Heart Failure Disease Management Program and the Six Months Readmission Rate

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Abstract

Aim: To assess the effect of heart failure (HF) disease management program (DMP) on the 6 months readmission rates for patients with chronic HF.

Methods and results: This was a prospective observational clinical trial that was conducted at Rafik Hariri University Hospital during the years 2012-2013. Patients presenting with acute HF with EF ≤ 40% were recruited. We enrolled 151 patients of whom 71 were in the DMP and 80 in the control group. The DMP consisted of 2 phases. Phase I started during the index hospitalization where education about HF, diet and exercise was delivered. Phase II consisted of close follow-up after discharge: at 7 days, 1 month and every 3 months for a total of 6 months. During phase II we enforced low salt diet, daily exercise and augmentation of HF medications. The primary outcome was all-cause readmission rate at 6 months. The secondary outcome was all-cause mortality at 6 months. Patients enrolled in the DMP had a shorter length of stay (9.5±7.1 DMP vs. 12.7±10.5 control) (P<0.03). All cause readmission rate at 6 months was significantly lower in the DMP (28.2% DMP vs. 57.5% control) (P<0.001). All-cause mortality at 6 months tends to be lower in the DMP vs. control (9.9% vs. 20.0%, respectively) (P=0.08).

Conclusion: A HF-DMP designed with close follow-up after discharge with low salt diet, daily exercise and early augmentation of HF medications will decrease readmission rates at 6 months and tends to reduce mortality when compared to regular care.

Keywords: Disease management program; Heart failure; Readmission rates

Introduction

Heart failure (HF) is a major cause of morbidity and mortality worldwide and has a significant negative impact on quality of life, health-care costs, and longevity [1-3]. Approximately six million Americans and fifteen million Europeans are living with HF [4]. HF is one of the most common causes of hospitalization and readmission worldwide. HF hospitalization rate in the United States of America (USA) is 23 per 1,000 for men over the age 64 years making HF the leading cause of hospitalization in this age group [5,6]. HF is the primary diagnosis in more than 1 million hospitalizations annually in the USA [7]. The average length of stay is 6.3 days and the 6 months readmission rate is roughly 50% [8]. HF costs $39.2 billion annually in the USA with the average cost for each HF hospitalization is $12,000 [4,9]. In Europe, more than 1 million hospitalizations have been attributed to acute episodes of HF each year [10]. The median length of stay in Europe for HF is 9 days and the readmission rate is 44% at 1 year [11-13].

HF disease management programs are common in North America, Europe, and Australia [14]. These services provide care to optimize pharmacological regimen and support medication management and effective self-care. Programs have been widely introduced following recommendations from international clinical guidelines. The goals of the HF disease management programs included optimization of drug therapy, intensive patient education, and vigilant follow-up with early recognition of problems, identification and management of patients’ comorbidities [15-17]. HF patients who were cared for in these programs were shown to have significantly fewer re-hospitalizations, lower healthcare costs, improved functional and symptom status, and better quality of life as compared either with their pre-intervention status or with HF patients being treated with conventional care. However, a number of recent and comparatively large trials have found no or small benefits from these programs [18].
Numerous research groups have designed programs that have varied types of interventions from a simple telephone call from a nurse to intensive follow-up by a multidisciplinary team. In general, programs based on periodic contacts have performed better than programs without interventions. Programs combining clinical and educational attention have performed better than those affording only education. Programs carried to the patient’s home have performed better than those requiring attendance at a clinic [19]. The objective of our study was to evaluate the effect of a disease management program (DMP) designed by early follow-up by a specialized HF team with early augmentation of HF medications accompanied by education on low salt diet, daily exercise, and self-care on all cause readmission rates at 6 months in patients with HF and reduced ejection fraction when compared to traditional care.

Methods

The study was a prospective observational clinical trial that enrolled patients presenting with acute HF decompensation during the years of 2012 and 2013 at Rafik Hariri University Hospital (RHUH). RHUH is the largest public hospital in Lebanon with academic affiliation with two medical schools. RHUH is a referral center for patients from all regions in Lebanon. The study was conducted after gaining approval of the Institutional Review Board at RHUH. All patients presenting with acute HF decompensation with EF ≤40%, who were ≥18 years of age, known or recently diagnosed with HF, were willing to participate in such a trial and signed the informed consent were recruited. Patients were excluded if they were <18 years of age, refused to sign the informed consent or with dyspnea and fluid overload caused by disease other than HF.

