A SINFONI view of flies in the Spiderweb: a galaxy cluster in the making

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ABSTRACT
The environment of the high-z radio galaxy PKS 1138−262 at z ∼ 2.2 is a prime example of a forming galaxy cluster. We use deep Spectrograph for INtegral Field Observations in the Near Infrared (SINFONI) integral field spectroscopy to perform a detailed study of the kinematics of the galaxies within 60 kpc of the radio core and we link this to the kinematics of the protocluster on the megaparsec scale. Identification of optical emission lines shows that 11 galaxies are at the redshift of the protocluster. The density of line emitters is more than an order of magnitude higher in the core of the protocluster with respect to the larger scale environment. This implies a galaxy overdensity in the core of δ_g ∼ 200 and a matter overdensity of δ_m ∼ 70; the latter is similar to that of the outskirts of local galaxy clusters. The velocity distribution of the confirmed satellite galaxies shows a broad, double-peaked velocity structure with σ = 1360 ± 206 km s⁻¹. A similar broad, double-peaked distribution was found in a previous study targeting the large-scale protocluster structure, indicating that a common process is acting on both small and large scales. Including all spectroscopically confirmed protocluster galaxies, a velocity dispersion of 1013 ± 87 km s⁻¹ is found. We show that the protocluster has likely decoupled from the Hubble flow and is a dynamically evolved structure. A comparison to the Millennium Simulation indicates that the protocluster velocity distribution is consistent with that of the most massive haloes at z ∼ 2, but we rule out that the protocluster is a fully virialized structure based on dynamical arguments and its X-ray luminosity. Comparison to merging haloes in the Millennium Simulation shows that the structure as observed in and around the Spiderweb galaxy is best interpreted as being the result of a merger between two massive haloes. We propose that the merger of two subclusters can result in an increase in star formation and active galactic nucleus activity in the protocluster core, therefore possibly being an important stage in the evolution of massive cD galaxies.

Key words: galaxies: clusters: individual: PKS 1138−262 – galaxies: evolution – galaxies: high-redshift – cosmology: observations – early Universe.

1 INTRODUCTION

Galaxy clusters are the densest large-scale environments in the known Universe and are therefore excellent laboratories for studying several of the key questions in present-day astronomy. The morphology–density relation (e.g. Dressler 1980) observed in local...
Deep *Hubble Space Telescope*(HST) imaging shows tens of satellite galaxies, many of which are thought to be merging with the central galaxy (Miley et al. 2006). The rest-frame far-ultraviolet (far-UV) continuum morphology of the radio galaxy is clumpy and disturbed, further strengthening the notion of strong active merging and has earned it the name ‘Spiderweb galaxy’. Such a complex morphology agrees qualitatively with predictions of hierarchical galaxy formation models (e.g. Saro et al. 2009).

Surrounding the central HzRG are overdensities of Lyα- and Hα-emitting galaxies, extremely red objects (EROs), X-ray emitters and submillimetre bright galaxies (P00; Stevens et al. 2003; Kurk et al. 2004a,b; Croft et al. 2005; Kodama et al. 2007; Zirm et al. 2008). Furthermore, Kurk et al. (2004a) has shown that the galaxies are spatially segregated, with the Hα-emitting galaxies and EROs being more centrally concentrated than the Lyα-emitting galaxies. H09 showed that if the many nearby satellites are truly located in the protocluster, then a fraction may merge with the radio galaxy before \( z = 0 \). Tidal stripping of these satellites could in turn lead to a substantial extended stellar halo as seen in local cD galaxies. Also, Hatch et al. (2008) provide evidence for *in situ* star formation between the individual clumps, indicating another possible method for forming such an extended stellar halo. All these aspects make the Spiderweb system a unique laboratory for studying not only important ingredients of massive galaxy formation, such as merging, downsizing and the effect of AGN feedback, but also the formation of galaxy clusters and the influence of the protocluster environment on galaxy evolution.

In this work, we present results obtained using deep integral field spectroscopy data of the core of the Spiderweb protocluster.1 Previous work on this particular region using integral field data has been done by Nesvadba et al. (2006) (hereinafter N06). The N06 study focused on the central radio core and its host galaxy, and found evidence for the presence of strong outflows with velocities of the order of \( \sim 2000 \) km s\(^{-1}\). These outflows are consistent with being powered by the AGN, indicating that AGN feedback plays an important role in expelling gas from galaxies, thus truncating star formation.

In this follow-up study, we focus on the immediate environment of the radio core and the satellite galaxies located within 60 kpc of it. We combine the integral field data with all available spectroscopic redshifts in the literature to obtain the best census of the cluster population to date. We also investigate the nature of such a protocluster structure by comparing our results to simulations. An in-depth study of the internal dynamics of the brightest individual satellites will be presented in an upcoming work (Kuiper et al., in preparation). Throughout this paper, we use a standard \( \Lambda \) cold dark matter (ΛCDM) cosmology with \( H_0 = 71 \) km s\(^{-1}\) Mpc\(^{-1}\), \( \Omega_M = 0.27 \) and \( \Omega_\Lambda = 0.73 \).

## 2 Data

We observed the Spiderweb galaxy (\( \alpha = 11^h40^m48.3^s, \delta = -26^\circ29'08.7'' \)) with the Spectrograph for INtegral Field Observations in the Near Infrared (SINFONI, Eisenhauer et al. 2003) in seeing limited mode on UT4 at the Very Large Telescope on 30 July 2018.

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1 There is no evidence that the radio galaxy is truly at the centre of the structure. However, the radio galaxy is a viable cD galaxy progenitor and the density of protocluster candidates around it is large. Therefore, for the sake of brevity, we refer to the SINFONI field as the ‘core’ of the protocluster.
several nights in 2007 December and 2008 February. SINFONI is a medium-resolution, image-slicing integral-field spectrograph that has a $8 \times 8\text{arcsec}^2$ field of view and a spectral resolution of approximately $R = 2000 - 4000$ depending on the band.

