Aptamer-Conjugated Gold Nanoparticles Targeting Epidermal Growth Factor Receptor Variant III for the Treatment of Glioblastoma

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Purpose: In this study, we constructed novel brain-targeting complexes (U2-AuNP) by conjugating aptamer U2 to the gold nanoparticle (AuNPs) surface as a promising option for GBM therapy.

Materials and Methods: The properties of the U2-AuNP complexes were thoroughly characterized. Then, we detected the in vitro effects of U2-AuNP in U87-EGFRvIII cell lines and the in vivo antitumor effects of U2-AuNP in GBM-bearing mice. Furthermore, we explored the inhibition mechanism of U2-AuNP in U87-EGFRvIII cell lines.

Results: We found that U2-AuNP inhibits the proliferation and invasion of U87-EGFRvIII cell lines and prolongs the survival time of GBM-bearing mice. We found that U2-AuNP can inhibit the EGFR-related pathway and prevent DNA damage repair in GBM cells.

Conclusion: These results reveal the promising potential of U2-AuNP as a drug candidate for targeted therapy in GBM.

Keywords: SELEX, EGFRvIII, GBM, therapy

Introduction

Glioblastoma (GBM), also known as high-grade glioma, is an aggressive and lethal primary brain cancer with clinical survival rarely longer than 14 months when treated with the standard therapy of tumor resection followed by temozolomide as well as radiotherapy.1,2 GBM is characterized by excessive proliferation, invasiveness, and resistance to radiation therapy or chemotherapy. Alteration in core signaling pathways in GBM genetic profiling, such as RTK/RAS/PI3K/PTEN, P53/ARF/MDM2, and RB/DKN2A pathways, drives the existence of GBM heterogeneity, which causes the recurrence of GBM.3–5 Among these pathways, epidermal growth factor receptor (EGFR) is one of the most critical nodes; EGFR variant III (EGFRvIII, EGFR type III, or ΔEGFR) is the most commonly overexpressed EGFR family member in GBM, which causes tumor proliferation.6,6 Previous studies have demonstrated that the expression of EGFRvIII is closely correlated with the poor prognosis and resistance of therapy. Many studies and our previous work have shown that EGFRvIII is selectively expressed in tumor cells but not in normal cells, which indicates that EGFRvIII is a suitable molecular target for GBM therapy.7–9

Aptamers, single-stranded oligonucleotides, can be selected from a large random single-stranded DNA (ssDNA) or RNA library by systematic evolution of ligands by exponential enrichment (SELEX). Aptamers are known as chemical antibodies, which
are characterized by low molecular weight, immunogenicity, and toxicity and high affinity and specificity.\textsuperscript{10,11} To effectively target EGFRvIII, we acquired a DNA aptamer named U2 in our previous work, which confirmed that the aptamer U2 can inhibit proliferation, migration and invasion and downregulate EGFR-related signaling pathways in GBM.\textsuperscript{9,12}

The blood-brain barrier (BBB), a cell-based membrane, is covered by a sheath of vascular endothelial cells and perivascular astrocyte end-feet connected through tight junctions.\textsuperscript{13,14} According to previous research on pharmacokinetics, the BBB limits most chemotherapeutic and biological agents in peripheral blood from passing through and penetrating tumors in the brain. The existence of BBB hinders free access to most molecules, which leads to a high attrition rate of therapeutic drugs in the central nervous system (CNS). However, BBB pathology appears to have altered permeability when tumors occur.\textsuperscript{15,16} Taking advantage of the change in the BBB enables the design of a system with effective delivery into the cerebrum for targeted therapy of GBM.

