The role of the $N^*(1535)$ resonance and the $\pi^- p \rightarrow KY$ amplitudes in the OZI forbidden $\pi N \rightarrow \phi N$ reaction

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We study the $\pi N \rightarrow \phi N$ reaction close to the $\phi N$ threshold within the chiral unitary approach, by combining the $\pi^- p \rightarrow K^+ \Sigma^-$, $\pi^- p \rightarrow K^0 \Sigma^0$ and $\pi^- p \rightarrow K^0 \Lambda$ amplitudes with the coupling of the $\phi$ to the $K$ components of the final states of these reactions via quantum loops. We obtain a good agreement with experiment when the dominant $\pi^- p \rightarrow K^0 \Lambda$ amplitude is constrained with its experimental cross section. We also evaluate the coupling of the $N^*(1535)$ to $\phi N$ and find a moderate coupling as a consequence of partial cancellation of the large $K$ components of the $N^*(1535)$. We also show that the $N^*(1535)$ pole approximation is too small to reproduce the measured cross section for the $\pi^- N \rightarrow \phi N$ reaction.

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I. INTRODUCTION

The $\pi^- p \rightarrow \phi n$ reaction, assuming the $\phi$ a pure $s\bar{s}$ state, is OZI forbidden and, as a consequence, it should have a very small cross section compared to analogous OZI allowed ones. Actually, the $\pi^- p \rightarrow \phi n$ cross section close to threshold is about a factor fifty smaller than that of the $\pi^- p \rightarrow \omega n$ reaction. Yet, that cross section is still less suppressed than one might expect. The usual way reactions escape the OZI restrictions is through intermediate steps (like loops) which make the initial state couple to some state with both $u, d$ and $s$ quarks, which in a second step couples to the pure $s\bar{s}$ of the $\phi$. This is the case for instance for the $\phi \rightarrow \pi^0 \pi^0 \gamma$ reaction, which is reproduced fairly well in terms of kaon loops where the kaons couple to the $\phi$ on one side and to the nonstrange components on the other side.

In [10] it was suggested that the agent responsible for the relatively large $\pi^- p \rightarrow \phi n$ cross section was the $N^*(1535)$ resonance which should have a large coupling to the $\phi N$ system. The reasoning behind this suggestion was the large coupling of the $N^*(1535)$ to meson baryon states with strangeness, in simpler words the large $s\bar{s}$ content of the $N^*(1535)$. Indeed, the analysis of the $J/\psi \rightarrow \phi K^+$ decay and the $pp \rightarrow \phi K^+$ reaction near threshold concluded that the $N^*(1535)$ resonance has a significant coupling to $K\Lambda$ [11]. The analyses of the recent SAPHIR and CLAS $\gamma p \rightarrow K^+ \Lambda$ data also show a large coupling of the $N^*(1535)$ to $K\Lambda$. The other reason to support the relevant role of the $N^*(1535)$ is that the higher energy $S_{11}$ resonance, the $N^*(1650)$ couples very weakly to $\eta N$ and $K\Lambda$.

From another perspective, chiral unitary theories provide the $N^*(1535)$ as a dynamically generated state from the interaction of the octet of the pseudoscalar mesons and the octet of stable baryons and the large coupling of the resonance to the $\eta N$, $K\Lambda$ and $K\Sigma$ stems naturally from the information of the chiral lagrangians used as input. Indeed, the good reproduction of the $\gamma p \rightarrow K^+\Lambda$ and $\gamma p \rightarrow K^+\Sigma$ within the chiral unitary approach, using the complete set of Feynman diagrams demanded by gauge invariance, comes to stress the role of the dynamically generated $N^*(1535)$ resonance in these reactions.

The claim of a nature as a dynamically generated resonance for the $N^*(1535)$ can be interpreted as having the resonance largely build up of meson baryon components, which play the dominant role in reactions taking place at low energies. There are indications that some extra conventional three constituent quark components are also present in the resonance. These components would show up at large $Q^2$ in the electroproduction helicity form factors where the picture of the $N^*(1535)$ as purely dynamically generated resonance produces a too fast fall down.

The purpose of the present paper is to do further research regarding the idea of using the chiral unitary approach where the $N^*(1535)$ resonance is dynamically generated. Similarly to the successful approach in the description of the $\phi \rightarrow \pi^0 \pi^0 \gamma$ reaction, here we shall also have the $N^*(1535)$ coupling to the $K\Lambda$ and $K\Sigma$ components which later on will couple to the $\phi n$ system. Technically, this means the reaction will proceed via loops of $K\Lambda$ and $K\Sigma$. The work of, which studies the $N^*(1535) \rightarrow \gamma N$ transition, is also useful here since making use of the vector meson dominance hypothesis we can replace a photon by a $\phi$, with the appropriate conversion factors, and this will provide the coupling of the resonance to $\phi n$, which in [10] was extracted from the $\pi^- p \rightarrow \phi n$ data.

Parallely we shall conduct another study in which we shall use the full and energy dependent amplitude provided by the chiral unitary approach, instead of the couplings from the $N^*$ pole position. The two procedures should be identical should the $N^*(1535)$ resonance dominate absolutely the $\pi^- p \rightarrow \phi n$ reaction. However, the
chiral unitary approach, which provides some resonances appearing as poles of the scattering matrix, not only provides the poles but simultaneously generates a background which is relevant as soon as we move away from the resonance region. In this sense, since the $N^*(1535)$ resonance is about 400 MeV below the $\phi n$ threshold, one should expect some differences between these two approaches and we shall investigate them.

II. FORMALISM

A. Meson baryon transition

In the present model, the $\phi$ meson is produced by the coupling of the vector meson to intermediate loops, which are provided by a unitary meson-baryon amplitude in coupled channels, with the interaction derived from the lowest order chiral Lagrangian. For the meson-baryon amplitude, we follow closely the approach used in Ref. [20]. The idea for the present study of $\pi N \to \phi N$ is that the coupled channel approach provides $\pi N \to K\Lambda, K\Sigma$ transitions, with final states to which the $\phi$ can couple according to its main decay channel $\phi \to \bar{K}K$.

