LECTURES ON CONTROLLED REEB DYNAMICS

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Dedicated to David Blair on his 78th birthday

Abstract. These are notes based on a mini-course at the conference Riemain in Contact, held in Cagliari, Sardinia, in June 2018. The main theme is the connection between Reeb dynamics and topology. Topics discussed include traps for Reeb flows, plugs for Hamiltonian flows, the Weinstein conjecture, Reeb flows with finite numbers of periodic orbits, and global surfaces of section for Reeb flows. The emphasis is on methods of construction, e.g. contact cuts and lifting group actions in Boothby–Wang bundles, that might be useful for other applications in contact topology.

1. Introduction

One of the driving conjectures in contact topology and Reeb dynamics is the Weinstein conjecture about the existence of periodic Reeb orbits. As originally envisaged by Poincaré in the context of the 3-body problem, finding periodic orbits may be the first step (in the absence of stationary solutions) to understanding a dynamical system: “D’ailleurs, ce qui nous rend ces solutions périodiques si précieuses, c’est qu’elles sont, pour ainsi dire, la seule brèche par où nous puissions essayer de pénétrer dans une place jusqu’ici réputée inabordable.”

The main theme of these lectures are topological constructions that approach Reeb dynamics from the opposite direction, as it were. The aim is to build contact manifolds whose Reeb dynamics has certain desirable features, for instance, a given number of periodic Reeb orbits, or a global surface of section with a prescribed Poincaré return map. This is what I mean by controlled Reeb dynamics.

In these lectures I survey some results from joint papers with Peter Albers, Nena Röttgen, and Kai Zehmisch. I have tried to put the emphasis less on specific results, but rather on advertising the methods used to attain them. I believe that some of the contact topological constructions I present may turn out to be useful in other settings.

I am mostly concerned with contact topology in higher dimensions, meaning at least five. An example of controlled Reeb dynamics in dimension three is a paper by Colin and Honda [5], where the authors construct contact forms without any contractible periodic Reeb orbits, so-called hypertight contact forms, on closed, orientable, irreducible, toroidal 3-manifolds.

These notes are based essentially on three of my five lectures in Cagliari. The other two lectures were concerned more directly with topological aspects (surgery, cobordisms,...) of the Weinstein conjecture. However, I felt that the material on contact surgery is amply covered in [15], and the topics I discussed in my final lecture are well served by [20].

2010 Mathematics Subject Classification. 37J05; 37C27, 37J45, 53D35, 53D20.
2. TRAPS AND PLUGS IN SYMPLECTIC DYNAMICS

Starting from some simple and explicit examples of flows on the 3-sphere $S^3$, I discuss the Seifert conjecture about the existence of periodic orbits in any dynamical system on $S^3$. The attempts to disprove the conjecture have led to the construction of plugs: local models of aperiodic flows that allow one to break isolated periodic orbits in a given system.

For Reeb flows, such plugs cannot exist, but something slightly weaker, what we call traps, does. I then cast my net a little wider and include Hamiltonian flows, for which plugs can in fact be constructed. I explain one such plug that is built from a Reeb trap.

2.1. Flows on the 3-sphere. Think of the 3-sphere as the union of $\mathbb{R}^3$ with a point at infinity, $S^3 = \mathbb{R}^3 \cup \{\infty\}$. In turn, we visualise $\mathbb{R}^3$ as being obtained by rotating the drawing plane about a vertical axis. Thus, the two points shown in Figure 1, symmetric with respect to the axis of rotation, actually represent a circle. The axis of rotation, as it passes through the point at infinity, likewise constitutes a circle in $S^3$. Each pair of circles symmetric with respect to the axis represents a 2-torus. Figure 1 therefore illustrates how to decompose $S^3$ into two circles and an infinite family of 2-tori. If we regard $S^3$ as the unit sphere in $\mathbb{C}^2$,

$$S^3 = \{(z_1, z_2) \in \mathbb{C}^2: |z_1|^2 + |z_2|^2 = 1\},$$

these so-called Hopf tori are defined by

$$T^2_r = \{(z_1, z_2) \in S^3: |z_1| = r\}, \quad r \in ]0, 1[;$$

the two circles $C_1, C_2$ are defined by $C_j = S^3 \cap \{z_j = 0\}$.

![Figure 1](image_url)  
**Figure 1.** Decomposition of $S^3$ into Hopf tori and two circles.

Figure 2 illustrates how to foliate each Hopf torus by circles going once around each $S^1$-factor in $T^2 = S^1 \times S^1$. Notice that as $r \to 0$ or $r \to 1$, these $(1, 1)$-circles approach the two circles $C_1, C_2$. This defines a foliation of $S^3$ by circles where any two circles form a Hopf link, see Figure 3. Observe that each of these circles, with
the exception of \( C_2 \), intersects the open half-plane on the right of Figure 1 in a single point. This half-plane, together with \( C_2 \), constitutes a closed 2-disc. Thus, the leaf space of this foliation (or the orbit space, if we think of the circles as flow lines) is a 2-disc with its boundary collapsed to a point, in other words: a 2-sphere.

Figure 2. Foliation of \( T^2 \) by \((1, 1)\)-circles.

Figure 3. A Hopf link in \( S^3 \).

What we have described here is the topological visualisation of the foliation given by the fibres of the Hopf fibration

\[
\mathbb{C}^2 \supset S^3 \rightarrow \mathbb{CP}^1 = S^2 \\
(z_1, z_2) \mapsto [z_1 : z_2].
\]

More simply (and dynamically), we can think of the circles in this foliation as the orbits of the vector field \( \partial \varphi_1 + \partial \varphi_2 \), where \( \varphi_j \) denotes the angular coordinate in the \( z_j \)-plane.

When we perturb the \((1, 1)\)-foliation on each Hopf torus into a foliation by lines of irrational slope (close to 1), all leaves of the foliation but the two Hopf circles \( C_1, C_2 \) open up. Analytically, this corresponds to passing to the vector field \( \partial \varphi_1 + (1+\varepsilon)\partial \varphi_2 \) with \( \varepsilon \in \mathbb{R} \setminus \mathbb{Q} \) close to 0. The only orbits of this vector field that close up are the \( C_j = S^3 \cap \{ z_j = 0 \}, j = 1, 2 \).

Can we find a flow on \( S^3 \) with only a single periodic orbit? Figure 4 shows a flow on the 2-sphere with a single fixed point of index 2. Using the Hopf fibration, we can lift the vector field defining this flow to a vector field on \( S^3 \) orthogonal to the Hopf vector field \( \partial \varphi_1 + \partial \varphi_2 \). The sum of these two vector fields then defines a flow with only one periodic orbit: the Hopf fibre over the singularity on \( S^2 \).

