Mixed-state dynamics in one-dimensional quantum lattice systems: a time-dependent superoperator renormalization algorithm.

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We present an algorithm to study mixed-state dynamics in one-dimensional quantum lattice systems. The algorithm can be used, e.g., to construct thermal states or to simulate real time evolution given by a generic master equation. Its two main ingredients are (i) a superoperator renormalization scheme to efficiently describe the state of the system and (ii) the time evolving block decimation technique to efficiently update the state during a time evolution. The computational cost of a simulation increases significantly with the amount of correlations between subsystems but it otherwise depends only linearly on the system size. We present simulations involving quantum spins and fermions in one spatial dimension.

The most interesting quantum phenomena involve strongly correlated many-body systems, but studying such systems — a central task in the areas of condensed matter physics, quantum field theory and, since recent years, also quantum information science \textsuperscript{1, 2} — has too often proven a formidable challenge. Indeed, in quantum many-body theory only a few exact solutions are available, while most analytical approximations remain uncontrolled. As a consequence, numerical calculations are of great importance. But even these suffer from a severe computational obstacle: an exponential growth of degrees of freedom with the system size that renders the direct simulation of most quantum systems prohibitively inefficient.

And yet, ingenious methods such as quantum Monte Carlo techniques \textsuperscript{3} can be used to approximately evaluate, e.g., certain ground state properties in quantum lattice models. In one dimensional lattices, strikingly accurate results for quantities such as ground state energies and two-point correlators can be obtained by using White’s density matrix renormalization group (DMRG) \textsuperscript{4}, a technique that has dominated most numerical research in the field since its invention more than a decade ago. Generalizations of the DMRG have also yielded accurate low energy spectra \textsuperscript{5} or allowed for the simulation of real time evolution for small times \textsuperscript{6}.

Recently, the time evolving block decimation (TEBD) algorithm \textsuperscript{7} has been proposed to simulate real time evolution in one-dimensional quantum lattice systems. This technique can be easily adapted to standard DMRG implementations \textsuperscript{3, 8} and seems to be very efficient \textsuperscript{3, 4, 10}. As in DMRG, a decisive factor in the performance of the TEBD method is that not a lot of entanglement is present in the system, a condition that is ordinarily met in one-dimensional lattices at low energies \textsuperscript{7}.

In this paper we extend the TEBD algorithm to handle mixed states. We describe how to efficiently simulate, in one-dimensional quantum lattice systems, real time Markovian dynamics as given by a (possibly time-dependent) master equation made of arbitrary nearest neighbor couplings. By considering evolution in imaginary time, the present extension can also be used to construct thermal states for any given temperature. Thus, we show how to numerically explore non-equilibrium many-body dynamics under realistic conditions, including the effects of finite-temperature and decoherence.

A key observation for the success of the algorithm is that in one spatial dimension many states of interest, including thermal states and local perturbations thereof, contain only a restricted amount of correlations between subsystems, in a sense to be further specified. This fact, parallel to the restricted amount of entanglement observed in the pure-state case, allows us to introduce an efficient decomposition for the state of the system, referred to as matrix product decomposition (MPD). The MPD is nothing but a mixed-state version of a matrix product state \textsuperscript{11}, and as such, we can use the TEBD to update it during a time evolution. It also follows that our scheme can again be fully incorporated into standard DMRG implementations without much programming effort \textsuperscript{8, 2}.

We consider a generic one dimensional quantum lattice made of \(n\) sites, labeled by index \(l, l \in \{1, \ldots, n\}\), each one described by a local Hilbert space \(\mathbb{H}^{[d]} \cong \mathbb{C}^d\) of finite dimension \(d\). We assume the evolution of the \(n\) sites, in a global state \(\rho\), is given by a master equation \textsuperscript{2}

\begin{equation}
\dot{\rho} = \mathcal{L}[\rho] = -i[H, \rho] + \sum_{\mu} \left( L_{\mu} \rho E_{\mu}^{\dagger} - \frac{1}{2} L_{\mu} E_{\mu}^{\dagger} \rho - \frac{1}{2} \rho E_{\mu} L_{\mu}^{\dagger} \right),
\end{equation}

where \(H\) and \(L_{\mu}\) are the Hamiltonian and Lindblad operators, and where we require that the (possibly time-dependent) Lindbladian superoperator \(\mathcal{L}\) further decompose into terms involving at most two contiguous sites,

\begin{equation}
\mathcal{L}[\rho] = \sum_l \mathcal{L}_{l,l+1}[\rho].
\end{equation}

