Giant negative electrocaloric effect in B-site non-stoichiometric (Pb\(_{0.97}\)La\(_{0.02}\))(Zr\(_{0.95}\)Ti\(_{0.05}\))\(_1+y\)O\(_3\) anti-ferroelectric ceramics

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**ABSTRACT**

A negative electrocaloric effect (ECE) in B-site non-stoichiometric (Pb\(_{0.97}\)La\(_{0.02}\))(Zr\(_{0.95}\)Ti\(_{0.05}\))\(_1+y\)O\(_3\) anti-ferroelectric ceramics synthesized by the conventional solid-state reaction method is investigated via indirect and direct measurements. Temperature-dependent P-E hysteresis loops were measured. A giant negative electrocaloric temperature change of about 12.45 K was achieved. The results indicate that the antiferroelectric ceramics with non-stoichiometry modification could be a promising candidate for the applications in cooling devices.

**IMPACT STATEMENT**

Giant negative electrocaloric effect (NECE) was achieved in B-site non-stoichiometric PLZT2/95/5 ceramics, the NECE parameters are comparable or even larger than most of the reported bulk materials, making it attractive for cooling devices application.

The electrocaloric effect (ECE) refers to the reversible adiabatic temperature change (\(\Delta T\)) or isothermal entropy change (\(\Delta S\)) of a dielectric material on the application or withdrawal of an electric field [1]. ECE in dielectric materials has great potential for reliable solid-state cooling device for a broad range of application such as on-chip cooling and temperature regulations for sensors, electric devices, and medical specimens. Furthermore, refrigeration based on the ECE approach is more environmental friendly and hence may also provide an alternative to the existing vapor-compression approach [2]. Recently, extensive studies have been focused on caloric materials, especially electrocaloric (EC) materials for promising candidates with solid-state refrigeration application. Since a large ECE is always associated with phase transition and a larger polarization change [3,4], antiferroelectric ceramics are thus unequaled among all reported materials for their ultrahigh temperature changes [5,6].

The theoretical and experimental studies have revealed that there exist two ECEs, including the positive and negative effects in various FE/AFE bulk and film systems [7–10]. Ponomareva and Lisenkov [7] predicted that a negative adiabatic temperature change was found in Ba\(_{0.5}\)Sr\(_{0.5}\)TiO\(_3\) alloys. Then, the negative ECE was observed in Pb(Mg\(_{1/3}\)Nb\(_{2/3}\))O\(_3\)-PbTiO\(_3\) single crystals [9]. Specifically, it is expected that a negative ECE could be used in practical promising applications such as cooling devices, where the sign of negative \(\Delta T\) and \(\Delta S\) values are achieved. In order to get better ECE, most of the scholars put their focus on thin films that can bear greater electric field. For example, the negative ECE was reported.
in a La-doped Pb(Zr, Ti)O₃ film [11], in Hf₀.₅Zr₀.₅O₂ thin films [12] and in Eu-doped AFE PbZrO₃ thin films [13]. Thin films have the advantage on the application in small solid-state cooling devices, but the bulk materials are essential to the middle- and large-scale devices, such as refrigeration [14,15]. Moreover, the EC strength and the practical cooling capacity of thin films are still weaker than that of the bulk counterpart, including single crystals and ceramics. Hence, research on bulk ECE materials is of great more importance.

In this study, the ECE of non-stoichiometry PLZT2/95/5 antiferroelectric ceramics were firstly investigated as a function of the electric field and temperature. A giant negative ECE calculated from hysteresis loops was achieved about −12.45 K (ΔTmax) via indirect methods. This work reports our discovery of giant negative ECE values, which was significantly higher than that of ferroelectric ceramics reported so far, which could be further developed into ECE cooling devices.

(Pb₀.₉₇La₀.₀₂)(Zr₀.₉₅Ti₀.₀₅)₁⁺yO₃ (y = −0.03, −0.01, 0.01, 0.03) ceramics were successfully fabricated by via a mixed-oxide route. Raw materials of PbO, TiO₂, ZrO₂, and La₂O₃ were weighed according to the formula (Pb₀.₉₇La₀.₀₂)(Zr₀.₉₅Ti₀.₀₅)₁⁺yO₃ in the required stoichiometry with 5 wt% excess PbO. Precursor oxides were mixed by ball milling in ethanol for 24 h, then dried and presintered at 850°C in an alumina crucible for 5 h. After re-milled and dried, the calcined fine powder with 5 wt% PVA as a binder was cold pressed into cylindrical pellets of size 12 mm diameter and 1–2 mm thickness using a hydraulic press. The ceramics were fabricated by sintering at 1250°C for 5 h. In order to avoid the vaporization, PbO atmosphere for the sintering was maintained using Pb(Zr₀.₉₅Ti₀.₀₅)O₃ as spacer powder.

