TORSION PAIRS AND FILTRATIONS IN ABELIAN CATEGORIES WITH TILTING OBJECTS

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ABSTRACT. Given a noetherian abelian category \( Z \) of homological dimension two with a tilting object \( T \), the abelian category \( Z \) and the abelian category of modules over \( \text{End}(T)^{op} \) are related by a sequence of two tilts; we give an explicit description of the torsion pairs involved. We then use our techniques to obtain a simplified proof of a theorem of Jensen-Madsen-Su, that \( Z \) has a three-step filtration by extension-closed subcategories. Finally, we generalise Jensen-Madsen-Su’s filtration to a noetherian abelian category of any finite homological dimension.

1. INTRODUCTION

In representation theory and algebraic geometry, there are many important questions surrounding derived equivalences of the form

\[
\Phi : D^b(Z) \simrightarrow D^b(A)
\]

where \( D^b(Z) \) and \( D^b(A) \) are the bounded derived categories of two abelian categories \( Z \) and \( A \), possibly with quite different origins.

For instance, in representation theory, we can take \( Z \) to be a module category, then let \( T \) be a tilting object in \( Z \), let \( A \) be the category of right modules over the endomorphism algebra of \( T \), and let \( \Phi \) be the derived functor \( R\text{Hom}(T, -) \).

In algebraic geometry, we can take \( Z \) to be the category of coherent sheaves on a variety \( X \), let \( Y \) be a moduli of stable sheaves on \( X \), and let \( \Phi \) be the Fourier-Mukai transform whose kernel is the universal family. In both these two scenarios, we can try to deduce properties of moduli spaces of objects in \( Z \) from those of moduli spaces of objects in \( A \), or vice versa. For moduli of modules, this strategy was used in works such as Chindris’ [Chi]. For moduli of sheaves, the same strategy was used in papers by Bridgeland [BH], Bridgeland-Maciocia [BriM], Bruzzo-Maciocia [BruM], and subsequent works by many others.

There are also occasions when \( Z \) is the category of coherent sheaves on a variety \( X \), and \( A \) the module category over the endomorphism algebra of a tilting sheaf \( T \) on \( X \), with \( \Phi \) being the derived functor \( R\text{Hom}(T, -) \). In this case, we obtain connections between moduli spaces of modules and moduli spaces of sheaves. Results along this line of thought can be found in Craw [Cra] and Ohkawa [O], for example. Even in the case when \( T \) is not a tilting sheaf (in which case \( \Phi \) is not necessarily an equivalence of derived categories), this approach still proves fruitful, as shown in Álvarez-Cónsul-King [ACK].

2010 Mathematics Subject Classification. Primary 18E30; Secondary: 18E30.

Key words and phrases. tilting object, torsion pair, derived equivalence, Fourier-Mukai transform.
In many of the examples mentioned above, it helps to identify subcategories of $Z$ that are ‘well-behaved’, in the sense that we may want them to be extension-closed, or for some of them to contain all the objects we hope to parametrise in a moduli space. In Fourier-Mukai transforms between varieties, these subcategories could be taken as the subcategories of ‘WIT$_i$-sheaves’; in exact equivalences between derived categories of modules, we can consider analogues of categories of WIT$_i$-sheaves, called the categories of ‘static’ and ‘costatic’ modules (e.g. see [BB] Section 4 and [T]). Under our setting (1.1), we denote these subcategories by $X_i$ and $Y_i$ in Section 2 below.

In Chindris’ work [Chi] (when the tilting object $T$ has homological dimension one), a crucial property enjoyed by the subcategories $X_0, X_1$ of $Z$ is that they ‘filter’ the entire abelian category $Z$. That is, any object $E$ of $Z$ has a filtration where the factors lie in the categories $X_i$. Naturally, the question arises as to whether the categories $X_i$ filter $Z$ when the homological dimension of $T$ is strictly larger than one.

As mentioned in Jensen-Madsen-Su [JMS], the categories $X_i$ are too small to filter $Z$ even when $T$ has homological dimension two. Jensen-Madsen-Su then constructs three subcategories $E_0, E_1, E_2$ of $Z$, containing the categories $X_0, X_1, X_2$, respectively, that are extension-closed and filter $Z$ (see Theorem 4.1). In [JMS], it is remarked that their proofs of Theorems 4.1 and 4.2 can be simplified using spectral sequences. We realise this spectral sequence approach in Section 4, recovering Theorems 4.1 and 4.2.

On the other hand, motivated by mirror symmetry, it is important to understand the space of stability conditions (in the sense of Bridgeland) on the derived category $D^b(Z)$, for $Z$ of various origins, and the moduli spaces associated to these stability conditions. One question within this framework is, given two t-structures on $D^b(Z)$, are they related by a sequence of tilts (using torsion pairs)? Answering this question can help us understand moduli spaces of stable objects in $D^b(Z)$. For instance, in Bayer-Macrì-Toda’s work [BMT], they explicitly construct a suitable t-structure for a conjectured stability condition on $D^b(\text{Coh}(X))$ on a smooth projective threefold $X$; this t-structure was constructed by a sequence of two tilts from the standard t-structure. Using the descriptions of these tilts from [BMT], we are able to describe some stable objects in $D^b(\text{Coh}(X))$, as is done in [LM] Theorem 3.17.

Under a derived equivalence of the form (1.1), we have two t-structures on $D^b(Z)$, namely the standard t-structure, as well as the pullback of the standard t-structure on $D^b(A)$ via $\Phi$. It is not hard to show that these two t-structures are related by a sequence of two tilts when the homological dimension of $Z$ is at most two - we do this in Proposition 3.6. The point is, we explicitly describe the torsion pairs used in these tilts, and note the striking symmetry in diagram (3.2).

In fact, in understanding the tilts between the two t-structures on $D^b(Z)$ mentioned above, we are led to studying the subcategories $B_0, B_1, B_2$ of $Z$ (defined in Section 2), which are larger than the categories $X_0, X_1, X_2$ when $T$ has homological dimension two. The categories $B_i$ turn out to be a suitable tool for generalising Jensen-Madsen-Su’s filtration to any noetherian abelian category with a tilting object $T$, for $T$ having any finite homological dimension. Our generalisation is Theorem 5.1, it reduces to Jensen-Madsen-Su’s filtration when $T$ has homological dimension two (see Corollary 5.4), and further reduces to the filtration used by Chindris [Chi] when $T$ has homological dimension one.
Acknowledgements. The author is indebted to Calin Chindris for suggesting the problems that are addressed in this article, the many enlightening conversations without which the project could not have been completed, and various helpful comments on the exposition in the article.

