Pedigree Analysis and Audiological Investigations of Otosclerosis: An Extended Family Based Study

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Background and Objectives: To analyse the audiometric profile and the pedigree of a large family with otosclerosis to understand the inheritance pattern and its implication in clinical management of the disease. Subjects and Methods: Pedigree analysis was performed on the basis of family history and audiometric tests. Pure tone audiometry, tympanometry, and acoustic reflexes were evaluated for the family members. Audiometric analysis was also carried out for the individuals who have already undergone corrective surgery at the time of study. Results: Out of 112 family members, 17 were affected individuals, and 11 of them were surgically confirmed. Hearing loss (HL) started unilaterally and progressed to bilateral form. Otosclerosis was presented in early 20’s in the first and second generations but it was delayed to mid-late 30’s in the fourth generation. An affected female was diagnosed with otosclerosis during her pregnancy. Though the disease was familial, a mother of four affected offspring in this family did not develop otosclerosis until she died at the age of 84. Conclusions: The five-generation family, which was analysed in the present study, exhibited autosomal dominant inheritance of otosclerosis with reduced penetrance. Bilateral HL and pregnancy-aggravated otosclerosis were observed in this family. It was found for the first time that the age of onset of the disease delayed in the successive generations. The current study indicated the importance of detailed pedigree analysis for better clinical management of otosclerosis.

KEY WORDS: Familial otosclerosis · Conductive hearing loss · Pedigree analysis · Autosomal dominant.

Introduction

Otosclerosis is the most common cause of adult-onset hearing loss (HL), and typically occurs in the 2nd to 4th decade of life. It is caused by abnormal bone homeostasis in the otic capsule, which leads to fixation of the stapes footplate in the oval window. This fixation disturbs transmission of sound waves through the middle ear ossicles to the cochlea, which results in conductive hearing impairment and progressively affects sensorineural components in a patients’ later years. The disease is bilateral in 70–80% cases [1].

The prevalence of otosclerosis was estimated to be 0.2–1% in Caucasians but rare among Africans, Asians, and Amerindians. However, a higher incidence (to an extent of 17%) was reported in the Todas tribal population from South India. The higher incidence is attributed to endogamous marriages within the tribal population [2,3]. Pregnancy aggravates the HL in females, and hence, prevalence of otosclerosis is estimated to be twice as frequent in females as in males [4,5]. A familial history of otosclerosis is commonly reported in 50% of the patients [5].

For clinical diagnosis, non-invasive audiological examinations such as pure tone audiometry (PTA), tympanometry, and acoustic reflex measurements are traditionally performed. Characteristic diagnostic features are normal tympanic membranes, conductive HL in PTA, and type ‘As’ tympanometric graph. PTA is analysed based on the air-bone gap
that establishes the presence of conductive components involved in HL. Although Carhart’s notch (elevated bone conductive threshold at 2,000 Hz) was reported as the hallmark audiological sign of otosclerosis [6], it was later found to be not present in all the otosclerosis patients [7-9].

In this study, we describe a large, five-generation South Indian family with otosclerosis. This family exhibited several characteristics: 1) progressive bilateral HL; 2) pregnancy-aggravated HL; and 3) reduced penetrance of the disease.

**Subjects and Methods**

Identification of potential family

In this study, we identified a five-generation family with otosclerosis from Kancheepuram District, Tamil Nadu, India. The family was recruited as per the Institutional Ethical Board (Ethical letter No: 796/IEC/2015). After getting informed consent, medical history of the individuals was recorded with special emphasis on age of disease onset and symmetry of the hearing impairment.

Clinical examination

Audiological tests were performed for the individuals who were above 35 years. PTA was performed for both air and bone conduction in both ears. Threshold values were recorded at 0.125, 0.25, 0.5, 1, 2, 3, 4, 6, and 8 kHz for both ears. PTA, tympanometry, immittance audiometry, acoustic reflexes, and otoacoustic emission tests were evaluated under expert supervision. The individuals categorised as affected if they show minimal criteria of otosclerosis having normal tympanic membrane, conductive or mixed HL together with absence of stapedial reflexes in the audiometric analysis. The spouses in the family were not included in the study.

Construction of pedigree

The family history was carefully collected and a five-generation pedigree was constructed. The individuals who underwent corrective surgery for otosclerosis were confirmed as affected and other individuals in the family who were consenting to take part in the study were examined for audiometric profile. Information on the deceased individuals was obtained from the family members. In the pedigree chart, open circle and square represent female and male, respectively. The shaded symbols represent affected individuals. Pedigree chart was constructed for the family using Progeny CLINICAL version 9 (Progeny Software LLC, Delray Beach, FL, USA).

