A comprehensive iterative approach is highly effective in diagnosing individuals who are exome negative

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Purpose: Sixty to seventy-five percent of individuals with rare and undiagnosed phenotypes remain undiagnosed after exome sequencing (ES). With standard ES reanalysis resolving 10–15% of the ES negatives, further approaches are necessary to maximize diagnoses in these individuals.

Methods: In 38 ES negative patients an individualized genomic–phenotypic approach was employed utilizing (1) phenotyping; (2) reanalyses of FASTQ files, with innovative bioinformatics; (3) targeted molecular testing; (4) genome sequencing (GS); and (5) conferring of clinical diagnoses when pathognomonic clinical findings occurred.

Results: Certain and highly likely diagnoses were made in 18/38 (47%) individuals, including identifying two new developmental disorders. The majority of diagnoses (>70%) were due to our bioinformatics, phenotyping, and targeted testing identifying variants that were undetected or not prioritized on prior ES. GS diagnosed 3/18 individuals with structural variants not amenable to ES. Additionally, tentative diagnoses were made in 3 (8%), and in 5 individuals (13%) candidate genes were identified. Overall, diagnoses/potential leads were identified in 26/38 (68%).

Conclusions: Our comprehensive approach to ES negatives maximizes the ES and clinical data for both diagnoses and candidate gene identification, without GS in the majority. This iterative approach is cost-effective and is pertinent to the current conundrum of ES negatives.

Keywords: Exome sequencing; Genome sequencing; Undiagnosed diseases; Rare diseases; Phenotyping

INTRODUCTION

Exome sequencing (ES) has transformed the diagnostic approach to rare and undiagnosed Mendelian phenotypes, with diagnosis rates of 25–50%.1–4 However, 50–75% of individuals remain undiagnosed after ES (ES negatives). The next steps after a negative ES are currently limited. Some commercial laboratories offer one free ES reanalysis and this can provide a diagnosis in 10–15%, with the majority (~70%) occurring due to interim new gene–disease associations.5, 6 Other studies have reported diagnosis rates of 15–36% with ES reanalyses: although the raw data are reanalyzed, the diagnoses are mostly related to resequencing singletons as trios, looking for copy-number variants (CNV), literature reports, and case matching through platforms such as Matchmaker exchange.7, 8 Resequencing is reported to result in ~15% new molecular diagnoses, due to addition of family members and new gene–disease associations.9 We reported that reanalysis of ES can improve the diagnostic yield due to phenotypic characterization, improved exome coverage, realignment, and variant calling, in addition to new disease gene discovery,10 and careful phenotyping leading to targeted molecular testing can detects variants missed by ES.11 Genome sequencing (GS) can be an option for ES negative patients, with its ability to detect variants in noncoding regions, uniform coverage, and better detection of structural variants; ~15% of variants missed by ES may be detected by GS.12–14 However, GS is not widely available clinically and is not covered by third party payers and thus ES remains the standard diagnostic approach to rare Mendelian phenotypes.

There are many reasons why ES may miss variants of interest. Firstly, the underlying genetic etiology may be non-Mendelian and thus not amenable to ES (e.g., complex diseases). Secondly, the underlying disorder may be Mendelian, but due to variants undetectable with ES technology (e.g., trinucleotide repeats). Finally, variants that should be tractable to ES may still not be detected or reported due to variants not being detected or not recognized as disease-causing.10 This may occur due to (1)
analytical factors/differences (e.g., difficult regions of exome, different quality filters),\textsuperscript{15, 16} (2) knowledge gaps since initial ES (e.g., evolving phenotypes, gene–disease relationships not well established);\textsuperscript{17–18} (3) interpretation/reporting differences between labs (e.g., variant not reported due to poor phenotypic fit).\textsuperscript{11, 19}

The Undiagnosed Diseases Network (UDN) (https://undiagnosed.hms.harvard.edu) is a nationwide National Institutes of Health (NIH)-funded research study that accepts patients with intractable phenotypes for further diagnostic resolution. Genomic sequencing is a major component of the UDN, because most undiagnosed and rare diseases (~85%) are believed to be genetic.\textsuperscript{20} At the Duke/Columbia clinical site of the UDN, we observed that the majority (~60%) who enter the study have a negative ES result through prior commercial or research sequencing. In comparison with previous studies, these individuals are among the most challenging, with the majority having undergone trio ES prior to the UDN and in some instances, an ES reanalysis as well. We thus devised a systematic approach to resolving these phenotypes, including reanalyses of the ES data with our innovative and agnostic approach in parallel to phenotyping and then utilizing the information from these iteratively. If the phenotype was specific enough to warrant targeted molecular tests, these were pursued and if still not resolved, GS was utilized. Our study provides an integrated genomic–phenomic approach to resolving ES negative individuals that extends well beyond just ES reanalyses.

