Outcomes of Left Ventricular Function According to Treatment Response for a Patent Ductus Arteriosus in Preterm Infants

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BACKGROUND: To evaluate the outcomes of left ventricular (LV) function according to treatment response for a hemodynamically significant patent ductus arteriosus (hsPDA) in preterm infants.

METHODS: Echocardiograms of 21 preterm infants born at gestational age < 31 weeks obtained at term-equivalent age were retrospectively studied. Among preterm infants with a hsPDA, 9 underwent ligation after failure of pharmacological closure (ligation group) and 6 experienced successful pharmacological closure (medication group). Six preterm infants without hsPDA (no-hsPDA group) were studied as controls. LV peak longitudinal systolic strain (ε) of each infant was retrospectively obtained from echocardiograms using velocity vector imaging, along with neonatal outcomes.

RESULTS: Pharmacological closures were attempted at postnatal day 2–3. In the ligation group, the median postnatal age at ligation was 20 days. In the ligation group, LV peak longitudinal systolic ε was significantly decreased at term-equivalent age compared to the other groups. Between the medication and no-hsPDA groups, LV peak longitudinal systolic ε did not differ significantly. Among the neonatal outcomes, infants who experienced necrotizing enterocolitis (NEC) showed significantly decreased LV peak longitudinal systolic ε compared to the infants who did not experience NEC.

CONCLUSION: We speculate that in preterm infants with an hsPDA, in cases of medical treatment failure, early PDA ligation at less than 20 days of postnatal age would be beneficial for preserving LV systolic function.

KEY WORDS: Ductus arteriosus · Premature · Myocardium · Systole · Ventricle.
assessed. In an animal study, remodeling of the LV was observed in immature hearts, which could result in potential LV systolic dysfunction (LVSD). It is unclear whether prolonged exposure to an hsPDA can contribute to this remodeling of the LV in preterm hearts. Myocardial strain, which describes changes in the length of myocardial fibers and is expressed as a percentage, has been applied to detect myocardial dysfunction in neonates. Myocardial strain could be obtained by velocity vector imaging, which utilizes speckle and endocardial contour tracking to assess regional and global cardiac function. We speculated that strain parameters by velocity vector imaging, namely, LV longitudinal peak systolic strain (ε), could sensitively detect potential LVSD among preterm infants who have undergone medical or surgical treatment for hsPDA.

Therefore, we aimed to investigate the outcomes of LV function according to different responses to medical treatment for an hsPDA in preterm infants by analyzing LV longitudinal peak systolic ε at term-equivalent age.

METHODS

STUDY POPULATION

We retrospectively assessed the clinical and echocardiographic data of 21 preterm infants born at gestational age (GA) < 31 weeks who were admitted to the neonatal intensive care unit of CHA Bundang Medical Center from January 2012 to December 2013. Exclusion criteria for this study were infants with congenital heart defects except for a small patent foramen ovale. The proposal for this study was approved by the Institutional Review Board of CHA University Bundang Medical Center, and the requirement for patient consent was waived because the study was a retrospective one (IRB No. 2017-08-031).

DEFINITION OF AN HS-PDA AND TREATMENT GROUPS

Initial echocardiograms of all infants in our study group were obtained within postnatal day 3 to assess the presence of hsPDA. An hsPDA was defined as a PDA > 2 mm in transdural diameter with an unrestricted left-to-right shunt through the PDA and pulmonary hemorrhage, or PDA > 2 mm in diameter and cardiomegaly and pulmonary edema on chest X-ray with one of the following: respiratory failure requiring the increase of airway pressure, hypoxemia requiring oxygenation, and systemic symptoms such as temperature instability, apnea, or bradycardia were present. Cardiopulmonary compromise continued, as previously described as the “conservative approach” to PDA ligation.

The “ligation” group included infants who, after experiencing medical treatment failure, continued enteral feeding but cardiopulmonary compromise continued, as previously described as the “conservative approach” to PDA ligation.

NEONATAL RISK FACTORS AND OUTCOMES

The prevalences of grade ≥ III (ventricles filling with distension) IVH and grade ≥ IIA NEC according to the modified Bell’s staging criteria were evaluated. Infants were classified as having Grade IIA NEC when systemic symptoms such as temperature instability, apnea, or bradycardia were present, intestinal symptoms such as absent bowel sounds with or without abdominal tenderness were found in addition to bright red blood from rectum, and radiological signs such as intestinal dilation, ileus, or pneumatosis intestinalis were found. BPD was defined as the requirement of supplemental oxygen at 36 weeks GA.

