3D Human Pose Estimation in RGBD Images for Robotic Task Learning

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Abstract—We propose an approach to estimate 3D human pose in real world units from a single RGBD image and show that it exceeds performance of monocular 3D pose estimation approaches from color as well as pose estimation exclusively from depth. Our approach builds on robust human keypoint detectors for color images and incorporates depth for lifting into 3D. We combine the system with our learning from demonstration framework to instruct a service robot without the need of markers. Experiments in real world settings demonstrate that our approach enables a PR2 robot to imitate manipulation actions observed from a human teacher.

I. INTRODUCTION

Perception and understanding of the surrounding environment is vital for many robotics tasks. Tasks involving interaction with humans heavily rely on prediction of the human location and its articulation in space. These applications involve, e.g., gesture control, hand-over maneuvers, and learning from demonstration.

On the quest of bringing service robots to mass market and into common households, one of the major milestones is their instructability: consumers should be able to teach their personal robots their own custom tasks. Teaching should be intuitive and not require expert knowledge or programming skills. Ideally, the robot should learn from observing its human teacher demonstrating the task at hand. Hence it needs to be able to follow the human motion. Especially the hands play a key role as they are our main tool of interaction with the environment.

Estimation of human pose is challenging due to variation in appearance, strong articulation and heavy occlusions by themselves or objects. Recent approaches present robust pose estimators in 2D, but for robotic applications full 3D pose estimation in real world units is indispensable. In this paper, we bridge this gap by lifting 2D predictions into 3D while incorporating information from a depth map. This lifting via a depth map is non-trivial for multiple reasons, for instance, occlusion of the person by an object leads to misleading depths, see Fig. 6.

We present a learning based approach that predicts full 3D human pose and hand normals from RGBD input. It outperforms existing baseline methods and we show feasibility of teaching a robot tasks by demonstration.

The approach first predicts human pose in 2D given the color image. A deep network takes the 2D pose and the depth map as input and derives the full 3D pose from this information. Building on the predicted hand locations we additionally infer the hand palm normals from the cropped color image. Based on this pose estimation system, we demonstrate the feasibility of our action learning from human demonstration approach without the use of artificial markers on the person. We reproduce the demonstrated actions on our robot in real world experiments. An implementation of our approach and a summarizing video are available online.

II. RELATED WORK

The vast majority of publications in the field of human pose estimation deal with the problem of inferring keypoints in 2D given a color image [5], [21], which is linked to the availability of large scale datasets [2], [9]. Due to the large datasets, networks for keypoint localization in 2D have reached impressive performance, which we integrate into our approach.

Recent techniques learn a prior for human pose that allows prediction of the most likely 3D pose given a single color image [11], [20]. Predictions of most monocular approaches live in a scale and translation normalized frame, which makes them impracticable for many robotic applications. Approaches that can recover full 3D from RGB alone [13] use assumptions to resolve the depth ambiguity. Our approach does not need any assumptions to predict poses in world coordinates.

All approaches that provide predictions in real world units are based on active depth sensing equipment. Most prominent is the Microsoft Kinect v1 sensor. Shotton et al. [18] describes a discriminative method that is based on random forest classifiers and yields a body part segmentation. This work was followed by numerous approaches that propose using random tree walks [24], a viewpoint invariant representation [6] or local volumetric convolutional networks for local

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Fig. 1: Given a color image and depth map, our system detects keypoints in 3D and predicts the normal vectors of the hands if visible. Predictions of that system enable us to teach a robot tasks by demonstration.

https://lmb.informatik.uni-freiburg.de/projects/rgbd-pose3d/
predictions [14]. In contrast to the mentioned techniques, we incorporate depth and color in a joint approach. So far little research went into approaches that incorporate both modalities [3]. We propose a deep learning based approach to combine color and depth. Our approach leverages the discriminative power of keypoint detectors trained on large scale databases for color images and complements them with information from the depth map for lifting to real world 3D coordinates.

In the field of learning from demonstration, Calinon et al. [4] use markers to track human hand trajectories for action learning. Mühlig et al. [15] use an articulated model of the human body to track teacher actions. Although being able to imitate the human manipulation motions, grasp poses on the objects are either pre-programmed or assumed as given. Mao et al. [10] use a marker-less hand tracking method to teach manipulation tasks. Unlike our work, they assume that the human demonstrations are suitable for robot execution without further adjustment.