MATERIALS AND METHODS

The study was performed under protocols approved by the Institutional Review Boards of Duke University Medical Center and the National Human Genome Research Institute (NHGRI).

Demographics

Thirty-eight individuals with a pre-UDN negative ES evaluated at the Duke/Columbia UDN clinical site from September 2015 to October 2017 were included. Nineteen patients (50%) were male, 29 (77%) were Caucasians, with 2 (5%) African Americans, 5 (13%) Asians, 2 (5%) Others, and 6 (16%) were Hispanic. The mean age was 7.07 ± 5.82 years, ranging from 0 to 26 years. The mean age of onset of illness was 0.51 ± 1.04 years and the mean duration of illness was 6.35 ± 5.59 years. The organ system most often involved was the nervous system (58%) with the musculoskeletal and gastrointestinal systems being the next most frequent at 7% each (Table S1). The time to diagnosis was 5.76 ± 5.22 months (0–23 months) in those who obtained a diagnosis (n = 21), compared with 10.83 ± 5.2 months, for declaration of no diagnosis in 12 individuals (t = 2.21, p < 0.05, Fig. S1).

Details of prior ES

Pre-UDN ES has been performed in 37 individuals and a pre-UDN GS in 1. A negative ES/GS was operationalized as an ES/GS report that was nondiagnostic and had either (1) no variants of interest, (2) variants of uncertain significance (VUS) in a known disease-causing gene, or (3) variants in candidate genes/genes of uncertain significance not associated with human disease. These pre-UDN variants are in Table S2.

Commercial ES had been performed in 22 individuals (59%), research ES in 13 (35%), and 2 (6%) had undergone both clinical and research ES (individual 23 had clinical GS). The majority were trios (33/38, 86%), 2 were quartets, 2 were duos (parent–child), and 1 was a singleton. The pre-UDN sequencing had occurred from 2012 to 2016, with the majority (36/38, 95%) occurring after 2012. One ES reanalysis prior to UDN entry had occurred in 18/38 (48%) individuals, 2.11 ± 1.07 years (1–4 years) after the initial ES.

Process for evaluation of ES negative individuals

We began with simultaneous ES data reanalyses and phenotyping. These data were iteratively used to derive variants of interest that could be pursued further for diagnoses. If the reanalyses found variants that were likely pathogenic, then the phenotyping was customized to capture clinical manifestations related to that particular disorder. If the phenotyping suggested specific conditions in the differential diagnosis, the ES data were reexamined for pertinent genes. Then, if no variants were detected and the clinical suspicion for a particular disorder was high, direct Sanger sequencing/deletion–duplication testing and/or biochemical testing was pursued. GS was utilized when these procedures did not result in resolution.

UDN phenotyping

Thirty-seven individuals underwent phenotyping (individual 23 died after acceptance and prior to evaluation). This included customized clinical consultations, imaging, procedures, and laboratory tests, during a 1-week visit to the Duke campus. Clinical consultations were the most often obtained (median = 3), with radiological, laboratory tests, and procedures being performed as needed (median = 1).

Review of other prior pertinent results

A chromosomal microarray that was at least at the level of an oligonucleotide array was available on 36/38 individuals and reviewed; no CNVs that could explain the individuals’ features were evident. Regions of homozygosity on the array, if present, were utilized to identify autosomal recessive genes of interest. Other pre-UDN laboratory test results were reviewed, but details are beyond the scope of this publication.

