Width identification of transition zone between desert and oasis based on NDVI and TCI

Shuxin Ji, Xuelian Bai, Rongrong Qiao, Lixiang Wang & Xueli Chang

The oasis-desert transition zone, the boundary between the desert and oasis, has special significance in maintaining oasis stability and indicating ecosystem health. The width of the boundary is one of the critical indicators to determine the sampling design and restrict findings scaling in the study of the desert oasis transition zone. Buffer analyze and focal analyze were conducted to determine the width among oasis-desert transition zone and oasis artificial sand fixation zone in Hexi corridor China. Focal analyses indicate that TCI_{max} and TCI_{min} can constrain NDVI of trend variation, and the effect increases with the analysis scale. On the same spatial scale, NDVI and TCI have opposite trends and have intersections. The intersection of the sandy desert transition zone is between 30–90 m, and the oasis artificial sand-fixation zone is between 90–150 m. The width of the sandy desert transition zone is between 220–300 m, and the width increases with the increase of analysis scale. The oasis artificial sand-fixation zone is between 420 and 540 m, which decreases with the increase of the analysis scale. NDVI shows a trend of decreasing from the oasis boundary to the desert, the trend of TCI is different from that of NDVI, showing an increase from the edge of oasis to the interior of desert. The differences in the spatial distribution of NDVI and TCI can be clearly expressed, and different types of transition zones and analysis scales have their own characteristics.

In the study of ecology and environments sciences in arid zones, the oasis-desert transition zone is the basic unit of much ecological hotspot research, and it has a particular indicative function in the study of oasis ecosystem stability and regional biodiversity conservation. To determine the inside and outside boundary of the oasis-desert transition zone is the key to determining the width of the transition zone because the width of the zone will affect the sampling location selection, sample layout scheme, and research result scaling directly. The transformation of material and energy in the transition zone between different ecosystems is drastic and frequent, and the local environment in the transition zone is more sensitive to external natural disturbances such as precipitation and fire, which is an “indicator” of natural environmental changes. At the same time, this area also provides natural shelter for many insects, birds, and small mammals. Therefore, the identification of transition zone width between different systems plays an important role in local biodiversity conservation and monitoring regional environmental changes. From the perspective of the spatial attributes of the oasis-desert transition zone, the inner boundary of the farmland and woodland is easy to identify, but the outer boundary is different in various scales and regional studies. This difference comes from the spatial heterogeneity of vegetation types and the diversity of oasis boundary pattern. It can be inferred that the outer boundary of the oasis-desert transition zone is a variable whose change is related to vegetation and micro-meteorological conditions and the spatial position changes as the boundary bend. Thus, it is an effective way to identify the boundary width of the oasis-desert transition zone employing the characteristics of vegetation and micro-meteorology.

Application of Vegetation Index

Several studies have documented the Vegetation Condition Index (VCI), the Temperature Condition Index (TCI), and the Water Supplying Vegetation Index (WSVI) were used based on various remote sensing data. Consistent findings have been obtained to identify crop drought conditions. The core conclusion of these findings was that VCI and TCI could be used to calculate surface vegetation conditions and temperature, and VCI and TCI interactions were used to construct a Vegetation Temperature Condition Index (VTI). Since the various indexes mentioned above can capture the gradient difference between the productivity levels of the vegetation...
ecosystem, it is also possible to obtain the surface drought condition (inversion by surface brightness) using the thermal infrared band. Then, it is methodologically feasible to directly use the Normalized difference vegetation index (NDVI) and TCI to detect the gradient of vegetation and surface temperature outside the oasis boundary. As a result of the surface vegetation conditions and brightness, temperature changes are different9–11, and the normalization index can be used to determine the intersection point, and the trend changes inflection point on the spatial gradient.

The research had two main objectives. The first one is to detect the width of the oasis-desert transition zone, which is determined by the intersection and inflection point between NDVI and TCI. The second objective was to compare different ecological systems and observe their different width changing trends depending on varies transition zone.

