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Automatic summarization of medical conversations, a review

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ABSTRACT

Conversational analysis plays an important role in the development of simulation devices for the training of health professionals (doctors, nurses). Our goal is to develop an original automatic synthesis method for medical conversations between a patient and a healthcare professional, based on recent advances in summarization using convolutional and recurrent neural networks. The proposed method must be adapted to the specific problems related to the synthesis of dialogues. This article presents a review of the different methods for extractive and abstractive summarization, and for dialogue analysis. We also describe the use of Natural Language Processing in the medical field.

KEYWORDS: Automatic summarization, medical domain, dialogues, review.

1 Introduction

Spoken medical dialogues are common and useful among doctors, nurses and patients. Automatically gathering the information of these dialogues is relevant for the medical practitioners and patients. For instance, the doctor can generate a medical history without omitting information, and a patient can review the diagnostic and the treatment later in time. However, none of them want a full record or transcript all dialogues. Besides, doctors and nurses have many interactions with different patients all day long, so it wouldn’t be productive that they now have to deal with all these records. Accordingly, a challenge for Natural Language Processing (NLP) arises: obtaining automatic summaries from medical conversations.

According to the above, our final goal is to develop an automatic summarization method for medical
conversations between patients and doctors or nurses. However, our work is related to a project in our laboratory implementing a system for conversations between a virtual patient (chatbot) and medicine students (Laleye et al., 2019). In this serious game, the student establishes a conversation with the chatbot to establish its diagnostic about the medical condition of the patient. The proposed method should be adapted to the specific problems of summarizing dialogues based on recent methods used in automatic summarization, such as recurrent neural networks. The hypothesis is that pertinent segments of dialogue might be detected and abstracted for their inclusion in a summary through deep learning. We suppose that, by identifying pertinent blocks of dialogues, it would be easier to detect important clinical issues and discard issues fragments like greetings, acknowledgments, social concerns, etc.

In this paper we review the work related to our goal of summarizing medical conversations. We first describe the two main types of summaries: extractive and abstractive. Extractive methods consist of copying chunks, usually sentences, from the original text. Abstractive methods are able to generate new words and sentences. In the literature there are more extractive approaches since they were easier than abstractive methods, even if the latter are becoming conceivable (See et al., 2017). This paper describes different techniques for each one. Besides, it also reviews the specific mechanisms used in research on dialogue summarization.

The last section is crucial because it describes different approaches and works in NLP in the medical domain. These approaches include medical conversation systems and summarization in the medical domain. We discuss the challenges they represent.

# 2 Methods for summarization

In this section we will mention different types of approaches that researchers have been working along the time to get automatic summaries.

## 2.1 Summarization criteria

In the following lines we will describe two important criteria to summarize text. The first criteria is based on the frequency of the words. The second criteria are focused on the text features to detect the importance of the sentences.

**Frequency criteria** Over the years, several criteria have been developed to generate extractive summaries. One of the most cited in the literature is TF-IDF. TF (Term-Frequency) was proposed by Luhn (1958) and is the frequency of a word in the document. IDF (Inverse Document Frequency) was proposed by Sparck Jones (1972) and attenuates the weight of words that appear in a lot of the documents of the collection and increases the weight of words that occur in a few of them. The first works in summarization were based on $TF – IDF$. For instance, Wang & Cardie (2011) used unsupervised methods like TF-IDF, LDA, topic modeling and supervised clustering to produce a concise abstract of the decisions taken in spoken meetings.
Surface features criteria  An alternative to detect the relevance of a sentence is through features of different kind. Yogan et al. (2016) mentioned the following features: title/headline words, sentence position, sentence length, proper noun and term weight. Chopra et al. (2016) captured the word position in which it occurs in the sentence and its context in which appears in the sentence. Lacson et al. (2006) used the length of dialogue turns to detect important information in a conversation. Nallapati et al. (2016) combined features to get better results.

2.2 Summarization methods

Probabilistic Models  There are also probabilistic models, such as Context Free Grammars and Markov Models (MM). Probabilistic Context Free Grammars (PCFG) is a probabilistic model of syntax for tree structures. Rahman et al. (2001) worked on automatic summarization of Web pages and used PCFG to define syntactic structures, analyze and understand content. Besides, Knight & Marcu (2002) worked on sentence compression and developed a probabilistic noisy-channel model that used PCFG to assign probabilities to a tree. On the other hand, Chen & Withgott (1992) used Markov Model (MM) on speech summarizing. Jing & McKeown (1999) proposed an algorithm based on Hidden Markov Model (HMM) that decomposes human-written summary sentences to determine the relations between the sentences in a summary written by humans and sentences in the original text. Conroy & O’leary (2001) proposed a method for text summarization, which considers three features: the position of the sentence in the document (using Hidden Markov Model), the number of terms in the sentence and the probabilities of the terms.

