Birefringence and Polarization Mode Dispersion Phenomena of Commercial Optical Fiber in Telecommunication Networks

Saktioto1,*, Yoli Zairmi1, Velia Veriyanti1, Wahyu Candra1, Romi Fadli Syahputra1, Yan Soerbakti1, Vepy Asyana1, Dedi Irawan2, Okfalisa3, Harya Hairi4, Nor Azman Hussein5, Syamsudhuha6, Sofia Anita7

1 Department of Physics, Universitas Riau, Jl. HR Soebrantas KM 12.5, Pekanbaru, Indonesia
2 Physics Education, FKIP, Universitas Riau, Jl. HR Soebrantas KM 12.5, Pekanbaru, Indonesia
3 Department of Informatics Engineering UIN SUSKA, Jl. HR Soebrantas KM 15, Pekanbaru, Indonesia
4 Applied Physics Dept., UniversitiTeknologi MARA, PasirGudang, Johor, Malaysia
5 Physics Department, Faculty of Science, UniversitiTeknologi Malaysia, Skudai, Johor, Malaysia
6 Department of Mathematics, Universitas Riau, Jl. HR Soebrantas KM 12.5, Pekanbaru, Indonesia
7Department of Chemistry, Universitas Riau, Jl. HR Soebrantas KM 12.5, Pekanbaru, Indonesia

* saktioto@yahoo.com

Abstract. The development of optical fibers from attenuation and absorption of fiber material for efficiency and quality has produced several positive results. However, several natural negative factors and environmental errors cause problems such as birefringence and dispersion mode variations. This article therefore proposed a simulation of birefringence and polarization mode dispersion (PMD) to investigate the emergence of interference and efforts towards finding a solution to the problem of optical fiber. Moreover, a single-mode fiber was investigated at the core refractive index and cladded with a core radius and fixed sample for a wavelength of infrared regimes. The performance of fibers was also evaluated through the determination of the PMD value of the fibers. The simulation results showed the difference observed in birefringence produced the power affecting the output. Meanwhile, the PMD also produced the light waves discovered to be experiencing widening pulses in the cladding.

1. Introduction
The growth and application of telecommunication hardware and software components have rapidly developed over the last 30 years [1-3]. This is observed in the conduct of studies to obtain easier, cheaper, accurate, clear, and low-power solutions and products to transform network components with the expectation of ensuring no interruption while sending photonic signal information [4,5]. However, there are scientific challenges and environmental error optical fiber products carrying waves of information observed in the waveguide medium. It is therefore important to investigate these problems from the perspective of both the material and environmental factors [6,7].

One of the media components of telecommunications is optical fibers and a difference in its refractive index from the orthogonal polarization was observed due to the changes or damages to the size of its cylindrical symmetry. This further leads to a change in its propagation speed. This phenomenon is often called birefringence and mostly leads to Differential Group Delay (DGD)
between two polarized waves and this is not desirable in the long-haul telecommunication system. Moreover, the variation in this concept causes random changes in the PMD [8]. Birefringence can be caused by intrinsic factors such as geometric and stress as well as extrinsic ones such as laterals stress, bending, and twist. This study considered the extrinsic factors and their possible effects on the intrinsic ones in each fiber but they are kept constant to determine the level of wave disturbance produced. However, the PMD parameter has been reported to influence the bit rate for optical communication systems such that when it has a small value, a higher bit rate is produced on the fiber [9]. The restrictions on the extrinsic factors were presumed to be placed in order to clearly understand the physical function of intrinsic factors such as the contribution of geometry and stress of the material to the interference of waves propagated in single-mode optical fibers and to provide commercial recommendations for fiber products to be designed and fabricated. Meanwhile, extrinsic factors are better understood from environmental disturbance and human errors on the fiber path used.

The inevitable imperfections in fiber optic manufacturing lead to birefringence, which has been found to be one of the causes for the dispersion of pulse signals in optical fiber communications. The geometric structure that is less symmetrical due to stress and bending of the optical fiber is one of the causes of this imperfection. The emergence of birefringence due to imperfections in optical fibers has another advantage, namely that it can maintain polarization by creating an elliptical cross-section.

