COVID-19 and CAR-T cells: current challenges and future directions—a report from the EPICOVIDEHA survey by EHA-IDWP

Alessandro Busca, Jon Salmanton-García, Paolo Corradini, Francesco Marchesi, Alba Cabirta, Roberta Di Blasi, Remy Dulery, Sylvain Lamure, Francesca Farina, Barbora Weinbergerová, et al.

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Abstract:
Patients receiving chimeric antigen receptor T cells (CAR-T cells) therapy may be particularly susceptible to coronavirus disease 2019 (COVID-19) because of several factors including the immunosuppression associated to the underlying disease and delayed cytopenias. Regrettably, data on outcomes of CAR-T recipients with COVID-19 are extremely scarce. The aim of this study was to investigate the characteristics and outcomes of COVID-19 in patients treated with CAR-T therapy. The European Hematology Association – Scientific Working Group Infection in Hematology endorsed a survey to collect and analyze data from patients developing COVID-19 after CAR-T therapy. Overall, 459 patients treated with CAR-T cells were reported from 18 European centers. The prevalence of COVID-19 cases was 4.8%. Median time from CAR-T therapy and COVID-19 diagnosis was 169 days. Severe infection occurred in 66.7% of patients and 43.3% of the subjects required admission to ICU. The COVID-19 mortality was 33%.

Conflict of interest: COI declared - see note

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COVID-19 and CAR-T cells: current challenges and future directions—a report from the EPICOVIDEHA survey by EHA-IDWP

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Abstract

Patients receiving chimeric antigen receptor T cells (CAR-T cells) therapy may be particularly susceptible to coronavirus disease 2019 (COVID-19) because of several factors including the immunosuppression associated to the underlying disease and delayed cytopenias. Regrettably, data on outcomes of CAR-T recipients with COVID-19 are extremely scarce. The aim of this study was to investigate the characteristics and outcomes of COVID-19 in patients treated with CAR-T therapy. The European Hematology Association – Scientific Working Group Infection in Hematology endorsed a survey to collect and analyze data from patients developing COVID-19 after CAR-T therapy. Overall, 459 patients treated with CAR-T cells were reported from 18 European centers. The prevalence of COVID-19 cases was 4.8%. Median time from CAR-T therapy and COVID-19 diagnosis was 169 days. Severe infection occurred in 66.7% of patients and 43.3% of the subjects required admission to ICU. The COVID-19 mortality was 33%. In multivariable analysis, the disease status at the time of COVID-19 trended marginally towards adverse outcome ($P=0.075$). In conclusion, we documented a high fatality rate for CAR-T patients with COVID-19, supporting the need to design successful interventions to mitigate the risk of infection in this vulnerable group of patients.
Key Points

- The EHA-IDWP developed an observational registry collecting data on COVID-19 infection in patients who received CAR-T therapy

- The prevalence of COVID-19 was 4.8%, and overall mortality was 50%, highlighting the need for prevention of infection in these patients.
Introduction

Since the initial report in China, coronavirus disease 2019 (COVID-19) had spread rapidly across the world and the number of cases increased exponentially (1). Initial reports suggest that patients with cancer have an estimated two-fold increased risk of contracting severe acute respiratory syndrome coronavirus-2 (SARS-CoV-2) than the general population (2). More importantly, it would be expected that COVID-19 be particularly life-threatening in patients with hematological malignancies due to their immune dysfunction. Recent studies have reported an overall COVID-19 related mortality of 29-42% (3-8) in hematological patients depending on the type of malignancy, in contrast to the 2-7% observed in the general population. Regrettably, there remains a lack of studies about COVID-19 in patients receiving cellular therapies including chimeric antigen receptor T cells (CAR-T cells) (9,10). CAR-T cells are genetically modified autologous T cells showing great promise in the treatment of advanced malignant hematologic disorders including non-Hodgkin lymphoma, acute lymphoblastic leukemia (ALL), and multiple myeloma (11). CAR-T cell recipients have significant B-cell aplasia requiring IgG replacement therapy, and may also develop delayed cytopenias, making these patients unable to mount any humoral response to viral infections (12). Shah et al demonstrated that the seroconversion rate in a small cohort of patients receiving hemopoietic stem cell transplantation (HSCT) and CAR-T therapy did not exceed 66% (10).

According to these observations, the outcome of COVID-19 in patients treated with CAR-T cells remains unanswered. The aim of this study was to describe the clinical outcome of patients developing COVID-19 after treatment with CAR-T cells.

