Optical transceivers for event triggers in the ATLAS phase-I upgrade

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Abstract

The ATLAS phase-I upgrade aims to enhance event trigger performance in the Liquid Argon (LAr) calorimeter and the forward muon spectrometer. The trigger signals are transmitted by optical transceivers at 5.12 Gbps per channel in a radiation field. We report the design, quality control in production and ageing test of the transceivers fabricated with the LOCld laser driver and multi-mode 850 nm vertical-cavity surface-emitting laser (VCSEL). The modules are packaged in miniature formats of dual-channel transmitter (MTx) and transceiver (MTRx) for the LAr. The transmitters are also packaged in small form-factor pluggable (SFP) for the muon spectrometer. In production, the LOCld chips and VCSELS in TOSA package were examined before assembly. All of the modules were tested and selected during production for quality control based on the eye-diagram parameters of outputs. The yield is 98 % for both the MTx and MTRx on a total 4.7k modules. The uniformity of transmitter channels of a MTx was assured by choosing the TOSA components with approximately equal light powers. The ageing effect is monitored in burn-in of a small batch of transmitter modules with bit-error test and eye-diagrams measured periodically. The observables are stable with the light power degradation within 5 % over a period of more than 6k hours.

1. Introduction

The ATLAS Phase-I upgrade aims to enhance event trigger performance [11] in the Liquid Argon (LAr) calorimeter [2] and the forward muon New Small Wheel spectrometer (NSW) [3]. The trigger signals are transmitted by customized optical transceivers at 5.12 Gbps. These devices include the dual-channel miniature optical transmitters (MTx) and transceivers (MTRx) [4, 5]. The LAr upgrade requires (including spares) 3240 MTx and 810 MTRx modules for data transmission and clock/control signals, respectively, being installed on 150 LAr Trigger Digitizer Boards (LTDBs) [6]. The NSW requires 600 MTx’s (including spares) on the 256 trigger router boards [7].

The MTx and MTRx modules are developed with multi-mode 850 nm VCSEL (vertical-cavity surface-emitting laser) for data transmission over a distance of a few hundred meters. The packaging of VCSEL and photo-detector in TOSA/ROSA (Transmitter/Receiver Optical Sub Assembly) formats are chosen for light coupling to fiber-optic cables with LC connectors.

These modules are required to operate for more than ten years in a harsh radiation environment. All of the opto-electronics have been studied for radiation hardness [8, 9]. The laser driver employed for the transmitter channel is the LOCld [10], which is a custom-developed ASIC fabricated in 0.25 µm Silicon-on-Sapphire (SoS) CMOS process. It is packaged in QFN-40 format for assembly. The type of ROSA for the receiver channel on a MTRx module is a customized package with the photodiode current collected by a CERN developed GBTIA optical receiver [11].

In the following, we discuss the module design and production process of the MTx and MTRx. In Sec. 2 the device assemblies are described. The quality assurance of components including the TOSAs and LOCld chips is discussed in Sec. 3. In production, all modules were examined for eye-diagrams at 5.12 Gbps for the LAr, and 10 Gbps for the NSW, respectively. The quality control on modules is discussed in Sec. 4. The uniformity of transmitter channels with large deviation on light power is analyzed. Results are reported in Sec. 5. A small batch of MTx’s is monitored in ageing test. The observables are presented in Sec. 6 for an accumulated...
period of over 6k hours. A short summary is given in Sec. 7.

2. The MTx and MTRx modules

The MTx and MTRx are designed for the restricted height of 6 mm on the LAr trigger digitizer boards. These modules have the same mechanical assemblies, which are shown in Fig. [1]. The pair of TOSAs on a MTx module, and the TOSA and ROSA on a MTRx are held by a latch for plug-in of fiber-optic cables terminated with LC-type ferrules. The MTx modules on the NSW router boards are mounted surrounding the detector in open space. The assembly is made in metallic SFP (small form-factor pluggable) package for the convenience of mechanical strength and easier plug-in of fiber-optic cables. The MTx in SFP format and the test carrier board are also shown in Fig. [1].

Figure 1: The MTx and MTRx modules of lateral dimension of 15 mm × 44 mm are packaged with a high-density connector (LSHM-120-02.5-L-DV-A-N-TR, SAMTEC) and a customized LC latch to a total stack height of 6 mm. The pictures are shown for a) the front side of a MTx, and b) the back side adhered with a thermal conductive pad (H48-2K, t-Global). The front side of a MTRx is shown in c). The assembly of a MTx in SFP format is shown in d). The test setup of a SFP type MTx mounted on a carrier board is illustrated in e). The carrier board provides connection to differential inputs and I2C interface to an USB module for LabVIEW control on a PC.

