Transport properties of graphene with one-dimensional charge defects

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received 25 January 2011; accepted in final form 9 March 2011
published online 13 April 2011

PACS 81.05.ue – Graphene
PACS 72.80.Vp – Electronic transport in graphene

Abstract – We study the effect of extended charge defects in electronic transport properties of graphene. Extended defects are ubiquitous in chemically and epitaxially grown graphene samples due to internal strains associated with the lattice mismatch. We show that at low energies these defects interact quite strongly with the 2D Dirac fermions and have an important effect in the DC-conductivity of these materials.

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Introduction. – Graphene crystals isolated by the exfoliation method [1] are high-quality films, with high mobilities even on a SiO₂ substrate. While exfoliation works well for the study of the fundamental physical properties of graphene [2] it is not a scalable process useful for technological applications [3]. At the present time, the most promising scalable growth methods of graphene films are either based on epitaxial growth on SiC [4] or on chemical vapor deposition (CVD) of graphene on metal surfaces [5].

Graphene growth on crystal substrates, independently of the process, is subject to strain due to lattice mismatch between graphene and the substrate. The strong sigma bonding between the carbon atoms makes the graphene lattice very stiff (the spring constant is of order of 50eV/Å²) and hence in-plane deformations are energetically costly. Because graphene is the ultimate example of a 2D film, the strain can be release by two main mechanisms, namely, either by going out of the plane or by the reconstruction of the chemical bonds.

By exploring the third dimension the graphene film pays the energetic price of bending (the bending rigidity is of order of 1 eV) and the loss of interaction energy with the substrate (which is usually a mix of covalent bonding and van der Waals interaction). In certain cases, the energetic price of forming wrinkles and blisters in the graphene film is smaller than the price of creating structural defects such as pentagons, heptagons, and octagons. STM studies have shown that epitaxial graphene grown on 6H-SiC actually bends and buckles as a result of the compressive strain [6]. The same effect is observed in samples grown by CVD on Cu [7]. In other cases, when the the interaction between graphene and the substrate is strong, it is energetically preferable to reconstruct the bonds with the formation of lines of defects. This is what happens, for instance, in graphene grown by CVD on a Ni surface [8]. The surface of the film reveals the presence of extended one-dimensional defects. These defects are lines of periodic cells made of two pentagons followed by one octagon.

The general conclusion is that the intrinsic 2D nature of graphene makes the presence of one-dimensional extended defects in artificially grown graphene samples a norm. The formation of extended structural defects in graphene has strong consequences for the electronic properties. On the one hand, localized bending and strain can lead to the appearance of strong “pseudo-magnetic” fields that create localized Landau levels. This is the main idea behind the concept of strain engineering [9]. On the other hand
pentagons, heptagons, and octagons, act as donors and/or acceptors relative to the normal benzene ring configuration, and hence also leads to charge localization [10]. All these mechanisms are examples of the more general concept of self-doping in $sp^2$-bonded carbon [11]. The existence of the localized states at the defect line lead to transfer of charge from the bulk of graphene to the defect —charge accumulates at the extended defect, creating charged lines. Such type of scattering lines can be the limiting scattering mechanism of the electronic mobility in these graphene films. Furthermore, experimental studies show that these extended defect lines intercept each other at random angles, forming irregular polygons with edges showing stochastic distribution of length. A study of the electronic scattering in chemically produced graphene needs to take into account such types of random distributions in order to correctly describe the effect of the extended charge defects in DC-transport.

This letter is organized as follows; we begin by outlining the experimental procedure used to produce the CVD graphene samples and briefly characterize the extended defects seen in the microscopy studies. Then, the main result is presented and tested against DC-conductivity data for several CVD graphene samples. In the remainder we discuss in detail the model of extended charge defects, the effective scattering potential and its contribution to the semi-classical conductivity. Last, finer points of our calculation, such as the effect of disorder in the length distribution of the defects, and the electron-hole asymmetry of electronic cross-sections due these defects are discussed in separate sections.

Outline. — The theory described below has been used to interpret the transport data of graphene grown using the roll-to-roll method [12]. An AFM image of a CVD graphene film transferred to SiO$_2$ is shown in fig. 1; extended line defects, few nanometers long, can be seen. Sample preparation and measurement were performed using standard methods: graphene samples are grown on Cu substrates by chemical vapor deposition (CVD) [12]. To characterize CVD graphene samples, standard Hall bars are patterned by e-beam lithography, followed by thermal evaporation of Cr/Au (5/25 nm). An additional e-beam lithography step followed by O$_2$ plasma etching is performed to define Hall bar device as shown in the inset of fig. 1. Measurements are performed as a function of temperature down to 3.5 K using standard lock-in amplifier techniques.

