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Reduced phase stability and faster formation/dissociation kinetics in confined methane hydrate

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The mechanisms involved in the formation/dissociation of methane hydrate confined at the nanometer scale are unraveled using advanced molecular modeling techniques combined with a mesoscale thermodynamic approach. By means of atom-scale simulations probing coexistence upon confinement and free energy calculations, phase stability of confined methane hydrate is shown to be restricted to a narrower temperature and pressure domain than its bulk counterpart. The melting point depression at a given pressure, which is consistent with available experimental data, is shown to be quantitatively described using the Gibbs–Thomson formalism if used with accurate estimates for the pore/liquid and pore/hydrate interfacial tensions. The metastability barrier upon formation and dissociation is found to decrease upon confinement, therefore providing a molecular scale picture for the faster kinetics observed in experiments on confined gas hydrates. By considering different formation mechanisms — bulk homogeneous nucleation, external surface nucleation, and confined nucleation within the porosity — we identify a crossover in the nucleation process; the critical nucleus formed in the pore corresponds either to a hemispherical cap or a bridge nucleus depending on temperature, contact angle, and pore size. Using the classical nucleation theory, for both mechanisms, the typical induction time is shown to scale with the pore volume to surface ratio and, hence, the pore size. These findings for the critical nucleus and nucleation rate associated to such complex transitions provide a mean to rationalize and predict methane hydrate formation in any porous media from simple thermodynamic data.

Gas hydrate | Confinement and porous media | Formation/dissociation kinetics | Thermodynamics and molecular modeling |

Large amounts of methane hydrate — which consists of water forming molecular ice cages around methane molecules — are trapped in the porosity of rocks and seafloors. The impact of this so-called “burning ice” on our environment remains unclear. Methane is a potent greenhouse gas, especially the parameters driving its phase stability and kinetics. Here, using molecular modeling, we identify the mechanisms involved in the formation/dissociation of confined methane hydrate. The phase stability of confined methane hydrate is shown to be restricted to a narrower temperature/pressure range with faster underlying formation/dissociation kinetics. Such facilitated freezing, which helps rationalize experimental observations, is described using a mesoscale thermodynamic approach of the nucleation mechanism.

**Significance Statement**

Large amounts of methane hydrate — which consists of water forming molecular ice cages around methane molecules — are trapped in the porosity of rocks and seafloors. The impact of this so-called “burning ice” on our environment remains unclear. Methane is a potent greenhouse gas, especially the parameters driving its phase stability and kinetics. Here, using molecular modeling, we identify the mechanisms involved in the formation/dissociation of confined methane hydrate. The phase stability of confined methane hydrate is shown to be restricted to a narrower temperature/pressure range with faster underlying formation/dissociation kinetics. Such facilitated freezing, which helps rationalize experimental observations, is described using a mesoscale thermodynamic approach of the nucleation mechanism.

Both authors designed the work. D. Jin carried out the molecular simulations while both authors analyzed the data and developed the model. Both authors contributed to the writing of the manuscript. The authors declare no competing interests.

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scale (26) where the validity of bulk macroscopic concepts such as interfacial tension, enthalpy of melting, etc., remains questionable [Fig. 1(b)]. With this respect, while significant efforts are devoted to verifying the scaling law $\Delta T_f \sim 1/D_p$ using molecular simulation for nanoconfined methane hydrate (27), the question of its quantitative validity relying on robust calculations of the pore/liquid and pore/hydrate interfacial tensions and other thermodynamic parameters has not been considered. In fact, while the question of a contact angle $\theta$ between solid and liquid phases at a pore surface remains to be addressed, many experimental and theoretical works assume that there is a wetting parameter $\omega = \gamma_{LH} \cos \theta$ that controls the shift in the melting point $\Delta T_f \sim \omega/D_p$ (with the usual assumption $\cos \theta \sim -1$). Beyond confinement-induced shifts in hydrate stability, the formation and dissociation kinetics of these complex compounds remain to be investigated in detail. There are abundant literature data indicating that confinement leads to faster kinetics compared to their bulk counterpart (16, 20, 28) but the underlying microscopic mechanisms remain to be unraveled. Theoretically, much work has been done to elucidate the molecular routes that lead to the nucleation of bulk hydrates (29–33) but the case of confined hydrates has not been considered. Several authors have used molecular modeling to investigate the growth of an already formed methane or carbon dioxide hydrate in the vicinity of a surface (34–38) but microscopic nucleation remains largely unexplored.

Here, we present a theoretical study of confined methane hydrate to elucidate the mechanisms responsible for their reduced phase stability and faster formation/dissociation kinetics. By relying on statistical mechanics, such molecular simulations — using either the direct coexistence method (DCM) or free energy calculations — capture phase coexistence between confined liquid water and methane hydrate. In particular, our approach does not assume any thermodynamic modeling framework or kinetic pathway. We first extend the direct coexistence method to the Grand Canonical ensemble (open ensemble) to account for three phase coexistence (L–H–V) involved in gas hydrate formation/dissociation. Using such atom-scale simulations, we show that the melting point depression for confined hydrate is consistent with the Gibbs–Thomson equation if the pore/liquid and pore/hydrate interfacial tensions are used (here, values were calculated using independent molecular simulations). This macroscopic expression is shown to be a simplified thermodynamic approach that neglects the effect of pressure/temperature and methane chemical potential. In agreement with experimental data, the formation and dissociation of confined methane hydrate as described in our mesoscopic description are faster than for bulk hydrate. By considering different nucleation paths (homogeneous nucleation, surface nucleation, and in-pore nucleation), we identify the critical nucleus leading to the formation of methane hydrate at the surface of the host porous material. This mesoscale thermodynamic approach unravels a crossover in the nucleation process; depending on temperature, the formation of methane hydrate within the porosity involves a critical nucleus that corresponds either to a hemispherical cap or a bridge nucleus. In both cases, within the classical nucleation theory, we derive a single expression for the nucleation rate $1/\tau$ which is found to scale with the material specific surface area or, equivalently, with the reciprocal pore size, $1/\tau \sim 1/D_p$.