### III. APPROACH

In this work we aim to estimate 3D human poses and the hand normal vectors from RGBD input. This procedure is summarized in Fig. 2. Subsequently, we extract human motion trajectories from demonstrations and transfer them to the robot with regard to its kinematics and grasping capabilities.

#### A. Human Pose Estimation

We aim for estimating the human body keypoints \( \mathbf{w} = (\vec{w}_1, \ldots, \vec{w}_J) \) ∈ \( \mathbb{R}^{3 \times J} \) for \( J \) keypoints in real world coordinates relative to the Kinect sensor given color image \( \mathbf{I} \) ∈ \( \mathbb{R}^{N \times M \times 3} \), depth map \( \mathbf{D}' \) ∈ \( \mathbb{R}^{N' \times M'} \) and their calibration. Additionally we predict the hand normal vectors \( \mathbf{n} \) ∈ \( \mathbb{R}^{3 \times 2} \) for both hands of the person. Without loss of generality we define the coordinate system, our predictions live in, to be identical with the color sensors frame.

For the Kinect, the color and depth sensors are located in close proximity, but still the frames resemble two distinct cameras. Our approach needs to collocate information of the two frames. Therefore we transform the depth map into the color frame using the camera calibration. As a result, our approach operates on the warped depth map \( \mathbf{D} \) ∈ \( \mathbb{R}^{N \times M} \). Due to occlusions, differences in resolution and noise, the resulting depth map \( \mathbf{D} \) is sparse, but for better visualization a linear interpolation of \( \mathbf{D} \) is shown in Fig. 3.

1) **Color Keypoint Detector:** The keypoint detector is applied to the color image \( \mathbf{I} \), which yields score maps \( s_{2D} \) ∈ \( \mathbb{R}^{N \times M \times J} \) encoding the likelihood of a specific human keypoint being present. The maxima of the score maps \( s_{2D} \) correspond to the predicted keypoint locations \( \mathbf{p} = (\vec{p}_0, \ldots, \vec{p}_J) \) ∈ \( \mathbb{R}^{2 \times J} \) in the image plane. Thanks to many datasets with annotated color frames for human pose estimation [9], [2], robust detectors are available. We use the Open Pose Library [5], [19], [21] with fixed weights in this work.

2) **VoxelPoseNet:** Given the warped depth map \( \mathbf{D} \) a voxel occupancy grid \( \mathbf{V} \) ∈ \( \mathbb{R}^{K \times K \times K} \) is calculated with \( K = 64 \). For this purpose the depth map \( \mathbf{D} \) is transformed into a point cloud and we calculate a 3D coordinate \( \vec{w}_i \), which is the center of \( \mathbf{V} \). We calculate \( \vec{w}_i \) as back projection of the predicted 2D ‘neck’ keypoint \( \vec{p}_r \) using the median depth \( d_r \) extracted from the neighborhood of \( \vec{p}_r \) in \( \mathbf{D} \):

\[
\vec{w}_r = d_r \cdot \mathbf{K}^{-1} \cdot \vec{p}_r.
\]

Where \( \mathbf{K} \) denotes the intrinsic calibration matrix camera and \( \vec{p}_r \) is in homogeneous coordinates. We pick the value \( d_r \) from the depth map taking into account the closest 3 neighboring valid depth values around \( \vec{p}_r \). We calculate \( \mathbf{V} \) by setting elements to 1, when there is at least one point of the point cloud lying in the interval represented and zero otherwise.

We chose the resolution of the voxel grid to be approximately 3 cm.
VoxelPoseNet gets \( V \) and a volume of tiled score maps \( s_{3D} \) as input and processes them with a series of 3D convolutions. We propose to tile \( s_{3D} \) along the z-axis, which is equivalent to an orthographic projection approximation. VoxelPoseNet estimates score volumes \( s_{3D} \in \mathbb{R}^{K \times K \times K \times J} \), which resemble keypoint likelihoods the same way as its 2D counterpart.

\[
w_{\text{VPN}} = \arg \max_{x,y,z} (s_{3D}).
\]

We use the following heuristic to assemble our final prediction: On the one hand \( w_{\text{VPN}} \) is predicted by VoxelPoseNet. On the other hand we take the z-component of \( w_{\text{VPN}} \) and the predicted 2D keypoints \( p_{2D} \) to calculate another set of world coordinates \( w_{\text{projected}} \). For these coordinates the accuracy in x- and y-direction is not limited by the choice of \( K \) anymore. We chose our final prediction \( w \) from \( w_{\text{projected}} \) and \( w_{\text{VPN}} \) based on the 2D networks prediction confidence, which is the score of \( s_{2D} \) at \( p \).

