Theoretical and electrical quantum Hall effects in ferromagnet–topological insulator–ferromagnet junction

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The ferromagnet-topological insulator-ferromagnet (FM-TI-FM) junction exhibits thermal and electrical quantum Hall effects. The generated Hall voltage and transverse temperature gradient can be controlled by the directions of magnetizations in the FM leads, which inspires the use of FM-TI-FM junctions as electrical and as heat switches in spintronic devices. Thermal and electrical Hall coefficients are calculated as functions of the magnetization directions in ferromagnets and the spin-scattering time in TI. Both the Hall voltage and the transverse temperature gradient decrease but are not completely suppressed even at very short spin-scattering times. The Hall coefficients turn out to be independent of the spin-scattering time for symmetric configuration of FM leads.

The discovery and experimental realization of topological insulators (TI) opened a new and vividly developing field of theoretical and experimental investigations [1–4]. Two-dimensional TI belong to the class of quantum spin Hall systems [1, 2] that are distinguished by the existence of chiral spin-polarized edge states. There are two chiral states with opposite spin-projections at each edge that propagate in the opposite directions. The existence of the edge states implies strong similarity between the properties of TI and of a quantum Hall system, although no external magnetic field is applied. Each spin-polarized edge state is subject to an effective magnetic field corresponding to a one magnetic flux quantum per electron (the condition for the lowest quantum Hall plateau), hence it contributes to the quantized Hall conductance of the sample. However, the signs of the effective magnetic fields are opposite for the edge states with opposite spin projections, which results in the exact cancellation of contributions from the two counter-propagating edge states to the total Hall conductance [2].

It has been suggested in the early papers on quantum spin Hall effect that the properties of spin-polarized edge states can be probed by injecting spin-polarized currents in TI [1–2]. In this Letter we show, that the quantum Hall conductance and the thermal Hall coefficient can be revealed in the experimental measurements on a two-dimensional TI sandwiched between the two ferromagnets (FM) in a FM-TI-FM junction (see Fig. 1). The use of ferromagnets allows spin-selective contacting of the edge states in TI. Thus, it provides the way to break the generic symmetry between the spin-up and spin-down states, recovering the electrical and thermal quantum Hall effects hidden in the isolated TI. In the ideal situation of completely polarized ferromagnets it is possible to contact a single chiral spin-polarized edge state. Thus one would measure quantized values of electrical and thermal Hall coefficients proper to the lowest Landau level of the integer quantum Hall effect

\[ G_Q = \frac{dI}{dV_H} = e^2/h, \quad K_Q = \frac{dQ}{dT} = \frac{(\pi^2 k_B^2/3h)^2}{T}. \]

The coupling between the spin-polarized edge state of TI and FM lead depends on the angle between the magnetization of the lead and the direction of spin quantization axis in TI. The latter is determined by the crystallographic structure of TI [1–4]. Rotating the magnetization direction in FM leads, one can control the transverse voltage and the transverse thermal gradient induced in TI. It varies from a finite maximal value, when the orientation of magnetizations in FM is parallel to the spin-quantization axis in TI, to the complete suppression of transverse voltage and temperature gradient for the perpendicular orientation (see Fig. 2).

Topological insulators are often contaminated with magnetic impurities that introduce scattering between the chiral states at the edge. Surprisingly, while reducing the transverse temperature gradient and the Hall voltage in general, the quasi-elastic spin-flip back-scattering by magnetic impurities does not suppress the thermal and electrical Hall effects in FM-TI-FM structure completely (see Fig. 3). The Hall coefficients remain finite even in the limit of infinitely short scattering time. The dimensionless Hall conductance and the dimensionless thermal Hall coefficient are equal to each other,

\[ \frac{G_H}{G_Q} = \frac{K}{K_Q} = F, \]

where the factor \( F \) depends on conductances, polarizations of ferromagnetic contacts, magnetization directions, and the spin-scattering time. Remarkably, in the case of identical ferromagnets with equal angle \( \theta \) between the magnetization and spin-quantization axis of TI, the factor \( F \) turns out to be independent of the scattering time, its analytical expression reads,

\[ F(g, p, \theta) = \frac{4 - g}{2p \cos \theta} + \frac{gp}{2} \cos \theta, \]

