First search for r-mode gravitational waves from PSR J0537-6910

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We report results of the first search to date for continuous gravitational waves from unstable r-modes from the pulsar PSR J0537-6910. We use data from the first two observing runs of the Advanced LIGO network. We find no significant signal candidate and set upper limits on the amplitude of gravitational wave signals, which are within an order of magnitude of the spin-down values. We highlight the importance of having timing information at the time of the gravitational wave observations, i.e. rotation frequency and frequency-derivative values, and glitch occurrence times, such as those that a NICER\textsuperscript{[1]} campaign could provide.

I. INTRODUCTION

Fast spinning neutron stars are among the most promising sources of gravitational radiation. Non-axisymmetric quadrupole deformations and “wobbles” of rotating stars will produce quasi-monochromatic long-lasting gravitational emission – continuous gravitational waves (CWs). In addition, gravitational radiation can destabilize \textit{r-modes} – quasi-normal stellar oscillations of rotating stars\textsuperscript{[2–4]} – and give rise to substantial continuous gravitational wave emission. This instability is particularly interesting in hot young neutron stars because it could provide an effective spin-down mechanism\textsuperscript{[5]}.

If neutron stars form in collapse processes, from the conservation of angular momentum one might expect their initial spin to be close to the theoretical maximum that their structure could support, between 500 and 2000 Hz, depending on the equation of state. The observations, however, indicate that young neutron stars present rather smaller spins. Gravitational-wave driven r-mode instabilities have been proposed as a mechanism to explain the missing young fast-rotating pulsars\textsuperscript{[6]}.

The fastest and the most energetic known young pulsar is PSR J0537-6910. This object is associated with the supernova remnant N157B in the Large Magellanic Cloud, its age is estimated to be 4000 years and it is spinning at about 62 Hz\textsuperscript{[7, 8]}. This spin frequency may be just below the predicted final frequency for the r-mode emission mechanism\textsuperscript{[9]}.

The analysis of 13 years (1999–2011) of X-ray spin timing observations of PSR J0537-6910 with the Rossi X-ray Timing Explorer (RXTE) has revealed an extreme glitch activity with abrupt spin-ups (glitches) every few months and a subsequent post-glitch relaxation phases\textsuperscript{[10]}. A recent study of the post-relaxation phase data has found an intriguing indication: the average braking index during these periods is \(\approx 7.4\)\textsuperscript{[11]}.

Why is this intriguing? The braking index \(n\) is commonly used to describe the spin evolution of neutron stars, \(\dot{\nu}(t) \propto \nu(t)^n\), with \(n = \nu/\dot{\nu}^2\), with \(\nu\) indicating the spin frequency. If the star’s spin evolution is driven by magnetic dipole emission \(n = 3\); if quadrupolar gravitational wave emission is the culprit then \(n = 5\); for \(r\)-modes \(n = 7\). This means that the measured value of the braking index \(n \approx 7.4\) is suggestive that unstable \(r\)-mode emission might be the main driver of the spin evolution of PSR J0537-6910.

With this background we perform a directed search for continuous gravitational waves from PSR J0537-6910 assuming that the emission stems from unstable \(r\)-modes. We use data from the Advanced LIGO network (aLIGO)\textsuperscript{[12–14]} spanning the period between Sept, 2015 and Aug, 2017. Since EM observations of J0537 are not available for this period, the pulsar’s rotational parameters are not precisely known and its glitch activity is unknown.

The paper is organized as follows: we summarise relevant results from the timing analysis of EM data in Sec. II. Relations between the expected GW-frequency and spin of the pulsar are defined in Sec. III, followed by the description of the detection method and the search parameter space in Sec. IV C. The results of the search are presented in Sec. V.

II. SPIN EVOLUTION OF J0537-6910

PSR J0537-6910 is spinning at \(\approx 62\) Hz with a strong spin-down rate \(\dot{\nu} \approx -2 \times 10^{-10} \text{Hz/s}\). Its spin evolution is usually described as the superposition of two trends: the long-term (LT) evolution that describes the spin evolution on the timescale of years and the short-term (ST) evolution that describes the post-glitch recovery phase and is appropriate for weeks after a glitch\textsuperscript{[10]}.

The long-term braking index \(n_{LT}\) of J0537-6910 is derived by fitting the measurements of \(\dot{\nu}\) at the mid-time epochs between two subsequent glitches – glitch-free or \textit{inter-glitch} intervals – over 13 years of data. The result is \(n_{LT} = -1.22\) with a negative second-order frequency derivative \(\dot{n}_{LT} = -7.7 \times 10^{-22} \text{Hz/s}^2\)\textsuperscript{[10]}.

The inter-glitch evolution is estimated through a
phase-coherent timing analysis of the 45 known post-glitch intervals and yields a wide range of braking indices, with most $n_{\text{ig}} > 10$ [10]. A detailed analysis [11] shows that the largest contributions to $n_{\text{ig}}$ come from epochs $\leq 50$ days after a glitch, indicating the existence of an early fast relaxation phase. In contrast, an asymptotic value of $n_{\text{ig}}$ for the longer time intervals is $\approx 7.4$ (Fig. 3 in [11]), which might reflect the fact that gravitational wave emission due to r-mode instability is causing the observed spin-down. For simplicity we will assume that r-mode emission sets-in 50 days after a glitch.

TABLE I: Evolution parameters of PSR J0537-6910 [10]

| Sky position                      |                       |
|----------------------------------|-----------------------|
| $\alpha$ [rad]                   | 0.09825990            |
| $\delta$ [rad]                   | -1.20728496           |

Long-term evolution

- $n_{L,T} = -1.22 \pm 0.04$  
- $\dot{n}_{L,T}$ [Hz/s$^2$] $= -7.7 \times 10^{-22} \pm 3 \times 10^{-23}$

Short-term evolution

- $n_{\text{ig}} = 7.4 \pm 0.7$  
- $\dot{n}_{\text{ig}}$ [Hz/s$^2$] $= 4.89 \times 10^{-21} \pm 7 \times 10^{-23}$

- $\ddot{n}_{\text{ig}}$ [Hz/s$^3$] $= 2.13 \times 10^{-20} \pm 7 \times 10^{-22}$

The last observation

- fit epoch $t_{\text{obs}}$ [GPS] 1004659215
- $\nu\big|_{t_{\text{obs}}}$ [Hz] $= 61.961105096 \pm 5 \times 10^{-9}$

FIG. 1: Distribution of measured $\dot{\nu}_{\text{ig}} \pm \delta \dot{\nu}_{\text{ig}}$ for every known inter-glitch period, as a function of the fit epoch. The fit epochs is 0 at the time of each glitch. (We used the data from Tab. I of [10].)

