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Keywords
hall, quantum, correspondence, theory, edge, kasparov, bulk, effect

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The bulk-edge correspondence for the quantum Hall effect in Kasparov theory

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Abstract

We prove the bulk-edge correspondence in $K$-theory for the quantum Hall effect by constructing an unbounded Kasparov module from a short exact sequence that links the bulk and boundary algebras. This approach allows us to represent bulk topological invariants explicitly as a Kasparov product of boundary invariants with the extension class linking the algebras. This paper focuses on the example of the discrete integer quantum Hall effect, though our general method potentially has much wider applications.

Keywords: quantum Hall effect, spectral triples, $KK$-theory, bulk-edge correspondence.

Mathematics subject classification: Primary 81V70, Secondary 19K35.

1 Introduction

In this letter, we revisit the notion of the bulk-edge correspondence in the discrete (or tight binding) version of the integer quantum Hall effect as previously studied in [7, 8, 11, 12, 13, 14]. In these papers, the motivation is to incorporate the presence of a boundary or edge into Bellissard’s initial explanation of the quantum Hall effect [2]. This is done by introducing an ‘edge conductance’, $\sigma_e$, which is then shown to be the same as Bellissard’s initial expression for the (quantised) Hall conductance, $\sigma_H$. Our motivation comes from the more $K$-theoretic arguments used in [12, 14].

We propose a new method based on explicit representations of extension classes as Kasparov modules. Given a short exact sequence of $C^*$-algebras,

$$0 \rightarrow J \rightarrow A \rightarrow A/J \rightarrow 0$$

for some closed 2-sided ideal $J$, we know by results of Kasparov [10] that this gives rise to a class in $\text{Ext}(A/J, J)$, which is the same as $KK^1(A/J, J)$ for the algebras we study. By representing our short exact sequence as an unbounded Kasparov module, we can use the methods developed in [4, 9, 18] to take the Kasparov product of our module with spectral triples representing elements in $K^j(J) \cong KK^j(J, \mathbb{C})$ to give elements in $K^{j+1}(A/J, \mathbb{C})$.

In this letter we focus on a simple case so as not to obscure the main idea with technical details. Thus we consider the short exact sequence representing the Toeplitz extension of the rotation algebra, $A_\phi$. An unbounded Kasparov module can be built from this extension by considering the circle action on the rotation algebra $A_\phi$, as in [5].

We outline an alternative method for constructing a Kasparov module representing an extension class (generalised in [22]) via a singular functional. We introduce this method with a view towards more complicated examples, where the circle-action picture breaks down. Such examples include the following.

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1. For the case of a finite group $G$ with $K \triangleleft G$, the short exact sequence

$$0 \to J \rtimes K \to A \rtimes G \to A/J \rtimes G/K \to 0$$

can no longer be represented by circle actions. Such crossed products may emerge by considering the symmetry group of topological insulator systems, for example.

2. For models with internal degrees of freedom (such as a honeycomb lattice), we would no longer be working with the Cuntz-Pimsner algebra of a self Morita-equivalence bimodule (as defined in [22, Section 2]) and so the singular functional method is necessary.

See [22] for more examples of extensions requiring this viewpoint. The flexibility of our approach to representing extensions as Kasparov modules (with which products can be taken) will allow many more systems-with-edge to be investigated, as we outline below.

1.1 Statement of the main result

We begin with a Toeplitz-like extension of the rotation algebra $A_\phi$, and show how to construct an unbounded Kasparov module $\beta = (A_\phi, Z_{\hat{C}^* U}, N)$ that represents this extension in $KK$-theory. Here $Z_{\hat{C}^* U}$ is a Hilbert $C^*$-module coming from the extension, $\hat{U}$ is the shift operator on $l^2(Z)$ along the boundary $Z$ and the unbounded operator $N$ is a number operator (defined later).

We also introduce a ‘boundary spectral triple’ $\Delta = (\hat{C}^* U, \ell^2(Z), M)$, which we think of as the standard spectral triple over the circle but in a Fourier transformed picture (so that $M$ is the Fourier transform of differentiation and $\hat{U}$ is the bilateral shift). Our main result, Theorem 3.3, is as follows.

**Theorem.** The internal Kasparov product $\beta \hat{\otimes} Z_{\hat{C}^* U} \Delta$ is unitarily equivalent to the negative of the spectral triple modelling the boundary-free quantum Hall effect.

We note that the Kasparov product and unitary equivalence of the Kasparov modules considered in the theorem is at the unbounded level, a stronger equivalence than in the bounded setting.

Recall from the work of Bellissard [2] that the quantised Hall conductance in the case without boundary comes from the pairing of the Fermi projection with an element in $K_0(A_\phi)$. Our main result says that this $K$-homology class can be ‘factorised’ into a product of a $K$-homology class representing the boundary and a $KK^1$-class representing the short exact sequence linking the boundary and boundary-free systems. We can then use the associativity of the Kasparov product to immediately obtain an edge conductance, and the equality of the bulk and edge conductances.

It is in this point that our work differs from, but complements, the boundary picture developed in [12, 14], where the authors had to define a separate edge conductance and then show equality with the usual Hall conductance. Instead, our method derives the bulk-edge correspondence as a direct consequence of the factorisation of the boundary-free $K$-homology class. Our work demonstrates how we can obtain the bulk-edge correspondence of [12] without passing to cyclic homology and cohomology. This allows our method to be applied to systems with torsion invariants, which cannot be detected in cyclic theory. This is essential for topological insulator theory, where torsion invariants arise naturally.

We also note that by working in the unbounded $KK$ picture, all computations are explicit. As Kasparov theory can also be extended to accommodate group actions, real/Real algebras etc. This means our method has potential applications to a much wider array of physical models. Topological insulators are an example of where the bulk-edge correspondence needs further work.

The paper is organised into two major Sections. Section 2 contains the construction of the Kasparov module that is needed in Section 3 where the main theorem is proved. Some details are relegated to an Appendix.

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2 A Kasparov module representing the Toeplitz extension

2.1 The setup and the Pimsner-Voiculescu short exact sequence

Recall [16] that in the discrete or ‘tight binding’ model of the quantum Hall effect without boundary, we have magnetic translations \( \hat{U} \) and \( \hat{V} \) as unitary operators on \( \ell^2(\mathbb{Z}^2) \). These operators commute with the unitaries \( U \) and \( V \) that generate the Hamiltonian \( H = U + U^* + V + V^* \). We choose the Landau gauge such that

\[
(\hat{U}\lambda)(m,n) = \lambda(m-1,n), \\
(\hat{V}\lambda)(m,n) = e^{-2\pi i \phi m} \lambda(m,n-1),
\]

where \( \phi \) has the interpretation as the magnetic flux through a unit cell and \( \lambda \in \ell^2(\mathbb{Z}^2) \). We are keeping the model simple in order to make our constructions as clear as possible, though what we do extends to more sophisticated models. We note that \( \hat{U} \hat{V} = e^{2\pi i \phi} \hat{V} \hat{U} \) and \( UV = e^{-2\pi i \phi} VU \), so \( C^*(\hat{U}, \hat{V}) \cong \mathcal{A}_\phi \) (the irrational rotation algebra when \( \phi \) is irrational), and \( C^*(U, V) \cong \mathcal{A}_{\phi_0} \). We can also interpret \( \mathcal{A}_{\phi_0} \cong \mathcal{A}_{\phi}^{\text{op}} \), where \( A^{\text{op}} \) is the opposite algebra with multiplication \( (ab)^{\text{op}} = ba^{\text{op}} \). Our choice of gauge also means that \( C^*(\hat{U}, \hat{V}) \cong C^*(U, V) \rtimes \mathbb{Z} \), where \( V \) is implementing the crossed-product structure via the automorphism \( \eta(\hat{m}) = V^{\text{op}} \hat{m} \hat{V} \).

We outline an idea loosely based on that of Kellendonk et al. [12, 14], who employed constructions from Pimsner and Voiculescu [21]. The essence of the idea is to relate the bulk and edge algebras via a Toeplitz-like extension. This viewpoint is also employed in [17].

