Unsupervised Tissue Segmentation via Deep Constrained Gaussian Network

Yang Nan, Peng Tang, Guyue Zhang, Caihong Zeng, Zhihong Liu, Zhifan Gao, Heye Zhang, Senior Member, IEEE, and Guang Yang, Senior Member, IEEE

Abstract—Tissue segmentation is the mainstay of pathological examination, whereas the manual delineation is unduly burdensome. To assist this time-consuming and subjective manual step, researchers have devised methods to automatically segment structures in pathological images. Recently, automated machine and deep learning based methods dominate tissue segmentation research studies. However, most machine and deep learning based approaches are supervised and developed using a large number of training samples, in which the pixel-wise annotations are expensive and sometimes can be impossible to obtain. This paper introduces a novel unsupervised learning paradigm by integrating an end-to-end deep mixture model with a constrained indicator to acquire accurate semantic tissue segmentation. This constraint aims to centralise the components of deep mixture models during the calculation of the optimisation function. In so doing, the redundant or empty class issues, which are common in current unsupervised learning methods, can be greatly reduced. By validation on both public and in-house datasets, the proposed deep constrained Gaussian network achieves significantly (Wilcoxon signed-rank test) better performance (with the average Dice scores of 0.737 and 0.735, respectively) on tissue segmentation with improved stability and robustness, compared to other existing unsupervised segmentation approaches. Furthermore, the proposed method presents a similar performance (p-value > 0.05) compared to the fully supervised U-Net.

Index Terms—Semantic segmentation, unsupervised learning, unsupervised segmentation, deep mixture models, tissue segmentation.

I. INTRODUCTION

Given an image, a segmentation algorithm aims to assign labels for pixels based on their feature representations. Tissue segmentation is essential for automated pathological examination, diagnosis and prognosis; however, manual delineation is time-consuming, onerous and unreproducible. To alleviate the burden of this manual procedure, researchers have explored conventional approaches to automatically segment organs or structures, including watershed [1], contour detection [2], clustering [3], [4], and random field [5], etc. However, these methods are unreliable and heavily rely on thresholds or preset parameters. Recently, machine and deep learning based methods have garnered great success in computational pathology [6]–[9]. For example, Mahbod et al. [9] proposed a progressive sequential causal GAN to synthesise the late gadolinium enhancement imaging for better segmentation of diagnosis-related structures. Liu et al. [10] incorporated CycleGAN with an adaptive Mask RCNN for unsupervised nuclei segmentation in histopathology images, by learning knowledge from fluorescence microscopy images. However, most learning-based methods are fully supervised which require manual labelling, or unsupervised that demand complex training procedures. In particular, complex pathological structures dramatically increase the difficulty of pixel-level annotation, resulting in an urgent need for developing segmentation methods with limited or no manual annotation.

One way to overcome this hurdle is known as (deep) semi-supervised learning, which builds the model with limited annotations or prior knowledge of the targets. Self-training is a commonly used method that trains the model with limited annotated labels and fine-tunes it via pseudo labels generated by itself. For instance, Liang et al. [11] proposed an iterative learning scheme to segment gastric tumours based on a partially labelled dataset. In addition to self-training, one can use the prior knowledge given by conventional methods or empirical constraints such as target labels to train a network. This includes the utilization of coarse masks given by image processing algorithms, pre-trained weights from correlated datasets, or image-level annotations provided by

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Yang Nan is with the National Heart and Lung Institute, Imperial College London, London SW3 6LY, U.K. (e-mail: y.nan20@imperial.ac.uk).

Peng Tang is with the Department of Informatics, Technical University of Munich, 80333 Munich, Germany (e-mail: peng.tang@tum.de).

Guyue Zhang is with the Zhejiang Institute of Standardization, Hangzhou 310008, China (e-mail: guyuezhang13@fudan.edu.cn).

Caihong Zeng and Zhihong Liu are with the National Clinical Research Centre of Kidney Diseases, Nanjing University, Nanjing 210093, China (e-mail: zengcaihong@nju.edu.cn; liuzhihong@nju.edu.cn).

Zhifan Gao and Heye Zhang are with the School of Biomedical Engineering, Sun Yat-sen University, Guangzhou 510275, China (e-mail: gaozhifan@gmail.com; zhangheye@mail.sysu.edu.cn).

Guang Yang is with the National Heart and Lung Institute, Imperial College London, London SW3 6LY, U.K., also with Royal Brompton Hospital, London SW3 6NP, U.K., and also with the School of Biomedical Engineering and Imaging Sciences, King’s College London, London WC2R 2LS, U.K. (e-mail: g.yang@imperial.ac.uk).

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domain experts. Hu et al. [12] applied activation maps to detect COVID-19 infections without pixel-level annotation. Atlason et al. [13] took coarse masks from an automated labelling system as attention maps to force the network to concentrate on the constrained region.

Another solution is (deep) unsupervised learning, which produces general semantic predictions such as ‘background’ and ‘foreground’ without using any manual annotations. For instance, Kanae et al. [14] employed Simple Linear Iterative Clustering [15] to obtain super-pixel level segmentation results, combining with convolutional neural networks to segment natural images. Shen et al. [16] introduced a coupled “deep-image-prior” module to segment background and foreground regions. However, most of these studies focused on natural images, whose effectiveness for pathological images remains unclear. Moreover, image quality variations (e.g., different brightness, contrast, noise, and shade levels in pathological images) may lead to poor generalisability for models originally developed for natural images. The randomised initializations of some unsupervised learning methods may further result in unreliable performance and weak reproducibility. In particular, there are several degenerative issues (Fig. 1) for unsupervised segmentation, including (1) empty class (2) redundant class (3) collapse, and (4) instability issues. The empty class problem indicates that the model confounds a certain class with another one, e.g., the prediction only has two classes even if the pre-defined number of classes is three (Fig. 1 (a) first row). The redundant class indicates the demand for an additional class to achieve better performance during unsupervised segmentation. This redundant class is used to represent the hard samples, which are defined as pixels whose intensities are diffusely/narrowly vary from the average intensity of their true/false class. For example, the white regions in the second row of Fig. 1 (a) are considered a unique class, since the model cannot treat them as the same class (background) as stroma. Collapse issue refers to the phenomenon when a certain class dominates the major predictions of an image while other classes only appear sporadically (as shown in Fig. 1(a), the third row). The instability means the fluctuant performance when conducting repeated training (Fig. 1 (a) fourth row).

