Trehalose-Based Block Copolycations Promote Polyplex Stabilization for Lyophilization and in Vivo pDNA Delivery

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Supporting Information

ABSTRACT: The development and thorough characterization of nonviral delivery agents for nucleic acid and genome editing therapies are of high interest to the field of nanomedicine. Indeed, this vehicle class offers the ability to tune chemical architecture/biological activity and readily package nucleic acids of various sizes and morphologies for a variety of applications. Herein, we present the synthesis and characterization of a class of trehalose-based block copolycations designed to stabilize polyplex formulations for lyophilization and in vivo administration. A 6-methacrylamido-6-deoxy trehalose (MAT) monomer was synthesized from trehalose and polymerized via reversible addition−fragmentation chain transfer (RAFT) polymerization to yield pMAT43. The pMAT43 macro-chain transfer agent was then chain-extended with aminoethylmethacrylamide (AEMA) to yield three different pMAT-b-AEMA cationic-block copolymers, pMAT-b-AEMA-1 (21 AEMA repeats), -2 (44 AEMA repeats), and -3 (57 AEMA repeats). These polymers along with a series of controls were used to form polyplexes with plasmids encoding variously sized genes of interest. The trehalose-polycplexes were characterized in detail and found to be resistant to colloidal aggregation in culture media containing salt and serum. The trehalose-polycplexes also retained colloidal stability and promoted high gene expression following lyophilization and reconstitution. Cytotoxicity, cellular uptake, and transfection ability were assessed in vitro using both human glioblastoma (U87) and human liver carcinoma (HepG2) cell lines wherein pMAT-b-AEMA-2 was found to have the optimal combination of high gene expression and low toxicity. pMAT-b-AEMA-2 polyplexes were evaluated in mice via slow tail vein infusion. The vehicle displayed minimal toxicity and discouraged nonspecific internalization in the liver, kidney, spleen, and lungs as determined by quantitative polymerase chain reaction (qPCR) and fluorescence imaging experiments. Hydrodynamic infusion of the polyplexes, however, led to very specific localization of the polyplexes to the mouse liver and promoted excellent gene expression in vivo.

KEYWORDS: glycopolymer, nanoparticle, nanomedicine, nonviral gene delivery, stealth polymer, transfection, RAFT polymerization, hydrodynamic injection, cryo-stabilization

INTRODUCTION

Gene therapy offers new avenues for the treatment of genetic diseases characterized by deficiency of a protein that can be treated by the delivery of DNA encoding the required polypeptide. Viral vectors are often used for DNA delivery; however, the most commonly used viruses have limited DNA cargo capacity and many elicit strong immunological responses. In contrast, nonviral vectors can package any length of nucleic acid; however, delivery of nonviral vectors into cells is generally very difficult to achieve at levels that have therapeutic efficacy. Cationic polymers that form an interpolyelectrolyte complex with the negatively charged phosphodiester backbone of DNA or RNA, termed polyplexes, are an attractive choice for nonviral gene delivery agents as their chemical structure, functionality, and molecular weight can be tailored in a controlled fashion. Typically, polyplexes contain an excess of polymer, which yields a complex that has a net positive charge. However, when such polyplexes are introduced into the bloodstream they aggregate with various negatively charged proteins, which hinders their ability to penetrate the plasma membranes of cells in tissues. Therefore, noncharged “stealth” coatings such as polyethylene glycol (PEG) have been used to help shield these particles from aggregation. PEGylated complexes generally have decreased immunogenicity and increased lifetimes in the blood when...
Scheme 1. Polymerization 6-methacrylamido-6-deoxy-trehalose (MAT) and N-(2-aminoethyl)methacrylamide (AEMA) Yields a Series of pMAT-b-AEMA Delivery Vehicles

These trehalose-based block copolycations were mixed with plasmid DNA (pDNA, shown in detail in the inset) to form polyplexes that were examined both in vitro and in vivo.

compared with their unmodified counterparts. However, PEG is nonbiodegradable and has been implicated in antibody formation, hypersensitivity reactions and accelerated blood clearance upon repeated dosage. Accordingly, we have focused on building novel carbohydrate-based polymers to create a hydrophilic polyplex shell as an alternative to PEG. This study presents the first systematic investigation of these glycopolymers in vivo.

Previously, we polymerized the glucose-based monomer, 2-deoxy-2-methacrylamido glucopyranose (MAG), to form a block copolymer with N-(2-aminoethyl) methacrylamide (AEMA). Tests in vitro suggest that the cationic glycopolymers have superior solution stability and lower cell toxicity in cell culture compared to polyethylenimine (PEI) complexes. The use of trehalose has also recently been explored as a PEG alternative. Trehalose is an α-α-linked dimer of glucose that is synthesized by bacteria, fungi, plants, and invertebrate animals and used by living systems to survive in extreme conditions. Trehalose has long been used as a stabilizing agent in drug formulations such as Herceptin and Lucentis. Step-growth polymers have been synthesized using a modified trehalose as one of the monomers. Polyplexes formed with these polymers have been found to display lower toxicity, high cellular uptake, and similar gene expression in cultured glioblastoma (U87) and human liver carcinoma (HeP2) cell lines and found to be highly efficacious. The trehalose polymers were then examined for the ability to stabilize polyplex formulations through lyophilization and reconstitution. We reveal that these trehalose-coated polyplexes retain pDNA delivery and gene expression activity after lyophilization and reconstitution, an important metric to improve the consistency of gene delivery and increase shelf life of nanomedicines. We also reveal the first examination of these trehalose block copolycations for in vivo pDNA administration. Polyplex formulations were administered to mice using two different injection techniques to observe toxicity, biodistribution, and efficacy of gene delivery in vivo. We reveal that these trehalose-coated polyplexes shield the formulations from nonspecific tissue internalization under slow tail vein infusion, yet also retain stability in vivo following rapid hydrodynamic injection, resulting in the promotion of highly specific and excellent gene expression in the mouse liver (compared to JetPEI and pDNA only controls). Indeed, this study demonstrates that trehalose-coated nanomedicines offer a new stealth design motif that could provide an alternative to PEGylation for stabilizing formulations for in vivo administration.

MATERIALS AND METHODS

A brief version of the material and methods used is provided here. More detailed methods are included in the Supporting Information.

