$d$-wave nonadiabatic superconductivity

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**Abstract.** The inclusion of nonadiabatic corrections to the electron-phonon interaction leads to a strong momentum dependence in the generalized Eliashberg equations beyond Migdal’s limit. For a $s$-wave symmetry of the order parameter, this induced momentum dependence leads to an enhancement of $T_c$ when small momentum transfer is dominant. Here we study how the $d$-wave symmetry affects the above behavior. We find that the nonadiabatic corrections depend only weakly on the symmetry of the order parameter provided that only small momentum scatterings are allowed for the electron-phonon interaction. In this situation, We show that also for a $d$-wave symmetry of the order parameter, the nonadiabatic corrections enhance $T_c$. We also discuss the possible interplay and crossover between $s$- and $d$-wave depending on the material’s parameters.

**PACS.** 63.20.Kr Phonon-electron and phonon-phonon interactions – 71.38.+i Polaron and electron-phonon interactions – 74.20.Mn Superconductivity: nonconventional mechanisms

1 Introduction

In ordinary low-temperature superconductors, the smallness of the relevant phonon frequency $\omega_0$ compared to the Fermi energy $E_F$ permits to formulate a theory of superconductivity based on a closed set of formulas known as Migdal-Eliashberg (ME) equations allowing quantitative agreements with experiments $^{[1]}$. The closed form of the ME equations stems from Migdal’s theorem which states that as long as $\omega_0/E_F \ll 1$ the electron-phonon ($e$-$ph$) vertex corrections to the electron self-energy are at least of order $\lambda \omega_0/E_F$, where $\lambda$ is the $e$-$ph$ coupling, and can therefore be neglected $^{[1]}$.

A different situation is encountered in high-$T_c$ superconductors such as cuprates and fullerides. These materials have in fact Fermi energies much smaller than those of conventional metals $^{[1]}$, so that the energy scale $\omega_0$ associated to the mediator of the superconducting pairing can be comparable to $E_F$. Hence, the quantity $\omega_0/E_F$ is no longer negligible and in principle vertex corrections become relevant preventing the ordinary ME scheme to be a correct description of the superconducting state.

The possible breakdown of Migdal’s theorem in high-$T_c$ superconductors inevitably calls for a generalization beyond the ME scheme to include the no longer negligible vertex corrections. A possible way to accomplish this goal is to rely on a perturbative scheme by truncating the infinite set of vertex corrections at a given order. In previous works, we have proposed a perturbative scheme in which the role of small parameter is played roughly by $\lambda \omega_0/E_F$, leading to a generalized ME theory which includes the first nonadiabatic vertex corrections $^{[2]}$. For a single-electron Holstein model, such a first order perturbative approach leads to good agreements with exact results as long as the system is away from polaron formation, that is for $\lambda < \lambda_c \simeq 1$ $^{[3]}$. The region of validity of the perturbative approach, which we could name nonadiabatic region, is characterized by quasi-free electrons ($\lambda < 1$) coupled in a nonadiabatic way ($\omega_0/E_F$ not negligible) to the lattice. According to this definition, our nonadiabatic region is different from the classic polaronic picture. Of course, larger values of $\lambda$ would render higher order vertex corrections important leading to the breakdown of our truncation scheme.

The key point of the nonadiabatic theory, is that the $e$-$ph$ effective interaction is described in terms of vertex corrections which depend on the momentum transfer $|q| = q$ and the Matsubara exchanged frequency $\omega$ in a non-trivial way. For example, the $e$-$ph$ vertex correction appearing in the normal state self-energy becomes positive (negative) when $v_F q < \omega$ ($v_F q > \omega$), where $v_F$ is the Fermi velocity $^{[4]}$. The generalization to the superconducting transition reveals that this situation is also encountered for the class of diagrams beyond Migdal’s limit relevant for the Cooper channel. Concerning the critical temperature $T_c$, as long as the momentum transfer is less than $\omega_0/v_F$, the nonadiabatic corrections lead to a strong enhancement of $T_c$ also for moderate values of the $e$-$ph$ coupling $\lambda$ $^{[5,6]}$. Such a strong momentum-frequency dependence of the vertex corrections is confirmed by numerical calculations within
a tight-binding approach \[10\] and general theoretical considerations on the physical interpretation of such nonadiabatic corrections \[13\].

So far, nonadiabatic superconductivity has been studied by requiring the order parameter \(\Delta\) to be independent of the momenta. This situation is certainly suitable for the fullerene compounds which are \(s\)-wave superconductors. However, one striking characteristic of several high-

\(T_c\) superconductors is the strong momentum dependence of the order parameter \(\Delta(k)\). Among the several types of measurements aimed to resolve the pairing symmetry, the Josephson tunneling \[12\] and angle resolved photoemission experiments \[13\] are the most convincing ones showing that the order parameter of several cuprates, maybe with the exception of the electron-doped NCCO, has a predominant \(d\)-wave symmetry: \(\Delta(k) \sim \Delta[\cos(k_x) - \cos(k_y)]\).

