CLIP4Clip: An Empirical Study of CLIP for End to End Video Clip Retrieval

Huaishao Luo\textsuperscript{1}, Lei Ji\textsuperscript{2}, Ming Zhong\textsuperscript{3}, Yang Chen\textsuperscript{3}, Wen Lei\textsuperscript{3}, Nan Duan\textsuperscript{2}, Tianrui Li\textsuperscript{1}

\textsuperscript{1}Southwest Jiaotong University, Chengdu, China
huaishaoluo@gmail.com, trli@swjtu.edu.cn
\textsuperscript{2}Microsoft Research Asia, Beijing, China
\{leiji,minzhon,emchen,wen.lei,nanduan\}@microsoft.com
\textsuperscript{3}Microsoft STCA, Beijing, China

Abstract

Video-text retrieval plays an essential role in multi-modal research and has been widely used in many real-world web applications. The CLIP (Contrastive Language-Image Pretraining), an image-language pre-training model, has demonstrated the power of visual concepts learning from web collected image-text datasets. In this paper, we propose a CLIP4Clip model to transfer the knowledge of the CLIP model to video-language retrieval in an end-to-end manner. Several questions are investigated via empirical studies: 1) Whether image feature is enough for video-text retrieval? 2) How a post-pretraining on a large-scale video-text dataset based on the CLIP affect the performance? 3) What is the practical mechanism to model temporal dependency between video frames? And 4) The Hyper-parameters sensitivity of the model on video-text retrieval task. Extensive experimental results present that the CLIP4Clip model transferred from the CLIP can achieve SOTA results on various video-text retrieval datasets, including MSR-VTT, MSVC, LSMDC, ActivityNet, and DiDeMo. We release our code at https://github.com/ArrowLuo/CLIP4Clip.

1 Introduction

With the increasing of videos uploaded online every day, video-text retrieval is becoming an emerging requirement for people to find relevant videos efficiently. Beyond the actual web application, video-text retrieval is a fundamental research task for multi-modal visual and language understanding. We can distinguish the previous works directly by their input: raw video (pixel-level) or video feature (feature-level).

Usually, the pretrain models (Zhu and Yang, 2020; Luo et al., 2020; Li et al., 2020; Gabeur et al., 2020; Patrick et al., 2021; Rouditchenko et al., 2020) are feature-level because they are trained on some large-scale video-text datasets, e.g., Howto100M (Miech et al., 2019). The input is the cached video features generated via off-the-shelf frozen video feature extractors. If the input is the raw video, it makes the pretrain very slow or infeasible. Nevertheless, benefitting from the large-scale dataset, the pretrain models show a significant performance gain for video-text retrieval.

The pixel-level approach trains the model with raw video as input directly (Torabi et al., 2016; Kiros et al., 2014; Yu et al., 2016a; Kaufman et al., 2017; Yu et al., 2017, 2018). Early literature almost belongs to this approach. This approach learns the video feature extractor jointly with the paired text. On the contrary, the feature-level approach highly depends on a suitable feature extractor. It can not propagate the learning back to the fixed video encoder.

Some recent works begin to pretrain the model with the pixel-level approach, making the pretrained model learn from the raw video. The big challenge is how to reduce the high computational overload of dense video input. Typically, ClipBERT (Lei et al., 2021) employs a sparse sampling strategy to make the end-to-end pretrain possible. Concretely, the model only sparsely samples a single or a few short clips from a video at each training step. The performance shows that the end-to-end training can benefit the low-level feature extraction. A few sparsely sampled clips are enough to solve the video-text retrieval task. Frozen (Bain et al., 2021) treats an image as a single-frame video and designs a curriculum learning schedule to train the model on both image and video datasets. The results show that the curriculum learning schedule learns increasingly from image to multi frames can increase efficiency. Our target is not to pretrain a new model on video-text retrieval. We mainly investigate how to transfer the knowledge from the
We exploit the pre-trained CLIP and propose a model named CLIP4Clip (CLIP For video Clip retrieval) to solve video-text retrieval. Concretely, the CLIP4Clip is constructed on top of the CLIP and designs a similarity calculator to investigate three similarity calculation approaches: parameter-free type, sequential type, and tight type. Like our work, the concurrent work from Portillo-Quintero et al. (2021) is also built on the CLIP for video-text retrieval. The difference is that their work directly leveraged the CLIP for zero-shot prediction without considering different similarity calculation mechanisms. However, we design some similarity calculation approaches to improve the performance and train the model in an end-to-end manner. The contributions of our work are: 1) we investigate three mechanisms of similarity calculation based on the pretrained CLIP; 2) we further post-pre-train the CLIP on a noisy large-scale video-language dataset to learn a better retrieval space. The extensive experiments show our model achieves the new SOTA results on MSR-VTT (Xu et al., 2016), MSVC (Chen and Dolan, 2011), LSMDC (Rohrbach et al., 2015), ActivityNet (Krishna et al., 2017a), and DiDeMo (Hendricks et al., 2017) datasets.

Besides, we can conclude the following insights from our extensive experiments:

1) One single image is far from enough for video encoding for video-text retrieval.

2) Post-pretraining at a large-scale video-text dataset on the CLIP4Clip model is required and can improve the performance, especially for zero-shot prediction by a large margin.

3) With the powerful pre-trained Clip, it is better not to introduce new parameters and adopt a mean pooling mechanism on video frames for small datasets. At the same time, it is better to introduce more parameters, e.g., the self-attention layer, to learn the temporal dependency for large datasets.

4) We carefully study the hyper-parameters and report the best setting.

2 Related Works

Video Encoder Backbone Previous works mainly focus on 2D/3D spatial-temporal convolution for video representation (Tran et al., 2015; Xie et al., 2018; Feichtenhofer et al., 2019). Recently ViT (Dosovitskiy et al., 2021), a transformer-based image encoder, has attracted much attention. The transformer based video encoder is still in its early stage for action classification (Bertasius et al., 2021; Arnab el al., 2021). We mainly investigate the effective transformer based video backbone for multimodal video-text retrieval.

