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Incidence of venous thromboembolism in hospitalized patients with COVID-19

Saskia Middeldorp | Michiel Coppens | Thijs F. van Haaps | Merijn Foppen | Alexander P. Vlaar | Marcella C. A. Müller | Catherine C. S. Bouman | Ludo F. M. Beenen | Ruud S. Kootte | Jarom Heijmans | Loek P. Smits | Peter I. Bonta | Nick van Es

1Department of Vascular Medicine, Amsterdam Cardiovascular Sciences, Amsterdam UMC, University of Amsterdam, Amsterdam, The Netherlands
2Department of Intensive Care Medicine, Amsterdam UMC, University of Amsterdam, Amsterdam, The Netherlands
3Department of Radiology and Nuclear Medicine, Amsterdam UMC, University of Amsterdam, Amsterdam, The Netherlands
4Department of Acute Internal Medicine, Amsterdam UMC, University of Amsterdam, Amsterdam, The Netherlands
5Department of Pulmonary Medicine, Amsterdam UMC, University of Amsterdam, Amsterdam, The Netherlands

Correspondence
Saskia Middeldorp, Amsterdam UMC, Location AMC, Meibergdreef 9, 1105 AZ, Amsterdam, The Netherlands.
Email: s.middeldorp@amsterdamumc.nl

Abstract
Background: Coronavirus disease 2019 (COVID-19) can lead to systemic coagulation activation and thrombotic complications.
Objectives: To investigate the incidence of objectively confirmed venous thromboembolism (VTE) in hospitalized patients with COVID-19.
Methods: Single-center cohort study of 198 hospitalized patients with COVID-19.
Results: Seventy-five patients (38%) were admitted to the intensive care unit (ICU). At time of data collection, 16 (8%) were still hospitalized and 19% had died. During a median follow-up of 7 days (IQR, 3-13), 39 patients (20%) were diagnosed with VTE of whom 25 (13%) had symptomatic VTE, despite routine thrombosis prophylaxis. The cumulative incidences of VTE at 7, 14 and 21 days were 16% (95% CI, 10-22), 33% (95% CI, 23-43) and 42% (95% CI 30-54) respectively. For symptomatic VTE, these were 10% (95% CI, 5.8-16), 21% (95% CI, 14-30) and 25% (95% CI 16-36). VTE appeared to be associated with death (adjusted HR, 2.4; 95% CI, 1.02-5.5). The cumulative incidence of VTE was higher in the ICU (26% (95% CI, 17-37), 47% (95% CI, 34-58), and 59% (95% CI, 42-72) at 7, 14 and 21 days) than on the wards (any VTE and symptomatic VTE 5.8% (95% CI, 1.4-15), 9.2% (95% CI, 2.6-21), and 9.2% (2.6-21) at 7, 14, and 21 days).
Conclusions: The observed risk for VTE in COVID-19 is high, particularly in ICU patients, which should lead to a high level of clinical suspicion and low threshold for diagnostic imaging for DVT or PE. Future research should focus on optimal diagnostic and prophylactic strategies to prevent VTE and potentially improve survival.

KEYWORDS
COVID-19, critically ill, low-molecular-weight heparin, pulmonary embolism, venous thrombosis
1 | INTRODUCTION

Coronavirus disease 2019 (COVID-19) is caused by the severe acute respiratory syndrome coronavirus-2 (SARS-CoV-2) and can lead to systemic coagulation activation. Initial studies from China report increased D-dimers (0.5 mg/L or higher) in 46% to 63% of patients, as well as other signs of coagulation activation including mild thrombocytopenia and a moderately prolonged prothrombin time.\(^1\)\(^2\) Additionally, more pronounced coagulation activation seems to be correlated with a severe disease course, including admission to the intensive care unit (ICU) and death. For example, patients who died of COVID-19 had higher D-dimers on admission compared with those who survived, whereas D-dimer levels increased further during hospital stay in patients who died, but not in survivors.\(^3\) In another study, patients with D-dimer levels of 1.0 µg/L or higher had an 18-fold increased risk of death.\(^2\) One study used the International Society on Thrombosis and Haemostasis definition of disseminated intravascular coagulation and found that a score of ≥5 points was present in 71% of those who died compared with 0.6% in survivors.\(^4\) None of these studies reported on the number of patients with thrombotic complications.

