Intrinsic-Extrinsic Preserved GANs for Unsupervised 3D Pose Transfer

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Abstract

With the strength of deep generative models, 3D pose transfer regains intensive research interests in recent years. Existing methods mainly rely on a variety of constraints to achieve the pose transfer over 3D meshes, e.g., the need for manually encoding for shape and pose disentanglement. In this paper, we present an unsupervised approach to conduct the pose transfer between any arbitrary given 3D meshes. Specifically, a novel Intrinsic-Extrinsic Preserved Generative Adversarial Network (IEP-GAN) is presented for both intrinsic (i.e., shape) and extrinsic (i.e., pose) information preservation. Extrinsicly, we propose a co-occurrence discriminator to capture the structural/pose invariance from distinct Laplacians of the mesh. Meanwhile, intrinsically, a local intrinsic-preserved loss is introduced to preserve the geodesic priors while avoiding heavy computations. At last, we show the possibility of using IEP-GAN to manipulate 3D human meshes in various ways, including pose transfer, identity swapping and pose interpolation with latent code vector arithmetic. The extensive experiments on various 3D datasets of humans, animals and hands qualitatively and quantitatively demonstrate the generality of our approach. Our proposed model produces better results and is substantially more efficient compared to recent state-of-the-art methods. Code is available: https://github.com/mikecheninoulu/Unsupervised_IEPGAN

1. Introduction

Efficient 3D mesh manipulation with high-fidelity generative models is crucial in the computer graphics field and enjoys great potential in various practical applications, ranging from 3D human activity understanding, 3D augmented reality to robotics. In this work, the focus is made to transfer the 3D pose style from the source mesh to the target mesh in an unsupervised manner. Although a few attempts have been proposed for this task, due to several issues, limitations still exist in the state-of-the-art methods.

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The IEP-GAN consists of newly proposed two-branch discriminators. To the best of our knowledge, the proposed work is the first that can achieve unsupervised 3D pose transfer without any human supervision and the intrinsic-extrinsic preserved generative adversarial network is the first GAN-based framework for 3D human pose learning. The IEP-GAN consists of newly proposed two-branch discriminators. The global branch enhances the generative capacity of the model and substitutes the need for the manual encoding of the training data. The extrinsic branch utilizes the Laplacian to strengthen extrinsic geometric learning in a co-occurrence static way.

At last, a regional geometric-preserved loss is proposed to preserve the regional intrinsic priors via a geodesic-adaptive sampling strategy, which guarantees both an effective geometric regulation and substantial improvements in the computational efficiency.

Experimental results on four different datasets, i.e., DFAUST [3], FAUST [2], ANIMAL [26], and MANO [23], show that the proposed method achieves the new state-of-the-art performances with satisfying visual qualities. Moreover, we further represent the possibility of using the resulting embedding space for the 3D human mesh manipulation, such as the smooth pose transfer, interpolation and swapping of the given shapes.

2. Related Work

Disentanglement of Pose and Style Generative Learning. In 2D image processing, deep generative models have already achieved tempting performances of unsupervised learning and manipulating the data distribution with independently controllable factors [17, 13, 10]. The key idea of those methods to achieve unsupervised learning is to introduce distinct discriminators that served as training manipulators to give modulating information with desired various factors. Our work is inspired by [17, 13] but intensively extended from 2D images to 3D meshes/points. To the best of our knowledge, this is the first work to implement GAN-based frameworks for the 3D human mesh manipulation task. The idea of swapping pose codes for the style transfer can also be found in [4, 39, 22], but we additionally introduce the double-branch discriminators that provide a strong regulation of geometric learning both globally and locally in a complete unsupervised setting.

Deep Geometric Representation. Unlike 2D image understanding that pixels are placed in regular grids and can be processed with classical convolution, 3D points/meshes are unordered and scattered in 3D space. A variety of research efforts [20, 21, 15, 34] have arisen in response to this challenge, including 3D voxelization-based methods [24], continuous convolutions [32] and the most popular one, graph-based models [33] such as the PointNet family [20, 21]. Those models indeed achieved substantial improvements over previous works. However, they merely considered unstructured point-wise aggregation to the largest activation, the crucial structured geometric information of 3D points/meshes was not fully considered. To this end, recently, different geometry-preservation metrics were adopted to enhance the deep geometric representation learning, such as As Rigid As Possible [25], geodesics in heat [5]. But resolving analytical solutions of the global geodesic metrics in the back-propagation involves enormous computational consumption. In the work of [4], a simple down-sampling to the original meshes was conducted to...
reduce the computational complexity, which equitably leads to geodesic distortions. In this work, we focus on preserving of geometric properties for deformable shapes and guarantee an efficient computational capacity.

