Bidirectional Modeling of Surface Winds and Significant Wave Heights in the Caribbean Sea

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Abstract: Though the ocean is sparsely populated by buoys that feature co-located instruments to measure surface winds and waves, their data is of vital importance. However, due to either minor instrumentation failure or maintenance, intermittency can be a problem for either variable. This paper attempts to mitigate the loss of valuable data from two opposite but equivalent perspectives: the conventional reconstruction of significant wave height (SWH) from Caribbean Sea buoy-observed surface wind speeds (WSP) and the inverse modeling of WSP from SWH using the long short-term memory (LSTM) network. In either direction, LSTM is strongly able to recreate either variable from its counterpart with the lowest correlation coefficient ($r^2$) measured at 0.95, the highest root mean square error (RMSE) is 0.26 m/s for WSP, and 0.16 m for SWH. The highest mean absolute percentage errors (MAPE) for WSP and SWH are 1.22% and 5%, respectively. Additionally, in the event of complete instrument failure or the absence of a buoy in a specific area, the Simulating WAves Nearshore (SWAN) wave model is first validated and used to simulate mean and extreme SWH before, during, and after the passage of Hurricane Matthew (2016). Synthetic SWH is then fed to LSTM in a joint SWAN—LSTM model, and the corresponding WSP is reconstructed and compared with observations. Although the reconstruction is highly accurate ($r^2 > 0.9$, RMSE < 1.3 m/s, MAPE < 0.8%), there remains great room for improvement in minimizing error and capturing high-frequency events.

Keywords: buoy observations; intermittency; surface wind speed; significant wave height; LSTM; SWAN; Caribbean Sea

1. Introduction

For an incredibly diverse range of coastal and open ocean studies, numerical model output, satellite data, and reanalysis products dominate the methodologies employed by researchers worldwide and are often used to supplement if not completely replace in situ platforms such as buoys. Nevertheless, in each case, before any of those methods could be reliably used, their robustness is nearly universally tested by their agreement with the same platforms [1–5]. A host of studies are naturally directly performed using buoy measurements [6–9], and thus, the fidelity and completeness of buoy measurements are of paramount importance as their data is extremely valuable. For small island developing states (SIDS) that possess neither the financial resources nor technical expertise to deploy and maintain large numbers of buoys, it is of even greater importance to fully use available buoy observations and to find methods to convert those observations into additional variables of interest.

As long shown by ocean remote sensing, the indirect derivation of parameters of interest from direct observations of another is a common problem. For example, a host of algorithms have been proposed to inversely model wind speed (WSP) from high-frequency coastal radar measurements [10–12] and Synthetic Aperture Radar (SAR) estimation of WSP from sea surface roughness [13,14]. Relevant to the current discussion, identical trends...
are identified for in situ observations, where recently, artificial intelligence and machine learning techniques have been widely employed and applied in WSP inversions from wave measurements. Daga and Deo attempted to derive WSP from wave measurements at five buoy locations through inverse modeling by using genetic programming (GP), model trees (MT), and a locally weighted projection regression [15]. Among the three methods, GP was found to be the most suitable in most cases. In a similar study, Kambeck and Deo used two data-driven models, GP, and MT to simulate and forecast waves using WSP at eight different buoys and found that while both methods performed satisfactorily, MT estimated higher waves more accurately [16]. Nitsure et al. used wind information ingested by GP to forecast wave heights at varying temporal horizons and found that the model accurately captured wave heights, even during hurricanes [17]. Charhate et al. compared GP and an ANN in the inverse modeling of deriving wind parameters from wave information and found that GP produced more accurate results [18]. Although Akbarifard and Radmanesh primarily used a symbiotic organisms search (SOS) algorithm to predict wave height in hourly and daily time ranges and compared its efficacy with other algorithms, they found that, crucially, coupling SOS with Simulating WAves Nearshore (SWAN) numerical wave model could be applied in areas of insufficient observations [19]. James et al. compared a trained multi-layer perceptron (MLP) model with SWAN output of wave height and wave period and found that the MLP could produce similarly accurate wave conditions as SWAN could but additionally could be run over $4000 \times$ faster [20]. Vieira et al. used an artificial neural network (ANN) to fill gaps in buoy data using publicly available wind and wave information generated from a numerical model-generated hindcast, demonstrating ANNs were viable alternative methods to wave modeling to fill gaps [21]. Widely used in oceanographic studies, the long short-term memory (LSTM) recurrent neural network has been applied primarily to forecast significant wave height. For example, Ni and Ma [22] used LSTM and Principal Component Analysis (PCA)-identified parameters to predict wave height from four buoys in the polar westerlies. Pushpam and Enigo used LSTM trained on three years of buoy data to perform 3, 6, 12, and 24 h significant wave height predictions [23]. Fan et al. also used LSTM in significant wave height predictions and additionally found that when SWAN was fed buoy-observed surface wind speed, the hybridized SWAN-LSTM model outperformed the single SWAN usage [24].

