Pomeron Physics at the LHC

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Abstract. We present current and ongoing research aimed at identifying Pomeron effects at the LHC in both the weak and strongly coupled regimes of QCD.

1 Introduction

Hadron collisions in many kinematic regimes display Regge behavior where cross sections grow as a power of the center of mass energy. Regge Theory grew out of pre-QCD S-matrix theory. Amplitudes are seen as unitary, Lorentz invariant functions of analytic momenta.\textsuperscript{1}

Poles in scattering amplitudes represent particle exchange or bound state production.\textsuperscript{2} Using a partial wave analysis, the dominant contribution to simple amplitudes is the exchange of an entire trajectory of particles: Pomeron exchange. Single Pomeron exchange is predictive of a power-law rise in the total cross section\textsuperscript{3} $\sigma_{\text{tot}} \sim s^{\alpha_0 - 1}$ Since the beginning of Pomeron physics, fits to p-p total cross section data have shown some power-law behavior\textsuperscript{4} In these proceedings we review progress in using Pomeron exchange to describe LHC data.

Weak Coupling Pomeron

Balitsky, Fadin, Kuraev, Lipatov (BFKL) asked, and provided the first important answers to, the question: what happens in the Regge limit of QCD? Large logarithms get in the way

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\textsuperscript{1}The goal was to describe scattering experiments without a detailed description of an underlying microscopic theory.
\textsuperscript{2}Generically amplitudes also have cuts corresponding to multiparticle production and non-linear interactions. The physics of cuts much less well understood and we omit any detailed discussion
\textsuperscript{3}Asymptotically, as $s \to \infty$, cross-sections are likely subject to the Froissart bound indicating a maximal growth $\sigma_{\text{tot}} \sim \log^2(s)$.
\textsuperscript{4}The contribution responsible for the empirically observed $\sigma_{\text{tot}}$ rise is referred to as the soft Pomeron. Although recent $\sigma_{\text{tot}}$ measurements show a deviation from a strict power-law, the soft Pomeron approach is still used to fit data and for modeling.
of usual perturbation theory; a resummation of terms $\alpha_s \log(s)$ to all orders is necessary. Scattering amplitudes are dominated by the $t$-channel exchanged of an infinite ladder of reggized gluons (See Figure 1(a)) leading to Regge behavior (power law growth of cross sections with energy). The BFKL equation, an integral equation for Green’s function in Mellin space, is used to described this exchange kernel. The solution can be written

$$G(k, k', q, Y) = \int_{-i\infty}^{+i\infty} \frac{d\omega}{2\pi i} e^{i\omega} f_{\omega}(k, k', q) \rightarrow \int_{-i\infty}^{+i\infty} \frac{d\omega}{2\pi i} e^{i\omega} \sum_{n\in\mathbb{Z}} \int_{\frac{1}{2} - i\infty}^{\frac{1}{2} + i\infty} \frac{d\gamma}{2\pi i} \frac{\bar{\alpha}_s \chi(\gamma, n)}{\omega - \bar{\alpha}_s \chi(\gamma, n)}, \quad (1)$$

where in the leading log (LL) approximation: $\chi(\gamma, n) = 2\psi(1) - \psi(\gamma + \frac{|n|}{2}) - \psi(1 - \gamma + \frac{|n|}{2})$ and $\omega_0 = \frac{4\alpha_s N_c}{\pi} \ln(2)$.

**Strong Coupling Pomeron**

Pomeron phenomenology is applicable over a wide range of kinematics and, particularly if one focuses on small momentum transfers, involves non-perturbative physics. The most promising description of a unified soft/hard Pomeron framework comes from the AdS/CFT duality conjecture. In this framework, a $\mathcal{N} = 4$ susy Yang-Mills theory is dual to a string theory in a higher dimensional curved space. The utility of such a duality is far beyond the scope here, but we mention a few pertinent properties: the duality is strong/weak so that a perturbative, weakly coupled string theroy calculation can give insights into strongly coupled Yang-Mills; the gluon sector of the $\mathcal{N} = 4$ theory is similar to that of QCD; and the extra dimensions and new fields become natural mechanisms for modeling the onset of confinement and saturation.