**Proposition 2.1** (§2 of [21]). Let \( S \) be the usual shift operator on \( \ell^2(\mathbb{Z}) \) with \( SS^* = 1 \), \( SS^* = 1 - P_{n=0} \). There is a short exact sequence,

\[
0 \to C^*(\hat{U}) \otimes \mathcal{K}[\ell^2(\mathbb{N})] \xrightarrow{\psi} C^*(\hat{U} \otimes 1, \hat{V} \otimes S) \to C^*(\hat{U}) \rtimes_{\eta} \mathbb{Z} \to 0.
\]

The map \( \psi \) given in Proposition 2.1 is such that

\[
\psi(\hat{U}^m \otimes e_{jk}) = (\hat{V}^*)^m \hat{U}^k \hat{V}^k \otimes S^j P_{n=0}(S^*)^k
\]

for matrix units \( e_{jk} \) in \( \mathcal{K}[\ell^2(\mathbb{N})] \). It is then extended to the full algebra by linearity. One checks that \( \psi \) is an injective map into the ideal of \( C^*(\hat{U} \otimes 1, \hat{V} \otimes S) \) generated by \( 1 \otimes P_{n=0} \). We also have the isomorphism \( C(S^1) \rtimes_{\eta} \mathbb{Z} \cong C^*(\hat{U} \otimes 1, \hat{V} \otimes S) \cong C^*(\hat{U}, \hat{V}) \), where \( V \) is the image of \( S \) under the map to the Calkin algebra. These alternate but equivalent presentations of \( \mathcal{A}_\phi \) will be of use to us later. For convenience, we denote \( T = C^*(\hat{U} \otimes 1, \hat{V} \otimes S) \).

**Remark 2.2.** We see that in our exact sequence, we can think of the quotient \( \mathcal{A}_\phi \) as representing our ‘bulk algebra’ as it can be derived from a magnetic Hamiltonian on \( \ell^2(\mathbb{Z}^2) \) as in [16]. Our ideal \( C^*(\hat{U}) \otimes \mathcal{K} \) can be interpreted as representing the ‘boundary algebra’. To see this we put a boundary on our system so that for the full system the Hilbert space is \( \mathcal{H} = \ell^2(\mathbb{Z} \times \mathbb{N}) \), while \( C^*(\hat{U}) \) acts on the boundary \( \ell^2(\mathbb{Z}) \), (this action being describable in terms of the bilateral shift operator). Tensoring by the compacts in the direction perpendicular to the boundary has a physical interpretation as looking at observables acting on \( \ell^2(\mathbb{Z} \times \mathbb{N}) \) that act on the boundary and decay sufficiently fast away from it. We would intuitively think of the Hall current of such a system to be concentrated at the boundary with a fast decay into the interior, so our boundary model lines up with this intuitive picture.

We now recall some basic definitions from Kasparov theory; the reader may consult [3, 10] for a more complete overview. A right \( \mathcal{A} \)-A-module is a space \( \mathcal{E} \) with a right action by a \( \mathcal{A} \)-algebra \( A \) and map \( (\cdot, | \cdot) : \mathcal{E} \times \mathcal{E} \to A \), which we think of as an \( A \)-valued inner-product that is compatible with the right-action of \( A \). We denote the set of adjointable operators on \( \mathcal{E} \) with respect to this inner product by \( \text{End}_A(\mathcal{E}) \). Within this space are the rank-1 endomorphisms, \( \Theta_{e,f} \), where \( \Theta_{e,f}(g) = e \cdot (f|g)_A \) for \( e, f, g \in \mathcal{E} \), which generate the finite-rank endomorphisms \( \text{End}^0_1(\mathcal{E}) \). The compact endomorphisms \( \text{End}^0_1(\mathcal{E}) \) are the closure of the finite-rank operators in the operator norm of \( \text{End}_A(\mathcal{E}) \).
Definition 2.3. Given \( \mathbb{Z}_2 \)-graded \( C^* \)-algebras \( A \) and \( B \), an even unbounded Kasparov \( A-B \)-module \( (A, \mathcal{E}_A, D) \) is given by

1. A \( \mathbb{Z}_2 \)-graded, countably generated, right \( C^* \)-module \( \mathcal{E}_B \);
2. A \( \mathbb{Z}_2 \)-graded \( * \)-homomorphism \( \phi : A \to \text{End}_B(\mathcal{E}) \);
3. A self-adjoint, regular, odd operator \( D : \text{Dom} \, D \subset \mathcal{E} \to \mathcal{E} \) such that the graded commutator \([D, \phi(a)]_D \) is an adjointable endomorphism, and \( \phi(a)(1 + D^2)^{-1/2} \) is a compact endomorphism for all \( a \) in a dense subalgebra \( A \) of \( A \).

If the module and algebras are trivially graded, then the Kasparov module is called odd.

We can always pass from unbounded modules to bounded Kasparov modules via the mapping \( D \mapsto D(1 + D^2)^{-1/2} \) [1].

2.2 Constructing the Kasparov module

In the last section, we introduced the short exact sequence

\[
0 \to C^*(\hat{U}) \otimes K \xrightarrow{\psi} \mathcal{T} \to \mathcal{A}_\psi \to 0.
\]

(2.1)

We know that this sequence gives rise to a class in \( KK \)-theory using Ext groups, but in order to compute the Kasparov product, it is desirable to have an explicit Kasparov module that represents a class in \( KK^1(\mathcal{A}_\psi, C^*(\hat{U}) \otimes K) \cong KK^1(\mathcal{A}_\psi, C^*(\hat{U})) \).

To do this, we introduce our main technical innovation, a singular functional \( \Psi \) on the subalgebra \( C^*(S) \) of \( \mathcal{T} \), which is given by

\[
\Psi(T) = \text{res}_{s=1}^{\infty} \sum_{k=0}^{\infty} \langle e_k, T e_k \rangle (1 + k^2)^{-s/2},
\]

where \( \{e_k\} \) is any basis of \( \ell^2(\mathbb{N}) \).

Proposition 2.4. The functional \( \Psi \) is a well-defined trace on \( C^*(S) \) such that \( \Psi \left( S^s l_1 z : (S^*)^1 S^{n_1} (S^*)^{n_2} \right) = \delta_{l_1-l_2, n_1-n_2} \), where \( \delta_{a,b} \) is the Kronecker delta. Moreover, \( \Psi(T) = 0 \) for any compact \( T \).

Proof. That \( \Psi \) is a trace is straightforward from its definition and the properties of the usual trace and complex residues. Thus, for \( S^a(S^*)^b \in C^*(S) \), we see that

\[
\langle e_k, S^a (S^*)^b e_k \rangle = \delta_{a,b} \langle (S^*)^a e_k, (S^*)^b e_k \rangle = \delta_{a,b} \chi_{[k, \infty)}(\alpha),
\]

where \( \chi_{[k, \infty)} \) is the indicator function. Hence

\[
\Psi \left( S^a (S^*)^b \right) = \text{res}_{s=1}^{\infty} \sum_{k=0}^{\infty} \delta_{a,b} \chi_{[k, \infty)}(\alpha) (1 + k^2)^{-s/2}
\]

\[
= \text{res}_{s=1}^{\infty} \sum_{k=0}^{\infty} \delta_{a,b} (1 + k^2)^{-s/2} = \delta_{a,b}.
\]

Similarly \( \Psi((S^*)^a S^b) = \delta_{a,b} \). From this we have that, for \( l_1 \geq n_1 \),

\[
\Psi \left( S^l z (S^*)^1 S^{n_1} (S^*)^{n_2} \right) = \Psi \left( S^l z (S^*)^{l_1-n_1+n_2} \right) = \delta_{l_1-l_2, n_1+n_2} = \delta_{l_1-l_2, n_1-n_2};
\]

or, for \( l_1 \leq n_1 \),

\[
\Psi \left( S^l z (S^*)^1 S^{n_1} (S^*)^{n_2} \right) = \Psi \left( S^{l_1+n_1-n_2} (S^*)^{n_2} \right) = \delta_{l_2-l_1+n_1+n_2} = \delta_{l_1-l_2+n_1-n_2}.
\]

Since \( (S^*)^a S^b = 1_{C^*(S)} \), one now readily checks that

\[
\Psi(T) \leq \|T\| \Psi(1_{C^*(S)}) = \|T\|
\]

(2.2)

for all \( T \in C^*(S) \) and so \( \Psi \) extends by continuity to \( C^*(S) \). For any finite-rank operator, \( F \in C^*(S) \), \( \langle e_k, Fe_k \rangle \neq 0 \) for finitely many \( k \). This tells us that \( \sum_k \langle e_k, Fe_k \rangle (1 + k^2)^{-s/2} \) is holomorphic at \( s = 1 \), whence \( \Psi(F) = 0 \). By (2.2), \( \Psi \) vanishes on all the compact operators on \( \ell^2(\mathbb{N}) \). \( \square \)
In order to simplify computations, we realise \( \mathcal{T} \) as the norm closure of the linear span of the operators

\[
(\hat{V} \otimes S)^n_1[(\hat{V} \otimes S)^n_2(\hat{U} \otimes 1)^m = \hat{V}^{n_1-n_2}\hat{U}^m \otimes S^{n_1}(S^*)^{n_2}
\]

for \( m \in \mathbb{Z} \) and \( n_1, n_2 \in \mathbb{N} \). We put the \( \hat{U} \) on the right as we are going to construct a right \( C^*(\hat{U}) \)-module using this presentation.

