Development and implementation of tPA clot lysis activity assay using ACL TOP™ hemeostasis testing system in QC laboratories

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ARTICLE INFO

Keywords:
Potency assay
Clot lysis
Comparability
Automation

ABSTRACT

This report describes the design, development, validation and long-term performance of tPA clot lysis activity assay using Advanced Chemistry Line Total Operational Performance (ACL TOP)™ Hemeostasis Testing System. The results of the study demonstrated robust and stable performance of the analytical method. The accuracy of the assay, expressed by percent recovery is 98–99%. The intermediate precision and repeatability precision, expressed as Relative Standard Deviation (RSD), was 3% and less than 2% respectively. The validated range is from 70% to 130% of the target potency of 5.8 × 10^5 IU/mg. The linearity of this range, expressed in correlation coefficient, is 0.997. After the assay is transferred to a QC laboratory, the assay retained high accuracy and precision with a success rate of > 99%.

1. Introduction

Recombinant human tissue Plasminogen Activator (rt-PA) is a serine protease with a molecular weight of about 60,000–110,000 Da [1,2]. It proteolytically converts plasminogen to active plasmin that in turn degrades insoluble fibrin to soluble by products [3,4]. This property has been shown to provide both clinical and cost effectiveness for the treatment of stroke [5]. Since the registration of rt-PA, many variants that confer the clot lysis therapeutic activity have been reported [6]. The activity of rt-PA can be determined by measuring the lysis of a synthetic fibrin clot over time [7,8]. The in vitro potency measurement relies on simultaneous clot formation and clot lysis reactions triggered by mixing fibrinogen, plasminogen, thrombin and tPA together at once (Fig. 1). In practice, this is achieved by sequential addition of rtPA, plasminogen, thrombin and finally, fibrinogen, which triggered the clot formation and the following clot lysis cascade. The change in turbidity of the clot is monitored and the time needed to achieve a predetermined level of reduction in turbidity is used as a measurement of potency. Different forms of potency assays using the kinetic method have been developed; including a microcentrifugal analyzer (MCA, also referred to as the Monarch, manufactured by Instrumentation Laboratory) based semi-automated method [9], and plate-based methods [10]. The accuracy and precision of the protein activity determination is crucial to ensure the efficacy and safety of the therapeutic product, because the thrombolytic drugs can cause serious bleeding in the brain, which can be fatal (for a recent review: [11]).

A new type of homeostasis analyzer with automation capability was introduced by Instrumentation Laboratory. The ACL TOP system is a fully-automated stand-alone random-access multiparameter coagulation analyzer [12]. The optical reading unit allows 16 simultaneous reaction readings at two currently available wavelengths i.e. 405 and 671 nm. The cuvette loading area, located on the left side of the instrument, can be filled, even while running, with up to 20 clips of 10 cuvette-strips each for a total of 800 cuvettes (4 cuvettes per strip). A conveyor belt moves the cuvette-strips to a cuvette shuttle that places them in position to be used by the analyzer for sample handling. Up to 120 samples can be loaded at once using the rack system (12 racks of 10 tubes each). Technical evaluation has indicated the reliability of ACL TOP analyzer for clinical homeostasis testing [13].

A potency assay intended for lot release must meet pre-defined validation acceptance criteria of specificity, accuracy, precision, linearity, and range in accordance with ICH guidelines. For potency assays supporting marketed products, method comparability to the current assay must be demonstrated. Lastly, to reduce human error and ergonomic risk, new analytical methods should be automated if possible. This report describes the development and validation of an automated clot lysis activity assay using ACL TOP analyzer, with characterization of critical parameters and assessment of robustness of assay. Since the development, validation and transfer of the assay, it was accepted by multiple health authorities and proven to be highly consistent and reliable in supporting GMP activities. Although the method was developed for enzymatic clot lysis, the principle and setups can be applied to establish other functional assays as well.
2. Materials and methods

2.1. Critical reagents

Recombinant human fibrinogen, thrombin, and plasminogen were purchased from Calbiochem. The recombinant human tissue plasmin activator samples and reference standard were manufactured by Genentech. Instrument specific proprietary cleaning reagents (labeled as cleaning A and B reagents and rinse solutions) used for the ACL TOP instrument were purchased from Instrumentation Laboratory (Bedford, MA).