Optimization methods  On the other hand, other important approach to get summaries from text is based on Integer Linear Program (ILP). Gillick & Favre (2009) used ILP to exact inference under a maximum coverage model in automatic summarization and sentence compression. Mnasri et al. (2017) used the same methods for multidocument update summarization, improving it by taking into semantic similarity and document structure.

Graph-based methods  Mihalcea (2004) used graph-based ranking algorithms to extract the most important sentences from DUC (2002). Unlike Mihalcea (2004), Litvak & Last (2008) used graphs to identify keywords to be used in extractive summarization of text documents.

Machine Learning Approaches  In recent years, researchers have proposed methods based-on machine learning to summarize text. Naive Bayes was used by Kupiec et al. (1995) to chose if a sentence belongs or not to a summary. Recently Ramanujam & Kaliappan (2016) extended the application of the Naive Bayes algorithm to automatic summarization in multi-documents. Aside from Naive Bayes, clustering algorithms have been used by Aliguliyev (2009) and KM & Soumya (2015) to get extractive summaries. Aliguliyev (2009) proposed a method based on sentence clustering, while KM & Soumya (2015) prepared the cluster center using a n-dimensional vector space and the documents similarity is measure by cosine similarity to generate the documents clusters.

Besides, researchers have used Support Vector Machine (SVM). For example, (Schilder & Kondadadi, 2008) work on query-based multi-document summarization and they use SVM to rank all sentences in the topic cluster for summarization. Another algorithm that researchers have been used is genetic algorithms. For instance, Chatterjee et al. (2012) worked on extractive summaries representing the
document as a Direct Acyclic grapth (DAC). They used genetic algorithm to maximize the fitness function and get the summary. After few years, Bossard & Rodrigues (2017) used objective function from generic algorithms to explore summaries space and compute the probability distribution of tokens.

One of the most widely used family of machine learning methods for automatic summarization is Neural Networks (NN). In NLP, the currently most relevant recurrent neural networks are: LSTM (Long Short-Term Memory) and GRU (Gated Recurrent Unit). LSTM has the ability to add or remove information through structures called gates: forget gate, input gate, candidate gate and output gate layer. Meanwhile, GRU is a variant of LSTM and it combines the forget and input gates into a single update gate. LSTM and GRU present some advantages, such as the ability to store long-term dependencies, they avoid the problem of vanishing gradient and they consider the order of the words. In abstractive text summarization, taking into account the order of words is one of the greatest advances because the summaries present more coherence.

Kaikhah (2004) used NN to learn relevant features of sentences and decide if these sentences should be included in the summary, while Sinha et al. (2018) proposed a model based on feed-forward networks for single document summarization. LSTM was used by Cheng & Lapata (2016) and Zhou (2016). Both used an encoder-decoder model. However Cheng & Lapata (2016) worked on extractive summarization of single documents, while Zhou (2016) applied a hierarchical LSTM model for building the sentence representations in abstractive and long summaries. GRUs were used by Nallapati et al. (2017) and more recently by Li et al. (2018). Nallapati et al. (2017) proposed SummaRuNNer (simple recurrent network based sequence classifier) for extractive summarization. Li et al. (2018) extended the basic encoder-decoder model. They added an intermediate layer (select layer) that consists in two parts: gated global information filtering and local sentence selection.

Over the past few years, the number of works in abstractive automatic summarization has increased. One of the first NN approaches to tackle abstractive summarization was the Attention RNN (Recurrent NN). Encoder-Decoder Model which was introduced by Bahdanau et al. (2015). Rush et al. (2015) combine this approach with a generation algorithm for a fully data-driven approach to abstractive sentence summarization. One extension of this work for the same problem was developed by Chopra et al. (2016) but they didn’t use feed-forward neural language model for generation like Rush et al. (2015). They used a convolutional attention-based conditional recurrent neural network model, producing further improvement in performance on Gigaword and DUC datasets.

