Unbounded energies of debris from head-on particle collisions near black holes

O. B. Zaslavskii

Department of Physics and Technology,
Kharkov V.N. Karazin National University,
4 Svoboda Square, Kharkov, 61077, Ukraine and
Institute of Mathematics and Mechanics, Kazan Federal University,
18 Kremlyovskaya St., Kazan 420008, Russia

If two particles move toward a black hole and collide near the horizon, the energy $E_{c.m.}$ in the centre of mass can grow unbounded. This is a so-called Bañados-Silk-West (BSW) effect. One of problems creating obstacles to the possibility of its observation consists in that individual energy $E$ of a fragment at infinity remains finite because of redshift. We show that in the case of head-on collision, debris may have unbounded energy $E$. An essential ingredient of this scenario is a particle moving away from a black hole in the near-horizon region. It can appear due to precedent collision that implies multiple scattering.

PACS numbers: 04.70.Bw, 97.60.Lf

I. INTRODUCTION

Several years ago, an interesting observation was made by Bañados, Silk and West (the BSW effect, after names of its authors). If two particles collide near a rotating black hole, the energy of collision in the centre of mass $E_{c.m.}$ may grow unbounded [1]. This effect is important from the theoretical point of view. It opens new channels of reactions forbidden in the laboratory conditions. The BSW effect can also, in principle, influence the geometry of space-time and, perhaps, the fate of black hole due to strong backreaction. It has also potential consequences in astrophysics in what concerns physics of accretion disc, relativistic

*Electronic address: zaslav@ukr.net*
plasma near black holes, etc. If the energy at infinity can be also made large, this suggests the way to extract the energy from black holes due to the collisional version of the Penrose process \cite{2}.

However, there is difficulty that creates obstacle to direct registering such an effect. Although in the point of collision the energy $E_{c.m.}$ can be very large, the redshift compensates this effect from the viewpoint of an remote observer, so the individual Killing energy $E$ of particles coming to infinity turns out to be quite modest \cite{3} - \cite{5}. In particular, for the Kerr metric, net outcome is less than 150 $\%$ as compared to the initial energy.

Meanwhile, there are some hints that the energy extraction efficiency can be enhanced significantly in some situations. It was shown in \cite{6} (and confirmed by another methods in \cite{7}) that for the Reissner-Nordström black hole the upper limit on the energy of debris of collision does not exist. There also some indications in the favour of unbounded $E$ for geodesics at high inclinations to the equatorial plane of motion \cite{8}. Significant enhancement of this energy for nonequatorial motion was found in \cite{9}.

The goal of this paper is to draw attention that there is one more factor that weakens or even cancels restriction on $E$. The key moment in the BSW effect consists in that both particles move towards a black hole. Then, this effect occurs if parameters of one of two particles are fine-tuned. Meanwhile, if one particle moves away from the black hole and the other one in the inward direction, so head-on collision occurs, $E_{c.m.}$ is always unbounded in the vicinity of the horizon \cite{10} - \cite{12}. The net outcome is finite in the standard Penrose process. However, for the collisional one the situation may change. We show that in the scenario when after head-on collisions particles move again in the opposite directions, there are no upper bounds on $E$ at all. Thus, this increases hopes to observe the result of collision in the laboratory. It is worth noting that scenarios with high energy head-on collisions were considered earlier but in the context where black holes were absent (in particular, for naked singularities or star-like configurations) \cite{13} - \cite{16}. Strange though it may seem, the corresponding scenario for black holes was not examined.

Throughout the paper the fundamental constants $G = c = 1$. 
II. BASIC EQUATIONS

Let us consider the axially symmetric black hole metric

\[ ds^2 = -N^2 dt^2 + g_{\phi\phi}(d\phi - \omega dt)^2 + dn^2 + g_{\theta\theta}d\theta^2, \quad (1) \]

where the metric coefficients do not depend on \( t \) and \( \phi \). Then, the angular momentum \( L = mu_\phi \) and the energy \( E = -mu_0 \) are conserved. Here, \( u^\mu \) is the four-velocity of a particle. The horizon lies at \( N = 0 \). For simplicity, let us consider motion within the equatorial plane \( \theta = \frac{\pi}{2} \). Expressing \( u^\mu \) in terms of \( u_\mu \), using the conservations law and the normalization conditions \( u^\mu u_\mu = -1 \), one obtains that

\[ mu^0 = \frac{X}{N^2}, \quad (2) \]
\[ mu^3 = \frac{L}{g} + \frac{\omega X}{N^2}, \quad (3) \]
\[ mu^1 = \varepsilon \frac{Z}{N}, \quad (4) \]

\[ X = E - \omega L, \quad Z = \sqrt{X^2 - N^2(m^2 + \frac{L^2}{g})}, \quad (5) \]

where \( \varepsilon = +1 \) for an outgoing particle and \( \varepsilon = -1 \) for an ingoing one. The forward in time condition \( u^0 > 0 \) entails \( X \geq 0 \).