**Unsupervised Learning for 3D Deformation Transfer.** The pioneering work for mesh deformation transfer can go back to [26, 8]. Those methods rely on specifying typically a large number of point-wise correspondences between source and target shapes with local deformation gradients. Recently, deep generative models have been a mainstream solution for the task of 3D mesh deformation transfer due to their powerful learning and generalization ability. [37] and [39] separately proposed an auto-encoder/a cycle-consistent adversarial network that can achieve automatic deformation transfer. But for each mapping domain, a new model needs to be trained. [31] can achieve the training on multiple shapes simultaneously, but it has a strong prerequisite of large-scale synthesized targeted pose meshes and fails in real-world datasets from time to time. Inspired by the generative models [1] on point-cloud processing, some unsupervised methods like [39, 4] tried to use the geometric preservation priors to disentangle the shape and pose factors and conduct the pose transfer via latent code swapping. However, their whole theory and implementations reply on a training data assumption: the same subject in different poses should be given. Thus, the training of those models was all intervened via hand-crafted schemes of the input data types to ensure effective disentanglement. If a dataset cannot satisfy this assumption, the unsupervised setting in [39, 4] will no longer be valid anymore. Different from all the existing works, we address a fully unsupervised setting that no prior information between training meshes needs to be provided and training can be achieved with arbitrating given meshes.

3. Method

This section first presents a general introduction of the whole IEP-GAN framework. Then, each component of IEP-GAN and their functions will be indicated. At last, designing details of the network will be presented.

3.1. Overview

We achieve the goal of unsupervised representation learning with a generative adversarial network, as shown in Fig. 2 based on four core tasks, 1) including accurately mesh reconstructing, 2) realistically pose transferring, 3) intrinsic-extrinsic preservation, and 4) disentanglement.

To address these four tasks, the full objective function of the whole framework training is derived in:

$$L_{full} = L_{rec} + L_{GAN,rec} + L_{GAN,transfer} + L_{intrinsic} + L_{extrinsic},$$ (1)

where the first and second term $L_{rec}$ and $L_{GAN,rec}$ are a pair of adversarial loss, as in a classical GAN for accurately mesh reconstructing; the third one $L_{GAN,transfer}$ is a discriminator loss for generating realistic pose-transferred meshes, and the last two are introduced to ensure the disentanglement of shape and pose information.
3.2. Point-Wise Reconstruction

The design of IEP-GAN starts from defining a classic autoencoder [9]. It is used to encode pose (i.e., extrinsic) and shape (i.e., intrinsic) information of a given mesh $x \sim X$ into corresponding latent representations $z_x, z_i \sim Z$ with an encoder $E$, and reconstruct the original mesh via a generator $G$ using an point-wise reconstruction loss:

$$L_{rec}(E, G) = \mathbb{E}_{x \sim X} [||x - G(E(x))||_2^2], \quad (2)$$

where the loss aims to regress the vertices close to its correct position with the supervision of point-wise L2 distance between the reconstructed mesh and the original mesh.

However, the simple point-wise regression will not guarantee a smooth surface. To avoid noticeable artifacts, we enforce the mesh to be realistic by introducing a discriminator $D$. The non-saturating adversarial loss [7] for the generator $G$ and encoder $E$ is calculated as:

$$L_{GAN, transfer}(E, G, D) = \mathbb{E}_{x \sim X} [-\log(D(G(E(x))))], \quad (3)$$