Ceramic samples were polished to the thickness of 0.8 mm for the measurement of electrical properties. Both sides of samples were electrode with silver paste and sintered at 650°C for 2 h. The crystal structure and orientation of the ceramic samples were characterized by using an X-ray diffractometer (XRD, D/MAX 2200 VPC, Rigaku, Japan) with working current and voltage of 20 mA and 36 kV, respectively. The bias electric field and temperature dependence of dielectric and impedance properties were measured by Agilent E4980A in the temperature range of 26–650°C with the heating rate of 3°C/min. The polarization-electric field (P-E) loops were characterized by Radiant Technologies’ Precision premier II (Albuquerque, NM) over the temperature range of 30–240°C. The density of all the ceramics was measured using Archimedes methods. Heat flow analysis of these ceramics was conducted by a differential scanning calorimeter (DSC8000, USA). Each temperature point was reached at 10°C/min heating/cooling rate.

Figure 1. XRD patterns of (Pb₀.₉₇La₀.₀₂)(Zr₀.₉₅Ti₀.₀₅)₁⁺yO₃ (PLZT2/95/5) (y = −0.03–0.03) ceramics measured at room temperature.

Figure 1 represents the XRD patterns of the (Pb₀.₉₇La₀.₀₂)(Zr₀.₉₅Ti₀.₀₅)₁⁺yO₃ (PLZT2/95/5) (y = −0.03, −0.01, 0.01, and 0.03) ceramics measured at room temperature. It can be seen that perovskite phase of PLZT is predominant for all the samples after final sintering at 1250°C for 5 h. The peaks were observed to shift to lower angles with decreasing y values. The shift implies a contraction of the unit cell, which should be related to the formation of cation and oxygen vacancies [16].

Figure 2 gives the temperature-dependent dielectric permittivity (εr) of PLZT2/95/5 ceramics with different non-stoichiometry content, which are tested at 10 kHz on heating process. Obviously, all the samples exhibit the
parallel curves features of the relative permittivity and two anomalous peaks could be seen. Starting from room temperature on heating, the dielectric constant shows the Curie–Weiss type increase to $T_a$, probably indicating the antiferroelectric-to-ferroelectric (AFE-FE) transition temperature, and an additional increase to $T_c$, which denotes the temperature of FE to paraelectric (PE) phase transition. It is also worth mentioning that from the inset, one can both the temperatures corresponding to the anomalous peak are almost equal when the $y$ values are $-0.03$ and $-0.01$. Likewise, it appears similar when the $y$ values are $0.01$ and $0.03$. However, both $T_a$ and $T_c$ present a rising trend with the $y$ values increasing from $-0.03$ to $0.03$. Recent studies have suggested that the commonly observed dielectric anomalies around $T_c$ are related to the thermal evolution of polar nanoregions (PNRs) with different structural symmetry [16]. The maximum dielectric constant for $y = -0.03, -0.01, 0.01,$ and $0.03$ is $3980, 4280, 4860,$ and $4790$, respectively. Generally, the dielectric constant of AFEs material has a close relationship with the AFE phase, and higher stability of AFE phase usually leads to lower dielectric [17].

In order to evaluate the ECE of the PLZT ceramics, the polarization-electric field ($P$-$E$) hysteresis loops at 10 Hz were measured at a serious of temperatures with a 10 K interval. For all samples, the $P$-$E$ loops were recorded under the applied electric field of 50 kV/cm from 303 K to 493 K during the heating process. Typical $P$-$E$ hysteresis loops for all ceramics are shown in Figure 3. At room temperature, a linear $P$-$E$ loop is achieved, which suggests orthorhombic AFE phase behavior. Generally, only linear $P$-$E$ loops rather double $P$-$E$ loops were obtained in the orthorhombic AFE phase. This is because the critical electric field, which induces the orthorhombic AFE to orthorhombic FE phase transition, is higher than the breakdown field of PLZT sample [18]. Moreover, the temperature played an important role in defining the shape of $P$-$E$ loops. From Figure 3, double hysteresis loops, signifying the antiferroelectric state, could be seen only at higher temperatures (about 463 K) in all ceramics, which was attributed to the free energy barrier between FE and AFE with the rising temperature [19]. Notably, there was no obvious FE single $P$-$E$ loops above $T_a$, which may be resulted from the weak ferroelectricity as reported in