**Results**

**Melting point in confinement.** Fig. 2(a) illustrates the direct coexistence method (DCM) extended to the Grand Canonical ensemble to investigate the effect of confinement on L–H–V equilibrium (SI Text). A hydrate molecular configuration coexisting with liquid water in a slit pore of a size $D_p$ is prepared ($D_p \sim 1.67$ nm, $2.86$ nm, $5.23$ nm, and $7.61$ nm are considered). Using this starting configuration, for a given pressure $P$, Monte Carlo simulations in the Grand Canonical ensemble (GCMC) are performed for different $T$ to determine the melting temperature $T_f$ as follows. Methane hydrate melts into liquid water for $T > T_f$ [I in Fig. 2(b)] while liquid water crystallizes into methane hydrate for $T < T_f$ [II in Fig. 2(b)]. The Grand Canonical ensemble ensures that L–H–V coexistence is simulated *de facto* (39, 40); because the system is in equilibrium with an infinite reservoir at chemical potentials corresponding to bulk liquid water $\mu_{\text{H}_2\text{O}}(P, T)$ and methane vapor $\mu_m(P, T)$ at the same $P$ and $T$, DCM in this ensemble is equivalent to simulating a three phase coexisting system [$\mu_{\text{H}_2\text{O}}(P, T)$ and $\mu_m(P, T)$ are taken from (41)]. It was verified that for $T < T_f$ the methane hydrate occupancy is equivalent to that for bulk methane hydrate by measuring the methane mole fraction in the pore center (through integration of the water/methane density profiles in the region occupied by the methane hydrate except the water/methane layer in contact with the surface). Moreover, the confined hydrate structure was found to correspond to the expected sI structure. As shown in Fig. S1, the order parameter profile $Q(z)$ and hydrogen bonds per water molecule $N_{HB}(z)$ measured along the position normal to the surface match those obtained for the sI structure.

Fig. 2(c) shows the methane mole fraction $x_m$ for the slit
Fig. 2. Probing methane hydrate stability in nanopores. (a) Molecular modeling of phase coexistence in a pore of width $D_p \sim 2.86$ nm. Hydrate and liquid water are located on the left and right, respectively. The red and white spheres are the water oxygen and hydrogen atoms. The gray spheres are methane molecules inside the hydrogen-bonded cages formed by water. The yellow spheres are the solid atoms distributed according to a face-centered square structure. The box dimensions are $L_x = L_y \sim 2.38$ nm. (b) Starting from coexistence in (a), the system evolves towards liquid water or methane hydrate depending on $T$: (i) for $T > T_f$, hydrate melts, (ii) for $T < T_f$, liquid water crystallizes into hydrate ($T_f$ is the melting point of confined hydrate). (c) Change in methane mole fraction $x_m$, during the GCMC simulations at different $T$. pore with $D_p \sim 2.86$ nm at different $T$ in the course of GCMC simulations (data for other $D_p$ are given in Figs. S2 and S3); $x_m$ decreases to 0 as the system melts for $T \geq 260$ K while $x_m$ increases upon hydrate formation for $T \leq 250$ K. These data show that $T_f = 255 \pm 5$ K for $D_p \sim 2.86$ nm, which is lower than the bulk melting point taken at the same $P = 100$ atm ($T_{f,b} = 285 \pm 5$ K). This result, which is in qualitative agreement with experimental data on confined methane hydrate, suggests that the pore/hydrate interfacial tension $\gamma_{WH}$ is larger than the pore/liquid interfacial tension $\gamma_{WL}$. The red circles in Fig. 3(c) show the melting point depression $\Delta T_f/T_{f,b}$ obtained using DCM as a function of $1/D_p$ (as shown below, these data are consistent with free energy calculations). In qualitative agreement with the Gibbs-Thomson equation, the scaling $\Delta T_f \sim 1/D_p$ is observed even for such small nanopores. While many experimental and simulation works have validated this scaling, the quantitative verification of the Gibbs-Thomson equation applied to such ultra-confinement has been hampered by limitations in assessing pore/hydrate and pore/liquid interfacial tensions. The effect of surface wettability is assessed here by changing the LJ energy parameter $\epsilon'$ of the pore/hydrate and pore/liquid pair interactions, $\epsilon' = \epsilon/2, \epsilon/3, \epsilon/4, 2\epsilon, 3\epsilon,$ and $4\epsilon$ (where $\epsilon$ is the original LJ energy parameter in Table S1) are used to mimic stronger or weaker surface interactions. As shown in Fig. S4, $T_f$ remains constant as $\epsilon'$ is varied. This result can be explained using the Gibbs-Thomson equation [Eq. (3)] which will be discussed in detail below. The shift $\Delta T_f/T_{f,0}$ is proportional to the interfacial tension difference $\Delta \gamma = \gamma_{WL} - \gamma_{WH}$. At constant $T$ and $P$, a Taylor expansion for $\Delta \gamma$ leads to $\Delta \gamma(\epsilon') \sim \Delta \gamma(\epsilon) + \partial \Delta \gamma(\epsilon)/\partial \epsilon \times (\epsilon' - \epsilon)$. Surface interactions are found to amount for $< 5\%$ of the energy with negligible impact on $\Delta \gamma$. As a result, $\Delta \gamma(\epsilon') \sim \Delta \gamma(\epsilon)$ so that wettability considered here leads to the same melting shift.