The previous mentioned works focused on short texts. However Nallapati et al. (2016) proposed a new dataset for the task of abstractive summarization of a document into multiples sentences and establish benchmarks. Furthermore, See et al. (2017) used this dataset and proposed a hybrid architecture between sequence-to-sequence attention model and a pointer network Vinyals et al. (2015) that facilitates copying words from the source text via pointing or generating words from a fixed vocabulary. They also adapt the coverage model of Tu et al. (2016) to solve the problem of repetitions in the generated summary.

Automatic summarization methods have been applied on various kinds of documents, such as text (news, articles, etc), dialogues, in medical and other domains. In the literature, we can find automatic summarization of dialogues in meetings, recorded conversations in call centers and other events that happen everyday. The majority of works on medical summarization have focused on research articles. However in our case we are interested to get automatic summaries from medical conversations. In sections 3 and 4, we describe independently NLP works on dialogue analysis and the medical domain, with a focus on summarization.
3 Summarization methods applied on dialogues

A dialogue is a sequence of conversational turns between multiple participants, where each turn would modify each participant cognitive status and the current dialogue state Chih-Wen & Chen (2018). However, we suppose that two people are enough to establish a dialogue. Currently, a person can also establish a conversation with a system equipped with conversational intelligence Turing (1950), either in written or oral form.

The process of automatic dialogue summarization is a challenging summarization task because, e.g., unlike in journalistic texts, the most important sentence is not the first one in each paragraph, and we have to consider other aspects such as the speakers’ turns. In the case of capturing the conversations orally, we face several types of disfluencies such as fillers, repetitions, repairs, or unfinished clauses Zechner & Waibel (2000). Otherwise, on conversations obtained from written sources, it might be necessary to pre-process the data due to lexical irregularities.

Over the past few years, researchers have worked on automatic summaries in different areas of daily life, such as meetings, phone calls and medical domain. For example, Hendrik Buist et al. (2004) worked on audio-visual meetings and their goal was to extract all important topics. In another related work, the goal was to produce a concise abstract of decisions taken in spoken meetings Wang & Cardie (2011).

On the other hand, automatic summary systems dedicated to call centers have also been developed to record calls between agents and clients, to know the content of the call and the agent’s level of expertise. Tamura et al. (2011) proposed an extractive method and they introduced a component that removes frequent sentences from summary, in comparison with (Evgeny et al., 2015) where they focus on abstractive summarization and used domain knowledge to fill hand-written templates from entities detected in the transcript of the conversation.

Additionally, the need to use systems of dialogue in the medical domain has increased in the last two decades Bickmore & Giorgino (2006). The HOMEY system Piazza et al. (2004) was developed to enhance communication between health center specialists and patients with chronic diseases. After few years, Andrenucci (2008) used the automatic question-answering (QA) paradigm in medical domain. They determined that the best approaches for medical applications are deep analysis of language (semantics) and template based.

4 NLP in Medical Domain

In medical domain, doctors and nurses have a lot of information about patients: medical records, appointments, schedule of activities, etc. All information must be organized, and manually involves a lot of time and human effort. Besides, the amount of information increases each second and there is sometimes not enough people.

Currently, there are algorithms capable of helping nurses and doctors to summarize and organize information. However the medical domain is huge. Some works are focused on medical diagnostic tools, such as Doan et al. (2016). They detected child patients with high suspicion of Kawasaki Disease (KD) based on standard clinical terms and medical lexicon usage. Likewise, researchers have worked on the detection of depression, suicide risk Pestian et al. (2010) Mulholland & Quinn (2013) and mental diseases Thomas et al. (2005) Karlekar et al. (2018) by clinical notes and social media.
Calvo et al. (2017) analysis using NLP techniques.

Other researches have developed intelligent agents, such as Allen et al. (2006) to help people at home. People can talk to the systems using natural language.

The following subsections concentrate on conversational and summarization systems in the medical domain.

4.1 Medical Conversational Systems

There are many conversational applications that have been developed to help the health field, such as intelligence agents and health dialog systems. Allen et al. (2006) developed Chester, a prototype of medical advisor. Chester provides information, advises based on prescribed medications and reports back to medical support team, it can answer questions asked by users. In the meantime, de Rosis et al. (2006) developed a conversational agent to talk to patients to influence them to change their dietary behavior.

