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Evolution of Primary and Eutectic Si Phase and Mechanical Properties of Al₂O₃/Al-20Si Composites under High Pressure

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Citation: Wang, X.; Chen, Z.; Ma, T.; Zhu, D.; Dong, D.; Yang, X.; Liu, L.; Wang, G. Evolution of Primary and Eutectic Si Phase and Mechanical Properties of Al₂O₃/Al-20Si Composites under High Pressure. Crystals 2021, 11, 364. https://doi.org/10.3390/cryst11040364

1. Introduction

High silicon (20–26%) aluminum alloy has the characteristics of low density, low thermal expansion coefficient, good wear resistance and excellent comprehensive mechanical properties, so it is often used as a matrix alloy in aerospace, automobile, ship, electronic packaging fields [1,2]. The high silicon aluminum alloy prepared by conventional solidification method is composed of primary Si and Al (Si) eutectic. The higher the silicon content, the better the wear resistance and heat resistance of Al-Si alloy, and the lower the coefficient of thermal expansion. However, due to the coarse size and irregular shape of primary phase Si, it is easy to be cracked during the process of service, and the stress concentration exists near the sharp angle, which becomes the source of cracks. After the stress is applied, the crack propagates and breaks, deteriorating the mechanical properties of the material [3,4]. Therefore, it is still of great significance to improve the comprehensive mechanical properties of high silicon aluminum alloy by changing the primary Si phase [5].

At present, researchers mainly improve the mechanical properties of Al-Si alloy by changing the morphology of primary Si or inhibiting the formation of primary Si based on chemical and physical methods [6]. Chemical methods usually use modifier to refine coarse silicon phase. There are many kinds of refinement modification technology, such as RE (Rheniumrodmmdiameter), P (Phosphorus), Na (Sodium), P+RE (Phosphorus + Rheniumrodmmdiameter) composite modifier.

The effects of P and RE contents on the microstructure and mechanical properties of hypereutectic Al-20Si alloy were studied by adding phosphorus and rare earth into hypereutectic Al-20Si alloy [7]. The results show that the effect of phosphorus is mainly to...
refine primary silicon, and rare earth can refine both primary silicon and eutectic silicon, but the modification effect of rare earth element alone is not obvious. The effect of Nd on primary silicon and eutectic silicon in hypereutectic Al-20wt.% Si alloy was studied by Xu et al. [8]. The results show that Nd can not only modify eutectic silicon in hypereutectic Al-20wt.% Si alloy, but also modify primary silicon. Some researchers added Na to refine grains, but neither Na nor Na salt has long-term effect, and the modified eutectic silicon cannot exceed 20 µm [9].

In terms of physical methods, Wei Hongmei et al. [10] studied the solidification behavior of fiber reinforced Al-18Si composites by squeeze casting. The effects of squeeze casting parameters on the nucleation and growth of primary silicon in composite materials were studied. In addition, with the rapid cooling technology innovation. The Al-Si alloy prepared by rapid solidification technology usually has the size of primary Si near nanoscale [11]. This microstructure makes the material have excellent mechanical properties and wear resistance, and is easier to be processed. However, the implementation conditions of rapid cooling technology are limited and cannot be produced on a large scale. Tian et al. [12] prepared aluminum matrix composites by pressure infiltration method, mainly studied the optimal combination of temperature and infiltration pressure to homogenize and granulate primary silicon. In addition, researchers have tried to use semi-solid forming method to prepare fine and uniform Al-Si alloy [13–15], such as mechanical stirring method, low superheat and weak mechanical stirring method, ultrasonic vibration method, etc., but most of them are in the experimental research stage, and few of them have realized industrial application.

To sum up, neither chemical nor physical methods can fundamentally solve the problem of coarse primary silicon effectively. However, a large number of studies have been carried out on Al-Si composites reinforced by Al₂O₃ ceramic particles recently [16–18], which show that Al₂O₃ particles can effectively refine primary silicon and eutectic silicon. It can be attributed to the fact that the crystal structures of Si and Al₂O₃ are similar, and the lattice parameter mismatch degree of Si and Al₂O₃ is about 3% [19]. According to BRAMFITT B L [20], effective nucleation occurs when the lattice mismatch is less than 6%. Therefore, Al₂O₃ can be used as an effective nucleation substrate for primary silicon during solidification. However, according to Mihira Acharya’s research, the addition of Al₂O₃ does not improve the properties of Al-20Si alloy that much.

