Variational method and duality in the 2D square Potts model

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Abstract

The ferromagnetic q-state Potts model on a square lattice is analyzed, for $q > 4$, through an elaborate version of the operatorial variational method. In the variational approach proposed in the paper, the duality relations are exactly satisfied, involving at a more fundamental level, a duality relationship between variational parameters. Besides some exact predictions, the approach is very effective in the numerical estimates over the whole range of temperature and can be systematically improved.

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I. INTRODUCTION

We consider the ferromagnetic \(q\)-state Potts model \([1]\) on a square lattice, limiting ourselves to the isotropic, nearest-neighbour (n.n.) case, with no external field. The Hamiltonian of the model is given by

\[
\frac{H}{kT} = -K \sum_{\langle ij \rangle} \delta_{s_i s_j},
\]

where \(s_i = 1, 2, \ldots, q\), \(i\) denotes the lattice sites, \(\delta_{s_i s_j}\) is the Kronecker symbol and \(K = J/kT\), with \(J > 0\). We suppose that the lattice is made of \(m\) rows and \(n\) columns, and that free boundary conditions are applied. Our interest will be in the limits \(m, n \to \infty\).

For \(q > 4\) the model has a temperature driven first-order phase transition at \(K_t = K_t(q) = \ln(1 + \sqrt{q})\). At \(K_t\) the free energy \(f\), the internal energies \(u_o\) and \(u_d\) of the ordered and disordered phase, and the latent heat are known exactly \([1, 2]\). Still at \(K_t\), more recently an analytic formula for the correlation length has been also derived \([3, 4]\).

Due to these exact results, the 2D Potts model has an important role in the study of the first order phase transitions \([4]\). As a matter of fact its properties have been studied through several methods, such as analysis of high or low-temperature series-expansions \([6]\), resummation of large \(q\) expansions \([7–9]\), Monte Carlo simulations \([10–14]\). As a rule, the discontinuous character of the first order phase transitions makes the numerical calculations in these cases more uncertain, with respect to analogous calculations in the case of second order phase transitions. Moreover we have that some aspects of the discontinuous transitions are not completely understood from a general, theoretical point of view.

On the other hand an accurate description of the Potts model transition, when the number of components \(q\) is very large, is provided by the Mean Field Theory \([15]\). Indeed, it has been proved that Mean Field Theory is exact in the limit \(q \to +\infty\) \([16]\). So it suggests itself that a reliable quantitative analysis of the properties of our model, at least for \(q > 4\), could be also obtained directly from a proper extension of this theory.

As a matter of fact, in this paper it is shown that considerable and systematic improvements of the Mean Field Theory can be obtained in the framework of the transfer matrix approach, through an elaborate version of the standard variational method for hermitian operators. This method is alternative to the variational Gibbs principle \([16, 17]\), which provides the analytical basis of the mean field procedure or, more generally, of the Cluster variational method \([18]\).

The formal aspects of our approach are described in the next four sections. We will limit ourselves to \(q > 4\). In the last section we present the results of some numerical calculations and we add some concluding remarks.

II. OPERATORIAL VARIATIONAL METHOD

Let \(Z_{m,n}(K)\) be the partition function of our model. We are interested in the free energy per site, \(f(T)\), given by

\[
f(T) = -kT \phi(K),
\]
where
\[ \phi(K) = \lim_{m \to \infty} \lim_{n \to \infty} \frac{1}{nm} \log Z_{m,n}(K) \] 
(2)

Now, if \( \sigma = (s_1, s_2, \ldots, s_m) \) describes the spin configuration on a column, we can write \( Z_{m,n} \) in the form
\[ Z_{m,n} = \sum_{\sigma_1, \sigma_2, \ldots, \sigma_n} \chi(\sigma_1)L(\sigma_1, \sigma_2)L(\sigma_2, \sigma_3) \ldots L(\sigma_{n-1}, \sigma_n)\chi(\sigma_n) = (\chi, L^{n-1}\chi), \]
(3)

where
\[ \chi(\sigma) = e^{\frac{K}{2} \sum_{i=1}^{m-1} \delta_{s_i, s_{i+1}}} \]
(4)

and
\[ L(\sigma, \sigma') = \chi(\sigma) e^{K \sum_{i=1}^{m} \delta_{s_i, s_i'}} \chi(\sigma') \]
(5)

is the symmetrized transfer matrix of our model.

The matrix \( L(\sigma, \sigma') \) is hermitian. Therefore we can apply the spectral theorem and we can take into account of the extremal properties of its eigenvalues. We note also that, for our \( L(\sigma, \sigma') \), the Perron-Frobenius (P.F.) theorem is valid \[19\]. Furthermore we have that \( L(\sigma, \sigma') \) is positive definite, that is, for any vector \( \xi(\sigma) \), we obtain
\[ (\xi, L\xi) = \sum_{\sigma, \sigma'} \bar{\xi}(\sigma) L(\sigma, \sigma') \xi(\sigma') \geq 0. \]
(6)

In fact we can write
\[ (\xi, L\xi) = \sum_{\sigma, \sigma'} \bar{f}(\sigma) \prod_{i=1}^{m} (1 + (e^K - 1)\delta_{s_i, s_{i'}}) f(\sigma'), \]

where
\[ f(\sigma) = \xi(\sigma) \chi(\sigma). \]

By expanding the product, we obtain a sum of \( 2^m \) terms, each giving a non negative contribution, due to \( e^K - 1 > 0 \).

Let \( \lambda_1(K; m) \) be the highest eigenvalue of \( L(\sigma, \sigma') \). From (3) and (4) it follows that \[19\]:
\[ \phi(K) = \lim_{m \to \infty} \frac{1}{m} \log \lambda_1(K, m). \]
(7)

Now, by considering the functional given by the Rayleigh-Ritz (R.R.) quotient
\[ F[\xi] = \frac{(\xi, L\xi)}{(\xi, \xi)}, \]
(8)
we have
\[ \sup_{\xi} F[\xi] = \lambda_1(K; m), \]
the sup being attained for \( \xi(\sigma) = \psi_1(\sigma) \), where \( \psi_1(\sigma) \) is the eigenvector of \( L(\sigma, \sigma') \) corresponding to \( \lambda_1(K; m) \). So, for any vector \( \xi(\sigma) \), it results that
\[ \lim_{m \to \infty} \frac{1}{m} \log \frac{\langle \xi, L\xi \rangle}{\langle \xi, \xi \rangle} \leq \phi(K) \] (9)
This inequality is the starting point of the operatorial variational method in Statistical Mechanics \[20,21\]. In this approach, the principal (or ground state) eigenvector \( \psi_1(\sigma) \) is usually described through an ansatz \( \tilde{\psi}(\sigma; \alpha, \beta, \ldots) \), containing one or several unknown parameters which do not depend on \( m \). Then, within the formal structure of the considered ansatz, the best estimate of \( f(T) \) is obtained by fixing the parameters through
\[ \sup_{\alpha, \beta, \ldots} \lim_{m \to \infty} \frac{1}{m} \log \frac{\langle \tilde{\psi}, L\tilde{\psi} \rangle}{\langle \tilde{\psi}, \tilde{\psi} \rangle} \] (10)
As a matter of fact, this approach, which is at the origin of the concept of the transfer matrix, is not really adequate to analyse the properties of a critical point. However, the situation can be different in the case of first order transitions.