Since the pandemic spread of SARS-CoV-2, there have been several anecdotal reports from colleagues on a high incidence of thrombotic complications, including thrombosis of extracorporeal...
circuits for continuous venovenous hemofiltration, central venous catheter-associated thrombosis, and deep venous thrombosis (DVT) and pulmonary embolism (PE). Most but not all of these complications occurred in patients admitted to the ICU, with most patients receiving routine thrombosis prophylaxis.

Diagnosis of DVT and PE may be particularly challenging in patients with COVID-19. Symptoms of PE overlap with symptoms of COVID-19 and mild symptoms may be overlooked in a patient already suffering from shortness of breath. Similarly, clinical signs and symptoms of DVT may be harder to detect, especially in ICU patients, and when treating clinicians primarily focus on respiratory status and do not systematically assess lower extremities for signs of DVT.

In early April 2020, a large number of venous thromboembolic events were diagnosed in COVID-19 patients admitted to our ICU, based on a clinical suspicion of DVT in the lower extremities. These observations have led us to intensify the dose of low-molecular-weight heparin to prevent VTE in COVID-19 patients in the ICU.

In the present study, we report on the incidence and risk factors of VTE in COVID-19 patients admitted to the ICU or general ward.
2 METHODS

2.1 Patients

We identified consecutive patients admitted for COVID-19 to the Amsterdam University Medical Centers, location Academic Medical Center, until April 12, 2020. COVID-19 was confirmed by a reverse transcription polymerase chain reaction (RT-PCR) test on a nose/throat swab or sputum sample positive for SARS-CoV-2. Given the sensitivity of RT-PCR of only 50% to 80%, 6 a daily multidisciplinary team also considered COVID-19 confirmed in patients with a negative RT-PCR but with symptoms and disease course consistent with COVID-19, the absence of an alternative diagnosis, as well as a computed tomography (CT) scan of the chest showing abnormalities highly suspicious of typical pulmonary involvement of COVID-19 (COVID-19 Reporting and Data System [CO-RADS] 4 or 5 per the Dutch Radiology Society). 6,7 We did not include patients who were diagnosed with COVID-19 during hospital stay for other medical conditions.

Hospitalized patients were categorized as ICU patients or as ward patients. Patients were categorized as ward patients if they had not been transferred to the ICU at any time during the course of their disease. All ICU patients were admitted on the ICU for mechanical ventilation.

Thrombosis prophylaxis was part of standard of care in all COVID-19 patients. Ward patients received thrombosis prophylaxis with nadroparin 2850 IU once daily or 5700 IU for patients with a body weight of ≥100 kg. From April 3 onwards, patients in ICU received a double dose of nadroparin compared with patients on the wards, which was nadroparin 2850 IU twice daily for patients with a body weight <100 kg and 5700 IU twice daily for those ≥100 kg.

2.2 Outcomes

The primary outcome was an objectively confirmed diagnosis of distal or proximal DVT, PE, or venous thrombosis at other sites including catheter-related thrombosis. The secondary outcome was symptomatic VTE, excluding events detected by bilateral leg ultrasound screening. All outcomes were adjudicated by two of the authors (M.C. and N.v.E.). We did not adjudicate deaths to identify fatal PE because almost all deaths were due to hypoxic respiratory failure, which can be indistinguishable from fatal PE, whereas autopsies were rarely performed in COVID-19 patients.

2.3 Data collection

Patient data were retrospectively reviewed from the day of admission to our hospital (also in case a patient was transferred from another hospital) until death, hospital discharge, transfer to another hospital, or end of data collection on April 30, 2020. We collected data on demographics and blood tests on admission. D-dimer levels were included if measured on or within 72 hours of admission. Formal approval from the Medical Ethics Review Committee was not required as the Medical Research Involving Human Subjects Act does not apply for this observational study.