Fig. 2 shows the network architecture used for VoxelPoseNet, which is a encoder decoder architecture inspired by the U-net [16] that uses dense blocks [7] in the encoder. While decoding to the full resolution score map, we incorporate multiple intermediate losses denoted by \( s_{3D} \), which are discussed in section section III-C.

B. Hand Normal Estimation

The approach presented in section section III-A yields locations for the human hands, which are used to crop the input image centered around the predicted hand keypoint. For HandNormalNet we adopt our previous work on hand pose estimation [25]. We exploit that the network from [25] estimates the relative transformation between the depicted hand pose and a canonical frame, which gives us the normal vector. We use that network without further retraining.

C. Network training

We train VoxelPoseNet using a sum of squared \( L_2 \) losses:

\[
L = \sum_i \|s^i_{3D} - s^i_{3D, pred}\|^2_2
\]

with a batch size of 2. Datasets used for training are discussed in section IV. The networks are implemented in Tensorflow [1] and we use the ADAM solver [8]. We train for 40000 iterations with an initial learning rate of \( 10^{-4} \), which drops by the factor 0.1 every 10000 iterations. Ground truth score volumes \( s^i_{3D} \) are calculated from the ground truth keypoint location within the voxel \( V \). A Gaussian function is placed at the ground truth location and normalized such that its maximum is equal to 1.

D. Action learning

With the ability to record the human motion trajectories, action learning requires them to be transferred to the robot. Due to its deviating kinematics and grasping capabilities the robot cannot directly reproduce the human motions. For the necessary adaption and the action model generation we use the learning-from-demonstration approach presented in our previous work [22], [23]. Here, the robot motion is designed to follow the teacher’s demonstrations as closely as possible, while deviating as much as necessary to fulfill constraints posed by its geometry. We pose it as a graph optimization problem, in which trajectories of the manipulated object and the teacher’s hand and torso serve as input. We account for the robot’s grasping skills and kinematics as well as occlusions in the observations and collisions with the environment. We assume that the grasp on the object is fixed during manipulation and all trajectories are smooth in the sense that consecutive poses should be near each other. These constraints are addressed via the graphs edges. During optimization the teacher’s demonstrations are adapted towards trajectories that are feasible for robot execution. For details on the graph structure and the implementation we refer to Welschehold et al. [22], [23].

IV. DATASETS

Currently there are no datasets for the Kinect v2 that provide high-quality skeleton annotation of the person. Due to its long presence, most publicly available sets are recorded with the Kinect v1. These datasets are not suited for our scenario, because of major technical differences between the two models. More recently published datasets, such as Shahroudy et al. [17], transitioned to the new model but used the Kinect SDK’s prediction as pseudo ground truth. Using those datasets is prohibitive for exceeding the Kinect SDK’s performance.

A. Multi View Kinect Dataset (MKV)

Therefore, for training of our neural network we recorded a new dataset, which comprises 5 actors, 3 locations, and up to 4 viewpoints. There are 2 female and 3 male actors and the locations resemble different indoor setups. Some examples are depicted in Fig. 3. The poses include various upright and sitting poses as well as walking sequences. Short sequences were recorded simultaneously by multiple calibrated Kinect v2 devices with a frame rate of 10 Hz, while recording the skeletal predictions of the Kinect SDK. In a post processing step we applied state-of-the-art Human Keypoint Detectors [5], [19], [21] and used standard triangulation techniques to lift the 2D predictions into 3D. This results in a dataset with 22406 samples. Each sample comprises of color image, depth map, infrared image, the SDK prediction and a ground truth skeleton annotation we get through triangulation. The skeleton annotations comprises of 18 keypoints that follow the Coco definitions [9]. We apply data augmentation techniques and split the set into an evaluation set of 3546 samples (MKV-e) and a training set with 18860 (MKV-t). We divide
the two sets by actors and assign both female actors into the evaluation set, which also leaves one location unique to this set. Additionally this dataset contains annotated hand normals for a small subset of the samples. The annotations stem from detected and lifted hand keypoints, which were used to calculate the hand normal ground truth. Because detection accuracy was much lower and bad samples were discarded afterwards this dataset is much smaller and provides a total of 129 annotated samples.

