Optomechanics with two-phonon driving

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Keywords: optomechanics, quantum-limited amplification, quantum squeezing, driven-dissipative quantum systems, parametric amplification, force sensing, negative temperature

Abstract

We consider the physics of an optomechanical cavity subject to coherent two-phonon driving, i.e. degenerate parametric amplification of the mechanical mode. We show that in such a system, the cavity mode can effectively ‘inherit’ parametric driving from the mechanics, yielding phase-sensitive amplification and squeezing of optical signals reflected from the cavity. We also demonstrate how such a system can be used to perform single-quadrature detection of a near-resonant narrow-band force applied to the mechanics with extremely low added noise from the optics. The system also exhibits strong differences from a conventional degenerate parametric amplifier: in particular, the cavity spectral function can become negative, indicating a negative effective photon temperature.

1. Introduction

The field of cavity optomechanics [1] has experienced dramatic progress in recent years, spurred onwards both by fundamental interest in macroscopic quantum phenomena, as well as the promise of practical applications such as optical amplification (e.g. [2–4]), optical squeezing (e.g. [5–9]), and high-sensitivity force detection (e.g. [10–14]). Almost all experiments are well-described by the linearized theory of optomechanics, in which optical fluctuations (both quantum and classical) are treated as being small in comparison to the classical coherent intracavity amplitude. This linearized theory has been studied in depth by many authors; one may be forgiven for thinking that there remains nothing left to say about it.

In this paper, we show that the linearized regime does in fact hold at least a few remaining surprises. We start with a standard setup, wherein an optomechanical cavity (in the good cavity limit) is strongly driven at the red mechanical sideband. We then add something less standard: a degenerate ‘two-phonon’ parametric drive applied to the mechanics. Such a drive could be realized by e.g. parametrically modulating the spring constant of the mechanical resonator at twice the resonator’s natural frequency. We show here that such a setup provides a unique platform for generating phase-sensitive optical amplification and squeezing; moreover, the resulting physics is not simply equivalent to having an effective optical degenerate parametric amplifier (DPA). This ultimately stems from the fact that in our system, amplification and squeezing are obtained by using the optical mode to stabilize the mechanics in a regime of mechanical parametric driving that would otherwise be unstable.

Among the many possible advantages of our system is the fact that the amplification and squeezing can be nearly quantum-limited even when the mechanical environment is far from zero temperature—while the cavity inherits amplification and squeezing interactions from the mechanics, the mechanical fluctuations are simultaneously cooled by the red-sideband laser drive. This is in stark contrast to the simplest optomechanical amplifier, realized by a simple blue-sideband cavity drive [2]. Further, the (quadrature-sensitive) parametric amplification of the mechanical response to external forces allows one to directly improve the measurement of such forces, beyond the bound set by the quantum limit on continuous position detection (e.g. [15, 16]). Note that though others have previously studied optomechanical systems subject to mechanical parametric driving [17–22], the utility of such an approach in generating optical squeezing and amplification appears to have gone unrecognized.
The unusual dynamics in our system also has interesting consequences for an optomechanically induced transparency (OMIT) experiment, where one probes the cavity with a second, weak probe beam [23–25]. Such effects can be tied to an optomechanical modification of the cavity spectral function $A[\omega]$ [26], which usually plays the role of an effective cavity density of states. In our system, $A[\omega]$ can become negative, something that is impossible in standard OMIT, or in a standard resonantly pumped paramp (degenerate or non-degenerate). We discuss how this implies that the frequency-dependent effective temperature describing the cavity photons becomes negative, indicating a kind of stable population inversion.

The remainder of the paper is organized as follows. In section 2, we introduce the basic model of our system. Section 3 is devoted to the quantum amplification properties of the system. We show explicitly that quantum-limited operation is possible even if the mechanical bath temperature corresponds to many thermal quanta. Section 4 is devoted to the generation of optical squeezing, and provides a detailed comparison against other squeezing protocols, including standard pondermotive squeezing [1, 27] and more recent dissipative-squeezing proposals [7]. Unlike the pondermotive approach, our system generates squeezing effectively in the good-cavity limit. In section 5, we discuss how our system can exploit the parametric amplification of one mechanical quadrature to allow the measurement of one quadrature of a mechanical input force with vanishing added measurement noise. Finally, in section 6, we discuss OMIT and the unusual behaviour of the cavity spectral function $A[\omega]$, which can become negative.

2. Model and linearized theory

2.1. Model

Our system consists of a driven optomechanical cavity with optical resonance $\omega_c$ and mechanical resonance $\omega_M$, with a parametric drive at $2\omega_M$ applied to the mechanics. The full Hamiltonian is $\hat{H} = \hat{H}_0 + \hat{H}_{OM} + \hat{H}_{dis}$. We begin with the uncoupled cavity mode and mechanical DPA, with coherent Hamiltonian ($\hbar = 1$)

$$\hat{H}_0 = \omega_c \hat{a}^\dagger \hat{a} + \omega_M \hat{b}^\dagger \hat{b} + \frac{i}{2}(\lambda e^{-2i\omega_M t} (\hat{b}^\dagger)^2 - h.c.).$$

(1)

$\hat{a}$ and $\hat{b}$ annihilates a photon (phonon), and $\lambda = |\lambda|e^{i\phi}$ characterizes the strength and phase of the mechanical parametric driving. The paramp term ($\propto \lambda$) can be realized by e.g. periodic modulation of the spring constant of the mechanical element at $2\omega_M$ (see, e.g.,[18]). The optical and mechanical modes are coupled via the standard optomechanical interaction

$$\hat{H}_{OM} = g (\hat{b} + \hat{b}^\dagger) \hat{a}^\dagger \hat{a},$$

(2)

where $g$ is the single-photon optomechanical coupling.

Dissipation is included via $\hat{H}_{dis}$, which provides the damping of the cavity and mechanics at rates $\kappa$ and $\gamma$ respectively by independent dissipative baths, and brings in the corresponding noise for each mode. It also provides the driving of the cavity by a coherent source at frequency $\omega_L$.

As we are aiming for the cavity to inherit amplification and squeezing from the mechanics, it is natural to work with a beamsplitter interaction (which can straightforwardly provide state transfer between bosonic modes —see e.g. [28]). Assuming the good-cavity limit $\omega_M \gg \kappa$, such an effective linear interaction can be obtained from the full nonlinear optomechanical interaction in the usual way. Choosing the coherent cavity drive to be on the red sideband ($\omega_L = \omega_c - \omega_M$) and working in an interaction picture with respect to the Hamiltonian $\omega_c \hat{a}^\dagger \hat{a} + \omega_M \hat{b}^\dagger \hat{b}$, we displace away the classical cavity amplitude $\langle \hat{a} \rangle = \bar{a} = |\phi| \exp \{ + i(\omega_M t + \phi_0) \}$ by writing $\hat{a} = |\phi|e^{i\omega_M t} + \hat{d}$, and linearize around the classical solution. This yields the linearized optomechanical
interaction
\[ \hat{H}_{\text{OM}} = G (\hat{a} \hat{b}^\dagger + \hat{b} \hat{a}^\dagger) + \hat{H}_{\text{CR}}. \]  
\[ G = g |\phi| \] is the many-photon optomechanical coupling.

As well as providing means for state transfer, the beamsplitter terms in equation (3) lead to the well-known cavity-cooling effect [29, 30], wherein the cavity mode serves to damp and cool the mechanical motion. With our choice of red-sideband drive, the counter-rotating \( \hat{H}_{\text{CR}} = G (e^{-i \omega t} \hat{a} \hat{b}^\dagger + \text{h.c.}) \) describes off-resonant processes which are strongly suppressed in the good-cavity limit \( \kappa \ll \omega_0 \). We focus on the good-cavity limit for simplicity, but include \( \hat{H}_{\text{CR}} \) in plots unless otherwise noted. For details see appendix E.

The effective mixing of the mechanical parametric drive with the cavity drive creates a phase reference at the cavity resonance frequency, and determines the phases of the squeezed and amplified quadratures. We will show that squeezing and amplification are observed in the output light when driving the quadratures
\[ \hat{X} \equiv (e^{-i \phi/2} \hat{a} + \text{h.c.}) / \sqrt{2}, \]  
and
\[ \hat{Y} \equiv (e^{-i \phi/2} \hat{b} - \text{h.c.}) / (\sqrt{2} i) \]
respectively. We stress that these quadratures are defined with respect to the cavity resonance frequency, and not the laser drive frequency. Note that by varying the paramp phase \( \phi \) (see text immediately following equation (1)), one can obtain squeezing or amplification of any desired quadrature.

