Bacteriophage Treatment Rescues Mice Infected with Multidrug-Resistant *Klebsiella pneumoniae* ST258

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**ABSTRACT** Severe infections caused by multidrug-resistant *Klebsiella pneumoniae* sequence type 258 (ST258) highlight the need for new therapeutics with activity against this pathogen. Bacteriophage (phage) therapy is an alternative treatment approach for multidrug-resistant bacterial infections that has shown efficacy in experimental animal models and promise in clinical case reports. In this study, we assessed microbiologic, histopathologic, and survival outcomes following systemic administration of phage in ST258-infected mice. We found that prompt treatment with two phages, either individually or in combination, rescued mice with *K. pneumoniae* ST258 bacteremia. Among the three treatment groups, mice that received combination phage therapy demonstrated the greatest increase in survival and the lowest frequency of phage resistance among bacteria recovered from mouse blood and tissue. Our findings support the utility of phage therapy as an approach for refractory ST258 infections and underscore the potential of this treatment modality to be enhanced through strategic phage selection.

**IMPORTANCE** Infections caused by multidrug-resistant *K. pneumoniae* pose a serious threat to at-risk patients and present a therapeutic challenge for clinicians. Bacteriophage (phage) therapy is an alternative treatment approach that has been associated with positive clinical outcomes when administered experimentally to patients with refractory bacterial infections. Inasmuch as these experimental treatments are prepared for individual patients and authorized for compassionate use only, they lack the rigor of a clinical trial and therefore cannot provide proof of efficacy. Here, we demonstrate that administration of viable phage provides effective treatment for multidrug-resistant *K. pneumoniae* (sequence type 258 [ST258]) bacteremia in a murine infection model. Moreover, we compare outcomes among three distinct phage treatment groups and identify potential correlates of therapeutic phage efficacy. These findings constitute an important first step toward optimizing and assessing phage therapy’s potential for the treatment of severe ST258 infection in humans.

**KEYWORDS** *Klebsiella pneumoniae*, bacteriophage therapy, bloodstream infections, experimental therapeutics, multidrug resistance
Epidemiologic studies have revealed that sequence type 258 (ST258) is the predominant carbapenem-resistant lineage among *K. pneumoniae* clinical isolates in the United States and in many countries around the world (5–7). Resistance to carbapenems, which in ST258 is primarily conferred by *K. pneumoniae* carbapenemase (KPC), complicates the management of *K. pneumoniae* infections and is associated with poorer clinical outcomes (3, 8). Newer drugs that combine a cephalosporin or carbapenem with a potent β-lactamase inhibitor (e.g., ceftazidime-avibactam, meropenem-vaborbactam) have proven efficacious against KPC ST258 and other carbapenem-resistant *Enterobacteriaceae* (CRE), but resistance to these agents is emerging and expected to increase with continued use (9–11). Hence, novel approaches to treat infections caused by multidrug-resistant ST258 are urgently needed.

Bacteriophages (phages) are viruses that infect and kill bacteria as part of their natural life cycle. Although the concept of using phage as an anti-infective agent has been around for over a century, the number of patients with refractory bacterial infections who have been treated with phage has increased in recent years (12, 13). Positive clinical outcomes have been observed in multiple experimental cases, providing support for the notion that phages can confer therapeutic benefit (14–16).

The prevalence and severity of ST258 infections, in part due to the frequency of carbapenem resistance among ST258 isolates, render infections caused by these bacteria an attractive target for phage therapy. Phages that target multidrug-resistant *K. pneumoniae* have been administered to at least one patient to date after multiple courses of antibiotics failed to resolve a mixed *K. pneumoniae*-Acinetobacter baumannii wound infection (16). In that case, the infection cleared and the patient avoided amputation after receiving phages directed against both pathogens (while continuing to receive conventional antibiotic therapy).

More robust evidence demonstrating the therapeutic potential of *K. pneumoniae*-specific phages has come from animal studies. In animal models of *K. pneumoniae* pneumonia, liver abscess, and wound infection, phage treatment improved survival outcomes and reduced bacterial burdens of infection (17–21). This led us to question whether phage treatment could provide similar positive effects in the setting of acute ST258 sepsis. To address this knowledge gap, we evaluated the ability of two phages, administered singly and in combination, to rescue mice with ST258 bacteremia.

