Evaluation of a Complex Information Extraction Application in Specific Domain

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Abstract

Operational intelligence applications in specific domains are developed using numerous natural language processing technologies and tools. A challenge for this integration is to take into account the limitations of each of these technologies in the global evaluation of the application. We present in this article a complex intelligence application for the gathering of information from the Web about recent seismic events. We present the different components needed for the development of such system, including Information Extraction, Filtering and Clustering, and the technologies behind each component. We also propose an independent evaluation of each component and an insight of their influence in the overall performance of the system.

Keywords: Information Extraction, Evaluation, Filtering

1. Introduction

Information Extraction (IE) deals with the identification of structured information from unstructured text. It covers various tasks from Named Entity Recognition (NER) up to scenario template construction (Cunningham, 2005). For these different tasks, numerous approaches have been proposed and evaluated in general frameworks and evaluation campaigns such as MUC (Message Understanding conference) (Grishman and Sundheim, 1996), ACE (Automatic Content Extraction) or, more recently, TAC (Text Analysis Conference). These campaigns give the benchmarks needed to evaluate different tasks of IE, but when it comes to operational applications, intelligence tools for information extraction in specific domains are developed using numerous natural language processing technologies, that cover different tasks of IE, along with other modules for information filtering, retrieval or clustering.

Such systems can be evaluated directly using an end-user evaluation. However, this kind of evaluation is costly and cannot be used repeatedly during the development and tuning of the system. Furthermore, end-user evaluation also relies on the evaluation of ergonomic aspects through the quality of the user interface and not only on the quality of the results produced by the system. End-users evaluation should then be used for final evaluation of the system more than during the development, in order to improve the quality of the IE tools.

On another hand, the automatic evaluation of such complex frameworks is difficult because of the diversity of the integrated modules. A challenge for this evaluation is to take into account the limitations of each of these technologies in the global evaluation of the application. We present in this article an evaluation of an intelligence application in specialized domain that combines the independent evaluation of each component of the application, comparing various strategies for each component, and an error analysis that gives an insight on the influence of each component on the overall performance of the system. We present in section 2 the general architecture of the application, whose aim is to gather information from the Web about recent seismic events. Then, in section 3, we present the different components needed for the development of such system, for each component, the different methods tested and their evaluation. Finally, in section 4, we present an evaluation of the influence of each component on the global process and their contribution to the overall perceived quality of the system.

2. Presentation of the Information Extraction application

The Information Extraction application we evaluate in this article is designed to help analysts for the surveillance of seismic events. In this domain, the detection of new events is generally performed using signals from seismic and hydro-acoustic stations, treated with specialized analysis tools. The analysts also gather information from the Web to corroborate and complement the interpretation of the signals. The purpose of the application is to assist these analysts in linking the seismic information from the seismometers to information published on the Web, by identifying specific entities in the texts (locations, dates, magnitudes) and to structure these entities into event templates in order to present them to the user.

In the domain of seismic event surveillance, other works have been done to enrich the direct detection from sensors using texts (Sakaki et al., 2010; Earle et al., 2010) but these studies use more particularly Twitter as a source and rely on the information stream, treating temporal and spatial characterization of the tweets, and using the number of tweets rather than a sophisticated analysis of the content: in this case, Twitter itself is seen as another kind of sensor. In our approach, we use a deeper linguistic analysis of the texts, that is less adapted to a real-time detection of seismic events but is designed to provide a complement of information (the confirmation of a detection, how the people describes the event, the damages caused, etc.).