where \( g \) denotes the total dimensionless conductance of each contact, and \( p \) denotes the polarization of FM (to be defined below). For completely polarized ferromagnets \( (p = 1) \) with magnetizations parallel to the spin-quantization axis in TI \( (\theta = 0) \), the Hall coefficients...
retain their quantized values. At the same time, the ratio of transverse and longitudinal voltages \( (V_H/V) \) as well as transverse and longitudinal temperature gradients \( (\Delta T_\perp/\Delta T) \) depends on the scattering time,

\[
\frac{V_H}{V} = \frac{\Delta T_\perp}{\Delta T} = \frac{t_0 n_0 v_0 + 1}{3 + 2 t_0 n_0 v_0},
\]

where \( t_0 \) denotes the spin-scattering time by magnetic impurities, \( n_0 \) is the linear concentration of electrons on the edge, and \( v_0 \) denotes the Fermi velocity in the edge state.

The emergence of the temperature gradient transverse to the heat flow through TI is in fact identical to the thermal quantum Hall effect (Leduc-Righi effect) [5]. Consider a single chiral edge state in TI, which we denote as the spin-up state: Let us suppose that the left contact has a higher temperature than the right one \( (T_1 > T_2) \) (see Fig. 1). In that case the hot electrons from the left contact propagate along the lower edge, and the cold electrons from the right contact propagate along the upper edge. In the absence of relaxation, the electrons on the edges are not in the equilibrium, however, as it will be shown below, one still can associate an effective temperature to the electron distribution. Thus, a temperature difference between the edges is created that is perpendicular to the heat flow. At the same time, there is a counter-propagating spin-down edge state in TI. For that state the Leduc-Righi effect has the opposite sign. If the reservoirs are spin- unpolarized, the temperature differences created by the spin-up and spin-down edge states compensate each other exactly resulting in zero net effect. Another situation is realized, if the reservoirs are ferromagnetic. In that case the contact conductances for spin-up and spin-down electrons differ, the compensation of contributions from spin-up and spin-down edge states does not take place any more, and a finite temperature difference between the edges is predicted. Analogously, the Hall voltage is generated by the potential difference between the ferromagnets.

In what follows we develop a general description of FM-TI-FM junction in terms of rate equations for distribution functions of the edge states. To this end let us consider the experimental setup shown schematically in Fig. 1. The contacts between ferromagnets and TI are described using Landauer-Blüttiker scattering matrix formalism [6].

Let us first assume no spin scattering between the edge channels of TI (no magnetic impurities). Then the spin channels do not mix and there is no inter-channel relaxation of the distribution functions, hence one can consider the distribution of electrons in each spin-channel separately. Consider a single spin channel in more detail (see Fig. 1). We suppress the spin index for brevity. We also assume that the phase coherence is lost on the length which is much shorter than the length of the edge channel. In that case the contacts between the edge state and

\[
\begin{align*}
\Delta T_\perp/\Delta T = V_H/V \text{ as a function of the relaxation time } \tau \\
\end{align*}
\]

for the parallel orientation of magnetizations \( \theta = 0 \) and for the equal polarizations of ferromagnets \( p = 1 \) (solid line) and \( p = 0.5 \) (dashed line). The total dimensionless conductances of the contacts \( g_1 = g_2 = 1 \).
external reservoirs should be described in terms of transmission probabilities. For example, an electron coming from the upper edge to the contact 1 is absorbed into the lead FM1 with the probability \( g_1 \), which equals to the dimensionless contact conductance, and it is reflected from the contact into the lower edge with the probability \( 1 - g_1 \). At the same time, the incoming wave from FM1 goes to the lower edge with the probability \( g_2 \). Analogous relations determine the scattering at the contact 2.

