STAT6 Inhibits TGF-β1-mediated Foxp3 Induction through Direct Binding to the Foxp3 Promoter, Which Is Reverted by Retinoic Acid Receptor

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It has been shown that transforming growth factor β1 (TGF-β1) is critical in the generation of CD4+CD25+Foxp3+ inducible regulatory T cells (iTregs) from naïve CD4+ T cells. However, in contrast to natural Tregs, TGF-β1-induced iTregs rapidly lose both Foxp3 expression and suppression activity. We found that TGF-β1-induced Foxp3 levels were maintained by the addition of the anti-interleukin 4 (IL-4) antibody or by STAT6 gene deletion. Thus, IL-4 is an important suppressor of Foxp3 induction and T helper 2 development is a major cause for the disappearance of iTreg during long culture. Using promoter analysis in EL4 cells and primary T cells, we identified a silencer region containing a STAT6 binding site. STAT6 binding to this site reduced TGF-β1-mediated Foxp3 promoter activation and chromatin modification. Retinoic acid has also been shown to suppress loss of Foxp3 induced by TGF-β1. Retinoic acid in the presence of TGF-β1 reduced STAT6 binding to the Foxp3 promoter and enhanced histone acetylation, thereby reverting the effect of IL-4. We propose that antagonistic agents for neutralizing IL-4 could be a novel strategy to facilitate inducible Treg cell generation and the promotion of tolerance in Th2-dominated diseases such as allergy.

CD4+CD25+Foxp3+ regulatory T cells (Tregs) are crucial for the maintenance of immunological tolerance (1, 2). Treg produced in the thymus (natural Treg (nTreg)) constitutes 3–6% of CD4+ T cells (3). More recent studies have shown that Foxp3 may also be induced in CD4+Foxp3− T cells in vivo during some immune responses or in vitro after stimulation of Foxp3− cells in the presence of TGF-β1 (inducible Treg (iTreg)) (4–6). Such a conversion has been demonstrated in vivo in thymectomized mice whose CD25+ T cells in the periphery could be converted into Foxp3+CD25+ T cells by continuous low dose antigen stimulation (7). In addition, TGF-β1 has a strong potential of Foxp3 induction in CD4+CD25− naïve T cells in vitro (8). Recently, an enhancer in the Foxp3 gene in which NFAT and Smad3 bind and cooperatively induce Foxp3 expression was identified (9).

Although the differentiation, function, and survival of Treg cells are regulated by Foxp3, the details of the molecular mechanism responsible for inducing Foxp3 gene expression and its modulation are poorly understood. Various factors have been shown to modulate the generation of Foxp3+ iTregs in vitro. IL-2 is an essential factor for iTreg generation (10, 11), whereas inflammatory cytokine IL-6 suppresses iTreg but enhances Th17 generation (12). IL-4 has also been found to suppress iTreg induction (6, 13). Recently, retinoic acid (RA) has been discovered as a potent inducer and preserver of Foxp3 in iTregs (14–18). Unlike that in nTregs, Foxp3 expression in iTregs has been shown to be transient both in vitro and in vivo (8, 19). However, the molecular mechanisms for the suppression of Foxp3 induction by IL-6 and IL-4 as well as those for transient induction of Foxp3 have not been clarified.

There are two other subsets of regulatory helper T cells, Type 1 regulatory (Tr1) and Th3. Tr1 cells specifically produce IL-10 and, to a lesser extent, TGF-β, which are induced in vitro by repeated stimulation with IL-10, by immature dendritic cells, or by a combination of vitamin D3 and dexamethasone (20). Th3 cells were originally thought to be responsible for oral tolerance and to mainly produce large amounts of TGF-β (21). In vitro differentiation of Th3-type cells from Th0 precursors has been shown to be enhanced by culture with TGF-β, IL-4, IL-10, and anti-IL-12 (22, 23). Because Foxp3+ T cells have been shown to produce higher levels of TGF-β than Foxp3− T cells (24) and RA has been implicated in the generation of gut-homing acid; IFN, interferon; RAR, RA receptor; RXR, retinoid X receptor; ChIP, chromatin immunoprecipitation; Ab, antibody; WT, wild type; Th, T helper; TCR, T cell receptor.
Foxp3+ T cells (17), Th3 may be identical to iTreg. However, no precise comparison has been made between these two types of cells. It has been difficult to study transcriptional regulation using primary T cells because (i) the population of iTreg cells from naïve T cells is dependent on both proliferation and differentiation, (ii) the proportion of iTreg is affected by the generation of other types of helper T cells, such as Th1 and Th2, and (iii) factors from Th1 and Th2 cells modify the Foxp3 levels. We therefore generated a model system for studying the induction and maintenance of Foxp3 gene expression in the lymphoma EL4 T cell line in combination with promoter analysis in primary T cells.

