Discrete series characters for affine Hecke algebras and their formal degrees

by

**ERIC OPDAM**  
*University of Amsterdam*  
*Amsterdam, The Netherlands*

**MAARTEN SOLLEVELD**  
*Universität Göttingen*  
*Göttingen, Germany*

Contents

1. Introduction ........................................ 106
2. Preliminaries and notation ........................ 112
   2.1. Affine Hecke algebras .......................... 112
   2.2. Harmonic analysis for affine Hecke algebras .... 120
   2.3. The central support of tempered characters .... 126
   2.4. Generic residual points ....................... 129
3. Continuous families of discrete series ............ 136
   3.1. Parameter deformation of the discrete series ...... 137
4. The generic formal degree .......................... 144
   4.1. Rationality of the generic formal degree ....... 144
   4.2. Factorization of the generic formal degree ....... 147
5. The generic central character map and the formal degrees ... 148
6. The generic linear residual points and the evaluation map .. 154
   6.1. The case $R_1 = A_n, n \geq 1$ .................. 156
   6.2. The case $R_1 = B_n, n \geq 2$ .................. 156
   6.3. The case $R_1 = C_n, n \geq 3$ .................. 160
   6.4. The case $R_1 = D_n, n \geq 4$ .................. 160
   6.5. The case $R_1 = E_6, n = 6, 7, 8$ ............... 162
   6.6. The case $R_1 = F_4$ ................................ 162
   6.7. The case $R_1 = G_2$ ................................ 164
7. The classification of the discrete series of $H$ .......... 166
8. The classification of the discrete series of $\mathcal{H}$ .... 170
Appendix A. Analytic properties of the Schwartz algebra .... 175
References ........................................... 185
1. Introduction

Considering the role of affine Hecke algebras in representation theory [IM], [Bo], [BZ], [BM1], [BM2], [Mo1], [Mo2], [Lu3], [Re1], [BHK], [BK] or in the theory of integrable models [Ch], [HO1], [Mac], [EOS] it is natural to ask for the description of their (algebraic) representation theory and for the properties of their representations in relation to harmonic analysis (e.g. unitarity, temperedness, formal degrees). An analytic approach to such questions (based on the spectral theory of $C^*$-algebras) was first proposed by Matsumoto [Mat]. This approach to affine Hecke algebras gives rise to a program in the spirit of Harish-Chandra’s work on the harmonic analysis on locally compact groups arising from reductive groups (for a concise account of Harish-Chandra’s work in the $p$-adic case see [Wa]). The main challenges to surmount on this classical route designed to describe the tempered spectrum and the Plancherel isomorphism (the “philosophy of cusp forms”) are related to understanding the basic building blocks, the so-called discrete series characters. The most fundamental problems are:

(i) Classify the irreducible discrete series characters;

(ii) Calculate their formal degrees.

In the present paper we will essentially\(^1\) solve both these problems for general abstract semisimple affine Hecke algebras with arbitrary positive parameters.

The study of harmonic analysis in this context requires the introduction of classical notions borrowed from Harish-Chandra’s seminal work (e.g. the Schwartz completion, temperedness, parabolic induction) for abstract affine Hecke algebras. It was shown in [DO] that the above program can indeed be carried out. In view of [DO] (see also [Op2]), our solution of (i) can in fact be amplified to yield the classification of all irreducible tempered characters of the Hecke algebra. The explicit Plancherel isomorphism can be reconstructed by (ii) and [Op1, Theorem 4.43].

Let us describe the methods used in this paper. The new tool in this study of these questions for abstract affine Hecke algebras is derived from the presence of a space of continuous parameters with respect to which the harmonic analysis naturally deforms. Observe that this aspect is missing in the traditional context of the harmonic analysis on reductive groups. The main message of this paper is that parameter deformation is a powerful tool for solving the questions (i) and (ii), especially (but not exclusively) for non-simply laced root data. There are in fact two other pillars on which our method rests, based on results from [Op1] and [OS]. We will now give a more detailed account of these matters.

\(^1\) Our solution of (i) does not cover the cases $E_n$, $n=6, 7, 8$, hence in these cases we rely on [KL]. Our solution of (ii) is complete only up to the determination of a rational constant factor for each continuous family (in the sense to be explained below) of discrete series characters.
An affine Hecke algebra \( \mathcal{H} = \mathcal{H}(\mathcal{R}, q) \) is defined in terms of a based root datum
\[
\mathcal{R} = (X, R_0, Y, R'_0, F_0)
\]
and a parameter function \( q \in \mathbb{Q} = \mathbb{Q}(\mathcal{R}) \). By this we mean that \( q \) is a (positive) function on the set \( S \) of simple affine reflections in the affine Weyl group \( \mathbb{Z}R_0 \rtimes W_0 \), such that \( q(s) = q(s') \) whenever \( s \) and \( s' \) are conjugate in the extended Weyl group \( W = X \rtimes W_0 \). The deformation method is based on regarding the affine Hecke algebras \( \mathcal{H}(\mathcal{R}, q) \) with fixed \( \mathcal{R} \) as a continuous field of algebras, depending on the parameter \( q \). This enables us to transfer properties that hold for \( q \equiv 1 \) or for \( \text{generic} \) \( q \) to arbitrary positive parameters.

We will prove that every irreducible discrete series character \( \delta_0 \) of \( \mathcal{H}(\mathcal{R}, q_0) \) is the evaluation at \( q_0 \) of a unique maximal continuous family \( q \mapsto \delta_q \) of discrete series characters of \( \mathcal{H}(\mathcal{R}, q) \) defined in a suitable open neighborhood of \( q_0 \). The continuity of the family means that the corresponding family of primitive central idempotents \( q \mapsto e_{\delta_q} \in \mathcal{S} \) (the Schwartz completion of \( \mathcal{H}(\mathcal{R}, q) \), a Fréchet algebra which is independent of \( q \) as a Fréchet space) is continuous in \( q \) with respect to the Fréchet topology of \( \mathcal{S} \). The maximal domain of definition of the family \( q \mapsto \delta_q \) is described in terms of the zero locus of an explicit rational function on \( \mathbb{Q} \). This reduces the classification of the discrete series of \( \mathcal{H}(\mathcal{R}, q) \) for \textit{arbitrary} (possibly special) positive parameters to that for \( \text{generic} \) positive parameters, a problem that is considerably easier than the general case.

Let us take the discussion one step further to see how this idea leads to a practical strategy for the classification of the discrete series characters. For this it is crucial to understand how the “central characters” behave under the unique continuous deformation \( q \mapsto \delta_q \) of an irreducible discrete series character \( \delta_0 \). Since it is known that the set of discrete series can be non-empty only if \( R_0 \) spans \( X \otimes \mathbb{Z} \mathbb{Q} \), we assume this throughout the paper. To enable the use of analytic techniques we need an involution \(*\) and a positive trace \( \tau \) on our affine Hecke algebras \( \mathcal{H}(\mathcal{R}, q) \). A natural choice is available, provided that all parameters are positive (another assumption we make throughout this paper). Then \( \mathcal{H}(\mathcal{R}, q) \) is in fact a Hilbert algebra with tracial state \( \tau \). The spectral decomposition of \( \tau \) defines a positive measure \( \mu_{\mathcal{P}1} \) (called the Plancherel measure) on the set of irreducible representations of \( \mathcal{H}(\mathcal{R}, q) \), cf. [Op1] and [DO]. More or less by definition an irreducible representation \( \pi \) belongs to the discrete series if \( \mu_{\mathcal{P}1}([\pi]) > 0 \). It is known that this condition is equivalent to the statement that \( \pi \) is an irreducible projective representation of \( \mathcal{S}(\mathcal{R}, q) \), the Schwartz completion of \( \mathcal{H}(\mathcal{R}, q) \). In particular \( \pi \) is an irreducible discrete series representation if and only if \( \pi \) is afforded by a primitive central idempotent \( e_{\pi} \in \mathcal{S}(\mathcal{R}, q) \) of finite rank. Thus the definition of continuity of a family of irreducible characters in the preceding paragraph makes sense for discrete series characters only. We denote the finite set of irreducible discrete series characters of \( \mathcal{H}(\mathcal{R}, q) \) by \( \Delta(\mathcal{R}, q) \).
A cornerstone in the spectral theory of the affine Hecke algebra is formed by Bernstein’s classical construction of a large commutative subalgebra $A \subset H(R, q)$ isomorphic to the group algebra $C[X]$. It follows from this construction that the center of $H(R, q)$ equals $A^{W_0} \cong C[X]^{W_0}$. Therefore we have a central character map

$$cc_q : \text{Irr}(H(R, q)) \to W_0 \setminus T$$

where $T$ is the complex torus $\text{Hom}(X, C^\times)$ which is an invariant in the sense that this map is constant on equivalence classes of irreducible representations.

It was shown by “residue calculus” [Op1, Lemma 3.31] that a given orbit $W_0 t \in W_0 \setminus T$ is the central character of a discrete series representation if and only if $W_0 t$ is a $W_0$-orbit of so-called residual points of $T$. These residual points are defined in terms of the poles and zeros of an explicit rational differential form on $T$ (see Definition 2.39), and they have been classified completely. They depend on a pair $(R, q)$ consisting of a (semisimple) root datum $\mathcal{R}$ and a parameter $q \in Q$. In fact, given a semisimple root datum $\mathcal{R}$, there exist finitely many $Q$-valued points $r$ of $T$, called generic residual points, such that on a Zariski-open set of the parameter space $Q$ the evaluation $r(q) \in T$ is a residual point for $(\mathcal{R}, q)$. Moreover, for every $q_0 \in Q(\mathcal{R})$ and every residual point $r_0$ of $(\mathcal{R}, q_0)$ there exists at least one generic residual point $r$ such that $r_0 = r(q_0)$.

For fixed $q_0 \in Q$, these techniques do in general not shine any further light on the cardinality of $\Delta(\mathcal{R}, q_0)$. The problem is a well-known difficulty in representation theory: the central character invariant $cc_{q_0}(\delta_0)$ is not strong enough to separate the equivalence classes of irreducible (discrete series) representations. But this is precisely the point where the deformation method is helpful. The idea is that at generic parameters the separation of the irreducible discrete series characters by their central character is much better (almost perfect in fact, see below) than for special parameters. Therefore we can improve the quality of the central character invariant for $\delta_0 \in \Delta(\mathcal{R}, q_0)$ by considering the family of central characters $q \mapsto cc_q(\delta_0)$ of the unique continuous deformation $q \mapsto \delta_q$ of $\delta_0$ as described above. It turns out that this family of central characters is in fact a $W_0$-orbit $W_0 r$ of generic residual points. We call this the generic central character $gcc(\delta_0) = W_0 r$ of $\delta_0$.

Our proof of this fact requires various techniques. First of all the existence and uniqueness of the germ of continuous deformations of a discrete series character depends in an essential way on the continuous field of the pre-$C^*$-algebras $S(\mathcal{R}, q)$, where $q$ runs through $Q$ and $S(\mathcal{R}, q)$ is the Schwartz completion of $\mathcal{H}(\mathcal{R}, q)$ (see [DO]). Pick $\delta_0 \in \Delta(\mathcal{R}, q_0)$ with central character $cc_{q_0}(\delta_0) = W_0 r_0 \in W_0 \setminus T$. With analytic techniques we prove that there exists an open neighborhood $U \times V \subset Q \times W_0 \setminus T$ of $(q_0, W_0 r_0)$ such that (see Lemma 3.2 and Theorems 3.3 and 3.4):
there exists a unique continuous family $U \ni q \mapsto \delta_q \in \Delta(R, q)$ with $\delta_{q_0} = \delta_0$;

- the cardinality of $\{ \delta \in \Delta(R, q) : cc_q(\delta) \in V \}$ is independent of $q \in U$.

Next, we consider the formal degree $\mu_{Pl}(\{\delta_q\})$ of $\delta_q \in \Delta(R, q)$. In [OS] we proved an “index formula” for the formal degree, expressing $\mu_{Pl}(\{\delta_q\})$ as an alternating sum of formal degrees of characters of certain finite-dimensional involutive subalgebras of $\mathcal{H}(R, q)$. It follows that $\mu_{Pl}(\{\delta_q\})$ is a rational function of $q \in U$, with rational coefficients.

On the other hand, using the residue calculus [Op1] we derive an explicit factorization

$$\mu_{Pl}(\{\delta_q\}) = d_\delta m_{gcc(\delta)}(q), \quad q \in U,$$

with $d_\delta \in \mathbb{Q} \times$ independent of $q$ and $m_{W_0r}(q)$ depending only on $q$ and on the central character $cc_q(\delta_q) = W_0r(q)$ (for the definition of $m$ see (39)). Using the classification of generic residual points, this enables us to prove that $q \mapsto cc_q(\delta_q)$ is not only continuous but in fact (in a neighborhood of $q_0$) of the form $q \mapsto W_0r(q)$ for a unique orbit of generic residual points $gcc(\delta_0) = W_0r$, the generic central character of $\delta_0$ alluded to above. We can now write (2) in the form (see Theorem 5.12):

$$\mu_{Pl}(\{\delta_q\}) = d_\delta m_{gcc(\delta)}(q), \quad q \in U,$$

where $m_{gcc(\delta)}$ is an explicit rational function with rational coefficients on $\mathbb{Q}$, which is regular on $\mathbb{Q}$ and whose zero locus is a finite union of hyperplanes in $\mathbb{Q}$ (viewed as a vector space).

The incidence space $\mathcal{O}(R)$ consisting of pairs $(W_0r, q)$, with $W_0r$ an orbit of generic residual points and $q \in \mathbb{Q}$ such that $r(q)$ is a residual point for $(R, q)$, can alternatively be described as $\mathcal{O}(R) = \{(W_0r, q) : m_{W_0r}(q) \neq 0\}$. Thus $\mathcal{O}(R)$ is a disjoint union of copies of certain convex open cones in $\mathbb{Q}$. The above deformation arguments culminate in Theorem 5.7 stating that the map

$$GCC: \coprod_{q \in \mathcal{O}(R)} \Delta(R, q) \longrightarrow \mathcal{O}(R),$$

$$\Delta(R, q) \ni \delta \longmapsto (gcc(\delta), q),$$

gives $\Delta(R) := \coprod_{q \in \mathcal{O}(R)} \Delta(R, q)$ the structure of a locally constant sheaf of finite sets on $\mathcal{O}(R)$. Since every component of $\mathcal{O}(R)$ is contractible, this result reduces the classification of the set $\Delta(R)$ to the computation of the multiplicities of the various components of $\mathcal{O}(R)$ (i.e. the cardinalities of the fibers of the map GCC).

One more ingredient is of great technical importance. Lusztig [Lu2] proved fundamental reduction theorems which reduce the classification of irreducible representations of affine Hecke algebras effectively to the classification of irreducible representations of
degenerate affine Hecke algebras (extended by a group acting through diagram automorphisms, in general). In this paper we make frequent use of a version of these results adapted to suit the situation of arbitrary positive parameters (see Theorems 2.6 and 2.8). These reductions respect the notions of temperedness and discreteness of a representation. Using this type of results it suffices to compute the multiplicities of the positive components of $O(R)$ or equivalently, to compute the multiplicities of the corresponding components in the parameter space of a degenerate affine Hecke algebra (possibly extended by a group acting through diagram automorphisms).

The results are as follows. If $R_0$ is simply laced, then the generic central character map itself does not contain new information compared to the ordinary central character. However, with a small enhancement, the generic central character map gives a complete invariant for the discrete series of $D_n$ as well, using that the degenerate affine Hecke algebra of type $D_n$ twisted by a diagram involution is a specialization of the degenerate affine Hecke algebra of type $B_n$. With this enhancement understood, we can state that the generic central character is a complete invariant for the irreducible discrete series characters of a degenerate affine Hecke algebra associated with a simple root system $R_0$, except when $R_0$ is of type $E_6$, $E_7$, $E_8$ or $F_4$. In the $F_4$-case with both parameters unequal to zero there exist precisely two irreducible discrete series characters which have the same generic central character.

Our solution to problem (i) is listed in §7 and §8. This covers essentially all cases except type $E_n$, $n=6,7,8$ (in which cases we rely on [KL] for the classification). In this classification, the irreducible discrete series characters are parametrized in terms of their generic central character. The solution to problem (ii) is given by the product formula (3) (see Theorem 5.12) which expresses the formal degree of $\delta_q$ explicitly as a rational function with rational coefficients on the maximal domain $U_\delta \subset \mathbb{Q}$ to which $\delta_q$ extends as a continuous family of irreducible discrete series characters ($U_\delta$ is the interior of an explicitly known convex polyhedral cone). At present we do not know how to compute the rational numbers $d_\delta$ for each continuous family so our solution is incomplete at this point.

Let us compare our results with the existing literature. An important special case arises when the parameter function $q$ is constant on $S$, which happens for example when the root system $R_0$ is irreducible and simply laced. In this case all irreducible representations of $H(R, q)$ (not only the discrete series) have been classified by Kazhdan and Lusztig [KL]. This classification is essentially independent of $q \in \mathbb{C}^\times$, except for a few “bad” roots of unity. This work of Kazhdan and Lusztig is of course much more than just a classification of irreducible characters, it actually gives a geometric construction of standard modules of the Hecke algebra for which one can deduce detailed information on
the internal structure in geometric terms (e.g. Green functions). The Kazhdan–Lusztig parametrization yields the classification of the tempered and the discrete series characters too.

Next Lusztig [Lu4] has classified the irreducibles of “geometric” graded affine Hecke algebras (with certain unequal parameters) which arise from a cuspidal local system on a unipotent orbit of a Levi subgroup of a given almost simple simply connected complex group $L^G$. In [Lu5] these results were refined to include a classification of tempered and discrete series irreducible modules of the geometric graded Hecke algebras. In [Lu3] it is shown that such graded affine Hecke algebras arise as completions of “geometric” affine Hecke algebras (with certain unequal parameters) formally associated with the above geometric data. On the other hand, let $k$ be a $p$-adic field and let $G$ be the group of $k$-rational points of a split adjoint simple group $G$ over $k$ such that $L^G$ is the connected component of its Langlands dual group. In [Lu3] the explicit list of unipotent “arithmetic” affine Hecke algebras is given, i.e. affine Hecke algebras occurring as the Hecke algebra of a type (in the sense of [BK]) for a $G$-inertial equivalence class of a unipotent supercuspidal pair $(L, \sigma)$ (see also [Mo1] and [Mo2]). Remarkably, a case-by-case analysis in [Lu3] shows that the geometric affine Hecke algebras associated with $L^G$ precisely match the unipotent arithmetic affine Hecke algebras arising from $G$. More generally, such results hold if $G$ is only assumed to be split over an unramified extension of $k$ [Lu3].

The geometric parameters in terms of which Lusztig [Lu4], [Lu5] classifies the irreducible (tempered, discrete series) modules over geometric graded affine Hecke algebras are rather complicated. Our present direct approach, based on deformations in the harmonic analysis of “arithmetic” affine Hecke algebras, gives different and in some sense complementary information (e.g. formal degrees). We refer to [Bl] for examples of affine Hecke algebras arising as Hecke algebras of more general types. We refer to [Lu6] for results and conjectures on the theory of Kazhdan–Lusztig bases of abstract Hecke algebras with unequal parameters.

The techniques in this paper do not give an explicit construction of the discrete series representations. In this direction it is interesting to mention Syu Kato’s geometric construction [Ka] of algebraic families of representations of $\mathcal{H}(C_n^{(1)}, q)$. One would like to understand how Kato’s geometric model relates to our continuous families of discrete series characters, which are constructed by analytic methods.

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2. Preliminaries and notation

2.1. Affine Hecke algebras

2.1.1. Root data and affine Weyl groups

Suppose we are given lattices $X$ and $Y$ in perfect duality $\langle \cdot, \cdot \rangle : X \times Y \to \mathbb{Z}$, and finite subsets $R_0 \subseteq X$ and $R'_0 \subseteq Y$ with a given a bijection $\vee : R_0 \to R'_0$. Define endomorphisms $r_\alpha : X \to X$ by $r_\alpha(x) = x - x(\alpha ) \alpha$ and $r_\alpha : Y \to Y$ by $r_\alpha(y) = y - \alpha(y) \alpha$. Then $(R_0, X, R'_0, Y)$ is called a root datum if

1. for all $\alpha \in R_0$ we have $\alpha(\alpha) = 2$;
2. for all $\alpha \in R_0$ we have $r_\alpha(R_0) \subseteq R_0$ and $r_\alpha(R'_0) \subseteq R'_0$.

As is well known, it follows that $R_0$ is a root system in the vector space spanned by the elements of $R_0$. A based root datum $\mathcal{R} = (X, R_0, Y, R'_0, F_0)$ consists of a root datum with a basis $F_0 \subseteq R_0$ of simple roots.

The (extended) affine Weyl group of $\mathcal{R}$ is the group $W = W_0 \rtimes X$ (where $W_0 = W(R_0)$ is the Weyl group of $R_0$); it naturally acts on $X$. We identify $Y \times \mathbb{Z}$ with the set of affine linear, $\mathbb{Z}$-valued functions on $X$ (in this context we usually denote an affine root $a = (\alpha, n)$ additively as $a = \alpha + n$). Then the affine Weyl group $W$ acts linearly on the set $Y \times \mathbb{Z}$ via the action $wf(x) = f(w^{-1}x)$. The affine root system $R$ associated with $\mathcal{R}$ is the $W$-invariant set $R := R'_0 \times \mathbb{Z} \subseteq Y \times \mathbb{Z}$. The basis $F_0$ of simple roots induces a decomposition $R = R_+ \cup R_-$ with $R_+ := R'_0 \times \{0\} \cup R'_0 \times \mathbb{N}$ and $R_- = -R_+$. It is easy to see that $R_+$ has a basis of affine roots $F$ consisting of the set $F'_0 \times \{0\}$ supplemented by the set of affine roots of the form $a = (\alpha, 1)$, where $\alpha \in R'_0$ runs over the set of minimal coroots. The set $F$ is called the set of affine simple roots. Every $W$-orbit $W_a \subseteq R$ with $a \in R$ meets the set $F$ of affine simple roots. We denote by $\tilde{F}$ the set of intersections of the $W$-orbits in $R$ with $F$.

With an affine root $a = (\alpha, n)$ we associate an affine reflection $r_a : X \to X$ by $r_a(x) = x - a(x) \alpha$. We have $r_a \in W$ and $w r_a w^{-1} = r_{wa}$. Hence the subgroup $W^a \subseteq W$ generated by the affine reflections $r_a$ with $a \in R$ is normal. The normal subgroup $W^a$ has a Coxeter presentation $(W^a, S)$ with respect to the set of Coxeter generators $S = \{ r_a : a \in F \}$. We call $S$ the set of affine simple reflections and we write $S_0 = S \cap W_0$. We call two elements $s, t \in S$ equivalent if they are conjugate to each other inside $W$. We put $\tilde{S}$ for the set of equivalence classes in $S$. The set $\tilde{S}$ is in natural bijection with the set $\tilde{F}$.

We define a length function $l : W \to \mathbb{Z}_+$ by $l(w) := |w^{-1}(R_-) \cap R_+|$. The set

$$\Omega := \{ w \in W : l(w) = 0 \}$$

is a subgroup of $W$. Since $W^a$ acts simply transitively on the set of positive systems of
affine roots it is clear that $W = W^a \rtimes \Omega$. Notice that if we put

$$X^+ = \{ x \in X : x(\alpha^\vee) \geq 0 \text{ for all } \alpha \in F_0 \}$$

and $X^- = -X^+$, then the sublattice $Z = X^+ \cap X^- \subset X$ is the center of $W$. It is clear that $Z$ acts trivially on $R$ and in particular, we have $Z \subset \Omega$. We have $\Omega \cong W/W^a \cong X/Q(R_0)$, where $Q(R_0)$ denotes the root lattice of the root system $R_0$. It follows easily that $\Omega/Z$ is finite. We call $\mathcal{R}$ semisimple if $Z = 0$. By the above $\mathcal{R}$ is semisimple if and only if $\Omega$ is finite.

### 2.1.2. The generic affine Hecke algebra and its specializations

We introduce invertible, commuting indeterminates $v([s])$, where $[s] \in \tilde{S}$. Let

$$\Lambda = \mathbb{C}[[v([s])] \pm 1 : [s] \in \tilde{S}].$$

If $s \in S$ then we define $v(s) := v([s])$. The following definition is in fact a theorem (this result goes back to Tits).

**Definition 2.1.** There exists a unique associative, unital $\Lambda$-algebra $\mathcal{H}_\Lambda(\mathcal{R})$ which has a $\Lambda$-basis $\{ N_w \}_{w \in W}$ parametrized by $w \in W$, satisfying the relations

1. $N_w N_{w'} = N_{ww'}$ for all $w, w' \in W$ such that $l(ww') = l(w) + l(w')$;
2. $(N_s - v(s))(N_s + v(s)^{-1}) = 0$ for all $s \in S$.

The algebra $\mathcal{H}_\Lambda = \mathcal{H}_\Lambda(\mathcal{R})$ is called the generic affine Hecke algebra with root datum $\mathcal{R}$.

We put $Q_c = Q(\mathcal{R})_c$ for the complex torus of homomorphisms $\Lambda \to \mathbb{C}$. We equip the torus $Q_c$ with the analytic topology. Given a homomorphism $q \in Q_c$ we define a specialization (2) $\mathcal{H}(\mathcal{R}, q)$ of the generic algebra by (with $\mathbb{C} q$ being the $\Lambda$-module defined by $q$)

$$\mathcal{H}(\mathcal{R}, q) := \mathcal{H}_\Lambda(\mathcal{R}) \otimes_\Lambda \mathbb{C} q \quad (4)$$

Observe that the automorphism $\phi_s : \Lambda \to \Lambda$ defined by

$$\begin{cases} 
\phi_s(v(t)) = v(t), & \text{if } t \not\sim_W s, \\
\phi_s(v(s)) = -v(s), & \text{if } t \not\sim_W s,
\end{cases}$$

extends to an automorphism of $\mathcal{H}_\Lambda$ by putting

$$\begin{cases} 
\phi_s(N_t) = N_t, & \text{if } t \not\sim_W s, \\
\phi_s(N_s) = -N_s.
\end{cases}$$

(2) This is not compatible with the conventions in [Op1], [Op2], [Op3] and [OS]! The parameter $q \in Q$ in the present paper would be called $q^{1/2}$ in these earlier papers.
Similarly we have automorphisms $\psi_s: \mathcal{H}_\Lambda \rightarrow \mathcal{H}_\Lambda$ given by

$$\psi_s(v(t)) = v(t), \quad \text{if } t \not\sim_W s, \quad \text{and} \quad \psi_s(N_t) = N_t, \quad \text{if } t \not\sim_W s,$$

where $\psi_s(v(s)) = v(s)^{-1},$ and

$$\psi_s(N_t) = N_t, \quad \text{if } t \not\sim_W s, \quad \psi_s(N_s) = -N_s.$$

These automorphisms mutually commute and are involutive. Observe that $\phi_s \psi_s$ respects the distinguished basis $N_w$ of $\mathcal{H}_\Lambda,$ and the automorphisms $\phi_s$ and $\psi_s$ individually respect the distinguished basis up to signs.

We write $\mathcal{Q}$ for the set of positive points of $\mathcal{Q}_c,$ i.e. points $q \in \mathcal{Q}_c$ such that $q(v(s)) > 0$ for all $s \in S.$ Then $\mathcal{Q} \subset \mathcal{Q}_c$ is a real vector group.

There are alternative ways to specify points of $\mathcal{Q}$ which play a role in the spectral theory of affine Hecke algebras (in particular in relation to the Macdonald $c$-function [Mac]). In order to explain this we introduce the possibly non-reduced root system $R_{nr} \subset X$ associated with $R$ by

$$R_{nr} = R_0 \cup \{2\alpha : \alpha^\vee \in 2Y \cap R_0^\vee\}$$

We let $R_1 = \{\alpha \in R_{nr} : 2\alpha \notin R_{nr}\}$ be the set of non-multipliable roots in $R_{nr}.$ Then $R_1 \subset X$ is also a reduced root system, and $W_0=W(R_0)=W(R_1).$

We define various functions with values in $\Lambda.$ First we define a $W$-invariant function $R \ni \alpha \mapsto v_\alpha \in \Lambda$ by requiring that

$$v_{\alpha+1} = v(s_\alpha)$$

for all simple affine roots $\alpha \in F.$ Notice that all generators $v(s)$ of $\Lambda$ are in the image of this function. Next we define a $W_0$-invariant function $R_{nr}^+ \ni \alpha^\vee \mapsto v_{\alpha^\vee} \in \Lambda$ as follows. If $\alpha \in R_0$ we view $\alpha^\vee$ as an element of $R,$ so that $v_{\alpha^\vee}$ has already been defined. If $\alpha = 2\beta$ with $\beta \in R_0,$ then we define

$$v_{\alpha^\vee} = v_{\beta^\vee/2} := \frac{v_{\beta^\vee} + 1}{v_{\beta^\vee}}$$

Finally there exists a unique length-multiplicative function $W \ni w \mapsto v(w) \in \Lambda$ such that its restriction to $S$ yields the original assignment $S \ni s \mapsto v(s) \in \Lambda$ of generators of $\Lambda$ to the $W$-orbits of simple reflections of $W,$ and $v(\omega) = 1$ for all $\omega \in \Omega.$ Here the notion length-multiplicative refers to the property $v(w_1 w_2) = v(w_1) v(w_2)$ if $l(w_1 w_2) = l(w_1) + l(w_2).$ We remark that with this notation we have

$$v(w) = \prod_{\alpha \in R_{nr}^+ \cap w^{-1} R_{nr}^-} v_{\alpha^\vee}$$

for all $w \in W_0.$
A point \( q \in \mathcal{Q} \) determines a unique \( W \)-invariant function on \( \mathbb{R} \) with values in \( \mathbb{R}_+ \) by defining \( q_\alpha := q(v_\alpha) \). Conversely, such a positive \( W \)-invariant function on \( \mathbb{R} \) determines a point \( q \in \mathcal{Q} \). Likewise we define positive real numbers

\[
q_\alpha := q(v_\alpha) \quad (9)
\]

for \( \alpha \in \mathcal{R} \), and

\[
q(w) := q(v(w)) \quad (10)
\]

for \( w \in W \). In this way, the points \( q \in \mathcal{Q} \) are in natural bijection with the set of \( W_0 \)-invariant positive functions on \( \mathbb{R}_+ \) and also with the set of positive length-multiplicative functions on \( W \) which restrict to 1 on \( \Omega \).

Recall that if the finite root system \( \mathcal{R}_1 \) is irreducible, it can be extended in a unique way to an affine root system, which is called \( \mathcal{R}_1^{(1)} \).

**Definition 2.2.** If \( \mathcal{R} \) is simple and \( X = P(\mathcal{R}_1) \) (the weight lattice of \( \mathcal{R}_1 \)), then we call \( H(\mathcal{R}, q) \) of type \( \mathcal{R}_1^{(1)} \). This includes the simple 3-parameter case \( C_n^{(1)} \) with \( \mathcal{R}_0 = B_n \) and \( X = Q(\mathcal{R}_0) \).

### 2.1.3. The Bernstein presentation and the center

The length function \( l: W \to \mathbb{Z}_{\geq 0} \) restricts to a homomorphism of monoids on \( X^+ \). Hence the map \( X^+ \to H_\Lambda^c \) defined by \( x \mapsto N_x \) is a homomorphism of monoids too. It has a unique extension to a group homomorphism \( \theta: X \to H_\Lambda^c \) which we denote by \( x \mapsto \theta_x \). We denote by \( A_\Lambda \subset H_\Lambda \) the commutative subalgebra of \( H_\Lambda \) generated by the elements \( \theta_x \) with \( x \in X \). Similarly we have a commutative subalgebra \( A \subset H(\mathcal{R}, q) \). Let \( H_{\Lambda,0} = H_\Lambda(W_0, S_0) \) be the Hecke subalgebra (of finite rank over the algebra \( \Lambda \)) corresponding to the Coxeter system \( (W_0, S_0) \). We have the following important result due to Bernstein–Zelevinski (unpublished) and Lusztig ([Lu2]).

**Theorem 2.3.** The multiplication map defines an isomorphism of \( A_\Lambda \)-modules \( A_\Lambda \otimes H_{\Lambda,0} \to H_\Lambda \) and an isomorphism of \( H_{\Lambda,0} \)-modules \( H_{\Lambda,0} \otimes A_\Lambda \to H_\Lambda \). The algebra structure on \( H_\Lambda \) is determined by the cross relation (with \( x \in X, \alpha \in \mathcal{F}_0, s = r_\alpha^\vee, \) and \( s' \in S \) being a simple reflection such that \( s' \sim_W r_\alpha^\vee + 1 \)):

\[
\theta_x N_x - N_x \theta_{s(x)} = ((v(s) - v(s)^{-1}) + (v(s') - v(s')^{-1}) \theta_{-\alpha}) \frac{\theta_x - \theta_{s(x)}}{1 - \theta_{-2\alpha}}. \quad (11)
\]

(Note that if \( s' \neq_W s \) then \( \alpha^\vee \in 2\mathcal{R}_0^c \), which implies that \( x - s(x) \in 2\mathbb{Z}_+ \) for all \( x \in X \). This guarantees that the right-hand side of (11) is always an element of \( A_\Lambda \).)
Corollary 2.4. The center \( Z_\Lambda \) of \( H_\Lambda \) is the algebra \( Z_\Lambda = A_\Lambda^{W_0} \). For any \( q \in \mathbb{Q}_c \) the center of \( H(\mathcal{R}, q) \) is equal to the subalgebra \( Z = A_\Lambda^{W_0} \subset H(\mathcal{R}, q) \).

In particular \( H_\Lambda \) is a finite-type algebra over its center \( Z_\Lambda \), and similarly \( H(\mathcal{R}, q) \) is a finite-type algebra over its center \( Z \). The simple modules over these algebras are finite-dimensional complex vector spaces. The primitive ideal spectrum \( \hat{H}_\Lambda \) is a topological space which comes equipped with a finite continuous and closed map

\[
cc_\Lambda : \hat{H}_\Lambda \longrightarrow \hat{Z}_\Lambda = W_0 \setminus T \times \mathbb{Q}_c
\]

(12)
to the complex affine variety associated with the unital complex commutative algebra \( Z_\Lambda \).

