Incidence and Outcomes of Infective Endocarditis After Transcatheter or Surgical Aortic Valve Replacement

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BACKGROUND: Data comparing the frequency and outcomes of infective endocarditis (IE) after transcatheter (TAVR) to surgical aortic valve replacement (SAVR) are scarce. The objective of this study is to compare the incidence and outcomes of IE after TAVR using a supra-annular, self-expanding platform (CoreValve and Evolut) to SAVR.

METHODS AND RESULTS: Data of 3 randomized clinical trials comparing TAVR to SAVR and a prospective continued TAVR access study were pooled. IE was defined on the basis of the modified Duke criteria. The cumulative incidence of IE was determined by modeling the cause-specific hazard. Estimates of all-cause mortality were calculated by means of the Kaplan–Meier method. Outcomes are reported for the valve-implant cohort. During a mean follow-up time of 2.17±1.51 years, 12 (0.5%) of 2249 patients undergoing TAVR and 21 (1.1%) of 1828 patients undergoing SAVR developed IE. Patients with IE more frequently had diabetes mellitus than those without (57.6% versus 34.2%; P=0.005). The cumulative incidence of IE was 1.01% (95% CI, 0.47%–1.96%) after TAVR and 1.58% (95% CI, 0.97%–2.46%) after SAVR (P=0.047) at 5 years. Among patients with IE, the rate of all-cause mortality was 27.3% (95% CI, 1.0%–53.6%) in the TAVR and 51.8% (95% CI, 28.2%–75.3%) in the SAVR group at 1 year (log-rank P=0.15).

CONCLUSIONS: Pooled prospectively collected data comparing TAVR with a supra-annular, self-expanding device to SAVR showed a low cumulative risk of IE irrespective of treatment modality, although the risk was lower in the TAVR implant group. Once IE occurred, mortality was high.

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Key Words: endocarditis ■ incidence ■ mortality ■ SAVR ■ TAVR

Valve replacement is required in patients with symptomatic severe aortic stenosis to relieve symptoms and improve prognosis.1 Transcatheter aortic valve replacement (TAVR) and surgical aortic valve replacement (SAVR) are well-established effective and safe treatment options for patients across the whole spectrum of surgical risk.2–8 Infective endocarditis (IE) of the prosthetic valve is a deleterious complication after valve replacement, which can occur early or late and is associated with a high morbidity and mortality according to observational data.9–15 Previous reports do not suggest an elevated risk of IE after TAVR compared with SAVR10,11,13,16; however, differences in valve design, such as the structure and composition of the stent frame or the tissue type and processing of the prosthetic leaflets, as well as differences in the preparatory steps, ways of valve delivery and implantation modes may all affect the risk of endocarditis
CLINICAL PERSPECTIVE

What Is New?
- This study reports on the incidence and outcomes of infective endocarditis observed in pooled data of 3 randomized controlled trials and a prospective continued access registry comparing transcatheter aortic valve replacement using a self-expanding platform to surgical aortic valve replacement.
- Although infective endocarditis was infrequent after aortic valve replacement therapies, its cumulative incidence was lower after transcatheter aortic valve replacement with a self-expanding valve than after surgical aortic valve replacement.
- Annular abscess formation was more frequently encountered in endocarditis after surgical aortic valve replacement than transcatheter aortic valve replacement without evidence of a different spectrum of causative microorganisms in the 2 groups.

What Are the Clinical Implications?
- Among patients undergoing aortic valve replacement, the risk of infective endocarditis is low irrespective of the mode of replacement.
- Future studies should investigate whether the lower rates of endocarditis and abscess formation after transcatheter aortic valve replacement using a self-expanding valve compared with surgical aortic valve replacement can be corroborated.

Nonstandard Abbreviations and Acronyms

| Abbreviation | Definition                        |
|--------------|----------------------------------|
| IE           | infective endocarditis           |
| PARTNER      | Placement of Aortic Transcatheter Valves |
| SAVR         | surgical aortic valve replacement |
| SURTAVI      | Surgical Replacement and Transcatheter Aortic Valve Implantation |
| TAVR         | transcatheter aortic valve replacement |

associated with each specific TAVR device. This study aims to compare the frequency, timing, and outcomes of IE after TAVR with devices of a supra-annular, self-expanding platform (CoreValve and Evolut) to SAVR based on pooled data of 3 randomized controlled trials and a prospective continued access study in patients with severe symptomatic aortic stenosis covering the whole range of surgical risk.