ES reanalyses

FASTQ files were obtained directly, or generated with data from the pertinent laboratory in 35/38 individuals. In three individuals (24, 27, and 23) raw ES/GS data could not be obtained. Primary alignment was performed with the DRAGEN platform.\textsuperscript{21} Duplicate removal was performed using Picard tools and index realignment and variant calling conducted with GATK v3.6. Variants were annotated using Clin-Eff with Ensembl-GRCh37.73. Our bioinformatics is agnostic in its approach, utilizing the innovative tools
developed by our group. The Residual Variation Intolerance Score (RVIS) assesses whether genes have accumulated common functional variation; subRVIS applies the RVIS approach to subregions of genes and captures regional changes due to isoform inclusion/exclusion of exons, and/or by gene domain.\textsuperscript{22, 23} Novel genotypes were filtered into tier 1 and tier 2 variants. Tier 1 variants were strictly filtered for quality and control observations in public databases (ExAC, gnomAD,\textsuperscript{24} and EVS\textsuperscript{25}), and 13,000 internal controls. Tier 1 variants were further prioritized: hot zone variants (polyphen 2 score >0.95 in an intolerant gene with an RVIS or a sub-RVIS score <25) were predicted damaging in an intolerant gene. We highlighted hot zone variants in known OMIM genes, or mouse essential genes. We also highlighted loss of function (LoF) variants that are in genes with known pathogenic LoF variants or reported as haploinsufficient by ClinGen,\textsuperscript{26} or LoF intolerant by high pLI score and estimated conservation/constraint of a variant site with the Genomic Evolutionary Rate Profiling (GERP) score.\textsuperscript{27} We curated ClinVar, HGMD, and internal cases to annotate all variants previously reported pathogenic. Tier 2 variants had less strict filters for quality and control observations, but required that a variant is a known or expected pathogenic variant. This allowed pathogenic variants that might otherwise be filtered due to noise in the control data sets. De novo, newly homozygous, newly hemizygous, and compound heterozygous variants were identified. All coding and intron/exon boundary (up to 8 bp) variants were also considered. An inheritance naïve filter was also applied to identify any variants that may be incompletely penetrant or mosaic in the parent. For genes known to be disease-associated, we also used the American College of Medical Genetics and Genomics (ACMG) criteria for variant classification (Table S1). In select cases, CNV analysis was performed with the target coverage and segmentation tools in GATK 4. These rely on normal samples sequenced on the same sequencing platform. With reanalysis these controls were not always available.

**Exome sequencing**

Two individuals (15 and 22, Table S1) had a repeat ES since the prior trio ES had been performed in early 2012, when ES capture kits were more incomplete. These were performed at the Baylor Miraca sequencing core of the UDN, using methodology and analyses previously published.\textsuperscript{28, 29} The 27 individuals included 17 whose ES reanalyses through our study was negative and individual 23 who had a pre-UDN negative GS, as well as 9 other individuals whose GS was done in parallel with the ES reanalyses (Fig. 1 and Table S1).

**Genome sequencing**

UDN GS was performed by the HudsonAlpha UDN sequencing core on 27 individuals (26 trios, one quartet), with methodology and analyses as previously published.\textsuperscript{30} The 27 individuals included 17 whose ES reanalyses through our study was negative and individual 23 who had a pre-UDN negative GS, as well as 9 other individuals whose GS was done in parallel with the ES reanalyses (Fig. 1 and Table S1).

**Communication with laboratories regarding ES negative results**

When new variants were detected, we corresponded with the pertinent laboratory to discuss the reasons for the variant not being detected or not prioritized previously. This information is in the relevant tables (Tables 1–4 and Table S1).
Determination of diagnoses

The genomic and clinical information was combined for diagnostic interpretation by consensus. The UDN has created categories of diagnoses, recognizing that it is difficult to determine the certainty of diagnosis in rare phenotypes and that the certainty may change over time. Of the four categories of Certain, Highly Likely, Tentative, and Low, we used the first three to classify the diagnoses in the ES negatives in this study. Further considerations in this rubric are the method used to achieve the diagnosis (e.g., genomic sequencing, directed testing based on phenotype or clinical grounds), the mechanistic characterization of disease pathology, the degree to which the diagnosis explains the phenotypes of the patients, and consequences of the diagnoses. Whenever pertinent, variants were confirmed by Sanger sequencing/multiplex ligation-dependent probe amplification (MLPA)/exon array, prior to communication to the individuals and their families. When bioinformatically compelling variants in novel genes were identified, these were categorized as candidate genes. If further avenues such as GeneMatcher and functional studies led to the determination that they were new disease genes, they were then classified as a diagnosis (Certain, Highly Likely, or Tentative, depending on the strength of the supporting evidence).

RESULTS

Overall, 18/38 (47%) individuals received Certain ($n=12$) or Highly Likely diagnoses ($n=6$) and 3 (8%) received Tentative diagnoses. Candidate genes were identified in 5 (13%) individuals. In total, we identified diagnoses/potential leads in 26/38 (68%) individuals (Table 1). In the individuals with a Certain or Likely Diagnoses (excluding the two with clinical diagnoses only), 8 had de novo autosomal dominant variants, 6 had biallelic autosomal recessive variants, 1 had an inherited autosomal dominant variant, and 1 had a de novo X-linked dominant variant (Tables 2, 3, and S1).

ES reanalyses

In 8/35 (23%) individuals, a Certain or Highly Likely diagnosis was made and a ninth individual received a Tentative diagnosis after ES reanalyses (Table 2, Fig. 1). The reasons for a pre-UDN negative ES in these individuals are listed in Tables 2, 4, and S1. Other variants detected in our ES reanalyses are in Tables S2 and S3. Overall, in these nine individuals, in one instance there was an interim literature report of a new gene–disease association (EFL1, Table 2).

