Dust Density Distribution and Imaging Analysis of Different Ice Lines in Protoplanetary Disks

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Abstract

Recent high angular resolution observations of protoplanetary disks at different wavelengths have revealed several kinds of structures, including multiple bright and dark rings. Embedded planets are the most used explanation for such structures, but there are alternative models capable of shaping the dust in rings as it has been observed. We assume a disk around a Herbig star and investigate the effect that ice lines have on the dust evolution, following the growth, fragmentation, and dynamics of multiple dust size particles, covering from 1 μm to 2 m sized objects. We use simplified prescriptions of the fragmentation velocity threshold, which is assumed to change radially at the location of one, two, or three ice lines. We assume changes at the radial location of main volatiles, specifically H2O, CO2, and NH3. Radiative transfer calculations are done using the resulting dust density distributions in order to compare with current multiwavelength observations. We find that the structures in the dust density profiles and radial intensities at different wavelengths strongly depend on the disk viscosity. A clear gap of emission can be formed between ice lines and be surrounded by ring-like structures, in particular between the H2O and CO2 (or CO). The gaps are expected to be shallower and narrower at millimeter emission than at near-infrared, opposite to model predictions of particle trapping. In our models, the total gas surface density is not expected to show strong variations, in contrast to other gap-forming scenarios such as embedded giant planets or radial variations of the disk viscosity.

Key words: circumstellar matter – planets and satellites: formation – protoplanetary disks

1. Introduction

Recent high angular resolution observations of protoplanetary disks revealed several examples of multiple ring-like structures, for instance, in disks around HL Tau (ALMA Partnership et al. 2015), HD 100546 (Walsh et al. 2014), HD 97048 (Ginski et al. 2016; van der Plas et al. 2016; Walsh et al. 2016), TW Hya (Andrews et al. 2016; van Boekel et al. 2017), HD 163296 (Isella et al. 2016), HD 169142 (Fedele et al. 2017), and RX J1615.3-3255 (de Boer et al. 2016). These objects have a large range of properties, including different stellar types and ages (from very young: ≲1 Myr, to very old, ≈10 Myr).

The current literature for explaining dust rings and gaps in protoplanetary disks is very rich and includes zonal flows from the magnetorotational instability (MRI; e.g., Johansen et al. 2009; Uribe et al. 2011; Duttrich et al. 2013; Simon & Armitage 2014), spatial variations of the disk viscosity (e.g., Kretke & Lin 2007; Regály et al. 2012; Flock et al. 2015; Pinilla et al. 2016), secular gravitational instability (e.g., Youdin 2011; Takahashi & Inutsuka 2014), instabilities originating from dust settling (Lorén-Aguilar & Bate 2015), self-sustained recycling of inner dust rings (Husmann et al. 2016), particle growth by condensation near ice lines (Saito & Sirono 2011; Ros & Johansen 2013; Stammler et al. 2017), sintering of dust particles that inhibits dust growth near the ice lines (Okuzumi et al. 2016), and planet–disk interaction (e.g., Rice et al. 2006; Zhu et al. 2011; Gonzalez et al. 2012; Pinilla et al. 2012a; D’ipierro et al. 2016; Dong & Fung 2016; Rosotti et al. 2016). Although the latter explanation is the most widely used to interpret current observations, it is not a unique possibility, and several of the listed processes can play an important role during the disk evolution.

To differentiate between all these models for the origin of rings and gaps, it is crucial to predict the behavior of the gas, as well as dust particles of different size, and compare with current observations of disks that cover wavelengths from optical to the millimeter emission. In this paper, we further investigate the effect that different ice lines have on the dust evolution. We predict the distributions of small (micron-sized) particles versus the distribution of large (millimeter-sized) grains and give imaging diagnostics to consider or exclude this scenario as the cause of the seen structures.

In this work, we consider the growth and fragmentation of dust particles during the disk evolution. The growth from micron-sized particles to larger bodies occurs as a result of sticking collisions. The sticking efficiency between pairs of dust grains depends on the Van der Waals forces, which are attractive forces between permanent, induced, or fluctuating dipoles. Hamaker (1937) calculated the resulting Van der Waals force between two spherical particles as a function of their diameters and the distance separating them, such that the total attractive force is given by \(\sim \frac{C_H}{r^6}\). The Hamaker constant \(C_H\) is the sum of the dispersion, polarizability, and orientation coefficients of the pairs in the interaction. Dispersion forces are exhibited by nonpolar molecules because of the fluctuating moments of the nucleus and electrons of the atoms or molecules. For nonpolar molecules, the higher the contribution of the dispersion force to the Van der Waals force, the lower is the magnitude of the net Van der Waals force. For instance, carbon dioxide (CO2) is a nonpolar molecule, and therefore the only contribution to the Van der Waals force is the dispersion force (French et al. 2007). As a consequence, when the mantle of two dust particles is composed mainly of CO2 ice (or...
another molecule with very low dipole moment, e.g., CO or silicates), the net attractive force between the two grains is weaker compared to the net attraction of particles constituted by other polar molecules, such as water or ammonia (the total contribution of the dispersion force to the Van der Waals force is 24% and 57% for water and ammonia, respectively; e.g., Mahanty & Ninham 1976; Israelachvili 1992).

To reassemble the dependence of the dipole moment of the constituents on the net attractive force between dust particles, we simply assume that the threshold of the dust collision velocity to cause fragmentation of particles (the fragmentation velocity, \(v_{\text{frag}}\)) accordingly changes radially at the location of one, two, or three ice lines. Specifically, we assume changes at the radial location where \(\text{H}_2\text{O}, \text{NH}_3\), and \(\text{CO}_2\) are expected to freeze out. In these models, we assume that the mantle of dust grains is mainly composed of the volatile that freezes out at a given location. We analyze how the changes of the fragmentation velocity affect the final dust distribution of particles with 1 \(\mu\text{m}\) to millimeter sizes. In order to compare with current multiwavelength observations of protoplanetary disks, we include radiative transfer calculations and calculate the radial profile of synthetic images at different wavelengths.

