Plasma proteomic approach in patients with heart failure: insights into pathogenesis of disease progression and potential novel treatment targets

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Aims

To provide insights into pathogenesis of disease progression and potential novel treatment targets for patients with heart failure by investigation of the plasma proteome using network analysis.

Methods and results

The plasma proteome of 50 patients with heart failure who died or were rehospitalised were compared with 50 patients with heart failure, matched for age and sex, who did not have an event. Peptides were analysed on two-dimensional liquid chromatography coupled to tandem mass spectrometry (2D LC ESI-MS/MS) in high definition mode (HDMSE). We identified and quantified 3001 proteins, of which 51 were significantly up-regulated and 46 down-regulated with more than two-fold expression changes in those who experienced death or rehospitalisation. Gene ontology enrichment analysis and protein–protein interaction networks of significant differentially expressed proteins discovered the central role of metabolic processes in clinical outcomes of patients with heart failure. The findings revealed that a cluster of proteins related to glutathione metabolism, arginine and proline metabolism, and pyruvate metabolism in the pathogenesis of poor outcome in patients with heart failure who died or were rehospitalised.

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Introduction

Despite the latest advances in therapy for heart failure (HF), improving clinical outcomes remains a challenge.\(^1\)\(^-\)\(^7\) Whilst some patients with HF respond to appropriate therapy, many patients do not respond. The reason for the lack of response may be due to differences in clinical characteristics, underlying causes, biomarkers, genetic variants, and protein expression. Therefore, not all patients with HF achieve benefits from the same treatment.

Plasma is a desirably accessible window into the human proteome and its response to disease and therapy. However, analysis of plasma is extremely challenging because of the wide dynamic range of protein concentrations (10–12 orders of magnitude) and their structural complexity.\(^8\) Only 22 highly abundant proteins comprise 99% of the plasma proteome, so the challenge is to identify candidate biomarkers within the 1% of medium and low abundance proteins that are related to pathogenesis for clinical applications.\(^8\)\(^-\)\(^12\)

Network analysis is a powerful tool for studying biological systems in health and disease\(^13\) that helps identify activated pathways, driven genes or mutations in the disease. In addition, network analysis can identify subtypes of the disease, classify patients as well as discover novel prognostic biomarkers and new targets for therapy that might support precision medicine. Therefore, using network analysis to compare the plasma proteome in HF patients with and without clinical events might not only provide insights into the pathogenesis of disease progression in HF, but might also identify new therapeutic targets. Our aim in this study was to provide the information about pathogenesis of disease progression and potential novel treatment targets in HF by investigation of the plasma proteome.

Materials and methods

Patient population

Overall, 100 patients with HF in this study were selected from the EU FP7 funded BIOSTAT-CHF (A systems BIology Study to TAIlored Treatment in Chronic Heart Failure) project. This was a multicentre clinical study in Europe\(^1\)\(^3\) that aimed to identify poor outcomes in HF patients with standard treatment using a systems biology approach (including demographics, biomarkers, genetics, and proteomics).\(^15\)\(^-\)\(^27\) This project was conducted according to the Declaration of Helsinki that was approved by national and local ethics committees. All patients in this study had written informed consent. This study recruited 2516 patients who met inclusion and exclusion criteria according to the European Society of Cardiology guidelines.\(^28\)

In brief, patients were >18 years old, presented symptoms of HF and had a left ventricular ejection fraction (LVEF) ≤40% and/or B-type natriuretic peptide (BNP) >400 pg/mL or N-terminal pro-B-type natriuretic peptide (NT-proBNP) >2000 pg/mL as well as were not yet treated or treated with ≤50% of the target dose of both angiotensin-converting enzyme inhibitor or beta-blocker at the time of recruitment. Plasma samples were collected for proteomic analysis at the beginning of the project. The patients were treated with standard optimised therapy for HF, and drugs such as angiotensin-converting enzyme inhibitors and beta-blockers were up-titrated up to 6 months. Patients were then followed up for clinical events such as death and rehospitalisation.\(^14\) There were two groups of patients with HF in this study that were sex- and age-matched. Fifty patients with HF (25 male) who died or were rehospitalised were compared to 50 patients with HF (25 male) who did not have an event. The baseline patient characteristics are shown in Table 1.