The first step is the inner product: \( \langle \cdot, \cdot \rangle : \mathcal{T} \times \mathcal{T} \to C^*(\hat{U}) \) given by

\[
\langle \hat{V}^{l_1-\hat{l}_2}\hat{U}^{\hat{m}_1} \otimes S^l_1(S^*)^{l_2} | \hat{V}^{n_1-n_2}\hat{U}^{\hat{m}_2} \otimes S^{n_1}(S^*)^{n_2} \rangle := \langle \hat{V}^{l_1-\hat{l}_2}\hat{U}^{\hat{m}_1} | \hat{V}^{n_1-n_2}\hat{U}^{\hat{m}_2} \hat{\Psi}[(S^l_1(S^*)^{l_2})^* S^{n_1}(S^*)^{n_2}] \rangle.
\]

To show this actually takes values in \( C^*(\hat{U}) \), we use Proposition 2.4 to compute that

\[
\langle \hat{V}^{l_1-\hat{l}_2}\hat{U}^{\hat{m}_1} \otimes S^l_1(S^*)^{l_2} | \hat{V}^{n_1-n_2}\hat{U}^{\hat{m}_2} \otimes S^{n_1}(S^*)^{n_2} \rangle = \hat{U}^{-m_1} l_1\hat{U}^{l_2-l_1} l_2\hat{U}^{m_2} \delta_{l_1-l_2,n_1-n_2} \equiv \hat{U}^{m_2-m_1} \delta_{l_1-l_2,n_1-n_2},
\]

which is in \( C^*(\hat{U}) \). With this in mind we construct, in the next result, a right \( C^*(\hat{U}) \)-module.

**Proposition 2.5.** The map \( \langle \cdot, \cdot \rangle : \mathcal{T} \times \mathcal{T} \to C^*(\hat{U}) \) together with an action by right multiplication makes \( \mathcal{T} \) a right \( C^*(\hat{U}) \)-inner-product module. Quotienting by vectors of zero length and completing yields a right \( C^*(\hat{U}) \)-module.

**Proof.** Using the equation

\[
\langle \hat{V}^{l_1-\hat{l}_2}\hat{U}^{\hat{m}_1} \otimes S^l_1(S^*)^{l_2} | \hat{V}^{n_1-n_2}\hat{U}^{\hat{m}_2} \otimes S^{n_1}(S^*)^{n_2} \rangle = \hat{U}^{m_2-m_1} \delta_{l_1-l_2,n_1-n_2}
\]

most of the requirements for \( \langle \cdot, \cdot \rangle \) to be a \( C^*(\hat{U}) \)-valued inner-product follow in a straightforward way. We will check compatibility with multiplication on the right by elements of \( C^*(\hat{U}) \). We compute that

\[
\langle \hat{V}^{l_1-\hat{l}_2}\hat{U}^{\hat{m}_1} \otimes S^l_1(S^*)^{l_2} | \hat{V}^{m_1-n_2}\hat{U}^{m_2} \otimes S^{n_1}(S^*)^{n_2} \rangle \cdot \hat{U}^\alpha = \hat{U}^{m_2-m_1} \delta_{l_1-l_2,n_1-n_2}
\]

for \( \alpha \in \mathbb{Z} \). Obtaining the result for arbitrary elements in \( C^*(\hat{U}) \) is a simple extension of this. \( \square \)

We denote our \( C^* \)-module by \( \mathbb{Z}_C(\hat{U}) \) and inner-product by \( \langle \cdot, \cdot \rangle_{C^*(\hat{U})} \). The point of the singular trace \( \hat{\Psi} \) becomes apparent in the next proposition where we construct a left action of \( A_\phi \) on \( \mathbb{Z}_{C^*(\hat{U})} \).

**Proposition 2.6.** There is an adjointable representation if \( A_\phi \) on \( \mathbb{Z}_{C^*(\hat{U})} \).

**Proof.** Clearly we can multiply elements of \( \mathbb{Z}_{C^*(\hat{U})} \) by \( \mathcal{T} \) on the left, but by Proposition 2.4, we know that \( (\hat{U}^k \hat{\psi}_k \otimes k) \cdot \mathbb{Z}_{C^*(\hat{U})} = 0 \) if \( k \in \mathbb{K} \). Therefore the representation of \( \mathcal{T} \) descends to a representation of \( \mathcal{T}/\hat{\psi}[C(S^l_1) \otimes \mathbb{K}] \cong A_\phi \). This gives us the explicit left-action by

\[
(\hat{U}^\alpha \hat{\psi}^\beta) \cdot (\hat{V}^{n_1-n_2}\hat{U}^m \otimes S^{n_1}(S^*)^{n_2}) = \hat{U}^\alpha \hat{\psi}^\beta \hat{V}^{n_1-n_2}\hat{U}^m \otimes S^{n_1+n_2}(S^*)^{n_2}
\]

for \( \alpha, \beta \in \mathbb{Z} \) with \( \beta \geq 0 \) and

\[
(\hat{U}^\alpha \hat{\psi}^\beta) \cdot (\hat{V}^{n_1-n_2}\hat{U}^m \otimes S^{n_1}(S^*)^{n_2}) = \hat{U}^\alpha \hat{\psi}^\beta \hat{V}^{n_1-n_2}\hat{U}^m \otimes S^{n_1+n_2}(S^*)^{n_2+n_2+|\beta|}
\]
for $\beta < 0$. It follows that, as operators on $Z_{C^*(\overline{U})}$, $\overline{U} \overline{V} = e^{2\pi i \phi} \overline{V} \overline{U}$. Next we just need to verify that the action is adjointable as a module over $C^*(\overline{U})$. For this it suffices to check that multiplication by $\overline{U}$ and $\overline{V}$ are adjointable. We compute that
\[
\overline{U} \cdot \left( \hat{V} \left( \hat{V}_1^{l_1-l_2} \hat{U}_1^{m_1} \otimes S^1(S^*)^{l_2} \right) \right) \left( \hat{V}_1^{n_1-n_2} \hat{U}_1^{m_2} \otimes S^{n_1}(S^*)^{n_2} \right)_{C^*(\overline{U})} = \left( \hat{V} \left( \hat{V}_1^{l_1-l_2} \hat{U}_1^{m_1+1} \otimes S^1(S^*)^{l_2} \right) \left( \hat{V}_1^{n_1-n_2} \hat{U}_1^{m_2} \otimes S^{n_1}(S^*)^{n_2} \right) \right)_{C^*(\overline{U})} = e^{2\pi i \phi(l_1-l_2)} \hat{V}_1^{l_1-l_2} \hat{U}_1^{m_1} \cdot S^1(S^*)^{l_2} \left( \hat{V}_1^{n_1-n_2} \hat{U}_1^{m_2} \otimes S^{n_1}(S^*)^{n_2} \right)_{C^*(\overline{U})} = \left( \hat{V} \left( \hat{V}_1^{l_1-l_2} \hat{U}_1^{m_1} \otimes S^1(S^*)^{l_2} \right) \left( \hat{V}_1^{-1} \cdot \left( \hat{V}_1^{n_1-n_2} \hat{U}_1^{m_2} \otimes S^{n_1}(S^*)^{n_2} \right) \right) \right)_{C^*(\overline{U})},
\]
and then
\[
\overline{V} \cdot \left( \hat{V} \left( \hat{V}_1^{l_1-l_2} \hat{U}_1^{m_1} \otimes S^1(S^*)^{l_2} \right) \right) \left( \hat{V}_1^{n_1-n_2} \hat{U}_1^{m_2} \otimes S^{n_1}(S^*)^{n_2} \right)_{C^*(\overline{U})} = \left( \hat{V} \left( \hat{V}_1^{l_1+1} \hat{U}_1^{m_1} \otimes S^1(S^*)^{l_2} \right) \left( \hat{V}_1^{n_1-n_2} \hat{U}_1^{m_2} \otimes S^{n_1}(S^*)^{n_2} \right) \right)_{C^*(\overline{U})} = \hat{V} \left( \hat{V}_1^{l_1+1} \hat{U}_1^{m_1} \otimes S^1(S^*)^{l_2} \right) \left( \hat{V}_1^{n_1-n_2-1} \hat{U}_1^{m_2} \otimes S^{n_1}(S^*)^{n_2+1} \right)_{C^*(\overline{U})} = \left( \hat{V} \left( \hat{V}_1^{l_1} \hat{U}_1^{m_1} \otimes S^1(S^*)^{l_2} \right) \left( \hat{V}_1^{-1} \cdot \left( \hat{V}_1^{n_1-n_2} \hat{U}_1^{m_2} \otimes S^{n_1}(S^*)^{n_2} \right) \right) \right)_{C^*(\overline{U})},
\]
and so our generating elements are adjointable and unitary on the dense span of monomials in $Z_{C^*(\overline{U})}$. Thus if $\overline{U}$, $\overline{V}$ are bounded, they will generate an adjointable representation of $A_\phi$. To consider the boundedness of $\overline{U}$ and $\overline{V}$, we first note that the inner-product in $Z_{C^*(\overline{U})}$ is defined from multiplication in $T$ and the functional $\Psi$, which has the property $\Psi(T) \leq \|T\|$, by Equation (2.2). These observations imply that
\[
\|a\|_{\text{End}(Z)} = \sup_{z \in Z} (a \cdot z \mid a \cdot z)_{C^*(\overline{U})} \leq \sup_{z \in Z} \|aa^*\| (z \mid z)_{C^*(\overline{U})} = \|aa^*\|
\]
and so the action is bounded. Therefore the action of $A_\phi$ is bounded, and so extends to an adjointable action on $Z_{C^*(\overline{U})}$.