The map \( cc_\Lambda \) is called the central character map. Similarly, we have central character maps

\[
cc_q : \hat{H}(\mathcal{R}, q) \longrightarrow \hat{Z}
\]

(13)
for all \( q \in \mathbb{Q}_c \).

We put \( T = \text{Hom}(X, \mathbb{C}^\times) \), the complex torus of characters of the lattice \( X \) equipped with the Zariski topology. This torus has a natural \( W_0 \)-action. We have \( \hat{Z} = W_0 \setminus T \) (the categorical quotient).

2.1.4. Two reduction theorems

The study of the simple modules over \( H(\mathcal{R}, q) \) is simplified by two reduction theorems which are much in the spirit of Lusztig’s reduction theorems in [Lu2]. The first of these theorems reduces to the case of simple modules whose central character is a \( W_0 \)-orbit of characters of \( X \) which are positive on the sublattice of \( X \) spanned by \( R_1 \) (see the explanation below). The second theorem reduces the study of simple modules of \( H(\mathcal{R}, q) \) with a positive central character in the above sense to the study of simple modules of an associated degenerate affine Hecke algebra with real central character. These results will be useful for our study of the discrete series characters.

First of all a word about terminology. The complex torus \( T \) has a polar decomposition \( T = T_vT_u \) with \( T_v = \text{Hom}(X, \mathbb{R}_{>0}) \) and \( T_u = \text{Hom}(X, S^1) \). The polar decomposition is the exponentiated form of the decomposition of the tangent space \( V = \text{Hom}(X, \mathbb{C}) \) of \( T \) at \( t = e \) as a direct sum \( V = V_r \oplus iV_r \) of real subspaces, where \( V_r = \text{Hom}(X, \mathbb{R}) \) and \( i \) here is the imaginary unit. The vector group \( T_v \) is called the group of positive characters and the compact torus \( T_u \) is called the group of unitary characters. This polar decomposition is compatible with the action of \( W_0 \) on \( T \). We call the \( W_0 \)-orbits of points in \( T_v \) “positive” and the \( W_0 \)-orbits of points in \( T_u \) “unitary”. In this sense we can speak of
the subcategory of finite-dimensional $H(\mathcal{R}, q)$-modules with positive central character\(^{(3)}\) which is a subcategory that plays an important special role.

**Definition 2.5.** Let $\mathcal{R}$ be a root datum and let $q \in \mathbb{Q} = \mathbb{Q}(\mathcal{R})$. For $s \in T_u$ we define $R_{s,0} = \{ \alpha \in R_0 : r_\alpha(s) = s \}$. Let $R_{s,1} \subset R_1$ be the set of non-multipliable roots corresponding to $R_{s,0}$. One checks that

$$R_{s,1} = \{ \beta \in R_1 : \beta(s) = 1 \}.$$  \hfill (14)

Let $R_{s,1,+} \subset R_{s,1}$ be the unique system of positive roots such that $R_{s,1,+} \subset R_{1,+}$, and let $F_{s,1}$ be the corresponding basis of simple roots of $R_{s,1}$. Then the isotropy group $W_s \subset W_0$ of $s$ is of the form

$$W_s = W(R_{s,1}) \rtimes \Gamma_s,$$  \hfill (15)

where $\Gamma_s = \{ w \in W_s : w(R_{s,1,+}) = R_{s,1,+} \}$ is a group acting through diagram automorphisms on the based root system $(R_{s,1}, F_{s,1})$.

We form a new root datum $\mathcal{R}_s = (X, R_{s,0}, Y, R_{s,0}^\vee, F_{s,0})$ and observe that $R_{nr,s} \subset R_{nr}$. Hence we can define a surjective map $\mathbb{Q}(\mathcal{R}) \rightarrow \mathbb{Q}(\mathcal{R}_s)$ (denoted by $q \mapsto q_s$) by restriction of the corresponding parameter function on $R_{nr}^\vee$ to $R_{nr,s}^\vee$.

Let $t = cs \in T_uT_u$ be the polar decomposition of an element $t \in T$. We define $W_0(t) \subset W_s$ for the subgroup defined by

$$W_0(t) = \{ w \in W_s : wt \in W(R_{s,1})t \}. \hfill (16)$$

Observe that $W_0(t)$ is the semidirect product $W_0(t) = W(R_{s,1}) \rtimes \Gamma(t)$, where

$$\Gamma(t) = \Gamma_s \cap W_0(t). \hfill (17)$$

Let $M_{W_0(t)} \subset \mathcal{Z}$ denote the maximal ideal of $\mathcal{A}$ of elements vanishing at $W_0(t) \subset T$, and let $\bar{\mathcal{Z}}$ be the $M_{W_0(t)}$-adic completion of $\mathcal{Z}$. We define

$$\bar{\mathcal{A}} = \mathcal{A} \otimes_{\mathcal{Z}} \bar{\mathcal{Z}}. \hfill (18)$$

By the Chinese remainder theorem we have

$$\bar{\mathcal{A}} = \bigoplus_{t' \in W_0(t)} \bar{\mathcal{A}}_{t'}, \hfill (19)$$

\(^{(3)}\) In several prior publications [HO1], [HO2], [Op1], [Op2], [Op3] the central characters in $W_0 \setminus T_u$ were referred to as “real central characters”, where “real” should be understood as “infinitesimally real”. In the present paper however we change the terminology and speak of “positive central characters” instead.
where $\hat{A}_{t'}$ denotes the formal completion of $A$ at $t' \in T$. Let $1_{t'}$ denote the unit of the summand $A_{t'}$ in this direct sum decomposition. We consider the formal completion
\[ \hat{H}(R, q) = H(R, q) \otimes \mathbb{Z} \]
(20)
On the other hand, we consider the affine Hecke algebra $H(R_s, q_s)$ and its commutative subalgebra $A_s$ (as defined before when discussing the Bernstein basis) and center $Z_s = A_s^{W(R_s)\setminus 1}$. Let $m_{W(R_s)}t$ be the maximal ideal in $Z_s$ of elements vanishing at the orbit $W(R_s)\setminus 1 \cdot t = sW(R_s)\setminus 1 \cdot c$. Let $\hat{Z}_s$ and $\hat{H}(R_s, q_s)$ be the corresponding formal completions as before.

The group $\Gamma(t)$ acts on $\hat{H}(R_s, q_s)$ and on its center $\hat{Z}_s$. We note that there exists a canonical isomorphism
\[ \mathbb{Z} \xrightarrow{\gamma} \mathbb{Z}^\Gamma(t). \]
(21)
As before we define a localization
\[ \hat{H}(R_s, q_s) = H(R_s, q_s) \otimes \mathbb{Z} \]
(22)
Let $e_t \in \hat{A} \subset \hat{H}(R, q)$ be the idempotent defined by
\[ e_t = \sum_{t' \in W(R_s)\setminus 1} 1_{t'}. \]
(23)

**Theorem 2.6.** (“First reduction theorem”; see [Lu2, Theorem 8.6]) Let $q \in \mathbb{Q}$ and let $t \sim c s$ be the polar decomposition of an element $t \in T$. Let $n$ be the cardinality of the orbit $W_0 t$ divided by the cardinality of the orbit $W(R_s)\setminus 1 \cdot t$. Using the notation introduced above, there exists an isomorphism of $\mathbb{Z}$-algebras
\[ (\hat{H}(R_s, q_s) \rtimes \Gamma(t))_{n \times n} \xrightarrow{\gamma} \hat{H}(R, q). \]
(24)
Via this isomorphism the idempotent $e_t \in \hat{H}(R, q)$ corresponds to the $n \times n$-matrix with 1 in the upper left corner and 0's elsewhere. Hence the $\mathbb{Z}$-algebras
\[ \hat{H}(R, q) \text{ and } \hat{H}(R_s, q_s) \rtimes \Gamma(t) \]
are Morita equivalent. In particular the set of simple modules $U$ of $\mathcal{H}(R, q)$ with central character $W_0 t$ corresponds bijectively to the set of simple modules $V$ of $\mathcal{H}(R_s, q_s) \rtimes \Gamma(t)$ with central character $W_0(s \cdot t = W(R_s)\setminus 1 \cdot t$, where the bijection is given by $U \mapsto e_t U$.

**Proof.** The proof is a straightforward translation of Lusztig’s proof of [Lu2, Theorem 8.6]. We replace the equivalence relation that Lusztig defines on the orbit $W_0 t$ by the equivalence relation induced by the action of $W(R_s)\setminus 1$ (i.e. the equivalence classes are the orbits of $W(R_s)\setminus 1$ in $W_0 t$; in other words, the role of the subgroup $J(v_0) \subset T$ in Lusztig’s setup is now played by the vector subgroup $T_e$). After this change the rest of the proof is identical to Lusztig’s proof. \qed
The second reduction theorem gives a bijection between simple modules of affine Hecke algebras with central character $W_0t$ satisfying $\alpha(t) > 0$ for all $\alpha \in R_1$ and simple modules of an associated degenerate affine Hecke algebra with a real central character. We first need to define the appropriate notion of the associate degenerate affine Hecke algebra.

Let $\mathcal{R} = (X, R_0, Y, R_0^\vee, F_0)$ be a root datum, let $q \in \mathcal{Q}$, and let $W_0t \in W_0 \setminus T$ be a central character such that for all $\alpha \in R_1$ we have $\alpha(t) \in \mathbb{R}_{>0}$. Then the polar decomposition of $t$ has the form $t = uc$ with $u \in T_u$ being a $W_0$-invariant character of $X$ and with $c \in T_v$ being a positive character of $X$. Observe that $\beta(u) = 1$ if $\beta \in R_0 \cap R_1$ and $\beta(u) = \pm 1$ if $\beta \in R_0 \cap 1/2 R_1$. We define a $W_0$-invariant real parameter function $k_u: R_1 \to \mathbb{R}$ by the following prescription. If $\alpha \in R_1$, we put

$$k_{u, \alpha} = \begin{cases} \log q_0^2, & \text{if } \alpha \in R_0 \cap R_1, \\ \log q_0^2 q_2^{1/\alpha}, & \text{if } \alpha = 2\beta, \text{ with } \beta \in R_0 \text{ and } \beta(u) = 1, \\ \log q_0^2, & \text{if } \alpha = 2\beta, \text{ with } \beta \in R_0 \text{ and } \beta(u) = -1. \end{cases}$$

**Definition 2.7.** We define the degenerate affine Hecke algebra $\mathbf{H}(R_1, V, F_1, k)$ associated with the root system $R_1 \subset V^*$ where $V = \mathbb{R} \otimes \mathbb{Z} Y$ and the parameter function $k$ as follows. We put $P(V)$ for the polynomial algebra on the vector space $V$. The Weyl group $W_0$ acts on $P(V)$ and we denote the action by $w \cdot f = f^w$. Then $\mathbf{H}(R_1, V, F_1, k)$ is simultaneously a left $P(V)$-module and a right $\mathbb{C}[W_0]$-module, and as such it has the structure $\mathbf{H}(R_1, V, F_1, k) = P(V) \otimes \mathbb{C}[W_0]$. We identify $P(V) \otimes e \subset \mathbf{H}(R_1, V, F_1, k)$ with $P(V)$ and $1 \otimes \mathbb{C}[W_0] \subset \mathbf{H}(R_1, V, F_1, k)$ with $\mathbb{C}[W_0]$ so that we may write $f w$ instead of $f \otimes w$ if $f \in P(V)$ and $w \in W_0$. The algebra structure of $\mathbf{H}(R_1, V, F_1, k)$ is uniquely determined by the cross relation (with $f \in P(V)$, $\alpha \in F_1$ and $s = s_\alpha \in S_1$):

$$fs - sf^s = k_\alpha \frac{f - f^s}{\alpha}.$$  

(26) It is easy to see that the center of $\mathbf{H}(R_1, V, F_1, k)$ is equal to the algebra $\mathbf{Z} = P(V)^{W_0} \subset \mathbf{H}(R_1, V, F_1, k)$. The vector space $V_\mathbf{c} = \mathbb{C} \otimes V$ can be identified with the Lie algebra of the complex torus $T$. Let $\text{exp}: \mathbf{V}_c \to T$ be the corresponding exponential map. It is a $W_0$-equivariant covering map which restricts to a group isomorphism $V \to T_v$ of the real vector space $V$ to the vector group $T_v$.

**Theorem 2.8.** (“Second reduction theorem”; see [Lu2, Theorem 9.3]) Let $\mathcal{R} = (X, R_0, Y, R_0^\vee, F_0)$ be a root datum with parameter function $q \in \mathcal{Q} = \mathcal{Q}(\mathcal{R})$. Let $V_0 \subset V$ be the subspace spanned by $R_0^\vee$. Given $t \in T$ such that $\alpha(t) > 0$ for all $\alpha \in R_1$, we let $\xi = \xi_\xi \in V_0$ be the unique element such that $\alpha(t) = e^{\alpha(t)}$ for all $\alpha \in R_1$. It is easy to see that the map $t \mapsto \xi = \xi_t$ is $W_0$-equivariant; in particular the image of $W_0t$ is equal to $W_0\xi$.  


Let \( t = uc \) be the polar decomposition of \( t \). Then \( u \in T_u \) is \( W_0 \)-invariant, and we define a \( W_0 \)-invariant parameter function \( k_u \) on \( R_1 \) by (25). Let \( \widehat{Z} \) be the formal completion of the center \( Z \) of \( H(R_1, V, F_1, k_u) \) at the orbit \( W_0 \xi \). Let \( P = P(V) \) and put \( \widehat{P} = P \otimes_{Z} \widehat{Z} \) and \( \widehat{H}(R_1, V, F_1, k_u) = H(R_1, V, F_1, k_u) \otimes_{Z} \widehat{Z} \). There exist natural compatible isomorphisms of algebras \( Z \to \widehat{Z} \), \( A \to \widehat{P} \) and \( \widehat{R}(\mathbb{R}, q) \to \widehat{H}(R_1, V, F_1, k_u) \).

**Proof.** This is a straightforward translation of the proof of [Lu2, Theorem 9.3].

**Corollary 2.9.** The set of simple modules of \( \mathcal{H}(\mathbb{R}, q) \) with central character \( W_0 \xi \) (satisfying the above condition that \( \alpha(t) > 0 \) for all \( \alpha \in R_1 \)) and the set of simple modules of \( H(R_1, V, F_1, k_u) \) with central character \( W_0 \xi \) (as described in Theorem 2.8) are in natural bijection.

Combining the two reduction theorems we finally obtain the following result (see [Lu2, §10]).

**Corollary 2.10.** For all \( s \in T_u \) the center of \( H(R_{s,1}, V, F_{s,1}, k_s) \times \Gamma(t) \) is equal to \( Z^{\Gamma(t)} \). If \( t \in T \) is arbitrary with polar decomposition \( t = sc \), then the set of simple modules of \( \mathcal{H}(\mathbb{R}, q) \) with central character \( W_0 \xi \) is in natural bijection with the set of simple modules of \( H(R_{s,1}, V, F_{s,1}, k_s) \times \Gamma(t) \) with the real central character \( W_0 \xi \). Here \( \xi \in V \) is the unique vector in the real span of \( R_{s,1}^* \) such that \( \alpha(t) = e^{\alpha(\xi)} \) for all \( \alpha \in R_{s,1} \), \( k_s \) is the real parameter function on \( R_{s,1} \) associated with \( q_u \) described by (25), and \( \Gamma(t) \) is the group defined by (17).

### 2.2. Harmonic analysis for affine Hecke algebras

#### 2.2.1. The Hilbert algebra structure of the Hecke algebra

Let \( \mathcal{R} \) be a based root datum and \( q \in \mathcal{Q} \) be a positive parameter function for \( \mathcal{R} \). We turn \( \mathcal{H} = \mathcal{H}(\mathbb{R}, q) \) into a *-algebra using the conjugate linear anti-involution \( \ast : \mathcal{H} \to \mathcal{H} \) defined by \( N_w^{-1} = N_w \). We define a trace \( \tau : \mathcal{H} \to \mathbb{C} \) by \( \tau(N_w) = \delta_{w,e} \). This defines a Hermitian form \( \langle x, y \rangle := \tau(x^* y) \) with respect to which the basis \( N_w \) is orthonormal. In particular \( \langle \cdot, \cdot \rangle \) is positive definite. In fact it is easy to show [Op1] that this Hermitian inner product defines the structure of a Hilbert algebra on \( \mathcal{H} \). Let \( L^2(\mathcal{H}) \) be the Hilbert space completion of \( \mathcal{H} \) and \( \lambda : \mathcal{H} \to B(L^2(\mathcal{H})) \) the left regular representation of \( \mathcal{H} \). Let \( \mathcal{C} := C^*(\mathcal{H}) \) be the \( C^* \)-algebra completion of \( \lambda(\mathcal{H}) \) inside \( B(L^2(\mathcal{H})) \). It is called the (reduced) \( C^* \)-algebra of \( \mathcal{H} \). It is not hard to show that \( \mathcal{C} \) is unital, separable and liminal, which implies that the spectrum \( \widehat{\mathcal{C}} \) of \( \mathcal{C} \) is a compact \( T_1 \) space with countable base which contains an open dense Hausdorff subset. The trace \( \tau \) extends to a finite tracial state \( \tau \) on \( \mathcal{C} \). In this situation (see [Op1, Theorem 2.25]) there exists a unique positive Borel measure \( \mu_{\text{pl}} \) on \( \widehat{\mathcal{C}} \) such
that for all \( h \in \mathcal{H} \),
\[
\tau = \int_{\hat{\mathcal{C}}} \chi_\pi \, d\mu_{Pl}(\pi).
\]  
(27)

Since \( \tau \) is faithful, it follows that the support of \( \mu_{Pl} \) is equal to \( \hat{\mathcal{C}} \).

**Definition 2.11.** We call the measure \( \mu_{Pl} \) the Plancherel measure of \( \mathcal{H} \).

**Definition 2.12.** An irreducible \( * \)-representation \((V, \pi)\) of the involutive algebra \( \mathcal{H} \) is called a discrete series representation of \( \mathcal{H} \) if \((V, \pi)\) extends to a representation (also denoted \((V, \pi)\)) of \( \mathfrak{C} \) which is equivalent to a subrepresentation of the left regular representation of \( \mathfrak{C} \) on \( L^2(\mathcal{H}) \). In this case the finite trace \( \chi_\pi \) defined by \( \chi_\pi(x) = \text{Tr}_V(\pi(x)) \) is called an irreducible discrete series character.

We have seen that an irreducible representation \((V, \pi)\) of \( \mathcal{H} \) is finite-dimensional. In particular its character \( \chi_\pi \) is a well-defined linear functional on \( \mathcal{H} \). We call \( \chi_\pi \) an irreducible character of \( \mathcal{H} \). Clearly the character of a finite-dimensional representation of \( \mathcal{H} \) only depends on the equivalence class of the underlying representation. The irreducible characters of a set of mutually inequivalent irreducible representations of \( \mathcal{H} \) are linearly independent (see [Op1, Corollary 2.11]). Hence the equivalence class of a finite-dimensional semisimple representation is completely determined by its character.

**Definition 2.13.** We denote by \( \Delta(\mathcal{R}, q) \) the set of irreducible discrete series characters of \( \mathcal{H}(\mathcal{R}, q) \). For each irreducible character \( \chi \in \Delta(\mathcal{R}, q) \) we choose and fix an irreducible discrete series representation \((V, \delta)\) of \( \mathcal{H} \) such that \( \chi = \chi_\delta \) (by abuse of language, we will often identify the set of irreducible discrete series characters and (the chosen set of representatives of) the set of equivalence classes of irreducible discrete series representations).

The following criterion for an irreducible representation \((V, \pi)\) of \( \mathcal{H} \) to belong to the discrete series follows from a general result of Dixmier (see [Op1]).

**Corollary 2.14.** \((V, \pi)\) is a discrete series representation if and only if
\[
\mu_{Pl}(\{\pi\}) > 0.
\]

**Corollary 2.15.** (See [Op1, Proposition 6.10]) There is a one-to-one correspondence between the set of irreducible discrete series characters \( \chi_\delta \) and the set of primitive central Hermitian idempotents \( e_\delta \in \mathfrak{C} \) of finite rank. The correspondence is such that \( \tau(e_\delta x) = \mu_{Pl}(\{\delta\}) \chi_\delta(x) \) for all \( x \in \mathcal{H} \).

**Corollary 2.16.** (See [Op1, Proposition 6.10]) \((V, \pi)\) is a discrete series representation if and only if \( \{[\pi]\} \subset \hat{\mathfrak{C}} \) is a connected component of \( \hat{\mathfrak{C}} \). In particular, the number of irreducible discrete series characters is finite.
2.2.2. The Schwartz algebra

We define a nuclear Fréchet algebra \( S = \mathcal{S}(\mathbb{R}, q) \) (the Schwartz algebra) which plays a pivotal role in the spectral theory of the trace \( \tau \) on \( \mathcal{H} \).

**Definition 2.17.** We choose once and for all a \( W_0 \)-invariant inner product \( \langle \cdot, \cdot \rangle \) on the vector space \( V^* := \mathbb{R} \otimes X \), which takes integral values on \( X \times X \).

Let \( V_0^* \) be the real vector space spanned by \( R_0 \). Its orthocomplement is the vector space \( V_Z^* = \mathbb{R} \otimes Z \) spanned by the center \( Z \) of \( W \). Given \( \phi \in V^* \) we decompose \( \phi = \phi_0 + \phi_Z \) with respect to the orthogonal decomposition \( V^* = V_0^* \oplus V_Z^* \).

**Definition 2.18.** We define a norm \( \mathcal{N} : W \rightarrow \mathbb{R}_+ \) on \( W \) as follows: if \( w \in W \) we put
\[
\mathcal{N}(w) = l(w) + \|w(0)\|_Z.
\] (28)

Next we define seminorms \( p_n : \mathcal{H} \rightarrow \mathbb{R}_+ \) on \( \mathcal{H} \) by
\[
p_n(h) := \max_{w \in W} (1 + \mathcal{N}(w))^n |(N_w, h)|.
\] (29)

**Definition 2.19.** The Schwartz algebra \( S \) of \( \mathcal{H} \) is the completion of \( \mathcal{H} \) with respect to the system of seminorms \( p_n \) with \( n \in \mathbb{N} \).

**Theorem 2.20.** ([Op1], [So]; see Appendix A) The completion \( S \) is a Fréchet algebra which is continuously and densely embedded in \( \mathcal{C} \).

**Remark 2.21.** The Fréchet algebra \( S \) is independent of the choice made in Definition 2.17. \( S \) is also independent of \( q \in \mathcal{Q} \) as a Fréchet space.

**Definition 2.22.** A finite-dimensional representation of \( \mathcal{H} \) is called tempered if it has a continuous extension to \( S \).

The Fréchet algebra structure of \( S \) depends on \( q \in \mathcal{Q} \). The basic Theorem 2.20 was first proven in [Op1] using some qualitative analysis on the spectrum of \( \mathcal{C} \); the proof in [So] is more direct and uses an elementary but non-trivial result due to Lusztig [Lu1] on the multiplication table of \( \mathcal{H} \) with respect to the basis \( N_w \). The latter proof also reveals the following important fact with respect to the dependence of \( q \in \mathcal{Q} \).

**Theorem 2.23.** ([So]; see Appendix A) The dense subalgebra \( S \subset \mathcal{C} \) is closed for holomorphic calculus (see also [DO, Corollary 5.9]). The holomorphic calculus is continuous on \( S \times \mathcal{Q} \) in the following sense. Let \( U \subset \mathbb{C} \) be an open set. The set \( V_U \subset S \times \mathcal{Q} \) defined by \( V_U = \{(x, q): \text{Sp}(x, q) \subset U\} \) is open. For any holomorphic function \( f : U \rightarrow \mathbb{C} \) the map \( V_U \ni (x, q) \mapsto f(x, q) \in S \) is continuous.
The following result shows the fundamental role of $S$ for the spectral theory of $\tau$.

**Theorem 2.24.** ([DO, Corollary 4.4]) The support of $\mu_{P_1}$ consists precisely of the set of equivalence classes of irreducible tempered representations of $\mathcal{H}$.

In particular the discrete series representations are tempered. There are various characterizations of tempered representations and of discrete series representations. Casselman’s criterion is the following characterization.

**Theorem 2.25.** (Casselman’s criterion, see [Op1, Lemma 2.22]) Let $(V, \delta)$ be an irreducible representation of $\mathcal{H}$. The following are equivalent:

1. $(V, \delta)$ is a discrete series representation of $\mathcal{H}$;
2. All matrix coefficients of $(V, \delta)$ belong to $L^2(\mathcal{H})$;
3. The character $\chi_\delta$ of $(V, \delta)$ belongs to $L^2(\mathcal{H})$;
4. All generalized $A$-weights in $V$ satisfy $|x(t)| < 1$ for all $x \in X^+ \setminus \{0\}$;
5. For every matrix coefficient $m$ of $\delta$ there exist constants $C, \epsilon > 0$ such that $|m(N_w)| < Ce^{-\epsilon N(w)}$ for all $w \in W$;
6. The character $\chi_\delta$ of $(V, \delta)$ belongs to $S$.

**Corollary 2.26.** An irreducible representation $(V, \delta)$ of $\mathcal{H}$ is an irreducible discrete series representation if and only if $(V, \delta)$ is afforded by a central primitive idempotent $e_\delta \in S$ (see Corollary 2.15).

**Corollary 2.27.** The set $\Delta(\mathcal{R}, q)$ is non-empty only if $\mathcal{R}$ is semisimple.

Casselman’s criterion for discrete series in terms of the generalized $A$-weights can be transposed to define the notion of discrete series modules over a crossed product $H(R_1, V, F_1, k, \Gamma)$ of a degenerate affine Hecke algebra $H(R_1, V, F_1, k)$ with a real parameter function $k$ and a finite group $\Gamma$ acting by diagram automorphisms of $(R_1, F_1)$. (Thus, a simple module $(U, \delta)$ is a discrete series representation if and only if the generalized $P$-weights in $U$ are in the interior of the antidual cone $(\subset V)$ of the simplicial cone spanned by $F_1$.) It is clear that this definition is compatible with the bijections afforded by the two reduction theorems (Theorems 2.6 and 2.8). Hence we obtain the following consequence from Corollary 2.10.

**Corollary 2.28.** Let $t \in T$ with polar decomposition $t = sc$. The set $\Delta_{W_0t}$ of equivalence classes of irreducible discrete series representations of $\mathcal{H}(\mathcal{R}, q)$ with central character $W_0\xi$ is in natural bijection with the set of equivalence classes of irreducible discrete series representations of $H(R_{s,1}, V, F_{s,1}, k_s) \times \Gamma(t)$ with the real central character $W_s\xi$. Here $\xi \in V$ is the unique vector in the real span of $R_{s,1}^\vee$ such that $\alpha(t) = e^{\alpha(\xi)}$ for all $\alpha \in R_{s,1}$, $k_s$ is the real parameter function on $R_{s,1}$ described by (25), and $\Gamma(t)$ is the group of diagram automorphisms of $(R_{s,1}, F_{s,1})$ of (17).
Corollary 2.29. If $\Delta W_\alpha \neq \emptyset$ then the polar decomposition $t = sc$ of $t$ has the property that $R_{s,1} \subset R_1$ is a root subsystem of maximal rank.

If $s = u \in T_u$ is $W_0$-invariant (i.e. if $\alpha(u) = 1$ for all $\alpha \in R_1$) then we obtain the following result from Corollary 2.28.

Corollary 2.30. Let $u \in T_u$ be $W_0$-invariant and let $c \in T_v$. There is a natural bijection between the set $\Delta(\mathcal{R}, q)_{uW_0c}$ of irreducible discrete series characters of $\mathcal{H}(\mathcal{R}, q)$ with central character of the form $uW_0c \subset W_0 \setminus T$ and the set of irreducible discrete series characters of $\mathcal{H}(R_1, V, F_1, k_u)$ with the real infinitesimal central character $W_0 \log c$.

It is not hard to show that the central character of an irreducible discrete series character of $\mathcal{H}(R_1, V, F_1, k_u)$ is real (see [Sl1, Lemma 1.3.4]). Hence the previous corollary in particular says the following.

Corollary 2.31. Let $u \in T_u$ be $W_0$-invariant. There is a natural bijection between the set $\Delta^u(\mathcal{R}, q)$ of irreducible discrete series characters of $\mathcal{H}(\mathcal{R}, q)$ with a central character of the form $uW_0c$ with $c \in T_v$ on the one hand, and the set $\Delta^H(R_1, V, F_1, k_u)$ of irreducible discrete series characters of $\mathcal{H}(R_1, V, F_1, k_u)$ on the other hand. In this bijection the correspondence of the central characters is as described above.

We can use Corollary 2.28 to reduce the general classification problem of the irreducible discrete series characters of $\mathcal{H}(\mathcal{R}, q)$ for any semisimple root datum $\mathcal{R}$ to the case of discrete series characters of a degenerate affine Hecke algebra as well, but we have to pay the price of having to deal with crossed products by certain groups of diagram automorphisms. In order to deal with the crossed products, one has to resort to Clifford theory (cf. [RR]).

Corollary 2.26 gives us yet another characterization of the irreducible discrete series representations.

Theorem 2.32. Let $(V, \delta)$ be a simple module over $\mathcal{H}$. The following are equivalent:
(1) $(V, \delta)$ is a discrete series representation of $\mathcal{H}$;
(2) $(V, \delta)$ extends to a projective $S$-module.

2.2.3. The Euler–Poincaré pairing and elliptic characters

We recall the main result of [OS].

Theorem 2.33. The affine Hecke algebra $\mathcal{H} = \mathcal{H}(\mathcal{R}, q)$ has global homological dimension equal to the rank of $X$. If $U$ and $V$ are finite-dimensional tempered $\mathcal{H}$-modules, then for all $i$ we have $\text{Ext}^i_{\mathcal{H}}(U, V) \cong \text{Ext}^i_{\mathcal{H}}(U, V)$. 
Define the Euler–Poincaré pairing on the (complexified) Grothendieck group \( G(\mathcal{H}) \) of finite-dimensional virtual characters by sesquilinear extension from the formula

\[
\text{EP}_H(U, V) := \sum_{i=0}^\infty (-1)^i \dim(\text{Ext}_H^i(U, V)).
\]  

(30)

It can be seen that this defines a Hermitian positive semidefinite pairing on \( G(\mathcal{H}) \) ([OS, Theorem 3.5]). The above result combined with Theorem 2.32 implies the following result.

**Corollary 2.34.** The irreducible discrete series characters of \( \mathcal{H} \) form an orthonormal set with respect to \( \text{EP}_H \) and are orthogonal to all irreducible tempered characters that are not in the discrete series.

Another crucial result of [OS] says that \( \text{EP}_H \) factors through the quotient \( \text{Ell}(\mathcal{H}) \) of \( G(\mathcal{H}) \) by the subspace spanned by all the properly induced finite-dimensional tempered characters. Then \( \text{Ell}(\mathcal{H}) \) is a finite-dimensional \( \mathbb{Z} \)-module, equipped with a positive semi-definite Hermitian pairing \( \text{EP}_H \) with respect to which elements with a disjoint support on \( W_0 \backslash T \) are orthogonal. Let \( \text{Ell}_{W_0t}(\mathcal{H}) \) be the \( \mathbb{Z} \)-submodule corresponding to \( W_0t \).

There exists a scaling map \( \tilde{\sigma}_0: G(\mathcal{H}) \to G(W) \) (see [OS, Theorem 1.7]) which descends to a map

\[
\tilde{\sigma}_0: \text{Ell}(\mathcal{H}) \to \text{Ell}(W) = \text{Ell}(\mathbb{C}[W]).
\]

The finite-dimensional \( \mathbb{Z} \)-module \( \text{Ell}(W) \) can be described completely explicitly in terms of the elliptic characters of the isotropy groups \( W_t \) (with \( t \in T \)) for the action of \( W_0 \) on \( T \). The pairing \( \text{EP}_W \) on \( \text{Ell}(W) \) can be described in these terms as well, and it turns out that \( \text{EP}_W \) is positive definite on \( \text{Ell}(W) \) (for all these results, consult [OS, Chapter 3]). It turns out that \( \text{Ell}(W) \) is non-zero only if \( \mathcal{R} \) is semisimple, and that the support of \( \text{Ell}(W) \) as a \( \mathbb{Z} \)-module is contained in the set of orbits \( W_0s \) such that \( R_{s,1} \subset R_1 \) is of maximal rank. From [OS] we have the following result.

**Theorem 2.35.** (1) The map \( \tilde{\sigma}_0: \text{Ell}(\mathcal{H}) \to \text{Ell}(W) \) is isometric with respect to \( \text{EP}_H \) and \( \text{EP}_W \).

(2) For all \( t \in T \) we have \( \tilde{\sigma}_0(\text{Ell}_{W_0t}(\mathcal{H})) \subseteq \text{Ell}_{W_0s}(W) \), where \( t = sc \) with \( s \in T_u \) and \( c \in T_v \) is the polar decomposition of \( t \).

Combined with Corollary 2.34 we obtain the following upper bounds for the number of discrete series characters.
Corollary 2.36. For $s \in T_u$ let $W_s$ denote the isotropy group of $s$ in $W_0$. We call $w \in W_s$ elliptic if $s$ is an isolated fixed point of $w$. Let $\text{Ell}(W_s)$ be the number of conjugacy classes of $W_s$ consisting of elliptic elements of $W_s$. For $s \in T_u$ we denote by $\Delta^s(R, q) \subset \Delta(R, q)$ the subset consisting of the irreducible discrete series characters of $\mathcal{H}(R, q)$ whose central characters are $W_0$-orbits which are contained in the set $W_0 s T_v$.

Then $|\Delta^s(R, q)| \leq \text{Ell}(W_s)$.

