Black holes, holography and thermodynamics of gauge theories

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Abstract. We review work published in [1, 2] on the thermodynamics of gauge theories on FLRW backgrounds through the AdS/CFT correspondence. Contrary to analogous studies of the so-called Bjorken flow, the backgrounds we consider are homogeneous and isotropic. This permits the exact determination of the gravitational dual, which is identified with the AdS-Schwarzschild geometry, expressed in coordinates that set the boundary metric in the FLRW form. We review the calculation of the stress-energy tensor of the dual CFT on the FLRW background, as well as the temperature and entropy of the CFT, which are related to the temperature and entropy of the black hole. We also derive the equation of cosmological evolution through the use of appropriate boundary conditions.

1. Introduction
An interesting application of the AdS/CFT correspondence [3] concerns the thermodynamics of strongly coupled gauge theories, such as the $\mathcal{N} = 4$ super-Yang-Mills theory with $SU(N)$ gauge symmetry in the large-$N$ limit, in four dimensions. The thermodynamics can be deduced from the properties of a Schwarzschild black hole in five-dimensional AdS space [4]. For a Minkowski boundary the CFT entropy is proportional to the volume of the black-hole event horizon, in agreement with the standard notions of black-hole entropy [5] and holography [6].

The AdS/CFT correspondence has also been employed for the derivation of properties of the quark-gluon plasma produced in heavy-ion collisions. This system can be modelled through the so-called Bjorken flow [7]. The construction of the space-time dual to this flow [8, 9] has led to the derivation of properties of the plasma, such as the ratio of shear viscosity to entropy density [10]. The holographic description of more general hydrodynamic regimes of strongly coupled gauge theories has also been studied [11]. The construction of gravity solutions dual to the Bjorken flow or its generalizations is difficult and has been achieved only through a perturbative expansion. The main reason is the anisotropic nature of the geometry. In general, one finds that the gravity duals are time-dependent. They also possess event and apparent horizons that can be distinct. It is not obvious which horizon is relevant for properties of the gauge theory, such as its entropy [12].

The above difficulties have motivated the study of properties of CFT on a time-dependent background, for which the gravity dual is exactly known [1, 2]. The theory is the standard $\mathcal{N} = 4$ super Yang-Mills theory at non-zero temperature on a Friedmann-Lemaître-Robertson-Walker (FLRW) background. The gravity dual is the AdS-Schwarzschild geometry, but in a parametrization that sets the boundary metric in the FLRW form. The appropriate form of the
metric was given in [1], where the stress-energy tensor of the dual CFT was also derived. The boundary metric can be made dynamical by including a boundary Einstein term and imposing mixed boundary conditions along the lines of [13]. This construction leads to the effective Friedmann equation governing the cosmological evolution [1].

The temperature and entropy of the CFT on a FLRW background were derived in [2]. The temperature can be deduced by requiring the smoothness of the five-dimensional metric. When the temperature and stress-energy tensor of the CFT are known, the entropy can be computed. It is not necessary to assume that the entropy is proportional to the surface of the black-hole horizon. Moreover, between the distinct event and apparent horizons, we can identify the one that is relevant for the CFT on a time-dependent background.

2. Minkowski boundary
We consider an AdS-Schwarzschild black hole in five dimensions, which is a solution of the Einstein field equations with a negative cosmological constant ($\Lambda_5 = -6/l^2$),

$$R_{AB} = \frac{2}{l^2} g_{AB}, \quad (1)$$

where $A, B = 0, 1, 2, 3, 4$. The metric can be written in Schwarzschild coordinates as

$$ds^2 = -f(r)dt^2 + \frac{dr^2}{f(r)} + r^2 d\Omega_k^2, \quad f(r) = r^2 + k - \frac{\mu}{r^2}, \quad (2)$$

where $k = +1, 0, 1$ for spherical, flat and hyperbolic horizons, respectively. We have set $l = 1$ for simplicity. The Hawking temperature can be computed through the elimination of the conical singularity in the Euclidean version of the metric [14]. The energy (or mass) of the black hole can be computed through the temperature dependence of the gravitational action on the AdS-Schwarzschild background, after subtraction of the action of pure AdS space with compactified time [4, 14]. The temperature and energy are, respectively,

$$T = \frac{2r_e^2 + k}{2\pi r_e}, \quad E = \frac{3V_k}{16\pi G_5} r_e^2 (r_e^2 + k) = \frac{3\mu V_k}{16\pi G_5}, \quad (3)$$

where $V_k$ is the volume of the three-dimensional space $\Sigma_k$ spanned by $\Omega_k$, $r_e$ is the radius of the event horizon, satisfying $f(r_e) = 0$, and $G_5$ is the five-dimensional Newton’s constant.

The case of a spherical horizon with $k = 1$ is important for the interpretation of the results reviewed in the following sections. For increasing $\mu \gg 1$ the temperature $T$ increases as $\mu^{1/4}/\pi$. For decreasing $\mu \ll 1$, $T$ again increases as $1/(2\pi \mu^{1/2})$. There are no black holes with $T$ below a minimal value $\sqrt{2}/\pi$. For larger $T$, there are two black-hole solutions with the same temperature and different masses. The one with lower mass is known to be unstable [14]. The solution with the larger mass is dual to the high-temperature deconfined phase of the gauge theory [4].