This paper, therefore, proposed the simulation of optical fiber with due consideration for several factors and physical quantities of controllable and readily variable parameters to mathematically and physically interfere with wave propagation. This simulation is required during the experiment due to its low cost, ability to manipulate and control several variables, and applicability in fibers production. It also aids the determination of the effect of polarization on the cylindrical fiber imperfect core as well as to prevent the significant effect of the dispersion magnitude determined. In Single-Mode Fibers (SMF) pulses, light waves have a limited spectral in a single state with the output usually widened while the polarization spreads throughout the whole series of fibers [10]. However, it is possible to explain this state of polarization using birefringence capital as observed in the effective difference in the index for orthogonal polarized normal modes [1]. The dispersion observed is PMD due to its small value compared to the others. Therefore, it is very important to conduct further study on the development of fiber design in communication systems to ensure better performance. This paper, however, investigated the characteristics of birefringence and PMD of commercial optical fiber using the Optifiber system.

2. Theory
The original optical fiber does not have a perfect cylindrical core due to its varied diameters and this causes voltage unevenness along the fiber. It also led to the difference in the propagation constant of the two polarization components and, consequently, making the fiber becomes birefringence. Moreover, the incorporated linear polarized light caused an assumption of the same amplitude for the two polarization components with no phase difference observed at the output end. However, the propagation of the light along the fiber led to the exit of one mode in the other phase due to the propagation constant of different phases. Therefore, at each point along the fiber (for random phase differences), the two components have the ability to produce elliptically polarized light while at \( \pi/2 \), it is circular. This means there is the development of the polarization from linear to an ellipse to circle to ellipse and back to linear and this alternating sequence has been reported to be continuing along the fiber [11].

Factors. For example, intrinsic disturbance accidentally occurs in the manufacturing process and becomes a permanent feature of the fiber. This includes the noncircular core causing the geometric aspect and the asymmetrical fields producing the stress aspect in the fiber around the core region. The external forces found to be causing the birefringence include lateral pressure, bending, and twisted fibers during handling and cabling process. These three mechanisms are, however, usually present to some extent in telecommunications fiber [12-13]. Birefringence is the difference between the polarization eigenmode propagation constants shown as [7],

\[
\Delta \beta = \beta_x - \beta_y
\]
Birefringence caused by lateral stress can be expressed by,

\[ \Delta \beta_{\text{Lateral stress}} = -8 \frac{Cp_k}{\pi d} \left[ 1 - \left( \frac{a}{d} \right)^2 H(V) \right] \] (2)

While birefringence caused by bending is defined as follows,

\[ \Delta \beta_{\text{Bending}} = -\frac{1}{8} \left( \frac{d}{a} \right)^2 ECk_0 \left[ 1 - \frac{1}{3} \left( \frac{a}{d} \right)^2 H(V) \right] \] (3)

Birefringence caused by stress can be expressed by,

\[ \Delta \beta_{\text{Tension-coiled}} = -2 \frac{2-3v}{1-v} C \frac{f}{\pi d c} k_0 \] (4)

where,

\[ H(V) = 2 + \frac{4(U^2-W^2)}{U^2V^2+W^2} + \frac{4U_0(U)}{U^2 J_1(U)} \] (5)

\[ U = a\sqrt{n_1^2k_0^2 - \beta^2} \] (6)

\[ W = a\sqrt{\beta^2 - n_2^2k_0^2} \] (7)

\[ V = (U^2 - W^2)^{1/2} = k_0a(n_1^2 - n_2^2)^{1/2} = \frac{2\pi a}{A}(n_1^2 - n_2^2)^{1/2} \] (8)

where \( V \) is normalized frequency, \( \beta \) = propagation constant, \( C \) = Photo-elastic constant, \( p \) = lateral force, \( k_0 \) = wave propagation constant in vacuum, \( E \) = the Young modulus, \( a \) = core radius, \( d \) = the outer diameter of the fiber, \( f \) = axial tension, \( c \) = speed of light in vacuum, \( v \) = Poisson’s ratio, \( n_1 \) = core refractive index, \( n_2 \) = cladding refractive index, and \( \lambda \) = wavelength.

