POINCARÉ POLYNOMIALS OF A MAP AND A RELATIVE HILALI CONJECTURE

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ABSTRACT. In this paper we introduce homological and homotopical Poincaré polynomials $P_f(t)$ and $P^\pi_f(t)$ of a continuous map $f : X \to Y$ such that if $f : X \to Y$ is a constant map, or more generally, if $Y$ is contractible, then these Poincaré polynomials are respectively equal to the usual homological and homotopical Poincaré polynomials $P_X(t)$ and $P^\pi_X(t)$ of the source space $X$. Our relative Hilali conjecture $P^\pi_f(1) \leq P_f(1)$ is a map version of the well-known Hilali conjecture $P^\pi_X(1) \leq P_X(1)$ of a rationally elliptic space $X$. In this paper we show that under the condition that $H_i(f; \mathbb{Q}) : H_i(X; \mathbb{Q}) \to H_i(Y; \mathbb{Q})$ is not injective for some $i > 0$, the relative Hilali conjecture of product of maps holds, namely, there exists a positive integer $n_0$ such that for $\forall n \geq n_0$ the strict inequality $P^\pi_{f^n}(1) < P_{f^n}(1)$ holds, where $f^n : X^n \to Y^n$. In the final section we pose a question whether a “Hilali”-type inequality $HP^\pi_X(r_X) \leq P_X(r_X)$ holds for a rationally hyperbolic space $X$, provided the the homotopical Hilbert–Poincaré series $HP^\pi_X(r_X)$ converges at the radius $r_X$ of convergence.

1. INTRODUCTION

The most important and fundamental topological invariant in geometry and topology is the Euler–Poincaré characteristic $\chi(X)$, which is the alternating sum of the Betti numbers $\dim H_i(X; \mathbb{Q})$:

$$\chi(X) := \sum_{i \geq 0} (-1)^i \dim H_i(X; \mathbb{Q}),$$

provided that each $\dim H_i(X; \mathbb{Q})$ and $\chi(X)$ are both finite. Similarly, for a topological space whose fundamental group is an Abelian group one can define the homotopical Betti number $\dim(\pi_i(X) \otimes \mathbb{Q})$ where $i \geq 1$ and the homotopical Euler–Poincaré characteristic:

$$\chi^\pi(X) := \sum_{i \geq 1} (-1)^i \dim(\pi_i(X) \otimes \mathbb{Q}),$$

provided that each $\dim(\pi_i(X) \otimes \mathbb{Q})$ and $\chi^\pi(X)$ are both finite. The Euler–Poincaré characteristic is the special value of the Poincaré polynomial $P_X(t)$ at $t = -1$ and the homotopical Euler–Poincaré characteristic is the special value of the homotopical Poincaré polynomial $P^\pi_X(t)$ at $t = -1$:

$$P_X(t) := \sum_{i \geq 0} t^i \dim H_i(X; \mathbb{Q}), \quad \chi(X) = P_X(-1),$$

$$P^\pi_X(t) := \sum_{i \geq 1} t^i \dim(\pi_i(X) \otimes \mathbb{Q}), \quad \chi^\pi(X) = P^\pi_X(-1).$$

Since we consider polynomials, besides the requirement that $\dim H_i(X; \mathbb{Q})$ and $\dim(\pi_i(X) \otimes \mathbb{Q})$ are each finite, we assume that there exist integers $n_0$ and $m_0$ such that $H_i(X; \mathbb{Q}) = 0$ for $\forall i > n_0$ and $\pi_j(X) \otimes \mathbb{Q} = 0$ for $\forall j > m_0$, which are equivalent to requiring that

$$\dim H_i(X; \mathbb{Q}) := \sum_{i \geq 0} \dim H_i(X; \mathbb{Q}) < \infty, \quad \dim(\pi_i(X) \otimes \mathbb{Q}) := \sum_{i \geq 1} \dim(\pi_i(X) \otimes \mathbb{Q}) < \infty.$$
Such a space $X$ is called \textit{rationally elliptic}. If we have
\[ \dim H_s(X; \mathbb{Q}) < \infty, \quad \dim(\pi_*(X) \otimes \mathbb{Q}) = \infty, \]
then such a space $X$ is called \textit{rationally hyperbolic}, because it follows (see \cite{3} Theorem 33.2]) that there exist some $C > 1$ and some positive integer $K$ such that
\[ \sum_{i \geq 2} \dim(\pi_i(X) \otimes \mathbb{Q}) \geq C^k, \quad k \geq K. \]
From now on, unless otherwise stated, any topological space is assumed to be simply connected and of finite type (over $\mathbb{Q}$), i.e., the rational homology group is finitely generated for every dimension, $\dim H_i(X; \mathbb{Q}) < \infty$, which implies that $\dim(\pi_i(X) \otimes \mathbb{Q})) < \infty$ because it is well-known that a simply connected space has finitely generated homology groups in every dimension \textit{if and only if} it has finitely generated homotopy groups in every dimension (e.g., see \cite{6} 16 Corollary, p.509)). A very simple example of a non-simply connected space for which this statement does not hold is $S^2 \vee S^1$.

The well-known Hilali conjecture \cite{4} claims that if $X$ is a simply connected rationally elliptic space, then
\[ \dim(\pi_*(X) \otimes \mathbb{Q}) \leq \dim H_*(X; \mathbb{Q}), \quad \text{namely,} \quad P_X^\pi(1) \leq P_X(1). \]
No counterexample to the Hilali conjecture has been so far found yet.

In \cite{9} the second named author proved that for a simply connected rationally elliptic space $X$ the Hilali conjecture always holds “modulo product”, i.e., there exists a positive integer $n_0$ such that for $\forall \ n \geq n_0$
\[ \dim(\pi_*(X^n) \otimes \mathbb{Q}) < \dim H_*(X^n; \mathbb{Q}), \quad \text{i.e.,} \quad P_{X^n}^\pi(1) < P_{X^n}(1). \]
Here $X^n$ is the product $X^n = \underbrace{X \times \cdots \times X}_n$.