Methods

In this retrospective observational, multicenter study, we collected data on all consecutive adult patients who received CAR T-cell therapy with symptomatic COVID-19 between January 2020 and February 2021 across 18 European centers (Spain [n=6], France [n=3], Italy [n=2], and Belgium, Croatia, Czechia, Slovakia, Sweden, Switzerland, and the United Kingdom [n=1, each]), who participated in the survey promoted by the European Hematology Association (EHA) – Scientific Working Group Infection in Hematology (EPICOVIDEHA survey) (13). the European Hematology Association – Infectious Diseases Working Party (EHA-IDWP; EPICOVIDEHA survey). Confirmed cases of COVID-19 were defined by a positive RT-PCR assay of a specimen collected on a nasopharyngeal swab. Each institutional review board independently approved the study. The study was conducted in accordance with the Declaration of Helsinki. Researchers at each center collected data using an online questionnaire hosted at www.clinicalsurveys.net , EPICOVIDEHA is registered at http://www.clinicaltrials.gov, with the identifier (NCT number): NCT 04733729. Only de-identified data have been entered and analyzed. We obtained demographic
data, comorbidities, and underlying hematological disease including clinically significant outcomes (hospital admission and intensive care unit [ICU] admission, vital status) and management strategies of COVID-19. The severity of COVID-19 at admission was graded according to the China Centers for Disease Control and Prevention definitions: mild (non-pneumonia and mild pneumonia), severe (dyspnea, respiratory frequency ≥30 breaths per min, SpO2 ≤93%, PaO2/FiO2 50%), and critical (respiratory failure, septic shock, or multiple organ dysfunction or failure).

**Statistical analysis**

SPSSv25.0 was employed for statistical analyses (SPSS, IBM Corp., Chicago, IL, United States). Categorical variables were presented using frequencies and percentages, while continuous variables by median, interquartile range (IQR) and absolute range. Additionally, overall mortality was evaluated by employing a Cox proportional hazard model. Univariable Cox regression model was performed with variables suspected to play a role in the mortality of CAR-T+ COVID-19 patients. Variables with a p value ≤0.1 were considered for a multivariable analysis. Multivariable Cox regression model was calculated with the Wald backward method, and only statistically significant variables were reported. A p value ≤0.05 was considered statistically significant.

**Results**

Overall, 459 patients received CAR-T cell therapy, of whom 30 met the criteria for diagnosis of COVID-19. The median age at COVID-19 diagnosis was 57 years (IQR: 51 - 64, range 18 - 74), 13 patients (43.3%) were female and 17 patients (56.7%) were male. Demographic and clinical characteristics of CAR-T cell recipients with COVID-19 are summarized in table 1. Patients received CAR-T cells for the treatment of large B-cell lymphoma (n=28) (LBCL), multiple myeloma (n=1) and ALL (n=1). The majority of patients received CAR-T therapy in 2020 (n=17), 3 in 2018, 9 in 2019 and 1 in 2021. CAR-T cells were tisagenlecleucel (Kymriah) in 16 cases and axicabtagene (Yescarta) in 13 cases, while one patient with multiple myeloma was treated with CAR T- B-cell maturation antigen (BCMA) cells. The majority of patients received bridging therapy (n=21/30) and fludarabine-cyclophosphamide as lymphodepletion conditioning (n=29/30). Severe (grade ≥3) cytokine release syndrome (CRS) after CAR-T cells was observed in one patient only. No patient received COVID-19 vaccine. Seventeen patients (56.7%) developed COVID-19 within 6 months from CAR-T infusion, while 13 (43.3%) patients beyond 6 months.

Prevalence of COVID-19 in our subjects was 4.8%, based on the total number of CAR-T patients reported by participating Centers in 2020 (17/353).
The median time from CAR-T cell treatment and COVID-19 diagnosis was 169 days (IQR: 37 - 313, range: 1 - 635). Cellular and humoral immune reconstitution after CAR-T cells showed that 90 days after the infusion, absolute neutrophil count (ANC) and absolute lymphocyte count (ALC) were 1700/mm³ (IQR: 1090 - 2700, range: 300 - 11260) and 435/mm³ (IQR: 200 - 775, range: 80 - 3500) respectively, while at the time of COVID-19 diagnosis, the median number of ANC and ALC was 925/mm³ (IQR: 495 - 2450, range: 18 - 11510) and 370/mm³ (IQR: 200 - 1250, range: 6-1750), respectively. Overall, 10% (n=3), 20% (n=6) and 66.7% (n=20) of patients had asymptomatic, mild or severe COVID-19. Comorbidities preceding the diagnosis of COVID-19 were detected in 19 patients (76.7%), including chronic cardiopathy (n=8, 23.3%), chronic pulmonary diseases (n=7, 23.3%), smoking history (n=6, 20.0%) or obesity (n=5, 16.7%). In total, 13 patients (43.3%) required admission to ICU after COVID-19, and 9 of them (66.7%) required mechanical ventilation.

