Can Ultrastrong Coupling Change Ground-State Chemical Reactions?

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**Supporting Information**

**ABSTRACT:** Recent advancements on the fabrication of organic micro- and nanostructures have permitted the strong collective light–matter coupling regime to be reached with molecular materials. Pioneering works in this direction have shown the effects of this regime in the excited state reactivity of molecular systems and at the same time have opened up the question of whether it is possible to introduce any modifications in the electronic ground energy landscape which could affect chemical thermodynamics and/or kinetics. In this work, we use a model system of many molecules coupled to a surface-plasmon field to gain insight on the key parameters which govern the modifications of the ground-state potential energy surface. Our findings confirm that the energetic changes per molecule are determined by effects that are essentially on the order of single-molecule light–matter couplings, in contrast with those of the electronically excited states, for which energetic corrections are of a collective nature. Hence the prospects of ultrastrong coupling to change ground-state chemical reactions for the parameters studied in this model are limited. Still, we reveal some intriguing quantum-coherent effects associated with pathways of concerted reactions, where two or more molecules undergo reactions simultaneously and which can be of relevance in low-barrier reactions. Finally, we also explore modifications to nonadiabatic dynamics and conclude that, for our particular model, the presence of a large number of dark states yields negligible effects. Our study reveals new possibilities as well as limitations for the emerging field of polariton chemistry.

**KEYWORDS:** polariton, surface plasmons, nonadiabatic dynamics, dark states, photochemistry

The advent of nano- and microstructures which enable strong confinement of electromagnetic fields in volumes as small as $1 \times 10^{-7} \lambda^3$, $\lambda$ being a characteristic optical wavelength, allows for the possibility of tuning light–matter interactions that can “dress” molecular degrees of freedom and give rise to novel molecular functionalities. Several recent studies have considered the effects of strong coupling (SC) between confined light and molecular states and its applications in exciton harvesting and transport, charge transfer, Bose–Einstein condensation, Raman, and photoluminescence spectroscopy, and quantum computing among many others.

Organic dye molecules are good candidates to explore SC effects due to their unusually large transition dipole moment. More recently, it has been experimentally and theoretically shown that the rates of photochemical processes for molecules placed inside nanostructures can be substantially modified. The underlying reason for these effects is that the SC energy scale is comparable to that of vibrational and electronic degrees of freedom, as well as the coupling between them; this energetic interplay nontrivially alters the resulting energetic spectrum and dynamics of the molecule-cavity system. It is important to emphasize that in some examples, SC is the result of a collective coupling between a single photonic mode and $N \gg 1$ molecules; single-molecule SC coupling is an important frontier of current research, but our emphasis in this work will be on the $N$-molecule case. Since the energy scale of this collective coupling is larger than the molecular and photonic line widths, the resulting eigenstates of the system have a mixed photon-matter character.

Understanding these so-called polariton states is relevant to develop a physical picture for the emerging energy landscapes which govern the aforementioned chemical reactivities. More specifically, Galego and co-workers have recently provided a comprehensive theoretical framework to explain the role of vibronic coupling and the validity of the Born–Oppenheimer (BO) approximation in the SC regime, as well as a possible mechanism for changes in photochemical kinetics afforded by polaritonic systems; another theoretical study that focused on control of electron transfer kinetics was given by Herrera and Spano. Using a model of one or two molecules coupled to a single mode in a cavity, Galego and co-workers noticed that some effects on molecular systems are collective, while others are not; similar findings were reported by Cwik and co-workers using a multimode model and $N$ molecules.

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While prospects of photochemical control seem promising, it is still a relatively unexplored question whether ground-state chemical reactivity can be altered and via polaritonic methods, although recently, George and co-workers have shown a proof of concept of such feasibility using vibrational SC.\textsuperscript{23} Along this line, ultrastrong coupling regime (USC) seems to also provide the conditions to tune the electronic ground-state energy landscape of molecules and in turn, modify not only photochemistry, but also ground-state chemical reactivity. Roughly speaking, this regime is reached when $\Omega/\hbar \omega_0 \geq 0.1$, $\Omega$ being the (collective) SC of the emitter ensemble to the electromagnetic field and $\hbar \omega_0$ the energy gap of the molecular transition.\textsuperscript{29} Under USC, the “nonrotating” terms of the light–matter Hamiltonian acquire relevance and give rise to striking phenomena such as the dynamical Casimir effect\textsuperscript{30,31} and Hawking radiation in condensed matter systems.\textsuperscript{31} Furthermore, recent experimental advances have rendered the USC regime feasible in circuit QED,\textsuperscript{32} inorganic semiconductors,\textsuperscript{33,34} and molecular systems,\textsuperscript{35,36} thus, prompting us to explore USC effects on ground-state chemical reactivity.

In this Article, we address how this reactivity can be influenced in the USC by studying a reactive model system consisting of an ensemble of thiacyanine molecules strongly coupled to the plasmonic field afforded by a metal, where each of the molecules can undergo cis–trans isomerization by torsional motion. The theoretical model for the photochemistry of the single thiacyanine molecule has been previously studied in the context of coherent control.\textsuperscript{37} As we will show, the prospects of controlling ground-state chemical reactivity or nonadiabatic dynamics involving the ground state are not promising for this particular model, and that the alterations of the corresponding potential energy surface (PES) are negligible on a per-molecule basis. However, we notice the existence of salient quantum-coherent features associated with concerted reactions that might be worth considering in models featuring lower kinetic barriers.

This article is organized as follows: in the \textbf{Theoretical Model} section, we describe the polariton system and its quantum mechanical Hamiltonian. In \textbf{Methods}, we describe the methodology used to perform the relevant calculations and understand the effects of polariton states on the ground-state PES of the molecular ensemble. In \textbf{Results and Discussion} we describe our main findings, and finally, in the \textbf{Conclusions} section, we provide a summary and an outlook of the problem.