The origin of the \(d\)-wave symmetry in high-

\(T_c\) cuprates is still debated. On one hand, the observed \(d\)-wave symmetry is regarded as an evidence against any purely electronic mediator of electronic origin (like antiferromagnetic fluctuations) with eventually a minor electron-phonon component. On the other hand, several theoretical studies have shown that the \(e-ph\) interaction could produce, under some quite general circumstances, a \(d\)-wave symmetry of the condensate \[14\] \[15\]. This could happen when for example charge carriers experience an on-site repulsive interaction together with a phonon induced attraction for large inter-electrons distances. The on-site repulsion inhibits the isotropic \(s\)-wave superconducting response leading the system to prefer order parameters of higher angular momenta. A quite general analysis of the interplay between on-site repulsion and neighbour and next-neighbour attraction has shown \(s\)-wave to \(d\)-wave crossover depending on the microscopic parameters of a model BCS Hamiltonian \[10\].

The purpose of the present paper is to study how the \(d\)-wave superconducting response resulting from a strongly momentum dependent total interaction, is affected by the inclusion of nonadiabatic vertex corrections. In particular, we intend to clarify whether the complex momentum-frequency structure of the nonadiabatic contributions could sustain an underlying \(d\)-wave symmetry of the order parameter.

In the next section we introduce the model and the corresponding ME equations for \(s\)– and \(d\)–wave symmetries of the gap. In Sec. 3 we generalize the ME equations to include the nonadiabatic terms for each symmetry channel and calculate the corresponding critical temperatures. We find that the theory of nonadiabatic superconductivity can lead to \(d\)-wave even for phonons in a broad parameter range which depends on the degree of electronic correlation.

### 2 The model

In this section we introduce a simple model interaction capable of providing for \(s\)– or \(d\)-wave symmetries of the order parameter. Let us consider the anomalous self-energy at the critical temperature

\[
\Sigma_s(k) = \sum_{k'} V_{\text{pair}}(k - k') G(k') G(-k') \Sigma_s(k'),
\]

where \(G(k')\) is the fermion dressed propagator:

\[
G(k') = \frac{1}{i\omega_n - \epsilon_{k'} - \Sigma_N(k')},
\]

and \(\Sigma_N\) is the normal self-energy. We use the compact notation \(k \equiv (k_x, \omega_n), k' \equiv (k'_x, \omega_m)\) and \(\sum_{k'} \equiv -T_c \sum_m \sum_{k'}\), where \(\omega_n, \omega_m\) are fermionic Matsubara frequencies and \(k, k'\) are electronic momenta (from now on, all momenta are two-dimensional vectors lying on the copper-oxygen plane).

To define the model interaction \(V_{\text{pair}}(k - k')\) we have made use of a number of informations gathered from previous studies. First, in order to obtain order parameters with higher angular momenta than \(s\)-wave, it is sufficient to consider a pair interaction made of a repulsive part at short distances and an attractive one at higher distances (Fig. 1). In momentum space, this interaction corresponds to an attractive coupling for small \(q\) and a repulsive one for large \(q\), where \(\mathbf{q} = \mathbf{k} - \mathbf{k}'\) is the momentum transfer.

Let us now try to interpret this strong momentum modulation in terms of \(e-ph\) and electron-electron interactions. In strongly correlated systems, the \(e-ph\) interaction acquires an important momentum dependence in such a way that for large values of the momentum transfer \(\mathbf{q}\) the \(e-ph\) interaction is suppressed, whereas for small values of \(\mathbf{q}\) it is enhanced \[17\]. A physical picture to justify this momentum modulation is the following \[13\]. In many-electrons systems a single charge carrier is surrounded by its own correlation hole of size \(\xi\) which can be much larger than the lattice parameter \(a\) in the strongly correlated regime. This implies that one electron interacts with molecular vibrations of wavelength of order \(\xi\) or larger, leading to an effective upper cut-off \(q_c \sim \xi^{-1}\) in the momenta space. Thus we have a non zero electron-phonon interaction when \(|\mathbf{q}| < q_c\). The cut-off momentum \(q_c\) can also be regarded as a measure of the correlation in the system: \(aq_c \ll 1\) in strongly correlated systems while \(aq_c \sim 1\) in the case of free electrons.
From the above considerations, the attractive part at small $q$ of our model pairing interaction finds a natural interpretation in the $e$-$ph$ coupling modified by the strong electron correlations. We introduce therefore the following simple form for the $e$-$ph$ part of the pairing interaction:

$$V(k - k') = |g(k - k')|^2 D(\omega_n - \omega_m)$$

$$= g^2 \left[ \frac{\pi k_F}{\theta c} \right] \theta(\theta c - |k - k'|) D(\omega_n - \omega_m),$$

(3)

where

$$D(\omega_n - \omega_m) = \frac{-\omega_0^2}{(\omega_n - \omega_m)^2 + \omega_0^2};$$

is the phonon propagator for which we have adopted a simple Einstein spectrum with frequency $\omega_0$. In Eq. (3), $\theta$ is the Heaviside step function and the prefactor $(\pi k_F/\theta c)$ has been introduced in order to assure that the momentum average of $g(k - k')^2$ becomes $g^2$ for relatively small values of $q_c$, regardless of the particular symmetry of the order parameter. In this way the comparison between $s$- and $d$-wave solutions, especially in the nonadiabatic case treated in the next section, is more transparent.