2. Notation

Throughout this article, \( Z \) will denote a noetherian abelian category of finite homological dimension \( n \in \mathbb{Z}_0^+ \) equipped with a tilting object \( T \). From now on, we will simply write \( D(Z) \) to denote the bounded derived category of \( Z \), and similarly for other abelian categories.

That the homological dimension of \( Z \) is \( n \) means that \( \text{Ext}^i_Z(E, F) = 0 \) for all \( E, F \in Z \) and \( i \notin [0, n] \). That \( T \) is a tilting object in \( Z \) implies that \( \text{Ext}^i_Z(T, T) = 0 \) for all \( i \neq 0 \), and that the derived functor \( \Phi := R\text{Hom}_{D(Z)}(T, -) \) induces a derived equivalence \( D(Z) \sim \sim \to D(A) \), where \( A := \text{mod} A^{op} \), the category of finitely generated right \( A \)-modules, with \( A \) being the endomorphism algebra \( \text{Hom}_Z(T, T) \) of \( T \).

Let \( \Psi \) denote the quasi-inverse of \( \Phi \), so that \( \Psi \Phi \sim \sim = \text{id} \) and \( \Phi \Psi \sim \sim = \text{id} \). For any \( E \in Z \), we will write \( \Phi^i(E) \) to denote the degree-\( i \) cohomology \( H^i(\Phi(E)) \) with respect to the standard t-structure on \( D(A) \). Similarly, for any \( M \in D(A) \), we will write \( \Psi^j(M) \) to denote the degree-\( j \) cohomology \( H^j(\Psi M) \) of \( \Psi(M) \) with respect to the standard t-structure on \( D(Z) \).

For any integer \( i \), we define the full subcategory of \( D(Z) \)
\[ X^i_D := \{ E \in D(Z) : \Phi^j(E) = 0 \forall j \neq i \}. \]
We also define the following subcategories of \( Z \)
\[ \mathcal{X}_i := \{ E \in Z : \Phi^j(E) = 0 \forall j \neq i \} = X^i_D \cap Z, \]
\[ \mathcal{B}_i := \{ E \in Z : \Phi^i(E) = 0 \}, \]
as well as the following subcategories of \( A \)
\[ \mathcal{Y}_i := \{ M \in A : \Psi^j(M) = 0 \forall j \neq i \} = \Phi(Z[-i]) \cap A, \]
\[ \mathcal{C}_i = \{ M \in A : \Psi^i(M) = 0 \}. \]
And so, objects in the categories \( \mathcal{X}_i \) and \( \mathcal{Y}_j \) are analogues of the ‘\( \text{WIT}_i \)-sheaves’ when we deal with Fourier-Mukai transforms between two varieties.

Note that \( \Phi \) and \( \Psi \) induce an equivalence of categories between \( \mathcal{X}_i \) and \( \mathcal{Y}_{-i}[-i] \).

There are many properties of \( \mathcal{X}_i, \mathcal{B}_i \) and \( \mathcal{Y}_i \) that can be easily deduced from their definitions. We will not list them all explicitly, except to note the following for now:
- \( \mathcal{X}_i, \mathcal{X}^i_D, \mathcal{B}_i, \mathcal{X}_i, \mathcal{Y}_i, \mathcal{C}_i \) are all closed under extensions.
- \( \mathcal{B}_0 \) is closed under subobjects in \( Z \).
- \( \mathcal{B}_n \) is closed under quotients in \( Z \).

If \( m \) is the largest integer for which \( \mathcal{C}_{-m} \) is nonzero, then we also have
- \( \mathcal{C}_0 \) is closed under quotients in \( A \).
- \( \mathcal{C}_{-m} \) is closed under subobjects in \( A \).

While working in a fixed abelian category \( W \), for any subcategory \( \mathcal{V} \subset W \) we will write
\[ \mathcal{V}^0 := \{ E \in W : \text{Hom}_W(\mathcal{V}, E) = 0 \}. \]
Remark 2.1. By [H, Proposition 2.66] and [BB, Section 3], when $\Phi : D(Z) \to D(A)$ is a Fourier-Mukai transform and $Z, A$ are both categories of coherent sheaves on varieties, or when $Z, A$ are both module categories and $\Phi$ is the derived Hom functor coming from a tilting object, we have the spectral sequences ($\Psi$ being the quasi-inverse of $\Phi$)

\[
E_2^{p,q} = \Psi^p(\Phi^q E) \Rightarrow \begin{cases} E & \text{if } p + q = 0 \\ 0 & \text{otherwise} \end{cases} \text{ for any } E \in Z,
\]

and

\[
E_2^{p,q} = \Phi^p(\Psi^q M) \Rightarrow \begin{cases} M & \text{if } p + q = 0 \\ 0 & \text{otherwise} \end{cases} \text{ for any } M \in A.
\]

The spectral sequences (2.1) and (2.2) will be used repeatedly when we recover Jensen-Madsen-Su’s results below, so we will say ‘the spectral condition holds’ when these spectral sequences exist. When the spectral condition holds, given any object $E \in Z$, we will simply write $E_{r}^{p,q}$ to denote the term $E_{r}^{p,q}$ in the spectral sequence (2.1) for $E$. Similarly for objects in $A$ and the spectral sequence (2.2).

We will also write $H := \Psi(A)$.

3. Tilts between the Two Hearts $Z$ and $A$

In this section, we consider the two hearts of t-structures $Z$ and $\Psi(A)$ in $D(Z)$, and show that they are related by a sequence of two tilts in Proposition 3.6. Moreover, we show that there is an apparent symmetry between these two tilts - see Lemma 3.11 and (3.2).

The following lemma is instrumental in many constructions in this paper:

Lemma 3.1. [P, Lemma 1.1.3] If $A$ is a noetherian abelian category, then any full subcategory $\mathcal{T}$ of $A$ closed under quotients and extensions is the torsion class of a torsion pair in $A$.

Lemma 3.1 together with the observation that $B_n$ is closed under quotients and extensions in $Z$, which we are assuming to be noetherian, immediately gives:

Corollary 3.2. The pair $(B_n, B_0^n)$ is a torsion pair in $Z$.

For basic properties of torsion pairs and tilting in an abelian category, the reader may refer to [HRS, Chap. I, Sec. 2].

3.1. When $Z$ has homological dimension 1. When $Z$ has homological dimension 1, we can regard the two hearts of t-structures $Z$ and $A$ as being related by a single tilt, as shown in the next lemma.

Lemma 3.3. Let $Z$ be a noetherian abelian category of homological dimension 1. Then

(a) $B_1^0 = B_0 = X_1$, and $B_1 = X_0$.

(b) $H$ is the tilt of $Z$ with respect to the torsion pair $(B_1, B_1^0)$.

