Permeability of saturated and unsaturated iron ore fines

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ABSTRACT

Concerning on the liquefaction behavior of a type of bulk cargoes, iron ore fines (IOF), during maritime time transportation, systematic experimental and numerical programs were conducted. In this study, as an important parameter for seepage analysis, permeability of saturated and unsaturated typical IOF were evaluated in a triaxial system. As a comparison, similar tests were also conducted on a widely used laboratory material, Toyoura sand. The tests were conducted very carefully considering effects of system head loss and filter clogging issues on the hydraulic gradient applied to specimens. It was revealed that coefficient of permeability ($k$) of the typical IOF with compaction degree of 91-93% was about $1 \times 10^{-5} \text{m/s}$ for saturated condition ($S_r=100\%$) and $2 \times 10^{-7} \text{m/s}$ for $S_r=84\%$. In addition, $k$ of IOF-B in a full $S_r$ range was estimated based on water retention data and $k$ of unsaturated Toyoura sand in the past studied were summarized, which suggested that the testing system performed reasonably well.

Keywords: Iron ore fines, permeability, triaxial system, liquefaction, Toyoura sand

1 INTRODUCTION

In 2009, casualties of two vessels loaded iron ore fines (IOF, a type of bulk cargoes transported at sea for the iron making industry) happened near Indian ports (IMO 2010a and 2010b). The casualties were reportedly caused by liquefaction of IOF due to its high water content when being loaded to the vessels in the monsoon season. For cargoes that are prone to liquefy, their transportable moisture limit (TML) is required to be determined by shippers before loading, and cargoes are not allowed to be loaded when their water content exceeds TML as stipulated in the International Maritime Solid Bulk Cargoes Code (IMSBC Code). Unfortunately, since there was not a specific instruction in IMSBC Code for IOF before the two casualties and some shippers treated IOF as un-liquefiable cargo (IMO 2010b, IMSBC 2012). To build an instruction for IOF, a series of studies were conducted by an iron ore technical working group (TWG) under the supervision of International Maritime Organization (IMO). Through these researches (IMO 2013b, 2013c, 2013d, 2013e and 2013f), TWG developed a new test method, modified Proctor-Fagerberg test (MPFT) for determination of TML of IOF, and this method has mandatorily be applied to IOF cargo contains both (1) 10% or more of fine particles less than 1 mm, and (2) 50% or more of particles less than 10 mm (IMO 2013a, IMO 2015). The MPFT is essentially the same as Proctor test but with smaller compaction energy and TML can be determined in the way as shown in Fig. 1 (note that gross water content =mass of water / (mass of IOF+ mass of water)). However, only a few studies were published on the evaluation of geotechnical behaviors of IOF as well as the liquefaction potential of IOF heap under nautical conditions except TWG works. Wang et al. (2014, 2016a, 2016b and 2018) revealed behaviors of IOF with saturated and unsaturated conditions under monotonic triaxial loading and cyclic loading, water retention properties etc. Wang et al. (2017 a), Chen et al. (2018) and Munro and Mohajerani (2018) conducted some numerical works, which indicate IOF heap may have high liquefaction or instability potential.

After loading unsaturated IOF to the vessel, due to the seepage during a voyage, saturated and unsaturated zones may form as schematically illustrated in Fig. 2. When the heap experience a cyclic motion induced by ocean wave or engine vibration during a voyage, liquefaction may be triggered especially for the saturated zone, which suggests that seepage analysis is very important for proper evaluation of IOF heap behaviors. In this study, permeability of IOF obtained by patient testing works were presented which provide basic information for seepage analysis in the IOF heap.

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3 PREPARATIONS AND PROCEDURES

Permeability tests were conducted in a modified triaxial system as shown in Fig. 4 (for details: Wang et al. 2016c, 2017b and 2017c). It consisted of mainly four parts, the main body of the apparatus, water supply end, water receiver end and loading system (not shown). A double-tank system at the water supply end and a double-pipe system at the water receiver end were designed supplying a constant water head (Hw). A differential pressure transducer (DPT, see Fig. 4) was installed to measure the water head (Hw). Note that neither Hw nor Hm is not water head applied to the two ends of the specimen (Hwp) as illustrated in Section 4. It is worth mentioning that there is a coarse membrane filter glued in the upper tank of water supply end, which is used to filter possible impurities in the distilled water (filtered water, hereafter). At the right hand side of Fig. 4, details of the pedestal and top caps employed for saturated and unsaturated specimens are illustrated. For the unsaturated case, membrane filter (Nishimura et al. 2012, Wang et al. 2017c) is used for water drainage, and pore air can be controlled through another path in the top cap, where a hydrophobic filter is installed.

