Atmospheric CO$_2$ estimates for the Miocene to Pleistocene based on foraminiferal $\delta^{11}$B at Ocean Drilling Program Sites 806 and 807 in the Western Equatorial Pacific

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ABSTRACT
Constraints on the evolution of atmospheric CO₂ levels throughout Earth’s history are foundational to our understanding of past variations in climate. Despite considerable effort, records vary in their temporal and spatial coverage and estimates of past CO₂ levels do not always converge, and therefore new records and proxies are valuable. Here we reconstruct atmospheric CO₂ values across major climate transitions over the past 16 million years using the boron isotopic composition (δ¹¹B) of planktic foraminifera from 89 samples obtained from two sites in the West Pacific Warm Pool, Ocean Drilling Program (ODP) Sites 806 and 807 measured using high-precision multi-collector inductively-coupled plasma mass spectrometry. We compare our results to published data from ODP Site 872, also in the Western Equatorial Pacific, that goes back to 22 million years ago. These sites are in a region that today is near equilibrium with the atmosphere and are thought to have been in equilibrium with the atmosphere for the interval studied. We show that δ¹¹B data from this region are consistent with other boron-based studies. The data show evidence for elevated pCO₂ during the Middle Miocene and Early to Middle Pliocene, and reductions in pCO₂ of ~200 ppm during the Middle Miocene Climate Transition, ~250 ppm during Pliocene Glacial Intensification, and ~50 ppm during the Mid-Pleistocene Climate Transition. During the Mid-Pleistocene Transition there is a minimum pCO₂ at MIS 30. Our results are consistent with a coupling between pCO₂, temperature and ice sheet expansion from the Miocene to the late Quaternary.

Highlights
In this study, we reconstruct atmospheric pCO₂ using δ¹¹B data from ODP Sites 806 and 807 and compare them with ice core data. We therefore apply the same framework to older samples from these sites to create a long-term pH and pCO₂ reconstruction for the past 16 million years, including recalculating pCO₂ for ODP Site 872 from 17 to 22 million years ago. We find major increases in surface water pH and decreases in atmospheric pCO₂ were associated with decreased temperature in the Western Equatorial Pacific, including associated with major episodes of ice sheet expansion in the high latitudes, providing more robust quantitative constraints on the past coupling between pCO₂, temperature, and cryosphere stability.

Keywords
Boron isotopes, CO₂, ODP Site 806, ODP Site 807, Miocene, climate
1. Introduction

Due to concerns about the long-term consequences of anthropogenic emissions and associated climate change (IPCC, 2014, 2018), efforts have been made to quantify past atmospheric CO$_2$ and examine past relationships between CO$_2$ and temperature. Such data are not only critical for constraining Earth-system sensitivity (Lea, 2004; Lunt et al., 2010; Pagani et al., 2010; Hansen et al., 2012, 2013, Foster and Rohling, 2013; Schmittner et al., 2011; Tierney et al., 2020), but are also of broad interest to contextualize the evolution of climate and geological systems through Earth’s history (Tripati et al., 2011; Foster et al., 2017; Tripati and Darby, 2018). However, discrepancies between proxy reconstructions still exist, including for major climate transitions of the Cenozoic. In particular, there remains a pressing need for robust and higher-resolution atmospheric CO$_2$ records.

High-resolution and direct determinations of atmospheric CO$_2$ are available for the last 800 kyr through analysis of air bubbles extracted from ice-cores, but these records are limited to the availability of cores (Petit et al., 1999; Siegenthaler et al., 2005; Lüthi et al., 2008; Bereiter et al., 2015). A window into older atmospheric CO$_2$ levels comes from 1 million-year-old blue ice (Higgins et al., 2015) and from a second snapshot from 1.5 Ma (Yan et al., 2019). Most reconstructions of CO$_2$ prior to 800 ka are based on indirect terrestrial and marine proxies. Stomata indices for fossil leaves (Van der Burgh, 1993; Royer, 2001), carbon isotope ratios ($\delta^{13}$C) of paleosols (Retallak et al., 2009), $\delta^{13}$C of alkenones (Pagani et al., 2005; Zhang et al., 2013), B/Ca ratios of surface-dwelling foraminifera (Yu and Hönsisch, 2007; Foster, 2008; Tripati et al., 2009, 2011), and boron isotope ratios ($\delta^{11}$B) of surface-dwelling foraminifera (e.g. Pearson and Palmer., 2000; Hönsisch and Hemming, 2009; Seki et al., 2010; Bartoli et al., 2011; Foster, 2008, 2012; Badger et al., 2013; Foster and Sexton, 2014; Greenop et al., 2014; Martinez-Boti et al., 2015a; Chalk et al., 2017; Sosdian et al., 2018; Dyez et al., 2018; deLaVega et al., 2020; Greenop et al., 2021; Rae et al., 2021; Raitzsch et al., 2021; Shuttleworth et al., 2021) have been used to estimate atmospheric CO$_2$.

Each of the above proxy methods has sources of systematic errors that we do not attempt to exhaustively document as they have been discussed in-depth elsewhere (e.g., Pagani et al., 2005; Tripati et al., 2011; Guillermic et al., 2020). However, we note that significant developments in the boron-based proxies include improvements to the accuracy and precision of measurements using multi-collector inductively coupled mass spectrometry (MC-ICP-MS) compared to early work with negative thermal ionization mass spectrometry (N-TIMS), where there were large instrumental mass fractionations and challenges with laboratory intercomparison (Foster et al., 2013; Farmer et al., 2016; Aggarwal and You, 2017). There was also the realization that temperature-dependent K$_D$ and B/Ca sensitivities reported from sediment trap, core-top, and downcore studies (Yu and Hönsisch, 2007; Foster et al., 2008; Tripati et al., 2009, 2011; Babila et al., 2010; Osborne et al., 2020) differ from inferences from foraminiferal culture experiments (Allen et al., 2011, 2012) and inorganic calcite (Mavromatis et al., 2015) which complicates the use of the B/Ca proxy, although this type of
discrepancy has also been observed with other elemental proxies (e.g., Mg/Ca). Such differences may be due to differences in growth rates (Sadekov et al., 2014), ontogenetic changes, a correlation in the field between temperature and other hydrographic variables that obscure robust statistical determination of parameter relationships, culture conditions resulting in organisms being stressed, and/or other factors.

The marine CO₂ proxy that appears to be subject to the fewest systematic uncertainties, based on our current understanding, is the boron isotopic composition (δ¹¹B) of planktic foraminifera as measured using MC-ICP-MS and N-TIMS (Hain et al., 2018). This proxy provides constraints on seawater pH, if temperature, salinity, seawater δ¹¹B, and the appropriate mono-specific calibration between δ¹¹Bcarbonate and δ¹¹Bborate are constrained (Pearson and Palmer, 2000; Foster et al., 2008; Sosdian et al., 2018; Raitzsch et al., 2018; Guillermic et al., 2020). Seawater pH can be used to calculate seawater pCO₂ if there are constraints on a second parameter of the carbonate system (e.g., alkalinity, DIC). Atmospheric pCO₂ can then be constrained if the site being examined is in air-sea CO₂ equilibrium or if the disequilibrium is known and stable through time.

However, there are relatively few studies generating high-precision boron-based records over major climate transitions in the Cenozoic using recent analytical methods and that incorporate our current understanding of the proxy (e.g., Greenop et al., 2014; Martinez-Boti et al., 2015b; Chalk et al., 2017; Dyez et al., 2018; Sosdian et al., 2018; de la Vega et al., 2020; Rae et al., 2021; Raitzsch et al., 2021). Furthermore, of the existing studies using boron-based proxies, an additional uncertainty frequently exists, namely the short time interval of study (e.g., emphasizing on a climate transition) (Martinez-Boti et al., 2015b; Chalk et al., 2017) and whether the study sites remain in air-sea CO₂ equilibrium with the atmosphere (Martinez et al., 2015a). Moreover, although estimation of atmospheric pCO₂ from seawater pH using this proxy is relatively straightforward, reconstructions are still impacted by uncertainties including the lack of robust constraints on a second parameter of the carbonate system, and our limited understanding of secular variations in the δ¹¹B of seawater (Tripati et al., 2011; Greenop et al., 2017; Sosdian et al., 2018; Rae et al., 2021).

Therefore, to provide additional constraints on the evolution of atmospheric pCO₂ from the Miocene through Pleistocene, we developed new records from the western tropical Pacific. We use foraminiferal δ¹¹B and trace elements in the planktic foraminiferal species Trilobus sacculifer and Globigerinoides ruber to reconstruct past seawater pH and atmospheric CO₂ at Ocean Drilling Program (ODP) Sites 806 and 807 in the Western Equatorial Pacific (WEP) over the last 16 million years (Myr). The sites are located on the western border of the tropical Pacific Ocean, the largest open ocean region on the globe, and the warmest open ocean region at present.