To address these limitations, our study proposes a novel unsupervised approach that integrates a deep neural network with log-likelihood maximisation and centralised constraint (Fig. 1 (b)), namely Deep Constrained Gaussian Network (dubbed DCGN). Unlike previous methods that utilise prior knowledge, the proposed DCGN takes raw images as inputs and produces pixel-wise predictions for tissue structures. Besides, a centralised constraint, which can greatly enhance the model’s robustness and performance, is devised, aiming to shrink the estimated mean value of the components closer to the real data centroids. Comprehensive experimental studies were conducted on a multicentre open access dataset (i.e., MoNuSeg, acquired from the TCGA archive) and our in-house dataset. In addition, repeated experiments are performed to evaluate the stability of different approaches. The proposed method achieves a new state-of-the-art performance in unsupervised segmentation in pathological images, with Dice scores of 0.743 and 0.737 on MoNuSeg and our in-house dataset, respectively, outperforming all comparison models significantly (Wilcoxon signed-rank test p-value < 0.001). The main contributions of this paper are:

1) Major challenges and limitations of current unsupervised tissue segmentation approaches in the pathological image domain have been investigated comprehensively and summarised concisely. These include the missing class problem, the redundant class problem, collapse, and the instability issues. We observed that these degenerative issues are caused by large intra-class variations or small inter-class variations.

2) A DCGN with a centralised constraint is proposed to address all the degenerative problems. This centralised constraint forces the estimated mean to approximate the observed mean value by considering the heterogeneity of the training data to solve a) the missing class or collapse issue when previous unsupervised methods may consider outliers as a single class, b) the instability issue when previous unsupervised methods may be trapped at the local optimum, and c) the redundant class issue when the existing unsupervised methods could encounter small inter-class variations and result in weak predictions. The proposed centralised constraint is a succinct yet effective module that can be easily adapted to other unsupervised approaches for tissue segmentation.

3) Comprehensive experimental studies have been conducted to demonstrate the significantly improved performance of our proposed DCGN with greatly enhanced reproducibility. Our study also suggests that the assessment of future unsupervised tissue segmentation methods must consider degenerative problems and repeated experiments should be carried out to prove stability and robustness.

The rest of this paper is organised as follows. The related studies on unsupervised segmentation are summarised in Section II. Details of the proposed method are illustrated...
in Section III. The experimental settings, including dataset details and training parameters, are described in Section IV. Sections V and VI present the discussion and conclusion of this study.

II. RELATED WORKS

This section describes the most related previously published studies, including both conventional and deep learning-based unsupervised segmentation approaches.

A. Conventional Unsupervised Segmentation

In general, unsupervised segmentation can be treated as a clustering task. Given a three-channel RGB image, the clustering algorithm first flattens the 3D array to a 2D vector, then each pixel group (pixels along with R, G, and B channels) is considered as a multidimensional sample for clustering. These methods include graph/normalised cuts [17], Markov random field [18], minbatch K-means [19]. Gaussian mixture model (GMM) [20], mean shift [21], and have been widely used in medical image analysis tasks, such as registration [22], lesion detection [23] and segmentation [20]. In addition to clustering, learning and distinguishing different feature representations can also segment regions of interest from images. For instance, Fan et al. [24] applied hierarchical image matting to segment vessels from fundus images. Tosun et al. [25] proposed an object-oriented method with a homogeneity measurement to segment biopsy images.

B. Deep Clustering and Mutual Information

Recent studies of unsupervised learning aim to combine conventional clustering methods with deep neural networks [26]–[28]. Specifically, these methods use clustering-based objective functions to train a neural network. For instance, DeepCluster () [26] jointly updated parameters of the neural networks and clustering during the training, and used pseudo labels to calculate objective functions. Kim et al. [29] proposed a spatial constraint to the softmax cross-entropy loss (given by pseudo labels and predictions) to keep the spatial continuity of semantic predictions. Wellmann et al. [28] integrated domain knowledge as probabilistic relations and proposed a deep conditional GMM. However, using pseudo labels for training is prone to weak solutions, such as empty clusters, and trivial parametrisation [26].

Maximizing the mutual information of paired predictions is effective [30]. To further alleviate degenerative issues, Invariant Information Clustering (IIC) [31] modified co-clustering approaches and proposed mutual information based objective functions between paired samples to train a segmentation model. Given a pair of variables \(X, Y\) and their marginal distribution \(p(x)\) and \(p(y)\), the mutual information between \(X\) and \(Y\), jointly distributed according to \(p(x, y)\), is defined as

\[
I(X; Y) = \sum_{x,y} p(x, y) \log \frac{p(x, y)}{p(x)p(y)}. \tag{1}
\]

IIC generated paired images by randomised rotation to assist the network to learn the invariant information and textual representations. More generally, IIC aimed to find common parts of paired samples while ignoring the redundant ones. However, it still suffers from degenerative issues and unstable performance (as shown in Section IV).