Patients were enrolled in the DMP if they agreed to participate and to complete a 6 months follow-up period. Patients were enrolled as a control group if they agreed to participate in the trial but refused to be in the DMP and elected to receive only regular care by their cardiologist. Patients in the DMP were followed up by a HF team. The team consisted of cardiologist with an expertise in HF, cardiology fellow and a HF nurse. The DMP consisted of two phases. Phase I and phase II.

Phase I started during the index admission till discharge. The patients were educated about HF symptoms, medications, diet restrictions, self-care and the importance of weight and daily exercise. In addition patients were educated about medication compliance and physician’s follow-up. During this phase demographic, clinical, laboratory, imaging data and diagnosis at admission were collected. Another set of data was collected at discharge and included discharge status, length of stay and cost for hospitalization. On discharge patients were given a weighing scale and a booklet consisted of teaching instructions and a section for weight and exercise that was required to be filled on daily basis by patients.

Phase II consisted of outpatient follow-up visits with multiple interventions. The first visit was at 7 days after discharge, then at 1 month and every 3 months for a total of six months. During this phase patients were educated to restrict fluids to 1.5 liters per day and salt to less than 2 grams per day. Patients were encouraged to walk for 30 minutes per day for at least 5 days per week. We did our best at each visit to augment their medical regimen in order to reach the target doses of HF medications as recommended by guidelines. A general assessment of the patient clinical status was conducted with identification of new symptoms and signs of early decompensation. Diary checking was conducted at every follow-up visit to ensure adherence to treatment regimen.

The primary outcome of the study was the all cause readmission rate at 6 months. The secondary outcome was all cause mortality at 6 months.

Statistical Analysis

Data was collected from the two groups and the two phases using case report forms. Data entry was done using the excel sheets for analysis. All statistical analyses were performed using SAS software (version 9.1; SAS Institute, Cary, NC). Continuous variables were presented as mean and standard deviation (SD) and categorical variables as absolute and relative frequencies (%). Chi-square test and Student t-test were used to compare differences between the groups, as appropriate. Associations were expressed as relative risk and corresponding 95% confidence intervals (CI). Moreover, stratified analyses were carried out to assess any effect modification of the program by any of the available factors. A multivariate analysis for the predictors of the readmission at 6 months was done as well as the association between all the variables and patient mortality. A P-value ≤0.05 was considered to be statistically significant.

Results

Table 1: The demographic and clinical characteristics of the patients with HF enrolled in both groups during the index admission.

| Characteristics          | DMP Group (N = 71) | Control Group (N = 80) | P-Value |
|-------------------------|-------------------|------------------------|---------|
| Age, years (mean,±sd)   | 61.2±11.6         | 67.9±11.6              | 0.001   |
| Gender, % male          | 46(64.8%)         | 48(60.0%)              | 0.55    |
| Known HF, %             | 46(64.8%)         | 51(63.7%)              | 0.89    |
| Coronary Artery Disease % | 37(52.1%)        | 47(58.8%)              | 0.41    |
| Diabetes Mellitus %     | 29(40.8%)         | 32(40.0%)              | 0.92    |
| Hypertension %          | 44(62.0%)         | 57(71.3%)              | 0.23    |
| Atrial Fibrillation %   | 27(38.0%)         | 20(25.0%)              | 0.08    |
| Valvular Heart Disease % | 18(25.4%)        | 11(13.8%)              | 0.07    |
We enrolled 151 patients in this study of whom 71 (47%) were in the DMP and 80 (53%) in the control group. At baseline, most of the clinical characteristics were similar between the two groups. However the patients in the DMP were younger (the mean age was 61.2 (±11.6) vs. 67.9 (±11.6), P-0.001. The majority were males with 58% of them had ischemic heart disease as the underlying etiology for HF and 40% were diabetics. More patients in the DMP group were diagnosed with dyslipidemia (46.5% vs. 26.3%, P-0.01) and chronic obstructive pulmonary disease (22.5% vs. 8.8%, P-0.02 respectively). At admission to the hospital there was no significant difference in NYHA classification between the two groups (P-0.45). Majority of patients (89%) were in NYHA class III and IV. The average EF in both groups was 30% (Table 1).