Integration of phenotype with genomic data from ES reanalyses

Genomic findings directed the phenotyping and the phenotypic information led to the examination of specific genes. For example, for individual 1 (Table 2 and S1), the EFL1 gene variant was detected just as phenotyping was beginning;
Table 2 Details of the 9/36 Individuals that were resolved by bioinformatics reanalyses of pre-UDN ES data and phenotyping

| Individual number and phenotype | Pre-UDN ES | Reason for pre-UDN negative ES | Duke/Columbia bioinformatics reanalyses and annotation of gene/variants | Certainty of diagnosis and details |
|--------------------------------|------------|--------------------------------|------------------------------------------------------------------------|----------------------------------|
| 1 14-year-old Caucasian female with growth failure, metaphyseal dysplasia, and thrombocytopenia | Research | Variability in laboratory reporting (prioritization) | EFL1 c.379A>G:p.T127AT Tier 1 newly homozygous | Certain Shwachman–Diamond-like syndrome |
| 2 18-year-old Caucasian female with hemiplegic migraine, hypotonia, ataxia, cerebellar atrophy, and severe intellectual disability | Research | Analytical approach (technical limitation) | CACNA1A c.4055G>T:p.R1352LTier 1 de novo in HZ [E] and HZ [OMIM]. Variant previously in ClinVar | Certain Epileptic encephalopathy, early infantile, 42(MIM 617106) |
| 3 14-year-old Caucasian male with renal failure due to nephronophthisis, retinal dystrophy, and cerebellar ataxia | Research | Analytical approach (technical limitation of ES in CNV detection) | NPHP1 c.892C>T:p.R298W Tier 1 de novo in HZ [E] | Certain Nephronophthisis, familial juvenile (MIM 256100) |
| 4 8-month-old Caucasian male with congenital hypotonia, muscle weakness, fine tremor, laryngomalacia and motor delay | Commercial | Variability in laboratory reporting (interpretation) | MYBPC1 c.776T>C:p.L259PTier 1 de novo in HZ [OMIM] | Highly Likely Phenotypic expansion of distal arthrogryposis, type 1B (MIM 614335); three other similar patients identified, all with congenital hypotonia, tremors that have improved over time, with normal cognition |
| 5 20-month-old Caucasian male with infantile spasms, microcephaly, lamellar cataracts, failure to thrive, and global developmental delay | Commercial | Knowledge gap (gene–disease relationship not established) | NACC1 c.892C>T:p.R298WTier 1 de novo in HZ [E] | Certain Neurodevelopmental disorder with epilepsy, cataracts, feeding difficulties, and delayed brain myelination (MIM 617393) |
| 6 16-month-old Caucasian female with axonal motor neuron disease and cerebellar atrophy | Commercial | Knowledge gap (gene–disease relationship not established) | AGTBP1 c.2492-1G>T:p.V964X Tier 1 de novo in LoF depleted gene | Certain Infantile-onset degeneration of central and peripheral nervous systems; nine other patients identified |
| 7 6-year-old Caucasian male with developmental delay, hypotonia, followed by progressive neurological regression | Research | Unknown reasons | IRF2BP2 c.514G>T:p.E172X Tier 1 de novo in LoF depleted gene | Highly Likely IRF2BP2 associated neurodegenerative disorder; six patients identified with similar phenotypes |
| 8 7-year-old Caucasian female with epilepsy, hypotonia, profound intellectual disability, cortical visual impairment Subsequently found to have prolonged QT interval | Commercial | Variability in laboratory reporting (interpretation) | CACNA1C c.4087G>T:p.V1363LTier 1 de novo in HZ [E] and HZ [OMIM]. | Highly Likely Timothy syndrome (MIM 601005); diagnosis on patient supported by additional phenotyping with ECG and Holter monitoring. Three other similar patients identified who presented with epilepsy, intellectual disability, no |
Further evaluations resulted in finding hematological, hepatic and pancreatic abnormalities consistent with the Shwachman–Diamond (SDS)-like syndrome associated with EFL1. Conversely, phenotyping by the epileptologist led to a recommendation to examine the CACNA1A gene in individual 2 (Table 2 and S1) and a likely pathogenic variant was detected on manual inspection of the gene and also on reanalyses through the pipeline. There were no significant differences in whether the pre-UDN ES was clinical or research based, among the Certain and Highly Likely diagnoses ($\chi^2 = 0.46$, $p > 0.05$).