2.4 Statistical analysis

Patient characteristics were compared between ICU and ward patients using standard descriptive statistics. The proportion of ICU and ward patients with VTE was assessed, with 95% confidence intervals (CI) calculated using Wilson’s score interval. In addition, the cumulative incidence, overall and for symptomatic VTE only, was calculated at 7, 14, and 21 days using a competing risk approach considering death as a competing risk. Risk factors for VTE were evaluated by calculating subdistribution hazard ratios (SHR) in Fine & Gray competing risk regression models. A sensitivity analysis was performed in which missing values were imputed 20 times using multiple imputation with chained equations, assuming a missing at random pattern. The multiple imputation model included all patient characteristics, laboratory values, radiology information, and outcome data. Estimates across the imputation datasets were combined using Rubin’s rule. The association between VTE and mortality and between ICU stay and VTE were analyzed by calculating a time-varying hazard ratio in Cox proportional hazards model. Analyses were performed in R, version 3.6.1 (R Foundation for Statistical Computing, Vienna, Austria; http://www.R-project.org/).

3 RESULTS

Between March 2 and April 12, 2020, 199 patients who were hospitalized because of COVID-19 were identified. One patient was excluded because he was immediately transferred to another hospital from the emergency department. Of the remaining 198 patients, 148 (75%) were hospitalized after an emergency department visit, whereas 50 (25%) were transferred from another hospital. Seventy-five patients (38%) were admitted to the ICU after being transferred from the ICU of another hospital (n = 44), our general ward (n = 20), or directly from the emergency department (n = 11). COVID-19 was confirmed by a positive RT-PCR in 173 patients (87%) and considered confirmed by clinical features consistent with COVID-19 in combination with a CT of the chest with highly suspicious or typical features (CO-RADS 4 or 5) and no alternative diagnosis in 25 (13%).

3.1 Characteristics

Patient characteristics are shown in Table 1. Mean age was 61 years (standard deviation, 14) and 130 (66%) were male. Median body mass index was 27 kg/m² (interquartile range [IQR], 24, 31). Compared with ward patients, ICU patients were more often male (77% vs 59%; P = .011) and had higher D-dimer levels on admission (median 2.0...
The median time between symptom onset and admission to our hospital was 7 days (IQR, 5, 10) for patients presenting at the emergency department and 11 days (IQR, 6, 14) for those transferred from another hospital. Thrombosis prophylaxis was initiated in 167 patients (84%), whereas 19 (9.6%) continued therapeutic anticoagulation for an indication that was present at the time of admission (eg, atrial fibrillation).

At the end of data collection (April 30, 2020), 136 patients (69%) had been discharged, 8 (4.0%) transferred to another hospital, 38 had died (19%), and 16 (8%) were still hospitalized. All patients still hospitalized were followed for at least 17 days. The median times from admission to discharge or death were 5 days (IQR, 3, 9) and 9 days (IQR, 5, 14), respectively. Thirteen patients (6.6%) were rehospitalized after a median of 4 days (IQR, 2, 16) after discharge.
3.2 | Venous thromboembolism

During a median follow-up of 7 days (IQR, 3, 13; range, 1-43), 39 patients (20%; 95% CI, 15-26) were diagnosed with VTE and 2 (1.0%; 95% CI, 0.28-3.6) with extensive symptomatic thrombophlebitis for which therapeutic anticoagulation was initiated. Type of VTE was PE with or without DVT in 13 patients (6.6%), proximal DVT in 14 (7.1%), distal DVT in 11 (5.6%), and upper extremity DVT in 1 (0.5%) (Table 2). VTE was symptomatic in 25 patients (13%) and detected incidentally or by screening in 14 (7.1%). Of note, screening for lower extremity DVT was performed in 55 patients (28%) during hospital stay (ICU, n = 38; ward, n = 17), whereas CT pulmonary angiography for PE was only performed on indication (eg, sudden worsening hypoxemia). VTE was diagnosed after a median of 7 days after admission (IQR, 4, 10) and symptomatic VTE also after a median of 7 days (IQR, 5, 9).

