Clinic-Based Food Assistance is Associated with Increased Medication Adherence among HIV-Infected Adults on Long-Term Antiretroviral Therapy in Zambia

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Abstract

Background: There has been limited research to date on the effects of food assistance provided to HIV-infected adults in resource-constrained settings with a high prevalence of malnutrition and chronic food insecurity. We compare antiretroviral therapy (ART) adherence, weight gain, and CD4+ lymphocyte count change among HIV-infected adults enrolled in a clinic-based food assistance program in Lusaka, Zambia versus a control group of non-recipients.

Methods: We conducted a cohort study incorporating interviewer-administered surveys and retrospective clinical data to compare ART patients receiving food assistance with a control group of non-recipients. Medication adherence was assessed using pharmacy dispensation records. We use propensity score matching to assess the effect of food assistance on outcome measures.

Results: After 6 months, food assistance recipients (n=145) had higher ART adherence compared to non-recipients (n=147, 98.3% versus 88.8%, respectively; p<0.01), but no significant effects were observed for weight or CD4+ lymphocyte count change. The improvement in adherence rates was greater for participants on ART for less than 230 days, and those with BMI<18.5 kg/m², a higher HIV disease stage, or a CD4+ lymphocyte count ≤ 350 cells/µl.

Conclusions: Promoting optimal medication adherence among persons on ART is relevant to public health and the success of HIV control efforts. The provision of food assistance to HIV-infected adults on ART may have an incentivizing effect which can improve medication adherence, particularly among patients recently initiated on treatment and those with poor nutrition or advanced disease. The effects on body weight and immune reconstitution appear minimal.

Keywords: HIV; Antiretroviral therapy; Nutrition; Africa; Food insecurity; Medication adherence

Abbreviations: ART: Antiretroviral Therapy; ATT: Average Treatment effect on the Treated; BMI: Body Mass Index; MPR: Medication Possession Ratio; WFP: World Food Program; WHO: World Health Organization.

Background

Although the geographic overlap of malnutrition and chronic food insecurity in many areas of sub-Saharan Africa with high HIV infection prevalence is widely seen as a barrier to optimal antiretroviral therapy (ART) outcomes, the role of nutrition supplementation within comprehensive HIV treatment programs is not well defined. Food insecurity is associated with poor health status, more frequent opportunistic infections, and reduced ART adherence, while chronic undernutrition increases functional immunosuppression and reduces the capacity for physical and labor activity [1-5]. A Body Mass Index (BMI) less than 18.5 kg/m² is a general indicator of a poor nutritional state and has emerged as a powerful predictor of mortality among HIV-infected adults initiating ART in resource-limited settings, and HIV-infected households are more likely to be food insecure, which negatively impacts nutrition for both the HIV-infected member and other household members [6-9]. Food assistance may have a role in incentivizing patients to collect medications as scheduled, and sufficient nutrition may have a role in increasing medication tolerability and promoting nutritional rehabilitation [10-12].

Given the reported association between food insecurity and sub-optimal ART outcomes, some HIV care and treatment programs dispense food assistance as a component of medical care, with the twin goals of improving health outcomes and boosting household food security. Prior studies have primarily investigated the effect of macronutrient supplementation on mortality or other clinical endpoints in the immediate post-ART period among HIV-infected adults in resource-limited settings [13-16]. An evaluation of clinic-based food assistance for individuals starting ART during the rapid scale-up of treatment services in Zambia in 2004 reported greater medication adherence among food recipients [17], while a similar study in Haiti found greater attendance at monthly clinic visits [12]. There are few data, however, on the effect of targeted food assistance...
Methods
Overview of the food assistance program

The World Food Program-Zambia (WFP) food assistance initiative for HIV-affected individuals and households in Lusaka, Zambia was launched in February 2009 and designed to provide beneficiaries with 12 months of support. The program was launched in response to increased food insecurity in the region, resulting in part, from recent drought conditions and increased prices for commodities. The monthly ration was comprised of maize (25 kg), vegetable oil (1.8 liters), peas (4.5 kg), and a corn and soy blend flour (6.0 kg). The monthly ration had a market value of approximately US$18 in 2009.