### Phenotype guided diagnoses

A strong clinical suspicion of specific disorders occurred in two individuals. Targeted molecular testing then led to pathogenic variants that had been missed on the pre-UDN ES, as published previously. A VUS in a known disease-causing gene in individual 17 was reinterpreted because she and her mother (who also has this variant) have pathognomonic features of the HEPACAM related disorder on further phenotyping. A CNV was established as being diagnostic for some features in individual 18, based on an interim literature report of this CNV being associated with features that overlapped his. In individuals 15 and 19 clinical diagnoses were conferred according to the UDN diagnostic rubric, due to their clinical features being so exactly consistent with a specific disorder, that the lack of molecular confirmation after all testing did not take away the diagnoses (Table 3 and S1).

### Updated ES

Individual 14 (Table 3 and S1) had a repeat trio ES and a candidate gene variant in ASXL2 was proven to be associated with a new neurodevelopmental disorder. Individual 22 had a negative repeat ES and was subsequently diagnosed on GS due to a structural variant.

### Genome sequencing (GS)

Three Certain/Highly Likely diagnoses were obtained in 3/18 (16%) individuals who underwent GS, after all other modalities to achieve a diagnosis had failed. All three diagnoses were due to structural variants that had not been detected on pre-UDN ES, due to the difficulty in detecting indels larger than 15 bp with ES. Two others obtained a Tentative diagnosis and one candidate gene was identified on GS (Tables 1, 3, and S1). Interestingly, GS was also pursued in nine individuals (Fig. 1, Table S1) whose ES reanalyses were in progress. In all nine individuals, it was the ES reanalyses that led to either a diagnosis or a candidate gene, with the GS not prioritizing these variants (reasons in Tables 1–4).

### New gene–disease associations

Two new gene–disease associations were established (ASXL2 and NACC1) after initial identification as candidate genes. For two other genes identified as candidates we have evidence through further functional studies, animal modeling,
Table 3 Diagnostic resolution of ES negatives with GS and other modalities of diagnosis

Details of individuals resolved with GS, after negative pre-UDN ES and negative ES reanalyses in UDN

