Programmable editing of a target base in genomic DNA without double-stranded DNA cleavage

Alexis C. Komor1,2, Yongjoo B. Kim1,2, Michael S. Packer1,2, John A. Zuris1,2 & David R. Liu1,2

Current genome-editing technologies introduce double-stranded (ds) DNA breaks at a target locus as the first step to gene correction1,2. Although most genetic diseases arise from point mutations, current approaches to point mutation correction are inefficient and typically induce an abundance of random insertions and deletions (indels) at the target locus resulting from the cellular response to dsDNA breaks1,2. Here we report the development of ‘base editing’, a new approach to genome editing that enables the direct, irreversible conversion of one target DNA base into another in a programmable manner, without requiring dsDNA backbone cleavage or a donor template. We engineered fusions of CRISPR/Cas9 and a cytidine deaminase enzyme that retain the ability to be programmed with a guide RNA, do not induce dsDNA breaks, and mediate the direct conversion of cytidine to uridine, thereby effecting a C→T (or G→A) substitution. The resulting ‘base editors’ convert cytidines within a window of approximately five nucleotides, and can efficiently correct a variety of point mutations relevant to human disease. In four transformed human and murine cell lines, second- and third-generation base editors that fuse uracil glycosylase inhibitor, and that use a Cas9 nickase targeting the non-edited strand, manipulate the cellular DNA repair response to favour desired base-editing outcomes, resulting in permanent correction of ~0.1 to 5% indel formation. Base editing expands the scope and efficiency of genome editing of point mutations.

The clustered regularly interspaced short palindromic repeat (CRISPR) system has been widely used to mediate genome editing in a variety of organisms and cell lines3. CRISPR/Cas9 protein–RNA complexes localize to a target DNA sequence through base pairing with a guide RNA, and natively create a dsDNA break (DSB) at the locus specified by the guide RNA. In response to DSBs, cellular DNA repair processes mostly result in random insertions or deletions (indels) at the site of DNA cleavage through non-homologous end joining (NHEJ). In the presence of a homologous DNA template, the DNA surrounding the cleavage site can be replaced through homology-directed repair (HDR). HDR competes with NHEJ during the resolution of DSBs, and indels are generally more abundant outcomes than gene replacement. For most known genetic diseases, however, correction of a point mutation in the target locus, rather than stochastic disruption of the gene, is needed to study or address the underlying cause of the disease4.

Motivated by this need, researchers have sought to increase the efficiency of HDR and suppress NHEJ. Despite recent progress (see Supplementary Information for a detailed discussion), current strategies to correct point mutations using HDR under therapeutically relevant conditions remain inefficient (typically ~0.1 to 5%)3,4, especially in unmodified, non-dividing cells. These observations highlight the need to develop alternative approaches to correct point mutations in genomic DNA that do not require DSBs.

We envisioned that direct conversion of one DNA base to another at a programmable target locus without requiring DSBs could increase the efficiency of gene correction relative to HDR without introducing an excess of random indels. Catalytically dead Cas9 (dCas9), which contains Asp10Ala and His840Ala mutations that inactivate its nuclease activity, retains its ability to bind DNA in a guide RNA-programmed manner, but does not cleave the DNA backbone6. In principle, conjugation of dCas9 with an enzymatic or chemical catalyst that mediates the direct conversion of one base to another could enable RNA-programmed DNA base editing.

The deamination of cytosine (C) is catalysed by cytidine deaminases8 and results in uracil (U), which has the base-pairing properties of thymine (T). Most known cytidine deaminases operate on RNA, and the few examples that are known to accept DNA require single-stranded (ss) DNA9. Recent studies on the dCas9–target DNA complex reveal that at least nine nucleotides (nt) of the displaced DNA strand are unpaired upon formation of the Cas9–guide RNA–DNA ‘R-loop’ complex10. Indeed, in the structure of the Cas9 R-loop complex, the first 11 nt of the protospacer on the displaced DNA strand are disordered, suggesting that their movement is not highly restricted11. It has also been speculated that Cas9 nickase-induced mutations at cytosines in the non-template strand might arise from their accessibility by cellular cytosine deaminase enzymes12. We reasoned that a subset of this stretch of ssDNA in the R-loop might serve as an efficient substrate for a dCas9-tethered cytidine deaminase to effect direct, programmable conversion of C to U in DNA (Fig. 1a).

Four different cytidine deaminase enzymes (human AID, human APOBEC3G, rat APOBEC1, and lamprey CDA1) were evaluated for ssDNA deamination. Of the four enzymes, rat APOBEC1 showed the highest deaminase activity under the conditions tested (Extended Data Fig. 1a). Fusing rat APOBEC1 to the amino terminus, but not the carboxy terminus, of dCas9 preserves deaminase activity (Extended Data Fig. 1a). We expressed and purified four rat APOBEC1–dCas9 fusions with linkers of different length and composition (Extended Data Fig. 1b), and evaluated each fusion for single guide RNA (sgRNA)-programmed dsDNA deamination in vitro (Fig. 1b and Extended Data Fig. 1c–f).

We observed efficient, sequence-specific, sgRNA-dependent C to U conversion in vitro (Fig. 1c). Conversion efficiency was greatest using rat APOBEC1–dCas9 linkers over nine amino acids in length. The number of positions susceptible to deamination (the ‘activity window’) increases from approximately 3 to 6 nt as the linker length was extended from 3 to 21 amino acids (Extended Data Fig. 1c–f). The 16-residue XTEN linker13 offered a promising balance between these two characteristics, with an efficient deamination window of approximately 5 nt, typically from positions 4 to 8 within the protospacer, counting the end distal to the protospacer–adjacent motif (PAM) as position 1. The rat APOBEC1–XTEN–dCas9 protein served as the first-generation base editor (BE1).

