XIAP inhibits mature Smac-induced apoptosis by degrading it through ubiquitination in NSCLC

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Received May 13, 2016; Accepted June 25, 2016
DOI: 10.3892/ijo.2016.3634

Abstract. X-linked inhibitor of apoptosis protein (XIAP) and second mitochondrial-derived activator of caspase (Smac) are two important prognostic biomarkers for cancer. They are negatively correlated in many types of cancer. However, their relationship is still unknown in lung cancer. In the present study, we found that there was a negative correlation between Smac and XIAP at the level of protein but not mRNA in NSCLC patients. However, XIAP overexpression had no effect on degrading endogenous Smac in lung cancer cell lines. Therefore, we constructed plasmids with full length of Smac (fSmac) and mature Smac (mSmac) which located in cytoplasm instead of original mitochondrial location, and was confirmed by immunofluorescence. Subsequently, we found that mSmac rather than fSmac was degraded by XIAP and inhibited cell viability. CHX chase assay and ubiquitin assay were performed to illustrate XIAP degraded mSmac through ubiquitin pathway. Overexpression of XIAP partially reverted apoptotic induction and cell viability inhibition by mSmac, which was due to inhibiting caspase-3 activation. In nude mouse xenograft experiments, mSmac inhibited Ki-67 expression and slowed down lung cancer growth, while XIAP partially reversed the effect of mSmac by degrading it. In conclusion, XIAP inhibits mature Smac-induced apoptosis by degrading it through ubiquitination in NSCLC.

Introduction

Apoptosis is the process of programmed cell death that includes many biochemical changes, such as nuclear fragmentation, cell shrinkage and chromatin condensation. It plays a crucial role in the growth, development, metabolism and maintaining homeostasis in multicellular organisms (1). If some internal or external factors effect the production of apoptosis, a disruption of the balance between cell proliferation and apoptosis would lead to the development of cancer (2). Inhibitor of apoptosis protein (IAP) is one of the best known factors which can promote cancer development by inhibiting cell apoptosis (3).

As a member of IAP family, X-linked inhibitor of apoptosis protein (XIAP) contains three baculoviral IAP repeat (BIR) domains and one really interesting new gene (RING)-finger domain (4). The BIR1, BIR2 and BIR3 domains of XIAP are the main structure for inhibiting apoptosis, due to their capability of inhibiting caspases. The BIR2 domain plays an important role in blocking caspase-3 and -7, and the BIR3 domain is required for inhibition of caspase-9 (5). Like other IAP members, XIAP contains RING domain in its C terminal, which is relative to its self-ubquitination. Besides, its RING domain also promotes ubiquitination of other proteins and degrades them through ubiquitin-proteasome pathway (6).

Second mitochondrial-derived activator of caspase (Smac) is located in mitochondria. It releases into cytosol under some apoptotic induction signals, such as chemotherapy or radiotherapy (7). Its main mechanism of apoptotic induction is the interaction between Smac and IAPs. Smac binds to IAPs and reverses the inhibition of caspases by IAPs, and then induces the caspase cascade and cell apoptosis (8). For example, Smac binds to the BIR domains of XIAP through its N-terminus and prevents XIAP from binding caspase-3, -7 and -9, then, the caspases were activated and amplified to trigger cell apoptosis (9).

XIAP and Smac are two important prognostic biomarkers for malignant tumors because they determine the sensitivity of cancer cells to apoptosis (10-14). Usually, the expression of XIAP is much higher in cancer tissues than that in normal tissues, and the increased XIAP expression is correlated with poor prognostic and decreased survival in patients (15-17). While Smac expression was reduced in cancer tissues compared to normal tissues, and this reduction is more significant during cancer progression with advanced malignant phenotypes (18,19). It has been reported that the expression of XIAP and Smac are negatively correlated in many types of cancer, such as testicular germ cell tumors, renal cell...
carcinomas and gastric adenocarcinomas (19-21). However, its mechanisms are still unknown.

In the present study, we found that there was a negative correlation between Smac and XIAP at the level of protein but not mRNA in NSCLC patients. Overexpression of mature Smac induced lung cancer cells apoptosis, and this apoptotic induction was inhibited by co-transfection with XIAP plasmid. It is because XIAP promoted the ubiquitination and degradation of Smac. Besides, nude mouse xenograft experiment further proved the relation and the function of Smac and XIAP in vivo.

