Techniques, Principles and Benchmarks in Asian Blepharoplasty

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INTRODUCTION

The upper eyelid crease is an anatomical invagination of the eyelid skin along the superior tarsal border.\textsuperscript{1–8} It originates from a complex interaction of vector forces composed of: a healthy levator muscle and Mueller’s muscle (posterior layer), the presence of healthy skin-orbicularis over the preseptal region that rolls over passively as a lid fold (anterior layer) and the presence of preaponeurotic fat as the glide layer,\textsuperscript{9} with absence of midlamellar scarring. In general, Caucasian upper lids with a crease are thinner than their Asian counterparts because of the factors that often include a higher point of fusion of the orbital septum onto the levator, which positions preaponeurotic fat in the upper zone of the eyelid, less preseptal fat and thinner orbicularis. The former possess a greater number of distal fibers of the levator aponeurosis that terminate toward the skin along the superior tarsal border to form the eyelid crease. (Collin,\textsuperscript{3} Cheng\textsuperscript{4} and Morikawa\textsuperscript{5} in 3 separate articles have verified the presence of myofibrils/microtubules terminating on to pretarsal orbicularis muscles sheaths and subcutaneously beneath the upper lid skin along superior tarsal border.) Levator contraction pulls the tarsus upward and the eyelid crease invaginates easily; whereas the preseptal skin rolls down slightly to form the eyelid fold. Caucasians may often have a supratarsal sulcus distinct from an eyelid crease.

Background: Asian blepharoplasty is a deceptively simple procedure where the goal is to create an upper lid crease. The author presents a retrospective self-analysis of 362 cases performed over the past 12 years.

Methods: 362 cases that fits the inclusion criteria were tabulated into spreadsheet data format. Recorded were age, gender, date of service and follow-ups, whether the AB performed was for primary or revisional purpose; the preoperative lid crease status, the patient-chosen crease height as well as shape preferred. Intraoperative observation included presence or absence of preaponeurotic fat, whether partially resected, or reposited were noted.

Results: Of 362 patients (724 upper lids), primary AB constituted 81% (295) and revisional AB contributed 19% (67). The gender distribution was 87% female (315) and 13% male (47). The age distribution ranged from 12 to 75 years. The crease height selected ranged from 6.0 to 8.0 mm, with the median being 7.0 mm. Of the crease shape chosen, parallel shape was 65% (236) and nasally-joining crease shape was 35% (126).

Conclusions: Asian blepharoplasty via trapezoidal debulking of preaponeurotic platform is a safe, effective and anatomically-based technique that does not involve the use of permanent buried sutures. The article discussed the 5 essential factors (aponeurotic attachment, selective block clearance of preaponeurotic space, precise positioning of the crease formation loci, detection of latent droopy eyelids and avoidance of Faden-like suture effect) and the author’s benchmarks to achieve a better success rate. Results for primary and revisional Asian blepharoplasty, strategies and potential pitfalls are presented. (請從此看論文摘要: “亞洲双眼皮手術的技術, 原則和基準” http://links.lww.com/PRSGO/B141) (Plast Reconstr Surg Glob Open 2019;7:e2271; doi: 10.1097/GOX.0000000000002271; Published online 23 May 2019.)

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Asians who are monolid typically have thicker eyelids because of the presence of a hypertrophied orbicularis oculi and may have fat in their pretarsal, preseptal and preaponeurotic (postseptal) space. The orbital septum fuses with the levator at a lower position compared with those who possess a crease. There are few or no terminal branches from the levator toward the skin along the superior tarsal border.

The Challenge

The purpose of any Asian blepharoplasty, besides adding an eyelid crease, should be to further improve on each eyelid’s efficiency in its opening and crease formation. It should accomplish this by facilitating (repositioning) those tissue components within the preseptal zone which may help, and by reducing any tissue impediment that works against crease formation. This facilitation can be thought of as 3D remodeling of the preaponeurotic space such that its tissue interaction is more efficient than before. One should be mindful of any actions that adds impedance or load to the biodynamics of the eyelids.

PATIENTS AND METHODS

A retrospective analysis of 362 cases of Asian blepharoplasty performed over the last 12 years were studied. The inclusion period were from January 2006 to September 30, 2018, sampling from the author’s practice. The study included primary and revisional cases (Fig. 1).