The organization of this paper is as follows. In Section 2, we explain the details and assumptions of our dust evolution and radiative transfer models. Sections 3.1 and 3.1.4 present the results of the dust density distribution and radial profiles of synthetic images from different models. Sections 4 and 5 provide a discussion and conclusions, respectively.

2. Models and Assumptions

2.1. Dust Evolution Models

Our models follow the radial evolution of a dust density distribution and calculate the growth, fragmentation, and erosion of dust particles, covering objects from 1 \(\mu\text{m}\) to 2 \(\text{m}\) in size. We do not take into account bouncing of particles. The sticking probability depends on the relative velocities before collision. For this velocity, we take into account Brownian motion, vertical settling, turbulent diffusion, and radial and azimuthal drift. For larger particles, the relative velocities increase (e.g., Windmark et al. 2012). The motion of the particles is determined by the interaction with the gas, and it is calculated according to their size (Birnstiel et al. 2010).

We take parameters of a disk around a Herbig star, specifically \(T_* = 9300\ \text{K}, R_* = 2 R_\odot\), and \(M_* = 2.5 M_\odot\). We assume that the gas surface density remains constant with time, which is assumed to be a power law with an exponential cutoff (e.g., Lynden-Bell & Pringle 1974), that is,

\[
\Sigma_g(r) = \Sigma_c \left(\frac{r}{r_c}\right)^\gamma \times \exp\left[-\left(\frac{r}{r_c}\right)^{2-\gamma}\right].
\]  

(1)

The cutoff radius \(r_c\) is taken to be 120 \(\text{au}\), and \(\gamma = 1\). The total disk mass is \(M_{\text{disk}} = 0.08 M_\odot\). We assume a simple parameterization for the disk temperature that depends on the stellar parameters and is given by (Kenyon & Hartmann 1987)

\[
T(r) = \frac{T_*}{r} \left(\frac{r}{r_e}\right)^{1/2} \phi_{\text{inc}}^{1/4}
\]

or

\[
T(r) \approx 426 \text{K} \left(\frac{r}{1\ \text{au}}\right)^{-1/2},
\]

where \(\phi_{\text{inc}}\) is the angle between the incident radiation and the local disk surface, taken to be \(\phi_{\text{inc}} = 0.05\). We assume a logarithmically spaced radial grid from 1 to 500 \(\text{au}\) with 500 steps. The initial gas-to-dust ratio is 100, and all the dust particles are assumed to be 1 \(\mu\text{m}\) in size at the initial time.

We use simplified prescriptions of the fragmentation velocity threshold, which is assumed to change radially at the location of the ice lines corresponding to \(\text{H}_2\text{O}, \text{NH}_3\), and \(\text{CO}_2\). We assume that for grains whose mantle composition is dominated by nonpolar molecules (or with very low dipole moment), such as \(\text{CO}, \text{CO}_2\), or silicates, the fragmentation velocity is of the order of 1 \(\text{m s}^{-1}\), in agreement with results from numerical simulations and laboratory experiments (Blum & Wurm 2000; Poppe et al. 2000; Paszun & Dominik 2009; Gundlach & Blum 2015; Musiolik et al. 2016, 2016b). Under the assumptions of our models, assuming the \(\text{CO}\) or \(\text{CO}_2\) ice line does not make any difference, except that the location of the \(\text{CO}\) ice line is farther out, and \(v_{\text{frag}}\) would be 1 \(\text{m s}^{-1}\) for both ice lines. In this paper, we assume the \(\text{CO}_2\) ice line, but results would be similar if we were to assume the \(\text{CO}\) ice line.

In the cases where the grain mantle is composed by molecules with permanent electrical dipoles, such as \(\text{H}_2\text{O}\) and \(\text{NH}_3\), the fragmentation velocity is assumed to have a higher value. For \(\text{H}_2\text{O}\) it is assumed to be 10 \(\text{m s}^{-1}\) as suggested by numerical and laboratory experiments (Wada et al. 2009, 2011; Gundlach & Blum 2015). For \(\text{NH}_3\), we assume a fragmentation velocity of \(\sim 7 \text{ m s}^{-1}\). This is an estimate, as there are no data on \(\text{NH}_3\) fragmentation, but it is in correspondence with the contribution of the dispersion force to the total Van der Waals force between dust grains, which is 24% and 57% for \(\text{H}_2\text{O}\) and \(\text{NH}_3\), respectively (Israelachvili 1992). For simplicity we assume the particles to be layered with a silicate core and mantles of water, ammonia, and carbon dioxide depending on their location relative to the ice lines.

At the ice lines of \(\text{H}_2\text{O}, \text{NH}_3\), and \(\text{CO}_2\), we assume the fragmentation velocity to change as a smooth step function. We used a smooth step function, given by

\[
H(x) = \begin{cases} 
1 - \frac{1}{2} \exp\left(-\frac{x}{\Delta x}\right) & \text{for } x \leq 0 \\
1 - \frac{1}{2} \exp\left(-\frac{x}{\Delta x}\right) & \text{for } x > 0 
\end{cases}

\]  

(3)

where \(x = r - r_{\text{ice}}\), with \(r_{\text{ice}}\) being the radial position of a given ice line. These positions are assumed at the location where the disk temperature has the values of the average freezing temperatures of \(\text{H}_2\text{O}, \text{NH}_3\), and \(\text{CO}_2\), in agreement with the values reported in Zhang et al. (2015) (Table 1). Notice that for \(\text{CO}_2\), we take a freezing temperature of 44 K, which is an average value for \(\text{CO}_2\) and CO. Our main motivation is to have this ice line at a distance of \(\sim 90\ \text{au}\), as observed in the disk around HD 163296 (one of the targets that we use to compare our results with observations in Section 4.3). The factor \(\Delta x\) in Equation (3) is a smoothing parameter for the radial change of the fragmentation velocity at a given position and is taken to be \(\Delta x = 0.5\ \text{au}\).