Having defined the nature of the attractive part of the total pairing interaction $V_{\text{pair}}(k - k')$, we offer now a possible interpretation for the remaining repulsive part acting at large $q$. This repulsion is given by the residual $e$-$e$ interaction and its momentum dependence can be obtained, in analogy with the renormalization of the $e$-$ph$ interaction, by using the above picture of correlation holes. In this picture, the residual $e$-$e$ interaction should ensure that charge fluctuations with wavelength less than $\xi$ are unfavourable. This can be modelled by requiring that in momentum space the residual interaction is repulsive for $|k - k'| > q_c$, and, by using again the theta-function for later convenience, we introduce therefore the following residual repulsion:

$$U(k - k') = U \left[ \frac{\pi k_F}{\theta c} \right] \theta(|k - k'| - q_c).$$

(5)

In the above expression, $U > 0$ and the factor $\pi k_F/\theta c$ has been introduced for the same reason as in Eq. (3). Note that, in principle, $q_c$ depends on $U$, however here we shall treat two quantities independently on each other by keeping in mind that small values of $q_c$ correspond roughly to large values of $U$. An additional simplification of the following calculations is achieved by expressing the off-diagonal self-energy in terms of a suitable pseudopotential $U^*$ rather then $U$. It is then opportun to formally replace equation (5) by

$$U^*(k - k') = U^* \left[ \frac{\pi k_F}{\theta c} \right] \theta(|k - k'| - q_c) \left( \frac{\omega_0^2}{(\omega_n - \omega_m)^2 + \omega_0^2} \right);$$

(6)

where $U^*$ represents the dynamically screened Coulomb repulsion and the last factor is a cut-off over the Matsubara frequencies which has been chosen to have the same functional form of the phonon propagator for convenience.

By summarizing the above results, in the off-diagonal self-energy $\Sigma_S$, Eq. (4), the total pairing interaction $V_{\text{pair}}(k - k')$ is given by:

$$V_{\text{pair}}(k - k') = V(k - k') + U^*(k - k'),$$

(7)

where $V(k - k')$ and $U^*(k - k')$ are given by equation s (3) and (6), respectively. Finally, the normal state self-energy $\Sigma_N$ entering (2) is given by

$$\Sigma_N(\omega_n) = \sum_{k'} V(k - k') G(k'),$$

(8)

where the electron-electron interaction has been absorbed in a shift of the chemical potential.

In what follows we assume the Fermi surface to be a circle in the momenta space; thus the electronic energy $E$ depends only on $|k|$. Moreover, we approximate equations (3) and (6) by keeping $|k| = |k'| = k_F$ so that, for example, $k = k_F(\cos \phi, \sin \phi)$. In this way both $\Sigma_S$ and $\Sigma_N$ depend on the momentum $k$ only via the angle $\phi$. At this point it is convenient to transform the momentum integrations appearing in $\Sigma_S$ and $\Sigma_N$ into energy integrations as follows:

$$\sum_k \rightarrow \int \frac{d\phi}{2\pi} \int d\epsilon N(\epsilon)$$

(9)

where $N(\epsilon)$ is the density of states for the electrons. We make the approximation of constant value for $N(\epsilon) = N_0$, and finite bandwidth $E$ such that the energy is defined in the interval $-E/2 \leq \epsilon \leq E/2$. The chemical potential is $\mu = 0$, so that we refer to the half-filled situations ($E_F = E/2$).

On performing the integration over the energy, the anomalous self-energy $\Sigma_S$ reduces to:

$$\Sigma_S(\phi, \omega_n) = N_0 \pi T_c \sum_{\omega_m} \int \frac{d\phi'}{2\pi} \left[ |g(\cos \theta)^2 - U^*(\cos \theta) \right]$$

$$\times D(\omega_n - \omega_m) \frac{\Sigma_S(\phi', \omega_m)}{|\omega_m| \sum_{\omega_m} Z(\phi', \omega_m)} \frac{2}{\pi} \arctan \left[ \frac{E/2}{|\omega_m| Z(\phi', \omega_m)} \right],$$

(10)

$$Z(\phi, \omega_n) = 1 - N_0 \pi T_c \sum_{\omega_m} \int \frac{d\phi'}{2\pi} |g(\cos \theta)^2 D(\omega_n - \omega_m)$$

$$\times \frac{\omega_m}{|\omega_m| \pi} \arctan \left[ \frac{E/2}{|\omega_m| Z(\phi', \omega_m)} \right],$$

(11)

where $\Sigma_N(\phi, \omega_n) = i\omega_n [1 - Z(\phi, \omega_n)]$ and $\theta = \phi - \phi'$. The wave function renormalization $Z(\phi, \omega_n)$ actually does not depend on $\phi$ and reduces to:

$$Z(\omega_n) = 1 - N_0 (g_0^2) \pi T_c \sum_{\omega_m} D(\omega_n - \omega_m) \frac{\omega_m}{|\omega_m|}$$

$$\times \frac{2}{\pi} \arctan \left[ \frac{E/2}{|\omega_m| Z(\omega_m)} \right],$$