| Individual number and phenotype | Modalities to diagnosis | Certainty of diagnoses and details | Reasons for negative ES/other pertinent information |
|--------------------------------|-------------------------|-----------------------------------|---------------------------------------------------|
| 21 7-year-old Hispanic female with acquired microcephaly, speech and motor regression, autism, scoliosis, and frequent infections | De novo 41-bp deletion in the MECP2 gene c.1157_1197delGCCCCC ACCCTCACCTAGCCGAGA CCTCGAGGACCC p.L386HisTer5 | Certain Rett syndrome (MIM 312750) | Pre-UDN ES and our reanalyses did not detect variant due to difficulties in indel detection with ES. Phenotyping consistent with a Rett-like syndrome. Manual inspection of ES data enabled visualization of the variant retrospectively |
| 22 11-year-old Caucasian female with multiple congenital anomalies, choanal atresia, bilateral sensorineural hearing loss, mild bilateral optic nerve hypoplasia, Klippel–Feil anomaly, and global developmental delays | De novo 43-kb deletion on the X-chromosome encompassing exons 1 and 2 of HDAC8 and exons 22-32 of PHKA1. Confirmed by exon array | Certain Cornelia de Lange syndrome 5 (MIM 300882) | Prior CMA reported a 33-kb deletion, with only gene reported in the deletion being PHKA1, responsible for X-linked recessive glycogen storage disease type IX |
| 23 14-month-old female with refractory epilepsy/neuromuscular disorder and a family history of the same condition in brother and sister. Parents consanguineous. The individual died at age 20 months with progressive neurological decline | 1.8-kb homozygous deletion of exon 5 of the ITPA gene | Highly Likely Epileptic encephalopathy, early infantile, 35 (MIM 616647) | Several regions of homozygosity noted on SNP CMA, encompassing >6.5% of the genome, including the ITPA gene. Affected brother had the same deletion on further testing and parents were confirmed to be carriers |
| 24 3-year-old Caucasian male with abnormal gait, developmental delay, and hypotonia | Compound heterozygous VUS in CADc.6320C>G, p.P2107Rc.4669C>G, p.L1557V | Tentative Epileptic encephalopathy, early infantile, 50 (MIM 616457) | Being phenotyped for evidence of congenital disorder of glycosylation, because the disorder is due to abnormal glycosylation |
| 25 3-year-old Caucasian male with multiple congenital anomalies, cerebellar hypoplasia, hypomyelination, leukomalacia, and developmental delays | De novo inframe indel in SONc. S860_S880delAGCCGCCGACGCGACCC p. S1992_R1998del | Tentative ZTTK syndrome (MIM 617140) | Seven amino acid deletion occurs in RS domain of gene, critical for SON function. Due to good phenotypic fit, further functional studies being pursued through collaboration |
| Individual number and phenotype | Modalities to diagnosis | Certainty of diagnoses and details | Reasons for negative ES/other pertinent information |
|---------------------------------|-------------------------|----------------------------------|--------------------------------------------------|
| 14 8-year-old African American/Caucasian male with macrosomia, glabellar nevus flammeus, hypertelorism, and learning difficulties | Clinical | Certain Shashi–Pena syndrome (MIM 617190) | Knowledge Gap (gene–disease relationship not established) NETwork identified five other cases leading to new gene–disease association |
| 15 5-year-old Caucasian female with congenital hypothalamic hamartoblastoma, intractable seizures, microcephaly, profound developmental delay | Sanger sequencing and MLPA of PL2A2G6 Homozygous 2431-bp deletion with 7-bp insertion c.-545_-46+1931delinsCGATCTC in the 5'UTR region | Certain Infanteleocular axonal dystrophy (MIM 256600) | Analytical Approach (difficult region of exome) Commercial ES capture kit did not contain probes for the noncoding exon 1 of PL2A2G6 Changes in neurologic phenotype strongly suggestive of infantile neuroaxonal dystrophy leading to Sanger and MLPA |
| 16 3-year-old Pakistani female with developmental regression, cerebellar atrophy | Sanger sequencing and MLPA of PLA2G6 Homozygous 2431-bp deletion with 7-bp insertion c.-545_-46+1931delinsCGATCTC in the 5'UTR region | Certain Infanteleocular axonal dystrophy (MIM 256600) | Analytical Approach (difficult region of exome) Commercial ES capture kit did not contain probes for the noncoding exon 1 of PLA2G6 Changes in neurologic phenotype strongly suggestive of infantile neuroaxonal dystrophy leading to Sanger and MLPA |
| 17 6-year-old African American female with macrocephaly, learning difficulties, mild motor incoordination, white matter abnormalities | Radiology reinterpretation of brain MRI identified subcortical cysts and other changes, consistent with HEPACAM associated disorder 592G>A p. D198N | Certain Megalencephalic leukoencephalopathy with subcortical cysts 2B (MIM 613926) | Variability in Laboratory Reporting (interpretation) due to incomplete phenotypic information Commercial ES variant calling software did not identify variant that was adjacent to homopolymeric region |
| 18 26-year-old Caucasian male with intellectual disability, optic nerve abnormalities, appendicular ataxia, speech impairment, and history of seizures | Chromosomal microarray reinterpretation due to interim publication, explains part of the phenotype | Highly Likely 16p11.2 deletion syndrome | The 16p deletion is proximal to the typical 16p11.2 deletion and an interim publication implicated haploinsufficiency of STX1B gene within the interval in intellectual disability and seizures |
| 19 9-year-old Asian/Caucasian female with pterygia, cleft palate, poor muscle mass, camptodactyly, club feet, progressive scoliosis, distinctive facial features, and similarly affected sister | Clinical | Highly Likely Multiple pterygium syndrome Clinical diagnosis | Extensive phenotyping of individual and affected sister confirmed clinical, radiological, and muscle biopsy changes consistent with multiple pterygium syndrome |
| 20 18-month-old Hispanic female with progressive joint contractures, gingival hypertrophy, nodules, perianal skin tags, and protein losing enteropathy | Sanger sequencing ANTXR2 homozygous insertion 1073dupC p. Ala359Cysfs*13 Parents confirmed to be carriers | Certain Infantile systemic hyalinosis (MIM 228600) | Analytical Approach (technical limitations) Commercial ES variant calling software did not identify variant that was adjacent to homopolymeric region Phenotyping pathognomonic for infantile systemic hyalinosis and thus Sanger sequencing of ANTXR2 was performed |

*Individuals included in previous publications
CNV copy-number variant, CMA chromosomal microarray, SNP single-nucleotide polymorphism, UDN Undiagnosed Diseases Network, MRI magnetic resonance image, ES exome sequencing, GS genome sequencing, LoF loss of function, MLPA multiplex ligation-dependent probe amplification
and networking through GeneMatcher\textsuperscript{34} to judge these as disease-associated (AGTBPBP1, IRF2BP, publications in progress) (Table 2 and S1).

### Candidate Genes

Our ES reanalyses identified four new candidate genes. GS did identify a fifth candidate gene, TBX2, and all are being studied currently (Fig. 1, Table 3 and Table S1).

### Secondary findings

Two individuals were found on GS to have secondary findings. The father of individual 24 was homozygous for the common syndrome gene HFE for hemochromatosis and individual 15 had a pathogenic variant in a long QT syndrome gene KCNE1 (Table S1). These were communicated to the families with management recommendations and genetic counseling.

