Where, When, and How mmWave is Used in 5G and Beyond

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SUMMARY Wireless engineers and business planners commonly raise the question on where, when, and how millimeter-wave (mmWave) will be used in 5G and beyond. Since the next generation network is not just a new radio access standard, but also an integration of networks for vertical markets with diverse applications, answers to the question depend on scenarios and use cases to be deployed. This paper gives four 5G mmWave deployment examples and describes in chronological order the scenarios and use cases of their probable deployment, including expected system architectures and hardware prototypes. The first example is a 28 GHz outdoor backhauling for fixed wireless access and moving hotspots, which will be demonstrated at the PyeongChang Winter Olympic Games in 2018. The second deployment example is a 60 GHz unlicensed indoor access system at the Tokyo-Narita airport, which is combined with Mobile Edge Computing (MEC) to enable ultra-high speed content download with low latency. The third example is mmWave mesh network to be used as a micro Radio Access Network (μ-RAN), for cost-effective backhauling of small-cell Base Stations (BSs) in dense urban scenarios. The last example is mmWave based Vehicular-to-Vehicular (V2V) and Vehicular-to-Everything (V2X) communications system, which enables automated driving by exchanging High Definition (HD) dynamic map information between cars and Roadside Units (RSUs). For 5G and beyond, mmWave and MEC will play important roles for a diverse set of applications that require both ultra-high data rate and low latency communications.

key words: millimeter wave, MEC, 28GHz, 60GHz, mesh network, V2V/V2X, automated driving, future forecast

1. Introduction

5G will not be just a new radio access standard. Rather, the key novelty of 5G will be the integration of multiple networks serving diverse sectors, domains and applications, such as multimedia, virtual reality (VR)/augmented reality (AR), Machine-to-Machine (M2M)/Internet of Things (IoT), automotive, Smart City etc. [1], [2]. A recent report states that data traffic for these new applications is expected to grow from 2016 to 2021 much larger than the assumed 590% for conventional applications: 770% for mobile video, 950% for mobile VR and 1320% for M2M/IoT [3]. The diversity of the 5G applications and the diversity of the related service requirements in terms of data rate, latency, reliability, and other parameters lead to the necessity for operators to provide a diverse set of 5G networks.

Among the key physical layer technologies enabling this efficient 5G system design, the use of mmWave spectrum will be coupled with network densification and massive Multi-Input Multi-Output (MIMO) to serve as an ultrahigh speed access and backhaul systems. In this paper, we will focus on the 28 GHz frequency band, which is planned to be used in 3GPP New Radio (NR) [4], [5], and the 60 GHz band, which is an unlicensed band currently used by IEEE802.11ad/WiGig [6]. The rationale, though, can also be applied to future frequency bands being discussed in WRC-19 and later [7]. Another important 5G key technology is Mobile-edge Computing (MEC), which will bring information and processing closer to the mobile users and enable low latency services.

This paper provides an introduction to mmWave and MEC and introduces four different 5G mmWave use cases, including a future forecast about where, when, and how mmWave will be used in 5G and beyond. The question on “how” was partly already answered: mmWave will be combined with MEC in order to allow ultra-high speed and low latency communications. The answer to the question on “where” will be disclosed in later sections with corresponding scenarios and use cases.

The paper is organized as follows. Section 2 summarizes related works and helps the readers to understand state-of-the-art of mmWave technologies. In Sects. 3 to 6, four different 5G mmWave use cases are introduced, together with the expected time frame for market entry. Section 3 introduces 28 GHz outdoor backhauling for fixed wireless access and moving hotspots. Section 3 also introduces several Proof-of-Concept (PoC) demonstrations, which will be presented at the PyeongChang Winter Olympic Games in 2018. Section 4 introduces the trial demonstration of a 60 GHz indoor access system combined with MEC at the Tokyo-Narita airport. Section 5 introduces a mmWave mesh net-
work for micro Radio Access Network (μ-RAN), to be used in dense urban scenarios as a cost-effective integration of access and backhaul. Section 6 introduces mmWave based Vehicular-to-Vehicular (V2V) and Vehicular-to-Everything (V2X) communications for automated driving, which is expected to be one of the largest applications of 5G and beyond. Finally, Sect. 7 provides concluding remarks of this paper.

2. Related Works on mmWave Technologies for 5G & Beyond

This section starts with the current status of mmWave technologies in cellular networks and also explains issues related to spectrum regulation. To the author’s knowledge, the first studies considering mmWave as a key component for cellular 5G networks appeared around 2011. Samsung was an early pioneer in recognizing the feasibility of mmWave for access in cellular systems [8]. Prof. Rappaport and his team at New York University (NYU) showed the feasibility of mmWave for outdoor access scenarios based on propagation measurements at 28, 38, 60 and 73 GHz [9]. Prof. Heath and his team at University of Texas at Austin showed the effectiveness of beamforming and Multi-User MIMO (MU-MIMO) in mmWave bands for system rate improvements in cellular networks [10]. Prof. Sakaguchi, Dr. Haustein, and the MiWEBA project team advanced the concept of heterogeneous networks overlaying mmWave small-cells on larger macro-cells [11], which is one of the baseline system architecture of current 5G standardizations.