\section*{THEORETICAL MODEL}
To begin with, we consider a thiacyanine derivative molecule (Figure 1c) and approximate its electronic degrees of freedom as a quantum mechanical two-level system. To keep the model tractable, this electronic system is coupled to only one vibrational degree of freedom $R$, namely, the torsion along the bridge of the molecule (Figure 1c) along which cis–trans isomerization occurs. The mathematical description of the PES of the ground- and excited-states (Figure 1a) as well as the transition-dipole moment as a function of the reaction coordinate (Figure 1b) have been obtained from ref 37. The adiabatic representation of the electronic states is given by

$$ |g(R)\rangle = \cos(\theta(R)/2)|\text{trans}\rangle + \sin(\theta(R)/2)|\text{cis}\rangle $$

$$ |e(R)\rangle = -\sin(\theta(R)/2)|\text{trans}\rangle + \cos(\theta(R)/2)|\text{cis}\rangle $$

(1)

where $|e(R)\rangle$ and $|g(R)\rangle$ are the $R$-dependent adiabatic excited- and ground-state, respectively. $|\text{trans}\rangle$ and $|\text{cis}\rangle$ are the (R-independent) crude diabatic electronic states that describe the localized chemical character of each of the isomers. The ground-state PES has a predominant trans (cis) character to the left (right) of the barrier ($\theta(0) = 0, \theta(\pi) = \pi$) in Figure 1a.

Our USC model consists of a setup where an orthorhombic ensemble of thiacyanine molecules is placed on top of a thin spacer which, in turn, is on top of a metallic surface that hosts surface plasmons (SPs);\textsuperscript{38} see Figure 2. The coupling between molecular electronic transitions and plasmons in the metal gives rise to polaritons that are often called plexcitons.\textsuperscript{38,39} The

**Figure 1.** (a) Adiabatic potential energy surfaces (PESs) of the ground and first excited electronic states of the thiacynine-like model molecule. (b) Transition dipole moment ($\mu(R)$) of the model molecule in the adiabatic basis. (c) Thiacynine molecule. There exist two geometrical isomers of the molecule, a cis- and a trans-like configuration. The cis–trans isomerization of thiacynine-like molecules occurs via a photoinduced torsion along the bridge that connects the aromatic rings.
ensemble is comprised of $N_s$ single-molecule layers. The location of each molecule can be defined by the Cartesian coordinates $n + (0, 0, z)$, where $n = \left( \Delta_n \rho, \Delta_n \eta, 0 \right)$ and $z = z_0 + \Delta_s$ for the $s$th layer. Here, the spacing between molecules along the $s$th direction is denoted by $\Delta_s$, and $z_0$ is the width of the spacer (see Figure 2). We chose a SP electromagnetic environment because its evanescent intensity decreases fast enough with momenta $k$ (giving rise to vanishing light-matter coupling for large $|k|$), resulting in a convergent Lamb-shift of the molecular ground-state. As shall be explained below, this circumvents technical complications of introducing renormalization cutoffs, as would be needed for a dielectric microcavity. The Hamiltonian of the plexciton setup is given by

$$H = H_z + T_{\text{mac}}$$

where

$$T_{\text{mac}} = \sum_{s=1}^{N_s} \frac{r_s^2}{2M_s}$$

is the kinetic nuclear energy operator and

$$H_z(R) = \sum_k \hbar \omega_k a_k^\dagger a_k + \sum_n \left( \hbar \omega \left(R_n \right) - \hbar \omega \left(R_{n1} \right) \right) h_n^\dagger h_n + \sum_k \sum_{n,i} \hbar \omega_k a_k^\dagger a_{ki} h_{ni}^\dagger h_{ni} + a_{ki} h_n^\dagger h_{ni}$$

$$+ \sum_{n,i} a_{ki} h_n^\dagger h_{ni} + \sum_k \hbar \omega \left(R_n \right)$$

(2)

corresponds to the Dicke Hamiltonian. Here $a_k^\dagger$ ($a_k$) is the creation (annihilation) operator for the SP mode with in-plane momentum $k$, which satisfies $[a_k^\dagger, a_{k'}] = \delta_{kk'}$, and $R = \{ R_n \}$ is an $N$-dimensional vector that describes the vibrational coordinates of the $N = N_s N_e N_z$ molecules of the ensemble, where $N_s$ is the number of molecules along each ensemble axis. $\hbar \omega \left(R_n \right)$ accounts for the ground-state energy of the molecule whose location in the ensemble is defined by $n$ and $s$. We introduce the (adiabatic $R$-dependent) exciton operator $b_{ki}^\dagger (h_{ni}) (b_{ki} (h_{ni}))$ to label the creation (annihilation) of a Frenkel exciton (electronic excitation) with an energy gap $\hbar \omega \left(R_{n1} \right) - \hbar \omega \left(R_{n} \right)$ on the molecule located at $n + z \hat{z}$. The coefficients $\hbar \omega_k$ and $\hbar \omega \left(R_n \right)$ stand for the energy of a SP with in-plane momentum $k$ and the coupling of the molecule located at $n + z \hat{z}$ with the latter, respectively. The dipolar SP–matter interaction is described by $g_{ki}^\dagger (h_{ni}) = h_k \left(R_n \right) f_k (z_n)$, where $h_k \left(R_n \right) = -\mu_n \left(R_n \right)$ and $f_k \left(z \right)$ is the projection of the molecular transition dipole $\mu_n \left(R_n \right)$ onto the in-plane component of the SP electric field $E_k \left(n \right)$ and $f_k \left(z \right) = e^{-i k z}$ is the evanescent field profile along the $z$ direction, with $\eta_k = -ik_z = -i \sqrt{\left( \omega_k / \omega \right)^2 - 1}$ being the decay constant in the molecular region ($z > 0$), where $k_z$ is the (purely imaginary) $z$-component of the plasmon momentum and $\omega$ is the relative electric permittivity in the same region. The quantized plasmonic field $E_k \left(n \right)$ has been discussed in previous works and reads $E_k \left(n \right) = \hbar \omega / \sqrt{\epsilon k} e^{-i \frac{\omega}{c} t - \eta_k z} + h.c.$ where $\epsilon_0$ is the free-space permittivity, $S$ is the coherence area of the plexciton setup, $\xi_k = \hat{k} + i \frac{\lambda}{2} \hat{z}$ is the polarization and $L_k$ is the quantization length. This last quantity determines the plasmonic confinement length scale in the molecular region of the plexciton setup: for $|k| \approx 0$, the plasmon field is light-like and spatially spans the whole molecular slab; on the other hand, it monotonically decreases for higher $|k|$ values and the field is effectively coupled to a smaller number of molecules.

