Immunotherapy combinations and chemotherapy sparing schemes in first line non-small cell lung cancer

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Abstract

In recent years, studies have explored different combinations of immunotherapy and chemotherapy. The rationale behind these is the improved survival outcomes of new immunologic therapies used in first-line treatment of advanced non-small cell lung cancer. Moreover, for the most-studied combinations of anti-programed death-1 (PD-1)/programed death ligand-1 (PD-L1) with the addition of platinum-based chemotherapy, recent research is investigating whether combining different immunologic antitumoral mechanisms of action, such as anti-PD-1/PD-L1 and anti-CTLA-4, or anti-PD-L1 and anti-TIGIT, with or without chemotherapy, can improve efficacy outcomes compared with more classical combinations, or compared with standard chemotherapy alone. Here, we present the data of the
INTRODUCTION

Lung cancer is the leading cause of cancer-related deaths worldwide. An increased knowledge of lung cancer pathology as well as of tumoral immunity has permitted the discovery of different targeted molecular treatments and immune-therapies involving an important change of the lung cancer paradigm[1].

Anti-programmed death-1/programmed death ligand-1 (PD-1/PD-L1) treatment, recently approved in advanced lung cancer treatment, is an important immune checkpoint of anti-tumor activity in our immune system. Cytotoxic T lymphocyte-associated antigen 4 (CTLA-4) is another component of these control immune checkpoints and different inhibitors have been developed to look their role in tumor recognition[2] (Figures 1 and 2).

Non-small cell lung cancer (NSCLC) has a high level of heterogeneity secondary to a different molecular immune subtype and a complex immune environment. This complexity justifies heterogeneous responses to immunotherapy (IT) and their combinations[2].

The administration of mono-IT (m-IT) with anti-PD-L1/PD-1, or a combination of this type of agent with platinum-based chemotherapy (CT), is the standard therapy for the different subtypes of non-small cell lung cancer without treatable mutations. Several studies focusing on combinations of different checkpoint inhibitors, such as anti-PD-1/PD-L1 and anti-CTLA-4, have shown these to be advantageous compared with CT alone[3,4]. The association of these IT combinations with CT has also been analyzed in two phase III studies [Checkmate 9LA study (CM9LA) and CCTG BR.34], with interesting results in favor of the new combinations[5,6]. The CYTISCAPE study, presented recently in ASCO 2020, proposes a combination of anti-PD-L1 and anti-T-cell immunoreceptor with Ig and ITIM domains (TIGIT), a new checkpoint inhibitor present in activated T-cells. This phase II study reported favorable outcomes for this IT doublet (d-IT) in the population with PD-L1 > 1% vs monotherapy with anti-PD-L1[7].

Here, we briefly summarize the most significant aspects of these new combinations.

BIOLICAL BASIS OF IT COMBINATIONS

The biological rationale for combining IT is based on the complexity of immune surveillance regulation, the effect of other anti-tumor treatments on tumor immunogenicity, and the non-redundancy of immune checkpoint regulation[8].
Most chemotherapeutic agents are regarded as immunosuppressants, exerted this effect by a direct inhibition or killing of effector cells, as well as indirectly by inducing anergy or immune paralysis\[^9\]. However, combinations of CT and ICI have a proven synergistic effect: CT aids in fast tumor regression, and a reduction in tumor burden and immune checkpoint blockade may prolong this effect, thus inducing a long-lasting anti-tumor response\[^10\]. In addition, the non-overlapping toxicity profiles of IT and CT render them good candidates for combination strategies.

In addition to immune regulation, angiogenesis is another hallmark of cancer evolution, promoting tumor vascularity to sustain cancer cell metabolism and invasive capacity, and also favors cancer immune escape. Vascular endothelial growth factor, one of the main regulators of angiogenesis, can also induce T-reg cells and inhibit dendritic cell and T-cell maturation, thus inducing an immunosuppressive status, lymphocyte migration to the tumor site, and negatively regulating immune priming \[^11,12\]. Combining anti-angiogenics with immune checkpoint inhibitors could thus be synergic in reducing tumor vascularization and decreasing the immune suppressive microenvironment. It may also have a synergistic effect by boosting tumor-specific

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**Figure 1** A schematic diagram showing programmed death 1/programmed death ligand-1 interaction with immune cells in cancer. PD-1: Programed death 1; PD-L1: Programed death ligand 1.