Fig. 1 shows the second-order frequency derivative values for the various inter-glitch periods as a function of the epoch of the measurement. For epochs which are more than 50 days after the glitch, $\dot{n}_{\text{ig}} \in [4.89(7) \times 10^{-21}, 2.13(7) \times 10^{-20}]$ Hz/s$^2$, Tab. I of [10].

The most important values from the timing analysis of PSR J0537-6910 are summarised in Tab. I.

III. GW-EMISSION FROM R-MODES

The strongest gravitational waves are expected from the quadrupole ($l = m = 2$) r-mode, so we concentrate on this. The gravitational wave frequency $f$ associated with this mode depends on the neutron star structure and its rotation frequency $\nu$ in a non-trivial manner [4, 5]. We follow the prescription of [15] and use the following relations:

$$\begin{cases}
   f/\nu = A - B (\nu/\nu_K)^2 \\
   \dot{f}/\nu = 3 (\nu/\nu_K)^2 \\
   \ddot{f}/\nu = A - 3 B (\nu/\nu_K)^2 (1 - \frac{2}{n})
\end{cases}$$

with $n$ being the braking index during the r-mode phase, $\nu_K$ the Kepler frequency of the star, and the quantities $1.39 \leq A \leq 1.57$ and $0 \leq B \leq 0.195$ encoding information on the neutron star structure. Based on the observed highest spin frequency of pulsars at 716 Hz, following [15], we take $\nu_K = 506$ Hz as a conservative estimate.

The uncertainties in the values of $A$ and $B$ give rise to ranges of values for the gravitational wave frequency and frequency derivatives. Since $A$ is always $\gg 3B(\nu/\nu_K)^2$ they take the form:

$$\begin{cases}
   \left(1.39 - 0.195 \frac{\nu^2}{\nu_K^2}\right) \nu \leq f \leq 1.57 \nu \\
   \left(1.39 - 0.585 \frac{\nu^2}{\nu_K^2}\right) |\dot{\nu}| \leq |\ddot{\nu}| \leq 1.57 |\ddot{\nu}|
\end{cases}$$

and we note that $\ddot{\nu} = -|\ddot{\nu}|$ and $\dot{f} = -|\ddot{f}|$.

IV. THE GRAVITATIONAL WAVE SEARCH

The last RXTE observation (Jan, 2011)

FIG. 2: The data from O1 and O2 aLIGO observing runs used for CW searches.

A. The data input

We use the data from the two Advanced LIGO (aLIGO) detectors located in Hanford (WA) and Livingston (LA), USA [16]. We search the publicly available data from the first two observing runs O1 and O2 [12–14]. O1 took place between September 12, 2015 and January
19, 2016 and covered about 4 months of data [17, 18]. The second run (O2) operated from November 30, 2016 to August 25, 2017 and includes a significant gap in the data between March 15th and June 8th 2017 [19, 20]. This gap naturally provides two stretches of contiguous data, which we consider as two independent time baselines for our searches: O2.1 and O2.2, as shown in Fig. 2.

The search input consists of Short time-baseline Fourier transforms (SFTs) [21], from data segments 1800 s long. Instrumental and environmental spectral disturbances are removed to avoid contamination of the results, as done in previous searches [22, 23].

B. The signal waveform

The signal at each detector, \( h(t) \), is a superposition of the two polarization waveforms \( h_+ (t) \) and \( h_\times (t) \)

\[
h(t) = F_+ (\alpha, \delta, \psi; t) h_+ (t) + F_\times (\alpha, \delta, \psi; t) h_\times (t),
\]

where \( F_+ (\alpha, \delta, \psi; t) \) and \( F_\times (\alpha, \delta, \psi; t) \) are the detector beam pattern functions and

\[
\begin{align*}
    h_+ (t) &= A_+ \cos \Phi (t) \\
    h_\times (t) &= A_\times \sin \Phi (t).
\end{align*}
\]

If \( \iota \) is the angle between the total angular momentum of the star and the direction from the star to Earth

\[
\begin{align*}
    A_+ &= \frac{1}{2} h_0 (1 + \cos^2 \iota) \\
    A_\times &= h_0 \cos \iota.
\end{align*}
\]

\( h_0 \) is the intrinsic gravitational wave amplitude, \( (\alpha, \delta) \) are the right-ascension and declination for the source and \( \psi \) is the orientation of the wave-frame with respect to the detector frame. Due to Earth’s motion, the orientation between the detector and the source is changing all the time, which makes \( F_+, \times \) time-varying. \( \Phi (t) \) is the phase of the gravitational wave signal at time \( t \). If with \( \tau \) we indicate the arrival time of the wave with phase \( \Phi (t) \) at the solar system barycenter (SSB), then

\[
\Phi (\tau) = \Phi_0 + 2\pi [f (\tau - \tau_{\text{ref}})]^2 + \frac{1}{2} \ddot{f} (\tau - \tau_{\text{ref}}) + \frac{1}{6} \dot{f}^2 (\tau - \tau_{\text{ref}})^3 + \cdots.
\]

If the frequency derivatives are non-zero, the reference time \( \tau_{\text{ref}} \) (or \( t_{\text{ref}} \)) determines the frequency scale. The transformation between detector time \( t \) and solar-system-barycenter time \( \tau \) is

\[
\tau (t) = t + \frac{r (t) \cdot n}{c} + \Delta T_{\text{E}} - \Delta T_{\text{S}0},
\]

where \( r (t) \) is the position vector of the detector in the SSB frame, \( n \) is the unit vector pointing to the source, and \( c \) is the speed of light; \( \Delta T_{\text{E}} \) and \( \Delta T_{\text{S}0} \) are respectively the relativistic Einstein and Shapiro time delays. We refer the reader to [24] for further details.