Visual Representation Learning from Text Supervision Visual representation learning is a challenging task and has been widely studied with supervised or self-supervised methods. Considering semantic supervision from large-scale unlabeled data, learning visual representation from text representation (Radford et al., 2021; Miech et al., 2020; Lei et al., 2021) is an emerging research topic with the benefit of large-scale visual and linguistic pairs collected from the Internet. The prominent success of the CLIP (Contrastive Language-Image Pre-training) (Radford et al., 2021) has demonstrated its capability of learning SOTA image representations from linguistic supervision with pre-training on large-scale image and text pairs. The pre-trained model can learn fine-grained visual concepts for images and transfer the knowledge for the retrieval task. Typically, MIL-NCE (Miech et al., 2020) mainly investigated to leverage noisy large-scale Howto100M (Miech et al., 2019) instructional videos to learn a better video encoder in an end-to-end manner. Furthermore, ClipBERT (Lei et al., 2021) proposed an efficient end-to-end approach through sparse sampling and presented that the pretrained by image-language dataset facilitated a better initialization of video-text retrieval. Different from ClipBERT, we adopt CLIP with transformer based visual backbone and extend this image-language pre-trained model to video-language pre-training for video-text retrieval. Considering the temporal sequence of video, a 2D/3D linear embedding and a similarity calculator attached to the visual transformer are used to capture temporal sequence features.

Video-Text Retrieval Early works on video-text retrieval (Torabi et al., 2016; Kiros et al., 2014; Yu et al., 2016a; Kaufman et al., 2017; Yu et al., 2017, 2018) designed intensive fusion mechanisms for cross-modal learning. Recently, the pre-trained models (Zhu and Yang, 2020; Amrani et al., 2021; Luo et al., 2020; Li et al., 2020; Miech et al., 2020; Gabeur et al., 2020; Patrick et al., 2021; Lei et al., 2021; Dzabraev et al., 2021; Liu et al., 2021) have dominated the leaderboard of the video-text retrieval with noticeable results on zero-shot retrieval.
Figure 1: The framework of CLIP4Clip, which comprises three components, including two single-modal encoders and a similarity calculator. The model takes a video-text pair as input. For the input video, we first sample the input video into ordinal frames (images). Next, these image frames are reshaped into a sequence of flattened 2D patches. These patches are mapped to the 1D sequence of embeddings with a linear patch embedding layer and input to the image encoder for representation as in ViT (Dosovitskiy et al., 2021). Finally, the similarity calculator predicts the similarity score between the text representation and representation sequence of these frames. We investigate three types of similarity calculators in this work, including parameter-free, sequential, and tight types. ⊗ means cosine similarity. We initial the two single-modal encoders with CLIP (ViT-B/32) (Radford et al., 2021).

and fine-tuned retrieval. Concurrent to our work, Portillo-Quintero et al. (2021) applied CLIP for zero-shot prediction, and Bain et al. (2021) proposed a transformer-based video backbone. We propose to directly transfer the powerful knowledge from the pre-trained CLIP and continue pre-train the designed video-based CLIP4Clip on a large-scale video-language dataset. Empirical studies present the effectiveness of the CLIP4Clip model.

3 Framework

Given a set of videos (or video clips) \( V \) and a set of captions \( T \), our target is to learn a function \( s(v_i, t_j) \) to calculate the similarity between the video (or video clip) \( v_i \in V \) and the caption \( t_j \in T \). The goal is to rank all the videos (or video clips) given the query caption according to their similarity score in the text-to-video retrieval or rank all the captions given the query video (or video clip) in the task of video-to-text retrieval. The objective of the \( s(v_i, t_j) \) is to calculate a high similarity for relevant video-text pairs and a low similarity score for irrelevant ones.

The video (or video clip) \( v_i \in V \) is represented as a sequence of frames (images) in this paper. Formally, the video (or video clip) \( v_i \) is composed of \( |v_i| \) sampled frames such that \( v_i = \{v_{i1}, v_{i2}, \ldots, v_{in}\} \). Our model is an end-to-end manner (E2E) trained on pixels directly via taking the frames as input. Figure 1 demonstrates our framework, which mainly contains a text encoder, a video encoder, and a similarity calculator. We introduce each part in detail in this section.

3.1 Video Encoder

To get the video representation, we first extract the frames from the video clip and then encode them via a video encoder to obtain a sequence of features. In this paper, we adopt the ViT-B/32 (Dosovitskiy et al., 2021) with 12 layers and the patch size 32 as our video encoder. Concretely, we use the pre-trained CLIP (ViT-B/32) (Radford et al., 2021) as our backbone and mainly consider transferring the image representation to video representation. The pre-trained CLIP (ViT-B/32) is effective for the video-text retrieval task in this paper.

The ViT (Dosovitskiy et al., 2021) first extracts non-overlapping image patches, then performs a
We directly apply the text encoder from the CLIP with 8 attention heads. Following CLIP, the activation of the caption. For the caption $t_j \in \mathcal{T}$, we denote the representation as $w_j$.

### Similarity Calculator

After extracting the video representation $Z_i = \{z^1_i, z^2_i, \ldots, z^{v_i}_i\}$ and caption representation $w_j$, the key point comes to the similarity calculation. Since our model is built based upon a pre-trained image-text model, we should carefully add new learnable weights in the similarity calculator module. It is hard to learn without weight initialization and may hurt the performance of the pre-trained model training with backpropagation. Therefore, we categorize the mechanisms of the similarity calculator into three categories depending on whether the module introduces new parameters to learn.

The parameter-free approach, i.e., meaning pooling, fuses the video representation without new parameters. Additionally, two other approaches introduce new weights to learn including a sequential type and a tight type methods with different sizes of new weights. Figure 1b illustrates the detailed structure of the three mechanisms. The parameter-free type and sequential type similarity calculators belong to the loose type that adopts two separate branches for video and text representation independently to calculate cosine similarity. While the tight type similarity calculator uses the transformer model for multi-modal interaction and further calculates the similarity via a linear projection, both of which consist of new weights to learn.