In Section 2.3, we show that by considering a left module $C^*(\overline{U})Z$, we may also obtain an adjointable representation of $A_\phi$. Before we finish building our Kasparov module, we need some further results arising from properties of the singular trace $\Psi$.

**Proposition 2.7.** Let $l_1 - l_2 = n_1 - n_2$. Then $\hat{V}_1^{n_1-n_2} \hat{U}_1^{m} \otimes S^{n_1}(S^*)^{n_2} = \hat{V}_1^{l_1-l_2} \hat{U}_1^{m} \otimes S^1(S^*)^{l_2}$ as elements in $Z_{C^*(\overline{U})}$.

**Proof.** We can assume without loss of generality that $l_1 = n_1 + k$ and $l_2 = n_2 + k$ for some $k \in \mathbb{Z}$. As a preliminary, we compute $\Psi \left[ (S^{n_1}(S^*)^{n_2} - S^{n_1+k}(S^*)^{n_2+k}) \cdot (S^{n_1}(S^*)^{n_2} - S^{n_1+k}(S^*)^{n_2+k}) \right]$. Firstly we expand
\[
(S^{n_1}(S^*)^{n_2} - S^{n_1+k}(S^*)^{n_2+k}) \cdot (S^{n_1}(S^*)^{n_2} - S^{n_1+k}(S^*)^{n_2+k}) = S^{n_2}(S^*)^{n_1} S^{n_1+k}(S^*)^{n_2+k} - S^{n_2+k}(S^*)^{n_1+k}(S^*)^{n_2+k} + S^{n_2+k}(S^*)^{n_1+k}(S^*)^{n_2+k} - S^{n_2+k}(S^*)^{n_1+k}(S^*)^{n_2+k}.
\]
We now recall that \( \Psi(S^\alpha(S^\beta)^\beta) = \delta_{\alpha,\beta} \), so that
\[
\Psi\left[(S^{n_1}(S^*)^{n_2} - S^{n_1+k}(S^*)^{n_2+k})^*(S^{n_1}(S^*)^{n_2} - S^{n_1+k}(S^*)^{n_2+k})\right] = \Psi(S^{n_2}(S^*)^{n_2}) - \Psi(S^{n_2+k}(S^*)^{n_2+k}) = 0.
\]
From this point, it is a simple task to show that \( \hat{V}^{n_1-n_2}\hat{U}^m \otimes S^{n_1}(S^*)^{n_2} = \hat{V}^{n_1-n_2}\hat{U}^m \otimes S^{n_1+k}(S^*)^{n_2+k} \) in the norm induced by \( \langle \cdot, \cdot \rangle_{C^*(\hat{U})} \).

**Lemma 2.8.** Let \( e_{n_1,n_2,m} \) denote the element \( \hat{V}^{n_1-n_2}\hat{U}^m \otimes S^{n_1}(S^*)^{n_2} \in Z_{C^*(\hat{U})} \). Then for all \( k \in \mathbb{Z} \)
\[
\Theta_{\epsilon_{1,2,k},\epsilon_{1,2,k}}(e_{n_1,n_2,m}) = \delta_{l_1-n_1-n_2} e_{n_1,n_2,m},
\]
where \( \Theta_{e,f}(g) = \epsilon(f|g)_{C^*(\hat{U})} \) are the rank-1 endomorphisms that generate \( \text{End}_0^{0}(\hat{U})^{C^*(\hat{U})}(Z) \).

**Proof.** We check that
\[
\Theta_{\epsilon_{1,2,k},\epsilon_{1,2,k}}(e_{n_1,n_2,m}) = \hat{V}^{l_1-l_2}\hat{U}^k \otimes S^{l_1}(S^*)^{l_2}
\times \left( \hat{V}^{l_1-l_2}\hat{U}^k \otimes S^{l_1}(S^*)^{l_2} \hat{V}^{n_1-n_2}\hat{U}^m \otimes S^{n_1}(S^*)^{n_2} \right)_{C^*(\hat{U})}
= \left( \hat{V}^{l_1-l_2}\hat{U}^k \otimes S^{l_1}(S^*)^{l_2} \right)_{C^*(\hat{U})}
= \hat{V}^{n_1-n_2}\hat{U}^m \otimes S^{n_1}(S^*)^{n_2} \delta_{l_1-n_1-n_2},
\]
where we have used Proposition 2.7.

With these preliminary results out the way, we now state the main result of this subsection.

**Proposition 2.9.** Define the operator \( N : \text{Dom}(N) \subset Z \to Z \) such that \( N \left( \hat{V}^{n_1-n_2}\hat{U}^m \otimes S^{n_1}(S^*)^{n_2} \right) = (n_1-n_2)\hat{V}^{n_1-n_2}\hat{U}^m \otimes S^{n_1}(S^*)^{n_2} \). Then \( (\mathcal{A}_\phi, Z_{C^*(\hat{U})}, N) \) is an unbounded, odd Kasparov module.

**Proof.** Lemma 2.8 shows that for any \( n_1, n_2 \) with \( n_1 - n_2 = k \), the operator \( \Phi_k = \Theta_{\epsilon_{n_1,n_2,0},\epsilon_{n_1,n_2,0}} \) is an adjointable projection. These projections form an orthogonal family
\[
\Phi_k \Phi_k = \delta_{l_1,k} \Phi_k
\]
by Lemma 2.8, and it is straightforward to show that \( \sum_{k \in \mathbb{Z}} \Phi_k \) is the identity of \( Z \) (convergence in the strict topology). The arguments used in [20] show that given \( z \in Z \) and defining \( \Phi_k z = z_k \), we have that
\[
z = \sum_{k \in \mathbb{Z}} z_k.
\]
This allows us to define a number operator
\[
N z = \sum_{k \in \mathbb{Z}} k z_k
\]
for those \( z \in \text{Dom}(N) = \left\{ \sum_k z_k : \sum_k k^2 \langle z_k | z_k \rangle_{C^*(\hat{U})} < \infty \right\} \). As \( N \) is given in its spectral representation, standard proofs show that \( N \) is self-adjoint (again, see [20] for an explicit proof).

To show that \( N \) is regular, we observe that
\[
N^2 \left( \hat{V}^{n_1-n_2}\hat{U}^m \otimes S^{n_1}(S^*)^{n_2} \right) = (n_1-n_2)^2 \hat{V}^{n_1-n_2}\hat{U}^m \otimes S^{n_1}(S^*)^{n_2}
\]
and so \( N^2 \) has the spanning set of \( T \) as eigenvectors. Therefore \( (1 + N^2) \) has dense range and so \( N \) is regular.
To check that we have an unbounded Kasparov module, we need to show that \([N, a]\) is a bounded endomorphism for \(a\) in a dense subset of \(\mathcal{A}_\phi\) and that \((1 + N^2)^{-1/2} \in \text{End}^0_{C^*(\hat{U})}(Z)\). We have that, for \(\beta \geq 0\)
\[
N(\hat{U}^\alpha \hat{V}^\beta) \left( \hat{V}^{n_1-n_2} \hat{U}^m \otimes S^{n_1}(S^*)^{n_2} \right) = N \left( e^{2\pi i \phi_0(n_1-n_2+\beta)} \hat{V}^{n_1-n_2+\beta} \hat{U}^{m+\alpha} \otimes S^{n_1+\beta}(S^*)^{n_2} \right) = (n_1 - n_2 + \beta)e^{2\pi i \phi_0(n_1-n_2+\beta)} \hat{V}^{n_1-n_2+\beta} \hat{U}^{m+\alpha} \otimes S^{n_1+\beta}(S^*)^{n_2}
\]
and
\[
(\hat{U}^\alpha \hat{V}^\beta) N \left( \hat{V}^{n_1-n_2} \hat{U}^m \otimes S^{n_1}(S^*)^{n_2} \right) = (n_1 - n_2)e^{2\pi i \phi_0(n_1-n_2+\beta)} \hat{V}^{n_1-n_2+\beta} \hat{U}^{m+\alpha} \otimes S^{n_1+\beta}(S^*)^{n_2},
\]
which implies that \([N, \hat{U}^\alpha \hat{V}^\beta] = \beta \hat{U}^\alpha \hat{V}^\beta\) since the span of \(\hat{V}^{n_1-n_2} \hat{U}^m \otimes S^{n_1}(S^*)^{n_2}\) is dense in the domain of \(N\) in the graph norm. Hence for an element \(a = \sum_{n, \alpha, \beta} a_{\alpha, \beta} \hat{U}^\alpha \hat{V}^\beta\) in a dense subset of \(\mathcal{A}_\phi\) with \((a_{\alpha, \beta}) \in \mathcal{S}(Z^2)\), the Schwartz class sequences, we have that
\[
[N, a] = \sum_{\alpha, \beta} \beta a_{\alpha, \beta} \hat{U}^\alpha \hat{V}^\beta
\]
which is in \(\mathcal{A}_\phi\) as \(\beta a_{\alpha, \beta} \in \mathcal{S}(Z^2)\) and therefore is bounded. An entirely analogous argument also works for \(\beta < 0\).

