Ternary and Quaternary Curves of Small Fixed Genus and Gonality With Many Rational Points

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ABSTRACT
We extend the computations from our previous work to determine the maximum number of rational points on a curve over \( \mathbb{F}_3 \) and \( \mathbb{F}_4 \) with fixed gonality and small genus. We find, for example, that there is no curve of genus 5 and gonality 6 over a finite field. We propose two conjectures based on our data. First, an optimal curve of genus \( g \) has gonality at most \( \left\lfloor \frac{g+3}{2} \right\rfloor \). Second, an optimal curve of gonality \( \gamma \) and large genus over \( \mathbb{F}_q \) has \( \gamma(q + 1) \) rational points.

1. Introduction
Let \( C \) be a smooth proper geometrically connected scheme of dimension 1 over a finite field — a “curve”, for brevity. There are two well-known upper bounds for the number of rational points on \( C \). First, there is the bound of André Weil that arises in the study of the zeta function:

\[
\# C(\mathbb{F}_q) \leq q + 1 + 2g\sqrt{q},
\]

where \( g \) is the genus of \( C \). Define the quantity \( N_q(g) \) to be the maximum number of rational points on a curve of genus \( g \) over \( \mathbb{F}_q \). Many techniques have been developed to bound \( N_q(g) \) and to produce examples of curves with large numbers of rational points; see [17, 26] or the forthcoming text [23]. Van der Geer and van der Vlugt [25] compiled the first comprehensive table of maximal values for small genus and field size, which eventually evolved into manypoints.org. Table 1 gives the first few values of \( N_q(g) \).

Second, if \( f : C \to \mathbb{P}^1 \) is a morphism defined over \( \mathbb{F}_q \), then every rational point of \( C \) must map to a rational point of \( \mathbb{P}^1 \). Consequently, we get the bound \( \# C(\mathbb{F}_q) \leq (\deg f)(q + 1) \). This bound is optimized when we take \( f \) to have minimum degree over all such morphisms — we call this degree the gonality of \( C \) and write \( \gamma \) for it. This gives rise to the “gonality-point bound” for \( C \):

\[
\# C(\mathbb{F}_q) \leq \gamma(q + 1). \tag{1.1}
\]

We define the quantity \( N_q(g, \gamma) \) to be the supremum of the number of rational points on a curve \( C \) of genus \( g \) and gonality \( \gamma \). (Many pairs \( (g, \gamma) \) exist for which there is no such curve, so we use the supremum.)

Remark 1.1. One might ask why we do not consider the maximum number of rational points on a curve over \( \mathbb{F}_q \) of fixed gonality, while letting the genus vary freely. We expect this quantity to be \( \gamma(q + 1) \) in all cases; see Conjecture 1.4.

In our first article [5], we laid out a plan for computing the maximum number of rational points on a curve \( C \) over \( \mathbb{F}_q \) with small genus and fixed gonality, and we executed this program for curves over the binary field with genus at most 5. In the present article,

| Table 1: Maximum number of rational points on a curve of genus \( g \) over \( \mathbb{F}_q \) |
|--------------------------------------------------|
| \( g \) | \( N_2(g) \) | \( N_3(g) \) | \( N_4(g) \) |
|-------|-------------|-------------|-------------|
| 0     | 3           | 4           | 5           |
| 1     | 5           | 7           | 9           |
| 2     | 6           | 8           | 10          |
| 3     | 7           | 10          | 14          |
| 4     | 8           | 12          | 15          |
| 5     | 9           | 13          | 17          |
we extend those computations to the fields $\mathbb{F}_3$ and $\mathbb{F}_4$. For many cases where curves of a given genus and gonality actually exist, this was fairly straightforward: we either dug through the literature to find examples of curves that met certain bounds we had already exhibited, or else we ran general search code that we wrote for our first article. But in the cases where we prove non-existence results, the increased size of the relevant search spaces requires improved algorithms and code development.

Table 2 summarizes the quantities $N_q(g, \gamma)$ for $q \leq 4$ and $g \leq 5$. The entries in the column for $q = 2$ were determined in [5], while the entries for $q = 3, 4$ are justified in the present article. Recall that $N_q(g, \gamma) = -\infty$ if there is no curve of genus $g$ and gonality $\gamma$. The gonality of a curve over a finite field is at most one more than the genus [5, Prop. 2.1], so we omit entries in the table beyond $g + 1$.

The final row of Table 2 suggests a pattern, which we are able to complete:

**Theorem 1.2.** There is no curve of genus 5 and gonality 6 over a finite field.

**Proof.** Suppose there were a curve $C$ of genus 5 and gonality 6 over $\mathbb{F}_q$. Table 2 shows that $q \geq 5$. Weil's lower bound yields

$$\#C(\mathbb{F}_q) \geq q^3 + 1 - 10q^{3/2} = (q^{3/2} - 5)^2 - 24 \geq 14,$$

so that $C(\mathbb{F}_q^3) \neq \emptyset$. The presence of a cubic point implies that $C$ has gonality at most 5 by [5, Cor. 2.5].

Serre introduced a powerful technique for showing that curves over finite fields with certain numerical properties cannot exist [22, II - The Case $q = 2$]. Lauter transformed this technique into a proper algorithm in the self-contained article [13]; see also [12] and [23, §VII.2]. The idea is to efficiently list all real Weil polynomials (essentially zeta functions) that could belong to a curve with given genus and number of rational points (perhaps over extension fields). Each of these is the real Weil polynomial of an isogeny class of Abelian varieties, and one attempts to show by arithmetic/geometric methods that there is no Jacobian variety in this class. For example, upon applying Lauter’s algorithm to the case of curves of genus 5 and gonality 6 over $\mathbb{F}_4$, one finds a single real Weil polynomial:

$$(T - 4)^2(T + 1)^3.$$  

None of the methods developed in [8, 9, 14] seem able to rule out the possibility of a Jacobian in the associated isogeny class (which would yield an alternate proof of Theorem 1.2). We will return to this line of thought in the forthcoming article [6].

An optimal curve is a curve of genus $g$ over $\mathbb{F}_q$ with $N_q(g)$ rational points. One expects the geometry of these to be rather special because their arithmetic sets them apart. For example, Rigato showed that for small-genus curves over $\mathbb{F}_2$, there are very few isomorphism classes of optimal curves [18]. We propose a conjecture on the gonality of optimal curves:

**Conjecture 1.3 (Optimal Gonality).** Let $C$ be an optimal curve over $\mathbb{F}_q$ of genus $g$. Then $C$ has gonality at most $\left\lfloor \frac{g + 3}{2} \right\rfloor$.

Note that $\left\lfloor \frac{g + 3}{2} \right\rfloor$ is the maximum geometric gonality of a curve $C/\mathbb{F}_q$ of genus $g$ — i.e., among morphisms $C \to \mathbb{P}^1$ defined over the algebraic closure $\bar{\mathbb{F}}_q$, there exists one of degree at most $\left\lfloor \frac{g + 3}{2} \right\rfloor$.