(12)
where \( \langle g^2 \rangle_0 = \int_0^\pi d\theta |g(\cos \theta)|^2 / (2\pi) \). Let us expand the off-diagonal self-energy \( \Sigma \) as follows:

\[
\Sigma_S(\phi, \omega_n) = \sum_{l=-\infty}^{\pm \infty} \Sigma_S^{(l)}(\omega_n) Y_l(\phi),
\]

where \( Y_l(\phi) = e^{i l \phi} / \sqrt{2\pi} \) are eigenfunctions of the operator \( L = -id/d\phi \). By requiring \( \Sigma_S(\phi, \omega_n) \) be real and invariant under \( \phi \to \phi \pm \pi \) (singlet pairing) the above expansion reduces to:

\[
\Sigma_S(\phi, \omega_n) = \frac{\Sigma_S^{(0)}(\omega_n)}{\sqrt{2\pi}} + \sqrt{\frac{2}{\pi}} \Sigma_S^{(2)}(\omega_n) \cos(2\phi) + \cdots,
\]

where we have singled out the \( s \)-wave and \( d \)-wave components since in the following we consider only these symmetries. By multiplying both sides of \( \Sigma_l(\phi) \) by \( Y_l^*(\phi) \) and integrating over \( \phi \), it is straightforward to show that the equations for different values of the index \( l \) are decoupled and that \( \Sigma_S^{(l)}(\omega_n) \) reduces to:

\[
\Sigma_S^{(l)}(\omega_n) = -N_0 \langle (g^2)_l - (U^*)_l \rangle \pi T_c \sum_{\omega_m} D(\omega_n - \omega_m) \frac{\Sigma_S^{(l)}(\omega_m)}{\omega_m} \frac{2}{\pi} \arctan \left[ \frac{E/2}{Z(\omega_m)\omega_m} \right],
\]

where

\[
\langle g^2 \rangle_l = \frac{1}{2\pi} \int_0^{\pi} d\theta |g(\cos \theta)|^2 e^{-il \theta},
\]

\[
\langle U^* \rangle_l = \frac{1}{2\pi} \int_0^{\pi} d\theta U^*(\cos \theta) e^{-il \theta}.
\]

Finally, by introducing the coupling constants \( \lambda_l = N_0 \langle g^2 \rangle_l, \mu^*_l = N_0 \langle U^* \rangle_l \), and by setting \( \Delta_l = \Sigma_S^{(l)} / Z \), the Eliashberg equations assume the following more familiar form:

\[
Z(\omega_n) = 1 - \lambda_0 \pi T_c \sum_{\omega_m} D(\omega_n - \omega_m) \frac{\omega_m}{|\omega_m|} \times \frac{2}{\pi} \arctan \left[ \frac{E/2}{|\omega_m|Z(\omega_m)} \right],
\]

\[
\lambda_l = \lambda \frac{\pi k_F}{q_c} \langle \theta(\mathbf{q}_c - |\mathbf{k} - \mathbf{k}'|) \rangle_{l=0} = \lambda \frac{\pi k_F}{q_c} \arcsin Q_c, \quad \lambda = N_0 g^2, \quad \mu^* = N_0 U^*, \quad \lambda_0 = \lambda + \mu^* \quad \text{and} \quad \mu_0 = \mu^* \frac{\pi k_F}{q_c} \frac{\arcsin Q_c}{Q_c},
\]

while for \( l = 2 \) (\( d \)-wave):

\[
\lambda_2 \equiv \lambda \frac{\pi k_F}{q_c} \langle \theta(\mathbf{q}_c - |\mathbf{k} - \mathbf{k}'|) \rangle_{l=2} = \lambda (1 - 2Q_c^2) \sqrt{1 - Q_c^2},
\]

\[
\mu_2 \equiv \mu^* \frac{\pi k_F}{q_c} \langle \theta(\mathbf{q}_c - |\mathbf{k} - \mathbf{k}'|) \rangle_{l=2} = -\mu^* (1 - 2Q_c^2) \sqrt{1 - Q_c^2},
\]

where \( \lambda = \lambda_0 g^2, \mu^* = \mu_0 U^* \) and \( Q_c = q_c / 2k_F \). Before we generalize the above expressions to include the nonadiabatic vertex corrections, it is useful to briefly examine qualitatively how the the magnitude of the cut-off parameter \( Q_c \) affects the gap symmetry. The total interaction in the gap equation (13) is weighted by \( \lambda_1 - \mu^*_1 \). For the \( s \)-wave channel it reduces to:

\[
\lambda_0 - \mu_0^* = (\lambda + \mu^*) \frac{\arcsin Q_c}{Q_c} - \frac{\pi}{2Q_c} \mu^*,
\]

while for the \( d \)-wave case \( l = 2 \) it becomes:

\[
\lambda_2 - \mu_2^* = (\lambda + \mu^*)(1 - 2Q_c^2) \sqrt{1 - Q_c^2}.
\]

When \( Q_c = 1 \) (that is \( q_c = 2k_F \)) the repulsive interaction \( \Sigma \) vanishes and the \( c-ph \) coupling \( \Sigma \) becomes structureless. In this limit we expect the \( s \)-wave solution to dominate over the \( d \)-wave one. In fact, from (22) and (23), \( \lambda_0 - \mu_0^* = \lambda \) and \( \lambda_2 - \mu_2^* = 0 \). By lowering \( Q_c \), the total interaction acquires a momentum dependence, however \( \lambda_2 - \mu_2^* \) remains negative as long as \( 1/\sqrt{2} < Q_c < 1 \). In this range therefore there is not \( d \)-wave solution. By further lowering of the cut-off parameter, the \( d \)-wave symmetry begins to compete with the \( s \)-wave one and for \( Q_c \ll 1 \) \( \lambda_2 - \mu_2^* \approx \lambda + \mu^* \) while \( \lambda_0 - \mu_0^* \approx -\pi \mu^* / 2Q_c \), signalling that the \( d \)-wave symmetry overcomes the \( s \)-wave ones.