C. The signal-parameter ranges

The position of PSR J0537-6910 is known with high accuracy, so if we knew precisely its spin frequency \( \nu \) and its derivatives \( \dot{\nu}, \ddot{\nu} \), from Eq. (2) we could determine the range of possible values of the r-mode gravitational wave frequency \( f \) and its derivatives \( \dot{f}, \ddot{f} \). However, since there are no timing data available for the O1 and O2 data period [10], \( \nu, \dot{\nu}, \ddot{\nu} \) are not known precisely.

For each search we set the reference time \( t_{\text{ref}} \) in the middle of each observation period. At that reference time we determine the range of values for \( \nu \in [\nu_{\text{min}}, \nu_{\text{max}}] \), \( \dot{\nu} \in [\dot{\nu}_{\text{min}}, \dot{\nu}_{\text{max}}] \), and \( \ddot{\nu} \in [\ddot{\nu}_{\text{min}}, \ddot{\nu}_{\text{max}}] \). From Eq. 2 the corresponding gravitational wave frequency and derivatives ranges are then

\[
\begin{align*}
    &\left( 1.39 - 0.195 \frac{\nu^2}{\nu_{\text{K}}} \right) \nu_{\text{min}} \leq f \leq 1.57 \nu_{\text{max}} \\
    &\left( 1.39 - 0.585 \frac{\nu^2}{\nu_{\text{K}}} \right) \dot{\nu}_{\text{min}} \leq |\dot{f}| \leq 1.57 |\dot{\nu}_{\text{max}}| \\
    &\left( 0.9929 - 0.4179 \frac{\nu^2}{\nu_{\text{K}}} \right) \ddot{\nu}_{\text{min}} \leq |\ddot{f}| \leq 1.57 |\ddot{\nu}_{\text{max}}|
\end{align*}
\]

The uncertainty in the \( \nu, \dot{\nu} \) parameters stems from our ignorance on when glitches occurred, bracketing the gravitational wave observations. If we assume that there is r-mode emission throughout our observation periods, then the spin state of the star at each \( t_{\text{ref}} \) only depends on how long before \( t_{\text{ref}} \), the previous glitch happened. We consider two extremes: 1) r-mode emission sets-in just at the beginning of our observation period and lasts for a very long time; 2) r-mode emission sets-in a long time before the beginning of our observations and ends at the end of the observation period, Fig. (3).

![FIG. 3: Spin evolution of the PSR J0537-6910 under the two different scenarios discussed in the text.](image)
times in-between two successive glitches, are
\[ \begin{align*}
    t_1 &= t_0^{gw} + 92 \text{ days} \\
    t_2 &= t_0^{gw} + T_{\text{span}} - 142 \text{ days},
\end{align*} \]
with \( t_0^{gw} \) being the time corresponding to the start of the gravitational wave observation period and the subscripts “1” and “2” indicating the two different scenarios. Consistently with [10] we can then determine the signal parameters at \( t_1 \) and \( t_2 \) by evolving the values of Tab. I defined at the last observed inter-glitch epoch \( t_{\text{obs}} \) with \( n_{LT}, \nu_{LT} \). We obtain \( \nu|_{t_1} \) and \( \nu|_{t_2} \). Since \( \nu|_{ST} = \nu|_{LT} \), \( \nu|_{t_1} \) and \( \nu|_{t_2} \), we use these values to derive the ones at the reference time for each search \( t_{\text{ref}} = t_0^{gw} + \frac{1}{2} T_{\text{span}} \), by evolving them according to the short term (ST) evolution model of Tab. I. Specifically, we consider \( n_{ig}, \nu_{ig}^{\text{min}} \) and \( \nu_{ig}^{\text{max}} \) and from these, using the definition of braking index, we derive \( \nu(t_1, n_{ig}, \nu_{ig}^{\text{min}}), \nu(t_2, n_{ig}, \nu_{ig}^{\text{min}}), \nu(t_1, n_{ig}, \nu_{ig}^{\text{max}}) \) and \( \nu(t_2, n_{ig}, \nu_{ig}^{\text{max}}) \). We evolve these to \( t_{\text{ref}} \) and find four values of \( \nu(t_{\text{ref}}) \) and four values of \( \nu(t_{\text{ref}}) \), corresponding to \( (t_1, \nu_{ig}^{\text{min}}), (t_2, \nu_{ig}^{\text{min}}), (t_1, \nu_{ig}^{\text{max}}) \) and \( (t_2, \nu_{ig}^{\text{max}}) \). We take \( \nu_{\text{min}}, \nu_{\text{max}}, \nu_{\text{min}}^*, \text{and } \nu_{\text{max}}^* \) as the smallest and largest among the four. These quantities define the range of possible spin frequencies and derivatives. We assume that \( \nu_{\text{min}} = \nu_{ig}^{\text{min}} \) and \( \nu_{\text{max}} = \nu_{ig}^{\text{max}} \).

The reference times for each observation period O1, O2.1 and O2.2 are given in Table IV and the corresponding boundaries for the spin frequency and spindown in Table II:

| Search run | O1 | O2.1 | O2.2 |
|------------|----|------|------|
| \( \nu_{\text{min}} \) [Hz] | 61.9344(6) | 61.9261(8) | 61.9236(9) |
| \( \nu_{\text{max}} \) [Hz] | 61.9365(7) | 61.9294(9) | 61.9266(9) |
| \( \nu_{\text{min}} \) [Hz/s] | -4.22(21) \times 10^{-10} |
| \( \nu_{\text{max}} \) [Hz/s] | -2.02(10) \times 10^{-10} |
| \( \nu_{\text{min}} \) [Hz/s^2] | 4.89(7) \times 10^{-21} |
| \( \nu_{\text{max}} \) [Hz/s^2] | 2.13(7) \times 10^{-20} |

TABLE II: The range of spin frequency and frequency-derivatives for PSR J0537-6910 at the reference time of each search. The parameter uncertainties from Table I are propagated throughout the derivations described in the text and are indicated with brackets.

All these values can be substituted in Eq. (8) and finally yield the gravitational wave frequency and frequency derivative search ranges shown in Table III.

D. Detection statistics

We perform a fully coherent, multi-detector search using a maximum likelihood matched filtering method known as \( F \)-statistic [25]. The \( F \)-statistic is the optimal frequentist statistic for this type of signal, in the presence of stationary, Gaussian detector noise. The resulting detection values, \( 2F \), for each template represent the likelihood that a signal with a template’s waveform be present in the data, with respect to Gaussian noise. In Gaussian noise \( 2F \) follows a \( \chi^2 \)-distribution with 4 degrees of freedom (\( \chi^2 \)) and a non-centrality parameter that equals the squared signal-to-noise ratio, [24].

