Full characterization of a phase-locked DUV double pulse generated in an all-reflective shaping setup working under grazing incidence in a broad spectral range

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Abstract
Controlling the temporal and spectral properties of ultrashort laser pulses in the visible and near-infrared spectral range by means of a femtosecond pulse-shaping device is a powerful tool with many applications in ultrafast spectroscopy. A major and successful concept is known as the 4f design, which has a symmetric zero-dispersion-compressor geometry. Most 4f pulse shapers rely on using transmissive optics in their beam path limiting the operational wavelength ranges. In the present contribution, we use an all-reflective shaping setup to generate a phase-locked 266 nm double pulse to benchmark its performance in the limit of short wavelengths. The setup comprises the complete spectral amplitude and phase diagnostics for quantitative analysis of the pulse properties before and after the shaper using the technique of frequency-resolved optical gating. The measured time–frequency spectra are in good agreement with optical simulations. The geometry and hardware of the device including the optical components are designed, such that all harmonics of the deep UV pulses travel the same path, giving the instrument the ability to work with soft X-ray pulses, under vacuum conditions, down to the few-nanometer wavelength scale.

1 Introduction
Conventional 4f pulse shapers typically utilise several transmissive optical components in their beam path for focusing and pulse manipulation. The latter can be realized, for instance, by an acousto-optical modulator (AOM), where a piezoelectric transducer creates sound waves in a material like germanium, or by spatial light modulators (SLM) based on pixelated liquid-crystal technology allowing for spectral amplitude and phase control [1–3]. Such pulse-shaping devices are standard spectroscopic tools in many femtosecond laser laboratories worldwide and commercially available. They work well within their designated frequency domains offering full control over the laser pulse properties [4]. However, the use of transmissive optical components restricts corresponding laser applications to wavelengths longer than 200 nm [5], as typical optics materials absorb strongly below. Striving to push the short-wavelength frontier of pulse-shaping technology further down, the use of reflective optics is an alternative. Special pixelated micro-mirror arrays, the so-called micro-electro-mechanical systems (MEMS), have been applied successfully to shape femtosecond pulses at a central wavelength of 200 nm [6, 7]. Meanwhile, it has been demonstrated that these advanced reflective optics also perform well in the 30–90 nm spectral range [8]. Note that the application of MEMS technology beyond the extreme ultraviolet spectral range is mainly limited by the slope error and overall surface roughness between individual pixels, which are on the 10-nm scale. The phase errors caused by these imperfections have to be a fraction of the used wavelength to allow for interferometric precision in sculpting waveforms at will [9].
Here, we discuss the performance of an all-reflective 4f pulse shaper operating at short wavelength in grazing incidence using a femtosecond 266 nm-deep ultraviolet (DUV) pulse to test the functionality of the device and displaying the feasibility of such a system. The pulses before and after the shaper are fully characterized in amplitude and phase using the frequency-resolved optical gating (FROG) technique developed by Trebino et al. [10]. The method utilises cross correlation between the DUV pulse and a fraction of the well-known fundamental at 800 nm used to generate the DUV radiation, i.e., an X-FROG pulse-retrieval algorithm. The characterization of spectral amplitudes and phases allows for control over the relative phase of a double pulse in time domain, which is created by a lamellar mirror assembly applied as shaping mask. In our previous research and development work on a prototypical setup, we focused predominately on simulating a theoretical auto-correlation signal of the DUV double pulses [11]. The present contribution goes beyond this by providing the all-optical characterization of amplitude and phase of the tailored waveform, both on the input as well as on the output side. The measured time–frequency spectra are in good agreement with optical simulations.

The structure of the article is in the following order. First, a brief description of the optics used in the 4f shaper setup along with some technical data and diagrams of the apparatus and the femtosecond DUV source used in the experiment is given. It is followed by presenting fully characterized waveforms analysing the effect of modulated spectral amplitudes on the time–frequency distribution of the transmitted femtosecond pulse pair. This contribution is then ended by a discussion in the outlook section shedding some light on future applications of the device and its possible implementation at the free-electron facility FLASH in Hamburg [12]. A coherent soft X-ray laser beam providing intense femtosecond pulses at high repetition rate is at the heart of an upgrade program, which is currently under development at DESY [13–15].

