Increased effective mass and carrier concentration responsible for the improved thermoelectric performance of the nominal compound Cu$_2$Ga$_4$Te$_7$ with Sb substitution for Cu$^+$

Jiaolin Cui, *a* Gemei Cai *a,b* and Wei Ren*a*

Although the ternary chalcopyrite compound Cu$_2$Ga$_4$Te$_7$ has relatively high thermal conductivity and electrical resistivity, it has a high carrier concentration, thus making it a good thermoelectric candidate. In this work we substitute Sb for Cu in this compound, aiming at engineering both the electrical and thermal properties. Rietveld refinement revealed that the nominal compounds Cu$_{2-x}$Sb$_x$Ga$_4$Te$_7$ ($x = 0$–0.1) crystallize with the crystal structure of CuGaTe$_2$ with the real compositions deviating from those of their nominal ones. Besides, Sb resides in Cu sites, which increases both the effective mass and the Hall carrier concentration. Therefore, the Seebeck coefficient increases at high temperatures, and the lattice thermal conductivity reduces due to increased phonon scattering from point defects and electron–phonon interactions. As a consequence, the thermoelectric (TE) performance improves with the highest TE figure of merit (ZT) of 0.58 at 803 K. This value is about 0.21 higher than that of the pristine Cu$_2$Ga$_4$Te$_7$.

**1. Introduction**

Thermoelectric (TE) materials can directly convert heat into electricity and vice versa. The efficiency of TE devices is strongly dependent on the performance of materials, *i.e.* the dimensionless figure of merit (ZT), which is defined by the relation, $ZT = T\alpha^2/\kappa$. Here the parameters $T$, $\alpha$, $\kappa$, and $x$ are the absolute temperature, the Seebeck coefficient, and the electrical and total thermal conductivity respectively. In order to enhance the ZT value, one should increase the power factor PF, $PF = \sigma^2/\kappa$, and reduce the $x$ value that is mainly contributed by the lattice ($k_L$) and electronic ($k_e$) components. Since the three physical parameters $\sigma$, $\alpha$, and $x$ are closely related to the carrier concentration, it is not easy to control them separately. The strategies to enhance the ZT value proposed in recent years are those like nanostructure$^{3-4}$ and band structure engineering, $^{4-6}$ liquid-like thermoelectric explorations, $^{7-9}$ as well as the study of magnetoelectric interactions, $^{9,10}$ etc. These approaches either improve the power factor ($\sigma^2/\kappa$) or reduce the lattice component ($k_L$), provided that the carrier concentration is optimized. $^{11}$ In addition to the above approaches, there is a strong need to develop new TE materials.

Ternary I–III–VI compounds have been paid much attention in recent years for thermoelectric applications.$^{12-14}$ Owing to their inherent crystal or band structures,$^{15-17}$ one often employs approaches, such as doping or solid solution formation, to improve their TE performances.$^{18-20}$ The typical doping elements are those such as Ag,$^{14,20}$ Sb,$^{21,22}$ Mn$^{19}$ etc., since impurity doping effectively engineers the band structures and introduces lattice disorder, thus increasing the carrier concentration and phonon scattering.

Cu$_2$Ga$_4$Te$_7$ is one of the I–III–VI ternary compounds with two crystal structures. One is cubic (zinc blende) and the other is a tetragonal (chalcopyrite) structure.$^{23}$ Because of the one-seventh cation vacancies in its unit cell, this compound is usually a p-type semiconductor with a Hall carrier concentration ($n_H$) of $1.0 \times 10^{18}$ to $8.3 \times 10^{19}$ cm$^{-3}$. $^{24,25}$ Although the $n_H$ value is close to the optimal one with respect to the TE performance, $^{26}$ the compound Cu$_2$Ga$_4$Te$_7$ has a relatively high electrical resistivity and thermal conductivity.$^{24,25}$ It was reported that the highest ZT value of Cu$_2$Ga$_4$Te$_7$ is less than 0.47 at $\approx$770 K$^{25,27}$ and 0.64 at 940 K.$^{28}$ Therefore, there is a requirement to further improve its TE performance.

