Hospital antibiotic prescribing patterns in adult patients according to the WHO Access, Watch and Reserve classification (AWaRe): results from a worldwide point prevalence survey in 69 countries

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Objectives: The WHO Access, Watch and Reserve (AWaRe) classification has been developed to support countries and hospitals in promoting rational use of antibiotics while improving access to these essential medicines. We aimed to describe patterns of worldwide antibiotic use according to the AWaRe classification in the adult inpatient population.

Methods: The Global Point Prevalence Survey on Antimicrobial Consumption and Resistance (Global-PPS) collects hospital antibiotic use data using a standardized PPS methodology. Global-PPS 2015, 2017 and 2018 data, collected by 664 hospitals in 69 countries, were categorized into AWaRe groups to calculate proportional AWaRe use, Access-to-Watch ratios and the most common indications for treatment with selected Watch antibiotics. Only prescriptions for systemic antibiotics on adult inpatient wards were analysed.

Results: Regional Access use ranged from 28.4% in West and Central Asia to 57.7% in Oceania, whereas Watch use was lowest in Oceania (41.3%) and highest in West and Central Asia (66.1%). Reserve use ranged from 0.03% in sub-Saharan Africa to 4.7% in Latin America. There were large differences in AWaRe prescribing at country level. Watch antibiotics were prescribed for a range of very different indications worldwide, both for therapeutic and prophylactic use.

Conclusions: We observed considerable variations in AWaRe prescribing and high use of Watch antibiotics, particularly in lower- and upper-middle-income countries, followed by high-income countries. The WHO AWaRe classification has an instrumental role to play in local and national stewardship activities to assess prescribing patterns and to inform and evaluate stewardship activities.

Introduction

Global antibiotic consumption in the healthcare sector increased by 65% between 2000 and 2015, highlighting the need for a multi-level and coordinated set of actions in global efforts to curb the threat of antimicrobial resistance (AMR).1 Optimizing antimicrobial use in hospital and community settings through antimicrobial stewardship (AMS) is considered a key element in the global AMR response.2 At the same time, many low- and middle-income countries (LMICs) are confronted with challenges such as poor quality of antibiotics, loosely regulated over-the-counter sales and limited access to essential antibiotics.3,6 WHO aimed to address this need to balance excess use of antibiotics with access restrictions, by classifying a total of 180 antibiotics available worldwide into three groups; Access, Watch and Reserve (AWaRe) and by integrating these groups in the Model List of Essential Medicines (EML).3 Antibiotics in the Access group are considered as first- or second-line agents in the empiric treatment of a number of common infectious syndromes and should therefore be widely available and affordable. The Watch group includes antibiotics that have a higher
risk of selecting for resistance and that are used as first- or second-line options for a limited number of indications only. These are the antibiotics that need to be monitored and prioritized as targets for stewardship programmes. Finally, the Reserve group contains a set of last-resort antibiotics that need to be intensively monitored and should only be used under certain specific conditions in order to conserve their effectiveness. A fourth category of ‘Not recommended’ was added for fixed-dose combinations of broad-spectrum antibiotics for which use is not evidence-based. WHO proposes to use the AWaRe classification as a tool to support monitoring of antibiotic prescribing and inform AMS programmes and has introduced a new target, stating that by 2023 at least 60% of national antibiotic consumption should come from the Access group. Translating antimicrobial consumption data into AWaRe categories may provide useful insights into global prescribing patterns and will help to explore the potential of this newly developed classification as a stewardship tool.

Point prevalence surveys (PPSs) have shown their applicability as a cross-sectional audit of antimicrobial use in hospitals, thus addressing the need for a uniform approach for antimicrobial consumption surveillance. PPS data have been used to classify hospital antibiotic use in children; however, few studies have used this approach to investigate AWaRe patterns in the adult inpatient population. The aim of this paper is to describe and visualize these patterns and will help to explore the potential of this newly developed classification as a stewardship tool.

Methods

Data collection

We analysed data on antimicrobial prescribing in hospitalized patients from the Global-PPS network. The Global-PPS was conducted in 2015, 2017 and 2018, using a standardized methodology for collecting cross-sectional data on antimicrobial use in hospitalized adults, children and neonates. For Belgium, 2017 ECDC-PPS data have been integrated into the Global-PPS tool and therefore were also included in the Belgian dataset. Patient-level data included patient characteristics, information on the prescribed antimicrobials and their indication, as well as a number of quality indicators such as guideline compliance. Survey data were entered and validated using a web application, developed by the University of Antwerp. Patient data were anonymized by means of a unique, non-identifiable survey number generated by the Global-PPS software. Details of the Global-PPS methodology have been described elsewhere.