Gold nanoparticles (AuNPs) are one of the most common noble metal inorganic nanoparticles and are characterized by controllable particle diameters, large surface/volume ratios, ease of modification, and great potential as new generation nanomedicine materials for integrated diagnosis and therapy of disease.\textsuperscript{17,18} It has been reported that appropriately sized gold nanoparticles can cross the BBB, providing a new strategy for intracranial targeted drug delivery therapy. It is unfortunate that gold nanoparticles lack targeting ability and that aptamers show weak transmembrane penetration.\textsuperscript{19} To promote more efficient crossing of aptamer U2 across the BBB to reach GBM in the cerebrum, we conjugated U2 with AuNPs through a gold-sulfur covalent bond. In the current study, we investigated whether U2-AuNP complexes might be a new type of drug candidate for GBM therapy by detecting proliferation and invasion in vitro and the antitumor effects in vivo in the GBM model. Furthermore, we preliminarily explored the activation of relevant signaling pathways to obtain another in-depth insight into U2-AuNP complexes in GBM. Our findings show the desired results in GBM treatments in vivo and in vitro, suggesting that U2-AuNP complexes are a new type of drug candidate for GBM therapy.

**Materials and Methods**

**Materials**

Common reagents were purchased from Sigma-Aldrich or ThermoFisher Scientific. NAP-5 columns were obtained from GE Healthcare (Illustra, Buckinghamshire, UK). Gold nanoparticles are purchased from BBI Group (lot: EM. GC15-100ML) (Crumlin, United Kingdom). Aaptamers U2 were selected in the GN library containing a central random region of 40-nt via the Cell-SELEX process.\textsuperscript{20} Aaptamer Scr1, a random nonsense DNA oligonucleotide, was the negative control. The sequence of U2 is: ATCCAGAGTGAACGAGCATTTGTACGT TTATCCTTTTCTTATGGCGGGA TAGTTTCTGGACACCGTGGCTTAGT; the sequence of the aptamer Scr1 is: GCCAGCAACGGGCTTAGTTTC GTTATTATACCTTGCGTAC GGAACGCGTTT GGGCGTATTATTTGCAAG.

**Synthesis of the Aptamer-AuNP Complex**

First, a 5’ thiol-modified aptamer U2 (ThermoFisher Scientific) was dissolved in PB buffer and deprotected with TCEP in the dark. After 60 min, the solution was transferred to a NAP-5 column to separate the aptamer and TCEP, thereby the aptamer U2 was purified. A large quantity of gold nanoparticles (AuNP), 4.38 x 10\textsuperscript{-9} M, was added to 1.60 x 10\textsuperscript{-6} M deprotected aptamer and reacted for 20 min at room temperature. Then, 0.1 M PB buffer containing 1% SDS was added to allow continuous reaction. NaCl (2 M) was added into the sample at the appropriate volume step by step until the final concentration of NaCl was adjusted from 0.1 M to 0.7 M in seven steps, with one step every 20 min. When the sample was reacted overnight at room temperature, the mixture was centrifuged for 30 min at 14,500 g three times to remove the free aptamer or AuNP. After the washing steps, the resulting apt-AuNPs were resuspended in 0.01 M PB containing 0.1 M NaCl.

**Characterization of apt-AuNP Conjugates**

The particle size was detected using Malvern dynamic light scattering. Ultraviolet (UV)-visible spectroscopy was recorded on a UV-visible NanoDrop spectrometer (ThermoFisher Scientific). Transmission electron microscopy (TEM) and an 0.8% agarose gel were used to qualitatively detect whether aptamer U2 was conjugated onto the surface of AuNPs.

**Cell Proliferation Assay**

U87-EGFRvIII cell line (kindly provided by Dr. Webster Cavenee, Ludwig Cancer Institute, San Diego, CA) was grown in DMEM supplement with 10% FBS. The use of the cell line had ethical and institutional review board approval. Cell proliferation was analyzed using the Cell Light EdU DNA Imaging Kit (Guangzhou RiboBio, China). U87-EGFRvIII cells were seeded at 10\textsuperscript{4} per well
with a 96-well plate and incubated with five replicates for each condition. After overnight incubation at 37°C, the cells treated with U2-AuNP or AuNPs were incubated for another 24 hr. Then, 5-ethylidene-2′-deoxyuridine (EdU) (50 μM) was added to the 96-well plate, and the cells were cultured for an additional 2 hr. Then, the cells were fixed with 4% paraformaldehyde, washed with glycine (2 mg/mL), and permeabilized with 0.5% Triton X-100 for 10 min. Next, the cells were washed with PBS buffer three times and stained with fresh Apollo stain in the dark for 30 min. Finally, the cells were incubated with Hoechst 33342 stain in the dark for 20 min at 37°C to visualize the nucleus using a laser scanning confocal microscope. The proliferation rate of cells was calculated as EdU-positive cells/Hoechst-stained cells x 100%.