Considering the few buoys located throughout the Caribbean Sea, the value of their data is extremely valuable, especially at the onset of the United Decade of Ocean Science for Sustainable Development (2021–2030). Consequently, in this study, two fundamental observations made by buoys, surface winds and significant wave height, are converted from its counterpart through the usage of the LSTM network. In a case study, LSTM is coupled with SWAN and used to reconstruct mean and extreme (hurricane) wind speed from modelled significant wave height. The rest of the paper is structured as follows: Section 2 describes the data used and methodology employed. Section 3 provides the results. Section 4 gives the conclusion and a discussion.

2. Materials and Methods

2.1. In Situ Observations

Six Caribbean Sea-deployed buoys owned, operated, and maintained by the National Buoy Data Center (NDBC) were accessed for significant wave height observations for 2016 (Figure 1, Table 1). This year was chosen because each buoy had sufficient information to perform the required analyses. Data curating was performed to ensure a uniform hourly resolution of equal-length, contemporaneous wind, and wave data in each buoy because LSTM is unable to handle any gaps. In the case of NDBC buoy 42057 that only had 50% of observations (ranging from 1 January to 30 June 2016), invalid entries were removed, and the time series that remained was used to train the LSTM network. For all other buoys that had minor invalid entries distributed throughout the length of their time series, these were simply removed alongside the corresponding variable for that time step.
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Figure 1. The model domain, Hurricane Matthew (2016) best track (red line), and topography (shading, m) with utilized NDBC buoy positions marked (red triangles). The dates at which Matthew passes the nearest buoys are also marked.

Table 1. NDBC buoy data statistics for 2016.

| Buoy ID | Latitude (° N) | Longitude (° W) | No. of Observations | Data Availability (%) |
|---------|----------------|-----------------|---------------------|----------------------|
| 42056   | 19.820         | 84.945          | 8185                | 93                   |
| 42057   | 16.906         | 81.422          | 4346                | 50                   |
| 42058   | 14.776         | 74.548          | 8705                | 99                   |
| 42059   | 15.252         | 67.483          | 8333                | 95                   |
| 41043   | 21.030         | 64.790          | 8729                | 99                   |
| 41046   | 23.822         | 68.384          | 8760                | 100                  |

2.2. Numerical Model Configuration

Based on the spectral action balance equation, the phase averaged, third-generation wind-wave model Simulating Waves Nearshore (SWAN) version 41.10 [25,26] is employed to perform the wave simulations. The model is efficient at simulating wind-induced wave growth, energy dissipation due to whitecapping, bottom friction, and wave breaking, in addition to the nonlinear triad and quad interactions. In Cartesian coordinates, the governing equation is given as follows:

\[
\frac{\partial N}{\partial t} + \frac{\partial c_x N}{\partial x} + \frac{\partial c_y N}{\partial y} + \frac{\partial c_\sigma N}{\partial \sigma} + \frac{\partial c_\theta N}{\partial \theta} = \frac{S_{tot}}{\sigma}
\]  

