Comparative Effectiveness of Expandable Versus Static Interbody Spacers via MIS LLIF: A 2-Year Radiographic and Clinical Outcomes Study

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Abstract
Study Design: Retrospective cohort study.
Objective: The purpose of this study is to compare the radiographic and clinical outcomes of expandable interbody spacers to static interbody spacers.
Methods: This is a retrospective, institutional review board–exempt chart review of 62 consecutive patients diagnosed with degenerative disc disease who underwent minimally invasive spine surgery lateral lumbar interbody fusion (MIS LLIF) using static or expandable spacers. There were 27 patients treated with static spacers, and 35 with expandable spacers. Radiographic and clinical functional outcomes were collected. Statistical results were significant if P < .05.
Results: Mean improvement in visual analogue scale back and leg pain scores was significantly greater in the expandable group compared to the static group at 6 and 24 months by 42.3% and 63.8%, respectively (P < .05). Average improvement in Oswestry Disability Index scores was significantly greater in the expandable group than the static group at 3, 6, 12, and 24 months by 28%, 44%, 59%, 53%, and 89%, respectively (P < .05). For disc height, the mean improvement from baseline to 24 months was greater in the static group compared to the expandable group (P < .05). Implant subsidence was significantly greater in the static group (16.1%, 5/31 levels) compared with the expandable group (6.7%, 3/45 levels; P < .05).
Conclusions: This study showed positive clinical and radiographic outcomes for patients who underwent MIS LLIF with expandable spacers compared to those with static spacers. Sagittal correction and pain relief was achieved and maintained through 24-month follow-up. The expandable group had a lower subsidence rate than the static group.

Keywords
minimally invasive spine surgery, lateral lumbar interbody fusion, expandable spacers, static spacers

Introduction
The lateral lumbar interbody fusion (LLIF) approach was introduced by Ozgur et al1 in 2006, and has since been established as an effective method for lumbar interbody fusion. The minimally invasive (MIS) LLIF technique is associated with decreased operative times, minimized tissue dissection, reduced postoperative pain, and decreased rates of complications compared to open anterior and posterior approaches.7-9 The MIS LLIF approach provides adequate surgical exposure to the disc space enabling placement of an interbody spacer with a large footprint, which affords biomechanical...
stability. This results in indirect decompression of the neural elements.\textsuperscript{10,11}

Traditionally, static spacers have been utilized with this technique. However, static interbody spacers require excessive trialing, aggressive endplate preparation, and forceful impaction, which may lead to endplate damage and consequently, spacer subsidence.\textsuperscript{11} Expandable technology was designed to expand vertically within the disc space, refuting the need for forceful impaction and minimizing iatrogenic overdistraction during insertion.\textsuperscript{11} Additionally, there may be better disc height restoration and sagittal alignment correction compared with static spacers; however, studies comparing static spacers with expandable spacers are lacking.\textsuperscript{12-17} The importance of restoring radiographic parameters for improved functional outcomes has been well established.\textsuperscript{18-20} The goal of this study is to compare the surgical outcomes of patients treated with expandable spacers after an MIS LLIF to those who were treated with static spacers.

**Materials and Methods**

**Patient Population**

This was a multisite, multisurgeon, retrospective clinical study from a prospectively collected database with institutional review board approval. It included 62 consecutive patients and 76 operative levels with a diagnosis of degenerative disc disease (DDD) at 1 or 2 contiguous levels from L1 to L5 with or without grade 1 spondylolisthesis. All patients underwent MIS LLIF surgery using either an expandable interbody spacer (RISE-L, Globus Medical, Inc, Audubon, PA) or a static interbody spacer (TransContinental, Globus Medical, Inc), with posterior pedicle screw and rod fixation (Figures 1 and 2). Patient self-assessment forms and radiographic records were used to assess clinical and radiologic outcomes.