If two particles collide, we assume that the conservation laws are obeyed in the point of collision, so

\[ E_1 + E_2 = E_3 + E_4, \quad (6) \]
\[ L_1 + L_2 = L_3 + L_4, \quad (7) \]
\[ \varepsilon_1 Z_1 + \varepsilon_2 Z_2 = \varepsilon_3 Z_3 + \varepsilon_4 Z_4, \quad (8) \]

where Eq. (8) has the meaning of the conservation of the radial momentum. It also follows from (6) and (7) that

\[ X_1 + X_2 = X_3 + X_4. \quad (9) \]

It is worth stressing that individual energies \( E_i \) are finite. It is the energy in the centre of mass frame \( E_{\text{c.m.}} \) which can, in principle, diverge.
When two particles 1 and 2 collide, their energy in the centre of mass frame can be defined according to

\[ E_{\text{c.m.}}^2 = -P \mu P^\mu, \]  

\( P^\mu = p_1^\mu + p_2^\mu, \) is the total momentum, \( p_i^\mu = m_i u_i^\mu, \) \( m_i \) is the mass, index \( i \) labels particles.

Then,

\[ E_{\text{c.m.}}^2 = m_1^2 + m_2^2 + 2m_1m_2\gamma, \]  

where the Lorentz factor of relative motion

\[ \gamma = -u_{1\mu}u^{2\mu}. \]

Then, using equations of motion (2) - (4), one finds

\[ \gamma = \frac{X_1X_2 - \varepsilon_1\varepsilon_2Z_1Z_2}{m_1m_2N^2} - \frac{L_1L_2}{m_1m_2g_H}. \]  

It is seen from (13) that for the motion in the opposite direction (\( \varepsilon_1\varepsilon_2 = -1 \)) \( \gamma \) becomes unbounded if collision occurs close to the horizon, where \( N \) is small.

### III. NEAR-HORIZON EXPANSIONS

According to terminology accepted in works on high energy collisions near black holes, we call particle usual if \( X_H > 0 \) (critical, if \( X_H = 0 \)), where subscript "H" means that the corresponding quantity is calculated on the horizon. We assume that all particles participating in collision are usual, so in the near-horizon region we obtain

\[ Z = X - \frac{1}{2X}(m^2 + \frac{L^2}{g_H})N^2 + O(N^3). \]  

In turn, the quantity \( X \) can be expanded in the Taylor series. In this region, the expansion of the coefficient \( \omega \) near the extremal horizon takes the general form

\[ \omega = \omega_H - B_1N + B_2N^2 + O(N^3) \]

where \( \omega_H \) is the horizon value of \( \omega \) and \( B_i \) is some model-dependent coefficient. As a result,

\[ X = X_H + B_1LN - B_2LN^2 + O(N^3). \]
The similar expansion is valid for the metric coefficient $g$:

$$g = g_H + g_1 N + g_2 N^2 + O(N^3).$$ \hfill (17)

It follows from (14) and (8) that in the point of collision, neglecting terms of the order $N^2$, we have

$$\varepsilon_1 X_1 + \varepsilon_2 X_2 = \varepsilon_3 X_3 + \varepsilon_4 X_4.$$ \hfill (18)

**IV. SCENARIOS OF COLLISION**

Below, we assume that $\varepsilon_1 = -1, \varepsilon_2 = +1$. It means that particle 1 moves towards a black hole where it meets particle 2 moving away from a black hole. Particle 3 represents a fragment registered at infinity, provided it escapes, so $\varepsilon_3 = +1$. There are two scenarios depending on $\varepsilon_4$.

A. $\varepsilon_4 = -1$

Then, it follows from (18) that, with a given accuracy, in the point of collision

$$- X_1 + X_2 = X_3 - X_4.$$ \hfill (19)

Taking into account (9), (16) we have

$$(X_1)_H = (X_4)_H,$$ \hfill (20)

$$(X_2)_H = (X_3)_H,$$ \hfill (21)

$L_1 = L_4, \ L_2 = L_3.$ \hfill (22)

Equating in (8) the terms of the order $N^2$ and taking into account (22), we get

$$\frac{1}{2(X_1)_H}(m_1^2 + \frac{L_1^2}{g_H}) - \frac{1}{2(X_2)_H}(m_2^2 + \frac{L_2^2}{g_H}) = \frac{1}{2(X_4)_H}(m_4^2 + \frac{L_4^2}{g_H}) - \frac{1}{2(X_3)_H}(m_3^2 + \frac{L_3^2}{g_H}).$$ \hfill (23)

We want to obtain unbounded energy $E_3 > 0$ for finite values of $E_1, E_2, L_1, L_2$ and finite masses. Because of (8), this entails that $E_4$ must be negative and large. Then, according to
the condition $X_4 > 0$ entails that $L_4$ is negative and large. So, $L_3$ is positive and big. Writing $L_4 = L - L_3$, where $L$ is the total angular momentum, we have from (23) that

$$
\frac{1}{2 g_H} L_3^2 \left( \frac{1}{X_1} - \frac{1}{X_2} \right) H - \frac{LL_3}{g_H (X_1) H} + \frac{m_3^2}{2 (X_1) H} - \frac{m_4^2}{2 (X_2) H} + \frac{1}{2 (X_2) H} (m_2^2 + L_2^2) - \frac{1}{2 (X_1) H} (m_1^2 + L_1^2) = 0.
$$

(24)

In general, unbound $L_3$ are incompatible with the finiteness of all other quantities. However, let

$$L = 0
$$

(25)

and, additionally,

$$(X_1)_H = (X_2)_H.
$$

(26)

Then, the potentially growing terms cancel, and we are left with a simple condition

$$m_4^2 - m_3^2 + m_2^2 - m_1^2 = 0.
$$

(27)

For example, this can be satisfied for $m_1 = m_2 = m_3 = m_4$.

