Sudden infant death syndrome: deletions of glutathione-S-transferase genes M1 and T1 and tobacco smoke exposure

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Abstract
In developed countries, sudden infant death syndrome (SIDS) is the leading cause of death in infants in their first year of life. The risk of SIDS is increased if parents smoked during pregnancy and in presence of the child. Glutathione S-transferases (GSTs) catalyse the conjugation of glutathione with electrophilic compounds and toxins, making them less reactive and easier to excrete. As a gene dose effect was observed for GSTM1 and GSTT1, the aim of this study was to investigate whether there is a connection between homozygous or heterozygous gene deletions of GSTM1 or GSTT1 and the occurrence of SIDS. We found that heterozygous deletion of GSTM1 occurred significantly more frequently in the SIDS case group compared to the control group. A homozygous deletion of GSTT1 was slightly more frequently in the control group. A homozygous gene deletion of GSTT1 showed no significant difference between the SIDS group and the control group. We also found that in the SIDS group, the number of victims that were exposed to cigarette smoke was significantly higher than the number of victims without cigarette smoke exposure and that the mean lifetime of children whose mothers smoked was shorter in comparison with non-smoking mothers. In SIDS cases with homozygous gene deletions of GSTM1, the median life span of children with tobacco smoke exposure was 60 days shorter than without smoke exposure. In conclusion, the absence of these two genes is not the only trigger for SIDS but could be a critical aspect of SIDS aetiology, particularly in SIDS cases with smoking parents.

Keywords SIDS · Sudden infant death syndrome · Tobacco smoke exposure · Glutathion-S-transferase · GSTM1 · GSTT1

Introduction
Sudden infant death syndrome (SIDS) is defined as “the sudden death of an infant under one year of age which remains unexplained after a thorough investigation, including a complete autopsy, examination of the death scene, and review of the clinical history.” (San-Diego-definition) [1]. Sudden infant death is a cause of death in childhood whose risk can be reduced through preventive measures so that the occurrence of SIDS has become fairly rare, with an incidence of 0.22 cases per 1000 live births in 2013 [2]. The distinct decrease of children dying of SIDS can be attributed, inter alia, to the “Back-to-Sleep” Campaign that originated in 1994 and is nowadays known as the “Safe-to-Sleep” Campaign [3, 4]. This campaign was launched by a consortium of US health agencies to educate parents and caregivers about how to practice safe infant sleep and thereby reduce the risk of SIDS. The recommendations include a baby’s sleep environment and putting the baby on his or her back to sleep [5].

According to the “Triple-Risk-Model” by Filiano and Kinney [6], SIDS only occurs if the following three aspects coincide: (1) the child is in a critical phase of development, (2) the child is vulnerable (premature baby or children with genetical risk factors), and (3) the child is exposed to exogenous stressors (e.g., prone sleeping, the mother’s tobacco use or overheating). For a long time, smoking during pregnancy has been known as a risk factor for low birth weight, growth retardation, premature birth [7], stillbirth [8], and the occurrence of SIDS among others [7, 9, 10]. The risk of developing SIDS is approximately threefold higher if the mother smokes [11]. Approximately two-thirds of the SIDS cases could be prevented if both parents refrained from smoking [10], since it is not only the mother’s smoking behaviour during pregnancy that has an impact on developing SIDS. Instead, the occurrence of SIDS is also affected...
by the passive smoking of the child through the father or another person in the household [9, 10, 12]. Maternal smoking behaviour has an influence on the child’s time of death as well. The risk of an early death by SIDS is higher if the mother smokes [11], and the number of smoked cigarettes is known to have an impact on SIDS [9–11].

Numerous potential genetic risk factors for the occurrence of SIDS with regard to smoking exposure, such as gene deletions of GSTM1, GSTT1, variants of the FMO3 gene, or CYP1A1 polymorphisms, were discussed recently [13–15]. But the exact relationship between genetic risk factors and the influence of different stressors needs further investigation to be fully understood.