All ART patients at the beneficiary clinics were eligible for the food insecurity evaluation, and poor clinical nutrition status (e.g., low BMI) was not a requirement for enrollment. The individual targeting criteria for food assistance were based on a poverty and food insecurity screening questionnaire which assessed household size and composition, the number of HIV-infected members, asset ownership, employment status, income, housing characteristics, child education and household dietary variety. Patients were included in the food assistance programme if they scored above a certain cutoff score deemed to indicate food insecurity. Patients who scored below this threshold were excluded from the food assistance program. In this analysis we refer to the food assistance recipients as the intervention group and non-recipients as the control group.

Data collection

This study uses both primary interview data and secondary (previously collected) clinical data. After 6 months of the food assistance program implementation, we sampled four Lusaka public-sector ART clinics that distributed food rations (Mtendere, Chawama, Kanyama, and George), and four control clinics that did not distribute rations (Bauleni, Chipata, Matero Reference, and Chilenje). To provide a rough equivalence between the intervention and control groups and to control for probable clinic effects, control clinics were selected to match intervention clinics according to three criteria: active patient population, duration of operation, and historical patient survival at 12 and 18 months post-ART initiation (Table 1). All clinics were public-sector facilities serving low-income areas of Lusaka, and the socioeconomic characteristics of the surrounding area were also considered by the investigators when selecting the final pairs.

Random sampling was used to select approximately 50 non-pregnant adult ART patients from each of the four intervention clinics and a similar number of patients from each control clinic. The control patients were screened using the same food insecurity questionnaire utilized for the food assistance program, and only individuals meeting the eligibility criteria were included as controls. To minimize selection bias, interviewers were blinded to the study hypotheses and outcomes. All study participants were administered a household questionnaire based on previously validated research tools to capture socio-economic data including patient demographics, employment status and household level variables such as house ownership, number of HIV-infected household members, number of disabled household members, household size, asset ownership and energy sources. The questionnaire was refined through pre-testing at the Bauleni and Chawama clinics. After the survey was completed, a list of the 400 interviewees was provided to the Smartcare national ART programme database custodian for verification of ART programme enrolment and collection of data on duration of ART treatment, WHO disease stage, CD4+ lymphocyte counts, weight measurements, and dates of clinic and pharmacy visits.

Patients were included in the analytical sample if they had an initial laboratory value or pharmacy visit within 60 days of January 1, 2009 (the month immediately prior to the start of the assistance program), and a subsequent value or visit 90 to 270 days from this date. This approach has been used by previous similar studies [18,19]. We used the medication possession ratio (MPR) as the measure of ART adherence, which calculates the proportion of days a patient has medication available by dividing the total number of days the patient was late for pharmacy refills (i.e., days after previous dispensation was exhausted) by the total days on ART during the study period. This ratio is subtracted from 1 and converted into percentages [20]. The MPR appraises pill possession and has been validated as a predictor of poor immune reconstitution, virologic failure, and the development of drug resistance mutations [20-22]. Prior studies have demonstrated that an adherence level above 95% (i.e., optimal adherence) is associated with a significantly lower risk of virologic failure and the development of

| Study clinic pair | Duration of operation (Months) | Active patients | Patients on food aid (%) | Percent sampled | Among clinic population | Among food assistance recipients | 12 month survival1 | 18 month survival1 |
|-------------------|--------------------------------|-----------------|--------------------------|-----------------|------------------------|-------------------------------|----------------|----------------|
| Kanyama           | 64                             | 4296            | 1600 (37%)               | 1.2%            | 3.1%                   | 0.94                          | 0.93           |
| Matero Reference  | 60                             | 5355            | n/a                      | 0.93%           | n/a                    | 0.94                          | 0.93           |
| Chawama           | 41                             | 3875            | 700 (18%)                | 1.3%            | 7.1%                   | 0.91                          | 0.90           |
| Chipata           | 54                             | 4543            | n/a                      | 1.1%            | n/a                    | 0.86                          | 0.85           |
| George            | 60                             | 4097            | 680 (17%)                | 1.2%            | 7.4%                   | 0.90                          | 0.88           |
| Chilenje          | 59                             | 3273            | n/a                      | 1.5%            | n/a                    | 0.93                          | 0.91           |
| Mtendere          | 64                             | 2896            | 708 (24%)                | 1.7%            | 7.1%                   | 0.89                          | 0.88           |
| Bauleni           | 56                             | 1400            | n/a                      | 3.6%            | n/a                    | 0.91                          | 0.89           |