Note that the parametric dependence of the exciton operators on $R_n$ yield residual nonadiabatic processes induced by nuclear kinetic energy that may be relevant to the isomerization in question. We also highlight the fact that eq 2 includes both rotating (“energy conserving”) terms ($a_k^\dagger b_{ki} ( h_{ni})$ and $a_k b_{ki}^\dagger ( h_{ni})$), where a photon creation (annihilation) involves the concomitant annihilation (creation) of an exciton; and counter-rotating (“non-energy conserving”) terms ($a_k^\dagger b_{ki} ( h_{ni})$ and $a_k b_{ki}^\dagger ( h_{ni})$) where there is a simultaneous annihilation (creation) of photon and exciton. These latter terms are ignored in the widely used Rotating Wave Approximation (RWA), where light–matter coupling is weak compared to the transition energy. Since we are interested in the USC, we shall keep them throughout.

Finally, we remark that we have ignored the diamagnetic terms that emerge in the Hamiltonian description of light–matter interaction in eq 2. As will be evident later in the Methods section, in our model the ratio between the energy scale of the light-matter interaction and the electronic transition energy prevents the system to reach the deep strong coupling regime, where the diamagnetic terms acquire relevance.

## METHODS

For simplicity, we assume that all the transition dipoles are equivalent and aligned along $\chi$, so $\mu_n \left(R_n \right) = \mu \left(R_n \right) \chi_k$; a departure of this perfect crystal condition does not affect the conclusions of this article. Furthermore, it is convenient to first restrict ourselves to the cases where all nuclei are fixed at the same configuration ($R = \hat{R}$, which denotes $R_{n,s} = \hat{R}$ for all $n$ and $s$), so that we can take advantage of the underlying translational symmetry to introduce a delocalized exciton basis where the in-plane momentum $k$ is a good quantum number. The creation operator of this delocalized state is defined by $b_k^\dagger (R) = \frac{1}{\sqrt{N_k (R)}} \sum_n \sum f_k (z_n) h_k \left(R_n \right) b_{ki}^\dagger \left(R_n \right)$, and the normalization squared is given by $N_k (R) = \sum_n \sum \left| h_k \left(R_n \right) f_k (z_n) \right|^2$ that, in the continuum limit, can be seen to be proportional to $\rho_n$, the number density of the molecular ensemble. In this collective basis, the previously introduced $H_z(R)$ reads:

$$H_z(R) = \sum_k \hbar \omega_k a_k^\dagger a_k + \sum_n \left( \hbar \omega \left(R_n \right) - \hbar \omega \left(R_{n1} \right) \right) h_n^\dagger h_n + \sum_k \sum_{n,i} \hbar \omega_k a_k^\dagger a_{ki} h_{ni}^\dagger h_{ni} + a_{ki} h_n^\dagger h_{ni}$$

$$+ \sum_{n,i} a_{ki} h_n^\dagger h_{ni} + \sum_k \hbar \omega \left(R_n \right)$$

(2)
\[ H_{\text{exc}}(R) = \sum_{k} \hbar \Delta(R) b_{k}^{\dagger}(R) b_{k}(R) + \sum_{k} \rho_{0,k} a_{k}^{\dagger} a_{k}^{\dagger} + \sum_{k} \sqrt{N_{k}}(a_{k} b_{k}(R) + a_{k}^{\dagger} b_{k}^{\dagger}(R) + a_{k}^{\dagger} b_{k}(R) + a_{k} b_{k}^{\dagger}(R)) + \sum_{k} H_{\text{dark},k}(R) + \sum_{k} H_{\text{unclapp},k}(R) + N \rho_{0}(R) \]
\[ = \sum_{k} H_{\text{dark},k}(R) + \sum_{k} H_{\text{unclapp},k}(R) + N \rho_{0}(R) \]

where \( \Delta(R) = \omega_{l}(R) - \omega_{j}(R) \) is the exciton transition frequency.

\[ H_{\text{dark},k}(R) = \hbar \Delta(R) \Pi_{\text{dark},k}(R) \]

accounts for the energy of the \((N_{k} - 1)\) degenerate exciton states with in-plane momentum \(k\) that do not couple to SPs, and are usually known as dark states. The latter are orthogonal to the bright exciton \(b_{k}^{\dagger}(R)G_{m}(R)\) that couples to the SP field, where \(G_{m}(R)\) is the bare molecular ground-state \((b_{k}(R)G_{m}(R) = 0)\). More specifically, \(\Pi_{\text{dark},k}(R) = \Pi_{\text{dark}}(R) - b_{k}^{\dagger}(R) b_{k}(R)\) is a projector operator onto the \(k\)th dark-state subspace, with \(\Pi_{\text{dark}}(R) = \sum_{k} b_{k}^{\dagger}(R) b_{k}(R) = \sum_{j} b_{j}^{\dagger}(R) b_{j}(R) = \sum_{k} b_{k}^{\dagger}(R) b_{k}(R)\) being the identity on the exciton space, and \(b_{k}^{\dagger}(R) = \frac{i}{\sqrt{N_{k}}} \sum_{n} e^{-i k R} t_{n,k}(R)\).

\[ H_{\text{unclapp},k}(R) = \sum_{q} q^{2} \frac{2 \pi q}{\Delta c} \sqrt{N_{k+q}}(R) \times (a_{k+q}^{\dagger} a_{k}^{\dagger} b_{k}(R) + a_{k+q} b_{k}^{\dagger}(R) + h.c.) \]

stands for the coupling of excitons with momentum \(k\) to SP modes with momentum beyond the first excitonic Brillouin zone.

We also note that the normalization constant \(\sqrt{N_{k}(R)}\) in eq 3 is precisely the collective SP–exciton coupling. As mentioned in the introduction, the condition \(\sqrt{N_{k}(R)} / \hbar \Delta(R) > 0.1\) is often used to define the onset of USC,\(^{22}\) and it is fulfilled with the maximal density considered in our model (see Figure 3C), taking into account that the largest \(\hbar \Delta(R)\) is 3 eV (see Figure 1A). We note, as will be evident later, that our main results do not vary significantly by considering ratios \(\sqrt{N_{k}(R) / \hbar \Delta(R)}\) below the aforementioned threshold.