In the competing risk model, the cumulative incidences of VTE at 7, 14, and 21 days were 16% (95% CI, 10-22), 33% (95% CI, 23-43), and 42% (95% CI, 30-54), respectively (Figure 1A). When only considering symptomatic VTE, the cumulative incidences were 10% (95% CI, 5.8-16), 21% (95% CI, 14-30), and 25% (95% CI, 16-36) at 7, 14, and 21 days, respectively (Figure 1B). When analyzed as a time-varying variable, VTE was significantly associated with death (hazard ratio [HR], 2.7; 95% CI, 1.3-5.8), also when adjusted for age, sex, and ICU stay as time-varying variable, VTE was significantly associated with death (hazard ratio [HR], 2.4; 95% CI, 1.3-5.8), also when adjusted for age, sex, and ICU stay (Table 3). These associations remained materially unchanged when adjusted for age, sex, and ICU stay (Table 3), when excluding patients transferred from another hospital (data not shown), and when missing values were imputed (data not shown). Notably, none of the 19 patients (0%) who continued therapeutic anticoagulation that they used for other indications developed VTE compared with 39 of 179 (22%; SHR, not estimable; Fisher exact test \( P = .03 \)).

4 | DISCUSSION

We observed a very high risk of VTE in patients with COVID-19. Although the profound coagulopathy associated with COVID-19 has been described soon after start of the pandemic, few data on clinical VTE have been reported. In a cohort of 81 ICU patients in China, in which routine thromboprophylaxis was not the standard of care, the proportion of patients who were diagnosed with DVT was 25%; a follow-up duration or cumulative incidence was not reported.8 In a study of 184 ICU patients in 3 Dutch hospitals, where routine low-molecular-weight heparin prophylaxis was applied, 68 (37%) patients had VTE, with a reported cumulative incidence of 49%.9 Similar observations have now been reported in ICU patients in France and Italy.10-11 In our hospital, where thrombosis prophylaxis in patients admitted with COVID-19 is standard of care, VTE was observed in 35 of 75 (47%) ICU patients, with a cumulative incidence of 59% at 21 days. The very high incidence in ICU patients in the present study may partially be explained by the initiation of a screening approach, although the risk remained high if only symptomatic VTE was considered (28% of patients; cumulative incidence 34% at 21 days). In non-ICU COVID-19 patients admitted to the regular ward, 4 of 123 patients (3%) were diagnosed with symptomatic VTE despite thrombosis prophylaxis.

Our study has some limitations and strengths. First, this was a single-center cohort study with a relatively small sample size, and 8% of patients were still hospitalized at the time of data collection. Second, including patients transferred from other hospitals may lead to immortal time bias because they need to survive until transfer, thereby potentially biasing the VTE cumulative incidence. However, restricting the analysis to patients admitted directly from our own emergency department did not substantially affect the results. Although immortal time bias could also have been introduced by placing patients who were transferred from the ward to the ICU in the ICU group, results were consistent when analyzing ICU stay in a time-varying model. There appeared to be a considerable difference.
between the crude proportion of patients with VTE and the cumulative incidence estimate from the survival model, despite the use of a competing risk model to mitigate the influence of death. Likely explanations include the relatively short median follow-up duration, the number of patients still hospitalized, and the (informative) censoring of patients when discharged from the hospital; the risk of VTE in the latter group is likely to be lower than that of patients remaining in the cohort. Finally, based on concerns of a high risk of fatal VTE following early observations, we changed our practice during the follow-up period by performing screening compression ultrasound in the ICU every 5 days, while also performing a single cross-sectional round of compression ultrasounds at the ward in the 10 days before data collection. This screening led to diagnosis of asymptomatic DVT, all in the ICU group, which may be clinically less relevant than symptomatic DVT. Strengths include the inclusion of consecutive patients, the follow-up duration of at least 17 days, no loss to follow-up, and the objectively confirmed and adjudicated diagnosis of VTE.

