Probing heavy neutrinos in the COMET experiment

Takehiko Asaka\(^1\) and Atsushi Watanabe\(^2\)∗

\(^1\)Department of Physics, Niigata University, Niigata 950-2181, Japan
\(^2\)Maskawa Institute for Science and Culture, Kyoto Sangyo University, Kyoto 603-8555, Japan

∗E-mail: watanabea76@gmail.com

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We argue that the COMET experiment—a dedicated experiment for the \(\mu\rightarrow e\) conversion search—has a good potential to search for heavy neutrinos in the mass range \(1 \text{ MeV} \lesssim M \lesssim 100 \text{ MeV}\). The stopped muons captured by the target nuclei or decaying in orbit efficiently produce heavy neutrinos via the active–sterile mixing. The produced heavy neutrinos then decay to electron–positron pairs (plus an active neutrino), which charged particles hit the cylindrical drift chamber surrounding the target. If the backgrounds from gamma rays are sufficiently rejected by some method, the expected sensitivity becomes comparable to the PS191 bound when the COMET experiment achieves \(\sim 10^{17}\) stopping muons in the target.

Subject Index \(\text{B40, B54, B56}\)

1. Introduction

The heavy neutrino is one of the most interesting new physics candidates. What makes this particle promising is the well-established fact that the neutrinos are massive. The most simple and natural way to account for the neutrino masses is introducing gauge-singlet fermions into the standard model. In such a theory, the left-handed neutrinos \(\nu_{L\alpha} (\alpha = e, \mu, \tau)\) are often mixed with the gauge-singlet fermions after the electroweak symmetry breaking, such that

\[
\nu_{L\alpha} = \sum_{i=1}^{3} U_{\alpha i} \nu_{i} + \Theta_{\alpha} \nu_{H}. \tag{1}
\]

Here, \(\nu_{L\alpha}\) denote the flavor eigenstates of the left-handed neutrinos, \(U_{\alpha i}\) is the Maki–Nakagawa–Sataka matrix, \(\nu_{i}\) stand for the mass eigenstates of the ordinary neutrinos \((i = 1, 2, 3)\), \(\nu_{H}\) is the heavy neutrino, and \(\Theta_{\alpha} (|\Theta_{\alpha}| \ll 1)\) is the active–sterile mixing which rules the strength of the gauge interactions for \(\nu_{H}\).

There is yet another motivation to consider the heavy neutrinos, namely, the baryon asymmetry of the universe. It is known that a (nearly) degenerate pair of heavy neutrinos in the mass range \(1 \text{ MeV} \lesssim M \lesssim 100 \text{ GeV}\) can account for the baryon asymmetry of the universe through the oscillation taking place in the early universe [1–7]. Contrary to the standard leptogenesis [8] with the heavy neutrino just for simplicity.

\(^1\) The extension to the multi-generation case is trivially done by replacing \(\Theta_{\alpha} \nu_{H}\) with \(\sum_{j} \Theta_{\alpha j} \nu_{Hj}\). In this paper, we shall consider one heavy neutrino just for simplicity.
neutrino masses around the grand unification scale, this mechanism predicts heavy neutrinos testable by terrestrial experiments [9–18].

Having these strong motivations, SHiP [19,20] and DUNE [21] are planning dedicated searches for heavy neutrinos. These experiments will explore hitherto unexplored ranges of parameters far beyond the current bounds, as flagships of hidden particle searches in the coming decades. Until physics runs of these projects turn on, it would be desirable to have alternative searches with a shorter-term ability. Heavy neutrinos are efficiently produced by muon and/or meson decay, just like ordinary neutrinos. Thus a relevant question is whether we can employ some existing or forthcoming facilities in the high-intensity frontier.

In this paper, we focus on the COMET experiment [22]—a dedicated experiment for the $\mu-e$ conversion search—as an example of such an idea. The COMET experiment plans to stop $\sim 10^{16}$ (2$\times$10$^{18}$) muons on the target in Phase-I (Phase-II) [22]. With these enormous numbers of muons, this experiment is potentially capable of discovering heavy neutrinos in unexplored parameter ranges beyond the strongest bound set by PS191 [23,24]. The details follow.

