Cardiovascular and respiratory dysfunction in chronic obstructive pulmonary disease complicated by impaired peripheral oxygenation

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Background: Impaired peripheral oxygenation (IPO)-related variables readily achieved with cardiopulmonary exercise testing (CPET) represent cardiovascular dysfunction. These variables include peak oxygen uptake (VO2) <85% predicted, anaerobic threshold <40% VO2max predicted, VO2-work rate slope <8.6 mL/watt, oxygen pulse <80% predicted, and ventilatory equivalents for O2 and CO2 at nadir of >31 and >34, respectively. Some of these six variables may be normal while the others are abnormal in patients with chronic obstructive pulmonary disease (COPD). This may result in confusion when using the interpretation algorithm for diagnostic purposes. We therefore hypothesized that patients found to have abnormal values for all six variables would have worse cardiovascular function than patients with abnormal values for none or some of these variables.

Methods: In this cross-sectional comparative study, 58 COPD patients attending a university teaching hospital underwent symptom-limited CPET with multiple lactate measurements. Patients with abnormal values in all six IPO-related variables were assigned to an IPO group while those who did not meet the requirements for the IPO group were assigned to a non-IPO group. Cardiovascular function was measured by two-dimensional echocardiography and Δlactate/ΔVO2, and respiratory dynamics were compared between the two groups.

Results: Fourteen IPO and 43 non-IPO patients were entered into the study. Both groups were similar with regard to left ventricular ejection fraction and right ventricular morphology (P>0.05 for both). At peak exercise, both groups reached a similar heart rate level and Δlactate/ΔVO2. The IPO patients had an unfavorable dead space to tidal volume ratio, mean inspiratory tidal flow, and shallow breathing (P<0.05–P<0.001).

Conclusion: Our IPO and non-IPO patients with COPD had similar cardiovascular performance at rest and at peak exercise, indicating that IPO variables are non-specific for cardiovascular function in these patients. COPD patients with full IPO variables have more deranged ventilatory function.

Keywords: dead space ventilation, dynamic hyperinflation, air-trapping, inspiratory tidal flow rate, lung function, cardiovascular function, oxygen pulse

Introduction
Impaired peripheral oxygenation (IPO) of exercising muscles is caused by impeded circulation and/or mitochondrial function and a low arterial oxygen content, such that oxygen cannot flow adequately to myocytes.1 The mechanisms of IPO are quite different from those of hypoxemia, mostly due to respiratory pathology. IPO can seriously limit patients’ ability to perform certain activities of daily living, and the impact of related symptoms can be quite significant.2

Clinicians tend to screen for the causes of exertional dyspnea using non-invasive cardiopulmonary exercise testing (CPET).1,3–5 IPO-related variables obtained from...
CPET include peak oxygen uptake ($\dot{V}O_2$) <85% predicted, anaerobic threshold <40% $\dot{V}O_{2\text{max}}$ predicted, $\dot{V}O_2$-work rate slope <8.6 mL/watt, oxygen pulse <80% predicted, and ventilatory equivalents for $O_2$ and $CO_2$ at nadirs of >31 and >34, respectively.\(^1\)

These six individual IPO variables represented cardiovascular parameters, but are reported to be non-specific in discriminating chronic obstructive pulmonary disease (COPD) with and without chronic circulatory changes\(^6–8\) using invasive pulmonary artery catheterization.\(^7,8\) However, at present, it is not clear how we can effectively interpret the algorithm since some of the six variables may be normal while others are abnormal. We hypothesized that patients with all six variables found to be abnormal would have worse cardiovascular function than those with none or some having abnormal values. Our approach in this study was oriented toward interpretation of the CPET report, and we found that this approach may be more useful when evaluating IPO-related variables with regard to their clinical implications.