#### Parameter-free type

According to the CLIP, the frames representation $Z_i$ and the caption representation $w_j$ have been layer normalized and linearly projected into a multi-modal embedding space through the large-scale pretraining on the image-text pairs. The natural idea is to employ a parameter-free type to calculate similarity directly with the image/frame from the video perspective. The parameter-free type first uses the mean pooling to aggregate the feature of all frames to obtain an ‘average frame’, $\hat{z}_i = \text{mean-pooling}(z^1_i, z^2_i, \ldots, z^{v_i}_i)$. Then, the similarity function $s(v_i, t_j)$ is defined as the cosine similarity,

$$s(v_i, t_j) = \frac{w_j^T \hat{z}_i}{\|w_j\| \|\hat{z}_i\|}. \quad (1)$$

####Sequential type

The mean-pooling operation ignores the sequential information between frames. In this way, we explore two methods to model the sequential feature for Sequential type similarity calculator. One is LSTM (Hochreiter...
where $\mathbf{T}$ (Devlin et al., 2019). The Transformer Encoder takes the concatenated caption $\tilde{\mathbf{z}}_i$ and frames’ representation $\mathbf{Z}_i = \{z_{i1}^1, z_{i1}^2, \ldots, z_{i1}^{L_i}\}$ as the fused feature $\mathbf{U}_i$ formulated as,

$$
\mathbf{U}_i = [\mathbf{w}_j, z_{i1}^1, z_{i1}^2, \ldots, z_{i1}^{L_i}],
$$

(2)

where $\{\cdot\}$ denotes concatenate operation, $\mathbf{P}$ is the position embedding, and $\mathbf{T}$ is type embedding similar to Segment Embeddings in BERT (Devlin et al., 2019). The $\mathbf{T}$ contains two types of embedding, one is for caption and the other is for video frames. Next, we calculate similarity score with two linear projection layers plus an activation upon the first token output of the last layer $\tilde{\mathbf{U}}_{i[0,:]}$. Concretely, the similarity function $s(v_i, t_j) = \text{FC(ReLU(FC}(\mathbf{U}_i[0,:])))$, where $\text{FC}$ is the linear projection, and the $\text{ReLU}$ means ReLU activation function (Agarap, 2018).

### 3.4 Training Strategy

#### Loss Function

Given a batch of $B$ (video, text) or (video clip, text) pairs, the model needs to generate and optimize $B \times B$ similarities. We use a symmetric cross entropy loss over these similarity scores to train the model’s parameters,

$$
\mathcal{L}_{v2t} = -\frac{1}{B} \sum_{i}^{B} \log \frac{\exp(s(v_i, t_i))}{\sum_{j=1}^{B} \exp(s(v_i, t_j))},
$$

(4)

$$
\mathcal{L}_{t2v} = -\frac{1}{B} \sum_{i}^{B} \log \frac{\exp(s(v_i, t_i))}{\sum_{j=1}^{B} \exp(s(v_j, t_i))},
$$

(5)

$$
\mathcal{L} = \mathcal{L}_{v2t} + \mathcal{L}_{t2v}.
$$

(6)

The loss $\mathcal{L}$ is the sum of video-to-text loss $\mathcal{L}_{v2t}$ and text-to-video loss $\mathcal{L}_{t2v}$.

#### Frame Sampling

Since our model is trained on pixels directly via taking the frames as input, it is an important strategy to extract frames. An effective sampling strategy is required to consider the balance between the information richness and the computational complexity (especially memory cost). To consider the sequential information in the video (or video clip), we adopt a uniform frame sampling strategy instead of a random sparse sampling strategy used in (Lei et al., 2021). The sampling rate is 1 frame per second. Besides, we also investigate different frame lengths and different extraction positions in our experiments.

#### Pre-training

Although the CLIP is effective for learning the visual concepts of images, it is essential to learn temporal features from video. To further transfer the knowledge to video, our CLIP4Clip model is post-pretrained on Howto100M dataset (Miech et al., 2019). Pretraining on the video-text dataset is extremely challenging due to efficiency consideration. We conduct a preliminary exploration and use the ‘Food and Entertaining’ category, around 380k videos, as the post-pretraining dataset (called Howto100M-380k in the rest of the paper). We adopt the MIL-NCE loss (Miech et al., 2020) to optimize the CLIP in our parameter-free type. The optimizer is Adam (Kingma and Ba, 2015), with a learning rate 1e-8. The token length is 32, the frame length is 12, and the batch size is 48. The training is processed on 8 NVIDIA Tesla V100 GPUs. We run 5 epochs and it takes about 2 weeks. In this paper, the post-pretraining test can be regarded as a preliminary study on this direction for future work.

### 4 Experiments

We first describe the datasets and implementation details before presenting state-of-the-art results on five datasets. We then ablate various settings of our model. Finally, we discuss some aspects of promising direction.

#### 4.1 Datasets

We validate our model on five datasets: MSR-VTT, MSVC, LSMDC, ActivityNet, and DiDeMo. MSR-VTT (Xu et al., 2016) is a dataset composed of 10,000 videos, each with a length that ranges from 10 to 32 seconds and 200,000 captions. We
use two types of data splits, ‘Training-7K’ and ‘Training-9K’, to compare with baselines. The ‘Training-7K’ follows the data splits from (Miech et al., 2019) and the ‘Training-9K’ follows the data splits from (Gabeur et al., 2020). The test data in both splits is ‘test 1k-A’, which contains 1,000 clip-text pairs following JSFusion (Yu et al., 2018). We use ‘Training-9K’ as the default setting if there is no extra annotation.

**MSVD** (Chen and Dolan, 2011) contains 1,970 videos, each with a length that ranges from one to 62 seconds. Train, validation and, test splits contain 1,200, 100, and 670 videos, respectively. Each video has approximately 40 associated sentences in English.

**LSMDC** (Rohrbach et al., 2015) is comprised 118,081 videos, each with a length that ranges from two to 30 seconds. The videos were extracted from 202 movies. The validation set contains 7,408 videos, and the test set 1,000 videos from movies independent from the training and validation splits.

**ActivityNet** (Krishna et al., 2017a) consists of 20,000 YouTube videos. We follow the setting from (Zhang et al., 2018; Gabeur et al., 2020) to concatenate all the descriptions of a video to form a paragraph and evaluate the model with video-paragraph retrieval on the ‘val1’ split.

**DiDeMo** (Hendricks et al., 2017) contains 10,000 videos annotated with 40,000 sentences. We evaluate video-paragraph retrieval following (Liu et al., 2019; Lei et al., 2021; Bain et al., 2021), where all sentence descriptions for a video are concatenated into a single query.