These two sites have been examined in other boron-based studies (Wara et al., 2003; Tripati et al., 2009, 2011; Shankle et al., 2020), as has the region more broadly (Pearson and Palmer, 2000; Sosdian et al., 2018), because it is understood to be in equilibrium with the atmosphere and have
relative stable hydrography. The region experiences equatorial divergence but is not strongly affected by upwelling and has a current estimated annual air-sea CO2 difference of +28 ppmv (Takahashi et al., 2014). The pre-industrial air-sea CO2 difference is calculated to be +16 ppm, (GLODAP database corrected from anthropogenic inputs), with a value of 298 ppm, compared to the ice core value of 282 ppm at 1.08 ka. This pCO2 difference is similar to our pCO2 uncertainty (an average of ~17 ppm (2 SD) for the youngest samples). If trade winds were much stronger, and equatorial divergence greater, than this could drive some disequilibrium in the past. However, a few lines of evidence suggest the region was in quasi-equilibrium in the past: 1) zonal temperatures are at a maximum in pre-industrial times and during the Pleistocene, and we are able to reconstruct atmospheric pCO2 values from the ice cores, 2) temperature proxies indicate the region is relatively stable with respect to temperature compared to other parts of the ocean, and also indicate a weak and stable zonal temperature gradient during the Miocene and Pliocene which would support air-sea stable conditions and air-sea (dis)equilibrium conditions (e.g., Nathan and Leckie, 2009; Zhang et al. 2014; Liu et al., 2019).

Thus, this study builds on prior low-resolution reconstructions for these sites (Wara et al., 2003; Tripati et al., 2009, 2011; Shankle et al., 2020), Site 872 in the tropical Pacific (Sosdian et al., 2018), and other published boron isotope work, to provide additional data to constrain past seawater pH and pCO2 for the WEP using MC-ICP-MS, thereby providing a new perspective on reconstructing past atmospheric CO2 via marine sediment archives. We explore various constraints on the second carbonate system parameter using a number of different scenarios, following on the systematic work done by Tripati et al. (2009) and (2011) for B/Ca. We interpret these data using recent constraints on seawater δ11B (Lemarchand et al., 2000; Raitzsch and Hönisch, 2013; Greenop et al., 2017). For temperature estimation, we utilize a multi-variable model for Mg/Ca correcting for salinity, pH and seawater Mg/Ca (Gray and Evans, 2019), that builds on prior work with clumped isotopes in planktic foraminifera for Site 806 and other WEP sites demonstrating that for the Last Glacial Maximum to recent times, salinity-corrected Mg/Ca values are needed to yield convergent estimates of mixed-layer temperatures (Tripati et al., 2014).

2. Materials and Methods

Below we describe site locations, analytical methods used, and principal figures. The supplemental methods section describes screening for potential contamination, equations used for calculations, and error propagation.

2.1 Site locations

Samples are from three ODP holes recovered during Leg 130 in the WEP (Fig. 1, Table 1): Hole 806A (0°19.140’N, 159°21.660’E, 2520.7 m water depth), Hole 806B (0°19.110’N, 159°21.660’E, 2519.9 m water depth), and Hole 807A (3°36.420’N, 156°37.500’E, 2803.8 m water
depth) (Berger et al., 1993). Sites 806 and 807 are not likely to have experienced major tectonic changes over the last 20 million years.

2.2 Preservation

Microfossils in sediments at these sites, as with any sedimentary sequences, have the potential to be influenced by diagenesis. Despite evidence of authigenic carbonate formation, recent modeling work concluded the influence of dissolution and reprecipitation at Sites 806 and 807 was relatively minor (Mitnik et al., 2018). Prior work has also found minimal impacts on the B/Ca ratio of Pliocene foraminifera from Site 806 (White and Ravelo, 2020), and on the Mg/Ca ratio of Miocene D. altispera shells at Site 806 (Sosdian et al., 2020). The weight/shell ratio is commonly used to monitor dissolution, and the only published record at Site 806 for the Pliocene does not show a trend consistent with dissolution of T. sacculifer (Wara et al., 2005). We do note that while the “coccolith size-free dissolution” index reported in Si and Rosenthal (2019) indicates higher dissolution rates in the Miocene, their records were thought to be biased from changes in foraminifera assemblages as discussed in White and Ravelo (2020).

To further assess the potential impact of dissolution in our geochemical data, the weight/shell ratio was examined in our samples. The weight/shell data used to monitor dissolution does not exhibit any trend within the interval studied consistent with dissolution. Absolute weights/shell are increasing in the Miocene, which is not consistent with dissolution influencing the record (Fig. 2E). Additionally, reconstructed pH and pCO₂ values also exhibit reasonable correspondence with the ice core data. Downcore δ¹¹B values from Sites 806 and 807 are similar, despite evidence for higher authigenic carbonate at Site 807 relative to Site 806 (Mitnik et al., 2018). Further, despite different sedimentation rates, our δ¹¹B and Mg/Ca results are consistent between Sites 806 and 807, and with data from Site 872 (Sosdian et al., 2018), which implies that diagenesis is not a primary driver of the reconstructed trends. A comparison of raw data, and derived parameters, is shown in Figs. 2 and 7.

2.3 Age models

The age model for Site 806 from 0-1.35 Ma is based on Medina-Elizalde and Lea (2005); calculated ages correspond well with ages from the Lisiecki and Raymo LR04 stack (Fig. 2A). The fourth polynomial regression-based biostratigraphy from Lear et al. (2015) was used for the rest of the record, following other work (Sosdian et al., 2020). Ages for Site 807 are based on published biostratigraphy (Berger et al., 1993) with additional constraints placed by Zhang et al. (2007) for the interval from 0-0.55 Ma. Benthic δ¹⁸O values from Sites 806 and 807 show good correspondence for the last 0.55 Myr, and the low-resolution benthic δ¹⁸O record for Site 806 (Lear et al., 2003; 2015) is consistent with the stack from Lisiecki and Raymo, (2005) for the period studied (Fig. 3).
2.4 Species and trace element cleaning

Samples were picked and cleaned to remove clays at UCLA (Los Angeles, CA) and the University of Western Brittany (Plouzané, France). 50-100 foraminifera shells were picked from the 300-400µm fraction size for *T. sacculifer* (w/o sacc) and from the 250-300 µm for *G. ruber* (white sensu stricto). Picked foraminifera were gently crushed, clays removed, and checked for coarse-grained silicates. Samples were then cleaned using a full reductive and oxidative cleaning protocol following Barker et al. (2003). A final leach step with 0.001N HCl was done prior dissolution in 1N HCl. Boron purification used a published microdistillation protocol (see Misra et al., 2014b, Guillermic et al., 2020 for more detailed methods).

2.5 Chemical purification and geochemical analysis

Chemical separation was performed in a boron-free clean lab at the University of Cambridge (Cambridge, UK). Calcium concentrations were measured on an ICP-AES ®Ultima 2 HORIBA at the Pôle Spectrométrie Océan (PSO), UMR6538 (Plouzané, France). Elemental ratios (e.g. X/Ca ratios) were analyzed on a Thermo Scientific ®Element XR HR-ICP-MS at the PSO, Ifremer (Plouzané, France). Boron isotopic measurements were carried out on a Thermo Scientific ®Neptune+ MC-ICP-MS equipped with 10¹³ Ohm resistor amplifiers (Lloyd et al., 2018) at the University of Cambridge (Cambridge, UK).

2.6 Standards

Variations in B isotope ratios are expressed in conventional delta (δ) notation with δ¹¹B values reported against the reference standard NIST SRM 951 (NIST, Gaithersburg, MD, USA):

\[
\delta^{11}\text{B} (\text{‰}) = 1000 \times \left( \frac{^{11}\text{B}_{\text{sample}}}{^{11}\text{B}_{\text{NIST SRM 951}}} - 1 \right)
\]

Multiple analyses of external standards were performed to ensure data quality. For boron isotopic measurements, JC_P.1 (Geological Survey of Japan, Tsukuba, Japan, Gutjahr et al., 2020) was used as a carbonate standard, and NEP, a *Porites* sp coral from University of Western Australia and Australian National University was also used (McCulloch et al., 2014). A boron isotope liquid standard, ERM© AE121 (certified δ¹¹B = 19.9 ± 0.6 ‰, SD), was used to monitor reproducibility and drift during each session (Vogl and Rosner, 2012; Foster et al., 2013; Misra et al., 2014b). For trace elements, external reproducibility was determined using the consistency standard Cam-Wuellerstorfi (University of Cambridge) (Misra et al., 2014b).
2.7 Figures of Merit

2.7.1 δ\textsuperscript{11}B analyses

Samples measured for boron isotopes typically ranged in concentration from 10 ppb B (~5ng B) to 20 ppb B samples (~10ng B). Sensitivity was 10mV/ppb B (eg. 100mV for 10ppb B) in wet plasma at 50µl/min sample aspiration rate. The intensity of \textsuperscript{11}B for a sample at 10 ppb B was typically 104 ± 15 mV (2 SD, typical session) and closely matched the 98 ± 6 mV (2 SD, typical session) of the standard. Procedural boron blanks ranged from 15 pg B to 65 pg B (contributed to less than 1 % of the sample signal). The acid blank during analyses was measured at ≤ 1mV on \textsuperscript{11}B (which also is < 1 % of the sample intensity), and no memory effect was seen within and across sessions.

External reproducibility was determined by analyzing the international standard JC\textsubscript{P.1} (Gutjahr et al., 2020) and a Porites sp. coral (NEP). The boron isotopic composition of JC\textsubscript{P.1} was measured at 24.06 ± 0.20 ‰ (2 SD, n=6) within error of published values of 24.37 ± 0.32 ‰, 24.11± 0.43 ‰ and 24.42 ± 0.28 ‰ from Holcomb et al. (2015), Farmer et al. (2016) and Sutton et al. (2018), respectively. Average values are δ\textsuperscript{11}B\textsubscript{NEP} = 25.72 ± 0.79 ‰ (2 SD, n=31) determined over 13 different analytical sessions, with each number representing a separately processed sample from this study. These results are within error of published values of 26.20 ± 0.88 ‰ (2 SD, n = 27) and 25.80 ± 0.89 ‰ (2 SD, n = 6), from Holcomb et al. (2015) and Sutton et al. (2018), respectively. Data are reported in Supplementary Table B.

