Objective: This study investigates the neural basis of inattentional deafness, which could result from task irrelevance in the auditory modality.

Background: Humans can fail to respond to auditory alarms under high workload situations. This failure, termed inattentional deafness, is often attributed to high workload in the visual modality, which reduces one’s capacity for information processing. Besides this, our capacity for processing auditory information could also be selectively diminished if there is no obvious task relevance in the auditory channel. This could be another contributing factor given the rarity of auditory warnings.

Method: Forty-eight participants performed a visuomotor tracking task while auditory stimuli were presented: a frequent pure tone, an infrequent pure tone, and infrequent environmental sounds. Participants were required either to respond to the presentation of the infrequent pure tone (auditory task-relevant) or not (auditory task-irrelevant). We recorded and compared the event-related potentials (ERPs) that were generated by environmental sounds, which were always task-irrelevant for both groups. These ERPs served as an index for our participants’ awareness of the task-irrelevant auditory scene.

Results: Manipulation of auditory task relevance influenced the brain’s response to task-irrelevant environmental sounds. Specifically, the late novelty-P3 to irrelevant environmental sounds, which underlies working memory updating, was found to be selectively enhanced by auditory task relevance independent of visuomotor workload.

Conclusion: Task irrelevance in the auditory modality selectively reduces our brain’s responses to unexpected and irrelevant sounds regardless of visuomotor workload.

Application: Presenting relevant auditory information more often could mitigate the risk of inattentional deafness.

Keywords: event-related potentials, auditory relevance, novelty-P3, inattentional deafness

INTRODUCTION

Inattentional deafness (ID) refers to the neglect of unexpected auditory information. This is a safety critical issue, particularly in scenarios that rely on auditory warnings (e.g., Bliss, 2003). For example, Dehais and colleagues (2014) reported that 11 out of 28 highly trained pilots failed to notice the auditory alarm for landing gear failure that occurred simultaneously with a buffet-inducing windshear.

Typically, ID is attributed to the reduced availability of cross-modal attentional resources to process auditory information, caused by high perceptual load in the competing visual modality (Macdonald & Lavie, 2011; Molloy, Griffiths, Chait, & Lavie, 2015; Raveh & Lavie, 2015). Thus, the demands of visuomotor control caused by sudden windshear, in the example provided previously (i.e., Dehais et al., 2014), consumed the available mental resources that would otherwise have gone toward recognizing and responding to the auditory alarm.

This account is supported by both psychophysical as well as neuroimaging evidence. To test for ID, participants are often required to perform visual tasks of varying perceptual difficulty while irrelevant sounds are presented in the background (Macdonald & Lavie, 2011; Raveh & Lavie, 2015). Those who experience high visual load (e.g., discriminate two lines for their lengths, 3.6° vs. 3.8°) are less likely to hear unexpected sounds than those who performed an easier task (e.g., discriminate two lines for their colors, blue vs. green). Besides behavioral results, Molloy and colleagues (2015) reported that increasing visual search difficulty attenuated auditory evoked potentials of magnetoencephalographic (MEG) recordings to irrelevant audio tones. In other words, information processing demands in the visual modality reduced brain responses and thus the ability to detect irrelevant stimuli in the auditory modality. This
finding agrees with neuroimaging studies conducted in experiments resembling flight control scenarios (Dehais, Roy, Gateau, & Scannella, 2016; Giraudet, St-Louis, Scannella, & Causse, 2015; Scannella, Causse, Chauveau, Pastor, & Dehais, 2013). In an EEG/ERP study, participants were presented with video clips of a primary flight display with flight indicators and required to decide if landing was feasible or not while responding to auditory targets when they occurred. Here, participants were more likely to miss alarms when the simulated scenario presented indicator values that suggested degradation of aircraft status (i.e., heading, magnetic declination, wind speed). More importantly, ERP responses to the presentation of target tones in such high-load aviation-decision scenarios exhibited a smaller P300 component—namely, a positive deflection in the Pz electrode recording, around 450 to 600 milliseconds post sound presentation—than low-load scenarios (Giraudet et al., 2015).

The amplitude of ERP components to visual or auditory stimuli can be treated as an index for information processing—namely, how aware one is of the presented stimuli. An influential account of the functional distinction has previously been provided by Parasuraman and Beatty (1980) whereby the early negative deflection (i.e., N100) is likely to reflect event detection while the later positive deflection (i.e., P300) is associated with both event detection and recognition. Given this, the reported finding of Giraudet et al. (2015) suggests that high-load scenarios that are encountered in the visual domain reduce the brain’s capacity to recognize task-relevant events in the auditory domain.