Study area and Methodology

Study area. The study area is located at the Hexi Corridor Heihe River, near the oasis-desert transition zone of the Linze Inland River Basin Comprehensive Research Station of the Chinese Academy of Sciences (Fig. 1). The marginal expansion of this area is representative in China’s oasis area12. The annual average precipitation in the study area is 117 mm, of which 65% is from July to September; the annual average temperature is 7.6 °C, and the average evaporation is 2390 mm. There are 4 boundary types in the study area, namely oasis and sandy desert, artificial sand-fixation area, gravel desert, and stony bare mountain. Because this study is the first to use the NDVI and TCI trends to determine the width of the oasis-desert transition zone, the natural boundary between the oasis and sandy desert boundary types (no artificial sand-fixation, yellow line in Fig. 1) and artificial interference boundaries are selected. (Manual sand-fixation area, the red line in Fig. 1) Two types were analyzed. The main plants in the study area are arbors such as Populus gansuensis C. Wang et H. L. Yang, Elaeagnus angustifolia Linn., shrubs like Caragana Korshinskii Kom, Hedysarum Scoparium, Nitraria sphaerocarpa Maxim., Reaumuria songarica (Pall). Maxim., Salsola passerina Bse. and other small shrubs and annual plants such as Bassi adasyphylla and Eragrostis minor Host. Icon. et Desert.

Image pre-processing. Landsat 8 image was downloaded from the United States Geological Survey’s Earth Explorer website (https://earthexplorer.usgs.gov/) as level 1 T processed scenes (track number 133-33), and the specific acquisition time is 28 August, 2015, cloud cover is less than 5.06% and distribute in southern part of the image far away from the study area. Before the identification of the oasis boundary, FLAASH atmospheric correction and image cropping of the study area were performed under ENVI 5.4. Then, using the object-oriented supervised classification to interpret the five types of ecological types (oasis, stony mountains, gravel desert, sandy desert and artificial sand fixation zone)13, and fusing the “noise” patches by dissolve command in ArcGIS. Because the basic unit of remote sensing data is a 30 × 30 m grid, it is impossible to form a smooth boundary between different ecosystems. Therefore, the positive and negative buffer analysis function is needed to build the smallest circumscribed polygon between the oasis and other ecosystems. Then the circumscribed polygon and the adjacent lines of each ecosystem represent the outer boundary of the oasis and which is also the inner margin of oasis-desert transition zone (red and yellow lines in Fig. 1). The main feature of the boundary is that the inner shelter forest, water area and desert inside oasis are mixed into the main body of oasis, and the shelter forest near the edge of oasis and the newly cultivated farmland near the main body of oasis are separated from the oasis.

Calculation of NDVI and TCI. NDVI were calculated as follows:
where Band5 and Band4 represent the red band and near-infrared band, respectively. According to Eq. (1), the NDVI of different types of transition zone were calculated.

The TCI were calculated using the following formula:

\[ \text{TCI} = \frac{(\text{Band10} - \text{Band}_{\min})}{(\text{Band}_{\max} - \text{Band}_{\min})} \]  

where TCIs represent the spatial temperature condition index, Band10 represent Landsat 8 data in band 10. Band10max and Band10min represent the maximum and minimum values of Band10, respectively.

\[ \text{TCI}_{\text{max}} = a + b\text{NDVI}_i \]  

(3)

\[ \text{TCI}_{\text{min}} = a' + b'\text{NDVI}_i \]  

(4)

In formula (3) and (4), TCI_{\text{max}} and TCI_{\text{min}} represent the maximum and minimum values of the temperature condition index, respectively when the data corresponding to the i-th NDVI level in the study area is acquired. a, b, a' and b' are the coefficient to be determined. The NDVI classification of the oasis sandy desert transition zone and the oasis artificial sand-fixation transition zone is divided by 20 levels equidistantly. That is: i = 1, 2, ..., 20.