Four specimens were prepared for the permeability test as shown in Table 1 (specimen height: 100 mm, diameter: 50 mm). Saturated IOF-B specimen was formed by static compression method under the water content (w) of 12 % in a two-piece rigid mold. The specimen was saturated by the double vacuum method (Ampadu and Tatsuoka, 1993), and a B-value of more than 0.95 was obtained under 0 kPa back pressure. The specimen was consolidated first under a confining pressure of 50 kPa and then under 100 kPa. After each consolidation step, permeability was measured in several steps with different Hwp. On the other hand, saturated Toyoura sand specimen was constituted by the air pluviation method and saturated by CO2 flushing as well as application of back pressure of 200 kPaa. Similar as IOF-B specimen, permeability was measured under different Hwp after consolidation under 60 kPa and 100 kPa, respectively.

Unsaturated IOF-B specimen was prepared in the same way as the saturated IOF-B specimen, while extra water was added drop by drop from the top of the specimen until water drained from the bottom of the specimen. Such a specimen was cured overnight for a more uniform water distribution. The specimen was consolidated under a net stress of 100 kPa by allowing water drainage from the pedestal, and air drainage from the top cap. The average suction after consolidation was about 0.3 kPa and then the permeability was measured with a closed pore air path under a constant Hwp. Unsaturated Toyoura sand specimen was prepared by compaction by 5 layers in a rigid mold. The initial w was 17.5 % and another 2.5 % of water was added after compaction and the specimen was cured for 2 hours thereafter. The specimen was consolidated under a net
stress of 100 kPa by only allowing pore air drainage from the top cap. Suction after consolidation was about 1.6 kPa and permeability test was conducted in the same way as the unsaturated IOF-B specimen. Note that during measurement of permeability, water inflow could not be monitored and the final w of Toyoura sand specimen increased from initially 20.0% to 21.2%, namely w increased 1.2% during the test.

Fig. 4 Schematic illustration of the apparatus for permeability test

Table 1 Specimen conditions of permeability tests

| Saturation conditions | Materials | $\sigma_c$ (kPa) | $\rho_d$ (Mg/m³) | $D_c$ or $D_r$ (%) | $S_r$ (%) | S (kPa) |
|-----------------------|-----------|-----------------|------------------|-------------------|---------|--------|
| Saturated tests       | IOF-B     | 50→100          | 2.54→2.58        | D_c: 91.3         | 100     | -      |
|                       | Toyoura sand | 60→100        | 1.55→1.55        | D_r: 78.1         | 100     | -      |
| Unsaturated tests     | IOF-B     | 100             | 2.58             | D_c: 92.7         | 84.3    | 1.6    |
|                       | Toyoura sand | 100            | 1.47             | D_c: 51.8         | 69.8    | 0.3    |

Note: $\sigma_c$: confining pressure for saturated case and net stress for unsaturated case; $\rho_d$: dry densities after the consolidation; $D_c$, $D_r$: compaction degree and relative density after the consolidation; $S_r$: the values of unsaturated specimens were calculated according to the w at the end of test.

4 CALIBRATION OF SYSTEM HEAD LOSS AND FILTER CLOGGING ISSUE

To evaluate water head applied to the specimen ($H_w$), system head loss ($H_{sys}$, i.e., water head consumed by the flow paths) needs to be first estimated. $H_{sys}$ induced by filters (e.g., filter paper, porous stone) may be considered according to theoretical equation (e.g. Uno et al. 1990), while the rest caused by such as tube friction, changes of the flow direction at tube joints etc. can hardly be estimated theoretically. In this section, calibration tests to evaluate $H_{sys}$ are introduced.