Primary Asian blepharoplasty—defined as first-time upper blepharoplasty with the primary goal of creation of an upper lid crease for a person of Asian descent.

The technique used is detailed in “Trapezoidal debulking of eyelid tissues: application in Asian blepharoplasty.” The goals include placement of a crease to achieve correct height and shape, also long-term goals to achieve continuity (a continuous crease line) and permanence.

Excluded were conditions that include acquired or congenital ptosis, any type of eyelid retraction or malposition, any strabismus disorders, facial nerve palsy and any neuromuscular disorders. Functional upper blepharoplasty were excluded. Only bilateral cases were tabulated for this series.

For Revisional Asian Blepharoplasty—defined as revision, correction or modification of a suboptimal crease configuration. The most critical in this category are those who present an abnormal high crease with scarce skin left behind. Slightly easier to correct will be those with a rudimentary or partial crease despite an initial attempt; these can be revised through improving the dynamics within the preaponeurotic space. Other correctible cases include those with a crease set too low or high, as long as there are some tissue left to work with such that it will not result in poor closure of the palpebral fissure. In a series of 48 eyelids from 26 patients, the author’s average lowering of crease height achieved was 2.75 mm.

(The analysis included primary and revisional cases that showed trace to minimal ptosis where the deviation from normal lid position measured no greater than 1.0 mm; in these occasional cases, a levator advancement, plication or levator tuck was performed at the same time of the specific procedure for that patient.)

Preoperative Protocol

Most patients underwent the procedure by local anesthesia with oral premedications: 10 mg of diazepam (Valium) and 1,000 mg of acetaminophen (Tylenol) are given within 30–45 minutes before procedure start. Each upper eyelid is infiltrated locally with 0.75–1.0 cc of 2% xylocaine (with 1:100,000 dilution of epinephrine) applied in a suborbicularis plane, using #32 gauge ½″ needle.

For primary cases, the steps are shown in SDC 1 (see video, Supplemental Digital Content 1 which displays Asian upper blepharoplasty, http://links.lww.com/PRSGO/B79).

For revisional cases, the steps are shown in SDC 2 (see video, Supplemental Digital Content 2 which displays Asian upper blepharoplasty Part 2, http://links.lww.com/PRSGO/B80).

In all cases, the wound closure was through skin-aponeurosis-skin.

Postoperative treatment recommendations—patients are advised to have bed rest the first day, cold compresses are applied locally for the first day and topical antibiotic ointment are used 4 times daily for 1 week. All sutures are removed after 1 week.

The patients were drawn exclusively from the author’s private practice and were not part of any teaching services related to university or hospital and are therefore not under any Institutional Review Board approval.

RESULTS

The age distribution ranged from 12 to 75 years. The most common age group was from 20 to 30 years, although
it spread fairly evenly across the 30–60 years old spectrum. Two cases were tabulated as 12 and 15 years of age; both were precocious women, with Asian and European heritage and going into performing arts school training as their choice of vocation (Fig. 2A).

The gender distribution was 87% female (315) and 13% male (47) (Fig. 2B). Primary AB constituted 81% (295), whereas revisional AB contributed 19% (67) (Fig. 2C). The crease Height selected (Fig. 3) ranged from 6.0 to 8.0 mm, with the median being 7.0 mm (~49%); this being the most natural and physiological anatomic crease height. Slightly below-average crease height of 6.5 mm was the next most chosen height design, followed by slightly above-average crease height of 7.5 mm. In 19 cases (~5%), 8 mm was chosen (1 mm higher than average) for people that showed European–Asian ancestry or features.

Fat Management
Among 295 primary cases for double eyelid surgery, 73% (214 cases) had intraoperatively observable preaponeurotic fat (represented as yellow and green pies in Fig. 4). Among these 214 cases with fat, 54% (115 cases) had these fat partially reduced through controlled excision. Among all primary cases, 39% (115 cases) underwent some form of fat reduction.

Among revisional cases (67), 63% (42 cases) had residual fat, and 16 among these 42 (38%) underwent further fat reduction. Among all revisional cases, 24% underwent fat reduction; this is lower than that seen among primary cases since their prior surgeries likely reduced their fat content.