In previous studies, we have shown that the nonadiabatic corrections lead to an enhancement of the critical temperature for small values of \( Q_c \) in the \( s \)-wave channel. However in the present model, small values of \( Q_c \) lead to a solution with \( d \)-wave symmetry and the question we face in the following sections is whether also in this symmetry the nonadiabatic corrections provide for an amplification of \( T_c \).

3 Non adiabatic vertex corrections

In this section we introduce the first corrections arising from the breakdown of Migdal’s theorem in the equations for the normal and anomalous self-energies. By following
Ref. [7], the first nonadiabatic corrections to the e-ph interaction affect the normal state self-energy beyond Migdal’s theorem.

Fig. 2. Self-consistent gap equation including the first corrections beyond Migdal’s theorem.

The first nonadiabatic corrections to the e-ph interaction affect the normal state self-energy in the following way:

$$\tilde{\Sigma}_N(k) = \sum_{k'} \tilde{V}_N(k, k') G(k'), \quad \tilde{V}_N(k, k') = V(k-k') \left[ 1 + \sum_q V(k-q)G(q-k+k')G(q) \right],$$

where the last term in the square bracket of Eq. (27) defines the vertex function. The off-diagonal self-energy in the nonadiabatic regime is instead modified as follows:

$$\tilde{\Sigma}_S(k) = \sum_{k'} \left[ \tilde{V}_S(k, k') - U^*(k-k') \right] \times G(k')G(-k')\tilde{\Sigma}_S(k'),$$

$$\tilde{V}_S(k, k') = V(k-k') \left[ 1 + \sum_q V(k-q)G(q)G(q-k+k') \right.\
\left. + \sum_q V(k-q)G(q-k+k') \right] + \sum_q V(k-q)V(q-k)G(q)G(q-k-k'),$$

where $$q \equiv (\mathbf{q}, \omega)$$ and $$U^*(k-k')$$ is given by Eq. (1). The second and the third terms within the square brackets in Eq. (28) correspond to the first order vertex corrections, while the last term corresponds to the cross scattering. These nonadiabatic terms are shown in Fig. 2 in which we only include these terms that give a finite contribution for $$T = T_c$$.

In the vertex corrections there is simple one-phonon interaction, while in the cross term the sum refers to the product of both phonon propagators. This product of phonon propagators can be approximated as:

$$\frac{\omega_0^2}{(\omega_n - \omega_l)^2 + \omega_0^2} \left( \frac{\omega_0^2}{(\omega_n - \omega_m)^2 + \omega_0^2} \right).$$

For the momentum dependence in the electron-phonon coupling we can approximate

$$|g(k - \mathbf{q})|^2 |g(q - k')|^2 \simeq |g(k - k')|^2 |g(k - \mathbf{q})|^2. \quad (31)$$

This approximation is valid for relatively small values of the cut-off $$q_c$$. Therefore the last term in Eq. (29) reduces to:

$$\sum_q V(k-q)V(q-k')G(q)G(q-k-k') \simeq V(k-k')\sum_q V(k-q)G(q)G(q-k-k'). \quad (32)$$

At this point it is useful to introduce a compact notation for the vertex and cross functions:

$$P_V(k, k') = \frac{1}{\lambda} \sum_q V(k-q)G(q)G(q-k+k'), \quad (33)$$

$$P_C(k, k') = \frac{1}{\lambda} \sum_q V(k-q)G(q)G(q-k-k'). \quad (34)$$

Thus equations (27) and (29) may be written in a simpler way as follows:

$$\tilde{V}_N(k, k') = V(k-k')[1 + \lambda P_V(k, k')], \quad (35)$$

$$\tilde{V}_S(k, k') = V(k-k')[1 + 2\lambda P_V(k, k') + \lambda P_C(k, k')]. \quad (36)$$

In Eq. (28), the momentum dependence of $$\tilde{\Sigma}_S(k)$$ is transformed as in Eq. (13) and the interaction term $$\tilde{V}_S(k, k')$$ is replaced by its angular weighted average:

$$\langle \tilde{V}_S(k, k') \rangle_t = \frac{1}{2\pi} \int_{-\pi}^{\pi} d\theta \tilde{V}_S(\cos \theta) e^{-i\theta}, \quad (37)$$

which in terms of averaged vertex and cross corrections is expressed as:

$$\langle \tilde{V}_S(k, k') \rangle_t = \langle V(k-k') \rangle_t + 2\lambda \langle V(k-k') \rangle P_V(k, k')_t + \lambda \langle V(k-k') \rangle P_C(k, k')_t. \quad (38)$$