Does every non-singular flow on \( S^3 \) have a periodic orbit? This question was posed by Seifert [46] in 1950, and the positive answer to the question became known as the Seifert conjecture, even though Seifert did not commit himself either way. For vector fields of class \( C^1 \), the Seifert conjecture was disproved by Schweitzer [45].
in 1974. In 1994, K. Kuperberg [33] constructed a non-singular vector field of class $C^\infty$ on $S^3$ without any periodic orbits. Her construction used a modification of what is known as Wilson’s plug [49], a concept I am going to describe next.

2.2. Traps and plugs. By the flow box theorem (or tubular flow theorem), see [41, Theorem 2.1.1], the flow of any non-singular vector field on an $m$-dimensional manifold locally looks like $(x, s) \mapsto (x, s + t)$ on $D^{m-1} \times [0, 1]$, i.e. the flow lines are $\{x\} \times [0, 1]$. Traps and plugs are local models of flows that can be inserted in place of such a flow box.

**Definition.** A *trap* (Figure 5) is a non-singular flow on $D^{m-1} \times [0, 1]$ with the following properties:

(i) the flow is aperiodic, i.e. there are no periodic orbits;
(ii) there is an orbit entering at $D^{m-1} \times \{0\}$ that does not leave;
(iii) the flow is parallel to $[0, 1]$ along the boundary of $D^{m-1} \times [0, 1]$.

The trap contains an aperiodic invariant set; an orbit asymptotic in forward time to this invariant set is trapped.

Inserting a trap in place of the original flow box allows one to open up an isolated periodic orbit in the original flow. However, an orbit entering at $(x, 0)$ and passing through the trap will in general exit at some point $(x', 1)$ with $x' \neq x$. This means that we lose control over the global dynamics.

**Definition.** A *plug* is a trap with matching condition: for any $x \in D^{m-1}$, the orbit entering at $(x, 0)$ is either trapped or exits at $(x, 1)$.

By putting two traps in sequence, one being the mirror image of the other with reversed flow direction, one can create a plug, see Figure 6. Of course, there may well be plugs that do not come from doubling a trap.

Inserting a plug in place of a flow box will not affect the behaviour of the orbits traversing the plug. Thus, with the help of a plug, any dynamical system with isolated periodic orbits can be turned into a flow without any periodic orbits.

Finding a trap or a plug should become easier with increasing dimension. For instance, one could imagine taking a 2-torus with an irrational flow as aperiodic invariant set inside the trap. In three dimensions, however, this would force the existence of a periodic orbit in the interior of the 2-torus.

Also, if one is interested in flows preserving some geometric structure, it may well be possible to find a trap, but doubling it may be impossible since this involves reversing the flow direction, which the geometric structure might obstruct.
Here is a list of plugs for certain geometric flows. The notion of Hamiltonian and Reeb flows will be introduced presently. The smoothness class refers to that of the vector field defining the flow. See also the survey [34] and the introduction to [19].

- Wilson [49]: volume-preserving, $C^\infty$, dim $\geq 4$
- G. Kuperberg [32]: volume-preserving, $C^1$, dim = 3.
• Ginzburg [22], Herman [25], Kerman [31], Geiges–Röttgen–Zehmisch [19]: Hamiltonian, $C^\infty$, dim $\geq 5$ (here the dimension refers to that of the energy hypersurface).
• Ginzburg–Gürel [23]: Hamiltonian, $C^1$, dim = 3.

Wilson’s plug and the Hamiltonian plug constructed in [19] involve a doubling construction. I shall present a few more details of the latter in Section 2.6.

2.3. Hamiltonian and Reeb flows. We have the following hierarchy of geometric flows:

- volume-preserving $\supset$ symplectic $\supset$ Hamiltonian $\supset$ Reeb $\supset$
- $\supset$ Finsler geodesic $\supset$ Riemannian geodesic.

The first three inclusions I shall explain presently, for the last two see [8] and [15, Section 1.5]. This is one potential motivation for the study of Hamiltonian and Reeb flows. For instance, a statement about the existence of periodic orbits for Reeb flows on unit cotangent bundles [27] is, a fortiori, a statement about closed geodesics in Finsler or Riemannian geometry [37]. Another example in this vein is [14].

2.3.1. Hamiltonian flows. Let $(W, \omega)$ be a $2n$-dimensional symplectic manifold, that is, $\omega$ is a closed, non-degenerate 2-form on $W$. Given a smooth function $H: W \to \mathbb{R}$, the Hamiltonian vector field $X_H$ is defined by

$$\omega(X_H, .) = dH.$$ 

Remark 2.1. The letter $H$ actually stands for ‘Huygens’, see [16, p. 187].

Observe that $dH(X_H) = 0$, so the flow of $X_H$ is tangent to the level sets of $H$. In classical mechanics, $H$ is typically the total energy, and the Hamiltonian flow describes the dynamics of the system. Then the statement $dH(X_H) = 0$ means conservation of energy.

Moreover, the Lie derivative of $\omega$ in the direction of $X_H$ is, by Cartan’s formula,

$$L_{X_H} \omega = d(i_{X_H} \omega) + i_{X_H} d\omega = d^2H = 0.$$ 

In particular, the Hamiltonian flow preserves the volume form $\omega^n$ on what, in classical mechanics, is the phase space of the system: this is known as Liouville’s theorem. This explains the first two inclusions above.

Example 2.2. Not every symplectic flow is Hamiltonian. For instance, on a closed manifold the Hamiltonian vector field necessarily has zeros. So the flow of $\partial_{\varphi_1}$ on $(T^2 = S^1 \times S^1, d\varphi_1 \wedge d\varphi_2)$, which preserves the symplectic form, cannot be Hamiltonian.

2.3.2. Reeb flows. Let $(M, \xi = \ker \alpha)$ be a $(2n - 1)$-dimensional contact manifold, that is, $\xi$ is a hyperplane field (which I always assume to be coorientable), whose defining 1-form $\alpha$ satisfies the condition $\alpha \wedge (d\alpha)^{n-1} \neq 0$. For a given $\xi$, this condition is independent of the choice of $\alpha$. The hyperplane field $\xi$ is called a contact structure; $\alpha$ is called a contact form for $\xi$.

