A Semantics of Realisability for the Classical Propositional Natural Deduction

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Abstract

In this paper, we introduce a semantics of realisability for the classical propositional natural deduction and we prove a correctness theorem. This allows to characterize the operational behaviour of some typed terms.

Keywords: classical natural deduction, semantics of realisability, correctness theorem.

1 Introduction

Natural deduction system is one of the main logical system which was introduced by Gentzen [4] to study the notion of proof. The full classical natural deduction system is well adapted for the human reasoning. By full we mean that all the connectives (→, ∧ and ∨) and ⊥ (for the absurdity) are considered as primitive and they have their intuitionistic meaning. As usual, the negation is defined by ¬A = A → ⊥. Considering this logic from the computer science of view is interesting because, by the Curry-Howard correspondence,
formulas can be seen as types for the functional programming languages and correct programs can be extracted. By this correspondence the corresponding calculus is an extension of the \( \lambda \mu \)-calculus with product and co-product.

Until very recently (see the introduction of [3] for a brief history), no proof of the strong normalization of the cut-elimination procedure was known for full logic. In [3], P. De Groote gives a such proof for classical propositional natural deduction by using the CPS-transformation. R. David and the first author give in [2] a direct and syntactical proof of this result. R. Matthes recently found another semantical proof of this result (see [6]).

In order to prove the strong normalization of classical propositional natural deduction, we introduce in [8] a variant of the reducibility candidates, which was already present in [11]. This method has been introduced by J.Y. Girard. It consists in associating to each type \( A \) a set of terms \( \mid A \mid \), such that every term is in the interpretation of its type (this is called “the adequation lemma”). To the best of our knowledge, we obtain the shortest proof of this result.

In this paper, we define a semantics of realisability of classical propositional natural deduction inspired by [8] and we establish a correctness theorem. The idea is to replace the set of strongly normalizing terms used in the proof presented in [8] by a set having the properties necessary to keep the adequation lemma. This result allows to characterize the operational behaviour of terms having some particular types.

The paper is organized as follows. Section 2 is an introduction to the typed system and the relative cut-elimination procedure. In section 3, we define the semantics of realisability and we prove the correctness theorem. In section 4, we give some applications of this result.

2 Notations and definitions

Definition 2.1 We use notations inspired by the paper [1].

(i) Let \( \mathcal{X} \) and \( \mathcal{A} \) be two disjoint alphabets for distinguishing the \( \lambda \)-variables and \( \mu \)-variables respectively. We code deductions by using a set of terms \( T \) which extends the \( \lambda \)-terms and is given by the following grammars:

\[
T := \mathcal{X} | \lambda \mathcal{X}.T | (T \in \mathcal{E}) | \langle T, T \rangle | \omega_1 T | \omega_2 T | \mu A . T | (A \cdot T)
\]

\[
\mathcal{E} := T | \pi_1 | \pi_2 | [\mathcal{X} \cdot T, \mathcal{X} \cdot T]
\]

An element of the set \( \mathcal{E} \) is said to be an \( \mathcal{E} \)-term.

(ii) The meaning of the new constructors is given by the typing rules below where \( \Gamma \) (resp. \( \Delta \)) is a context, i.e. a set of declarations of the form \( x : A \) (resp. \( a : A \)) where \( x \) is a \( \lambda \)-variable (resp. \( a \) is a \( \mu \)-variable) and \( A \) is a formula.
\[ \begin{align*}
\Gamma, x : A & \vdash x : A ; \Delta \quad \text{ax} \\
\Gamma, x : A & \vdash t : B ; \Delta \quad \Gamma \vdash u : A \rightarrow B ; \Delta \quad \Gamma \vdash v : A ; \Delta \\
\Gamma & \vdash (u \; v) : B ; \Delta \quad \rightarrow_e \\
\Gamma & \vdash u : A ; \Delta \quad \Gamma & \vdash v : B ; \Delta \\
\Gamma & \vdash (u, v) : A \wedge B ; \Delta \quad \wedge_i \\
\Gamma & \vdash t : A \wedge B ; \Delta \quad \Gamma & \vdash (t \; \pi_1) : A ; \Delta^1 \quad \Gamma & \vdash (t \; \pi_2) : B ; \Delta^2 \\
\Gamma & \vdash t : A ; \Delta \quad \Gamma & \vdash t : B ; \Delta \\
\Gamma & \vdash \omega_1 t : A \vee B ; \Delta \quad \Gamma & \vdash \omega_2 t : A \vee B ; \Delta \quad \vee_i \\
\Gamma & \vdash \omega_1 t : A \vee B ; \Delta \quad \Gamma & \vdash \omega_2 t : A \vee B ; \Delta \\
\Gamma & \vdash t : A \vee B ; \Delta \quad \Gamma, x : A & \vdash u : C ; \Delta \quad \Gamma, y : B & \vdash v : C ; \Delta \\
\Gamma & \vdash t : A \vee B ; \Delta \quad \wedge_i \\
\Gamma & \vdash (t \; [x.u, y.v]) : C ; \Delta \quad \wedge^1 \\
\Gamma & \vdash (t \; [x.u, y.v]) : C ; \Delta \quad \wedge_i \\
\Gamma & \vdash (a \; t) : \bot ; \Delta, a : A \quad \abs_i \\
\Gamma & \vdash \mu a.t : A ; \Delta \quad \abs_e \\
\end{align*} \]

