Influence of Polymorphisms in Innate Immunity Genes on Susceptibility to Invasive Aspergillosis after Stem Cell Transplantation

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Abstract
The innate immune system plays a pivotal role in the primary defence against invasive fungal infection. Genetic variation in genes that regulate this response, initiated by pulmonary macrophages, may influence susceptibility to invasive aspergillosis in patients at risk. We investigated in a clinical setting whether common polymorphisms in Toll-like receptor (TLR) and cytokine genes involved in macrophage regulation are associated with susceptibility to invasive aspergillosis. Forty-four allogeneic stem cell transplantation recipients diagnosed with probable or proven IA according to the criteria of the European Organization for Research and Treatment of Cancer/Mycoses Study Group, were enrolled. The control group consisted of 64 allogeneic stem cell transplantation recipients without invasive aspergillosis. The TLR4 1063A>G single nucleotide polymorphism was associated with invasive aspergillosis when present in donors of allogeneic stem cell transplantation recipients (unadjusted OR 3.77 95%CI 1.08–13.2, p = 0.03). In a multivariate analysis, adjusted for occurrence of graft-versus-host-disease, Cytomegalovirus serostatus and duration of neutropenia, paired presence of the TLR4 1063A>G and IFNG 874T>A single nucleotide polymorphisms showed a trend towards increased susceptibility to invasive aspergillosis (p = 0.04). These findings point to the relevant immunological pathway involved in resistance to invasive aspergillosis and warrant further study of the effects of TLR and cytokine polymorphisms and their interaction, which may occur on different levels of the complex biological interplay between the immunocompromised host and Aspergillus sp.

Introduction
It is incompletely understood why some stem cell transplant recipients develop invasive aspergillosis (IA), a cause of considerable morbidity and mortality, while others remain unaffected [1]. Clinical risk factors for the development of invasive fungal infections (IFI), have been identified, but such risks are not absolute [2,3]. The host’s or, in case of allogeneic stem cell transplantation (ASCT), the donor’s genetic signature may influence susceptibility to acquiring manifest IA or at least affect its clinical course. Patients with an indication for treatment by an ASCT-procedure may have diverse hematological malignancies e.g. acute myeloid leukemia (AML), multiple myeloma (MM), chronic myeloid leukemia (CML), or other diseases. These hematological disorders are associated with an intrinsic risk of opportunistic infection, dependent on the stage and extent of the disease [4]. Furthermore, treatment related factors, most importantly graft-versus-host-disease (GVHD) and the duration of neutropenia, are known to influence the risk for development of IA [5,6].

In-vitro- and animal studies indicated that the innate immune system plays a pivotal role in defence against IA by pathogen recognition and activation of appropriate host defence mechanisms in pulmonary macrophages [7,8]. A family of pathogen recognition receptors (PRRs), the Toll-like receptors (TLRs), mediate this process through detection of fungal components and initiation of intracellular signalling pathways that lead to a pro-inflammatory cytokine response [9–13]. Only recently, a number of functional single nucleotide polymorphisms (SNPs) in TLR4 as well as in TLR1 and TLR6 genes were associated with occurrence of IA in ASCT recipients [14–16].

However, the response of the innate immune system relies on a complex network of components which encompasses TLRs as well as molecules of signaling pathways (e.g. MyD88 and NFKB) and subsequently secreted cytokines [17]. Animal studies showed that depletion of IL-12 and IFN-γ delayed pulmonary clearance of A. fumigatus in mice [18]. Moreover, a high production of IL-12 and IFN-γ had a protective effect [19]. In humans, little is known about the role of these or other cytokines in the context of innate or acquired anti-fungal defense mechanisms and only scarce data is available to validate the clinical and experimental findings so far. Hence, we investigated the clinical relevance of common genetic polymorphisms in the TLR-mediated IL-12/IFN-γ loop to macrophage activation with regard to susceptibility to development of IA in ASCT recipients.
Methods