The Reeb vector field $R = R_\alpha$ of $\alpha$ is defined uniquely by the conditions

$$i_R d\alpha = 0 \quad \text{and} \quad \alpha(R) = 1.$$
Example 2.3. The 1-form
\[ \alpha_\varepsilon = x_1 \, dy_1 - y_1 \, dx_1 + \frac{1}{1 + \varepsilon} \left( x_2 \, dy_2 - y_2 \, dx_2 \right) \]
is a contact form on \( S^3 \subset \mathbb{R}^4 \) for \( \varepsilon \neq -1 \). Its Reeb vector field is \( R = \partial_{\varphi_1} + (1 + \varepsilon) \partial_{\varphi_2} \) — the vector field we encountered in Section 2.1.

The Reeb flow on \((M, \alpha)\) equals the Hamiltonian flow on \( H^{-1}(0) \) for \( W = \mathbb{R} \times M \), \( \omega = d(e^t \alpha) \) and \( H(p, t) = e^t \). This proves the third inclusion above. The Reeb flow in the example may also be interpreted as the Hamiltonian flow on an ellipsoid in \( \mathbb{R}^4 \) with its standard symplectic form \( dx_1 \wedge dy_1 + dx_2 \wedge dy_2 \).

Remark 2.4. The Reeb flow on \((M^{2n-1}, \alpha)\) is volume-preserving for the volume form \( \alpha \wedge (d\alpha)^{n-1} \).

2.4. The Weinstein conjecture. The Weinstein conjecture \cite{48} asserts that any Reeb flow on a closed manifold has a periodic orbit. A combination of results of Rabinowitz \cite{42}, Eliashberg \cite{9, 10} and Hofer \cite{26} settles this conjecture for the 3-sphere. Eliashberg establishes a dichotomy between so-called tight and overtwisted contact structures on 3-manifolds. On the 3-sphere, there is a unique tight contact structure up to isotopy: the standard contact structure \( \xi_{st} = \ker \alpha_0 \) described in Example 2.3. Overtwisted contact structures are determined by the homotopy class of the underlying tangent 2-plane field; on \( S^3 \), there is an integer family of such structures, classified by the Hopf invariant.

Remark 2.5. Notice that a contact structure \( \xi = \ker \alpha \) on a 3-manifold determines an orientation: the sign of the volume form \( \alpha \wedge d\alpha \) does not depend on the choice of \( \alpha \) defining a given \( \xi \). All statements about classification of contact structures on \( S^3 \) here refer to positive contact structures, i.e. the ones inducing the standard orientation of \( S^3 \subset \mathbb{R}^4 \).

The contact forms on \( S^3 \) defining \( \xi_{st} \), with the same coorientation as the one given by \( \alpha_0 \), are the \( f \alpha_0 \) with \( f : S^3 \to \mathbb{R}^+ \). This is the same as restricting the 1-form \( \alpha_0 \) on \( \mathbb{R}^4 \) to the starshaped hypersurface \( fS^3 \), for which the Weinstein conjecture was established by Rabinowitz. For the overtwisted contact structures on \( S^3 \) (or any other closed, orientable 3-manifold), the conjecture follows from Hofer’s result.

In other words, the Seifert conjecture holds for Reeb vector fields.

For all closed, orientable 3-manifolds, the Weinstein conjecture was proved by Taubes \cite{47}. Cristofaro-Gardiner and Hutchings \cite{6} improved this by showing that every Reeb flow on a 3-manifold has at least two periodic orbits. Together with Pomerleano \cite{7} they showed that if the first Chern class of the contact structure is torsion, and the contact form is non-degenerate (meaning that the return map near the periodic Reeb orbits never has 1 as an eigenvalue), there are either two or infinitely many periodic Reeb orbits. The case of ‘two’ only arises on lens spaces. This dichotomy between two or infinitely many periodic Reeb orbits is illustrated by Example 2.3.

In particular, these results show that there can be no plugs for Reeb vector fields in dimension three. In higher dimensions, one can argue similarly — using various instances where the Weinstein conjecture holds — to prove the non-existence of Reeb plugs. However, as I want to show, there are Reeb traps in dimensions at least five. For this we need to introduce the concept of contact Hamiltonians.
Remark 2.6. At the Cagliari conference I learned from David Blair about earlier results in metric contact geometry concerning the minimal number of periodic Reeb orbits, see [4, Section 3.4]. Rukimbira [43] has shown that on a $(2n-1)$-dimensional closed $K$-contact manifold (a metric contact manifold whose Reeb vector field is Killing), there are at least $n$ periodic Reeb orbits. If the manifold is simply connected and there are precisely $n$ periodic orbits, the manifold is homeomorphic to a sphere [13].

The number $n$ of periodic Reeb orbits on a $(2n-1)$-dimensional contact manifold is realised on any irrational ellipsoid, generalising Example 2.3. See also the construction in Section 4. One may well conjecture this to be the minimal number of periodic Reeb orbits in general.

2.5. Contact Hamiltonians. A contact vector field $X$ on a contact manifold $(M, \xi)$ is a vector field whose flow preserves the contact structure $\xi$. When we choose a contact form $\alpha$ that defines $\xi = \ker \alpha$, the condition on $X$ becomes $L_X \alpha = \lambda \alpha$ for some function $\lambda: M \to \mathbb{R}$.

A choice of contact form $\alpha$ for $\xi$ sets up a one-to-one correspondence between contact vector fields $X$ and smooth functions on $H: M \to \mathbb{R}$ as follows. Given $X$, set $H_X := \alpha(X)$. Conversely, given $H$, define $X_H := HR + Y$, where $R$ is the Reeb vector field of $\alpha$, and the vector field $Y \in \xi$ is defined by $i_Y d\alpha = dH(R)\alpha - dH$.

Since $\alpha(X_H) = H$, we have $H_{X_H} = H$. I leave it to the reader to check that $X_{H_X} = X$, or see [15]. The computation

$$L_X H = dH(R)\alpha + i_Y d\alpha = dH + i_Y d\alpha = dH(R)\alpha$$

shows that $X_H$ is indeed a contact vector field.

Example 2.7. The Reeb vector field corresponds to the constant function $1$. It follows that if $H > 0$, then $X_H$ is the Reeb vector field of the contact form $\alpha/H$, since $X_H$ preserves $\ker(\alpha/H) = \ker \alpha$, and $(\alpha/H)(X_H) = 1$.

Remark 2.8. Observe that in contrast with the symplectic case, all contact vector fields are (contact) Hamiltonian.

2.6. Reeb traps and Hamiltonian plugs.

2.6.1. Non-existence of Reeb traps in dimension three. The non-existence of Reeb traps in dimension three is a consequence of the following global Darboux theorem due to Eliashberg and Hofer [11].