(iii) The cut-elimination procedure corresponds to the reduction rules given below. They are those we need to the subformula property.

- \((\lambda x. u \; v) \triangleright u[x := v]\)
- \((t_1, t_2) \; \pi_i \triangleright t_i\)
- \((\omega t \; [x_1.u_1, x_2.u_2]) \triangleright u_i[x_i := t]\)
- \((t \; [x_1.u_1, x_2.u_2]) \; \varepsilon \triangleright (t \; [x_1.(u_1 \; \varepsilon), x_2.(u_2 \; \varepsilon)])\)
- \((\mu a.t \; \varepsilon) \triangleright \mu a.t[a := \ast \; \varepsilon].\)

where \(t[a := \ast \; \varepsilon]\) is obtained from \(t\) by replacing inductively each subterm in the form \((a \; v)\) by \((a \; (v \; \varepsilon))\).

(iv) Let \(t\) and \(t'\) be \(E\)-terms. The notation \(t \triangleright t'\) means that \(t\) reduces to \(t'\) by using one step of the reduction rules given above. Similarly, \(t \triangleright^* t'\) means that \(t\) reduces to \(t'\) by using some steps of the reduction rules given above.

The following result is straightforward

**Theorem 2.2 (Subject reduction)** If \(\Gamma \vdash t : A; \Delta\) and \(t \triangleright^* t'\), then \(\Gamma \vdash t' : A; \Delta\).

We have also the following properties (see [1], [2], [3], [8] and [9]).

**Theorem 2.3 (Confluence)** If \(t \triangleright^* t_1\) and \(t \triangleright^* t_2\), then there exists \(t_3\) such that \(t_1 \triangleright^* t_3\) and \(t_2 \triangleright^* t_3\).

**Theorem 2.4 (Strong normalization)** If \(\Gamma \vdash t : A; \Delta\), then \(t\) is strongly normalizable.
3 The semantics

Definition 3.1  
(i) We denote by $\mathcal{E}^{<\omega}$ the set of finite sequences of $\mathcal{E}$-terms. The empty sequence is denoted by $\emptyset$.

(ii) We denote by $\bar{w}$ the sequence $w_1w_2...w_n$. If $\bar{w} = w_1w_2...w_n$, then $(t \bar{w})$ is $t$ if $n = 0$ and $((t w_1) w_2...w_n)$ if $n \neq 0$. The term $t[a :=* \bar{w}]$ is the term obtained from $t$ by replacing inductively each subterm in the form $(a v)$ by $(a (v \bar{w}))$.

(iii) A set of terms $S$ is said to be $\mu$-saturated iff:
- For each terms $u$ and $v$, if $u \in S$ and $v \triangleright^* u$, then $v \in S$.
- For each $a \in A$ and for each $t \in S$, $\mu_a t \in S$ and $(a t) \in S$.

(iv) Consider two sets of terms $K$, $L$ and a $\mu$-saturated set $S$, we define new sets of terms:
- $K \rightarrow L = \{t / (t u) \in L, \text{for each } u \in K\}$.
- $K \land L = \{t / (t \pi_1) \in K \text{ and } (t \pi_2) \in L\}$.
- $K \lor L = \{t / \text{for each } u, v: \text{if (for each } r \in K, s \in L: u[x := r] \in S \text{ and } v[y := s] \in S), \text{then } (t [x.u, y.v]) \in S\}$.