**Gibbs-Thomson formalism.** Independent molecular simulations were used to determine parameters to assess the quantitative validity of the Gibbs-Thomson equation. Capillary crystallization is described at the macroscopic level using this equation—which is analogous to the Kelvin equation but for liquid/solid transitions. Such thermodynamic model relies on Laplace equation (which links the pressure difference in the confined crystal and liquid to their interfacial tension) combined with the chemical potential equality between the different phases. Two important assumptions are usually made when deriving the Gibbs-Thomson equation: (1) the crystal and liquid have the same molar volume ($v_c \sim v_L$) and (2) Young’s equation holds for liquid/solid systems with a contact angle $\theta$ ($\gamma_{WL} - \gamma_{WC} = \gamma_{LC} \cos \theta$). While the robustness of the first assumption can be assessed, the second assumption is key as the concept of solid/liquid contact angle in confinement remains unclear. A third assumption, which only pertains to multi-component phases such as hydrates, consists of neglecting the impact of the lowest mole fraction component on stability. For methane hydrate, this assumption consists of deriving phase stability without considering the chemical potential contribution from methane vapor. In what follows, we formally derive the Gibbs-Thomson equation without invoking the contact angle and extends its applicability to binary solids by including the methane contribution into the stability condition. Then, using independent molecular simulations, we estimate the different ingredients to discuss the validity of the Gibbs-Thomson equation when applied to confined hydrate (all derivation steps can be found in SI Text).

Let us consider two phases $\Phi$, methane hydrate ($\Phi = H$) and liquid water with solubilized methane ($\Phi = L$), in a slit pore of size $D_p$, surface area $A$, and pore volume $V = D_p A$ [Fig. 3(a)]. These two phases are in equilibrium with an infinite bulk reservoir which imposes the water and methane chemical potentials $\mu_w$ and $\mu_m$, and temperature $T$. For hydrate/liquid equilibrium in confinement, considering the grand potential $\Omega^F = -P^F V + 2\gamma_{WL} A$ for each confined phase ($\Phi = L, H$), the grand potential equality leads to the Laplace equation:

$$P^L - P^H = 2(\gamma_{WL} - \gamma_{WH})/D_p$$

where the factor 2 accounts for the two surfaces in the slit geometry. $\gamma_{WH}$ and $\gamma_{WL}$ are the pore/hydrate and pore/liquid interfacial tensions at the confined melting point ($\mu_w, \mu_m, T_f$). In confinement, as shown in SI Text, the pressures $P^F$ at the melting point ($\mu_w, \mu_m, T_f$) can be expressed using a Taylor

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expansion around the bulk melting point ($\mu_{w,0}$, $\mu_{m,0}$, $T_{f,0}$):

$$
P^H = P_0 + \frac{1}{V_0} \left( \Delta T_{f,0} \frac{\Delta \mu_H}{T_{f,0}} + \Delta \mu_M + \Delta \mu_m \frac{x_{m,0}}{1 - x_{m,0}} \right)
$$

where $P^\Phi = P^\Phi (\mu_{w,0}, \mu_{m,0}, T_f)$ is the pressure of phase $\Phi$ at ($\mu_{w,0}$, $\mu_{m,0}$, $T_f$) and $P_0 = P_0 (\mu_{w,0}, \mu_{m,0}, T_{f,0}) = P^\Phi (\mu_{w,0}, \mu_{m,0}, T_{f,0})$ is the pressure at ($\mu_{w,0}, \mu_{m,0}, T_{f,0}$) [corresponding to $D_p \to \infty$ in the Laplace equation]. $\Delta T_f = T_f - T_{f,0}$ is the melting temperature shift of confined methane hydrate with respect to its bulk counterpart. $\Delta \mu_i = \mu_i^\Phi - \mu_i^L$ is the difference of chemical potential $\mu_i^\Phi$ of species $i$ [$i = \text{methane (m), water (w)}$ for phase $\Phi$ at $T_f$ (at this point $\mu_i^L = \mu_i^L = \mu_i^H$ because of phase coexistence in confinement while also in equilibrium with the external phase) and $T_{f,0}$ (at this point $\mu_i^{H,0} = \mu_i^{L,0} = \mu_i^{F,0}$ by definition of bulk phase equilibrium). $s_i^H/s_i^L = \partial P/\partial T (\mu_{w,0}, \mu_{m,0}, T_{f,0})$ is the molar entropy $s_i^H$ (note that $s$ is the total entropy which includes both methane and water contributions) divided by the molar volume $V_0^H$ for phase $\Phi$ at ($\mu_{w,0}, \mu_{m,0}, T_{f,0}$). $1/s_i^H = \partial P/\partial T (\mu_{w,0}, \mu_{m,0}, T_{f,0})$ is the reciprocal of the molar volume for phase $\Phi$ at ($\mu_{w,0}, \mu_{m,0}, T_{f,0}$). As established in Eq. (S4), deriving $\Omega$ shows that $N_{m,0}^\Phi/N_{w,0}^\Phi = \partial P/\partial \mu_M (\mu_{w,0}, \mu_{m,0}, T_{f,0})$ is the ratio of the number of methane and water molecules divided by the molar volume $V_0^\Phi$ for phases $\Phi$ at ($\mu_{w,0}, \mu_{m,0}, T_{f,0}$). By noting that $N_{m,0}^\Phi/N_{w,0}^\Phi = x_{m,0}^\Phi/(1 - x_{m,0})$ where $x_{m,0}^\Phi$ is the methane mole fraction in phase $\Phi$ at ($\mu_{w,0}, \mu_{m,0}, T_{f,0}$) and that $x_{m,0}^\Phi \sim 0$ for the liquid phase, Eqs. (1) and (2) lead to:

$$
\frac{\Delta T_f}{T_{f,0}} = \frac{\Delta T_f}{P_0} \left( \frac{\gamma_{WH} - \gamma_{WH}^L}{2} \right) \left[ \frac{2}{D_p} \right]
$$

Introducing Young’s equation, $\gamma_{WH} = \gamma_{WH} - \gamma_{WH}^L \cos \theta$ with $\theta = \pi$, Eq. (4) leads to the classical formulation: $\Delta T_f/T_{f,0} = -2\gamma_{WH} s_{f,0}^H/\Delta h_{f,0} D_p$. In contrast to this simplified equation, Eq. (3) is a revised version that accounts for the effect of methane gas in the free energy balance and the significant density difference between the liquid and hydrate.