Plasma sample collection and storage procedure

Blood samples of patients with HF were collected for proteomic work on admission to the study. Blood was obtained from supine patients after at least 15 min bed rest by venepuncture that was collected in 10 mL EDTA vacutainer tubes, inverted eight times and put on ice immediately. Plasma obtained after centrifugation at 1000 g for 15 min at 4°C was transferred to small aliquots and stored at −80°C until further analysis.

Sample preparation

The greatest disadvantage of using mass spectrometry-based proteomics is low throughput because of time-consuming sample preparation and analysis on mass spectrometry and processing of proteomic data. Therefore, to reduce the sample preparation, sample analysis and data processing time, the plasma samples of patients with HF were pooled into two biological groups that were sex- and age-matched. One group consisted of 50 patients with HF who died or were rehospitalised, and they were compared to the group of 50 HF patients who did not have an event. To do this, every plasma sample was thawed at room temperature and vortexed to ensure homogeneity. Then, a 100 μL aliquot of each plasma sample was taken and pooled to make two pooled plasma samples, including one pooled sample for HF patients with death/rehospitalisation and one pooled sample for HF patients without events.

Two pooled plasma samples were depleted of 14 high abundance proteins (including albumin, IgG, antitrypsin, IgA, transferrin, haptoglobin, fibrinogen, alpha 2 macroglobulin, alpha 1 acid glycoprotein, IgM, apolipoprotein A I, apolipoprotein A II, complement C3, and transthyretin) using a Multiple Affinity Removal System Human 14 (MARS 14, 4.6 × 100 mm, Agilent Technologies, Wilmington, DE, USA), exchanged buffers and concentrated. The samples were then reduced...
Cheshire, UK) using a 11 (Gemini NX C pooled sample was injected on a Gemini column to separate peptides
and 81; 2, 22, 42, 62 and 82; and so on. Twenty fractions were made in this study because a balance was required in order to achieve high throughput and sensitivity of protein identification. A schematic of the proteomic workflow to discover significant differentially expressed proteins in patients with HF is shown in the online supplementary Figure S1.

**Liquid chromatography electrospray ionisation mass spectrometry/mass spectrometry analysis**

A Waters Synapt G2 High Definition Mass Spectrometry (HDMS) system (Waters Corporation) was employed to analyse the HF samples in this study. This is a hybrid, quadrupole, ion mobility, orthogonal acceleration, time of flight mass spectrometer controlled by the MassLynx 4.1. A NanoLockSpray Electrospray Ionisation (ESI) source is fitted as standard equipment and a nanoAcquity Ultra Performance Liquid Chromatography (UPLC) system was coupled online. The sample was introduced into the source through an emitter with a flow rate of 0.3 μL/min.

Using the fractionation and concatenation method, each HF group had a total of 20 concatenated samples that were analysed on liquid chromatography electrospray ionisation mass spectrometry/mass spectrometry (LC ESI-MS/MS) in ion mobility LC-data independent acquisition mass spectrometry (HDMSE) mode. One μg of each fraction was analysed in each run. Fractions were analysed randomly and the same fraction of both HF groups was analysed at the same time. Each fraction was analysed in triplicate using a 50 min gradient in LC ESI-MS/MS in HDMSE mode. The column was washed by three injections of a 20 min run (1 × 20% isopropanol, 1 × methanol, and 1 × 0.1% formic acid) between each triplicate injection to avoid carryover.

**Quality control**

The use of a quality control (QC) is necessary in proteomic experiments for analysing samples over a long period of time in order to maintain a high standard of obtained results. At the same time of making two pooled HF plasma samples, a 10 μL aliquot of each plasma sample was also taken from 100 HF plasma samples and pooled together to make a QC plasma sample. This pooled QC sample was prepared and analysed throughout the whole experiment as the pooled HF samples. The QC sample was analysed in triplicate at the beginning of the experiment, then in the middle of the experiment, and at the end of the experiment on mass spectrometry along with the pooled HF plasma samples that allows the intra-study assessment of data quality. The chromatography of every QC sample was assessed on MassLynx 4.1 and PLGS 2.5 processed the raw data. If the chromatography and the total number of proteins identified were similar to the first QC sample, then the next samples were analysed.

