Understanding Paramagnetic Spin Correlations in the Spin-Liquid Pyrochlore \(\text{Tb}_2\text{Ti}_2\text{O}_7\)

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(Dated: October 27, 2018)

The search for the physical realization of a spin liquid in three dimensions has been a long quest for the condensed matter community. Recently, geometrically frustrated magnetic systems have been the focus of intensive experimental and theoretical studies because it is believed that geometric frustration can inhibit the formation of long-range order, thus enabling the system to remain paramagnetic down to low temperatures. Among three-dimensional systems, the pyrochlore lattice of corner-sharing tetrahedra have been studied extensively. It has been shown theoretically and numerically that for classical Heisenberg spins with nearest-neighbor antiferromagnetic (AF) exchange, there is no transition to long-range magnetic order at finite temperature. This makes AF materials based on the pyrochlore lattice excellent candidates to search for the low-temperature spin liquid state. A number of experimental studies on insulating pyrochlore materials have been carried out. Interestingly, most materials either develop long-range Néel order, such as \(\text{Gd}_2\text{Ti}_2\text{O}_7\) and \(\text{Er}_2\text{Ti}_2\text{O}_7\), or reveal spin-glass behavior, such as \(\text{Y}_2\text{Mo}_2\text{O}_7\). The “spin ice” materials, \(\text{Ho}_2\text{Ti}_2\text{O}_7\) and \(\text{Dy}_2\text{Ti}_2\text{O}_7\) exhibit low-temperature thermodynamic properties reminiscent of Pauling’s “water ice model”. In these systems, an effective ferromagnetic interaction is frustrated due to the single-ion \((111)\) Ising anisotropy. The behavior of these systems can be quantitatively described by the same family of \((111)\) Ising spin model with nearest-neighbor exchange and long-range dipolar interactions. \(\text{Tb}_2\text{Ti}_2\text{O}_7\) shows, however, very different and intriguing behavior. It is believed that \(\text{Tb}_2\text{Ti}_2\text{O}_7\) belongs to the same family of \((111)\) Ising systems as \(\text{Dy}_2\text{Ti}_2\text{O}_7\) and \(\text{Ho}_2\text{Ti}_2\text{O}_7\) but with an effective nearest neighbor AF interaction. The same spin model that very successfully described the spin-ice systems predicts it to have a noncollinear Néel \(Q = 0\) order, with all spins pointing into or out of each tetrahedron, at about 1 K. In dramatic contrast, \(\text{Tb}_2\text{Ti}_2\text{O}_7\) remains a spin liquid, or “cooperative paramagnet”, down to 70mK.

In addition, recent paramagnetic neutron scattering studies show that the scattering pattern for this material is not consistent with a \((111)\) Ising model, while a Heisenberg spin model or some level of relaxation away from the \((111)\) Ising model can better describe the observed neutron scattering pattern. These results suggest that the restoration of spin isotropy in the system, despite its expected Ising-like nature at low temperature, is essential in understanding the paramagnetic spin correlations. Inelastic neutron scattering studies have also been performed on this system and partial softening of the magnetic excitations at an energy of about 20 K has been observed. This has been attributed to a (spin) roton-like mode, as in liquid \(^4\text{He}\), which further indicates a more isotropic nature of the spins. The ensemble of evidence, it would appear that one needs a more isotropic spin model to understand the paramagnetic spin correlations in this system. More importantly, such a “restoration” of spin isotropy may also be the key to understanding why \(\text{Tb}_2\text{Ti}_2\text{O}_7\) fails to order down to low temperatures. In this paper, we employ the random-phase approximation (RPA) to take into account the fluctuations between the ground state doublet and the first excited doublet. We successfully describe the observed paramagnetic spin correlations in \(\text{Tb}_2\text{Ti}_2\text{O}_7\), without any assumptions regarding the nature of the spins, while we still obtain the \(Q = 0\) Néel order at low temperatures. This result makes the fact that \(\text{Tb}_2\text{Ti}_2\text{O}_7\) fails to order even more puzzling.