2. Expected sensitivity

The COMET experiment searches for the $\mu-e$ conversion process by looking for single electrons of 105 MeV energy coming from the muonic atoms. The experimental site is in the Hadron Experimental Hall of J-PARC. The muon beam is produced from the pions following the collision of the bunched 8 GeV proton beam with a graphite target. The pions are efficiently captured by the pion capture solenoid. In Phase-I, the muons are stopped in an aluminum target after the first 90° bend of the muon transport solenoid. The target is surrounded by the cylindrical drift chamber (CDC). In Phase-II, the muon transport sector is extended and the stopping target is placed after the second 90° bend. The detector section is also extended so as to select the electrons’ momenta by the electron transport solenoid. See Ref. [22] for more details. In what follows, we shall focus on the Phase-I detector setup.

About 60% of the stopped muons are captured by the aluminum nuclei, changing themselves into muon neutrinos $\nu_\mu$. The remaining 40% of the muons decay in orbit.$^2$ Suppose for simplicity that the heavy neutrino is in the mass range 1 MeV $\lesssim M \lesssim$ 100 MeV and predominantly couples to muons, namely $|\Theta_{\mu}|^2 \gg |\Theta_{e,\tau}|^2$. In what follows, we focus on this parameter regime unless otherwise stated. Then the daughter $\nu_\mu$ produced by the above two processes is “replaced” with the heavy neutrino $\nu_H$ at the rate $|\Theta_\mu|^2$. Namely, when $N_{\nu_\mu}^{\text{stop}}$ muons are stopped, $N_{\nu_H}^{\text{stop}}|\Theta_\mu|^2$ heavy neutrinos are produced.$^3$

Within this parameter range, the main decay mode of $\nu_H$ is $\nu_H \to 3\nu$ and the subdominant mode is $\nu_H \to e^- e^+ \nu$. The latter subdominant mode is detectable if the electron pair hit the CDC with sufficient energies. A schematic view of the decay event is shown in Fig. 1. Since the energy of the parent heavy neutrino $\nu_H$ is almost equal to the muon mass $m_\mu = 105$ MeV, the typical energy of each electron is $\sim 35$ MeV.

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2 The third branch of importance (in normal discussions) is the $\mu-e$ conversion, but this is of course negligible in the study of heavy neutrinos.

3 In this paper, we do not consider the effect of the heavy neutrino mass in the production rate, since our aim here is estimating the ability of the COMET experiment in comparison with the PS191 bounds.
The decay width of each process is given by
\[
\Gamma(v_H \rightarrow 3\nu) = \frac{G_F^2 M^5 |\Theta_\mu|^2}{192\pi^3},
\]
(2)
\[
\Gamma(v_H \rightarrow e^- e^+ \nu) = \Gamma(v_H \rightarrow 3\nu) \left( \frac{1}{4} - \sin^2 \theta_W + 2 \sin^4 \theta_W \right).
\]
(3)
The fraction for the latter detectable mode is
\[
\frac{1}{4} - \sin^2 \theta_W + 2 \sin^4 \theta_W = 0.13. \quad \text{(4)}
\]
Let us assume that the neutrinos are the Majorana particles. Then the lifetime of \(v_H\) is given by
\[
\tau \approx \frac{1}{2 \Gamma(v_H \rightarrow 3\nu)} = \frac{4.6 \times 10^{-5}}{|\Theta_\mu|^2} \left( \frac{50\text{MeV}}{M} \right)^5 \text{ (s)}.
\]
The decay length for the detectable mode is \(\lambda \approx 1.1 \times 10^5 \frac{\beta \gamma}{|\Theta_\mu|^2} \left( \frac{50\text{MeV}}{M} \right)^5 \text{ (m)}\), where \(\beta \gamma = \sqrt{m_\mu^2 - M^2}/M\). When we pick up \(M = 50\text{ MeV}\) and \(|\Theta_\mu|^2 = 10^{-5}\), which are on the PS191 bound, for example, the signal decay length becomes \(\lambda \approx 2.0 \times 10^{10} \text{ m}\). The decay length is seemingly too long, and one may think the detection is a formidable task. However, with a detector of \(\mathcal{O}(1) \text{ m}\) length, the probability of a heavy neutrino decay in the detector region is \(1/\lambda \sim 10^{-10}\). This means that if we can gather \(10^{11}\) heavy neutrinos, \(\mathcal{O}(10)\) events are expected to be observed.