**Surgical Technique**

Under general anesthesia, patients were placed in the lateral decubitus position and secured to the operating table with adhesive tape. Under fluoroscopic guidance, an oblique incision was made at the symptomatic disc segment. Blunt dissection was performed under direct visualization through subcutaneous tissue, external and internal oblique muscles, and transversus abdominis. Retroperitoneal fat was mobilized anteriorly, exposing the underlying psoas muscle. The psoas muscle was palpated, and fluoroscopic images confirmed the level and location of the spinal marker. Blunt dissection was performed anteriorly to or at the very anterior part of the psoas muscle down to the operative intervertebral disc level. Neuromonitoring stimulation did not show any nerve conduction abnormalities (lumbar plexus). After fluoroscopic confirmation of the appropriate level, a minimally invasive retractor was docked, sequentially dilated at the segment, and secured to the table-mounted arm. An annulotomy was then performed, followed by a discectomy and decortication of endplates. Sequential trials were used to allow for gradual distraction of the disc space. An appropriately sized static lateral cage was then selected, packed with autograft, and placed in the disc space. For expandable spacers, there was less trialing and the spacer was filled with autograft and placed in a collapsed state, and then expanded in situ to the desired height and backfilled with autograft. After verification of the spacer positioning, the retractor was removed.

Posterior decompression was performed in cases of severe spinal stenosis with neurological deficit or in cases where LLIF procedure did not increase preoperative disc height by more than double. Pedicle screws and rods were used for supplemental fixation. Locking caps were set once the rods were in their proper position. Intraoperative fluoroscopy images were taken of the final construct (Figure 3). Surgical incisions were cleaned and closed in the standard fashion.

**Interbody Spacers**

The expandable interbody spacer used in this study is manufactured from titanium alloy. The device is inserted at a contracted height and expanded in situ once correctly positioned within the intervertebral space, offering continuous expansion...
for optimal endplate-to-endplate contact. The static interbody spacer is manufactured from radiolucent polymer with titanium alloy or tantalum markers and includes a self-distracting leading edge for implant insertion.

**Outcome Measures**

Demographic and perioperative data were recorded. Patient self-assessment questionnaires such as the visual analogue scale (VAS) for back and leg pain and Oswestry Disability Index (ODI) were evaluated preoperatively and at 6 weeks, 3, 6, 12, and 24 months postoperatively. Radiographic parameters, including disc height, neuroforaminal height, segmental lordosis, and implant subsidence were assessed. The radiographic measurements were conducted by different observers but verified by an orthopedic surgeon.

Subsidence was defined as a measured reduction in disc height greater than 2 mm at 24 months compared with 6-week disc height.21 Disc heights were measured from the middle portion of the endplates immediately above and below the referenced index levels on the lateral plane. Neuroforaminal height was measured as the distance from the inferior pedicle wall of the level above to the superior pedicle wall of the level below. Segmental lordosis was measured from inferior endplate of the caudal vertebral body to the superior endplate of the cephalad vertebral body. Fusion was evaluated on radiographic images using the Brantigan, Steffee, and Fraser (BSF) radiographic classification22 (Table 1). According to this classification, BSF-1 is radiographic pseudoarthrosis, BSF-2 is radiographic locked pseudoarthrosis, and BSF-3 is radiographic fusion.

**Statistical Methods**

Statistical analysis was performed with SPSS v20.0.0 software for Windows (IBM Corp., Armonk, NY). Descriptive statistics were recorded as mean and standard deviation, or frequency and percentage, where applicable. Fisher’s exact test and paired and independent-samples t tests were used to calculate differences in ordinal and interval variables from preoperative to each postoperative follow-up time. Any significant differences between demographic or baseline values were reported. Statistical significance was set at \( P < .05 \).

**Results**

**Patient Demographic and Operative Data**

Sixty-two patients were enrolled in this study, with an average age of 63.0 ± 11.0 years; 61.3% (38/62) were female. Twenty-seven consecutive patients underwent MIS LLIF with static spacers from May 2014 to February 2016. The patients were 74.1% (20/27) female and 25.9% male (7/27) with an average age of 65.5 ± 9.3 years (range: 45-81 years). Thirty-five consecutive patients underwent MIS LLIF with expandable spacers from August 2016 to January 2017. The patients were 51.4% (18/35) female and 48.6% (17/35) male with an average age of 61.1 ± 12.0 years (range: 34-79 years).