Finally, we recall that \(N^2\) has a set of eigenvectors given by the spanning functions
\[
\left\{ \hat{V}^{n_1-n_2} \hat{U}^m \otimes S^{n_1}(S^*)^{n_2} : n_1, n_2 \in N, m \in Z \right\}.
\]
This means that we can write
\[
N^2 = \bigoplus_{k \in Z} k^2 \Phi_k
\]
where \(\Phi_k\) is the projection on onto span\(\{\hat{V}^{n_1-n_2} \hat{U}^m \otimes S^{n_1}(S^*)^{n_2} \in Z_{C^*(\hat{U})} : n_1 - n_2 = k, m \in Z\}\). As the projections \(\Phi_k\) can be written as a rank one operator \(\Theta_{\epsilon_1, \epsilon_2, \epsilon_3, \epsilon_4, n_1, n_2} \in \text{End}^0_{C^*(\hat{U})}(Z)\), we have that
\[
(1 + N^2)^{-1/2} = \bigoplus_{k \in Z} (1 + k^2)^{-1/2} \Phi_k
\]
is a norm-convergent sum of elements in \(\text{End}^0_{C^*(\hat{U})}(Z)\) and is therefore in \(\text{End}^0_{C^*(\hat{U})}(Z)\).

2.3 A left module with \(\mathcal{A}_{\phi}^{op}\)-action

The module \(Z_{C^*(\hat{U})}\) has more structure. It is in fact a left \(C^*\)-module over \(C^*(\hat{U})\) where we define an inner-product by
\[
C^*(\hat{U}) \left( \hat{V}^{l_1-l_2} \hat{U}^{m_1} \otimes S^{l_1}(S^*)^{l_2} \right) \hat{V}^{n_1-n_2} \hat{U}^{m_2} \otimes S^{n_1}(S^*)^{n_2} = \hat{V}^{l_1-l_2} \hat{U}^{m_1} \left( \hat{V}^{n_1-n_2} \hat{U}^{m_2} \right)^* \times \psi \left[ S^{l_1}(S^*)^{l_2} \left( S^{n_1}(S^*)^{n_2} \right)^* \right] = \hat{V}^{l_1-l_2} \hat{U}^{m_1-m_2} \hat{V}^{n_1-n_2} \delta_{l_1-l_2, n_1-n_2} = \eta_{n_1-n_2}^{-1}(\hat{U}^{m_1-m_2}) \delta_{l_1-l_2, n_1-n_2},
\]
recalling that \(\eta_{n}(\hat{U}^{m}) = \hat{V}^{-n} \hat{U}^{m} \hat{V}^{n}\) is the automorphism defining the crossed-product structure. We check compatibility of \(\cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot \
Proposition 2.10. There is an adjointable representation of $A_{\phi} \cong A_{\phi}^{\text{op}}$ on $C^*(\tilde{U})Z$.

Proof. We construct an action by $C^*(U, V) \cong A_{\phi}^{\text{op}}$ by defining

$$U \cdot \left( \tilde{V}^{n_1-n_2} \tilde{U}^{m} \otimes S_1^{n_1}(S^*)^{n_2} \right) = \left( \tilde{V}^{n_1-n_2} \tilde{U}^{m} \otimes S_1^{n_1}(S^*)^{n_2} \right),$$

$$V \cdot \left( \tilde{V}^{n_1-n_2} \tilde{U}^{m} \otimes S_1^{n_1}(S^*)^{n_2} \right) = \left( \tilde{V}^{n_1-n_2} \tilde{U}^{m} \otimes S_1^{n_1}(S^*)^{n_2} \right).$$

and extending to the whole algebra. One finds that, as operators on $C^*(\tilde{U})Z$, $UV = e^{-2\pi i \phi} VU$. As previously, we check adjointability on generating elements, where

$$C^*(\tilde{U}) \left( U \cdot \left( \tilde{V}^{l_1-l_2} \tilde{U}^{m_1} \otimes S_1^{l_1}(S^*)^{l_2} \right) \right) \left( \tilde{V}^{n_1-n_2} \tilde{U}^{m_2} \otimes S_1^{n_1}(S^*)^{n_2} \right)$$

as expected. For $V$, we find that

$$C^*(\tilde{U}) \left( V \cdot \left( \tilde{V}^{l_1-l_2} \tilde{U}^{m_1} \otimes S_1^{l_1}(S^*)^{l_2} \right) \right) \left( \tilde{V}^{n_1-n_2} \tilde{U}^{m_2} \otimes S_1^{n_1}(S^*)^{n_2} \right)$$

and so our generating elements are adjointable and unitary on the dense span of monomials in $C^*(\tilde{U})Z$. Thus if $U, V$ are bounded, they will generate an adjointable representation of $A_{\phi}^{\text{op}}$. To consider the boundedness of $U$ and $V$, we first note that the inner-product in $C^*(\tilde{U})Z$ is defined from multiplication in $T$ and the functional $\Psi$, which has the property $\Psi(T) \leq \| T \|$, by Equation (2.2). These observations imply that

$$\| a^{\text{op}} \|_{\text{End}(Z)} = \sup_{z \in Z} C^*(\tilde{U}) (a^{\text{op}} \cdot z \mid a^{\text{op}} \cdot z) \leq \sup_{z \in Z} \| a^{\text{op}}(a^{\text{op}})^* \| C^*(\tilde{U}) (z \mid z) = \| a^{\text{op}}(a^{\text{op}})^* \|$$

and so the action is bounded. Therefore the action of $A_{\phi}^{\text{op}}$ is bounded, and so extends to an adjointable action on $C^*(\tilde{U})Z$. \hfill \Box

Remark 2.11. Our construction of $C^*(\tilde{U})Z$ shows that $Z$ can be equipped with a bimodule structure over $C^*(\tilde{U})$. Proposition 2.6 and 2.10 show that the right (resp. left) module comes with an adjointable representation of $A_{\phi}$ (resp. $A_{\phi}^{\text{op}}$). While it may be tempting to think so, we emphasise that these representations are not adjointable on the left (resp. right) module.

Another thing to note is that the actions of $A_{\phi}$ and $A_{\phi}^{\text{op}}$ on $Z$ commute. The proof of this is a computation; the only part that requires some work is to show that $[\tilde{U}, V] = 0$. Since

$$\tilde{U} V \left( \tilde{V}^{n_1-n_2} \tilde{U}^{m} \otimes V^{n_1}(S^*)^{n_2} \right) = e^{2\pi i \phi(n_1-n_2+1)} e^{2\pi i \phi} \tilde{V}^{n_1-n_2+1} \tilde{U}^{m+1} \otimes V^{n_1}(S^*)^{n_2},$$

and

$$V \tilde{U} \left( \tilde{V}^{n_1-n_2} \tilde{U}^{m} \otimes V^{n_1}(S^*)^{n_2} \right) = e^{2\pi i \phi(m+1)} e^{2\pi i \phi} \tilde{V}^{n_1-n_2+1} \tilde{U}^{m+1} \otimes V^{n_1}(S^*)^{n_2},$$

we find that, as required, $[\tilde{U}, V] = 0$. Once again, we reiterate that these actions cannot be considered as simultaneous representations on the level of right or left $C^*(\tilde{U})$-modules.
All the technical results in Section 2.2 about the singular trace $\Psi$ still hold in the left-module setting. In particular, a completely analogous argument to the proof of Proposition 2.9 gives us the following.

**Proposition 2.12.** The tuple $(A^\text{op}_\phi, C^*(\widehat{\mathcal{U}}), Z, N)$ is an odd, unbounded $\mathcal{A}^\text{op}_\phi \cdot C^*(\widehat{\mathcal{U}})^\text{op}$ Kasparov module.