In the presence of spectral disturbances in the data, the detection statistic can be improved by extending the noise model to include “noise lines”, on top of Gaussian noise [26]. The corresponding line-robust statistic \( B_{SGL} \) requires the choice of the tuning parameter \( F_s^{(0)} \) that defines the single-detector \( F \)-statistic magnitude at which \( B_{SGL} \) begins to down-rank search results with respect to the pure Gaussian noise model. \( F_s^{(0)} \) is usually defined in terms of a Gaussian-noise false-alarm probability \( \chi^2(2F_s^{(0)})/0 \), which we choose to be \( \approx 1/N_{\text{eff}} \), the effective number of independent templates. The results of the search are ranked according to \( B_{SGL} \). For this search we estimate that \( N_{\text{eff}} = 0.9 N_{\text{tot}} \), where \( N_{\text{tot}} \) is the total number of searched templates.

E. The search set up

The search targets different wave shapes, each defined by a value of the gravitational wave frequency and frequency-derivatives, \( f, \dot{f}, \ddot{f} \). The ensemble of waveforms obtained by varying the values for the \( f, \dot{f}, \ddot{f} \) within the boundaries given by Table III, constitutes the signal template bank of our search.

The grid spacings (\( \delta f, \delta \dot{f}, \delta \ddot{f} \)) are such that the average loss in detection efficiency due to signal-template mismatch is about 6%. The mismatch distribution is shown Fig. 4. The details of the procedure can for instance be found in [27]. Since the \( \delta \dot{f} \) spacing is smaller than \( f_{\text{min}} \)

| Search run | O1 | O2.1 | O2.2 |
|------------|----|------|------|
| \( f_{\text{min}} \) [Hz] | 85.9078(9) | 85.8964(11) | 85.8929(12) |
| \( f_{\text{max}} \) [Hz] | 97.2403(10) | 97.2291(14) | 97.2247(15) |
| \( \Delta f \) [Hz] | 11.3327(15) | 11.3327(18) | 11.3318(19) |

TABLE III: The search parameter space. The brackets indicate uncertainties.
| Search run | O1   | O2.1 | O2.2 |
|-----------|------|------|------|
| $t_0^w$ [GPS] | 1126623625 | 1167983370 | 1180975619 |
| $t_{\text{ref}}$ [GPS] | 1131937856 | 1170799164 | 1184354596 |
| $T_{\text{span}}$ [days] | 123.2 | 65.2 | 78.2 |
| $T_{\text{data}}$ [NSFT] | 6287 | 4107 | 4790 |
| $\delta f$ [Hz] | $3.05 \times 10^{-08}$ | $5.77 \times 10^{-08}$ | $4.80 \times 10^{-08}$ |
| $\delta f$ [Hz/s] | $2.22 \times 10^{-14}$ | $7.92 \times 10^{-14}$ | $5.50 \times 10^{-14}$ |
| $\delta f$ [Hz/s^2] | $9.89 \times 10^{-21}$ | $6.65 \times 10^{-21}$ | $3.85 \times 10^{-21}$ |
| $\log_{10} N_{\text{eff}}$ | 13.30 | 12.0 | 12.23 |
| $\mathcal{F}^{(0)}_s$ | 32.98 | 29.89 | 30.43 |

**TABLE IV:** Parameters of each search, including the template grid spacings, the start and reference times $t_0^w$ and $t_{\text{ref}}$, the search time-baseline $T_{\text{span}}$, the total time for which there is data from both detectors $T_{\text{data}}$ (expressed as the total number of input SFTs), an estimate of the number of independent templates $N_{\text{eff}}$ and the tuning parameter $\mathcal{F}^{(0)}_s$.

![Mismatch distribution graph](image1)

**FIG. 4:** The mismatch distribution $\mu$ for the search template grid computed from 200 searches on fake signals. $\mu = \frac{\rho_{\text{PM}}^2 - \rho_{\text{grid}}^2}{\rho_{\text{PM}}^2}$ with $\rho^2$ being the signal-to-noise measured with a perfect match between signal and template (“PM”) and with a search over the original search grid (“grid”).

A summary of all search parameters is given in Table IV. Overall, we search $\approx 10^{13}$ templates in every search.

**V. RESULTS**

Fig. 5 shows the $2\mathcal{F}$ values of the most significant $\hat{B}_{\text{SGL}}$ results in every 0.014 Hz band, after having excluded results close to spectral artefacts that were cleaned-out in the input data [22, 23]. The cleaning procedure substitutes the real data with fake Gaussian noise, hence these data do not contribute any astrophysical information to the results. The extent of the excluded region is determined by the extent of the original spectral contamination and by an evaluation of the spread that this would generate in signal-frequency space, for our specific target and for the largest searched $|f|$. This removes 2.25% (O1), 1.1% (O2.1) and 3% (O2.2) of the results. The complete list of the bands excluded from further inspection and from the upper limit statements, is given in appendix A.

We compare the $2\mathcal{F}$ with $2\mathcal{F}_{\text{thr}}$, the expected highest detection statistic value in Gaussian noise over the number of independent templates searched.

$$2\mathcal{F}_{\text{thr}} = \int_0^\infty \chi^2_{\text{eff}} F_{\chi^2_{\text{eff}}}^{(N_{\text{eff}}-1)} p_{\chi^2_{\text{eff}}} d\chi^2_{\text{eff}}, \quad (10)$$

where $p_{\chi^2_{\text{eff}}}$ is the probability density function of a $\chi^2_{\text{eff}}$ variable and $F_{\chi^2_{\text{eff}}}$ its cumulative distribution. We do not use $2\mathcal{F}_{\text{thr}}$ as a rigorous measure of significance but rather as an indicator.