2.3. The central support of tempered characters

In this section deformations in the parameters $q$ of the Hecke algebra play a fundamental role. Let us fix some notation and basic structures. Recall that we attach to a based root datum $\mathcal{R} = (X, R_0, Y, R^+_0, F_0)$ in a canonical way a parameter space $Q = Q(\mathcal{R})$. This parameter space is itself a vector group, defined as the space of length multiplicative functions $q : W \to \mathbb{R}_+$ with the additional requirement that $q|_\Omega = 1$.

The following proposition is useful in order to reduce statements about residual points to the case of simple root data.

Proposition 2.37. Let $\mathcal{R} = (X, R_0, Y, R^+_0, F_0)$ be a semisimple based root datum.

(i) Let $R_0 = R_0^{(1)} \times \ldots \times R_0^{(m)}$ be the decomposition of $R_0$ in irreducible components. We denote by $X^{(i)}$ the projection of the lattice $X$ onto $\mathbb{R} R_0^{(i)}$, and we define $\mathcal{R}^{(i)} = (X^{(i)}, R^{(i)}_0, Y^{(i)}, (R^{(i)}_0)^{\vee}, F^{(i)}_0)$ and $\mathcal{R}' = \mathcal{R}^{(1)} \times \ldots \times \mathcal{R}^{(m)}$. Then the natural inclusion $X \hookrightarrow X'$ defines an isogeny $\psi : \mathcal{R} \to \mathcal{R}'$ and if $Q^{(i)}$ denotes the parameter space of the root datum $\mathcal{R}^{(i)}$, then $\psi$ yields a natural identification $Q(\mathcal{R}) = Q(\mathcal{R}') = Q^{(1)} \times \ldots \times Q^{(m)}$.

(ii) We replace $X$ by the lattice $X^{\text{max}} = P(R_1)$, the weight lattice of $R_1$, and denote the resulting root datum by $\mathcal{R}^{\text{max}}$. Then $\mathcal{R}^{\text{max}}$ is a direct product of irreducible root data and there exists an isogeny $\psi : \mathcal{R} \to \mathcal{R}^{\text{max}}$ which yields a natural identification $Q(\mathcal{R}) = Q(\mathcal{R}^{\text{max}})$.

Proof. A length multiplicative function $q : W \to \mathbb{R}_+$ is determined by its restriction to the set of simple affine roots and this restriction is a function which is constant on the intersection of the $W$-orbits of affine roots intersected with the simple affine roots. Conversely every such function on the simple affine roots can be extended uniquely to a length multiplicative function on $W$. The group $\Omega \cong X/Q(R_0) \subset W$ of elements of length 0 acts on the set of simple affine roots by diagram automorphisms which preserve the components of the affine Dynkin diagram of the affine root system $R^a = R^a_0 \times \mathbb{Z}$. The action of $\Omega$ on the $i$th component factors through the action of $\Omega^{(i)} := X^{(i)}/Q(R^{(i)}_0)$. This proves (i). We also see by this that length multiplicative function $q \in Q(\mathcal{R})$ extends uniquely to a length multiplicative function for $W(\mathcal{R}^{\text{max}})$, since $\alpha^\vee \notin 2 Y$ for all $\alpha \in R^a_0$. The group $\Omega$ acts trivially on the $i$th component of $\mathcal{R}^{\text{max}}$ by the action of $\Omega^{(i)}$. This proves (ii).
with \( R' \) being an indecomposable summand which is not isomorphic to an irreducible root datum of type \( C_n^{(1)} \). This proves (ii).

Given a root datum \( R \) and a positive parameter function \( q \in \mathbb{Q}(R) \), we define the Macdonald \( c \)-function of the pair \((R, q)\). This is the rational function \( c \) on the torus \( T = \text{Hom}(X, \mathbb{C}^\times) \) defined by

\[
c = \prod_{\alpha \in R_1} c_\alpha, \quad (31)
\]

where \( c_\alpha \) is defined for \( \alpha \in R_1 \) by

\[
c_\alpha(t, q) := \frac{1 + q_\alpha^{-1} \alpha(t)^{-1/2} (1 - q_\alpha^{-1} q_\alpha^{-2} \alpha(t)^{-1/2})}{1 - \alpha(t)^{-1}}. \quad (32)
\]

Observe that the function \( c_\alpha \) is rational in \( t \) despite the appearance of the square root \( \alpha(t)^{1/2} \). Indeed, if \( \frac{1}{2} \alpha \notin X \) then we have \( q_\alpha^2 = 1 \), and the numerator simplifies to

\[
1 - q_\alpha^{-2} \alpha(t)^{-1}.
\]

The pole order at \( t=r \in T \) of the rational function

\[
X_\eta(t) := (c(t) c(t^{-1}))^{-1} \quad (33)
\]

is defined as follows. By definition \( \eta(t) \) is a product of rational functions of the form \( \eta_\alpha := (c_\alpha(t) c_\alpha(t^{-1}))^{-1} \), where \( \alpha \) runs over the set \( R_1 \). Let \( \beta \in R_0 \) be the unique root such that \( \alpha \) is a positive multiple of \( \beta \). Then \( \eta_\alpha \) is the pull back via \( \beta \) of a rational function \( g_\alpha \) on \( \mathbb{C}^\times \); we define the pole order of \( g_\alpha \) at \( r \) to be equal to minus the order of \( g_\alpha \) at \( \beta(r) \in \mathbb{C}^\times \). The pole order \( i_{\{r\}} \) of \( \eta \) at \( r \in T \) is defined as the sum of these pole orders.

**Theorem 2.38.** ([Op3, Theorem 6.1]) For any point \( r \in T \), the pole order \( i_{\{r\}} \) of \( \eta(t) \) at \( t=r \) is at most equal to the rank \( \text{rk}(R_0) \) of \( R_0 \).

**Definition 2.39.** We call \( r \in T \) a residual point of the pair \((R, q)\) if \( i_{\{r\}} = \text{rk}(X) \). The set of \((R, q)\)-residual points is denoted by \( \text{Res}(R, q) \).

In particular the set \( \text{Res}(R, q) \) is non-empty only if \( R \) is a semisimple root datum. The next result is trivial but it explains in conjunction with Proposition 2.37 how residual points for \( R \) can be expressed in terms of residual points of the simple factors of \( R^\text{max} \).

**Lemma 2.40.** Let \( R=(X, R_0, Y, R_0^\vee) \) be a semisimple root datum.

(i) Suppose that \( R \to R' \) is an isogeny which yields an identification \( Q = Q' \) (e.g. \( R'=R^\text{max} \) as in Proposition 2.37). For all \( q \in Q \) we have \( r' \in \text{Res}(R', q) \) if and only if \( r=r'|_X \in \text{Res}(R, q) \).
(ii) Suppose that $R = R^{(1)} \times \ldots \times R^{(m)}$ is a direct product of simple factors (e.g. if $R = R^{\text{max}}$ as in Proposition 2.37). Let $T = T^{(1)} \times \ldots \times T^{(m)}$ be the corresponding factorization of $T$ and let $Q(R) = Q^{(1)} \times \ldots \times Q^{(m)}$ be the corresponding factorization of $Q$.

For all $q = (q^{(1)}, \ldots, q^{(m)}) \in Q$ we have a natural bijection

$$\text{Res}(R, q) \approx -! \text{Res}(R^{(1)}, q^{(1)}) \times \ldots \times \text{Res}(R^{(m)}, q^{(m)})$$

such that $r \mapsto r^{(1)} \ldots r^{(m)}$ if and only if $r = r^{(1)} \ldots r^{(m)}$ with $r^{(i)} \in T^{(i)}$ for all $i = 1, \ldots, m$.

The following result is straightforward as well.

**Lemma 2.41.** Let $R$ be a semisimple root datum with root parameter function $q \in Q$. Let $r \in T$ with polar decomposition of the form $r = sc$. Let $R_s = (X, R_{s,0}, Y, R_{s,0}^\vee)$ be the root datum with the root parameters $q_s$ as in Definition 2.5. Then $r$ is an $(R, q)$-residual point if and only if $r$ is an $(R_s, q_s)$-residual point. In particular $R_s$ is semisimple in this case.

Let $L \subset T$ be a coset of a subtorus $T_L \subset T$. We decompose the product (33) as follows

$$\eta = \eta_L \eta^L,$$

where $\eta_L$ is the product of the factors $c_\alpha$, where $\alpha \in R_{L,1} \subset R_1$, the subset of $R_1$ consisting of the roots that are constant on $L$, and $\eta^L$ is the product over the remaining roots. We define the order $i_L$ of $\eta$ at $L$ as the order of $\eta_L$ at $L$, viewed as a point of the quotient torus $T/T_L$. Hence by Theorem 2.38 we have $i_L \leq \text{rk}(R_L)$ for all cosets $L$, and we give the following definition.

**Definition 2.42.** We call a coset $L \subset T$ a residual coset if $i_L = \text{codim}(L)$ (in particular, $L = T$ is residual). If we set $L = r T_L$, where $r \in T_L$, the subtorus such that $\text{Lie}(T_L)$ is the orthogonal complement of $\text{Lie}(T^L)$, then $L$ is residual if and only if $r$ is a residual point for the restriction of $\eta_L$ to $T_L$. We call $r$ a center of $L$ and we define the tempered part of $L$ to be $L_{\text{temp}} := r T_u^L$ (this is well defined).

Recall the following useful results for residual cosets.

**Proposition 2.43.** ([Op3, Lemma 4.1]) Let $L$ be a residual coset, $L \neq T$. Then there exists a residual coset $M \supset L$ such that $\dim M = \dim L + 1$.

From this result one proves easily by induction on the rank of $R_0$ (alternatively, it follows from Corollary 2.16 in view of Theorem 2.47) the following consequence.

**Theorem 2.44.** ([Op3, compare Theorem 1.1]) The set of residual points is finite.

We will also need the following results.
Theorem 2.45. ([Op3, Theorem 7.4])  Set \( t^* := \bar{t}^{-1} \). Then \( W_0(L_{\text{temp}})^* = W_0L_{\text{temp}} \).  

Theorem 2.46. ([Op3, Theorem 6.1])  If \( L \) and \( M \) are residual cosets of \( T \), with \( L \neq M \), then \( L_{\text{temp}} \not\subset M_{\text{temp}} \). Equivalently, the restriction of \( \eta^L \) to \( L_{\text{temp}} \) is smooth.

The relevance of the notion of residual cosets stems from the following result.

Theorem 2.47. ([Op1, Theorem 3.29], [Op3, Theorem 6.1])  An orbit \( W_0 \in W_0 \setminus T \) is the central character of a discrete series character of \( \mathcal{H}(\mathcal{R}, q) \) if and only if \( r \) is a residual point, and \( W_0r \) is the central character of a tempered character of \( \mathcal{H}(\mathcal{R}, q) \) if and only if \( r \in S(q) \), where

\[
S(q) = \bigcup_{L \text{ tempered}} L_{\text{temp}}.
\]  

Remark 2.48. As we have seen above, \( \text{Res}(\mathcal{R}, q) \neq \emptyset \) only if \( \mathcal{R} \) is semisimple. By Lemma 2.40 their classification reduces to the case of simple root data. The residual points for simple root data have been classified ([HO1, §4] and [Op1, Appendix A]), and various of the above properties of residual points and cosets were first proven by classification. In [Op3] most of these properties were proved conceptually (with the exception of [Op1, Theorem A.14 (iii) and Theorem A.18]). In this paper we will only use properties of residual points for which we know a classification-free proof unless stated otherwise.

2.4. Generic residual points

We will study the deformation of discrete series characters with respect to the parameter \( q \in \mathcal{Q} \). We begin by studying the dependence of the central characters on \( \mathcal{Q} \). We denote the set of all positive real parameter functions for \( \mathcal{R} \) by \( \mathcal{Q} = \mathcal{Q}(\mathcal{R}) \). Recall the following terminology.

Remark 2.49. We choose a base \( q > 1 \) and define \( f_s \in \mathcal{R} \) such that \( q(s) = q^{f_s} \) for all \( s \in S_{\text{aff}} \). We equip \( \mathcal{Q} \) in the obvious way with the structure of the vector group \( \mathbb{R}_N^+ \), where \( N \) denotes the number of \( W \)-conjugacy classes in \( S_{\text{aff}} \). Given a base \( q > 1 \) we identify \( \mathcal{Q} \) with the finite-dimensional real vector space of real functions \( s \mapsto f_s \) on \( S_{\text{aff}} \) which are constant on \( W \)-conjugacy classes. In this sense we speak of (linear) hyperplanes in \( \mathcal{Q} \) (this notion is independent of \( q \)). By a half line in \( \mathcal{Q} \) we mean a family of parameter functions \( q \in \mathcal{Q} \) in which the \( f_s \in \mathbb{R} \) are kept fixed and are not all equal to 0 and \( q \) is varying in \( \mathbb{R}_{>1} \).

As was remarked in [Op2], it follows easily from [Op1, Theorem A.7] that the residual points arise in generic \( \mathcal{Q} \)-families. Let us state and prove this result precisely.
Definition 2.50. A real-analytic function \( r: \mathbb{Q} \to T \) is called a *generic residual point* of \( \mathcal{R} \) if there exists an open, dense subset \( U \subset \mathbb{Q} \) such that the element \( r(q) \in \text{Res}(\mathcal{R}, q) \) for all \( q \in U \). The set of generic residual points of \( \mathcal{R} \) is denoted by \( \text{Res}(\mathcal{R}) \).

Definition 2.51. Let \( r \in \text{Res}(\mathcal{R}) \). We call \( q \in \mathbb{Q} \) an \( r \)-regular (or \( W_0 \)-regular) parameter if \( r(q) \in \text{Res}(\mathcal{R}, q) \). We denote by \( \mathbb{Q}^\text{reg}_{W_0} \subset \mathbb{Q} \) the subset of \( W_0 \)-regular parameters.

It is clear that \( \mathbb{Q}^\text{reg}_{W_0} \subset \mathbb{Q} \) is the complement of a closed real-analytic subset (for a more precise statement, see Theorem 2.60). This implies the following basic finiteness result.

**Proposition 2.52.** The set \( \text{Res}(\mathcal{R}) \) of generic residual points is finite and \( W_0 \)-invariant. This set is non-empty if and only if \( \mathcal{R} \) is semisimple.

**Proof.** Suppose that there exist infinitely many distinct generic residual \( \mathbb{Q} \)-families \( q \mapsto r(q) \). Choose countably infinitely many distinct residual families \( r_1, r_2, \ldots \). By Baire’s theorem we can choose \( q \in \mathbb{Q} \) such that the \( r_i(q) \) are all residual and mutually distinct. But by Theorem 2.44 there are at most finitely many residual points for \( q \), a contradiction. Hence the set \( \text{Res}(\mathcal{R}) \) is finite. The \( W_0 \)-invariance is clear. By Theorem 2.38 it follows that this set is empty if the rank of \( R_0 \) is not equal to the rank of \( X \).

For the converse, assume that \( \mathcal{R} \) is semisimple and consider the 1-dimensional representation \( N_w \mapsto q(w) \) of \( \mathcal{H}(\mathcal{R}, q) \). By Theorem 2.25, this is a discrete series representation whenever \( |q(s)| < 1 \) for all \( s \in S \). So, by Theorem 2.47, its \( X \)-character \( r(q) \) lies in \( \text{Res}(\mathcal{R}, q) \) for all such \( q \). Since the corresponding subset of \( \mathbb{Q} \) is Zariski-dense and \( r: \mathbb{Q} \to T \) is algebraic, it is a generic residual point. \( \square \)

### 2.4.1. Results on the reduction to simple root systems

The following result is useful to reduce statements about generic residual points to the case of simple root data.

**Lemma 2.53.** (i) Let \( \mathcal{R} \) and \( \mathcal{R}' \) be as in Lemma 2.40 (i). The restriction map \( r' \mapsto r'|_{\mathbb{Q} \times X} \) is a surjection \( \text{Res}(\mathcal{R}') \to \text{Res}(\mathcal{R}) \) with fibers of order \( |X'| : X | \).

(ii) Let \( \mathcal{R} \) be as in Lemma 2.40 (ii). Then we have a natural bijection

\[
\text{Res}(\mathcal{R}) \cong \text{Res}(\mathcal{R}^{(1)}) \times \ldots \times \text{Res}(\mathcal{R}^{(m)})
\]

such that \( r \mapsto (r^{(1)}, \ldots, r^{(m)}) \) if and only if \( r(q^{(1)}, \ldots, q^{(m)}) = r^{(1)}(q^{(1)} \ldots r^{(m)}(q^{(m)}) \) with \( r^{(i)}(q^{(i)}) \in T^{(i)} \) for all \( i = 1, \ldots, m \) and all \( q = (q^{(1)}, \ldots, q^{(m)}) \in \mathbb{Q} \).

(iii) Let \( \mathcal{R} \) be arbitrary semisimple and let \( \mathbb{Q} = \mathbb{Q}^{(1)} \times \ldots \times \mathbb{Q}^{(m)} \) be the decomposition of \( \mathbb{Q} = \mathbb{Q}(\mathcal{R}) \) as in Proposition 2.37 (i). Suppose that \( \mathbb{Q}' \subset \mathbb{Q} \) is a connected closed subgroup
of $Q$ such that for each $i=1,\ldots,m$ the projection $\pi_i: Q^i \to Q$ is surjective. Let $r': Q' \to T$ be real-analytic with the property that $r'(q') \in \text{Res}(R, q')$ for almost all $q' \in Q'$. Then there exists a unique $r \in \text{Res}(R)$ such that $r' = r|_{Q'}$.

**Proof.** The first two assertions are clear so let us look at (iii). Let

$$
\tilde{r}': Q' \to \text{Hom}(X_{\text{max}}, \mathbb{C}^\times) = T^{(1)} \times \cdots \times T^{(m)}
$$

be a lifting of $r'$. Choose homomorphisms $\phi_i: Q^{(i)} \to Q'$ such that $\pi_i \circ \phi_i = \text{id}_{Q^{(i)}}$ for all $i$. Lemma 2.40 implies that the map $\tilde{r}_i: Q^{(i)} \to T^{(i)}$ defined by $\tilde{r}_i(q^{(i)}) := (\tilde{r}'(\phi_i(q^{(i)})))^{(i)}$ is a generic residual point for $R^{(i)}$. Let $\tilde{r} \in \text{Res}(R)$ correspond to $(\tilde{r}_1, \ldots, \tilde{r}_m)$ (using the notation of (ii)). Then (i) implies that $r = \tilde{r}|_{Q \times X}$ meets the requirement. If $r_1$ also meets the requirement let $\tilde{r}_1$ be the unique lifting of $r_1$ to $\text{Res}(R)_{\text{max}}$ such that $\tilde{r}_1|_{Q'} = \tilde{r}'$. Then it is clear that for all $i$ we must have $\tilde{r}_1^{(i)} = \tilde{r}^{(i)}$. The uniqueness follows.

Recall the result of Lemma 2.41. We see that if $r = sc$ is a residual point then $s \in T_u$ belongs to the finite set of points with the property that $R_s$ is semisimple. In particular, if $r: Q \to T$ is a generic residual point then the unitary part $s$ of $r$ is independent of $q \in Q$ and $R_s$ is semisimple.

**Corollary 2.54.** Suppose that $R$ is semisimple and $s \in T_u$ is such that $R_s$ is semisimple. Let $\phi_s: Q(R) \to Q(R_s)$ denote the homomorphism $q \mapsto q_s$.

(i) Let $\text{Res}_s^s(R)$ denote the set of generic residual points $r$ with unitary part $s$. There exists a natural bijection

$$
\Phi_s: \text{Res}_s^s(R) \to \text{Res}_s^s(R_s),
$$

$$
r \mapsto r \circ \phi_s.
$$

(ii) Using the notation of Definition 2.5, we have a natural bijection

$$
\Phi_{W_0}^{W_s} : W_0 \backslash \text{Res}_{W_0}^{W_s}(R) \to \Gamma_s \backslash (W(R_{s,1}) \backslash \text{Res}_s^s(R_s)),
$$

$$
W_0 r \mapsto \Gamma_s W(R_{s,1})(r \circ \phi_s).
$$

Here $W_0 \backslash \text{Res}_{W_0}^{W_s}(R)$ denotes the set of $W_0$-orbits of generic residual points whose unitary part is $W_0 s$.

**Proof.** The image $Q' = \phi(Q) \subset Q_s$ satisfies the condition as in Lemma 2.53 (iii). The result (i) then follows from Lemmas 2.41 and 2.53 (iii). The assertion (ii) follows from (i) and Definition 2.5.

The previous corollary reduces the classification of the set $\text{Res}(R)$ to the classification of those elements $r \in \text{Res}(R)$ which are of the form $r = sc$, where $s$ is $W_0$-invariant. In this case we further reduce to the level of the degenerate Hecke algebra.
Definition 2.55. Let $R_1 \subseteq V^*$ be a semisimple, reduced root system and let $K$ be the space of $W_0$-invariant real-valued functions on $R_1$. We denote by $\text{Res}^{\text{lin}}(R_1)$ the set of linear maps $\xi : K \to V$ such that for almost all $k$ the point $\xi(k) \in V$ is $(R_1, k)$-residual in the sense of [HO1], i.e.
\begin{equation}
|\{ \alpha \in R_1 : \alpha(\xi(k)) = 0 \}| = |\{ \alpha \in R_1 : \alpha(\xi(k)) = k_\alpha \}| + \dim(V). \quad (38)
\end{equation}
We refer to this set as the set of generic linear residual points associated with the root system $R_1$.

Proposition 2.56. Let $R$ be semisimple and let $s \in T_u$ be $W_0$-invariant. Let $K$ be the vector space of real $W_0$-invariant functions on $R_1$, and given $q \in \mathcal{Q}$ let $k_s \in K$ be the $W_0$-invariant function on $R_1$ associated with $q$ by the formulas of equation (25). Let $r = sc$ be a generic $R$-residual point.

(i) There exists a unique generic linear residual point $\xi \in \text{Res}^{\text{lin}}(R_1)$ such that $\alpha(c(q)) = e^{\alpha(\xi(k_s))}$ for all $\alpha \in R_1$ and all $q \in \mathcal{Q}$ (where $k_s$ is related to $q$ as above). We express this relation between $r$ and $\xi$ by $r = s \exp(\xi)$.

(ii) This yields a $W_0$-equivariant bijection between $\text{Res}^{W_0}(R)$ and $\text{Res}^{\text{lin}}(R_1)$.

(iii) For all $q \in \mathcal{Q}$ we have that $r(q)$ is $(R, q)$-residual if and only if $\xi(k_s)$ is $(R_1, k_s)$-residual (in the sense of [HO1]).

(iv) The generic linear residual points $\xi$ are rational in the sense that $\alpha(\xi(k))$ is a rational linear combination of the values $k_\beta$ for all $\alpha \in R_1$.

Proof. The existence of $\xi$ is a special case of [Op1, Theorem A.7], and the uniqueness is clear since $R_1$ spans $V^*$. Similarly (ii) follows from [Op1, Theorem A.7]. The rationality of $\xi$ follows from the fact that the set of roots contributing to the pole order of $c$ at $r$ span a sublattice of $X$ of finite index as a consequence of Theorem 2.38.

The following reduction to simple root systems follows easily from the definitions:

Proposition 2.57. Let $R_1 = R_{1,1}, \ldots, R_{N,1}$ be the decomposition in simple root systems. Then $K = K_1 \times \ldots \times K_N$ and $\text{Res}^{\text{lin}}(R_1) = \text{Res}^{\text{lin}}(R_{1,1}) \times \ldots \times \text{Res}^{\text{lin}}(R_{N,1})$.

2.4.2. Rationality results for generic residual points

Nothing that follows in this paper depends on the results in this paragraph in any essential way, but these results simplify the notation and reveal certain basic facts. The proofs in this paragraph depend on the classification of positive generic residual points for irreducible root systems.
THEOREM 2.58. Let $\mathcal{R}$ be a semisimple root datum, and let $r: \mathcal{Q} \rightarrow T$ be a generic residual point of the form $r \equiv sc$. For all $x \in X$ the expression $x(s) \in \Lambda$ is a monomial in the generators $v(s)^{\pm 1}$ with $s \in S$. Here $v(s)$ is viewed as a function on $\mathcal{Q}$ by $(v(s))(q) := q(v(s))$. In other words, $r$ is (the restriction to $\mathcal{Q}$ of) a $\mathcal{Q}_r$-valued point of $T$.

Proof. Using Lemma 2.53 if suffices to show this for $\mathcal{R} = (X, R_0, Y, R_0^\vee, F_0)$ with $R_0$ irreducible and $X$ being the weight lattice of $R_1$. By Corollary 2.54, it suffices to consider the case where $s \in T$ is $W_0$-invariant. Then we are in the situation of Proposition 2.56. In terms of the rational linear function $\xi: K \rightarrow V$ of Proposition 2.56, the assertion amounts to showing that $2\xi$ is integral, i.e. $x(2\xi)$ is an integral linear combination of the functions $k_\beta$ (with $\beta \in R_1$) for all integral weights $x$. We call $\xi$ a generic residual point for $R_1$ (in the sense of [HO1]).

If $R_1 = A_n$ it is easy to see that $2\xi$ is integral (even for even $n$).

If $R_1 = B_n$ it suffices to remark that the integrality of $\xi$ with respect to the root lattice suffices since the index of the root lattice of $B_n$ is $n$. Hence if $\xi$ is residual for $C_n$ then $2\xi$ is integral with respect to the root lattice of $B_n$, which is equal to the weight lattice of $C_n$.

If $R_1$ is of type $D_n$ or $E_n$ we use that $\xi$ is integral with respect to the root lattice [Op1, Corollary B2]. In order to check the integrality of $2\xi$ with respect to the weight lattice one needs to check in addition the integrality of $x(2\xi)$ with respect to the minuscule fundamental weights. This is an easy verification using the explicit descriptions of the Bala–Carter diagrams of the distinguished parabolic subgroups in [Ca, §5.9] (see [Op1, Appendix B] for the explanation of the relation between residual points and Bala–Carter diagrams for the simply laced types) and Table 1 in [Hu, Chapter III, §13.2] expressing the fundamental weights in the simple roots. For $R_1 = D_n$ there are three minuscule fundamental weights to check, and for $R_1 = E_6$ there are two of these. For $E_7$ and $E_8$ the integrality of $\xi$ with respect to the root lattice suffices since the index of the root lattice in the weight lattice is at most 2.

For $F_4$ and $G_2$ the root lattice is equal to the weight lattice. In these cases the result follows simply from the tables in [HO1, §4].

We introduce the following notation.

Definition 2.59. Let $r = sc \in \text{Res}(\mathcal{R})$. Recall that for all $\alpha \in R_1$, $\alpha(r) = \alpha(s)\alpha(c)$ with $\alpha(s)$ being a root of unity and $\alpha(c)$ being a monomial in the variables $v_{\beta i}^{\pm 1}$ (with $\beta^i \in R_{\text{ad}}$)
as described above. We define

\[ R^+_r = \{ \alpha \in R_0 \cap R_1 : v^{\alpha} \alpha(r) - 1 = 0 \} \cup \{ 2\beta \in R_1 \setminus R_0 : v^{\beta/2} v^{\alpha} \beta(r) - 1 = 0 \}, \]
\[ R^-_r = \{ 2\beta \in R_1 \setminus R_0 : v^{\beta/2} \beta(r) + 1 = 0 \}, \]
\[ R^*_r = \{ \alpha \in R_1 : \alpha(r) - 1 = 0 \}, \]

and we define an element \( m_{W_0r} \in K(\Lambda) \) in the quotient field \( K(\Lambda) \) of \( \Lambda \) by (with \( w_0 \in W_0 \) being the longest element)

\[ m_{W_0r} := \frac{v(w_0)^{-2} \prod_{\alpha \in R_1 \setminus R^*_r} (\alpha(r)^{-1} - 1)}{\prod_{\alpha \in R_1 \setminus R^+_r \cup R^-_r} (v^{\alpha} \alpha(r)^{-1/2 + 1}) \prod_{\alpha \in R_1 \setminus R^+_r \cup R^-_r} (v^{\alpha} v^{\alpha} \alpha(r)^{-1/2 - 1})}. \] (39)

As before, if \( \alpha \in R_0 \cap R_1 \) then \( v^{2\alpha} = 1 \) and the corresponding terms in the denominator simplify to \( v^{2\alpha} \alpha(r)^{-1} - 1 \). Therefore, the expression is rational in the values \( \alpha(r) \) with \( \alpha \in R_0 \). Observe that the above definition of \( m_{W_0r} \) is indeed independent of the choice of \( r \) in the \( W_0 \)-orbit \( W_0r \), justifying the notation \( m_{W_0r} \).

**Theorem 2.60.** Let \( r \) be a generic residual point. We view the generators \( v(s) \) of \( \Lambda \) as functions on \( Q \) via \( v(s)(q) := q(v(s)) \) as before. The function \( m_{W_0r} \) is real-analytic on \( Q \). The set of \( r \)-regular points \( Q^{reg}_{W_0r} := \{ q \in Q : r(q) \in \text{Res}(R, q) \} \) of \( Q \) is the complement of the zero locus \( Q^{sing}_{W_0r} \) of \( m_{W_0r} \) in \( Q \). In particular, this set is the complement of a union of finitely many (rational) hyperplanes in \( Q \).

**Proof.** Since \( r(q) \) is generically residual it is clear that \( |R^+_r \cup R^-_r| = |R^*_r| = \text{rk}(X) \).

By Theorem 2.38, it is therefore clear that for all \( q \in Q \) the number of factors that are zero at \( q \) in the numerator of \( m_{W_0r} \) has to be at least equal to the number of factors that are zero at \( q \) in the denominator. This implies that \( m_{W_0r} \) is real-analytic on \( Q \), and that the zero locus of \( m_{W_0r} \) in \( Q \) is precisely the set of \( q \) such that \( r(q) \) is not residual. \( \square \)

**Definition 2.61.** Let \( q \in Q \). We define \( \text{Res}_q(\mathcal{R}) = \{ r \in \text{Res}(\mathcal{R}) : r(q) \in \text{Res}(\mathcal{R}, q) \} \). Thus \( \text{Res}_q(\mathcal{R}) \) is the set of generic residual points whose specialization at \( q \) is residual.

Let \( r = sc \in \text{Res}(\mathcal{R}) \). By Lemma 2.41, the evaluations \( x(s) \) with \( x \in X \) are roots of unity. Let \( K \supset Q \) be the Galois extension of \( Q \) generated by the values \( x(s) \) with \( x \in X \). Theorem 2.58 implies that for all \( x \in X \) we have \( x(\tilde{r}) \in K[v(s)^{\pm 1} : s \in S] \), the ring of Laurent polynomials in the variables \( v(s)^{\pm 1} \) (with \( s \in S \)) with coefficients in \( K \). Let \( \sigma \in \text{Gal}(K/Q) \). By the above, there is a canonical action \( r \mapsto \sigma(r) \) of \( \text{Gal}(K/Q) \) on \( \text{Res}(\mathcal{R}) \) characterized by \( x(\sigma(\tilde{r})) = \sigma(x(\tilde{r})) \) for all \( x \in X \), where \( \sigma \) on the right-hand side is acting on the coefficients of \( x(\tilde{r}) \in \Lambda \) (these are indeed elements of \( \Lambda \) with algebraic coefficients, by Lemma 2.41 and Theorem 2.58).
Proposition 2.62. Let $\mathcal{R}$ be a semisimple root datum.

(i) Let $r \in \text{Res}(\mathcal{R})$ and $\sigma \in \text{Gal}(K/Q)$. Then $\sigma(r)|_{Q(R_0)} \in W_0 r|_{Q(R_0)}$, where $Q(R_0) \subset X$ denotes the root lattice of $R_0$.

(ii) For all $r \in \text{Res}(\mathcal{R})$ we have $m_{W_0 r} = m_{W_0 r'}$, and in the situation of Lemma 2.53 (i), we have $m_{W_0 r} = m_{W_0 r'}$.

(iii) In the situation of Lemma 2.53 (ii), we have $m_{W_0 r} = m_{W_0 r'}$.

Proof. The first assertion follows from the proof of [Op1, Proposition 3.27]. Then (ii) follows from (i) by the fact that the assignment $r \mapsto m_{W_0 r}$ is $W_0$-invariant. The assertions of (iii) are trivial.

2.4.3. Deformation of residual points in the parameter $q$

The following result is very important: it says that all residual points are obtained from specialization of the generic residual points.

Proposition 2.63. Let $\mathcal{R}$ be a semisimple based root datum. The evaluation map $\text{ev}_q : \text{Res}_q(\mathcal{R}) \to \text{Res}(\mathcal{R}, q)$ given by $\text{ev}_q(r) = r(q)$ is surjective for all $q \in Q$.

Proof. We prove this fact by induction on the rank of $R_0$. If the rank of $R_0$ is 1, the assertion can be verified by an easy inspection. Assume that the result holds for all maximal proper parabolic subsystems of $R_0$. Let $r_0 \in T$ be a residual point for the parameter value $q_0 \in Q$. By Proposition 2.43, we know that there exists a residual line $L_0 = r_{L,0} T^L$, where $r_{L,0} \in T_L$ is a residual point for a proper maximal parabolic subsystem $R_L \subset R_0$ with the property that $r_0 \in L_0$. By the induction hypothesis, $L_0 = L(q_0)$ for a generic family of residual lines $L(q) = r_L(q) T^L$ (in other words, the $R_L$-residual point $r_{L,0}$ is the specialization of $r_{L,0} = r_L(q_0)$ at $q_0$ of a generic $R_L$ residual point $r_L$). By Theorem 2.38 and Definition 2.42, it follows easily that for each fixed $q \in Q$ such that $r_L(q)$ is residual, the rational function $\eta^L$ (see (35)) on $L(q)$ has poles of order at most 1 on $L(q)$, and $x \in L(q)$ is $(\mathcal{R}, q)$-residual if and only if $x$ is a pole of $\eta^L(\cdot, q)$. In particular $r_0$ is a simple pole of $\eta^L(\cdot, q_0)$. Considering the form of the factors in the denominator of $\eta^L$, this implies easily that $r_0$ is the specialization at $q = q_0$ of at least one $Q$-family of the form $q \mapsto r(q) \in L(q)$ such that $r(q)$ is residual for all $q$ in an open neighborhood of $q_0$. Hence $r \in \text{Res}_q(\mathcal{R})$ and $\text{ev}_{q_0}(r) = r(q_0) = r_0$ as desired.
Definition 2.64. Let \( R \) be a semisimple root datum and let \( r \in \text{Res}(R) \). We say that \( q \in Q^\text{reg}_{W_0r} \) is a \( r \)-generic (or \( W_0r \)-generic) parameter if for all \( r' \in \text{Res}(R) \) the equality \( W_0r'(q) = W_0r(q) \) implies that \( r' \in W_0r \). The set of \( r \)-generic parameters is denoted by \( Q^\text{gen}_{W_0r} \). We define the set \( Q^\text{gen} \) of generic parameters by \( Q^\text{gen} = \bigcap_{r \in \text{Res}(R)} Q^\text{gen}_{W_0r} \).