In what follows, we determine the following parameters using independent molecular simulations to check the quantitative validity of Eq. (3): molar volumes $V^H$, $V^L$, and $V^L$; molar enthalpy of melting $\Delta h_f$; surface area $A$; and $D_p$ at different bulk equilibrium conditions: (233 K, 1 atm), (262 K, 10 atm), and (286 K, 100 atm). We obtain $\Delta h_f \approx 8.35$ kJ-mol$^{-1}$, $V^H = 1.85 \times 10^{-5}$ m$^3$-mol$^{-1}$, and $V^L = 2.28 \times 10^{-5}$ m$^3$-mol$^{-1}$ at $T = 286$ K and $P = 100$ atm. Such enthalpy of melting $\Delta h_f$ leads to an entropy of melting $\Delta s_f = \Delta h_f/T_{f,0} = 29.3$ J-K$^{-1}$-mol$^{-1}$ which is comparable to that reported in (42). In addition to these...
parameters, the extended Gibbs–Thomson equation requires estimates for $\gamma_{\text{WH}}$ and $\gamma_{\text{WL}}$. Here, we use the Irving-Kirkwood approach to determine $\gamma_{\text{WH}}$ and $\gamma_{\text{WL}}$ as described in SI Text. To increase statistical accuracy, especially for the hydrate phase, improved sampling was used by considering different microscopic configurations taken along the GCMM simulations. Fig. 3(b) shows $\gamma_{\text{WH}}$ and $\gamma_{\text{WL}}$ as a function of pore size. Each calculation was carried out at the temperature and pressure corresponding to the melting point upon confinement. To allow quantitative assessment of Eq. (3), $\gamma_{\text{WH}}$ and $\gamma_{\text{WL}}$ at the bulk melting point were also estimated as they are key quantities in the Gibbs–Thomson equation. As expected, $\gamma_{\text{WH}}$ and $\gamma_{\text{WL}}$ are constant around the asymptotic values $\gamma_{\text{WH}}^0$ and $\gamma_{\text{WL}}^0$ for large pores but deviate in the limit of very small pores. As shown in Fig. 3(b), such pore size dependence, which can be described using the concept of disjoining pressure (occurring as the two interfaces interact with each other for small pores), can be quantitatively described using the simple physical formula:

$$\gamma(D_p) = \gamma^0 + a \exp(-D_p/b)$$

where $a$ and $b$ are the amplitude and range of the intermolecular forces responsible for the disjoining effect (43, 44). Using this approach, we found $\gamma_{\text{WL}} = -$117.8 J/m$^2$ and $\gamma_{\text{WH}} = -$52.4 J/m$^2$ (it was checked that the interfaced interfacial tensions are only weakly dependent on the exact decay used for the disjoining contribution).

Fig. 3(c) compares the predictions from the classical and extended versions of the Gibbs–Thomson equation with the DCM results. For such a comparison, we consider the corrected pore size $D_p^* = D_p - 2\sigma^*$ where $\sigma^* \sim 0.33$ nm is the Lennard-Jones parameter for the wall/water interaction (we recall that $D_p$ is defined as the distance between the carbon wall atoms so that it does not correspond to the pore size accessible to confined water/hydrate). This correction is needed as $D_p^*$ is consistent with the volume accessible to the center of mass of the water and methane molecules (see density profiles discussed below). In particular, this definition ensures that the number of confined molecules goes to zero as $D_p^* \rightarrow 0$ (45). The classical version of the Gibbs–Thomson equation—which neglects the liquid/solid density difference and methane chemical potential—is found to qualitatively describe the linear scaling between the shift in melting point observed using molecular simulation and the reciprocal pore size (see comparison between the red circles and the gray shaded area). This result is consistent with previous experimental and molecular simulation results showing a qualitative scaling $\Delta T_f/T_{f,0} \sim 1/D_p$ (22–25, 27).

As for the extended Gibbs–Thomson equation, a few remarks are in order. First, by construction, because simulations were carried out along the bulk liquid-hydrate coexistence, the confined liquid and hydrate are in equilibrium at all $T$ with a water/methane reservoir at the bulk coexistence pressure (i.e., $P_L = P^M = P^H$). This implies that the second term in Eq. (3) is equal to zero. As a result, by comparing the rest of this extended equation with the classical Gibbs–Thomson equation in Eq. (4) shows only one important difference: the methane chemical potential contribution. Fig. 3(c) shows that the Gibbs–Thomson equation using the interfacial tensions at the bulk melting point in combination with the chemical potential contribution as described in Eq. (3) correctly predicts the melting point depression observed using molecular simulation (comparison between red circles and the blue dashed line). This result shows that accounting for the methane contribution is important as it represents a non-negligible contribution to the expected Gibbs-Thomson shift in the hydrate melting point. As a last remark, even if the extended equation correctly predicts the melting point depression as a function of pore space $D_p^*$ available to the fluid molecule center of mass, we note that the classical version of this equation leads to inferred pore sizes that do not strongly differ from the pore size $D_p$ corresponding to the distance between opposite surfaces (from center of solid atoms on opposite walls). This result is important as it shows that the use of the classical Gibbs-Thomson equation to relate pore size and melting point shift remains reasonable—especially for large pores when the difference between $D_p$ and $D_p^*$ becomes negligible.

**Free energy and phase stability.** To probe the formation and dissociation kinetics of confined methane hydrate, we combined GCMM simulations with free energy calculations (details are provided in the Methods section). Using the umbrella-sampling technique, one can determine the free energy profile $\Omega(x)$ as a function of an order parameter $x$, which describes the transition from the liquid to the crystal phases [Fig. 4(a)]. The local order parameter $x = Q_6$ was used to identify methane hydrate ($Q_6 \sim 0.55$) and liquid water ($Q_6 \sim 0.35$). A perfect methane hydrate of dimensions $L_x = L_y = L_z \sim 2.36$ nm was prepared for bulk and confined methane hydrate in a slit pore of width $D_p \sim 2.86$ nm. Then, a harmonic potential $U_B(Q_6)$ is added to the energy calculated in the GCMM simulations to force the system to sample states corresponding to the given $Q_6$ value. This strategy is illustrated in Fig. 4(a) using the color squares. Such a biased potential allows one to determine the probability distribution $P^B(Q_6)$ from the biased molecular simulations. Finally, the free energy profile is obtained by subtracting the biased potential energy from the biased probability distribution: $\Omega(Q_6) = -k_BT \ln[P^B(Q_6)] - U_B(Q_6)$.