Our Optimal Gonality Conjecture holds for $q = 2, 3, 4$ and $g \leq 5$ by Tables 1 and 2. Rigato’s work shows that it holds for $q = 2$ and $g = 6$ as well; there are exactly two isomorphism classes of optimal curves over $\mathbb{F}_2$ of genus 6, and both of them have gonality 4. In the appendix to [5], additional examples of optimal curves of genus 7, 8, and 9 over $\mathbb{F}_2$ are given, and in each case the gonality is strictly smaller than $\left\lfloor \frac{g + 3}{2} \right\rfloor$.

**Table 2.** Supremum of the number of rational points on a binary, ternary, or quaternary curve with fixed genus and gonality.

| $g$ | $\gamma$ | $N_2(g, \gamma)$ | $N_3(g, \gamma)$ | $N_4(g, \gamma)$ |
|-----|----------|-------------------|-------------------|-------------------|
| 0   | 1        | 3                 | 4                 | 5                 |
| 1   | 2        | 5                 | 7                 | 9                 |
| 2   | 2        | 6                 | 8                 | 10                |
| 3   | 2        | 6                 | 8                 | 10                |
|     | 3        | 7                 | 10                | 14                |
|     | 4        | 0                 | 0                 | 0                 |
| 4   | 1        | 6                 | 8                 | 10                |
|     | 3        | 8                 | 12                | 15                |
|     | 4        | 5                 | 10                | 13                |
|     | 5        | 0                 | 0                 | $-\infty$         |
| 5   | 2        | 6                 | 8                 | 10                |
|     | 3        | 8                 | 12                | 15                |
|     | 4        | 9                 | 13                | 17                |
|     | 5        | 3                 | 4                 | 5                 |
|     | 6        | $-\infty$         | $-\infty$         | $-\infty$         |
In [5], we posed a conjecture on the maximum number of rational points on a curve over \( \mathbb{F}_2 \) of gonality \( \gamma \) as the genus tends to infinity. Based on our new data, we feel emboldened to extend the statement to all finite fields:

**Conjecture 1.4.** Fix a prime power \( q \) and an integer \( \gamma \geq 2 \). For \( g \) sufficiently large, \( N_q(g, \gamma) = \gamma(q + 1) \).

In Section 2, we address the elliptic and hyperelliptic entries in Table 2. We also prove Conjecture 1.4 when \( \gamma = 2 \); see Theorem 2.3. We quickly handle curves of genus 3 in Section 3. The majority of our work goes toward proving that there is no curve of genus 4 and gonality 5 over \( \mathbb{F}_q \). That computation breaks into two parts: the first is a large search implemented in C, while the second uses Sage to identify smooth curves among the output of the first step. Finally, we address curves of genus 5 in Section 6.

2. Elliptic and hyperelliptic curves

Let us begin with curves of genus 1. A curve \( C_{/ \mathbb{F}_q} \) of genus 1 necessarily has a rational point by the Weil bound:

\[
\#C(\mathbb{F}_q) \geq q + 1 - 2\sqrt{q} = (\sqrt{q} - 1)^2 > 0.
\]

In particular, \( C \) is an elliptic curve; it is given by a Weierstrass equation \( y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6 \) with \( a_i \in \mathbb{F}_q \), and \( C \) has gonality 2. We conclude that \( N_q(1, 2) = N_q(1) \) for all \( q \). Now we are in a position to quote a result of Serre:

**Theorem 2.1 ([23, Thm. 6.3]).** Set \( m = [2\sqrt{q}] \). Then \( N_q(1) = q + 1 + m \) except when \( q = p^e \) for a odd, \( e \geq 5, m \equiv 0 \pmod{p} \), in which case \( N_q(1) = q + m \).

**Corollary 2.2.** \( N_3(1, 2) = 7 \) and \( N_4(1, 2) = 9 \).

Our next task is to look at hyperelliptic curves of genus \( > 1 \); see [15, Prop. 7.4.24] for the geometry of hyperelliptic equations. We begin by constructing two families of optimal hyperelliptic curves parameterized by \( g \) and \( \alpha \): one for odd \( q \) and one for even \( q \).

If \( q \) is odd and \( g > \frac{q}{2} - 2 \), then we can consider the curve

\[
C_{/ \mathbb{F}_q} : y^2 = x^{2g+2} - q^2(x^q - x)^q + 1.
\]

In order to see that \( y^2 = P(x) \) is smooth, one checks that \( \gcd(P, P') = 1 \). In our case, \( P' \) vanishes only at \( \mathbb{F}_q \)-rational points, while \( P \) does not vanish there. Therefore, \( C \) is a hyperelliptic curve of genus \( g \) and has \( 2(q + 1) \mathbb{F}_q \)-rational points (including the two at infinity). This is optimal: the gonality-point bound (1.1) shows that \( N_q(g, 2) \leq 2(q + 1) \).

If instead \( q \) is even and \( g \geq q - 1 \), we take

\[
C_{/ \mathbb{F}_q} : y^2 + (x^q + x)x^{q+1} - q + 1)y = (x^q + x)^2.
\]

The criterion for a hyperelliptic equation \( y^2 + Q(x)y = P(x) \) in characteristic 2 to define a smooth curve is expressed as \( \gcd(Q, Q')^2P + (P')^2 = 1 \) and similarly at infinity. One verifies that this holds for our curve by considering the cases \( g \) odd and \( g \) even separately. Then \( C \) has genus \( g \) and \( 2(q + 1) \) rational points (including the two at infinity).

Combining these two constructions, we immediately obtain

**Theorem 2.3.** Fix a prime power \( q \). Then \( N_q(g, 2) = 2(q + 1) \) for \( g \geq 0 \).
Let us turn to the case \( q = 3 \) more specifically. The first construction yields a smooth hyperelliptic curve of genus \( g \) with 8 rational points when \( g \geq 4 \). The curve \( C_{/ \mathbb{F}_3} : y^2 = x^{8g-1}(x^3 - x) + 1 \) is smooth of genus \( g \) whenever \( g \not\equiv 1 \pmod{6} \), and it has 8 rational points. In particular, this applies when \( g = 2, 3 \), and we have proved

**Theorem 2.4.** \( N_3(g, 2) = 8 \) for \( g \geq 2 \).

Finally, we treat the case \( q = 4 \). The second construction above gives a smooth hyperelliptic curve with 10 rational points for each genus \( g \geq 3 \).