Study population

The study cohort consisted of 44 patients with hematological disorders and diagnosed with either proven or probable IA following ASCT according to the revised 2008 European Organization for Research and Treatment of Cancer and Mycosis Study Group (EORTC/MSG) criteria [20]. All patients were treated at the Leiden University Medical Center, a tertiary care and teaching hospital in the Netherlands. Patients were recruited from the database of the Department of Infectious Diseases. Sixty-four patients with comparable distribution of hematological disorders, but who did not develop IA, were enrolled in the control group. The control patients all received a allogeneic stem cell transplantation and were recruited for the study from the database of the Hematology department under the condition that DNA of the patient and the donor was available for study purposes. For control patients, the minimum follow-up time had to be 12 months. The ethnic background was Caucasian in both groups and all patients had undergone T-cell depleted ASCT. Demographic and clinical characteristics as well as outcome data were collected from the hospital’s electronic database. The duration of neutropenia to the diagnosis of IA was defined as the number of consecutive days from the first day of a granulocyte count <0.5×10⁶ cells/L (determined ≥3 times weekly) to the day that microbiological evidence of IA was first obtained. The study was endorsed by the local medical ethics committee. No standard prophylaxis active against Aspergillus sp. was used. Antifungal therapy was sometimes initiated on a pre-emptive basis, but always discontinued within 1 week if a probable or proven fungal infection was not diagnosed. Clinical characteristics per group are as summarized in table 1.

Polymorphisms and genotyping

Polymorphisms were considered eligible for study if the SNP was previously reported to be associated with the occurrence of IA and had an expected allele frequency of ≥5% in the population. With regard to the focus of interest as pointed out in the introduction, two SNPs reported to influence IL-12p40 and IFN-γ production were additionally included (table 2). Blood- or bone marrow samples were used to isolate DNA. Genotyping of polymorphisms was performed by use of a Sequenom MassArray® platform according to the manufacturer’s protocols (Sequenom, San Diego, USA). Multiplex assays were designed using Assay designer software (Sequenom). In brief, after PCR on 2.5 μg of DNA a primer extension reaction was performed to introduce mass-differences between alleles and, after removing salts by adding a resin, ~15 nl of the product was spotted onto a target chip with 384 patches containing matrix. Mass differences were detected using a Bruker Autoflex MALDI-TOF mass spectrometer and genotypes were assigned real-time using Typer 3.1 software (Sequenom). Several samples representing the various genotypes were sequenced to confirm the genotyping results. As quality control, 10% of samples were genotyped in duplo; no inconsistencies were observed. Primer sequences are available upon request.

Statistical analysis

Genotype- and allele frequencies were calculated and compared between groups by Pearson-chi-square and Fisher’s exact tests. Odds ratio (OR) and 95% confidence interval (95% CI) were calculated for the presence [homozygous or heterozygous] or absence [homozygous wild type allele] of the selected SNPs. All polymorphisms were tested for the Hardy-Weinberg equilibrium. Due to the possibility that development of IA was influenced by SNPs in the donor DNA, genotype and allele frequencies were also compared between donors of the patients with IA and donors of control patients. Because of expected redundancy and complexity in the pathway to granulocyte and macrophage activation, the relevance of the combined presence of the selected polymorphisms was assessed in a contingency table. The outcomes were corrected for GVHD, CMV serostatus of recipient and donor, and for the duration of neutropenia. Bonferroni correction was not applied as the SNPs analyzed were each preselected based on clinical relevance [21]. The influence of a selected SNP on the course of disease (i.e., duration of neutropenia to day of diagnosis or time from IA diagnosis to death) was assessed by Kaplan-Meier analysis (log rank-test). The SPSS version 17.0 statistical software package for Windows was used for all calculations.

