Holographic Schwinger Effect in a D-Instanton Background

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Abstract
The Schwinger effect in the presence of instantons is considered in this paper. Using AdS/CFT correspondence in the near horizon limit of D3+D(-1) brane background, we calculate the total potential of a quark-antiquark pair in an external electric field. It is shown that instantons tend to suppress the pair creation effect and increase the critical electric field above which the pairs are produced freely without any suppression. Interestingly, no other critical electric field, common for all confining field theories, is observed here at finite temperature. However, as expected we find such a critical electric field at zero temperature. The pair production rate evaluated by the calculation of the expectation value of the time-like circular Wilson loop also confirms this result.

1 Introduction

The electron and positron pair production in the presence of an external electric field in the vacuum of the quantum electrodynamics (QED) is a non-perturbative phenomenon known as the Schwinger effect \cite{1}. This effect is not restricted to QED, but it is also relevant to QFTs coupled to a $U(1)$ gauge field. The original Schwinger’s work was based on the weak-coupling and weak-field approximation. He found an exponential suppression with a quantity $\propto m^2 e E$ in the exponent for the production rate, in the presence of the external field $E$, where $m$ and $e$ denote the rest mass and electric charge of the electron. Until now, the Schwinger effect has not been observed in real experiments, since a strong electric field, greater than $m^2 e \approx 1.3 \times 10^{12} V/m$, is needed for this effect to become significant and observable.

Later, this effect was generalized to the arbitrary-coupling but weak-field case \cite{2}, by taking into account the Coulomb interaction. Modifying the potential in this way leads to the existence of a critical electric field $E_c$ below which the pair production can be explained as a tunneling process that is the particles are faced with a potential barrier. As the electric field increases to $E_c$, the potential barrier vanishes and above $E_c$ the production rate is not suppressed anymore and hence the vacuum becomes unstable.

The existence of a critical value for the electric field and consequently a phase transition is predicted also by the string theory \cite{3,4}. The connection between the string theory and gauge theories is established through AdS/CFT correspondence \cite{5,6,7}. This connection motivated people to study the Schwinger effect in the context of AdS/CFT and more generally gauge/gravity duality, in which the problem can be considered beyond the weak-field approximation.

The $\mathcal{N} = 4$ Super-Yang-Mills (SYM) (the theory in the field theory side of AdS/CFT) does not contain matter fields. One way to introduce them to the theory is to employ the Higgs mechanism in which the gauge group is broken from $SU(N + 1)$ to $SU(N) \times U(1)$. Using the scheme of \cite{2} in
the large $N$ limit, the production rate for this theory can be obtained. However, this approach leads to a puzzle. The critical electric field obtained in this way disagrees with the one derived by the Dirac-Born-Infeld (DBI) action of a D3-brane near the AdS boundary. This problem was resolved by the holographic set-up proposed by Semenoff and Zarembo [9]. Considering a single probe D3-brane in an intermediate position in the bulk and separated from the stuck of $N$ D3-branes, they built a set-up in which the mass of a single quark is finite. Then, they evaluated the production rate by computing the expectation value of a circular Wilson loop on the probe brane, using the holography dictionary, and found a value for the critical electric field in agreement with the DBI action result. Then, a consistent potential analysis was invented [10] by using a modified Coulomb potential which gives results in agreement with the previous ones. The potential between a particle-antiparticle pair at rest comes from the expectation value of the rectangular Wilson loop which corresponds to the area of a string world-sheet attached to the Wilson loop on the boundary.

Since then, there has been a growing interest in investigating various aspects of the holographic Schwinger effect in different situations (for example see [11–19]). Here we are interested in studying the Schwinger effect in confining gauge theories using holography, originated from [14]. A review on this topic can be found in [20]. The study of this effect in the confining backgrounds may shed some light on the confinement/deconfinement phase transition in QCD. Specially, this effect is relevant to the heavy ion collisions, done in e.g. RHIC and LHC experiments, where strong electric and magnetic fields are present.

In the present paper we consider a semi-confining gauge theory at both zero and finite temperatures, and explore the effect of instantons on the Schwinger effect in this background. To do so, we calculate both the potential and the pair production rate, and discuss about the possible phases of the system. It is known that Yang-Mills instantons are identified with the D-instantons of type IIB string theory (for example see [21–23]). We choose the D3+D(-1) brane background for our purposes. This background at zero temperature was first suggested in [24]. They discussed the near horizon limit of D-instanton charge homogeneously distributed over D3-brane world-volume at zero temperature and proposed that it corresponds to a $\mathcal{N} = 4$ SYM theory in a constant homogenous self-dual gauge field background, where the presence of a self-dual gauge field in flat space is dual to the presence of the D-instanton charge. Using the AdS/CFT correspondence they also showed that this background should be a partially confining theory with confined quarks and deconfined gluons. Also, holographic dual of uniformly distributed D-instanton over D3-brane at finite temperature for D7-brane embedding was considered in [25].