For \( q = 4 \), the construction above shows that \( N_4(g, 2) = 10 \) for \( g \geq 3 \). To deal with genus 2, consider the curve \( y^2 + (x^3 + t + 1)y = x^5 + x^2 \). It is smooth with 10 rational points (including the two at infinity). Thus,

**Theorem 2.5.** \( N_4(g, 2) = 10 \) for \( g \geq 2 \).

### 3. Curves of Genus 3

We have already dealt with hyperelliptic curves in the preceding section, so all that remains is gonality 3 and gonality 4 [5, Prop. 2.1]. A non-hyperelliptic curve of genus 3 can be realized as a smooth plane quartic via the canonical embedding. All of our examples will be of this sort.

**Theorem 3.1.** \( N_3(3, 3) = 10 \).

**Proof.** Serre [21] showed that \( N_3(3) \leq 10 \), so we immediately have \( N_3(3, 3) \leq 10 \). Serre also exhibited the smooth plane quartic

\[
C_{/ \mathbb{F}_3} : y^3z = x^4 - x^2z^2,
\]

which has 10 rational points. A non-hyperelliptic curve of genus 3 with a rational point has gonality 3 [5, Cor. 2.3], so \( N_3(3, 3) \geq 10 \).

**Theorem 3.2.** \( N_4(3, 3) = 14 \).

**Proof.** Ihara’s explicit bound shows that \( N_4(3) \leq 14 \) [11, §2], and Serre exhibited the smooth plane quartic

\[
C_{/ \mathbb{F}_4} : (x + y + z)^4 + (xy + xz + yz)^2 + xyz(x + y + z) = 0,
\]

which has 14 rational points. A non-hyperelliptic curve of genus 3 with a rational point has gonality 3 [5, Cor. 2.3], so we conclude that \( N_4(3, 3) = 14 \).

**Theorem 3.3.** \( N_3(3, 4) = 0 \) and \( N_4(3, 4) = 0 \).

**Proof.** A curve of genus \( g \) with a rational point has gonality at most \( g \) [5, Prop. 2.1]; hence, \( N_4(3, 4) \leq 0 \). Howe, Lauter, and Top [10] produced the following examples of pointless smooth plane quartics:

\[
C_{/ \mathbb{F}_3} : x^4 + x^2y^2 + y^4 + y^3z - yz^3 + z^4 = 0,
\]

\[
C_{/ \mathbb{F}_4} : (x^2 + xz)^2 + (x^2 + xz)(y^2 + yz) + (y^2 + yz)^2 + t^2z^4 = 0.
\]

A non-hyperelliptic curve of genus 3 with no rational point has gonality 4 [5, Cor. 2.3], and the theorem follows.

### 4. Interlude on the computation of orthogonal groups

Fix a finite field \( \mathbb{F}_q \), and let

\[
Q(x) = \sum_{1 \leq i < j \leq n} c_{ij}x_ix_j
\]

be a quadratic form in \( n \) variables, where \( x = (x_1, \ldots, x_n) \) and \( c_{ij} \in \mathbb{F}_q \) are not all zero. The **orthogonal group** of \( Q \) is defined to be

\[
O(Q) = \{ g \in GL_n(\mathbb{F}_q) : Q(g(x)) = Q(x) \}.
\]

We wish to write down all of the matrices in \( O(Q) \) in an efficient manner.

Let \( g = (g_{ij}) \) be an \( n \times n \) matrix with undetermined coefficients, and let us impose the equality \( Q(g(x)) = Q(x) \). Equating coefficients of the quadratic monomials in the \( x_i \)'s on both sides gives rise to a system of \( \frac{n^2 + n}{2} \) quadratic equations in the \( g_{ij} \)'s:
(a) Equating the coefficients of the diagonal term \(x_j^2\) gives the relation

\[ Q(g_1, \ldots, g_m) = c_{i,i}. \]

(b) Write \((x, y) = Q(x + y) - Q(x) - Q(y)\) for the associated bilinear form. The relation arising from the coefficients of the term \(x_i x_j\) is given by

\[ (g_*, g_*) = c_{i,j}. \]

In particular, it depends only on \(g_s\) with \(s \in \{i, j\} \).

Any invertible matrix \(g = (g_{ij})\) that satisfies properties (a) and (b) will be an element of the orthogonal group \(O(Q)\), and every element of \(O(Q)\) is obtained in this way. It follows that the output of Algorithm 1 is correct.

**Example 4.1.** Let \(Q(x_1, x_2, x_3, x_4) = x_1 x_2 + x_3 x_4 \in \mathbb{F}_q[x_1, x_2, x_3, x_4]\). If \(g = (g_{ij})\) is a \(4 \times 4\) matrix of indeterminates, then the equation \(Q(g(x_1, x_2, x_3, x_4)) = Q(x_1, x_2, x_3, x_4)\) gives rise to the following system of 10 quadratic equations:

\[
\begin{align*}
    x_1^2 : g_{11} g_{21} + g_{31} g_{41} & = 0, \\
    x_1 x_2 : g_{12} g_{21} + g_{11} g_{22} + g_{12} g_{31} + g_{13} g_{41} & = 1, \\
    x_1 x_3 : g_{13} g_{21} + g_{11} g_{23} + g_{33} g_{41} + g_{31} g_{43} & = 0, \\
    x_1 x_4 : g_{14} g_{21} + g_{11} g_{24} + g_{34} g_{41} + g_{31} g_{44} & = 0, \\
    x_2^2 : g_{12} g_{22} + g_{32} g_{42} & = 0, \\
    x_2 x_3 : g_{13} g_{22} + g_{12} g_{23} + g_{33} g_{42} + g_{32} g_{43} & = 0, \\
    x_2 x_4 : g_{14} g_{22} + g_{12} g_{24} + g_{34} g_{42} + g_{32} g_{44} & = 0, \\
    x_3^2 : g_{13} g_{23} + g_{33} g_{43} & = 0, \\
    x_3 x_4 : g_{14} g_{23} + g_{13} g_{24} + g_{34} g_{43} + g_{33} g_{44} & = 1, \\
    x_4^2 : g_{14} g_{24} + g_{34} g_{44} & = 0.
\end{align*}
\]

Algorithm 1 begins with the precomputation of the sets \(S_i \subset \mathbb{F}_q^n\); for example, one can simply loop over the elements of \(\mathbb{F}_q^n\) to find solutions. By the Weil conjectures, one expects \#\(S_i \approx q^{n-1}\), so the overall search space has size approximately \(q^{n(n-1)}\). However, the checks involving the associated bilinear form yield a substantial cutdown of the set of matrices to test for invertibility.