2.2. Final format

The automated clot lysis method utilizes the pre-dilution function of ACL TOP and each concentration is programmed into each test. Briefly, in house reference standard for the active pharmaceutical ingredient (API), control and samples are first diluted to 40 μg/mL with assay buffer, loaded into the ACL TOP sample chamber and recorded by the ACL TOP software as “patients”. Standards are generated by 5 tests, each of which specifies a dilution level during the pre-dilution step with assay buffer. Control, samples and blank are tested at the three middle dilution levels (corresponding to 3333.3 ng/mL, 2222.2 ng/mL, 1111.1 ng/mL of the reference standard). Twenty μL of diluted standards, samples and control are then loaded into individual cuvettes and mixed with 20 μL of 33 Units/mL thrombin. The clot formation/lysis reaction starts as soon as 200 μL of a cocktail of plasminogen (36 μg/mL)/fibrinogen (2.2 mg/mL) is added into the cuvettes. Absorbance at 405 nm is monitored from 10 s to 700 s after initiation of the reaction. Lysis time is determined using the 50% threshold of the absorbance reading. Standard curve is generated by linear regression of log(lysis time) against log(rt-PA concentration). The potency of control and samples is then calculated by interpolating lysis time readout on the standard curve.

2.3. Potency calculation and statistics

The clot lysis activities of control and samples using standard curve was calculated by parallel line analysis described in USP Chapter 1032, Design and Development of Biological Assays [14]. The potency values for samples and control were calculated by multiplying the potency estimate from PLA by the specific activity of the reference standard (5.8 × 10^5 IU/mg, reference [17]) used in the standard curve.

2.4. DOE for range finding and robustness confirmation

All Design of Experiments (DOE) for range finding and robustness studies were created using JMP version 7.0 or 8.01 with the Customer Design function. Statistical significance was determined with α = 0.05.

2.5. Comparability study

Forty-four samples were tested using the licensed procedure and the ACL TOP automated method. Samples included drug substance as well as various configurations of drug products under normal storage as well as stressed conditions, including heat, light exposure, low and high pH, and oxidation (with 2,2′-Azobisis(2-azidopropane) dihydrochloride) treatments, as described in ICH Harmonized Tripartite Guideline, Stability Testing of New Drug Substance and Products Q1A(R2), current Step 4 version, dated 6 February 2003. The mean of the paired difference of the results as well as the 95% confidence interval of the mean difference was calculated and compared to a pre-determined maximum allowable difference by Two sided t Test (TOST). The two methods were considered comparable if the 95% confidence interval falls within the maximum allowable difference [15].

2.6. Validation of the method

Method validation was performed in accordance with the International Conference on Harmonisation (ICH) Guideline on the validation of analytical procedures (ICH Q2(R1)). Briefly, accuracy, intermediate precision, linearity and range were determined using samples of 70%, 85%, 100%, 115% and 130% of the target concentrations from results of 24 assays performed by three analysts on two instruments. Robustness evaluation was based on ANOVA with 95% confidence interval. Results are compared to pre-determined acceptance criteria in% recovery for accuracy, % relative standard deviation for precision, coefficient of determinations (R^2) for linearity. Variant component analysis was performed to determine the contribution of analyst, day, and instrument to variability.

3. Results and discussion

3.1. Design of the clot lysis assay

The purpose of establishing a potency assay was to assess quality attribute of the therapeutic protein, not demonstrating the efficacy. While the efficacy of tPA has been demonstrated by the resolution of preformed clot, the potency assay design was based on simultaneous clot formation and clot lysis for the consistency and reproducibility of the reaction. A semi-automated potency method using the Monarch system and a plate based manual method have been described previously for lot release and stability testing for tPA (references [9] and [10]).

3.2. Adapting ACL TOP for in house clot lysis assay

3.2.1. Selection of wavelength

The ACL TOP instrument is capable of monitoring two wavelengths: 671 nm and 405 nm. The clot lysis curves were monitored using both wavelengths for two tPA concentrations. The results indicate much stronger absorption signals at 405 nm than at 671 nm (Fig. 2). Based on the result, the wavelength of 405 nm was selected for the analytical method.

