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Phys. Rev. Lett. **118**, 095301 — Published 27 February 2017

DOI: [10.1103/PhysRevLett.118.095301](https://doi.org/10.1103/PhysRevLett.118.095301)
Quasiparticle approach to molecules interacting with quantum solvents

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Understanding the behavior of molecules interacting with superfluid helium represents a formidable challenge and, in general, requires approaches relying on large-scale numerical simulations. Here we demonstrate that experimental data collected over the last 20 years provide evidence that molecules immersed in superfluid helium form recently-predicted angulon quasiparticles [Phys. Rev. Lett. 114, 203001 (2015)]. Most importantly, casting the many-body problem in terms of angulons amounts to a drastic simplification and yields effective molecular moments of inertia as straightforward analytic solutions of a simple microscopic Hamiltonian. The outcome of the angulon theory is in good agreement with experiment for a broad range of molecular impurities, from heavy to medium-mass to light species. These results pave the way to understanding molecular rotation in liquid and crystalline phases in terms of the angulon quasiparticle.

Among its many peculiar properties, superfluid ⁴He is quite averse to mixing with impurities which could serve as a microscopic probe of the superfluid phase. As a result, for several decades after the discovery of superfluidity by Allen, Misener, and Kapitza [1, 2], only macroscopic – hydrodynamic – properties of superfluid helium have been studied in the laboratory. In the 1990’s, however, it was demonstrated that atoms and molecules can be trapped in superfluid helium if the latter forms little droplets containing on the order of a thousand helium atoms [3] [7]. Over the following years, trapping atoms, molecules, and ions inside superfluid helium nanodroplets – sometimes called ‘nanocryostats’ – emerged as an important tool of molecular spectroscopy [6–12]. Such nanodroplets allow to trap single molecules in a cold environment (∼ 0.4 Kelvin), thereby isolating them from external perturbations. This allows to record spectra free of collisional and Doppler broadening, as well as to study species that are unstable in the gas phase, such as free radicals.

While superfluid helium does not cause a substantial broadening of molecular spectral lines, it affects molecular rotation. In particular, molecules in superfluid helium nanodroplets acquire an effective moment of inertia, that is larger compared to its gas-phase value [6] [9]. The relative magnitude of the effect increases from lighter to heavier species and is somewhat similar to renormalization of the effective mass for electrons interacting with a crystalline lattice [13] [16].

Semiclassically, molecular rotation in helium can be rationalized within the ‘adiabatic following’ model [6] [7] [17–22]. There, it is assumed that the molecule induces a local density deformation (a ‘non superfluid shell’) of helium which co-rotates along with the molecule, thereby increasing its moment of inertia. However, such a classical approach does not allow to get insight into the intriguing aspects of the problem arising from quantum many-body physics. Helium, on the other hand, represents a dense, strongly-interacting superfluid, where only a tiny fraction of 6–8% forms a Bose-Einstein Condensate (BEC), even at zero temperature [23]. As a result, a detailed quantum mechanical understanding of molecular impurities in helium requires first-principle approaches based on extensive numerical simulations [7]. During last years, several numerical studies, based mainly on path-integral, variational, and diffusion quantum Monte-Carlo (MC), have been performed for molecules embedded in finite-size Heₙ clusters with n ≲ 100 [22] [24–46].

In this Letter we show that such an involved many-particle problem simplifies tremendously, if one assumes that molecules in helium droplets form angulons – recently introduced quasiparticles consisting of a quantum rotor dressed by a field of many-body excitations [17–54]. The angulon theory is inherently many-body and describes interactions between a molecule and an infinite number of helium atoms. Nevertheless, it still allows to derive the effective molecular rotational constants as simple analytic solutions of a microscopic Hamiltonian, and assign them a transparent physical interpretation. Moreover, the resulting agreement of the angulon theory with experiment provides a strong evidence for the angulon formation inside helium droplets.