In the next section the background geometry of D3+D(-1) brane configuration at finite temperature is introduced. Considering a string hanging from the boundary of the abovementioned background, the total potential including the static energy, the Coulomb potential energy and the potential energy due to the interaction with the external electric field is computed in section 3. Moreover, using the DBI action of the probe D3-brane, a critical field for pair production is obtained, and used to confirm the correctness of the potential method used here. To show the effect of instantons on the process, we do the calculations for different values of the instanton density parameter and for both zero and finite temperatures. We devote section 4 to investigate the confinement of the system using the notion of the pair production rate. We finally summarize and draw our conclusions in section 5.

## 2 Background geometry with D-instantons

This section is devoted to a short introduction of the background with D-instantons in the gravity side. We are interested in the near horizon limit of D3+D(-1) brane geometry at finite temperature with Euclidean signature. The ten-dimensional supergravity action in Einstein frame is given by [25]

$$S = \frac{1}{\kappa} \int d^{10}x \sqrt{g} \left( R - \frac{1}{2} (\partial \Phi)^2 + \frac{1}{2} e^{2\Phi} (\partial \chi)^2 - \frac{1}{6} F_{(5)}^2 \right),$$

where $\Phi$ and $\chi$ are the dilaton and axion fields, respectively and $F_{(5)}$ denotes a five-form field strength. If we set $\chi = -e^{-\Phi} + \chi_0$, the dilaton and axion terms cancel each other in the above action. Then,
the solution with metric and five-form field in string frame is obtained in the following form
\[ ds^2_{10} = e^{\phi/2} \left\{ \frac{r^2}{R^2} \left[ f(r)^2 dt^2 + d\mathbf{x}^2 \right] + \frac{1}{f(r)r^2} R^2 dr^2 + R^2 d\Omega_5^2 \right\}, \]
\[ e^\phi = 1 + \frac{\chi}{r_T^2} \log \frac{1}{f(r)^2}, \quad \chi = -e^{-\Phi} + \chi_0, \]
\[ f(r) = \sqrt{1 - \left( \frac{r_T}{r} \right)^4}. \]  

Here, \( R \) and \( r_T \) are the radius of the AdS space and the event horizon, respectively. \( r_T \) is related to the temperature of the dual gauge theory. Moreover, \( q \) denotes the density of D-instantons, which corresponds to the vacuum expectation value of the gluon condensation according to the AdS/CFT dictionary.

In the following section we consider the Schwinger effect in this background and especially we focus on the effects coming from \( q \) related to the presence of the D-instantons.

## 3 Potential analysis

In this section we want to perform the potential analysis in the aforementioned background. To do so, we should calculate the total potential for a quark-antiquark pair, including the Coulomb potential \((V_{\text{CP}})\), the static energy \((V_{\text{SE}})\) and the energy of interaction with the external electric field \(E\). However, before that we need to find the critical value of the electric field obtained from the DBI action of probe D3-brane. This calculation helps to ensure that our potential analysis is correct and agrees with the DBI result.

The DBI action of a probe D3-brane in the D3+D(-1) background, located at \( r = r_0 \) and including a constant world-volume electric field, is of the form
\[ S_{\text{DBI}} = -T_{D3} \int d^4x \sqrt{-\det(g_{\mu\nu} + F_{\mu\nu})} \]
\[ = -T_{D3} \frac{r_0^4}{R^4} e^{\phi(r_0)/2} \sqrt{1 - \frac{r_0^2}{r_T^2}} \int d^4x \sqrt{1 - \frac{(2\pi\alpha')^2 R^4}{r_0^4 e^{\phi(r_0)} (1 - \frac{r_T^4}{r_0^4})} E^2}, \]  

where \( T_{D3} = 1/(g_s(2\pi)^3\alpha'^2) \) is the D3-brane tension. It can easily be seen from this equation that the classical solution does not exist for \( E > E_c \), where the critical electric field \( E_c \) is
\[ E_c = \frac{1}{2\pi\alpha'} \frac{r_0^2}{R^2} \sqrt{e^{\phi(r_0)} \left( 1 - \frac{r_T^4}{r_0^4} \right)}, \]  

which obviously depends on the temperature through \( r_T \) and on the instanton density through \( \Phi(r_0) \). Now, we proceed to the calculation of the total energy. To that purpose, we consider a quark and an antiquark placed at fixed positions on the boundary, separated by a distance \( x \) in one of the three spatial directions of the field theory, e.g. \( x_1 \). In order to use the symmetry we choose \( x = 0 \) to be half way between the quark and antiquark. The quark-antiquark pair corresponds to a string connecting their positions in the gravity side.