A Bogoliubov transformation\(^{33}\) permits the diagonalization of the Bloch Hamiltonian \(H_{\text{exc}}\) in eq 3 by introducing the polariton quasiparticle operators

\[ \xi_{j,k}^{\dagger}(R) \approx a_{k}^{\dagger} a_{k}^{\dagger} + \beta_{k} b_{k}^{\dagger}(R) + \gamma_{k}^{\dagger} a_{k}^{\dagger} + \delta_{k} b_{k}^{\dagger}(R) \]

where \(j = U/L\) and \(U(L)\) stands for the upper (lower) Bogoliubov polariton state. Notice that this canonical transformation is valid for a sufficiently high number of molecules \(N\), where the collective exciton operators \(b_{k}(R)\) are well approximated by bosonic operators.\(^{34}\) We also stress that the Bogoliubov operators introduced in eq 6 are approximate, since we have ignored mixing with photonic modes beyond the exciton first Brillouin zone, as described in eq 5. However, given the decay nature of \(\sqrt{N_{k}(R)}\) and the large off-resonance between the SP energy and the exciton states for high \(\ell k\), the weight of \(a_{k,q} a_{k,q}^{\dagger}\) terms is expected to be significantly smaller than their first-Brillouin zone counterparts and eq 6 is a good approximation.

The bare molecular ground-state with no photons in the absence of light–matter coupling \(\Pi_{\text{dark}}(R) = 0\), \(\langle a^{\dagger} G_{m}(R) \rangle = b_{k}(R)G_{m}(R) = 0\) for all \(k\) has a total extensive energy with molecular contributions only \(\langle G_{m}(R) \rangle = \rho_{0}(R)\), \(\langle H_{\text{dark}}(R) \rangle = N \rho_{0}(R)\). Upon inclusion of the counter-rotating terms, the ground-state becomes the dressed Bogoliubov vacuum \(\langle G(R) \rangle\), characterized by \(\xi_{j,k}^{\dagger}(R)\) \(\langle G(R) \rangle\) for all \(j\) and \(k\), with total energy \(\langle G(R) H_{\text{dark}}(R) G^{\dagger}(R) \rangle = E_{0}(R)\), where the zero-point energy is given by

\[ E_{0}(R) = N \rho_{0}(R) + \frac{1}{2} \sum_{j,U,L} \langle \sum_{k} \rho_{0,k} a_{k}^{\dagger} a_{k}^{\dagger} - \rho_{0,k} a_{k} b_{k}^{\dagger} - \hbar \Delta(R) \rangle \]

\[ \{\hbar \omega_{j,k}(R)\} \] being the eigenvalues of the Bogoliubov polariton branches given by

\[ \omega_{j,k}(R) = \sqrt{(\Delta(R))^{2} + \omega_{\ell k}^{2} + \sqrt{(\omega_{\ell k}^{2} - (\Delta(R))^{2})^{2} + 16 N_{k}^{2} \Delta(R) \omega_{\ell k}^{2}}} \]

The sum in eq 7 accounts for the energy shift from the bare molecular energy \(N \rho_{0}(R)\) due to interaction with the SP modes of the different photonic Brillouin zones of the setup. Using eq 8, it is illustrative to check that this shift vanishes identically when the non-RWA terms are ignored.

A hallmark of the SC and USC regimes is the anticrossing splitting of the polariton energies at the \(k\) value, where the bare excitations are in resonance, \(\Delta(R) = \omega_{\ell k}\) (see Figure 3).

It is worth describing some of the physical aspects of the Bogoliubov ground-state \(\langle G(R) \rangle\). With the numerically computed ground-states, we can use the inverse transformation of eq 6 to explicitly evaluate its SP and exciton populations.\(^{35}\)
which give rise to humble $O(10^{-3})$ values per mode $k$, considering a molecular ensemble with $\rho = 3 \times 10^8 \mu\text{m}^{-3}$ and $W_0 = 120$ nm; this calculation is carried out using $N = 8 \times 10^7$, although results are largely insensitive to this parameter as long as it is sufficiently large to capture the thermodynamic limit. The consequences of the dressing partially accounted for by eq 9a (partially since there are also correlations of the form $\langle G(\vec{R})|b_k|G(\vec{R})\rangle$ are manifested as energetic effects on $\sum_j b_j^\dagger b_j$, $E_0(\vec{R}) = N\hbar \omega_0(R)$ can be interpreted as the energy stored in $G(\vec{R})$ as a result of dressing; it is an extensive quantity of the ensemble, but becomes negligible when considering a per-molecule stabilization. For instance, in molecular ensembles with the aforementioned parameters we find $E_0(\vec{R}) = N\hbar \omega_0(\vec{R}) = 0(10^3)$ eV, which implies a $0(10^3)$ eV value per molecule; our calculations show that this intensive quantity is largely insensitive to total number of molecules. This observation raises the following questions: to what extent does photonic dressing impact ground-state chemical reactivity? What are the relevant energy scales that dictate this impact?

With these questions in mind, we aim to study the polaronic effects on ground-state single-molecule isomerization events. To do so, we map out the PES cross section where we set one “free” molecule to undergo isomerization while fixing the rest at $R_{\text{iso}} = 0$. A similar strategy has been used before in ref 24. This cross section, described by $E_0(R_{\text{iso}}, 0, \cdots, 0) \equiv E_0(R_{\text{iso}}, 0, \vec{0})$ ($R_{\text{iso}}$, $0$ being the coordinate of the unconstrained molecule), should give us an approximate understanding of reactivity starting from thermal equilibrium conditions, since the molecular configuration $\vec{R} = \vec{0}$ still corresponds to the global minimum of the modified ground-state PES, as will be argued later. By allowing one molecule to move differently than the rest, we weakly break translational symmetry. Rather than numerically implementing another Bogoliubov transformation, we can, to a very good approximation, account for this motion by treating the isomerization of the free molecule as a perturbation on $H_0(\vec{0})$. More precisely, we write $H_0(R_{\text{iso}}, 0, \vec{0}) = E_0(R_{\text{iso}}, 0) + G(R_{\text{iso}}, 0, \vec{0})$, where $H_0(R_{\text{iso}}, 0)$ is the sum of a translationally invariant piece $H_0(\vec{0})$ plus a perturbation due to the free molecule,

$$H_0(R_{\text{iso}}, 0, \vec{0}) = H_0(\vec{0}) + V(R_{\text{iso}}, 0) \tag{10}$$