In this paper we introduce the homological and homotopical Poincaré polynomials $P_f(t)$ and $P_f^\pi(t)$ of a continuous map $f : X \to Y$ and show that if $P_f(1) > 1$, i.e., there exists some integer $i > 1$ such that $H_i(f; \mathbb{Q}) : H_i(X; \mathbb{Q}) \to H_i(Y; \mathbb{Q})$ is not injective, then there exists a positive integer $n_0$ such that for $\forall \ n \geq n_0$ the strict inequality $P_{X^n}^\pi(1) < P_{X^n}(1)$ holds, where $f^n : X^n \to Y^n$ is defined component-wise by $(f^n(x_1), \ldots, x_n) := (f(x_1), \ldots, f(x_n))$. This result is a map version of the above result (1.1).

HINTED by the proof \cite{9} of $P_{X^n}^\pi(1) < P_{X^n}(1)$, we give a reasonable conjecture claiming that if $P_f(1) = 1$, then $P_f^\pi(1) = 0$, in other words, if each homological homomorphism $H_i(f; \mathbb{Q}) : H_i(X; \mathbb{Q}) \to H_i(Y; \mathbb{Q})$ being injective for $\forall i > 1$ implies that each homotopical homomorphism $\pi_i(f; \mathbb{Q}) : \pi_i(X) \otimes \mathbb{Q} \to \pi_i(Y) \otimes \mathbb{Q}$ is injective $\forall i > 1$. We remark that for this conjecture we assume that $X$ and $Y$ are rationally elliptic spaces and that the conjecture is false if the homology rank of the target $Y$ is not finite, as shown by a counterexample later. In fact, as seen in Conjecture 3.21 for the above conjecture we assume that the map $f : X \to Y$ is a rationally elliptic map (see Definition 2.5 below). Ellipticity of a map $f : X \to Y$ is a more lax condition than requiring $X$ and $Y$ to be rationally elliptic, in which case $f$ is certainly a rationally elliptic map.

In passing, we recall that the well-know Whitehead–Serre Theorem (e.g., see \cite{3} Theorem 8.6) claims that for simply connected spaces $X$ and $Y$, $H_i(f; \mathbb{Q}) : H_i(X; \mathbb{Q}) \to H_i(Y; \mathbb{Q})$ is isomorphic for $\forall i > 0$ if and only if $\pi_i(f) \otimes \mathbb{Q} : \pi_i(X) \otimes \mathbb{Q} \to \pi_i(Y) \otimes \mathbb{Q}$ is isomorphic $\forall i > 1$. It follows (see \cite{2}) that the radius $r_X$ of convergence of $HP_X^\pi(t)$ is less than 1. It is in general well-known that if $r$ denotes the radius of convergence of a power series $P(t)$, then whether $P(r)$ converges or
not is case-by-case. So, when $HP^n_X(r_X)$ does converge, it seems to be an interesting question if the following holds or not:

$$HP^n_X(r_X) \leq P_X(r_X),$$

which could be called “a Hilali conjecture in the hyperbolic case”.

2. Homological and homotopical Poincaré polynomials of a map

Let $f : X \to Y$ be a continuous map of simply connected spaces $X$ and $Y$ of finite type. For the homomorphisms

$$H_i(f; \mathbb{Q}) : H_i(X; \mathbb{Q}) \to H_i(Y; \mathbb{Q}), \quad \pi_*(f) \otimes \mathbb{Q} : \pi_*(X) \otimes \mathbb{Q} \to \pi_*(Y) \otimes \mathbb{Q},$$

we have the following exact sequences of finite dimensional $\mathbb{Q}$-vector spaces:

\begin{align*}
\text{(2.1)} & \quad 0 \to \Ker H_i(f; \mathbb{Q}) \to H_i(X; \mathbb{Q}) \to H_i(Y; \mathbb{Q}) \to \Coker H_i(f; \mathbb{Q}) \to 0 \quad \forall i \geq 0, \\
\text{(2.2)} & \quad 0 \to \Ker(\pi_*(f) \otimes \mathbb{Q}) \to \pi_*(X) \otimes \mathbb{Q} \to \pi_*(Y) \otimes \mathbb{Q} \to \Coker(\pi_*(f) \otimes \mathbb{Q}) \to 0 \quad \forall i \geq 2.
\end{align*}

Here we recall that $\Coker(T) := B/\text{Im}(T)$ for a linear map $T : A \to B$ of vector spaces.

Since $X$ and $Y$ are simply connected, they are path-connected as well (by the definition of simply connectedness), thus we have

$$\mathbb{Q} \cong H_0(X; \mathbb{Q}) \xrightarrow{f_*} H_0(Y; \mathbb{Q}) \cong \mathbb{Q},$$

so $\Ker H_0(f; \mathbb{Q}) = \Coker H_0(f; \mathbb{Q}) = 0$. It follows from (2.1) and (2.2) that we get the following equalities:

\begin{align*}
\text{(2.3)} & \quad \dim(\Ker H_i(f; \mathbb{Q})) - \dim H_i(X; \mathbb{Q}) + \dim H_i(Y; \mathbb{Q}) - \dim(\Coker H_i(f; \mathbb{Q})) = 0 \quad \forall i \geq 2, \\
\text{(2.4)} & \quad \dim(\Ker(\pi_*(f) \otimes \mathbb{Q})) - \dim(\pi_*(X) \otimes \mathbb{Q}) + \dim(\pi_*(Y) \otimes \mathbb{Q}) - \dim(\Coker(\pi_*(f) \otimes \mathbb{Q})) = 0 \quad \forall i \geq 2.
\end{align*}

**Definition 2.5.** Let $f : X \to Y$ be a continuous map of simply connected spaces $X$ and $Y$.

1. If $\dim(\Ker H_*(f; \mathbb{Q})) := \sum_i \dim(\Ker H_i(f; \mathbb{Q})) < \infty$ and $\dim(\pi_*(f) \otimes \mathbb{Q})) := \sum_i \dim(\Ker(\pi_*(f) \otimes \mathbb{Q})) < \infty$, then $f$ is called *rationally elliptic with respect to kernel*.
2. If $\dim(\Ker H_*(f; \mathbb{Q})) := \sum_i \dim(\Ker H_i(f; \mathbb{Q})) < \infty$, and $\dim(\Ker(\pi_*(f) \otimes \mathbb{Q})) := \sum_i \dim(\Ker(\pi_*(f) \otimes \mathbb{Q})) < \infty$, then $f$ is called *rationally elliptic with respect to cokernel*.
3. If the map $f$ is rationally elliptic with respect to both kernel and cokernel, $f$ is called *rationally elliptic*.