The time evolution of distribution functions in the upper and lower parts of the edge channel is governed by the following equations [6]

\[
\frac{df_u}{dt} = \frac{n_0 v_0}{2\pi} \left\{ (1 - g_1) f_u + g_2 f_2 - g_1 f_u - (1 - g_1) f_u \right\}, \tag{4}
\]

\[
\frac{df_d}{dt} = \frac{n_0 v_0}{2\pi} \left\{ (1 - g_1) f_u + g_1 F_1 - g_1 f_d - (1 - g_1) f_d \right\}, \tag{5}
\]

Here \( f_u(\epsilon) \), \( f_d(\epsilon) \) denote electron distribution functions on the upper and the lower edge respectively. Since only elastic scattering is taken into account, the energy \( \epsilon \) is conserved. In writing Eqs. (4), (5) we neglected the size of the region close to the contact, where the spatial change of the distribution function occurs, thus we omitted the spatial dependence of the distribution functions. The distribution functions \( F_1(\epsilon) \) and \( F_2(\epsilon) \) denote the Fermi-Dirac distributions in the ferromagnets. The stationary solutions of Eqs. (4), (5), \( df_u/dt = 0, df_d/dt = 0 \) read:

\[
f_u = \frac{g_1 (1 - g_2) F_1 + g_2 F_2}{1 - (1 - g_1)(1 - g_2)}, \tag{6}
\]

\[
f_d = \frac{g_2 (1 - g_1) F_2 + g_1 F_1}{1 - (1 - g_1)(1 - g_2)}. \tag{7}
\]

The temperature difference or the finite voltage difference between the left \( (F_1) \) and right \( (F_2) \) reservoirs results in non-equilibrium distribution functions on the upper and the lower edge. Still one can define an effective temperature and an effective chemical potential at each edge by making a gedanken experiment, which consists of coupling each edge to a system in thermodynamically equilibrium, which we refer to as the thermometer. The temperature and the chemical potential of the thermometer, at which there is no net heat and particle flow between the thermometer and the edge can be defined as the effective temperature and the effective chemical potential [7][9]. Therefore, the effective chemical potential and the effective temperature on the edge \( \nu = u, d \) are defined by the following equations,

\[
\int f_\nu(\epsilon) d\epsilon = \int \left[ \exp \left( \frac{\epsilon - \mu_\nu}{k_B T_\nu} \right) + 1 \right]^{-1} d\epsilon, \tag{8}
\]

\[
\int \epsilon f_\nu(\epsilon) d\epsilon = \int \left[ \exp \left( \frac{\epsilon - \mu_\nu}{k_B T_\nu} \right) + 1 \right]^{-1} \epsilon d\epsilon, \tag{9}
\]

where we assumed that the density of states in the conductance channel does not depend on energy. Experimentally, the measurement of a single spin channel can be realized if both the leads and the thermometers are completely polarized ferromagnets.

Applying Eqs. (5) and (7) to the distribution functions Eqs. (4), (7), one obtains the relations between the longitudinal \( (\Delta T = T_1 - T_2) \) and transverse temperature \( (\Delta T_\perp) \) differences as well as between the longitudinal and Hall voltages in the form,

\[
\frac{\Delta T_\perp}{\Delta T} = \frac{V_H}{V} = \frac{g_1 g_2}{1 - (1 - g_1)(1 - g_2)}. \tag{10}
\]

In this case we obtain the electrical and thermal Hall conductances proper to the first integer quantum Hall plateau \( G_H = G_Q, K = K_Q \).

Now let us turn to the generic case of two spin-polarized edge channels. In that case, the contact between a FM lead and each spin-polarized channel is characterized by a spin-dependent dimensionless conductance \( g_\sigma \), where \( \sigma = \uparrow, \downarrow \) denotes the spin-projection of the edge state. The total dimensionless conductance of the contact is given by the sum \( g = g_\uparrow + g_\downarrow \). The angular dependence of contact conductances stems from the tunneling magnetoresistance effect [10]. The band structure of a ferromagnet consists of majority (further denoted as “+”) and minority (further denoted as “−”) spin-polarized bands, that have different density of states (DoS) at the Fermi level. Therefore, each spin-polarized band provides a different contribution to the contact conductance. Analogously, there are spin-polarized energy bands for the edge-states in TI, although their DoS are equal. If the magnetization direction in FM is parallel to the spin-quantization axis in TI, each spin-polarized band of FM couples to a single spin-polarized edge state of TI. For an arbitrary angle \( \theta \) between the magnetization in the ferromagnet and the spin-quantization axis in the TI, each band in FM has finite hybridizations with both edge states of TI. Therefore the hybridization strength depends on \( \theta \). This in turn results in the angular dependence of partial conductances between the FM band \((+/−)\) and the spin-polarized edge state \((+/−)\), which is given by the expressions [10]

\[
G^+_\uparrow(\theta) = G^+ \cos^2(\theta/2), \quad G^+_\downarrow(\theta) = G^+ \sin^2(\theta/2)
\]

\[
G^-_\uparrow(\theta) = G^- \sin^2(\theta/2), \quad G^-_\downarrow(\theta) = G^- \cos^2(\theta/2). \tag{11}
\]