Dual-task paradigms are often employed to study resource conflicts across operational domains (e.g., driving while using the phone). With EEG/ERP measurements, it is possible to investigate not only the behavioral consequences of resource conflicts but also the potential conflicts of information processing at the neural level (e.g., Wickens, Kramer, & Donchin, 1984). In the context of steering, increasing the difficulty of a primary visuomotor control task results in larger ERP amplitudes (i.e., P300) to secondary task stimuli if they are presented visually, while smaller P300 amplitudes are associated with secondary task stimuli that are presented in the auditory modality (Sirevaag, Kramer, Coles, & Donchin, 1989; Wickens, Kramer, Vanasse, & Donchin, 1983). This concurs with a basic tenet of attentional load theory (Lavie, 1995, 2005) whereby perceptual load in one modality biases the allocation of cross-modal resources to this modality at the cost of another.

Until now, ID is said to occur because of a lack of available resources for processing auditory information. However, cross-modal competition is not a necessary condition for this to happen. A lack of obvious task demands in the auditory domain could also diminish the brain’s capacity to respond, process, and identify auditory information. In other words, while ID could result from an active fatigue of cross-modal resources, which is the favored account thus far, it could also result from the passive fatigue of resources selective for auditory processing (see Desmond & Hancock, 2001; May & Baldwin, 2009). In the context of driving, long durations of experiencing a monotonous environment (e.g., a straight road) has been shown to result in worse steering (Thiffault & Bergeron, 2003), which is referred to as a consequence of under-load as opposed to overload. According to one account, underload conditions cause operators to withdraw resources from a task and induce them to rely on mental schemas of the task scenario instead (Gimeno, Cerezuela, & Montanes, 2006); auditory alarms tend to occur infrequently across many operational scenarios (Cummings, Gao, & Thornburg, 2016)—for example, in the supervision of nuclear power plants (Carvalho, dos Santos, Gomes, Borges, & Guerlain, 2008), air traffic control (Thompson et al., 2006), and anesthesiology (Watt, Maslana, & Mylrea, 1993). Thus, operational requirements for constant vigilance for the occurrence of rare auditory warnings is inefficient for limited mental or attentional resources (Desmond & Hancock, 2001; Gimeno et al., 2006; Manly, Robertson, Galloway, & Hawkins, 1999). For warning sounds to be effective, relevant auditory alarms should occur neither too frequently nor infrequently. If auditory alarms occur too frequently, operators might disregard warning sounds completely. This phenomenon, termed
alarm fatigue, has been reported especially in the health care domain (Cvach, 2012) and corresponds with the concept of active fatigue, as mentioned previously—when the sheer number of auditory alarms overpowers the operator (i.e., drains their resources), auditory warnings are ignored. Similarly, when auditory alarms occur infrequently, passive fatigue is likely to occur, and resources are withdrawn from the seemingly irrelevant (auditory) modality.

Given this, we would like to revisit the first example that was provided for ID (i.e., ID for aviation warnings during flight control; Dehais et al., 2014). In this study, the authors observed that participants who had experienced and noticed a critical auditory alarm in the first trial were five times more likely to detect it in subsequent trials, even in windshear conditions that imposed high visuomotor demands. Given this, we currently posit that ID results from a combination of active fatigue—due to the cross-modal demands from the visual domain, such as vehicle handling (Dehais et al., 2014), visual search (Raveh & Lavie, 2015), aviation landing decision (Giraudet et al., 2015)—as well as passive fatigue in the auditory modality due to the absence of obvious task demands.