Proof. First, we show that $B_1^0 \subseteq B_0$: take any $E \in B_1^0$. Since $T \in B_1$, we have $\text{Hom}(T, E) = 0$, i.e. $E \in B_0$. Hence $B_1^0 \subseteq B_0$ holds.
Next we show that $B_0 \subset B_1^\circ$. Take any $F \in B_0$, any $G \in B_1$, and any morphism $\alpha : G \to F$ in $Z$. Since $B_0$ is closed under subobjects in $Z$, we get $\text{im} (\alpha) \in B_0$. On the other hand, after applying $\Phi = \mathcal{R} \text{Hom}(T, -)$ to the short exact sequence in $Z$

$$0 \to \ker (\alpha) \to G \to \text{im} (\alpha) \to 0,$$

part of the long exact sequence of cohomology is

$$\text{Hom}(T, G[1]) \to \text{Hom}(T, \text{im} (\alpha)[1]) \to \text{Hom}(T, \ker (\alpha)[2]).$$

Since $\text{Hom}(T, \ker (\alpha)[2]) = 0$ (we are assuming $Z$ to have homological dimension 1) and $G \in B_1$, we get $\text{Hom}(T, \text{im} (\alpha)[1]) = 0$. Hence $\text{Hom}(T, \text{im} (\alpha)[i]) = 0$ for all $i$, which forces $\text{im} \alpha = 0$, i.e. $\alpha$ is the zero map. Hence $B_0 \subset B_1^\circ$, and we have $B_1^\circ = B_0$. That $B_0 = \mathfrak{X}_1^0$ and $B_1 = \mathfrak{X}_0^0$ are clear. This completes the proof of part (a).

To prove part (b), let $\mathcal{U}_{1,1}$ denote the heart obtained by tilting $Z$ with respect to $(B_1, B_1^\circ)$. That is, $\mathcal{U}_{1,1} = (\mathcal{B}_1^0, B_1)$, i.e. $\mathcal{U}_{1,1} = (\mathfrak{X}_1^0, \mathfrak{X}_0^0)$ by part (a). Thus $\Phi(\mathcal{U}_{1,1}) \subset \mathcal{A}$. Since both $\Phi(\mathcal{U}_{1,1})$ and $\mathcal{A}$ are hearts of bounded t-structures, they must be equal, i.e. $\mathcal{U}_{1,1} = \mathcal{H}$, proving part (b).

**Remark 3.4.** When $X$ is a smooth projective curve, $Z = \text{Coh}(X)$ and $T$ is a tilting sheaf on $X$, Lemma [3.3] says that the two hearts $\text{Coh}(X)$ and $\text{mod} A^{\text{op}}$ (where $A$ is the endomorphism algebra of $T$) differ by a single tilt.

### 3.2. When $Z$ has homological dimension 2.

When $Z$ has homological dimension 2 and the spectral condition holds, we will again show that $Z$ and $\mathcal{A}$ are related by tilting; this time, they are related by a sequence of two tilts.

**Lemma 3.5.** Suppose $Z$ is a noetherian abelian category of homological dimension 2. Then $\mathcal{B}_2^0 = \mathfrak{X}_1^0 \cap \mathfrak{X}_0^0$, and so $(\mathcal{B}_2, \mathfrak{X}_1^0 \cap \mathfrak{X}_0^0)$ is a torsion pair in $Z$.

**Proof.** Take any $E \in \mathcal{B}_2^0$. Since $T \in \mathcal{B}_2$, we have $\text{Hom}(T, E) = 0$, i.e. $E \in \mathcal{B}_0$. Hence $\mathcal{B}_2^0 \subseteq \mathfrak{X}_1^0 \cap \mathfrak{X}_0^0$. On the other hand, that $\mathfrak{X}_1^0 \subseteq \mathfrak{X}_2^0$ implies $\mathcal{B}_2^0 \subseteq \mathfrak{X}_0^0$. Hence $\mathcal{B}_2^0 \subseteq \mathfrak{X}_1^0 \cap \mathfrak{X}_0^0$.

To show the other inclusion, take any $F \in \mathfrak{X}_1^0 \cap \mathfrak{X}_0^0$, any $G \in \mathcal{B}_2$ and any morphism $\alpha : G \to F$ in $Z$. We have the exact sequence in $Z$

$$0 \to \ker (\alpha) \to G \to \text{coker} (\alpha) \to 0.$$ 

Since $\mathcal{B}_2$ is closed under quotients in $Z$ while $\mathfrak{X}_0^0$ is closed under subobjects in $Z$, we have $\text{im} (\alpha) \in \mathfrak{X}_0^0 \cap \mathfrak{X}_1^0$, i.e. $\text{im} (\alpha) \in \mathfrak{X}_1^0$. However, $F \in \mathfrak{X}_0^0$, so $\alpha$ must be the zero morphism. This completes the proof that $\mathcal{B}_2^0 = \mathfrak{X}_1^0 \cap \mathfrak{X}_0^0$. By Corollary 3.2, we see that $(\mathcal{B}_2, \mathfrak{X}_1^0 \cap \mathfrak{X}_0^0)$ is a torsion pair in $Z$. □

**Proposition 3.6.** Let $\mathcal{U}_{2,1}$ denote the heart obtained by tilting $Z$ with respect to the torsion pair $(\mathcal{B}_2, \mathfrak{X}_1^0 \cap \mathfrak{X}_0^0)$. Then $\mathcal{H}$ can be obtained from $\mathcal{U}_{2,1}$ by a tilt with respect to a torsion pair in $\mathcal{U}_{2,1}$.

**Proof.** Since $\mathcal{U}_{2,1} = \langle (\mathfrak{X}_1^0 \cap \mathfrak{X}_0^0)[1], \mathcal{B}_2 \rangle$, we see that $\Phi(\mathcal{U}_{2,1}) \subseteq \langle \mathcal{A}, \mathcal{A}[-1] \rangle$. Thus, by Proposition 3.7 below, we know $\Phi(\mathcal{U}_{2,1})$ is a tilt of $\mathcal{A}[-1]$, i.e. $\mathcal{U}_{2,1}$ is a tilt of $\mathcal{H}[-1]$ with respect to the torsion pair $(T, \mathcal{F})$ in $\mathcal{H}[-1]$, where

$$\Phi T = \mathcal{A}[-1] \cap \Phi(\mathcal{U}_{2,1}),$$

$$\Phi \mathcal{F} = \mathcal{A}[-1] \cap \Phi(\mathcal{U}_{2,1})[-1].$$

(3.1)
i.e.

\[ T = \mathcal{U}_{2,1} \cap (\mathcal{H}[-1]), \]
\[ F = (\mathcal{U}_{2,1} \cap \mathcal{H})[-1]. \]

□

**Proposition 3.7.** (BMT, Proposition 2.3.2(b)) If \( A, B \) are the hearts of two bounded t-structures on a triangulated category \( D \), and \( B \subset \langle A, A[1] \rangle \), then

\[ T := A \cap B \quad \text{and} \quad F := A \cap B[1] \]

form a torsion pair in \( A \), and \( B \) is the tilt of \( A \) with respect to the torsion pair \((T, F)\).