The field was observed in the J, H and K bands. Based on previous work (P00; Kurk et al. 2004a, hereinafter K04) the redshift of the protocluster is established to be $z \sim 2.15$. Therefore, the J band covers the $[\text{O\textsc{iii}}] \lambda \lambda 3726, 3729$ doublet, the $H$ band contains the $[\text{O\textsc{iii}}] \lambda \lambda 4959, 5007$ and $H\beta$ emission lines, and $H\alpha$, $[\text{N\textsc{ii}}] \lambda \lambda 6548, 6584$ and $[\text{S\textsc{ii}}] \lambda \lambda 6719, 6730$ are redshifted into the K band. The $H$ band was given more integration time as the blue star-forming region between galaxies #17 and #18 as #21. In H09, a bridge of red light was found at this location and this was interpreted as being a stream of gas or stars between two interacting galaxies. The SINFONI coverage does not include galaxies #2, #3, #15 and #16, and therefore these will not be discussed in this work. Galaxy #14 does have SINFONI coverage in the K band, but it is located at the edge of the field where data quality is poor. Therefore, galaxy #14 is also excluded from this work.

The contours in panels (b), (c) and (d) of Fig. 2 indicate the locations of line emission in each of the three bands. The contours have been produced for each satellite galaxy individually. This was done by creating a cut-out at the location of the satellite and summing for each pixel in the cut-out over the spectral range where evidence for line emission can be found. The resulting line image was used for calculating the contours.

As can be seen in Fig. 2, there are multiple sources of line emission, most of which are associated with the satellite galaxies. Some of the objects that show no clear evidence of line emission in single pixels do show evidence for line emission after summing the pixels associated with continuum emission in the ACS and NICMOS data. One or more emission lines consistent with $z \sim 2.15$ are detected for 11 galaxies. These galaxies are #1, #5, #6, #7, #8, #10, #11, #12, #13, #20 and #21. Fig. 3 shows the strongest emission lines for each of these confirmed satellites. The spectra for each of the galaxies have been obtained by summing the spectra of individual pixels with line emission. For the faint or initially undetected objects, all pixels within the seeing disc at the location of the galaxy have been summed. Sky spectra for the satellite galaxies are also shown in Fig. 3. These have been extracted using the same apertures as used for the individual galaxies and they give an indication of the location and severity of sky line contamination. No significant line emission is found for #4, #17, #18 and #19.

Fig. 3 also shows the spectrum of one galaxy (#9) that is identified as being a low-redshift interloper through the identification of $[\text{O\textsc{iii}}]$ and $H\alpha$ at $z = 1.677$. This is surprising, as previous studies have provided ample evidence for it being at the redshift of the protocluster. The Ly\alpha narrowband imaging of Penthericci et al. (1997) shows a significant and distinct source of emission at the location of galaxy #9 and subsequent spectroscopy detected an emission line that is consistent with being Ly\alpha at $z \sim 2.15$ (Kurk 2003). There are no strong emission lines at $z = 1.677$ that could mimic Ly\alpha at the protocluster redshift. It is therefore most likely that the emission at $\sim 3840\text{\AA}$ is Ly\alpha emission from the extended Ly\alpha halo surrounding the central radio galaxy rather than Ly\alpha emission from the galaxy itself.

In addition to #9, several of the other objects in the SINFONI field have been previously targeted for spectroscopy (Kurk 2003). Objects #1, #5/#6, #7, #10 and #11 have all been shown to have Ly\alpha emission at $z \sim 2.15$. However, a comparison between the results presented in this paper and those obtained from Ly\alpha spectroscopy shows large differences. Five out of six objects have velocities based on Ly\alpha that differ by 500–1500 km s$^{-1}$ with respect to the region between galaxies #17 and #18.

### Table 1. Details of the observations. Values for the seeing are measured from the standard star observations, with the uncertainties given by the rms of individual measurements. The difference between the seeing values for $\alpha$ and $\delta$ is a natural consequence of the SINFONI image slicer being in the light path.

| Band | Exposure time (s) | Seeing in $\alpha$ and $\delta$ (arcsec) | Coverage (arcsec$^2$) | Dispersion (Å pixel$^{-1}$) | Spectral resolution (Å/λ) |
|------|------------------|----------------------------------------|-----------------------|-----------------------------|---------------------------|
| J    | 16 200           | $0.9 \pm 0.3, 0.7 \pm 0.4$             | $13 \times 12.5$     | 1.5                         | 2000                      |
| H    | 45 600           | $0.9 \pm 0.3, 0.7 \pm 0.3$             | $16.5 \times 16.5$   | 2.0                         | 3000                      |
| K    | 28 800           | $0.9 \pm 0.2, 0.8 \pm 0.3$             | $15 \times 12.5$     | 2.5                         | 4000                      |

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All panels show a combined gIJH image of the field as covered by SINFONI. The pixel scale of the HST images has been matched to the pixel scale of the SINFONI data (0.125 arcsec pixel$^{-1}$). In panel (a), the numbers follow the labelling of the galaxies used by H09 which is also used in this work. The contours in panels (b), (c) and (d) show the locations of the line emission in the J, H and K bands, respectively. The colours of the contours indicate whether the emission is blueshifted or redshifted with respect to the radio galaxy. Each set of contours is obtained by summing over a narrow spectral window where line emission can be found. The line emission in question for the protocluster galaxies is the [O II] emission in the J band, [O III] emission in the H band and H$\alpha$ in the K band. The outermost and innermost contours indicate flux levels of $2.5 \times 10^{-19}$ and $45 \times 10^{-19}$ erg s$^{-1}$ cm$^{-2}$ Å$^{-1}$, respectively. The diamond symbols indicate objects that are too faint to yield proper contours, but do show the line emission in summed spectra. The velocity offset in km s$^{-1}$ with respect to the central radio galaxy ($z_{\text{sys}} = 2.1585$) is given for all objects that have line emission consistent with $z \sim 2.1$–2.2. Only object #11 shows consistent redshifts. The offsets found for the other objects do not indicate any systematic trend. This is in accordance with Pentericci et al. (1997) who found no evidence for ordered motion such as rotation. The resonant nature of the Ly$\alpha$ line can thus cause the measured redshift to deviate significantly from the true redshift. Therefore, caution must be used when interpreting the redshifts obtained through the spectroscopic confirmation of Ly$\alpha$ alone.