**Remark 2.6.** Let $f : X \to Y$ be a continuous map of simply connected spaces $X$ and $Y$.

1. If $X$ is rationally elliptic, then $f$ is rationally elliptic with respect to kernel.
2. If $Y$ is rationally elliptic, then $f$ is rationally elliptic with respect to cokernel.
3. If both $X$ and $Y$ are rationally elliptic, then $f$ is rationally elliptic.

In this connection we also give definitions of “hyperbolic” one corresponding to each above.

**Definition 2.7.** Let $f : X \to Y$ be a continuous map of simply connected spaces $X$ and $Y$.

1. If $\dim(\Ker H_*(f; \mathbb{Q})) < \infty$ and $\dim(\Ker(\pi_*(f) \otimes \mathbb{Q})) = \infty$, then $f$ is called *rationally hyperbolic with respect to kernel*.
2. If $\dim(\Coker H_*(f; \mathbb{Q})) < \infty$ and $\dim(\Coker(\pi_*(f) \otimes \mathbb{Q})) = \infty$, then $f$ is called *rationally hyperbolic with respect to cokernel*.
3. If the map $f$ is rationally hyperbolic with respect to both kernel and cokernel, $f$ is called *rationally hyperbolic*.  

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Remark 2.8. Let $f : X \to Y$ be a continuous map of simply connected spaces $X$ and $Y$.

1. If $f : X \to Y$ is rationally hyperbolic with respect to kernel, then the homotopy rank of $X$ is infinite.
2. If $f : X \to Y$ is rationally hyperbolic with respect to cokernel, then the homotopy rank of $Y$ is infinite.
3. If $f : X \to Y$ is rationally hyperbolic, then the homotopy rank of $X$ and that of $Y$ are both infinite.

Motivated by the definition of Poincaré polynomials of topological spaces, it is reasonable to make the following definitions:

Definition 2.9. Let $f : X \to Y$ be a rationally elliptic map of simply connected spaces $X$ and $Y$.

1. (the homological “Kernel” Poincaré polynomial of a map $f$)
   \[ \text{Ker} P_f(t) := \sum_{i \geq 2} \text{dim}(\text{Ker} H_i(f; \mathbb{Q})) t^i. \]

2. (the homotopical “Kernel” Poincaré polynomial of a map $f$)
   \[ \text{Ker} P^\pi_f(t) := \sum_{i \geq 2} \text{dim}(\text{Ker}(\pi_i(f) \otimes \mathbb{Q})) t^i. \]

3. (the homological “Cokernel” Poincaré polynomial of a map $f$)
   \[ \text{Cok} P_f(t) := \sum_{i \geq 2} \text{dim}(\text{Coker} H_i(f; \mathbb{Q})) t^i. \]

4. (the homotopical “Cokernel” Poincaré polynomial of a map $f$)
   \[ \text{Cok} P^\pi_f(t) := \sum_{i \geq 2} \text{dim}(\text{Coker}(\pi_i(f) \otimes \mathbb{Q})) t^i. \]

With these definitions, if $X$ and $Y$ are both rationally elliptic, then it follows from (2.3) and (2.4) that we get the following equalities:

(2.10) \[ \text{Ker} P_f(t) - P_X(t) + P_Y(t) - \text{Cok} P_f(t) = 0, \]

(2.11) \[ \text{Ker} P^\pi_f(t) - P^\pi_X(t) + P^\pi_Y(t) - \text{Cok} P^\pi_f(t) = 0. \]

If $H_i(f; \mathbb{Q})$ and $\pi_i(f) \otimes \mathbb{Q}$ are surjective for $\forall i \geq 2$, then $\text{Coker} H_i(f; \mathbb{Q}) = \text{Coker}(\pi_i(f) \otimes \mathbb{Q}) = 0$, thus we have

(2.12) \[ \text{Ker} P_f(t) - P_X(t) + P_Y(t) = 0, \]

(2.13) \[ \text{Ker} P^\pi_f(t) - P^\pi_X(t) + P^\pi_Y(t) = 0. \]

In particular, when $Y$ is contractible, since $P_Y(t) = 1$ and $P^\pi_Y(t) = 0$, we have

(2.14) \[ P_X(t) = 1 + \text{Ker} P_f(t) \]

(2.15) \[ P^\pi_X(t) = \text{Ker} P^\pi_f(t). \]

In this paper we focus mainly on continuous rationally elliptic maps with respect to kernel. Let $f : X \to Y$ be a continuous rationally elliptic map with respect to kernel of simply connected spaces $X$ and $Y$ and we define the following:

Definition 2.16 (Homological Poincaré polynomial of a map).

(2.17) \[ P_f(t) := 1 + \text{Ker} P_f(t) = 1 + \sum_{i \geq 2} t^i \text{dim} (\text{Ker} H_i(f; \mathbb{Q})). \]
Thus, which is defined by $H_i$. Example 3.4. Furthermore, generalized as follows: in the original version of this paper we speculated that the above relative Hilali conjecture could be conjecture in some cases.

"(A generalized relative Hilali conjecture): Let $f : X \to Y$ be a continuous rationally elliptic map with respect to kernel of simply connected spaces $X$ and $Y$. Then $P^\pi_f(1) \leq P_f(1)$ holds."

It turns out that this conjecture is false due to the following counterexample, which was given by the referee:

Example 3.4. Consider the following map

\[ f : S^4 \times S^6 \to K(\mathbb{Q}, 4) \times K(\mathbb{Q}, 6) \]

which is defined by $f := a \times b$. Here $a : S^4 \to K(\mathbb{Q}, 4)$ is such that $[a] \in [S^4, K(\mathbb{Q}, 4)] = H^4(S^4, \mathbb{Q}) = \mathbb{Q}$ is a generator and similar for $b : S^6 \to K(\mathbb{Q}, 6)$. Then we have

\[ P^\pi_f(1) = \dim(\ker(\pi_a(f) \otimes \mathbb{Q})) = 2, \quad P_f(1) = 1, \text{ i.e., } \dim(\ker(H_*(f; \mathbb{Q}))) = 0. \]

Thus $P^\pi_f(1) \not\leq P_f(1)$. 