(v) Let $S$ be a $\mu$-saturated set and $\{R_i\}_{i \in I}$ subsets of terms such that $R_i = X_i \rightarrow S$ for certains $X_i \subseteq \mathcal{E}^{<\omega}$. A model $\mathcal{M} = \langle S; \{R_i\}_{i \in I} \rangle$ is the smallest set of subsets of terms containing $S$ and $R_i$ and closed under constructors $\rightarrow$, $\land$ and $\lor$.

Lemma 3.2 Let $\mathcal{M} = \langle S; \{R_i\}_{i \in I} \rangle$ be a model and $G \in \mathcal{M}$.

There exists a set $X \subseteq \mathcal{E}^{<\omega}$ such that $G = X \rightarrow S$.

Proof  
By induction on $G$.
- $G = S$: Take $X = \{\emptyset\}$, it is clear that $S = \{\emptyset\} \rightarrow S$.
- $G = G_1 \rightarrow G_2$: We have $G_2 = X_2 \rightarrow S$ for a certain set $X_2$. Take $X = \{u \bar{v} / u \in G_1, \bar{v} \in X_2\}$. We can easily check that $G = X \rightarrow S$.
- $G = G_1 \land G_2$: Similar to the previous case.
- $G = G_1 \lor G_2$: Take $X = \{[x.u, y.v] / \text{for each } r \in G_1 \text{ and } s \in G_2, u[x := r] \in S \text{ and } v[y := s] \in S\}$. By definition $G = X \rightarrow S$.

Definition 3.3 Let $\mathcal{M} = \langle S; \{R_i\}_{i \in I} \rangle$ be a model and $G \in \mathcal{M}$, we define the set $G^\bot = \cup\{X / G = X \rightarrow S\}$.

Lemma 3.4 Let $\mathcal{M} = \langle S; \{R_i\}_{i \in I} \rangle$ be a model and $G \in \mathcal{M}$.

We have $G = G^\bot \rightarrow S$ ($G^\bot$ is the greatest $X$ such that $G = X \rightarrow S$).
Definition 3.5  
(i) Let $\mathcal{M} = \langle S; \{R_i\}_{i \in I}\rangle$ be a model. An $\mathcal{M}$-interpretation $I$ is an application from the set of propositional variables to $\mathcal{M}$ which we extend for any type as follows:
- $I(\perp) = S$
- $I(A \rightarrow B) = I(A) \rightarrow I(B)$.
- $I(A \land B) = I(A) \land I(B)$.
- $I(A \lor B) = I(A) \lor I(B)$.

The set $|A|_{\mathcal{M}} = \cap\{I(A) / I \text{ an } \mathcal{M}\text{-interpretation}\}$ is the interpretation of $A$ in $\mathcal{M}$.

(ii) The set $|A| = \cap\{|A|_{\mathcal{M}} / \mathcal{M} \text{ a model}\}$ is the interpretation of $A$.

Lemma 3.6  
(Adequation lemma) Let $\mathcal{M} = \langle S; \{R_i\}_{i \in I}\rangle$ be a model, $I$ a $\mathcal{M}$-interpretation, $\Gamma = \{x_i : A_i\}_{1 \leq i \leq n}$, $\Delta = \{a_j : B_j\}_{1 \leq j \leq m}$, $u_i \in I(A_i)$, $\bar{v}_j \in I(B_j)^\perp$.

If $\Gamma \vdash t : A; \Delta$, then $t[x_1 := u_1, \ldots, x_n := u_n, a_1 :=^* \bar{v}_1, \ldots, a_m :=^* \bar{v}_m] \in I(A)$. 

Proof  
Let us denote by $s'$ the term $s[x_1 := u_1, \ldots, x_n := u_n, a_1 :=^* \bar{v}_1, \ldots, a_m :=^* \bar{v}_m]$.

The proof is by induction on the derivation, we consider the last rule:

(i) $\text{ax}$, $\rightarrow_e$ and $\land_e$: Easy.