We found that TGF-β1-mediated iTreg induction was transient due to gradual expansion of Th2 cells that overwhelmed iTregs. We identified the TGF-β1-responsive enhancer region and found a particular silencer region of the Foxp3 promoter containing a STAT6 binding site. In contrast, RA enhanced TGF-β1-mediated histone acetylation of this region even in the presence of IL-4. Collectively, these data strongly suggest that STAT6 is an important regulator of Foxp3 induction and Foxp3 levels determine the iTreg/Th2 balance.

EXPERIMENTAL PROCEDURES

**Mice**—C57B/6 mice were purchased from Clea Japan, Inc. (Tokyo, Japan). STAT6-deficient mice were from D. M. Kubo (RCAI, Yokohama, Japan). T cell-specific STAT3-deficient mice were from Dr. K. Takeda (Osaka University, Osaka, Japan). Six- to 12-week-old mice were used as experimental animals. All experiments were approved by the Animal Ethics Committee of Kyushu University.

**Cell Preparation and Culture**—CD4+CD25− T cells were isolated from spleens and lymph nodes by negative selection using magnetic beads (Milteny Biotec) (typically >95% purity). For differentiation, 1 × 10⁶ CD4+CD25− T cells were cultured with the plate-bound anti-CD3 antibody (1 μg/ml) and the soluble anti-CD28 antibody (0.5 μg/ml) in the presence of 0.2 ng/ml recombinant murine IL-2 (Peprotech) and the anti-IFN-γ antibody (10 μg/ml). To induce iTreg differentiation, 2 ng/ml recombinant human TGF-β1 was added to the culture. CD4+CD25− nTregs were purified by a cell sorter using fluorescein isothiocyanate anti-CD4 and phycoerythrin anti-CD25 from pre-isolated CD4+ T cells that were purified from mouse spleens and lymph nodes with negative selection using magnetic beads. Flow cytometric analysis and enzyme-linked immunosorbent assay were performed as described (25).

**Suppression Assay**—For the suppression assays, freshly isolated 1 × 10⁶ CD4+CD25− T cells (responder) and CD25+ T cells expanded by cytokines (suppressors) were cultured with irradiated whole spleen cells (1 × 10⁶) at indicated suppressor/responder cell ratios with the 1.0 μg/ml anti-CD3 antibody. The number of responder cells was fixed (1 × 10⁵) and that of suppressors was varied. [³H]Thymidine was added for the last 16 h of a 72-h assay.

**Construction**—PCR was done to generate the Foxp3 promoter plasmid using mouse genomic DNA as a template. A 5.2-kb KpnI-SacII fragment corresponding to nucleotides from −3523 to +1627 relative to the determined transcriptional starting site of the Foxp3 gene and a 5.0-kb SacII-XhoI fragment corresponding to nucleotides from +1628 to +6668 were subcloned into the pGK-basic 2 vector (TOYOINK) and designated as the pFoxp3 reporter. Reporter plasmids, including a series of deletion mutants of the Foxp3 promoter, were generated by PCR. Mutations in a putative STAT6 binding site (TTC-CTCTAAA to TTCATGGGCG) and RA response element (AGGTCA to AAGGCA) were introduced by PCR. The subcloned PCR products were sequenced to confirm that the products were the authentic promoter fragments. pFLAG-CMV-STAT6VT (V547A/T548A) mutants were introduced by PCR. pcDNA3-human RARα and pcDNA3-human RXRα were described previously (26).

