Abstract – This paper shows a high-performance sensorless driver for an induction machine with a Scott-T connection in the stator windings. This connection is used to transform a single-phase machine into a three-phase one by changing the winding configuration, increasing efficiency and minimizing the cost impact. This type of solution can be used as an alternative to the use of high-performance drivers controlling single-phase induction machines. The motor model using the Scott-T connection is developed and the experimental results of a vector control approach by field orientation are shown. A sliding mode observer is also developed for estimating the speed of the machine tested.

Keywords – induction motor, Scott-T connection, sensorless, field orientation control (FOC), sliding mode observer (SMO).

NOMENCLATURE

- $b$: Friction coefficient.
- $i_{sq}, i_{sd}$: q-axis and d-axis stator currents.
- $J$: Moment of the inertia.
- $L_r$: Rotor self-inductance.
- $M_{sr}$: Stator to rotor mutual inductance.
- $P$: Number of pole pairs.
- $R_r$: Rotor resistance.
- $R_s$: Stator resistance.
- $T_L$: Torque of the load.
- $v_{sq}, v_{sd}$: q-axis and d-axis stator voltages.
- $\varphi_{rd}, \varphi_{rq}$: q-axis and d-axis rotor fluxes.
- $\varphi_{sd}, \varphi_{sq}$: q-axis and d-axis stator fluxes.
- $\tau_r$: Rotor time constant.
- $\omega_e$: Electrical speed.
- $\omega_r$: Rotor speed.
- $\omega_{sl}$: Slip speed.

I. INTRODUCTION

At the end of the 1890’s, Charles F. Scott, engineer for the Westinghouse Electric and Manufacturing Company developed a transformer with the capacity of transforming two-phase into three-phase systems. The connection became known as the Scott-T connection, because of the name of the inventor and the “T” shape of the connection on the three-phase side [1].

The Scott-T connection can be used in the stator of a single-phase motor as well. The advantage of using this connection is that a single-phase motor can be transformed in a three-phase motor, just by changing the windings configuration. There is no need for extra tools to make new stator and rotor laminations in a production line if this connection is used [1].

Single-phase induction motors (SPIMs) are widely used, especially for residential applications. These motors are usually at fractional horsepower level and thus are suitable for deployment in residential appliances such as air-conditioners, washing machines, dryers, and refrigerators [2]–[7]. However, generally, the use of these motors presents low efficiency in transients and no controllers are used for the improvement of the dynamics.

The energy saving is one of the important topics nowadays to reduce the energy bill costs and preserve the environment. Therefore, products with high efficiency are indispensable for the fulfillment of the objective of reducing energy consumption and meeting the demand of consumers [8]. Reference [9] shows a significant gain of efficiency using a frequency inverter in the drive of a single-phase induction motor to a washing machine. However, the use of single-phase motors for this type of application is not optimized because the neutral current can be up to 41% higher than the phase currents, making the cost of the frequency inverter higher than necessary. Another problem associated with the solution proposed by [9] is the use of a speed sensor, which in addition to the cost associated with the component, is still subject to failure, compromising the operation of the system.

Generally, applications of this type still have low volume so that the high design costs of a new motor and a new mechanical interface of the platform (in this case an appliance) with the motor can make the project unfeasible. The use of a motor with the Scott-T connection in the stator can help to solve two of the problems involved: the high neutral current and the costs of tooling to manufacture a low volume motor. The motor with the Scott-T connection in the stator, because it is a two-phase motor connected as a three-phase still has an imbalance in the phase electric currents. This imbalance is in the order of 15%, which is much smaller than the 41% of the single-phase induction motor. This motor can still be produced on the same production line as a single-phase motor, using the same stator and rotor laminations, requiring no investment.
II. INDUCTION MOTOR WITH SCOTT-T CONNECTION IN STATOR

It is possible to make the T-connection on any single-phase motor with two coils and a starting capacitor, thus transforming it into a three-phase motor. Taking advantage of the same mechanical structure of a single-phase motor with the auxiliary coil and the main coil exactly alike, it is possible to wind the stator coils differently to fit the T-connection in the stator. Figure 1 shows the connection of a single-phase motor from which the Scott-T motor was derived, where the subscript \( p \) indicates quantities related to the main winding, and the subscript \( a \) indicates quantities related to the auxiliary winding.