How can we evaluate the possibility that the absence of obvious task demands in the auditory domain reduces our capacity for processing sounds? In the current work, we do so by measuring the involuntary neural responses of our participants’ brains to task-irrelevant sounds in their auditory environment. Complex environmental sounds (e.g., human laughter, dog barks) are known to generate characteristic ERPs (termed distraction potentials; Escera & Corral, 2003) even when they bear no task relevance. It is believed that the distraction potential consists of neural components that are responsible for how we detect these unexpected events (i.e., N1), orient our attentional resources to these events (novelty-P3), and reorient the resources back to the task at hand (i.e., reorientation negativity; RON) (Escera & Corral, 2003, 2007; Horváth, Winkler, & Bendixen, 2008; Wetzel & Schröger, 2014). Furthermore, recent evidence suggests that the novelty-P3 consists of two subcomponents that are functionally distinct. While an early subcomponent (early novelty-P3; e-nP3) was shown to be determined by how unexpected the eliciting sound is, in terms of the difference of its physical properties with respect to its environment, a later subcomponent (late novelty-P3; l-nP3) was shown to be determined by the relevance of the eliciting sound (Gaeta, Friedman, & Hunt, 2003; Strobel et al., 2008). These results suggest that only the earlier subcomponent of the novelty-P3 is directly related to the orientation of attention to unexpected events. Its later subcomponent, on the other hand, resembles the well-known P300, an ERP component that is also elicited by task-relevant auditory stimuli (for a summary of P300, see Polich, 2007). Interestingly, similarities between l-nP3 and P300 were also shown in terms of their neural origin. Independent component analysis as well as scalp current density analysis revealed the involvement of posterior-parietal neural regions in the generation of both l-nP3 and P300 (Debener, Makeig, Delorme, & Engel, 2005; Yago, Escera, Albo, Giard, & Serra-Grabulosa, 2003). The spatial topography of l-nP3 and P300 is typically linked to working memory updating operations (Brázdil, Rektor, Daniel, Dufek, & Jurák, 2001; Knight, 1996). Thus, e-nP3 and l-nP3 might underlie different attentional processes, respectively, the attentional orienting to an unexpected event (e-nP3) and the updating of working memory (l-nP3).

In previous work, we established that visuomotor control demands can diminish the late neural responses (i.e., e- and l-nP3) to task-irrelevant environmental sounds (Scheer, Bülthoff, & Chuang, 2016). Others have shown similar findings with visual tasks, such as playing Tetris (Dyke et al., 2015; Miller, Rietschel, McDonald, & Hatfield, 2011). This reflects cross-modal demands of the visual modality on auditory processing. In the current work, we required half of our participants to perform an auditory detection task for target pure tones while performing a visuomotor control task (i.e., compensatory roll compensation with rotorcraft dynamics). Given the theorizing thus far, we hypothesize that selective ERP components to task-irrelevant environmental sounds will be larger when the auditory modality is task-relevant compared to when participants are not required to monitor it. Furthermore, we believe that such an effect
would reflect the allocation of modality-specific resources to the auditory modality and should be independent of cross-modal demands imposed by a visuomotor task. Finally, the affected ERP component(s) will allow us to infer the stage of auditory information processing that suffers during ID from a reduced capacity by our manipulation of auditory irrelevance, which is independent of those imposed by general cross-modal task demands. The implications of this are discussed in more detail after the results are presented.

**MATERIAL AND METHODS**

**Participants**

Forty-eight right-handed volunteers (14 females, 34 males) with a mean age of 26.33 years ($SD = 4.58$) participated in this study. The mean ages of female and male participants were 25.29 years ($SD = 4.41$) and 28.44 years ($SD = 4.64$), respectively. All participants provided signed informed consent. They reported normal vision and hearing and no history of neurological diseases. The experimental procedure was approved by the MPG Ethics Council.

**Stimuli and Apparatus**

The experiment was conducted in an isolated cubicle with a central large display (1027 × 581 mm, 180 cm away) for the visuomotor task and a secondary display that provided tracking performance feedback after each trial. Auditory stimuli were presented via stereo headphones (MDR-CD380, Sony) and a soundcard (sampling frequency: 96 kHz; DELTA1010LT, M-Audio). Customized software in Matlab Simulink controlled the experiment and data collection, and NASA-TLX responses (Hart & Staveland, 1988) were collected with a laptop (see Figure 1).

In the visuomotor task, a white static reference line and another black line that could rotate around the joint center of both lines (length: 16° visual angle, thickness: 2 px) were presented against a blue background to simulate an attitude indicator (see Figure 1, top). A right-handed sidestick (Extreme 3D Pro, Logitech) with a spring constant of 0.6 N/° was used as input device for the visuomotor task. Black line rotations were controlled by a multi-sinusoidal function, comprising 10 nonharmonic frequencies.

**Figure 1.** Experimental task for the auditory (left) relevant and (right) irrelevant conditions. In both conditions, participants were asked to compensate with a joystick for random rotational motions of the black line around the white horizontal line (top: visuomotor control). Additionally, they were presented with auditory stimuli that consisted of frequent (F) and infrequent (I) pure tones and environment sounds (E) (bottom: auditory stimulation). The difference between the two conditions was that participants had to respond to the infrequent pure tones in the auditory relevant condition while all sounds were task-irrelevant in the auditory irrelevant condition. Environment sounds were always task-irrelevant and highly discriminable from pure tones.
that simulate roll disturbances (Scheer et al., 2016).