Therefore, it is proposed to solve this problem by using high pressure solidification method. High pressure solidification is carried out under extreme high-pressure environment, the crystal nucleation rate is greatly improved, and the element diffusion is restrained, which affects the solute redistribution process [21–23]. Under the condition of ultra-high pressure, the melting point, density and element distribution coefficient of the material are also greatly changed [24], and some reactions which cannot be carried out under normal pressure will occur, so that the new phase will be formed, and finally the solidified microstructure will be changed, which will affect the properties of the material.

Ma pan et al. [25] studied the solidification microstructure of Al-20Si alloy under different pressures. The results show that primary Si phase exists after solidification at atmospheric pressure and 1 GPa but disappears at higher pressure. The primary α-Al phase begins to form at 2 GPa, and its amount increases with the increase of pressure. The microstructure of Mg₉₆₆.17Zr₃.15Y₀.₅₂Zr₀.₁₈ alloy solidified under high pressure of 2–6 GPa was studied by Lin, X. P. et al. [26]. The results show that the microstructure of the alloy is refined obviously with the increase of GPa high pressure. The second phase changes from lamellar eutectic network structure under atmospheric pressure to discontinuous fine rod or particle under 6 GPa. At 6 GPa, the compressive strength increased from 263 MPa to 437 MPa. Liu, X. et al. [27] studied the solidification of Al-xCu alloys containing x = 15, 33 and 40 wt% Cu at different pressures (atmospheric pressure, 1 GPa, 2 GPa and 3 GPa). The results show that the morphology of eutectic Al₂Cu phase in Al-15Cu alloy changes with the pressure. The secondary arm spacing of Al-33Cu alloy and the size of primary Al₂Cu in Al-40Cu alloy decrease due to high pressure.
Based on the introduction above, to further improve the strength of the Al-Si alloy, the addition of ceramic particles and the effect of high pressure are considered. In this project, the microstructure evolution, and mechanical properties of Al$_2$O$_3$/Al-20Si alloy under different pressures were studied, and the strengthening mechanism of the alloy under high pressure was obtained.

2. Materials and Methods

Al powder (99.9%, 80 µm), Si powder (99.9%, 80 µm) and α-Al$_2$O$_3$ particles (20 µm) were used to prepare Al$_2$O$_3$/Al-20Si composite powder (Al$_2$O$_3$ particles accounted for 10% of the total volume). Alcohol was added into the ball mill to mix the powder for 6 h, and the rotational speed was 200 r/min. The uniform powder was prepared by hydraulic press in the cold pressing die to obtain Φ 9.6 × 10 mm rod sample. Then, wrapped with insulation ring, sealed in pyrophyllite mold. The high-pressure experiment was carried out on Htds-032F six-anvil press. When the pressure reached the set value (3 and 4 GPa), the sample were heated to 1000 °C for 30 min and then cooled down to the room temperature. Finally, the high-pressure solidification sample was obtained. As the contrast sample, the sample was prepared by ZT-40-20Y vacuum hot pressing furnace with the pressure of 0.02 GPa. According to the phase diagram, the low temperature liquid phase sintering was adopted. The hot pressing sintering temperature was 750 °C, and the hot pressing sintering atmosphere was 99.99% argon. Before 550 °C, the temperature was raised at the heating rate of 10°/min, and the pressure was 20 MPa. After 550 °C, the temperature was raised at the speed of 5 °C/min, and the pressure kept as the same. The hot pressing sintering was carried out under a small pressure and kept at 750 °C for 1.5 h. In order to facilitate the demolding, a layer of Boron Nitride was coated on the graphite model before sintering.

The phase analysis was carried out by Brooke D8 advance X-ray diffractometer (XRD, Brooke/German) with scanning step of 0.4 and scanning angle of 20–80°. Hitachi SU8010 Scanning electron microscope (SEM, Hitachi/Japan) was used to analyze the microstructure and energy spectrum of the solidified samples under different pressures. The density of the materials was measured by Archimedes drainage method. The microhardness of the materials was measured by DUH-211S Shimadzu dynamic microhardness tester (Shimadzu/Japan). In order to improve the accuracy of the results, the average value of 5 times of results was taken. The samples were cut into 2 mm × 2 mm × 4 mm for strength measurement, and the compression rate was set as 0.5 mm/min (landmark 370.10).