The perturbation is explicitly given by

$$V(R_{\text{iso}}, 0) = \hbar \Delta E(R_{\text{iso}}, 0, \vec{0}) - \hbar \omega_0(R_{\text{iso}}, 0, \vec{0})$$

$$= \hbar \Delta E(R_{\text{iso}}, 0, \vec{0}) \sum_k \langle k|\rho_{\text{pol}}(R_{\text{iso}}, 0)|k\rangle (\omega_k(\vec{0}) - \omega_{k_0}(\vec{0}))$$

$$= \sum_k \langle \rho_{\text{pol}}(R_{\text{iso}}, 0)\rangle \omega_k(\vec{0})\omega_{k_0}(\vec{0})$$

$$- \sum_k \langle \rho_{\text{pol}}(R_{\text{iso}}, 0)\rangle (\omega_k(\vec{0}) + \omega_{k_0}(\vec{0}))$$

$$- h\omega_0(R_{\text{iso}}, 0)$$

$$- \hbar \omega_0(0) \tag{11}$$

Notice that we have chosen the free molecule to be located at an arbitrary in-plane location $n_0$ and at the very bottom of the slab at $s = 0$, where light–matter coupling is strongest as a result of the evanescent field profile along the $z$ direction. We write an expansion of the PES cross section as

$$E_0(R_{\text{iso}}, 0, \vec{0}) = \sum_{q \neq 0} E_0(R_{\text{iso}}, q, \vec{0})$$

where $q$ labels the $O(V^q)$ perturbation correction. The zeroth order term is the Bogoliubov vacuum energy associated with every molecule being at the equilibrium geometry $E_0(0)(R_{\text{iso}}, \vec{0}) = E_0(\vec{0})$, as in eq 7. The $O(V)$ correction corresponds to $\omega_{R_{\text{iso}}, 0} - \omega_{R_{\text{iso}}, 0}$, merely describing the PES of the isomerization of the bare molecule in the absence of coupling to the SP field (see Supporting Information for a detailed argument). The contribution of the SP field on the PES cross-section of interest appears at $O(V^2)$, and it is given by

$$E_0(R_{\text{iso}}, 0, \vec{0}) \approx \sum_{k \neq 0} \frac{|\langle k_0^+ k | \rho_{\text{pol}}(R_{\text{iso}}, 0) G(\vec{0}) \rangle|^2}{E_0(\vec{0}) - h\omega_{k_0}(\vec{0}) + \omega_{k_0}(\vec{0})} \tag{12}$$

where $|k_0^+ k \rangle \equiv \frac{\omega_{k_0}(\vec{0})}{\omega_k(\vec{0})} |k_0 \rangle |k \rangle$ and $E_{k_0, k} = \hbar(\omega_{k_0}(\vec{0}) + \omega_k(\vec{0})$. As shown in the Supporting Information, the approximation in eq 12 consists of the purely exciton
operators featured in eq 11, since they yield spurious contributions that do not pertain to USC effects. The remaining matrix elements can be calculated by expressing the operators $a_k a_l^\dagger$, $b_{n\phi}^\dagger (R_{n\phi})$, $b_{n\phi}^\dagger (R_{n\phi})$ in eq 11 in terms of the Bogoliubov operators $\xi_k (0)$, $\xi_l^\dagger (0)$ (see eq 6), leading to

$$
(k_i; k_j) j V(R_{n\phi}) | G(\bar{0}) \rangle |_j
= F_k^\dagger (R_{n\phi}) D_k (\Delta_k \xi_k a_k^\dagger + \Delta_k^\dagger \xi_k^\dagger a_k) + F_k (R_{n\phi}) D_k (\Delta_k \xi_k a_k^\dagger + \Delta_k^\dagger \xi_k^\dagger a_k)
$$

where $F_k (R) = \cos (\theta (R)) \xi_k^\dagger (R) - \cos (\theta (0)) \xi_k (0)$ depends on the mixing angle that describes the change of character of $b_{n\phi} (R)$ as a function of $R$ (see eq 1); it emerges as a consequence of coupling molecular states at different configurations. $D_k = (G_n (\bar{R}) ; 0 b_{n\phi}^\dagger (0) b_{n\phi}^\dagger (0) | G_n (\bar{R}) ; 0) = \frac{1}{\sqrt{N_N^2}} \sum_{n\phi} \xi_n^\dagger (0)$ accounts for the weight of a localized exciton operator in a delocalized one, such as the participation of $b_{n\phi}^\dagger (0)$ in $b_{n\phi}^\dagger (0)$. Equation 13 reveals that the maximal contribution of each double-polariton Bogoliubov state to the energetic shift of the considered PES cross section $E(R_{n\phi}, \bar{0})$ is of the order of $\frac{\xi_k^\dagger (0)}{\sqrt{N_N^2}}$. Considering macroscopic molecular ensembles with large $N \approx 10^7$, we computed eq 12 by means of an integral approximation over the polariton $k$-modes.

### RESULTS AND DISCUSSION

**Energetic Effects**

We carry out our calculations with $\rho$ in the range of $10^6$ to $10^9$ molecules $\mu m^{-3}$, keeping $W_z = 120$ nm (see Figure 4); to obtain results in the thermodynamic limit, our calculations take $N = 8 \times 10^7$, even though the exact value is unimportant as long as it is sufficiently large to give converged results. The results displayed in Figure 4 show that the second order energy corrections to the isomerization PES $E^{(2)} (R_{n\phi}, \bar{0})$ and, in particular, $E^{(2)} (R_{n\phi}, 0) = R^* \bar{0}$ meV are negligible in comparison with the bare activation barrier $E_i = h \omega_0 (R^*) - h \omega_0 (0) = h \omega_0 (R^*) \approx 1.8$ eV, where $R^* \approx 1.64$ rad corresponds to the transition state. From Figure 1b, we notice that there is a substantial difference in SP-exciton coupling between the equilibrium ($R_{n\phi} = 0$) and transition state geometries ($R_{n\phi} = R^*$). Since the perturbation in eq 11 is defined with respect to the equilibrium geometry, $|E^{(2)} (R_{n\phi}, \bar{0})|$ maximizes at the barrier geometry.