The auditory stimuli consisted of three sounds, two easily discriminable pure tones (i.e., 300 and 700 Hz) and environmental sounds. Eighty percent of the presented sounds were pure tones of one kind, 10% of the presented sounds were pure tones of the other kind, and 10% of the presented sounds were environment sounds (see Figure 1, bottom). The environmental sounds were randomly sampled from 30 recognizable complex sounds (e.g., human laughter) that were selected from a database with standardized naming norms (Fabiani, Kazmerski, Cycowicz, & Friedmann, 1996) and repeated 13 times each. All auditory stimuli had a random inter-stimulus interval (mean = 1200 milliseconds, $SD = 62$ milliseconds), a mean duration of 336 milliseconds ($SD = 62.5$ milliseconds) and a mean intensity of 60 dB SPL ($SD = 0.31$ dB). To prevent on- and offset clicks, all auditory stimuli began and ended with a 10-millisecond linear intensity gradient.

**Design and Procedure**

The experiment was a between-group design for the main factor of auditory relevance. The experiment consisted of eight experimental blocks that were distributed over two days. Each block comprised two visuomotor (visuomotor) trials and one viewing trial (view), presented in random order. Each trial lasted 4 minutes 26 seconds, with a 20-second break in between. Participants practiced the visuomotor task during EEG preparation, which lasted 15 minutes. Auditory stimuli were only presented on the test trials. After every trial, feedback was provided for visuomotor performance (i.e., normalized root mean square error). After every block, participants were asked to self-report perceived workload on a NASA-TLX questionnaire.

**EEG Recording, Signal Processing, and Statistical Analysis**

EEG recording was obtained from 26 recording sites based on the International 10/20 system and a ground lead (Fpz), using active g.tec Ag/AgCl electrodes (g.LADYbird, g.tec) that were affixed to participants’ heads with a standardized elastic cap. To identify eye-movement artifacts (e.g., blinks), electrooculogram (EOG) recordings were obtained from four additional electrodes, placed at the outer canthi of both eyes and above and below the left eye. Each electrode signal was re-referenced offline to linked mastoid recordings prior to analysis. The signals were amplified in the range between 0 and 2.4 kHz and digitized at a sampling rate of 256 Hz with a digital amplifier (g.USBamp, g.Tec Medical Engineering GmbH).

Signal processing and analysis of the ERP signal was performed using Matlab (MathWorks Inc., USA) and open-source toolboxes EEGLAB (Delorme & Makeig, 2004) and ERPLAB (Lopez-Calderon & Luck, 2014). EEG recordings were high-pass filtered at 0.5 Hz and low-pass filtered at 30 Hz using second-order Butterworth filters, with rolloffs of 12 dB/octave. From the filtered data, epochs from –200 milliseconds to 1000 milliseconds, relative to the presentation onset of environmental sounds, were extracted. Epochs were rejected if they contained blink or eye movement contamination.
in any electrodes. Remaining epochs were averaged and baseline corrected with reference to the pre-stimulus interval (−200 to 0 milliseconds).

The ERPs generated by environmental sounds were submitted to a mass univariate analysis (MUA) for the between-group factor of auditory relevance. This allowed us to identify the time-electrode components that were significantly influenced by the manipulation of auditory relevance. Briefly, multiple two-tailed $t$ tests were applied to the ERPs across the test conditions for auditory relevance to yield $t$ values for every electrode and every 3.9-millisecond time-bin (between 100 and 700 milliseconds post environmental sound onset). The false discovery rate (FDR) was controlled to ensure a true FDR of 5% in spite of multiple testing (Benjamini & Hochberg, 1995; for details and a tutorial, see Groppe, Urbach, & Kutas, 2011). Besides the main effect of auditory relevance, we also investigated whether factors of auditory relevance and visuomotor demands interacted. For this, a difference waveform was derived by subtracting the ERPs elicited in the auditory-irrelevant trials from auditory-relevant trials. This was done separately for the trials in which the visuomotor task was performed and the trials that only required participants to view the visuomotor task passively. The two resulting difference waves for the visuomotor and view-only conditions were submitted to the MUA as described earlier in this section. Here, significant time-electrode components (if any) will indicate interactions between the visuomotor task and auditory relevance (see Figure 3).

RESULTS

The Role of Auditory Relevance on ERPs to Environmental Sounds

The environmental sounds elicited a typical distraction potential in both groups (auditory irrelevant and auditory relevant). This distraction potential included a combined MMN/N1, a novelty-P3 (nP3) with an early and late peak, and a RON. Figure 2 (top) shows the grand averaged waveforms of the elicited distraction potential for all electrodes during the performance of the visuomotor tracking task. The MUA reveals that this distraction potential was reduced for the group of participants for which the auditory modality was irrelevant (pink in Figure 2) relative to the group for which the auditory modality was relevant (green in Figure 2). Interestingly, this attenuation was specific to one component of the distraction potential, namely, the l-nP3 over central electrodes. More specifically, the attenuation occurred in the time window of 395 to 492 milliseconds in the electrodes F3, FC5, FC1, T7, C3, CP1, CP5, P3, PO3, Fz, Cz, CPz, Pz, F4, FC6, FC2, C4, T8, CP2, CP6, and PO4.

