The prevalence of PH was 21.9% (40 patients). The median estimated systolic pulmonary artery pressure was significantly higher in patients with PH compared to those without PH. Higher values for LAA% (LAA% ≥ 30%) and interstitial abnormalities independently increased the risk of PH. The ratio of main pulmonary diameter to aortic artery diameter was significantly correlated with estimated systolic pulmonary artery pressure. In the follow-up analysis, the frequency of exacerbations in three years was significantly higher in patients with PH compared to patients without PH.

Conclusion: In this study, we identified the clinical characteristics of COPD patients with PH detected by echocardiography. The presence of PH assessed by echocardiography was related to future COPD exacerbations and closely related to radiographical emphysema.

Keywords: comorbidity, COPD, echocardiography, pulmonary hypertension

Introduction

Chronic obstructive pulmonary disease (COPD) is currently the fourth leading cause of death in the world. Although COPD is defined by the presence of chronic airflow limitation, recent epidemiological studies have shown that COPD is frequently associated with comorbidities that modify disease expression, disease burden, and survival. Previously, we reported the importance of various comorbidities in Japanese COPD patients.
Pulmonary hypertension (PH) is a serious comorbidity of COPD because it is associated with increased risk of hospitalization, decreased exercise capacity, and survival. Right-heart catheterization (RHC) remains the “gold standard” for the diagnosis of PH, but there are significant risks and cost issues associated with this procedure. Thus, it is difficult to justify an RHC in all COPD patients, especially in cases with mild-to-moderate disease. Actually, most reports about PH in COPD patients using RHC enrolled patients with severe airway obstruction such as lung-volume-reduction surgery or lung transplants.

Transthoracic echocardiography is used as a substitute screening tool in suspected PH to estimate the pulmonary artery pressure from continuous-wave Doppler measurements. The agreement between the pulmonary pressure values determined by RHC and echocardiographic measurements has been confirmed in vascular heart diseases and PH. However, most studies on PH in COPD patients used RHC for its diagnosis, and only a few reports about clinical characteristics of COPD patients used echocardiography to diagnose PH. Furthermore, neither the association between PH detected by echocardiography and chest-related disorders such as emphysema or airway diseases verified by computed tomography (CT) nor the influence of PH presence on COPD-related outcomes in longitudinal follow-up studies has been elucidated. Thus, the aim of this study is to investigate the clinical characteristics of COPD patients with PH detected by echocardiography, as well as the association of PH with chest CT parameters and some outcomes, such as COPD exacerbation and health status, over a three-year observation period.

Materials and Methods

Study Population

The overall design of the Keio COPD Comorbidity Research (K-CCR) has been published previously. The current study was a three-year, prospective, observational study that enrolled 572 men and women, aged 40–91 years, diagnosed by pulmonary physicians between April 2010 and December 2012 with COPD (n = 440) or as being at risk of COPD (n = 132). Data of COPD patients who underwent CT and echocardiography at baseline were analyzed (Figure 1). All patients were clinically stable at all assessments and had no exacerbations for at least one month before enrollment. Patients whose left ventricular ejection fraction (LVEF) was on echocardiography <55% (n = 60), or the tricuspid regurgitant jet could not be identified on echocardiography (n = 115) were excluded; finally, the datasets of 183 patients were analyzed. Written informed consent for the use of data was obtained from each patient, and the study (University Hospital Medical Information Network; UMIN000003470) was approved by the ethics committees of Keio University and its affiliated hospitals. All aspects of this study conformed to the tenets of the Declaration of Helsinki.

Assessment of Clinical Parameters

At enrollment and annually, a full medical and smoking history, including exacerbations and comorbidities was obtained. Spirometry was performed in all patients using an electronic spirometer (CHESTAC-9800; CHEST, Tokyo, Japan) according to the American Thoracic Society guidelines. Lung volumes were obtained using body plethysmography. Blood samples were analyzed for the assessment of leukocytes, C-reactive protein (CRP), and brain natriuretic peptide (BNP). The Japanese version of the COPD assessment test (CAT), the St. George’s Respiratory Questionnaire (SGRQ), and the Study Short-Form 36-Item (SF-36) version were completed at baseline and annually thereafter.

