Serum Folate Status Is Primarily Associated With Neurodevelopment in Children With Autism Spectrum Disorders Aged Three and Under—A Multi-Center Study in China

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Background: Autism spectrum disorder (ASD) is a complex neurodevelopmental disorder. Folate has been demonstrated to be associated with ASD. However, current studies on the correlation between folate and symptoms of children with ASD have inconsistent conclusions, use mainly small samples, and lack age-stratified analysis. This study aimed to explore the association between serum folate and symptoms of autistic children at different age groups from a multi-center perspective.

Methods: We enrolled 1,300 children with ASD and 1,246 typically developing (TD) children under 7 years old from 13 cities in China. The Autism Behavior Checklist (ABC), Social Responsiveness Scale (SRS), and Childhood autism rating scale (CARS) were used to evaluate the symptoms of children with ASD. China neuropsychological and Behavior Scale-Revision 2016 (CNBS-R2016) scale was used to evaluate the neurodevelopment of children with ASD. Serum folate was measured by chemiluminescence assay in the two groups.

Results: The serum folate levels of children with ASD were lower than that of TD children. In terms of core symptoms of ASD, we found that the serum folate levels were not associated with ABC, SRS, and CARS scores in ASD children of all ages but negatively associated with communication warning behavior scores of CNBS-R2016.
INTRODUCTION

Autism spectrum disorder (ASD) is a developmental disability characterized by persistent impairments in social interaction and the presence of restricted, repetitive patterns of behaviors, interests, or activities (1). Recently, the prevalence of autism has increased steeply. According to the latest estimation done by the American Center for Disease Control and Prevention, on average, 1 out of 54 children aged 8 has been identified with ASD (2). The data from China in 2019 also showed that the prevalence rate of children with ASD aged 6–12 can be as high as roughly 0.7% (3). This increasing trend has brought an enormous burden on families and society (1).

The etiology of ASD is complex. Genetic (4, 5) and environmental factors (6–9) may play a key role in the pathogenesis of ASD. Nutrients are important environmental factors. Many studies have found that micronutrient levels of children with ASD differ from those of normal children (10). For instance, folate (vitamin B9) plays critical roles in the nervous system, including normal brain development and maintenance function (11). Multiple recent studies demonstrated that folic acid supplementation in pregnancy, as well as the blood and urine folate levels, is correlated with the incidence of ASD (12). Several studies have reported that blood and urine folate levels of children with ASD were lower than that in typically developing (TD) children (13, 14). Our previous surveys on serum folate between autistic children and control children showed the same result.

The correlation between folate level and ASD symptoms has also been studied by some researchers. Alun et al. reported that serum folate level was reduced in children with ASD, and serum folate level was negatively associated with the severity of ASD core symptoms when assessed by the Childhood autism rating scale (CARS) (13). However, another study found that the serum folate levels were not associated with the CARS scores of ASD children (15). Our previous single-center study showed that serum folate was mainly associated with the development quotient rather than scores on the Autism Behavior Checklist (ABC) and CARS of ASD children (16). These inconsistent conclusions may be due to the different ethnicities, different symptom assessment scales, single-center research, small sample sizes, and so on. Therefore, large-sample and multi-center studies are needed to further explore whether folate is more closely related to the core symptoms or neurodevelopmental levels of children with ASD. This is especially important as the critical period of a child’s brain is between pregnancy and 3 years of age (17–19), and brain development is most easily affected by external environmental factors during this period (20). Hence, researchers suggest that the earlier ASD children receive behavioral intervention, the more likely they are to improve symptoms (21, 22). For example, the study of Ramaekers et al. showed that the degree of improvement of ASD symptoms by folic acid treatment decreased with age (23). Therefore, the association of folate with core symptoms and neurodevelopment levels in children with ASD may vary in different age groups. However, there is still no study focusing on the relationship between folate and core symptoms and neurodevelopment levels of ASD children after age stratification.

Given this background, in this paper, our overarching goal was to determine the associations between serum folate status and core symptoms and neurodevelopmental levels of ASD children at different age groups from a multi-center perspective. Within this goal, we pursued two aims. First, we investigated the serum folate status between ASD children and TD children. Second, we sought to understand the serum folate effect on ASD symptoms and developmental levels at different age stages.