3.3. Unsupervised Pose Transferring

Based on the above autoencoder, the 3D pose transfer between two meshes $x^1$ and $x^2$ is realized by swapping their latent pose codes $z_e^1$ and $z_e^2$, as shown on the bottom part in Fig. 2. In previous works, the mandatory constraints on the training data that different shapes in the same pose or different poses in the same shape should be held, which is imperative in supervising the model to correctly disentangle pose and shape from data. However, in real-world scenarios, such prerequisites can not always be satisfied. Targeting this issue, we advance the task to an unsupervised setting that learns the pose transfer between any arbitrarily given 3D human meshes. We achieve this by introducing a discriminator using the GAN loss:

$$L_{GAN, transfer}(E, G, D) = \mathbb{E}_{x^1, x^2 \sim X, x^1 \neq x^2} [-\log(D(G(z_e^1, z_e^2)))] , \quad (4)$$

where $z_e^1$ and $z_e^2$ are obtained from the two meshes $x^1$ and $x^2$ with the encoder $E$. They are later used to generate a new mesh while preserving the extrinsic/pose information from mesh $x^1$ and the intrinsic/shape information from mesh $x^2$. At last, the discriminator can serve as a substitute ground truth to enforce the generated meshes to converge to realistic ones.

In an ideal case, the training of the IEP-GAN will converge to a status with several desirable properties. 1) The encoding function $E$ and generating function $G$ are optimized toward a smooth mapping between different meshes and their corresponding latent codes. 2) The latent codes should be successfully disentangled in relation to the intrinsic and extrinsic factors: the intrinsic code captures the shape distribution, while the pose code captures structural information of the meshes. 3) The latent pose code of generated mesh can be factored and it’s possible to adjust the pose of generated meshes by manipulations on the distribution of latent pose codes.

For the above first property, it can be easily realized with the reconstruction loss. However, ensuring the latter two properties is an extremely challenging task. It’s tricky to disentangling the latent codes $z_i$ and $z_e$ and guarantees that they exactly represent the shape and pose in an unsupervised setting. Different constraints are put on the training datasets to achieve satisfying disentanglement of these two factors, for instance, fixing one factor (pose/shape) unchanged and training the model to preserve one factor at one
time. Unlike any existing work, we tackle the issue in an unconstrained manner by designing two novel constraints to separately capture the intrinsic and extrinsic information, which will be introduced in the coming two sections.

3.4. Regional Geodesic Preservation

To preserve the shape information during the pose transfer, we utilize the isometric relationship between original mesh $x^2$ and generated mesh $G(z_e^1, z_e^2)$ with the help of geodesic priors as shown in Fig. 3, bottom part. Specifically, we call a pair of meshes are isometric if they share the same shape that the geodesic distance prior to these two meshes should be completely identical. Thus, we introduce an adaptive geodesic measurement as $D_{ag}$ to compute on several mesh regions instead of the whole topology distance. The formulation of $D_{ag}$ is defined as below:

$$D_{ag}(x) = \sum_{i} D_{geodesic}(x_i), x_i \in N_k(p_i),$$

where $N$ is the sub-region number for the geodesic measurement, $N_k(p_i)$ denotes the sub-mesh formed from the $k$ neighbor vertices of vertex $p_i$. The vertex $p_i$ is obtained by an geometric adaptive sampling strategy based on a local geometric distortion score ranking $R$:

$$R = \{r_1, r_2, ..., r_j, ..., r_V | r_j = \sum_{u \in M(p_j)} \|p_j - u\|_2^2\},$$

where $M(p_j)$ denotes the one-ring neighbor vertices of vertex $p_j$ and $V$ is the total vertex number of a topology mesh. The local geometric distortion score ranking $R$ is a list that measures the local geometric distortion of each vertex on the mesh. We sample the top $N$ vertex and calculate their sub-regions. The sampling of the sub-region is updated based on the local geometric distortion score ranking $R$ at each training iteration which ensures a global traversing on the whole topology. In practice, the sub-region sampling number $N$ is 4 and the sampling vertices of each sub-region is 300, which is a balance between the on-the-fly computational load and geodesic preservation capability of the model. In this way, the computations of calculating the geodesic priors is substantially reduced. For instance, given a typical body mesh with 6,890 vertices, the computations of $D_{ag}$ (with 4 sub-regions of 300 sampling vertices) will be conducted on $2 \times 300 \times 300$ vertices, which is around 26 times fewer than the whole topology distance $D_{geodesic}$ one with 6,890$\times$6,890 vertices.