3. Results

3.1. Microstructure of Al$_2$O$_3$/Al-20Si Composites

The phase composition of Al$_2$O$_3$/Al-20Si composite prepared under different pressure was analyzed by X-ray diffraction as can be seen in Figure 1. It shows that the Al$_2$O$_3$/Al-20Si composite consists of α-Al phase, β-Si phase and Al$_2$O$_3$ strengthening phase, and no new phase is produced during high pressure solidification. In addition, it can be found that the diffraction peak of α-Al phase is shifted to the higher angel compared with 0.02 GPa. According to Bragg equation and the lattice constant formula of the face center cubic, the lattice constant of α-Al decreases. From the atomic point of view, the radius of Al atom is slightly larger than that of Si atom. With the increase of solidification pressure, more Si atoms replace Al atoms in phase α-Al, which leads to the decrease of lattice constant, and eventually leads to the shift of diffraction peak of α phase to high angle.
Table 1. Electron Diffraction Spectrum (EDS) analysis of matrix phase and black phase of Al2O3/Al-20Si composites.

| Phase          | 0.02 GPa Al (wt%) | 0.02 GPa Si (wt%) | 0.02 GPa O (wt%) | 3 GPa Al (wt%) | 3 GPa Si (wt%) | 3 GPa O (wt%) | 4 GPa Al (wt%) | 4 GPa Si (wt%) | 4 GPa O (wt%) |
|---------------|------------------|------------------|-----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Matrix        | 98.06            | 1.94             | 0               | 96.57          | 3.43           | 0               | 96.06          | 3.94           | 0               |
| Bulk grey phase | 1.55        | 98.45             | 0               | 1.61           | 98.39          | 0               | 1.40           | 98.60          | 0               |
| White phase   | 53.11            | 2.88             | 44.01           | 51.62          | 2.67           | 45.71          | 51.38          | 2.56           | 46.06          |
Figure 3 shows the microstructure of Al$_2$O$_3$/Al-20Si composite prepared under different high pressures. It can be seen that the microstructure under 3 GPa is composed of black matrix phase $\alpha$, spherical eutectic ($\alpha + \beta$) phase with network distribution at the grain boundary, and a small amount of Al$_2$O$_3$ strengthening phase with agglomerated distribution. There was no obvious change in the solidification microstructure at 4 GPa. However, the content of eutectic ($\alpha + \beta$) phase increased at the grain boundary, and the agglomeration of Al$_2$O$_3$ was greatly improved. Compared with Figure 2, the bulk primary $\beta$-Si phase disappears under high pressure, which indicates that the microstructure of Al$_2$O$_3$/Al-20Si composite changes into hypoeutectic. It is because with the increase of solidification pressure, the eutectic point moves to the direction of silicon significantly, and the eutectic temperature also increases [28].

![Microstructure of Al$_2$O$_3$/Al-20Si composite](image)

**Figure 3.** High-pressure solidified Al$_2$O$_3$/Al-20Si composite morphology (a,b) 3 GPa, (c,d) 4 GPa.

Figure 4 shows the morphology of eutectic Si phase of Al$_2$O$_3$/Al-20Si composites prepared by different methods. It is obviously found that the eutectic Si of the Al$_2$O$_3$/Al-20Si composite prepared under the condition of 0.02 GPa is needle like or strip-shaped. The eutectic Si solidified at 3 GPa is in the shape of short rod. When the solidification pressure is further increased to 4 GPa, the size of eutectic Si is further reduced, and the shape is gradually spheroidized. The length-diameter ratio is close to 1.