C. Deep Generative Models and Log Likelihood

Deep generative models aim to learn image representations by reconstructing the input images through generative models, such as generative adversarial networks (GAN), variational auto-encoder (VAE), and encoder-decoders. These representations can then be used to produce semantic predictions or calculate objective functions [32]. For instance, Chen et al. [33] employed redrawing ideas to segment foreground and background samples. Gandelsman et al. [34] proposed double Deep Image Prior (DIP) to composite images as background and foreground samples. However, these methods can only segment limited classes, which would be computationally redundant when producing multi-class predictions.

Another attempt is to combine deep neural networks with the GMM. Zong et al. [35] proposed a deep auto-encoder Gaussian mixture model (DAGMM), adding GMM to the low-dimensional feature representations within an auto-encoder for unsupervised anomaly detection. Oord et al. [36] incorporated GMM on the top layers in hierarchical structures for unsupervised classification. Based on these studies, Zanjani et al. [37] extended DGMM for segmentation via classifying each pixel for stain normalisation. They proposed three novel schemes, including GAN-based, VAE-based, and deep convolutional Gaussian mixture model (DCGMM) based approaches. Among these attempts, the VAE-based approach and DCGMM can be well transferred to segmentation. The VAE-based method performed log-likelihood loss and Kullback-Leibler (KL) divergence loss to assess the reconstruction performance of raw data and the correlation between latent variables and prior distribution, respectively. The DCGMM trained the network by maximising the log-likelihood objective function. However, most of these methods only simply combine expectation maximisation with deep neural networks, without addressing the common issues in unsupervised tissue segmentation.

III. METHODOLOGY

A. Overview

To address the limitations of existing unsupervised segmentation approaches, we summarise the properties that a well-performed model should possess:

1) The model should have strong reproducibility during the training and validation stages.
2) The model should be as light as possible and does not require complex pre-processing or post-processing steps.
3) The model should have the ability to alleviate degenerative issues (e.g., the empty clusters problem).

By considering the above properties, DCGN is proposed to segment pathological tissue images.
B. Deep Constrained Gaussian Network

In biomedical image segmentation, especially in pathological images, the semantic labels are more related to colour representations compared to natural images. This suggests that a mixture model can be well integrated with a deep neural network for unsupervised segmentation.

Let $\omega$ denote learnable parameters of a deep neural network and $\mathcal{J}$ refer to the objective function. In fully supervised learning, $\omega$ is updated by minimizing the objective function $\mathcal{J}$, which is commonly defined by calculating the errors between ground truth labels and predictions. Therefore, the key to unsupervised segmentation can be treated as finding the best objective function for training deep neural networks without annotation (ground truth label). In addition to maximizing the mutual information between paired samples in Eq. (1), maximizing the log-likelihood can also be integrated into the gradient descent training framework, by minimizing the negative log-likelihood.

The proposed DCGN includes a feature extractor, a decoder, and a log-likelihood estimation module. Different from the accurate objective functions that calculate the error between the ground truths and predictions in supervised learning, log-likelihood maximization is a biased estimation that only produces a rough ‘direction’ to the global optimum [38], [39]. Therefore, we believe that complex and deep network structures are more likely to be over-fitted and trapped at local optima when there is no strong supervised optimisation function.

To better demonstrate the idea of our proposed centralised constraint for the log-likelihood objective function to alleviate the degenerative issues of deep Gaussian networks. The objective function of the deep Gaussian network is calculated using the estimated parameters $\theta$ and pseudo posterior $\gamma$. However, the variance in batch data makes it difficult to derive the real parameters $\mu_{\text{real}}$. To better demonstrate the idea of our proposed centralised constraint, two simplified examples are shown in Fig. 2. We first introduce a simplified scenario in Fig. 2 (a), which is a group of single-class samples following the Gaussian distribution. Given a batch of data $X$, let $\mu_{\text{est}}$ be the estimated mean value of the mixture model, $\mu_{\text{obs}}$ be the observed mean value of minibatch data $X$, and $\mu_{\text{real}}$ be the real (ideal) mean value of the mixture model.

$$ \sum_k a_k = 1. $$

Therefore, by integrating Eqs. (2) and (3), the network $\theta$ can be trained by minimising the log-likelihood $L$

$$ \omega = \arg \min_{\omega} -L(\omega). $$

It is of note that one major concern for existing deep Gaussian models is the redundant class issue, which is mainly caused by small inter-class and large intra-class variations.

For multi-class samples, this centralised constraint can alleviate the negative effect of small inter-class variations (Fig. 2(b)). Assume there are two classes $a$ and $b$, which denote $a'$ and $b'$ as the estimated classes. The model treats the majority samples of class $a$ and $b$ as the class $a'$, while some outliers of class $b$ are considered as $b'$. This could lead to poor segmentation results when performing existing methods on samples with small inter-class variations.

Therefore, a centralised constraint $\Delta$ is devised to let the estimated mean $\mu_{\text{est}}$ approximate $\mu_{\text{obs}}$ by considering the...
derivative of $X$ 

$$\Delta = \frac{|\mu_{est} - \bar{X}|}{\sigma^2_X}. \tag{5}$$

When dealing with hard samples with small inter-class variations, the observed variance is relatively small, resulting in a relatively large constraint value. This constraint will force the model to reallocate the estimated mean to approximate the observed mean; therefore, can reduce the degenerative issues. When dealing with “easy” samples (i.e., samples with large inter-class variations), the observed variance is high, leading to small constraints to the objective functions that can barely affect the parameter estimation.