**Data analysis and statistics**

Progenesis QI for Proteomics (Nonlinear Dynamics, Manchester, UK) enabled the identified and quantified proteins to be compared between two HF groups. The database was downloaded from UniProtKB database (Human) in FASTA format. Data processing parameters were minimum of two fragments per peptide, minimum of five fragments per protein, and minimum of two peptides per protein. The database was searched by using strict trypsin cleavage rules, two missed cleavages were allowed. Fixed modification of cysteine (Carbamidomethyl C) was selected. The variable modifications were Deamidation N, Oxidation M and Phosphoryl STY. The false rate discovery (FDR) for identification at the protein level was set to a maximum rate of 1%. Data generated using the Progenesis QI was exported to Microsoft Excel where further data analysis was performed. A multivariate statistical analysis was conducted on proteins and a further filter on proteins was applied based on the P-value and fold change to identify the expression of proteins of interest.

The statistical software SPSS 24.0 (Statistical Package for the Social Sciences, Chicago, IL, USA) for Windows was employed for statistical analyses in this study. Values were compared by using Student’s t-tests for two group comparisons. All statistical tests were performed two-tailed, and a P-value < 0.05 was considered statistically significant. Proteins with a fold change ≥ 2 and at a P-value < 0.05 were significant differentially expressed proteins.

ProteinCenter software (Thermo Scientific) was employed to annotate significant differentially expressed proteins identified using Gene Ontology (GO terms) in order to classify them into biological processes such as metabolic processes, cellular homeostasis and so on. Mapping of significant differentially expressed proteins and pathway analysis were performed using Kyoto Encyclopedia of Genes and Genomes (KEGG) pathway database. The protein—protein interaction network analysis obtained by using ClueGO plug-in from Cytoscape version 3.6.1. Cytoscape is a bioinformatics platform that is able to visualise and analyse networks. With ClueGO plug-in built in Cytoscape, GO terms and KEGG pathways are integrated into a functionally organised network that is able to compare the biological roles of significant differentially expressed proteins.

**Results**

**Patient characteristics**

Patient characteristics of the HF cohort in this study are described in Table 1. Both HF (death/rehospitalisation and no events) groups were matched for age (average age: 76.6 ± 8.1 years) and gender. In the HF patients with events, estimated glomerular filtration rate was lower (45.76 ± 14.23 mL/min⁻¹ vs. 51.34 ± 11.19 mL/min⁻¹; P = 0.037) and white blood cell count (1000/mm³) was higher (9.11 ± 3.10 vs. 7.97 ± 3.82; P = 0.016). More patients were in New York Heart Association (NYHA) class III/IV (76% and 54% for HF with death/rehospitalisation and no events, respectively; P = 0.021). In addition, waist-to-hip ratio was higher (1.01 ± 0.13 vs. 0.96 ± 0.10; P = 0.018) and more patients had medical history of coronary artery bypass surgery (18 vs. 6; P = 0.005) and peripheral arterial disease (21 vs. 8; P = 0.006) in patients with events. NT-proBNP levels were higher in HF patients with events (6321.58 ± 7557.40 pg/mL vs. 2616.38 ± 3442.63 pg/mL; P = 0.003). All other patient characteristics were not significantly different between the two HF groups.

**Quantitative proteomics and identification of over- or under-expressed proteins**

A total of 3001 quantified plasma proteins were identified in both HF groups that corresponded to a total of 57718 peptides at FDR of 1% using two-dimensional (2D) LC-ESI-MS/MS.
in HDMSE mode and label-free quantification. There were 1426 up-regulated proteins and 1562 down-regulated proteins in the death/rehospitalisation HF group in comparisons with HF group with no events (Figure 1). In addition, there were 97 proteins identified in both HF groups that were over- or under-expressed significantly with expression changes more than two-fold at \( P < 0.05 \) in the comparison between the death/rehospitalisation HF group and HF group with no events, including 51 up-regulated and 46 down-regulated proteins in the death/rehospitalisation HF group (Table 2; online supplementary Table S1 and Figure S2).