In the following, fixing the attention on the 2D square Potts model, we will discuss a further elaboration of the above variational method.

First of all, it is useful to bear in mind the meaning and the role of \( \psi_1(\sigma) \). In our lattice made of \( m \) rows, let us consider a strip \( \Sigma_i \) of \( i \) adjacent columns, having \( n_r \) columns at its right and \( n_l \) columns at its left. The reduced probability distribution (r.p.d.) \( P_i = P_i(\sigma_1, \sigma_2, \ldots, \sigma_i) \) of a spin configuration on \( \Sigma_i \), obtained by averaging over all the configurations at the right and the left of \( \Sigma_i \), is given, in the limit \( n_r, n_l \to +\infty \), by
\[ P_i(\sigma_1, \sigma_2, \ldots, \sigma_n) = \frac{\psi_1(\sigma_1)L(\sigma_1, \sigma_2)L(\sigma_2, \sigma_3) \ldots L(\sigma_{i-1}, \sigma_i)\psi_1(\sigma_i)}{\langle \psi_1, L^{-1}\psi_1 \rangle}, \] (11)
for \( i \geq 2 \), while in the case of one column, we have the r.p.d. \( P_1(\sigma) \) through
\[ P_1(\sigma) = \frac{\psi_1^2(\sigma)}{\langle \psi_1, \psi_1 \rangle} \] (12)
So, an ansatz \( \tilde{\psi}(\sigma) \) for \( \psi_1(\sigma) \) has the role of a parametric description of the above averaging process leading to the r.p.d. \( P_i \).

Now, very reliable and simple descriptions, having however a different formal content, can be obtained by considering separately the high and low temperature regions. This fact drives us to introduce for \( \tilde{\psi}(\sigma) \) two formal structures, generally different, which we call \( \tilde{\psi}_d(\sigma) \) and \( \tilde{\psi}_o(\sigma) \) and to consider \( \tilde{\psi}(\sigma) \) as made of two charts \( (\tilde{\psi}_d(\sigma), \tilde{\psi}_o(\sigma)) \), associated to the ordered and disordered phase, which should match, if possible, at the transition point \( K_t \). The choice of the appropriate chart is demanded to the variational method. This point of view is a slight generalization of the traditional approach, where a fixed formal structure for \( \tilde{\psi}(\sigma) \) is considered, and only the parameters are varied. However it leads quite naturally to variational approximations to \( \phi(K) \), in which duality \[2,22\] is exactly satisfied.
III. ONE-PARAMETER ANSATZ’S

In this section we introduce and discuss some one-parameter ansatz’s of which we will make use in the variational approach based on equation (11).

Let us fix the attention first on the disordered phase. For large $T$, due to the smallness of the correlation length, we expect that

$$P_1(\sigma) \simeq e^{K \sum_{i=1}^{m-1} \delta_{s_i,s_{i+1}}}(\chi, \chi)$$

(13)

Then, with regard to the disordered phase, we introduce the simplest one parameter ansatz for $\tilde{\psi}_1(\sigma)$ in the form

$$\tilde{\psi}_d^{(1)}(\sigma) = e^{A(K) \sum_{i=1}^{m-1} \delta_{s_i,s_{i+1}}}, \quad (A(K) > 0)$$

(14)

that is, for $K$ belonging to the disordered region, the result of the averaging process at the left and the right of a column, is represented simply by a renormalized ferromagnetic coupling $A(K)$ between n.n. spins, such that $A(K) \simeq K$ for small $K$.

This simplest ansatz can be improved in several ways. Since the averaging process produces new interactions among the spins of a column, we need, in principle, other parameters related to these new couplings. For example, a first correction to $\tilde{\psi}_d^{(1)}(\sigma)$ can be considered by taking into account also second-neighbour interactions. However, it is possible to stay with a one parameter ansatz and, at the same time, to improve $\tilde{\psi}_d^{(1)}(\sigma)$, by applying the transfer matrix $L$ to $\tilde{\psi}_d^{(1)}(\sigma)$. As a matter of fact, if $L$ is applied repeatedly to $\tilde{\psi}_d^{(1)}(\sigma)$, we reach at the end the principal eigenvector. Since $L$ is positive definite, we can consider, more generally, the action of $L^{\nu}$. So, due to the simple structure of $\tilde{\psi}_d^{(1)}(\sigma)$, we will fix our attention on the sequence of one-parameter ansatz’s

$$\tilde{\psi}_d^{(1)}(\sigma), \quad \tilde{\psi}_d^{(2)}(\sigma) = L^{\frac{1}{2}} \tilde{\psi}_d^{(1)}(\sigma), \quad \ldots, \quad \tilde{\psi}_d^{(\nu)}(\sigma) = L^{\frac{\nu}{2}} \tilde{\psi}_d^{(1)}(\sigma)$$

(15)

The reliability of one approximate description of $\psi_1(\sigma)$ can be tested by considering the next approximation in the sequence.

Then we are led to the R.R. quotients

$$\rho_d^{(1)}(A, K) = \frac{\tilde{\psi}_d^{(1)}(\sigma), L \tilde{\psi}_d^{(1)}(\sigma)}{\tilde{\psi}_d^{(1)}(\sigma), \tilde{\psi}_d^{(1)}(\sigma)}, \quad \rho_d^{(2)}(A, K) = \frac{\tilde{\psi}_d^{(2)}(\sigma), L \tilde{\psi}_d^{(2)}(\sigma)}{\tilde{\psi}_d^{(2)}(\sigma), \tilde{\psi}_d^{(2)}(\sigma)}, \quad \ldots, \quad (16)$$

which can be also written in the form

$$\rho_d^{(\nu)}(A, K) = \frac{\tilde{\psi}_d^{(1)}(\sigma), L^{\nu} \tilde{\psi}_d^{(1)}(\sigma)}{\tilde{\psi}_d^{(1)}(\sigma), L^{\nu-1} \tilde{\psi}_d^{(1)}(\sigma)}$$

(17)

We note that

$$Z_d^{(\nu)}(A, K) = (\tilde{\psi}_d^{(1)}(\sigma), L^{\nu-1} \tilde{\psi}_d^{(1)}(\sigma))$$

(18)

is, for $\nu > 1$, the partition function of a Potts($\nu; A$) model on a strip $\Sigma_\nu$ of $m$ rows and $\nu$ columns, having the same couplings as the original model (1), except on the first and last
column, where there is the vertical n.n. coupling \((A(K) + K)/2\). This model provides an approximate description \(\tilde{P}_d(\nu)\) of the r.p.d. \(P_\nu(\sigma_1, \sigma_2, \ldots, \sigma_\nu)\).

We also remark that to Potts(\(\nu; A\)) is associated a \(q^\nu \times q^\nu\) transfer matrix \(\ell_\nu(A, K)\), connecting two adjacent rows of \(\Sigma_\nu\). We will call \(\lambda_1^{(\nu)}(A, K)\) the highest eigenvalue of \(\ell_\nu(A, K)\).

