Resistance in marine cyanobacteria differs against specialist and generalist cyanophages

Sophia Zborowsky* and Debbie Lindell**

*Faculty of Biology, Technion–Israel Institute of Technology, 3200003 Haifa, Israel
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Long-term coexistence between unicellular cyanobacteria and their lytic viruses (cyanophages) in the oceans is thought to be due to the presence of sensitive cells in which cyanophages reproduce, ultimately killing the cell, while other cyanobacteria survive due to resistance to infection. Here, we investigated resistance in marine cyanobacteria from the genera *Synechococcus* and *Prochlorococcus* and compared modes of resistance against specialist and generalist cyanophages belonging to the T7-like and T4-like cyanophage families. Resistance was extracellular in most interactions against specialist cyanophages irrespective of the phage family, preventing entry into the cell. In contrast, resistance was intracellular in practically all interactions against generalist T4-like cyanophages. The stage of intracellular arrest was interaction-specific, halting at various stages of the infection cycle. Incomplete infection cycles proceeded to various degrees of phage genome transcription and translation as well as phage genome replication in numerous interactions. In a particularly intriguing case, intracellular capsid assembly was observed, but the phage genome was not packaged. The cyanobacteria survived the encounter despite late-stage infection and partial genome degradation. We hypothesize that this is tolerated due to genome polyploidy, which we found for certain strains of both *Synechococcus* and *Prochlorococcus*. Our findings unveil a heavy cost of promiscuous entry of generalist phages into nonhost cells that is rarely paid by specialist phages and suggests the presence of unknown mechanisms of intracellular resistance in the marine unicellular cyanobacteria. Furthermore, these findings indicate that the range for virus-mediated horizontal gene transfer extends beyond hosts to nonhost cyanobacterial cells.

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**Significance**

Marine unicellular cyanobacteria coexist in the oceans with lytic phages that infect and kill them. Yet, the cyanobacteria persist, fulfilling their role as important primary producers, due to population diversity that results in different sensitivity and resistance profiles to co-occurring cyanophages. Here, we report a surprising dichotomy in modes of resistance against specialist versus generalist phages: Resistance is primarily extracellular against specialist phages but intracellular against generalist phages. Known intracellular resistance mechanisms are absent from most marine *Synechococcus* and *Prochlorococcus* strains, suggesting that currently unknown defense mechanisms exist in marine cyanobacteria. Furthermore, phage DNA entry and replication, coupled with survival of the cyanobacterial cell, provide a means for horizontal transfer of genetic material into, and evolution of, marine cyanobacteria.

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**Results and Discussion**

**Resistance to Generalist and Specialist Phages.** To determine viral host range and identify intrinsically resistant cyanobacteria, we challenged diverse cyanobacteria from our culture collection with cyanobacteria | virus | infection | resistance | polyplody

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The ability of a virus to reproduce requires a high degree of compatibility to its host. Viruses only reproduce in cells with recognizable cell surfaces, contain all of the machinery required for intracellular replication, and lack effective defenses against them. Thus, virus host range is intricately linked to its cellular requirements (extracellular and intracellular) as well as the cell’s resistance mechanisms against viral infection. Virus host range varies greatly, with both specialists and generalists commonly isolated from diverse environments (1–5). Specialists have a narrow host range, infecting a single or narrow set of similar hosts, whereas generalists have broader host ranges, infecting multiple distinct host types (3, 5). Cellular requirements for specialist and generalist phages may therefore vary, as might the defenses against them.

Unicellular cyanobacteria of the genera *Synechococcus* and *Prochlorococcus* are the most abundant photosynthetic organisms in the oceans and are estimated to perform 25% of oceanic primary production (6). They are diverse and belong to multiple phylogenetic lineages (7, 8). They coexist in the oceans with high numbers of cyanophages (9–11). The 2 major virus families infecting them are the T7-like cyanopodoviruses and the T4-like cyanomyoviruses (1, 4, 9, 11). T7-like cyanophages are specialists, whereas T4-like cyanophages consist of both specialists and generalists, some of which can infect members of both cyanobacterial genera (1, 4, 9, 11). Viruses from both families are lytic, killing the cell at the end of the infection cycle (1, 9, 11), despite the presence of an integrase gene in some T7-like cyanophages (12). Thus, cyanobacteria–cyanophage coexistence in the oceans is likely facilitated by the presence of both sensitive cells in which cyanophages reproduce and resistant cells that survive the encounter (9, 13). Known active intracellular defense mechanisms, such as restriction modification, CRISPR-Cas, and a suite of recently discovered systems, are uncommon in marine *Synechococcus* and *Prochlorococcus* strains (14–16). Previous studies of experimentally evolved resistant cyanobacteria found that resistance is generally passive, being caused by mutations in cell-surface–related genes that prevent recognition and attachment of the virus to the cell (13, 17). Information is lacking, however, if an incompatible cell surface is the predominant mode of defense in intrinsically resistant nonhost cyanobacteria. This is despite the fact that many *Synechococcus* and *Prochlorococcus* cells are likely to be resistant to co-occurring viruses in the oceans (9, 13). By intrinsically resistant, we refer to cyanobacteria that were not experimentally evolved. We use the term resistant nonhosts for resistant bacteria that may not have been sensitive to the phage in question in their evolutionary past or that became resistant following coevolution or 1-sided evolution in nature (18, 19). Here, we set out to investigate resistance in a suite of *Synechococcus* and *Prochlorococcus* strains that are resistant nonhosts to different subsets of cyanophages and to determine whether modes of resistance are similar against specialist and generalist viruses.

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To whom correspondence may be addressed. Email: dlindell@technion.ac.il.

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T7-like and T4-like cyanophage isolates. This was done in liquid assays, as well as with plaque and spot assays (Methods). We define a cell as resistant when no viral progeny are produced, whereas a cell is sensitive when infective viruses result from the encounter. As found previously, T7-like cyanophages were specialists, infecting just a single host, whereas both specialist and generalist viruses were found among T4-like cyanophages (SI Appendix, Table S1). The generalists infected 7 to 9 strains from different lineages out of 17 Synechococcus and Prochlorococcus strains tested.

