Diagnosis of prodromal and Alzheimer’s disease dementia in adults with Down syndrome using neuropsychological tests

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Abstract
Introduction: We aimed to define prodromal Alzheimer’s disease (AD) and AD dementia using normative neuropsychological data in a large population-based cohort of adults with Down syndrome (DS).

Methods: Cross-sectional study. DS participants were classified into asymptomatic, prodromal AD and AD dementia, based on neurologist’s judgment blinded to neuropsychological data (Cambridge Cognitive Examination for Older Adults with Down’s syndrome [CAMCOG-DS] and modified Cued Recall Test [mCRT]). We compared the cutoffs derived from the normative data in young adults with DS to those from receiver-operating characteristic curve (ROC) analysis.

Results: Diagnostic performance of the CAMCOG-DS and modified Cued Recall Test (mCRT) in subjects with mild and moderate levels of intellectual disability (ID) was high, both for diagnosing prodromal AD and AD dementia (area under the curve [AUC] 0.73–0.83 and 0.90–1, respectively). The cutoffs derived from the normative data were similar to those derived from the ROC analyses.

Discussion: Diagnosing prodromal AD and AD dementia in DS with mild and moderate ID using population norms for neuropsychological tests is possible with high diagnostic accuracy.
INTRODUCTION

Due to advances in medical care, life expectancy has increased significantly in people with Down syndrome (DS), now exceeding 60 years of age. As a consequence, individuals with DS are now experiencing a high incidence of age-associated health problems, especially Alzheimer’s disease (AD) dementia. Pathological studies show that by the age of 40 years, virtually all individuals with DS have AD neuropathology, and longitudinal studies show that the cumulative incidence of dementia in adults with DS is in excess of 90% by age 65. Symptomatic AD increases exponentially with age, with a mean age at dementia onset of between 53.7 and 55.8 years, and approximately 50% of cases of dementia are being diagnosed in the sixth decade of life. This association between DS and AD is explained mainly by the presence of an extra copy of the amyloid precursor protein (APP) gene, located on chromosome 21.

The diagnosis of prodromal AD and AD dementia in DS is a major challenge. Early symptoms of AD can be mistaken as part of the lifelong intellectual disability (ID), or they may be overlooked or misdiagnosed. In the general population, the diagnosis of mild cognitive impairment requires a change in cognition reported by the patient and/or caregiver, and is based on cognitive performance on neuropsychological tests relative to population norms, and dementia is diagnosed when cognitive decline affects the activities of daily living. In people with DS, the variable degree of ID problematizes these definitions. Furthermore, most test batteries commonly used in the general population are of limited use in DS, as many individuals score at floor and noncompletion rates are high.

Adapted tests have been developed to detect cognitive decline in DS, such as the Down Syndrome Mental Status Examination (DSMSE), the Test for Severe Impairment (TSI), the Cambridge Cognitive Examination for Older Adults with Down’s Syndrome (CAMCOG-DS), and the Arizona Cognitive Test Battery (ACTB) or the modified Cued Recall Test (mCRT). However, unlike in the general population, in adults with DS we are lacking normative data due to the large sample sizes needed to account for the different levels of ID and its associated variability in cognitive abilities. Furthermore, although the diagnostic performance of several adapted tests has been assessed, most of the studies have used small sample sizes and did not take into account the level of ID. Therefore, the diagnosis of prodromal AD or AD dementia using neuropsychological tests in this population at the cross-sectional level is difficult and cannot be made reliably on the basis of neuropsychological tests using population norms.

Taking advantage of the Down Alzheimer Barcelona Neuroimaging initiative (DABNI), a large population-based cohort of adults with DS, the purpose of our study was to define population norms stratified by level of ID for the CAMCOG-DS and the mCRT, a cognitive battery and an episodic memory test widely used in DS, and to assess their performance to diagnose prodromal AD and AD dementia in adults with DS.