(1)
where $\sigma$ is the radian frequency as observed in a reference frame moving along with a current velocity; $N$ is the wave action density and is equal to the energy density divided by the relative frequency ($N = E/\sigma$); $\theta$ is the wave propagation direction; $c$ is the wave action propagation velocities in $x$, $y$, $\sigma$, and $\theta$ space. $S_{\text{tot}}$ is the non-conserved source/sink term expressed as the wave energy density, which represents all physical processes that generate, dissipate, or redistribute wave energy and is given as:

$$S_{\text{tot}} = S_{\text{in}} + S_{nl3} + S_{nl4} + S_{d,w} + S_{d,h} + S_{d,br}$$

where $S_{\text{in}}$ is wind-induced energy input; $S_{nl3}$ and $S_{nl4}$ are the triplet and quadruplet wave-wave interactions, respectively; $S_{d,w}$ is dissipation due to whitecapping; $S_{d,h}$ is dissipation due depth-induced wave breaking; $S_{d,br}$ is the dissipation by bottom friction. The transfer of wind energy input to waves is described by the resonance [27] and feedback [28] mechanisms; both linear and exponential wind input growth functions are included in the model. Whitecapping formulations based on a pulse-based model [29], as adapted by the Wave Model Development and Implementation (WAMDI) Group, are employed [30]. The selected bottom friction models are the Joint North Sea Wave Project (JONSWAP) [31] empirical model with a friction coefficient of 0.067 m$^2$/m$^3$, the drag law model of Collins [32], and the eddy-viscosity model of Madsen et al. [33]. Energy dissipation in random waves due to depth-induced wave breaking is dealt with by the bore-based model of Battjes and Janssen [34]. Deep and shallow water quadruplet and triad-wave interactions are activated using Discrete Interaction Approximation (DIA) default settings [35] and the Lumped Triad Approximation (LTA). Partially modeled diffraction is added to the model using a phase-decoupled refraction/diffraction method [36]. Through prior experimentation, it was found that the Janssen physics processes [37] produced the best results and are thus employed. SWAN model parameters and configurations are given in Table 2.

Table 2. Simulating WAves Nearshore model configuration.

| Parameter               | Value/Scheme                      |
|-------------------------|-----------------------------------|
| Timestep (min)          | 5                                 |
| Number of meshes in $\theta$ space | 24                               |
| Lowest discrete frequency (Hz) | 0.0418                         |
| Highest discrete frequency (Hz) | 1                                |
| Physics process         | GEN 3 JANSSEN                     |
| Spectral shape          | JONSWAP                           |
| Propagation scheme      | Backward Space Backward Time (BSBT) |
| Spatial resolution (km) | 2 × 2                             |
| Temporal resolution (hr)| 1                                 |
| Coordinate Mode         | Spherical                         |
| Mode                    | Nonstationary 2D                  |

The model is implemented using an unsteady two-dimensional calculation mode and is on a spherical coordinate system for the geographical area enclosed by 60–88° W, 10–30° N. Bathymetric data is obtained from the General Bathymetric Chart of the Oceans (GEBCO; GEBCO_2014 Grid, http://www.gebco.net; accessed on 23 February 2021).

SWAN is forced with 6-h Climate Forecast System Reanalysis (CFSR) wind fields provided by the National Centers for Environmental Prediction (NCEP) reanalysis data on a spatial resolution of ~0.2° in both directions for September and October 2016. For clarification, the CFSR wind field is used only for driving the wave model and is not included in any subsequent assessments.

Although the Caribbean Sea is semi-enclosed, the Atlantic Ocean boundaries are naturally open and necessitate energy input to the model domain. Hourly WaveWatch III output developed at NOAA/NCEWP [38] was used as initial and boundary conditions. A spin-up time of two days was given before data is recorded on the first day of each of the
two months. The data were recorded at computational grid points corresponding to the geographical locations of NDBC buoys 42058 and 42059 every 1 h.