To get some insight on the order of magnitude of the result, we note that the sum shown in eq 12 can be very roughly approximated as

$$
E^{(2)} (R_{n\phi}, \bar{0}) = \left[ \sum_{k \neq k_0} \frac{\xi_k^\dagger (R_{n\phi})^2 D_k^2}{h \omega_0 + h \omega_0 + h \omega_0 (R_{n\phi})} \right]
$$

In the first line, we used the fact that $(k_i ; k_j) j V(R_{n\phi}) | G(\bar{0}) \rangle |_j \approx [\xi_k^\dagger (R_{n\phi})] D_k^2 + [\xi_k (R_{n\phi})] D_k^2$ and averaged the Bogoliubov polariton excitation energies. In the second line, assuming that the $k \gg 0$ values contribute the most, we have $D_k \approx \frac{1}{\sqrt{h \omega_0}}$. Finally, in the third line, we have used the fact that the sum of terms over $k_i, k_j$ is roughly equal to $N_N N_j$ times a single sum over $k$ of terms of the same order. The reason why we are interested in the final approximation is because it corresponds to the Lamb shift of a single isolated molecule, which can be calculated to be $E_{L,0} (0) = 0.16$ meV. Typically, Lamb shift calculations require a cutoff to avoid unphysical divergences; in fact, we stress that in our plenton model, this is not necessary due to the decaying $\xi_k^\dagger (R_{n\phi})$ as a function of $k$.

The fact that the corrections $E^{(2)} (R_{n\phi}, \bar{0})$ have a similar order of magnitude to single-molecule Lamb shifts gives a pessimistic conclusion of harnessing USC to control ground-state chemical reactions.

Note, however, from calculations in Figure 4, that there is variability in $E^{(2)} (R_{n\phi}, \bar{0})$ as a function of molecular density (since density alters the character of the Bogoliubov polaritons), although the resulting values are always close to $E_{L,0} (0)$. The molecular density cannot increase without bound, since there exists a minimum molecular contact distance determined by a van der Waals radius of the order of 0.3 nm for organic molecules, giving a maximum density of $\rho \approx 10^{17}$ molecules $/ \mu m^3$.

The results discussed so far describe the energy profile of the isomerization of a single molecule keeping the rest at equilibrium geometry. It is intriguing to inquire the effects of the SP field in a concerted isomerization of two or more molecules, while keeping the rest fixed at equilibrium geometry. Generalizing eqs 11–13 to a two-molecule perturbation $V(R_{m\phi}, R_{n\phi}, 0)$, we computed the second order energetic corrections to the 2D-PES that describe the isomerization of two neighboring molecules at $n_0$ and at $n_0 \equiv n_0 + \Delta \bar{x}$, keeping the other molecules fixed at $R_{n\phi} = 0$. The results are reported in Figure 4 for $\rho = 3 \times 10^6$ molecules $/ \mu m^3$, although outcomes of the same order of magnitude are obtained for the other densities considered in the one-dimensional case. The profile of USC energetic effects on the 2D-PES also supports our previous claim regarding the invariance of the global minimum of the ground PES of the molecular ensemble. The two-dimensional PES cross-section $E^{(2)} (R_{m\phi}, R_{n\phi}, 0, 0, 0, 0) \equiv E^{(2)} (R_{m\phi}, R_{n\phi}, \bar{0})$ shows the existence of an energetic enhancement for the concerted isomerization with respect to two independent isomerizations, that is, $E^{(2)} (R_{m\phi} = R^*, R_{n\phi} = R^* \bar{0}) \approx 4 E^{(2)} (R_{m\phi} = R^* \bar{0})$. This enhancement is due to a constructive interference arising at the amplitude level, $(k_i ; k_j) j V(R_{m\phi} = R^*, R_{n\phi} = R^*) | G(\bar{0}) \rangle |_j \approx 2 (k_i ; k_j) j V(R_{m\phi} = R^*) | G(\bar{0}) \rangle |_j$ for values of $k_i, k_j \ll \frac{1}{\Delta \bar{x}}$, such that the phase difference between the isomerizing molecules is negligible. Interestingly, choosing the neighboring molecules along the $x$ direction is important for this argument; if instead we consider neighbors along $z$ (molecular positions $n_0$ and $n_0 + \Delta \bar{z}$), these interferences vanish and we approximately get the independent molecules result $E^{(2)} (R_{m\phi} = R^*, R_{n\phi} = R^* \bar{0}) \approx 2 E^{(2)} (R_{m\phi} = R^*, R_{n\phi} = R^*)$.  

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We expect this coherent enhanced effect for more than two molecules as long as the plasmonic wavelengths the molecular setup interacts with are larger than the separation between them. Unfortunately, this greatly limits the number of molecules that can feature a coherent enhanced concerted isomerization. For instance, if a given number of molecules span a length scale of $2\Delta$, the maximum plasmonic $|kk|$ that contribute to a coherent enhanced effect is of the order $\sim \frac{1}{2\Delta}$.

This is roughly one-fourth the number of in-plane plasmon modes that contribute to coherent enhanced isomerization $(|kk|)$ for molecules separated by $\Delta$. This is roughly one-fourth the number of in-plane plasmon modes that contribute to coherent enhanced isomerization $(|kk|)$ for molecules separated by $\Delta$.

In light of the nontrivial energetic shift of the two-molecule case, it is pedagogical to consider the SP effects on the cross-section of the concerted isomerization of the whole ensemble, even though it is highly unlikely that this kinetic pathway will be of any relevance, especially considering the large barrier for the isomerization of each molecule. Notice that the conservation of translational symmetry in this scenario allows for the exact (nonperturbative) calculation of the energetic shift $E_a(R) - N\text{h}o\dot{a}_f(R)$ by means of eq 7. Our numerical calculations reveal an energetic stabilization profile, which is displayed in Figure 5 for a molecular ensemble with $\rho = 3 \times 10^8$ molecules $\mu\text{m}^{-3}$. As expected, we observe a stabilization of reactant and product regions of the ground-state PES. This is a consequence of the transition dipole moment being the strongest at those regions, as opposed to the transition state, see Figure 1b. However, even though these energetic effects are of the order of hundreds of eV, they are negligible in comparison with the total ground-state PES $N\text{h}o\dot{a}_f(R)$ or, more specifically, to the transition barrier $N\text{E}_{\text{a}} = N\text{h}o\dot{a}_f(R^0)$ for the concerted reaction.