We start from introducing the angulon Hamiltonian, which describes interactions of a rotating molecule with a bosonic bath [17]:

\[ \hat{H} = B\hat{J}^2 + \sum_{k\lambda\mu} \omega_k \hat{b}_{k\lambda\mu}^\dagger \hat{b}_{k\lambda\mu} + \sum_{k\lambda\mu} \sqrt{\frac{4\pi}{2\lambda + 1}} U_{\lambda}(k) \left[ Y_{\lambda\mu}^{*}(\hat{\theta}, \hat{\phi}) \hat{b}_{k\lambda\mu}^\dagger + Y_{\lambda\mu}(\hat{\theta}, \hat{\phi}) \hat{b}_{k\lambda\mu} \right], \tag{1} \]

where \( Y_{\lambda\mu}(\hat{\theta}, \hat{\phi}) \) are spherical harmonics [55], \( \int dk \), and \( B \equiv 1 \). The first term of Eq. (1) corresponds to the rotational kinetic energy of the molecule, with \( J \) the angular momentum operator. \( B = 1/(2I) \) is the molecular rotational constant, where \( I \) is the molecular moment of inertia. While the first term of Eq. (1) describes rotations of a linear rigid rotor, one can use it to describe an average kinetic energy of more complex molecules, such
as symmetric an asymmetric tops \[50, 57], aiming to obtain an average renormalization of their rotational constants. Thus, the bare eigenstates of the impurity are given by the \((2L+1)\)-fold degenerate levels \(|L, M\rangle\) with energies \(E_L = BL(L+1)\), where \(L\) is the angular momentum quantum number, and \(M\) its projection on the laboratory-frame \(z\)-axis. The second term of the Hamiltonian \(U_\lambda\) represents the kinetic energy of the superfluid excitations (such as phonons and rotons), as given by the dispersion relation \(\omega_k\). Here, the operators \(b_\lambda^\dagger_{k\lambda\mu} (b_{k\lambda\mu})\) are creating (annihilating) a bath excitation with linear momentum \(k = |k|\), the angular momentum \(\lambda\), and its projection \(\mu\), onto the \(z\)-axis. These operators can be obtained from the spherical-harmonic expansion of the usual creation/annihilation operators, \(\hat{b}_k^\dagger\) and \(\hat{b}_k\), defined in Cartesian space, see Refs. \[47\]-\[49\] for details.

The last term of the angulon Hamiltonian \(U_\lambda\) describes the interaction between the molecular impurity and the superfluid, where the coupling constants \(U_\lambda(k)\) are proportional to the Legendre moments of the molecule–Helium potential energy surface (PES) in Fourier space. Note that the impurity-bath coupling explicitly depends on molecular angle operators, \((\hat{\theta}, \hat{\phi})\), which makes Eq. \(1\) substantially different from, e.g., the Bose-polaron \[10\] or the spin-boson \[58\] models. The Hamiltonian \(U_\lambda\) was originally derived to describe an ultracold molecule interacting with a dilute BEC, where the coupling constants \(U_\lambda(k)\) assume a simple analytic form \[47\]-\[49\]. In order to reproduce experimental data for a dense superfluid of \(^4\)He, however, we will approach Eq. \(1\) from a phenomenologic perspective, by analogy with effective field theories of nuclear \[59\] and condensed matter \[60\] physics.

Namely, we use a simple, one-parameter model to extract \(U_\lambda(k)\) from the \textit{ab initio} PES calculations available in the literature. First, we note that broadening of the spectral lines in superfluid helium \[61\] and solid \(\textit{para}\text{-}^2\text{H}_2\) \[62\] is dominated by rotational dephasing as opposed to decay. Therefore, we can assume that \(U_\lambda\)’s with even \(\lambda\) play the main role, since they can lead to boson scattering which preserves molecular angular momentum. Furthermore, since for most molecules, the \(\lambda = 2\) channel is dominant \[63\], for the sake of simplicity we neglect the rest of \(U_\lambda\) terms.

Second, we assume that the coupling constant in the \(\lambda = 2\) channel can be approximated as:

\[
U_2(k) = \Delta f(k)
\]

Here, the form-factor \(f(k)\) is considered to be the same for all the molecular species, while the anisotropy parameter \(\Delta\) depends on a particular molecule. Thus, the strength of the molecule-superfluid interactions can be quantified by the dimensionless parameter,

\[
\gamma = B/\Delta,
\]

and the species with \(\gamma < 1\) and \(\gamma > 1\) belong to the strong-coupling and weak-coupling regimes, respectively.

