Impact of Multiple Cardiovascular Risk Factors on Carotid Intima-Media Thickness and Elasticity

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Abstract

Background: Carotid intima-media thickness (IMT) and elasticity have been shown to be independent predictors of cardiovascular disease (CVD). Cardiovascular risk factors (CVRFs) includes hypertension, dyslipidemia, diabetes, overweight and smoking. The objective was to investigate whether the clustering of three or more components of CVRFs has a greater impact on carotid IMT and elasticity than individual components of CVRFs.

Methods: One hundred and seventy-three participants without clinical CVD were classified as the multiple CVRFs patients with three or more CVRFs (n = 55) and control group with two or less CVRFs (n = 118). Carotid IMT and elastic modulus were measured by B-mode ultrasound and vessel texture matching method (VTMM), respectively.

Results: The multiple CVRFs conferred a disproportionate increase in carotid IMT (43%, p < 0.0001) and elastic modulus (60%, p < 0.0001), compared with control group. Multiple regression models, which included age, gender, as well as each individual component of CVRFs as continuous variables, showed that multiple CVRFs was an independent determinant of both IMT (p = 0.042) and elasticity (p = 0.008). In the analysis of variance adjusted with age, subjects with single, double, and multiple CVRFs, increased by 8.1%, 42.2%, and 66% for IMT, 54.6%, 94.3%, and 125.2% for elastic modulus, respectively, compared to subjects without CVRFs.

Conclusions: The clustering of multiple CVRFs has a greater impact on carotid IMT and elasticity than individual components of CVRFs. This suggests that the components of CVRFs interact to synergistically impact carotid IMT and elasticity.

Introduction

Cardiovascular disease (CVD) is a leading cause of death worldwide. Alterations in vascular structure and function, including increased wall thickness, as indexed by intima-media thickness (IMT) and decreased arterial wall elasticity, are increasingly recognized as significant independent predictors of adverse cardiovascular outcomes [1–5].

The multiple cardiovascular risk factors (CVRFs) are defined as the clustering of three or more of the CVRFs in an individual, including hypertension, dyslipidemia, diabetes, overweight and smoking. The independent association between carotid IMT or elasticity and the individual components of CVRFs has previously been reported [6–11]. Although the risks for CVD associated with individual CVRFs have been previously examined [12–16], less information exists concerning the role of multiple CVRFs.

Therefore, a cross-sectional study was undertaken to investigate the relationship between multiple CVRFs and carotid structure (thickness) and function (elasticity). We aimed to assess whether the clustering of multiple components of CVRFs has a greater impact on carotid IMT and elasticity than individual components of CVRFs.

Methods

Subjects

Our study population consisted of 196 subjects (47 healthy volunteers and 149 patients with CVRFs) from the third affiliated hospital of Sun Yat-sen University were enrolled from October 2011 to August 2012. Twenty-three participants with coronary heart disease were excluded. Subjects (80 men and 93 women; age 17 to 79 years) free of clinically overt CVD were classified as the multiple CVRFs patients with three or more CVRFs (n = 55) and...
control group with two or less CVRFs (n = 118). The study protocol was approved by the Institutional Review Board of the third affiliated hospital of Sun Yat-sen university, and written informed consent was obtained from participants or on the behalf of minors/children participants from their next of kin, caretakers or guardians. Information regarding age, gender, body blood pressure (BP), body mass index (BMI), history of smoking, total, low-density lipoprotein (LDL) and high-density lipoprotein (HDL) cholesterol, triglyceride, fasting plasma glucose, and medication use was available to all participants.

Definition of Risk Factors
The criteria used were guided by Adult Treatment Panel III and the World Health Organization [17,18]. Hypertension was defined as resting systolic blood pressure (SBP) ≥140mmHg and/or diastolic blood pressure (DBP) ≥90mmHg and/or the use of antihypertensive drugs. Dyslipidemia was defined using lipid-lowering drugs or having one or more of the following: total cholesterol ≥5.2mmol/L, LDL cholesterol ≥3.4mmol/L, HDL cholesterol ≤1.0mmol/L, or triglyceride ≥1.7mmol/L. Diabetes was defined as fasting plasma glucose ≥7.0mmol/L or the use of antidiabetic medication. Overweight was defined as a BMI ≥25.0kg/m². Smoking status was ascertained by a questionnaire that classified each subject as a non-smoker, former smoker, or current smoker. For the purpose of the present study, “ever-smoker” status (former or current) was used.