In each R.R. quotient we can fix the parameter \(A(K)\), by considering the variational estimate (10). We obtain then a sequence of estimates \(\phi_{d}^{(\nu)}(A, K)\) of \(\phi(K)\), given by

\[
\phi_{d}^{(\nu)}(K) = \sup_A \lim_{m \to \infty} \frac{1}{m} \log \frac{Z_d^{(\nu+1)}(A, K)}{Z_d^{(\nu)}(A, K)} = \sup_A \log \frac{\lambda_1^{(\nu+1)}(A, K)}{\lambda_1^{(\nu)}(A, K)} \equiv \sup_A \phi_{d}^{(\nu)}(A, K) \quad (\nu = 1, 2, \ldots)
\]

where the sup is taken over values of \(A\) which give ferromagnetic couplings, that is \(A \geq 0\) for \(\nu = 1\), \(A \geq -K\) for \(\nu = 2, 3, \ldots\).

It is useful to point out that, for \(0 \leq A, K < +\infty\), the P.F. theorem is valid for the reduced transfer matrices of finite order \(\ell_\nu(A, K)\), so that, in this range of the parameters, the Potts(\(\nu; A\)) model has a unique ground state, as it happens in the thermodynamic limit for the original model, in the disordered phase.

Now, let us consider the ordered phase. For finite \(m\), even if large, \(\psi_1(\sigma)\) is a well defined, unique, vector; furthermore \(P_1(\sigma)\) has the same symmetries of the hamiltonian (I). However, in the limit \(m \to \infty\), we have several phenomena as asymptotic degeneracy of the highest eigenvalue of \(L\), related to the occurrence of \(q\) ordered phases, macroscopic instabilities with respect to boundary perturbations or the existence of spontaneous long range order. So, an ansatz \(\tilde{\psi}_o(\sigma)\) will be appropriate if these aspects can be correctly predicted. In particular, if we introduce reduced transfer matrices of finite order, as we did in the disordered case, we have to elude the P.F. theorem.

We show that the above requirements can be satisfied through an approximate description not of the result of the averaging process leading to \(\psi_1(\sigma)\), but of the process itself.

Let us consider a column \(\Sigma\). We replace the \(n_r\) columns, with \(n_r \to \infty\), at the right of \(\Sigma\), with one column \(\Sigma''\) of Potts spins \(\sigma_1'', \sigma_2'', \ldots, \sigma_m''\), having an effective horizontal coupling \(H (H > 0)\) with the spins of \(\Sigma\). Furthermore, adjacent spins \(\sigma_i''\) are constrained to be in the same state, this last condition having the role to describe the ordered phase. The averaging process at the right of \(\Sigma\) is then simulated by the summation over all configurations on \(\Sigma''\).

The same procedure is applied at the left of \(\Sigma\), by introducing a column \(\Sigma'\). So, we obtain the distribution

\[
\tilde{P}_o(\sigma) = \frac{1}{\Gamma} \sum_{\sigma'} \sum_{\sigma''} \Pi(\sigma', \sigma) \Pi(\sigma'', \sigma) e^{K \sum_{i=1}^{m-1} \delta_{s_{i} s_{i+1}}},
\]

where \(\Gamma\) is a normalization constant and

\[
\Pi(\sigma', \sigma) = e^{H \sum_{i=1}^{m} \delta_{s_{i} s_{i}'}} \prod_{j=1}^{m-1} \delta_{s_{j} s_{j+1}'},
\]
We are then led to the one-parameter ansatz \( \tilde{\psi}_o^{(1)}(\sigma) \) in the ordered phase, given by

\[
\tilde{\psi}_o^{(1)}(\sigma) = \left( \sum_{\sigma'} \Pi(\sigma', \sigma) \right) e^{K \sum_{i=1}^{m-1} \delta_{i,s_{i+1}}} (22)
\]

We note that \( \tilde{P}_o(\sigma) \) is a r.p.d. obtained from the distribution

\[
\tilde{P}_o(\sigma', \sigma, \sigma'') = \frac{1}{\Gamma} \Pi(\sigma', \sigma) \Pi(\sigma'', \sigma) e^{K \sum_{i=1}^{m-1} \delta_{i,s_{i+1}}} (23)
\]

involving the strip \( \Sigma' \cup \Sigma \cup \Sigma'' \). Now, to \( \tilde{P}_o'(\sigma', \sigma, \sigma'') \) is associated a \( q^3 \times q^3 \) transfer matrix \( \ell = \ell(s_i', s_i, s_i''|s_i'+1, s_i+1, s_i''+1) \), having many matrix elements equal to zero, due to the factors \( \prod_{i=1}^{m-1} \delta_{s_i', s_i'+1}, \prod_{i=1}^{m-1} \delta_{s_i'', s_i''+1} \). This allows to elude the P.F. theorem. In fact, the highest eigenvalue of \( \ell \) is \( q \) times degenerate; we have that \( \ell \) is the direct sum of the \( q \) blocks made by the same \( q \times q \) matrix,

\[
\ell(\mu) = \ell(s_i, s_{i+1}; \mu) = \ell(\mu, s_i, \mu|\mu, s_{i+1}, \mu) \quad (\mu = 1, 2, \ldots, q)
\]

and of the \( q(q-1) \) blocks

\[
\ell(\mu, \mu') = \ell(s_i, s_{i+1}; \mu, \mu') = \ell(\mu, s_i, \mu'|\mu, s_{i+1}, \mu') \quad (\mu, \mu' = 1, 2, \ldots, q) \quad \mu \neq \mu'
\]

made also by \( q \times q \) coincident matrices, which differ from the previous ones. The highest eigenvalue of \( \ell \) is contained in the blocks \( \ell(\mu) \), which are associated to the \( q \) ordered phases, while \( \ell(\mu, \mu') \) are related to the occurrence of a surface tension between different phases.

The problem of selecting a particular \( \ell(\mu) \) is physically equivalent to apply an external field \( h_\mu \) to the spin state \( \mu \), on the boundaries \( \Sigma' \) and \( \Sigma'' \), to take the limit \( m \to \infty \) and then to consider the limit \( h_\mu \to 0 \). Once this selection of a particular \( \mu \) has been made, we see from (21) that the horizontal effective coupling \( H \) gives rise to an external field \( 2H \), applied on \( \Sigma \) to the spin state \( \mu \), obtaining then the long range order relative to the \( \mu \) phase. So, we see that our parameter \( H \) has a role analogous to the effective field of the Mean Field Theory. By modulating through \( H \) the rigid boundary conditions on \( \Sigma' \) and \( \Sigma'' \), \( \tilde{\psi}_o^{(1)}(\sigma) \) provides the simplest description of the phase having a temperature dependent order parameter.

