Large-scale Multitask Learning for Machine Translation Quality Estimation

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Abstract
Multitask learning has been proven a useful technique in a number of Natural Language Processing applications where data is scarce and naturally diverse. Examples include learning from data of different domains and learning from labels provided by multiple annotators. Tasks in these scenarios would be the domains or the annotators. When faced with limited data for each task, a framework for the learning of tasks in parallel while using a shared representation is clearly helpful: what is learned for a given task can be transferred to other tasks while the peculiarities of each task are still modelled. Focusing on machine translation quality estimation as application, in this paper we show that multitask learning is also useful in cases where data is abundant. Based on two large-scale datasets, we explore models with multiple annotators and multiple languages and show that state-of-the-art multitask learning algorithms lead to improved results in all settings.

1 Introduction
Quality Estimation (QE) models predict the quality of Machine Translation (MT) output based on the source and target texts only, without reference translations. This task is often framed as a supervised machine learning problem using various features indicating fluency, adequacy and complexity of the source-target text pair, and annotations on translation quality given by human translators. Various kernel-based regression and classification algorithms have been explored to learn prediction models.

The application of QE we focus on here is that of guiding professional translators during the post-editing of MT output. QE models can provide translators with information on how much editing/time will be necessary to fix a given segment, or on whether it is worth editing it at all, as opposed to translating it from scratch. For this application, models are learnt from quality annotations that reflect post-editing effort, for instance, 1-5 judgements on estimated post-editing effort (Callison-Burch et al., 2012) or actual post-editing effort measured as post-editing time (Bojar et al., 2013) or edit distance between the MT output and its post-edited version (Bojar et al., 2014; Bojar et al., 2015).

One of the biggest challenges in this field is to deal with the inherent subjectivity of quality labels given by humans. Explicit judgements (e.g. the 1-5 point scale) are affected the most, with previous work showing that translators’ perception of post-editing effort differs from actual effort (Koponen, 2012). However, even objective annotations of actual post-editing effort are subject to natural variance. Take, for example, post-editing time as a label: Different annotators have different typing speeds and may require more or less time to deal with the same edits depending on their level of experience, familiarity with the domain, etc. Post-editing distance also varies across translators as there are often multiple ways of producing a good quality translation from an MT output, even when strict guidelines are given.

In order to address variance among multiple translators, three strategies have been applied: (i) models are built by averaging annotations from multiple
translators on the same data points, as was done in
the first shared task on the topic (Callison-Burch et
al., 2012); (ii) models are built for individual trans-
lators by collecting labelled data for each translator
(Shah and Specia, 2014); and (iii) models are built
using multitask learning techniques (Caruana, 1997)
to put together annotations from multiple translators
while keeping track of the translators’ identification
to account for their individual biases (Cohn and Spe-
cia, 2013; de Souza et al., 2015).

The first approach is sensible because, in the limit,
the models built should reflect the “average” strate-
gies/preferences of translators. However, its cost
makes it prohibitive. The second approach can lead
to very accurate models but it requires sufficient
training data for each translator, and that all trans-
lators are known at model building time. The last
approach is very attractive. It is a transfer learn-
ing (a.k.a. domain-adaptation) approach that allows
the modelling of data from each individual translator
while also modelling correlations between transla-
tors such that “similar” translators can mutually in-
form one another. As such, it does not require mul-
tiple annotations of the same data points and can be
effective even if only a few data points are available
for each translator. In fact, previous work on multi-
task learning for quality estimation has concentrated
on the problem of learning prediction models from
little data provided by different annotators.

In this paper we take a step further to investigate
multitask learning for quality estimation in settings
where data may be abundant for some or most an-
notators. We explore a multitask learning approach
that provides a general, scalable and robust solution
regardless of the amount of data available. By test-
ing models on single translator data, we show that
while building models for individual translators is
a sensible decision when large amounts of data are
available, the multitask learning approach can out-
perform these models by learning from data by mul-
tiple annotators. Additionally, besides having trans-
lators as “tasks”, we address the problem of learning
from data for multiple language pairs.