With this centralised constraint $\Delta$, the objective function $\mathcal{L}_C$ for our DCGN can be expressed as

$$\mathcal{L}_C = \mathcal{L}(\Theta|\Theta^{(t)}) - \lambda \sum_{k=1}^{K} \sum_{c=1}^{C} \frac{|\mu_k^{(t)} - \bar{X}_c|}{\sigma^2_c}, \tag{6}$$

where $C$ is the dimension of the input samples (e.g., $C = 3$ for RGB images), $\sigma^2_c$ is the variance of minibatch samples on channel $c$, and $\bar{X}_c$ denotes the mean value of minibatch samples on channel $c$. With the proposed constraint, the objective function $\mathcal{L}_C$ would be penalised if the estimated $\mu_k$ is far away from the observed mean $\mu_{obs}$. As a result, outliers or hard samples would produce less interference to the objective function, hence, stabilising the training procedure, and in turn, improving the segmentation performance.

Assume the constraint weight as $\lambda$, by calculating partial derivatives over $\mu_k, \Sigma_k$ and $\alpha_k$ of Eq. (6), the centralised mixture parameters can be obtained via

$$\gamma_k^{(t+1)} = \emptyset \left( X_i, \omega^{(t)} \right) \tag{7}$$

$$\mu_k^{(t+1)} = \left\{ \frac{\sum_{i=1}^{N} \gamma_k^{(t+1)} X_i - \sum_{i=1}^{C} \frac{x^{(t)}_{ik} \mu^{(t)}_k}{\sigma^2_c}}{\sum_{i=1}^{N} \gamma_k^{(t+1)}}, \mu_k \geq \bar{X}_c \right\}, \tag{8}$$

$$\mu_k^{(t+1)} = \left\{ \frac{\sum_{i=1}^{N} \gamma_k^{(t+1)} X_i + \sum_{c=1}^{C} \frac{x^{(t)}_{ik} \mu^{(t)}_k}{\sigma^2_c}}{\sum_{i=1}^{N} \gamma_k^{(t+1)}}, \mu_k < \bar{X}_c \right\} \tag{9}$$

$$\alpha_k^{(t)} = \frac{\sum_{i=1}^{N} \gamma_k^{(t+1)} (X_i - \mu_k^{(t+1)}) (X_i - \mu_k^{(t+1)})^T}{\sum_{i=1}^{N} \gamma_k^{(t+1)}} \tag{10}$$

Note that in Eq. (10), the calculation of $\mu_k^{(t+1)}$ demands $\Sigma_k^{(t)}$; therefore, an initialisation of $\Sigma_k$ is required before the training process. A random initialisation from uniform distribution was used in this study.

The pseudo-code of the entire training procedure for DCGN is shown in Algorithm 1.

### C. Preprocessing

Each input image $X$ is pre-processed by the min-max normalisation through RGB channels, that is

$$X'_c = \frac{X_c - \text{min}(X_c)}{\text{max}(X_c) - \text{min}(X_c)}, \tag{11}$$

where $X_c$ is the channel $c$ of the input image $X$.

### Algorithm 1 Pseudo-Code for Training DCGN

**Input:** images $X \in R^{W \times H \times 3}$

**Output:** trained network parameters $\omega$, semantic prediction $\gamma$

1. randomly initialize $\Sigma^{(0)}_k$, network parameters $\omega^{(0)}$
2. for $t$ in iterations do

   1. $\gamma^{(t)} = \emptyset (X, \omega^{(t)}) \in R^{W \times H \times K}$
   2. update $\mu_k^{(t+1)}$ with $\gamma^{(t)}_k$ and $\Sigma^{(t)}_k$
   3. update $\Sigma_k^{(t+1)}$ with $\gamma^{(t)}_k$ and $\mu_k^{(t+1)}$
   4. Compute $\mathcal{L}_C$ through $\mu_k^{(t+1)}, \Sigma_k^{(t+1)}, \alpha_k^{(t+1)}$

   *update* $\omega$ by argl $[-\mathcal{L}_C (\omega^{(t)})]$

| **TABLE I** COMPOSITION OF THE MoNuSeg DATASET |
|---------------------------|-----------------------|
| **Subset** | **Nuclei** | **Images** | **Anatomical Details** |
| Training | 21623 | 30 | 6 breast, 6 liver, 6 kidney, 6 prostate, 2 bladder, 2 colon, 2 stomach |
| Testing | 7223 | 14 | 2 breast, 3 kidney, 2 prostate, 2 bladder, 1 colon, 2 lung, 2 brain |

### IV. EXPERIMENTS

This section demonstrates all the experimental settings including datasets, evaluation metrics, implementation details and results. The efficiency of the proposed DCGN is assessed on a public dataset from the TCGA* repository (MoNuSeg†) and our in-house renal biopsy image (RBI) dataset.

#### A. Datasets and Training Strategies

1) **MoNuSeg**: MoNuSeg consists of 44 pathological tissue images with 28,846 manually annotated nuclear boundaries. These 1,000 × 1,000 images were extracted from the separate whole slide images (scanned at 40 ×) from the TCGA repository, representing 9 different organs from 44 individuals. The stromal and epithelial nuclei were manually labelled using Aperio ImageScope. Details of MoNuSeg are described in Table I. The various tissue sections greatly increase the richness and appearance variation of the dataset, which can provide a convincing assessment.

2) **RBI**: RBI includes more than 10,000 image patches extracted from 400 whole slide images with biopsy-proven results collected from the National Clinical Research Centre of Kidney Diseases, Jinling Hospital. All data were deidentified in accordance with the tenets of the Declaration of Helsinki [41]. Each image was resized to a unified size of $512 \times 512$. We randomly selected 577 images for training and 20 images for validation (the glomerular structures were annotated by experienced pathologists with 20 years of experience). Note that the training set and validation set were selected from different whole slide images.