The length diameter ratio of eutectic Si phase prepared under different pressures is counted, and the results are shown in Figure 5. It shows that the length diameter ratio of eutectic Si phase is 7.68 at 0.02 GPa. After high pressure solidification, eutectic silicon gradually spheroidized. When the solidification pressure is 3 GPa and 4 GPa, the length diameter ratio of eutectic Si decreases to 3.26 and 1.32, respectively. The results show that high pressure solidification can refine the eutectic Si grains of Al$_2$O$_3$/Al-20Si composites.
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The refining process of eutectic Si is mainly affected by nucleation and growth process. Therefore, the influence of pressure on the solidification process can also be considered from these two aspects. On the one hand, pressure affects the nucleation process of eutectic silicon, and its uniform nucleation rate can be expressed as follows [29]:

\[
I = I_0 \exp \left( - \frac{\lambda \Delta S_m}{R \tau^2 (1 - \tau)^3} \right) \exp \left( - \frac{E_0 + \frac{1}{3} V_0 P}{R T} \right) = I_0 \exp (-G) \tag{1}
\]

where and are constants, is the latent heat of fusion, is the relative subcooling, is the activation energy of crystallization at atmospheric pressure, is the atomic volume, and is the activation energy for nucleation. Obviously, the smaller the , the higher the nucleation rate. Taking its partial derivative with respect to , we can get:

\[
\left( \frac{\partial G}{\partial P} \right)_T = \Delta G \left( 5 - \frac{2}{\tau} \right) \frac{1}{T_m} \frac{d T_m}{d P} + \Delta G \frac{1}{AS_m} \frac{d AS_m}{d P} + \frac{1}{3} V_0 \tag{2}
\]
Compared with the first two, the last one can be ignored. Therefore, the value of Equation (2) is determined by the first two terms. With the increase of pressure $\Delta G$ decreases, which can promote nucleation. In addition, the pressure also has a significant effect on the crystal growth. Under high pressure, the diffusion of atoms will be hindered, and the diffusion activation energy increases, which leads to the decrease of growth rate.

On the other hand, high pressure solidification will lead to the change of solute diffusion coefficient, which will affect the solute redistribution process, change the undercooling degree of solid-liquid interface, and finally affect the solidification microstructure [28].

In conclusion, the microstructure formation mechanism of high pressure solidified $\text{Al}_2\text{O}_3/\text{Al}-20\text{Si}$ composite can be revealed in Figure 6, in which magenta, green and white indicate three different crystallization temperatures, namely liquidus temperature, eutectic point temperature and completely solidification temperature. Under the condition of 0.02 GPa, when the temperature is below the liquidus, the black primary silicon begins to nucleate and grow. When the temperature is below the eutectic temperature, eutectic transformation occurs to form $\alpha$-Al and eutectic silicon phases. The Yellow alumina phase is dispersed. This may be since $\text{Al}_2\text{O}_3$ was used as nucleation substrate for both primary silicon and eutectic silicon. At high pressure, the agglomeration of $\text{Al}_2\text{O}_3$ strengthening phase first appeared and gradually disappeared. At the same time, the growth of primary silicon was inhibited, while the nucleation and growth of $\alpha$-Al phase were promoted. The eutectic silicon is spheroidized and uniformly distributed under pressure.

Figure 6. The microstructure formation mechanism of high pressure solidified $\text{Al}_2\text{O}_3/\text{Al}-20\text{Si}$ composite.

### 3.2. Properties of $\text{Al}_2\text{O}_3/\text{Al}-20\text{Si}$ Composites

#### 3.2.1. Density of Composite

The density of $\text{Al}_2\text{O}_3/\text{Al}-20\text{Si}$ composites prepared by different methods was measured and calculated by Archimedes drainage method as can be seen in Table 2. The results show that the measured density of $\text{Al}_2\text{O}_3/\text{Al}-20\text{Si}$ composite prepared by 0.02 GPa is...
only 2.52 g/cm³. When solidified at 4 GPa, the measured density increases to 2.78 g/cm³. Compared with high pressure, the pressure 0.02 GPa is lower, which leads to poor fluidity of powder and weak ability of filling voids. Moreover, the wettability of Al₂O₃ and Al-20Si matrix is poor, lead to the exist of interface voids, which will also reduce the density of materials.

Table 2. Density of Al₂O₃/Al-20Si composite.

| Pressure (GPa) | Density (g/cm³) |
|---------------|-----------------|
| 0.02          | 2.52            |
| 3             | 2.63            |
| 4             | 2.78            |

3.2.2. Microhardness of Composite

The microhardness of Al₂O₃/Al-20Si composite prepared under different pressures is tested. In order to decrease the discrepancy, 5 points are selected for each sample and the average value is taken. The results show that the microhardness of the samples prepared at 0.02 GPa, 3 GPa and 4 GPa are 90.8 HV₀.05, 142.6 HV₀.05 and 156.4 HV₀.05, respectively. The reason is that under high pressure, the microstructure is refined, the size of brittle silicon decreases, and the solid solubility of Si in α-Al matrix increases, which results in lattice distortion and hinders the movement of dislocations.

