Ultra-high modulation depth exceeding 2,400% in optically controlled topological surface plasmons

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Modulating light via coherent charge oscillations in solids is the subject of intense research topics in opto-plasmonics. Although a variety of methods are proposed to increase such modulation efficiency, one central challenge is to achieve a high modulation depth (defined by a ratio of extinction with/without light) under small photon-flux injection, which becomes a fundamental trade-off issue both in metals and semiconductors. Here, by fabricating simple micro-ribbon arrays of topological insulator Bi2Se3, we report an unprecedentedly large modulation depth of 2,400% at 1.5 THz with very low optical fluence of 45 μJ cm−2. This was possible, first because the extinction spectrum is nearly zero due to the Fano-like plasmon–phonon-destructive interference, thereby contributing an extremely small denominator to the extinction ratio. Second, the numerator of the extinction ratio is markedly increased due to the photoinduced formation of massive two-dimensional electron gas below the topological surface states, which is another contributor to the ultra-high modulation depth.
Ultrafast optical control of plasmon provides a potential route towards novel high-speed active photonic devices. For this reason, considerable efforts have been devoted to finding the best material for controlling plasmons. Conventionally, noble metals have been regarded as representative plasmonic materials, because there exist plenty of charges for the collective excitations. However, optical controllability of plasmons in metals is significantly lower due to the small modulation depth and requirement of large amount of power from the control pulse. In contrast, the plasmonic response in semiconductors can be easily modulated by the optical pulse due to creation of large number of photo-generated carriers. For example, a recent measurement on narrow-gap semiconductor indium arsenide (InAs) demonstrated a very high plasmonic modulation depth. Despite such improvement, optical modulation of plasmons in semiconductors is still limited by the photo-generated carrier density, which means that a powerful laser source is inevitably necessary to achieve a higher modulation depth. Thus, semiconductor-based plasmons inherently require operating only in the strongly photoexcited regime, which significantly restricts the optoelectronic functionalities.

Three-dimensional topological insulators (TIs) are novel electronic systems, where semiconductor-like states in bulk coexist with metallic Dirac states on surfaces, as illustrated in Fig. 1a. Unlike conventional heterostructure systems, the metal-semiconductor coexistence is naturally formed in the TIs without hetero-epitaxial growth or artificial stacking of two dissimilar phases of materials. Thus, it should be an ideal material for achieving ultrafast active plasmonics, because both metallic and semiconducting behaviours can be fully exploited. Recent works have demonstrated that the surface Dirac plasmons (red line in Fig. 1a) can be excited in the metallic topological surface state (TSS) in the terahertz (THz) and optical range. Especially, Di Pietro et al. demonstrated the frequency-tunable topological Dirac plasmons, which exhibit outstanding robustness of the TSS against temperature variations. Furthermore, as illustrated in the left panel of Fig. 1b, they found that the unique spectral lineshape arises from the interaction of plasmon with a 1.9-THz phonon, which provides a potential degree of freedom for realizing the optically tunable active plasmonics. No experimental studies exist to investigate such ultrafast controllability of plasmons in TIs. More importantly, it remains quite elusive how the large optical absorption in semiconductor-like bulk would affect the surface plasmon and the plasmon–phonon interaction.

In this work, we explore the ultrafast optical modulation of plasmons in a micro-ribbon array of TI Bi$_2$Se$_3$ by using time-resolved optical pump THz-probe spectroscopy. Under optical modulation pulse injection (see Fig. 1b), the surface plasmon frequency is shifted beyond the phonon frequency in the THz range, which significantly changes the extinction spectra. As a result, an unprecedented, giant modulation depth up to 2,400% is obtained with very low fluence of optical control pulse (45 μJ cm$^{-2}$). Our theoretical calculations show that the plasmon frequency shift arises from the photo-doping of the non-topological two-dimensional electron gas (2DEG) formed due to downward bending of the bulk bands near the surface. Unlike conventional semiconductor-based plasmonics, various species of quantum states, such as Dirac electrons, massive 2DEG and semiconductor-like bulk, which together with plasmon–phonon interference lead to dynamic spectral modulation in TIs, provide a novel platform for controlling plasmons.