We begin with the model spin Hamiltonian,

\[
\mathcal{H} = \frac{1}{2} \sum_{i,j,a,b} S^a_{i,a} S^b_{i,b} \mathcal{J}_{ab}(i,j) S^a_{j,b} + \sum_i \mathcal{H}_{\text{CF}}(i,a),
\]

where \(i,j\) are indices of the Bravais lattice vectors for the FCC lattice, \(a,b\) are indices of sublattice basis vectors and \(\alpha, \beta\) are indices for the spatial coordinates. \(\mathcal{H}_{\text{CF}}\) is the single-ion crystal field (CF) Hamiltonian. The spin-spin interaction matrix \(\mathcal{J}\), including both exchange and...
long-range dipolar interactions, reads

\[ J^{\alpha \beta}_{ab}(i,j) = -J_1 \delta^{\alpha \beta} \delta_{nn} + D_{dd} \left[ \frac{\delta^{\alpha \beta}}{|R_{ij}^{ab}|} - \frac{3R_{ij}^{ab,\alpha} R_{ij}^{ab,\beta}}{|R_{ij}^{ab}|^3} \right], \]

where \( \delta_{nn} \) refers to nearest-neighbor interaction, and \( R_{ij}^{ab} \) denotes the \( \alpha \) component of the interspin vector \( \mathbf{R}_{ij} \) that connects spins \( \mathbf{S}_{i,a} \) and \( \mathbf{S}_{j,b} \). The nearest-neighbor exchange for \( \text{Tb}_2\text{Tb}_2\text{O}_7 \) is given by \( J_1 \approx -0.167 \text{ K} \) \cite{22}, and \( D_{dd} = (\mu_B)^2 \mu_0 / 4\pi \), where \( \mu_B \) is the Bohr magneton, \( \mu_0 \) the magnetic permeability, and \( g = 3/2 \) for \( \text{Tb}^{3+} \).

The single-ion susceptibility is given by \cite{24}

\[ \chi^{\alpha \beta}_a(\omega) = \sum_{\mu,\nu} \frac{E^{\alpha}_\mu - E^{\nu}_\mu - \hbar(\omega + i\pi)}{E^{\mu}_{\nu} - E^{\nu}_{\nu}} \left( n_\nu - n_\mu \right) + \frac{\delta(\omega)}{k_B T} \sum_{\mu,\nu} M^{\alpha}_\mu M^{\beta}_\nu n_\nu, \]

where \( n_\nu \) is the thermal occupation fraction for state \( \nu \). The matrix elements for the single-ion states are given by \( M^{\alpha}_{\mu \nu} = \sum_\alpha (\nu | S^\alpha | \mu) w^\alpha_{\mu \alpha} \), where \( w^\alpha_{\mu \alpha} \) is the rotation matrix from the local \((\bar{\alpha})\) frame defined on sublattice \( \alpha \) to the global \((\alpha)\) frame. The spin operator \( S^\alpha \) acts on the CF states defined along the local quantization axis \cite{14}.

The local wave function structure has been obtained from both experimental measurements and theoretical calculations \cite{14,20,21}. The primary components are \( J_z \) eigenstates \(|\pm 4\rangle\) for the ground state doublet and \(|\pm 5\rangle\) for the first-excited state doublet. The doublets are separated by an anisotropy gap \( \Delta \approx 20 \text{ K} \), which is comparable with the Curie-Weiss temperature \( \theta_{\text{CW}} \approx -19 \text{ K} \) \cite{14}.

The RPA equation which takes into account the two-ion interaction contribution to the full susceptibility \cite{24} is given by

\[ \chi^{\alpha \beta}_{ab}(\mathbf{q},\omega) + \sum_{\gamma,\delta,c} \chi^{\gamma \delta}_{a}(\omega) J^{\gamma \delta}_{ac}(\mathbf{q}) \chi^{\delta \beta}_{b}(\mathbf{q},\omega) = \delta_{ab} \chi^{\alpha \beta}_a(\omega), \]

where \( J_q(\mathbf{q}) \) is the Fourier transformation of the interaction matrix \( J(i,j) \). The slowly converging, infinite lattice sum of the dipolar interaction is handled using Ewald summation techniques \cite{17,28}. We solve for \( \chi^{\alpha \beta}_{ab}(\mathbf{q},\omega) \) numerically using LAPACK routines.

The elastic and dynamical neutron cross-sections are related to the spin susceptibilities by summing over the sublattice contributions and taking the transverse components of \( \mathbf{S} \) perpendicular to \( \mathbf{Q} \) \cite{24}.

\[ S_{\text{el}}(\mathbf{Q}) \propto \frac{|F(\mathbf{Q})|^2}{k_B T} \sum_{\alpha,\beta,a,b} \left( \delta_{\alpha \beta} - \hat{Q}_\alpha \hat{Q}_\beta \right) \exp \left[ -i(\mathbf{r}^a - \mathbf{r}^b) \cdot \mathbf{G} \right] \text{Re} \chi^{\alpha \beta}_{ab}(\mathbf{q}), \] (3a)

\[ S_{\text{dyn}}(\mathbf{Q},\omega) \propto \frac{|F(\mathbf{Q})|^2}{1 - \exp(-\hbar \omega / k_B T)} \sum_{\alpha,\beta,a,b} \left( \delta_{\alpha \beta} - \hat{Q}_\alpha \hat{Q}_\beta \right) \exp \left[ -i(\mathbf{r}^a - \mathbf{r}^b) \cdot \mathbf{G} \right] \text{Im} \chi^{\alpha \beta}_{ab}(\mathbf{q},\omega), \] (3b)