Patients received treatments for COVID-19 according to the local policy: 15 patients were treated with convalescent plasma alone (n=3) or combined with remdesivir ± steroids (n=8), remdesivir-lopinavir/ritonavir and steroids (n=1) and tocilizumab + steroids (n=3); five patients were treated with steroids alone (n=4) or combined with remdesivir and tocilizumab (n=1), one patient was treated with azithromycin and one patient with remdesivir alone. In total 5 patients did not require any specific treatment, in 2 cases due to the poor general conditions; in 3 cases the treatment was unknown.

The median follow-up was of 71 days (IQR: 44 - 142, range: 21 - 379) after CAR-T infusion, and at the last follow-up, 15 patients (50,0%) were alive and 15 patients (50,0%) died. Ten patients (33%) died of COVID-19 infection (associated to pulmonary embolism plus heart failure in 1 case and possible fungal infection in 1 case), while 5 patients died of recurrent underlying disease (associated to COVID-19 infection in 3 patients). Seven of the 11 patients (63,6%) with relapsed/refractory (R/R) diseases died, while 7 of the 17 patients (41,1%) with complete remission (CR)/stable disease (SD), died of COVID-19. In two patients the baseline malignancy status was unknown, one patient died and one survived.

Severe (grade ≥3) cytokine release syndrome (CRS) after CAR-T cells was observed in one patient only” and “In total 8/15 patients (53,3%) who developed CRS after CAR-T infusion required treatment with tocilizumab ± steroids. None of the parameters analyzed in the univariate analysis had a significant impact on the outcome of the patients. Only the disease status at the time of COVID-19 was marginally significant for adverse outcome (p=0.075), adjusted by sex (male vs female p=0.0092) (table 2).

Discussion
In the present multicenter international study, we sought to evaluate the outcome of COVID-19 in a cohort of 459 consecutive patients who received CAR-T cell therapy. Several studies have addressed the clinical course and outcome of COVID-19 in hematologic patients as well as the presence of risk factors for a more aggressive life-threatening disease (4,7,14-16). Patients with hematological malignancies may be considered more vulnerable than the general population, however the exact prevalence of SARS-CoV-2 infection in this setting is still unclear. We documented a 4.8% prevalence of COVID-19 in our study group, remarkably higher than 0.1% reported in the general population, and the median onset of COVID-19 was 169 days after CAR-T therapy. Several factors could explain the high rate of COVID-19 in CAR-T patients. Our study included a homogeneous group of severely pretreated patients with LBCL who received at least two lines of treatment before CAR-T cells and a lymphodepletion therapy. Delayed cytopenias and impaired immune reconstitution leading to a significant risk of infectious complications have been well documented after CAR-T cell therapy (12). Consistent with findings seen in prior studies, we observed a low lymphocyte count 90 days after CAR-T (median ALC 435/mm³) and at the time of COVID-19 diagnosis (median ALC 370/mm³ with 23% of the patients having <200 lymphocytes/mm³), although the presence of both neutropenia and lymphocytopenia at COVID-19 diagnosis did not result statistically significant in univariate analysis. In addition, it should be emphasized that the presence of R/R disease in one third of patients at the time of COVID-19 should be taken into account as a potential confounding factor. Regrettably, we were unable to investigate in detail humoral and cellular immune reconstitution and whether worsening of lymphopenia during infection had an impact on survival. Notably, our results showed that half of the patients developed COVID-19 beyond the first 6 months post-CAR-T, underscoring that prolonged delayed immune recovery may persist for a long period of time after cellular therapy (17). Currently there are few clinical trials evaluating the potential role of COVID-19 treatments in patients with cancer (18) and also observational studies are extremely limited. In our study patients received a great array of treatments making difficult to draw any firm conclusion. Based on the presence of an impaired humoral and cellular immune reconstitution after CAR-T cell therapy in a consistent number of patients, it would be expected a suboptimal response to the current treatments utilized in patients with COVID-19, although specific studies are eagerly needed to address this issue.