3. The relative Hilali conjecture on products of maps

In our previous paper [7] we made the following conjecture, called a relative Hilali conjecture

Conjecture 3.1. For a continuous map $f : X \to Y$ of simply connected elliptic spaces $X$ and $Y$, $P^\pi_f(1) \leq P_f(1)$ holds. Namely the following inequality holds:

\[ \sum_{i \geq 2} \dim(\ker(\pi_i(f) \otimes \mathbb{Q})) \leq 1 + \sum_{i \geq 2} \dim(\ker H_i(f; \mathbb{Q})). \]

When $Y$ is a point or contractible, the above relative Hilali conjecture is nothing but the following well-known Hilali conjecture [4]:

Conjecture 3.2. For a simply connected elliptic space $X$, $P^\pi_X(1) \leq P_X(1)$ holds. Namely the following inequality holds:

\[ \sum_{i \geq 2} \dim(\pi_i(X) \otimes \mathbb{Q}) \leq 1 + \sum_{i \geq 2} \dim H_i(X; \mathbb{Q}). \]

Remark 3.3. We note that in the Hilali conjecture the inequality $\leq$ cannot be replaced by the strict inequality $<$. Indeed, for example, if $X = S^{2k}$ the even dimensional sphere, we have

\[ \pi_i(S^{2k}) \otimes \mathbb{Q} = \begin{cases} \mathbb{Q} & i = 2k \\ \mathbb{Q} & i = 4k - 1 \\ 0 & i \neq 2k, 4k - 1. \end{cases} \]

Thus we have $P^\pi_{S^{2k}}(t) = t^{2k-1} + t^{2k}$ and $P_{S^{2k}}(t) = t^{2k} + 1$. Hence $P^\pi_{S^{2k}}(1) = P_{S^{2k}}(1) = 2$.

In [11] (cf. [1]) A. Zaim, S. Chouingou and M. A. Hilali have proved the above relative Hilali conjecture in some cases.

Since we define the notion of rationally elliptic map with respect to kernel in the previous section, in the original version of this paper we speculated that the above relative Hilali conjecture could be furthermore generalized as follows:

"(A generalized relative Hilali conjecture): Let $f : X \to Y$ be a continuous rationally elliptic map with respect to kernel of simply connected spaces $X$ and $Y$. Then $P^\pi_f(1) \leq P_f(1)$ holds."

We note that in the Hilali conjecture the inequality $\leq$ cannot be replaced by the strict inequality $<$.
Here we note that in this counterexample \( \dim H_s(K(\mathbb{Q}, 4) \times K(\mathbb{Q}, 6); \mathbb{Q}) = \infty \) although we have that \( \dim (\pi_*(K(\mathbb{Q}, 4) \times K(\mathbb{Q}, 6)) \otimes \mathbb{Q}) < \infty \). So, if in the above generalized Hilali conjecture we add another requirement that the homology rank of the target \( Y \) is finite, then it follows from (2.10) with \( t = 1 \) that the homology rank of the source \( X \) has to be automatically finite. If we furthermore require that the target \( Y \) is rationally elliptic, then it follows from (2.10) and (2.11) with \( t = 1 \) that the source \( X \) has to be automatically also rationally elliptic, thus it becomes the original relative Hilali conjecture. So, we would like to pose the following slightly modified conjecture:

**Conjecture 3.5.** (A generalized relative Hilali conjecture) Let \( f : X \to Y \) be a continuous rationally elliptic map with respect to kernel of simply connected spaces \( X \) and \( Y \). If the homology rank of the target \( Y \) is finite, then \( P^\pi_X(1) \leq P_f(1) \) holds.

In [9] (cf. [10]) the second named author has proved the following

**Theorem 3.6** (Hilali conjecture “modulo product”). Let \( X \) be a rationally elliptic space such that its fundamental group is an Abelian group. Then there exists some integer \( n_0 \) such that for \( \forall \ n \geq n_0 \) the strict inequality \( P^\pi_X(1) < P^\pi_X(1) \) holds, i.e.,

\[
\dim (\pi_*(X^n) \otimes \mathbb{Q}) < \dim H_s(X^n; \mathbb{Q}).
\]

In this section, as a “map version” of the above theorem, we show the following theorem, in which we do not require that the homology rank of the target \( Y \) is finite (hence the homology rank of the source \( X \) is automatically finite as explained above), instead we require that the homology rank of the source \( X \) is finite:

**Theorem 3.8** (A generalized relative Hilali conjecture “modulo product”). Let \( f : X \to Y \) be a continuous rationally elliptic map with respect to kernel of simply connected spaces \( X \) and \( Y \) such that the homology rank of the source \( X \) is finite. If \( P_f(1) > 1 \), i.e., there exists some integer \( i \) such that \( H_i(f; \mathbb{Q}) : H_i(X; \mathbb{Q}) \to H_i(Y; \mathbb{Q}) \) is not injective, then there exists some integer \( n_0 \) such that for \( \forall \ n \geq n_0 \) the strict inequality \( P^\pi_{f^n}(1) < P^\pi_{f^n}(1) \) holds, i.e.,

\[
\sum_{i \geq 2} \dim (\ker(\pi_i(f^n) \otimes \mathbb{Q})) < 1 + \sum_{i \geq 2} \dim (\ker H_i(f^n; \mathbb{Q})).
\]

**Remark 3.10.** Note that if \( Y \) is contractible, then the formula (3.9) becomes the formula (3.7). In this case, the above requirement \( P_f(1) > 1 \) becomes \( P_X(1) > 1 \), which can be dropped, namely \( P_X(1) = 1 \) can be allowed. As explained in the introduction, by using Whitehead–Serre Theorem we can show that if \( P_X(1) = 1 \), then \( P^\pi_X(1) = 0 \). Thus for \( \forall n \geq n_0 = 1 \) we have \( 0 = n(P^\pi_X(1)) = P^\pi_X(1) < P^\pi_X(1) = (P_X(1))^n = 1 \).

**Remark 3.11.** In the above Theorem 3.8 we pose the condition that the homology rank of the source \( X \) is finite. This is needed so that any product \( f^n : X^n \to Y^n \) is also rationally elliptic with respect to kernel, thus we can consider the Poincaré polynomial \( P_{f^n}(t) \) and a finite integer \( P_{f^n}(1) \). The crucial condition is that \( P_f(1) > 1 \), i.e., \( \dim (\ker(H_s(f; \mathbb{Q}))) \neq 0 \) unlike the above counterexample Example 3.3. If in the theorem we drop the condition that the homology rank of the source \( X \) is finite, then \( \sum_{i \geq 2} \dim (\ker(H_i(f^n; \mathbb{Q}))) = \infty \) can happen and in this case \( P_{f^n}(t) \) becomes a Hilbert–Poincaré power series \( HP_{f^n}(t) \), not a polynomial. In this case the above strict inequality (3.9) automatically holds because the left-hand side is always finite and the right-hand side is \( \infty \). In this sense, we could drop the condition that the homology rank of the source \( X \) is finite, if we are allowed to understand \( P_{f^n}(t) \) as the Hilbert–Poincaré series \( HP_{f^n}(t) \) for the obvious strict inequality \( P^\pi_{f^n}(1) < P^\pi_{f^n}(1) = \infty \).