Fig. 4(b) shows the free energy $\Omega/k_BT$ for the bulk (I) and confined (II, $D_p \sim 2.86$ nm) hydrate/liquid transitions as a function of the local order parameter $Q_6$ at different $T$. For the bulk transition [I in Fig. 4(b)], methane hydrate is found to be stable for $T < T_{f,0}$. For the confined transition [II in Fig. 4(b)], all free energy calculations are performed above the expected melting temperature $T_f$ of confined methane hydrate as the umbrella sampling technique at lower $T$ failed to converge (as discussed below, this is due to the slow formation/dissociation kinetics for methane hydrate). As expected, liquid water is the favorable phase at these $T$; indeed, free energy difference between methane hydrate and liquid water at these $T$ is negative. To estimate the melting temperature of bulk and confined methane hydrate, the free energy difference $\Delta \Omega$ as a function of temperature $T$ was extracted from the free energy profiles $\Omega(Q_6)$ shown in Fig. 4. A polynomial fit was performed to describe each free energy profile and estimate the location and free energy minimum corresponding to the liquid and hydrate phase. As shown in Fig. S9, we find that $\Delta \Omega$ depends linearly on $T$ with the melting temperature corresponding to the temperature for which $\Delta \Omega \sim 0$. From such data, the bulk and confined melting temperatures, which are consistent with those found using the DCM, are $T_{f,0} = 302 \pm 16$ K and $T_f = 257 \pm 10$ K (the error bars are estimated from the uncertainty in extrapolating $\Delta \Omega \sim 0$).

To gain physical insights into the molecular mechanisms leading to methane hydrate formation/dissociation in confinement, we show in Fig. S10 the density profile of water $\rho_w$ and methane $\rho_m$ in the direction normal to the pore surface for
several states taken along the free energy curve $\Omega(Q_6)/k_B T$: liquid water ($Q_{6,k}^{(0)} = 0.405$), transition state ($Q_{6,k}^{(0)} = 0.495$), a constrained state ($Q_{6,k}^{(0)} = 0.450$) between liquid water and transition state, and methane hydrate ($Q_{6,k}^{(0)} = 0.540$). As expected, in the liquid phase, water forms a film at the pore surface that is structurally ordered. Because of the increased positional and orientational ordering in this film, methane hydrate first appears at the surface of this film in the region at the interface between the surface region and the pore center. Such appearance occurs through the concomitant insertion of methane and structuring/ordering of water (the latter is supported by data corresponding to the $Q_k(z)$ profile). This localized methane hydrate then grows by extending towards the pore center and close to the pore surface. These results suggest that the strong ordering of water molecules close to the surface region promotes the formation of methane hydrate. In contrast, upon dissociation, the depletion in water molecules at the pore wall leads to a weaker hydrogen bonding interaction; the decrease of $N_{HB}$ promotes the dissociation for confined methane hydrate. As discussed in the methods section, umbrella sampling calculations provide robust estimates for the free energy of different phases but the inferred transition path and underlying molecular mechanisms can depend on the selected order parameter. As a result, to verify the microscopic picture above, additional direct calculations were performed by monitoring the dissociation of a confined methane hydrate along a GCMC simulation carried out at a temperature above the confined melting point. Fig. S11 shows the water and methane density profiles and order parameter profile along the direction normal to the pore surface at different stages along dissociation. In agreement with the results discussed above, it is found that dissociation starts at a pore surface and then propagates towards the pore center.

For bulk methane hydrate, it has been shown that nucleation can proceed through direct crystallization from a solid nucleus or through transformation of an amorphous phase first appearing in the solution (46, 47). The observation of these two processes — either one step crystallization or two-step crystallization through the formation of an amorphous phase — was shown to depend on temperature with the two molecular mechanisms competing at moderate undercooling (32). For confined nucleation/dissociation, our microscopic data above — obtained independently using free energy calculations and simple Monte Carlo simulations — suggest that nucleation occurs through direct crystallization as the solid surface leads to significant surface ordering of water which, in turn, promotes hydrate cage formation. However, as described above, the fact that hydrate forms not in direct contact with the solid surface but in the region adjacent to the adsorbed water layer at the pore surface can be seen as parallel to the methane hydrate formation seen for bulk structures. Indeed, the adsorbed water layer coexisting with methane hydrate is playing a role somewhat equivalent to that of the amorphous phase observed under some specific thermodynamic conditions for bulk methane hydrate. In the next section, we develop a simple nucleation model in which crystallization is driven by the free energy balance between surface and volume contributions. Despite its simplicity, this model accounts for the disordered water layer at the pore surface since the interfacial tension between methane hydrate and the porous solid is calculated at coexistence conditions (which specifically include all atomistic/structural details of the confined phases).

**Formation and dissociation kinetics.** Despite its robustness, umbrella sampling fails to provide a rigorous estimate for the nucleation barrier $\Delta \Omega^*$ because the inferred value depends on the chosen order parameter and finite system size. To apprehend the nucleation process involved in the formation and dissociation of confined methane hydrate, we extend our investigation using a mesoscale description relying on thermodynamic ingredients identified in the molecular approach. In more detail, this coarse-grained strategy relies on the classical nucleation theory which describes nucleation at the mesoscopic level — therefore neglecting microscopic aspects including the role of molecular surface defects on crystallization/melting. Moreover, by relying on simple scalar parameters which are considered as homogeneous and constant, this approach fails to capture complex processes involving an irregular critical nucleus. Yet, as shown in the rest of this section, such a simple model is a robust framework to compare different methane hydrate nucleation mechanisms while relying on thermodynamic
Surface and confined nucleation correspond to heterogeneous mechanisms that initiate at the solid surface. Surface nucleation involves the formation of a surface hemispherical cap which transforms into a bridge as its height reaches the opposite pore surface [Fig. 5(b)]. Using the classical nucleation theory (48, 49), the exact nucleus shape for each mechanism is obtained by minimizing the surface energy at fixed methane hydrate volume \(V^H\) (50, 51). Regardless of the nucleus geometry, its grand free energy can be expressed as:

\[
\Omega = -P^L V^L - P^H V^H + \gamma_{WH} A^{WH} + \gamma_{WL} A^{WL} + \gamma_L A^{LH}
\]