3.2.2. Selection of rt-PA concentrations

A large linear range of dose response, from 400 ng/mL to 10 μg/mL (corresponding to 30–830 ng/mL rt-PA in the final reaction buffer), was demonstrated for tPA (Data not shown). Several factors were considered to select the tPA concentrations for standard [1] Dilution capability of ACL TOP. The maximum capacity within one single dilution step is 148X by the ACL TOP instrument; [2] Even spacing between concentrations on the standard curve. Because the response curve is...
plotted on log scale, the doses should also be distributed on log scale to avoid bias toward any segment of the curve; and [3] Aberrant clot lysis profile for high tPA concentrations. In several occasions, aberrant clot lysis curves were observed with tPA concentration higher than 5 μg/mL. The final concentrations selected for standard were 5000.0 ng/mL, 3333.3 ng/mL, 2222.2 ng/mL, 1111.1 ng/mL and 987.7 ng/mL (Fig. 3). The coefficient of correlation ranged from −0.997 to −1.000 with the final format.

To increase number of samples that can be analyzed within an assay, it is desirable to use minimal number of concentration points for each sample and control. The potency values calculated using 5 concentration points or 3 concentration points were compared using reference material as sample. The potency values from 5 points and 3 points were comparable. Based on the result, the use of minimal concentration points (3 points) was chosen for the sample and control.

3.2.3. Critical reagents

Early development work to optimize concentration of each critical reagent used in the assay shows a strong correlation of plasminogen and fibrinogen on lysis time as well as the profile of clot lysis curve, while thrombin has minimal impact on both [9,16,17]. A DOE was then created to verify the robustness of plasminogen and fibrinogen concentration. Two readouts were examined: lysis time and sample value, which was measured using assay control. For the concentration range of 0.5–3.0 mg/mL Fibrinogen and 4–150 μg/mL plasminogen, the interaction between the two reagents had a significant impact on lysis time; however, the impact is not statistically significant for the relative potency readout of a sample (p > 0.05; data not shown). The results were consistent with previous publication [9].

3.2.4. ACL TOP parameters

Parameters used in the automated dilution steps were evaluated for their impact on assay control results. The DOE analysis shows none of the factors has statistically significant impact on the sample readout (i.e. none of the factors had a p value < 0.05)

Since the rinse and clean step after the loading of assay buffer is critical for removing residual tPA from dilution to dilution, the parameters used in this step is evaluated for its robustness. A DOE was
The success rate of the ACL TOP method was 100%.

Within the assay must be within 15%. Under these provisional criteria, the correlation coefficient of the standard must be between 0.995 and 1.000. The correlation coefficient for majority of samples in the development study was also between −0.995 and −1.000, with few of them falling between −0.990 and −0.995 without apparent causes. This is because samples contain only three concentrations whereas standard contains five concentrations; therefore, the likelihood for the correlation coefficient of samples to fall outside of the range of −0.995 to −1.000 is predicted to be higher than that of the reference standard. The slope ratio distributions were largely between 0.80 and 1.20. The slope ratio distributions were largely between 0.80 and 1.20. The slope ratio distributions were largely between 0.80 and 1.20.

3.2.5. System suitability criteria

The system suitability criteria used throughout the development study were the same as the licensed manual assay. The criteria are [1] the correlation coefficient of the standard must be between −0.995 and −1.000 [2] the control value must fall within range of established mean ± 15% and [3] the CV of the control and sample replicates within the assay must be within 15%. Under these provisional criteria, the success rate of the ACL TOP method was 100%.

Because the automated method exhibited much better precision profile than the licensed manual method (data shown below) the precision acceptance criteria may be tightened to reflect the assay performance. The distributions of control values and%CV for samples and control were evaluated. The 95% prediction interval was approximately mean ± 8%. Based on the evaluation, the system suitability criteria for control range was proposed to be mean ± 8%. The correlation coefficient of the standard would remain the same. Since parallel line analysis (PLA) would be used to calculate potency results, a new set of system suitability criteria was to be implemented to replace the CV criteria for the replicates (described below).