**Transfection and Luciferase Assay**—EL4 (4 × 10⁵) and 293T (2 × 10⁵) cells were seeded on 6-well plates and transfected with various amounts of expression vectors, the Foxp3 promoter plasmid, and the β-galactosidase plasmid by FuGENE 6 HD. Cells were harvested in a 30-μl lysis buffer. The luciferase assay was performed using a luciferase substrate kit (Promega), and luciferase activity was read using a Packard luminometer. The luciferase activity was normalized by the internal control β-galactosidase activity and is shown as the means ± S.D. of three experiments. For the chromatin immunoprecipitation (ChIP) assay, EL4 cells were transfected with FLAG-tagged STAT6VT by Amaxa and then incubated. After 2 h, the cells were stimulated with or without TGF-β1 and RA for 24 h. The cells were then subjected to Western blotting, to confirm the expression of STAT6VT, and to the ChIP assay.

**Real-time PCR**—Real-time PCR and ChIP assay were performed as described (27, 28). Primer lists are shown in supplemental Table S1.

**Nuclear Extract Preparation and DNA Affinity Precipitation Assay**—Nuclear extract preparation was performed as described (29). The biotinylated oligonucleotides (putative STAT6 binding site in intron I, 5′-GATCGCTTTTTCCTCTAAACTGC-3′), was mixed with 200 μg of nuclear extract containing poly(dI-dC) (15 μg) in 500 μl of DNA precipitation buffer (20 mM Hepes-KOH, pH 7.9, 1 mM MgCl₂, 80 mM KCl, 0.2 mM EDTA, 10% glycerol, 0.5 mM dithiothreitol, 0.1% Triton X-100, 1 mM phenylmethylsulfonyl fluoride, protease inhibitor mixture), and the mixture was incubated for 30 min at 4 °C. Then, 50 μl of streptavidin Dynabeads (Dynal) were added with mixing by rotation for 30 min at 4 °C. The Dynabeads were collected with magnet and washed twice with DNA precipitation buffer. The trapped proteins were analyzed by SDS-PAGE followed by immunoblotting with the anti-STAT6 Ab (Santa Cruz Biotechnology).

**Statistical Analyses**—The Student’s paired two-tailed t test was used. Values of p < 0.05 were considered significant. All error bars shown in this article are S.E.

**RESULTS**

**Comparison of iTregs and Th3 Generated In Vitro**—We compared in vitro generated iTreg Th3. Naive CD4+CD25− T cells were isolated from mouse spleen and activated by plate-bound anti-TCR antibody, anti-IFN-γ antibody in the presence of IL-2 (Th0 condition), TGF-β + IL2 (iTreg condition), or TGF-β + IL-2 + IL-4 + IL-10 (Th3 condition). After 3 days of culture, the
Foxp3 levels were compared using intracellular fluorescence-activated cell sorter. As shown in Fig. 1A, the population of Foxp3+ T cells was ~40–70% under the iTreg condition but <15% under the Th3 condition. Suppression assay was performed to examine the regulatory activity against proliferation of naïve T cells (Fig. 1B). T cells that expanded under the iTreg condition could suppress the proliferation of naïve responder T cells. However, T cells that expanded under the Th3 condition were not anergic and suppressive, probably because of the higher contamination of CD4+ CD25+ effector T cells. Because IL-4 and IL-10 were included in Th3 conditions, but not in iTreg conditions, we examined which one was responsible for the suppression of Foxp3 induction. As shown in Fig. 1C, IL-4, but not IL-10, suppressed Foxp3 levels. The effect of IL-4 was much stronger than that of IL-6. IFN-γ showed no effect on TGF-β-mediated Foxp3 induction (data not shown). These data indicate that the so-called in vitro Th3 condition, a combination of TGF-β, IL-4, and IL-10, seems not to be suitable for Foxp3 high T cell induction.