In order to achieve degenerate parametric amplification of signals incident on the cavity, one needs a process which creates two photons \( \propto (\hat{d}^\dagger)^2 \). Heuristically, the Hamiltonians given by equations (1) and (3) can provide just such a process: the paramp acts once, creating two phonons, and the beamsplitter interaction in \( \hat{H}_{\text{OM}} \) acts twice, converting these phonons into photons.

2.2. Heisenberg–Langevin equations

We treat the dissipation Hamiltonian \( \hat{H}_{\text{diss}} \) using the input–output formalism from quantum optics (see e.g. [16]). The resulting Heisenberg–Langevin equations are
\[ \dot{\hat{\mu}} = i [\hat{\mu}, \hat{H}_0 + \hat{H}_{\text{OM}},] - \frac{\Gamma_d}{2} \hat{\mu} - \sqrt{\xi} \hat{\mu}_{\text{in}}, \]
and their Hermitian conjugates, where \( \mu = d, b, \Gamma_d = \kappa, \) and \( \Gamma_b = \gamma. \) Note that we work in the interaction picture, where \( \hat{H}_0 \) contains only the mechanical parametric driving term (which is time-independent in this frame).

In equation (5) we have introduced the zero-mean noise operators \( \hat{\mu}_{\text{in}} \). Their non-zero correlators are given by \( \langle \hat{d}_{\text{in}}(t) \hat{d}_{\text{in}}(t') \rangle = \langle \hat{a}_{\text{in}}(t) \hat{a}_{\text{in}}(t') \rangle = \delta (t - t') \) and analogously for \( \hat{b}_{\text{in}} \), with \( \langle \hat{n}_{\text{in}}^2 \rangle = \langle \hat{n}_{\text{th}}^2 \rangle \) replaced by \( \langle \hat{n}_{\text{th}} \rangle \). \( \langle \hat{n}_{\text{th}} \rangle \) is the thermal occupancy of the cavity (mechanical) bath. As shown in appendix B, stability requires \( |\lambda| < \min \left\{ \frac{2}{1 + C_0}, \frac{2 + \kappa}{2} \right\} \) where we have introduced the cooperativity \( C_0 = 4 G^2 / (\kappa \gamma) \).

We assume that \( (G / \kappa)^2 \ll 1 \), which means that the relevant stability condition is
\[ |\lambda| < (\gamma / 2) (1 + C_0) \equiv \lambda_{\text{max}}. \]

Intuitively, the system is stable provided that the parametric driving does not overwhelm the total mechanical damping, which is the sum of the intrinsic mechanical damping \( \gamma \) and the optical damping \( C_0 \gamma = 4 G^2 / \kappa \)—the optical damping allows for stronger mechanical parametric damping than would otherwise be possible without reaching instability. We will see that this extended stability regime (i.e. \( \gamma / 2 < |\lambda| < (\gamma / 2) (1 + C_0) \)) is precisely the regime where amplification and squeezing occur (see figure 2).

2.3. Cavity self-energy and effective squeezing interaction

As a heuristic first look at the dynamics of our system, we can examine the equations for the cavity mode resulting from the algebraic elimination of \( \hat{b} \) from the Fourier-transformed Heisenberg–Langevin equations (5). Neglecting noise terms, one obtains
\[ -i \omega \hat{d} [\omega] = - \left( \frac{\kappa}{2} + i \Sigma_d[\omega] \right) \hat{d} [\omega] + \hat{\lambda} [\omega] \hat{d}^\dagger [\omega] \]
and its Hermitian conjugate, where
\[ \Sigma_d[\omega] = \frac{G^2 (\omega + i \gamma / 2)}{i (\omega + i \gamma / 2)^2 + |\lambda|^2}. \]
is the cavity self-energy resulting from the optomechanical interaction, and

\[ \tilde{\lambda}_c[\omega] = \frac{G^2\alpha}{(-i\omega + \gamma/2)^2 - |\alpha|^2} \]  

(8b)

plays the role of an induced (non-local in time) parametric interaction.

In the absence of parametric driving (i.e. when \( \alpha = 0 \)), \( \tilde{\lambda}_c[\omega] = 0 \), and the optomechanical modification of the cavity is fully encoded in the cavity self-energy \( \Sigma_2[\omega] \) given by equation (8a). It results in a variety of familiar optomechanical effects, including OMIT. Turning on the parametric drive (i.e. \( |\alpha| > 0 \)), equations (7) and (8b) reveal that the mechanics do indeed mediate a parametric-amplifier-like effective squeezing interaction \( \tilde{\lambda}_c[\omega] \) for the cavity mode. Note that this interaction is frequency-dependent, unlike in a true DPA.

In addition to producing the sought-after paramp-like term, non-zero \( |\alpha| > 0 \) also modifies the cavity self-energy \( \Sigma_2[\omega] \). On-resonance, the effective squeezing interaction \( \tilde{\lambda} \) becomes larger than the optomechanically induced cavity damping \( -2\text{ Im } \Sigma_2 \) only when \( |\alpha| > \gamma/2 \). We hence expect amplification only in this extension of the regime of stability, where the optical damping \( C_0\gamma \) is necessary to stabilize the otherwise-unstable mechanics. Also, as mentioned above, \( \Sigma_2[\omega] \) is responsible for OMIT—we will consider the surprising consequences of non-zero \( \alpha \) on OMIT physics in section 6.

3. Scattering and amplification

To evaluate the usefulness of our system as a squeezer/amplifier, we must turn our attention to the output light produced by scattering a weak probe off of the cavity. It is convenient to work in a basis of quadrature operators: the cavity quadratures \( \hat{X} \) and \( \hat{Y} \) are defined according to equations (4), and the analogous mechanical quadratures are denoted by \( \hat{U} \) and \( \hat{V} \). These four quadratures are collected into the vector \( \hat{Q} = (\hat{X}, \hat{Y}, \hat{U}, \hat{V})^T \).

The scattering matrix \( s[\omega] \) then links the inputs and outputs according to \( \hat{Q}_{\text{out}}[\omega] = s[\omega] \hat{Q}_{\text{in}}[\omega] \). \( s[\omega] \) can be straightforwardly calculated using input–output theory (see appendix C). On-resonance, it is given by

\[
\begin{pmatrix}
  C_0 - 1 - R_Y & 0 & 0 & -\sqrt{C_0(1 + R_Y)} \\
  0 & 1 + R_Y & \frac{1 + R_Y}{C_0} & 0 \\
  0 & -\frac{1 + R_Y}{\sqrt{C_0}} & 1 - \frac{1 + R_Y}{C_0} & 0 \\
  \frac{\sqrt{C_0(1 + R_Y)}}{1 + R_Y(1 + C_0)} & 0 & 0 & \frac{C_0 R_Y}{1 + R_Y(1 + C_0)}
\end{pmatrix}
\]  

(9)

This result is parametrized by the previously introduced cooperativity \( C_0 \), and the resonant \( \hat{Y} \)-quadrature amplitude reflection coefficient \( R_Y \), i.e. the \( Y-Y \) element of \( s[\omega] \):

\[ R_Y \equiv \frac{C_0 - (1 - 2|\lambda/\gamma|)}{C_0 + (1 - 2|\lambda/\gamma|)} \]  

(10)

The photon-number gain for optical signals in the \( \hat{Y}_{\text{out}} \)-quadrature is then \( G = |R_Y|^2 \). Note that precisely as expected based on our earlier analysis of the intracavity dynamics, above-unity gain occurs only when \( |\lambda| > \gamma/2 \)—the (unstable) regime of parametric oscillation for an uncoupled mechanical resonator. Combined
with the stability condition equation (6), this means that stable amplification of the electromagnetic $Y$ quadrature occurs in the optically stabilized regime $\gamma/2 < |\lambda| < (\gamma/2)(1 + C_0)$, as illustrated in figure 2.