A full list of all detected emission lines and their corresponding redshifts, velocities and fluxes can be found in Table 2. The brightest galaxies for which emission lines are detected in individual pixels have been corrected for internal kinematic structure. This has been done by shifting the individual spectra such that the line centres in the individual pixels match the redshift of the galaxy as a whole. Uncertainties are calculated by varying the summed spectra using a normal distribution characterized by the rms noise of the spectrum in question. This is repeated 1000 times and the standard deviations of the resulting parameter distributions are taken as the 1$\sigma$ uncertainties.

### 3.2 Overdensity

The confirmation of 11 protocluster galaxies within a $\sim$60 kpc radius makes this field extraordinarily dense. The surface number density of the core region is $1.8 \times 10^2$ arcmin$^{-2}$ or $7 \times 10^{-4}$ kpc$^{-2}$ in physical units. This is likely a lower limit to the actual value as quiescent galaxies without line emission cannot be spectroscopically confirmed with the SINFONI data.

To illustrate the extreme denseness of the region around the Spiderweb galaxy, we compare the density in the SINFONI field to that of the larger protocluster field. Kurk et al. (2000) found a total of 50 Ly$\alpha$-emitting candidates in a 35.4-arcmin$^2$ field centred on the radio galaxy. In K04, a sample of 40 candidate H$\alpha$ emitters were identified within a field of $\sim$12 arcmin$^2$. Respectively, six and three of these line emitters are located in the SINFONI field of the Spiderweb galaxy.

2 #5, #6 and #10 were taken as part of #1 in K04 and therefore not identified as individual H$\alpha$ emitters. #8 and #13 were too faint to be included in the H$\alpha$ emitter sample.
Kinematics of a $z \sim 2$ protocluster core

Figure 3. Summed spectra of the galaxies in the SINFONI field that show emission lines. Only the strongest emission lines are shown. For the satellite galaxies, sky spectra are also shown in grey. The sky spectra have been extracted from locations close to the galaxies using the same apertures. For clarity, the emission lines have been labelled and patches of poor night-skyline residuals have not been plotted. 11 galaxies are identified as protocluster members and one galaxy ($\#9$) is identified as a foreground galaxy.

0.0756 arcmin$^2$. Thus, it is found that the SINFONI field is $\sim 56 \pm 10$ times denser than the protocluster as a whole for the Ly$\alpha$ emitters and $\sim 12 \pm 4$ times as dense for the H$\alpha$ emitters. Here the uncertainties are determined using Poisson statistics. Since the large-scale protocluster field is, respectively, $4 \pm 2$ and $14 \pm 2$ times denser than the field at $z \sim 2.2$ (Kurk et al. 2004b; Hatch et al. 2011b), this indicates that the protocluster core has a galaxy overdensity of $\sim 200$.

The bias factor of star-forming galaxies at high redshift is typically 1–5 (e.g. Adelberger et al. 1998; Giavalisco et al. 1998; Ouchi et al. 2004). Thus, for our $z \sim 2$ star-forming galaxies we assume a bias factor of 3, which is roughly consistent with the trend observed in Marinoni et al. (2005). This implies a matter overdensity in the core of $\sim 70$. Local galaxy clusters have matter overdensities at their virial radii of $\sim 100$. The matter overdensity in the core of the Spiderweb protocluster is thus similar to what is observed in the outskirts of local galaxy clusters.

Such core overdensities are rare, but not unique, among high-$z$ protoclusters. Out of the sample of eight protoclusters studied in Venemans et al. (2007), none has Ly$\alpha$ emitters within 60 kpc of...
Table 2. Line properties for each of the protocluster candidates. The systemic redshift is taken to be the redshift of #1 as measured from the [O iii] 2.5007 emission line.