**Materials and methods**

**Clinical samples**. Primary tumor specimens were obtained from 26 patients with NSCLC who underwent pulmonary surgery at the First Affiliated Hospital of Xian Jiaotong University. Normal lung tissues were collected at >5 cm from the edge of the tumor. All patients had a single tumor, without distant metastasis and none of them had been previously treated with chemo- or radiotherapy. The study design and procedure were approved by the Ethics Committee of the hospital and each participant signed an informed consent document prior to enrollment.

**RT-PCR**. Total RNA was extracted from tissues using RNeasy Mini kits (Qiagen, Inc., Valencia, CA, USA) and reverse transcription was performed with SuperScript III First-Strand kits (Invitrogen Life Technologies, Carlsbad, CA, USA) according to the manufacturer's instructions. The DNA was amplified with PfuUltra DNA Polymerases & PCR Master Mixes (Agilent Technologies, Palo Alto, CA, USA) on an S1000™ Thermal Cycler (Bio-Rad Laboratories, Richmond, CA, USA), with the following protocol: initial denaturation at 95°C for 3 min, then 30 cycles of 95°C for 30 sec, 60°C for 30 sec, and 72°C for 1 min, and then final extension at 72°C for 5 min. GAPDH gene was used as a control. The following PCR primer sequences were used for Smac forward, 5'-ATCAGAG CCTCATTCCCTTATG-3' and reverse, 5'-TGTTGTTTGGTAGTCTACACG-3'; XIAP forward, 5'-TGCTCACCTAATGATGTGGACGCTCAA ATCCTCACAGTTCGACTCCT-3'; Ub-XIAP was generated by overlapping PCR using primers: forward 5'-AGATCTGGATCCATGCAGATCTTCGTGAAAACCCT TACC-3' and reverse 5'-TCTAGACGGCCGCTTATCC A TCCCTACGAGTTCGGGCTTCTCC-3'. The resulting fragments were digested by BamHI and NotI (Thermo Fisher Scientific, Rockford, IL, USA), and cloned into pcDNA3.0-Flag. The plasmids were transfected into A549 or H460 cells with Lipofectamine 2000 (Invitrogen-Life Technologies). Western blotting was used to identify protein overexpression in cells.

**Flow cytometry**. Cells were plated into 24-well plates with a concentration of 1x10^5/well. The following day, cells were transfected with mSmac and/or XIAP. After 48 h, cells were trypsinized and incubated with 5 µl propidium iodide and 5 µl Annexin V-FITC (Invitrogen) for 15 min. Samples were then analyzed for apoptosis by a FACScan flow cytometer (BD Biosciences, Franklin Lakes, NJ, USA).

**Immunofluorescence**. Lung cancer cells were fixed with 3% paraformaldehyde and permeabilized using 0.2% Triton X-100. Then the fixed cells were incubated with anti-Flag tag mouse monoclonal IgG antibody (1:1,000, F1804; Sigma-Aldrich, St. Louis, MO, USA); anti-myct tag mouse monoclonal antibody (1:1000; 2276S; Cell Signaling Technology, Inc., Danvers, MA, USA); anti-ubiquitin rabbit monoclonal antibody (1:2000; abl40601; Abcam).

**Caspase-3 activity assay**. Cells were washed with cold PBS twice, resuspended in lysis buffer and kept on ice for 15 min. The lysate was centrifuged at 16,000 x g at 4°C for 15 min. Supernatants were collected and caspase-3 activity
was measured using caspase-3 activity assay kit (Beyotime Institute of Biotechnology, Shanghai, China) according to the manufacturer’s instructions. The working principles of this kit are based on the cleavage of the caspase-3 substrate, Ac-DEVD-pNA. The release of p-nitroanilide (pNA) was qualified by determining the absorbance with the microplate reader at 405 nm. Caspase-3 activity was expressed as the ratio of control cells.

Nude mouse xenograft studies. Four-week-old BALB/c athymic nude mice (4-5 weeks old and weighing 16-20 g) were purchased from the Center of Laboratory Animals of Xi’an Jiaotong University and were bred at pathogen-free conditions. All animal experiments were approved by the Ethics Committee of the First Affiliated Hospital of Xi’an Jiaotong University. H460 cells were transfected with empty vector, Ub-mSmac or Ub-mSmac+XIAP, and stably-transfected cells were selected in medium supplemented with 1 mg/ml G418 (Sigma-Aldrich) for one month. Western blotting was used to identify the cells with significant overexpression.

Cells (5x10^6) were harvested from tissue culture dishes and subcutaneously injected into the right flank of each nude mice. Tumor volumes were measured every three days by calipers and calculated using the formula: Tumor volume = length x width^2 x 1/2. After one month, the mice were sacrificed and the tumors were removed for IHC staining.