Theorem 2.9 (Eliashberg–Hofer). Let $\alpha$ be a contact form on $\mathbb{R}^3$ that coincides with the standard form $\alpha_{st} = dz + (x\,dy - y\,dx)/2$ outside a compact set. If the Reeb vector field $R_\alpha$ of $\alpha$ does not have any periodic orbit, then $(\mathbb{R}^3, \alpha)$ is diffeomorphic to $(\mathbb{R}^3, \alpha_{st})$.

Notice that a diffeomorphism that sends one contact form to the other (a so-called strict contactomorphism), also maps the Reeb vector field of one to the other. This follows immediately from the defining equations of the Reeb vector field.

Now, the Reeb vector field of $\alpha_{st}$ is $\partial_z$, which does not have any trapped Reeb orbits. Thus, if $R_\alpha$ has a trapped Reeb orbit, $(\mathbb{R}^3, \alpha)$ cannot be diffeomorphic to
Therefore, \( R_\alpha \) must then also have a periodic orbit, or else this would contradict the theorem. So a trap, which is required to be aperiodic, cannot exist.

The idea of the proof of Theorem 2.9 is roughly as follows. One studies the moduli space of holomorphic discs in the symplectisation \( (\mathbb{R} \times \mathbb{R}^3, d(e^t \alpha)) \), with boundary on a cylinder \( \{0\} \times Z \) containing the region in \( \{0\} \times \mathbb{R}^3 \) where \( \alpha \) differs from \( \alpha_{\text{st}} \). The almost complex structure on the symplectisation is one that preserves \( \ker \alpha \) and sends \( \partial_t \) to \( R_\alpha \). In particular, cylinders over periodic Reeb orbits are holomorphic curves.

If this moduli space is non-compact, this leads to the breaking of holomorphic curves along ends that become asymptotic to such cylinders, which necessitates the existence of periodic Reeb orbits.

If, on the other hand, this moduli space is compact, it leads to a filling of the cylinder \( \{0\} \times Z \subset \mathbb{R}^4 \) by holomorphic discs, which descends to a filling by discs of \( Z \subset \mathbb{R}^3 \) (this latter conclusion is not obvious). By construction, the Reeb vector field is transverse to these discs, which prevents the existence of a trapped orbit.

This proves the corollary about the non-existence of Reeb traps, and by using the Reeb flow to define a coordinate (in the second alternative), one obtains the theorem.

2.6.2. Existence of Reeb traps in higher dimensions. By contrast, we have the following result [18].

**Theorem 2.10** (Geiges–Röttgen–Zehmisch). There are Reeb traps in all odd dimensions \( \geq 5 \).

I describe the idea of the proof in dimension five; adapting this proof to the general case is just a matter of notation. We would like to construct a contact vector field \( X \) for the standard contact structure \( \ker \alpha_{\text{st}} \), where

\[
\alpha_{\text{st}} = dz + \frac{1}{2} \sum_{j=1}^{2} (x_j dy_j - y_j dx_j),
\]

such that

(i) \( X = \partial_{\varphi_1} + s \partial_{\varphi_2}, s \in [0,1] \setminus \mathbb{Q} \) on the Clifford torus \( \{r_1 = r_2 = 1, z = 0\} \);
(ii) \( T \times [-1,0] \) is mapped to itself under the positive \( X \)-flow;
(iii) \( X = \partial_z \) outside a compact set;
(iv) \( dz(X) > 0 \) on \( \mathbb{R}^5 \setminus T \).

These conditions translate into properties of the corresponding Hamiltonian function \( H \), and one can show that a function \( H > 0 \) with these properties exist. By Example 2.7, \( X \) is the Reeb vector field of the rescaled contact form \( \alpha_{\text{st}}/H \).

The Clifford torus with the irrational foliation serves as the aperiodic invariant set, which traps orbits by (ii). Condition (iii) guarantees that we only change the Reeb flow in a compact set. Condition (iv) ensures that the flow is aperiodic.

2.6.3. From a Reeb trap to a Hamiltonian plug. A Hamiltonian plug can be constructed by doubling this Reeb trap, see [19]. This simplifies earlier constructions of Hamiltonian plugs.

Place one Reeb trap in the half-space \( \{z < 0\} \), and put a mirror image of it in the half-space \( \{z > 0\} \) by pulling it back via \( \Phi: z \mapsto -z \). On this mirror image we need to work with the reversed Reeb flow, i.e. the flow of \(-\Phi^* R_\alpha \). Here the
attempt to build a Reeb plug breaks down, but as a Hamiltonian plug this works just fine.

The reason is essentially that the vector field $\partial_z$ is the Hamiltonian vector field both of $(\mathbb{R}^{2n+1}, d\alpha_{st})$ (in standard symplectic $\mathbb{R}^{2n+2}$) and for $(\mathbb{R}^{2n+1}, d\Phi^*\alpha_{st})$, for the same coorientation. Replacing $\alpha_{st}$ by $\alpha_{st}/H$, the contact form used as a trap, amounts to a (compactly supported) deformation of $\mathbb{R}^{2n+1}$ in $\mathbb{R}^{2n+2}$.

3. Cuts

Contact cuts are a topological method introduced by Lerman [36] for constructing contact manifolds. The method has topological and symplectic predecessors. The notion of ‘cut’ probably first arose as an alternative description of blow-up constructions, and this is the view I take here. The main advantage of this method over more flexible topological gluings, say, is that it allows one explicit control over the contact form, since no interpolation of differential forms over gluing regions is required. An application of contact cuts will be presented in Section 5.

3.1. Blowing up. (i) Let $V$ be a vector space over a field $K$. Its projectivisation $PV$ is the space of all one-dimensional subspaces $\ell \subset V$ or, equivalently, the quotient of $V \setminus \{0\}$ under the equivalence relation

$$x \sim y : \iff x = \lambda y \text{ for some } \lambda \in K.$$

In the real or complex case we may alternatively think of $PV$ as the quotient of the unit sphere in $V$ under the action of the elements $\lambda \in K$ of unit length, i.e. $\mathbb{Z}_2$ or $S^1$, respectively.

Over $PV$ there is a tautological line bundle $\eta(V)$: over the ‘point’ $\ell \in PV$ we have the line made up of all the points $x \in \ell \subset V$. An explicit realisation of $\eta(V)$ is given by

$$\eta(V) := \{ (\ell, x) \in PV \times V : x \in \ell \},$$

with bundle projection $(\ell, x) \mapsto \ell$. We identify $PV$ with the zero section of $\eta(V)$.

Observe that we have a canonical identification

$$\eta(V) \setminus PV \cong V \setminus \{0\} \text{ with bundle projection } (\ell, x) \mapsto x,$$

since any point $x \in V \setminus \{0\}$ determines a unique 1-dimensional subspace.

