Na order and Co charge disproportionation in Na$_x$CoO$_2$

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Abstract

We have synthesized and characterized different stable phases of sodium cobaltates Na$_x$CoO$_2$ with sodium content $0.65 < x < 0.80$. We demonstrate that $^{23}$Na NMR allows to determine the difference in the susceptibility of the phases and reveals the presence of Na order in each phase. $^{59}$Co NMR experiments give clear evidence that Co charge disproportionation is a dominant feature of Na cobaltates. Only a small fraction ($\approx 25\%$) of cobalts are in a non-magnetic Co$^{3+}$ charge state whereas electrons delocalize on the other cobalts. The magnetic and charge properties of the different Co sites are highly correlated with each other as their magnetic shift $K_{zz}$ scales linearly with their quadrupolar frequency $n_{Q}$. This reflects the fact that the hole content on the Co orbitals varies from site to site. The unusual charge differentiation found in this system calls for better theoretical understanding of the incidence of the Na atomic order on the electronic structures of these compounds.

Keywords: layered oxides, magnetic resonance, charge order

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1. Introduction

The influence of the dopant atoms on the electronic properties of conducting layers has initiated large debates in High Temperature Superconducting (HTSC) cuprates as well as in other complex layered oxides of transition elements. Whereas in many systems this influence is masked by miscellaneous effects, there are many experimental evidences that in the sodium cobaltates Na$_x$CoO$_2$ a large interplay between the Na atomic ordering and the electronic density on the Co sites occurs.

As HTSC cuprates the sodium cobaltates Na$_x$CoO$_2$ are layered oxide materials and the charge doping of the CoO$_2$ layers is controlled on a large range by variation of the Na content. This can be put in parallel with the doping of the cuprates by chemical substitutions on the layers separating the CuO$_2$ planes. One significant difference with the cuprates is that the Co of the CoO$_2$ plane are ordered on a triangular lattice and not on a square lattice as for the CuO$_2$ plane of the cuprates. In this configuration the large crystal field on the Co site favors a low spin state in which orbital degeneracy influences significantly the electronic properties and may yield large thermoelectric effects.

A rich variety of other physical properties ranging from ordered magnetic states, high Curie-Weiss magnetism and metal insulator transition, superconductivity etc have then been observed on the cobaltates. These differences with the cuprates have apparently recently stimulated an increased interest of theorists as monitored by recent publications.

In sodium cobaltates the low spin configuration for the Co ions should corresponds to $3^+$ or $4^+$ charged states with spin $S = 0$ and $S = \frac{1}{2}$ respectively. Many experiments and theoretical calculations have considered that the Co magnetism either is uniform or that there is an Co$^{3+}$/Co$^{4+}$ charge segregation with localized magnetic moments. However it has been evidenced by NMR by many groups that for $x \geq 1/2$ the Na$^+$ displays an atomic ordering associated with Co charge disproportionation and itinerant magnetism in the planes. Na ordered atomic structures have been observed in sodium cobaltates by TEM, neutrons, and x-rays. The experimental situation that prevails so far is quite unusual in solid state physics, as most experiments do not permit altogether to establish reliably the relation between the local order proposed, the actual Na content and the local magnetic properties of the studied samples.

On the contrary $^{23}$Na and $^{59}$Co NMR experiments have proved to be excellent probes allowing to evidence not only the Na atomic order but also that Co charge disproportionation occurs. In this paper we summarize our NMR study of sodium cobaltates Na$_x$CoO$_2$ with sodium content $0.65 < x < 0.80$ which allowed us to establish this correlation for the specific $x = 2/3$ and $x = 0.77$ phases. We also compare the properties of the studied phases with properties of the $x = 1$ and $x = 1/2$ compounds.

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2. Samples

We have synthesized our powder samples by standard solid state reaction of Na$_2$CO$_3$ and CO$_3$O$_4$ powders in flowing oxygen, with nominal concentrations $x$ increasing by increments of 2 to 3/1000 in the range 0.65 < $x$ < 0.80. X-ray powder diffraction data always exhibited the Bragg peaks corresponding to the two layer Co structure $P2_1$ ($P6_3/mmc$, n°166) with a hexagonal unit cell. We found that c-axis parameter changes systematically with sodium content $x$, so the position of the (008) reflection for this structure allows to match them (Fig. 1).