We have the coupled channels $K^+\Sigma^-, K^0\Sigma^0, K^0\Lambda, \pi^-p$, $\pi^0n$ and $\eta p$ for the net charge zero case, and $\pi^0p$, $\pi^+n$, $\eta p$, $K^+\Sigma^0, K^+\Lambda,$ and $K^0\Sigma^+$ for the net charge +1 case. The meson baryon scattering amplitude is described in Ref. [20] by means of the Bethe-Salpeter equation for meson baryon scattering given by

$$T = V + VGT.$$ (1)

Based on the $N/D$ method and the dispersion relation [27], this integral scattering equation can be reduced to a simple algebraic equation

$$T = (1 - VG)^{-1}V$$ (2)

where $T$ is a matrix in the coupled channels $i,j$ that provides the unitary amplitude. The matrix $V$ is the $s$-wave meson-baryon interaction provided by the lowest order of chiral perturbation theory, which is the Weinberg-Tomozawa interaction,

$$V_{ij} = -C_{ij} \frac{1}{4f^2} \frac{(2\sqrt{s} - M_i - M_j)}{M_i + E_i} \sqrt{\frac{M_i + E_i}{2M_i}} \sqrt{\frac{M_j + E_j}{2M_j}}$$ (3)

with the channel indices $i,j$, the baryon mass $M_i$, the meson decay constant $f$ and the center of mass energy $\sqrt{s}$. The coefficients $C_{ij}$ are the coupling strengths determined by the SU(3) group structure of the channels and are given in [20]. The diagonal matrix $G$ is a meson baryon loop function given in terms of meson and baryon propagators by

$$G(\sqrt{s}) = i \int \frac{d^4q}{(2\pi)^4} \frac{M}{E(q)} \frac{1}{q^2 - E(q) + i\epsilon}$$

$$\times \frac{1}{(P - q)^2 - m^2 + i\epsilon}$$ (4)

with the total energy $P = (\sqrt{s},0,0,0)$ in the center of mass frame and the meson mass $m$. For the baryon propagator, we use a nonrelativistic form, slightly different from Eq. (4). Details of the nonrelativistic approximation can be found in a recent discussion in Ref. [20]. In dimensional regularization, the loop function in each channel $i$ is given by the following analytic expression:

$$G_i = i \int \frac{d^4q}{(2\pi)^4} \frac{2M_i}{q^2 - M_i^2 + i\epsilon} \frac{1}{(P - q)^2 - M_i^2 + i\epsilon}$$

$$= \frac{2M_i}{16\pi^2} \left\{ a_i(\mu) + \ln \frac{M_i^2}{\mu^2} \right\}$$

$$+ \left[ \frac{\bar{q}_i}{\sqrt{s}} \ln(s - (M_i^2 - m_i^2) + 2\bar{q}_i\sqrt{s}) \right.$$  
$$+ \ln(s + (M_i^2 - m_i^2) + 2\bar{q}_i\sqrt{s})$$  
$$- \ln(-s + (M_i^2 - m_i^2) + 2\bar{q}_i\sqrt{s})$$  
$$- \ln(-s - (M_i^2 - m_i^2) + 2\bar{q}_i\sqrt{s}) \right\},$$ (5)

where $\bar{q}_i$ is the 3-momentum of the meson or baryon in the center of mass frame, $\mu$ is the scale of dimensional regularization and $a_i(\mu)$ are subtraction constants, which are determined by a fit to the $S_{11}$ and $S_{31}$ partial waves of $\pi N$ scattering [20]. There are four independent subtraction constants in Ref. [20], one for each intermediate state, taking just one subtraction constant for states belonging to the same isospin multiplet. Thus the free parameters of the theory are $a_{\pi\pi}, a_{K\Sigma}, a_{K\Lambda}, a_{\eta N}$. Once these constants are fixed to the $\pi N$ scattering data, the amplitudes involving vector mesons, as well as strangeness production according to $\pi N \to K\Lambda, K\Sigma$, can be predicted without introducing any new free parameters. The values of the subtraction constants can be found in Ref. [20] or Sec. III C.

In this study we will make no attempt to introduce the $\pi\pi\pi$ channel or modify the interaction with a form factor as done in Ref. [20]. This is because these modifications cannot easily be extended to the high energies of $\phi$ production. Also, the influence of the $\pi\pi\pi$ channel has been found rather small in isospin 1/2 (the reaction of interest, $\pi N \to \phi N$, is in pure isospin 1/2).

The amplitudes $T_{ij}$ from Eq. (2) can be analytically continued to the complex plane of the scattering energy $s^{1/2}$. The amplitude has a pole on the second Riemann sheet that is identified with the resonance. Around the position of the pole at the complex value $M^*$, the amplitude can be expanded according to

$$T_{ij}^{\text{pole}} = \frac{g_i g_j}{s^{1/2} - M^*},$$ (6)
The expression in the numerator, which is given by the residue of the amplitude, determines the coupling strengths $g_i$ of the resonance to the different channels. The pole positions of the resonance have been obtained in Ref. [26] at

$$M^* = 1537 - 37i \text{ MeV}$$

(7)

for the $n^*$ (neutral charge) and

$$M^* = 1532 - 37i \text{ MeV}$$

(8)

for the $p^*$ (+1 charge). The values of the coupling constants $g_i$ are listed in Ref. [26]. It turns out that the $N^*(1535)$ couples strongly to $K\Lambda$ and $K\Sigma$ and thus has large strangeness components.

We will refer to the expansion in Eq. (6) as pole approximation in the following. Close to the pole position, Eq. (6) will be a good approximation while further away the full amplitude might become quite different from a resonant shape. For example, even at $s^{1/2} = 1535$ MeV the resonant shape of the $N^*(1535)$ of Eq. (6) is already modified by a considerable background in $\pi N$ scattering.

Note that Eq. (6) provides only a first order approximation and does not take into account the energy dependence of the width. We will further discuss this issue in Secs. III B and IV.