Results

Plasmon response without control pulse injection. Before exploring the ultrafast optical modulation of plasmons in the TI, it is instructive to understand the microscopic origin of the spectral response without the optical control pulse. Figure 2 shows the THz extinction spectra of our Bi$_2$Se$_3$ micro-ribbon thin-film array with thickness of 100 quintuple layers (QLs; 1 QL = 1 nm) (see inset of Fig. 2 for the sample image and Methods for experimental details and sample preparation). The ribbon-width $W$ and array period 2$W$ are chosen as 15 and 30 μm, respectively, to locate the plasmon frequency in our spectral window of 1.1–3 THz, which is based on the calculation of the plasmon frequency (see below). The measured spectrum closely resembles a prior work, showing a dip near 1.5 THz (indicated by a blue arrow) and a peak at 2.1 THz. Following Di Pietro et al., we understand this response as a result of the Fano-type interference between the relatively broad plasmon response and the narrow phonon peak, as revealed by the fit (black solid line) of the plasmon–phonon interaction model to the data (see Methods for details of the plasmon–phonon interaction model). From the fitting analysis, we can extract the
bare plasmon response centred at 1.58 THz (red curve) and the phonon frequency of 1.87 THz (grey curve), both of which agree well with the previous measurements\textsuperscript{10,23} (all fitting parameters are presented in Supplementary Table 1). For further justification of our analysis, we compare the measured plasmon frequency with the following theoretical model developed by Stauber et al.\textsuperscript{16,27},

\[
\nu_{\text{plasmon}} = \left. \frac{1}{2\pi} \sqrt{\frac{q^2 E_0^2 \left( \nu_{\text{TT}}(E_{\text{F}}, E_{\text{F}}, E_{\text{B}}) + \nu_{\text{TT}}(E_{\text{F}}, E_{\text{B}}) + \nu_{\text{TT}}(E_{\text{F}}, E_{\text{B}}) + \sqrt{R} \right)}{8\pi\nu_0 \hbar^2 \left( \nu_{\text{TT}}(E_{\text{F}}, E_{\text{B}}) + \nu_{\text{TT}}(E_{\text{F}}, E_{\text{B}}) + \sqrt{R} \right)}} \right) \!
\]

(1)

with

\[
E_{\text{F},i} = E_{\text{F},i}^{\text{Dirac}} + 4E_{\text{F},i}^{\text{2DEG}}(i = T, B),
\]

(2)

where \( R = \frac{E_{\text{F},i}^{\text{Dirac}}(E_{\text{F},i} + E_{\text{F},i})}{2\nu_{\text{TT}}(E_{\text{F},i} + E_{\text{B}}) + \nu_{\text{TT}}(E_{\text{B},i} + E_{\text{B}}) + \nu_{\text{TT}}(E_{\text{F},i} + E_{\text{B}})} \). The pre-factor ‘4’ of plasmon frequency, \( e \), originates from the spin-degeneracy and the quadratic energy-phonon frequency of 1.87 THz (grey curve), both of which agree with the optical control pulse gives rise to an increase in the extinction coefficient in this transparent region. The extinction coefficient in this transparent region.

Ultra-high modulation depth of topological surface plasmon.

With this understanding of the extinction spectrum, we present the optical modulation of plasmonic response in the TI. The modulation depth of probe extinction is defined as

\[
\frac{E_{\text{pumped}} - E_{\text{no pump}}}{E_{\text{no pump}}} \times 100, \quad (3)
\]

where \( E_{\text{pumped}}(E_{\text{no pump}}) \) is extinction coefficient with (without) the optical probe–thz-pump spectroscopy. Polarisations of optical modulation pump (1.55 eV, 50 fs) and THz probe are 45° and perpendicular to the ribbon axis, respectively. (b) Transient extinction spectra (black circles) are displayed for several pump–probe time delays (\( \Delta t \)) at 78 K with 45 μJ/cm² of optical excitation fluence. Red lines and grey vertical lines indicate the bare plasmon resonance and the phonon frequency, respectively, which are extracted from the plasmon–phonon interaction model (black lines). (c, d) Time-resolved dynamics of plasmon resonance frequency (c) and linewidth (d). The error bars represent 95% confidence intervals for the fitting parameters.