Also we systematically detected weak additional reflections indicative of three dimensional Na long range ordering. It has been immediately clear that the corresponding Na order is complicated and highly dependent of Na content. The systematic study of the powder x-ray spectra for various nominal Na contents allowed us to separate narrow peaks like in Fig. 1(a) permits ensuring the absence of phase mixing. The concentrations for which single phase samples could be stabilized are sequenced in four distinct narrow $x$ non overlapping domains - see Fig. 1(b). For intermediate concentrations between these, we always find mixed-phase samples with the two end phases. The crystallographic parameters for these 4 phases were reported in Ref. 14.

We have found that keeping samples at room temperature in contact with humid air leads to destruction of the phase purity and loss of sodium content [26]. To perform detailed NMR study of the $x=0.77$ phase of sodium cobaltates we also synthesized single phase crystals with this specific sodium content [24].

3. Magnetic susceptibility and $^{23}$Na NMR shifts

SQUID measurements of the macroscopic susceptibility $\chi_m$ taken in 5 T field allow us to evidence that the different phases display different magnetic properties. For instance, as evidenced in Fig. 2(a), the low T magnitude of $\chi_m$ decreases progressively with increasing $x$. The $x=0.77$ phase is furthermore found to be the only phase in which an antiferromagnetic (AF) order is detected in low applied field. However, as minute amounts of impurities or slight admixture of phases could spoil the bulk measurements, spectroscopic measurements with local probes give better determination of the susceptibility of each phase.

The magnetic properties of the compounds are probed at the local scale through the magnetic shifts of the different Na sites resolved in the central line ($-\frac{1}{2} \leftrightarrow \frac{1}{2}$ transition) of the $^{23}$Na NMR spectra presented in Fig. 3. As we have shown in Ref. 30, shift values $K$ which corresponds to the first moment (center of gravity) of the central line allows us to follow spin susceptibility $\chi_s(T)$ of the system up to room $T$, even when the central lines of the different Na sites merge. The $T$ variations of $K$ are reported in Fig. 2(b), and are shown to be quite identical for $T > 100$ K with a unique Curie-Weiss $(T-\Theta)^{-1}$ variation (with $\Theta \approx 80$ K). They differ markedly below 100 K, as does the SQUID data for $\chi_m$, the low $T$ enhancement of $\chi_s(T)$ observed for $x = 2/3$ being progressively reduced for increasing $x$.

In the $x=0.77$ AF phase, the saturation of $K(T)$, that is $\chi_s(T)$, seen at low $T$ in Fig. 2(b), should be associated with the onset of AF correlations. In a uniform Heisenberg model, one would then assign the progressive increase of $\chi_s(T)$ at low $T$ with decreasing $x$ to a decrease of $T_N$ and of out of plane AF coupling strength. However this primary interpretation fails as NMR data taken down to 1.4 K (and $\mu$SR to 50 mK [31]), did not evidence any frozen magnetic state in the three other phases, which are then paramagnets in their ground state, most probably metallic, as no electronic magnetic transition is detected.

For comparison we also show in Fig. 2 the corresponding data for $x = 0.5$ and $x = 1$ phases taken from the Ref. 31 and Ref. 32 correspondingly. The bulk magnetic susceptibility of Na$_1$CoO$_2$ exhibits a small and flat susceptibility, with a significant increase only at low temperature which is attributed to spurious paramagnetic local moments - see Fig. 2(a). The magnetic shift $^{23}$K value in this phase is extremely low with no measurable temperature dependence (Fig. 2(b) and insert in it). Both these dependencies confirm that the Na$_1$CoO$_2$ composition corresponds to a band insulator made of filled shell nonmagnetic Co$^{3+}$.
In Fig. 2 the temperature dependencies of the bulk magnetic susceptibility and magnetic shift of Na$_{0.5}$CoO$_2$ compound are also displayed [31]. Both are very weakly temperature dependent as compared with phases with sodium content 0.65 < $x$ < 0.80. Two kinks at $T$=51 K and $T$=86 K (see insert in Fig. 2(b)) can be attributed to a metal-insulator transition (MIT) and long range antiferromagnetic order, correspondingly [4].

4. 23Na NMR and Na order

To perform the nuclear magnetic resonance (NMR) study of sodium cobaltates powders we prepared oriented samples. As the room $T$ susceptibility of sodium cobaltates is known to be anisotropic [33], we have used this anisotropy to align the single crystallites of powder samples in a 7 T field by mixing the samples with Stycast 1266 epoxy resin which cured in the field. Thus in our samples the $c$ axes of the crystallites were aligned in the same direction, but the $ab$ planes of different crystallites are randomly distributed. Such Stycast epoxy matrix also perfectly protects the powder from environment (water) influence [26]. Samples with $x$ = 2/3 prepared almost nine years ago and packed in Stycast did not show any changes in the NMR spectra.