4.1 Calibration for saturated specimens

As the calibration test for the saturated specimens, a clean acrylic pipe and two filter papers were placed between the top cap and pedestal, $H_m$ and water flow rate ($v$) were measured under difference $H_{su}$. Fig. 5 (a) shows $v$ for the first seven steps with difference $H_{su}$ ($v$ is calculated in every 0.5 ml water discharge and $H_m$ is indicated in the legend). It seems that $v$ is almost constant at relative low $H_m$ (e.g. steps 1-4), while it fluctuates somewhat at higher $H_m$. In addition, a very slight reduction of $v$ associating water discharge is observed in each step. Steps 8 and 9 having a same $H_{su}$ as step 5 were conducted immediately and about 12 hours later after step 7, respectively. Their $v$ are plotted in Fig. 5 (b) together with that of step 5. It is revealed that magnitudes of $v$ in steps 5 and 8 are very similar, while a slight reduction is observed in step 9, implying that duration of test may affect $v$.

Fig. 6 plots the relationship between $H_m$ and $v$ for steps 1-7 of the calibration test indicated by empty small circles, and larger circles are average value in each step. It seems that averaged $H_m$ and $v$ are approximately linearly related. Since the inner diameter of the acrylic pipe used in the calibration test was much larger compared to tubes in flow paths, head loose from
the acrylic pipe could be ignored. And \( H_{sy} \) in the permeability test could be estimated by this linear relationship (i.e. \( H_{sy}=317.9v \)) as far as the water path was kept unchanged. Then, \( H_{sp} \) in the permeability test was calculated by Equation (1). In addition, since the DPT position and drainage path connections were slightly different between permeability tests of IOF-B and Toyoura sand specimens, two calibration curves are shown in Fig. 6.

\[
H_{sp} = H_m - H_{sy} \quad (1)
\]

![Fig. 5 Flow rate of calibration test for saturated case](image)

![Fig. 6 Calibration for saturated specimens](image)

### 4.2 Clogging issue and Calibration for unsaturated specimens

Before illustration of the calibration test for unsaturated specimens, the filter clogging issue is first explained based on tests conducted with the configuration shown in Fig. 7. These tests were to measure water head by DPT1 and flow rate \( (v) \) by DPT2 when water flowed through a membrane filter. Three tests were conducted with different water or procedures as shown in Table 2. In the second test, some fine contents of IOF-B were mixed to the water in the inner cell at the latter part of the virgin flow and in the third test, drainage was suspended overnight at the latter part of the second flow.

Measured water head and \( v \) are plotted in Fig. 8, where \( v \) is the rate for every 0.5 ml water discharge. It can be seen from Fig. 8 (a) that \( v \) of SF_1 becomes slower compared to that of VF_1 under the same water head, while \( v \) returns to the same level after changing to a new membrane filter (i.e. VF_1 versus VF_2). On the other hand, Fig. 8 (b) shows that \( v \) becomes faster by changing distilled water to filtered water (e.g. VF_1 versus VF_3) and \( v \) difference between VF and SF also becomes narrower by using filtered water. Further, apparently mixing IOF-B and extending test duration (i.e. latter parts of VF_2 and SF_3) also affects \( v \). Fig. 8 and microscope observations (not shown) imply that filter clogging may occur due to flow of impurities together with water, which induces dependence of \( v \) on the accumulated volume of water discharge.

With the consideration of filter clogging issue, calibration tests for the unsaturated case were conducted with the following procedures. First, flow paths were sufficiently flushed and saturated by filtered water and initially saturated membrane filters were then immediately fixed to the top cap and pedestal. Thereafter the acrylic pipe was placed on the pedestal, filtered water was injected into the pipe from the top end of the pipe until water overflowed and the top cap was gently descended to the top end of the pipe ensuring that no air was trapped. Then water supply and receiver ends were adjusted to an appropriate positions. This condition was maintained for 3 hours to consider period of specimen consolidation and test preparation before permeability test. Lastly accumulated water discharge and \( v \) through membrane filters under the constant \( H_m \) were measured. The above procedures were repeated for other two \( H_m \) values.