Within the AdS/CFT duality, the Pomeron has been identified with the Regge trajectory of the graviton\(^5\) (Otherwise known as the BPST Pomeron\(^3\)) Scattering amplitudes computed via Feynman diagram like approach (See Figure 1(b) for a pictorial.) with the leading Regge amplitudes written as a convolution of wavefunctions and Reggeon propagators over AdS space: $A \sim \psi_1(z)\psi_2(z) \ast \chi(z, z', s, t) \ast \psi_3(z')\psi_4(z')$. Here $s$ and $t$ are Mandelstam like invariants and $z$ is an AdS coordinate. Reggeon propagators are reminiscent of the weak coupling partonic description

$$\chi_R \sim \int d(\alpha' s')^2 (1 + \cos(-i\pi j)) G_j(t, z, z') \quad (2)$$

Operator dimensions of AdS Reggeons have an anomalous part and admit a non-trivial convergent expansion in terms of spin, coupling, and twist. These $\Delta - J$ curves can be calculated

\(^5\)The BPST Pomeron naturally connects a hard and soft regime and has diffusive behavior similar to the BFKL Pomeron. An initial description describing the first calculation of $1/\sqrt{\lambda}$ effects can be found in [2].
to high order using a mix of conformal, string, and integrability techniques. Minimizing these curves gives Reggeon intercepts.\[4\]

Applications of the BPST Pomeron have included deep inelastic total cross section measurements from HERA\[5\]–[7], vector meson production\[8\] and diffractive Higgs production\[9\] at the LHC, to predict glueball masses\[10\], and to predict cross sections of non-diffractive central $\eta$ production\[11\].

2 Dijet Processes

Here we review previous efforts, and describe our program in progress, for observing BFKL effects at the current LHC collider kinematics.\[6\] We are focused on exclusive and inclusive dijet production as in Figure 2.

![Figure 2. (a) Mueller-Tang jet-gap-jet process. (b) Mueller-Navelet inclusive dijet process.](image)

2.1 Mueller-Navelet Jets

In the Mueller-Navelet (M-N)\[12\] jet process all the radiation is treated inclusively except for the two jets farthest apart in rapidity. The link between this semi-inclusive process and the purely elastic amplitude of the Pomeron exchange is provided by the optical theorem. Theoretically, this is one of the simplest jet processes sensitive to BFKL effects as the outer most dijet can be thought of coming from 2-to-2 forward ($t = 0$) parton scattering. The BFKL equation greatly simplifies in this regime making both analytic and numerical computations much more tractable; the BFKL gluon ladder is seen as an interference diagram with real emissions that contribute to the cross section instead of being part of the virtual corrections to the 2 to 2 scattering amplitude.

BFKL dynamics are predicted to manifest as an increase in the decorrelation of the momentum of the tagged jets. The configuration of perfect correlation, valid at tree level, is incrisingly altered by the addition of any other emission. In the forward Regge limit, the additional real emissions are enhanced by the large $\log s$. The larger is the rapidity separation between the outer most tagged jets, the wider is the rapidity interval that can be spanned by the ordered gluon emission, which generates the powers of logarithms: M-N jets with a large rapidity separation should be very sensitive to BFKL effects. The jet azimuthal (de)correlation can be quantified considering the Fourier modes of the average cosine of the angular azimuthal difference between the tagged jets $C_n/C_m = \langle \cos(n(\phi_{J_1} - \phi_{J_2} - \pi)) \rangle / \langle \cos(m(\phi_{J_1} - \phi_{J_2} - \pi)) \rangle$. Thanks to the high energy factorization, the coefficients $C_n$ are given by a convolution between the probe dependent jet vertices and the universal gluon Green function:

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\[6\] This kinematical regime is traditionally dominated by DGLAP evolution.
\[ C_m([k_{J_1} | k_{J_2}], Y) = \int dx_1 dx_2 f(x_1) f(x_2) dy_{J_1} dy_{J_2} \delta(y_{J_1} - y_{J_2} - Y) d\phi_{J_1} d\phi_{J_2} \]
\[ \times \cos(m(\phi_{J_1} - \phi_{J_2} - \pi)) \int d{k_1}{k_2} V(k_{J_1}, x_{J_1}, k_1) G(k_1, k_2, \hat{s}) V(k_{J_2}, x_{J_2}, k_2). \] (3)

Here \( Y \) is the rapidity difference between the outgoing jets. The remaining finite part is called the jet vertex \( V(k_{J_1}, x_{J_1}, k_1) \). The jet vertices, as for the gluon Green function, depend on the approximation order and can be defined unequivocally in the BFKL approach. They have been proven to be infrared safe up to the next-to-leading order.