There were no significant differences in age or gender between groups \( (P = .13 \text{ and } .11, \text{ respectively}) \). No significant
difference in baseline VAS scores were observed between groups ($P = .357$). However, there were significant differences in ODI, disc height, neuroforaminal height, and segmental lordosis between baseline static and expandable groups ($P < .05$; Table 2). Specifically, baseline ODI was significantly higher in the expandable group while baseline disc height, neuroforaminal height, and segmental lordosis was statistically higher in the static group.

Among the 76 spinal fusion levels, $36.8\%$ (28/76) at L3-4 and $44.7\%$ (34/76) at L4-5. Of the 62 patients, $77.4\%$ (48/62) were single-level (1L) procedures and $22.6\%$ (14/62) were 2-level fusion (2L). All patients had 24-month follow-up data and percentages of patients at each time point is presented in Table 3. Mean operative time was similar between groups, with the expandable group averaging $62.8 \pm 24.3$ minutes for 1L fusions and $94.2 \pm 36.2$ minutes for 2L fusions, and the static group averaging $66.9 \pm 42.9$ minutes for 1L and $74.5 \pm 17.6$ minutes for 2L. Length of hospital stay for the expandable group was $3.5 \pm 1.7$ days for 1L fusions and $3.5 \pm 1.4$ days for 2L fusions, with the static group averaging $2.1 \pm 1.3$ days for 1L and $2.0 \pm 1.4$ for 2L. Mean estimated blood loss for the expandable spacers was $23.5 \pm 13.3$ cm$^3$ for 1L fusions and $42.0 \pm 41.5$ cm$^3$ for 2L. Static interbody spacers mean estimated blood loss was $40.2 \pm 39.3$ cm$^3$ for 1L fusions and $37.5 \pm 25.0$ cm$^3$ for 2L (Table 3).

### Clinical Outcomes

Patients reported improvements in pain and disability, and comparisons were made between the static and expandable groups by comparing their mean baseline to 24-month differences. Raw values for each time point are presented in Table 4 and the mean improvements from preoperative are presented in Table 5. Mean improvement in VAS back and leg pain scores was significantly greater in the expandable spacer group compared to the static spacer group at 6 and 24 months by $42.3\%$ and $63.8\%$, respectively ($P < .05$; Figure 4). In the expandable spacer group, average VAS back and leg pain scores significantly improved from baseline by 58\% (4.3 ± 2.4), 69\% (5.2 ± 2.1), 74\% (5.5 ± 2.4), 74\% (5.7 ± 2.5), and 86\% (6.5 ± 2.5) at 6 weeks, 3, 6, 12, and 24 months, respectively ($P < .001$). In the static spacer group, average VAS back and leg pain scores significantly improved from baseline by 58\% (4.5 ± 2.7), 68.8\% (4.7 ± 2.8), 53.3\% (3.6 ± 3.6), 65.9\% (4.5 ± 2.9), and 50.4\% (3.3 ± 3.3) at 6 weeks, 3, 6, 12, and 24 months, respectively ($P < .001$).

