Decoding the ECD Spectra of Poly(phenylacetylene)s: Structural Significance

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Introduction

To develop novel functional materials based on helical polymers, it is necessary to identify the different parameters involved in polymer folding. Thus, the elucidation of the secondary structure in some helical polymers such as poly(phenylacetylene)s (PPAs) is a challenging task because of the difficulty in obtaining information about the different helical parameters, that is, helix pitch and helical sense.

During the last decade, great effort has been made combining information from different structural techniques to finally get a good approximation of the helical structure adopted by these polymers. However, this is a difficult task because of the intrinsic properties of the polymers, where the same fragment is repeated along the polymer chain (monomer repeating unit) (Figure 1a). Moreover, PPAs form two coaxial helices, one described by the polyene backbone (internal helix) and the other one described by the pendant groups (external helix), which can rotate in the same or opposite directions depending on the dihedral angle between conjugated double bonds (Figure 1b).

In PPAs, the internal helix is not stabilized by any supramolecular interaction within the polyene backbone. Thus, the adoption by the polymer of a certain helical structure responds to the conformational composition at the pendant groups and to the presence of either supramolecular or steric interactions among pendant groups.

Nevertheless, considering the flexibility of the polyene skeleton, these interactions may originate many different structures depending on the dihedral angle between conjugated double bonds (Figure 1a). Thus, on the one hand, if the dihedral angle is lower than 90°, the polymer adopts a cis–cisoidal backbone where the internal and external helices rotate in the same direction (Figure 1b,c). On the other hand, if the dihedral angle is higher than 90°, the polymer adopts a cis–transoidal backbone where the internal and external helices rotate in opposite directions (Figure 1b,c).

When this structural variability is combined with the complications arisen from the presence of the same repetition fragment—monomer repeating unit—along the polymer chain, a powerful structural technique such as nuclear magnetic resonance is almost useless.

Solid-state methods such as X-ray diffraction or atomic force microscopy (AFM) can be used to provide the structural information about the polyene backbone. Hence, for this scaffold, the + sign of the first Cotton effect reflects the P/M helical sense of the polyene, whereas the − sign reflects the M/P helical sense (Figure 1a). This is an open access article published under an ACS AuthorChoice License, which permits copying and redistribution of the article or any adaptations for non-commercial purposes.

Supporting Information

ABSTRACT: The role of the main dihedral angles in the electronic circular dichroism (ECD) spectra of poly(phenylacetylene)s (PPAs) was estimated by using time-dependent density functional theory (TD-DFT) for oligo- (phenylacetylene)s (n = 12). These studies reveal that in cis–transoidal arrangements, the first Cotton effect is dominated by excitations involving molecular orbitals (MOs) mainly related to the polyene backbone. Hence, for this scaffold, the + sign of the first Cotton effect reflects the P/M helical sense of the internal helix of the polymer. However, in cis–cisoidal arrangements, contribution of MOs in the polene and the aryl rings of the PPA backbone produce the first Cotton effect band. As a result, the first Cotton effect at 27 can be used to provide the information about the polyene backbone, where the sign of the first Cotton correlates with the P/M helical sense of the polyene. On the other hand, if the dihedral angle is lower than 90°, the polymer adopts a cis–cisoidal backbone where the internal and external helices rotate in the same direction, a CD signature with four alternating Cotton effects is observed, where the sign of the first Cotton correlates with the P/M helical sense of the polyene. On the other hand, if the dihedral angle is higher than 90°, the polymer adopts a cis–transoidal backbone where the internal and external helices rotate in opposite directions.
helical pitch, length, and helix width, although no information about the internal helical sense is obtained.

AFM can give details on the orientation of the external part of the helix (Figure 2), although high-resolution AFM images are required.20−27 These images are obtained from two-dimensional crystals or self-assembled monolayers, which cannot always be successfully prepared.27 Therefore, the application of this technique to the structural analysis of PPAs is limited.

For its part, differential scanning calorimetry (DSC) is known to provide information about the internal helix of the PPA. Thus, cis−cisoidal (c−c) and cis−transoidal (c−t) polyene backbones are associated with different and characteristic thermograms28 (Figure 2). Unfortunately, these studies are frequently limited by the poor definition of the thermograms or by the presence of peaks associated with conformational changes in the pendants, making the assignments unreliable.