ECHOCARDIOGRAPHIC DATA

All echocardiograms analysed for this study were obtained at a mean of 40 weeks corrected age (term equivalent age) using commercially available ultrasound equipment (Acuson SC 2000, Siemens Medical, Mountain View, CA, USA).

CONVENTIONAL ECHOCARDIOGRAPHIC PARAMETERS

The PDA diameter was measured at the pulmonary end. Maximal tricuspid regurgitation (TR) jet velocity from continuous-wave Doppler was obtained to estimate right ventricular systolic pressure derived from the modified Bernoulli equation (TR jet velocity × 4)0.17-19 Maximal TR jet velocity, LV ejection fraction, mitral inflow Doppler velocity during early diastole (E), mitral annular tissue Doppler velocity during early diastole (E’), and the ratio of mitral E/E’ was obtained according to previous recommendations. The isovolumic contraction time (IVCT) and isovolumic relaxation time (IVRT) were calculated from tissue Doppler imaging, and the LV myocardial performance index (MPI) was calculated as follows: LV MPI = (IVCT + IVRT)/LV systolic ejection time.

MYOCARDIAL DEFORMATION ANALYSIS

Using velocity vector imaging software (version 3.0, Siemens Medical), digital images previously obtained at 70 frames per second (fps) and stored were analyzed. Two investigators blinded to the clinical characteristics of the study population performed all offline analyses.

In the apical four-chamber view, endocardial border of the LV at the onset of the QRS wave was traced manually and subse-
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quently tracked automatically by the velocity vector imaging software to acquire LV longitudinal peak systolic ε.\(^{21}\) ε curves were derived from six segments (three from the LV free wall and three from the interventricular septum), and LV longitudinal peak systolic ε was identified as the highest point of the average of the six curves (Fig. 1).\(^{21}\) Three cardiac cycles were analyzed and averaged. Representative examples of LV longitudinal peak systolic ε at term-equivalent age in the three groups according to treatment of hsPDA are shown in Fig. 2.

**Statistical Analysis**

All values are expressed as mean ± standard deviation or median (minimum-maximum range), as appropriate. Data were analyzed using SPSS version 24 (IBM SPSS Statistics 24, IBM Corp., Armonk, NY, USA). Continuous data between two groups were analyzed using Student’s t-test or the Mann-Whitney U test, as appropriate, and data among three groups were analyzed using the Kruskal-Wallis test. Categorical variables were analyzed using chi-square analysis. A \( p \)-value < 0.05 was considered significant. Pearson’s or Spearman’s correlation was used, as appropriate, to determine correlations between variables. For intraobserver variability, one observer performed an offline repeat analysis of LV longitudinal peak systolic ε in 15 infants after an interval of 4 weeks. For interobserver variability, two independent observers, blinded to the clinical data of the infants at the time of analysis, performed a separate analysis of LV longitudinal peak systolic ε in 15 infants. Intraobserver and interobserver variabilities were calculated as the mean percentage error.\(^{22}\)

**RESULTS**

Demographic and clinical data of the three groups are shown in Table 1. GA, birth weight (BW), age and weight at echocardiography were similar among the three groups. No patients in our study were small for GA (BW ≤ 10% for GA). In
the medication group, 3 patients (50%) received 1 treatment cycle, 2 patients (33.3%) received 2 treatment cycles, and 1 patient (16.7%) received 3 treatment cycles. The median medical treatment duration was 4.5 days (range, 2–9 days). In the ligation group, 1 patient (11.1%) received 1 treatment cycle, 7 patients (77.8%) received 2 treatment cycles, and 1 patient (11.1%) received 3 treatment cycles. The median medical treatment duration was 6 days (range, 3–9 days), and the median postnatal age at surgery was 20 days (range, 9–31 days). The median delay from medical treatment failure to ligation was 9 days (range, 6–18 days). None of the patients in the ligation group experienced postligation cardiac syndrome that required milrinone treatment. In the ligation group, 3 patients experienced NEC; 1 patient showed NEC at postnatal 3 days, and 2 patients experienced NEC at 14 days and 60 days after ligation, respectively. Additionally, no patient underwent surgical treatment for NEC. In contrast, all patients in the medication group had either PDA closure or reduction in the PDA size to below hemodynamic significance before 2 weeks of postnatal age.

