On Leader Green Election *

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Abstract
We investigate the number of survivors in the Leader Green Election (LGE) algorithm from [5]. Our method is based on the Rice method and gives more precise formulas. We derive upper bounds on the number of survivors in this algorithm and we propose a proper use of LGE. Finally, we discuss one property of a general urns and balls problem and show a lower bound for a number of rounds for a large class of leader election protocols.

Keywords: leader election, Rice method, urns and balls model, geometric distribution

1 Introduction

In [5] Philippe Jacquet, Dimitris Milioris and Paul Mühlethaler introduced a novel energy efficient broadcast leader election algorithm, which they called, in accordance with the popular fashion in those years, a Leader Green Election (LGE). This algorithm was also presented by P. Jacquet at the conference AofA’13. The core of LGE algorithm is based on properties of extremal statistics of random variables with geometric distributions. Let us recall that a random variable \( X \) has a geometric distribution with parameter \( p \in [0,1] \) (\( X \sim \text{Geo}(p) \)) if
\[
P[X = k] = (1 - p)^{k-1}p \text{ for } k \geq 1.
\]
In the first part of LGE, each user chooses independently a random variable with geometric distribution with a fixed parameter \( p \). The winners of this part of LGE are those users who select a maximal number.

**Definition 1.** A random variable \( M \) has distribution \( \text{MGeo}(n,p) \) if there are independent random variables \( X_1, \ldots, X_n \) with distribution \( \text{Geo}(p) \) such that \( M = \max\{X_1, \ldots, X_n\} \).

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It is well known (see e.g. [10], [2]) that if $M \sim \text{MGeo}(n, p)$ then $E[X] = \frac{1}{2} + \frac{H_n}{\ln \frac{1}{1-p}} + P(n) + O\left(\frac{1}{n}\right)$, where $P(n)$ is a periodic function with small amplitude and $H_n$ is the $n^{th}$ harmonic number. Let us recall that $H_n = \ln n + \gamma + O\left(\frac{1}{n}\right)$, where $\gamma = 0.557\ldots$ is the Euler constant.

The distribution $\text{MGeo}(n, p)$ controls the number of time slots used in LGE algorithm. More precisely, the LGE algorithm requires some upper approximation on the variable with the $\text{MGeo}(n, p)$ distribution. The next Lemma gives some upper bound for it.

**Lemma 1.** Let $M \sim \text{MGeo}(n, p)$, $C > 0$ and $Q = \frac{1}{1-p}$. Then

$$\Pr[M > C\frac{\ln n}{\ln Q}] \leq \frac{1}{n}.$$

**Proof.** Let $q = 1 - p$. Let us recall that if $X \sim \text{Geo}(p)$ and $k$ is an integer then $\Pr[X > k] = q^k$. Therefore $\Pr[M > k] \leq nq^k$, hence $\Pr[M > C\frac{\ln n}{\ln Q}] \leq nq^{\frac{\ln n}{\ln Q}} = \frac{1}{n^{\frac{1}{1-p}}}$. \(\square\)

We introduce the next distribution which models the number of survivors in LGE algorithm.

**Definition 2.** A random variable $W$ has distribution $\text{WMGeo}(n, p)$ if there are independent random variables $X_1, \ldots, X_n$ with distribution $\text{Geo}(p)$ such that

$$W = \text{card}\{k : X_k = \max\{X_1, \ldots, X_n\}\}.$$

## 2 Probabilistic Properties of LGE

The formal analysis of LGE algorithm in [5] is based on the Mellin transform. In this section, we use an approach based on Rice’s method (see e.g. [7] and [4]). We shall derive formulas for expected number of survivors and probabilities for the number of survivors. By $W_{n,p}$ we denote a random variable with $\text{WMGeo}(n, p)$ distribution.

