Ag-grafted on ZnO nanorod arrays using UV-assisted irradiation for enhanced SERS behavior in CV detection

Ton Nu Quynh Trang¹,², Le To Cam Huong¹,², Thai Duong³, Vu Thi Hanh Thu¹,²,*

ABSTRACT

Introduction. Semiconductor-based surface-enhanced Raman scattering (SERS) substrates with high stability and reproducibility have become one of the essential analytical tools in the analysis of chemical and biological at trace levels. Herein, a growth of the hexagonal-wrapped ZnO nanorod arrays decorating with Ag nanoparticles (AgNPs) at different concentrations of Ag was proposed. Methods. The crystallinity, morphology, chemical composition, and optical properties of the prepared samples were investigated by X-ray powder diffraction (XRD) and field emission scanning electron microscopy (FE-SEM), energy-dispersive X-ray spectroscopy (EDX), Raman system, respectively. Results. The results revealed that the SERS performance of ZnO NRs incorporating with AgNPs exhibited higher detection of crystal violet (CV) probe molecules at a low concentration of 10⁻⁸ M than that of the pristine ZnO NRs. This effect originates from the localized surface plasmonic resonance of AgNPs that could cause a strong electromagnetic field and synergistic effects of Ag, ZnO, and CV molecules in ZnONR@Ag/CV SERS system. Conclusion. These outcomes reveal that AgNPs play a crucial role in enhanced SERS performance for chemical and biological detection of ZnO substrate. Key words: AgNPs, ZnO nanorod arrays, surface-enhanced Raman scattering (SERS), electromagnetic mechanism, chemical mechanism

INTRODUCTION

In recent decades, surface-enhanced Raman scattering (SERS) is a powerful spectroscopic analytical tool that enables the identification and characterization at trace detection of chemical and biological molecules due to its attractive properties such as extremely rapid, ultra-sensitive and fingerprint diagnostics, non-destructive data acquisition. The basic concept of SERS is that the amplification of the signals of the analyte is created by the interaction between the substrate, target molecules, and lights on the surface. The SERS effect is based on two main mechanisms: chemical mechanism (CM)¹ and electromagnetic mechanism (EM) enhancements². The EM enhancement is obtained by the electric field induced the localized surface plasmonic resonance (LSPR) related to noble metal nanostructures with a typical enhancement factor of 10⁴–10⁸ folds, while the CM enhancement is directly correlated with the charge transfer process between adsorbed molecules and the substrate materials such as semiconductors with enhancement factors in the range 10–100 times³,⁴. Most of these studies reported that plasmonic metal nanoparticles (NPs) were employed for SERS; it supplies highly sensitive detections based on the enhancement of the EM. The strong near-field electromagnetic amplifications depend on the shapes, sizes, composition, number of NPs, and position of Raman reporters at the surface⁵–⁷. Despite recent progress in SERS applications of noble metals, their practical application has been hindered by the uniformity, reproducibility, and instability with easy aggregation and oxidation and the high cost⁸,⁹. To overcome these drawbacks, most efforts have gone into developing flexible and uniform SERS substrates¹⁰–¹², a promising alternative approach for designing hybrid ternary nanocomposite by combining noble metals and semiconductor materials has been proposed. SERS performance based on semiconductors is an attractive characteristic such as low cost, resource abundance, high chemical stability, biocompatible and piezoelectric properties. However, these strategies face a significant challenge because the SERS sensitivity of these semiconductor substrates is relatively low, becoming a bottleneck in the semiconductor SERS development. Therefore, it is vital to develop SERS semiconductor substrates with high sensitivity, low cost, uniformity, and reproducibility. Compared with other metal oxide materials, Zinc oxide (ZnO) has been intensively carried out for SERS because of its enrichment, economical fabrication, nontoxicity, and photochemical stability¹³,¹⁴. Plasmonic nanoparticles are deposited

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on the semiconductor material’s surface and have been an efficient pathway for enhancing the SERS activity\textsuperscript{15,16}. When the ZnO is connected with plasmonic metals, it provides the LSPR of metals and exhibits the charge-transfer process in the SERS system between semiconductors, noble metal nanomaterials, and target molecules. Therefore, both EM and CM enhancements may be observed on these hybrid SERS substrates, leading to a significant SERS performance. Recently, ZnO nanorods (NRs) array increasing the surface area to decorate plasmonic structures for enhancing Raman scattering have been easily grown via hydrothermal procedure on any substrates\textsuperscript{17}. Moreover, the nanostructures with highly ordered arrangement can improve the light absorption capability that could further promote the robust enhancement effects of SERS\textsuperscript{18}.