The number of events is estimated by
\[
\text{Events} = N_{\mu}^{\text{stop}} |\Theta_\mu|^2 \frac{L}{\lambda} A,
\]
where \(N_{\mu}^{\text{stop}}\) is the number of muons stopped in the target, \(L\) is the path length of heavy neutrinos across the CDC, \(\lambda\) is the decay length for the signal mode \(v_H \rightarrow e^- e^+ \nu\), and \(A\) is the acceptance factor. The path length \(L\) depends on the production point and the flight direction of the heavy neutrino. In what follows we simply set \(L = 0.3\text{ m}\), where 0.3 m is the difference between the inner and outer radii of the CDC [22].

The acceptance factor is the product of several factors such as geometrical acceptance, timing window, trigger efficiency, etc. For these factors we use the same numbers as the \(\mu-e\) conversion case [22], except for the geometrical acceptance and the timing window. For the geometrical

Reference [12] presents more details on the kinematics for \(e^\pm\) in the final state. These include the distributions of the invariant mass, the visible energy, the opening angle, etc.
Table 1. Breakdown of the heavy neutrino signal acceptance. For the timing window we examine two options of 0.3 or 0.8.

| Event selection          | Value   |
|--------------------------|---------|
| Geometrical acceptance   | 0.7     |
| Timing window 0.3/0.8    |         |
| Trigger efficiency       | 0.8     |
| Data acquisition system  | 0.8     |
| Track reconstruction     | 0.8     |
| Total                    | 0.11/0.29 |

acceptance, we assume that “longitudinal heavy neutrinos” will not leave detectable signals, where longitudinal heavy neutrinos mean those going through the up- and downstream ends that are not covered by the CDC. This estimates that 70% of the heavy neutrinos are acceptable. As for the timing window, we examine two options of 0.3 and 0.8, where 0.3 is the same number as the $\mu$–e conversion case. On the other hand, the number 0.8 is meant as a potentially available number for the heavy neutrino search without the timing window. The breakdown of the acceptance factor is presented in Table 1.

In order to estimate the maximal ability of the COMET experiment, we show in Fig. 2 the 90% CL limits if the COMET experiment observes a null event with zero background. We put some comments on the background in the next section. The left panel shows the case where the timing acceptance $A_{\text{time}}$ is taken as 0.3, while the right panel shows the case where $A_{\text{time}} = 0.8$. The solid curves show the COMET limits in each panel. The dotted curve labeled “PS191” is the 90% CL limit placed by PS191 [23,24]. The dashed curve labeled “$K^+ \rightarrow \mu^+ \nu_H$” is the bound set by the peak search in kaon decay [25]. Although the event estimation by Eq. (4) is applicable only for Phase-I, whose goal is $N_{\mu \text{stop}} = 1.3 \times 10^{16}$, we also put the curve for $N_{\mu \text{stop}} = 2.0 \times 10^{18}$ to get an idea of how good the whole COMET project is, under the assumption that the Phase-II setup can keep the same performance for the heavy neutrino search.

3. Discussions

We conclude from Fig. 2 that the heavy neutrino search with the COMET experiment is an idea with good potential. It is important to note, however, that the curves in Fig. 2 are drawn under the assumption that the heavy neutrinos exclusively contribute to the detections of the $e^\pm$ pair. An intrinsic background is the $e^\pm$ pair creation by gamma rays. According to Ref. [22], radiative muon capture $\mu^- + A \rightarrow v_\mu + A' + \gamma$ and radiative pion capture $\pi^- + A \rightarrow \gamma + A'$ are followed by $\gamma \rightarrow e^- + e^+$. When these follow-up pair creations take place in the CDC volume, they mimic the heavy neutrino signal. In addition, radiative muon decay $\mu^- \rightarrow v_\mu \bar{\nu}_e e^- \gamma$ is also followed by $e^\pm$ creation. More detailed and precise analysis may thus need a thorough understanding of pair creation by gamma rays inside the CDC volume.

