Recent advances in holographic recording media for dynamic holographic display

Chao Ping Chen¹, Yikai Su¹ and Chul Gyu Jhun²*

*Correspondence: cgjhun@hoseo.edu

¹National Engineering Lab for TFT-LCD Materials and Technologies, Department of Electronic Engineering, Shanghai Jiao Tong University, Shanghai 200240, China.
²School of Green Energy and Semiconductor Engineering, Hoseo University, Asan, Chungnam 336-795, Korea.

Abstract
An overview of the recent advances in the state-of-the-art holographic recording media dedicated to the dynamic holography display is presented in this paper. Unlike the traditional holographic media, those materials enable the holograms to be updatable rather than permanent like before. Over the past few years, a number of material recipes for this use have been disclosed and can be mainly divided into four classes—photorefractive/photochromic polymers and photorefractive/photochromic liquid crystals. Focusing on five key material properties related to the display application, including diffraction efficiency, applied electric field, recording intensity, rise time and memory, the important findings as well as the underlying mechanisms are unraveled with in-depth discussion. Moreover, a comparison of the four classes of materials is provided, followed by a projection of the potential challenges.

Keywords: Holographic recording media, dynamic, holographic display, photorefractive, photochromic, doped liquid crystals

Introduction

Ever since the runaway success of science fiction blockbuster Avatar, a three-dimensional (3D) craze has been sweeping the whole world in recent years. According to one report announced by Allied Market Research, the global 3D technology market was valued at $46.0 billion in 2013, and is estimated to reach $175.1 billion, by the end of 2020, growing at a compound annual growth rate (CAGR) of 21% during 2013-2020 [1]. Technically, of all sorts of 3D displays, holography is considered as an ultimate technique for displaying 3D images [2]. Unlike the glasses-type 3D [3], it is inherently autostereoscopic as it allows the viewer to perceive the light as it would be scattered by the real object itself without any special eye wear. However, 67 years after its birth in 1947 [4]—almost 20 years older than liquid crystal display (LCD) [5]—holography is still a technology staying in the lab rather than going places in the commercial display markets. One reason, among others that could explain the above situation, is that in traditional holography, dichromated gelatin and silver halide are used as recording media [6], and those materials are incapable of updating holograms, thereby limiting their application merely to the optical storage of still pictures. In order to address this issue, computer-generated holography (CGH) [7] emerged as an alternative method, which bypasses the need of recording by digitally generating holograms. To live up to the standard video frame rate, a huge amount of information up to terabits/s needs to be processed [8]. In fact, this is impractical with the current computers. Another problem bothering CGH lies in the dimension of the spatial light modulator (SLM), whereby the holograms are reconstructed. The most common SLM technologies adopted are liquid crystal on silicon (LCoS) [9] and micro-electro-mechanical systems (MEMS) [10]. Due to the process capability of semiconductors, both of them are quite limited in size, usually below 2 inches in diagonal [11]. Clearly, this falls far short of the expectations for most 3D scenarios. Until 2008, a paper in Nature authored by Tay et al., disclosed an ingenious solution for the above problems [12]. Their basic concept is to refresh integral holographic images through an updatable photorefractive polymer. This would not only save the massive calculations, but be easily scalable in dimension. Inspired by this work, the search for an updatable recording medium with fast response and scalability becomes a hot topic.
In this paper, the latest advances in the exploration and development of emerging holographic recording media are comprehensively surveyed. Per the types of the major components, materials of interest are categorized as photorefractive/photochromic polymers and photorefractive/photochromic liquid crystals (LCs). Additionally, the prospects and challenges toward the practical application are discussed, aiming to give deep insights into the material science and physics behind.

