MaxMatch-Dropout: Subword Regularization for WordPiece

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Abstract

We present a subword regularization method for WordPiece, which uses a maximum matching algorithm for tokenization. The proposed method, MaxMatch-Dropout, randomly drops words in a search using the maximum matching algorithm. It realizes fine-tuning with subword regularization for popular pretrained language models such as BERT-base. The experimental results demonstrate that MaxMatch-Dropout improves the performance of text classification and machine translation tasks as well as other subword regularization methods. Moreover, we provide a comparative analysis of subword regularization methods: subword regularization with SentencePiece (Unigram), BPE-Dropout, and MaxMatch-Dropout.

1 Introduction

Subword regularization (Kudo, 2018) is a well-known technique for improving the performance of NLP systems, whereby a model is trained with various tokenizations that are sampled for each training epoch. This approach provides data augmentation and model robustness against tokenization differences.

Kudo (2018) first introduced subword regularization using a unigram language model that was included in their tokenization tool, namely SentencePiece (Kudo and Richardson, 2018), and reported its effectiveness on machine translation tasks. Provilkov et al. (2020) proposed a subword regularization method for byte pair encoding (BPE) known as BPE-Dropout and demonstrated the superiority of their method over that using the unigram language model in machine translation tasks. Moreover, subword regularization contributes to the performance improvement of text classification tasks (Hiraoka et al., 2019).

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As subword regularization is implemented as a modification of a tokenizer, each method is specialized to a particular tokenizer type. For example, the original subword regularization (Kudo, 2018) is specialized to a tokenizer that uses the unigram language model and BPE-Dropout is specialized to the BPE-based tokenizer. However, these existing subword regularization tools cannot be directly applied to the other common tokenizers such as WordPiece (Song et al., 2021).

WordPiece is a tokenizer that is based on the maximum matching algorithm. It is used as the default tokenizer for the popular pretrained language model BERT (Devlin et al., 2018). Although the widely used BERT models (e.g., BERT-base) can improve the performance of various NLP tasks, subword regularization cannot be used for the fine-tuning of the model because no subword regularization method exists for WordPiece. The use of subword regularization for the fine-tuning of pretrained models with WordPiece may result in an improved performance.

In this paper, we present a simple modification of WordPiece for the use of subword regularization. The proposed method, which is known as MaxMatch-Dropout, randomly drops words in a vocabulary during the tokenization process. That
3 Proposed Method: MaxMatch-Dropout

The proposed method extends the maximum matching with an additional dropout process. This method randomly replaces accepting states into non-accepting states with dropped states. That is, accepting tokens are randomly skipped with a specified probability $q$, where $q$ is a hyperparameter.

Figure 1 depicts the tokenization process of a word “word” with a vocabulary that includes \{w, o, r, d, or, rd, word\}. Although the maximum matched subword beginning with the first character is “word” in the vocabulary, in this case, the state corresponding to “word” is dropped. Thus, the latest accepted subword “w” is yielded and the next matching begins from the second character. Finally, the tokenization process results in “w, or, d.”

This process is also outlined in Algorithm 1 ³. In the algorithm, $w_{i,i+j}$ denotes a subword beginning from the $i$-th character and ending with the $(i+j-1)$-th character in the word $w$, where $|w|$ and $|s|$ are the lengths of the input word and subword, respectively. Moreover, $Ber(1 - q)$ denotes a Bernoulli distribution that returns 1 with a probability of $1 - q$.

The tokenization process of MaxMatch-Dropout is detailed in Table 6 of Appendix A. The difference between MaxMatch-Dropout and the original maximum matching can be observed by comparing Tables 5 and 6.

The regularization strength can be tuned using the hyperparameter $q$. The proposed method is equivalent to the original maximum matching with $q = 0.0$, and it tokenizes a word into characters with $q = 1.0$ if all characters are included in the vocabulary.

The official code is available at https://github.com/tatHi/maxmatch_dropout.

4 Experiments

We conducted experiments on text classification and machine translation tasks to validate the performance improvement provided by MaxMatch-Dropout.