In an ordinary DPA, the added noise in the amplified quadrature disappears in the large-gain limit. Surprisingly, despite the involvement of a second mode (the mechanics), our system can approach this ideal behaviour. From the scattering equation (9) expressed in terms of the amplitude gain $\sqrt{G}$ and the cooperativity $C_0$ (assuming $C_0 > 1$ so that $\sqrt{G} = R_0$), the total noise power in $Y_{\text{out}}[0]$ referred back to the input is given by

$$\frac{\mathcal{S}_{YY}[0]}{G} = \bar{n}_T + \frac{1}{2} + \frac{1}{C_0} \left( 1 + \frac{1}{\sqrt{G}} \right)^2 (\bar{n}_m + 1/2),$$

$$\equiv \bar{n}_T + \frac{1}{2} + \bar{n}^{\text{add}}[0],$$

where for operators $\hat{A}_{\text{out}}$ and $\hat{B}_{\text{out}}$,

$$\mathcal{S}_{\Delta X}[\omega] = \frac{1}{2} \int dt \ e^{i\omega t} \langle \{ \hat{A}_{\text{out}}(t), \hat{B}_{\text{out}}(0) \} \rangle$$

is the symmetrized (i.e. classical) correlator. $\bar{n}^{\text{add}}$ is the standard amplifier added noise (referred back to the input), expressed as a number of quanta. Notice that this noise, originating from the mechanical bath, is cavity-cooled, and disappears as $C_0 \to \infty$. Indeed, in the limit where $G$ is held fixed while $C_0 \to \infty$, one has

$$|s[0] \to \begin{bmatrix} \frac{1}{\sqrt{G}} & 0 & 0 & 0 \\ 0 & \sqrt{G} & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}.$$
by the much smaller mechanical damping rate $\gamma$. Note that recent experiments $[3, 4]$ have investigated multimode approaches to optomechanical amplification which lead to improved amplifier bandwidth $[32, 33]$.

4. Squeezing

4.1. Squeezing generation

Noiseless phase-sensitive amplification of one quadrature goes hand-in-hand with squeezing of its complementary quadrature. In keeping with this, our scheme is capable of producing significant squeezing of the cavity output field. For large cooperativities and a zero-temperature cavity input, the squeezing of the on-resonance cavity output quadrature $\hat{X}_{\text{out}}[0]$ below zero-point is given by

$$e^{-2\varphi} \equiv \frac{S_{XX}[0]}{1/2},$$

$$\approx 1 - \frac{4(|\lambda|/\lambda_{\text{max}})(1 - 1/C_0)}{1 + |\lambda|/\lambda_{\text{max}}} + \frac{8\bar{n}_m^T}{C_0(1 + |\lambda|/\lambda_{\text{max}})^2},$$

$$|\lambda| \rightarrow \lambda_{\text{max}} \frac{2\bar{n}_m^T + 1}{C_0},$$

where $\lambda_{\text{max}}$ is defined in equation (6).

Note that the maximum degree of squeezing is set by the cavity-cooled mechanical temperature; significant squeezing below zero-point of the cavity output field therefore requires the same magnitude of cooperativity as is needed to approach the mechanical ground state via optomechanical sideband cooling. Typical squeezing versus cooperativity curves are shown in figure 4.

In addition to the amount of squeezing, the purity of that squeezing is an important figure of merit. The impurity of the cavity output may be quantified by an effective thermal occupancy $n_{\text{eff,out}}^T$, defined via

$$n_{\text{eff,out}}^T[\omega] + 1/2)^2 = S_{XX}[\omega]S_{YY}[\omega] - S_{XY}[\omega]S_{YX}[\omega].$$

$n_{\text{eff,out}}^T$ thus defined will be zero for any pure state of the output light, and equal to the actual thermal occupancy for a thermal state (see e.g. [34]). For our system in the rotating wave approximation (RWA), the cross-correlators between $\hat{X}_{\text{out}}$ and $\hat{Y}_{\text{out}}$ vanish, leaving only the diagonal term in equation (19). In the large-cooperativity limit $C_0 \gg 1$ and on-resonance, one has (to order $1/C_0$)

$$n_{\text{eff,out}}^T[0] + 1/2)^2 \approx \frac{1}{4} + \frac{4}{C_0} \left(1 + \frac{\lambda}{\lambda_{\text{max}}} \right)^2 + \frac{\bar{n}_m^T(1 + \frac{\lambda}{\lambda_{\text{max}}} \left(1 - \frac{\lambda}{\lambda_{\text{max}}} \right)^2).$$

As maximal squeezing occurs when $|\lambda| \rightarrow \lambda_{\text{max}}$, there is thus a tradeoff between the degree of squeezing achieved and the purity of that squeezing. In a serendipitous accident of terminology, the degree of achievable compromise is controlled by the cooperativity: larger cooperativities allow greater purity for a given amount of squeezing, as can be seen in figure 4. As the system approaches instability, fluctuations in the mechanical $\hat{U}$ quadrature are amplified by the parametric driving but cooled by the red-sideband interaction with the cavity mode. As these fluctuations are then transferred into the cavity $\hat{Y}$ quadrature by way of the optomechanical
interaction, the degree of impurity of the cavity output field reflects the competition between these heating and cooling effects.

4.2. Comparison against other squeezing protocols

Our scheme produces squeezed output light most efficiently in the good-cavity limit ($\kappa \ll \omega_M$) with weak coupling ($G < \kappa$) and large cooperativity ($C_0 \gg 1$). In contrast, the standard ponderomotive squeezing mechanism [1, 27] is efficient only in the bad-cavity limit $\kappa \gg \omega_M$. Although the good-cavity limit is desirable for the realization of several optomechanical processes, if one is willing to work in the bad-cavity limit, then the squeezing achieved by ponderomotive squeezing can be significantly more pure than the squeezing achievable using our scheme in the good-cavity limit with a similar $C_0$ (see figure 5(b)). Another significant difference is that in the ponderomotive case, the squeezing angle is dependent on frequency, while in our system it is always the $X$ quadrature of the cavity output which is squeezed (see inset in figure 5(a)). Recall that the angle defining the $X$-quadrature is controlled by the paramp phase $\phi_p$ (see equation (4)).

The dissipative optomechanical squeezing scheme [7] also yields a frequency-independent squeezing angle, but suffers from a very narrow bandwidth, set by the bare mechanical damping $\gamma$. In contrast, when $\sqrt{G}$, $C_0$ and $\kappa/G$ are all large, our scheme yields a squeezing bandwidth controlled by the optical damping $4G^2/\kappa$, thus providing a bandwidth improvement by a potentially large factor $C_0$ relative to the dissipative scheme—see figure 5(a). While the dissipative scheme is capable of producing a greater degree of squeezing, the impurity of the cavity output in that case is set by the temperature of the mechanical bath as opposed to the (much lower) cavity-cooled temperature achievable in our scheme provided that one does not drive the system too close to the parametric instability. A detailed comparison between the ponderomotive and dissipative squeezing schemes can be found in [7].

5. Single-quadrature force sensing

So far we have considered the output light produced by the cavity in response to weak optical inputs, with the mechanics driven only by noise. However, through the optomechanical interaction, mechanical input signals (i.e. forces) are also imprinted onto the cavity output. We have already demonstrated how the second row of the scattering matrix (equation (9) for the resonant case and equation (C5) for the non-resonant case) leads to quadrature-sensitive amplification of the optical output field. This row, in particular the off-diagonal $Y-U$ element, describes the transduction of one quadrature of mechanical input signals, i.e. forces, to the ‘amplified’

\[ Y = \text{amplified} \]
optical output quadrature: hence, monitoring $\hat{Y}_{\text{out}}$ provides a measurement of $\hat{U}_{\text{in}}$. We will now show that this process allows for single-quadrature force detection with arbitrarily small added noise, thus allowing one to surpass the usual quantum limit on force detection.

The (classical) mechanical input force is described in the lab frame by a Hamiltonian

$$\hat{H}_F = F(t)\hat{x}_{\text{in}} = \sqrt{\frac{\hbar}{2m\omega_M}} F(t) (\hat{b} + \hat{b}^\dagger),$$

where $F(t)$ is the mechanical force to be detected and $\hat{x}_{\text{in}}$ is the (dimensionful) position of the mechanical oscillator (mass $m$). In terms of the classical force $F$, the mechanical quadrature Fourier component $\hat{U}_{\text{in}}[\omega]$ is given by

$$\hat{U}_{\text{in}}[\omega] = \hat{U}_{\xi}[\omega] + \frac{1}{2}\sqrt{\frac{\hbar}{2m\omega_M}} (e^{i\phi/2} F[\omega + \omega_M] - e^{-i\phi/2} F[\omega - \omega_M]),$$

where $\hat{U}_{\xi}$ is the zero-mean $U$-quadrature of the mechanical input noise satisfying $(\hat{U}_{\xi}(t) \hat{U}_{\xi}(t')) = (\hbar^2 + 1/2) \delta(t - t')$. Recall that the mechanical quadratures are defined in a rotating frame, and involve the phase $\phi/2$ in their definition.