**Materials and methods**

**Study design**

In this cross-sectional comparative study, the patients were divided into three groups based on six variables obtained from CPET. Patients with abnormal values for all six variables were assigned to a full IPO group, those with normal values for all six variables were assigned to a non-IPO group, and the remaining patients were assigned to an intermediate IPO group. Two-dimensional echocardiography, changes (A) in lactate, A in oxygen uptake ($\dot{V}O_2$), a parameter of cardiovascular function,\(^9\) and arterial pH values were compared across the groups. Arterial pH values should be lower in patients with cardiovascular dysfunction than in those without cardiovascular dysfunction performing at the same exercise intensity or cardiovascular stress level. A comparison of respiratory dynamics was performed across the groups. The institutional review board of Chung Shan Medical University Hospital approved the study (approval number CS11144) and all participants provided their written informed consent. This trial is registered with the number CSH-2012-C-23 at Chung Shan Medical University Hospital, Taichung, Taiwan.

**Subjects**

Global Initiative for Chronic Obstructive Lung Disease criteria were used to diagnose COPD.\(^10\) Adult patients with COPD who underwent lung function tests were enrolled only if their forced expired volume in one second (FEV\(_1\)) was <80% of the predicted value and their FEV\(_1\)/forced vital capacity ratio was <70%. If they agreed, they performed symptom-limited incremental CPET with arterial blood gas analysis and lactate measurements. All patients were clinically stable and had had no significant changes in medication in the month prior to performing the tests. Patients were excluded if they had significant comorbidities, such as left ventricular failure, renal failure, cancer, significant anemia, peripheral arterial occlusive disease, uncontrolled diabetes mellitus, or hypertension, or if they were participating in any physical training program during the study period.

**Protocols and measurements**

**Pulmonary function testing**

FEV\(_1\), total lung capacity, and residual volume were measured by spirometry and plethysmography (6200 Autobox DL, Yorba Linda, CA, USA, or MasterScreen™ Body, Carefusion, Würzburg, Germany) at body temperature, ambient atmospheric pressure, and fully saturated, using the best of three technically satisfactory readings.\(^11–13\) The diffusing capacity for carbon monoxide (D\(_L\), CO) was measured by the single-breath technique. Direct maximum voluntary ventilation (MVV) was calculated from a 12-second maneuver of rapid and deep breathing as recommended for patients with COPD.\(^14\) All lung function data were obtained after inhaling 400 µg of fenoterol HCl.

**Maximum cardiopulmonary exercise testing**

After acclimating to a computer-controlled and electronic-brake cycle ergometer (Medical Graphics, St Paul, MN, USA) and following a 2-minute rest period, each patient began a 2-minute period of unloaded cycling followed by a ramp-pattern exercise test to the limit of tolerance. Work rate was selected at a slope of 5–20 watts per minute based on a derived protocol formula.\(^15\) Twelve-lead electrocardiography, $\dot{V}O_2$ (mL/min), $\dot{V}CO_2$ (mL/min), minute ventilation, pulse rate, and oxyhemoglobin saturation were continuously measured. Blood pressure was recorded at the end of each minute and at the point where the patient reported having reached peak exercise. A dyspnea score was obtained using the Borg scale by asking the patients about their dyspnea levels while they were performing the ramp-pattern exercise at the end of each minute and at peak exercise. Please refer to Chuang and Lin\(^16\) for the anaerobic threshold, oxyhemoglobin saturation, calibrations of the pneumotachograph and $O_2$ and $CO_2$ analyzers, and $\dot{V}O_2$ peak prediction equations.

The $\dot{V}O_2$ peak achieved by patients was the highest recorded value averaged over the last 15 seconds of loaded exercise and designated as $\dot{V}O_2$ peak or $\dot{V}O_{2\text{max}}$.\(^15\)
Maximum exercise effort achieved was a prerequisite for final analysis.\textsuperscript{3,5,17} Each criterion at peak exercise, such as respiratory exchange ratio $\geq 1.09$, heart rate $\geq 85\%$ of predicted maximum, pH $\leq 7.35$, bicarbonate ($\text{HCO}_3^-$) concentration $\leq 21$ mEq/L, change in HCO$_3^-$ concentration between rest and peak exercise $\geq 4$ mEq/L, and change in lactate concentration between rest and peak exercise $\geq 4$ mEq/L represented one point. The maximum effort level was scored from 1 to 6 points. The accumulated points, representing the effort level of exercise, were compared across the groups.

Exercise intensity or cardiovascular stress level was defined as follows:

\[
\text{Exercise intensity or cardiovascular stress level} = \frac{\text{Heart rate at peak exercise}}{\text{Heart rate predicted maximum}} - \text{age} \tag{1}
\]

where heart rate predicted maximum $= 220 - \text{age}$.