We assessed the ability of BE1 in vitro to correct seven T→C mutations relevant to human disease (Extended Data Fig. 2). BE1 yielded products consistent with efficient editing of the target C, or of at least

1Department of Chemistry and Chemical Biology, Harvard University, Cambridge, Massachusetts 02138, USA. 2Howard Hughes Medical Institute, Harvard University, Cambridge, Massachusetts 02138, USA.

© 2016 Macmillan Publishers Limited. All rights reserved

LETT
one C within the activity window when multiple Cs were present, in six of these seven targets in vitro, with an average apparent editing efficiency of 44% (Extended Data Fig. 2).

Although the preferred sequence context for APOBEC1 substrates is TC or CC\(^\text{14}\), we anticipated that the increased effective molarity of the tethered deaminase and its ssDNA substrate upon dCas9 binding might relax this preference. To illuminate the context dependence of BE1, we assayed its ability to edit a dsDNA 60-mer containing a single fixed C at position 7 within the protospacer, as well as all 36 single-mutant variants in which protospacer bases 1–6 and 8–13 were individually varied to each of the other three bases. High-throughput DNA sequencing revealed 50–80% C to U conversion of substrate strands (25–40% of sequence reads from both DNA strands, one of which is not a substrate for BE1) (Fig. 2a). Editing efficiency was independent of sequence context, unless the base immediately 5’ of the target C was a G, in which case editing efficiency was substantially lower (Fig. 2a). Next we assessed BE1 activity in vitro on all four NC motifs at positions 1 through 8 within the protospacer (Fig. 2b). BE1 activity followed the order TC ≥ CC ≥ AC > GC (the second nucleotide (C) is the target nucleotide), with maximum editing efficiency achieved when the target C is at or near position 7 (see Supplementary Information). In addition, we observed that the base editor is processive, and will efficiently convert most or all Cs to Us on the same DNA strand within the five-base activity window (Extended Data Fig. 3).

While BE1 efficiently processes substrates in a test tube, in cells, a variety of possible DNA repair outcomes determines the fate of the initial U:G product of base editing (Fig. 3a). We tested the ability of BE1 to convert C→T in human cells on 14 Cs in six well-studied target sites in the human genome (see Supplementary Information and Extended Data Fig. 4a\(^\text{15}\)). Although C→T editing in cells was observed for all cases, the efficiency of base editing was 0.8% to 7.7% of total DNA sequences, a large 5- to 36-fold decrease in efficiency compared to that of in vitro base editing (Fig. 3b and Extended Data Fig. 4).

We hypothesized that the cellular DNA repair response to U:G heteroduplex DNA was responsible for the large decrease in base editing efficiency in cells (Fig. 3a). Uracil DNA glycosylase (UDG) catalyzes removal of U from DNA in cells and initiates base-excision repair (BER), with reversion of the U:G pair to a C:G pair as the most common outcome (Fig. 3a\(^\text{16}\)). Uracil DNA glycosylase inhibitor (UGI), an 83-residue protein from Bacillus subtilis bacteriophage PBS1, potently blocks human UDG activity (IC\(_{50}\) = 12 pM)\(^\text{17}\). In an effort to subvert BER at the site of base editing, we fused UGI to the C terminus of BE1 to create a second-generation base editor (BE2, APOBEC–XTEN–dCas9–UGI) and repeated editing assays on all six genomic loci. Editing efficiencies in human cells were on average threefold higher with BE2 than BE1, resulting in gene conversion efficiencies of up to 20% of total DNA sequenced (Fig. 3b).

Importantly, BE1 and BE2 resulted in indel formation rates ≤ 0.1% (Fig. 3c and Extended Data Table 1), consistent with the known mechanistic dependence of NHEJ on DSBs (see Supplementary Information)\(^\text{18}\). We assessed BE2-mediated base editing efficiencies on the same genomic targets in U2OS cells, and observed results similar to that of U2OS cells.
to those in HEK293T cells (Extended Data Fig. 5). Together, these results indicate that conjugating UGI to BE1 can increase the efficiency of base editing in human cells.

Converting and protecting the substrate strand of a C:G base pair (bp) results in a maximum base editing yield of 50%. To augment base editing efficiency beyond this limit, we sought to further manipulate cellular DNA repair to induce correction of the non-edited strand containing the C. Eukaryotic mismatch repair (MMR) uses nicks present in newly synthesized DNA to direct removal and resynthesis of the newly synthesized strand (Fig. 3a)19,20. We reasoned that nicking the DNA strand containing the unedited G would simulate newly synthesized DNA, inducing MMR, or simulate damaged DNA, inducing long-patch BER, to preferentially resolve the U:G mismatch into desired U:A and T:A products (Fig. 3a). We therefore restored the catalytic His residue at position 840 in the Cas9 HNH domain of BE2 (ref. 7), resulting in the third-generation base editor (BE3, APOBEC–XTEN–dCas9(A840H)–UGI) that nicks the non-edited strand containing a G opposite the edited U. BE3 retains the Asp10Ala mutation in Cas9 that prevents dsDNA cleavage, and also retains UGI to suppress UDG-initiated BER of the editing strand.