2.7.2 X/Ca analyses

Trace element (TE) analyses were conducted at a Ca concentration of either 10 or 30 ppm. Typical blanks for a 30 ppm Ca session were: \textsuperscript{7}Li < 2 %, \textsuperscript{11}B < 7 %, \textsuperscript{25}Mg < 0.2 % and \textsuperscript{43}Ca < 0.02 %. Additionally, blanks for a 10 ppm Ca session were: \textsuperscript{7}Li < 2.5 %, \textsuperscript{11}B < 10 %, \textsuperscript{25}Mg < 0.4 % and \textsuperscript{43}Ca < 0.05 %. Analytical uncertainty of a single measurement was calculated from the reproducibility of the CamWuellestorfi standard: 0.6 µmol/mol for Li/Ca, 8 µmol/mol for B/Ca and 0.02 mmol/mol for Mg/Ca (2 SD, n=48). Data are reported in Supplementary Table B.

2.8 Calculations

Detailed calculations can be found in the supplemental materials. Briefly, Mg/Ca was used to reconstruct sea surface temperature (SST) using the framework from Gray and Evans. (2019) correcting for influences of pH, salinity, and secular variation in seawater Mg/Ca. δ\textsuperscript{11}B\textsubscript{carbonate} was corrected using an empirical δ\textsuperscript{11}B\textsubscript{carbonate}-weight/shell ratio relationship. δ\textsuperscript{11}B\textsubscript{borate} was determined using species dependent sensitivities of δ\textsuperscript{11}B\textsubscript{carbonate} to δ\textsuperscript{11}B\textsubscript{borate} (Guillermic et al., 2020). pH was calculated using δ\textsuperscript{11}B\textsubscript{borate} with different scenarios of secular seawater δ\textsuperscript{11}B changes (Lemarchand et al., 2002; Raitzsch and Hö尼斯ch, 2013; Greenop et al., 2017). pCO\textsubscript{2} was reconstructed using pH based δ\textsuperscript{11}B\textsubscript{carbonate} and different scenarios of alkalinity (Tyrell and Zeebe, 2004; Ridgwell and Zeebe, 2005;
Caves et al. 2016 and Rae et al. 2021). Further details including equations are provided in the Supplement.

3. Results and discussion

3.1 Geochemical results

Geochemical data used in this study are presented in Figure 2. Mg/Ca data (Fig. 2C) are consistent with previously published Mg/Ca values for Site 806 on *T. sacculifer* (Wara et al., 2005; Tripati et al., 2009; Nathan and Leckie, 2009). Although the record we generated does not overlap with Site 872, they are 1 Myr apart (15.7 and 16.7 Ma); there is a good correspondence between our Mg/Ca data and the published Mg/Ca record from *T. trilobus* at Site 872 (Sosdian et al., 2018). Mg/Ca from a different species, *D. altispira* (Sosdian et al., 2020), is also plotted with an offset, for comparison.

Comparison with Site 872 data that is part of the compilation from Sosdian et al. (2018) shows that their δ¹¹B data are in line with our dataset (Figure 2B), and all sites examined in the WEP (Sites 806, 807, and 872) are above the lysocline (Kroenke et al. 1991). The δ¹¹B data for *T. sacculifer* exhibit a significant decrease (4.2 ‰) from the Miocene to present. Figure 2B also compares the δ¹¹B data used in this study with published data from other sites and shows that raw δ¹¹B data for the WEP can be lower than values for other regions.

3.2 Reproducing pCO₂ from ice cores

We sought to assess if there is evidence for air-sea equilibrium or disequilibrium in the WEP during the large amplitude late Pleistocene glacial/interglacial cycles, in order to validate our approach. We reconstructed pCO₂ for the last 800 kyr (n=16, Fig. 3). For the last 800 kyr, reconstructed pCO₂ values for Sites 806 and 807 are in the range from ice cores (Fig. 3, Petit et al., 1999, Siegenthaler et al., 2005, Lüthi et al., 2008; compilation from Bereiter et al., 2015). The two critical diagnostics we used for method validation are: 1) that the δ¹¹B-based reconstruction of pCO₂ is consistent with ice core atmospheric CO₂ and 2) the boron-based reconstruction empirically reproduces interglacial-glacial amplitudes from ice cores. Fig. 3B shows that both of these criteria are met despite large scatter. We also created a crossplot comparing these two independent constraints on pCO₂ (Fig. 3C). Two regressions between ice core pCO₂ and boron-based pCO₂ are shown, a simple linear regression (grey line) and a Deming regression that takes into account error in variables (blue line). Bootstrapping was used to calculate uncertainties in the regression models (n=1000, Figure 3C, Table S6). While slopes and intercepts are not statistically different from a 1:1 line, the regressions do not reach a high significance level (p=0.25); boosting the resolution of the record could help provide better constraints for this type of comparison. No significant difference in variability was observed at either site. The age models for the sites are based on comparisons of the benthic δ¹⁸O records for both
Sites 806 and 807 (Fig. 3A, Zhang et al., 2007; Lear et al., 2003; Lear et al., 2015) to the published isotopic stack (Lisiecki and Raymo, 2004).

We also note that reconstructed pCO$_2$ uncertainties (both accuracy and precision) could potentially arise from Mg/Ca-derived estimates of temperature; these uncertainties could be reduced using independent temperature proxies for the WEP such as clumped isotope thermometry (Tripati et al., 2010; 2014), a technique that is not sensitive to the same sources of error as Mg/Ca thermometry, and therefore is an area planned for future work. Other sources of uncertainty that have a larger effect on pCO$_2$ calculations are the weight/shell correction, while the TA and seawater boron isotope composition have a minor effect over this time interval.

Between MIS 7 and 6, our reconstructions exhibit a decrease in temperature ($\Delta T$) of 1.2 °C, an increase in pH ($\Delta$ pH) of 0.08 and a decrease in pCO$_2$ ($\Delta$ pCO$_2$) of 58 ppm. Between stage 3 and 1, we observed an increase of temperature of 2.0 °C, a decrease of pH of 0.13 and an increase in pCO$_2$ of 76 ppm. We also compare results with recent reconstructions in Figs. S1 and S2 (Sosdian et al., 2018; Rae et al., 2021). These results highlight that we are able to reproduce the range of atmospheric pCO$_2$ in the ice core record, and reproduce the amplitude of changes between transitions, with uncertainties typical for this type of work (Hönisch et al., 2019).

### 3.3 Sea surface temperature in the WEP

Mg/Ca data are consistent at Site 806 (Wara et al., 2005; Tripati et al., 2009, 2011; Nathan and Leckie, 2009) and Site 872 (Sosdian et al., 2018) in the WEP. The Mg/Ca in *T. sacculifer* has to date not shown a pH dependency (Gray and Evans, 2019) but Mg/Ca of *G. ruber* does and was therefore corrected from this effect (see supplemental material). Data for both species were corrected from salinity and seawater Mg/Ca changes. Mg/Ca-temperatures for Site 872 were reconstructed using published data and the same framework we use here and are presented in Figure 4. Recalculated values for Site 872 are from *D. altispera*, with an offset applied relative to *T. sacculifer*, and show similar variations to our record for the MCO-MMCT periods (Sosdian et al., 2020). Temperatures from Tex$_{86}$ and U$^{K37}$ are plotted for comparison but those records are limited to the last 12 and 5 Myrs, respectively (Zhang et al., 2014).

The Mg/Ca data support high temperatures of 35.2 ± 1.3 °C (2SD, n=11) for the early Miocene until the MMCT, with relatively small (ca. 1°C) change into the MCO, and larger changes out of the MCO. Similarly warm SST for the MCO were reconstructed in the North Atlantic at Site 608 from Tex$_{86}$ (Super et al., 2018). Despite a gap in our compilation from 11.5 to 9.5 Ma, there is a SST decrease of ~6 °C from the MCO to ~7 Ma where temperatures similar to present day values are observed. A decline in temperature during the MMCT is coincident with the timing of a constriction of the Indonesian Seaway, the pre-closure of the trans-equatorial circulation and subsequent formation of a proto-warm pool (Nathan and Leckie, 2009; Sosdian et al., 2020). From 12 to 7 Ma, the Mg/Ca-
SST record diverges from Tex$_{86}$ and UK'$_{37}$-based reconstructions, with higher temperatures. At the same time, a record for the North Atlantic showed a decrease of $\sim$10 °C from the MCO to $\sim$9 Ma (Super et al., 2018). From 7 Ma to present, the record from multiple proxies – Mg/Ca, Tex$_{86}$, and UK'$_{37}$, in the WEP agree.

3.4 Scenarios of seawater $\delta^{11}$B and alkalinity used for pCO$_2$ reconstructions

Figures 5 and 6 show the different histories of seawater $\delta^{11}$B and alkalinity used in our calculations, respectively. Details of calculations are provided in the Supplemental methods. Following the approach of Tripati et al. (2009, 2014) and recent literature (Sosdian et al., 2018; Rae et al., 2021), we explored multiple scenarios for the evolution of seawater boron geochemistry (Fig. 5) and alkalinity for calculations of pCO$_2$ (Figs. 6, S1 and S2). During the interval overlapping with the ice core record, we observe that the choice of model used does not make a significant difference in reconstructed values. During earlier time intervals, we see there is a greater divergence, reflecting larger uncertainties in seawater $\delta^{11}$B and alkalinity further back in Earth history.