### Radiographic Outcomes

Mean outcomes for each time point are presented in Table 4, and the average improvements from preoperative are presented in Table 5. In the expandable spacer group, disc height significantly increased from baseline by an average of 80\% (5.6 ± 3.2 mm), 75\% (5.3 ± 3.5 mm), 64\% (4.5 ± 3.6 mm), 65\% (4.5 ± 3.7 mm), and 61\% (4.3 ± 3.6 mm) at 6 weeks, 3, 6, 12, and 24 months, respectively ($P < .001$). In the static spacer group, mean disc height increased significantly from baseline by 62\% (5.3 ± 2.6 mm), 62\% (5.3 ± 2.7 mm), 60\% (5.1 ± 2.8 mm), 57\% (4.9 ± 3.1 mm), and 73\% (6.4 ± 2.9 mm) at 6 weeks, 3, 6, 12, and 24 months, respectively ($P < .05$). Significant differences in intervertebral disc height were observed between groups at 24 months ($P < .05$).
Patients treated with expandable spacers showed a significant increase in neuroforaminal height from baseline by a mean of 30% (4.6 ± 4.4 mm), 24% (3.5 ± 3.8 mm), 23% (3.4 ± 3.4 mm), 18% (2.8 ± 5.0 mm), and 21% (3.3 ± 4.1 mm) at 6 weeks, 3, 6, 12, and 24 months, respectively (P < .001). Additionally, neuroforaminal height significantly increased from baseline in the static spacer group by an average of 17% (3.4 ± 3.3 mm), 14% (2.8 ± 4.0 mm), 11% (2.2 ± 3.2 mm), 10% (2.1 ± 3.4 mm), and 9% (2.0 ± 3.8 mm) at 6 weeks, 3, 6, 12, and 24 months, respectively (P < .001).
14% (3.0 ± 4.4 mm), 8.5% (1.8 ± 4.3) at 6 weeks, 3, 6, 12, and 24 months, respectively (P < .05). There was no significant difference in mean improvements from baseline between the expandable spacer and static spacer groups. In the expandable spacer group, segmental lordosis significantly increased from baseline at 6 weeks, 3, 6, 12, and 24 months by an average of 36% (2.9° ± 3.4°), 27% (2.2° ± 3.3°), 30% (2.3° ± 2.7°), 31% (2.5° ± 3.4°), and 23% (1.8° ± 3.4°), respectively. In the static spacer group, segmental lordosis increased significantly from baseline at 24 months only by an average of 17.2% (2.5° ± 5.2°; P < .05). However, there was not a significant difference in mean improvements from baseline expandable and static spacer groups.

All operative levels were considered radiographically fused (BSF-3)22 in both the expandable and the static groups at 24 months.

**Implant-Related Observations**

Implant subsidence was greater in the static group at 16.1% (5/31 levels) compared with the expandable group at 6.7% (3/45 levels). All cases of subsidence were asymptomatic with no revision surgery necessary.

**Discussion**

There are few clinical studies comparing expandable interbody spacers to static interbody spacers, making comparisons to existing literature challenging. Long-term clinical outcomes and radiographic analysis are necessary to determine the safety and effectiveness of expandable spacers compared with static spacers. Results from this study found a significant improvement in VAS back and leg pain scores in the expandable group compared with the static group (86% vs 50%) at 24 months. Similarly, there was a significant improvement in ODI scores in the expandable group compared with the static group by 82% and 42%, respectively. This study reported a 61% improvement in disc height in the expandable spacer group and a 73% improvement in disc height in the static spacer group. Disc height in the static spacer group showed a significantly larger improvement from baseline at 24 months. There was a greater increase from baseline for neuroforaminal height and segmental lordosis between expandable spacers and static spacers (21% vs 9% and 23% vs 17%, respectively). The current cohort also found a 6.7% (3/45 levels) rate of subsidence when using expandable spacers, a 16.1% (5/31 levels) rate of subsidence when using static spacers, and a 0% reoperation rate for all cases of subsidence.

The results from this study showed a large improvement in VAS and ODI scores for both groups, exceeding the minimally clinically important difference.23-26 There was also a significantly larger improvement in ODI scores observed in the expandable group compared with the static group. A potential reason may be that static spacers are typically large spacers impacted into the disc space. There may be increased disc height but a greater chance of subsidence, which could result in pain. Even though there was a significant difference in baseline radiographic parameters, results were presented as mean change from baseline for each group individually and then statistic comparisons were performed on mean changes to assess any differences between groups.

A 2015 study by Alimi et al27 examined expandable PEEK (polyetheretherketone) spacers used in transforaminal lumbar interbody fusion (TLIF). This study reported significant improvements in VAS back pain scores and ODI scores by 52% and 45%, respectively, at 19-month follow-up. Additionally, the authors found a significant 26% improvement in disc height and a significant 19% increase in neuroforaminal height. Results from this study found a higher change in VAS back and leg pain scores and ODI scores, a larger improvement in disc height from baseline, and a comparable improvement in neuroforaminal height.