Since both edge states of TI have the same DoS, the partial conductances Eqs. (11) are determined by only two
independent material parameters, $G^+$ and $G^-$, that characterize the coupling to the majority and minority bands respectively. It is convenient to characterize the spin-selectivity of the contact $(i)$ by the contact polarization degree of the ferromagnet $p_i$, that is defined as

$$p_i = \sum_{\sigma=\uparrow,\downarrow} (G^\sigma_i - G_{i\sigma}) / G_i,$$  

(12)

where $G_i = \sum_{\sigma=\uparrow,\downarrow} (G^\sigma_i + G_{i\sigma})$ is the total conductance of the contact. Thus, the total angular-dependent conductances to the spin-up and spin-down edge channels are given by

$$G_{i\sigma} = G_i (1 \pm p_i \cos \theta_i) / 2,$$  

(13)

where the upper and the lower sign corresponds to spin-up and spin-down edge state respectively. Analogous expressions follow for the dimensionless partial conductances $g_{\sigma\sigma} = (\hbar / e^2) G_{i\sigma}$.

Therefore, a FM-TI-FM junction provides a possibility to create different contact conductances for different spin-channels and thus get a controlled net thermal and electrical Hall conductances of the whole system. Moreover, edge states can be contacted individually in the case of completely polarized ferromagnet that can be realized in semi-magnetic semiconductors. In that case the electrical and thermal Hall conductances proper to the integer quantum Hall effect are observed.

Electrons in each edge state are described by a distribution function $f_{\nu\sigma}$, where $\nu$ denotes the position of the edge ($\nu = u, d$), and $\sigma = \uparrow, \downarrow$ is a spin-index, which also determines the chirality of the edge state in TI. Spin-scattering between the counter-propagating edge states induced by magnetic impurities is taken into account phenomenologically, introducing the spin-scattering time $t_0$. Thus, the rate equation describing the evolution of the distribution function at the edge $\nu$ with spin $\sigma$ can be written as

$$\frac{df_{\nu\sigma}}{dt} = \frac{n_0 v_0}{2\pi} \left\{ (1 - g_{\nu\sigma}) f_{\nu\sigma} - f_{\nu\sigma} + \Gamma_{\nu\sigma} \right\} - \frac{1}{t_0} (f_{\nu\sigma} - f_{\nu\sigma}),$$

(14)

where $\nu$ denotes the edge opposite to $\nu$ and $\sigma$ denotes the spin projection opposite to $\sigma$. According to the chirality of the edge states (cf. Figs. 1, 4), we define

$$g_{u\uparrow} = g_{u\downarrow}, g_{d\uparrow} = g_{d\downarrow}, g_{u\uparrow} = g_{u\downarrow}, g_{d\uparrow} = g_{d\downarrow},$$  

(15)

$$\Gamma_{u\uparrow}(\epsilon) = g_{u\uparrow} F_u(\epsilon), \quad \Gamma_{u\downarrow}(\epsilon) = g_{u\downarrow} F_u(\epsilon),$$  

(16)

$$\Gamma_{d\uparrow}(\epsilon) = g_{d\uparrow} F_d(\epsilon), \quad \Gamma_{d\downarrow}(\epsilon) = g_{d\downarrow} F_d(\epsilon).$$  

(17)

Stationary solutions of Eq. (14) contain the full information about the nonequilibrium distribution functions of the edge states. The analytical solution can be represented in the form

$$f_{\nu\sigma}(\epsilon) = D_{\nu\sigma}(\epsilon) / D_0,$$  

(18)

where

$$D_0 = (g_{1\uparrow} + g_{2\uparrow} - g_{1\downarrow} g_{2\downarrow}) (g_{1\downarrow} + g_{2\downarrow} - g_{1\uparrow} g_{2\uparrow}) + (g_{0\uparrow} - g_{1\uparrow} g_{2\downarrow} - g_{1\downarrow} g_{2\uparrow}) / \tau + [2g_0 - (g_{1\uparrow} + g_{1\downarrow})(g_{2\uparrow} + g_{2\downarrow})] / \tau^2,$$  