Carotid Ultrasonography
The study was performed by the same operator using an Esaote MyLab 90 ultrasound Platform (Esaote Medical Systems, Rome, Italy) equipped with a 4~13 MHz linear-array transducer (LA529). Subjects lay in the supine position in a quiet room. The left common carotid artery (CCA) was examined with the head tilted slightly upward in the mid-line position. The transducer was manipulated so that the near and far walls of the CCA were parallel to the transducer footprint, and the lumen diameter was maximized in the longitudinal plane. A region 1~2cm proximal to the carotid bifurcation was imaged, and the IMT of the far wall was evaluated as the distance between the lumen-intima interface and the media-adventitia interface. The IMT was measured on the frozen frame of a suitable longitudinal image, with the image magnified to achieve a much higher spatial resolution.

Elastic modulus of the CCA was evaluated by the vessel texture matching method that has been described and validated by Niu et al. [19]:

$$E(x, y) = \frac{1}{2} \frac{R_{\text{fr}}}{h_0 L} + \frac{L - x + 1}{L} \left( \frac{\Delta P}{\Delta \varepsilon_{\text{max}}(x, y)} \right)$$  (1)

where $x$, $y$ correspond to the coordinates of a pixel in the image plane; $L$, $h_0$, $R_{\text{fr}}$, $\Delta \varepsilon_{\text{max}}$ and $\Delta P$ are the number of layers, thickness of each layer, the inner radius of the $l$-th layer, the maximum strain of each layer during one cardiac cycle, and PP measured at the brachial artery, respectively.

Statistical Analysis
All analyses were performed using software from Minitab, Inc (version 16, State College, PA). Data are presented as the mean value ± standard deviation (SD), unless otherwise specified. Differences in mean values for each of the measured variables in subjects with and without multiple CVRFs were compared by the $t$ test for continuous variables and by the chi-square test for categorical variables. Pearson correlation coefficient was used for univariate analysis. A comparison of different age quartiles was made by analysis of variance (ANOVA). To evaluate the independent determinants of IMT and elasticity, multiple regression models were constructed, which included age, gender and each individual’s CVRFs as independent variables. Stepwise regression analysis was used to calculate the contribution of the significant determinants of IMT and elasticity. Variables were entered if the respective alpha probability was <0.15 and were removed if it was >0.15. To evaluate whether multiple CVRFs was independently associated with carotid IMT and elasticity, the models were rerun after adding in multiple CVRFs as a dummy variable. To confirm the significance of multiple CVRFs, an additional set of models were constructed that included the individual components of CVRFs (but without multiple CVRFs) as well as all of the possible interactions among these components. To illustrate the contribution of multiple CVRFs to the values of IMT and elasticity, these values were calculated with the least-squares method after adjusting for: 1) age and gender; 2) age, gender, and dyslipidemia; and 3) age, gender, BMI and the individual components of CVRFs. For each adjustment, the values were computed in the absence or presence of multiple CVRFs in the model, and they were compared by ANOVA.

Results
The prevalence of multiple CVRFs in this study population was 31.8%. Table 1 shows the clinical characteristics of study participants. The values of all the anthropometric, BP and fasting plasma glucose exhibited significant differences in patients with multiple CVRFs than in controls. Patients with multiple CVRFs were, on average, eleven years older (p<0.0001) and more likely to be smoker (41.8% vs. 9.3%, p<0.0001) than controls. IMT was, on average, 43% higher, and elastic modulus was, on average, 60% higher in multiple CVRFs patients than in controls. Table 2 shows the prevalence of multiple CVRFs and its individual components by quartiles of age. The prevalence of hypertension, dyslipidemia, diabetes and multiple CVRFs increased with advancing age group quartiles, whereas the peak prevalence of overweight and smoking appeared in middle age. Furthermore, the value of IMT and elastic modulus increased with advancing age groups.