Nicking the non-edited strand augmented base editing efficiency in human cells treated with BE3 by an additional two- to sixfold relative to BE2, resulting in up to 37% of total DNA sequences containing the targeted C→T conversion (Fig. 3b). Importantly, only a small fraction of indels, averaging 1.1% for the six tested loci, was observed from BE3 treatment (Fig. 3c and Extended Data Table 1). In contrast, when we treated cells with wild-type Cas9, sgRNA to target each of three loci, and a 200 nt ssDNA donor template to mediate HDR, we observed C→T conversion efficiencies averaging only 0.5%, with much higher indel formation averaging 4.3% (Fig. 3c). The ratio of allele conversion to NHEJ outcomes averaged >1,000 for BE2, 23 for BE3, and 0.17 for wild-type Cas9 (Fig. 3c). We confirmed the permanence of base editing in human cells by monitoring editing efficiencies over multiple cell divisions in HEK293T cells at the HEK293 site 3 and 4 genomic loci (Extended Data Fig. 6 and Supplementary Information). These results collectively establish that base editing can induce much more efficient targeted single-base editing in human cells than Cas9-mediated HDR, and with substantially less (BE3) or almost no (BE2) indel formation.

Next, we examined the off-target activity of BE1, BE2, and BE3 in human cells for five previously studied loci (see Supplementary Information and Supplementary Tables 1–5). Because the sequence preference of rat APOBEC1 is known to be independent of bases more than one nucleotide from the target C (ref. 21), consistent with Fig. 2a, we assumed that off-target base editing arises from off-target Cas9 binding. Therefore, we sequenced the top 34 known Cas9 off-target sites in human cells15, and the top 12 known dCas9 off-target binding sites for the six genomic loci studied in Fig. 3 (Supplementary Tables 1–5)22. We observed detectable off-target base editing at a subset of known Cas9 off-target sites (16/34 for BE1 and BE2; and 17/34 for BE3), but no detectable base editing at the known dCas9 off-target sites. All detected off-target base-editing substrates contained a C within the five-base activity window (see Supplementary Information). We also monitored C→T mutations at 3,200 cytosines surrounding the six on-target and 44 off-target loci tested and observed no evident increase in C→T conversions outside the protospacer upon BE1, BE2, or BE3 treatment compared to that of untreated cells (Extended Data Fig. 7). Taken together, these findings suggest that off-target substrates of base editors include a subset of Cas9 off-target substrates, and that base editors in human cells do not induce untargeted C→T conversion throughout the genome.

Finally, we tested the potential of base editing to correct two disease-relevant mutations in mammalian cells. The apolipoprotein E gene variant APOE4 encodes two arginine residues at amino acid positions 112 and 158, and is the largest and most common genetic risk factor for late-onset Alzheimer’s disease23. ApoE variants with Cys residues at these positions, including APOE2 (Cys112 and Cys158), APOE3 (Cys112 and Arg158), and APOE3r (Arg112 and Cys158) have been shown or are presumed24 to confer lower Alzheimer’s disease risk than APOE4. We attempted to convert APOE4 into APOE3r in immortalized mouse astrocytes in which the endogenous Apoe gene was replaced by human APOE4. We delivered into these astrocytes by nucleofection DNA encoding BE3 and an appropriate sgRNA placing the targeted C at position 5 relative to a downstream PAM. After two days, we isolated nucleofected cells and measured editing efficiency by HTS of genomic DNA. We observed conversion of Arg158 to Cys158 in 58–75% of total DNA sequencing reads (Fig. 4a and Extended Data Fig. 8a). We also observed 36–50% editing of total DNA at the third position of codon 158 and 38–55% editing of total DNA at the first position of Leu159, as expected since all three of these Cs are within the base editing window. Neither of the other two C→T conversions, however, alters the amino acid sequence of the ApoE3r protein, as both TGC and TGT encode Cys (all C→T changes at the third position of a codon are silent), and both CTG and TTG encode Leu.

Figure 3 | Base editing in human cells. a, Possible base editing outcomes in mammalian cells. Initial editing results in a U:G mismatch. Recognition and excision of the U by uracil DNA glycosylase (UDG) initiates base excision repair (BER), which leads to reversion to the C:G starting state. BER is impeded by BE2 and BE3, which inhibit UDG. The U:G mismatch is also processed by mismatch repair (MMR), which preferentially repairs the nicked strand of a mismatch. BE3 nicks the non-edited strand containing the G, favouring resolution of the U:G mismatch to the desired U:A or T:A outcome. b, HEK293T cells were treated as described in the Methods. The percentage of total DNA sequencing reads with Ts at the target positions indicated are shown for treatment with BE1, BE2, or BE3, or for treatment with wild-type Cas9 with a 200-nt donor HDR template. c, Frequency of indel formation (see Methods) is shown following the treatment in b. Values are listed in Extended Data Table 1. For b and c, values and error bars reflect the mean and s.d. of three independent biological replicates performed on different days.
The efficiency of BE3-mediated editing of APOE4 demonstrates that a combination of suppressing BER and guiding MMR to repair the unedited strand enables base editing efficiencies to exceed the 50% maximum yield that would result from DNA replication alone. We observed no evident increase in mutations within 50 bp of either end of the protospacer compared with untreated controls (Supplementary Table 6). We observed 4.6–6.1% indels at the targeted locus following BE3 treatment. In contrast, identical treatment of astrocytes with wild-type Cas9 and donor ssDNA resulted in 0.1–0.3% indel formation (Fig. 4a and Extended Data Fig. 8a). These results collectively represent the correction of two disease-relevant mutations with 6.1% indel formation. The development of base editing advances both the scope and effectiveness of genome editing. The base editors described here offer researchers a choice of editing with very little (<0.1%) indel formation (BE2), or more efficient editing with <1% indel formation (BE3). That the product of base editing is, by definition, no longer a subsequent product transformation, which can hamper traditional Cas9 applications. By removing the reliance on dsDNA cleavage, donor templates, and stochastic DNA repair processes that vary by cell state and cell type, base editing has the potential to expand the type of genome modifications that can be cleanly installed, the efficiency of these modifications, and the type of cells that are amenable to editing. It is likely that engineered Cas9 variants or delivery methods that offer improved DNA specificity or altered PAM specificities can provide additional base editors with improved properties. These results also suggest architectures for the fusion of other properties. These results also suggest architectures for the fusion of other engineering enzymes, including methylases and demethylases, that may enable additional types of programmable genome and epigenome base editing.

