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Optimizing the Extraction of Anti-tumor Polysaccharides from the Fruit of *Capparis spionosa* L. by Response Surface Methodology

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**Abstract:** *Capparis spionosa* L. is a traditional medicinal plant in China and central Asia. In this study, an experiment was designed to investigate the optimization of the extraction of anti-tumor polysaccharides from the fruit of *Capparis spionosa* L. (CSPS) by response surface methodology (RSM). Four independent variables (extraction temperature, extraction time, ratio of water to sample and extraction cycles) were explored. Meanwhile, the *in vivo* anti-tumor activity of CSPS was investigated. The results showed that the experimental data could be fitted to a second-order polynomial equation using multiple regression analysis. The optimum extraction conditions were as follows: extraction temperature 92 °C, extraction time 140 min, ratio of water to sample 26 mL/g, and three extraction cycle. Under these conditions, the yield of polysaccharides reached 13.01%, which was comparable to the predicted yield (12.94%, p > 0.05). This indicated that the model was adequate for the extraction process. Additionally, CSPS could prolong the survival time of H22 bearing mice *in vivo*. The anti-tumor activities of CSPS were dose-dependent.
Keywords: polysaccharides of Capparis spionosa L. (CSPS); extraction optimization; response surface methodology; anti-tumor activity

1. Introduction

In recent years, more and more plant polysaccharides have been used in medicine and health-care food. Plant polysaccharides have various biological activities, such as antioxidant [1,2], free radical scavenging [3], immunostimulatory [4,5], and anti-virus activity [6]. At the same time, a growing amount of research has shown that polysaccharides could resist tumors by improving the immune system and inducing tumor apoptosis [7–10].

Capparis spionosa L., which cultivated in China and central Asia, is a traditional medicinal plant [11–15]. Abundant research suggests that Capparis spionosa L. contains a variety of active ingredients, such as volatile oil, sugar ligands, glucose isothiocyanates, alkaloids, and so on [16].

Response surface methodology (RSM) that applies to optimizing conditions in food and pharmaceutical research is an effective tool for optimizing extraction processes [17–19]. As one type of RSM, Box-Behnken design (BBD) is popularly use, principally to optimize technical parameters. BBD is prevalent to other approaches required in optimizing a process, such as saving materials, decreasing expenses, reducing time, and so on [20].

Up to now, no detailed investigation has been conducted on optimization of polysaccharide extraction from the fruit of Capparis spionosa L. In addition, there are no experiments to explore the anti-tumor activity of CSPS. Therefore, the purpose of the study was to employ a BBD (four factors and three levels) to optimize the effects of extraction temperature, extraction time, ratio of water to sample, and extraction cycles on the yield of polysaccharides obtained from the fruit of Capparis spionosa L. (CSPS). Furthermore, the anti-tumor activity of CSPS was evaluated in the search for high quality biological functional principles for use in the pharmaceutical industry.

2. Results and Discussion

2.1. Effect of Extraction Temperature on the Yield of CSPS

The effect of different extraction temperatures (60, 70, 80, 90 and 100 °C) on the yield of CSPS is shown in Figure 1, which indicates that the yield increased with the increasing extraction temperature and reached the maximum value of 7.33% at 90 °C. Then, there was no increase when the extraction temperature at 100 °C. Therefore, 80–100 °C were adopted for the extraction.

2.2. Effect of Extraction Time on the Yield of CSPS

The results shown in Figure 2 maintained a mild slope after 90 min. The yield of CSPS reached a maximum value of 7.66 when the extraction time was 120 min, consequently 90–150 min was considered to be optimal extraction time in the experiment.
Figure 1. Effect of extraction temperature on the yield of CSPS under extraction time 60 min, ratio of water to sample 10 mL/g, and extraction cycle 1.

![Graph showing the effect of extraction temperature on the yield of CSPS](image1)

Figure 2. Effect of extraction time on the yield of CSPS under extraction temperature 90 °C, ratio of water to sample 10 mL/g, and extraction cycle 1.

![Graph showing the effect of extraction time on the yield of CSPS](image2)

2.3. Effect of Ratio of Water to Sample on the Yield of CSPS

As seen from Figure 3, the yield of CSPS reached the critical value 9.8 at the ratio of 25 mL/g, and then started to maintain a dynamic equilibrium. Therefore, the range of water to sample ratios of 20~30 mL/g was used in the present work.

Figure 3. Effect of ratio of water to sample on the yield of CSPS under extraction temperature 90 °C, extraction time 120 min, and extraction cycle 1.