Functional classification of significant differentially expressed proteins
A GO analysis was performed in order to obtain a GO classification for the 97 significant differentially expressed proteins in patients with HF. The GO analysis of these proteins revealed involvement in multiple biological processes in HF. The highest proportions of 21.4% (59) proteins were found to be involved with metabolic processes in the biological processes of 97 significant differentially expressed proteins; 20.3% (56) proteins were related to the regulation of biological processes. The response to stimulus, cell organisation and biogenesis, and transport contributed to 14.9% (41), 12.0% (33) and 9.8% (27) proteins, respectively. The remaining proteins were involved with various biological processes such as cellular component movement (3.6%, 10 proteins), cell differentiation (3.6%, 10 proteins), defense response (3.3%, nine proteins), cellular homeostasis (2.2%, six proteins), cell death (1.8%, five proteins), cell division (1.1%, three proteins), development (1.1%, three proteins), cell growth (0.7%, two proteins), cell proliferation (0.7%, two proteins), coagulation (0.4%, one protein), and cell communication (0.4%, one protein). Figure 2 displays GO enrichment analysis of significant differentially expressed proteins in patients with HF.

Protein–protein interaction analysis of significant differentially expressed proteins
To explore the protein–protein interaction information of significant differentially expressed proteins the functional overview of 97 significant differentially expressed proteins was performed using Cytoscape software version 6.3.1 with ClueGO plug-in. GO enrichment analysis and KEGG pathway of significant differentially expressed proteins revealed the central role of metabolic processes in clinical outcomes of patients with HF. The findings suggested that a cluster of proteins related to glutathione metabolism, arginine and proline metabolism, and pyruvate metabolism in the pathogenesis of poor outcome in patients with HF (Figure 3).

Discussion
A total of 3001 proteins with quantification in both HF groups were identified using plasma proteome, with many of those over- or under-expressed in the HF group with death/rehospitalisation as compared to the HF group with no events. We further explored the 97 proteins with a differential expression more than two-fold at \( P < 0.05 \) in the comparison between two HF groups (death/rehospitalisation vs. no events). These results suggest that a wide range of proteins might be related to several pathophysiological processes in HF. Functional annotation of these results using GO, KEGG and protein–protein interaction networks revealed the complex functions of the proteomic interactome in the pathogenesis of poor outcome of patients with HF (Figure 2 and Figure 3). KEGG pathway analysis suggested that a cluster of significant differentially expressed proteins relates to glutathione metabolism, arginine and proline metabolism, and pyruvate metabolism in the pathogenesis of disease progression in HF and their involvement with clinical outcomes in patients with HF (Figure 3).

Glutathione metabolism as a potential target for therapy in heart failure
The proteomic data in this study revealed the involvement in glutathione metabolism of differentially expressed proteins that includes gamma-glutamylcyclotransferase (GGCT), lactoylglutathione lyase (GLO1), glutathione S-transferase Mu 5 (GSTM5), microsomal glutathione S-transferase 3 (MGST3), and spermidine synthase (SRM). The glutathione (L-gamma-glutamyl-cysteinyl-glycine) is an antioxidant that plays an important role in vascular and cardiac function\(^{29,30}\) and determines cell survival.\(^{31,32}\) Glutathione deficiency is involved with HF progression and cardiac remodelling in animal models and humans. Adamyl et al.\(^{29}\) found that the left ventricle of human
Arginine and proline metabolism as potential targets for therapy in heart failure