Food assistance clinics in bold italics; table stratified by study/control clinic pairs. The criteria for matching food assistance and control clinics were duration of operation, population of active patients, and 12 and 18 month survival. All clinics were public-sector facilities serving low-income areas of Lusaka, and similarity of the local environment was also considered in selecting final pairs.

1Through August 2009

Kaplan-Meier estimate of the proportion of patients surviving at 12 and 18 months post-ART initiation.

Table 1: Characteristics of study clinic pairs.
antiretroviral resistance mutations [20,23], while the risk of virologic failure increases with incremental reductions in the MPR below 95% [20,21].

Patients were excluded from the analytical sample if no medical record number was documented during the interview or the documented number could not be located in the database. Additionally, participants were excluded if they were <18 years of age; were registered as a patient at the national ART database but had no recorded visits to the corresponding interview site; did not have a recorded clinic visit as a patient at the corresponding interview site but had no recorded clinical visits after 1st January, 2009; did not have a recorded clinic visit during the study initiation period (1st January to 1st March, 2009); were not on ART; or were registered as a patient in the national ART database but had no recorded medical visit after 1st January, 2009; did not have a recorded number could not be located in the database. Additionally, patients registered as being in care at a non-beneficiary clinic was inadvertently surveyed at a food assistance clinic (Chawama), and this person was excluded from analysis to avoid contaminating the sample. One-hundred and nine patients (27%) were excluded due to a lack of sufficient laboratory or clinical data in the window periods. The final analysis cohort had 144 patients in the intervention group and 147 patients in the control group. The selection process is illustrated in figure 1.

**Statistical analysis**

While our analysis matched control clinics to intervention clinics on several parameters (mortality, clinic size, and duration of operation), there may have been social or economic differences between clinic populations. We used propensity score matching (PSM) to adjust for observed differences in characteristics and potential unknown selection bias which allowed for the estimation of the average effect of food assistance on the intervention group, known as the ‘average treatment effect on the treated’ (ATT). PSM matches observed characteristics of food assistance participants to non-participants based on similar values of the propensity score, which we obtained using the ‘probit’ estimation of the likelihood of an ART patient receiving food assistance based on pre-intervention characteristics. We then used these estimators to match patients receiving food assistance to control patients with the nearest estimated propensity score using the method of Leuven and Sianesi [24]. Patients were matched using the kernel estimator (with replacement) and the common support condition was imposed [25]. This ensures that patients with similar observed characteristics have a positive probability of being both participants and non-participants [25]. For the analysis of CD4+ lymphocyte and BMI change, we combined ‘difference in differences’ estimation with PSM to remove potential bias from unobservable factors and from all time-invariant unmeasured factors between the treatment and control group [26]. The ‘difference in differences’ impact estimation calculates the overall difference between pre- and post-differences in outcomes of the intervention and control groups.

The variables used to obtain the propensity score were age, gender and education level of the participant; the severity of illness at ART initiation (i.e. WHO disease stage 3 or 4); marital status, employment status, household size, asset ownership, house ownership, and reliance on charcoal instead of electricity for cooking; the number of HIV-infected and the number of disabled household members; the distance from the participant’s residence to the clinic; and the local area population (a community-level characteristic).

**Ethical approval**

This study was conducted with the approval of the University of Zambia Research Ethics Committee and the Ministry of Health of the Republic of Zambia.