Proposition 2.65. Let \( R \) be a semisimple root datum. For all \( r \in \text{Res}(R) \) the set \( Q^\text{gen}_{W_0r} \) is the complement of a finite collection of rational hyperplanes in \( \mathbb{Q} \).

Proof. This follows easily from Corollary 2.54 and Proposition 2.56.

The proof of the following important proposition depends on the classification of residual points.

Proposition 2.66. Recall that the central support of the set of tempered irreducible characters of \( \mathcal{H}(R,q) \) is given by the union \( S(q) = \bigcup_L L^\text{temp} \) (union over the set of \( (R,q) \)-residual cosets \( L \subset T \)) (see Theorem 2.47). Let \( S_i(q) = \bigcup_L L^\text{temp} \subset S(q) \) denote the subset of \( S(q) \), where the union is taken only over the residual cosets of dimension at least \( i \). The sets \( \bigcup_{q \in Q} (q,S_i(q)) \subset \mathbb{Q} \times T \) are closed for all \( i \).

Proof. In view of Definition 2.42, it is clear that it suffices to show that if \( r \in \text{Res}(R) \) and \( q_0 \in Q^\text{sing}_{W_0r} \), then there exists a residual coset \( L \) such that \( r(q_0) \in L^\text{temp} \). By [Op1, Theorem A.7], this reduces to the statement that if \( c \) is a positive generic residual point, then \( c(q_0) \) coincides with the center of a positive residual coset. Since the collection of centers of positive residual cosets does not depend on the choice of the lattice \( X \), we may replace \( X \) by \( X^\text{max} \) (as in Proposition 2.37). Since \( R^\text{max} \) is a direct sum of irreducible summands, this shows that it suffices to prove the statement for a root datum \( R \) with \( R_0 \) irreducible.

In the case when \( R_0 \) is simply laced, this follows from the remark that \( Q^\text{sing}_{W_0} = \{ q_0 = 1 \} \) for all \( r \in \text{Res}(R) \). By Lemma 2.41, we have \( r(1) = e \), which is the center of \( T^\text{temp} = T_u \). If \( R_0 \) is of type \( B_n \) or \( C_n \), then this is [Sl2, Proposition 4.15]. For type \( G_2 \) and \( F_4 \), it can be read off from the tables [HO1, Tables 4.10 and 4.15].

3. Continuous families of discrete series

In this section we show that every discrete series character of \( \mathcal{H} = \mathcal{H}(R,q) \) is the specialization of a unique maximal “continuous parameter family” of discrete series characters. Using this fact and our results on EP, the discrete series can be parametrized explicitly for all irreducible root data \( R \) which are not simply laced. An important ingredient is the fact that the central characters of the irreducible discrete series characters are precisely the \( W_0 \)-orbits of residual points.
Another main result in this section states that the formal degree of a continuous family of irreducible discrete series characters is a rational function on $\mathbb{Q}$ with rational coefficients. This function has a product expansion in terms of the central character of the family, and an alternating sum expansion in terms of the branching multiplicities of the discrete series representation to finite-dimensional Hecke subalgebras.

### 3.1. Parameter deformation of the discrete series

In this subsection we show that each irreducible discrete series character is a specialization in the parameter $q$ of a unique continuous $\mathbb{Q}$-family of irreducible discrete series characters.

It is useful to remark that such deformations are well understood for scaling deformations of the parameters along half lines. What we are about to discuss in this subsection is what happens for general deformations. Therefore this yields no extra information whatsoever for the simply laced cases. On the other hand, for the non-simply laced root systems, the method turns out to be sufficient in most cases to distinguish the irreducible discrete series characters with the same central character form each other, and parametrize them by continuous $\mathbb{Q}$-families of discrete series characters.

**Definition 3.1.** Let $\mathcal{R}$ be a semisimple root datum, $q_0 \in \mathbb{Q}$, and let $r_0 \in T$ be an $(\mathcal{R}, q_0)$-residual point. We denote by $\mathcal{P}(r_0) = \{W_0 r \in W_0 \setminus \text{Res}(\mathcal{R}) : W_0 r(q_0) = W_0 r_0\}$ the finite set of $W_0$-orbits of generic residual points which coalesce at $W_0 r_0$ for the parameter value $q = q_0$.

For $t \in T$ let $\Delta_{W_0 t}(\mathcal{R}, q_0) \subset \Delta(\mathcal{R}, q_0)$ be the collection of irreducible discrete series characters with central character $W_0 t$.

**Lemma 3.2.** Let $r_0 = s_0 c_0$ be an $(\mathcal{R}, q_0)$-residual point, and let $0 < \varepsilon < \frac{1}{3}$. There exists an open neighborhood $U \subset \mathbb{Q}$ of $q_0$ and a Hermitian element $z \in \mathbb{C}[T]^{W_0}$ such that

1. $z$ is positive on $S(q)$ for all $q \in U$;
2. $z(t) < \epsilon$ for all $q \in U$ and $t \in S(q) \setminus \{W_0 r(q) : r \in \mathcal{P}(r_0)\}$;
3. There exists $M \geq 1$ such that $1 - \varepsilon < z(W_0 r(q)) < M$ for all $q \in U$ and $r \in \mathcal{P}(r_0)$.

**Proof.** According to [Op1, Lemma 3.5], for any $\delta > 0$ there exist elements $a \in \mathbb{C}[T]^{W_0}$ such that $a(W_0 r_0) = 1$ and such that the uniform norm of $a$ on an $(\mathcal{R}, q_0)$-residual coset $S_c(q_0)$ is smaller than $\delta$ for all centers $c$ such that $W_0 c \neq W_0 c_0$. By Theorem 2.46, we know that $r_0$ is disjoint from the union of the tempered residual cosets of dimension at least 1 (in particular, $c_0 \neq e$). Hence we can multiply $a$ by further factors in order to make sure that $a$ is equal to zero on all tempered residual cosets contained in $S_{c_0}(q_0)$ other than $r_0$. By taking $\delta$ small enough, we can arrange that the uniform norm of $a$
on all components of $S(q_0)$, other than the points of $W_0r_0$, is smaller than $\varepsilon$. Define $z \in \mathbb{C}[T]^{W_0}$ by $(z(t)) = a(t) a(t^{-1})$. Using Theorem 2.45, we see that $z(r_0) = 1$ and that $z$ is non-negative on $S(q)$ (for all $q \in Q$). This proves (i).

Define two open subsets $V_+ := \{ t \in T : |z(t)| > 1 - \varepsilon \}$ and $V_- := \{ t \in T : |z(t)| < \varepsilon \}$ of $T$. By Proposition 2.66, we see that for all $q \in Q$ the support $S(q)$ is the following union of compact subsets:

$$S(q) = \bigcup_{P} \bigcup_{r \in \text{Res}(\mathcal{R}_P)} W_0r(q) T^P_w.$$  \hspace{1cm} (40)

Put $W_0r(q) T^P_w = S(P, r, q)$. By the above it is clear that $S(P, r, q_0) \subseteq V_+$ if and only if $R_P = R_0$ and $W_0r \in \mathcal{P}(r_0)$. On the other hand, $S(P, r, q_0) \subseteq V_-$ if and only if $R_P = R_0$ and $W_0r \notin \mathcal{P}(R_0)$ or if $R_P \neq R_0$. By the compactness of the sets $T^P_w$ and the continuity of the generic residual cosets $r \in \text{Res}(\mathcal{R}_P)$ (viewed as functions on $Q$), it is clear that there exists an open neighborhood $U$ of $q_0$ such that for all $q \in U$ and for all pairs $(P, r)$ we have that $S(P, r, q) \subseteq V_-$ and if and only if $S(P, r, q_0)$ and $(P, r, q) \subseteq V_+$ if and only if $S(P, r, q_0) \subseteq V_+$. Hence for all $q \in U$ we have

$$S(q) = (S(q)) \cap V_+ \cup (S(q)) \cap V_-$$  \hspace{1cm} (41)

and $S(q) \cap V_+ = \mathcal{P}(r_0)(q)$. From this we easily deduce (ii) and (iii). \hfill \Box

Let $L^2(W)$ denote the abstract Hilbert space with Hilbert basis $(\tilde{N}_w)_{w \in W}$ indexed by the elements of $W$. We identify $L^2(W)$ with the Hilbert completion $L^2(\mathcal{H}(\mathcal{R}, q))$ (for any fixed $q \in Q$) by identifying $\tilde{N}_w \in L^2(W)$ with the basis element $N_w \in \mathcal{H}(\mathcal{R}, q)$. In this way $L^2(W)$ comes equipped with the structure of a module over the $C^\ast$-algebra completion of the pre-$C^\ast$-algebra $\mathcal{H}(\mathcal{R}, q)$. By abuse of notation, we will denote the basis elements $\tilde{N}_w$ of the module $L^2(W)$ simply by $N_w$. Similarly we use the notation $S(W)$ for the abstract Fréchet space of functions on $W$ which are of rapid decay with respect to the norm function $\mathcal{N}$ on $W$. For each fixed $q \in Q$ we identify $S(W)$ with the Fréchet algebra completion $S(\mathcal{R}, q)$ of $\mathcal{H}(\mathcal{R}, q)$.

Given $q \in Q$ and $z \in \mathbb{C}[T]^{W_0}$, let $z_q \in \mathcal{H}(\mathcal{R}, q)$ denote the element $z$ viewed as an element of $\mathcal{H}(\mathcal{R}, q)$ via the isomorphism defined by the Bernstein basis of the center $\mathcal{Z}(q)$ of $\mathcal{H}(\mathcal{R}, q)$ with $\mathbb{C}[T]^{W_0}$. The above lemma implies that $z_q \in \mathcal{H}(\mathcal{R}, q)$ is a positive central element such that if $q \in U$ its spectrum on $L^2(\mathcal{H}(\mathcal{R}, q))$ is contained in $[0, \varepsilon) \cup (1 - \varepsilon, M]$.

**Theorem 3.3.** Let $U, M > 0$ and $\varepsilon > 0$ be as in the previous lemma. Let $e_q := p_{q, 1 - \varepsilon}(z_q) \in S(\mathcal{R}, q)$ denote the element of $S(\mathcal{R}, q)$ obtained by holomorphic calculus applied to $z_q \in \mathcal{H}(\mathcal{R}, q)$ with respect to a function $p_{q, 1 - \varepsilon}$ on the spectrum that is equal to 0 in an open neighborhood of $[0, \varepsilon]$ and is equal to 1 on an open neighborhood of $[1 - \varepsilon, M]$. 

...
(i) For all \( q \in U \), \( e_q \in \mathcal{S}(\mathcal{R}, q) \) is a self-adjoint, central idempotent.

(ii) For all \( q \in U \) we have an orthogonal decomposition

\[
e_q = \sum_{W_0 \in \mathcal{P}(r_0)} \sum_{\Delta \in \Delta^{W_0}_{\mathcal{R}}(\mathcal{R}, q)} e_{\delta(q), q},
\]

where \( e_{\delta(q), q} \) is the primitive central idempotent of \( S(\mathcal{R}, q) \) corresponding to the irreducible discrete series character \( \delta(q) \in \Delta^{W_0}_{\mathcal{R}}(\mathcal{R}, q) \) (the set of irreducible discrete series characters of \( \mathcal{H}(\mathcal{R}, q_0) \) with central character \( W_0 r_0 \)).

(iii) For all \( q \in U \) the two-sided ideal \( \mathcal{I}_q := e_q S(\mathcal{R}, q) \subset S(\mathcal{R}, q) \) is a finite-dimensional, semisimple, involutive subalgebra of \( S(\mathcal{R}, q) \).

(iv) The family \( q \mapsto e_q \in S(\mathcal{R}, q) \subset S(\mathcal{W}) \) is continuous with respect to the parameter \( q \in U \).

(v) The dimension \( \dim_{\mathbb{C}}(\mathcal{I}_q) \) is independent of \( q \in U \).

(vi) The isomorphism class of \( \mathcal{I}_q \) viewed as a (finite-dimensional) \( C^* \)-algebra is independent of \( q \in U \).

**Proof.** By the previous lemma, it is clear that \( p_{>1-\varepsilon} \) is holomorphic on the spectrum of \( z_q \), hence we may apply holomorphic functional calculus. Thus (i) follows from the fact that \( \mathcal{S} \) is closed for holomorphic functional calculus, see Theorem 2.23, and the basic properties of the holomorphic functional calculus. The assertion (ii) follows from the previous lemma and the definition of the idempotent \( e_q \). The finite-dimensionality of \( \mathcal{I}_q \) follows simply from (ii). Clearly \( \mathcal{I}_q \) is an involutive algebra because \( e_q \) is central and self-adjoint. Thus the trace \( \tau \) and the anti-involution * give rise to a positive definite Hermitian inner product on \( \mathcal{I}_q \) with the property that \( (ab, c) = (b, a^* c) \). Hence \( \mathcal{I}_q \) is a semisimple subalgebra, proving (iii). It is easy to see that \( U \ni q \mapsto z_q \in S(\mathcal{W}) \) is a continuous family (by expressing \( z \) in the \( N_w \) basis of \( \mathcal{H}(\mathcal{R}, q) \)). Hence (iv) follows from the continuity of the holomorphic functional calculus, see Theorem 2.23. For (v) we first remark that it is clear that for all \( q \in U \) the projection \( \lambda e_q \) is a norm continuous in \( \mathcal{B}(L^2(\mathcal{H}(\mathcal{R}, q))) \) (where \( \lambda \) denotes the left regular representation) since \( \mathcal{I}_q \) is of finite rank (since only finitely many central characters support the image of \( e_q \) by construction). On the other hand, it is clear from Theorem 2.23 and [So, Proposition 5.6] that this family of projections is norm continuous in \( \mathcal{B}(L^2(\mathcal{H}(\mathcal{R}, q))) \). In order to prove (vi), we use the notion of approximate matrix units in a \( C^* \)-algebra [BKR, Definition 2.2]. Let \( m_{i,j,k}(q_0) \) be a basis of matrix units of \( \mathcal{I}_{q_0} \). Given an element \( q \in U \) we define \( \tilde{m}_{i,j,k}(q) = e_q m_{i,j,k}(q_0) \), where in the right-hand side we view \( m_{i,j,k}(q_0) \) as an element of \( S(\mathcal{R}, q) \) via the canonical isomorphism \( S(\mathcal{W}) \approx S(\mathcal{R}, q) \). Let \( \varepsilon' > 0 \). By (iv), (v) and [So, Proposition 5.6] we obtain that there exists an open neighborhood \( q_0 \in U_{\varepsilon'} \subset U \) of \( q_0 \) such that for all \( q \in U_{\varepsilon'} \) the elements
\( \tilde{m}_{j,k}^{(i)}(q) \) form a basis of \( \varepsilon' \)-approximate matrix units of \( I_q \). This means that for all \( i, j, k, l, m, n \) and for all \( q \in U_{\varepsilon'} \), we have

\[
\| \tilde{m}_{j,k}^{(i)}(q) \tilde{m}_{m,n}^{(l)}(q) - \delta_i l \delta_{k,m} \tilde{m}_{j,n}^{(i)}(q) \| < \varepsilon'
\]  

(43)

and

\[
\| \tilde{m}_{j,k}^{(i)} - (\tilde{m}_{k,j}^{(i)})^* \| < \varepsilon'
\]  

(44)

(where the norm refers to the \( C^* \)-algebra norm). Now [BKR, Lemma 2.3] implies that for \( \varepsilon' > 0 \) sufficiently small there exists a basis of matrix units \( m_{j,k}^{(i)}(q) \) of \( I_q \) with the property that for all \( i, j \) and \( k \),

\[
\| \tilde{m}_{j,k}^{(i)}(q) - m_{j,k}^{(i)}(q) \| < \varepsilon'.
\]  

(45)

In particular it follows that \( I_q \) for \( q \in U_{\varepsilon'} \) is isomorphic to \( I_{q_0} \) as a finite-dimensional \( C^* \)-algebra. Using a suitable open covering of \( U \), this result extends easily to \( q \in U \), proving (vi).

Theorem 3.4. Keep the notation as in Theorem 3.3. Let \( r_0 \in \text{Res}(\mathcal{R}, q_0) \).

(i) There exists an open neighborhood \( U \) of \( q_0 \) such that for each \( \delta_0 \in \Delta_{W_{r_0}}(\mathcal{R}, q_0) \) there exists a unique family of primitive central idempotents \( U \ni q \mapsto e_{\delta(q),q} \in \mathcal{S}(\mathcal{R}, q) = \mathcal{S}(W) \) with the following properties:

(a) \( \delta(q_0) = \delta_0 \);

(b) The function \( U \ni q \mapsto \lambda(e_{\delta(q),q}, q) \in \mathcal{B}(L^2(W)) \) is continuous;

(c) For all \( q \in U \), the value \( e_{\delta(q),q} \in I_q \) of this function is a primitive central idempotent;

(d) The degree of the irreducible character \( \delta(q) \) of \( I_q \) afforded by \( e_{\delta(q),q} \) is independent of \( q \);

(e) For all \( q \in U \) the set \( \{ e_{\delta(q),q} \}_{\delta(q) \in \Delta_{W_{r_0}}(\mathcal{R}, q_0)} \) is the complete set of mutually inequivalent primitive central idempotents of \( I_q \).

(ii) The continuous families of primitive central idempotents \( U \ni q \mapsto e_{\delta(q),q} \) (with \( \delta(q_0) \in \Delta_{W_{r_0}}(\mathcal{R}, q_0) \)) define, for all \( q \in U \), a canonical bijection \( \delta(q_0) \mapsto \delta(q) \) between the set \( \Delta_{W_{r_0}}(\mathcal{R}, q_0) \) and the union

\[
\bigcup_{W_{r_0} \in \mathcal{P}(r_0)} \Delta_w(q_0)(\mathcal{R}, q).
\]  

(46)

Proof. Using the notation of the previous theorem, we define for all \( q \in U_{\varepsilon'} \) and for all \( i \),

\[
e^{(i)}(q) := \sum_j m_{j,j}^{(i)}(q).
\]  

(47)
This is a primitive central idempotent in \( I_q \) which is independent of the choices of the matrix units \( m_{j,k}^{(i)}(q) \). Indeed, another choice of the matrix units would lead to a central primitive idempotent norm close to \( e^{(i)}(q) \). This implies unitary equivalence in the \( C^* \)-algebra \( I_q \) of these idempotents, but since these idempotents are also central, unitary equivalence means actual equality. It follows from this argument that the family of central primitive idempotents \( U_e \ni q \mapsto e^{(i)}(q) \) is continuous at \( q_0 \) in the following sense: The family of bounded operators \( U_e \ni q \mapsto \lambda e^{(i)}(q), q \) on \( L^2(\mathcal{H}(\mathbb{R}, q)) = L^2(W) \) is continuous at \( q_0 \). Using the independence of the central primitive idempotents for the choice of the matrix units, we may repeat this arguments for any \( q \in U_e \) to prove that the families \( U_e \ni q \mapsto e^{(i)}(q) \) are continuous on \( U_e \). If we put \( U := U_e \) it is now straightforward to prove the listed properties of (a)–(e) for the constructed continuous families \( e^{(i)} \) of primitive idempotents. Finally the uniqueness follows again from the above rigidity argument for central primitive idempotents, in combination with the continuity, proving (i).

In view of Theorem 3.3 (ii), this sets up, for each value of \( q \in U \), a bijection between the set of continuous (in the above sense) families of primitive central idempotents \( e^{(i)} \) and the set of irreducible discrete series characters \( \delta(q) \in \Delta(W_0r(q))(\mathbb{R}, q) \), where \( W_0r \) runs over the set \( W_0r \in \mathcal{P}(r_0) \). This proves (ii).

The above notion of continuity of a \( q \)-family of irreducible discrete series characters is special for discrete series characters.

**Definition 3.5.** Let \( q_0 \in \mathbb{Q} \) and let \( \delta_0 \in \Delta(\mathbb{R}, q_0) \). For \( q \in U \) (as above) we denote by \( \delta(q) \) the equivalence class of irreducible discrete series representations afforded by \( e_{\delta(q), q} \). For any open set \( U \subset \mathbb{Q} \) we refer to such a family \( \delta: q \mapsto \delta(q) \) of equivalence classes of representations afforded by a continuous family of central primitive idempotents in \( S \) (in the above sense, thus in the operator norm of \( \mathcal{B}(L^2(W)) \)) as a “continuous family of irreducible discrete series characters on \( U \)”. We denote the set of such continuous families by \( \Delta(\mathbb{R}, U) \).

There is also a weaker notion of continuity for a \( q \)-family of characters which is applicable to more general characters.

**Definition 3.6.** Let \( U \ni q \mapsto \pi(q) \) be a family of equivalence classes of irreducible representations \( \pi(q) \) of \( \mathcal{Q}(\mathbb{R}, q) \). We say that \( q \mapsto \pi(q) \) is a weakly continuous family of irreducible characters of \( \mathcal{H}(\mathbb{R}) \) if \( U \ni q \mapsto \chi_{\pi(q)}(N_w) \) is a continuous function for all \( w \in W \).

We denote by \( \Delta^{wk}(\mathbb{R}, U) \) be the set of weakly continuous families \( U \ni q \mapsto \delta(q) \) of irreducible discrete series characters (i.e. weakly continuous families \( q \ni U \mapsto \delta(q) \) such that for all \( q \in U \) we have \( \chi_{\delta(q)} \in \Delta(\mathbb{R}, q) \)).

Continuity of a family of discrete series characters implies weak continuity:
Proposition 3.7. Let $U \subset \mathbb{Q}$ and let $\delta \in \Delta(\mathbb{R}, U)$. Then the family $q \mapsto \delta(q)$ is also weakly continuous.

Proof. Indeed, by the Plancherel formula for $H(\mathbb{R}, q)$ we have

$$\tau(e_{\delta(q)}, q) = \deg(\delta(q)) \mu_{P}(\delta(q)),$$

and hence this function is positive, and continuous by Theorem 3.4 (i) (b). Hence the basic formula

$$\chi_{\delta(q)}(N) = \deg(\delta(q)) \tau(e_{\delta(q)}, qN),$$

combined with Theorem 3.4 (i) (b) and (d), implies the desired continuity.

Proposition 3.8. Let $\delta \in \Delta_{wk}(\mathbb{R}, U)$. We define the generic central character map $cc(\delta, \cdot): U \rightarrow W_{0} \setminus T$ by $cc(\delta, q) = cc(\delta(q))$. Then $cc(\delta)$ is continuous and for all $q \in U$ we have $cc(\delta, q) \in \text{Res}(\mathbb{R}, q)$.

Proof. This is a trivial consequence of Theorem 2.47 and Proposition 3.7.

In fact it is true that $cc(\delta) \in W_{0} \setminus \text{Res}(\mathbb{R})$, but this is not obvious at this point. This result will be shown in Theorem 5.3.

Actually weak continuity and continuity are equivalent for families of discrete series characters. We have the following result.

Theorem 3.9. Let $\Delta(\mathbb{R})$ and $\Delta_{wk}(\mathbb{R})$ be the sheaves on $\mathbb{Q}$ defined by the presheaves $U \mapsto \Delta(\mathbb{R}, U)$ and $U \mapsto \Delta_{wk}(\mathbb{R}, U)$, respectively.

(i) The natural sheaf map $\Delta(\mathbb{R}) \rightarrow \Delta_{wk}(\mathbb{R})$ is an isomorphism.

(ii) Let $\Delta_{N}(\mathbb{R})$ denote the sheaf of non-negative integral linear combinations of $\Delta(\mathbb{R})$, and let $\Delta_{N}^{wk}(\mathbb{R})$ denote the sheaf of weakly continuous families of (not necessarily irreducible) discrete series characters. The natural map $\Delta_{N}(\mathbb{R}) \rightarrow \Delta_{N}^{wk}(\mathbb{R})$ is an isomorphism.

Proof. It is clear that all presheaves involved are sheaves of sets.

Let us prove (i). Given $\delta \in \Delta_{wk}(\mathbb{R}, U)$ we need to show that $\delta$ is continuous in the strong sense. Let $q_{0} \in U$, and let $W_{0}r_{0}$ be the central character of $\delta(q_{0})$. By Theorem 3.4 (ii), there exists a neighborhood $V \subset \mathbb{Q}$ of $q_{0}$ such that for any $\sigma \in \Delta_{W_{0}r_{0}}(\mathbb{R}, q_{0})$ there exists $\bar{\sigma} \in \Delta(\mathbb{R}, V)$ such that $\sigma = \bar{\sigma}_{q_{0}} := ev_{q_{0}}(\bar{\sigma})$ (the evaluation of the strongly continuous family $\bar{\sigma}$ at $q_{0} \in V$). Moreover, Theorem 3.4 (ii) asserts that for all $q \in V$ the irreducible discrete series characters $\bar{\sigma}_{q}$ (with $\sigma \in \Delta(\mathbb{R}, q_{0})$) are mutually distinct and range over the set of all irreducible discrete series characters of $H(\mathbb{R}, q)$ whose central character is of the form $W_{0}r(q)$ for some generic $W_{0}r \in P(r_{0})$. Now consider $\delta \in \Delta_{wk}(\mathbb{R}, U)$. 

By Proposition 3.8, it is clear that for all \( q \in V \) the central character \( \text{cc}(\delta(q)) \) is of the form \( W_0^q(r)(q) \) for some \( W_0^q \in \mathcal{P}(r_0) \). The linear independence of irreducible characters, the finiteness of \( \Delta_{W_0^q}(\mathcal{R}, q_0) \) and Proposition 3.7 imply that there exists a finite set \( A \subset W \) and a neighborhood \( V' \ni q_0 \) such that for all fixed \( q \in V' \) the finite set of vectors \( \Sigma(q) := \{ \xi^A(q) \in \mathbb{C}^A; \sigma \in \Delta_{W_0^q}(\mathcal{R}, q_0) \} \) with \( \xi^A(q) := (\chi_{\sigma}(N_w))_{w \in A} \) is linearly independent. In particular the irreducible characters \( \tilde{\sigma}_q \) are separated by the vector \( \xi^A(q) \) of their values on \( N_w \) with \( w \in A \). Obviously the maps \( \xi^A \colon U \to \mathbb{C}^A \) are continuous. By the weak continuity of \( \delta \), it follows similarly that the map \( \xi^A \colon U \to \mathbb{C}^A \) is continuous and by the above, for all \( q \in V \) we have \( \xi^A(q) \in \Sigma(q) \). This implies that there exists a unique \( \sigma \in \Delta_{W_0^q}(\mathcal{R}, q_0) \) such that \( \delta|_{V'} = \tilde{\sigma}|_{V'} \), proving that \( \delta \) is strongly continuous at \( q_0 \). Since \( q_0 \in U \) was arbitrary, the result follows.

Let us now prove (ii). Let \( \delta \in \Delta^w_{\mathcal{Q}}(\mathcal{R}, U) \). We need to show that \( \delta \) is continuous in a strong sense. Let \( q_0 \in U \), and let \( W_0 r_i \) (where \( i = 1, \ldots, k \)) be the set of central characters of the irreducible constituents of \( \delta(q_0) \). We have \( \delta|_{U^\text{gen}} = \sum_{W_0 r_i} \delta_{W_0 r_i}|_{U^\text{gen}} \) (where \( W_0 r \) runs over the set \( W_0 \setminus \text{Res(}\mathcal{R}\text{)} \) of orbits of generic residual points), where \( U^\text{gen} := \mathcal{Q}^\text{gen} \cap U \) and where \( U^\text{gen} \ni q \to \delta_{W_0 r_i}(q) \) is a weakly continuous family of discrete series characters such that for all \( q \in U^\text{gen} \), \( \text{cc}(\delta_{W_0 r_i}(q)) = W_0 r_i(q) \). Recall that \( \mathcal{Q}^\text{gen} \) is the complement of finitely many rational hyperplanes in \( \mathcal{Q} \).

We claim that for every connected component \( U' \subset U^\text{gen} \) which contains \( q_0 \) in its boundary, we have \( \delta_{W_0 r_i}|_{U'} \neq 0 \) only if \( W_0 r_i \in \bigcup_i \mathcal{P}(r_i) \). Indeed, there exists a \( z \in \mathbb{Z} \) such that \( z(W_0 r_i) = 0 \) for \( i = 1, \ldots, k \) but with \( z(W_0 r_i(q_0)) = 1 \) for all orbits of generic residual points \( W_0 r_i \) such that \( W_0 r_i(q_0) \notin \{ W_0 r_1, \ldots, W_0 r_k \} \). Observe that for all \( r \in \text{Res(}\mathcal{R}\text{)} \) the value \( \deg(\delta_{W_0 r_i}|_{U'}) \in \mathbb{Z} \), is independent of \( q \in U' \), since the family \( \delta_{W_0 r_i}|_{U'} \) is weakly continuous. By the weak continuity of \( \delta \) on \( U \), we see that \( U \ni q \to \chi_q := \chi_{\delta(q)}(z) \) must be continuous at \( q_0 \); however, by definition of \( z \), it follows on the one hand that \( \chi_{q_0} = 0 \), while on the other hand the limit for \( q \to q_0 \) from \( U' \) yields

\[
\sum_{W_0 r_i \notin \bigcup_i \mathcal{P}(r_i)} \deg(\delta_{W_0 r_i}|_{U'}). 
\]

The claim follows.

We now prove in a similar fashion to the proof in (i) that if \( W_0 r \in \bigcup_i \mathcal{P}(r_i) \) and if \( U' \subset U^\text{gen} \) is a connected component which contains \( q_0 \) in its boundary then \( \delta_{W_0 r_i}|_{U'} \) is strongly continuous and in fact extends uniquely to a neighborhood \( U'' \) of \( q_0 \) in a strongly continuous sense. This finishes the proof.

**Remark 3.10.** We identify the sheaves \( \Delta(\mathcal{R}) \), \( \Delta^w_{\mathcal{Q}}(\mathcal{R}) \), \( \Delta_{\mathcal{Q}}(\mathcal{R}) \) and \( \Delta^w_{\mathcal{Q}}(\mathcal{R}) \) on \( \mathcal{Q} \) with their étale spaces. These sheaves are Hausdorff spaces. As sets we have

\[
\Delta(\mathcal{R}) = \prod_{q \in \mathcal{Q}} \Delta(\mathcal{R}, q). 
\]
Proof. By Theorem 3.9, it suffices to show this for $\Delta(R)$. In this case the result follows simply from Theorem 3.4(ii).

**Proposition 3.11.** A continuous family of irreducible discrete series characters $U \ni q \mapsto \delta(q)$ is compatible with the scaling maps $\tilde{\sigma}_\varepsilon$ (with $\varepsilon > 0$) of [OS, Theorem 1.7] in the sense that $\tilde{\sigma}_\varepsilon(\delta(q)) = \delta(q^\varepsilon)$.

Proof. We may assume that $U \subset Q$ is an open ball centered around $q_0 \in Q$ such that $ev_{q_0}: \Delta(R, U) \to \Delta(R, q_0)$ is an isomorphism. Let $\mathcal{L} \subset Q$ be the half line generated by $q_0$. Let $\delta \in \Delta(R, q_0)$ and $\tilde{\delta} \in \Delta(R, U)$ be such that $ev_{q_0}(\tilde{\delta}) = \delta$. Consider the continuous family $\delta^{(1)}$ defined by restricting the section $\tilde{\delta}$ to $\mathcal{L} \cap U$, and the continuous family $\delta^{(2)}$ defined by scaling $\mathcal{L} \cap U \ni q_0^\varepsilon \mapsto \tilde{\sigma}_\varepsilon(\delta)$. It follows from the analyticity ([OS, Theorem 1.7 (1)]) that $\delta^{(2)} \in \Delta^{wk}(R, \mathcal{L} \cap U)$. The result $\delta^{(1)} = \delta^{(2)}$ follows from Theorem 3.9.

**Corollary 3.12.** We can extend any continuous family of irreducible discrete series characters $\delta \in \Delta(R, U)$ in a unique way to $\tilde{\delta} \in \Delta(R, \tilde{U})$, where $\tilde{U} = \bigcup_{\varepsilon > 0} U^\varepsilon$ is the open cone in $Q$ generated by $U$.

Proof. Let $\mathcal{L} \subset \tilde{U}$ be a half line. By the above proposition and the properties of the scaling maps (namely, for $\varepsilon > 0$ these maps induce bijections of the sets of equivalence classes of irreducible discrete series characters), we see that the restriction $\Delta_{\mathcal{L}}(R)$ of $\Delta(R)$ to $\mathcal{L}$ is a constant sheaf. The result follows easily from this remark.

4. The generic formal degree

Let $U \subset Q$ be a connected open cone, and let $\delta \in \Delta^{wk}(R, U)$. In this subsection we prove the rationality of the formal degree $U \ni q \mapsto \mu_{pl}(\delta(q))$, i.e. we prove that this function is the restriction to $U$ of a rational function of the root parameters $q_\alpha^{\vee}$ with rational coefficients, i.e. of an element of $K(\Lambda_Z)$. We refer to this rational function as the generic formal degree of the family $\delta$. We combine the rationality of the generic formal degree with the product formula [Op3, Theorem 4.10] for the formal degree of $\delta(q)$ valid for $q$ varying in a half line in $Q$. We then obtain the factorization of the generic formal degree as an element of $K(\Lambda)$.

