Animal-assisted intervention in the ICU: a tool for humanization

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The combination of an aging population and advances in critical care medicine is resulting in a growing number of survivors of critical illness [1]. Survivors’ descriptions of their stay in an intensive care unit (ICU) are frequently filled with traumatic events, and include experiences of confusion, anxiety, sleeplessness, pain, and loneliness [2, 3]. Sedative and anxiolytic medications administered to manage patient symptoms are associated with delirium and worse physical and mental health outcomes [4]. Therefore, there is growing interest in the use of non-pharmacologic interventions and in creating a more humanized environment in the ICU for patients and their families [5]. Such efforts have included a focus on understanding the critically ill patient as an individual and providing comprehensive medical, psychological, and rehabilitation care [6–8]. This publication aims to: 1) suggest a conceptual model for the use of non-pharmacologic interventions to reduce suffering and promote recovery in a more humanized ICU environment; 2) describe animal-assisted intervention (AAI) as an exemplar of a non-pharmacologic intervention and provide a conceptual model for the utility of this intervention; and 3) discuss the basic principles for introducing a non-pharmacologic intervention program in the ICU.

**Patient suffering and the humanized ICU: where do non-pharmacological interventions fit?**

To aid in conceptualizing non-pharmacologic interventions in the ICU, we propose an adaptation of the Loeser pain and suffering model [9]. This model highlights the inter-relatedness of physiologic and emotional suffering, and the importance of interdisciplinary care in recovery from disease (Fig. 1). In the model, the innermost circle represents physiologic burden where patients sustain physiologic changes, such as hypoxia or hypotension, and require medical interventions, such as mechanical ventilation or vasopressors. The second circle represents suffering, which includes the patient’s thoughts (e.g., “I am short of breath. I am dying”; “I am a burden and worthless;” “Walking while critically ill will harm me”) and emotions (e.g., anxiety, sadness, and loneliness) about their physiologic and environmental experience. Non-pharmacologic interventions to alleviate suffering can include education, psychological support, and other methods to reduce distress (e.g., cognitive-behavioral therapy, animal-assisted intervention, and music intervention). The third circle represents behavior, wherein worsening of physiologic burden and suffering can change patient engagement in medical and/or rehabilitation care (e.g., disengagement in rehabilitation, avoidance of medical information, declining recommended medical interventions). In the behavior realm, interventions (e.g., early mobility and motivational interviewing) move patients toward action and reinforce their role as participants in their own recovery.

Interventions in one circle have the potential to influence outcomes in other domains. Equally important is acknowledging that the patients’ experiences at each level are real even if they are difficult to observe and measure. Increased attention to both patient suffering and behavior domains ensures comprehensive care and potentially better long-term outcomes.

**AAI: an exemplar of a non-pharmacologic intervention to reduce suffering and encourage recovery behavior**

Some healthcare facilities have integrated AAI, in populations ranging from pediatrics to geriatrics, in order to reduce suffering and promote recovery behavior. Existing literature suggests that AAI reduces symptoms of anxiety and depression [10, 11], promotes engagement in rehabilitation therapies [12], and eases distressing physiologic symptoms (e.g., pain) [13]. Data regarding AAI in the ICU are scant, with narratives suggesting that animal presence is beneficial to patients [14]. Hypothesized mechanisms for the benefit of AAI (and potentially

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other non-pharmacologic interventions) are outlined in Fig. 2. Further research regarding potential benefits is needed to build the case for animal presence in the humanized ICU. Anecdotal evidence suggests that a dog sitting in a patient's lap eases suffering and builds motivation in ways that medical interventions may not (Fig. 3).

Implementing an AAI program for the ICU
Building new, non-pharmacologic interventions, with the intention to reduce suffering and optimize health behavior change, takes a concerted, multidisciplinary effort. Although we use the exemplar of AAI, the following program building process may apply to other non-pharmacological interventions.