The mortality rate in cellular therapy patients has been reported in few studies. Altuntas et al evaluated 32 recipients of autologous and allogeneic grafts and found 33% case fatality rate among patients still receiving immunosuppressive agents at the time of COVID-19 diagnosis (9). Similar results have been reported by the EBMT group with a mortality rate reaching 25%. older age, need for ICU and moderate/high immunodeficiency index increased the risk for mortality (19). The CIBMTR reported the results of 318 HSCT patients (n=184 allogeneic; n= 134 autologous)
with COVID-19. The overall mortality was 22% among allogeneic HSCT recipients: age over 50, male sex and time from HSCT to COVID-19 diagnosis < 6 months were factors significantly associated with mortality (20) Shah et al, evaluated 77 patients with COVID-19 who received HSCT (n=72) and CAR-T therapy (n=5): the overall death rate was 41%, roughly similar to what reported in our study and was largely driven by patients with advance disease (10). The 50% mortality rate was remarkably high in our patients also considering that 10 out of 15 deaths were due to COVID-19 or COVID-19 related events. In this respect, it is worthwhile recalling that two thirds of the patients had a severe infection and 30% of the patients required mechanical ventilation. In addition, the advanced disease status at the time of COVID-19 diagnosis should be considered as a potential factor limiting the favorable outcome of the patients, as underpinned by the univariate analysis. Several factors might explain the higher mortality rate observed in our study as compared to that reported in HSCT recipients. Patients with relevant comorbidities are usually excluded from a HSCT program, while CAR-T cells treatment is not precluded to these vulnerable patients. The time interval from HSCT to COVID-19 emerged as a factor associated with mortality in many studies, spanning from 13.7 up to 18.9 months (CIBMTR, Varma Ljiungman, Coll), significantly longer than the median time from CAR-T to COVID-19 reported in our series (median time 169 days).

Preliminary data in HSCT recipients showed that COVID-19 is associated with low lymphocyte counts, particularly in T cell compartment, however lymphopenia does not appear to impair immune reconstitution in recovery from COVID-19. Regrettably, we do not have data on the lymphocyte subsets after COVID-19 in our cohort of patients, however protracted and profound lymphopenia after CAR-T cells have been reported in several studies. In addition, whether differences in CAR-T cell products may affect the kinetics of immunodeficiency recovery, remain to be determined.

If prospective large studies will corroborate our preliminary results, it seems wise to define strategies able to mitigate the adverse events occurring in CAR-T patients who develop COVID-19. Prioritization of COVID-19 vaccination in hematologic patients is of paramount importance, however based on existing knowledge of the reduced immunogenicity in the immunocompromised host, CAR-T cell recipients are not expected to generate robust responses to COVID-19 vaccine. In this respect, additional preventive measures should be explored. It has been shown that cellular therapies may be safely administered throughout the COVID-19 pandemic when appropriate interventions are instituted including antimicrobial stewardship programs, screening of donors and recipients, safe delivery of cellular products. Appealing alternatives to vaccination are monoclonal antibodies or prophylaxis with oral agents (fluvoxamine, molnupiravir), although clinical trials in hematologic patients are eagerly needed.
Our study is limited by being a retrospective study that includes a small number of patients. Nevertheless, our results highlight a significant mortality rate in COVID-19 patients who have received CAR-T therapy. Therapeutic strategies will need to be developed to ensure that CART therapy can be delivered safely and successfully whilst COVID-19 remains endemic. Furthermore, data on vaccinations in this cohort are eagerly awaited to help formulate the safe delivery.
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Data sharing statement: For data sharing, contact the corresponding author: abusca@cittadellasalute.to.it.

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A complete list of the members of the EPICOVIDEHA Study Group appears in “Appendix.”