No production of infective virus progeny was found on resistant strains, even after many hours, as determined from virus growth experiments (Fig. 1 C and D). Furthermore, no sensitive subpopulations were detected, as seen from both viable colony assays (Fig. 1 E and F) and a single-cell lysis method (Methods) (Fig. 1 G–J). Interestingly, the resistant cyanobacteria survived the viral challenge with no observable effect on growth over periods of at least 2 to 3 wk (Fig. 1 A and B). In comparison, cultures of sensitive strains died rapidly and produced infective viral progeny (Fig. 1). These findings indicate that resistance was all-inclusive, with no sensitive subpopulations in the cultures, and that these are not cases of inefficient infection (20).

Our investigation focused on cyanobacterial interactions with 3 generalist T4-like cyanophages and 5 specialist viruses, 3 from the T7-like and 2 from the T4-like cyanophage families (SI Appendix, Table S1). First, we characterized whether the mode of resistance was extracellular or intracellular in a total of 63 cyanobacteria–cyanophage interactions. Extracellular resistance is manifested as either lack of attachment to the cell surface or lack of subsequent entry of genetic material into the cell. We tested for attachment using adsorption assays (Methods). Specialist phages, from both the T7-like and T4-like families of cyanophages, did not attach to the vast majority of resistant cyanobacterial strains (Fig. 2 A–E), indicating that resistance was extracellular in most cases (79%, 34 of 43 interactions). In stark contrast, generalist cyanophages attached to nearly all resistant cyanobacteria, indicating that resistance was rarely extracellular against generalists (10%, 2 of 20 interactions) (Fig. 2 F–H). However, attachment was not indiscriminate, as none of the cyanophages attached to 3 heterotrophic marine bacteria tested (Fig. 2).

To assess whether cyanophages that attached to resistant cells also inserted their DNA into the cytoplasm and initiated infection, we assessed transcription of early expressed genes in the viral transcriptional program. Generalist cyanophages expressed early genes inside resistant cells in all 12 interactions tested (Fig. 3 A–E), indicating that their DNA was inserted into the cell and that resistance was intracellular. Gene transcription, and thus genome entry, was also found for 5 of the 7 interactions tested with specialist viruses that had attached to cells (SI Appendix, Fig. S1), indicating that in a minor number of cases, resistance was also intracellular against specialist viruses.

These findings indicate that resistance to specialist cyanophages was primarily extracellular (at least 79% of interactions). In contrast, resistance against generalist cyanophages was predominantly intracellular (90% of interactions). This holds for resistance against cyanophages from both T7-like and T4-like families and for resistant cyanobacteria from both the Synechococcus and Prochlorococcus genera.

These findings raise the intriguing question of why different modes of resistance are found against specialist versus generalist cyanophages. Clearly, resistance will be extracellular against a specialist phage if the resistant nonhost never had the specific cell-surface receptor molecule that the specialist virus attaches to. Indeed, cell-surface genes are often present in only a subset of marine unicellular cyanobacteria (13, 21, 22). In addition, extracellular defenses could have developed through coevolution between host and phage, with continuous reshaping of the gene repertoire coding for cell-surface molecules used by phages as receptors (13, 19, 22, 23). This process of continuous mutation and loss and gain of genes from the genome during host–phage coevolution would have occurred in a “Red Queen”–like manner, and ultimately resulted in a phage that did not regain the ability to attach to the host cell surface (13, 19, 23, 24). In both cases, the end result is specialist phages that attach to cell-surface molecules encoded by lineage-specific genes (13, 25). Such passive extracellular defenses against specialist phages are highly efficient and metabolically cost-effective for the host as the phage does not even enter the cell.

We consider it probable that generalist phages have evolved to attach to essential cell-surface molecules coded by highly conserved genes across the cyanobacteria, as known for some bacteria/phage systems (25, 26). Thus, it would be considerably more costly, even lethal, to mutate or exchange such genes, although it
is also possible that generalism results from phages having evolved the ability to attach to different cell-surface molecules in distinct hosts (25, 27). Either way, generalist phages enter the cell. Thus, the only way for the cell to avoid being killed and selected against is development of intracellular resistance. This is consistent with model predictions that resistance will develop after entry into the cell when the cost of resistance at the stage of recognition and attachment is high (28).

Resistance can also be considered a lack of adaptation of the phage to the cyanobacterial cell. For specialists, this is manifested as the absence of changes in the phage that facilitate attachment to a cell-surface molecule on the resistant nonhost. For generalists, this could be due to the lack of ability of the phage to overcome active intracellular defenses or, alternatively, to its inability to utilize the cell’s intracellular machinery for its replication. This intracellular incompatibility could arise through a passive mechanism of adaptive loss from the cell or be due to an intrinsic lack of the machinery required for phage replication in the first place. We consider it unlikely that nonhost cells lacked the machinery required for phage replication by generalists. This is because generalist phages successfully infect cells belonging to multiple distinct lineages, and even across genera, within the monophyletic clade of the marine unicellular cyanobacteria. Thus, for this to be the case, each sensitive cyanobacterial lineage would have had to independently gain the machinery needed by the phage, which we consider less probable than adaptive losses in the resistant lineages.

Let us attempt to understand the advantages and disadvantages of specialization versus generalism from the perspective of the phages. Specialism has a distinct advantage as no loss occurs with irreversible attachment to nonhosts. Thus, the range of attachment is the same as the range of reproduction (29). For this to be a true advantage, abundances of the specific host must be sufficiently high so that chances of host encounter prior to loss of infectivity are relatively high (1, 4, 30). Indeed, observations and models indicate that phage specialism is favored when hosts are common (31, 32).