The widening of the pulse in SMF is caused by birefringence. This happens when the input pulse moves the two orthogonal polarized components of the basic fiber mode at different group speeds and group velocities of \( V_{gx} \) and \( V_{gy} \), to arrive at the ends of the fiber with length \( z \). The delayed time, \( \Delta T \), between the two orthogonal polarized components is calculated by,

\[ \Delta T = \left| \frac{z}{V_{gx}} - \frac{z}{V_{gy}} \right| \] (9)

This difference in propagation time leads to an expansion of pulses called PMD which is a limiting factor especially in long-distance optical fiber communication systems operating at high bit rates. However, assuming the fibers have a constant birefringence, it applies only to those maintaining polarization.

Optical fiber with a random asymmetric structure can affect the shape of the PMD modal dispersion in the form of two different polarizations of light in a waveguide at different speeds and cause the random spread of optical pulses. These optical fiber imperfections can limit the speed of data transmission. The fundamental mode in an ideal optical fiber, with a perfectly circular core cross-section, has two orthogonal polarizations moving at the same speed in the orientation of the electric field. The signal transmitted over the fiber is randomly polarized through a haphazard superposition of the two polarizations but since it is in an ideal situation, an identical degeneration of the polarization occurs. However, in realistic fibers, different velocities at the propagation of polarization occur due to random imperfections that break the circular symmetry. In this case, the components of a signal slowly separate and this, for example, causes the pulses to spread and overlap. Due to the randomness of the imperfections, the pulse spreading effects in SMF correspond to a random walk, and thus have a mean
polarization-dependent time-differential $\Delta T$ which is also known as the Differential Group Delay or DGD proportional to the square root of propagation distance $L$. Therefore, the PMD-induced pulse widening estimates are made using the following relationship [11]:

$$\Delta T = D_{PMD} \sqrt{L}$$

(10)

For long SMF, PMD values are calculated in the form of average DGD values using the following Equation [10],

$$\langle \Delta \tau \rangle = \sqrt{\frac{6}{3\pi}} \Delta \beta' \sqrt{l_c} \sqrt{z}$$

(11)

PMD can be also be calculated as a root mean square, (RMS),

$$\sqrt{\langle \Delta \tau^2 \rangle} = \Delta \beta' \sqrt{l_c} \sqrt{z}$$

(12)

where $T =$ total time delay, $D =$ dispersion parameter, $z =$ length of fiber, $l_c =$ length of coupling, $\tau =$ time delay.

3. Methods
The SMF parameters input simulated by Optifiber is shown in Table 1. The simulations were conducted to determine the SMF birefringence and PMD profile using the core and cladding parameters of each fiber with core diameter and cladding kept constant at 4.1µm and 62.5µm respectively. Moreover, the normalized frequency was maintained while the core and cladding refractive indices differentiating the fibers are presented in Table 1.

| Fiber Optic | Core ($n$) | Cladding ($n$) |
|-------------|------------|----------------|
| SMF 28      | 1.45213    | 1.44692        |
| SMF 28e     | 1.4677     | 1.4624         |
| SMF 28e+    | 1.45173    | 1.44602        |
| SMF 28e+LL  | 1.45223    | 1.44702        |
| SMF 28 ULL  | 1.44525    | 1.44002        |

The SMF profile was determined using the Refractive Index type Profile with regions 0 and 1 which served as the core and cladding parameters of optical fiber and pure Silica while Germanium material was used as positive dopants and Florin as negative. Moreover, the optical fiber mode used to produce an index capital at a given wavelength and to determine the fiber field capital was LP or Matrix Method with cutoff wavelength parameter indicated in the LP$_{01}$ and LP$_{11}$. In addition, the fundamental property mode simulation was also set to determine the default values of the material, bending, and loss parameters. Meanwhile, in the scan section, the wavelength was adjusted by a fixed option and the values used for the part of the parameters were 1.2 to 1.6 with 100 iterations.