Depending on how many ice lines are assumed, the fragmentation velocity is taken to be a composition of different smooth step functions (Equation (3)). For instance, for the simplest case, where only the water ice line is considered (as in Birnstiel et al. 2010), the fragmentation velocity is given by

\[
v_{\text{frag}} = (100 \times 10^{H(x)}) \frac{\text{cm}}{\text{s}} \quad \text{with} \quad x = r - r_{\text{ice},\text{H}_2\text{O}}.
\]

In this work, we assume three different cases: the fragmentation velocity only changes at \(\text{H}_2\text{O}\) ice line (model I), when the
Note. According to the averaged values reported in Zhang et al. based on Mumma & Charnley (2011) and Martín-Doménech et al. (2014), fragmentation velocity changes at the H$_2$O and CO$_2$ ice lines, being 1 m s$^{-1}$ inside the H$_2$O ice line and beyond the CO$_2$ ice line (model II), and when H$_2$O, NH$_3$, and CO$_2$ ice lines are assumed for the changes of the fragmentation velocity (model III). Figure 1 shows the profiles of the fragmentation velocity for these three cases. With the disk and stellar properties of our models, the first case recreates the results already presented in Banzatti et al. (2015). In this paper, we add to this case the proper radiative transfer calculations to predict images at scattered-light and millimeter wavelengths.

In our models, the ice line locations and gas surface density remain constant with time. It is, however, expected that dust dynamics (in particular radial drift and vertical settling) can change the gas surface density and the location of ice lines (Piso et al. 2015; Clevees 2016; Krijt et al. 2016; Powell et al. 2017; Stammler et al. 2017). In addition, the disk temperature can vary by different effects, such as disk dispersal, which can also change the ice line locations (Panić & Min 2017). Our models are a simplification assuming that the viscous evolution timescales are longer than the dust growth timescales, and that any material that evaporates from the grains does not contribute significantly to the gas surface density, which is a reasonable assumption for the low dust-to-gas ratios that we have at any time of evolution in our models.

For the collisional outcome, the fragmentation probability ($P_{\text{frag}}$) is assumed to be unity when the relative velocity ($v_{\text{rel}}$) is above the fragmentation velocity ($v_{\text{frag}}$) and zero when $v_{\text{rel}}$ is between 0 and 0.8$v_{\text{frag}}$. For intermediate values (between 0.8 and 1$v_{\text{frag}}$), we assume a linear transition of the fragmentation probability, such that $P_{\text{coll}} = 1 - P_{\text{frag}}$ (Birnstiel et al. 2011). When the collision velocity is only determined by turbulence, the maximum grain size is given by (Birnstiel et al. 2012)

$$a_{\text{frag}} = \frac{2}{3\pi} \frac{\Sigma_g}{\rho_s} \frac{v_{\text{frag}}^2}{c_s^2},$$

(5)

which is usually known as the fragmentation barrier. In Equation (5), $\rho_s$ is the volume density of dust grains, which is taken to be $1.2$ g cm$^{-3}$, and $\alpha$ is a dimensionless quantity that it is typically used to parameterize the disk viscosity $\nu$ as (Shakura & Sunyaev 1973)

$$\nu = \alpha c_s h \quad \text{with} \quad h = \frac{c_s}{\Omega},$$

(6)

where $\Omega = \sqrt{GM_*r^{-3}}$ ($G$ is the gravitation constant) and $c_s$ is the sound speed. Particles can reach large sizes drifting toward the star in timescales shorter than the collision timescales (mainly in the other parts of the disk). This barrier to further growth is the drift barrier, and it is given by

$$a_{\text{drift}} = \frac{2\Sigma_g}{\pi\rho_s} \frac{v_K^2}{c_s^2} \left| \frac{d \ln P}{d \ln r} \right|^{-1},$$

(7)

Figure 1. Profile of the fragmentation velocity for three different cases: (I) when only the H$_2$O ice line is assumed (dotted line); (II) when H$_2$O and CO$_2$ ice lines are assumed (dashed line); (III) when H$_2$O, NH$_3$, and CO$_2$ ice lines are assumed (solid line).

where $\Sigma_d$ is the dust density distribution, $v_K$ is the Keplerian angular velocity (i.e., $v_K = r\Omega$), and $P$ is the disk pressure $P = \rho c_s^2$, with $\rho$ being the total gas density.

Okuzumi et al. (2016) modeled the dependency of the fragmentation velocity of dust particles on the sintering. We do not take this particle fusion process into account, which can also affect the fragmentation velocity and create gaps and rings in the dust surface density.

2.2. Radiative Transfer Models

For the radiative transfer calculations, we follow the same procedure presented in Pohl et al. (2016). However, for the near-infrared predictions, we do not calculate images in the full Stokes vector as in Pohl et al. (2016), but concentrate on the total intensity. In addition, we assume the temperature as in the dust evolution models. The models are 3D, but we treat the azimuth with only one grid cell since our dust evolution models are azimuthally symmetric. The total dust density is calculated assuming the dust density distribution from the dust evolution models, such that

$$\rho_d(R, \varphi, z) = \frac{\Sigma_d(R)}{\sqrt{2\pi} H_d(R)} \exp\left(-\frac{z^2}{2H_d^2(R)}\right),$$

(8)

where $R$ and $z$ are spherical coordinates, that is, $R = r \sin(\theta)$ and $z = r \cos(\theta)$, with $\theta$ being the polar angle. In order to include the effect of settling on the models, the dust scale height $H_d(R)$ depends on the grain size and the disk viscosity (Youdin & Lithwick 2007; Birnstiel et al. 2010), and it is given by

$$H_d = h \times \min\left(1, \frac{\alpha}{\sqrt{\min(\text{St}, 1/2)(1 + St^2)}}\right),$$

(9)

where $h$ is the gas scale height (Equation (6)) and $\text{St}$ is the Stokes number, which is a parameter that quantifies the coupling of the
particles on to the gas; at the midplane $St$ is given by

$$St = \frac{a \rho_0 \pi}{\Sigma_g 2}$$ \hspace{1cm} (10)

For the synthetic images, we assume a distance to the disk of 140 pc and a face-on disk. For the convolved synthetic images, we assume a Gaussian for the point-spread function (PSF) with an FWHM of $0.04''$ for the total intensity at 1.6 $\mu$m, as a realistic value for observations with VLT/SPIRE or GPI. For images at the continuum millimeter emission, we consider two wavelengths, 0.87 and 3.0 mm, and we convolve the images with a Gaussian beam of $0.04''$, in order to have the same resolution as at short wavelength and to mimic ALMA observations with high resolution.