FIG. 1: (a) Experimental elastic neutron scattering pattern of \( \text{Tb}_2\text{Tb}_2\text{O}_7 \) in the \((h,h,l)\) plane of reciprocal space at \( T=9 \text{ K} \), from Ref. 16. Dark blue shows the lowest intensity level, red-brown the highest. (b) \( S(\mathbf{Q}) \) for the \((111)\) Ising model at \( T=9 \text{ K} \). (c) \( S(\mathbf{Q}) \) for the doublet-doublet model at \( T=9 \text{ K} \). Note that magnetic form factor \( |F(\mathbf{Q})|^2 \) is divided out in both experimental and theoretical results.
where \( \mathbf{Q} = \mathbf{G} + \mathbf{q} \), \( \mathbf{G} \) is a reciprocal lattice vector of the FCC lattice, and \( \mathbf{q} \) is a primitive vector in the first Brillouin zone. \( \mathbf{r}^a \) is the sublattice basis vector and \( F(\mathbf{Q}) \) is the magnetic form factor for \( \text{Tb}^{3+} \).

Figure 1a shows the experimental scattering pattern in the \((h, h, l)\) plane at 9 K [16]. Note that there is a strong intensity maximum around \((0, 0, 2)\). Figure 1b shows the \( S(\mathbf{Q}) \) calculation using the \((111)\) Ising model, i.e., the anisotropy gap \( \Delta \to \infty \). It is clear that this model fails to reproduce the correct neutron scattering pattern as observed experimentally, as there is only weak non-critical intensity around \((0, 0, 2)\). It has been shown that in the \((111)\) Ising model, the intensity at \((0, 0, 2)\) and \((0, 0, 0)\) are exactly correlated, and vanishes for \( \text{Tb}_2\text{Ti}_2\text{O}_7 \) [14, 16, 17]. Using a more realistic doublet-doublet model for \( \text{Tb}_2\text{Ti}_2\text{O}_7 \), we are able to qualitatively reproduce the experimentally observed scattering pattern (Fig. 1b). It captures most details of the experimental pattern, such as the intensity maximum around \((0, 0, 2)\), the minima around \((0, 0, 0)\), \((2, 2, 2)\) and \((0, 0, 4)\). This excellent agreement between theory and experiment indicates that to properly understand the spin correlations in \( \text{Tb}_2\text{Ti}_2\text{O}_7 \), the fluctuations out of the ground state doublet to the first excited doublet, originating from the first term in Eq. (2), are important. It should be noted that this result is obtained from the simple level scheme described above with no other assumptions about the nature of the spin or the details of the wave functions.

Line scans in reciprocal space along three high-symmetry directions \((0, 0, l)\), \((h, h, 0)\) and \((h, h, h)\) at \( T = 4 \text{K} \) are plotted in Fig. 2. Open symbols are the data points for \( S(\mathbf{Q}) \). Filled symbols are the dispersion relations \( E(\mathbf{Q}) \) for the lowest-energy band of magnetic excitations at 4 K. The dispersion relations show good semi-quantitative agreement with the experimental dispersion observed in single crystal \( \text{Tb}_2\text{Ti}_2\text{O}_7 \) reported in Fig. 9 of Ref. 16. We also find that the minimum in \( E(\mathbf{Q}) \) corresponds to the maximum in \( S(\mathbf{Q}) \) in all symmetry directions, as is observed in the experiment. The sharp jumps in dispersions, e.g., near \((0, 0, 1)\) and \((0, 0, 3)\), correspond to shifts of spectral maximum between different branches, since the experiment and current calculation track only the maximum peaks in the energy spectra. In principle, there should be four branches of magnetic excitations with different intensities due to the four sublattice structure. Higher resolution experiments need to be performed to map out the dispersion relations of these branches.

To study the temperature effects on the dispersion relations of the lowest three bands of magnetic excitations, we use the wave functions obtained from crystal field calculations in the point charge approximation and in the fixed \( J = 6 \) manifold for \( \text{Tb}^{3+} \) [14]. This gives the CF level scheme with two low-lying doublets as described above, and two higher-energy singlets [14, 16]. The energy levels are given as 0, 24.6, 113.9 and 132.7 K, consistent with experiments. The two higher doublets have large \(|\pm 3\rangle\) contributions and some mixing with other \(|J, M_J\rangle\) components [14]. All the excited states are connected to the ground state doublet through the \( S^+ \) and \( S^- \) operations and the excitations are visible via neutron spectroscopy [16]. Figure 3 shows the dispersion relations of the three lowest-lying magnetic excitations along \((h, h, h)\) at 12 K and 30 K at the same energy scale. The lowest band becomes more dispersive as the temperature is lowered, while the higher two branches do not show much change with temperature.