METHODS

Study Population

In this multi-center study, participants were recruited from May 2018 to December 2019 across 13 cities in five geographic areas of China (participating centers and the enrollment of the subjects are shown in Figure 1). A total of 2,546 children...
under 7 years old, including 1,457 ASD children and 1,305 typically developing (TD) children, participated in the study. Among these participants, 1,404 ASD children and 1,278 TD children's blood samples were obtained. Because hemolysis or chylous occurred in blood samples of 104 ASD children and 32 TD children, these children were excluded from the analysis. As a result, the final sample size consisted of 2,546 children, including 1,300 ASD children and 1,246 TD children. ASD children were recruited from a variety of sources including hospital rehabilitation or training centers and special needs schools. Children with a DSM-5 clinical diagnosis of autism spectrum disorder by an experienced developmental pediatrician and a psychologist of the children's hospital at each center were included in the study. The exclusion criteria were as follows: a history of other developmental disorders or psychiatric diseases, such as Rett Syndrome, cerebral palsy, chronic seizures, and other congenital diseases; a history of infection during the previous 3 months; and the use of any folate supplementation in the previous 3 months. The control group was recruited from local preschools and online registration without a history of motor or language impairments, or social developmental disorders, according to the reports of their parents and teachers. None of the children in the control group had an acute or chronic infectious disease in the past 3 months, and none of these children received any folate supplementation during the previous 3 months.

All participants volunteered for this study. Informed consent was provided by the primary caregiver. The study protocol was approved by the ethics committee of the Children's Hospital of Chongqing Medical University, Approval Number: (2018) IRB (STUDY) NO. 121 and registered in the Chinese Clinical Trial Registry (ChiCTR) (Registration number: ChiCTR2000031194).

**Clinical Measures of Children With ASD**

The caregivers of the ASD and control children completed the information questionnaires including questions concerning demographic data (e.g., name, age, and gender), medical history, and the preference of foods. Symptoms of ASD were assessed with the Autism Behavior Checklist (ABC), Social Responsiveness Scale (SRS), and Childhood Autism Rating Scale (CARS). The ABC is a behavior rating scale used to measure behavior problems across five subscales: sensory, relating, stereotypic behavior, language, and social self-help. Scores for children without autism should be <53 (24). The SRS is an assessment scale of social impairment within autism across five subscales, including social awareness, social communication, social motivation, and autistic mannerisms. Typically, the SRS score for normal children should be <65 (25). The CARS is an assessment scale of the severity of autistic symptomatology. It consists of 15 items that are rated on a four-point scale. Children with scores between 30 and 36 are considered as having mild-moderate autism and scores between 37 and 60 are considered as severe autism (26). Neurodevelopmental levels of children with ASD were evaluated with Children Neuropsychological and Behavior Scale-Revision 2016 (CNBS-R2016) in China. The CNBS-R2016 is a diagnostic assessment tool developed by the Capital Institute of Pediatrics in China. The CNBS-R2016 and the Griffiths Mental Development Scales (GDS-C) tests have good consistency in the developmental assessment of children with ASD (27). It includes six subscales: gross...
motor, fine motor, adaptive behavior, personal-social, language, and communication warning behavior. The communication warning behavior is an independent subscale and an assessment of autism symptoms. A general or subscale quotient <70 points (<2 standard deviations (SDs)) indicates a developmental delay (DD). For the subscale of communication warning behavior, a quotient <7 points indicates typical development; a quotient between 7 and 12 points indicates a need for follow-up. A quotient between 12 and 30 points indicates a risk of communication and interaction disorder; a quotient >30 points indicates a high suspicion of ASD. In our study, the number of valid ABC, SRS, and CARS were 1215, 1108, and 1105, respectively. The valid number for CNBS-R2016 was 934.

**Laboratory Measurements**

Five milliliters (collected by a dry and additive-free tube) of venous blood were drawn from the participant’s median cubital vein by venipuncture. After centrifugation at room temperature at 3,000 rpm for 10 min, the serum was transferred to a microcentrifuge tube and stored at −80°C before the measurements of folate. Automatic chemiluminescence immunoassay (mindray, CL-1000i, China) was used to quantitatively determine the serum level of folate.