In view of the above considerations, a 1D ZnO nanorods decorated with Ag NPs were fabricated using a facile and low-cost approach for SERS performances in this work. The growth of ZnO NR arrays on ZnO seed layer using hydrothermal method and Ag NPs deposition on the surface of ZnO NRs by photoreduction method were proposed by UV-assisted irradiation. The synthesized Ag/ZnO NRS substrate shows good SERS activity at a low concentration of CV molecules compared to the pristine ZnO. A mechanism of SERS activity of Ag/ZnO NRs active-platform is proposed.

**MATERIALS - METHODS**

**Materials**

Zinc nitrate hexahydrate (Zn(NO$_3$)$_2$·6H$_2$O, 99%, Merck, United States), crystal violet (CV, C$_2$H$_3$ClN$_3$, 99%, Merck, United States), hexamethyleneetramine (HMTA, C$_6$H$_{12}$N$_4$, 99%, Merck, United States), hydrochloric acid (HCl, 38%, Sigma-Aldrich, United States), ethanol solution (C$_2$H$_5$OH, < 99.5%, Merck, United States), ZnO target (99%, Singapore Advantech, Singapore) silver nitrate (AgNO$_3$, 99%, Merck, United States). De-ionised (DI) water was utilized in all experiments. Glass wafers were employed to fabricate the SERS-active platform.

**Synthesis of ZnO nanorod arrays (NRs)@Ag**

A ZnO growth layer was fabricated on the glass slides using RF magnetron sputtering. Before the deposition, 1 cm x 1 cm glass slides were washed by ultrasonication in an aqueous solution of C$_2$H$_5$OH and HCl and dried with nitrogen flux. Then, the ZnO growth layer was deposited at a working pressure of 3 x 10$^{-3}$ Torr at 70 W in an Ar flow for deposition times 70 min. Afterward, ZnO NRs were fabricated by the hydrothermal method. A typical process, was placed the growth solution containing 25 mM Zn (NO$_3$)$_2$·6H$_2$O and 25 mM C$_6$H$_{12}$N$_4$ with an equivalent concentration of 25 mM dissolved in 200 mL of DI water was stirred for 60 min at room temperature. The achieved mixture was transferred into an autoclave with Teflon-liner. The ZnO growth layer was vertically immersed into the solution. The autoclave was placed in an oven at 90 °C for 7 hours. After the growth duration, the solution was cooled at room temperature. The resulted sample was carefully rinsed with double-distilled water to remove contaminations on the surface and dried under an N$_2$ environment. After that, the decoration of AgNPs on the ZnO NRs platform was obtained by UV-assisted illumination. The aqueous solution of AgNO$_3$ was prepared by adding AgNO$_3$ at different concentrations of Ag (amounts of AgNO$_3$: 0.5 wt.%, 1.0 wt.%, and 2.0 wt.%) into the 5 mL double-distilled water and stirred in 10 min. Next, the ZnO NRs substrate was immersed in the above aqueous solution using UV-assisted irradiation. After being decorated with AgNPs, the obtained samples were thoroughly washed with double-distilled water and then dried in N$_2$ environment. The ZnONFs@Ag substrate was engineered on the ZnO growth layer throughout procedures as presented in Figure 1.

**Material characterization**

The morphologies of the ZnONFs@Ag substrate were characterized using a field emission scanning electron microscope (FESEM, Hitachi) equipped with energy dispersive spectroscopy (EDS) spectra. In addition, the crystalline structure of specimens was investigated by an X-ray diffractometer (XRD, D8, ADVANCE, BURKER). SERS spectra were carried out using a green laser of 532 nm wavelength with a laser power of 1 mW and an integration time of 10 s (Horiba XploRA PLUS). For SERS measurement, CV was used as the target molecules with various concentrations (from 10$^{-3}$ to 10$^{-8}$ M), dropped onto the SERS platform, and then dried under room temperature before the evaluation.

**RESULTS**

The crystalline structure of the as-prepared samples was characterized by X-ray diffraction (XRD) spectroscopy, as illustrated in Figure 2. The diffraction peak at 2θ = 35° can be assigned to the (002) crystal plane of hexagonal wurtzite ZnO (JCPDS card No. 36-1451). The strong and dominant peak in the XRD analysis is preferential growth along the c-axis of the
ZnO nanorod arrays. No other peaks were recorded. The other diffraction peak (labeled with *) at 2q value of 38° maybe indexed as the diffraction peak of the face-centered cubic (fcc) Ag peaks (JCPDS card No. 04-0783) related to the (111) plane. This implies that the AgNPs are well-formed on the surface of ZnO NRs with no contamination.