The echocardiographic data of preterm infants are shown in Table 2. No differences in conventional echocardiographic parameters were observed among the three groups. LV longitudinal peak systolic $\varepsilon$ was significantly decreased in the ligation group.

### Table 1. Clinical characteristics of preterm infants with and without hsPDA

| Variables                          | Ligation group (n = 9) | Medication group (n = 6) | No hsPDA group (n = 6) |
|-----------------------------------|------------------------|--------------------------|------------------------|
| GA, weeks                         | 27 (24–31)             | 28.5 (26–30)             | 28 (26–30)             |
| GA < 28 weeks, n (%)              | 5 (55.6)               | 2 (33.3)                 | 2 (33.3)               |
| BW, g                             | 1100 (740–1930)        | 1312.5 (730–1500)        | 1180 (1000–1460)       |
| BW < 1000 g                       | 3 (33.3)               | 1 (16.7)                 | 0 (0)                  |
| Treatment cycles ≥ 2, n (%)       | 8 (88.9)               | 3 (50)                   |                        |
| PDA diameter before treatment    | 3.2 (2.0–4)*           | 3 (2.4–3.4)*             | 1.4 (1–2)              |
| Weight at echocardiogram, kg      | 4.2 ± 1.8              | 4.4 ± 2.2                | 4.6 ± 1.4              |
| Corrected age at echo, months     | 2.8 ± 0.7              | 2.5 ± 0.8                | 2.7 ± 0.5              |
| Boys, n (%)                       | 6 (66.7)               | 2 (33.3)                 | 2 (33.3)               |
| Moderate to severe BPD, n (%)     | 6 (66.7)               | 5 (83.3)                 | 5 (83.3)               |
| Apgar score at 5 minutes          | 6.5 (5–9)              | 4.5 (3–7)                | 6 (2–7)                |
| Duration of mechanical ventilation, days | 54 (7–82)        | 53 (2–79)                | 40.5 (22–84)           |
| Intraventricular hemorrhage, n (%)| 3 (33.3)               | 1 (16.7)                 | 0 (0)                  |
| NEC, n (%)                        | 3 (33.3)               | 0 (0)                    | 0 (0)                  |
| Sepsis, n (%)                     | 3 (33.3)               | 4 (66.7)                 | 1 (16.7)               |
| Pulmonary hemorrhage, n (%)       | 2 (22.2)               | 1 (16.7)                 | 0 (0)                  |

Data are expressed as mean ± standard deviation, median (minimum–maximum range), or number (percentage). *$p < 0.05$ compared with the no-hsPDA group. GA: gestational age, BW: birth weight, hsPDA: hemodynamically significant patent ductus arteriosus, BPD: bronchopulmonary dysplasia, NEC: necrotizing enterocolitis.

### Table 2. Echocardiographic characteristics of preterm infants with and without hsPDA

| Variables                          | Ligation group (n = 9) | Medication group (n = 6) | No hsPDA group (n = 6) |
|-----------------------------------|------------------------|--------------------------|------------------------|
| Heart rate, bpm                   | 150 ± 13               | 157 ± 19                 | 147 ± 17               |
| Systolic blood pressure, mm Hg    | 89 ± 7                 | 91 ± 7                   | 87 ± 5                 |
| Diastolic blood pressure, mm Hg   | 49 ± 5                 | 51 ± 7                   | 49 ± 5                 |
| TR jet velocity, m/s              | 1.7 ± 0.5              | 2.0 ± 0.9                | 1.9 ± 1.0              |
| MR jet velocity, m/s              | 2.2 ± 1.5              | 1.1 ± 0.7                | 1.8 ± 1.2              |
| LV longitudinal peak systolic $\varepsilon$, % | 16.9 ± 3.1*†               | 21.9 ± 4.1               | 23.3 ± 4.5             |
| LVSD, n (%)                       | 5 (55.6)               | 1 (16.7)                 | 1 (16.7)               |
| LV EF, %                          | 67.1 ± 6.8             | 67.4 ± 7.5               | 63.9 ± 7.6             |
| LV mass index, g/m²               | 51.6 ± 6.8             | 50.6 ± 32.8              | 48.3 ± 11.1            |
| MV E/E  †                         | 0.41 ± 0.16            | 0.39 ± 0.15              | 0.42 ± 0.09            |
| MV MPI                            | 11.8 ± 4.7             | 8.6 ± 1.7                | 9.2 ± 3.6              |