In this perspective, the objective of the application is to recognize, in texts extracted from the Web, the mention of a seismic event and to automatically identity relevant asso-
Figure 1: Output of the application: synthetic presentation of the analyzed content in a dashboard

| Date       | Article                                                                 | Time          | Location   | Magnitude | Damages                  |
|------------|-------------------------------------------------------------------------|---------------|------------|-----------|--------------------------|
| 2009-02-03 | Small earthquake ruffles northern New Jersey                            | 06:10:00 UTC  | northern New Jersey | 3         | no injuries or damages   |
| 2009-01-30 | No Reports of Damage From 7.5 Magnitude Earthquake                      | 05:00:00 local| Saito      | 4.5       | no immediate reports of damage |
| 2009-02-02 | Earthquake of 5.2 magnitude shakes south...                             | 07:00:00 local| southern Mexico | 4.2       | no casualties             |
| 2009-01-29 | Magnitude 4.2 quake strikes lake of Ca.                                  | 12:41:00 local| California | 4.2       | no apparent damages or injuries |
| 2009-01-29 | 7.6 magnitude earthquake hits Northwest Territorial                     | 01:30:00 local| Northwest Territorial | 3.6     | no structural damage   |
| 2009-01-12 | 7.0 magnitude earth quake hits New Zealand                              | 04:50:00 local| south of Hakon | 4.6       | no casualties or damages |
| 2009-01-29 | Strong earthquakes in Indian and Pacific                               | 06:00:00 local| north of Australia | 5.2       | no damage               |
| 2009-01-30 | Chile quake trigger recent quakes in Wash.                               | 05:15:00 local| Washington | 3         |                          |
| 2009-01-29 | 2.5 Magnitude earthquake hit in North Carolina                          | 04:11:00 local| North Charleston | 2.5     |                          |

3. Methods and Evaluation

All the evaluations presented in this paper have been performed on a corpus of French news articles concerning seismic events that have been collected between April and September 2008, on the French Agence France Presse (AFP) newswire (one third of the corpus) and from Google News (two thirds). The total corpus contains 501 relevant texts mentioning at least one seismic event. Other non-relevant texts have also been used to train the filtering tool.

3.1. Textual Content Extraction

The documents used for an IE application can come from different sources, such as newswires or news published on the Web. In the first case, the documents are generally well formatted and the content easy to extract (for instance, the news collected for this application from the AFP newswire are formatted using the XML format NewsML \(^1\) from the IPTC). In the second case, we need to extract the interesting textual content from the HTML page. Otherwise, the surrounding text can add noise to the IE process (for instance, another event can be cited in the headline of a different article that is linked in the page).

Some works use machine learning techniques (for instance (Cai et al., 2003) uses the visual appearance of a Web page) that are dependent of the sites from which the pages are taken. We are in a context where we do not want to be restricted ourselves to a given number of sites. Other approaches have been proposed, in particular in the context of the CLEANEVAL evaluation campaign on Web page cleaning (Baroni et al., 2008), but some strategies that achieve good performance in terms of coverage, such as N-cleaner (Evert, 2008), do not guarantee the readability of the result, which is fine when you want to use the extracted texts as a corpus to build language models, but is a problem in our application where the extracted text is presented to the end-users. An approach such as boilerpipe (Kohlschütter et al., 2010) combines shallow text features and text density features to identify the textual content and proposes a readable output.

We tested, in our application, two simple strategies for textual content extraction from Web pages:

- a first strategy, called density-cleaner, uses a text dump of the HTML page (using Lynx) and a measure of text density changes in the page, to spot the text borders. More precisely, we search for the strongest density changes in a similar way as (Hearst, 1997) for topic segmentation: we use lines of text as units and a sliding window on these units. The first most important increase of density indicates the beginning of the informative content part, the next most important decrease of density indicates the end of the informative zone. We also use additional indicators such as the presence of the title (when available as metadata) and

\[^1\]http://www.newsml.org
the paragraph structure in order to adjust the limits to form a readable text;

- a second strategy, called html-cleaner, uses the HTML structure of the page to spot text markers in the page (such as <br> or <p> tags) and to go up to the closest common parent tag to get the text block. Using the HTML structure avoids the problem of detection of the right limit of the text which often arises with the first method. This strategy was inspired by the tool Readability (Arc90, 2009). We also use the presence of the title when available.