Furthermore, specialization allows optimization of the infection cycle to a particular host, whereas adaptation of a generalist to new hosts can lead to reduced fitness on other hosts (20, 33, 34). In comparison, a clear trade-off to promiscuous entry for generalist phages exists as irreversible attachment and entry into nonhost cells lead to the loss of the phage. Nonetheless, generalism would be advantageous when abundances of an optimal host are low or fluctuate greatly in the environment (31, 32). In this case, generalism provides the phage with reasonable alternatives for reproduction and increases the effective number of hosts, although a certain degree of hedge-betting is employed: It is better to enter cells that may not be suitable for reproduction before meeting a rare specific host. Indeed, previous findings have shown that specialist cyanophages tend to infect abundant high-light–adapted Prochlorococcus ecotypes, while generalist cyanophages tend to infect Synechococcus and low-light–adapted Prochlorococcus ecotypes (1, 4), which are less abundant in vast oligotrophic regions of the oceans and fluctuate seasonally (6, 7).

**Fig. 2.** Attachment of specialist and generalist cyanophages to resistant cyanobacteria. Attachment of specialist T7-like cyanopodoviruses (A–C), specialist T4-like cyanomyoviruses (D and E), and generalist T4-like cyanomyoviruses (F–H) to resistant Prochlorococcus (green), Synechococcus (orange), and heterotrophic marine bacteria (gray) compared with sensitive cyanobacterial strains (blue) and growth medium (red) controls. Phage names are shown above each graph (n = 6). Attachment significantly greater than the negative growth medium control as determined from the Mann–Whitney U test, **P < 0.01.
The finding that phage gene expression in resistant cyanobacteria was incomplete in all resistant strains investigated, ranging from 0 to 75% of those detected in the sensitive cyanobacterium. In some interactions, this was likely due to incomplete transcription. In contrast, Prochlorococcus MIT9215 displayed both consistently high and similar to those in the sensitive host for all 3 generalist phages. Thus, even though the phages’ transcriptional programs moved beyond early-phase transcription in all resistant strains, transcription levels varied greatly and were interaction-specific.

Next, we assessed de novo phage protein production by comparing the number of phage proteins detected in resistant strains with those in a sensitive strain using mass spectrometry (Methods). Seventy-five percent of Syn9 proteins were detected in resistant Synechococcus WH5701 (Fig. 3I and J and SI Appendix, Table S34). In 4 other interactions with Syn9, 35% or fewer phage proteins were detected. Investigation of the S-TIM4 phage showed that Synechococcus WH5701 also produced the most phage proteins (30%), while no phage proteins were found in the other 2 resistant cyanobacteria (Fig. 3F). Thus, Synechococcus WH5701 displayed both consistently high phage transcript levels and the highest number of phage proteins among resistant strains. In contrast, Prochlorococcus MIT9215 produced the least proteins for both phages (Fig. 3I and J and SI Appendix, Table S3). Even though S-TIM4 transcript levels were high and similar to those in Synechococcus WH5701 (Fig. 3F). This indicates that transcript levels alone do not explain the presence or absence of phage proteins.

Even though 75% of Syn9 proteins were produced in Synechococcus WH5701, a number of key phage proteins were lacking, whose combined absence would prevent the formation of an infective phage particle (SI Appendix, Table S34). These proteins were specifically targeted for proteolysis after translation in resistant cyanobacteria relative to those in the sensitive strain (Fig. 3I and J and SI Appendix, Table S3). The absence of these proteins was not due to their lack of transcription as messenger RNA (mRNA) was found for all 4 genes (SI Appendix, Fig. S2). This suggests that certain phage proteins were not translated or were specifically targeted for proteolysis after translation in Synechococcus WH5701.

In summary, the protein repertoire for both the Syn9 and S-TIM4 phages was incomplete in all resistant strains investigated, ranging from 0 to 75% of those detected in the sensitive cyanobacterium. In some interactions, this was likely due to insufficient transcription. In many other interactions, resistance appears to have been due to posttranscriptional processes that led to the absence of phage proteins. These caused a general lack of translation in some interactions, while in the absence of only a select set of key proteins in others.

Next, we assessed whether infection proceeded to phage genome DNA (gDNA) replication. Surprisingly, Syn9 genome replication was just as high and nearly as rapid in resistant Synechococcus WH5701 as in the sensitive strain (Fig. 4A). In contrast, no phage genome replication was found in the other 5 resistant cyanobacteria (Fig. 4A). Investigation of other interactions, those with both generalist (Fig. 4A) and specialist (Fig. 4B) phages, revealed that the absence of phage proteins at an early stage of infection led to the absence of phage DNA replication in resistant cyanobacteria.

Intracellular Prevention of Virus Production. The finding that resistance was largely intracellular against generalist cyanophages was surprising since known intracellular defense mechanisms are found in only a quarter of the marine Synechococcus and Prochlorococcus strains used in this study (14–16) (SI Appendix, Table S2). We followed the progression of the infection cycle of the generalist Syn9 phage in multiple resistant cyanobacteria to determine the stage at which infection was arrested. We also examined certain stages of infection in other interactions to assess how general our findings are. Overall, we investigated resistance in Synechococcus and Prochlorococcus strains against 3 generalist and 5 specialist cyanophages for which attachment and entry were observed (Methods). Below, we present the data by stage of infection for all interactions investigated.
(SI Appendix, Fig. S3) phages, revealed that phage genome replication was fairly common, if entry occurred, with more than half of all interactions (11 of 17) displaying some degree of genome replication. However, differences in the extent and rapidity of DNA replication were obvious (SI Appendix, Supplementary Results and Discussion and Table S4). In some interactions, replication was similar or even higher than in the sensitive strain, including for multiple generalist phages in *Synechococcus* WH5701 (Fig. 4) and multiple specialist phages in *Prochlorococcus* MIT9215 (SI Appendix, Fig. S3).

Host genome degradation is common during virus infection, including by cyanophages (35, 36, 39–41), and provides nucleotides for phage genome replication (39). Cyanobacterial genome degradation occurred in all resistant strains in which phage gDNA was replicated, including *Synechococcus* WH5701 with the Syn9 phage (Fig. 4). In contrast, no degradation was observed in cyanobacterial strains for which the phage genome was not replicated (compare left and right panels in Fig. 4 and SI Appendix, Fig. S3). Investigation of the long-term fate of Syn9 phage DNA in *Synechococcus* WH5701 showed that it was degraded by 14 to 24 h after infection, at which time cyanobacterial genome levels also began increasing (Fig. 4H).