## 2.4 Relating the module to the extension class

Now we put the pieces together. By [10, Section 7], the extension class associated to $(A^\phi, Z, C^*(\widehat{\mathcal{U}}), N)$ comes from the short exact sequence

$$0 \to \text{End}^0_{C^*(\widehat{\mathcal{U}})}(PZ) \to C^*(PA^\phi P) \to A^\phi \to 0,$$

where $P = \chi_{[0,\infty)}(N)$ is the non-negative spectral projection.

We have that the map $W : Z \to \ell^2(\mathbb{Z}) \otimes C^*(\widehat{\mathcal{U}})$ given by

$$W\left(\widehat{\chi}^{n_1-n_2}\widehat{\mathcal{U}}^m \otimes S^{n_1}(S^*)^{n_2}\right) = e_{n_1-n_2} \otimes \widehat{\mathcal{U}}^m$$

is an adjointable unitary isomorphism. Conjugation by the unitary $W$ gives an explicit isomorphism $\text{End}^0_{C^*(\widehat{\mathcal{U}})}(PZ) \cong \mathcal{K}[\ell^2(\mathbb{N})] \otimes C^*(\widehat{\mathcal{U}})$. This isomorphism is compatible with the sequence in Equation (2.3) in that the commutators $[P, S^k]$ and $[P, (S^*)^k]$ generate $\mathcal{K}[\ell^2(\mathbb{N})]$. With a suitable identification, the map

$$\text{End}^0_{C^*(\widehat{\mathcal{U}})}(PZ) \xrightarrow{\zeta} C^*(PA^\phi P)$$

is just inclusion.

Now define the isomorphism $\zeta : C^*(PA^\phi P) \to \mathcal{T}$ by

$$\zeta(P\widehat{\chi}^nP) = (\widehat{V} \otimes S)^n,$$

$$\zeta(P\widehat{\chi}^{-n}P) = [((\widehat{V} \otimes S)^*)]^n$$

for $n \geq 0$ and

$$\zeta(\widehat{U}^m) = \sum_{j=0}^{\infty} (\widehat{V}^*)^j \widehat{\mathcal{U}}^j \otimes S^j(1 - SS^*)(S^*)^j$$

and then extend accordingly. Then we have that the diagram

$$\begin{array}{ccc}
0 & \xrightarrow{=} & \mathcal{K} \otimes C^*(\widehat{\mathcal{U}}) & \xrightarrow{\zeta} & A^\phi & \xrightarrow{=} & 0 \\
\cong \text{Ad}W & \xrightarrow{\cong} & \mathcal{T} & \xrightarrow{\cong} & A^\phi & \xrightarrow{\cong} & 0 \\
0 & \xrightarrow{\cong} & \text{End}^0_{C^*(\widehat{\mathcal{U}})}(P\mathcal{T}) & \xrightarrow{\zeta} & C^*(PA^\phi P) & \xrightarrow{\cong} & A^\phi & \xrightarrow{\cong} & 0
\end{array}$$

commutes, and so these extensions are unitarily equivalent. We summarise this Section by the following.

**Proposition 2.13.** The extension class representing the short exact sequence of Equation (2.1) is the same as the class represented by the Kasparov module $(A^\phi, Z, C^*(\widehat{\mathcal{U}}), N)$ in $KK^1(A^\phi, C^*(\widehat{\mathcal{U}}))$.

## 3 The bulk-edge correspondence and the Kasparov product

### 3.1 Overview of the main result

Once again recall the short exact sequence

$$0 \to C^*(\widehat{\mathcal{U}}) \otimes \mathcal{K}[\ell^2(\mathbb{N})] \xrightarrow{\psi} \mathcal{T} \to A^\phi \to 0.$$ 

The ideal is considered as our boundary data, as we can consider it acting on $\ell^2(\mathbb{Z} \times \mathbb{N})$ but with compact operators acting in the direction perpendicular to the boundary. The quotient $A^\phi$ describes a quantum Hall system in the absence of the boundary.
There is an obvious spectral triple in the work of Bellissard et al. [2] for the boundary-free quantum Hall system. We use the notation \((A_{-\phi}, \ell^2(\mathbb{Z}), X)\) for this triple which represents a class in \(KK^0(A_{-\phi}, \mathbb{C})\). Here we have \(X = \begin{pmatrix} 0 & X_1 - iX_2 \\ X_1 + iX_2 & 0 \end{pmatrix}\), where \(X_1\) and \(X_2\) are position (or, equivalently, number) operators on \(\ell^2(\mathbb{Z})\). We think of this as a ‘Dirac-type’ operator.

We also have the natural spectral triple on \(C^*(\hat{U})\) that gives us a class \([C^*(\hat{U}), \ell^2(\mathbb{Z})_C, M]\) \(\in KK^1(C(S^1), \mathbb{C}) \cong KK^1(C^*(\hat{U}) \otimes K, \mathbb{C})\) for \(M\) the position/number operator on \(\ell^2(\mathbb{Z})\). Our idea is to use the Kasparov module that represents the Toeplitz extension to relate the bulk and boundary spectral triples via the internal Kasparov product. Namely, we claim that, under the map $\beta$ via the internal Kasparov product. Namely, we claim that, under the map $eta$ by considering the space $(A_{\phi},Z_{C^*(\hat{U})},N)$ giving rise to a class in $KK^1(A_{\phi}, C^*(\hat{U}))$. We now obtain our ‘boundary module’ by considering the space $\mathbb{Z}$ with action of $C^*(\hat{U})$ by translations; i.e. $(\hat{U}\lambda)(m) = \lambda(m-1)$. We have a natural spectral triple in this setting denoted by $\Delta = (C^*(\hat{U}), \ell^2(\mathbb{Z}), M)$, where $M : \text{Dom}(M) \to \ell^2(\mathbb{Z})$ is given by $M\lambda(m) = m\lambda(m)$. It is a simple exercise to show that $(C^*(\hat{U}), \ell^2(\mathbb{Z}), M)$ is indeed a spectral triple and therefore an odd, unbounded $C^*(\hat{U})$-$C$ Kasparov module. This is also what we would expect for a boundary system as the operator $M$ becomes the Dirac operator on the circle if we switch to position space. Our goal is to take the internal Kasparov product over $C^*(\hat{U})$ and obtain a class in $KK^0(A_{\phi}, \mathbb{C})$, which we then link to Bellissard’s spectral triple modelling a boundaryless quantum Hall system.

Whilst computing the product $\beta \otimes_{C^*(\hat{U})} \Delta$ is relatively straightforward, we relegate the details to the appendix and state the result.

**Lemma 3.1.** The Kasparov product of the unbounded modules $\beta = (A_{\phi}, Z_{C^*(\hat{U})}, N)$ and $\Delta = (C^*(\hat{U}), \ell^2(\mathbb{Z}), M)$ is given by

$$\beta \otimes_{C^*(\hat{U})} \Delta = - \left[ \frac{Z \otimes_{C^*(\hat{U})} \ell^2(\mathbb{Z})}{Z \otimes_{C^*(\hat{U})} \ell^2(\mathbb{Z})}, \begin{pmatrix} 0 & 1 \hat{\nabla} M - iN \hat{\otimes} 1 \\ 1 \hat{\otimes} \nabla M + iN \hat{\otimes} 1 & 0 \end{pmatrix} \right],$$

where $A_{\phi}$ acts diagonally and $\nabla : Z \to Z \otimes_{\text{poly}(\hat{U})} \Omega^1(\text{poly}(\hat{U}))$ is a connection on a smooth submodule $Z$ of $Z$ (see the Appendix). The overall minus sign means the negative of this class in $KK(A_{\phi}, \mathbb{C})$.

Our task now is to relate the product spectral triple of Lemma 3.1 to the boundary-free quantum Hall system.

---

1The authors of [2] actually deal with Fredholm modules, but there is a very natural extension to the setting of spectral triples.
3.2.2 Equivalence of the product triple and boundary-free triple

Recall once again [2, 16] our ‘bulk’ spectral triple

\[
\left( A_{-\phi}, \ell^2(\mathbb{Z}^2) \right), \left( \begin{array}{cc} 0 & X_1 - iX_2 \\ X_1 + iX_2 & 0 \end{array} \right),
\]

where \((X_1 \pm iX_2)\lambda(m,n) = (m \pm in)\lambda(m,n)\) for \(\lambda \in \text{Dom}(M \pm iN) \subset \ell^2(\mathbb{Z}^2)\) and \(A_{-\phi} \cong C^*(U, V)\) has the representation generated by

\[
(U \lambda)(m,n) = e^{-2\pi i \phi(m-n)} \lambda(m-n, n), \quad (V \lambda)(m,n) = \lambda(m,n-1),
\]

with \(H = U + U^* + V + V^*\) and \(\lambda \in \ell^2(\mathbb{Z}^2)\). Our quantum Hall system without boundary also comes with a representation of \(A_\phi \cong C^*(\hat{U}, \hat{V})\) by magnetic translations such that the two representations commute. To put this another way (cf [16]), let \(\sigma(k,k') = e^{2\pi i k_1 k_2}\) be a group 2-cocycle for \(\mathbb{Z}^2\). Then \(C^*(U, V)\) gives a right \(\sigma\)-representation of \(\mathbb{Z}^2\) and there is a corresponding left \(\sigma\)-representation of \(\mathbb{Z}^2\) by \(C^*(\hat{U}, \hat{V})\) which commutes with the right representation. Because \(C^*(U, V) \cong A_{-\phi} \cong A_\phi^{op}\), we obtain the following.