### Phenotypes of the ES negatives who remain undiagnosed

Twelve of the 38 individuals remain without a diagnosis or candidate genes. There were no significant demographic differences between these individuals and the others. Their manifestations were less often within the nervous system (41%) compared with 65% in the 26 individuals wherein a diagnosis or a potential lead was available; although this difference was not significant (Fisher’s exact test \( p > 0.05 \)), we also observed that many of the 12 individuals had phenotypes that were representative of complex disorders (Table S1).

### DISCUSSION

A systematic approach to resolving diagnoses in ES negative individuals is a critical need, as the genomics community is increasingly utilizing ES in routine clinical practice and yet 50–75% of individuals remain without a diagnosis. We demonstrate that careful consideration of the phenotypic features, combined with innovative agnostic bioinformatics

**Table 4 Reasons for negative ES results in the 23 genes that were determined to be diagnostic (\( n = 18 \)) or a candidate gene (\( n = 5 \))**

| Categories related to a negative ES | Subcategory | Reasons | Examples in our study (inclusive of diagnoses and candidate genes) |
|-----------------------------------|-------------|---------|---------------------------------------------------------------------|
| **Analytical Approach** (35%)     | Difficult Regions of Exome | Variants not detected due to capture kit not containing probes resulting in missed data | PLA2G6 |
|                                   | Technical Limitations of ES | Variant calling software limitations (indels, structural variants, and CNVs) | ANTXR2, NPHP1, MECP2, HDAC8, ITFAP |
|                                   | Variant Filtering/Calling | Stringent filtering, synonymous variants | TBX2, SON |
| **Knowledge Gap (35%)**           | None | Novel candidate genes with no known disease association | KRT19, CTBS, DROSHA, RNF2, HNRNPK AGTBPBP1, NACC1, ASX12 |
| **Variability in Laboratory Reporting (22%)** | Variants Not Prioritized | Laboratory focused on de novo variants | EFL1 |
|                                   | Variant Interpretation | Poor phenotypic fit determination by laboratory | MYBPC1, CACNA1C, HEPACAM, CAD |
| **Unknown Reasons (8%)**          | None | Reasons not available from pertinent laboratory | CACNA1A, IRF2BP |

ES exome sequencing, CNV copy-number variant

ES reanalysis, targeted molecular testing, and subsequent GS results in a significant number of the ES negatives being resolved (47%), with an additional ~20% obtaining tentative diagnoses or candidate genes. Our experience is that GS is highly effective in detecting structural variants, making it an important adjunct approach to ES negatives. However, mining ES data to maximize its potential and utilizing phenotype directed targeted testing can detect/prioritize variants not reported (due to analytical factors, knowledge gaps, and variability in laboratory reporting), so that the more expensive option of GS may be minimized (>80% of the molecular diagnoses we made were made without GS).

Prior studies on ES negatives have employed various approaches, including reanalyses of the raw ES data (sometimes with more relaxed filters), moving from singleton to trio sequencing to detect de novos and compound heterozygous variants, utilizing CNV analyses, considering the interim literature for new gene–disease associations and networking to identify additional patients; these procedures have yielded 10–36% additional diagnoses.\textsuperscript{5–8, 35} Our cohort was particularly challenging because the majority had been sequenced as trios (88%), with almost all having a negative pre-UDN CNV analysis (94%) and a substantial number (48%) entering the study with one negative ES reanalysis. Thus, many logical next steps were not avenues that we could pursue. Despite this, our systematic and comprehensive approach resulted in ~70% of the individuals obtaining diagnoses or potential leads that could be pursued further. Our ES reanalyses alone were highly effective in providing diagnostic resolution in approximately 25% of the ES negative individuals in this study. Only two diagnoses were facilitated by new disease gene reports in the interim literature and all diagnoses were achieved without the relatively easy step of moving from a singleton to a trio. Utilization of networking platforms such as GeneMatcher or Matchmaker Exchange\textsuperscript{34, 35} did facilitate candidate gene follow-up.\textsuperscript{15, 18}
Our approach enabled us to identify variants that had been not been previously reported. Due to our innovative bioinformatics tools such as RVIS and our ranking of variants into tiers we were able to overcome analytical factors to select bioinformatically compelling variants. Capturing phenotypic changes allowed us to bridge knowledge gaps, resulting in identification of significant variants. Indeed analytical factors and knowledge gaps were the major reasons (70%) for a pre-UDN negative ES (Table 4). Variability in laboratory reporting resulted in nonreporting of significant variants when they did not fit the reported phenotype; this has implications for clinical practice as diagnoses can be missed and phenotypic expansion of a disorder may go unrecognized.