Data analysis

All Global-PPS data collected on adult wards in 2015, 2017 and 2018 were analysed. Data from paediatric hospitals and hospitals without adult patient-level data were excluded from the dataset. Only prescriptions for ‘antibacterials for systemic use’, classified as ATC J01, were included in the analysis. Antimycotics and antifungals (J02 and D01BA), TB drugs (J04A), antivirals (J05), intestinal anti-infectives (A07AA), antimalarials and other antiprotozoals (P01) were not analysed.

Antibiotics were labelled as ‘Access’, ‘Watch’, ‘Reserve’ or ‘Not recommended’ using the 2019 WHO AWaRe Classification Database (Table S1, available as Supplementary data at JAC Online). Antibiotics not included in the AWaRe classification were listed as ‘Unclassified’.

Proportional Access, Watch and Reserve use was assessed by calculating the number of prescriptions in each category relative to the total number of prescriptions and was stratified according to UN region and subregion, country and World Bank country classification. A unique prescription was defined as one antibiotic and one route of administration. The five UN regions were split into 11 subregions, based on the number of hospitals in the dataset. Subregions for Africa were Northern Africa and sub-Saharan Africa. The Americas region was broken down into Northern America and Latin America, and subregions for Europe were Northern, Eastern, Southern and Western Europe. For the Asian region, countries were grouped into two subregions: West and Central Asia (including Western and Central Asia) and East and South Asia (including Eastern, Southern and South-eastern Asia). We report the five most commonly prescribed antibiotics for therapeutic and prophylactic use, at ATC5 level, by region, and a green, yellow and red colour code is used to visualize Access, Watch and Reserve prescribing, respectively. To determine the relative use of Access and Watch antibiotics, Access-to-Watch ratios were calculated and presented in relation to the overall country median. Countries with fewer than three participating hospitals were excluded from country-level analyses. For a selection of Watch antibiotics (azithromycin, ciprofloxacin, ceftriaxone, vancomycin and meropenem), we report the most common indications for prescription. Similarly, the most common indications were assessed for Reserve antibiotics. Finally, we report the proportion of Reserve antibiotics prescribed empirically. Data were exported from the Global-PPS web application to a Microsoft Excel 2016 database (Microsoft Corporation, Redmond, WA, USA) and were analysed using Microsoft Excel and SPSS version 25 (IBM Corporation, Armonk, NY, USA).

Ethics

The need to obtain ethical approval was dependent on local policies. This was arranged by hospitals individually, if needed, and a document stating the Global-PPS data privacy principles was available to inform these applications.

Results

General results

Between January 2015 and December 2018, 721 hospitals from 73 countries participated in at least one Global-PPS survey period. Data from 55 paediatric hospitals and two hospitals with data quality issues were excluded. The final dataset included 80 671 patients on at least one systemic antibiotic (106 105 prescriptions) admitted to adult wards in 664 hospitals throughout 69 countries. A list of participating countries with the respective number of hospitals, participations, patients and prescriptions is included in Table S2. Of all participating hospitals, 352 (53.0%) were from high-income countries, 144 (21.7%) from upper-middle-income countries, 141 (21.2%) from lower-middle-income countries and 27 (4.1%) from low-income countries. Tertiary hospitals represented the majority of all institutions (267; 40.2%), followed by secondary hospitals (243; 36.6%), primary care centres (76; 11.4%), institutions for specialized care (50; 7.5%) and infectious diseases hospitals (28; 4.2%). Overall, pneumonia was the most common reason...
for prescription of antibiotics on adult wards (19.2%), followed by skin and soft tissue infections (9.8%) and intra-abdominal infections (7.0%).