![Graph showing the effect of ratio of water to sample on the yield of CSPS](image3)
2.4. Effect of Extraction Cycles on the Yield of CSPS

The result indicated that the yield of CSPS increased with the number of extraction cycles, but there was no significant increase after 2 cycles (Figure 4). So, an extraction cycles range of 1~3 was adopted.

Figure 4. Effect of extraction cycles on the yield of CSPS under extraction temperature 90 °C, extraction time 120 min, and ratio of water to sample 25 mL/g.

2.5. Results of the Yield of CSPS of Optimization of the Procedure

The twenty nine experimental points for optimizing the four individual parameters in the BBD were shown in Table 1. Five replicates (exp. No. 25~29) at the center of the design were used to allow for estimation of a pure error sum of squares. The response value in each trial was the average of triplicates.

Table 1. Box-Behnken design matrix of four variables and the experimental observed responses.

| No. | X1/Extraction temperature (°C) | X2/Extraction time (min) | X3/Ratio of water to sample (mL/g) | X4/Extraction cycles | Yield of CSPS (%) |
|-----|-------------------------------|--------------------------|-----------------------------------|---------------------|------------------|
| 1   | -1(80)                        | -1(90)                   | 0(25)                             | 0(2)                | 9.028            |
| 2   | 1(100)                        | -1(90)                   | 0(25)                             | 0(2)                | 11.584           |
| 3   | -1(80)                        | 1(150)                   | 0(25)                             | 0(2)                | 11.370           |
| 4   | 1(100)                        | 1(150)                   | 0(25)                             | 0(2)                | 11.458           |
| 5   | 0(90)                         | 0(120)                   | -1(20)                            | 1(3)                | 8.206            |
| 6   | 0(90)                         | 0(120)                   | 1(30)                             | 1(3)                | 9.466            |
| 7   | 0(90)                         | 0(120)                   | -1(20)                            | 1(3)                | 10.962           |
| 8   | 0(90)                         | 0(120)                   | 1(30)                             | 1(3)                | 12.190           |
| 9   | -1(80)                        | 0(120)                   | 0(25)                             | 1(3)                | 7.052            |
| 10  | 1(100)                        | 0(120)                   | 0(25)                             | -1(1)               | 9.150            |
| 11  | -1(80)                        | 0(120)                   | 0(25)                             | 1(3)                | 10.214           |
| 12  | 1(100)                        | 0(120)                   | 0(25)                             | 1(3)                | 11.792           |
| 13  | 0(90)                         | -1(90)                   | -1(20)                            | 0(2)                | 8.566            |
| 14  | 0(90)                         | 1(150)                   | -1(20)                            | 0(2)                | 10.826           |
| 15  | 0(90)                         | -1(90)                   | 1(30)                             | 0(2)                | 10.250           |
| 16  | 0(90)                         | 1(150)                   | 1(30)                             | 0(2)                | 11.090           |
Table 1. Cont.

| No. | X1/Extraction temperature (°C) | X2/Extraction time (min) | X3/Ratio of water to sample (mL/g) | X4/Extraction cycles | Yield of CSPS (%) |
|-----|-------------------------------|-------------------------|-----------------------------------|---------------------|------------------|
| 17  | 0(80)                         | 0(120)                  | −1(20)                            | 0(2)                | 9.722            |
| 18  | 1(100)                        | 0(120)                  | −1(20)                            | 0(2)                | 10.968           |
| 19  | −1(80)                        | 0(120)                  | 1(30)                             | 0(2)                | 10.202           |
| 20  | 1(100)                        | 0(120)                  | 1(30)                             | 0(2)                | 11.560           |
| 21  | 0(90)                         | −1(90)                  | 0(25)                             | −1(1)               | 8.426            |
| 22  | 0(90)                         | 1(150)                  | 0(25)                             | −1(1)               | 8.568            |
| 23  | 0(90)                         | −1(90)                  | 0(25)                             | 1(3)                | 11.002           |
| 24  | 0(90)                         | 1(150)                  | 0(25)                             | 1(3)                | 12.49            |
| 25  | 0(90)                         | 0(120)                  | 0(25)                             | 0(2)                | 11.702           |
| 26  | 0(90)                         | 0(120)                  | 0(25)                             | 0(2)                | 11.547           |
| 27  | 0(90)                         | 0(120)                  | 0(25)                             | 0(2)                | 11.626           |
| 28  | 0(90)                         | 0(120)                  | 0(25)                             | 0(2)                | 11.315           |
| 29  | 0(90)                         | 0(120)                  | 0(25)                             | 0(2)                | 11.918           |