Recently, we have shown that vibrational circular dichroism (VCD) can give important information about the orientation of the external part of the PPA helix.29 Thus, the solvent can interact with the pendant groups present at the periphery of the PPA forming a supramolecular helix with the same orientation than the external part of the PPA helix. In this way, the VCD sign due to the solvent bands reflects the orientation of the external part of the helix (Figure 2) and can be used to determine its sense.

The combination of experimental methods with theoretical calculations has also been used to elucidate the secondary structure of some helical polymers different from PPAs, for instance, through VCD,30−32 electronic circular dichroism (ECD) simulations, and MMFF9433 energy minimization.

ECD is one of the most important structural techniques in the study of PPAs. By looking at the ECD spectra of PPAs, it is possible to determine an excess of a single-handed helix into the polymer because of the presence of an ECD signature at the vinylic region (Figure 2). However, no helical parameters can be obtained from these experiments.1−5 Lately, we found that it is possible to determine the P/M internal helical sense of a PPA from the ECD spectra. This conclusion is based on the correlation observed between a series of PPAs with different and well-known helical scaffolds (Figure 2) and the theoretically calculated time-dependent density functional theory (TD-DFT) ECD spectra.34 Thus, a positive Cotton effect at the vinylic region corresponds to a P helical (internal) sense, whereas a negative Cotton effect indicates the presence of an M helix for the polyene skeleton. This correlation works fine in the different PPAs tested, whose secondary structure was obtained from a combination of different structural techniques.

In this paper, we evaluate the robustness of this ECD trace/internal helical sense relationship, analyzing how variations in the main dihedral angles $\omega_1, \omega_2, \omega_3, \omega_4$ of the PPAs can affect their ECD spectra, and therefore the reliability of the helical sense assignment (Figure 3).
Thus, on the one hand, if the theoretical studies.6,34 compressed cis monomers (Figure 4b), whereas for the cis

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on the PPA ECD spectra, we checked the minimum size of an oligo(phenylacetylene) [number of monomer repeating units (n)] required to reach convergence in the ECD shape and therefore for the computational study to reproduce the experimental ECD spectra.

From previous studies, we know that a PPA can adopt two main different scaffolds attending to the value adopted by \( \omega_1 \). Thus, on the other hand, if \( \omega_1 < 90^\circ \), the polynye adopts a compressed cis–cisoidal helical scaffold with three residues per helical turn (Figure 1c), and with the internal and the external helices rotating in the same direction. On the other hand, if \( \omega_1 > 90^\circ \), the polymer adopts a stretched helical structure, with two residues per turn and with the internal and external helices rotating in opposite directions (Figure 1c).

Considering the above, a series of oligomers was built by varying the number of monomer repeating units (n) in the two different polynye skeletons, that is, cis–cisoidal and cis–transoidal. The dihedral angles used to build the two different scaffolds were obtained from previous experimental and theoretical studies.5,34

In this way, the series of oligomers with cis–cisoidal \( n + 3 \) arrangements was built with \( \omega_1 = 60^\circ, \omega_3 = -36^\circ \), and \( n = 3, 6, 9, 12, \) and 15 monomers (Figure 4b), whereas for the cis–transoidal \( n + 2 \) oligomers, we selected \( \omega_1 = 165^\circ, \omega_3 = 80^\circ \), and \( n = 2, 4, 6, 8, 10, 12, 14, \) and 16 units (Figure 4c).

No substituents were introduced on the phenyl ring of the PPAs, and therefore, \( \omega_4 \) is irrelevant in these calculations.

TD-DFT CAM-B3LYP/6-31G* ECD calculations35–40 produce theoretical spectra in oligomers with \( n \leq 6 \) that do not follow the typical ECD signature of a helical structure with three alternating positive and negative Cotton effects. A good convergence between experimental and theoretically calculated ECD spectra requires the presence of at least nine residues in the oligomer chain (\( n = 9 \)) (Figure 4b,c). Thus, in the case of cis–cisoidal oligomers (Figure 4b), the calculated ECD spectrum with \( n = 6 \) does not fit the experimental ECD spectrum, but from \( n = 9 \) and above, the stationary points are in agreement in wavelength and in intensity with an experimental cis–cisoidal ECD spectrum. In PPAs with a cis–transoidal disposition (Figure 2a), convergence in the lambda region of 330 nm is reached already at \( n = 8 \), although for convergence of the full ECD spectrum, \( n \geq 10 \) is necessary (Figure 4c).

Taking these results into account, we selected for the theoretical ECD studies oligomers with \( n = 12 \), as good representatives of both \( n + 2 \) and the \( n + 3 \) scaffolds.