METHODS

Study Design
Data of 3 multicenter randomized controlled trials comparing TAVR using devices of the self-expanding CoreValve family to SAVR in patients with symptomatic severe aortic stenosis at high (CoreValve high risk; clinicaltrials.gov, NCT01240902), intermediate (SURTAVI [Surgical Replacement and Transcatheter Aortic Valve Implantation]); clinicaltrials.gov, NCT01586910) and low (Evolut Low Risk; clinicaltrials.gov, NCT02701283) surgical risk, and data of the SURTAVI continued access study (clinicaltrials.gov, NCT01586910) were aggregated. The studies were conducted at tertiary, high-volume centers across North America, Asia, Europe, and Oceania, and patients were recruited between February 2011 and November 2018. Patients treated with TAVR received a self-expanding, supra-annular bioprosthesis of the CoreValve family (CoreValve, Evolut R, or Evolut PRO; Medtronic, Minneapolis, USA). Patients undergoing SAVR were treated with any bioprosthetic surgical valve at the discretion of the operator. All studies were approved by appropriately constituted competent ethics committees, study conduct complied with the Declaration of Helsinki, and all participants provided written informed consent before inclusion. Detailed information on the trials administrative structure and the specific protocols have been previously published.3,6,7 Because of the sensitive nature of the data collected for this study, requests to access the data set from qualified researchers trained in human subject confidentiality protocols may be sent to Medtronic, SH&A Clinical Research & Medical Science (8200 Coral Street. MVS66, Mounds View, MN 55112).

Study Population
The study population comprises patients with symptomatic severe aortic stenosis at high, intermediate, or low surgical risk as assessed by the local heart team and the predicted risk of surgical mortality at 30 days based on the Society of Thoracic Surgeons Predicted Risk of Mortality score. All patients were deemed eligible for both TAVR and SAVR by the heart team, and anatomy had to be suitable for both treatment modalities accordingly. Patients with presence of ongoing sepsis were excluded. Details of the eligibility criteria of each included study have been published.3,6,7

Definitions and Follow-Up
IE was defined on the basis of the modified Duke criteria: For definite endocarditis, 2 major criteria, or 1 major and 3 minor criteria, or 5 minor criteria
were required; for possible endocarditis, 1 major and 1 minor criterion, or 3 minor criteria. Endocarditis was classified as early if it occurred within a year of valve replacement, otherwise as late. Clinical endpoints were defined according to the Valve Academic Research Consortium’s (and Valve Academic Research Consortium 2) definitions and adjudicated by an independent clinical events committee. Follow-up was performed at least 3 times in the first year and yearly thereafter, with a maximum follow-up time of 5 years.

**Statistical Analysis**

Analyses were performed in the valve-implanted population comprising the patients in whom a TAVR valve or a surgical valve was actually implanted; sensitivity analyses were performed in the as-treated (attempted trial treatment according to allocation) populations as well as in the as-treated cohort excluding the patients of the SURTAVI continued access study. Patient and procedural characteristics are presented as counts (percentage) for categorical variables and mean (±SD) for continuous ones. P values were derived from Student’s t-tests for comparisons of continuous data and Fisher’s exact tests when the observed count was <5 and otherwise with the chi-square test for categorical variables. Cumulative incidence estimates were derived by modeling the cause-specific hazard, taking into account the competing risk of death, and curves were compared using Gray’s test. Incidence rates and CIs were obtained using normal approximation to the Poisson distribution. Clinical outcomes after endocarditis were assessed by means of the Kaplan–Meier method stratified by the mode of valve replacement and survival curves were compared using the log-rank test. All statistical analyses were performed with the use of SAS software, version 9.4 (SAS Institute Inc, Cary, NC).

**RESULTS**

**Baseline and Procedural Characteristics**

A total of 4301 patients were randomly assigned to TAVR or SAVR in the trials or enrolled in the continued access study. In 4088 patients the assigned valve replacement procedure was attempted, and in 4077 patients a valve was implanted (Figure 1). In the valve-implant cohort 33 cases of endocarditis occurred; 12

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Figure 1. Flowchart depicting the patient flow from the intention-to-treat to the as-treated and valve-implanted cohorts in the randomized trials and the SURTAVI continued access study. FU indicates follow-up; SAVR, surgical aortic valve replacement; SURTAVI, Surgical Replacement and Transcatheter Aortic Valve Implantation; and TAVR, transcatheter aortic valve replacement.
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(0.5%) in 2249 in the TAVR group during a mean follow-up of 2.15 (±1.49) years and 21 (1.1%) in 1828 patients in the SAVR group during a mean follow-up of 2.17 (±1.54) years.