IHC staining. The expression of XIAP and Smac in NSCLC tumor tissues and matched lung tissues was evaluated by immunohistochemical (IHC) analyses in 4-µm sections of the 10% formaldehyde-fixed and paraffin-embedded blocks. The tissue slides were deparaffinized in xylene and rehydrated through a series of graded alcohols. To exhaust endogenous peroxidase activity, the slides were treated with 3% H2O2 for 10 min. Then, the slides were immersed in 0.01 M citrate buffer, pH 6.0, for 5 min in a pressure cooker at 125°C, then placed at room temperature for 30 min to cool down. After washing with phosphate-buffered saline (PBS), the slides were pre-incubated for 30 min in 10% normal goat serum and then incubated with anti-Smac rabbit monoclonal antibody (1:400; ab32023), anti-XIAP rabbit polyclonal antibody (1:400; ab21278; both from Abcam); anti-Ki-67 rabbit monoclonal antibody (1:400, 9027; Cell Signaling Technology). After washing with PBS, the slides were incubated in a donkey anti-rabbit IgG/HRP secondary antibody (1:250; bs-0295D-HRP; Beijing Bioss, Inc., Beijing, China) at room temperature for 30 min. All slides were generated using a diaminobenzidine chromogen solution. The counterstaining was performed with hematoxylin. The slides then were dehydrated, cleared and mounted.

Both the intensity and extent of staining were taken into consideration when analyzing the data. The extent of staining was scored from 0 to 100% (1, 1-25%, 2, 26-50%, 3, 51-75%, 4, 76-100%) and the intensity of staining was scored from 0 to 2 (0, none; 1, weak to moderate; 2, strong). The IHC score was determined as high expression (+): score ≥3; low expression (-): score ≤2.

Statistical analysis. Data reported are the mean ± SD deviation of three independent experiments and evaluated by the Student t-tests or one-way ANOVA. The normal distribution and equality of variances of the data in clinical samples were detected by Kolmogorov-Smirnov test and Levene’s test separately. The difference of XIAP or Smac expression between cancer tissues and their paired normal tissues was evaluated by paired-samples t-test. The correlation between the expression of XIAP and Smac was evaluated by Pearson's correlation analysis. Statistical analyses were performed using the SPSS version 13.0 (SPSS, Inc., Chicago, IL, USA). Significant differences were established at P<0.05.

Results

Expression of XIAP and Smac in NSCLC. RT-PCR and western blotting were performed to detect the levels of mRNA and protein of Smac and XIAP in 26 NSCLC tissues and their matched para-tumor tissues. Representative result is
shown in Fig. 1. The bands were quantified by densitometry and normalized to GAPDH. The data in different groups were normal distribution and equality of variances, so we used paired-samples t-test. The mRNA of XIAP in NSCLC tissues was significantly higher than that in the matched para-tumor tissues (P<0.05), while the mRNA of Smac was not significantly different between cancer tissues and para-tumor tissues. The protein expression of XIAP in NSCLC tissues was also significantly higher than that in the matched lung tissues (P<0.05), which corresponded with the mRNA level. However, the protein expression of Smac was significantly higher in para-tumor tissues than that in NSCLC tissues (P<0.05). There was a negative correlation between Smac and XIAP only on the level of protein (r=-0.59, P<0.05) but not on the level of mRNA in the cancer samples of NSCLC patients (P>0.05).

XIAP could not degrade endogenous Smac in lung cancer cell lines. We constructed plasmid pcDNA3-Myc-XIAP and confirmed it by sequencing and double digestion (Fig. 2A). To investigate the effects of XIAP to endogenous Smac, we transfected Myc-XIAP or empty vector into A549 and H460 cells for 48 h. Then, western blotting confirmed that the expression of XIAP in the XIAP-transfected cells was higher than that in the control cells. However, the expression of Smac did not change prominently after XIAP overexpression, thus, XIAP could not degrade endogenous Smac in NSCLC cells (Fig. 2B).

Figure 2. XIAP did not degrade endogenous Smac in lung cancer cell lines. (A) Myc-XIAP and empty vector (EV) were double digested by restriction enzymes BamHI and NolI. (B) The expression of XIAP and Smac were evaluated by western blotting in the A549 and H460 cells following transfection with Myc-XIAP or EV for 48 h. β-actin was used as loading control.