B. Capture Dataset

Due to the limited number of cameras in the MKV setup and the necessity to avoid occluding too many cameras views at the same time, we are limited in the amount of possible object interaction of the actors. Therefore we present a second dataset that was recorded using a commercial marker-less motion capture system called Captury. It uses 12 cameras to track the actor with 120 Hz and we calibrated a Kinect v2 device with respect to the Captury. The skeleton tracking provides 23 keypoints, from which we use 13 for comparison. We recorded three actors, which performed simple actions like pointing, walking, sitting and interacting with objects like a ball, chair or umbrella. One actor of this setting was already recorded for the MKV dataset and therefore constitutes the set used for training. Two previously unseen actors were recorded and form the evaluation set. There are 1535 samples for training (CAP-t) and 1505 samples for evaluation (CAP-e). The definition of human keypoints between the two datasets is compatible, except for the "head" keypoint, which misses a suitable counterpart in the MKV dataset. This keypoint is excluded from evaluation to avoid systematic error in the comparison.

V. EXPERIMENTS - POSE ESTIMATION

A. Datasets for training

Table I shows that the proposed PoseNet3D already reaches good results on the evaluation split of both datasets when trained only on MKV-t. Training a network only on CAP-t leads to inferior performance, which is due to starkly limited variation in the training split of the Captury dataset, which only contains a single actor and scene. Training jointly on both sets performs roughly on par with training exclusively on MKV-t. Therefore we use MKV-t as default training set for our networks and evaluate on CAP-e for following experiments. Furthermore, we confirm generalization of our MKV-t trained approach on the InOutDoor Dataset [12]. Because the dataset does not contain pose annotations we present qualitative results in the supplemental video.

B. Comparison to literature

In Table II we compare our approach with common baseline methods. The first baseline is the Skeleton Tracker integrated in Microsofts Software Development Kit (Kinect SDK). We show that its performance heavily drops on the more challenging subset and therefore argue that it is unsuitable for many robotics applications. Furthermore, Fig. 5 shows that the Kinect SDK is unable to predict keypoints farther away than a certain distance. The qualitative examples in Fig. 6 reveal that the SDK is led astray by objects and is unable to distinguish if a person is facing towards or away from the camera, which expresses itself in mixing up left and right side.

The baseline named Naive Lifting uses the same Keypoint detector for color images as our proposed approach and simply picks the corresponding depth value from the depth map. It chooses the depth value as median value of the 3 closest neighbors. The approach shows reasonable performance, but is prone to pick bad depth values from the noisy depth map. Also any kind of occlusion results into an error, which is seen in Fig. 6.

Tome et al. [20] predicts scale and translation normalized poses. In order to compare the results to the other approaches we provide the algorithm with ground truth scale and translation. For every prediction we seek scale and translation in order to minimize the reconstruction error between ground truth and prediction. Table II shows that the approach reaches competitive results, but performs worst in our comparison, which is reasonable given the lack of depth information. In Fig. 3 the approach stays far behind, which partly lies in the fact that the approach misses to provide predictions in 8.7% of the frames of CAP-e, which compares to 12.4% for Kinect SDK and 0% for Naive Lifting and our approach.

VoxelPoseNet outperforms its baseline methods, because it exploits both modalities. On the one hand, color information helps to disambiguate left and right side, which is infeasible from depth alone. On the other hand, the depth map provides valuable information to exactly infer the 3D keypoint. Furthermore, the network learns a prior about possible body part configurations, which makes it possible to infer 3D locations even for completely occluded keypoints (see Fig. 6).

| Training set | CAP-e full | CAP-e subset | MKV-t |
|--------------|------------|--------------|-------|
| MKV-t        | 0.627      | 0.618        | 0.793 |
| CAP-t        | 0.603      | 0.588        | 0.665 |
| CAP-t & MKV-t| 0.633      | 0.625        | 0.794 |

TABLE I: Performance measured as area under the curve (AUC) for different training sets of VoxelPoseNet. CAP-t does not generalize to MKV-e, whereas MKV-t provides sufficient variation to generalize to CAP-e. Training jointly on CAP-t and MKV-t doesn’t improve results much anymore.

|                | Captury full | Captury subset | Multi Kinect |
|----------------|--------------|----------------|-------------|
| Kinect SDK     | 13.5         | 16.4           | 8.9         |
| Naive Lifting  | 14.7         | 15.2           | 8.8         |
| Tome et al. [20]| 22.7         | 21.9           | 15.1        |
| Proposed       | 11.2         | 11.6           | 6.1         |

TABLE II: Average mean end point error per keypoint of the predicted 3D pose for different approaches in cm. For the Captury dataset we additionally report results on the subset of non-frontal scenes and with object interaction.