There were 34 revisional cases (50%) where the main issue was failure of crease formation; and among these, some required clearance of residual preaponeurotic fat.

Among the 67 revisional cases referred in for correction:

- 34% (23) were for adjustment of CREASE DOWNWARD, which is more difficult;
- 15% (10) were for adjustment of CREASE UPWARD, and
- 51% (34) were to correct for absence of crease.

All adjusted crease height remained at the set crease height when measured at 2 months and within 1 year postoperatively.

Suboptimal Results and Complications
Among 295 primary cases from author’s own practice (590 eyelids), 11 cases (15 eyelids) needed touch-up revision, with a revision rate of 2.54%. Among these, 13 lids (87%) were performed to deepen a crease set that have become shallow or rudimentary. Two eyelids from 2 cases (13%) were performed to excise some residual skin or fullness above the set crease. None of these touch-ups had required resetting from a crease that was placed too high from our primary procedure. None of the 11 had any significant keloid reaction.

Of the 67 revisional cases referred in for revisional Asian blepharoplasty, one patient underwent a further touch up (both sides) by us 1 year later. This case’s circumstance was unusual as she developed midface swelling upon dental extraction within 2 weeks of her eyelid procedure. The pretarsal segment re-expanded markedly, and her crease height were shifted up permanently even after a year. Our resultant touch-up rate among this referral group after 1 year is therefore 1.5%.

DISCUSSION
Chen9,33 discussed the role of the preaponeurotic fat (central fat) in the function and dynamics of the upper eyelids. In this study, the following are the factors considered most critical for success in Asian double eyelid surgery.
Aponeurotic Attachment to Skin along the Superior Tarsal Border

To create the eyelid crease, it does not require a complete encircling of the levator-Mueller muscles complex (Fig. 5). This false step often produces a ligature-induced crease indentation yielding a static, harsh crease. One only need to direct a fraction of the levator aponeurotic fibers to the under-surface of the skin along the superior tarsal border to be effective; this yields a natural, dynamic crease.

Listed here are the author’s interpretation of Levels of Attachments during eyelid crease construction:

- Level 1: Skin-to-skin closure, seldom yields a crease.
- Level 2: Skin-inferior orbicularis oculi-skin anchoring: fair, though unpredictable outcome at crease formation.
- Level 3*: Skin-aponeurosis-skin closure (dynamic).
- Level 4: Skin-tarsus-skin closure (static).
- Level 5: Skin-tarsus & incorporate orbicularis-to skin closure using either dissolvable or permanent buried sutures (deeper, static crease).
- Level 6: Use of encircling element around anterior and posterior lamella/buried sutures techniques (permanent non-absorbable)—yields static crease and apparent dimpling of skin can be seen on downgaze in some cases.

Benchmark

One should avoid the use of any permanently buried, anchoring stitches whether partial thickness, or encircling the levator-Mueller’s layers in a full-thickness fashion.

Block Resection (Trapezoidal Debulking) of Redundant Anterior Lamella is Essential for Long-term Success

Using a beveled approach, redundant tissues are selectively removed in a clean trapezoidal cross-section (Fig. 6). This lightens the impedance from the anteri-
or lamella (skin, orbicularis oculi and preaponeurotic fat) and create a greater space just above the superior tarsal border. This facilitates crease invagination and the natural relaxation of lid fold above it.

**Benchmark**

Before closing the wound and without any sutures, I need to see a well-formed crease as I instruct the patient to lift the upper lid, to check on the efficiency of the levator in forming the crease.

**Critical Crease-positioning**

Conceptually there exists a dynamic force-reversal point, where the posterior and anterior lamellae interact along the superior tarsal border to form the crease. 9,33,41–46 I think of it as a series of nanoscopic “unipoints”, each as a “nanosphere” that rotates between the lid fold and the tarso-levator-Mueller’s muscle. 9,33,41–46

(Septoaponeurotic closure may act similarly near this anatomic region.)

**To position the crease higher than ideal** position will risk high anchoring with resulting lagophthalmos, high crease and asymmetry. It reduces levator muscle’s contractility due to increased load (impedance) from carrying a greater amount of skin and orbicularis, eventually manifesting as a mild secondary ptosis.

