Therapeutic Lumbar Punctures in Human Immunodeficiency Virus–Associated Cryptococcal Meningitis: Should Opening Pressure Direct Management?

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Background. Increased intracranial pressure (ICP) frequently complicates cryptococcal meningitis. Therapeutic lumbar punctures (LPs) have acute survival benefits in the first week, and we sought to understand the longer-term survival impact of therapeutic LPs.

Methods. We prospectively enrolled human immunodeficiency virus (HIV)–seropositive adults with cryptococcal meningitis from 2013 to 2017 in Uganda. We assessed the association between clinical characteristics, CSF parameters, and 14- and 30-day mortality by baseline ICP. We also assessed 30-day mortality by number of follow-up therapeutic LPs performed within 7 days.

Results. Our analysis included 533 participants. Participants with baseline ICP >350 mm H2O were more likely to have Glasgow Coma Scale (GCS) score <15 (P < .001), seizures (P < .01), and higher quantitative cryptococcal cultures (P < .001), whereas participants with ICP < 200 mm H2O were more likely to have baseline sterile CSF cultures (P < .001) and CSF white blood cell count ≥5 cells/µL (P = .02). Thirty-day mortality was higher in participants with baseline ICP >350 mm H2O and ICP <200 mm H2O as compared with baseline ICP 200–350 mm H2O (hazard ratio, 1.55 [95% confidence interval, 1.10–2.19]; P = .02). Among survivors at least 7 days, the 30-day relative mortality was 50% higher among participants who did not receive any additional therapeutic LPs compared to those with ≥1 additional follow-up LP (33% vs 22%; P = .04), irrespective of baseline ICP.

Conclusions. Management of increased ICP remains crucial in improving clinical outcomes in cryptococcal meningitis. Guidelines should consider an approach to therapeutic LPs that is not dictated by baseline ICP.

Keywords. baseline opening pressure; cryptococcal meningitis; mortality; therapeutic lumbar puncture.
symptom-directed. Existing guidelines do not suggest a role of therapeutic LPs in cryptococcal meningitis when baseline ICP is not elevated or in persons without classical signs and symptoms of increased ICP [10, 15, 16].

While guidelines emphasize therapeutic LPs based on baseline ICP, several studies have demonstrated that additional therapeutic LPs, irrespective of baseline ICP or symptoms, improve overall survival [17, 18]. We previously demonstrated a 69% reduction in approximately 10-day survival for persons receiving 1 therapeutic LP in the first week, irrespective of baseline ICP [17]. In this study, we investigate the role of repeat therapeutic LPs in the first week, for survival outcomes after the first week through 30 days. Additionally, we evaluate risk factors for elevated ICP in HIV-associated cryptococcal meningitis and its relationship with survival.

METHODS

Data from the Adjunctive Sertraline for the Treatment of HIV-Associated Cryptococcal Meningitis (ASTRO-CM) pilot study and randomized controlled trial were used in this analysis.

The ASTRO-CM phase 2 trial, conducted from August 2013 to August 2014, was an open-label dose-finding study to evaluate the safety and tolerability of escalating doses of adjunctive sertraline in addition to standard therapy for cryptococcal meningitis [19]. Eligible participants in the ASTRO-CM phase 3 randomized clinical trial, conducted from March 2015 to May 2017, received standard antifungal therapy with either adjunctive sertraline or placebo [20]. Sertraline was administered at a dose of 400 mg/day for 2 weeks followed by 200 mg/day for 12 weeks. Eligible participants in both studies included HIV-seropositive adults (≥18 years old) diagnosed with first-episode cryptococcal meningitis. Diagnosis of cryptococcal meningitis was made via a positive finger stick and CSF cryptococcal antigen lateral flow assay (IMMY, Norman, Oklahoma) [21, 22]. All participants received standard antifungal therapy of up to 14 days of amphotericin B (0.7–1 mg/kg/day) plus fluconazole (800 mg/day for 4 weeks followed by 400 mg/day for 8 weeks). For the purposes of our analyses, randomized groups were combined as sertraline or placebo [20]. Statistical Analysis

We summarized demographic variables and baseline characteristics by ICP (<200 mm H$_2$O (intended to represent low-normal ICP), 200–350 mm H$_2$O (moderately elevated ICP), and >350 mm H$_2$O (severely elevated ICP) [24], which roughly divided the study cohort into tertiles. Association between baseline ICP groups and binary characteristics were determined using Cochran-Mantel-Haenszel test. Association between baseline ICP groups and continuous characteristics were determined using rank-based methods (Kruskal-Wallis). Groups were compared for mortality using a log-rank test and hazard ratios (HRs) were estimated using Cox proportional hazard models (unadjusted and adjusted) using the 200–350 mm H$_2$O group as the reference, as mortality was lowest in this ICP group.

