Spin current in the Kondo lattice model

Shun-Qing Shen\textsuperscript{1} and X. C. Xie\textsuperscript{2}

\textsuperscript{1}Department of Physics, The University of Hong Kong, Pokfulam Road, Hong Kong, China
\textsuperscript{2}Department of Physics, Oklahoma State University, Stillwater, OK 74078 and International Center for Quantum Structure, Chinese Academy of Sciences, Beijing, China

(Dated: January 4, 2004)

By using the projection operator technique it is observed that the strong Hund’s rule coupling and s-d interaction in transition metal elements may lead to an effective coupling between the spin current and spin chirality. As a result, the spin chirality can be regarded as a driving force to produce a spin current. The spin current may give rise to a novel type of field acting on the spins. A spin battery is designed based on the interactions between the spin current and spin chirality.

PACS numbers: 72.15.Gd, 75.10.-Lp

Spin-dependent effects, such as giant or colossal magnetoresistance and magnetization switching, arise from the interaction between spin of charge carrier and an applied external magnetic field. In spintronics, instead of charge, electron spin carries information and it is possible that capability and performance can be enhanced in spintronics devices.\textsuperscript{1} Possible applications and fundamental science involved make the study of spin-dependent transport an intensive field in condensed matter physics. Recently, several ways to produce a pure spin current are proposed, such as anomalous Hall effect in ferromagnetic metals\textsuperscript{2,3}, ferromagnetic resonance\textsuperscript{4,5}, and the spin current in a spin spiral state.\textsuperscript{6,7} In this paper, we study spin current in the Kondo lattice model, a simplified model containing essential physics for many ferromagnetic metals or semiconductors. We find a new type of interaction between spin current and spin chirality (defined later) in the strong Hund coupling limit. This indicates that the spin chirality can be regarded as a driving force to produce spin current, similar as an electric field does to the electric current. Furthermore, the spin current induces a new type of an effective magnetic field. Based on the interaction between spin current and spin chirality, we propose a scheme to design a spin battery. As a demonstration, we study the spin transport in the well known spiral state to bring out the above mentioned spin-current effects.

The Hund’s rule coupling and s-d exchange interaction are crucial in transition metal elements. It plays a key role in the formation of metallic ferromagnetism in colossal magnetoresistance materials\textsuperscript{8,9,10,11,12} and diluted magnetic semiconductors.\textsuperscript{13,14} In this paper we focus on doped transition metal oxides and/or ferromagnetic semiconductors. The minimal model for these materials is the Kondo lattice model,

\[ H = -t \sum_{\langle n,m \rangle, \sigma} c_{n,\sigma}^{\dagger} c_{m,\sigma} - J \sum_{n,\sigma} \tau_{\sigma\sigma'} c_{n,\sigma}^{\dagger} c_{n,\sigma'}, \]  

where \( c_{n,\sigma}^{\dagger} \) and \( c_{n,\sigma} \) are the creation and annihilation operators for conduction electrons, \( S_{n} \) is the spin operators for the localized spin, and \( \tau \) are the Pauli matrices and \( \tau_{0} \) is the 2 × 2 identity matrix. The summation over \( \langle n,m \rangle \) runs for the nearest neighbor pairs of lattice sites. In this paper we will set \( h = c = 1 \) and the lattice space is \( a \) and is set to unit.\textsuperscript{10} For the Hund’s rule coupling, \( J \) is always positive. The model has been studied extensively.\textsuperscript{15} The spin current operator along \( \alpha \) axis in the tight binding approximation is defined as

\[ I_{n+\alpha}^{s} = -\frac{i}{2} \sum_{\sigma,\sigma'} \left( c_{n,\sigma}^{\dagger} \tau_{\sigma\sigma'} c_{n+\alpha,\sigma'} - c_{n+\alpha,\sigma}^{\dagger} \tau_{\sigma\sigma'} c_{n,\sigma} \right), \]  

where \( \alpha = x, y, z \) are vectors pointing to the nearest neighbor sites, which have one longitudinal and two transverse components. The spin polarized currents and electric current become equal in a fully polarized ferromagnetic system.