**RESULTS**

**Mouse model of bacteremia.** Wild-type C57BL/6J mice were injected intraperitoneally (IP) with $10^6$ to $10^8$ CFU of ST258 to determine the optimal dose for phage efficacy testing (Fig. 1). Infection via IP injection of bacteria led to acute systemic disease at the highest doses tested. Weight loss and moribundity that necessitated euthanasia occurred exclusively within the first 48 h of infection, after which time any survivors convalesced for the remainder of the study period. The rapid progression of disease in ST258-infected mice was consistent with the natural history of severe sepsis. Based on these results, an ST258 inoculum of $5 \times 10^7$ CFU was used for all subsequent experiments.

**Prompt phage treatment rescues ST258-infected mice.** We first performed a pilot study to determine conditions optimal for a large-scale phage therapy experiment. Mice infected with ST258 were treated with two lytic phages that had been previously isolated from sewage and characterized *in vitro* (22). Pharr (P1), a 40.6-kb podophage, and *KpNIH*-2 (P2), a 49.4-kb siphophage, were injected IP at different times (1, 8, and 24 h after bacterial infection) and at different multiplicities of infection (MOI) (an MOI of 1 or 10) (Fig. 2).

Overall, results of the pilot study indicated that survival outcomes were strongly influenced by the timing of treatment but not by phage dose. Compared to the saline-treated control group, survival of mice treated with phages P1, P2, or P1 + P2 at 1 h postinfection increased significantly ($P < 0.05$). By comparison, the ability of phage to rescue mice from severe sepsis diminished dramatically if phage treatment was administered at 8 h or 24 h after bacterial infection (Fig. 2).
We next conducted a definitive, large-scale survival experiment to assess the ability of phage to rescue mice from severe ST258 bacteremia. Wild-type C57BL/6J mice were infected IP with $5 \times 10^7$ CFU of ST258 and then treated with P1, P2, or P1 + P2 (each at an MOI of 1) via IP injection 1 h after bacterial infection. All mice in a saline-treated control group progressed quickly to severe disease (Fig. 3, Kp only). In contrast, mice that received treatment with viable phage survived significantly better than those that received saline instead (survival was 93% for ST258-infected mice treated with P1, 80% with P2, and 100% with P1 + P2, versus 0% in the saline control group; $P < 0.0001$).

Although survival among the three treatment groups did not differ statistically, we observed a trend in relative rates of survival following each of the phage treatments (P1 > P1 + P2). Histopathological analyses of liver tissue from these mice 24 h or 48 h after phage treatment support this trend (Table S1 and Fig. S2). The three groups of mice treated with viable phage each survived better than mice treated with heat-killed (HK) phage, whereas there was no significant difference in survival between the HK phage and saline control groups (Fig. 3). Collectively, these data provide support for the idea that phage therapy can moderate the severity of infections caused by multidrug-resistant *K. pneumoniae*.

**Quantitation of bacteria and phage in mouse blood and tissue.** To more directly assess the ability of phage to kill ST258 during infection *in vivo*, blood and tissue samples were collected from ST258-infected mice at 1, 24, and 48 h after phage treatment, and viable bacteria and phage were quantified by plating. Compared with infected mice treated with saline alone or heat-killed (HK) phage, there were significantly fewer bacteria recovered from those treated with P1, P2, or P1 + P2 at all time points tested (Fig. 4A). No viable bacteria (as assessed by CFU) were recovered from the blood of
uninfected mice that received phage only, suggesting that all bacteria recovered from infected mice were ST258. Similarly, no phage plaques formed on agar plates containing blood from HK-phage-treated mice, confirming the absence of endogenous, ST258-specific phages in laboratory mouse blood (Fig. 4B).

**FIG 2** Impact of MOI and timing of phage treatment on survival of infected mice. (A to C) Survival of ST258-infected mice after phage treatment via IP injection at 1 h, 8 h, and 24 h post-bacterial infection as indicated. Colored solid lines (except the red line, which is the saline control group) represent phage MOI 1 treatment groups; dashed lines represent MOI 10 treatment groups. For each group, n=5. *, P < 0.05 versus all other treatment groups using a log rank (Mantel-Cox) test and Bonferroni correction for multiple comparisons. Kp, *K. pneumoniae* ST258; P1, Pharr; P2, φKpNIH-2.
Consistent with a sustained reduction in bacteremia after treatment with phage (at 24 h and 48 h), there was a progressive decline in phage titers over time (compare Fig. 4A and B). P2 titers were, on average, higher than those of P1 at all three time points; however, this finding did not correlate with improved mouse survival or lower titers of circulating bacteria in P2-treated animals. Circulating phage titers at 24 h and

**Fig 3** Prompt phage treatment rescues mice with systemic ST258 infection. Survival of mice infected IP with ST258 (5 x 10^7 CFU) followed by IP treatment with phage (MOI, 1) 1 h later. Equivalent volumes of sterile saline were injected in lieu of phage or bacteria for Kp only and P1 + P2 only groups, respectively. For each group, n = 15. *, P < 0.05 versus all phage treatment groups using a log rank (Mantel-Cox) test and Bonferroni correction for multiple comparisons. The difference between the Kp only and HK phage control groups was not significant. Kp, *K. pneumoniae* ST258; P1, Pharr; P2, f*KpNIH-2; HK, heat-killed.