The mean age of the valve-implant cohort was 78.3±7.1 years, 42.1% were women (Table 1). Mean Society of Thoracic Surgeons Predicted Risk of Mortality score was 4.1±2.6. Patients with endocarditis experienced on average more heart failure symptoms (New York Heart Association class III/IV: 72.7% versus 51.8%; \( P = 0.022 \)), and were more likely to suffer from diabetes mellitus (57.6% versus 34.2%; \( P = 0.005 \)) at baseline (Table 1). There were no significant differences in the distribution of baseline characteristics comparing TAVR and SAVR patients with IE (Table S1). The mean time in the catheterization laboratory or operating room did not differ between patients developing endocarditis and those who did not (Table S2). There was a numerical trend toward a higher proportion of concomitant percutaneous revascularization procedures among patients who developed subsequent endocarditis compared with those without endocarditis in the TAVR group (25% versus 8.3%; \( P = 0.07 \)); this was not observed for surgical revascularization in patients treated with SAVR (Table S2).

### Incidence of IE

The incidence rate of IE amounted to 3.74 (95% CI, 2.46–5.01) per 1000 patient-years in the total cohort, 2.47 (95% CI, 1.07–3.87) per 1000 patient-years in the TAVR, and 5.28 (95% CI, 3.02–7.54) per 1000 patient-years in the SAVR group. The overall estimated cumulative incidence of endocarditis at 5 years amounted to 1.28% (95% CI, 0.83%–1.88%), taking into account the competing risk of death. In the TAVR group, the cumulative incidence of endocarditis at 5 years was 1.01% (95% CI, 0.47%–1.96%) and 1.58% (95% CI, 0.97%–2.46%) in the SAVR group (\( P = 0.047 \)) (Figure 2). Sensitivity analyses showed that in the as-treated cohort cumulative incidence was 1.05% (95% CI, 0.50%–1.99%) in the TAVR and 1.59% (95% CI, 0.98%–2.46%) in the SAVR group at 5 years (\( P = 0.07 \)) (Figure S1); cumulative incidence estimates were 1.00% in the TAVR and 1.59% in the SAVR group at 5 years if patients of the SURTAVI continued access study were excluded (\( P = 0.049 \)) (Figure S2). Cumulative incidence rates at 2 years stratified by surgical risk categories are reported in Table S3. There was no indication of a difference in the incidence of IE according to bioprosthetic leaflet tissue type (Figure S3).

### Table 1. Baseline Clinical Characteristics Stratified by Outcome

| Characteristic                        | Endocarditis (N=33) | No Endocarditis (N=4044) | \( P \) Value |
|---------------------------------------|---------------------|--------------------------|---------------|
| Age, y                                | 77.2±7.3            | 78.3±7.1                 | 0.37          |
| Female sex                            | 36.4 (12/33)        | 42.1 (1702/4044)         | 0.51          |
| Body mass index, kg/m²                | 30.7±5.0            | 29.7±6.0                 | 0.35          |
| STS score, %                          | 4.4±2.2             | 4.1±2.6                  | 0.47          |
| NYHA class                            |                     |                          |               |
| I                                     | 0.0 (0/33)          | 3.4 (139/4044)           | 0.024         |
| II                                    | 27.3 (9/33)         | 44.7 (180/4044)          |               |
| III                                   | 66.7 (22/33)        | 45.7 (1847/4044)         |               |
| IV                                    | 6.1 (2/33)          | 6.2 (249/4044)           |               |
| Diabetes mellitus                     | 57.6 (19/33)        | 34.2 (1382/4044)         | 0.005         |
| Serum creatinine >2 mg/dL             | 3.0 (1/33)          | 1.6 (65/4044)            | 0.42          |
| Chronic lung disease                  | 45.5 (15/33)        | 30.8 (1226/3982)         | 0.07          |
| Peripheral vascular disease           | 48.1 (13/27)        | 32.7 (864/2643)          | 0.09          |
| Cerebrovascular disease               | 18.2 (8/33)         | 16.4 (662/4035)          | 0.78          |
| History of hypertension               | 100.0 (33/33)       | 89.6 (3622/4042)         | 0.051         |
| Previous PCI                          | 21.2 (7/33)         | 21.6 (872/4044)          | 0.96          |
| Previous CABG                         | 15.2 (5/33)         | 14.0 (565/4044)          | 0.85          |
| Previous MI                           | 21.2 (7/33)         | 13.1 (528/4044)          | 0.17          |
| Atrial fibrillation/flutter           | 27.3 (9/33)         | 25.7 (1036/4038)         | 0.83          |
| Immunosuppressive therapy             | 9.1 (9/33)          | 5.9 (237/4042)           | 0.44          |
| Preexisting pacemaker or ICD          | 3.0 (1/33)          | 9.9 (400/4043)           | 0.25          |

Data comprise all patients from the CoreValve Pivotal High Risk, SURTAVI, and Evolut Low Risk trials as well as the SURTAVI continued access registry. Data are presented as % (number/denominator) or as mean±SD. \( P \) values are derived from Fisher’s exact tests for categorical variables and Student t-tests for continuous variables.