| Object | Line | \( z \) | \( \nu \) (km s\(^{-1}\)) | Flux \(10^{-17}\) erg s\(^{-1}\) cm\(^{-2}\) Å\(^{-1}\) | 
|--------|------|--------|----------------|----------------------------------| 
| #1/RG  | [O ii] | 2.1590 ± 0.0002 | +40 | 128 ± 3 | 
|        | [Ne iii] \(\lambda 3869\) | 2.1595 ± 0.0010 | +10 | 35.9 ± 4.7 | 
|        | [Ne iii] \(\lambda 3968\) | 2.1555 ± 0.0015 | +10 | 16.7 ± 2.7 | 
|        | Hα  | 2.1590 ± 0.0002 | +47 | 50.2 ± 0.9 | 
|        | [O iii] \(\lambda 4959\) | 2.1584 ± 0.0001 | −15 | 137 ± 1 | 
|        | [O iii] \(\lambda 5007\) | 2.1585 ± 0.0001 | 0 | 477 ± 1 | 
| #4    | Hα  | 2.1585 ± 0.0001 | −5 | 582 ± 4\(^b\) | 
|        | [S ii] \(\lambda 6716\) | 2.1590 ± 0.0018 | +40 | 149 ± 4 | 
| #5    | [O iii] | 2.1701 ± 0.0016 | +1100 | 5.6 ± 0.8 | 
|        | [O iii] | 2.1695 ± 0.0004 | +1040 | 5.9 ± 0.7 | 
|        | Hα  | 2.1699 ± 0.0015 | +980 | 4.3 ± 0.7 | 
| #6    | [O iii] | 2.1686 ± 0.0018 | +950 | 5.8 ± 1.0 | 
|        | [O iii] | 2.1683 ± 0.0002 | +925 | 18.1 ± 1.1\(^c\) | 
|        | Hα  | 2.1706 ± 0.0005 | +1220 | 35.8 ± 2.2\(^c\) | 
| #7    | [O iii] | 2.1658 ± 0.0011 | +690 | 3.2 ± 0.9 | 
| #8    | [O iii] | 2.1437 ± 0.0007 | −1410 | 4.0 ± 0.7 | 
|        | Hα  | 2.1434 ± 0.0002 | −1440 | 5.5 ± 0.9 | 
| #9    | [O iii] | 1.6767 ± 0.0005 | − | 4.6 ± 0.6 | 
|        | Hα  | 1.6773 ± 0.0001 | − | 9.1 ± 0.6 | 
| #10   | [O iii] | 2.1443 ± 0.0006 | −1350 | 11.6 ± 1.4 | 
|        | [O iii] | 2.1446 ± 0.0001 | −1325 | 11.1 ± 1.0 | 
|        | Hα  | 2.1442 ± 0.0002 | −1360 | 10.4 ± 1.0 | 
| #11   | [O iii] | 2.1418 ± 0.0004 | −1590 | 6.4 ± 1.2 | 
|        | [O iii] | 2.1415 ± 0.0008 | −1620 | 2.6 ± 0.7 | 
|        | Hα  | 2.1409 ± 0.0004 | −1675 | 3.9 ± 0.6 | 
| #12   | [O iii] | 2.1447 ± 0.0023 | −1315 | 8.2 ± 1.5 | 
| #13   | Hα  | 2.1702 ± 0.0011 | +1110 | 2.6 ± 0.4 | 
| #17   |       | − | − | <1.7/0.6/1.0\(^d\) | 
| #18   |       | − | − | <1.1/0.6/0.7\(^d\) | 
| #19   |       | − | − | <0.7/0.4/0.6\(^d\) | 
| #20   | [O iii] | 2.1301 ± 0.0010 | −2700 | 0.9 ± 0.3 | 
| #21   | [O iii] | 2.1505 ± 0.0010 | −765 | 6.1 ± 0.7 | 

\(a\)Wherever possible the galaxies have been corrected for internal kinematic structure.

\(b\)Values given are for Hα+[N ii].

\(c\)The [S ii] doublet is unresolved.

\(d\)3σ upper limits in the J/H/K band for a FWHM of 500 km s\(^{-1}\).

\(e\)Lines likely contaminated by the emission from the central radio source.

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the radio galaxy.\(^3\) For the Hα emitters, only two other radio-galaxy protoclusters have been imaged. Tanaka et al. (2010a) found an overdensity of \(\delta_g = 4 \pm 2\) of Hα emitters around 4C23.56 (\(z \sim 2.48\)), but none was found in the direct vicinity of the radio galaxy. In another study by Hatch et al. (2011b), an overdensity of \(\delta_g = 12 \pm 2\) was found in the field of the radio galaxy 4C10.48 at \(z \sim 2.35\). A significant number of these were found close to the radio galaxy. The spatial distribution, however, shows a striking alignment of the galaxies with the radio jet, indicating that these objects may be experiencing jet-induced star formation. It is thus not clear whether the origin of the overdensity in 4C10.48 is of the same nature as that seen around the Spiderweb galaxy.

3.3 Velocity distribution

We have obtained redshifts for a large number of objects in the field. Before, protocluster candidacy was determined using Ly\(\alpha\) narrow-band imaging and follow-up spectroscopy, but as we have shown for satellite #9 in Section 3.1, the presence of the extended Ly\(\alpha\) halo can lead to incorrect redshifts. No extended optical line emission is seen at distances larger than \(\sim 15\) kpc from the radio core and thus these emission lines are likely to originate from the satellite galaxies.

The velocity distribution of the spectroscopically confirmed protocluster core galaxies is shown in the top panel of Fig. 4. Velocities are taken with respect to the systemic redshift of the radio galaxy. The detection of stellar absorption features would unequivocally set the redshift of the stellar content of the radio galaxy. However, none is found and therefore we rely on emission lines. As shown in N06, the internal dynamics of the central radio source covers a large range of velocities of \(\sim 1500\) km s\(^{-1}\) (2.158 < \(z < 2.173\)). This indicates the presence of strong outflows. It is therefore not trivial to assign a systemic redshift to this object. In this work, the systemic redshift (\(z = 2.1585\)) is chosen to be the redshift of the location of the HST continuum emission (zone 3 in N06). It may be that this region hosts an outflow that is blueshifted with respect to the stellar content of the galaxy. This means the actual redshift of the central radio source may be significantly higher,
protocluster core
emitters have a relatively 
emitters in the Spiderweb protocluster. Nine objects were con-
H ≤ 2.145
α
accretion rate estimates to spectroscopically confirm 14 objects as being 
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is found using the Gapper scale esti-
mator (Beers, Flynn & Gebhardt 1990).

A comparison of the velocity distribution to the results of previ-
ous studies shows both resemblances and differences. P00 used the 
Lyα emission line to spectroscopically confirm 14 objects as being 
at the protocluster redshift. All 14 objects are located outside the 
SINFONI field of view. They thus trace that the large-scale proto-
class structure and contamination from the extended Lyα halo is 
unlikely. Another study by K04 obtained spectroscopy on candidate 
Lyα emitters in the Spiderweb protocluster. Nine objects were 
firmed to be in the protocluster. Finally, the studies of Croft et al. 
(2005) and Doherty et al. (2010) have, respectively, confirmed two 
X-ray-emitting objects and two red galaxies to be part of the proto-
class structure. The resulting full velocity distribution, including 
all confirmed galaxies, is shown in the bottom panel of Fig. 4. For 
clarity, the distributions of P00 and K04 have been indicated by the 
blue and red hatched histograms, respectively.