Results

A total of 10 candidate polymorphisms, all acting within the type-1 cytokine loop to macrophage activation, were selected for analysis: five SNPs in three different TLR genes (−1, −4 and −6) and five SNPs in the IL10, IL12B and IFNG genes (table 2). The distribution of genotypes was consistent with the Hardy-Weinberg equilibrium except for the IL12B SNP. The TLR4 1063A>G and TLR4 1363C>T SNPs were in strong linkage disequilibrium, i.e., when the 1063A>G SNP was found, the 1363C>T was almost always also present. No significant difference in genotype or allele frequencies was found between patients with IA and control patients (data not shown). When comparing donor genotype and allele frequencies, the TLR4 1363C>T and TLR4 1063A>G SNPs were more frequently present in donors of patients with IA (table 3). The donor DNA contained the TLR4 1063A>G SNP in 9 of the 43 case patients (21%) and in 4 (7%) of the 61 control patients successfully genotyped for this polymorphism (OR 3.77 95%CI 1.08–13.2, p = 0.03). Following multivariate correction for GVHD, CMV serostatus and duration of neutropenia the adjusted OR was 3.76 (95% CI 0.90–15.8, p = 0.07). In addition, the allele frequency of the IFNG 874T>A polymorphism showed a trend towards association with IA when present in donors of patients with IA (OR 1.60 95%CI 0.91–2.79, p = 0.10).

Since our hypothesis was that susceptibility to IA by genetic mutations could be influenced by the interplay of both TLR and cytokine gene mutations, relevance of the combined presence of the selected polymorphisms was assessed in a contingency table (i.e. association of occurrence of IA with the presence of at least one minor allele in both genes in the interaction term). With respect to this analysis no significant associations with IA were found in the comparison of patients with IA versus control patients. However, a similar analysis performed for the genotypes of the donor samples revealed that paired combinations of the TLR4 1063A>G, TLR6 745C>T, or IFNG 874T>A SNPs correlated with occurrence of IA in the recipient (table 4). After multivariate adjustment for GVHD, CMV serostatus and neutropenia, only the association between the TLR4 1063A>G and IFNG 874T>A combination and IA remained statistically significant (p = 0.044). When using a forward conditional logistic regression model for assessment of strength of the association of individual or paired polymorphisms with IA, incorporating both the single presence of the minor SNP in the TLR4, TLR6 and IFNG genes as well as their paired combinations, showed that the TLR4 1063A>G/IFNG 874T>A combination was most strongly linked with IA (p = 0.033).

Kaplan-Meier analysis did not reveal significant differences in time to development of IA between recipients or their donors.
bears either only the wild-type or variant allele. There was no difference in survival (time to death following diagnosis of IA) consequent to having one or two minor alleles of the selected SNPs in either recipients or their donors.

**Discussion**

We found that in this study cohort the TLR4 1063A>G polymorphism was associated with increased susceptibility to IA, when present in the donors DNA of ASCT recipients (alone or in combination with the IFNG 874T>A SNP). None of the cytokine polymorphisms alone were linked with occurrence of IA. The results of our investigations concur with the study by Bochud et al., in which the 1363C>T and 1063A>G polymorphisms in the TLR4 gene were demonstrated to be associated with IA when present in donors of ASCT recipients [14]. In contrast, an increased risk for IA was previously reported for the 1063A>G SNP if present in the recipients DNA but not in the donor DNA [15]. The association between IA and the TLR1 239G>C SNP or between IA and the combination of the TLR1 743A>G and TLR6 745C>T SNPs as reported in a smaller study by Kesh et al. [16], was not confirmed by our data.

The IFNG 874T>A SNP was found to potentially add up to the risk conferred by two of the TLR polymorphisms. Although carriers of this genetic variation produce suboptimal levels of IFN-γ, putting them at increased risk for perhaps manifest tuberculosis, the isolated presence in either donor or recipient did not increase the risk for IA. Remarkably, SNPs that affect the production of IL-10, one of the most important broad-acting negative modulators of the TLR to IL-12 and IFN-γ macrophage-activating pathway, did not influence susceptibility to IA. Absence of IL-10 was demonstrated to cause increased survival of susceptible mice when exposed to Aspergillus fumigatus and in a prospective clinical study a tendency towards protection against IA was detected when the -1082 A/A-genotype was present [22].