(ii) This construction easily generalises to vector bundles $E \rightarrow Q$. Such a bundle admits a projectivisation $PE \rightarrow Q$, and over this projectivised bundle we have a tautological line bundle $K \hookrightarrow \eta(E) \rightarrow PE$. As before, we can canonically identify $\eta(E) \setminus PE$ with $E \setminus Q$.

(iii) The blow-up of a differential (real or complex) manifold $M$ along a (real or complex) submanifold $Q$ is now defined as follows. Identify an open tubular neighbourhood of $Q$ in $M$ with the total space $\nu Q$ of the normal bundle of $Q$. Then form the quotient space

$$(M \setminus Q) \cup \eta(\nu Q) / \sim$$

under the identification

$$\nu Q \setminus Q \ni x \sim ([x], x) \in \eta(\nu Q) \setminus \mathbb{P}(\nu Q).$$

The resulting space is again a manifold. The effect of the construction is to replace the submanifold $Q$ by the projectivisation of its normal bundle.
Example 3.1. The tautological line bundle over $\mathbb{P}(\mathbb{R}^2) \cong S^1$ is a Möbius band (without its boundary points). Hence, blowing up a point $q$ in a real 2-dimensional manifold $M$ is the same as cutting out a disc around $q$ and gluing in a Möbius band under the identification

$$M \setminus \text{Int}(D^2) \cong S^1 \cong \partial(\text{Möbius band}).$$

The effect is to replace $q$ by the spine $S^1$ of the Möbius band. The Möbius band may be thought of as

$$\left\{ (t \cos \theta, t \sin \theta, [\theta]) \in \mathbb{R} \times \mathbb{R} \times \mathbb{R}/\pi \mathbb{Z} : |t| \leq 1 \right\}.$$

The projection

$$(t \cos \theta, t \sin \theta, [\theta]) \mapsto (t \cos \theta, t \sin \theta)$$

sends the spine of the Möbius band to 0; the complement of the spine is mapped diffeomorphically onto $D^2 \setminus \{0\}$, see Figure 7. Beware that $t$ is not a global coordinate on the Möbius band, which amounts to saying that the line bundle $\eta(\mathbb{R}^2) \to S^1$ is non-trivial.

![Figure 7. Blowing up a point in $\mathbb{R}^2$.](image)

Remark 3.2. For the complex blow-up, the essential ingredient is a complex bundle structure on the normal bundle $\nu Q$. This is the key to generalising the blow-up construction to symplectic submanifolds of a symplectic manifold, see [38]. There are a number of subtleties here, such as the question of uniqueness of the symplectic form on the blow-up, see [39, Section 7.1]. Nonetheless, one can make sense of the Chern classes of the blown-up symplectic manifold, see [17].

Remark 3.3. A Möbius band is the same as the complement of an open disc in the real projective plane $\mathbb{RP}^2$. Thus, blowing up a point in a surface is the same as forming the connected sum with a copy of $\mathbb{RP}^2$. In dimension $n$, analogously, blowing up a point is topologically the same as a connected sum with $\mathbb{RP}^n$. In the complex case, it amounts to a connected sum with a copy of $\mathbb{CP}^n$, a complex projective space with the opposite of its natural orientation. We shall make use of this kind of blow-up in Section 4.3.
(iv) An alternative view of the blow-up construction is the following. Remove the tubular neighbourhood $\nu Q \subset M$, and identify points on the boundary sphere $\partial(M \setminus \nu Q)$ under the $\mathbb{Z}_2$-action or the $S^1$-action that defines the projectivisation in the real or complex case, respectively. The boundary, under this quotient, becomes $\mathbb{P}(\nu Q)$.

Though it may not be immediately apparent that this construction even produces a smooth manifold, it is not difficult to see that it is indeed equivalent to the previous description of a blow-up.

Often, this alternative viewpoint is the more appropriate one. A good example are blow-ups of symplectic manifolds. When one removes an open ball in a Darboux chart, the symplectic form on the boundary sphere degenerates along the Hopf fibration. Collapsing the $S^1$-fibres of the Hopf fibration produces a symplectic quotient manifold [39, Section 7.1], the symplectic blow-up of a point. From this point of view, for instance, it is obvious why blowing up decreases the symplectic volume.

The notion of ‘cuts’ [35, 36] provides the language to make these statements precise.

3.2. Topological cuts. Suppose we are given a smooth $S^1$-action on a manifold $M$, which we write as $p \mapsto e^{i\varphi}p$ for $p \in M$ and $e^{i\varphi} \in S^1$. Assume further that we have an $S^1$-invariant function $f : M \to \mathbb{R}$ with a regular level set $f^{-1}(0)$ on which $S^1$ acts freely. The smooth manifold $M'$ constructed in the next proposition is called the cut with respect to the given data.

**Proposition 3.4.** The quotient space

$$M' := \{p \in M : f(p) \geq 0\}/p \sim e^{i\varphi}p \text{ for } f(p) = 0 \text{ and } e^{i\varphi} \in S^1$$

is a smooth manifold.

**Proof.** The idea of the proof is to identify $M'$ with the quotient of a larger manifold under a free $S^1$-action. Indeed, $S^1$ acts on the product manifold $M \times \mathbb{C}$ by the anti-diagonal action

$$(p, z) \mapsto (e^{i\varphi}p, e^{-i\varphi}z).$$

The function

$$F(p, z) := f(p) - |z|^2$$

is $S^1$-invariant, and from

$$dF = df - 2r dr$$

we see that $F^{-1}(0)$ is a regular level set. The $S^1$-action on this level set is free: for $f(p) = 0$, the action is free on the $M$-factor; for $f(p) > 0$, on the $\mathbb{C}$-factor. It follows that the quotient $F^{-1}(0)/S^1$, which coincides with $M'$, is a smooth manifold. □

**Remark 3.5.** To see the identification of the two quotients $M'$ and $F^{-1}(0)/S^1$ geometrically, observe the following. When we restrict attention to a collar neighbourhood $[0, \varepsilon) \times f^{-1}(0)$ of $f^{-1}(0)$ in $\{f \geq 0\}$, the level set $F^{-1}(0)$ looks like the product of $f^{-1}(0)$ with a standard paraboloid in $\mathbb{C} \times \mathbb{R}$, on which $S^1$ acts by rotation.

In order to define the quotient space $M'$ in Proposition 3.4 it would be sufficient to have the $S^1$-action defined on $f^{-1}(0)$. However, in order to argue as in the proof and ensure that the quotient is smooth, one needs the $S^1$-action to be defined at least in a collar neighbourhood. This, of course, can always be done by
making the $S^1$-action independent of the collar parameter. In the presence of additional geometric structures, the existence of such an extension becomes an honest restriction.