RESULTS

Of 632 total participants enrolled in the ASTRO-CM studies, 533 with first-episode cryptococcal meningitis had a baseline CSF opening pressure recorded and were included in this analysis. Demographic and clinical characteristics are summarized by baseline ICP of <200 mm H$_2$O, 200–350 mm H$_2$O, and >350 mm H$_2$O in Table 1. Participants with severely elevated baseline ICP (>350 mm H$_2$O) were more likely to present with a Glasgow Coma Scale (GCS) score <15 (P < .01), self-reported baseline seizures (P < .01), focal neurologic deficit (P = .03), and vision changes (P < .001) compared with participants who had a baseline ICP of <200 mm H$_2$O and 200–350 mm H$_2$O. Persons with a severely elevated baseline ICP also had higher CSF quantitative cryptococcal culture (median ~160 000 CFU/mL) as compared to ICP of 200–350 mm H$_2$O (median ~50 000 CFU/mL) and <200 mm H$_2$O (median ~32 000 CFU/mL) (P < .001). A lower frequency of those with severely elevated baseline ICP were receiving antiretroviral therapy (ART) (40% compared to 50% or 51% in low-normal or moderately elevated baseline ICP groups), though this was not significantly significant (P = .08). A higher proportion of participants with a low-normal baseline ICP (<200 mm H$_2$O) had sterile cultures (P < .001), CSF white cells ≥5 cells/μL
(P = .02), and lower serum hemoglobin (P < .001) as compared to those with elevated baseline ICP. Baseline CD4+ T-cell counts and proportion of participants receiving ART did not differ across the ICP groups.

Mortality through 30 days differed between ICP groups (log-rank P = .02) as shown in Figure 1, with the lowest proportion of deaths occurring in the 200–350 mm H2O ICP group (30%). Although the proportion of deaths in the <200 mm H2O and the >350 mm H2O groups were similar, we observed higher early (<14 days) mortality in the >350 mm H2O group. HRs for 14- and 30-day mortality, using baseline ICP of 200–350 mm H2O as the reference group, are shown in Table 2. In unadjusted analysis, baseline ICP >350 mm H2O was associated with an increased risk of 14-day (HR, 1.73 [95% confidence interval [CI], 1.18–2.55]) and 30-day (HR, 1.55 [95% CI, 1.10–2.19]) mortality. This significance was not retained after adjusting for GCS score, seizures, focal neurologic deficit, CSF white blood cell (WBC) count >5 cells/µL, quantitative cryptococcal culture, and hemoglobin, although some of these are on the causal pathway of elevated ICP. We did not find a statistically significant increased risk of mortality at 14 days in those with a baseline ICP <200 mm H2O in either the unadjusted model (HR, 1.31 [95% CI, 0.87–1.98]) or the adjusted model (HR, 1.34 [95% CI, 0.83–2.14]). In both the adjusted and unadjusted analysis, however, participants with a baseline ICP <200 mm H2O had an increased risk of death at 30 days (HR, 1.48 [95% CI, 1.05–2.10]; adjusted HR, 1.50 [95% CI, 1.01–2.23]).

Among participants who survived at least 7 days (n = 424) and were eligible for 2 protocol-specified LPs, 72 (17%) received no further LPs, 132 (31%) received 1 therapeutic LP, and 220 (52%) received 2 or more therapeutic LPs in the first 7 days of follow-up. The clinical characteristics by number of LPs received is shown in Supplementary Table 1. Participants

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Table 1. Baseline Demographics by Baseline Cerebrospinal Fluid Opening Pressure