The excess grand free energy \(\Delta \Omega = \Omega - \Omega^f\) needed to generate such a nucleus with respect to the liquid phase (\(\Omega^f = -P^L V^L + \gamma_{LM} A^L\)) writes:

\[
\Delta \Omega = (P^L - P^H) V^L + (\gamma_{WH} - \gamma_{WL}) A^{WH} + \gamma_L A^{LH}
\]

\[
= \Delta P V^H - \gamma_L \cos \theta A^{WH} + \gamma_L A^{LH}
\]

where \(V = V^H + V^L\) and \(A = A^{WH} + A^{WL}\) are the total pore volume and surface. The second equality is obtained by using \(\Delta P = P^L - P^H\) and Young equation \(\gamma_{WL} - \gamma_{WH} = \gamma_L \cos \theta\).

For each formation mechanism, the nucleation barrier \(\Delta \Omega^*\) corresponding to the critical nucleus is given by the maximum free energy along the nucleation path \(\Delta \Omega(V^H)\) (52).

Fig. 5(c) compares the free energy barriers for bulk, surface, and confined nucleation at a given shift \(T - T_{f,0}\) with respect to the bulk formation temperature \(T_{f,0}\) (all analytical calculations can be found in SI text). As expected, \(\Delta \Omega^*\) diverges at \(T_{f,0}\) for bulk and surface nucleation and at \(T_f(D_p)\) for confined nucleation. The free energy barriers for the bulk and surface mechanisms are \(\Delta \Omega^* = 4/3\pi \gamma_L R^2\) and \(\Delta \Omega^* = \gamma_L \pi R^2(2 - 3 \cos \theta + \cos^2 \theta)/3\). As for confined nucleation, the exact critical nucleus and associated free energy barrier depend on \(T - T_{f,0}\) as illustrated in Fig. 5(b). For small \(T - T_{f,0}\) [red line, right of the red square in Fig. 5(c)], the critical nucleus corresponds to a hydrate bridge which forms as the hemispherical cap initiated at the pore surface becomes unstable. For intermediate \(T - T_{f,0}\) [red line, between the red square and circle in Fig. 5(c)], \(\Delta \Omega^*\) corresponds to a critical nucleus consisting of the hemispherical cap becoming unstable as it reaches the opposite pore surface. For large \(T - T_{f,0}\) [red line, left of red circle in Fig. 5(e)], the critical nucleus consists of the hemispherical cap becoming unstable before reaching the opposite pore surface (as a result, in this temperature range, confined nucleation merges with surface nucleation). As shown in the inset Fig. 5(c), if we consider \(\Delta \Omega^*\) at a given \(\Delta T\) with respect to \(T_{f,0}\) or \(T_f(D_p)\), \(\Delta \Omega^*\) is much lower for confined nucleation than for bulk and surface nucleation. This result suggests that the hydrate/liquid transition is much faster when confined in porous rocks, which is consistent with previous experimental data (28, 53). We also compare in Fig. S12(a) the critical nucleus size \(R^*\) for bulk, surface, and confined nucleation. As expected, \(R^* = -2\gamma_L/\Delta P\) for bulk and surface nucleation are identical as can be inferred from the corresponding free energy approach described in SI text. On the other hand, for all \(T - T_{f,0}\), \(R^*\) for confined nucleation is lower or equal to that for surface nucleation.
As noted in Ref. (31), this result suggests that the formation within the bulk phase (justified as typical experiments are on the choice made for this semi-quantitative picture is consistent with experimental specific surface area, hydrate is proportional to the ratio of the external to pore rates, it was also shown that the effective nucleation rate is for a 2D spin lattice. These authors reported evidence for gated in-pore nucleation by means of Monte Carlo simulations consistent with the work by Page and Sear (55) who invested external surface $\rho_8$ prefactor $n_8$ nucleus at the top of the free energy barrier does not melt Zeldovich factor which accounts for the probability that a is the nucleus surface density, $D_s$ the diffusivity, and $Z$ the Zeldovich factor which accounts for the probability that a nucleus at the top of the free energy barrier does not melt and actually ends up forming hydrate). Assuming the same prefactor $\rho_8 D_s$ for surface and confined nucleation, the latter expression allows comparing the nucleation times for these two mechanisms from the porous surface area $A_p$ and external surface area $A_e$. For weak metastability [small $T_f(D_p) = T$], the confined nucleation time $\tau_c$ is much faster than at the external surface $\tau_e$ because $\Delta \Omega^e < \Delta \Omega^c$ and $A_p \gg A_e$. Similarly, for strong metastability [large $T_f(D_p) = T$], even if $\Delta \Omega^e = \Delta \Omega^c$, $\tau_e \ll \tau_c$ because $A_p \gg A_e$. These results are consistent with the work by Page and Sear (55) who investigated in-pore nucleation by means of Monte Carlo simulations for a 2D spin lattice. These authors reported evidence for a two-step nucleation process where a new thermodynamic phase first nucleates within the pore and then occurs in the bulk external phase. By considering the different mechanism rates, it was also shown that the effective nucleation rate is optimal for a well-defined pore size. Regardless of the exact confined nucleation mechanisms (hemispherical cap versus bridge), considering that $A_p$ is proportional to the pore surface to volume ratio and, hence, to the reciprocal pore size, the nucleation time is expected to scale as $\tau_c \sim D_p$. In particular, the simple model proposed in our work predicts that the ratio between the nucleation times for confined and non-confined hydrate is proportional to the ratio of the external to pore specific surface area, $\tau_e/\tau_c \propto A_e/A_p$. Despite its simplicity, this semi-quantitative picture is consistent with experimental observations showing that confinement reduces the formation time from weeks to hours (20, 21). These calculations depend on the choice made for $\theta$ but our conclusions remain valid for all angles [Fig. 12(b) in SI].