First considering the P00 distribution, we see that even though 
these studies are fully independent and target different scales within 
the protocluster, the velocity distribution of the Lyα emitters is 
qualitatively the same as in the protocluster core. The subgroups in 
the P00 distribution are located at z = 2.145 ± 0.002 and 2.164 ± 
0.002, which are similar to the locations of the subgroups found in 
this work (see Table 2). The fact that both the large- and small-scale 
velocity distributions are broad and double-peaked indicates that 
there is a common process acting on both large and small scales.

Therefore, the evolution of the central Spiderweb galaxy and the 
larger protocluster structure may be linked. We will come back to 
this in Section 4.2.3.

In contrast to this, the K04 velocity distribution shows resemblance neither to the velocity distribution found in this study nor to that of P00. The nine confirmed Hα emitters have a relatively 
narrow velocity distribution with redshifts in the range 2.1463 < 
z < 2.1636. It is not clear why the Hα emitters show such a different 
velocity distribution, because the narrow-band transmission curve covers the entire velocity range spanned by the protocluster galaxies. Considering the poor number statistics, it may therefore be 
attributed to sampling. Nevertheless, the full distribution, including 
the velocities of all confirmed objects, is broad and yields a velocity 
dispersion of 1013 ± 87 km s⁻¹.

A Kolmogorov–Smirnov (KS) test was used to investigate 
whether the single- or double-component model fits the observa-
tions better. Although formally both models are consistent with 
the observed distribution, the probability that the two distributions 
match is 0.98 for the double-component model, whereas it is 0.66 
for the single-component model. Also, a normalized tail index (Bird 
& Beers 1993) yields 0.82 indicating that a uniform distribution better describes the complete distribution rather than a single Gaussian. Note that if the Spiderweb protocluster is indeed a double or merging 
system, then the comparisons to the results of Venemans et al. 
(2007) discussed above will yield significantly different results.

Another possible cause for the large velocity dispersion has been 
proposed by P00. P00 speculated that the double-peaked profile 
hints at a possible merger of two groups of galaxies. Several cases 
of merging clusters are known at low z and some do show a clear 
segregation in velocity space (e.g. Abell 1750, Abell 2744, Ramírez 
& Quintana 1990; Owers et al. 2011). Considering this possibility, 
we fit a double Gaussian to the full Spiderweb velocity distribu-
tion. We find that the two groups are separated by a velocity dif-
ference of 1600 km s⁻¹, and have velocity dispersions of 492 and 
417 km s⁻¹.

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system, then the comparisons to the results of Venemans et al. 
(2007) discussed above will yield significantly different results.

Could the subclustering found in the core of the protocluster be 
an artefact of the data? The wavelength range 15800 ≤ λ ≤ 15850 
(2.156 ≤ z ≤ 2.166) in the H band is one of the regions particularly 
affecting by poor night-skyline residuals. This may lead to the galax-
ies with weak optical emission lines in this particular wavelength 
range, being missed. If all of the four unconfirmed protocluster can-
didates are indeed in this specific range, then the core distribution 
would more closely resemble a flat distribution. However, we argue 
that the velocity distribution of the complete sample will remain 
broad and its shape will not change significantly.

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Figure 4. Top panel: velocity distribution of the confirmed protocluster members found in this work. Velocities are with respect to [ZnIII] of the radio core (#1). Two groups can be identified that are blueshifted and redshifted with respect to the radio galaxy. Bottom panel: velocity distribution of the full Spiderweb sample. The velocity distributions of P00 and K04 are indicated by the blue and red hatched regions, respectively.

Figure 4. A striking subclustering in the velocity distribution can be seen with two subgroups at Δv ~ −1500 km s⁻¹ and 
~+900 km s⁻¹. The large separation between the subgroups im-
plies a large velocity dispersion of the satellite galaxies as a whole. Assuming that the underlying distribution is a single Gaussian, a 
value of 1360 ± 206 km s⁻¹ is found using the Gapper scale esti-
mator (Beers, Flynn & Gebhardt 1990).

A comparison of the velocity distribution to the results of previ-
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the P00 distribution are located at z = 2.145 ± 0.002 and 2.164 ± 
0.002, which are similar to the locations of the subgroups found in 
this work (see Table 2). The fact that both the large- and small-scale 
velocity distributions are broad and double-peaked indicates that 
there is a common process acting on both large and small scales.
4 DISCUSSION

4.1 A galaxy cluster progenitor

The Spiderweb protocluster is known to harbour galaxy overdensities (see Section 1) and is therefore thought to evolve into a galaxy cluster. It is, however, not guaranteed that an overdensity at high \( z \) will evolve into a present-day massive galaxy cluster. To determine whether the Spiderweb protocluster is truly a forming galaxy cluster, we attempt to determine whether the dynamical state of the galaxies in the overdensity is significantly different from what is expected in field environments.

Shown in Fig. 5 are the velocity distributions of all spectroscopically confirmed galaxies that have been marked as line emitters in P00 and K04. Also plotted are the selection functions based on the transmission curves of the narrow-band filters used in those studies. These selection functions should be good approximations of the expected field velocity distributions. The H\( \alpha \) emitters shown in the bottom panel follow the expected distribution quite well. A KS test yields a probability of 20 per cent that the observed distribution is drawn from the expected velocity distribution. This indicates that we cannot conclude whether there is a significant difference between the two distributions. All of the 19 \( \text{Ly} \alpha \) emitters, however, are located at higher velocities than the mean velocity implied by the selection function. Applying a KS test, we determine that there is a 5 \( \times \) 10\(^{-4}\) probability that the \( \text{Ly} \alpha \) emitters in the overdensity are drawn from the expected field velocity distribution. Thus, the two distributions are different at the \( \sim 4.5\sigma \) level. From this we conclude that the \( \text{Ly} \alpha \) emitters are associated with the radio galaxy. In fact, this is likely the case for all the galaxies considered, since the \( \text{Ly} \alpha \) emitters cover the same velocity range.