Figure 3. The location and function of fSmac and mSmac. (A) The structure of fSmac and Ub-mature Smac fusion protein (Ub-mSmac). (B) Flag-fSmac and Flag-Ub-mSmac were double digested by restriction enzymes BamHI and NolI. (C) The Localization of fSmac and Ub-mSmac were evaluated by immunofluorescence staining in the U2OS cells following transfection with Flag-fSmac or Flag-Ub-mSmac for 48 h. (D) Cell viability was measured by MTT assay following transfected with fSmac or Ub-mSmac in A549 and H460 cells for 48 h. (E) Cells were transfected with Myc-XIAP and Flag-fSmac or Flag-Ub-mSmac for 48 h and exogenous Smac and XIAP were evaluated by western blotting with anti-Flag or anti-Myc antibody. β-actin was used as loading control.
The location and function of Smac and mature Smac. The first 55 amino acids at the N terminal of Smac constitute a mitochondrial targeting signal (MTS) peptide and are cleaved to generate mature Smac. We constructed plasmids pcDNA3-Flag-fSmac and pcDNA3-Flag-Ub-mSmac. Their structures are shown in the Fig. 3A and their digestion results were confirmed by sequencing and double digestion (Fig. 3B). To further identify the expression and the location of fSmac and mSmac, we transfected Flag-fSmac or Flag-Ub-mSmac into U2OS cells, which are commonly used to detect protein localization because their appropriate nucleus to cytoplasm ratio. By immunofluorescence, we found Flag-fSmac was mainly located in the mitochondria and Flag-Ub-mSmac was located in the cytoplasm (Fig. 3C).

After transfection with Flag-fSmac or Flag-Ub-mSmac into lung cancer A549 and H460 cells for 48 h, cell viability was assessed using an MTT assay. We found that mSmac but not fSmac inhibited cell viability (Fig. 3D). Cells were co-transfected with Myc-XIAP and Flag-fSmac or Flag-Ub-mSmac for 48 h, and exogenous Smac and XIAP were evaluated by western blotting, which showed XIAP inhibited the expression of mSmac but not fSmac (Fig. 3E).

XIAP degrades mSmac through ubiquitin pathway. To study how XIAP degrades mSmac in NSCLC, we transfected Flag-Ub-mSmac and/or Myc-XIAP into H460 cells for 48 h. Reciprocal co-immunoprecipitation was done by using anti-Flag or anti-Myc antibodies to confirm the interaction between XIAP and mSmac (Fig. 4A). Then, CHX chase assays were done by cell treatment with a protein synthesis inhibitor, cycloheximide (CHX), for 0-24 h to analyze the XIAP mediated downregulation of mSmac in Flag-Ub-mSmac with/without Myc-XIAP transfected H460 cells. In the course of time, the half-life of mSmac was prominently decreased in co-transfected cells, compared with that in the cells with mSmac transfection alone (Fig. 4B and C). Besides, the downregulation of mSmac was prevented by MG132, a proteasome inhibitor (Fig. 4B). Moreover, ubiquitin assay was done by western blotting using anti-ubiquitin antibody in cells transfected with Flag-Ub-mSmac with/without Myc-XIAP. As shown in Fig. 4D, XIAP overexpression substantially increased the ubiquitination of mSmac in H460 cells.

The function of XIAP and mSmac in vitro and in vivo. To explore the function of XIAP and mSmac in lung cancer cells, we transfected Flag-Ub-mSmac and/or Myc-XIAP into H460 cells for 48 h. Western blotting was done to confirm that exogenous XIAP can degrade mSmac (Fig. 5A). Cell apoptosis and viability were evaluated by Annexin V/PI analysis and MTT assays separately. Mature Smac promoted apoptosis and inhibited cell viability in lung cancer H460 cells, while XIAP partially reverted the effect of exogenous mSmac, leading to a significantly decreased cell apoptosis and increased cell viability (P<0.05, Fig. 5B-D). Furthermore, caspase assay was performed to show that mSmac-induced apoptosis was due to the increased caspase-3 activation, and the reversion of apoptosis by XIAP was caused by decreasing the activation of caspase-3 (Fig. 5E).

To further confirm this result in vivo, we stably transfected Flag-Ub-mSmac with/without Myc-XIAP into H460 cells.
and implanted cells into nude mice via subcutaneous injection. Tumor growth curve demonstrated that mSmac slowed down H460 tumor growth in mice, while XIAP partially restored tumor growth for mSmac overexpressed H460 cells (Fig. 6A). After one month growth, the mice were sacrificed and the tumor tissues from the mice were detected by immunohistochemistry. As expected, the expression of mSmac was downregulated by XIAP overexpression. Overexpression of mSmac inhibited Ki-67 expression, while XIAP reversed this inhibition by degrading mSmac. Taken together, these data indicate that mature Smac inhibited tumor cell proliferation, but this effect was partially reversed by XIAP (Fig. 6B and C).