B. Vector meson couplings

In order to construct the transition $\pi N \to \phi N$, the $\phi$ is coupled to the kaon loops provided by the unitarized amplitude from Eq. (4). This is schematically shown in Fig. 1. For completeness, we will also consider the vector mesons $\omega$ and $\rho$. The coupling of the vector mesons follows a similar scheme as in the case of photons, obeying similar constraints from gauge invariance as the photon. This requires, in principle, to couple the vector mesons to all possible meson and baryon propagators and vertices provided in the rescattering series from Eq. (2). In a recent study [26], the electromagnetic form factors of the $N^*(1535)$ have been evaluated. There, some of the diagrams turn out to be of next-to-leading order. Only the diagrams shown in Fig. 1 are of leading order. In practice, it is enough to calculate the meson pole term on the righthand side. Indeed, imposing gauge invariance, the contribution from the Kroll-Ruderman term can be taken into account without any explicit calculation. Then, the diagrams from Fig. 1 form a gauge invariant subset of leading order diagrams. For a detailed discussion on gauge invariance and subleading terms, see Ref. [26]. The main point when adapting the formalism from photons to vector mesons is that the virtuality of the photon corresponds to the meson mass, $-Q^2 = m_V^2$.

The transition amplitude, involving vector mesons, can be written as

$$T = T^{\mu\nu} \sigma_\mu \epsilon_\nu$$

(9)

where $\sigma^\mu \equiv (0, \vec{\sigma})$ is the spin operator of the baryon and $\epsilon$ the polarization vector of the vector meson (V). Lorentz invariance implies the amplitude to be of the general form

$${\cal L}_{MBB} = \frac{D}{\sqrt{2f}} \text{Tr} \left[ \tilde{B} \beta_\mu \gamma_5 \{ \partial^\mu \Phi, B \} \right]$$

$${\cal L}_{VMM} = \frac{-F}{\sqrt{2f}} \text{Tr} \left[ \tilde{B} \beta_\mu \gamma_5 \partial^\mu \Phi, B \right]$$

(13)

and

$${\cal L}_{VMM} = \frac{ig_{\mu} m_{\nu}}{\sqrt{2f^2}} \text{Tr} (V^\mu \partial_\mu P, P)$$

(10)

(11)

(12)

Thus, the coefficient $a$ can be determined from $d$ and $e$. Evaluating $d$ and $e$ has the advantage that the contributions of loop amplitudes to these coefficients appear with two powers of loop momenta less than in case of $a$ as one directly see from Eq. (10). In particular, the sum of the transition loops with $\phi$ couplings are finite and require no renormalization.

In the present, nonrelativistic, formulation, the leading terms of the $1/M$ expansion are given by the two diagrams in Fig. 1. As the Kroll-Rudermann term only contributes to $a$, it is enough to calculate $d$ and $e$ from the meson pole term and then use Eqs. (11) and (12) in order to evaluate the amplitude. The calculation of the meson pole term for finite $k$ is described in detail in Ref. [26]. Here, we only display the final result which is similar to the expressions in Ref. [26]. For the $MBB$ and the $VMM$ vertices we have used the interaction Lagrangians

$${\cal L}_{MBB} = \frac{D}{\sqrt{2f}} \text{Tr} \left[ \tilde{B} \beta_\mu \gamma_5 \{ \partial^\mu \Phi, B \} \right]$$

$${\cal L}_{VMM} = \frac{-F}{\sqrt{2f}} \text{Tr} \left[ \tilde{B} \beta_\mu \gamma_5 \partial^\mu \Phi, B \right]$$

(13)
respectively. The matrices $V$ and $P$ represent the fields of vector and pseudoscalar mesons, respectively. An explicit expression for $V$ can be found in Ref. [30], the meson and baryon matrices $Φ \equiv P$ and $B$ can be found in Ref. [26].

The total amplitude for the process $πN → φN$ is given by

$$T_{tot}^i = \sum_j T^{ij} a_j (σ \cdot ℓ)$$  \hspace{1cm} (14)$$

with $T^{ij}$ being the unitarized $MB → MB$ transition from Eq. [12] $[i = 4$ for the initial state $π^− p]$, $a_j$ is given by Eq. [12] and the sum is over all channels $j$ for the intermediate meson baryon state, i.e. the loop shown in Fig. 4. The coefficients $d$ and $e$ are given by

$$d_j = \frac{A_j g_A^2 g_V}{16\pi^2} \int_0^1 dx \int_0^x dy \frac{2M_j(1-y)(1-x)}{S + iε},$$

$$e_j = \frac{A_j g_A^2 g_V}{16\pi^2} \int_0^1 dx \int_0^x dy \frac{M_j(1-y)(2y-1)}{S + iε}$$  \hspace{1cm} (15)$$

where

$$S = P^2x(1-x) + k^2y(1-y) - M_j^2(1-x) - m_j^2x - 2P \cdot k(1-x)y.$$  \hspace{1cm} (16)$$

The meson and baryon masses of the loop are given by $m_j$ and $M_j$. The axial coupling constants $g_A^2$ from the $MBB$ Lagrangian can be directly taken from Table III of Ref. [26]. The relevant coefficients from the $VMM$ couplings $A_j$ are $A_j^0 = 1/(\sqrt{2} f)$ and $A_j^1 = -1/(2 f)$ for $φ$ and $ω$ production, in all channels $j$ with kaons, and zero otherwise. For the $ρ^0$ case, $A_{ρ^0} = -1/(2 f)$ for loops with $K^+$, $A_{ρ^0} = +1/(2 f)$ for loops with $K^0$, $A_{ρ^0} = 1/f$ for the loop with $π^0$, and $A_{ρ^0} = -1/f$ for the loop with $π^+$. The coefficient $A_{ρ^0} = 0$ for channels with $π^0$ and $η$.

In Eq. [16],

$$g_V = \frac{m_V G_V}{f^2}$$  \hspace{1cm} (17)$$

where the vector coupling strength $G_V = 56$ MeV, $m_V$ is the mass of the vector meson, and $f = 93$ MeV.

So far, we have determined the amplitude for the reaction $πN → φN$. We can also evaluate the effective coupling strengths at different masses. In Eq. [16], we substitute $T^{ij}$ for $T_{tot}^i$ by its pole approximation and $T_{tot}^i$ by its pole approximation

$$T_{πΛ}^i = \frac{g_i g_{πΛ}}{s^{1/2} - M^*} (σ \cdot ℓ),$$  \hspace{1cm} (18)$$

we obtain

$$g_{πΛ} = \sum_j a_j g_j$$  \hspace{1cm} (19)$$

where the $g_j$ are the coupling strengths of the $N^*(1535)$ to the coupled channels of the model as determined from the residue of the pole according to Eq. [6]. In Sec. III A we will show results for the effective couplings of vector mesons to the $N^*(1535)$.