Inspired by an effective hybridization of active Sb-5p orbital with those of Cu-4s and Te-5p in the valence band in the newly developed Cu-deficient Cu$_{10}$Ga$_{25}$Te$_{50}$ (cation/anion = 0.86), $^{21}$ which unpins the Fermi level and enhances the carrier concentration,$^{21}$ we postulate that an incorporation of Sb in Cu$_2$Ga$_4$Te$_7$ with an almost identical cation/anion ratio (0.857) might also have a profound impact on the structure and transport properties. However, unlike a proper replacement of
Sb for Te in Cu$_{13}$Ga$_2$Sb$_5$. in this work we design the chemical compositions with a replacement of Sb for Cu in Cu$_{24}$Ga$_7$Te$_7$, to gain further insight into the potential effect on physical properties. Through Sb replacement, the nominal compounds Cu$_{25}$Sb$_2$Ga$_4$Te$_7$ crystallize with the crystal structure of CuGaTe$_2$ with the real compositions deviating from those of their nominal ones. Besides, an addition of Sb increases the effective mass ($m^*$) of the carrier. Coupled with the enhancement in carrier concentration and phonon–electron interactions, the TE performance was improved.

2. Experimental

2.1 Sample preparation

Four elements (Cu, Ga, Te, and Sb) (Emei Semicon. Mater. Co., Ltd. Sichuan, CN), with purities of more than 99.999%, were loaded into different vacuum silicon tubes according to the formula Cu$_{13}$Sb$_2$Ga$_4$Te$_7$ ($x = 0.05, 0.1, 0.2$) and then melted at 1373 K. When they were melted, the samples were rocked for 30 s every 1 h to ensure a homogeneous composition without segregation. After cooling down from 1373 K to room temperature (RT), the solidified ingots were pulverized and then ballmilled at a rotation rate of 350 rpm for 5 h in stainless steel bowls that contained benzinum. Subsequently, the dried powders were quickly sintered by using spark plasma sintering apparatus (SPS-1030) at a peak temperature of 823 K and a pressure of 55 MPa. The holding time at 823 K was controlled to be ~2 min. The densities ($d$) of the polished bulks, which were more than 95% of the theoretical density (5.84 g cm$^{-3}$), were measured using Archimedes' method. Pristine Cu$_2$Ga$_4$Te$_7$ ($x = 0$) was also prepared for comparison. Bulk samples with sizes of 2.5 $\times$ 3 $\times$ 12 mm$^3$ and 2 $\times$ 2 $\times$ 7 mm$^3$ were prepared for the measurement of electrical properties and Hall coefficients respectively, and those of $\phi$ $10 \times 1.5$ mm$^2$ for thermal diffusivity measurements.

2.2 Physical property measurements

The physical parameters, which involve Seebeck coefficients ($\alpha$) and electrical conductivities ($\sigma$) as a function of temperature, were measured under a helium atmosphere from RT to $\sim$805 K in a ULVAC ZEM-3 instrument system with an uncertainty of 6.0% for each. The thermal diffusivities were measured by using TC-1200RH apparatus from RT to $\sim$ 805 K. Owing to the lower than RT Debye temperature of Cu$_2$Ga$_4$Te$_7$ (222 K, ref. 28), the Dulong–Petit rule is valid to estimate the heat capacities ($C_p$) above RT. The thermal conductivities ($\kappa$) were then directly calculated as the products of material densities ($d$), specific heats ($C_p$) and thermal diffusivities ($\kappa$). The lattice contributions ($\kappa_l$) were obtained by subtracting the electronic part ($\kappa_e$) from the total $\kappa$, i.e., $\kappa_l = \kappa - \kappa_e$, here $\kappa_e$ is expressed by the Wiedemann–Franz law, $\kappa_e = L_0\sigma T$, where $L_0$ is the Lorenz number, estimated at 2.45 $\times$ 10$^4$ W K$^{-2}$ for degenerate environments of semiconductors. The three parameters ($\alpha$, $\sigma$, and $\kappa$) were finally calculated by taking the average values of several samples tested by the same method.