A test of the M-N BFKL predictions was carried out in 2013 by the CMS collaboration.\(^7\) Events with at least two emerging jets with with transverse energy \(|k_J| > 35 \text{ GeV} \) and \(|y_J| < 4.7\) entered the analysis. Jets were reconstructed from the energy deposition in the calorimeter and clustered with the anti-\(k_T\) algorithm with a distance parameter \( R = 0.5\). Results were compared to the BFKL analytical predictions at NLL order\(^8\) and the predictions of several Monte Carlo generators like PYTHIA and HERWIG++ which are based on the DGLAP evolution.

![Figure 3](image-url)

**Figure 3.** From \([13]\), average \( \cos(\Delta \phi) \), i.e. \( C_1/C_0 \), as a function of the rapidity difference \( Y \) compared to DGLAP MC generators on the left and to the analytic NLL BFKL calculations at partonic level on the right. Similar analysis holds for most other ratios \( C_m/C_n \).

Concerning the BFKL predictions, few conclusions were drawn: the analytic NLL calculation predicts a decorrelation above the observed data for the three conformal moments in the whole range of rapidities, except for the data points at \( Y \approx 9 \). A better agreement with data was observed for the ratios \( C_n/C_m \) \((m, n \neq 0)\) along the whole rapidity range. The DGLAP-based MC generator HERWIG++ reproduces the experimental data with satisfactory accuracy for all the observables.

The analysis confirms that contamination from DGLAP evolution dijets affects substantially all the observables containing the total cross-section \( C_0 \) and suggests that a description

\(^7\)Sometimes in the literature \( \Delta y \) is used.

\(^8\)Similar types of analyses have been done with dijet inclusive processes at electron-ion colliders. For a recent example see \([14]\).

\(^9\)The NLO vertex found in the literature, and extensively used for theoretical analyses, is not in fully compatible with the M-N prescription that is used in experimental analyses. The inconsistency can be corrected, and the difference can amount up to \( \sim 4-10\% \) change. Although important, it does not qualitatively alter the conclusions drawn in the analyses of CMS data. The corresponding paper is still unpublished \([17]\), but an outline can be found in \([18]\).
of such observables based on the BFKL approach alone is perhaps not feasible at the current initial energies; the kinematic domain explored in the CMS analysis seems to lie in a transition region between the DGLAP and BFKL regimes for angular decorrelation. This opens the path for alternative or complementary strategies to better disentangle BFKL effects on top of the standard M-N angular decorrelation observables.

2.2 New Observables for Inclusive Dijet Production

![Figure 4. Preliminary comparison of mini-jet $p_T$ and $R_y$ between BFKLEx (red curves) and DGLAP-based PYTHIA8 (gold). PYTHIA results have been rescaled by one order of magnitude for ease of comparison. BFKLEx data is from [21].](image)

Since the geometry of the CMS detector limits the highest accessible rapidity, BFKL effects cannot be enhanced at LHC via increasing the center-of-mass energies. However, observables could be more sensitive to the differences between DGLAP and BFKL evolutions. A promising candidate is to consider the same Mueller-Navelet definition with an additional requirement that excludes events with an insufficient number of distinguished jets in between the tagged jets: counting mini-jet radiation. In fact, the BFKL ordered emission is expected to give rise to a larger mini-jet multiplicity compared to the collinear emissions re-summed in the DGLAP picture.