In order to obtain the potential energy between a quark and an antiquark, one needs to compute the expectation value of the rectangular Wilson loop. It is well known that when the time duration, \( \tau \), is much larger than \( x \), the Wilson loop expectation value takes in the form
\[ \langle W \rangle = e^{-i(2m + V(x))\tau}, \]  

where \( m \) denotes the quark and antiquark rest mass and \( V(x) \) is the potential energy between them. In fact the exponent is the static energy plus the Coulomb potential, \( V_{\text{CP+SE}} \). In order to have finite-mass quarks, following \([3]\), we put a probe D3-brane at an intermediate position \( r_0 \) in the bulk and attach the endpoints of the string to this brane. In the holographic setup the exponent corresponds to the
world-sheet area or equivalently the on-shell Nambu-Goto (NG) action of a string hanging in the bulk from the probe D3-brane, positioned at \( r_0 \). The use of the parameterization of the string coordinates as \( x^0 = r \) and \( x^1 = \sigma \) (the static gauge), and supposing \( r = r(\sigma) \), gives rise to the following form for the string action

\[
S_{\text{NG}} = T_f \int d\tau d\sigma \sqrt{e^{\Phi(r)} \left[ \left( \frac{dr}{d\sigma} \right)^2 + \frac{r^4}{R^4} \left( 1 - \frac{r_0^4}{r^4} \right) \right]},
\]

where \( T_f = \frac{1}{2\pi \alpha'} \) is the string tension. The independence of this action from the coordinate \( \sigma \) introduces a conserved quantity (Hamiltonian) which is written as follows

\[
\frac{e^{\Phi(r)}r^4/R^4}{ \sqrt{e^{\Phi(r)} \left[ \left( \frac{dr}{d\sigma} \right)^2 + \frac{r^4}{R^4} \left( 1 - \frac{r_0^4}{r^4} \right) \right]}} \left( 1 - \frac{r_0^4}{r^4} \right) = \text{const.}
\]

One can determine the constant in this relation using the condition at \( \sigma = 0 \) which is \( r'(0) = 0 \) and \( r(0) = r_c \), which results in the following differential equation

\[
\frac{dr}{d\sigma} = r^2 R^2 \sqrt{1 - \frac{r_0^4}{r^4}} \left( \frac{e^{\Phi(r)}(r^4 - r_0^4)}{e^{\Phi(r)}(r^4 - r_0^4)} - 1 \right).
\]

Integrating this equation, we obtain the separation length of the quark-antiquark pair on the probe brane as follows

\[
x = \frac{2R^2}{r_0 a} \sqrt{e^{\Phi(1)}(1 - b^4/a^4)} \times \int_1^{1/a} dy \frac{dy}{\sqrt{(y^4 - b^4/a^4)}} \left[ e^{\Phi(y)} (y^4 - b^4/a^4) - e^{\Phi(1)} (1 - b^4/a^4) \right].
\]

in which the following dimensionless quantities have been introduced

\[
y \equiv \frac{r}{r_c}, \quad a \equiv \frac{r_c}{r_0}, \quad b \equiv \frac{r_f}{r_0},
\]

and

\[
e^{\Phi(y)} = 1 + \frac{q}{r_0 a} \log \left( \frac{y^4}{y^4 - b^4/a^4} \right).
\]

Then, by substituting Eq. (8) into Eq. (6), the sum of Coulomb potential and static energy, \( V_{\text{CP+SE}} \), can be obtained. Then, we obtain the total energy by adding the potential energy due to the interaction of the quark-antiquark pair with an external electric field \( E \) to this energy, which can be written as follows

\[
V_{\text{tot}}(x) = V_{\text{CP+SE}} - Ex = 2 T_f \int_1^{1/a} dy \frac{r_0 a e^{\Phi(y)} (y^4 - b^4/a^4) - \frac{q}{r_0} r_0 \sqrt{e^{\Phi(1)}(1 - b^4/a^4)}}{\sqrt{(y^4 - b^4/a^4)}} \left[ e^{\Phi(y)} (y^4 - b^4/a^4) - e^{\Phi(1)} (1 - b^4/a^4) \right],
\]

where the dimensionless parameter \( \alpha \) is defined as \( \alpha = \frac{E}{r_c T_f q} \) using the critical electric field obtained from the DBI result (Eq. (11)).