The LOCld laser driver is designed with an I2C interface for configuration of the VCSEL bias current, modulation, and peaking of light output. The configuration is set uniformly for all modules in tests, with the bias current to VCSEL of around 6.5 mA. The type of TOSA employed (TTR-1F59 of the Truelight Corp.) has a light output specification of 0.54−1.02 mW at 6 mA.

The circuitry of modules is optimized for speed performance, with the PCB made of FR-4 material and the passive components specified for 10 Gbps. The transmitter channels are evaluated for 10 Gbps data transmission. Shown in Fig. 2 is the eye-diagram of a typical transmitter output. The mask margin observed is 18%.

3. Quality assurance of components

The transmitter speed required for the ATLAS Phase-I applications is 5.12 Gbps. The fabrication procedure has imposed selection criteria on components, in particular for the TOSAs and the LOCld chips.

Each QFN-40 packaged LOCld chip is tested in a matching socket with contacts to the electrical pads of the chip. The test kit is shown in Fig. [3]. The currents of two power supply voltages, 2.5 V and 3.3 V, are measured. The VCSELs to be driven are biased from the
3.3 V, and the modulations configured by the I²C interface of the chip. The I²C read/write is conducted with an USB-to-I²C adapter connected to a PC. With the total of 7200 chips tested, 26% had failed due to damages in CMOS fabrication or chip packaging process.

The TOSAs acquired for assembly were examined for light output versus current (L-I characteristics). The TOSA light power is measured with a multi-mode fiber connected to a photo detector. The L-I distributions are shown in Fig. 4. The threshold currents of VCSELs are distributed narrowly around 1.2 mA. The large deviation on slope efficiency is caused by offset in alignment of the VCSEL to the TOSA lens and the systematics on joining the fiber connector to the TOSA. The TOSAs used for module assembly are chosen for the light power between 550 µW and 800 µW at 6 mA.

The fabrication of MTx and MTRx modules proceeded with pioneer runs of a few hundred pieces, prior to the mass production. The module PCBs assembled with LOCld were examined before TOSAs/ROSAs were soldered on, for the currents of the LOCld and the I²C configuration. The two TOSAs on a MTx were paired for approximately equal light powers within 3% at 6 mA.

The fully assembled modules were examined with each transmitter channels measured for the light power level, optical eye-diagram, and bit-error-rate (BER) at 10 Gbps of below $10^{-12}$. A very small fraction (<0.5%) of the transmitter channels failed on BER, due to errors in the LOCld data links or outputs. Such defects could not be detected till after the TOSAs were mounted.

4. Quality control of modules

The MTx/MTRx modules being implemented on the LAr trigger boards are located inside the detector not easily accessible. The quality control requires each module be examined for data transmission at the operation speed of 5.12 Gbps. The bench test setup for quality control is shown in Fig. 5.

The test conducted to a transmitter channel is configured with input of a pseudo-random bit sequence of $2^{27} - 1$ word length from a pattern generator (PCB12500, Centellax). The optical output is examined with the eye-diagram measured by a sampling oscilloscope (TDS8000B with 80C08C, Tektronix). For the receiver channel of a MTRx, the optical signal from the transmitter channel is looped back to the receiver input. The electrical eye-diagram is examined with a real-time oscilloscope (DSA72004B with a differential probe P7380SMA, Tektronix).

![Quality control setup diagram](image)

Figure 5: The test bench is shown for quality control measuring transmitter optical outputs and receiver signals of MTx and MTRx modules. The setup schematics is also shown.

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3.3 V, and the modulations configured by the I²C interface of the chip. The I²C read/write is conducted with an USB-to-I²C adapter connected to a PC. The LOCld configuration is conducted with a LabVIEW program.
The parameters of eye-diagrams are measured for the Average Optical Power (AOP) and Optical Modulation Amplitudes (OMA) of transmitter outputs, and the Extinction ratio in decibel (EXdB), Root-Mean-Square Jitter (RMSJ), Rise time and Fall time of all channels. These parameters are recorded with a LabVIEW program on PC. The optical transmitter and electrical receiver eye-diagrams measured from a MTRx module are shown in Fig. 6.

The selection of MTx/MTRx modules for the LAr is set on the parameters of optical eye-diagrams with the criteria listed in Table 1. Their distributions are plotted in Fig. 7. The selection yields are 98.0 % and 98.4 % for the MTx and MTRx, respectively, with the qualified modules of more than 3240 MTx and 810 MTRx produced.

The SFP type MTx modules for the NSW are selected with each channel examined for 10 Gbps eye-diagram and light power. Modules with any of the AOPs of the two transmitter channels below 550 \( \mu \)W, or the ratio of them deviated larger than 10 % are excluded. The yield is 96.5 % for the total of 600 qualified modules.

Figure 6: Eye-diagrams are shown for a) the transmitter optical output, and b) the receiver electrical output of a typical MTRx module measured at 5.12 Gbps.