Next, we studied how variations in \( \omega_1 \) and \( \omega_3 \) (\( \omega_2 = 0^\circ \) in cis polymers) can affect the ECD signature of a PPA.

Thus, ECD spectra were evaluated for cis–cisoidal 12-mer oligophenylacetylenes with \( \omega_1 = 60^\circ, 70^\circ, \) and 80°. Similar calculations were also carried out onto 12-mer cis–transoidal oligo(phenylacetylene)s with \( \omega_1 = 155^\circ, 165^\circ, \) and 175°. All the studies were performed for positive values of \( \omega_3 \), which produced a P internal helix. \( \omega_3 \) was fixed in all cases at \( 0^\circ \), in accordance with the cis configuration of the double bond.

Variations on \( \omega_3 \) were introduced as negative values (from \( 0^\circ \) to \( -90^\circ \)) because most of the reported right-handed cis–cisoidal and cis–transoidal helices present a negative value for this dihedral angle. In addition, modeling showed us that negative \( \omega_3 \) angles give the best fits for the right-handed cis–cisoidal and cis–transoidal polynye skeletons.

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In some special cases, $\omega_3$ could be introduced with a positive value, being this feasible just when $\omega_3$ is close to 90° (i.e. $\omega_3 = 80^\circ$, Figure 5c), otherwise too unstable high-energy conformations result. In a similar manner, geometries with $\omega_3 = 30^\circ$ or larger were not considered because of their high energies.

In order to unambiguously determine which one of the dihedral angles, $\omega_1$ and/or $\omega_3$, is associated with the first positive Cotton effect, the molecular orbitals (MOs) obtained from the theoretical calculations were analyzed. Thus, we can determine which MOs contribute most to the excitations responsible of the first Cotton effect.

Interestingly, when $\omega_3$ is zero ($\omega_1 = 60^\circ$, $70^\circ$, and $80^\circ$), or when $\omega_1$ and $\omega_3$ have the same positive sign—$\omega_1 > 0^\circ$ and $\omega_3 < 0^\circ$—, an extra Cotton effect appears at higher wavelengths—around 330 nm for $\omega_1 = 60^\circ$ (Figure 5a), 260 nm for $\omega_1 = 70^\circ$ (Figure 5b), and 250–260 nm for $\omega_1 = 80^\circ$ (Figure 5c)—, whereas the other two Cotton effects remain either unaffected ($\omega_1 = 60^\circ$ and $70^\circ$, Figure 5a,b) or converge into a single positive Cotton effect at around 230 nm (Figure 5c).

Analysis of the MOs involved in these theoretical ECD spectra shows that when $\omega_1 > 0$ and $\omega_3 > 0$, the MOs on the phenyl ring and on the polyene backbone do not contribute to the first Cotton effect in the same manner as shown for cis–coidal PPAs with opposite signs for $\omega_1$ and $\omega_3$ (Figure 6 and Supporting Information).

Transitions among these MOs generate now two different Cotton effects with opposite signs, as the excitations involved in the first Cotton effect in the case of opposite $\omega_1$ and $\omega_3$ signs are now divided into two different Cotton effects (Figure 5). The second Cotton effect resonates at the same frequency than the first Cotton effect in polymers where $\omega_1$ and $\omega_3$ have opposite signs. This Cotton effect is positive in both cases and
seems to indicate a right-handed helix for the polyene backbone. The new first Cotton effect appears at higher wavelengths and has opposite sign.

Thus, from these studies, we found that in cis–cissiodal PPAs, the first Cotton effect seems to be directly associated with a right- or left-handed orientation of the polyene scaffold (ω₁). In those special cases where ω₁ and ω₂ have equal signs or ω₃ = 0°, an extra Cotton effect should appear, shifted toward larger wavelengths, and to determine the internal helical sense of the polymer, it is necessary to take into account the sign of the second Cotton effect.

Next, we carried out the same study on the cis–transoidal oligomer skeletons (ω₁ > 90°). In this backbone, ω₁ and ω₂ have to be introduced with opposite signs. Otherwise, very constrained helical structures are generated, which are not worthwhile considering.

Thus, ECD calculations on cis–transoidal n = 12 oligomers with ω₁ = 155°, 165°, and 175° and ω₂ varying from −30° to −90° showed in all cases a first positive Cotton effect, which resonates at different wavelengths because of the different conjugation of double bonds (Figure 7). During these studies, we found that although modulation of ω₁ produces some changes in the overall ECD spectra, the first Cotton effect remains positive in all cases (Figure 7).