Materials. All reagents were obtained at the highest available purity from Thermo Fisher Scientific, Inc. (Pittsburgh, PA) or Sigma-Aldrich Co. LLC. (St. Louis, MO) and used as received unless noted otherwise. JetPEI was obtained from Polyplus-transfection SA (Illkirch, France). Glycofect was obtained from Techulon, Inc. via donation. 6-Methacrylamido-6-deoxy trehalose (MAT) was synthesized as described previously. Propidium iodide, UltraPure Agarose-1000, trypsin, (3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyl tetrazolium bromide (MTT), phosphate-buffered saline (PBS), Minimum Essential Medium (MEM) was covalently attached. Our lab has reported polyplexes formulated with short interfering RNA (siRNA) and a trehalose block copolycaction 6-methacrylamido-6-deoxy trehalose-co-N-(2-aminoethyl) methacrylamide (pMAT-b-AEMA). Polyplexes formulated with pMAT-b-AEMA polymers displayed high uptake efficiency and powerful gene knockdown in U87 cells.

Herein, we investigate the use of pMAT-b-AEMA block copolymers in the formulation of polyplexes containing pT2/CaL plasmid DNA (pDNA) for gene delivery in vitro and in vivo (Scheme 1). These plasmids contain a Sleeping Beauty transposon carrying the firefly luciferase reporter gene under the regulation of a strong synthetic promoter (CAGGS) that is highly active in vertebrate cells. Delivery experiments were first performed in cultured glioblastoma (U87) and human liver carcinoma (HeP2) cell lines and found to be highly efficacious. The trehalose polymers were then examined for the ability to stabilize polyplex formulations through lyophilization and reconstitution. We reveal that these trehalose-coated polyplexes retain pDNA delivery and gene expression activity after lyophilization and reconstitution, an important metric to improve the consistency of gene delivery and increase shelf life of nanomedicines. We also reveal the first examination of these trehalose block copolycations for in vivo pDNA administration. Polyplex formulations were administered to mice using two different injection techniques to observe toxicity, biodistribution, and efficacy of gene delivery in vivo. We reveal that these trehalose-coated polyplexes shield the formulations from nonspecific tissue internalization under slow tail vein infusion, yet also retain stability in vivo following rapid hydrodynamic injection, resulting in the promotion of highly specific and excellent gene expression in the mouse liver (compared to JetPEI and pDNA only controls). Indeed, this study demonstrates that trehalose-coated nanomedicines offer a new stealth design motif that could provide an alternative to PEGylation for stabilizing formulations for in vivo administration.
with reduced serum (Opti-MEM) and Dulbecco’s Modified Eagle Medium (DMEM) were purchased from Life Technologies — Thermo Fisher Scientific (Carlsbad, CA). The pT2-CA plasmid was prepared as described previously. \(^2\) The Luciferase Assay Kit and cell lysis buffer were obtained from Promega Corporation (Madison, WI). Bio-Rad DC Protein Assay Reagent A, Reagent B and Reagent S were obtained from Bio-Rad Laboratories, Inc. (Hercules, CA). HepG2 and U87 cells were obtained from the American Type Culture Collection (ATCC) (Manassas, VA). The cells were grown in complete DMEM [supplemented with 10% (v/v) fetal bovine serum and 1% antibiotic-antimycotic solution (containing penicillin, streptomycin, and amphotericin B)] at 37 °C and 5% CO\(_2\) in a humidified incubator.

Wild-type (WT) C57BL/6J 6wk-old mice were purchased from Jackson Laboratories (Sacramento, CA). All mice were maintained under AAALAC-accredited (Association for Assessment and Accreditation of Laboratory Animal Care) specific-pathogen-free conditions.

**Instrumentation.** NMR spectra were recorded using a Bruker Avance III HD 500 MHz spectrometer in D\(_2\)O purchased from Cambridge Isotope Laboratories, Inc. (Andover, MA). NMR data was analyzed using Bruker Top Spin version 3.1. UV–vis data was collected with an Ocean Optics Inc. CUV 1 cm cuvette holder powered by a Mikropak DH-2000 Deuterium/Halogen open-close TTC lamp, and data was analyzed by Ocean Optics Inc. Basic Acquisition Software.

Size exclusion chromatography (SEC) was conducted using an Agilent 1260 High Performance Liquid Chromatograph running 1.0 wt % acetic acid/0.1 M Na\(_2\)SO\(_4\) as the eluent at a flow rate of 0.4 mL/min on size exclusion chromatography columns (CATSEC1000 (7.5 µ, 50 x 4.6), CATSEC100 (5 µ, 250 x 4.6), CATSEC300 (5 µ, 250 x 46), and CATSEC1000 (7.5 µ, 250 x 4.6)) obtained from Eponge Inc. (Downers Grove, IL). Signals were acquired using Wyatt HELEOS II light scattering detector (λ = 662 nm), and an Optilab REX refractometer (λ = 658 nm). SEC trace analysis was performed using Astra VI software (version 5.3.4.18), Wyatt Technologies (Santa Barbara, CA). The hydrodynamic diameters of the polyplexes were recorded via dynamic light scattering measurements (DLS) with a Malvern Zetasizer Nano ZS. MTI protein, and luciferase assay plates were analyzed using a Biotek Synergy H1 plate reader (BioTek Instruments, Inc., Winooski, VT). Cy5-uptake was measured on a FACSVerse (Becton Dickinson Immunocytometry Systems, San Jose, CA) and Cy5-labeled polynucleotides were polymerized via RAFT in acetate buffer (pH 5.5) and added to a Schlenk tube containing amino-ethylmethacrylamide hydrochloride (AEMA). Dehydration was achieved via bubbling nitrogen gas for 45 min. The tube was heated to 70 °C. Aliquots (1.25 mL) were removed via syringe at 30 min (pMAT-b-AEMA-1) and 60 min (pMAT-b-AEMA-2). Each aliquot was exposed to air and cooled in liquid nitrogen to stop polymerization. After 90 min (pMAT-b-AEMA-3), the reaction was halted by septum removal and submerging the Schlenk tube in liquid nitrogen. All three copolymer were dialyzed (3500 Da MWCO) against 0.5 M NaCl solution, followed by 0.1 M NaCl and finally ultrapure water. All dialysis media were acidified with HCl to pH 4–5. Polymer solutions were lyophilized as described above to yield white, flocculent powders. All experiments were completed using polymers pMAT–4–AEMA-1, -2, and -3. Polymer characterization details can be found in Figures S2–S5.