2 Experiment

The laser radiation passes the shaper, which consists of a pair of identical gratings ($G_1, G_2$) and identical focusing mirrors (FM$_1$ and FM$_2$) used to spatially disperse and re-collimate the spectrum of the ultrashort laser pulse. The central component of the device is an amplitude/phase mask placed in the Fourier plane of the symmetric 4f compressor setup. In the XUV and soft X-ray design of the instrument, there are two additional symmetric focusing mirrors installed in the beam path to decrease the beam footprint on the optics in the center of the shaper setup in the plane perpendicular to the dispersion direction. This reduced footprint in beam direction allows for shorter shaping masks working in grazing incidence, which are easier to manufacture in high quality. The detailed specifications of the installed reflective optics are discussed in Refs. [11, 16]. In the present DUV experiments, the outer mirror pair reducing the footprint on the mask was replaced by identical flat mirrors because of better overall reflectivity in the deep ultraviolet spectral range. The experimental setup is depicted in Fig. 1.

At the entrance of the 4f line, the input beam coming under a grazing incident angle of $\theta_i$ and with a central wavelength $\lambda_0$ is initially diffracted at an angle $\theta_d$ by the first grating dependent on the grating period $d$. Defining $f$ as the focal length of the following focusing optics, and assuming diffraction-limited focusing, the spatial width of each spectral component in the Fourier plane can be written as

$$\Delta x_0 = 2 \ln(2) \frac{\sin \theta_f \lambda_0}{\sin \theta_d \Delta x_{in}},$$

where $\Delta x_{in}$ is defined as the input FWHM beam diameter and $\theta_i$ is 6°. The spatial position, $X_k$, of each angular frequency component, $\omega_k$, can also be defined for a 4f shaper as

$$X_k = a_k \alpha,$$

with $\alpha$ being a constant defined by the geometry of the 4f line

$$\alpha = \frac{\lambda_0^2 f}{2 \pi c d \sin \theta_d},$$

where $c$ is the speed of light. For the spectral resolution, one derives

$$\frac{\delta \omega}{\Delta X_k} = \frac{\Delta X_0}{\alpha} = \frac{2 \pi c d \sin \theta_d}{\lambda_0^2 f}.$$

![Image](https://via.placeholder.com/150)

Fig. 1 Simplified diagram of the experimental setup. Key components, i.e., the gratings $G_1$ and $G_2$, focusing mirrors FM$_1$ and FM$_2$, and the amplitude mask AM are highlighted. The specific grazing angles of incidence in the setup are 6° for $G_1$ and $G_2$, 10° for FM$_1$, FM$_2$ and AM.
which, through the Fourier transform, corresponds to a shaping window of
\[ T = 4 \ln(2)/\delta \omega = \Delta x_m/|v|, \tag{5} \]
where \( |v| \) has the dimension of velocity
\[ v = cd \sin \theta / \lambda_0. \tag{6} \]

For a more complete theoretical description of the 4\( f \) pulse shaping concept, please see [4, 17, 18]. The performance parameters of the present DUV pulse shaper are summarized in Table 1.

From Eq. (2), it is clear that each spectral component is separated at a different spatial position in the Fourier plane, allowing amplitude and phase transformations to be performed on chosen parts of the dispersed spectrum with a resolution defined by Eq. (4). By placing a mask in the Fourier plane with a defined complex spectral transformation, \( R(\omega) \), and fully defining the input pulse as \( E_{in} \), the output pulse is given by
\[ E_{out}(\omega) = R(\omega)E_{in}(\omega), \tag{7} \]
where \( R(\omega) \) contains all information on the spectral and phase transformations. In the present contribution, we used a special reflective lamellar-mirror assembly to periodically shape the spectral amplitudes of the femtosecond DUV pulses, as shown in Fig. 2a.