### Table 2: Baseline characteristics of study participants.

|                           | Intervention Group (N=144) | Control Group (N=147) | p-value |
|---------------------------|---------------------------|-----------------------|---------|
| Demographics              |                           |                       |         |
| Age in years, mean (SE)   | 41 (0.8)                  | 40 (0.6)              | 0.10    |
| Female sex,% (n)          | 80% (115)                 | 73% (107)             | 0.16    |
| WHO Stage, % (n)          |                           |                       |         |
| I or II                   | 59% (53)                  | 82% (79)              | <0.01   |
| III                       | 37% (34)                  | 17% (18)              | <0.01   |
| IV                        | 6% (6)                    | 1% (1)                | 0.05    |
| CD4+ lymphocyte count , mean cells/µl (SE) | 366 (21) | 365 (20)            | 0.97    |
| ART in days, mean (SE)    | 777 (44)                  | 864 (42)              | 0.15    |
| Body mass index, mean kg/m² (SE) | 21.5 (0.4) | 21.0 (0.4) | 0.06    |
| All participants          | 20.7 (0.3)                | 21.5 (0.4)            | 0.06    |
| Female                    | 21.1 (0.4)                | 22.0 (0.4)            | 0.12    |
| Male                      | 19.2 (0.4)                | 20.3 (0.4)            | 0.06    |
| Other characteristics     |                           |                       |         |
| No education, % (n)       | 12% (24)                  | 14% (33)              | 0.21    |
| Married, % (n)            | 43% (62)                  | 47% (69)              | 0.51    |
| Household size , mean(SE) | 4.9 (0.1)                 | 4.9 (0.1)             | 0.82    |
| Number of durable assets owned ,mean(SE) | 1.8 (0.2) | 2.1 (0.2) | 0.26    |

**Abbreviations:** ART: Antiretroviral Therapy; WHO: World Health Organization. *WHO stage n=93 (intervention) and 96 (control), ART days-overall median is 995 days. Durable assets include bicycles, farm implements, mobile phones, household furniture, stove, refrigerator and vehicles.*
Results

Participants in the intervention (n=144) and control (n=147) groups did not differ by age, sex distribution, or mean baseline CD4+ lymphocyte count or BMI (p>0.05 for all comparisons; Table 2). A significantly higher percentage of the intervention group had a prior clinical diagnosis consistent with WHO disease stage 3 (e.g., pulmonary tuberculosis, pneumonia, chronic diarrhea, among others) compared to the control group (37% versus 17%, respectively; p<0.01), which was incorporated into the PSM calculations. The mean duration of ART was not significantly different in the intervention group (777 days) versus the control group (864 days); the median ART duration for the full cohort was 995 days. There were no significant differences in the proportion of married participants, the proportion with no formal education, the mean household size, or the number of durable assets owned by the household.

From the full cohort we estimated propensity scores to generate a sample of matched intervention and control patients. Intervention patients whose estimated propensity score was above the maximum or below the minimum propensity score for the control group did not have “common support” in the control group and were dropped from the matched sample; we identified 175 cases for matching, which were reduced to 168 observations after imposing common support (n=61 for intervention arm and n=107 for control arm). After matching, we tested for the equality of means for each covariate included in the probit regression and found that none of the covariates remained significantly different between the intervention and control groups; hence we met the condition for successful matching (Table 3).

Table 4 shows the PSM estimates for adherence to ART (i.e., the MPR). The raw estimates of MPR before matching show an insignificant difference between the intervention and control groups (0.07% difference, 95% CI: -0.002 to 1.4, p=0.51). The result for the overall matched sample is a significant average treatment effect on the treated (ATT) for medication adherence. The average impact of food assistance on MPR is 9.5% (95% CI: 4.9 to 14.0, p<0.01); the intervention group on average has optimal adherence (98%) while the control group has suboptimal adherence (89%). Table 5 shows the proportion of patients with optimal adherence stratified by the clinics. As seen in the table, the matched sample comprises four treated clinics and three intervention clinics. Observations from one clinic (George) did not suit the matching requirements and were thus not included in the analysis. Ultimately, all estimates in the study are based on four control clinics and three intervention clinics. From the intervention clinics, 93% of Chawama and 90% Mtendere patients have optimal adherence (MPR >95%). One intervention clinic, Kanyama has too few observations in the matched sample hence its results (25% of patients adherent) may be an outlier. Among the control clinics, only 59% of Bauleni, 73% of Chilenje, 83% of Matero and 88% of Chipata patients are optimally adherent. That is an average of around 25% who are not adherent among all control clinics compared to just 8% for the intervention clinics (calculation excludes Kanyama, which has too few observations).