3.5. Laplacian Extrinsic Preservation

By implicitly swapping the latent pose codes and preserve the geodesic priors, the pose transfer can be realized to some extent. But degeneracy may occur in the network. In practice, the network sometimes lets generated meshes inherit unexpected pose attributes of $x^2$ (shape source) through the shape code. In this shortcut way, the model can directly generate meshes with similar poses to $x^2$ to confuse the discriminator, instead of meshes with the target pose. This means unwanted pose information from the $x^2$ (shape source) flows into the shape code and a constraint for extrinsic preservation is desirable.

To this end, we propose a Laplacian co-occurrence discriminator $D_{Lap}$, as shown at the bottom of Fig. 3. It aims to distinguish generated mesh $G(z_e^1, z_e^2)$ from a variety of Laplacians of input mesh $x^1$ so that the generator $G$ is encouraged to capture the extrinsic information:

$$L_{extrinsic}(E, G, D_{Lap}) = \mathbb{E}_{x^1 \sim x}[\log(D_{Lap}(L_{group}(G(z_e^1, z_e^2))), L_{group}(x^1))],$$

where $L$ generates a random Laplacian with iteration number between 1 to 100 of the given mesh (and $L_{group}$ is a collection of multiple Laplacians). Our formulation is inspired by the work in the 2D texture perception [19, 6], but radically transferred to 3D task with the hypothesis that meshes with the same pose/extrinsic style will hold perceptually similar on the Laplacian statistics. The co-occurrence discriminator serves to enforce the extrinsic information to be consistently transferred by using the Laplacian to detach all the detailed shape-related information. Similar ideas for modeling co-occurrences have been used [35, 17], but it’s the first time that the idea is applied to 3D mesh fields.

3.6. Network Architectures

The encoder $E$ used for processing the input meshes is the classical PointNet [20]. Besides, each convolutional layer is stacked by InstanceNorm layers instead of a batch normalization operator for preserving the instance features which is critical for the style transfer task. The pose code
Table 1: Comparison with state-of-the-art methods on the FAUST and DFAUST datasets. Interpolation error measures the intrinsic preservation ability. Disentanglement error measures the extrinsic preservation ability. Results with the best performance are in bold font. Results of our method are marked with underline. Note that our IEP-GAN is trained without the need of data constraints which is used in the compared methods.

| Interpolation Error | Disentanglement Error |
|---------------------|-----------------------|
| Method | VAE [1] | LIMP Euc [4] | LIMP Geo [4] | IEP-GAN (Ours) | VAE [1] | LIMP Euc [4] | LIMP Geo [4] | IEP-GAN (Ours) |
| FAUST | 3.89e-2 | 5.08e-3 | 3.82e-3 | 4.02e-3 | 7.16 | 4.04 | 3.48 | 0.19 |
| DFAUST | 9.82e-2 | 3.43e-3 | 2.89e-4 | 3.16e-4 | 6.15 | 4.90 | 4.11 | 0.34 |

is fixed as 512 dimensions and the shape code is the raw input shape mesh for the pose transfer task and 2048 dimensions for the disentanglement. For the generator, we fuse the architecture of [17] and [31]. In particular, we adopt the GAN framework and network structure of [17], and change its Residual blocks into the SPAdaIN ResBlock introduced in [31] which is specifically designed for 3D style transfer task. The discriminator structure is a symmetry of the generator except that the last two layers of the discriminator become fully-connected layers to produce the prediction of real or fake meshes. The general structure of the Laplacian co-occurrence discriminator is identical to [17] while we replace its encoder with ours mentioned above for 3D meshes. Each Laplacian will be down-sampled via the Poisson Disk method [36]. Please see Supplementary Materials for a detailed specification of the whole architecture, as well as details of the hyper-parameter settings.

4. Experiments

In this section, comprehensive experiments are conducted to evaluate our proposed approach by comparing other state-of-the-art models on a variety of datasets, including human mesh datasets FAUST [2] and DFAUST [3], animal dataset ANIMAL [26] and hand mesh dataset MANO [23]. Firstly, quantitative evaluations of our IEP-GAN on the two datasets are represented. One step further, we qualitatively visualize the strong generalization ability and disentanglement effect of the IEP-GAN on the four datasets. Lastly, we also perform ablation studies to evaluate the effectiveness of intrinsic and extrinsic preservation.