This first Cotton effect is due to contributions from MOs on the polyene skeleton (ω₁) (Figure 7d), and therefore, in cis–transoidal PPAs, there is a correlation between the sign of the first Cotton effect and the helical sense of the internal helix—ECD-positive/P helix and ECD-negative/M helix.

Finally, in order to get a more complete vision on the structural factors influencing the ECD of a PPA, we decided to check what could be the contribution to the ECD trace of a substituent on the phenyl ring.

Many PPAs with amide function on the phenyl ring have been prepared and have their ECDs reported in the literature. Therefore, we decided to study the influence on the ECD of ω₁ rotations on para-formamido PPA oligomers (Figure 8a). More precisely, we built para-formamido oligo-(phenylacetylene)n = 12, with two different cis–cissiodal backbones—ω₁ = 60°, ω₂ = −60°, and ω₁ = 70°, ω₂ = −30°—and with one cis–transoidal—ω₁ = 165°, ω₂ = −75° (Figure 8a).

The ECD was calculated considering that the amide group can adopt different orientations depending on the ω₂ that was introduced in a range from 10° to 135°. As a result, we observed that changing ω₂ in both cis–cissiodal and cis–transoidal para-formamido oligophenylacetylenes, does not affect the sign of the first Cotton band that remains positive for all rotamers. Therefore, in amide-substituted PPAs, the correlation between the sign of the first Cotton band and the helical sense of the internal helix—positive first Cotton effect/P helix—conserves its predictive value. Only the bands at lower wavelengths of the ECD spectra are affected by this dihedral angle (ω₂).

Thus, from these studies, we can assert that ω₁ and ω₂ are the dihedral angles involved in the formation of the first Cotton effect of a PPA ECD trace. Thus, by looking at its sign—positive or negative—it is possible to determine the helical sense of the PPA, although first it is necessary to discriminate between those polymers that present an ECD trace with three or four alternating Cotton effects.

In the literature, we found that the most frequent situation is a PPA presenting an ECD trace with three alternating Cotton effects. In this case, correlation between the sign of the first Cotton band and the helical sense of the internal helix is direct—positive first Cotton effect/P helix or negative first Cotton effect/M helix. Sometimes, PPAs show four alternating Cotton effects in their ECD trace, which makes the helical sense assignment difficult. Herein, we could identify the position—first Cotton effect—and the dihedral angle responsible of this extra band—ω₂. Therefore, in this case, the first Cotton effect does not provide information about the internal helical sense of the polymer. In such cases, the second Cotton effect is the one related to ω₂ and to the internal helical sense of the PPA—positive second Cotton effect/P helix or negative second Cotton effect/M helix.

Additionally, in the literature, it is possible to find examples where a cis–cissiodal or cis–transoidal PPA can show an ECD with three or four alternating Cotton effects depending on the solvent used to dissolve the polymer. For instance, a PPA bearing as pendant the Mosher reagent linked as amide on the phenyl ring shows a typical ECD signature, with three alternating positive/negative/positive (or vice versa) Cotton effects when dissolved in chloroform, while an extra band at
higher wavelength is observed in tetrahydrofuran (THF) or dimethylformamide (for the ECD in THF see Figure 9a). In this case, the second Cotton effect at around 380 nm is the one that indicates the helical sense of the internal helix. A similar situation is found in PPAs bearing amino acid groups as pendants (Figure 9b).

Interestingly, we found another example that reports how changes in temperature rotate the $\omega_1$ angle producing an inversion of the extra band, while the rest of the ECD spectra remain unaltered (Figure 9c) ($\omega_0$, ECD (+) at 380 nm). In this case, the second Cotton effect is the one that contains the information of the internal helix.

$$\omega_1 = 60^\circ, \omega_2 = -60^\circ, \omega_3 = 30^\circ$$
$$\omega_1 = 70^\circ, \omega_2 = -30^\circ$$
$$\omega_1 = 165^\circ, \omega_2 = -75^\circ$$

Overall, this extra band serves to illustrate how the action of external stimuli (changes in polarity/donor ability of the solvent or in temperature) could affect the rotation involved in $\omega_0$ that is reflected in the ECD spectrum.