Figure 4 | BE3-mediated correction of two disease-relevant mutations in mammalian cells. The sequence of the protospacer is shown to the right of the mutation, with the PAM in blue and the target base in red with a subscripted number indicating its position within the protospacer. Underneath each sequence are the percentages of total sequencing reads with the corresponding base. Cells were treated as described in the Methods. a, The Alzheimer’s disease-associated APOE4 allele was converted to APOE3r in mouse astrocytes by BE3 in 74.9% of total reads. Two nearby Cs are also converted to Ts, but with no change to the predicted sequence of the resulting protein. Identical treatment of these cells with wild-type Cas9 and a 200-nt ssDNA donor results in 0.3% correction, with 26.1% indel formation. b, The cancer-associated p53 Y163C mutation is corrected by BE3 in 7.6% of nucleasefected human breast cancer cells with 0.7% indel formation. Identical treatment of these cells with wild-type Cas9 and donor ssDNA results in no mutation correction with 6.1% indel formation.
Online Content Methods, along with any additional Extended Data display items and Source Data, are available in the online version of the paper; references unique to these sections appear only in the online paper.

Received 26 February; accepted 30 March 2016.
Published online 20 April 2016.

1. Cox, D. B., Platt, R. J. & Zhang, F. Therapeutic genome editing: prospects and challenges. Nature Med. 21, 121–131 (2015).
2. Hilton, I. B. & Gersbach, C. A. Enabling functional genomics with genome engineering. Genome Res. 25, 1442–1455 (2015).
3. Sander, J. D. & Joung, J. K. CRISPR–Cas systems for editing, regulating and targeting genomes. Nature Biotechnol. 32, 347–355 (2014).
4. Landrum, M. J. et al. ClinVar: public archive of interpretations of clinically relevant variants. Nucleic Acids Res. 44, D862–D868 (2015).
5. Cong, L. et al. Multiplex genome engineering using CRISPR/Cas systems. Science 339, 819–823 (2013).
6. Ran, F. A. et al. Genome engineering using the CRISPR-Cas9 system. Nature Protocols 8, 2281–2308 (2013).
7. Jin, M. et al. A programmable dual-RNA-guided DNA endonuclease in adaptive bacterial immunity. Science 337, 816–821 (2012).
8. Conticello, S. G. The AID/APOBEC family of nucleic acid mutators. Genome Biol. 9, 229 (2008).
9. Harris, R. S., Petersen-Mahrt, S. K. & Neuberger, M. S. RNA editing enzyme APOBEC1 and some of its homologs can act as DNA mutators. Mol. Cell 10, 1247–1253 (2002).
10. Jore, M. M. et al. Structural basis for CRISPR RNA-guided DNA recognition by Cascade. Nature Struct. Mol. Biol. 18, 529–536 (2011).
11. Jiang, F. et al. Structures of a CRISPR-Cas9 R-loop complex primed for DNA cleavage. Science (2016).
12. Tsai, S. Q. et al. Dimeric CRISPR RNA-guided FokI nucleases for highly specific genome editing. Nature Biotechnol. 32, 569–576 (2014).
13. Schellenberger, V. et al. A recombinant polypeptide extends the half-life of peptides and proteins in a tunable manner. Nature Biotechnol. 27, 1185–1190 (2009).
14. Saracino, G. et al. Slaymaker, I. M. et al. Multiplex genome engineering using CRISPR/Cas systems. Nature Biotechnol. 33, 73–80 (2015).
15. Kleinstiver, B. P. et al. Engineered CRISPR-Cas9 nucleases with altered PAM specificities. Nature 523, 481–485 (2015).

Supplementary Information is available in the online version of the paper.

Acknowledgements This work was supported by US National Institutes of Health (NIH) R01 ES022376 (formerly R01 GM065400), F-Prime Biomedical Research Initiative (A28161), and the Howard Hughes Medical Institute. A.C.K. is a Ruth L. Kirchstein National Research Service Awards Postdoctoral Fellow (F32 GM 112366-2). Y.B.K. holds a Natural Sciences and Engineering Research Council of Canada Postgraduate Scholarship (NSERC PGS-D). M.S.P. is an NSF Graduate Research Fellow and was supported by the Harvard Biophysics NIH training grant T32 GM008313. J.A.Z. was a Ruth L. Kirschstein National Research Service Award Postdoctoral Fellow (F32 GM 106601-2). We thank B. Hyman and E. Hudry for providing immortalized mouse astrocytes containing APOE-4.

Author Contributions A.C.K. and Y.B.K. designed the research, performed experiments, analysed data, and wrote the manuscript. M.S.P. assisted with the data analysis. J.A.Z. assisted with the preparation of materials and the design of experiments. D.R.L. designed and supervised the research and wrote the manuscript. All of the authors contributed to editing the manuscript.

Author Information High-throughput sequencing data have been deposited in the NCBI Sequence Read Archive database under accession code SRP072434. Plasmids encoding BE1, BE2, and BE3 are available from Addgene (plasmids 73018, 73019, 73020, 73021). Reprints and permissions information is available at www.nature.com/reprints. The authors declare competing financial interests: details are available in the online version of the paper. Readers are welcome to comment on the online version of the paper. Correspondence and requests for materials should be addressed to D.R.L. (drliu@fas.harvard.edu).
METHODS

Data reporting. No statistical methods were used to predetermine sample size. The investigators were not blinded to allocation during experiments and outcome assessment.