**Theorem 1.** Let $n \geq 2$, $p \in (0, 1)$ and $q = 1 - p$. Let $W_{n,p} \sim \text{WMGeo}(n, p)$ and $a \geq 1$. Then

$$\Pr[W_{n,p} = a] = \binom{n}{a} p^a \sum_{b=0}^{n-a} \binom{n-a}{b} \frac{(-1)^b}{1 - q^{a+b}}$$

and

$$E[W_{n,p}] = \frac{np}{q} \sum_{b=0}^{n-1} \binom{n-1}{b} \frac{(-1)^b}{1 - q^{b+1}}.$$
Therefore, so the first part of the Theorem is proved. Next we have

$$A_{n;k,a} = (\max\{X_1, \ldots, X_n\} = k) \land (\text{card } \{i : X_i = k\} = a) .$$

Then \(W_{n,p} = a = \bigcup_{k \geq 1} A_{n;k,a}\) and \(\Pr[A_{n;k,a}] = \binom{n}{a} (q^{k-1} p)^a (1 - q^{k-1})^{n-a}\). Therefore,

$$\Pr[W_n = a] = \sum_{k \geq 1} \binom{n}{a} (q^{k-1} p)^a (1 - q^{k-1})^{n-a} = \binom{n}{a} q^a \sum_{k \geq 0} q^{ka} (1 - q^k)^{n-a} =$$

$$\binom{n}{a} q^a \sum_{b = 0}^{n-a} \binom{n-a}{b} (-1)^b q^b q^{ka} = \binom{n}{a} q^a \sum_{b = 0}^{n-a} \binom{n-a}{b} (-1)^b q^b + \frac{1}{1 - q^{a+b}},$$

so the first part of the Theorem is proved. Next we have

$$\sum_{a = 1}^{n} a \Pr[A_{n;k,a}] = n \sum_{a = 1}^{n} \binom{n-1}{a-1} (q^{k-1} p)^a (1 - q^{k-1})^{n-a} =$$

$$n q^{k-1} p \sum_{a = 1}^{n} \binom{n-1}{a-1} (q^{k-1} p)^{a-1} (1 - q^{k-1})^{(n-1)-(a-1)} =$$

$$n q^{k-1} p \sum_{b = 0}^{n-1} \binom{n-1}{b} (q^{k-1} p)^b (1 - q^{k-1})^{(n-1)-b} =$$

$$n q^{k-1} p (q^{k-1} p + 1 - q^{k-1})^{n-1} = n q^{k-1} p (1 - q^k)^{n-1} .$$

Therefore, for fixed \(n\), we have

$$\sum_{a = 1}^{n} \sum_{k \geq 1} a \Pr[A_{k,a}] = \sum_{k \geq 1} n p q^{k-1} \sum_{b = 0}^{n-1} \binom{n-1}{b} (-1)^b q^b k^b =$$

$$n p \sum_{b = 0}^{n-1} \binom{n-1}{b} (-1)^b \sum_{k \geq 1} q^k \frac{1}{q^b} = \frac{n p}{q} \sum_{b = 0}^{n-1} \binom{n-1}{b} (-1)^b q^{b+1} \sum_{k \geq 1} (q^{b+1})^k =$$

$$\frac{n p}{q} \sum_{b = 0}^{n-1} \binom{n-1}{b} (-1)^b q^{b+1} \frac{1}{1 - q^{b+1}} .$$
Since we assumed that \( n \geq 2 \), we have
\[
\frac{np}{q} \sum_{b=0}^{n-1} \binom{n-1}{b} \frac{(-1)^b q^{b+1}}{1 - q^{b+1}} = \frac{np}{q} \sum_{b=0}^{n-1} \binom{n-1}{b} \frac{(-1)^b (q^{b+1} - 1) + 1}{1 - q^{b+1}} =
\]
\[
-\frac{np}{q} \sum_{b=0}^{n-1} \binom{n-1}{b} (-1)^b + \frac{np}{q} \sum_{b=0}^{n-1} \binom{n-1}{b} \frac{1}{1 - q^{b+1}} =
\]
\[
-\frac{np}{q} (1 + 1)^{n-1} + \frac{np}{q} \sum_{b=0}^{n-1} \binom{n-1}{b} \frac{(-1)^b}{1 - q^{b+1}} = \frac{np}{q} \sum_{b=0}^{n-1} \binom{n-1}{b} \frac{(-1)^b}{1 - q^{b+1}}.
\]

\[ \square \]