The concomitant degradation of cyanobacterial DNA with phage DNA replication is particularly intriguing as no detrimental effect on cyanobacterial growth was observed in the resistant strains (compare Fig. 4A with Fig. 4C and Fig. 1B with SI Appendix, Fig. S3B). We hypothesized that this may be due to the presence of multiple copies of the genome (polyploidy) in a single cell, a known phenomenon in many organisms, including many cyanobacteria (42, 43). An investigation of ploidy in 21 cyanobacteria revealed 6 *Synechococcus* and 3 *Prochlorococcus* strains with more than 1 genome copy (SI Appendix, Fig. S4). Most interestingly, multiple genome copies were detected in the 2 strains for which concomitant phage genome replication and cyanobacterial genome degradation was a common occurrence: *Synechococcus* WH5701 and *Prochlorococcus* MIT9215 (SI Appendix, Fig. S4). This is consistent with polyploidy providing genome copy redundancy that would allow cells to withstand partial genome degradation without negatively affecting growth. It further points to a potential benefit of polyploidy in bacteria and eukaryotic cells not considered previously, similar to a recent report suggesting that polyploidy may help cells compensate for ultraviolet damage to the chromosome (44).

Our findings of some resistant cyanobacteria in which phage genomes are expressed and replicated raised the question of whether infective phage particles are produced intracellularly and resistance is due to lack of release from the cell. We investigated this for Syn9 in *Synechococcus* WH5701, the interaction for which prior stages of the infection process were most similar to the sensitive *Synechococcus* WH8102.

First, we assessed whether virus particles are formed intracellularly through direct observation in thin cell sections by transmission electron microscopy (TEM). To our surprise, capsids were assembled in the resistant strain (Fig. 5A and B). However, fewer were present in each thin section in the resistant (1.2 ± 0.9, n = 28 sections) relative to the sensitive (3.9 ± 1.7, n = 18 sections) cyanobacterium (*P < 0.001*). Capsid sizes were similar in the resistant and sensitive strains (Fig. 5A and B and SI Appendix, Fig. S5), as well as to those reported previously for Syn9 (45), and are significantly smaller than carboxysomes (SI Appendix, Fig. S5). Phage tails were not observed in the sensitive or resistant strain, so no conclusions about their assembly and attachment can be reached.

Next, we determined whether the replicated phage genome in the resistant strain (Fig. 4A and H) was packaged into capsids. This was done by testing for protection of intracellular DNA from deoxyribonuclease (DNase) digestion (Methods). While phage DNA was protected in the sensitive strain (Fig. 5C), it was not protected in the resistant strain (Fig. 5D), indicating that phage DNA was not packaged. These findings are in line with expectations based on the proteomic data since 2 key packaging proteins (TerS and the protease) were not present in the resistant strain (discussed above).

Finally, we tested whether phage particles inside resistant cells were infective, even though this is not expected if DNA is not packaged. We disrupted cells and tested for infective phages in...
Strain (SI Appendix, Table S5), suggesting that multiple means of defense are present in 1 strain. Two strains (Synechococcus WH5701 and Prochlorococcus MIT9215) were more prone than others, however, to allow phages to reach later stages of the infection cycle (SI Appendix, Table S5).

Of the 17 cyanobacterial strains investigated in this study, only 4 have recognizable active defense systems, and these are nucleic-based (16, 46) (SI Appendix, Supplementary Results and Discussion and Table S2). The progression of the infection cycle and the cellular processes we observed in most cyanobacteria–cyanophage interactions are not in line with these known defenses. Such observations include phage genome replication, partial phage particle formation, bacterial genome degradation, and survival of the cell. Indeed, many intracellular defense mechanisms lead to rapid phage genome degradation, including CRISPR-Cas and restriction modification-like systems (46–48). Others cause death of the bacterium, such as inducible chromosomal islands and abortive infection systems, including those that inhibit the translation machinery (16, 46, 49). Resistance due to lysogeny also does not match the profile we report here of lytic gene expression and phage genome replication (46). Thus, novel means of intracellular defenses likely exist in the marine unicellular cyanobacteria. Whether these are currently unknown active mechanisms of resistance or are more passive mechanisms due to adaptive losses of cellular components essential for phage reproduction remains to be seen.

Over the past decade, it has become increasingly apparent that both specialist and generalist phages exist in nature (2, 3, 5). How much intrinsic resistance is cell surface-related against specialists and intracellular against generalists in other bacterial phyla is currently unknown. Nonetheless, entry by generalists into more bacteria than specialists seems likely. This requires cells to have different modes of resistance against specialists versus generalists, even in the same cell lineage, as cells in nature are not exclusively infected by either specialist or generalist viruses.

Widespread intracellular resistance with virus DNA replication in nonhosts has important implications for horizontal gene transfer. This extends the range of effective DNA transfer by generalist viruses: not only from one host to another but also to a

![Image](https://www.pnas.org/ cgi/doi/10.1073/pnas.1906897116)

**Fig. 5.** Formation of Syn9 phage particles in resistant Synechococcus WH5701. Capsid assembly is observed from TEM images of thin cell sections (A and B), packaging of DNA into capsids determined from DNase protection (C and D), and intracellular production of infective phage determined from plaque-forming units (E and F) during Syn9 infection of sensitive Synechococcus (Syn.) WH8102 (A, C, and E) and resistant Synechococcus WH5701 (B, D, and F) strains. TEM images are representative of 2 independent experiments (n = 18 sections for Synechococcus WH8102 and n = 28 sections for Synechococcus WH5701). Size distribution of phage particles is shown in SI Appendix, Fig. S5. (C and D) **− DNase** treatment indicates total intracellular DNA, while **+ DNase** treatment indicates intracellular DNA that is protected from DNase digestion after cell disruption (n = 3). Phage DNA levels were determined by qPCR for the g20 portal gene. (E and F) **Disrupted cells** treatment shows the number of infective phages present intracellularly and in the extracellular medium, while **No treatment** shows the number of infective phages in the extracellular medium (n = 3). PFU, plaque-forming units.