When the spectral condition holds, we can say a little more about the cohomology objects \( \Phi^0 E \) and \( \Phi^2 E \) for any \( E \in \mathcal{Z} \):

**Lemma 3.8.** Suppose \( \mathcal{Z} \) is a noetherian abelian category of homological dimension 2 and the spectral condition holds. Then for any \( E \in \mathcal{Z} \), we have \( \Phi^0(E) \in \mathcal{Y}_0 \) and \( \Phi^2(E) \in \mathcal{Y}_{-2} \). If \( E \in \mathcal{B}_0 \), then \( \Psi^{-2}(\Phi^1 E) = 0 \).

**Proof.** Consider the spectral sequence (2.1) for \( E \in \mathcal{Z} \). Since \( \mathcal{Z} \) has homological dimension 2, along with [JMS, Lemma 5], we have \( E^{p,q}_2 = 0 \) unless \( -2 \leq p \leq 0 \) and \( 0 \leq q \leq 2 \). That \( E^{p,q}_2 = 0 \) for \( p + q \neq 0 \) implies that \( E^{-2,0}_2, E^{-1,0}_2, E^{-1,2}_2 \) and \( E^0,2_2 \) all vanish, giving us the first claim. If \( E \in \mathcal{B}_0 \), then \( E^{-2,1}_2 = E_{-2,1}^\infty \) also vanishes.

□

Similarly, we have:

**Lemma 3.9.** Under the hypotheses of Lemma 3.8, for any \( M \in \mathcal{A} \), \( \Psi^0(M) \in \mathcal{X}_0 \) and \( \Psi^{-2}(M) \in \mathcal{X}_2 \).

As a result, we can write the torsion pair in Lemma 3.5 in a different way:

**Lemma 3.10.** Suppose \( \mathcal{Z} \) is a noetherian abelian category of homological dimension 2 and the spectral condition holds. Then \( \mathcal{B}_0 = \mathcal{X}^\circ \), and so \( (\mathcal{B}_2, \mathcal{X}^\circ \cap \mathcal{X}^\circ_{-2}) \) is a torsion pair in \( \mathcal{Z} \).

**Proof.** That \( \mathcal{B}_0 \subseteq \mathcal{X}_0^\circ \) is clear. Given any \( E \in \mathcal{Z} \), we have \( \Phi^0E \in \mathcal{Y}_0 \) by Lemma 3.8. If we apply \( \Psi \) to the canonical exact triangle in \( D(\mathcal{A}) \)

\[ \Phi^0E \rightarrow \Phi E \rightarrow \tau^{\geq 1}(\Phi E), \]

we get the exact triangle in \( D(\mathcal{Z}) \)

\[ \Psi(\Phi^0E) \rightarrow E \rightarrow \Psi(\tau^{\geq 1}(\Phi E)) \]

where \( \Psi(\Phi^0E) \in \mathcal{X}_0^\circ \) by Lemma 3.8. If \( E \in \mathcal{X}_0^\circ \), then \( \Phi^0E \) must be zero, i.e. \( E \in \mathcal{B}_0 \). Hence \( \mathcal{X}_0^\circ \subseteq \mathcal{B}_0 \). By Lemma 3.5, we are done. □

The following lemma gives another description of the tilt from \( \mathcal{U}_{2,1} \) to \( \mathcal{H} \) or, equivalently, from \( \mathcal{A} \) to \( \Phi(\mathcal{U}_{2,1})[1] \), which is perhaps more illuminating than the description in Proposition 3.6.

**Lemma 3.11.** The heart \( \Phi(\mathcal{U}_{2,1})[1] \) can be obtained from \( \mathcal{A} \) by tilting with respect to the torsion pair

\[ (\Phi \mathcal{T}[1], \Phi \mathcal{F}[1]) = (\mathcal{C}_0, \mathcal{Y}_{-1} \cap \mathcal{Y}_{-2}). \]
Putting Proposition 3.6 and Lemmas 3.11 together, we can summarise the tilts we have constructed so far in the following diagram, where the left column represents the tilt on the $D(Z)$ side, and the right column represents the tilt on the $D(A)$ side:

$$
\begin{array}{ccc}
Z & \to & \Phi(U_{2,1})[1] \\
\downarrow_{(B_2,A_0^c \cap A_1^c)} & & \downarrow_{(C_0,Y_0^c \cap Y_2^c)} \\
U_{2,1} & \to & A
\end{array}
$$

(3.2)

Remark 3.12. From diagram (3.2), it is as if the tilt on the left is a ‘mirror image’ of the tilt on the right. However, it is not clear whether this phenomenon holds in general.

Proof of Lemma 3.11. From the proof of Proposition 3.6, we already know that $\Phi(U_{2,1})[1]$ can be obtained by tilting $A$ at the torsion pair $(\Phi F[1], \Phi T[1])$. So what we want to show here are $\Phi F[1] = C_0$ and $\Phi F[1] = Y_1^c \cup Y_2^c$.

To start with, let us show $\Phi F[1] = C_0$. Take any $M \in \Phi F[1]$. Then $\Psi M \in U_{2,1}[1] \subseteq D_{Z^2,-1}$, and so $\Psi M \in C_0$. For the other inclusion, take any $M \in C_0$. Then $\Psi^0 M = 0$, and from the spectral sequence (2.2), we see that $\Psi^1 M \in B_2$. Also, $\Psi^{-2} M$ lies in $X_2$, and so lies in $X_0^c \cap X_1^c$. Overall, $\Psi M \in U_{2,1}[1]$ by Lemma 3.10, giving us $M \in \Phi(U_{2,1}[1]) \cap A = \Phi F[1]$. Hence $\Phi F[1] = C_0$.

Next, let us show $\Phi F[1] = Y_1^c \cup Y_2^c$. Take any $M \in \Phi F[1]$, any $G \in Y_1^{-1}$ and any $A$-linear map $\alpha : G \to M$. Let $I := \text{im} (\alpha)$. Since $\Phi F[1]$ is the torsion-free class in a torsion pair in $A$, it is closed under taking subobjects in $A$. Hence $I \in \Phi F[1]$, and so $\Psi(I) \in U_{2,1} \cap A_1^c$. On the other hand, $\Psi G \in X_1[1]$. Writing $\alpha$ for the surjection $G \to I$ in $A$ induced by $\alpha$, we see that $\Phi \alpha \in \text{Hom}_Z(\Psi G, \Psi I)$ is induced by some $\alpha' \in \text{Hom}_A(\Psi^{-1} G, \Psi^{-1} I)$ (note: here, we are using $\Psi^i$ to denote the functor $H^i \circ \Psi$). However, $\Psi^{-1} G \in X_1$, while $\Psi^{-1} I \in X_0^c$, so $\alpha'$ must be zero, i.e. $\alpha$ must be zero. This shows that $M \in Y_1^{-1}$. That $M \in Y_2^{-1}$ is clear ($\Psi M$ has cohomology at degrees $-1$ and $0$ only). Hence $\Phi F[1] \subseteq Y_1^{-1} \cup Y_2^{-1}$.