METHODS

Participants

Single-center cross-sectional study. Adults with DS were recruited from February 1, 2013 to December 31, 2018 at the Alzheimer-Down Unit from the Catalan Down Syndrome Foundation and Hospital de la Santa Creu i Sant Pau, in Barcelona, Spain. The Alzheimer-Down Unit leads a population-based health plan for adults with DS, which includes yearly neurological and neuropsychological assessments. All adults (≥18 years) with DS were eligible, irrespective of sex or level of ID. Patients showing any psychiatric or medical disorder that could affect cognition and/or functionality were excluded, as well as those with incomplete neuropsychological examinations (flow chart in Figure 1).

The study was approved by the Sant Pau Ethics Committee following the standards for medical research in humans recommended by the Declaration of Helsinki and reported to the Minister of Justice according to the Spanish law for research in people with intellectual disabilities. All participants or their legally authorized representative gave written informed consent before enrollment.

Neurological assessment

The study procedures included a complete neurological examination with the participant and his/her main caregiver. The neurologist performed a physical exam, a structured medical history based on the DS-Connect questionnaire, a neurological exam, and a semi-structured health questionnaire (Cambridge Examination for Mental Disorders of Older People with Down’s Syndrome and others with intellectual disabilities [CAMDEX-DS]) with the caregiver. The CAMDEX-DS is a diagnostic tool based upon CAMDEX-R and modified for the detection of dementia in people with ID. It is also adapted and validated for the Spanish population with ID.

Neuropsychological assessment

The neuropsychological test battery for detecting dementia included the Cambridge Cognitive Examination for Older Adults with Down's
Syndrome (CAMCOG-DS) Spanish version\textsuperscript{22} and the mCRT,\textsuperscript{18} both directly administered to the patient. It also included the Dementia Questionnaire for People with Learning Disabilities (DLD), an informant-based questionnaire to assess cognitive and functional decline due to dementia.\textsuperscript{23}

The CAMCOG-DS has a maximum score of 109 points and comprises subscales for the following cognitive domains: orientation, language, memory, attention, praxis, abstract thinking, and perception.

The mCRT is an adapted test used to assess episodic memory in people with ID. Participants are asked to memorize 12 stimuli presented on three, 4-item cards. The test consists of three trials of free and cued recall performed immediately after the learning phase to compute a free recall score and a total score (free recall + cued recall). For the present study we used the total score (maximum score of 36), as it was shown to be more sensitive to detect memory decline in DS.\textsuperscript{19}

2.4 Level of ID

The level of ID was categorized according to the Diagnostic and Statistical Manual of Mental Disorders, Fifth Edition (DSM-V) as mild, moderate, severe, or profound, and was based on caregivers’ reports of the individuals’ best-ever level of functioning. The Kauffman Brief Intelligence Test (K-BIT) was also included to assess pre-morbid intelligence level.

2.5 Diagnostic categories

In our center, participants with DS are initially clinically classified by neurologists and neuropsychologists in a consensus meeting after independent visits into the following diagnostic categories: (1) asymptomatic (aDS), when there is no clinical or neuropsychological suspicion of AD; (2) prodromal AD (pDS), when there is a suspicion of AD, but symptoms do not fulfill criteria for dementia; (3) AD dementia (dDS) in those subjects with DS with full blown dementia; and (4) uncertain, including those patients with medical, pharmacological, or psychiatric conditions significantly interfering in cognition and/or functional level. As mentioned previously, patients with an uncertain diagnosis were excluded from the analysis. It is important to note that to avoid circularity, in this study we used the initial neurologist’s diagnosis blinded to neuropsychological assessment.

2.6 Statistical analysis

All statistical analyses were done in R version 3.4.3. First, to assess the applicability of the tests, we analyzed the completion rates by level of ID and clinical status. Second, we compared the neuropsychological performance between clinical groups stratifying by the level of ID using an analysis of variance (ANOVA) for normal variables or Kruskal-Wallis for non-normal variables. Third, we studied the relationship of the different neuropsychological tests with age. For this purpose, we fitted a local regression model stratified by level of ID.\textsuperscript{7} Finally, to define cutoffs for the neuropsychological tests, we used two different approaches. The first approach consisted in defining the scores at percentile ranks of 1st, 5th, and 10th in the young (age \( \leq 35 \)) asymptomatic DS participants in mild and moderate ID separately.\textsuperscript{24} This decision was based on the fact that all subjects with DS show the characteristic neuropathological signs of AD by the fourth decade of life. Subjects >35 years were expected to have AD neuropathology and thus could have undergone cognitive deterioration. The second approach consisted of using the receiver-operating characteristic (ROC) curve analyses. The optimal cut-point was determined using the Index of Union (IU) method, which is defined as the value whose sensitivity and specificity are the closest to the value of the area under the ROC curve and the absolute value of the difference between the sensitivity and specificity values is minimum. The criteria for optimality can change according to the aim of the study. However, as a general rule, minimizing the total misclassification rates is a good approach. With the IU method, since the difference between sensitivity and specificity values is minimum, this condition is met most of the time.\textsuperscript{25}