In each calibration test, \( v \) was calculated for every 3 ml water discharge for simplification of calibration curves. The relationship between \( v \) and \( H_m \) is plotted in Fig. 9 indicated by empty symbols. It can be seen that \( v \) reduces continuously with the accumulated water discharge for all the three calibration tests and \( H_m \) in each calibration test slightly increases gradually due to the filter clogging. For estimation of \( H_{sy} \), relationships between \( v \) and \( H_m \) were fitted by a hyperbolic function as indicated by dash lines in Fig. 9, by which \( H_{sy} \) of unsaturated specimens was estimated by Equation (1).

| Membrane filter No. | Types of Water | Procedures |
|---------------------|----------------|------------|
| 1                   | Virgin flow (VF_1) | Second flow (SF_1) |
| 2                   | Virgin flow (VF_2) *addition of IOF-B at latter part of VF_2 |
| 3                   | Virgin flow (VF_3) *suspension of water flow overnight at latter part of SF_3 |

Note: Virgin flow: water pass through a new membrane filter for the first time; Second flow: after refilling water to the original water level in the inner cell, water flow was repeated.
5 PERMEABILITY TEST RESULTS AND DISCUSSIONS

5.1 Coefficient of permeability

It becomes straightforward to evaluate permeability of saturated and unsaturated specimens by knowing the \( H_{sy} \) and filter clogging issue discussed above. Fig. 10 shows typical evolution of \( v \) associated with water discharge of the saturated IOF-B specimen under different \( H_m \). It can be seen that \( v \) reduces slightly with water discharge in each step, and it also reduces between steps even with the same \( H_m \). These observations can also be seen slightly in the calibration tests as shown in Fig. 5 implying that filter clogging might occur during water flow through specimens. The relationship between averaged \( v \) and \( H_m \) in each step of two saturated tests are added to Fig. 6, from which it can be seen that when \( v \) is relatively small, \( H_{sy} \) consumes very large part of \( H_m \)(e.g. 1st step of Toyoura sand specimen) suggesting that the calibration is important in such a testing system.

Based on Darcy’s law, hydraulic gradient \( (i) \) and coefficient of permeability \( (k) \) in each step of the two saturated specimens are calculated as shown in Fig. 11 (note that dimension changes of specimens due to consolidation are considered in calculation). It seems that in general \( k \) reduces accompanying an increase in \( i \) and has a trend of approaching to \( 10^{-5} \text{m/s} \) for IOF-B specimen and \( 10^{-4} \text{m/s} \) for Toyoura sand specimen. Carpenter and Stephenson (1986) conducted a series of test on a clay with \( k \) of an order of \( 10^{-10} \text{m/s} \), by which it was found that \( k \) decreased (to about 1/3 at maximum) by increasing \( i \) from 50 to 300, while the reduction became ignorable by increase the diameter of the specimens. They attributed this reduction to consolidation effect when adjusting back pressure for desired \( i \). While in this study since the volume change caused by consolidation is very small (see Table 1) and \( k \) of IOF-B specimen also reduces in the last steps as shown in Fig. 11, the reduction of \( k \) may be induced largely by the filter clogging issue which was not considered in the calibration tests.

For the two unsaturated specimens, the relationship between \( v \) (for every 3 ml water discharge) and accumulated water discharge is plotted in Fig. 12. It can be seen that \( v \) reduces associated with water discharge as expected, and the reduction magnitude is larger for Toyoura sand specimen. The relationships of \( H_m \) versus \( v \) of the two unsaturated specimens are added to Fig. 9, and \( H_{sy} \) is calculated accordingly as shown in the same figure, by which \( H_{sp} \) can be calculated. The coefficient of permeability \( k \) of the two specimens is plotted versus \( i \) in Fig. 13. It seems that \( k \) of unsaturated IOF-B and Toyoura sand specimens are about \( 2 \times 10^{-7} \text{m/s} \) and \( 2 \times 10^{-6} \text{m/s} \), respectively. In addition, the apparent reduction of \( k \) with \( i \) is not observed as compared to the saturated case in Fig. 11, which may be attribute to a small \( v \) during flow of water.