Scale effect. Focal analysis is one of the neighborhood analysis methods and is based on raster data analysis. The method is to use each grid as the analysis focus and calculate the statistical value of each grid within the defined range by the odd-number increase of the grid size (we selected the average value), which is an effective means to analyze the scale effect\(^1\). Besides, Landsat 8 has two thermal infrared bands, band 10 (wavelength range 10.6–11.2 μm) and band 11 (wavelength range 11.5–12.5 μm), which reflect surface temperature by brightness\(^5,6\). However, the band 11 is greatly affected by the atmospheric water vapor, then the band 10 can be used for the inversion of the surface temperature\(^5,11\). We use the minimum common divisor of approximate resolution between 90 m and 330 m as two scales to apply the focal analysis on NDVI and TCI, considering NDVI and TCI have different resolutions (with 30 m and 100 m respectively)\(^17,18\). Meanwhile, band 10 had already re-sampling in 30 m, and desert vegetation varied depending on scales. That is, NDVI and TCI in each grid represented by the average of all the grids within the range of 3 times and 11 times the grid side length of the original value, respectively.

Results Spatial pattern of NDVI and TCI in different transition zones. According to the results of NDVI and TCI analysis on different scales (Fig. 2), NDVI shows a decreasing trend from the oasis boundary to the desert, which trend is more obvious with the analysis scale from 90 m to 330 m (Fig. 2a,b). The trend of TCI, different from that of NDVI, increases from the edge of the oasis to the interior of the desert. This trend is also more prominent with increasing focal analysis (Fig. 2c,d). Comparing the two boundary types, the NDVI of the oasis-artificial sand-fixation transition zone (on the left side of Fig. 2) is much higher than the oasis-natural sandy desert transition zone (on the right side of Fig. 2) with NDVI from 0.0953 to 0.1483 occupying a large area. In contrast, TCI is significantly higher in the oasis-natural sandy desert transition zone than in the oasis-artificial sand-fixation transition zone with an absolute advantage of over 0.3453. In conclusion, NDVI and TCI are characterised by opposite trends on different scales in different transition zone types, laying a foundation for transition zone width identification.

To validate the relationship between NDVI and TCI with different scales, we conducted a focused analysis to verify the differences between two types of transition zone. As shown in Fig. 3a, on the scale of 90 m, the TCI_{\text{max}}
and TCI\textsubscript{min} of oasis-sandy desert transition zone form a closed triangle which intersects at NDVI 0.32 and TCI 0.48. The slope of TCI\textsubscript{max} is 3.66 times higher than that of TCI\textsubscript{min}, which means that the TCI\textsubscript{max} increases with the NDVI in the transition zone with a greater the attenuation rate than that of the TCI\textsubscript{min}. In the oasis-artificial sand-fixation transition zone, TCI\textsubscript{max} and TCI\textsubscript{min} form an open triangle with no intersection between NDVI and TCI on the 90 m scale (Fig. 3c). The slope of TCI\textsubscript{max} is 3.79 times higher than that of TCI\textsubscript{min} with the TCI being the largest. The value increases with the NDVI and the decay rate is greater than the minimum. Also, seen from the TCI\textsubscript{max} and TCI\textsubscript{min} significance tests in Fig. 3a,c, the linear trend of NDVI and TCI\textsubscript{max} is significant (P < 0.001) while the linear trend with TCI\textsubscript{min} is not (P > 0.01). Thus, on the 90 m scale, the relationship between NDVI and TCI\textsubscript{min} is relatively complex (not significant), and the relationship between NDVI and TCI\textsubscript{max} is relatively simple (very significant). On the 330 m scale, TCI\textsubscript{max} and TCI\textsubscript{min} are approximately parallel within the study threshold, and the NDVI-TCI scatter is more convergent compared to the 90 m scale (Fig. 3b,d). The slope of TCI\textsubscript{max} and TCI\textsubscript{min} is 1.17 in the oasis-sand desert transition zone and 1.27 in the oasis-artificial sand fixation transition zone. With the increase of the analysis scale, the unsynchronization of the maximum and minimum attenuation of TCI with NDVI will significantly decrease. Moreover, it can be seen from Fig. 3b,d that the linear trend of NDVI with maximum and minimum TCI is significant (P < 0.001). Thus, on the 330 m scale, the relationship between NDVI and TCI\textsubscript{max} and TCI\textsubscript{min} is relatively simple and has a high degree of credibility.