CABG indicates coronary artery bypass grafting; CCS, Canadian Cardiovascular Society; ICD, intracardiac defibrillator; MI, myocardial infarction; NYHA, New York Heart Association; PCI, percutaneous coronary intervention; and SURTAVI, Surgical Replacement and Transcatheter Aortic Valve Implantation.
Characteristics of IE
A total of 27 (81.8%) of 33 patients fulfilled the modified Duke criteria for definite IE, the remaining the criteria for possible endocarditis (Table 2). Half of the IE cases occurred early (≤365 days), the other half late (>365 days) after valve replacement. Among patients with endocarditis, abscess formation was observed more frequently after SAVR than after TAVR (47.6% versus 8.3%; \( P = 0.027 \)) (Table 2). The most frequent causative microorganisms were *Streptococcus* (33.3%) and *Enterococcus* species (30.3%), followed by coagulase-negative staphylococci (18.2%), and *Staphylococcus aureus* (15.2%) (Table 2). No notable differences were observed between the TAVR and SAVR groups with regard to the detected microorganisms. In both groups, roughly two-thirds of the patients with IE were treated conservatively with antibiotic treatment only, whereas one-third underwent surgical intervention in addition (Table 2).

Outcomes of IE
In the overall cohort, all-cause mortality after the occurrence of IE was 42.3% (95% CI, 24.5%–60.1%) at 1 year. In the TAVR cohort, 1-year all-cause mortality amounted to 27.3% (95% CI, 1.0%–53.6%), in the SAVR group to 51.8% (95% CI, 28.2%–75.3%) (\( P = 0.15 \)) (Figure 3). The composite of all-cause mortality and stroke occurred in 55.0% (95% CI, 24.5%–85.5%) in the TAVR and 64.6% (95% CI, 32.1%–97.1%) in the SAVR group at 2 years (\( P = 0.71 \)) (Figure S4). Mortality in the cohort including only patients of the randomized trials is shown in Figure S5. One-year mortality did not differ between patients with endocarditis with and without abscess formation (45.5% versus 40.7%; \( P = 0.50 \)) (Figure S6). One-year mortality rates stratified by surgical risk category are reported in Table S4.

DISCUSSION
This analysis of pooled data of 3 large randomized clinical trials and a prospective continued access study showed a higher prevalence of diabetes mellitus and symptoms of heart failure in patients developing IE after aortic valve replacement at baseline than in those who do not. Incidence rates of IE were 2.47 per 1000 person-years with TAVR and 5.28 per 1000 person-years with SAVR. In the valve-implanted cohort, the cumulative incidence at 5 years was lower in those who underwent TAVR (1.01%) than those who received SAVR (1.58%). Half of the endocarditis cases occurred within a year of valve replacement. Abscess formation was more frequently reported in patients with endocarditis after SAVR than after TAVR (47.6% versus 8.3%). *Streptococcus* and *Enterococcus* species were the most frequent causative microorganisms.

Figure 2. Cumulative incidence of endocarditis taking into account the competing risk of death in the SAVR group amounted to 0.66% (95% CI, 0.35%–1.15%) at 1 year, and 1.58% (95% CI, 0.97%–2.46%) at 5 years, in the TAVR group to 0.23% (95% CI, 0.12%–0.61%) at 1 year and 1.01% (95% CI, 0.47%–1.96%) at 5 years.

SAVR indicates surgical aortic valve replacement; and TAVR, transcatheter aortic valve replacement.
The reported incidence rates of IE after TAVR and SAVR range between 1% and 2% per year in the vast majority of observational studies.10,12,15,16,18–21 An analysis of pooled data encompassing 8530 patients included in the PARTNER (Placement of Aortic Transcatheter Valves) I and II trial series and registries reported a lower overall incidence rate of IE with 0.5% per year.11 The incidence rates observed in our analysis are in line with these latter findings (0.4% per year). Whether the rates observed in randomized controlled trials are lower because of a reduction in misclassification as a consequence of the independent adjudication of events or rather because of an underreporting of events in these trials as endocarditis was merely a secondary outcome remains unknown.