The focusing mirrors FM1 and FM2 are made from polished silicon, which provides \( \sim 90\% \) reflectivity under grazing incidence for the DUV. The gratings \( G_1 \) and \( G_2 \) are made of BK-7 glass coated with aluminum and blazed at 10.4\( ^\circ \). The amplitude mask is made from a polished silicon substrate with reflective stripes of 100 \( \mu \)m width and gaps of 150 \( \mu \)m. The latter were micro-machined using a diamond rotary saw. The resulting surface profile is characterized by means of white-light interferometry on the area illuminated by the DUV beam. The experimental data and the corresponding histogram are shown in Fig. 2b, c, respectively. The analysis gives a root-mean-square (RMS) difference value of 2.1 \( \text{nm} \) with respect to a planar surface supporting soft X-ray pulse-shaping applications down to the few-nanometer wavelength scale. One has to keep in mind that the phase errors caused by these spatial imperfections are significantly reduced due to the reflective shaping setup working under grazing incidence.

### Table 1 Performance parameters of the DUV pulse shaper

| Parameter | Value |
|-----------|-------|
| \( \lambda_0 \) | 266 nm |
| \( f \) | 330 mm |
| \( \Delta x_m \) | 5 mm |
| \( \Delta x_0 \) | 1.93 \( \mu \)m |
| \( d \) | 3.33 \( \mu \)m |
| \( \alpha \) | \( 9.25 \times 10^{-18} \) ms |
| \( \delta \omega \) | 216 GHz |
| \( T \) | 12.8 ps |
| \( v \) | 0.39 mm/ps |

**Fig. 2** a Simplified sketch of the amplitude mask located in the Fourier plane of the zero-dispersion compressor. Reflective stripes of 100 \( \mu \)m width are separated by 150 \( \mu \)m gaps. b Surface profile of the amplitude mask recorded by means of white-light interferometry on the area illuminated by the DUV beam. c Histogram of b. Two typical DUV spectra measured before and after the shaper and smoothed using a spline filter are shown on the top left and top right, respectively.
The femtosecond DUV test pulse in this experiment was generated as the third harmonic of an 800 nm Ti:Sa pulse, using a commercial tripler kit from EKSMA Optics, model FK-800-050-10. Details on third harmonic generation and nonlinear crystals are described elsewhere [18]. The measured FWHM pulse duration and spectral bandwidth of both the fundamental and third harmonic generated are 50 ± 5 fs (20 ± 2.8 nm) and 110 ± 13 fs (2.8 ± 0.2 nm), respectively. The pulse properties of the fundamental were characterized using an 8-50-USB GRENOUILLE from SWAMP Optics with a FROG G-error of 0.1421 and then cross-checked using an autocorrelator and a spectrometer. The properties of the DUV pulses were characterized using the X-FROG technique using the 800 nm as a well-known reference pulse. Each pulse was measured ten times to calculate the error as standard deviation. The achieved conversion efficiency from the fundamental to third harmonic was on the order of 4%.

3 Results and discussion

In Fig. 3a–f, the retrieved spectrogram, spectra, and phase are displayed for the DUV pulse for the three different experimental scenarios. The retrieval algorithm applied to the recorded X-FROG data is the basic FROG algorithm discussed in detail in Refs. [19, 20]. The unshaped pulse characterized in front of the shaper setup is shown in Fig. 3a, b with an FROG G-error of 0.025. The femtosecond pulse...
after the shaper with no active amplitude modulation performed, i.e., with a plane mirror positioned in the Fourier plane, is shown in Fig. 3c, d with an FROG G-error of 0.027. The effect of the lamellar-mirror amplitude mask performing the shaping transformation is demonstrated in Fig. 3e, f with an FROG G-error of 0.027. The overall agreement between measured and retrieved DUV spectra is reasonable. The differences originate from the low signal-to-noise ratios of the weak DFG signals.