In order to discuss the AdS/CFT correspondence, we must express the metric in terms of Fefferman-Graham coordinates [15]. We define $z$ through $dz/z = -dr/\sqrt{f(r)}$, which gives (with an appropriate integration constant)

$$z^4 = \frac{16}{k^2 + 4\mu} \frac{r^2 + k}{r^2 + \frac{k}{2} + r\sqrt{f(r)}}. \quad (4)$$

This equation may be inverted to give

$$z^2 = \frac{\alpha + \beta z^2 + \gamma z^4}{z^2}, \quad \alpha = 1, \quad \beta = -\frac{k}{2}, \quad \gamma = \frac{k^2 + 4\mu}{16}. \quad (5)$$
The metric (2) reads
\[ ds^2 = \frac{1}{z^2} \left[ dz^2 + \frac{(1 - \gamma^2z^4)}{1 + \beta z^2 + \gamma z^4} dt^2 + \left( 1 + \beta z^2 + \gamma z^4 \right) d\Omega_k^2 \right]. \tag{6} \]

The coordinates \((\tau, z)\) are closely related to the isotropic coordinates that are often employed for the study of the Schwarzschild geometry. The isotropic coordinates do not span the full space. They cover the two regions of the Kruskal-Szekeres plane that are located outside the horizons. The same happens for the coordinates \((\tau, z)\) in the case of the AdS-Schwarzchild geometry. As can be deduced easily from eq. (4), the coordinate \(z\) corresponds to values of \(r\) that satisfy \(f(r) \geq 0\). On the other hand, eq. (5) indicates that the region \((r_e, \infty)\) of \(r\) is covered twice by the coordinate \(z\) taking values in \((0, \infty)\). For fixed coordinate time \(t\), the metric describes the Einstein-Rosen bridge connecting two asymptotically flat regions [16].

The Hawking temperature \(T\) of the black hole [14] can be determined from the metric (6). The partition function of a static thermalized system can be obtained if we switch to Euclidean time and assume that the time direction is periodic with period \(1/T\). For arbitrary \(T\), the resulting metric possesses a conical singularity at the location of the horizon \(z_e = \gamma^{-1/4}\). This can be eliminated for a specific value of \(T\), which is identified with the natural temperature of the black hole. Expanding \(z\) around \(z_e\), we find that the conical singularity disappears for \(T\) given by
\[ T = \frac{1}{\sqrt{2\pi}} \left( \frac{k^2 + 4\mu}{(k^2 + 4\mu)^{1/2} - k} \right)^{1/2}, \tag{7} \]
in agreement with the first of eqs. (3).

The energy and entropy of the black hole can be related to those of the dual CFT on the AdS boundary. For a metric in the form
\[ ds^2 = \frac{1}{z^2} \left[ dz^2 + g_{\mu\nu} dx^\mu dx^\nu \right], \quad g_{\mu\nu} = g_{\mu\nu}^{(0)} + z^2 g_{\mu\nu}^{(2)} + z^4 g_{\mu\nu}^{(4)} + \ldots \tag{8} \]
the stress-energy tensor of the CFT is [17]
\[ T^{\text{CFT}}_{\mu\nu} = \frac{1}{4\pi G_5} \left\{ g^{(4)} - \frac{1}{2} g^{(2)} g^{(2)} + \frac{1}{4} \text{Tr} \left[ g^{(2)} \right] g^{(2)} - \frac{1}{8} \left( \text{Tr} \left[ g^{(2)} \right] \right)^2 - \text{Tr} \left[ g^{(2)} g^{(2)} \right] \right\}_{\mu\nu}, \tag{9} \]
with indices lowered and raised by \(g^{(0)}\) and its inverse. Applying this general expression to our metric (6), we obtain the energy density and pressure, respectively,
\[ T^{\text{CFT}}_{tt} = 3T^{\text{CFT}}_{ii} = \frac{3 (k^2 + 4\mu)}{64 \pi G_5}, \tag{10} \]
on a four-dimensional space with Minkowski metric \(g^{(0)}_{\mu\nu}\). The total energy \(E = T^{\text{CFT}}_{tt} V_k\) is larger than the mass of the black hole (eq. (3)) by a constant (Casimir energy) in the case of a curved horizon \((k \neq 0)\). The two quantities agree for flat horizons \((k = 0)\).

In an intuitive derivation of the entropy, we consider an infinitesimal variation of the parameter \(\mu\) of the metric (6). The volume \(V_k\) of the boundary is not affected. The variation of \(\mu\) generates a variation of the internal energy \(E\) of the system that can be attributed to a change of its entropy \(S\). Assuming that the process takes place sufficiently slowly, the variations of \(E\) and \(S\) obey \(dE = TdS\). The integration with respect to \(\mu\) gives
\[ S = \frac{V_k}{4G_5} r_e^3, \tag{11} \]
As expected, the entropy is proportional to the surface of the event horizon. It must be pointed out that the above determination of the entropy takes into account only the degrees of freedom that are directly related to the thermalized CFT. The variation of $E$ with respect to $\mu$ eliminates the contribution from the Casimir energy.

3. FLRW boundary

We are interested in generalizing the previous discussion to the case of a time-dependent boundary. If we assume that the spatial part is maximally symmetric, the only allowed possibility is a boundary with the form of a FLRW spacetime

$$ds^2 = g^{(0)}_{\mu\nu} dx^\mu dx^\nu = -d\tau^2 + a^2(\tau)d\Omega^2_k.$$  \hfill (12)

In order to apply holographic renormalization, we choose a different foliation away from the black hole, consisting of hypersurfaces whose metric is asymptotically of the form (12). To achieve this we need to make a change of coordinates $(t, r) \rightarrow (\tau, z)$ and bring the black-hole metric (2) to the form

$$ds^2 = \frac{1}{z^2} \left[ dz^2 - \mathcal{N}^2(\tau, z)d\tau^2 + A^2(\tau, z)d\Omega^2_k \right],$$  \hfill (13)

where $\mathcal{N}, A \geq 0$ and $\mathcal{N}(\tau, z) \rightarrow 1$, $A(\tau, z) \rightarrow a(\tau)$ as we approach the boundary $z = 0$. Comparison with the static case (eq. (5)) suggests the ansatz

$$A^2 = \alpha(\tau) + \beta(\tau)z^2 + \gamma(\tau)z^4,$$  \hfill (14)

where $\alpha(\tau), \beta(\tau), \gamma(\tau)$ are functions to be determined. The solution of the Einstein equations gives [1]

$$\mathcal{N} = \frac{A}{a}, \quad \alpha = a^2, \quad \beta = -\frac{a^2 + k}{2}, \quad \gamma = \frac{(\dot{a}^2 + k)^2 + 4\mu}{16a^2},$$  \hfill (15)

where we fixed the integration constants by comparing with the static case (eq. (5)).