From Theorem 1 we obtain \( \Pr[W_{n,p} = 1] = np \sum_{b=0}^{n-1} \binom{n-1}{b} \frac{(-1)^b}{1 - q^{b+1}}. \) Therefore, we have the following surprising equality
\[
\mathbb{E}[W_{n,p}] = \frac{1}{1 - p} \Pr[W_{n,p} = 1].
\]

### 2.1 Approximations

Let us fix the number \( p \in (0, 1) \) and let \( q = 1 - p \). Let \( f_a(z) = \frac{1}{1 - q^{a+z}}. \) We shall consider complex variable functions \( f_a \) for such indexes \( a \) which are integers such that \( a \geq 1 \). Notice that the function \( f_a \) has singularities at points from the set \( \{ \zeta_{a,k} : k \in \mathbb{Z} \} \), where \( \zeta_{a,k} = -a + \frac{2k \pi i}{\ln(q)} \). The function \( f_a \) is periodic with period \( 2\pi i/\ln(q) \), has single poles at points \( \zeta_{a,k} \) and
\[
\text{Res}(f_a(z) : z = \zeta_{a,k}) = \frac{-1}{\ln q}.
\]

It is easy to check that \( \lim_{x \to \infty} |f_a(x+iy)| = 1 \) and \( \lim_{x \to -\infty} |f_a(x+iy)| = 0 \) for each fixed \( y \in \mathbb{R} \).

Let \( K_n(s) = \frac{n}{s(s-1) \cdots (s-n)} \). Notice that if \( n \geq 1 \) then \( |K_n(s)| = O \left( \frac{1}{|s|^n} \right) \) as \( |s| \) grows to infinity. Also notice that if \( a > 0 \) is an integer, then \( K_n(-a) = (-1)^n \frac{n^{a-1}}{a!} \). Notice also that the sets of singularity points of functions \( f_a \) and \( K_n \) are disjoint.

**Lemma 2.** If \( m \geq 1 \), \( a \geq 1 \) and \( q \in (0, 1) \) then
\[
\sum_{b=0}^{m} \binom{m}{b} \frac{(-1)^b}{1 - q^{a+b}} = (-1)^m \frac{1}{\ln q} \sum_{k \in \mathbb{Z}} K_m(\zeta_{a,k}).
\]

**Proof.** Rice’s integrals summation method (see [7]) is based on the formula
\[
\sum_{b=0}^{m} \binom{m}{b} (-1)^b g(b) = \frac{(-1)^m}{2\pi i} \oint_{C} g(s) K_m(s) ds,
\]
where \( f \) is analytic in a domain containing \([0, +\infty)\) and \( C \) is a positively oriented closed curve that lies in the domain of analyticity of \( f \) and encircles the real interval \([0, m]\).

We use Rice’s formula for functions \( f_a \). Notice that

\[
\frac{1}{2\pi i} \oint_C f_a(s)K_m(s)ds = \sum_{k=0}^{m} \text{Res}(f_a(z)K_m(z) : z = k) .
\]

Let \( C_k \) be the positively oriented square with corners at points \( \pm \eta_{q,k} \pm \eta_{q,k}i \), where \( \eta_{q,k} = (2k + 1)\pi/\ln q \). We consider such \( k \) that \( |\eta_{q,k}| > m \). For such \( k \) the interval \([0, m]\) lies inside the square \( C_k \). The above mentioned properties of the function \( f_a \) and the kernel function \( K_m \) imply that

\[
\lim_{k \to \infty} \oint_{C_k} f_a(s)K_m(s)ds = 0 ,
\]

from which we deduce that

\[
\sum_{k \in \mathbb{Z}} \text{Res}(f_a(z)K_m(z) : z = \zeta_{a,k}) + \sum_{k=0}^{m} \text{Res}(f_a(z)K_m(z) : z = k) = 0 .
\]

Therefore,

\[
(\frac{m}{b}) \frac{(-1)^b}{1 - q^{a+b}} = (\frac{(-1)^{m+1}}{\ln q}) \sum_{k \in \mathbb{Z}} \text{Res}(f_a(z)K_m(z) : z = \zeta_{a,k}) =
\]

\[
(-1)^{m+1} \sum_{k \in \mathbb{Z}} \text{Res}(f_a(z) : z = \zeta_{a,k}) K_m(\zeta_{a,k}) = (-1)^{m+1} \sum_{k \in \mathbb{Z}} \frac{-1}{\ln q} K_m(\zeta_{a,k}) .
\]

\[\square\]