**Review**

**Holographic recording media**

**Photorefractive polymers**

**Mechanism**

Photorefractive effect is a nonlinear optical effect that literally refers to a change in the refractive index of a material caused by light [13]. It can be understood with the following explanations [14,15]: (1) two beams of light intersect in the material to generate a pattern of dark and bright fringes; (2) electrons/holes in bright fringes can be photo-excited to be able to move freely throughout the material; (3) these electrons/holes move under the influence of an applied electric field until trapped in the dark fringes, along with holes/electrons left behind, creating a locally varying space charge field; (4) this varying space charge field in turn changes the refractive index of the local molecules. This effect is firstly observed in inorganic photorefractive crystals [16,17], then organic photorefractive polymers emerge as an alternative for the advantages of large electro-optic response, ease of synthesis, and large area device fabrication. In general, the organic photorefractive polymer is a composite made from four components, including photoconductive polymer, chromophore, sensitizer, and plasticizer. Each of them plays an important role [15]. Photoconductive polymer, generally p-type conductor, offers electrons donation and holes transport. Its conductivity largely hinges on the hole mobility, which is a key parameter dictating the material’s response time. Besides, it provides a structural support for other components. Chromophore is the nonlinear optical material, whose molecules are subject to an orientational or conformational change once exposed to the electric field of the irradiation, thereby altering the refractive index. Plasticizer is to lower the polymer’s glass transition temperature (T_g) for promoting the mobility as well as the reorientation of chromophore [18]. Sensitizer serves as an electron acceptor and improves the polymer’s sensitivity to the visible and near-infrared wavelengths [19]. For the sake of showing a timeline of the recent advances, the materials exemplified in what follows are given in the time sequence.

**PATPD-CAAN:FDCST:ECZ**

This composite was reported by Tsutsumi et al., from the University of Queensland and Nitto Denko Technical Corporation in 2008 [12]. It consists of 44 wt% of poly(N-vinyl carbazole) PVCz (photoconductive polymer), 35 wt% of polyacrylic tetraphenyldiaminobiphenyl (PATPD): carbaldehyde aniline (CAAN) (photoconductive copolymer), 30 wt% of fluorinated dicyanostyrene (FDCST) (chromophore), and 20 wt% of carbazoleethylpropionate (CzEPA) (plasticizer). The copolymer adopted therein is to minimize the phase separation between the functional components usually seen in homopolymer photorefractive composites [20]. In their experiments, a 4×4-inch (active area), 100-μm thick holographic film is demonstrated. The driving of the film is carried out by the so-called “voltage kick-off technique”-starting with 9 kV of voltage for recording and then shifting to 4 kV after recording. As a result, the rise time is boosted dramatically from 20 s up to only 0.5 s, but at the expense of diffraction efficiency (DE) down to 55%, as shown in Figure 1. In our view, the most significant achievement of this work is the concept of using this material for a dynamic integral holography. By doing so, the computational load required for generating the display is reduced to the calculation of sets of straight two-dimensional (2D) images instead of calculating the sophisticated holograms from first principles [6]. For this reason, a figure of merit (FOM) is defined as the ratio of the memory (the time to self-erase) to rise time for the material design.

![Holographic recording media](Image)

**Figure 1.** Recording dynamics of the photorefractive polymer (PATPD-CAAN:FDCST:ECZ) using the voltage kick-off technique. The writing beams at 532nm with 1 W/cm² irradiance are turned on at an applied voltage of 9 kV for a few seconds (writing time), and then turned off. The voltage is then reduced to 4 kV to ensure hologram persistence and high diffraction efficiency. The maximum diffraction efficiency achieved increases with increasing the writing time. Reproduced with permission. Copyright 2008, Nature [12].

**PVCz:7-DCST:CzEPA:TNF**

This composite was reported by Tsutsumi et al., from the Institute of Technology in 2012 [21]. It consists of 44 wt% of poly(N-vinyl carbazole) PVCz (photoconductive polymer), 35
wt% of 4-azacycloheptylbenzylidene-malonitrile (7-DCST) (chromophore), 20 wt% of carbazoylhexylpropionate (CzEPA) (photoconductive plasticizer), and 1 wt% of 2,4,7-trinitro-9-fluorenone (TNF) (sensitizer). Emphasis is placed on proportion of the plasticizer, which brings the $T_g$ down to the $-18.5^\circ$C. The reduction in glass transition temperature facilitates the orientation of the dye molecules. It is also pointed out that higher molecular weight of PVCz would lead to both the higher DE and faster response time. The fabricated holographic film is 1.8 cm$^2$ big and 60-100 µm thick. Its DE and weighted average rise time are measured as 68% and 59 ms, respectively, under an applied electric field of 45 V/µm and a writing intensity of 1.5 W/cm$^2$. Both a real object (coin) hologram and a two-dimensional (2D) image rendered on a SLM are demonstrated.