The derivation of the metric (13) has been achieved without reference to the static AdS-Schwarzschild metric of eq. (2). The two metrics can be shown to agree through a coordinate transformation. We do not need explicit expressions for the functions $t(\tau, z), r(\tau, z)$, because we base our discussion on the metric in the $(\tau, z)$ coordinates (eqs. (13) - (15)). However, it must be emphasized that the coordinate transformation is singular at the location of the event horizon, where $f(r_e) = 0$.

The coordinates $(\tau, z)$, in terms of which the AdS-Schwarzchild metric takes the time-dependent form (13), are a generalization of the isotropic coordinates we considered in the previous section. This is obvious, as eq. (13) reduces to eq. (6) for $a = 1, \dot{a} = \ddot{a} = 0$. The difference with the static case is that now the coordinate $z$ spans a larger part of the Schwarzschild geometry. It can be shown [2] that

$$(r')^2 = \frac{\dot{a}^2 + f(r)}{z^2}.$$  \hfill (16)

We denote partial derivatives with respect to $z$ by a prime, and partial derivatives with respect to $\tau$ by a dot. For a time-dependent boundary, $\partial r / \partial z$ does not vanish at the location of the event horizon $r_e$. Instead, for a given value of $\tau$, it vanishes at

$$z_m^2(\tau) = \frac{4a^2(\tau)}{((\dot{a}^2 + k)^2 + 4\mu)^{1/2}}.$$  \hfill (17)
This value corresponds to some (in general time-dependent) \(r_m\) smaller than \(r_e\). As a result, the new coordinate \(z\) spans a patch that reaches behind the event horizon. The region \((r_m, \infty)\) of \(r\) is covered twice by the coordinate \(z\) taking values in \((0, \infty)\). Similarly to the static case discussed in section 2, for constant \(\tau\) we have a Einstein-Rosen bridge connecting two asymptotically flat regions. The difference is that the minimal distance from the singularity is smaller than the horizon distance in this case. We emphasize the established fact that incoming photons, emanating from one of the flat regions, cannot cross the bridge and emerge in the other flat region. They always fall into the singularity [16].

A surface that will be important in the following is defined by the function \(z_a(\tau)\) that satisfies \(N(\tau, z_a(\tau)) = 0\). For \(\mu \neq 0\), \(z_a(\tau)\) is given by the smallest root of

\[
1 - \frac{\dot{a}}{2a} z_\mu^2 + \frac{(\dot{a}^2 + k)(2a\ddot{a} - \dot{a}^2 - k) - 4\mu}{16a^2} z_\mu^4 = 0,
\]

which is

\[
z_a^2(\tau) = \frac{4a^2(\tau)}{a\ddot{a} + (\dot{a}^2 - a\dot{a} + k)^2 + 4\mu}^{1/2}.
\]

Again, \(z_a(\tau)\) corresponds to values \(r_a\) smaller than \(r_e\).

An interesting example is obtained for \(a(\tau) = \lambda \tau\). It is analogous to the Bjorken flow, but with isotropic expansion. We find constant values

\[
r_m^2 = r_a^2 = \frac{1}{2} \left[ -\tilde{k} + \left( \tilde{k}^2 + 4\mu \right)^{1/2} \right],
\]

where \(\tilde{k} = k + \lambda^2\). On the other hand, the event horizon has

\[
r_e^2 = \frac{1}{2} \left[ -\tilde{k} + \left( \tilde{k}^2 + 4\mu \right)^{1/2} \right].
\]

It can be checked that \(r_m = r_a \leq r_e\). For \(\lambda = 0\) all three surfaces defined by \(r_m\), \(r_a\) and \(r_e\) coincide.

We would like to determine the horizons of the metric (13). An apparent horizon is defined as the boundary of the region of trapped surfaces. This boundary is a surface on which the expansion of outgoing null geodesics vanishes. The out/incoming null geodesics obey \((dz(\tau)/d\tau)_\pm = \mp N(\tau, z)\) and define a surface of areal radius \(A(\tau, z(\tau))/z(\tau) = r(\tau)\). The growth of the volume of this surface is proportional to the total time derivative of \(r\) along the light path, i.e. to

\[
\frac{dr}{d\tau} \pm = \dot{r} + r' \left( \frac{dz}{d\tau} \right)_\pm = N' \left( \frac{\dot{a}}{z} \mp r' \right),
\]

with \(r'\) given by eq. (16). The product \((dr/d\tau)_+(dr/d\tau)_- = -N^2f(r)/z^2\), which is expected to be invariant under relabelling of the scalars that define null hypersurfaces [9], vanishes on two surfaces. One of them is the event horizon, located at \(r = r_e\), with \(f(r_e) = 0\). The other is the surface parametrized by \(z_a(\tau)\), for which \(N = 0\).