**Discussion**

In renal cell carcinoma, breast, bladder cancer and other solid tumors, the expression of XIAP is higher with a later TMN stage, worse tumor differentiation and higher recurrence rate. The overall survival of patient with high XIAP expression is much shorter than those with low XIAP expression (15-17). In contrast, as a pro apoptotic factor, Smac expression is lower in cancer tissues than that in normal tissues in hepatocellular carcinoma or testicular germ tumors, and its high expression indicates a good prognosis (18,19). However, the level of Smac varies in different tumors. For example, in cervical cancer, the expression of Smac in cancer tissue is higher than in normal cervical tissue, especially in mRNA level (23). In this study, we found that the mRNA level of XIAP in lung cancer tissues was significantly higher than that in the corresponding normal tissues, while the mRNA level of Smac had no significant difference between normal and cancer tissues. However, the protein of XIAP in lung cancer tissue was significantly higher than that in normal tissue, while the protein of Smac was significantly higher than that in normal tissue. Smac and XIAP were negatively correlated in protein levels but not in mRNA levels. It was possibly because the degradation of Smac by XIAP was at the level of protein.

Overexpression of full length of Smac increases chemo- or radiotherapy-induced cell apoptosis in lung, breast, ovarian cancer (24-27). In our previous studies, we found overexpression of Smac increased cisplatin and indomethacin-induced apoptosis because Smac released from mitochondria into cytosol under the treatment of the drug, and then performed its function in lung cancer and esophageal cancer (28,29). In this study, fSmac was not degraded by XIAP, and it did not promote apoptosis, whereas mSmac could be degraded by XIAP and induced apoptosis. It was because fSmac was localized in mitochondria, while mSmac was localized in the cytoplasm. In addition, Smac promoted apoptosis only if it was located in the cytoplasm, similarly, it bound to and degraded by XIAP only in the cytoplasm. The Ub and mature Smac fusion protein, which was the expressed production of the Flag-Ub-mSmac plasmid, can directly localized in the cytoplasm (30). It was easier to distinguish the speed of Smac degradation in the presence of absence of XIAP by using Flag-Ub-mSmac and Myc-XIAP plasmids.
IAPs play the role of E3 ligase by their RING domains, which are closely related to their self-ubiquitination (3,31). Mature Smac in the cytoplasm participates in the self-ubiquitination of the IAP family members. Some studies reported that IAP proteins, such as XIAP, c-IAP1, c-IAP2 and Apollon, degraded Smac through the ubiquitin pathway (32-34), while others reported that Smac promoted the degradation of survivin, cIAP1, cIAP2, but not XIAP and livin (35,36). To clarify, in this study, we found that mature Smac in the cytoplasm combined with XIAP, which accelerated mature Smac ubiquitination. However, the expression of XIAP was relatively stable in the half-life experiment, even in the cells co-transfected with Smac. It is highly possible that Smac promoted IAP self-ubiquitination, at the same time, IAP transferred ubiquitin to Smac by its E3 ubiquitin ligase and degraded Smac. Therefore, both of them were degraded faster after combination. But different IAP family members had different stability. XIAP is not easy to degrad, while c-IAP1, c-IAP2 are relatively unstable. Therefore, previous studies demonstrated that XIAP, c-IAP1 and c-IAP2 degraded Smac, while Smac degraded cIAP1, and c-IAP2, but not XIAP.

In the present study, we found that the mSmac in the cytoplasm increased apoptosis, and XIAP inhibited mSmac induced apoptosis by degradation of mSmac. It was clear that mSmac was unstable in the cell cytoplasm because it was easily degraded by XIAP or other IAP family members. Therefore, the function of endogenous mSmac is limited. Ala-Val-Pro-Ile, a four N-terminal residue of Smac, competed with caspases for binding to the BIR domain of IAP proteins, sequentially releasing caspases and causing cell apoptosis. A novel drug, Smac mimetics, was designed to treat cancer by mimicking this residue. Preclinical studies showed that Smac mimetics...
activated caspases and degraded cIAP1,2 to induce apoptosis. Moreover, Smac mimetics displayed their anticancer effectiveness in phase I and II clinical trials. Further study of this drug shows promising future in the field of apoptosis-related drug development.

Acknowledgements

The present study was supported by the National Natural Science Foundation of China, approved ID no. 81402506.

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