Prior to 10 Ma and during the early Pliocene (~4.5 to 3.5 Ma), calculations of pCO$_2$ diverge from published values largely because of the different assumptions each study has used for past seawater $\delta^{11}$B (Fig. 5). However, we find that when the uncertainty in reconstructed pH is fully propagated, the differences in reconstructed pH values calculated using each of the $\delta^{11}$B$_{seawater}$ histories is not significantly different (Fig. 5 and 6; see also Hönisch et al., 2019). In contrast to the results from Greenop et al. (2017), the record from Raitzsch and Hönisch, (2013) exhibits substantial variations on shorter timescales. Such variability is a challenge to reconcile with the Li isotope record of Misra and Froelich, (2012), given that Li has a shorter residence time than boron while having similar sources and sinks. For the remainder of this study, we use the $\delta^{11}$B$_{seawater}$ history from Greenop et al. (2017) because it is in good agreement with seawater $\delta^7$Li (Misra and Froelich, 2012). The recent calculations of seawater pH (Sosdian et al., 2018; Rae et al., 2021) agree with values from our study when uncertainties are taken into account (Fig. 5).

The four alkalinity models used in this study diverge prior to 9 Ma, with a maximum difference at $\sim$13 Ma that is also reflected in reconstructed pCO$_2$ values (Fig. 6). However, all four models yield pCO$_2$ estimates that are within error of each other when the full uncertainty is considered. Uncertainty in the evolution of seawater alkalinity and seawater $\delta^{11}$B leads to differences in the absolute values of pCO$_2$ reconstructed (Fig. S2), and a divergence in pCO$_2$ values reconstructed that is largest in the Miocene. The two scenarios that produce the highest divergence in values are those calculated using constant alkalinity relative to those calculated using values from McCaves et al. (2016), with a maximum difference at 15.06 Ma of up to 250 ppm CO$_2$, and with the latter model producing lower values (Figs. 6B and 6E). Thus, for the MCO, alkalinity is a critical parameter in calculations of absolute pCO$_2$ values. For the Miocene and earlier intervals, improved constraints on
past secular variations of seawater $\delta^{11}$B and alkalinity will yield more accurate reconstructions of pCO$_2$.

For the remainder of this paper, we use the model of Caves et al. (2016) to estimate alkalinity and $\delta^{11}$B$_{\text{seawater}}$ determined by Greenop et al. (2017) (e.g. Fig. 6E). We note that two recent syntheses of boron isotope data have been published and compare our results to these findings (Figs. 8 and S2). Sosdian et al. (2018) reports values that are in line with our results in the Miocene but their study does not replicate results from ice cores. Rae et al. (2021) presents reconstructed values that are higher in the Miocene, due to the utilization of different scenarios of seawater $\delta^{11}$B and alkalinity compared to this work.

### 3.5 Time intervals

#### 3.5.1 Miocene

The study of Miocene climate is thought to provide insights into drivers and impacts of global warming and melting of polar ice (Flower and Kennett, 1994). The Miocene epoch (23.5-5.3 Ma) is characterized by a warm interval, the Miocene Climate Optimum (~17-14.7 Ma - MCO), and an abrupt cooling during the Middle Miocene Climate Transition (~14-13 Ma – MMCT) that led to the expansion of ice on Antarctica and Greenland. Climate modeling supports a role for decreasing CO$_2$ in this transition (DeConto and Pollard, 2003). However, reconstructions for the Miocene are still relatively limited (Sosdian et al., 2018; Rae et al., 2021; Raitzsch et al., 2021). Boron isotope and alkenone-based pCO$_2$ reconstructions support higher pCO$_2$ during the MCO and a decrease over the MMCT (Sosdian et al. 2018; Stoll et al., 2019), consistent with what was previously inferred from B/Ca (Tripati et al., 2009, 2011; Sosdian et al., 2020).

We applied the same framework we used for calculations at Sites 806 and 807 to published boron isotope data from Site 872 (Sosdian et al., 2018) in order to extend the WEP record to the early Miocene (Figs. 7, 8). The Miocene data between Sites 806 and 872 do not overlap as both are low in resolution, but do show excellent correspondence in their trends in $\delta^{11}$B and reconstructed pH. For example, the closest datapoints in time at the two sites are at 15.6 Ma at Site 806 with a $\delta^{11}$B = 14.47±0.21 ‰, and at 16.7 Ma at Site 872, with a $\delta^{11}$B = 15.12±0.25 ‰. The pH values we reconstruct are within error of published estimates from Site 872 (Sosdian et al. 2018, Figs. 7D and 8D). Collectively, these data suggest that the early Miocene WEP was characterized by a mixed-layer pH of 8.1 ± 0.1 (2 SD, n=4) between 19.4 and 21.8 Ma, which decreased to reach a minimum during the MCO of 7.7 (±0.11).

Given the sensitivity in absolute pCO$_2$ to assumptions about the second carbonate system parameter, a few scenarios were explored for the combined 806/807/872 reconstructed pH values. For all alkalinity scenarios we used, reconstructed pCO$_2$ shows an increase from the Early Miocene to the MCO, with the highest values in the MCO. Recalculated pCO$_2$ for Site 872 between 19.4 and 21.8 Ma
is $232 \pm 92$ ppm (2 SD, n=4), lower but within error of the ones presented in Sosdian et al. (2018) and also within error of a constant alkalinity scenario (Fig 8D). The main difference between our calculations and published reconstructions occurs between 19.4 and 21.8 Ma, when the same $\delta^{11}B$ data for Site 872 from Sosdian et al. (2018) recalculated in Rae et al. (2021) yield higher pCO$_2$, with an average value of $591 \pm 238$ ppm (2 SD, n=4) because of the different assumptions used in their calculations. This difference is important because the assumptions from Rae et al. (2021) would imply a relatively high and stable pCO$_2$ from the early Miocene to MCO (Fig. S2), which would imply a decoupling between pCO$_2$ and temperature with no pCO$_2$ change during an interval of decreasing benthic $\delta^{18}O$. However, our reconstructed pCO$_2$ data increase towards the MCO is in line with the observed benthic $\delta^{18}O$ decrease and $\delta^{13}C$ increase and suggest a coupling between temperature and pCO$_2$ over this period. This highlights the critical need for the use of a common set of assumptions for studies. Assumptions may vary between studies depending of the timescales studied, but a common framework is needed. In addition, further constraints on the second carbonate system parameter and on secular changes in seawater $\delta^{11}B$ will reduce uncertainties in reconstructed pCO$_2$, with improved precision.

The highest pCO$_2$ values we reconstruct are found during the MCO (Fig. 6E). For the MCO, our estimates are $511 \pm 201$ ppm (2 SD, n=3, Table 2). The middle Miocene values we reconstruct are in line with previous studies (Greenop et al., 2014; Sosdian et al., 2018). Published $\delta^{11}B$-based reconstructions also support higher pCO$_2$ for the MCO of $\sim350$-$400$ ppm (Foster et al., 2012) or $300$-$500$ ppm (Greenop et al., 2014) that was recalculated by Sosdian et al. (2018) to be $\sim470$-$630$ ppm depending on the model of $\delta^{11}B_{\text{seawater}}$ chosen. During the MCO relative maxima in pCO$_2$, our data support very warm sea surface temperatures in the WEP ($35.6 \pm 0.6$ °C 2SD, n=3; Fig. 8C), that merits further examination in future studies. In fact, the highest temperatures recorded in our samples occur when there is a minimum in the global composite record of $\delta^{18}O$ of benthic foraminifera (Zachos et al., 2001, 2008; Tripati and Darby, 2018).

At the end of the MMCT, we find evidence for changes in pCO$_2$ and temperature in the WEP (Fig. 8). From 13.5 to 12.7 Ma, we reconstruct an increase of pH of $\sim0.21$ and a major decrease of pCO$_2$ of $\sim215$ ppm during an interval highlighted by Flower and Kennett, (1996), who observed changes in $\delta^{18}O$ indicative of rapid East Antarctic Ice Sheet growth and enhanced organic carbon burial with a maximum $\delta^{13}C$ reached at $\sim13.6$ Ma (Shevenell et al., 2004; Holbourn et al., 2007). As discussed in section 3.4 the alkalinity model used for the calculations have an important impact during the Miocene which is likely responsible for the different absolute pCO$_2$ values over the MCO. In comparison, a scenario of constant alkalinity would lead to a pCO$_2$ during the MCO of $714 \pm 313$ ppm (2 SD, n=3) and a decrease of $\sim540$ ppm during the MMCT. Both those reconstructions could simulate the large-scale advance and retreat of Antarctic ice with such low pCO$_2$ values (Gasson et al., 2016). At the same time, we find evidence for a decline in SST of $3.4$ °C to minimum values of $33.3$.
°C. The synchronous shifts in the δ¹³C and δ¹⁸O of benthic foraminifera are consistent with increased carbon burial during colder periods, thus feeding back into decreasing atmospheric CO₂, and supporting the hypothesis that the drawdown of atmospheric CO₂ can in part, be explained by enhanced export of organic carbon (Flower and Kennett, 1993, 1996). However, given the limited sampling of this study, we are only able to resolve a pCO₂ decrease toward the end of the MMCT (~13.5 Ma). The higher resolution δ¹¹B-pCO₂ from Site 1092 for the MMCT (Raitzsch et al. 2021) reports eccentricity-scale pCO₂ variability; the authors reported that low pCO₂ during eccentricity maxima was consistent with an increase in weathering due to strengthened monsoonal circulation, which would increase nutrient delivery and supporting higher productivity that in turn would impact carbon drawdown and burial, in line with modeling from Ma et al. (2011).