The morphological characteristic of the as-synthesized ZnO NRs and ZnONRs@Ag was investigated via SEM images (Figure 3). As shown in Figure 3a,b, the ZnO nanorod arrays are vertically grown with an average diameter of ~50 nm. The growth of ZnO NRs oriented onto the ZnO seed layer can supply an enhanced SERS performance based on the photon scattering and charge transfer process of the ZnO NRs. Figure 3c shows the morphology of AgNPs anchored on the ZnO NRs surface. As shown in Figure 3c, these Ag nanoparticles are anchored on the surface of ZnO NRs with a diameter of approximately ~20 nm, leading to an enhanced SERS behavior due to the strong electric field effects related to the EM. Next, to further determine the Ag NPs’ composition onto the ZnO nanorods, EDS-mapping analysis of the sample was carried out as depicted in Figure. Figure 3d-g shows the presence of O (red), Ti (green), and Ag (blue). Additionally, the EDS spectrum (Figure 3h) proves proof associated with the corresponding elemental mapping for Zn, O, and Ag, suggesting that AgNPs decorated successfully on the surface of the ZnO NRs.

To evaluate the vibration modes of the as-obtained samples, Raman spectra of the pristine ZnO NRs and Ag decorated ZnO NRs as illustrated in Figure 4. It is noted that the vibration mode attributed to the ZnO nanorods is at 438 cm\(^{-1}\), which corresponds to the E\(_{2}\) optical phonon band\(^{19}\). Moreover, it is seen that the intensity of the pristine ZnO NRs is very weak, when the presence of Ag induces a high intensity of ZnO Raman signal, implying an enhanced SERS performance in ZnONRs/Ag platform, and the main peak of ZnO NRs has maintained. This outcome suggests that the deposition of AgNPs onto the surface of ZnO NRs is not significant change any phase structure and vibrational modes.

The SERS sensing of the pristine ZnO NRs and the hybrid nanostructures of Ag-decorated ZnO NRs was evaluated using Crystal Violet (CV) as a target molecule under the excitation wavelength of 532 nm. The SERS spectra of CV are presented in Figure 5a. A significant difference between the intensity of signals assessed on the glass, pristine ZnO NRs, and Ag-decorated ZnO NRs platform. The Ag-decorated ZnO NRs platform exhibits the highest SERS signals among these substrates due to the synergistic effects between Ag, ZnO, and R6G. No Raman signals of the CV molecules are recorded using the glass and the ZnO NRs substrate due to the large forbidden of ZnO NRs. All the typical characteristic peaks of the CV molecules centered at (526, 561 cm\(^{-1}\)), 729 cm\(^{-1}\), 804 cm\(^{-1}\), 916 cm\(^{-1}\), 1174 cm\(^{-1}\), 1383 cm\(^{-1}\), 1537 cm\(^{-1}\), and 1620 cm\(^{-1}\) could be assigned to the C-N-C antisymmetric bending, C-N-C symmetric stretching, Phenyl-H out-of-plane antisymmetric bending, Phenyl ring breathing mode, C-H in-plane antisymmetric stretching, Phenyl-C-phenyl antisymmetric stretching, Phenyl-N antisymmetric stretching, and C-phenyl in-plane antisymmetric stretching, respectively\(^{20,21}\). The results indicate that ZnONRs/Ag is responsible for amplification of SERS signals based on i) plasmonic hot spots that can efficiently focus electromagnetic fields at/near the metal-semiconductor interface; ii) the charge transfer process between Fermi levels of the ZnO NRs, Ag, and CV molecules; and iii) the chemical interactions between semiconductor and molecules related to matching of the energy structure band in the system.
To further understand the role of Ag NP concentration on the SERS performance, the sensitivity of the ZnONRs/Ag samples with different Ag loadings was exposed in $10^{-6}$ M CV solution. As shown in Figure 5b, all the typically characteristic peaks of CV molecules could be detected at concentrations of $10^{-7}$ M, suggesting a good SERS signal in the ZnONRs/Ag platform. The Raman intensities of the obtained platforms were gradually increased with increasing Ag content. The SERS signal of the 1.0 wt.% Ag has the highest intensity. The lowest SERS signals are obtained after using of ZnONRs/Ag at 2.0 wt.%, indicating that an excess amount of Ag deposition is not favorable for SERS activity. At an Ag concentration of 0.5%, the density of the hot spots is low, leading to a weak Raman signal of the substrate. When the Ag concentration is 1.0 wt%, many AgNPs and high-density nanogaps between adjacent AgNPs decorated homogenously onto the ZnO surface can cause a strong local electric field enhancement. Therefore, the SERS signal is significantly boosted. However, the SERS signals decline sharply when the Ag concentration is 2.0 wt.%. This would be assigned to the decrease in the electromagnetic field distribution due to the formation of larger Ag NPs on the ZnO NRs surface caused by the merging of the adjacent AgNPs. These results also suggested that adjusting Ag concentration in ZnONRs/Ag system are favorable for improving SERS activity owing to a change in the local electromagnetic field. In this paper, the ZnONRs/Ag at 1.0 wt.% is chosen as the optimal SERS substrate to assess the SERS activity further.