**Results**

This study was initiated from a 62 year old male (III:8) who was diagnosed with otosclerosis at the age of 35 in the left ear, and later progressed to bilateral HL in his 50’s. At the age of 41, he had showed severe mixed HL and moderate conductive HL in left and right ear respectively. The lack of knowledge on the disease and awareness on the surgery led him live with the inability for certain years. Later, when the hearing is deteriorated in both ears, he had undergone stapedotomy at the age of 56. His nine years postoperative audiogram showed sensorineural HL in left ear and severe mixed HL in right ear (Fig. 1). The family medical history was collected from the family members. The five-generation family consisted of a total of 112 members (56 females and 56 males), 78 were descendants of the ancestral parents. Among them, eleven individuals (7 males and 4 females) experienced HL between 17 and 39 years of age, and had undergone stapedotomy for correcting HL (Table 1). Stapedotomy also excluded other middle ear pathologies thus confirming otosclerosis in these individuals.

Three members in the second generation (II:1, II:2, and II:5) were presented with unilateral HL in their early 20’s. Right ear was affected in II:1, II:5, whereas left ear was affected in II:2. All of them had undergone stapedotomy in the affected ears. The audiograms of II:1 and II:5 aged over 80 years showed profound HL in the unoperated ears (Fig. 1). Four members in the third generation (III:3, III:7, III:9, and III:16) were also presented with unilateral HL in their early 20’s. All of them have experienced HL in the left ear, and hearing was restored after stapedotomy. Forty years after surgery, III:3 showed severe mixed HL and III:16 showed mild conductive HL in the unoperated ears respectively (Fig. 1).

One of the affected females in the third generation (III:11) was diagnosed with otosclerosis during her pregnancy at the age of 25. Conductive HL was experienced in the left ear during the second trimester of G1P0. One year post-partum G1P1, she had mixed HL in the left ear and stapedotomy was performed to restore hearing. Hearing was normal in right ear at that time, however, HL progressed to the right ear also in her late 50’s (Fig. 1) and she underwent surgery in that ear in order to reinstate hearing.

Two individuals (IV:11 and IV:12) in the fourth generation were presented with the symptoms of HL at the age of 35 and 39, respectively. Both of them had undergone surgery; IV:11 in left ear and IV:12 in right ear (Fig. 1).

In addition, thirteen descendants of the ancestral parents were evaluated for hearing threshold. As shown in Fig. 1, the audiometric profiles of four individuals (III:5, III:6, III:17,
and III:18) indicated different degree of hearing impairment. The individuals III:5, III:17, and III:18 showed mild conductive HL in right ear, and III:6 showed moderate mixed HL in left ear and severe mixed HL in right ear. The other nine individuals showed normal hearing threshold at the time of testing.

The pedigree was constructed for the five-generation family with 112 members of which 17 were affected with otosclerosis. The affected individuals included 11 males and 6 females. As shown in the pedigree (Fig. 2), the affected female in the first generation had one affected male, three affected females, and one unaffected female offspring. The affected male had consanguineous marriage with an unaffected female, and did not have any affected offspring. The remaining two affected females had three affected offspring each in the third generation. Interestingly, the unaffected female had four affected offspring. One of the affected offspring (III:7) had two affected offspring in the fourth generation also (IV:11 and IV:12). Remaining individuals in the fourth generation and all the individuals in the fifth generation have not yet attained the age to show the symptoms of otosclerosis.

**Discussion**

Otosclerosis is an autosomal dominant disease, however, in some cases, the defective gene may not lead to the disease due to reduced penetrance [10,11]. Genome-wide linkage studies demonstrated that otosclerosis is heterogenetic nature. The first locus for otosclerosis (OTSC1) was located on chromosome 15q in a South Indian population [12]. Subsequently, OTSC2, OTSC3, OTSC4, OTSC5, OTSC7, OTSC8, and OTSC10 located on chromosome 7q, 6p, 16q, 3q, 6q, 9q,
and 1q were reported from other populations. In addition, OTSC6 and OTSC9 were identified, but their chromosomal locations are not known [13]. Despite that 10 loci were reported, candidate genes for otosclerosis are yet to be identified. Studies on the association between otosclerosis and the genes involved in bone metabolism pathway such as transforming growth factor β (TGFβ), reelin (RELN), noggin (NOG), collagen type alpha 1 and 2 (COL1A1 and COL1A2) remain inconclusive [14].