Whether the high incidence of VTE observed in the ICU justifies higher or therapeutic doses of pharmacological prophylaxis at an acceptable bleeding risk and whether this would improve the outcome of severe COVID-19 pneumonia is unknown. One observational study from China that included 449 hospitalized COVID-19 patients suggested that thrombosis prophylaxis was associated with a 56% to 63% reduction in mortality in patients with sepsis-induced coagulopathy, but not in other patients. Only 22% of COVID-19 patients received thrombosis prophylaxis, which is much less than expected according to guidelines on thrombosis prophylaxis in medical patients. Currently, several randomized controlled trials are being planned or have started in which the optimal dose of thrombosis prophylaxis will be investigated. Some of these trials use an elevated D-dimer level as an entry criterion (eg, Coagulopathy of COVID-19: A Pragmatic Randomized Controlled Trial of Therapeutic Anticoagulation Versus Standard Care [RAPID COVID COAG]; clinicalTrials.gov identifier NCT04362085). This approach is supported by the present observation that higher D-dimer levels at baseline are associated with VTE during follow-up. It is not known whether VTE contributes to respiratory deterioration or death in COVID-19 pneumonia, although VTE during the course of disease appeared to be associated with mortality in an exploratory analysis in our cohort. Interestingly, none of the patients who were receiving therapeutic anticoagulation at admission (for other indications) developed VTE. The 3% risk of VTE among patients who were not admitted to ICU is considerable, despite the standard use of thrombosis prophylaxis. In an Italian single-center retrospective cohort study, the proportion of COVID-19 patients with VTE was 6% in ward patients, corresponding to a cumulative incidence of 7%. These reported risks appear to be higher than expected in medical hospitalized patients who are not critically ill.

Based on the present findings, we believe the threshold of suspicion of VTE in COVID-19 patients should be low and elicit appropriate diagnostic testing and treatment if VTE is diagnosed. The clinical value of ultrasound screening of the lower extremities in ICU patients with COVID-19 is a matter of debate. However, given the high risk of symptomatic VTE in ICU patients, screening followed by initiating therapeutic anticoagulation may be justified in patients diagnosed with asymptomatic (proximal) DVT to prevent extension and embolization. It is possible that a higher intensity of thrombosis

### TABLE 3  Risk factors for venous thromboembolism

| Variable                                      | VTE (N = 39) | No VTE (N = 159) | Univariable SHR (95% CI) | Multivariable SHR (95% CI) |
|-----------------------------------------------|--------------|-----------------|-------------------------|---------------------------|
| Mean age, years (SD)                         | 62 (10)      | 60 (15)         | 0.98 (0.8-1.2)          | 1.05 (0.8-1.4)            |
| Male sex                                      | 27 (69)      | 103 (65)        | 0.7 (0.4-1.5)           | 0.53 (0.2-1.0)            |
| Intensive care unit                          | 35 (89)      | 40 (25)         | 1.6 (0.3-2.5)           | 8.9 (3-2.5)               |
| Median body weight, kg/m² (IQR)              | 82 (74, 93)  | 84 (75, 95)     | 0.6 (0.2-2.3)           | 0.9 (0.2, 3.9)            |
| History of venous thromboembolism            | 3 (7.9)      | 8 (5.2)         | 1.1 (0.3-3.0)           | 1.6 (0.4-7.2)             |
| Anticoagulant use at admission                | 0 (0)        | 19 (12)         |                         |                           |
| Mean hemoglobin, mmol/L (SD)                 | 8.0 (1.4)    | 7.9 (1.2)       | 1.04 (0.8-1.4)          | 1.1 (0.8-1.5)             |
| Median white blood cell count, ×10⁹/L (IQR)  | 7.6 (5.9, 11)| 6.9 (5.4, 9.3) | 1.9 (1.1, 3.2)          | 1.9 (0.9, 4.1)            |
| Median neutrophil count, ×10⁹/L              | 6.0 (4.4-8.1)| 5.2 (3.8-7.1)   | 2.0 (0.99-4.0)          | 1.7 (0.8-3.7)             |
| Median lymphocyte count, ×10⁹/L              | 0.59 (0.47-0.83)| 1.0 (0.8-1.3) | 0.66 (0.43-1.02)        | 0.7 (0.4-0.95)            |
| Median neutrophil-to-lymphocyte ratio       | 11 (7.0-15)  | 5.4 (3.5-8.1)   | 2.0 (1.3-3.1)           | 1.7 (1.2-2.5)             |
| Mean platelet count, ×10⁹/L                  | 246 (87)     | 237 (95)        | 1.02 (0.99-1.1)         | 1.002 (0.97-1.04)         |
| Median D-dimer, mg/L (IQR)                   | 2.6 (1.1, 18)| 1.0 (0.2-1.7)   | 1.6 (1.2, 2.1)          | 1.4 (1.1, 1.9)            |