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| Patient | Baseline haematological malignancy | Disease status at COVID-19 diagnosis | Days from CAR-T to COVID-19 | CAR-T cell construct | Bridging therapy | Lympo-depletion | CRS (at CAR-T) | ICANS | CRS/ICANS Treatment | Reason for death (days after COVID-19 diagnosis) |
|---------|-----------------------------------|-----------------------------------|---------------------------|---------------------|------------------|----------------|----------------|-------|---------------------|-----------------------------------------------|
| 1       | NHL - LBCL                        | Refractory/Resistant              | 37                        | Axi-cel             | x                | Flu-Cyc        | 1              | 1     | Tocilizumab         | Malignancy (35d)                              |
| 2       | NHL - LBCL                        | Complete remission                | 221                       | Axi-cel             | x                | Flu-Cyc        | 1              | NA    | Steroids            | NA                                            |
| 3       | NHL - LBCL                        | Complete remission                | 240                       | Axi-cel             | x                | Flu-Cyc        | 2              | NA    | x                   | COVID-19 + Other (52d)                         |
| 4       | NHL - LBCL                        | Refractory/Resistant              | 1                         | Tisa-cel            | x                | Flu-Cyc        | 2              | NA    | x                   | COVID-19 (25d)                                |
| 5       | NHL - LBCL                        | Complete remission                | 85                        | Axi-cel             | x                | Flu-Cyc        | 1              | 2     | x                   | NA                                            |
| 6       | NHL - LBCL                        | Stable disease                    | 14                        | Tisa-cel            | x                | Benda          | 2              | 1     | x                   | COVID-19 + Other (170d)                        |
| 7       | MM                                | Refractory/Resistant              | 90                        | BCMA ARI-0002       | x                | Flu-Cyc        | NA             | NA    | NA                  | Malignancy                                   |
| 8       | NHL - LBCL                        | Refractory/Resistant              | 42                        | Tisa-cel            | x                | Flu-Cyc        | 2              | NA    | x                   | Malignancy + COVID-19 (33d)                   |
| 9       | NHL - LBCL                        | Refractory/Resistant              | 397                       | Tisa-cel            | x                | Flu-Cyc        | 2              | NA    | x                   | NA                                            |
| 10      | NHL - LBCL                        | Complete remission                | 313                       | Axi-cel             | x                | Flu-Cyc        | 1              | NA    | x                   | NA                                            |
| 11      | ALL                               | Unknown                           | 9                         | Tisa-cel            | x                | Flu-Cyc        | NA             | NA    | NA                  | NA                                            |
| 12      | NHL - LBCL                        | Refractory/Resistant              | 302                       | Axi-cel             | x                | Flu-Cyc        | 1              | NA    | x                   | COVID-19 (42d)                                |
| 13      | NHL - LBCL                        | Complete remission                | 95                        | Tisa-cel            | x                | Flu-Cyc        | NA             | NA    | NA                  | NA                                            |
| 14      | NHL - LBCL                        | Complete remission                | 457                       | Axi-cel             | x                | Flu-Cyc        | 1              | NA    | NA                  | NA                                            |
| 15      | NHL - LBCL                        | Refractory/Resistant              | 158                       | Axi-cel             | x                | Flu-Cyc        | 1              | NA    | x                   | Malignancy (22d)                              |
| 16      | NHL - LBCL                        | Refractory/Resistant              | 65                        | Tisa-cel            | x                | Flu-Cyc        | 1              | 3     | x                   | Malignancy + COVID-19 (21d)                   |
| 17      | NHL - LBCL                        | Complete remission                | 270                       | Axi-cel             | x                | Flu-Cyc        | 3              | NA    | x                   | NA                                            |
| 18      | NHL - LBCL                        | Unknown                           | 19                        | Tisa-cel            | x                | Flu-Cyc        | 2              | NA    | x                   | COVID-19 (50d)                                |
| 19      | NHL - LBCL                        | Refractory/Resistant              | 180                       | Tisa-cel            | x                | Flu-Cyc        | 1              | NA    | x                   | NA                                            |
| 20      | NHL - LBCL                        | Complete remission                | 186                       | Tisa-cel            | x                | Flu-Cyc        | 1              | NA    | COVID-19 (51d)      | NA                                            |
| 21      | NHL - LBCL                        | Complete remission                | 348                       | Axi-cel             | x                | Flu-Cyc        | 2              | 2     | x                   | NA                                            |
Table 2. Univariate and multivariate analysis of factors associated with the mortality of CAR-T patients with COVID-19

| Sex          | All patients | CAR-T ≤ 6 months before COVID-19 | CAR-T > 6 months before COVID-19 |
|--------------|--------------|----------------------------------|----------------------------------|
|              | Univariable  | Multivariable                    | Univariable                      | Univariable                      |
|              | p value      | HR 95% CI                        | p value 95% CI                   | p value 95% CI                   |
| Female       | -            | -                                | -                                 | -                                |
| Male         | 0.093        | 2.682 0.849 8.474                | 0.092 2.742 0.848 8.861          | -                                |
| Age < 50 years old | -            | -                                | -                                 | -                                |
| Age ≥ 50 years old | 0.115      | 5.119 0.673 38.955               | -                                 | -                                |
| Number of comorbidities   |              |                                   |                                   |                                   |
| No comorbidities | -            | -                                | -                                 | -                                |
| 1 comorbidity  | 0.111        | 3.093 0.772 12.393               | -                                 | -                                |
| 2 comorbidities | 0.229        | 3.021 0.498 18.328              | -                                 | -                                |
| 3 or more comorbidities | 0.414   | 1.880 0.413 8.562               | -                                 | -                                |
| Malignancy status at COVID-19 diagnosis |              |                                   |                                   |                                   |
| Controlled disease | -            | -                                | -                                 | -                                |