The proteomic results in this study found differentially expressed proteins such as 4-trimethylaminobutyraldehyde dehydrogenase (ALDH9A1), prolyl 4-hydroxylase subunit alpha-1 (P4HA1) and SRM, which are responsible for arginine and proline metabolism. SRM also participates in glutathione metabolism (see above). Arginine is a precursor for the synthesis of proteins, nitric oxide, urea, polyamines, proline, glutamate, creatine, and agmatine and plays an important role in the oxidation of energy substrates in adipocytes, heart, liver, skeletal muscle, and other tissues. Arginine reverses endothelial dysfunction in patients with major cardiovascular risk factors (hypercholesterolaemia, smoking, hypertension, diabetes, obesity/insulin resistance, and aging) and improves cardiovascular disorders (coronary and peripheral arterial disease, ischaemia/reperfusion injury, and HF). HF is related to decreased plasma arginine levels. Investigating the effects of L-arginine in chronic HF, Bocchi et al. found that L-arginine decreased heart rate, mean systemic arterial pressure, systemic vascular resistance, and increased right atrial pressure, cardiac output, stroke volume. The authors concluded that L-arginine improved cardiac function by reversing endothelial dysfunction with no effect on left ventricular contractility. Another study by Hambretch et al. also demonstrated that endothelial dysfunction in patients with HF can be improved by dietary supplementation with L-arginine. Moreover, Rector et al. indicated that supplemental oral L-arginine significantly increased forearm blood flow during forearm exercise, increased distances during a 6-min walk test, lowered scores on the Living with HF questionnaire, improved arterial compliance, and reduced circulating levels of endothelin. Fan et al. demonstrated that arginine pathways in patients with acute myocardial infarction were activated as compared to unstable angina patients by using plasma metabolomic...
profiles. Furthermore, the pathway analysis by Wang et al.\textsuperscript{42} indicated that arginine and proline metabolism were affected in unstable angina patients complicated with diabetes. Therefore, arginine metabolism may be an interesting therapeutic target and supplementation of arginine could have beneficial effects in HF.

**Pyruvate metabolism as a potential target for therapy in heart failure**

Pyruvate metabolism dysregulation was also suggested from the differentially expressed proteins in this study such as ALDH9A1 (shared with arginine and proline metabolism), dihydrolipoyl dehydrogenase mitochondrial (DLD) and GLO1 (shared with glutathione metabolism). Pyruvate is a keystone molecule for many aspects of metabolism in human. Pyruvate plays an important role for mitochondrial ATP generation in mitochondria by oxidative phosphorylation and for driving multiple biosynthetic pathways intersecting the citric acid cycle.\textsuperscript{43} Mutations of the genes encoding for proteins that regulate pyruvate metabolism may lead to many diseases. Pyruvate is an important source of energy for myocardium as an intermediate in Krebs cycle. Hermann et al.\textsuperscript{44} demonstrated that application of pyruvate into the left main

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coronary artery in patients with congestive HF with NYHA class III resulted in a 23% increase in cardiac index, a 38% increase in stroke volume index, a 36% decrease in pulmonary capillary wedge pressure, and heart rate decreased significantly by 11%. The authors suggest that the inotropic effect of pyruvate was associated with a significant decrease in heart rate in contrast to catecholamines and phosphodiesterase inhibitors. Another study showed that pyruvate increases the free energy from ATP hydrolysis and the sarcoplasmic reticulum (SR)-calcium gradient. Moreover, Hasenfuss et al. indicate that application of pyruvate improves contractile performance of failing human myocardium by increasing intracellular Ca\(^{2+}\) transients and myofilament Ca\(^{2+}\) sensitivity. The effects of pyruvate on the SR calcium pump function are very interesting because SR calcium pump dysfunction has a pivotal role in the pathogenesis of HF. Fatima et al. demonstrated a disturbance of the pyruvate pathway in patients with coronary artery disease as compared to young healthy volunteers. Improving pyruvate availability could therefore be a novel approach to treat patients with HF.

Heart failure is a complex clinical syndrome at the end stage of heart disease that is comprised of multiple pathophysiological processes. The plasma is easily accessible for clinical use and the largest representation of the human proteome in which many proteins are related to pathogenesis for clinical applications. However, the greatest challenge in analysing plasma proteome is the wide dynamic range of protein concentrations. To the best of our knowledge, this is the first study that performed 2D LC ESI-MS/MS in HDMSE combined with an immunodepletion method on a MARS 14 column along with using a sample pooling strategy for patients with HF. This proteomic pipeline was a reasonable approach that helped to overcome the low throughput and time-consuming steps in mass spectrometry-based proteomics and achieved a much greater identification of low abundant proteins in plasma. A wide range of differentially expressed proteins identified in this study not only provided more information about pathogenesis of disease progression in HF, but also discovered potential novel treatment targets for design of new drugs to improve poor outcomes in patients with HF. In addition, with this plasma proteomic approach a panel of multiple biomarkers in HF could be designed and developed that would be able to predict clinical events and treatment response with an improvement of precision and robustness because an ideal single biomarker may not exist for HF due to the participation of multiple pathogenic processes. Furthermore, these differentially expressed proteins identified could be employed to investigate pathogenic genomic loci in HF.