In addition to multiplicity, other observables were recently proposed [22, 23] using this mini-jet radiation

$$\langle p_T \rangle = \frac{1}{N} \sum_i |k_i|, \quad \langle \theta \rangle = \frac{1}{N} \sum_i \theta_i, \quad \langle R_y \rangle = \frac{1}{N + 1} \sum_i \frac{y_i}{y_{i-1}}, \quad (4)$$

reflecting the average transverse momentum, emission angle, and rapidity ratio of the mini-jets respectively. Initial investigations showed that these observables could be simulated using the BFKLEx MC to give non trivial predictions. In Figure 4 results of a comparison between DGLAP based PYTHIA8 and the BFKLEx for the average transverse momenta and rapidity ratio are plotted. These preliminary results[24] are extremely encouraging: the BFKL average $p_T$ has a very heavy tail and the BFKL $R_y$ is peaked differently from the DGLAP expectation.

However, there are still challenges left to pursue. The data in Figure 4 has cuts for the M-N outer jets similar to that of CMS, $|p_T| > 35$ GeV. However, the mini-jets are simulated with a rather modest $|k_i| > 1$ GeV; increasing to the sensitivity of CMS will require much longer runs. Secondly the overall normalization of the BFKLEx is not fixed. This is primarily an effect of not incorporating PDF effects in the MC; BFKLEx only uses the BFKL kernel. This issue can be circumvented either by including PDF effects in BFKLEx or...
normalizing the distributions in a region where there is a small overall rapidity gap and small \( p_T \) difference between the outer most jets; a region where both DGLAP and BFKL effects might be suppressed and the predictions should agree. The consideration of new observables, like higher moment companions of \( R_y \) might also alleviate these issues.

### 2.3 Mueller-Tang Jets

The process of using large rapidity gaps to isolate and measure high energy asymptotic behavior goes back to [25–27]. The properties of the BFKL hard Pomeron at finite momentum transfer can be investigated at hadron colliders by looking for highly exclusive processes where a dijet is separated by a large rapidity interval devoid of radiation [28]. These dijet events are known as Mueller-Tang dijet events or jet-gap-jet events [29]. At the parton level the simplest configuration consists of 2-to-2 elastic parton scattering where, at large \( s \), the non-forward elastic amplitude is dominated by the exchange of a Pomeron. No real emission is allowed in the internal rapidity region suppressing DGLAP evolution\(^{10}\). Although this is a much cleaner experimental signal of BFKL physics, handling the non-forward amplitude is a more difficult task theoretically.

An observable that gained large popularity is the differential cross section ratio of jet-gap-jet events to inclusive dijet events: \( R = \frac{d\sigma^{JGJ}}{d\sigma^{dijet}} \). In the BFKL approach the jet-gap-jet partonic cross section can be computed as\(^{11}\)

\[
\frac{d\sigma}{dJ_1 dJ_2 dq} = \int dk_{1,2} dk'_{1,2} V_a(k_1, k_2, J_1, q) G(k_1, k'_1, q, Y) \times \ G(k_2, k'_2, q, Y) V_b(k'_1, k'_2, J_2, q),
\]

where \( J = \{ k_J, x_J \} \) collects the variables that specify the jets. It is represented schematically in Fig. 5. The non-forward gluon Green’s function and the jet vertices depend on the approximation order\(^{12}\).

To identify M-T events, the two hardest jets resulting from the color-singlet exchange are selected which: are strongly correlated in their azimuthal angular separation; are balanced

\(^{10}\)This can be seen in Figures 7(a) and 8(a) in the large excess of BFKL events at zero multiplicity.

\(^{11}\)The observable \( R \) contains the gap survival probability \( S \). This has an intrinsic non-perturbative nature [30,34]. In most applications it has been assumed to be an empirically determined constant depending only on the center of mass energy, although recent results have shown it should have some kinematic dependence [35,36].

\(^{12}\)If the jet vertices are kept at leading order the general expression can be greatly simplified. This fact has been extensively used in the phenomenological analyses.
in transverse momenta; and lie on opposite hemispheres of the central detector ($\eta_1 \cdot \eta_2 < 0$). Experimentally, the rapidity gap is defined by means of the absence of particle activity in a fixed rapidity region in the central detector, for instance, at the Tevatron they counted the number of calorimeter towers with energy $E > 0.2$ GeV in the fixed rapidity region $|\eta| < 1$ and defined the rapidity gap events as the ones falling in the lowest multiplicity. It is possible to obtain a high-purity sample of jet-gap-jet events with this definition; for a judicious choice of jet momentum, rapidity, and size gap—the DGLAP evolution is highly suppressed.