The outgoing geodesics have positive expansion at the location of the event horizon of the metric (2), where \(f(r_e) = 0\) and \(r' = -\dot{a}/z\). This surface is parametrized now by a function \(z_e(\tau)\). On the other hand, the ingoing geodesics have zero expansion there. This behavior is identical to that encountered for the standard four-dimensional Schwarzschild metric in outgoing Eddington-Finkelstein coordinates. The outgoing null geodesics are well-behaved on the horizon, while the horizon is made up from world lines of ingoing photons [16]. It is worth noticing that the situation is reversed for contracting boundary metrics with \(\dot{a} < 0\). In this case the
event horizon is formed by outgoing photons, while the incoming ones are well-behaved on it. This is identical to what happens in the four-dimensional Schwarzschild geometry in ingoing Eddington-Finkelstein coordinates. Of course, there is nothing mysterious behind this behavior. It originates in the transformation from \((t, r)\) to \((\tau, z)\), which is singular on the horizon. It would take an infinite time for any geodesic to cross the horizon.

The expansion of all null geodesics also vanishes on the surface parametrized by \(z_a(\tau)\), for which \(\mathcal{N} = 0\). The nature of this surface is strongly linked to the evolving Einstein-Rosen bridge appearing in the system of coordinates we are employing [2]. The fact that no information can be retrieved from behind this surface means that it could define the boundary of trapped surfaces, and can be characterized as an apparent horizon. We mentioned earlier that the surface with \(\mathcal{N} = 0\) is located behind the event horizon. This is consistent with the expectation that an apparent horizon can exist only behind an event horizon [18, 19]. The fact that the apparent horizon is located at a smaller areal distance than \(r_e\) has another implication: If the entropy in a dynamical situation is related to the area of the apparent horizon, then it is smaller than the maximal entropy of a black hole, given by the area of the event horizon.

We emphasize that we do not derive the entropy of the CFT by identifying it with the area of one of the horizons we discussed. Our approach is to compute the entropy directly from the evolution of the apparent horizon and the temperature.

4. Temperature

A thermalized system fluctuates at the microscopic level with a characteristic time scale of order \(1/T\), where \(T\) is its temperature. For strongly coupled theories, like the ones we are considering, this scale determines the interaction rates that help maintain the system thermalized. At the macroscopic level, the system, including its temperature, may evolve with a different, much longer, characteristic time scale. A typical example is the Universe at early epochs, during which several of its energy components were thermalized. For the problem we are considering, a Hawking temperature \(T\) can be assigned to the AdS-Schwarzschild solution with a time-dependent boundary in the limit that the variation of the scale factor \(a(\tau)\) is negligible at time intervals of order \(1/T\). This requires \(T \gg \dot{a}/a\).

The calculation of the temperature in section 2 for the static case can be repeated by approximating \(a(\tau)\) and its time derivatives as constant. A conical singularity appears again, but now it is located at the position of the apparent horizon \(z_a(\tau)\). Eliminating the singularity in Euclidean space around the apparent horizon for \(\mu \neq 0\) gives

\[
T(\tau) = \frac{1}{2\pi} \left| -4a^3 \ddot{z}_a + \left( (\ddot{a}^2 + k) \left( 2a\ddot{a} - \dot{a}^2 - k \right) - 4\mu \right) \dot{z}_a^3 \right| \frac{1}{4a^3 \mathcal{A}_a} = \frac{1}{2\pi} \left| \frac{4a - \ddot{a} \dot{z}_a^2}{\mathcal{A}_a \dot{z}_a} \right|, \tag{23}
\]

where \(\mathcal{A}_a = \mathcal{A}(\tau, z_a)\) and we have used eq. (18). For constant \(a = 1\) the apparent and event horizons coincide, and eq. (23) reproduces the Hawking temperature in the static case, given by eq. (7). For time-dependent \(a\) the evolution of the apparent horizon and the temperature depend on the nature of the expansion.

The simplest case is analogous to the Bjorken flow, but for an isotropic expansion. The scale factor is \(a(\tau) = \lambda \tau\) with \(\lambda\) constant. The apparent horizon is defined by \(\dot{z}_a^2 = 4a^2/\left(\tilde{k}^2 + 4\mu\right)^{1/2}\), where \(\tilde{k} = k + \lambda^2\), or equivalently by eq. (20).

The temperature is given by

\[
T = \frac{1}{\sqrt{2} \pi a} \left( \frac{\tilde{k}^2 + 4\mu}{\left(\tilde{k}^2 + 4\mu\right)^{1/2} - \tilde{k}} \right)^{1/2}, \tag{24}
\]
Its time evolution is equivalent to a redshift by the scale factor $a(\tau)$. However, the proportionality constant is not just the temperature in the static case, given by eq. (7). The two expressions differ by the change of the effective curvature $k \rightarrow \tilde{k} = k + \lambda^2$. This modification is natural as the total curvature of the boundary metric is proportional to $\tilde{k}$ for $a = \lambda \tau$. For sufficiently large $\lambda$ we have $\dot{\tilde{k}} > 0$ for any value of $k$. For this reason we expect behavior similar to that of a CFT on a sphere. A first consequence is that the temperature diverges for $\lambda^4 \gg \mu$. This is completely analogous to the divergence of the temperature for a static background with $k = 1$ and $\mu \rightarrow 0$.

The influence of the curvature resulting from the expansion on the temperature is an important physical effect. The expansion does not result in a simple redshift of the temperature, but induces additional corrections. These are reflected on the entropy as well.

For a general $a(\tau)$ the evolution is more complicated. Of particular interest are the cosmological spaces with $a = \tau^\nu$ and constant $\nu$ for large $\tau$. We concentrate on the case $k = 0$. The cases with $k = \pm 1$ can be studied along similar lines. For $0 < \nu < 1$ the expansion is decelerating and for $\tau \rightarrow \infty$ we always have $\dot{\tilde{a}}^4 \ll \mu$. When this happens, the curvature of the boundary geometry becomes negligible compared to the scale set by the thermal energy of the CFT. In the same limit the apparent horizon approaches the event horizon. The temperature approaches that of the static case, redshifted by the scale factor.