These results also imply that the protocluster structure has dropped out of the Hubble flow. Assuming this is indeed the case, how does the dynamical state of the protocluster compare to that of the field if the Hubble flow is removed? To investigate this, we compare to galaxies that reside in field environments at \( z \sim 2 \) in the Millennium Simulation (Springel et al. 2005; De Lucia & Blaizot 2007). The Millennium Simulation traces cosmological evolution at a number of discrete redshift ‘snapshots’. The resulting field velocity distribution will therefore indicate the deviation from the Hubble flow. The redshift chosen for this purpose is \( z = 2.24 \), which is the snapshot closest to the redshift of the actual protocluster.

The total area probed by P00 is approximately 100 comoving Mpc\(^2\). To obtain proper statistics, we therefore select three separate boxes with sides of 50 comoving Mpc from the Millennium Simulation. Each of the \( \text{x}, \text{y}, \text{z} \) and \( \text{z} \) planes is subsequently divided into a grid of \( 10 \times 10 \) Mpc\(^2\) fields, thus yielding a total of 75 fields per box. To ensure that no large-scale structures are present, we exclude all fields with number densities that satisfy \( n - \bar{n} > 2\sigma \), with \( \bar{n} \) the median number density. Approximately, 10 per cent of the fields are excluded this way. Changing this limit does not strongly influence the final result. We also apply a magnitude cut to the rest-frame \( R \)-band magnitude of the simulated galaxies. This cut is placed arbitrarily at \( R < 27 \) since varying the exact value of the cut between 26 < \( R \) < 30 does not alter any of the conclusions presented here.

A composite galaxy velocity distribution of the remaining fields is shown in Fig. 6. When compared to the protocluster distribution, it is seen that the composite field velocity distribution is relatively narrow. A KS test is used to determine whether the full Spiderweb velocity distribution is consistent with the field velocity distribution. A probability of 9 \( \times \) 10\(^{-7}\) is found, indicating that the two distributions differ at the \( \sim 5\sigma \) level. Applying a KS test to each of the individual field distributions shows that all differ at least at the \( 3\sigma \) level with 95 per cent of the fields differing at \( > 4\sigma \). Therefore, if the protocluster has indeed fully dropped out of the Hubble flow, then it differs significantly from what is expected from a field environment.

We conclude that the Spiderweb field is not only overdense, but also kinematically distinct from regions where the Hubble flow dominates. The Spiderweb protocluster is thus a dynamically evolved, collapsing (or collapsed) structure.

4.2 Formation scenarios

With the confirmation of a total of 38 galaxies at the redshift of the radio galaxy and from the fact that this is a dynamically evolved structure, it is apparent that the Spiderweb protocluster is the progenitor of a galaxy cluster. As it is still unclear how galaxy
clusters are formed, we review here two possible formation scenarios.

4.2.1 Single virialized structure

The first option we consider is the scenario in which the Spiderweb protocluster is a single virialized structure. This can be considered by comparing the velocity distribution presented in this paper to results obtained with the Millennium Simulation. Although we know little of the dark matter halo that hosts the protocluster, the dense nature of the Spiderweb field indicates that this is likely one of the most massive dark matter haloes at \( z \approx 2.15 \). We therefore investigate the properties of the 25 most massive dark matter haloes at \( z = 2.24 \) in the Millennium Simulation. These haloes have masses ranging from \( 0.75 \times 10^{14} \) to \( 1.4 \times 10^{14} \) M\(_{\odot}\) and galaxy velocity dispersions in the range of 450–900 km s\(^{-1}\). Visual inspection shows that one of the haloes is in the process of merging with another massive halo. This particular halo is not included in the comparison for the single-halo scenario.

Using a KS test we investigate whether the double-peaked structure found for the Spiderweb protocluster can be drawn from the velocity distributions obtained for the Millennium haloes. This is done for all three velocity components separately. Using the full Spiderweb velocity distribution, we find that 19 haloes yield probabilities indicating that they are different from the protocluster distribution at at least the 2\( \sigma \) level. The remaining six haloes yield probabilities of \( \sim 10 \) per cent. The possibility that the Spiderweb protocluster is a single massive structure can therefore not be wholly excluded on these grounds.

Another test for the virialized structure scenario is supplied by the protocluster mass. If virialized, it is possible to determine the mass contained within the area covered by the SINFONI field using (Small et al. 1998):

\[
M_{\text{vir}} = \frac{6}{G} \sigma^2 r_{\text{vir}}
\]

with \( \sigma \) the velocity dispersion obtained in Section 3.3 and \( r_{\text{vir}} \) the mean projected harmonic separation given by

\[
r_{\text{vir}} = \frac{\pi}{2} \frac{N(N - 1)}{2} \left( \sum_{i \neq j} \frac{1}{|r_i - r_j|} \right)^{-1}.
\]

Here \( N \) is the number of objects considered. Using \( \sigma = 1360 \) km s\(^{-1}\) and finding \( r_{\text{vir,core}} = 55 \) kpc, a dynamical mass of \( \sim 10^{14} \) M\(_{\odot}\) is found for the core region of the protocluster.

For the complete Spiderweb galaxy sample, the velocity dispersion is 1013 km s\(^{-1}\) and we approximate \( r_{\text{vir}} \) by using only the objects of P00 as the K04 and SINFONI objects are taken from data with smaller field of view: including these would therefore bias the radius to smaller values. We find that the virial radius of the Spiderweb protocluster is 1.2 Mpc, implying a total mass of \( \sim 2 \times 10^{15} \) M\(_{\odot}\).

