Retrospective Study

Clinical utility of a new endoscopic scoring system for Crohn’s disease

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Abstract

AIM: To evaluate the clinical value of the newly modified Simple Endoscopic Score for Crohn’s disease (mSES-CD).

METHODS: Seventy-six Crohn’s disease (CD) patients who underwent transanal double balloon endoscopy (DBE) in our hospital between 2003 and 2012 were retrospectively reviewed. DBE is defined as small intestinal endoscopy using two attached balloons. We included patients with stenosis which hampered passage of the scope and those who underwent DBE with observation for at least 80 cm from the ileocecal valve. Our new mSES-CD assesses the endoscopic activity of two consecutive small intestinal segments located 0-40 cm and 40-80 cm from the ileocecal valve. To compare the usefulness of mSES-CD with SES-CD, we similarly divided the patients into two groups according to total mSES-CD score (low disease activity group, < 4; high disease activity group, ≥ 4).

RESULTS: Median age of the 76 CD patients was 36 years (range, 16-71). Thirty-nine patients had stenosis which hampered passage of the DBE to 80 cm on the proximal side from the ileocecal valve. Median evaluable length of small intestine by DBE was 80 cm (range, 3-200). A total of 74 patients had one or more small intestinal lesions detected by DBE, of which 62 (83.8%) were within 80 cm of the ileocecal valve on the proximal side. Only two patients (2.7%) with proximal-
side lesions more than 80 cm from the ileocecal valve did not have lesions within 80 cm. Patients with high mSES-CD scores showed significantly shorter surgery-free survival than those with low scores ($P < 0.05$). In contrast, surgery-free survival did not significantly differ between the low and high SES-CD groups ($P > 0.05$). Multivariate analysis by a Cox proportional hazards model identified mSES-CD as an independent factor for surgery-free survival.

**CONCLUSION:** mSES-CD is useful in evaluating the risk of surgery-free survival in patients with CD.

**Key words:** Crohn's disease; Modified Simple Endoscopic Score; Mucosal healing; Double balloon endoscopy; Surgery-free survival

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Core tip: Modified Simple Endoscopic Score for Crohn's disease (mSES-CD) is a new scoring method which includes assessment of the endoscopic activity of small intestinal as well as colorectal lesions by double balloon endoscopy. mSES-CD is useful in evaluating the risk of salvage surgery-free survival in patients with Crohn's disease.

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**INTRODUCTION**

Crohn's disease (CD) is an inflammatory bowel disease of unknown etiology. In Japan, the Research Committee of Inflammatory Bowel Disease, established by the Japanese Ministry of Health and Welfare, estimates that the number of patients with CD is increasing by 1500 every year. CD is characterized as a chronic process with repeating recurrence and recrudescence, and can affect any part of the gastrointestinal tract. Many CD patients require surgery, with Solberg et al [1] reporting a cumulative probability of surgery over 10 years of 37.9%.

The traditional goal of CD therapy has been the induction and maintenance of clinical remission. With the availability of monoclonal antibodies, however, the goal of treatment has switched to mucosal healing (MH). Previous reports showed that, because of its association with the prognosis of CD, MH is more important than clinical remission [2-4]. For example, Schnitzler et al [5] reported that MH was significantly associated with a lower need for major abdominal surgery during long-term follow-up in patients treated with infliximab (IFX). To evaluate MH, several conventional endoscopic scoring methods for CD have been proposed, including the Crohn's Disease Endoscopic Index of Severity (CDEIS) and Simple Endoscopic Score for Crohn's Disease (SES-CD) [6-7]. The SES-CD scores four variables - ulcer size, extent of ulcerated surface, extent of affected surface, and stenosis - from 0 to 3 in the five segments of the ileum, right colon, transverse colon, left colon, and rectum. Daperno et al [8] reported that the SES-CD is a simple, reproducible, and easily used endoscopic scoring system for CD, and showed a strong correlation with CDEIS ($r = 0.920$).

The recent availability of double balloon endoscopy (DBE) allows evaluation of the activity of small intestinal lesions as well as colorectal lesions by an endoscopic procedure. Although a number of incidents have been reported, serious complications with DBE are rare, particularly with diagnostic DBE [9-11]. To date, however, a method of evaluating MH in CD which includes the small intestine has not been established. To date, capsule endoscopy (CE) and magnetic resonance enterography (MRE) have both been shown to be capable of assessing mucosal healing [12-16]. However, a method of evaluating MH which includes small intestinal lesions in patients with CD using DBE has not been established.