For $\nu > 1$ the expansion is accelerating and at late times we have $\dot{\tilde{a}}^4 \gg \mu$. The apparent horizon deviates strongly from the event horizon and $r_a$ eventually approaches zero. For example, for $\nu = 2$ (constant $\ddot{\tilde{a}}$) the leading behavior is $r_a = 2 \sqrt{\nu}/(3 \sqrt{\tau})$. The product $T a$ diverges asymptotically for $\tau \rightarrow \infty$. We discussed the origin of this behavior in the case $a = \lambda \tau$ with $\lambda \rightarrow \infty$: The regime $\dot{\tilde{a}}^4 \gg \mu$ is equivalent to the $\mu \rightarrow 0$ limit for the static case with $k = 1$. For $\nu > 1$ the solution always approaches this regime at late times, which leads to the asymptotic divergence of $T a$. For $1 < \nu < 3/2$ the temperature falls with a power of the scale factor smaller than 1. For $\nu = 3/2$ we have asymptotically a constant temperature $T = 9/(8\pi \sqrt{\tau})$. Finally, for $\nu > 3/2$ the temperature grows with time and diverges asymptotically.

In summary, apart from the rescaling by $a$, there are two qualitatively different types of evolution. For $\nu < 1$ the CFT corresponds to a black hole with a mass that grows relative to the scale of the curvature induced by the expansion. For $\nu > 1$ the effective mass of the black hole seems to diminish and eventually vanish for $\tau \rightarrow \infty$. It will be clearer in the following that the two quantities that characterize the different types of evolution are the Casimir and the thermal energy of the CFT. For $\nu < 1$ the Casimir energy becomes negligible at late times, while for $\nu > 1$ it dominates over the thermal energy.

One should keep in mind that the small-mass AdS-Schwazschild solution in the static case is unstable [14]. The large-mass solution with the same temperature is dual to the deconfined phase of the CFT. It seems reasonable to interpret the black-hole configuration with an accelerating boundary as dual to a CFT in the deconfined phase on an accelerating FLRW background geometry. The fact that for such a configuration the black-hole mass diminishes with time relative to the curvature makes it likely that the corresponding CFT cannot exist in a stable phase. In the following we find more indications of this problematic behavior.

The case $a = \exp(H \tau), k = 0$ corresponds to a de Sitter (dS) boundary. For $\mu \neq 0$ and large $\tau$ we find that the temperature quickly approaches the value $T = H/\sqrt{2 \pi}$, which differs from the standard temperature of dS space by a factor $\sqrt{2}$. This means that, even though $\mu^0/ a^4$ becomes negligible relative to $(\dot{a}/a)^4 = H^4$, the temperature of the CFT does not approach the dS temperature. The discrepancy indicates that the configuration with $\mu \neq 0$ on a background with $a = \exp(H \tau)$ cannot evolve continuously to pure dS space. This conclusion is consistent with our earlier argument that a deconfined CFT on an accelerating background is not in a stable phase.

A crucial observation is that the limits $\mu \rightarrow 0$ and $\tau \rightarrow \infty$ are not equivalent for a dS
Further insight can be obtained if we set $a = \exp(H \tau)$, $k = 0$, $\mu = 0$ directly in the metric (13). In this way we find $N(\tau, z) = 1 - H^2 z^2 / 4$. For the determination of the temperature we can follow the same procedure as before. Despite the absence of a black hole, a conical singularity still exists at $z_a = 2 / H$ for periodic Euclidean time. The location of the singularity is $\tau$-independent for a dS boundary. As a result, we need not make any assumptions about the relative size of $T$ and $H$. The singularity can be eliminated for an appropriate value of the temperature. We find $T = H / (2 \pi)$. It is remarkable that the correct temperature of four-dimensional dS space can be reproduced through five-dimensional AdS space, when the coordinate frame defined by eq. (13) is used.

5. Stress-energy tensor and cosmological evolution on the boundary

The stress-energy tensor of the dual CFT on the cosmological boundary (12) is determined via holographic renormalization (eq. (9)). We obtain the energy density and pressure [1], respectively,

$$\langle (T^{(CFT)})_{\tau\tau} \rangle = \frac{3}{64 \pi G_5} \frac{(\dot{a}^2 + k)^2 + 4\mu}{a^4}$$

$$\langle (T^{(CFT)})_{i\bar{i}} \rangle = \frac{(\dot{a}^2 + k)^2 + 4\mu - 4a\ddot{a}(\dot{a}^2 + k)}{64 \pi G_5 a^4},$$

where no summation over $i$ is implied. We deduce the expected conformal anomaly

$$g^{(0)}_{\mu\nu} \langle T^{(CFT)}_{\mu\nu} \rangle = - \frac{3\ddot{a}(\dot{a}^2 + k)}{16 \pi G_5 a^3}. \quad (27)$$

The above results can also be derived directly from the standard expressions for the energy and pressure of a gauge-theory plasma in Minkowski space through an entirely four-dimensional calculation. To this end, we observe that the Robertson-Walker metric (12) is conformally equivalent to the flat Minkowski metric. The vacuum expectation values (25), (26) are calculated in the conformal vacuum. We may instead calculate the VEV in the Minkowski vacuum, in which case we obtain the static plasma result. The two VEVs are related through [20]

$$\langle T^{(CFT)}_{\mu\nu} \rangle |_{RW} = \frac{1}{a^4} \langle T^{(CFT)}_{\mu\nu} \rangle |_{Minkowski} + a H^{(1)}_{\mu\nu} + b H^{(3)}_{\mu\nu}, \quad (28)$$

with

$$H^{(1)}_{\mu\nu} = 2(\nabla_{\mu} \nabla_{\nu} - g^{(0)}_{\mu\nu} \nabla^2) R - \frac{1}{2} g^{(0)}_{\mu\sigma} R^2 + 2 R R_{\mu\nu}$$

$$H^{(3)}_{\mu\nu} = \frac{1}{12} R^2 g^{\nu}_{\sigma} R_{\rho\mu\sigma} \rho^{\nu}. \quad (29)$$