For the dust optical properties, we assume a dust composition as in Ricci et al. (2010), which is a mixture between silicate, carbonaceous, and water ice. In Banzatti et al. (2015), we investigated how different fractions of water ice at the snow line could affect the resulting dust density distributions and hence the observational predictions. We found a weak effect on the results, and for this reason in this paper we keep the dust composition fixed for all the models.

3. Results

3.1. Dust Density Distributions

Figure 2 shows the vertically integrated dust density distribution at 1 Myr of evolution when one, two, or three ice lines are considered (see Figure 1, for reference). Results are shown for three different values of $\alpha$ viscosity, specifically $\alpha = [10^{-4}, 10^{-3}, 10^{-2}]$. Figure 3 shows the dust density distribution for small ($a \in [1-10] \mu$m) versus large grains ($a \in [1-10]$ mm) for the same cases as Figure 2. In this section, we describe the radial variations of the dust density distribution for each value of $\alpha$ viscosity. In the Appendix, the vertical dust density distribution (Equation (8)) is shown for each case. These distributions are assumed for the radiative transfer calculations.

3.1.1. Case of $\alpha = 10^{-2}$

In the case that the viscosity is high, the maximum grain size is determined by fragmentation, and in this case $a_{\text{frag}} < a_{\text{drift}}$ for the entire disk. As a consequence, the radial changes of $v_{\text{frag}}$ have a direct effect on the resulting dust density distributions (Equation (5)).

In the case when only the water ice line is included (model I, Figure 1), the maximum grain size decreases smoothly with radius beyond the water ice line (Equation (5)), where the maximum grain size is around 3 mm. In the outer disk, the distribution of small grains is as extended as the gas density. Due to the effective destructive collisions when the fragmentation velocity is taken to be 1 m s$^{-1}$, that is, for $r \lesssim r_{\text{ice,H}_2\text{O}}$, there is an enhancement of the dust density for small grains (Figures 2 and 3) within this region. In these inner locations, the maximum grain size is around 0.1 mm. Hence, the surface density of millimeter-sized particles shows a depletion for $r \lesssim r_{\text{ice,H}_2\text{O}}$ because particles do not grow to these large sizes. However, outside the water ice line, where the fragmentation velocity is higher ($10 \text{ m s}^{-1}$), the dust density distribution for millimeter grains increase from $\sim 8$ au to 30 au. At $\sim 30$ au the dust density of millimeter-sized particles starts to decrease outward. The outer radius for the dust density of the millimeter grains is around 70 au (we call the outer radius the location where the dust density drops lower than $10^{-7}$ g cm$^{-2}$; Figure 3), while the gas outer radius is 500 au (the cutoff radius $r_c$ is at 120 au). This is because of radial drift as shown by Birnstiel & Andrews (2014).

When the H$_2$O and CO$_2$ ice lines are both included for the calculation of $v_{\text{frag}}$ (model II, Figure 1), there is a re-creation of small grains at $r \gtrsim r_{\text{ice,CO}_2}$, and therefore there is an enhancement of the density of the small grains from this location. As a consequence, a gap-like shape in the dust density distribution of small grains is formed within the region between the ice lines of H$_2$O and CO$_2$ (Figure 2) and surrounded by two ring-like shapes in the dust surface density distribution of the micron-sized particles. In this case, the dust density decreases by $\sim 1-2$ orders of magnitude for small grains (Figure 3), while the dust density for millimeter-sized particles is enhanced within the same region. The outer radius of the dust density of the millimeter grains is slightly further out in this case than in model I because at the same time of evolution (1 Myr) there is a higher replenishment of small grains in the outer part of the disk, for which the drift velocities are lower, and it takes longer times for the particles to reach the locations where they can grow to larger sizes, that is, $r \lesssim r_{\text{ice,CO}_2}$.

The inclusion of the NH$_3$ ice line does not have a significant effect on the final dust density distribution compared to model II (Figure 1). In this case the fragmentation velocity decreases at $r_{\text{ice,NH}_3}$ from 10 to 7 m s$^{-1}$, and there is a more effective fragmentation at $r_{\text{ice,NH}_3}$ than in model II from $r_{\text{ice,NH}_3}$. This is reflected in a small increment of the dust density of small grains at such locations (Figure 3). The shape of the dust density distribution for large millimeter-sized particles remains similar to that in models I and II.

In summary, for this case of $\alpha = 10^{-2}$, $a_{\text{max}} = a_{\text{frag}}$ for the entire disk and variations of $v_{\text{frag}}$ affect the final distribution of small and large particles. In the region between the H$_2$O and CO$_2$ ice lines, the density of small grains is depleted by $\sim 2$ orders of magnitude compared to the inner disk. In the outer disk, $r \gtrsim r_{\text{ice,CO}_2}$, the density of small grains increases owing to the effective fragmentation of particles in this region. The ice line of NH$_3$ has little effect on the distribution of small grains. In all models, the growth due to the sticking properties of particles leads to a distribution of the millimeter-sized particles with a ring-shaped structure in the region between the H$_2$O and CO$_2$.

3.1.2. Case of $\alpha = 10^{-3}$

In the case where the viscosity has an intermediate value, there are regions in the disk where the maximum grain size is determined by fragmentation and where it is determined by drift (Figure 2). Because $\alpha$-viscosity is lower, particles can reach larger sizes (Equation (5)).