If a candidate were found well above $2\mathcal{F}_{\text{thr}}$, with consistent parameters across the three searches, this would not automatically mean that it is a signal from J0537-6910 , but it would certainly warrant further investigation. On the other hand, if no consistent candidate exists above the expected loudest, it is unlikely that we can confi-
dently identify a signal with this search.

| $f$ [Hz] | $\dot{f}$ [Hz/s] | $2F$ | $\frac{2F - 2F_{\text{thr}}}{\sigma}$ | $B_{\text{SGL}}$ |
|---------|----------------|-------|---------------------------------|----------------|
| O1      |                |       |                                 |                |
| 89.410489097 | $-5.096 \times 10^{-10}$ | 105.50 | 13.65                              | 8.06            |
| 90.04412991 | $-3.753 \times 10^{-10}$ | 77.00  | 2.85                                 | 2.7             |
| 88.186443235 | $-3.476 \times 10^{-10}$ | 69.91  | 0.16                                 | 1.16            |
| O2.1    |                |       |                                 |                |
| 93.898823869 | $-5.358 \times 10^{-10}$ | 66.75  | 0.88                                 | 1.82 $\times$  |
| 91.60086439 | $-3.167 \times 10^{-10}$ | 63.00  | -0.55                                | 1.00            |
| O2.2    |                |       |                                 |                |
| 89.301450860 | $-6.071 \times 10^{-10}$ | 62.82  | -0.61                                | 0.73            |

TABLE V: The most significant candidates from each of the searches.

We find 6 candidates with $2F \geq 2F_{\text{thr}} - \sigma$, and they are listed in Tab. V. We comment only on the three that are at least at the 1σ level.

**O1 search**: The only overall-outstanding candidate comes from this search, at $\sim 89.4$ Hz, with a $2F$ value which is over 13σ of the expected loudest. It however cannot be reasonably ascribed to J0537-6910 because this result comes from a frequency region that is rather disturbed. In order to inspect a broader range of templates we mine the results of an all-sky search on the same data [23]. We recognise that this candidate is indeed one of many having an enhanced value of the detection statistic and with a morphology in parameter space which is typical of disturbances rather than signals.

On very similar grounds we dismiss the candidate at $\sim 90.4$ Hz. There is no obvious disturbance associated with the third candidate, at $\sim 88.2$ Hz, its detection statistic is well within the expected amplitude for the loudest and so it is most likely a noise fluctuation. Fig.s 7 illustrate our findings.

**O2.1 and O2.2 searches**: There are no significant candidates from these searches. The candidate at $\sim 93.9$ Hz is less than 2σ above the expectation and in this frequency range in the O2 data there are more significant candidates at different sky locations.

The candidate at $\sim 91.6$ Hz appears to be due to a noise fluctuation.

**A. Upper limits on the GW amplitude**

We set upper limits on the intrinsic gravitational amplitude $h_0$ in 0.5 Hz bands, based on the highest detection statistic value measured in each band, after the results from the fake Gaussian noise bands are removed. We perform 400 fake-signal search-and-recovery Monte Carlos within each band. The signals are all at the location of J0537-6910 , with frequency, spindown and initial phase values taken from uniform random distributions in their respective ranges. We add these signals in the real data. We consider 6 values of $h_0$ ranging from $1.05 \times 10^{-25}$ to $1.35 \times 10^{-25}$.

The searches are performed with the same grids and set-up as the search, Tab. III, in the neighbourhood of the fake signal parameters. A signal is counted as recovered if the highest detection statistic value from the fake-signal search is higher than the one recorded in the actual search. The detection efficiency $e(h_0)$ is the fraction of recovered signals.

We adopt a sigmoid of the form $e(h_0) = (1 + \exp(-\frac{h_0}{b})^{-1}$ to fit $h_0$ with the corresponding measured detection efficiency. We use Python’s “curve fit” package [28] based on the Levenberg-Marquardt algorithm through the least squares method. The uncertainties in $e$ stemming from the measurement error on the number of recovered signals are translated in uncertainties on the fit parameters $\delta a$ and $\delta b$, computed as the square root of the diagonal elements of the covariance matrix. We use $\delta a$ and $\delta b$ to estimate the standard deviation $\sigma_{E}(h_0)$ of the best fit sigmoid $e(h_0)$. Fig. 6 shows an example of the sigmoid fit with two curves $e(h_0) \pm 2\sigma_{E}(h_0)$ that bracket the expected $e(h_0)$ curve with $> 95\%$ confidence.

The 90% confidence upper limit on the intrinsic gravitational wave amplitude is the smallest $h_0$ such that 90% of the target signal population in the search range would have produced a value of the detection statistic higher than the one that was measured in the search. We read this value, $h_0^{90\%}$, off the sigmoid fit curve at $e = 0.9$.

The uncertainty $\delta e$ determines the range of variability for $h_0^{90\%}$ which overall amounts to $\leq 2\%$ of the upper limit value. We add the calibration uncertainty which we conservatively take to be 5% [29]. The upper limits together with their uncertainties are plotted in Fig. 8 for all 3 search runs. They are provided in tabular form in the appendix B and in machine-readable format at [30]. We also compute the 95% confidence upper limits, which are $\approx 3.9\%$ higher than the 90% confidence ones.
FIG. 7: Results of the all-sky O1 search [23] in the parameter regions of the three O1 candidates of Table V. The plots show the detection statistic values (color-coded) as a function of the template waveform frequency and frequency derivative in the top panels, and as a function of source position \((\alpha, \delta)\) in the bottom panels. When a spectral region is contaminated, the distribution of candidates is not uniform in parameter space, and this can be clearly seen in panels (a) and (b), as opposed to panel (c) that portrays results from an undisturbed frequency region.

1. Sensitivity depth

The sensitivity depth is a useful measure to compare the baseline performance of different searches [31]. It was first introduced in [27] as

\[
D^{90\%}(f) = \frac{\sqrt{S_h(f)}}{h_{90\%}(f)} \left[1/\sqrt{\text{Hz}}\right],
\]

where \(\sqrt{S_h(f)}\) is the noise level associated with the signal frequency \(f\). The multi-detector \(S_h(f)\) for our searches is the harmonic mean of the single-detector power spectral densities \(S_{hH}^H\) and \(S_{hL}^L\) of the data, then averaged over the 0.5 Hz frequency band that the upper limit value refers to. The resulting \(D^{90\%}(f)\) is shown in Fig. 9 and tabulated in Appendix B. We provide the values of \(S_h(f)\) in machine readable format at [30].

2. Spin-down limit

If all the kinetic energy lost by J0537-6910 (its spin-down) rotating at \(\nu\) is due to gravitational emission at frequency \(f\), its gravitational wave amplitude is

\[
h_{0,\text{sd}}^d = \frac{1}{D} \sqrt{\frac{10G}{c^3} \frac{I_{zz}}{\nu} \left| \frac{\nu}{\nu_K} \right|^2}.
\]

This is a general formula that applies to any emission mechanism. If the emission is due to an equatorial ellipticity in the star, then \(A = 2\) and we find the commonly-seen spindown-limit formula, for example Eq. 5 of [32].