The curvature tensor $R_{\mu\nu\rho\sigma}$ is derived from the Robertson-Walker metric $g^{(0)}_{\mu\nu}$ of eq. (12). The coefficients $a$ and $b$ are related to the conformal anomaly and depend on the field content of the CFT. For a theory with $n_B$ spin-0 bosons, $n_F$ fermions and $n_V$ vector fields, we have

$$a = - \frac{n_B + 3n_F - 18n_V}{1080(4\pi)^2}, \quad b = \frac{n_B + 11}{2} n_F + 62n_V}{180(4\pi)^2}.$$

For the $N = 4$ SU($N$) super-Yang-Mills theory in the large-$N$ limit, we have $n_B = 6N^2$, $n_F = 4N^2$ and $n_V = N^2$. Therefore

$$a = 0, \quad b = \frac{N^2}{32\pi^2}. \quad (31)$$
After some algebra, eq. (28) is seen to agree with (25), (26), with $G_5 \sim N^{-2}$.

In the standard formulation of the AdS/CFT correspondence, one does not consider the boundary metric as being dynamical. Instead, one takes the form of $a(\tau)$ as an input and investigates the properties of the CFT on such a background. The boundary geometry can be made dynamical if one introduces an Einstein term for the boundary metric, with a four-dimensional Newton’s constant $G_4$, and employs mixed boundary conditions [13].

The Einstein equations (1) are obtained by varying the bulk action $I_M$. This is a five-dimensional Einstein-Hilbert action on $M$ with a cosmological term. It also includes a Gibbons-Hawking boundary term, as well as boundary counterterms needed to render the system finite. In addition to the black hole solution in the bulk, the variation of the action yields a boundary term

$$\delta I_M = \frac{1}{2} \int_{\partial M} d^4 x \sqrt{- \det g^{(0)}} T^{(CFT)} \delta g^{(0)\mu\nu}, \quad (32)$$

where $g^{(0)}_{\mu\nu} (\mu, \nu = 0, 1, 2, 3)$ is the boundary metric and $T^{(CFT)}_{\mu\nu}$ the stress-energy tensor of the dual conformal field theory (CFT) on the AdS boundary $\partial M$.

If one adopts Dirichlet boundary conditions that fix $g^{(0)}_{\mu\nu}$, the additional term (32) vanishes. If we are interested in keeping $g^{(0)}_{\mu\nu}$ dynamical, we can follow [13] and adopt mixed boundary conditions instead. To define them, we introduce a boundary action consisting of two terms,

$$I_{\partial M} = I_{\partial M}^{(EH)} + I_{\partial M}^{(matter)} \quad (33)$$

The first term is the Einstein-Hilbert action in four dimensions

$$I_{\partial M}^{(EH)} = -\frac{1}{16\pi G_4} \int_{\partial M} d^4 x \sqrt{- \det g^{(0)}} (R - 2\Lambda_4), \quad (34)$$

where $G_4$ is Newton’s constant in the four-dimensional boundary and $R$ the four-dimensional Ricci scalar (constructed from $g^{(0)}_{\mu\nu}$). The second term is an unspecified action for matter fields,

$$I_{\partial M}^{(matter)} = \int_{\partial M} d^4 x \sqrt{- \det g^{(0)}} \mathcal{L}^{(matter)} \quad (35)$$

The matter fields reside on the boundary and have no bulk duals.

To the variation of the bulk action (32) we must now add the variation of the new boundary action $\delta I_{\partial M}$, given by (33), under a change in the boundary metric. Demanding that the sum vanish

$$\delta I_M + \delta I_{\partial M} = 0 \quad (36)$$

leads to two possibilities: (a) Dirichlet boundary conditions, i.e. fixed $g^{(0)}_{\mu\nu}$, or (b) mixed boundary conditions:

$$R_{\mu\nu} - \frac{1}{2} g^{(0)\mu\nu} R - \Lambda_4 g^{(0)\mu\nu} = 8\pi G_4 \left( T^{(CFT)}_{\mu\nu} + T^{(matter)}_{\mu\nu} \right) \quad (37)$$

The latter are nothing but the Einstein field equations in four dimensions. Moreover, the variation of the boundary matter action (35) under a change in the matter fields leads to the standard four-dimensional matter field equations.

In [13] it was shown that a general form of $I_{\partial M}[g^{(0)}]$ leads to a sensible theory with normalizable metric fluctuations. Matter fields were not considered. However, the framework may be extended to include boundary matter fields. If one integrates over them in the path integral, an effective action is obtained as a functional of the boundary metric $g^{(0)}$. For this effective action, the discussion in [13] is applicable.
We can now integrate eq. (39) in order to determine the CFT entropy $S$ evolution [1, 21].

$$\left(\frac{\dot{a}}{a} \right)^2 + \frac{k}{a^2} - \frac{\Lambda_4}{3} = \frac{8\pi G_4}{3} \left\{ \frac{1}{16\pi G_5} \left[ \frac{(\dot{a}^2 + k)^2}{a^4} + \frac{4\mu}{a^4} \right] + \rho \right\},$$

where $\rho = T^{(\text{matter})\,00}$ is the energy density of four-dimensional “ordinary” matter (without a bulk dual). This equation has the expected form, reflecting the conformal anomaly and the presence of a radiative energy component whose energy density scales $\sim a^{-4}$. The Casimir energy density is $\sim (\dot{a}^2 + k)^2/a^4$. For $a^4 \gg \mu$ it becomes comparable to or dominates over the thermal energy $\sim \mu/a^4$ of the CFT.