Other reasons for death: Patient #3, possible IFI; patient #6, pulmonary embolism and heart failure).
|                          | All patients | CAR-T ≤ 6 months before COVID-19 | CAR-T > 6 months before COVID-19 |
|--------------------------|--------------|---------------------------------|----------------------------------|
|                          | Univariable  | Multivariable                   | Univariable                      | Univariable                      |
|                          | p value      | HR 95% CI                       | p value                          | HR 95% CI                        |
|                          | Lower        | Upper                           | Lower                            | Upper                            |
| Active disease           | 0.067        | 2.707 0.931 7.870              | 0.075                            | 2.652 0.907 7.754                |
| Unknown                  | 0.674        | 1.579 0.188 13.238             | 0.958                            | 1.059 0.123 9.132                |
| CAR-T construct          |              |                                 |                                  |                                  |
| Axi-cel                  |              |                                 |                                  |                                  |
| Tisa-cel                 | 0.820        | 0.888 0.321 2.458              |                                  |                                  |
| Other                    | 0.986        | -                               | -                                | -                                |
| ICU stay                 | 0.413        | 1.529 0.554 4.225             | 0.382                            | 0.479 0.092 2.494                |
| Tocilizumab/Steroids     | 0.484        | 1.437 0.520 3.972             | 0.870                            | 0.887 0.211 3.729                |
| after CAR-T              |              |                                 |                                  |                                  |
| Time from CAR-T to COVID-19 |            |                                 |                                  |                                  |
| ≤ 6 months               | -            | -                               | -                                | -                                |
| > 6 months               | 0.996        | 0.998 0.359 2.770             | -                                | -                                |
| Neutrophils at COVID-19 diagnosis | | | | |
| ≤ 500                    | -            | -                               | -                                | -                                |
| > 500                    | 0.469        | 0.611 0.161 2.321             | 0.724                            | 0.761 0.167 3.472                |
| Lymphocytes at COVID-19 diagnosis | | | | |
| ≤ 200                    | -            | -                               | -                                | -                                |
| > 200                    | 0.334        | 0.551 0.164 1.846             | 0.444                            | 0.568 0.133 2.419                |

Axi-cel, axicabtagene ciloleucel; CAR-T, chimeric antigen receptor T; CI, confidence interval; COVID-19, coronavirus diseases 2019; HR, hazard ratio; ICU, intensive care unit; Tisa-cel, tisagenlecleucel
Table 1. Characteristics of the 30 patients receiving CAR-T cells therapy and COVID-19