**Figure 2** A schematic diagram showing cytotoxic T lymphocyte–associated antigen 4-2/B7-1-B7-2 interaction with immune cells in cancer. CTLA-4: Cytotoxic T lymphocyte–associated antigen 4.
Immune checkpoints exert a negative regulatory effect on CD8+ T cells by interacting with their ligands expressed on different cells, such as APC or T cells. Preclinical data indicate that the combination of PD-1 and CTLA-4 receptor blockade may improve antitumor activity. In vitro combinations of nivolumab plus ipilimumab increase IFN-γ production 2- to 7-fold over either agent alone in a mixed lymphocyte reaction (Table 1). The combination was also observed to exert an increased antitumor activity in 3 of 5 syngeneic murine cancer models. In a murine melanoma vaccine model, blockade with either CTLA-4 or PD-1 antibodies increased the proportion of CTLA-4 and PD-1-expressing CD4/CD8 tumor infiltrating T effector cells, and dual blockade increased tumor infiltration of T effector cells and decreased intratumoral T regulatory cells, compared with either agent alone[13].

TIGIT is a novel immune inhibitory receptor. It is a member of the immunoglobulin superfamily and is expressed in a wide variety of human tumors. TIGIT is expressed on the surface of activated T-cell and natural killer (NK)-cell subsets and interacts with high affinity with CD155 [also known as poliovirus receptor (PVR)]. It is also highly correlated with T-cell infiltration and PD-1 expression[14]. Genetic ablation of TIGIT in T cells in mice results in exacerbated T-cell responses in preclinical models of autoimmune diseases and viral infections, demonstrating the role of TIGIT in the inhibition of T-cell responses. Because TIGIT and PD-1 are coordinately expressed by tumor-infiltrating T cells in several human tumors, inhibition of the TIGIT/PVR pathway may complement and potentiate the anti-tumor activity of a PD-L1 pathway inhibitor. In preclinical models, concomitant blockade of both TIGIT and PD-L1/PD-1 pathways demonstrated superior efficacy over the respective single-agent treatments [15].

In conclusion, based on preclinical and clinical studies, there is a strong rationale for combining IT and other agents, and this potentially synergistic effect has been demonstrated with improved outcomes in the clinical setting.

**PHASE I-III STUDIES**

As explained previously, there is a clear justification for combining different therapeutic strategies. Consequently, several studies have been designed to explore the clinical efficacy of these combinations in the context of advanced NSCLC.

Since pembrolizumab was first approved in first-line therapy of patients with PDL1 > 50%, several studies, which we describe below, have analyzed the combination of anti PD-1/PD-L1 and anti-CTLA-4, with or without the addition of CT[14] (Table 2).

Checkmate 012 (CM012) was the first phase I study to explore the combination of ipilimumab-nivolumab (NI) vs nivolumab (N) in CT-naïve patients with advanced NSCLC. This study assessed three alternative regimens with different doses of I and N, with 6 weekly vs 12 weekly schemes of I, with tolerability as the primary endpoint. This study confirmed the safety of the combination, predicting a high response rate and improved survival (OS)[17].

With the data from CM012, Checkmate 227 (CM227), a phase III study was proposed. This study was comprised of three parts: Part IA that assessed the efficacy of the combination of NI vs N in monotherapy vs standard CT in patients with negative PD-L1 expression, part IB that compared the combination of NI vs N + CT vs standard CT in PD-L1 negative patients, and part 2 which evaluated the efficacy of the combination of N + CT, regardless of histology and PD-L1 expression. The study reported a global benefit for the NI doublet in OS, independently of PD-L1 [17.1 ms vs 13.9 ms, hazard ratio (HR) 0.73; 95%CI: 0.64-0.84]. When these data are analyzed in relation to PD-L1, several important observations can be made: in the PD-L1 negative group the benefit in OS in favor of the IT doublet was maintained at 17.2 vs 12.2 mo (HR 0.62, 95%CI: 0.48-0.78). In the PD-L1 positive group (≥ 1%), OS was also better with the combined IT treatment (17.1 vs 14.9 ms, HR 0.79; 95%CI: 0.65-0.96).