We tested these two methods on a corpus of 50 Web pages, which content has been annotated using the same format as in the CLEANEVAL evaluation campaign (Baroni et al., 2008), and used the scoring tool from this campaign, and compared them with the results obtained by N-cleaner and boilerpipe. The results are presented in Table 1.

| Method           | Precision | Recall | F-measure |
|------------------|-----------|--------|-----------|
| density-cleaner  | 56.5%     | 90.4%  | 69.5%     |
| html-cleaner     | 96.5%     | 94.4%  | 95.4%     |
| boilerpipe       | 92.5%     | 97.1%  | 92.7%     |
| N-cleaner        | 64.9%     | 83.7%  | 73.1%     |

Table 1: Evaluation of the results of textual content extraction from Web pages

Even if the results are not as good as state-of-the-art results for more standard named entities, the results are correct and, more particularly, on the corpus of 501 documents, the recall rate is generally high, which is necessary for the next steps of the IE process. The worst results are obtained for the DAMAGES entities (63%), which are more difficult because of a greater variability in expressions (the reference annotations contain simple phrases such as "1,000 houses damaged" and more complex expressions including complete sentences such as "two junior high school buildings respectively located in Sumalata and Tolinggula sub districts were destroyed").

3.3. Event Identification

After the linguistic analysis of the text, we have the information about the specific entities. The event identification step must find which of these entities are related to the main event of the text: for the analysts, the useful information is only the information relative to the most recent event. The event identification process is performed in two steps:

- we first segment the text into events: we focus on the extraction of information related to one particular event and the news articles often mention several events of the same type, for comparison purposes (the impact of a recent earthquake is compared with a more important earthquake that occurred previously in the same region). We therefore want to isolate parts of the text in which a single event is mentioned, and to do so, we focus on temporal information to segment the text in parts that are temporally homogeneous (each event is generally unique in a given time interval described in the text);

- in the segment referring to the main event (i.e. the most recent one), we choose from the entities which ones are related to the event in order to fill the slots of the event template.

3.3.1. Segmentation

For event segmentation, we want to distinguish segments relative to the main event, to a different event or to anything else. We use temporal information, with the hypothesis that parts of the text sharing the same temporal frame will deal with the same event.

| Entity type             | Explanation of the entity                     |
|-------------------------|------------------------------------------------|
| EVENT_TYPE              | type of the event (earthquake, tsunami ...)   |
| LOCATION                | location of the event: the location can be a precise place (city) or a more global place (country) |
| DATE                    | date of the event                            |
| TIME                    | time of the event                            |
| MAGNITUDE               | magnitude                                     |
| DAMAGES                 | damages caused by the event                  |
| GEO_COORD               | geographical coordinates of the event (longitude/latitude) |

Table 2: List of the specific entities of interest to characterize a seismic event
Two methods have been tested. The first one is based on a heuristic temporal segmentation based on the presence and values of dates, with the following principles: dates with different values correspond to different segments and the limit between two different segments is chosen between two different dates based on the structure of the text in sentences and paragraphs, along with the presence of other entities that are characteristic of the domain. The second method tested is based on a machine learning model, using temporal cues as features (verb tenses, presence of dates and temporal expressions) and a Conditional Random Field (CRF) model to take into account the sequence of the temporal information. This machine learning method aims at classifying each sentence of the text into one of the following classes: “main event” “secondary event”, “background”. This segmentation method is described in more details in (Jean-Louis et al., 2010). The results of the two methods are presented in Table 4, on a subset of the corpus containing 140 documents, manually annotated into segments. Most documents contain at least two events. The results show that the CRF model outperforms the heuristic method.

### 3.3.2. Slot Filling

For the slot filling part, we tested several strategies. The first method, called Position is a simple heuristic consisting in taking, for each entity needed to characterize the event, the first entity of the required type in the segment of text associated with the main event. A second set of strategies is composed of graph-based techniques based on the graph of relations between entities. This entity graph is built using statistic classifiers trained to indicate the presence or absence of a relation between two entities. A selection of the entities associated with the event mention in this graph is performed using the connections in the graph and their weights. More specifically, we tested a selection method simply based on the weight of the relations (Confidence), a method based on the PageRank algorithm (PageRank) and a hybrid method combining the different selections according to the type of the entity (Hybrid). These strategies are described in more details in (Jean-Louis et al., 2011). The results, presented in Table 5, show that the simplistic heuristic already gives good results but can be improved using the more sophisticated techniques, the hybrid method giving the best results.