We used two tokenizers and subword regularization methods as a reference for both tasks: SentencePiece (Unigram) (Kudo and Richardson, 2018) with subword regularization (Sub. Reg.) (Kudo, 2021).
Table 1: Experimental results of text classification (averaged scores of five runs). The higher scores for the tokenizations with/without subword regularization are indicated in bold. The scores that significantly surpassed the results without subword regularization \((p < 0.05, \text{McNemar’s test})\) are underlined.

| \(|V|\) | English | Korean | Japanese |
| --- | --- | --- | --- |
| Metric | APG | APR | TS | QNLI | QQP | RTE | SST-2 | NLI | STS | YNAT | TR | WRIME |
| 32K | F1 | 69.05 | 65.85 | 76.21 | 66.48 | 83.61 | 53.31 | 83.30 | 42.84 | 68.08 | 73.67 | 87.11 | 49.47 |
| 24K | F1 | 70.65 | 66.80 | 77.49 | 65.66 | 83.91 | 52.07 | 78.10 | 39.96 | 67.75 | 62.44 | 87.11 | 49.47 |
| 16K | F1 | 67.10 | 64.67 | 75.24 | 67.11 | 82.82 | 53.07 | 78.10 | 41.22 | 67.42 | 64.27 | 84.95 | 44.34 |

**Table 12 in the Appendix presents tokenization examples for each tokenizer.**

The GLUE benchmark \((Wang et al., 2018)\). NLI, STS, and YNAT are text classification datasets that are included in Korean GLUE (KLUE) \((Park et al., 2021)\). TR \((Suzuki, 2019)\) and WRIME \((Kajiwara et al., 2021)\) are sentiment classification datasets for tweets in Japanese. We used the original development sets as test sets and exploited a randomly selected 10% of the original training sets as development sets for the datasets in GLUE and KLUE owing to the numerous experimental trials.

**Setup** We used two backbones for the text classification: BiLSTM \((Hochreiter and Schmidhuber, 1997; Graves and Schmidhuber, 2005)\) and BERT \((Devlin et al., 2018)\). We employed BERT-base-cased\(^6\), BERT-kor-base\(^7\)\((Kim, 2020)\), and BERT-base-Japanese-v2\(^8\) for the English, Korean, and Japanese datasets, respectively. All of these BERT models employ WordPiece as their tokenizers, and we finetuned them using MaxMatch-Dropout. We set the maximum number of training epochs to 20 for BiLSTM and the finetuning epochs to 5 for BERT. The trained model with the highest score in the development split was selected and evaluated on the test split. We selected the vocabulary sizes according to the performance on the development splits when using WordPiece without MaxMatch-Dropout. The selected vocabulary sizes were applied to all tokenizers.

**Results** Table 1 presents the experimental results for the text classification. The table demonstrates...
strates that MaxMatch-Dropout (MM-Dropout) improved the performance as well as the other subword regularization methods. In addition to the improvement in the BiLSTM-based classifiers, MaxMatch-Dropout enhanced the performance of the BERT-based classifiers. These results indicate that MaxMatch-Dropout is a useful subword regularization method for WordPiece as well as effective for BERT.

4.2 Machine Translation

Datasets We employed three language pairs for the machine translation tasks: the De-En, Vi-En, and Zh-En pairs from the IWSLT corpora. We selected these datasets because subword regularization is particularly efficient in low-resource environments (Kudo, 2018; Hiraoka et al., 2021; Takase et al., 2022).

Setup We applied the Transformer (Vaswani et al., 2017), which was implemented by Fairseq (Ott et al., 2019), for the IWSLT settings. We trained the model with 100 epochs and averaged the parameters of the final 10 epochs. We evaluated the performance on the Chinese dataset using character-level BLEU. Following Provilkov et al. (2020), we set the vocabulary size to 4K for English, German, and Vietnamese, and 16K for Chinese.

Results Table 2 displays the experimental results for the machine translation. The table demonstrates that MaxMatch-Dropout improved the performance in all language pairs. The results indicate that the proposed method is effective for machine translation as well as existing subword regularization methods.

Figure 2: Performance differences with and without subword regularization against hyperparameters and for different languages on text classification datasets. MM-D, SP, and BPE-D denote MaxMatch-Dropout, SentencePiece (Unigram), and BPE-Dropout, respectively.