Thus, the environmental sounds are processed and elicit a distraction potential for both groups of participants. When the auditory modality was irrelevant, the l-nP3 of the distraction potential is attenuated relative to the group for which the auditory modality is relevant.

The Interaction of Auditory Relevance and Visuomotor Demands

Next, we investigated whether this selective difference in the l-nP3 was affected by the
cross-modal demands of performing a visuo-motor control task. To do so, we derived the difference waveforms (Figure 3, left), which subtracted auditory irrelevant ERPs from auditory relevant ERPs, separately for when the participants performed the visuomotor task (blue line) and the viewing trials (orange line). A mass univariate analysis of these difference waves revealed that the effect of manipulating auditory relevance on distraction potentials did not differ between the trials for viewing and visuomotor task at any timepoint or electrode.

Figure 3 (right) summarizes the results. To reiterate, there was a main effect of auditory relevance on l-nP3 amplitudes, $F(1, 23) = 16.10, p = .00, \eta_p = 0.01$, and a main effect of visuomotor task demands on l-nP3 amplitudes, $F(1, 23) = 6.38, p = .02, \eta_p = 0.12$. However, there was no significant interaction between auditory relevance and visuomotor task demands, $F(1, 23) = 0.48, p = .49, \eta_p = 0.26$.

Visuomotor Performance and Subjective Workload

ERP results suggest that task-irrelevant environmental sounds were processed more when the auditory modality was task-relevant. Here, we report that our manipulation of auditory relevance did not influence visuomotor performance or self-reported mental workload scores (Figure 4).

Visuomotor performance was calculated as the root mean square deviation (i.e., RMSerror) of the rotating line from the reference line, normalized to the roll disturbances of the task. A lower RMSerror indicates better performance. Visuomotor performance did not differ significantly between the two groups, $t(23) = 0.22, p = .83, d = –0.06$. Indeed, a JZS Bayes factor analysis suggested that tracking performance was unlikely to be different across these two conditions ($B_{10} = 0.29$). Thus, the additional auditory task did not impose a cross-modal demand on visuomotor performance.

Self-reported task demands (i.e., NASA-TLX scores) did not differ between the participant groups either, $t(23) = 0.01, p = .99, d = –0.00$. A JZS Bayes factor analysis suggested that self-reported task demands were unlikely to be different across these two conditions ($B_{10} = 0.29$). Thus, participants did not feel that it was more demanding to have to perform an additional auditory task in the current experiment.

It is worth noting that the auditory task was easy given that the experimental objective was
to simply introduce auditory relevance rather than study cross-modal conflicts. Participants who had to perform it generated high detection sensitivity ($d'$ mean = 4.30, SD = 0.70) and fast reaction times (0.61 seconds, SD = 0.07).

**DISCUSSION**

We found that task relevance of the auditory modality selectively increased the $l$-nP3 potential. This suggests that auditory relevance increased the likelihood that our working memory will be updated for the occurrence of environmental sounds (Cycowicz & Friedman, 1998; Gaeta et al., 2003; Strobel et al., 2008). ERP components that underlie the detection and the orientation to environmental sounds, namely, N1 and e-nP3, respectively, were not affected by auditory relevance. Critically, this influence of auditory relevance on $l$-nP3 was independent of whether or not participants were required to perform a visuomotor task. Therefore, we conclude that auditory relevance enhances the likelihood that we update our working memory for environmental sounds regardless of the cross-modal demands of a concurrent visuomotor task. This supports our hypothesis that ID is not solely caused by high workload demands in the visual domain.