Then, the sensitivity of ZnONRs/Ag at 1.0 wt.% was carried out Raman enhancement ability at different CV molecule concentrations as depicted in Figure 6. The R6G characteristic peaks are distinguished even at a low concentration of $10^{-8}$ M and gradually decreased with the decreasing R6G concentration, indicating good SERS sensitivity of the ZnONRs/Ag platform.

**DISCUSSION**

Herein, to further understand the SERS performance, energy structure band, and a charge-transfer process in ZnONRs/Ag system are critical to explaining SERS enhancement (Figure 7). The position of the energy levels of the highest occupied molecular orbital/lowest unoccupied molecular orbital (HOMO/LUMO) of the Raman probe, the CB and VB level of ZnO NRs, and the Fermi energy level ($F_F$) of the Ag NPs plays a significant role in the charge-transfer process between SERS platform and
molecules. As shown in Figure 7, when CV molecules are employed as target molecules, the HOMO and LUMO level is at -6.0 and -4.1 eV, respectively. The position of VB and CB of ZnO is centered at -7.7 eV and -4.5 eV, respectively. The Fermi level of AgNPs is -4.84 eV. Under green laser illumination of 532 nm, hot charge carriers of the Ag are produced and jumped to the LUMO level of the CV molecules. Therefore, the charge-transfer process from the Fermi level to the LUMO level of the CV occurred at 0.74 eV. Furthermore, the hot charge carriers are transported from the Fermi level to the CB at 0.34 eV and shifted to the LUMO level at 0.4 eV that could promote the charge-transfer activity and further enhance SERS behavior. It can be concluded that the design of AgNPs, ZnO NRs, and CV probe molecules in the platform and the induced “hot charge carriers” of AgNPs based on LSPR are accountable for the enhanced SERS performance.

CONCLUSION

In summary, a pathway for the preparation of the SERS platform as depicted in this work. The as-fabricated ZnONRs with growth on the ZnO seed layer and decorated Ag on the surface of ZnO NRs without contaminations have been easily prepared by facile and cost-effective approaches, in which the ZnO NRs were prepared through the hydrothermal method and then loaded AgNPs by photoreduction approach. A high detection of SERS substrate comprising of a hexagonal structure of ZnO NRs deco-
rated with AgNPs was fabricated through two steps: ZnO nanorod array was grown via hydrothermal procedures. Then, AgNPs were grafted onto the surface of ZnO NRs using the photoreduction method. Compared to pristine ZnO NRs, the highly sensitive ZnONRs@Ag active-platform in CV detection at a low concentration from $10^{-6}$ M to $10^{-8}$ M was exhibited. Especially, the optimal ZnONRs@Ag substrate at Ag concentration of 1 wt.% could be well-identified characteristic peaks of CV target molecules even ultra-low concentration of $10^{-8}$ M. This performance could be attributed to the i) plasmonic hot spots of AgNPs related to the EM; ii) the charge transfer between ZnO NRs, Ag, and CV molecules ZnONRs@Ag/CV system; and iii) the chemical interactions semiconductor and molecules associated with CM. Therefore, heterostructure material-based sensing platforms may pave a facile route for highly sensitive detection at trace levels in practical application.

![Figure 4](image1.png)

**Figure 4:** The vibrational modes through Raman spectra of the pristine ZnONRs and ZnONRs@Ag substrate.

![Figure 5](image2.png)

**Figure 5:** SERS signal spectra of CV molecules (a) on the glass, the pristine ZnO NRs, and the ZnONRs@Ag platforms, respectively, (b) on the ZnONRs@Ag at different concentrations of Ag from 0.5 to 2.0 wt.%.
Figure 6: Raman spectra of CV molecules obtained from the ZnONRs@Ag-1.0 platform at different concentrations from $10^{-6}$ to $10^{-8}$M.

ABBREVIATIONS
CM: chemical mechanism
EM: electromagnetic mechanism
ZnO: Zinc oxide

COMPETING INTERESTS
The authors declare that there is no conflict of interest regarding the publication of this article.

AUTHORS’ CONTRIBUTIONS
Ton Nu Quynh Trang has conceived of the present idea, carried out and written the manuscript with support from Vu Thi Hạnh Thu Le To Cam Huong and Thai Duong have done all experiments.

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