A key ingredient for the proof of the above Theorem 3.6 is the following multiplicativity of the homological Poincaré polynomial and additivity of the homotopy Poincaré polynomial:

\[
P_{X \times Y}(t) = P_X(t) \times P_Y(t), \quad P^\pi_{X \times Y}(t) = P^\pi_X(t) + P^\pi_Y(t).
\]
In order to prove the above Theorem 3.8 first we show the following “map version” of the above multiplicativity and additivity (3.12).

**Proposition 3.13.** For two rationally elliptic maps with respect to kernels $f_i : X_i \to Y_i$, $f_j : X_j \to Y_j$, where $X_i, Y_i (i = 1, 2)$ are simply connected spaces such that both $X_1$ and $X_2$ have the finite homology rank, we have the following formulas:

1. $P_{f_1 \times f_2}(t) = P_{f_1}^*(t) + P_{f_2}^*(t)$ for $\forall t$
2. $P_{f_1}(t) \times P_{f_2}(t) \leq P_{f_1 \times f_2}(t)$ for $\forall t \geq 0$.

**Proof.** The proof is straightforward, but we give a proof for the sake of completeness.

First we observe that $\pi_i(f_1 \times f_2) \otimes \mathbb{Q} : \pi_i(X_1 \times X_2) \otimes \mathbb{Q} \to \pi_i(Y_1 \times Y_2) \otimes \mathbb{Q}$ is the same as $(\pi_i(f_1) \otimes \mathbb{Q}) \oplus (\pi_i(f_2) \otimes \mathbb{Q}) : (\pi_i(X_1) \otimes \mathbb{Q}) \oplus (\pi_i(X_2) \otimes \mathbb{Q}) \to (\pi_i(Y_1) \otimes \mathbb{Q}) \oplus (\pi_i(Y_2) \otimes \mathbb{Q})$.

Hence

$$\text{Ker}(\pi_i(f_1 \times f_2) \otimes \mathbb{Q}) = \text{Ker}(\pi_i(f_1) \otimes \mathbb{Q}) \oplus \text{Ker}(\pi_i(f_2) \otimes \mathbb{Q}),$$

which implies

$$\dim(\text{Ker}(\pi_i(f_1 \times f_2) \otimes \mathbb{Q})) = \dim(\text{Ker}(\pi_i(f_1) \otimes \mathbb{Q})) + \dim(\text{Ker}(\pi_i(f_2) \otimes \mathbb{Q})).$$

Thus $\dim(\text{Ker}(\pi_i(f_1) \otimes \mathbb{Q})) < \infty$ and $\dim(\text{Ker}(\pi_i(f_2) \otimes \mathbb{Q})) < \infty$ imply that

$$\dim(\text{Ker}(\pi_i(f_1 \times f_2) \otimes \mathbb{Q})) < \infty.$$

Since the homology rank of $X_i (i = 1, 2)$ is finite, i.e., $\dim H_*(X_i; \mathbb{Q}) < \infty (i = 1, 2)$, we have that $\dim(\text{Ker} H_*(f_1 \times f_2; \mathbb{Q})) < \infty$, because $H_*(X_1 \times X_2; \mathbb{Q}) \cong H_*(X_1; \mathbb{Q}) \otimes H_*(X_2; \mathbb{Q})$, thus $\dim H_*(X_1 \times X_2; \mathbb{Q}) < \infty$. Therefore the product $f_1 \times f_2 : X_1 \times X_2 \to Y_1 \times Y_2$ is also a rationally elliptic map with respect to kernel.

1. From (3.14) above we get

$$P_{f_1 \times f_2}(t) = \sum_{i \geq 2} t^i \dim(\text{Ker}(\pi_i(f_1 \times f_2) \otimes \mathbb{Q})).$$

$$= \sum_{i \geq 2} t^i \dim(\text{Ker}(\pi_i(f_1) \otimes \mathbb{Q})) + \sum_{i \geq 2} t^i \dim(\text{Ker}(\pi_i(f_2) \otimes \mathbb{Q}))$$

$$= P_{f_1}^*(t) + P_{f_2}^*(t).$$

2. $H_i(f_1 \times f_2; \mathbb{Q}) : H_i(X_1 \times X_2; \mathbb{Q}) \to H_i(Y_1 \times Y_2; \mathbb{Q})$ can be expressed as follows by Künneth theorem:

$$H_i(f_1 \times f_2; \mathbb{Q}) : \sum_{i = j + k} H_j(X_1; \mathbb{Q}) \otimes H_k(X_2; \mathbb{Q}) \to \sum_{i = j + k} H_j(Y_1; \mathbb{Q}) \otimes H_k(Y_2; \mathbb{Q})$$

Since $X_i$ and $Y_i (i = 1, 2)$ are simply connected, the products $X_1 \times X_2$ and $Y_1 \times Y_2$ are also simply connected. Hence $\ker H_0(f_1 \times f_2; \mathbb{Q}) = \ker H_1(f_1 \times f_2; \mathbb{Q}) = 0$.