Reduced superoperators.— A pure state evolution is described by a vector \(|\Psi\rangle\) in the \(n\)-fold tensor product of
\( \mathbb{C}_d \). Let us divide the \( n \) sites into two blocks, denoted \( L \) (left) and \( R \) (right). Then DMRG and TEBD consider reduced density matrices, e.g., that of block \( L \),

\[
\left| \Psi \right\rangle \in \mathbb{C}_d^{\otimes n} \rightarrow \rho^{[L]} = \text{tr}_R(\left| \Psi \right\rangle \langle \Psi |) \in \mathbb{L}(\mathbb{H}^{[L]}), \quad (3)
\]

where \( \mathbb{L}(\mathbb{H}) \) denotes the set of linear mappings on \( \mathbb{H} \) or, equivalently, the complex vector space of \( \text{dim}(\mathbb{H}) \times \text{dim}(\mathbb{H}) \) matrices. Here we are concerned with the evolution of a mixed state, which requires more notation. For each site \( l \), let \( \mathbb{K}^{[L]} \cong \mathbb{L}(\mathbb{H}^{[L]} \cong \mathbb{C}_{d^2} \) denote the vector space of \( d \times d \) complex matrices. We switch into representing a density matrix \( \rho \in \mathbb{L}(\mathbb{H}) \) as a “superkets” \( |\sigma \rangle \in \mathbb{K} \equiv \mathbb{L}(\mathbb{H}) \), while a superoperator \( Q \in \mathbb{L}(\mathbb{L}(\mathbb{H})) \) is regarded as a linear mapping \( Q \in \mathbb{L}(\mathbb{K}) \).

\[
\left| \Phi \right\rangle \in \mathbb{H} \quad \sigma \in \mathbb{L}(\mathbb{H}) \quad Q \in \mathbb{L}(\mathbb{L}(\mathbb{H})) \quad \rightarrow \quad \left\{ \begin{array}{l} |\Phi \rangle \in \mathbb{K} \\ |\sigma \rangle \in \mathbb{K} \\ Q \in \mathbb{L}(\mathbb{K}) \end{array} \right. \quad (4)
\]

where \( |\Phi \rangle \equiv |\Phi \rangle \langle \Phi | \). For \( d \times d \) matrices \( A \) and \( B \), the scalar product \( \langle A | B \rangle \) between superkets \( |A \rangle \) and \( |B \rangle \), and the action of \( Q \) on \( |A \rangle \), are defined through

\[
\langle A | B \rangle = \frac{1}{d} \text{tr}(A \dagger B), \quad Q_{\lambda} |A \rangle \equiv |\lambda | A \rangle \quad (5)
\]

Also, if \( Q \) is a superoperator on a bipartite space \( \mathbb{H}^{[L]} \otimes \mathbb{H}^{[R]} \) and \( \{ |M_{\mu} \rangle \} \) is an orthonormal basis in \( \mathbb{K}^{[R]} \cong \mathbb{L}(\mathbb{H}^{[R]} \), we define the partial trace of \( Q \) over block \( R \) as

\[
\text{tr}_R(Q) \equiv \sum_{\mu} (M_{\mu} |Q| M_{\mu} \rangle \langle \mu |). \quad (6)
\]

Finally, let \( \rho \in \mathbb{L}(\mathbb{C}_d^{\otimes n}) \) be the state of the \( n \)-site lattice and \( |\rho \rangle \) its superket. We define the reduced superoperator for a block of sites, say for block \( L \), as (see example \( \square \))

\[
|\rho \rangle \in (\mathbb{C}_d^{\otimes n} \rightarrow \mathbb{Q}^{[L]} \equiv \text{tr}_R(|\rho \rangle \langle \rho |) \in \mathbb{L}(\mathbb{K}^{[L]}), \quad (7)
\]

in analogy with \( \square \), and rewrite equation \( \square \) as

\[
|\rho \rangle \equiv L(|\rho \rangle), \quad (8)
\]

which parallels the Schrödinger equation \( |\Psi \rangle = -iH|\Psi \rangle \).