4.1. Rationality of the generic formal degree

Let $R$ be a semisimple root datum and let $\Omega \subset W$ be the finite subgroup of length-zero elements. If $f$ is a facet of the fundamental alcove $C$, then we denote by $W_f \subset W^a$ the finite subgroup generated by the simple affine reflections $s \in S$ that fix $f$, and by $\Omega_f \subset \Omega$ the (setwise) stabilizer of $f$ in $\Omega$. Let $(f) \subset E$ be the affine subspace spanned
by $f$, and let $E/(f)$ be the linear space formed by the cosets $e-(f)$ (with $e \in E$) of the linear subspace associated with $(f)$. Let $\varepsilon_f$ be the determinant character of the linear action of $\mathcal{O}_f$ on $E/(f)$. The involutive subalgebras $\mathcal{H}(\mathcal{O}, f, q) = \mathcal{H}(W_f) \times \Omega_f \subset \mathcal{H}(\mathcal{O}, q)$ are finite-dimensional (since $W_f \times \Omega_f$ is finite) and semisimple by [OS, Lemma 1.4].

Let $F$ be an algebraic closure of $K(\Lambda_\mathbb{Z})$ and let $I \subset F$ be the integral closure of $\Lambda_\mathbb{Z}$. We choose an extension to $I$ of the homomorphism $q: \Lambda_\mathbb{Z} \to \mathbb{C}$. Consider the semisimple $F$-algebra $\mathcal{H}_F(\mathcal{O}, f) = \mathcal{H}_F(W_f) \times \Omega_f$. Let $\chi^F$ be the character of a simple $\mathcal{H}_F(\mathcal{O}, f)$-module. According to a well-known argument of Steinberg (see e.g. [Ca, Proposition 10.11.4]), one has $\chi^F(N_w) \in I$ for all $w \in W_f \times \Omega_f$. Furthermore the $\mathbb{C}$-linear map $\chi: \mathcal{H}(\mathcal{O}, f, q) \to \mathbb{C}$ defined by $\chi(N_w) = q(\chi^F(N_w))$ is the character of a simple $\mathcal{H}(\mathcal{O}, f, q)$-module, and this provides a bijection between $\mathcal{H}_F(\mathcal{O}, f)$ and $\mathcal{H}(\mathcal{O}, f, q)$ (cf. loc. cit.).

**Lemma 4.1.** Let $d_\chi \in F$ be the formal degree of $\chi^F$ with respect to the trace form $\tau$ restricted to the algebra $\mathcal{H}(\mathcal{O}, f)$. Then $d_\chi \in K(\Lambda_\mathbb{Z})$ and $d_\chi$ is regular on $\mathcal{O}$.

**Proof.** For all $q \in \mathcal{O}$ the trace form $\tau$ of the algebra $\mathcal{H}(\mathcal{O}, f, q)$ has a non-zero discriminant, proving that $\mathcal{H}(\mathcal{O}, f, q)$ (and a fortiori $\mathcal{H}_F(\mathcal{O}, f)$) is a symmetric (and thus semisimple) algebra. Let $(V, \sigma^F)$ be a matrix representation of $\mathcal{H}_F(\mathcal{O}, f)$ whose character equals $\chi^F$. We write $d_\sigma := d_\chi$ for its formal degree (with respect to $\tau$).

The orthogonality of characters of a symmetric algebra implies that

$$d_\sigma = \frac{1}{S_\sigma},$$

where $S_\sigma$ is the Schur element of $\sigma^F$, given by

$$\dim_F(V)S_\sigma = \sum_{w \times \omega \in W_f \times \Omega_f} \chi^F(N_{w \times \omega}) \chi^F(N_{(w \times \omega)^{-1}}).$$

By a well-known result (see e.g. the argument in [Ge, Proposition 4.6], which applies to our situation as well as one easily checks) one also has the following formula for the Schur element:

$$\dim_F(V)S_\sigma(q)id_V = \sum_{w \times \omega \in W_f \times \Omega_f} \sigma^F(N_{w \times \omega}N_{(w \times \omega)^{-1}}).$$

But clearly (loc. cit.)

$$\sum_{w \times \omega \in W_f \times \Omega_f} \sigma^F(N_{w \times \omega}N_{(w \times \omega)^{-1}}) = |\Omega_f| \sum_{w \in W_f} \sigma^F(N_wN_{w^{-1}}).$$

This last equality implies that if $(\sigma^F, V_1)$ is any simple submodule of the restriction of $\sigma^F$ to $\mathcal{H}_F(W_f)$, then

$$\dim_F(V)S_\sigma = |\Omega_f|\dim_F(V_1)S_{\sigma_1}.$$
The right-hand side of this equation is known to be in \( K(\Lambda_Z) \) (see [Ca, §13.5]), proving the desired result. The last assertion follows from the well-known fact that the Schur element of \( S_\sigma \) is non-zero at \( q \) if and only if \( \sigma^F \) corresponds to a projective irreducible representation of the specialized algebra \( \mathcal{H}(W_f, q) \). Since \( \mathcal{H}(W_f, q) \) is semisimple for \( q \in \mathbb{Q} \) this holds true for all \( \sigma \).

Let \( \delta \in \Delta^{wk}(\mathcal{R}, U) \). Following [SS] and [Re1], we define for \( q \in U \) the index function \( f_{\delta, q} \in \mathcal{H}(\mathcal{R}, q) \) by

\[
f_{\delta, q} = \sum_f (-1)^{\dim(f)} \sum_{\sigma \in \mathcal{H}(\mathcal{R}, f, q)} \deg(\sigma)^{-1} [\delta_q |_{\mathcal{H}(\mathcal{R}, f, q) \otimes \varepsilon_f : \sigma}] e_\sigma,
\]

where \( f \) runs over a complete set of representatives of the \( \Omega \)-orbits of faces of the fundamental alcove \( C \), and where \( e_\sigma \in \mathcal{H}(\mathcal{R}, f, q) \) denotes the primitive central idempotent in the finite-dimensional complex semisimple algebra \( \mathcal{H}(\mathcal{R}, f, q) \) affording \( \sigma \). The importance of the element \( f_{\delta, q} \in \mathcal{H}(\mathcal{R}, q) \) is that it links character theory with the elliptic pairing. Indeed, following [SS] and [Re1], one shows, using the Euler–Poincaré principle and Frobenius reciprocity, that for all representations \( \pi \) of finite length of \( \mathcal{H}(\mathcal{R}, q) \), one has (see [OS, Proposition 3.6])

\[
\chi_{\pi}(f_{\delta, q}) = \text{EP}_\mathcal{H}(\delta(q), \pi).
\]

**Definition 4.2.** The multiplicities \( [\delta_q |_{\mathcal{H}(\mathcal{R}, f, q) \otimes \varepsilon_f : \sigma}] \) are independent of \( q \in U \) by Proposition 3.7. We denote these multiplicities by \( [\delta_f \otimes \varepsilon_f : \sigma] \in \mathbb{Z}_{\geq 0} \).

**Theorem 4.3.** Let \( U \subset \mathbb{Q} \) be a connected open cone and let \( \delta \in \Delta^{wk}(\mathcal{R}, U) \). We have the following index formula for the formal degree \( \mu_{Pl}(\{\delta(q)\}) \) (with \( q \in U \)):

\[
\mu_{Pl}(\{\delta(q)\}) = \tau(f_{\delta, q}) = \sum_f (-1)^{\dim(f)} \sum_{\sigma \in \mathcal{H}(\mathcal{R}, f, q)} \delta_f \otimes \varepsilon_f : \sigma] d_\sigma(q).
\]

Here \( f \) runs over a complete set of representatives of the \( \Omega \)-orbits of faces of \( C \), and \( d_\sigma(q) \) denotes the formal degree of \( \sigma \) in the finite-dimensional Hilbert algebra \( \mathcal{H}(\mathcal{R}, f, q) \) (as in Lemma 4.1).

**Proof.** We apply the Plancherel formula (27) to \( f_{\delta, q} \). In view of (57) and Corollary 2.34, we see that \( \mu_{Pl}(\{\delta(q)\}) = \tau(f_{\delta, q}) \). Now use (56) and Definition 4.2.

**Corollary 4.4.** Let \( U \subset \mathbb{Q} \) be a connected open cone and let \( \delta \in \Delta^{wk}(\mathcal{R}, U) \). The formal degree \( U \ni q \mapsto \mu_{Pl}(\{\delta(q)\}) \) is the restriction to \( U \) of a rational function in the parameters \( q_{\alpha^\vee} \) (with \( \alpha \in \mathcal{R}_{\text{re}} \)) with rational coefficients (or in other words, an element of \( K(\Lambda_Z) \) in the notation of Proposition 2.62 (ii)). This rational function is regular on \( \mathbb{Q} \) and positive on \( U \).
Proof. Consider the index formula as given in Theorem 4.3. The result now follows from Lemma 4.1 (the positivity on $U$ is obvious).

4.2. Factorization of the generic formal degree

**Lemma 4.5.** Let $\delta \in \Delta^w(R, U)$ be a weakly continuous family of irreducible discrete series characters on a convex open cone $U \subset \mathcal{Q}$. The map $\text{cc} (\delta (\cdot )) : U \to W_0 \setminus T$ is continuous. There exist finitely many mutually disjoint, non-empty connected open subcones $U_i \subset U$ such that $\bigcup U_i \subset U$ is dense, and such that for each $i$ there exists an orbit $W_0 r_i$ of generic residual cosets such that $U_i \cap W \subset Q^\text{gen}_{W_0 r_i}$ and $\text{cc} (\delta)|_{U_i} = W_0 r_i|_{U_i}$. In particular $\text{cc} (\delta)$ is continuous and piecewise analytic.

**Proof.** The continuity of $\text{cc} (\delta)$ on $U$ follows from Proposition 3.8. Let $U_i$ run over the finite set of connected components of $U \cap Q^\text{gen}$. Then the restriction of $\text{cc} (\delta)$ to $U_i$ must coincide with the restriction of a unique orbit of generic residual points, by the continuity of $\text{cc} (\delta)$ and the definition of $Q^\text{gen}$. By continuity, for all $q \in U_i \cap U$ the orbit $W_0 r_i(q)$ carries discrete series representations. Hence $r_i(q)$ is residual, or equivalently $q \in Q^\text{reg}_{W_0 r_i}$.

**Theorem 4.6.** Let $\delta \in \Delta^w(R, U)$ be a weakly continuous family of irreducible discrete series characters on a convex open cone $U \subset \mathcal{Q}$. Let $r$ be a generic residual point such that there exists a non-empty connected open subcone $U_i \subset U$ such that $\text{cc} (\delta)|_{U_i} = W_0 r_i|_{U_i}$ (see Lemma 4.5). There exists a constant $d \in \mathbb{Q}^\times$ (depending on $\delta$ and $W_0 r$) such that we have the following equality in $K(\Lambda_\mathbb{Z})$:

$$\mu_\text{Pl} (\{\delta\}) = d m_{W_0 r}. \quad (59)$$

Here $m_{W_0 r} \in K(\Lambda_\mathbb{Z})$ (see Proposition 2.62 (ii)) is the function defined in (39).

**Proof.** We fix $f_s \in \mathbb{R}$ and denote the corresponding half line in $\mathcal{Q}$ by $L \subset \mathcal{Q}$ (see Remark 2.49). Notice that either $L \cap U_i = \emptyset$ or $L \subset U_i$; assume that $L$ is such that we are in the latter situation. By [Op1, Corollary 3.32 and Theorem 5.6], we have

$$\mu_\text{Pl} (\{\delta(q)\}) = d(q) m_{W_0 r}(q) \quad (60)$$

for all $q \in U_i$, where $d(q) \in \mathbb{R}^\times$ has the property that for all $\varepsilon \in \mathbb{R}_+$,

$$d(q^\varepsilon) = d(q), \quad (61)$$

where $q^\varepsilon$ is defined by $q^\varepsilon (s) = q(s)^\varepsilon$ for all affine simple reflections $s$. By Theorem 2.60, Corollary 4.4 and (60), we see that $d$ is itself a rational function which is regular on $U_i$. 
Recall that we view $q > 1$ as coordinate on $L$. The expressions $\alpha(\sigma(q)) = \alpha(s)\alpha(c(q))$ and $q_{q^\nu}$ (with $\alpha \in R_{ar}$ and $q \in L$) are thus viewed as functions of $q > 1$. By the form of the right-hand side of (60) as given in (58), and in view of Corollary 4.4, we see that there exists a unique real number $\delta$ such that

$$\lim_{q \to \infty} q^\delta \mu_A(\{\delta\})(q) = a_L \in Q^\times.$$  

(62)

On the other hand, by (61) the rational function $d$ has a constant value, $d_L$ say, on $L$. Hence (60) implies, in view of (39) and Proposition 2.62 (ii), that $d_L b_L = a_L$, where

$$\lim_{q \to \infty} q^\delta \mu_{W_0}(q) = b_L \in Q^\times.$$  

(63)

Since $d(q)$ is continuous as a function of $q \in U$, this implies that $d_L \in Q$ is independent of $L \subset U_i$ and thus that $d(q) = d$ is independent of $q \in U_i$. Since $U_i$ is an open set, the equality (59) of rational functions which we have now proved on $U_i$ extends to $Q$ (recall that both sides are regular on $Q$).

\[ \square \]

**Corollary 4.7.** Let $\delta \in \Delta_w(\mathcal{R},U)$ be weakly continuous on a convex open cone $U$. Let $W_0 r_i$ and $W_0 r_j$ be orbits of generic residual points associated with $\delta$ as in Lemma 4.5. There exists a constant $d \in C^\times$ such that $m_{W_0 r_i} = dm_{W_0 r_j}$.

5. The generic central character map and the formal degrees

The following result depends on the classification of residual points.

**Lemma 5.1.** Let $\mathcal{R} = (X, R_0, Y, R_0')$ be a simple root datum such that $R_0$ is not simply laced, and let $r, r' \in \operatorname{Res} (\mathcal{R})$ be generic residual points with equal unitary part $s$, which is $W_0$-invariant. If there exists a constant $d \in C^\times$ such that $m_{W_0 r} = dm_{W_0 r'}$, then $W_0 r = W_0 r'$.

**Proof.** Using Lemma 2.53 and Proposition 2.62 (iii), we reduce to the case where $\mathcal{R}$ is irreducible, $X = P(R_1)$, and $r$ and $r'$ are generic residual points with equal $W_0$-invariant unitary part $s \in T_u$. Let us write $r = sc$ and $r' = sc'$. In the $C_{\mu}^{(1)}$ case, we have $s = (1, \ldots, 1)$ or $s = (-1, \ldots, -1)$. We use Proposition 2.56. In the first case we find that $c$ and $c'$ extend to positive generic residual points for the root datum $\mathcal{R}'$ defined by $R'_0 = B_n$ and $X' = P(R_0)$, with the parameters $\tilde{q}$ defined by $\tilde{q}_c = q_{c_1} \ldots q_{c_n}$ and $\tilde{q}_c = q_{c_1}^{1/2} q_{c_2}^{1/2}$. In the second case $c$ and $c'$ are positive generic residual points for $\mathcal{R}'$ with the parameter $\tilde{q}$ defined by $\tilde{q}_c = q_{c_1} \ldots q_{c_n}$ and $\tilde{q}_c = q_{c_1}^{-1/2} q_{c_2}^{1/2} q_{c_2}^{-1}$. In the first case we substitute $q_{c_1} = q_{c_1} + 1$, and in the second case we substitute $q_{c_1} = q_{c_1} + 1$; with this substitution we have in either case

$$m^\mathcal{R}_{W_0 r}(q) = m^\mathcal{R}_{W_0 r'}(\tilde{q}) \quad \text{and} \quad m^\mathcal{R}_{W_0 r'}(q) = m^\mathcal{R}_{W_0 r}(\tilde{q}).$$  

(64)
Therefore it suffices to prove the assertion for irreducible root data \( \mathcal{R} \) such that \( R_0 \) is not simply laced and \( X = P(R_0) \), where \( W_0r \) and \( W_0r' \) are orbits of generic residual points with the same \( W_0 \)-invariant unitary part \( s \). We may now replace \( s \) by 1 without loss of generality. Hence we may and will assume that \( W_0r \) and \( W_0r' \) are orbits of positive residual points. We again use Proposition 2.56 to compare such points with the classification in [HO1, §4].

In the cases \( G_2 \) and \( F_4 \) the \( W_0 \)-orbit \( W_0r \) of a generic positive residual points \( W_0r \) is distinguished by the set \( Q_{reg}^{W_0r} \) as can be seen from Tables 2 and 4. Since this set is the complement of the zero set of \( m_{W_0r} \) (by Theorem 2.60) the desired conclusion follows.

Next consider the cases \( B_n \) and \( C_n \). Let \( f \) be a rational function in \( q_1 \) and \( q_2 \) of the form

\[
f(q) = q_1^{N_1} q_2^{N_2} \prod_{i \geq 0} (q_1^{i} q_2^{j} - 1)^{n_{i,j}}
\]  

(with \( n_{i,j} \in \mathbb{Z} \)). Then the exponents \( n_{i,j} \) are determined by \( f \). Let \( q_1 \) denote the parameter of the roots \( \pm e_i \pm e_j \) and \( q_2 \) the parameter of \( \alpha^\vee \) for \( \alpha = e_i \) (if \( R_0 \) has type \( B_n \)) or \( \alpha = 2e_i \) (if \( R_0 \) has type \( C_n \)). The functions \( m_{W_0r} \) are all of the above form where the exponent of \( q_2 \) is 0, 2 or 4. The \( W_0 \)-orbits of generic positive residual points are parametrized by partitions of \( n \) (see [HO1, §4] and [Op3, Theorem A.7]). Let \( \lambda \vdash n \) and let \( W_0r_\lambda \) be the corresponding \( W_0 \)-orbit of residual points. Let us use the notation \( m_{W_0r} = m_\lambda \) if \( W_0r = W_0r_\lambda \). In the case \( B_n \), the factors of \( m_\lambda \) of the form \( q_1^{i} q_2^{j} - 1 \) have multiplicity \( n_{2i,2} \) equal to twice the number of boxes \( b \in \lambda \) such that \( c(b) = i \) (where \( c(b) \) denotes the content of \( b \)). Hence \( m_\lambda \) determines for each \( i \) the number of boxes in \( \lambda \) with content \( i \). Clearly this determines \( \lambda \). If \( R_0 \) is of type \( C_n \) we use the correspondence between \( B_n \) and \( C_n \) positive generic residual points as explained in the proof of Theorem 2.58. It follows that the factors of \( m_\lambda \) of type \( q_1^{i} q_2^{j} - 1 \) have multiplicity \( n_{4i,2} \) equal to twice the number of boxes \( b \) of \( \lambda \) with \( c(b) = i \), and again we conclude that \( \lambda \) is determined by \( m_\lambda \).

**Corollary 5.2.** Let \( \mathcal{R} \) be semisimple and let \( q_0 \in \mathcal{Q} = \mathcal{Q}(\mathcal{R}) \). Let \( \delta_0 \in \Delta(\mathcal{R}, q_0) \) be such that \( cc(\delta_0) = W_0r_0 \) for an \( r_0 \in \text{Res}^n(\mathcal{R}, q_0) \) with \( s \in T_u \) which is \( W_0 \)-invariant. Then there exists a unique orbit \( W_0r \in W_0 \setminus \text{Res}(\mathcal{R}) \) of generic residual points which has the following property: there exists an open neighborhood \( U \subset \mathcal{Q} \) of \( q_0 \) and a continuous family of discrete series characters \( U \ni q \mapsto \delta(q) \in \Delta_{W_0r(q)}(\mathcal{R}, q) \) such that \( cc(\delta(q)) = W_0r(q) \) for all \( q \in U \).

**Proof.** The uniqueness of such an orbit \( W_0r \) of generic residual points is clear from the fact that a generic residual point is real-analytic on \( \mathcal{Q} \). Hence \( W_0r \) is determined by its restriction to \( U \).

For existence we first choose a lift \( \bar{r}_0 \in \text{Res}(\mathcal{R}^{\text{max}}, q_0) \) of \( r_0 \) and a \( \pi_0 \in \Delta_{W_0\bar{r}_0}(\mathcal{R}, q_0) \) with the property that \( \delta_0 \) is a component of the restriction of \( \pi_0 \) to \( \mathcal{Q}(\mathcal{R}, q_0) \). According
to Theorem 3.4, there exists an open neighborhood $U \subset Q$ such that $\pi_0$ extends to a continuous family $\pi$ of irreducible discrete series characters of $\mathcal{H}(R^{\text{max}})$. It is obvious that $\pi = \pi^{(1)} \otimes \ldots \otimes \pi^{(m)}$, with $\pi^{(i)}$ being a continuous family of irreducible discrete series characters of $\mathcal{H}(R^{(i)})$ defined on $U^{(i)}$ (where $R^{(i)}$, with $i = 1, \ldots, m$, runs through the simple factors of $R^{\text{max}}$ as in Proposition 2.37).

For each $i$ there exists a generic residual point $\tilde{r}^{(i)} \in \text{Res}(R^{(i)})$ such that $cc(\pi^{(i)}) = W(R^{(i)}_0)\tilde{r}^{(i)}$ on $U^{(i)}$. Indeed, if $R^{(i)}$ is simply laced then this is trivial by the scaling isomorphisms [OS, Theorem 1.7 (1) and (5)]. So let us assume that $R^{(i)}$ is not simply laced. Then the assertion follows from Theorem 4.6 and Lemmas 4.5 and 5.1 applied to

$$\pi_0^{(i)} \in \Delta_{W(R^{(i)}_0)}(R^{(i)}, q_0^{(i)}).$$

Let $r \in \text{Res}(R)$ be the generic residual point that corresponds to $(\tilde{r}^{(1)}, \ldots, \tilde{r}^{(m)})$ by restriction as in Lemma 2.53 (i).

If we restrict the continuous family $\pi$ from $\mathcal{H}(R^{\text{max}})$ to $\mathcal{H}(R)$, we obtain a continuous family of discrete series characters, i.e. a section $\pi|_{\mathcal{H}(R)} \in \Delta_{\mathcal{H}(R)}(R, U)$. Observe that all irreducible components of $\pi(q)|_{\mathcal{H}(R, q)}$ have the same central character. Using the linear independence of irreducible characters and Theorem 3.4 (ii), we see that $\pi|_{\mathcal{H}(R)}$ contains the continuous extension $\delta$ of $\delta_0$ to $U$ with multiplicity at least 1. In particular we see that the composition of $cc(\pi): U \rightarrow W_0 \setminus T^{\text{max}}$ with the natural projection $W_0 \setminus T^{\text{max}} \rightarrow W_0 \setminus T$ is the central character $cc(\delta)$ of the family $\delta$ on $U$. We conclude that $cc(\delta)$ is given on $U$ by $W_0 r|_U$, where $r \in \text{Res}(R)$ was constructed above. This finishes the proof.

Now we come to the main result of this section. It generalizes Corollary 5.2 to general irreducible discrete series characters.

**Theorem 5.3.** Let $\delta_0 \in \Delta(R, q_0)$. Let $U \subset Q$ be a (connected) open neighborhood of $q_0$ such that there exists a $\delta \in \Delta(R, U)$ with $\delta(q_0) = \delta_0$ (see Theorem 3.4). There exists a unique orbit $W_0 r \in W_0 \setminus \text{Res}_q(R)$ such that $cc(\delta(\cdot)) = W_0 r|_U$.

**Proof.** We first show that the notion of weak continuity of a family of characters (see Definition 3.6) is to some extent compatible with the reduction results Theorem 2.6 and Corollary 2.28.

Let $cc(\delta(q)) = W_0 t(q)$, where $U \ni q \mapsto t(q) \in T$ is continuous. Write $s$ for the unitary part of $t(q)$ (which is independent of $q$). Let $\psi_s: Q \rightarrow Q_s = Q(R_s)$ be the homomorphism given by $q \mapsto q_s$.

We denote by $\pi_0 \in \Delta_{\mathcal{H}(R, \psi_s(q_0))}$ the restriction of the irreducible discrete series module of $\mathcal{H}(R_s, \psi_s(q_0)) \rtimes \Gamma(t(q_0))$ to $\mathcal{H}(R_s, \psi_s(q_0))$. By Theorems 3.4 and 3.9, there exists a (connected) open neighborhood $U_s \subset Q_s$ of $\psi_s(q_0)$ and a family

$$\pi \in \Delta_{\mathcal{H}(R_s, U_s)}$$

(67)
such that \( \pi(\psi(q_0)) = \pi_0 \). We may and will shrink \( U \) in such a way that \( \psi_\pi(U) \subset U_s \).

Let \( N^*_w \in \mathcal{H}(\mathcal{R}_s, q_s) \) for \( w \in W(\mathcal{R}_s) \) denote the standard basis for the affine Hecke algebra \( \mathcal{H}(\mathcal{R}_s, q_s) \). Recall from Lusztig’s construction (in the variation Theorem 2.6) that \( \mathcal{H}(\mathcal{R}_s, q_s) \) is embedded as a subalgebra of the formal completion \( \widehat{\mathcal{H}}(\mathcal{R}, q) \) (as defined by (20)) via the map \( N^*_w \mapsto e_{t(q)} N_w \), where \( w \in W(\mathcal{R}_s) \) and where \( e_{t(q)} \in \widehat{\mathcal{H}}(\mathcal{R}, q) \) denotes the idempotent as in Theorem 2.6.

Let \( \delta(q) \) be the irreducible discrete series representation of \( \widehat{\mathcal{H}}(\mathcal{R}_s, q_s) \times \Gamma(t(q)) \) corresponding to \( \delta(q) \) according to Theorem 2.6. This implies in particular that

\[
\chi_{\delta(q)}(N^*_w) = \chi_{\delta(q)}(e_{t(q)} N_w)
\]

for all \( w \in W(\mathcal{R}_s) \).

We claim that

\[
\chi_{\pi(q_0)}(N^*_w) = \chi_{\delta(q)}(N^*_w)
\]

for all \( q \in U \) and \( w \in W(\mathcal{R}_s) \). By Theorems 3.4 and 3.9, it suffices to show that for all \( w \in U \) the right-hand side of (68) is continuous as a function of \( q \in U \).

By the continuity of \( U \ni q \rightarrow cc(\delta(q)) \), it is easy to see that one can construct, for each \( N \in \mathbb{N} \), a continuous family \( U \ni q \rightarrow a_{t,q} \in \mathcal{A} = \mathbb{C}[T] \) (i.e. a \( q \)-family of Laurent polynomials on \( T \) whose coefficients depend continuously on \( q \)) such that for all \( q \in U \) and \( t' \in W(\mathcal{R}_{s,1}) t(q) \) one has \( a_{t,q} \in 1 + m_N^{-} \), while for all \( t' \in W_0 t(q) \setminus W(\mathcal{R}_{s,1}) t(q) \) one has \( a_{t,q} \in m_N^{+} \). If \( N \) is sufficiently large, this implies easily that for all \( q \in U \) and for any \( w \in W(\mathcal{R}_s) \) one has

\[
\chi_{\delta(q)}(e_{t(q)} N_w) = \chi_{\delta(q)}(a_{t,q} N_w),
\]

which is indeed continuous in \( q \in U \) as was required, thus proving (69).

According to Corollary 5.2, we find that \( cc(\pi_\lambda) \in W(\mathcal{R}_{s,1}) \setminus \text{Res}^s(\mathcal{R}_s) \) for any irreducible component \( \pi_\lambda \) of \( \pi \). By relation (69) and application of Corollary 2.54, it follows that for any component \( \pi_\lambda \) of \( \pi \),

\[
cc(\delta) = \left( \Phi_{W_s}^{W_0} \right)^{-1}(\Gamma_s(cc(\pi_\lambda))).
\]

This finishes the proof. \( \square \)

In view of Theorem 2.58, this means that the central character of \( \delta \in \Delta(\mathcal{R}, U) \) actually extends to a \( \mathbb{Q}_c \)-valued point of \( W_0 \setminus T \).

Definition 5.4. (Generic central character for discrete series) Let \( q \in \mathcal{Q} \). Theorem 5.3 yields a map \( gcc_q : \Delta(\mathcal{R}, q) \rightarrow W_0 \setminus \text{Res}_q(\mathcal{R}) \) which extends to a continuous map (in the sense of Remark 3.10) \( gcc : \Delta(\mathcal{R}) \rightarrow W_0 \setminus \text{Res}(\mathcal{R}) \). We call \( gcc_q \) and \( gcc \) the generic central character maps.
Definition 5.5. Consider the topological space $\mathcal{O}(R)$ given by

$$\mathcal{O}(R) = \{(W_0 r, q) \in W_0 \setminus \text{Res}(R) \times Q : q \in Q_{W_0 r}^{\text{reg}}\}. \quad (72)$$

The finite map $\pi_2: \mathcal{O}(R) \to Q$ is a local homeomorphism and the projection

$$\pi_1: \mathcal{O}(R) \longrightarrow W_0 \setminus \text{Res}(R) \quad (73)$$
on the first factor defines, for all $q \in Q$, a bijection between the fiber $\mathcal{O}(R)_q$ of $\pi_2$ at $q \in Q$ and the set $W_0 \setminus \text{Res}_q(R)$. We define the following evaluation map:

$$\text{ev}: \mathcal{O}(R) \longrightarrow W_0 \setminus T \times Q,$$

$$(W_0 r, q) \longmapsto (W_0 r(q), q).$$

The generic central character map of Definition 5.4 can be characterized as follows.

Proposition 5.6. We define $\text{GCC}=\text{gcc} \times \pi: \Delta(R) \to \mathcal{O}(R)$, where $\pi: \Delta(R) \to Q$ is the canonical map. Then GCC is the unique continuous map such that the following diagram commutes:

$$\begin{array}{ccc}
\Delta(R) & \xrightarrow{\text{GCC}} & \mathcal{O}(R) \\
\downarrow{\text{cc}} & & \downarrow{\text{ev}} \\
W_0 \setminus T \times Q & & \end{array}$$

Proof. This is a reformulation of Theorem 5.3. \hfill \Box

We are now in the position to formulate the first main result of this paper.

Theorem 5.7. The map $\text{GCC}=\text{gcc} \times \pi: \Delta(R) \to \mathcal{O}(R)$ is a surjective local homeomorphism and gives $\Delta(R)$ the structure of a locally constant sheaf on $\mathcal{O}(R)$.

Proof. Clearly GCC is a local homeomorphism. Using Definition 5.4 and Proposition 5.6 we can reformulate Theorem 3.4 (ii) by stating that for any $W_0 r \in W_0 \setminus \text{Res}(R)$ and any connected component $U \subset Q_{W_0 r}^{\text{reg}}$, the inverse image $\Delta_{c}(R):=\text{GCC}^{-1}(C) \subset \Delta(R)$ of $C:=\{W_0 r\} \times U \subset \mathcal{O}(R)$ is a locally constant sheaf on $C$. In particular the cardinality of the fibers of $\text{GCC}|_{\Delta_{c}(R)}$ is constant. Hence the surjectivity of GCC follows from Theorem 2.47 by considering a generic parameter $q \in U$. \hfill \Box

Corollary 5.8. Let $W_0 r \in W_0 \text{Res}(R)$ and let $U \subset Q_{W_0 r}^{\text{reg}}$ be a connected component as in the proof of Theorem 5.7. The restriction $\Delta_{c}(R)_{1}(C)$ of $\Delta_{c}(R)$ to the connected component $C:=\{W_0 r\} \times U \subset \mathcal{O}(R)$ of $\mathcal{O}(R)$ is a constant sheaf.

Proof. Since $U$ is the interior of a convex polyhedral cone by Theorem 2.60, this follows trivially from Theorem 5.7. \hfill \Box
Corollary 5.9. For all \( q \in \mathcal{Q} \) the map \( \text{gcc}_q : \Delta(\mathcal{R}, q) \to W_0 \setminus \text{Res}_q(\mathcal{R}) \) is surjective.

Proof. This follows immediately from the surjectivity of GCC.

In particular, if \( \delta_0 \in \Delta(\mathcal{R}, q_0) \), with \( \text{gcc}_q(\delta_0) = W_0 r \in \text{Res}_{q_0}(\mathcal{R}) \), is an irreducible discrete series character and \( U \subset \mathcal{Q}^{\text{reg}}_{W_0 r} \) denotes the component of \( q_0 \), then there exists a unique continuous family \( \delta \in \Delta(\mathcal{R}, U) \) such that \( \text{ev}_{q_0}(\delta) = \delta_0 \). Observe that the open cone \( U \subset \mathcal{Q} \) is the maximal set to which \( \delta \) can be continued as a discrete series character (since the central character \( W_0 r(q) \) will cease to be residual at every boundary point of \( U \)). Hence the open cone \( U \) is determined by \( \delta \).

Definition 5.10. We denote this open cone by \( U_\delta \), and we call a continuous family of irreducible discrete series characters \( \delta \) which is extended to its maximal domain of definition \( U_\delta \ni q \mapsto \delta(q) \) a generic irreducible discrete series character. We denote by \( \Delta^\text{gen}(\mathcal{R}) \) the finite set of generic irreducible discrete series characters.

Corollary 5.11. For each component \( C := \{ W_0 r \} \times U \) of \( \mathcal{O}(\mathcal{R}) \), we define a multiplicity \( M_C \in \mathbb{Z}_{\geq 0} \) of \( C \) by \( M_C := |\{ \delta \in \Delta^\text{gen}(\mathcal{R}) : \text{GCC}(\delta) = C \}| \). Then \( M_C > 0 \) for all components \( C := \{ W_0 r \} \times U \). For all \( q \in U \) one has \( M_C = |\Delta_{W_0 r}(\mathcal{R}, q)| \), and for all \( q \in \mathcal{Q} \) one has (with \( \chi_U \) denoting the characteristic function of \( U \))

\[
|\Delta(\mathcal{R}, q)| = \sum_{W_0 r \in W_0 \setminus \text{Res}(\mathcal{R})} \sum_{U \in C_{W_0 r}} \chi_U(q) M_{\{W_0 r\} \times U}. \quad (74)
\]

We reformulate Theorem 4.6 using our results on the generic central character. This is the second main theorem of this paper.