**Cell Invasion Assay**

U87-EGFrvIII cells were planted in 24-well Transwell plates (Corning Incorporated, Corning, NY) precoated with Matrigel (BD Biosciences, NJ) overnight. Cells were treated with U2-AuNP or AuNPs for another 24 hr and then washed with PBS buffer three times and stained with crystal violet for 2 hr. Images were taken and analyzed using an inverted fluorescence microscope and ImageJ software (NIH).

**Western Blot Analysis**

The Western blot analysis was performed as previously described. U87-EGFrvIII cells were cultured in DMEM supplement with 10% FBS at 37°C in a 5% CO2/95% air atmosphere. The cells were planted in a 6-well plate and treated with DMEM, AuNP or U2-AuNP, respectively. After 24h, cells were lysed in RIPA buffer supplemented with protease inhibitors. After protein quantification with a BCA protein assay kit (Thermo Scientific), 20μg protein was detected by 8% SDS-PAGE gels and blotted onto polyvinylidene fluoride (PVDF) membranes (Millipore, Bedford, MA). The membranes were incubated with the following specific antibodies: anti-pEGFR (tyr1038), anti-EGFR (Santa Cruz Biotechnology, Santa Cruz, CA), anti-pH2AX (S139), anti-pChk2 (T68), anti-Chk2 (Cell Signaling Technology, Danvers, MA), anti-pATM (Ser1981), anti-ATM (Cell Signaling Technology, Danvers, MA), anti-53BP1 (Cell Signaling Technology, Danvers, MA) and anti-β-actin (Boster Biological Technology, China). A secondary HRP-conjugated antibody was used for immunodetection, and an ECL Western blotting kit (Bio-Rad) was used to visualize the protein bands. Finally, the results were analyzed with ImageJ software.

**Stereotactic Intracranial Implantation of Tumor Cells**

All animal experiments were conducted under the approval of the Animal Care and Use Committee of Southern Medical University. In addition, the animal experiments were performed in accordance with the “Administrative Measures for Experimental Animals of Southern Medical University” and “Guidelines for Ethical Review of Animal Experiments of Southern Medical University”. Male BALB/C nude mice were 4–6 weeks old and kept in a specific pathogen-free environment. To establish a U87-EGFrvIII animal model, 5×10⁵ U87-EGFrvIII cells in 25 μL were resuspended after transduction with an eGFP lentivirus for 48 hr. The cells were injected into BALB/c nude mice, and tumors were grown for 10 days and then randomized into two groups: blank group (n=6) and U2-AuNP group(n=6). Mice were anesthetized with pentobarbital sodium (10 mL/kg) and placed face down on a stereotactic device. A burr hole was made (~2.0 mm, 1.25 mm, 3.0 mm) in the striatum area using a dental microdrill, with the anterior fontanelle as the origin. Then, 5 μL cell suspension containing 1×10⁵ U87-EGFrvIII cells was injected over 10 min. The needle was then retracted slowly after a period of 10 min total time left in the brain. The burr hole was closed with bone wax, the scalp was sutured, and the animals were moved to a heated environment for postoperative recovery.

**Therapeutic Effect of U2-AuNP on GBM-Bearing Mice**

Ten days after the injection of tumor cells, tumors in the striatum area were confirmed by the IVIS system (Bruker FX Pro imaging system). NaCl or APC-CY7-labeled U2-AuNP was injected through the tail vein in a volume of 0.1 mL. Mice were sacrificed after living imaging, and the brains of mice were harvested to create frozen sections and photographed by using a laser scanning confocal microscope. Images were processed and analyzed with Adobe Photoshop and Illustrator.