| Characteristic                  | No. | OP <200 mm H2O | OP 200–350 mm H2O | OP >350 mm H2O | P Value* |
|---------------------------------|-----|---------------|------------------|---------------|---------|
| No. with baseline LP            |     | 163           | 197              | 173           |         |
| Demographics                    |     |               |                  |               |         |
| Age, y, median (IQR)            | 163 | 35 (30–42)    | 35 (30–40)       | 34 (29–40)    | .06     |
| Female sex                      | 163 | 72 (44.2)     | 64 (32.5)        | 68 (39.3)     | .07     |
| Weight, kg, median (IQR)        | 142 | 52 (46–58)    | 53.5 (48–60)     | 53 (50–60)    | .12     |
| ART                             |     |               |                  |               |         |
| Currently on ART                | 163 | 81 (49.7)     | 101 (51.3)       | 69 (40.1)     | .08     |
| Months on ART, median (IQR)     | 80  | 4.2 (0.9–18.2)| 6.6 (1.1–37.7)   | 3.3 (0.5–26.2)| .26     |
| Clinical symptoms               |     |               |                  |               |         |
| GCS score <15                   | 163 | 44 (60.5)     | 71 (36.0)        | 93 (53.8)     | <.01    |
| Focal neurologic deficit        | 163 | 4 (2.5)       | 5 (2.5)          | 15 (8.7)      | .03     |
| Seizures                        | 163 | 16 (9.8)      | 25 (12.7)        | 37 (21.4)     | <.01    |
| Fever                           | 163 | 87 (53.4)     | 97 (49.2)        | 99 (57.2)     | .31     |
| Headache                        | 163 | 158 (96.9)    | 194 (98.5)       | 171 (98.8)    | .39     |
| Vision changes                  | 163 | 50 (30.7)     | 50 (25.4)        | 75 (43.4)     | <.001   |
| Photophobia                     | 163 | 39 (23.9)     | 51 (25.9)        | 59 (34.1)     | .08     |
| Confusion                       | 163 | 60 (36.8)     | 61 (31.0)        | 73 (42.2)     | .08     |
| Vomiting                        | 163 | 89 (54.6)     | 108 (54.8)       | 115 (66.5)    | .04     |
| Laboratory                      |     |               |                  |               |         |
| CD4+ count, cells/µL, median (IQR) | 155 | 17 (7–53)     | 19 (6–49)        | 16 (5–25)     | .14     |
| Sodium <130 mEq/L               | 118 | 64 (54.2)     | 72 (53.7)        | 67 (60.9)     | .47     |
| WBC count ≥3.5 x 10^9 cells/µL  | 151 | 73 (48.3)     | 89 (50.0)        | 98 (61.6)     | .03     |
| Hemoglobin, g/dL, median (IQR)  | 151 | 11.0 (9.0–12.3)| 11.5 (10.0–13.1)| 12.5 (11.0–13.7)| <.001 |
| ANC, 10^9 cells/µL, median (IQR)| 151 | 1.9 (1.1–2.9)| 1.8 (1.3–2.8)    | 2.6 (1.8–4.0) | <.001   |
| CSF                             |     |               |                  |               |         |
| Cryptococcus, log_{10} CFU/µL, median (IQR) | 133 | 4.5 (3.0–5.3)| 4.7 (3.5–5.3)    | 5.2 (4.4–5.8) | <.001   |
| Sterile cryptococcal culture    | 162 | 29 (17.9)     | 19 (11.1)        | 21 (1.2)      | <.001   |
| WBC count, cells/µL, median (IQR)| 152 | <5 (<5–50)| <5 (<5–45)       | <5 (<5–45)    | .10     |
| WBC count ≥5 cells/µL           | 152 | 68 (44.7)     | 64 (33.0)        | 51 (31.0)     | .02     |
| Protein, mg/dL, median (IQR)    | 134 | 60 (24–129)   | 46 (22–100)      | 47 (20–91)    | .25     |

Data are presented as No. (%) unless otherwise indicated.
Abbreviations: ANC, absolute neutrophil count; ART, antiretroviral therapy; CSF, cerebrospinal fluid; GCS, Glasgow Coma Scale; H2O, water; IQR, interquartile range; LP, lumbar puncture; OP, opening pressure; WBC, white blood cell.
*Kruskal-Wallis test for medians; \( \chi^2 \) test for proportions.
\( \chi^2 \) Among those on ART at cryptococcal meningitis diagnosis.
\( \chi^2 \) Excludes CSF sterile cultures at baseline in performing quantitative CSF cultures.
who received 2 or more additional LPs were more likely to have presented with a GCS score <15 (P < .001), self-reported seizures (P = .02), photophobia (P < .001), higher baseline ICP (P < .001), and higher CSF quantitative cryptococcal culture (P < .001). In contrast, participants who had no additional follow-up therapeutic LPs had lower serum hemoglobin levels (P < .001), lower CSF ICP (P < .001), lower CSF quantitative cryptococcal culture (P < .001), and a higher percentage of sterile CSF cultures (P = .03). The association between the number of follow-up LPs received in the first 7 days and 30-day mortality is shown in Figure 2. Among participants who had survived at least 7 days, participants who received no additional LPs had a higher mortality (n = 24/72 [33%]) compared to participants who had received either 1 additional LP (n = 29/132 [22%]) or 2 or more LPs (n = 43/220 [19.5%]) (P = .04) over the first 7 days of induction therapy, regardless of baseline ICP.