We use standard retrieval metrics: recall at rank $K$ (R@K, higher is better), median rank (MdR, lower is better), and mean rank (MnR, lower is better) to evaluate the performance of our model. R@K (Recall at $K$) calculates the percentage of test samples for which the correct result is found in the top-$K$ retrieved points to the query sample.
Table 2: Results of text-to-video retrieval on MSVD dataset. In the column ‘TrainD’, M, H, and W denote training on MSVD, HowTo100M (Miech et al., 2019), and WIT (Raford et al., 2021), and CW means CC3M (Sharma et al., 2018) plus WebVid-2M (Bain et al., 2021). The column ‘E2E’ with ✓ means training from raw video in an end-to-end manner. The baseline methods are ²Multi Cues (Mithun et al., 2018), ³CE (Liu et al., 2019), ⁴SSB (Patrick et al., 2021), ⁵NoiseE (Amrani et al., 2021), ⁶CLIP-straight (Portillo-Quintero et al., 2021), ⁷Frozen (Bain et al., 2021), ⁸TT-CE+ (Croitoru et al., 2021).

| Methods       | TrainD | E2E | R@1↑ | R@5↑ | R@10↑ | MdR↓ | MnR↓ |
|---------------|--------|-----|------|------|-------|------|------|
| CLT-SANa      | L ✓    | 5.1 | 16.3 | 25.2 | 46.0  | -    | -    |
| JSFusionb     | L ✓    | 9.1 | 21.3 | 34.1 | 36.0  | -    | -    |
| CEc           | L ✓    | 11.2| 26.9 | 34.8 | 25.3  | 96.8 | -    |
| MMTd          | H+L    | 12.9| 29.9 | 40.1 | 19.3  | 75.0 | -    |
| NoiseEe       | H+L    | 6.4 | 19.8 | 28.4 | 39.0  | -    | -    |
| CLIP-straightf | L ✓   | 11.3| 22.7 | 29.2 | 56.5  | -    | -    |
| MDMMT†        | MD+L   | 18.8| 38.5 | 47.9 | 12.3  | 58.0 | -    |
| Frozenh       | CW+L   | 15.0| 30.8 | 39.8 | 20.0  | -    | -    |
| Hiti          | H+L    | 14.0| 31.2 | 41.6 | 18.5  | -    | -    |
| TT-CE+        | L      | 17.2| 36.5 | 46.3 | 13.7  | -    | -    |
| (Ours)-meanP  | W+L    | ✓  | 20.7 | 38.9 | 47.2  | 13.0 | 65.3 |
| (Ours)-seqLSTM| W+M    | ✓  | 21.6 | 41.8 | 49.8  | 11.0 | 58.0 |
| (Ours)-seqTrans| W+L  | ✓  | 22.6 | 41.0 | 49.1  | 11.0 | 61.0 |
| (Ours)-tightTrans | W+L | ✓  | 18.9 | 37.8 | 46.7  | 13.0 | 61.6 |

Table 3: Results of text-to-video retrieval on LSMDC dataset. In the column ‘TrainD’, M, H, and W denote training on LSMDC, HowTo100M (Miech et al., 2019), and WIT (Raford et al., 2021), MD used in (Dzabraev et al., 2021) denotes a combined multimodal dataset containing MSR-VTT, LSMDC, HowTo100M, etc., and CW means CC3M (Sharma et al., 2018) plus WebVid-2M (Bain et al., 2021). The column ‘E2E’ with ✓ means training from raw video in an end-to-end manner. The baseline methods are ²CT-SAN (Yu et al., 2017), ³JSFusion (Yu et al., 2018), ⁴CE (Liu et al., 2019), ⁵MMT (Gabeur et al., 2020), ⁶NoiseE (Amrani et al., 2021), ⁷CLIP-straight (Portillo-Quintero et al., 2021), ⁸MDMTMT (Dzabraev et al., 2021), ⁹Frozen (Bain et al., 2021), ¹⁰HiT (Liu et al., 2021), ¹¹TT-CE+ (Croitoru et al., 2021).

| Methods       | TrainD | E2E | R@1↑ | R@5↑ | R@10↑ | MdR↓ | MnR↓ |
|---------------|--------|-----|------|------|-------|------|------|
| CT-SANa       | L ✓    | 5.1 | 16.3 | 25.2 | 46.0  | -    | -    |
| JSFusionb     | L ✓    | 9.1 | 21.3 | 34.1 | 36.0  | -    | -    |
| CEc           | L ✓    | 11.2| 26.9 | 34.8 | 25.3  | 96.8 | -    |
| MMTd          | H+L    | 12.9| 29.9 | 40.1 | 19.3  | 75.0 | -    |
| NoiseEe       | H+L    | 6.4 | 19.8 | 28.4 | 39.0  | -    | -    |
| CLIP-straightf| L ✓   | 11.3| 22.7 | 29.2 | 56.5  | -    | -    |
| MDMMT†        | MD+L   | 18.8| 38.5 | 47.9 | 12.3  | 58.0 | -    |
| Frozenh       | CW+L   | 15.0| 30.8 | 39.8 | 20.0  | -    | -    |
| Hiti          | H+L    | 14.0| 31.2 | 41.6 | 18.5  | -    | -    |
| TT-CE+        | L      | 17.2| 36.5 | 46.3 | 13.7  | -    | -    |
| (Ours)-meanP  | W+L    | ✓  | 20.7 | 38.9 | 47.2  | 13.0 | 65.3 |
| (Ours)-seqLSTM| W+M    | ✓  | 21.6 | 41.8 | 49.8  | 11.0 | 58.0 |
| (Ours)-seqTrans| W+L  | ✓  | 22.6 | 41.0 | 49.1  | 11.0 | 61.0 |
| (Ours)-tightTrans | W+L | ✓  | 18.9 | 37.8 | 46.7  | 13.0 | 61.6 |

Table 5: Results of text-to-video retrieval on DiDeMo dataset. In the column ‘TrainD’, D, H, W, and G denote training on DiDeMo, HowTo100M (Miech et al., 2019), WIT (Raford et al., 2021), COCO Captions (Chen et al., 2015), and Visual Genome Captions (Krishna et al., 2017b), CW means CC3M (Sharma et al., 2018) plus WebVid-2M (Bain et al., 2021). The column ‘E2E’ with ✓ means training from raw video in an end-to-end manner. † means that the candidate video is concatenated using ground truth proposals. The baseline methods are ²S2VT (Venugopalan et al., 2015), ³FSE (Zhang et al., 2018), ⁴CE (Liu et al., 2019), ⁵ClipBERT (Lei et al., 2021), ⁶Frozen (Bain et al., 2021), ⁷TT-CE+ (Croitoru et al., 2021).