Before we discuss the spin transport of Eq.(1) in an arbitrary dimension, let us first to illustrate the physics by considering the well known spin spiral state in one-dimensional system. In general, the spin spiral state may not be the ground state of Eq.(1), however, it can be stabilized by external environments, such as by applying external fields along different directions at the ends of the system.\textsuperscript{16} Our purpose here is to demonstrate the consequence of the spin spiral state. We parameterize \( S_{n} \) by the polar angles \( \theta_{n} \) and \( \varphi_{n} \), i.e., making the classical spin approximation. The spin spiral state is defined by \( S_{n}/S = (\sin \theta \cos \varphi_{n}, \sin \theta \sin \varphi_{n}, \cos \theta) \). The spin chirality defined as the cross times between the two neighboring spins: \( (S_{n} \times S_{n+1})_{z} = S^{2} \sin^{2} \theta \sin \varphi \). In the k- space, we introduce a spinor operator \( \Phi^{\dagger}(k) = \left( c_{ka+\varphi/2,\uparrow}^{\dagger}, c_{ka-\varphi/2,\downarrow}^{\dagger} \right) \). The mean field Hamiltonian is written as

\[ H = \sum_{k} \Phi^{\dagger}(k) H(k) \Phi(k), \]  

where \( H(k) = -g_{0} \tau_{0} - g_{x} \tau_{x} - g_{z} \tau_{z} \) with \( g_{0} = \mu + 2t \cos \frac{\varphi}{a} \cos \theta a; g_{x} = \frac{J}{2} \sin \theta; g_{z} = \frac{J}{2} \cos \theta - 2t \sin \frac{\varphi}{a} \sin ka \). \( \mu \) is the chemical potential. The single particle Green
function in a $2 \times 2$ matrix form is given by

$$G(k, i\omega_n) = (i\omega_n \tau_0 - H(k))^{-1}$$

$$= \frac{((i\omega_n + g_0)\tau_0 - g_x \tau_x - g_z \tau_z)}{(i\omega_n - \omega_+ + \mu)(i\omega_n - \omega_+ - \mu)}, \quad (4)$$

where $\omega_n = (2n + 1)\pi kT$ with an integer $n$ and $T$ is the temperature. The two branches of spectra are

$$\omega_\pm = \frac{2t}{\sqrt{2}} \cos ka$$

$$\pm \left[ \left( \frac{t}{2} \cos \theta - 2t \sin \frac{\pi}{2} \sin ka \right)^2 + \frac{J^2}{4} \sin^2 \theta \right]^{1/2}. \quad (5)$$

In the $k$-space, the expectation value of the longitudinal spin current operator is written in terms of the Green’s function,

$$(I^z_s) = \frac{1}{N} \sum_k \left[ A_+ n_F(\omega_+ - \mu) - A_- n_F(\omega_- - \mu) \right], \quad (6)$$

where

$$A_\pm(k) = \pm \sin \frac{\phi}{1} \cos ka$$

$$+ \frac{t \sin \phi \sin^2 ka - \frac{J}{2} \cos \theta \cos \frac{\pi}{2} \sin ka}{\sqrt{\left( \frac{t}{2} \cos \theta - 2t \sin \frac{\pi}{2} \sin ka \right)^2 + \frac{J^2}{4} \sin^2 \theta}} \quad (8)$$

We also calculated the electric current $I^z_s$ and found that $I^z_s = 0$. Thus in the spiral state there exists a spin current without a charge current. In Fig. 1 we plot spin current as a function of electron density in the state with $\theta = \pi/2$, and $\phi = 0.2\pi$ for different $J$. We see that a larger $J$ produces a higher spin current. For a finite $J$ the current may change direction with change of density. In Fig. 2 we plot the relation between spin current and spin chirality at the density of 0.2. The spin current increases with the spin chirality.