V. $\varepsilon_4 = +1$

Then, instead, we obtain

$$
\frac{1}{2 X_1} (m_1^2 + \frac{L_1^2}{g_H}) - \frac{1}{2 X_2} (m_2^2 + \frac{L_2^2}{g_H}) = \frac{1}{2 X_4} (m_4^2 + \frac{L_4^2}{g_H}) + \frac{1}{2 X_3} (m_3^2 + \frac{L_3^2}{g_H})
$$

(28)

As the right hand side is positive, in the limit $L_3 \to \infty$, it also diverges without compensation, whereas the left hand side is finite, so this scenario is inappropriate for our purposes. Moreover, the case when both particles 3 and 4 escape to infinity is irrelevant for our purposes since both of them would have positive energy, hence no energy extraction from a black hole.

VI. NONEQUATORIAL MOTION

The results can be generalized to nonequatorial motion in a straightforward manner. Then, instead of (5), we have

$$Z = X^2 - N^2 [m^2 (1 + g_{00} \dot{\theta}^2) + \frac{L_3^2}{g}],
$$

(29)
where $\dot{\theta} = \frac{d\theta}{d\tau}$, $\tau$ is the proper time.

Assuming that $g_{\theta\theta} \dot{\theta}^2$ remains finite for all particles, we see that this adds a finite correction to the large terms with $L^2$ in (29) and (23), so the main conclusions remain unaffected.

VII. SUMMARY AND DISCUSSION

We found that the energy measured at infinity can become unbound, provided the conditions (25) and (26) are satisfied. This is a counterpart of fine-tuning in the BSW effect [1]. More subtle point consists in the question, how one can obtain a particle which near the black hole horizon moves not towards a black hole but in the opposite direction. The simplest and a natural kind of this a scenario can be realized when such a a particle appears as a result of precedent collision. Thus although this scenario cannot be realized in a straightforward manner, this is possible due to multiple scattering. It is worth reminding that multiple scattering scenario is necessary ingredient in another context - for the BSW effect near nonextremal black holes [18]. Now, it can be used for extremal black holes and head-on collisions.

In combination with [6] - [9], the present results show that the question about scenarios with high energy debris from collisions near black holes needs further treatment.

[1] M. Bañados, J. Silk, S.M. West, Phys. Rev. Lett. 103, 111102 (2009) [arXiv:0909.0169].
[2] R. Penrose, Riv. Nuovo Cimento 1, 252 (1969); reprinted in Gen. Relativ. Gravit. 34, 1141 (2002).
[3] M. Bejger, T. Piran, M. Abramowicz, and F. Håkanson, Phys. Rev. Lett. 109 (2012) 121101 [arXiv:1205.4350].
[4] T. Harada, H. Nemoto and U. Miyamoto, Phys. Rev. D 86 (2012) 024027 [Erratum ibid. D 86 (2012) 069902] [arXiv:1205.7088].
[5] O. Zaslavskii, Phys. Rev. D 86 (2012) 084030 [arXiv:1205.4410].
[6] O. B. Zaslavskii, Phys. Rev. D 86, 124039 (2012) [arXiv:1207.5209].
[7] H. Nemoto, U. Miyamoto, T. Harada, and T. Kokubu, Phys. Rev. D 87, 127502 (2013) [arXiv:1212.6701].
[8] J. Gariel, N. O. Santos, and J. Silk, Phys. Rev. D 90, 063505 [arXiv:1409.3381] (2014).
[9] J. D. Schnittman, [arXiv:1410.6446].
[10] T. Piran, J. Katz, and J. Shaham, Astrophys. J. 196, L107 (1975).
[11] T. Piran and J. Shaham, Astrophys. J. 214, 268 (1977).
[12] T. Piran and J. Shanam, Phys. Rev. D 16, 1615 (1977).
[13] M. Patil and P. Joshi, Class. Quant. Grav. 28, 235012 (2011) [arXiv:1103.1082].
[14] M. Patil, P. S. Joshi, M. Kimura, and K. Nakao, Phys. Rev. D 86, 084023 (2012) [arXiv:1108.0288].
[15] M. Patil, P. S. Joshi, Phys. Rev. D 86, 044040 (2012) [arXiv:1203.1803].
[16] O. B. Zaslavskii, Phys. Rev. D 88, 044030 (2013).
[17] I. V. Tanatarov and O. B. Zaslavskii, Phys. Rev. D 86, 044019 (2012).
[18] A.A. Grib and Yu.V. Pavlov, Pis'ma v ZhETF 92, 147 (2010) [JETP Letters 92, 125 (2010)].