Unified Implementation and Cross-Validation of the Integral Equation-Based Formulations for the Characteristic Modes of Dielectric Bodies

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ABSTRACT Theory of characteristic modes (TCM) can provide physical insight into the radiation mechanism of arbitrarily-shaped electromagnetic objects. However, how to compute the characteristic modes (CMs) of different structures is still an open problem. Even for the calculation of CMs of an isolated dielectric body, there are eleven integral equation (IE)-based formulations which result in different modal solutions. Such kind of non-uniqueness of solutions makes CMs community confused. One of the objectives of this paper is to outline the differences among all existing IE-based formulations for the CMs of dielectric bodies. The existing formulations are briefly reviewed and carefully compared. We present a procedure to implement the different formulations in a unified manner. Then, we make a complete comparison of the numerical results of existing methods for a dielectric cylinder, which also serves as cross-validation for these approaches. We hope that this paper will help researchers understand the calculation of CMs of dielectric bodies and explore the computation methods of CMs for more complex objects.

INDEX TERMS Characteristic modes, dielectric body, integral equation, implementation, cross-validation.

I. INTRODUCTION

The characteristic modes (CMs) form a special set of basis functions which can help identify the inherent resonant behaviors of arbitrarily-shaped objects. The CMs decomposition was firstly introduced as a set of modes diagonalizing the scattering matrix \cite{1}, \cite{2}. It was subsequently reformulated by diagonalizing the impedance matrix of the electric field integral equation (EFIE) for the conducting bodies \cite{3}, \cite{4}. Following the strategy of \cite{3}, the theory of CMs (TCM) was extended to the dielectric bodies using the volume integral equation (VIE) \cite{5}, named here as the VIE-based TCM. However, the VIE-based TCM results in heavy computational burden when the electric size of the object increases. A surface integral equation (SIE)-based TCM was proposed to alleviate the computation burdens \cite{6}. Unfortunately, it was observed that the SIE-based TCM proposed in \cite{6} resulted in spurious modes \cite{7}. Many modified SIE-based TCM formulations had been subsequently come up to claim to be immune from spurious modes \cite{8}–\cite{13}. However, it was observed that some of the modified formulations provided different resonant modes. Such observation makes the CMs community confused as the results of different approaches should be similar if they are reasonable. More importantly, there is no complete comparison of existing CMs formulations up to now. The lack of comparison makes it hard to confirm whether these formulations are reliable. Recently, the TCM has been extended to the composite metallic-dielectric objects \cite{12}–\cite{18}. Note that the formulations in \cite{12}–\cite{18} are combinations of CMs formulation for conducting bodies in \cite{3} and CMs formulations for dielectric bodies in \cite{5}, \cite{9}–
[12]. Because the CMs formulations of dielectric bodies may
give different modal solutions, the formulations in [13]–[18]
should encounter the same problem. For instance, it was
reported that the results of formulations in [14]–[16] were
quite different [16].

This paper aims to outline the differences among all
existing integral equation (IE)-based TCM formulations of
dielectric bodies. Comprehensive literature survey tells us
that there are eleven different IE-based TCM formulations
dielectric bodies in total [5], [6], [9]–[13]. They can
be classified into two categories, i.e., the VIE-based TCM [5]
and the SIE-based TCM [6], [9]–[13]. We can obtain
eight SIEs based on the surface equivalence principle [19].
The eight SIEs are related to five basic operators. We find
that all the ten SIE-based TCM formulations can be derived
using different combinations of the five operators and can be
conveniently implemented in a unified manner with using
these five basic operators. Also it is helpful for researchers
to understand and distinguish the ten different formulations.
Then, we present a comprehensive comparison of the numerical
results of existing formulations for a dielectric cylinder,
in which the modal behaviors of the first 100 modes are
displayed and compared. The eigenvalue curves, resonant
frequencies, degeneracy, field distributions, radiation pat-
terns, and computational efficiencies are carefully compared.
The numerical results provide a cross-validation of the exist-
ing CMs formulations of dielectric bodies. We find that all
existing approaches are capable of providing the reasonable
resonant modes, but some of them result in extra modes.
Besides, the modal behaviors of several formulations are very
similar, which indicates that they might be more reasonable.
The numerical results presented here can also serve as a
benchmark test for future new CMs formulations of dielectric
bodies.

II. REVIEW OF EXISTING FORMULATIONS
In this section, we briefly review the existing CMs formula-
tions of dielectric bodies. Because of the limited space of
the paper, we focus on the computation methods and numerical
results but ignore their physical meanings. More details about
the physical meanings can be found in the listed references.
The existing CMs formulations can be classified into two
groups, i.e., the VIE-based TCM and the SIE-based TCM.
The VIE-based TCM is more robust but time-consuming
than the SIE-based TCM. The SIE-based TCM formulations
may result in spurious modes, which are caused by either
the lack of the dependence relationships between electric
and magnetic currents [20] or the improper choice of the
weighting operators of the generalized eigenvalue equations
[13]. Extra efforts must be made to suppress spurious modes
in the SIE-based TCM.