As we did for the disordered phase, we can improve \( \tilde{\psi}_o^{(1)}(\sigma) \) through the action of \( L^{\frac{1}{2}} \). So, in the ordered region, we have the sequence of one-parameter ansatz’s for \( \psi_1(\sigma) \)

\[
\tilde{\psi}_o^{(1)}(\sigma), \quad \tilde{\psi}_o^{(2)}(\sigma) = L^{\frac{1}{2}} \tilde{\psi}_o^{(1)}(\sigma), \quad \ldots
\]

and the relative R.R. quotients

\[
\rho_o^{(\nu)}(H, K) = \frac{\tilde{\psi}_o^{(1)}}{\psi_o^{(1)}, L^{\nu-1} \tilde{\psi}_o^{(1)}} \quad (\nu \geq 1)
\]

It is useful to point out that \( \tilde{\psi}_o^{(1)}(\sigma) \) can be written in the form

\[
\tilde{\psi}_o^{(1)}(\sigma) = \lim_{R \to +\infty} e^{-(m-1)R} \sum_{\sigma'} R^{\sum_{i=1}^{m-1} \delta_{i,s_{i+1}} + H \sum_{i=1}^{m} \delta_{i,s_i + K \sum_{i=1}^{m-1} \delta_{i,s_{i+1}}}} \psi_o^{(1)}(\sigma; R),
\]

(26)
and that
\[
(\tilde{\psi}^{(1)}_o, L^{\nu-1} \tilde{\psi}^{(1)}_o) = Z^{(\nu+2)}_o(H, K) \quad (\nu \geq 1)
\]  
(27)
is the partition function of a Potts(\(\nu + 2; +\infty, H\)) model on a strip \(\Sigma_{\nu+2}\) of \(m\) rows and \(\nu + 2\) columns, with the same coupling as the original model (1), except for the first and last column of sites, where we have a vertical n.n. coupling of infinite strength, and for the first and last column of rows where we have a horizontal n.n. coupling of strength \(H\).

This model provides an approximate description \(\tilde{p}^{(\nu)}(\nu)\) of the r.p.d. \(P_\nu(\sigma_1, \sigma_2, \ldots, \sigma_\nu)\) in the ordered phase.

To the Potts(\(\nu + 2; +\infty, H\)) is associated a \(q^{\nu+2} \times q^{\nu+2}\) transfer matrix \(\ell^{\nu+2}(+\infty, H, K)\) having properties analogous to that of the previous \(\ell = \ell_3(+\infty, H, K)\). If we call \(\lambda^{(\nu+2)}_1(+\infty, H, K)\) its highest eigenvalue, we obtain the sequence of estimates \(\phi^{(\nu)}_o(K)\) of \(\phi(K)\) in the form
\[
\phi^{(\nu)}_o(K) = \sup_{H \geq 0} \log \frac{\lambda^{(\nu+3)}_1(+\infty, H, K)}{\lambda^{(\nu+2)}_1(+\infty, H, K)} \equiv \sup_{H \geq 0} \phi^{(\nu)}_o(H, K) \quad (\nu = 1, 2, \ldots)
\]  
(28)

By taking a vector \(\tilde{\psi}^{(i)}_d(\sigma)\) of the sequence (13) and a vector \(\tilde{\psi}^{(j)}_o(\sigma)\) of the sequence (24), we obtain an ansatz
\[
\tilde{\psi}(\sigma) = (\tilde{\psi}^{(i)}_d(\sigma), \tilde{\psi}^{(j)}_o(\sigma)),
\]  
(29)
with two charts, providing the estimates of \(\phi(K)\), given by \(\phi^{(i)}_d(K)\) and \(\phi^{(j)}_o(K)\) respectively. These two functions are defined for any \(K\). For each \(K\), the choice between the two descriptions is made by a further application of the variational method, that is by considering
\[
\max(\phi^{(i)}_d(K), \phi^{(j)}_o(K))
\]  
(30)
We will say that two vectors \(\tilde{\psi}^{(i)}_d(\sigma)\) and \(\tilde{\psi}^{(j)}_o(\sigma)\) are exactly compatible if it happens that \(\phi^{(i)}_d(K)\) and \(\phi^{(j)}_o(K)\) match exactly at the transition point \(K_t\). In the next section, we will show that such vectors exist.

IV. DUALITY

A crucial property of the 2D Potts model is the duality relation which connects the partition function in the high and low-temperature regions. In terms of the free energy, it states that
\[
\phi(K) = \log x^2 + \phi(K^*),
\]  
(31)
where
\[
x = \frac{e^K - 1}{\sqrt{q}},
\]
and $K^*$, the point *dual of* $K$, is defined by

$$\frac{e^{K^*} - 1}{\sqrt{q}} = \frac{1}{x}$$

The equation (31) is an exact analytic constraint, allowing the location of the transition point $K_t$ (given by $x = 1$) on the basis of the non-analyticity of $\phi(K)$ at this point \[2\]. However, besides this formal aspect, we have no trace, in the above relation, of the mechanism leading to a transition from the disordered to the ordered phase.

Now, we will see that further insights and additional aspects of duality are provided by the variational method, by means of the ansatz’s introduced in the previous section.

First of all we remark that, due to the fact that the ordered and disordered regions are described by a unique vector $\psi_1(\sigma)$ for finite $m$, the two *charts* of a proper ansatz should share some common property, as an expression of their common origin. As a matter of fact there is a property that allows to associate univocally $\tilde{\psi}_o(i)(\sigma)$ with $L^d_d \tilde{\psi}_d(i)(\sigma) = \tilde{\psi}_d(i+1)(\sigma)$, leading us to consider, as proper ansatz’s with two *charts*, the sequence

$$\tilde{\psi}(1)(\sigma) = (\tilde{\psi}_d(2)(\sigma), \tilde{\psi}_o(1)(\sigma)), \ldots, \tilde{\psi}(i)(\sigma) = (\tilde{\psi}_d(i+1)(\sigma), \tilde{\psi}_o(i)(\sigma)),$$

and then the sequence of estimates $\phi(i)(K)$ for $\phi(K)$, given by

$$\phi(i)(K) = \max(\phi_d(i+1)(K), \phi_o(i)(K)) \quad (i = 1, 2, \ldots)$$

This property, shared by $\tilde{\psi}_o(i)(\sigma)$ and $\tilde{\psi}_d(i+1)(\sigma)$, is an algebraic structure, as it will be shown in the following.

We first consider $\tilde{\psi}_d(\nu)(\sigma)$, for $\nu \geq 2$. We have seen that this vector defines the Potts($\nu; A$) model on a strip $\Sigma_\nu$. Let us call $\tau = (t_1, t_2, \ldots, t_\nu)$ a spin configuration on a row of $\Sigma_\nu$. The transfer matrix $\ell_\nu(A, K)$, which connects two adjacent rows, can be written in the form \[2\]

$$\ell_\nu(A, K) = V_\nu W_\nu \equiv q^2 a^2 x^{\nu-2} \ell_\nu(A, K),$$

with

$$V_\nu = (I + xU_\nu(2))(I + xU_\nu(4)) \ldots (I + xU_\nu(2\nu-2)) \quad (\nu \geq 2)$$

$$W_\nu = \begin{cases} q a^2 (I + 1/\alpha U_\nu(1))(I + 1/\alpha U_\nu(3)) & (\nu = 2) \\ q^2 a^2 x^{\nu-2} (I + 1/\alpha U_\nu(1))(I + 1/\alpha U_\nu(3))(I + 1/\alpha U_\nu(3))(I + 1/\alpha U_\nu(3)) \ldots (I + 1/\alpha U_\nu(2\nu-3)) & (\nu \geq 3) \end{cases}$$