**Proposition 3.2.** The data \((A_\phi \otimes A_\phi^{op}, \ell^2(\mathbb{Z}^2) \otimes \ell^2(\mathbb{Z}^2), \left( \begin{array}{cc} 0 & X_1 - iX_2 \\ X_1 + iX_2 & 0 \end{array} \right), \gamma = \left( \begin{array}{cc} 1 & 0 \\ 0 & -1 \end{array} \right) \) defines an even spectral triple.

**Proof.** The only thing we need to check is that our Dirac-type operator has bounded commutators with a smooth subalgebra of \(C^*(\hat{U}, \hat{V})\), which is an easy computation.

We aim to reproduce this spectral triple via an explicit unitary equivalence with the module we have constructed via the Kasparov product. We state our central result.

**Theorem 3.3.** Let \(\varrho : Z_{C^*_{\mathbb{E}}(U)} \otimes_{C^*_{\mathbb{E}}(U)} \ell^2(\mathbb{Z}) \to \ell^2(\mathbb{Z}^2)\) be the map

\[
\varrho \left( \tilde{V}^{n_1-n_2} \tilde{U}^m \otimes S^{\alpha_1}(S^*)^{\alpha_2} \otimes_{C^*_{\mathbb{E}}(U)} \epsilon_j \right) = e^{-2\pi i \phi(j+m)(n_1-n_2)} e_{j+m,n_1-n_2},
\]

where \(\epsilon_j\) and \(\epsilon_{j,k}\) are the standard basis elements of \(\ell^2(\mathbb{Z})\) and \(\ell^2(\mathbb{Z}^2)\) respectively. Then there is a representation of \(A_\phi \otimes A_\phi^{op}\) on \(Z \otimes_{C^*_{\mathbb{E}}(U)} \ell^2(\mathbb{Z})\) such that \(\varrho\) gives a unitary equivalence between the spectral triple

\[
\left( A_\phi \otimes A_\phi^{op}, \ell^2(\mathbb{Z}^2) \right), \left( \begin{array}{cc} 0 & 1 \otimes \nabla M + iN \otimes 1 \\ 1 \otimes \nabla M - iN \otimes 1 & 0 \end{array} \right)
\]

arising from the product triple of Lemma 3.1 and the bulk quantum Hall triple in Proposition 3.2.

**Proof.** We first check that, by moving elements of \(C^*(\hat{U})\) across the internal tensor product,

\[
\tilde{V}^{n_1-n_2} \tilde{U}^m \otimes S^{\alpha_1}(S^*)^{\alpha_2} \otimes_{C^*_{\mathbb{E}}(U)} \epsilon_j = (\tilde{V}^{n_1-n_2} \otimes S^{\alpha_1}(S^*)^{\alpha_2}) \cdot \tilde{U}^m \otimes_{C^*_{\mathbb{E}}(U)} \epsilon_j
\]

we see that the map \(\varrho\) respects the inner-products on \(Z \otimes_{C^*_{\mathbb{E}}(U)} \ell^2(\mathbb{Z})\) and on \(\ell^2(\mathbb{Z}^2)\). Hence \(\varrho\) is an isometric isomorphism between Hilbert spaces.

Next we need to define a commuting representation of \(A_\phi^{op}\) on our product module. We can do this by pulling back the representation of \(C^*(U, V)\) on \(\ell^2(\mathbb{Z}^2)\) via the isomorphism \(\varrho\). Alternatively, the same representation comes from the left action of \(A_\phi^{op}\) on \(C^*_{\mathbb{E}}(U) Z\), the module we constructed in Section 2.3.

We first note that generating elements of \(Z_{C^*_{\mathbb{E}}(U)} \otimes_{C^*_{\mathbb{E}}(U)} \ell^2(\mathbb{Z})\) can be written as \(\tilde{V}^{n_1-n_2} \otimes S^{\alpha_1}(S^*)^{\alpha_2} \otimes \epsilon_j\) for some \(j \in \mathbb{Z}\) and \(n_1, n_2 \in \mathbb{N}\). Then

\[
U^n V^\beta \cdot (\tilde{V}^{n_1-n_2} \otimes S^{\alpha_1}(S^*)^{\alpha_2} \otimes \epsilon_j) = e^{2\pi i \phi j} \tilde{V}^{n_1-n_2+\beta} \otimes S^{\alpha_1+\beta}(S^*)^{\alpha_2} \otimes \epsilon_{j+\alpha}
\]
for $\beta \geq 0$. A similar formula but replacing $S^{n_1+\beta}(S^*)^{n_2}$ with $S^{n_1}(S^*)^{n_2+|\beta|}$ gives the action for $\beta < 0$.

This left-action of $\mathcal{A}_\phi^{op}$ is compatible with the isomorphism, that is,

$$\varrho \left[ U^n V^\beta \cdot \left( \hat{V}^{n_1-n_2} \hat{U}^m \otimes S^{n_1}(S^*)^{n_2+\epsilon_j} \right) \right] = U^n V^\beta \cdot \varrho \left( \hat{V}^{n_1-n_2} \hat{U}^m \otimes S^{n_1}(S^*)^{n_2} \otimes \epsilon_j \right)$$

and this relation extends appropriately.

What remains to check is that the map $\varrho$ is compatible with the representation of $\mathcal{A}_\phi$ and the Dirac-type operator. That is, we need to show that

$$\varrho \left[ \hat{U}^\alpha \hat{V}^\beta \cdot \left( \hat{V}^{n_1-n_2} \otimes S^{n_1}(S^*)^{n_2} \otimes \epsilon_j \right) \right] = \hat{U}^\alpha \hat{V}^\beta \cdot \varrho \left( \hat{V}^{n_1-n_2} \otimes S^{n_1}(S^*)^{n_2} \otimes \epsilon_j \right),$$

$$\varrho \left[ (1 \otimes \varphi M \pm iN \otimes 1) \left( \hat{V}^{n_1-n_2} \otimes S^{n_1}(S^*)^{n_2} \otimes \epsilon_j \right) \right] = (X_1 \pm iX_2) \varrho \left( \hat{V}^{n_1-n_2} \otimes S^{n_1}(S^*)^{n_2} \otimes \epsilon_j \right).$$

For the first claim, more computations give that, for $\beta \geq 0$,

$$\varrho \left[ \hat{U}^\alpha \hat{V}^\beta \cdot \left( \hat{V}^{n_1-n_2} \otimes S^{n_1}(S^*)^{n_2} \otimes \epsilon_j \right) \right] = e^{2\pi i \phi(\beta+n_1-n_2)} \hat{V}^{n_1-n_2+\beta} \otimes S^{n_1+\beta}(S^*)^{n_2} \otimes \epsilon_j + \alpha, n_1-n_2 + \beta$$

and

$$\hat{U}^\alpha \hat{V}^\beta \cdot \varrho \left( \hat{V}^{n_1-n_2} \otimes S^{n_1}(S^*)^{n_2} \otimes \epsilon_j \right) = e^{-2\pi i \phi(\beta+n_1-n_2)} \epsilon_j + \alpha, n_1-n_2 + \beta.$$

Again, the case for $\beta < 0$ is basically identical. Because the result holds for on generating elements, which are represented as shift operators, the result extends to the whole algebra and space. For the second claim, we once more check the result on spanning elements. We recall from the appendix that

$$\varrho \left[ (1 \otimes \varphi M \pm iN \otimes 1) \left( \hat{V}^{n_1-n_2} \otimes S^{n_1}(S^*)^{n_2} \otimes \epsilon_j \right) \right] = \hat{V}^{n_1-n_2} \otimes S^{n_1}(S^*)^{n_2} \otimes M \hat{U}^0 \epsilon_j$$

$$= j \left( \hat{V}^{n_1-n_2} \otimes S^{n_1}(S^*)^{n_2} \otimes \epsilon_j \right).$$

Therefore,

$$\varrho \left[ (1 \otimes \varphi M \pm iN \otimes 1) \left( \hat{V}^{n_1-n_2} \otimes S^{n_1}(S^*)^{n_2} \otimes \epsilon_j \right) \right] = (j \pm i(n_1-n_2)) \varrho \left( \hat{V}^{n_1-n_2} \otimes S^{n_1}(S^*)^{n_2} \otimes \epsilon_j \right)$$

$$= (j \pm i(n_1-n_2))e^{-2\pi i \phi(n_1-n_2)} \epsilon_j, n_1-n_2$$

and the main result follows by extending in the standard way.

\[\square\]

**Remark 3.4 (Factorisation and Poincaré duality).** In the proof of Theorem 3.3 the bimodule structure of $Z$ could be used to obtain the left-action of $\mathcal{A}_\phi^{op}$ on the product module. An important observation is that we can either take the Kasparov product of $(\mathcal{A}_\phi, Z_{C^\ast(E)}, N)$ or $(\mathcal{A}_\phi^{op}, C^\ast(E), Z, N)$ with our boundary module and the resulting module is the same. Hence we pick up an extra representation on our product module, which is necessary in order to completely link up the product module to the bulk spectral triple. The deeper meaning behind this extra structure is related to Poincaré duality for $\mathcal{A}_\phi$: see [6] for more information.