Ventilatory limitation was defined as either $< 30\%$ or $< 11$–$15$ L/min breathing reserve, calculated as follows:\textsuperscript{1,4}

\[
\text{Breathing reserve} = 1 - \frac{V_{\text{Epeak}}}{\text{direct MVV}} \tag{2}
\]

where $V_{\text{Epeak}}$/direct MVV indicates $V_{\text{E}}$ demand/capacity ratio.

\[
\text{Mean inspiratory tidal flow rate} = \frac{\text{Tidal volume (t)} (L)}{\text{Inspiratory time} (\text{seconds})} \tag{3}
\]

\[
\text{Rapid shallow breathing index} = \frac{\text{Breathing frequency} (\text{breath/ min})}{V_t (L)} \tag{4}
\]

Two-dimensional echocardiography

Two-dimensional echocardiography (iE33, Philips, Seattle, WA, USA) was performed within 4 weeks before or after CPET by two experienced cardiologists who were blinded to the clinical data, lung function, and CPET reports. If there were acute exacerbations of COPD in the time between the two tests, one of the tests would be postponed. Parasternal, apical, and subcostal studies were conducted, and the definition of cor pulmonale was used according to previous reports.\textsuperscript{20,21}

Arterial blood sampling and lactate determination

Brachial artery blood samples were drawn via an arterial catheter connected to a pressure transducer within the last 15 seconds of each minute after the start of exercise to the peak of exercise.\textsuperscript{22} Whole blood lactate was also analyzed (YSI Inc, Yellow Springs, OH, USA).

At the peak of exercise, the dead space to tidal volume ratio ($V_d/V_t$) was calculated using a standard formula.\textsuperscript{23}

The slopes of lactate as a function of $\dot{V}O_2$ calculated using linear regression ($\Delta$lactate/$\Delta$VO$_2$) were compared across the groups.

Statistical analysis

The data are shown as the mean $\pm$ standard deviation or median (interquartile range). Analysis of variance was initially considered for comparing the means across the groups; however, there were no patients in the non-IPO group. Therefore, only two groups were established. Thus, the unpaired-\textit{t}-test or Mann–Whitney \textit{U}-test was used to compare the means between the two independent groups. Fisher’s Exact test for contingency tables was used to compare the stages of COPD between the two groups. A $P<0.05$ was considered to be statistically significant, and $P<0.1$ but $P>0.05$ was considered to have a trend to be significant.\textsuperscript{24} All statistical procedures were performed using SAS software package version 9.3 (SAS Institute Inc, Cary, NC, USA).

Results

Fifty-eight consecutive male patients of mean age 64.6$\pm$6.1 years were enrolled in the study. After excluding one patient who did not reach the maximum exercise level when performing CPET, 14 patients were assigned to the IPO group, no patients were assigned to the non-IPO group, and the other 43 patients were assigned to the intermediate IPO group (Table 1). For the sake of simplicity, the latter two groups were deemed to be non-IPO groups. Table 2 presents the distribution of the six variables regarding the abnormal val...
Table 1 Variables related to impaired peripheral oxygenation and selected clinical characteristics and lung function test data in patients with COPD