In the case of r-mode emission \(A\) encodes information on the equation of state of the star. As shown in Fig. 10, mass \(M\) and radius \(R\) are different functions of \(A\) for different equations of state. If \(C = M/R\) is the compactness of the star, then \(A = \left| -1.373 + .079(C - 2.25C^2) \right| \in [1.39; 1.57]\) for 
\(M \in [1.02 - 2.76 M_\odot]\) and compactness \(C = M/R \in [0.11, 0.31]\). This was found by fitting 14 realistic equations of state by [33] and we will use it in Eq. 14 to compute \(M(A)\) and \(R(A)\) from \(M(C)\) and \(R(C)\) given in [34, 35].
FIG. 8: The markers show the upper limits on GW amplitude $h_0^{90\%}$ for a continuous signal from J0537-6910 from each of the searches. The shaded regions show the range of values that the spin-down upper limit could take, depending on the equation of state of the the star, as described in Section V A 2. The second x-axis, on the top, shows $A(f)$ for the $h_0^{90\% \text{max}}$ curve, i.e. $A = f/\nu_{\text{min}}$. On this scale it is however not possible to appreciate the difference with $A = f/\nu_{\text{max}}$, so the plotted axes hold for all quantities shown.

The moment of inertia $I_{zz}$ also depends on the equation of state. We re-write it in terms of the normalized moment of inertia $\tilde{I} := I_{zz}/M^3$ that can be expressed in terms of $C$ for slowly rotating stars with the coefficients given in Tab. 2 of [36].

Eq. (13) then becomes

$$h_0^{sd}(A, \frac{\dot{\nu}}{\nu}) = \frac{1}{AD} \sqrt{\frac{10G}{c^3} \tilde{I}(A) M^3(A) \frac{\dot{\nu}}{\nu}}. \quad (14)$$

We consider two extremes:

$$\begin{align*}
    h_0^{sd \text{min}}(A) &= h_0^{sd}(A, \frac{\dot{\nu}}{\nu}_{\text{min}}), \\
    \frac{\dot{\nu}}{\nu}_{\text{min}} &= \frac{\dot{\nu}_{\text{min}}}{\nu_{\text{max}}}, \\
    h_0^{sd \text{max}}(A) &= h_0^{sd}(A, \frac{\dot{\nu}}{\nu}_{\text{max}}), \\
    \frac{\dot{\nu}}{\nu}_{\text{max}} &= \frac{\dot{\nu}_{\text{max}}}{\nu_{\text{min}}}. \quad (15)
\end{align*}$$

As $M(A)$ varies in the range shown in middle panel of Fig. 10, we find the corresponding range $\Delta h_0^{sd \text{min}}$ and $\Delta h_0^{sd \text{max}}$. We set $A = f/\nu_{\text{min}}$ in $\Delta h_0^{sd \text{max}}$ and $A = f/\nu_{\text{max}}$ in $\Delta h_0^{sd \text{min}}$ and derive the two differently shaded regions of Fig. 8 which define range of variability of the spin-down upper limit $h_0^{sd}$. We are neglecting the $Bt^2/\nu_R^2$ term of Eq. 1 for simplicity. This approximation is completely unimportant in the context of sketching the boundaries of $h_0^{sd}$.

FIG. 9: The sensitivity depth

FIG. 10: $\tilde{I} \sim M/R$ Universal relation and ranges of $M$ and $R$ from realistic EoS.

B. Upper limits on the r-mode amplitude

The r-mode saturation amplitude $\alpha$ that supports gravitational wave emission with a strain $h_0$ at a fre-
frequency $f$ from a source at a distance $D$ is [37]:

$$\alpha = \sqrt{\frac{5}{8\pi G} \frac{h_0}{(2\pi f)^3} \frac{D}{M R^3 J}}$$

(16)

where $\tilde{J}$ is the dimensionless canonical angular momentum of the r-mode [4]. $\tilde{J}$ is less dependent on the equation of state than $M$ and $R$ so, following [4], we fix its value to 0.0164 (as computed from a polytropic EoS with index $n = 1$) and encapsulate the dependency on the equation of state in the term $M R^3$, as function of $A$.

The gravitational wave frequency also depends on $A$: $f = A \nu$. As done is Section V A 2 we consider

$$\begin{cases} 
\alpha^{\text{min}}(h_0, A, f = A \nu_{\text{max}}) = \sqrt{\frac{5}{8\pi G} \frac{h_0}{(2\pi A \nu_{\text{max}})^3} \frac{D}{M(A) R(A)^3 J}} \\
\alpha^{\text{max}}(h_0, A, f = A \nu_{\text{min}}) = \sqrt{\frac{5}{8\pi G} \frac{h_0}{(2\pi A \nu_{\text{min}})^3} \frac{D}{M(A) R(A)^3 J}}
\end{cases}$$

(17)

and for each of these curves the range $A \in [1.39, 1.57]$ determines the range of variability of the saturation amplitude $\alpha$ as a function of $h_0$. In practice since $\alpha^{\text{min}}(h_0, A, f = A \nu_{\text{max}}) \approx \alpha^{\text{max}}(h_0, A, f = A \nu_{\text{min}})$ we convert the gravitational wave amplitude upper-limits $h_0^{90\%}$ to ranges for the r-mode amplitude upper limits in every half Hz bands as

$$\alpha^{90\%}(f) = \alpha(h_0^{90\%}, A, f = A \nu_{\text{min}}), \ A \in [1.39, 1.57].$$

(18)

The results are shown on Fig. (11) for all search runs. The shaded area represent the spread of $\alpha$ in the possible range of $M(A) R(A)^3$ bounded by realistic equations of state, as well as the upper limit for $M = 1.4 M_{\odot}$, $R = 11.7$ km (middle black curve).