Several studies have compared the incidence of IE after TAVR with SAVR, Neither crude incidence rates nor studies that performed adjustment for potential confounders by means of regression analysis or propensity-score matching suggest significantly different rates of IE after surgical or transcatheter valve replacement.10,11,16,18,22 In this context, the lower cumulative incidence of endocarditis observed in the TAVR group in this study has to be interpreted with caution.

The potential predictors of IE after aortic valve replacement reported in the literature vary considerably11–13,15,16,21,22; on the one hand, this heterogeneity can be explained by the fact that endocarditis is a rare event, and on the other hand by a lack of granularity of data with respect to patient- and procedure-related factors.

Two-thirds of the endocarditis cases observed in our cohort were caused by typical microorganisms as defined by the modified Duke criteria.9 The high proportion of enterococci species observed as causative microorganisms is consistent with previous reports; the proportion of Staphylococcus aureus appears lower, but inferences are precluded by the low number of overall cases.11,12,14–16,20,23,24

Data comparing the incidence of periannular abscess formation observed in endocarditis cases after SAVR to TAVR are scarce. An observational study that investigated endocarditis cases after aortic valve replacement in Finland also reported higher rates of abscesses detected by echocardiography in the SAVR group (0% versus 32.1% [P=0.011]).22 Rates of periannular aortic abscesses detected in patients undergoing TAVR with diagnosed endocarditis range between 3.6% to 19.1% in the literature12,16,20,25 whereas reported rates in patients undergoing SAVR vary from 30% to 55%.26–28 Whether the higher proportion of periannular abscesses found in the SAVR group is related to procedural differences such as the resection of the native aortic valve and deeper wound trauma incurred during SAVR or whether this finding is by chance or caused by detection bias remains unknown and warrants further investigation in future studies.

In contrast to the discrepancies observed between randomized and observational studies regarding the incidence rates of endocarditis, the 1-year all-cause mortality rate of 42.3% in our cohort is in accordance with the rates observed in observational studies.12,14,18,21,22 Studies consistently report a rapid increase in mortality during the first months after the

| Table 2. Characteristics of Endocarditis Stratified by Mode of Valve Replacement |
|---------------------------------|-----------------|-----------------|----------|
| Characteristic                  | TAVR (N=12), n (%) | SAVR (N=21), n (%) | P Value |
| Early*                         | 6 (50)           | 11 (52.4)       | >0.99   |
| Late*                          | 6 (50)           | 10 (47.6)       | >0.99   |
| Definite†                      | 10 (83.3)        | 17 (81)         | >0.99   |
| Possible‡                      | 2 (16.7)         | 4 (19)          | >0.99   |
| Echocardiographic findings     |                 |                 |         |
| Vegetation                     | 10 (83.3)        | 11 (52.4)       | 0.13    |
| Abscess                        | 1 (8.3)          | 10 (47.6)       | 0.027   |
| Moderate or more valve regurgitation | 2 (16.7)       | 5 (23.8)        | 0.99    |
| Microorganism†                 |                 |                 |         |
| Gram-positive bacilli          | 12 (92.3)        | 20† (100)       | 0.39    |
| Staphylococcus aureus          | 2 (15.4)         | 3 (15)          | >0.99   |
| Coagulase-negative staphylococci | 2 (15.4)         | 4 (20)          | >0.99   |
| Streptococcus species          | 5 (38.5)         | 5‡ (25)         | 0.48    |
| Viridans group streptococci    | 5 (38.5)         | 2 (10)          | 0.08    |
| Non-viridans group streptococci| 0 (0)            | 2 (10)          | 0.51    |
| Enterococcus species           | 3 (23.1)         | 7 (35)          | 0.70    |
| Gram-negative bacilli          | 1 (7.7)          | 0 (0)           | 0.39    |
| Polymicrobial (≥2 microorganisms) | 1 (7.7)         | 3 (15)          | >0.99   |
| Not documented                 | 0 (0)            | 4 (20)          | 0.14    |
| Treatment                      |                 |                 |         |
| Antibiotic only                | 8 (66.7)         | 13 (61.9)       | >0.99   |
| Valve surgery                  | 4 (33.3)         | 8 (38.1)        | >0.99   |

*Early ≤365 days, late >365 days after the index intervention.
†According to modified Duke criteria.
‡In this section, percentages refer to total number of identified microorganisms and not patients.
§One microorganism not further specified.
SAVR indicates surgical aortic valve replacement; TAVR, transcatheter aortic valve replacement.

followed by Staphylococcus aureus. About a third of the endocarditis patients underwent surgical intervention. All-cause mortality after endocarditis was 42.3% at 1 year, with a numerically higher rate in the SAVR than the TAVR group (51.8% versus 27.3%).