The central result of this work is an expression for the semi-classical DC-conductivity of graphene due to extended charge defects,

$$\sigma_{\parallel} = \frac{16e^2 \pi k_F^2}{h} \left( \frac{e}{q_l} \right)^2 G(k_FW),$$

where $n_l$ is a finite density of effective extended defects (see next section), made of lines with charge $q_l$ and $G^{-1}(x) = \int d\theta (1 - \cos \theta)(\pi - \theta)^2[5 + 4 \cos(x - x \cos \theta)]$. 

For the sake of simplicity, in deriving the latter equation, we have considered a graphene structure constant $\alpha = 1/2$. The Fermi momentum $k_F$ relates to the electronic carrier density $n_c$ according to $k_F = \sqrt{\pi n_c}$, which can be controlled by the application of a back-gate voltage, $V_g$, after transferring the graphene sheet to a dielectric substrate, typically silicon oxide, 300 nm thickness, for which one has $n_c = 7.2 \times 10^{14} V_g$ (SI units). To test
our results, we used experimental data of conductivity measurements in several graphene samples grown by CVD on Cu, using the roll-to-roll method [12]. The fitting parameters in our theory are \( W \) and \( \gamma \equiv n_{iFq}^2/e^2 \). The former has units of length and is roughly the mesh size originated by the intersection of defect lines, whereas the latter measures the scattering strength of the extended defects. Figure 2 shows a high-quality fit to the CVD data. For moderate to high carrier densities, we find that eq. (1) fits perfectly well the data, indicating that linear charged lines formed during the CVD growth process can play a key role in DC-transport at finite electronic densities. The line separation was found to lie within the range 10–15 nm in all samples. We also found that the inclusion of strong (resonant) short-range disorder improves the agreement with the experimental data for lower carrier densities, more precisely, |\( V_0 - V_{\text{min}} \)| \( \lesssim 10 \) V. (Recall that resonant scattering has recently emerged as one of the main mechanisms limiting DC-transport of non-suspended graphene [13,14].) In what follows, we give a detailed description of our model of extended charge defects in graphene.

**Theory.** – We start by characterizing the scattering potential created by a single extended charge defect. We take the charged defect to be an infinite line along the \( y \)-axis, with linear charge density \( \lambda_i \), embedded in graphene, which is lying in the \( xy \)-plane. Its 3D charge density has the form \( \rho_{\text{line}}(r) = \lambda_i \delta(x) \delta(z) \). Basic electrostatics predicts that single line of charge in vacuum produces a logarithmic potential in space. Clearly, embedded in a metal, the potential is modified by screening effects. The screening can be taken into account within the Thomas-Fermi (TF) approximation [15]. The TF assumes that the local electronic charge density, \( \rho(r) \), changes due to the effective potential, \( \phi_{\text{eff}} \), created by the extended defect according to

\[
\delta \rho(r) \approx -e \rho(E_F) c \phi_{\text{eff}}(r),
\]

where \( \rho(E_F) = 2k_F/(\pi \hbar v_F) \) is the bare density of states per unit of area (spin and valley degeneracies included) and \( k_F \) is the Fermi momentum (velocity).

The effective potential, \( \phi_{\text{eff}} \), is determined by Poisson’s equation: \( \nabla^2 \phi_{\text{eff}} = -(\rho_{\text{line}} + \delta \rho)/\epsilon_d \), that is

\[
\epsilon_d \nabla^2 \phi_{\text{eff}}(x,z) = \left[ \frac{2e^2}{\pi \hbar v_F} \phi_{\text{eff}}(x) - \lambda_i \delta(z) \right] \delta(z),
\]

where \( \epsilon_d \) is the dielectric constant of the medium (notice that \( \delta \rho = -e^2 \rho(E_F) \phi_{\text{eff}}(x,z=0) \)). This equation can be solved by Fourier transform followed by an integration over the \( z \)-coordinate. The form of the potential in momentum space is

\[
\hat{\phi}_{\text{eff}}(q_z) = \frac{\lambda_i}{2\epsilon_d |q_z| + q_{TF}},
\]

where \( q_{TF} \equiv 4\alpha k_F \) is the TF wave vector, with the effective graphene’s structure function given by \( \alpha \equiv e^2/(4\pi \epsilon_d \hbar v_F) \). We note that \( \phi_{\text{eff}}(x) \) shows a logarithmic divergence at the origin and asymptotic behavior \( (q_{TF} x \gg 1) \) given by

\[
\phi_{\text{eff}}(x) \rightarrow \lambda_i/\left[2e \pi (q_{TF} x)^2\right].
\]

In contrast with the screened Coulomb potential created by a point charged impurity, the potential of a charged defect line does not decay exponentially away from the scattering center, and hence can lead to a strong effect on electronic transport, as we show below.