We evaluate the anisotropy parameter \(\Delta\) as:

\[
\Delta = \frac{|V_{\text{eff}}^\parallel - V_{\text{eff}}^\perp|}{2} \sqrt{\frac{5}{4\pi}},
\]

where \(V_{\text{eff}}^\parallel\) and \(V_{\text{eff}}^\perp\) are the effective molecule-helium interactions, derived from the \textit{ab initio} PES calculations \[24\]-\[64\] as follows \[85\]. For linear molecules, \(V_{\text{eff}}^\parallel\) and \(V_{\text{eff}}^\perp\) correspond to the effective molecule-helium interactions in the linear and T-shaped geometries, respectively. In most cases, the values of \(V_{\text{eff}}\) were set to the average depths of the minima/saddle points in the corresponding configurations. If, for one of the configurations the PES was purely repulsive, the corresponding \(V_{\text{eff}}\) was set to zero, to reflect the fact that the helium density vanishes in this region. For symmetric and asymmetric tops (\(\text{CH}_3, \text{NH}_3, \text{H}_2\text{O}\)), \(V_{\text{eff}}^\parallel\) was evaluated along the main molecular symmetry axis, while \(V_{\text{eff}}^\perp\) along the direction perpendicular to it, laying within the mirror symmetry plane of the system. For the spherical-top molecules (\(\text{SF}_6, \text{CH}_4\)), \(\Delta\) was evaluated as an average anisotropy of PES minima which are not symmetry equivalent. In this case, \(|V_{\text{eff}}^\parallel - V_{\text{eff}}^\perp|\) in Eq. \((4)\) was replaced by \(\sum_{i \neq j} |V_{\text{eff}}^{(i)} - V_{\text{eff}}^{(j)}|\), where \(i, j\) label all non-equivalent minima of the PES \[85\].

We would like to emphasise that we are quite aware of the fact that such a one-parameter model provides a very rough approximation to the two-body interaction potential. However, as we can see below, it suffices to obtain a good agreement with experiment.

For molecules in helium droplets, the low-energy rotational spectrum is usually approximated as \(E_L \approx B^* L(L+1)\), where \(B^*\) is the effective rotational constant \[4\]. Let us first derive \(B^*\) from Eq. \((1)\) in the strongly-interacting regime, \(\gamma \ll 1\). Getting insight into this regime is inherently challenging, since it involves coupling molecular rotational angular momentum to angular momenta of, in principle, an infinite number of superfluid excitations. However, the solution can be drastically simplified by using a canonical transformation recently introduced by Schmidt and Lemeshko \[85\].

\[
\hat{S} = e^{-i\phi\hat{\Lambda}_z} e^{-i\theta\hat{\Lambda}_\theta} e^{-i\gamma\hat{\Lambda}_\phi}
\]

Here \((\hat{\phi}, \hat{\theta}, \hat{\gamma})\) are the angle operators which act in the Hilbert space of the molecular rotor, and

\[
\hat{\Lambda} = \sum_{k\lambda\mu} \hat{b}_k^\dagger \sigma^\lambda_{\mu\nu} b_{k\lambda\nu}
\]

is the total angular momentum operator of the superfluid excitations, acting in their corresponding Hilbert space. The matrices \(\sigma^\lambda \equiv \{\sigma^\lambda_{-1}, \sigma^\lambda_0, \sigma^\lambda_{+1}\}\) fulfill the \textit{SO}(3) algebra in the representation of angular momentum \(\lambda\). Thus,
the transformation operator of Eq. (3) transfers the superfluid degrees of freedom into the frame co-rotating along with the molecule.

The transformation (5) brings the Hamiltonian (1) to the following form [38]:

\[ \hat{\mathcal{H}} = \hat{S}^{-1} \hat{\mathcal{H}} \hat{S} = B(\hat{L} - \hat{\Lambda})^2 + \sum_{k\lambda\mu} \omega_{\lambda\mu} \hat{b}_{\lambda\mu}^\dagger \hat{b}_{\lambda\mu} + \sum_{k\lambda} U_{\lambda}(k) \left[ \hat{b}_{\lambda0}^\dagger \hat{b}_{k\lambda0} + \hat{b}_{k\lambda0}^\dagger \hat{b}_{\lambda0} \right] \] (7)

where \( \hat{L} = \hat{J} + \hat{\Lambda} \) is the total angular momentum of the system, which acts in the rotating frame of the impurity and therefore obeys anomalous commutation relations [38, 86, 87].