![Image](https://www.pnas.org/ cgi/doi/10.1073/pnas.1906897116)

**Fig. 6.** Infection by generalist cyanophages is arrested at different stages. A schematic diagram of the progression of infection of a single generalist phage, Syn9, in different resistant cyanobacteria is shown. (Left) Progression of infection in the sensitive strain. (Right) Resistant strains are separated into 3 groups depending on the progress of intracellular infection after entry. Details of the stage of infection reached for all phages and interactions investigated in this study appear in SI Appendix, Table S5. Pro., Prochlorococcus; Syn., Synechococcus.

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the supernatant (Methods). As expected, no infective phages were found in the resistant strain either in disrupted cells or released from untreated cells (Fig. 5F), whereas infective phages were found in the sensitive strain in both conditions (Fig. 5E). Thus, only partial noninfective virus particles were formed, and the stage at which resistance occurred was prior to release from the cell. Taken together, our findings suggest that resistance to Syn9 in Synechococcus WH5701 occurred due to the lack of key proteins that prevented DNA packaging (and perhaps tail attachment), thus thwarting the formation of the next generation of infective phage progeny.

Our combined results for all interactions indicate that the stage at which intracellular resistance acts is interaction-specific, with the infection process of the same generalist phage being arrested at different stages in distinct cyanobacteria (Fig. 6 and SI Appendix, Table S5). Furthermore, the infection cycle of diverse phages ended at different stages in a single cyanobacterial
suite of nonhosts that survive the encounter. This, coupled with persistence of phage DNA in the cell for hours, provides considerable opportunity for transfer of phage DNA into bacterial genomes and for transmission of that DNA to subsequent generations. The pervasive entry of phage DNA into nonhosts reported here is not unlike that to be a main multiplier of infection (MOI) diversification in the abundant marine unicellular cyanobacteria *Synechococcus* and *Prochlorococcus* and possibly for other bacterial phyla as well.

**Methods**

**Bacterial Growth and Phage Propagation.** Cyanobacterial cultures were grown in liquid medium or in agarose pour-plates. *Prochlorococcus* strains MED4, MIT9215, MIT9515, MIT9312, MIT9313, and NATL2A were grown in the Pro99 seawater-based medium (50), and *Synechococcus* strains WH6102, WH6109, WH5701, WH7803, CC9311, CC9605, RS9916, RS9917, BL1107, and MIT9902 were grown in an artificial seawater (ASW) medium (51) with modifications as described by Lindell et al. (52). Cyanobacterial cultures were grown at a temperature of 21 °C and at a light intensity of 10 μmol of photons per m−2 s−1 under a 14:10 light:dark regime. Growth of the cultures was monitored using chlorophyll a autofluorescence (excitation/emission: 440/680 nm) as a proxy for biomass (50) using a Synergy 2 microplate reader (BioTek). Typical growth rates of these cyanobacterial phage consorts are a doubling every 1 to 2 d.

Cells were enumerated by flow cytometry prior to experimentation using either an LSR-II flow cytometer (BD Biosciences) equipped with a 488-nm laser or an Influx flow cytometer (BD Biosciences) equipped with 488-nm and 457-nm lasers. Populations were determined based on their forward scatter and autofluorescence. *Prochlorococcus* cells were detected by their red fluorescence (emission at 692/640 nm), and *Synechococcus* cells were detected by their orange fluorescence (emission at 580/30 nm). Yellow-green 1-μm diameter microspheres (Fluoresbrite) were added to each sample as an internal standard for size and fluorescence.

Growth of *Prochlorococcus* and *Synechococcus* strains in pour-plates was used for colony formation and to produce lawns for plaque formation and for spot assays as described by Moore et al. (50), with modifications as described by Lindell (53). Ultrapure low-melting-point agarose (Invitrogen) at 0.28% was used to prepare plates to obtain higher plating efficiency of the cyanobacteria (54). In pour-plating, the cyanobacteria are added to the low-melting-point agarose mix and allowed to solidify. As such, the cyanobacteria grow within the agarose mix and are poured into the Petri dish together with the agarose mix and allowed to solidify. As such, the cyanobacteria grow within the agarose and are not spread on top of the semisolid medium.

**Heterotrophic bacteria** were grown overnight at 37 °C with shaking at 250 rpm. *Alteromonas* sp. strain EZ55 was grown in YTSS medium (4 g of yeast extract [Becton Dickinson], 2.5 g of tryptone [Becton Dickinson], and 20 g of sea salts [Sigma-Aldrich] per liter) (55). *Vibrio harveyi* and *Photobacterium leiognathi* were grown in marine broth (Becton Dickinson) (56). Heterotrophic bacteria were enumerated by plating on YTSS agar plates with 1.5% Bacto Agar (Becton Dickinson) or Marine Agar (Becton Dickinson). Before use in adsorption assays, these heterotrophic bacteria were concentrated by centrifugation to 5×107 cells per milliliter (6,000 × g at room temperature for 2 min), washed 3 times, and resuspended in ASW medium to remove the rich growth medium.

The cyanobacterial cultures used in this study are double-stranded DNA viruses belonging to the Caulovirales and included 3 generalist T4-like myoviruses: Syn9, P-TIM40, and S-TIM4; 2 specialist T4-like myoviruses: P-TIM68 and P-TIM75; and 3 specialist T7-like podoviruses: P-SSP7, Syn5, and S-TIP37. They were isolated from various oceanic regions on a variety of hosts (SI Appendix, Table S6). All of the T4-like myoviruses belong to clade III based on g20 portal protein phylogeny, while the T7-like podoviruses belong to clade A (Syn9s) or clade B (P-SSP7 and S-TIP37) based on DNApol phylogeny. The genomes of these phages have been sequenced. Phages from the 2 families are very different from each other (12, 57), and each phage within a family is distinct, with at least 40 to 50% of its genome content being different from each of the other phages within the same phage family. The length of the lytic cycle of these cyanophages ranges from 1 to 12 h (SI Appendix, Table S6), and is considerably shorter than the generation time of the cyanobacterial strain. They were propagated by infecting a sensitive strain (SI Appendix, Table S6) at a low multiplicity of infection (MOI) of <0.01 and allowing the culture to clear under cyanobacterial growth conditions. Cells were removed from the lysates by filtration through a 0.2-μm pore-sized filter. For small volumes (<20 mL), an Acrodisc syringe filter ( Pall Corp.) was used, whereas Nalgene Rapid Flow 50-mm filter units (Thermo Fisher Scientific) were used for larger volumes.