**Statistical Analysis**

The Kolmogorov–Smirnov goodness-of-fit test was used to test the distribution of each dataset for normality before analysis. Continuous variables are presented as the means (with SEM) or medians (with interquartile ranges, IQR). Categorical variables were described as N (%). Differences among groups were assessed by using the Chi-Square test, Mann-Whitney test, and Kruskal-Wallis with the Bonferroni post hoc test. Univarite (no adjustment) and multivariate (adjusting for age and gender) linear regression models were applied to compare folate levels between the TD children and the ASD children. According to age, we divided the ASD children into four groups: ≤3 years, 3–4 years, 4–5 years, and >5 years. Then Spearman correlation analysis was used to explore the association of folate levels with symptoms and neurodevelopmental levels of ASD children at different age groups. Statistical software SPSS (SPSS 26.0, Inc., USA) was used to conduct the statistical analysis. In this study, a p-value <0.05 is considered to be statistically significant.

### RESULTS

#### Participant Demographic Characteristics Between TD and ASD Children

This study enrolled 1,300 autistic children, including 1,069 boys and 231 girls, with a median (IQR) age of 3.95 (3.12–4.90) years. The control group is comprised of 1,246 TD children, of which 819 were male and 427 were female, with a median (IQR) age of 4.42 (3.38–5.38) years. There were differences in the age distribution and the gender ratio between the two groups (P < 0.05). As shown in Table 1, the percentages of picky eating (59.54 vs. 34.29%), resistance to vegetables (28.46 vs. 18.49%), and resistance to meats (10.33 vs. 5.72%) in the children with ASD were significantly higher than those of the TD children (P < 0.05, respectively).

#### Serum Folate Levels in TD and ASD Children

Previous research has reported that the variation in the level of serum folate is strongly relevant for ASD (14, 28). Therefore, we detected the levels of serum folate in the participants. The results of univariate (no adjustment) and multivariate (adjusting for age and gender) linear regression models are shown in Table 2. The unadjusted model showed that the serum folate levels of children with ASD were significantly lower than those of TD children (β = −0.540, 95% CI: −0.858−−0.222, P < 0.05). After adjusting for age and gender (adjusted model), the difference of folate between the two groups remained significant (β = −0.863, 95% CI: −1.166−−0.559, P < 0.05). Further gender-stratified analysis showed that children with ASD exhibited lower serum

### Table 1 | Comparison of demographic characteristics between TD and ASD children.

| Variable                      | TD (n = 1,246) | ASD (n = 1,300) | Test  | P     |
|-------------------------------|---------------|----------------|-------|-------|
| Age (years), Median (IQR)     | 4.42 (3.38–5.38) | 3.95 (3.12–4.90) | Z = −6.197 | <0.001 |
| Gender, n (%)                 |               |                |       |       |
| Male                          | 819 (65.73)   | 1,069 (82.23)  | χ² = 90.382 | <0.001 |
| Female                        | 427 (34.27)   | 231 (17.77)    |       |       |
| Ethnicity, n (%)              |               |                |       |       |
| Han                           | 1,121 (95.24) | 1,170 (93.53)  | χ² = 3.358 | 0.067  |
| Others                        | 56 (4.76)     | 81 (6.47)      |       |       |
| Picky eating, n (%)           | 408 (34.29)   | 749 (59.54)    | χ² = 156.464 | <0.001 |
| Resistance to vegetables, n (%) | 220 (18.49)  | 358 (28.46)    | χ² = 33.706 | <0.001 |
| Resistance to meats, n (%)    | 68 (5.72)     | 130 (10.33)    | χ² = 17.454 | <0.001 |

Data was shown as Median (IQR) or number (percentage). Chi-square test and Mann-Whitney test were used in the analysis. ASD, autism spectrum disorder; TD, typically developing; IQR, interquartile range.
folate levels than TD children both in the male ($\beta = -0.878$, 95% CI: $-1.233$ to $-0.524$, $P < 0.05$) and female groups ($\beta = -0.813$, 95% CI: $-1.401$ to $-0.226$, $P < 0.05$) after adjusting for age (Table S1).