**Example 4.2.** Consider the quadratic form \(Q = x_1 x_2 + x_1^2 \in \mathbb{F}_2[x_1, x_2, x_3, x_4, x_5]\). Computing \(O(Q)\) via a naive loop over all \(5 \times 5\) matrices with coefficients in \(\mathbb{F}_2\) takes approximately 54 minutes in Sage on a 2.6GHz Intel Core i5 with 16GB RAM. If we instead

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**Algorithm 1** — Compute the elements of the orthogonal group for a quadratic form \(Q = \sum_{1 \leq i \leq j \leq n} c_{ij} x_i x_j \) over \(\mathbb{F}_q\).

```python
1: initialize an empty list L
2: for 1 \leq i \leq n do
3:    compute the set \(S_i\) of solutions to the equation \(Q(x) = c_{i,i}\)
4: end for
5: for \((g_{11}, \ldots, g_{n1})\) \(\in S_1\) do
6:    for \((g_{12}, \ldots, g_{n2})\) \(\in S_2\) do
7:        if \((g_{11}, g_{21}) \neq c_{1,2}\) then continue
8:    for \((g_{13}, \ldots, g_{n3})\) \(\in S_3\) do
9:       if \((g_{12}, g_{32}) \neq c_{1,3}\) then continue
10:      if \((g_{14}, g_{42}) \neq c_{1,4}\) then continue
11:         : : :
12:    for \((g_{1n}, \ldots, g_{nn})\) \(\in S_n\) do
13:       if \((g_{1i}, g_{in}) \neq c_{i,n}\) for some \(i < n\) then continue
14:       if \(g = (g_{ij})\) is invertible then append \(g\) to the list \(L\)
15: end for
16: end for
17: return \(L\)
```
apply Algorithm 1, we first precompute the sets $S_i$. Note that $S_1 = S_2 = S_4 = S_5$, and that $|S_i| = 16$ for all $i$. It follows that our search space has size $(2^4)^5$ instead of $2^{25}$, and we only test around $2^{14.6}$ matrices for invertibility. Our Sage implementation produced $O(Q)$ in less than a second.

Here is another practical improvement for computing some orthogonal groups. Suppose that $Q$ is a quadratic form in $F_q[x_1, \ldots, x_n]$, but there is $m < n$ such that $x_{m+1}, \ldots, x_n$ do not appear in any monomial with nonzero coefficient. Write $F_q^n = U \oplus V$, where $U$ is spanned by the first $m$ standard basis vectors and $V$ is spanned by the remaining $n-m$. Then Lemma B.11 of the arXiv edition of [5] implies $g \in O(Q)$ if and only if it has the form

$$g = \begin{pmatrix} A & 0 \\ B & C \end{pmatrix},$$

where $A \in O(Q|U)$, $B : U \to V$ is an arbitrary linear map, and $C \in GL(V)$. In particular, this means that we can perform the search in Algorithm 1 on a quadratic form in $m$ variables and then build up the orthogonal group of $Q$.

**Remark 4.3.** How does one verify that Algorithm 1 has been implemented correctly? We provide strong evidence via two methods. First, we have several implementations, including a naive search over all matrices and the method from appendix B.2 of the arXiv edition of [5]. These can be applied to quadratic forms in very low dimension over small finite fields ($q \leq 4$) to vet the code. Second, we can verify that the cardinality of our output is correct because L.E. Dickson computed the order of $O(Q)$ well over a century ago. See Chapters VII and VIII of Dickson [4].

### 5. Curves of Genus 4

Hyperelliptic curves of genus 4 were treated in Theorems 2.4 and 2.5. It remains to handle curves of gonality 3, 4, and 5 [5, Prop. 2.1]. We easily produce upper and lower bounds for curves over $F_3$, so we do these first. Afterward, we treat curves over $F_4$.

#### 5.1. Ternary curves

**Theorem 5.1.** $N_3(4,3) = 12$.

**Proof.** Using Oesterlé’s method, Serre showed that $N_3(4) = 12$, which immediately implies that $N_3(4, 3) \leq 12$. Using global class field theory, Niederreiter and Xing [16] found the following example of an affine plane curve whose smooth model has genus 4 and 12 rational points:

$$y^3 - y = \frac{x^3 - x}{(x^2 + 1)^2}.$$

The rational function $x$ gives a map to $\mathbb{P}^1$ of degree 3, and this curve would violate the gonality-point bound if it were hyperelliptic. Thus, $N_3(4,3) \geq 12$. \hfill $\blacksquare$

By Lemma 5.1 of [5], a curve of genus 4 and gonality 4 or 5 can be realized in $\mathbb{P}^3 = F_3[x, y, z, w]$ as the intersection of a cubic surface and the quadric surface

$$V(Q) : xy + z^2 + w^2 = 0,$$

and conversely, any smooth geometrically irreducible intersection of $V(Q)$ with a cubic surface has genus 4 and gonality at least 4.

**Theorem 5.2.** $N_3(4,4) = 10$.

**Proof.** The surface $Q = xy + z^2 + w^2 = 0$ has 10 rational points on it, so we must have $N_3(4,4) \leq 10$. The cubic surface with equation

$$x^2 y - xyz - y^2 z + xz^2 + x^2 w + y^2 w + xw^2 - 2w^2 + w^3 = 0,$$

passes through all 10 of those rational points, and its intersection with $V(Q)$ is smooth and geometrically irreducible. Since this curve has a rational point, it must have gonality exactly 4 by [5, Cor. 2.4]. Therefore, $N_3(4,4) \geq 10$. \hfill $\blacksquare$

**Theorem 5.3.** $N_3(4,5) = 0$. 

**Proof.** A curve of genus \( g \) with a rational point has gonality at most \( g \) by [5, Prop. 2.1]. It follows that \( N_4(4, 5) \leq 0 \). Consider the curve in \( \mathbb{P}^3 = \text{Proj} \mathbb{F}_3[x, y, z, w] \) cut out by the following equations:

\[
xy + z^2 + w^2 = 0, \\
x^3 + y^3 + y^2z + x^2w + xyw - y^2w - yzw + z^2w = 0.
\]

It is smooth and geometrically irreducible, hence of genus 4 and gonality at least 4. A direct search shows that it has no \( \mathbb{F}_9 \)-rational point, so it must have gonality 5 [5, Cor. 2.4].

**Remark 5.4.** Our practice has been to look in the literature for examples of the curves we need before turning to computer searches. Castryck and Tuitman seem to have given the first example of a curve with gonality 5 over \( \mathbb{F}_3 \) [2, p.15]. (The cited arXiv article contains examples that were excised before publication as [3].) We opted to use our own example in the above proof because it has somewhat shorter defining polynomials.

### 5.2. Quaternary curves

A non-hyperelliptic curve of genus 4 over \( \mathbb{F}_4 \) can be realized in \( \mathbb{P}^3 = \mathbb{F}_4[x, y, z, w] \) as the intersection of a cubic surface and one of the following quadrics surfaces:

\[
\text{gonality } 3: xy + z^2 = 0; \\
\text{gonality } 3: xy + zw = 0; \text{ or } \\
\text{gonality } 4 \text{ or } 5: xy + z^2 + tzw + w^2 = 0.
\]

See Lemma 5.1 of [5].