Since the original motor has equal phases, the following relations applies for the number of turns, inductance and resistance of main and auxiliary windings:

\[
N_p = N_a \quad ; \quad L_p = L_a \quad ; \quad R_p = R_a.
\]  

The connection between the main coil and the auxiliary coil is transferred to the middle of one of the coils and the winding which is connected to that center is wound with a different number of turns, making the flux amplitude balanced between both axes. The new phases are then connected to a three-phase inverter in Figure 2 (a).

Considering that the motor is running in a sinusoidal steady-state, the Fig. 3 shows the phasors of the motor supply voltage, where \( V_L \) is the line voltage.

By trigonometric analysis, the voltage modulus \( V_{3M} \) is given by:

\[
V_{3M} = \sqrt{\frac{V_L^2}{2}} \quad ; \quad V_{3M} = \frac{\sqrt{3}V_L}{2}. \tag{2}
\]

In order for the fluxes generated in the two circuits (auxiliary and main) to have equal amplitudes, it is necessary that the ratio of turns between the circuits is equal to the voltage ratio, therefore:

\[
\frac{N_{3M}}{N_{12}} = \frac{V_{3M}}{V_L} \quad ; \quad N_{3M} = \frac{\sqrt{3}N_{12}}{2}. \tag{3}
\]

This new winding will be done on top of the main winding of the motor, also having the same mechanical dimensions and spatial distribution of the previous winding. By keeping the same copper weight, the change in the number of turns causes the inductance and resistance parameters of the new circuit to be related to the old circuit by the square of the turns ratio [13], therefore:

\[
\frac{N_{3M}}{N_{12}} = \frac{\sqrt{3}}{2} = a. \tag{4}
\]

\[
L_{3M} = a^2L_p \quad ; \quad R_{3M} = a^2R_p. \tag{5}
\]

Considering that the motor had two balanced phases before being rewound, one can relate the parameters of the new phase to the parameters of the old phase.

III. SCOTT-T INDUCTION MOTOR MODEL

The Fig. 2 shows the Scott-T motor stator and rotor connection. The same mechanical structure of a single-phase induction machine that has the main winding equal to the auxiliary winding, can be used to assemble a three-phase induction machine, using the Scott-T connection. Basically, one of the windings is rewound with less number of turns and connected to the middle point of the other winding. The number of winding turns will be determined by keeping the
rotor flux amplitude in both axes equal. With this in mind, the rotor of the motor can be modeled by two symmetrical windings with 90° from each other [1].

The complete modeling of the machine can be found in [1]. Next, the state space is presented, using as states the rotor fluxes, the stator currents, and rotor speed,

\[
\begin{bmatrix}
\dot{i}_{\alpha}
\dot{i}_{\beta}
\varphi_{\alpha}
\varphi_{\beta}
\omega_r
\end{bmatrix} = \begin{bmatrix}
\gamma & 0 & \frac{M_{sr}}{L_s} & 0 & 0 \\
0 & \gamma & -\frac{1}{\sigma} & \frac{M_{sr}}{L_s} & 0 \\
0 & \frac{M_{sr}}{L_s} & \frac{M_{sr} \omega_r}{L_s} & \omega_r & 0 \\
0 & 0 & \frac{M_{sr} \omega_r}{L_s} & \frac{M_{sr} \omega_r}{L_s} & 0 \\
0 & 0 & 0 & \sigma & 1
\end{bmatrix}
\begin{bmatrix}
\dot{i}_{\alpha}
\dot{i}_{\beta}
\varphi_{\alpha}
\varphi_{\beta}
\omega_r
\end{bmatrix} + \begin{bmatrix}
\frac{1}{T_s}
0
0
0
0
\end{bmatrix}
\begin{bmatrix}
v_{\alpha}
v_{\beta}
T_L
\end{bmatrix}.
\]

The constants in the model are given by:

\[
L_s = L_s \left(1 - \frac{M_c^2}{L_s L_r}\right) ; \gamma = -\frac{1}{L_s} \left(R_s + \frac{M_r^2}{L_r L_r}\right)
\]

\[
\tau_r = \frac{L_r}{K_r}.
\]

IV. VECTOR CONTROL

Vector control by magnetic flux orientation transforms the dynamics of the AC motor similar to that of a separate excitation DC machine. That is, this control promotes a decoupling between AC motor flux and torque, and these quantities are controlled separately, as with DC machines [10], [14].