Patients enrolled in the DMP had a significant shorter length of stay in days (9.5±7.1 DMP vs. 12.7±10.5 control group), (P-0.03).However, the cost of hospitalization was not significantly different (DMP: $ 3320.00±2785.00) vs. (control: $ 4218.00±4519.00), (P-0.14). The readmission rate at 3 months was significantly lower in the DMP (25.4% in the DMP vs.46.3% in the control group) (P-0.008) (Figure 1).

The primary outcome of all cause readmissions at 6 months was significantly lower in the DMP (28.2% DMP vs. 57.5% control group) (P<0.001) (Figure 2). The secondary outcome of all-cause mortality tends to be lower at 6 months in the DMP vs. control (9.9% vs. 20.0%, respectively) (P-0.08) (Figure 3). A multivariate analysis for the predictors of readmissions at 6 months was only significant for the DMP (adjusted OR (95%CI); 0.26 (0.12-0.56); (P-0.001) (Table 2). When we analyzed the variables that predict mortality, it was shown that the use of digoxin and dobutamine was associated with higher mortality in both groups (P-0.009) whereas the use of β-blockers was associated with lower mortality (P-0.005) (Table 3). It was shown that the longer the length of stay and the higher rate of readmissions at 3 months were predictors of higher mortality (P-0.04 and P-0.03, respectively).

Figure 1: The distribution of HF medications used by the patients upon presentation in both groups. There was no significant difference between both groups in the use of HF medications.

Figure 2: The readmission rate for patients with HF at 3 and 6 months in both groups. The primary endpoint of readmission at 6 months was significantly lower in the DMP as compared to the control group.

Figure 3: The mortality rate at 6 months in both groups. There was a trend toward lower mortality in the DMP group as compared to the control group at 6 months.

Table 2: Multivariate analysis for the predictors of the readmission at 6 months.

| Predictors                  | Adjusted Or(95%CI) | P-value |
|-----------------------------|--------------------|---------|
| Control/DMP groups          | 0.26(0.12-0.56)    | 0.01    |
| Age                         | 1.00(0.97-1.03)    | 0.94    |
| Known heart failure         | 1.27(0.52-3.13)    | 0.6     |
| Coronary artery disease     | 0.70(0.30-1.63)    | 0.41    |
readmissions. However, some of the interventions were successful and others were not. This trial was examining the effectiveness of such a program in comparison to regular care in the Lebanese population.

The crucial part of our program is the early follow-up visits. Our population is somehow different from other populations. In most countries, patients receive the majority of medical care for free or little amount of money to be paid from their pockets. However, in Lebanon the medical care is not for free. Thus, the majority of patients have to pay for their medications and physicians’ follow-up form their pockets. This will greatly impact the usual follow-up on these patients. The disease management program allowed patients to be seen and closely monitored by a nurse. The early recognition of decompensation and the early education on self-care have made the major impact on their outcome.

A randomized trial of an education and support intervention to prevent readmissions of patients with HF was published in Journal of the American College of Cardiology in 2002 [23]. Our study is similar to their study on the components of the education but unlike their study, we emphasize not only education but also optimization of care and augmentation of HF medications on the follow-up visits that were at different periods and duration than their study. They recruited 88 patients (44 intervention and 44 control) in their study. Their intervention was associated with a 39% decrease in the total number of readmissions (intervention group: 49 readmissions; control group: 80 readmissions, P=0.06). After adjusting for clinical and demographic characteristics, the intervention group had a significantly lower risk of readmission compared with the control group (P=0.03). However in our study the readmissions at 6 months was significantly lower in the intervention group (28.2% DMP group vs. 57.5% control group) (P<0.001). Thus education plus modification of medical regimen were more effective in reducing readmissions than education alone.