| Methods       | TrainD | E2E | R@1↑ | R@5↑ | R@10↑ | MdR↓ | MnR↓ |
|---------------|--------|-----|------|------|-------|------|------|
| S2VTa         | D ✓    | 11.9| 33.6 | -    | 13.0  | -    | -    |
| FSEb          | D      | 13.9| 36.0 | -    | 11.0  | -    | -    |
| CEc           | D      | 16.1| 41.1 | -    | 8.3   | 43.7 | -    |
| ClipBERT†     | C+G+D | ✓  | 20.4| 48.0 | 60.8 | 6.0  | -    |
| Frozenh       | CW+D   | ✓  | 34.6| 65.0 | 74.7 | 3.0  | -    |
| TT-CE+†       | D      | 21.6| 48.6 | 62.9 | 6.0  | -    | -    |
| (Ours)-meanP  | W+D    | ✓  | 43.4| 70.2 | 80.6 | 2.0  | 17.5 |
| (Ours)-seqLSTM| W+D    | ✓  | 43.4| 69.9 | 80.2 | 2.0  | 17.5 |
| (Ours)-seqTrans| W+D  | ✓  | 42.8| 68.5 | 79.2 | 2.0  | 18.9 |
| (Ours)-tightTrans | W+D | ✓  | 25.8| 52.8 | 66.3 | 5.0  | 27.3 |

report results for R@1, R@5, and R@10 (or R@50 for the ActivityNet). Median Rank calculates the median of the ground-truth results in the ranking. Similarly, Mean Rank calculates the mean rank of all correct results.
4.2 Experimental Details

We initial the text encoder and video encoder with CLIP (ViT-B/32) (Radford et al., 2021) in this paper. The practical question is how to initialize the parameters in sequential type. Our solution is to reuse similar parameters from the CLIP (ViT-B/32). Concretely, for the position embedding in sequential type and tight type, we initialize them by repeating the position embedding from CLIP’s text encoder. Similarly, the transformer encoder is initialized by the corresponding layers’ weight of the pretrained CLIP’s image encoder. The rest of the parameters, e.g., LSTM and linear projection, are initialized randomly. The temporal dimension $t$, height dimension $h$, and width dimension $w$ of 3D linear and 2D linear in Section 3.1 are set to 3, 32, 32, respectively. For 3D linear, we set stride and padding with 1 at the temporal dimension. We initialize the 3D linear following (Arnab et al., 2021) with a ‘central frame initialization’ strategy from the pretrained 2D linear of CLIP. Concretely, we use $[0, E_{2D}, 0]$ from the 2D weight $E_{2D}$ of CLIP’ image encoder.

We finetune the model with the Adam optimizer (Kingma and Ba, 2015). For the learning rate, we decay it using a cosine schedule (Loshchilov and Hutter, 2017) following the CLIP (Radford et al., 2021). If no otherwise specified, the initial learning rate is 1e-7 for text encoder and video encoder (including linear projection) and 1e-4 for new modules, e.g., LSTM, the caption token length is 32, the frame length is 12, the batch size is 128, and running 5 epochs. The layer of LSTM is 1, and the layer of Transformer Encoder in both sequential type and tight type is 4 in our experiments. All finetune experiments are carried out on 4 NVIDIA Tesla V100 GPUs. Note that the ActivityNet and the DiDeMo are regarded as video-paragraph retrieval, so we set the caption token length and the frame length 64. The experiments on them are carried out on 16 NVIDIA Tesla V100 GPUs.

4.3 Comparison to the State of the Art

We compare all types of similarity calculator based on the pretrained CLIP against the state-of-the-art (SOTA): ‘-meanP’, ‘-seqLSTM’, ‘-seqTransf’, and ‘-tightTransf’ are short for parameter-free type (i.e., mean pooling), sequential type of LSTM, Transformer Encoder, and tight type mentioned in Section 3.3. Table 1-5 present the text-to-video retrieval results of our model on MSR-VTT, MSVC, LSMDC, ActivityNet, and DiDeMo. The baselines of each dataset are listed in the caption of each table for clarification. We achieve the SOTA results on all five datasets by a large margin compared with all baselines. We find that the growth of retrieval performance benefits from the pretrained CLIP via our results and the concurrent CLIP-straight (Portillo-Quintero et al., 2021). Besides, the improvement from our end-to-end finetune proves the potential of the image-text pretrain model on video-text retrieval.

For the MSR-VTT dataset, the model with the parameter-free type (-meanP) achieves the best results for the ‘Training-7k’ data split, while the model with the sequential type (-seqTransf) outperforms other methods for the ‘Training-9k’ data split. We think that it is hard to learn extra parameters beyond the pre-trained parameters given a small dataset. With a large dataset, it is capable of learning the extra parameters. For the LSMDC dataset, the model with the sequential type performs better than the other two types. The two sequential types, -seqLSTM and -seqTransf, achieve
comparable results. For the MSVD dataset, the performance of the parameter-free type is the best. We notice that the MSVD training data is smaller than the MSR-VTT and MSVD datasets at least 2 times, and consider the reason is that the extra parameters need extra large dataset to keep the advance from pretrained weight. The performance of video-paragraph retrieval on the ActivityNet and DiDeMo further proves the advantage of the parameter-free type when utilizing the pretrained model. Among five datasets, almost all the results of the tight type (-tightTransf) are the worst among all calculators. We think that the tight type is still hard to learn the cross-modality interaction without enough dataset.

### 4.4 Hyperparameters and Learning Strategy

We run extensive experiments on studying the hyperparameters and learning strategies to search for the best settings. Figure 3 shows the resulting chart. With increasing of Batch size in 3a, the performance increases and it achieves comparable result for batch size 128 and 256. In our experiment, we set the batch size to 128. About the study on frame length in 3d, we can see a significant increase between 1 and 6 frames which shows that it is required for video to actually model with a sequence of multiple frames instead of one single frame. We sampled 12 frames for our experiment, which is both efficient and effective. We also study whether we should freeze the parameters of each layer pre-trained by CLIP. From the Figure 3c, it is better to fine-tune all the transformer encoder layers at a small learning rate and keep the bottom linear layer. About the Learning rate shown in 3b, the best learning rate is 1e-7, which can not be too large or too small. A large learning rate hurts the performance. Even more, it can not leverage the advantage of pre-trained weights.