In contrast to the discrepancies observed between randomized and observational studies regarding the incidence rates of endocarditis, the 1-year all-cause mortality rate of 42.3% in our cohort is in accordance with the rates observed in observational studies.12,14,18,21,22 Studies consistently report a rapid increase in mortality during the first months after the
occurrence of endocarditis, and a mortality of 30% to 50% of the affected population at 1 year and 50% to 70% at 2 years.12,14,16,21,22 The numerical difference in 1-year mortality after TAVR and SAVR observed in our study did not reach statistical significance and was not attributable to the higher prevalence of abscess formation observed in patients with endocarditis after SAVR.

Although this analysis was based on data obtained from rigorously conducted prospective randomized trials and studies, there are certain limitations. Notwithstanding the independent adjudication of all events by an independent clinical event committee, diagnosis of endocarditis is complex and misclassification is possible as the diagnostic value of the modified Duke criteria is limited, and multimodality imaging, which could enhance diagnostic sensitivity, is not performed frequently enough.29,30 Treatment crossovers may distort results of the comparison between TAVR and SAVR; however, robustness of findings in the valve-implant cohort was assessed by performing sensitivity analyses in the as-treated study population and by excluding the patients of the nonrandomized continued access study. A further limitation of the presented analysis is the lack of information on antimicrobial prophylaxis. The low number of cases precludes the inference of predictors, a more detailed analysis of causative microorganisms in relation to the timing of endocarditis as well as assessment of differences in IE rates between surgical risk categories.

In conclusion, this analysis of pooled prospective data comparing TAVR with a supra-annular, self-expanding device to SAVR showed a low cumulative risk of IE in both groups, although it was lower in the TAVR implant group. If endocarditis occurred, mortality rates were high irrespective of the mode of valve replacement.

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Figure 3. Kaplan–Meier curves depicting all-cause mortality after endocarditis stratified by mode of valve replacement.
In the TAVR cohort, 1-year all-cause mortality was 27.3% (95% CI, 1.0%–53.6%) and in the SAVR group 51.8% (95% CI, 28.2%–75.3%). SAVR indicates surgical aortic valve replacement; and TAVR, transcatheter aortic valve replacement.

| Number at risk | Months post endocarditis | All-cause mortality (%) |
|----------------|--------------------------|-------------------------|
| TAVR           | 12                       | 8                       | 7                       |
| SAVR           | 21                       | 7                       | 5                       |

The numerical difference in 1-year mortality after TAVR and SAVR observed in our study did not reach statistical significance and was not attributable to the higher prevalence of abscess formation observed in patients with endocarditis after SAVR.

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SUPPLEMENTAL MATERIAL
Table S1. Baseline characteristics stratified by mode of valve replacement and outcome in the valve-implant cohort.