IL-4/STAT6 Suppresses TGF-β1-mediated iTreg Induction in Vitro—Upon stimulation with anti-CD3 antibody and TGF-β1, Foxp3 expression was induced in primary CD4+ CD25+ T cells. However, the Foxp3+ fraction reached maximum levels on day 3 and then decreased after 2 additional days of culture even in the presence of TGF-β1 and IL-2 (Fig. 2A). To examine whether endogenous IL-4 is responsible for the repression of Foxp3 levels, we investigated the effect of the anti-IL-4 antibody and STAT6 deficiency (Fig. 2A). In the presence of the anti-IL-4 antibody, the population of Foxp3+ was enhanced on day 3 and maintained thereafter (Fig. 2A). Similarly, TGF-β1-induced Foxp3 levels were higher in STAT6−/− T cells than in WT T cells, and Foxp3 was maintained after day 3 in STAT6−/− T cells (Fig. 2A). The Foxp3 levels were maintained even on day 7 by IL-4 depletion or STAT6 deficiency (data not shown). IL-4

![Image](image-url)

**FIGURE 1.** Comparison of iTreg and Th3 in vitro. A, Foxp3 expression. Naïve T cells were cultured under the Th0/iTreg/Th3 conditions for 3 days. T cells were stained with anti-CD25 and anti-Foxp3 antibodies and analyzed by flow cytometry. B, suppression assay; freshly isolated CD4+ CD25+ T cells (responder) and in vitro differentiated Th0/iTreg/Th3 cells (suppressor) were cultured with irradiated whole spleen cells at the indicated suppressor/responder cell ratios in the presence of 1 μg/ml anti-CD3 Ab for 72 h. Proliferation was assessed by [3H]thymidine uptakes during the final 12 h. One representative experiment of two is shown. C, naïve T cells from WT mice were cultured with anti-TCR, anti-IFN-γ Ab, murine IL-2, and the indicated cytokines for 3 or 5 days. Foxp3 expression was analyzed by flow cytometry. One representative experiment of three is shown.

![Image](image-url)

**FIGURE 2.** IL-4/STAT6 suppresses TGF-β1-mediated iTreg induction. A, naïve T cells from WT and STAT6−/− mice were cultured with anti-CD3 Ab and TGF-β1 in the presence or absence of IL-4 or anti-IL-4 Ab for 3 or 5 days. Foxp3 expression was analyzed by flow cytometry. The percentage of the Foxp3+ population on days 3 and 5 is shown as a graph on the right. Data indicate mean ± S.D. of triplicate samples from three mice. B, IL-4 levels in the culture of Th0 or iTreg conditions for the indicated periods. Data indicate the mean ± S.D. of triplicate cultures. ELISA, enzyme-linked immunosorbent assay. C, naïve T cells from WT, IL-6−/−, and STAT3 conditional knock-out mice were cultured under the iTreg condition in the presence or absence of IL-4 or anti-IL-4 Ab for 3 days. Foxp3 expression was analyzed by flow cytometry. Foxp3 induction was expressed as the -fold change. FACS, fluorescence-activated cell sorter.
levels in the culture supernatants were up-regulated after 3 days of culture and maintained thereafter (Fig. 2B), suggesting that IL-4 from Th2 cells overruled TGF-β1-mediated iTreg differentiation. Exogenous IL-4 reduced Foxp3 mRNA levels in iTregs but not in nTregs (supplemental Fig. S1).

It has been demonstrated that IL-6/STAT3 and IL-2/STAT5 are potent mechanisms for the suppression and enhancement of iTregs, respectively (10, 12). Therefore, we examined the involvement of IL-6 and IL-2 in IL-4-mediated iTreg repression. IL-4 still suppressed Foxp3 induction in IL-6−/− T cells as well as STAT3-deficient T cells from T cell-specific STAT3 conditional knock-out mice (Fig. 2C). The anti-IL-4 antibody also enhanced the Foxp3-positive fraction in IL-6- or STAT3-deficient T cells (Fig. 2C). Furthermore, a high level of exogenous IL-2 (20 ng/ml) did not overcome the suppressive effect of IL-4 (data not shown). These data suggest that the suppressive effect of IL-4 is independent of the IL-6/STAT3 pathway as well as IL-2.