We keep the THz probe-field polarization perpendicular to the ribbon axis for the plasmon excitation. We first discuss the overall feature of the time-resolved THz dynamics under optical pulse injection. Figure 3b shows the measured transient extinction spectra (black dots) and the fits using the plasmon–phonon interaction model (black line) for several pump–probe time delays (\( \Delta t \)). Corresponding bare plasmon responses (red lines) and phonon frequencies (vertical grey line) are also displayed (see Supplementary Note 1 for all fitting parameters and related discussions). We see that the plasmon resonance is largely blueshifted beyond the phonon frequency at \( \Delta t = 5 \) ps, giving rise to the characteristic changes in the transient extinction lineshapes, where the increased spectral amplitudes near 1.5 THz and 2.2–2.5 THz are clearly visible. The transient plasmon frequencies and linewidths are displayed in Fig. 3c,d, respectively. The time-dependent dynamics of these two parameters exhibit very different timescales; the maximum plasmon frequency occurs at \( \Delta t = 5 \) ps, while the linewidth has already recovered to the unexcited value by that time. Such behaviour provides a practical merit, because the plasmon can be shifted without broadening even under optical injection, suggesting that the efficient spectral modulation is possible under non-equilibrium condition. By comparing the THz dynamics of the micro-ribbon arrays with that of unpatterned Bi₂Se₃ samples, we found that the transient plasmon frequencies follow the dynamics of the photo-generated carrier population, while the linewidths follow the trend of effective surface electronic temperature (see Supplementary Note 2 and Supplementary Figure 2 and 3 for a detailed discussion on this issue).

Our key experimental observation is shown in Fig. 4a. There, we obtained an extremely large modulation depth of 2,400%. This
Plasmon modulation at room temperature. One of important benefits of the Dirac plasmons in TIs is the robustness of the TSS against temperature variations, which was confirmed by a recent study\cite{20}, the extinction lineshape of the TSS plasmon remains virtually unchanged in the range of 6–300 K. This is one distinct characteristics of TIs compared with other non-topological plasmonic materials\cite{20,24}. In the above section, we have discussed the unprecedented large plasmonic modulation depth at 78 K. With considering the robust TSS against temperature, one can expect that the modulation depth will still be large at room temperature.

We performed the same experiment at 300 K without changing any experimental conditions. Figure 5 displays the extinction spectra and the corresponding modulation depth at \( \Delta t = 5 \) ps. For the reference spectrum without photoexcitation (Fig. 5a), the lineshape closely resembles the one measured at 78 K (Fig. 2), as expected. In particular, the spectral dip at 1.5 THz is still observable owing to the robustness of TSS. This temperature-insensitive property of Dirac plasmon provides an experimental testimony for the high modulation depth at room temperature. For the extinction spectrum under control pulse excitation (Fig. 5b), the plasmon centre is shifted to a higher frequency (red line). Notable is that the amount of plasmon shift is almost unchanged even though the temperature is increased (the origin of the pump-induced plasmon shift is discussed in the next section). This, together with the spectral dip at 1.5 THz in Fig. 5a, gives rise to the large modulation depth, as shown in Fig. 5c, where the maximum modulation depth (~2,400%) is still much larger than other non-topological materials\cite{3,5,10}. Note that this value is somewhat smaller than the data at 78 K (~2,400%). This is because the background scattering is increased (see Supplementary Tables 1 and 3), and consequently the denominator of equation (3) \((E_{\text{no pump}}/C_0)\) is also increased.

Figure 5 | Plasmon modulation at room temperature (300 K).
(a) Extinction spectrum without control pulse injection (black circles). (b) Transient extinction spectrum (black circles) at \( \Delta t = 5 \) ps, under optical excitation with optical pump fluence of 45 \( \mu \)J cm\(^{-2}\). The red and grey vertical line is the bare plasmon resonance and the phonon frequency, respectively. (c) Corresponding modulation depth at \( \Delta t = 5 \) ps is shown.