Echocardiography

Echocardiography was performed by four well-trained laboratory technicians, who each had at least 15 years of work experience. Echocardiography measurements were obtained using two commercially available echocardiography systems (GE Vivid7/Vivid9; GE Healthcare, Horten, Norway; and iE33/Sonos7500; Philips, Amsterdam, Netherlands). A 2.5-MHz transducer was used to obtain the images in the parasternal and apical views, corresponding to the standard long-axis, and two-chamber and four-chamber images, respectively. Standard two-dimensional and color Doppler data were collected. The estimated systolic pulmonary artery pressure (eSPAP) was calculated according to previously published guidelines. In summary, right atrial pressure was estimated to be 3, 8, or 15 mmHg from the diameter of the inferior vena cava (IVC) and the presence or absence of collapse with sniff. Then, the pressure gradient of the tricuspid valve estimated from the peak velocity of tricuspid regurgitation was added to the estimated right atrial pressure to calculate eSPAP. PH was defined by an eSPAP ≥36 mmHg.
Assessment of Low-Attenuation Areas and Airway Wall Thickness on Chest CT

High-resolution CT was performed using four multi-detector CT scanners. All subjects underwent a volumetric CT at full inspiration and at the end of normal expiration on four CT scanners that were calibrated using a phantom at the start of the study. The emphysema extent was quantified as the ratio of the low-attenuation area to the total lung volume (LAA%) with Hounsfield units <-950 using a custom-made software (AZE, Ltd., Tokyo, Japan). The presence of an emphysema was defined as LAA% ≥10%, and it was classified as mild (≥10% to <20%), moderate (≥20% to <30%), or severe (≥30%). The percentage of airway wall area (WA%) was assessed in identified bronchi, as reported previously. Interstitial abnormalities were assessed using the sequential reading method. The main pulmonary artery diameter was measured at the widest portion of the pulmonary artery within 3 cm of the bifurcation. The descending aortic artery diameter was also measured on the same slice, and the ratio of main pulmonary artery diameter to aortic artery diameter (PA/Ao) was calculated.

Statistical Analysis
Data are presented as the mean ± standard deviation (SD) or as the median (interquartile range [IQR]). Data between the two groups were compared using Student’s t-test, Mann–Whitney U-test, or χ² test. Univariate and multivariate logistic regression analyses were performed to assess factors related to the presence of a PH. Receiver

Figure 1 Process of patient selection in this study. Only data from COPD patients with spirometrically confirmed COPD (FEV₁/FVC < 0.7), as well as CT and echocardiography measurements at baseline, were selected and analyzed.

Abbreviations: COPD, chronic obstructive pulmonary disease; SPAP, systolic pulmonary artery pressure; CT, computed tomography; FEV₁, forced expiratory volume in 1 second; FVC, forced vital capacity.
operating characteristics (ROC) curves were constructed to assess the areas under the curves (AUCs). We investigated the optimal cutoff value by maximizing the Youden index. Correlations between continuous variables were evaluated using Spearman’s rank correlation coefficient. For all tests, two-sided p-values <0.05 were considered significant. Data were analyzed using JMP 14 software (SAS Institute, Cary, NC).

Results
Clinical Features of the Study Population
Table 1 shows the baseline characteristics of the study population. The median age of COPD patients was 74 years. The numbers of COPD patients according to the Global Initiative for Chronic Obstructive Lung Disease (GOLD) grades 1, 2, 3, and 4 were 56, 84, 34, and 9, respectively. COPD patients whose tricuspid regurgitant jet could not be identified on echocardiography (n = 115) had lower %FEV₁ compared to those with estimated eSPAP (n=183) (p = 0.03). PH was present in 21.9% of the patients with estimated eSPAP. The distribution of eSPAP values in the population with PH is shown in Figure 2. The median eSPAP was 38.8 mmHg in COPD patients with PH.