5 Discussion

5.1 Effect of Hyperparameters

Figure 2 depicts the averaged performance improvement over several text classification datasets against different hyperparameters. The figure indicates that the subword regularization of SentencePiece (Unigram) was the most robust against the hyperparameters among the three methods. Although both BPE-Dropout and MaxMatch-Dropout could realize subword regularization using the dropout technique for the tokenization strategy, MaxMatch-Dropout was more robust against the hyperparameters than BPE-Dropout. This result demonstrates that a performance improvement can be achieved in WordPiece-based systems using MaxMatch-Dropout with approximately selected hyperparameters (e.g., $p < 0.5$).

Figure 2 also shows the averaged performance on the datasets in each language against the hyperparameters of MaxMatch-Dropout (dashed lines). It can be observed that MaxMatch-Dropout was more effective for Asian languages than English. It is considered that this is because Korean and Japanese contain various types of n-grams and many tokenization candidates exist for a single sentence compared to English.

5.2 Token Length

In this subsection, we analyze the token length in the sampled tokenizations. We sampled the tokenization of the training dataset (APG) with three subword regularization methods and counted the token lengths for 10 trials.

Figure 3 presents the frequency of token lengths
in the tokenized training datasets with/without subword regularization. The figure indicates that the length frequency did not change, regardless of the use of subword regularization, when SentencePiece (Unigram) was applied. In contrast, both MaxMatch-Dropout (MM-D) and BPE-Dropout (BPE-D) yielded many characters when the hyperparameter was 0.5, because they are based on the token-level dropout and yield characters when the hyperparameter is 1.0. However, the frequency curve of MaxMatch-Dropout was gentler than that of BPE-Dropout. We believe that this tendency aided in the robustness of the MaxMatch-Dropout performance, as reported in Section 5.1.

6 Conclusion

We have introduced a subword regularization method for WordPiece, which is a common tokenizer for BERT. The proposed method, MaxMatch-Dropout, modifies the tokenization process using the maximum matching to drop words in the vocabulary randomly. This simple modification can realize subword regularization for WordPiece. Furthermore, the experimental results demonstrated that MaxMatch-Dropout can improve the performance of BERT. MaxMatch-Dropout is also effective in the training of text classification tasks without BERT and machine translation tasks, as well as existing subword regularization methods.

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A Maximum Matching in Detail

As described in Section 2, a trie is generally used to tokenize an input word with the maximum matching algorithm. Figure 4 depicts the trie corresponding to the vocabulary that includes six tokens: \{a, b, c, d, abc, bcd\}. The tokenization process using this trie for the input words “abcd” and “abce” is presented in Tables 3 and 4, respectively.

Table 6 details the operation for tokenizing an input word “word” into “w, or, d” using the proposed MaxMatch-Dropout, as outlined in Section 3. Table 5 describes the tokenization process using the original maximum matching for Figure 1 without the dropout process. Therefore, the difference in the tokenization process between the original maximum matching and MaxMatch-Dropout can be observed by comparing Tables 5 and 6.

B Related Work

This work is related to tokenization methods, which split raw texts into a sequence of tokens. Three well-known tokenization methods have been employed in recent NLP systems: SentencePiece (Unigram) (Kudo and Richardson, 2018), BPE (Sennrich et al., 2016), and WordPiece (Song et al., 2021). SentencePiece (Unigram) is a unigram language model-based tokenizer, whereas BPE employs a frequency-based tokenization technique. Although both methods are used extensively in many NLP systems, Bostrom and Durrett (2020) reported that the unigram language model-based tokenizer (i.e., SentencePiece (Unigram)) is superior to BPE in several downstream tasks. Our experimental results in Tables 1 and 2 also support this finding.

WordPiece is another famous tokenizer that is mainly employed by large pretrained models such as BERT (Devlin et al., 2018). As WordPiece is based on the maximum matching algorithm, it is superior to other tokenization methods in terms of the tokenization speed. In fact, WordPiece is employed in real NLP systems such as Google searching (Song et al., 2021). However, the experimental results in this study (Table 1 and 2) demonstrated that WordPiece is inferior to SentencePiece (Unigram) and BPE in terms of performance. The proposed method can compensate for this shortcoming without decreasing the inference speed.