| Patient | Baseline haematological malignancy | Disease status at COVID-19 diagnosis | Days from CAR-T to COVID-19 | CAR-T cell construct | Bridging therapy | Lymphodepletion | CRS ICANS (at CAR-T) | Tocilizumab | Steroids | CRS/ICANS Treatment | Reason for death (days after COVID-19 diagnosis) |
|---------|-----------------------------------|------------------------------------|-----------------------------|----------------------|-------------------|----------------|-------------------|-------------|----------|---------------------|-----------------------------------------------|
| 1       | NHL - LBCL                         | Refractory/Resistant               | 37                          | Axi-cel              | x                  | Flu-Cyc        | 1                 | 1           |          |                     | Malignancy (35d)                             |
| 2       | NHL - LBCL                         | Complete remission                | 221                         | Axi-cel              | x                  | Flu-Cyc        | 1                 | NA          |          |                     | NA                                            |
| 3       | NHL - LBCL                         | Complete remission                | 240                         | Axi-cel              |                    | Flu-Cyc        | 2                 | NA          | x         | x                   | COVID-19 + Other (52d)                       |
| 4       | NHL - LBCL                         | Refractory/Resistant               | 1                           | Tisa-cel             | x                  | Flu-Cyc        | 2                 | NA          | x         | x                   | COVID-19 (25d)                               |
| 5       | NHL - LBCL                         | Complete remission                | 85                          | Axi-cel              |                    | Flu-Cyc        | 1                 | 2           | x         |                     | NA                                            |
| 6       | NHL - LBCL                         | Stable disease                    | 14                          | Tisa-cel             | x                  | Benda          | 2                 | 1           | x         | x                   | COVID-19 + Other (170d)                      |
| 7       | MM                                 | Refractory/Resistant               | 90                          | BCMA ARI-0002        | x                  | Flu-Cyc        | NA                | NA          |          | NA                   | NA                                            |
| 8       | NHL - LBCL                         | Refractory/Resistant               | 42                          | Tisa-cel             | x                  | Flu-Cyc        | 2                 | NA          | x         | x                   | Malignancy + COVID-19 (33d)                 |
| 9       | NHL - LBCL                         | Refractory/Resistant               | 397                         | Tisa-cel             | x                  | Flu-Cyc        | 2                 | NA          | x         |                     | NA                                            |
| 10      | NHL - LBCL                         | Complete remission                | 313                         | Axi-cel              |                    | Flu-Cyc        | 1                 | NA          | x         |                     | NA                                            |
| 11      | ALL                                | Unknown                             | 9                           | Tisa-cel             |                    | Flu-Cyc        | NA                | NA          |          |                     | NA                                            |
| 12      | NHL - LBCL                         | Refractory/Resistant               | 302                         | Axi-cel              | x                  | Flu-Cyc        | 1                 | NA          | x         |                     | COVID-19 (42d)                               |
| 13      | NHL - LBCL                         | Complete remission                | 95                          | Tisa-cel             | x                  | Flu-Cyc        | NA                | NA          |          |                     | NA                                            |
| 14      | NHL - LBCL                         | Complete remission                | 457                         | Axi-cel              | x                  | Flu-Cyc        | NA                | NA          |          |                     | NA                                            |
| 15      | NHL - LBCL                         | Refractory/Resistant               | 158                         | Axi-cel              | x                  | Flu-Cyc        | 1                 | NA          | x         | x                   | Malignancy (22d)                            |
| 16      | NHL - LBCL                         | Refractory/Resistant               | 65                          | Tisa-cel             | x                  | Flu-Cyc        | 1                 | 3           | NA        | X                   | Malignancy + COVID-19 (21d)                 |
| 17      | NHL - LBCL                         | Complete remission                | 270                         | Axi-cel              | x                  | Flu-Cyc        | 3                 | NA          | x         |                     | NA                                            |
| 18      | NHL - LBCL                         | Unknown                             | 19                          | Tisa-cel             |                    | Flu-Cyc        | 2                 | NA          | x         |                     | COVID-19 (50d)                               |
|   | NHL - LBCL | Refractory/Resistant |   | Tisa-cel | Flu-Cyc | 1 | NA | x | x | NA |
|---|------------|---------------------|---|----------|---------|---|----|---|---|----|
| 19| NHL - LBCL | Complete remission  | 180 | Tisa-cel | Flu-Cyc | 1 | NA |   |   |   |
| 20| NHL - LBCL | Complete remission  | 186 | Tisa-cel | Flu-Cyc | 1 | NA |   |   | COVID-19 (51d) |
| 21| NHL - LBCL | Complete remission  | 348 | Axi-cel  | Flu-Cyc | 2 | 2  | x | x | NA |
| 22| NHL - LBCL | Complete remission  | 19  | Tisa-cel  | x       | Flu-Cyc | 1 | NA |   |   | NA |
| 23| NHL - LBCL | Complete remission  | 605 | Tisa-cel  | x       | Flu-Cyc | 1 | NA |   |   | COVID-19 (46d) |
| 24| NHL - NOS  | Complete remission  | 635 | Axi-cel  | Flu-Cyc | 1 | NA |   |   | COVID-19 (44d) |
| 25| NHL - LBCL | Refractory/Resistant | 180 | Tisa-cel  | Flu-Cyc | 1 | NA |   |   | Malignancy + COVID-19 (32d) |
| 26| NHL - LBCL | Complete remission  | 485 | Axi-cel  | Flu-Cyc | 1 | NA |   |   | COVID-19 (53d) |
| 27| NHL - LBCL | Complete remission  | 510 | Axi-cel  | Flu-Cyc | 1 | NA |   |   | COVID-19 (124d) |
| 28| NHL - LBCL | Complete remission  | 66  | Tisa-cel  | Flu-Cyc | 1 | NA | x |   | NA |
| 29| NHL - LBCL | Refractory/Resistant | 12  | Tisa-cel  | Flu-Cyc | 1 | 1  |   |   | NA |
| 30| NHL - LBCL | Complete remission  | 35  | Tisa-cel  | Flu-Cyc | NA | NA |   |   | NA |

Other reasons for death: Patient #3, possible IFI; patient #6, pulmonary embolism and heart failure).

**ALL**, acute lymphoid leukaemia; **Axi-cel**, axicabtagene ciloleucel; **Benda**, bendamustine; **CAR-T**, chimeric antigen receptor T; **COVID-19**, coronavirus disease 2019; **CRS**, cytokine release syndrome; **Cyc**, cyclophosphamide; **d**, day; **LBCL**, diffuse large B-cell lymphoma; **Flu**, fludarabine; **ICANS**, immune effector cell-associated neurotoxicity syndrome; **MM**, multiple myeloma; **NA**, not applicable; **NHL**, non-Hodgkin lymphoma; **NOS**, not otherwise specified; **Tisa-cel**, tisagenlecleucel
Table 2. Univariate and multivariate analysis of factors associated with the mortality of CAR-T patients with COVID-19