2.6. Model Fitting and Statistical Significance Analysis

By applying multiple regression analysis on the experimental data, the response variable and the test variables were related by the following second-order polynomial equation:

\[ Y = 11.62 + 0.74X_1 + 0.58X_2 + 0.46X_3 + 1.48X_4 - 0.62X_1X_2 + 0.028X_1X_3 - 0.13X_1X_4 - 0.35X_2X_3 - 0.34X_2X_4 - 0.008X_3X_4 - 0.55X_1X_1 - 0.48X_2X_2 - 0.57X_3X_3 - 1.13X_4X_4 \]

The determination coefficient (\( R^2 = 0.9460 \)) is shown in Table 2, indicating that the model could explain 94.60% of the response value changes and only 5.40% of the total variations was not explained by the model. At the same time, a low value 0.0439 of coefficient of the variation (C.V.) indicated a high degree of precision and a good deal of reliability of the experimental value. This meant that the model could be used to analyze and predict polysaccharide extraction process results.

Table 2. Test result of significance for regression coefficients.

| Parameter | Estimate | df | Standard error | 95%CI Low | 95%CI High | F-value | p-value |
|-----------|----------|----|----------------|-----------|-----------|---------|---------|
| intercept | 11.62    | 1  | 0.21           | 11.18     | 12.06     |         |         |
| X1        | 0.74     | 1  | 0.13           | 0.46      | 1.03      | 31.35   | <0.0001 |
| X2        | 0.58     | 1  | 0.13           | 0.29      | 0.86      | 19.00   | 0.0007  |
| X3        | 0.46     | 1  | 0.13           | 0.17      | 0.74      | 11.94   | 0.0039  |
| X4        | 1.84     | 1  | 0.13           | 1.20      | 1.77      | 124.09  | <0.0001 |
| X1X2      | −0.62    | 1  | 0.23           | −1.11     | −0.12     | 7.91    | 0.0179  |
| X1X3      | 0.028    | 1  | 0.23           | −0.47     | 0.52      | 0.015   | 0.9048  |
| X1X4      | −0.13    | 1  | 0.23           | −0.62     | 0.36      | 0.32    | 0.5809  |
| X2X3      | −0.35    | 1  | 0.23           | −0.85     | 0.14      | 2.83    | 0.1451  |
| X2X4      | 0.34     | 1  | 0.23           | −0.16     | 0.83      | 2.14    | 0.1656  |
| X3X4      | −0.008   | 1  | 0.23           | −0.50     | 0.49      | 0.005   | 0.9727  |
Table 2. Cont.

| Parameter | Estimate | df | Standard error | 95%CI | F-value | p-value |
|-----------|----------|----|----------------|-------|---------|---------|
| X1X1      | -0.55    | 1  | 0.18           | -0.94 | -0.17   | 9.41    | 0.0083  |
| intercept | 11.62    | 1  | 0.21           | 11.18 | 12.06   |         |         |
| X2X2      | -0.48    | 1  | 0.18           | -0.87 | -0.097  | 7.19    | 0.0179  |
| X3X3      | -0.57    | 1  | 0.18           | -0.95 | -0.18   | 9.81    | 0.0074  |
| X4X4      | -1.13    | 1  | 0.18           | -1.51 | -0.74   | 38.92   | <0.0001 |

Note: R² = 0.9460, Adjusted R² = 0.8921, C.V. = 0.0439.

The p-value is used as an important tool to check the significance of each coefficient, which in turn may indicate the pattern of the interactions between the variables. The smaller the value of the p-value, the more significant the corresponding coefficient was. As seen from Table 2, the linear coefficients (X1, X2, X3, X4), cross product coefficient (X1X2) and quadratic coefficients (X1², X2², X3², X4²) of the model were significant, with a very small p-value (p < 0.05). The other term coefficients were not significant (p > 0.05). At the same time, F-value and p-value (F = 17.53, p < 0.0001) of the model, which are shown in Table 3, indicated that the regression model was very significant. Lack of fit (F = 5.71, p > 0.05) was not significant.

Table 3. Analysis of variance for fitted quadratic polynomial model.

| Source         | Sum of squares | df | Mean square | F-value | p-value  |
|----------------|----------------|----|-------------|---------|----------|
| Model          | 51.94          | 14 | 3.71        | 17.53   | <0.0001  | significant |
| Residual       | 2.96           | 14 | 0.21        |         |          |           |
| Lack of fit    | 2.77           | 10 | 0.28        | 5.71    | 0.0583   |           |
| Pure error     | 0.19           | 4  | 0.048       |         |          |           |
| Cor total      | 54.90          | 28 |             |         |          |           |

2.7. Optimization of Extraction Conditions of CSPS

Based on the regression equation obtained by Design-Expert 7.0, the graphical representations of the yield of CSPS affected by X1, X2, X3 and X4 are presented in Figure 5. The independent variables and maximum predicted values from the figures corresponded with the optimum values of the dependent variables obtained by the equation.