The birefringence caused by parameter disturbances started with the determination of the photo-elastic constant of the fiber and was found to be of $3.44 \times 10^{11}$ m$^2$/kg·W, the Young modulus value of $7.75 \times 10^9$ kg·m$^2$, and the Poisson ratio of 0.164 extrinsic factors even though it was not counted as a dominant factor. Moreover, bending and stress in the fiber were also observed to have effects with the bending discovered to be 0.12m with a rolled fiber tension force of 0.5N. At the output section, a 0.4µm spectral range with 51 iterations was used while the PMD was obtained by adjusting the fiber length to 1000m, coupling length by 20m, and the spectral length was 0.1µm with 201 iterations.
4. Results and Discussion

The birefringence caused by extrinsic factors was simulated with bending and tension force of the circular fiber kept constant on all types of fibers with these parameters considered to have the same disturbance of all the samples to evaluate the effect of intrinsic factors. The results at SMF 28, 28e, 28e+, 28e + LL and 28 ULL are shown in Figure 1 respectively.

![Figure 1. Birefringence SMWF: (a) 28, (b) 28e, (c) 28e+, (d) 28e + LL, and (e) 28 ULL.](image)

Figure 1 shows slight changes in all curves and by describing the discrepancies using factor $10^{-3}$, the birefringence value was observed to be increasing with the wavelength (as the photon energy decreases) due to the difference in the second phase of the polarized wave while the DGD was discovered to be constant. The magnitude of birefringence at the wavelength of 1550nm fiber SMF 28 was $-5.1753668$ rad/m, SMF 28e had $-5.17534$ rad/m, SMF 28e + had $-5.17539$ rad/m, SMF 28e + had $-5.14879$ rad/m, and SMF 28 e + had $-5.175397$ rad/m. In addition, at SMF 28 ULL, the value was recorded to be greater than others and this means there was a large power reduction at this optical fiber output. It is important to note that the SMF polarized light was contributed by the magnetic and electric field. Furthermore, a greater value of birefringence or the difference in wave propagation constant was found to be causing more polarization in the optical fibers and this further led to a greater phase difference between the magnetic and electric field of light. Hence, the core is imperfectly shaped in a circle due to the bending and stress force when the fiber is rolled.

The simulation showed the extrinsic parameters of birefringence used in the same fiber produced different values due to the variations in the modes of each fiber and core as well as the cladding refractive indices as shown in Table 2.

| Fiber optic   | Birefringence (rad/m) | DGD (ps/km) |
|---------------|-----------------------|-------------|
| SMF 28        | -5.1753668            | -4.2590604  |
| SMF 28e       | -5.17534              | -4.25504    |
| SMF 28e+      | -5.17539              | -4.25901    |
| SMF 28e+LL    | -5.14879              | -4.25906    |
| SMF 28 ULL    | -5.175397             | -4.25906    |

These perturbations were accidentally introduced in the manufacturing process and later become a permanent feature of the fiber. Moreover, a noncircular core was found to have produced a geometric
birefringence while the nonsymmetrical field caused stress birefringence. The refractive index of isotropic fibers depended on the polarization and propagation direction of light and the maximum difference between these indices was exhibited by non-cubic crystal structures. In addition, their phenomena have double refraction divided into two rays with slightly different paths by polarization. The curves representing anisotropic fibers generally refract a single incoming ray in two directions and these correspond to the two different polarizations, uniaxial or biaxial fiber. In the uniaxial one, the ray behaves according to the normal law of refraction with correspondence to the ordinary refractive index and this further makes the incoming ray to be normal at both incidence and refracting surface. However, as previously explained, the other polarization deviated from normal incidence and this means impossible to describe it using the law of refraction. In this case, the polarization components are perpendicular or ordinary and not perpendicular or extraordinary to the optic axis respectively, even in situations without double refractions.

