Infrequent Denture Cleaning Increased the Risk of Pneumonia among Community-dwelling Older Adults: A Population-based Cross-sectional Study

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Pneumonia is a leading cause of death among older adults. The effectiveness of oral care in preventing pneumonia in nursing homes and hospitals has been reported. However, in community-dwelling older adults, the role of denture cleaning in preventing pneumonia remains unknown. We aimed to investigate the association between infrequent denture cleaning and the risk of pneumonia in community-dwelling older adults. This cross-sectional study was based on the self-reported questionnaire targeting community-dwelling older adults aged ≥ 65 years. Responses of 71,227 removable full/partial denture users were included. The incidence of pneumonia within the last one-year and the frequency of denture cleaning (daily/non-daily) were treated as dependent and independent variables, respectively. The odds ratio (OR) and 95% confidence interval (CI) were calculated by the inverse probability weighting (IPW) method based on the logistic regression model. The mean age of the participants was 75.2 ± 6.5 years; 48.3% were male. Overall, 4.6% of the participants did not clean their dentures daily; 2.3% and 3.0% who did and did not clean their dentures daily, respectively, experienced pneumonia. After IPW, infrequent denture cleaning was significantly associated with pneumonia incidence (OR = 1.30, 95% CI = 1.01–1.68). This study suggests that denture cleaning could prevent pneumonia among community-dwelling older adults.

Infrequent denture cleaning significantly increased the risk of pneumonia among community-dwelling older adults. Denture plaque, a biofilm composed of microorganisms, may contribute to aspiration pneumonia. Effective denture care is essential for preventing pneumonia in this population.
out in nursing homes and hospitals\textsuperscript{14,15}. However, the risk of aspiration pneumonia is considered to be high in community-dwelling older adults. To the best of our knowledge, no study has investigated the association between denture cleaning and pneumonia among community-dwelling older adults. From a public health viewpoint, as the majority of older adults are community-dwellers and not institutionalized, the prevention of pneumonia among community-dwelling older adults is important. In this study, we investigate whether infrequent denture cleaning is associated with the risk of developing pneumonia among community-dwelling older adults.

### Methods

#### Settings and participants.
This cross-sectional study is based on a self-reported questionnaire. The data were obtained from the survey of the 2016 Japan Gerontological Evaluation Study (JAGES). JAGES targeted the community-dwelling older adults aged ≥65 years, who were not certified to be eligible for long term public care. Information on social, behavioral, and health factors were collected. JAGES in 2016 was conducted in 39 municipalities in Japan. The questionnaire was sent by post and was retrieved by mail.

#### Dependent variable.
We used the self-reported incidence of pneumonia within the last one-year as a dependent variable. We asked the question “Did you experience the following diseases within the last one year?” Those who answered “pneumonia” were considered to be the individuals who suffered from pneumonia within the last one year.

#### Independent variable.
We used the frequency of denture cleaning as an independent variable. To those who used removable dentures, we asked the question “Do you clean your dentures daily?”; the choices provided were “Yes” or “No.” We defined people chose “Yes” as those who cleaned their denture daily and “No” as those who cleaned their dentures infrequently (non-daily).

#### Covariates.
We selected possible cofounders as covariates based on previous studies and clinical knowledge\textsuperscript{16,17}; this included age, sex, smoking status, educational status, equivalent income, number of teeth, activities of daily living (ADL), comorbidity related to stroke or dementia, and experience of pneumococcal vaccination within last five-year.

#### Statistical analysis.
We estimated the propensity score for the independent variable. The stabilized average treatment effect (ATE) on the risk of pneumonia was calculated using the inverse probability weighting (IPW) method. To predict the propensity score for infrequent denture cleaning, we used the logistic regression model; all the covariates were included as possible confounders and the stabilized ATE weight was calculated. The stabilized ATE weight was used to avoid instability of the estimated effect size due to extreme weighting\textsuperscript{18}. We compared the standardized difference between the categories of independent variable before and after stabilized ATE weighting\textsuperscript{19,20}. The standardized difference was used to check the balance of the covariates between the treated and control groups. If standardized difference of all covariates was <0.1 after weighting, it was regarded as well balanced. We developed the logistic regression model; the odds ratios (ORs) and 95% confidence intervals (95% CIs) were calculated using IPW with stabilized ATE weights (stabilized ATE-IPW). For missing responses, we presumed that the missing pattern of the original data set was missing at random. Multiple imputation by chained equation (MICE) was used to generate 20 imputed datasets. We calculated the stabilized ATE weighted OR for each data set and combined all estimators by Rubin’s rule\textsuperscript{21}. In the sensitivity analysis, the participants were stratified into two age groups (<75 or ≥75 years) for IPW. Then, the interaction effect of age and frequency of denture cleaning was confirmed by using the relative excess risk due to interaction (RERI) as additive scales and the ratio of OR as multiplicative scale between them\textsuperscript{22}. We used Stata/MP version 15 (Stata Corp., College Station, TX, USA) for statistical analysis.