For the implementation of this control technique, it is common to express the motor model in a rotating reference frame. If this rotating frame is in synchronism with the common to express the motor model in a rotating reference

\[
\dot{\varphi}_{\alpha} = L_r i_{\alpha} + M_{sr} i_{\beta} + \omega_r \varphi_{\beta}
\]

where \((\omega_r - \omega_c)\) is the slip speed. The electrical-magnetic torque will be given by:

\[
T_e = \frac{P M_{sr}}{L_r} (i_{sd} \varphi_{cd} - i_{sd} \varphi_{eq}).
\]

\(P\) is the number of pole pairs.

By isolating the rotor currents on equations (15) and (16), and substituting on equations (11) and (12), yields:

\[
\tau_r \frac{d \varphi_{eq}}{dt} + \varphi_{eq} = M_{sr} i_{eq} - \tau_r \omega_s \varphi_{eq}
\]

\[
\tau_r \frac{d \varphi_{cd}}{dt} + \varphi_{cd} = M_{sr} i_{eq} - \tau_r \omega_s \varphi_{cd}
\]

where \(\tau_r\) is the rotor time constant, and \(\omega_s\) is the slip speed. By choosing the slip speed as:

\[
\omega_s = \frac{M_{sr} i_{eq}}{\tau_r \varphi_{eq}}
\]

and substituting (20) in (19):

\[
\tau_r \frac{d \varphi_{eq}}{dt} + \varphi_{eq} = 0.
\]

By proper choice of the slip speed, it is possible to align the rotor flux vector with the d-axis of the rotating frame. So, if \(\varphi_{eq} = 0\) is achieved, the d-axis rotor flux equation may be expressed as:

\[
\frac{d \varphi_{eq}}{dt} + \varphi_{eq} = M_{sr} i_{eq}.
\]

When the rotor flux is set as:

\[
\varphi_{eq} = M_{sr} i_{eq}
\]

the torque equation can be rewritten as:

\[
T_e = \frac{P M_{sr} \varphi_{eq} i_{eq}}{L_r}.
\]

The g-axis stator current can be used to control the machine torque, while the d-axis current is kept constant to set the machine rated flux.

V. SLIDE MODE OBSERVER

The accuracy of the open loop estimation models reduces as the mechanical speed reduces. The limit of acceptable performance depends on how precisely the model parameters can be matched to the corresponding parameters in the actual machine. It is particularly at lower speeds that parameter deviations have a significant influence on the steady-state and dynamic performance of the drive system. The robustness against parameter mismatch and signal noise can be improved by employing closed loop observers for the estimation of the state variables, and possibly of the system parameters [1].

Sliding mode observers (SMO) can be used to this purpose, because of their inherent features such as robustness, system order reduction, disturbance rejections, parameters insensitivity [15], [16].
Closing the feedback using one unknown part of the model equations as slide mode function make the observer. Slide mode function always represents gained error between the observed and actual value of stator currents. The stability condition is achieved by having a very large gain in the slide function [1].

By taking part of the motor rotor flux state spaces equations in the stationary frame (6), and using the following slide mode function yields:

$$
\begin{bmatrix}
\dot{\phi}_{aSF} \\
\dot{\phi}_{bSF}
\end{bmatrix} =
\begin{bmatrix}
\frac{\dot{\phi}_{a}}{\phi_{b}} + \omega_r \phi_{r\alpha} \\
\frac{\dot{\phi}_{b}}{\phi_{a}} - \omega_r \phi_{r\beta}
\end{bmatrix}
$$

(25)

where the variables with the ̂ sign are estimated variables. Substituting (26) in (6), the current and rotor flux state space equations as slide mode function yields:

$$
\begin{bmatrix}
\frac{d\dot{i}_a}{dt} \\
\frac{d\dot{i}_b}{dt}
\end{bmatrix} =
\begin{bmatrix}
\frac{v_{a\alpha}}{L_{\sigma}} - \frac{1}{L_{\sigma}} \left( R_e + \frac{M_{sr}^2}{L_s L_r} \right) \left[ \dot{i}_a - \hat{i}_a \right] \\
\frac{v_{b\beta}}{L_{\sigma}} + \frac{M_{sr}}{L_s L_r} \left[ \hat{i}_a - \hat{i}_b \right]
\end{bmatrix}
$$