Atomic force microscopy of small areas of graphene (\( \sim 0.1 \mu m^2 \)) shows extended defects with several lengths and orientations [5]. We model these extended defects as straight lines that intersect forming polygons (see fig. 3). The electronic scattering is determined by the polygons formed by the defect lines (see later). The network of defects is built from a number of base lines (labeled \( l_i \)), initially lying along the \( y \)-axis, in two steps: translating each line \( l_i \) by a vector \( \mathbf{R}_i \) \( = (x_i, y_i) \), and finally rotating them about the \( z \)-axis by random angles \( \{\varphi_i\} \). Throughout the paper we assume that the size \( L \) of these lines is much larger than other length scales in the problem. For \( N \) base lines the screened potential reads

\[
\hat{\phi}_{\text{eff}}(q_z) = \sum_{i=1}^{N} q_z \frac{e^{-i q_z \mathbf{R}_i}}{2\epsilon_d |Q(\varphi)| + q_{TF}},
\]

where \( Q(\varphi_i) = q_x \cos \varphi_i - q_y \sin \varphi_i \) is the projection of the wave vector \( q \) onto the direction perpendicular to the line \( l_i \), defining the direction of momentum transfer in an electron-defect scattering event, and \( q_i \equiv \lambda_i L_i \) is the charge of line \( l_i \). (To obtain this result, we approximated the 2D electrostatic potential of a single line (e.g. oriented along the \( y \)-axis) using \( \hat{\phi}_{\text{eff}}(q_z) \to \int dq_y \phi_{\text{eff}}(q_z) \theta(L/2 - |y|) \), where \( \theta(y) \) is the Heaviside function and \( \phi_{\text{eff}}(x) \) is the potential of an infinite charged line embedded in graphene (eq. (4)).
**Model of the extended defect.** Transport through nano-electronic graphene devices with extended charge defects is tackled here from the point of view of a single effective defect “cell”, from which a prototype network of charged lines can be reproduced. The effective extended defect is made of three lines, with equal lengths, which intersect forming a triangle (see fig. 3); choosing $\mathbf{R}_{1(2)} = (0,0)$ and $\mathbf{R}_3 = (W,0)$, introduces a new length scale, $W$, the amount of translation of $\mathbf{R}_3$, here referred to as line separation. Its scattering potential (denoted by $\phi_{\Delta,\text{eff}}$) is given by eq. (6) setting $N = 3$. Figure 4 shows how many such defects give rise to all sort of polygons.

The large-distance behavior of the potential due to a charged line in graphene (see eq. (4)) renders the following expression for the scattering potential (denoted by $\phi_{\Delta,\text{eff}}$) is given by eq. (6) setting $N = 3$. Figure 4 shows how many such defects give rise to all sort of polygons. The large-distance behavior of the potential due to a charged line in graphene (see eq. (4)) renders the following expression for the scattering potential (denoted by $\phi_{\Delta,\text{eff}}$) is given by eq. (6) setting $N = 3$. Figure 4 shows how many such defects give rise to all sort of polygons.

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type of scatterer within our model. As discussed above, albeit for $\alpha \sim 1/2$ the conductivity is barely affected by the specific orientation of the lines, it is very sensitive to changes in $W$, since this parameter measures roughly the defect mesh size, and hence is directly related to the scattering strength experienced by the electronic carriers. A careful inspection shows that a change of 5% in $W$ is enough to deteriorate the fits. This parameter sets the departure from the $\sigma \sim n_s$ (or equivalently, $\sigma \sim V$) behavior, similar to that originated from charged impurities located in the substrate, to a more involved carrier-density dependence, namely that of eq. (1).

The effect of disorder in $W$ can be estimated by assuming a normal distribution $p(W)$ with average line separation $\bar{W}$ and variance $dW^2$. The potential accounting this kind of disorder is obtained by replacing the exponential factor in eq. (10) according to,

$$e^{iq_sW} \to e^{iq_s\bar{W}} e^{-(q_s dW)^2/2},$$

where $q_s = k_F (\cos \theta - 1)$ is the transferred momentum along the $x$-axis; a finite variance $dW^2$ changes the previous results (i.e. without disorder in $W$) whenever $(q_s dW)^2 \gtrsim O(1)$. We find $W/10 \gtrsim dW \gtrsim W/100$, in all samples, showing that our model predicts that prominent extended defects form intersection edges with lengths centered around $W \approx O(10 \text{ nm})$ with small variance.