Since the pole approximation is only good close to the pole, we should not use Eq. [16] as a substitute of Eq. [11], which relies upon the full $T^{ij}$ amplitudes, quite different to their pole approximation when we move away from the pole as it is the case here.

In the derivation of the amplitude we have neglected the term with $d$ in Eq. [11] that vanishes at the threshold of vector meson production. Consequently, we evaluate the intermediate loop at the threshold, $s^{1/2} = m_V + M$. Furthermore, we have $k^2 = m_V^2$ and $P \cdot k = m_V(m_V + M)$. Then, the entire energy dependence for the reaction $πN → φN$ comes from the unitarized amplitude from Eq. [2] or, in case of the pole approximation, from the denominator in Eq. [16].

### III. RESULTS

#### A. The couplings strengths of the $N^*(1535)$

The coupling strengths of the $N^*(1535)$ to $φN$, $ωN$, and $ρN$ are displayed in Table I. These values are valid at the respective vector meson production thresholds due to the approximations made in Sec. III B. Yet, their size is a good approximation in the vicinity of the thresholds as the omitted term of the form $ε^0 \cdot ℓ \cdot k$ is small for small momenta $k$ of the vector meson. The calculation has been done in the particle base, which allows for isospin breaking from different masses. However, this effect is negligible and the values in Table I are almost isospin symmetric, i.e., same size and sign for the $φN$ and $ωN$ couplings, $N = (p, n)$, and same size and opposite sign for the $ρ^0N$ couplings.

| $g_{VB→N^*(1535)}$ | this study | other studies |
|---------------------|------------|---------------|
| $φp$               | 0.03 - 0.15i |               |
| $φn$               | 0.04 - 0.17i |               |
| $ωp$               | -0.03 + 0.15i |               |
| $ωn$               | -0.03 + 0.16i |               |
| $ρ^0p$             | 0.63 - 0.04i | 0.69 to 0.89 \(^a\) [16], $±1.12\(^b\) [10]$ |
| $ρ^0n$             | -0.64 + 0.04i |               |

\(^a\) Extraction from PDB without $ρ$ form factor.

\(^b\) In agreement with the PDB [10], implying a form factor for the offshell $ρ$. 

TABLE I: The coupling of the $φ$ to the $N^*(1535)$. For completeness, we also show the predictions for the $ρNN^*(1535)$ and $ωNN^*(1535)$ couplings.
The $\rho N$ coupling, predicted in the present study, is in good agreement with the value extracted from the PDB within experimental uncertainties. To show this we write the width of the $N^*(1535)$ decaying into $\rho N$ in $s$-wave,

$$
\Gamma_{N^* \to (N\rho[\pi\pi])} = \frac{3 M_N}{M_{N^*}} \frac{g_{\rho N}^2}{8 \pi^3} \int_{2m_{\pi}}^{M_{N^*} - M_N} dM f_\rho^2 \left( M_{N^*}^2 - M_N^2 \right) \left( M_{N^*}^2 - 4m_{\rho}^2 \right)
\times \left( \frac{M_{N^*}^2 - 4m_{\rho}^2}{M_{N^*}^2 - m_{\rho}^2} \right)^2 \left( M_{N^*}^2 - m_{\rho}^2 \right)^2 + (M_{N^*} \Gamma_{\rho})^2
\quad (20)
$$

where

$$
p_N = \frac{\lambda^{1/2}(M_{N^*}^2, M_{N}^2, M_{\rho}^2)}{2M_{N^*}}
\quad (21)
$$

and $\tilde{k} = (M_{N^*}^2 - 4m_{\rho}^2)^{1/2}/2$ and $f_\rho$ is the coupling of the $\rho^0$ to two pions, $f_\rho = 6.02$, while $g_{\rho N}$ is the coupling $(g_{\rho N} \delta \cdot \hat{c})$ of the $N^*(1535)$ to $\rho\rho$.

Taking the branching ratio of 0.02 quoted in Ref. [10] and the width $\Gamma_{N^*} = 150$ MeV we obtain $g_{\rho N} = 0.89$, and if we take $\Gamma_{N^*} = 90$ MeV we obtain $g_{\rho N} = 0.69$.

Given the large span of the branching ratio quoted in Ref. [10], the result that we obtain is consistent with present experimental data on this magnitude. This is a good signal that our predicted couplings are realistic.

The coupling of $1.12$ reported in Ref. [10] is equivalent to ours because in Ref. [10] an extra form factor for the off shell $\rho$ is implemented, whose omission leads to the same value of $g_{\rho N} = 0.89$ found here. We do not have explicitly this form factor since the loop calculations incorporate automatically any off shell dependence. In our case the dependence of this coupling on the mass of the $\rho$ is moderate, and changes of the mass by about 50 MeV do not change appreciably the coupling. Even going to a value of $s^{1/2} = 1535$ MeV there is less than 20% decrease in the modulus of the value of the coupling reported in Table I.

The $\rho$ couples to both the $\pi N$ and the $K\Lambda$ channels of the $N^*(1535)$ via the loops shown in Fig. 1. Omitting the $K\Lambda$ loops, the modulus of the $\rho NN^*$ (1535) coupling constant decreases by 40% and is not in agreement with the PDG value any more. This shows that the strangeness channels in the $N^*(1535)$ play an important role.

The couplings to $\omega N$ and $\phi N$ are smaller than $g_{\rho N}$. The reason is, in case of the $\phi N$ and $\omega N$ couplings, that for the $K\Lambda$ intermediate state the combination of the $N^*\Lambda K$ vertex, together with the $K\Lambda\phi$ and $K\Lambda\pi$ vertices, almost cancels the corresponding combination from the $K\Sigma$ intermediate loop. This is not the case for the coupling of the $N^*(1535)$ to $\rho N$. See also Sec. IV for an estimate of the theoretical errors of $g_{\rho N}$.