2.3. The Long Short-Term Memory Network

Belonging to a class of artificial recurrent neural networks (RNNs), the long short-term memory was specifically developed to deal with the vanishing gradient problem and is highly efficient at data time series analysis [23,39]. Particularly, LSTMs have an advantage over conventional feed-forward neural networks and other RNNs in that they can selectively remember patterns in data for long durations, and this is accomplished by a series of forget \( f_t \), input \( i_t \), and output \( o_t \) gates, in addition to the sigmoid function \( \sigma \) and Hadamard \( \odot \) product operator [40]. Each gate of the cell state may be computed as follows:

\[
f_t = \sigma(W_xf x_t + W_hf h_{t-1} + b_f)
\]

\[
i_t = \sigma(W_xi x_t + W_hi h_{t-1} + b_i)
\]

\[
o_t = \sigma(W_xo x_t + W_ho h_{t-1} + b_o)
\]

\[
g_t = \tanh(W_xg x_t + W hg h_{t-1} + b_g)
\]

\[
c_t = f_t \odot c_{t-1} + i_t \odot g_t
\]

\[
h_t = o_t \odot \tanh(c_t)
\]

where \( W \) is each layer’s assigned weight; \( x_t \) is the input time step \( t \); \( b \) is the bias; \( \tanh \) is a hyperbolic tangent function. As the name implies, the forget gate is used to forget past information, with decisions on which information to forget defined as the value obtained by taking the sigmoid following receiving \( h_{t-1} \) and \( x_t \). The output of the sigmoid function ranges from 0 to 1 so that if the value is 0, the information of the previous state is completely forgotten, and if 1, the information is completely retained. Current information is saved in the input gate \( i_t \odot g_t \), where it takes the values of \( h_{t-1} \) and \( x_t \) and applies it to the sigmoid function. Following this, the value computed with the \( \tanh \) function and Hadamard product operator is sent from the input gate. To represent the strength and direction of the current information storage, \( i_t \) ranges from 0 to 1, and \( g_t \) ranges from \(-1 \) to \( 1 \), respectively. The LSTM network will be used to perform both the conventional (wind-to-wave; the input is wind speed, and output is significant wave height) and inverse (wave-to-wind; the input is the significant wave height, and the output is wind speed) modeling of WSP and SWH from its counterpart variable. The LSTM cell state architecture is given in Figure 2.

The LSTM network is set up with four layers that correspond to a time step of 4. This time step was chosen as it falls within the forecast limit of 1–6 h for one year of training data as established by Fan et al. [24]. The recursive linear unit (relu) was used as the activation function to maximize the model’s ability to capture nonlinearities. The number of epochs was set to 50, and the batch size was set to 1. Partitioning of training and validation sets occurred at a 70/30 split. The training/testing split specifies the quantity of data used to train the model before the accuracy of the predictions is tested during model training. Throughout each experiment, operating parameters were held constant.
Figure 2. The architecture of the long short-term memory (LSTM) cell state ('X'), where $x_t$ and $y_t$ are the target variables before and after passing through the network at the current gate, respectively; $x_{t+1}$ and $y_{t+1}$ are the target before and after passing through the network at the next iteration, respectively.

2.4. Performance Indicators

To evaluate model performance at variable reconstruction, three commonly applied statistical techniques to measure discrepancies between synthetic and observed values. The correlation coefficient ($r^2$), root mean square error (RMSE) and mean absolute percentage error (MAPE) are given as follows:

$$r^2 = 1 - \frac{\sum_{i=1}^{N} (x_i - \hat{x}_i)^2}{\sum_{i=1}^{N} (x_i - \bar{x})^2}$$

$$RMSE = \sqrt{\frac{\sum_{i=1}^{N} (x_i - \hat{x}_i)^2}{N}}$$

$$MAPE = \frac{1}{N} \sum_{i=1}^{N} \left| \frac{x_i - \hat{x}_i}{x_i} \right| \times 100$$

(9)

where $x_i$ and $\hat{x}_i$ are the observed and reconstructed variables, respectively.