Other scattering mechanisms. So far we have analyzed the effect of extended charge defects in DC-transport in graphene. Notwithstanding, other mechanisms are in play which can provide important corrections to our model, especially in the regime of low carrier density, where the fit to eq. (1) is less accurate (see dashed line in fig. 2).

We focus our attention on midgap states, presumably the most important scattering mechanism in mechanically cleaved non-suspended graphene samples at finite densities and not too high temperatures [13,14,18,19], here also playing an important role as we will briefly see. Midgap states emerge due to resonant scatterers (RS), whose physical realization could be vacancies or adsorbed hydrocarbons in the surface of graphene. Indeed, we assume a typical value for the density of resonant scatterers, $n_s \sim 10^{11} \text{ cm}^{-2}$, and take the radius of the scattering disk $R$ to be of the order of carbon-carbon distance. The correction to the conductivity (eq. (1)) is then calculated using Matthiessen’s rule, $\sigma^{-1} = \sigma_i^{-1} + \sigma_s^{-1}$, where

$$\sigma_s \approx \frac{2e^2}{\hbar \pi^2 n_s} k_F^2 \ln^2 (k_F R),$$

is the conductivity due to resonant scatterers [13,14,20]. The new fits are obtained by keeping $W$ fixed from its previous value (i.e. with just extended charge defects considered) and varying $\gamma$ - fig. 2 shows that midgap states yield an important correction in the low to moderate density regime.

Electron-hole asymmetry. We finally discuss the origin of the electron-hole asymmetry in DC-transport, highlighted in fig. 2 by using different colors to represent $p$ and $n$ conductivity. The asymmetry between holes and electrons mobility

$$\mu = (1/e) d\sigma /dn_e,$$

is clearly shown in most transport studies in graphene; in CVD samples $|\mu_p - \mu_n|/\mu_n$ is about a few percent. This asymmetry may have two distinct physical origins: 1) a potentially significant charge transfer from the metallic contacts to graphene [21], and 2) scattering cross-sections sensitive to the carriers polarity.

In order to estimate the contribution of the extended defects to the conductivity asymmetry, we compute the next term in the Born series for a single charged line. The 2nd Born correction to the scattering amplitude $\delta f(\theta)$ is,

$$\frac{\delta f(\theta)}{f(\theta)} = 2p \frac{e v_F \hbar}{\delta \phi_{\text{eff}}(q)} \int \frac{d^2 k}{(2\pi)^2} \phi_{\text{eff}}(p' - k) G_D(k) \phi_{\text{eff}}(k - p),$$

where the 2D Dirac propagator reads

$$G_D(k) = \frac{1}{v_F^2 \hbar^2 |(p'|^2 - k^2 + i0^+)}$$

and the screened potential $\tilde{\phi}_{\text{eff}}$ is given by eq. (4) with $\lambda L = q_t$. The differential cross-section $|f + \delta f|^2$ has now a term proportional to $\tilde{\phi}_{\text{eff}}^2$, and thus is no longer invariant under a change of electrical charge sign $e \to -e$. For small density of charge $|q_t/e| \lesssim 0.1$, the conductivity in the 2nd Born approximation, $\sigma^{(2)}$, relates to the FBA value, $\sigma^{(1)}$, according to

$$\sigma^{(2)} \simeq \sigma^{(1)} \left[ 1 - g(\alpha) \frac{q_t}{e} \right],$$

where $g(\alpha)$ reflects the importance of the dielectric medium. For graphene on top of silicon oxide we obtain $g(1/2) \approx 1.2$, entailing a negligible difference between the conductivity for carriers with opposite polarities as long as $q_t \ll e$. In general, for extended defects made of a single line of charge, the 2nd Born approximation yields a global multiplicative factor in the conductivity; notice that the term inside brackets in eq. (15) just depends on the charge of the defect and on the sign of carriers, $q_t/e$, and therefore is constant throughout the entire range of carrier density. Indeed, one can absorb the correction from the 2nd Born approximation into $\gamma = n_t q_t/e^2$ according to $\gamma = \gamma |1 - g(\alpha) q_t/e|$. It would be desirable to perform the same calculation for a $\Delta$ defect. Unfortunately, however, in this case, the 2nd Born correction becomes much involved. Despite that, we can get some intuition by studying a specific configuration; we have performed a numerical evaluation of $g(\alpha)$ for a $N = 3$ extended defect with $\varphi_{1(2)(3)} = 0$, $R_1 = (0,0)$, $R_3 = (W,0)$ (see fig. 3 for definitions of $\varphi$, and $R$) and $W = 10 \text{ nm}$; a strong dependence of $g(\alpha)$ with $k_F$ was observed, more precisely, a variation of $\sim 30\%$ by increasing the gate voltage from 1 V to 50 V. We leave as an open question whether the inclusion of the 2nd Born amplitude in the calculation of the conductivity leads to a qualitative improvement of the fits of experimental
data to our model — this would elucidate about the precise amount of electron-asymmetry possibly induced by charged extended in CVD graphene.