**Proportional AWaRe use by region**

West and Central Asian hospitals had the highest percentage of Watch antibiotics (66.1%) and the lowest Access percentage (28.4%) (Figure 1). The highest Access percentage (57.7%) and the lowest Watch percentage (41.3%) were observed in Oceania. Within Europe, Access percentages ranged from 30.2% in Eastern Europe to 55.2% in Northern European hospitals. The overall percentage of Reserve use was 2.0%, ranging from 0.03% in sub-Saharan Africa to 4.7% in Latin America. The highest percentage of not-recommended antibiotics was seen in Northern Africa (2.3%). Up to 64.9% of all not-recommended antibiotic prescriptions worldwide were for cefoperazone/β-lactamase inhibitor.

**Proportional AWaRe use by income level**

Stratification by World Bank country classification (Figure 2) showed that low-income countries had the highest Access percentage (62.8%), the lowest Watch percentage (36.0%) and no Reserve prescriptions on adult wards. Upper-middle- and lower-middle-income countries showed similar patterns of Access and Watch prescribing. Access antibiotics accounted for 33.0% and 33.5% of prescriptions in upper-middle- and lower-middle-income countries, respectively. Watch percentages were high, with up to 62.2% in upper-middle-income countries, 63.4% in lower-middle-income countries and 53.1% in high-income countries. Reserve prescribing was highest in upper-middle-income countries (3.0%), followed by high-income countries (1.9%) and lower-middle-income countries (1.4%).

**Proportional AWaRe use by country**

The highest Access percentages at country level were observed in sub-Saharan countries such as Guinea (66.7%), South Africa (61.9%) and Togo (59.8%) (Figure 3). Access prescribing was lowest in Armenia (12.1%), Jordan (12.2%) and China (15.1%). The percentage of Watch prescribing was high in Armenia (87.9%) and Jordan (84.4%), whereas Guinea (32.1%), South Africa (37.7%) and the UK (39.5%) reported the lowest Watch percentages. Reserve prescribing was highest in Argentina (12.6%), India (7.8%) and Brazil (7.1%). For a number of participating countries, such as Nigeria, Guinea, Togo, Laos, Kosovo, Kyrgyzstan and Armenia, no Reserve prescriptions were reported.

**Most commonly prescribed antibiotics by region**

Ceftriaxone was the most commonly used antibiotic for therapeutic use on adult wards worldwide, ranging from 2.5% of therapeutic prescriptions in Northern Europe to 24.8% in Eastern Europe (Figure 4). Piperacillin/tazobactam was the number one antibiotic in Northern Europe (15.9%) and Northern America (17.4%), whereas amoxicillin/clavulanic acid was widely used in Western Europe (27.0%). In Northern America the top five antibiotics for therapeutic use consisted entirely of Watch antibiotics. Results for surgical prophylaxis (Figure 5) showed more Access prescribing for almost all regions compared with therapeutic prescribing. Cefazolin was the number one antibiotic for surgical prophylaxis in Oceania (68.1%), Northern America (67.1%), Western Europe (63.3%) and Latin America (34.7%). Ceftriaxone was widely used as prophylaxis for surgery in Eastern Europe (34.4%), Southern Europe (24.8%), West and Central Asia (23.6%) and Northern Africa (19.7%). In sub-Saharan Africa up to 23.6% of prescriptions for surgical prophylaxis were for metronidazole, followed by ceftriaxone (23.2%).

**Access-to-Watch ratio by country**

The median Access-to-Watch ratio was 0.7 (IQR 0.5–0.9) (Figure 6). In 9 out of 43 countries included in the analyses, the Access-to-Watch ratio was higher than 1, meaning that in these countries the proportion of Access antibiotics prescribed on adult wards was higher than the Watch proportion. The majority of countries, however, had an Access-to-Watch ratio lower than 1.

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**Figure 1.** Proportional antibiotic use in adult inpatients according to the AWaRe classification, by UN region. C, countries; H, hospitals; P, prescriptions.
The lowest ratio (0.1) was observed in Armenia and Jordan, whereas Guinea had the highest Access-to-Watch ratio (2.1), followed by South Africa (1.6), Togo (1.5) and the UK (1.5).