A. VIE-BASED TCM
Referring to Fig. 1, let us consider a scattering problem
with incident field \((\vec{E}^{inc}, \vec{H}^{inc})\) illuminating a dielectric
body whose permittivity and permeability are \(\varepsilon_d\) and \(\mu_0\),
respectively. The region occupied by the dielectric body is
denoted as \(V_d\), and the boundary surface of \(V_d\) is denoted
as \(\partial V_d\). Stimulated by the incident field, there is induced
volumetric polarization current \(\vec{J}_v\) inside the dielectric, as
shown in Fig. 1. The induced current generates the scattered
field, and the total field is the sum of the incident field and the
scattered field. Applying the volume equivalence principle
[21], the induced current is related to the total field by the
constitutive relationship
\[
\vec{J}_v = j\omega (\varepsilon_d - \varepsilon_0) \left( \vec{E}^{inc} + \vec{E}^{sca} \right) = j\omega \Delta \varepsilon \vec{E}^{tot}
\] (1)
in which \(\omega\) is the angular frequency. The induced current
generates the scattered field according to [21]
\[
\vec{E}^{sca} = -j\omega \mathbf{L}_0 \left( \vec{J}_v \right)
\] (2)
where \(\mathbf{L}_0\) is a linear operator which depends only on the
shape of the volume \(V_d\):
\[
\mathbf{L}_0 \left( \vec{X} \right) = \left( 1 + \frac{1}{k_0^2} \nabla \nabla \cdot \right) \int \mathbf{G}_0 \left( \vec{r}, \vec{r}' \right) \vec{X} \left( \vec{r}' \right) \, d\Omega'
\] (3)
in which \(k_0\) and \(G_0\) are the wave number and Green’s
function in the background media. Substituting (2) into (1),
we have the following VIE
\[
\mathbf{Z} \left( \vec{J}_v \right) = \vec{E}^{inc}
\] (4)
where
\[
\mathbf{Z} = j\omega \mathbf{L}_0 + \left( j\omega \Delta \varepsilon \right)^{-1} \mathbf{I}
\] (5)
in which \(\mathbf{I}\) represents the identity operator. Then the VIE-
based TCM formulation is defined by [5]
\[
\mathbf{X} \left( \vec{J}_n \right) = \lambda_n \mathbf{R} \left( \vec{J}_n \right)
\] (6)
in which \(\mathbf{X}\) and \(\mathbf{R}\) are Hermitian parts of \(\mathbf{Z}\) as \(\mathbf{Z} = \mathbf{R} + j\mathbf{X}\),
where
\[
\begin{align*}
\mathbf{R} &= \frac{1}{2} \left( \mathbf{Z} + \mathbf{Z}^H \right) \\
\mathbf{X} &= \frac{1}{2j} \left( \mathbf{Z} - \mathbf{Z}^H \right)
\end{align*}
\] (7)

FIGURE 1: The induced volumetric polarization current of a
dielectric body illuminated by incident field.
**FIGURE 2:** Surface equivalence principle. (a) External equivalent problem. (b) Interior equivalent problem.

in which \( (\cdot)^H \) represents the conjugate transpose. Note that the \( \mathbf{X} \) and \( \mathbf{R} \) are also the imaginary and real parts of \( \mathbf{Z} \) when \( \mathbf{Z} \) is symmetric.

### B. SIE-BASED TCM

In addition to the VIE-based TCM, the CMs of dielectric bodies can be obtained using the SIE-based TCM to alleviate computational burdens. Although the SIE-based TCM formulations require less computational resources than the VIE-based TCM, the procedures to acquire the SIE-based TCM formulations are more complicated than that of the VIE-based TCM. Extensive literature survey shows that there are ten different SIE-based TCM formulations in total [6], [9]–[13]. However, there is no comprehensive comparison of the existing SIE-based TCM formulations. In this section, we review the ten different SIE-based TCM formulations. We find that all the ten formulations can be derived using five basic operators and implemented in a unified manner.

Considering the surface equivalence principle [19], the problem in Fig. 1 can be divided into two subproblems, as shown in Fig. 2. In the first subproblem, the total field inside the dielectric body is assumed to be zero; meanwhile, the total field outside the dielectric body remains unchanged, as displayed in Fig. 2a. Note that the media property of \( V_d \) can be replaced with \((\epsilon_0, \mu_0)\) in this subproblem according to the surface equivalence principle. Thus the entire space becomes an infinite homogeneous space with media parameters \((\epsilon_0, \mu_0)\) [19]. There are equivalent electric and magnetic currents located on the surface of the dielectric body, according to the boundary conditions of the electromagnetic field. The surface equivalence principle indicates that the equivalent electric and magnetic currents generate the scattered filed outside the dielectric body and are responsible for the zero field inside the dielectric body [19]. Therefore, the following four SIEs can be obtained:

\[
\begin{align*}
\tilde{E}^{sca}(\vec{r}) &= -j\omega\mu_0\mathbf{L}_0 \left( \vec{J}_s \right) - \mathbf{K}_0^\pm \left( \vec{M}_s \right), \vec{r} \in \partial V^+ \\
\tilde{H}^{sca}(\vec{r}) &= -j\omega\epsilon_0\mathbf{L}_0 \left( \vec{M}_s \right) + \mathbf{K}_0^\pm \left( \vec{J}_s \right), \vec{r} \in \partial V^+ \\
\tilde{E}^{inc}(\vec{r}) &= -j\omega\mu_0\mathbf{L}_0 \left( \vec{J}_s \right) - \mathbf{K}_0^+ \left( \vec{M}_s \right), \vec{r} \in \partial V^- \\
\tilde{H}^{inc}(\vec{r}) &= -j\omega\epsilon_0\mathbf{L}_0 \left( \vec{M}_s \right) + \mathbf{K}_0^+ \left( \vec{J}_s \right), \vec{r} \in \partial V^-
\end{align*}
\]