**Renormalization of reduced superoperators.**— Given blocks \( L \) and \( R \), the Schmidt decomposition of \( |\rho \rangle \) reads

\[
|\rho \rangle \equiv \sum_{\alpha=1}^{\chi} \lambda_{\alpha} |M_{\alpha}^{[L]} \rangle \otimes |M_{\alpha}^{[R]} \rangle, \quad \lambda_{\alpha} \geq \lambda_{\alpha+1} \geq 0, \quad (9)
\]

where the Schmidt superkets \( \{ |M_{\alpha}^{[L,R]} \rangle \} \) fulfill

\[
Q^{[L]} |M_{\alpha}^{[L]} \rangle = (\lambda_{\alpha})^2 |M_{\alpha}^{[L]} \rangle, \quad Q^{[L]} \equiv \text{tr}_R(|\rho \rangle \langle \rho |), \quad (10)
\]
\[
Q^{[R]} |M_{\alpha}^{[R]} \rangle = (\lambda_{\alpha})^2 |M_{\alpha}^{[R]} \rangle, \quad Q^{[R]} \equiv \text{tr}_L(|\rho \rangle \langle \rho |). \quad (11)
\]

The rank \( \chi \) of the reduced superoperators \( Q^{[L]} \) and \( Q^{[R]} \) measures the amount of correlations between blocks \( L \) and \( R \). In principle its value is only bounded above by the dimensions of \( \mathbb{K}^{[L]} \) and \( \mathbb{K}^{[R]} \), which grow exponentially in the number of sites. However, as the examples below illustrate, many situations of interest involving one-dimensional mixed-state dynamics are only slightly correlated, in that the coefficients \( \{ \lambda_{\alpha} \} \) decay very fast with \( \alpha \). That is, a good approximation to \( |\rho \rangle \) can be obtained by truncating \( \square \) so that only a relatively small number of terms are considered.

Thus, whereas an efficient description of \( |\Psi \rangle \) in \( \square \) is achieved both in DMRG and TEBD by conveniently decimating, say, the block space \( \mathbb{H}^{[L]} \) supporting the reduced density matrix \( \rho^{[L]} \), our efficient description of \( \rho \) is based instead on decimating the block space \( \mathbb{K}^{[L]} \cong \mathbb{L}(\mathbb{H}^{[L]} \) supporting the reduced superoperator \( Q^{[L]} \) in \( \square \).

**Matrix Product Decomposition and TEBD.**— We regard the \( n \)-site \( \rho \) as a vector \( |\rho \rangle \) in the \( n \)-fold tensor product of \( \mathbb{C}_d^{\otimes n} \), while the master equation \( \square \) is formally identical to the Schrödinger equation. Simulating mixed-state dynamics can therefore be achieved by conveniently adapting the pure-state techniques of \( \square \). More specifically, given an orthonormal basis \( \{ |i\rangle \} \) of \( \mathbb{K}^{[L]} \) for each
site $l$ ($l = 1, \ldots, n$), we expand $|\rho\rangle_l$ as

$$
|\rho\rangle_l = \sum_{i_1=0}^{d^2-1} \cdots \sum_{i_n=0}^{d^2-1} c_{i_1 \cdots i_n} |i_1\rangle_l \otimes \cdots \otimes |i_n\rangle_l,
$$

(12)

We choose $|\alpha\rangle_l = |I/d\rangle_l$ to be proportional to the identity (that is, as a mapping on $\mathbb{H}[l]$), so that physical normalization of $\rho$, $\text{tr}(\rho) = 1$, corresponds to $c_{0 \cdots 0} = 1$. We use a MPD for the coefficients $c_{i_1 \cdots i_n}$,

$$
c_{i_1i_2 \cdots i_n} = \sum_{\alpha_1, \ldots, \alpha_{n-1}} \Gamma^{[1]}_{\alpha_1} \lambda^{[1]}_{\alpha_1} \Gamma^{[2]}_{\alpha_1\alpha_2} \lambda^{[2]}_{\alpha_2} \cdots \Gamma^{[n]}_{\alpha_{n-1}} \langle i_1\rangle_l \langle i_2\rangle_l \cdots \langle i_n\rangle_l
$$

(13)

which can be built through a succession of Schmidt decompositions of $|\rho\rangle_l$ (see [7] for details). Finally, we can use the TEBD method to update the tensors $\{|\Gamma^{[l]}\rangle\}$ and $\{\lambda^{[l]}\}$ during an evolution of the form (1)–(2) [18].