We then investigated the conditional estimates of the effect of food assistance on various sub-groups of the sample (Table 6). The majority of patients (95%) were receiving ART prior to the start of the food assistance program (the remainder started ART and assistance concurrently), and we assess the relationship between duration of ART and the effect size associated with food assistance. Assistance has a larger effect on adherence for patients who had been on ART for less than the median treatment duration (995 days) compared to the overall estimates. For those on ART less than 995 days, food assistance was associated with a 14.5% higher MPR (95% CI: 6.3 to 22.7, p<0.01). For patients who had been on ART for duration more than the median of 995 days, food assistance appears to have no significant effect (p=0.91). We also calculated the conditional estimates of the effect of food assistance on various sub-groups of the sample (Table 6). The majority of patients (95%) were receiving ART prior to the start of the food assistance program (the remainder started ART and assistance concurrently), and we assess the relationship between duration of ART

| Covariate                                | Mean Intervention Control | t-statistic | p-value |
|------------------------------------------|---------------------------|------------|---------|
| Body mass index at baseline, kg/m²      | 21.0                      | 20.6       | 0.85    |
| Age, years                               | 43                        | 41         | 1.26    |
| Female                                   | 0.74                      | 0.77       | -0.38   |
| College education                        | 0.13                      | 0.08       | 0.39    |
| Primary education                        | 0.56                      | 0.46       | 0.05    |
| Secondary education                      | 0.23                      | 0.26       | 0.93    |
| Divorced or separated                    | 0.07                      | 0.03       | 0.81    |
| Widowed                                  | 0.20                      | 0.14       | 0.77    |
| Never married                            | 0.07                      | 0.09       | -0.53   |
| Travel from the patient’s residence      | 0.98                      | 0.98       | 0.35    |
| WHO stage III and IV of HIV disease      | 0.51                      | 0.55       | -0.49   |
| Participant is unemployed                | 0.72                      | 0.78       | -0.75   |
| Household does not own a house           | 0.71                      | 0.69       | 0.19    |
| Number of HIV-infected household members | 2.89                      | 2.93       | -0.16   |
| Number of disabled household members     | 0.10                      | 0.17       | -1.11   |
| Household size (n)                       | 4.80                      | 4.36       | 1.44    |
| Number of durable assets owned (n)       | 1.89                      | 1.95       | -0.21   |
| Household uses charcoal as fuel source   | 0.85                      | 0.84       | 0.14    |
| Total population in locality/area        | 97,000                    | 98,000     | -0.38   |

Data expressed as a proportion unless otherwise specified. Total N for matching is 175 reduced to 168 observations after imposing common support (7 treated cases not on support). Overall estimates, N=61 (intervention), 107 (control).

Table 3: Propensity score estimation: mean differences of covariates after matching.
and the effect size associated with food assistance. Assistance has a larger effect on adherence for patients who had been on ART for less than the median treatment duration (995 days) compared to the overall estimates. For those on ART less than 995 days, food assistance was associated with a 14.5% higher MPR (95% CI: 6.3 to 22.7, p<0.01). For patients who had been on ART for duration more than the median of 995 days, food assistance appears to have no significant effect (p=0.91). We next performed a series of sub-analyses to determine how long the effect of food assistance on medication adherence persists after ART initiation. We started with an analysis of the minimum ART duration recorded in the sample which is 154 days and added a progressively greater number days for each sub-analysis. For patients ART duration of 154 days or greater, food assistance has a significant effect of about 9.5% (95% CI: 4.9 to 14, p<0.01). However, the significant effect appears to end at around 230 days (10.5% difference; 95% CI: 6.3 to 15, p<0.01). For patients with ART duration equal to or greater than 231 days food assistance does not appear to have a significant effect (95% CI: -2.1 to 3, p=0.67). Hence, from the analysis, it would seem that food assistance is associated with a beneficial effect for the first 230 days after ART initiation (approximately 7.5 months).