| event type | heuristic | CRF |
|------------|-----------|-----|
| main event | Recall 82.8% Precision 64.7% Recall 98.7% Precision 87.4% |
| sec. event | Recall 23.5% Precision 43.4% Recall 52.7% Precision 95.8% |
| background | Recall 16.9% Precision 21.7% Recall 69.3% Precision 92.7% |

Table 4: Results of event-based segmentation

Table 5: Evaluation of the association of entities to events for the 501 annotated documents

### 3.4. Filtering

Document filtering allows to keep only relevant news in the synthetic dashboard. For the documents collected from the Web, a first pre-filtering step can be integrated if we use a search engine to acquire the documents by defining a specific query relative to the domain. But it is generally difficult to have a non-ambiguous query. Furthermore, this pre-filtering step cannot be applied on documents collected blindly from a newswire, except if we decide to index all documents using a local search engine, which can be costly. A second straightforward criterion for this filtering is the effective discovery of an event by the previous module. But in practice, this filtering is not sufficient: we measured that, after this first filtering, 60% of documents are still non-relevant. Among non-relevant documents, some use domain-related terms figuratively (“political earthquake”); others refer to an actual event but only anecdotally. A second filtering step has been integrated, using a statistical classifier trained on an annotated corpus made of texts selected after the first filtering step. Following the existing work in the domain of text classification (Lewis et al., 2004), we used a hybrid method combining the different selections according to the type of the entity.

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2 Values of relative dates are normalized.

3 The statistic filtering is used after the information extraction step instead of directly after the crawling in order to have a comparable corpus whatever the source of the documents is (Web or newswire), i.e. whatever the documents are pre-filtered by a query or not.
used a standard SVM (Support Vector Machine) classifier (Joachims, 1998) trained with 501 relevant documents and 711 non-relevant documents. A distinct test corpus of 91 relevant documents and 166 non-relevant documents was also built. As an implementation, we used the SVMLight tool. A study of various parameters has been performed in order to optimize the performance of the classifier: in particular, the following parameters have been tested:

- the units used to represent the text: either the inflected forms of the words or their normalized forms (lemmas), obtained after the linguistic analysis of the texts (in this case, the specific entities have also been considered as units);
- the weighting of these units: we tested a simple binary weight indicating the presence/absence of the units in the text and a frequency based \textit{tf.idf} weighting scheme (combining the frequency of the term in the document and the inverse document frequency of the term in the training set);
- an optimization of the decision threshold of the SVM: previous studies have shown that the default threshold of the model (= 0) is not always the optimal solution (Shanahan and Roma, 2003). Thus, we have set the threshold from the training corpus by optimizing a given criterion on a varying scale of thresholds (we used the F-measure as this criterion);
- a modification of the training corpus: the optimal filtering threshold is used to separate the training corpus into positive and negative examples and re-learn the model. By integrating more heterogeneity into the examples, this method allows the models to be more general (and should thus increase recall).

Table 6 shows that the best balance between precision and recall is obtained using lemmas with a binary weighting and an optimized threshold. The option \textit{lemmas-tf.idf} gives better accuracy, but in this case, the recall has been considered too low by the end-users. The modification of the training corpus did not achieve better results.

### 3.5. Clustering

On the basis of the information gathered by the IE module, we can group the documents relative to the same event to provide the user with a more synthetic view of the information. More precisely, we used the dates and locations of the events as core information (considering the other entities or their evaluation may vary in different documents for the same event). These core entities were integrated using various methods:

- \textit{evt(...)}: we simply use the equality of dates and locations of the event, supposing that other related information (magnitude, damages) may change in time;
- \textit{section(...)}: in order to increase the coverage of the clustering, this method is designed to correct possible mistakes in the event identification step. The values used for the clustering are all entity values from the segment of the main event and a majority vote is used on all common entities;
- \textit{document(...)}: following the same idea, we extend the majority vote to all common entities in the document to correct the errors from the segmentation step.