2http://www.thecapture.com
3https://www.microsoft.com/en-us/download/details.aspx?id=44561
subset of non-frontal poses and object interaction.

First a specific part of the object is grasped, e.g., a handle or a knob, then the object is manipulated according to its geometry, and lastly released. The demonstrations were recorded with a Kinect v2 at 10 Hz. As we need to track both, the manipulated object and the human teacher, the actions were recorded from a perspective that show the human from the side or back making pose estimation challenging. For an example of the setup see Fig. 8.

A. Adapting Human Demonstrations to Robot Requirements

First we evaluate adaption of the recorded demonstrations towards the robot capabilities. Specifically we compare the optimization for all aforementioned tasks for two different teacher pose estimation methods. The first relies on detecting markers attached to the teachers hand and torso and was conducted for our previous work [23]. The second follows the approach presented in this work. In Table III we summarize the numerical evaluation for both recording methods. The table shows that the offset between a valid robot grasp and the demonstrated grasp pose is higher for the 3D human pose estimation than for the estimation with markers for all tasks. The highest difference occurs for the room door task, because the hand is occluded in many frames resulting in fewer predictions. Nevertheless our graph-based optimization is still able to shift the human hand trajectory to reproduce the intended grasp, see Fig. 7. This is reflected in higher distances, both Euclidean and angular, between gripper and recorded hand poses after the optimization. Next we compare the standard deviation on the transformations between the object and the gripper, respectively the object and hand in the manipulation segment. These transformations correspond to the robot and human grasps. We see that for both the translational and the rotational part we have comparable values for the two pose estimation methods. This indicates that, although not being as accurate as using markers, we still have a high robustness in the pose estimation, meaning that the error is systematic and the relative measurements are consistent with little deviation. After the optimization we obtain low standard deviations for both the human and the robot grasp, which corresponds, as desired, to a fixed grasp during manipulation. On the one hand the results show that our graph optimization approach is able and stringently necessary to adapt noisy human teacher demonstrations to robot friendly trajectories. On the other hand they also demonstrate that our approach for pose estimation without markers is sufficiently accurate for action learning.

B. Action Imitation by the Robot

In a follow-up experiment we used the adapted demonstrations from our pose estimation approach shown in Table III to learn action models that our PR2 robot can use to imitate the demonstrated actions in real world settings. These time-driven models are learned as in our previous work [23] using mixtures of Gaussians [4]. We learn combined action models for robot gripper and base in Cartesian space. The models are used to generate trajectories for the robot in the frame of the manipulated object. With the learned models we reproduced each action five times. For opening the swivel door we had one failure due to localization problems during the grasping. For the drawer and the room door all trials of grasping and manipulating were successful. The sliding door was always grasped successfully but due to the small door knob and the
Fig. 6: Typical failure cases of the algorithms evaluated for samples from CAP-e. The first row shows the scene and the other two rows depict the ground truth skeleton in dashed blue and the prediction in solid green and red. Green color indicates the persons right side. Predictions of our proposed approach are shown in the last row, whereas the middle row shows predictions by other algorithms. The first two columns correspond to predictions of the Kinect SDK, the next two are by the Naive Lifting approach and the last two by the approach presented by Tome et al. [20]. Typical failures for the SDK are caused by objects and or people that face away from the camera. Naive Lifting fails when any sort of keypoint occlusion is present.
tension resulting from the combined gripper and base motion, the knob was accidentally released during the manipulation process. We ran five successful trials of opening the sliding door by keeping the robot base steady. A visualization of the teaching process and the robot reproducing the action demonstration can be seen in Fig. 8.

VII. CONCLUSIONS

We propose a CNN based system that jointly uses color and depth information in order to predict 3D human pose in real world units. This allows us to exceed the performance of existing methods. Our work introduces two RGBD datasets, which can be used for future approaches. We show, how our approach for 3D human pose estimation is applied in a task learning application that allows non-expert users to teach tasks to service robots. This is demonstrated in real-world experiments that enable our PR2 robot to reproduce human-demonstrated tasks without any markers on the human teacher.

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