Characteristics of COPD Patients with PH Assessed by Echocardiography
The comparison of patient characteristics stratified by the presence of PH is shown in Table 2. Patients with PH were significantly older (75.5 years vs 73.0 years, p = 0.04) and had a lower body mass index (BMI; 21.6 ± 3.0 vs 22.8 ± 3.0, p = 0.02) than patients without PH. The BNP values were higher in patients with PH compared to those without PH (33.1 pg/mL vs 24.2 pg/mL, p = 0.01). There were no differences in the ratio of patients receiving long-term oxygen therapy, prescription of vasodilators, serum leukocytes, CRP levels, and LVEF by echocardiography between the two groups. The ratio of patients prescribed diuretics was higher in patients with PH compared to those without PH (5.6% vs 0.0%, p = 0.04).

Association of PH Assessed by Echocardiography and Health Status in COPD Patients
At baseline, COPD patients with PH presented a significantly higher total CAT score compared to those without PH (16.0 vs 11.0, p = 0.01; Figure 3A). Additionally, the SGRQ components total score, activity, and impact were significantly higher in COPD patients with PH compared to those without PH (total, 42.0 vs
Table 2 Comparison of the Characteristics Stratified by the Presence of PH

|                                  | COPD Patients Without PH | COPD Patients with PH | p-value |
|----------------------------------|--------------------------|-----------------------|---------|
| N                                | 143                      | 40                    |         |
| Pulmonary artery pressure, mmHg  | 27.0 (23.0–30.0)         | 38.8 (36.0–53.0)      | <0.01   |
| Age, years                       | 73.0 (67.0–79.0)         | 75.5 (71.3–80.0)      | 0.04    |
| Sex, female, n (%)               | 17 (11.9)                | 6 (15.0)              | 0.60    |
| Smoking index, pack-years        | 46.0 (35.2–70.1)         | 51.0 (37.9–68.9)      | 0.84    |
| Current smokers, n (%)           | 15 (10.7)                | 2 (5.1)               | 0.29    |
| Cancer, n (%)                    | 24 (21.4)                | 11 (30.6)             | 0.26    |
| Coronary artery disease, n (%)   | 15 (13.4)                | 2 (5.6)               | 0.20    |
| LTOT, n (%)                      | 9 (11.0)                 | 2 (7.4)               | 0.59    |
| Prescription of diuretics, n (%) | 0(0%)                    | 8(5.6%)               | 0.04    |
| Prescription of vasodilators, n (%) | 0(0%)              | 1(0.7%)               | 0.48    |
| SpO2, %                          | 96.0 (95.0–97.0)         | 96.0 (95.0–97.0)      | 0.75    |
| BMI, kg/m²                       | 22.8 ± 3.0               | 21.6 ± 3.0            | 0.02    |
| CRP, mg/dl                       | 0.06 (0.03–0.20)         | 0.07 (0.03–0.30)      | 0.28    |
| WBC, /µL                         | 6000 (5100–7400)         | 6000 (5025–8000)      | 0.60    |
| BNP, pg/mL                       | 24.2 (11.9–47.2)         | 33.1 (18.5–92.8)      | 0.01    |
| LVEF, %                         | 76.0 (72.0–80.0)         | 74.0 (71.0–81.8)      | 0.60    |
| IVC, cm                         | 1.3 (1.1–1.5)            | 1.3 (1.0–1.4)         | 0.97    |
| LVEDD, cm³                       | 4.4 ± 0.5                | 4.4 ± 0.7             | 0.96    |
| LVESD, cm³                       | 2.7 (2.4–3.0)            | 2.7 (2.4–3.2)         | 0.64    |

Notes: Data are presented as the mean ± SD, median (IQR), or number (%). Data were compared between two groups using Mann–Whitney U-test, Student’s t-test, and χ² test.

Abbreviations: PH, pulmonary arterial hypertension; COPD, chronic obstructive pulmonary disease; LTOT, long-term oxygen therapy; SpO2, oxygen saturation of peripheral artery; BMI, body mass index; CRP, C-reactive protein; WBC, white blood cell count; BNP, brain natriuretic peptide; LVEF, left ventricular ejection fraction; IVC, inferior vena cava; LVEDD, left ventricular end-diastolic diameter; LVESD, left ventricular end-systolic diameter; SD, standard deviation; IQR, interquartile range.