Hall coefficients ($R_H$) were measured by using a four-probe configuration in a system (PPMS, Model-9) with a magnetic field up to $\pm$2 T. The Hall mobility ($\mu$) and carrier concentration ($n_H$) were calculated according to the relations $\mu = |R_H|\sigma$ and $n_H = 1/(e|R_H|)$ respectively, where $e$ is the electron charge.

2.3 Chemical compositions and structural analyses

Structural analysis of the powders was made by using a powder X-ray diffractometer (D8 Advance) operating at 50 kV and 40 mA with Cu Kz radiation ($\lambda = 0.15406$ nm) in the range from 10$^\circ$ to 110$^\circ$ with a step size of 0.02$^\circ$, and an XPert Pro, PANalytical code was used to do the Rietveld refinement of the XRD patterns of the titled compounds. The lattice constants $a$ and $c$ were directly obtained from the refinement of the XRD patterns using Jade software.

The chemical compositions of the samples Cu$_{13-x}$Sb$_2$Ga$_4$Te$_7$ ($x = 0, 0.2$) were checked using an electron probe micro-analyzer (EPMA) (S-4800, Hitachi, Japan) with an accuracy of >97%.

3. Results and discussions

3.1 Composition analyses and XRD

Fig. S1$^\dagger$ shows the EMPA mappings of four elements, Cu, Sb, Te and Ga, for the sample at $x = 0.2$. The average chemical compositions of stoichiometric Cu$_2$Ga$_4$Te$_7$ and Cu$_{1.8}$Ga$_4$Sb$_{0.2}$Te$_7$ are shown in Table S1$^\dagger$ where the number of moles of Te was normalized to 7.0. Generally, the relative molar fractions shown in Table S1$^\dagger$ are close to those of the nominal ones, and the four elements are distributed relatively uniformly in the matrix without much segregation, indicating that the titled materials were well prepared.

The Rietveld refinement using the XRD data of three compounds Cu$_{13-x}$Sb$_2$Ga$_4$Te$_7$ ($x = 0, 0.05$, and 0.1) was conducted, and the results are shown in Fig. 1. Here we did not present the refined XRD data of the compound at $x = 0.2$ due to abnormal SOFs (site of occupation factors) and big $S$ (goodness of fit indicator) values, likely caused by the precipitation of the visible impurity, Sb (see the XRD patterns of the powders in Fig. 2(a)). Although the precipitation of impurities does not affect the overall compositions, it is noted that the nominal compositions Cu$_{13-x}$Sb$_2$Ga$_4$Te$_7$ ($x = 0, 0.05$ and 0.1) actually crystallize in a crystal structure of CuGaTe$_2$ (PDF, 79-2331(122), s.g.: $I4\bar{2}d$), and the real compositions from refinement are Cu$_{0.714}$Ga$_{0.257}$Te$_{2}$, Cu$_{0.595}$Sb$_{0.018}$Ga$_{0.2}$Te$_{2}$, and Cu$_{0.65}$Sb$_{0.034}$Ga$_{0.3}$Te$_{2}$ respectively. The deviation of the refined compositions from the nominal ones was highly likely, and can be assumed to be caused by the precipitation of impurity phases when some element contents exceeded their solubilities at certain temperatures. Because of the low analyzing accuracy of XRD analysis, some tiny secondary phases are hard to identify.

Shown in Fig. 2(b) is a close-up view of the XRD patterns between 40$^\circ$ and 55$^\circ$, where the peak positions tend to shift toward large angles, indicating the shrinkage of the crystal lattice. The lattice constants $a$ (5.9662–5.9724) and $c$ (11.8570–11.8587) against the Sb content ($x$ value), taken from the refined results shown in Table 1, are presented in Fig. 2(c). The
Fig. 1 Rietveld refinements using X-ray diffraction data of the three compounds Cu$_{2-x}$Sb$_x$Ga$_4$Te$_7$ (x = 0, 0.05, and 0.1).

Fig. 2 (a) XRD patterns of the powders Cu$_{2-x}$Sb$_x$Ga$_4$Te$_7$ (x = 0–0.1); (b) close-up view of XRD patterns between 40$^\circ$ and 55$^\circ$; (c) refined lattice constants a and c as a function of the x value, with an analysis error of <0.4%.