Cloning. DNA sequences of all substrates and primers used in this paper are listed in the Supplementary Information. PCR was performed using Veraseq UL1tra DNA polymerase (Enzymatics), or Q5 Hot Start High-Fidelity DNA polymerase (New England Biosciences). BE plasmids were constructed using USER cloning (New England Biolabs). Deaminase genes were synthesized as gBlocks Gene Fragments (Integrated DNA Technologies), and Cas9 genes were obtained from previously reported plasmids13. Deaminase and fusion genes were cloned into pCMV (mammalian codon-optimized) or PET28b (E. coli codon-optimized) backbones. sgRNA expression plasmids were constructed using site-directed mutagenesis. Briefly, the primers listed in the Supplementary Information were 5’ phosphorylated using T4 Polynucleotide Kinase (New England Biolabs) according to the manufacturer’s instructions. Next, PCR was performed using Q5 Hot Start High-Fidelity Polymerase (New England Biolabs) with the phosphorylated primers and the plasmid pPFY1320 (EGFP sgRNA expression plasmid) as a template according to the manufacturer’s instructions. PCR products were incubated with DpnI (20 U, New England Biolabs) at 37 °C for 1 h, purified on a QIAprep spin column (Qiagen), and ligated using QuickLigase (New England Biolabs) according to the manufacturer’s instructions. DNA vector amplification was carried out using Mach1 competent cells (ThermoFisher Scientific).

In vitro deaminase assay on ssDNA. Sequences of all ssDNA substrates are listed in the Supplementary Information. All Cy3-labeled substrates were obtained from Integrated DNA Technologies (IDT). Deaminases were expressed in vitro using the TNT T7 Quick Coupled Transcription/Translation Kit (Promega) according to the manufacturer’s instructions using 1 μg of plasmid. Following protein expression, 5 μl of lysate was combined with 35 μl of ssDNA (1.8 μM) and USER enzyme (1 unit) in CutSmart buffer (New England Biolabs) (50 mM potassium acetate, 29 mM Tris-acetate, 10 mM magnesium acetate, 100 μM dNTPs, 1.5 μg/ml BSA, pH 7.9) and incubated at 37 °C for 2 h. Cleaved U-containing substrates were resolved from full-length unmodified substrates on a 10% TBE-urea gel (Bio-Rad), ligated using QuickLigase (New England Biolabs) according to the manufacturer’s instructions. DNA vector amplification was carried out using Mach1 competent cells (ThermoFisher Scientific).

Expression and purification of His6-rAPOBEC1-linker-Cas9 fusions. E. coli BL21 STAR (DE3)-competent cells (ThermoFisher Scientific) were transformed with plasmids encoding pET28b-His6-rAPOBEC1-linker-Cas9 with GGS, (GGS), XTEN, or (GGS) linkers. The resulting expression strains were grown overnight in Luria-Bertani (LB) broth containing 100 μg/ml of kanamycin at 37 °C. The cells were diluted 1:100 into the same growth medium and grown at 37 °C to OD600 ~0.6. The culture was cooled to 4 °C over a period of 2 h, and isopropyl-β-D-thiogalactopyranoside (IPTG) was added at 0.5 mM to induce protein expression. After ~16 h, the cells were collected by centrifugation at 4,000 g and resuspended in lysis buffer (50 mM tris(hydroxymethyl)-amino methane (Tris)-HCl (pH 7.5), 1 M NaCl, 20% glycerol, 10 mM tris(2-carboxyethyl)phosphine (TCEP) (Solute Ventures)). The cells were lysed by sonication (20 s pulse-on, 20 s pulse-off for 8 min total at 6 W output) and the lysis supernatant was isolated following centrifugation at 25,000g for 15 min. The lysate was incubated with His-Pur nickel-nitri losagic acid (nickel-NTA) resin (ThermoFisher Scientific) at 4 °C for 1 h to capture the His-tagged fusion protein. The resin was transferred to a column and washed with 40 ml of lysis buffer. The His-tagged fusion protein was eluted in lysis buffer supplemented with 285 mM imidazole, and concentrated by ultrafiltration (Amicon-Millipore, 100-kDa molecular weight cut-off) to 1 ml total volume. The protein was diluted to 20 ml in low-salt purification buffer containing 50 mM tris(hydroxymethyl)-amino methane (Tris)-HCl (pH 7.0), 0.1 M NaCl, 20% glycerol, 10 mM TCEP and loaded onto SP Sepharose Fast Flow resin (GE Life Sciences). The resin was washed with 40 ml of this low-salt buffer, and the protein eluted with 5 ml of activity buffer containing 50 mM tris(hydroxymethyl)-amino methane (Tris)-HCl (pH 7.0), 0.5 M NaCl, 20% glycerol, 10 mM TCEP. The eluted proteins were quantified by SDS–PAGE.

In vitro transcription of sgRNAs. Linear DNA fragments containing the T7 promoter followed by the 20-bp sgRNA target sequence were transcribed in vitro using the primers listed in the Supplementary Information with the TranscripTaid T7 High Yield Transcription Kit (ThermoFisher Scientific) according to the manufacturer’s instructions. sgRNA products were purified using the MEGAclear Kit (ThermoFisher Scientific) according to the manufacturer’s instructions and quantified by UV absorbance.