The resolution of our data during the late Miocene is low, with a data gap from 12.5 to 9.2 Ma, and another gap between 6.5 and 5 Ma. We note the pCO₂ peak at ~9 Ma observed by Sosdian et al. (2018) is not seen in our record although this is likely due to the low resolution of our dataset. Between 9.5 and 7.1 Ma we find evidence for a decrease in atmospheric CO₂ of 100 ppm associated with a decrease in temperature of 1.3 °C. pCO₂ estimates derived from alkenones for Site 1088 (Tanner et al., 2020) do not show the same trend as boron-based reconstructions from the WEP or other regions (Figure 6), which might be due to other controls on the alkenone proxy (Badger et al., 2019). A recent publication from Raitzsch et al. (2021) reports a δ¹¹B reconstruction of pCO₂ that is within error of other δ¹¹B isotope data from the Southern Ocean (Sosdian et al., 2018), although not for the same period as Tanner et al. (2020). pCO₂ differences between our reconstruction and that of Sosdian et al. (2018) and Raitzsch et al. (2021) (Fig. 8) likely reflect assumptions made for calculations (of δ¹¹B, TA) and the specific mono-specific calibrations used for each study, as well as potential geographic differences in air-sea pCO₂. These differences do not invalidate the boron isotope proxy but illustrate the impact that specific seawater parameters and calibrations can have on reconstructed pCO₂ values, as well as potential inferences of air-sea disequilibrium.

3.5.2 Pliocene

Oxygen isotope data from a global benthic foraminiferal stack show that the Pliocene epoch (5.3-2.6 Ma) was initially characterized by warm conditions followed by the intensification of glaciation that occurred in several steps, including during MIS M2 (3.312-3.264 Ma), followed by the Middle Pliocene Warm Period (Lisiecki and Raymo, 2005). The Middle Pliocene Warm Period (mPWP – 3.29-2.97 Ma) is considered a relevant geological analogue for future climate change given ~3°C warmer global temperatures and sea levels that were ~20 m higher than today (Dutton et al., 2015; Haywood et al., 2016), and is a target for model intercomparison projects, for which accurate paleo-atmospheric pCO₂ estimates are critical (Haywood et al., 2016).

We calculate high pCO₂ values of 419 ± 119 ppm (2 SD, n=3, Table 2) between 4.7 to 4.5 Ma.
during the Early Pliocene warm interval (Figure 9). The pCO₂ data we report provide a higher data
density for the Early Pliocene, and exhibit a trend that is in line with the reconstruction from Rae et al.
(2021). Our data support values of 530 ± 110 ppm over the mPWP (2 SD, n = 4), higher than
previously published data (Figs. 9, S2 and Table 2), although we acknowledge our low data density
may not fully sample variability over this period. The similarity between our reconstructed values and
those published for Site 871 in the Indian Ocean (Sosdian et al., 2018) suggests that changes in
Indonesian through-flow do not induce substantial changes in air-sea exchange in the WEP.

The warmth and local pCO₂ maxima of the mPWP (mid-Pliocene Warm Period) was
followed by a strong decrease of temperature in upwelling and high latitude regions from 3.3 to 2.7
Ma, coincident with glacial intensification in the Northern Hemisphere. This climate transition was
hypothesized to be driven by the closure of the Panama seaway the opening of the high latitudes and
subsequent modifications of oceanic circulation (Haug and Tiedemann, 1998). However, modeling
from Lunt et al. (2008) supports an additional major role for CO₂ in the glaciation. pCO₂ thresholds
have been proposed to explain the intensification of Northern Hemisphere glaciation, with values
proposed ranging from 280 ppm (DeConto et al., 2008) to 200 to 400 ppm (Koening et al., 2011).

The pCO₂ concentrations that we calculate indicates a reduction to 350 ppm by 2.7 Ma, ~280
ppm by 2.6 Ma, and ~210 ppm by 2.4 Ma, in several steps. These results support roughly a halving of
CO₂ values when compared to values of ~530 ppm at 3.3 Ma. These values are consistent with the
pCO₂ thresholds proposed by both DeConto et al. (2008) and Koening et al. (2011) for the
intensification of Northern Hemisphere glaciation and the low atmospheric CO₂ (280 ppmv) scenario
from Lunt et al. (2008). Mg/Ca SST decline from 30°C to 26°C, supporting an Earth System
sensitivity of ~4°C/doubling of CO₂ over this range, although given uncertainties, higher values of
~6°C/doubling of CO₂ that have recently been proposed (Tierney et al., 2020) can not be excluded.

We speculate that associated with Pliocene glacial intensification, at 4.42, 3.45 and 2.67 Ma,
it is possible that the declines in CO₂ and ice growth in turn drove substantial changes in pole-to-
equator temperature gradients and winds, that in turn may have impacted iron cycling (Watson et al.,
2000; Robinson et al., 2005; Martinez-Garcia et al., 2011), stratification (Toggweiler, 1999; Sigman et
al., 2010), and other feedbacks that impact the amplitude of glacial/interglacial cycles and have been
implicated as factors that could have contributed to Pliocene glacial intensification. Specifically, as
the mean climate state of the planet became cooler, and glacial-interglacial cycles became larger in
amplitude, enhanced windiness and dust transport and upwelling during glacial (Martinez-Boti et al.,
2015b) may have enhanced iron fertilization and subsequent carbon export (Martinez-Garcia et al.,
2011). While data resolution is limited, we speculate this could explain why glacial/interglacial
amplitudes in WEP pCO₂ values decrease from the mPWP towards the Pleistocene, whereas
variations in δ¹⁸O are increasing – a speculation that could be tested with increased data resolution.
3.5.3 Pleistocene

During the Pleistocene (2.58-0.01 Ma), the climate system experienced a transition in glacial/interglacial (G/I) variability from low amplitude, higher frequency and obliquity-dominated oscillations (i.e., ~ 41 kyr) of the late Pliocene to the high amplitude, lower frequency (~100 kyr) cycles of the last 800 kyr. This transition is termed the Middle Pleistocene Transition (1.2-0.8 Ma – MPT). Questions have been raised about the role of atmospheric CO2 during this transition, including using boron-based proxies (Hönisch et al., 2009; Tripati et al., 2011; Chalk et al., 2017). Previous boron isotope studies for ODP Sites 668 and 999 in the tropical Atlantic Ocean have suggested that a decline in atmospheric CO2 did occur during glacial periods in the MPT, but not during interglacials (Hönisch et al., 2009; Chalk et al., 2017; Dyez et al., 2018).

Our pCO2 concentrations for Sites 806/807 reported here are in good agreement with those determined from ice cores from the early Pleistocene (Yan et al., 2019, Figs. 9 and 10), and with the boron-derived pCO2 from a recent compilation (Rae et al., 2021). Results for the MPT are broadly in the range of values reported by Hönisch et al. (2009) and Chalk et al. (2017). Although our data are relatively limited, we note they have greater resolution for the middle and later part of the transition than prior publications that have drawn conclusions about the MPT (Hönisch et al., 2009; Chalk et al., 2017; Dyez et al., 2018) (Fig. 10D) and therefore we explore their implications.

Taken alone, or when combined with the published data from Chalk et al. (2017) (that is also based on MC-ICPMS), our results support a possible reduction of both glacial and interglacial pCO2 values. We also find evidence that during the MPT, glacial pCO2 declined rapidly from 189 ±30 ppm at MIS 36 (Chalk et al., 2017) to reach a minimum of 170 (±23) ppm during MIS 30. We note that pCO2 concentrations are within error when uncertainty is fully propagated, and then remained relatively stable until the end of the MPT whereas interglacial pCO2 values decrease gradually to reach post-MPT values.

In our record for the last 16 Myr, the lowest pCO2 is recorded at MIS 30 during the MPT, with values of 164 (±44) ppm, which supports an atmospheric CO2 threshold that leads to large sheet generation. During this transition, the pCO2 threshold needed to build sufficiently large ice sheets that were able to survive the critical orbital phase of rising obliquity to ultimately switch to a 100 kyr world, was likely reached at MIS 30, but a higher pCO2 resolution of the MPT is needed for confirmation. The multiple feedbacks resulting from stable ice sheets (iron fertilization/productivity/changes in albedo/ changes in deep water formation) might have sustained larger mean global ice volumes over the subsequent 800 kyr. An asymmetrical decrease between pCO2 values during interglacials relative to glacials, with glacials exhibiting the largest change across the MPT, would have led to increased sequestration of carbon during glacials in the 100 kyr world, as discussed by Chalk et al. (2017), with increased glacial dust input and iron fertilization.
3.6 Changes in volcanic activity and silicate weathering, and long-term pCO₂