Kudo (2018) introduced a subword regularization technique for SentencePiece (Unigram) using dynamic programming. Provilkov et al. (2020) proposed a subword regularization method for BPE using the dropout technique. Niu et al. (2020) inves-
operators for tokenizing input “word” using trie for MaxMatch-Dropout shown in Figure 1. “$” denotes a special symbol indicating the end of the word.

tigates these two methods in machine translation. This study has introduced a subword regularization method for WordPiece, and presented an in-depth investigation of the three methods in text classification and machine translation.

C Contributions

This study contributes to the NLP community in terms of the following two main points:

- A subword regularization method for WordPiece is proposed, which improves the text classification and machine translation performance.

- An intensive performance investigation of the three famous tokenization and subword regularization methods used in NLP (i.e., SentencePiece (Unigram), BPE, and WordPiece with subword regularization) is presented.

D Dataset Statistics

Table 7 displays the detailed information of the datasets. We report the numbers of samples in the training, development, and test splits. Furthermore, we present the number of label types for text classification datasets.

E Detailed Experimental Settings

Tables 8 and 9 present the detailed settings of the backbone models that were used in text classification and machine translation tasks, respectively. We used the default values of PyTorch for the hyperparameters that are not described in these tables. We set the number of tokenization candidates to $\infty$ for the subword regularization of SentencePiece (Unigram).

| Dataset | Train | Dev. | Test | Labels |
|---------|-------|------|------|--------|
| English Text Classification |
| APG    | 96,000 | 12,000 | 12,000 | 24 |
| APR    | 96,000 | 12,000 | 12,000 | 5 |
| TS     | 80,000 | 10,000 | 10,000 | 2 |
| QNLI   | 188,536 | 10,475 | 5,463 | 2 |
| QQP    | 327,461 | 36,385 | 40,430 | 2 |
| RTE    | 2,241 | 249 | 277 | 2 |
| SST-2  | 60,614 | 6,735 | 872 | 2 |
| Korean Text Classification |
| NLI    | 22,498 | 2,500 | 3,000 | 3 |
| STS    | 10,501 | 1,167 | 519 | 2 |
| YNAT   | 41,110 | 4,568 | 9,107 | 7 |
| Japanese Text Classification |
| TR     | 129,747 | 16,218 | 16,219 | 3 |
| WRME   | 30,000 | 2,500 | 2,500 | 5 |
| Machine Translation |
| DeEn   | 160,239 | 7,283 | 6,750 | - |
| ViEn   | 130,933 | 768 | 1,268 | - |
| ZhEn   | 209,941 | 887 | 1,261 | - |

Table 7: Statistics of datasets.

| Parameter | BiLSTM | BERT |
|-----------|--------|------|
| Embedding Size | 64 | 768 |
| BiLSTM/BERT Hidden Size | 256 | 768 |
| # of BiLSTM/BERT Layers | 1 | 12 |
| Dropout Rate | 0.5 | 0.1 |
| Optimizer | Adam | AdamW |
| Learning Rate | 0.001 | 0.00002 |

Table 8: Overview of hyperparameters for backbone models of text classification tasks.

We selected the hyperparameters for the subword regularization methods (the smoothing parameter for SentencePiece (Unigram) and the dropout probabilities for BPE-Dropout and MaxMatch-Dropout) according to the performance on the development splits in the experiments. Tables 10 and 11 summarize the selected values of the hyperparameters for the text classification and machine translation, respectively. Note that the other methods without subword regularization (Unigram, BPE, and WordPiece) do not require these hyperparameters.

| Transformer | Parameter | Value |
|-------------|-----------|-------|
| Transformer | Enc/Dec Embedding Size | 512 |
| Transformer | Enc/Dec FFN Embedding Size | 1,024 |
| Transformer | # of Enc/Dec Attention Heads | 4 |
| Transformer | # of Enc/Dec Layers | 6 |
| Transformer | Clipping Norm | 0.0 |
| Transformer | Dropout Rate | 0.3 |
| Transformer | Weight Decay | 0.00001 |
| Transformer | Max Tokens for Mini-Batch | 1,000 |
| Transformer | Optimizer | Adam |
| Transformer | $\beta_1$ and $\beta_2$ for Adam | 0.9, 0.98 |
| Transformer | Learning Rate | 0.0005 |
| Transformer | Learning Rate Scheduler | Inverse Square Root |
| Transformer | Warming-Up Updates | 4,000 |