To measure this force quadrature, one must detect the optical output quadrature $\hat{Y}_{\text{out}}(t)$. An important figure of merit in such a measurement is the total added noise of the measurement, which here consists of the contribution of the input optical vacuum noise in $\hat{Y}_{\text{out}}(t)$. This added noise can be viewed as an effective increase in the force fluctuations originating from the mechanical bath. To that end, it is convenient to quantify it as an equivalent number of bath noise quanta $\tilde{n}_{\text{add}}[\omega]$:

$$\tilde{S}_{\text{yy}}[\omega] = [S_{YY}[\omega]]^2 \left( \tilde{n}_{m} + \frac{1}{2} \right) + [S_{YU}[\omega]]^2 \left( \tilde{n}_{m} + ight. \frac{1}{2} + \tilde{n}_{\text{add}}[\omega]) .$$

From the on-resonance scattering matrix in equation (9) and the expression equation (10), one finds something remarkable: when $C_0 \gamma = \gamma^2 - 2 |\lambda|$ (which can only happen when $C_0$ and $2\lambda/\gamma$ are both less than 1), the on-resonance optically added noise vanishes exactly, while, at the same time, the mechanical parametric driving provides an amplified response to the mechanical input force. This vanishing of the optically added noise can be thought of as resulting from an impedance matching condition for the $U$-quadrature: one has balanced the paramp-modified intrinsic mechanical damping of this quadrature, $\gamma - 2 |\lambda|$, against the (phase-insensitive) optical damping $C_0 \gamma$. Alternatively, this cancellation could be viewed as being the result of a perfect cancellation of standard 'backaction' and 'imprecision' contributions to the added noise. The added noise away from resonance is shown in figure 6(b).

Note that when the impedance-matching condition is satisfied (so that $G = |S_{YY}[0]|^2 = 0$), the mechanical input force quadrature $\hat{U}_{\text{in}}[0]$ is transduced to $\hat{Y}_{\text{out}}[0]$ with coefficient

Figure 6. (a) Modulus-square of the scattering coefficient $s_{YY}[\omega]$, describing transduction of the mechanical force quadrature $\hat{U}_{\text{in}}[\omega]$ (see equation (22)) to the optical output quadrature $\hat{Y}_{\text{out}}[\omega]$. (b) Optically added noise for a measurement of $\hat{U}_{\text{in}}[\omega]$, expressed as an equivalent number of mechanical bath noise quanta accompanying the force to be detected. By satisfying the impedance matching condition $C_0 + 2\lambda/\gamma = 1$, the optically added noise can be made to vanish on-resonance while simultaneously providing $|s_{YY}[\omega]| > 1$. This plot takes $\gamma/\kappa = 10^{-3}$, and assumes the RWA.
\[
\mathcal{S}_{\text{YU}}[0]|_{\text{imp.match}} = \frac{1}{\sqrt{1 - 2\lambda/\gamma}}.
\]

Thus, if one tunes \( \lambda \) to be slightly below \( \gamma/2 \) while at the same time tuning \( C_0 \) to be \( 1 - 2\lambda/\gamma \), our approach provides large-gain force detection with no added optical noise. Note that by fixing \( C_0 = 1 - 2\lambda/\gamma \) to enforce impedance matching, the system hits instability at \( \lambda = \gamma/2 \). Hence, the large force-detection gain in this regime (achieved with \( C_0 \ll 1 \)) is directly associated with the expected amplification near the instability threshold.

When the two-phonon drive is off \( (\lambda = 0) \), one has instead

\[
\mathcal{S}_{\text{YU}}[0]|_{\lambda=0} = \frac{2\sqrt{C_0}}{1 + C_0}.
\]

Note that this is never larger than unity—without the mechanical parametric driving, one phonon’s worth of input force produces at most one photon’s worth of output light. While impedance matching is still possible (in this case by taking \( C_0 = 1 \)), the lack of ‘excitation-number gain’ means that without parametric driving, the system provides only transduction of the mechanical force, and not a true measurement of the same.

The standard quantum limit on force-detection (force-detection SQL) is \( \tilde{n}_{\text{eff}}^{(\text{FD})}[\omega] > 1/2 \) (see e.g. [10, 11, 15, 16]), and applies to any measurement that probes both quadratures of a mechanical input force by monitoring both position quadratures of a mechanical oscillator. Recent optomechanical experiments [13] have come close to reaching the force-detection SQL. We have seen how our scheme can be used to surpass the force-detection SQL for a single force quadrature by suppressing the optical noise floor in the on-resonance \( \tilde{Y}_{\text{out}} \) quadrature while amplifying the mechanical response to the input force signal. This differs from backaction-evasion techniques (e.g. [10, 11]), which surpass the force-detection SQL by producing a large signal without correspondingly raising the noise floor (but also without the suppression of that noise floor as afforded by our scheme). There also exist multi-mode approaches to sub-SQL force-detection [12, 36–38]—by involving multiple resonators, these approaches can circumvent the force-detection SQL while providing detection of both force quadratures.

We note that as mentioned above, the force-detection enhancement in this system relies on small cooperativity \( C_0 \ll 1 \); we assume that this is achieved by taking a sufficiently weak red-sideband drive such that \( G \ll \kappa \), while still maintaining the good-cavity limit \( \kappa \ll \omega_n \) and hence the validity of the RWA. We also assume that the drive is not too weak, so that the single-photon optomechanical nonlinearity remains unimportant (i.e. \( G \gg g \)).

6. OMIT and negative spectral functions

We now return to our system’s intracavity properties, focusing on unusual features in the photonic dynamics. These are most apparent in the behaviour of the cavity photon spectral function \( A[\omega] \) (defined below), a quantity which usually plays the role of an effective density of states [39], but which can become negative here. As we discuss, this indicates an effective negative temperature for the cavity photons at frequencies near resonance. More concretely, it results in unusual behaviour in an OMIT-style experiment.

We imagine that in addition to the main input/output port through which the red-sideband laser drive is applied, the cavity is also coupled very weakly to a second waveguide at rate \( \kappa' \ll \kappa \). We will show that, surprisingly, near-resonant signals in this second waveguide can be reflected with gain even though the waveguide is severely undercoupled (and hence impedance mismatched). We stress that such behaviour does not occur in typical resonantly pumped quantum amplifiers, such as a standard DPA (see appendix F).

As shown in appendix G, the power reflection coefficient for such signals (averaged over their phase) is given by

\[
\mathcal{R}[\omega] = 1 - \kappa' A[\omega] + \mathcal{O}(k'^2).
\]

\( A[\omega] \) is the cavity spectral function, defined as

\[
A[\omega] = -2 \text{Im} \ G^R[\omega],
\]

where \( G^R(t) = -i \theta(t) \langle \hat{a}^d(t), \hat{a}^\dagger(0) \rangle \) is the cavity retarded Green’s function. \( A[\omega] \) is usually interpreted as an effective density of single-particle states. As such, the familiar phenomenon of OMIT can be interpreted as an optomechanically induced suppression of the density of photon states at the cavity resonance, i.e. \( A[\omega \sim 0] \to 0 \): incident near-resonant photons in the weakly coupled auxiliary waveguide do not see any available states when they reach the cavity, and are hence perfectly reflected \( \mathcal{R} \to 1 \).

\footnote{We use the Fourier transform convention where \( f(t) = \int_{-\infty}^{\infty} \text{d} \xi \ e^{-i \omega \xi} f[\omega] \) and \( f[\omega] = \int_{-\infty}^{\infty} \text{d} \xi \ e^{i \omega \xi} f(t) \).}

\footnote{While this approach to OMIT may appear overly complicated, it allows for the examination of many non-standard situations such as those considered in [26, 43, 44].}
The above statements can of course be made more precise. In the absence of parametric driving, one finds from equation (8a) that on-resonance, the cavity self-energy is

\[ \Sigma_d[0]|_{\lambda=0} = -\frac{2iG^2}{\gamma}, \]

and so the spectral function is

\[ A[0]|_{\lambda=0} = -2\text{Im}\left\{\frac{1}{1\kappa/2 - \Sigma_d[0]|_{\lambda=0}}\right\} = \frac{4}{\kappa} \frac{1}{1 + \frac{1}{2\kappa}C_0}. \]  

(29)

Increasing the cooperativity \(C_0\) from 0 effectively increases the damping felt by the cavity on-resonance, producing the familiar OMIT notch in \(A[\omega]\). As mentioned, this notch can be interpreted as reflecting a lack of single-particle states near resonance (see the dashed orange curve in figure 7).