*The Cancer Genome Atlas (TCGA), [Online]. Available at: http://cancergenome.nih.gov/ (Accessed in August, 2021).
†The MoNuSeg public dataset [Online]. Available at https://monuseg.grand-challenge.org/Data/ (Accessed in July 2021).
3) **Training Strategies:** Parameters of the encoder are initialised with ImageNet pre-trained weights to provide strong feature extraction capabilities, while that of the decoder are initialised using He-normal initialisation. Randomised hue transformation (delta = 0.12), randomised saturation (saturation factor ranges from 0.5 to 1.5), randomised flip-up/down, and randomised flip-left/right were implemented to augment the dataset before training. All of the models were trained on an NVIDIA RTX 3090 GPU for 200 epochs, with an initial learning rate of $5 \times 10^{-5}$ and a decay of 0.98 per epoch.

### B. Experimental Details

1) **Comparisons:** To evaluate the effectiveness of DCGN, we compared it with several deep learning based and conventional unsupervised segmentation methods, including minibatch K-Means (denote as mKMeans), GMM, IIC [31], Double DIP [34], DCAGMM (deep clustering via adaptive GMM modelling) [42], DIC (deep image clustering) [43], Kim’s work [29], Kanzeaki’s work [14] and DCGMM [37]. It is of note that we reproduce and modify the DCAGMM by adopting its distance-based constraints in the original DCGMM (it was initially designed for image classification). Open-source implementations of the comparison methods used in this study can be obtained on Github. The network structure of the DCAGMM was modified to match our DCGN for a fair comparison. In addition to unsupervised methods, we also implemented a fully supervised U-Net on cell segmentation task for better comparison. The implemented U-Net was modified by adding batch normalization layers and dropout layers compared to the original vanilla U-Net [44].

2) **Cell Segmentation on MoNuSeg:** For many existing unsupervised learning approaches, the performance of segmentation suffers from random initialisation. In this study, repeated experiments were conducted to explore the stability and reproducibility of the performance of all comparison algorithms. All these approaches were trained for 150 epochs each time and repeated 10 times without changing any parameters or training samples. The upper bound performance is defined as the best results among 10 repeated experiments. Although cell segmentation is a binary task, all the compared studies were assessed using different numbers of classes ($k = 2$ or $3$) to show their upper-bound performance. In addition, a fully supervised U-Net is trained as the baseline of supervised learning.

3) **Glomeruli Decomposition on RBI:** In addition to assessing the effectiveness of the binary segmentation, a glomeruli decomposition task is carried out. The glomerular structures were divided into three parts ($k = 3$), including (1) mesangial matrix and basement membrane, (2) intra-glomerular cells (mesangial, endothelial and podocytes) and macula densa, and (3) other regions such as glomerular capillaries, bowman’s space, exudate, etc. It is of note that Double DIP was not assessed since it was designed for binary segmentation only.

4) **Degeneration Assessment:** To explore the degenerative issues, we analysed 140 predictions on the MoNuSeg datasets and 100 predictions on the RBI datasets, based on the following criteria:

1. All these predictions are acquired from repeated experiments (10 times for MoNuSeg and 5 times for RBI).
2. Collapse is assessed on both MoNuSeg and RBI datasets, which is defined as a certain class dominating the major region (here we set 97% as the threshold) of an image.
3. Redundant class is assessed on the MoNuSeg dataset, which is identified when the segmentation performance can be improved by adding an extra class without semantic meanings.
4. Empty class is assessed on the RBI dataset and refers to missing a certain class or with an extremely low ratio (here we set <1%) in the prediction.
5. Instability is assessed on both MoNuSeg and RBI datasets and is considered when the standard deviation of the average performance among repeated experiments is larger than 8%.

### C. Experimental Results

1) **Unsupervised Cell Segmentation on MoNuSeg:** The performance of repeated experiments is presented in Table II, shown as mean ± standard deviation (with the upper-bound results of each method shown in brackets). It shows that some unsupervised approaches initially developed for natural images could not perform well on pathological images, indicating a significantly lower average Dice (relatively 3-39% lower) compared to the proposed DCGN (Fig. 3 and Table II). For instance, double DIP [34] failed to perform cell segmentation with only a 0.344 average Dice score. Interestingly, conventional GMM ($k = 3$) achieved good performance with a 0.695 average Dice score, which is similar compared to that of the DCGMM (0.707).

To provide statistical assessments, Wilcoxon signed-rank test was performed between the evaluation results of 10 repeated experiments. Considering the upper bound of the segmentation performance (shown in Table II), the proposed
TABLE II
PERFORMANCE OF THE CELL SEGMENTATION (MoNUSeg DATASET)