Importantly, the change in activation energy per molecule in the concerted isomerization with respect to the bare case $\Delta E_{a} = \left\langle \left( E_a(R) - E_a(0) \right) \right\rangle \approx 0.009 \text{ meV}$ is more than 1 order of magnitude smaller than the corresponding quantity $|E^{02}(R_{w,0} = R^{0}\hat{0})| \approx 0.25 \text{ meV}$ for the single-molecule isomerization case, see Figure 4 and inset of Figure 5. We believe that the reason for this trend is that the isomerization of $n$ molecules, $n \ll N$, translates into a perturbation that breaks the original translational symmetry of the molecular ensemble. This symmetry-breaking permits the interaction of the vacuum with the polaritonic $k$-state reservoir without a momentum-conservation restriction. This is reflected in eq 12, where the sum is carried out over two not necessarily equal momenta. In contrast, in the case of the concerted isomerization of $N$ molecules, the translational symmetry of the system is preserved, which in turn restricts the coupling of the vacuum $|G(\bar{0})\rangle\rangle_{j}$ to excited states with $\mathbf{k}_{\text{exc}} = -\mathbf{k}_{\text{hot}}$.

Another intriguing observation is that, for this concerted isomerization, the SP energetic effect per molecule $\frac{E_a(R)}{N}$ diminishes with the width of the slab $W_x$. This is the case given that the SP quantization length $L_q$ decays quickly with $|kk|$ so that only the closest layers interact strongly with the field. When we divide the total energetic effects due to the SP modes by $N = N_x N_y N_z$, we obtain that $\frac{E_a(R)}{N} = O\left( \frac{1}{N_x} \right)$ for large $W_x$.

The energetic shifts in all the scenarios discussed above are negligible with respect to the corresponding energy barriers and the thermal energy scale at room temperature which, unfortunately, signal the irrelevance of USC to alter ground-state chemical reactivity for this isomerization model. Although there is an overall (extensive) stabilization of the molecular ensemble ground state, this effect is distributed across the ensemble, giving no possibility to alter the chemical reaction kinetics or thermodynamics considerably. However, we highlight the intriguing interferences observed in the concerted isomerization processes. Even though they will likely be irrelevant for this particular reaction, they might be important when dealing with reactions with very low barriers, especially when considering that these concerted pathways are combinatorially more likely to occur than the single-molecule events in the large $N$ limit. This is intriguing in light of the study carried out in ref 48, which discusses a different but related effect of many reactions triggered by a single photon.

**Effects on Nonadiabatic Dynamics.** Finally, we discuss the importance of the nonadiabatic effects afforded by nuclear kinetic energy. Previous works have considered the non-adiabatic effects between polariton states at the level of SC.\textsuperscript{26,49} Alternatively, the consideration of nonadiabatic effects in USC for a single molecule in a cavity was provided in ref 50; here, we address these issues for the many-molecule case and consider both polariton and dark state manifolds. One could expect significantly modified nonadiabatic dynamics about nuclear configurations where the transition dipole moment magnitude $|\mu_{wa}(R_{w})|$ is large, given a reduction in the energy gap between the ground and the lower Bogoliubov polariton state. However, as we show below, this energetic effect is not substantial due to the presence of dark states.

We consider the magnitude of the nonadiabatic couplings (NACs) for the isomerization of a single molecule with reaction coordinate $R_{w,0}$. For a region about $\bar{R} = \bar{0}$, we estimate the magnitude of the NAC between $|G(\bar{0})\rangle\rangle_{j}$ and a state $|\mathbf{k}_{j}\rangle = |\mathbf{k}_{j}\rangle \langle \bar{0}|G(\bar{0})\rangle_{j}$ as

![Figure 5](image_url)
\[ A_{k,0}(0) = i\langle k, i | \frac{\partial}{\partial R_{n,0}} | G(\bar{0}) \rangle \mid_{\bar{R}} = \langle k, i | \frac{\partial}{\partial R_{n,0}} | \rho_n(0) \rangle \mid_{\bar{R}} \]

where \( |\rho_n(0)\rangle \langle \rho_n(0)| \) is the ground (excited) adiabatic state of the single molecule under consideration (see eq 1) and we have ignored the derivatives of \( \beta_k^* D_k \) with respect to \( R_{n,0} \) assuming they are small at \( \bar{R} = \bar{0} \), where the chemical character of the Bogoliubov polariton states does not change significantly with respect to nuclear coordinates. This is a consequence of the slowly changing transition dipole moment of the model molecule around \( R_{n,0} = 0 \), see Figure 1b. Notice that we have also assumed \( \langle k, i | e_n(0) \rangle \approx \beta_k D_k \) where we have used the fact that \( \beta_k \gg \gamma_k \) thus, ignoring counter-rotating terms, which as we have seen, give negligible contributions. The time-evolution of a nuclear wavepacket in the ground-state will be influenced by the Bogoliubov polariton states, each of which will contribute with a finite probability of transition out of \( |G(\bar{0})\rangle \mid_{\bar{R}} \). From semiclassical arguments, we can estimate the transition probability \( |C_k(0)|^2 \) for a nuclear wavepacket on the ground-state PES at \( \bar{R} = 0 \) to the state \( |k\rangle_d \),

\[
|C_k(0)|^2 \approx \frac{\hbar \nu_{\text{nucl}} A_{k,0}(0)}{\hbar \Delta(0)}^2 \\
= \frac{\hbar \nu_{\text{nucl}} \beta_k^* D_k}{\hbar \nu_{\text{nucl}} \beta_k D_k}^2 \\
\times \left\langle \left( \frac{\partial}{\partial R_{n,0}} | \rho_n(0) \rangle \mid_{\bar{R}} \right)^2 \right\rangle
\]

(15)