At the higher energies of $\phi$ production, the picture completely changes, because one should rely on the full, and energy dependent, amplitude $MB \to MB$ rather than on the couplings $g_{KB}$ that are extracted at the pole of the $N^*(1535)$. At the high energies of $\phi$ production, the contributions from the $K\Lambda$ and $K\Sigma$ channel no longer cancel, and the cross section from the full amplitude is much larger than the one from the pole approximation, which relies on the couplings extracted at the pole position. This issue will be further discussed in the following subsections.

The couplings of the $N^*(1535)$ to $K\Lambda$, $\pi N$, and $\eta N$ can be found in Ref. [16]. Together with the values from Table I we can summarize some properties of the $N^*(1535)$ in a schematic ordering of its coupling strengths according to $g_{K\Sigma}, g_{K\Lambda}, g_{\eta N} > g_{\pi N}, g_{\rho N} > g_{\omega N}, g_{\phi N}$. While the large $K\Lambda, \eta N$ couplings are responsible for the formation of the dynamically generated pole, we have found that the coupling to $\rho N$ is of the size of $\pi N$, i.e. smaller. This also means that including the $\rho N$ channel in the coupled channel approach would not change much the properties of the $N^*(1535)$ and the channel space used in Ref. [20] is sufficiently large.

The $\omega N$ coupling to the $N^*(1535)$ in Table I is quite small. It has been shown recently [32] that it is difficult to extract this coupling phenomenologically in an unambiguous way.

### B. $\phi$ and strangeness production

The $\phi$ production is shown in Figs. 2 and 3. The process $\pi N \to \phi N$ is in pure isospin 1/2 and we would expect very similar results for $\pi^- p \to \phi n$ and $\pi^+ n \to \phi p$. This is indeed the case both for the data and the theoretical curves as Figs. 2 and 3 show.

The solid lines show the results using the full solution of the Bethe-Salpeter equation (2) for the $T^{ij}$ amplitudes in Eq. (14), while the dashed-dotted lines represent the $N^*(1535)$ pole approximation of the $MB \to MB$ tran-
FIG. 3: Reaction \( \pi^+ n \rightarrow \phi p \). Theoretical curves as described in Fig. 2. Complete data reference in Ref. [1], except the squares which are from Ref. [33].

The smallness of the result when using the pole approximation is consistent with the small value that we obtain for the coupling in Table II \(|g_{\phi N}| = 0.17\). As a reference, this value is about one order of magnitude below the value determined in a fit to \(\phi N\) data in Ref. [10], \(g_{\phi N} = 1.2\) although our pole approximation and the approach of Ref. [10] are rather different as we explain below. However, this gives us a rough idea of values needed to get a fit to the \(\pi N \rightarrow \phi N\) data assuming it provided by the \(N^*(1535)\) resonance alone.

If we use the full solution of the Bethe-Salpeter equation [2] instead of the pole approximation from Eq. [18], we obtain the solid lines in Figs. 2 and 3. The result is much larger due to the following reasons: First, the form of the pole approximation from Eq. [16] makes the contribution automatically small 400 MeV above the nominal mass of the \(N^*(1535)\). Second, the smallness of \(g_{\phi N, N^*}\) has been traced back to a cancellation of \(K\Lambda\) and \(K\Sigma\) intermediate loops as discussed in Sec. [IIIA]. In the full, energy dependent amplitude, this cancellation is no longer valid at \(s^{1/2} \sim 2\) GeV. As a consequence, the pole of the \(N^*(1535)\) plays a minor role compared to the full amplitude which delivers a solution much closer to the \(\phi N\) production data.

What we learn from there is that the pole approximation of Eq. [18] for the \(\pi N \rightarrow \phi N\) reaction is quite bad, as a consequence of which the concept of the \(\phi N^*\) coupling that we obtain is not very useful. In Ref. [10], an empirical amplitude mediated by the \(N^*(1535)\) excitation is used, with a Breit-Wigner form of the type of Eq. [18], but incorporating the energy dependent width and an extra form factor. In Ref. [10] several options were studied, including the possibility of having contributions from other resonances, like the \(N^*(1650), N^*(1710), N^*(1720), N^*(1900)\) but using different arguments the dominance of the \(N^*(1535)\) was suggested and the model based upon single \(N^*(1535)\) excitation was then used to study the \(pp \rightarrow \rho \phi\) reaction. The coupling \(g_{\phi N^*}\) of the amplitude of Ref. [11] is fitted to reproduce the \(\pi N \rightarrow \phi N\) data. This is a parametrization of some data with a specific form of an amplitude which is different to our pole approximation of Eq. [18] or the full amplitude of Eq. [14]. Hence the comparison of the coupling \(g_{\phi N^*}\) obtained in Ref. [10] and the one found here would be improper. However, even with the differences in the amplitudes, one can qualitatively understand, from the results in Figs. 2 and 3 with the simple pole approximation, why a larger \(\phi N^*\) coupling is needed in Ref. [10] to reproduce empirically the \(\pi N \rightarrow \phi N\) data.

The important point, that we should stress here, is that the chiral unitary approach, adjusting only a few subtraction constants around the \(N^*(1535)\) energy region to fit the \(\pi N \rightarrow \phi N\) data, is able to make a prediction for the \(\pi N \rightarrow \phi N\) cross section close to \(\phi N\) threshold, within a factor of two, without the need to fit any extra parameters. The model of Ref. [10] is a parametrization of the data of \(\pi N \rightarrow \phi N\) assuming \(N^*(1535)\) dominance. It is an effective parametrization of the full \(\pi N \rightarrow \phi N\) amplitude with a single resonance. However, in the present study, although our full amplitude has only one pole corresponding to the \(N^*(1535)\), it also contains a large non-resonant background contribution. The value of the work in Ref. [10] can be seen from another perspective: once an empirical parametrization of the \(\pi N \rightarrow \phi N\) data is done, such information can be used in related processes like the \(pp \rightarrow pp\phi\) reaction and, indeed, it is shown in Ref. [10] that the cross sections of both reactions can be reproduced simultaneously.

At this point it is illuminating to consider the \(\omega\) production according to \(\pi N \rightarrow \omega N\) (not shown here but calculated). The cross section is of similar size and has a similar energy dependence as in \(\pi N \rightarrow \phi N\), while the data in \(\omega\) production reaches \(\sigma = 1.5\) mb already 50 MeV above the \(\omega N\) threshold. Thus, the present model, using the full amplitude, is more than a factor of ten below data. This illustrates that the present model is indeed suited for the OZI-violating \(\phi\) production, where it matches the data much better; for \(\omega\) production, in contrast, resonances and their OZI-allowed couplings to \(\omega N\) will dominate the cross section, and the present model delivers only a small part of the amplitude.