We devise our multitask approach within the
Bayesian non-parametric machine learning frame-
work of Gaussian Processes (Rasmussen and
Williams, 2006). Gaussian Processes have shown
very good results for quality estimation in previous
work (Cohn and Specia, 2013; Beck et al., 2013;
Shah et al., 2013). Our datasets – annotated for post-
editing distance – contain nearly 100K data points,
two orders of magnitude larger than those used in
previous work. To cope with scalability issues re-
sulting from the size of these datasets, we apply a
sparse version of Gaussian Processes. We perform
extensive experiments on this large-scale data aim-
ing to answer the following research questions:

- What is the best approach to build models to
  be used by individual translators? How much
data is necessary to build independent models
(one per translator) that can be as accurate as
(or better than) models using data from multi-
ple translators?

- When large amounts of data are available, can
  we still improve over independent and pooled
models by learning from metadata to exploit
transfer across translators?

- Can crosslingual data help improve model per-
  formance by exploiting transfer across lan-
guage pairs?

In the remainder of the paper we start with an
overview on related work in the area of multitask
learning for quality estimation (Section 2), to then
describe our approach to multitask learning in the
context of Gaussian Processes (Section 3). In Sec-
tion 4 we introduce our data and experimental set-
tings. Finally in Sections 5 and 6 we present the
results of our experiments to answer the above men-
tioned questions for cross-annotator and crosslin-
gual transfer, respectively.

2 Related Work

As was discussed in Section 1, the problem of vari-
ance among multiple translators in QE has recently
been approached in three ways. The first two ap-
proaches essentially refer to preparation of the data.
At WMT12, the first shared task on QE (Callison-
Burch et al., 2012), the official dataset was created
by collecting three 1-5 (worst-best) discrete judg-
ments on “perceived” post-editing effort for each
translated segment. The final score was a scaled av-
erage of the three scores, and about 15% of the la-
belled data was discarded as annotators diverged in
their judgments by more than one point. While this type of data proved useful and certainly reliable in the limit of the number of annotators, it is too expensive to collect.

Shah and Specia (2014) built QE models using data from \( n \) annotators by either pooling all the data together or splitting it into \( n \) datasets for \( n \) individual annotator models. These models were tested in blind versus non-blind settings, where the former refers to test sets whose annotator identifiers were unknown. They observed a substantial difference in the error scores for each of the individual models. They showed that the task is much more challenging for QE models trained independently when training data for each annotator is scarce. In other words, sufficient data needs to be available to build individual models for all possible translators.

The approach of using multitask learning to build models addresses the data scarcity issue and has been shown effective in previous work. Cohn and Specia (2013) first introduced multitask learning for QE. Their goal was to allow the modelling of various perspectives on the data, as given by multiple annotators, while also recognising that they are rarely independent of one another (annotators often agree) by explicitly accounting for inter-annotator correlations. A set of task-specific regression models were built from data labelled with post-editing time and perceived post-editing effort (1-5). “Tasks” included annotators, the MT system and the actual source sentence, as their data included same source segments translated/edited by multiple systems/editors.

Similarly, de Souza et al. studied multitask learning to deal with data coming from different training/test set distributions or domains, and generally scenarios in which training data is scarce. Offline multitask (de Souza et al., 2014a) and online multitask (de Souza et al., 2015; de Souza et al., 2014b) learning methods for QE were proposed. The later focused on continuous model learning and adaptation from new post-edits in a computer-aided translation environment. For that, they adapted an online passive-aggressive algorithm (Cavallanti et al., 2010) to the multitask scenario. While their setting is interesting and could be considered more challenging because of the online adaptation requirements, ours is different as we can take advantage of already having collected large volumes of data.

Multitask learning has also been used for other classification and regression tasks in language processing, mostly for domain adaptation (Daume III, 2007; Finkel and Manning, 2009), but also more recently for tasks such as multi-emotion analysis (Beck et al., 2014), where the each emotion explaining a text is defined as a task. However, in all previous work the focus has been on addressing task variance coupled with data scarcity, which makes them different from the work we describe in this paper.