Preparation of Cy3-conjugated dsDNA substrates. Sequences of 80-nt unlabeled strands are listed in the Supplementary Information and were ordered as PAGE-purified oligonucleotides from IDT. The 25-nt Cy3-labeled primer listed in the Supplementary Information is complementary to the 3’ end of each 80-nt substrate. This primer was ordered as an HPLC-purified oligonucleotide from IDT. To generate the Cy3-labeled dsDNA substrates, the 80-nt strands (5 μl of a 100 μM solution) were combined with the Cy3-labeled primer (5 μl of a 100 μM solution) in NEBuffer 2 (38.25 μl of a 50 mM NaCl, 10 mM Tris-HCl, 10 mM MgCl2, 1 mM DTT, pH 7.9 solution, New England Biolabs) with dNTPs (0.75 μl of a 100 μM solution) and heated to 95 °C for 5 min, followed by a gradual cooling to 45 °C at a rate of 0.1 °C per s. After this annealing period, Klenow exo− (5 U, New England Biolabs) was added and the reaction was incubated at 37 °C for 1 h. The solution was diluted with buffer (250 μl, Qiagen) and isopropanol (50 μl) and purified on a QIAprep spin column (Qiagen), eluting with 50 μl of Tris buffer.

Deaminase assay on dsDNA. The purified fusion protein (20 μl of a 1.9 μM in activity buffer) was combined with 1 equivalent of appropriate sgRNA and incubated at ambient temperature for 5 min. The Cy3-labeled dsDNA substrate was added to final concentration of 125 nM and the resulting solution was incubated at 37 °C for 2 h. The dsDNA was separated from the fusion by the addition of buffer (200 μl, Qiagen) and isopropanol (25 μl) and purified on an EconoSpin micro spin column (Econo Spin Life Science), eluting with 20 μl of Tris buffer. The resulting edited DNA (1 μl was used as a template) was amplified by PCR using the high-throughput sequencing primer pairs specified in the Supplementary Information and VeraSeq Ultra (Enzymatics) according to the manufacturer’s instructions with 13 cycles of amplification. PCR reaction products were purified using RapidTips (Difﬁnity Genomics), and the purified DNA was amplified by PCR with primers containing sequencing adapters, puriﬁed, and sequenced on a MiSeq high-throughput DNA sequencer (Illumina) as previously described12.

Cell culture. HEK293T (ATCC CRL-3126) and U2OS (ATCC HTB-96) were maintained in Dulbecco’s Modiﬁed Eagle’s Medium plus GlutaMax (ThermoFisher) supplemented with 10% (v/v) fetal bovine serum (FBS), at 37 °C with 5% CO2. HCC1954 cells (ATCC CRL-2338) were maintained in RPMI-1640 medium (ThermoFisher Scientiﬁc) supplemented as described above. Immortalized mouse astrocytes containing the APOE4 isoform of the APOE gene (Taconic Biosciences) were cultured in Dulbecco’s Modiﬁed Eagle’s Medium plus GlutaMax (ThermoFisher Scientiﬁc) supplemented with 10% (v/v) fetal bovine serum (FBS) and 200 μg/ml L-Geneticin (ThermoFisher Scientific).

Transfections. HEK293T cells were seeded on 48-well collagen-coated BioCoat plates (Corning) and transfected at approximately 85% confluency. Briefly, 750 ng of BE and 250 ng of sgRNA expression plasmids were transfected using 1.5 μl of Lipofectamine 2000 (ThermoFisher Scientiﬁc) per well according to the manufacturer’s protocol.

Astrocyes, U2OS, HCC1954 and HEK293T cells were transfected using appropriate Lipofectamine 2000 or Lipofectamine NEONor II transfections according to manufacturer’s instructions (basic glial cell, V, V, and V kits using programs T-020, X-001, X-005, and Q-001 for astrocytes, U2OS, HCC1954, and HEK293T cells, respectively). 40 ng of irf670 (Addgene plasmid 45457)33 was added to the nucleofection solution to assess nucleofection efficiencies in these cell lines. Astrocytes and HCC1954 cells were filtered through a 40 μm strainer (Fisher Scientiﬁc) after harvesting, and the nucleofected cells were collected on a Beckman Coulter MoFlo XDP Cell Sorter using the IRFP signal (absorbance 643 nm, emission 670 nm). The U2OS and HEK293T cells were used without enrichment of nucleofected cells.

High-throughput DNA sequencing of genomic DNA samples. Transfected cells were harvested after 24 h using TRIzol (ThermoFisher) according to the manufacturer’s instructions. On-target and off-target genomic regions of interest were ampliﬁed by PCR with ﬂanking high-throughput sequencing primer pairs listed in the Supplementary Information. PCR ampliﬁcation was carried out with
Phusion high-fidelity DNA polymerase (ThermoFisher) according to the manufacturer’s instructions using 5 ng of genomic DNA as a template. Cycle numbers were determined separately for each primer pair as to ensure the reaction was stopped in the linear range of amplification (30, 28, 28, 32, and 32 cycles for EMX1, FANCF, HEK293 site 2, HEK293 site 3, HEK293 site 4, and RNF2 primers, respectively). PCR products were purified using RapidTips (Diffinity Genomics). Purified DNA was amplified by PCR with primers containing sequencing adapters. The products were gel purified and quantified using the Quant-iT PicoGreen dsDNA Assay Kit (ThermoFisher) and KAPA Library Quantification Kit-Illumina (KAPA Biosystems). Samples were sequenced on an Illumina MiSeq as previously described.