**PDAA:7-DCST:PCBM:BBP**

This composite was reported by Giang et al., from Kyoto Institute of Technology in 2012 [22]. It consists of 55 wt% of poly (4-(diphenylamino)benzyl acrylate) (PDAA) (photoconductive polymer), 40 wt% of 7-DCST (chromophore), 4 wt% of benzyl n-butyl phthalate (BBP) (plasticizer), and 1 wt% of phenyl-C61-butyric acid methyl ester (PCBM) (sensitizer). Highlighted is the triphenylamine moiety of the photoconductive polymer, which has a fast hole mobility of $10^{-4}$–$10^{-3}$ cm$^2$/V·s. This will result in a fast response time, as the hole mobility governs the speed of the space charge field formation. In addition, the plasticizer BBP is assisted with the acrylate in the PDAA to further reduce $T_g$ as well as viscosity. It is also mentioned that a low viscosity is favorable for making the large-size holographic film. In their experiments, the biggest size of the film is 3.1 inches in diagonal. The measured DE and rise time are 80% and 267 ms, respectively, under an applied electric field of 45 V/µm and a writing intensity of 172 mW/cm$^2$.

**Photochromic polymers**

**Mechanism**

Photochromism is defined as a reversible transformation of a chemical species between two forms having different absorption spectra [23]. In chemistry, isomerization is typical of a photochromism. During the isomerization, not only the absorption spectra but also various physicochemical properties change, such as the refractive index, dielectric constant, oxidation/reduction potential, and geometrical structure. For photochromic polymers, there are two isomers-molecules with the same atoms but different structures-switchable depending on the light or the heat. Take azobenzene, known for its trans-cis isomerization [24], as an instance. The two isomers can be switched with particular wavelengths of light, of which, ultraviolet (UV) light is for trans-to-cis conversion, and blue light for cis-to-trans. Since the whole process only involves with light, photochromic polymers can work without any electric field. To act as updatable recording media, the photochromic polymers are supposed to possess fast response, thermal stability of both isomers, resistance to fatigue during cyclic write-and-erase, and high sensitivity [14].

**Azobenzene side-chain copolymer:LC(E63)**

In 2012, Wu et al., from New Span Opto-Technology and Univ. of Miami introduced a polymer-liquid crystal (LC) colloid-like material [25,26]. It has a quite unique configuration in that the azobenzene side-chain methacrylate-aliphatic copolymers are dispersed within the fluid LCs. Upon the exposure, the isomerization of azobenzene molecules on the side chain will deform the polymer’s main chain, causing a phenomenon called the photomobile effect [27]. The LCs surrounding the polymer chains are therefore redistributed to change the refractive index. The most impressive attribute about this material is its excellent memory that could last for months at room temperature and in ambient lighting conditions (estimated at least one year in a dark condition). This is due to the bulky size of macromolecules like polymers, who require a longer time for a mechanical recovery. And this is also why its rise time is nearly 50 s.

**NACzE:PMMA**

In 2012, Tsutsumi et al., from Kyoto Institute of Technology and Chitose Institute of Science and Technology introduced a monolithic organic compound of 3-[(4-nitrophenyl)azo]-9carbazole-9-ethanol (NACzE) (30 wt%) doped transparent polymethylmethacrylate (PMMA) [28]. The nitrophenyl azo unit in the NACzE accounts for the mechanism as isomerization, which is conducted in an all-optical way, saving thousands of volts of driving voltage necessary for the photorefractive polymers. The fabricated holographic film is 7.5×5 cm$^2$ big and 50 µm thick. Its optical gain is 451 cm$^{-1}$ measured using two-beam coupling method [15], and DE is 40% measured at 632.8 nm using the four-wave mixing [15]. Although the authors title it as “real-time 3D holographic display” with a rise time greater than 30 s, it might be more suitable for the application as signage where infrequent reresal rate is needed.

**PC-bridged imidazole dimer**

In 2012, Ishii et al., from Aoyama Gakuin University and Japan Science and Technology Agency introduced a photochromic [2,2]paracyclophane (PC)-bridged imidazole dimer [29]. This dimer, upon the exposure to UV light, exhibits coloration from colorless to blue, with its C-N bond between the two imidazole rings being homolytically cleaved to give a pair of imidazoyl radicals. A 170-µm thick holographic film is prepared by a simple solution-casting method from the benzene solution of the mixture of the photochromic molecule, poly (ethyl acrylate), and poly (phenoxyethyl acrylate) at a ratio of 1:1:2 wt%. Its dynamic property is demonstrated by tracing the movement of a patterned mask with instantly diffracted images at a time interval of 0.5 s. Besides, after reaching the maximum DE for a rise time of 300 ms, the images can be held up for 100 ms, as depicted in Figure 2. The limitation about this material lies in the DE, which is below 0.1%. The authors
mainly attribute this low DE to the strong absorption of the molecules in the colored form, thinning the effective grating thickness to be the Raman-Nath type \[30\].