where

$$a = \frac{e^{\frac{K+K^*}{\sqrt{q}}} - 1}{\sqrt{q}}$$

and $U_\nu(1), U_\nu(2), \ldots, U_\nu(2\nu-1)$ are $q^\nu \times q^\nu$ matrices defined by

$$U_\nu(2i-1)(\tau, \tau') = \frac{1}{\sqrt{q}} \prod_{j=1 \atop j \neq i}^\nu \delta_{t_j, t'_j} \quad (i = 1, 2, \ldots, \nu)$$

$$U_\nu(2i)(\tau, \tau') = \sqrt{q} \delta_{t_i, t_{i+1}} \prod_{j=1}^{\nu} \delta_{t_j, t'_j} \quad (j = 1, 2, \ldots, \nu - 1)$$
They satisfy the relations

\[
(U^{(i)}_{\nu})^2 = \sqrt{q}U^{(i)}_{\nu} \quad (i = 1, 2, \ldots, 2\nu - 1);
\]

\[
U^{(i)}_{\nu}U^{(j)}_{\nu} = U^{(j)}_{\nu}U^{(i)}_{\nu} \quad (|i - j| \geq 2);
\]

\[
U^{(i)}_{\nu}U^{(i+1)}_{\nu}U^{(i)}_{\nu} = U^{(i)}_{\nu} \quad (i = 1, 2, \ldots, 2\nu - 2);
\]

\[
U^{(i)}_{\nu}U^{(i-1)}_{\nu}U^{(i)}_{\nu} = U^{(i)}_{\nu} \quad (i = 1, 2, \ldots, 2\nu - 1)
\]

(36)

These relations define the Temperley-Lieb algebra generated by $U^{(1)}_{\nu}, U^{(2)}_{\nu}, \ldots, U^{(2\nu-1)}_{\nu}$, and then all the eigenvalues of $\ell_{\nu}(A, K)$, with exception of their degeneracies.

Now we come to $\tilde{\psi}_{\nu}^{(\nu)}(\sigma)(\nu = 1, 2, \ldots)$, which defines the Potts($\nu + 2; +\infty, H$) model. It is useful to consider this model as the limit, for $R \to +\infty$, of Potts($\nu + 2; R, H$), obtained through the substitution of $\tilde{\psi}_{\nu}^{(1)}(\sigma; R)$ of the equation (37). Then, following the previous procedure, we have that to Potts($\nu; R, H$)(\nu \geq 3) is associated a $q^\nu \times q^\nu$ transfer matrix $\ell_{\nu}(R, H, K)$, which can be written in the form

\[
\ell_{\nu}(R, H, K) = \tilde{V}_{\nu}\tilde{W}_{\nu}(R),
\]

(37)

with

\[
\tilde{V}_{\nu} = \begin{cases}
(I + hU^{(2)}_{\nu})(I + hU^{(2\nu-2)}_{\nu})(I + xU^{(4)}_{\nu}) \ldots (I + xU^{(2\nu-4)}_{\nu}) & (\nu \geq 4) \\
(I + hU^{(2)}_{3})(I + U^{(4)}_{3}) & (\nu = 3)
\end{cases}
\]

\[
\tilde{W}_{\nu} = e^{-2R}q^2\nu^2x^{\nu-2}(I + \frac{1}{\rho}U^{(1)}_{\nu})(I + \frac{1}{x}U^{(2\nu-1)}_{\nu})(I + \frac{\rho}{x}U^{(3)}_{\nu}) \ldots (I + \frac{1}{x}U^{(2\nu-3)}_{\nu}) & (\nu \geq 3),
\]

(38)

where

\[
h = \frac{e^H - 1}{\sqrt{q}} , \quad \rho = \frac{e^R - 1}{\sqrt{q}}
\]

Taking the limit $R \to +\infty$, we obtain

\[
\ell_{\nu}(+\infty, H, K) = \tilde{V}_{\nu}\tilde{W}_{\nu}(+\infty) \equiv q^{\nu-2}x^{\nu-2}\tilde{\ell}_{\nu}(+\infty, H, K)
\]

(39)

with

\[
\tilde{W}_{\nu}(+\infty) = q^{\nu-2}x^{\nu-2}(I + \frac{1}{\rho}U^{(3)}_{\nu}) \ldots (I + \frac{1}{x}U^{(2\nu-3)}_{\nu})
\]

(40)

So, the matrices $U^{(1)}_{\nu}$ and $U^{(2\nu-1)}_{\nu}$ being suppressed by the limiting procedure, we remain only with the $2(\nu - 1) - 1$ $q^\nu \times q^\nu$ matrices $U^{(2)}_{\nu}, U^{(3)}_{\nu}, \ldots, U^{(2\nu-3)}_{\nu}, U^{(2\nu-2)}_{\nu}$. However, if we define

\[
U^{(i)}_{\nu} = U^{(i+1)}_{\nu-1} \quad (i = 1, 2, \ldots, 2(\nu - 1) - 1)
\]

we see from (36), that these $2(\nu - 1) - 1$ $q^\nu \times q^\nu$ matrices $U^{(i)}_{\nu}$ satisfy the same algebraic relations which are satisfied by the $q^{\nu-1} \times q^{\nu-1}$ matrices $U^{(i)}_{\nu-1}(i = 1, 2, \ldots, 2(\nu - 1) - 1)$. We
only have with the $\tilde{U}^{(i)}_\nu$ a representation of different dimensionality of the same algebraic structure. Together with the structure of $\hat{\ell}_{\nu}(+\infty, H, K)$ and $\hat{\ell}_{\nu-1}(A, K)$, this is the common property which allows to couple the vector $\tilde{\psi}_{\nu-2}^{(i)}(\sigma)(\nu \geq 3)$ with the vector $\tilde{\psi}_d^{(\nu-1)}(\sigma)$, leading then to the sequence (32).

As a matter of fact, it follows from (34), (35), (38), (39) and (40) that the eigenvalues of $\tilde{\ell}_{\nu}(+\infty, H, K)$ coincide with those of $\tilde{\ell}_{\nu-1}(A_D(H, K^*), K^*)$, where $A_D(H, K^*)$ is defined by

$$e^{\frac{A_D(H, K^*)+K^*}{2}} - 1 = \frac{1}{\hbar} \tag{41}$$

In particular, we have

$$\lambda^{(\nu)}_1(+\infty, H, K) = \frac{\hbar^2}{\sqrt{q}} x^{2\nu-5} \lambda^{(\nu-1)}_1(A_D(H, K^*), K^*) \quad (\nu \geq 3), \tag{42}$$

which is a duality relation for the partition function of Potts($\nu; +\infty, H$) and Potts($\nu - 1; A$).