Data analysis. Sequencing reads were automatically demultiplexed using MiSeq Reporter (Illumina), and individual FASTQ files were analysed with a custom Matlab script provided in the Supplementary Information. Each read was pairwise aligned to the appropriate reference sequence using the Smith-Waterman algorithm. Base calls with a Q-score below 31 were replaced with Ns and were thus excluded in calculating nucleotide frequencies. This treatment yields an expected MiSeq base-calling error rate of approximately 1 in 1,000. Aligned sequences in which the read and reference sequence contained no gaps were stored in an alignment table from which base frequencies could be tabulated for each locus.

Indel frequencies were quantified with a custom Matlab script shown in the Supplementary Information using previously described criteria. Sequencing reads were scanned for exact matches to two 10-bp sequences that flank both sides of a window in which indels might occur. If no exact matches were located, the read was excluded from analysis. If the length of this indel window exactly matched the reference sequence the read was classified as not containing an indel. If the indel window was two or more bases longer or shorter than the reference sequence, then the sequencing read was classified as an insertion or deletion, respectively.

31. Mali, P. et al. Cas9 transcriptional activators for target specificity screening and paired nickases for cooperative genome engineering. Nature Biotechnol. 31, 833–838 (2013).
32. Pattanayak, V. et al. High-throughput profiling of off-target DNA cleavage reveals RNA-programmed Cas9 nuclease specificity. Nature Biotechnol. 31, 839–843 (2013).
33. Shcherbakova, D. M. & Verkhusha, V. V. Near-infrared fluorescent proteins for multicolor in vivo imaging. Nature Methods 10, 751–754 (2013).
Extended Data Figure 1 | Effects of deaminase, linker length, and linker composition on base editing.  

**a**, Gel-based deaminase assay showing activity of rat APOBEC1 (rAPOBEC1), lamprey CDA1 (pmCDA1), human AID (hAID), human APOBEC3G (hAPOBEC3G), rAPOBEC1-GGS-dCas9, rAPOBEC1-(GGS)₃-dCas9, and dCas9-(GGS)₃-rAPOBEC1 on ssDNA. Enzymes were expressed in a mammalian cell lysate-derived in vitro transcription-translation system and incubated with 1.8 μM dye-conjugated ssDNA and USER enzyme (uracil DNA glycosylase and endonuclease VIII) at 37 °C for 2 h. The resulting DNA was resolved on a denaturing polyacrylamide gel and imaged. The positive control is a sequence with a U synthetically incorporated at the same position as the target C.  

**b**, Coomassie-stained denaturing PAGE of the expressed and purified proteins used in c–f.  

**c–f**, Gel-based deaminase assay showing the deamination window of base editors with deaminase–Cas9 linkers of GGS (c), (GGS)₃ (d), XTEN (e), or (GGS)₇ (f). Following incubation of 1.85 μM deaminase–dCas9 fusions complexed with sgRNA with 125 nM dsDNA substrates at 37 °C for 2 h, the dye-conjugated DNA was isolated and incubated with USER enzyme at 37 °C for 1 h to cleave the DNA backbone at the site of any Us. The resulting DNA was resolved on a denaturing polyacrylamide gel, and the dye-conjugated strand was imaged. Each lane is numbered according to the position of the target C within the protospacer, or labelled with ‘–’ if no target C is present. 8U is a positive control sequence with a U synthetically incorporated at position 8. For uncropped gel data, see Supplementary Fig. 1.
BE1 is capable of correcting disease-relevant mutations in vitro. a, Protospacer and PAM sequences (blue) of seven disease-relevant mutations. The disease-associated target C in each case is indicated with a subscripted number reflecting its position within the protospacer. For all mutations except both APOE4 SNPs, the target C resides in the template (non-coding) strand. b, Deaminase assay showing each dsDNA 80-mer oligonucleotide before (−) and after (+) incubation with BE1, DNA isolation, and incubation with USER enzymes to cleave DNA at positions containing U. Positive control lanes from incubation of synthetic oligonucleotides containing U at various positions within the protospacer with USER enzymes are shown with the corresponding number indicating the position of the U. Editing efficiencies were quantitated by dividing the intensity of the cleaved product band by that of the entire lane for each sample. For uncropped gel data, see Supplementary Fig. 1.
Extended Data Figure 3 | Processivity of BE1. The protospacer and PAM (blue) of a 60-mer DNA oligonucleotide containing eight consecutive Cs is shown at the top. The oligonucleotide (125 nM) was incubated with BE1 (2 μM) for 2 h at 37 °C. The DNA was isolated and analysed by high-throughput sequencing. Shown are the percent of total reads for the most frequent nine sequences observed. The vast majority of edited strands (>93%) have more than one C converted to T.

| sequence          | % of total reads |
|-------------------|------------------|
| ...CCCCCC...      | 62.4             |
| ...TTTTTTCC...    | 18.2             |
| ...TTTTTTTC...    | 13.4             |
| ...TTTTTTTT...    | 3.3              |
| ...TCCCCCCC...    | 0.8              |
| ...CCCCTTCC...    | 0.3              |
| ...CCCTTTCC...    | 0.3              |
| ...TTTTTCCC...    | 0.3              |
| ...CCCCCTCCC...   | 0.3              |
Extended Data Figure 4 | BE1 base editing efficiencies are strikingly decreased in mammalian cells. a, Protospacer (black and red) and PAM (blue) sequences of the six mammalian cell genomic loci targeted by base editors. Target Cs are indicated in red with subscripted numbers corresponding to their positions within the protospacer. b, Synthetic 80-mers with sequences matching six different genomic sites were incubated with BE1 then analysed for base editing by high-throughput sequencing. For each site, the sequence of the protospacer is indicated to the right of the name of the site, with the PAM highlighted in blue. Underneath each sequence are the percentages of total DNA sequencing reads with the corresponding base. We considered a target C as ‘editable’ if the in vitro conversion efficiency is >10%. Note that maximum yields are 50% of total DNA sequencing reads since the non-targeted strand is unaffected by BE1. Values are shown from a single experiment.

b, HEK293 cells were transfected with plasmids expressing BE1 and an appropriate sgRNA. Three days after transfection, genomic DNA was extracted and analysed by high-throughput sequencing at the six loci. Cellular C to T conversion percentages, defined as the percentage of total DNA sequencing reads with Ts at the target positions indicated, are shown for BE1 at all six genomic loci. Values and error bars of all data from HEK293 cells reflect the mean and standard deviation of three independent biological replicates performed on different days.