Otosclerosis causes a significant disability in both personal and professional lives at a young age. The affected individuals seek medical advice only when the hearing threshold is reduced to a greater extent. Occurrence of the disease varies from individual to individual with age, gender, ethnicity, and lifestyle. Normally audiometry is used for clinical diagnosis of otosclerosis, however, being a familial disorder, a thorough family history should be collected and pedigree must be constructed with ascertainment of cases [15]. The family pedigree greatly helps the clinician in early diagnosis and better disease management for the individuals with suggestive otosclerotic audiometric profile.

In the current study, a large five-generation family was investigated for clinical and genetic aspects. In this family, bilateral HL predominantly started in the left ear and progressed to the right ear. Generally, otosclerosis occurs more often in females than males due to pregnancy-induced aggravation of the disease. However, in the third generation of the family analysed in this study, more number of males were affected than females because of skewed gender ratio in this generation. While the affected individuals were in their early

| No | Code | Age | Age of diagnosis/surgery | Pure tone audiometry | Tympanometry | Acoustic reflex | OAE | Surgery |
|----|------|-----|--------------------------|----------------------|--------------|----------------|-----|---------|
|    |      |     |                          | Left                | Right        | left/right     | Left /right |         |
| 1  | I:1  | Deceased | NA                      | NA                  | NA           | NA             | NA   | NA      |
| 2  | II:1 | 82    | 19/22                    | Profound HL         | Moderate to moderate to mixed HL | A   | A   | -/-    | -/-   | Right   |
| 3  | II:2 | Deceased | 1/20                    | NA                  | NA           | NA             | NA   | NA      | Left   |
| 4  | II:3 | Deceased | NA                      | NA                  | NA           | NA             | NA   | NA      | NA     |
| 5  | II:5 | 80    | 20/21                    | Moderate SN HL      | Moderate SN HL | As  | C   | -/-    | -/-   | Right   |
| 6  | III:3 | 68   | 22/23                    | Moderate SN HL      | Severe mixed HL | A   | A   | +/-   | -/-   | Left    |
| 7  | III:5 | 63   | 63/6                    | Mild mixed HL       | Severe mixed HL | A   | A   | +/-   | +/-   | -       |
| 8  | III:6 | 60   | 60/6                    | Moderate mixed HL   | Severe mixed HL | A   | A   | -/-   | -/-   | -       |
| 9  | III:7 | 62   | 25/58                    | NA                  | NA           | A   | A   | NA    | NA    | Left    |
| 10 | III:8 | 64   | 34/56                    | Moderate to Severe SN HL | Severe mixed HL | A   | A   | Ad    | -/-   | Left    |
| 11 | III:9 | 61   | 34/34                    | NA                  | NA           | NA   | NA   | NA    | NA    | Left    |
| 12 | III:11 | 54  | 25/[27 (L) & 52 (R)]    | Mild conductive HL  | Mild conductive HL | As  | As  | NA    | NA    | Both ears |
| 13 | III:16 | 61  | 33/35                    | Mild conductive HL  | Severe mixed HL | A   | A   | -/-   | -/-   | Left    |
| 14 | III:17 | 58  | 58/-                     | Normal              | Moderate conductive HL | B   | A   | +/-   | +/-   | -       |
| 15 | III:18 | 56  | 56/-                     | Normal              | Moderately severe conductive HL | A   | A   | +/-   | +/-   | -       |
| 16 | IV:11 | 44   | 34/34                    | Mild SN HL          | Severe mixed HL | A   | A   | -/-   | -/-   | Left    |
| 17 | IV:12 | 42   | 39/39                    | Mild conductive HL  | Mild conductive HL | As  | As  | NA    | NA    | Right   |

HL: hearing loss, SN: Sensorineural, +: present, -: absent, NA: not available, OAE: otoacoustic emission tests
Fig. 2. Pedigree of the five-generation family with otosclerosis analysed for this study. Generation is given in Roman numeral followed by the ID of the person in Arabic numeral. Age of the individual at the time of study is mentioned in Arabic numeral followed by ‘y’. Individuals who have undergone stapedotomy (in one ear*) or in both ears**( ) and audiometric analysis ( ) are marked in the pedigree. Male, Female: affected individuals, deceased individuals. The arrow mark indicates the proband (III-8).

Acknowledgments

The authors have no financial conflicts of interest.

Conflicts of interest

This study was financially supported by SRM-DBT Partnership, Ministry of Science and Technology, Govt. of India, under the National Biotechnology Mission (Order No. BT/PR/626/2005). The authors have no financial conflicts of interest.
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