6. Entropy

We would like to derive the entropy of the CFT in analogy to the static case. For this we follow a generalization of the intuitive approach of section 2. The entropy now depends on the parameter $\mu$ and the time $\tau$ through the scale factor $a(\tau)$ and its derivatives. We consider an infinitesimal adiabatic variation of $\mu$ that takes place within a time interval that is sufficiently small for the evolution of $a(\tau)$ to be negligible. In contrast to the determination of the temperature, the required time for the variation can be made arbitrarily small by sending $d\mu \to 0$. The fundamental relation $dE + pdV = TdS$ can be employed for the determination of the entropy. The volume $a^3V_k$ of the boundary remains constant, while the temperature is given by eq. (23). The location of the apparent horizon satisfies

$$dz_a = -\frac{z_a^5}{4a^3(4a - \ddot{a}z_a^2)}d\mu = -\frac{z_a^4}{8\pi a^3A_a} \frac{d\mu}{T} = -\frac{2G_5}{3V_k a^2 A_a} dS,$$

where we have employed eqs. (18), (23), (25) and $dE/T = dS$. Making use of eq. (18), we can express $A_a$ as a function of $z_a$ only, with implicit dependence on $\mu$. It is given by

$$A_a(z_a) = \left( 2a^2 - \frac{\dot{a}^2 + k + a\ddot{a}}{2} z_a^2 + \frac{(\dot{a}^2 + k)a}{8a} z_a^4 \right)^{1/2}.$$

We can now integrate eq. (39) in order to determine the CFT entropy $S$ as a function of the location of the apparent horizon $z_a$. We obtain

$$S = \frac{V_k}{4G_5} \left( \frac{A_a}{z_a} \right)^3 - \frac{3V_k}{32 G_5} \left( \frac{\dot{a}^2 + k}{a} \right) \frac{\dot{a}}{a} \int_{z_0}^{z_a} A_a(z) dz + F(a, \dot{a}, \ddot{a}),$$

with $A_a(z)$ defined in eq. (40). The expression can be checked through simple differentiation. We emphasize again that $\tau$ is kept constant during these manipulations.

The constant of integration in the above expression is an arbitrary function of $a(\tau)$ and its derivatives. It appears because we have determined the CFT entropy by considering its variation with respect to $\mu$. The form of $F(a, \dot{a}, \ddot{a})$ can be fixed if we define the value of the entropy for a specific value of $\mu$. We postulate that the CFT entropy vanishes for $\mu \to 0$. This is consistent with the fact that the thermal energy of the CFT (after the Casimir energy has been subtracted from $(T^{(\text{CFT})})_{\tau\tau}$ in eq. (25)) vanishes in this limit.

The first term in eq. (41) describes a contribution that is proportional to the area of the apparent horizon. This is time dependent for a general cosmological background. The integral in the second term can be expressed in terms of incomplete elliptic integrals. Unfortunately, the resulting expression is not very illuminating. For this reason, it is more instructive to consider specific cases, as we did for the temperature.
The expression for the entropy becomes transparent for \( a(\tau) = \lambda \tau \). In this case we have \( \ddot{a} = 0 \), and eq. (41) becomes
\[
S = \frac{V_k}{4G_5} \left( \frac{A_\tau}{2a} \right)^3 = \frac{V_k}{4G_5} r_a^3,
\]
where the areal distance of the apparent horizon \( r_a \) is constant, given by eq. (20). We have set \( F = 0 \) in order to obtain consistency with eq. (11) for \( \lambda = 0 \). The total entropy is proportional to the constant area of the apparent horizon. The entropy density \( s = S / (a^3 V_k) \) scales as \( a^{-3} \).

This particular example is the one closest to the Bjorken flow. The scale factor is linear in time, even though the expansion is isotropic. We find strong similarity with the conclusions of [12]. There is an apparent horizon that is distinct from the event horizon. It is the former that is relevant for the definition of the entropy of the dual CFT. In our example we were able to calculate the entropy and show that it is equal to the area of the apparent horizon, instead of postulating this fact.

A class of boundary geometries with relevance for cosmology are the spaces with \( a = \tau^n \) and constant \( \nu \) for large \( \tau \). We concentrate on the case \( k = 0 \). The cases with \( k = \pm 1 \) can be treated along similar lines. The resulting entropy displays different behavior depending on whether \( \nu < 1 \) or \( \nu > 1 \).

For \( \nu < 1 \) the expansion is decelerating (\( \ddot{a} < 0 \)). The total entropy is proportional to the area of the apparent horizon. It grows with time and asymptotically approaches its maximum value, proportional to the area of the event horizon. The entropy density scales with an additional factor \( a^{-3} \) relative to the total entropy. We have seen that the temperature has similar behavior: It receives corrections related to the curvature of the boundary at early times. These become negligible at late times and the temperature becomes equal to the temperature of the static case, given by eq. (7), redshifted by a factor of \( a \).

For \( \nu > 1 \) the expansion is accelerating (\( \ddot{a} > 0 \)). The total entropy falls off with time and asymptotically approaches zero for \( \tau \rightarrow \infty \). To leading order, the entropy is again proportional to the apparent horizon, even though the proportionality constant depends on \( \nu \). For an accelerating boundary, the areal distance of the apparent horizon asymptotically shrinks to zero for large \( \tau \).