As a prerequisite parallelism determination, a regression/linearity determination for the sample as well as reference standard was determined in order to verify the linearity of the dose responses. The correlation coefficients for standard in the development study were all between −0.995 and −1.000 with very few exceptions where aberrant clot lysis curves were observed. Therefore, the acceptance criterion of correlation coefficient for standard is set at between −0.995 and −1.000. The correlation coefficient for majority of samples in the development study were also between −0.995 and −1.000, with few of them falling between −0.990 and −0.995 without apparent causes. This is because samples contain only three concentrations whereas standard contains five concentrations; therefore, the likelihood for the correlation coefficient of samples to fall outside of the range of −0.995 to −1.000 is predicted to be higher than that of the reference standard. The slope ratio distributions were largely between 0.80 and 1.20. The 95/99 tolerance interval was 0.83–1.17. To produce geometrically symmetric criterion, a limit of 0.83–1.20 is proposed for the method.

3.3. Analytical method validation

The accuracy of the method was determined at 70–130% of the target potency by preparing 70–130% of the target concentration using reference material as a sample. Each sample was tested by three analysts who each performed eight assays using two instruments for a total of 48 assays. The recovery study was performed by three analysts using two instruments over 8 independent assays. All results are reported from valid assays that passed all system suitability criteria.

| Table 1 |
|---|
| **Recovery Study.** |
| **Analyst** | **Assay** | **Instrument** | **Potency (×10^5 IU/mg)** |
| | | | **130%** | **115%** | **100%** | **85%** | **70%** |
| 1 | 1 | 1 | 7.7552 | 6.7764 | 5.5017 | 4.9011 | 4.0139 |
| 2 | 2 | 2 | 7.1465 | 6.2950 | 5.8276 | 4.7577 | 3.9402 |
| 3 | 1 | 2 | 7.4216 | 6.5590 | 6.0203 | 4.9451 | 4.1253 |
| 4 | 2 | 2 | 7.2003 | 6.4968 | 5.7958 | 4.7743 | 4.0228 |
| 5 | 1 | 1 | 7.9173 | 6.5266 | 5.6373 | 4.7272 | 3.8350 |
| 6 | 2 | 2 | 7.4679 | 6.5898 | 5.8274 | 4.9749 | 4.1294 |
| 7 | 1 | 1 | 7.4625 | 6.6443 | 5.5970 | 4.9066 | 3.9890 |
| 8 | 2 | 2 | 7.5151 | 6.4691 | 5.6157 | 4.7409 | 3.9496 |

The recovery study was performed by three analysts using two instruments over 8 independent assays. All results are reported from valid assays that passed all system suitability criteria.

| Table 2 |
|---|
| **Repeatability Precision.** |
| **Sample ID** | **Potency (×10^5 IU/mg)** |
| | **Assay 1** | **Assay 2** | **Assay 3** |
| Sample Position 1 | 6.2103 | 6.0051 | 6.3268 |
| Sample Position 2 | 6.0590 | 5.8614 | 6.2202 |
| Sample Position 3 | 6.1222 | 5.9693 | 6.2176 |
| Sample Position 4 | 6.0477 | 5.8331 | 6.0152 |
| Sample Position 5 | 6.0008 | 5.9314 | 6.1535 |
| Sample Position 6 | 6.0861 | 5.8360 | 6.1851 |
| Mean | 6.09 | 5.91 | 6.19 |
| Standard Deviation | 0.07 | 0.07 | 0.10 |
| Relative Standard Deviation (%) | 1 | 1 | 2 |

Repeatability study was performed by one analyst using one instrument to evaluate variability within a single run (6 sample positions). The experiment was repeated in three different assays.

designed to evaluate the hold time for the cleaning reagent (5–15 s), volume of the cleaning reagent (150–250 μL), air gap (10–20 μL) and agitation settings (off and on). None of the factors had a significant impact on the assay control value.
of 24 assays. Standard, control and sample dilutions were prepared independently for each assay. Nominal potencies reported in Table 1 are expressed in IU/mg. The mean recovery at each concentration was calculated by dividing the mean measured potency by the expected potency. The mean recovery at 100% of the target potency was 98%, and the mean recoveries at 70, 85, 115, and 130% of the target potency ranged from 98 to 99%. These values are well within the accuracy calculated by dividing the mean measured potency by the expected potency. The mean recovery at 100% of the target potency was 98%, and the mean recoveries at 70, 85, 115, and 130% of the target potency ranged from 98 to 99%. These values are well within the accuracy