To prove the other inclusion, take any $N \in Y_1^{-1} \cap Y_2^{-1}$. We want to show that $\Psi^{-1} N = 0$, and we have an exact triangle in $Z$

$$
\Psi^{-1} N[1] \to \Psi N \to \Psi^0 N.
$$

(3.3)

Now, $\Psi^0 N$ lies in $X_0$ by Lemma 3.9, and in particular $\Psi^0 N \in B_2$. Therefore, it remains to show that $\Psi^{-1} N \in B_0 \cap X_1^c$ (by Lemma 3.10).

Applying $\Phi$ to the exact triangle (3.3), we see that $\Phi^0 (\Psi^{-1} N) = 0$, and so $\Psi^{-1} N \in B_0$. Finally, to show $\Psi^{-1} N \in X_1^c$, let us take any $G \in X_1$. Since we have the isomorphism

$$
\text{Hom}_{D(Z)}(G[1], \Psi N) \cong \text{Hom}_Z(G, \Psi^{-1} N),
$$

we conclude that $\Psi^{-1} N \in X_1^c$. Hence $\Psi^{-1} N \in Y_1^{-1} \cap Y_2^{-1}$.
any nonzero morphism \( \theta : G \to \Psi^{-1}N \) induces a nonzero morphism \( \bar{\theta} : G[1] \to \Psi N \), and hence a nonzero morphism \( \Phi(\bar{\theta}) : \Phi^i G \to N \). However, \( \Phi^i G \in \mathcal{Y}_{-i} \) while \( N \notin \mathcal{Y}_{-i} \), so we have a contradiction. Hence \( \Psi^{-1}N \) must lie in \( \mathcal{X}_1 \), and this completes the proof of this lemma. \( \square \)

**Remark 3.13.** As in Remark 3.4 if \( X \) is a smooth projective surface, \( \mathcal{Z} = \text{Coh}(X) \) and \( T \) a tilting sheaf on \( X \), then Proposition 3.6 says that the two hearts \( \text{Coh}(X) \) and \( \text{mod} A^{op} \) are related by a sequence of two tilts.

**Remark 3.14.** When \( \mathcal{Z} \) is the category \( \text{Coh}(X) \) of coherent sheaves on a smooth projective variety \( X \) over \( \mathbb{C} \), there is an infinite number of simple objects in \( \text{Coh}(X) \) (e.g. the skyscraper sheaves), and so Assumption 1 in Woolf [W] is not satisfied.

Since \( \mathcal{C}_0 \) is closed under extensions and quotients in \( \mathcal{A} \), Lemma 3.1 tells us that we have a torsion pair \((\mathcal{C}_0, \mathcal{C}_0^\perp)\) in \( \mathcal{A} \). Combining this observation with Lemma 3.11 we obtain:

**Corollary 3.15.** Suppose \( \mathcal{Z} \) is a noetherian abelian category of homological dimension 2 and the spectral condition holds. Then \( \mathcal{C}_0^\perp = \mathcal{Y}_{-1} \cap \mathcal{Y}_{-2} \).

Given the results in this section, it is natural to ask:

**Question 3.16.** Suppose \( \mathcal{Z} \) is the category of coherent sheaves on a smooth projective variety of dimension \( n \) (resp. the category of finitely generated modules over a finite-dimensional algebra of homological dimension \( n \)), while \( T \) is a tilting sheaf (resp. a tilting object), and \( A \) is the endomorphism algebra of \( T \). Are \( \mathcal{Z} \) and \( \text{mod} A^{op} \) related by a sequence of \( n \) tilts?

### 4. Results of Jensen-Madsen-Su

In this section, we give simplified proofs of the two main theorems in [JMS] by using the spectral sequences (2.1) and (2.2). For convenience, throughout this section, \( \mathcal{Z} \) will be a noetherian abelian category of homological dimension two that is \( k \)-additive for a field \( k \) and \( \text{Hom} \)-finite, and we will assume that the spectral condition holds.

As in [JMS], we define the following full subcategories of \( \mathcal{Z} \):

\[
\begin{align*}
\mathcal{K}_0 & := \{ \text{cokernels of injections from objects in } \mathcal{X}_2 \text{ to objects in } \mathcal{X}_0 \} \\
\mathcal{K}_2 & := \{ \text{kernels of surjections from objects in } \mathcal{X}_2 \text{ to objects in } \mathcal{X}_0 \} \\
\mathcal{K}_1 & := \mathcal{X}_1 \\
\mathcal{E}_i & := \text{extension-closure of } \mathcal{K}_i \text{ for } i = 0, 1, 2.
\end{align*}
\]

Note the following relations:

\[
\mathcal{X}_0 \subseteq \mathcal{K}_0 \subseteq \mathcal{B}_2, \mathcal{X}_2 \subseteq \mathcal{K}_2 \subseteq \mathcal{B}_0, \mathcal{X}_1 = \mathcal{K}_1 = \mathcal{E}_1.
\]

The two main theorems of Jensen-Madsen-Su in [JMS] are as follows:

**Theorem 4.1.** [JMS, Theorem 2] Suppose \( T \) is a tilting object in \( \mathcal{Z} \), which has homological dimension at most two. Then for any object \( E \in \mathcal{Z} \), there is a unique and functorial filtration

\[
0 = E_0 \subseteq E_1 \subseteq E_2 \subseteq E_3 = E
\]

with \( E_{i+1}/E_i \in \mathcal{E}_i \), where the \( \mathcal{E}_i \) are pairwise disjoint and extension-closed subcategories of \( \mathcal{Z} \).
The filtration \((E_1)\) can be refined as shown below:

**Theorem 4.2.** [JMS] Theorem 4 Suppose \(T\) is a tilting object in \(Z\), which has homological dimension at most two. Then for any object \(E \in Z\), there is a filtration

\[
0 = Z_0 \subseteq \cdots \subseteq Z_n \subseteq Y_n \subseteq \cdots \subseteq Y_0 = E
\]

with all \(Z_{i+1}/Z_i \in K_0, Y_n/Z_n \in K_1\) and all \(Y_i/Y_{i+1} \in K_2\), for some \(n\).