3 RESULTS

A total of 567 adults with DS were eligible. Figure 1 shows the study flow chart and the reasons for exclusion, mainly due to an uncertain diagnosis or incomplete neuropsychological assessment. The initial samples for the CAMCOG-DS and the mCRT were composed of

RESEARCH IN CONTEXT

1. Systematic review: Literature was reviewed through PubMed and meeting abstracts. Due to the variability of intellectual functioning in people with Down syndrome (DS), there are no accepted population-based neuropsychological normative data and very few studies investigating the diagnostic performance of cognitive tests for diagnosing prodromal Alzheimer’s disease (AD) and AD dementia in this population.

2. Interpretation: In a large population-based cohort of adults with DS with mild and moderate levels of intellectual disability (ID), neuropsychological normative data for Cambridge Cognitive Examination for Older Adults with Down’s Syndrome (CAMCOG-DS) and modified Cued Recall Test (mCRT) were provided. We show that a diagnosis of prodromal AD and AD dementia can be done with high diagnostic accuracy.

3. Future directions: Our results support the use of the CAMCOG-DS and mCRT as screening tools for the diagnosis of AD in people with DS with mild and moderate ID. Our cutoffs could be used for screening purposes in both clinical practice and research settings.
Initial sample
N = 567

Excluded 48
- 40: Uncertain clinical diagnosis
- 8: Previous intellectual disability level unknown

N=519

CAMCOG-DS

Initial sample
N = 438

CamCOG-DS
Final sample
343

mCRT

Initial sample
N = 420

mCRT
Final sample
271

FIGURE 1 Study flow chart. mCRT, modified Cued Recall Test; CAMCOG-DS, Cambridge Cognitive Examination for Older Adults with Down’s Syndrome

438 and 420 subjects, respectively. Of note, age and level of ID differed between those subjects included and excluded from the study. Subjects who did not attend the neuropsychological visit were older and had more severe levels of ID (P < .05). Demographic and clinical characteristics of participants from the CAMCOG-DS and mCRT initial sample are shown in the Appendix.

As expected, participants with prodromal AD and AD dementia were older and had worse scores than asymptomatic subjects on the DLD (P < .001). There were no differences in the number of men and women between the groups. There was a higher proportion of subjects with severe/profound ID in the group with AD dementia.

3.1 Completion rates by level of ID and clinical diagnosis

Figure 2 shows the CAMCOG-DS and mCRT completion rates by level of ID and clinical diagnosis. The most common reasons for not completing the test were not understanding the task and/or test instructions and/or severe attentional difficulties.

Overall, of the 438 subjects from the initial CAMCOG-DS sample, 343 (78.3%) subjects were able to complete the test. Completion rates were lower for the mCRT, where 271 of 420 subjects (64.5%) could complete the task. All subjects with mild ID, regardless of clinical diagnosis, completed the tests, as did the majority of asymptomatic and prodromal AD subjects with moderate levels of ID. Completion rates were lower in those with pDS and dDS than in aDS. None of the subjects with profound ID and only a few subjects with severe ID were able to complete the tests. Therefore, subjects with severe and profound levels of ID were excluded from the subsequent analyses (normative data and ROC analysis).