Table 9: Overview of hyperparameters for backbone model of machine translation tasks.
|             | English | Korean | Japanese |
|-------------|---------|--------|----------|
|             | APG     | APR    | TS       | QNLI | QQP | RTE | SST-2 | NLI | STS | YNAT | TR | WRIME |
| **BILSTM**  |         |        |          |      |     |     |        |     |     |       |    |        |
| Unigram+Sub. Reg. | 0.2 | 0.2 | 0.2 | 0.6 | 0.9 | 0.3 | 0.2 | 0.9 | 0.3 | 0.4 | 1.0 |        |
| BPE-dropout  | 0.2     | 0.2   | 0.4   | 0.1   | 0.1 | 0.1 | 0.3   | 0.3 | 0.2 | 0.3 | 0.5 | 0.2 |
| MaxMatch-dropout | 0.2 | 0.3 | 0.6 | 0.1 | 0.1 | 0.1 | 0.3 | 0.4 | 0.4 | 0.2 | 0.3 | 0.4 | 0.6 |
| **BERT**    |         |        |          |      |     |     |        |     |     |       |    |        |
| MaxMatch-Dropout | 0.6 | 0.4 | 0.2 | 0.1 | 0.1 | 0.1 | 0.3 | 0.5 | 0.4 | 0.5 | 0.4 | 0.5 |

Table 10: Selected hyperparameters for subword regularization methods in text classification tasks.

|                     | English | Korean | Japanese |
|---------------------|---------|--------|----------|
|                     | IWSLT14 | IWSLT15|
|                     | DeEn | EnDe | ViEn | EnVi | ZhEn | EnZh |
| Unigram + Sub. Reg. | 0.3 | 0.3 | 0.4 | 0.3 | 0.2 | 0.2 |
| BPE-Dropout         | 0.1 | 0.2 | 0.2 | 0.2 | 0.3 | 0.2 |
| MaxMatch-Dropout    | 0.3 | 0.3 | 0.4 | 0.1 | 0.1 | 0.2 |

Table 11: Selected hyperparameters for subword regularization methods in machine translation tasks. The selected hyperparameters were used for the subword regularization of both the source and target languages.

| Hyperparameter | Trial | Unigram+Sub. Reg. | BPE-Dropout | MaxMatch-Dropout |
|----------------|-------|-------------------|-------------|-----------------|
| 0.1            |       | characteristics  | characteristics | characteristics |
| 2              |       | character_s      | characteristics | characteristics |
| 3              |       | characteristics  | characteristics | characteristics |
| 4              |       | character_s      | characteristics | characteristics |
| 5              |       | characteristics  | characteristics | characteristics |
| 0.5            |       | characteristics  | c_har_ac_ter_istics | characteristic_s |
| 2              |       | characteristics  | char_ac_ter_istics | characteristic_s |
| 3              |       | characteristics  | char_ac_ter_istics | characteristic_s |
| 4              |       | character_s      | character_i_st_ics | characteristics |
| 5              |       | characteristics  | c_har_a_c_t_er_i_st_ics | characteristic_s |
| 0.9            |       | characteristics  | c_har_a_c_t_er_i_st_ics | character_i_st_ics |
| 2              |       | characteristics  | c_har_a_c_t_er_i_st_ics | character_i_st_ics |
| 3              |       | c_h_ar_a_c_t_er_i_s_t_i_e_s | c_har_a_c_t_er_i_st_ics |
| 4              |       | c_h_ar_a_c_t_er_i_s_t_i_e_s | c_har_a_c_t_er_i_st_ics |
| 5              |       | c_h_ar_a_c_t_er_i_s_t_i_e_s | c_har_a_c_t_er_i_st_ics |

Table 12: Examples of tokenized words using three methods with different hyperparameters for five trials. "_" indicates token boundaries. The vocabularies for each method were constructed using the APG dataset. Sampled tokenizations that differed from the original tokenizations without subword regularization are indicated in bold. We removed special symbols indicating the beginning or middle of words such as “##” for simple explanation.