Note that, Theorem 4.2 implies the existence of the filtration in Theorem 4.1 simply from the way we define the categories \(E_i\).

**Lemma 4.3.** [JMS] Lemma 12] For any object \(E \in Z\), we have a filtration in \(Z\)

\[
F^0E \subseteq F^{-1}E \subseteq F^{-2}E = E
\]

where \(F^0E \in K_0, F^{-1}E/F^0E \cong E^{-1,1}_2\) and \(F^{-2}E/F^{-1}E \in K_2\).

**Proof.** From the spectral sequence (2.1), we have a filtration

\[
F^0E \subseteq F^{-1}E \subseteq F^{-2}E = E
\]

where

\[
F^0E \cong E^{0,0}_\infty, F^{-1}E/F^0E \cong E^{-1,1}_2, F^{-2}E/F^{-1}E \cong E^{-2,2}_\infty.
\]

From the \(E_2\) page of the spectral sequence, we obtain short exact sequences in the abelian category \(Z\)

\begin{align*}
0 \to E^{-2,1}_2 & \to E^{0,0}_2 \to E^{0,0}_\infty \to 0, \\
0 \to E^{-2,2}_\infty & \to E^{0,1}_2 \to E^{0,1}_2 \to 0.
\end{align*}

By Lemma 3.9 the short exact sequence (4.4) immediately gives us \(E^{0,0}_\infty \in K_0\), while the short exact sequence (4.5) immediately gives \(E^{-2,2}_\infty \in K_2\). \(\square\)

For any object \(E \in Z\), let \(d(E)\) denote the dimension of \(\Phi^1E\) as a vector space over \(k\). Lemma 4.3 together with the following lemma, will give us Theorem 4.2

**Lemma 4.4.** [JMS] Lemma 13] For any \(E \in Z\), we have \(d(E^{-1,1}_2) \leq d(E)\), and equality holds only if \(E^{-1,1}_2 \in X_1\).

**Proof of Theorem 4.2.** Take any \(E \in Z\). Let \(Z_0 = 0\) and \(Y_0 = E\). By Lemma 4.3 we have a filtration of \(E\)

\[
0 = Z_0 \subseteq Z_1 \subseteq Y_1 \subseteq Y_0 = E
\]

where \(Z_1 := F^0E\) and \(Y_1 := F^{-1}E\) as in (4.3); Lemma 4.3 also tells us that \(Z_1/Z_0 \in K_0, Y_1/Z_1 \cong E^{-1,1}_2\) and \(Y_0/Y_1 \in K_2\).

We can now repeatedly apply Lemma 4.3 to \(Y_i/Z_i\) for \(i \geq 0\) to refine the filtration (4.6). By Lemma 4.4 there exists an \(n\) such that \(Y_n/Z_n \in X_1\), at which point we have constructed the desired filtration (4.2). \(\square\)

**Remark 4.5.** As noted earlier, Theorem 4.2 implies the existence of the filtration in Theorem 4.1. In fact, we also have functoriality for the filtration (4.2) in Theorem 4.2 since the filtration (4.2) comes from the spectral sequences (2.1) and (2.2), the functoriality of (4.2) follows from that of the Cartan-Eilenberg resolution (see the proof of [H Proposition 2.66]).
Recall from Corollary 3.2 that we have a torsion pair \((B_2, B'_2)\) in \(\mathcal{Z}\), and so for any \(E \in \mathcal{Z}\), we have a filtration of the form
\[
(4.7) \quad 0 \to E_T \to E \to E_F \to 0
\]
where \(E_T \in B_2\) and \(E_F \in B'_2\). From torsion theory, we know that such a filtration is unique. The following lemma says that the filtration constructed by Jensen-Madsen-Su can be obtained as a refinement of the filtration \((4.7)\):

**Lemma 4.6.** For any \(E \in \mathcal{Z}\), we can refine the filtration \((4.7)\) of \(E\) to obtain a filtration of the form \((4.1)\), in the following sense:

- the term \(E_F\) in \((4.7)\) lies in \(E_2\);
- the term \(E_T\) in \((4.7)\) is an extension
  \[
  0 \to E_{T,1} \to E_T \to E_{T,2} \to 0 \quad \text{in } \mathcal{Z}
  \]
  where \(E_{T,1} \in \mathcal{E}_0, E_{T,2} \in \mathcal{E}_1\).

We single out a step in the proof of Lemma 4.6:

**Lemma 4.7.** We have \(E_2 = B'_2\).

**Proof.** Take any nonzero \(E \in K_2\). By definition, \(E\) is the kernel of some surjection \(\alpha : G \to B\) in \(\mathcal{Z}\) where \(G \in X_2, B \in X_0\). If there is a nonzero morphism \(\beta : C \to E\) where \(C \in B_2\), then the induced map \(C \to G\) would be a nonzero morphism. However, \(\text{Hom}_{D(A)}(\Phi C, \Phi G) = 0\), so we have a contradiction. This shows that \(K_2 \subseteq B'_2\), and so \(E_2 \subseteq B'_2\).

To show the other inclusion, i.e. \(B'_2 \subseteq E_2\), let us take any \(E \in B'_2\). Clearly, \(\text{Hom}(X_1, E) = 0\). We claim that we also have \(\text{Hom}(K_0, E) = 0\): for any nonzero \(G \in K_0\), we have a surjection \(G' \to G\) in \(\mathcal{Z}\) where \(G' \in X'_0 \subseteq B_2\). Since any composite morphism \(G' \to G \to E\) must be zero, we see that \(\text{Hom}(G, E) = 0\). Hence \(\text{Hom}(K_0, E) = 0\), and by Theorem 4.2, \(E\) itself must lie in \(E_2\). \(\square\)

**Proof of Lemma 4.6** Consider the filtration \((4.7)\) of \(E\). By Lemma 4.7, we have \(E_F \in E_2\). Since \(E_T \in B_2\) and \(\text{Hom}(B_2, E_2) = 0\) (also by Lemma 4.7), Theorem 4.2 tells us that \(E_T\) has a filtration in \(\mathcal{Z}\) of the form
\[
0 \subseteq E_{T,1} \subseteq E_T \quad \text{in } \mathcal{Z}
\]
where \(E_{T,1} \in \mathcal{E}_0\) and \(E_T/E_{T,1} \in \mathcal{E}_1\). \(\square\)

In Section 5, we give a generalisation of the filtration \((4.7)\) in Theorem 4.1; the following lemma will follow immediately from Theorem 5.3 and Corollary 5.6 (since every torsion pair gives a unique two-step filtration):

**Lemma 4.8.** The filtration \((4.1)\) is unique.

Theorem 5.3 and Corollary 5.6 also tell us:

**Corollary 4.9.** The categories \(\mathcal{E}_0, \mathcal{E}_1, \mathcal{E}_2\) have trivial pairwise intersections.

We have now recovered Theorem 4.1 of Jensen-Madsen-Su in its entirety:

**Proof of Theorem 4.1** Remark 4.5 already explained why we have the existence and functoriality parts of the theorem. The uniqueness part was Lemma 4.8. \(\square\)
As remarked at the end of [JMS, Section 1], using spectral sequences gives a relatively efficient proof of Theorems 4.1 and 4.2. As pointed out in [JMS] as well, however, it seems much more difficult to generalise the spectral sequence argument in this section to the case where \( \mathcal{Z} \) has homological dimension higher than two. In other words, for higher homological dimensions, it is not clear how to define analogues of the categories \( \mathcal{E}_0, \mathcal{E}_1, \mathcal{E}_2 \) using the spectral sequences (2.1) and (2.2).