GSTM1 and GSTT1 are members of the glutathione S-transferase supergene family that contains at least 16 genes [16]. According to the Atlas of Genetics and Cytogenetics in Oncology and Haematology, GSTM1 is part of a cluster of highly polymorphic GSTM genes located on chromosome 1p13.3; it is approximately 20 kb in length and contains eight exons (http://atlasgeneticsoncology.org/Genes/GC_GSTM1.html). GSTT1 is approximately 8.5 kb in length and is located on chromosome 22q11.2 [17], approximately 50 kb away from GSTT2 gene, with which it shares considerable sequence consistency and a gene structure of five exons (https://www.ncbi.nlm.nih.gov/gtr/genes/2952/). Both GSTM1 and GSTT1 encode enzymes that induce the body’s detoxication of ingredients from cigarette smoke [18], of which there are more than 4700 in total [19]. In phase II of the metabolism, glutathione S-transferases catalyse the conjugation of reduced glutathione with electrophile compounds like carcinogens and toxins from the environment. As a result, the cell is protected against xenobiotics and oxidative stress [20]. The detoxification through GSTM1 and GSTT1 is not limited to only a few substance classes but rather includes numerous xenobiotics [21, 22]. Furthermore, the glutathione S-transferase’s activity impacts on human erythrocytes, protecting them from cytogenetic toxicity [23].

Variants in the GSTM1 and GSTT1 genes are considered to be particularly critical in the development of SIDS [16], lung function deficits in children [24], and apparent life-threatening events (ALTE) [25].

A GSTM1 null allele (GSTM1*0/0) results from an unequal crossing over between two highly identical 4.2 kb, repeating sequences that flank the GSTM1 gene, which results in a 15 kb gene deletion, that includes the whole GSTM1 gene [26]. A similar process leads to a 54 kb GSTT1 gene deletion [21] and the emergence of the GSTT1 null allele (GSTT1*0/0) [27]. In Europe, about 50% of the population have a GSTM1*0/0 and about 20% have a GSTT1*0/0 genotype [22, 28]. A gene dosage effect has been observed for both genes, meaning that a homozygous deletion of these genes is accompanied by a reduced detoxication of xenobiotics due to lack of enzyme activity [22, 27, 29]. Sprenger et al. found that a GSTT1*0/0 genotype correlates with a non-conjugator phenotype. Reduced enzyme activity was found in GSTT1*1/0 genotypes, while the GSTT1*1/1 genotype was found to be associated with high activity [27]. These results coincide with the study of Bruhn et al. with a differentiation between highly and intermediately active individuals [29]. Rebbeck examined multiple molecular epidemiological studies regarding the GSTM1 and GSTT1 genotypes, respectively, and the emergence of cancer. He describes a reduced elimination of electrophilic carcinogens if GST enzymes were absent or deficient and found that these genes are involved in the aetiology of cancer at different sites [22].

Several studies investigated smoking as a risk factor for SIDS [10, 11, 30–32]; others analysed how gene deletions of GSTM1 and GSTT1 affect the occurrence of SIDS [14, 33]. Recently, Filonzi et al. [13] combined both aspects in their study and investigated the correlation between the occurrence of SIDS, smoke exposure of the child, and the genotypes of GSTM1 and GSTT1, respectively. The GSTM1*0/0 genotype was observed three times more frequently in SIDS cases compared to controls. The relationship between the number of gene copies of GSTM1 and/or GSTT1 and SIDS was also examined in other studies. In contrast to the work of Filonzi et al., they found that 0/0 genotypes of GSTM1 and GSTT1 did not occur more frequently in SIDS victims than in controls and concluded that the identification of the genotype does not help to identify a population with an increased SIDS risk [14, 33].

The aim of our study is to investigate a possible connection between the occurrence of SIDS and the deletion of the GSTM1 or GSTT1 gene, taking into account the smoking behaviour of the mother and her partner during pregnancy and after birth. The underlying hypothesis is that reduced copy numbers of GSTM1 and GSTT1 lead to reduced enzyme activities, which in turn increases the risk of SIDS and/or decreases the lifespan of SIDS victims. To allow a more detailed analysis of this effect, our study aimed at distinguishing between homozygous and heterozygous gene deletions for the first time.