**To position the crease lower than ideal** position will run the risk of not forming a crease as there will be less interplay between the two lamellae at the critical locus along the superior tarsal border. One often sees fading of crease, and poorly concealed incision scar in a low, pretarsal exposed position.

The upper tarsus is normally tilted at 40–50 degrees from the horizontal when the subject is sitting and looking ahead, with eyes open and the lid margin resting along the superior limbus, covering 1 mm of cornea. 33 If we should assume the tarsal-tilt angle in vivo as 45 degrees (verified by mathematical modeling and MR imaging scan 33) and the tarsal height at its mid-point is measured as 7.0 mm, it has a vertically observed Tilted crease height (Tch) that is calculated to 5.0 mm (Fig. 7, blue line). With this tarsal-tilt angle, there is a 5:7 equivalence ratio between Tch and anatomic crease height (measured with lids closed, or tarsus everted). What we see as a 5 mm double eyelid crease when observed face-on (as Tch) will require a 7 mm anatomical crease design during Asian blepharoplasty.

**Benchmark**

I regularly check my crease placement, measuring it multiple times. A Castroviejo caliper with half-millimeter
Increments (0.5 mm) is used to mark the incision lines. Crease positioning influences the final outcome including the Height and Shape of the crease, and whether the crease appears natural or harsh. (see the “Aponeurotic Attachment to Skin along the Superior Tarsal Border” section).

**Decreased Levator Function (LF) and Latent Ptosis (Droopy Eyelid) Are Commonly Missed**

A ptotic lid covers more of the cornea. Even if that person shows the same levator excursion as a nonptotic person, it is inadequate in fully invaginating a crease during construction. When detected, ptosis needs to be repaired as this restores a better “null-point” position for eyelid crease invagination.

**Benchmark**

Even mild 1–2 mm ptosis should be separately corrected before any attempt to create a double eyelid crease.

**The Matter of Epicanthoplasty: A Case of Excessive Use?**

It is a mistake for benign medial upper lid fold which has not entirely blocked the view of the caruncle, to be labeled as epicanthal fold. There is a liberal use of the term “epicanthoplasty” which has exposed surgical trainees into thinking that reduction of the medial upper lid fold requires a separately labeled procedure of epicanthoplasty. One can plainly observe that the myriads of papers on epicanthoplasty techniques published are all minuscule treatments of small dog-ears, and all reported success.
**Benchmark**

Medial upper lid fold are eliminated during Asian blepharoplasty, through strategic undermining above the medial canthus and removal of skin fold and orbicularis oculi muscle, without the need for “flap” creation beyond the medial commissure. This is accomplished while designing for a nasally joining crease (NJC, “inner”, closed end); or a parallel (“outer”, nontouching) crease shape (Fig. 8). Recently, the author has applied up-knotting (for parallel crease shape) and down-knotting (for nasally joining crease shape) of the medial-most single stitch on the skin incision following medial fold reduction, which has helped with stability of the crease shape.

**Faden Concept: One Should Not Create Faden-like Effect**

Posterior fixation with Faden (German, for suture) is an occasionally used strabismus surgery for treatment of large-angle congenital esotropia (crossing of eyes). The procedure fixates the proximal region of the medial rectus to the globe through intrascleral stitches, combined with proximal recession to weaken its axial pull in esotropia.

The levator’s contractility can be similarly impaired by more proximal (toward orbital apex) linkage of the skin–orbicularis lamella toward the levator aponeurosis (creating a higher eyelid crease), or deeper-than-normal placement of skin/orbicularis-to-levator fixation sutures (Fig. 8; Figure 9); this is similar to Faden-ing in strabismus repair, except that the hindrance for the levator aponeurosis here is toward the overlying skin-orbicularis (an “ecto-Faden-like” effect; see video, Supplemental Digital Content 3, which demonstrates higher-than-average crease placement and its restrictive (impeding) effect on levator muscle excursion, in a routine functional upper blepharoplasty for a 49-year-old Caucasian female, http://links.lww.com/PRSGO/B81). Koornneef has shown that the human orbit contains fibroconnective tissue septae that envelops all the extraocular muscles; these septal fibrosis can cause entrapment syndrome following orbital fracture.