As compared to other risk factors, the absolute risk conferred by relevant SNPs in PRR- and cytokine genes is likely to be limited, given the fact that individuals carrying these SNPs do not develop IA unless another immune deficiency is present. Moreover, our data indicate that even in hosts most at risk, the ability to maintain a response to IA is largely unaffected by the studied SNPs, underscoring the already expected redundancy inherent to the human antifungal defense [23]. Likely, specific patterns of genetic polymorphisms rather than a single genetic variation in TLRs or subsequent cytokine pathways that activate macrophages may be associated with IA in patients at risk. The observation of the association between the TLR4 1063A>G plus IFNG 874T>A SNP combination and IA fits such a hypothesis. However, probable associations of IA with conditional combinations of mutations may also attest to the complex immunopathogenesis of invasive aspergillosis. As a consequence of neutropenia, the role of key components within the innate immune response (e.g. lung macrophages phagocytosing and eliminating Aspergillus conidia) could be more prominent in the remaining defense against

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**Table 1. Clinical characteristics of patients with underlying hematological disease with (cases) or without (controls) invasive aspergillosis after allogeneic stem cell transplantation.**

| Variable | ASCT patients diagnosed with IA | ASCT patients without IA | p-value<sup>1</sup> |
|----------|--------------------------------|--------------------------|----------------------|
| Total No. | 44                             | 64                       |                      |
| Sex ratio male/female | 18/26                          | 35/29                    | 0.70                 |
| Median age (IQR) | 47 (41–57)                      | 51 (46–58)               | 0.26                 |
| Hematological disease n (%)<sup>*</sup> |                                  |                          |                      |
| AML | 12 (27)                          | 19 (30)                  |                      |
| Multiple myeloma | 8 (18)                          | 11 (17)                  |                      |
| CML | 8 (18)                          | 5 (8)                    |                      |
| NHL | 7 (16)                          | 11 (17)                  |                      |
| ALL | 3 (7)                           | 4 (6)                    |                      |
| Aplastic anemia | 1 (2)                           | 4 (6)                    |                      |
| CLL | 3 (7)                           | 4 (6)                    |                      |
| MDS | 2 (5)                           | 4 (6)                    |                      |
| Other | -                              | 2 (3)                    |                      |
| Pulmonary | 42 (95)                         | -                        |                      |
| Extra-pulmonary | 2 (5)                           | -                        |                      |
| Complications n (%) |                                  |                          |                      |
| Prolonged neutropenia<sup>1</sup> | 15 (34)                         | 29 (45)                  | 0.32                 |
| GVHD | 25 (57)                         | 21 (32)                  | 0.02                 |
| CMV IgG positive<sup>2</sup> | 14 (39)                         | 34 (53)                  | 0.21                 |

<sup>1</sup>: Prolonged neutropenia was defined as absolute neutrophil count < 500 cells/mm<sup>3</sup> for a period of more than 14 days.

<sup>2</sup>: IgG positive prior to transplantation. GVHD: graft versus host disease;

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<sup>Legenda</sup>: IA: invasive aspergillosis; IQR: interquartile range; ASCT: allogeneic stem cell transplantation; CMV: Cytomegalovirus; AML: acute myeloid leukemia; CML: chronic myeloid leukemia; NHL: non-Hodgkin’s lymphoma; ALL: acute lymphocytic leukemia; CLL: chronic lymphocytic leukemia; MDS: myelodysplastic syndrome.

<sup>1</sup>: p-values were calculated by student-t test for continuous- and Fishers exact test for binary data.

<sup>2</sup>: The distribution of hematological diseases was comparable between groups (Pearson Chi-Square test p = 0.85).
invasive fungal infection and thus facilitate linkage to TLR- in combination with cytokine SNPs. Assuming that the studied SNPs have an effect on the functioning of the innate immune system, different SNPs may also be working at different time points to modulate resistance to IA and eventually constitute the hosts genetic signature of susceptibility.