**DISCUSSION**

The role of LPs and CSF drainage in the management of increased ICP in HIV-associated cryptococcal meningitis has been well documented to improve CSF opening pressures, relieve symptoms of ICP, and reduce neurological sequelae [7, 17]. No current studies, however, have been able to properly inform on the optimal frequency that LPs should be performed in cryptococcal meningitis. Observational studies looking at the association between mortality and baseline opening pressures, however, have had mixed results. Graybill et al found an
association between high baseline ICP and increased mortality [7], whereas Bicanic et al found no association between elevated baseline ICP and 2- or 10-week mortality when performing scheduled LPs [18]. Our study adds to current published literature supporting the association between high baseline ICP and increased mortality as well as support the role of scheduled follow-up therapeutic LPs irrespective of baseline ICP.

Current guidelines place emphasis on performing therapeutic LPs when baseline opening pressures are elevated or if there are symptoms of increased ICP [10]. There is no guidance on performing follow-up therapeutic LPs when baseline opening pressures are <200 mm H$_2$O or symptoms of increased ICP are absent. In our study, 31% of participants had opening pressures <200 mm H$_2$O and would not have received any additional therapeutic LPs during their hospitalization. For participants who did not receive at least 1 therapeutic LP, our data showed a 50% higher relative mortality by 30 days regardless of baseline opening pressure. Therefore, basing recommendations on the use of opening pressures as well as signs and symptoms of increased ICP to dictate the need for therapeutic LPs has its limitations, and further research is needed to investigate the impact of performing therapeutic LPs regardless of the opening pressure and the presence of symptoms.

Participants presenting with severely elevated baseline opening pressures (>350 mm H$_2$O) had a higher 30-day mortality, with the majority of deaths occurring within the first 14 days of hospitalization. Having a baseline opening pressure >350 mm H$_2$O was associated with an increased risk of mortality at both 14 and 30 days. This association was lost when adjusting for altered mental status, seizures, focal neurologic deficits, CSF WBCs, quantitative cryptococcal culture, and hemoglobin, which demonstrates that severely elevated baseline pressure is a manifestation of advanced disease. Many of the variables are a direct sequel of elevated ICP being on the causal pathway toward mortality, such as altered mental status, seizures, and neurologic deficits. While participants with a higher opening pressure are more likely to receive repeated therapeutic LPs throughout their hospitalization, they continued to have higher early mortality as compared to those with lower baseline opening pressures. Our data support consideration of early and aggressive management of severely elevated ICP, including placement of lumbar drains or ventriculoperitoneal shunting in settings where it is possible and if opening pressures remain elevated beyond the first week of cryptococcal meningitis therapy [25–27].

We also observed that baseline opening pressures of <200 mm H$_2$O were associated with increased mortality, but with a higher proportion of deaths occurring later in the disease course. This has also been observed in other large cohorts of individuals with HIV-associated cryptococcal meningitis. Jarvis et al similarly found that low CSF opening pressure (<250 mm H$_2$O) was independently associated with 10-week, but not 2-week, mortality [28]. We found that although participants with a baseline opening pressure <200 mm H$_2$O presented less frequently with classic or even subtle symptoms of ICP, they were more likely to be anemic, have sterile CSF cultures at baseline, have an increased frequency of CSF pleocytosis, and receive fewer LPs throughout their hospitalization. Since current guidelines do not suggest a need for therapeutic LPs in the context of normal baseline opening pressure, it is possible that a lack of therapeutic LPs, which we observed to be beneficial regardless of baseline ICP, may partially explain this increased mortality. One possible explanation for improved outcomes with therapeutic LPs, even at low baseline ICP, is a falsely low baseline measurement. Other possible explanations include a delayed increase in ICP without symptoms over the course of the illness or decreasing CSF fungal burden through manual draining with repeated LPs.

The observation that moderately elevated baseline opening pressure is associated with a lower mortality than those with a low-normal baseline opening pressure (<200 mm H$_2$O) might be considered counterintuitive, though this has been observed in other large studies investigating determinants of mortality in cryptococcal meningitis as well [28]. It is possible that physiologic compensatory mechanisms and proinflammatory cytokines associated with moderately elevated opening pressure are protective. Following this line of reasoning, additional likely explanations for increased mortality at low-normal
baseline opening pressure in cryptococcal meningitis could include (1) the presence of an overactive or aberrant proinflammatory immune response [29] or (2) the absence of protective physiologic mechanisms that could be exacerbated by the presence of anemia that we observed in this group.