| IPO (n=14) | Non-IPO (n=43) | P-value |
|------------|----------------|---------|
| Age, years | 62.9±5.9 | 65.2±6.1 | NS |
| Height, cm | 168±21.6 | 164±5.8 | 0.05 |
| Body mass index, kg/m² | 21.3±3.2 | 22.9±3.6 | 0.01 |
| Triceps skin thickness, mm | 6±2.7 | 8±5.3 | 0.02 |
| Cigarette pack-years | 38.5±17.6 | 42.9±18.4 | NS |
| Hemoglobin, g/dL | 14.3±2.1 | 15.2±1.3 | NS |
| FVC (l) | 2.58±0.65 | 2.63±0.73 | NS |
| FEV₁ (l) | 1.21±0.64 | 1.3±0.48 | NS |
| FEV₁/FVC, % | 48±18 | 50±12 | NS |
| COPD stage I/II/III/IV, n | 1/4/6/3 | 2/23/15/3 | NS |
| TLC, L | 6.99±1.07 | 6.26±0.87 | 0.04 |
| RV, L | 4.35±0.97 | 3.5±0.69 | 0.01 |
| RV/TLC, % | 62±9 | 56±9 | 0.05 |
| FRC, L | 5.34±1 | 4.51±0.79 | 0.02 |
| ΔCO/ΔD_CO₂, % | 57±21 | 79±19 | 0.003 |
| IPO criteria | | | |
| VO₂peak/pred VO₂peak, % (≥ or <85%) | 47±12 | 77±15 | | |
| Aerobic threshold/pred VO₂peak (≥ or <40%) | 24±6 | 37±10 | | |
| VO₂-work rate ratio, mL/watt (≥ or <8.6) | 5.6±2.5 | 8±1.5 | | |
| Oxygen pulse peak, % pred maximum (≥ or <80%) | 60±12 | 95±18 | | |
| Ventilatory equivalent for O₂ (≥ or >34) | 40.4±5.4 | 34.2±6.5 | | |
| Ventilatory equivalent for CO₂ (≥ or >31) | 41.8±4.9 | 32.5±5.7 | | |
| Maximum exercise effort level, point (AU) | 1.9±1 | 3.1±2.1 | NS |

Notes: Groups were compared using the unpaired-t-test. Between-group comparisons of RV were performed using the Mann–Whitney U-test. Abbreviations: COPD, chronic obstructive pulmonary disease; IPO, impaired peripheral oxygenation; non-IPO, patients failing to fit IPO criteria; FVC, forced vital capacity; FEV₁, forced expired volume in one second; ΔCO, diffusing capacity for carbon monoxide; aU, arbitrary unit; TLC, total lung capacity; RV, residual volume; FRC, functional residual capacity; NS, not statistically significant; pred, predicted.

Lactate concentration (3.6±0.5 mmol/L versus 5.7±0.3 mmol/L, P=0.02, and 1.4±1.8 mmol/L versus 2.9±1.8 mmol/L, P=0.003, respectively). The slopes of Δlactate/ΔVO₂ were similar between the two groups (2.4 [error 0.15] versus 2.3 [error 0.1] mmol/L, not statistically significant).

The IPO group had a rapid increase in Vₑ during exercise. The IPO group had a significantly lower Vₑ demand/capacity ratio (P<0.05) with slower inspiratory flow and lower Vₑ/total lung capacity expansion at both anaerobic threshold and peak exercise (Figure 1B, C, and E, P<0.01 and P<0.05, and both P≤0.001, respectively) and higher Vᵢ/Vₑ, rapid shallow breathing index, and Borg/VO₂ in the IPO group at peak exercise (Figure 1F–H, P<0.001, P<0.05, and P<0.01, respectively).

**Discussion**

In this study, COPD patients with full IPO and those with non-IPO had similarly impaired forced vital capacity, FEV₁, and COPD severity (Table 1). Both groups had different exercise capacity with very different cardiovascular exercise variables (Table 1), but were similar in terms of cardiovascular function measured by two-dimensional echocardiography and Δlactate/ΔVO₂ (Table 3). These findings suggest that cardiovascular variables on CPET cannot predict cardiac function morphologically. We therefore further differentiated the mechanism of full IPO and non-IPO during exercise. We found that patients with IPO had much poorer airflow and lung expansion and a greater perception of breathlessness (Figure 1).

Left ventricular performance has been reported not to be impaired in COPD patients. A previous study reported that there were no differences in oxygen uptake, work rate, oxygen pulse, and heart rate at peak exercise between patients with and without pulmonary arterial hypertension. The authors concluded that ventilatory limitation itself was the primary factor causing exercise intolerance in COPD patients. Circulatory impairment is not usually a limiting factor for exercise intolerance in patients with COPD unless complications of severe pulmonary hypertension are involved. The cardiovascular response to exercise...
Table 2 Distribution of the six variables regarding IPO criteria for the non-IPO group (n=43)

| Subjects having | n |
|-----------------|---|
| Any 5 abnormal of 6 criteria | 9 |
| Any 4 abnormal of 6 criteria | 7 |
| Any 3 abnormal of 6 criteria | 9 |
| Any 2 abnormal of 6 criteria | 16 |
| Any 1 abnormal of 6 criteria | 1 |
| V_{O2max}/pred V_{O2max} <85% | 29 |
| Anerobic threshold/pred V_{O2max} <40% | 24 |
| V_{O2}work rate ratio <8.6 mL/watt | 20 |
| Oxygen pulse_{peak} % pred maximum <80% | 8 |
| Ventilatory equivalent for O_{2max} >31 | 24 |
| Ventilatory equivalent for CO_{2max} >34 | 12 |