**Width identification in different transition zones.** To calculate the width of different transition zones, the buffer analysis was applied to determine the intersections between NDVI and TCI that represent the width of the transition zones. In the oasis-sand desert transition zone, NDVI and TCI intersect at 30 m from the oasis boundary on the 90 m scale. TCI increases on the 30–220 m scale while NDVI shows a decreasing trend. When the buffer is over than 220 m, the two curves are substantially parallel (Fig. 4a). On the 330 m scale, the trend of the two curves resembles that of the 90 m scale with NDVI and TCI intersect at 90 m from the oasis boundary. However, the trend is opposite when NDVI and TCI intersect in the 90–300 m interval, but they also approximately parallel when the analysis scale is over 300 m (Fig. 4b).

In the oasis-artificial sand-fixation transition zone, the spatial variation trend of NDVI and TCI differs significantly from the sandy desert one. On the 90 m scale, the two intersect at 90 m from the oasis boundary with TCI and NDVI parallel in the 90–540 m interval. When the analysis scale is over 540 m, TCI shows an increasing
trend while NDVI shows a decreasing trend (Fig. 4c). On the 330 m scale, the trend of the two curves resembles that on the 90 m scale. NDVI and TCI also intersect at 150 m with a shortened parallel interval. In the 150–420 m interval, the trend of TCI and NDVI are relatively parallel. When the buffer is over 420 m, the trend is consistent with that on the 90 m scale (Fig. 4d).

The analysis above shows that the spatial variation trend analysis of NDVI and TCI can determine the differences in spatial gradients of vegetation and meteorological indicators among diverse transition zone types based on the analysis scale. NDVI and TCI have a point of convergence in different transition zones, the two trends showed significant changes from the beginning to the intersection. The two indices also have an inflexion point, and the trend is inconsistent before and after the inflexion point (Fig. 4). In conclusion, the width of the oasis-sandy desert transition zone is significantly smaller than that of the artificial sand-fixation zone, and the trend is opposite to the increasing analysis scale.

Discussion
The oasis-desert transition zone is significant in maintaining the stability of oasis and conserving ecosystem health. Recent years have seen studies in vegetation spatial heterogeneity, transitional vegetation productivity and landscape pattern change. However, due to the lack of quantitative analysis of transition zone width in these studies, the sampling design could not be standardised within the threshold of the transition zone, weakening the comparability between research results. Thus, the methodological issue of standardising the width of the oasis-desert transition zone for research needs to be solved.

The remote sensing data analysis of vegetation temperature conditions has been widely used in the analysis of drought conditions in North America and water shortage in winter wheat production in China. Landsat 8, a satellite that can be used to analyse regional drought conditions, has an improved accuracy from NOAA-AVHRR 1 × 1 km to MODIS 1 × 1 km to 100 × 100 m. A series of research methods have also been developed, such as TCI, VTCL, and WSVI, using NDVI and ground brightness (indirect temperature or the brightness temperature converted by the Planck function) as the indicators. The analysis of this study shows two opposite trend of NDVI and TCI with the 330 m scale more general than the 90 m scale and the NDVI and TCI gradients especially prominent in the oasis-desert transition zone (Fig. 2b,d).

On the one hand, in the oasis-desert transition zone, although the TClmax reaches a significant level, a strong theoretical constraint is on the maximum NDVI gradient. In fact, some NDVI are still between 0.06–0.10 and 0.28–0.32 above the TClmax (Fig. 3a). However, this phenomenon disappeared on the 90 m scale of the oasis-artificial sand-fixation zone (Fig. 3c). On the other hand, it can be a useful constraint on the NDVI trend while NDVI shows a decreasing trend (Fig. 4c). On the 330 m scale, the trend of the two curves resembles that on the 90 m scale. NDVI and TCI also intersect at 150 m with a shortened parallel interval. In the 150–420 m interval, the trend of TCI and NDVI are relatively parallel. When the buffer is over 420 m, the trend is consistent with that on the 90 m scale (Fig. 4d).