We also observed that participants with a baseline ICP <200 mm H₂O had lower hemoglobin levels at baseline. Anemia has been linked to worse survival in cryptococcal meningitis in several studies [28, 30, 31]. Tugume et al previously reported that in those with hemoglobin <8.5 g/dL at diagnosis, cryptococcal mortality hazard was 2.7-fold elevated at 2 weeks [30]. In cryptococcal meningitis, hemoglobin levels were found to be associated with regional cerebral oxygen saturation of tissue delivery of oxygen; hemoglobin positively correlated with cerebral oxygen saturation [32]. Low regional cerebral oxygen saturation <30% was found to be associated with mortality through 30 days [32]. Anemia may be an important modifiable risk factor for mortality, decreasing oxygen delivery to the brain, whereby even small increases in ICP may have a detrimental effect in persons with decreased oxygen carrying capacity to the brain.

Participants in our study who did not receive any therapeutic LPs were more likely to have normal baseline opening pressures and were less likely to present with signs and symptoms of raised ICP. We observed higher 30-day mortality among participants not receiving a therapeutic LP in the first 7 days of therapy irrespective of baseline opening pressures; those receiving 1, 2 or more additional LPs during the first 7 days had...
relatively similar 30-day mortality. The survival benefit of therapeutic LPs irrespective of opening pressures is further supported in other studies [17]. Manometers for measuring opening pressure are not commonly available in settings where cryptococcal meningitis is most prevalent, forcing alternatives to opening pressure-directed management of raised ICP. Scheduled LPs during induction antifungal therapy, for example, have been shown to have mortality benefits. In South Africa, Mkoko et al found that in the absence of manometers, performing 4 or more LPs in the first 7 days reduced in-hospital mortality by 60% as compared to having <4 LPs performed (11.6% vs 29% mortality) [33]. In Tanzania, Meda et al found that following a strict schedule of performing LPs on study days 0, 3, 7, and 14, rather than leaving the decision to the discretion of the treating clinicians, reduced in-hospital mortality [34]. Our data demonstrate that scheduled LPs provide a mortality benefit even where manometers are widely available, an assertion that other researchers have previously made as long ago as 1994 [35]. The improved survival demonstrated in studies where therapeutic LPs are scheduled would suggest that all individuals should receive repeat therapeutic LPs during their hospitalization irrespective of baseline opening pressure measurement and provides a basis for management where manometers are not available. We have previously recommended removal of 20 mL of CSF in the absence of a manometer, being the median volume removed in this cohort [17].

Our analysis is one of the largest to date investigating the effects of baseline CSF opening pressures and therapeutic LPs on survival. In the context of existing guidelines, we found that symptom-based monitoring for raised ICP has its limitations, which could result in missed recognition of increased ICP. Most guidelines recommend that a repeat LP be performed at 2 weeks to document mycological sterility. Most LPs performed. Further research is also needed to understand the driving force behind the late mortality seen in persons who present with low CSF opening pressures.

Our study has several limitations inherent in the observational nature of the study. First, our analysis may be confounded by time-dependent bias. We attempted to minimize the effect of time-dependent bias by restricting our mortality analysis only to those who had survived the first 7 days of hospitalization, thereby excluding participants who died shortly after hospitalization and were unable to receive any additional LPs. Second, the number of LPs each participant received varied. Protocol-specified LPs applied to all participants; however, the decision to decline LPs was often influenced by participant or family hesitancy coupled with the severity of illness. This may have biased our mortality analysis. Third, our study was conducted in the context of changing ART availability and standards of care. Antifungal therapy for cryptococcal meningitis in resource-limited settings at the time included a combination of amphotericin B and high-dose fluconazole. We recognize that with the revised WHO guidelines (2018 and 2022) and increasing access to flucytosine and liposomal amphotericin, globally, there will be improved mortality among cryptococcal cohorts. That said, even with improved antifungal regimens, we believe that LPs will remain a crucial tool in the treatment of cryptococcal meningitis. While those with severely elevated baseline ICP were more likely to be ART naïve, this was not statistically significant and a link between baseline ICP and ART status has not been previously observed [38].

In conclusion, the management of ICP in cryptococcal meningitis remains a challenge and contributes to both short- and long-term mortality. We recommend, at a minimum, scheduled LPs at day 3 and 7 after diagnosis in all persons with cryptococcal meningitis. Future studies are needed to further understand contributing risk factors in persons with normal opening pressure and to understand the optimal number and scheduling of LPs in cryptococcal meningitis.

Supplementary Data
Supplementary materials are available at Open Forum Infectious Diseases online. Consisting of data provided by the authors to benefit the reader, the posted materials are not copyedited and are the sole responsibility of the authors, so questions or comments should be addressed to the corresponding author.

Notes
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