Figure 7: The distributions of eye-diagram parameters are plotted for the MTx and MTRx modules for LAr measured at 5.12 Gbps.

Table 1: The list shows the Quality Control criteria on the eye-diagram parameters of AOP (Average Optical Power), OMA (Optical Modulation Amplitude), EXdB (Extinction ratio), RMSJ (jitter RMS) and the Rise and Fall times.

| MTRx/MTx Quality Control | Cut |
|--------------------------|-----|
| AOP                      | > 450 \( \mu \)W |
| OMA                      | > 300 \( \mu \)W |
| EXdB                     | > 3 dB |
| RMSJ                     | < 4.5 ps |
| Rise time                | < 80 ps |
| Fall time                | < 80 ps |

5. Uniformity of modules

The transmitter outputs deviate mostly on the light power level. This is managed by sorting the TOSAs by light power for module assembly.

The uniformity of transmitter outputs is investigated with a pioneer batch of 440 MTx modules. The test was conducted at 8.5 Gbps with eye-diagrams measured by a BER sampling oscilloscope (MP2100B, Anritsu). The eye-diagram parameters including the AOP and OMA are analyzed. The distribution of AOP is plotted in Fig. 8a. The deviation in sequence of module number is associated with the TOSAs of different delivery batches being sorted by light power. The OMA shows a linear correlation to the optical power. The distribution of OMA versus AOP is shown in Fig. 8b.

The two TOSAs assembled on a MTx module were chosen with light powers being consistent within 3 %. Plotted in Fig. 8c and 8d are the distributions of ratios of AOPs and OMAs obtained from each MTx, respec-
The bias currents of the LOCld to VCSELs may have contributed to the widening distributions of the ratios of optical output parameters. It is estimated to be less than 3 % assuming the fluctuation is caused entirely by the LOCld output current.

The uniformity of transmitter channels is best observed with the mask margin of eye-diagrams. The distribution is shown in Fig. 9. Despite the large deviation on optical light powers, the mask margins measured at 8.5 Gbps are uniformly distributed around 39 % with a standard deviation of 5 %.

6. Ageing test

The ageing effect in the MTx is monitored with burn-in of a small batch of 24 modules in room condition. These modules are powered on continuously with the transmitter light power levels measured by optical power meters. The purpose is to detect early indication of degradation, which does not require a large statistics of modules.

The test modules were examined periodically for bit-error-rate and eye-diagrams at 10 Gbps. Each of the modules was dismounted briefly from the burn-in setup to be connected with differential inputs and fiber cable to oscilloscope.

The ageing of VCSELs is expected for light power deviation to higher or lower level. The ageing of LOCld is monitored for bit-error rate and eye-diagrams. The burn-in has accumulated for over 6k hours with no error observed. Plotted in Fig. 10 are the measurements in time for the average optical powers and the modulation amplitudes of the eye-diagrams relative to the initial values.

The eye-diagram measurement of the transmitter outputs has a large uncertainty due to light coupling with fiber-optic cable. As a cross-check, the transmitter outputs are also measured with a optical power meter using.

- **Figure 8:** A pioneer batch of 440 MTx modules were tested at 8.5 Gbps. The distributions are shown for the eye-diagram parameters of a) AOPs in sequence of module number, and b) correlation of OMA versus AOP. For each MTx with two transmitter channels, the ratio of the AOPs and OMAs are plotted in c) and d), respectively. The standard deviation of each is 4 %.

- **Figure 9:** The eye-diagrams of the pioneer batch of 440 MTx modules are plotted for a) the mask margins at 8.5 Gbps versus the module number, and b) the distribution of mask margins with a mean of 39 % and standard deviation of 5 %.

- **Figure 10:** The burn-in of 24 MTx modules are measured periodically for the eye-diagrams at 10 Gbps. The optical outputs are also measured by a power meter. The ageing effect is monitored for the AOP and OMA of eye-diagrams and the power meter readings, relative to the initial values. Plotted are the means with RMS errors of the 48 transmitter outputs. The dips of AOP and OMA near 4k hrs are caused by a fatigue compressing clip of a fiber connector in use.
ing a different fiber-optic cable. In one occasion, the light powers of eye-diagrams were significantly lower (Fig. 10, near 4k hrs). It was found due to mechanical fatigue of a compressing clip on a fiber connector.

Over the burn-in of 6k hours, the light power distribution shows a slight degradation by less than 5%. This is considered mostly due to the VCSEL intrinsics over time. The eye-diagrams observed show no indication of deterioration in data transmission.

7. Summary

The MTx and MTRx optical transceivers are developed for the ATLAS Phase-I applications. The driver ASIC and opto-electronics are evaluated for durability. The production process had the assurance checking on components and the quality control on modules to improve module reliability and uniformity. The ageing effect is monitored for more than 6k hours in burn-in. The optical outputs show a genuine light power degradation within 5%, without bit-error in data transmission.

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