In the limit of \( \gamma \to 0 \), the transformed Hamiltonian (7) can be diagonalized exactly, with the ground state for each \( |LM\rangle \) given by:

\[ |\psi_{LM}\rangle = e^{-\sum_{k\lambda} U_{\lambda}(k) / \omega_{\lambda}} |(b_{k\lambda0}^\dagger b_{k\lambda0}) | 0 \rangle |LM\rangle \] (8)

Eqs. (7) and (8) provide a transparent physical interpretation of molecular interactions with a superfluid. For a slowly-rotating molecule, the superfluid coherent state of Eq. (8) does not change upon molecular rotation. In a way, it can be thought of as a quantum formulation of the ‘nonsuperfluid helium shell’ which rotates along with the molecule [0] [2] [17]. On the other hand, the effective molecular angular momentum, cf. the first term of Eq. (7), is given by the difference between the total angular momentum of the system, \( \hat{L} \), and the superfluid angular momentum, \( \hat{\Lambda} \). Thus, the energy of a state with a given total angular momentum \( L \) is lower in the presence of a superfluid (\( \hat{\Lambda} \neq 0 \)) compared to a free molecule (\( \hat{\Lambda} = 0 \)), which leads to an effective renormalization of the rotational constant.

In the strong-coupling limit, the angular momentum of the superfluid is given by:

\[ \langle \Lambda^2 \rangle = \langle \psi_{LM} | \hat{\Lambda}^2 | \psi_{LM} \rangle = \sum_{k\lambda} \lambda(\lambda + 1) U_{\lambda}(k) / \omega_{\lambda}^2 \] (9)

In the first order, we can assume that \( \hat{\Lambda} \uparrow \uparrow \hat{L} \), i.e. \( \hat{\Lambda} = \alpha(L) \hat{L} \), where the proportionality constant can be calculated as \( \alpha^2(L) = \langle \Lambda^2 \rangle / L(L+1) \), with \( \langle \Lambda^2 \rangle \) given by Eq. (9). Since in experiment the value of \( B^* \) is usually determined from the splitting between the two lowest rotational states [9], we evaluate it from the first term of Eq. (7) for \( L = 1 \):

\[ \frac{B_{SC}^*}{B} = (1 - \eta \Delta)^2, \] (10)

where \( \eta \equiv \alpha(1)/\Delta = (3 \sum_k f^2(k)/\omega_k^2)^{1/2} \) is the only phenomenological parameter of the strong-coupling theory, which is obtained by fitting to the experimental data [55].

Figs. 1(a) and (b) show the comparison of Eq. (10) (red circles) with experiment (empty squares). We see that a good agreement with experiment is achieved for most molecules with \( \gamma < 1 \): SF\textsubscript{6}, CS\textsubscript{2}, HCCCN, OCS, N\textsubscript{2}O, CO\textsubscript{2}, CO, and NO. For I\textsubscript{2}, the model overestimates the value of \( B^*/B \) compared to the MC result [54, 88]...
by about 20%. It is worth noting that the calculations of
impulsive alignment for \( L_2 \) in helium droplets performed
with the MC value of \( B^*/B = 0.6 \) predict a first revival
later than observed in experiment \([44]\), which signals that
the experimental value of \( B^*/B \) might be larger than 0.6.

For \( \text{C}_2\text{H}_2 \), DCN and HCN, see Fig. 1(b), the disagree-
ment with experiment is substantially larger. This
fact might be due to the interplay between phonon
and roton excitations in this region of rotational con-
stants \([34, 35, 43]\), which can potentially lead to a non-
trivial dependence of the parameter \( \eta \) on \( B \) and \( \Delta \). We
note that for these three species classical hydrodynam-
ics calculations lead to an underestimated \( B^*/B \) ratio
as well \([18, 19]\). For LiH, Fig. 1(c), strong renormaliza-
tion of the rotational constant was previously predicted
using path-integral MC simulations \([14]\). Here, due to
a pronounced anisotropy of the He–LiH PES \([74]\), the
strong-coupling angulon theory predicts \( \langle \Lambda \rangle > L \),
which indicates the breakdown of the employed approximations.
We attribute it to the fact that the PES features strong
\( U_1(k) \) components which lead to processes involving si-
multaneous absorption/emission of two phonons with
\( \lambda = 1 \), preserving the molecular angular momentum.
The latter are not accounted for by the present theory.
Nevertheless, Eq. (10) predicts a strong decrease of the
LiH rotational constant in helium, which is in fair agree-
ment with predictions of Ref. \([14]\). For light molecules
of the weak-coupling angulon theory, obtained by fitting to the
experimental values of \( B^*/B \) were set to 1.
While our theory indeed predicts \( B^*/B \approx 1 \) for the case
of OH, we observe \( B^*/B \approx 0.98 \) for HCl, which is quite
close to the corresponding value for HF. Given the simi-
larities between the two species, we hope that this result
will stimulate further measurements of \( B^*/B \) for HCl.
For most heavy and medium-mass species, Figs. 1(a) and
(b), the weak coupling theory fails to reproduce experimen-
tal data and is therefore not presented.