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minimum, despite that the TCI$_{\text{min}}$ relationship at the same scale is insignificant. The drawback is that NDVI values are smaller than TCI$_{\text{min}}$ in the spectrum, and the NDVI in the sandy desert and artificial sand-fixation transition zone are at 0.09–0.23 and 0.12–0.30, respectively. The result is similar to the results of Patel (2012), Maduako (2017) and Khan (2018) in Gujarat, India, Enugu, Nigeria and Punjab Plain, Pakistan, where TCI$_{\text{max}}$ and TCI$_{\text{min}}$ have convergent constraints on NDVI-TCI in a foreseeable triangle$^{20,22–24}$. However, the TCI$_{\text{min}}$ correlation in this study is much lower than that of Patel's (2012) LST(TCI)$_{\text{min}}$, but its convergence is higher than other similar findings$^{24–26}$ (they used a parallel X-axis that is smaller than the minimum TCI$_{\text{min}}$). This is due to the characteristic of the ecosystem in the oasis-desert transition zone where oasis and desert are very different in vegetation productivity and surface temperature, a difference that is the most significant in terrestrial ecosystems$^{4,17,18}$. We can see from Fig. 3 that TCI decreases with the increase of NDVI, that is, the increase of surface vegetation cover will lead to the decrease of surface temperature.

Both TCI$_{\text{max}}$ and TCI$_{\text{min}}$ results are significant at the 330 m scale. It can be seen in Fig. 3b,d that TCI$_{\text{max}}$ and TCI$_{\text{min}}$ have a functional constraint on TCI and that TCI and NDVI are linearly distributed. This result indicates that when using large-scale analysis, the relationship between TCI and NDVI is less affected by spatial heterogeneity, which is conducive to identifying the overall trend. The result shows that the NDVI-TCI relationship reflected at the 330 m scale has integrated the discreteness of TCI$_{\text{max}}$ and TCI$_{\text{min}}$ on the 90 m scale, a consistent observation with the hierarchical structure theory's small-scale variation irregularity which tends to increase the orderliness as the scale increases$^{18,27}$. Besides, after comparing the two transition zones' NDVI-TCI scatter and the distribution patterns of TCI$_{\text{max}}$ and TCI$_{\text{min}}$, it can be found that the oasis-sandy desert transition zone (Fig. 3a,b) is more discrete than the oasis-artificial sand-fixation transition zone (Fig. 3c,d) because in Fig. 3a,b, the points above TCI$_{\text{max}}$ and below TCI$_{\text{min}}$ are significantly more than in Fig. 3c,d, showing that the spatial heterogeneity of surface temperature in the oasis-sandy desert transition zone is more significant than that of the oasis-artificial sand-fixation area. This result is consistent with the variation of surface temperature in different ecosystems in Africa using MODIS data, meaning that the vegetation coverage is different from the ground temperature change in different ecosystems$^{36}$. It should be emphasized that the relatively well soil moisture and vegetation conditions on the outer edge of the oasis may lead to an increase in surface evapotranspiration, increase the water content in the adjacent atmosphere and affect the accuracy of land surface temperature (brightness) retrieval$^{5,11}$. However, this effect can be reduced to some extent by selecting bands that are less affected by moisture. At the same time, the difference of site conditions in this study shows that the discreteness of the distribution pattern of the oasis-sandy desert transition zone is more obvious than that of the oasis-artificial sand fixation area.