M-T jets were investigated at the Tevatron at $\sqrt{s} = 1.8$ TeV [38, 39] and by the CMS collaboration at 7 TeV [40]. The rapidity gap condition was applied only on the central rapidity region $|\eta| < 1$ and only on charged particles with $p_T > 0.2$ GeV. The ratio of dijet events with rapidity gap to the total of dijet events has been measured as a function of the second leading jet $p_T$ and the rapidity difference $\Delta \eta$. Even in this regime, the BFKL can be used to compute the partonic elastic amplitude. The implementation in a Monte Carlo is necessary in order to make a comparison with data; cross section measurements are sensitive to the jet size and the observed rapidity separation between the leading jets is smaller due to the soft radiation from the edge of the jets. Underlying event effects, which are estimated to be small, with the latest MC tuning should also be incorporated in the simulation. A phenomenological study was done of data released by the D0 Collaboration [37] to the BFKL prediction by implementing the NLL BFKL kernel into HERWIG6.5. (See Figure 6). Importantly it was found that improving the BFKL kernel to NLL order and including a large number of conformal spin contributions are both necessary to obtain good agreement with data. In the CMS analysis summary, the results are compared only with the LL BFKL predictions (See Fig. 7(b)). These plots hint the need of higher-order corrections.

The charged multiplicity distribution measured by CMS in Fig. 7(a) is well described by DGLAP models (PYTHIA6) except at zero multiplicity, where we expect to see the jet-gap-
Figure 7. From [40]: (a) Number of charged particle tracks $N_{\text{Tracks}}$ for 40 GeV < $p_{\text{T}}^{\text{sublead}}$ < 60 GeV with $|\eta^{1,2}| > 1.5$ and $\eta^{1} \cdot \eta^{2} < 0$ in the fixed rapidity interval $|\eta| < 1$ of CMS. The red curve combines the LL M-T dijet and PYTHIA6 NLO dijet events. The excess at low multiplicities can be explained due to the color-singlet exchange. (b) The fraction of color-singlet exchange $f_{\text{CSE}}$ dijet events as a function of the subleading $p_{\text{T}}$ measured for $\sqrt{s}=7$ TeV, compared to the LL BFKL predictions for $N_{\text{Tracks}} = 0$. A large numeric and qualitative disagreement between the two can be seen.

jet events. The excess is consistent with the prediction of the HERWIG6.5 event generator using the color-singlet exchange process, even if the LL approximation BFKL kernel is used. There is a hint that the Mueller-Tang jets is an excellent venue to extract the signal of a BFKL Pomeron, even if the event generation was done with the LL approximation kernel and the leading conformal spin. Refining the theoretical prediction up to the full NLL BFKL order is an essential step toward this goal to show that the hard color-singlet exchange gives the correct differential distributions.

2.4 Jet-Gap-Jet Predictions at NLO

Until now the description of M-T jets has focused on incorporating the NLL BFKL kernel, considering higher conformal spin corrections, and understanding soft events that can affect the rapidity gap signature. [37, 41–43]. The path forward is clear: add the NLO jet vertex to the NLL BFKL kernel and perform a full NLO analysis of LHC data. Still, the full NLO calculation is numerically very non-trivial. The convolutions over the transverse momenta in Eqn. 5 can be computed analytically if the vertices are kept at the LL approximation. At NLO, they have to be solved numerically utilizing Monte Carlo integration techniques. Similarly to the M-N process, the corrections are expected to be large and important to accurately predict BFKL physics, though the calculation is absolutely necessary to verify this. In [37], to speed-up computation time, the amplitude is fit to an ad-hoc parameterization and fed into HERWIG6.5 in place of standard QCD 2-to-2 process. More recently a variety of parameterizations was tested [48] and preliminary results show that the best fit parameter-

\[ A \text{ group of Uppsala University has studied the jet-gap-jet process since the time of the Tevatron [44, 45]. They have developed the HARDCOL package, a modified version of the PYTHIA6 event generator, where they embedded the solution of the non-forward LL BFKL equation for the jet vertices and kernel. This implementation considers also the multi parton-parton interactions with the latest tuning in PYTHIA6 based on LHC data at 7 TeV. In addition, they used the Soft Color Interaction model for color rearrangements in the final state through soft gluon exchanges, since such rearrangements can have large effects on rapidity gaps. This approach is complimentary to that proposed here. } \]