We also assess the effect of food assistance on MPR after stratifying by disease stage, BMI and CD4+ lymphocyte count. When we compare patients with a BMI below 18.5 kg/m² (i.e., the WHO threshold for malnutrition) to those above this value, we find that food assistance significantly improved MPR for malnourished patients by 33.3% (95% CI: 10.8 to 55.9, p<0.01), compared to 0.03% (95% CI: -0.019 to 0.02, p=0.98) for normal-weight patients. Food assistance also improved the MPR for patients with HIV disease stage 3 or 4 by 20.9%, which approached statistical significance (95% CI: -2.8 to 44.6, p=0.09), but not for patients with disease stages 1 or 2 (0.8% increase in MPR, 95% CI: -2.6 to 4.1; p=0.65). Finally, food assistance significantly improved MPR for patients whose CD4+ lymphocyte count was ≤ 350 cells/µl by 18.9% (95% CI: 10.8 to 27.0, p<0.01) while for patients with >350 cells/µl, MPR significantly improved by 4.8% (95% CI: 1.8 to 7.7, p<0.01).

The PSM estimates evaluating the impact of food assistance on BMI and CD4+ lymphocyte count outcomes found no significant differences between study arms. The 6 month change (January to July 2009) in BMI

Total N for matched sample is 175 reduced to 168 observations after imposing common support (7 treated cases not on support). Overall estimates, N=61 (intervention), 107 (control). Observations from George clinic not included by the matching model. *Only 4 Observations for Kanyama.

**Table 5.** Proportion of patients who are adherent by clinic (MPR>95%).

| By Clinic | Intervention group (n=61) | Control group (n=107) | n | t-statistic | P value |
|-----------|--------------------------|-----------------------|---|-------------|--------|
| Chawama   | 93%                      | 88%                   | 27|             |        |
| *Kanyama* | 25%                      | 88%                   | 4 |             |        |
| Mtsendere | 90%                      | 85%                   | 30|             |        |
| George    | n/a                      | n/a                   |   |             |        |
| Bauleni   | 59%                      | 88%                   | 36|             |        |
| Chilenje  | 73%                      | 88%                   | 28|             |        |
| Chipata   | 88%                      | 86%                   | 25|             |        |
| Matero    | 83%                      | 75%                   | 17|             |        |

**Table 6: Conditional estimates of the impact of food assistance on adherence after six months.**
was 0.18 kg/m² in the intervention group and 0.23 kg/m² in the control group (p=0.84), while the change in CD4+ lymphocyte count was 3 cells/µl versus -28 cells/µl, respectively (p=0.47). Conditional estimates of BMI and CD4+ count stratified by duration of ART, baseline BMI, baseline disease stage and baseline CD4+ lymphocyte count were also non-significant.

Discussion

This analysis of a clinic-level food-assistance program providing household rations to food insecure, HIV-infected adults on long-term ART in Lusaka, Zambia found a positive effect on patient compliance with pharmacy medication refill visits, a validated surrogate measure for ART adherence, but found no observable effect on weight gain or CD4+ lymphocyte count. The effect of food rations on MPR are similar to the analysis by Cantrell et al. conducted among patients starting ART in the initial years of the rapid scale up of HIV treatment in Zambia, and suggests that assistance may still have an important role in promoting continuity of treatment among long-term ART recipients during periods of food insecurity [17]. However, the absence of an effect on weight and immune recovery in our analysis may indicate that these common study endpoints are less relevant for assessing the effect of short term interventions (6 months of food assistance in our study) among patients who have clinically 'stabilized' after being on ART for months to years.