According to the results, on the 90 m analysis scale, the width of the oasis-sandy desert transition zone is 220 m and artificial sand-fixation transition zone 540 m; on the 330 m scale, the width of the oasis-sandy desert transition zone is

![Figure 4. Spatial variation trend of NDVI-TCI with different resolutions in the oasis-sand desert transition zone (a, oasis sandy desert transition zone, resolution 90 m; b, oasis sandy desert transition zone, resolution 330 m; c, oasis sandy Desert artificial sand-fixation transition zone, resolution 90 m; d, oasis sandy desert artificial sand-fixation transition zone, resolution 330 m).](https://www.nature.com/scientificreports/.../fig4.png)
zone is 300 m and artificial sand-fixation zone 420 m. This phenomenon indicates that the scale effects are varied in different types of the transition zone. For the sandy desert transition zone, the width decreases as the analysis scale increases, while the trend of the oasis-artificial sand-fixation transition zone is the opposite. The width of the transition zone in the artificial sand fixation area at different scales is obviously larger than that in the sandy desert, which is directly related to the groundwater depth at the edge of the oasis, because the artificial sand fixation in the arid area is chosen in the area with shallow groundwater table. Groundwater supply is the most important factor to ensure the control effect of artificial vegetation. The changes of NDVI and TCI have two characteristics. First, NDVI and TCI have a steep intersection, indicating a rapid change of vegetation and surface temperature near the edge of the oasis in the transition zone; second, an inflexion point appears after the intersection. The comparison of the two transition zones show that under different analysis scales, the steep transition point of the sandy desert transition zone is closer to the edge of the oasis, they are 30 m and 90 m, respectively (Fig. 4a,b); while the transition zones of the artificial sand-fixation zone are far apart, they are 90 m and 150 m, respectively (Fig. 4c,d). It is noticeable that NDVI and TCI trends in two transition zone types are different with the changes of two indicators in the transition zone of sandy deserts tending to be parallel as the distance from the oasis boundary increases. However, the trend in the oasis-artificial sand-fixation area is less salient, indicating an increasing survival rate and preservation rate of artificial vegetation adjacent to the sandy desert. The transition zone of desert oasis has important practical significance for maintaining the stability of ecological environment. The identification of the width of the transition zone for two different ecological regions plays an important guiding role in maintaining the stability and biodiversity of the local ecosystem. At the same time, in the arid inland areas, water source is often an important factor that determines the growth of plants, surface precipitation and groundwater affect the growth of vegetation at the same time, and the height of groundwater in the oasis-desert transition zone is different from that in the oasis-artificial sand fixation area, which also directly leads to the different spatial distribution pattern of aboveground vegetation, which has an impact on the distribution location of the transition zone.

Our study made an improvement for width identification of different transition zones, and the results of different width among two types transition zone could provide references for oasis protection. This paper considers dry edge factors, but the accuracy of the width is still affected by climate factors. In the further studies we will take consideration of precipitation so that it can further improve the accuracy of detecting the width.

At the same time, taking the desert-oasis transition zone as an example, the clear spatial definition of the ecological ecotone of different ecosystems can provide some ideas for other similar environments, such as forest-grass junction zone, forest-field junction zone, snow line monitoring and so on. It is of great significance to maintain the stability of the ecological environment and control the impact of human activities on the natural environment.

Conclusions
In the oasis-desert transition zone, NDVI and TCI can capture the different trends of vegetation and surface temperature in spatial gradients. TCI_{max} and TCI_{min} analyses indicate that TCI_{max} and TCI_{min} can constrain this range of trend variation, and the effect increases with the analysis scale. On the same spatial scale, NDVI and TCI have opposite trends and have intersections. Among them, the intersection of the sandy desert transition zone is between 30–90 m, and the oasis artificial sand-fixation zone is between 90–150 m. The width of different transition zone types is different and affected by scale effect. The width of the sandy desert transition zone is between 220–300 m, and the width increases with the increase of analysis scale. The oasis artificial sand-fixation zone is between 420 and 540 m, which decreases with the increase of the analysis scale.

Data availability
The Landsat data are publicly available here: https://earthexplorer.usgs.gov/.

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**Author contributions**

C.X. designed the study, J.S. and B.X. performed the research, J.S. wrote the manuscript, W.L. and QIAO R. participated to data analysis and revised this manuscript. All authors discussed results and have given approval to the final version.

**Competing interests**

The authors declare no competing interests.

**Additional information**

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