3.2 Transport properties

The measured Hall coefficients ($R_H$) are positive, indicating that the materials exhibit p-type semiconducting behavior. The calculated Hall carrier concentration ($n_H$) and mobility ($\mu$) at RT are shown in Fig. 4. Upon Sb incorporation, the $n_H$ value grows from 1.02 × 10$^{18}$ cm$^{-3}$ (x = 0) to 3.89 × 10$^{19}$ cm$^{-3}$ (x = 0.05) as Sb content increases, and then it reduces to 3.32 × 10$^{19}$ cm$^{-3}$ (x = 0.2). The $\mu$ value reduces drastically from 20.3 cm$^2$ V$^{-1}$ s$^{-1}$ (x = 0) to 3.9 cm$^2$ V$^{-1}$ s$^{-1}$ (x = 0.05) followed by an increasing tendency. At x = 0.2, the $\mu$ value is 9.9 cm$^2$ V$^{-1}$ s$^{-1}$.

These results imply that the transport properties ($n_H$ and $\mu$) of carrier are very sensitive to Sb incorporation in Cu$_4$Ga$_4$Te$_7$. However, after incorporation of a small amount of Sb (x = 0.05) in the Cu site, the carrier transport becomes relatively inactive, and only small changes in the $n_H$ ($\mu$) value were observed as the Sb content increases. The reason for this might be that the Sb$_{Cu}$ defect provides two extra electrons which neutralize the p-type holes. On the other hand, the slight changes in $n_H$ and $\mu$ at x $\geq$ 0.05 imply that alteration of the chemical environment plays a minor role, based on the estimation made using the valence count rule.$^{31,32}$ (the results are not shown here). In this regard, the origin of the enhancement in $n_H$ might be due to the unpinning of the Fermi level followed by its movement into the inner side of the valence band as Sb occupies the Cu site, as is observed in Sb-substituted Cu$_{18}$Ga$_{25}$Te$_{50}$.21

3.3 TE performance

The Seebeck coefficients (\$\alpha$) of the Cu$_{2-x}$Sb$_x$Ga$_4$Te$_7$ (x = 0–0.2) compounds as a function of temperature are presented in Fig. 5(a). The \$\alpha$ values, which are positive, increase as the
measured temperature increases, until the peak temperature ($\sim$600 K) is reached. After that, they start to decrease with increasing temperature. Above $\sim$700 K, the $\alpha$ values at $x \geq 0.05$ are much higher than those of the Sb-free sample ($x = 0$). This might be the result of the dominant increase of effective mass. In order to substantiate this assumption, the dependence of the Seebeck coefficients on the Hall carrier concentration is depicted in Fig. 5(b), assuming that the Pisarenko relation \cite{26,33} with the SPB model is valid in the Cu–Ga–Te systems.\cite{34,36} This dependence indicates that the $\alpha$ values of the Sb-incorporated samples (circled by dotted line) are much higher than those predicted by the Pisarenko relation at the corresponding carrier concentrations. The solid line depicted in Fig. 5(b) corresponds to the relationship between $\alpha$ and $n_H$ for the Sb-free Cu$_2$Ga$_4$Te$_7$ at RT with an effective mass of $m^* = 0.04m_e$. It is therefore determined that the effective carrier mass increases upon Sb incorporation (see the further discussion below). Besides, as Sb content ($x$ value) increases, the electrical conductivity ($\sigma$) has a slight decrease over the whole temperature range (see Fig. 5(c)), and at $\sim$800 K the $\sigma$ value decreases from $1.73 \times 10^4$ $\Omega^{-1} \text{m}^{-1}$ ($x = 0$) to $1.68 \Omega^{-1} \text{m}^{-1}$ ($x = 0.05$) and $1.34 \times 10^4 \Omega^{-1} \text{m}^{-1}$ ($x = 0.2$). The power factors (PF), PF = $\alpha^2\sigma$, are presented in Fig. 5(d). It was observed that the highest PF value for the Sb-free sample is 4.67 $\mu$W cm$^{-1}$ K$^{-2}$ at $\sim$675 K, while that at $x = 0.05$ is 5.39 $\mu$W cm$^{-1}$ K$^{-2}$ at $\sim$800 K, increasing by 16%. Owing to the degradation in electrical conductivity at high temperatures as Sb content increases, it is believable that the enhancement in power factor above $\sim$700 K is mainly attributed to the increased $\alpha$ values.