In Fig. 3 the typical low $T$ 23Na NMR spectra for the pure phase oriented samples are shown. As 23Na has a spin $I$ = 3/2, the NMR spectrum for a single Na site displays a central transition and two satellites disposed symmetrically with respect to the central line. While the shift of the central line signal is governed by the magnetism of the near-neighbor Co sites, the distance $\Delta \nu$ between the satellites is linked to the quadrupole frequency $\nu_Q$ associated with the magnitude of the electric field gradient (EFG) at the Na site, which is governed by the distribution of ionic and electronic charges around the Na. For each Na site the largest splitting between satellites is observed when $H \parallel c$, which evidences that the principal axis $Z$ of the EFG tensor is close to the crystallographic $c$ axis for all sodiums. For $x$ = 2/3 phase in the spectra of Fig. 3 one can clearly distinguish three pairs of quadrupole transitions which correspond to three Na sites with distinct local environments [26]. Due to the more complex structures of the other phases there was no surprise in finding a larger number of resolved Na sites, although with similar magnitudes of their quadrupole splittings. For example detailed analysis done in the Ref. [26] for $x$ = 0.77 phase shows that there are 4 inequivalent Na position in this phase of sodium cobaltates. The fact that only limited number of sites are observed in each phase definitely proves that the neighboring charges of the Na sites are ordered.

5. 2D Na order

Na$_x$CoO$_2$ has been previously synthesized and its structure determined on both powder samples [35] and single crystals [36]. In this phase the single Na site is located on top of the center of a Co triangle, in a configuration usually called Na2 - see Fig. 4.

Numerical simulations [18] and electronic structure calculations [19] suggest that the Na2 vacancies formed for $x < 1$, are ordered in the Na plane. These vacancies have a tendency toward clustering and, depending on $x$, these clusters induce altogether the appearance of isolated Na1 sites (on top of a Co) in divacancy clusters or of trimers of
Co sites unit cell, that is with the Na order corresponds to 10 Na sites on top of a 13
permits us to reveal that the two-dimensional structure of NMR lines in the sodium cobalates phase with T
see Fig. 4. However contrary to the x
metry and the intensity ratio between the sets of 23
angular Co lattice on which the holes are delocalized [16].

magnetic sites constituting a kagomé sublattice of the tri-
[20]. It results in a differentiation of four cobalt sites in
This twelve Co unit cell and the stacking between planes
Na1 sites) and six on top of Co triangles (the Na2 sites).
(Fig. 4). This Na organization agrees with GGA calcula-
dered structure and the 3D stacking of Na/Co planes [22]
have allowed the determination of both the 2D Na or-
phases the 3D stacking of the Na planes is not perfect for
the presence of two Co sites. Both sites display a similar T
dependence of the spin contribution to the magnetic shift,
suggesting that there is no charge segregation into Co3+
and Co4+ sites. The electric field gradient at the Co site
does not change at the AF or MIT transitions, indicating
the absence of any modification of the charge ordering.
The analysis of hyperfine coupling constants for both Co
sites allowed the authors of [31] to conclude, that in this
x = 1/2 phase the charge disproportionation on the Co
sites is rather small: Co
x3+ sites is rather small: Co
x0
3+ sites is rather small: Co
x3+ sites is rather small: Co
x5+ sites, (with ε
5 the Na
77 [23] -

6. Co charge disproportionation

NMR/NQR is a powerful technique which allows to
establish the relation between the local Na order and the
local magnetic properties of the phases studied.

In the x = 1 phase the 59Co NMR signal shows a single
cobalt site with very slow nuclear relaxation [23, 39], as
expected for homogeneous planes of Co3+ ions with S = 0.
59Co NMR in the x = 1/2 phase gives clear evidence for
the presence of two Co sites. Both sites display a similar T

Figure 4: (Color online) 2D Na unit cell for specific phases of sodium cobalates Na_xCoO_2 (thick green lines). The Na1 sites (filled red dots) and Na2 sites (empty black circles) are differentiated, and represented above the triangular lattice of Co sites (not reported) which are located at all intersections of thin black lines.