**Theorem 5.5.** \( N_4(4, 3) = 15 \).

**Proof.** Serre showed that \( N_4(4) \leq 15 \), so it will suffice to find a curve of gonality 3 with 15 rational points. Consider the curve in \( \mathbb{P}^3 \) cut out by the equations

\[
xy + z^2 = 0, \\
x^3 + xyz + ty^2w + (t + 1)yw^2 + w^3 = 0.
\]

It is smooth, hence of genus 4 and gonality 3 by the remarks at the beginning of this section. One verifies by direct search that it has 15 rational points.

**Lemma 5.6.** \( N_4(4, 4) \leq 13 \).

**Proof.** Let \( C \) be a curve of genus 4 and gonality 4 over \( \mathbb{F}_4 \), which we may realize as the intersection of \( Q = xy + z^2 + tzw + w^2 = 0 \) and a cubic surface in \( \mathbb{P}^3 \). Serre showed that \( N_4(4) = 15 \), so in order to prove the lemma, we must rule out the possibility that a cubic surface meets \( V(Q) \) smoothly and passes through 14 or 15 rational points of \( V(Q) \). Note that \( V(Q) \) has 17 rational points.

The space of cubic surfaces has (projective) dimension 19. If \( F \) is a cubic form, then the ideal generated by \( F \) and \( Q \) is not affected by replacing \( F \) with \( F + LQ \) for any linear form \( L \). Consequently, by adding a suitable constant multiple of \( xQ \), we may assume that \( F \) has no \( x^3y \)-term. Similarly, we may kill the \( xy^3 \), \( z^3 \), and \( w^3 \)-terms by adding suitable multiples of \( yQ \), \( zQ \), and \( wQ \), respectively. In this way, we reduce the dimension of the space of cubic surfaces under consideration down to 15.

Let us now rule out the possibility of a curve of gonality 4 with 15 rational points. Insisting that a cubic surface pass through a particular rational point is a linear condition on the coefficients of the cubic’s defining polynomial. For each choice of 15 points on \( V(Q) \)—of which there are \( \binom{17}{15} = 136 \)—we use linear algebra to find a basis for the space of cubic surfaces that vanish at all of the points. From a naive dimension-count, we expect the resulting space to have (projective) dimension 0, so there is a unique such cubic surface. In fact, this turns out to be the case: we executed this procedure in Sage and determined that none of the resulting 136 cubic surfaces meet the quadric surface \( V(Q) \) in a smooth curve. The resulting computation took under a minute on a single 2.6Ghz Intel Core i5 CPU.

A similar computation applied to the \( \binom{17}{14} = 680 \) choices of 14 rational points on \( V(Q) \) took approximately 4.5 minutes to verify that there is no curve of genus 4 and gonality 4 with 14 rational points.

**Theorem 5.7.** \( N_4(4, 4) = 13 \).

**Proof.** The upper bound we want is given by Lemma 5.6. For the lower bound, consider the smooth curve in \( \mathbb{P}^3 = \text{Proj} \mathbb{F}_4[x, y, z, w] \) that is cut out by the equations

\[
xy + z^2 + tzw + w^2 = 0, \\
y^2z + xz^2 + x^3w + y^2w + yzw + z^2w + xw^2 + yw^2 = 0.
\]
Direct search shows that it has 13 rational points. Thus, \( N_4(4, 4) \geq 13. \)

We spend the remainder of this section describing a computational proof of the following non-existence result:

**Theorem 5.8.** \( N_4(4, 5) = -\infty. \)

Suppose that \( C \) is a curve of genus 4 over \( \mathbb{F}_4 \) with gonality 5. Then \( C \) can be realized in \( \mathbb{P}^3 = \text{Proj} \mathbb{F}_4[x, y, z, w] \) as the intersection of the quadric surface

\[
Q = xy + z^2 + tzw + w^2 = 0
\]

and a cubic surface by [5, Lem.5.1]. Corollary 2.4 of [5] asserts that such a curve satisfies \( C(\mathbb{F}_4) = \emptyset \). Our proof has two main steps:

**Step 1.** Loop over cubic surfaces and record those that do not pass through any quadratic point on \( V(Q) \).

**Step 2.** Intersect each of the survivors from Step 1 with the quadric surface \( V(Q) \) and determine which, if any, are smooth and geometrically irreducible.

Naively, there are \((4^{20} - 1)/3 \approx 10^{11.5}\) cubic surfaces to examine, so we wrote a C-program to execute the search. The number of survivors from Step 1 was 65,280 — sufficiently small that we could do the various bits of commutative algebra for Step 2 in Sage. Ultimately, we uncovered no smooth canonical curve \( C \) of genus 4 with \( C(\mathbb{F}_4) = \emptyset \), which proves the theorem.

**Algorithm 2** gives a more detailed description of Step 1, which we now discuss and justify. Write \( \tilde{X} = (X_0, \ldots, X_{19}) \) for the tuple of cubic monomials in \( \mathbb{F}_4[x, y, z, w] \), relative to some fixed ordering. For any coefficient vector \( \tilde{c} \in \mathbb{F}_4^{20} \setminus \{0\} \), the dot product \( \tilde{c} \cdot \tilde{X} \) is a cubic form, and conversely any cubic form can be represented by such a dot product.

**Definition 5.9.** Define the set \( A \subseteq \mathbb{F}_4^{20} \) of coefficient vectors \( \tilde{c} \) such that in the cubic form \( \tilde{c} \cdot \tilde{X} \), the following are true:

- The entry corresponding to \( x^3 \) is 1;
- The entry corresponding to \( y^3 \) is nonzero;
- The entry corresponding to \( x^2y \) is 0;
- The entry corresponding to \( xy^2 \) is 0;
- The entry corresponding to \( z^3 \) is 0; and
- The entry corresponding to \( w^3 \) is 0.

We claim that if \( C \) is a curve of gonality 5, then it may be written as the intersection of the quadric surface \( V(Q) \) and a cubic surface \( V(F) \), where \( F = \tilde{c} \cdot \tilde{X} \) for some \( \tilde{c} \in A \). Indeed, the point \( P = (1 : 0 : 0 : 0) \) lies on the quadric surface \( V(Q) \). As \( C(\mathbb{F}_4) = \emptyset \), this point does not lie on \( C \), and hence \( F = \tilde{c} \cdot \tilde{X} \) does not vanish at \( P \). That is, the \( x^3 \)-coefficient is nonzero. Since we are only interested in the vanishing locus of \( F \), we may rescale \( \tilde{c} \) if necessary so that the \( x^3 \)-coefficient of \( F \) is 1. A similar argument applied to the point \((0 : 1 : 0 : 0) \) shows that we may take the \( y^3 \)-coefficient to be nonzero. If the \( x^2y \)-coefficient is \( a \), then we replace \( F \) with \( F - axQ \) to get another cubic with the same \( x^3 \)- and \( y^3 \)-coefficients, but with \( x^2y \)-coefficient equal to 0. The same trick applied to an appropriate multiple of \( yQ, zQ, \) and \( wQ \) kills the coefficients on \( xy^2, z^3, \) and \( w^3 \). Note that modifying \( F \) by a multiple of \( Q \) does not change its vanishing locus. This completes the justification of the claim.