3.2 Cognitive performance with aging and along the AD continuum by level of ID

Median scores and interquartile range for the cognitive and functional tests in those who completed the tests are presented in Table 1. Participants with mild ID obtained higher scores on the CAMCOG-DS than subjects with moderate ID in the whole AD continuum (median scores in aDS: 89 vs 75, P < .0001; pDS: 79 vs 60.5, P = .0013; dDS: 76.5 vs 55,
Test completion rates by intellectual disability and clinical status

3.3 Normative data for the CAMCOG-DS and mCRT in asymptomatic DS individuals

To exclude preclinical AD, we derived normative data in the younger subjects (≤35 years: 107 subjects for the CAMCOG-DS and 89 subjects for the mCRT). Normative data were generated in aDS individuals in mild and moderate ID separately. Scores corresponding to the 1st, 5th, and 10th percentiles were used to define pathological performances (see Appendix for further details).

For the CAMCOG-DS, cut-points corresponding to the 1st, 5th, and 10th percentiles for subjects with mild ID were, respectively, 77, 78, and 80. In subjects with moderate ID, cut-points corresponding to these percentiles were as follows: 48, 53, and 59, respectively.

For the mCRT, cut-points for the participants with mild ID were 34 for the 1st percentile, and 35 for the 5th and 10th percentiles. For those subjects with moderate ID, these percentiles corresponded to a score of 30, 32, and 33, respectively.

3.4 Diagnostic performance

To assess the diagnostic performance to detect prodromal AD and AD dementia we performed ROC curve analyses. All subjects with DS with mild ID and a very high proportion of subjects with moderate ID were able to complete the tests. However, a subset of participants with moderate ID and symptomatic AD had difficulties understanding the instructions of the CAMCOG-DS and/or the mCRT due to cognitive difficulties, and thus received a score of “0” (represented in purple in Figure 3). These subjects were excluded from these analyses due to concerns about construct validity.

Figure 5 shows the ROC analyses. The AUC for CAMCOG-DS for the aDS versus pDS comparison in mild ID was 0.81 (95% CI 0.55–1), and the optimal cutoff point was 82, with a sensitivity of 80% and a specificity of 80.5%. Comparing aDS versus dDS, we obtained an AUC
### TABLE 1  Demographic characteristics, median scores, and interquartile range (IQR) for the cognitive and functional tests of the participants who completed the CAMCOG-DS and the mCRT

| CAMCOG-DS subgroup | aDS | pDS | dDS | TOTAL |
|--------------------|-----|-----|-----|--------|
| N                  | 277 | 31  | 35  | 343    |
| Sex (F/M)          | 132/145 | 18/13 | 19/16 | 168/174 |
| Age (median years [IQR]) | 37 [15.0] | 51 [4.5] | 53 [8.5] | 41 [18.5] |
| ID (Mild/Moderate/Severe+Profound) | 82/159/36 | 5/21/5 | 4/25/6 | 91/205/47 |
| CAMCOG-DS (median [IQR]) | 78 [25.0] | 58 [24.5] | 45 [26.5] | 73 [30.0] |
| DLD (median [IQR]) | 12 [14.0] | 21 [19.5] | 43 [17.8] | 14 [17.0] |

| mCRT subgroup | N | 220 | 31 | 20 | 271 |
|---------------|---|-----|----|----|-----|
| Sex (F/M) | 101/119 | 17/14 | 10/10 | 128/143 |
| Age (median years [IQR]) | 36 [15.0] | 51 [5.0] | 54 [7.0] | 39 [18.0] |
| ID (Mild/Moderate/Severe+Profound) | 75/125/20 | 5/23/3 | 5/13/2 | 85/161/25 |
| mCRT (median [IQR]) | 36.0 [2.0] | 27.0 [16.0] | 15.5 [11.3] | 35.0 [4.0] |
| DLD (median [IQR]) | 11 [12.0] | 17.5 [13.5] | 35 [24.0] | 13 [13.0] |

aDS, asymptomatic Down syndrome; CAMCOG-DS, Cambridge Cognitive Examination for Older Adults with Down’s Syndrome; dDS, dementia Down syndrome; DLD, Dementia Questionnaire for People with Learning Disabilities; F, female; ID, intellectual disability; IQR, interquartile range; M, male; mCRT, Modified Cued Recall Test; pDS, prodromal Down syndrome.