(ii) $\rightarrow_i$: In this case $t = \lambda x. u$ and $A = B \rightarrow C$ such that $\Gamma, x : B \vdash u : C ; \Delta$. By induction hypothesis, $u'[x := v] \in I(C) = I(C)^\perp \rightarrow S$ for each $v \in I(B)$, then $(u'[x := v]) \bar{w} \in S$ for each $\bar{w} \in I(C)^\perp$, hence $((\lambda x. u') \bar{v}) \in S$ because $((\lambda x. u') \bar{w}) \supset (u'[x := v]) \bar{w})$. Therefore $t' = \lambda x. u' \in I(B) \rightarrow I(C) = I(A)$.

(iii) $\land_i$ and $\lor_i$: A similar proof.

(iv) $\lor_e$: In this case $t = (t_1 [x. u, y. v])$ with $(\Gamma \vdash t_1 : B \lor C ; \Delta)$, $(\Gamma, x : B \vdash u : A; \Delta)$ and $(\Gamma, y : C \vdash v : A; \Delta)$. Let $r \in I(B)$ and $s \in I(C)$, by induction hypothesis, $t_1' \in I(B) \lor I(C)$, $u'[x := r] \in I(A)$ and $v'[y := s] \in I(A)$. Let $\bar{w} \in I(A)^\perp$, then $(u'[x := r]) \bar{w} \in S$ and $(v'[y := s]) \bar{w} \in S$, hence $(t_1' [x.(u' \bar{w}), y.(v' \bar{w})]) \in S$, since $((t_1' [x. u', y. v']) \bar{w}) \supset (t_1' [x. u' \bar{w}), y.(v' \bar{w})]) \supset (t_1' [x. u', y. v']) \bar{w} \in S$. Therefore $t' = (t_1' [x. u', y. v']) \in I(A)$.

(v) $\text{abs}_e$: In this case $t = \mu a. t_1$ and $\Gamma \vdash t_1 : \perp ; \Delta', a : A$. Let $\bar{v} \in I(A)^\perp$. It suffices to prove that $(\mu a. t_1') \bar{v} \in S$. By induction hypothesis, $t_1'[a :=^* \bar{v}] \in I(\perp) = S$, then $\mu a. t_1'[a :=^* \bar{v}] \in S$ and $(\mu a. t_1' \bar{v}) \in S$. 

\[ \square \]
(vi) abs₁: In this case \( t = (a_j u) \) and \( \Gamma \vdash u : B_j; \Delta', a_j : B_j \). We have to prove that \( t' \in S \). By induction hypothesis \( u' \in I(B_j) \), then \( (u' \, \vec{v}_j) \in S \), hence \( t' = (a \,(u' \, \vec{v}_j)) \in S \). □

**Theorem 3.7** *(Correctness theorem)* If \( \vdash t : A \), then \( t \in |A| \).

**Proof** Immediately from the previous lemma. □

4 The operational behaviors of some typed terms

The following results are some applications of the correctness theorem.

**Definition 4.1** Let \( t \) be a term. We denote \( M_t \) the smallest set containing \( t \) such that: if \( u \in M_t \) and \( a \in A \), then \( \mu a.u \in M_t \) and \( (a \, u) \in M_t \). Each element of \( M_t \) is denoted \( \mu.t \). For example, the term \( \mu a.\mu b.(a \,(b \,(\mu c.(a \, \mu d.t)))) \) is denoted by \( \mu.t \).

In the next of the paper, the letter \( P \) denotes a propositional variable which represents an arbitrary type.

4.1 Terms of type \( \bot \rightarrow P \) “Ex falso sequitur quodlibet”

**Example 4.2** Let \( T = \lambda z.\mu a.z \). We have \( T : \bot \rightarrow P \) and for every term \( t \) and \( \vec{u} \in T^{<\omega} \), \( ((T \, t) \, \vec{u}) \triangleright^* \mu.a.t \).

**Remark 4.3** The term \( (T \, t) \) modelizes an instruction like \texttt{exit}(t) (**exit** is to be understood as in the C programming language). In the reduction of a term, if the sub-term \( (T \, t) \) appears in head position (the term has the form \( ((T \, t) \, \vec{u}) \)), then after some reductions, we obtain \( t \) as result.