(27)

$$
\begin{bmatrix}
\frac{d\dot{\phi}_{a\alpha}}{dt} \\
\frac{d\dot{\phi}_{a\beta}}{dt}
\end{bmatrix} =
\begin{bmatrix}
\frac{M_{sr}}{\tau_r} \left[ \dot{i}_a - \hat{i}_a \right] - \left[ \dot{\phi}_{aSF} \right] \\
\frac{M_{sr}}{\tau_r} \left[ \dot{i}_b - \hat{i}_b \right] - \left[ \dot{\phi}_{bSF} \right]
\end{bmatrix}
$$

(28)

With equations (27) and (28) it is possible to calculate the observed values of the stator current and rotor flux. On the real implementation for the calculation of the stator currents, a simple integrator discretized by a trapezoidal function is giving good results, because of the closed loop in the current path. For the rotor flux observer, a quasi-integrator was necessary because of drift problems.

A linear region was also added to the SMO sign function, in order to reduce the SMO chattering on the real world implementation.

From the slide function (25) it is possible to calculate the rotor speed by isolation the rotor time constant \( \tau_r \), then the observed speed will be given by:

$$
\omega_r = \frac{\dot{\phi}_{r\beta} \phi_{aSF} - \phi_{bSF} \dot{\phi}_{r\alpha}}{\phi_{r\alpha}^2 + \phi_{r\beta}^2}
$$

(29)

A. Stability of the Sliding Mode Observer

Since the signal function is a nonlinear function, it is necessary to use the Lyapunov stability theorem to analyze the stability of the slider observer. The Lyapunov candidate function for the sliding mode function can be considered as,

$$
V = \frac{l_e^T I_e}{2}
$$

(30)

where,

$$
I_e = \begin{bmatrix}
\dot{i}_a - \hat{i}_a \\
\dot{i}_b - \hat{i}_b
\end{bmatrix}
$$

(31)

The matrix \( l_e^T l_e \) represents the transpose of the \( I_e \) matrix. The derivative of the Lyapunov candidate function with respect to time is given by:

$$
\frac{dV}{dt} = l_e^T \frac{dl_e}{dt}
$$

(32)

The next step is to subtract Equation (27) from the current equations shown in (6), thus obtaining:

$$
\frac{dl_e}{dt} = \gamma l_e + \frac{M_{sr}}{L_s L_r} \phi_{SF} - \frac{M_{sr}}{L_s L_r} A \dot{\phi}_r
$$

(33)

where,

$$
\phi_{SF} = \begin{bmatrix}
\phi_{aSF} \\
\phi_{bSF}
\end{bmatrix} ; \dot{\phi}_r = \begin{bmatrix}
\dot{\phi}_{r\alpha} \\
\dot{\phi}_{r\beta}
\end{bmatrix}
$$

(35)

$$
A = \begin{bmatrix}
\frac{1}{\tau_r} & -\omega_r \\
-\omega_r & \frac{1}{\tau_r}
\end{bmatrix}
$$

(36)

Lyapunov’s stability condition says that:

$$
\frac{dV}{dt} = l_e^T \frac{dl_e}{dt} < 0.
$$

(37)

Substituting equations (33), (26) and the transpose of (31) into (37):

$$
-\frac{1}{L_{\sigma}} \left( R_e + \frac{M_{sr}^2}{L_s L_r} \right) I_e^T I_e - \frac{M_{sr}}{L_s L_r} G I_e^T l_e < 0.
$$

(38)

Resolving inequality (38):

$$
G > \frac{|l_e^T A \dot{\phi}_r| - \frac{1}{\tau_r} \left( R_e + \frac{M_{sr}^2}{L_s L_r} \right) l_e^T I_e}{\left| \dot{i}_a - i_a \right| + \left| \dot{i}_b - i_b \right|}
$$

(39)

Equation (39) shows the stability condition of the sliding mode observer relative to its gain. Making large enough to fit (39) the sliding mode observer will be stable.