**Statistical Analysis**

All data are expressed as mean±SD. One-way ANOVA or Student’s t-test was used for multiple-group or two-group analysis, respectively. A value of p<0.05 was considered statistically significant. All experiments were performed at least 3 times.
Results
Characteristics of the Aptamer-Gold Nanoparticle Compounds
U2 is a DNA aptamer obtained by Cell-SELEX technology using U87MG, U87EGFR and U87-EGFRvIII cells; it has been verified that U2 inhibits the proliferation and invasion of U87-EGFRvIII cell lines by inhibiting the EGFR-related signaling pathway. To improve the concentration of aptamer U2 in the brain tumor environment, we modified the aptamer with a thiol group that conjugates to the AuNPs surface through an Au-S bond; the schematic representation of the process of aptamer U2-AuNP conjugation is shown in Figure 1A. It is well known that the gold nanoparticle solution exhibits a characteristic absorption peak at 520 nm; the absorption peak of AuNPs is shifted to 522 nm when aptamers are conjugated to AuNPs (Figure 1B). Further experiments showed that aptamers connect evenly around the gold nanoparticle, while the zeta potential of U2-AuNP (−12.3mV) showed little change compared to that of naked AuNPs (−11.4mV) (Figure 1C). Besides, the size of U2-AuNP was closed to 60.23nm while AuNP was closed to 19.44nm (data not shown), consistent with the TEM results. As shown in Figure 1D, naked AuNPs are denatured and deposited at the sample site, but U2-AuNP, aptamer-AuNP or aptamers U2 were driven as well as separated in the agarose gel electrophoresis.

Effects of U2-AuNP on U87-EGFRvIII Cell Lines in vitro
GBM is characterized by excessive proliferation and strong invasion. To investigate the inhibitory effects of U2-AuNP on GBM cell lines, we performed proliferation and invasion experiments. First, we tested whether the U2-AuNP complex could inhibit the proliferation of U87-EGFRvIII cell lines by using the Cell Light EdU DNA Imaging Kit. EdU (5-ethyl-1H-[2- deoxyuridine) was effectively detected with a fluorochrome-conjugated azide when EdU was incorporated into cellular DNA during tumor cell proliferation. The proliferation ability of GBM cells was assessed by counting EdU fluorescent positive cells after standardization. Hence, the benchmark was the EdU-positive rate of U87-EGFRvIII cell

Figure 1 Characterization of U2-AuNP. (A) Schematic view of the aptamer conjugation with gold nanoparticle. (B) Absorption spectra and absorption peak of aptamer-AuNP. (C) DLS and TEM images of U2-AuNP complex. (D) Agarose gel electrophoresis of U2-AuNP complex.
lines treated with DMEM. As shown in Figure 2A, EdU-positive cells obviously decreased after treatment with U2-AuNP. Compared to the number of cells treated with AuNPs (100 nM or 150 nM), the percentage of U87-EGFRvIII cells treated with U2-AuNP was reduced from 30% to 15% (Figure 2B). In addition, we observed that the proliferation rate decreased with increasing concentrations of U2-AuNP.

Next, we assessed the effect of U2-AuNP on the invasion capacity of GBM cells by using a Transwell chamber coated with a Matrigel membrane. Using this assay, we found that the invasion rate of U87-EGFRvIII cells after U2-AuNP treatment for 24 hr was significantly reduced compared with the invasion rate of cells after DMEM or AuNP treatment (Figure 2C and D). These results indicated that U2-AuNP inhibits the proliferation and invasion capacity of U87-EGFRvIII cells.