**Discussion**

A molecular simulation approach is used to show that the thermodynamics of methane hydrate confined down to the nanoscale conforms the classical macroscopic picture as described by the Gibbs–Thomson equation. When confined in pores of a few molecular sizes, even if water and methane possess different wetting properties towards the host surface, they form a gas hydrate phase with structural properties very close to their bulk counterpart. A negative shift in the melting point is observed as a result of the pore/liquid interfacial tension being smaller than the pore/hydrate interfacial tension. Beyond thermodynamic aspects, by showing that confinement leads to facilitated methane hydrate formation and dissociation, our free energy calculations and mesoscopic nucleation model provide a theoretical support for the faster kinetics observed in experimental studies.

Together with the large body of experimental data available, the present results provide a unifying picture of methane hydrate in confined environments or in the vicinity of surfaces. While the description of confined methane hydrate adopted here is simplified compared to real systems, our findings remain meaningful to physical situations such as methane hydrate trapped in rocks on Earth or in other planets, comets, etc. They are also relevant to important energy and environmental aspects such as the expected impact of methane hydrate dissociation on global warming, the use of methane hydrate as energy storage devices, the formation of methane hydrate in pipelines, etc. Despite the simple approach used in this work, in addition to the melting point depression being consistent with available experimental data, the predicted methane hydrate formation and dissociation times provide a robust molecular scale picture of experiments in this field. In particular, considering the mechanisms and times associated with homogeneous and heterogeneous nucleation, methane hydrate is expected to form in the vicinity of pore surface and then to extend to the bulk external phase. In this context, beyond the temperature-dependent crossover between confined and surface nucleation, the predicted scaling between the induction time and pore size $\tau \sim D_p$ is an important result which sheds light on complex experimental behavior.

Despite these results, there is a number of aspects which were not considered explicitly in our approach. As shown by Borchardt and coworkers (21), even when very similar porous samples are considered, the detailed surface chemistry is expected to play a key role on the thermodynamics and kinetics of methane hydrate formation in confinement. In particular, while our approach only considered the formation (dissociation) of methane hydrate from (towards) liquid water, these authors observed using advanced structural characterization tools more complicated mechanisms involving the formation and distribution of hexagonal and/or disordered ice within the sample porosity. In this regard, pore sizes commensurate with the hydrate structure were selected so that we cannot rule out more complex mechanisms in case of important mismatch between pore space and crystal parameter. Moreover, while diffusion limitations of water and methane through the porosity is expected to affect the kinetics of methane hydrate formation and dissociation (56), they are not taken into account in our statistical mechanics approach which only considers thermodynamic aspects since mass transport and diffusion are not explicitly treated. However, in the classical nucleation
theory, such diffusion limitations only affect the prefactor and
the typical nucleation time remains mostly driven – as a first
order approximation – by the free energy metastability barrier.
More importantly, despite the drawbacks identified above, the
fact that our molecular simulation approach provides a picture
consistent with experiments in almost every point makes our
approach suitable to tackle issues related to methane hydrate
in confined geometries.

Materials and Methods

Models. Methane is described as a Lennard-Jones (LJ) site with
OPLS-UA force field parameters (57). Water is described using the
TIP4P/Ice water model (58) which contains 4 sites: an LJ site on
the oxygen, two point charges on the hydrogen atoms, and a site M
corresponding to the O negative charge at a distance \(d_{\text{OM}} = 0.1577\)
Å from the oxygen toward the hydrogen atoms along the H–O–H
angle bisector. In this model, the O–H bond length is 0.9572 Å and
the H–O–H angle 104.52°. As shown in Refs. (41, 59), TIP4P/Ice
water combined with OPLS-UA methane accurately reproduces the
experimental phase diagram of bulk methane hydrate. We use the
stochastic procedure by Buch et al. (60) to generate a configuration
with sl structure (27, 41, 60). The slit pores are built as follows.
Each pore surface is of lateral dimensions \(L_x = L_y \sim 2.38\) nm and
made up of 11 × 11 squares whose vertices and centers are occupied
by a solid atom. Solid atoms are maintained frozen in all simula-
tions. The potential energy includes no intramolecular interactions
as we consider a rigid water model, frozen solid, and united-atom
methane model. The intermolecular potential between two atoms \(i\)
and \(j\) includes a short-range repulsion and attractive dispersion
described using the LJ potential: \(u_{ij}^L(r) = 4\varepsilon_{ij}(\sigma_{ij}/r)^{12} - (\sigma_{ij}/r)^6\)
where \(r\) is the distance separating \(i\) and \(j\) while \(\varepsilon_{ij}\) and \(\sigma_{ij}\)
are the corresponding parameters. The LJ interactions are truncated
beyond \(11\) nm. The like-atom parameters given in Table S1 while the unlike-atom parameters are obtained using the Lorentz-
Berthelot mixing rules. The intermolecular potential between \(i\)
and \(j\) also includes the Coulomb contribution: \(u_{ij}^C(r) = q_i q_j / (4\pi \varepsilon_0 r)\)
where \(q_i\) and \(q_j\) denote the atomic charges (Table S1). Ewald
summation is used to correct for the finite system size with an
accuracy \(10^{-5}\). Considering the small box size used here, possible
finite size effects cannot be ruled out. All calculations for bulk and
confined hydrate were performed for the same lateral extension to
minimize the impact of such potential effects. As will be shown
below, we stress that the melting point extrapolated to an infinite
pore matches accurately the bulk phase transition point as assessed
using the direct coexistence method (DCM) and free energy calcu-
lations. Considering that finite size effects are expected to affect
phase transitions for 2D and 3D systems to a different extent, this
result suggests that our comparison between data for confined and
bulk methane hydrate is relevant. Possible formation/dissocia-
tion hysteresis is another important technical limitation that should be
discussed. First, we recall that both the DCM technique and free
energy calculations are expected to be free of such metastability
issues. Indeed, by explicitly probing direct coexistence between
two phases and/or by assessing accurately the free energy of each
phase, these two methods allow overcoming the classical problems in
molecular modeling applied to phase transitions (especially, crystal-
lization). This is confirmed by the fact that these two methods lead
to consistent results for both bulk and confined methane hydrate.