in which \( \mathbf{L}_0 \) is a linear operator given in (3), and \( \mathbf{K}_0^\pm \) is also a linear operator formulated as:

\[
\mathbf{K}_0^\pm \left( \vec{X} \right) = \pm \frac{n}{2} \times \vec{X}(\vec{r}) + P.V. \int_{\Omega} \nabla G_0(\vec{r},\vec{r}') \times \vec{X}(\vec{r}') \, d\Omega'
\]

where \( \hat{n} \) represents the outward unit normal vector of \( \partial V_d \), and the P.V. represents the Cauchy principal value of the integration. The \( \vec{J}_s \) and \( \vec{M}_s \) are related to the total field on the \( \partial V_d \):

\[
\begin{align*}
\vec{J}_s &= \hat{n} \times \tilde{H}^{tot} \\
\vec{M}_s &= \tilde{E}^{tot} \times \hat{n}
\end{align*}
\]

Equation (13) can be verified by \( \hat{n} \times [(8)-(10)] \) and \( \hat{n} \times [(9)-(11)] \).

In the second subproblem, the total field outside the dielectric body is assumed to be zero, while the total field inside the dielectric body remains unchanged, as shown in Fig. 2b. Note that the excitation sources which produce the incident field could be removed, and the media parameters of \( V_0 \) can be replaced with \((\epsilon_d, \mu_0)\) in this subproblem according to the surface equivalence principle. Therefore the whole space becomes an infinite homogeneous space with media parameters \((\epsilon_d, \mu_0)\) [19]. In this problem, also there are equivalent electric and magnetic currents on the surface of the dielectric body. Besides, these surface equivalent currents in this interior equivalent problem have equal amplitudes but opposite directions compared with those of external equivalent problem, as shown in Fig. 2. The surface equivalence principle indicates that the equivalent electric and magnetic currents generate zero field outside the dielectric body and total field inside the dielectric body. Therefore, the following four SIEs can be acquired:

\[
0 = -j\omega\mu_0\mathbf{L}_d \left( -\vec{J}_s \right) - \mathbf{K}_d^d \left( -\vec{M}_s \right), \vec{r} \in \partial V^+
\]
in terms of the formulations of [8] are elaborated in [9]. The formulations are briefly reported in which the equivalent electric or
Note that the impedance matrix
Then the CMs are solved by the same way as (6) and (7).
By applying the surface equivalence principle, we have obtained eight SIEs, i.e., (8) - (11) and (14) - (17). In the following, we will illustrate how to derive all existing SIE-based CM formulations using the eight SIEs.

1) Formulation in [6]
The first approach to solve the CMs of dielectric bodies using SIE is presented in [6]. Subtracting (8) from (16), we obtain
Similarly, subtracting (9) from (17), we have
Combining (18) and (19), and rewriting them in a matrix form as follows
To ensure that the impedance matrix is symmetric, it is convenient to rearrange (20) into the form
in which
Then the CMs are solved by the same way as (6) and (7). Note that the impedance matrix Z in (7) should be replaced with Z[6] in (22). The subscript of Z[6] refers to the index of the corresponding reference to distinguish from others impedance matrix, and the same goes for subsequent sections.

2) Formulations in [8], [9]
It is observed that the approach of [6] resulted in spurious modes [7]. To fix this problem, two single-source formulations are briefly reported in which the equivalent electric or magnetic current is alternatively involved [8]. Subsequently, the formulations of [8] are elaborated in [9]. The formulations of [8], [9] are based on (18) and (19). Firstly, it is assumed that \( \vec{H}^{inc} = 0 \) in (19), thus \( \vec{M}_s \) can be expressed in terms of \( \vec{J}_s \)

Then substituting (23) into (18), a single-source formulation is obtained
in which
The superscript J indicates that the SIE only involves the electric current. Finally, the CMs are obtained using the similar approach as (6) and (7). Note that the impedance matrix Z in (7) should be replaced with Z[9] in (25).

Alternatively, we assume that \( \vec{E}^{inc} = 0 \) in (18), thus \( \vec{J}_s \) can be expressed in terms of \( \vec{M}_s \)
Substituting (26) into (19), another single-source formulation is acquired
Also the CMs are solved using (6) and (7) but replace the impedance matrix Z in (7) with Z[9] in (28).

3) Formulations in [10]
Five types of SIE-based TCM formulations are presented in [10]. These five types formulations are derived in a unified manner. Three formulations lead to the same generalized eigenvalue equations as in [6], [9], while other two formulations result in two new generalized eigenvalue equations. The two proposed formulations which determine the CMs are [10]

The CMs are solved using the similar approach as (6) and (7) but replace the impedance matrix Z in (7) with Z[10] and Z[10]

4) Formulations in [11]
Also adopting the current elimination method, two alternative formulations are presented in [11]. The major advantage of formulations in [11] is that no restriction is imposed on incident fields. As observed from (23) and (26), \( \vec{H}^{inc} = 0 \) or \( \vec{E}^{inc} = 0 \) is assumed to derive the dependence relationships.
between \( \vec{J}_s \) and \( \vec{M}_s \). For the CM formulations in [11], the dependence relationships between \( \vec{J}_s \) and \( \vec{M}_s \) are directly derived using (14) and (15), where neither \( \vec{H}^{inc} = 0 \) nor \( \vec{E}^{inc} = 0 \) is required.