There are 17 rational points on \( V(Q) \) and 272 quadratic nonrational points. It follows that the set of representatives computed in the first step of **Algorithm 2** has cardinality \( 17 + \frac{272}{2} = 153 \). Storing the evaluated monomial vectors allows us to compute dot products rather than cubic polynomial evaluations in the main loop. We have already discussed why it suffices to consider only coefficient vectors in \( A \) when looking for cubic surfaces that may contain a curve of gonality 5. The if-statement inside the main

**Algorithm 2** — Compute a list of cubic forms \( F \in \mathbb{F}_4[x, y, z, w] \) such that the variety \( V(F) \cap V(Q) \) has no point over \( \mathbb{F}_4 \), and such that any curve of genus 4 and gonality 5 is isomorphic to one of these intersections

1. compute representatives for the \( \text{Gal}(\mathbb{F}_4/\mathbb{F}_4) \)-orbits in the set \( V(Q)(\mathbb{F}_4) \subseteq \mathbb{P}^3 \)
2. for \( P \in V(Q)(\mathbb{F}_4) \) do
3.   store the vector \( \overline{v}_P = (X_0(P), \ldots, X_{19}(P)) \) of cubic monomials evaluated at \( P \)
4. end for
5. initialize an empty list \( L \)
6. for each coefficient vector \( \tilde{c} \in A \) as in **Definition 5.9** do
7.   if \( \tilde{c} \cdot \overline{v}_P \neq 0 \) for every \( P \in V(Q)(\mathbb{F}_4) \) then append \( F = \tilde{c} \cdot \tilde{X} \) to \( L \)
8. end for
9. return \( L \)
Using dot products instead of polynomial evaluations provide a huge savings in arithmetic operations; we learned this trick from scheme of dimension 1. By construction, defined over 

Algorithm 3

Now we turn to Step 2 of the computation that proves Theorem 5.8, which was completed with an implementation of Algorithm 3. Let $C$ be a curve of genus 4 and gonality 5 over $\mathbb{F}_4$. We know $C = V(F) \cap V(Q)$ for some cubic form $F$ that was output by Step 1. We may assume without loss that $F$ is the first such form that was output, so that $F$ is immediately added to the set $M$. If $C' = V(F') \cap V(Q)$ is another such curve isomorphic to $C$, then there is an element $g \in \text{GL}_4(\mathbb{F}_4)$ that maps $C$ to $C'$. Since the quadric surface containing $C'$ is unique [5, 5.1], $g$ must lie in $O(Q)$. Thus, we have an equality of homogeneous ideals: $(F \circ g, Q) = (F', Q)$. Consequently, there is a linear form $H$ and $a \in \mathbb{F}_4$ such that $F' = a(F \circ g) + HQ$. The while-loop in Algorithm 3 identifies this relationship and removes $F'$ from $S$. It follows that $F$, and not $F'$, appears in the list $M$.

To complete the justification of Algorithm 3, we must argue that each of its outputs yields a smooth geometrically connected scheme of dimension 1. By construction, $C := V(F) \cap V(Q)$ is smooth for $F \in M$. And $C$ is 1-dimensional, for otherwise $F = QH$ for some linear form $H$, which contradicts the fact that $F$ has nonzero $x^3$-coefficient. To see that $C$ is geometrically connected, we pass to the algebraic closure $\overline{\mathbb{F}}_4$, where $C$ is a $(3, 3)$-divisor on the surface $V(Q) \cong \mathbb{P}^1 \times \mathbb{P}^1$. If $C = C' \cup C''$, then $C$ would have a singularity at each point of intersection of $C'$ and $C''$.

Our Sage code performs Algorithm 3 in under 2 minutes. It identified 18 ideals corresponding to 1-dimensional varieties $C$ with $C(\mathbb{F}_4) = \emptyset$, but each of these varieties is singular. Therefore, there is no curve of genus 4 and gonality 5 over $\mathbb{F}_4$.

We close with a few implementation remarks:

- Sage defaults to a try/except construction to determine if a Gröbner basis or primary decomposition has already been computed for a given ideal. The try/except construction is painfully slow if the “except” clause is executed often, so we wrote special routines that avoid these issues.
- As with Step 1, we tested our code over $\mathbb{F}_2$ and $\mathbb{F}_3$ for rapid debugging and verification of output. Over $\mathbb{F}_2$, we found a unique curve of genus 4 and gonality 5 up to isomorphism. This agrees with the recent calculations of Xarles [27]. Over $\mathbb{F}_3$, we found a unique isomorphism class of genus-4 curves with gonality 5.

Algorithm 3 — Compute a list of cubic forms $F \in \mathbb{F}_4[x, y, z, w]$ such that any curve of genus 4 and gonality 5 is isomorphic to $V(F) \cap V(Q)$ for exactly one choice of $F$ on the list

1: compute the orthogonal group $O(Q)$
2: initialize an empty list $M$
3: $S \leftarrow$ the list of cubic forms output by Algorithm 2.
4: while $S$ is nonempty do
5: pop the first element $F$ from $S$
6: for each $g \in O(Q)$ do
7: compute $F(g(x, y, z, w))$, scale the coefficient on $x^3$ to be 1, and subtract an appropriate multiple of $Q$ to kill the $x^3y$, $xy^3$, $z^3$, and $w^3$-coefficients
8: remove the resulting element from $S$
9: end for
10: if $V(F) \cap V(Q)$ is smooth then append $F$ to $M$
11: end while
12: return $M$
6. Curves of Genus 5

We have already shown that \( N_3(5,2) = 8 \) and \( N_4(5,2) = 10 \) in Section 2. We rapidly dispose of curves of gonality 3 and 4 with examples in the next couple of subsections, and we turn to a description of our computation on curves of gonality at least 5 in Section 6.3.

6.1. Gonality 3

For the fields at hand, the gonality-point inequality will be a sufficient upper bound for the number of points on a trigonal curve

\[
\#C(F_q) \leq 3(q + 1).
\]

Theorem 6.1. \( N_3(5,3) = 12 \).

Proof. The gonality-point inequality gives a sharp upper bound. The plane curve with defining polynomial

\[
x^3y^2 - xy^4 + x^4z - x^2y^2z + y^4z - x^2yz^2 + y^3z^2 - x^2z^3
\]

is singular only at \((0 : 0 : 1)\), where it has a cusp. By [5, Lem. 6.1], we find that its normalization \( C \) has genus 5 and is trigonal. The above plane curve passes through 12 rational points of the plane. Blowing up \((0 : 0 : 1)\) yields a single rational point on \( C \), so we conclude that \( N_3(5,3) \geq 12 \).

\[\square\]

Theorem 6.2. \( N_4(5,3) = 15 \).