For $i \geq 2$, we have the following inequality (*): 

$$\left(\ker H_i(f_1; \mathbb{Q}) \otimes H_0(X_2; \mathbb{Q})\right) \oplus \left(H_0(X_1; \mathbb{Q}) \otimes \ker H_i(f_2; \mathbb{Q})\right) \oplus \sum_{i = j + k, j \geq 2, k \geq 2} \ker H_j(f_1; \mathbb{Q}) \otimes \ker H_k(f_2; \mathbb{Q}) \subset \ker H_i(f_1 \times f_2; \mathbb{Q}).$$

Clearly

$$\sum_{i = j + k, j \geq 2, k \geq 2} \ker H_j(f_1; \mathbb{Q}) \otimes H_k(X_2; \mathbb{Q}) + \sum_{i = j + k, j \geq 2, k \geq 2} H_j(X_1; \mathbb{Q}) \otimes \ker H_k(f_2; \mathbb{Q})$$
is also contained in $\ker H_i(f_1 \times f_2; \mathbb{Q})$, and furthermore probably one could obtain a complete description of $\ker H_i(f_1 \times f_2; \mathbb{Q})$, but for our purpose we do not need to do so and the above inequality (*) is sufficient. The dimension of the above is equal to the following: for $i \geq 2$

\[
\dim (\ker H_i(f_1; \mathbb{Q})) + \dim (\ker H_i(f_2; \mathbb{Q})) \\
+ \sum_{i+j+k \geq 2, k \geq 2} \dim (\ker H_j(f_1; \mathbb{Q})) \times \dim (\ker H_k(f_2; \mathbb{Q})) \\
\leq \dim (\ker H_i(f_1 \times f_2; \mathbb{Q})).
\]

Therefore we have that for each $i \geq 2$ and $t \geq 0$:

\[
t^i \dim (\ker H_i(f_1; \mathbb{Q})) + t^i \dim (\ker H_i(f_2; \mathbb{Q})) \\
+ \sum_{i+j+k \geq 2, k \geq 2} t^i \dim (\ker H_j(f_1; \mathbb{Q})) \times t^k \dim (\ker H_k(f_2; \mathbb{Q})) \\
\leq t^i \dim (\ker H_i(f_1 \times f_2; \mathbb{Q})).
\]

Therefore we have

\[
P_{f_1 \times f_2}(t) = 1 + \sum_{i \geq 2} t^i \dim (\ker H_i(f_1 \times f_2; \mathbb{Q})) \\
\geq 1 + \sum_{i \geq 2} t^i \dim (\ker H_i(f_1; \mathbb{Q})) + \sum_{i \geq 2} t^i \dim (\ker H_i(f_2; \mathbb{Q})) \\
+ \sum_{i \geq 4} \left( \sum_{i+j+k \geq 2, k \geq 2} t^j \dim (\ker H_j(f_1; \mathbb{Q})) \times t^k \dim (\ker H_k(f_2; \mathbb{Q})) \right) \\
= \left( 1 + \sum_{j \geq 2} t^j \dim (\ker H_j(f_1; \mathbb{Q})) \right) \times \left( 1 + \sum_{k \geq 2} t^k \dim (\ker H_k(f_2; \mathbb{Q})) \right) \\
= P_{f_1}(t) \times P_{f_2}(t).
\]

Hence we have $P_{f_1}(t) \times P_{f_2}(t) \leq P_{f_1 \times f_2}(t)$ for $\forall t \geq 0$. \(\square\)

**Remark 3.15.** The equality $P_{f_1}(t) \times P_{f_2}(t) = P_{f_1 \times f_2}(t)$ does not hold in general. However, in order to prove Theorem 3.8 the above inequality (2) of Proposition 3.13 is sufficient.

**Corollary 3.16.** Let $f : X \to Y$ be a continuous rationally elliptic map with respect to kernel of simply connected spaces $X$ and $Y$ such that the homology rank of $X$ is finite. Then we have

1. $P_{\mathbb{Q}}^n(t) = n(P_{\mathbb{Q}}^n(t))$ for $\forall t$
2. $(P_f(t))^n \leq P_{\mathbb{Q}}^n(t)$ for $\forall t \geq 0$.

**Remark 3.17.** Note that in (2) of Corollary 3.16 we do need $\forall t \geq 0$.

**Corollary 3.18.** Let the setup be as in Proposition 3.13. Suppose that $P_{f_i}^r(1) \leq P_{f_i}(1)$ ($i = 1, 2$). Then $P_{f_1 \times f_2}(1) \leq P_{f_1}(1) \times P_{f_2}(1)$ in the following cases:

1. $P_{f_i}(1) \geq 2$ for $i = 1, 2$,
2. $P_{f_1}(1) = 0$ or $P_{f_2}(1) = 0$.

In particular, if the relative Hilali conjecture holds for $f_1$ and $f_2$, then it also holds for the product $f_1 \times f_2$ in the above two cases.

**Proof.** First we note that $P_{f_i}(1) \geq 1$ ($i = 1, 2$) by the definition.
Remark 3.19. The other cases which are not treated in Corollary 3.18 are the cases when at least one \( P_{i} \) is not injective. If we could show that the homological injectivity implies the homotopical injectivity, i.e., \( P_{i} \) is injective, then, whatever the value \( n \geq n_{0} \) such that \( \lim_{n \to \infty} nr^{n} = 0 \), it follows from an elementary fact in calculus “\(|r| < 1 \Rightarrow \lim_{n \to \infty} nr^{n} = 0\)” that we have

\[
\lim_{n \to \infty} n \left( \frac{1}{P_{i}(1)} \right)^{n} = 0.
\]

Therefore, whatever the value \( P_{i} \) is, we obtain

\[
\lim_{n \to \infty} n P_{i}^{n}(1) \left( \frac{1}{P_{i}(1)} \right)^{n} = \lim_{n \to \infty} \frac{n P_{i}^{n}(1)}{(P_{i}(1))^{n}} = 0.
\]

Hence there exists some integer \( n_{0} \) such that for \( \forall n \geq n_{0} \)

\[
\frac{n P_{i}^{n}(1)}{(P_{i}(1))^{n}} < 1,
\]

which implies, using (2) of Corollary 3.16, that

\[
P_{i}^{n}(1) = n(P_{i}^{n}(1)) < (P_{i}(1))^{n} \leq P_{i}(1).
\]

Now we give a proof of Theorem 3.8.