In the large $J$ limit, the problem can be simplified. An equivalent Hamiltonian of Eq. (1) or so-called double exchange model in the large $J$ limit is given by

$$H_{de} = - \sum_{(n, m)} t_{nm} \alpha^+_n \alpha_m, \quad (7)$$

with $t_{nm} = t [\cos \frac{\phi}{1} \cos \frac{\theta}{2} + \sin \frac{\phi}{1} \sin \frac{\theta}{2} e^{-i(\epsilon_n - \epsilon_m)}]$, and $\alpha_n = \cos(\theta_0/2)(1 - n_\uparrow c_{n\uparrow} + \exp[i\phi/2] \sin(\theta_0/2)(1 - n_\downarrow c_{n\downarrow}) \epsilon_n$ and satisfies the anticommutation relation, $[\alpha^+_n, \alpha^+_m] = \delta_{k, k'}$. The energy excitations and their eigenstates can be obtained from the diagonalized Hamiltonian,

$$H_{de} = -2t \sum \left[ \cos^2 \frac{\theta}{2} \cos ka + \sin^2 \frac{\theta}{2} \cos(ka + \phi) \right] \alpha^+_k \alpha_k, \quad (8)$$

where $\alpha_k$ is the Fourier transform of $\alpha_n$. In the case when the spins of conduction electrons are frozen by the localized spins, and the quasiparticles become spinless fermions. The two Fermi momenta are $k_0 = -k_0 \pm \rho \pi$ where $k_0 = \arctan[\sin^2 \theta \sin \phi/(\cos^2 \theta + \sin^2 \theta \cos \phi)]$ and $\rho$ is the ratio of the number of conduction electrons to the number of total lattice sites and $\rho/\alpha$ is the density of conduction electrons. $k_0$ is nonzero when the order parameter for the spin spiralling is nonzero. Its lowest energy state is $\langle GS | = \prod_{k_0 \leq k \leq k_0^+} \alpha^+_k | 0 \rangle$. The spin current in the state can be calculated from $I^z_s = \sum_n (GS \langle T_{s_{n+1}}(GS) / N). We have an analytical expression for spin current,

$$I^z_s = \frac{\hbar \sin \rho \pi}{\pi} \frac{\sin^2 \theta \sin \phi}{\sqrt{1 - \sin^2 \theta \sin^2 (\phi/2)}}. \quad (9)$$

The result is equal to what we obtain by taking the large limit in Eq. (6). In the point of view of the Berry phase the spin spiral state acquires a constant Berry phase in the spin-dependent renormalized factor in the Hamiltonian (Eq. 7), i.e., $t_{nn+1} = \left| t_{nn+1} \right| e^{-i\delta \phi}$. The non-zero phase $\delta \phi$ drives a spin current.

By analogy with electric field and resistance, we introduce the concept of spin current resistance or its reciprocal, spin conductance. The role of $\frac{S_n \times S_m}{S_n \times S_{n+1}}_z = S^2 \sin^2 \theta \sin \phi$. 

---

**FIG. 1:** Spin current via density of conduction electron in the spin spiral state of $\theta = \pi/2$ and $\phi = 0.2\pi$.

**FIG. 2:** Spin current via spin chirality at $J = 1.0$ with the density of conduction electron 0.20.
In Eq.\((\ref{eq:1})\), the current is proportional to the spin chirality \((S_n \times S_{n+1})_z\), and can be written in a compact form \(I^z_n = c \cdot (S_n \times S_{n+1})_z / S^2\) by introducing a spin conductance
\[
c = \sin \rho \pi / \left[ \pi \sqrt{1 - \sin^2 \theta \sin^2(\varphi/2)} \right] \tag{10}
\]
in a large J limit. The spin conductance is determined by the filling of electrons and the magnetic structure. Just like an electric conductance, the spin conductance reflects intrinsic properties of spin transport in materials. It depends on the spin-dependent scattering mechanism of electrons. In Eq. \((\ref{eq:10})\), for the case of \(\rho \to 0\) or \(\to 1\), \(c\) is proportional to the density of electrons \(\rho\) or the density of holes \(1 - \rho\). For a small spin chirality \(\sin^2 \theta \sin^2(\varphi/2) \to 0\), the spin conductance approaches \(\left| \sin \rho \pi \right| / \pi\), which is proportional to the averaging kinetic energy of the charge carriers in this tight binding theory.