On million-year timescales, atmospheric CO₂ is controlled by its input through mantle degassing in the form of sub-aerial and sub-aqueous volcanic activity and its removal by chemical weathering of continental silicate rocks. Over the last 16 Myr, two relative maxima in atmospheric pCO₂ are observed in our record, one during the MCO (at 15.67 Ma) and a second around the late Miocene/early Pliocene (beginning at 4.7 and 4.5 Ma) (Fig. 11), though the timing for the latter is not precise. The strong pCO₂ increase from the early Miocene to MCO occurs when there is increasing volcanic activity associated with the eruption of the Columbia River Flood Basalts (Hooper et al., 2002; Foster et al. 2012; Kasbohm and Schoene, 2018), with recent geochronologic evidence published supporting higher eruption activity between 16.7 and 15.9 Ma (Kasbohm and Schoene, 2018) reinforcing the idea of an episodic pCO₂ increase during the MCO due to volcanic activity. Underestimation of net CO₂ outgassing from specific continental flood basalt eruption is possible, as both sub-aqueous and sub-aerial flood basalts, under right climatic conditions, are prone to enhanced chemical weathering. For example, the 4-5‰ drop in δ⁷Li record at the Cretaceous–Paleogene (K-Pg) boundary (Misra and Froelich, 2012) is attributed to rapid quasi-congruent weathering of Deccan Traps (Rene et al. 2015) during their eruption. Courtillot and Rene (2003) estimate that about 50% of emitted CO₂, roughly equivalent to the amount emitted by the eruption of a million cubic kilometers of Deccan Traps, may be missing due to chemical and physical weathering. Additionally, the early Eocene (at ~50 Ma) 3-4‰ rise in seawater δ⁷Li at a time where there is not significant uplift of the Himalayas (Misra & Froelich, 2012) is also attributed to incongruent weathering of previously erupted Deccan Trap basalts as the Indian subcontinent moved from arid mid-latitudes to the wet low latitudes (Kent and Muttoni, 2008). Thus, a significant part of the outgassed CO₂ can be consumed by chemical weathering of freshly erupted hot basalts (Courtillot et al., 2003). However, the congruency of chemical weathering of basalts, depending on regional climatic conditions (warm-wet vs. cold-arid), will determine the shape and position of inflection points in the seawater δ⁷Li record. The possible quantification of increased rates of silicate weathering inferred from δ⁷Li (mentioned below) can be utilized to determine total eruptive volume (missing + existing) and volatile emissions from the Columbia River Flood Basalts. At the same time as continental flood basalt emissions, enhanced seafloor production could also be a second possible source of CO₂; however, we note there is evidence that the rate of seafloor production has remained virtually invariant over the last 60 million years (Rowley, 2002; Muller et al. 2016).

The second CO₂ peak can possibly be caused either by the observed increase in global volcanism during the early/middle Pliocene (Kennett and Thunell, 1977; Kroenke et al., 1993), and/or by a change in silicate weathering regime. Strontium and lithium isotopes (⁸⁷/⁸⁶Sr and δ⁷Li) have been used as proxy for silicate weathering flux and congruency. Although the strontium isotope record exhibits a monotonous increase, lithium isotope data (Misra and Froelich, 2012) are more variable.
with a transition from a period of increasing seawater δ⁷Li (e.g., non-steady state weathering) to stable seawater δ⁷Li (e.g., steady state weathering) beginning at roughly 6.8 Ma (Fig. 11).

It is interesting to note that the rise in δ⁷Li (Fig. 11B) from the early Miocene to the MCO is synchronous with the rise in pCO₂. Before 18.5 Ma, the pCO₂ is relatively stable, δ⁷Li is increasing, suggesting non-steady state / incongruent nature of continental chemical weathering. From 18.6 to 16.7 Ma, the δ⁷Li record decreases by ~2 ‰, consistent with decreasing weathering rates and an associated increase in pCO₂. Between 16.7 and 15.9 Ma, when the eruption of the Columbia River Flood Basalts is at a maximum, δ⁷Li increases, in line with higher weathering rates that could arise from higher atmospheric CO₂ and the presence of fresh basalts. The δ⁷Li record then decreases again until the end of the MCO at ~14.7 Ma, in line with a decrease in the eruption rate, sustaining high atmospheric CO₂. A constant increase in δ⁷Li is then observed, until the early Pliocene, where there is evidence for a shift to a steady-state weathering regime. This increase in δ⁷Li is also consistent with the decrease in pCO₂ observed until the early Pliocene.

3.9 Conclusions

We developed a reconstruction of atmospheric pCO₂ based on δ¹¹B of planktic foraminifera from ODP Sites 806 and 807 located in the Western Equatorial Pacific for the past 16 million years and extended the record to 22 Ma by reprocessing data from Site 872 (Sosdian et al., 2018). We build on past efforts to reconstruct atmospheric pCO₂ using different proxies from this region, including from carbon isotopes in marine organic matter (Rayno et al., 1996) and alkenones (Pagani et al., 2010), as well as foraminiferal B/Ca ratios (Tripati et al., 2009, 2011), all of which have been shown to have a number of complexities and potential sources of systematic error (e.g., Tripati et al., 2011). It also builds on efforts using boron isotopes in other regions using MC-ICP-MS (Seki et al., 2010; Foster et al., 2012, 2014; Greenop et al., 2014; Martinez-Boti et al., 2015b; Stap et al., 2016; Chalk et al., 2017; Dyez et al., 2018; de la Vega et al., 2020), and our recent work constraining fractionation factors and measuring small samples of foraminifera (Guillermic et al., 2020).

Our study contributes a new long-term reconstruction of atmospheric pCO₂ for the Neogene derived from boron isotopes from the tropical Pacific Ocean. Although the record is not continuous, with variable resolution, it captures both long-term and short-term variability associated with several key transitions and demonstrates the utility of examining sites in the Western Equatorial Pacific for future higher-resolution studies. Results for Sites 806 and 807 in the Western Equatorial Pacific reproduce the amplitude of late Pleistocene glacial-interglacial cycles in pCO₂. These observations are consistent with the sites being in equilibrium with the atmosphere, although further work would be useful to explore sources of uncertainty and differences relative to ice core pCO₂.

pCO₂ values increase from the early Miocene to the MCO with estimated MCO pCO₂ values of 511 ± 201 ppm (2 SD, n=3). These elevated values are potentially linked to the eruption of the Columbia River Flood Basalts, with values declining into the early Pliocene, including during
Pliocene glacial intensification. The changes in pCO$_2$ we observed are in line with changes in $\delta^7$Li, a proxy of silicate weathering, and future modeling of multiple proxy records should be insightful. Early Pliocene data for $\sim$4.7-4.5 Ma support high pCO$_2$ of 419 ± 119 ppm, and elevated values during the mid-Pliocene Warm Period of 530 ± 110 ppm for the time interval $\sim$3.3-3.0 Ma. These data are low in resolution, thereby not fully sampling orbital and millennial scale variability. The higher resolution record for the Pliocene glacial intensification supports a reduction in pCO$_2$ during several steps, with values at 2.7 Ma of 350 ppm, 2.6 Ma of $\sim$280 ppm, and 2.4 Ma of $\sim$210 ppm. We find support for a larger reduction in glacial pCO$_2$ during the Mid-Pleistocene Transition compared to interglacial pCO$_2$, and a minimum in pCO$_2$ during glacial MIS 30. These findings confirm a role for CO$_2$ in the transition from a 41 kyr to a 100 kyr world.

Higher-resolution boron isotope records from the WEP would allow for further resolution of these changes. Additional constraints on temperature, such as from clumped isotopes (Tripati et al., 2010) in the WEP (Tripati et al., 2014), could allow for uncertainties in pCO$_2$ estimates from boron isotopes to be reduced and for new constraints on Earth climate sensitivity. Future constraints on the vertical structure of the tropical Pacific (Shankle et al., 2021) during these transitions may also potentially be illuminating.

Data availability
All data are available in the supplemental materials. Reconstructed climate parameters and proxy data will be archived at the NOAA's NCEI World Data Service for Paleoclimatology on acceptance at https://www.ncei.noaa.gov/products/paleoclimatology.

Author Contributions
AT developed the project and wrote the proposals that funded the work. All authors contributed to the experimental design. MG performed the measurements with assistance from SM. MG conducted data analysis with input from AT. MG drafted the paper, which was edited by all authors. Interpretation was led by MG and AT, with input from SM and RE.

Competing interests
The authors declare that they have no conflict of interest.

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Reconstructed pH based on our measured (blue – Lemarchand et al., 2000; green – Greenop et al., 2017; red – Raitzsch and Hönisch, 2013).}

Figure 2: Foraminiferal data for Miocene to recent times. A. Benthic foraminiferal δ18O data (blue line – stack from Lisiecki and Raymo, 2005; black line – compilation from Zachos et al., 2008). B. δ11B of T. saxifler (blue circles) and G. ruber (blue triangles) at Sites 806 (light blue), 807 (dark blue), Grey filled square represent data from Site 872 located in the WEP (Sosdian et al., 2018). Open symbols are δ11B data from published studies (Hönisch and Hemming, 2009; Seki et al., 2010; Foster et al., 2012; Greenop et al., 2014; Martinez-Boti et al., 2015a; Chalk et al., 2017; Dyez et al., 2018; Sosdian et al., 2018; de la Vega et al., 2020; Raitzsch et al., 2021), grey open symbols are T. saxifler, brown open symbols are for G. ruber. C. Mg/Ca ratios of T. saxifler and G. ruber at Sites 806, 807 and fourth-order polynomial regression from Sosdian et al. (2020) representing secular variations of Mg/Ca(w) (blue dotted line). E. Calculated weight per shell for T. saxifler and G. ruber.

For Panels B-D: Circles = T. saxifler, Triangles = G. ruber.