The contour plots can reflect the strength of the interaction effects. When the contour plots are oval, it means that the interaction of two independent variables is significant. In contrast, the round contour plots are considered not significant [21,22]. According to Figure 5 and Table 2, the interaction between extraction temperature and extraction time was significant (p < 0.05).

The optimal extraction conditions were obtained from response surface analysis as follows: extraction temperature 92.46 °C, extraction time 138.53 min, ratio of water to sample 26.06 mL/g, extraction cycles 2.74. The maximum predicted yield of CSPS was 12.94%.
Figure 5. Response surface plots (3-D) and contour plots (2-D) showing the effects of variables \((X_1):\) extraction temperature; \((X_2):\) extraction time; \((X_3):\) ratio of water to sample; \((X_4):\) extraction cycles.
Figure 5. Cont.
2.8. Verification of Predictive Model

It is desirable to test the accuracy and reliability of the model equation for predicting an optimum response. Taking fully into account the actual operating convenience, the confirmatory experimental verification was tested under the conditions: extraction temperature 92 °C, extraction time 140 min, the ratio of water to sample 26 mL/g, and extraction cycles 3. A mean value of (13.01 ± 0.08) % (n = 3) was obtained from the confirmatory experiment. The difference between the real value and the predicted value was not significant (p > 0.05). It indicated that the model was adequate for the extraction process (Table 4).

| Table 4. Predicted and experimental values of the responses at optimum conditions. |
|---------------------------------------------------------------|
| Extraction temperature (°C) | Extraction time (min) | Ratio of water to sample (mL/g) | Extraction cycles | Yield of CSPS (%) |
|-------------------------------|-----------------------|---------------------------------|------------------|-------------------|
| Predicted optimum condition   | 92.46                 | 138                             | 26.06            | 12.94             |
| Experimental optimum condition| 92                    | 140                             | 26               | 3                 |
|                               |                       |                                 | 13.01 ± 0.08 *a  |                   |

* means ± standard deviations (n = 3), * compared with predicted yield, p > 0.05.

2.9. Anti-Tumor Activity of CSPS in Vivo

Life prolonging experiments are used to detect whether a sample has anti-tumor activity [23,24]. The size of the values reflect the strength of any anti-tumor activity. As seen from Table 5, the survival times of the CSPS groups were prolonged significantly in a dose-dependent manner and the rate of life prolongation of the mid-dose CSPS group was better than that of the positive group for the same dosing. The difference of survival time in mice between the low-dose CSPS group and control group was significant (p < 0.05). The survival time in control group mice was significantly extremely lower than that of the mid-dose CSPS group (p < 0.01, Table 5), and the same happened between the control and high-dose CSPS groups. The results showed that CSPS had in vivo anti-tumor activity by prolonging the survival time of H22 bearing mice.

| Table 5. Effects of CSPS on survival time of tumor H22 bearing mice. |
|---------------------------------------------------------------|
| Groups   | Number | Dose (mg/kg) | Survival time (d) | Prolonging rate (%) |
|----------|--------|--------------|-------------------|---------------------|
| Control  | 12     | Normal saline | 10.24 ± 2.97      | —                   |
| Low-CSPS | 12     | 50           | 12.66 ± 2.53 *    | 23.63               |
| Mid-CSPS | 12     | 100          | 15.48 ± 3.15 **   | 51.17               |
| High-CSPS| 12     | 200          | 16.72 ± 2.31 **   | 63.28               |
| APS      | 12     | 100          | 14.89 ± 2.35 **   | 45.41               |

Compared with control group, * p < 0.05 and ** p < 0.01. Data were expressed as means ± standard deviations (n = 10).
3. Experimental

3.1. Materials

The fruit of *Capparis spionosa* L. was purchased from Xinjiang Wanbang Biotechnology Co. Ltd. (Urumqi, China) Ethanol, dehydrated alcohol, acetone and benzine (analytical grade) were purchased from Tianjin FuChen Chemical Reagent Factory (Tianjin, China). Normal saline was purchased from Harbin Triple Pharmaceutical Co., Ltd. (Harbin, China). Astragalus polysaccharide (APS) was obtained from the Institute of Pharmacology, Harbin University of Commerce (Harbin, China).