We end this section with some easy observations and speculations on generalising Theorems 4.1 and 4.2 using spectral sequences:

**Lemma 4.10.** Suppose \( \mathcal{Z} \) is a noetherian abelian category of homological dimension \( n \geq 1 \), and that \( \Psi^jG = 0 \) for all \( G \in \mathcal{A} \) and \( j \notin [-n, 0] \). Let \( E \in \mathcal{Z} \), and suppose the filtration of \( E \) given by the spectral sequence (2.1) is

\[
F^1E \subseteq F^0E \subseteq \cdots \subseteq F^{-n}E = E
\]

where \( F^iE/F^{i+1}E \cong E^{-i}_{i} \) for \( -n \leq i \leq 0 \). Then \( E^{0,0}_\infty \in \mathcal{B}_n \), and \( E^{-n,n}_\infty \in \mathcal{B}_0 \).

**Proof.** Observe that we have a series of surjections in \( \mathcal{Z} \)

\[
E^{0,0}_2 \rightarrow E^{0,0}_3 \rightarrow \cdots \rightarrow E^{0,0}_n \rightarrow E^{0,0}_\infty.
\]

Since \( E^{0,0}_2 \in \mathcal{B}_n \) (this follows from the spectral sequence (2.2)) and \( \mathcal{B}_n \) is closed under quotients in \( \mathcal{Z} \), we have \( E^{0,0}_\infty \in \mathcal{B}_n \). The proof for the second part is similar: we use the series of injections in \( \mathcal{Z} \)

\[
E^{-n,n}_\infty \hookrightarrow E^{-n,n}_n \hookrightarrow \cdots \hookrightarrow E^{-n,n}_2,
\]

and note that \( E^{-n,n}_2 \in \mathcal{B}_0 \) and that \( \mathcal{B}_0 \) is closed under taking subobjects in \( \mathcal{Z} \). \( \square \)

**Remark 4.11.** In fact, in the proof of Lemma 4.10 above, for any object \( E \in \mathcal{Z} \), the spectral sequence (2.2) gives us \( E^{0,0}_2 \in \mathcal{B}_{n-1} \cap \mathcal{B}_n \) and \( E^{-n,n}_2 \in \mathcal{B}_0 \cap \mathcal{B}_1 \). Using the notation in (5.1), we have \( E^{0,0}_\infty \in [\mathcal{B}_{n-1} \cap \mathcal{B}_n] \).

Judging from the way we used Lemmas 4.3 and 4.4 to obtain the filtration (4.2), one might guess that, when the homological dimension of \( \mathcal{Z} \) is higher than two, we could repeatedly filter the intermediate terms \( \{F^iE/F^{i+1}E\}_{-n \leq i \leq 0} \) in (4.8) until they stabilise as in Lemma 4.4.

5. A generalisation of Jensen-Madsen-Su’s filtration

In this section, we give a generalisation of Jensen-Madsen-Su’s filtration of the category \( \mathcal{Z} \), for any noetherian abelian category \( \mathcal{Z} \) having any finite homological dimension. For this generalisation, we do not assume that the spectral condition holds. The idea is to produce \( n \) torsion pairs when \( \mathcal{Z} \) has homological dimension \( n \), and take intersections of these torsion and torsion-free classes. These intersections will be extension-closed subcategories of \( \mathcal{Z} \) that contain the factors of the filtration for any \( E \in \mathcal{Z} \). To produce these torsion pairs in \( \mathcal{Z} \), we need:

**Lemma 5.1.** Let \( \mathcal{Z} \) be an abelian category, and \( \mathcal{S} \) any full subcategory of \( \mathcal{Z} \). Let \( [\mathcal{S}] \) denote the extension-closure generated by \( \mathcal{Z} \)-quotients of objects in \( \mathcal{S} \), i.e.

\[
[\mathcal{S}] := \langle \{E \in \mathcal{Z} : \exists E' \rightarrow E \text{ in } \mathcal{Z}, E' \in \mathcal{S}\} \rangle.
\]

Then \( [\mathcal{S}] \) is closed under quotients in \( \mathcal{Z} \).
Proof. Take any object $E \in [S]$. Then we have a filtration in $Z$
\[
0 = E_0 \subseteq E_1 \subseteq E_2 \subseteq \cdots \subseteq E_m = E
\]
along with some surjections $G_i : E_i \twoheadrightarrow E_{i-1}$ in $Z$, for $1 \leq i \leq m$. For any surjection $\phi : E \twoheadrightarrow E'$ in $Z$, we have the following filtration for $E'$:
\[
0 \subseteq \phi(E_1) \subseteq \phi(E_2) \subseteq \cdots \subseteq \phi(E_m) = E',
\]
where each $\phi(E_i)$ denotes the image of $E_i$ under $\phi$; we also have induced surjections $E_i/E_{i-1} \twoheadrightarrow \phi(E_i)/\phi(E_{i-1})$. This completes the proof of the lemma. \qed

Corollary 5.2. Let $Z$ be a noetherian abelian category, and $S$ any full subcategory of $Z$. Then we have a torsion pair $([S], [S]^\circ)$ in $Z$.

Proof. By Lemma 5.1, $[S]$ is closed under taking quotients and extensions in $Z$. The corollary then follows from Lemma 5.1. \qed

For the rest of this section, assume that $Z$ is a noetherian abelian category of homological dimension $n$, where $n$ is finite. For any $1 \leq i \leq n$, let us define
\[
T_i := [B_i \cap \cdots \cap B_{n-1} \cap B_n],
\]
\[
F_i := T_i^\circ = \{ E \in Z : \text{Hom}_Z(T_i, E) = 0 \}.
\]
We also define $T_{n+1} = Z$ and $F_{n+1} = \{0\}$. By Corollary 5.2 we have a torsion pair $(T_i, F_i)$ in $Z$ for each $1 \leq i \leq n + 1$.