DISCUSSION

This is the first study to show the applicability of the mCRT and the CAMCOG-DS in a large population-based cohort stratified by level of ID, and also the first to show the diagnostic performance to detect prodromal AD and AD dementia. We showed that it is possible to diagnose symptomatic AD using population norms in people with DS through the administration of neuropsychological tests in adults with mild and moderate ID. The cutoff points derived from the normative data were in agreement with the thresholds in the ROC analyses.

Completion rates for the CAMCOG-DS and mCRT greatly varied by the severity of ID and along the AD continuum, with the mCRT showing lower completion rates. This was an expected result, as these tests were designed to assess cognition in mild and moderate ID. Virtually all aDS with mild and moderate ID completed the tests compared to less than 50% of subjects with severe ID and none of the subjects with profound ID. Similarly, symptomatic AD also affected completion rates. Although most pDS with mild or moderate levels of ID could complete the tests, completion rates decreased in dDS with moderate ID. These results show that assessing cognition in mild to moderate ID is feasible along the AD continuum, but that other instruments with lower floor effects should be used in severe ID.

The level of ID had a greater impact on CAMCOG-DS scores than on mCRT scores. Subjects with mild ID obtained significantly higher scores on the CAMCOG-DS than subjects within the moderate range. The mCRT, however, was less sensitive. As in our previous report on the natural history of AD in DS, cognitive decline was detectable after age 40, especially in moderate ID, in agreement with previous studies. The median age at diagnosis in our aforementioned study was 50.2 years for pDS and 53.7 years for dDS. Therefore, cognitive decline is detectable cross-sectionally 10 years before symptomatic AD and occurs in parallel to hippocampal atrophy, after amyloid and tau biomarkers become abnormal. These results reinforce that most of the decline associated with aging in DS is AD related. It is important to consider that all subjects with DS show the characteristic neuropathological signs of AD by the fourth decade of life. For this reason, in
Neuropsychological performance

**FIGURE 3** Neuropsychological performance of Down syndrome by clinical groups according to level of intellectual disability. ID, intellectual disability; aDS, asymptomatic Down syndrome; pDS, prodromal Down syndrome; dDS, dementia Down syndrome; mCRT, modified Cued Recall Test. CAMCOG-DS, Cambridge Cognitive Examination for Older Adults with Down’s Syndrome. A subset of participants with moderate ID had difficulties understanding the instructions of the CAMCOG-DS and/or the mCRT due to cognitive difficulties, and thus received a score of “0” on these tasks (represented in purple at the bottom of the figure).

Relationship between age and cognitive test scores

**FIGURE 4** Relationship between age and cognitive scores in subjects with mild and moderate ID for the whole cohort. Footnote: mCRT, modified Cued Recall Test; CAMCOG-DS, Cambridge Cognitive Examination for Older Adults with Down’s Syndrome; ID, intellectual disability; DS, Down syndrome.

order to derive the population norms, we chose the younger individuals (≤35 years).

There was a clear decrease in both the CAMCOG-DS and mCRT values along the AD continuum. ROC analyses showed good diagnostic performance for the CAMCOG-DS and the mCRT. The cutoff score for the CAMCOG-DS derived from the ROC analyses achieved high sensitivity and specificity to diagnose AD dementia in both mild and moderate ID. Prodromal AD could also be diagnosed with good accuracy in mild ID, but not in moderate levels of ID. The mCRT showed higher diagnostic performance than the CAMCOG-DS. To the best of our knowledge, only one group has reported on CAMCOG-DS cutoff scores for the diagnoses of AD dementia in people with DS. In the validation study of the CAMDEX-DS in Spain, Esteba et al. found a cutoff score of 68 in mild ID and 52 in moderate ID, lower than in our study.
FIGURE 5 ROC curve analysis of diagnostic performance of CAMCOG-DS total score (a) and the mCRT total immediate recall score (b) for Down syndrome clinical groups (prodromal DS and dementia DS). pDS, prodromal Down syndrome; dDS, dementia Down syndrome; mCRT, modified Cued Recall Test; CAMCOG-DS, Cambridge Cognitive Examination for Older Adults with Down’s Syndrome; ID, intellectual disability.