A possible way to reject this background is selecting the directions of the $e^\pm$ momenta. For $e^\pm$ creation by gamma rays coming from the inner region of the CDC volume, the momenta of $e^\pm$ tend to be large. However, this method is not applicable for $e^\pm$ creation from heavy neutrino events, which are expected to be detected within the CDC volume.

A comment on the timing of the heavy neutrino signal: The time of flight $\Delta t$ for $\nu_H$ is estimated by $\Delta t = r/c\beta$, where $r \approx 0.5$ m is the inner radius of the CDC. The time $\Delta t$ becomes significant only when the heavy neutrino mass is in the vicinity of the muon mass. That is, $\Delta t > 100$ ns for $m_{\nu_H}/m_\mu < 1.4 \times 10^{-4}$. Thus, in most cases, the heavy neutrino events belong to the bursts after 860 ns from the prompt timing [22].
The 90% confidence level (CL) limits if the COMET experiment observes a null event with zero background. The two solid curves show the COMET limits for $N_{stop}^\mu = 1.3 \times 10^{16}$ and $N_{stop}^\mu = 2.0 \times 10^{18}$. The dotted curve labeled “PS191” is the 90% CL limit placed by PS191 [23,24]. The dashed curve labeled “$K^+ \to \mu^+\nu_H$” is the bound placed by the peak search in kaon decay [25]. The left panel shows the case where the timing acceptance $A_{time}$ is taken as 0.3. The right panel shows the case where $A_{time}$ is 0.8.

Fig. 2. The 90% confidence level (CL) limits if the COMET experiment observes a null event with zero background. The two solid curves show the COMET limits for $N_{stop}^\mu = 1.3 \times 10^{16}$ and $N_{stop}^\mu = 2.0 \times 10^{18}$. The dotted curve labeled “PS191” is the 90% CL limit placed by PS191 [23,24]. The dashed curve labeled “$K^+ \to \mu^+\nu_H$” is the bound placed by the peak search in kaon decay [25]. The left panel shows the case where the timing acceptance $A_{time}$ is taken as 0.3. The right panel shows the case where $A_{time}$ is 0.8.

The $e^\pm$ from the heavy neutrino decay can be emitted in ingoing directions at significant rates. The typical $\gamma$ factor of $e^\pm$ in the $\nu_H$ rest frame is $\langle \gamma_e \rangle = \frac{M}{m_e}$, whereas the gamma factor of $\nu_H$ in the laboratory frame is $\gamma_{\nu_H} = \frac{m_\mu}{M}$. Hence, roughly speaking, if $\langle \gamma_e \rangle > \gamma_{\nu_H}$, namely if $M > \sqrt{3m_e m_\mu} = 13$ MeV, then $e^\pm$ can head in the opposite directions from the heavy neutrino momentum. In such a mass regime, distributions for the momentum direction may help to reject the background.

Another idea for event selection is using the events starting at the space between the aluminum target and the inner wall of the CDC. Since this region is filled with helium gas [22], the probability of having $e^\pm$ creation by $\gamma$ should be much smaller than in the CDC volume.

If we relax the assumption $|\Theta_\mu|^2 \gg |\Theta_e,\tau|^2$ so that the tau flavor mixing $\Theta_\tau$ takes part in the game, the signal decay width becomes proportional to $\sqrt{|\Theta_\mu|^2 + |\Theta_\tau|^2}$ instead of $|\Theta_\mu|^2$. In this case, Fig. 2 should be read as a plot for the combination $|\Theta_\mu|\sqrt{|\Theta_\mu|^2 + |\Theta_\tau|^2}$. When we consider the full parameter space $\{\Theta_e, \Theta_\mu, \Theta_\tau, M\}$, things get more complicated, owing to the fact that the signal decay $\nu_H \to e^- e^+ \nu$ is conducted by both the charged and the neutral currents. However, the electron component $|\Theta_e|$ is much more severely constrained than the other two parameters [11,18]. The plots in Fig. 2 (with the replacement mentioned above) therefore cover all the cases of practical interest.

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