Lung Function Parameters in COPD Patients with PH Assessed by Echocardiography

Comparison of lung function parameters between COPD patients with PH and those without PH is shown in Table 3. The forced expiratory volume in 1 second (FEV₁) and the ratio inspiratory capacity (IC)/total lung capacity (TLC) were significantly lower in COPD patients with PH compared to those without PH (%FEV₁, 68.1 ± 20.8% vs 61.4 ± 24.2%, p = 0.09; IC/TLC, 0.43 ± 0.08 vs 0.39 ± 0.07, p = 0.02). The residual volume ratio (RV)/TLC tended to be higher in COPD patients with PH compared to those without PH (0.39 (0.32–0.45) vs 0.34 (0.31–0.40), p = 0.06). The predicted diffusing capacity (%DLCO) was also significantly lower in COPD patients with PH compared to those without PH (61.6 ± 24.9% vs 77.9 ± 25.1%, p < 0.01). %DLCO was significantly correlated with eSPAP in the total study population (r = −0.27, p < 0.01; Figure 4A). ROC curves showed that the optimal %DLCO cutoff value for predicting the presence of PH was 60.2% (AUC, 0.69; sensitivity, 63.6%; specificity, 76.7%; Figure 4B).

Association Between PH Assessed by Echocardiography and Chest CT Abnormalities in COPD Patients

In chest CTs, LAA% was also significantly higher in COPD patients with PH in comparison to those without PH (23.5% vs 10.2%, p < 0.01; Figure 5A). The presence of severe emphysema was also more common in COPD patients with PH compared to those without PH.
Figure 3 Association of PH assessed by echocardiography and health status in COPD patients. (A) Comparison of baseline CAT scores between the two groups. (B–E) Comparison of baseline SGRQ scores between the two groups. Data were compared between groups using the Mann–Whitney U-test.

Abbreviations: PH, pulmonary arterial hypertension; COPD, chronic obstructive pulmonary disease; CAT, COPD assessment test; SGRQ, St. George’s respiratory questionnaire.

(Figure 5B). On the other hand, WA% did not differ between the two groups (p = 0.84; Figure 5C). The incidence of interstitial abnormalities was higher in patients with PH compared to those without PH (25.6% vs 10.5%, p = 0.01; Figure 5D). The presence of severe emphysema (LAA% ≥30%; odds ratio [OR] =
7.1 [2.5–20.2], p < 0.01) or interstitial lung abnormalities (OR = 3.8 [1.5–9.6], p < 0.01) independently increased the likelihood of a PH presence (Table 4). Trends in LAA% change during the three years were compared between patients with PH and those without PH, but there was no significant difference in the annual change in LAA% (ΔLAA%/year; 0.5% vs 0.5%, p = 0.88; Supplementary Figure 3). PA/Ao was significantly correlated with eSPAP in the total study population (r = −0.30, p<0.01; Figure 6A). ROC curves showed that the optimal PA/Ao cutoff value for predicting the presence of PH was 20.7% (AUC, 0.69; sensitivity, 74.4%; specificity, 64%; Figure 6B).

Association Between PH Assessed by Echocardiography and COPD Exacerbations Over Three Years

The incidence of moderate-to-severe exacerbations over three years was significantly higher in COPD patients with PH compared to those without PH (55.6 vs 32.7, p = 0.03; Figure 7). Next, we analyzed with the confounder which was reported as a predictor of exacerbation. After adjusting for age ≥75 years, sex, and %FEV₁ < 50%, the presence of PH increased the likelihood of moderate-to-severe exacerbations over three years (OR, 2.9 [1.1–7.9], p = 0.04).