The concentration of infective phages was determined by the plaque assay. Lysates were serially diluted and plated in pour-plates with a sensitive *cyanobacterium* at a concentration of 2×106 cells to form a cyanobacterial lawn. On occasion, spot assays were conducted to test for infectivity by pipetting 15 μL of undiluted phage on an existing lawn of cyanobacteria and monitoring for clearing (plaques).

**Cyanobacterial Resistance and Sensitivity and Cyanophage Host Range.** To determine which strains of cyanobacteria were sensitive or resistant to each phage, as well as the phage's host range, the 17 cyanobacterial strains mentioned above were challenged with each of the 8 phages in liquid culture and the growth of the culture was followed for a period of 2 to 4 wk. Sensitivity was determined by a decline in cyanobacterial growth in the phage treatments relative to uninfected controls. If no decline of growth was detected in liquid, the ability to infect was tested either by the plaque assay or a spot assay (discussed above). These assays show the ability of the phage to infect over multiple potential infection cycles over the period of 1 d to a week. A strain was considered sensitive if there was either a decline in growth of the liquid culture or if clearings formed in plaque or spot assays. A strain was considered resistant if there was no decline in growth of the liquid culture and there was no formation of plaques or spots on cyanobacterial lawns. The host range of phages that are sensitive to cyanobacteria. Phages were considered specialists if they had 1 sensitive host and generalists if they had more than 4 sensitive hosts out of the 17 cyanobacteria tested.

To verify that lack of viral production was not due to inefficient infections (20), we tested for production of infective phages using phage growth curves (58). This was done for the Syn9 phage on the resistant strain *Synchococcus* WH5701 and for the P-SSP7 phage on the resistant strain *Prochlorococcus* MIT9215. Experiments were initiated by adding phage at an MOI of 0.1 to cell cultures (106 cells per milliliter), diluted 100-fold after 1 h, and monitored for phage release into the extracellular medium using the plaque assay after filtering out cells. This was done for a period of 26 h, which is longer than the time of phage release (the latent period) on sensitive strains by more than 5-fold for Syn9 (36) and 3-fold for P-SSP7 (35).

To assess if a small subpopulation of resistant cultures was sensitive to the phage we investigated their interactions with the phage. In one experiment described above and assessed sensitivity at the single-cell level. Two different assays were used. In the first, the number of viable cells remaining after challenge with phage was determined by colony counts (viable cells assay). Phages were added to the resistant culture at an MOI of 3 and allowed to adsorb to the cells. Phages that did not adsorb were removed by centrifugation (7,500 × g for 5 min at room temperature for *Synechococcus*-infected cells and 9,500 × g for 15 min at room temperature for *Prochlorococcus*-infected cells), and serial dilutions of the cultures were plated for colony formation. The percentage of viable cells was determined from the number of colonies formed in the infected cultures compared with those in the same, but uninfected, control cultures.

The second assay measures cell lysis (59). Phages were added to the resistant culture at an MOI of 1 and allowed to adsorb to the cells. Cells from the infected culture were diluted 1,000-fold prior to sorting into wells containing a culture of a sensitive strain, with each well receiving a single cell. This dilution prevents sorting of free phages into the wells with the cells due to the greater separation between cells and phages (59). Thus, a clearing of the culture in the well indicates that the sorted cell produced infective phage that caused the collapse of the sensitive strain. A total of 576 wells were tested per interaction. Sorting was conducted with a FACSAria-IIu (BD Biosciences) flow cytometer and was triggered based on autofluorescence and size of the cyanobacterium in the culture, such that free phages are not sorted into the wells. A decline in colony number (viable cells assay) or lysis of the sensitive strain in the wells (lysis assay) would indicate that the culture contains sensitive cells. Adsorption was 4 h for Syn9 and 7 h for P-SSP7, periods that correspond to maximal adsorption to sensitive strains but prior to phage release. Positive controls for infection were conducted with a sensitive strain for the 2 phages in both assays.

**Adsorption Assays.** To determine if resistance to the phage was due to lack of the ability to attach to the cell surface, we carried out adsorption assays following the method of Avrani et al. (13), but without cell concentration. Phages were added to exponentially growing resistant cyanobacteria (106 cells per milliliter) at an MOI of 3. Phage abundance in the extracellular medium was measured by the plaque assay with a sensitive strain immediately after phage addition (at time 0 [t0]) and at a period of time corresponding to maximal adsorption (t1). Cells were removed by filtration prior to the plaque assay. A decline in the concentration of phages in the extracellular medium over this
Phage protein presence was investigated for 8 of 18 interactions with generalist phages for which adsorption was observed. Cells (50 μl per sample) were collected by centrifugation at 12,000 g for 4 min and 4 °C for 25 min, flash-frozen in liquid nitrogen, and stored at −80 °C prior to protein extraction. The presence of viral proteins was assessed for the Syn9 and S-TIM4 phages in a sensitive strain and in 5 and 3 resistant strains for Syn9 and S-TIM4, respectively. Only proteins detected in the sensitive strain were investigated for their presence or absence in resistant strains. Because we were interested in assessing de novo protein synthesis during the infection process, structural proteins making up the phage particle were largely excluded from the analyses, since such high-copy proteins are detected in the cultures from the phages used for initiating the infection and would confound interpretation of de novo protein synthesis. However, some cases of the absence of low-copy phage structural proteins from infections of resistant strains are reported. Proteins were separated into early, middle, or late expression clusters as determined for Syn9 by Doron et al. (36) and based onSyn9 homology for S-TIM4.