**Example 3.6.** Consider a solid torus $V = S^1 \times D^2$ with $S^1$-action on the boundary $\partial V$ given by the flow of $\partial_s$, where $s$ denotes the $S^1$-coordinate. Then the quotient of $V$ with respect to this action on the boundary is the 3-sphere: the quotient map can be written explicitly as

$$\begin{align*}
S^1 \times D^2 &\to S^3 \subset \mathbb{C}^2 \\
(s; r, \theta) &\mapsto (\sqrt{1 - r^2} e^{i s}, r e^{i \theta}).
\end{align*}$$

Equivalently, this quotient may be regarded as the cut with respect to the extended $S^1$-action on a collar of $\partial V \subset V$.

### 3.3. Contact reduction.

Suppose $M$ is a manifold admitting a contact form $\alpha$ and a strict contact $S^1$-action, that is, an action preserving this contact form. If $X$ is the vector field that generates the $S^1$-action, this translates into $L_X \alpha = 0$. The momentum map of the action is defined by

$$\mu : M \to \mathbb{R}, \quad p \mapsto \alpha_p(X_p).$$

In other words, the momentum map of the $S^1$-action is simply the Hamiltonian function corresponding to the vector field generating the action.

We compute

$$d\mu = d(\alpha(X)) = L_X \alpha - i_X d\alpha = -i_X d\alpha.$$

This has the following consequences:

(i) $X$ is tangent to the levels of $\mu$;

(ii) 0 is a regular value of $\mu$ if and only if $X$ is non-singular on $\mu^{-1}(0)$.

For (ii), note that the level $\mu^{-1}(0)$ is precisely defined by the condition $\alpha(X) = 0$, and $d\alpha$ is a non-degenerate 2-form on $\ker \alpha$. So if $X$ is non-singular, we can find $X' \in \ker \alpha$ such that

$$d\mu(X') = -d\alpha(X, X') \neq 0.$$

In particular, we notice that if one of the equivalent conditions in (ii) holds, then the contact structure $\ker \alpha$ is transverse to $\ker (d\mu)$, i.e. to the level set $\mu^{-1}(0)$.

**Lemma 3.7.** If the $S^1$-action on $\mu^{-1}(0)$ is free, the 1-form $\alpha$ induces a contact form on the quotient manifold $\mu^{-1}(0)/S^1$.

**Proof.** The conditions $\alpha(X) = 0$ and $L_X \alpha = 0$ imply that $\alpha$ descends to a well-defined nowhere zero 1-form $\alpha$ on the quotient. Moreover, the kernel of $d\alpha_p$ on the transverse intersection

$$T_p(\mu^{-1}(0)) \cap \ker \alpha_p$$

is, by (1), spanned by $X$. Thus, on the quotient under the $S^1$-action, $d\alpha$ is non-degenerate on $\ker \alpha$. □

**Remark 3.8.** If one makes the weaker assumption that 0 is a regular level of $\mu$, the $S^1$-action on $\mu^{-1}(0)$ will only be semi-free, in general, and the quotient a contact orbifold.

An introduction to contact reduction in the case of actions by arbitrary compact Lie groups can be found in [15, Section 7.7].
3.4. **Contact cuts.** We can now combine the themes of the previous sections. For further details see [36] or [3]. Thus, let $M$ be a manifold carrying a contact form $\alpha$. Let $\mu_M$ be the momentum map of a strict contact $S^1$-action on $(M, \alpha)$ generated by the vector field $X$. As above, we assume that the $S^1$-action is free on $\mu^{-1}(0)$. We wish to perform the cut of $M$ at the 0-level of $\mu_M$, that is, we want to collapse the $S^1$-action on the boundary of $\{\mu_M \geq 0\}$, and find a contact form on this cut.

On the product manifold $M \times \mathbb{C}$ we have the contact form $\alpha + x \, dy - y \, dx$. The vector field $X - (x \partial_y - y \partial_x)$ generates a strict contact $S^1$-action with momentum map $\mu(p,z) = \mu_M(p) - |z|^2$. Then the cut is the reduced manifold $\mu^{-1}(0)/S^1$.

Write $\pi: \mu^{-1}(0) \to \mu^{-1}(0)/S^1$ for the quotient map. The contact form $\pi^* \alpha$ on this quotient is characterised by $\pi^* \alpha = (\alpha + x \, dy - y \, dx)|_{T(\mu^{-1}(0))}$. This entails the following.

(i) The composition

$$\begin{align*}
\{p \in M: \mu_M(p) > 0\} & \to \mu^{-1}(0) & \to \mu^{-1}(0)/S^1 \\
p & \mapsto (p, \sqrt{\mu_M(p)}) & \mapsto [(p, \sqrt{\mu_M(p)})]
\end{align*}$$

is an equidimensional strict contact embedding, i.e. it pulls back $\alpha$ to $\alpha$.

(ii) The inclusion

$$\begin{align*}
\mu^{-1}_M(0) & \to \mu^{-1}(0) \\
p & \mapsto (p,0)
\end{align*}$$

induces a codimension 2 strict contact embedding

$$\mu^{-1}_M(0)/S^1 \to \mu^{-1}(0)/S^1.$$

The fact that these are strict contact embeddings makes contact cuts particularly useful for controlling the Reeb dynamics, as we shall see below. For other applications, see [36].

4. Finite numbers of periodic orbits

My aim in this section is to outline a proof of the following result from [2], which contrasts with the result of Cristofaro-Gardiner, Hutchings and Pomerleano [7] mentioned in Section 2.4.

**Theorem 4.1** (Albers–Geiges–Zehmisch). In any odd dimension $\geq 5$ there are closed, connected contact manifolds with arbitrarily large finite numbers of periodic Reeb orbits. In dimension five, any number $\geq 3$ can be so realised.

The main tool will be the lifting of a Hamiltonian $S^1$-action on an integral symplectic manifold $(B, \omega)$ to a contact action on the Boothby–Wang $S^1$-bundle over $B$ with Euler class the integral cohomology class $-\lceil \omega/2\pi \rceil$.

4.1. **Lifting Hamiltonian vector fields in Boothby–Wang bundles.** Suppose $(B, \omega)$ is a closed symplectic manifold such that the de Rham cohomology class $e := -\lceil \omega/2\pi \rceil$ is integral. Then one can find a connection 1-form $\alpha$ on the $S^1$-bundle $M$ over $B$ with Euler class $e$ such that $\omega$ is the connection form of $\alpha$. This means that, writing $\pi: M \to B$ for the bundle projection, we have $\pi^* \omega = d\alpha$. The 2-form $\omega$ being symplectic then translates into $\alpha$ being a contact form. Contact
manifolds of this type are known as Boothby–Wang bundles or prequantisation bundles, see [15, Section 7.2] for further details.