We have identified six critical success factors for program building: (1) designating a champion who is consistently present in the ICU with established credibility to create systematic change; (2) having clear program goals with milestones and measurable outcomes, such as (a) improving patient mood, (b) improving engagement in medical care and rehabilitation therapies, and (c) reducing perceived pain; (3) including stakeholders who can help identify and surmount barriers to implementation (Table 1), such as risk management and hospital epidemiology and infection control staff; (4) identifying animal teams and partnering them with an organization that has credibility in training teams for the hospital environment, such as Pet Partners, Inc. (https://petpartners.org/) and Assistance Dogs International (https://assistancedogsinternational.org); (5) creating a policy that (a) establishes goals of the program, (b) outlines roles/responsibilities for all involved in the program, (c) outlines logistics of animal visits, (d) specifies what do in the event of an accident, and (e) establishes a plan for program evaluation; and (6) launching the program with patients who have a high likelihood of success, such as patients without delirium, communicable disease, or the need for contact precautions due to colonization with a drug-resistant microorganism, to build confidence and create momentum for the program.

Summary
As critical care medicine is increasingly successful in preventing death, the field is more focused on optimizing patients' survivorship experience. Through creating humanized ICU environments and implementing non-pharmacologic interventions, patients no longer must wait for hospital discharge before they begin to live again. Non-pharmacological intervention programs, such as AAI, may reduce suffering and help patients take an active role in their recovery.
Fig. 3 Patient with pain after chest tube removal gets distraction and relaxation with Winnie, the Golden Retriever (left), and patient receiving continuous renal replacement therapy and mechanical ventilation via tracheostomy finds motivation to sit out of bed in a chair thanks to Pippi, the West Highland Terrier (right).

Table 1 Examples of stakeholders and roles for an AAI program

| Stakeholder         | Role and responsibilities                                                                                                                                 |
|---------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------|
| Program Champion    | 1. Develops policy and procedures with the healthcare facility stakeholders  
                      2. Provides training for facilitators of AAI interventions and ensures that protocol is adopted/followed  
                      3. Coordinates and/or oversees visits  
                      4. Oversees program evaluation                                                                                                                                 |
| ICU team            | 1. Ensures patient/family appropriateness for visit. Recommended questions when evaluating patient for AAI:  
                      a. Is the patient interested?  
                      b. Is the patient able to benefit (e.g., assess cognitive status)?  
                      c. Is the patient on infection-related contact precautions?  
                      2. Places consult request for AAI  
                      3. Coordinates timing of AAI to fit patient schedule and ICU workflow                                                                                                                                 |
| Risk management     | 1. Ensures patient privacy (HIPAA)  
                      2. Provides guidance about prevention/management of patient injury; recommendations include:  
                      a. Using certified therapy animal teams  
                      b. Limiting length/number of patient visits per animal visit  
                      c. Ensuring liability insurance in place  
                      3. Provides guidance about prevention/management of animal injury or death                                                                                                                                 |
| Infection control   | 1. Protects patients from zoonotic infection; recommendations include:  
                      a. Mandating annual veterinary examination, fecal test for infection and parasites, up-to-date vaccinations  
                      b. Bathing/grooming the animal before and after each hospital visit  
                      c. Prohibiting animals with any illness within 24 h of visit  
                      d. Prohibiting animals with an open wound  
                      2. Protecting from fomite infection; recommendations include:  
                      a. Washing hands for patients, staff members and visitors before and after touching animal  
                      b. Cleaning animal toys after use  
                      3. Excluding or using special precautions for specific patient groups, including those:  
                      a. Known to be colonized or infected with multi-drug resistance bacteria (e.g., methicillin-resistant Staphylococcus aureus), Clostridium difficile, or tuberculosis  
                      i. Special precaution: animal only visits one patient or visits the infected/colonized patient last (with approval from infection control)  
                      b. Who have open wounds or a wound vacuum  
                      i. Special precaution: cover wound; avoid animal having contact with wound  
                      c. Who are immunocompromised
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MH has expertise in the psychological care of critically ill patients and was the primary writer of this editorial. JJ has expertise in animal-assisted intervention, is the lead developer of the animal-assisted intervention program at Johns Hopkins Hospital, and contributed knowledge about animal-assisted intervention to the writing of this paper. SW is an expert in psychological care and promotion of self-management in patients surviving trauma and illness. He provided knowledge and guidance about the model of non-pharmacological care. LC is an expert in non-pharmacological management of anxiety in critically ill patients and contributed knowledge about humanization of the ICU. DN is the senior author on this editorial. He is an intensivist and expert in early rehabilitation interventions for critically ill patients. He contributed knowledge about the feasibility of non-pharmacologic strategies for managing suffering in the intensive care environment. All authors read and approved the final manuscript.

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