The first term in the r.h.s. contains only the e-ph interaction and the phonon propagator and it is simply given by:

$$\langle V(k-k') \rangle_t = g^2 D(\omega_n - \omega_m) \left[ \frac{\pi k^2}{q_c} \right] \theta(q_c - |k-k'|)_t,$$

$$= \frac{\lambda_1}{N_0} D(\omega_n - \omega_m), \quad (39)$$

where $$\lambda_1$$ for $$l = 0$$ and $$l = 2$$ is given in equations (21) and (22), respectively. The second and third terms of (38) correspond instead to the momentum averages of the nonadiabatic corrections

$$\langle V(k-k') \rangle P_V(k, k')_t = g^2 D(\omega_n - \omega_m) \rho^l(\omega_n, \omega_m, q_c),$$

$$\langle V(k-k') \rangle P_C(k, k')_t = g^2 D(\omega_n - \omega_m) \rho^l(\omega_n, \omega_m, q_c), \quad (40)$$
where
\[ P_V(\omega_n, \omega_m, q_c) = \left[ \frac{\pi k_F}{q_c} \right] \theta(q_c - |k - k'|) P_V(k, k') \big|_{l=0} \]
\[ P_C(\omega_n, \omega_m, q_c) = \left[ \frac{\pi k_F}{q_c} \right] \theta(q_c - |k - k'|) P_C(k, k') \big|_{l=0}. \]

Analytic expressions of the vertex and cross functions together with their averages \( P_V \) and \( P_C \) for \( l = 0 \) and \( l = 2 \) are reported in Appendix and the results are shown in Figs. 2 and 3 as function of the adiabatic parameter \( \omega_0/E_F \) and for different values of dimensionless cut-off \( Q_c = q_c/2k_F \). All the curves have been obtained by setting \( \omega_n = 0 \) and \( \omega_m = \omega_0 \) so that the exchanged frequency equals \( \omega_0 \). The behaviors, particularly at small \( Q_c \), of \( P_V \) and \( P_C \) are essentially independent of the particular symmetry. In fact for both \( l = 0 \) (s-wave) and \( l = 2 \) (d-wave) the nonadiabatic corrections are positive leading to an enhancement of the total e-ph interaction. We expect therefore that, as for the s-wave case [14], also for the d-wave symmetry the vertex and cross corrections tend to amplify \( T_c \) when \( Q_c \) is sufficiently small.

To verify this point, we can write down the nonadiabatic Eliashberg equations for different symmetry channels. As in the previous section, the normal state self-energy [24] is averaged over the Fermi surface and, according to [16], \( \tilde{V}_N(k, k') \) reduces to:
\[ \tilde{V}_N(k, k') \rightarrow \langle \tilde{V}_N(k, k') \rangle_{l=0} = \frac{D(\omega_n - \omega_m)}{N_0} \left[ \lambda_0 + \lambda^2 P_V(\omega_n, \omega_m, q_c) \right], \]

Fig. 3. Behavior of the vertex (top panel) and cross (bottom panel) functions in the s-wave channel for different values of the cut-off parameter \( Q_c \). The case shown refers to the parameters \( \omega_n = 0, \omega_m = \omega_0 \).

Fig. 4. Behavior of the vertex (top panel) and cross (bottom panel) functions in the d-wave channel for different values of the cut-off parameter \( Q_c \). The case shown refers to the parameters \( \omega_n = 0, \omega_m = \omega_0 \).

and \( Z(\omega_n) = 1 - \frac{\Sigma_0(\omega_n)}{i\omega_n} \) becomes:
\[ Z(\omega_n) = 1 - \frac{\pi T_c}{\omega_n} \sum_m \left[ \lambda_0 + \lambda^2 P_V(\omega_n, \omega_m, q_c) \right] \]
\[ \times D(\omega_n - \omega_m) \frac{\omega_m}{\omega_n} \frac{2}{\pi} \arctan \left[ \frac{E/2}{|\omega_n| |Z(\omega_n)|} \right]. \]

Finally, the gap function for different symmetry channels is:
\[ Z(\omega_n) \Delta_l(\omega_n) = -\pi T_c \sum_{\omega_m} \left[ \lambda_l + 2\lambda^2 P_V(\omega_n, \omega_m, q_c) \right] \]
\[ + \lambda^2 P_V(\omega_n, \omega_m, q_c) - \mu^* |D(\omega_n - \omega_m)| \frac{\Delta_l(\omega_m)}{|\omega_m|} \]
\[ \times \frac{2}{\pi} \arctan \left[ \frac{E/2}{|\omega_m| |Z(\omega_m)|} \right]. \]

where \( \mu^* \) is given by Eqs. [23] and \( l = 0, 2 \).