Now consider a Hamiltonian function $H: B \to \mathbb{R}$ and let $X = X_H$ be the corresponding Hamiltonian vector field. Write $X_h$ for the horizontal lift of $X$ to $M$, i.e. the vector field on $M$ satisfying

$$\alpha(X_h) = 0, \quad T\pi(X_h) = X.$$ 

Also, write $\tilde{H} := H \circ \pi$ for the lift of $H$, and $R$ for the Reeb vector field of $\alpha$.

**Lemma 4.2.** The vector field $\tilde{X} := \tilde{H}R + X_h$ is a strict contact vector field for the contact form $\alpha$.

**Proof.** We compute

$$L_{\tilde{X}}\alpha = d(\alpha(\tilde{X})) + i_{\tilde{X}}d\alpha = d\tilde{H} + i_{X_h}d\alpha = d\tilde{H} + \pi^*(i_X\omega) = 0. \qed$$

### 4.2. Lifting Hamiltonian $S^1$-actions

Now suppose the Hamiltonian vector field $X$ induces an action on $B$ by the circle $S^1 = \mathbb{R}/2\pi\mathbb{Z}$. By adding a suitable constant to the Hamiltonian function $H$, we may assume that $H > 0$ and $H(p_0) \in \mathbb{N}$ at some chosen singularity $p_0 \in B$ of $X$.

**Proposition 4.3.** The lifted vector field $\tilde{X}$ then defines an $S^1$-action on $M$.

**Proof.** Given a point $p \in B$, let $\gamma$ be a simple path from $p_0$ to $p$. Under the $S^1$-action, this path sweeps out a disc $\Delta$ (in the sense of smooth singular homology theory), bounded by the $S^1$-orbit $\beta$ through $p$, see Figure 8. This orbit may well be multiply covered or a fixed point, in which case we have a smooth singular 2-sphere.

The horizontal lift $\beta_h$ to $M$ of $\beta$, starting at some lift $\tilde{p}$ of $p$, ends along the $S^1$-fibre through $\tilde{p}$, with some holonomy shift $h \mod 2\pi$. There is a lifted disc $\tilde{\Delta}$ bounded by $\beta_h$ and a segment of length $-h$ along the $S^1$-fibre, see Figure 9.

We then compute

$$-h = \int_{\beta_h} \alpha = \int_{\tilde{\Delta}} d\alpha = \int_{\tilde{\Delta}} \omega = -2\pi \int_{\gamma} i_X\omega = 2\pi \int_{\gamma} dH = 2\pi(H(p) - H(p_0)) = 2\pi H(p) \mod 2\pi.$$ 

Along the $S^1$-orbit $\beta$ of the Hamiltonian flow, the value of $H$ is constant equal to $H(p)$. It follows that the $\tilde{X}$-orbit through $\tilde{p}$ closes up after time $2\pi$. \qed
Of course the $S^1$-orbit of $\tilde{X}$ through $\tilde{p}$ may be multiply covered. Observe that if $p$ is a fixed point of the Hamiltonian $S^1$-action on $B$, then $h = 0$, so our calculation shows that $H(p)$ is also a natural number. So the $\tilde{X}$-orbit starting at a lift of such a fixed point is simply a fibre of the Boothby–Wang bundle, usually multiply covered.

**Remark 4.4.** This proposition is only the most simple case of much more general results about the lifting of group actions to Boothby–Wang bundles or prequantisation line bundles; see [40], for instance.

**Lemma 4.5.** The flows of $\tilde{X}$ and $R$ commute and hence define a strict contact $T^2$-action.

**Proof.** The function $\tilde{H}$ on $M$ is $R$-invariant by construction. The defining equations for $X_h$ can be written as $\alpha(X_h) = 0$ and $i_{X_h} \omega = -d\tilde{H}$. Since the forms $\alpha$ and $d\alpha$ are $R$-invariant, so are these defining equations. It follows that $\tilde{X}$ is $R$-invariant, i.e. $[R, X_h] = L_R X_h = 0$. □

It follows that if the $S^1$-action on $B$ has finitely many fixed points, the flow of $\tilde{X} + \varepsilon R$, for $\varepsilon \in \mathbb{R}^+ \setminus \mathbb{Q}$, has finitely many periodic orbits, viz., the fibres of $M \to B$ over the fixed points. By Example 2.7 this vector field $\tilde{X} + \varepsilon R$ is the Reeb vector field of a suitably rescaled $\alpha$.

### 4.3. Hamiltonian $S^1$-actions with finitely many fixed points

In order to prove Theorem 4.1 all that remains to be done is exhibit Hamiltonian $S^1$-actions with large finite numbers of fixed points (and any number $\geq 3$ in dimension four). On $\mathbb{C}P^n$ with its standard Fubini–Study symplectic form, we consider the Hamiltonian function

$$H: [z_0 : \ldots : z_n] \mapsto \frac{1}{2} \frac{w_1 |z_1|^2 + \cdots + w_n |z_n|^2}{|z_0|^2 + \cdots + |z_n|^2},$$
where $w_1, \ldots, w_n$ are pairwise distinct integers of greatest common divisor 1. This generates the effective Hamiltonian $S^1$-action
\[ e^{i\varphi}[z_0 : \ldots : z_n] = [z_0 : e^{i w_1 \varphi} : \ldots : e^{i w_n \varphi}] \]
with precisely $n + 1$ fixed points
\[ [1 : 0 : \ldots : 0], \ldots, [0 : \ldots : 0 : 1]. \]

Remark 4.6. A Hamiltonian function defining a Hamiltonian $S^1$-action with isolated fixed points on some closed symplectic manifold $(W, \omega)$ is always a (perfect) Morse function [24, Section 32]. Since $[\omega^k]$ is a non-trivial generator of $H^{2k}(W)$ for $0 \leq k \leq n$, the minimal number of fixed points of a Hamiltonian $S^1$-action equals $n + 1$. Thus, the construction we described never yields less than $n + 1$ periodic Reeb orbits on a contact manifold of dimension $2n + 1$. This may or may not be regarded as evidence for the conjecture mentioned in Section 2.4.

The $S^1$-action we described extends to a Hamiltonian $S^1$-action on the $a$-fold symplectic blow-up $\mathbb{C}P^n \#_a \mathbb{C}P^n$ with $n + 1 + a(n - 1)$ fixed points.