Noteworthy, in this group with 1-49% PD-L1 expression, no differences in OS were found. Also in this study, data of levels of tumor mutational burden (TMB) were reported early on. Hence, in the high TMB group (> 10 mut/Mb), a statistically significant benefit in favor of the combination was reported. Finally, the results of part 2 were communicated, in which the primary final endpoint of OS for N-CT was not reached in patients with non-squamous NSCLC[3].

The CM9LA is a phase III trial with a novel design that adds two cycles of CT to the NI doublet. In the control arm, the CT regimen preferred by the clinician was established, or maintenance with perametrex in non-squamous histology. With a
Table 1 Main programmed death ligand-1 and cytotoxic T lymphocyte–associated antigen 4 pathways

| Checkpoint pathways | Anti-PD-L1 pathway | Anti-CTLA-4 pathway |
|---------------------|--------------------|--------------------|
| Receptor expression | Activated T-cells   | Activated T-cells, B-cells and NK cells |
| Ligands             | B 7.1 (CD80); B7.2 (CD86) | PD-L1 (B7-H1), PD-L2 (B7-DC) |
| Mechanism of immune modulation | T-cell activation at initial stage; Competition with costimulatory receptor CD-28 for ligand binding; Down regulation of helper T cells CD4 activity; Enhancement Tregs-cells immunosuppressive activity | Suppressed activated T-cells in tissues and tumor environment; Express in Tregs-cells may enhance immunosuppressive activity; Limits of B-cells and NK-cells activity; PD-L1 interact with CD-80 to down-modulate T-cells activity |

NK: Natural killer; PD-L1: Programed death ligand-1; CTLA-4: Cytotoxic T lymphocyte–associated antigen 4.

Table 2 Summary of the main Phase III studies of IT combinations

| Trial          | Ph | Drugs                  | Endpoints/outcome                                                                 | Population |
|----------------|----|------------------------|----------------------------------------------------------------------------------|------------|
| Checkmate 227  | III| NI vs N vs CT<sup>1</sup> | OS PD-L1 > 1% and in non-selected population                                      | PD-L1 ≥ 1%: NI 17 m vs CT 14.9 m, P = 0.007 |
|                |    |                        |                                                                                 | NI vs CT   |
| MYSTIC         | III| DT vs D vs CT<sup>1</sup>| OS D vs CT OS and PFS DT vs CT PD-L1 > 25% population                              | PD-L1 > 25%: D vs CT<sup>1</sup>16 m vs 12 m, P = 0.04 |
|                |    |                        |                                                                                 | DT vs CT<sup>1</sup>11.9 vs 12 m, P = 0.2 |
|                |    |                        |                                                                                 | TMB > 20   |
|                |    |                        |                                                                                 | DT vs CT<sup>1</sup>21 m vs 10 m |
| Checkmate9LA   | III| NI + CT<sup>1</sup> X2 vs CT<sup>1</sup> X4 | OS in non selected population                                                    | NA         |
|                |    |                        |                                                                                 | OS NI-CT 15.6 m vs CT 10.9 m, P = 0.0006 |
| CCTG BR.34     | III| DT+ CT<sup>1</sup> x 4 vs Durvalumab + tremelimumab (DT) | OS in non selected population                                                    | PD-L1 TPS ≥ 50%, DT-CT vs CT (HR 0.64, 95%CI: 0.40-1.04, P = 0.07). Plasma TMB < 20 mut/Mb was associated with shorter survival in both treatment groups (HR 1.99, 95%CI: 1.3-3.1) |
|                |    |                        |                                                                                 | 16.6 m DT-CT vs 14 m DT |
| CITYSCAPE      | II | TA vs PA                | ORR and PFS on PD-L1 > 1% PFS                                                  | PD-L1 > 50% |
|                |    |                        |                                                                                 | TA 7.7 m vs PA 3.2 m |
|                |    |                        |                                                                                 | ORR TA 37% vs PA 20% |
|                |    |                        |                                                                                 | ORR TA 66% vs PA 24% |

<sup>1</sup>Ph: Phase.