#### Ethical issue.
In this study, the process of obtaining informed consent was as follows: the questionnaire was sent by mail along with the explanation of the study; the participants read the written explanation about the aim of study and replied. Hence, we considered that informed consent was provided by those who replied and sent back the questionnaire. The JAGES protocol in 2016 was approved by the ethics committee of National Center for Geriatrics and Gerontology (No. 992) and the ethics committee of Chiba University (No. 2493). We followed the STROBE Statement to report our observational study.

### Results
From a target population of 279,661, 180,021 individuals participated in the survey (response rate = 70.2%). Of these, 88,994 (49.4%) participants who used removable dentures (including both removable full/partial dentures) were included in this analysis. However, 17,767 participants with missing information regarding the dependent variable were excluded. Finally, data of 71,227 participants were included in the analysis. Table 1 shows the characteristics of the participants. The mean age was 75.2 years (SD = 6.5); 48.3% were male. Overall, 2.3% (n = 1,666) and 97.7% (n = 69,561) of the participants, respectively, did and did not experience pneumonia within the last one year.

Table 2 shows the proportion of participants who experienced pneumonia based on the frequency of denture cleaning and stratified by age group. Pneumonia was more prevalent among the participants who did not clean their dentures daily, especially those aged ≥75 years. Among these participants aged ≥75 years, 2.9% and 4.3% of those who did and did not clean their dentures daily, respectively, experienced pneumonia.

To reduce the possibility of selection bias, we estimated the propensity score for denture cleaning after MICE. After multiple imputation, the missing values of 22,020 participants were imputed. The propensity scores were predicted using the logistic regression model separately for the entire data (all participants) and stratified data (participants aged <75 years or ≥75 years) for each imputed data sets. After using stabilized ATE weight, the
## Characteristics of the participants (n = 71,227)