Discussion

To the best of our knowledge, this is the first longitudinal study in COPD patients to evaluate the relationships between PH assessed by echocardiography and patient-centered outcomes. In this study, COPD patients with PH assessed by echocardiography presented worse CAT, SGRQ, and SF-36 scores compared to those without PH. In addition, exacerbations were over the three-year study period more frequent in COPD patients with PH than in those without PH. These results suggest that the treatment of PH may improve dyspnea and the overall health status of COPD patients.
We and others reported that COPD exacerbations are associated with a worse health status and a poorer prognosis of COPD patients. According to our study results, echocardiographically diagnosed PH is related to future COPD exacerbations independently of other known risk factors. This result is consistent with findings of a previous study using RHC in COPD patients; this study showed that a PH diagnosis by RHC increased the risk of a future hospitalization caused by COPD exacerbation.

The precise link between exacerbations and chronic PH in COPD patients is not known.

Table 4 Predictors for the Presence of PH According to Multivariate Logistic Regression Analysis

| Parameters                  | Odds Ratio (95% CI) | p-value |
|-----------------------------|---------------------|---------|
| LAA% ≥ 30%                  | 7.1 (2.5–20.2)      | <0.01   |
| Interstitial lung abnormalities | 3.8 (1.5–9.6)     | <0.01   |

Abbreviations: PH, pulmonary arterial hypertension; LAA%, ratio of the low-attenuation area to the total lung volume; CI, confidence interval.

We and others reported that COPD exacerbations are associated with a worse health status and a poorer prognosis of COPD patients.
A previous report demonstrates that some COPD patients have increased pulmonary artery pressure during an exacerbation, and the acute respiratory failure, as well as the pulmonary artery pressure, return to their base-lines after the recovery from exacerbation. Thus, it can be speculated that repeated exacerbations associated with transient increases in pulmonary artery pressure may lead to chronic PH. Whether medication for prevention COPD exacerbations can improve PH and whether PH treatment can reduce COPD exacerbation rates, improve dyspnea, and impact the overall health status is unknown. Future prospective studies are needed.

The current study is the first study showing a relationship between PH assessed by echocardiography and radiographic emphysema in COPD patients. In end-stage COPD patients undergoing lung transplant, the patients with PH showed more severe morphological signs of pulmonary emphysema compared to the patients without PH. Common mechanisms linking PH and emphysema induced by cigarette smoking are widely inferred. Increased pulmonary vascular endothelial death and decreased expression of vascular endothelial growth factor (VEGF) have been described in patients with emphysema. In addition, chronic blockade of VEGF receptors induces apoptosis of alveolar cells and emphysema in a rat model. Recent reports have shown that the nitric oxide (NO)-cyclic guanosine monophosphate (cGMP) signaling pathway plays an important role in PH and emphysema. Inducible nitric oxide synthase inhibitor and riociguat, which promotes the NO-cGMP pathway, reversed existing lung emphysema and PH. Further, pulmonary vascular resistance and airway resistance have been shown to decrease in COPD patients with PH treated with riociguat. Our study also showed that the presence of interstitial abnormalities is a risk factor for PH assessed by echocardiography. This result is in line with previous reports demonstrating a high prevalence of PH in patients with combined pulmonary fibrosis and emphysema.
necessity to aggressively screen for PH in COPD patients with emphysema or interstitial abnormalities should be emphasized.

In this study, echocardiography revealed that the PH prevalence was 21.9% in COPD patients with the PH severity ranging from mild to moderate; these results are in line with previous reports showing that out-of-proportion or severe PH is relatively rare (1.1–3.7%).46,47 Currently, there are no conclusive data to support or completely reject the effectiveness of specific PH therapies in COPD patients, especially in mild-to-moderate PH.48 In COPD patients, nocturnal hypoxemia often exists without daytime hypoxemia, and this sleep-related hypoxemia may be related to chronic PH.49,50 Moreover, long-term oxygen therapy improves pulmonary vascular resistance, pulmonary arterial pressure, and stroke volume index in COPD patients.51 Screening of nocturnal hypoxemia and LTOT in COPD patients with mild-to-moderate PH may improve the clinical outcome of COPD patients.