In a multicenter cohort by Frisch et al28 comparing static with expandable spacers, VAS back pain scores significantly improved by 43% and 45%, respectively, at 24-month follow-up. From baseline, ODI scores significantly improved by 44% and 39% in the static spacer group and the expandable spacer group, respectively. In the static group, disc height, neuroforaminal height, and segmental lordosis increased by 85% and 16%, respectively. Disc height and neuroforaminal height increased by 41% and 13%, respectively, in the expandable group. These changes from baseline in the expandable group are much lower than what was found in the current evaluation.

The impaction force and overdistraction required when inserting static spacers may have contributed to the higher rate of subsidence in the static spacer group.28 Subsidence in interbody fusion is of great concern, as it can lead to loss of lordosis and adjacent segment disease, subsequently requiring revision surgery.29,30 There was a 16.1% subsidence rate in the static group and a 0% subsidence rate in the expandable spacer in the aforementioned analysis by Frisch et al.28 This coincides with the results from this study, a higher rate of subsidence in the static group than the expandable group. In the previously mentioned study by Alimi et al.,27 there was a 7.4% subsidence rate; however, the small footprint of the spacer and the TLIF approach may have affected endplate strength. A larger interbody footprint has been associated with greater biomechanical stability and decreased risk of subsidence.31,32 A systematic 2019 review of 21 publications by Macki et al33 found subsidence in 141 of 1362 patients (10.3%) and a reoperation rate of 2.7%, which is higher than what was found in the current study.

According to the results of this study, spine surgeons may want to consider the advantages of expandable spacers when performing LLIF. Using static spacers may result in a larger disc height and greater segmental lordosis; however, patients report less pain after being treated with an expandable spacer during an LLIF procedure. Another consideration when choosing a spacer is the decreased risk of subsidence observed in the expandable spacer group.

There are limitations to this study. The cases compared were from 2 separate institutions with different sample sizes by 2
spine surgeons with different specialties (neurosurgery vs orthopedic). However, both surgeons used similar techniques. The radiographic measurements were conducted by different observers but verified by an orthopaedic surgeon. An unexpected result was that the static group had a higher improvement in segmental lordosis at 24 months than the expandable group. This may be due to the fact that the static group had a larger percentage of the operative levels at the L4-L5 disc, where lordosis occurs naturally. A larger sample size with a more even group distribution of the L4-L5 operative level is needed to further evaluate the differences in segmental lordosis between expandable and static spacers. Additionally, preoperative radiographic measurements were significantly different between groups, yet comparing mean differences helped mitigate this heterogeneity. Future studies should focus on larger patient cohorts to further examine the differences between static and expandable spacers.

Conclusion
Results from this study revealed that, both static and expandable spacers in MIS LLIF increase disc height, neuroforaminal height, and segmental lordosis through 24 months. Static spacers resulted in a significantly larger increase in disc height at 24 months compared with expandable spacers. The use of an expandable spacer in a MIS LLIF lead to a greater improvement in clinical outcomes when compared to the use of static spacers. There was also an increase in subsidence when using static spacers, demonstrating the benefits of expandable technology in avoiding endplate damage during spacer insertion. Expandable spacers are safe, efficient, and durable when used in conjunction with the LLIF procedure in the patient population studied.

Declaration of Conflicting Interests
The author(s) declared the following potential conflicts of interest with respect to the research, authorship, and/or publication of this article: SLG and CL are salaried employees (with stock options) of Globus Medical, Inc (GMI). The RISE-L and TransContinental spacers described in this article are manufactured by GMI where authors SLG and CL are employees. YML receives research support from GMI and is a consultant for Depuy Synthes. RFF receives research support, royalties, and is a consultant for GMI. ZH and YIL from GMI and is a consultant for Depuy Synthes. RFF receives authors SLG and CL are employees. YML receives research support for the research, authorship, and/or publication of this article.

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