Material and methods

The sample collective

A total of 257 SIDS samples (formalin-fixed and paraffin-embedded lung tissue, frozen lung tissue) were analysed in this study. They originate from the GeSID study [34], and the local ethical committee approved the use of the samples. Exclusion criteria were death before the 8th day or after the 12th month after birth, cases in which death was expected due to existing illnesses, an unnatural cause of death, and inadequate knowledge of the German language by the
parents, so that no declaration of consent could be obtained. The questionnaires that were handed out to the parents after the children’s deaths included questions about sociodemographic factors, sleeping situation, feeding of the child, and the parents’ smoking behaviour during pregnancy and after birth. In 46 SIDS cases, there is no information on the parents’ smoking behaviour available. In 83% of the remaining 203 SIDS cases, the children were exposed to cigarette smoke during pregnancy and/or after birth. Of the smoking mothers, 7.4% smoked heavily (at least 20 cigarettes a day) and 56.7% moderately (up to 20 cigarettes a day). In 36 SIDS cases, the mother did not smoke, but the children were still exposed to smoke through the father. The 168 control samples are oral mucosal abrasions, of which 94 were taken in the course of the GeSID study. The 94 control samples from the GeSID study were children matched by age, gender, and geographical region. The other control samples were collected as part of the current study and were donated by adults. Since genetic characteristics do not change during the lifetime of an individual, control samples from adults are suitable for this study. No information was available on the smoking behaviour of the parents.

The sample set corresponds to the typical characteristics of SIDS: 62% of the SIDS victims were male and 38% female. 48.8% died in the 2nd to 4th month after birth, and 60% died during the cold months. The mean age at the time of death was 137.52 days (9–358 days).

**DNA extraction and quality control**

DNA extraction was carried out using a standard xylol deparaffination followed by standard phenol–chloroform extraction and Chelex extraction using 5% Chelex (BioRad, Feldkirchen, Germany) [35].

To determine the quality and quantity of DNA, a quantification was performed using the PowerQuant® System (Promega) in a 10 µL volume following a fully validated standard procedure of this laboratory.

**Assessing the copy number of GSTM1 and GSTT1**

Copy number assessment followed a protocol described by Nørskov et al. [36] with some modifications:

To determine the genotypes of GSTM1 and GSTT1, we used a Relative Real-Time Quantitative PCR (7500 Real-Time PCR System; HID Real-Time PCR Analysis Software v1.1; Applied Biosystems) and absolute quantification with automatic baseline setting and a threshold of 0. For the singleplex reactions, 2 µL DNA (with a DNA concentration of 0.55 to 127 ng/µL) were added to 8 µL PCR Mix, consisting of 5 µL Master Mix (TaqPath Pro Amp Master Mix, Applied Biosystems), 0.5 µL Assay Mix, and 2.5 µL H2O. The assay contained 2.5 µL probe (250 nmol/L), 9 µL of each primer (900 nmol/L), and 29.5 µL H2O. For duplex reactions, 2 µL DNA were mixed with 8 µL PCR Mix, consisting of 5 µL TaqPath Pro Amp Master Mix, 0.5 µL Assay Mix, 0.5 µL RNaseP Assay, and 2 µL H2O. Primer and probe sequences as well as their concentration were adopted from Nørskov et al. [36]. To normalize for variations in DNA input, the 20-fold concentrated and VIC- labelled TaqMan™ Copy Number Reference Assay “human, RNaseP” from Applied Biosystems was used. This internal control gene does not show any copy number variation but exhibits two gene copies in all samples. The relative quantity of the target genes compared to this normalizer allows the determination of copy numbers without influence of DNA input amounts or slight technical variation during sample processing.