Here, we assessed the importance of evaluating the endoscopic activity of small intestinal lesions by DBE. We also propose a newly modified Simple Endoscopic Score for Crohn's disease (mSES-CD), which includes assessment of the endoscopic activity of small intestinal lesions, and evaluate its usefulness in predicting the prognosis of CD.

**MATERIALS AND METHODS**

**Patients**

The study was approved by the ethical review committee of Nagoya University Hospital. CD was diagnosed on the basis of standard clinical, endoscopic, and histological criteria. Between 2003 and 2012, the medical records of 76 patients with CD who underwent transanal DBE in our hospital were retrospectively reviewed. We included patients with stenosis which hampered passage of the scope and those who underwent DBE with observation for at least 80 cm from the ileocecal valve. Median age was 36 years (range, 16-71 years) and 60 of 76 were male. Patient characteristics are summarized in Table 1.

**Double balloon endoscopy**

DBE was first performed in humans by Yamamoto et al [17] in 2000, and has been available for the clinical care of CD patients in Japan since 2003. In brief, DBE is performed using two balloons, one attached to the tip of the endoscope and the second at the distal.
Definition of mSES-CD
In this study, we devised a newly modified SES-CD which incorporates evaluation of endoscopic activity in the small intestine by DBE. Scoring for this newly mSES-CD is done using endoscopic activity in the two consecutive small intestinal segments extending 0-40 cm and 40-80 cm from the ileocecal valve, and in the four colorectal segments. The right colon segment included the ileocecal valve. The scores of the two intestinal segments were evaluated using the same scoring method as SES-CD[8], giving a total score range for the mSES-CD of 0-67. To compare clinical values of the mSES-CD with SES-CD, we similarly divided the patients into two groups according to total mSES-CD score (low disease activity group, < 4; high disease activity group, ≥ 4)[18].

Study outcomes
The clinical value of mSES-CD in predicting clinical outcome in patients with CD was evaluated using the occurrence of surgery after DBE as an endpoint. Surgery-free survival was defined as the time from the date of DBE to the date of surgery or date of last follow-up, whichever occurred first. Surgeries included laparotomies for intestinal resection, strictureplasty and abscess drainage. These surgeries were performed as a result of failure of medical therapy.

Statistical analysis
Categorical variables were compared by the χ² test or Fisher’s exact test, and continuous variables were compared by the independent Student’s t test. The association of endoscopic activity (mSES-CD and SES-CD) with CRP was assessed by Spearman’s rank correlation coefficient. Surgery-free survival was analyzed by the Kaplan-Meier method and statistical differences were calculated by the log-rank test. Independent factors associated with surgery-free survival were identified by univariate and multivariate analyses using the Cox proportional hazards model. Statistical analyses were carried out with a statistical software package (IBM SPSS version 20), with P < 0.05 considered statistically significant.

RESULTS
Patient characteristics and feasibility of DBE
Of 76 patients, 39 had stenosis which hampered passage of the scope to 80 cm on the proximal side from the ileocecal valve. Median total DBE-evaluable length of small intestine was 80 cm (range, 3-200). A total of 74 patients with one or more small intestinal lesions were assessed by SES-CD. Only two patients had a small intestinal lesion more than 80 cm from the ileocecal valve on the proximal side but no lesions within 80 cm.

Table 1  Patient characteristic

| Variable                      | n  |
|-------------------------------|----|
| Sex (M:F)                     | 60:16 |
| Age at diagnosis (yr)         | 24 (11-71) |
| Age at DBE (yr)               | 36 (16-71) |
| Disease duration (yr)         | 7.5 (0-33) |
| Disease location (L1:L2:L3)   | 16:26:32 |
| Prior surgery                 | 40 |
| Disease behavior (B1:B2:B3)   | 27:43:6 |
| CDAI†                         | 106.7 ± 54.6 |
| Medication at DBE             | 29 |
| Azathioprine                  | 18 |
| Elemental diet                | 61 |
| 5-ASA                         | 63 |
| No medication                 | 4 |

1median (range); 2mean ± SD. Location: L1, colorectum; L2, colorectum and small intestine; L3, small intestine; Behaviour: B1, non-structuring non-penetrating B2, structuring B3, penetrating; CDAI: Crohn’s disease activity index; ASA: Aminosalicylic acid; DBE: Double balloon enteroscopy.