Theorem 5.12. Let \( \delta \in \Delta^\text{gen}(\mathcal{R}) \). There exists a rational constant \( d_\delta \in \mathbb{Q}^\times \) such that for all \( q \in U_\delta \) we have

\[
\mu_{\mathcal{P}^1}(\{\delta(q)\}) = d_\delta m_{\text{gcc}(\delta)}(q). \quad (75)
\]

Here \( m_{\text{gcc}(\delta)} \in K(\Lambda_\mathbb{Z}) \) is explicitly given by (39).

Remark 5.13. This result proves in particular Conjecture 2.27 in [Op1], and it shows that the constants defined therein for special values of the parameters can be determined from the rational constants \( d_\delta \) defined for the irreducible generic discrete series characters. Indeed, any irreducible discrete series character \( \delta_0 \in \Delta(\mathcal{R}, q_0) \) determines a unique \( \delta \in \Delta^\text{gen}(\mathcal{R}) \) such that \( \delta_0 = \delta(q_0) \). The constant defined in [Op1, Conjecture 2.27] is equal to \( d_\delta \) multiplied by a rational number depending on \( q_0 \) which can be easily expressed in terms of the sets \( R_{r,1}^{p,+} \), \( R_{r,1}^{p,-} \) and \( R_{r,1}^{p,-} \) of roots whose associated factor in \( m_{W_0 r} \) becomes zero at \( q_0 \).
6. The generic linear residual points and the evaluation map

In this section we summarize, following [HO1] and [Sl2], the classification of the $W_0$-orbits of the generic linear residual points for all irreducible root systems $R_1$ and we describe the evaluation map at a given parameter $k \in \mathcal{K} = \mathcal{K}(R_1)$ of the parameter space associated with $R_1$.

For each generic linear residual point $\xi$ of $R_1$ we will describe the open dense set $\mathcal{K}^{\text{reg}}(\xi)$ of parameters $k$ such that $ev_k(\xi) = \xi(k)$ is still residual. In addition, we will describe the set $W_0 \backslash \text{Res}^{\text{lin}}(R_1, V, k)$ of residual orbits for each $k \in \mathcal{K}$. To do this, it is convenient to use the notion of $k$-weighted distinguished Dynkin diagrams with respect to a given basis $F_1 = \{\alpha_1, ..., \alpha_n\}$ of simple roots of $R_1$.

**Definition 6.1.** For $k \in \mathcal{K}$ we define the set Dyn$^{\text{dist}}(R_1, V, F_1, k)$ of distinguished $k$-weighted Dynkin diagrams for $(R_1, V, F_1)$ as the set of $F_1$-dominant linear $(R_1, k)$-residual points. There is a canonical bijection

$$W_0 \backslash \text{Res}^{\text{lin}}(R_1, V, k) \xrightarrow{\cong} \text{Dyn}^{\text{dist}}(R_1, V, F_1, k)$$

by which we will identify these two sets. We will represent $D \in \text{Dyn}^{\text{dist}}(R_1, V, F_1, k)$ by the Dynkin diagram of $F_1$ in which the vertex corresponding to $\alpha_i \in F_1$ is labelled by the weight $\alpha_i(D) > 0$ (or simply by the list of values $(\alpha_1(D), ..., \alpha_n(D))$).

Given $k \in \mathcal{K}$, let $W_0 \backslash \text{Res}^{\text{lin}}_k(R_1)$ be the set of orbits of generic linear residual points $W_0 \xi$ such that $k \in \mathcal{K}^{\text{reg}}(\xi)$. We will also describe in this section the fibers of the evaluation map

$$ev_k: W_0 \backslash \text{Res}^{\text{lin}}_k(R_1) \longrightarrow \text{Dyn}^{\text{dist}}(R_1, V, F_1, k),$$

$$W_0 \xi \mapsto D = \xi(k)_+,$$

where $\xi(k)_+ \in W_0 \xi(k)$ is the unique $F_1$-dominant element in the orbit $W_0 \xi(k)$.

If $D \in \text{Dyn}^{\text{dist}}(R_1, V, F_1, k)$ and $\lambda > 0$, then $\lambda D \in \text{Dyn}^{\text{dist}}(R_1, V, F_1, \lambda k)$ and $-w_0(D) = D$ (using [Op1, Theorem A.14 (i)]). This gives canonical identifications

$$\text{Dyn}^{\text{dist}}(R_1, V, F_1, \lambda k) = |\lambda| \text{Dyn}^{\text{dist}}(R_1, V, F_1, k)$$

for all $\lambda \in \mathbb{R}^\times$. Since the generic linear residual points depend linearly on $k$, this remark implies that we only need to describe the set Dyn$^{\text{dist}}(R_1, V, F_1)$ and the fibers of $ev_k$ on all lines in the parameter space.

If $k_{\alpha} = 2$ for all $\alpha \in R_1$, then the set Dyn$^{\text{dist}}(R_1, V, F_1)$ is the usual set of distinguished Dynkin diagrams, corresponding to the set of distinguished unipotent orbits of
\( \text{gc}(R_1) \) via the Bala–Carter theorem. For classical root systems it is known how to generalize combinatorially the set of (distinguished) unipotent classes and the Bala–Carter bijection to the set of \( k \)-weighted Dynkin diagrams [Sl2]. As this is a very useful description, we will give these generalized Bala–Carter maps as well.

Let \( \Delta^H(R_1, V, F_1, k) \) be the collection of irreducible discrete series characters of \( H(R_1, V, F_1, k) \). Consider the “degenerate” generic central character map \( \text{gcc}^H \), which is the map

\[
\text{gcc}^H : \Delta^H(R_1, V, F_1, k) \rightarrow W_0 \setminus \text{Res}^W_k(R_1)
\]

(79)
corresponding to the restriction of \( \text{gcc} \) to the set \( \Delta^s(R, q) \) (with \( s \in T_u \) being a \( W_0 \)-invariant element) via the canonical bijections of Corollary 2.31 and Proposition 2.56. In the next section we will prove that for all irreducible non-simply laced root systems the map \( \text{gcc}^H \) maps the subset \( \Delta^H_{W_0,D}(R_1, V, F_1, k) \subset \Delta^H(R_1, V, F_1, k) \) of elements with central character \( W_0D \) bijectively onto the fiber \( \text{ev}_k^{-1}(D) \), where \( \text{ev}_k \) is the evaluation map of (77) for \( R_1 \), with one remarkable exception: in the case \( F_4 \) it turns out that one has to count every occurrence of the unique singular generic linear residual orbit “f_8” with multiplicity 2. In other words, in the notation of Corollary 5.11, the multiplicities \( M_{W_0r\times U} \) are always 1 for orbits \( W_0r \) of positive generic residual point, except for the unique singular one (called \( f_8 \)) of \( F_4 \), in which case the multiplicity is always 2 (these results will be shown in the next section).

It is interesting in addition that this bijection also holds for type \( D_n \) after we make a small adaptation in order to see type \( D_n \) as a specialization of type \( B_n \). The proofs of these facts do not depend on the classical Kazhdan–Lusztig classification. The only point where one needs to resort to non-trivial computations is in the verification of the fact that the multiplicity of \( f_8 \) is always 2. This follows from results by Reeder [Re1]. Since our parametrization clearly also holds for type \( A_n \), it follows that the deformation method gives the classification of the discrete series in all cases except for types \( E_6, E_7 \) and \( E_8 \) (in which cases the Kazdan–Lusztig classification is available of course).

In the “classical situation”, when \( k_\alpha = 2 \) for all \( \alpha \in R_1 \), one associates a set of Springer representations \( \Sigma_{u(D)} \) of \( W_0 \) to the distinguished unipotent orbit \( u = u(D) \) of \( G^\text{ad}(R_1) \) associated with \( D \). The Kazhdan–Lusztig parametrization says that the set

\[
\Delta^H_{W_0,D}(R_1, V, F_1, k_\alpha = x)
\]

(equal parameters with \( x > 0 \)) is in canonical bijection with the set \( \Sigma_{u(D)} \).

For classical root systems, [Sl2] explained how to generalize combinatorially the set of “\( k \)-unipotent” elements \( u(D) \) associated with \( D \in \text{Dyn}^{\text{dist}}(R_1, V, F_1, k) \) and the set of corresponding “\( k \)-Springer representations” \( \Sigma_{u(D)}(k) \) of \( W_0 \). This makes it possible to
recast the above parametrizations in the form of a generalized Kazhdan–Lusztig correspondence between the set $\Delta^H_{W_0}(R_1, V, F_1, k_\alpha = x)$ and the sets of $k$-Springer representations $\Sigma_{u(D)}(k)$ on a combinatorial level for arbitrary $k$. Our result thus establishes this aspect of the conjectures by Slooten [Sl2].

We will include the generalized Kazhdan–Lusztig parameters for the classical root systems, and describe their relation with the alternative parametrization (79).

6.1. The case $R_1 = A_n$, $n \geq 1$

In this case $K \cong \mathbb{R}$. Choose the basis of simple roots $F_1 = \{e_1 - e_2, \ldots, e_{n-1} - e_n\}$ for $R_1$, and define $\xi: K \to V$ by the equations $\alpha(\xi(k)) = k$ for all $\alpha \in F_1$. Then $W_0 \setminus \text{Res}^{\text{lin}}(R_1) = \{W_0 \xi\}$. The set $K^\text{reg}$ is equal to $K \setminus \{0\}$. For all $k \in K^\text{reg}$ we have $\text{Dyn}^{\text{dist}}(R_1, V, F_1, k) = \{D(k)\}$ with $D(k) = (|k|, \ldots, |k|)$. We have $e^{-1}_k \{D(k)\} = \{W_0 \xi\}$.

6.2. The case $R_1 = B_n$, $n \geq 2$

The results in this subsection are due to Slooten [Sl2]. Put $R_1 = \{\pm e_i \pm e_j : 1 \leq i \neq j \leq n\} \cup \{\pm e_i : 1 \leq i \leq n\}$. Choose as a basis $F_1 = \{e_1 - e_2, \ldots, e_{n-1} - e_n, e_n\}$. We put $k(e_i \pm e_j) = k_1 \in \mathbb{R}$ and $k(e_i) = k_2 \in \mathbb{R}$ and in this way make the identification $K = \mathbb{R}^2$. If $k_1 \neq 0$ then we define $m \in \mathbb{R}$ by $m = k_2/k_1$.

We first describe the generic linear residual points. Given a partition $\lambda \in \mathcal{P}(n)$ (i.e. a partition $\lambda \vdash n$), we define a $K$-valued point $\xi_\lambda$ as follows. Given a box $b$ of $\lambda$, let $i(b)$ be its row number and $j(b)$ its column number. We define the content $c(b)$ of the box $b$ by $c(b) = j(b) - i(b)$. We call the tableau of shape $\lambda$ in which the boxes $b \in \lambda$ are filled with the expression $c(b)k_1 + k_2$ the generic $k$-shifted tableau of $\lambda$, denoted by $T(\lambda, k)$. We order the boxes of $T(\lambda, k)$ in the standard way by reading the tableau from left to right and from top to bottom. Then we define $\xi_\lambda$ as the $K$-valued point of $V$ such that the $i$th coordinate $e_i(\xi)$ is equal to the filling $c(b_j)k_1 + k_2$ of the $i$th box of $T(\lambda, k)$.

**Theorem 6.2.** We have a bijection

$$\Lambda: \mathcal{P}(n) \to W_0 \setminus \text{Res}^{\text{lin}}(R_1),$$

$$\lambda \mapsto W_0 \xi_\lambda.$$  

The set $K^\text{reg}_\lambda$ of regular parameters for $\xi_\lambda$ is of the form

$$K^\text{reg}_\lambda = K \setminus \bigcup_{m \in \mathcal{M}^\text{sing}_\lambda} L_m,$$

(80)
where $L_m = \{(k_1, k_2); k_2 = mk_1\} \subset K$ and where $M^\text{sing}_\lambda$ is a set of half-integral ratios $m \in \frac{1}{2} \mathbb{Z}$ which are called singular with respect to $\lambda$ and which will be described in Proposition 6.4 below. We first define for $m \in \frac{1}{2} \mathbb{Z}$ the $m$-shifted content tableau $T_m(\lambda)$ of $\lambda$ as follows. The tableau $T_m(\lambda)$ has shape $\lambda$ and the box $b$ of $T_m(\lambda)$ is filled with the value $|c(b) + m|$ (i.e. the absolute value of the filling of the same box in $T(\lambda, (1, m))$). The following notion plays an important role.

**Definition 6.3.** Let $\lambda \vdash n$ and $m \in \frac{1}{2} \mathbb{Z}$. The list of extremities of $T_m(\lambda)$ is the weakly increasing list consisting of the following numbers. If $m \in \mathbb{Z}$ (resp. $m \in \mathbb{Z} + \frac{1}{2}$) then the extremities are the fillings of the boxes of $T_m(\lambda)$ at the end of a row of $T_m(\lambda)$ which are on or above the 0 diagonal (resp. the upper $\frac{1}{2}$ diagonal) and the boxes at the bottom of a column of $T_m(\lambda)$ which are on or below the 0 diagonal (resp. the lower $\frac{1}{2}$ diagonal). Here we agree to count 0 twice if 0 is both at the end of a row and of a column.

**Proposition 6.4.** We have $m \in M^\text{reg}_\lambda$ (the complement of $M^\text{sing}_\lambda$, i.e. the values $m \in \mathbb{R}$ such that $\xi_\lambda(k_1, mk_1)$ is residual if $k_1 \neq 0$) if and only if $m/ \in \frac{1}{2} \mathbb{Z}$, or $m \in \frac{1}{2} \mathbb{Z}$ and the extremities of $T_m(\lambda)$ are all distinct. If $m < 1 - n$ or $m > n - 1$ then $m$ is regular with respect to any partition $\lambda \vdash n$.

Let $K^\text{reg}$ be the intersection of sets $K^\text{reg}_\xi = K^\text{reg}_{\text{Res} \xi}$, where $\xi$ runs over $\text{Res} \xi(R_1, V, k)$.

**Corollary 6.5.** We have

$$K^\text{reg} = K \bigcup_m L_m,$$  \quad (81)

where $m$ runs over the half-integral values satisfying $1 - n \leq m \leq n - 1$. In particular, if $k \notin L_m$ for all half-integral $m$ satisfying $1 - n \leq m \leq n - 1$, the evaluation map

$$\text{ev}_k: W_0 \setminus \text{Res} \xi(R_1) \longrightarrow \text{Dyn} \xi(R_1, V, F_1, k)$$  \quad (82)

is bijective.

Let $m \in \frac{1}{2} \mathbb{Z}$ and $\lambda \vdash n$. Suppose that $m \notin M^\text{sing}_\lambda$ (in other words

$$\xi_\lambda(k_1, mk_1) \in \text{Res} \xi(R_1, V, F_1, (k_1, mk_1))$$

if $k_1 \neq 0$). Since $W_0$ contains sign changes and permutations, the corresponding element $D(k) \in \text{Dyn} \xi(R_1, V, F_1, (k_1, mk_1))$ has coordinates which are all of the form $p|k_1|$ with $p \geq 0$ and $p \in m + \mathbb{Z}$. Conversely, any point $D(k) \in \text{Dyn} \xi(R_1, V, F_1, k)$ is of this form. In order to see this, we recall the following result (see [HO1] and [SI2]).
Proposition 6.6. Let \( m \in \frac{1}{2} \mathbb{Z} \) and let \( k = (k_1, mk_1) \) with \( k_1 \neq 0 \). Let \( D \in \mathbb{R}^n \) be dominant with respect to \( F_1 \). Then \( D \in \text{Dyn}^{\text{dist}}(R_1, V, F_1, k) \) only if all coordinates of \( D \) are of the form \( p|k_1| \) with \( p \geq 0 \). So let us suppose that all coordinates of \( D \) are of the above mentioned form. Let \( \mu_p = \mu_p(D) \) denote the multiplicity of \( p|k_1| \) as a coordinate of \( D \).

We distinguish the following cases:

1. If \( m = 0 \) then \( D \in \text{Dyn}^{\text{dist}}(R_1, V, F_1, k) \) if and only if (i) \( \mu_r = 1 \) if \( r \) is maximal such that \( \mu_r \neq 0 \), (ii) \( \mu_p \in \{m_p+1, m_p+1\} \) for all \( p \geq 0 \) and (iii) \( \mu_0 = \frac{1}{2} (\mu_1 + 1) \).

2. If \( m \in \mathbb{Z} \setminus \{0\} \) then \( D \in \text{Dyn}^{\text{dist}}(R_1, V, F_1, k) \) if and only if (i) \( \mu_r = 1 \) if \( r \) is maximal such that \( \mu_r \neq 0 \), (ii) \( \mu_p \in \{m_p+1, m_p+1\} \) for all \( p \geq |m| \), (iii) \( \mu_p \in \{m_p+1, m_p+1\} \) for \( 1 \leq p \leq |m| - 1 \) and finally (iv) \( \mu_0 = \frac{1}{2} \mu_1 \).

3. If \( m \in \mathbb{Z} + \frac{1}{2} \) then \( D \in \text{Dyn}^{\text{dist}}(R_1, V, F_1, k) \) if and only if (i) \( \mu_r = 1 \) if \( r \) is maximal such that \( \mu_r \neq 0 \), (ii) \( \mu_p \in \{m_p+1, m_p+1\} \) for all \( p \geq |m| \) and (iii) \( \mu_p \in \{m_p+1, m_p+1\} \) for \( \frac{1}{2} \leq p \leq |m| - 1 \).

Definition 6.7. We keep the notation as given in Proposition 6.6. Assume that \( D \in \text{Dyn}^{\text{dist}}(R_1, V, F_1, k) \). We call \( p \in m + Z \) a jump of \( D \) if \( p \geq |m| \) and \( \mu_p = \mu_{p+1} + 1 \), or if \( 0 < p < |m| \) and \( \mu_p = \mu_{p+1} \). Finally we add 0 (if \( m \in \mathbb{Z} \)) or \( -\frac{1}{2} \) (if \( m \in \mathbb{Z} + \frac{1}{2} \)) to the list of jumps of \( D \) in order to ensure that the number of jumps of \( D \) is equal to \( |m| + 2 \nu \) for some \( \nu \in \mathbb{Z}_{\geq 0} \) (this is always possible, see [Si2]).

Remark 6.8. It is a simple matter to reconstruct \( D \) from its list of jumps by computing the multiplicities \( m_p \) of the entries of the form \( p|k_1| \), starting from the top \( m_r = 1 \).

This gives rise to a different classification of the set of \( k \)-weighted distinguished Dynkin diagrams \( \text{Dyn}^{\text{dist}}(R_1, V, F_1, k) \) by the introduction of a combinatorial analogue \( U_m(n) \) of the corresponding set of “distinguished \( m \)-unipotent classes”:

Definition 6.9. If \( m \in \mathbb{Z} \), we define

\[
U^\text{list}_m(n) = \{u + 2n + m^2 : l(u) \geq |m| \text{ and } u \text{ has odd, distinct parts}\} \tag{83}
\]

and if \( m \in \mathbb{Z} + \frac{1}{2} \) we define

\[
U^\text{list}_m(n) = \{u + 2n + m^2 - \frac{1}{2} : l(u) \geq |m| \} \text{ and } u \text{ has even, distinct parts}\} \tag{84}
\]

Proposition 6.10. Let \( m \in \frac{1}{2} \mathbb{Z} \) and \( u \in U^\text{list}_m(n) \). Let \( k = (k_1, mk_1) \in L_m \) with \( k_1 \neq 0 \). If \( m \in \mathbb{Z} + \frac{1}{2} \) we add 0 as a part of \( u \) if necessary to assure that the number of parts of \( u \) is equal to \( |m| + 2 \nu \) for some \( \nu \in \mathbb{Z}_{\geq 0} \). The list \( j = j(u) \) consisting of the numbers \( \frac{1}{2} (u_i - 1) \), where \( u_i \) runs over the parts of \( u \) (ordered in ascending order), is the list of jumps of a unique distinguished \( k \)-weighted Dynkin diagram \( D \in \text{Dyn}^{\text{dist}}(R_1, V, F_1, k) \) (where \( D \) is of the form as described in Proposition 6.6). This sets up a bijection

\[
f^BC : U^\text{list}_m(n) \to \text{Dyn}^{\text{dist}}(R_1, V, F_1, k) \tag{85}
\]
Finally we remark that $\text{Dyn}^{\text{dist}}(R_1, V, F_1, (0, 0)) = \emptyset$.

This completes the classification of the set $\text{Dyn}^{\text{dist}}(R_1, V, F_1, k)$ for all values of $k \in \mathcal{K}$. It remains to describe, for all special values $k \in L_m \setminus \{0\}$ and all $D \in \text{Dyn}^{\text{dist}}(R_1, V, F_1, k)$, the fiber $e_{k}^{-1}(D)$ of the evaluation map

$$e_{k}: W_{0} \setminus \text{Res}^{\text{lin}}_{0}(R_1) \to \text{Dyn}^{\text{dist}}(R_1, V, F_1, k),$$

(86)

where $W_{0} \setminus \text{Res}^{\text{lin}}_{0}(R_1)$ is the set of orbits of generic residual points which remain residual upon evaluation at $k$ (note that this depends on $m = m(k)$, rather than $k$). Equivalently, we will describe, for each $D \in \text{Dyn}^{\text{dist}}(R_1, V, F_1, k)$, the set

$$\mathcal{P}_{m}(D) := \Lambda^{-1}(e_{k}^{-1}(D)) \subset \mathcal{P}(u)$$

(87)

of all partitions $\lambda$ of $n$ such that $W_{0} \xi_{\lambda}(k) = W_{0}D$.

**Definition 6.11.** Let $m \in \frac{1}{2} \mathbb{Z}$. Given $u \in \mathcal{U}_{m}^{\text{dist}}(n)$, we define a bipartition $\phi_{m}(u) \in \mathcal{P}(2, n)$ as follows. First assume that $m$ is non-negative. Let $j = j(u)$ be the sequence of jumps of length $[m] + 2\nu \in \mathbb{Z}_{\geq 0}$ associated with $u$ as in Proposition 6.10. Then we define $\phi_{m}(u) = (\xi_{m}(u), \eta_{m}(u)) \in \mathcal{P}(2, n)$, where

$$\xi_{m}(u) = (j_{1}, j_{3}, \ldots, j_{2\nu-1}, j_{2\nu+1}, j_{2\nu+2} - 1, j_{2\nu+3} - 2, \ldots, j_{2\nu+m} - (m - 1)),$$

$$\eta_{m}(u) = (j_{2} + 1, j_{4} + 1, \ldots, j_{2\nu} + 1),$$

if $m \in \mathbb{Z}$ and

$$\xi_{m}(u) = (j_{1} + \frac{1}{2}, j_{3} + \frac{1}{2}, \ldots, j_{2\nu+1} + \frac{1}{2}, j_{2\nu+2} - \frac{1}{2}, j_{2\nu+3} - \frac{3}{2}, \ldots, j_{2\nu+m} + \frac{1}{2} - (m - 1)),$$

$$\eta_{m}(u) = (j_{2} + \frac{1}{2}, j_{4} + \frac{1}{2}, \ldots, j_{2\nu} + \frac{1}{2}),$$

if $m \in \mathbb{Z} + \frac{1}{2}$. If $m < 0$, then we define $\phi_{m}(u) := (\eta_{-m}(u), \xi_{-m}(u)) \in \mathcal{P}(2, n)$.

**Definition 6.12.** Let $(\xi, \eta) \in \mathcal{P}(2, n)$. We recall from [Sl2] the equivalence class of $m$-symbols of $(\xi, \eta)$ denoted by $\hat{\Lambda}^{m}(\xi, \eta)$. For $m = 0$ we use the symbol “$+$”. We denote by $[(\xi, \eta)]_{m}$ the set of $(\xi', \eta') \in \mathcal{P}(2, n)$ such that $\hat{\Lambda}^{m}(\xi, \eta)$ and $\hat{\Lambda}^{m}(\xi', \eta')$ have representatives which contain the same entries the same number of times. For $u \in \mathcal{U}_{m}^{\text{dist}}(n)$ we define $\Sigma_{m}(u) \subset \mathcal{P}(2, n)$ by $\Sigma_{m}(u) := [\phi_{m}(u)]_{m}$.

Finally, the following result of Slooten gives the desired parametrization of the set $\mathcal{P}_{m}(D)$ (and hence of the fiber $e_{k}^{-1}(D)$ of the evaluation map).

**Theorem 6.13.** ([Sl2, Theorem 5.27]) The joining map $J_{m}$ (see [Sl2, Definition 5.18]) is well defined on $\Sigma_{m}(u)$ and this yields a bijection

$$J_{m}: \Sigma_{m}(u) \to \mathcal{P}_{m}(f_{k}^{BC}(u))$$

(88)

whose inverse is given by the splitting map $S_{m}$ (see [Sl2, Definition 5.16]).
Corollary 6.14. Let \( m \in \frac{1}{2} \mathbb{Z} \), let \( k = (k_1, mk_1) \) with \( k_1 \neq 0 \) and suppose that \( D \in \text{Dyn}^{\text{dist}}(R_1, V, F_1, k) \). Put \( u = (f_k^{(3)})^{-1}(D) \in U_n(n) \). We can arrange that \( u \) has \( \lceil m \rceil + 2\nu \) parts (with \( \nu \in \mathbb{Z} \geq 0 \)). Then

\[
|P_m(D)| = \begin{cases} 
\binom{|m| + 2\nu}{\nu}, & \text{if } u_1 \neq 0, \\
\binom{|m| + 2\nu - 1}{\nu}, & \text{otherwise.} 
\end{cases}
\] (89)

6.2.1. The case \( k_1 = 0 \)

If \( k = (0, 0) \), then there are no linear residual points, since \( k \) is singular for all generic linear residual points.

The situation where \( k = (0, k_2) \) with \( k_2 \neq 0 \) is an important special case. Its importance stems in part from the fact that although \( k \) is highly non-generic it is regular for all generic linear residual points. In fact, all generic linear residual orbits coalesce upon specialization for \( k_1 = 0 \) to the unique orbit of residual points \( W_0(\xi(k)) \), where \( \xi \) is defined by \( \xi_i(k) = k_2 \) for all \( i = 1, ..., n \). In other words, we have

\[
\text{Res}_{k}^{\text{lin}}(R_1) = \text{Res}_{k}^{\text{lin}}(R_1)
\] (90)

and (in the coordinates \( e_1, ..., e_n \) of \( V \))

\[
\text{Dyn}^{\text{dist}}(R_1, V, F_1, k) = \{(|k_2|, ..., |k_2|)\}
\] (91)

The evaluation map \( ev_k \) is the unique map from \( \text{Res}_{k}^{\text{lin}}(R_1) \) to \( \text{Dyn}^{\text{dist}}(R_1, V, F_1, k) \).

6.3. The case \( R_1 = C_n, n \geq 3 \)

Put \( R_1 = \{\pm e_i \pm e_j : 1 \leq i \neq j \leq n\} \cup \{\pm 2e_i : 1 \leq i \leq n\} \) as a basis. Choose \( F_1 = \{e_1 - e_2, ..., e_{n-1} - e_n, 2e_n\} \) as a basis. We put \( k(e_i \pm e_j) = k_1 \in \mathbb{R} \) and \( k(2e_i) = k_2 \in \mathbb{R} \) and in this way make the identification \( K = \mathbb{R}^2 \). Clearly we have the following equality for all \( k = (k_1, k_2) \):

\[
\text{Res}_{k}^{\text{lin}}(C_n, (k_1, k_2)) = \text{Res}_{k}^{\text{lin}}(B_n, (k_1, \frac{1}{2}k_2)).
\] (92)

Since \( W_0(B_n) = W_0(C_n) \), we see that everything reduces to the case \( R_1 = B_n \).

6.4. The case \( R_1 = D_n, n \geq 4 \)

We put \( R_1 = \{\pm e_i \pm e_j : 1 \leq i \neq j \leq n\} \) as a basis. Choose \( F_1 = \{e_1 - e_2, ..., e_{n-1} - e_n, e_{n-1} + e_n\} \) as a basis. The case \( R_1 = D_n \) can be reduced to the discussion of §6.2 as well in the following way, using the Clifford theory discussion from [RR].
Let $F^b_1$ denote the basis for $B_\infty$ as in §6.2. Let
\[
\psi: \mathbf{H}(B_{n}, V, F^b_1, (k, k_2)) \rightarrow \mathbf{H}(B_{n}, V, F^b_1, (k_1, -k_2))
\] (93)
be the unique algebra isomorphism such that $\psi(x) = x$ for all $x \in V^* = \mathbb{R} \otimes X$, $\psi(s_{e_{i-1} - e_i}) = s_{e_{i-1} - e_i}$ for all $i = 2, ..., n$, and $\psi(s_{e_n}) = -s_{e_n}$ (compare with the isomorphisms $\psi_n$ discussed in §2.1.2). Then $\psi$ restricts to an involutive automorphism of $\mathbf{H}(B_{n}, V, F^b_1, (k_1, 0))$. Let $\Psi = \{1, \psi\} \cong \frac{1}{2}\mathbb{Z}$ be the group of automorphisms of $\mathbf{H}(B_{n}, V, F^b_1, (k_1, 0))$ generated by $\psi$. Then it is easy to see that
\[
\mathbf{H}(D_{n}, V, F_1, (k_1, 0)) \cong \mathbf{H}(B_{n}, V, F^b_1, (k_1, 0))^{\Psi}
\] (94)
(where the generator $s_{e_{n-1} + e_n}$ on the left-hand side corresponds to $s_{e_n} s_{e_{n-1} - e_n} s_{e_n}$ on the right-hand side).

Let $k = k(\pm e_i \pm e_j) \in K(D_{n})$. We use $k$ as a coordinate on the line $L_0 \subset K(B_{n})$ by identifying $k$ with the element $(k, 0) \in L_0$. Let us from now assume that $k \in K^{\text{reg}}(D_{n}) = K(D_{n}) \setminus \{0\}$ (and in the context of $R_1 = B_{n}$ we identify $k$ with $(k, 0) \in L_0$). We have $W_0(B_{n}) = W_0(D_{n}) \times \Gamma$, where $\Gamma = \{\epsilon, \gamma\} \cong \frac{1}{2}\mathbb{Z}$ and $\gamma$ is the diagram automorphism that exchanges $e_{n-1} - e_n$ and $e_n + e_n$. Hence the center equals (see Corollary 2.10)
\[
Z(B_n, F^b_1, (k, 0)) = Z(D_n, F_1, k)^\Gamma.
\] (95)
It is easy to see that for every $u \in \mathcal{U}^\text{dist}_0(n)$ (defined as in §6.2) the orbit $W_0(B_n)f^\text{BC}_k(u) \in W_0(B_n) \setminus \text{Res}(B_n, k)$ is in fact a single $W_0(D_n)$-orbit of residual points for $R_1 = D_n$. It follows that
\[
f^\text{BC}_k: \mathcal{U}^\text{dist}_0(n) \rightarrow \text{Dyn}^\text{dist}(D_n, F_1, k)
\] (96)
is a bijection.

Observe that we have (using the notation of Theorem 6.2) the relation
\[
W_0\xi_{\lambda'}(k_1, -k_2) = W_0\xi_{\lambda}(k_1, k_2),
\] (97)
where $\lambda \rightarrow \lambda'$ is the conjugation involution of $\mathcal{P}(n)$. Thus the set $W_0(B_n) \setminus \text{Res}^\text{lin}_0(B_n)$ of orbits of generic residual $B_n$-points which remain residual if we restrict $(k_1, k_2)$ to a (non-zero) element $(k, 0) \in L_0$, admits an involution $\iota$ given (via $\Lambda$) by the conjugation involution. By Proposition 6.4 this involution acts in a fixed point free manner on $W_0 \setminus \text{Res}^\text{lin}_0(B_n)$. The involution is clearly compatible with the evaluation map $\text{ev}_0$. It follows from (97) that for all $\delta \in \Delta^\mathbf{H}(B_{n}, V, F^b_1, (k, 0))$ we have
\[
\text{gce}^\mathbf{H}(\delta; \psi) = \iota(\text{gce}^\mathbf{H}(\delta)).
\] (98)
Accordingly, we define

$$W_0(D_n) \backslash \text{Res}^{\text{lin}}(D_n)_{k}^{\#} := (W_0(B_n) \backslash \text{Res}^{\text{lin}}_0(B_n))/\{e, \iota\},$$

(99)

and we have a corresponding evaluation map

$$W_0(D_n) \backslash \text{Res}^{\text{lin}}(D_n)_{k}^{\#} \rightarrow \text{Dyn}^{\text{dist}}(D_n, F_1, k).$$

(100)

**Remark 6.15.** The relation with the usual Kazhdan–Lusztig parameters for $D_n$ is as follows. For all $u \in U^{\text{dist}}_2(n)$ the involution $\iota$ acts without fixed points on the set $\Sigma_0(u)$ by

$$\iota: \Sigma_0(u) \rightarrow \Sigma_0(u),$$

$$(\xi, \eta) \mapsto (\eta, \xi).$$

The set $\Sigma^{D_n}(u)$ of Springer representations of $W_0(D_n)$ associated with $u$ is the set of $\{1, \iota\}$-orbits in $\Sigma_0(u)$. In particular, for all $D \in \text{Dyn}^{\text{dist}}(D_n, F_1, k)$ we have a natural bijection between the fiber $(ev_k^{\#})^{-1}(D)$ and the set of classical Kazhdan–Lusztig parameters $\Sigma^{D_n}(u)$ associated with $u = u(D)$.