\[ \text{Recently the NLO jet vertices were calculated [46, 47] using Lipatov’s effective action. Although the vertices were shown to be finite, there is a careful cancellation of soft and collinear divergences between the real and virtual corrections. In addition, the jet definitions involve complicated non-analytic pieces to prevent gap contamination. Both of these require careful numerical treatments. } \]
ization can be physically motivated by considering asymptotics of the NLL kernel. Figure 8 was generated using this parameterization. Jets are reconstructed using the anti-$k_t$ algorithm with a distance parameter of $R = 0.4$ and the selection cuts described in the CDF, D0 and CMS analyses are applied to them. A definition of the rapidity gap similar to that used at the Tevatron and the LHC is used. While HERWIG6.5 counts with underlying event effects, the modeling does not take into account LHC-era results.

Figure 8. (a) Particle multiplicity in the fixed rapidity region $|\eta| < 1$. Stable particles pass the selection cut $p_T > 0.2$ GeV. A high-purity Mueller-Tang dijets sample can be extracted in the lowest multiplicity bin. (b) Rapidity separation between the two hardest jets in the lowest multiplicity bin.

### 2.5 Inclusive Central Production

The BFKL Pomeron, BPST Pomeron, and QCD as extremely short distances exhibit conformal symmetry\(^{17}\). However, conformal symmetry has historically eschewed a description of scattering process because of the inability to define asymptotic states. Using the AdS/CFT, it was recently shown[49, 50] that effects due to conformal properties can be exhibited in LHC scattering. The central idea, extended in these works, is that instead of considering the scattering of asymptotic states, the emphasis should be on the flow of IR safe quantities. In addition, by utilizing generalized optical theorems, cross sections can be expressed as a discontinuity over the appropriate amplitude. For example, the differential cross section for

\(^{17}\)It is important to note that they are different symmetries.

Figure 9. Fits of the ansatz, Eq.(6), to the ALICE $\sqrt{s_{NN}} = 5.02$ TeV data set for the inclusive production of charged particles in the central regions.
Table 1. Fitting parameters for holographic description of inclusive central production in p-X scattering.

| Dataset          | A/10 (GeV$^{-2}$) | B            | C/(1 GeV) |
|------------------|-------------------|--------------|-----------|
| ALICE 5.02 TeV, $|\eta| < 0.3$ | 38.48 ± 8.26 | 7.23 ± 0.09 | 1.32 ± 0.04 |
| ALICE 5.02 TeV, $-0.8 < \eta < -0.3$ | 37.60 ± 7.97 | 7.22 ± 0.08 | 1.30 ± 0.04 |
| ALICE 5.02 TeV, $-1.3 < \eta < -0.8$ | 43.00 ± 9.29 | 7.30 ± 0.09 | 1.31 ± 0.04 |
| ATLAS 8 TeV      | 4.66 ± 2.60      | 7.03 ± 0.264 | 1.07 ± 0.123 |
| ATLAS 13 TeV     | 5.77 ± 3.38      | 6.96 ± 0.265 | 1.12 ± 0.126 |

inclusive central production can be computed via the AdS/CFT

$$\frac{1}{\sigma_{tot}} \frac{d^3\sigma_{ab\to X}}{dp_{z}^2 dy} \sim \frac{1}{2i s} \frac{1}{M^2_{\text{Disc}}>0} [T_{abc'} \to a'b'c'] \sim \frac{A}{(p_\perp + C)^B} \quad (6)$$

Using this ansatz, data from $\sqrt{s_{NN}} = 5.02$ TeV p-pb collisions at ALICE, and 8 and 13 TeV p-p collisions at ATLAS were analyzed (See Figure 1). Results show conformal behavior near $B = 2\Delta = 8$ regardless of confinement model used. A lower cutoff $C \sim \Lambda_{\text{QCD}}$ is consistent with the onset of confinement effects. Further discussion of deviations from exact conformal behavior can be found in [49].

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