A peculiar situation occurs for three of the stud-
ied molecules, namely, \( \text{C}_2\text{H}_2 \), DCN, and HCN. There,
the weak-coupling theory overestimates the \( B^*/B \) ra-
tio, while the strong-coupling approach underestimates
it. In principle, in order to obtain a quantitative agree-
ment with experiment for these particular species, a dif-
ferent, intermediate-coupling angulon theory is required.
However, as a rough approximation we can estimate
the intermediate-coupling results by interpolating be-
 tween the weak-coupling and strong coupling theories as
\( B_{\text{IC}} = (B_{\text{WC}}^* + B_{\text{SC}}^*)/2 \). The values of \( B_{\text{IC}}^*/B \) are shown
in Fig. 1(b) by green crosses and are seen to provide a
good agreement with experiment.

In addition to \( B \)-renormalization, previous experi-
ments reported a significant increase in the centrifugal
distortion constants, \( D \), compared to the gas phase, ob-
taining e.g. \( D = 3.7 \cdot 10^{-5} \text{ cm}^{-1} \) for \( \text{SF}_6 \) \([100]\), \( D = 1.6 \cdot 10^{-4} \text{ cm}^{-1} \) for \( \text{HCCCN} \) \([71]\), and \( D = 3.8 \cdot 10^{-4} \text{ cm}^{-1} \)
for OCS \([17]\). Such a distortion comes from the coupling
between the angular momenta \( L \) and \( \Lambda \) in Eq. \( (7) \) \([20]\).
In order to obtain accurate values for \( D \), a more involved,
all-coupling angulon theory is required. Here we perform
a rough estimate, based on second-order perturbation
theory, which gives \( D \sim \gamma^2/(5\xi) \) \([55]\). For the molecules
mentioned above, we obtain \( D \sim 4 \cdot 10^{-3}, 1 \cdot 10^{-2}, \) and
\( 2 \cdot 10^{-2} \text{ cm}^{-1} \), respectively. Although this estimate signi-
ficantly exceeds the measured values, the drastic increase
of \( D \) in helium, as well as its qualitative change from
molecule to molecule are in agreement with experiment.

In summary, we have demonstrated that the angu-
lon theory is able to reproduce experimental data on
the renormalization of rotational constants in super-
fluid \( \text{^4He} \) for 25 different molecules, based on only two
phenomenological parameters. It has been shown that
in the strong-coupling regime (mostly taking place for
heavy and medium-mass molecules) the renormalization
of molecular moments of inertia occurs due to a macro-
scopic deformation of the superfluid which leads to redistribution of angular momentum between the molecule and excitations in helium. In the weak-coupling regime (applicable to lighter species), the change in $B$ takes place due to a rotational Lamb shift induced by virtual single-phonon excitations.

These results provide strong evidence that molecules immersed in superfluid $^4\text{He}$ indeed form the angulon quasiparticles, and open the door for substantial simplifications of existing theories. As an example, the angulon theory is straightforward to apply to large polyatomic molecules and complexes studied in experiment [6, 7, 9] and can be extended to time-dependent problems of molecular dynamics in $^4\text{He}$ [54, 104]. Moreover, the applicability of the angulon theory is not limited to bosonic quantum liquids. Therefore, it can potentially serve as a building block to understand molecular rotation in other types of solutions and solid-state environments.

We thank Gary Douberly and Bretislav Friedrich for insightful discussions and Robert Zillich for sharing unpublished numerical results [SS]. This research was supported in part by the National Science Foundation under Grant No. NSF PHY11-25915.

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