| Methods       | Precision       | Recall          | Dice            | AJI              |
|---------------|-----------------|-----------------|-----------------|------------------|
| mKMeans*      | 0.657±0.175(0.679)⁺ | 0.792±0.174(0.773)⁺ | 0.678±0.094(0.682)⁺ | 0.305±0.140(0.338)⁺ |
| GMM*          | 0.631±0.150(0.664)⁺ | 0.822±0.109(0.819)⁺ | 0.695±0.085(0.717)⁺ | 0.290±0.151(0.319)⁺ |
| IIC*          | 0.467±0.092(0.516)⁺ | 0.725±0.121(0.796)⁺ | 0.560±0.087(0.618)⁺ | 0.056±0.030(0.072)⁺ |
| Kim et al.*   | 0.575±0.249(0.698)⁺ | 0.824±0.189(0.772)⁺ | 0.606±0.171(0.694)⁺ | 0.220±0.176(0.323)⁺ |
| Double DIP    | 0.221±0.051(0.221)⁺ | 0.820±0.109(0.851)⁺ | 0.344±0.067(0.350)⁺ | 0.013±0.006(0.013)⁺ |
| Kanezaki et al.* | 0.629±0.195(0.725)⁺ | 0.822±0.162(0.783)⁺ | 0.669±0.119(0.727)⁺ | 0.260±0.166(0.351)⁺ |
| DCGMM*        | 0.693±0.135(0.698)* | 0.786±0.171(0.801)⁺ | 0.707±0.064(0.719)⁺ | 0.314±0.124(0.345)⁺ |
| DGC*          | 0.511±0.249(0.595)⁺ | **0.848±0.170(0.832)*** | 0.571±0.165(0.644)⁺ | 0.147±0.169(0.193)⁺ |
| DCAGMM        | 0.619±0.137(0.691)⁺ | 0.767±0.131(0.763)⁺ | 0.664±0.079(0.706)⁺ | 0.300±0.126(0.365)⁺ |
| DCGN          | 0.685±0.113(0.716)⁺ | 0.834±0.115(0.808)⁺ | **0.737±0.043(0.743)** | 0.352±0.113(0.379)⁺ |
| U-Net†        | 0.695±0.095(0.740)⁺ | 0.849±0.083(0.848)⁺ | 0.755±0.045(0.782)⁺ | 0.370±0.093(0.436)⁺ |

* denotes redundant class (k=3) and † refers to a fully supervised learning baseline using modified U-Net. The bold values refer to the best average performance among unsupervised methods (without considering supervised U-Net). * indicates highly significant differences (P<0.001). The results are shown as “mean± standard deviation”.

DCGN achieved the best Dice score (0.743) among unsupervised learning approaches, followed by Kanezaki’s (0.727) and DCGMM(0.719). Moreover, DCGN achieved the best AJI score (0.379) among all the unsupervised learning approaches.

In addition, DCGN achieved a significantly better Dice coefficient score and AJI score compared to other unsupervised segmentation approaches (P<0.001). Interestingly, there were no significant differences (P>0.05) found for Precision, Recall and Dice scores using our DCGN compared to the fully supervised U-Net based method (Table II). Although the DCGMM achieved better Precision compared to our DCGN (P=0.036), its Recall, Dice and AJI score are significantly lower than the proposed DCGN (P<0.001). DIC has the highest Recall, but relatively low Precision indicating lots of false-positive predictions. Double DIP achieved a high recall as well but the lowest precision score and therefore a very low Dice score. To better demonstrate the performance of the competitive approaches (Dice > 0.65), three images were randomly selected from the test set to visualise the upper-bound segmentation performance (Fig. 4). It is of note that in Fig. 4, predictions of the redundant class have been removed (some methods achieved upper-bound performance by adding a redundant class (i.e., k = 3)).
2) Unsupervised Glomeruli Decomposition on RBI: The average performance of our comparison study on RBI is summarised in Table III, Fig. 5, assessed by NMI and Dice coefficient score. All comparison studies were performed with \( k = 3 \) to segment three semantic labels (the definition of semantic labels is described in Section IV B). As Table III shows, the proposed DCGN achieved significantly better results \( (P < 0.001) \) compared to state-of-the-art methods on glomeruli composition, with an average of 0.735 Dice score and 0.377 NMI, followed by GMM (an average of 0.640 Dice and 0.328 NMI) and DCAGMM (an average of 0.578 Dice and 0.207 NMI).

3) Degeneration Assessment: The results of the degeneration assessment are shown in Table IV. It is of note that Double DIP was not assessed due to its relatively weak performance. As Table IV shows, the methods proposed by Kanezaki and Kim heavily suffered from all degenerative issues. Similarly, the empty class is prone to occur in DIC. DCGMM and DCAGMM occasionally encountered the empty class issue and GMM presented instability during repeated experiments. Both mKMeans and IIC witnessed instability in the MoNuSeg dataset.

V. DISCUSSION

In this study, we have developed a novel unsupervised segmentation method combining deep neural networks with a constrained GMM. This approach has been comprehensively evaluated on pathological images using both a public MoNuSeg dataset and an in-house RBI dataset. We have achieved significantly better results compared to previously published unsupervised segmentation methods with clear evidence of mitigating degenerative issues that are currently
challenging for pathological tissue image delineation. Besides, our proposed method has also achieved comparable results with some widely used semi-supervised and fully supervised learning methods.

4) Performance Analysis: Comprehensive comparison results in Tables II and III and Figs. 4 and 5 have demonstrated the superior segmentation capability of the proposed DCGN. Compared to existing unsupervised segmentation methods, our DCGN is robust to small inter-class variations. For instance, as Fig. 5 (second column) shows, all the unsupervised methods except DCGN have regarded white regions as a single class while ignoring the exudation/stroma regions (light pink regions in the raw images).

Interestingly, conventional methods such as mKMeans and GMM have shown their effectiveness in tissue segmentation. In particular, GMM has obtained better performance than mKMeans for tissue segmentation with slightly worse stability. It achieved better performance than mKMeans in kidney tissue segmentation, with a 0.08 higher average Dice score and 0.12 higher NMI score, respectively. Methods proposed by Kanezaki et al. and Kim et al. have produced reasonable results on cell segmentation but have suffered heavily from collapse and empty class issues (large variances in Fig. 3 and many failed cases summarised in Table IV). We observed poor segmentation for these two methods when dealing with kidney tissue segmentation (see Table III and Fig. 5). DIC have presented a high recall score with a low precision score in cell segmentation and poor results in glomeruli segmentation.