| Sample          | Source of Variance | Relative Standard Deviation (%) |
|-----------------|--------------------|---------------------------------|
| Control         | Analyst-to-analyst | 1                               |
|                 | Day-to-day within Analyst | 1                              |
|                 | Instrument-to-instrument within days | 2                             |
|                 | Overall            | 3                               |
| 70% of Target   | Analyst-to-analyst | 0                               |
|                 | Day-to-day within Analyst | 0                              |
|                 | Instrument-to-instrument within day | 3                             |
|                 | Overall            | 3                               |
| 85% of Target   | Analyst-to-analyst | 0                               |
|                 | Day-to-day within Analyst | 1                              |
|                 | Instrument-to-instrument within day | 2                             |
|                 | Overall            | 3                               |
| 100% of Target  | Analyst-to-analyst | 0                               |
|                 | Day-to-day within Analyst | 2                              |
|                 | Instrument-to-instrument within day | 2                             |
|                 | Overall            | 3                               |
| 115% of Target  | Analyst-to-analyst | 0                               |
|                 | Day-to-day within Analyst | 1                              |
|                 | Instrument-to-instrument within day | 2                             |
|                 | Overall            | 2                               |
| 130% of Target  | Analyst-to-analyst | 0                               |
|                 | Day-to-day within Analyst | 2                              |
|                 | Instrument-to-instrument within day | 3                             |
|                 | Overall            | 4                               |

The analysis was based on the data generated in the recovery study (Table 1) with three analysts, 2 instruments over 8 independent assays (one assay per day). Variance component analysis was performed to estimate the variance contributed by each factor.

Fig. 4. Linearity of the potency assay plotted for the expected potency values against the observed potency values. The potency values were generated using the ACL TOP method as described. The error bars indicated the standard deviation at each concentration.

Fig. 5. Stability indicating profiles for the automated assay (upper panel) and the manual method (lower panel). The conditions are 1. Control, 2. Thermal treatment 4 days at 40 °C, 3. Thermal treatment 7 days at 40 °C, 4. Control for light exposure, 5. Light exposure, 6. Control for agitation, 7. Agitation treatment, 8. pH4 treatment for 7 days at 30 °C, 9. pH10 treatment for 7 days at 5 °C, 10. Control for H2O2 treatment, 11. Oxidation with 1000 ppm H2O2, 12. Control for AAPH treatment, and 13. Oxidation with 10 mM AAPH.
acceptance criterion (95–105% recovery).

Repeatability was determined using the assay control as a sample. Intra-assay RSD values between the 6 sample results from three independent assays were 1%, 1% and 2% (Table 2). All of these values are within the acceptance criterion of RSD ≤ 5% and demonstrate the repeatability of the assay.

The intermediate precision of the assay was determined using data from the recovery study in the accuracy study by evaluation of overall assay-to-assay variation as well as the variances contributed by components such as analyst, day, and instrument. Since all assays in the recovery study were performed using independently prepared samples, the instrument-to-instrument variance contains the variance of sample preparation. As shown in Tables 1 and 3, the overall assay-to-assay, analyst-to-analyst, day-to-day within analyst and instrument-to-instrument RSD values for the target sample were 3%, 0%, 2% and 2%, respectively. The overall assay-to-assay RSD values for the samples at 70, 85, 115 and 130% of the target ranged from 2 to 4%. The analyst-to-analyst RSD values for the samples at 70, 85, 115 and 130% of the target sample were less than 1%. The day-to-day within analyst RSD values for the samples at 70, 85, 115 and 130% of the target sample ranged from 0 to 2%. The instrument-to-instrument RSD values for the samples at 70, 85, 115 and 130% of the target sample ranged from 2 to 3%. All these values are well within the acceptance criterion of RSD ≤ 5%.

The data from the recovery study were analyzed to determine the linearity of the method. The mean measured potencies (n = 24) obtained for each of the samples were plotted against the expected potencies (Fig. 4 linearity). The correlation coefficient (r) obtained was 0.997, the slope is 0.97, the Y intercept was 0.05 × 105 IU/mg, and the residual sum of square was 0.003. The recovery at each concentration ranged from 98 to 99% (Table 1). This result meets the acceptance
criterion of correlation coefficient ($r$) $\geq 0.99$.