**Fig 4** Quantitation of bacteria and phage in mouse blood. (A and B) Bacterial CFU and phage PFU in mouse blood at 1 h, 24 h, and 48 h after phage treatment. Each symbol represents data from a single mouse, up to 5 per treatment group per time point. Bars denote group means. Samples for which no CFU or PFU was recovered are plotted as 0 on the x axis. †, Groups in which blood collection occurred at 12 h instead of 24 h or 48 h due to rapid disease progression. For these groups, bacteria in the blood were estimated to exceed 10^6 CFU/ml at 12 h but could not be accurately quantified. *, P < 0.05; ‡, P < 0.001 as determined using a one-way ANOVA and Tukey’s posttest. Kp, *K. pneumoniae* ST258; P1, Pharr; P2, f*KpNIH-2; HK, heat-killed.
48 h were notably higher in infected versus uninfected mice treated with P1 + P2, providing indirect evidence of phage replication in vivo. Both phage and bacteria were also recovered from the kidney, liver, lung, and spleen of infected mice at all three time points (Fig. S1). On average, there were decreased numbers of tissue-associated bacteria in phage-treated mice compared to saline-treated control mice within 24 h after treatment (Fig. S1).

Taken together, these data suggest that phage treatment decreases bacterial burden in ST258-infected mice and that this effect correlates with the ability of phage to multiply in infected animals and to prevent mortality.

**Development of phage resistance in bacteria recovered from mice.** We next assessed whether bacteria recovered from the blood and tissue of phage-treated mice had developed resistance to phage. ST258 colonies recovered from mouse blood and homogenized tissue samples were picked and cross-streaked with phages P1 and P2. Isolates were classified as being phage-sensitive (bacterial clearing in the region of overlap between bacterial and phage streaks), partially phage-resistant (bacterial growth inhibition in the region of overlap), or completely phage-resistant (bacterial confluence in the region of overlap). Overall, we detected a high frequency of colonies with at least some degree of phage resistance (Fig. 5). Partially resistant bacteria were especially prevalent, representing more than 90% (64/70) of colonies with discernible resistance. There were, however, fewer phage-resistant ST258 recovered 24 h and 48 h following treatment with the combination of phages compared to that with either phage alone. These data suggest that

![Graphs showing development of bacterial resistance to phage in blood and tissue.](image-url)
development of phage resistance is a potential caveat of the phage therapy approach, a problem addressed at least in part by using a combination of phages for treatment. Further work is needed to better understand the mechanisms by which phage resistance develops in vivo and the extent to which phage resistance alters clinical outcomes.

**Bacterial and phage viability in mouse and human blood ex vivo.** To test whether increased survival of ST258-infected mice after phage treatment was linked directly to phage-mediated killing of bacteria, we evaluated the ability of phage (P1, P2, and P1 + P2) to kill ST258 in heparinized murine blood and murine serum (Fig. 6A and B). Compared to untreated control assays or those containing HK phage, survival of ST258 in mouse blood and serum decreased significantly following incubation with phage added singly or in combination (Fig. 6A and B). These data provide support to the idea that phage-mediated lysis of ST258 contributed to—or was largely responsible for—the observed rescue of mice infected with ST258 (Fig. 3).

The mouse survival data and assays with mouse blood were used to assess the potential role of phage in the treatment of ST258 bacteremia. As a first step toward providing an estimation of this therapeutic potential in humans, we tested the ability of these phages to kill ST258 in heparinized human blood and human serum (Fig. 6C and D). In contrast to the high rate of bacterial survival observed in murine blood (Fig. 6A), the ST258 strain used for these studies was killed efficiently in human blood (ST258 survival...
was <50% under all conditions tested) (Fig. 6C). These results are consistent with previous studies that show limited survival of some ST258 clinical isolates in human blood (23). Addition of phage failed to decrease bacterial survival further, an outcome that was observed in human blood as well as in human serum (Fig. 6D). Depletion of immunoglobulin G (IgG) restored the bactericidal effect of P1 and P1 + P2 (but not P2) in human serum, indicating that host antibody may diminish the activity of select phages in vivo (Fig. S3B and C). Further studies are needed to provide a more complete understanding of the interaction of phage with components of human blood.