### 4.5 Post-pretraining on Video Dataset

Our model is built on the pre-trained CLIP, which is an image pre-training model. To solve this data type (image v.s. video) variance, we conduct a preliminary exploration on the post-pretraining of our model on Howto100M-380k video dataset, and report the results for both zero-shot and fine-tune. From the table 7, we can see that the performance increases for both zero-shot and fine-tuning setting. The increase of zero-shot is much larger, which shows that post-pretraining with the same data type (video) can learn general knowledge and directly transfer to the task. In addition, the fine-tuning on the post-pretrained model also improves the performance on both LSMDC and MSVD datasets and achieves approximate results for the MSR-VTT.
dataset. In future work, we will explore the capability of pre-training with an even larger dataset.

4.6 Sampling strategy

We conduct three different sampling strategies for video. ‘Head’ is to sample the first frames at the beginning of the video, ‘Tail’ is to select the last frames at the end of the video, and ‘Uniform’ is to sample the whole frames of the video uniformly. The experimental results show that ‘Uniform’ is relatively a good choice, and ‘Head’ is comparable. The ‘Tail’ sampling strategy is unlikely to be used.

4.7 2D/3D Patch Linear

We conduct the comparison on 2D and 3D linear mentioned in Section 3.1. Table 8 presents the performance of them. Against our expectation that 3D patch linear can extract temporal information among frames and generate better discriminant features and performance, the 3D linear generate worse results than 2D linear on both MSR-VTT and MSVD. We suppose that the CLIP is trained for 2D linear instead of 3D linear, and the discrepant initialization on 3D linear makes it hard to learn the temporal information. We will pretrain on a large video-text dataset to unleash its potential in future work.

5 Conclusion

In this paper, we use the pretrained CLIP as our backbone to solve the video clip retrieval task from frame-level input. We employ parameter-free type, sequential type, and tight type similarity calculator to obtain the final results. The experimental results demonstrate the effectiveness of our model and achieve the SOTA results on MSR-VTT, MSVC, LSMDC, ActivityNet, and DiDeMo. Besides, we give serval insights from our empirical studies: 1) image feature can also promote the video-text retrieval, 2) post-pretrain on even outstanding image-text pretrained CLIP can further improve the performance on video-text retrieval, 3) 3D patch linear projection and sequential type similarity are promising approaches on the retrieval task, and 4) The CLIP used on video-text retrieval is learning-rate sensitivity.

References

Abien Fred Agarap. 2018. Deep learning using rectified linear units (relu). arXiv preprint arXiv:1803.08375.

Elad Amrani, Rami Ben-Ari, Daniel Rotman, and Alex Bronstein. 2021. Noise estimation using density estimation for self-supervised multimodal learning. In AAAI.

Anurag Arnab, Mostafa Dehghani, Georg Heigold, Chen Sun, Mario Lučić, and Cordelia Schmid. 2021. Vivit: A video vision transformer. arXiv preprint arXiv:2103.15691.

Max Bain, Arsha Nagrani, Gül Varol, and Andrew Zisserman. 2021. Frozen in time: A joint video and image encoder for end-to-end retrieval. arXiv preprint arXiv:2104.00650.

Gedas Bertasius, Heng Wang, and Lorenzo Torresani. 2021. Is space-time attention all you need for video understanding? arXiv preprint arXiv:2102.05095.

David Chen and William Dolan. 2011. Collecting highly parallel data for paraphrase evaluation. In ACL-HLT, pages 190–200.

Xinlei Chen, Hao Fang, Tsung-Yi Lin, Ramakrishna Vedantam, Saurabh Gupta, Piotr Dollár, and C Lawrence Zitnick. 2015. Microsoft coco captions: Data collection and evaluation server. arXiv preprint arXiv:1504.00325.

Ioana Croitoru, Simion-Vlad Bogolin, Yang Liu, Samuel Albanie, Marius Leordeanu, Hailin Jin, and Andrew Zisserman. 2021. Teachtext: Cross-modal generalized distillation for text-video retrieval. arXiv preprint arXiv:2104.08271.

Jacob Devlin, Ming-Wei Chang, Kenton Lee, and Kristina Toutanova. 2019. Bert: Pre-training of deep bidirectional transformers for language understanding. In NAACL-HLT, pages 4171–4186.

Alexey Dosovitskiy, Lucas Beyer, Alexander Kolesnikov, Dirk Weissenborn, Xiaohua Zhai, Thomas Unterthiner, Mostafa Dehghani, Matthias Minderer, Georg Heigold, Sylvain Gelly, Jakob Uszkoreit, and Neil Houlsby. 2021. An image is worth 16x16 words: Transformers for image recognition at scale. In ICLR.

Maksim Dzabraev, Maksim Kalashnikov, Stepan Komkov, and Aleksandr Petiushko. 2021. Mdmmt: Multidomain multimodal transformer for video retrieval. arXiv preprint arXiv:2103.10099.

Christoph Feichtenhofer, Haoqi Fan, Jitendra Malik, and Kaiming He. 2019. Slowfast networks for video recognition. In ICCV, pages 6202–6211.

Valentin Gabeur, Chen Sun, Karteek Alahari, and Cordelia Schmid. 2020. Multi-modal transformer for video retrieval. In ECCV, volume 5.

Felix A Gers, Nicol N Schraudolph, and Jürgen Schmidhuber. 2002. Learning precise timing with lstm recurrent networks. Journal of machine learning research, 3(Aug):115–143.
Lisa Anne Hendricks, Oliver Wang, Eli Shechtman, Josef Sivic, Trevor Darrell, and Bryan Russell. 2017. Localizing moments in video with natural language. In ICCV.

Sepp Hochreiter and Jürgen Schmidhuber. 1997. Long short-term memory. Neural computation, 9(8):1735–1780.

Dotan Kaufman, Gil Levi, Tal Hassner, and Lior Wolf. 2017. Temporal tessellation: A unified approach for video analysis. In ICCV, pages 94–104.

Diederik P Kingma and Jimmy Ba. 2015. Adam: A method for stochastic optimization. ICLR.

Ryan Kiros, Ruslan Salakhutdinov, and Richard S Zemel. 2014. Unifying visual-semantic embeddings with multimodal neural language models. arXiv preprint arXiv:1411.2539.