3 Gaussian Processes

Gaussian Processes (GPs) (Rasmussen and Williams, 2006) are a Bayesian non-parametric machine learning framework considered the state-of-the-art for regression. GPs have been used successfully for MT quality prediction (Cohn and Specia, 2013; Beck et al., 2013; Shah et al., 2013), among other tasks.

GPs assume the presence of a latent function \( f : \mathbb{R}^P \rightarrow \mathbb{R} \), which maps a vector \( x \) from feature space \( F \) to a scalar value. Formally, this function is drawn from a GP prior:

\[
f(x) \sim \mathcal{GP}(0, k(x, x')),
\]

which is parameterised by a mean function (here, 0) and a covariance kernel function \( k(x, x') \). Each response value is then generated from the function evaluated at the corresponding input, \( y_i = f(x_i) + \eta \), where \( \eta \sim \mathcal{N}(0, \sigma^2) \) is added white-noise.

Prediction is formulated as a Bayesian inference under the posterior:

\[
p(y_*|x_*, D) = \int f p(y_*|x_*, f)p(f|D),
\]

where \( x_* \) is a test input, \( y_* \) is the test response value and \( D \) is the training set. The predictive posterior can be solved analytically, resulting in:

\[
y_* \sim \mathcal{N}(k_*^T(K + \sigma_n^2 I)^{-1}y, k(x_*, x_*) - k_*^T(K + \sigma_n^2 I)^{-1}k_*),
\]

where \( k_* = [k(x_*, x_1)k(x_*, x_2) \ldots k(x_*, x_n)]^T \) is the vector of kernel evaluations between the training set and the test input and \( K \) is the kernel matrix over the training inputs (the Gram matrix).
3.1 Multitask Learning
The GP regression framework can be extended to multiple outputs by assuming \( f(x) \) to be a vector valued function. These models are commonly referred as Intrinsic Coregionalization Models (ICM) in the GP literature (Álvarez et al., 2012).

In this work, we employ a separable multitask kernel, similar to the one used by Bonilla et al. (2008) and Cohn and Specia (2013). Considering a set of \( D \) tasks, we define the corresponding multitask kernel as:

\[
k((x, d), (x', d')) = k_{\text{data}}(x, x') \times M_{d,d'},
\]

where \( k_{\text{data}} \) is a kernel (Radial Basis Function, in our experiments) on the input points, \( d \) and \( d' \) are task or metadata information for each input and \( M \in \mathbb{R}^{D \times D} \) is the multitask matrix, which encodes task covariances. In our experiments, we first consider each post-editor as a different task, and then use crosslingual data to treat each combination of language and post-editor as a task.

An adequate parameterisation of the multitask matrix is required to perform learning process. We follow the parameterisations proposed by Cohn and Specia (2013) and Beck et al. (2014):

**Individual**: \( M = I \). In this setting each task is modelled independently by keeping corresponding task identity.

**Pooled**: \( M = 1 \). Here the task identity is ignored. This is equivalent to pooling all datasets in a single task model.

**Multitask**: \( M = \tilde{H} \tilde{H}^T + \text{diag}(\alpha) \), where \( \tilde{H} \) is a \( D \times R \) matrix. The vector \( \alpha \) enables the degree of independence for each task with respect to the global task. The choice of \( R \) defines the rank (= 1 in our case) which can be understood as the capacity of the manifold with which we model the \( D \) tasks. We refer readers to see Beck et al. (2014) for a more detailed explanation of this setting.

3.2 Sparse Gaussian Processes
The performance bottleneck for GP models is the Gram matrix inversion, which is \( O(n^3) \) for standard GPs, with \( n \) being the number of training instances. For multitask settings this becomes an issue for large datasets as the models replicate the instances for each task and the resulting Gram matrix has dimensionality \( nd \times nd \), where \( d \) is the number of tasks.