The solution using the full amplitude, shown with the solid lines in Figs. 2 and 3, still overestimates the data by a factor of around 2.5. As described in Sec. [III] the full amplitude of \(MB \rightarrow MB\) has been finetuned to fit \(\pi N\) scattering data from the \(\pi N\) threshold up to \(s^{1/2} \sim 1.6\) GeV. Thus, we cannot expect a precise prediction at the high energies of \(\phi\) production. Yet, we can estimate the expected precision by investigating strangeness production within the present model: The \(\phi\) couples to the meson baryon amplitude through its decay channel into \(KK\) as described in Sec. [III] Strangeness production according to \(\pi N \rightarrow KY\), where \(Y = \Lambda, \Sigma\) can be evaluated...
from the present model by choosing the corresponding final state $j$ in the transition amplitude $T^{ij}$ from Eq. (2). The cross sections for $\pi N \rightarrow YK$ are shown in Figs. 4 to 6.

Again, the solid lines show the result using the full amplitude, the dashed-dotted lines show the pole approximation and the dashed lines represent the result after a readjustment of the parameters as discussed in Sec. III C. The pole approximation is quite different from the outcome with the full amplitude and lies much below data for all reactions. This becomes worse and worse at energies further away from the nominal $N^*(1535)$ mass as one would expect. In particular, the $\pi^- p \rightarrow K^0\Lambda$ reaction shows that the opening of the $K\Sigma$ channel plays an important role. This structure is contained in the full amplitude, while in the pole approximation from Eq. (3), only the information about the sub-threshold $K\Lambda$ and $K\Sigma$ amplitudes is present. These findings imply also a caveat for effective Lagrangian approaches using sub-threshold resonances; we have seen that the contribution from the pole can become very small, and thus a fit to data, using only resonance contributions, can easily lead to an overestimation of the coupling strength to the sub-threshold resonance.

In the following, we concentrate on the full amplitude, shown with the solid lines. For the reactions $\pi^- p \rightarrow K^0\Lambda$ and $\pi^- p \rightarrow K^0\Sigma^0$ we observe fair agreement in the cross sections, even in the region of $\phi$ production around $s^{1/2} \sim 2$ GeV. This behavior is comparable to previous findings for a similar model [18]. In Ref. [12], the bump at $s^{1/2} = 1.7$ GeV in $\pi^- p \rightarrow K^0\Lambda$ is interpreted as an interplay between the $P_{13}(1720)$ resonance and the opening of the $K\Sigma$ and $\omega N$ channels, although the bump can be well described by a pure $P_{13}(1710)$ contribution in a reduced channel space. The picture changes again once photoproduction data are included [12]. The quality of the differential cross section data is not good and it is difficult to pin down the contribution from different channels and partial waves uniquely. In the present model, the structure at $s^{1/2} = 1.7$ GeV is given entirely by the opening of the $K\Sigma$ channel within the coupled channel dynamics in pure $s$-wave.

At the right shoulder of the bump there is an overprediction of the data, that reaches up to $s^{1/2} \sim 2$ GeV, i.e. the region of $\phi$ production. Yet, the overall shape is fairly well reproduced, and it is noteworthy, that the strength and energy dependence of the cross sections in $\pi^- p \rightarrow K^0\Lambda$ and $\pi^- p \rightarrow K^0\Sigma^0$ are predictions and involve no free parameters except those previously fixed in $\pi N$ scattering [see Sec. III].

For the $\pi^- p \rightarrow K^0\Sigma^0$ reaction shown in Fig. 6, the present calculation (solid line) leaves room for additional structures at around $s^{1/2} = 1.75$ GeV. There, the excess of the cross section could indicate a resonant contribution. In Ref. [12], a sizable contribution from the $P_{13}(1710)$ resonance in $J^P = 1/2^+$ is found. In the same reference, a strong $s$-wave contribution close to threshold has been found which supports the present $s$-wave calculation.

For the reaction $\pi^- p \rightarrow K^+\Sigma^-$ shown in Fig. 6, the
The present study predicts a cross section that is too large compared to data. Close to threshold the model deviates by a factor of around two, while at higher energies the discrepancy is even larger. At \( s^{1/2} \sim 2 \) GeV, which is the energy where \( \phi \) production starts, the model overpredicts the cross section by a factor of around 3.

We cannot expect a better precision for \( \phi \) production than the precision in pion-induced strangeness production, and from the \( \pi^- p \to K^0 \Lambda \) and \( \pi^- p \to K^+ \Sigma^- \) reaction we have learned that a factor of 2 to 3 deviation must be admitted. This is indeed what we found for the \( \phi \) production.

It is instructive to see the different weights of terms in the \( \phi \) production. The intermediate states with \( \phi \) couplings [see Fig. 1] in the reaction \( \pi^- p \to \phi n \) are \( K^+ \Sigma^- \), \( K^0 \Sigma^0 \), and \( K^0 \Lambda \). In Fig. 7 we show the contributions from these intermediate states. The contribution from the \( K^0 \Lambda \) state largely dominates. Indeed, setting this loop to zero, the \( \phi \) production cross section is reduced by a factor of around ten, i.e. a factor of three below data. The reason for the large contribution can be found in the \( N \Lambda \Sigma \) vertex, which is around three times larger than the \( N K \Sigma \) vertex. Additionally, the \( \Lambda \) threshold is lower than the \( K \Sigma \) one and the intermediate loop function is larger for the \( \Lambda \) state. The dominance of the \( K^0 \Lambda \) state also means that the observed discrepancies in the reaction \( \pi^- p \to K^+ \Sigma^- \) [see Fig. 6] will have only moderate influence in the \( \pi^- p \to \phi n \) reaction. The overprediction for the cross section in \( \pi^- p \to \phi n \) is rather tied to the overprediction of the data in \( \pi^- p \to K^0 \Lambda \) at around \( s^{1/2} \sim 2 \) GeV [see Fig. 4]. Indeed, in Sec. III C we will see that a better description of the \( \pi^- p \to K^0 \Lambda \) cross section leads to a better description of the \( \pi N \to \phi N \) reactions.