Migneault et al. (2006) describe the approach of how to write dialogues for TLC (Telephone-Linked Care) telephone systems, whose objective is to offer health-related services. At the same time, Beveridge & Fox, 2006 studied the automatic generation of dialogue combining knowledge of the structure of tasks and ontological knowledge, the objective is to decide if a patient must be referred or not with a cancer specialist.

4.2 Summarization in medical domain

Automatic summarization can be used for medical consultations. The dialogue between patients and doctors can be recorded and a summary been generated with the most important points, to the attention of other doctors and for the medical history of the patient. This is the consultation report.

To the best of our knowledge, there are few works about summarization on medical dialogues. One of them was developed by Lacson et al. (2006). They worked on automatic summarization of dialogues between nurses and dialysis patients. Their system consists in two main components: induction (machine-learning algorithm for classifying dialogue turns) and summarization (prediction based on a meaning representation encoded in lexical and contextual features). Unlike them, Sarkar et al. (2011) worked on automatic summarization of medical articles to decide if an article is useful or not. The algorithm classify the sentences as positive or negative. After ranking, sentences are selected to generate the final summary.

In addition, researchers have also developed NLP tools focused on medical domain that can help us to generate better quality of summaries through the identification of medical terms. For instance, Li & Wu (2006) implemented KIP (Keyphrase Identification Program) to identify topical concepts from medical documents. KIP combines noun phrase extraction and keyphrase identification. MedPost is a Part-Of-Speech(POS) tagger developed by Smith et al. (2004). MedPost is based on Hidden Markov Model. Additionally, Tanabe & Wilbur (2002) worked on extracting gene and proteins names from MEDLINE documents.
4.3 Challenges on medical domain

Clinical notes and medical texts present several challenges for NLP. One of the most important challenge is based on word disambiguation because extracting the meaning from unstructured text is not easy. For example, cold can refer to the weather or a disease Townsend (2013).

Besides, clinical texts are often ungrammatical. It means that they contain incomplete sentences and limited context. Also, along the time new terms and abbreviations emerge. A lot of this terms that appear in medical sources such as the Unified Medical Language System (UMLS) Metathesaurus have multiple meanings which depend on the context.

Otherwise, an important challenge on summarization area is to get summaries from medical dialogues. Even finding or building corpus of medical conversations is hard.

Finally, an important challenge in the medical domain is ethical. The building of systems must ensure that private sensitive data cannot leak. Nobody except authorized medical people must be able to access data related to a specific patient. This poses hard to solve problems of anonymisation. Besides, it is very important not to modify the meaning or to give incomplete information in the final summary.

5 Discussion

We have presented works about medical summarization divided in three sections: methods for summarization, NLP for dialogue analysis and medical conversation systems. In the first section, the most used methods on extractive and abstractive summaries have been reviewed. The second section presented works focused on dialogue summaries, and the challenge that they present. In the last section we cited works to help people at home, and software to detect depression, suicide and mental diseases.

After this review of the bibliography, we realized that there are a lot of articles about automatic summarization, but the majority are focused on scientific articles, news, etc. and there are few works on conversations. Furthermore, there is even less works on medical dialogue. One of the most important reason is that it is not easy to get datasets. Similarly, we find more articles on extractive summaries than abstractive summaries, even if the current tendency is clearly to switch to abstractive using neural networks.

Our future work is based on the model by See et al. (2017). We will apply this technique on the PubMed dataset (medical articles). We decided to work with this algorithm because they used a hybrid pointer-generator network to decide if a specific word is copied from the source text or if a new word from the vocabulary will be generated. Our hypothesis is that pointer-generator networks can be useful on the medical domain because we can generate new words from its specialized vocabulary. This will make easier to handle medical terms. Besides, they proposed a novel variant of coverage based on Tu et al. (2016) to avoid repetitions in summaries. As noted above, it will be very important to insure that the generated text doesn’t modify the sense of the original dialogue. We will also make the algorithm able to handle long texts while it was designed only for the first words of a news article.

Our second approach is to work on the AMI corpus developed by Mccowan et al. (2005), a dataset of 100 hours of multi-modal meetings recordings. We will develop a mechanism to generate abstractive summaries from dialogues. We decided to work on AMI dataset to get automatic summaries from
real conversations and consider aspects that we can find only in dialogues such as greetings, speakers’ turns, etc.

However, our main idea is to develop a mechanism to be able to get abstractive summaries from medical dialogues. To achieve this task, it’s necessary to work with both approaches: summarization of dialogues and summarization in the medical domain.

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