C. Not always “ON” signal

Our upper limits are based on the optimistic assumption that the r-mode signal is always “ON” during the time of the searches. This might not be the case because in the model that we consider, r-mode emission begins some time after a glitch and ends with the next glitch. Not knowing when glitches happened for J0537-6910 around the O1 and O2 observing times, we cannot be sure that some of our search times do not fall in a period too close to a glitch to be emitting r-modes, according to our model. In order to estimate the impact of this assumption we randomly pick start times for the O1 and O2 runs during the 13 years for which we have glitch-occurrence times and based on this glitch-time information, we compute the fraction of the r-mode gravitational wave emission $\gtrsim 50$ days after a glitch. Unfortunately we do not know whether the object glitched during the O1 and O2 LIGO data runs, so we carry out three coherent searches for r-mode continuous gravitational waves on periods lasting several tens of days. We choose the periods based on the available data and its gaps. We pick the frequency and frequency-derivative range to be large enough to include all uncertainties in the rotation frequency timing model, evolved to the time of the observations, and the uncertainties stemming from the unknown equation of state of the star.

FIG. 11: Upper limits on the r-mode saturation amplitude $\alpha$ derived from the gravitational wave amplitude upper limits $h_0^{90\%}$.

bottom panel of Fig. 12. We find that the 50th percentiles for the overlap fraction of the data are $\approx 45\%$ for O1 and $\approx 50\%$ for O2.1 and O2.2. We repeat the simulation-and-search Monte Carlo described in Section V A for O1, O2.1 and O2.2 with signals from this population and with frequency between 87.75 Hz and 88.25 Hz. In this sample frequency band we find a $h_0^{90\%}$ higher by a factor $\approx 4.4, 3.7$ and $4.2$ respectively for the three searches, compared to the always-ON-signal results.

VI. CONCLUSIONS

Pulsar J0537-6910 is an intriguing candidate for r-mode gravitational wave emission $\gtrsim 50$ days after a glitch. While we do not find
evidence for a continuous gravitational wave signal, we set upper limits on the gravitational wave amplitude of r-mode signals in 0.5 Hz bands. Overall the upper limits span a range between $(1.0-1.45) \times 10^{-25}$, with an average sensitivity depth of $\approx 87$ and $74 \frac{1}{\sqrt{Hz}}$ for the O1 and O2 searches respectively, consistent with the size of the data sets employed. The r-mode saturation amplitude values that this search could detect are consistent with those necessary to interpret the EM observations in terms of unstable r-mode emission [11]. They are about an order of magnitude larger than the physically most plausible ones but scenarios can be imagined where even such high values are possible. We refer the reader to the discussion on this point in Section 3 of [11]. Our upper limits are a factor of $\approx 5$ higher than the average spin-down limit amplitude.

Lacking precise ephemeris data for this pulsar, J0537-6910 was not included in the LIGO 220+ known-pulsars search [39], but was later targeted in a small, 0.25 Hz search around twice the rotation frequency, 123.86 Hz, in [40]. That search, carried out on the O2 data, is limited to a spin-down range of $8 \times 10^{-13}$ Hz/s and overall comprises $\sim 1.6 \times 10^6$ templates, about 10000 times fewer than employed here for each of our searches. The upper limits of [40] are consistent with the longer coherent time-baseline, the different level of the detector noise and the significantly smaller template bank of that search with respect to the one presented here.

As in [40], our upper limits are based on the optimistic assumption that the r-mode signal is always “ON” during the time of the searches. Based on historical glitch-occurrence times we construct a population of signals with varying durations and overlaps with our data-sets, and evaluate the upper-limits on this population of signals. The sensitivity is degraded with respect to the always-ON population by a factor $\approx 4$.

The likelihood of a glitch occurring during the 232 days observation time of the LIGO search [40], is even higher, reflected in a mean overlap of the observation time with the longest inter-glitch periods of 50% (see Fig. 12). [40] do not comment on their loss of sensitivity due to a possible glitch of J0537-6910. We estimate that for a realistically glitching signal the upper limit $h_{0500}$ of [40] would be $\approx 3.6$ times higher, comparable to the degradation that we report for our searches. Timing of J0537-6910 in order to identify the times when glitches occur, eliminates all these uncertainties and is of paramount importance to search for continuous gravitational waves from J0537-6910.

A very interesting candidate from this search would be a high-significance signal consistent in at least two of the three searches. This would indicate a repeating phe-
nomenon exciting the star’s r-mode instability, coherent with the observations of [11]. A definitive confirmation would need a verification on a different gravitational wave data set, corroborated by glitch information from EM observations. This re-inforces the importance of EM timing of J0537-6910.

A detection would be of great importance for multiple reasons. It would be i) the first detection of a continuous gravitational wave signal, opening interesting prospects for high-precision tests of gravity ii) the first direct observation of gravitational waves emission through unstable r-modes, as predicted in [2] iii) the discovery that at least some young neutron stars lose angular momentum due to r-mode gravitational waves iv) a probe of neutron star interior.

As new and more sensitive gravitational wave data becomes available, deeper searches will be possible, also including the use of specific techniques on longer data sets [41–43]. The scientific return of gravitational wave searches like this is greatly enhanced when timing data is available, that identifies the rotation parameters during the gravitational wave observations and glitch-occurrence times. NICER [1] could provide this invaluable information to the broad scientific community.

VII. ACKNOWLEDGMENTS

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### Appendix A: Excluded frequency bands