DISCUSSION

A dearth of treatment options for infections caused by multidrug-resistant bacteria has led to renewed interest in the therapeutic potential of phage. Despite increasing numbers of experimental phage therapy cases, controlled data to justify and guide clinical phage use remains limited. In this study, we demonstrate that prompt, systemic phage administration rescues mice with \textit{K. pneumoniae} ST258 bacteremia. We present evidence of lower bacterial burdens in blood and tissues of phage-treated mice, with the caveat that CFU quantitation may have been impacted by phage lysis occurring in samples after extraction. We also report trends in survival differences between mice receiving 3 distinct phage treatments.

Not all phage therapy cases have culminated in positive clinical outcomes, a point which has sparked speculation as to whether phage selection had been suboptimal in those cases or if circumstances were unfavorable for other reasons (24, 25). Phages are known to exhibit a wide range of diversity, yet the specific structural and functional characteristics of a phage that enhance its therapeutic efficacy remain undefined (26). Considering that P2 was associated with higher titers in mouse blood and tissue as well as lower rates of resistance among recovered bacteria, it is notable that survival was higher among mice treated with P1. The shorter latent period of P1 compared with P2 (16 min versus 24 min) may account in part for the enhanced survival of P1-treated mice (22). The time to first dose of effective antibiotics has been shown to be a critical determinant of outcome in severe sepsis (27). Analogously, a short time to lysis may be an independent predictor of phage efficacy in cases of Gram-negative bacteremia.

As exemplified by the ST258-specific phages in this study, predicting relative therapeutic efficacy among two or more phages is challenging given the array of phage-, bacterium-, and host-specific factors likely to be involved. Traditionally, clinically deployed phages have been selected on the basis of their lytic activity in laboratory culture (28, 29). This one-dimensional valuation neglects a plethora of physiologic variables, including those related to host immunity. To determine whether \textit{in vitro} phage screening is more informative when performed in biological fluids, we evaluated survival of ST258 and phage in murine and human whole blood and serum.

Compared to LB media, murine whole blood provided a much closer approximation of the bactericidal effect of phage \textit{in vivo}. However, the phages tested here had no impact on ST258 survival in human blood and human serum. We observed that P1’s viability in human serum increased significantly after depletion of IgG and correlated with greater bactericidal effect of this phage \textit{in vitro} (Fig. S3). These results indicate that human blood components can interfere with phage activity and potentially impair phage efficacy \textit{in vivo}. Additional work is needed to identify features that predispose phages like P1 to high rates of neutralization in the blood. Conceivably this knowledge could inform phage selection, dosing, and engineering and thereby enhance its therapeutic effect.

We compared characteristics of the phages tested here to those of other phages that have shown efficacy in animal models of \textit{K. pneumoniae} infection to determine whether specific features correlated strongly with treatment success (17–21). No strong correlation was apparent, although at present, the number of relevant phages for comparison is limited and the variability in experimental conditions under which these phages have been applied as treatment is wide. More informative insights may be derived from a larger, more homogeneous data set.
Our observation that treatment with a two-phage cocktail was associated with greater survival of ST258-infected mice than treatment with either phage individually supports the use of combination phage therapy (as opposed to monophag therapy) as a first-line approach. Consistent with this idea, we recovered phage-resistant bacteria from mice in all phage-treated groups, with a trend toward lower recovery in combination phage-treated mice. However, there are confounding factors (e.g., accelerated rate of decay in phage titer for certain premixed phage cocktails) that make it challenging to predict whether a particular phage cocktail will confer a net therapeutic advantage. Further investigation is needed in order to assess the impact of individual phages in a given phage cocktail.

Overall, our results demonstrate that phage therapy is effective for treatment of systemic ST258 infection in a mouse model. Substantial work remains to be done before it can be determined how readily these results might be translated into a therapeutic for humans (31, 32). A deeper understanding of the direct and indirect factors that shape phage-bacteria interactions in vivo will be critical to both predict and maximize the efficacy of clinical phage therapy in the future.

MATERIALS AND METHODS

Study approval and ethics statement. All animal studies and procedures were approved by the Animal Care and Use Committee at Rocky Mountain Laboratories (RML), National Institute of Allergy and Infectious Diseases (NIAID), under RML protocol 2018-016. Human heparinized whole blood and serum were obtained from healthy individuals in accordance with a protocol (01-I-N055) approved by the Institutional Review Board for Human Subjects, NIAID. Informed consent was obtained from each donor.