Proteins were extracted from cells in 2% sodium deoxycholate (SDC) and 50 mM ammonium bicarbonate in 2 cycles of sonication. They were then reduced with 3 mM dithiothreitol at 34 °C for 45 min, modified with 10 mM iodoacetamide in the dark at room temperature for 30 min, and then digested at 37 °C overnight with modified trypsin (Promega), at a ratio of 1:50 enzyme to protein, in 1% SDC and 50 mM ammonium bicarbonate. A second trypsin digestion was done for 4 h under the same conditions. The deoxycholate was removed by centrifugation at 10,000 × g at room temperature for 10 min; 1% formic acid was added, and the samples were centrifuged again as described above. The tryptic peptides in the supernatant were desalted using C18 tips (Ultra-Micro), dried, and resuspended in 0.1% formic acid. The peptides were resolved by reverse-phase chromatography on 0.075 × 250-mm fused silica capillaries (J&W; Agilent) packed with ReproSil reverse-phase material (Dr. Maisch GmbH). The peptides were eluted in 0.1% formic acid at a flow rate of 0.15 μl·min⁻¹ with linear gradients of 5 to 28% acetonitrile for 180 min and 28 to 95% acetonitrile for 15 min, followed by 95% acetonitrile for 15 min. MS/MS spectra were acquired with a Thermo Fisher Xevo (Xevo TQ-S) mass spectrometer in positive mode with repetitive full MS scans followed by collision-induced dissociation of the 10 most dominant ions selected from the first MS scan. MS data were analyzed using either Proteome Discoverer (Thermo Fisher Scientific) or MaxQuant 1.5.2.8 software against the relevant proteomes from the UniProt database. The MS proteomics data have been deposited in the ProteomeXchange Consortium (63) via the PRIDE (64) partner repository with the dataset identifier PXD013300. Peptide false discovery rates were filtered to 1% using the target-decoy strategy (65). A protein was considered present when at least 1 peptide was detected in 2 of 3 independent experiments. A Mann–Whitney U test was performed to determine if the number of distinct phage proteins identified in each expression cluster in the resistant strain was significantly different from the number identified in the sensitive strain.

Protein identification and quantification of DNA degradation were performed for 12 of 18 interactions with generalist phages and 7 of 9 interactions with specialist phages for which adsorption was observed. Progression of the transcriptional program was investigated for the same 12 interactions with generalist phages and for 3 of the interactions with specialist phages. Cells (1 to 1.5 mL) were collected at 0, 0.5, 1, 2, and 4 h postinfection and were processed as described above. Cells were flash-frozen in liquid nitrogen and stored at −80 °C prior to RNA extraction. Phage entry was assessed from transcription of an early gene for all cyanophages, except for P-TIM75, for which a late gene was analyzed as its genome has yet to be sequenced. Transcription profiles are presented as the number of mRNA copies per nanogram of RNA. The progression of the phage transcriptional program and relative transcript levels were evaluated for 2 early, middle, and late expression clusters for the T7-like podoviruses. Cyanobacterial DNA quantification were collected at various time points after infection (ranging from 10 to 144 h, depending on the experiment). A quantitative procedure was used to extract DNA from cyanobacterial cells (66). Cells from 200 μL were collected on 25-mm, 0.2-μm pore-sized polycarbonate filters (General Electric) by filtration (at a vacuum of 20 mmHg). The cells were washed 3 times with 3 mL of ASW medium and once with 3 mL of preservation solution (10 mM Tris HCl [pH 8], 100 mM ethylenediaminetetraacetic acid [EDTA], 0.5 M NaCl). The cells were then frozen in liquid nitrogen and stored at −80 °C prior to DNA extraction.

Cells were removed from filters by immersion in 10 mM Tris HCl (pH 8) and agitation in a minibead beater for 2 min at 5,000 rpm without beads. The cells were removed from the shredded filter and heated at 95 °C for 15 min to lyse the cells and extract the DNA (66). DNA was quantified by real-time qPCR (discussed below). Phage DNA was quantified using the portal protein gene, g20, for the T4-like myoviruses and the DNA polymerase gene, DNApol, for the T7-like podoviruses. Cyanobacterial DNA was quantified using the gene for the large subunit of Rubisco, rbcL. The primers used are shown in SI Appendix, Table S7. Phage DNA levels at each time point are presented relative to the maximal concentration of phage DNA in the sensitive strain. Cyanobacterial genome levels are presented relative to measurements at 0, immediately after phage addition, in each interaction.
Intracellular capsid assembly was investigated for the Syn9 phage in the resistant Synechococcus strain WH701 and compared with that in the sensitive Synechococcus strain WH8102. The sections of infected cyanobacterial cells were visualized by TEM in the sensitive and resistant Synechococcus strain, as well as uninfected controls of the resistant Synechococcus WH701 strain. Two independent experiments were conducted. Imaging was performed on infected cells collected at 5.5 h and 7 h post-infection for the sensitive WH8102 and resistant WH701 strains, respectively. A longer period of time after phage addition was allowed for the resistant strain in case assembly took longer than in the sensitive strain. Cells from 10-mL cultures were harvested by centrifugation at 12,000 × g and 4 °C for 25 min, fixed with 4% formaldehyde and 2% glutaraldehyde in 0.1 M cayodacry buffer pH 7.4 (Sigma-Aldrich), and stored at 4 °C in the dark for up to 2 wk.

Cells were washed with 0.1 M cayodacry buffer and concentrated by centrifugation, as described above. The cell pellet was embedded in 3.4% agarose and fixed with 1% osmium tetroxide, 0.5% potassium dichromate, and 0.5% potassium hexacyanoferrate in 0.1 M cayodacry buffer. After washing 3 times in 0.1 M cayodacry buffer, samples were incubated for 1 h in an aqueous solution of 2% uranyl acetate and then washed 3 times with water (Becton Dickinson). The cells were dehydrated by 3 washes in dehydrated ethanol. Cells were then embedded in agar 100 epoxy resin (Agar Scientific). Alternatively, cell pellets were mounted on an aluminum disk with a depth of 100 μm (Engineering Office M. Wohlwend GmbH) and covered with a flat disk. The sandwiched sample was frozen in an EM ICE high-pressure freezing machine (Leica Microsystems). Cells were subsequently freeze-substituted in an AF52 freeze substitution device (Leica Microsystems) in anhydrous acetone containing 0.2% uranyl acetate and 0.2% osmium tetroxide. Cells were then warmed to room temperature and infiltrated with resin for 5 d in a series of increasing concentrations of agar 100 epoxy resin in acetone. After polymerization at 60 °C, 60–80-nm sections were cut with a Tecnai Spirit T12 or Tecnai T12 transmission electron microscope (FEI) operating at 120 kV. Images were acquired using a 2 k × 2 k charge-coupled device camera (Eagle; FEI) or a Gatan 4 k × 4 k OneView complementary metal-oxide-semiconductor camera (Gatan).