### 6.5. The case $R_1 = E_n, n = 6, 7, 8$

In the simply laced cases we can classify the generic linear residual orbits with the weighted Dynkin diagrams for the distinguished nilpotent orbits (see [Op1, Proposition B.1 (i)]). Since the weighted Dynkin diagrams characterize the nilpotent orbits completely by the Bala–Carter theorem (see [Ca]), we obtain for all $k \neq 0$ a bijection

$$f_k^{\text{BC}}: U^{\text{dist}}(R_1) \rightarrow \text{Dyn}^{\text{dist}}(R_1, V, F_1, k),$$

(101)

where $U^{\text{dist}}(R_1)$ denotes the set of distinguished nilpotent orbits of the simple complex Lie algebra with root system $R_1$. It is well known that the values of the roots on the generic linear residual points are integral linear combinations of the $k(\alpha)$ (corresponding to the fact that the roots take even values on the distinguished weighted Dynkin diagrams). We refer to [Ca, pp. 176–177] for the tables of the distinguished weighted Dynkin diagrams.

### 6.6. The case $R_1 = F_4$

Let $(\alpha_1, \alpha_2, \alpha_3, \alpha_4)$ be a basis of simple roots of $R_1$ such that $\alpha_1$ and $\alpha_2$ are long, $\alpha_3$ and $\alpha_4$ are short, and $\alpha_2(\alpha_3^\vee) = -2$. 
The set $W_0 \setminus \text{Res}^{\text{lin}}(F_4)$ was completely classified in [HO1, Table 4.10], but unfortunately this table contains an error (the coordinates of $f_7$ are incorrect). We therefore include the corrected table (see Table 1) below. There are eight orbits of generic linear residual points for $F_4$, numbered $f_1, \ldots, f_8$. The orbits are generically regular with respect to the $W_0$-action, except for $f_8$ which generically has an isotropy group of type $A_1 \times A_1$. In Table 2 we have specified for each generic linear residual orbit $f_n = W_0 \xi_n$ a generic linear residual point $\xi_n$ by means of the vector of values $(\alpha_1(\xi_n), \ldots, \alpha_4(\xi_n))$. Here $k = (k_1, k_2)$, where $k_1$ is the parameter of the long roots.

In Table 3 we list the non-generic values of $k$, together with the set $\text{Dyn}^{\text{dist}}(k) := \text{Dyn}^{\text{dist}}(R_1, V, F_4, k)$ of $k$-weighted Dynkin diagrams, and for each $D \in \text{Dyn}^{\text{dist}}(k)$ the

| Orbit | $K^\text{reg}_\xi$ |
|-------|---------------------|
| $f_1$ | $(2k_1+3k_2)(3k_1+4k_2)(3k_1+5k_2)(5k_1+6k_2) \neq 0$ |
| $f_2$ | $(k_1^2 - (6k_2)^2)k_2 \neq 0$ |
| $f_3$ | $(3k_1+2k_2)(k_1+3k_2)(2k_1+3k_2)(3k_1+4k_2) \neq 0$ |
| $f_4$ | $(2k_1-3k_2)(3k_1-4k_2)(3k_1-5k_2)(5k_1-6k_2) \neq 0$ |
| $f_5$ | $((3k_1)^2 - (2k_2)^2)(k_1^2 - (3k_2)^2) \neq 0$ |
| $f_6$ | $(3k_1-2k_2)(k_1-3k_2)(2k_1-3k_2)(3k_1-4k_2) \neq 0$ |
| $f_7$ | $((3k_1)^2 - k_2^2)k_1 \neq 0$ |
| $f_8$ | $k_1k_2 \neq 0$ |

Table 2. $F_4$: Regular parameters.
inverse image $ev_k^{-1}(D)$ of the map
\[ ev_k: W_0 \setminus \text{Res}^{\text{lin}}_k \longrightarrow \text{Dyn}^{\text{dist}}(k). \]

**Remark 6.16.** In Table 3 we assume that $x > 0$. Not all special parameters are listed in Table 3 but all other special values can be obtained from the listed ones by applying the following symmetries. First of all we have $f_i(k_1, k_2) = f_i(-k_1, -k_2)$ (since $-\text{id} \in W_0$) and $f_i(k_1, k_2) = f_{\theta(i)}(k_1, -k_2) = f_{\theta(i)}(-k_1, k_2)$ with $\theta = (14)(36)$. With these transformations we can reach all quadrants of $K$ from the positive quadrant. In addition, we have used the following symmetry (arising from interchanging the long and short roots) to reduce the length of Table 3: Let $\Psi((a, b, c, d)) = (2d, 2c, b, a)$. Then we can define $D_i(2k_2, k_1)$ by $D_i(2k_2, k_1) = \Psi(D_i(k_1, k_2))$. The map $\Psi$ acts as follows on the set of generic linear residual orbits: $\Psi(f_i(k_1, k_2)) = f_{\sigma(i)}(2k_2, k_1)$, where $\sigma$ is the transposition (27). Observe that $\Psi^2(a, b, c, d) = (2a, 2b, 2c, 2d)$, and thus $\Psi^2$ corresponds to replacing $x$ by $2x$.

### 6.7. The case $R_1 = G_2$

See [HO1, Proposition 4.15]. There are three orbits of generic linear residual points $W_0 \xi_1$, $W_0 \xi_2$, and $W_0 \xi_3$, which we will refer to as $g_1$, $g_2$, and $g_3$, respectively. Let $\alpha_1$ be the simple long root and $\alpha_2$ the simple short root. Let $k = (k_1, k_2)$ with $k_1$ being the parameter of the long root. Table 4 lists the $g_i = W_0 \xi_i$ and the set $K_i^{\text{reg}}$ where $W_0 \xi_i$ remains residual upon specialization. We use similar conventions as in the case $F_4$.

In Table 5 we list the non-generic values of $k$, together with the set $\text{Dyn}^{\text{dist}}(k)$ of $k$-weighted Dynkin diagrams and for each $D \in \text{Dyn}^{\text{dist}}(k)$ the inverse image $ev_k^{-1}(D)$ of the map
\[ ev_k: W_0 \setminus \text{Res}^{\text{lin}}_k \longrightarrow \text{Dyn}^{\text{dist}}(k). \]

**Remark 6.17.** In Table 5 we assume that $x > 0$. Not all special parameters are listed in Table 5 but all other special values can be obtained from the listed ones by applying the following symmetries. First of all we have $g_i(k_1, k_2) = g_i(-k_1, -k_2)$ (since $-\text{id} \in W_0$) and $g_i(k_1, k_2) = g_{\theta(i)}(k_1, -k_2) = g_{\theta(i)}(-k_1, k_2)$ with $\theta = (12)$. With these transformations we can reach all quadrants of $K$ from the positive quadrant. In addition, we have used the following symmetry (arising from interchanging the long and short roots) to reduce the length of Table 5: Let $\Psi(a, b) = (3b, a)$. Then we can define $D_i(3k_2, k_1)$ by $D_i(3k_2, k_1) = \Psi(D_i(k_1, k_2))$. The map $\Psi$ acts as follows on the set of generic linear residual orbits: $\Psi(f_i(k_1, k_2)) = f_i(3k_2, k_1)$. Observe that $\Psi^2(a, b) = (3a, 3b)$, and thus $\Psi^2$ corresponds to replacing $x$ by $3x$. 
| \( k = (k_1, k_2) \) | \( D \in \text{Dyn}^{\text{list}}(k) \) | \( \text{ev}^{-1}_k(D) \) |
|---|---|---|
| \((0, x)\) | \(D_1 = (0, 0, x, x)\) | \(f_1, f_2, f_4\) |
| | \(D_2 = (0, 0, x, 0)\) | \(f_3, f_5, f_6\) |
| \((x, x)\) | \(D_1 = (x, x, x, x)\) | \(f_1\) |
| | \(D_2 = (x, x, 0, x)\) | \(f_2, f_3\) |
| | \(D_3 = (0, x, 0, x)\) | \(f_5, f_7\) |
| | \(D_4 = (0, x, 0, 0)\) | \(f_4, f_6, f_8\) |
| \((x, 2x)\) | \(D_1 = (x, x, 2x, 2x)\) | \(f_1\) |
| | \(D_2 = (x, x, x, 2x)\) | \(f_2\) |
| | \(D_3 = (x, x, x, x)\) | \(f_3\) |
| | \(D_4 = (x, x, 0, 2x)\) | \(f_4, f_5\) |
| | \(D_5 = (x, x, 0, x)\) | \(f_6, f_7\) |
| | \(D_6 = (0, x, 0, x)\) | \(f_8\) |
| \((x, 3x)\) | \(D_1 = (x, x, 3x, 3x)\) | \(f_1\) |
| | \(D_2 = (x, x, 2x, 3x)\) | \(f_2\) |
| | \(D_3 = (x, x, x, 3x)\) | \(f_3\) |
| | \(D_4 = (x, x, 2x, x)\) | \(f_4\) |
| | \(D_5 = (x, x, x, x)\) | \(f_5\) |
| | \(D_6 = (0, x, x, x)\) | \(f_6\) |
| | \(D_7 = (0, x, 0, 2x)\) | \(f_8\) |
| \((2x, 3x)\) | \(D_1 = (2x, 2x, 3x, 3x)\) | \(f_1\) |
| | \(D_2 = (2x, 2x, 2x, 3x)\) | \(f_2\) |
| | \(D_3 = (2x, 2x, x, 2x)\) | \(f_3\) |
| | \(D_4 = (2x, 0, x, 2x)\) | \(f_4, f_7\) |
| | \(D_5 = (0, 2x, 0, x)\) | \(f_8\) |
| \((3x, 2x)\) | \(D_1 = (3x, 3x, 2x, 2x)\) | \(f_1\) |
| | \(D_2 = (3x, 3x, x, 2x)\) | \(f_2\) |
| | \(D_3 = (3x, x, x, x)\) | \(f_3\) |
| | \(D_4 = (2x, x, x, 2x)\) | \(f_4\) |
| | \(D_5 = (2x, x, x, x)\) | \(f_5\) |
| | \(D_6 = (0, x, x, 0)\) | \(f_8\) |
| \((5x, 3x)\) | \(D_1 = (5x, 5x, 3x, 3x)\) | \(f_1\) |
| | \(D_2 = (5x, 5x, 2x, 3x)\) | \(f_3\) |
| | \(D_3 = (5x, x, 2x, x)\) | \(f_2\) |
| | \(D_4 = (4x, x, 2x, 3x)\) | \(f_7\) |
| | \(D_5 = (4x, x, x, 2x)\) | \(f_5\) |
| | \(D_6 = (x, x, x, x)\) | \(f_6\) |
| | \(D_7 = (0, x, 2x, 0)\) | \(f_8\) |

Table 3. \(k\)-weighted Dynkin diagrams and confluence data for \(F_4\).
\[
\begin{array}{|c|c|c|}
\hline
\text{Type} & \xi & k^{reg}_\xi \\
\hline
g_1 & \xi_1 = (k_1, k_2) & (k_1 + 2k_2)(2k_1 + 3k_2) \\n& & \neq 0 \\
g_2 & \xi_2 = (k_1, k_2 - k_1) & (k_1 - 2k_2)(2k_1 - 3k_2) \\n& & \neq 0 \\
g_3 & \xi_3 = (k_1, \frac{1}{2}(k_2 - k_1)) & k_1k_2 \neq 0 \\
\hline
\end{array}
\]

Table 4. Generic linear residual orbits for $G_2$.

\[
\begin{array}{|c|c|c|}
\hline
k = (k_1, k_2) & D \in \text{Dyn}^{\text{dist}}(k) & \text{ev}^{-1}_k(D) \\
\hline
(0, x) & D_1 = (0, x) & g_1, g_2 \\
(x, x) & D_1 = (x, x) & g_1 \\
& D_2 = (x, 0) & g_2, g_3 \\
(2x, x) & D_1 = (2x, x) & g_1 \\
& D_2 = (\frac{1}{2}x, \frac{1}{2}x) & g_3 \\
\hline
\end{array}
\]

Table 5. $k$-weighted Dynkin diagrams and confluence for $G_2$.

7. The classification of the discrete series of $H$

We formulate the main theorem of this paper.

**Theorem 7.1.** Let $R_1 \subset V^*$ be a non-simply laced irreducible root system or $R_1 = A_n$. Let $F_1$ be a basis of simple roots, and let $k \in K$. We denote by $\Delta^H(R_1, V, F_1, k)$ the set of irreducible discrete series characters of $H(R_1, V, F_1, k)$. The generic central character map induces a bijection

\[
g_{cc}^H : \Delta^H(R_1, V, F_1, k) \xrightarrow{\cong} W_0 \setminus \text{Res}^{\text{lin}}_k(R_1)
\]

which is compatible with the central character map, in the sense that

\[
\text{ev}_k(g_{cc}^H(\delta)) = cc(\delta)
\]

for all $k \in K$ and all $\delta \in \Delta^H(R_1, V, F_1, k)$, except when $R_1 = F_4$ and $k \in K^{\text{reg}}$, in which case there are exactly two elements $\delta_{f_4}^1, \delta_{f_4}^2 \in \Delta^H(R_1, V, F_1, k)$ with generic central character $f_8$. This statement is also true for $R_1 = D_n$ (with $n \geq 4$) if we replace $W_0(D_n) \setminus \text{Res}^{\text{lin}}_k(D_n)$ by $W_0(D_n) \setminus \text{Res}^{\text{lin}}_k(D_n)^\#$ and $g_{cc}^H$ by the map $g_{cc}^{H,\#}$ which is equal to the map $g_{cc}^{H,\#(k,0)}_k$, for type $B_n$, composed with the induction map for characters of $H(D_n, V, F_1, k)$ to $H(B_n, V, F_1, (k,0))$. 
Proof. We apply the reduction results Corollaries 2.30 and 2.31 with \( u=1 \). In this situation we will denote the natural map \( Q \to \mathcal{K} \) given by \( q \to k_{u=1} = k \) by \( k = 2 \log q \).

In view of Proposition 2.56 and Corollaries 2.31 and 5.11, the result is equivalent to the statement that for all \( W_0 \xi \in W_0 \setminus \text{Res}^\text{lin}(R_1) \) and all connected components \( U \subset \mathcal{K}^\text{reg} \) we have \( M(\{W_0 \exp(\xi)\} \times \exp(U)) = 1 \) except when \( R_1 = F_4 \) and \( W_0 \xi = f_8 \), in which case the value should be 2 (independent of the choice of \( U \)).

If \( R_1 = A_n \) (with \( n \geq 1 \)), then there is one generic residual orbit \( W_0 \xi \), with two components \( \mathcal{K}_{W_0 \xi} = \{ U_+, U_- \} \). It is of course well known in this case that

\[
M_\pm := M(\{W_0 \exp(\xi)\} \times \exp(U_\pm)) = 1
\]

and there are many possible proofs for this fact, but we will explain the proof that is central to the approach in this paper in order to illustrate the method in this basic case.

The multiplicities \( M_\pm \) are on the one hand at least 1 (by Corollary 5.11) and on the other hand at most 1 by Corollaries 5.11, 2.31 and 2.36. This proves the required equality.

If \( R_1 = B_n \) (with \( n \geq 2 \)) we argue in a similar way. By Corollaries 5.11 and 6.5, we see that for all generic \( k \in \mathcal{K} \) one has \( |\Delta^H(R_1, V, F_1, k)| \geq |\mathcal{P}(n)| \), with equality if and only if \( M(\{W_0 \exp(\xi)\} \times \exp(U)) = 1 \) for all \( U \) such that \( k \in U \). On the other hand, it is well known that the set of elliptic conjugacy classes of \( W_0(B_n) \) is naturally in bijection with the set \( \mathcal{P}(n) \). Hence Corollaries 2.31 and 2.36 show that \( |\Delta^H(R_1, V, F_1, k)| \leq |\mathcal{P}(n)| \). We conclude that \( |\Delta^H(R_1, V, F_1, k)| = |\mathcal{P}(n)| \) and thus that \( M(\{W_0 \exp(\xi)\} \times \exp(U)) = 1 \) for all orbits \( W_0 \xi \) and all connected components \( U \subset \mathcal{K}^\text{reg} \) such that \( U \ni k \). Since \( k \) was chosen arbitrarily we see that \( M(\{W_0 \exp(\xi)\} \times \exp(U)) = 1 \) for all \( W_0 \xi \) and all \( \mathcal{C}_{W_0 \exp(\xi)} \), as desired.

If \( R_1 = C_n \) then the result follows easily from the case \( R_1 = B_n \) using the fact that \( H(B_n, (k_1, k_2)) \simeq H(C_n, (k_1, \frac{1}{2} k_2)) \).

If \( R_1 = G_2 \) the argument is completely analogous to the case \( R_1 = B_n \), using the results of §6.7.

In the case \( R_1 = F_4 \) we need additional arguments. The Weyl group \( W_0(F_4) \) has 9 elliptic conjugacy classes, but by §6.6, we see that there are only 8 generic linear residual points \( f_1, \ldots, f_8 \). The points \( f_1, \ldots, f_7 \) are (generically) regular. A generic residual orbit \( W_0 \exp(\xi(k)) \) carries precisely one irreducible discrete series character (see [Sl1, Corollary 1.2.11]), proving that the multiplicities associated with these orbits are all precisely equal to 1. Now consider \( f_8 \). By the above numerology, we see that for any component \( U \) of \( \mathcal{K}^\text{reg} \) the value of \( M_{f_8 \times U} \) can be either 1 or 2 and in the rest of the proof we will show that it has to be always 2. From Table 2 we have \( \mathcal{K}^\text{reg} \subset \{ U_\pm \} \) with \( U_{\xi_1, \xi_2} = \{(k_1, k_2): \xi_i k_i > 0, i = 1, 2 \} \). This simple structure of \( \mathcal{K}^\text{reg} \) is very helpful at this
point. There exist standard automorphisms (for $\varepsilon_i = \pm 1$)

$$\psi_{\varepsilon_1, \varepsilon_2}: \mathbf{H}(R_1, V, F_1, (k_1, k_2)) \to \mathbf{H}(R_1, V, F_1, (\varepsilon_1 k_1, \varepsilon_2 k_2))$$

(105)

such that $\psi_{\varepsilon_1, \varepsilon_2}(x) = x$ for all $x \in V^*$, $\psi_{\varepsilon_1, \varepsilon_2}(s_i) = \varepsilon_1 s_i$ (for $i = 1, 2$) and $\psi_{\varepsilon_1, \varepsilon_2}(s_j) = \varepsilon_2 s_j$ (for $j = 3, 4$). Clearly twisting by $\psi_{\varepsilon_1, \varepsilon_2}$ sends discrete series characters to discrete series characters and thus the multiplicities $M_{f_k, U}$ are independent of $U$. It was shown by Mark Reeder [Re1] that there exist two irreducible discrete series with central character $\varepsilon_1 \eta = 1 = 1$ $\pi(\delta) = \pi(\varepsilon_1 \eta)$ implies that the generic central character map $gcc$ acts freely on the set of generic linear residual orbits $\psi, \varepsilon_1, \psi, \varepsilon_2 = 1$. Using [RR, Theorems A.6 and A.13] we see that all characters in $\Delta^{H}(B_n, V, F_1^0, (k, 0))$ and $W_0 \backslash \text{Res}^{\text{lin}}_0(B_n)$. In §6.4 we have seen that twisting by $\psi$ acts freely on the set of generic linear residual orbits $W_0 \backslash \text{Res}^{\text{lin}}_0(B_n)$. It follows that twisting by $\psi$ acts freely on $\Delta^{H}(B_n, V, F_1^0, (k, 0))$ as well. Using [RR, Theorems A.6 and A.13] we see that all characters in $\Delta^{H}(B_n, V, F_1^0, (k, 0))$ remain irreducible when restricted to $\mathbf{H}(D_n, V, F_1, k) = \mathbf{H}(B_n, V, F_1^0, (k, 0))^g$, that all $\delta \in \Delta^{H}(D_n, V, F_1, k)$ arise in this way, that there always exist precisely two irreducible characters $\delta_+, \delta_- \in \Delta^{H}(B_n, V, F_1^0, (k, 0))$ restricting to $\delta$, and that these two characters are $\psi$-twists of each other. This proves the required result.

Let us look at an interesting special case.

**Example 7.2.** We have $\mathbf{H}(B_n, V, F_1, (0, k_2)) \simeq \mathbf{H}(A_1^n, V, F_1(A_1^n), k_2) \rtimes S_n$ with $F_1^A = \{e_1, \ldots, e_n\}$. Using this, it is easy to see that for $k_2 \neq 0$,

$$\Delta^{H}(B_n, V, F_1, (0, k_2)) = \{\delta_{\pi}: \pi \in \widehat{S}_n\},$$

(106)

with $\delta_{\pi} = \delta \otimes \pi$ and where $\delta$ is the unique irreducible (1-dimensional) discrete series character of $\mathbf{H}(A_1, V(A_1), F_1(A_1), k_2)$. If $k_2 > 0$ then

$$\delta_{\pi(\lambda)}|_{W_0} = \chi(\cdot, \lambda),$$

(107)
and if $k_2 < 0$ then
\[ \delta_{\pi(\lambda)}|_{W_0} = \chi(\lambda, \cdot), \quad (108) \]

where $\{\pi(\lambda)\}_{\lambda \in \mathcal{P}(n)}$ denotes the usual parametrization of the irreducible characters of $S_n$ by partitions of $n$ (see e.g. [Ca]), and where $\{\chi(\tau, \sigma)\}_{(\tau, \sigma) \in \mathcal{P}(2, n)}$ is the usual parametrization of the irreducible characters of $W_0 = W(B_n)$ by bipartitions of $n$.

On the other hand, we recall from §6.2.1 that $k = (0, k_2)$ is a regular parameter for all generic linear residual orbits of $H(B_n, V, F_1)$. Hence the map
\[ gcc(0, k_2) : \Delta^H(B_n, V, F_1, (0, k_2)) \rightarrow W_0 \setminus \text{Res}^{\text{lin}}(B_n) \quad (109) \]
is a bijection by Theorem 7.1. By continuity (see Theorem 5.7 and Definition 5.10) it follows that for all $\lambda \in \mathcal{P}(n)$ the generic irreducible discrete series character $\delta_{W_0 \xi_{\lambda} \times U_{\pm \infty}}$, whose domain of definition is the unique connected component $U_{\pm \infty} = U_{W_0 \xi_{\lambda} \cdot \pm \infty}$ of $K_{W_0 \xi_{\lambda}}$, which contains $(0, k_2)$ for $\pm k_2 > 0$, restricts to an irreducible character of $S_n$, and this sets up a bijective correspondence between the set of generic linear residual orbits and the set of irreducible characters of $S_n$.

**Remark 7.3.** Unfortunately, we do not know how to compute the generic central character map in this case. We conjecture that
\[ gcc(0, k_2)(\delta_{\pi(\lambda)}) = \begin{cases} W_0 \xi_{\lambda'} & \text{if } k_2 > 0, \\ W_0 \xi_{\lambda} & \text{if } k_2 < 0. \end{cases} \]

The following corollary of Theorem 7.1 was known for degenerate affine Hecke algebras with equal parameters by the work of Reeder [Re2].

**Corollary 7.4.** Let $k \in K_{\text{reg}}$ be a regular parameter. The elliptic pairing (see p. 125) is positive definite on $\text{Ell}(H(R_1, V, F_1, k))$ and the map
\[ \text{Ell}(H(R_1, V, F_1, k)) \rightarrow \text{Ell}(W_0), \quad [\pi] \mapsto [\pi|_{W_0}], \]
yields an isometric isomorphism with respect to the elliptic pairing.

**Proof.** We may assume that $R_1$ is irreducible. If $R_1$ is not simply laced, we see from our results above that (since $k \in K_{\text{reg}}$) the images in $\text{Ell}(H(R_1, V, F_1, k))$ of the irreducible characters in $\Delta^H(R_1, V, F_1, k)$ form a linear basis of $\text{Ell}(H(R_1, V, F_1, k))$. We also know that these even form an orthonormal basis with respect to the elliptic pairing, and hence the elliptic pairing is positive definite in this case. Using results of [OS], it follows that the limits of these characters for $xk$ (with $x \rightarrow 0$) form an orthonormal set of elliptic
characters of $W_0$ (actually, in order to see this using the results of [OS], we need to lift the characters to $\mathcal{H}(R, q)$ using the equivalence of Corollary 2.31, then take the limit $q^x$ with $x \to 0$ to get a set of orthonormal elliptic characters for $W$, and then use the formula for the elliptic paring of [OS, Theorem 3.2]). Finally we already established in the previous theorem that the cardinality of this set is equal to the dimension of the space $\text{Ell}(W_0)$. This yields the desired result for non-simply laced cases. For simply laced cases (or more generally all cases with equal parameters $k$, i.e. such that $k_\alpha = x$ for all $\alpha \in R_1$) the result is due to Reeder [Re2] (based on the Kazhdan–Lusztig model for the characters of $\mathcal{H}(R, q)$).

It is natural to expect that the result of Corollary 7.4 holds for arbitrary $k$. We conjecture something stronger (see [ABP] for related conjectures).

**Conjecture 7.5.** A generic family $\delta$ of irreducible discrete series characters

$$\delta \in \Delta^{\mathcal{H}, \text{reg}}(R_1, V, F_1)$$

with domain of definition $U \in K_{W_0, \xi}$ say, has weakly continuous limits to the points $k \in \overline{U}$ (the closure of $U$). In view of the above results this would imply that the elliptic paring is positive definite on $\text{Ell}(\mathcal{H}(R_1, V, F_1))$ for all semisimple root systems $R_1$ and all $k \in K$, and that this space is isometric to $\text{Ell}(W_0)$ for all $k \in K$.

**Remark 7.6.** Using the gce$^H$ invariant it is not difficult to check that for all irreducible root systems $R_1$ the irreducible discrete series characters are stable for twisting by diagram automorphisms (a case-by-case verification).

### 8. The classification of the discrete series of $\mathcal{H}$

Since a semisimple root datum is in general not isomorphic to a direct sum of irreducible root data, the classification of the irreducible discrete series characters cannot be reduced to the same problem for an irreducible root datum. However, we have seen (Theorems 2.6 and 2.8) how to reduce the problem to the analogous problem for crossed products of semisimple degenerate affine algebras by certain groups of diagram automorphisms. In §7 we have covered the basic building blocks, the simple degenerate affine Hecke algebras.

Even though the classification problem for semisimple affine Hecke algebras can in general not be reduced to the simple cases, it is instructive to give the classification in certain basic situations. This is what we seek to do in the present section. In particular we classify in this section the irreducible discrete series characters for all the irreducible non-simply laced root data and all possible positive root labels (using Theorems 2.6 and 2.8 to reduce the problem to Theorem 7.1).
Let $R=(X, R_0, Y, R_0^\vee, F_0)$ be an irreducible root datum, and let $q\in \mathbb{Q} = \mathbb{Q}(R)$. Recall the maximal root datum $R_{\text{max}}$ (with $X_{\text{max}} = \mathbb{R}(R)$), the weight lattice of $R_1$ and $R_0_{\text{max}} = R_0$ with the natural isogeny $\psi: R \to R_{\text{max}}$ such that $\mathbb{Q}(R) = \mathbb{Q}(R_{\text{max}})$. Let us define

$$
\Gamma = Y/\mathbb{Q}(R_1) \cong \text{Hom}(X_{\text{max}}/X, \mathbb{C}^\times) \subset T_{\text{max}}.
$$

(110)

An element $\gamma \in \Gamma$ uniquely extends to a linear character (also denoted $\gamma$) of $W_{\text{max}} = X_{\text{max}} \rtimes W_0$ which is trivial on $W_0$. $\Gamma$ acts on the affine Hecke algebra $\mathcal{H}_{\text{max}} = \mathcal{H}(R_{\text{max}}, q)$ by means of algebra isomorphisms as follows: for $w \in W_{\text{max}}$ and $\gamma \in \Gamma$ we define $\gamma(N_w) = \gamma(w)N_w$. With this action of $\Gamma$ we have

$$
\mathcal{H}(R, q) = \mathcal{H}(R_{\text{max}}, q)^\Gamma.
$$

(111)

We are interested in applying Theorem 2.6 to central characters which carry discrete series characters and their formal degrees $\{\gamma\}$. Thus the following definition makes sense (in view of (25)).
172.

**Definition** 8.1. We define the spectral diagram $\Sigma$ associated with $(R, q)$ as the affine Dynkin diagram of $W^\vee$ associated with the basis $F^\vee$ of $R_1^{(1)}$, where we give all the vertices $\alpha^\vee \in F^\vee$ of $\Sigma$ a weight $k_{\alpha^\vee}$ defined as follows. We define $k_{\alpha^\vee} = k_{s, D}(\alpha^\vee)$ (as in (25)), where $s = s(e)$ for $e \in E(C^\vee) \setminus \{e(\alpha^\vee)\}$ (an arbitrary choice). Note that $\Sigma$ (labelled with these weights) is invariant for the natural action of $\Gamma$ on $F^\vee$. We include the action of $\Gamma$ on the diagram and the marking of the special vertex (extending the diagram of $R_1^{(1)}$) in the spectral diagram.

**Example** 8.2. If $R = R^{\text{max}}$ we have $\Gamma = 1$. These cases are referred to as $R_1^{(1)}$.

**Example** 8.3. It is possible that the generic affine Hecke algebra of a root datum is a specialization of the generic affine Hecke algebra of another root datum. For example, $\mathcal{H}(C_n, P(C_n), B_n, Q(B_n), F_0(C_n))$ is isomorphic to the specialization $v_{2\beta} = 1$ in the generic algebra of the type $\mathcal{H}(B_n, Q(B_n), C_n, P(C_n), F_0(B_n))$, where $\beta \in R_0 = B_n$ is such that $2\beta \in R_1$. This is compatible with the previous remark in the sense that both these cases are referred to as $C_n^{(1)}$. A basic example in this class is the Iwahori–Hecke algebra of the Chevalley group of type $G = \text{SO}_{2n+1}(F)$, with $q^2 = |O/P|$, the cardinality of the residue field. See Figure 1 (with $k = 2 \log q$).

**Example** 8.4. The Iwahori–Hecke algebra of the simply connected group $\text{Sp}_{2n}(F)$ (where we put $q^2 = |O/P|$) has the spectral diagram displayed in Figure 2 (where $k = 2 \log q$). It corresponds to the case $R_0 = B_n$ and $X = Q(R_0)$, and therefore it is obviously also a specialization of $C_n^{(1)}$ (namely, this case corresponds to the specialization $v_{2\beta} = 1$ for $\alpha = 2\beta$ with $\beta \in R_0$).

Indeed, the spectral diagram of Figure 2 is equivalent to the diagram of type $C_n^{(1)}$ displayed in Figure 3.

**Example** 8.5. More generally, let $R$ be of type $C_n^{(1)}$. Let $R_0 = \{\pm e_1, \pm e_i, \pm e_j\}$ and put $X = Q(R_0)$. Choose $F_0 = \{e_1 - e_2, \ldots, e_{n-1} - e_n, e_n\}$ and put $q_1 = q(s_{i_1} - x_{i_1} + 1)$, $q_2 = q(s_{2i_n})$ and $q_0 = q(s_{2i_1})$. Put $k = 2 \log q_1$ and define $m_\pm$ by $m_\pm k = \pm \log q_0 + \log q_2$. The corresponding spectral diagram is displayed in Figure 4. We refer to [Lu3] and [Bl] for explicit examples of such affine Hecke algebras as convolution algebras in the representation theory of $p$-adic groups.
Definition 8.6. With each element \( e \in E(C^\vee) \) we associate the semisimple root system \( R_{s(e),1} \) with basis \( F_{s(e),1} \) (as in Definition 2.5). Then \( D(F^\vee \setminus \{a^\vee(e)\}) \) is a basis for \( R_{s(e),1} \). Let \( k_e \in K(R_{s(e),1}) \) denote the unique parameter function on \( R_{s(e),1} \) which corresponds to the set of weights of \( \Sigma \) restricted to \( F^\vee \setminus \{a^\vee(e)\} \). Then we associate with \( e \) the algebra

\[
H_e := H(R_{s(e),1}, V, F_{s(e),1}, k_e) \rtimes \Gamma_{s(e)}.
\]

We denote by \( \Delta(H_e) \) the set of irreducible discrete series characters of \( H_e \) (in the sense as explained in the text following Corollary 2.27).

Let us finally formulate our classification theorem.

Theorem 8.7. Let \( \mathcal{R} = (X, R_0, Y, R_0^\vee, F_0) \) be a root datum with \( R_0 \) irreducible, and let \( q \in \mathbb{Q} \). Let \( \Delta(\mathcal{R}, q) \) be the set of irreducible discrete series characters of the Hecke algebra \( \mathcal{H}(\mathcal{R}, q) \) as usual. There exists a natural bijection

\[
\Delta(\mathcal{R}, q) \longleftrightarrow \bigoplus_{e \in \Gamma \setminus E(C^\vee)} \Delta^{s(e)}(\mathcal{R}, q),
\]

where the disjoint union is taken over a set of representatives for the \( \Gamma \)-action on \( E(C^\vee) \).

For each \( e \in E(C^\vee) \) there is a natural bijection

\[
\Delta^{s(e)}(\mathcal{R}, q) \simeq \Delta(H_e)
\]

(\textit{where the right-hand side denotes the set of irreducible discrete series characters of } \( H_e \)).

In particular, if \( \Gamma_{s(e)} = 1 \) we have

\[
\Delta^{s(e)}(\mathcal{R}, q) \simeq \Delta^H(R_{s(e),1}, V, F_{s(e),1}, k_e)
\]
(which is completely described by Theorem 7.1). If $\delta^H \in \Delta(H_e)$, then its restriction to $H(R_{s(e),1},V,F_{s(e),1},k_e)$ is a finite sum of irreducible discrete series characters $\delta_i^H$ whose generic central characters $\text{gcc}^H(\delta_i^H)$ constitute one $W_{s(e)}$-orbit of a generic linear $R_{s(e),1}$-residual point $\xi$ (using Theorem 7.1). We express this by writing

$$\text{gcc}^H(\delta^H) = W_{s(e)}\xi.$$  

With this notation, the bijection above has the property that if $\delta \in \Delta(H_e)$ with $\text{gcc}^H(\delta^H) = W_{s(e)}\xi$, then

$$\text{gcc}(\delta) = W_{0}(s(e) \exp(\xi)).$$  

**Proof.** Use Theorems 2.6 and 2.8. \qed

Remark 8.8. If $\mathcal{R}$ is of type $R_1^{(1)}$ then one has $\Gamma_{s(e)}=1$ for all $e \in E(C)$. In general one needs to apply Clifford theory in order to describe the sets $\Delta(H_e)$ in terms of the results of Theorem 7.1.