By setting up a unitary equivalence of spectral triples, we can conclude that the $K$-homological data presented in Bellissard’s spectral triple is the same as that presented by the product module we have constructed. The unitary equivalence is of course much stronger than just stable homotopy equivalence on the level of $K$-homology.
3.3 Pairings with $K$-Theory and the edge conductance

We know abstractly that the $KK^1$ class defined by the Kasparov module $(A_\phi, Z_{C^*(\hat{U})}, N)$ represents the boundary map in $K$-homology [10, Section 9]. We examine this more closely by considering the pairings related to the quantisation of the Hall conductance.

We recall that the bulk spectral triple $(A_\phi, \ell^2(\mathbb{Z}) \oplus \ell^2(\mathbb{Z}), X, \gamma)$ pairs with elements in $K_0(A_\phi) \cong \mathbb{Z}[1] \oplus \mathbb{Z}[p_\phi]$, where $p_\phi$ is the Powers-Rieffel projection. For simplicity, we denote the corresponding $K$-homology class of our spectral triple by $[X]$, where we know that $[X] = [\beta] \hat{\otimes}_{C^*(\hat{U})} [\Delta]$. Now, $[X]$ pairs non-trivially with $[p_F]$, the Fermi projection, to give the Hall conductance up to a factor of $e^2/h$. Hence we have that

$$\sigma_H = \frac{e^2}{h} \left( [p_F] \hat{\otimes}_{A_\phi} [X] \right) = -\frac{e^2}{h} \left( [p_F] \hat{\otimes}_{A_\phi} \left( [\beta] \hat{\otimes}_{C^*(\hat{U})} [\Delta] \right) \right),$$

where the minus sign arises from Lemma 3.1. We can now use the associativity of the Kasparov product to rewrite this equation as

$$[p_F] \hat{\otimes}_{A_\phi} \left( [\beta] \hat{\otimes}_{C^*(\hat{U})} [\Delta] \right) = ( [p_F] \hat{\otimes}_{A_\phi} [\beta] ) \hat{\otimes}_{C^*(\hat{U})} [\Delta].$$

We see that this new product $[p_F] \hat{\otimes}_{A_\phi} [\beta]$ is in $KK^1(\mathbb{C}, C^*(\hat{U})) \cong K_1(C^*(\hat{U})) \cong \mathbb{Z}$, where the last group has generator $\hat{U}$. So $[p_F] \hat{\otimes}_{A_\phi} [\beta]$ is represented by $\hat{U}^m \in C^*(\hat{U})$ for some $m \in \mathbb{Z}$ and we are now taking an odd index pairing.

Next we note that the map

$$K_1(C^*(\hat{U})) \times K^1(C^*(\hat{U})) \to \mathbb{Z} \quad \text{where} \quad ([p_F] \hat{\otimes}_{A_\phi} [\beta]) \times [\Delta] \mapsto ([p_F] \hat{\otimes}_{A_\phi} [\beta]) \hat{\otimes}_{C^*(\hat{U})} [\Delta]$$

depends only on our boundary data, so this pairing is the mathematical formulation of the so-called edge conductance which, as we have seen, is the same as our bulk Hall conductance up to sign.

Now, our definition of the edge conductance is purely mathematical, but one can see that the unitaries and spectral triples being used come quite naturally from considering the algebra $C^*(\hat{U})$ acting on $\ell^2(\mathbb{Z})$, which is exactly what we would consider as a ‘boundary system’ in the discrete picture. Hence our approach to the edge conductance is physically reasonable. Furthermore, the computation of the edge conductance boils down to computing $\text{Index} \left( \Pi \hat{U}^m \Pi \right) = -m$ for $\Pi : \ell^2(\mathbb{Z}) \to \ell^2(\mathbb{N})$, which is a much easier computation than $[p_F] \hat{\otimes}_{A_\phi} [X]$.

Appendix: Computing the odd Kasparov product

It is proved in [9, Theorem 7.5] that the $KK$-class of the product

$$\left( A_\phi, Z_{C^*(\hat{U})}, N \right) \hat{\otimes}_{C^*(\hat{U})} \left( C^*(\hat{U}), \ell^2(\mathbb{Z}), M \right)$$

is represented by

$$\left( A_\phi, \begin{pmatrix} Z \hat{\otimes}_{C^*(\hat{U})} \ell^2(\mathbb{Z}) & 0 \\ Z \hat{\otimes}_{C^*(\hat{U})} \ell^2(\mathbb{Z}) & 0 \end{pmatrix} \right) \mathbb{C}, \begin{pmatrix} 0 & N \hat{\otimes} 1 - i 1 \hat{\otimes} \nabla M \\ N \hat{\otimes} 1 + i 1 \hat{\otimes} \nabla M & 0 \end{pmatrix}. $$

There are several conditions to check in order to apply [9, Theorem 7.5], but the product we are taking turns out to be of the simplest kind, and we omit these simple checks. Here $A_\phi$ acts diagonally on column vectors, and the grading is $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$. To define $1 \hat{\otimes} \nabla M$, we let $Z_{C^*(\hat{U})}$ be the submodule of $Z$ given by finite sums of elements $\hat{V}^{n_1, n_2} \hat{U}^m \otimes S^{n_1} (S^*)^{n_2}$ and take the connection

$$\nabla : Z \to Z \hat{\otimes}_{\text{poly}(\hat{U})} \Omega^1(\text{poly}(\hat{U}))$$

given by

$$\nabla \left( \sum_{n_1, n_2, m} z_{n_1, n_2, m} \right) = \sum_{n_1, n_2} z_{n_1, n_2, 0} \otimes \delta(\hat{U}^m),$$

where $\delta(\hat{U}^m)$ is a symbol for the delta function.
where $\delta$ is the universal derivation, and we represent 1-forms on $\ell^2(Z)$ via

$$\tilde{\pi}(a_0 \delta(a_1)) \lambda = a_0[M, a_1] \lambda$$

for $\lambda \in \ell^2(Z)$. We define

$$(1 \otimes \nabla M)(z \otimes \lambda) := (z \otimes M \lambda) + (1 \otimes \tilde{\pi}) \circ (\nabla \otimes 1)(x \otimes \lambda).$$

The need to use a connection to correct the naive formula $1 \otimes M$ is because $1 \otimes M$ is not well-defined on the balanced tensor product. Computing yields that

$$(1 \otimes \nabla M) \left( \sum_{n_1, n_2, \beta} z_{n_1, n_2, \beta} \otimes \lambda \right) = \sum_{n_1, n_2} z_{n_1, n_2, 0} \otimes \hat{U}^\beta M \lambda + \sum_{n_1, n_2} z_{n_1, n_2} \otimes [M, \hat{U}^\beta] \lambda$$

$$= \sum_{n_1, n_2} z_n \otimes M \hat{U}^\beta \lambda.$$

Now conjugating the representation, operator and grading by $\begin{pmatrix} 0 & i \\ 1 & 0 \end{pmatrix}$ yields the unitarily equivalent spectral triple

$$\left( \mathcal{A}_\phi, \left( \begin{array}{c} Z \otimes C^*(\mathcal{D}) \ell^2(Z) \\ Z \otimes C^*(\mathcal{D}) \ell^2(Z) \end{array} \right) \right) \cdot \begin{pmatrix} 0 & -(1 \otimes \nabla M - iN \otimes 1) \\ -1 \otimes \nabla M + iN \otimes 1 & 0 \end{pmatrix}$$

with grading $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$. In turn, the $KK$-class of this spectral triple is given by

$$- \left[ \mathcal{A}_\phi, \left( \begin{array}{c} Z \otimes C^*(\mathcal{D}) \ell^2(Z) \\ Z \otimes C^*(\mathcal{D}) \ell^2(Z) \end{array} \right) \right] \cdot \begin{pmatrix} 0 & 1 \otimes \nabla M - iN \otimes 1 \\ 1 \otimes \nabla M + iN \otimes 1 & 0 \end{pmatrix}$$

with grading $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$.

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