As a consequence, coming back to the variational method, we obtain the duality relation for the R.R. quotients

$$\frac{\lambda^{(i+3)}_1(+\infty, H, K)}{\lambda^{(i+2)}_1(+\infty, H, K)} = x^2 \frac{\lambda^{(i+2)}_1(A_D(H, K^*), K^*)}{\lambda^{(i+1)}_1(A_D(H, K^*), K^*)} \tag{43}$$

Since, as $H$ goes from zero to $+\infty$, $A_D(H, K^*)$ goes from $+\infty$ to $-K^*$, we deduce from (33), (39), (28) that

$$\phi^{(i)}_o(K) = \log x^2 + \phi^{(i+1)}_d(K^*),$$

or, equivalently,

$$\phi^{(i+1)}_d(K) = \log x^2 + \phi^{(i)}_o(K^*), \tag{44}$$

the relative sup being attained at dual points, according to (41). So $\tilde{\psi}^{(i)}_o(\sigma)$ and $\tilde{\psi}^{(i+1)}_d(\sigma)$ are exactly compatible.

The equation (44) is the variational version of (11). Indeed, from (14) it follows that

$$\phi^{(i)}_o(K) - \phi^{(i+1)}_d(K) = \phi^{(i+1)}_d(K^*) - \phi^{(i)}_o(K^*) \tag{45}$$

Therefore, if at a point $K$ we have, for example, $\phi^{(i+1)}_d(K) > \phi^{(i)}_o(K)$, then at the dual point it results that $\phi^{(i)}_o(K^*) > \phi^{(i+1)}_d(K^*)$. So we conclude that the estimates $\phi^{(i)}(K)$ given by (33), satisfy exactly the duality relation (31), that is

$$\phi^{(i)}(K) = \log x^2 + \phi^{(i)}(K^*) \quad (i = 1, 2, \ldots) \tag{46}$$

We see that the mechanism of non-analyticity at $K_t$ can be described as a crossing phenomenon, involving $\phi^{(i)}_o(K)$ and $\phi^{(i+1)}_d(K)$. 

11
V. TWO-PARAMETERS ANSATZ’S

The above results can be extended to two-parameters ansatz, which are useful to consider both from the computational and variational point of view.

To fix our ideas, let us suppose that we need to go beyond the estimates $\phi_d^{(2)}(K)$ and $\phi_d^{(3)}(K)$. We can consider then $\phi_d^{(4)}(K)$. The relative calculations require strips of four and five columns, to be compared with the case of three and four columns needed for $\phi_d^{(3)}(K)$. However we can also improve $\phi_d^{(3)}(K)$ without changing the number of columns and the size of the involved transfer matrices, but by adding a further parameter. In fact, by making use of the procedure of an approximate description of the averaging process, we can introduce the two-parameters ansatz for $\psi_1(\sigma)$

$$
\tilde{\psi}_d^{(3)}(\sigma) = \left( \sum_{\sigma'} e^{A\sum_{i=1}^{m-1} \delta_{\sigma_{i},\sigma_{i+1}} + B\sum_{i=1}^{m} \delta_{\sigma_{i},\sigma_{i+1}}} \right) e^{K\sum_{i=1}^{m-1} \delta_{\sigma_{i},\sigma_{i+1}}} \tag{47}
$$

with $A, B \geq 0$.

FIG. 1. The 3 and 4 columns Potts models originated by the two-parameters ansatz (47). They correspond to the partition functions $Z_d^{(3)}$ and $Z_d^{(4)}$ leading to our estimate $\phi_d^{(3)}$ of the free energy in the disordered phase.

Then, by considering $(\tilde{\psi}_d^{(3)}, L\tilde{\psi}_d^{(3)})/(\tilde{\psi}_d^{(3)}, \tilde{\psi}_d^{(3)})$, we are led to the estimate of $\phi(K)$

$$
\phi_d^{(3)}(K) = \sup_{A,B} \lim_{m \to \infty} \frac{1}{m} \log \frac{Z_d^{(4)}(A,B,K)}{Z_d^{(3)}(A,B,K)}, \tag{48}
$$

where $Z_d^{(i)}(A,B,K)(i = 3, 4)$ are the partition functions of the Potts models shown in Fig. (1). Therefore, we deduce from (19) and (18)

$$
\phi_d^{(3)}(K) \leq \phi_d^{(3)}(K) \leq \phi(K) \tag{49}
$$
We can improve analogously $\phi^{(2)}_o(K)$, which is determined through $\tilde{\psi}^{(2)}_o(\sigma) = L^2 \tilde{\psi}^{(1)}_o(\sigma)$, by introducing the two-parameter ansatz

$$\tilde{\psi}^{(2)}(\sigma) = L^2 \tilde{\psi}^{(1)}(\sigma)$$

with

$$\tilde{\psi}^{(1)}_o(\sigma) = \left( \sum_{\sigma'} \Pi(\sigma', \sigma) e^{G \sum_{i=1}^{m-1} \delta_{i,i+1}} \right) (G \geq 0),$$

where $\Pi(\sigma', \sigma)$ is given by (21). Through $\langle \tilde{\psi}^{(2)}_o, L \tilde{\psi}^{(2)}_o \rangle / \langle \tilde{\psi}^{(2)}_o, \tilde{\psi}^{(2)}_o \rangle$, we obtain the estimate

$$\phi^{(2)}_o(K) = \sup_{G,H} \lim_{m \to \infty} \frac{1}{m} \log \frac{Z^{(5)}_o(G,H,K)}{Z^{(4)}_o(G,H,K)},$$

where $Z^{(i)}_o(G,H,K)(i = 4, 5)$ are the partition functions of the Potts models shown in Fig. (4).

---

**FIG. 2.** The 4 and 5 columns Potts models originated by the two-parameters ansatz (50). They correspond to the partition functions $Z^{(4)}_o$ and $Z^{(5)}_o$ leading to our estimate $\phi^{(2)}_o$ of the free energy in the ordered phase. Double lines connect spins constrained to be in the same state.

By making use again of the generators of the Temperley-Lieb algebra we have, as in (44),

$$\phi^{(3)}_d(K) = \log x^2 + \phi^{(2)}_o(K^*),$$

so that also the estimate of $\phi(K)$,

$$\phi^{(2)}(K) = \max(\phi^{(3)}_d(K), \phi^{(2)}_o(K)),$$

satisfies the duality relation (31). As a matter of fact, the parameters $H$ and $G$ are the dual expression of $A$ and $B$, respectively.

In principle, further improved two-parameters ansatz’s can be obtained from the action of $(L^2)^{i+2}$ on the vector $\tilde{\psi}^{(2)}(\sigma) = (\tilde{\psi}^{(3)}_d(\sigma), \tilde{\psi}^{(2)}_o(\sigma))$, leading to the sequence of estimates $\phi^{(i+2)}(K)$.
VI. SOME NUMERICAL RESULTS AND COMMENTS

Now we discuss the effective aspects of the variational method presented in the previous sections, by considering the estimates of lower order.

We have calculated explicitly \( \phi^{(2)}(K) \), \( \phi^{(3)}(K) \), \( \phi^{(1)}(K) \), \( \phi^{(2)}_o(K) \) and \( \phi^{(2)}_o(K) \), obtaining then \( \phi^{(1)}(K) \), \( \phi^{(2)}(K) \) and \( \phi^{(2)}(K) \), according to \((33)\) and \((54)\). We have also considered \( \phi^{(1)}_d(A, K) \), which does not have a dual partner in our scheme, but, in any case, allows to obtain the simplest approximation to \( \phi(K) \), for every \( K \). As a matter of fact, \( \phi^{(1)}_d(A, K) \) has a finite absolute maximum point \( \bar{A}(K) \) for \( K < \bar{K}_t \), while for \( K > \bar{K}_t \) the absolute maximum is taken at \( A \to +\infty \). These points are also relative maximum points in a neighbourhood of \( \bar{K}_t \), at its right and at its left, respectively. \( \bar{K}_t \) is an estimate of the transition point \( K_t \); at \( \bar{K}_t \) we have a crossing between \( \phi^{(1)}_d(A, K) \) and \( \phi^{(1)}_d(+\infty, K) \).