**Associations of Mealtime Behaviors, Age, and Gender With Serum Folate Levels in Autistic Children**

Folate must be derived from the diet since the body cannot produce it de novo. Food rich in folate includes leafy vegetables, beans, nuts, and animal viscera. We further investigated the relationship between eating behaviors and serum folate levels. As shown in Figure 2A, serum folate levels were lower in autistic children with resistance to vegetables habit than those without this habit ($P < 0.05$). However, there were no significant differences in the serum folate levels of autistic children between the non-resistant to meats group and resistant to meats group ($P > 0.05$) (Figure 2B). We also examined the relationships between age and gender and serum folate levels in the ASD group. The result showed that age was negatively associated with serum folate levels ($r_s = -0.3248$, $P < 0.05$) (Figure 2C). However, there was no difference between gender and serum folate ($P > 0.05$) (Figure 2D).

**Associations Between Serum Folate Levels and ABC, SRS, CARS and Communication Warning Behavior of CNBS-R2016 Scores of Children With ASD at Different Age Groups**

We divided the children with ASD into four groups by age: ≤3 years old, 3–4 years old, 4–5 years old, and >5 years old. Then we examined the associations between serum folate with ABC, SRS, CARS, and communication warning behavior of CNBS-R2016 scores at each age group. Our results showed that there were no significant correlations between serum folate levels and subscale of ABC, total ABC, subscale of SRS, total SRS, and total CARS scores at each age group ($P > 0.05$, respectively). However, serum folate level was negatively associated with communication warning behavior of CNBS-R2016 scores at the age of three and under ($P < 0.05$). In other age groups, no such association was observed (Table 3).

**Comparison of CNBS-R2016 Scores at Different Serum Folate Levels in ASD Children Aged Three and Under**

So far, our reference criteria for folate deficiency comes from the reference values of WHO (< 4 ng/mL) (29) and domestic study in Guangdong (< 3.1 ng/mL), but these reference values come from adults. Consequently, there was no adequate value to judge whether children suffer from folate deficiency. As a result, according to the median of serum folate, we divided the autistic children aged three and under into two groups: folate ≤ 14.33 ng/mL group and folate > 14.33 ng/mL group. We further analyzed the differences of CNBS-R2016 scores between the groups mentioned above. As shown in Figure 4,
compared with patients with folate ≤ 14.33 ng/mL, patients with folate > 14.33 ng/mL had higher general quotient, gross motor quotient, fine motor quotient, adaptive behavior quotient, language quotient, and personal-social quotient (P < 0.05). In addition, the score of communication warning behavior in patients with folate > 14.33 ng/mL was lower than that in patients with folate ≤ 14.33 ng/mL (P < 0.05). These results suggest that at the age of three and under, relatively higher serum folate levels are more beneficial to cognition function development in children with ASD.

**DISCUSSION**

The etiology of ASD is not yet fully understood. While genetics is now a well-established risk factor, some data does support a contributing environmental factor well (6). Folate is an important environmental factor and can affect neurobiological development (30). Several studies have focused on the role of folate in ASD symptoms and neurodevelopment levels (14, 15, 31). However, these studies have not investigated the associations of folate and ASD symptoms and neurodevelopment levels stratified by age. In our study, we focused on the associations between serum folate level and the core symptoms and neurodevelopmental level of children with ASD at different age groups.

Folate is indispensable for normal neuronal function, and its serious deficiencies might directly affect brain function (32). Several studies have shown that the folate level of children with ASD differs from those of healthy children. Yahya M et al. found that compared with TD children, children with ASD have significantly lower folate levels in their diet and serum (33). However, there was another study that found children with ASD and healthy children differed significantly regarding vitamin B12 and homocysteine levels, but not folate levels (15). In our study, compared with TD children, children with ASD exhibited lower serum folate levels. Moreover, the serum folate levels in ASD children were still lower than those in TD children after gender stratification. Our result indicates that ASD children are more likely to have low folate levels. Some studies indicated that abnormal mealtime behaviors could cause nutritional vulnerability and consequently aggravate ASD symptoms (10, 34). Feeding problems, such as being a picky eater, lack of food variety, and resistance to new foods are more prevalent than in typically developing children. It is reported that children with ASD often prefer energy-dense foods such...
as meat, sugar-sweetened beverages, and snacks, and reject vegetables and fruits (34, 35). In our study, children with ASD exhibited more picky eating, especially resistance to vegetables, as compared with TD children. ASD children with a resistance to vegetables habit also exhibited lower serum folate levels, while such a difference was not observed in the autistic children with resistance to meats habit. It may be because folate cannot be produced by the body in *de novo*, and it must be derived from dietary sources and green leafy vegetables which contain the most folic acid. Additionally, our study found that age was negatively associated with serum folate levels and the serum folate levels were relatively high at younger ages. Similarly, the Helena Study showed that plasma folate concentrations decreased across age categories in European adolescents (36). Our results suggest that the serum folate status of autistic children may be associated with the intake of vegetables and the age of children.