VI. CONTROL IMPLEMENTATION

The control of the motor with the T connection is similar to the three-phase machine. Figure 4 shows the diagram block of the control using the SMO.

The motor used in the control was derived from a Permanent Split Capacitor (PSC) motor with two symmetrical windings (main and auxiliary windings). The stator of this motor was rewound with the Scott-T connection. Table I
shows the parameters of the motor. The electrical parameters were taken by the no-load and blocked rotor tests and are already referred to the symmetrical two-phase model of the motor at 25°C.

| Parameter         | Value       |
|-------------------|-------------|
| Nominal voltage   | 185V        |
| Nominal current   | 1.3A        |
| Power             | 1/3 HP      |
| Number of poles   | 4           |
| Stator resistance | 10.05Ω      |
| Rotor resistance  | 13.83Ω      |
| Stator inductance | 247mH       |
| Rotor inductance  | 247mH       |
| Mutual inductance | 226mH       |

VII. EXPERIMENTAL RESULTS

The practical implementation of the induction motor with the Scott-T connection was made by the WEG company. For the design, a single-phase motor with the same primary and secondary windings was selected, generally used for washing machine applications. The motor was modified to have the Scott-T link in the stator and nominal voltage of 185 V.

The results that are shown in this paper were achieved by using the motor connected to a Magtrol dynamometer model HD-800-6N. The data was acquired by using a Labview interface in a desktop computer. The interface uses serial communication with the control board and with the dynamometer controller, to have the data acquired at the same time. The connection between the motor axes and the dynamometer is made through a coupling. Fig. 5 shows a picture of the experimental bench.

The control was implemented on a development board with a digital signal controller (DSC) working with a sampling time of 62.5 µs. The control was designed in the s-plane and after this was discretized using the Tustin method.

All the equations presented in this paper were discretized and implemented in a fixed-point logic. Additional second-orders effects compensations were made in order to maintain a robust control. These second-orders effects such as voltage drop across the IGBTs and diodes, stator resistance measurement before starting the motor, look-up table to compensate rotor saturation based on the slip and a modified space-vector strategy taking into account the motor topology presented in section II, were not presented into this paper due to space restrictions.

The rectifying circuit is composed of 4 diodes, for rectification of the AC signal, and by a capacitor to maintain the voltage in the DC bus. The sensor circuits are formed by shunts for acquiring current and operational amplifiers and passive elements for filtering the current signals. The drive circuit is composed of an integrated circuit containing 6 IGBT’s, forming 3 arms. The connection of the drive circuit to the motor is shown in Fig. 6.

The microprocessor used has the ARM architecture and uses a Cortex M-3 operating at 72 MHz. Fig. 7 shows the picture of the frequency inverter used for this work. In the next subsections will show the tests results. Two different operating points will be analyzed to observe the effectiveness of the proposed control and speed estimation.

A. Motor at 800 rpm with a constant load of 1 Nm

Figure 8 shows the speed response of the control. With the load inserted into the system, the speed response does not present overshoot. Still in Fig. 8 it is possible to notice the deterioration of the speed observed during the reversal of the motor because with the increase of the load in the system, the motor spends some seconds with zero speed. This time at zero speed is the time that the torque controller takes to respond
to the torque step caused by the change of dynamic torque (moving motor) to static torque (motor stopped). The effect is not noticed during motor start-up, because during the start procedure the controller integrators are already pre-charged, which does not occur during the reversal.

Fig. 8. Speed response at 800 rpm.

There is a steady-state error around 57 rpm in relation to the actual speed, which represents a 7.13% error. The estimation error is mainly caused because of the rotor of this motor has closed slots. This characteristic causes the rotor to easily saturate, changing the rotor leakage inductance up to 10 times between locked rotor and the nominal operating point of the motor. To work with the motor using a sensorless algorithm in the high slip region, it is needed to compensate for this non-linear effect.

Figure 9 shows the control of the currents of axis $d$ and $q$, where it is observed that the currents are superimposed on their references.

Fig. 9. Current control at 800 rpm.