The simplest estimate of our variational method is then given by

\[
\phi^{(0)}(K) = \max \left( \phi^{(1)}_d(\bar{A}(K), K), \phi^{(1)}_d(+\infty, K) \right).
\]  

(55)

It turns out that \( \phi^{(0)}(K) \) satisfies quite well, even if approximately, the duality relation \((31)\),

![Graphs](image)

**FIG. 3.** Our simplest estimate (full lines) of the free energy (a) and of the internal energy (b) at \( q = 100 \) are compared with the results from the Mean Field Theory (dashed lines). Also shown are the exact values at the transition point (small circles).

when \( q \) is large. As a matter of fact, by making a comparison with the known exact results,
the duality relation, we reproduce exactly, through \( \varphi_u \) value (at \( K \)) a monotonous sequence of very accurate estimates of the small circles are the known exact values. However, from a quantitative point of view, we get a net improvement, since it turns out that the Mean Field estimates, which are exact in the limit \( q \to +\infty \), differ significantly from the known exact results already at \( q \) of order \( 10^3 \). Indeed, in the large \( q \) expansions, we have power series in \( 1/\sqrt{q} \) with very large coefficients, which are responsible of such deviations. In Figures (3)a and (3)b we plot, for \( q = 100 \), \( \phi^{(0)}(K) \) and the relative internal energy \( u^{(0)}(K) \), given by

\[
\frac{u^{(0)}(K)}{-J} = \frac{d}{dK}\phi^{(0)}(K),
\]

and we make a comparison with the analogous Mean Field quantities \( \phi_{MF}(K) \) and \( u_{MF}(K) \); the small circles are the known exact values.

From a computational point of view, in order to avoid \( q'' \times q'' \) matrices of very high order, especially if \( q \) is large, we have made use of the relationship between the reduced transfer matrices and the matrices \( U^{(1)}_\nu, \ldots, U^{(2\nu-1)}_\nu \) which satisfy the relations (36) (see (34), (35), (37) and (38)). Now the relations (36) are also satisfied by the \( 4\nu \times 4\nu \) matrices \( U^{(i)}_\nu \) given by

\[
U^{(i)}_\nu(\alpha_1, \alpha_2, \ldots, \alpha_{2\nu}|\alpha'_1, \alpha'_2, \ldots, \alpha'_{2\nu}) = \delta_{\alpha_1,\alpha'_1} \cdots \delta_{\alpha_{i-1},\alpha'_{i-1}} h_{\alpha_i,\alpha_{i+1}} h_{\alpha'_i,\alpha'_{i+1}} \delta_{\alpha_{i+2},\alpha'_{i+2}} \cdots \delta_{\alpha_{2\nu},\alpha'_{2\nu}} \tag{56}
\]

\((i = 1, 2, \ldots, 2\nu - 1)\), where \( \alpha_i = \pm 1, \alpha'_i = \pm 1 \),

\[
h_{++} = h_{--} = 0, \quad h_{+-} = e^{-\lambda}, \quad h_{-+} = e^{\lambda}
\]

with \( 2 \cosh \lambda = q^{1/2} \). This is the 6-vertex representation of the Temperley-Lieb algebra.

The eigenvalues of our reduced transfer matrices have been determined by making use of the representation (54), which allows to translate a Potts strip \( \Sigma_i \) into an ice-type (or 6-vertex) strip \( \Sigma'_i \) and, as a by-product, to consider also non integer values of \( q \).

As we had to expect, the results of our calculations show that \( K_t \) is the only crossing point of \( \phi^{(i+1)}_d(K) \) with \( \phi^{(i)}_o(K) \)(\( i = 1, 2 \)) and also of \( \phi^{(3)}_d(K) \) with \( \phi^{(2)}_o(K) \). Furthermore \( \phi^{(i+1)}_d(K) > \phi^{(i)}_o(K) \), \( \phi^{(3)}_d(K) > \phi^{(2)}_o(K) \) for \( K < K_t \), so that

\[
\phi^{(i)}(K) = \begin{cases} 
\phi^{(i+1)}_d(K) & K \leq K_t \\
\phi^{(i)}_o(K) & K \geq K_t 
\end{cases}, \quad \phi^{(2)}(K) = \begin{cases} 
\phi^{(3)}_d(K) & K \leq K_t \\
\phi^{(2)}_o(K) & K \geq K_t 
\end{cases} \tag{57}
\]

In order to see the degree of accuracy which we obtain, we compare in Table IV our results at \( K_t \), for several \( q \), with the known exact values \( \phi(K_t) \) and \( -u_d(K_t)/J \). Of course, due to the duality relation, we reproduce exactly, through \( \phi^{(1)}(K), \phi^{(2)}(K) \) and \( \phi^{(2)}(K) \), the mean value \((u_d(K_t) + u_o(K_t))/2 \).

We see that, for every \( q > 4 \), \( \phi^{(0)}(K_t), \phi^{(1)}(K_t), \phi^{(2)}(K_t) \) and \( \phi^{(2)}(K_t) \) provide a monotonous sequence of very accurate estimates of \( \phi(K_t) \), the accuracy being better as...
TABLE I. Our predictions for the free energy \( \phi \), the internal energy in the disorder phase \( u_d \) and the specific heat in the ordered phase \( C_o \) calculated at the transition point \( K_t \). The exact values for the free internal energy and the internal energy are also reported.

| \( q \) | 5     | 10    | 15    | 20    | 30    | 100   |
|-------|-------|-------|-------|-------|-------|-------|
| \( \phi^{(0)}(K_t) \) | 2.403806 | 2.895964 | 3.202524 | 3.425077 | 3.758836 | 4.803843 |
| \( \phi^{(1)}(K_t) \) | 2.407461 | 2.898352 | 3.204045 | 3.429543 | 3.758951 | 4.803915 |
| \( \phi^{(2)}(K_t) \) | 2.408681 | 2.899057 | 3.204432 | 3.429775 | 3.759055 | 4.803922 |
| \( \phi''^{(2)}(K_t) \) | 2.409378 | 2.899363 | 3.204563 | 3.429841 | 3.759078 | 4.803923 |
| \( \phi^{(2)}(K_t) \) | 2.410306 | 2.899597 | 3.204631 | 3.429867 | 3.759084 | 4.803923 |
| \( \phi(K_t) \) | 2.409849 | 2.899522 | 3.204615 | 3.429862 | 3.759083 | 4.803923 |
| \( -u_d^{(0)}(K_t)/J \) | 1.189595 | 0.868464 | 0.701564 | 0.597935 | 0.597935 | 0.547286 |
| \( -u_d^{(1)}(K_t)/J \) | 1.249544 | 0.911736 | 0.728555 | 0.615741 | 0.547286 | 0.547286 |
| \( -u_d^{(2)}(K_t)/J \) | 1.282729 | 0.933513 | 0.739933 | 0.622203 | 0.547286 | 0.547286 |
| \( -u_d''^{(2)}(K_t)/J \) | 1.317667 | 0.949882 | 0.746210 | 0.625067 | 0.547286 | 0.547286 |
| \( -u_d(K_t)/J \) | 1.413784 | 0.971819 | 0.751328 | 0.626773 | 0.547286 | 0.547286 |
| \( C_0^{(1)}(K_t) \) | 4.5049 | 5.4063 | 4.6024 | 3.8641 | 2.9059 | 1.1762 |
| \( C_0^{(2)}(K_t) \) | 6.2350 | 7.2972 | 5.6956 | 4.5144 | 3.1923 | 1.1969 |
| \( C_o''^{(2)}(K_t) \) | 9.9421 | 9.8526 | 6.6928 | 4.9700 | 3.3350 | 1.2013 |
| \( C_o''^{(2)}(K_t) \) | 30.6324 | 15.7009 | 7.9572 | 5.3728 | 3.4165 | 1.2022 |