In model I, inside the water ice line $a_{\text{max}} = a_{\text{frag}}$ as in the case of $\alpha = 10^{-2}$. The maximum grain size in this region is $\sim 1$ mm (one order of magnitude higher than in the case of $\alpha = 10^{-2}$), but it remains depleted of larger grains, and there is an enhancement of the distribution of small grains as before. For $r \gtrsim r_{\text{ice,H}_2\text{O}}$, $a_{\text{max}}$ is determined by drift, the growth is more effective, and particles reach sizes of 1 mm $\lesssim a \lesssim 3$ cm, depleting this region in small grains. Because grains grow to larger sizes in $r \gtrsim r_{\text{ice,H}_2\text{O}}$, they are in the drift limit, that is, the maximum grain size is determined by Equation (7). At $r_{\text{ice,H}_2\text{O}}$ there is a narrow ring-like accumulation of millimeter grains, where $v_{\text{frag}}$ changes from 1 to 10 m s$^{-1}$, This happens just at the location where $a_{\text{frag}} < a_{\text{drift}}$ (Figures 2 and 3). Beyond this peak of the density of large grains, the distribution of
the millimeter-sized particles is uniform up to 60 au, where the dust density sharply decreases with radius as in the case of $\alpha = 10^{-2}$. For this case, the dust density distribution of large grains reassembles similar profiles to those in the case of dust trapping by a broad pressure bump (e.g., created by a planet or at the outer edge of a dead zone; Pinilla et al. 2012a, 2016). In model I, the small grains also show a gap in the outer regions, which is a result of inefficient fragmentation of dust particles as shown by Birnstiel et al. (2015).

In model II, due to the changes of $v_{\text{frag}}$ at $r_{\text{ice,CO}_2}$, the maximum grain size in the outer parts of the disk ($r \gtrsim r_{\text{ice,CO}_2}$) is again determined by fragmentation. In this region there is effective fragmentation of particles, and the dust density distribution of small grains again increases in the outer disk (Figure 3), creating a clear gap where the dust density for $\alpha \in [1-10]\, \mu\text{m}$ decreases around 4 orders of magnitude. The distribution of large grains does not significantly change compared to model I. There is an accumulation of large grains at the location of the water ice line and then a uniform distribution up to 60 au, where the density decreases sharply with radius. The small bump at the location of the water ice line is a traffic jam effect where particles, for which maximum size is determined by radial drift, reduce their radial

**Figure 2.** Vertically integrated dust density distribution at 1 Myr of evolution when one, two, or three ice lines are considered (see Figure 1, for reference). Results are for $\alpha = 10^{-2}$ (top panels), $\alpha = 10^{-3}$ (middle panels), and $\alpha = 10^{-4}$ (bottom panels). The solid cyan line represents the maximum grain size set by fragmentation (Equation (5)), and the dashed white line corresponds to the maximum grain size set by radial drift (Equation (7)).
velocities because they fragment, or, in other words, when their size decreases and their drift velocities are lower.

In model III, though there is a change of $v_{\text{frag}}$ at $r_{\text{icen}},$ there is no effect on the final dust density distribution (Figure 2). This is because at these locations $a_{\text{max}} = a_{\text{drift}}$ and hence changes of $v_{\text{frag}}$ do not influence the distribution of small grains as in the case of model III with $\alpha = 10^{-2}$. The distribution of large grains is similar to that in models I and II.

Summarizing, for this case of $\alpha = 10^{-3},$ in all models (I, II, and III) there is a narrow ring-like accumulation of millimeter-sized particles in the dust density distribution at $r_{\text{ice,H}_2\text{O}},$ where $v_{\text{frag}}$ changes from 1 to 10 m s$^{-1};$ beyond that accumulation the distribution of large grains is uniform and the outer radius for the large grains is around 80–90 au. For small grains, there is a decrease of the distribution of small grains due to inefficient fragmentation. When $v_{\text{frag}}$ changes again to 1 m s$^{-1}$ in the outer...
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disk, because CO$_2$ ice behaves like silicates in terms of collisions, there is an enhancement for the dust distribution of small particles, creating a distinct gap. The inclusion of the NH$_3$ ice line does not change the final distribution of particles.

3.1.3. Case of $\alpha = 10^{-4}$

In the case where the viscosity has a low value, there are regions in the disk where the maximum grain size is determined by fragmentation and where it is determined by drift as in the case of $\alpha = 10^{-3}$. Because the viscosity is lower, $a_{\text{tag}}$ increases by one order of magnitude, and thus the maximum grain size, in the regions where $a_{\text{max}} = a_{\text{tag}}$. This is the case inside the water ice line, where $a_{\text{max}}$ is around 1 cm. This implies that there is no depletion of millimeter-sized grains inside the water ice line because $a_{\text{tag}}$ is large enough to allow millimeter grains, due to the low $\alpha$ (Figure 2). On the contrary, there is an enhancement of large ($a \in [1, 10]$ mm) particles inside the water ice line. An enhancement of the dust surface density beyond the water ice line only occurs for the very large grains ($a > 1$ cm; Figure 2).

In model I, beyond the water ice line $a_{\text{max}} = a_{\text{drift}}$ and the maximum grain size only increases by a small factor. Although the particles reach larger sizes, there is not a broad ring-like accumulation of grains with size of 1–10 mm as in the case of $\alpha = 10^{-3}$. Instead, there is a small depletion of the millimeter-sized grains at $r_{\text{m, H$_2$O}}$ as for the smallest grains (Figure 2). This is because fragmentation is too inefficient in this region, creating gaps for the dust surface density of large and small grains. Beyond this gap, there is a uniform distribution of millimeter-sized particles. In this case, the dust diffusion is slower because the viscosity is lower, and therefore it takes longer times for the small particles to diffuse outward. As a result, the gap is slightly narrower in small grains than in the previous case of $\alpha = 10^{-3}$.

In model II, as in the previous cases, due to the changes of $v_{\text{frag}}$, $a_{\text{max}} = a_{\text{drift}}$ for locations beyond the CO$_2$ ice line. This makes the gap of the small grains deeper, with a depletion factor of around 4 orders of magnitude. As in the previous case, the distribution of millimeter-sized particles does not remarkably change between models I and II.