**Synthesis of Cy7-pMAT-b-AEMA-2.** PMAT-b-AEMA-2 was labeled using an NHS-Cy7 fluorophore by dissolving it in H\(_2\)O. Cy7 functionalized with N-hydroxysuccinimide (NHS-Cy7) in DMF was added following DMF to target 1 fluorophore/50 amine residues. The mixture was vortexed for 30 s and allowed to proceed at room temperature for 4 h in the dark. The labeled polymer was purified via dialysis (3500 MWCO) against H\(_2\)O (acidified to pH ~5.5 with HCl) for 2 days and lyophilized using the same conditions listed before to yield a blue flocculent solid.

Extent of labeling was quantified by measuring the absorbance of a 0.2 mg/mL solution of labeled polymer in H\(_2\)O at 750 nm via UV–vis (ε = 199000 at 750 nm, Ab = 0.16), giving approximately 1 Cy7 fluorophore/10 polymer chains (Figure S6).

**Polyplex Formation for Gel Electrophoresis and Tissue Culture (In Vitro) Experiments.** Polymer solution at an appropriate concentration in water was added to a 400 µL solution of pDNA in DNase/RNase-free distilled water in an equal volume to yield polyplexes of (nitrogen to phosphate ratios) N/P = 7, 14, and 21 at a final concentration of 200 µg pDNA. The nitrogen ratios were calculated based on the concentrations of amines (either pendant from AEMA blocks or within the backbone of pT2/CaL plasmid was prepared as described previously.\(^2\) Brieﬂy, pMAT43 and V-501 were dissolved in 1.0 M acetate buffer (pH 5.5) and added to a Schlenk tube containing amines (either pendant from AEMA blocks or within the backbone of pDNA. The nitrogen ratios were calculated based on the concentrations of amines (either pendant from AEMA blocks or within the backbone of pDNA. The nitrogen ratios were calculated based on the concentrations of either OptiMEM (Figure S10) or DMEM containing 10% FBS). The mixture was added to the cells, 0.5 mg/mL of MTT was added with 1 mL of complete DMEM containing 10% FBS. 48 h after transfection, the postlyophilization DLS, polyplexes were prepared and lyophilized using the same conditions listed before to yield a blue flocculent solid. Size measurements were taken at 25 °C using a 173 °C detection angle at times of 0, 1, 2, and 4 h (Figure S8).

**Dynamic Light Scattering (DLS).** To measure polyplex size in a protein environment over time, we ran DLS experiments in DMEM containing 10% FBS. Polyplexes were formulated at N/P = 7, 14, and 21 in H\(_2\)O and incubated for 1 h at a concentration of 200 µg pDNA (to allow complexation) before being diluted with DMEM containing 10% FBS by volume. For DLS studies prior to lyophilization, the polyplexes were diluted to a final concentration of 100 µg (T = 0 h). For the postlyophilization DLS, polyplexes were prepared and lyophilized as previously described, reconstituted with water for 1 h, then diluted with DMEM with 10% FBS to 133 µM. Size measurements were taken at 25 °C using a 173 °C detection angle at times of 0, 1, 2, and 4 h (Figure S8).

**Transmission Electron Microscopy (TEM) Imaging.** Three µL of polyplex solution (formulated with pDNA and polymers pMAT-b-AEMA-1, -2, or -3) prepared at N/P = 10 were applied to a 300-mesh carbon coated copper grid (Ted Pella, Inc., Redding, CA) and negatively stained with uranyl acetate. Images were taken using a Tecnai G2 Spirit BioTWIN (FEI, Hillsboro, OR) transmission electron microscope, operated at 120 kV.

Live animal and animal tissue imaging were performed on an IVIS Spectrum In Vivo Imaging system and data was analyzed with the Living image software (PerkinElmer Inc., Waltham, MA). RT-qPCR was performed using an Eppendorf Mastercycler (software version 2.2; Eppendorf).

**Polymer Synthesis and Polyplex Characterization.** Synthesis of Poly(methacrylamidotrenohalose) (pMAT-b-AEMA). pMAT-b-AEMA was synthesized as previously described.\(^2\) Briefly, pMAT-b-AEMA was polymerized via RAFT in acetate buffer. 4-cyan-4-(propylthiocarboxyloxythiophenol)-pentanoic acid was dissolved in 645 µL of MeODDH and added to the MAT solution, followed by 4.4'-azobis(4-cyanvaleric acid) (V-501). Finally, 861 µL of MeODDH was added and oxygen was removed by bubbling nitrogen through the system for 45 min. The flask was heated to 70 °C for 6 h and the reaction was stopped by removing the septum and cooling the reaction mixture on ice. The polymer solution was dialyzed against ultrapure water (3500 Da MWCO) and acidified to pH 4–5 with HCl. After 3d of dialysis, the polymer solution was freeze-dried on a VirTis benchtop lyophilizer at 24 °C with the condenser at −57.4 °C to yield 454 mg of white solid. Method details and characterization results can be found in Figure S1.

**Synthesis of Cationic Diblock Copolymers pMAT-b-AEMA-1, -2, and -3.** Cationic diblock copolymers were synthesized as previously reported.\(^2\) Briefly, pMAT-b-AEMA and pMAT-4 were dissolved in 1.0 M acetate buffer (pH 5.5) and added to a Schlenk tube containing aminoethylmethacrylamide hydrochloride (AEMA). Dehydration was achieved via bubbling nitrogen gas for 45 min. The tube was heated to 70 °C. Aliquots (1.25 mL) were removed via syringe at 30 min (pMAT-b-AEMA-1) and 60 min (pMAT-b-AEMA-2). Each aliquot was exposed to air and cooled in liquid nitrogen to stop polymerization.
**Cellular Uptake Assay.** Flow cytometry experiments were performed to examine the cellular uptake of Cy5-labeled pDNA 4 h post-transfection. HepG2 or U87 cells were seeded at 300 000 cells/well in 6-well plates 24 h prior to transfection. Polyplexes were prepared as described in the MTT assay protocol, except that the formulation was scaled up from 50 000 cells to 300 000 cells. After 4 h, the polyplex media was removed and cells were detached from the plate surface using trypsin, centrifuged to remove polyplexes and trypsin, and then washed twice with PBS. Finally, 1 mL of PBS was added and the cellular suspensions were kept on ice. Propidium iodide (1.0 mg/mL, 2.5 μL) was added prior to analysis. Each experiment was performed in triplicate, and OptiMEM results are plotted in Figure S11.