The fiber with a single direction or optic axis of symmetry in its optical behavior was also observed to be symmetrical to the index ellipsoid, a spheroid in this case and was described according to the refractive indices, $n_\alpha$, $n_\beta$ and $n_\gamma$, along three coordinate axes. However, two of these were discovered to be equal, therefore, if $n_\alpha = n_\beta$ corresponding to the $x$ and $y$ axes, the extraordinary index is $n_\gamma$ corresponding to the $z$-axis, which is also called the optic axis in this case. The wave consists of two polarization components generally governed by different effective refractive indices, and the material with the higher was discovered to have a slower phase velocity whole the other with the lower value was the fast ray.

As depicted in Table 2, the birefringence is positive when the extraordinary index of refraction $n_e$ is greater than the ordinary index $n_o$ while a negative value shows that $\Delta n = n_e - n_o$ is less than zero. This, therefore, means the polarization of the fast (or slow) wave is perpendicular to the optic axis at a positive birefringence (or negative, respectively).

The circular cores did not maintain a polarization input state for more than a few meters, and this means they are not perfectly circular. Moreover, the PMD value of the single-mode optical fiber was caused by the birefringence of the fiber and this means a variation in this factor led to the random changes in the PMD [8,9] based on the difference in the mode field diameter (MFD) of each fiber. In Figure 2, the PMD value fluctuated due to the variation in the birefringence value along the fiber with the wavelength. In addition, the polarization of the fiber was also discovered to have caused its dispersion while the difference in the birefringence was caused by the imperfections of the fiber core. Therefore, a greater value of birefringence led to more significant polarization as well as a great delay in the polarized wave at the output.

![Figure 2. PMD SMF: (a) 28, (b) 28e, (c) 28e +, (d) 28e + LL, and (e) 28 ULL.](image)
Table 3 describes the values of DGD and RMS for first and second-order dispersion. In the frequency domain, PMD caused the state of polarization at the output of the fiber to vary with frequency for a fixed input polarization in a cyclic fashion. Moreover, on the Poincare sphere display, the polarization at the output moves in a circle on the surface of the sphere as the optical frequency was varied. In addition, in the spectral simulation, a set of concatenated fiber trunk was randomly generated while the PMD was calculated over a range of wavelengths and DGD evaluated based on a stochastic fiber model (first-order PMD) to quantify the first order of PMD. However, the second-order showed the expression of first frequency derivative of the dispersion vector as a function of frequency and position. This means the first order curves explained more fluctuations as observed in the reduction of the PMD as the wavelength increases, but, the second-order curves are more slightly fluctuated over the wavelength and was recorded to have produced trends nearly constant compared to the first order.

| Fiber optic | Average of DGD (ps) | RMS (ps) |
|-------------|---------------------|----------|
|             | 1st order | 2nd order | 1st order | 2nd order |
| SMF 28      | 0.6977    | 0.1893    | 0.7106    | 0.2003    |
| SMF 28e     | 0.6630    | 0.1835    | 0.6940    | 0.2054    |
| SMF 28e+    | 0.6977    | 0.1893    | 0.7106    | 0.2003    |
| SMF 28e+LL  | 0.6247    | 0.1889    | 0.6639    | 0.2053    |
| SMF 28 ULL  | 0.6523    | 0.2288    | 0.6706    | 0.2586    |

The symmetry-breaking random imperfections were classified a geometric asymmetry as observed in the slightly elliptical cores or stress-induced material birefringence, where the polarization that occurs affects the refractive index itself. Imperfections and asymmetries in manufacturing (which is never perfect or free of stress) causes both effects occur, as well as other effects of thermal and mechanical stress imposed on the fiber in the field - in addition, the final voltage generally vary from time to time.

A related effect is a polarization-dependent loss (PDL) which involves two polarizations suffering different rates of loss in the fiber due to asymmetries. This factor similarly degraded the signal quality. It is important to note that a circular core is not required to have two degenerate polarization states but there is a need for a core with a symmetry group that recognizes that reduction events cannot occur based on a two-dimensional representation. For example, the general shape of a square or equilateral triangle core in a photonic crystal fiber having two equal velocity polarization solutions for the fundamental mode. However, any random imperfections that break the symmetry have the ability to cause PMD in such a waveguide.