According to (14), \( \vec{J}_s \) can be obtained using \( \vec{M}_s \) without considering the incident fields

\[
\vec{J}_s = \mathbf{T}_{MJ} \cdot \vec{M}_s \tag{31}
\]

in which

\[
\mathbf{T}_{MJ} = -(j\omega\mu_0\mathbf{L}_d)^{-1} \cdot \mathbf{K}_d
\]

Substituting (31) into (21), we have

\[
\mathbf{Z}_{[6]} \cdot \vec{M}_s = \left[ \mathbf{T}_{MJ}^H \ (j\mathbf{I})^H \right] \cdot \vec{E}^{inc} \tag{33}
\]

where \( \mathbf{I} \) refers to the identity operator. Then both sides of (33) multiply by \( \left[ \mathbf{T}_{MJ}^H \ (j\mathbf{I})^H \right] \) results in

\[
\mathbf{Z}_{[11]}^M \cdot \vec{M}_s = \left[ \mathbf{T}_{MJ}^H \ (j\mathbf{I})^H \right] \cdot \vec{E}^{inc} \tag{34}
\]

in which

\[
\mathbf{Z}_{[11]}^M = \left[ \mathbf{T}_{MJ}^H \ (j\mathbf{I})^H \right] \cdot \mathbf{Z}_{[6]} \cdot \left[ \mathbf{T}_{MJ} \right] \tag{35}
\]

The well-designed operator \( \left[ \mathbf{T}_{MJ}^H \ (j\mathbf{I})^H \right] \) on the left-hand side of \( \mathbf{Z}_{[6]} \) and its conjugate transpose operator on the right-hand side of \( \mathbf{Z}_{[6]} \) express \( \vec{J}_s \) in terms of \( \vec{M}_s \) to ensure that the resulted eigenvalues have reasonable physical meanings related to electromagnetic powers [11].

Alternatively, \( \vec{M}_s \) can be acquired using \( \vec{J}_s \) according to (15) without considering specific incident fields, and the following single-source SIE in which only \( \vec{J}_s \) is involved could be derived

\[
\mathbf{Z}_{[11]}^J \cdot \vec{J}_s = \left[ \mathbf{I}^H \ (j\mathbf{T}_{JM})^H \right] \cdot \vec{E}^{inc} \tag{36}
\]

where

\[
\mathbf{Z}_{[11]}^J = \left[ \mathbf{I}^H \ (j\mathbf{T}_{JM})^H \right] \cdot \mathbf{Z}_{[6]} \cdot \left[ \mathbf{I}^H \ (j\mathbf{T}_{JM}) \right] \tag{37}
\]

\[
\mathbf{T}_{JM} = (j\omega\varepsilon_d\mathbf{L}_d)^{-1} \cdot \mathbf{K}_d
\]

Finally, the CMs are calculated using (6) and (7) but replace the impedance matrix \( \mathbf{Z} \) in (7) with \( \mathbf{Z}_{[11]}^M \) and \( \mathbf{Z}_{[11]}^J \).

5) Formulations in [12]

Applying the current elimination method, two novel formulations are proposed in [12]. Differently from the formulations described above, the CMs are solved by two special generalized eigenvalue equations [12].

Firstly, it is assumed that \( \vec{E}^{inc} = 0 \) in (10), we have

\[
j\omega\mu_0\mathbf{L}_0 \left( \vec{J}_s \right) + \mathbf{K}_0^+ \left( \vec{M}_s \right) = 0 \tag{39}
\]

Using (15), \( \vec{M}_s \) can be expressed in terms of \( \vec{J}_s \)

\[
\vec{M}_s = \mathbf{T}_{JM} \cdot \vec{J}_s
\]

in which \( \mathbf{T}_{JM} \) is identical to (38). Substituting (40) into (39) results in

\[
\mathbf{Z}_{[12]}^J \cdot \vec{J}_s = 0 \tag{41}
\]

where

\[
\mathbf{Z}_{[12]}^J = j\omega\mu_0\mathbf{L}_0 + \mathbf{K}_0^+ \cdot (j\omega\varepsilon_d\mathbf{L}_d)^{-1} \cdot \mathbf{K}_d
\]

Alternatively, we assume \( \vec{H}^{inc} = 0 \) in (11), we have

\[
j\omega\varepsilon_d\mathbf{L}_0 \left( \vec{M}_s \right) - \mathbf{K}_0^+ \left( \vec{J}_s \right) = 0 \tag{43}
\]

Using (14), \( \vec{J}_s \) can be expressed in terms of \( \vec{M}_s \) as \( \vec{J}_s = \mathbf{T}_{MJ} \cdot \vec{M}_s \) where \( \mathbf{T}_{MJ} \) is given by (32). Applying the current elimination method to (43), we have

\[
\mathbf{Z}_{[12]}^M \cdot \vec{M}_s = 0 \tag{44}
\]

in which

\[
\mathbf{Z}_{[12]}^M = j\omega\varepsilon_d\mathbf{L}_0 + \mathbf{K}_0^+ \cdot (j\omega\mu_0\mathbf{L}_d)^{-1} \cdot \mathbf{K}_d
\]