**Luciferase Gene Expression.** Cells (HepG2 and U-87) were seeded at 50 000 cells/well in 24-well plates 24 h prior to transfection. Polyplexes were prepared, diluted, and applied to the cells as described in the cytotoxicity section above. After 48 h, the cells were washed with PBS and treated with cell lysis buffer. Aliquots (5 μL) of cell lysate combined with 100 μL luciferase substrate were examined in 96-well plates using a luminometer to determine relative light units (RLUs). Data were measured in triplicate and normalized for the amount of protein in each sample. Sample averages were plotted with error bars representing standard deviations (OptiMEM results in Figure S12).

**Mouse Studies. Tail Vein Injections.** Appropriate amounts of polyplexes (10 μg or 25 μg of pDNA/dose at desired N/P of polymer) were prepared in a 5% by weight aqueous dextrose solution (D5W, Hospira, Inc.), and a 200 μL dose of polyplexes was injected through the tail vein of experimental animals. After injection, animals were returned to their colony and imaged after 24 and 48 h. Mice injected with Cy7-labeled polymer were imaged immediately (data not shown), euthanized, and then their organs were imaged ex vivo.

**Hydrodynamic Injections.** Appropriate amounts of polyplexes (10 μg or 25 μg of pDNA/dose at desired N/P of polymer) were prepared in D2W solution and injected through the tail vein of experimental animals using a hydrodynamics-based procedure as previously described. Briefly, animals to be injected were weighed. A polyplex solution with a volume equivalent to 10% of the weight of the mouse was injected in 3 to 4 s. After injection, animals were placed on a heating pad to recover, then returned to their colony. Mice were either imaged for luciferase transgene expression about 24 and 48 h after transfection or imaged immediately after injection for Cy7 polymer fluorescence. After fluorescence imaging, mice were euthanized and their organs were imaged ex vivo.

**Bioluminescence Imaging In Vivo.** One hundred microliters of luciferin substrate solution (28.5 mg/mL) was injected intraperitoneally to each mouse. Three to five minutes later, mice were imaged for 1 min using a Xenogen Spectrum CCD camera system (Xenogen, Alameda, CA) according to the manufacturer’s instructions. Each experiment was performed in triplicate.

**Euthanizing and Tissue Collection/Processing.** Mice were euthanized by carbon dioxide (CO2) inhalation, perfused with saline, and selected organs were resected and preserved for analyses. Plasma and tissues were stored at −80 °C. Frozen tissues were homogenized by mortar and pestle, and ∼100 mg of each tissue sample was removed for DNA processing. DNA was pulled from the organs using a phenol-chloroform extraction and ethanol precipitation. DNA purity and concentration were determined by using a NanoDrop instrument (Thermo Scientific, Wilmington, DE).

**qPCR.** DNA samples were normalized to 100 ng/μL. Five hundred nanograms of DNA was used with TaqMan Gene Expression Master Mix (Life Technologies – Thermo Fisher Scientific, Carlsbad, CA). TaqMan primers were designed and manufactured by Life Technologies – Thermo Fisher Scientific (Carlsbad, CA), Custom Plus TaqMan Assay, Assay ID – A68RN4. A standard curve was prepared by serially diluting pT2/Cal. plasmid into WT C57Bl/6 DNA. Samples were run using the impulse setting. The following conditions were used for the qPCR experiments: 95 °C for 10 min and 40 cycles of 90 °C for 15 s then 60 °C for 1 min.

**Statistical Analysis.** Statistical analysis was performed using JMP Pro Software (SAS Institute, Cary, NC) through the University of Minnesota Supercomputing Institute.

### RESULTS AND DISCUSSION

**Polymer Synthesis and Polyplex Characterization.** Trehalose is a carbohydrate with unique cryo- and lyo-protectant properties, which have prompted the use of this carbohydrate in materials synthesis to both retain and enhance these protective abilities.24–26,37 Previously, we have shown that trehalose-containing block copolymers are effective in the formulation of polyplexes and efficient delivery of siRNA.24 Herein, we sought to examine these trehalose-based polycations for delivery of plasmids to cells in culture and in organs of living animals. Trehalose-based polymers were synthesized via reversible-addition-fragmentation chain-transfer (RAFT) polymerization with a 65:1 monomer: chain-transfer agent (CTA) ratio of 6-methacrylamido-6-deoxy methacrylamido trehalose (MAT) and 4-cyano-4-(propyliothiocarbonothioylthio)-pentanoic acid (CPP) with 4,4’-azobis(4-cyanovoleric acid) (V-501) as the initiator to yield pMAT with 43 repeats. This 43-repeat unit pMAT was used as a macro CTA and chain-extended via RAFT polymerization with 125 equiv of N-(2-aminooethyl) methacrylamide (AEMA) and V-501 as the initiator to yield three cationic block copolymers (Table 1),24 pMAT-b-AEMA-1 (21 repeats), -2 (44 repeats), and -3 (57 repeats), with each having the same length of MAT block but increasing lengths of the AEMA block.

These polymers were combined with pDNA encoding for the luciferase reporter gene to form polyplexes. The amount of polymer needed to fully bind the pDNA was first determined via gel electrophoresis. Polymers and pDNA were mixed at nitrogen-to-phosphate (N/P) ratios of 1, 2, 3, 4, 5, and 10, mixed with 1 μL of running buffer and electrophoresed through a 0.6% w/w agarose gel containing ethidium bromide in TAE buffer. The gel was imaged under UV light to confirm binding (Figure S7). Anionic plasmid that remains uncomplexed by the cationic polymer migrates through the gel toward the positively charged anode whereas fully complexed DNA does not. All three polymers fully bound the DNA at N/P = 3. For further studies, each polymer was combined with DNA at three different N/P ratios: 7, 14, and 21, yielding nine polyplex formulations. Polyplex size and stability from aggregation in biological media containing serum (10% by volume fetal bovine serum, FBS) were examined via dynamic light scattering (DLS). We have previously shown that polyplexes formed from this polymer and siRNA have a positive ζ-potential in H2O. Polyplexes were formulated at 200 μM pDNA and allowed to complex for 1 h at 23 °C in water. The polyplexes were then diluted with Dulbecco’s Modified Eagle Medium (DMEM) containing 10% by volume fetal bovine serum (FBS) solution to a final concentration of 100 μM in pDNA, and the polyplex size was monitored over 4 h (Figure 1). ζ-potential of these polyplexes was not collected as the presence of FBS disrupts the measurement.