Proof. The gonality-point bound shows that \( N_4(5,3) \leq 15 \). The plane curve with defining polynomial

\[
(t + 1)x^3y^2 + x^2y^3 + txy^4 + tx^4z + tx^3yz + tx^2y^2z + tx^3y + ty^4z + (t + 1)x^3z^2 + (t + 1)x^2yz^2 + y^3z^2 + x^2z^3
\]

passes through 15 rational points, has a cusp at \((0 : 0 : 1)\), and no other singularity. Just as in the proof of Theorem 6.1, its normalization is a trigonal curve of genus 5 and \( N_4(5,3) \geq 15 \).

\[\square\]

6.2. Gonality 4

The upper bound \( N_q(5,4) \leq N_q(5) \) enables us to determine \( N_q(5,4) \) for \( q = 3, 4 \).

Theorem 6.3. \( N_3(5,4) = 13 \).

Proof. Ritzenthaler [19] located the following curve \( C \subset \mathbb{P}^4 = \text{Proj} \ F_3[v, w, x, y, z] \) of genus 5 with 13 rational points, presented as the complete intersection of three quadric hypersurfaces:

\[

v w + x y = 0, \\
-v x + x z - y^2 + z^2 = 0, \\
v^2 + v x + w^2 - z^2 = 0.
\]

(To get this form from Ritzenthaler’s paper, set \( x_1 = v, x_2 = x, x_3 = z, x_4 = -y, x_5 = w \).) Lemma 6.6 of [5] shows that \( C \) has gonality 4. Thus, \( N_3(5,4) \geq 13 \). Conversely, Lauter showed that \( N_3(5) \leq 13 \) [12].

\[\square\]

Theorem 6.4. \( N_4(5,4) = 17 \).

Proof. Howe and Lauter showed that \( N_4(5) = 17 \) [8], so it suffices to exhibit a curve of genus 5 and gonality 4 with 17 rational points. Steve Fischer gave the following example on manypoints.org in 2014. Let \( C \) be the (smooth proper) curve birational to the affine scheme in \( \mathbb{A}^3 = F_4[x, y, z] \) cut out by the equations

\[
y^2 + y + x^3 = 0 \\
z^2 + z + xy^2 + xy^2 = 0.
\]

Magma verifies that it has genus 5 and 17 rational points. If we write \( E \) for the elliptic curve with affine equation \( y^2 + y = x^3 \), then we see immediately that \( C \) is a double-cover of \( E \). Consequently, \( C \) has gonality at most 4. If \( C \) has gonality \( \gamma \leq 3 \), then the gonality-point bound shows that \( \#C(F_4) \leq 5\gamma \leq 15 \). Thus \( C \) has gonality exactly 4, and \( N_4(5,4) = 17 \).

\[\square\]
The primary difficulty in immediately applying the code that we used to treat curves over \(\mathbb{F}_2\) to \(\mathbb{F}_4\) is that the relevant search space of quadric surfaces has increased dramatically in size: from \(2^{15} \approx 10^{4.5}\) to \(3^{15} \approx 10^{7.2}\) or \(4^{15} \approx 10^{9.0}\). Beyond some coding tricks to speed things up and to deal with memory management, this required three major changes to our approach:

- We moved away from thinking of a curve \(C\) as cut out by a triple \((Q_1, Q_2, Q_3)\) of quadratic forms and toward thinking of \(C\) as defined by the homogeneous ideal generated by this triple. In practice, this cut down the number of choices of \(Q_2\) to be considered for each of the standard choices of \(Q_1\). Additional details are given below.
- We did not attempt to find all curves of gonality at least 5. Instead, we targeted curves with a particular number of points and either stopped searching as soon as an example was located or finished the search to certify that no curve with that many points exists. The available bounds \(N_3(5) = 13\) and \(N_4(5) = 17\) limited the total number of searches that were necessary.
- We distributed much of the computation across 48 CPUs — Xeon(R) E5-2699 v3 2.30GHz with 500GB shared memory — each running its own Sage process in order to complete the searches in a reasonable amount of time. Despite this, some of the searches over \(\mathbb{F}_4\) still required multiple days of compute time.

We now briefly sketch the strategy used to search for curves of genus 5 and gonality at least 5; the reader should consult [5, §6.4] for additional details. A non-hyperelliptic, non-trigonal curve of genus 5 can be written as the intersection of three quadric surfaces in \(\mathbb{P}^4 = \text{Proj} \mathbb{F}_q[v, w, x, y, z]\), given by quadratic forms \(Q_1, Q_2, Q_3\). By [5, Lem. 6.6], we may take \(Q_1\) to be of one of the following forms:

III. \(vw + N(x, y)\), where \(N\) is a norm form for \(\mathbb{F}_q^{1}/\mathbb{F}_q\), or

IV. \(vw + xy + z^2\).

We may use the action of the orthogonal group \(O(Q_1)\) to restrict \(Q_2\) to a small set of forms. More precisely, we construct a set \(A(Q_1)\) of quadratic forms \(Q_2\) such that

1. Each ideal \((Q_1, Q_2)\) cuts out a surface in \(\mathbb{P}^4\);
2. Every quadratic form in the ideal \((Q_1, Q_2)\) of the same type as \(Q_1\) or of type IV; and
3. For any quadratic form \(Q\) such that the ideal \((Q_1, Q)\) satisfies (1) and (2), there is a unique \(Q_2 \in A(Q_1)\) and an element \(g \in O(Q_1)\) such that \((Q_1, Q \circ g) = (Q_2, Q_2)\).

Finally, we let \(B(Q_1)\) be the set of quadratic forms of the same type as \(Q_1\) or of type IV.

Before beginning any of the searches for genus 5 curves, we precompute \(O(Q_1), B(Q_1),\) and \(A(Q_1),\) in that order. Computing \(O(Q_1)\) as in Section 4 is straightforward and fast. The computation of \(B(Q_1)\) is also straightforward: for each quadratic form \(Q\) we can determine its type by computing the dimension of the singular locus of the quadric surface \(V(Q)\) and the number of rational points on this surface. As there are a huge number of forms to look at, and as this operation is easily parallelized, we distributed it across 24 CPUs — Xeon(R) E5-2699 v3 2.30GHz with 500GB shared memory — each running its own Sage process. Finally, computing \(A(Q_1)\) requires one to keep track of which ideals have already appeared in some \(O(Q_1)\)-orbit; this was performed on a single CPU. Knowledge of the precomputed set \(B(Q_1)\) was used to determine the type of candidate elements of \(A(Q_1)\). See Table 3 for the sizes of these sets and the wall time required to compute them. Note that when computing \(B(vw + xy + z^2)\), we can recycle most of the computation from \(B(vw + N(x, y))\), so the latter requires substantially less wall time to compute.