3. Results

3.1. Conventional Modeling

In this first section, the conventional transformation of surface wind speed (WSP) into significant wave height (SWH) is performed. In Figure 3, with corresponding error statistics collated in Table 3, it can be shown that there exists a strong agreement between the synthetic and observed SWH. Specifically, an $r^2$ of 0.99, an RMSE of 0.09 m, and a MAPE of 0.05 was returned for buoy 42056 (Figure 3a), an $r^2$ of 0.95, an RMSE of 0.16 m, and a MAPE of 0.05 for buoy 42057 (Figure 3b), an $r^2$ of 0.98, an RMSE of 0.11 m, and a MAPE of 0.04 for buoy 42058 (Figure 3c), an $r^2$ of 0.98, and an RMSE of 0.09 m, and a MAPE of 0.04 for buoy 42059 (Figure 3d). Similar trends were found for buoy 41043 that had an $r^2$ of 0.98, an RMSE...
of 0.11 m, and a MAPE of 0.04 (Figure 3e), and buoy 41046 that had nearly identical $r^2$, RMSE, and MAPE values of 0.98, 0.11 m, and 0.04, respectively (Figure 3f). Histograms of synthetic vs. observed SWH for each buoy presented in Figure 4a–f for NDBC buoys 42056, 42057, 42058, 42059, 41043, and 41046, respectively, allow for another validation of LSTM network accuracy at conversions. In each case, only minor discrepancies between LSTM predictions and observations of SWH can be observed. It should be immediately noted the close fit between the synthetic and observed results of either Figure 3 or Figure 4 allows for the synthetic variables to be used in place of observations if these are not available. Thus, while there is no replacement for wide networks of observation platforms such as buoys, their reliability can be increased by adopting schemes such as these to derive target variables from observed ones.

![Figure 3](image-url)

**Figure 3.** Scatterplots of synthetic LSTM-generated vs. NDBC-observed significant wave heights (m) for buoys (a) 42056, (b) 42057, (c) 42058, (d) 42059, (e) 41046, and (f) 41047.

**Table 3.** Error statistics between LSTM-generated and NDBC observed significant wave height.

| NDBC ID | $r^2$ | RMSE (m) | MAPE (%) |
|---------|-------|----------|----------|
| 42056   | 0.99  | 0.09     | 0.05     |
| 42057   | 0.95  | 0.16     | 0.05     |
| 42058   | 0.98  | 0.11     | 0.04     |
| 42059   | 0.98  | 0.09     | 0.04     |
| 41043   | 0.98  | 0.11     | 0.05     |
| 41046   | 0.98  | 0.11     | 0.04     |
Figure 4. Histograms of synthetic LSTM-generated vs. NDBC-observed significant wave height (m) for buoys (a) 42056, (b) 42057, (c) 42058, (d) 42059, (e) 41046, and (f) 41047.