Trehalose polyplex size (∼50–100 nm in diameter) remained consistent over the course of this experiment relative to the control polyplexes, jetPEI (N/P = 5) and Glycofect (N/P = 20), which increased in diameter with time. It is proposed that the jetPEI and Glycofect polyplexes aggregate with amino acids,
buffer salts, and FBS proteins found in DMEM, which neutralizes their surface charge and causes aggregation. The trehalose polyplexes neither aggregate nor swell compared to the control complexes. This result mirrors what has been observed previously with carbohydrate-based block-co-polycations: poly 2-deoxy-2-methacrylamido glucopyranose-b-N-(2-aminoethyl) methacrylamide (pMAG-b-AEMA) polyplexes formulated with pDNA and pMAT-b-AEMA polyplexes formulated with siRNA. Polyplexes formed with cationic polymers containing a carbohydrate block are protected from aggregation. The pendant trehalose units of the MAT portion of the block copolymer likely form a shell around the outside of the polymer-pDNA polyplex, providing a highly hydrophilic layer and facilitating steric stabilization of the polyplexes in biological media.

To examine further the polyplex formulations, we used transmission electron microscopy (TEM) to image the formulations before and after lyophilization. Each of the polymers (pMAT-b-AEMA-1, -2, or -3) were mixed and allowed to complex with pDNA at N/P = 10 in water for 1 h at 23 °C, then the sample was divided into two portions. The first portion was imaged by TEM immediately (Figure 2a−c), whereas the second was lyophilized and resuspended before imaging (Figure 2d−f).

No significant size or shape differences were observed between the fresh polyplexes and those reconstituted following lyophilization. We have recently reported that polyplexes formed with pMAT-b-AEMA and siRNA maintained size and biological activity following lyophilization and resuspension. The particles appear slightly smaller in the TEM images than via DLS, likely due to a combination of dehydration of the particles during TEM sample preparation and the preference of the negative stain for the highly charged core of the particle.

### Examination of Polyplex Function In Vitro

Examination of the polyplex function was performed on two human cell lines with differing physiological function to assess the general biologic activity of the polyplex formulations. Cell culture studies of polyplex internalization and luciferase gene expression were performed in both HepG2 liver carcinoma and U87 glioblastoma human cell lines. We chose to examine two cell lines as we have found polyplex behavior to vary substantially in different cell lines. This behavior is difficult to predict and must be determined experimentally. These assays were run in Opti-MEM (Figures S10, S11, S13) and repeated with DMEM containing 10% FBS to understand the role of serum in the transfection conditions (Figure 3−6). First, a colorimetric live−dead cell study was performed to assess the cytotoxicity of polyplexes formed from the pMAT-b-AEMA and control polymers with pDNA. The 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide MTT assay is a commonly used viability assay that measures mitochondrial activity. Cells were exposed to polyplexes in DMEM containing 10% FBS for 4 h then incubated for 48 h prior to running an MTT toxicity assay. Survival of each sample was normalized to a negative control of cells that were exposed to neither pDNA nor polymer (Figure 3).

With HepG2 cells, most samples did not differ in value from the negative control of naïve cells. Only the pAEMA and pMAT-b-AEMA-3 polyplexes formulated at an N/P = 7 showed cell survival rates of less than 100%. In the U87 cell line, jetPEI as well as pMAT-b-AEMA-3 polyplexes formulated at N/Ps of 14 and 21 showed some toxicity. The pMAT-b-AEMA-2 and -3 cell survival values decreased as N/P increased, which matched trends found in previous work with glycopolymer polyplexes. However, none of the pMAT-b-AEMA-2 formulations were found to cause a significant decrease in cell survival when compared to naïve...
was also predicted to be the most toxic. Surprisingly, we did not observe any effects of cell death with pAEMA polyplexes (at N/P = 7) and thus, this did not impact uptake values. Also, it should be noted that both pMAT-b-AEMA-2 and -3 polyplex formulations at N/P = 7 had cell uptake comparable to that of PEG-b-AEMA.

Efficiencies of polyplex transfection and uptake of the plasmid cargo were assessed by measuring expression of a luciferase reporter gene. Polyplexes were formed and incubated with cells for 4 h in DMEM containing 10% FBS, followed by an additional incubation period of 48 h to allow for protein expression. Cells were washed, lysed, and the lysate analyzed for luminescence intensity after treatment with luciferin. In Figure 5, luminescence was plotted as relative light units (RLUs) per protein (mg) in each sample to normalize for the number of cells in each well, thus negating differences in expression due to cell death. In both cell lines, jetPEI polyplexes yielded the highest levels of luciferase expression and were statistically higher than the other formulations. Therefore, as shown in Figure 5, we chose to statistically compare the pMAT-b-AEMA polyplex formulations to the protein expression yielded by the pAEMA-homopolymer control. In previous work, we have found that polyplexes formed with glucose-containing pMAG-b-AEMA exhibited higher transfection efficiency with a shorter length of AEMA when compared to the other trehalose block-co-polycation formulations except for pMAT-b-AEMA-3 at N/P = 7. The N/P = 14 and 21 formulations of pMAT-b-AEMA-2 and N/P = 7 formulation of pMAT-b-AEMA-3 were found to be statistically similar to the positive control jetPEI. While none of the pMAT-b-AEMA-2 formulations nor any of the positive controls differed statistically from each other when compared to the cells-only control, an interesting trend appeared in the other samples: both the shortest and longest MAT polymers showed decreased uptake values with an increase in N/P ratio with U87 cells. This trend suggests that more positive charge promotes uptake to a point, then begins to limit uptake. While it was expected that pAEMA polyplexes would have very high uptake due to its high amount of positive charge, it was also predicted to be the most toxic. Surprisingly, we did not observe any effects of cell death with pAEMA polyplexes (at N/P = 7) and thus, this did not impact uptake values. Also, it should be noted that both pMAT-b-AEMA-2 and -3 polyplex formulations at N/P = 7 had cell uptake comparable to that of PEG-b-AEMA.