Figure 1  Number of patients with small intestinal lesions of Crohn’s disease according to distance from the ileocecal valve (n = 74). Sixty-two (83.8%) of 74 patients with small intestinal lesions which existed within 80 cm of the ileocecal valve on the proximal side were assessed by modified Simple Endoscopic Score for Crohn’s disease (mSES-CD), whereas 37 (50.0%) patients with small intestinal lesions were assessed by SES-CD. Only two patients had a small intestinal lesion more than 80 cm from the ileocecal valve on the proximal side but no lesions within 80 cm.
with proximal-side lesions more than 80 cm from the ileocecal valve did not have lesions within 80 cm. These results support the validity of our new mSES-CD, which includes two small intestinal segments extending 0-40 cm and 40-80 cm from the ileocecal valve. In consequence, 62 (83.8%) of 74 patients with one or more small intestinal lesions were assessed by mSES-CD, whereas only 37 (50.0%) patients with small intestinal lesions were assessed by SES-CD. With regard to safety, no adverse events were observed, including major adverse events such as perforation of the small intestine, bleeding, or mucosal injury.

**Correlation of mSES-CD with CRP**

Among several laboratory markers of systemic inflammation in patients with CD, CRP is one of the most useful, and values show a good correlation with mucosal inflammation in the intestine. We investigated the association of our new mSES-CD with CRP compared to that of SES-CD. mSES-CD showed a stronger correlation with CRP \( r = 0.576, P < 0.001 \) than SES-CD \( r = 0.446, P < 0.001 \). On subgroup analysis in the 32 patients with endoscopic activity in the small intestine only, mSES-CD showed a positive correlation with CRP \( r = 0.536, P = 0.002 \), whereas SES-CD did not correlate with CRP \( r = 0.259, P = 0.152 \). These results indicate that CRP value is influenced not only colorectal inflammation but also small intestinal activity.

**mSES-CD and the risk of surgery for CD**

MH is a useful predictor of a good clinical outcome in patients with CD. We evaluated the predictive value of mSES-CD with regard to the occurrence of surgery due to the worsening of CD inflammation. Table 2 compares patient characteristics between the low disease activity (mSES-CD < 4) and high disease activity (mSES-CD ≥ 4) groups. Although most clinical variables did not significantly differ between the low and high mSES-CD groups, CRP was significantly higher and albumin was significantly lower in the high mSES-CD group. The total number of patients who underwent surgery was 26 of 76 patients in this study. Of these, 3 (12%), 13 (50%) and 10 (38%) had a disease location in the colorectum, both colorectum and small intestine, and small intestine, respectively. We next examined the correlation between surgery-free survival and demographic and clinical data. On univariate analysis, mSES-CD, IFX treatment and disease duration were significantly associated with surgery-free survival, whereas SES-CD and CRP were not (Table 3). On multivariate analysis using a Cox proportional hazards model, mSES-CD was an independent factor associated with surgery-free survival, in addition to disease duration and treatment with IFX. Finally, we examined the correlation between surgery-free survival and the mSES-CD and SES-CD groups. The low mSES-CD group had significantly better survival than the high mSES-CD group \( P < 0.05 \), log-rank test). In contrast, surgery-free survival did not significantly differ between the low and high SES-CD groups \( P > 0.05 \), log-rank test). Kaplan-Meier analysis of mSES-CD and SES-CD groups is shown in Figure 2. In addition, we performed additional subgroup analysis in patients without stenosis. This analysis showed a tendency to poorer surgery-free survival in patients with a high mSES-CD score \( (\geq 4) \) compared to low mSES-CD score \( (P = 0.332 \) log-rank test), albeit without statistical significance.

**DISCUSSION**

In this study, we found that small intestinal lesions detected by DBE influence the systemic inflammation of CD. In addition, mSES-CD, our new scoring method for the evaluation of mucosal activity using DBE, showed predictive value in the clinical outcome in patients with CD. These findings suggest that mSES-CD is useful in evaluating the risk of surgery-free survival in patients with CD.

CD patients in clinical remission do not always achieve endoscopic remission, and a DBE finding of active lesions in patients in clinical remission is not rare. As the disease progresses without symptoms, these patients are at risk of developing stenosis or fistula. Decision making for treatment adjustment therefore requires the accurate evaluation of mucosa. Recent advances in endoscopy have substantially benefited the diagnosis of lesions of the small intestine in patients with CD. With regard to DBE, this was first performed in humans by Yamamoto et al. and Sunada et al. in 2000, and has been available for the clinical care of CD patients in Japan since 2003.