From the numerical results in Figs. 1 and 2, we deduce that the spin conductance also depends on \(J\). It becomes more complicated for the finite \(J\) cases. Similarly, we can also introduce a spin voltage, which is also proportional to the length along the spin spiraling path, \(V_{\text{spin}} = \int (S_n \times S_{n+1}) \cdot d\mathbf{l} / S^2\) where \(d\mathbf{l} = \mathbf{r}_{n+1} - \mathbf{r}_n\) is the difference of two position vectors of neighboring spins. \(V_{\text{spin}}\) is an important parameter characterizing the ability to produce a spin current.

Thus far we have introduced several important concepts, such as spin chirality or spin current resistance, through the example of the classical spiral state. To determine the microscopic mechanism to produce a spin current, we go back to the Kondo lattice model (Eq.\((1)\)) in the large Hund’s rule coupling limit and address the quantum spin case. The following discussions are not limited to one-dimensional systems and the conclusion is independent of dimensionality. According to the Hund’s rule coupling, the energy of empty or double occupancy of conduction electrons is zero. For a single occupancy the conduction electron and localized spin can form a spin \(S + 1/2\) state with \(+J(S + 1/2)\) and a spin \(S - 1/2\) state with \(-J(S + 1/2)\). In the large \(J\) limit the spin \(S - 1/2\) state and double occupancy should be excluded as they have much higher energy than the spin \(S + 1/2\) state. This process can be realized with the help of the projection technique. For that, we introduce the spinor
\[
\phi_n^\pm = \left(1 - n_{n,\downarrow} \right) c_{n,\uparrow}^\dagger, \left(1 - n_{n,\uparrow} \right) c_{n,\downarrow}^\dagger .
\]
The dressed operators \((1 - n_{n,-\sigma}) c_{n,\sigma}^\dagger\), instead of \(c_{n,\sigma}\), are used here to avoid the double occupancy due to the strong coupling. The projection operator for the spin \(S + 1/2\) state is defined as
\[
\mathcal{P}^+_n = \phi_n^+ \mathbf{P}^+_n \phi_n ,
\]
where \(\mathbf{P}^+_n = [S_n^\uparrow + \tau + (S + 1)\tau_0] / (2S + 1)\). The operator \(\mathcal{P}^+_n\) forces the electron spin at site \(n\) to be fully polarized with the localized spin at the same site. The projection operator for non-occupancy is \(\mathcal{P}^0_n = (1 - n_{n,\downarrow})(1 - n_{n,\uparrow})\).