Ranjay Krishna, Kenji Hata, Frederic Ren, Li Fei-Fei, and Juan Carlos Niebles. 2017a. Dense-captioning events in videos. In ICCV, pages 706–715.

Ranjay Krishna, Yuke Zhu, Oliver Groth, Justin Johnson, Kenji Hata, Joshua Kravitz, Stephanie Chen, Yannis Kalantidis, Li-Jia Li, David A. Shamma, Michael S. Bernstein, and Li Fei-Fei. 2017b. Visual genome: Connecting language and vision using crowdsourced dense image annotations. Int. J. Comput. Vis., 123(1):32–73.

Jie Lei, Linjie Li, Luowei Zhou, Zhe Gan, Tamara L. Berg, Mohit Bansal, and Jingjing Liu. 2021. Less is more: Clipbert for video-and-language learning via sparse sampling. In CVPR.

Linjie Li, Yen-Chun Chen, Yu Cheng, Zhe Gan, Licheng Yu, and Jingjing Liu. 2020. HERO: Hierarchical encoder for video+ language omnirepresentation pre-training. arXiv preprint arXiv:2005.00200.

Song Liu, Haoqi Fan, Shengsheng Qian, Yiru Chen, Wenkui Ding, and Zhongyuan Wang. 2021. Hit: Hierarchical transformer with momentum contrast for video-text retrieval. arXiv preprint arXiv:2103.15049.

Yang Liu, Samuel Albanie, Arsha Nagrani, and Andrew Zisserman. 2019. Use what you have: Video retrieval using representations from collaborative experts. arXiv preprint arXiv:1907.13487.

Ilya Loshchilov and Frank Hutter. 2017. SGDR: stochastic gradient descent with warm restarts. In ICLR.

Huaiashao Luo, Lei Ji, Botian Shi, Haoyang Huang, Nan Duan, Tianrui Li, Jason Li, Taroon Bharti, and Ming Zhou. 2020. UniVL: A unified video and language pre-training model for multimodal understanding and generation. arXiv preprint arXiv:2002.06353.

Antoine Miech, Jean-Baptiste Alayrac, Lucas Smaira, Ivan Laptev, Josef Sivic, and Andrew Zisserman. 2020. End-to-End Learning of Visual Representations from Uncurated Instructional Videos. In CVPR.

Antoine Miech, Dimitri Zhukov, Jean-Baptiste Alayrac, Makarand Tapaswi, Ivan Laptev, and Josef Sivic. 2019. Howto100m: Learning a text-video embedding by watching hundred million narrated video clips. ICCV.

Niluthpol Chowdhury Mithun, Juncheng Li, Florian Metze, and Amit K Roy-Chowdhury. 2018. Learning joint embedding with multimodal cues for cross-modal video-text retrieval. In Proceedings of the 2018 ACM on International Conference on Multimedia Retrieval, pages 19–27.

Mandela Patrick, Po-Yao Huang, Yuki Asano, Florian Metze, Alexander G Hauptmann, Joao F. Henriques, and Andrea Vedaldi. 2021. Support-set bottlenecks for video-text representation learning. In ICLR.

Jesús Andrés Portillo-Quintero, José Carlos Ortiz-Bayliss, and Hugo Terashima-Marin. 2021. A straightforward framework for video retrieval using clip. arXiv preprint arXiv:2102.12443.

Alec Radford, Jong Wook Kim, Chris Hallacy, Aditya Ramesh, Gabriel Goh, Sandhini Agarwal, Girish Sastry, Amanda Askell, Pamela Mishkin, Jack Clark, Gretchen Krueger, and Ilya Sutskever. 2021. Learning transferable visual models from natural language supervision. arXiv preprint arXiv:2103.00020.

Alec Radford, Jeff Wu, Rewon Child, David Luan, Dario Amodei, and Ilya Sutskever. 2019. Language models are unsupervised multitask learners.

Anna Rohrbach, Marcus Rohrbach, and Bernt Schiele. 2015. The long-short story of movie description. In GCPR, volume 9358, pages 209–221. Springer.

Andrew Rouditchenko, Angie Bogust, David Harwath, Dhiraj Joshi, Samuel Thomas, Kartik Audhkhasi, Rogerio Feris, Brian Kingsbury, Michael Picheny, Antonio Torralba, et al. 2020. Avl-net: Learning audio-visual language representations from instructional videos. arXiv preprint arXiv:2006.09199.

Piyush Sharma, Nan Ding, Sebastian Goodman, and Radu Soricut. 2018. Conceptual captions: A cleaned, hypernymed, image alt-text dataset for automatic image captioning. In ACL.

Atousa Torabi, Niket Tandon, and Leonid Sigal. 2016. Learning language-visual embedding for movie understanding with natural-language. arXiv preprint arXiv:1609.08124.

Du Tran, Lubomir Bourdev, Rob Fergus, Lorenzo Torresani, and Manohar Paluri. 2015. Learning spatiotemporal features with 3d convolutional networks. In ICCV, pages 4489–4497.
Ashish Vaswani, Noam Shazeer, Niki Parmar, Jakob Uszkoreit, Llion Jones, Aidan N Gomez, Łukasz Kaiser, and Illia Polosukhin. 2017. Attention is all you need. In NeurIPS, pages 5998–6008.

Subhashini Venugopalan, Huijuan Xu, Jeff Donahue, Marcus Rohrbach, Raymond J. Mooney, and Kate Saenko. 2015. Translating videos to natural language using deep recurrent neural networks. In NAACL-HLT, pages 1494–1504.

Saining Xie, Chen Sun, Jonathan Huang, Zhuowen Tu, and Kevin Murphy. 2018. Rethinking spatiotemporal feature learning: Speed-accuracy trade-offs in video classification. In ECCV, pages 318–335.

Jun Xu, Tao Mei, Ting Yao, and Yong Rui. 2016. Msr-vtt: A large video description dataset for bridging video and language. In CVPR, pages 5288–5296.

Haonan Yu, Jiang Wang, Zhiheng Huang, Yi Yang, and Wei Xu. 2016a. Video paragraph captioning using hierarchical recurrent neural networks. In CVPR, pages 4584–4593.

Youngjae Yu, Jongseok Kim, and Gunhee Kim. 2018. A joint sequence fusion model for video question answering and retrieval. In ECCV, pages 487–503.