Origin of the pump-induced plasmon shift. To understand more details on the optical modulation of the plasmonic response in TIs, we now discuss the origin of the plasmon shift. We first consider the contribution of pump-induced change in Dirac plasmons. A recent study in graphene reported that the frequency of Dirac plasmons is blue-shifted immediately after photoexcitation, due to increased effective electronic temperature, and the corresponding plasmon linewidth also showed large broadening with the same timescale under transient excitation conditions\cite{10}. In TI systems, however, the maximum plasmon frequency shift emerges after the linewidth of the plasmon recovers from the transient maximum, as shown in Fig. 5c,d. We therefore rule out the effect of electronic temperature on the plasmon shift. Next, we consider the possible contribution from photo-doping of TSS to the change in the plasmon frequency. It is well known that, upon photoexcitation, electron population of TSS increases due to scattering of the photo-generated electrons from the bulk, as indicated by a dashed blue arrow in Fig. 6a. To understand how it affect the plasmon shift, we carried out pump-fluence-dependent extinction measurements and compared the data with theoretical calculations. Figure 6b displays the extinction spectra with varying pump fluence at \( \Delta t = 5 \) ps.

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Figure 6 | Origin of the pump-induced plasmon frequency shift.
(a) Energy band diagram in real space is shown. Upon photoexcitation, although some of the photo-generated electrons (black-filled circles) in bulk are scattered into TSS (dashed blue arrow), the amount of increased density of Dirac electrons is too small to cause the measured plasmon frequency shift. Instead, photo-doping of 2DEG (yellow-shaded region) is responsible for the increase of the plasmon frequency. Photo-generated holes (black open circles) are assumed to be drifted into the bulk due to downward band-bending (red lines), as indicated by the grey arrow. (b) Pump-fluence-dependent extinction spectra (black circles) at 78 K for a fixed pump-probe time delay at Δt = 5 ps are displayed with the plasmon-phonon Fano fits (black lines). Red lines and grey vertical lines indicate the bare plasmon resonance and the phonon frequency, respectively. (c, d) Corresponding plasmon frequency (black circles (c)) and linewidth (black squares (d)) are shown. Solid lines in c show the theoretically calculated pump-fluence dependence of plasmon frequency without using any free-fitting parameters. The error bars represent 95% confidence intervals for the fitting parameters. While the increased 2DEG density under photoexcitation well reproduces the measured plasmon frequency shift (yellow line) via equation (1), contribution from the increased TSS electron density to the plasmon (blue line) is too small, compared with the measured data. Surface plasmon excitation of unconfined three-dimensional bulk carriers (green line, scaled by a factor of 0.07) is by far beyond the frequency window in this measurement.

Bare plasmon responses (red lines) are extracted from the fits of the plasmon–phonon interaction model (black lines), and the corresponding centre frequencies and linewidths are plotted in Fig. 6c,d, respectively. We theoretically obtained the plasmon resonance frequency using equations (1) and (2), assuming that the optical excitation increases only the top-surface Dirac Fermi level ($E_{F,T}^{\text{dirac}} = h\nu/\sqrt{4m_n\pi\hbar^2 + \Delta n_{\text{dirac}}}$). This is reasonable because the penetration depth ($\sim 20$ nm) of the optical pulse is much shorter than the sample thickness ($\sim 100$ nm)$^{30}$, where $\Delta n_{\text{dirac}} = Fx(1 - R)d_{\text{eff}}/E_{\text{photon}}$ is the pump-generated Dirac electron density ($F$ is the pump fluence, $x = 5 \times 10^5$ cm$^{-2}$ is the absorption coefficient$^{30}$, $R \sim 0.5$ is the reflectivity, $d_{\text{eff}} = 0.4$ QL is the effective number of bulk layers contributing to the increase in Dirac electron density$^{31}$, $E_{\text{photon}} = 1.55$ eV is the pump photon energy). As shown in Fig. 6c, the calculated plasmon frequency (blue line) is much lower than the measured data (black circles). Therefore, it is highly likely that the photo-doping of TSS is too low to reproduce the measured plasmon shift.