Of note, recent publications suggest that components of the innate immunity, including TLRs, may be linked to the pathogenesis of hematological malignancies [24–27]. Although in our study a control group with a comparable distribution of hematological malignancies was used, this intriguing loop may complicate the interpretation of studies in this field. Furthermore, the study has its limitations, e.g. due to a retrospective design and size of the study cohort. This prevents the incorporation of a larger amount of variables to correct for in the multivariate analyses (e.g. duration of follow-up post transplantation). However, two studies

### Table 2. Genetic polymorphisms in the innate immune system considered of potential influence on susceptibility to invasive aspergillosis.

| Gene name | SNPpdb id | Position nucleotide change | Reported effect | References |
|-----------|-----------|----------------------------|-----------------|------------|
| IL1B      | rs16944   | -511C>T                    | Negatively influences IL-1β levels. A higher frequency of the IL1B-511TT genotype was found in patients with IA as compared to patients without IA. | Wilkinson et al. [31] Sainz et al. [32] |
| IL10      | rs1800872 | -592A>C                    | Promotor SNP, protective effect in conjunction with the −1082 and −819 IL10 promoter polymorphisms | Seo et al. [33] |
| IL10      | rs1800896 | -1082G>A                   | Promotor SNP, conferring a diminished expression of the IL10 gene and a subsequent protective effect with respect to IA | Sainz et al. [22] |
| IL28      | rs41292470| GC>CTCTAA                  | Promotor SNP, reported influence on response to tuberculosis; association with IA unknown | Sahiratmadja et al. [34] |
| IFNG      | rs2430561 | 874T>A                     | Confers diminished production of IFN-γ, resulting in decreased activation of macrophages. Reported to influence cellular response to tuberculosis. Association with IA unknown. | Pravica et al. [35] Rossouw et al. [36] |
| TLR1      | rs5743611 | 239G>C                     | Associated with IA in ASCT recipients | Kesh et al. [16] |
| TLR1      | rs4833095 | 743A>G                     | Associated with IA in ASCT recipients when present in combination with the TLR6 745C>T polymorphism | Kesh et al. [16] |
| TLR4      | rs4986791 | 1363C>T                    | Associated with IA when present in donor DNA of ASCT recipients | Bochud et al. [14] |
| TLR4      | rs4986790 | 1063A>G                    | Associated with IA in ASCT when present in recipient DNA DNA Associated with IA when present in donor DNA of ASCT recipients | Carvalho et al. [15] Bochud et al. [14] |
| TLR6      | rs5743810 | 745C>T                     | Associated with IA in ASCT recipients when present in combination with the TLR1 743A>G polymorphism | Kesh et al. [16] |

**Legend:** IL denotes interleukin; TLR: toll-like receptor; IFN: interferon; ASCT: allogeneic stem cell transplantation; IA: invasive aspergillosis; SNPdb id: Single Nucleotide Polymorphism database identification number.

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| gene | SNP | Position nucleotide change | Distribution of Genotypes (mm/MM/MM) in Donors of ASCT recipients* | Allele frequency of the minor allele | $X^2$ | p-value | OR (95%CI) |
|------|-----|----------------------------|-------------------------------------------------|----------------------------------|-------|--------|-----------|
|      |     |                            | cases | controls | cases | controls |          |          |
| IL1B | -511C>T | 2/19/19 | 5/18/28 | 0.20 | 0.20 | 0.01 | 0.92 | 0.96 (0.48–1.96) |
| IL10 | -592A>C | 2/15/26 | 3/19/31 | 0.22 | 0.24 | 0.06 | 0.81 | 0.92 (0.47–1.81) |
| IL10 | -1082G>A | 5/24/14 | 13/26/15 | 0.40 | 0.48 | 1.44 | 0.23 | 0.70 (0.40–1.25) |
| IL12B | GC>CTCTAA | 11/21/9 | 10/39/10 | 0.52 | 0.50 | 0.12 | 0.73 | 1.10 (0.63–1.94) |
| IFNG | 874T>A | 11/21/11 | 8/31/22 | 0.50 | 0.39 | 2.71 | 0.10 | 1.64 (0.91–2.79) |
| TLR1 | 239G>C | 1/5/36 | 0/9/50 | 0.08 | 0.08 | 0.03 | 0.86 | 1.10 (0.39–3.08) |
| TLR1 | 743A>G | 2/16/25 | 4/20/37 | 0.23 | 0.20 | 0.003 | 0.96 | 1.02 (0.53–1.96) |
| TLR4 | 1363C>T | 1/7/34 | 0/5/56 | 0.11 | 0.04 | 3.43 | 0.06 | 2.81 (0.91–8.70) |
| TLR4 | 1063A>G | 2/7/34 | 0/4/57 | 0.13 | 0.03 | 6.82 | 0.01 | 4.33 (1.33–14.1) |
| TLR6 | 745C>T | 7/25/10 | 11/29/19 | 0.46 | 0.43 | 0.20 | 0.67 | 1.14 (0.65–2.00) |