Finally, the CMs are solved by the following generalized eigenvalue equations

\[
\mathbf{Z}_{[12]}^J \cdot \vec{J}_n = (1 + j\lambda_n) \mathbf{R}_{[12]}^J \cdot \vec{J}_n \tag{46}
\]

\[
\mathbf{Z}_{[12]}^M \cdot \vec{M}_n = (1 + j\lambda_n) \mathbf{R}_{[12]}^M \cdot \vec{M}_n \tag{47}
\]

in which \( \mathbf{R}_{[12]}^J \) and \( \mathbf{R}_{[12]}^M \) are well-designed operators related to the radiated power

\[
\mathbf{R}_{[12]}^J = \text{real} \{ j\omega\mu_0\mathbf{L}_0 \} + j \cdot \text{imag} \{ \mathbf{K}_0^+ \} \cdot (j\omega\varepsilon_d\mathbf{L}_d)^{-1} \cdot \mathbf{K}_d
\]

\[
\mathbf{R}_{[12]}^M = \text{real} \{ j\omega\varepsilon_d\mathbf{L}_0 \} + j \cdot \text{imag} \{ \mathbf{K}_0^+ \} \cdot (j\omega\mu_0\mathbf{L}_d)^{-1} \cdot \mathbf{K}_d
\]

where \( \text{real} \{ \cdot \} \) and \( \text{imag} \{ \cdot \} \) represent the real and imaginary part, respectively. The inspiration to construct \( \mathbf{R}_{[12]}^J \) and \( \mathbf{R}_{[12]}^M \) related to the radiated power comes from the combined field integral equation-based TCM [22]. Note that the formulations of current elimination in [12] are same with those of [11]. The difference between the CMs formulations in [11] and [12] is how to construct the generalized eigenvalue equations.

6) Formulation in [13]

Recently, it is found that the current elimination process can be avoided by properly selecting the weighting operator of the generalized eigenvalue equation in terms of the integral operators related to the background media [13]. The CMs can be directly solved by the following eigenvalue equation

\[
\mathbf{Z}_{[6]} \cdot \vec{J}_n \left[ \frac{1}{j\lambda_n} \right] = \left( 1 + j\lambda_n \right) \mathbf{R}_{[13]} \cdot \vec{J}_n \tag{50}
\]

in which \( \mathbf{Z}_{[6]} \) is identical to (22), and \( \mathbf{R}_{[13]} \) is a weighting operator in terms of the real and imaginary parts of the integral operators only related to the background media

\[
\mathbf{R}_{[13]} = \begin{bmatrix} \text{real} \{ j\omega\mu_0\mathbf{L}_0 \} & \text{imag} \{ \mathbf{K}_0^+ \} \\ \text{imag} \{ \mathbf{K}_0^- \} & \text{real} \{ j\omega\varepsilon_d\mathbf{L}_0 \} \end{bmatrix}
\]
III. IMPLEMENTATION OF THE EXISTING SIE-BASED TCM FORMULATIONS

Since there are so many different formulations to calculate the CMs of dielectric bodies, it would be interesting to make a comprehensive comparison among them. We summarize the existing computation formulations in Table 1 for comparison. More importantly, the computation results of these formulations should be compared to make the relationship and distinction among these formulations clear. We must implement all of the existing SIE-based TCM formulations to obtain the computation results of them. In this section, we present a procedure to implement all of the existing SIE-based TCM formulations in a unified manner.

It can be observed from Table 1 that the operators related to the calculations of the CMs are combinations of six basic operators, i.e., \( \mathbf{L}_0, \mathbf{L}_d, \mathbf{K}_0^P, \mathbf{K}_0, \mathbf{K}_d^P, \) and \( \mathbf{K}_d \). Applying the method of moments (MoM) [23] with Galerkin testing procedure, these operators can be transformed into matrices as

\[
[\mathbf{L}_i]_{mn} = \int_{\Omega_m} f_m^i (\vec{r}) \cdot \int_{\Omega_n} f_n (\vec{r}) G_i d\Omega_n d\Omega_m - \frac{1}{k^2} \int_{\Omega_m} \nabla \cdot f_m^i (\vec{r}) \int_{\Omega_n} \nabla \cdot f_n (\vec{r}) G_i d\Omega_n d\Omega_m
\]

\[
[\mathbf{K}^P]_{mn} = P.V. \int_{\Omega_m} f_m (\vec{r}) \cdot \int_{\Omega_n} \nabla G_i \times f_n (\vec{r}) d\Omega_n d\Omega_m
\]

\[
[\mathbf{K}]_{mn} = \int_{\Omega_m} f_m (\vec{r}) \cdot \left[ \frac{\hat{n}}{2} \times f_n (\vec{r}) \right] d\Omega_m
\]

\[
[\mathbf{K}^\pm]_{mn} = [\mathbf{K}^P]_{mn} \pm [\mathbf{K}]_{mn}
\]

in which \( i = 0 \) or \( d \). The \( f_m^i \) and \( f_n \) represent the \( m \)th testing function and the \( n \)th basis function, respectively. The basis function and testing function both are RWG function [24] in this paper. The \( \Omega_m \) and \( \Omega_n \) denote the regions occupied by the \( f_m \) and \( f_n \), respectively. The \( [\cdot]_{mn} \) represents the element located in the \( m \)th row and the \( n \)th column of corresponding matrix. As can be observed, only five basic matrices need to be calculated, i.e., \( \mathbf{L}_0, \mathbf{L}_d, \mathbf{K}_0^P, \mathbf{K}_0^P, \) and \( \mathbf{K} \). Once we have obtained above submatrices, all of the matrices related to the existing SIE-based TCM formulations can be assembled by these submatrices according to Table 1 without repeating computations. It will save a lot of time to calculate the results of the existing SIE-BASED TCM formulations.