(we obtained cutoff scores of 80 and 56, respectively). The inclusion of subjects with less cognitive decline in our study, which is based on a population health plan with active screening for AD, might explain the discrepancies. Cued recall tasks31 are commonly used in the general population to differentiate between memory decline related to aging and AD-related memory deficits.32,33 aDS individuals often performed at ceiling on the total score of the test. It is notable that this test was less sensitive to the level of ID than the CAMCOG-DS, and the cutoffs were very similar in the mild and moderate levels of ID. This lower variability associated to the level of ID facilitates diagnosis. Cognitive functions have been shown to decline sequentially in people with DS, with different cognitive domains being affected at different stages of the disease.15,34,35 A longitudinal study conducted by Krinsky-McHale et al.36 found that participants with DS with early stage AD dementia showed severely diminished episodic memory. Again, our cutoff scores of 29 and 28 (for the mild and moderate level of ID, respectively), derived from the ROC analyses, are higher than that reported by Devenny et al.,18 who proposed a provisional cutoff score of 23 to identify dementia due to AD in DS populations.18 Similar to the CAMCOG-DS results, these differences could be explained by the inclusion in our sample of subjects with earlier stages of AD, and thus with less impairment in memory functions.

We provide several thresholds for the normative data for the CAMCOG-DS and the mCRT obtained in younger asymptomatic subjects with DS (aged ≤ 35 years) with mild and moderate levels of ID (1st, 5th, and 10th percentiles). These cutoffs were in agreement with those established for the diagnosis of prodromal AD and AD dementia using ROC analyses (with the exception of the mCRT cutoffs derived in the ROC analyses for AD dementia). CAMCOG-DS scores below 80 in subjects with mild ID and below 59 in those with moderate ID were
indicative of a pathological performance on this test (corresponding to the 10th percentile). In the case of the mCRT, total immediate scores below 35 and 33 for those subjects with mild and moderate ID, respectively, could be considered at risk for symptomatic AD (both prodromal AD and AD dementia). Therefore, the 10th percentile cutoffs could be used for screening purposes in clinical practice. However, to diagnose AD dementia, more stringent thresholds should be used, especially for the CRT.

Taking into account the sensitivity and specificity obtained in both the normative data and from the ROC curve analysis, the cutoff points that we would recommend for the diagnosis of AD dementia in people with DS would be the following: In mild ID, CAMCOG-DS scores of 80 and mCRT scores of 29; in moderate ID, CAMCOG-DS scores of 56 and mCRT scores of 28.

The main strengths of this study are the large sample size and the fact that it comes from a large population-based cohort of adults with DS representative of the DS population in Catalonia. This enabled us to establish robust population-based norms in young aDS stratified by the level of ID. Another strength is that we could compare the thresholds derived from this approach to those of the ROC analyses, showing that the thresholds were comparable and yielded good diagnostic performance. This study also has some limitations. First, it is based on cross-sectional data. Second, it is a single-center study, and thus needs to be replicated in other cohorts and populations to confirm the generalizability of our results. Third, despite the large overall sample size, the number of subjects with prodromal AD and AD dementia with mild ID was reduced. For this reason we did not perform an internal cross-validation in the ROC approach. Fourth, to avoid circularity, we used the neurologist’s initial diagnosis, blinded to neuropsychological assessment, and this could have led to a misidentification of prodromal AD cases as asymptomatic or to the inclusion in the asymptomatic group of subjects with medical, pharmacological, or psychiatric conditions that could have effects on cognition not detected by the neurologist. Of note this might have underestimated the diagnostic performance of the tests. Further studies with longitudinal follow-up and/or biomarkers would allow for more reliable diagnosis.37

In summary, our study shows that, similar to the general population, a diagnosis of prodromal AD and AD dementia can be done reliably in adults with DS based on the observation of low levels of cognitive test performance relative to population norms when stratifying by the level of ID.

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CONFLICTS OF INTEREST

Dr. Fortea has received compensation for consultancies to Novartis and AC Immune. The remaining authors have no conflicts of interest to declare that are relevant for this article.

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SUPPORTING INFORMATION
Additional supporting information may be found online in the Supporting Information section at the end of the article.

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