Figure 5: (Color online) Spin-lattice relaxation curves measured on different cobalt sites in the x = 2/3 and x = 0.77 pure phase samples in the same experimental conditions. Whereas in the x = 2/3 there are two types of cobalts - slow (Co1a,b) and fast (Co2a,b) relaxing, in the x = 0.77 phase there are three types - slow (Co1), intermediate (Co2a,b,c) and fast (Co3a,b) relaxing. The fits of the curves with the magnetization relaxation functions from Ref. [16] with T1 parameters from the Table 1 are also shown.
Co2 kagomé sublattice of the triangular lattice of Co sites. These sites display a strong in plane electronic anisotropy initially unexpected but which accords perfectly with an orbital ordering along the kagomé sublattice organization and could result from the distribution of holes between the axial $e_g$ orbitals and the in plane $t_{2g}$ orbitals on the Co2 sites [16]. The observation of a single temperature dependence of the spin susceptibilities indicates that a single band picture applies, and that the magnetic properties are dominated by the static and dynamic electronic properties at the Co2 sites.

The $^{59}$Co NMR study in the paramagnetic state of the $x = 0.77$ phase with $T_N = 22$ K permitted us to evidence that at least 6 Co sites could be differentiated [24]. They could be separated by their magnetic and relaxation behavior into three types: a single site (Co1) with cobalt close to non-magnetic Co$^{3+}$, two sites (Co3) with the most magnetic cobalts in the system, and the remaining three sites (Co2) displaying an intermediate behavior - see Fig. 5(b).

It is important to highlight that the non-magnetic Co1 sites correspond only to 25% of total cobalts in the system for $x = 2/3$ and 23% for $x = 0.77$. These facts are in complete contradiction with Co$^{3+}$/Co$^{4+}$ charge segregation model, for which the Co$^{3+}$ content should be proportional to the Na content.

7. Estimation of the charge disproportionation for the different Co sites

Our $^{59}$Co NMR experiments in the $x = 2/3$ and $x = 0.77$ phases allowed us to determine the quadrupole frequencies $\nu_Q$, magnetic shifts $K_{ZZ}$ and nuclear spin lattice relaxation rates $1/T_1$ for distinct cobalt sites in each phase [16, 22]. They are summarized in Table 1. Unexpectedly we found that the magnetic and charge properties of the Co sites are highly correlated with each other as $K_{ZZ}$ and $(1/T_1)^{1/2}$ scale linearly with $\nu_Q$ - see Fig. 6.

Such correlations can be understood if one takes into account that both measured values $\nu_Q$ and $K_{ZZ}$ contain terms proportional to the quadrupole moment of the $t_{2g}$ hole density distribution $\delta$ which involves as a coefficient the hole concentration $\delta$ on the Co site [22]. Therefore the linear variation with $\nu_Q$ found for the Knight shift $K_{ZZ}$ and $(1/T_1)^{1/2}$ just reflects the fact that the hole content on the Co orbitals varies from site to site in both phases. Also this observation means that the hyperfine coupling, or the local magnetic $\chi$ scales with the on site delocalized charge.

As observed experimentally for non-magnetic Co$^{3+}$ the spin lattice relaxation is extremely long [32, 35] as the spin contribution $(1/T_{1\text{spin}})$ becomes negligibly small. Therefore in the plot of Fig. 7(b) the pure Co$^{3+}$ state should correspond to the limit $(1/T_{1\text{spin}})^{1/2} \rightarrow 0$. In that limit the corresponding $\nu_Q = \nu_0$ should be solely due to the lattice contribution $\nu_Q^{\text{lat}}$ to the EFG. We can assume that on each Co site the quantity $\nu_Q - \nu_0$ is directly related with the local charge contribution to the EFG, which is proportional to the hole concentration. Using the charge neutrality equation and knowing the number of cobalts corresponding to the given Co site we could estimate the hole concentration on each Co site in both phases - see last column in the Table 1 and Ref. [23] for details.

This analysis permitted us to deduce a variation of $\nu_Q$ with local charge $d\nu_Q/d\delta \approx 3.3$ MHz per hole on the Co site for both phases.

We can apply our model to the in $x = 1/2$ phase, in which a small charge disproportionation into Co$^{3+}$ is observed [31]. Using the measured $\nu_Q$ values 2.8 MHz and 4 MHz for the two Co sites in the $x = 1/2$ phase we can estimate in our model that $\epsilon = 0.18$ which is in good agreement with the conclusion of Ref. [31].