IL-4 Repressed TGF-β1-mediated Chromatin Modification of the Foxp3 Promoter—We then investigated the molecular mechanism for the suppression of Foxp3 induction by IL-4. Recently, GATA3 was shown to suppress Foxp3 mRNA induction (13). However, we found that GATA3 mRNA was undetectable at 24 h of culture when Foxp3 was repressed by IL-4 (supplemental Fig. S2A). IL-4 did not affect TGF-β1-induced Smad2 phosphorylation either (supplemental Fig. S2B). IL-4 also showed no effect on Smad transcriptional activity in T cells transfected with the Smad binding element reporter (data not shown). Therefore, we hypothesized that IL-4/STAT6 directly inhibits Foxp3 transcription by modulating chromatin structure.

To examine this hypothesis, we performed ChIP assay. Various pairs of primers were designed to amplify 19 individual PCR fragments covering the entire promoter...
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**Figure 3. Identification of the IL-4/STAT6-dependent silencer region of the Foxp3 promoter.** A, naïve T cells were cultured under the Th0/iTreg condition in the absence or presence of IL-4. 48 h later, cells were subjected to the ChIP assay with anti-FLAG Ab. The histone acetylation levels were determined by real-time PCR. Each plot represents the normalized value to the input. Data are representative of at least two independent experiments with similar results. Data of the ChIP assay for PCR 10 and 11 are shown as a graph in the lower panels. B, DNA affinity precipitation assay. T cells expanded with anti-TCR Ab and IL-2 were restimulated with plate-bound anti-CD3 Ab for 24 h. IL-4 production was determined by enzyme-linked immunosorbent assay (ELISA). IL-4 and GATA3 mRNA expression was determined by real-time PCR.

**Figure 4. RA suppressed Th2 development in the presence of TGF-β1.** A, naïve T cells were cultured under the Th0/iTreg condition with the indicated cytokines, 10 μM RA, with or without IL-4 for 3 days. The Foxp3 levels were determined by fluorescence-activated cell sorting, and the percentage of Foxp3+ cells is shown in the graph. B, effect of RA on Th2 development. Naïve T cells were cultured under the indicated conditions for 3 days, and then the cells were restimulated with plate-bound anti-CD3 Ab for 24 h. IL-4 production was determined by enzyme-linked immunosorbent assay (ELISA). IL-4 and GATA3 mRNA expression was determined by real-time PCR.
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These data supported our notion that this region is the IL-4/STAT6-responsive silencer of the Foxp3 promoter.

Retinoic Acid Inhibited IL-4-mediated Foxp3 Repression—Our data suggest that IL-4 from Th2 cells suppressed Foxp3 induction and therefore overwhelmed iTreg. Recently, RA was shown to remarkably enhance Foxp3 expression and increase iTregs (14). Foxp3+T cells did not decrease even after 5 days of culture in the presence of RA and TGF-β1 (Fig. 4A), which was similar to those in T cells depleted with IL-4 or STAT6 (Fig. 2A). As shown in Fig. 4B, RA suppressed IL-4 production and GATA3 expression in primary T cell under iTreg conditions regardless of the presence or absence of IL-4.

Like primary T cells, IL-4 did not reduce Foxp3 mRNA levels in the presence of RA in EL4 cells (Fig. 5A). Furthermore, IL-4-mediated suppression of the Foxp3 promoter activity was canceled by RA (Fig. 5B). To investigate the molecular mechanism, we performed ChIP assay. As shown in Fig. 5C, RA reverted the effect of IL-4 on histone deacetylation. RA enhanced histone acetylation of region 11 even in the presence of IL-4. As a result, RA prevented STAT6 binding to the intron 1 of the Foxp3 gene (Fig. 5D). To identify the RA-responsive element in the Foxp3 promoter region, we performed reporter assay in 293T cells transiently transfected with RARα and RXRα. Reporter assay using a series of deletion mutants revealed that the RA-responsive element was present between +2114 and +2350 (Fig. 5E, upper panel). This region was 300 bp upstream of the STAT6 binding site and contained one RA response element. RA response was severely impaired by the disruption of this RA response element (Fig. 5E, lower panel). These data suggest that RARα/RXRα binds to the Foxp3 promoter, thereby inducing chromatin remodeling in the region, including STAT6 binding sites.