\( q \) increases. At \( q = 5 \), the errors of these estimates are of 0.25%, 0.10%, 0.05%, 0.02% respectively, while at \( q = 10 \), the sequence of the errors is 0.12%, 0.04%, 0.02%, 0.005%. As a matter of fact, by analysing the stability of our results for \( K \neq K_t \), we obtain that these errors are still rapidly decreasing, as we move from \( K_t \).

For the internal energy, our predictions are characterized by larger errors, provided \( q \) is low and \( K \) is very near to \( K_t \). But our last estimate, through the two-parameters variational ansatz, is quite accurate for every \( K \) and every \( q \geq 15 \). At \( q = 20 \), \( -u_d''^{(2)}(K_t)/J \) gives the exact value with an error of 0.1%. On the other hand, we obtain the errors of 7% and 2% at \( q = 5 \) and \( q = 10 \), respectively. However, as we move from \( K_t \), our estimates of the internal energy are again quite accurate, even for low \( q \)'s. This is shown in Fig.(4)a and Fig.(4)b, where we plot the functions \(-u^{(1)}(K)/J, -u^{(2)}(K)/J \) and \(-u''^{(2)}(K)/J \).

Through \( \phi^{(i)}(K) \), \( (i = 0, 1, 2) \) and \( \phi''^{(2)}(K) \) we have also determined the estimates \( C^{(i)}(K) \) and \( C''^{(2)}(K) \) of the specific heat \( C(K) \), which is not exactly known, even at \( K_t \). The sequence \( C^{(0)}(K), C^{(1)}(K), C^{(2)}(K), C''^{(2)}(K) \) is monotonous and rapidly converging for every \( K \), provided \( q \geq 30 \). For these \( q \)'s, \( C''^{(2)}(K) \) provides a quite reliable estimate of \( C(K) \) for every \( K \). This is also true for \( C^{(0)}(K), C^{(1)}(K) \) and \( C^{(2)}(K) \) as soon as \( q \) increases. For lower values of \( q \), we have to move from \( K_t \) in order to get the same accuracy. In Fig.(5) we plot \( C^{(1)}(K), C''^{(2)}(K) \) at \( q = 10 \), while in Table II we give, for several \( q \), \( C^{(0)}(K_t), C^{(2)}(K_t) \) and \( C''^{(2)}(K_t) \), that is the previous estimates at \( K_t \), evaluated in the ordered phase (the predictions for the disordered phase can be obtained from these through duality).
On the other hand, we obtain a considerable improvement in our estimates of the internal energy and of the specific heat for lower $q$ and $K$ very near to $K_t$, by accelerating the convergence of the already rapidly convergent sequence of our estimates of the free energy. Starting from $\phi^{(1)}(K)$, $\phi^{(2)}(K)$ and $\phi^{(2)}(K)$ and by making use of the Aitken $\Delta^2$ method (or of the [1,1] Padé approximant), we deduce the new estimate

$$\phi^{(2)}(K) = \phi^{(1)}(K) + \frac{\phi^{(2)}(K) - \phi^{(1)}(K)}{1 - \frac{\phi^{(2)}(K) - \phi^{(1)}(K)}}. \tag{58}$$

We call $u^{(2)}(K)$ and $C^{(2)}(K)$ the internal energy and the specific heat calculated through $\phi^{(2)}(K)$. The improvement which we obtain can be checked by comparing $u^{(2)}(K)$ with the known exact value. We give in Table I $\phi^{(2)}(K_t)$, $u_d^{(2)}(K_t)$ and $C^{(2)}(K_t)$ for several $q$. We see that $-u_d^{(2)}(K_t)/J$ reproduces $-u_d(K_t)/J$ within the errors of 0.5% and 0.4% at $q = 5$ and $q = 10$, respectively, a net improvement with respect $-u_d^{(2)}(K_t)/J$. On the other hand, when $u_d^{(2)}(K)$ and $C^{(2)}(K)$ are already quite reliable, $\phi^{(2)}(K)$ does not lead to significant corrections. For $q = 10$ and $q = 5$, the function $-u_d^{(2)}(K)/J$ is plotted in

FIG. 4. The monotonous sequence of our estimates $-u^{(1)}(K)/J, -u^{(2)}(K)/J$ and $-u^{(2)}(K)/J$ of the internal energy at $q = 10$ (a) and $q = 5$ (b). The dashed line represents $-u^{(2)}(K)/J$, obtained from eq.(58). Also shown are the exact values at the transition point (small circles).
FIG. 5. The monotonous sequence of our estimates $C^{(1)}(K), C^{(2)}(K)\text{ and } C^{(2)}(K)$ of the specific heat at $q = 10$. The dashed line represents $C^{(2)}(K)$, obtained from eq.(58).

Fig.(4)a and Fig.(4)b, where its role is made quite evident. A stronger correction is obtained for specific heat, as shown in Fig.(4), where we plot $C^{(2)}(K)$, for $q = 10$. Our estimate of 16 for $C_o(K_t)$ at $q = 10$, agrees essentially with the estimate of 18 obtained from large-$q$ expansions [9], which is contradicted by the value 32 deduced from low temperature series [6]. For $q = 15, 20, 30$ the results of ref. [9] are confirmed quite well by our $C^{(2)}(K)$. So, we see that the variational approach presented in this paper, besides providing exact predictions and physical or mathematical mechanisms for the first order transition of our model (for $q > 4$), is also very effective in the numerical predictions of unknown quantities. Our numerical analysis will be completed in a future paper and will concern properties as spontaneous magnetization and susceptibility, which are accessible through low temperature series expansions [9], but not through large-$q$ expansions. On the other hand, since these two approaches give contradicting results in the case of specific heat, a further analysis of the estimates made in ref. [9] would be appropriate.

Furthermore we will explore more completely the consequences of duality, which in the variational approach proposed here appears at a more fundamental level. Through the reduced probability distributions and the variational parameters having dual partners, it is possible to study more completely the link between typical properties of the ordered and disordered phases.

Our aim will be also to extend the above approach to the important case of the 3D Potts model.
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