IV. CROSS-VALIDATION OF EXISTING FORMULATIONS

In this section, we use the method described above to calculate the CMs of a lossless dielectric cylinder of radius 5.25 mm, height 4.6 mm, and \( \epsilon_r = 38, \mu_r = 1 \). The frequency band is from 4.5 GHz to 8 GHz with 50 MHz interval. Although the case has often been used to verify the results of CMs formulations [9]–[12], a complete comparison between the existing CMs formulations has not been found. We herein present a complete comparison of all CMs formulations, and they are cross-validated by the numerical results. All computations have been carried out with in-house MATLAB codes using a workstation computer with Intel(R) Xeon (R) X5650 CPU 2.67 GHz and 64 GB RAM.

The modal significance (MS) is an intrinsic parameter of CMs that indicates whether the mode is resonant. The MS is defined by

\[
MS_n = \frac{\lambda_n}{1+\lambda_n^2}
\]

The MS transforms the \([-\infty, +\infty]\) range of \( \lambda_n \) into a much smaller range of \([0, 1]\), thus the MS is more convenient than \( \lambda_n \) to investigate the resonant behaviors over a wide frequency band. The modes with MS approach to 1 are resonant. Figure 3 depicts the MS curves of the first 100 modes obtained from the existing CMs formulations within the frequency band 4.5 GHz to 8 GHz. The resonant frequencies can be found by locating the maximal values of MS curves. There are many degenerate modes owing to the symmetry of the cylinder. In Fig. 3, we label the resonant frequency and degeneracy (inside of the parenthesis). It was reported that there were eight resonant modes (including degenerate modes) within the frequency band 4.5 GHz to 8 GHz, i.e., \( TE_{01}, HEM_{11}, HEM_{12}, TM_{01}, \) and \( HEM_{21} \) [25]. Note that the \( HEM \) modes are degenerate. For convenience, we list the resonant frequency and degeneracy (inside of the parenthesis) obtained from different methods in Table 2. It can be observed from Table 2 that all existing CMs formulations give the reasonable resonant frequencies and degeneracies of resonant modes within the frequency band 4.5 GHz to 8 GHz, compared with the result of eigenmodes in [26] and measurement result of [27]. Furthermore, we investigate the electric fields, magnetic fields, and radiation patterns of the resonant modes obtained from all existing CMs formulations. We found that the fields and radiation patterns of the resonant modes obtained from different methods are almost identical, which are depicted in Fig. 4 to 6.

However, some noticeable relationships among the results obtained from these methods could be found if we carefully compare these MS curves:

1) The VIE-based TCM in [5] and the SIE-based TCM in [11]–[13] give very similar MS curves for not only the aforementioned eight resonant modes but also the higher-order modes which are not resonant within the frequency band. Note that the MS of \( HEM_{21} \) modes at 7.8 GHz obtained from the VIE-based TCM is closer to 1 than those of the SIE-based TCM. It is caused by the numerical errors of different methods and does not affect the resonant frequencies. It indicates that these different approaches may lead to identical modal solutions. Some mathematical proof had been presented in [11]. It is proved rigorously that the SIE-based TCM of [11] and the VIE-based TCM of [5] result in identical modal results. Other mathematical proof of the connection between the VIE-based TCM and the SIE-based TCM need the efforts of CMs community and beyond of the scope of this paper.
TABLE 1: Summary of existing IE-based TCM formulations