© 2016 Macmillan Publishers Limited. All rights reserved
Extended Data Figure 5 | Base editing efficiencies of BE2 in U2OS and HEK293T cells. Cellular C to T conversion percentages by BE2 are shown for each of the six targeted genomic loci in HEK293T cells and U2OS cells. HEK293T cells were transfected using Lipofectamine 2000, and U2OS cells were nucleofected. Three days after plasmid delivery, genomic DNA was extracted and analysed for base editing at the six genomic loci by HTS. Values and error bars reflect the mean and standard deviation of two (U2OS) or three (HEK293T) biological experiments performed on different days.
Extended Data Figure 6 | Base editing persists over multiple cell divisions. Cellular C to T conversion percentages by BE2 and BE3 are shown for HEK293 sites 3 and 4 in HEK293T cells before and after passaging the cells. HEK293T cells were nucleofected with plasmids expressing BE2 or BE3 and an sgRNA targeting HEK293 site 3 or 4. Three days after nucleofection, the cells were harvested and split in half. One half was subjected to high-throughput sequencing analysis, and the other half was allowed to propagate for approximately five cell divisions, then harvested and subjected to high-throughput sequencing analysis. Values and error bars reflect the mean and standard deviation of two biological experiments performed on different days.
Extended Data Figure 7 | Non-target C/G mutation rates. Shown here are the C to T and G to A mutation rates at 3,200 distinct cytosines and guanines surrounding the six on-target and 34 off-target loci tested, representing a total of 14,700,000 sequence reads derived from approximately $1.8 \times 10^6$ cells. a, Cellular non-target C to T and G to A conversion percentages by BE1, BE2, and BE3 are plotted individually against their positions relative to a protospacer for all 3,200 cytosines/guanines. The side of the protospacer distal to the PAM is designated with positive numbers, while the side that includes the PAM is designated with negative numbers. b, Average non-target cellular C to T and G to A conversion percentages by BE1, BE2, and BE3 are shown, as well as the highest and lowest individual conversion percentages.
Extended Data Figure 8 | Additional data sets of BE3-mediated correction of two disease-relevant mutations in mammalian cells. For each site, the sequence of the protospacer is indicated to the right of the name of the mutation, with the PAM highlighted in blue and the base responsible for the mutation indicated in red bold with a subscripted number corresponding to its position within the protospacer. The amino acid sequence above each disease-associated allele is shown, together with the corrected amino acid sequence following base editing in green. Underneath each sequence are the percentages of total sequencing reads with the corresponding base.

**a**, The Alzheimer’s disease-associated APOE4 allele is converted to APOE3r in mouse astrocytes by BE3 in 58.3% of total reads only when treated with the correct sgRNA. Two nearby Cs are also converted to Ts, but with no change to the predicted sequence of the resulting protein. Identical treatment of these cells with wild-type Cas9 and a 200-nt ssDNA donor results in 0.2% correction, with 26.7% indel formation.

**b**, The cancer-associated p53 Y163C mutation is corrected by BE3 in 3.3% of nucleofected human breast cancer cells only when treated with the correct sgRNA. Identical treatment of these cells with wild-type Cas9 and donor ssDNA results in no detectable mutation correction with 8.0% indel formation.
Extended Data Figure 9 | Genetic variants from ClinVar that, in principle, can be corrected by base editing. The NCBI ClinVar database of human genetic variations and their corresponding phenotypes (see main text ref. 4) was searched for genetic diseases that can be corrected by current base editing technologies. The results were filtered by imposing the successive restrictions listed on the left. The x axis shows the number of occurrences satisfying that restriction and all above restrictions on a logarithmic scale.
## Extended Data Table 1  |  Indel formation following treatment of HEK293T cells with BE1, BE2, BE3, or wild-type Cas9 plus a ssDNA template for HDR

| Indel (%) | Indel (%) | Indel (%) | Indel (%) | Indel (%) | Indel (%) |
|-----------|-----------|-----------|-----------|-----------|-----------|
| untreated | 0.01±0.00 | 0.00±0.00 | 0.00±0.00 | 0.00±0.00 | 0.00±0.00 |
| BE1       | 0.04±0.05 | 0.11±0.13 | 0.02±0.04 | 0.00±0.00 | 0.00±0.00 |
| BE2       | 0.01±0.00 | 0.01±0.01 | 0.09±0.09 | 0.00±0.00 | 0.00±0.00 |
| BE3       | 1.34±0.35 | 1.47±0.93 | 0.62±0.35 | 0.91±1.07 | 0.95±1.64 |
| Cas9 + HDR| 2.38±0.89 | 3.26±0.22 | 7.14±0.96 |           |           |

Indel frequencies were calculated as described in the Methods following treatment of HEK293T cells with BE1, BE2, and BE3 for all six genomic loci, or with wild-type Cas9 and a ssDNA template for HDR at three of the six sites (EMX1, HEK293 site 3, and HEK293 site 4). Values reflect the mean and standard deviation of at least three independent biological replicates performed on different days.