Note that the dominance of the \( K^0 \Lambda \) intermediate state is quite different to the cancellation pattern between the \( \Lambda \) and \( K \Sigma \) intermediate states which we have observed in the determination of the coupling strengths of the \( N^*(1535) \) to \( \phi N \) in Sec. III A. Again, this is due to the fact that the full, energy dependent \( MB \to MB \) amplitude contains much more information than the pole approximation extrapolated to the high energies of \( \phi \) production. It is then the full amplitude which delivers a much more realistic description of the data than the pole approximation.

### C. Readjusting the parameters of the amplitude

The only free parameters in the present study are the four subtraction constants of the loop function from Eq. (5), which have been fitted to \( \pi N \) scattering data in \( S_{11} \) and \( S_{31} \) from threshold up to \( s^{1/2} \sim 1.6 \) GeV. Varying the subtraction constants around their “natural” value [25] is a common way to absorb effects of different nature which are not explicitly included in the strong \( MB \to MB \) amplitude. These can be effects of higher order Lagrangians, which can also be related to genuine resonance poles [25].

The role of the subtraction constants in unitarized chiral perturbation theory has been recently discussed in Ref. 25. Also, there are higher order relativistic effects which can be absorbed in the values of the subtraction constants [see a discussion in Ref. 23]. Many of these higher order corrections are small or at least slowly varying with energy so that a constant in energy is sufficient to absorb them. However, in the present study we are interested in an energy region 500 MeV above the range where the subtraction constants have been fixed originally [25]. Thus, the energy dependence of those effects, that were absorbed in the subtraction constants, might require a slight readjustment.

Indeed, as Fig. 4 shows, the \( \pi^- p \to K^0 \Lambda \) cross section is too large compared to data at \( s^{1/2} = 2 \) GeV, about a factor of two. When readjusting the subtraction constants in Eq. (5) we require that the data in \( \pi^- p \to K^0 \Lambda \) is matched at \( s^{1/2} = 2 \) GeV. At the same time, we require that the cross sections stay similar in the other \( \pi N \to K Y \) reactions studied. Additionally, we require that the pole of the \( N^*(1535) \) remains, although it might move slightly. Finally, we require that the set of subtraction constants is as close as possible to the original values from Ref. 20.

A readjustment which fulfills all these requirements is given with \( a_{K \Sigma} = -2.8 \), \( a_{K \Lambda} = 2.6 \), \( a_{\Sigma N} = 3.6 \), \( a_{\eta N} = 0.6 \) (compared to the respective values of \(-2.8 \), \( 1.6 \), \( 2.0 \), \( 0.2 \) in the original fit from Ref. 20). The resulting cross sections are plotted in Figs. 2 to 6 with the dashed lines.

In order to show the quality of the new fit we quote the values of the \( \chi^2 \) for the \( \pi^- p \to K^0 \Lambda \) reaction for the energy region from 1875 MeV till 2125 MeV: the \( \chi^2 \) per degree of freedom is \( \chi^2 / \text{d.o.f.} = 38 \) with the original set of subtraction constants, while after the readjustment, \( \chi^2 / \text{d.o.f.} = 7.9 \), which is still a high value. However, as Fig. 1 shows, the experimental data from Ref. 51 (open circles) seem to be incompatible with the rest of the data.
from Ref. 1 in that energy region. Indeed, omitting the three data points from Ref. 34 in the fitted region, \( \chi / \text{D.o.f.} = 1.1 \), which is a clear signal of incompatible data.

The reduction of the cross section in \( \pi^- p \rightarrow K^0 \Lambda \) obtained in the new fit leads to a reduced cross section in the \( \phi \) production reactions, because the \( K^0 \Lambda \) intermediate state dominates as discussed at the end of Sec. III B. With the readjusted subtraction constants, we obtain a fairly good description of the data. We would not claim to be able to describe the higher energy data in \( \phi N \) production at 2.6 and 2.9 GeV in Fig. 2 because these energies are much too high for the present model.

### IV. overview and discussion

Along the paper we have clearly stated the approximations done and the level of accuracy expected. We found the \( N^* \phi N \) coupling very small because of strong cancellations between the intermediate \( K \Lambda \) and \( K \Sigma \) channels. Since the description of the \( \pi^- p \rightarrow K \Lambda \) and \( K \Sigma \) cross sections was only qualitative, we can infer some uncertainty in the \( N^* \) couplings to \( K \Lambda \) and \( K \Sigma \), although we should stress that the results of the chiral unitary approach are much better at energies around the the \( N^* (1535) \) where the model has been fitted to the data of \( \pi N \) scattering. We can get an idea of these uncertainties by recalculating the \( N^* \rightarrow K \Lambda \) and \( N^* \rightarrow K \Sigma \) couplings with the new set of parameters from Sec. III C, and from this the new \( N^* \phi N \) coupling. The results can be seen in Table 11. The coupling constants have been extracted through an expansion of the amplitude around the pole as discussed following Eq. 4.

We see that the \( N^* K \Lambda \) and \( N^* K \Sigma \) couplings are rather stable but, due to the cancellations mentioned, the \( N^* \phi N \) coupling changes more drastically, by about a factor 2.5 in modulus. With the new \( N^* \phi N \) coupling the \( \pi N \rightarrow \phi N \) cross section of Figs. 2 and 3 in the pole approximation would be increased by about a factor of five. Yet, the discrepancies in about two orders of magnitude with the data remain.

Once again, the results come to reinforce our comments in Sec. III B the that pole approximation from Eq. 18 is quite bad and, as a consequence, the concept of the \( \phi N^* \) coupling associated to that approximation is not very useful.

We would like to come back to the issue of the meaning of the \( N^* (1535) \) as a dynamically generated resonance. The approach of Ref. 20 relies upon the use of the lowest order chiral Lagrangians to construct the kernel of the interaction and subtraction constants which are fitted to the \( \pi N \) data. Unlike the case of the \( \Lambda (1405) \), where all the channels require the same subtraction constant[35], or equivalently, a unique cut off in all channels to regularize the loop functions[36], the case of the \( N^* (1535) \) requires different ones in different channels, yet of natural size. This was interpreted in Ref. 22 as an indication of the presence of extra non meson baryon components, presumably \( 3q \) states. The flexibility on the choice of subtraction constants, which regularize the loop functions, allows one to take into account phenomenologically such extra components in the scattering problem.