4.1. Datasets

FAUST [2] dataset is a 3D human body scan dataset collected from 10 different human subjects, each performing in 10 different poses. The mesh structure of FAUST registrations fits the SMPL body model that has 6,890 vertices. DFAUST [3] dataset is a large human motion sequence dataset that captures the 4D motion of 10 human subjects performing 14 different body motions, such as “punching”, “one leg loose”, “one leg jump” and etc. The length of each motion spans hundreds of frames. Following the same protocol [4], four representative frames are used for one training instance (per subject per motion). MANO [23] dataset is the 3D hand dataset obtained by hand scans with registrations fitting [23] mesh model. The scans in MANO dataset include both right and left hands and the missing data is compensated by mirroring the corresponding hands. Following the same processing strategy in [39], the model was trained on right hands and generalized to left hands by mirror flipping. ANIMAL [26] is an synthesized animal mesh dataset from [26]. The synthesized animal meshes are parametrically deformed to desired poses from 3D quadrupedal animal models as ground truths.

4.2. Implementation Details

Our algorithm is implemented in PyTorch [18]. All the experiments are carried out on a PC with a single NVIDIA Tesla V100, 32GB. We train our networks for $4 \times 10^4$ epochs with a learning rate of 0.00005 and Adam optimizer [12]. The batch size is fixed as 4 for all settings. The whole training time takes around 30 hours. Please refer to the supplementary material for more details.

Training GAN is extremely hard and easily encounters the generator collapses issue, thus we design the training of the IEP-GAN with three stages. For the first $2 \times 10^4$ iterations, only reconstruction is conducted to stabilize the
Table 2: Ablation study of the adaptive regional geodesic preservation on FAUST dataset. To make it fair, runtime is measured on the same platform (Pytorch 1.6.0) and hardware setting (a single GPU NVIDIA GTX 1080Ti, CPU Intel Core i7). The vertex number for the GIH calculating is fixed as 6,890. The interpolation errors below are all obtained with IEP-GAN.

| Method               | Region   | Sampling | Interpolation Error | Computational Complexity | Runtime |
|----------------------|----------|----------|---------------------|--------------------------|---------|
| No geodesic preservation | -        | -        | 3.11e-2             | -                        | 0.00 s  |
| Geodesic preservation | Global   | -        | 3.94e-3             | $O(n^2)$                 | 8.31 s  |
| Regional             | Random   |          | 7.29e-3             | $O(n \log n)$            | 1.81 s  |
| Regional             | Adaptive |          | 4.02e-3             | $O(n \log n)$            | 2.07 s  |

Figure 5: Pose transfer results of our methods. Right one is the MANO dataset with human hand meshes. The right one is the ANIMAL dataset that contains various animals with different poses. The top line shows the source mesh with distinct shapes, the bottom line shows the meshes with transferred poses.

GAN and avoid local minima, where the pair of reconstruction adversarial loss is used with raw SMPL meshes. From iterations $2 \times 10^4$ to $3 \times 10^4$, the pose transfer learning starts with the swapping loss and extrinsic preservation loss added. The intrinsic preservation loss will be added after $3 \times 10^4$ iterations.

4.3. Quantitative Evaluation for Pose Transfer

In the following, we quantitatively present the performance of our IEP-GAN on the pose transfer task on the FAUST and DFAUST datasets, including extrinsic preservation (pose-transfer) ability and intrinsic preservation (shape-preserve) capacity.

**Intrinsic Preservation.** We first validate the intrinsic preservation ability of the IEP-GAN. To do this, we utilize the average (overall surface points) geodesic distortion of the pose-transferred shapes as metrics. The average geodesic distortion is defined in [5] which measures the geodesic distance inconsistencies between the given meshes. It can be regarded as an approximated ground truth of the shape information which is commonly used in previous works [4, 11]. It’s also named as interpolation error for measuring the intrinsic preservation ability during the meshes interpolation procedure.

We compare our method to state-of-the-art methods, including pointVAE [1], Euclidean-based VAE (LIMP Euc) [4] and geodesic-based VAE (LIMP Geo) [4] on the FAUST [2] and DFAUST [3] datasets. The intrinsic preservation performance is shown in the right part (interpolation error) in Table 1. It is important to note that the compared methods [4] used the data constraint that can strictly supervise the disentanglement shape and pose which is kept untouched for our IEP-GAN. The interpolation error of our unsupervised model is only 2.00e-4 and 2.70e-4 inferior to the compared baseline method (supervised with data constraints) on FAUST and DFAUST dataset, showing a competitive intrinsic preservation ability.