In model III, the distribution of small and large particles is similar to that in models I and II. There is a small enhancement in the distribution of small particles close to $r_{\text{m, NH$_3$}}$. This is the result of the slower diffusion of small grains, which take longer times to diffuse outward. In this case, at around 1.5 Myr, this enhancement disappears.

In summary, the dust density distributions when $\alpha = 10^{-4}$ are similar to those when $\alpha = 10^{-3}$. The main difference lies at the location of the water ice line, where there is a gap of millimeter grains, contrary to the case of $\alpha = 10^{-3}$, where there is a small bump. This difference is due to the inefficient fragmentation at this region, which depletes the region of micron- and millimeter-sized particles simultaneously.

3.2. Radial Intensity Profiles

After the radiative transfer calculations described in Section 2.2, we obtain images at near-infrared and (sub)millimeter emission, specifically at 1.6 μm, 0.87 mm, and 3 mm. Figure 4 shows the radial profiles of the intensity from the synthetic images. Figure 5 shows the same profiles after convolving with a circular PSF with an FHWM of 0".04 (which corresponds to 5.6 au for the distance that we assume of 140 pc), in order to compare the multi-wavelength profiles at the same spatial resolution. The intensity profiles at 1.6 μm are multiplied by $r^2$, to compensate for the $r^{-2}$ dependency of the stellar illumination.

The intensity profiles reveal different kinds of structures depending on the assumed value for $\alpha$ viscosity and the number of ice lines that are assumed in the models. In our models the radial structures at scattered-light and millimeter emission originate from variations (radial and vertical; see figures in the Appendix) of the total dust density distribution, and not from temperature variations. The temperature that we assume is a simple power law (Equation (2)), as in the dust evolution models. As a test, we checked whether the obtained intensity profiles change when the temperature is also calculated in the radiative transfer models, and we do not find significant changes. This is because the temperature values are not very different from the power-law assumption, and the only significant variations are in the very inner disk (~1 au), where the maximum temperature is higher from the radiative transfer calculations.

When only the water ice line is assumed (model I), the general trend is a dip of emission near the location of the water ice line at all different wavelengths. The dip is deeper at 1.6 μm than at (sub) millimeter emission, and for lower viscosity the depression of the dip is higher. These results are similar to those of Birnstiel et al. (2015), who found dips of emission in the outer parts of protoplanetary disks at scattered light due to inefficient fragmentation of dust particles. The main difference with the present models is that the dip is located closer in, near the water ice line, where the fragmentation velocity is expected to change, and not in the outer disk. Although the resulting dust surface density distribution in model I shows a depletion of millimeter grains inside the water ice line and a ring-like structure just beyond, the intensity at (sub) millimeter emission does not show such ring-like structure, and instead it also shows a dip of emission. This is because inside the water ice line there is an enhancement of all grain sizes below the maximum grain size, which is determined by fragmentation $a_{\text{tag}}$, all these grains contribute to the emission at (sub)millimeter wavelengths, and when fragmentation becomes less efficient beyond the water ice line, there is a depression in the total intensity because grain growth and drift are more efficient. This is opposite to the case of particle trapping by a planet or at the outer edge of a dead zone, where only the dust grains inside the trap emit at (sub) millimeter wavelengths.

For models II and III, when CO$_2$ and NH$_3$ ice lines are included in addition to the water ice line, there are changes in the radial profile of the intensity. The main difference is for the intensity at 1.6 μm, where a clear gap of emission is formed, becoming deeper when $\alpha$ has values of $10^{-3}$ and $10^{-4}$. At the outer edge of that gap, a ring-like structure is also formed, and in the case of three ice lines and low viscosity, there are multiple ring- and gap-like structures. For the case of $\alpha = 10^{-2}$, the gap in micron-sized particles is shallower than in the other two cases because when $\alpha$ decreases, the growth is more efficient between the water and the other ice lines. As a result, there is a high depletion of micron-sized particles, creating a deep gap in the intensity profile, whose depletion factor is around four orders of magnitude when comparing to the inner part of the disk. The (sub)millimeter emission in the case of multiple ice lines remains similar to that in the case when only the water ice line is included. This is because the millimeter/centimeter-sized particles are not affected by the changes of the fragmentation velocity when more than one ice line is included in the outer disk. In model III and when $\alpha = 10^{-2}$, there is a sharp decrease of the intensity at 1.6 μm after the gap and ring located near the water ice line. This is the result of a more flared inner disk
with high density of small grains that can block the light from the central star, preventing the light from being scattered in the outer surface layers.

In summary, the general trend in all models is just a dip of emission (or shallow gaps) at (sub)millimeter emission near the ice lines. The width of the gap or the separation between the ring-like shapes is smaller at longer wavelengths. The depression of such a dip or gap at (sub)millimeter is less than one order of magnitude and thus much shallower than at 1.6 $\mu$m. It is important to note that at longer wavelengths, that is, 3 mm, the outer disk radius is smaller than at shorter wavelengths as expected from radial drift.

In the convolved images the structures do not show a significant change compared to the theoretical images, and they prove that the structures and depletions that are expected from ice lines can be detected with the current capabilities of different telescopes, such as ALMA, VLT/SPHERE, and GPI.

4. Discussion

4.1. The Effect of the Disk Viscosity

The assumed value for the disk viscosity ($\alpha$) plays an important role in the final dust distributions and hence in the potential structures. The value of $\alpha$ depends on how angular momentum is...
transported within the disk, which can have different origins such as MRI and magnetohydrodynamical (MHD) winds (e.g., Balbus & Hawley 1991; Suzuki & Inutsuka 2009; Bai et al. 2016). MRI requires disk ionization for the disk gas to be coupled to the magnetic field, and therefore if MRI drives accretion and turbulence, the value of $\alpha$ depends on the ionization environment of the disk (e.g., Dolginov & Stepinski 1994; Flock et al. 2012; Desch & Turner 2015).