**Photorefractive liquid crystals**

**Mechanism**

In principle, LCs that are free of impurities are good insulators and charges must be provoked by some means before photorefractive effect can occur \[31\]. The mostly adopted ways are done by doping LCs with semiconducting particles \[32-35\] or coating the substrate with semiconducting layers \[36,37\]. The semiconducting particles/layers are capable of producing electron-hole pairs, namely excitons, after absorbing photons with energies exceeding the bandgap of the material. Upon the application of an electric field across the LC cell, the electron-hole pairs are separated so as to form the space charge field. To evaluate the performance of photorefractive LCs, one can consider the mobility of charge carriers in LCs and the quantum efficiency of semiconducting nanoparticles/layers—the ratio of the number of converted electrons to the number of incident photons. Compared to the four components required for photorefractive polymers, the composition of photorefractive LCs is apparently simpler. As an aside, the LC-based holographic devices can be easily fabricated at sufficiently large sizes, thanks to the today’s mature LCD technologies.

**C\textsubscript{60}**-doped nematic LC(5CB)

In 2012, Lian et al., from Harbin Institute of Technology proposed a C\textsubscript{60}-doped 4,4’-pentylcyanobiphenyl (5CB) LC \[37\]. In addition to doping, there are two semiconducting ZnSe layers enclosing the LC. By doing so, the photorefractive effect is remarkably intensified as the gain coefficient is up to 350 cm\textsuperscript{-1}. If replaced with bare glass substrates, the same device will see no appreciable nonlinear optical effect, even at a voltage of 1000 V. Since the space charge filed is induced in parallel with the surface, the LC is aligned homeotropically so as to maximize the rotation angle. Using the four-wave...
mixing method, its rise time and DE are measured as 20 ms and 8%, respectively.

**3T-2MB:TNF-doped smectic LC**

In 2013, Sasaki et al., from Tokyo University of Science proposed a ferroelectric LC doped with 10 wt% of 3T-2MB (chiral dopant) and 0.1 wt% of TNF [38]. The ferroelectric LC is often characterized by a spontaneous electric polarization that can be reversed by the application of an external or internal electric field. Reinforced by this spontaneous polarization, the space charge field could rotate LC molecules more easily and faster. Hence, the applied electric field is only 1 V/µm and the rise time is 8 ms. As shown in Figure 3, it has also been found out that a higher concentration of chiral dopant yields a better performance owing to the photoconductivity based on the hopping mechanism [39].

**ZnS/InP-doped nematic LC(5CB)**

In 2014, Li et al., from Shanghai Jiao Tong University proposed a mixture of a nematic LC (5CB) doped with 0.05 wt% of quantum dots (ZnS/InP) [40]. The quantum dots used therein are characterized by a core-shell structure, which is quite effective in enhancing the quantum efficiency by passivating the surface trap states [41]. This enhanced quantum efficiency is responsible for its DE about 20% being higher than the previous two. It has also indicated that the shorter the grating pitch becomes, the faster the rise time is. This is legitimate considering that the charge carries will drift or diffuse at a shorter distance. At an applied voltage of 17 V, the fastest rise time measured is 6.4 ms, while the memory time is 140 ms, as shown in Figure 4.

**Photochromic liquid crystals**

**Mechanism**

Photochromic liquid crystals, especially those doped with azo dyes, occupy an important niche in nonlinear optics on account of their extraordinarily large optical nonlinearities [42]. It was once reported by Lucchetti et al [43], that the nonlinearity of methyl-red doped nematic LCs measured was greater than $10^3 \text{cm}^2/\text{W}$. For holographic display, a large nonlinearity would translate into a lower threshold of laser power. Quite different from photochromic polymers, whose nonlinearity is caused by a conformational change, LCs rotate their molecular axes without changing in shape [44,45]. This in part explains the large nonlinearity they have. To act as updatable recording media, it is preferable for photochromic LCs to have a large birefringence, a low viscosity, and a high clearing point [42].
DR1-doped nematic LC(5CB)