In the present study, we proposed a newly modified SES-CD which incorporates evaluation of the small intestine extending 0-40 cm and 40-80 cm from the ileocecal valve.
Although it is technically possible to investigate the full length of the small intestine, determining an appropriate evaluation range should be based on both the sensitivity of detection of small intestinal lesions and invasiveness. In our study, 83.8% of all patients with one or more lesions of the small intestine were localized within 80 cm of the ileocecal valve, and only two patients (2.7%) showed proximal-side lesions more than 80 cm from the ileocecal valve but no lesions within 80 cm. These findings support the validity of our scoring method of small intestinal lesions by DBE that includes evaluation of the small intestine for 80 cm from the ileocecal valve.

The utility of endoscopy for predicting intestinal surgery has not been fully established. Allez et al. reported that severe endoscopic lesions (SELS) have a more aggressive clinical course with an increased rate of surgery, while Jauregui-Amezaga et al. reported that the presence of SELs is not predictor of surgery in patients with CD. They emphasized the

| Table 3  Univariate and multivariate analyses for surgery-free survival (n = 76) |
|----------------|----------------|--------|----------------|----------------|
| mSES-CD ≥ 4   | 7.59 (1.03-56.0) | 0.047  | 9.38 (1.20-73.5) | 0.033          |
| SES-CD ≥ 4    | 1.34 (0.60-3.02) | 0.477  | -              | -              |
| Sex Male      | 0.97 (0.39-2.42) | 0.942  | -              | -              |
| Age at diagnosis < 20 | 1.07 (0.48-2.35) | 0.876  | -              | -              |
| Disease duration ≥ 10 | 2.21 (0.98-4.81) | 0.056  | -              | -              |
| Prior surgery Yes | 1.52 (0.69-3.34) | 0.303  | -              | -              |
| Albumin < 4   | 1.53 (0.64-3.63) | 0.340  | -              | -              |
| Platelet ≥ 410 × 10^4 | 1.91 (0.76-4.77) | 0.166  | -              | -              |
| CRP ≥ 0.3     | 1.56 (0.72-3.38) | 0.259  | -              | -              |
| WBC ≥ 8500    | 1.42 (0.42-4.72) | 0.572  | -              | -              |
| CDAI ≥ 150    | 1.85 (0.80-4.30) | 0.151  | -              | -              |
| Infliximab Yes | 0.34 (0.13-0.90) | 0.029  | -              | -              |
| 5-ASA         | 1.12 (0.42-2.97) | 0.822  | -              | -              |
| Elemental diet Yes | 1.41 (0.48-4.10) | 0.530  | -              | -              |
| Azathioprine Yes | 0.66 (0.25-1.76) | 0.408  | -              | -              |
| Disease location Colorectum | 0.38 (0.11-1.27) | 0.114  | -              | -              |
| Colorectum + Small intestine | 2.17 (1.00-4.69) | 0.050  | -              | -              |
| Small intestine | 0.91 (0.41-2.02) | 0.824  | -              | -              |

A Kaplan-Meier analysis of surgery-free survival time according to modified- (A) and Simple Endoscopic Score for Crohn’s disease (B). A: Patients with a high modified Simple Endoscopic Score for Crohn’s disease (mSES-CD) score showed significantly shorter surgery-free survival than low-score patients (P = 0.017, log-rank test); B: No significant correlation was seen between SES-CD score and survival-free time (P = 0.465).

Although it is technically possible to investigate the full length of the small intestine, determining a more appropriate evaluation range should be based on both the sensitivity of detection of small intestinal lesions and invasiveness. In our study, 83.8% of all patients with one or more lesions of the small intestine were localized within 80 cm of the ileocecal valve, and only two patients (2.7%) showed proximal-side lesions more than 80 cm from the ileocecal valve but no lesions within 80 cm. These findings support the
importance of stenosis and/or intra-abdominal fistulae on MRI for predicting an increased risk of surgery. We agree with their discussion of the clinical importance of evaluating stenosis, which is consistent with the present study. To determine the benefit of DBE more clearly, we performed additional subgroup analysis in patients without stenosis. The analysis showed a tendency to poorer surgery-free survival in patients with a high mSES-CD score (≥ 4) compared to low mSES-CD score, albeit without statistical significance, probably due to the small sample size. These results and previously reported evidence might suggest that the evaluation of lesions other than stenosis is also potentially useful in predicting the event of surgery. On this basis, evaluation of lesions other than stenosis using DBE would provide more accurate risk estimation for the event of surgery, although further validation studies are warranted. In addition, infliximab therapy and disease duration were significantly associated with the event of surgery. Jauregui-Amezaga et al[26] reported that immunosuppressants and anti-TNF therapy reduce the risk of the event of surgery, whereas prolonged disease duration significantly increases the risk of this event. Similar to these previous results, both infliximab and duration of disease were independent factors associated with surgery-free survival in this study.