Effects of Multiple CVRFs on Carotid IMT and Elasticity
Multiple regression models were used to evaluate the independent contributions of multiple CVRFs on carotid IMT and elasticity. A first set of models included age, gender, SBP, DBP, PP and each individual’s component of CVRFs. Age, gender and hypertension were each independently associated with IMT (model $R^2 = 0.473$, p<0.0001); age, DBP and PP were each independently associated with elasticity (model $R^2 = 0.696$, p<0.0001). When the first set of models was rerun after adding multiple CVRFs as a dummy variable, the variables remained independently associated with IMT and elasticity, respectively, as shown in Table 3. Furthermore, multiple CVRFs was also found to be independently associated with both IMT (p = 0.042) and elasticity (p = 0.008), and accounting for 1.3% and 1.2% of the variability in IMT and elasticity, respectively.

A second set of multiple regressions models were constructed for both IMT and elasticity. These models included age, gender, the individual components of CVRFs, and interaction terms representing all of the possible interactions among these components as independent variables. The results indicated that several terms representing the interaction of three or more components of
CVRFs were independently associated with carotid IMT and elasticity. This verifies that interactions among the individual components of CVRFs exert synergistic effects on IMT and elasticity. Overall, the model ($R^2 = 0.567$ for IMT and $R^2 = 0.774$ for elasticity) was modestly increased by the addition of these interaction terms ($R^2 = 0.504$ for IMT and $R^2 = 0.732$ for elasticity without the interaction terms). It could be due to the dominant effect of age in accounting for the alteration in IMT (40.9%) and elasticity (59.6%).

To assess the contribution of multiple CVRFs to the values of IMT and elastic modulus, the least-squares method was used to calculate these values after adjusting for: 1) age and gender; 2) age, gender, and dyslipidemia; and 3) age, gender, BMI, and the individual components of CVRFs. For each adjustment, the values were calculated in the absence and presence of multiple CVRFs in the model. By ANOVA, the addition of multiple CVRFs to the models significantly increased the values of IMT and elasticity for all three adjustments, as shown in Table 4.

Subjects were classified as having zero (n = 47), one (n = 28), two (n = 43), or multiple (n = 55) CVRFs. The carotid IMT and elastic modulus in subjects with different numbers of CVRFs is shown in Figure 1. By ANOVA, adjusted with age as a covariate, the higher the number of CVRFs, the greater IMT and elastic modulus. Compared to subjects without CVRFs, subjects with single, double, and multiple CVRFs, increased by 8.1%, 42.2%, and 66% for IMT, 54.6%, 94.3%, and 125.2% for elastic modulus, respectively.

**Discussion**

The major findings of this study are that multiple CVRFs increases carotid IMT and elasticity across all age groups, and multiple CVRFs exerts its effects on carotid structure and function independent of its individual components.

The measurement of carotid IMT has gained acceptance as a noninvasive method to assess the extent of CVD [2,8,20–22]. Carotid IMT is significantly related to CVRFs and to the extent of CVD. Davis et al. [23] found that higher carotid IMT in 346 men and 379 women aged 33 to 42 years is associated with childhood and current CVRFs. Urbina et al. [24] studied a sample of 518 black and white subjects (mean age 32 years), and found that healthy and asymptomatic young adults with multiple CVRFs displayed increased IMT in the CCA and carotid artery bulb. In studying 1809 subjects (aged 32 ± 5 years), Koskinen et al. [25] found that conventional risk factors and metabolic syndrome are associated with accelerated IMT progression in young adults.

**Table 1. Clinical characteristics of study participants.**

| Variables            | Control subjects (n = 118) | Multiple CVRFs Patients (n = 55) | p Value |
|----------------------|---------------------------|----------------------------------|---------|
| Age (yrs)            | 44.6 ± 14.9               | 55.6 ± 12.5                      | 0.0001  |
| Female (%)           | 58.5                      | 43.6                             | 0.071   |
| BMI (kg/m²)          | 22.2 ± 2.65               | 26.27 ± 3.15                     | 0.0001  |
| Smoker (%)           | 9.3                       | 41.8                             | 0.0001  |
| SBP (mmHg)           | 119.3 ± 12.6              | 134.3 ± 18.2                     | 0.0001  |
| DBP (mmHg)           | 74.94 ± 8.16              | 80.0 ± 11.8                      | 0.005   |
| PP (mmHg)            | 44.36 ± 9.49              | 54.3 ± 14.1                      | 0.0001  |
| FPG (mmol/L)         | 6.34 ± 3.65               | 8.04 ± 2.96                      | 0.001   |
| IMT (mm)             | 0.554 ± 0.02              | 0.794 ± 0.309                    | 0.0001  |
| Elastic modulus (kPa)| 532 ± 282                 | 851 ± 296                        | 0.0001  |

Data are presented as the mean value ± SD or percentage of subjects. BMI = body mass index; SBP = systolic blood pressure; DBP = diastolic blood pressure; PP = pulse pressure; FPG = fasting plasma glucose; IMT = intima-media thickness; CVRFs = cardiovascular risk factors.