**Inhibition Mechanism of U2-AuNP to U87-EGFRvIII Cells**

We analyzed the mechanism of U2-AuNP inhibition on the proliferation and invasion of U87-EGFRvIII cells. In our previous work, we found that aptamer U2 inhibits the proliferation of U87-EGFRvIII cells by inhibiting the autophosphorylation activity of EGFRvIII and its downstream signaling pathway. After U2-AuNP treatment, we collected the cell lysates and immunoblotted them with the respective antibodies. Western blotting results showed that the phosphorylation level of EGFRvIII decreased significantly after U2-AuNP treatment, while total EGFRvIII showed no obvious change, which explained why U2-AuNP inhibits the proliferation and invasion of U87-EGFRvIII cells (Figure 3A). Based on previous reports, gold nanomaterials affect some signaling pathways in DNA damage repair. Herein, we detected the expression of 53BP1 and the phosphorylation of ATM (ataxia telangiectasia mutated), which are critical during the response to DNA damage. As indicated in Figure 3B, D, and E, the phosphorylation level of ATM, the expression of the key protein 53BP1 (binding protein 1), and the phosphorylation level of downstream Chk2, decreased significantly after 24 hr treatment of U2-AuNP compared to the levels in the other two control groups. However, the phosphorylation level of H2AX showed no change after treatment with U2-AuNP 24 hr (Figure 3C).

**U2-AuNP Prolonged the Survival Time of GBM-Bearing Mice**

To identify the brain-targeting effect of U2-AuNP, an intracranial GBM mouse model was prepared by injecting U87-EGFRvIII cells expressing eGFP by intrastriatal injection by using a stereotaxic method. After 10 days of cell implantation, APC-CY7-labeled U2-AuNP were intravenously injected into the mice via the tail vein. Twenty-four hours later, the mice were sacrificed, and the brains of the mice were harvested for frozen sectioning and photographed by a laser scanning confocal microscope. As shown in Figure 4A, red fluorescence was detected in GBM-brain slices after injection of APC-CY7-labeled U2-AuNP, while no fluorescence signal was found in the APC-CY7 group (Figure 4B). This result suggested that U2-AuNP could efficiently cross the BBB and enter the tumor region.

Furthermore, we wanted to determine whether U2-AuNP therapy might inhibit the progression of GBM in tumor-bearing mice. After 10 days of tumor cell implantation, U2-AuNP or NaCl in the same volume were injected through the tail vein into the tumor-bearing mice once every 3 days. The results also showed that the mice treated with U2-AuNP had a prolonged survival time compared with that of those treated with NaCl (Figure 4C). In addition, the mean survival time of mice treated with U2-AuNP was 30 days, which was longer than that of NaCl-treated mice (24 days) (Figure 4D).

**Discussion**

GBM represents one of the most frequent and aggressive brain tumors and is associated with a relatively higher proportion of cancer-related deaths. It has been reported that EGFR is one of the most frequent effectors of adult GBM, and GBM is known to have a deletion in the EGFR extracellular domain to form EGFRvIII or amplification and coexpression of the wild-type EGFR allele, which indicates EGFRvIII is an appropriate target for GBM therapy. In recent years, EGFRvIII-directed CAR T cells and anti-EGFRvIII vaccine rindopepimut have shown unsatisfactory therapeutic effects in trials. The reasons why GBM-targeted therapies only have moderate effects are mainly related to limits of brain penetration, redundant activating pathways and intratumoral heterogeneity. It recently became clear that gold nanoparticles, as one of the most widely used high capacity nanomaterials, show a radiosensitization capacity because AuNPs can absorb considerably more photons to increase the local dose of radiation in cells and then damage DNA directly or indirectly inducing cell apoptosis. Moreover, AuNPs between 10 and 20 nm can cross the blood-brain barrier after covalently binding with miRNA. Therefore, we designed the U2-AuNP complex to help the
Figure 2 U2-AuNP affects U87-EGFRvIII cell proliferation and invasion. (A) U2-AuNP (U2-A) reduces the rate of EdU-positive cells. (B) Data of EdU assay are presented as the percentage of EdU-positive cells in the presence of U2-AuNP(A-U2) compared with AuNPs and control group. (C) Data of invasion assay are presented as the percentage of invaded cells in the presence of U2-AuNP compared with AuNPs and control group. (D) Invasion of U87-EGFRvIII cells through matrigel was evaluated in the presence of U2-AuNP or AuNPs for the indicated times. ***P < 0.001; **P < 0.01.
EGFRvIII-targeted aptamer U2 cross the blood-brain barrier and reach the tumor to inhibit GBM.