Data are presented as mean ± standard deviation or number (percentage). Strain ($\varepsilon$) values are expressed as absolute values. *$p < 0.05$ compared with the no-hsPDA group, †$p < 0.05$ compared with the medication group. hsPDA: hemodynamically significant patent ductus arteriosus, bpm: beats per minute, TR: tricuspid regurgitation, MR: mitral regurgitation, LV: left ventricular, $\varepsilon$: strain, LVSD: left ventricular systolic dysfunction, EF: ejection fraction, MPI: myocardial performance index, MV E/E': ratio of mitral inflow Doppler velocity during early diastole (E) and mitral annular tissue Doppler velocity during early diastole (E)
group compared to that in the medication group or no-hsPDA group. There were no differences in LV longitudinal peak systolic $\varepsilon$ between the medication and no-hsPDA groups. We defined LVSD as LV longitudinal peak systolic $\varepsilon < 18\%$, and found that 55.6% (5/9) of infants in the ligation group had LVSD at term-equivalent age, while 16.7% (1/6) of infants in the other groups had LVSD.

When the preterm infants in our study were divided into subgroups according to adverse neonatal outcomes, LV longitudinal peak systolic $\varepsilon$ was significantly decreased in patients who experienced NEC compared to those who did not experience NEC (14.3 ± 3.6% vs. 20.1 ± 3.4%, $p = 0.017$).

**INTRAOBSERVER AND INTEROBSERVER VARIABILITY OF LV LONGITUDINAL PEAK SYSTOLIC $\varepsilon$**

The mean percentage error for intraobserver and interobserver variabilities in LV longitudinal peak systolic $\varepsilon$ was 18% and 20%, respectively.

**CORRELATION OF LV LONGITUDINAL PEAK SYSTOLIC $\varepsilon$ WITH CLINICAL AND ECHOCARDIOGRAPHIC PARAMETERS**

No significant correlation of LV longitudinal peak systolic $\varepsilon$ with the duration of mechanical ventilation or diameter of the PDA was found in our study.

**DISCUSSION**

We found that the PDA ligation group had the worst outcomes of LV function at term-equivalent age as shown by decreased LV longitudinal peak systolic $\varepsilon$ when compared to the outcomes in the medication and no-hsPDA group. However, LV longitudinal peak systolic $\varepsilon$ did not differ between the medication and no-hsPDA groups. Among the neonatal outcomes, only the prevalence of NEC showed a significant negative correlation with LV longitudinal peak systolic $\varepsilon$.

To our knowledge, this is the first study to compare LV systolic function by LV longitudinal peak systolic $\varepsilon$ according to different responses to medical treatment for a PDA. Previous studies on the outcome of different responses to medical treatment for a PDA have focused on the prevalence of BPD and neonatal outcomes. Additionally, in neonates, $\varepsilon$ has been utilized in myocardial functional evaluation. In our study, although LV longitudinal peak systolic $\varepsilon$ was significantly decreased in the ligation group compared to other groups, no differences in conventional echocardiographic parameters were found among the three groups. $\varepsilon$ can be obtained by tracking along the direction of the ventricular wall and not along the ultrasound beam; therefore, it has been reported to be less angle dependent than tissue Doppler parameters, such as mitral valve E/E'. Based on our findings, we speculate that LV longitudinal peak systolic $\varepsilon$ would enable a sensitive detection of subtle LVSD that may be present in preterm infants treated for an hsPDA.

**REASONS FOR DECREASED LV LONGITUDINAL PEAK SYSTOLIC $\varepsilon$ IN THE LIGATION GROUP**

We speculate that one reason for the decreased LV longitudinal peak systolic $\varepsilon$ at term-equivalent age in the ligation group compared to that in the other treatment groups in our study was the higher percentages of infants below 28 weeks GA and those with BW < 1000 g in the ligation group. A higher risk of decreased LV systolic function immediately after PDA ligation in preterm infants weighing < 1000 g has been reported. Altered loading conditions, such as acute increase in systemic vascular resistance, occurs immediately after PDA ligation and could cause LV dysfunction in this period. As the ligation group in our study had more premature infants < 28 weeks GA, the myocardium in the ligation group would be more immature and more intolerant to changes in afterload. We speculate that in our study, in the ligated group, subtle myocardial dysfunction initiated at the time of surgical ligation, which coincided with sudden changes in preload and systemic vascular resistance may have persisted to term-equivalent age, presenting as decreased LV longitudinal peak systolic $\varepsilon$ in this group.