(19)

and

$$D_{\nu\sigma}(\epsilon) = \left[ \Gamma_{\nu\sigma}(\epsilon) + (1 - g_{\nu\sigma}) \Gamma_{\nu\sigma}(\epsilon) \right] (g_{\nu\uparrow} + g_{\nu\downarrow} - g_{\nu\uparrow} g_{\nu\downarrow}) + 2\Gamma_{\nu\sigma}(\epsilon) (g_{\nu\uparrow} g_{\nu\downarrow} - g_{\nu\uparrow} g_{\nu\downarrow}) - \Gamma_{\nu\sigma}(\epsilon) (g_{\nu\uparrow} g_{\nu\downarrow} - g_{\nu\uparrow} g_{\nu\downarrow}) / \tau + 2\Gamma_{\nu\sigma}(\epsilon) (1 - \Gamma_{\nu\sigma}(\epsilon)) (g_{\nu\uparrow} + g_{\nu\downarrow}) / \tau^2$$

(20)

with $g_0 = \sum_{\nu\sigma} g_{\nu\sigma}, \quad \Gamma_0 = \sum_{\nu\sigma} \Gamma_{\nu\sigma}$. Here we introduced the dimensionless relaxation time $\tau = t_0 n_0 v_0$.

To calculate the thermal Hall coefficient and the Hall conductance, we need expressions for the heat flow and the electrical current through the system. In the stationary state, the heat flow and the electrical current can be related to the particle flow at one of the contacts. For example, the particle flow out of the contact 1 (the left contact in Figs. 1, 4) consists of spin-up electrons going from the contact to the lower edge, and spin-down electrons going from the contact to the upper edge. Those particles have the distribution function of FM1. The flow into the contact consists of spin-up electrons coming from the upper edge and spin-down electrons coming from the lower edge, which are distributed according to the distribution functions $f_{u\uparrow}$ and $f_{d\downarrow}$ respectively. Therefore, the total electrical current and the heat flow through the contact are given by

$$I = \frac{e}{h} \int \frac{d\epsilon}{2\pi} \left\{ (g_{1\uparrow} + g_{1\downarrow}) F_1(\epsilon) - g_{1\uparrow} f_{u\uparrow}(\epsilon) - g_{1\downarrow} f_{d\downarrow}(\epsilon) \right\},$$

$$Q = \int \frac{e d\epsilon}{2\pi} \left\{ (g_{1\uparrow} + g_{1\downarrow}) F_1(\epsilon) - g_{1\uparrow} f_{u\uparrow}(\epsilon) - g_{1\downarrow} f_{d\downarrow}(\epsilon) \right\}.$$

Calculating the relations between the longitudinal heat current and the transverse temperature gradient $K = Q / \Delta T_L$ and also between the electrical current and the Hall voltage $G_H = I / V_H$, we obtain the dependence of the Hall coefficients on the parameters of the experimental setup. Thereby the dimensionless thermal and electrical Hall coefficients turn out to be equal to each other, as stated in Eq. (1). Moreover, the Hall coefficients become independent of the relaxation time $\tau$ for the symmetric contacts, as it is pointed out in Eq. (2).

Eqs. (18) – (20) give the distribution functions of the spin-polarized edge channels as linear combinations of the distribution functions $F_1(\epsilon)$, $F_2(\epsilon)$ in ferromagnets with coefficients depending on partial conductances of the contacts $g_{\nu\sigma}$ and the relaxation time $\tau$. They simplify substantially in particular limiting cases. Consider the case of completely polarized ferromagnets, $p_1 = p_2 = 1$, with perfect contact conductances $g_1 = g_2 = 1$, and equal angle $\theta$ between the magnetization of the left and the
right ferromagnet, and the quantization axis in TI. In that case we obtain
\[ f_{\nu \uparrow}(\epsilon) = \frac{(6 + 2 \cos \theta)(1 + \tau)}{12 + 9\tau - \tau \cos^2 \theta} F_2(\epsilon) + \frac{[3(2 + \tau) - 2(1 + \tau)\cos \theta - \tau \cos^2 \theta]}{12 + 9\tau - \tau \cos^2 \theta} F_1(\epsilon). \] (21)

The distribution function \( f_{u \downarrow} \) is obtained from Eq. (21) by interchange \( F_1(\epsilon) \leftrightarrow F_2(\epsilon) \), and \( \cos \theta \rightarrow -\cos \theta \). Distribution functions on the lower edge \( f_{dd} \) are obtained from the distribution functions \( f_{ua} \) by interchange \( F_1(\epsilon) \leftrightarrow F_2(\epsilon) \).