| Characteristics                        | All participants (n = 71,227) | Experienced pneumonia within last one-year (n = 1,666) | Not experienced pneumonia within last one-year (n = 69,561) |
|----------------------------------------|-------------------------------|-------------------------------------------------------|------------------------------------------------------------|
| **Frequency of denture cleaning**      |                               |                                                       |                                                            |
| Daily                                  | 67,208 (94.4)                 | 1,547 (92.9)                                          | 65,661 (94.4)                                              |
| Non-daily                              | 3,293 (4.6)                   | 100 (6.0)                                             | 3,193 (4.6)                                                |
| Missing                                | 726 (1.0)                     | 19 (1.1)                                              | 707 (1.0)                                                  |
| **Age**                                |                               |                                                       |                                                            |
| 65–69 years                            | 16,770 (23.5)                 | 248 (14.9)                                            | 16,522 (23.8)                                             |
| 70–74 years                            | 18,579 (26.1)                 | 365 (21.9)                                            | 18,214 (26.2)                                             |
| 75–79 years                            | 17,347 (24.4)                 | 425 (25.5)                                            | 16,922 (24.3)                                             |
| 80–84 years                            | 11,858 (16.6)                 | 369 (22.2)                                            | 11,489 (16.5)                                             |
| ≥85 years                              | 6,673 (9.4)                   | 259 (15.6)                                            | 6,414 (9.2)                                                |
| **Sex**                                |                               |                                                       |                                                            |
| Male                                   | 34,393 (48.3)                 | 984 (59.1)                                            | 33,409 (48.0)                                             |
| Female                                 | 36,825 (51.7)                 | 682 (40.9)                                            | 36,143 (52.0)                                             |
| Missing                                | 9 (0.0)                       | 0 (0.0)                                               | 9 (0.0)                                                    |
| **Education**                          |                               |                                                       |                                                            |
| ≤9 years                               | 25,133 (35.3)                 | 706 (42.4)                                            | 24,427 (35.1)                                             |
| 10–12 years                            | 28,513 (40.0)                 | 596 (35.8)                                            | 27,917 (40.1)                                             |
| ≥13 years                              | 16,611 (23.3)                 | 331 (19.9)                                            | 16,280 (23.4)                                             |
| Missing                                | 970 (1.4)                     | 33 (2.0)                                              | 937 (1.4)                                                  |
| **Equivalent income (100 JPY ≈ 1 USD)**|                               |                                                       |                                                            |
| <1,000,000 JPY                         | 7,568 (10.6)                  | 230 (13.8)                                            | 7,338 (10.6)                                              |
| 1,000,000–1,999,999 JPY                | 21,017 (29.5)                 | 455 (27.3)                                            | 20,562 (29.6)                                             |
| 2,000,000–2,999,999 JPY                | 11,401 (16.8)                 | 274 (16.5)                                            | 13,127 (18.9)                                             |
| 3,000,000–3,999,999 JPY                | 8,055 (11.3)                  | 124 (7.4)                                             | 7,931 (11.4)                                              |
| ≥4,000,000 JPY                         | 5,701 (8.0)                   | 117 (7.0)                                             | 5,584 (8.0)                                               |
| Missing                                | 970 (1.4)                     | 466 (28.0)                                            | 937 (1.4)                                                  |
| **Smoking status**                     |                               |                                                       |                                                            |
| Never                                  | 39,027 (54.8)                 | 702 (42.2)                                            | 38,325 (55.1)                                             |
| Quite                                  | 22,368 (31.4)                 | 772 (46.3)                                            | 21,596 (31.1)                                             |
| Current                                | 8,726 (12.3)                  | 145 (8.7)                                             | 8,581 (12.3)                                              |
| Missing                                | 1,106 (1.5)                   | 47 (2.8)                                              | 1,059 (1.5)                                               |
| **Dementia**                           |                               |                                                       |                                                            |
| Yes                                    | 484 (0.7)                     | 16 (1.0)                                              | 468 (0.7)                                                  |
| No                                     | 68,468 (96.1)                 | 1,620 (97.2)                                          | 66,848 (96.1)                                             |
| Missing                                | 2,275 (3.2)                   | 30 (1.8)                                              | 2,245 (3.2)                                               |
| **Stroke**                             |                               |                                                       |                                                            |
| Yes                                    | 2,197 (3.1)                   | 76 (4.6)                                              | 2,121 (3.1)                                               |
| No                                     | 66,755 (93.7)                 | 1,560 (93.6)                                          | 65,195 (93.7)                                             |
| Missing                                | 2,275 (3.2)                   | 30 (1.8)                                              | 2,245 (3.2)                                               |
| **Activities of daily living**         |                               |                                                       |                                                            |
| No need for personal assistance        | 63,052 (88.5)                 | 1,300 (78.0)                                          | 61,752 (88.8)                                             |
| Require some personal assistance       | 4,787 (6.7)                   | 255 (15.3)                                            | 4,532 (6.5)                                               |
| Missing                                | 3,388 (4.8)                   | 111 (6.7)                                             | 3,277 (4.7)                                               |
| **Number of teeth**                    |                               |                                                       |                                                            |
| 0                                      | 10,620 (14.9)                 | 337 (20.2)                                            | 10,283 (14.8)                                             |
| 1–4                                    | 7,577 (10.6)                  | 217 (13.0)                                            | 7,360 (10.6)                                              |
| 5–9                                    | 11,707 (16.4)                 | 299 (18.0)                                            | 11,408 (16.4)                                             |
| 10–19                                   | 20,687 (29.1)                 | 437 (26.2)                                            | 20,250 (29.1)                                             |
| ≥20                                    | 19,096 (26.8)                 | 320 (19.2)                                            | 18,776 (27.0)                                             |
| Missing                                | 1,540 (2.2)                   | 56 (3.4)                                              | 1,484 (2.1)                                               |
| **Experience of pneumococcal vaccination within last five-year** |                               |                                                       |                                                            |
| Yes                                    | 30,174 (42.4)                 | 1,016 (61.0)                                          | 29,158 (41.9)                                             |
| No                                     | 39,349 (55.2)                 | 565 (33.9)                                            | 38,784 (55.8)                                             |
| Missing                                | 1,704 (2.4)                   | 85 (5.1)                                              | 1,619 (2.3)                                               |

Table 1. Characteristics of the participants (n = 71,227).
Ref. between infrequent denture cleaning and the incidence of pneumonia was not observed among those aged ≥75 years (OR = 1.01–1.68). In addition, the sensitivity analysis based on stratification by age groups showed that infrequent denture cleaning was significantly associated with the occurrence of pneumonia among those aged ≥75 years (OR = 1.15–2.17). In contrast, a significant association between infrequent denture cleaning and the incidence of pneumonia was not observed among those aged <75 years (OR = 0.98, 95% CI = 0.64–1.50). However, the additive and multiplicative scale of interaction effect was not significant (Supplementary Table 2).