The occurrence of a local charge contribution to the EFG of $d\nu_Q/d\delta = 3.3$ MHz per hole on Co site implies that the local charge is not evenly distributed on the Co $t_{2g}$ orbitals. A theoretical estimate gives that single hole (or electron) on a given $t_{2g}$ orbital should correspond for $^{59}$Co to $d\nu_Q/d\delta \approx 20$ MHz per hole which is about six times larger than our experimental value [23]. This allows us to suggest that in the cobaltates the holes are distributed over the three orbital states within the $t_{2g}$-shells due to the thermal/quantum fluctuations [40].

8. Conclusion

All NMR studies done so far have demonstrated that the layered Na cobaltates undergo a charge disproportionation of the Co sites for large Na content. This has been ascertained by the observation of a slow relaxing $^{59}$Co NMR signal, that we call Co1, with an intensity which increased with hole content from $x = 0.5$ to 0.8. All experimental parameters, e.g. magnetic shift or EFG allowed us to establish that those Co sites have nearly filled $t_{2g}$ orbitals.

### Table 1: Some NMR parameters of the different Co sites in the $x = 2/3$ and $x = 0.77$ phases measured at $T=80$ K.

| Co1a | 2.30(1) | 1.165(5) | 2 | 4.59(2) | 0.05 |
| Co1b | 2.23(1) | 1.34(1) | 1 | 0.10 |
| Co2a | 2.40(1) | 2.19(1) | 3 | 0.35 |
| Co2b | 2.61(1) | 2.55(1) | 6 | 0.25(1) | 0.46 |

Table entries for $x = 0.77$.

| Co1 | 2.38(1) | 0.508(5) | 3 | 2.98(3) | 0.02 |
| Co2a | 2.45(1) | 1.14(2) | 4 | 0.91(5) | 0.20 |
| Co2b | 2.48(1) | 1.22(2) | 1 | 0.75(4) | 0.23 |
| Co2c | 2.50(1) | 1.42(2) | 2 | 0.71(4) | 0.29 |
| Co3a | 2.56(1) | 1.75(2) | 2 | 0.30(1) | 0.39 |
| Co3b | 2.62(1) | 2.19(2) | 1 | 0.17(1) | 0.55 |
that correspond to a Co$^{3+}$ with low spin (S=0) configuration. The NMR signals of the other Co sites have been much harder to study in detail as they usually display the metallicity with larger magnetic behavior which is detected in the macroscopic properties.

In our recent studies we have highlighted two specific cases in which the $^{59}$Co NMR spectra could be resolved completely, which permitted us to achieve a complete study of the charge disproportionation. For $x = 2/3$ which is a paramagnet with a Curie Weiss like susceptibility increasing down to $T = 0$, only a second Co site (Co2) with a different magnetic behaviour is detected, while for $x = 0.77$, which is AF below $T_N = 22$ K, at least two other types of disproportionated Co sites are distinguished. In either case the observed charge disproportionation does not correspond to the segregation into Co$^{3+}$ and Co$^{4+}$ often proposed by theoreticians. The physical properties do not correspond either to a situation where a bath of conduction electrons would be diffused by local moments responsible for the magnetic properties. We rather showed that the Co$^{3+}$ sites are inert magnetically, while the bands associated with the other sites correspond roughly to Co$^{3.5+}$ and are involved in both metallic and magnetic properties.

Let us point out altogether that these compounds display an ordered Na atomic structure for a limited number of $x$ values, and that phase mixing occurs for $x$ values in between two pure phases. In most of the pure phases the Na atomic order seems to be locked on that of the charge disproportionation of Co sites. However we cannot decide so far from the experimental side whether the Na order drives the charge disproportionation. The latter could as well be intrinsic to the electronic properties of the Co plane for a given charge filling and could conversely drive the pinning of the Na in an ordered structure.

Some theoretical studies favor the latter approach and indicated for instance that the kagomé structure of the Co2 sites found for $x = 2/3$ could be intrinsic to the CoO$_2$ planes [11]. The fact that we could not detect a 3D ordering of the Na in the $x = 0.77$ phase would possibly support such a picture in which the disproportionation is specific to the Co layers. We certainly expect theoretical calculations involving electronic correlations to establish whether the charge disproportionated state could occur in the absence of the Na potential.

Such a study on cobaltates has some analogy as well with those performed on the cuprates, where charge density wave order has been detected and heavily studied recently in YBCO$_{6+x}$. The most prominent static CDW have been detected there for O contents $x$ for which the dopants are ordered on the CuO chains in between the CuO$_2$ bilayers. This suggests that in layered oxides the ordering of the dopants has indeed an incidence on the Fermi surface of the layers and therefore on their electronic properties.

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