Shown in Fig. 6(a) are the lattice thermal conductivities ($\kappa_L$) against temperature for Cu$_{2-x}$Sb$_x$Ga$_4$Te$_7$ ($x = 0-0.2$). The $\kappa_L$ values reduce with temperature increasing, roughly obeying the $T^{-1}$ relation. The $\kappa_L$ value at $x = 0$ is higher than those at $x = 0.05$ and 0.1 over the whole temperature range, as shown in Fig. 6(a) as an inset. The total thermal conductivities ($\kappa$) at $x = 0$ remain high compared with those of the Sb-incorporated samples (Fig. 6(b)), partly due to high electronic contributions ($\kappa_e$). In addition, the $m^*/m_e$ value increases with increasing $x$ value until $x = 0.05$, and then it starts to decrease, as shown in Fig. 6(c). However, the quality factor $B = (B = \mu_H(m^*/m_e)^{3/2}/\kappa_L)$ exhibits an opposite trend to the effective mass. The $B$ value decreases with increasing Sb content until $x = \sim 0.07$, and then increases rapidly. Combined with the three physical parameters ($\alpha$, $\sigma$, and $\kappa$) measured, we attained the TE figure of merit ($Z_T$), as shown in Fig. 6(d). At $x = 0.05$ the highest $Z_T$ value is 0.58 at $\sim$803 K, which is about 0.21 higher than that of the pristine Cu$_2$Ga$_4$Te$_7$ ($Z_T = 0.37$).

In brief, the improvement in TE performance is attributed to two main aspects: increased Seebeck coefficient, and reduced lattice thermal conductivity.

In general, at a temperature far above the Debye temperature, all phonon modes are activated. In the present nominal compounds Cu$_{2-x}$Sb$_x$Ga$_4$Te$_7$ with chalcopyrite structure, the lattice thermal conductivity is governed by complex scattering mechanisms, such as, the lattice disorder scattering, Umklapp
scattering, phonon–electron scattering, and the extra scattering caused by the crystal structure distortion. However, the scattering caused by the crystal structure distortion should be decreased, because the distortion parameter $\eta$ has an increasing tendency (approaching 1.0) (see Fig. 3), based on the previous investigations.37–39 While the extra scattering resulted from the created SbCu defect should be larger, since the regular arrangement of the one-seventh cation vacancies in the Cu$_2$Ga$_4$Te$_7$ system suffers disturbance when Sb resides in the Cu site (Table 2), attributed to the differences in atomic size and electronegativity between Sb (1.53 Å, 2.05) and Cu (1.57 Å, 1.9).40 That is why we have observed a general reduction in $\kappa_L$ as the Sb content increases. The high $\kappa_L$ values at $x = 0.2$ at high temperatures might be due to the donor SbCu defect along with the visible Sb impurity neutralizing the inherent p-type cation vacancy, thus reducing the vacancy scattering centers of phonons.27

Fig. 5  (a) Seebeck coefficients ($\alpha$) of the compounds Cu$_{2-x}$Sb$_x$Ga$_4$Te$_7$ ($x = 0.05, 0.1$ and $0.2$) as a function of temperature, and that for $x = 0$ is presented for comparison; (b) the experimentally determined Seebeck coefficients ($\alpha$) at the corresponding Hall carrier concentrations, labeled by □, △, ▽. The solid line represents the Pisarenko relation at RT; (c) electrical conductivities ($\sigma$) as a function of temperature for different materials ($x$ values); (d) power factor $PF$, $PF = \alpha^2\sigma$, for different materials ($x$ values).