Youngjae Yu, Hyungjin Ko, Jongwook Choi, and Gunhee Kim. 2016b. Video captioning and retrieval models with semantic attention. In ECCVLSMDC2016 Workshop.

Youngjae Yu, Hyungjin Ko, Jongwook Choi, and Gunhee Kim. 2017. End-to-end concept word detection for video captioning, retrieval, and question answering. In CVPR, pages 3261–3269.

Bowen Zhang, Hexiang Hu, and Fei Sha. 2018. Cross-modal and hierarchical modeling of video and text. In ECCV, pages 385–401.

Linchao Zhu and Yi Yang. 2020. Actbert: Learning global-local video-text representations. In CVPR.
A Video-to-Text Retrieval

Table A1-A3 present the video-to-text retrieval results of CLIP4Clip on MSR-VTT, LSMDC, MSVD, ActivityNet, and DiDeMo.

| Methods | TrainD | E2E | R@1 | R@5 | R@10 | MdR | MnR |
|---------|--------|-----|-----|-----|------|-----|-----|
| CLIP-straight | W | ✓ | 27.2 | 51.7 | 62.6 | 5 | - |
| HowTo100M | H+M | ✓ | 16.8 | 41.7 | 55.1 | 8 | - |

Table A1: Results of video-to-text retrieval on MSR-VTT dataset. ‘Training-7K’ follows the data splits from (Miech et al., 2019) and ‘Training-9K’ follows the data splits from (Gabeur et al., 2020). They have the same test set but different training set. The column ‘TrainD’ shows the datasets used for pre-training and training, where M, H, W denote MSR-VTT, HowTo100M (Miech et al., 2019) and WIT (Radford et al., 2021). The column ‘E2E’ with ✓ means training from raw video in an end-to-end manner. The baseline methods are 6CLIP-straight (Portillo-Quintero et al., 2021), 8HowTo100M (Miech et al., 2019), 6CE (Liu et al., 2019), 6MMT (Gabeur et al., 2020), 6AVLnet (Rouditchenko et al., 2020), 7SSB (Patrick et al., 2021), 7HiT (Liu et al., 2021), 8TT-CE+ (Croitoru et al., 2021).

| Methods | TrainD | E2E | R@1 | R@5 | R@10 | MdR | MnR |
|---------|--------|-----|-----|-----|------|-----|-----|
| CLIP-straight | W+L | ✓ | 20.6 | 39.4 | 47.5 | 13 | 56.7 |
| (Ours)-meanP | W+L | ✓ | 20.9 | 40.7 | 49.1 | 11 | 53.9 |
| (Ours)-seqLSTM | W+L | ✓ | 20.8 | 39.0 | 48.6 | 12 | 54.2 |
| (Ours)-seqTransf | W+L | ✓ | 17.4 | 36.7 | 45.0 | 15 | 65.3 |

Table A3: Results of video-to-text retrieval on LSMDC dataset. In the column ‘TrainD’, L and W denote training on LSMDC and WIT (Radford et al., 2021), MD used in (Dzabraev et al., 2021) denotes a combined multidomain dataset containing MSR-VTT, LSMDC, HowTo100M, etc., and CW means CC3M (Sharma et al., 2018) plus WebVid-2M (Bain et al., 2021). The column ‘E2E’ with ✓ means training from raw video in an end-to-end manner. The baseline methods are aJSFusion (Yu et al., 2018), bCLIP-straight (Portillo-Quintero et al., 2021), TT-CE+ (Croitoru et al., 2021).

| Methods | TrainD | E2E | R@1 | R@5 | R@10 | MdR | MnR |
|---------|--------|-----|-----|-----|------|-----|-----|
| FSEa | A | 16.7 | 43.1 | - | 7.0 | - |
| CEa | A+L | 17.7 | 46.6 | - | 6.0 | 24.4 |
| HSe | A+H | 18.7 | 48.1 | - | - | - |
| MMTa | H+M | 28.7 | 60.8 | - | 2.0 | - |
| SSBd | H+M | 28.7 | 60.8 | - | 2.0 | - |
| TT-CE+e | A | 23.0 | 56.1 | - | 4.0 | - |

Table A4: Results of video-to-text retrieval on ActivityNet dataset. In the column ‘TrainD’, A, H, and W denote training on ActivityNet, HowTo100M (Miech et al., 2019), and WIT (Radford et al., 2021). The column ‘E2E’ with ✓ means training from raw video in an end-to-end manner. The baseline methods are aFSE,HSE (Zhang et al., 2018), bCE (Liu et al., 2019), cMMT (Gabeur et al., 2020), dSSB (Patrick et al., 2021), eTT-CE+ (Croitoru et al., 2021).
| Methods | TrainD | E2E | R@1↑ | R@5↑ | R@10↑ | MdR↓ | MnR↓ |
|---------|--------|-----|------|------|-------|------|------|
| S2VTa   | D ✓    | 13.2 | 33.6 | -    | 15.0  | -    | -    |
| FSEb    | D      | 13.1 | 33.9 | -    | 12.0  | -    | -    |
| CEc     | D      | 15.6 | 40.9 | -    | 8.2   | 42.4 | -    |
| TT-CE+d | D      | 21.1 | 47.3 | 61.1 | 6.3   | -    | -    |
| (Ours)-meanP | W+D ✓ | 42.5 | 70.6 | 80.2 | 2.0   | 11.6 | -    |
| (Ours)-seqLSTM | W+D ✓ | 42.4 | 69.2 | 79.2 | 2.0   | 11.8 | -    |
| (Ours)-seqTransf | W+D ✓ | 41.4 | 68.2 | 79.1 | 2.0   | 12.4 | -    |
| (Ours)-tightTransf | W+D ✓ | 21.5 | 51.1 | 64.8 | 5.0   | 22.4 | -    |

Table A5: Results of video-to-text retrieval on DiDeMo dataset. In the column ‘TrainD’, D and W denote training on DiDeMo and WIT (Radford et al., 2021). The column ‘E2E’ with ✓ means training from raw video in an end-to-end manner. † means that the candidate video is concatenated using ground truth proposals. The baseline methods are a S2VT (Venugopalan et al., 2015), b FSE (Zhang et al., 2018), c CE (Liu et al., 2019), d ClipBERT (Lei et al., 2021), e Frozen (Bain et al., 2021), f TT-CE+ (Croitoru et al., 2021).