**Lemma 3.** Suppose that \( a > 0 \) is an integer and that \( b \in \mathbb{C} \). Then

\[
K_m(-a + b) = (-1)^{m+1} \frac{1}{a(a+m)} \prod_{j=a}^{m+a} (1 - \frac{b}{j}) .
\]

**Proof.** Directly from the definition of the kernel function \( K_m \) we have

\[
K_m(-a + b) = m! \prod_{j=0}^{m} \frac{1}{-a + b - j} = (-1)^{m+1} m! \prod_{j=0}^{m} \frac{1}{a + j - b} =
\]

\[
(-1)^{m+1} m! \prod_{j=a}^{m+a} \frac{1}{j - b} = (-1)^{m+1} m! \prod_{j=a}^{m+a} \frac{1}{j(1 - \frac{b}{j})} =
\]

\[
(-1)^{m+1} m! \frac{(a - 1)!}{(m + a)!} \prod_{j=a}^{m+a} \frac{1}{(1 - \frac{b}{j})} .
\]

\[\square\]
The next Lemma follows directly from Theorem 1, Lemmas 2 and 3:

**Lemma 4.** If \( n > a \) then

\[
\Pr[W_{n,p} = a] = \frac{p^a}{a \ln q} \left( 1 + \sum_{k \in \mathbb{Z} \setminus \{0\}} \prod_{j=a}^{n} \left( 1 - \frac{2k \pi / \ln q}{j} \right) \right),
\]

where \( q = 1 - p \).

**Theorem 2.** If \( 0 < a < n \) then \( \Pr[W_{n,p} = a] = \frac{p^a}{a \ln Q} + r_n \), where \( |r_n| < \frac{(a+1)^2}{12a} p^a \ln Q \), where \( Q = \frac{1}{1-p} \).

**Proof.** Let \( \eta_k = \frac{2 \pi k}{\ln q} \), where \( q = 1 - p \). Notice that

\[
\left| \frac{n}{j-a} \prod_{j=a}^{n} \left( 1 - \frac{\eta_k}{j} \right) \right|^2 = \prod_{j=a}^{n} \left( 1 + \left| \frac{\eta_k}{j} \right|^2 \right) \geq \prod_{j=a}^{n} \left( 1 + \left| \frac{\eta_k}{j^2} \right|^2 \right) \geq \left( 1 + \frac{1}{(a+1)^2} \right)^2.
\]

Therefore,

\[
\left| \sum_{k \in \mathbb{Z} \setminus \{0\}} \prod_{j=a}^{n} \left( 1 - \frac{\eta_k}{j} \right) \right| \leq 2 \sum_{k=1}^{\infty} \left( 1 + \frac{1}{(a+1)^2} \right)^2 \leq 2(a+1)^2 \sum_{k=1}^{\infty} \left| \eta_k \right|^2 = \frac{(a+1)^2 (\ln q)^2}{2 \pi^2} \sum_{k=1}^{\infty} \frac{1}{k^2} = \frac{(a+1)^2 (\ln q)^2}{12},
\]

so the conclusion follows from Lemma 4.

Let us fix \( p \in (0,1) \), let \( Q = \frac{1}{1-p} \). We put

\[
\phi_p(a) = \frac{p^a}{a \ln Q} + \frac{(a+1)^2}{12a} p^a \ln Q.
\]

Notice that \( \Pr[W_{n,p} = a] \leq \phi_p(a) \).

**Theorem 3.** \( \Pr[W_n \geq k] < \frac{\phi(k)}{1-2p} \)

**Proof.** It can be observed that \( \frac{\phi_p(a+1)}{\phi_p(a)} < 2p \). Therefore,

\[
\Pr[W_n \geq k] = \sum_{a=k}^{n} \Pr[W_n = a] < \sum_{a=k}^{\infty} \phi(a) < \frac{\phi(k)}{1-2p}.
\]
2.2 Discussion

Let us observe that formulas from Theorem 2 do not depend on the number $n$. However, small fluctuations are hidden inside the error term, which can be observed on the Fig. 2.2.