The only non-simply laced classical case which is not of type $R_1^{(1)}$ is the case $R_0=C_n$ and $X=Q(R_0)$ (as is clear from the examples above). In this case $\mathcal{R}^{\text{max}}$ is of $C_n^{(1)}$-type with the specialization $v_{\beta\gamma}=v_{2\pi n}=1$ (as in Example 8.3). Using the notation of Example 8.5 and (6), we see that $q_0= q(v_{2\pi n})=1$. Hence we have $m=m_+=m_-$, and a group $\Gamma \cong \mathbb{Z}/2\mathbb{Z}$ acting on the spectral diagram $\Sigma$ as shown in Figure 5.

In the application of Theorem 8.7 everything is straightforward except when $n=2a$ is even and $e=e_a$ corresponds to the middle node of $\Sigma$ (the unique node of $\Sigma$ with non-trivial isotropy in $\Gamma$). In this case we need to describe the set

$$\Delta(H_{e_a}) = \Delta((H(C_a,V_a,F_a,k_a) \otimes H(C_a,V_a,F_a,k_a)) \times \Gamma),$$

where the non-trivial element of $\Gamma$ acts by the flip $\tau$ of the two tensor legs.
Theorem 8.9. We have

\[ \Delta(H_{e_a}) \simeq \Gamma \setminus (\Delta^H(C_a, V_a, F_a, k_a) \times \Delta^H(C_a, V_a, F_a, k_a))^*, \]

where for any set \( A \), \((A \times A)^* \) denotes the Cartesian product of \( A \) by itself, with the diagonal counted twice, and where the unique non-trivial element \( \gamma \in \Gamma \) acts by

\[ \pi(\gamma)(\delta_1, \delta_2) = (\delta_2, \delta_1). \]

Proof. By Clifford theory, it is clear that all irreducible discrete series representations of \( H_{e_a} \) are obtained by the following recipe. We start from an irreducible discrete series character \( \delta = \delta_1 \otimes \delta_2 \) of \( H(C_a, V_a, F_a, k_a) \otimes H(C_a, V_a, F_a, k_a) \). Consider its inertia group for the action of \( \Gamma \) on such characters (by twisting). In this simple situation we see that we can choose an explicit intertwining isomorphism

\[ \pi(\gamma): \delta_1 \otimes \delta_2 \longrightarrow (\delta_2 \otimes \delta_1): \tau \]

given by \( \pi(\gamma)(v \otimes w) = w \otimes v \). Hence the inertia subgroup in \( \Gamma \) of \( \delta_1 \otimes \delta_2 \) is non-trivial if and only if \( \delta_1 \) and \( \delta_2 \) are equivalent irreducible representations. If the inertia is trivial then Clifford theory tells us that the induction of \( \delta_1 \otimes \delta_2 \) to \( H_{e_a} \) is irreducible, and otherwise Clifford theory tells us that the induced representation splits up into two inequivalent irreducible parts (distinguished from each other by the sign of the trace of \( \gamma \)). This proves the result.

Appendix A. Analytic properties of the Schwartz algebra

The aim of this appendix is to provide proofs of Theorems 2.20 and 2.23, which concern the embedding \( S(\mathcal{R}, q) \rightarrow C^*_r(\mathcal{H}(\mathcal{R}, q)) \) and holomorphic functional calculus with varying parameters \( q \). Our approach is purely analytic and does not make any use of the representation theory of \( \mathcal{H}(\mathcal{R}, q) \). The appendix is based on the second author’s thesis [So, §5.2], where some proofs can be found in more detail.

First we recall some generalities. A Fréchet algebra is a Fréchet space endowed with a jointly continuous multiplication. We include in the definition that the topology can be defined by a (countable) family of submultiplicative seminorms. The submultiplicativity ensures that our Fréchet algebras can be written as projective limits of Banach algebras.
Theorem A.1. Let $A$ be a unital Fréchet algebra and let $a \in A$. Suppose that $U \subset \mathbb{C}$ is an open neighborhood of the spectrum $\text{Sp}(a)$ of $a$, and let $C^\text{an}(U)$ be the algebra of holomorphic functions on $U$. There exists a unique continuous algebra homomorphism, the holomorphic functional calculus

$$C^\text{an}(U) \rightarrow A,$$

$$f \mapsto f(a),$$

such that $1 \mapsto 1$ and $\text{id}_U \mapsto a$. Moreover, if $\Gamma$ is a positively oriented smooth simple closed contour, which lies inside $U$ and encircles $\text{Sp}(a)$, then

$$f(a) = \frac{1}{2\pi i} \int_{\Gamma} f(z)(z-a)^{-1} \, dz,$$

where $i$ here denotes the imaginary unit.

**Proof.** This is well known for Banach algebras, see for example [Ta, Proposition 2.7]. As noticed in [Ph, Lemma 1.3], we can generalize the result to $A$, because $A$ is a projective limit of Banach algebras.

**Remark A.2.** If $U$ is disconnected then we may also use finitely many contours $\Gamma$, each one lying in a different connected component of $U$. Notice however that in general Fréchet algebras the spectrum of an element need not be compact, so it may not be possible to find suitable contours for the holomorphic functional calculus.

The next theorem, which relies on a result of Lusztig, is essential to control the multiplication in $\mathcal{H}(\mathcal{R}, q)$. Let $u, v \in W$ and let $u=\omega s_1 \ldots s_{l(u)}$ be a reduced expression, where $l(\omega)=0$ and $s_i \in S$. The $s_i$ need not all be different. For $I \subset \{1, 2, \ldots, l(u)\}$ we write $\eta_I = \prod_{i \in I} (q(s_i)-q(s_i)^{-1})$ and

$$u_I = \omega \tilde{s}_1 \ldots \tilde{s}_{l(u)}, \quad \text{where} \quad \tilde{s}_i = \begin{cases} s_i, & \text{if } i \notin I, \\ e, & \text{if } i \in I. \end{cases}$$

**Theorem A.3.** We have

$$N_u \cdot N_v = \sum_{I \subset \{1, 2, \ldots, l(u)\}} \eta_I D^u_v(I) N_{u_I} v,$$

where

(i) $D^u_v(I)$ is either 0 or 1;

(ii) $D^u_v(\emptyset)=1$ and $D^u_v(I)=0$ if $|I|>|R^+_v|$;

(iii) $\sum_{I \subset \{1, 2, \ldots, l(u)\}} D^u_v(I) < 3(l(u)+1)|R^+_v|$. 
Proof. It follows from the multiplication rules in Definition 2.1 that for $s \in S$,

$$N_s \cdot N_v = N_{sw} + D^*_v(q(s) - q(s)^{-1})N_v,$$

where $D^*_v = \begin{cases} 0, & \text{if } l(sw) > l(v), \\ 1, & \text{if } l(sw) < l(v). \end{cases}$

The expression for $N_s \cdot N_v$, with $D^*_v(I)$ being 0 or 1 and $D^*_v(\emptyset) = 1$, follows from this, with induction on $l(u)$. By [Lu1, Theorem 7.2], for fixed $w \in W$ the sum $\sum_{I: w = w} q_1 D^*_v(I)$ is a polynomial of degree at most $|R^*_0|$ in the variables $q(s_i) - q(s_i)^{-1}$. Therefore $D^*_v(I) = 0$ whenever $|I| > |R^*_0|$ and

$$\sum_{I \subseteq \{1, 2, \ldots, l(u)\}} D^*_v(I) \leq |\{I \subseteq \{1, 2, \ldots, l(u)\} : |I| \leq |R^*_0|\}|$$

$$\leq \sum_{j=0}^{\left\lfloor \frac{|R^*_0|}{j} \right\rfloor} \binom{l(u)}{j} \leq \frac{l(u)!}{(|l(u) - |R^*_0|)!} \sum_{j=0}^{\left\lfloor \frac{|R^*_0|}{j} \right\rfloor} \frac{1}{j!} < 3(|l(u) + 1)|R^*_0|!,$$

where we should interpret $(|l(u) - |R^*_0||)!$ as 1 if $|R^*_0| > l(u)$. \hfill \Box

For the reader’s convenience we repeat some notation from §2.2.2 and add some new.

The vector space $V^* = \mathbb{R} \otimes \mathbb{Z} X$ decomposes as

$$V^* = V_0^* \oplus V_2^* = \mathbb{R} R_0 \oplus \mathbb{R} \otimes \mathbb{Z} Z,$$

so that we can write unambiguously $V^* \ni \phi = \phi_0 + \phi_2 \in V_0^* \oplus V_2^*$. The norm on $W$ is defined by $\mathcal{N}(w) = l(w) + \|x_2\|$ if $w = x w_0$ with $x \in X$ and $w_0 \in W_0$. Since $X_2 := \{x_2 : x \in X\}$ is a lattice in $V_2^*$, we can adjust the norm on $V^*$ so that it takes integral values on $X_2$. This is not necessary, but it assures that $\mathcal{N}(W) \subseteq \mathbb{Z}_{\geq 0}$.

**Lemma A.4.** There exists a real number $C_N$ such that for all $n \in \mathbb{Z}_{\geq 0}$,

$$|\{w \in W : \mathcal{N}(w) = n\}| < C_N(n+1)^{\operatorname{rk}(X)-1}.$$

**Proof.** Recall that $W = W_0 \ltimes X$ with $W_0$ finite. It is easily seen that $X$ possesses the required property, and taking the semidirect product with a finite group does not disturb this. \hfill \Box

For $n \in \mathbb{R}$ we have a norm $p_n$ on $\mathcal{H}(\mathcal{R}, q)$, defined as

$$p_n \left( \sum_{w \in W} h_w N_w \right) = \sup_{w \in W} |h_w|^{(\mathcal{N}(w) + 1)^n}.$$

The Schwartz algebra $S(\mathcal{R}, q)$ is defined as the completion of $\mathcal{H}(\mathcal{R}, q)$ with respect to the family of (semi)norms $p_n$, $n \in \mathbb{Z}_{\geq 0}$. It clearly is a Fréchet space (even a Schwartz space),...
but it is not so obvious that the multiplication extends continuously from \( \mathcal{H}(\mathcal{R}, q) \) to \( \mathcal{S}(\mathcal{R}, q) \); we will prove this later in the appendix.

Let \( L^2(W) \) be the Hilbert space of square-integrable functions \( W \to \mathbb{C} \) and let \( \mathcal{S}(W) \) be the Fréchet space of rapidly decaying functions \( W \to \mathbb{C} \). We regard these as topological vector spaces without a specific multiplication. By means of the bases \( \{ N_w : w \in W \} \) we can identify \( L^2(W) \) with \( L^2(\mathcal{H}(\mathcal{R}, q)) \) and \( \mathcal{S}(W) \) with \( \mathcal{S}(\mathcal{R}, q) \). We note that \( * \), \( \tau \) and the \( p_n \) do not depend on \( q \in \mathcal{Q} \), so they are well defined on \( L^2(W) \). For \( q \in \mathcal{Q} \) and \( x \in L^2(W) \) we denote the corresponding element of \( L^2(\mathcal{H}(\mathcal{R}, q)) \) by \( \langle x, q \rangle \). To distinguish the products for various parameters we add a subscript \( q \), thus \( \langle x, q \rangle \cdot (y, q) = \langle y, q \rangle \cdot \langle x, q \rangle \).

We realize the left regular representation \( \lambda = C^*(\mathcal{H}(\mathcal{R}, q)) \) on \( L^2(W) \) and we abbreviate \( \|(x, q)\|_p = \|\lambda(x, q)\|_{B(L^2(W))} \). Furthermore let \( \| \cdot \|_r \) be the norm of \( L^2(W) \), so that \( \|x\|_r^2 = \tau(x^*q, x) \) for all \( x \in L^2(W) \) and \( q \in \mathcal{Q} \) such that \( x^*q \) is well defined. Since the number \( q(s) - q(s)^{-1} \) appears often in the multiplication table of \( \mathcal{H}(\mathcal{R}, q) \), we will use the following metric on \( \mathcal{Q} \):

\[
\varrho(q, q') := \max_{s \in S} |(q(s) - q(s)^{-1}) - (q'(s) - q'(s)^{-1})|.
\]

Put \( b := \text{rk}(X) + 1 \). By Lemma A.4, the following sum converges:

\[
\sum_{w \in W} (\mathcal{N}(w) + 1)^{-b} \leq \sum_{n=0}^{\infty} C_{\mathcal{N}}(n+1)^{\text{rk}(X)-1}(n+1)^{-b} \leq C_{\mathcal{N}} \sum_{n=0}^{\infty} (n+1)^{-2} < \infty.
\]

Hence we may write \( C_b := \sum_{w \in W} (\mathcal{N}(w) + 1)^{-b} \in \mathbb{R} \). For all \( x = \sum_{u \in W} x_u N_u \in \mathcal{S}(W) \) and \( n \in \mathbb{Z}_{\geq 0} \) we get

\[
\sum_{u} |x_u| (\mathcal{N}(u) + 1)^n \leq \sum_{u} \sup_{v} |x_v| (\mathcal{N}(v) + 1)^{n+b} (\mathcal{N}(u) + 1)^{-b} = C_b p_n + b(x).
\]

(125)

Define the parameter function \( q^{0} \in \mathcal{Q} \) by \( q^{0}(s) = 1 \) for all \( s \in S \). Fix \( \eta > 0 \) and let \( B_{\eta}(p, q^{0}, \eta) \) be the corresponding closed ball in \( \mathcal{Q} \). To estimate some operator norms, we will use the number \( C_{\eta} := 3C_{\eta} \max \{ 1, \eta |R_{\eta}^{\uparrow} | \} \).

**Proposition A.5.** For all \( q, q' \in B_{\eta}(p, q^{0}, \eta) \) and all \( x \in \mathcal{S}(W) \), the following estimates hold:

\[
\|\lambda(x, q)\|_{B(L^2(W))} = \|(x, q)\|_{o} \leq C_{q} p_{b+|R_{\eta}^{\uparrow} |}(x),
\]

\[
\|\lambda(x, q) - \lambda(x, q')\|_{B(L^2(W))} \leq \varrho(q, q') C_{\eta} p_{b+|R_{\eta}^{\uparrow} |}(x).
\]

In particular, \( \mathcal{S}(\mathcal{R}, q) \) is continuously embedded in \( C^*_r(\mathcal{H}(\mathcal{R}, q)) \).
Proof. Let \( y = \sum v y_v N_v \in L^2(W) \). By (125) and Theorem A.3,

\[
\|x \cdot y\|_\tau = \left\| \sum_{u,v} x_u y_v N_{u \cdot q} N_v \right\|_{\tau}
\]

\[
= \left\| \sum_{u,v} x_u y_v \sum_l \eta_l D^n(I) N_{u l v} \right\|_{\tau}
\]

\[
\leq \sum_u |x_u| \sum_{l:|l| \leq |R_{n}^+|} |\eta_l| \left\| \sum_v y_v |N_{u l v}| \right\|_{\tau}
\]

\[
\leq \sum_u 3 |x_u| (l(u) + 1)^{|R_{n}^+|} \max \{1, \eta^{|R_{n}^+|}\} \|y\|_{\tau}
\]

\[
\leq C_q p_{n + |R_{n}^+|}(x) \|y\|_{\tau}.
\]

By the very definition of the operator norm on \( B(L^2(W)) \), this yields the first estimate. That in turn proves that \( S(\mathcal{R}, q) \) is contained in \( C_p^* (\mathcal{R}, q) \) and that the inclusion map is continuous. We also have that

\[
\|x \cdot y - x \cdot q \cdot y\|_\tau = \left\| \sum_{u,v} x_u y_v (N_{u \cdot q} N_v - N_{u \cdot q} N_v) \right\|_{\tau}
\]

\[
= \left\| \sum_{u,v} x_u y_v \sum_l (\eta_l - \eta_l') D^n(I) N_{u l v} \right\|_{\tau}
\]

\[
\leq \sum_u x_u y_v \sum_{l:|l| \leq |R_{n}^+|} \eta_l (l(u))! (l(u) - |R_{n}^+|)! \left\| \sum_v y_v |N_{u l v}| \right\|_{\tau}
\]

\[
\leq \eta (q, q') \sum_u |x_u| \sum_{l:|l| \leq |R_{n}^+|} (l(u))! (l(u) - |R_{n}^+|)! \left\| \sum_v y_v |N_{u l v}| \right\|_{\tau}
\]

\[
\leq \eta (q, q') C_q p_{n + |R_{n}^+|}(x) \|y\|_{\tau}.
\]

Between lines 4 and 5 we used a small calculation like (124):

\[
\sum_{l:|l| \leq |R_{n}^+|} (l(u))! (l(u) - |R_{n}^+|)! \sum_{j=0}^{|R_{n}^+|} \frac{1}{j!} \max \{1, \eta^{|R_{n}^+|}\}
\]

\[
< 3 (l(u) + 1)^{|R_{n}^+|} \max \{1, \eta^{|R_{n}^+|}\},
\]

and in the last line we may replace \( l(u) \) by \( N(u) \).

We now want to show that \( S(\mathcal{R}, q) \) really is an algebra. To this end we will reconstruct it with an alternative but equivalent family of seminorms, which are closer to
being submultiplicative. Let $\mathbb{C}[W]^*$ be the algebraic dual of $\mathbb{C}[W]$ and identify it with the space of all formal sums $\sum_{w \in W} h_w N_w$. The norm $N$ on $W$ induces an endomorphism $\lambda(N)$ of $\mathbb{C}[W]^*$ by

$$\sum_{w \in W} h_w N_w \mapsto \sum_{w \in W} N(w) h_w N_w.$$  

This operator is unbounded on $L^2(W)$ but it restricts to a continuous endomorphism of $S(W)$. For $T \in B(L^2(W))$ we define the (in general unbounded) operator $D(T) := [\lambda(N), T]$. Inspired by the work of Vigneras [Vi, §7] we consider the following family of seminorms on $H(\mathcal{R}, q)$:

$$p_n(x) := \| D^n(\lambda(x)) \|_{B(L^2(W))}, \quad n \in \mathbb{Z}_{\geq 0}.$$  

**Lemma A.6.** The space $S(\mathcal{R}, q)$ is the completion of $H(\mathcal{R}, q)$ with respect to the family of seminorms $\{p_n : n \in \mathbb{Z}_{\geq 0}\}$.

**Proof.** We have to show that the families of seminorms

$$\{p_n : n \in \mathbb{Z}_{\geq 0}\} \quad \text{and} \quad \{p_n' : n \in \mathbb{Z}_{\geq 0}\}$$

are equivalent. Let $\eta = \varphi(q, q^0)$, $n \in \mathbb{N}$, $w \in W$ and

$$y = \sum_{v \in W} y_v N_v \in L^2(W).$$

From the proof of Proposition A.5, we see that

$$\| D^n(\lambda(N_u)) y \|_\tau = \left\| \sum_v y_v \sum_{i=0}^n (-1)^i \binom{n}{i} \lambda(N)^{n-i} \lambda(N_u) \lambda(N) \right\|_\tau$$

$$= \left\| \sum_v y_v \sum_{i=0}^n (-1)^i \binom{n}{i} \sum_I \eta_I D^n(I) N(u^I v)^{n-i} N(v)^i N_{u^I v} \right\|_\tau$$

$$\leq \sum_v y_v \sum_I \eta_I D^n(I) (N(u^I v) - N(v))^n N_{u^I v} \} \| y \|_\tau$$

$$\leq 3N(u)^n (N(u) + 1)^{|R_u^+|} \max \{ 1, \eta |R_u^+| \} \| y \|_\tau.$$  

Hence, for $x = \sum_u x_u N_u \in H(\mathcal{R}, q)$,

$$\| D^n(\lambda(x)) \|_{B(L^2(W))} = \| \sum_u x_u D^n(\lambda(N_u)) \|_{B(L^2(W))}$$

$$\leq 3 \| x \| (N(u) + 1)^{|R_u^+|} \max \{ 1, \eta |R_u^+| \} \leq C \eta p_n + 3 \| x \| \nu(x).$$
On the other hand, since \( \Omega' = \{ \omega \in W : N(\omega) = 0 \} \) is finite, we see that
\[
p_n(x)^2 \leq \sum_{u \in W} (N(u) + 1)^{2n} |x_u|^2
\]
\[
\leq \sum_{\omega \in \Omega'} |x_\omega|^2 + 4^n \sum_{u \in W} N(u)^{2n} |x_u|^2
\]
\[
\leq \| \Omega' \| \| x \|_r^2 + 4^n \| \lambda(N)x \|_r^2
\]
\[
= \| \Omega' \| \| \lambda(x)Nc \|_r^2 + 4^n \| D^n(\lambda(x))Nc \|_r^2
\]
\[
\leq \| \Omega' \| \| \lambda(x) \|_{B(L^2(W))}^2 + 4^n \| D^n(\lambda(x)) \|_{B(L^2(W))}^2
\]
\[
\leq (\| \Omega' \|^{1/2} \| \lambda(x) \|_{B(L^2(W))} + 2^n \| D^n(\lambda(x)) \|_{B(L^2(W))})^2,
\]
which shows that \( p_n \) is dominated by a linear combination of \( p_0' \) and \( p_n' \).

**Theorem A.7.** (1) \( S(\mathcal{R}, q) \) is a Fréchet algebra.

(2) \( S(\mathcal{R}, q)^\times \) is open in \( S(\mathcal{R}, q) \) and inverting is a continuous map from this set to itself.

(3) An element of \( S(\mathcal{R}, q) \) is invertible if and only if it is invertible in \( C^*_r(\mathcal{H}(\mathcal{R}, q)) \).

(4) The subalgebra \( S(\mathcal{R}, q) \subset C^*_r(\mathcal{H}(\mathcal{R}, q)) \) is closed under the holomorphic functional calculus of \( C^*_r(\mathcal{H}(\mathcal{R}, q)) \).

**Proof.** (1) We already observed that \( S(\mathcal{R}, q) \) is a Fréchet space. Because \( D \) is a derivation, \( S(\mathcal{R}, q) \) is also a topological algebra with jointly continuous multiplication. A short calculation shows that the norm
\[
\sum_{n=0}^{m} \frac{1}{n!} p_n'
\]
on \( S(\mathcal{R}, q) \) is submultiplicative for any \( m \in \mathbb{Z}_{\geq 0} \). The family
\[
\left\{ \sum_{n=0}^{m} \frac{1}{n!} p_n' : m \in \mathbb{Z}_{\geq 0} \right\}
\]
is equivalent to \( \{ p_n' : n \in \mathbb{Z}_{\geq 0} \} \), so defines the same topology.

(2) and (3) See Lemmas 16 and 17 of [Vi].

(4) This is a consequence of part (3) and Theorem A.1.

Our next goal is to show that inverting in \( S(\mathcal{R}, q) \) also depends continuously on \( q \in \mathcal{Q} \). For this we need two preparatory lemmas. Put
\[
b' = 2b + |R_0^+| = 2 \text{rk}(X) + |R_0^+| + 2.
\]
Lemma A.8. Let \( n \in \mathbb{N}, q, q' \in B_\delta(q^0, \eta) \) and \( x_i = \sum_{u \in W} x_{1u} N_u \in S(W) \). Then
\[
p_n(x_1 \cdot \ldots \cdot x_m) \leq \prod_{i=1}^{m} C_{q} C_{b} p_{n+b}(x_i),
\]
\[
p_n(x_1 \cdot \ldots \cdot x_m - x_1 \cdot \ldots \cdot x_m) \leq q(q, q') \prod_{i=1}^{m} C_{q} C_{b} p_{n+b}(x_i).
\]

Proof. This can be deduced with a piece of careful bookkeeping:
\[
p_n(x_1 \cdot \ldots \cdot x_m) \leq p_n \left( \sum_{u_i \in W} x_{1u_1} \ldots x_{mu_m} N_{u_1} \cdot \ldots \cdot q_{N_{u_m}} \right)
\leq \sum_{u_i \in W} |x_{1u_1} \ldots x_{mu_m}| (N(u_1) + \ldots + N(u_m) + 1)^n \prod_{i=1}^{m} \|N(u_i), q\|_0
\leq \sum_{u_i \in W} |x_{1u_1} \ldots x_{mu_m}| \prod_{i=1}^{m} C_{q}(N(u_i) + 1)^{a+b+|R_0^+|}
\leq \prod_{i=1}^{m} C_{q} \sum_{u \in W} |x_{iu}| (N(u) + 1)^{a+b+|R_0^+|}
\leq \prod_{i=1}^{m} C_{q} C_{b} p_{n+b}(x_i),
\]
\[
p_n(N_{u_1} \cdot \ldots \cdot q_{N_{u_m}} - N_{u_1} \cdot \ldots \cdot q_{N_{u_m}}) \leq \sum_{j=1}^{m-1} p_n \left( N_{u_1} \cdot \ldots \cdot q_{N_{u_j}}, q_{N_{u_{j+1}}} \cdot \ldots \cdot q_{N_{u_m}} \right)
\leq \sum_{j=1}^{m-1} q(q, q') \prod_{i=1}^{m} C_{q}(N(u_i) + 1)^{a+b+|R_0^+|}
\leq q(q, q') \prod_{i=1}^{m} C_{q}(N(u_i) + 1)^{a+b+|R_0^+|},
\]
\[
p_n(x_1 \cdot \ldots \cdot x_m - x_1 \cdot \ldots \cdot x_m)
\leq \sum_{u_i \in W} |x_{1u_1} \ldots x_{mu_m}| p_n \left( N_{u_1} \cdot \ldots \cdot q_{N_{u_m}} - N_{u_1} \cdot \ldots \cdot q_{N_{u_m}} \right)
\leq \sum_{u_i \in W} |x_{1u_1} \ldots x_{mu_m}| q(q, q') \prod_{i=1}^{m} C_{q}(N(u_i) + 1)^{a+b+|R_0^+|}
\leq q(q, q') \prod_{i=1}^{m} C_{q} \sum_{u \in W} |x_{iu}| (N(u) + 1)^{a+b+|R_0^+|}
\leq q(q, q') \prod_{i=1}^{m} C_{q} p_{n+b}(x_i).
\]
In these calculations we used (125) and Proposition A.5 several times.

Knowing how to handle multiple products in $\mathcal{S}(\mathcal{R}, q)$, we can make some rough estimates for power series. Let $f: z \mapsto \sum_{m=0}^{\infty} a_m z^m$ be a holomorphic function on a neighborhood of $0 \in \mathbb{C}$ and define another holomorphic function $\tilde{f}$ (with the same radius of convergence) by $\tilde{f}(z) := \sum_{m=0}^{\infty} |a_m| z^m$.

**Lemma A.9.** Let $n \in \mathbb{N}$ and let $x \in \mathcal{S}(W)$ and $q, q' \in B_q(q^0, \eta)$ be such that $f(x, q)$ and $f(x, q')$ are well defined. Then

$$p_n(f(x, q)) \leq \tilde{f}(C_q C_b p_n + \nu'(x)),$$

$$p_n(f(x, q) - f(x, q')) \leq g(q, q') \tilde{f}(C_q C_b p_n + \nu'(x)).$$

**Proof.** By Proposition A.8, we have

$$p_n(f(x, q)) = p_n \left( \sum_{m=0}^{\infty} a_m (x, q)^m \right) \leq \sum_{m=0}^{\infty} |a_m| p_n((x, q)^m)$$

$$\leq \sum_{m=0}^{\infty} |a_m| (C_q C_b p_n + \nu')^m(x) = \tilde{f}(C_q C_b p_n + \nu'(x)).$$

Moreover,

$$p_n(f(x, q) - f(x, q')) = p_n \left( \sum_{m=0}^{\infty} a_m ((x, q)^m - (x, q')^m) \right) \leq \sum_{m=0}^{\infty} |a_m| p_n((x, q)^m - (x, q')^m)$$

$$\leq \sum_{m=0}^{\infty} |a_m| g(q, q')(C_q C_b p_n + \nu')^m(x) = g(q, q') \tilde{f}(C_q C_b p_n + \nu'(x)).$$

The right-hand sides could be infinite, but that is no problem.

**Proposition A.10.** The set of invertible elements $\bigcup_{q \in \mathbb{Q}} \mathcal{S}(\mathcal{R}, q)^\times \times \{q\}$ is open in $\mathcal{S}(W)^\times \times \mathbb{Q}$, and inverting is a continuous map from this set to itself.

**Proof.** First we recall that if $\|1 - h\|_o < 1$, then $h$ is invertible in $C^*_c(\mathcal{R}, q)$, with inverse $\sum_{n=0}^{\infty} (1 - h)^n$. Take $q, q' \in B_q(q^0, \eta)$, $y \in \mathcal{S}(\mathcal{R})$, $x \in \mathcal{S}(\mathcal{R}, q)^\times$ and write $a = (x, q)^{-1}$. If the sum converges, then

$$a \cdot \sum_{m=1}^{\infty} (1 - (x+y) \cdot q'a, q')^m = a \cdot ((x+y) \cdot q'a, q')^{-1} - a \cdot q' \cdot 1 = (x+y, q')^{-1} - a. \tag{126}$$

By Lemma A.8,

$$p_n((x+y) \cdot q'a - 1) \leq p_n(x \cdot q'a - x \cdot q)a + p_n(y \cdot q'a)$$

$$\leq g(q, q') C_q^2 C_b^2 p_n + \nu'(x)p_n + \nu'(a) + C_q^2 C_b^2 p_n + \nu'(y)p_n + \nu'(a). \tag{127}$$
Let $U$ be the open neighborhood of $(x, q)$ consisting of those $(x+y, q') \in S(W) \times B_2(q^0, \eta)$ for which

$$\varrho(q, q') C_2^0 C_2 p_{3b+1} R_0 (x) p_{3b+1} R_0 (a) < \frac{1}{2},$$

$$C_2 q C_2 p_{3b+1} R_0 (y) p_{3b+1} R_0 (a) < \frac{1}{2}.$$

By (127) and Proposition A.5, we have

$$\|((x+y), q' a-1, q')\|_o < 1 \quad \text{for all } (x+y, q') \in U,$$

so every element of $U$ is invertible. To prove that inverting is continuous, we consider the holomorphic function

$$f(z) = \sum_{m=1}^{\infty} z^m = \frac{z}{1-z}.$$

By (126) and Lemma A.9, we have

$$p_n((x+y, q')^{-1} - a) \leq C_2^0 C_2 q p_{n+b'}(a) p_{n+b'}(f(1-(x+y) \cdot q', q'))$$

$$\leq C_2^0 C_2 q p_{n+b'}(a) f(C_2 q p_{n+2b'}(1-(x+y) \cdot q') \cdot a)).$$

Since $f(0)=0$ we deduce from (127) that this expression is small whenever $\varrho(q, q')$ and $y$ are small.

With Proposition A.10 we can prove that the holomorphic functional calculus in the various Schwartz algebras is continuous in the most general sense. For $U \subset \mathbb{C}$ we write

$$V_U := \{(x, q) \in S(W) \times Q : \text{Sp}(x, q) \subseteq U\}.$$

**Theorem A.11.** Let $U \subset \mathbb{C}$ be open. Then $V_U$ is open in $S(W) \times Q$ and the map

$$C^{an}(U) \times V_U \to S(W),$$

$$(f, x, q) \mapsto f(x, q),$$

is continuous.

**Proof.** By Theorem A.7 (4), the spectrum of $(x, q)$ in $S(\mathcal{R}, q)$ equals its spectrum in the unital $C^*$-algebra $C^*_r(\mathcal{H}(\mathcal{R}, q))$. By Proposition A.5, $(x, q) \to \|(x, q)\|_o$ is continuous, so $\text{Sp}(x, q)$ is uniformly bounded on bounded subsets of $S(W) \times Q$. Together with Proposition A.10 this shows that $\text{Sp}(x, q)$ depends continuously on $(x, q)$, in the following sense. Given $\varepsilon > 0$, there exists a neighborhood $N$ of $(x, q)$ in $S(W) \times Q$ such that for all $(x', q') \in N$,

$$\text{Sp}(x', q') \subseteq \{z' \in \mathbb{C} : \text{there exists } z \in \text{Sp}(x, q) \text{ such that } |z - z'| < \varepsilon\}.$$
Since Sp(x, q) is compact, it follows that V_U is open in \( S(W) \times Q \).

For every connected component \( U_j \) of \( U \) that meets Sp(x, q), let \( \Gamma_j \) be a positively oriented smooth simple contour closed in \( U_j \) that encircles \( \text{Sp}(x, q) \cap U_j \), as in Theorem A.1. Since \( \text{Sp}(x, q) \) is compact, we need only finitely many components. The above shows that \( \Gamma_j \) also encircles \( \text{Sp}(x', q') \cap U_j \) for \((x', q') \) in a small neighborhood of \((x, q) \) in \( S(W) \times Q \). Now Theorem A.1 tells us that (being \( i \) here the imaginary unit)

\[
f(x', q') = \frac{1}{2\pi i} \sum_j \int_{\Gamma_j} f(z)(z-x', q')^{-1} \, dz,
\]

for all such \((x', q')\), so by Proposition A.10, \((f, x', q') \mapsto f(x', q')\) is continuous. \( \square \)

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ERIC OPDAM
Korteweg de Vries Institute for Mathematics
University of Amsterdam
P.O. Box 94248
NL-1090 GE Amsterdam
The Netherlands
e.m.opdam@uva.nl

MAARTEN SOLLEVELD
Mathematisches Institut
Universität Göttingen
Bunsenstraße 3-5
DE-37073 Göttingen
Germany
maarten.solleveld@mathematik.uni-goettingen.de

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