Figure 3: A. Reconstruction of surface pCO2 (ppm) for the past 0.8 Myr from T. saxifler at ODP Sites 806 and 807 (blue symbols) using boron-based pH calculated from δ11Bseawater (Greenop et al., 2017) and alkalinity from Caves et al. (2016). Planktonic foraminiferal δ18O at site 806 with isotope stages labeled (black line – Medina-Elizalde and Lea, 2005) and benthic foraminiferal δ18O stack (grey line - Lisiecki and Raymo, 2005), benthic δ18O at Site 806 (dark red line) from Lear et al. (2003, 2015). B. pCO2 values calculated from boron isotopes (colored symbols - this study) with data from the literature (open gray triangles – compilation B are data recalculated in Rae et al., 2021) and ice core pCO2 (black line – Petit et al., 1999, Lüthi et al., 2008, Bereiter et al., 2015). C. Cross plot for the last 0.8 Myr of pCO2 δ11B from this study and pCO2 ice core (from ice core compilation, Bereiter et al., 2015), grey line is a simple linear regression (p = 0.25, R2=0.09), blue line is a Deming regression taking both x and y uncertainties into account (p = 0.25). Details of the regression parameters are in Table S6. Ice core CO2 error was calculated based on 2 SD of reported values, and ± 1 ky for the age of sediment samples. Boron-based pCO2 error is calculated based on error propagation described by eq. S17. Data compiled are from: Foster et al., 2008; Hönisch and Hemming, 2009; Seki et al., 2010; Foster et al., 2012; Badger et al., 2013; Greenop et al., 2014; Martinez-Boti et al., 2015a; Chalk et al., 2017; Dyez et al., 2018; Sosdian et al., 2018; Greenop et al., 2019; de la Vega et al., 2020.

Figure 4: Compilation of temperatures from Site 806 in the WEP. Mg/Ca based temperatures were derived using the same way (see supplemental information). Blue filled symbols are from Sites 806 and 807 with blue circles for T. saxifler and triangles for G. ruber; filled gray squares are data from Site 872 (Sosdian et al., 2018). Open symbols are SST derived from Mg/Ca at Site 806 (Wara et al., 2005; Tripathi et al., 2009; Nathan and Leckie, 2009). Tex86 and UK37 are also plotted for comparison (Zhang et al., 2014). Orange open circles are SST data calculated with our framework from the species D. altispera at ODP Site 806 (Sosdian et al., 2020) with an offset of +8°C. Blue line is a smooth line (Lowess) going through the data.

Figure 5: Different models for the evolution of the boron geochemistry explored as part of this work. Due to the 1% uncertainty propagated for δ11Bseawater, all scenarios yield reconstructed seawater pH values that are within error of each other. Propagated uncertainties were calculated using eq. S14 (see Supplement). A. Different models for δ11Bseawater used for the reconstruction of pCO2 in this study (blue – Lemarchand et al., 2000; green – Greenop et al., 2017; red – Raitzsch and Hönisch, 2013). B. Reconstructed pH based on our measured δ11Bcarbonate values using different models for δ11Bseawater (blue – Lemarchand et al., 2000; green – Greenop et al., 2017; red – Raitzsch and Hönisch, 2013),
Figure 6: Different models for the evolution of a second carbonate (e.g. alkalinity) system parameter explored as part of this work. The propagated uncertainties were calculated using eq. S16 (see Supplement). A. Different models for alkalinity used for the reconstruction of pCO₂ in this study (brown – constant alkalinity of 2330 µmol/kg, blue - Ridgwell and Zeebe, 2005; green - Tyrell and Zeebe, 2004; violet - Caves et al., 2016. Colored symbols are reconstructed pCO₂ based on our measured δ¹¹Bcarbonate values, alkalinity scenario and δ¹¹Bseawater from Greenop et al., 2017; open squares (compilation A) are pCO₂ compilation from Sosdian et al. (2018), open triangles (compilation B) are from the compilation by Rae et al. (2021), black symbols are from site 872. B. Reconstructed pCO₂ using constant alkalinity of 2330 µmol/kg and δ¹¹Bseawater from Greenop et al. (2017). C. Reconstructed pCO₂ using the constant alkalinity scenario from Ridgwell and Zeebe, (2005) and δ¹¹Bseawater from Greenop et al. (2017). D. Reconstructed pCO₂ using constant alkalinity scenario from Tyrell and Zeebe, (2004) and δ¹¹Bseawater from Greenop et al. (2017). E. Reconstructed pCO₂ using constant alkalinity scenario from Caves et al., (2016) and δ¹¹Bseawater from Greenop et al. (2017). In black are published estimates from ice core data (circles - Yan et al., 2019). Compilations of pCO₂ from Sosdian et al. (2018) (compilation A - open squares) and Rae et al. (2021) (compilation B - open triangles) are also shown for comparison. Data for compilation A are from: Hönisch and Hemming, 2009; Seki et al., 2010; Foster et al., 2012; Badger et al., 2013; Greenop et al., 2014; Martinez-Boti et al., 2015a; Chalk et al., 2017; Sosdian et al., 2018. Data for compilation B are from: Foster et al., 2008; Hönisch and Hemming, 2009; Seki et al., 2010; Foster et al., 2012; Badger et al., 2013; Greenop et al., 2014; Martinez-Boti et al., 2015a; Chalk et al., 2017; Dyez et al., 2018; Sosdian et al., 2018; Greenop et al., 2019; de la Vega et al., 2020.

Figure 7: Proxy data for the past 22 million years in the Western Equatorial Pacific compared to benthic oxygen isotope data. A. Benthic δ¹³C (black line – compilation from Zachos et al., 2005; black line – compilation from Zachos et al., 2008). B. Benthic δ¹⁸O (blue line – stack from Lisiecki and Raymo, 2005; black line – compilation from Zachos et al., 2008). C to E, color indicates the site (filled light blue=806, filled dark blue=807), symbols represent the species (circle=T. sacculifer and triangle=G. ruber), filled grey squares are recalculated data based on Sosdian et al. (2018) at site 872. C. SST reconstructed at ODP Sites 806 and 807 using Mg/Ca ratios (see supplemental information for reconstruction details), open symbols are reconstructed temperatures based on literature Mg/Ca at site 806 (see text or Fig. 4). D. Seawater pH reconstructed from δ¹¹B of T. sacculifer and G. ruber using δ¹¹Bseawater from Greenop et al. (2017) (refer to text and supplement for calculations, this study), open squares (compilation A) represent data from the CO₂ compilation of Sosdian et al. (2018) and open triangles (compilation B) are compilation data from Rae et al. (2021). E. Reconstructed pCO₂ (ppm) using boron-based pH and alkalinity from Caves et al. (2016), data presented are from this study. Propagated uncertainties are given by eq. S17 for the dark blue envelope, while the light blue envelope are the uncertainties calculated based on eq. S16 (taking into account uncertainty in δ¹¹Bseawater). Crosses are original pCO₂ values calculated in Sosdian et al. (2018) at Site 872; asterisks are recalculated pCO₂ values at Site 872 by Rae et al. (2021).

Figure 8: Proxy data from 22 to 6 million years, including the Middle Miocene Climate Transition (MMCT) and Miocene Climate Optimum (MCO), in the Western Equatorial Pacific compared to benthic oxygen isotope data. A. Benthic δ¹³C (black line – compilation from Zachos et al., 2008). B. Benthic δ¹⁸O (black line – compilation from Zachos et al., 2008). C and D, color indicates the site
(filled light blue=806, filled dark blue=807), symbols represent the species (circle= *T. sacculifer* and triangle= *G. ruber*), filled grey squares are recalculated data based on Sosdian et al. (2018) at site 872.

C. SST reconstructed at ODP Sites 806 and 807 using Mg/Ca ratios (see supplemental informations for reconstruction details), open symbols are reconstructed temperatures based on literature Mg/Ca at site 806 (see text or Fig. 4). D. Reconstructed pCO₂ (ppm) from this study (blue symbols) using boron-based pH and alkalinity from Caves et al. (2016). Propagated uncertainties are given by eq. S17 for the dark blue envelope, while the light blue envelope reflects the uncertainties calculated based on eq. S16 (taking into account uncertainty on δ³¹B seawater). Orange datapoints and envelope are calculated pCO₂ values and associated uncertainty from our study using our framework and a constant alkalinity scenario. Open squares (compilation A) are compilation data from Sosdian et al. (2018), open triangles are data from Raitzsch et al. (2021) at Site 1092. Crosses are original pCO₂ calculated in Sosdian et al. (2018) at Site 872; asterisks are recalculated pCO₂ at Site 872 by Rae et al. (2021); dark red triangles are from Site 1092 (Raitzsch et al., 2021). Data for compilation A are from: Hönisch and Hemming, 2009; Seki et al., 2010; Foster et al., 2012; Badger et al., 2013; Greenop et al., 2014; Martinez-Boti et al., 2015a; Chalk et al., 2017; Sosdian et al., 2018. Data for compilation B are from: Foster et al., 2008; Hönisch and Hemming, 2009; Seki et al., 2010; Foster et al., 2012; Badger et al., 2013; Greenop et al., 2014; Martinez-Boti et al., 2015a; Chalk et al., 2017; Dyez et al., 2018; Sosdian et al., 2018; Greenop et al., 2019; de la Vega et al., 2020.