In our study, we investigated the characteristics of U2-AuNP and the effects of U2-AuNP on U87-EGFRvIII cells at the cellular level and in an animal model. By using agarose gel electrophoresis and transmission electron microscopy, we found that aptamer U2 modification with a thiol group can create stably binding to AuNPs through the Au-S bond. Next, we focused on the effects of the U2-AuNP complex in U87-EGFRvIII cells. According to previous reports, inhibiting the EGFR-related pathway can suppress the proliferation and invasion of tumors. Consistent with previous studies, we observed that the proliferation and invasion that cause GBM aggressive growth and difficult complete resection of the cancer were reduced significantly after 24 hr treatment with U2-AuNP. Gold nanoparticles with aptamer have been widely applied in biosensors and imaging but not in brain-targeting delivery carriers. However, no study has been performed to evaluate the effects of aptamers conjugated with gold nanoparticles to treat glioma. In this report, we tentatively propose that the U2-AuNP complex could effectively cross the blood-brain barrier and accumulate around GBM in vivo. In addition to the ability to cross the blood-brain barrier, we identified that U2-AuNP treatment by intravenous injections can prolong the survival time of GBM-bearing mice.

It is widely accepted that the inhibition of EGFR suppresses tumor cell proliferation and invasion in GBM. Consistent with these findings, our manuscript also demonstrates that 24 hr treatment with U2-AuNP could significantly reduce the phosphorylation level of EGFRvIII. To better understand the mechanism of U2-AuNP in GBM, we detected DNA damage and repair-related pathways. Interestingly, treatment with U2-AuNP can decrease the expression level of 53BP1 (binding protein 1) and the phosphorylation level of ATM and Chk2, which are critical in the response to DNA damage. In recent years, exposure to gold nanoparticles has been reported to cause transient DNA damage due to the generation of alkali-labile sites and oxidative stress with a decrease in glutathione. However, the phosphorylation level of H2A.X showed no change after treatment with U2-AuNP 24 hr. We considered that this phenomenon might be caused by a long U2-AuNP treatment time since DNA double-strand breaks (DSBs) happen within several hours.

**Figure 3** U2-AuNP inhibits the activation of EGFRvIII and DNA injury repair pathway. (A) Western Blot analysis of the phosphorylation level of EGFRvIII. Setting the values of the relative ratio of untreated cells to 100%, the values below the blot indicate the ratio of pEGFR to total EGFR signal levels after normalization with the β-actin signal level. (B–E) Immunoblotted for phosphorylated and total markers related to DNA damage repair, as indicated. **P < 0.01; ***P < 0.001; NS: no significance.
Figure 4 U2-AuNP affects the survival of animal model. (A) Z stack of GBM tumor after injection with Cy7-labeled U2-AuNP for 24 h. (B) Z stack of GBM tumor after injection with Cy7 solution for 24 h. Red: Cy7 labeled; Green: U87-EGFRvIII cells. (C) Survival curve and (D) mean survival time of GBM-bearing mice treated with the U2-AuNP or NaCl. *P < 0.05.
When DNA damage occurs within 6 hr, a change in the phosphorylation level of H2AX can be detected.9,43

Conclusion
U2-AuNP shows outstanding inhibition of GBM in vitro and in vivo. Based on our previous research, we found that the U2-AuNP complex exhibits potential value as a multifunctional therapeutic strategy for inhibiting the EGFR-related pathway and preventing DNA damage repair to GBM. Further studies will focus on further research on the molecular mechanism involving AuNP-based aptamers and DNA damage repair pathways.

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Disclosure
The authors report no conflicts of interest in this work.

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