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**Table 2. Prevalence of individual components and clusters of components of the cardiovascular risk factors by age quartile.**

| Variables            | First Quartile (n = 42) | Second Quartile (n = 43) | Third Quartile (n = 44) | Fourth Quartile (n = 44) | p Value |
|----------------------|-------------------------|--------------------------|-------------------------|--------------------------|---------|
| Age (yrs)            | 27 ± 4                  | 43 ± 4                   | 55 ± 3                  | 66 ± 5                   | 0.0001  |
| Female (%)           | 57.1                    | 30.2                     | 65.9                    | 61.4                     | 0.003   |
| Hypertension (%)     | 0                       | 25.6                     | 31.8                    | 63.6                     | 0.0001  |
| Dyslipidemia (%)     | 23.8                    | 62.8                     | 56.8                    | 68.2                     | 0.0001  |
| Diabetes (%)         | 14.3                    | 44.2                     | 52.3                    | 63.6                     | 0.0001  |
| Overweight (%)       | 16.7                    | 39.5                     | 27.3                    | 29.6                     | 0.138   |
| Smoking (%)          | 4.8                     | 41.9                     | 13.6                    | 18.2                     | 0.0001  |
| Multiple CVRFs (%)   | 7.14                    | 37.2                     | 29.6                    | 52.3                     | 0.0001  |
| IMT (mm)             | 0.399 ± 0.08            | 0.571 ± 0.16             | 0.672 ± 0.19            | 0.869 ± 0.30             | 0.0001  |
| Elastic modulus (kPa)| 272.1 ± 103.6           | 584.1 ± 178              | 707.8 ± 249.1           | 951.5 ± 269.9            | 0.0001  |

By ANOVA. Data are presented as the mean value ± SD or percentage of subjects. The abbreviations as in Table 1.

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Impact of Multiple CVRFs on CVD
this study, we found that there was an increasing trend in carotid IMT values according to the number of risk factors (Figure 1A), and multiple CVRFs was an independent predictor of carotid IMT (Table 3).

A few previous studies have investigated the relationship between CVRFs and vascular elasticity. In studying 993 subjects at high risk, aged 35–64 years, Jacques et al. [26] found that the cumulative influence of risk factors, even treated, was an independent determinant of arterial stiffness, assessed by carotid-femoral pulse wave velocity measurements. Scuteri et al. [27] found that the clustering of multiple components of the metabolic syndrome is independently associated with increased CCA stiffness, evaluated by the stiffness index. This study verifies these findings (Figure 1B) and extends them insofar as: 1) a different elasticity index - elastic modulus were used, which has been shown to accurately measure arterial elasticity noninvasively; 2) was across a broad age range and showed that the association between multiple CVRFs and carotid elasticity was significant across all age groups (Table 2). Moreover, we found that multiple CVRFs itself was an independent predictor of carotid elasticity (Table 3). Furthermore, carotid elasticity is increasingly recognized as a potent independent predictor of adverse cardiovascular outcomes. Thus, multiple CVRFs was independently associated with IMT or elasticity, even if several of the individual components of CVRFs were not, suggesting that the clustering of these components interacted to exert synergistic effects on vascular structure and function. This finding supports the concept that multiple CVRFs have a synergistic effect on morbidity and mortality from CVD, which has been demonstrated by epidemiologic studies such as the Framingham Study [28].

### Current Risk Factors

Cardiovascular diseases have a multifactorial etiology. Established traditional risk factors include hypertension, dyslipidemia, diabetes, overweight and smoking [29]. In this study, the CVRFs we evaluated were the traditional risk factors. However, more recent analyses showed that increased triglyceride levels were associated with increased CVD risk [30,31]. In particular, triglyceride-rich lipoprotein remnants associated with apolipoprotein C-III appeared to have a major impact on risk [32,33].