The experimental data shown in figs. 2 and 5 disclose a small but perceivable change of mobility from p to n carriers. In the light of the latter results, this suggests that the 2nd Born correction cannot be too large in these samples (discarding the remote possibility that a large second-order correction is balanced with a charge transfer from the Hall probes).

Conclusions. — Extended defects are prevalent in CVD graphene and arise in SEM studies as cracks with several sizes and oriented at random. Due to the self-doping mechanism [11] such cracks will act as charged scattering centers. In this letter, we have studied the impact of such defects in the DC-transport properties of graphene films. By constructing a simple model of extended defects, a semi-classical computation of the DC-conductivity was carried out taking into account disorder on the extended defects geometry and the screening by graphene electrons. We have shown that charged extended defects lead to a very distinctive dependence of DC-conductivity with carrier density compared to previously studied mechanisms [13,14]. Our findings show that extended defect carriers can play an important role in DC-transport of chemically grown graphene samples with a large density of cracks.

Growing graphene via CVD is a very promising route towards scalable fabrication of two-dimensional high-quality carbon films. The understanding of the scattering mechanisms in chemically grown graphene samples is thus of uppermost importance to increase electronic mobilities currently limited to \( \approx 2000 \) cm\(^2\) V\(^{-1}\) s\(^{-1}\). Given the stringent constraints on electronic mobilities required for technological applications of graphene, our results show that the control of such defects can be of fundamental importance for further development of a graphene-based electronics.

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We thank J. Viana Lopes, V. Pereira and O. V. Yazyev for illuminating discussions. AHCN acknowledges DOE Grant No. DE-FG02-08ER46512 and ONR Grant No. MURI N00014-09-1-1063. AF acknowledges FCT Grant No. SFRH/BPD/65600/2009.

**Appendix**

The Thomas-Fermi renormalized electrostatic potential for the extended charge defect \( \Delta \) depicted in fig. 3 reads,

\[
\tilde{\phi}_{\Delta, \text{eff}}(q) = \frac{q}{2 \varepsilon_d} \left[ \frac{1}{q_x \cos \varphi_1 - q_y \sin \varphi_1} + q_{TF} \right] + \frac{1}{q_x \cos \varphi_2 - q_y \sin \varphi_2} + q_{TF} \right] \left[ \frac{1}{e^{q_y W}} + e^{-q_y W} \right]. \quad (A.1)
\]

The potential depends on the relative orientation of the lines through the angles \( \{ \varphi_i \} \). To get a meaningful result we have to consider a statistical distribution of such angles. For \( \alpha \gtrsim 1/2 \) the denominators in (A.1) are dominated by \( q_{TF} \) and hence we can safely integrate the \( \{ \varphi_i \} \) dependences using a uniform distribution. Choosing \( q = k_F (\cos \theta - 1, \sin \theta) \), we obtain,

\[
\tilde{\phi}_{\Delta, \text{eff}} = \frac{q}{2 \varepsilon_d k_F} \left[ \frac{\pi + 2 \sum_{\alpha=1}^{4} \arctan \left( \frac{f_{\alpha}^2}{w_\alpha} \right)}{\pi w_\alpha} \right] \times \left( 2 + e^{ik_F (\cos \theta - 1) W} \right), \quad (A.2)
\]

with \( w_\alpha = \sqrt{2(8a^2 + \cos \theta - 1)} \), \( f_\alpha^0 = |\sin \theta| \), \( f_\alpha^+ = G_\alpha^+ / (\sin^2 \theta / 4) \), where

\[
G_\alpha^+ = \left[ \cos \theta - 1 + 4\alpha \right] \left| \sin \theta / 2 \right| \pm 2\alpha |\sin \theta| . \quad (A.3)
\]

Setting \( \alpha = 1/2 \) in (A.2) one obtains eq. (10).

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