Fig. 6  (a) Lattice thermal conductivities ($\kappa_L$) as a function of temperature for different materials ($x$ values). The solid red line represents the fitted results for the sample at $x = 0.05$ using the Callaway and Klemens model. The inset is a close-up view of the $\kappa_L$ values at high temperatures; (b) total thermal conductivities ($\kappa$) as a function of temperature for different materials ($x$ values); (c) the $m^*/m_e$ and quality factor $B$ values as a function of Sb content ($x$ value); (d) TE figure of merit (ZT) as a function of temperature for different materials ($x$ values).
In order to substantiate the general reduction in $\kappa_L$ as Sb content increases, we estimated the $\kappa_L$ values by means of the Callaway and Klemens model\textsuperscript{44-45} to outline the contributions from the Umklapp and point defect scatterings.\textsuperscript{34,44} When estimating $\kappa_L$ using this model, the ratio of the modeled lattice thermal conductivity of the crystal with Sb substitution for Cu, $\kappa_L^{\text{mod}}$, to the lattice thermal conductivity of the pure crystal, $\kappa_L^P$, is given below,

$$\frac{K_m}{K_L} = \tan^{-1}(u) + \frac{\pi \Theta_s \Omega}{h m^*} K_L^Q \Gamma\quad(1)$$

where $u$ and $\Gamma$ are the disorder scaling parameter and the disorder scattering parameter respectively. Here we use the factor $\Gamma$ below to predict the $\kappa_L$ values for the Cu–Ga–Te based chalcogenides,\textsuperscript{44}

$$\Gamma = \chi_i(1 - \chi_i) \left[ \left( \frac{\Delta M_i}{M} \right)^2 + \epsilon \left( \frac{\Delta \rho}{\rho} \right)^2 \right]\quad(2)$$

where $\chi_i$, $\Delta M_i/M$ and $\Delta \rho/\rho$ are the molar fraction of Sb, relative change of atomic mass due to the replacement of Cu by Sb, and the local change in lattice parameter. $\epsilon = 2(W + 6.4\gamma)^2$ is determined by using the Grüneisen parameter $\gamma = 1.46$ and $W = 3$.\textsuperscript{34} The other related parameters are presented in Table 3.

The fitting results for the sample at $x = 0.05$ using the above model are shown in Fig. 6(a) as a red solid curve. Roughly, the estimated $\kappa_L$ values follow the same decreasing trend as the experimental data over the whole temperature range, which confirms the importance of the Umklapp and point defect scattering mechanisms. However, it is worth noting that the estimated $\kappa_L$ values are a little higher than the measured ones, suggesting that the Umklapp and point defect scattering are not enough to account for the reduction in $\kappa_L$, although the fitting may introduce some error using 222 K as the Debye temperature.\textsuperscript{37} It is therefore believed that there exists another phonon scattering mechanism, that is, the phonon–electron scattering, due to an enhanced carrier concentration upon Sb incorporation. This scattering plays a major role in further reducing $\kappa_L$. Here it should be pointed out that we did not estimate the $\kappa_L$ values of the samples at $x = 0.1$ and 0.2, because the Debye temperature (222 K) of these materials might change significantly. Therefore, it is not suitable for further estimations to be made.

## 4. Conclusions

The Cu$_{1-x}$Ga$_x$Sb$_{0.05}$Te$_2$ ternary compounds with Sb substituted for Cu were prepared and their TE properties examined. Rietveld refinement reveals that these compounds ($x = 0, 0.05$ and 0.1) actually crystallize in a crystal structure of CuGaTe$_2$, and the real compositions are Cu$_{0.714}$Ga$_{0.286}$Te$_2$, Cu$_{0.696}$Sb$_{0.014}$Ga$_{0.30}$Te$_2$ and Cu$_{0.68}$Sb$_{0.034}$Ga$_{0.30}$Te$_2$ respectively. Besides, Sb is incorporated into the Cu site, which is responsible for the enhancement in Hall carrier concentration ($n_H$) and the decrease in mobility ($\mu$). In addition, the Seebeck coefficient increases above ~700 K, due to an increase of the effective carrier mass. The reduction in lattice thermal conductivity ($\kappa_L$) is closely related to the increase in point defect and phonon–electron scattering as the Sb content increases. As a consequence, the highest $ZT$ value of 0.58 is reached at ~803 K for the Cu$_{1.95}$Ga$_{0.05}$Te$_7$ sample, which is about 0.21 higher than that of the pristine Cu$_{2}$Ga$_4$Te$_7$ ($ZT = 0.37$).

## Conflicts of interest

There are no conflicts to declare.

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