It is very likely that 28 GHz will be used for the first 5G deployments in the South Korea, US and Japan, although 28 GHz itself was excluded from the candidates of International Mobile Telecommunications (IMT) bands in WRC-15. ITU-R selected at the WRC-15 several frequency bands above 24.25 GHz as candidates for 5G [12] based on the IMT feasibility study for bands above 6 GHz [13] and the current usage of spectrum in all nations. Then, the FCC in the US has opened up in total nearly 11 GHz of spectrum above 27.5 GHz to 5G markets, including unlicensed/cognitive radio usage of WiGig up to several hundred meters. InterDigital has released a product using 60 GHz for meshed backhaul with a maximum distance of 300 meters per link [23], and that product has been extended by introducing Software Defined Network (SDN) to create a flexible path on the mesh network. Overall, mmWave backhauling/fronthauling is reasonable to support higher densification of small-cells and a flexible network, without the extensive costs of new cabling [24], [25].

From chipset and antenna perspective, 28 GHz followed the 60 GHz band. Samsung led developments in the 28 GHz band together with NYU and developed early prototypes [26]. After the WRC-15, many chipset vendors started to develop 28 GHz technologies, which also led to the announcement of Intel to support 28 GHz in their 5G chipsets [27]. Qualcomm announced that the first commercial products featuring 5G NR modems expected to be available in 2019 and it will initially support the 28 GHz band with 800 MHz bandwidth via 8x100 MHz carrier aggregation [28]. IBM released 32-element dual polarized phased array with fully integrated transceiver IC in 28 GHz band [29], and NEC released a massive MIMO antenna at 28 GHz band with 500 elements, which will be used in the earliest deployment of 5G in Japan [30].

Recently, several organizations have demonstrated mmWave network integrations in real fields. The MiWEBA project has demonstrated the integration of LTE and a 60 GHz WiGig access system, using the LTE & WLAN aggregation protocol defined in 3GPP Rel. 13 [31]. The Tokyo-Narita international airport announced the launch of a Kiosk service in 2018 using 60 GHz WiGig technology, in order to download (or upload) large amount of data instantaneously [32]. In the US, Verizon and Samsung announced their fixed wireless 5G services at 28 GHz, starting from September 2017 [33] and, together with Ericsson, Nokia, Cisco, Qualcomm and Samsung, the Verizon 5G Technology Forum was initiated to create a common and extendable platform for 28 GHz fixed wireless access developments. T-Mobile and AT&T are performing similar fixed wireless 5G trials in the US together with Ericsson, Nokia and Samsung. In South Korea, SK Telecom, Ericsson and BMW executed a trial of the 1st 5G connected car using 5G V2X [34], where they used the 28 GHz band to deliver Ultra High Definition (UHD) 4K video from a 360°-camera. In Japan, KDDI and Samsung performed handover experiments in the 28 GHz band [35]. Also, NTT
domo, together with Ericsson and Intel, are planning 5G trial environments using 28 GHz in Tokyo [36]. ETRI as a pioneer in millimeter-wave-based railway communications technology successfully gave the second field trial of Mobile Hotspot Network (MHN) prototype system at the Seoul subway line 8 in February 2017 [37], which was the world first millimeter-wave prototype system demonstrated in a running subway train with a peak data rate of 1.25 Gbps.

Although mmWave technologies at 28 GHz and 60 GHz have the potential to be used for early deployment of 5G in the South Korea, US and Japan, there is a need for deeper discussions regarding the scenarios, use cases and corresponding enabling technologies. We will start in Sect. 3 with the related 5G CHAMPION project [38], which will use 28 GHz technology at the PyeongChang Winter Olympic Games in 2018 [39] and we will then go to 60 GHz technology, which will be used at the Tokyo-Narita airport during the Tokyo Summer Olympics Games in 2020 [40]. From Sect. 4, we will widen our scope based on the 5G-MiEdge project [41] and study the union of mmWave and MEC enabling ultra-high data rate and low latency applications. This concept is extended to future 5G topics, in Sect. 5 to a mmWave mesh network and in Sect. 6 to a mmWave based V2V/V2X system.

3. 28 GHz Outdoor Backhaul for Fixed Wireless Access and Moving Hotspots

3.1 Introduction to 28GHz Band

Today is not clear yet which mmWave bands will be first adopted by 5G technologies. Nevertheless, the ITU-R and 3GPP have aligned on a plan for two phases in the 5G standardization. The first phase will end in September 2018 and South Korea, US, and Japan have agreed to trial solutions on 28 GHz band having the challenging target to first roll out of 5G services in real environment at the 2018 PyeongChang Winter Olympic Games. This might push 28 GHz into consumer products before the standardization bodies finalize the 5G standards even though ITU-R excluded the 28 GHz band from the candidates of IMT frequency bands for WRC-19 because such band is adopted today for satellite communications.