Recently, Clark et al has demonstrated the effect of orbital fat pulley and its measurable tethering effects. By stitching the medial rectus’s upper and lower edges to small amount of surrounding orbital fat alone, he can replicate the posterior fixation with Faden procedure’s weakening effect, thus avoiding any increased risk associated with needle penetration through sclera (Video 4) (see video, Supplemental Digital Content 4, which displays surgical steps involved in medial rectus recession coupled with linking the muscle to adjacent orbital fat and connective tissues, in a 3-year-old child with congenital esotropia. It achieved similar impeding effect as posterior fixation with Faden suturing technique, but without the need for placement of intrascleral sutures and its associated risks, http://links.lww.com/PRSGO/B82). One can conclude that extraocular muscles such as medial rectus and even the larger levator muscle are susceptible to weakening (Video 3) when anchored with multiple sutures to surrounding tissues it is not normally bound with (Figure 9).

![Fig. 8. Treatment of incidental findings of medial upper eyelid fold. Undermining of redundant tissues followed by excision of orbicularis oculi, and overlapping dog-ears as applied in construction of a nasally joining crease shape (top 2 diagrams), and in parallel crease shape(lower two diagrams); note the deliberate placement of the medial-most suture knot inferior to the incision edge (down-knotting) for nasally joining crease shape, and on the upper border (up-knotting) for creating parallel crease shape (Reprinted from "Chen WPD. Asian Blepharoplasty and the Eyelid Crease. 3rd ed. Elsevier Science; 2016")](image)
Fig. 9. Drawings showing placement and passages of permanent buried suture loops. a, Traditionally the suture methods uses three sets of double-armed 7-0 nylon or prolene. The drawing shows the typical passages for the central set of buried suture (left upper lid). The first passage (step 1) involves everting the upper lid margin and passing it subconjunctivally for 3–4 mm across (A–B') at a level typically several millimeters above the superior tarsal border, further up and behind the levator aponeurosis. The second passage (step 2) directs one needle toward the skin side along the path of B'–B, aiming just over the upper border of the tarsus. Similarly for the other arm of the suture, the third passage (step 3) goes from A' to A. If each of the suture threads are tied on the skin at this moment, it will be a full thickness compression ligature encompassing Muller’s muscle, levator aponeurosis and orbicularis oculi muscle in a postero-superiorly biased fashion along the axis of levator muscle's contractility. In addition to a plication effect on the aponeurosis, it inadvertently creates a “Faden-like effect” at each of the two locations of B’–B and A’–A. In actuality the second needle exiting the skin at A (after step 3) is re-passed (step 4) subcutaneously across to join B, exiting at a mini-stab skin opening there. The nylon ends are “firmly tied” and the knot sunken into the small surgical opening. In addition to the Faden-like effect, this results in a horizontal contraction in the width of levator aponeurosis at the two locations of A’–B’ and A–B. With three sets of sutures, the restrictive effect is tripled (Reprinted from "Chen WPD. Asian Blepharoplasty and the Eyelid Crease. 3rd ed. Elsevier Science; 2016"). Right, b, Cross section showing placement of buried suture that encircles the orbicularis oculi, levator aponeurosis and underlying Mueller's muscle. Here it is shown as a blue 7-0 nonabsorbable suture loop. The nanospheres mentioned in the “Critical Crease-positioning” section is shown as a magnified yellow nanosphere here, is constrained in its phasic-reversal function; as the linkage of different layers added load to the levator when it contracts (causing ecto-Faden-like weakening effect for the levator on the long term), and tethering the anterior orbicularis to a higher point on the posterior levator muscle (one can say that here it is creating endo-Faden-like effect for the orbicularis oculi). The orbicularis oculi normally functions to shut the eyelid fissure, therefore locking it together with levator, which is an elevator/opening muscle makes the orbicularis work harder to close the lid fissure (Reprinted from "Chen WPD. Asian Blepharoplasty and the Eyelid Crease. 3rd ed. Elsevier Science; 2016").

