
Committee and will be reimbursed for their time and expertise. The six principles of the UK Standards for Public Involvement\(^52\) will be drawn on throughout the study.

**Data analysis**

**Qualitative data**

To determine the feasibility, acceptability and safety of the intervention, interviews will be analysed using the five iterative stages of the Framework Analysis method (familiarisation, thematic framework identification, indexing, charting, mapping and interpretation).\(^53\) This method incorporates both deductive and inductive coding and provides a strong audit trail of the analytical process from original transcripts to final themes, including illustrative quotations.\(^54\) It enables trial processes and positive as well as negative participant experiences to be explored and reported. Deductive trial factors will include factors relating to feasibility, acceptability and adoption of technology, which will be informed by the TFA\(^51\) and the nonadoption, abandonment, scale-up, spread, and sustainability (NASSS) framework.\(^55\)

**Quantitative data**

A person independent to the study will apply anonymous codes to all data sheets before analysis to ensure the research team and the statistician are blinded to group allocation when processing and analysing the data. Distribution of data will be explored using Q–Q plots, histograms and cross-tabulations. Data relating to participant characteristics, outcome measures, recruitment, retention, fidelity (ie, active game play and numbers of repetitions of movements) and feasibility of delivering the intervention (eg, the number and duration of clinical and technical visits and calls) will be summarised using descriptive statistics such as mean, SD, median, IQR and frequencies as appropriate. Pain, fatigue and spasticity will be compared between the intervention and control group at follow-up using analysis of covariance adjusting for baseline values. The incidence of adverse events in each group will be compared at follow-up using a negative binomial model.

**Timeline**

The trial is funded for a period of 12 months. Recruitment commenced in April 2021 and is projected to continue until February 2022. Data analysis and report writing will be conducted from February 2022 onwards.

**ETHICS AND DISSEMINATION**

**Ethics**

This study is sponsored by Brunel University London and has been approved by the University's Research Ethics Committee (Ref: 25257-NHS-Oct/2020-28121-2) and the Health Research Authority and Health and Care Research Wales (REC ref: 20/WA/0347). The trial will be conducted in full conformance with the principles of the Declaration of Helsinki and to the Medical Research Council Good Clinical Practice (GCP) guidelines. All researchers working on the trial will receive training in GCP guidelines. The trial will also comply with all applicable Brunel University Research integrity guidance. All participants will freely give their informed consent to participate in the trial. Additional informed consent will be obtained from individuals sampled to participate in semi-structured interviews.

**Monitoring**

As this intervention is low risk and potential harm is not anticipated, there will be no Data Monitoring Committee, interim analysis or stopping rules.

**Administrative structures**

The trial will be run by the Trial Management Group, which consists of the chief investigator, the two principal investigators, coinvestigators and RA. The conduct of the trial will be supervised by a trial steering committee and externally monitored by funders The Stroke Association with MedCity.

**Dissemination**

A detailed dissemination plan will be developed in the early stage of the trial in collaboration with the Trial Steering Committee. Study findings will be disseminated to all participants (stroke survivors and healthcare staff) and to those who wanted to participate but did not meet the inclusion criteria and requested feedback on results. The results of the trial will be submitted for publication in a peer-reviewed journal and presented at national and international stroke and virtual reality conferences. The trial will be reported in line with the Extended Consolidated Standards of Reporting Trials statement for pilot and feasibility studies. Authorship will be based on the International Committee of Medical Journal Editors criteria.

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**Contributors** All authors listed meet the International Committee of Medical Journal Editors criteria for authorship. CK and DAA conceived the study. JR, AW, MN, TB, BA and NA designed the study. DAA, TB, KB and CK designed the intervention. CK will lead the running of the trial as chief investigator, BA and AS are site-specific principal investigators. CP is the study specialist communication advisor. VS will lead the data collection and data management and analysis of the qualitative data. MN will lead the process evaluation. JR will lead the statistical analysis. NA will lead the evaluation of the feasibility of the economic evaluation. FG oversees...
data management and reporting. All authors have read and approved the final manuscript.

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**Competing interests** DAA, KB and GS-B are employed by Neurofenix (UK), a digital therapeutics company developing a therapy platform and sensor-based devices to augment rehabilitation. Neurofenix provided the Neurofenix platforms and technical support to the research therapists. Neurofenix had no influence on the design of the study, data collection, analysis and interpretation of the data or manuscript preparation.

**Patient and public involvement** Patients and/or the public were involved in the design, or conduct, or reporting, or dissemination plans of this research. Refer to the Methods section for further details.

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