**Most common indications for selected Watch antibiotics**

Accounting for 13.3% (11.0% in Oceania to 19.7% in Africa) of all included prescriptions, and prescribed for a wide range of indications, ceftriaxone was the most commonly used antibiotic on adult wards worldwide (Table S3). Surgical prophylaxis represented up to 24.2% (1.1% in Northern America to 35.2% in Africa) of worldwide ceftriaxone use. Overall, 20.0% of ceftriaxone prescriptions were for treatment of pneumonia, ranging from 10.0% in Africa to 35.1% in Northern America. Ciprofloxacin constituted up to 6.2% (2.7% in Oceania to 8.2% in Europe) of overall antibiotic use. The most common reason for prescription of ciprofloxacin was urinary tract infection (21.6%; 6.6% in Africa to 36.8% in Northern America), the majority of which (13.6% of all ciprofloxacin prescriptions) were for infection of the lower urinary tract. Skin and soft tissue infections (12.3%) were another important indication for ciprofloxacin use, with up to 18.1% of all ciprofloxacin prescriptions in Africa being prescribed for this reason. Meropenem represented 4.3% of all antibiotic prescriptions (1.9% in Africa to 6.9% in Latin America). The main indications for meropenem treatment were pneumonia (27.9%; 13.0% in Oceania to 29.8% in Latin America), intra-abdominal infection (11.7%; 5.1% in Africa to 15.0% in Europe) and sepsis (7.8%; 3.7% in Oceania to 15.2% in Africa). Parenteral vancomycin accounted for 3.7% (1.1% in Africa to 8.1% in Northern America) of all prescriptions in the dataset. Up to 13.7% of prescriptions were for pneumonia, ranging from 11.4% in Africa and Europe to 19.8% in Latin America. Skin and soft tissue infections and bone and joint infections constituted 15.4% (13.4% in Europe to 17.1% in Northern America) and 9.7% (2.6% in Africa to 21.8% in Oceania) of prescriptions, respectively. Azithromycin, finally, accounted for 2.0% (0.9% in Latin America to 3.7% in Northern America) of overall antibiotic use. Up to 54.5% of azithromycin prescriptions were for treating pneumonia, ranging from 26.1% in Europe to 69.7% in Asia.

**Worldwide use of Reserve antibiotics**

Analyses of the 2118 Reserve prescriptions in the dataset showed that linezolid was the most commonly used Reserve antibiotic worldwide (29.9% of all Reserve prescriptions; 19.1% in East and South Asia to 62.1% in Northern Africa). Overall, colistin accounted for 27.0% of Reserve use (8.7% in Northern America to 50.5% in West and Central Asia). In Northern America, daptomycin represented up to 30.1% of all Reserve prescriptions. Reserve antibiotics were mainly used to treat pneumonia (26.2%), skin and soft tissue infections (12.9%) and intra-abdominal infections (10.5%). Empirical prescribing of Reserve antibiotics ranged from 34.3% in high-income countries to 41.4% and 53.0% in upper-middle-income and lower-middle-income countries, respectively.

**Discussion**

**Overall AWaRe prescribing**

Using 2015, 2017 and 2018 hospital PPS data, we assessed worldwide antibiotic prescribing patterns for adult inpatients based on the WHO AWaRe classification. To the best of our knowledge, this is the first analysis of hospital AWaRe prescribing in adults on a global level. Results show considerable differences in proportional use of Access, Watch and Reserve antibiotics between regions and countries. Similar levels of variation in AWaRe consumption were reported in other global studies. The overall use of Watch antibiotics was high and stratification by World Bank classification showed that hospitals in lower-middle- and upper-middle-income countries contributed substantially to the proportion of Watch antibiotics. This high use of broad-spectrum Watch antibiotics in middle-income settings may be attributed to a combination of improved access to these antibiotics in emerging economies, rising levels of AMR and increased incidence of infectious diseases due to urbanization. A global, longitudinal analysis of national sales data has shown that, while both Access and Watch consumption increased between 2000 and 2015, the increase in Watch antibiotics was more pronounced, especially in LMICs. As a result, the proportion of countries in which Access antibiotics constituted at least 60% of total consumption, the target set by WHO, decreased substantially between 2000 and 2015. High levels of Watch use could also be observed in Northern America, where the top five most commonly used antibiotics for therapeutic use consisted entirely of Watch antibiotics.

In contrast, hospitals from countries such as South Africa, Guinea and Togo had high Access-to-Watch ratios. Similar patterns have been observed in wholesales data from countries such as Burkina Faso and Burundi. It seems, therefore, that despite overall rising antibiotic consumption levels, access to antibiotics has not improved uniformly throughout LMICs and that certain hospitals in resource-poor settings are still faced with a need for effective antibiotics to treat drug-resistant infections.