![Figure 2](image-url)

**FIG. 2**: The open symbols show the line scans of the \( S(\mathbf{Q}) \) for the three high-symmetry directions: from top to bottom, \((0, 0, l)\), \((h, h, 0)\) and \((h, h, h)\). The filled symbols show the dispersion relation for the lowest-lying branch of magnetic excitations at \( T = 4 \text{K} \).

![Figure 3](image-url)

**FIG. 3**: The dispersion relations of the three lowest-lying magnetic excitations along the \((h, h, h)\) direction at both 12 K and 30 K. The lowest band displays a more pronounced energy dispersion as temperature is lowered, in agreement with experimental observations [16].
Fluctuations between the doublets are important in understanding the experimentally observed scattering pattern. These fluctuations originate in the first term of Eq. (2), where the anisotropy gap $\Delta = E_1 - E_0$ enters the formulation algebraically, instead of exponentially as in the elastic (second) term through the thermal occupation fraction. If the two-ion interaction, characterized by $\theta_{\text{CW}}$, is comparable with $\Delta$, then the effect of this term is large and part of the isotropic response is restored. By setting $\Delta \rightarrow \infty$ or the matrix element between two doublets to zero, this term is eliminated, and the scattering pattern is reduced to that of the $\langle 111 \rangle$ Ising model [11]. This explains why the $\langle 111 \rangle$ Ising model works so well in describing the spin correlations in spin ice materials [8,10], since in these systems $\Delta \approx 200 \sim 300 \text{ K}$ [20,21] and $\theta_{\text{CW}} \approx 0.5 \sim 2 \text{ K}$ [8,9,11], so the effects of the fluctuations out of the ground state doublet are negligible.

To understand the different temperature dependencies of the dispersion for the three lowest-lying magnetic excitations, we inspect the thermal factor $n_{\theta} = n_{\theta} - n_{\theta}$ in the first term of Eq. (2). For temperatures much lower than $\Delta$, only the ground state doublet is populated, and this factor is almost the same for all three bands. When the temperature reaches a value $T \approx \Delta$, the first excited state doublet begins to be populated, therefore reducing the factor $n_{\theta} = n_{\theta} - n_{\theta}$, while those for the two higher levels remain essentially unchanged. This change in thermal occupation factor results in a large temperature dependence of the dispersion in the lowest band.

The low temperature ground state of our model in RPA is the noncollinear “all-in” or “all-out” $Q = 0$ Néel state [10] with $T_e \approx 1.8 \text{ K}$. Exact $T_e$ value depends on the details of the crystal field wave functions. Surprisingly, this value is very close to the $T_e$ obtained recently in hydrostatic pressure measurements for pressure greater than 1 GPa [22]. By standard Holstein-Primakoff expansion around this ground state we obtain the spin-wave excitation spectrum with a large gap and the reduction of the staggered magnetization due to quantum fluctuations $\Delta m/m < 10^{-4}$ [23]. This result should be taken only as an indicator, though, since the ground state doublet ($M_J = \pm 4$) is not at its saturation value $M_J = \pm 6$ due to the crystal field interaction. The magnetic ground state doublet is a non-Kramers doublet, and the states are time-conjugate of each other, so there exist no matrix elements between them [22], indicating that any quantum fluctuations causing spins to flip result from a higher-order virtual process via excited crystal field levels.

In conclusion, with a simple level scheme and the RPA to include the fluctuations between the ground state and the first excited state doublets, we are able to describe semi-quantitatively the experimentally observed neutron scattering pattern and the energy dispersion in Tb$_2$Ti$_2$O$_7$. Our results indicate that the crystal field effects are important in this system due to the fact that the anisotropy gap and the two-ion interaction are comparable, and that some isotropy is present in the response. The ground state of our model, however, is still the “all-in” or “all-out” $Q = 0$ Néel state [10] with $T_e \approx 1.8 \text{ K}$, which is stable against the lowest order quantum fluctuations. These results indicate that a mechanism that may restore more isotropy and thus increase the quantum fluctuations leading to the suppression of $T_e$, requires further detailed study.

We thank B. Buyers, B. Canals, B. Gaulin, J. Gardner and S. Rosenkranz for useful and stimulating discussions. This work is supported by the NSERC of Canada, Research Corporation and the Province of Ontario.

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