In this work, we specifically analyzed the ERPs that were generated as a response to environmental sounds. Our goal was to investigate our participants' capacity for processing unexpected

---

**Figure 4.** (Left): Tracking error as normalized root mean square error for the group without (auditory irrelevant) and with (auditory relevant) the additional auditory target detection task. (Right) Perceived and reported mental workload in the NASA-TLX questionnaire for the group without (auditory irrelevant) and with (auditory relevant) the additional auditory target detection task. Error bars represent the 95% confidence interval, based on the Cousineau-Morey method (Morey, 2008).
and task-irrelevant auditory events. Such ERP waveforms have been termed *distraction potentials* because they indicate our available capacity to engage with events that have no immediate relevance (Escera & Corral, 2003). To recapitulate, the deflections in the distraction potential are, respectively, associated with our capacity to detect (N1), recognize (e-nP3), and update our working memory for changes in our (auditory) environment (l-nP3). The current results show that only the l-nP3 component was selectively enhanced by auditory task relevance (Figure 2). It should be pointed out that the ERP analysis that is employed in this study is data driven. This means that we did not restrict our analyses to a priori ERP components.

If we assume that the chain of ERP components, which compose the distraction potential, reflects the consecutive steps that are necessary to process auditory events, the current results suggest that auditory task-irrelevance selectively reduces our capacity to update our representation of our surroundings. It does not impair our ability to detect or orient toward changes in the environment. To understand and ideally improve our ability to detect changes in our environment, the other stages of auditory information processing, reflected by the components of the distraction potential, should also be taken into account. Current evidence suggests that the detection of and attention-orienting to an unexpected auditory event increases with increasing difference of an auditory event from its immediate environment. For example, larger deviations in an unexpected auditory event’s physical properties from the expected event tend be reflected in larger amplitudes of the early negative ERP component (N1 and mismatch negativity [MMN]) (Rinne, Särkkä, Degerman, Schröger, & Alho, 2006) and e-nP3 (Gaeta et al., 2003). Such findings could be used to improve the detectability of warning sounds by making them more distinct from their immediate environment. Besides detecting unexpected auditory events and updating our working memory, it is also relevant whether and how operators are able to orient their attention away from the unexpected auditory event and back to the main task. This process is reflected by the RON component. The main task in this study was a continuous manual tracking task that did not contain discrete events. Thus, it precluded an evaluation of reorientation of attention from the auditory modality back to the primary task. Future research could employ a step-tracking task instead to directly evaluate the RON component to understand the influence of auditory relevance on the efficiency of reorienting attentional resources to the main task.

High cross-modal demands of the visual domain can impact the different stages of auditory processing in a more general fashion or more selectively, depending on how visual demands are manipulated in the first place. In a previous study that is directly comparable to this work, we demonstrated a more general cross-modal influence of the concurrent visuomotor task on distraction potentials than is currently observed (Scheer et al., 2016). Specifically, the requirements of the visuomotor task attenuated e-nP3, l-nP3, as well as the RON while sparing N1/MMN. The influence of cross-modal demands on auditory processing has been suggested to depend on whether the demands of the visual task are manipulated at either the perceptual or cognitive level (Lavie, 1995, 2005). Manipulations of high perceptual load in the visual task have been found to selectively decrease N1/MMN, where the argument would be that reduced auditory sensitivity is caused by the participants’ inability to even detect the occurrence of auditory events in the first place (A. F. Kramer, Trejo, & Humphrey, 1995; Scannella et al., 2013; Singhal, Doerfling, & Fowler, 2002). On the other hand, manipulating the cognitive demands of the visual task—for example, working memory load in a visual n-back task (SanMiguel, Corral, & Escera, 2008) or the complexity of an aviation decision task (Giraudet et al., 2015)—can selectively decrease later components such as P3 or RON. Although there are different reasons for why and how high visual task demands might induce ID, it appears that auditory irrelevance has a more specific impact. It reduces our capacity to update our representation of the auditory environment, which is a plausible factor that could give rise to ID.

Our current results demonstrate that auditory relevance increased the capacity for auditory processing at the l-nP3 stage independent of visuomotor demands. The experimental manipulation
Auditory Relevance Prevents Inattentional Deafness

437

here did not create conditions that resulted in substantial conflict between the visuomotor and auditory task in any way that was apparent at the behavioral (i.e., visuomotor performance) or subjective (i.e., NASA-TLX workload) level (see Figure 3). Therefore, we believe that auditory relevance has a modality-specific influence on resource capacity.