Including now a non-zero parametric drive \(\lambda\) in our system, we find something surprising: increasing \(\lambda\) from zero can increase the OMIT suppression of \(A[\omega]\) near resonance, and can even push it below zero, making the spectral function negative (see figure 7). Assuming as throughout that the strong-coupling regime is avoided, we find that \(A[0]\) becomes negative when \(2|\lambda| > \sqrt{1 + C_0/\gamma}\). As the system remains stable as long as \(2|\lambda| \leq (1 + C_0)\gamma\) (see equation (6)), in the large \(C_0\) limit, there is a large parameter regime where the system is stable but exhibits a negative spectral function.

It immediately follows from equation (26) that if \(A[\omega] < 0\), then in an OMIT experiment, probe signals in an arbitrarily weakly coupled auxiliary waveguide (i.e. \(\kappa' \ll \kappa\)) can be reflected with above-unity gain. We stress that such stable negativity in the cavity spectral function does not occur in the standard OMIT setup, nor in a standard resonantly pumped paramp. This is shown in appendix F. We also show in this appendix that our negative spectral function is directly connected to the effective negative cavity damping induced by the optomechanical interaction (as described by \(-2\text{Im}\Sigma_d[\omega]\), see equation (8a)).

Note that it is of course possible to measure \(A[\omega]\) without the need for an auxiliary waveguide; the cavity scattering matrix can be easily measured in an experiment, yielding the susceptibility (since \(\kappa_{\text{aux}} = 1 - \kappa X_{\text{cav}}\)), from which the spectral function can be extracted (\(A = 2\text{Re}|\chi_{dd}|\)). We present the previously described experiment in order to emphasize the role of \(A[\omega]\).

Returning to the lab frame, \(G^R(t)\) remains time-translation invariant, and the notch and negativity in \(A[\omega]\) occurs at frequency \(\omega\) near the cavity resonance frequency \(\omega_c\). For a time-independent Hamiltonian system in a time-independent state, \(A[\omega > 0] < 0\) necessarily implies a stationary population inversion between eigenstates separated by \(\hbar\omega\). In our case, we have an open system and a time-dependent Hamiltonian. Nonetheless, the negativity in \(A[\omega]\) is still indicative of population inversion. This is best seen by computing the effective temperature of the cavity photons, a quantity that can be defined via the photonic noise properties. As the system is not in equilibrium, this temperature will be explicitly frequency dependent (see [16] for an extensive, pedagogical discussion). Formally, it is defined by comparing the size of the classical symmetrized...
photon correlation function (the so-called Keldysh Green function \[39\]) to the size of the spectral function:

\[
\text{coth}\left(\frac{\omega}{2T_{\text{eff}}[\omega]}\right) \equiv -\frac{iG^K[\omega]}{A[\omega]} \equiv \frac{\int dt e^{i\omega t} \langle \hat{d}(t), \hat{d}^\dagger(0) \rangle}{A[\omega]},
\]

(30)

In thermal equilibrium, \(T_{\text{eff}}[\omega]\) coincides with the system temperature \(T\) at all frequencies. Out of equilibrium, as the numerator on the RHS is always positive definite, a negative spectral function at \(\omega > 0\) necessarily implies a negative temperature at that frequency.

We stress that the effective temperature \(T_{\text{eff}}[\omega]\) also has a direct operational meaning, which we elucidate by considering another different experiment. As discussed extensively in \[16\], if one were to weakly couple a qubit with a splitting frequency \(\Omega = \omega_c\) to the cavity photons via an interaction Hamiltonian \(H_{\text{int}} \propto (\hat{\sigma}_z \hat{d} + \text{h.c.})\), then the cavity photons would act as a bath for the qubit. The corresponding steady state of the qubit would correspond to a thermal state at temperature \(T_{\text{eff}}[\omega_c]\):

\[
\langle \hat{\sigma}_z \rangle = -\text{tanh}\left(\frac{\hbar\omega_c}{2k_B T_{\text{eff}}[\omega_c]}\right).
\]

Hence, a negative effective cavity temperature would directly translate into a simple population inversion of the qubit.

One can show that for such a setup, the maximum qubit polarization \(\langle \hat{\sigma}_z \rangle\) occurs when \(2|\lambda|/\gamma = (1 + C_0)^{1/4}\). For large \(C_0\), this maximum is

\[
\langle \hat{\sigma}_z \rangle_{\text{max}} = 1 - \frac{4}{\sqrt{C_0}} + C_0\left(\frac{1}{C_0}\right).
\]

(32)

We therefore find that in the \(C_0 \to \infty\) limit, the qubit becomes completely inverted, i.e. the effective photon temperature becomes infinitesimally negative \(\langle \beta_{\text{eff}}[\omega_c] \rangle \to -\infty\). One could also imagine a similar experiment with a qubit detuned from \(\omega_c\) to probe the effective photon temperature at other frequencies—see figure 8.

While we can rigorously associate a negative temperature to our system (in the lab frame), a further discussion of the relevant population inversion is difficult. In our interaction picture, the Hamiltonian and steady-state are time-independent, and the negative spectral function indicates an anomalous population of the system-plus-bath energy eigenstates. However, in the lab frame, these states do not correspond to energy eigenstates or even Floquet eigenstates (as in general, the mechanical and cavity frequencies are incommensurate, so the lab-frame Hamiltonian is not periodic). This being said, the negative spectral function and negative effective temperature implies that for any weak, single-photon probes of the cavity, it effectively behaves like a time-independent system with a conventional population inversion.

It is interesting to note that the basic mechanism in our system which allows a stable negative photonic spectral function can be generalized to other more complex systems. One can begin with any parametrically driven unstable mode. If this mode is then stabilized by coupling to a damped auxiliary mode, there can exist a range of parameters where the auxiliary mode displays a negative spectral function.

![Figure 8. Polarization \(\langle \hat{\sigma}_z \rangle\) of a qubit (level splitting \(\Omega = \omega_c\)) weakly coupled to the cavity mode via \(\hat{H}_{\text{int}} \propto (\hat{\sigma}_z \hat{d} + \text{h.c.})\). The qubit polarization is precisely the same as if it were coupled to a thermal reservoir at temperature \(T_{\text{eff}}[\Omega]\); the regions where \(\langle \hat{\sigma}_z \rangle > 0\) directly indicate a negative effective photon temperature. This plot takes \(\gamma/\kappa = 10^{-4}\) and \(C_0 = 100\), and assumes the RWA.](image_url)
7. Conclusion

We have described a simple twist on the standard optomechanical setup which can be used to translate mechanical degenerate parametric driving into squeezing and amplification of an optical mode. We have shown how our system can approach the quantum limit when operated as a phase-sensitive amplifier, and can produce significant degrees of output squeezing when operated as a squeezer, all while avoiding the need for a conventional optical nonlinearity. We have highlighted the differences between our method and other previously described optomechanical amplification and squeezing protocols. We have shown how our method can yield single-quadrature force measurement beyond the force-detection standard quantum limit. Finally, we have found that this method leads to an unusual situation involving a negative cavity spectral function, and have briefly discussed the implications of this negativity.

We thank Jack Sankey for useful conversations. This work was supported by NSERC.

Appendix A. Susceptibility

Using input–output theory to deal with the dissipative environment, the RWA Hamiltonian
\( \hat{H} = G (\hat{a} \hat{b}^\dagger + \hat{b} \hat{a}^\dagger) + \frac{1}{2} (\lambda \hat{b} \hat{b}^\dagger - \lambda^* \hat{b}^\dagger \hat{b}) \) yields Heisenberg–Langevin equations with solution

\[
Q[\omega] = \begin{pmatrix}
\hat{X}[\omega] \\
\hat{Y}[\omega] \\
\hat{U}[\omega] \\
\hat{V}[\omega]
\end{pmatrix} = -\chi[\omega]^{-1} \begin{pmatrix}
\sqrt{\kappa_{\text{int}}} & 0 & 0 & 0 \\
0 & \sqrt{\kappa_{\text{ex}}} & 0 & 0 \\
0 & 0 & \sqrt{\gamma} & 0 \\
0 & 0 & 0 & \sqrt{\gamma}
\end{pmatrix} Q_{\text{in}}[\omega] + \begin{pmatrix}
\sqrt{\kappa_{\text{int}}} & 0 & 0 & 0 \\
0 & \sqrt{\kappa_{\text{ex}}} & 0 & 0 \\
0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0
\end{pmatrix} Q_{\text{int}[\omega]},
\]

where \( Q_{\text{int}[\omega]} \) consists of the quadratures of the noise operators corresponding to the internal loss port (\( \kappa_{\text{int}} \)), while \( Q_{\text{in}}[\omega] \) contains the quadratures of the noise operators corresponding to the signal port (\( \kappa_{\text{ex}} \)) and mechanical noise port (\( \gamma \)). The total cavity damping is \( \kappa = \kappa_{\text{int}} + \kappa_{\text{ex}} \). The susceptibility \( \chi[\omega] \) is given by