Vermeire et al[19] reported that CRP is an objective marker of inflammation and showed good correlation with disease activity in CD compared to ESR, leucocytes, platelet count, and albumin. In our study, mSES-CD showed a better correlation with CRP than SES-CD in both the total study cohort and in a subgroup limited to those with small intestinal lesions only. Of note, the traditional SES-CD showed no correlation with CRP in this subgroup with small intestinal lesions only. These findings suggest that small intestinal lesions influence the systemic inflammation of CD. Although CRP value was not directly associated with clinical outcome (surgery-free survival in our study), we consider that CRP is useful as a non-invasive biomarker for decision making on whether to perform follow-up DBE in patients with CD. More specifically, elevated CRP may indicate the need for DBE for evaluation of the inflammatory activity of not only colorectal but also small intestinal lesions.

When patients were divided into two groups by total mSES-CD score, surgery-free survival was significantly better in the low score group than in the high group. In contrast, the low and high SES-CD score groups did not significantly differ. Moreover, mSES-CD score was an independent factor for surgery-free survival in multivariate analysis. Frosli et al[25] reported that MH was significantly associated with a low risk of future colectomy in UC patients (P = 0.02), and MH was significantly associated with less inflammation after 5 years in CD patients (P = 0.02) in a Norwegian population-based inflammatory bowel disease cohort. Our observation of inflammatory activity in both the colorectum and small intestine in CD suggests that our mSES-CD scoring, which includes evaluation of the small intestine, might be more useful in predicting the clinical outcome of CD than the conventional scoring system.

Recent reports have described the new modality of capsule endoscopy (CE) for the evaluation of small intestinal activity of CD. CE appears relatively non-invasive compared to DBE, but is contraindicated in the presence of small intestinal stenosis because of the risk of retention of the capsule endoscope. The major adverse events of CE involve capsule retention. Cheifetz et al[30] reported a retention rate of 13% in patients with known CD, but only 1.6% in those with suspected CD. The retained capsule has to be removed by endoscopy or surgical intervention. By contrast, there were no complications in the 76 DBEs in our study, while Oshitani et al[31] reported a single case of ileal perforation because of overtube balloon pressure in 53 examinations in patients with CD (1.9%). Further, Bourrelle et al[32] reported that although CE had lower sensitivity than ileocolonoscopy in detecting recurrence in the neoterminal ileum, CE detected lesions outside the scope of ileocolonoscopy in more than two-thirds of patients. We consider that the combination of these two methods might provide more accurate evaluation of MH in patients with CD than either modality alone. Further prospective studies to compare the efficacy and safety of these procedures are needed.

Several limitations of this study warrant mention. First, it was conducted under a retrospective design and with a small sample size. Confirmation of the findings awaits larger prospective studies. Second, we identified the location of small intestinal lesions by the insertion length of DBE and radiography. However, precise determination of insertion length is hindered by expansion and contraction of the small intestine. Third, we assessed endoscopic activity in two small intestinal segments of 40 cm each, at 0-40 cm and 40-80 cm from the ileocecal valve, in addition to the four traditional colorectal segments of right colon, transverse colon, left colon, and rectum. However, whether assessment of these two segments represents the inflammatory status of the whole small intestine has not been confirmed. Fourth, a definition of mucosal healing has still not been established. In patients with a low endoscopic score, CD activity might be lower than in those with a high score. However, we were unable to diagnose whether mucosal healing was obtained, and therefore defined "endoscopic score < 4" as the "low disease activity group", and "endoscopic score ≥ 4" as the "high disease activity group". Fifth, physicians who recommended surgery were not blinded to information on endoscopic assessment. Surgery-free survival is a surrogate endpoint for evaluating the clinical course of patients with CD. Because of its surrogate nature, selection bias from the assessment of endoscopy influences the clinical decision for surgical treatment.
Finally, we included all patients with CD who underwent transanal DBE in our hospital, which happened to include only a small number of L1 patients compared to L2 and L3. If more L1 patients had been included, SES-CD might have been more predictive.

In conclusion, this retrospective study showed that mSES-CD, our new scoring method for mucosal activity evaluated using DBE, has predictive value for clinical outcome in patients with CD. Further validation studies of mSES-CD are warranted.

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