### Discussion

The present study revealed that infrequent denture cleaning was associated with the incidence of pneumonia within the last one year among community-dwelling older adults. This result suggests the importance of denture cleaning in reducing the risk of pneumonia among community-dwelling older adults. From the public health viewpoint, this is an important finding because the number of community-dwelling older adults is increasing in this aging world.

As mentioned in the introduction, previous studies suggested that oral hygiene including denture cleaning was associated with the incidence of pneumonia among nursing homes residents[11]; the present study showed a similar association among the community-dwelling older adults. A study conducted in nursing home reported a reduction of death due to pneumonia among older residents by oral care including denture cleaning[2]. We added that frequent denture care could reduce the incidence of pneumonia in community-dwelling older adults.

Denture plaque is composed from many species of bacteria and fungus; some of them are regarded as pathogen of pneumonia[4,5]. Infrequent denture cleaning causes accumulation of denture plaque[6], and therefore, the possibility of the pathogens reaching the lung by aspiration might increase[7]. Consequently, it may be presumed that the pathogens from denture plaque accumulated due to infrequent cleaning were aspirated and may have increased the risk of pneumonia. In the present analysis, a strong association was observed among those aged ≥75 years, although a statistical significance was not clearly observed. With advancing age, the immune system declines[8] and aspiration is more likely to occur in older adults rather than those who are younger[9]. The mortality rate of pneumonia is increasing among the older adults[2]. Therefore, the results of the present study are reasonable: those aged ≥75 years were more likely to develop pneumonia and the harmful effect of infrequent denture cleaning was stronger than that observed in younger participants. These results are supported by the biological explanations mentioned above. Further study considering the effect modification of dysphagia on the association between poor oral hygiene and pneumonia incidence would strengthen our explanation of the results of the present study.

The strength of this study was the inclusion of over 70,000 participants; this sample size was large enough to detect the association between infrequent denture cleaning and pneumonia. The incidence of pneumonia among community-dwelling older adults is lower than that in nursing homes where frail older adults live[10]. Therefore, it is difficult to have sufficient statistical power to detect the association in smaller epidemiological studies. This study, however, has several limitations. As this was a cross-sectional study, we could not evaluate the causal relationship between denture cleaning and pneumonia. However, it is less likely that the occurrence of pneumonia would lead to infrequent denture cleaning. In addition, the self-reported incidence of pneumonia causes reporting bias. However, the incidence of pneumonia in this study is similar to that previously reported[10]. Therefore, the reporting bias caused by the self-reporting of pneumonia was considered to be relatively small.

### Table 2

| Frequency of denture cleaning | Incidence of pneumonia within the last one year stratified by age groups |
|------------------------------|-------------------------------------------------------------------------|
|                              | 65–74 years (n = 35,062) | ≥75 years (n = 35,439) |
|                               | Daily | Non-daily | Daily | Non-daily |
| Yes                           | 575 (1.7) | 34 (1.9)  | 972 (2.9) | 66 (4.3) |
| No                            | 3,193 (97.0) | 1,720 (98.1) | 32,733 (98.3) | 32,928 (97.1) |

### Table 3

| Frequency of denture cleaning | All participants (n = 71,427) | 65–74 years (n = 35,349) | ≥75 years (n = 35,878) |
|------------------------------|--------------------------------|-------------------------|------------------------|
|                              | Stabilized ATE weighted | Stabilized ATE weighted | Stabilized ATE weighted |
|                               | OR (95% CI) | OR (95% CI) | OR (95% CI) |
| Daily                        | Ref. | Ref. | Ref. |
| Non-daily                    | 1.30 (1.01–1.68) | 0.98 (0.64–1.50) | 1.58 (1.15–2.17) |