![Figure 3](image)

**Figure 3.** $P$-$E$ hysteresis loops recorded at different temperatures under 50 kV/cm: (a) $y = -0.03$, (b) $y = -0.01$, (c) $y = 0.01$, and (d) $y = 0.03$. The inset of (a)–(d) shows the corresponding evolution of polarization versus temperatures of the PLZT2/95/5 ceramics under various electric fields.
typical antiferroelectric PbZrO$_3$ [20]. Above $T_c$, a PE-like hysteresis loop is obtained. On the whole, these $P$-$E$ loops given attest the phase transition on heating following the AFE $\rightarrow$ FE $\rightarrow$ PE sequence, which agrees with temperature-dependent dielectric properties.

The polarization measurement, therefore, confirms the similar phase transition sequences in those ceramics. The temperature dependence of polarization under different electric fields was shown in the inset of Figure 3. Clearly, as $E$ increases, the break polarization is shifted to lower temperatures. Polarization at different fields was calculated using the upper branches of the hysteresis loops as stated by Xu et al. [3]. Two anomalies in the $P$-$T$ curves could be seen. When the temperature reaches $T_o$, the polarization first increases and then decreases. On further heating above $T_o$, the polarization reached a peak value at $T_c$. The rising polarization near phase transition may generate negative ECEs. Reversible adiabatic temperature change $\Delta T$ and isothermal entropy $\Delta S$ due to an applied electric field $E$, for a material of density $\rho$ with specific heat capacity $C_p$ is given as follows:

$$\Delta S = -\frac{1}{\rho} \int_{E_1}^{E_2} \left( \frac{\partial P}{\partial T} \right)_E dE,$$

$$\Delta T = -\frac{T}{C_p \rho} \int_{E_1}^{E_2} \frac{\partial P}{\partial T} dE,$$

where $P$ is the polarization, $E_1$ and $E_2$ are the starting and final applied fields, respectively. The values of $\left( \frac{\partial P}{\partial T} \right)_E$ were obtained from $P$ versus $T$ data by fitting a fourth order polynomial. The density $\rho$ for each sample, measured by Archimedes’s principle, are 6.88, 6.91, 7.0, and 6.88 g/cm$^3$ for the ceramic with $y = -0.03$, $-0.01$, $0.01$, and $0.03$, respectively. The heat capacity $C_p$, shown in Figure S2 in the Supplemental material, is assumed to be a constant within the measurement temperature window and is taken to be 0.40, 0.30, 0.32, and 0.32 J K$^{-1}$ g$^{-1}$ for $y = -0.03$, $-0.01$, $0.01$, and $0.03$, respectively. Temperature-dependent adiabatic temperature changes $\Delta T$ calculated at an electric field of 50 kV/cm are presented in Figure 4(a). As expected, two negative electrocaloric responses are achieved in the PLZT ceramics. Also, the coexistence of positive and negative electrocaloric effect has been found. From Figure 4(a), around $T_o$, negative $\Delta T = -0.89$, $-1.09$, $-1.03$, and $-0.89$ K and giant negative ECE $\Delta T = -8.12$, $-12.45$, $-11.14$, and $-9.70$ K near $T_c$ respectively for $y = -0.03$, $-0.01$, $0.01$, and $0.03$ were obtained. Moreover, the positive ECE $\Delta T = 4.61$, 6.34, 5.64, and 4.25 K near $T_c$ were found, respectively for $y = -0.03$, $-0.01$, $0.01$, and $0.03$.

![Figure 4](image)

**Figure 4.** (a) Temperature-dependent adiabatic temperature changes $\Delta T$ and in PLZT2/95/5 ceramics under 50 kV/cm with different compositions. (b) Temperature-dependent adiabatic temperature changes $\Delta T$ in PLZT2/95/5 ceramic with $y = -0.01$ under different electric fields.