Proof. If \( P_{i}(1) > 1 \), i.e., there exists some integer \( i \geq 2 \) such that the homomorphism \( H_{i}(f; \mathbb{Q}) : H_{i}(X; \mathbb{Q}) \to H_{i}(Y; \mathbb{Q}) \) is not injective, then, whatever the value \( P_{i}^{n}(1) \) is, there exists some integer \( n_{0} \) such that for \( \forall n \geq n_{0} \)

\[
n(P_{i}^{n}(1)) < (P_{i}(1))^{n}.
\]

Indeed, since \( P_{i}(1) > 1 \), we have that

\[
\frac{1}{P_{i}(1)} < 1.
\]

It follows from an elementary fact in calculus “\(|r| < 1 \Rightarrow \lim_{n \to \infty} nr^{n} = 0\)” that we have

\[
\lim_{n \to \infty} n \left( \frac{1}{P_{i}(1)} \right)^{n} = 0.
\]

Therefore, whatever the value \( P_{i}^{n}(1) \) is, we obtain

\[
\lim_{n \to \infty} n P_{i}^{n}(1) \left( \frac{1}{P_{i}(1)} \right)^{n} = \lim_{n \to \infty} \frac{n P_{i}^{n}(1)}{(P_{i}(1))^{n}} = 0.
\]

Hence there exists some integer \( n_{0} \) such that for \( \forall n \geq n_{0} \)

\[
\frac{n P_{i}^{n}(1)}{(P_{i}(1))^{n}} < 1,
\]

which implies, using (2) of Corollary 3.16, that

\[
P_{i}^{n}(1) = n(P_{i}^{n}(1)) < (P_{i}(1))^{n} \leq P_{i}(1).
\]
Therefore we can conclude that there exists some integer \( n_0 \) such that for all \( n \geq n_0 \)

\[
P_f^n(1) < P_f^n(1).
\]

\[\square\]

As one can see, in the above proof, the requirement \( P_f(1) > 1 \) or the non-injectivity of \( H_i(f; \mathbb{Q}) : H_i(X; \mathbb{Q}) \rightarrow H_i(Y; \mathbb{Q}) \) for some \( i \) is crucial. If we could show that the injectivity of each homological homomorphisms \( H_i(f; \mathbb{Q}) : H_i(X; \mathbb{Q}) \rightarrow H_i(Y; \mathbb{Q}) \) would imply the injectivity of the homotopical homomorphism \( \pi_i(f) \otimes \mathbb{Q} : \pi_i(X) \otimes \mathbb{Q} \rightarrow \pi_i(Y) \otimes \mathbb{Q} \), then \( 0 = P_f^n(1) < P_f(1) = 1 \), thus the above inequality would hold for \( n_0 = 1 \) and in fact, as we can see that for \( \forall n \geq n_0 = 1 \) the inequality holds. But, as seen in the counterexample Example 3.20 in the set-up of Theorem 3.8 the injectivity of each \( H_i(f; \mathbb{Q}) \) does not necessarily imply the injectivity of each \( \pi_i(f) \otimes \mathbb{Q} \). In fact, the map \( f : S^4 \times S^6 \rightarrow K(\mathbb{Q}, 4) \times K(\mathbb{Q}, 6) \) of Example 3.20 is not a continuous rationally elliptic map with respect to cokernel. Furthermore we do have another counterexample:

**Example 3.20.** Consider the following canonical inclusion map

\[
g : S^3 \sqcup S^3 \hookrightarrow S^3 \times S^3.
\]

Then \( \text{Ker} \, H_*(g; \mathbb{Q}) = 0 \), but \( \text{dim} \, (\text{Ker} \, (\pi_*(g) \otimes \mathbb{Q})) = \infty \), thus the homological injectivity does not imply the homotopical injectivity. In this case we emphasize that \( g \) is not a continuous rationally elliptic map with respect to kernel.

If \( H_i(Y; \mathbb{Q}) = 0 \) for \( \forall i > 0 \), e.g., if \( Y \) is contractible, then the injectivity of each homological homomorphisms \( H_i(f; \mathbb{Q}) : H_i(X; \mathbb{Q}) \rightarrow H_i(Y; \mathbb{Q}) \) means that \( H_i(X; \mathbb{Q}) = 0 \). Furthermore, \( \text{dim} \, H_*(X; \mathbb{Q}) = 1 \) (for a path-connected space \( X \) is equivalent to \( H_*(a_X; \mathbb{Q}) : H_*(X; \mathbb{Q}) \rightarrow H_*(pt) = \mathbb{Q} \) being an isomorphism, where \( a_X : X \rightarrow pt \) is the map to a point. Thus it follows from the Whitehead–Serre Theorem [3, Theorem 8.6] that \( (a_X)_* \otimes \mathbb{Q} : \pi_*(X) \otimes \mathbb{Q} \rightarrow \pi_*(pt) \otimes \mathbb{Q} = 0 \) is an isomorphism, hence \( \pi_*(X) \otimes \mathbb{Q} = 0 \). Thus we get the injectivity of the homotopical homomorphism \( \pi_i(f) \otimes \mathbb{Q} : \pi_i(X) \otimes \mathbb{Q} \rightarrow \pi_i(Y) \otimes \mathbb{Q} \).

So, we would like to make the following conjecture, which we have been unable to resolve:

**Conjecture 3.21 ("Injectivity conjecture").** Let \( f : X \rightarrow Y \) be a continuous rationally elliptic map of simply connected spaces \( X \) and \( Y \). The injectivity of each homological homomorphism \( H_i(f; \mathbb{Q}) : H_i(X; \mathbb{Q}) \rightarrow H_i(Y; \mathbb{Q}) \) for \( \forall i > 1 \) implies the injectivity of each homotopical homomorphism \( \pi_i(f) \otimes \mathbb{Q} : \pi_i(X) \otimes \mathbb{Q} \rightarrow \pi_i(Y) \otimes \mathbb{Q} \) for \( \forall i > 1 \).

**Remark 3.22.** In the original paper we made such a conjecture for a continuous map \( f : X \rightarrow Y \) of simply connected spaces \( X \) and \( Y \), which is surely a rationally elliptic map. Thus the above “injectivity conjecture” is an extended version of the original conjecture.

As a corollary of the above proof of Theorem 3.8 we can show that if \( P_f(1) > 1 \), then for any \( s > 0 \) there exists a positive integer \( n(s) \) such that for \( \forall n \geq n(s) \)

\[
(3.23) \quad P_f^n(s) < P_f^n(s).
\]

Because \( P_f(1) > 1 \) implies \( P_f(s) = 1 + \sum_{i \geq 2} \text{dim} \, (\text{Ker} \, H_i(f^n; \mathbb{Q})) \, s^i > 1 \). By the definition of \( P_f(t) \) and \( P_f^n(t) \) we have that \( P_f(0) = 1 \) and \( P_f^n(0) = 0 \). Hence for any integer \( n \geq 1 \) we have that \( 0 = n(P_f^n(0)) = P_f^n(0) < 1^n = P_f(0)^n = P_f^n(0) = 1 \) (whether \( P_f(1) > 1 \) or not). Therefore we get the following

**Corollary 3.24.** If \( P_f(1) > 1 \), then for any \( s \geq 0 \) there exists a positive integer \( n(s) \) such that for \( \forall n \geq n(s) \)

\[
P_f^n(s) < P_f^n(s).
\]

\[\text{10}\]
4. A REMARK ON THE CASE OF RATIONALLY HYPERBOLIC MAPS

Before finishing we give a remark about the case when \( f : X \to Y \) is a rationally hyperbolic map with respect to kernel.