Notes: *Technical difficulty was encountered in one subject. IPO indicates impaired peripheral oxygenation or patients with six variables in the abnormal range; non-IPO indicates patients failing to fit IPO criteria and having from one criterion with an abnormal value to five criteria with abnormal values. The six criteria were V_{O2max}/pred V_{O2max} <85%, anerobic threshold/pred V_{O2max} <40%, V_{O2}work rate ratio <8.6 mL/watt, oxygen pulse_{peak} % pred maximum <80%, ventilatory equivalent for O_{2max} >31, and ventilatory equivalent for CO_{2max} >34.

Abbreviations: IPO, impaired peripheral oxygenation; pred, predicted.

was constrained, but not impaired, by dynamic hyperinflation or intrathoracic pressure swings limiting ventilation in COPD patients.27–29 A study using multiple regression analysis showed that ventilatory inefficiency was not caused by oxygen uptake, work rate, oxygen pulse, or circulatory power at peak exercise, but was related to expiratory flow limitations and dynamic hyperinflation,30 which is consistent with other reports.31,32 Although all of the variables found in previous reports were comprehensive, they cannot be directly used when interpreting a CPET report. Our approach to the present study was oriented toward interpretation of the CPET.

We did not use pulmonary arterial hypertension as a study criterion because of the invasiveness of pulmonary arterial catheterization. Instead, we attempted to use the six non-invasive variables relating to circulatory function to categorize our COPD patients. We found that the circulatory function of the IPO patients was similar to that of the non-IPO patients according to a two-dimensional echocardiography study and by utilizing the slope of Δlactate/ΔVO_{2}, which is a marker of cardiovascular impairment.30 In addition, relatively low changes in HCO_{3}− and lactate concentrations and higher pH levels at peak exercise in the IPO group did not support cardiovascular limitations as being the primary factor of exercise limitation.

Resting FEV_{1}, D_{l}CO, peak inspiratory pressure, and exertional maximum V_{E} play a pivotal role in exercise intolerance in patients with COPD.31,34 In the present study, the IPO group showed a lower V_{E} demand/capacity, probably due to the slower inspiratory tidal flow not able to adequately increase V_{E} further in response to exercise, thereby reaching the ventilatory limit at an earlier stage. The slower inspiratory tidal flow and poorer tidal volume expansion might be due to higher tension of the diaphragm caused by increased V_{E}/V_{T}, resulting in airflow improvement.31,32 Increased V_{E}/V_{T} contributes to dynamic hyperinflation,35 thereby resulting in more rapid shallow breathing and a greater perception of dyspnea (Figure 1G and H). In the present study, a strong statistical power of 0.98 for V_{E}/V_{T} was estimated, given 14 subjects with a mean V_{E}/V_{T} of 0.51±0.09 in the IPO group and of 0.42±0.09 in the non-IPO group, and the probability of type I error of 0.05.

Table 3 Two-dimensional echocardiography results

| Variable | IPO (n=14) | Non-IPO (n=43) | P-value |
|----------|-----------|----------------|---------|
| Left ventricular ejection fraction, % | 69±5 | 67±6 | NS |
| Apical four-chamber view*, yes/no | | | |
| EDRV >15 cm² | 3/9 | 15/23 | NS |
| ESRV >10 cm² | 0/12 | 5/33 | NS |
| Subcostal four-chamber view*, yes/no | | | |
| EDRV >13 cm² | 6/6 | 28/10 | NS |
| ESRV >8 cm² | 7/5 | 15/23 | NS |
| Long and short axes view*, yes/no | | | |
| Paradoxical motion of interventricular septum | 0/12 | 2/36 | NS |
| Right ventricular free wall thickness >4 mm | 10/2 | 31/7 | NS |
| Tricuspid regurgitation*, yes/no | 8/4 | 30/8 | NS |
| Pulmonary regurgitation*, yes/no | 0/12 | 3/37 | NS |