We first examined 10 samples with an approximate DNA concentration of 50 ng/µL and performed a singleplex absolute quantification reaction. We chose one sample that contained at least one copy of GSTM1 and one copy of GSTT1 based on the Ct values for identifying the positive controls and for validation of the ΔΔCt method (see below). The Ct values for non-null samples were 22.7–23.4, while the Ct value for all null samples was 40. We quantified this sample again with 22 more samples and were hereby able to identify our 4 positive controls GSTM1*1/0 and *1/1 and GSTT1*1/0 and *1/1 by analysing the relative quantities based on differences in the Ct value. We confirmed the copy numbers of positive controls using the Human Random Control DNA Panel (Sigma Aldrich). It represents a control population of 480 UK Caucasian blood donors. Rose-Zerilli et al. [37] list two samples of this panel for GSTM1 and GSTT1 for two copy numbers, 1 copy number and 0 copy numbers, respectively. We used two of these samples with one copy for each, GSTM1 and for GSTT1 and two samples with two copies for validation by amplifying these samples and the previously identified positive controls on one plate and confirming identical relative Ct values. After that we performed the quantification in duplex reactions (GSTM1 + RNaseP and GSTT1 + RNaseP) for all samples to determine their copy number. All samples were examined in quadruplicates in 96-well plates. For each run, 4 negative controls were examined, as well as a positive control with GSTM1*1/1 and GSTM1*1/0 or GSTT1*1/1 and GSTT1*1/0, also in quadruplicate.

The number of gene copies was examined by using the ΔΔCt method, where Ct(GST1) − Ct(RNaseP) − ΔCt and ΔCt(GST1) + ΔCt(reference genotype) = ∆ΔCt is. The relative quantity (RQ; 2−ΔΔCt) can be determined from the ΔΔCt value, which, multiplied by 2, represents the copy number [38].

The copy number of each individual sample was calculated and compared to the calculated copy number from the mean Ct value of the 4 replicates. Replicates of a sample with deviations in the Ct value of >0.49 were tested again and excluded from further analysis if the deviation...
Statistical analyses

The Chi² test was used to evaluate the mother’s and father’s smoking behaviour and its influence on the children’s lifetime. The Chi² test was also used to compare the genotype frequencies in the SIDS case and control cohorts.

The statistic evaluation of the smoking behaviour with regard to non-smoking and smoking parents was conducted with the exact two-sided binomial test.

The Kruskal–Wallis test was used to determine the influence of smoking behaviour and genes on the children’s life-time. IBM SPSS version 25 was used to carry out the calculations.

Validation of the ΔΔCt method and plate-to-plate-reproducibility

The validation procedure followed a protocol described by Nørskov et al. [36].

Since the use of the ΔΔCt method requires, among other things, the amplification efficiencies of the GST genes and the RNaseP reference genes to be approximately the same and close to 100% for singleplex reactions and multiplex reactions [36], a sample with a 1/0 genotype was established for GSTM1 and GSTT1 to create a standard curve to determine the amplification efficiencies. Standard curves were developed for both genes in singleplex and duplex reactions, and the amplification efficiencies in the duplex reactions were compared with the GST gene and RNaseP. The amplification efficiency is calculated from the slope of the standard curve using the term $E = (10^{(-1/slope)} - 1)$, where the Ct values are plotted against log DNA concentrations. A slope of 0 indicates the same amplification efficiency of the two examined genes. Slopes of less than ±0.1 can be accepted for a use of the ΔΔCt method [36]. A total of 6 standard DNA concentrations with threefold dilution were measured in triplicates in duplex reactions, starting at 50 ng. For this purpose, 2 µL DNA was added to 8 µL PCR Mix, consisting of 5 µL TaqPath Pro Amp Master Mix, 0.5 µL Assay Mix, 0.5 µL RNaseP Assay, and 2 µL H₂O. The concentrations for primers and probes were adopted from Nørskov et al. [36].

After validation of the method, the copy numbers of GSTM1 and GSTT1 of the SIDS cases and the controls were determined. As no clear results could be achieved with 4 SIDS samples, these samples were excluded from further analyses. In order to determine the reproducibility between quantification plates, the mean Ct, ΔCt, and ΔΔCt values of the plates for the 1/0, 1/1, and 2/1 genotypes were compared with one another. A 1/0 genotype corresponds to 1 gene copy, a 1/1 genotype corresponds to 2 gene copies, and a 2/1 genotype corresponds to 3 gene copies.