Since \( f : X \to Y \) is rationally hyperbolic map with respect to kernel, as observed in Remark 2.8, \( X \) is rationally hyperbolic. Hence we have the homotopical Hilbert–Poincaré series and the homological Poincaré polynomial of \( X \) and also those of \( f : X \to Y \):

\[
HP_X^f(t) := \sum_{i \geq 2} \dim (\mathfrak{p}(X) \otimes \mathbb{Q}) t^i, \quad P_X(t) = 1 + \sum_{i \geq 2} \dim H_i(X; \mathbb{Q}) t^i
\]

The convergence of the series \( HP_X^f(t) \) implies the convergence of \( HP_f^s(r) \), thus \( r_X \leq r_f \) < 1. Therefore, as a corollary of the proof of Theorem 3.8, we get the following corollary:

**Corollary 4.1.** Let \( f : X \to Y \) be a rationally hyperbolic map with respect to kernel of simply connected spaces \( X \) and \( Y \). Let \( P_f(1) > 1 \). Then for any \( r \) such that \( 0 < r < r_X \) there exists a positive integer \( n(r) \) such that for all \( n \geq n(r) \)

\[
HP_f^\pi(r) < P_f^n(r).
\]

**Remark 4.2.** Let \( \alpha = \sum_{n \geq 0} a^n t^n \) and \( \beta = \sum_{n \geq 0} b_n t^n \) be power series such that \( 0 \leq a_n \leq b_n \). Let \( r(\alpha) \) and \( r(\beta) \) be the radius of convergence of the power series \( \alpha \) and \( \beta \). Then they are not necessarily the same, in general \( r(\beta) \leq r(\alpha) \). Hence in the above corollary instead of \( r_X \) we could take the radius \( r_f \).

Finally, let us consider the case when \( Y \) is a point, i.e., we consider a rationally hyperbolic space \( X \). We pose the following question:

**Question 4.3.** (a “Hilali conjecture” in the hyperbolic case) Let \( X \) be a rationally hyperbolic space. Let \( r_X := r(HP_X^f(t)) \) be the radius of convergence as above. Suppose that \( HP_X^s(t) \) converges at \( r_X \), i.e., \( HP_X^s(r_X) < \infty \). Does the following inequality hold?

\[
HP_X^s(r_X) \leq P_X(r_X).
\]

**Remark 4.4.** We point out that some power series \( p(x) \) converge at \( x = r \) where \( r = r(p(x)) \) is the radius of convergence, but some do not. Here are some examples:

1. \( p_1(x) = \sum_{n=1}^{\infty} \frac{2^n}{n^n} = 1 + x + \frac{x^2}{2} + \frac{x^3}{3} + \cdots, \quad r(p_1(x)) = 1 \) and \( p_1(1) = \sum_{n=1}^{\infty} \frac{1}{n^n} = \frac{\pi^2}{6} \)
   (This is nothing but the Basel problem.)

2. A modified version of \( p_1(x) \) is the following: Let \( d > 0 \).
   \[
p_2(x) = \sum_{n=1}^{\infty} \frac{(dx)^n}{n^n} = 1 + dx + \frac{(dx)^2}{2} + \frac{(dx)^3}{3} + \cdots, \quad r(p_2(x)) = \frac{1}{d} \) and \( p_2(\frac{1}{d}) = \sum_{n=1}^{\infty} \frac{1}{n^n} = \frac{\pi^2}{6} \)

3. \( p_3(x) = \sum_{n=0}^{\infty} x^n = 1 + x + x^2 + \cdots, \quad r(p_3(x)) = 1 \), but \( p_3(x) \) does not converge at \( x = 1 \).

4. A modified version of \( p_3(x) \) is the following: Let \( d > 0 \).
   \[
p_4(x) = \sum_{n=0}^{\infty} (dx)^n = 1 + dx + (dx)^2 + \cdots, \quad r(p_4(x)) = \frac{1}{d} \), but \( p_4(\frac{1}{d}) \) does not converge at \( x = \frac{1}{d} \).
Remark 4.5. Motivated by Question 4.3 for the hyperbolic space, it seems to be natural to consider the following other cases:

1. \( \dim H_*(X; \mathbb{Q}) = \infty \) and \( \dim (\pi_*(X) \otimes \mathbb{Q}) < \infty \): In this case we have the homological Hilbert–Poincaré series \( HP_X(t) \) and the homotopical Poincaré polynomial \( P^\pi_X(t) \) and \( P^\pi_X(1) < HP_X(1) = \infty \). A real problem would be the following. Let \( r_X \) be the radius of convergence of the power series \( HP_X(t) \). When \( HP_X(r_X) \) does converge, does the following “Hilali”-type inequality hold?

\[
P^\pi_X(r_X) \leq HP_X(r_X).
\]

2. \( \dim H_*(X; \mathbb{Q}) = \infty \) and \( \dim (\pi_*(X) \otimes \mathbb{Q}) = \infty \): In this case we have the homological Hilbert–Poincaré series \( HP_X(t) \) and the homotopical Hilbert–Poincaré series \( HP^\pi_X(t) \) and \( HP^\pi_X(1) = HP_X(1) = \infty \). Let \( r^H_X \) be the radius of convergence of the power series \( HP_X(t) \) and \( r^\pi_X \) be the radius of convergence of the power series \( HP^\pi_X(t) \). Let \( r_X := \min\{r^H_X, r^\pi_X\} \). When both \( HP_X(r_X) \) and \( HP^\pi_X(r_X) \) do converge (note that if \( r^\pi_X < r^H_X \), say, then \( HP^\pi_X(r^\pi_X) \) does converge by the definition of radius of convergence), does the following “Hilali”-type inequality hold?

\[
HP^\pi_X(r_X) \leq HP_X(r_X).
\]

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