**Extrinsic Preservation.** As there is no ground truth for pose transfer (extrinsic preservation) task that different subjects performing exactly the same pose in the existing datasets, following the protocol in [4], we utilize the disentanglement error for evaluating extrinsic preservation (pose-transfer) performances. Specifically, the notion of disentanglement error is defined as follows. Given two meshes for the pose transferring in the same intrinsic property, we conduct the pose transfer between them and then measure the average point-to-point distance between the pose transferred meshes. Thus the ground truths can be obtained from the corresponding shapes in the dataset.

The left part (disentanglement error) in Table 1 presents the extrinsic preservation performances of different models. It can be observed that our IEP-GAN has a substantially better extrinsic preservation performance than the compared methods (0.19 vs. 3.48 on the FAUST dataset and 0.34 vs. 4.11). It proves that our extrinsic preservation constraint (the Laplacian co-occurrence discriminator) is considerably powerful for capturing the extrinsic information (pose style) and disentangling pose from shape.
4.4. Qualitative Evaluation

We qualitatively visualize our method’s generalization ability on the four datasets, i.e., the above FAUST and DFAUST datasets, as well as two extra datasets, MANO human hand dataset [23] and ANIMAL dataset [26]. Fig. 4 presents the pose transfer results on the DFAUST dataset. Based on the FAUST dataset, we provide the distribution of latent code space in Fig. 1 by utilizing the t-distributed stochastic neighbor embedding (t-SNE) method [30] for visualizing high-dimensional data. Specifically, the latent code produced by an encoder trained on the FAUST dataset will be embedded with t-SNE and project into low dimension space for visualization. Furthermore, Fig. 6 indicates the disentanglement effect of shape and pose with our IEP-GAN. At last, in Fig. 5, we present transfer results on an animal dataset ANIMAL and human hand mesh dataset MANO to show the generalization ability.

4.5. Ablation Study

Here we intensively conduct experiments to verify the effectiveness of our proposed key components.

Effect of the Laplacian Co-Occurrence Discriminator.

We also validate the effect of the Laplacian co-occurrence discriminator, as shown in Table 3. As expected, introducing the Laplacian co-occurrence discriminator results in significant gains (disentanglement error reduced from 2.6 to 0.19). This proves that, by measuring the Laplacian co-occurrence with reference meshes, the generated mesh could have better extrinsic preservation. However, increasing the number of reference Laplacians requires larger computational consumption and reaches the GPU memory limits, thus we adopt 3 reference Laplacians as the default setting in the experiments.

Effect of Regional Geodesic Preservation. We vary the settings of the proposed regional geodesic preservation to show its effect in Table 2. In the first line, we observe that, without geodesic preservation, the local geometric distortion is severe with the interpolation error of 3.11e-2. This proves the necessity of geodesic-based priors. From the last three lines of the Table 2, we can see that our regional geodesic preservation can exponentially reduce the computational complicity while conserving a satisfying geodesic prior (4.02e-3) compared to the global one (7.29e-3). Specifically, our proposed adaptive sampling strategy can further enhance the geodesic preservation compared to random sampling. Lastly, the runtime (2.07 vs. 8.31 seconds) proves that our regional geodesic preservation can efficiently reduce the computations.

5. Conclusion

In this paper, we introduce IEP-GAN that achieves the pose transfer in an unsupervised manner with arbitrate given meshes. We show two key components to achieve the latent code disentanglement between pose and shape without extra data constraints, namely the intrinsic preservation and extrinsic preservation. The Laplacian co-occurrence discriminator is proved efficient in capturing the pose information and the adaptive regional geodesic loss can not only enhance the geometric prior learning but also substantially reduce the computations. At last, with the obtained latent codes, the task can be extended from 3D pose transfer to pose interpolation, shape swapping, and factor disentanglement. The regional geodesic preservation and Laplacian co-occurrence discriminator can be easily implemented to existing classical models. However, due to the challenging unconstrained setting in this work, artifacts and visible distortions in the pose transferring and interpolation still can be observed when the pose or the shape is too extreme. Improving the robustness with larger 3D space diversity and reducing the training time with alternative metrics of geometric distances would be the future work.

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