The general operational behavior of terms of type \( \bot \rightarrow P \) is given in the following theorem:

**Theorem 4.4** Let \( T \) be a closed term of type \( \bot \rightarrow P \), then for every term \( t \) and \( \vec{u} \in E^{<\omega} \), \( ((T \, t) \, \vec{u}) \triangleright^* \mu.t \).

**Proof** Let \( t \) be a term and \( \vec{u} \in E^{<\omega} \). Take \( S = \{v / v \triangleright^* \mu.t\} \) and \( R = \{\vec{u}\} \rightarrow S \). It is clear that \( S \) is \( \mu \)-saturated set and \( t \in S \). Let \( \mathcal{M} = (S; R) \) and \( I \) an \( \mathcal{M} \)-interpretation such that \( I(P) = R \). By the theorem 3.7, we have \( T \in S \rightarrow (\{\vec{u}\} \rightarrow S) \), then \( ((T \, t) \, \vec{u}) \) \( \in S \) and \( ((T \, t) \, \vec{u}) \triangleright^* \mu.t \). □
4.2 Terms of type $(\neg P \rightarrow P) \rightarrow P$ “Pierce law”

Example 4.5 Let $C_1 = \lambda z.\mu a.(a(z\lambda y.(a y)))$ and $C_2 = \lambda z.\mu a.((a(z(\lambda x.a(z\lambda y.(a x)))))$.

We have $\vdash C_i : (\neg P \rightarrow P) \rightarrow P$ for $i \in \{1, 2\}$.

Let $u, v_1, v_2$ be terms and $\bar{t} \in \mathcal{E}^{<\omega}$, we have:

$((C_1 u) \bar{t}) \triangleright^* \mu a.((u \theta_1) \bar{t})$ and $(\theta_1 v_1) \triangleright^* (a(v_1 \bar{t}))$

and

$((C_2 u) \bar{t}) \triangleright^* \mu a.((a((u \theta_1) \bar{t})) \bar{t}), (\theta_1 v_1) \triangleright^* (a((u \theta_2) \bar{t}))$ and $(\theta_2 v_2) \triangleright^* (a(v_1 \bar{t}))$.

Remark 4.6 The term $C_1$ allows to modelizing the Call/cc instruction in the Scheme functional programming language.

The following theorem describes the general operational behavior of terms with type $(\neg P \rightarrow P) \rightarrow P$.

Theorem 4.7 Let $T$ be a closed term of type $(\neg P \rightarrow P) \rightarrow P$, then for every term $u$ and $\bar{t} \in \mathcal{E}^{<\omega}$, there exist $m \in \mathbb{N}$ and terms $\theta_1, \ldots, \theta_m$ such that for every terms $v_1, \ldots, v_m$, we have:

$((T u) \bar{t}) \triangleright^* \mu a.((u \theta_1) \bar{t})$

$(\theta_1 v_1) \triangleright^* \mu a.((u \theta_{i+1}) \bar{t})$ for every $1 \leq i \leq m - 1$

$(\theta_m v_m) \triangleright^* \mu a.(v_i \bar{t})$ for a certain $1 \leq i_0 \leq m$

Proof Let $u$ be a $\lambda$-variable and $\bar{t} \in \mathcal{E}^{<\omega}$. Take $S = \{t / \exists m \geq 0, \exists \theta_1, \ldots, \theta_m : t \triangleright^* \mu a.((u \theta_1) \bar{t}), (\theta_i v_i) \triangleright^* \mu a.((u \theta_{i+1}) \bar{t}) \text{ for every } 1 \leq i \leq m - 1 \text{ and } (\theta_m v_m) \triangleright^* \mu a.(v_i \bar{t}) \text{ for a certain } 1 \leq i_0 \leq m\}$ and $R = \{\bar{t}\} \rightarrow S$. It is clear that $S$ is a $\mu$-saturated set. Let $M = \langle S; R \rangle$ and an $M$-interpretation $I$ such that $I(P) = R$. By the theorem 3.7, $T \in \llbracket (\rightarrow S) \rightarrow (\{\bar{t}\} \rightarrow S \rrbracket$. It is suffices to check that $u \in (R \rightarrow S) \rightarrow R$. For this, we take $\theta \in (R \rightarrow S)$ and we prove that $(u \theta) \in R$ i.e. $(u \theta \bar{t}) \in S$. But by the definition of $S$, it suffices to have $(\theta v_i) \in S$, which is true since the terms $v_i \in R$, because $(v_i \bar{t}) \in S$. □

4.3 Terms of type $\neg P \lor P$ “Tertium non datur”

Example 4.8 Let $W = \mu b.(b \omega_1 \mu a.((b \omega_2 \lambda y.(a y))))$. We have $\vdash W : \neg P \lor P$.