A second possibility is that the pump-induced frequency shift of plasmon originates from the increase in 2DEG electron density. To verify this, we calculate the plasmon frequency again by using equations (1) and (2), assuming that photo-generated electrons in the band-bending region (yellow-shaded region in Fig. 6a) relax to the two-dimensional confined states, and subsequently increase the 2DEG Fermi level ($E_{F,T}^{\text{2DEG}} = \frac{n}{m} \sqrt{\frac{\pi}{4m_\text{2DEG} + \Delta n_{\text{2DEG}}}}$) in the TSS, where $\Delta n_{\text{2DEG}} = Fx(1 - R)d_{\text{2DEG}}/E_{\text{photon}}$ is the increased 2DEG density and $d_{\text{2DEG}} \sim 4$ QL is the 2DEG depth$^{32}$. Here, we neglect the pump-generated holes because they drift to the deeper bulk region due to downward band-bending (see a grey arrow in Fig. 6a)$^{33}$. The calculated plasmon frequency (yellow line) agrees well with the measured data without using any free-fitting parameters, as shown in Fig. 6c, which seemingly reproduces the pump-induced plasmon shift. We note that the measured plasmon linewidth is largely increased at $F \geq 50 \mu$J cm$^{-2}$ (Fig. 6d). This may be attributed to the uncooled hot electron at the time delay of $\Delta t = 5$ ps, because it directly reflects the electron temperature (see Supplementary Note 4 for more detailed discussions about transient plasmon damping).

The above analysis assumes that the two-dimensionally confined carriers (TSS and 2DEG) are largely responsible for the observed optical modulation of plasmons. For completeness, we have also considered the possibility that the observed plasmon resonance originates from the conventional surface-charge oscillation of the unconfined, three-dimensional bulk electrons$^{34}$. As shown in Fig. 6c, the calculated frequency of this plasmon mode$^{35}$ $\nu_{\text{plasmon}} = \left(1/(2\pi)\right)\sqrt{\omega_p^2/2 + c^2 q^2 - \sqrt{\omega_p^4/4 + c^2 q^4}}$ largely exceeds our THz spectral window (green line, scaled by a factor of 0.07), where $\omega_p = \sqrt{(e^2/\varepsilon_\infty q_0 m)^2} (F/\pi \exp (-1)/E_{\text{photon}})$ is the bulk plasma frequency of pump-generated electrons within the photoexcited region. Thus, we exclude the contribution of three-dimensional carriers to the measured plasmonic resonance (see Supplementary Note 5 for a more detailed discussion).

**Discussion**

What distinguishes TI, compared with conventional plasmonic systems, is that various species of quantum states coexist, namely TSS, 2DEG and normal semiconductor-like bulk, all of which play a crucial role in modulating the plasmonic response. First, owing to the TSS, the Dirac plasmon can be excited without optical injection, providing a transparent spectral region for...
efficient modulation of the extinction coefficient via the Fano-type interference with a narrow phonon mode. Second, 2DEG states are responsible for the pump-induced shift of the plasmon resonance, which dominantly contribute to the modulation of the extinction spectra. Third, the semiconductor-like bulk state behaves as a photoelectroresistor for the two-dimensional surface states under optical excitation, leading to the efficient plasmon shift. Owing to the contributions from all of these states, surface states under optical excitation, leading to the efficient defect engineering can reduce the response time in general, we expect that faster plasmonic TI system can be implemented if desired.

Looking towards the future, this TI-based active plasmonic system may open up a novel route towards optically controlled ultra-high contrast plasmonic switches. When designing the devices, it is important to note that this system is highly frequency-selective. Thus, depending on the type of applications, one should carefully choose the micro-ribbon spacing, which determines the fundamental plasmon frequency.

Methods

Sample preparation. Bi2Se3 thin films were grown on Al2O3 (0001) substrates using a custom-made STVA MOV-2 MBE system with base pressure less than 3 × 10−6 Torr. Bismuth and selenium sources with 99.999% purity were thermally evaporated from Knudsen cells to provide stable fluxes, which were measured using an Inficon BDFS-250 XTC/3 Quartz crystal microbalance (QCM) system. The KSA 400 reflection high-energy electron diffraction measurement system was used to monitor the crystal quality of Bi2Se3 thin-films during growth.