The total projection operator to exclude the spin \(S - 1/2\) single occupancy and double occupancy is \(\mathcal{P} = \prod_n (\mathcal{P}^+_n + \mathcal{P}^0_n)\). Therefore the equivalent Hamiltonian in the strong Hund’s rule coupling limit is expressed as
\[
H_{\text{eff}} = \mathcal{P} \mathcal{H} \mathcal{P} = -t \sum_{nm} \left[ \phi^+_nm \mathbf{P}^+_m \phi_m + h.c. \right], \tag{12}
\]
where a constant is omitted in the last step. In the large \(S\) approximation the Hamiltonian of (Eq.\((12)\)) becomes the double exchange model in Eq.\((4)\). Using a mathematical identity
\[
(S_n \cdot \tau)(S_m \cdot \tau) = S_n \cdot S_m \tau_0 + i (S_n \times S_m) \cdot \tau , \tag{13}
\]
the Hamiltonian can be rewritten as
\[
H = -\frac{(S + 1)^2 t}{(2S + 1)^2} \sum_{nm} k_{nm}
- \frac{t}{(2S + 1)^2} \sum_{nm} S_n \cdot S_m
- \frac{(S + 1)t}{(2S + 1)^2} \sum_{nm} (S_n + S_m) \cdot M_{nm}
+ \frac{t}{(2S + 1)^2} \sum_{nm} (S_n \times S_m) \cdot \mathbf{I}_{nm} , \tag{14}
\]
where \(k_{nm} = \phi^+_n \tau_0 \phi_m + \phi^+_m \tau_0 \phi_n\) and \(M_{nm} = \phi^+_n \tau \phi_m + \phi^+_m \tau \phi_n\). The first term is the kinetic part for conduction electrons excluding double occupancy, like the \(t\)-term in the \(t - J\) model. The second term contains an effective Heisenberg-type spin-spin exchange interaction. Approximately, the effective coupling is \(J_{\text{ferro}} = -t \langle k_{nm} \rangle / (2S + 1)^2\), proportional to the kinetic energy in the sense of the mean field approximation. The kinetic energy \(-t \langle k_{nm} \rangle\) in the tight binding approximation is always negative if the electron filling \(\rho < 1\) such that the effective coupling is ferromagnetic. This is consistent with the double exchange picture\,\(^{22,23}\) The third term is the interaction between local spin \(S_n\) and the vector \(M_{nm}\). For a paramagnetic state, \((M_{nm})\) is equal to zero, but in a fully polarized state, its value is equal to \(\langle k_{nm} \rangle\), i.e. proportional to the kinetic energy. Among the four terms the most important observation is from the last term: it contains an interaction between the spin current operator \(\mathbf{I}_{nm}^\alpha\) and the spin chirality \(S_n \times S_m\). By analogy with the coupling between the electric current and electric field it is straightforward to understand why a non-zero spin chirality induces a pure spin current. Although we cannot derive an analytic expression for a finite \(J\), it is expected that the mechanism to produce a spin current remains the same.

A new effective field and spin battery. To clarify the physical meaning of the last term, we come to calculate the rate of change of a local spin. From classical mechanics or from the Heisenberg equation of motion the rate of change of angular momentum \(S_n\) is equal to the torque \(\mu_n \times \mathbf{h}_{\text{eff}}\) which acts on the spin,
\[
dS_n / dt = -g \mu_B S_n \times \mathbf{h}_{\text{eff}} , \tag{15}
\]
A new type of force or effective field is caused due to the interaction between the spin current and local spin or local magnetization. The force may be responsible for magnetization precession by the spin injection into a magnetic conductor and the anomalous Hall effect in ferromagnetic materials. Further discussion along this line will be published elsewhere. Our observation will lead to potential applications. For example, by means of the spin spiraling properties we can design a spin battery to produce a pure spin current. The battery contains two parts as shown in Fig. 3. The main part is a spin spiraling material. The spins spirals about the axis which is perpendicular to the interface with a ferromagnetic metal film such that the spin voltage along the axis is non-zero. The spin chirality in the material will produce the spin accumulation at two terminals. The magnetization of the film is also perpendicular to the interface. The film is a source of spins which will flow out of the battery driven by the spin chirality. The key element in this battery is the spin spiraling materials. Possible realization of spiral states have been discussed by several authors and the state may also be stabilized with help of the external magnetic field and exchange coupling between localized spins.

In conclusion, we have shown that the strong coupling between the spins of charge carriers and local spins leads to an effective interaction between spin current and spin chirality in the system. Non-zero spin chirality may give rise to a pure spin current and in return a spin current can cause a novel type of force on the spins. We confirm these findings by studying in detail the spin transport in the spin spiral state.

The work is supported by grants from the Research Grants Council of Hong Kong, China (Project HKU7088/01P) and US-DOE.