With these precomputations in hand, we now give a coarse description of our algorithm for searching for curves of genus 5 and gonality 5 or 6; see Algorithm 4. Rather than searching for all such curves, we instead attempt to find just one such curve if it exists. In order to keep each individual process from running too long, we target curves with a particular number of rational points. For curves of gonality 5, the total number of searches is limited by \(N_q(5, 5) \leq N_q(5)\). For curves of gonality 6, we look for pointless curves with no rational point over \(\mathbb{F}_q\) [5, Cor. 2.5].

### Table 3. Size and wall time for computation of the sets \(O(Q_1), B(Q_1),\) and \(A(Q_1)\).

| \(q\) | \(Q_1\) | \#\(O(Q_1)\) wall time | \#\(B(Q_1)\) wall time | \#\(A(Q_1)\) wall time |
|-----|-----|--------------------------|--------------------------|--------------------------|
| 2   | \(vw + x^2 + xy + y^2\) | 1920 | 0s | 19,096 | 10s | 11 | 11s |
|     | \(vw + xy + z^2\) | 720 | 0s | 13,888 | 0s | 5 | 4s |
| 3   | \(vw + x^2 + y^2\) | 116,640 | 3s | 5,606,172 | 13m | 11s | 33 | 51m |
|     | \(vw + xy + z^2 = 1, 840\) | 19s | 4,586,868 | 16s | 16 | 24m |
| 4   | \(vw + x^2 + by + y^2\) | 2,088,960 | 2m | 305,230,464 | 17h | 1m | 83 | 43h | 47m |
|     | \(vw + xy + z^2\) | 979,200 | 3m | 263,983,104 | 12m | 37 | 20h | 19m |

Notes: We include the data for the case \(q = 2\) for comparison, both with the cases \(q = 3, 4\) as well as with the sizes in [5, §6.4]. The asterisk on the orthogonal group sizes for the case \(q = 3\) indicate that we computed and counted \(PO(Q_1) = O(Q_1)/\langle \pm 1 \rangle\).
Algorithm 4 — Find a genus-5 curve over $F_q$ with (a) gonality 5 and $n$ rational points, or (b) gonality 6.

1: for $Q_1 \in \{vw + N(x, y), vw + xy + z^2\}$ do
2: for $(Q_2, Q_3) \in A(Q_1) \times B(Q_1)$ do
3: if case (a) and $\#V(Q_1, Q_2, Q_3)(F_q) \neq n$ then continue
4: if case (b) and $\#V(Q_1, Q_2, Q_3)(F_q) > 0$ then continue
5: if every nonzero member of the linear span of $\{Q_1, Q_2, Q_3\}$ has the same type as $Q_1$ or type IV, and the variety $V(Q_1, Q_2, Q_3)$ is irreducible and smooth of dimension 1 then return $(Q_1, Q_2, Q_3)$
6: end for
7: end for

Table 4. Data for curves of genus 5 and gonality at least 5 over $F_2$.

| $Q_1 \setminus \#C(F_2)$ | 0 | 1 | 2 | 3 | 4 | $\geq 5$ | gonality 6 | Wall time |
|---------------------------|---|---|---|---|---|---------|------------|----------|
| $vw + x^2 + y^2$          | ✓ | ✓ | ✓ | ✓ | ✓ |         |            | 40 s     |
| $vw + xy + z^2$           | × | × | × | ✓ | ✓ |         |            | 24 s     |

Notes: We indicate whether a curve of gonality 5 on $V(Q_1)$ with a specified number of rational points exists, whether a curve of gonality 6 on $V(Q_1)$ exists, and the total wall time required on 48 CPUs for all of the searches. Note that $\#C(F_2) \leq N_2(5) = 9.$

Table 5. Data for curves of genus 5 and gonality at least 5 over $F_3$.

| $Q_1 \setminus \#C(F_3)$ | 0 | 1 | 2 | 3 | 4 | $\geq 5$ | gonality 6 | Wall time |
|---------------------------|---|---|---|---|---|---------|------------|----------|
| $vw + x^2 + y^2$          | ✓ | ✓ | ✓ | ✓ | ✓ |         |            | 59 m     |
| $vw + xy + z^2$           | × | × | × | ✓ | ✓ |         |            | 21 m     |

Note: We indicate whether a curve of gonality 5 on $V(Q_1)$ with a specified number of rational points exists, whether a curve of gonality 6 on $V(Q_1)$ exists, and the total wall time required on 48 CPUs for all of the searches. Note that $\#C(F_3) \leq N_3(5) = 13.$

Table 6. Data for curves of genus 5 and gonality at least 5 over $F_4$.

| $Q_1 \setminus \#C(F_4)$ | 0 | 1 | 2 | 3 | 4 | $\geq 5$ | gonality 6 | Wall Time |
|---------------------------|---|---|---|---|---|---------|------------|----------|
| $vw + x^2 + xy + y^2$     | ✓ | ✓ | ✓ | ✓ | ✓ |         |            | 305 h 52 m|
| $vw + xy + z^2$           | × | × | × | ✓ | ✓ |         |            | 43 h 26 m |

Notes: We indicate whether a curve of gonality 5 on $V(Q_1)$ with a specified number of rational points exists, whether a curve of gonality 6 on $V(Q_1)$ exists, and the total wall time required on 48 CPUs for all of the searches. Note that $\#C(F_4) \leq N_4(5) = 17.$

Tables 5 and 6 indicate whether we found a curve lying on $V(Q_1)$ with a particular number of points or with gonality 6, as well as the total wall time involved for all searches. As a validity check on our code, and also to see how this new strategy compares to the one in [5], we consider the case of curves over $F_2$; these details are given in Table 4.

Looking at the tables, we immediately conclude the following:

Theorem 6.5.

$N_3(5, 5) = 4; \quad N_3(5, 6) = -\infty; \quad N_4(5, 5) = 5; \quad$ and $N_4(5, 6) = -\infty.$

Example 6.6. Our search uncovered the following example of a curve of genus 5 and gonality 5 over $F_3$ with 4 rational points:

$Q_1 = vw + xy + z^2,$
$Q_2 = x^2 + wy + vz - xz,$
$Q_3 = vw - wx + vy + xy + vz + xz + yz.$

Example 6.7. We located the following example of a curve of genus 5 and gonality 5 over $F_4$ with 5 rational points:

$Q_1 = vw + xy + z^2,$
$Q_2 = vz + wy + x^2 + tz^2,$
$Q_3 = v^2 + vw + wz + txy + xz + y^2 + z^2.$
Declaration of Interest

No potential conflict of interest was reported by the authors.

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