To quantify the amount of plasmid cargo taken up by cells, we fluorescently labeled pDNA with cyanine 5 (Cy 5) prior to transfection. Polyplexes were formulated and exposed to the cells as previously described for the MTT assay. After transfection, cells were washed, collected, and centrifuged to concentrate the cells in solution and analyzed via flow cytometry. After the first run, 2.5 μL of propidium iodide (PI) was added to the remaining samples in each tube and left to sit for 30 min at room temperature prior to reanalysis. Cells positive for PI were assumed to be dead or dying and were removed from gating. Data were plotted as a percentage of live cells positive for Cy5 (Figure 4).

For the cellular internalization results, all samples were statistically compared to the negative control cells exposed to noncomplexed pDNA. In the HepG2 cells, all but one polyplex formulation had higher Cy5 percentages than DNA alone, with pAEMA having the highest uptake, followed by pMAT-b-AEMA-2, PEG-b-AEMA, and jetPEI. pMAT-b-AEMA-2 at all three N/P ratios tested had the highest level of polyplex uptake when compared to the other trehalose block-co-polycation formulations (and were statistically superior to the positive control jetPEI in HepG2 cells), which correlates with the high gene expression seen in Figure 6 (vide infra). pMAT-b-AEMA-1 polyplexes in both cell lines have lower uptake compared with either pMAT-b-AEMA-2 or -3 with some formulations barely exceeding background levels.

In the U87 cell line, pMAT-b-AEMA-2 polyplexes again had higher cell uptake compared to all other trehalose-containing polymer formulations except for pMAT-b-AEMA-3 at N/P = 7. The N/P = 14 and 21 formulations of pMAT-b-AEMA-2 and N/P = 7 formulation of pMAT-b-AEMA-3 were found to be statistically similar to the positive control jetPEI. While none of the pMAT-b-AEMA-2 formulations nor any of the positive controls differed statistically from each other when compared to the cells-only control, an interesting trend appeared in the other samples: both the shortest and longest MAT polymers showed decreased uptake values with an increase in N/P ratio with U87 cells. This trend suggests that more positive charge promotes uptake to a point, then begins to limit uptake. While it was expected that pAEMA polyplexes would have very high uptake due to its high amount of positive charge, it was also predicted to be the most toxic. Surprisingly, we did not observe any effects of cell death with pAEMA polyplexes (at N/P = 7) and thus, this did not impact uptake values. Also, it should be noted that both pMAT-b-AEMA-2 and -3 polyplex formulations at N/P = 7 had cell uptake comparable to that of PEG-b-AEMA.

Efficiencies of polyplex transfection and uptake of the plasmid cargo were assessed by measuring expression of a luciferase reporter gene. Polyplexes were formed and incubated with cells for 4 h in DMEM containing 10% FBS, followed by an additional incubation period of 48 h to allow for protein expression. Cells were washed, lysed, and the lysate analyzed for luminescence intensity after treatment with luciferin. In Figure 5, luminescence was plotted as relative light units (RLUs) per protein (mg) in each sample to normalize for the number of cells in each well, thus negating differences in expression due to cell death. In both cell lines, jetPEI polyplexes yielded the highest levels of luciferase expression and were statistically higher than the other formulations. Therefore, as shown in Figure 5, we chose to statistically compare the pMAT-b-AEMA polyplex formulations to the protein expression yielded by the pAEMA-homopolymer control. In previous work, we have found that polyplexes formed with glucose-containing pMAG-b-AEMA exhibited higher transfection efficiency with a shorter length of AEMA when made with siRNA and a longer AEMA block when formulated with plasmid DNA (pDNA).23 Polyplexes formulated with trehalose-block copolycations, pMAT-b-AEMA, and siRNA also displayed better transfection with a shorter AEMA block than with longer blocks.24 Among the trehalose polypeptide formulations studied herein from N/P = 7 to expression values from pAEMA polyplexes in HepG2 cells. Similarly, gene expression with pMAT-b-AEMA-2 polyplexes were also statistically equivalent to that yielded by pAEMA with U87 cells at N/P = 7; however, a decrease in expression was noted with increasing pMAT-b-AEMA-2 N/P ratio with this cell type.
The pMAT-\(b\)-AEMA-2 formulations were the only pMAT-\(b\)-AEMA-based polyplexes to exhibit luciferase expression at the same level as any of the control polymers. This trend was similar to the cellular uptake data, again supporting the hypothesis that AEMA (and therefore positive charge) aids cellular internalization and expression to a point. However, with a further increase...
in the N/P ratio, expression values start to decrease. The decrease could be due to two factors: (i) prevention of the release and transcription of DNA cargo23 or (ii) by increasing cell membrane permeability to the point of toxicity.40,45 Because luminescence RLUs are normalized to the amount of cell protein in each sample, cytotoxicity is not enough to account for low expression in either cell line. It is more likely that polyplexes with large AEMA blocks and high ratios of polymer-to-plasmid have higher charge densities that prevent cargo release and transcription,23,46 whereas complexes with too little AEMA or too small an N/P ratio could prematurely release DNA prior to nuclear entry.47 We were not as concerned with this latter issue because of the stability of the polyplexes that was observed in the DLS data. It should be noted that differences between some samples are not statistically significant, but the general trend that appears in the uptake assays as well as gene expression studies for both cell lines correlates with previous work in the field.23,33,41,44,46

The pMAT-b-AEMA polymers were next tested for their ability to act as a lyoprotectant when complexed with pDNA. pMAT-b-AEMA-2, jetPEI, Glycofect, and PEG-b-AEMA were used to formulate polyplexes in H2O and allowed to incubate for 1 h at room temperature. They were immediately frozen in liquid nitrogen and lyophilized to dryness. The resulting powder was then reconstituted with water, allowed to incubate for another hour, and either administered to cells in DMEM + 10% FBS or resubjected to the same lyophilization procedure. Luciferase expression assays were completed as previously described. The expression for each lyophilized polyplex sample was normalized to samples made with the same polymer that had not been lyophilized. Figure 6 shows these data as a percentage of gene expression retained for each round of lyophilization.