Furthermore, these entities are subject of an additional normalization. The relative dates are normalized according to the publication date of the documents, such that every date in the text has an associated form month/day/year. The locations are also normalized using a geographical database built from the Geonames\footnote{http://www.geonames.org/} database and containing links of spatial inclusion. Location names are often ambiguous (for instance, there are more than 70 places called \textit{Paris} in Geonames) and we first disambiguate the location names using an algorithm inspired from (Pouliquen et al., 2006).
that uses, on one hand, probabilities associated with location types and importance (Paris is more probably the capital of France than a smaller place in another region of the world) and, on the other hand, measures of spatial consistence on the global text, based on the geographical distance of places spotted in the text (Paris may refer to a city in Texas if all the other places in the text are associated with Texas or the United States). The previous clustering techniques may then use either directly the location name (LOC) or the country associated with this location (COUNTRY), to have a more flexible matching between locations. We compare these clustering approaches to standard clustering algorithms, including the standard K-Means, Markov Clustering (van Dongen, 2000) and DBSCAN (Ester et al., 1996). The last two have the interest of not requiring an a priori fixed number of clusters. We tested these algorithms using either the titles only or the full texts, with inflected forms or lemmas. The best results were obtained on full texts with lemmas and are the only ones presented in the results.

The evaluation has been performed on the 501 news of our corpus, manually clustered into 142 different clusters (with 59 clusters containing more than 1 document), using Precision, Recall and F-measure on document pairs (a pair of documents is considered as correct if the two documents are part of the same cluster in reference and in test) and Normalized Mutual Information (Strehl and Ghosh, 2003).

In Table 7, we see that the simple clustering gives good precision but poor recall. With the location name normalization, the improvement of coverage is obtained without an important loss in precision (only one point) and F-measure is then largely improved. These results also show that the quality of the results obtained by previous steps is sufficient to obtain good clustering results with a simple heuristic, better than with a standard clustering algorithm on full text.

4. Influence of Components in Global Performance

The output of the application is the synthesized information presented to the end-user in the dashboard. The overall quality of the application will then be assessed from this dashboard. All the components of the overall system may influence this quality. Actually, the most important component in this respect is the filtering. Indeed, its impact on the final IE result is quite straightforward and important, since each non-relevant document occupies a line in the table, contributing to a general impression of mistakes in the page. As we indicated in section 3.4, we noted that without the statistical filtering, there was around 60% documents kept for the IE process that were not relevant. We indeed measured that for the same IE method, the overall quality of the dashboard doubled when adding the statistical filtering step.

The quality of the textual context extraction may also influence the information extraction process. Table 8 presents the results of the information extraction on the 50 documents used for cleaning evaluation, using the different cleaning techniques presented in section 3.1 and comparing them with the results obtained with no textual content extraction (using only the dump produced by the Lynx text browser) and the results obtained using the reference of manually cleaned pages (ref-clean). Results are a bit inferior to the results presented in the rest of the paper because the IE reference was produced on a particular page cleaning result (which may induce a bias). However, we see that the quality of the textual content extraction does have an influence of the results: the results of the different techniques are mostly comparable, even if the html-cleaner performs a bit better, but all these results are better than the one obtained on the whole page, which confirms the need to clean the page. The improvement observed when using the text from the reference cleaning is relatively limited, which show that the cleaning strategies used are sufficient to get most of the relevant information.

As far as the IE process itself is concerned, the quality of the segmentation has an impact on the slot-filling step. Table 9 presents the results of the slot-filling (using the simple heuristic), with the two segmentation methods (heuristic and CRF-based), compared to the manual reference segmentation. These results show that the segmentation based

|   | Precision | Recall | F-measure | NMI |
|---|-----------|--------|-----------|-----|
| evt(DATE,LOC) | 87.8% | 23.8% | 37.4% | 0.84 |
| section(DATE,LOC) | 59.8% | 43.8% | 50.5% | 0.80 |
| document(DATE,LOC) | 39.0% | 53.8% | 45.2% | 0.79 |
| evt(DATE,COUNTRY) | 86.8% | 56.7% | **68.6%** | 0.90 |
| section(DATE,COUNTRY) | 42.8% | **62.7%** | 50.1% | 0.81 |
| document(DATE,COUNTRY) | 38.6% | 55.1% | 45.4% | 0.79 |
| Markov Clustering | 50.3% | 33.7% | 40.4% | 0.72 |
| DBSCAN | 67.5% | 17.4% | 27.6% | 0.82 |
| KMeans | 53.5% | 8.9% | 15.3% | 0.78 |