Abbreviations: IQR, interquartile range; SD, standard deviation; SHR, subdistribution hazard ratio; VTE, venous thromboembolism.

| Variable                                      | Univariable SHR (95% CI) | Multivariable SHR (95% CI) |
|-----------------------------------------------|-------------------------|---------------------------|
| Mean age, years (SD)                         | 0.98 (0.8-1.2)          | 1.05 (0.8-1.4)            |
| Male sex                                      | 0.7 (0.4-1.5)           | 0.53 (0.2-1.0)            |
| Intensive care unit                          | 1.6 (0.3-2.5)           | 8.9 (3-2.5)               |
| Median body weight, kg/m² (IQR)              | 0.6 (0.2-2.3)           | 0.9 (0.2, 3.9)            |
| History of venous thromboembolism            | 1.1 (0.3-3.0)           | 1.6 (0.4-7.2)             |
| Anticoagulant use at admission                |                         |                           |
| Mean hemoglobin, mmol/L (SD)                 | 1.04 (0.8-1.4)          | 1.1 (0.8-1.5)             |
| Median white blood cell count, ×10⁹/L (IQR)  | 1.9 (1.1, 3.2)          | 1.9 (0.9, 4.1)            |
| Median neutrophil count, ×10⁹/L              | 2.0 (0.99-4.0)          | 1.7 (0.8-3.7)             |
| Median lymphocyte count, ×10⁹/L              | 0.66 (0.43-1.02)        | 0.7 (0.4-0.95)            |
| Median neutrophil-to-lymphocyte ratio       | 2.0 (1.3-3.1)           | 1.7 (1.2-2.5)             |
| Mean platelet count, ×10⁹/L                  | 1.02 (0.99-1.1)         | 1.002 (0.97-1.04)         |
| Median D-dimer, mg/L (IQR)                   | 1.6 (1.2, 2.1)          | 1.4 (1.1, 1.9)            |
prophylaxis, both in ICU and ward patients, not only decreases VTE but also decreases mortality. Future research should therefore focus on optimal diagnostic and prophylactic strategies for VTE in hospitalized patients with COVID-19.

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AUTHOR CONTRIBUTIONS
All authors contributed substantially to the study design, acquisition, analysis, and interpretation of the data. Saskia Middeldorp, Michiel Coppens, and Nick van Es drafted the first version of the manuscript. All authors revised the manuscript critically and approved the final version.

ORCID
Saskia Middeldorp https://orcid.org/0000-0002-1006-6420
Michiel Coppens https://orcid.org/0000-0001-6891-9062
Thijs F. van Haaps https://orcid.org/0000-0002-8358-2426
Merijn Foppen https://orcid.org/0000-0002-3657-6007
Alexander P. Vlaar https://orcid.org/0000-0002-3453-7186
Marcella C. A. Müller https://orcid.org/0000-0002-5309-179X
Catherine C. S. Bouman https://orcid.org/0000-0003-1322-2190
Ludo F. M. Beenen https://orcid.org/0000-0001-9204-1026
Ruud S. Koetter https://orcid.org/0000-0002-0503-2867
Jarom Heijmans https://orcid.org/0000-0001-9615-7851
Loek P. Smits https://orcid.org/0000-0001-7747-0711
Peter I. Bonta https://orcid.org/0000-0002-0429-4988
Nick van Es https://orcid.org/0000-0001-5256-6346

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TWITTER
Saskia Middeldorp @MiddeldorpS