The substrates were cleaned ex situ by placing them in a ultraviolet/ozone cleaner for 5 min to remove organic contaminants on the surface. Immediately afterwards, they loaded into the MBE growth chamber. In the growth chamber, the substrates were heated to 750 °C for 10 min in 1 × 10−6 Torr oxygen environment to remove any excess contaminants. Bi2Se3 thin films were then grown by employing a two-step growth procedure developed at Rutgers University as reported by Bansal et al. Initially, a seed layer of 3 QL (1 QL ~ 1 nm) Bi2Se3 was grown at 135 °C followed by annealing to 300 °C for 10 min before depositing rest of the film at 300 °C. During the entire growth, Se:Bi flux ratio of ~ 10 was maintained. Film thickness was determined in situ by QCM and ex situ by Rutherford back-scattering. High quality of the growing film was indicated by a sharp reflection high-energy electron diffraction pattern and associated Kikuchi lines.

The 15-μm-width line pattern with 1:1 ratio gap among the line was formed using AZ1512 (1.2 μm in thickness) followed by a hard-baking process at 100 °C for 10 min, and dry etching process using reactive ion etcher (RIE, SF6, 40 s.c.c.m., 140 Torr and 100 W). The etching rate was evaluated as 27.5 nm per min. The photoresist was clearly stripped off by being brushed in acetone.

THz spectroscopy. The THz spectra were performed based on a 250-KHz Tsapphire-regenerative amplifier system (Coherent ReGa 9050). The 1.55-THz (50 fs) pulses serve to generate THz pulses via optical rectification and to detect them through electro-optic sampling in a pair of <110> ZnTe crystal. The sample was maintained at the pressure of 7 × 10−6 Torr in a cryostat during all measurements. To characterize the extinction spectra of the Bi2Se3 monolayer, we employed THz time-domain spectroscopy in transmission geometry without optical pump injection. As described in ref. 45, the extinction spectrum is determined by T − T0, where transmittance T is obtained by normalizing the Fourier-transformed THz intensity spectrum of the Bi2Se3 monolayer on sapphire substrate to that of bare substrate. Time-resolved plasmonic extinction dynamics with optical pump injection is measured by using ultrafast optical pump THz-probe spectroscopy. 1.55-THz (50 fs) pulses serve as an optical modulation pump. The pump (probe) spot size is 400 μm (300 μm).

Plasmon-phonon interaction model analysis. Extinction coefficient arising from plasmon–phonon interaction can be described by the following formula:

\[
\eta_{\text{pl}}(v') = A \left[ \frac{(v' - \nu_{\text{phonon}})^2}{v' + 1} \right] \cdot \left( \frac{g p(v') + \sigma_0}{v' + \omega} \right),
\]

where \(v' = (v - \nu_{\text{phonon}})/(\nu_{\text{phonon}}/2 - (v - \nu_{\text{phonon}})/(\nu_{\text{plasmon}}/2))\) is the normalized frequency, \(g(v') = (vw'g)/(\nu_{\text{phonon}}/2 - (v - \nu_{\text{phonon}})/(\nu_{\text{plasmon}}/2))\) is the frequency-dependent Fano parameter, \(p(v') = 1/(1 + (v - \nu_{\text{phonon}})/(\nu_{\text{plasmon}}/2))\) is the Lorentzian lineshape of the plasmon response, \(\sigma_0\) is the background absorption and \(A\) is the normalization constant. Here, the parameter \(g(v')\) measures the coupling from ground to the bare plasmon (phonon) modes and \(p(v')\) measures the plasmon–phonon interaction strength. The bare plasmon response is defined by \(Agg(v')\). For the linewidth, \(\nu_{\text{plasmon}}\) describes that of the non-interacting bare plasmon resonance, the phonon linewidth \(\nu_{\text{phonon}}\) is \(2v'^2/(1 + (v - \nu_{\text{phonon}})/(\nu_{\text{plasmon}}/2))\) is considered only in the regime of plasmon–phonon interaction. This model regards the bare plasmon mode as an ideal discrete state, whose linewidth measured in the unpatterned sample is indeed one order less than that of the plasmon resonance (see Supplementary Note 1).

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