|                                | All patients | CAR-T ≤ 6 months before COVID-19 | CAR-T > 6 months before COVID-19 |
|--------------------------------|--------------|----------------------------------|----------------------------------|
|                                | Univariable  | Multivariable                    | Univariable                      | Univariable                      |
|                                | p value      | HR     | 95% CI  | p value | HR     | 95% CI  | p value | HR     | 95% CI  | p value | HR     | 95% CI  |
| **Sex**                        |              |        |         |         |        |         |         |        |        |         |         |        |         |
| Female                         | 0.093        | 2.682  | 0.849   | 8.474   | 0.092  | 2.742   | 0.848   | 8.861  | -      | -       | -       | -      | -       |
| Male                           | -            | -      | -       | -       | -      | -       | -       | -      | -      | -       | -       | -      | -       |
| **Age**                        |              |        |         |         |        |         |         |        |        |         |         |        |         |
| < 50 years old                 | -            | -      | -       | -       | -      | -       | -       | -      | -      | -       | -       | -      | -       |
| ≥ 50 years old                 | 0.115        | 5.119  | 0.673   | 38.955  | -      | -       | -       | -      | -      | -       | -       | -      | -       |
| **Number of comorbidities**    |              |        |         |         |        |         |         |        |        |         |         |        |         |
| No comorbidities               | -            | -      | -       | -       | -      | -       | -       | -      | -      | -       | -       | -      | -       |
| 1 comorbidity                  | 0.111        | 3.093  | 0.772   | 12.393  | -      | -       | -       | -      | -      | -       | -       | -      | -       |
| 2 comorbidities                | 0.229        | 3.021  | 0.498   | 18.328  | -      | -       | -       | -      | -      | -       | -       | -      | -       |
| 3 or more comorbidities        | 0.414        | 1.880  | 0.413   | 8.562   | -      | -       | -       | -      | -      | -       | -       | -      | -       |
| **Malignancy status at COVID-19 diagnosis** |              |        |         |         |        |         |         |        |        |         |         |        |         |
| Controlled disease             | -            | -      | -       | -       | -      | -       | -       | -      | -      | -       | -       | -      | -       |
| Active disease                 | 0.067        | 2.707  | 0.931   | 7.870   | 0.075  | 2.652   | 0.907   | 7.754  | -      | -       | -       | -      | -       |
| Unknown                        | 0.674        | 1.579  | 0.188   | 13.238  | 0.958  | 1.059   | 0.123   | 9.132  | -      | -       | -       | -      | -       |
| **CAR-T construct**            |              |        |         |         |        |         |         |        |        |         |         |        |         |
| Axi-cel                        | -            | -      | -       | -       | -      | -       | -       | -      | -      | -       | -       | -      | -       |
| Tisa-cel                       | 0.820        | 0.888  | 0.321   | 2.458   | -      | -       | -       | -      | -      | -       | -       | -      | -       |
| Other                          | 0.986        | -      | -       | -       | -      | -       | -       | -      | -      | -       | -       | -      | -       |
| **ICU stay**                   | 0.413        | 1.529  | 0.554   | 4.225   | -      | -       | -       | -      | -      | -       | -       | -      | -       |
| **Tocilizumab/Steroids after CAR-T** |              |        |         |         |        |         |         |        |        |         |         |        |         |
| Time from CAR-T to COVID-19 ≤ 6 months | 0.484 | 1.437  | 0.520   | 3.972   | -      | -       | -       | -      | -      | -       | -       | -      | -       |
| > 6 months                     | 0.996        | 0.998  | 0.359   | 2.770   | -      | -       | -       | -      | -      | -       | -       | -      | -       |
| **Neutrophils at COVID-19 diagnosis** |              |        |         |         |        |         |         |        |        |         |         |        |         |
|                      | All patients | CAR-T ≤ 6 months before COVID-19 | CAR-T > 6 months before COVID-19 |
|----------------------|--------------|---------------------------------|---------------------------------|
|                      | Univariable  | Multivariable                    | Univariable                      |
|                      | p value      | HR 95% CI Lower | Upper | p value | HR 95% CI Lower | Upper | p value | HR 95% CI Lower | Upper |
| Lymphocytes at COVID-19 diagnosis |                      |                      |                      |                      |                      |                      |                      |                      |                      |
| ≤ 500                | 0.469        | 0.611 0.161 2.321      |                            | 0.724 0.761 0.167 3.472 |                            | 0.724 0.761 0.167 3.472 |                            | 0.724 0.761 0.167 3.472 |                            |
| > 500                | 0.334        | 0.551 0.164 1.846      |                            | 0.444 0.568 0.133 2.419 |                            | 0.907 0.872 0.089 8.511 |                            | 0.907 0.872 0.089 8.511 |

Axi-cel, axicabtagene cileucel; CAR-T, chimeric antigen receptor T; CI, confidence interval; COVID-19, coronavirus diseases 2019; HR, hazard ratio; ICU, intensive care unit; Tisa-cel, tisagenlecleucel