Our results found a greater impact of food assistance on adherence among patients in the first 3 years of treatment, and particularly in the first 230 days (7.5 months) after ART initiation. Additionally, the effect was greater among those with several indicators of more advanced illness, including lower BMI, higher HIV disease stage, and greater CD4+ lymphocyte depletion. This may indicate that patients with inadequate nutrition and/or more advanced disease have greater barriers to care and are more likely to be incentivized by food assistance to attend scheduled clinic visits [9,27]. Further studies to understand the determinants of food insecurity and interventions to target these particular groups could have an important impact on second-line ART usage, cost-effectiveness of treatment, and overall program health outcomes. However, we also acknowledge that measuring actual patient adherence to ART is difficult in resource constrained settings where HIV-1 RNA tests are not regularly available and drug delivery problems delay the administration of ART drugs to patients. Additionally, the use of the MPR, while validated against virologic suppression data in prior analyses, does not measure all elements of adherence, especially ART self-administration patterns. Further studies are needed to explore the linkages between food assistance, actual patient behavior, and the maintenance of HIV-1 virologic suppression. However, a plausible explanation for our findings is that food assistance does incentivize food insecure patients on stable ART to attend clinic visits, likely by influencing decisions regarding the time and expense of travelling to the clinic versus the need to work and generate income.

Our analysis of clinical data found no significant effect of food assistance on weight change or CD4+ lymphocyte recovery, which is similar to the results of prior studies [13,17]. The lack of a significant effect on weight gain could be explained by several factors. First, the food assistance program targeting criteria were based on household food insecurity and vulnerability rather than poor nutritional status of the HIV-infected individual. Second, most assistance recipients were not severely malnourished, had been established on ART for several years, and had demonstrated substantial immune reconstitution as evidenced by a baseline mean CD4+ lymphocyte count above 300 cells/µl. Third, the food commodities provided were mainly maize flour, lentils and oil augmented with fortified blended corn and soya flour, rather than the energy-dense, ready-to-use therapeutic feeding products provided in some prior randomized supplementation trials [14,16]. Lastly, sharing of food rations within the family was likely high, and the actual intake by the HIV-infected individual may have been variable. The lack of a positive effect on weight gain or immune recovery does not imply that food assistance to ART patients cannot improve these outcomes, but it does suggest that improvement in these clinical markers should not be assumed as a collateral benefit of household-level assistance programs.

Our study has several limitations. We used an observational, non-randomized methodology, and the sample size may have been too small to detect differences in some endpoints. Since we utilized a retrospective cohort design, patients lost to follow up were most likely excluded from our sample, which could have affected our study outcome. However, we utilized interviewer blinding, and the analysis outcomes were not mentioned at recruitment in an attempt to minimize selection bias. Another limitation of the study is that we did not have baseline (pre-intervention) adherence measurements, but to reduce confounding we matched intervention and control study clinics on several factors related to facility type and patient outcomes, and we incorporated multiple conditioning variables in the model for predicting the propensity score, including demographics, disease stage, education, marriage, distance to health facility, several surrogate markers of economic status, and household characteristics. Despite these clinic-level and participant-level techniques, some residual confounding may have remained.

However, despite our study facing challenges of missing data and the limitations in our design, we believe our study data allow for reasonable analysis and findings that can help explain the role of food assistance in HIV treatment programs. Our findings are also generalizable to other settings, especially since our study derives its data from a common and frequently used program design where patients cannot be easily randomized due to ethical issues but receive the usual routine clinical care provided to ART patients in low income settings [13]. Our findings also concur with results from other studies carried out in similar program settings with the same data challenges [13,17].

In conclusion, we found that clinic-based household food assistance for 6 months increased medication adherence in a cohort of food insecure, HIV-infected Zambian adults on long-term ART, but had no effect on weight or CD4+ lymphocyte count. Our results suggest that the provision of household rations may have an incentivizing effect with direct clinical relevance. Given the high prevalence of both food insecurity and HIV infection in many areas of sub-Saharan Africa, the provision of clinic-based food assistance to improve household welfare and food security may be an important adjunct to ART treatment efforts, though further studies are needed to define the target population and the optimal intervention.

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Authors’ contributions

NT was the principal investigator of the study. All authors (NT, JK, WG) participated in the planning and conceptualization of the research. NT was responsible for the study design and primary data collection activities while JK assisted in obtaining clinical data. NT was responsible for data analysis with input from WG. NT and JK drafted the article, and all authors participated critically revising the manuscript and approved the revised manuscript.

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