NI: Nivolumab + Ipilimumab; N: Nivo; DT: Tremelimumab + Durvalumab; D: Durvalumab; PD-I: Programed death-1; TMB: Tumor mutational burden; HR: Hazard ratio; CI: Confidence interval; TA: Tiragolumab + atezolizumab; PA: Placebo + atezolizumab; NA: Not available; CT: Chemotherapy.

Follow up of 12.7 mo, the experimental arm showed a significant improvement in OS in all subgroups (15.6 ms vs 10.9 ms, HR 0.66; 95%CI: 0.55-0.8)[5]. The combination of Durvalumab (D) and Tremelimumab (T) has also been explored in different phase I-III studies. One of the first phase III trials to analyze this combination in NSCLC was the ARTIC study. This was comprised of two independent sub-studies: one focused on the PD-L1 > 25% population, comparing D vs standard CT in patients pre-treated with platinum, and another in the population with PD-L1 < 25% with three arms: DT vs D vs T in the same patient profile. In both sub-studies, the data from the D and DT arms were more favorable than CT alone[18]. Continuing with this combination of DT in first-line, the MYSTIC phase III study[4] once again focused...
on the population of PDL-1 > 25%. The patients were randomized to receive D vs DT vs platinum-based CT, in this case in first-line of NSCLC. The efficacy data for the mono-IT vs CT showed a non-significant superiority for the former (16 mo vs 12 mo), although in the d-IT the median OS of 11.9 mo was shorter than that obtained with CT. No benefit in favor of IT was found for PFS either. However, in this study TMB was included as a predictive biomarker of response to IT, with the subgroup TMB > 20 presenting an OS in favor of the IT doublet (21.9 mo vs 10 mo).

Continuing to focus on the DT combination, CGC BR.34, another phase III study emerged, in parallel with CM9LA, to address the need to explore the efficacy of d-IT. In this work, 4 CT cycles (platinum-pemetrexed) were added to the DT doublet in first-line therapy in a non-squamous population. Maintenance treatment was allowed in both arms. After a six-month follow up, no differences were found in OS, although the analysis of PFS revealed statistically significant differences in favor of the combined treatment of IT-CT (7.7 mo vs 3.2 mo)[6].

CITYSCAPE is a phase II trial that evaluates the possible efficacy of combining Tiragolumab (Ti) (an inhibitor of the immune modulatory receptor TIGIT) with Atezolizumab (A) in first-line of NSCLC with PDL > 1%. Preliminary phase I studies (GO 30103) showed the benefit of combining TIGIT inhibition and anti-PD-L1, revealing a greater response rate in pre-treated patients. The first data from this study were presented in ASCO 2020, and the preliminary analysis was positive in favor of the combined approach (TiA vs A-placebo) (5.6 mo vs 3.9 mo, HR 0.58; 95%CI: 0.38-0.89). Moreover, an exploratory analysis in patients with high PD-L1 expression, or with a tumor proportion score over 50%, obtained a reduction of 70% in risk of disease progression or death from the illness with TiA vs A-P (HR 0.30; 95%CI: 0.15-0.61). This study appears to confirm the effectiveness of combining a dual inhibition of immunotherapeutic mechanisms and their potential benefit in clinical practice, although the final results and the study design of the phase III trial are still pending[7].