The PMD has random and time-dependent effects; therefore, there is a need for an active device to respond to feedback over time. Such systems are expensive and complex combined with the fact PMD is not of the commonly used limiting factor in the lower data rates. Therefore, PMD-compensation systems have not been widely deployed in large scale telecommunications systems. The output of the fiber was essentially divided Differential delay is applied to resynchronize the two main polarizations of the optical fiber output which have no first-order delay time variation with frequency. Currently, the disadvantages and high costs that arise are practical problems that are owned by these optical fibers. Single polarization optical fiber becomes an extension of this idea where the fiber only allows one state of the two main polarizations to spread out, while the other escapes because it is not guided.

5. Conclusion

The occurrence of birefringence in optical fibers is influenced by internal and external factors such as bending and tension forces. This, therefore, changes the cores from circular to ellipses and this makes light waves experienced an elliptical or circular polarization to produce two waves in different phases.
Moreover, SMF 28 ULL was discovered to have the highest birefringence value while the lowest was recorded at SMF 28e + LL. Birefringence can cause PMD. SMF 28 has the largest PMD value with a value of 0.69770237ps compared to other optical fibers and this consequently led to a large pulse widening at cladding with a low bit rate.

Acknowledgment
The authors are grateful to the Ministry of Education and Culture of Indonesia and Universitas Riau for providing financial support and grant through the PenelitianDasarKompetisiNasional scheme for 2020.

References
[1] Kaminow I P 1981 Polarization in optical fibers *IEEE J. Quantum Electron* 17 15–22
[2] Irawan D, Saktioto T, Ali J and Yupapin P 2015 Design of Mach-Zehnder interferometer and ring resonator for biochemical sensing *Photonic Sens* 5(1) 12–8
[3] Yupapin P, Saktioto T and Ali J 2010 Photon trapping model within a fiberbragg grating for dynamic optical tweezers use *Microwave Opt. Technol. Lett* 52(4) 959–61
[4] Irawan D, Saktioto T, Ali J and Fadhali M 2013 Birefringence analysis of directional fiber coupler induced by fusion and coupling parameters *Opt* 124(17) 3063–66
[5] Saktioto T, Ali J, Fadhali M, Rahman R A and Zainal J 2008 Modelizing of coupling coefficient as a function of coupling ratio *Proc. SPIE–Int. Soc. Opt. Eng* 7155
[6] Chowdhury D Q and Nolan D A 1995 Perturbation model for computing optical fiber birefringence from two-dimensional refractive-index profile *Opt. Lett* 20 1973–75
[7] Sakai J and Kimura T 1981 Birefringence and polarization characteristics of single-mode optical fibers under elastic deformations *IEEE J. Quantum Electron* 17(6) 1041–51
[8] Wuilpart M, Rogers A J, Defosse Y, Mégrét P and Blondel M 2000 Measurement of the spatial distribution of birefringence in optical fibers *IEEE Photonics Technol. Lett* 13(18) 836–38
[9] Chaudhary K, Rosalan S, Aziz M S, Bohadoran M, Ali J, Yupapin P P, Bidin N and Saktioto 2015 Laser-induced graphite plasma kinetic spectroscopy under different ambient pressures *Chin. Phys. Lett* 32(4)
[10] Foschini G J and Poole C D 1991 Statistical theory of polarization dispersion in single mode fibers *J. Lightwave Technol* 9 1439–56
[11] Khare R P 2004 *Fiber optics and optoelectronics* Oxford University Press
[12] Poole C D and Nagel J 1997 Polarization effect in lightwave systems in Optical Fiber Telecommunications IIIA Kaminow I P and Koch T L Eds *Academic
[13] Tahir B A, Ali J, Saktioto, Fadhali M, Rahman R A and Ahmed A 2008 A study of FBG sensor and electrical strain gauge for strain measurements *J. Optoelectron. Adv. Mater* 10(10) 2564–68