3.2.3. Compressive Strength of Composite

Figure 7 shows the compressive stress-strain curves of Al₂O₃/Al-20Si composites prepared under different pressures. The results show that the strength prepared at 0.02 GPa is 381.5 MPa and the plastic toughness is about 1%. When the solidification pressure is 3 GPa, the compressive strength of the material reaches 451.5 MPa and the compressive strain is about 21%. When the pressure is 4 GPa, the compressive strength of the material is further improved to 469.1 MPa, and the compressive strain is about 21.5%. The compressive strength of the material under high pressure increased by 18% and 23%, respectively. The plasticity increases about 20 times. It is due to the uneven dispersion of the powder under low pressure, resulting in more pores, which seriously affects the density of the composite. At the same time, it cannot prevent the formation of hard brittle primary silicon phase and promote the spheroidization of eutectic silicon under ambient pressure.

![Composite compressive of Al₂O₃/Al-20Si composite.](image)

3.2.4. Fracture Mechanism of Al₂O₃/Al-20Si Composites

The fracture morphology of Al₂O₃/Al-20Si composites under different pressures is shown in Figure 8. It can be seen from Figure 8a that the fracture mode of the alloy during
solidification at 0.02 GPa is typical cleavage fracture, a large number of smooth cleavage planes are distributed in the fracture surface. When the solidification pressure rises to 3 GPa, as shown in Figure 8b, the fracture mode is still cleavage fracture, but its degree decreases. There is no large cleavage plane on the fracture surface, and a small number of dimples have appeared. When the solidification pressure further rises to 4 GPa, as shown in Figure 8c, it can be seen that the fracture mechanism has undergone essential changes, with a large number of dimples distributed on the fracture surface, indicating that the fracture mode of the alloy is quasi-cleavage fracture.

![Fracture morphology of Al2O3/Al-20Si composites under different pressures](image)

**Figure 8.** Fracture morphology of Al2O3/Al-20Si composites under different pressures (a) 0.02 GPa; (b) 3 GPa; (c) 4 GPa.

4. Conclusions

The microstructure and mechanical properties of Al2O3/Al-20Si composites solidified under different pressures were studied. The following conclusions can be drawn:

(1) At 0.02 GPa, primary Si is bulk and contains edges and corners. Under high pressure, the primary Si is greatly inhibited, and with the increase of pressure eutectic Si gradually spheroidized.

(2) Under high pressure, the solid solubility of Si in α phase increases gradually. The solid solubility of Si in α phase increases from 1.94% at 0.02 GPa to 3.94% at 4 GPa, and the solid solubility increases by about 103.1%.

(3) The microhardness of Al2O3/Al-20Si composites increased from 102.5 HV0.05 at 0.02 GPa to 156.4 HV0.05 at 4 GPa, which increased by 52.6%. The compressive strength increased from 381.5 MPa at 0.02 GPa to 469.1 MPa at 4 GPa, increasing by 23%.

(4) With the increase of solidification pressure, the fracture mechanism changes from cleavage fracture to quasi cleavage fracture.

**Author Contributions:** Conceptualization, X.W., Z.C. and T.M.; methodology X.W. and D.Z.; formal analysis D.Z. and T.M.; investigation X.W. and D.D.; resources D.Z.; data curation X.W.; software L.L.; writing—original draft preparation, X.W. and Z.C.; writing—review and editing, D.Z.; X.Y. and G.W. All authors have read and agreed to the published version of the manuscript.

**Funding:** This work was supported by the Zhejiang Province Natural Science Foundation of China (Grant No.: LQ20E010003, LY18E010003) and the National Natural Science Foundation of China [Nos. 52071165, 52071188, 51801112, 52001262, U1537201, 51501100].
Data Availability Statement: No data, models, or code were generated or used during the study (e.g., opinion or dataless paper).

Conflicts of Interest: The authors declare no conflict of interest.

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