This behavior is consistent with the evolution of the temperature that we discussed earlier. For \( \nu < 1 \), the CFT corresponds to a black hole with a growing effective mass that asymptotically reaches a constant value equal to \( \mu \). The entropy also becomes constant asymptotically. For \( \nu > 1 \), the effective mass of the black hole decreases and vanishes asymptotically, along with its entropy.

For the interpretation of the above results, one should keep in mind that the small-mass AdS-Schwarschild solution in the static case is unstable [14]. This fact and the conclusion that the total entropy decreases with time indicate strongly that there is a pathology in the case of the CFT on an accelerating background. On a static background, the large-mass AdS black hole is dual to the deconfined phase of the CFT [4]. It seems reasonable to speculate that the configuration with decreasing entropy on an accelerating background is again a thermalized CFT in the deconfined phase. Our findings indicate that this phase is probably unphysical and cannot exist in quasi-thermal equilibrium. A possible interpretation is that it is unstable towards the confined phase on the same background.

The above explain why taking the limit \( \mu \rightarrow 0 \) does not reproduce the correct temperature in the case of a dS boundary. The state corresponding to \( \mu \neq 0 \) in this background is not connected continuously to the pure dS space.

It would be very interesting to calculate the intrinsic entropy of the dS space-time along similar lines. However, the boundary geometry is introduced by hand in the standard holographic approach that we have followed in our derivation. This means that we have no dynamical information on the energy density and pressure that generate the boundary geometry. Even
though the dS temperature can be computed from the five-dimensional geometry, we do not have sufficient information for the calculation of the entropy.

7. Summary
We have reviewed the calculation of the temperature and entropy of CFT on a FLRW background performed in ref. [2] through use of the AdS/CFT correspondence. Instead of conjecturing that the entropy is proportional to the area of a horizon of the relevant geometry, we computed it using the energy density and temperature. The relevant gravitational configuration is the five-dimensional AdS-Schwarzschild solution, expressed in appropriate Fefferman-Graham coordinates, so that the boundary metric is of the FLRW type with a scale factor $a(\tau)$ [1]. The stress-energy tensor can be computed in a straightforward manner. It reflects correctly the Casimir energy and the conformal anomaly on this background.

The CFT temperature can be identified with the temperature of the black hole, as measured by an observer located near the boundary. The Schwarzschild radial coordinate $r$ is $r = a(\tau)/z$ for a small Fefferman-Graham coordinate $z$. The five-velocity of an observer located at a fixed small distance $z$ from the boundary is $(z/a, \dot{a}, \vec{0})$ in Schwarzschild coordinates. The boundary observer moves away from the black hole for an expanding FLRW metric. It is natural that he/she would see a temperature redshifted by the scale factor $a$ that determines the velocity. Moreover, if the expansion velocity $\dot{a}$ is not constant, further corrections to the measured temperature are expected because of the Unruh effect [22].

The analysis of ref. [2] realizes all the above expectations and permits the determination of the corrections to the temperature. The black-hole temperature, even in the static case, has a dependence on the curvature of the boundary (which is proportional to that of the horizon for a given slicing). In the time-dependent situation, the total curvature of the boundary (or more precisely the Casimir energy), becomes the relevant quantity for the determination of the temperature. This definition of the temperature can reproduce correctly the temperature of dS space, if we employ an appropriate slicing of the five-dimensional AdS space and assume that the black-hole mass vanishes.

When the corrections to the temperature are taken into account, the total entropy cannot be identified with the area of the event horizon. Instead, a new surface appears, on which the expansion of outgoing null geodesics vanishes. The interpretation we suggested is that it represents a local apparent horizon. This is consistent with what has been found for the Bjorken-flow geometry [9, 11, 12].

The entropy of the CFT can be computed starting from the temperature and the energy density of the CFT, which are known. We found three distinct types of behavior.

- For a decelerating expansion of the FLRW background ($\ddot{a} < 0$) the total entropy at late times is proportional to the area of the apparent horizon, with the standard proportionality constant. The entropy is always smaller than the maximal entropy of the black hole, which is proportional to the area of the event horizon. The reason is that the apparent horizon is always located behind the event horizon, and therefore has a smaller area. As the rate of expansion decreases, the apparent horizon approaches the event horizon, while its area increases. Asymptotically, the two become identical. In this limit, the temperature and entropy density scale with simple powers of the scale factor $a$.

- For an accelerating expansion ($\ddot{a} > 0$) the CFT entropy decreases with time. This is a sign that the corresponding configuration is unphysical. Another indication is that the mass of the black hole relative to the boundary curvature decreases. Small-mass AdS black holes are known to be unstable [14]. One possible interpretation is that this configuration corresponds to a high-temperature CFT in the deconfined phase on an accelerating background. One may speculate that this phase cannot be realized in quasi-thermal equilibrium on an
accelerating background, because it is unstable, probably towards the confined phase on the same background.

• In the case with zero acceleration ($\ddot{a} = 0$) the boundary curvature is proportional to a constant factor $\sim (\dot{a}^2 + k)$. This results in a constant entropy, which is smaller than the area of the event horizon. In completely analogous fashion, the temperature has the simple scaling $\sim 1/a$, but the proportionality constant is different from the temperature of the static case. This case is the isotropic analogue of the Bjorken-flow geometry.

These results are consistent with the notion that the apparent horizon is the relevant entity for the determination of the entropy in time-dependent situations. The teleological character of the event horizon makes it appropriate only for static situations, in which there is no macroscopic time evolution.

We have also summarized the construction of ref. [1], where it is shown how the equations of cosmological evolution can be obtained in the context of the AdS/CFT correspondence. Two essential elements are necessary: a) the choice of appropriate boundary conditions, so that the boundary metric becomes dynamical, and b) the derivation of the gravity solution in terms of coordinates such that the boundary metric has the FLRW form. This procedure correctly reproduces the expected four-dimensional cosmological behavior.

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