3.2. Extraction and Yield of CSPS

The dried fruit of *Capparis spionosa* L. (2,500 g) was ground in a blender to obtain a fine powder. The powder was extracted with 95% ethanol (5,000 mL, ×3 cycles) at 90 °C for 3 h, and filtered through nylon cloth (pore diameter 38 μm). The residue was dried under reduced pressure. Each dried residue sample (10 g) was extracted by a water bath under a set of designed temperature, extraction time, water-sample ratio and extraction cycle conditions. The extraction solution was separated from insoluble residue by centrifugation (4,000 × g for 5 min, at 20 °C), and then precipitated by dehydrated alcohol to a final concentration of 80% (v/v). The precipitate (CSPS) collected by centrifuge (4,000 × g for 10 min, at 20 °C) was washed with dehydrated alcohol, acetone and benzine, and vacuum dried. The yield of CSPS was calculated according to the following equation:

\[
\text{Yield of CSPS (\%) = \frac{\text{Weight of polysaccharide extracted from } Capparis \ spionosa \ L.}{\text{Weight of dried residue sample (10 g)}}
\]

3.3. Optimization Design

On the basis of single-factor experiments for the polysaccharide production, suitable ranges of extraction temperature, extraction time, ratio of water to sample, extraction cycles were preliminarily determined. A BBD with four independent variables (\(X_1\), extraction temperature; \(X_2\), extraction time; \(X_3\), ratio of water to sample; \(X_4\), extraction cycles) at three levels was performed. The range of independent variables and their levels is shown in Table 6. The yield of CSPS was the dependent variable.

| Independent variables          | Factor level | 1   | 0   | 1   |
|--------------------------------|--------------|-----|-----|-----|
| \(X_1\) extraction temperature (°C) | 80           | 90  | 100 |     |
| \(X_2\) extraction time (min)    | 90           | 120 | 150 |     |
| \(X_3\) ratio of water to sample (mL/g) | 20           | 25  | 30  |     |
| \(X_4\) extraction cycles       | 1            | 2   | 3   |     |
3.4. In Vivo Life Prolonging Experiments

Experimental animal models: Kun ming mice were transplanted ascites tumor H22 0.2 mL (4 × 10^6 cells/mL) in the abdominal cavity.

Groups: The weighed mice after inoculation were randomly divided into six groups (n = 12), half male and half female. Control group: Mice were treated with normal saline [100 mg/(kg·d)]. Positive group: mice were treated with Astragalus polysaccharide (APS) at a dosage of 100 mg/(kg·d). CSPS groups: Mice of low, middle, high-dose groups were treated with CSPS at a dosage of 50, 100, 200 mg/(kg·d), respectively.

After 24 h of inoculation, the mice bearing tumor H22 were treated in gavage (i.g.) according to the above groups for 7 days. Then, the survival time of mice was recorded. The extension rate was calculated by the following formula:

\[
Prolongation \text{ of Life Rate (\%)} = \left( \frac{\text{Average survival time of sample group}}{\text{Average survival time of control group}} - 1 \right) \times 100
\]

3.5. Statistical Analysis

Design-Expert (Version 7.0) software was used to analyze the experimental data. Statistical comparison within groups was carried out by one way ANOVA. A \( p \)-value of less than 0.05 was considered to be significant statistically. All determinations were carried out in triplicate.

4. Conclusions

In this paper, the extraction conditions for CSPS were optimized by BBD, and a quadratic polynomial model was obtained from RMS. The confirmatory experimental optimum conditions of CSPS were as follows: extraction temperature 92 °C, extraction time 140 min, the ratio of water to sample 26 mL/g, and extraction cycles 3. The optimal yield of (13.01 ± 0.09) % which was obtained from confirmatory experiments closely matched the predicted yield of 12.94%. Additionally, CSPS could prolong the survival time of H22 bearing mice in a dose-dependent manner.

Simultaneously, our previous research has indicated that CSPS could inhibit the proliferation of human hepatoma HepG2 cells (IC_{50} = 471.53 μg/mL) and induce HepG2 cells to apoptosis \textit{in vitro}. Under the laser scanning confocal microscope, we observed that the cytoplasm was leaked from un-intact HepG2 cells and the cells stained with AO/EB were disrupted to pieces in mid-CPS and high-CPS group [25]. This data, combined with that of the present experiments, shows that CSPS has potential anti-tumor capacity. Further research on the chemical structure and anti-tumor mechanism of CSPS will be carried out in the future.

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Conflict of Interest

The authors declare no conflict of interest.

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Sample Availability: Samples of the compounds are available from the authors.

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