However, this is no longer the case in the radiative decay \( N^* \rightarrow N \gamma \) where the loops with photon couplings are proved finite[24] and there is no freedom to fit anything. Deficiencies in the theoretical framework will be reflected there in the inability to describe these helicity amplitudes. In this sense it is worth noting that these amplitudes are fairly well described by the couplings provided by the chiral unitary approach[20]. Some discrepancies arise in the form factors around \( Q^2 = 1 \text{ GeV}^2 \) where the theoretical form factor falls too fast and a compact three quark component like in the chiral quark models would definitely help in producing a slower fall down[37, 38]. The acceptable results for the helicity amplitudes at \( Q^2 = 0 \) compared with the most recent determination of the MAID2007 analysis[39] indicate that the baryon meson components are still the dominant ones in the \( N^* (1535) \) wave function and the relatively stable couplings of the \( N^* \) to the meson baryon components provided by the chiral unitary approach[20] are realistic. Let us also mention that the discrepancies with the data in the \( Q^2 \) dependence around \( Q^2 = 1 \text{ GeV}^2 \) are of the order of 20 % for amplitudes normalized equally at \( Q^2 = 0 \), an amount still lower than the uncertainties accepted here in the determination of the \( \pi N \rightarrow \phi N \) cross section.

Finally we would like to comment about another approach to the \( \pi^- p \rightarrow \phi N \) reaction, followed in Refs. 40, 41, 42 in which the leading process is \( t \) channel \( \rho \) exchange relying upon the \( \phi \rightarrow \rho \pi \) anomalous decay and form factors which are fitted to the experiment. A good description is obtained with this approach but in the absence of form factors the use of the \( \rho \) exchange amplitude overshoots the cross section by about a factor of ten. The
results of the present paper, where with no fits one already obtains the $\pi^- p \to \phi n$ cross section at the qualitative level, forces one to reopen this issue. A possibility is to have an OZI violating contact term for the $\pi^- p \to \phi n$ reaction. We can also think of additional terms with the same topology as the $t$ channel $\rho$ exchange. Particularly, a term where one has the $\pi \phi b_1(1235)$ vertex and the $b_1$ is exchanged and coupled to the nucleon. In recent theories where the axial vector meson are dynamically generated from the interaction of vector mesons and pseudoscalars one finds the $b_1$ from the interaction of coupled channels namely $KK^*$, $K^*K$, $\phi\pi$. The coupling of the $b_1$ to $\phi\pi$ is found sizeable in $^{14}$. Unfortunately, although some studies are devoted to the coupling of the $a_1$ to the nucleon, little is known about the coupling of $b_1$ to the nucleons, which does not allow us to proceed further in an evaluation of the actual contribution of this new term. However, the argument clearly indicates that the issue of the contribution of $t$ channel exchange is not settled with just the $\rho$ exchange. The findings of the present paper could stimulate work in this direction.

V. CONCLUSIONS

The study done here has allowed us to draw interesting conclusions. The simultaneous study of the $\pi^- p \to \phi n$ and the $\pi^- p \to K^0\Lambda$, $\pi^- p \to K^0\Sigma^0$ and $\pi^- p \to K^+\Sigma^-$ reactions allowed us to get an idea of the level of accuracy of the model used, from the comparison of the theoretical results with the data for the $\pi^- p \to K^0\Lambda$, $\pi^- p \to K^0\Sigma^0$ and $\pi^- p \to K^+\Sigma^-$ reactions. In the worse of the cases we found discrepancies of about a factor 2.5. We should not expect hence a better agreement with data than such a factor for the $\pi^- p \to \phi n$ reaction which occurs at higher energies from the $N^*(1535)$. The first finding of the study was that the $N^*(1535)$ has indeed a non negligible coupling to the $\phi n$ state. The second finding was that using the simple pole approximation for the $\pi^- N \to \phi N$ reaction with the $N^*\phi N$ coupling found, we obtain a too low cross section compared with experiment.

We discussed that the simple pole approximation is very bad and one should rather use the full amplitude for the $\pi^- N \to \phi N$ reaction obtained by means of the full $\pi^- N \to K\Lambda, K\Sigma$ amplitudes. The use of these full amplitudes instead of their simple pole approximation gives rise to a $\pi^- p \to \phi n$ cross section even larger than experiment but not much different than the difference seen in the $\pi^- p \to K^0\Lambda$ reaction within the same model. The consequences one draws from these results is that, when we study a region so far away from the pole, the whole amplitudes $\pi^- p \to K^+\Sigma^-$, $\pi^- p \to K^0\Sigma^0$ and $\pi^- p \to K^0\Lambda$ should be used rather than their pole approximation.

We also observed that the $\pi^- N \to \phi N$ reaction was dominated by the intermediate $K^0\Lambda$ state. Then, using some freedom in the model for $MB \to MB$ which allowed us to change the subtraction constants moderately, we could obtain a better cross section for the $\pi^- N \to K^0\Lambda$ reaction around the energy of threshold $\phi N$ production. By using this new input in the $MB \to MB$ model then we obtained good cross sections for the $\pi^- N \to \phi N$ reaction, within present experimental errors which are as large as a factor of two.

Should the experimental data improve in the future, further refinements would be needed in the work done here. Indeed, in Ref. $^{24}$ it was shown that for large $Q^2$ values of the order of 1 GeV$^2$, as needed here, relativistic corrections could induce changes of the order of 30-40\%. Furthermore, we should take into account that the coupling of $\phi N$ to $\pi N$, apart from the source studied by us, could also get contribution from a direct coupling if a more sophisticated approach to the $\pi N$ reactions would be followed which would include vector baryon components in addition to the pseudoscalar baryon ones. This enterprise would be possible by extending to SU(6) the work based on SU(3) of the former references. The formalism for this extension has been carried out in $^{17}$ although not applied to the problem we are dealing with here. Our study could stimulate further work along this direction.

VI. ACKNOWLEDGMENTS

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