Previous studies have explored the associations between folate and the symptoms of ASD. One study found that the CARS score of children with ASD was negatively associated with serum folate
### TABLE 4 | Effect of serum folate levels on CNBS-R2016 scores in the ASD children at different age groups.

| CNBS-R2016          | ≤3y (n=177)          | 3~4y (n=302)       | 4~5y (n=238)       | >5y (n=217)        |
|---------------------|----------------------|-------------------|-------------------|-------------------|
|                     | $r_s$       | $P$       | $r_s$       | $P$       | $r_s$       | $P$       | $r_s$       | $P$       |
| GQ                  | 0.177      | 0.018*   | −0.054      | 0.347      | −0.034      | 0.602      | −0.047      | 0.489      |
| Gross motor         | 0.204      | 0.006**  | −0.06       | 0.298      | −0.054      | 0.406      | −0.053      | 0.436      |
| Fine motor          | 0.159      | 0.035*   | −0.057      | 0.326      | −0.06       | 0.358      | −0.059      | 0.387      |
| Adaptive behavior   | 0.065      | 0.392    | −0.068      | 0.237      | −0.004      | 0.948      | −0.015      | 0.829      |
| Language            | 0.2        | 0.008**  | −0.018      | 0.755      | 0.028       | 0.672      | −0.025      | 0.709      |
| Personal-social     | 0.119      | 0.114    | −0.046      | 0.427      | −0.091      | 0.162      | −0.003      | 0.961      |

Data was presented as correlation coefficients of spearman. Spearman rank correlation was used in the analysis.

* $P < 0.05$; ** $P < 0.01$.

CNBS-R2016, Children Neuropsychological and Behavior Scale-Revision 2016; GQ, general quotient.

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level (13). An open-label trial demonstrated that a 3-month folic acid intervention in autistic children participating in structured teaching significantly improved symptoms of autism (37). Since the clinical manifestations of children with ASD may be different at different ages (38), the associations between folate and ASD symptoms may vary by age. However, age-stratified analysis was not performed in these studies. In this study, we explored the relationship between serum folate and core symptoms and developmental levels of children with ASD by age stratification. After stratification, we found that, at each age group, serum folate levels were not associated with ABC, SRS, and CARS scores of children with ASD. However, the serum folate was negatively associated with the communication warning behavior score of CNBS-R2016 among children with ASD aged three and under.

In terms of development quotients, those with ASD-moderate and below DD had lower serum folate levels than those in the ASD-noDD and ASD-mild DD at the age of three years and under. Further correlation analysis found that serum folate was positively associated with gross motor, fine motor, language, and general development quotients of children with ASD aged three years and under. These results suggest that the serum folate was closely related to the cognitive functioning of ASD children. Interestingly, Min Guo et al. also found that serum folate concentration was positively correlated with development quotients but was not correlated with ABC and SRS scores (14). Moreover, a study by Yekta¸s et al. reported that serum folate levels were not associated with CARS total and item scores (15).

As a summary, the findings on the association of folate with autism spectrum disorder and development have shown promise, with further research needed to elucidate the mechanisms and potential therapeutic benefits of folate supplementation in ASD populations.
ASD symptoms were inconsistent, possibly due to factors such as different ethnicities, different ability to assess symptoms by scales, and different age range of participants.