1 A. A. Wolf et al., Science 294, 1488 (2001)
2 M. Ziese and M. J. Thornton (Eds.), Spin electronics, (Springer, 2002)
3 D. D. Awschalom, D. Loss, and N. Samarth (Eds.), Semiconductor Spintronics and Quantum Computation, (Springer, 2002)
4 J. E. Hirsch, Phys. Rev. Lett. 83, 1834 (1999); Phys. Rev. B 60, 14787 (1999)
5 S. Zhang, Phys. Rev. Lett. 85, 393 (2002)
6 A. Brataas, Y. Tserkovnyak, G. E. W. Bauer, and B. I. Halperin, Phys. Rev. B 66, 060404 (2002)
7 L. Berger, Phys. Rev. B 59, 11465 (1999); J. Appl. Phys. 90, 4632 (2001)
8 S. Q. Shen, Phys. Lett. A. 235, 403 (1997)
9 J. Konig, M. C. Bonsager, and A. H. MacDonald, Phys. Rev. Lett. 87, 187202 (2001)
10 C. Zener, Phys. Rev. 82, 403 (1951)
11 P. W. Anderson, and H. Hasegawa, Phys. Rev. 100, 675 (1955)
12 K. Kubo, and N. Ohata, J. Phys. Soc. Jpn. 33, 21 (1972)
13 H. Ohno, Science 281, 951 (1998)
14 T. Dietl, O. Ohno, F. Matsukura, J. Cibert, D. Ferrand, Science 287, 1019 (2000)
15 D. J. Scalapino, S. R. White, and S. Zhang, Phys. Rev. B 47, 7995 (1993)
16 H. Tsunetsugu, M. Sigrist, and K. Ueda, Rev. Mod. Phys. 69, 809 (1997)
17 As an illustration we consider a ferromagnetic Heisenberg chain with n spins. The directions of two spins at two terminals can be controlled by strong magnetic fields. Assume the angles between the two spins are fixed to be \( \varphi \). In the classic spin limit the ground state is obtained by minimizing the classical energy and is shown to be an spin spiral state: the angle between two nearest neighbour spins is \( \varphi/(n-1) \). The quantum case can be solved by the Bethe ansatz and/or in the spin wave theory. Other conditions such as longer-range exchange coupling and spin lattice structures may also give a spin spiral state.
18 E. Müller-Hartmann and E. Dagotto, Phys. Rev. B 54, R6819 (1996)
19 P. Fulde, Electron Correlations in Molecules and Solids, (Springer, 1997)
20 S. Q. Shen and Z. D. Wang, Phys. Rev. B 58, R8877 (1998); Phys. Rev. B 61, 9532 (2000).
21 The microscopic mechanism should hold in the case of an antiferromagnetic coupling \( J < 0 \) and large S. In the strong limit the conduction electron and local spin form a spin \( S = 1/2 \) state. The projection operator for the state is \( P_{S}^{\pm} = [-S_{z}, \tau + S_{\eta}]/(2S + 1) \) instead of \( P_{S}^{\pm} \). The main different point is that the coupling in the third term in Eq. (14) is antiferromagnetic instead of ferromagnetic, and the correspondent coefficients are revised slightly. The
ferromagnetism in diluted magnetic semiconductors originates from the antiferromagnetic coupling between the conduction electrons and doped magnetic elements.\textsuperscript{11}

\textsuperscript{22} N. Furukawa, J. Phys. Soc. Jpn. 64, 2734 (1995)
\textsuperscript{23} A. J. Millis, P. B. Littlewood, and B. I. Shraiman, Phys. Rev. Lett. 74, 5144 (1995); H. Röder, J. Zang, and A. R. Bishop, Phys. Rev. Lett. 76, 1356 (1996)
\textsuperscript{24} Apparently the stronger coupling favors to produce the spin current in a spiral state as shown in Fig. 1
\textsuperscript{25} W. Weber, S. Riesen, and H. C. Siegmann, Science 291, 1015 (2001)
\textsuperscript{26} T. Jungwirth, Qian Niu, and A. H. MacDonald, Phys. Rev. Lett. 88, 207208 (2002)
\textsuperscript{27} K. Kubo, J. Phys. Soc. Jpn. 51, 782 (1982)
\textsuperscript{28} J. Jensen and A. R. Machintosh, Rare Earth Magnetism, (Clarendon Press, Oxford, 1991)
\textsuperscript{29} M. Korling and J. Ergon, Phys. Rev. B54, 8293 (1996)
\textsuperscript{30} J. Inoue and S. Maekawa, Phys. Rev. Lett. 74, 3407 (1995)