5. Embedding surface diffeomorphisms into Reeb flows

In this section I describe how contact cuts can be used to construct Reeb flows with a global surface of section and a given area-preserving diffeomorphism of this surface as Poincaré return map.

5.1. Global surfaces of section. Let $X$ be a non-singular vector field on a 3-manifold $M$. A global surface of section for the flow of $X$, see Figure 10, is an embedded compact surface $\Sigma$ with boundary such that

(i) the boundary $\partial \Sigma$ is a union of orbits;
(ii) the interior $\text{Int}(\Sigma)$ is transverse to $X$;
(iii) the orbit of $X$ through any point in $M \setminus \partial \Sigma$ intersects $\text{Int}(\Sigma)$ in forward and backward time.

\begin{figure}[h]
\centering
\includegraphics[width=0.5\textwidth]{global_surface.png}
\caption{A disc-like global surface of section.}
\end{figure}

In general, such a surface of section does not exist. For instance, the aperiodic flow on $S^3$ constructed by Kuperberg does not admit a global surface of section:
this surface would have to be closed and orientable (thanks to the transverse vector field), but such a surface separates $S^3$ and cannot satisfy (iii).

Global surfaces of section were introduced by Poincaré in his study of the 3-body problem. Given a surface of section $\Sigma$, the dynamics of the system is reduced to studying the return map on the surface, i.e. the map $\psi$ that sends each point $p \in \Sigma$ to the first intersection point $\psi(p) \in \Sigma$ of the orbit through $p$ in forward time. For instance, closed orbits of the original system correspond to periodic points of the discrete dynamics on the surface.

Hofer, Wysocki and Zehnder [28, 29] developed holomorphic curves techniques for finding global surfaces of sections for Hamiltonian and Reeb flows. An application is a new proof of the existence of infinitely many closed geodesics for any Riemannian metric on $S^2$.

Even for Reeb flows, however, the existence of a surface of section is not guaranteed. Hryniewicz, Momin and Salomão [30] describe an example of a Reeb flow on $S^3$ with a Reeb Hopf link, neither component of which spans a global surface of section.

**Example 5.1.** The flow of the Reeb vector field $\partial_{\varphi_1} + (1 + \varepsilon)\partial_{\varphi_2}$ on $S^3$ described in Example 2.3 has a global surface of section: the disc made up of the closed right half-plane and the point at infinity.

If we think of $\varphi_1$ as the angle about the vertical axis, and of the $\varphi_2$-direction as the one along the axis or along the circles representing the Hopf tori, the return map on the disc becomes a rotation through an angle $2\pi\varepsilon$.

5.2. **Pseudorotations.**

**Definition.** An *irrational pseudorotation* is a diffeomorphism $\psi$ of $D^2$ such that

1. $\psi$ is area-preserving;
2. $\psi$ has $0 \in D^2$ as a fixed point and no other periodic point.

Fayad and Katok [12] constructed such pseudorotations as $C^\infty$-limits

$$\lim_{\nu \to \infty} \phi_\nu \circ R_{p_\nu/q_\nu} \circ \phi_\nu^{-1},$$

where the $R_{p_\nu/q_\nu}$ are $2\pi$-rational rotations of $D^2$ approximating an irrational rotation, and the conjugating maps $\phi_\nu$ are area-preserving diffeomorphisms of $D^2$, equal to the identity on a small and, for $\nu \to \infty$, shrinking neighbourhood of $\partial D^2$.

The complexity of these Fayad–Katok pseudorotations is expressed by the fact that they only admit three ergodic invariant measures: the Lebesgue measure on the disc or the boundary, and the $\delta$-measure at 0. Even so, there are many non-dense orbits, so these pseudorotations (and the Reeb flows we are going to construct with their help) are not minimal. On the issue of minimality of Hamiltonian and Reeb flows see [13] and [21].

5.3. **Embedding into Reeb flows.** The theorem I would like to advertise here is the following. In fact, the main result in [3] is quite a bit more general.

**Theorem 5.2** (Albers–Geiges–Zehmisch). There is a contact form on $S^3$, inducing the standard contact structure, whose Reeb flow has a disc-like surface of section on which the return map equals a given Fayad–Katok pseudorotation.

Given a diffeomorphism $\psi: D^2 \to D^2$, one can write it as the time-2$\pi$ map of a 2$\pi$-periodic Hamiltonian $H_s: D^2 \to \mathbb{R}$, $s \in \mathbb{R}/2\pi\mathbb{Z}$. In other words, $\psi$ is the
time-2π map of the flow of $X_s$, the time-dependent Hamiltonian vector field defined by $H_s$. As symplectic form on $D^2$ we take $\omega = 2r \, dr \wedge d\varphi$. Then

$$\alpha := H_s \, ds + r^2 \, d\varphi$$

is a contact form for $H_s$ sufficiently large. Since we can always add a constant to $H_s$ without changing $X_s$, this condition on $H_s$ is no restriction. The Reeb vector field of $\alpha$ is proportional to $\partial_s + X_s$. This means that we have found a contact form on $S^1 \times D^2$ whose Reeb flow is transverse to the $D^2$-factor, with return map the given $\psi$. This observation has been used previously in [1].

Theorem 5.2 now follows by realising $S^3$ as a suitable contact cut of $(S^1 \times D^2, \alpha)$, cf. Example 3.6. Observe that the $\phi_\nu \circ R_{\varphi_{\nu}/q_\nu} \circ \phi^{-1}_\nu$ are rigid rotations near the boundary of $D^2$, which implies that there is a strict contact $S^1$-action near the boundary $\partial(S^1 \times D^2)$, tangent to $\ker \alpha$. This allows one to perform a contact cut, and the observation about strict contact embeddings we made in Section 3.4 gives one control over the Reeb dynamics on $S^3$.

The crucial step, then, is to show that one may pass to the limit $\nu \to \infty$ in this construction or, more generally, to describe a boundary behaviour of $\psi$ that still allows one to perform a boundary quotient on $S^1 \times D^2$. This second approach allows one to extend the construction to surfaces other than $D^2$.

Acknowledgements. I would like to thank Gianluca Bande and Beniamino CapPELLetti-Montano for the invitation to present a mini-course of five lectures during the Cagliari conference. The perceptive comments of the audience have helped to improve the presentation in these lecture notes. I thank Sebastian Durst for comments on a draft version of these notes. The author is partially supported by the SFB/TRR 191 ‘Symplectic Structures in Geometry, Algebra and Dynamics’, funded by the Deutsche Forschungsgemeinschaft.

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