DISCUSSION

In this study, we found that Foxp3+iTregs by TGF-β1 were transient and overwhelmed by Th2 after long term culture. This is because IL-4 suppressed Foxp3 expression by recruiting STAT6 to the silence region. In contrast, RA abrogated the suppressive activity of IL-4 on Foxp3 expression. STAT6 inhibits TGF-β1-mediated Foxp3 induction by a direct binding to the Foxp3 promoter, which is reverted by RA.
We identified a TGF-β1-responsive enhancer region and an IL-4-responsive silencer region of the Foxp3 promoter. TGF-β1 plus TCR stimulation induced open conformation of chromatin of the Foxp3 promoter in various regions, whereas IL-4/STAT6 induced closed chromatin conformation of the silencer region. After completion of this study, Tone et al. (9) reported the enhancer element for TGF-β1 where Smad3 binds. This is exactly the same region we identified (Fig. 3, A and E). However, we noticed that IL-4/STAT6 negatively regulates Foxp3 expression without affecting this enhancer region.

We found that IL-4 suppressed TGF-β1-induced Foxp3 expression via binding of STAT6 to the Foxp3 promoter silencer region. On the other hand, IL-4 had no effect on Foxp3 levels in CD4⁺CD25⁺ nTregs (supplemental Fig. S1), which is consistent with a recent report (32). Recently, GATA3 as well as T-bet has been implicated in the suppression of iTreg development (13). However, we noticed that IL-4 suppressed Foxp3 mRNA at 24 h of stimulation before the appearance of GATA3. Although we could not rule out the possibility that both STAT6 and GATA3 independently inhibit Foxp3 promoter activity, we propose that STAT6 directly represses Foxp3 promoter activity at the early lineage determination stage.

The mechanism of STAT6-mediated repression of the Foxp3 promoter activity has not been clarified yet. Although coactivator proteins CBP/p300 as well as p100 have been implicated in the regulation of transcription-promoting activity in all STATs (33, 34), IL-4 can concomitantly suppress the expression of a number of genes, including the k light chain (35), FcyRI (36), IL-8, and E-selectin. Bennett et al. (37) demonstrated that IL-4 induces STAT6 binding to the promoter of the E-selectin gene in human vascular endothelial cells. This IL-4-induced STAT6 binding suppressed tumor necrosis factor α-induced expression of the E-selectin gene. STAT6 was found to compete for binding of NF-κB to a region in the E-selectin gene promoter. Similarly, STAT6 may inhibit Smad binding to the promoter region of Foxp3. Alternatively, STAT6 may directly recruit corepressors such as histone deacetylases. However, such interactions have not been reported so far. We also found that RA re-opened the chromatin structure of the Foxp3 promoter (data not shown). The molecular mechanism by which RA induces the dissociation of STAT6 from the Foxp3 promoter remains to be investigated.

RA has been shown to suppress Th1 development while promoting Th2 development (38, 39). Thus, suppression of Th2 development by RA occurred specifically in the presence of TGF-β1. We showed that in EL4 cells, RA enhanced IL-4 production in the absence of TGF-β1 but suppressed it in the presence of TGF-β1. Foxp3 has been shown to suppress NFAT and NF-κB transcription factors, which inhibit IL-4 and IFN-γ production (32). We could not obtain any evidence showing that Foxp3 directly suppresses STAT6 activity. Therefore, Foxp3 may inhibit Th2 development by suppressing TCR-mediated signals or GATA3 activity. In addition, RA may inhibit IL-4-mediated Foxp3 repression. We found that RA also canceled the suppressive effect of IL-4 on TGF-β1-induced histone acetylation of the Foxp3 silencer region (data not shown). RA may induce dissociation of STAT6 from the silencer region, resulting in the restored expression of Foxp3. However, the molecular mechanism of such chromatin remodeling is not clear at present.

Our study provides a new understanding of the tolerance development controlled by a type-2 immune response. TGF-β1 with RA agonists could be therapeutic approaches facilitating tolerance induction, particularly in Th2-mediated diseases such as allergy.

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