Sparse GPs (Snelson and Ghahramani, 2006) tackle this problem by approximating the Gram matrix using only a subset of \( m \) inducing inputs. Without loss of generalisation, consider these \( m \) points as the first instances in the training data. We can then expand the Gram matrix in the following way:

\[
K = \begin{bmatrix}
K_{mm} & K_{m(n-m)} \\
K_{(n-m)m} & K_{(n-m)(n-m)}
\end{bmatrix}.
\]

Following the notation in (Rasmussen and Williams, 2006), we refer \( K_{m(n-m)} \) as \( K_{mn} \) and its transpose as \( K_{nm} \). The block structure of \( K \) forms the basis of the so-called Nyström approximation:

\[
\tilde{K} = K_{nm} K_{mm}^{-1} K_{mn},
\]

which results in the following predictive posterior:

\[
y_* \sim \mathcal{N}(k_{m*}' \tilde{G}^{-1} K_{mn} y, k_{m*}' \tilde{G}^{-1} k_{m*} + \sigma^2_n k_{m*}' \tilde{G}^{-1} k_{m*}),
\]

where \( \tilde{G} = \sigma^2_n K_{mm} + K_{mn} K_{nm} \) and \( k_{m*} \) is the vector of kernel evaluations between test input \( x_* \) and the \( m \) inducing inputs. The resulting training complexity is \( O(m^2 n) \).

In our experiments, the number of inducing points was set empirically by inspecting where the learning curves (in terms of Pearson’s correlation gains) flatten, as shown in Figure 1. We used 300 inducing points in experiments with all the settings (see Section 4.3).

4 Experimental Settings
4.1 Data
Our experiments are based on data from two language pairs: English-Spanish (en-es) and English-French (en-fr). The data was collected and made available by WIPO’s (World Intellectual Property Organization) Brands and Design Sector. The domain of the data is trademark applications in English, using one or more of the 45 categories of the
NICE\textsuperscript{1} goods and services (e.g. furniture, clothing), and their translations into one of the two languages.

An in-house phrase-based statistical MT system was built by WIPO (Pouliquen et al., 2011), trained on domain-specific data, to translate the English segments. The quality of the translations produced is considered high, with BLEU scores on a 1K-single reference test set reaching 0.71. This is partly attributed to the short length and relative simplicity of the segments in the sub-domains of goods and services. The post-editing was done mostly internally and systematically collected between November 2014 and August 2015. The quality label for each segment is post-editing distance, calculated as the HTER (Snover et al., 2006) between the target segment and its post-edition using the TERCOM tool.\textsuperscript{2}

The data was split into 75\% for training and 25\% for test, with each split maintaining the original data distribution by post-editor. The number of training and test <source, MT output, post-edited MT, HTER score> tuples for each of the post-editors (ID) and language pair is given in Table 1. There are 63,763 overlapping English source segments out of 77,656 entries for en-fr and 98,663 entries for en-es. This information is relevant for the crosslingual data experiments, as we discuss in Section 6.

It should be noted that the total number of segments as well as the number of segments per post-editor is significantly higher than those used in previous work. For example, (Cohn and Specia, 2013) used datasets of 6,762 instances (2,254 for each of three translator) and 1,624 instances (299 for each of eight translators), while (Beck et al., 2014) had access to 1000 instances annotated with six emotions.

### 4.2 Algorithms

For all tasks we used the QuEst framework (Specia et al., 2013) to extract a set of 17 baseline black-box features\textsuperscript{3} (Shah et al., 2013) for which we had all the necessary resources for the WIPO domain. These baseline features have shown to perform well in the WMT shared tasks on QE. They include simple counts, e.g. number of tokens in source and target segments, source and target language model probabilities and perplexities, average number of possible translations for source words, number of punctuation marks in source and target segments, among other features reflecting the complexity of the source segment and the fluency of the target segment.

All our models were trained using the GPy\textsuperscript{4} toolkit, an open source implementation of GPs written in Python.