Fig. 7 Layout of testing apparatus for the filter clogging

Fig. 8 Relationships between water head and flow rate in filter clogging examination test, (a) Tests 1 and 2; (b) Tests 1 and 3

Fig. 9 Calibration test results of system for unsaturated specimens
5.2 Permeability of IOF-B in full range of \( S_r \)

For the seepage analysis of the IOF heap during maritime transportation, permeability of IOF-B in full range of \( S_r \) is necessary. In this section, \( k \) is estimated by VG model (Van Genuchten 1980) as shown by Equations (2) and (3).

\[
(2) \quad k = k_s \Theta^{1/2} \left[ 1 - \Theta^{n/(\alpha-1)} \right]^{1-1/n}
\]

\[
(3) \quad \Theta = \frac{\theta_s - \theta_F}{\theta_s - \theta_r} = \frac{1}{[1+\alpha S]^{1-1/n}}
\]

where, \( \theta_s, \theta_r, k_s, k, \alpha \) and \( n \) stand for saturated and residual volumetric water contents, coefficients of permeability for saturated and unsaturated conditions, two model parameters, respectively. \( S \) is suction. \( k_s = 1.0 \times 10^{-5} \text{ m/s} \) was set according to results in this study, and other parameters were determined as shown in Table 3 by fitting water retention curves (WRC) of IOF-B (Wang et al. 2014, see Fig. 14) with Equation (3) under three conditions. The first two conditions used drying and wetting processes of WRC in suction range 0.1-20kPa, respectively, and \( \theta_r \) was set to be the value at \( S = 20 \text{kPa} \). The third condition used drying process of WRC in full suction range by setting \( \theta_r = 0\% \).

In Fig. 15 the predicted \( k \) of the three cases is shown, where fitting curves of VG model (i.e. Equation 3) are indicated in the subfigure. It can be seen that the predicted \( k \) is roughly consistent with the measured \( k \) obtained in this study, though more measured data would be preferred for confirmation. Further, it can also be seen that \( k \) is significant different by assigning different \( \theta_r \) (i.e. Case1 and Case 3), suggesting parameter sensitivity examination may be necessary in the seepage analysis.

5.3 Permeability of Toyoura sand in full range of \( S_r \)

To evaluate performance of the measurement system
employed in this study, a literature review on the coefficients of permeability of Toyoura sand was conducted and well documented data that were available to the authors were summarized in Table 4 and Fig. 16. It can be seen from Table 4 that the testing methods mostly used for laboratory measurement of permeability for unsaturated soils are included, such as steady state methods by employing Richards’ type apparatus (Richards and Moore 1952) and triaxial apparatus (Ishikawa et al. 2010), and three unsteady state methods. The dry density values are mostly about 1.50 Mg/m³, and hydraulic gradient values are in a range of 0.1-5 as shown by the available data. The filters used for the water drainage path were the ceramic disk, glass filter and membrane filter with coefficient of permeability in orders of $10^{-5}$-$10^{-9}$ m/s and thickness of 0.1-6 mm for available data. By reproducing these experimental results, three categories were made in Fig. 16, of which solid black data were measured by the steady state method and empty black data were measured by the unsteady state methods. The $k$-$S_r$ in Kohno and Nishigaki (1981) and Kawanishi et al. (1987) were calculated from the $\theta$-$k$ relationship and the specimen dry density. However, in Kohno and Nishigaki (1981), $\rho_\text{d}$ was said to be 1.50 Mg/m³, by which the calculated data seem to shift to left hand side. On the other hand, $\theta_\text{t}$ of test specimen seemed to be about 0.3 from the other data in Kohno and Nishigaki (1981), which corresponds to a very large $\rho_\text{d}$=1.85 Mg/m³. Thus Ko_IP and Ka_SS ($\rho_\text{d}$ was arbitrary assigned) were grouped to gray solid marks.