There was little literature about proteomic studies in patients with HF. In a recent proteomic study published by our group, 49 differently expressed proteins were identified between deaths (\(n = 45\)) and survivors (\(n = 45\)) in patients with HF. However, Emmens et al. focused on a depth investigation of the multifunctional HDL proteome to reveal underlying pathophysiological mechanisms that could explain the association between HDL and clinical outcome, while this study covered the whole plasma proteome in patients with HF. In another proteomic study by Stenemo et al., 49 18 proteins were identified with several novel associations between proteins involved in apoptosis, inflammation, matrix remodelling, and fibrinolysis with incident HF. However,
this study was performed in a HF cohort with elderly individuals and Proseek Multiplex cardiovascular disease 196x96 proximity extension assay technique was used to assess proteins previously associated with cardiovascular pathology. Farmakis et al.\textsuperscript{50} identified a set of 107 specific peptide biomarkers in HF with reduced ejection fraction (HFrEF) patients with chronic kidney disease (59 HFrEF patients vs. 67 controls). However, the capillary electrophoresis–mass spectrometry analysis was performed in this study using urine proteome.

Future perspectives

The results in this study showed that plasma proteomics provides insights into the pathogenesis of disease progression in HF that would open new perspectives for translational research. The findings in this study also suggested that glutathione metabolism, arginine and proline metabolism, and pyruvate metabolism are involved in the progression of HF. They may be potential therapeutic targets that might provide potential additive therapeutic interventions for patients with HF. Therapies that modulate glutathione, arginine and pyruvate metabolism could have beneficial effects in HF by improving cardiac function and endothelial dysfunction that would be a topic for future investigation.

Strengths and limitations

To the best of our knowledge, this is the first study using a plasma proteomic approach (2D LC ESI-MS/MS in HDMSE) to increase the understanding about the pathogenesis of HF and its link with clinical outcomes in patients with HF. The results obtained in this study demonstrated that 2D LC ESI-MS/MS in HDMSE combined with a multiple affinity removal system (MARS 14) is an informative feasible approach for providing insight into the pathogenesis of HF and discovery of potential biomarkers in plasma of patients with HF (Figure 4). Moreover, novel therapeutic targets could be identified for design of new drugs to improve poor outcomes in HF.

This study has several limitations. First, the sample size of this proteomic study was limited to 50 HF patients with death/rehospitalisation vs. 50 HF patients without events because these 100 patients with HF had the outcomes that were age- and sex-matched at the time when the proteomic work was conducted. Therefore, the findings in this study will need to be validated in another large cohort. Second, sample pooling may lead to a loss of some inter-individual biological information in plasma.\textsuperscript{51} However, pooling of samples in proteomics is a valid and valuable procedure,\textsuperscript{52} necessitated by the detailed analyses and large peptide/protein datasets in a low-throughput workflow. Third, the method of immunoaffinity depletion for the top 14 high abundant proteins (MARS 14) in plasma was used to achieve a greater detection of low abundant proteins,\textsuperscript{53} but some nontargeted proteins might be removed along with the targeted proteins. Fourth, the differences of plasma proteomes between two subgroups (rehospitalisations vs deaths) in the group of HF patients with clinical events could not be analysed due to the procedure of sample pooling. Fifth, only Caucasian patients were...
recruited in the BIOSTAT-CHF project due to the study design. Therefore, the results of this study may need replication in patients of non-Caucasian ethnicity.

Conclusions

From 3001 proteins identified with quantification, 97 were differentially expressed between HF patients with and without clinical events. A network analysis with pathway enrichment identified that pathways related to glutathione metabolism, arginine and proline metabolism, and pyruvate metabolism were activated in HF patients with clinical events. These findings imply that glutathione, arginine and pyruvate might be potential therapeutic targets to further improve clinical outcomes in HF.

Supplementary Information

Additional supporting information may be found online in the Supporting Information section at the end of the article.

Figure S1. Schematic of the proteomic workflow to discover significantly differentially expressed proteins in patients with heart failure.

Figure S2. Heatmap showing the expression profiles of 97 significantly differentially expressed proteins in comparing between both heart failure groups.

Table S1. List of significantly differentially expressed proteins with properties in patients with heart failure.

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