B. Motor at 1800 rpm with a constant load of 1 Nm

Figure 12 shows the speed response of the control. During the reversal, the same effect that occurs in the test at 800 rpm with load is noticed.

Fig. 12. Speed response at 1800 rpm.

copper weight on both axes (ideal case) remains the same. That is, the parameters in the $\alpha$-axis are equal to those in the $\beta$-axis. In practice, because of the predetermined wire gauge sizes, the copper weight between the axes is not exactly the same, so that when the motor is referenced to a rotating shaft, the stator resistance terms are dependent on the position of the synchronous axis, falling in the same case as a single-phase induction motor with asymmetric windings [12].

Fig. 10. $\alpha$ and $\beta$ axis currents at 800 rpm.

Fig. 11. Detail of the currents of $\alpha$ and $\beta$ axes at 800 rpm.
There is a steady-state error around 60 rpm relative to the actual speed, which represents a 3.33% error. In this way, it is noticed that with the increase of the speed of the machine, greater is the back-emf of the same, reducing the error of estimation of the speed.

Figure 13 shows the control of the d and q axis currents. Figure 14 shows the currents of the $\alpha$ and $\beta$ axes, which are the currents of the stationary reference frame. The steady-state $\alpha$-axis current has a peak value close to 1.8A, while for the $\beta$-axis this value is approximately 2.2A.

To test the parameter sensitivity of the SMO, the rise of motor temperature was performed. The test consists to keep the motor running with constant torque (in this case 1.47Nm) and a speed command of 1630 rpm, and while the temperature increases see how the real speed of the motor behaves. When the temperature increases, the stator and rotor resistance increases and mismatch the software values, causing errors on the speed estimation.

In Fig. 16 the temperature of the stator is plotted, while in Fig. 17, the speed error between the commanded speed (1630 rpm) and the real speed of the motor are shown. These results show that the SMO is robust against parameters variation caused by temperature and the motor can still run without updating the stator resistance in the software with a temperature delta of almost 60°C.

VIII. CONCLUSIONS

The work presented showed the indirect vector control without the use of a speed sensor applied to an induction motor with a Scott-T connection in the stator. The velocity estimation was performed using an SMO. The results show that the speed estimation is good for slips values around the nominal, and some second order effects compensation are needed when working with higher slips or slow speeds.

Considering the industrial application, the motor and the control technique presented in this work have the objective to make possible an improvement in efficiency and provide an alternative to the industrial environment for the replacement of single phase motors in applications that want better efficiency...
and the ability to operate with variable speed, but always aiming at the lowest possible cost.

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BIOGRAPHIES

Paulo Cesar da Silva, born in 10/01/1986 in Joinville-SC, is an Electrical Engineer (2013) and Master (2015) with Santa Catarina State University. He is currently an doctor student at Santa Catarina State University. His areas of interest are control of systems and electrical drives.

Horácio Beckert Polli, born in 06/15/1985 in Florianópolis-SC, is a Master in Electrical Engineering (2012) with Santa Catarina State University. He is Senior Motor Calibration Engineer at General Motors Automobiles with 8 years of experience in sensorless/sensored Motor Control Solutions and powertrains, embedded software development and debugging, motor and hardware design support through modeling, simulation and experimental results.

Daniel dos Santos Matos, born in 05/14/1983 in Curitibanos-SC, is an Electrical Engineer (2014) and Master (2016) with Santa Catarina State University. He is currently an professor with the Department of Electrical Engineering, Federal Institute of Paraná and is an doctor student at Santa Catarina State University. His areas of interest are control of systems, power electronics and electrical drives.

José de Oliveira, born in 06/15/1961 in Mandaguari-PR, is an Electrical Engineer (1986), Master (1994) and Doctor in Electrical Engineering (2000) with the Federal University of Santa Catarina. He is currently a full professor with the Department of Electrical Engineering, Santa Catarina State University. His areas of interest are control systems, electrical machine actuation and power electronics.

Ademir Nied, born in 06/12/1962 in Santo Ângelo-RS, is an Electrical Engineer (1987), Master (1995) and Doctor in Electrical Engineering (2007) with Federal University of Minas Gerais. He is currently an associate professor with the Department of Electrical Engineering, Santa Catarina State University. His areas of interest are electrical machines, control of electrical drives and neural networks.