| Characteristic                | TAVR          |                  | SAVR          |                  | P value (TAVR vs SAVR with endocarditis) |
|------------------------------|---------------|-----------------|---------------|-----------------|------------------------------------------|
|                              | Endocarditis  | No endocarditis | Endocarditis  | No endocarditis |                                          |
|                              | (N = 12)      | (N = 2237)      | (N = 21)      | (N = 1807)      |                                          |
| Age (yrs)                    | 78.5 ± 5.6    | 78.5 ± 7.1      | 76.5 ± 8.1    | 78.2 ± 7.1      | 0.9992                                    |
| Female sex                   | 41.7% (5)     | 42.6% (953)     | 33.3% (7)     | 41.4% (749)     | 0.9479                                    |
| Body mass index (kg/m²)      | 30.9 ± 5.2    | 29.7 ± 6.1      | 30.6 ± 5.0    | 29.7 ± 5.9      | 0.5044                                    |
| STS Score (%)                | 4.9 ± 1.9     | 4.1 ± 2.5       | 4.1 ± 2.4     | 4.1 ± 2.8       | 0.2291                                    |
| NYHA class                   |               |                 | 0.1080        |                 | 0.12                                      |
| I                            | 0.0% (0)      | 3.4% (76)       | 0.0% (0)     | 3.5% (63)       | 0.73                                      |
| II                           | 25.0% (3)     | 45.2% (1011)    | 28.6% (6)     | 44.2% (798)     |                                          |
| III                          | 66.7% (8)     | 45.4% (1016)    | 66.7% (14)    | 46.0% (831)     |                                          |
| IV                           | 8.3% (1)      | 6.0% (134)      | 4.8% (1)      | 6.4% (115)      |                                          |
| Diabetes                     | 58.3% (7)     | 33.6% (751)     | 57.1% (12)    | 34.9% (631)     | 0.0703                                    |
| Serum creatinine >2 mg/dl    | 0.0% (0)      | 1.4% (31)       | 4.8% (1)      | 1.9% (34)       | 0.6813                                    |
| Condition                        | CoreValve Pivotal High Risk | SURTAVI | SURTAVI continued access | p-value | Odds Ratio |
|---------------------------------|-----------------------------|---------|--------------------------|---------|------------|
| Chronic lung disease            | 50.0% (6)                   | 31.5% (695) | 42.9% (9)               | 29.9% (531) | 0.20 | 0.69 |
| Peripheral vascular disease     | 50.0% (6)                   | 32.3% (489) | 46.7% (7)               | 33.2% (375) | 0.27 | 0.86 |
| Cerebrovascular disease         | 25.0% (3)                   | 16.5% (369) | 14.3% (3)               | 16.3% (293) | > 0.99 | 0.64 |
| History of hypertension         | 100.0% (12)                 | 90.6% (2025) | 100.0% (21)            | 88.4% (1597) | 0.10 | NA |
| Previous PCI                    | 25.0% (3)                   | 21.6% (484)  | 19.0% (4)            | 21.5% (388) | > 0.99 | 0.69 |
| Previous CABG                   | 16.7% (2)                   | 13.8% (308)  | 0.6761 (3)            | 14.2% (257) | > 0.99 | > 0.99 |
| Previous MI                     | 25.0% (3)                   | 13.2% (296)  | 0.2068 (4)            | 12.8% (232) | 0.34 | 0.69 |
| Atrial fibrillation/flutter      | 25.0% (3)                   | 25.6% (572)  | > 0.9999 (6)          | 28.6% (6) | 25.7% (464) | 0.77 | > 0.99 |
| Immunosuppressive therapy       | 0.0% (0)                    | 6.1% (137)   | 0.3764 (3)            | 14.3% (3) | 5.5% (100) | 0.11 | 0.28 |
| Pre-existing PM or ICD          | 8.3% (1)                    | 9.8% (219)   | > 0.9999 (0)          | 0.0% (0) | 10.0% (181) | 0.13 | 0.36 |

Data comprises all patients from the CoreValve Pivotal High Risk, SURTAVI and Low Risk trials as well as the SURTAVI continued access study.

Data is presented as % (number/denominator) or as mean ± standard deviation. P values are derived from Fisher’s exact tests for categorical variables and Student’s t-tests for continuous variables. NYHA, New York Heart Association; CCS, Canadian Cardiovascular Society; PCI, percutaneous coronary intervention; CAGB, coronary artery bypass grafting; TIA, transient ischemic attack; PM, pacemaker; ICD, intracardiac defibrillator.
Table S2. Procedural characteristics stratified by mode of valve replacement and outcome.

| Characteristic                  | TAVR Endocarditis (N = 12) | TAVR No endocarditis (N = 2232) | P value | SAVR Endocarditis (N = 21) | SAVR No endocarditis (N = 1807) | P value |
|--------------------------------|----------------------------|---------------------------------|---------|----------------------------|---------------------------------|---------|
| **Total Time in Cath Lab or OR** |                            |                                 |         |                            |                                 |         |
| N                              | 11                         | 2232                            | 0.70    | 21                         | 1790                            | 0.61    |
| Mean ± SD (minutes)            | 169.4 ± 36.9               | 176.8 ± 63.5                    |         | 283.6 ± 62.2               | 293.0 ± 84.5                    |         |
| **Access Route**               |                            |                                 |         |                            |                                 |         |
| Femoro-iliac                   | 100% (12/12)               | 93.8% (2097/2236)               | NA      | NA                        | NA                              | NA      |
| Subclavian/axillary            | 0.0% (0/12)                | 2.1% (47/2236)                  | NA      | NA                        | NA                              | NA      |
| Direct aortic                  | 0.0% (0/12)                | 4.1% (91/2236)                  | NA      | NA                        | NA                              | NA      |
| **Concomitant revascularization** | 25.0% (3/12)              | 8.3% (186/2237)                 | 0.07    | 15.0% (3/20)              | 15.6% (282/1803)               | > 0.99  |