The data from the recovery study were analyzed to determine the range of the method (Table 1). The validated range of the assay is determined to be $4.06-7.54 \times 10^5$ IU/mg based on the accuracy, precision, and linearity data from the recovery study.

The specificity of the method was evaluated in two ways: first by evaluating the activity of 10 Genentech commercial products (including enzymes, hormone and antibody products) in the assay; and second, by evaluating the effect of these materials on clot lysis activity. Products that did not induce clot lysis were considered to have no activity in the assay. Except for a related clot lysis enzyme (a modified rtPA), all products tested showed no activity in the assay. To evaluate the effects of other Genentech products on rt-PA potency, each product was spiked into the 40 $\mu$g/mL rt-PA sample to achieve a final concentration of 40 $\mu$g/mL for the non-rt-PA product. The potency of rt-PA ranged from 5.56 to $6.05 \times 10^5$ IU/mg when tested in the presence of the materials, with the exception of the related enzyme. This closely related enzyme was shown to have an additive effect on clot lysis assay. In conclusion, the potency method does not detect clot lysis activity with any other product manufactured in the same facility except for a closely related molecule. The assay meets acceptance criteria for specificity.

The ability of the method to detect changes in the activity of rt-PA subjected to various stress conditions was evaluated. The conditions include heat, light exposure, low and high pH, and oxidation (with 2,2'-Azobis(2-aminopropane) dihydrochloride) treatments. The results are summarized in Fig. 5. Statistical analysis was performed based on ANOVA to determine if the potency of the stressed sample is significantly different from that of the control ($P < 0.05$). The results demonstrate that the potency method can detect changes in activity of rt-PA samples subjected to heat at 40 °C for 4 and 7 days, intense light (1.2 million lux hour) and acidic pH (pH4) conditions tested in the stability panel.

3.4. Comparability strategy and result

Head-to-head comparison of the reportable results obtained using the licensed manual method and the automated methods were performed. Samples evaluated for comparability included bulk drug substance, all configurations of drug product, stressed stability samples (Fig. 5) as well as recovery samples containing 60–140% of target concentrations tested in the method validation study. Potency values ranged from 3.82 to $7.63 \times 10^5$ IU/mg (determined by the previously registered method), representing approximately 70%–130% of the potency value of the reference material. The absolute mean difference in the reportable results was determined as 0.03 $\times 10^5$ IU/mg. The upper and lower limits of the 95% confidence interval of the mean difference are $-0.07 \times 10^5$ IU/mg and $0.02 \times 10^5$ IU/mg respectively demonstrated the comparability of the method. There was no change in stability indicating profile. However, the loss of potency is more readily detected using the automated method due to enhanced precision profile (Fig. 5).

Distribution of reportable results obtained using automated method against those obtained using the licensed manual method is examined across the potency range of the 44 samples (Fig. 6). By visual examination the distribution of the differences is random across the entire range. No bias against the higher or lower end of the potency value was observed. The result further supports the comparability of the two methods (Fig. 7). As a result, there was no change in potency acceptability criteria proposed for any of the TPA DS or DP configurations.

3.5. Analytical method monitoring result

The assay performance was monitored after assay transfer into a routine testing laboratory. A product control whose potency value was determined in the originating laboratory was tested with samples in every assay as a system suitability acceptance criterion in the recipient laboratory. The long-term mean is within ±1% of the original mean, and the intermediate precision, expressed in RSD, was 3%, which is consistent with the results generated during assay validation (Fig. 8). The success rate of the assay is 99%. Taken together, these results indicate the reproducibility and robustness of the system.

4. Conclusion

An automated clot lysis method has been developed using ACL TOP for routine quality control purpose. The system significantly improves precision and robustness but is comparable in relative potency readout to the manual assay. The assay retains consistent performance two years after it was transferred from the development laboratory to a QC laboratory.

Conflict of interest

None.

Acknowledgements

The author would like to thank the technical support of Ariel Margulis, Ai Shih, and Helen Kumagai; information technology support of Faqo Manson, statistical design by Joseph Marhoul; and Genentech QC laboratory in Vacaville. This research did not receive any specific grant from funding agencies in the public, commercial, or not-for-profit sectors.

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