Using the scaling relations presented in Rykoff et al. (2008) and the total protocluster mass of \( 2 \times 10^{15} \) M\(_{\odot}\), the X-ray luminosity of the cluster gas in the rest-frame 0.1–2.4 keV range can be calculated to be \( \sim 1.5 \times 10^{45} \) erg s\(^{-1}\). Assuming \( k_B T_X \sim 5-7 \) keV, we convert this to the range 2–10 keV and find \( (3-6) \times 10^{44} \) erg s\(^{-1}\). This can be compared to the upper limit on the X-ray luminosity of an extended cluster atmosphere of \( 1.5 \times 10^{44} \) erg s\(^{-1}\) presented in Carilli et al. (2002). If the protocluster is indeed virialized, it would have been detected. This has been indicated in K04 as well. Furthermore, a total mass of \( \sim 10^{15} \) M\(_{\odot}\) would rank this halo among the most massive known haloes and the probability that such a massive halo exists at this redshift is negligible according to CDM cosmology (e.g. Jee et al. 2009). We thus conclude that the protocluster is not a virialized structure. If only the core region were virialized, the expected X-ray luminosity would drop to \( 8 \times 10^{42} \) erg s\(^{-1}\). This possibility can therefore not be excluded.

Saro et al. (2009), who presented high-resolution hydrodynamical simulations of high-z protoclusters modelled after the Spiderweb system, also argued that the inner region of a Spiderweb-like protocluster (\( r < 400 \) kpc) can be virialized at \( z \sim 2 \). However, the velocity dispersion in the simulated cluster is significantly lower than what is presented in this study, making it unclear whether it is applicable to the Spiderweb system.

4.2.2 Merger of haloes

Given the broad double-peaked velocity distribution on both small and large scales, we now investigate whether it is possible that there are two separate structures in the Spiderweb field. These two structures are possibly in the act of merging with each other, thus creating a larger protocluster structure. We therefore consider the scenario where two haloes, each containing their own group or cluster of galaxies, merge to form a more massive structure.

One quantitative way to tell whether this scenario is feasible is to determine whether the velocity dispersion of \( >1000 \) km s\(^{-1}\) and velocity separation between the two groups of \( \sim 1600 \) km s\(^{-1}\) can be reproduced by a merger of two massive dark matter haloes. For this comparison, the halo that was excluded before due to it being in the process of merging is used. Based on the number of dark matter particles, these haloes have masses of \( 2 \times 10^{13} \) and \( 6 \times 10^{13} \) M\(_{\odot}\).

To trace the velocity evolution, the galaxies belonging to both subhaloes are identified. The locations of the subhaloes are determined by visual inspection and all galaxies within 0.5 Mpc of the centres are assumed to belong to the respective subhaloes. These two groups of galaxies are subsequently traced from \( z = 2.4 \) to 1.5 and their median velocities relative to the velocity of the main dark matter halo are assessed.

In the left-hand panel of Fig. 7, we plot the velocity differences between the two haloes as a function of redshift for each of the three velocity components. The major merger occurs at \( z \sim 2 \) and around this time we see a maximum in the velocity difference for the \( x \)-component of approximately 1500 km s\(^{-1}\). This is comparable to the velocity difference found for the Spiderweb protocluster. The other velocity components show smaller velocity differences, but this is due to the merger being aligned along the \( x \)-axis. The \( x \)-component of the velocity therefore represents the velocity obtained in a line-of-sight merger event.

The middle panel of Fig. 7 shows the evolution of the velocity dispersion of the main halo as a function of redshift. This means that prior to the merger only the velocity dispersion of the most massive halo is considered and during and after the merger both involved haloes are included. We see that at the time of merger, the large velocity difference for the \( x \)-component results in a sharp increase in the velocity dispersion, reaching a maximum of \( \sim 900 \) km s\(^{-1}\). As with the velocity difference, this is similar to what is found for the Spiderweb protocluster. Another merger occurs at \( z \sim 1.6 \), leading to a similar sharp increase in the velocity dispersion.

The distribution of the \( x \)-component of the velocities for both haloes as well as the velocity distribution of the Spiderweb system is shown in the right-hand panel of Fig. 7. To facilitate comparison with the distributions shown in Fig. 4, the velocities...
Left-hand panel: velocity difference between two groups of galaxies as a function of redshift. Different colours indicate different components of the velocity vector, with black, red and blue being the x-, y- and z-components, respectively. The dashed lines indicate the approximate redshifts at which mergers take place. At the redshift of the first merger, a maximum velocity difference of 1300 km s\(^{-1}\) is seen in the z-component. Middle panel: evolution of the velocity dispersion of the main halo for all three components as a function of redshift. A maximum is reached at the time of the first merging. Right-hand panel: velocity distributions of the galaxies in both the merging haloes at the approximate time of merging. The full Spiderweb velocity distribution is shown as the red hatched histogram and has been scaled up to facilitate comparison.

have been given with respect to the most massive galaxy in the two haloes. A clear double-peaked structure is seen and in general the resemblance between both distributions is striking. Applying a KS test, we investigate whether the Spiderweb velocity distribution can be drawn from such a velocity distribution and a probability of \(~40\) per cent is found. This is a larger probability than found for any of the single haloes investigated above. Therefore, the hypothesis that the velocity distribution of the Spiderweb system is consistent with the distribution caused by the merger of two haloes cannot be ruled out. Furthermore, as indicated above, a direct comparison to the distribution of the Spiderweb system has shown that both the velocity difference and the velocity dispersion are only marginally smaller. We therefore consider the merger origin a viable scenario for this protocluster.