* Concomitant percutaneous coronary intervention with TAVR, concomitant aorto-coronary bypass with SAVR. TAVR, transcatheter aortic valve replacement; SAVR, surgical aortic valve replacement; N, number; SD, standard deviation; NA, not applicable.
Table S3. Cumulative incidence of infective endocarditis at 2 years stratified by surgical risk category.

|              | TAVR       | SAVR       | TAVR+SAVR  | P value (comparing SAVR to TAVR) | P value (comparing risk categories in overall cohort) |
|--------------|------------|------------|------------|----------------------------------|---------------------------------------------------|
| High risk    | 0.77% (0.22%-2.11%) | 1.15% (0.39%-2.77%) | 0.95% (0.43%-1.88%) | 0.59 |                                   |
| Intermediate risk* | 0.47% (0.18%-1.06%) | 0.78% (0.33%-1.62%) | 0.60% (0.32%-1.04%) | 0.39 |                                   |
| Low risk     | 0.000% (NA, NA) | 2.032% (0.710%-4.649%) | 0.961% (0.344%-2.231%) | 0.010 |                                   |
| Overall      | 0.42% (0.20%-0.80%) | 1.05% (0.63%-1.68%) | 0.71% (0.46%-1.04%) | 0.030 | 0.64                              |

Cumulative incidence takes into account the competing risk of death. For p-values Gray’s tests were used. TAVR, transcatheter aortic valve replacement; SAVR, surgical aortic valve replacement. * SURTAVI und SURTAVI continued access registry.
Table S4. All-cause mortality from infective endocarditis through 1 year stratified by surgical risk category.

|          | TAVR          | SAVR          | TAVR+SAVR | P value (comparing SAVR to TAVR) | P value (comparing risk categories in overall cohort) |
|----------|---------------|---------------|-----------|----------------------------------|-----------------------------------------------------|
|          | % (95%CI)     | % (95%CI)     | % (95%CI) |                                 |                                                     |
| High risk| 20.0% (0.0%, 55.1%) | 100.0% (NA, NA) | 53.3% (20.8%, 85.8%) | 0.06                            |                                                     |
| Intermediate risk* | 33.3% (0.0%, 71.1%) | 40.0% (9.6%, 70.4%) | 36.9% (13.2%, 60.6%) | 0.50                            |                                                     |
| Low risk | NA†           | 33.3% (0.0%, 71.1%) | 33.3% (0.0%, 71.1%) |                                 |                                                     |
| Overall  | 27.3% (1.0%, 53.6%) | 51.8% (28.2%, 75.3%) | 42.3% (24.5%, 60.1%) | 0.15                            | 0.85                                               |

Kaplan Meier rates of all-cause mortality with day 0 set at the day of infective endocarditis diagnosis. 95% confidence intervals were calculated based on linear transformation with the Greenwood variance estimate. P values were derived by Log-rank tests. TAVR, transcatheter aortic valve replacement; SAVR, surgical aortic valve replacement. NA, not applicable. * SURTAVI und SURTAVI continued access registry. † no cases of endocarditis.
**Figure S1.** Cumulative incidence of endocarditis taking into account the competing risk of stroke stratified by mode of valve replacement in as-treated cohort. The cumulative incidence amounted to 1.05% (95% confidence interval (95% CI): 0.50 to 1.99%) in the TAVR group and 1.59% (95% CI: 0.98 to 2.46%) in the SAVR group at 5 years.
Figure S2. Cumulative incidence of endocarditis taking into account the competing risk of stroke stratified by mode of valve replacement in as-treated cohort including only patients of the randomized trials but not the SURTAVI continued access study.
Figure S3. Cumulative incidence of endocarditis taking into account the competing risk of stroke stratified by type of bioprosthetic leaflet tissue.
Figure S4. Kaplan Meier curves depicting all-cause mortality and stroke after endocarditis stratified by mode of valve replacement. In the TAVR cohort 2-year cumulative incidence was 55.0% (95% CI: 24.5 to 85.5%), in the SAVR group 64.6% (95% CI: 32.1 to 97.1%).
**Figure S5.** Kaplan Meier curves depicting all-cause mortality after endocarditis in the valve-implanted cohort stratified by mode of valve replacement including only patients of the randomized trials but not the SURTAVI continued access study.
Figure S6. Kaplan Meier curves depicting all-cause mortality at 1 year in endocarditis patients stratified by presence or absence of paravalvular abscess formation.