In the absence of relaxation, \( \tau \to \infty \), and for magnetizations of ferromagnets parallel to the spin-quantization axis in TI, \( \theta = 0 \), the spin-up edge states acquire the distribution functions from the corresponding FM leads, \( f_{u \uparrow}(\epsilon) = F_{2\uparrow}(\epsilon) \), \( f_{d \uparrow}(\epsilon) = F_{1\uparrow}(\epsilon) \), whereas the electron distribution for the spin-down states is the same on both edges, and it equals the half-sum of the distribution functions in the leads, \( f_{u \downarrow}(\epsilon) = f_{d \downarrow}(\epsilon) = (F_{1\uparrow}(\epsilon) + F_{2\uparrow}(\epsilon))/2 \).

Experimental measurement of the transverse temperature gradient performed with completely spin-polarized probes would reveal the ideal result \( \Delta T_\perp = \Delta T \), and hence it would give the quantum thermal Hall coefficient \( K_Q \). Measurement of the temperature gradient with a spin-unpolarized thermometer will effectively average the electron distributions of the spin-up- and spin-down-states at each edge, leading to the result \( \Delta T_\perp = \Delta T/2 \), hence \( K = 2K_Q \). Analogous results follow for the Hall voltage. Measurements of the Hall voltage by spin-polarized probes would give the ideal result \( V_H = V \), and the quantized Hall conductance \( G_0 \), while the measurements with nonmagnetic probes would give \( V_H = V/2 \), \( G = 2G_0 \). Those results are in complete analogy to the measurements of the quantum Hall effect with spin-degenerate vs. spin-split Landau levels [12].

In the opposite case of very fast relaxation, \( \tau \to 0 \), the spin-polarized states at each edge are strongly mixed, and their distribution functions become equal
\[ f_{\nu \uparrow}(\epsilon) = f_{\nu \downarrow}(\epsilon) = \frac{F_1(\epsilon) + F_2(\epsilon)}{2} \pm \frac{F_1(\epsilon) - F_2(\epsilon)}{6} \cos \theta, \]
where the upper and lower signs correspond to \( \nu = u \) and \( \nu = d \) respectively. Thus, we obtain the following result for the transverse temperature gradient and for the Hall voltage, \( \Delta T_\perp/\Delta T = V_H/V = (1/3)\cos \theta \). Because of a strong mixing between the spin-up and spin-down states, the measurements with a spin-polarized and spin-unpolarized thermometer would give the same result. Interestingly, both the transverse temperature difference and the Hall voltage do not disappear even for the instantaneous spin relaxation, meaning that the thermal and electrical Hall effects are not suppressed by the quasi-elastic scattering by magnetic impurities. Their dependence on the spin-relaxation time is shown in Fig. [5].

In conclusion, we showed theoretically that the thermal quantum Hall effect as well as the electrical quantum Hall effect can be observed in topological insulators in contact with ferromagnetic leads. By changing directions of the magnetizations in FM with respect to the spin-quantization axis in TI, one can obtain a large degree of control over the generated Hall voltage and transverse temperature gradient. The measured Hall effects are maximal, if the measurement is performed by ferromagnetic probes, reaching the quantized values of electrical and thermal Hall coefficients for completely spin-polarized FM leads and thermometers. Measurements by magnetically unpolarized probes give smaller values of the Hall voltage and temperature gradient but the effect remains substantial. Quasi-elastic back-scattering by magnetic impurities in TI reduces the induced Hall voltage and transverse temperature gradient, although it does not suppress the effect completely. The thermal and electrical Hall coefficients remain finite even in the limit of infinitely short spin-relaxation time. The experimental setup proposed in this Letter lies well within the reach of modern technology [12]. Of special importance for experimental measurements is the symmetric configuration of FM leads, in which case the Hall coefficients are independent of disorder. This work gives impetus to the experimental realization of FM-TI-FM devices and their application in spintronics.

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