### 4.3 Settings

We built and tested models in the following conditions:

\begin{table}[h]
\centering
\begin{tabular}{|c|c|c|c|}
\hline
Lang. Pair & ID & Total & Train & Test \\
\hline
\hline
en-fr & 1 & 65,280 & 48,960 & 16,320 \\
& 2 & 6,336 & 4,752 & 1,584 \\
& 3 & 769 & 576 & 192 \\
& 4 & 5,271 & 3,953 & 1,317 \\
& All & 77,656 & 58,241 & 19,413 \\
\hline
en-es & 1 & 28,423 & 21,317 & 7,105 \\
& 2 & 12,904 & 9,678 & 3,226 \\
& 3 & 3,939 & 2,954 & 984 \\
& 4 & 16,518 & 12,388 & 4,129 \\
& 5 & 14,187 & 10,640 & 3,546 \\
& 6 & 9,395 & 7,046 & 2,348 \\
& 7 & 402 & 301 & 100 \\
& 8 & 9,294 & 6,970 & 2,323 \\
& 9 & 845 & 633 & 211 \\
& 10 & 2,756 & 2,067 & 689 \\
& All & 98,663 & 73,997 & 24,665 \\
\hline
\end{tabular}
\caption{Number of en-es and en-fr segments}
\end{table}

\textsuperscript{1}http://www.wipo.int/classifications/nice/en/ \textsuperscript{2}http://www.cs.umd.edu/~snover/tercom/ \textsuperscript{3}http://www.quest.dcs.shef.ac.uk/quest_files/features_blackbox_baseline_17 \textsuperscript{4}http://sheffieldml.github.io/GPy/
- **Setting-1**: Individual models on individual test sets: each model is trained with data from an individual post-editor and tested on the test set for the same individual post-editor.

- **Setting-2**: Pooled model on individual test sets: model trained with data concatenated from all post-editors and tested on test sets of individual post-editors.

- **Setting-3**: Multitask model on individual test sets: multitask models trained with data from all post-editors and tested on test sets of individual post-editors.

- **Setting-4**: Individual models tested on pooled test set: each model is trained with data from an individual post-editor and tested on a test set with data from all post-editors. This setup aims to find out the performance of individual models when the identifier of the post-editor is not known (e.g. in crowdsourcing settings).

- **Setting-5**: Pooled model on pooled test set: model trained with data concatenated from all post-editors and tested on test set of all post-editors.

- **Setting-6**: Multitask model on pooled test set: Multitask model trained with data from all post-editors and tested on test set from all post-editors together.

- **Setting-7 to 10**: Similar to setting-2, 3, 5, 6 but with additional crosslingual data where pooled and multitask models are trained with both en-es and en-fr datasets together.

- **Setting-11-13**: Similar to setting-9, 6, 10 respectively, but with non-overlapping crosslingual data only.

5 Results with Multiple Annotators

We report results in terms of Pearson’s correlation between predicted and true quality labels, as was done in the WMT QE shared tasks (Bojar et al., 2015). The multitask learning models consistently led to improvement over pooled models, and over individual models in most cases. We present the comparisons of the models for various settings in the following. The bars marked with * in each comparison are significantly better than all others with $p < 0.01$ according to the Williams significance test (Williams, 1959).

**Individual, pooled and multitask models on individual test sets** Results for both language pairs are shown in Figure 2. As expected, in cases where a large number of instances is available from an individual post-editor, individual models tested on individual test sets perform better than pooled models. Overall, multitask learning models show improvement over both individual and pooled models, or the same performance in cases where large amounts of data are available for an individual post-editor. For example, in en-es, for post-editors 9 and 3, which have 845 and 3,939 instances in total, respectively, multitask learning models are considerably better. The same goes for post-editor 3 in en-fr, which has only 769 instances. For very few post-editors with a large number of instances (1,2 and 4 in en-es) multitask learning models perform the same as individual or even pooled models. For all other post-editors, multitask models further improve correlation with humans. These results emphasize
the advantages of multitask learning models, even in cases where the post-editors that will use the models are known in advance (first research question): Clearly, the models for post-editors with fewer instances benefit from the sharing of information from the larger post-editor data sets. As for post-editors with large numbers of instances, in the worst case the performance remains the same.