The number and diameter of capsids and carboxysemes were measured using ImageJ 1.4g software. Two-tailed Student’s t tests were performed to test if the number of phage capsids per cell and the average capsid diameter were different between the sensitive and resistant strains and if there was a significant difference in the diameter of phage capsids and cyanobacterial carboxysemes in each strain.

**DNA packaging and formation of intracellular infective phages.** DNA packaging into capsids and the formation of infective phages (intracellularly) were investigated for the Syn9 phage in the resistant Synechococcus strain WH701 and compared with that in the sensitive Synechococcus strain WH8102. At different time points postinfection, cells were disrupted using the following procedure. Cells were incubated with 30,000 units (4 mg/mL) lysozyme (Sigma–Aldrich) at 37 °C for 30 min and subjected to 4 temperature change cycles of 2 min at −5 °C followed by 2 min at 37 °C. The weakened cells were then disrupted during incubation in 1% chloroform (vol/vol) at room temperature for 1 h with continuous inversion at 20 °C. Disrupted cells (10) were washed 3 times with acetone, brought to room temperature, and infiltrated with resin for 5 d in a series of increasing concentrations of agar 100 epoxy resin in acetone. After polymerization at 60 °C, 60–80-nm sections were cut with a Tecnai Spirit T12 or Tecnai T12 transmission electron microscope (FEI) operating at 120 kV. Images were acquired using a 2 k × 2 k charge-coupled device camera (Eagle; FEI) or a Gatan 4 k × 4 k OneView complementary metal-oxide-semiconductor camera (Gatan).

**Determination of Ploidy in Marine Cyanobacteria.** The number of genome copies per cyanobacterial cell (ploidy) was determined for 21 cyanobacterial strains. DNA was extracted from 200-μL cultures after collection on 25-μm, 0.2-μm pore-sized polycarbonate filters (General Electric) using the quantitative extraction procedure described above. qPCR was carried out for the rbcL and rnpB genes, which are hundreds of thousands of base pairs apart on nearly all of the cyanobacterial genomes. Gene copy numbers were determined using standard curves (discussed below). The number of genome copies per cell was inferred after quantifying cyanobacterial cell abundances in each culture using an influx flow cytometer (discussed above).

**Real-Time qPCR.** Each qPCR reaction contained 1X LightCycler 480 SYBR Green I Master mix (Roche), 200 nM desalted primers (SI Appendix, Table S7), and 5 μL of template (in 10 mM Tris HCl [pH 8]) in a total reaction volume of 25 μL. Reactions were carried out on a LightCycler 480 Real-Time PCR System (Roche). The cycling program began with a denaturation step of 95 °C for 5 to 10 min, followed by 35 to 45 cycles of amplification. Each cycle consisted of denaturation at 95 °C for 10 s, annealing at 55 to 65 °C (5 °C below the primers’ calculated melting temperature [Tm]) for 10 s, and elongation at 72 °C for 10 s, at the end of which plate fluorescence was read (6-Carboxy-fluorescein [6-FAM]; excitation/emission: 465/510 nm). The point at which the fluorescence of a sample rose above the background fluorescence was calculated using LightCycler 480 software (release 1.5.0) using the absolute quantification/second-derivative maximum analysis package (58). Specificity of the amplified PCR product was verified by performing melting curve analysis on the LightCycler 480 instrument.

Standard curves were generated from genomic DNA for each amplified gene and were used to calculate the number of gene copies in the samples. Genomic DNA for standard curves from cyanobacterial cells was extracted using the Qiagen Blood & Tissue kit and digested with a phenol-chloroform-based method (69). DNA concentrations in nanograms per milliliter were measured by absorbance at 260 nm using a Synergy 2 microplate reader (BioTek). DNA concentrations were converted to genome copies per milliliter by entering the genome length of the cyanobacterium or phage into the URI Genomics & Sequencing Center calculator for determining the number of copies of a template (http://cels.uri.edu/gsc/cndna.html).

**Homology-Based Searches for Defense Systems.** We investigated the presence of known active defense systems in the marine cyanobacteria that enable the host cell to remain viable. We searched for restriction enzymes using the Restriction Enzyme Database (http://rebase.neb.com/rebase/rebase.html) using each cyanobacterial strain’s name. We also performed keyword searches in the genome entry of each of the 17 strains used here for the following words: restriction, endonuclease, BREX, cas, and CRISPR. The Pfam database (http://pfam.xfam.org) was used to confirm all annotations by searching for protein domains and domain families belonging to known active defense systems. When discrepancies arose, the annotation selected was that in Pfam. Furthermore, since it has been suggested that defense systems are clustered in specific genomic locations (70), once we found a defense related protein, we investigated the neighboring protein sequences for defense genes using Pfam. We also performed protein BLAST searches using the National Center for Biotechnology Information for all proteins identified to assess if homologous proteins are present in other marine cyanobacteria. It should be noted that we did not search for known inducible chromosome islands or abortive infection systems, as these cause the death of the cell.

**Statistical Analysis.** All statistical analysis was performed using IBM SPSS Statistics software, version 23/24. Either the Student’s t test (parametric) or Mann–Whitney U test (nonparametric) was used depending on the distribution of the data and equality of variance between the datasets. Normality was tested using the Shapiro–Wilk test for normality. Equality of variance was tested using Levene’s test using SPSS software.

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