**Example 1: Thermal state.**— Given a nearest neighbor Hamiltonian $H$ and an inverse temperature $\beta \equiv 1/kT$, a mixed state of interest is the thermal state

$$
\rho_\beta \equiv \frac{e^{-\beta H}}{Z(\beta)} = \frac{1}{Z(\beta)} \sum_s e^{-\beta E_s} |E_s\rangle_s \langle E_s|,
$$

(14)

where $Z(\beta) \equiv \text{tr}(e^{-\beta H})$ is the partition function. One can numerically simulate $\rho_\beta$ by attempting to compute all relevant energy eigenstates $|E_s\rangle$ and averaging them with weights $e^{-\beta E_s}/Z(\beta)$. A very simple and efficient alternative is to build a MPD for $|\rho_\beta\rangle_l$ by simulating an imaginary time evolution from the completely mixed state,

$$
|e^{-\beta H}\rangle_l = \exp(-\beta T_l)|I\rangle_l,
$$

(15)

where superket $|I\rangle_l$ and superoperator $T_l$ correspond to

$$
|I\rangle_l = |I_1\rangle_l \otimes \cdots \otimes |I_n\rangle_l, \quad T_l[A] \equiv \frac{1}{2}(HA + AH).
$$

(16)

Indeed, $\exp(-\beta T_l)$ can be Trotter expanded into transformations involving only two adjacent sites, and the MPD can be therefore updated using the TEBD [13]. Notice that a single run of the simulation builds the thermal state $\rho_{\beta'}$ for any intermediate value of $\beta' \in [0, \beta]$. Fig. 1 corresponds to thermal states for a quantum Ising model with transverse magnetic field,

$$
H = \sum_{l=1}^{n-1} \sigma^x_l \sigma^x_{l+1} + \sum_{l=1}^{n} \sigma^y_l.
$$

(17)

**Example 2: Time-dependent master equation.**— We consider a lattice of $n = 100$ sites loaded with $n/2$ fermions that evolve according to a Lindbladian

$$
\mathcal{L}[\rho] = -i[H, \rho] + \gamma \sum_{l=1}^{n} (n_l \rho n_l - \frac{1}{2} n_l^2 \rho - \frac{1}{2} n_l^2 \rho),
$$

(18)
for no applied bias, Fig. (3) justifies this convergence. Comparison with the exact solution can be efficiently achieved as follows: (i) the initial state of the system, a thermal state with $\beta = 1/J$, is obtained by evolution in imaginary time as explained in Example 1; (ii) the annihilation operator $a_1$ is applied to the initial state $\rho$ to obtain $a_1\rho$; (iii) $a_1\rho$ is evolved in time according to $\mathcal{E}_t$; (iv) the creation operator $a_1^\dagger$ is applied on $\mathcal{E}_t[a_1\rho]$; and (v) the trace of the resulting operator $a_1^\dagger\mathcal{E}_t[a_1\rho]$ is computed. Each of these steps can be performed efficiently by using a MPD and the update techniques of $\mathcal{E}_t$. In this particular case the Lindbladian $\mathcal{L}$ is time-independent and we can integrate Eq. (18), so that step (iii) becomes

$$|\mathcal{E}_t[a_1\rho]\rangle = \mathcal{E}_t[a_1\rho] = \exp(\mathcal{L}_t) a_1\rho a_1 \rangle. \quad (22)$$

Because of property (2), $\exp(\mathcal{L}_t)$ can be Trotter-expanded into small transformations involving only two adjacent sites, and therefore it can be implemented using the TEBD.