\[
\chi[\omega] = \begin{pmatrix}
\chi_{\omega}^{-1}[\omega] + G \chi_{\omega_{\text{me}[\omega]}[\omega]} & 0 & 0 & 0 \\
0 & \chi_{\omega}^{-1}[\omega] + G \chi_{\omega_{\text{me}[\omega]}[\omega]} & 0 & 0 \\
0 & 0 & \chi_{\omega}^{-1}[\omega] + G \gamma \chi_{\omega_{\text{me}[\omega]}[\omega]} & 0 \\
0 & 0 & 0 & \chi_{\omega}^{-1}[\omega] + G \gamma \chi_{\omega_{\text{me}[\omega]}[\omega]}
\end{pmatrix},
\]

where we have defined \( \chi_{\omega}^{-1}[\omega] = -i \omega + \kappa / 2 \) and \( \chi_{\omega_{\text{me}[\omega]}}[\omega] = -i \omega + \gamma / 2 \pm |\lambda| \). \( \chi[\omega] \) is precisely the susceptibility for a red-detuned optomechanical cavity in the RWA with the mechanical damping \( \gamma \) modified by the parametric drive in the usual phase-sensitive way: in terms involving \( \hat{U} \) (and, by extension, the cavity quadrature \( \hat{Y} \) which it couples to), one has \( \gamma \rightarrow \gamma + 2 |\lambda| \), while for the terms involving \( \hat{V} \) (and hence \( \hat{X} \)), the replacement is \( \gamma \rightarrow \gamma + 2 |\lambda| \).

Appendix B. Stability and mode-splitting

The stability of the system can be determined from the poles of the susceptibility matrix \( \chi[\omega] \) (see equation (A2)). Two of these poles lie at

\[
\Omega_{\pm}^{(+)\pm} = -\frac{i}{2} \left\{ \frac{\kappa}{2} + \frac{\gamma}{2} + |\lambda| \pm \sqrt{\left( \frac{\kappa}{2} - \frac{\gamma}{2} - |\lambda| \right)^2 - 4G^2} \right\}
\]

and the other two lie at

\[
\Omega_{\pm}^{(-)\pm} = -\frac{i}{2} \left\{ \frac{\kappa}{2} + \frac{\gamma}{2} - |\lambda| \pm \sqrt{\left( \frac{\kappa}{2} - \frac{\gamma}{2} + |\lambda| \right)^2 - 4G^2} \right\}
\]

Maintaining stability requires that these poles lie in the lower half-plane, and avoiding a mode-splitting requires that they lie on the imaginary axis. The poles at \( \Omega_{\pm}^{(+)\pm} \) will always lie in the lower half-plane, as these are the same as in the case of a red-sideband-driven linearized optomechanical cavity with a modified but still positive mechanical damping rate; such a system is always stable. Keeping \( \Omega_{\pm}^{(-)\pm} \) in the lower half-plane is thus sufficient to maintain stability, and assuming that mode-splitting is avoided, this is equivalent to the condition equation (5), i.e. \( |\lambda| < (\gamma/2)(1 + G_0) \). If there is a mode-splitting, i.e. if the square-root in \( \Omega_{\pm}^{(-)\pm} \) is imaginary, then stability requires \( |\lambda| < \frac{\gamma}{2} \). Taking these together yields
\[ |\lambda| < \min \left\{ \frac{\kappa + \gamma}{2}, \frac{\gamma}{2} \left( 1 + \sqrt{C_0} \right) \right\}. \]  

Mode-splitting is avoided if the square-root in equation (B1) is real, i.e. if
\[ \left( \frac{\kappa}{2} - \frac{\gamma}{2} - |\lambda| \right)^2 > 4G^2. \]  

Using the relevant stability condition, we see that this avoidance is achieved over all stable values of \( \lambda \) provided that
\[ \left( 1 - \frac{2\gamma}{\kappa} - \frac{4G^2}{\kappa^2} \right)^2 > \frac{16G^2}{\kappa^2}. \]  

Because \( \gamma \ll \kappa \), it then follows that weak coupling (\( 4G^2/\kappa^2 \ll 1 \)) is sufficient to avoid mode-splitting.

**Appendix C. Scattering**

Input–output theory leads to the following simple expression for the radiation leaving the cavity:
\[ \tilde{d}_{\text{out}} = \tilde{d}_{\text{in}} + \sqrt{\kappa_e} \tilde{d}. \]

Going over to the quadrature basis, we can thus write
\[ \tilde{Q}_{\text{out}}[\omega] = s[\omega] \tilde{Q}_{\text{in}}[\omega] + N[\omega] \tilde{Q}_{\text{int}}[\omega]. \]

with the matrix
\[ s[\omega] = 1 - \begin{pmatrix} \sqrt{\kappa_{\text{ex}}} & 0 & 0 & 0 \\ 0 & \sqrt{\kappa_{\text{ex}}} & 0 & 0 \\ 0 & 0 & \sqrt{\kappa_{\text{in}}} & 0 \\ 0 & 0 & 0 & \sqrt{\kappa_{\text{int}}} \end{pmatrix} \chi[\omega] \begin{pmatrix} \sqrt{\kappa_{\text{ex}}} & 0 & 0 & 0 \\ 0 & \sqrt{\kappa_{\text{ex}}} & 0 & 0 \\ 0 & 0 & \sqrt{\kappa_{\text{in}}} & 0 \\ 0 & 0 & 0 & \sqrt{\kappa_{\text{int}}} \end{pmatrix} \]

describing the scattering of an incident signal, and with the matrix
\[ N[\omega] = - \begin{pmatrix} \sqrt{\kappa_{\text{ex}}} & 0 & 0 & 0 \\ 0 & \sqrt{\kappa_{\text{ex}}} & 0 & 0 \\ 0 & 0 & \sqrt{\kappa_{\text{in}}} & 0 \\ 0 & 0 & 0 & \sqrt{\kappa_{\text{int}}} \end{pmatrix} \chi[\omega] \begin{pmatrix} \sqrt{\kappa_{\text{ex}}} & 0 & 0 & 0 \\ 0 & \sqrt{\kappa_{\text{ex}}} & 0 & 0 \\ 0 & 0 & \sqrt{\kappa_{\text{in}}} & 0 \\ 0 & 0 & 0 & \sqrt{\kappa_{\text{int}}} \end{pmatrix} \]

bringing in the noise associated with internal loss in the cavity.

In the case where there are no internal losses, i.e. \( \kappa_{\text{int}} = 0 \), \( \kappa = \kappa_{\text{ext}} \), one finds
\[ s[\omega] = \begin{pmatrix} G^2 + (-i\omega + \kappa/2)(-i\omega + \gamma/2 + |\lambda|) & 0 & 0 & -G_2 \kappa \gamma \\ 0 & G^2 + (-i\omega + \kappa/2)(-i\omega + \gamma/2 + |\lambda|) & G_2 \kappa \gamma & 0 \\ 0 & G^2 + (-i\omega + \kappa/2)(-i\omega + \gamma/2 + |\lambda|) & G_2 \kappa \gamma & 0 \\ 0 & 0 & 0 & G^2 + (-i\omega + \kappa/2)(-i\omega + \gamma/2 + |\lambda|) \end{pmatrix} \]

**Appendix D. Bandwidth**

To determine the amplifier bandwidth, consider the denominator of the \( Y-Y \) scattering element:
\[ D = G^2 + \left(-i\omega + \frac{\kappa}{2}\right) \left(-i\omega + \frac{\gamma}{2} - |\lambda|\right) \]
\[ = -\omega^2 - i\omega \left( \frac{\kappa}{2} + \frac{\gamma}{2} - |\lambda| \right) + \frac{\kappa}{2} \left( \frac{\gamma}{2} - |\lambda| \right) + G^2. \]  

Approximating \( s_{YY} [\omega] \) as Lorentzian, this gives a FWHM of
\[ D = \frac{4G^2 + \kappa (\gamma - 2 |\lambda|)}{\kappa + \gamma - 2 |\lambda|} = \frac{8G^2\kappa}{4G^2(1 - \sqrt{G}) + \kappa^2(1 + \sqrt{G})}. \]
For large gain, this is well-approximated by

$$D \approx \frac{1}{\sqrt{G}} \frac{2\kappa}{(\omega - 2G)^2 - 1}. \quad (D3)$$

Taking $\kappa \gg 2G$, we can further approximate

$$D\sqrt{G} \approx \frac{8G^2}{\kappa} \quad (D4)$$

which expresses the gain-bandwidth limitation of our system.