To establish the range of \( Q_c \) values in which the d-wave symmetry is more stable than s-wave one and to quantify the effect of nonadiabaticity, we solve numerically the generalized Eliashberg equations [13] and [14] for \( l = 0 \) and \( l = 2 \). To find \( T_c \), we follow the maximum eigenvalue method described for example in [6]. The resulting values of \( T_c \) as a function of \( Q_c \) are shown in Fig. 4 for the d- and s-wave symmetries. In the inset we show the critical temperature calculated without the vertex and cross corrections, i. e., for the ME equations [15] and [16]. To display the crossover between the d- and the s-wave symmetries more clearly, we show the results for \( \lambda = 1 \) and \( \mu^* = 0.1 \). When \( \mu^* \approx \lambda \) in fact the s-wave symmetry is suppressed by the strong repulsive interaction.
batic corrections for a d-wave symmetry of the order parameter. By introducing a model interaction in which the e-ph interaction is dominant at small values of q and the residual repulsion of electronic origin is instead important at larger momentum transfers, we have shown that also when the solution has d-wave symmetry, the inclusion of nonadiabatic corrections enhances $T_c$ compared to the case without corrections. Therefore in a strongly correlated system, for which the e-ph interaction is mainly of forward scattering, d-wave superconductivity driven by phonons can be sustained by the nonadiabatic corrections.

A Analytical calculation of vertex and cross functions

A.1 Vertex function

The evaluation of the vertex function given in the Eq. follows basically the same lines and approximations made in Ref.\cite{7}, the main difference being that here we refer to a two-dimensional system rather than a three-dimensional one. Making use of the linear model for the electronic dispersion and considering the limit of $T_c/\omega_0 \ll 1$, we obtain

$$P_v(k,k') = \frac{\omega_n}{2\lambda} \sum_q \left| g(k-q) \right|^2 \times \left[ \frac{\theta(\epsilon_q) - \theta(-\epsilon_q)}{\epsilon_q + \omega_n - i\omega_n} + \frac{\theta(\epsilon_{k+q}) - \theta(-\epsilon_{k+q})}{\epsilon_{k+q} + \omega_n - i\omega_n} \right].$$

The main difficulty comes from $\epsilon_{k+q}$, which, within our model, is

$$\epsilon_{k+q} = \sqrt{q^2 + k^2 + k^2 - 2qk \cos \alpha + 2qk' \cos \beta - 2kq' \cos \theta}^{1/2} - \mu,$$

where $\alpha, \beta$ and $\theta$ are the angles between the directions of $(q,k)$, $(q,k')$ and $(k,k')$ respectively. In the limit of small $q_c$, the presence of $\theta$-function in front of $P_v$ [Eq.(11)] and inside of the integral leads to $|k| \sim |k'|$ and $|k| \sim |q|$. Therefore Eq. (14) can be rewritten as follows:

$$\epsilon_{q+k} \simeq \epsilon_q + v_F k_F \left[ 1 - \cos \alpha + \cos \beta - \cos \theta \right],$$

where we have taken $|k| \simeq k_F$. We can relate the angle $\beta$ to $\alpha$ and $\theta$ by means of relation $\beta = \theta - \alpha$. Therefore the Eq. (17) becomes:

$$\epsilon_{q+k+q'} \simeq \epsilon_q + v_F k_F \left[ (1 - \cos \alpha)(1 - \cos \theta) + \sin \alpha \sin \theta \right].$$

If $Q = |k-k'|/2k_F$ then $\cos \theta = 1 - 2Q^2$ and $\sin \theta = 2Q\sqrt{1-Q^2}$:

$$\epsilon_{q+k+q'} \simeq \epsilon_q + E Q^2(1 - \cos \alpha) + E Q \sqrt{1-Q^2} \sin \alpha.$$

Expanding $\cos \alpha$ and $\sin \alpha$ in powers of $\alpha$ and retaining only the lowest order term in $\alpha$ and $Q$ we finally obtain:

$$\epsilon_{q+k+q'} \simeq \epsilon_q + EQ\alpha.$$
For small $q_c$ we can replace
\[
\theta(q_c - |k - q|) \simeq \theta(q_c - k_F \sqrt{2(1 - \cos \alpha)}) \\
\simeq \theta(2Q_c - |\alpha|).
\] (51)

At this point it is convenient to transform the momentum integration into an energy integration:
\[
\int \frac{d^2q}{(2\pi)^2} = N_0 \int_{-\pi}^{\pi} \frac{d\alpha}{2\pi} \int_{-E/2}^{E/2} \frac{d\epsilon}{E} \tag{52}
\]
where we have used a constant DOS $N(\epsilon) = N_0$ in the range $-E/2 \leq \epsilon \leq E/2$. The integration over the energy $\epsilon$ and over the angle $\alpha$ can be performed analytically and the final expression of the vertex function in the limit of small $Q_c$ is given by
\[
P_V(k, k') = \omega_0 B(\omega_n, -\omega_m) + \omega_0 \frac{1}{2Q_c E} \arctan \left( \frac{2Q_c EQ}{\omega_n - \omega_m} \right) \times \frac{A(\omega_n, \omega_m) - B(\omega_n, -\omega_m) (\omega_n - \omega_m)^2}{|\omega_n - \omega_m|}, \tag{53}
\]
where $Q = |k - k'|/2k_F$, $Q_c = q_c/2k_F$, and
\[
A(\omega_n, \omega_m) = (\omega_n - \omega_m) \left[ \arctan \left( \frac{\omega_n}{\omega_0} \right) - \arctan \left( \frac{\omega_m}{\omega_0} \right) + \arctan \left( \frac{\omega_m}{\omega_0 + E/2} \right) - \arctan \left( \frac{\omega_n}{\omega_0 + E/2} \right) \right], \tag{54}
\]
\[
B(\omega_n, \omega_m) = -(\omega_0 + E/2) \frac{(\omega_0 + E/2)^2 + 2\omega_n^2 - \omega_n\omega_m}{(|\omega_n + E/2|^2 + \omega_m^2)}. \tag{55}
\]