Now, we report the results. In all the following cases we set \( T_f r_0 = 1 \).

### 3.1 Potential analysis at finite temperature

Figure 1 shows the total potential versus the separation \( x \) for a fixed value of \( b \) (fixed temperature) and different values of \( \alpha \) (namely \( \alpha = 0.7, 0.8, 0.9, 1, 1.1 \)). The instanton density has been chosen to be
different in the three panels of this figure, for comparison. These graphs show that the potential barrier vanishes for $\alpha > 1$, confirming that the critical electric field obtained from the potential analysis agrees with the one of the DBI result. By comparing the results we can conclude that instantons increase the potential barrier and suppress the pair creation rate. However, the critical field ($E_c$) and consequently the parameter $\alpha$ depend on the instanton density $q$. Therefore, in order to explore the instanton effect more clearly, we introduce another dimensionless parameter as $\tilde{\alpha} = \frac{E}{E_c(T,q=0)}$. In Fig.2 the electric field is chosen to be equal to the critical electric field for zero instanton density, $E_c(T,q=0)$ which corresponds to $\tilde{\alpha} = 1$. This figure shows that at zero instanton density there is no potential barrier in the presence of this electric field, that is the pair creation happens without any limitation. However, the presence of the instantons develops a potential barrier. Therefore for nonzero $q$ the Schwinger effect occurs only through a tunneling process and larger instanton density leads to larger potential barrier. This means that the critical electric field is increased by rising $q$ from zero. Notice that this result is obvious from the relation (4).

Figure 2: The total potential versus $x$ at the critical electric field and for various instanton densities. In all graphs $b = 0.5$ and $\tilde{\alpha} = 1$.

3.2 Potential analysis at zero temperature

All the previously found results for a general $b$ can be reduced to the zero temperature case by setting $b = 0$. Notice that in this case $e^{\Phi(y)} = 1 + \frac{q}{T^2 y^4}$. The total potential as a function of $x$ is shown in Fig.4 for various values of the instanton density at zero temperature. In this figure the electric field is equal to its critical value with $q = 0$. Again we see that increasing the instanton density increases the potential barrier as in the case of finite temperature and therefore the value of $E_c$ increases by increasing $q$.

An interesting result is that in the finite temperature case the theory of our interest behaves like a deconfined theory as viewed by an external electric field, since there is no critical electric field below which the Schwinger effect is completely restricted. The situation is different for the zero temperature case. This is obvious from the left graph of Fig.4 where we have plotted the distance between quark and antiquark versus $a$, the rescaled position of the tip of the hanging string from the boundary. Even
Figure 3: The total potential versus $x$ at the critical electric field and for various instanton densities. In all graphs $b = 0$ and $\tilde{\alpha} = 1$.

for a very small electric field there is a finite potential barrier at finite temperature, since for a smaller electric field the potential barrier becomes zero at a larger but always finite $x$. We have also depicted the same thing for the zero temperature case in the right graph of this figure, which shows a behavior similar to the one for confining theories. This result is consistent with the previous results found for this theory. It has been shown [26] that the D3+D(-1) theory with nonzero instantons has a linear rising quark-antiquark potential for zero temperature, a sign for the confinement of quarks. However, at finite temperature the potential rises linearly but disappears at a finite value of the separation $x$, showing the deconfinement of quarks.

Figure 4: The separation length of quark-antiquark pair versus the rescaled position of the turning point of the corresponding string in the bulk.

As a consequence of the above argument there must exists a critical electric field below which the Schwinger effect cannot occur. Existence of such a critical electric field, usually denoted by $E_s$, is intrinsic to the confining phase. It can be analytically shown that at zero temperature $E_s = T_f \sqrt{q}$, i.e. for $\tilde{\alpha} = \frac{E}{E_c}$, the potential barrier becomes flat when $x \to \infty$. It can be easily observed by writing down the total potential at $b = 0$ in the following way

$$V_{tot}(x) = 2T_f r_0 a \int_1^{1/a} \frac{y^2 \, dy}{\sqrt{y^4 - 1}} + \left( T_f \frac{q}{\sqrt{(r_0 a)^2 + q}} - E \right) x. \quad (13)$$

When $x \to \infty$ or equivalently $a \to 0$ (see the right graph of Fig.4) the derivative of the first term in the above equation goes to zero and the derivative of the second term becomes $T_f \sqrt{q} - E$.