**Appendix E. Beyond the RWA**

The linearized optomechanical interaction involves beamsplitter terms ($\propto \hat{a}^\dagger \hat{b}^\dagger + \text{h.c.}$) and entangling terms ($\propto \hat{a} \hat{b}^\dagger + \text{h.c.}$). When driving on the red sideband in the good-cavity limit, the entangling terms describe highly off-resonant processes and correspondingly oscillate rapidly in the interaction picture. Discarding these terms constitutes the RWA. Without the RWA, the linearized Hamiltonian for our system is

$$\hat{H} = G(\hat{d}^\dagger \hat{b} + \hat{b}^\dagger \hat{d}) + \frac{i}{2}(\hat{b}^\dagger \hat{b} + \hat{b} \hat{b}^\dagger) + G(e^{-2i\omega_M} \hat{d}^\dagger \hat{b} + e^{2i\omega_M} \hat{d} \hat{b}^\dagger). \quad (E1)$$

Including the counter-rotating terms makes the equations of motion dependent on time, coupling frequency components separated by $\omega - 2\omega_M$. We handle this complication by following a sideband truncation approach similar to [40], and focus on the stationary part of the noise. Similar techniques were used in [32, 41]. In the frequency domain,

$$\left( -i\omega + \frac{\kappa}{2} \right) \hat{d}[\omega] + iG \hat{b}[\omega] + iG^* \hat{b}^\dagger[\omega + 2\omega_M]$$

$$= -\sqrt{K_{ex}} \hat{a}_m[\omega] - \sqrt{K_{in}} \hat{\xi}[\omega], \quad (E2a)$$

$$\left( -i\omega + \frac{\kappa}{2} \right) \hat{b}[\omega] - iG \hat{b}^\dagger[\omega] - i\hat{b}[\omega - 2\omega_M]$$

$$= -\sqrt{K_{ex}} \hat{a}_m^\dagger[\omega] - \sqrt{K_{in}} \hat{\xi}^\dagger[\omega], \quad (E2b)$$

$$\left( -i\omega + \frac{\gamma}{2} \right) \hat{b}[\omega] - \lambda \hat{b}^\dagger[\omega] + iG \hat{d}[\omega] + iG^* \hat{d}^\dagger[\omega + 2\omega_M]$$

$$= -\sqrt{\gamma} \hat{b}_m[\omega], \quad (E2c)$$

$$\left( -i\omega + \frac{\gamma}{2} \right) \hat{b}^\dagger[\omega] - \lambda^* \hat{b}[\omega] - iG \hat{d}^\dagger[\omega] - i\hat{d}[\omega - 2\omega_M]$$

$$= -\sqrt{\gamma} \hat{b}_m^\dagger[\omega]. \quad (E2d)$$

By shifting $\omega \rightarrow \omega \pm 2\omega_M$ and substituting the resulting equations back into equation (E2), one obtains an additional eight equations now involving operators evaluated at $\omega \pm 2\omega_M$ and $\omega \pm 4\omega_M$. Because we are interested in the behaviour near resonance and in the good-cavity limit, the response of the cavity is miniscule at the second-order sideband at $\omega \pm 4\omega_M$, so we drop terms evaluated at these frequencies to close the set of 12 equations. If a better approximation is needed, one can instead iterate the shifting of $\omega$ by $\pm 2\omega_M$ and include as many sidebands as desired.

**Appendix F. Comparison to DPA**

**F.1. Resonant parametric amplifiers**

As discussed in the main text, our system bears a degree of resemblance to a true optical DPA. In this section we enable this comparison by recalling several properties of the DPA, and of parametric amplifiers in general.

The resonant NDPA involves two modes $\hat{a}_s$ and $\hat{a}_d$, and is pumped at $\omega_S + \omega_I$. In the interaction picture

$$\hat{H}_{\text{NDPA}} = i(\mu \hat{a}_S^\dagger \hat{a}_d^\dagger - \mu^* \hat{a}_d \hat{a}_S). \quad (F1)$$

For the degenerate paramp, $\hat{a}_S = \hat{a}_d \equiv \hat{a}$, $\omega_S = \omega_I \equiv \omega$, and $\mu \rightarrow \Lambda/2$. The coherent DPA Hamiltonian is

$$\hat{H}_{\text{DPA}} = \frac{i}{2}(\Lambda \hat{a}^\dagger \hat{a}^\dagger - \Lambda^* \hat{a} \hat{a}). \quad (F2)$$
Dealing with coherent driving and dissipation via input–output theory, one obtains the equations of motion
\[
-i\omega \hat{a}_s \left[ \omega \right] = -\frac{\kappa_S}{2} \hat{a}_s \left[ \omega \right] + \mu \hat{a}_f \left[ \omega \right] - \sqrt{\kappa_S} \hat{a}_{s,\text{in}} \left[ \omega \right], \tag{F3a}
\]
\[
-i\omega \hat{a}_f \left[ \omega \right] = -\frac{\kappa_f}{2} \hat{a}_f \left[ \omega \right] + \mu \hat{a}_s \left[ \omega \right] - \sqrt{\kappa_f} \hat{a}_{f,\text{in}} \left[ \omega \right], \tag{F3b}
\]
for the NDPA, and
\[
-i\omega \hat{a}_s \left[ \omega \right] = -\frac{\kappa_S}{2} \hat{a}_s \left[ \omega \right] + \Lambda \hat{a}^\dagger \left[ \omega \right] - \sqrt{\kappa_S} \hat{a}_{s,\text{in}} \left[ \omega \right], \tag{F4a}
\]
\[
-i\omega \hat{a}_f \left[ \omega \right] = -\frac{\kappa_f}{2} \hat{a}_f \left[ \omega \right] + \Lambda^* \hat{a} \left[ \omega \right] - \sqrt{\kappa_f} \hat{a}_{f,\text{in}} \left[ \omega \right], \tag{F4b}
\]
for the DPA. Comparing to equations (7) and (8) in the main text, we see that our system resembles a DPA but with a frequency–dependent effective parametric drive strength \( \Lambda \to \tilde{\Lambda} \left[ \omega \right] \) and with a non-zero cavity self-energy \( \Sigma_c \left[ \omega \right] \).

We will treat the NDPA case explicitly, and obtain results for the DPA by the simple replacements
\[
\kappa_S, \kappa_f \to \kappa. \tag{F5}
\]
Stability for the resonant NDPA requires that
\[
|\mu|^2 < \frac{\kappa_S \kappa_f}{4}, \tag{F6}
\]
reducing to the familiar condition \( |\Lambda|^2 < \kappa^2/4 \) in the resonant DPA case.

Recalling that \( \chi_{\text{in}} \left[ \omega \right] = i G^R \left[ \omega \right] \) where \( G^R \) is the retarded Green’s function for the mode \( \hat{a} \), we find the spectral function to be
\[
A_{\alpha/\beta}^{\text{param}} \left[ \omega \right] = \frac{\kappa_{\alpha/\beta} \left( \frac{\kappa_{\alpha/\beta} \omega}{4} - |\mu|^2 \right)}{\left( \frac{\kappa_{\alpha/\beta} \omega}{4} - |\mu|^2 - \omega^2 \right)^2 + \frac{\omega^2 (\kappa_{\alpha/\beta} + \kappa)^2}{4}} \geq 0, \tag{F7}
\]
where we have used the NDPA stability condition (F6) to obtain the final inequality \( A_{\alpha/\beta}^{\text{param}} \left[ \omega \right] \geq 0 \). This highlights that \( A \left[ \omega \right] < 0 \) as observed in our system is not simply a generic non-equilibrium effect, and it does not occur in the simple resonant paramp (either degenerate or non-degenerate).