Molecular Dynamics and Monte Carlo simulations. Molecular Dy-
namics (MD) in the NPT ensemble is used to determine the molar
volumes \((\nu^H, \nu^L)\) and enthalpies \((h^H_m, h^L_m)\) at bulk coexis-
tence conditions \((T, \rho, \theta)\). The velocity-Verlet algorithm is used
to integrate the equation of motion with an integration timestep
of 1 fs. The temperature and pressure are controlled using Nose-
Hoover thermostat and barostat with a typical relaxation time
of 2 ps for both NVT and NPT ensembles. All MD simulations
were performed using LAMMPS (61). Monte Carlo simulations in
the grand canonical ensemble (GCMC) are used in our DCM to
determine the confined transition temperature \(T_p\). In this ensemble,
the system has a constant volume \(V\), methane and water chemical
potentials \(\mu_m\) and \(\mu_w\), and temperature \(T\). Monte Carlo moves in
the grand canonical ensemble include molecule rotations, trans-
lations, insertions and deletions for both water and methane. A move
from an old \((a)\) to a new \((n)\) microscopic states is accepted or
rejected using a Metropolis scheme with an acceptance probability
\[P_{acc} = \min\{1, e^{\beta(U_n - U_a)}\} \text{ where } U_n \text{ and } U_a\]
are the free energy as described in the main text. To sample the
molar volume and enthalpy for methane hydrate, liquid water and
methane vapor. As for the GCMC simulations, energy, number
of methane and water molecules are averaged over configurations
taken every 50000 Monte Carlo moves (where one move consists of a
molecule translation, rotation, insertion or deletion). Each GCMC
simulation consists of at least \(10^{10}\) moves (corresponding to at least
\(10^6\) moves per water/methane molecule). Translation, rotation,
insertion and deletion are attempted with the following probabilities:
40%, 40%, 10% and 10%. With such numbers, the typical Monte
Carlo acceptance probability in the Metropolis scheme is about 35%
for translation/rotation and 0.2% for insertion/deletion. While the
latter number is rather low, the very large number of Monte Carlo
moves considered provide efficient sampling and equilibration (as
evidenced by the fact that the DCM calculations lead to results
consistent with those obtained using free energy calculations).

Free energy calculations. Umbrella sampling is used to determine
the free energy \(\Omega\) as a function of the local order parameter \(Q_6\).
This quantity, which allows identifying liquid water and methane
hydrate (62), is determined for a given oxygen \(O\) as:

\[
Q_{6,\alpha} = \frac{4\pi}{13} \sum_{m=-6}^{6} \frac{|Q_{6,m,\alpha}|^2}{1 + 2^{|Q_{6,m,\alpha}|}} \quad \text{[7]}
\]

where \(Q_{6,m,\alpha}\) are complex vectors defined as \(Q_{6,m,\alpha} = 1/N_b \sum_{i} Q_{6,m}(r_i)\). The summation over \(j = 1\) to \(N_b\) runs for all
neighbor oxygen within a distance \(r_b^i = 0.35\) nm) While \(Y_{6,m}(r_i)\)
are the spherical harmonics that depend on the vector \(r_{ij} = r_j - r_i\).
The free energy was determined using umbrella sampling with a
biased grand canonical Monte Carlo simulations. Starting from
methane hydrate, we force its transformation into liquid water
using a \(Q_6\) dependent biasing potential \(U^{B}(Q_6)\). Such biased
simulations yield the biased probability distribution \(P^{B}(Q_6)\) which
is the free energy functional potential energy at each \(Q_6\) and
set to zero in the biased potential energy at each \(Q_6\). Since both
biased probability distributions are obtained using the unbias-
biased description of liquid water and methane hydrate in the
entire domain \(Q (0.3 – 0.6), we consider \(N_b = 61\) windows with a
spacing of 0.05 so that \(N_b\) biased Monte Carlo simulations are
carried out with the corresponding \(Q_{6,b}^{B}\). In more detail, for the
\(k\)-th window, the following biasing harmonic potential is used:

\[
U^{B}(Q_6) = \frac{1}{2K} \left[ Q_6 - Q_6^{B,k} \right]^2 \text{ where } K = 5 \times 10^7 \text{ K is the force}
constant and } Q_6^{B,k} \text{ the central value. We use the weighted average
of the unbiased probability distribution of each window } P_i^{U}(Q_6) \text{ to
determine the full-unbiased probability distribution } P_i^{L}(Q_6) \text{ using:
}
\[
P_i^{L}(Q_6) = \sum_{k=1}^{N_b} N_b P_i^{U}(Q_6) \exp \left( - \frac{U_i^{B}(Q_6) - \Omega_i(Q_6)}{k_BT} \right) \quad \text{[8]}
\]

where \(P_i^{U}(Q_6)\) and \(N_b\) are the unbiased probability distribution and
the number of samples, respectively, in the \(k\)-th window. \(\Omega_i(Q_6)\) is

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the metastability free energy which can be calculated according to:
\[
\exp\left(\frac{-\Omega k_B T}{k_B T} \right) = \int dQ \exp\left(\frac{-U(Q)}{k_B T}\right) = \int dQ \exp\left(\frac{-U^P(Q)}{k_B T}\right)
\] [9]

Starting from Eq. (8) with \(\Omega k_B T = 0\), we iterate self-consistently between Eqs. (9) and (8) until convergence is reached. While umbrella sampling probes unambiguously the free energy of different phases, they can be prone to biases related to the choice made for the order parameter when estimating free energy barriers. On the one hand, the free energy minima found for each involved phase is independent of the chosen order parameter — therefore leading to an ambiguous definition for the phase transition temperature/pressure. This result is confirmed by the fact that out free energy calculations lead to the same formation/dissociation temperature as that inferred from the direct coexistence method. On the other hand, the estimated free energy barrier and detailed molecular mechanisms involved might depend on the specific transition path followed by the system (which is driven by the order parameter used to conduct the umbrella sampling).

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