First, for steady state method, it seems from Fig. 16 and Table 4 that permeability of used filters ($k_\text{filter}$) affect measured results very much. Data with $k_\text{filter}$ of $2\times10^{-6}$-$5\times10^{-9}$m/s distribute very close in $S_r$ range of 60-100% as indicated by dash circle and the data in the study is lowest. On the other hand, $k$ obtained with $k_\text{filter}$ of $3\times10^{-3}$ m/s distribute about one order higher. This results imply that filter selection in the steady state test may be crucial. Interestingly, the data regardless $k_\text{filter}$ seems to converge in low $S_r$ range (i.e., $S_r$=20-60%). Secondly, for unsteady state methods, data by OI and IP methods (see notes under Table 4 for details of methods) seem to be consistent, and they are also consistent with steady state data. While data obtained by OS method distribute about 1-2 orders lower than OI and IP methods. In the OS method, relationship of water content change and time for one large step change of suction were measured, which may affect $k_\text{filter}$. For OI and IP methods, effects of $k_\text{filter}$ might be less. With the above considerations, a distribution band is drawn indicated by the solid lines, which would be the most possible distribution area of $k$ for Toyoura sand with density of about 1.5 Mg/m³. Finally for results in this study, considering the variation of current data base, the data obtained may be still said to be reasonable.

| Case | $k$ (m/s) | $\theta_\text{t}$ (%) | $\theta_\text{f}$ (%) | $a$ (kPa⁻¹) | $n$ |
|------|----------|---------------------|---------------------|------------|-----|
| DC1  | $1.0 \times 10^{-4}$ | 42.1 | 27.0 | 0.75 | 2.79 |
| WC   | $1.0 \times 10^{-5}$ | 42.1 | 27.0 | 0.66 | 1.70 |
| DC1+DC2 | $42.1 \times 10^{-6}$ | 42.1 | 0 | 0.50 | 1.29 |

See Table 4 for references’ information

**Fig. 16 Coefficient of permeability of Toyoura sand**

**Table 4 Parameters for VG model**
6 CONCLUSIONS

As part of testing program for evaluation of liquefaction potential of the heap of the iron ore fine (IOF) during maritime transportation, the study addressed results of permeability tests for saturated and unsaturated IOF-B and Toyoura sand. The following conclusions are obtained:

(1) A triaxial system was developed for permeability test for saturated and unsaturated sandy materials. This system could supply a constant water head, and the membrane filter technique was applied to the apparatus to acceleration of testing duration of the unsaturated specimen.

(2) From this study, $k$ was about $1 \times 10^{-5} \text{m/s}$ for saturated condition ($S_r=100\%$) and $2 \times 10^{-7} \text{m/s}$ for $S_r=70\%$ for Toyoura sand with dry density of 1.47 Mg/m$^3$. And $k$ was about $1 \times 10^{-4} \text{m/s}$ for saturated condition ($S_r=100\%$) and $2 \times 10^{-6} \text{m/s}$ for $S_r=70\%$ for Toyoura sand with dry density of 1.47 Mg/m$^3$.

(3) It was found that calibration of system head loss ($H_0$) was very necessary and the filter clogging issue should be paid attention significantly for evaluation of $k$ when the system did not directly measure water head different applied to a specimen.

(4) $k$ in a full range of $S_r$ for IOF-B were estimated based on the water retention properties, which shows that estimated data were close to the measurements. However, the number of measured data are very limited, further testing data of $k$ for IOF-B or sensitivity check to $k$ may be necessary in the seepage analysis of IOF heap.

(5) $k$ in a full range of $S_r$ for Toyoura sand that were well documented in the past studies were summarized. A general distribution band was drawn in $k$ - $S_r$ space, which implies the data in this study may be reasonable.

Note:

1 Method: the methods to get coefficient of permeability, of which terminology in Klute (1972) is applied, SS: Steady state method which measure $k$ by maintaining degree of saturation as constant condition, OS: one step method which measure the relationship between time and water drainage or absorption when suction of a specimen is changed in a relatively large step ($k$ is assumed to be constant in this process) IP: Instantaneous profile method which measure the water content distribution along a specimen (usually very long) under a certain boundary condition (e.g. immerse one column end to water).

2 values with * marks are calculated from void ratio by assuming $G_s=2.65$ and the value with # mark is assigned value, by which volumetric water content $q$ is converted to $S_r$ in Fig. 16.

3 Hydraulic gradient $i$ is that applied to the two ends of specimens, the value with * mark is a value that is available from the reference, and NA stands for not available or not applicable.

4 Richards' apparatus is basically similar apparatus similar to Richards and Moore (1952).
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