This practical independence of the number $n$ of nodes on the number of survivors is very interesting. However, the number $n$ has an influence on the required number of rounds in LGE. This number may be controlled by Lemma 1: from this lemma we deduce that if $X \sim \text{MGeo}(n, p)$ then $\Pr[X > (\ln 10^{20} + \ln n) / \ln(Q)] < 10^{-20}$ (where $Q = 1/(1-p)$), and hence from a practical point of view it is negligible. This implies that (see [5] for details) the LGE algorithm should run $2 \cdot \left\lceil \log_3 \left( \frac{1}{\ln(Q)} (\ln n + \ln(10^{20})) \right) \right\rceil$ rounds in order to ensure that its probabilistic properties are controlled by the distribution WGeo with probability at least $1 - 10^{-20}$.

From Theorem 2 we deduce that $\Pr[W_{n,p} = 1] = 1 - \frac{p}{2} + O(p^2)$ and $\Pr[W_{n,p} = 2] = \frac{p}{2} + O(p^2)$. From these formulas we deduce that the probability of failure of one phase of LGE is quite large. However, notice that from Theorem 3 we get $\Pr[W_{n,0.01} > 10] \approx 1.006 \cdot 10^{-19}$. Therefore, the LGE algorithm may be used for quick reduction of potential leaders to a small subgroup. We see that if we use this algorithm with parameter $p = \frac{1}{100}$, then with probability at least $1 - 10^{-19}$, the number of survivors will be less or equal 10. The survivors may then take part in another algorithm (e.g. in an algorithm based on paper [9] or in algorithm based on paper [6]), which deals better with small sets of nodes, in order to select a leader with high and controllable probability.
3 Lower Bound

In the previous section we recalled that the LGE algorithm should use $O\left(\ln \ln(n)\right)$ rounds in order to achieve high effectiveness. In this section we prove a general result confirming that this bound is near to an optimal. We use a method applied by D. E. Willard in [11] for an analysis of resolution protocols in a multiple access channel.

Let us consider a system $(U_i)_{i=1,...,L}$ of $L$ urns and let us fix a number $n$. We consider a process of throwing an arbitrary number $Q \in \{2,\ldots,n\}$ of balls into these urns. We assume that all balls are thrown independently and that the probability that the ball is thrown into $i$th urn is equal $p_i$. This process is fully described by the vector $\vec{p}$ of probabilities from the simplex $\Sigma_L = \{(p_1,\ldots,p_L) \in [0,1]^L : p_1 + \ldots + p_L = 1\}$ and the number $Q$ of balls.

The most broadly studied model of urns and balls is the uniform case, i.e. the case when $\vec{p} = (\frac{1}{L},\ldots,\frac{1}{L})$. However, in several papers (see e.g. [3], [1]) one can find some results for the general case. In this section we are interested in the existence of at least one singleton, i.e. in the existence of an urn $U_i$ with precisely one ball. The problem of estimation of the number of singletons was quite recently analyzed in [8].

Let $S_{\vec{p},Q}$ denote the event ”there exists at least one urn with a single ball” and let $S_{\vec{p},Q,i}$ denote the event ”there is exactly one ball in $i$th urn”. Then, $\Pr[S_{\vec{p},Q,i}] = Qp_i(1-p_i)^{Q-1}$ and $S_{\vec{p},Q} = \bigcup_{i=1}^L S_{\vec{p},Q,i}$, therefore, $\Pr[S_{\vec{p},Q}] \leq Q \sum_{i=1}^L p_i(1-p_i)^{Q-1}$.

Let us assume that the number $Q$ of balls is unknown but it is bounded by a number $n$. We are going to show that if the number $n$ is sufficiently large compared to $L$, then there is no $\vec{p} \in \Sigma_L$ which will guarantee the existence of singleton with a high probability for arbitrary $Q$ from $\{2,\ldots,n\}$. More precisely, let

$$MSP(L,n) = \max_{\vec{p} \in \Sigma_L} \min_{2 \leq Q \leq n} \Pr[S_{\vec{p},Q}] .$$

(term MSP is an abbreviation of ”Maximal Success Probability”).