It continues to be debated whether attentional resources are shared between the modalities (i.e., cross-modal) or specific to them (i.e., modality-specific) (e.g., Keitel, Maess, Schröger, & Müller, 2013; Talsma, Doty, Stroud, & Woldorff, 2006; Wahn & König, 2017). Experimental evidence exists for both assumptions. Numerous dual-task studies have shown that increased demands in a task presented in one modality often decrease performance levels in a concurrent task that is presented in another modality (A. F. Kramer, Wickens, & Donchin, 1983; Sirevaag et al., 1989; Wickens et al., 1983). Nonetheless, the capacity of modality-specific resources can also be manipulated, similar to this study, without influencing the availability of resources in a separate modality. Keitel et al. (2013) employed a more direct approach than we have currently adopted whereby concurrent streams of visual and auditory lexical items were presented and participants were explicitly instructed to attend either to the visual or auditory stream or both. Steady-state EEG/MEG responses indicated that a shift of attention to either sensory stream of information could raise neural activity to that modality without diminishing activity in the unattended modality. Similarly, in our study, we find that l-nP3 to irrelevant sounds can be enhanced by introducing modality relevance independent of cross-modal visuomotor demands. Thus, it is likely that both cross-modal and modality-specific resources exist (cf. Talsma et al., 2006). Our current results suggest that both of them can have an influence on the phenomenon of ID. This would suggest that increasing the capacity of modality-specific resources by making the modality relevant compensates for the risk of ID.

The current findings have at least three important implications for human factors applications. To begin, decreased l-nP3 could be used to index the risk of ID. This means that the operational scenarios that carry the risk of ID could be evaluated without relying on the observation of behavioral misses, which occur rarely, if at all. Task-irrelevant environmental sounds can be embedded in many operational scenarios without compromising their integrity. Future research in signal processing and state classification could also be motivated to perform this assessment in real time, instead of the offline analysis that was performed here. Recent progress in the design of classification algorithms for ERPs is promising and shows that a classification of the state of the human operator is possible even with single trials (Blankertz, Lemm, Treder, Haufe, & Müller, 2011; Freeman, Mikulka, Prinzel, & Scerbo, 1999; Wilson & Russell, 2003). For example, mental workload can be classified with an accuracy of more than 70% after only three presentations of the stimulus of interest using ERP measures (Brouwer et al., 2012). More promising than the risk evaluation of ID is the potential prevention of its occurrence. Our findings show that unexpected auditory information generates larger l-nP3 responses when the auditory modality contains a simple task that neither interferes with visuomotor control nor increases perceived workload. Requiring pilots to perform simple and frequent tasks in the auditory modality could heighten their awareness of the auditory environment, even in situations that pose high visual demands. This could prevent the occurrence of ID to critical auditory warnings (e.g., Dehais et al., 2014).

The current work is limited in that we did not directly observe ID in the overt behavior of our participants. This would have been challenging given the scarcity of its occurrence. Nonetheless, previous studies provide us with sufficient reason to believe that the increased amplitude of l-nP3 to an auditory stimulus reflects the heightened awareness of the auditory environment, which consequently relates to an ability to respond to the given stimulus (Gaeta et al., 2003). Here, increases in l-nP3 corresponded with a necessity to produce an overt reaction to unexpected auditory events relative to situations where no responses were required.

Our findings have implications that are beyond the identification and mitigation of ID. Recent years have witnessed an increasing interest in auditory displays, namely, the presentation of complex data through non-speech sounds.
However, it remains unclear whether the auditory presentation of complex data would interfere with visual data processing. Our current study suggests that modality-specific resources exist and parallel processing of visual and auditory information can occur without interference. Furthermore, our results suggest that environmental sounds can result in an update of working memory content even when no response to these sounds is required. This suggests that auditory displays could indeed produce a background awareness of the system state even when operators are involved in a visual task and do not have to respond to the auditory events.

To conclude, the current findings demonstrate that irrelevance of the auditory modality selectively diminishes late-nP3 responses to environmental sounds. We believe that this is a concomitant factor to the occurrence of ID in the real world given the rare occurrence of auditory warnings and hence a default perception of the auditory modality as being task-irrelevant. Auditory irrelevance and its impact on our reduced ability to update our representation of the auditory environment is an independent factor that does not interact with visuomotor demands.

ACKNOWLEDGMENTS

This research was supported by the German Research Foundation (DFG) within project C03 of SFB/Transregio 161, the International Max Planck Research School (IMPRS) for Cognitive and Systems Neuroscience, Tübingen, Germany, as well as by the Max Planck Society. The authors also wish to express their gratitude to the anonymous reviewers for their efforts and insightful comments.