Notes: *Some missing data. †The definitions of EDRV and ESRV were from Bertoli et al.29 and Danchin et al.31.
Abbreviations: IPO, impaired peripheral oxygenation; ED, end-diastolic; RV, right ventricular; ES, end-systolic; NS, not statistically significant.
Figure 1 Findings in 14 patients with COPD complicated by IPO (solid line and solid circle symbol) and 43 patients with COPD complicated by non-IPO (dashed line and open circle symbol) performing incremental cardiopulmonary exercise testing.

Notes: (A) Minute ventilation ($V_e$) as a function of $\% VO_2$, predicted maximum. (B) $V_e$ demand/capacity ratio as a function of $\% VO_2$, predicted maximum. (C) Tidal volume/inspiratory time ($V_T/T_i$) indicating mean inspiratory flow rate as a function of $\% VO_2$, predicted maximum. (D) Tidal volume ($V_T$) as a function of oxygen uptake ($\% VO_2$) predicted maximum. (E) $V_T$/total lung capacity ratio ($V_T/T_lC$) indicating lung expansion as a function of $\% VO_2$, predicted maximum. (F) Dead space/tidal volume ratio ($V_D/V_T$) as a function of $\% VO_2$, predicted maximum. (G) Respiratory frequency/tidal volume (RR/$V_T$) indicating the rapid shallow breathing index as a function of $\% VO_2$, predicted maximum. (H) Borg scores at peak exercise normalized with peak $VO_2$ indicating perception of dyspnea as a function of $\% VO_2$, predicted maximum. All circles indicate the group mean at rest, anaerobic threshold, and peak exercise, respectively; bars indicate standard errors. Between-group comparisons: *$P<0.05$; **$P<0.01$; ***$P<0.001$.

Abbreviations: COPD, chronic obstructive pulmonary disease; IPO, impaired peripheral oxygenation; max, maximum; pred, predicted.
Study limitations
There are a number of limitations in this study that are worthy of note. First, our COPD cohort was comprised exclusively of males, and as such the results cannot be extrapolated to females. However, it should be noted that the incidence of COPD is relatively low in Taiwanese females. Second, IPO includes impairment of cardiac, hemoglobin, and/or peripheral vascular function, and as a result, IPO cannot be fully evaluated by two-dimensional echocardiography. Further study of the peripheral circulation using near-infrared spectroscopy during exercise might be helpful. Moreover, cardiac function at rest as evaluated by two-dimensional echocardiography may not represent cardiac function during exercise. However, performing an echocardiographic examination while the subject is exercising is technically difficult. Stress echocardiography using pharmacological agents is feasible; however, cardiac performance using this modality is different from that seen during exercise. Third, the patient grouping in this study is not precise, given that some patients in the non-IPO group had abnormal values in some of the variables relevant to IPO. Since none of our 57 patients had all six variables in the normal range, 1,000 patients or more would be required to identify 20 with normal values in all six variables. Fourth, one may argue that using \( \dot{V}_t/\dot{V}CO_2 \) alone instead of the six IPO-related variables might draw similar conclusions to the study. However, this is another issue, and \( \dot{V}_t/\dot{V}CO_2 \) alone cannot represent IPO. Finally, this study did not evaluate intrapulmonary shunt during exercise, although this may not be an issue given that there was no difference in partial pressure of arterial oxygen at peak exercise between the two groups (IPO 68.6±13.1 mmHg versus non-IPO group 71±15.1 mmHg, not statistically significant).

Conclusion
Although the six variables used herein are related to IPO or circulatory function, they are inconsistent with the findings of two-dimensional echocardiography and \( \Delta \text{lactate}/\Delta \dot{V}O_2 \) in patients with COPD. Further analysis shows that the mechanisms of exercise intolerance in COPD-IPO patients are primarily due to derangements in airflow and/or dead space ventilation. Given the strength of our findings, this study might help with the interpretation of CPET reports for COPD patients.

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Disclosure

The authors report no conflicts of interest in this work.

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