Double DIP has derived similar coarse predictions (high recall but low precision scores) as DIC for cell segmentation, indicating its incompatibility for tissue segmentation, although the method could be more adaptive for natural image segmentation. The coarse predictions given by IIC have indicated its inapplicability to pathological images. Although DCGMM has presented comparable performance to our DCGN on cell segmentation, it has achieved significantly lower segmentation accuracy on kidney tissue segmentation and has issues with generating empty classes. Moreover, DCGMM has presented poor performance when dealing with samples with small inter-class variations (poor cell segmentation results from dark background areas as shown in Fig. 4 middle column). Similar to DCGMM, DCGAGMM presented comparable results. However, its normalized distance constraint (which aims to increase the distance between Gaussian centres) makes it hard to segment classes with high intra-class variations.

5) Comparing with Fully Supervised Segmentation Methods: One of the major concerns of unsupervised segmentation is how it performs compared with fully supervised segmentation algorithms. In addition to the U-Net baseline given in Table III, we compared the proposed DCGN with previously published supervised studies (Table V). It is of note that all comparisons were performed on the same test data of the MoNuSeg dataset. As Table V shows, the proposed DCGN has achieved a comparable average Dice coefficient score compared with the fully supervised U-Net based method (no significant differences were found in the Precision, Recall and Dice score). DCGN has obtained significantly better performance compared to other unsupervised segmentation methods (Tables II and III), it, however, has presented a lower AJI score compared to fully supervised and semi-supervised segmentation methods (Table V). This is mainly because of the adhesion of adjacent
TABLE V

PERFORMANCE OF SUPERVISED METHODS (MoNuSEG DATASET)

| Methods          | Avg F1 (Dice) | Avg AJI |
|------------------|--------------|---------|
| DCGN             | 0.7432       | 0.3790  |
| U-Net            | 0.7582       | 0.4357  |
| Mask RCNN [45]*  | 0.7991       | 0.5128  |
| Dual U-Net [46]* | 0.7913       | 0.5899  |
| Tian et al. [47] | 0.7638       | 0.4927  |
| Qu et al. [48]   | 0.7566       | 0.5160  |
| CNN [49]*        | 0.7623       | 0.5083  |

* indicates patch-based training progress, † refers to semi-supervised learning approaches.

cells, which could be better addressed using supervised or semi-supervised methods.

Both semi-supervised approaches proposed by Tian et al. [47] and Qu et al. [48] have taken prior knowledge of cell central points into account, leading to competitive AJIs of 0.4927 and 0.5160. In addition, significant improvement in average AJI has been observed using patch-based methods (denote with * in Table V) compared to the raw image-based learning strategy. This has indicated the importance of the patch learning strategy in the tissue segmentation task (here patch-based methods refer to extracting small patches from original raw images in both the training and testing process). Overall, it can be difficult for unsupervised segmentation approaches to produce precise pixel-level predictions, especially for dense and small objects.

6) Distinguishing Samples With Small Inter-Class Variations: The capability of distinguishing small inter-class variation samples determines the accuracy of the subtle tissue segmentation. We have explored this capability by plotting the class intensity map of the top 4 methods in cell and kidney tissue segmentation, respectively. As Fig. 6 (a) shows, most unsupervised methods have not been able to clearly segment the background samples and require a redundant class for those hard samples, while DCGN can effectively distinguish background samples and foreground samples without adding a redundant class. As shown in Fig. 6 (b), mKMeans method presented hard boundaries due to the Euclidean distance measurement, while other methods have produced smoother boundaries. DCGN has presented the most similar class intensity maps compared to the ones generated from the ground truth, indicating the effectiveness of the proposed centralised function.

7) Redundant Class: Experimental results have indicated that most unsupervised segmentation methods have suffered from the redundant class issue. As Fig. 3 shows, most of the compared methods have obtained a significant performance improvement for the binary segmentation task when changing the number of classes from 2 to 3. The reason behind this is that these models can be struggling to distinguish samples with small inter-class variations. While the pre-defined number of classes cannot well accommodate all samples, unstable performance can be observed since the hard samples can be assigned with different labels at different repeated experiments. For example, white background pixels may be assigned as background samples in the first round of training while assigned as the foreground samples in another round. Therefore, these unsupervised methods require a redundant class to accommodate these hard samples. However, our DCGN has the capability for accurate tissue segmentation without using an additional redundant class that is more efficient and effective.

8) Stability: As shown in Fig. 3 and Table V, IIC, mKMeans, DCGMM and DCGN have presented good stability in repeated experiments. Similar to the conventional GMM that has suffered from instability, the performance of Kim’s and Kanezaki’s methods has also presented dramatic fluctuation with large variances. In addition, the stability of previous methods has been enhanced by introducing a redundant class to accommodate hard samples. However, even though IIC, mKMeans and DCGMM have presented good stability, their segmentation performance has been significantly lower than our DCGN.

9) Reproducibility and Empty Class Issues: Methods that cannot be trained on large-scale studies are more likely to result in poor reproducibility. For instance, conventional GMM without minibatch learning can only be performed on a small number of images. This leads to limited information when developing generalised segmentation models. Moreover, some methods (e.g., Kim’s and Kanezaki’s methods) can only produce a single image during the training process, leading to low reproducibility of repeated experiments (i.e., obtaining the same semantic labels for the same samples).
The empty class problem is another issue that has hindered the deployment of unsupervised segmentation. For instance, Kim’s, Kanezaki’s and DCGMM methods have encountered empty class issues during the evaluation. This is caused by the incapability of separating hard samples (i.e., delineation of pixels with similar intensities but different categories). In contrast, the proposed DCGN can effectively avoid the empty class issue and achieve higher reproducibility in large-scale training.