AUTHORS’ NOTE

Menja Scheer is also affiliated with IMPRS for Cognitive and Systems Neuroscience, Tübingen, Germany. The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

KEY POINTS

- An experiment was conducted to test whether inattentional deafness (ID), the attenuation or neglect of unexpected auditory information, can be caused by the irrelevance of the auditory modality.
- Participants were probed with unexpected auditory events while being involved in a visuomotor tracking task. The auditory modality was task-relevant for half of the participants and not for the remaining half.
- With a data-driven approach for event-related potential (ERP) analyses, we showed that the processing of unexpected auditory information is attenuated if the auditory modality is irrelevant. This suggests that ID can be caused by auditory irrelevance.
- This attenuation was specific to the l-nP3, an ERP component that was suggested to reflect the working memory updating. This suggests that unexpected auditory information is attenuated on a late post-perceptual stage when the auditory modality is irrelevant.
- The attenuation of auditory processing was not accompanied by an increased performance in the visuomotor tracking task, suggesting that modality-specific, instead of cross-modal, resources were influenced by our manipulation.
- The results of the current study could be used to predict the occurrence of ID, based on amplitude decrements of l-nP3, or prevent ID from occurring, even under high perceptual load.

REFERENCES

Benjamini, Y., & Hochberg, Y. (1995). Controlling the false discovery rate: A practical and powerful approach to multiple testing. *Journal of the Royal Statistical Society, 57*(1), 289–300.

Blankertz, B., Lemm, S., Treder, M., Haufe, S., & Müller, K.-R. (2011). Single-trial analysis and classification of ERP components—S tutorial. *NeuroImage, 56*(2), 814–825.

Bliss, J. P. (2003). Investigation of alarm-related accidents and incidents in aviation. *The International Journal of Aviation Psychology, 13*(3), 249–268.

Brázdil, M., Rektor, I., Daniel, P., Dufek, M., & Jurák, P. (2001). Intracerebral event-related potentials to subthreshold target stimuli. *Clinical Neurophysiology, 112*(4), 650–661.

Brouwer, A.-M., Hogervorst, M. A., van Erp, J. B. F., Heffelaar, T., Zimmerman, P. H., & Oostenveld, R. (2012). Estimating workload using EEG spectral power and ERPs in the n-back task. *Journal of Neural Engineering, 9*(4), 1–14. doi:10.1088/1741-2560/9/4/045008

Carvalho, P. V. R., dos Santos, I. L., Gomes, J. O., Borges, M. R. S., & Guerlain, S. (2008). Human factors approach for evaluation and redesign of human-system interfaces of a nuclear power plant simulator. *Displays, 29*(3), 273–284. doi:10.1016/j.displa.2007.08.010

Cummings, M. L., Gao, F., & Thornburg, K. M. (2016). Boredom in the workplace. *Human Factors, 58*(2), 279–300. doi:10.1177/0018720815609503
Polich, J. (2007). Updating P300: An integrative theory of P3a and P3b. *Clinical Neurophysiology, 118*(10), 2128–2148.

Raveh, D., & Lavie, N. (2015). Load-induced inattentional deafness. *Attention, Perception & Psychophysics, 77*(2), 483–492. doi:10.3758/s13414-014-0776-2

Rinne, T., Särkkä, A., Degerman, A., Schröger, E., & Alho, K. (2006). Two separate mechanisms underlie auditory change detection and involuntary control of attention. *Brain Research, 1077*(1), 135–143. doi:10.1016/j.brainsci.2006.01.043

SanMiguel, I., Corral, M.-J., & Escera, C. (2008). When loading working memory reduces distraction: Behavioral and electrophysiological evidence from an auditory–visual distraction paradigm. *Journal of Cognitive Neuroscience, 20*(7), 1131–1145.

Singhal, A., Doerfling, P., & Fowler, B. (2002). Effects of a dual task on the N100-P200 complex and the early and late Nd attention waveforms. *Psychophysiology, 39*(2), 236–245. doi:10.1017/S004857720100143

Wilson, G. F., & Russell, C. A. (2003). Real-time assessment of mental workload using psychophysiological measures and artificial neural networks. *Human Factors, 45*(4), 635–643. doi:10.1518/hfes.45.4.635.27088

Thornton, E. L., & Whelan, S. J. (2013). Spatiotemporal dynamics of the auditory novelty-P3 event-related brain potential. *Cognitive Brain Research, 49*, 383–390.

Menja Scheer received a PhD in neuroscience at the Eberhard Karls University, Tübingen, Germany in 2017, and is affiliated with the Max Planck Institute for Biological Cybernetics, Tübingen, Germany, and IMPRS for Cognitive and Systems Neuroscience, Tübingen, Germany.

Heinrich H. Bülthoff received a PhD in biology at the Eberhard Karls University of Tübingen, Germany in 1980, and is affiliated with the Max Planck Institute for Biological Cybernetics, Tübingen, Germany.

Lewis L. Chuang received a PhD in neuroscience at the Eberhard Karls University of Tübingen, Germany in 2011 and is affiliated with the Max Planck Institute for Biological Cybernetics, Tübingen, Germany.

*Psychological Science*