3.2. Inverse Modeling

In this subsection, the inversion of wind information from wave properties is performed using the predicted time series. In Figures 5 and 6, scatterplots and histograms of synthetic WSP compared with NDBC observations are presented, with the respective error statistics given in Table 4. Nearly identical to the previous section, it can be observed that LSTM was also highly efficient at converting observed SWH to the corresponding WSP that forced those waves. Specifically, an \( r^2 \) of 0.99, an RMSE of 0.39 m/s, and a MAPE of 0.07 was returned for buoy 42056 (Figure 5a), an \( r^2 \) of 0.98, an RMSE of 0.46 m/s, and a MAPE of 0.07 for buoy 42057 (Figure 5b), an \( r^2 \) of 0.98, an RMSE of 0.46 m/s, and a MAPE of 0.09 for buoy 42058 (Figure 5c), an \( r^2 \) of 0.98, and an RMSE of 0.26 m/s, and a MAPE of 1.22 for buoy 42059 (Figure 5d). Similar trends were found for buoy 41043 that had an \( r^2 \) of 0.96, an RMSE of 0.48 m/s, and a MAPE of 0.13 (Figure 5e), and buoy 41046 that had virtually identical \( r^2 \), RMSE, and MAPE values that were measured at 0.97, 0.48 m/s, and 0.14, respectively (Figure 5f). In Figure 6a–f where in sequence, the scatterplots of NDBC buoys 42056, 42057, 42058, 41043, and 41043 were converted to histograms for a second round of validations, it can be observed that in each case LSTM predictions remain highly accurate when compared with the observations. From MAPE values, however, the inverse modeling of WSP from SWH has greater errors than the conventional modeling of the previous section. This can be explained in that while waves are primarily driven by wind forcing, wind by contrast, in addition to interaction with surface waves [41,42], is affected by a range of other variables not presently considered by LSTM, thus leading to larger errors.
This can be explained in that while waves are primarily driven by wind forcing, wind by contrast, in addition to interaction with surface waves [41,42], is affected by a range of other variables not presently considered by LSTM, thus leading to larger errors.

Figure 5. Scatterplots of LSTM-generated and NDBC-observed significant wave heights (m) for buoys (a) 42056, (b) 42057, (c) 42058, (d) 42059, (e) 41046, and (f) 41047.

Figure 6. Histograms of LSTM-generated and NDBC-observed wind speed (m/s) for buoys (a) 42056, (b) 42057, (c) 42058, (d) 42059, (e) 41046, and (f) 41047.
Table 4. Error statistics between LSTM-generated synthetic surface wind speeds and NDBC observations.

| NDBC ID | r²  | RMSE (m/s) | MAPE |
|---------|-----|------------|------|
| 42056   | 0.99| 0.39       | 0.07 |
| 42057   | 0.98| 0.46       | 0.07 |
| 42058   | 0.98| 0.46       | 0.09 |
| 42059   | 0.98| 0.26       | 1.22 |
| 41043   | 0.96| 0.48       | 0.13 |
| 41046   | 0.97| 0.48       | 0.14 |

3.3. Applications: Wind and Wave Reconstructions

To further demonstrate the applicability using the LSTM network to simulate variables of interest from available ones, and considering the possibility of complete instrumentation failure, SWAN was used to simulate SWH at NDBC buoys 42058 and 42059 (Figure 1) for September and October 2016 to capture the mean and extreme wave states before, during, and after the passage of Hurricane Matthew (2016). The other buoys were not used given that they were deployed in locations too far from Matthew’s track and thus only recorded mean wind and wave states. Hurricane Matthew (2016) passed through the central Caribbean Sea from 29 September to 3 October (Figure 1) and, at the time, was categorized as a major hurricane with a minimum air pressure of 937 hPa and wind speeds approaching 67 m/s. This is especially relevant given that NDBC buoys 42058 and 42059 have, by 6 June 2020 and 18 October 2020, respectively, gone adrift from their original locations. Data transmissions have halted. In the event of the complete absence of buoys, or their data is of insufficient quality to meet demands, numerical models (once thoroughly validated through other means) are often employed to circumvent these issues and conduct coastal and oceanographic studies [3,43,44]. Demonstrating, we first validate wave simulations using buoy observations in Figure 7. In Figure 7a, r² and RMSE between the SWH and observations were measured at 0.93 and 1.09, respectively, for NDBC buoy 42058. Similar, albeit poorer, results are shown in Figure 7b for NDBC buoy 42059, where r² and RMSE were measured at 0.87 and 0.41, respectively. In either figure, although the model was able to reproduce the large-scale features of the measured SWH, fine-scale features were completely missed, leading to the large RMSE values. It is worth noting that through the coupling of an atmospheric model, hurricane-induced SWH could be more realistically simulated due to a better estimation of sea surface roughness [45,46]. This option, however, requires significant computational resources and expertise to set up, couple, and evaluate model results. A significantly cheaper method to increasing SWAN model accuracy was given by Fan et al. that coupled LSTM to the model and increased accuracy by 65% [24]. Following the validation of the simulated SWH, we experiment with reconstructing WSP from these simulations, rather than buoy data (i.e., observations of SWH were completely removed), with results presented in Figure 8.