Observationally, measuring the level of turbulence in protoplanetary disks is quite challenging and remains very uncertain, although recent efforts with ALMA observations have allowed us to measure the turbulent velocity dispersion for a couple of protoplanetary disks (Flaherty et al. 2015, 2017; Teague et al. 2016), revealing values predicted by MRI, but still with large uncertainty ($\alpha \sim 10^{-4}$–$10^{-2}$; e.g., Simon et al. 2015).

The value of $\alpha$ is of great importance in the context of dust evolution models because it determines the turbulent velocities of the dust particles and hence the fragmentation limit (Equation (5)). After combining our results from the dust evolution models with radiative transfer calculations, we demonstrate that the shape (in particular the depth) of the dips or gaps that formed due to variations of fragmentation dust properties near the ice line depends on $\alpha$. The depletion factor of the gaps or dips becomes higher for lower values of $\alpha$, although the main differences are between the results of $10^{-2}$ and the other two values considered ($10^{-4}$ and $10^{-3}$). This is because for $\alpha = 10^{-2}$, the maximum grain size is

![Figure 5. Same as Figure 4, but convolved with a circular PSF with an FHWM of 0.04 for all profiles.](image-url)
determined by fragmentation in the entire disk, while for the other two values it is a mix between fragmentation and drift. Therefore, observational insights about the turbulence in disks can provide better constraints on whether or not the origin of gaps is due to ice lines.

In the context of our simulations, the number of rings or gaps depends on how many changes of the fragmentation velocity are assumed. In this paper, we assume up to three variations corresponding to three main volatiles. It is important to notice that multiple gaps/rings are only obtained at the near-infrared emission, while at the millimeter emission there is only a dip or a shallower and narrower gap at short wavelengths. As a consequence, measuring the depth and the width of an observed gap at near-infrared emission and millimeter emission is one of the keys to discerning between ice lines and low-mass planetary origin.

Alternatives for gap-opening processes are variations of the disk viscosity that can lead to different transport of angular momentum, creating bumps in the gas surface density that can lead to trapping of particles. The trapping mechanism is more effective for large millimeter grains, while the small grains are expected to be diffused and smoothly disturbed in the disk (Pinilla et al. 2012b), opposite to our current results for the ice lines.

### 4.2. Differentiating between Other Gap-opening Mechanisms

There are several possibilities for the origin of rings and gaps in protoplanetary disks, including radial variations of the disk viscosity and planet–disk interaction. However, different observational diagnostics can give insights to distinguish between these scenarios.

Models of planet–disk interaction predict that when the planet is massive enough to open a gap in the gas surface density, there is trapping of millimeter-sized particles at the outer edge of the gap, where the density and hence the pressure increase outward (Rice et al. 2006; Zhu et al. 2011; Pinilla et al. 2012a). Depending on the planet mass (which changes the pressure gradient) and disk viscosity, there is a critical grain size that can be trapped. In general, the micron-sized particles are not effectively trapped, and they can be distributed throughout the disk, showing a smooth distribution or a shallower and smaller gap at short wavelengths than at millimeter emission (de Juan Ovelar et al. 2013; Dong et al. 2015). This is an important difference between our current models of ice lines, where a shallower gap is obtained at the millimeter emission. For instance, there is a dip of emission near the water ice line that is much more depleted at 1.6 μm than at 0.87 or 3.0 mm. In addition, in the case of ice lines, the width of the gap or the separation between the rings is smaller at longer wavelengths.

### 4.3. Comparison with Current Observations and Future Perspectives

**TW Hya and HD 163296.**—We discuss our results in the context of current observations of these two disks because they are very well studied in the literature, where the CO ice line has been claimed to be imaged by ALMA. In addition, there are constraints in the level of turbulence in these disks, making them excellent laboratories for discussing our current results.

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*Figure 6.* Left panel: comparison of the radial intensity profile of TW Hya from SPHERE (van Boekel et al. 2017) at 1.65 μm vs. the submillimeter emission at 870 μm from ALMA (Andrews et al. 2016). Both profiles were obtained by azimuthally averaging the surface brightness intensity, and we normalize it to one of the peaks of emission (peak at ~0.55 for the SPHERE profile and peak at ~0.575 for the ALMA profile). The profile from SPHERE is multiplied by r^2 to compensate for the stellar illumination. The white line represents the location of the CO ice line at 20 au (assumed a distance of 59.5 pc; Gaia Collaboration et al. 2016). Right panel: comparison of the radial intensity profile of HD 163296 from GPI at 1.25 μm (Moulin et al. 2017) vs. the millimeter emission at 1.3 mm from ALMA (Isella et al. 2016). Both profiles were obtained taking a cut at the position angle of the disk (∼135°), and we normalize it to one of the peaks of emission (peak at ~0.65 for the GPI profile and peak at ~0.75 for the ALMA profile). The profile from GPI is multiplied by r^2 to compensate for the stellar illumination. The white line represents the location of the CO ice line at 90 au (assumed a distance of 122 pc; van den Ancker et al. 1997).
In TW Hya, the ionization environment was investigated by Cleves et al. (2015), suggesting a dead zone extending up to a distance of 60 au. The CO ice line was suggested to be at 30 au from observations of N$_2$H$^+$, which might be a chemical tracer of CO ice (e.g., Qi et al. 2013). However, van’t Hoff et al. (2017) demonstrated that the amount of CO in the gas phase can affect the abundance of N$_2$H$^+$, such that the N$_2$H$^+$ column density peaks further outside the CO snow line. Assuming disk parameters of the TW Hya disk, van’t Hoff et al. (2017) found that the CO ice line should be located at 20 au, in agreement with recent ALMA observations (Zhang et al. 2017).