Following a single round of lyophilization and reconstitution, only the two polymers containing a sugar moiety, pMAT-b-AEMA-2 and Glycofect, retained any ability to transfect U87 cells. pMAT-b-AEMA-2 exhibited expression equal to 69% of its initial level while Glycofect was reduced to 4%. It is hypothesized that the pMAT-b-AEMA polyplexes form a core−shell structure with the trehalose-containing block coating the external surface of the polyplex, aiding polyplex stability during the lyophilization process. Neither Glycofect nor jetPEI polyplexes contain stabilization layers, leaving these formulations susceptible to aggregation via the lyophilization procedure. Interestingly, the PEG-b-AEMA was expected to have a similar polyplex structure (containing a hydrophilic PEG shell coating) to the pMAT-b-AEMA-2; however, it did not retain transfection capability
following lyophilization. The trehalose blocks appear to impart a unique protective property to polyplexes for potential lyophilization and storage.

Mouse Studies. Polyplexes formed from pMAT-b-AEMA-2 and pDNA had the highest level of gene expression in cultured cells in vitro and low toxicity at the three tested N/P ratios; these promising properties led to the selection and examination of this formulation in vivo. Murine studies with pMAT-b-AEMA-2 were explored for delivering luciferase-encoding pDNA with C57 black 6 (C57BL/6) mice. Tail vein injection was used to probe for biodistribution, toxicity, and transfection of the polyplexes in a living organism.

Mice treated via standard tail vein injections were administered with one of four polyplex formulations in 5% dextrose (D5W, Table S1) and a fifth control sample of D5W only. Importantly, with all formulations, all mice survived to the 48 h end point of the study with no noticeable health deterioration. All mice were active and showed no signs of lethargy nor ill health effects after being dosed with up to 490 μg of polymer per mouse (the equivalent of 19.6 mg/kg of polymer/mouse). Following tail vein injection, the mice were then injected peritoneally with luciferin at 24 and 48 h after polyplex injection and imaged using a bioluminescence Xenogen Spectrum CCD camera system. Luciferase gene expression could not be detected either 24 or 48 h after the infusion of polymers. Following the 48 h imaging, all mice were euthanized and the heart, liver, lung, spleen, kidneys, and brain were harvested for analysis by qPCR to determine biodistribution of the pDNA cargo (Table S1). QPCR analysis revealed a nearly uniform distribution of genetic material throughout the tissues. On a per-cell basis, pDNA was found at a range of 1.1–10 plasmid copies per cell in each organ (values less than or equal to 1.0 copies/cell cannot be resolved from the background). Hydronmic injection of 25 μg of pDNA, discussed in further detail below, typically achieves delivery of ∼100 plasmids per cell in the liver, leading to significant gene expression. The lower level of genetic material delivered to each of these organs following tail vein injection was insufficient to achieve observable gene expression.

Multiple cyanine fluorophores, including Cy7, have been used successfully to tag DNA cargos for in vivo imaging using cyanine-3 labeled siRNA, Davis and co-workers have shown that tail vein-injected polyplexes begin urinary clearance within 6 min. Here, to determine if the polymer and pDNA reached the tissues with similar distributions (indicative of intact polyplexes), we fluorescently labeled pMAT-b-AEMA-2 with Cy7 via amine-NHS coupling chemistry. Polyplexes were then formulated with Cy7-pMAT-b-AEMA-2 polymer and 25 μg of pDNA at an N/P = 7 and administered to mice via tail vein injections. Animals were imaged 15 min postinjection, euthanized, and the organs were harvested and imaged (Figure 7).

Fluorescence was observed in the liver, spleen, kidneys, and lungs of the mice injected with the Cy7-labeled pMAT-b-AEMA-2 (Figure 7). Fluorescence was not observed in the blood, indicating that the polymers/polyplexes had fully cleared the bloodstream (at the detectable level). As expected, the organs from the naïve mouse also did not show fluorescence, indicating that the fluorescence visualized in the experimental mice arose from the Cy7-labeled polymer and not from background fluorescence from the tissues.

Collectively, these data show that the concentration of plasmid delivered to each organ was insufficient to observe significant gene expression in the mice when delivered through a standard tail vein injection. Thus, a hydrodynamic injection technique was then examined to promote plasmid delivery specifically to the liver, wherein a large volume of DNA solution, typically 2 mL in a 20 g mouse, is infused in 4–8 s. More than 99% of the gene can be localized to the liver as the high pressure appears to expand the liver endothelium promoting liver cell internalization and gene expression. Typically, naked plasmid DNA is used with this injection technique and results in high signals of gene expression, ~1 × 10^{10} to 1 × 10^{11} RLUs, in the liver when the firefly luciferase gene is placed behind a strong promoter such as the hybrid b-actin/cytomegalovirus (CAGGS) synthetic promoter (Scheme 1). The large volume injected, combined with the high pressure, has been found to strain the mouse, especially the cardiac system and the liver; it takes 24 to 48 h for the liver to recover from the injection. However, while direct scale up of systemic hydrodynamic injection to larger mammals resulting in sustained transgene expression has yet to be achieved,
it may be potentially translatable if the high pressure injection is isolated only to the organ of interest and formulation of DNA into polyplexes could improve delivery and gene expression. For example, Itaka and co-workers were able to perform a hydrodynamic injection directed to skeletal muscle by injecting into a limb isolated with a tourniquet. DNA delivered as a polyplex formulated from a PEG-poly-L-lysine cationic block copolymer showed increased expression and DNA lifetime in the tissue when compared to similar injections performed with naked pDNA. Nakamura and co-workers performed hydrodynamic injection followed by a luciferase assay on excised liver tissue and found that polyplexes formed with jetPEI yielded higher luminescence than naked pDNA.