Individual, pooled and multitask models on pooled test set Here we focus on cases where models are built to be used by any post-editor (second research question). Results in Figure 3 show that when test sets for all post-editors are put together, individual models perform distinctively worse than pooled and multitask learning models. Multitask learning models are significantly better than pooled models for both languages (0.511 vs 0.469 for en-es, and 0.481 vs 0.441 for en-fr). In the case of post-editor 3 for en-fr, the correlation is negative for individual models given the very low number of instances for this post-editor, which is not sufficient to build a general enough model that also works for other post-editors.

Relationship among post-editors In order to gain a better insight into the strength of the relationships among various post-editors and thus into the expected benefits from joint modelling, we plot the learned Corregionalisation matrix for all against all post-editors in Figure 4. It can be observed that there exist various degrees of mutual interdependencies among post-editors. For instance, in the case of en-es, post-editor 4 shows a strong relationship with post-editors 6 and 7, a relatively weaker relationship with post-editors 1 and 9, and close to non-existing with post-editors 3, 8 and 10. In the case of en-fr, post-editor 3 shows very weak relationship with all other post-editors, especially 4. This might explain the low Pearson’s correlation with individual models for post-editor 3 on pooled test sets.

We note that the Corregionalisation matrix cannot be interpreted as a correlation matrix. Rather, it shows the covariance between tasks.
6 Results with Multiple Languages

To address the last research question, here we present the results on crosslingual models in comparison to single language pair models. The training models contain data from both en-es and en-fr language pairs in the various settings previously described, where for the multitask settings, tasks can be annotators, languages, or both.

Single versus crosslingual pooled and multitask models on individual test sets Figure 5 shows a performance comparison between single language versus crosslingual models on individual test sets.

Due to space constraints, we only present results for the en-fr test sets, but those for the en-es test sets follow the same trend. Multitask models lead to further improvements, particularly visible for post-editor 3 (the one with less training data), where the crosslingual multitask learning model reaches 0.201 Pearson’s correlation, while the monolingual multitask learning model performs at 0.171. The performance of the pooled models with crosslingual data also improves on this test set over monolingual pooled models, but the overall figures are lower than with multitask learning, showing that the benefit does not only come from adding more data, but from adequate modelling of the additional data. This shows the potential to learn robust prediction models from datasets with multiple languages.

Single versus crosslingual pooled and multitask models on pooled test set Figure 6 compares single language and crosslingual models on the pooled test sets for both languages. A pooled test set with data from different languages presents a more challenging case. Simply building crosslingual pooled models deteriorates the performance over single pooled models, whereas multitask models marginally improve the performance for en-es and keep the performance of the single language models for en-fr. This again shows that multitask learning is an effective technique for robust prediction models over several training and test conditions.

Single versus crosslingual pooled and multitask models on non-overlapping data on pooled test set We posited that the main reason behind the marginal or non-existing improvement of the crosslingual transfer learning shown in Figure 6 is
the large overlap between the source segments in the datasets for the two language pairs, as mentioned in Section 4: 63,763 instances, which comprise 82% of the en-fr instances, and 65% of the en-es instances. This becomes an issue because nearly half of the quality estimation features are based on the source segments. Therefore, we conducted an experiment with only 41,930 non-overlapping segments in the two languages. This experiment is only possible with pooled test sets, as otherwise too few (if any) instances are left for some post-editors. The results, shown in Figure 7, are much more promising. The Figure compares single language and crosslingual multitask and pooled models on the polled test sets for both languages. It is interesting to note that, while the absolute figures are lower when compared to models trained on all data (Figures 5 and 6), the relative improvements of multitask crosslingual models over multitask single language models are much larger.

7 Conclusions

We investigated multitask learning with GP for QE based on large datasets with multiple annotators and language pairs. The experiments were performed with various settings for training QE models to study the cases where data is available in abundance, versus cases with less data. Our results show that multitask learning leads to improved results in all settings against individual and pooled models. Individual models perform reasonably well in cases where a large amount of training data for individual annotators is available. Yet, by learning from data by multiple annotators, multitask learning models still perform better (in most cases) or at least the same as these models. Testing models on data for individual annotators is a novel experimental setting that we explored in this paper. Another novel finding was the advantage of multitask models in crosslingual settings, where individual models performed poorly and pooled models brought little gain.

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