### A.2 Cross function

The function $P_C(k, k')$, given by the Eq.(54), can be explicitly evaluated within the same scheme of calculation of the vertex function. In the limit of $T_c/\omega_0 \ll 1$ we have:
\[
P_C(k, k') = \frac{\omega_0}{2\lambda} \sum_{q} \epsilon_q - \epsilon_{q - k - k'} - i\omega_n - i\omega_m \times \left[ -\frac{\theta(\epsilon_q)}{\epsilon_q + \omega_0 - i\omega_n} - \frac{\theta(-\epsilon_q)}{-\epsilon_q + \omega_n + i\omega_m} \right. \\
+ \frac{\theta(\epsilon_q - k - k')}{\epsilon_q - k - k' + \omega_n + i\omega_m} + \frac{\theta(-\epsilon_q + k - k')}{\epsilon_q - k + k' - \omega_n - i\omega_m} \right]. \tag{56}
\]

The electron energy $\epsilon_{q - k - k'}$ can be approximated for $q_c \ll 2k_F$ as follows:
\[
\epsilon_{q - k - k'} = v_F [q^2 + k^2 + 2k\alpha\cos \alpha - 2k'\cos \beta + 2kk'\cos \theta]^{1/2} - \mu \\
\simeq \epsilon_q + E(1 - Q^2)\frac{\alpha^2}{2} - EQ\sqrt{1 - Q^2}\alpha. \tag{57}
\]

The integrations over the energy and the angle are elementary, the final expression of the cross function is however quite complicated:
\[
P_C(k, k') = \omega_0 B(\omega_n, -\omega_m) - \omega_0 \frac{1}{2Q_c E\sqrt{1 - Q^2}\rho(k, k')} \times \{ \cos[\eta(q, k')/C(k, k') + \sin[\eta(q, k')]D(k, k')] \}
\times \frac{A(\omega_n, -\omega_m) - B(\omega_n, -\omega_m)(\omega_n + \omega_m)^2}{|\omega_n + \omega_m|}, \tag{58}
\]
where the functions $A$ and $B$ are the same of Eqs. (54) and (55) with $\omega_m \to -\omega_m$. The function $C$, $D$, $\eta$, $\rho$ are given by
\[
\rho(k, k') = \left[ Q^4 \left( \frac{2\omega_n + \omega_m}{E} \right) \right]^{1/4}, \tag{59}
\]
\[
\eta(q, k') = -\frac{1}{2} \arctan \left( \frac{2|\omega_n + \omega_m|}{EQ^2} \right), \tag{60}
\]
\[
C(k, k') = b(k, k')^2 \left\{ \arctan \left[ \frac{a_-(k, k')}{b(k, k')} \right] + \arctan \left[ \frac{2Q_c - a_+(k, k')}{b(k, k')} \right] \right\}. \tag{61}
\]
\[
D(k, k') = \frac{1}{2} \ln \left\{ \frac{[2Q_c - a_+(k, k')^2 + b(k, k')^2]}{[2Q_c - a_-(k, k')^2 + b(k, k')^2]} \times \frac{a_-(k, k')^2 + b(k, k')^2}{a_+(k, k')^2 + b(k, k')^2} \right\}, \tag{62}
\]
\[
a_{\pm}(k, k') = \frac{Q \pm \rho(k, k') \cos[\eta(q, k')]}{\sqrt{1 - Q^2}}, \tag{63}
\]
\[
b(k, k') = \frac{\rho(k, k')}{\sqrt{1 - Q^2}} \sin[\eta(q, k')]. \tag{64}
\]

### A.3 $s$- and $d$-wave averages

In what follows we report the final expressions of the $s$-wave and $d$-wave averages of the vertex and cross functions defined in Eq.(11) for $l = 0$ ($s$-wave) and $l = 2$ ($d$-wave), where $P_V$ and $P_C$ are given by Eqs. (52) and (53), respectively.
\[
P_{l=0}^V(\omega_n, \omega_m; q_c) = \frac{A(\omega_n, \omega_m) - B(\omega_n, -\omega_m)(\omega_n - \omega_m)^2}{|\omega_n - \omega_m|} \times \frac{\omega_0}{2EQ_c} F_1(\omega_n, \omega_m, Q_c) + B(\omega_n, \omega_m) \arcsin Q_c \tag{65}
\]
\[
P_{l=0}^C(\omega_n, \omega_m; q_c) = \frac{A(\omega_n, -\omega_m) - B(\omega_n, -\omega_m)(\omega_n + \omega_m)^2}{|\omega_n + \omega_m|} \times \frac{\omega_0}{2EQ_c} F_2(\omega_n, \omega_m, Q_c) + B(\omega_n, -\omega_m) \arcsin Q_c \tag{66}
\]
The functions $C$, $D$, $\eta$ and $\rho$ are precedently defined.

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