Another randomized trial in Canada assigned 230 eligible patients who had experienced an acute episode of congestive HF to standard care (n=115) or follow-up at a multidisciplinary specialized HF outpatient clinic (n=115) [24]. The study team evaluated patients in the intervention group within 2 weeks of hospital discharge. A monthly follow-up plan was developed which is different from our follow up visits plan. At the first clinic visit patient education was similar to our study as well as the education reinforcement at each subsequent clinic visit. The primary outcomes were all-cause hospital admission rates and total number of days in hospital at 6 months. At 6 months, fewer patients in the intervention group had required readmission to hospital than patients in the control group (39%) vs. (57%). This is similar to our results we had 28.2% of the patients readmitted at 6 months in the DMP group vs. 57.5% in the control group (P<0.001). Thus early follow-up after 1 week, 1 month and then every 3 months for a total 6 months period was as effective as more frequent follow-up visits within 2 weeks and then every month for a total of 6 months in reducing readmission rates for heart failure in comparison with the regular care.

Discussion

This clinical trial showed that our program was able to reduce readmissions for HF patients with reduced ejection fraction at 6 months. Our program emphasized on education, optimization of HF medications and early follow-up visits at 7 days and 1 month after discharge from the index hospitalization. During this period patients were following a special diet with fluids and salt restrictions and were monitored for any increase in body weight for more than 3-5kg. They were educated not to exceed the targets level for fluids (1.5 liters per day) and salt (2gm per day). They were exercising for at least 30 minutes per day for 5 days per week. In addition to titration of their HF medication to reach the target doses recommended by international guidelines. We stressed on the early follow-up visit as it was the most critical intervention in our trial. It was shown previously that patient behavioral factors such as non-adherence to diet and medications, economic and social factors frequently contribute to re-hospitalizations [20-22]. The traditional model of care delivery is thought to contribute to frequent hospitalizations because of brief episodic encounters, little attention to the common modifiable factors that precipitate many hospitalizations. All disease management programs with different interventions were done worldwide aiming to decrease readmissions. However, some where successful and other were not.

| Variables                              | Survival (Number %) | Mortality (Number %) | P-value |
|----------------------------------------|---------------------|----------------------|---------|
| β-blockers                             | 118(92.2%)          | 16(9.6%)             | 0.005   |
| ACEI/ARB                               | 91(71.1%)           | 13(56.5%)            | 0.17    |
| Diuretic                               | 110(85.9%)          | 19(26.2%)            | 0.75    |
| Aldactone                              | 36(28.1%)           | 9(39.1%)             | 0.29    |
| Digoxin                                | 14(10.9%)           | 7(30.4%)             | 0.02    |
| Nitrate                                | 15(11.7%)           | 4(17.4%)             | 0.49    |
| Ivabradine                              | 3(2.3%)             | 1(4.3%)              | 0.49    |
| Dobutamine                              | 8(6.3%)             | 6(26.1%)             | 0.009   |
| Length of stay in days                 | 10.6(±8.6)          | 14.8(±11.4)          | 0.04    |
| Readmissions at 3 months               | 42(32.8%)           | 13(56.5%)            | 0.03    |

Table 3: The association between medical treatment, length of stay, and readmissions at 3 months with patient mortality.
The DMP as compared with the usual care for HF patients with reduced ejection fraction reduced the number of hospital readmissions at 6 months and mortality. Thus, this program can help to generate policies and recommendations for establishing heart failure clinics and DMP in Lebanon.

Limitations
The main limitation of this study is the sample size and non-randomization. However, both groups were identical at baseline without major confounding factors. We were able to show a significant difference in the readmission rates between the two groups. Moreover, it is a single center trial. It is unclear what will be the effect of such a program in different centers in Lebanon. However, RHUH is the largest University Hospital in Lebanon that admits all categories of patients from both the public and private sectors. Thus the sample of patients with heart failure in this study may represent the majority of heart failure patients in Lebanon.

Conclusion
Our HF-DMP that entailed close follow-up after discharge with education, diet, exercise and augmentation of HF medications decreased all cause readmission rates at 6 months for patients with HF and reduced ejection fraction. Moreover, this program tends to lower mortality when compared to regular care in the Lebanese population.

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