Near a distance of 20 au there is a distinct gap at scattered light follow by a ring-like emission in the TW Hya disk (see Figure 6; Rapson et al. 2015; van Boekel et al. 2017), and ALMA observations also reveal a gap at 0.87 mm that is narrower than the one observed at scattered light (see Figure 6; Andrews et al. 2016). This gap at 20 au follows the trend found for the gap shape of our models when the disk viscosity is low ($\alpha = 10^{-3}-10^{-4}$; see also Figure 10 in Zhang et al. 2017), in agreement with the possibility that the CO ice line is inside an MRI-dead region. It is important to notice that our models are for a disk around a Herbig star and the CO ice line is much farther out (close to the outer edge) than in TW Hya. However, the observed shapes of the gap near 20 au are similar to the results of our models at $\sim$8 au, where the fragmentation velocity increases by one order of magnitude. The observed gap, in particular in the millimeter emission, is narrower than our prediction. In our models, the width is determined by where the fragmentation velocity changes and this determines whether the maximum grain size is dictated by fragmentation or drift. Our models may be neglecting variations of the fragmentation velocity due to, for instance, other main volatiles that change the stickiness efficiency near the CO ice line that can make the gap smaller.

Additional observational insights can be obtained if we can infer the total gas surface density. If a deep gap is also detected in the total gas surface density at this location, this would suggest that the CO ice line is not responsible of the observed gap.

In HD 163296, the level of turbulence has been constrained to be low (Flaherty et al. 2015), and the CO ice line is located at $\sim$90 au (Qi et al. 2015). Guidi et al. (2016) suggested a gap followed by a ring feature in the millimeter emission just beyond the CO ice line, where there is also an excess of emission at polarized scattered light (Garufi et al. 2014; Monnier et al. 2017). The most recent ALMA observations from Isella et al. (2016) show a strong ring-like signature near $\sim$90 au as well (Figure 6). The corresponding gap is deeper at scattered-light emission than at millimeter emission. In this case, the trend of a shallower and narrower gap at millimeter emission than at scattered-light emission is also observed (Figure 6), following our current predictions for the ice lines.

Because these comparisons were done with polarized light intensity, we run as a test a 3D single scattering model for one of the cases (model III with $\alpha = 10^{-3}$), keeping the same disk parameters to calculate the polarized intensity profile at 1.6 $\mu$m. The resulting shape of the radial profile of the polarized intensity is similar to the profile of the total intensity.

Both TW Hya and HD 163296 have multiple rings and gaps, and each might have a different origin. Current observations support that the structures observed near the CO ice line are in good agreement with variations of the dust fragmentation efficiency. The other rings and gaps can originate, for instance, from embedded planets or viscosity variations.

Future observational perspectives.—Detecting the water ice line directly from water lines is challenging because these lines are weak and are at high energy levels (Notsu et al. 2016, 2017). An indirect method to detect ice lines is to observe the effect that these locations have on the grain growth (as shown in Birnstiel et al. 2010), which can lead to strong variations of the emission at millimeter wavelengths and possible variations of the millimeter spectral index (Banzatti et al. 2015).

Cieza et al. (2016) observed with ALMA the protoplanetary disk around V883 Ori, which shows a break in the intensity profile and an optical depth discontinuity at $\sim$42 au, and they suggested that this break originates owing to the water ice line changing the dust properties and evolution. Because this protostar is experiencing an outburst in luminosity, this increases the disk accretion and temperature, pushing the location of the water ice line farther out than in a typical disk around a T Tauri or Herbig star. Future observations of the intensity at different wavelengths, covering different spectral types, are required to test the robustness of this theoretical prediction.

Observations that provide information about the total gas surface density are complementary because near the ice lines strong depletions of the gas are not expected as in the case of massive planets or variations of the disk viscosity (e.g., at the outer edge of a dead zone). Moreover, the combination of optically thick emission that gives constraints on the disk temperate, together with observations at scattered-light and optically thin (sub)millimeter wavelengths, is an additional and crucial key to recognizing ice lines as potential origins of an observed ring or gap at a given location.

5. Conclusions

In this paper we investigate the effect that different ice lines have on the dust density distribution at million-year timescales, by including radial variations of the fragmentation velocity at the ice lines of H$_2$O, CO$_2$ (or CO), and NH$_3$. Our findings are as follows:

1. Variations of the fragmentation properties of dust particles near ice lines can lead to visible gaps and rings, in particular at short wavelengths.
2. The amount of gaps depends on the number of ice lines considered. When only the water ice line is assumed, there is a break of the emission near the ice line at different wavelengths and independent of disk viscosity. The depth of the break or dip is higher for lower viscosity.
3. When the CO$_2$ (or CO) and NH$_3$ are also assumed in the models, there is a clear gap between ice lines. The depth of the gaps depends on the disk viscosity, being deeper for lower viscosity. In addition, the formed gaps are narrower at longer wavelengths. The total number of rings and gaps can vary with wavelengths. For instance, in the case of three ice lines, there are two clear gaps and three bright regions in the emission at 1.6 $\mu$m, but only one gap at the (sub)millimeter emission.
4. The general trend of our results is that gaps at the (sub) millimeter emission are shallower and narrower than at scattered light. Comparing the scattered-light and millimeter observations of TW Hya and HD 163296, where the CO ice line has been observed, there are structures...
near the CO ice line (at 20 and 90 au for TW Hya and HD 163296, respectively) that are in agreement with our findings. This is opposite to the results expected by models of dust trapping by a giant planet embedded in the disk or when trapping is triggered by changes of disk viscosity, where a deeper and wider gap is expected at the (sub)millimeter emission.

5. In our models the gap width corresponds to the separation between ice lines, and therefore the gaps are very wide compared to the rings observed in disks around, e.g., TW Hya and HL Tau. However, any other volatile or mechanism that contributes to change the dust fragmentation velocities can lead to closer gaps and rings, or they can have a different origin.

6. In these models, we do not expect a strong change of the total gas surface density near the ice lines. Massive planets or dead zones can lead to strong variations (gaps or bumps) in the gas surface density.

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**Appendix**

Figures 7–9 show the 2D \((r, z)\) dust density distribution assumed for the radiative transfer calculations and obtained using Equation (8), for small grains \((a \in [1–10] \mu m)\), large grains \((a \in [1–10] mm)\), and all grains, assuming different values of \(\alpha\).
Figure 9. Same as Figure 7, but for model III (H$_2$O, CO$_2$, and NH$_3$ ice lines; vertical lines).

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