Another reason for the decreased LV longitudinal peak systolic $\varepsilon$ at term-equivalent age in the ligation group in our study may be prolonged preload exposure due to an hsPDA at the immature myocardium. In our study, PDA ligation was performed using the “conservative approach,” indicating treatment only after failure of initial medical treatment for the hsPDA, and symptoms of pulmonary overcirculation or systemic hypoperfusion persisted. Additionally, in our study, after medical treatment failed to close the hsPDA, there was a delay before ligation. A delay in surgery has been reported to lower ligation-associated morbidities, however, none of our patients experienced postligation cardiac syndrome that required milrinone treatment. On the other hand, this delay resulted in prolonged preload exposure, thereby presumably accelerating LV remodeling in the ligation group. Neonatal outcomes, namely BPD and death, have been associated with prolonged exposure to an hsPDA in preterm infants undergoing PDA ligation.
tion. We speculate that shortening exposure to an hsPDA may be beneficial for preserving LV systolic function at term-equivalent age in preterm infants. Our results support the need for selection criteria for the early detection of preterm infants at high risk of medical treatment failure of an hsPDA. In our study, preterm infants in the ligation group underwent ligation at a mean of 3 weeks postnatal age. Based on our results, we speculate that earlier PDA ligation than a mean of 3 weeks postnatal age might be associated with better cardiovascular outcomes in preterm infants. Further studies involving a larger group of patients will be needed to validate this assumption.

**Preserved LV Function in the Medication Group at Term-Equivalent Age**

Recently, medical and surgical treatment rates for an hsPDA in preterm infants have been declining. The treatment strategy for an hsPDA has been challenged by “nonintervention” approaches, namely management with ventilator manipulations and diuretics only. Reportedly, no benefits with regard to neonatal outcomes were found in the medical or surgical treatment group when compared to the outcomes with the nonintervention approach. However, in our study, when initial medical treatment was administered at 2–3 days postnatal age, LV systolic function in the medication group at term-equivalent age was comparable to that in the no-hsPDA group. Additionally, as previously reported, the duration of mechanical ventilation or other important neonatal morbidities, such as NEC and BPD, did not differ between the medication and no-hsPDA groups in our study. Importantly, adverse reactions of indomethacin for PDA treatment have included immediate impairment in myocardial deformation with partial subsequent recovery 1 hour later, owing to alterations in coronary flow and resistance. However, we speculate that no persistent effects of indomethacin infusion affected LV systolic function in our study, as at term-equivalent age, no difference in LV longitudinal peak systolic ε was noted between the medication and no-hsPDA groups. Additionally, the medication group showed better preserved LV longitudinal peak systolic ε compared to the ligation group in our study, and the main difference between the two groups was the duration of ductal patency. Therefore, our results support the hypothesis that prolonged hsPDA may cause adverse outcomes. Based on our results, we speculate that in preterm infants with an hsPDA, early PDA ligation at less than 20 days of postnatal age in cases of medical treatment failure would be beneficial for preserving LV systolic function.

**Analysis of LV Longitudinal Peak Systolic ε According to Adverse Neonatal Outcomes**

In our study, LV longitudinal peak systolic ε was significantly decreased in patients who experienced NEC compared to those who did not experience NEC. Only infants in the ligation group showed NEC. In addition to quantitative assessment of “ductal steal” as documented by the ratio of celiac artery blood flow to LV output in preterm infants with an hsPDA, an hsPDA has been reported to be an independent risk factor for the development of NEC, while therapy with indomethacin was not significantly associated with the risk for NEC. Therefore, we speculate that longer duration of an hsPDA in the ligation group might have contributed to the higher prevalence of NEC.

**Limitations**

Our study was a retrospective observational study. Therefore, we could not establish causality. The small numbers of patients in our subgroups may have limited our statistical results. There were no preterm infants who received prophylactic indomethacin or infants who had an hsPDA but did not receive treatment for the hsPDA in our study. Therefore, we could not compare the outcome of those approaches.

**Conclusion**

We speculate that in preterm infants with an hsPDA, in cases of medical treatment failure, early PDA ligation at less than 20 days of postnatal age would be beneficial for preserving LV systolic function.

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