**WHAT ARE THE BENEFITS OF IT COMBINATIONS TOGETHER WITH SHORT CT CYCLES?**

Several preclinical studies have arrived at an interesting rationale to support CT-IT combinations. These combinations are an important challenge in NSCLC treatment, not only in advanced disease, but also in a neoadjuvant setting, where phase III studies such as CM816 have demonstrated a significant benefit of CT-IT pre-surgery compared to classical platinum based CT[19]. Although CT agents are regarded as immunosuppressants by directly inhibiting or killing effector cells, as well as indirectly by inducing anergy or immune paralysis[20], different combinations of CT and ICI have a proven synergistic effect. For example, CT aids in fast tumor regression and a reduction in tumor burden, and immune checkpoint blockade may prolong this effect, thus inducing a long-lasting anti-tumor response[21]. In addition, the non-overlapping toxicity profiles of IT and CT render them good candidates for combination strategies. On the hand, preclinical and clinical investigations have revealed that CT could enhance the efficacy of IT through various mechanisms. CT can induce immunogenic cell death and increase tumor antigen presentation and immune effector infiltration in tumors. Moreover, CT can modulate the immune microenvironment through mechanisms such as increasing cancer cell immunogenicity, enhancing the cytotoxicity of T cells and NK cells, and fostering the accumulation of IFN-γ and tumor necrosis factor (TNF)-α. The latest data show that CT also reduces the number of tumor immune suppressive cells like T regulatory cells, macrophages and neutrophils, and increases the ratio of cytotoxic T-cell/T regulatory cells and PD-L1 expression on tumor cells[22].

Specifically, platinum agents have been shown to partly exert their anti-tumor effect via modulation of the immune system. They attenuate STAT6 signaling by blocking STAT6 phosphorylation, resulting in a downregulation of PD-L2 on DCs and tumor cells. This triggers increased tumor cell recognition by T lymphocytes[23]. In a study of NSCLC cell lines, cisplatin upregulated the MHC class I chain-related molecule A and B expression, and led to enhanced NK cell-mediated antitumor effect[24]. Other mechanisms by which platinum agents stimulate the immune system include increasing human leukocyte 1 gene complex expression encoding MHC-I, which is associated with cytotoxic T-cell function[25].

As mentioned in previous sections, a number of clinical trials, such as the one described above, have focused on the administration of combinations of IT in first-line therapy. Overall, it is found that an unblocking of checkpoints of antitumor response
(PD-L1/CTLA-4), using combinations of checkpoint inhibitors, has proved more effective than CT alone or IT in monotherapy. The CM 227 trial, one of the first phase III studies that compared N1 vs CT, reported a greater OS in the former treatment, regardless of PD-L1 expression[3].

In spite of the overall positivity of the CM 227 trial, an initial crossing of the curves takes place in the first 3-6 mo of follow up, both for the entire study population and also in patients with PDL-1 > 1%. This means that CT would be superior to IT in a profile of patients with more aggressive disease, who would potentially be more chemosensitive.

Another parallel study to CM227 in the PDL-1 > 25% population, also mentioned previously, is the MYSTIC study[4], which compares DT vs D vs CT. However, the results of this study were more disappointing, reporting no greater superiority for the IT doublet vs CT, although DT was more effective in the subgroup with TMB > 20. The CITYSCAPE study positions a new combination of IT (Ti-A) as another option in first-line therapy, and once again PD-L1 is a good predictor correlated with a greater benefit in favor of the combination.

Therefore, in spite of being a new option in first-line, d-IT was no more beneficial than its comparators in any of the studies analyzed, and which have been described here.

Subsequent studies, which aimed at improving the efficacy data of these schemes, incorporated CT regimens of different durations in an attempt to maximize the benefits of these new combinations.

With the goal of compensating for this initial deficit in OS in patients in the CM227 study, the CM9LA study added two cycles of platinum-based CT to the N1 combination and achieved a greater benefit in OS for all the subgroups vs treatment with CT alone. However, as also reported previously in other studies on IT, no benefit in OS was found for patient smokers or for those aged over 75[5].