An important component of our systematic approach is to phenotype the ES negative individuals in parallel with the ES reanalyses. Phenotyping is also critical in solving ES negatives without automatically resorting to GS. When the clinical phenotypes are specific enough to be suggestive of one or a few disorders, targeted molecular testing, such as Sanger sequencing, can be effective in determining variants that can be difficult to detect on ES, and is also cost-effective. Finally, we were able to confer clinical diagnoses in two individuals, even in the absence of molecular conformation, because unmistakable pathognomonic phenotypic features of a specific disorder were present. Such clinical diagnoses, when prudently made with irrefutable findings, provide a guide to the families and enable reasonable genetic counseling and estimates of reproductive risk, even as efforts to find a molecular basis continue.

The role of GS in current diagnostics of rare and undiagnosed phenotypes is still being determined. In a cohort of individuals with intellectual disabilities and a negative ES, GS led to diagnosis in ~40% due to detection of de novo and structural variants in the exome; in such earlier studies, limitations of older ES capture kits may have led to coding variants not being detected. Other publications have estimated that 15–17% of additional diagnoses variants are made on GS, mostly due to detection of variants not amenable to ES. Interestingly, in our cohort, GS led to a similar rate of Certain and Likely diagnoses (16%) in the ES negatives and all were due to structural variants that would not be easily amenable to ES or chromosomal microarrays. We acknowledge that the majority of variants that we detected in this study would have been amenable to GS, but several patients (n = 9) who underwent GS were ultimately resolved by our systematic approach and not by GS. Varying reasons are operative for the negative GS in these individuals, such as the UDN GS laboratory not reporting variants that do not fit the described phenotype well and not reporting variants in genes of uncertain significance. This further emphasizes the value of using different pipelines in reinterpreting raw data on ES negatives. Establishing collaborations with researchers at their institutions or outside may enable clinicians to utilize a different bioinformatics pipeline for the reanalyses of ES data.

Twelve individuals in our study have no diagnosis or candidate genes, despite all efforts. A few of these individuals have phenotypes wherein the etiology could be complex (e.g., inflammatory bowel disease, autism, and recurrent fevers) and we propose that such disorders are difficult to solve by sequencing, unless larger cohorts with similar manifestations are accumulated and studied.

In conclusion, a systematic and comprehensive iterative approach to ES negatives that includes ES reanalysis, careful phenotyping, targeted testing, and in select cases GS can result in a high rate of resolution. We recommend that with the high cost, relatively low incremental yield over ES, and complexity of analyses, that GS be utilized only after ES data have been extensively mined and combined with the phenotypic data to maximize its yield. Many aspects of our approach can be implemented in practice. Commercial laboratories could adopt an agnostic approach (which could be easily automatable) to the raw data in ES negatives, so that variants that may be otherwise be filtered out due to stringenter settings or phenotypic mismatch would be detected. Clinicians can also update laboratories about interimp changes or atypical aspects of the phenotypes and ask about bioinformatically compelling variants that may have been initially unreported due to phentypic mismatch. Utilizing targeted testing such as Sanger sequencing for disorders that are high in the differential diagnosis is useful; these variants may have been missed on ES, due to various analytical factors, as illustrated by Individuals 16 and 20 in this study. Additionally, considering disorders that are not amenable to ES (e.g., epigenetic disorders) and obtaining a chromosomal microarray (if not previously done) are useful approaches to ES negatives. Finding additional cases through networking such as GeneMatcher are also feasible in clinical practice. As the genomics community faces the challenge of the ES negatives, approaches such as ours provide viable avenues to maximize their resolution.

**Electronic Supplementary Material**
The online version of this article (https://doi.org/10.1038/s41436-018-0044-2) contains supplementary material, which is available to authorized users.

**Acknowledgements**
This work has received support by the National Institutes of Health (NIH) Common Fund through the Office of Strategic Coordination/Office of the NIH Director (U01HG007672, to Shashi V and Goldstein DB). The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health.

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David R. Adams, Mercedes E. Alejandro, Patrick Allard, Euan A. Ashley, Mahshid S. Azamian, Carlos A. Bacino, Ashok Balasubramanyam, Hayk Barseghyan, Gabriel F. Batzli, Alan H. Beggs, Babak Behnam, Hugo J. Bellen, Jonathan A. Bernstein, Anna Bican, David P. Bick, Camille L. Birch, Devon Bonner, Braden E. Boone, Bret L. Bostwick, Lauren C. Briere, Donna M. Brown, Matthew Brush, Elizabeth A. Burke, Lindsay C. Burrage, Manish J. Bican, Matthew Brush, Elizabeth A. Burke, Lindsay C. Burrage, Manish J. Bican.
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DISCLOSURE

David Goldstein is a founder of and holds equity in Pairnomix and Praxis, serves as a consultant to AstraZeneca, and has research supported by Janssen, Gilead, Biogen, AstraZeneca, and UCB. The remaining authors declare no conflicts of interest.
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