\( \nu_{\text{nucl}} \) being the expectation value of the nuclear velocity. However, the Bogoliubov polariton \( k \)-states are only a small subset of the excited states of the problem. As mentioned right after eq 3, the plexciton setup contains \( N_z - 1 \) dark excitonic states for every \( k \) (eigenstates of \( H_{\text{dark}}(0) \), see the discussion right after eq 3); we ignore the very off-resonant couplings considered in \( H_{\text{dark}}(0) \). The dark states also couple to \( G(\bar{0})\rangle \mid_{\bar{R}} \) nonadiabatically, with the corresponding transition probability out of the ground state being

\[
|C_{k,\text{dark}}(0)|^2 \approx \sum_Q \left( \frac{\hbar \nu_{\text{nucl}} A_{k,0}\chi_Q(0)}{\hbar \Delta(0)} \right)^2 \\
\approx P_{\text{bare}}(0) \left\langle \left( \frac{\partial}{\partial R_{n,0}} | \rho_n(0) \rangle \mid_{\bar{R}} \right)^2 \right\rangle
\]

(16)

Here, we have summed over all dark states \( Q \) for a given \( k \) and used

\[
P_{\text{bare}}(0) = \frac{\hbar \nu_{\text{nucl}}}{\Delta(0)} \left\langle \left( \frac{\partial}{\partial R_{n,0}} | \rho_n(0) \rangle \mid_{\bar{R}} \right)^2 \right\rangle
\]

(17)

\[
e\text{xciton states is} \quad |P_{\text{dark}}(k)(0)|^2 = \left( \frac{\langle \rho_n(0) | I_{\text{exc},k}(0) | \rho_n(0) \rangle}{\hbar \nu_{\text{nucl}} \beta_k^* D_k} \right)^2 \\
= \frac{1}{N_z N_y} - |D_k|^2
\]

(18)

\[
the corresponding projector (see eq 4). We noticed that when \( |k| \to 0 \), the quantization length \( L_s \) of the plasmonic field spans all the molecular-ensemble volume resulting in completely delocalized bright and dark exciton states across the different layers of the slab, \( |P_{\text{dark}}(k)(0)|^2 = \frac{N_z - 1}{N_z} \), and the dark states give the major contribution to the nonadiabatic dynamics. On the other hand, when \( |k| \to \infty \), the plasmonic field interacts with the molecular layer at the bottom of the slab only and \( |P_{\text{dark}}(k)|^2 \to 0 \). The dark states do not participate, because the molecule located at \( n_0 \) only overlaps with the bright state, which is concentrated across the first layer of the slab (the dark states, being orthogonal to the bright one, are distributed in the upper layers and do not overlap with \( |\rho_n(0)\rangle \)).

With these results, we can compute the probability of transition out of the ground-state \( P_{\text{out}}(0) \) as

\[
P_{\text{out}}(0) = \sum_k |C_k(0)|^2 + |C_{k,\text{dark}}(0)|^2
\]

(19)

In view of the large off-resonant nature of most SP modes with respect to \( \hbar \Delta(0) \) (see Figure 3) and eq 17, we have \( \sum |C_k(0)|^2 \approx P_{\text{bare}}(0)|D_k|^2 \), such that \( P_{\text{out}}(0) \approx P_{\text{bare}}(0) \). In our model, this is the case, since the plexciton anticrossing occurs at small \( |k| \) and the SP energy quickly increases and reaches an asymptotic value after that point (see Figure 3).

Using the parameters in ref 37, we obtain \( \langle \epsilon(\rho_{n,0})(-\frac{\partial}{\partial R_{n,0}}) \rangle \approx 0.01 \AA^{-1} \), where we have assumed an effective radius of 1 Å for the isomerization mode of the model molecule. We get an estimate of \( \nu_{\text{nucl}} \approx 1 \AA \nu_{\text{nucl}} \approx 1 \AA \sqrt{\frac{\hbar r_m}{m}} = 9 \times 10^{-10} \text{ A s}^{-1} \), using \( k_b = 8.62 \times 10^{-5} \text{ eV K}^{-1} \), \( T = 298 \text{ K} \), and \( m = 2.5 \text{ amu} \AA^2 \). Finally, applying \( \Delta(0) = 3 \text{ eV} \) gives \( P_{\text{bare}}(0) \approx 10^{-7} \), which is a negligible quantity. A more pronounced polariton-effect is expected close to the PES avoided crossing. However, the rapid decay of the transition dipole moment in this region (see Figure 1a) precludes the formation of plexitonic states that could have affected the corresponding nonadiabatic dynamics. To summarize this part, even when the USC effects on the nonadiabatic dynamics are negligible for our model, the previous discussion as well as eq 19 distill the design principle that controls these processes in other plexiton systems: the plexciton anticrossings should happen at large \( k \) values to preclude the overwhelming effects of the dark states. This principle will be explored in future work in other molecular systems.

The negligible polariton effect on the NACs and the magnitude of the energetic effects on the electronic energy landscape are strong evidence to argue that the chemical yields and rates of the isomerization problem in question remain intact with respect to the bare molecular ensemble.

**CONCLUSIONS**

We showed in this work that, for the ground state landscape of a particular isomerization model, there is no relevant collective stabilization effect by USC to SPs, which can significantly alter the kinetics or thermodynamics of the reaction, in contrast with previous calculations that show such possibilities in the...
Bogoliubov polariton landscapes. The negligible energetic corrections to the ground-state PES per molecule can be approximated and interpreted as Lamb shifts experienced by the molecular states due to the interaction with off-resonant plasmonic modes. The key dimensionless parameter which determines the quantum state of the system is the ratio of the electronic interaction energy to the energy spacing between electronic levels, \( \frac{\hbar^2 k^2}{m} \). This finding is similar to the conclusions of a recent work.

In particular, we establish that the rotational and vibrational degrees of freedom of molecules exhibit a self-adaptation that only depends on light–matter coupling at the single-molecule level. Therefore, more remarkable effects are expected in the regime of USC of a single molecule interacting with an electric field. To date, the largest single molecule interaction energy achievable experimentally is around 90 meV in an ultralow nanostucture volume. This coupling strength is almost 2 orders of magnitude larger than those in our model. Also, previous works have shown that this regime is achievable for plasmonic modes interacting at high frequencies in the microwave range. Additionally, the experimental realization of USC has been carried out recently.

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