In 2014, Li et al., from Shanghai Jiao Tong University proposed a mixture of a nematic LC (5CB) doped with 4 wt% of an azo dye (disperse red 1, DR1) [46,47]. As shown in Figure 5, it exhibits a rise time as fast as 1.8 ms, which is unparalleled by others mentioned so far. The memory, on the other hand, is relatively short being only 4.8 ms. Because of the anisotropic nature of both LC and dye, the response time as well as DE to a degree depends on the polarization direction of the recording beams. When the polarization coincides with the LC director, same as the absorption axis of the dye, the non-linear optical effect maximizes. Another important finding is the ceiling of the recording intensity, over which the as-recorded gratings becomes vanished. This arises from the thermal effect that would heat LC to its clearing point, at which LC turns out to be isotropic. For its quick response, the authors realize a video-rate holographic display with a refresh rate of 25 Hz. In addition, the multiplexing capability for a color display has also been verified [47].

Conclusions

This paper reviews the current state-of-the-art of the holographic recording media specialized for the dynamic holographic display, as with those materials, holograms can be re-writable and scalable to a large size. Of various nonlinear optical materials, photorefractive/photochromic polymers, photorefractive/photochromic LCs are identified as the four most promising candidates for the above purpose. To evaluate the material properties essential for the display application, typical performance indicators, including DE, applied electric field, recording intensity, rise time, and memory, are listed in Table 1. By comparison, photorefractive polymers stand out in getting high DE up to 90%. This would translate into brighter holographic images for the same power input. Photochrome polymers on average have edges over others in terms of the null electric field, relatively low recording intensities, and excellent memory to self-erase holograms. Photorefractive LCs are mediocre with all performance being ranked in middle. Last but not least, photochromic LCs are considered as an ideal choice for the video-rate display applications, since the case using the azo-dye doped liquid crystals can have a rise time to be as fast as 1.8 ms. Regarding the future holographic recording media, it is expected that the photorefractive/photochromic polymers and photorefractive/photochromic LCs will continue to be the most active materials in this field. From the perspective of display for practical uses, more material parameters need to be taken into account, such as sensitivity, resolution, viewing angle, shelf life etc. While these recent years have seen considerable progress in both physics and material science, there is still a long way for holography to go in the next few decades.

Competing interests

The authors declare that they have no competing interests.

Table 1. Comparison of diffraction efficiency, applied electric field, recording intensity, rise time and memory for various photorefractive/photochromic polymers and photorefractive/photochromic liquid crystals.

| Materials                          | Classes       | Diffraction efficiency (%) | Applied electric field (V/μm) | Recording intensity (mW/cm²) | Rise time (ms) | Memory (hours) | Year  |
|------------------------------------|---------------|-----------------------------|-------------------------------|-------------------------------|----------------|----------------|-------|
| PATPD-CAAN:FDCST:ECZ               | PR⁺ polymers  | 55                          | 90                            | 1000                          | 500            | hours          | 2008  |
| PVCz7-DCST:CzEPA:TNF               | PR polymers   | 68                          | 45                            | 1500                          | 59             | unknown        | 2012  |
| PDAA:7-DCST:PCBM:BBP               | PR polymers   | >80                         | 40                            | 172                           | 267            | ms             | 2013  |
| Azobenzene side-chained methacrylate/LC(E63) | PC⁺ polymers | >10                         | 0                             | 159                           | 50             | months         | 2012  |
| NACzE/PMMA                         | PC polymers   | 40                          | 0                             | 16.6                          | >30            | hours          | 2012  |
| PC-bridged imidazole dimer         | PC polymers   | <0.1                        | 0                             | 1200                          | 300            | ms             | 2012  |
| C₆₀-doped nematic LC(5CB)          | PR LCs       | 8                           | 0.23                          | 300                           | 20             | ~25 ms         | 2012  |
| 3T-2MB:TNF-doped smectic LC        | PR LCs       | 2–3                         | 1                             | 159                           | 8              | ms             | 2013  |
| ZnS/InP-doped nematic LC(5CB)      | PR LCs       | 20                          | 1.4                           | 260                           | 6.4            | 140 ms         | 2014  |
| DR1-doped nematic LC(5CB)          | PC LCs       | 0.6                         | 0                             | 295                           | 1.8            | ms             | 2014  |

⁺PR: Photorefractive; ⁴PC: Photochromic
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