The use of Reserve antibiotics was particularly high in Latin American countries and in India, which may reflect the burden of...
increasingly resistant pathogens in these regions.\textsuperscript{19,25} No Reserve prescriptions were reported in hospitals from countries such as Laos, Guinea and Nigeria, which again may be attributed to availability issues for last-resort antibiotics in these settings. Worldwide, a large proportion of Reserve antibiotics were prescribed on an empirical basis. In lower-middle-income countries, up to 53.0\% of all Reserve

**Figure 3.** Proportional antibiotic use in adult inpatients according to the AWaRe classification, by country. Number of prescriptions is shown in parentheses. Countries with fewer than three participating hospitals are not included in the representation.
prescriptions were empirical, which likely indicates a lack of diagnostic capacity.

**Indications for Watch antibiotics**

Although considerable interregional differences were observed, a large proportion of prescriptions for key Watch antibiotics were issued for indications other than those for which they were included in the EML. Ceftriaxone was the most commonly prescribed Watch antibiotic globally and commonly used for surgical prophylaxis in some regions. These findings are worrisome, as the use of third-generation cephalosporins has been identified as a possible driver of ESBL-producing pathogens. The high number of ciprofloxacin prescriptions for indications such as skin and soft tissue infections and pneumonia is of particular concern in TB-endemic countries as fluoroquinolones are essential antibiotics in treatment regimens for MDR TB. However, further investigation into the appropriateness of vancomycin use is needed, as it has been identified as a risk factor for nosocomial VRE infections, along with concerns of toxicity and maintaining effective concentrations.

Azithromycin was commonly used for treatment and prophylaxis of respiratory infections. However, widespread use of azithromycin will result in reduced susceptibility of *Streptococcus pneumoniae* to macrolides, but also emerging resistance in typhoidal *Salmonella* strains.

**Limitations**

The current study has important limitations, many of which are associated with the use of PPS data. First, the Global-PPS relies on voluntary participation and therefore the results reported here cannot be extrapolated to all hospitals within the same country or region. In terms of representativeness at country level, results need to be interpreted with caution, as some countries are clearly under-represented. In our analyses, a total of three participating institutions per country was considered a hospital network and all countries with fewer than three participating hospitals were excluded from country-level analyses. Second, participation from low-income countries was low. Only three low-income countries with more than two participating hospitals were included, all of which were located in sub-Saharan Africa. Third, these results are not corrected for factors that may have an impact on antibiotic prescribing: institutional characteristics, patient case mix, seasonality or number of times the hospital participated in the PPS. Fourth, although encoding of the indication for treatment was guided by online quality checks, local teams were responsible for attribution of the appropriate code to the identified diagnosis. Fifth, the selection of adult patients for this dataset was based on the type of ward to which they were admitted. As some hospitals did not have dedicated paediatric wards, a limited percentage, estimated at 2.8% of all included patients on adult wards, were younger than 18 years. Finally, factors such as local resistance data, infectious disease prevalence or availability of antibiotics are not considered here, but would be valuable in interpreting these findings.

**Conclusions**

The WHO AWaRe classification was used to describe antibiotic prescribing patterns in adult inpatients worldwide. Designed as a
Figure 6. (a) Country-level Access-to-Watch ratio for adult inpatients clustered by UN region. The dotted line marks the overall country median (0.7). Countries with fewer than three participating hospitals are not included in the representation. (b) Country-level Access-to-Watch ratio for adult inpatients clustered by income level. The dotted line marks the overall country median (0.7). Countries with fewer than three participating hospitals are not included in the representation.
global stewardship tool, it provides local AMS teams and policymakers with a comprehensive method to monitor antibiotic use, set targets and design interventions, especially in high-burden settings where antibiotic consumption data are scarce. We observed large heterogeneity in AWaRe prescribing at country, regional and income levels. The data collected in the Global-PPS also allowed us to zoom in on the indications of some essential Watch antibiotics. Further research on local levels, integrating contextual

![Figure 6. Continued.](https://academic.oup.com/jac/advance-article/doi/10.1093/jac/dkab050/6210595)
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information, could usefully explore some of the drivers behind the prescribing patterns reported here.

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Transparency declarations
None to declare.

Supplementary data
Tables S1 to S3 are available as Supplementary data at JAC Online.

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