| Methods                      | Generalized eigenvalue equations | Related operators |
|------------------------------|----------------------------------|-------------------|
| VIE-based CM [5]             | $\mathbf{X} \cdot \mathbf{J}_n = \lambda_n \mathbf{R} \cdot \mathbf{J}_n$ | $\mathbf{Z} = j\omega \mathbf{L}_0 + (j\omega \Delta \varepsilon)^{-1} \cdot \mathbf{I}$ |
|                              |                                  | $\mathbf{R} = \frac{1}{2} \left( \mathbf{Z} + \mathbf{Z}^H \right)$, $\mathbf{X} = \frac{1}{2j} \left( \mathbf{Z} - \mathbf{Z}^H \right)$ |
| Formulation in [6]           | $\mathbf{X} \cdot \left[ \mathbf{J}_n \begin{bmatrix} 1 \\ j\lambda_n \end{bmatrix} \right] = \lambda_n \mathbf{R} \cdot \left[ \mathbf{J}_n \begin{bmatrix} 1 \\ j\lambda_n \end{bmatrix} \right]$ | $\mathbf{Z}_{[6]} = \begin{bmatrix} j\omega \mu_0 (\mathbf{L}_d + \mathbf{L}_0) & -j \left( \mathbf{K}_d^+ + \mathbf{K}_0^- \right) \\ -j \left( \mathbf{K}_d^+ + \mathbf{K}_0^- \right) & j\omega \left( \varepsilon_d \mathbf{L}_d + \varepsilon_0 \mathbf{L}_0 \right) \end{bmatrix}$ |
|                              |                                  | $\mathbf{R} = \frac{1}{2j} \left( \mathbf{Z}_{[6]} + \mathbf{Z}_{[6]}^H \right)$, $\mathbf{X} = \frac{1}{2j} \left( \mathbf{Z}_{[6]} - \mathbf{Z}_{[6]}^H \right)$ |
| J-formulation in [8], [9]    | $\mathbf{X} \cdot \mathbf{J}_n = \lambda_n \mathbf{R} \cdot \mathbf{J}_n$ | $\mathbf{Z}_{[9]} = j\omega \mu_0 (\mathbf{L}_d + \mathbf{L}_0)$ |
|                              |                                  | $+ \left( \mathbf{K}_d^+ + \mathbf{K}_0^- \right) \left[ j\omega \left( \varepsilon_d \mathbf{L}_d + \varepsilon_0 \mathbf{L}_0 \right) \right]^{-1} \left( \mathbf{K}_d^+ + \mathbf{K}_0^- \right)$ |
|                              |                                  | $\mathbf{R} = \frac{1}{2j} \left( \mathbf{Z}_{[9]} + \mathbf{Z}_{[9]}^H \right)$, $\mathbf{X} = \frac{1}{2j} \left( \mathbf{Z}_{[9]} - \mathbf{Z}_{[9]}^H \right)$ |
| M-formulation in [8], [9]    | $\mathbf{X} \cdot \mathbf{M}_n = \lambda_n \mathbf{R} \cdot \mathbf{M}_n$ | $\mathbf{Z}_{[10]} = j\omega \mu_0 (\mathbf{L}_d + \mathbf{L}_0)$ |
|                              |                                  | $+ \left( \mathbf{K}_d^+ + \mathbf{K}_0^- \right) \left[ j\omega \mu_0 (\mathbf{L}_d + \mathbf{L}_0) \right]^{-1} \left( \mathbf{K}_d^+ + \mathbf{K}_0^- \right)$ |
|                              |                                  | $\mathbf{R} = \frac{1}{2} \left( \mathbf{Z}_{[10]} + \mathbf{Z}_{[10]}^H \right)$, $\mathbf{X} = \frac{1}{2j} \left( \mathbf{Z}_{[10]} - \mathbf{Z}_{[10]}^H \right)$ |
| J-formulation in [10]        | $\mathbf{X} \cdot \mathbf{J}_n = \lambda_n \mathbf{R} \cdot \mathbf{J}_n$ | $\mathbf{Z}_{[12]} = j\omega \mu_0 (\mathbf{L}_d + \mathbf{L}_0)$ |
|                              |                                  | $+ \left( \mathbf{K}_d^+ \cdot \left( j\omega \varepsilon_d \mathbf{L}_d \right)^{-1} \right) \cdot \mathbf{K}_d^+ \cdot \left( j\omega \mu_0 \mathbf{L}_d \right)^{-1} \cdot \mathbf{K}_d^+$ |
|                              |                                  | $\mathbf{R} = \frac{1}{2} \left( \mathbf{Z}_{[12]} + \mathbf{Z}_{[12]}^H \right)$, $\mathbf{X} = \frac{1}{2j} \left( \mathbf{Z}_{[12]} - \mathbf{Z}_{[12]}^H \right)$ |
| M-formulation in [10]        | $\mathbf{X} \cdot \mathbf{M}_n = \lambda_n \mathbf{R} \cdot \mathbf{M}_n$ | $\mathbf{Z}_{[14]} = j\omega \mu_0 (\mathbf{L}_d + \mathbf{L}_0)$ |
|                              |                                  | $+ \left( \mathbf{K}_d^+ \cdot \left( j\omega \varepsilon_d \mathbf{L}_d \right)^{-1} \right) \cdot \mathbf{K}_d^+ \cdot \left( j\omega \mu_0 \mathbf{L}_d \right)^{-1} \cdot \mathbf{K}_d^+$ |
|                              |                                  | $\mathbf{R} = \frac{1}{2} \left( \mathbf{Z}_{[14]} + \mathbf{Z}_{[14]}^H \right)$, $\mathbf{X} = \frac{1}{2j} \left( \mathbf{Z}_{[14]} - \mathbf{Z}_{[14]}^H \right)$ |
| J-formulation in [11]        | $\mathbf{X} \cdot \mathbf{J}_n = \lambda_n \mathbf{R} \cdot \mathbf{J}_n$ | $\mathbf{Z}_{[16]} = j\omega \mu_0 \mathbf{L}_d + \mathbf{R}_{[12]}$ |
|                              |                                  | $\mathbf{R}_{[12]} = \mathbf{R}_{[12]}$ |
| M-formulation in [11]        | $\mathbf{X} \cdot \mathbf{M}_n = \lambda_n \mathbf{R} \cdot \mathbf{M}_n$ | $\mathbf{Z}_{[18]} = j\omega \mu_0 \mathbf{L}_d + \mathbf{R}_{[12]}$ |
|                              |                                  | $\mathbf{R}_{[12]} = \mathbf{R}_{[12]}$ |
FIGURE 3: The MS of first 100 modes obtained from the existing CMs formulations.