10) Ablation Studies of Penalty Weights: The influence of the proposed centralised constraint is explored by setting different weights $\lambda$ in Eq. (6). The results of 10 repeated experiments (for each $\lambda$) are shown in Table V.

It can be observed that the upper bound performance of models with different $\lambda$ remains similar, with 0.740, 0.743, 0.745 of $\lambda = 0.05$, $\lambda = 0.005$ and $\lambda = 0.0005$, respectively. However, the standard deviation of the Dice score exerts significant differences. As Table VI shows, a large weight for the centralised constraint leads to faster convergence while also leading to an unstable training procedure (which may be attributed to the local optimum trapping of the module). A smaller weight requires more training epochs for convergence but has more stable training processes.

11) Capacity on Whole Slide Images: It remains unclear how DCGN performs on whole slide images when predictions are made across patches (tiles). Here we tested the cell segmentation module (two classes) on a renal whole slide image. It demonstrated that our method could achieve promising performance when handling renal images with homogenous features. However, false-positive samples could be observed in some vessel regions, indicating potential research directions (e.g., enhancing the utilization of textural features) to improve the module capacity.

12) Limitations: The essence of unsupervised learning is to allocate the same label to samples of the same class. However, it is almost impossible to acquire precise segmentation predictions without any prior knowledge or annotation. Compared with the existing studies [50], [51] of pathological image segmentation, the proposed method may not able to produce satisfactory instance segmentation results (cells are prone to adhesion), which may limit its clinical application when a single-cell analysis is necessary. Most of the unsupervised learning methods are performed based on pixel intensities without considering contextual features. Although combining deep neural networks with clustering or mixture models can enhance the utilization of textural features, it still relies on pixel intensity-based objective functions to some extent. The weak predictions can be observed in the segmentation of cells (first row in Fig. 7.) and glomerular structures (second row in Fig. 7.). This is mainly because of the conflict between the hypothesized Gaussian and real data distributions. Although the proposed DCGN may not be able to produce satisfactory predictions when handling complex images with too many categories or images with many “outliers”, the DCGN has shown merits in upstream (general tasks such as foreground/background segmentation) tasks. More importantly, the proposed constraint can help the module to build better classification boundaries for classes with small inter-class variations which is a major technical contribution of our method; however, our method can alleviate the false predictions but not completely remove them.

13) How Does DCGN Alleviate Degenerative Issues?: In order to give readers more intuition about how our method addresses the degenerative issues, we designed some schematic illustrations using simplified examples in 2D space (because real 3D cluster are intricate to demonstrate and comprehend).

First, the missing class issue usually occurs when the module fails to address the outliers, e.g., the module takes the outliers as a unique class while combing certain categories (blue and red dots) into a single class (as shown in Fig. 8(a)). This kind of issue is more likely to occur in iterative methods that rely on pseudo labels, while it is also occasionally witnessed in existing deep Gaussian networks. The proposed centralised constraint will force the mixture module to be closer to the centroid of the data samples, thus preventing the occurrence of the missing class issue.

Second, the redundant class issue is usually artificial, as to improve the performance of most unsupervised methods. Due to the discrete distribution of a certain class (e.g., background regions that contain stroma and white non-tissue areas), some methods may need an additional class to ‘collect’ certain samples (shown as the blue samples within the green dotted circles in Fig. 8 (b)). The redundant class can be simply avoided by setting an appropriate number of classes, however, modules without centralised constraints cannot achieve good performance (as shown in Fig. 3).

Moreover, the instability (low reproducibility) occurs because of the random initialisation. The proposed centralised constraint can alleviate the randomness caused by initialisation since it forces the module to learn parameters that approximate the data centroid (the proposed method achieves the lowest variance of evaluation metrics as shown in Table II.).

14) Suggested Criteria and Future Directions: Based on the findings of our study, we emphasize these in-depth challenges that require further investigation.

### Table VI

| $\lambda$ | Dice       | Avg Epochs |
|-----------|------------|------------|
| 0.05      | 0.637±0.076 (0.740) | 37         |
| 0.005     | 0.737±0.043 (0.743)  | 62         |
| 0.0005    | 0.734±0.005 (0.745)  | 89         |

Fig. 7. Weak predictions of cells and glomerular structures.
evaluation criteria for unsupervised segmentation approaches: 1) Repeated experiments should be conducted to present the stability and reproducibility of the method and 2) The degenerative issues should be discussed in detail to check the robustness of the method.

Here we also provide some potential research directions for unsupervised segmentation. The proposed DCGN can address essential segmentation tasks in pathological images. However, there remains further exploration on how it performs on other image modalities, e.g., segmenting the tumour from brain magnetic resonance scans [52, 53] or segmenting organs from computerised tomography images [54]. In addition, the uncertainty estimation of the semantic predictions for unsupervised segmentation should be explored. By using those ‘confident’ predictions, a self-supervised paradigm may be integrated with unsupervised learning to achieve superior performance. Moreover, methods that can cope with images with many classes still need to be developed, since most unsupervised segmentation approaches can only deal with relatively simple semantic predictions (e.g., learning by imitation to address the unseen classes [55]). Last but not least, a robust model that can better address the “outliers” should be developed.

VI. CONCLUSION

Tissue segmentation is an essential step of computational pathology; however, most existing methods demand a large number of manual annotations. This study demonstrates an effective unsupervised tissue segmentation using the developed, innovative DCGN method. The proposed DCGN method can accurately segment tissue structures without using any manual annotations or prior knowledge. This could potentially reduce the annotation costs in computational pathology dramatically.

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