We can now prove a generalisation of Jensen-Madsen-Su’s Theorem 4.1:

Theorem 5.3. Let $Z$ be a noetherian abelian category of finite homological dimension $n$. Given any $E \in Z$, there is a unique filtration of $E$ in $Z$
\[
0 = E_0 \subseteq E_1 \subseteq E_2 \subseteq \cdots \subseteq E_n \subseteq E_{n+1} := E
\]
where for all $1 \leq i \leq n + 1$, we have
\[
E_i \in T_i \quad \text{and} \quad E_i/E_{i-1} \in T_i \cap F_{i-1}.
\]
Besides, the categories $T_i \cap F_{i-1}$ are extension-closed and have pairwise trivial intersections.

Proof. We construct the filtration (5.2) one term at a time, starting from the right-hand side. Note that $E_{n+1} = E \in T_{n+1}$ by definition.

Using the torsion pair $(T_n, F_n)$, which is the same as $(B_n, B_n^\circ)$, we can write $E$ as an extension
\[
0 \to E' \to E \to E'' \to 0
\]
where $E' \in T_n$ and $E'' \in F_n$. We define $E_n := E'$, so that $E_n \in T_n$. Then $E_{n+1}/E_n = E/E' \cong E'' \in F_n = T_{n+1} \cap F_n$.

From here on, for each $i$ in the sequence $n, n-1, \cdots, 2$, we use the torsion pair $(T_{i-1}, F_{i-1})$ to write $E_i$ as an extension
\[
0 \to E_i' \to E_i \to E_i'' \to 0
\]
where $E_i' \in T_{i-1}$ and $E_i'' \in F_{i-1}$. Then we define $E_{i-1} := E_i'$, so $E_{i-1} \in T_{i-1}$, while $E_i/E_{i-1} \in F_{i-1}$. Since $E_i \in T_i$ and $T_i$ is closed under quotients in $Z$, we have $E_i/E_{i-1} \in T_i \cap F_{i-1}$.

Since the categories $T_i, F_i$ are extension-closed for all $i$, the intersection $T_i \cap F_{i-1}$ is also extension-closed for all $i$.\[\]
For any $1 \leq i < j \leq n+1$, we have $T_i \subseteq T_{j-1}$ from the definition of these categories. On the other hand, $T_{j-1}$ and $T_j \cap F_{j-1}$ have trivial intersection. Hence $T_i \cap F_{j-1}$ and $T_i \cap F_{j-1}$ have trivial intersection. Lastly, the uniqueness of such a filtration follows from the fact that, with respect to any torsion pair, every object in $Z$ has a unique filtration by its torsion part and torsion-free part. □

Now, we check that our filtration $(5.2)$ coincides with Jensen-Madsen-Su’s filtration $(4.1)$ when $Z$ has homological dimension 2 and the spectral condition holds. We begin with the observation that the category $K_0$ in Section 4 has a simpler description:

**Lemma 5.4.** Suppose $Z$ is an abelian category of homological dimension 2 and the spectral condition holds. Then any quotient $E$ of an object in $X_0$ lies in $K_0$, i.e. $E$ fits in a short exact sequence in $Z$

$$0 \to A \to B \to E \to 0$$

where $A \in X_2$ and $B \in X_0$. As a result,

$$K_0 = \{Z\text{-quotients of objects in } X_0\},$$

$$E_0 = [X_0] = [B_1 \cap B_2].$$

**Proof.** Suppose we have a short exact sequence in $Z$

$$(5.3) \quad 0 \to C \to D \to E \to 0$$

where $D \in X_0$. Since $X_0 = B_1 \cap B_2$ when $Z$ has homological dimension two, and $B_2$ is closed under quotients in $Z$, we have $E \in B_2$.

On the other hand, applying $\Phi$ to $(5.3)$ and taking the long exact sequence of cohomology, we see that $\Phi^1 E \cong \Phi^2 C$. By Lemma 3.9, $\Psi^p \Phi^1 E = 0$ for all $p \neq -2$. Thus $E \cong E_{\infty}^{0,0}$, and the spectral sequence $(2.1)$ gives us a short exact sequence in $Z$

$$0 \to \Psi^{-2} \Phi^1 E \to \Psi^0 \Phi^0 E \to E \to 0.$$  

By Lemma 3.9, we see that $E$ lies in $K_0$. The rest is clear. □

**Lemma 5.5.** When $Z$ has homological dimension 2 and the spectral condition holds, we have $B_2 \cap E_0^0 = X_1$.

**Proof.** Clearly, $X_1 \subseteq B_2$. Given any $E \in X_1$, we also want to show $E \in E_0^0$. Since $E_0$ is the extension closure of $K_0$, it suffices to show that any morphism $G \to E$ in $Z$, where $G \in K_0$, is the zero morphism. However, by the definition of $K_0$, we have a surjection $G' \to G$ in $Z$ where $G' \in X_0$. Since $\text{Hom}(X_0, X_1) = 0$, $\alpha \beta$ must be zero, and so $\alpha$ itself must be zero. Hence $X_1 \subseteq B_2 \cap E_0^0$.

Conversely, suppose $E \in B_2 \cap E_0^0$. By applying $\Psi$ to the exact triangle $\Phi^0 E \to \Phi E \to \Phi^1 E[-1]$ and taking the long exact sequence of cohomology (or, equivalently, by using the spectral sequence $(2.1)$), we get an exact sequence in $Z$

$$0 \to \Psi^{-2} \Phi^1 E \to \Psi^0 \Phi^0 E \to E \to \Psi^{-1} \Phi^1 E \to 0.$$  

Since $\Psi^0 \Phi^0 E \in X_0$ by Lemma 3.9 and $E \in E_0^0$ (and $X_0 \subseteq E_0$), we have $\gamma = 0$. Hence $\Psi^{-2} \Phi^1 E \cong \Psi^0 \Phi^0 E$, forcing both these two terms to be zero by Lemma 3.9 and since $X_2 \cap X_0 = 0$. Hence $E \cong \Psi^{-1} \Phi^1 E$; by Lemma 4.4, we have $E \in X_1$, as wanted. □
Corollary 5.6. The filtration (5.2) coincides with the filtration (4.1) when $Z$ has homological dimension 2 and the spectral condition holds.

Proof. When $n = 2$ in (5.2), we have $E/E_2 \in T_2 \cap F_2 = B_2 = E_2$ by Lemma 4.7. Also, $E_2/E_1 \in T_2 \cap F_1 = B_2 \cap [X_0] = B_2 \cap E_0 = X_1$ by Lemmas 5.4 and 5.5. Finally, $E_1 \in T_1 = [X_0] = E_0$. Hence the filtration (5.2) indeed reduces to (4.1) under our hypotheses. □

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