We have presented an extension of the TEBD algorithm to mixed states. With specific examples involving spins and non-interacting fermions, we have shown how to (i) construct thermal states; (ii) evolve a state in time according to a time-dependent master equation; and (iii) compute unequal time correlation functions. The algorithm can be used for generic one-dimensional lattice systems, including interacting fermions and bosons as explored in $[4]$. MZ acknowledges support from an NSF Graduate Fellowship. The authors acknowledge support from U.S. NSF grant no. EIA-0086038.

See also F. Verstreate et al., cond-mat/0406426.

Note: The extension of the TEBD method presented here was outlined in [G. Vidal, quant-ph/0301063 v2 (2003)] but excluded from the first reference in [4] by request of a referee.

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[12] We use the subscript ‘sharp’ to denote operators [superoperators] when represented as superkets [respectively mappings between superkets].

[13] As an example, let us consider a mixed state of two two-level systems,

\[
\rho = \sum_{i_1,i_2=0}^{3} c_{i_1 i_2} \sigma_{i_1} \otimes \sigma_{i_2} \in \mathbb{L}(\mathbb{C}_2 \otimes \mathbb{C}_2)
\]

where \(\sigma_i = \{I, \sigma_x, \sigma_y, \sigma_z\}\) are the identity and Pauli matrices. The corresponding superket reads

\[
|\rho\rangle = \sum_{i_1,i_2=0}^{3} c_{i_1 i_2} |\sigma_{i_1}\rangle \otimes |\sigma_{i_2}\rangle \in \mathbb{C}_4 \otimes \mathbb{C}_4,
\]

where \(|\sigma_{i_1}\rangle \otimes |\sigma_{i_2}\rangle\) are equally represented in the superposition state out of equilibrium) the use of complex coefficients \(c_{i_1 i_2}\) in Eqs. (12)-(13) at all intermediate values of \(\beta\) will have \(\lambda_{i}\) distinct coefficients given by \(\lambda_{i} = \lambda_{a} \lambda_{a'}\).

[14] M. Zwolak, G. Vidal, in *preparation*.

[15] Intuition on the advantages of this approach can be gained by considering the following simple example, involving a maximally mixed state, \(\rho_I = I^L \otimes I^R\). Because all pure states of \(\mathbb{H}[L]\) are equally represented in the reduced density matrix \(\rho[L] = I[L]\), a significant truncation of this space is possible without introducing an important error. Instead, \(|\rho_L\rangle\) has a one-dimensional reduced superoperator \(Q[L] \sim |I[L]\rangle \langle I[L]|\), allowing for an extreme decimation of \(\mathbb{H}[L]\),

\[
\rho \sim I^L \otimes I^R
\]

where

\[
\langle (L)_{ijkl} = \langle \sigma_j \sigma_k | \sigma_l \rangle \langle \sigma_l \rangle
\]

Similarly, if \(L\) is a superoperator, then

\[
L_3 = \sum_{ijkl} (L_{3})_{ijkl} |\sigma_i \sigma_j \rangle \langle \sigma_i \sigma_{l} | (30)
\]

[16] Given a bipartite pure state \(|\Psi_{[L,R]}\rangle\) with Schmidt coefficients \(\lambda_a\), the decomposition \(\mathbb{H}_{[L]}\) for superket \(|\Psi_{[L,R]}\rangle\) will have \(\lambda_i\) coefficients given by \(\lambda_{[a a']} = \lambda_{a} \lambda_{a'}\).

[17] Coefficients \(c_{i_1 \cdots i_n}\) in Eq. (24) can be made real by choosing \(|i_i\rangle\) to be the superket of an Hermitian matrix in \(\mathbb{L}(\mathbb{H}[L])\). However, in some occasions (e.g., when computing two-point time-dependent expectation values in a state out of equilibrium) the use of complex coefficients seems unavoidable.

[18] The evolution resulting from (25) is in general non-unitary in the space \((\mathbb{C}_2^\infty)^{\otimes n}\) of superkets \(|\rho\rangle\). As explained in [14], the TEBD algorithm can still be used.

[19] It is advisable to renormalize the state \(|e^{-\beta H}\rangle\) as \(|e^{-\beta H}/Z(\beta)\rangle\) during the evolution by requiring \(c_{i_1 \cdots i_0} = 1\) in Eqs. (12)-(15) at all intermediate values of \(\beta\).