#### TABLE VI: Excluded frequency bands in O1 search run

| Search run | O1  |
|-------------|-----|
| central $f$ | $-\Delta f$ | $+\Delta f$ |
| Hanford (LHO) | | |
| 86.00000000 | 0.0065 | 0.0020 |
| 86.50000000 | 0.0065 | 0.0020 |
| 87.00000000 | 0.0065 | 0.0020 |
| 87.50000000 | 0.0065 | 0.0020 |
| 88.00000000 | 0.0065 | 0.0015 |
| 88.50000000 | 0.0065 | 0.0020 |
| 89.00000000 | 0.0065 | 0.0020 |
| 89.50000000 | 0.0065 | 0.0020 |
| 90.00000000 | 0.0065 | 0.0020 |
| 90.50000000 | 0.0065 | 0.0020 |
| 91.00000000 | 0.0065 | 0.0020 |
| 91.50000000 | 0.0065 | 0.0020 |
| 92.00000000 | 0.0065 | 0.0020 |
| 92.50000000 | 0.0065 | 0.0020 |
| 93.00000000 | 0.0065 | 0.0020 |
| 93.50000000 | 0.0065 | 0.0020 |
| 94.00000000 | 0.0065 | 0.0020 |
| 94.23810000 | 0.0085 | 0.0040 |
| 94.24470000 | 0.0085 | 0.0040 |
| 94.50000000 | 0.0065 | 0.0020 |
| 95.00000000 | 0.0065 | 0.0020 |
| 95.50000000 | 0.0065 | 0.0020 |
| 96.00000000 | 0.0060 | 0.0015 |
| 96.00000000 | 0.0060 | 0.0015 |
| 96.50000000 | 0.0065 | 0.0020 |
| 97.00000000 | 0.0065 | 0.0020 |
| Livingston (LLO) | | |
| 86.74975000 | 0.0065 | 0.0020 |
| 87.74972500 | 0.0065 | 0.0020 |
| 87.90000000 | 0.0060 | 0.0015 |
| 88.40000000 | 0.0060 | 0.0015 |
| 88.74970000 | 0.0065 | 0.0020 |
| 89.74975000 | 0.0065 | 0.0020 |
| 90.00000000 | 0.0060 | 0.0015 |
| 90.50000000 | 0.0065 | 0.0020 |
| 91.00000000 | 0.0065 | 0.0020 |
| 91.50000000 | 0.0065 | 0.0020 |
| 92.00000000 | 0.0060 | 0.0015 |
| 92.50000000 | 0.0065 | 0.0020 |
| 93.00000000 | 0.0065 | 0.0020 |
| 93.50000000 | 0.0065 | 0.0020 |
| 94.00000000 | 0.0065 | 0.0020 |
| 94.50000000 | 0.0065 | 0.0020 |
| 95.00000000 | 0.0065 | 0.0020 |
| 95.50000000 | 0.0065 | 0.0020 |
| 96.00000000 | 0.0060 | 0.0015 |
| 96.50000000 | 0.0060 | 0.0015 |
| 97.00000000 | 0.0065 | 0.0020 |

#### TABLE VII: Excluded frequency bands in O2.1 and O2.2 search runs

| Search run | O2.1  | O2.2  |
|-------------|-------|-------|
| central $f$ | $-\Delta f$ | $+\Delta f$ | $-\Delta f$ | $+\Delta f$ |
| Hanford (LHO) | | | | |
| 86.00000000 | 0.0040 | 0.0011 | 0.0039 | 0.0065 |
| 86.20000000 | 0.0040 | 0.0011 | 0.0039 | 0.0065 |
| 86.72000000 | 0.0040 | 0.0011 | 0.0039 | 0.0065 |
| 87.00000000 | 0.0040 | 0.0011 | 0.0039 | 0.0065 |
| 87.71740000 | 0.0040 | 0.0011 | 0.0039 | 0.0065 |
| 88.00000000 | 0.0040 | 0.0011 | 0.0039 | 0.0065 |
| 88.71420000 | 0.0040 | 0.0011 | 0.0039 | 0.0065 |
| 89.00000000 | 0.0040 | 0.0011 | 0.0039 | 0.0065 |
| 89.71100000 | 0.0040 | 0.0011 | 0.0039 | 0.0065 |
| Livingston (LLO) | | | | |
| 96.50000000 | 0.0040 | 0.0011 | 0.0039 | 0.0065 |
| 97.00000000 | 0.0040 | 0.0011 | 0.0039 | 0.0065 |
Appendix B: Upper limits on GW and saturation amplitude

| $f_{GW}$ | $h_{0\text{min}}^{\text{ad}}$ [10$^{-25}$] | $h_{0\text{max}}^{\text{ad}}$ [10$^{-25}$] | $\alpha_{90\%}$ | $\sqrt{S_n}$ [10$^{-23}$] | $\mathcal{D}$ [90\%] |
|-----------|--------------------------------|--------------------------------|-----------------|-----------------|------------------|
| 86.0      | 1.36                          | 2.30                          | 0.25            | 1.01            | 87.2             |
| 86.5      | 1.21                          | 2.59                          | 0.25            | 1.04            | 89.3             |
| 87.0      | 1.10                          | 2.78                          | 0.25            | 1.10            | 92.0             |
| 87.5      | 0.96                          | 3.07                          | 0.25            | 1.07            | 88.5             |
| 88.0      | 1.02                          | 3.20                          | 0.25            | 1.01            | 84.0             |
| 88.5      | 0.88                          | 3.30                          | 0.25            | 0.98            | 87.8             |
| 89.0      | 0.93                          | 3.39                          | 0.25            | 0.98            | 68.3             |
| 90.0      | 0.98                          | 3.45                          | 0.25            | 0.96            | 77.7             |
| 90.5      | 1.02                          | 3.51                          | 0.25            | 0.95            | 87.8             |
| 91.0      | 1.06                          | 3.57                          | 0.25            | 0.95            | 87.3             |
| 91.5      | 1.09                          | 3.63                          | 0.25            | 0.96            | 84.8             |
| 92.0      | 1.11                          | 3.65                          | 0.25            | 0.95            | 87.1             |
| 92.5      | 1.13                          | 3.74                          | 0.25            | 0.94            | 86.2             |
| 93.0      | 1.13                          | 3.76                          | 0.25            | 0.93            | 84.8             |
| 93.5      | 1.13                          | 3.75                          | 0.25            | 0.92            | 89.1             |
| 94.0      | 1.19                          | 3.79                          | 0.25            | 0.91            | 87.0             |
| 94.5      | 1.18                          | 3.78                          | 0.25            | 0.90            | 85.5             |
| 95.0      | 1.17                          | 3.73                          | 0.25            | 0.89            | 84.7             |
| 95.5      | 1.16                          | 3.73                          | 0.25            | 0.89            | 88.2             |
| 96.0      | 1.14                          | 3.70                          | 0.25            | 0.88            | 91.0             |
| 96.5      | 1.24                          | 2.97                          | 0.25            | 0.87            | 87.0             |
| 97.0      | 1.51                          | 2.77                          | 0.25            | 0.87            | 85.0             |

TABLE VIII: Spindown limits $h_{0\text{ad}}$ for each 0.5 Hz over the entire search range.

TABLE IX: Upper limits for O1 search run.

TABLE X: Upper limits for O2.1 search run.

TABLE XI: Upper limits for O2.2 search run.

We stress that the $h_{0\text{90\%}}$ and $\alpha_{90\%}$ upper limits do not hold in the sub-bands of Table A.