Results and discussion

Validation and reproducibility of copy number assays

Comparison of the amplification efficiencies of the GSTs and RNaseP in duplex reactions resulted in an amplification efficiency of almost 100%, the efficiencies for GSTM1 and RNaseP being 99.14% and 99.77%. The efficiencies for GSTT1 and RNaseP were 100.92% and 100.45%. The corresponding curves, in which the Ct values were plotted against log DNA concentration to compare these efficiencies, show a slope of −0.03 for GSTM1 and RNaseP and a slope of −0.005 for GSTT1 and RNaseP (Fig. 1), which meets the requirements for the use of the ΔΔCt method in both cases.

The difference in ΔΔCt values between 1/1 and 1/0 was −0.8 for GSTM1 and −0.86 for GSTT1. For 2/1 vs. 1/0 the differences in the ΔΔCt values were −1.33 for GSTM1 and −1.48 for GSTT1. Table 1 shows the mean Ct, ΔCt, and ΔΔCt values for GSTM1 and GSTT1, respectively.

Table 1 gives an overview of ΔΔCt values, and Fig. 2 shows that a clear and unambiguous distinction between genotypes is possible.

CNV analysis

Copy number assessment was successful in 251 samples for GSTM1 and 252 samples for GSTT1. See Table 2 for details. There was a significant difference in the frequency of gene deletions between SIDS cases and controls for the GSTM1 gene: overall, gene deletions of GSTM1 occurred significantly more frequently in the SIDS case group compared to the control group (Chi² test; $p < 0.01$). Heterozygous

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deletions were significantly more common in the SIDS case group than in the control group (Chi² test; \( p < 0.01 \)). At approximately 55%, homozygous gene deletions were more common in the control group. This is surprising, since it was expected to observe more homozygous deletions in the SIDS group. It is known, however, that reduced enzyme activity due to gene deletions can be compensated by other members of the GST family, particularly in 0/0 genotypes (see below). Therefore, the effect of a homozygous gene deletion might not be as severe as expected, which might explain the abundance of 0/0 genotypes in the control group.

The \( GSTT1^*0/0 \) genotype was observed in 21.03% of the SIDS samples tested, while 50.40% showed a \( GSTT1^*1/0 \) genotype and 28.17% were a \( GSTT1^*1/1 \) genotype. In one SIDS case (0.4%), there was a \( GSTT1^*2/1 \) genotype present.

There is no significant difference between the SIDS group and the control group with regard to the gene copy distribution of \( GSTT1 \) (Table 2).

The results on the frequency of gene deletions and the occurrence of SIDS confirm results of previous studies: Both in the SIDS case group and in the control group, there was a complete gene loss of the \( GSTM1 \) genes in a little more than 50% of the samples examined, which is in agreement with the results of various studies that described a complete gene loss of \( GSTM1 \) in approximately 50% of the European population [22, 28, 39]. As expected, the \( GSTT1 \) gene also had a 0 genotype in both groups in about 20% of the samples [14, 22, 39].

### Genotype and lifetime

The number of \( GSTM \) gene copies has no significant influence on the children’s lifetime (Kruskal–Wallis test;
groups of 1 gene copy (*1/0 genotype), 2 gene copies (*1/1 genotype), and 3 gene copies (*2/1 genotype). There are no significant differences between plates.

### Smoking behaviour and lifetime

The mean lifetime of SIDS victims whose mothers smoked was 101 days, and 154 days if the mother was a non-smoker. Thus, the mother’s smoking behaviour had an impact on the child’s lifetime (Kruskal–Wallis test; \( p = 0.024 \)). These results coincide with results from Haglund et al. [11], who found that the risk for early SIDS (death occurring between 7 and 67 days) was increased when mothers were moderate smokers. It should be kept in mind, however, that SIDS is a complex disease and other risk factors might also influence the lifetime of the affected child.