Obviously, electric field plays a key role in ECE, and higher electric fields will induce larger ECE. Taking the sample $y = -0.01$ for instance, shown in Figure 4(b), when the electric field increases, temperatures corresponding to the peak positions of $\Delta T(\Delta T_{EC_{max}})$ shift toward the lower temperatures, answering for that as the electric field is increased, the break polarization is shifted to minor temperatures. Values of $\Delta T_{EC_{max}}$ also increased from $-1.95$ K (30 kV/cm) to $-8.12$ K (50 kV/cm), $-3.24$ K (50 kV/cm) to $-12.45$ K (50 kV/cm), $-3.44$ K (30 kV/cm) to $-11.14$ K (50 kV/cm), $-3.16$ K (30 kV/cm) to $-8.90$ K (50 kV/cm), respectively for $y = -0.03$, $-0.01$, $0.01$, and $0.03$ ceramics. Undoubtedly, the huge negative ECE achieved in this work has far surpassed recent reports in PbZrO$_3$ ceramics ($-1.5$ K), (Pb$_{0.88}$Sr$_{0.08}$)(Nb$_{0.08}$Zr$_{0.53}$Ti$_{0.47}$)O$_3$ ceramics (0.65 and $-0.38$ K), Na$_{0.33}$Bi$_{0.66}$TiO$_3$-BaTiO$_3$ single crystals (0.9 K) [20–23]. The significant enhancement in the electrocaloric properties is beneficial for design of solid-state cooling devices with high cooling efficiency.

The mechanism underlying the negative ECE is still controversial. Most of the current thermodynamic theories focus on the AFE phase transition induced by external stimuli, such as electric fields, temperature, and mechanical stresses. Zhou et al. [18] used a modified phenomenological Landau-type model to give a quantitative explanation to the negative electrocaloric effects, which is consistent with our results. Phenomenological Landau-type modeling suggests distinct minima exist for the AFE nonpolar state (B) and the FE ordered state (A or A'). At low temperatures, the AFE nonpolar state is very stable due to the lower energy state. In this case, there is not enough kinetic energy to overcome the energy barrier in the double wells. For the low-temperature AFE phase, the induced polarization can be enhanced at higher temperatures. The nonzero electric field induced polarization is
very small. With increasing temperature to \( T_0 \), the ferroelectric order has a deeper local energy minimum. However, the shallow minimum of the AFE nonpolar state would, from thermal fluctuations, result in a transition to the FE ordered state and be stuck in the deeper energy well. Therefore, a thermally excited path going from B to A is feasible. When applying an electric field to the system, the underlying potentials will become asymmetric. There is a significant increase in the magnitude of polarization due to the AFE-to-FE phase transition, resulting in a negative ECE at around \( T_0 \). Additionally, the polarization will decrease sharply when the temperature is above \( T_c \), leading to a positive EC response.

Furthermore, we have also supplemented the work of ECE-directed test to validate the \( \Delta T \) values. Figure S1. in the Supplemental material show \( \Delta T \) of \((\text{Pb}_{0.97}\text{La}_{0.02})(\text{Zr}_{0.95}\text{Ti}_{0.05})_{0.99}\text{O}_3\) ceramics via direct methods. We got the \( \Delta T \) value 1.7 K near room temperature with the electric field of 40 kV/cm. Unfortunately, due to the limitations of the test equipment, we could not measure the \( \Delta T \) in high temperatures.

In summary, the ECE of antiferroelectric \((\text{Pb}_{0.97}\text{La}_{0.02})(\text{Zr}_{0.95}\text{Ti}_{0.05})_{1+x}\text{O}_3\) ceramics is investigated via the indirect and direct method. The microstructures, dielectric and phase properties of the samples were also investigated and discussed in connection with the ECE results. Hysteresis loops denote the observable phase transition of AFE, FE, and PE behavior with the increasing external temperature. An expression based on reversible thermodynamics is used to determine the \( \Delta T \) relation, demonstrating a giant negative ECE in nonstoichiometric PLZT ceramics with \( y = -0.01 \), which reveals peaks in both \( \Delta T = -12.45 \) K around 463 K, and a considerable result \( \Delta T = 1.7 \) K near room temperature was achieved via the direct test method.

**Disclosure statement**

No potential conflict of interest was reported by the authors.

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