A clear positive linear chirp is observable in all spectrograms (a, c, e), characterized by the retrieved quadratic spectral phases for each pulse (b, d, f). This chirp is inherited from the frequency up-conversion process. It is apparent from the retrieved data describing the unshaped pulses that the shaper introduces a little positive chirp. In Fig. 3c, d, a double temporal feature is present in the pulse, which may stem from an unbalanced grating causing an additional modulation overtone upon the spectral amplitude. This interpretation is supported by the appearance of the same structure also in Fig. 3e, f, showing that this structure is independent of the amplitude mask. We note in passing that each optical component of the complex setup depicted in Fig. 1 is equipped with in-vacuum motorisation for the various optical degrees of freedom, which sums up to a total amount of 37 translations and rotations being optimized as good as possible in the course of the commissioning [11, 16].

The targeted amplitude-shaped 266 nm pulse characterized in Fig. 3e, f shows a clear modulation in the retrieved spectral phase. The modulation period is the same as present in the pulse wavelength spectrum. A closer look at the modulated spectral amplitude of the retrieved shaped pulse, which is the spacing between two neighbouring peaks, gives 0.449 ± 0.027 nm. This nicely compares to the measured spectral separation of 0.444 ± 0.003 nm. Taking this modulation into account, the theoretical temporal separation between subsequent pulses should be on the order of 600 ± 36 fs, which is in good agreement with the measured value of 630 ± 24 fs [11].

After generating a phase-locked DUV double pulse, the next step is to demonstrate coherent-control capabilities of the pulse-shaping device [21]. For an amplitude mask that periodically modulates the pulse spectrum, such control can be achieved by translating the lamellar-mirror assembly along the dispersion plane of the input beam. Shifting the selection of reflected spectra segments will cause the center-of-mass wavelengths of the pre- and post-pulse to oscillate over the period length of the mask, as depicted in Fig. 4a. To that extent, the spectrogram of each sub-pulse was measured in steps of roughly 0.45 /2 over a range of 3.6 /2, and the retrieved center-of-mass wavelength is plotted in Fig. 4b, c. As predicted, the center-of-mass wavelength of the pre- and post-pulse oscillates with a relative-phase difference. The theory behind this prediction can be found in Wolkenhaupt et al. [Eq. (6) [22]]. In turn, the relative phases between the pre- and post-pulses can be controlled by means of a specific lamellar-mirror-assembly position [23]. The relative-phase values repeat over a 2π period, which corresponds to the spatial mask period of 250 μm in the Fourier
plane of the shaper. It is important to note that the temporal separation between the pre- and post-pulse stays constant in this “open-loop” control protocol [24].

4 Summary and outlook

We presented a complete spectral amplitude and phase characterisation of a phase-locked deep UV pulse pair generated by an all-reflective 4f pulse shaper. Using an open-loop coherent-control protocol, the relative phase between the pre- and post-pulse was continuously tuned between 0 and \(2\pi\), while keeping the temporal separation at \(\sim 630 \pm 24\) fs constant. The operation of this device at significantly shorter wavelengths is mainly determined by the surface quality of the lamellar-mirror assembly. In recent experiments, we could demonstrate that we are indeed able to split soft X-ray beams at 274.7 eV photon energy (\(\lambda_0 \approx 4.5\) nm) into two replicas with sub-cycle precision and constant relative-phase difference across the beam profile [25]. The demonstration of relative-phase control with grazing-incidence optics is expected to push the wavelength limit for coherent-control applications down into the soft X-ray spectral range providing element specificity in the laser–matter interaction. Research and development towards enabling technology in this field open up new windows of opportunities for coherent soft X-ray pulses emitted from seeded free-electron lasers (FEL) and intense table-top high-harmonic generation (HHG) sources [26–28].

Appendix

For the FROG retrieval software used in this work, please see: http://www.swampoptics.com/frog-code.html.

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