2) The result of the formulation in [6] contains many extra resonant modes, compared with the results of the approaches in [5], [11]–[13]. However, the MS curves of the aforementioned eight resonant modes are almost
TABLE 2: The resonant frequency and degeneracy (inside of the parenthesis) obtained from different methods

| Method                        | $TE_{01}$ | $HEM_{11}$ | $HEM_{12}$ | $TM_{01}$ | $HEM_{21}$ |
|-------------------------------|-----------|------------|------------|-----------|------------|
| Eigenmode [26]               | 4.83 GHz  | 6.33 GHz   | 6.63 GHz   | 7.52 GHz  | 7.75 GHz   |
| Measurement result [27]       | 4.85 GHz  | Null       | 6.64 GHz   | 7.6 GHz   | 7.81 GHz   |
| VIE-based CM in [5]           | 4.85 GHz (1) | 6.35 GHz (2) | 6.65 GHz (2) | 7.6 GHz (1) | 7.8 GHz (2) |
| Formulation in [6]            | 4.85 GHz (1) | 6.35 GHz (2) | 6.65 GHz (2) | 7.55 GHz (1) | 7.75 GHz (1) |
| J-formulation in [9]          | 4.85 GHz (1) | 6.3 GHz (2)  | 6.65 GHz (2) | 7.55 GHz (1) | 7.75 GHz (2) |
| M-formulation in [9]          | 4.85 GHz (1) | 6.35 GHz (2) | 6.65 GHz (2) | 7.55 GHz (1) | 7.75 GHz (2) |
| J-formulation in [10]         | 4.85 GHz (1) | 6.4 GHz (2)  | 6.65 GHz (2) | 7.55 GHz (1) | 7.75 GHz (2) |
| M-formulation in [10]         | 4.85 GHz (1) | 6.35 GHz (2) | 6.65 GHz (2) | 7.55 GHz (1) | 7.75 GHz (1) |
| J-formulation in [11]         | 4.85 GHz (1) | 6.35 GHz (2) | 6.65 GHz (2) | 7.55 GHz (1) | 7.75 GHz (1) |
| M-formulation in [11]         | 4.85 GHz (1) | 6.35 GHz (2) | 6.65 GHz (2) | 7.55 GHz (1) | 7.75 GHz (1) |
| J-formulation in [12]         | 4.85 GHz (1) | 6.35 GHz (2) | 6.65 GHz (2) | 7.55 GHz (1) | 7.75 GHz (1) |
| M-formulation in [12]         | 4.85 GHz (1) | 6.35 GHz (2) | 6.65 GHz (2) | 7.55 GHz (1) | 7.75 GHz (1) |
| Formulation in [13]           | 4.85 GHz (1) | 6.35 GHz (2) | 6.65 GHz (2) | 7.55 GHz (1) | 7.75 GHz (1) |

FIGURE 4: Electric fields of resonant modes obtained from CMs formulations.

identical to those of [5], [11]–[13]. It indicates that the result of formulation in [6] not only could give several reasonable resonant modes but also bring some spurious modes.

3) The MS curves acquired from the J-formulation and M-formulation in [9] are quite different from those of [5], [11]–[13]. Besides, the MS curves indicate that there are more than eight resonant modes when considering the degenerate modes, which does not coincide with the results in [25]. In addition, the MS curves acquired from the J-formulation and M-formulation in [9] are not similar, as they should be. Therefore, there might be some problems on the formulations of [9].

4) The MS curves obtained from the J-formulation and M-formulation in [10] indicate five reasonable resonant frequencies and eight resonant modes. Besides, it seems that the results of formulations in [10] are immune from spurious modes. There are some minor differences between the MS curves of the J-formulation and M-formulation, as shown in Fig. 3e and 3f. Fortunately, the MS curves of J-formulation and M-formulation are still similar and provide reasonable resonant modes.

We also compare the computational efficiencies of different formulations. Table 3 lists the unknowns and computational time (in second) of all CMs formulations. The averaged mesh size of all computations equals one-tenth of the wavelength in the dielectric region at 8 GHz. The SWG basis function [28] is used for the VIE-based TCM formulation, and the RWG basis function [24] is employed for the SIE-based TCM formulations. The unknowns correspond to the numbers of SWG or RWG basis functions. The computational
time includes the time for the computation of the impedance matrix and solving the generalized eigenvalue equation at a single frequency. It is obvious that the SIE-based TCM can greatly save the computational time, compared with the VIE-based TCM. This is the reason why much attention is paid for the SIE-based TCM. Besides, the computational time of the existing SIE-based TCM formulations is about the same level.

**V. CONCLUSION**

In this paper, we present an overview and a unified implementation procedure of the IE-based TCM formulations of dielectric bodies. The numerical results obtained using the existing TCM formulations for a dielectric body are also displayed and compared. We study the modal solutions of the first 100 modes of different methods to make a complete comparison. It is found that although all approaches could give the reasonable resonant modes, some of them bring extra modes. Besides, several different formulations result in
similar modal solutions. The existing schemes for CMs of dielectric bodies are cross-verified by the numerical results. The modal solutions given in this paper could serve as benchmarks of CMs for dielectric bodies.

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TABLE 3: The computational time of different methods

| Method            | Unknowns | Computation time (second) |
|-------------------|----------|----------------------------|
| VIE-based CM [5]  | 44338    | 22087                      |
| Formulation in [6]| 7326     | 603                        |
| J-formulation in [9]| 3663    | 517                        |
| M-formulation in [9]| 3663    | 602                        |
| J-formulation in [10]| 3663   | 565                        |
| M-formulation in [10]| 3663   | 609                        |
| J-formulation in [11]| 3663    | 582                        |
| M-formulation in [11]| 3663    | 595                        |
| J-formulation in [12]| 3663    | 501                        |
| M-formulation in [12]| 3663    | 508                        |
| Formulation in [13]| 7326    | 582                        |
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