Let $x_1, x_2$ be $\lambda$-variables, $u_1, u_2, v$ terms and $\bar{t} \in \mathcal{E}^{<\omega}$. We have:

$(W[x_1.u_1, x_2.u_2]) \triangleright^* \mu b.(b u_1[x_1 := \theta_1^1])$

$(\theta_1^1 \bar{t}) \triangleright^* \mu a.(b u_2[x_2 := \theta_2^1])$

$(\theta_2^1 v) \triangleright^* (a(v \bar{t}))$

where $\theta_1 = \mu a.(b(\omega_2 \lambda y.(a y)[x_1.u_1, x_2.u_2]))$ and $\theta_2^1 = \lambda y.(a(y \bar{t}))$.

Remark 4.9 The term $W$ allows to modelizing the try...with... instruction in the Caml programming language.
The following theorem gives the behavior of all terms with type \( \neg P \lor P \).

**Theorem 4.10** Let \( T \) be a closed term of type \( \neg P \lor P \), then for every \( \lambda \)-variables \( x_1, x_2 \) and terms \( u_1, u_2 \) and \( (\bar{t}_n)_{n \geq 1} \) a sequence of \( \mathcal{E}^{< \omega} \), there exist \( m \in \mathbb{N} \) and terms \( \theta_1^i, ..., \theta_m^i \) \( 1 \leq i \leq 2 \) such that for all terms \( v_1, ..., v_m \), we have:

\[
(T[x_1.u_1, x_2.u_2]) \triangleright^* \mu.u_i[x_i := \theta_1^i] \\
(\theta_1^i \bar{t}_j) \triangleright^* \mu.u_i[x_i := \theta_{j+1}^i] \text{ for all } 1 \leq j \leq m - 1 \\
(\theta_2^j \bar{v}_j) \triangleright^* \mu.u_i[x_i := \theta_{j+1}^i] \text{ for all } 1 \leq j \leq m - 1 \\
(\theta_m^i \bar{t}_m) \triangleright^* \mu.(v_p \bar{t}_q) \text{ for a certain } 1 \leq p \leq m \text{ and a certain } 1 \leq q \leq m \]

**Proof** Let \( u_1, u_2 \) be terms and \( (\bar{t}_n)_{n \geq 1} \) a sequence of \( \mathcal{E}^{< \omega} \). Take then \( S = \{ t / \exists m \geq 0, \exists \theta_1^i, ..., \theta_m^i \text{ such that } 1 \leq i \leq 2 : t \triangleright^* \mu.u_i[x_i := \theta_1^i], (\theta_1^i \bar{t}_j) \triangleright^* \mu.u_i[x_i := \theta_{j+1}^i] \text{ for all } 1 \leq j \leq m - 1, (\theta_2^j \bar{v}_j) \triangleright^* \mu.u_i[x_i := \theta_{j+1}^i] \text{ for all } 1 \leq j \leq m - 1, (\theta_m^i \bar{t}_m) \triangleright^* \mu.(v_p \bar{t}_q) \text{ for certain } (1 \leq p \leq m \text{ and } 1 \leq q \leq m) \} \). By definition \( S \) is a \( \mu \)-saturated set. Let \( \mathcal{M} = \langle S, R \rangle \) and an \( \mathcal{M} \)-interpretation \( I \) such that \( I(P) = R \). By the theorem 3.7, \( T \in [R \rightarrow S] \lor R \). Let \( \theta \in R \), then, for all \( i \), \( (\theta \bar{t}_i) \in S \). Let \( \theta' \in R \rightarrow S \), hence \( (\theta' \bar{v}_i) \in S \) since \( v_i \in R \) (because \( (v_i \bar{t}_i) \in S \)), therefore \((T[x_1.u_1, x_2.u_2]) \in S \). \( \Box \)

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