4 Pair production rate

An alternative way to explore the response of a system to an external electric field is to calculate the pair production rate. This quantity is equivalent to the expectation value of a circular Wilson loop in
the $t-x^i$ plane, where $x^i$ refers to each of the spatial coordinates in the field theory. This quantity can be evaluated holographically using the calculation of the external surface in the bulk which shares the same boundary with the circular Wilson loop. We devote this section to calculate this quantity only for the zero temperature case where we expect the pair production not to occur below the critical electric field $E_s$ found in the previous section. As stated before, our theory is in deconfined phase when the temperature is nonzero. For this case the pair production rate vanishes at zero electric field and therefore there is no interesting information in this quantity for finite temperature. Furthermore, the calculation of the pair production rate at finite temperature is more complicated. In this case we are dealing with a partial differential equation due to lack of circular symmetry in the $t-x^i$ plane [13].

In the following we present the calculation of the production rate briefly. More details of calculation can be found in [15]. We work in the Euclidean signature and choose the following ansatz for the bulk coordinates

$$t = \rho(\sigma) \cos \theta, \quad x^1 = \rho(\sigma) \sin \theta, \quad z = z(\sigma),$$

and all other coordinates are constant. Here $z = \frac{1}{r}$ which is zero at the boundary. $(\theta, \sigma)$ are the string worldsheet coordinates. Using this ansatz and choosing $r(\sigma) = \sigma$, the string action reads

$$S_{NG} = 2\pi R^2 T_f \int_0^x d\rho \rho e^{\Phi(z)/2} \sqrt{1+z'^2},$$

$$S_{B_2} = -2\pi T_f B_0 \int_0^x d\rho,$$

where $e^{\Phi(z)} = 1 + qz^4$ and $x$ denotes the radius of the circular Wilson loop on the D3-brane positioned at $z_0 = \frac{1}{r_0}$. $B_2 = B_0 dl \wedge dx^3$ is an electric 2-form coupled to the NG action. Then, the pair production rate can be evaluated as $e^{-S} = e^{-S_{NG} - S_{B_2}}$, where $S_{NG}$ is the minimized NG action. The following equation obtained by variation of the action (15) should be satisfied by the function $z(\rho)$

$$2\rho (1 + z'^2) + z (1 + qz^4) (z' + z'^3 + \rho z'') = 0.$$  

We solve this equation numerically with the boundary conditions $z(0) = z_c$ and $z'(0) = 0$, and the constraint $z'(z_0) = -\frac{\sqrt{e^{\Phi(z_0)}}}{\alpha^2} - 1$. $z_c$ is the value of $z$ at the tip of the cuplike surface in the bulk.

The exponential factor and the classical action obtained by the use of the above calculation are shown in Fig. 5. As can be seen, the exponential factor approaches zero or equivalently the classical action diverges at a certain value of the electric field comparable to $E_s$ obtained by the potential analysis. For zero instanton density this electric field is zero, i.e. the Schwinger effect occurs for any nonzero although small value of the electric field, which is the characteristic of a deconfined system. This result is in good agreement with the results of the previous section and confirms our numerical calculation.

![Figure 5](image)
5 Summary and conclusion

In this paper we have investigated the Schwinger effect in the presence of the gluon condensation. To that purpose we employ the D3+D(-1) brane configuration which is a quasi-confining theory. It is deconfined in the viewpoint of gluons but its quark-antiquark potential behaves Coulomb-like for short separations and linearly for medium separations and finally becomes zero for large enough separations depending on the temperature and the gluon condensation.

Using the DBI action for this theory, we have found the critical electric field \( E_c \) above which the vacuum decays catastrophically. For our theory \( E_c \) depends on both the gluon condensation and the temperature. Then, we have calculated the total potential for a pair of quark-antiquark in the presence of an electric field and find the critical electric field in agreement with the DBI result. By comparing the plots of this potential for different values of the D-instanton density we observe that the presence of the instantons tends to suppress the pair production and increases the \( E_c \) both at finite and zero temperature, and increasing the temperature of this theory decreases the \( E_c \) for a fixed gluon condensation. Interestingly in the case of finite temperature we have not found any critical electric field below which the Schwinger effect cannot occur at all. That is at finite temperature pairs are created even in the presence of a very small electric field. Such a critical electric field is a common feature of all confining field theories and this shows that D3+D(-1) brane configuration at finite temperature is deconfined as viewed by an electric field. However, we have found such a critical electric field when the temperature is zero, consistent with the previous results for the quark-antiquark potential showing the confinement of this theory at zero temperature. As a final check we have calculated the pair production rate using holography and found consistent results with the ones obtained from potential analysis.

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