Table 7: Evaluation of different strategies for the event-based clustering

|   | Precision | Recall |
|---|-----------|--------|
| density-cleaner | 63.2% | 53.6% |
| html-cleaner | 63.2% | 55.4% |
| boilerpipe | 62.8% | 55.1% |
| lynx | 56.3% | 50.0% |
| ref-clean | 64.8% | 56.8% |

Table 8: Evaluation of the influence of the quality of the textual content extraction on the IE process

|   | Precision | Recall |
|---|-----------|--------|
| ref-clean | 64.8% | 56.8% |
on machine learning performs a bit better, but the two results are comparable.

|                      | Recall | Precision |
|----------------------|--------|-----------|
| without segmentation | 66.6%  | 65.5%     |
| heuristic            | 71.0%  | 68.6%     |
| CRF                  | 71.7%  | 68.8%     |
| reference segmentation| 87.5%  | 86.3%     |

Table 9: Impact of the segmentation on the slot-filling task

A complementary error analysis has been performed in order to determine the contribution of the various modules of the IE system. In this analysis, errors correspond to incorrect entities for an event, i.e. a line of the dashboard. They are characterized as follows:

- at least one entity of the reference for a given type has been identified in the main event segment, i.e. the error comes from the slot filling module;
- at least one entity of the reference of a given type has been identified in another segment, i.e. the error comes from the segmentation module;
- none of the reference entities has been identified in the text, i.e. the error comes from the named entity recognition module.

The distribution of the errors on these three types is given in Table 10, cumulated for all entity types, for the two segmentation strategies considered and the Position slot-filling strategy. This analysis shows that while the segmentation errors are divided by two with the CRF segmentation method, the global percentage of correct entities is quite similar for the two systems, mostly because the segmentation errors are partially turned into slot filling errors in the main event segment. Table 11 gives the error analysis with the CRF-based segmentation and the Hybrid strategy presented in section 3.3.2. It demonstrates that these errors can be partially corrected using advanced slot-filling techniques but that there is still room for improvement. By the way, it confirms that the slot filling task is still the most difficult task of an IE system.

|                      | heuristic | CRF |
|----------------------|-----------|-----|
| correct entities     | 71.6%     | 72.2% |
| slot filling errors  | 18.6%     | 20.6% |
| segmentation errors  | 6.2%      | 3.8%  |
| NER errors           | 3.6%      | 3.4%  |

Table 10: Analysis of the different error types in the event template construction

5 The segmentation errors in this table are less important than in previous table because this error analysis has been performed with an different (improved) CRF segmenter.

5. Conclusion

We have presented in this paper an evaluation of an Information Extraction application in specialized domain, designed for the identification of events in news articles. This application deals with some difficult problems of IE: for instance, the texts in this domain usually mention several events, which adds ambiguity in the event identification and makes the event template construction more delicate. Moreover, this application, in order to be operational, integrates several components of natural language processing, text classification and clustering. The evaluation of the global application is difficult since each component has its own limitations and weaknesses. The evaluation we performed mainly relies on the independent quantitative evaluation of each component of the application but also includes a global error analysis to understand the part of the errors in the final output of the system that are due to each component. Such dual evaluation is particularly useful during the development of the application.

The next steps in the development of the application are focused on the information extraction part, and more specifically on the slot-filling task, where most of the errors are now occurring. The first perspective is the use of proximity and linguistic criteria in the event template construction (including syntactic relations). The document clustering is also a component where there is room for improvement, for instance by integrating the structured information extracted from the event in a more generic clustering environment (using a standard clustering model). From a more general perspective, we are interested in the possibility to overcome the specificities of the target domain for the IE application and to be able to build a more generic model that could be adapted to different domains using only limited supervision.

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