**CONCLUSIONS**

In conclusion, TD-DFT calculations for different cis–cisdial and cis–transoidal oligo(phenylacetylene)s allowed us to demonstrate how the main dihedral angles of a PPA contribute to the different Cotton effects of its ECD spectrum.

Thus, in cis–cisdial PPAs, the first Cotton effect is produced by excitations involving MOs located both at the polyene and at the phenyl rings. As a result, two different ECD spectra can be obtained:

1. A typical helical ECD pattern with three alternating Cotton effects—positive/negative/positive or vice versa. In this case, the first Cotton indicates the helical sense of the polyene—that is, ECD-positive/P helix; ECD-negative/M helix (Figure 9d).
2. An ECD signature with four alternating Cotton effects. In this case, the first and second Cotton effects are produced by transitions involving MOs on the polyene and on the phenyl rings, being possible to extract the helical sense of the internal helix by using the sign of the second Cotton effect—that is, ECD-positive/P helix; ECD-negative/M helix. The new first Cotton effect contains information about the $\omega_1/\omega_3$ relationship and has no value for helical sense determination (Figure 9e).

Regarding cis–transoidal PPAs, there is a helical ECD pattern with three alternating Cotton effects. The first Cotton effect of the ECD spectra is mainly generated by contributions of MOs located on the polyene backbone and can be positive or negative depending on the helical sense described by $\omega_1$. Therefore, there is a correlation between the sign of the first Cotton effect and the P/M helical sense described by the internal helix—that is, ECD-positive/P helix; ECD-negative/M helix. Experimentally, we found that, in some cis–transoidal PPAs, an uncommon ECD trace with four alternating Cotton effects is observed, and in that case—similarly to cis–cisdial PPAs—the second Cotton effect is the one that contains the information of the internal helix.

Our results were experimentally validated using PPA ECD spectra reported in the literature, where an extra Cotton effect can be observed depending on the solvent used to dissolve the polymer, or even where an inversion of this extra Cotton effect can be produced by temperature changes without affecting the helical sense of the PPA.
Thus, this is the first time that a PPA ECD signature is broken down, analyzing how the main dihedral angles contribute to the final ECD spectrum, and therefore making it possible to determine the polymer helical sense, in both cis–cisoidal and cis–transoidal arrangements, depending on the sign of the first or second Cotton effect in an ECD trace with three or four alternating Cotton effects, respectively.

■ COMPUTATIONAL DETAILS

To obtain the ECD spectra using computational chemistry, scalar rotational strengths have to be evaluated. For a transition from the ground state of the molecule (0) to an excited state (n), the scalar rotational strength can be calculated from the residue of the linear response function corresponding to the electric dipole–magnetic dipole polarizability tensor (\(R_{\text{LG}}^n\)). In the length-gauge (LG) formalism, the residue is given by eq 1:

\[
R_{\text{LG}}^n = \sum_{\alpha} \lim_{\omega \to \omega_0} (\omega - \omega_0) \mu_{\alpha}; \quad m_{\alpha
u}
\]

where \(\omega_0\) is the frequency of the transition between the 0 and the n state, \(\mu_{\alpha}\) is the \(\alpha\) component of the electric dipole moment, and \(m_{\alpha}\) is the \(\alpha\) component of the magnetic dipole moment of the molecule.

The theoretical ECD spectra are calculated using TD-DFT\(^{35,36}\) in combination with the CAM-B3LYP\(^{37,38}\) density functional and the 6-31G* basis set.\(^9\) The calculations were carried out with the ORCA program and 80 excitation energies were evaluated.\(^9\)

The TD-DFT CAM-B3LYP/6-31G* ECD plots are built from the calculated rotational strengths using a Gaussian band shape and FWHM corrected to reproduce the shape of the experimental PPA spectra.

■ ASSOCIATED CONTENT

2 Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acsomega.9b00122.

Computational details (PDF)

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Notes

The authors declare no competing financial interest.

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■ ABBREVIATIONS

PPA, poly(phenylacetylene); ECD, electronic circular dichroism; DFT, density functional theory; TD-DFT, time-dependent density functional theory; B3LYP, Becke three-parameter Lee–Yang–Parr; CAM-B3LYP, Coulomb-attenuated method B3LYP; MM, molecular mechanics; MMFF94, molecular mechanics Merck molecular force field; FWHM, full width at half-maximum; DSC, differential scanning calorimetry; AFM, atomic force microscopy; VCD, vibrational circular dichroism; XRD, X-ray diffraction; NMR, nuclear magnetic resonance; MO, molecular orbital

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