In this study, eSPAP was measurable in 69.7% of all COPD patients; this is a relatively higher percentage compared to previously reported values that eSPAP can be determined by echocardiography in about 40% of the COPD patients.13,52 The reason for this discrepancy might be differences in COPD severity between this study and previous studies. In our study, the severity was relatively low, with GOLD grade 1 or 2 in almost half of the study population. Since lung hyperinflation seen in higher GOLD grades prevents the optimal visualization of the heart by echocardiography, this might be the reason for the relatively higher percentage of patients with measurable eSPAP value seen in our study in comparison to previous publications.13,52 Pulmonary artery diameter in chest CT has been reported as a useful diagnostic tool for pulmonary hypertension diagnosed by RHC.53 In our study, pulmonary artery diameter was correlated with eSPAP values determined by echocardiography. Based on the results of our study, echocardiography may be a useful tool for the screening of PH in COPD patients with mild severity, but the correlation of eSPAP values determined by echocardiography and RHC parameters in these patients remains unknown.

Our study had several limitations. First, although the presence of PH was associated with future COPD exacerbations, other outcomes such as hospitalization and mortality could not be evaluated. Previous reports show that PH diagnosed by RHC increase mortality54 and hospitalization due to exacerbations,9 but the influence of PH assessed by echocardiography on these outcomes is unknown. Second, the dropout rate in this study population was 15.6%, and we could not follow up the dropped-out patients. Moreover, patients whose tricuspid regurgitant jet could not be identified on echocardiography were excluded. Therefore, the impact of PH presence on health status and exacerbation rate might be underestimated. Third, the average age of our study participants was higher than that in other COPD cohort studies.55 In the present study, patients with PH were older compared to those without PH; thus, the prevalence of PH assessed by echocardiography might be overestimated.

Conclusions
COPD patients with PH assessed by echocardiography were older, had a lower BMI, and presented with a worse health status compared to those without PH. The presence of PH assessed by echocardiography was related to future COPD exacerbations in COPD patients, and emphysema was closely related to PH assessed by echocardiography.

Abbreviations
%DL_CO2, predicted diffusing capacity of the lung for carbon monoxide; %FEV1, predicted forced expiratory volume in 1 second; AUC, area under the curve; BMI, body mass index; BNP, brain natriuretic peptide; CAT, COPD Assessment Test; COPD, chronic obstructive pulmonary disease; CRP, C-reactive protein; CT, computed tomography; FEV1, forced expiratory volume in 1 second; eSPAP, estimated systolic pulmonary artery pressure; GOLD, Global Initiative for Chronic Obstructive Lung Disease; IC, inspiratory capacity; IQR, interquartile range; K-CCR, Keio COPD Comorbidity Research; LAA%, ratio of the low-attenuation area to the total lung volume; LTOT, long-term oxygen therapy; LVEF, left ventricular ejection fraction; OR, odds ratio; PH, pulmonary hypertension; RHC, right-heart catheterization; ROC, receiver operating characteristics; SD, standard deviation; SF-36, Short-Form 36-Item; SGRQ, St. George’s respiratory questionnaire; TLC, total lung capacity; VEGF, vascular endothelial growth factor; WA%, percentage of airway wall area.

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**Author Contributions**
Shingo Nakayama participated in the design of the study, performed the statistical analyses, and was a major contributor in writing the manuscript. Shotaro Chubachi planned the study design and contributed to interpretation of results. Hidetoshi Nakamura and Koichiro Asano conceived the study, participated in its design and coordination, and helped to draft the manuscript. Kaori Sakurai, Hidehiro Irie, Akiiro Tsutsumi, Mizuha Hashiguchi, Yuji Itabashi, Mitsushihe Murata, and Koichi Fukunaga contributed to collection of data, interpretation of results and helped to draft the manuscript. All authors contributed to data analysis, drafting or revising the article, gave final approval of the version to be published, and agree to be accountable for all aspects of the work.

**Disclosure**
Dr Koichiro Asano reports personal fees from Teijin Pharma Ltd, Novartis Pharma, Sanofi, Astellas Pharma, AstraZeneca, Boehringer-Ingelheim, GlaxoSmithKline, Kyorin Pharma, and MSD, outside the submitted work. The authors report no other conflicts of interest in this work.

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