Bacterial strains and phages. MKP103, a derivative of the highly drug-resistant K. pneumoniae ST258 clinical isolate KPNHI, was used to establish infection in mice (33). MKP103 was generated by Colin Manoil and colleagues at the University of Washington following targeted knockout of the carbapenemase gene blaoaac(3)-32 in the pKPQ1 plasmid of KPNHI (34). Experiments were performed with MKP103, not KPNHI, given the increased antibiotic susceptibility of the former. Pharr (P1) and KpNHI-2 (P2) are lytic phages capable of infecting MKP103. P1 and P2 were isolated from sewage as previously described (22).

Preparation of bacteria for injection. On the day of mouse infection, MKP103 was grown to early exponential growth phase (optical density at 600 nm [OD600], 0.75) in Luria-Bertani (LB) broth before being pelleted and subsequently injected IP with 100 μl bacterial suspension. Phage treatment groups were inoculated with 1 ml sterile saline to yield the desired inoculum (CFU) in a 100-μl injection volume.

Preparation of phage for injection. Phages were amplified in broth cultures of exponential-phase MKP103. Phage lysates were extracted with 1:10 (vol/vol) chloroform and centrifuged at 6,500 g for 10 min. The supernatant was passed through a 0.45-μm filter before undergoing centrifugation at 12,000 × g for 10 h at 4°C. Phage pellets were resuspended in minimal volumes of gelatin-free SM buffer (10 mM MgSO4, 50 mM Tris-HCl pH 7.5, 100 mM NaCl) and applied to a cesium chloride density gradient as described previously (35). After ultracentrifugation in a Beckman Coulter type 70.1 Ti rotor at 139,000 × g for 4 h at 4°C, the phage band was extracted and transferred to a Thermo Scientific Slide-A-Lyzer dialysis cassette (10K MWCO). Phages were dialyzed against high-salt SM buffer (1 M NaCl) for 12 h and then against unmodified SM buffer (100 mM NaCl) two times for 4 h each time. Purified phage preparations were diluted in sterile SM buffer to achieve the desired concentrations for injection.

Endotoxin measurement. The endotoxin content of each purified phage preparation was quantified with a limulus amebocyte lysate (LAL) kinetic turbidimetric assay (Charles River Endosafe KTA). Endotoxin concentrations below 2,000 endotoxin units (EU) per 100 μl were deemed safe for injection in accordance with published data (36).

Mouse bacteremia model. Female C57BL/6J mice aged 16 to 17 weeks were purchased from The Jackson Laboratory. Mice were group-housed and allowed food and water ad libitum. Mice were acclimated for at least 5 days before the start of an experiment. On the day of experiment, mice were anesthetized and subsequently injected IP with 100 μl bacterial suspension. Phage treatment groups received equivalent volumes of phage suspension or saline (untreated control) on the contralateral side at predetermined time points. After infection, mice were checked regularly for signs of disease. Mice that met criteria for euthanasia were euthanized immediately in accordance with the approved ACUC protocol (2018-016), as indicated above.

Mouse treatment. Purified P1, P2, and P1 + P2 phage preparations were concentration-adjusted to produce multiplicities of infection (MOI) approximately equal to 1 or 10 in a fixed injection volume. For each phage or phage combination, a single 100-μl IP injection of phage at an MOI 1 or 10 was administered at 1 h, 8 h, or 24 h after bacterial infection. For a given MOI, the total PFU remained constant. Therefore, mice in the MOI 1 treatment group received either 5 × 107 PFU of P1 or P2, respectively, or 2.5 × 107 PFU of P1 plus 2.5 × 107 PFU of P2 in combination.

Murine blood and tissue collection. Mice were sacrificed at 1 h, 24 h, and 48 h post-phage treatment. Intracardiac whole blood was collected from each mouse (up to 5 mice per group). Select organs (bilateral lungs, liver, spleen, and right kidney) were harvested from up to 3 mice per group, combined with 1 ml sterile phosphate-buffered saline (PBS), and homogenized with a rotor-stator tissue homogenizer.
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SUPPLEMENTAL MATERIAL

Supplemental material is available online only.

FIG S1, EPS file, 2.1 MB.

FIG S2, TIF file, 2.4 MB.

FIG S3, EPS file, 1.7 MB.

TABLE S1, DOCX file, 0.02 MB.

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