**Legend:** IL denotes interleukin; TLR: toll-like receptor; IFN: interferon; ASCT: allogeneic stem cell transplantation; IA: invasive aspergillosis; SNPdb id: Single Nucleotide Polymorphism database identification number.

*Due to incidental failing of genotyping the No. of cases and controls are not equal for each SNP; m: minor allele; M: major allele.

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that explored the role of TLR SNPs and risk for IA included a comparable or even smaller number of patients [15,16]. Of note, rather than performing a genome-wide analysis, or testing a random collection of immune genes, we chose to investigate the association of IA with pre-set polymorphisms in candidate genes involved in type-1 cytokine loop to macrophage activation. This ameliorates implications with regard to the concept of multiple testing [21], but by some a significance level of 0.05 may still be regarded too liberal. Currently an ongoing discussion about the necessity of value adjustment in exploratory epidemiological studies still evolves and with all relevant data reported, final judgment is left to the reader [28,29]. Furthermore, due to the rapidly evolving research field, producing newly found candidate SNPs like the Dectin-1 Y238X polymorphism, investigations can hardly ever be complete [30].

The overall impact of the reported TLR4 1063A>G and IFNG 874T>A SNPs on the risk of IA should be interpreted with care. Relative risk associations of genetic variations in the case of IA do not stand alone but likely are influenced again by other components in the host’s defense. Due to study limitations, the outcomes were corrected only for known important clinical risk factors, but not for other variables. As discussed above, the observations of this study may be accounted for by both a system of redundancy in the innate immune system as well as by the complex biological interaction between the immunocompromised host and the invading fungus. At present, the findings do not extent to the bedside yet, e.g., by providing guidance for individualized prophylaxis or early intervention. However, by further unravelling the interplay between the innate host defense and Aspergillus sp. through experimental and clinical investigations, increased comprehension of the underlying immuno-pathogenetic processes may, in time, translate into insights directly relevant to clinical practice.

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Author Contributions

Conceived and designed the experiments: MGB EV JTD PLH. Analyzed the data: MGB HJ EV CJMH JHFF. Contributed reagents/materials/analysis tools: DK EV JTD. Wrote the paper: MGB HJ CJHH JTD.

Table 4. Final results of contingency table analysis for the association between the paired presence of TLR and cytokine polymorphisms in donors of ASCT recipients and development of invasive aspergillosis using all 10 polymorphisms.

| Paired TLR - or cytokine SNPs | unadjusted OR | 95% CI | p | adjusted* OR | 95% CI | p |
|------------------------------|---------------|--------|---|--------------|--------|---|
| TLR4 1063A>G and IFNG 874T>A | 5.74          | 1.13–29.1 | 0.035 | 6.09          | 1.05–35.5 | 0.044 |
| TLR6 745C>T and IFNG 874T>A | 2.15          | 0.96–4.80 | 0.064 | 1.80          | 0.33–3.42 | 0.189 |
| TLR4 1063A>G and TLR6 745C>T | 3.24          | 0.91–11.6 | 0.071 | 3.22          | 0.79–13.3 | 0.102 |

Legend: *: Adjusted for presence of GVHD, CMV serostatus of donor and acceptor (either one or both CMV IgG+), and prolonged neutropenia (>14 days) by binary logistic regression. OR: odds ratio; 95%CI: 95% confidence interval.

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