Another study that adds CT to the IT doublet is the aforementioned CCTG BR.34 study. This was designed with the same goal, in other words, to try to obtain better outcomes than the MYSTIC study[6]. In this case, efficacy data after a 16-month follow up found no differences in OS (with a non-significant favorable tendency in the PD-L1 > 50% group). However, differences were found in the response rates (28% vs 14%) and in PFS 7.7 vs 3.2 in favor of the combination with CT. A longer follow-up would probably produce more mature conclusions about the benefits of the IT doublet-CT combination vs the comparator with single IT-CT. In this case, in contrast to the MYSTIC study, there are no data to support a benefit in patients with a high TMB, although, once again, the high expression of PD-L1 would seem to predict a greater benefit.

In the debate about combinations of IT and CT, there is some controversy about the number of platinum cycles to use. Hence, the CM9LA study used 2 cycles while in the CCTG BR34 study, 4 cycles were administered. Although there are no data to attribute the difference in results to the number of CT cycles given, it is interesting that in the study with more CT cycles, in which better results would be expected, the data were, in fact, negative. It is, therefore, unclear whether the 2 first cycles are insufficient to compensate for the initial fall in the survival curve due to patients with an early sensitivity to IT.

On the other hand, there is no doubt about the rise in platinum-based toxicity of the longer CT schemes. Therefore, prospective studies may be necessary to determine whether reduced CT schemes, with greater tolerability, in combination with the IT doublet could be at least as effective as standard 4-cycle schemes. Another important aspect to consider about these new combinations concerns the choice of the best biomarker to predict benefits with the IT combination. Compared with PD-L1 expression, in the MYSTIC study higher levels of TMB predicted a greater benefit of the combo-IT. However, these results were not reproduced in other studies that analyzed this marker. For combinations of CT with anti-TIGIT/anti-PD-L1, data have not yet been published.

All these studies have only a short follow up and the results of longer follow up periods are required before we can confirm potential benefits for these new combinations of different and complementary therapeutic strategies.

**TOXICITY**

The toxicity of combinations with IT, whether this corresponds to d-IT or IT-CT, is highly variable, with cytostatic toxicity in addition to immune-mediated adverse
The combination of different checkpoint inhibitors, or checkpoint inhibitors combined

CONCLUSION

The combination of different checkpoint inhibitors, or checkpoint inhibitors combined
Table 3 Summary of the main toxicities in each of the studies on immunotherapy combinations or immunotherapy ± chemotherapy

| Phase II-III studies | G3-4 toxicity (%) | Treatment discontinuation (%) |
|----------------------|-------------------|-------------------------------|
|                      | Exp arm           | Control arm                   | Exp arm           | Control arm                   |
| CM 227               | 32.8 NI           | 33.8 CT                       | 9.4 NI            | 3.4 CT                        |
| MYSTIC               | 22.9 DT           | 36 CT                         | 12.3 DT           | 4.9 CT                        |
| CM9LA                | 47 NI-CT          | 38 CT                         | 16 NI-CT          | 9 CT                          |
| CCTG-BG-34           | 82 DT-CT          | 70 DT                         | NA               | NA                            |
| CITYSCAPE            | 19 ATir           | 14 PA                         | 10.3 ATir         | 7.5 PIA                       |
| KEYNOTE-189          | 67 P-CT           | 65.8 CT                       | 13.8 P-CT         | 7.9 CT                        |

NI: Nivolumab ± ipilimumab; DT: Durvalumab + tremelimumab; CT: Chemotherapy; Tir: Tirogolumab; A: Atezolizumab; PL: Placebo; P-CT: Pembrolizumab ± chemotherapy; NA: Not available.

with other immune modulating strategies, such as TIGIT inhibition, in the context of first-line therapy in advanced NSCLC produces superior outcomes to classical CT regimens. The addition of CT (of 2 to 4 cycles) to this IT doublet is a new strategy to rescue patients who could initially present a detrimental clinical course after first receiving the IT doublet. It is necessary to continue researching to find more accurate predictive biomarkers of response to optimize the selection of patients who should receive these types of therapeutic strategies.

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