**Figure 9:** Proxy data from 7 to 1 million years, including the Warm Pliocene Transition (WPT), in the Western Equatorial Pacific compared to benthic oxygen isotope data. A. Benthic δ¹⁸O (black line – compilation from Zachos et al., 2008). B. Benthic δ¹³C (black line – compilation from Zachos et al., 2008). C and D, color indicates the site (filled light blue=806, filled dark blue=807), symbols represent the species (circle= *T. sacculifer* and triangle= *G. ruber*), filled grey squares are recalculated data based on Sosdian et al. (2018) at ODP Site 872. C. SST reconstructed at ODP Sites 806 and 807 using Mg/Ca ratios (see supplemental informations for reconstruction details), open symbols are reconstructed temperatures based on literature Mg/Ca at site 806 (see text or Fig. 4). D. Reconstructed pCO₂ (ppm) from this study (blue symbols) using boron-based pH and alkalinity from Caves et al. (2016). Propagated uncertainties are given by eq. S17 for the dark blue envelope, while the light blue envelope reflects the uncertainties calculated based on eq. S16 (taking into account uncertainty on δ³¹B seawater). Open squares (compilation A) are pCO₂ compilation from Sosdian et al. (2018), open triangles (compilation B) are from the compilation by Rae et al. (2021). Data for compilation A are from: Hönisch and Hemming, 2009; Seki et al., 2010; Foster et al., 2012; Badger et al., 2013; Greenop et al., 2014; Martinez-Boti et al., 2015a; Chalk et al., 2017; Sosdian et al., 2018. Data for compilation B are from: Foster et al., 2008; Hönisch and Hemming, 2009; Seki et al., 2010; Foster et al., 2012; Badger et al., 2013; Greenop et al., 2014; Martinez-Boti et al., 2015a; Chalk et al., 2017; Dyez et al., 2018; Sosdian et al., 2018; Greenop et al., 2019; de la Vega et al., 2020.

**Figure 10:** Proxy data from 1.5 to 0.5 million years, including the Middle Pleistocene Transition (MPT), in the Western Equatorial Pacific compared to benthic oxygen isotope data. A. Benthic δ¹⁸O (blue line – stack from Lisiecki and Raymo, 2005). B. Benthic δ¹³C (black line – compilation from Zachos et al., 2008). C and D, color indicates the site (filled light blue=806, filled dark blue=807), symbols represent the species (circle= *T. sacculifer* and triangle= *G. ruber*), filled grey squares are recalculated data based on Sosdian et al. (2018) at site 872. C. SST reconstructed at ODP Sites 806 and 807 using Mg/Ca ratios (see supplemental informations for reconstruction details), open symbols are reconstructed temperatures based on literature Mg/Ca at site 806 (see text or Fig. 4). D. Reconstructed pCO₂ (ppm) from this study (blue symbols) using boron-based pH and alkalinity from Caves et al. (2016). Propagated uncertainties are given by eq. S17. In black are published estimates from ice core data (line – Bereiter et al., 2015; black circles - Yan et al., 2019). Open triangles (compilation A) are from the compilation by Rae et al. (2021). Data for compilation B are from: Foster et al., 2008; Hönisch and Hemming, 2009; Seki et al., 2010; Foster et al., 2012; Badger et al., 2013; Greenop et al., 2014; Martinez-Boti et al., 2015a; Chalk et al., 2017; Dyez et al., 2018; Sosdian et al., 2018; Greenop et al., 2019; de la Vega et al., 2020.
Figure 11: Proxy data from 1.5 to 0.5 million years, including the Middle Pleistocene Transition (MPT), in the Western Equatorial Pacific compared to benthic oxygen isotope composites. A. Benthic δ¹⁸O (blue line – compilation from Lisiecki and Raymo, 2005, black line – compilation from Zachos et al. 2008). B. Records from Lithium isotopes (δ⁷Li, orange, Misra and Froelich, 2012) and Strontium isotopes (⁸⁷/⁸⁶Sr, grey, Hodell et al., 1991, Farrel et al., 1995, Martin et al., 1999, Martin et al., 2004), both proxies for silicate weathering. Orange arrows represent the different weathering regimes as indicated by the δ⁷Li, black crosses indicates when changes in weathering regime occur. C. Reconstructed pCO₂ (ppm) using boron-based pH and alkalinity from Caves et al. (2016), color indicates the site (filled light blue=806, filled dark blue=807), symbols represent the species (circle=T. sacculifer and triangle=G. ruber), filled grey squares (compilation A) are recalculated data based on Sosdian et al. (2018) at site 872. Data for compilation A are from: Hönisch and Hemming, 2009; Seki et al., 2010; Foster et al., 2012; Badger et al., 2013; Greenop et al., 2014; Martinez-Boiti et al., 2015a; Chalk et al., 2017; Sosdian et al., 2018. Propagated uncertainties are given by eq. S17 for the dark blue envelope, while the light blue envelope are the uncertainties calculated based on eq. S16 (taking into account uncertainty on δ¹¹Bseawater). Also shown is the timing of major events. The rose band and dark rose band indicate the eruption of the Columbia River flood basalts (Hooper et al., 2002) and time of maximum eruption (Kasbohm and Schoene, 2018), respectively.
| Cruise | Leg | Hole | N (°) | E (°) | Depth (m) | OD | OD |
|--------|-----|------|-------|-------|-----------|----|----|
| ODP    | 130 | 3    | 159.37| 156.62| 2804      |    |    |
| ODP    | 130 | 2    | 0.32  | 156.62| 2520      |    |    |

**Table I**: Core Information.
| Interval                                | pCO$_2$ (ppm) | Reference                                      |
|----------------------------------------|----------------|-----------------------------------------------|
| **Mid-Pleistocene Transition (1.2-0.8 Ma)** |                |                                               |
| MIS (G)                                |                |                                               |
| 20                                     | 179            | This study                                   |
| 21                                     | 254            | This study                                   |
| 75                                     |                |                                               |
| **Middle Pliocene Warm Period (3.29-2.97 Ma)** |                |                                               |
| pCO$_2$ (ppm)                          |                |                                               |
| 530 ± 110                              |                | This study                                   |
| 320 ± 130                              |                | Martinez-Bot et al. (2012)                    |
| 360 ± 85                               |                | de la Vega et al. (2009)                      |
| **Early Pliocene Warm Period (4.74-5.1 Ma)** |                |                                               |
| pCO$_2$ (ppm)                          |                |                                               |
| 419 ± 119                              |                | This study                                   |
| 320 ± 130                              |                | Martinez-Bot et al. (2012)                    |
| 360 ± 85                               |                | de la Vega et al. (2009)                      |
| **Middle Pliocene Warm Period (3.9-2.97 Ma)** |                |                                               |
| pCO$_2$ (ppm)                          |                |                                               |
| 39                                     |                | This study                                   |
| 31                                     |                | Chalk et al. (2012)                          |
| 32                                     |                | Chalk et al. (2012)                          |
| 33                                     |                | Chalk et al. (2012)                          |
| 31                                     |                | Hennesey et al. (2009)                       |
| 29                                     |                | This study                                   |
| 27                                     |                | This study                                   |
| 26                                     |                | This study                                   |
| 24                                     |                | This study                                   |
| 23                                     |                | This study                                   |
| 21                                     |                | This study                                   |
| 19                                     |                | This study                                   |
| 18                                     |                | This study                                   |
| 17                                     |                | This study                                   |
| 15                                     |                | This study                                   |
| 14                                     |                | This study                                   |
| 12                                     |                | This study                                   |
| 10                                     |                | This study                                   |
| 9                                      |                | This study                                   |
| 8                                      |                | This study                                   |
| 6                                      |                | This study                                   |
| 4                                      |                | This study                                   |
| 3                                      |                | This study                                   |
| 2                                      |                | This study                                   |
| 1                                      |                | This study                                   |

**Table 2:** Comparison of reconstructed pCO$_2$ values for key intervals in the last 16 Ma.
Figure 3
Figure 4
Figure 5

A

$\delta^{13}$C (‰)

0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17

B

$pH$ seawater

0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17

Age (Ma)

Compilation A

Compilation B

7.5 7.6 7.7 7.8 7.9 8.0 8.1 8.2 8.3 8.4 8.5

7.5 7.6 7.7 7.8 7.9 8.0 8.1 8.2 8.3 8.4 8.5

Figure 5
Figure 7

A. Graph showing δ¹⁸O (%) over time (Ma).
B. Graph showing δ¹⁸O (%) over time (Ma) with annotations for different sites.
C. Graph showing SST (°C) over time (Ma).
D. Graph showing δ¹³C (‰) over time (Ma).
E. Graph showing pCO₂ (ppm) over time (Ma).

Key:
- MPT: Middle-Pleistocene transition
- WPT: Warm Pliocene transition
- MMCT: Middle Miocene climate transition
- MCO: Middle Miocene optimum

Sites:
- Site 806
- Site 807
- Compilation A
- Compilation B

Annotations:
- δ¹³C (‰) for different sites
- SST (°C) for different sites
- pCO₂ (ppm) for different sites

Figure 7
Figure 9

(A) δ¹⁸O (‰) variation with respect to age (Ma) showing the MPT and WP events.

(B) δ¹³C (‰) variation with respect to age (Ma) showing the MPT and WP events.

(C) SST (°C) variation with respect to age (Ma) showing the MPT and WP events.

(D) pCO₂ (ppm) variation with respect to age (Ma) showing the MPT and WP events.

The compilation of SST data shows variations in SST over time, with specific events indicated by MPT and WP. The δ¹³C and δ¹⁸O data reflect changes in ocean chemistry, and the pCO₂ data show fluctuations in atmospheric CO₂ levels.