Observing Figure 8, the inverse modeling of surface wind speed from model-simulated wave heights was highly efficient, reaching an r², RMSE, and MAPE of ~0.93, ~1.3 m/s, and 0.11, respectively, for NDBC buoy 42058 (Figure 8a), and an r², RMSE, and MAPE of 0.91, 0.89 m/s, and 0.11, respectively, for NDBC buoy 42059 (Figure 8b). However, several high-frequency events were completely missed in each case. Extreme peaks were identically not captured. These results can be strongly contrasted with the wind speed reconstructions of the previous section, where when wave observations were used for inversions, r² was much higher, and RMSE and MAPE were much lower than where model simulations were used. This result was inevitable due to the accumulation of errors both incurred from usage of the LSTM network and wave model inaccuracies. Thus, while the joint SWAN–LSTM model can help to overcome the lack of robust data due to faulty or absent observation platforms and sensors, extra attention should be placed on ensuring the robustness of SWAN itself to minimize the accumulation of errors.
Figure 7. Validation of SWAN-simulated (blue) and NDBC-observed (red) significant wave height for NDBC buoy 42058 (a) and 42059 (b) before, during, and after the passage of Hurricane Matthew (2016).

Figure 8. Comparison of reconstructed (blue) and observed (red) surface wind speed (m/s) at NDBC buoy 42058 (a) and 42059 (b) before, during, and after the passage of Hurricane Matthew (2016).
Additionally, although SWH data are only extracted from the model at two locations, other locations can be selected, and virtual buoys established to perform additional wave studies [47–50]. At these new locations, using the currently validated SWAN-LSTM model, high fidelity inversions of SWH to WSP can be performed to increase the coverage of ‘observations’ for wind research in general [51–53], but co-located wind and wave energy assessments in particular [54,55].

4. Conclusions

For a wide range of coastal and oceanic applications, observations of basic metocean variables are of paramount value, and it is for this reason that wealthy nations have deployed them in large numbers. For small island developing states with neither the financial resources nor expertise to develop, deploy, and maintain these platforms, the data observed by buoys operated by international organizations is even more precious. Thus, methods to fully utilize and, where necessary, reconstruct observations are crucial. This study used a long short-term memory (LSTM) network to initiate the conventional (wind-to-wave) and inverse (wave-to-wind) modeling of observations from six National Buoy Data Center buoys located throughout the Caribbean Sea. In either case, LSTM was highly effective at reproducing winds and waves from their respective counterpart and is thus an extremely attractive option to minimize intermittency on the already sparse buoys regionally deployed in the event of partial instrument failure. Considering the possibility of complete instrument failure, a third-generation numerical wave model was used to produce synthetic wave information before inverse modeling was performed to derive the corresponding surface winds during mean and extreme metocean states. Though ultimately reliant on prior validation through observations and are computationally expensive to use, numerical models offer a significant avenue to simulate wave states with a proven record of efficacy. When coupled with LSTM, the SWAN-LSTM model was able to reconstruct the overlying wind field to a high degree of accuracy. Additionally, the usage of another numerical model to simulate wind speed was completely avoided. It must, however, be repeated that the accuracy of surface wind speed inverted from numerically simulated wave heights is inexorably restricted by the accuracy of the wave model itself. Although this work considered the reconstruction of 1D time-series data, the study can be extended to 2D with the introduction of a convolutional LSTM, and even 3D, with the stacked LSTM.

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