### F.2. Coherent versus dissipative couplings

The parametric interaction in a (N)DPA is coherent, i.e. it is completely Hamiltonian. This is reflected in the equation of motion equation (F4) by the fact that the terms where \( \hat{a} \) drives \( \hat{a}^\dagger \) and vice versa have coefficients which are complex conjugates of each other—\( \Lambda^* = (\Lambda)^* \). In our system, this is not quite the case. Instead, we have from equations (7) and (8b) that \( \hat{a}^\dagger \left[ \omega \right] \) appears on the RHS of \( \hat{d}^\dagger \)’s \( \omega \)-domain equation of motion with coefficient
\[
\tilde{\Lambda} \left[ \omega \right] = \frac{G^2 \lambda}{\left( -i \omega + \gamma/2 \right)^2 - |\Lambda|^2}, \tag{F8}
\]
while \( \hat{d} \left[ \omega \right] \) appears on the corresponding equation for \( \hat{d}^\dagger \left[ \omega \right] \) with coefficient \( (\tilde{\Lambda} \left[ -\omega \right])^* \) (recall that \( (\hat{a} \left[ \omega \right])^* = \hat{a}^\dagger \left[ -\omega \right] \)). So, \( \tilde{\Lambda} \) can be thought of as representing a coherent effective interaction when \( (\tilde{\Lambda} \left[ \omega \right])^* = (\tilde{\Lambda} \left[ -\omega \right])^* \), i.e. when \( \tilde{\Lambda} \left[ \omega \right] = \tilde{\Lambda} \left[ -\omega \right] \). Since \( (\tilde{\Lambda} \left[ \omega \right])^* = (\tilde{\Lambda} \left[ -\omega \right])^* \), we can think of \( \text{Re} \tilde{\Lambda} \) as the ‘coherent part’ of the effective parametric interaction, and \( \text{Im} \tilde{\Lambda} \) as the ‘dissipative part.’ One finds that the ratio of the two is
\[
\frac{\text{Im} \tilde{\Lambda}}{\text{Re} \tilde{\Lambda}} = \frac{|\omega| \gamma}{\gamma^2/4 - |\Lambda|^2 - \omega^2}. \tag{F9}
\]
We found our system to be most useful when the cooperativity \( C_0 \) is large, and significant amplification and squeezing set in when \( \lambda \) approaches \( (\gamma/2)(1 + C_0) \). Therefore, with practical parameter choices, one has \( |\lambda| \gg \gamma \), and so
\[
\frac{\text{Im} \tilde{\Lambda}}{\text{Re} \tilde{\Lambda}} \approx \frac{|\omega| \gamma}{\gamma^2/4 + |\lambda|^2}, \tag{F10}
\]
This means that with realistic parameter choices, the interaction is almost entirely coherent. For there to be any frequency where the dissipative component is significant, one must have \( |\lambda|^2 < \gamma^2/4 \) (and then the dissipative component will dominate only when \( \omega^2 - \gamma^2/4 + |\lambda|^2 \ll |\omega| \gamma \)).
We note that a dissipative NDPA interaction has been considered previously in other works [32, 42]. Like its coherent counterpart, the spectral function of a resonant dissipative NDPA remains positive at all frequencies.

F.3. Understanding \( A < 0 \): mapping to the DPA

We have seen previously how the effective cavity dynamics of our system described by equations (7) and (8) resembles the dynamics of a DPA with self-energy \( \Sigma_{\omega} [\omega] \) and effective (frequency-dependent) two-photon drive \( \tilde{\lambda} [\omega] \). While the frequency-dependence of both \( \Sigma_{\omega} [\omega] \) and \( \tilde{\lambda} [\omega] \) prevents any attempt at directly mapping our system onto a DPA, on-resonance this resemblance provides a very direct way to understand the emergence of the negativity of the spectral function \( A [\omega] \) in our system.

For a true resonant DPA, applying the results of section (F.1) yields the spectral function

\[
A_{\text{DPA}}^{(\text{eff})} [\omega] = \frac{\kappa (\omega^2/4 - |\tilde{\lambda}|^2 + \omega^2)}{(\omega^2/4 - |\tilde{\lambda}|^2 - \omega^2)^2 + \kappa^2 \omega^2^2}.
\] (F11)

As mentioned, we cannot directly map our system onto a DPA. However, on-resonance, the effective susceptibility matrix resulting from the effective cavity dynamics equations (7) and (8) looks exactly like that of a DPA but with effective damping \( \kappa_{\text{eff}} [0] = \kappa - 2 \text{Im} \Sigma_{\omega} [0] \) and effective parametric drive \( \tilde{\lambda} [0] \). We can therefore find \( A [0] \) for our system by directly substituting \( \kappa \rightarrow \kappa_{\text{eff}} [0] = \kappa - 2 \text{Im} \Sigma_{\omega} [0] \) and \( \Lambda \rightarrow \tilde{\lambda} [0] \) into equation (F11).

One finds that the effective damping becomes negative (\( \kappa_{\text{eff}} [0] < 0 \)) when

\[
\frac{2\lambda}{\gamma} > \sqrt{1 + C_0},
\] (F12)

which is precisely where the spectral function \( A [0] \) becomes negative. This is, of course, no coincidence: in this regime, the on-resonance (\( \omega = 0 \)) effective susceptibility of our system looks exactly like that of an unstable DPA. This provides an intuitive understanding of the origin of the negative spectral function and associated negative effective photon temperature in our system.

It is important to recall that in reality, the system remains stable until \( 2\lambda/\gamma > 1 + C_0 \). Of course, there is no contradiction here; the stability of the system depends on the location of the poles of the susceptibility (Green’s function), not on the value of the susceptibility at any particular frequency.

F.4. The detuned DPA

In subsection (F.1), we showed how a resonant DPA has a spectral function which is positive at all frequencies. This is not in general the case for a detuned DPA, where the pump field is applied at a frequency \( \omega_p \approx \omega_c \). In a frame rotating at \( \omega_p/2 \) to make the Hamiltonian time-independent, the detuning shows up as a photon energy \( \Delta \hat{a}^\dagger \hat{a} \),

\[
\hat{H} = -\Delta \hat{a}^\dagger \hat{a} + \frac{i}{2} \left( \Lambda \hat{a}^\dagger \hat{a}^\dagger - \Lambda^* \hat{a} \hat{a} \right),
\] (F13)

where \( \Delta \equiv \omega_p^2/2 - \omega_c \). In such a system, the stability regime is extended from \( |\tilde{\lambda}|^2 < \kappa^2/4 \) to \( |\tilde{\lambda}|^2 < \kappa^2/4 + \Delta^2 \). In the extension of the stability regime where \( \kappa^2/4 < |\tilde{\lambda}|^2 < \kappa^2/4 + \Delta^2 \), there are frequencies at which the cavity spectral function becomes negative.

We stress that this negativity occurs for different reasons than in our system. In the preceding subsection (F.3), we showed how \( A [\omega] < 0 \) emerges in our system as a result of a negative total frequency-dependent damping \( \kappa_{\text{eff}} [\omega] = \kappa - 2 \text{Im} \Sigma_{\omega} [\omega] \). This is completely different from the detuned DPA, where the matrix self-energy \( \Sigma \equiv i(x_0^{-1} - \chi^{-1}) \) is purely off-diagonal (and hence where \( \text{Im} \Sigma = \text{Im} 0 = 0 \)).

Appendix G. Connection between spectral function and probe-field reflection

In this section, we derive the connection between the cavity spectral function \( A [\omega] \) and the power reflection coefficient describing the reflection of probe signals incident on the cavity through a weakly coupled auxiliary waveguide (as given in equation (26)). We couple the cavity to a second input–output reservoir (input modes \( \hat{c}_t(t) \)) at a rate \( \kappa' \ll \kappa \). Combining the standard input–output boundary condition with linear response theory, we find that the average output field in this auxiliary waveguide is given by:

\[
\langle \hat{c}_{\text{out}} [\omega] \rangle = (1 - i \omega G^K [\omega]) \langle \hat{c}_{\text{in}} [\omega] \rangle - \kappa' \chi_{\text{rd}} [\omega] \langle \hat{c}_{\text{in}} [-\omega] \rangle^\dagger.
\] (G1)

Here, \( G^K \) is the cavity retarded Green’s function as defined in the main text, and \( \chi_{\text{rd}} \) is the off-diagonal component of the cavity susceptibility matrix expressed in the field operator basis \( \langle \hat{d}, \hat{d}^\dagger \rangle \). By detuning the probe from the cavity resonance (i.e. \( \omega = \epsilon = 0 \) in the rotating frame, \( \omega = \omega_c + \epsilon \) in the lab frame), we can have \( \langle \hat{c}_{\text{in}} [-\omega] \rangle = 0 \) and we eliminate the term involving the anomalous susceptibility \( \chi_{\text{rd}} \). The amplitude
reflection coefficient is then (1 − \(i\kappa^6 G(\omega)\)), and taking the magnitude-square to get the power reflection coefficient, we find

\[
\mathcal{R} = 1 - \kappa^6 A(\omega) + \mathcal{O}(\kappa^8)
\]

as stated in the main text. As is also mentioned in the main text, this result also holds on-resonance, if one averages over the phase of the incident drive in the auxiliary waveguide; in this case, the contribution \(\propto \chi_{ad}\) in \(\langle \hat{\chi}_{out}(\omega) \rangle\) averages away.

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