While the number of cigarettes smoked per day and the child’s total smoke exposure influence the risk of SIDS significantly [9, 11, 31], this finding narrowly missed statistical significance (Kruskal–Wallis test; \( p = 0.052 \)) for the exact number of cigarettes smoked by the mother and the lifetime of the child. Children of moderately smoking mothers did not live longer than children of mothers with a strong smoking habit. We did, however, observe a statistically significant difference between the lifetime of SIDS victims of non-smoking mothers and smoking mothers; i.e., mothers who were moderate (Kruskal–Wallis test; \( p = 0.013 \)) or heavy smokers (Kruskal–Wallis test; \( p = 0.032 \)).
If the father was a smoker, the median lifetime was 110 days, and if he was not smoking, it was 104.5 days. The relationship between the father’s smoking behaviour and the child’s lifetime is not statistically significant (Chi² test; \( p = 0.912 \)).

### Smoking behaviour, genotype, and lifetime

There was a direct significant correlation between the GSTM1 genotype, the mother’s smoking behaviour, and the child’s lifetime (Kruskal–Wallis test; \( p = 0.041 \)). The mean lifetime of children with a GSTM1*0/0 genotype was 110 days. However, the median lifetime was significantly reduced to 106 days in cases where the mother smoked compared to victims without smoke exposure (median lifetime: 161 days) (Kruskal–Wallis test; \( p < 0.05 \)). The mean was 125.86 days (SD 70.7) if the mother smoked and 163.23 days (SD 94.24) if the mother was a non-smoker. Thus, children lived longer if there was a GSTM1*0/0 genotype and the mother was a non-smoker. In children with a GSTM1*1/0 genotype, the median lifetime was 168 days (mean: 182.81 days; SD: 95.03) for non-smoking mothers and 100 days (mean: 132.18 days; SD: 89.28) for smoking mothers which is also a significant relationship (Kruskal–Wallis test; \( p < 0.05 \)).

There were no direct significant correlations between the GSTT1 genotype, the mother’s smoking behaviour, and the child’s lifetime (Kruskal–Wallis test; \( p = 0.223 \)).

There was also no significant connection between the father’s smoking behaviour, the genotype of GSTM1 and GSTT1, and the lifetime of the children who died from SIDS (Kruskal–Wallis test; \( p = 0.720 \) [GSTM1] and \( p = 0.497 \) [GSTT1]).

Our sample set did not contain any information on the cigarette smoke exposure of individuals of the control group. Thus, a direct comparison of smoking in combination with GSTM1 or GSTT1 deletions is still outstanding. Our data, however, suggest a correlation between the smoking behaviour, copy number of GSTM1, and the timepoint of death in the SIDS group.

### Conclusion

We conclude that the gene deletions of GSTM1 and GSTT1 can have an impact on the development of SIDS. As Hayes et al. [16] already described in their study, GSTM1 and GSTT1 can possibly be understood as disease-modulating due to their protective effect against cytotoxic influences, and not so much as disease-triggering, which can also be transferred to SIDS. SIDS is a multifactorial event, in which the absence or presence of these two genes could be the deciding factor. Further studies are needed to investigate the potential malfunction of compensation strategies within the GST gene family.

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### Declarations

**Conflict of interest** The authors have no conflict of interest to declare that are relevant to the content of this article. All authors certify that

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**Table 3** Details on cigarette smoke exposure of SIDS victims included in this study

| Smoke exposure                                           | n = 112 | %    |
|---------------------------------------------------------|---------|------|
| Mother and father are moderate smokers                   | 60      | 53.6%|
| Mother smokes moderately, father is strong smoker        | 28      | 25.0%|
| Mother is strong smoker, father smokes moderately        | 7       | 6.3% |
| Mother and father are strong smokers                     | 7       | 6.3% |
| Father is strong smoker, no information for mother available | 1   | 0.9% |
| Mother smokes moderately, no information for father available | 8  | 7.1% |
| Mother is strong smoker, no information for father available | 1  | 0.9% |

| Smoking in the presence of the child                     | n = 203 | %    |
|---------------------------------------------------------|---------|------|
| No – non-smoker                                         | 35      | 17.2%|
| No – smoker                                             | 91      | 44.8%|
| No – unreliable                                         | 2       | 1.0% |
| Yes                                                     | 32      | 15.8%|
| Yes – open window                                       | 1       | 0.5% |
| Yes – exceptionally                                     | 39      | 19.2%|
| No information available                                | 3       | 1.5% |

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