Since there is a significant possibility that the Spiderweb system is the result of a merger of two massive haloes, it is now possible to infer something about the properties of the interacting haloes. Venemans et al. (2007) calculated the masses of the two groups by assuming that the individual groups are virialized and have virial radii of 0.8 and 1.1 Mpc (Kurk et al. 2004b). The velocity dispersions used were 520 and 280 km s\(^{-1}\) as taken from the P00 study. This yielded halo masses of \(4 \times 10^{14}\) and \(1 \times 10^{14}\) M\(_{\odot}\), respectively. With the addition of the confirmed galaxies presented in this work, the velocity dispersions become 492 and 417 km s\(^{-1}\) as given in Section 3.3. This yields that both haloes have a mass of \(\sim 2.7 \times 10^{14}\) M\(_{\odot}\). A virialized halo of this mass would have an X-ray luminosity of \(\sim 2 \times 10^{43}\) erg s\(^{-1}\) in the rest-frame 2–10 keV range. Two such haloes in the line of sight are thus consistent with the remaining undetected, considering the upper limit given by Carilli et al. (2002).

The masses calculated above are significantly larger than the halo masses involved in the simulated merger. However, the masses of the simulated haloes are based on the number of dark matter particles in each halo and may give a more accurate estimate of the actual halo mass. Calculating the masses of the simulated haloes at the time of merger using the velocity dispersion yields masses of \(3 \times 10^{14}\) and \(5 \times 10^{14}\) M\(_{\odot}\), where estimated virial radii of 1 Mpc are used. Using the velocity dispersion in a merger situation can therefore overestimate the total mass by over an order of magnitude. We therefore propose that the subhalo masses stated for the Spiderweb protocluster are to be considered upper mass limits.

In the merger scenario as presented here, it seems odd that the central massive galaxy (#1) has a velocity placing it between the two velocity peaks seen in Fig. 4. One would expect the central galaxy to be at the centre of either of the two velocity peaks. However, remember that in Section 3.3 we noted that the redshift of the radio galaxy is highly uncertain due to the large velocity gradients. This could mean that the redshift of the radio galaxy is underestimated. If this is indeed the case, then the radio galaxy would fall exactly within the ‘red’ group.

Finally, it may be argued that this structure is possibly nothing more than a chance superposition of two unrelated structures. It is hard to disprove such a notion, but we must consider that the central radio galaxy is an exceptional object in itself. It has a mass of \(\sim 10^{12}\) M\(_{\odot}\), hosts a powerful AGN and has an extremely clumpy morphology indicating strong interaction and merging. Furthermore, it has an exceptionally large rotation measure (Carilli et al. 1997). If these are indeed separate, unrelated structures, then it will be difficult to explain why such an exceptional object is found in a relatively low mass halo. The merger process, however, may be one of the driving forces behind the unique appearance of the central Spiderweb galaxy. This link between the central galaxy and the merger process is further investigated in Section 4.2.3.

### 4.2.3 The Spiderweb galaxy

One of the striking results found in this study is that the core of the protocluster, which is believed to evolve into a massive cD type galaxy by \(z = 0\), has a similar velocity distribution to the megaparsec-scale protocluster structure. This may purely be the effect of the merger of two protoclusters of galaxies as proposed in Section 4.2.2, but the large number density of confirmed galaxies near the radio core implies that an additional physical mechanism is at work. In this section, we briefly explore a scenario that may explain the dense nature of the core region within the merger scenario.

Although not common to all merging clusters, there have been several reports in the literature of triggered star formation in the constituents of merging clusters (Caldwell & Rose 1997; Ferrari et al. 2005; Hwang & Lee 2009; Ma et al. 2010). Numerical simulations have also shown that it is possible to trigger star formation during cluster merger, either through the tidal gravitational field of the merger (Bekki 1999) or through an increased ram pressure of the intracluster medium (Kronberger et al. 2008). As shown in Fig. 1, one of the striking properties of the Spiderweb galaxy is the extended Ly\(\alpha\) halo enveloping the system. Much in analogy to what has been found for low-redshift clusters, gravitational interactions and ram pressure may enhance the star formation in the galaxies falling through this diffuse halo. This will result in a relatively large number of UV bright galaxies near the radio core. Naturally, these...
galaxies reflect the velocity structure of the halo merger as is the case for the protocluster core.

In addition to inducing star formation, the merger may also be responsible for an increase in the AGN activity. The halo merger could lead to a period of more frequent and stronger interactions with the central galaxy. The gas within the radio galaxy would lose its angular momentum through torques induced by these interactions and it would subsequently be funnelled towards the central black hole. It is thus possible that a halo merger will trigger a radio-luminous phase of the central AGN. If these processes indeed happen, then it is evident that such halo mergers should be considered an important phase of massive galaxy evolution.

We must now ask the question whether selecting galaxy cluster progenitors using HzRGs targets predominantly merging clusters. It is beyond the scope of this paper to answer this question, but other HzRGs have been shown to have relatively large velocity dispersions or are speculated to be part of superstructures (e.g. the 0052 protocluster at $z \sim 2.86$ and the protocluster around MRC $\sim z \sim 70$. This is comparable to what is seen in the outskirts of local 2255 protoclusters. We conclude that the protocluster cannot be level. However, approximately $\pm 2$ in the Millennium Simulation shows that the protocluster velocity distribution is different at the 4.5 and 5 $\sigma$ significance levels. We therefore conclude that the protocluster is decoupled from the Hubble flow and a dynamically evolved structure.

(a) A comparison to the expected field velocity distribution and the peculiar velocity distribution of field environments in the Millennium Simulation shows that the protocluster velocity distribution is different at the 4.5 and 5$\sigma$ significance levels, respectively. We therefore conclude that the protocluster is decoupled from the Hubble flow and a dynamically evolved structure.

(v) We investigate an alternative scenario in which the Spiderweb protocluster consists of two galaxy groups that are in the process of merging. A comparison to a massive halo merger at $z \sim 2$ in the Millennium Simulation shows that velocity differences and dispersions similar to those found in the Spiderweb system can be obtained this way. Furthermore, a KS test shows that the velocity distribution caused by a massive merger of haloes is consistent with the velocity distribution of the Spiderweb protocluster. We conclude that the merger scenario best describes the properties of the protocluster.

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