### Table 3. Multiple regression models evaluating the independent determinants of carotid intima-medial thickness and elasticity.

| Variables | Multiple CVRFs Not Added to Model | Variables | Multiple CVRFs Added to Model |
|-----------|----------------------------------|-----------|-------------------------------|
| Intima-Medial Thickness (mm) | | | |
| Age | 0.0093 | 0.0001 | Age | 0.0091 | 0.0001 |
| Gender | -0.070 | 0.018 | Gender | -0.059 | 0.049 |
| Hypertension | 0.137 | 0.0001 | Multiple CVRFs | 0.078 | 0.042 |
| Model R² | 0.473 | 0.0001 | Model R² | 0.486 | 0.0001 |
| Elasticity (kPa) | | | |
| Age | 13.1 | 0.0001 | Age | 12.6 | 0.0001 |
| DBP | 5.4 | 0.0001 | DBP | 4.6 | 0.001 |
| PP | 8.0 | 0.0001 | Multiple CVRFs | 87 | 0.008 |
| Model R² | 0.696 | 0.0001 | Model R² | 0.708 | 0.0001 |

All the models included age, gender, SBP, DBP, PP and each individual component of CVRFs as independent variables. Abbreviations as in Table 1. doi:10.1371/journal.pone.0067809.t003

### Table 4. Mean adjusted values of carotid intima-media thickness and elastic modulus, calculated by the least mean squares method, in the absence or presence of multiple CVRFs.

| | Adjusted for Age and Gender | Adjusted for Age, Gender and Dyslipidemia | Multivariate Model |
|-----------------|-----------------------------|----------------------------------------|------------------|
| IMT (mm) | | | |
| No | 0.592±0.018 | 0.598±0.019 | 0.577±0.022 |
| Yes | 0.713±0.028 | 0.701±0.03 | 0.745±0.038 |
| p Value | 0.001 | 0.007 | 0.001 |
| Elastic modulus (kPa) | | | |
| No | 587.4±18.24 | 587.8±18.98 | 594.2±21.79 |
| Yes | 731.7±27.48 | 730.9±29.61 | 717.2±37.63 |
| p Value | 0.0001 | 0.0001 | 0.017 |

The multivariate model included age, gender, BMI, dyslipidemia, diabetes, overweight and smoking. Data are presented as the mean value ± SD. Abbreviations as in Table 1. doi:10.1371/journal.pone.0067809.t004
promising novel risk factors, the additive value of homocysteine and high-sensitivity C-reactive protein for assessment of CVD risk was being evaluated in ongoing prospective studies [34–36]. Furthermore, genetic information has also been proven as a direct impact on cardiovascular patient care [37]. Therefore, continued focus on newer factors is warranted, as they may further improve the ability to predict future risk and determine treatment when they are included along with the traditional risk factors in the global risk profile.

**Study Limitations**

Some limitations of our study should be noted. Firstly, due to the lack of a well-defined physical activity question, we were unable to assess this risk factor in our investigation. Respondents’ smoking status was based on self-report and thus may be subject to reporting bias. Secondly, we measured CCA IMT. Measurements of IMT in the CCA are more reliable and less difficult than IMT measurements in the carotid bifurcation or in the internal carotid artery but also less sensitive to local vascular changes. Therefore, it is possible that the IMT data from only one site may underestimate the relationships between multiple CVRFs and IMT progression compared with using data from all three segments. Furthermore, because our study cohort was racially homogeneous, the generalizability of our findings might be limited to Chinese subjects. Future studies that include more racially and socioeconomically diverse populations are needed to further investigate the relationship between multiple CVRFs and vascular structure and function. In addition, the BP significantly increases from the central to the peripheral arteries [38]. It is possible that brachial BP measurement is an inadequate way to obtain central elasticity, since it overestimates the CCA elastic modulus. To get accurate estimates, central vascular properties must be derived from central measurements. However, there was a fixed difference in central and brachial measurements of PP, the numerator of the elastic modulus, which should lead to a fixed, systematic error in the elastic modulus.

In conclusion, this study indicates that multiple CVRFs is independently associated with increased carotid IMT and elastic modulus, and has a greater impact on carotid IMT and elastic modulus than individual components of CVRFs.

**Author Contributions**

Conceived and designed the experiments: LN YZ RZ HZ. Performed the experiments: LN YZ MQ. Analyzed the data: LN LM YX. Contributed reagents/materials/analysis tools: LN YW XL. Wrote the paper: LN HZ.

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