**Theorem 4.** For arbitrary $L \geq 1$ and $n \geq 2$, we have

$$MSP(L,n) < \frac{L-1}{H_n-1} .$$

*Proof.* Let us observe that if $\vec{p} \in \Sigma_L$ is such that for some $i$ we have $p_i = 1$ and $Q \geq 2$, then $\Pr[S_{\vec{p},Q}] = 0$, so $\min_{2 \leq Q \leq n} \Pr[S_{\vec{p},Q}] = 0$. Hence, we may consider only such $\vec{p} \in \Sigma_L$ that $p_i < 1$ for each $i = 1,\ldots,L$.

Let us fix the number $L$ of urns and let us consider the function\(^1\)

$$f(\vec{p}) = \sum_{Q=2}^n \frac{\Pr[S_{\vec{p},Q}]}{Q} .$$

\(^1\)This is the trick which we borrow from Willard’s paper.
Then we have

\[ f(\vec{p}) \leq \sum_{Q=2}^{n} \sum_{i=1}^{L} \frac{\Pr[S_{\vec{p},Q,i}]}{Q} = \sum_{Q=2}^{n} \sum_{i=1}^{L} p_i (1 - p_i)^{Q-1} \leq \sum_{i=1}^{L} \sum_{Q=2}^{\infty} p_i (1 - p_i)^{Q-1} = \sum_{i=1}^{L} p_i (1 - p_i) \frac{1}{1 - (1 - p_i)} = \sum_{i=1}^{L} (1 - p_i) = L - \sum_{i=1}^{L} p_i = L - 1. \]

On the other side, let \( p^* = \min \{ \Pr[S_{\vec{p},Q}] : 2 \leq Q \leq n \} \). Then we have

\[ f(\vec{p}) \geq \sum_{Q=2}^{n} \frac{p^*}{Q} = p^* \sum_{Q=2}^{n} \frac{1}{Q} = p^*(H_n - 1). \]

Therefore, we have

\[ p^*(H_n - 1) \leq f(\vec{p}) < L - 1. \]

Hence, if we take \( Q^* \) such that \( \Pr[S_{\vec{p},Q^*}] = p^* \), then

\[ \Pr[S_{\vec{p},Q^*}] < \frac{L - 1}{H_n - 1}, \]

so

\[ \min_{2 \leq Q \leq n} \Pr[S_{\vec{p},Q}] < \frac{L - 1}{H_n - 1} \]

for arbitrary \( \vec{p} \in \Sigma_n \).

**Corollary 1.** If \( 1 \leq L \leq \frac{1}{2} \ln n + \frac{1+\gamma}{2} \) then \( \text{MSP}(L,n) < \frac{1}{2} \).

**Corollary 2.** If \( n \geq \exp(2L - (1 + \gamma)) \) then \( \text{MSP}(L,n) < \frac{1}{2} \).

**Proof.** Both proofs follow directly from Theorem 4 and the inequality \( H_n \geq \ln + \gamma \).

### 3.1 Application to Leader Election Problem

Let us consider any oblivious leader election algorithm in which at the beginning each station selects randomly and independently a sequence of bits of length \( M \), and later this station use the sequence in the algorithm in a deterministic way. Let \( n \) denote the upper bound on the number of stations taking part in this algorithm and let \( b_i \) denote the sequence of bits chosen by the \( i \)th station. Observe that if for each \( i \) there is \( j \neq i \) such that \( b_i = b_j \), then the algorithm must fail. Hence, success is possible only if there is a singleton in choices made from the space \( \{0,1\}^M \) of all possible sequences of bits. When we use Corollary 1 with \( L = 2^M \), then we deduce that if \( M \leq \log_2 \left( \frac{1}{2} \ln n + \frac{1+\gamma}{2} \right) \) then the probability that the considered algorithm chooses a leader is less than \( \frac{1}{2} \). We may say that \( \log_2 \left( \frac{1}{2} \ln n \right) \) random bits are too few for distinguishing an arbitrary collection of \( \leq n \) objects with a high probability.

9
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