Note: ATE = average treatment effect, OR = odds ratio, 95%CI = 95% confidence interval, Ref. = reference.
The self-reported independent variable, denture cleaning, also created bias. A wide variety of denture cleaning methods and techniques may be used by the participants. Our questionnaire could not obtain information on the details regarding the denture cleaning methods. However, this reporting bias could widen the 95% confidence interval of our estimates. Despite this situation, there was a significant association of denture cleaning with pneumonia; therefore, we consider the present results to be robust. Furthermore, those who died because of pneumonia were not included in this study. This selection bias is considered to cause an underestimation of the association between denture cleaning and pneumonia. In the present results, the benefit of denture cleaning was remarkable among only older adults aged ≥75 years. The individuals who died from pneumonia are considered to be frail and very old; therefore, the impact of denture cleaning on these individuals is larger than those who experienced pneumonia but are alive. The previous study revealed an association between denture wearing during sleep and pneumonia incidence among community-dwelling older adults18. The results of this previous study were similar to those from our study. There was a possibility of multicollinearity between denture wearing during sleep and infrequent denture cleaning. In our survey, a question about denture wearing during sleep was asked to only one-eighth of all participants (n = 8,316), so we did not include this variable in the present analysis to avoid decreasing the sample size. When analyzing this variable alone, we confirmed that the proportions of those wearing dentures during sleep were similar among those participants who did/did not clean their dentures daily (17.3% among those who cleaned their dentures daily and 18.5% among those who did not clean their dentures daily wore dentures during sleep; chi-square test, \( p = 0.544 \)). Therefore, infrequent denture cleaning is associated with pneumonia incidence and is independent of denture wearing during sleep.

Conclusion
The present study revealed that infrequent denture cleaning was associated with the incidence of pneumonia within the last one year among community-dwelling older adults. Daily cleaning of dentures may reduce the risk of pneumonia among community-dwelling older adults. In the chair side, dental professionals need to instruct their patients to keep their dentures clean to prevent pneumonia. Even for community-dwelling older adults, dental professionals should pay more attention to oral hygiene for pneumonia prevention.

Data Availability
All data needed to evaluate the conclusions in the paper are present in the paper and/or the Supplementary Materials. The JAGES data used in this study will be made available upon request. The authors require the applicant to submit an analysis proposal to be reviewed by an internal JAGES committee to avoid duplication. Confidentiality concerns prevent us from depositing our data in a public repository. Proposals submitted by outside investigators will be discussed during the monthly investigators’ meeting to ensure that there is no overlap with ongoing analyses. If approval to access the data is granted, the JAGES researchers will request the outside investigator to help financially support our data manager’s time to prepare the data for outside use.

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Acknowledgements
This study used data from JAGES (the Japan Gerontological Evaluation Study), and this work was supported by MEXT(Ministry of Education, Culture, Sports, Science and Technology-Japan)-Supported Program for the Strategic Research Foundation at Private Universities (2009–2013), JSPS(Japan Society for the Promotion of Science) KAKENHI Grant Numbers (18390200, 22330172, 22390400, 23243070, 23590786, 23790710, 24390469, 24530698, 24683018, 25253052, 25870573, 25870881, 26285138, 26882010,15H01972, 18KK0057, 19H03860), Health Labor Sciences Research Grants (H22-Chouju-Shitei-008, H24-Junkanki [Seishui]-Ippan-007, H24-Chikyukiko-Ippan-009, H24-Chouju-Wakate-009, H25-Kenki-Wakate-015, H25-Chouju-Ippan-003, H26-Iryy-Shitei-003 [Fukkou], H26-Chouju-Ippan-006, H27-Ninchisyou-Ippan-001, H28-chouju-Ippan-002, H28-Ninchisyou-Ippan-002, H30-Kenki-Ippan-006, H30-Junkankitou-Ippan-004), Japan Agency for Medical Research and development (AMED) (JP17dk0110017, JP18dk0110027, JP18ls011002, JP18le011009), the Research Funding for Longevity Sciences from National Center for Geriatrics and Gerontology (24-17, 24-23, 29-42). The views and opinions expressed in this article are those of the authors and do not necessarily reflect the official policy or position of the respective funding organizations.

Author Contributions
T.K.: conception and design. J.A.: conception and design, acquisition of data. T.Y., K.K., K.O.: acquisition of data. All authors: analysis and interpretation of data, drafting the article, critical revision and approval of final manuscript.

Additional Information
Supplementary information accompanies this paper at https://doi.org/10.1038/s41598-019-50129-9.

Competing Interests: The authors declare no competing interests.

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