Our study also found that the association of serum folate with neurodevelopment levels and the communication warning behavior scores among ASD children was in the 3 years and under group rather than the other age groups which partially supported the results of some previous studies. For example, Remaekers et al. performed folinic acid treatment on children with low-functioning autism and with one or more of the major features of the infantile-onset cerebral folate deficiency (CFD) syndrome, and found that patients diagnosed early (2 and 3.2 years, respectively) had greater improvement in symptoms and the effect of folic acid treatment on intellectual disability and ASD core symptoms decreased with advancing age (23). The American Academy of Pediatrics proposed that the most active period of neurodevelopment occurs in the first 1,000 days of life, during which nutrients such as iron, folate, and vitamin B12 are key factors in children's neurodevelopment and lifelong mental health (39). Folate provides methyl donors for phospholipids to form methylated phospholipids, which are required for the formation of myelination (40). The most significant period of myelination occurs from mid-gestation to age 2 years (41). Pujol et al. found that after 18 months, once the language brain attains the rapid myelination phase, children's language ability accelerates in vocabulary (42). Additionally, folate is essential for de novo purine and pyrimidine nucleotides synthesis to play a role in cell division and proliferation such as neural stem cells (43). The neuronal proliferation and differentiation are related to the formation of synapses, which peak in the 2nd and 3rd year after birth (44). Evidence proved that physiological processes such as neuron proliferation, myelination, and synapse formation are very important to the neural development of the brain (40).

Therefore, during the rapid brain development phase, folate may affect neurodevelopment more easily, but the specific mechanism remains to be studied further.

Additionally, it should be noted that although the associations of folate with growth and development have been studied for decades, it is difficult to determine the true folate concentration in the low folate status among children because there is no folate-specific reference value for children. Therefore, we divided the patients aged 3 years and under into two groups according to the median level of serum folate, and compared the difference of development quotient at different serum folate levels in autistic children. The results showed that among autistic children aged 3 years and under, compared with the folate < 14.33 ng/mL group, the score of communication warning behavior was lower and the neurodevelopmental quotient in the folate ≥ 14.33 ng/mL group was higher. Our study firstly suggests that relatively higher folate levels may be more beneficial to neurodevelopment in autistic children. However, which concentration is most beneficial to the neurodevelopment of autistic children still needs to be studied further.

However, there exists some limitations in the present study. Firstly, the genders and ages of the two groups were not matched. Secondly, the transport of peripheral folate to the brain is related to folate receptors which can be blocked by folate receptor auto-antibodies (FRAA (45), reduced folate carrier (43), mitochondria (46) and so on. If transport dysfunctions, such as the presence of FRAA, the folate in the brain will decrease. Therefore, peripheral blood folate level cannot fully reflect the central folate status. However, in our study, no indicators associated with central folate status except for blood folate level were detected due to the limitations of research centers. Thus, our study may not fully and accurately show the relationships between folate and ASD symptoms. Finally, our study was a cross-sectional design, so the conclusion may not be very affirmative. Prospective and randomized control trials are required to further observe the results after folic acid treatment.

CONCLUSION

In the present study, we found that children with ASD had lower serum folate levels than typically developing children. Furthermore, serum folate was primarily associated with neurodevelopmental levels of children with ASD aged three and under. Relatively higher serum folate levels may be more beneficial to neurodevelopment in children with ASD. Therefore, it is necessary for children with ASD to actively evaluating folate status and ensure adequate folate level, especially in early life to better promote the intervention of children with ASD.

DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

ETHICS STATEMENT

The studies involving human participants were reviewed and approved by the ethics committee of the Children's Hospital of Chongqing Medical University, Approval Number: (2018) IRB (STUDY) NO. 121, and registered in the Chinese Clinical Trial Registry (Registration number: ChiCTR2000031194). Written informed consent to participate in this study was provided by the participants' legal guardian/next of kin.

AUTHOR CONTRIBUTIONS

QL, TY, L-JW, F-YJ, YH, LL, JZ, X-YK, M-JY, QH, J-JC, S-FF, Y-CW, QW, and C-HJ conducted data collection, process, and analysis. LC, YD, and T-YL performed the data analysis, and drafted and
revised the manuscript. All authors contributed to the article and approved the submitted version.

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SUPPLEMENTARY MATERIAL
The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fnut.2021.661223/full#supplementary-material

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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