Herein, Glycofect, jetPEI, and pMAT-b-AEMA-2 were used to deliver 10 μg of pDNA via hydrodynamic injection and compared to naked pDNA as a control (Table 2). All mice survived the high-pressure injections. To analyze gene expression, the mice were injected peritoneally with luciferin at 24 and 48 h and imaged with a Xenogen system (Figure 8). Interestingly, jetPEI, despite being the most effective delivery vehicle in tissue culture, showed the lowest level of luciferase expression in the liver of all tested formulations via live-animal imaging. The luminescence level was found to be 2–3 orders of magnitude below that of the naked pDNA. Even when the amount of DNA was more than doubled to 25 μg, the luminescence level was still 1 to 2 orders of magnitude below that of the control. Glycofect was the more effective of the commercially available transfection agents but still performed an order of magnitude below the control. Polyplexes formed with pMAT-b-AEMA-2 transfected at a higher level than either of the commercially available polymers and maintained the same level of gene expression as the naked pDNA control. Taken together, these results show that pMAT-b-AEMA-2 polyplexes are capable of delivering DNA that can subsequently be expressed in vivo with minor toxicity.

It appears that the majority of the pDNA was delivered to the liver because of the high levels of gene expression observed. Polyplexes were again formed using the Cy7-labeled pMAT-b-AEMA-2 and injected hydrodynamically to verify delivery of intact polyplexes to the liver and understand polymer dose with this high pressure delivery technique. Animals were injected, imaged 15 min post-injection, euthanized, and the organs were harvested and imaged (Figure 9). The liver was the only organ to show significant fluorescence, indicating that the polyplexes stay intact during the hydrodynamic experiment as polymer and pDNA are localized to the liver and are responsible for yielding high levels of gene expression.

### Table 2. Formulations and Luciferase Expression of Mice Treated with Polyplexes via Hydrodynamic Injections

| sample     | formulation | dose pDNA (μg) | luminescence 24 h (photons/s) | luminescence 48 h (photons/s) |
|------------|-------------|----------------|-------------------------------|-------------------------------|
| Glycofect  | N/P: 3      | 10             | $2.50 \times 10^8$           | $1.39 \times 10^8$           |
| jetPEI     | N/P: 7      | 10             | $3.70 \times 10^7$           | $8.52 \times 10^7$           |
| jetPEI     | N/P: 3      | 25             | $4.53 \times 10^8$           | $1.64 \times 10^8$           |
| pMAT-b-AEMA-2 | N/P: 3   | 10             | $4.39 \times 10^{10}$       | $2.29 \times 10^{10}$       |
| pDNA       | N/A         | 10             | $3.95 \times 10^{10}$       | $8.93 \times 10^{8}$         |

*Luminescence levels were averaged from the values obtained from 3 mice in each data set and are reported in photons/s. The logarithm of each measurement was calculated and samples that were found to be statistically different from pMAT-b-AEMA-2 were marked with an * (according to Student’s t-test with $p < 0.05$).

Figure 8. Luminescence images of a hydrodynamically injected mouse with pMAT-b-AEMA-2 and pDNA encoding for luciferase polyplexes following treatment with luciferin (left) and control mouse hydrodynamically injected with D5W solution only (right) measured with a Xenogen Spectrum CCD camera system. The images shown are representative to that observed for $N = 3$ replicates.

Figure 9. Fluorescence image of organs harvested from (a) a mouse hydrodynamically injected with a Cy7 pMAT-b-AEMA-2 polyplex and (b) a naïve mouse measured with a Xenogen Spectrum CCD camera system. Tissues: 1, liver; 2, kidney; 3, heart; 4, lung; 5, spleen; 6, brain; 7, blood. The images shown are representative to that observed for $N = 3$ replicates.

### CONCLUSION

Trehalose-containing cationic block copolymers were synthesized and their colloidal stabilization and gene delivery properties were examined in detail in vitro and in vivo. The pMAT-b-AEMA polymers bound pDNA and remained stable over time even in the presence of serum. Studies in tissue-cultured cells, however, indicated that both the pAEMA block length and polymer–pDNA ratio are important factors in determining toxicity, cellular uptake, and gene expression.

Overall, the pMAT-b-AEMA polymers demonstrated low toxicity, high levels of luciferase expression, and were also able to harness the unique property of trehalose as a lyoprotectant upon freeze-drying and resuspension, prompting in vivo studies of...
these formulations. To test the biodistribution and toxicity of these trehalose-stabilized polyplexes in vivo, we selected pMAT-b-AEMA-2 for murine studies.

The mice appeared to suffer no ill health effects after being dosed with up to 490 μg of polymer per mouse (the equivalent of 19.6 mg/kg of polymer/mouse). Quantitative PCR studies were conducted to measure the plasmid amount in six major organs. The amount of plasmid present was very low, especially when compared to plasmid levels in the liver following hydrodynamic infusion. The low level of polyplex uptake by all tissues was insufficient to achieve detectable levels of luciferase gene expression. The widespread and roughly equal distribution of luciferase activity throughout all tissue types was consistent with results that injected polyplexes are cleared into the urine within 6 min.

A second injection method was then used to bypass extended circulation in the blood as a physical means to target polyplex delivery to a specific tissue. Accordingly, we performed a hydrodynamic infusion to force the majority of polyplexes to the liver, which resulted in significant luciferase expression. Indeed, gene expression was comparable to that seen in previous work with diseased mouse models, suggesting that genes coding for therapeutic proteins would also be expressed at high levels when complexed with pMAT-b-AEMA-2. Because of the large volumes required to perform hydrodynamic injection, it is difficult to expand the use of this delivery technique into higher mammal models. Catheters are being explored to localize the high pressure to targeted tissues of interest, yet it is still difficult to achieve sufficient pressure levels needed to promote successful naked plasmid delivery and gene expression in vivo. Polyplexing plasmids could offer a method to reduce the injection volume and pressure needed to maintain significant gene expression. To this end, we show that polyplexing pDNA does not appear to prohibit its delivery/expression through in vivo hydrodynamic delivery methods (in fact, higher gene expression was observed) and shows promise to offer a way to formulate plasmids for direct catheter-based tissue administration. Overall, this work demonstrates the unique properties of trehalose for stabilizing polyplex formulations for lyophilization and delivery in vivo, important metrics for advancing new vehicles for clinical gene therapy applications. Future work is aimed at introducing these polyplex formulations with therapeutic genes by isolating hydrodynamic infusions to the liver of animal models.

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**Notes**

The authors declare no competing financial interest.

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