Video Graphic 3. See video, Supplemental Digital Content 3, which demonstrates higher-than-average crease placement and its restrictive (impeding) effect on levator muscle excursion, in a routine functional upper blepharoplasty for a 49-y-old female. This video is available in the “Related Videos” section of the Full-Text article on PRSGlobalOpen.com or at http://links.lww.com/PRSGO/B81.

Video Graphic 4. See video, Supplemental Digital Content 4, which displays surgical steps involved in medial rectus recession coupled with linking the muscle to adjacent orbital fat and connective tissues, in a 3-y-old child with congenital esotropia. It achieved similar impeding effect as posterior fixation with Faden suturing technique, but without the need for placement of intrascleral sutures and its associated risks. This video is available in the “Related Videos” section of the Full-Text article on PRSGlobalOpen.com or at http://links.lww.com/PRSGO/B82 (Edited from video, courtesy of Robert Clark M. D. of Long Beach, Calif.).
Benchmark

- Avoid use of any buried permanent anchoring sutures that are nonabsorbable.
- Avoid penetrating the full thickness of levator aponeurosis.
- Avoid encircling the orbicularis oculi, levator and Mueller’s within any permanent buried suture loops. Each of these 3 layers serves a different function and needs their own space; bonding them together creates an undesirable union.

Asian blepharoplasty cases (Figure 10) should be evaluated not merely on whether a crease is seen soon after a procedure, but also in regard to whether the crease develops migration, shallowness or developed any ptosis subsequently. Follow-up period as long as 1 year is needed. The parameters of height, shape, continuity and permanence and incidence of various complications are cornerstones to which each variant of double eyelid surgery techniques should be judged by.

Despite a low touch-up rate of only 2.5% in this limited article, crease formation seemed more predictable for the over-30’s age group than the under-30’s as there may be more interfering preaponeurotic fat in the younger group. Still, the series’ 97.4% crease-formation rate is only possible due to strict adherence to various benchmarks discussed here, mindful of both facilitating and impeding factors.

Limitations

This analysis is based on data from a solo practitioner in a diverse Southern California setting. This may be its strength since the evaluator, comprehensive eye examiner and surgeon are the same individual. It benefits from the constancy and long-term follow-ups feasible over a 12-year period. The surgeon used a specific external incisional technique that best fits anatomic findings and physiologic principles and adheres to his strict benchmarks. For the precise dilemma that it is difficult to fully gauge patients’ satisfaction ratings, each patient

Fig. 10. Pre- and postoperative views. A, 42-y-old female for primary Asian blepharoplasty, who preferred nasally joining crease shape, and medium crease height of 7 mm. B, 36-y-old female who presented with crease asymmetry of left eye 8.5 mm crease height, right eye 5.0 mm crease height (left column: preoperative); preferred staying with parallel shape, and chose 7.5 mm crease height (right column: postoperative views). C, 40-y-old female has permanent eyeliner, with crease height of 8.5 mm and pretarsal skin wrinkling; prefer stay with parallel shape and set to 7.5 mm crease height (right eye shown here). D, 29-y-old female for primary Asian blepharoplasty. Preferred medium crease height of 7.0 mm, and parallel crease shape (left eye shown here).
was encouraged to have at the minimum a 2- to 3-month postoperative return visits following their initial 1-week visit for suture removal, and often extended to 1 year. The practice encouraged patients to return for any complaints or if there should be any reason to desire further improvement. Patients who may require postoperative touch-ups by the author are encouraged to have this performed at 6–12 months out.

CONCLUSIONS

Asian blepharoplasty via trapezoidal debulking of preaponeurotic platform provides an optimal balance between achieving anatomic goals, improving efficiency of upper lid functions while enhancing the eyelids’ aesthetic appearance. The factors discussed here (aponeurotic attachment, selective block reduction of tissues, precise positioning of the crease-forming nano-points, detection of latent ptosis and avoidance of locking sutures that create Faden-like effect) together with an understanding of the 5:7 ratio of equivalence between Tilted crease height (Tch) to anatomic crease height has served the author well through the years. These are principles that contribute to a successful upper blepharoplasty. This paper on Asian blepharoplasty presented a logical outcome analysis, discussion of conceptual and practical concerns and best-adopted solutions for this highly specialized form of aesthetic eyelid surgery.

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