The choice of the 28 GHz band is motivated by several reasons. First, there are extensively licensed but underutilized mmWave spectrums around 28 GHz that have been shown to support cellular communications in the range of 500 meters [26]. Second, 28 GHz band is still useful to create multipath environments compared to higher frequencies and can be used for non-line-of-sight communications. Moreover, an additional important advantage of exploiting 28 GHz band for wireless backhauling is the possibility of reuse 3GPP LTE functionalities. For instance, 3GPP LTE allows to reuse the LTE physical layer, originally designed to operate at carrier frequencies around 2 GHz, and applied it to higher frequencies up to 40 GHz for small cell backhauling [5]. This requires small modification of the numerology to increase the subcarrier spacing in the Orthogonal Frequency Division Multiplexing (OFDM) scheme. This results in a cost effective adaptation of existing technology to accommodate the new 5G requirements as well as the opportunity of a quick launch of new features for wireless backhaul links.

Recently, South Korea, US, and Japan have been speeding up development of 5G services that use 28 GHz under the umbrella of the 28 GHz initiative. It is already planned in South Korea to demonstrate world first 5G services in the PyeongChang Winter Olympic Games in 2018. However, real deployment strategy of 28 GHz band for both static and mobile 5G service provisioning will be consolidated after the extensive real-field experimentation at the PyeongChang Winter Olympic Games.

5G CHAMPION project [38] takes the challenge of providing the first fully integrated and operational end-to-end 5G prototypes to the PyeongChang Winter Olympic Games in 2018. This effort is a major leap ahead compared to existing and planned punctual technology trials, such as PoC platforms focusing on 28 GHz mmWave communication. This section describes the overall set-up of the PoC in the 5G CHAMPION project including a synergetic combination of technologies such as mmWave mobile backhaul, virtualized Evolved Packet Core (EPC), software reconfiguration across the entire stack, and mobility management for high-mobility users (high-speed train scenario). More specifically, the mmWave backhaul has been developed to allow multi-beam transmissions and adaptive beamforming. The virtualized EPC includes software network functions and network orchestration techniques to improve the efficiency of 5G mobile services, especially, time-critical ones. Finally, mobility management schemes are developed to improve the handover and robustness of a communication with high mobility. Key was the introduction of location information. More details on the foreseen PoC are given in [42].

3.2 Scenario/Use Cases and Requirements Selected in 5G CHAMPION Project

The 5G CHAMPION project leverages cutting-edge solutions of mmWave backhauling, mmWave transceivers with reconfigurable antennas, localized EPC supported by distributed or centralized MEC with caching, media and streaming functionalities into a unique platform capable to support a wide-range of 5G specific use cases, summarized in Table 1.

More specifically, the first use case refers to a stationary multi-Radio Access Technology (multi-RAT) hotspot connected via mmWave backhaul to the 5G European testing network. The objective is to demonstrate the capability of providing broadband connectivity (100 Mbps minimum). In this regard, the proposed strategy is to utilize high capacity mmWave wireless backhaul to increase coverage and infrastructure density, heterogeneous radio access towards the end users, and distributed SDN and Network Function Virtualization (NFV) in the virtual EPC to optimally orchestrate
The second use case tackles the feasibility of ultra-high data rate over mmWave link. In this case, the objective is to reach 20 Gbps data rate over mmWave link and, to this end, the challenge is to develop efficient hybrid analog-digital beamforming as well as higher order modulation solutions.

The third use case addresses the problem of content distribution in high-speed trains (up to 500 km/h) and real-time video streaming in slow/medium moving hotspots (bus, tram, etc.). To this end, key technologies are mmWave wireless backhaul, beam switching, MIMO and heterogeneous access, and distributed virtual EPC.

Finally, the last two use cases to be demonstrated in the PyeongChang Winter Olympic Games focus on short-latency (< 5 ms end-to-end latency) and broadband with mobility. People on the bus can experience the 5G services for the above mentioned use cases, i.e. short-latency and broadband with mobility. This trial requires intense development and testing of dedicated radio frequency frontends and antennas solutions to achieve (i) an agile interoperability of different 5G core network and radio access implementations; (ii) mmWave backhauling solutions for stationary and mobile scenarios; (iii) ubiquitous broadband/heterogeneous access; (iv) extreme real-time communications.

### 3.3.2 28 GHz Technologies for Proof-of-Concept Demonstrations

Within the 5G CHAMPION project specific 28 GHz solutions have been selected for use in PoCs [43], [44]. Two 28 GHz backhaul radio units will be developed, one in South Korea [43] and the other in Europe [44].
of MHN Digital Units (mDUs) and the MHN Radio Units (mRUs). The second, on the client- or the moving hot spot cell-side, is made of the MHN Terminal Equipment (mTE) mounted on a vehicle, which is primarily responsible for mobile wireless backhauling between the mRU in a mNB and the mTE. The mTE is connected to onboard access links (e.g. Wi-Fi) for the users inside a vehicle. The mRU is designed to operate in unlicensed frequency bands in the range of 25–26 GHz, which is referred to as the Flexible Access Common Spectrum (FACS) in South Korea.

In order to offer various broadband services inside a fast moving vehicle, the South Korean backhaul system adopts several advanced technologies [43], including a novel frame structure supporting carrier aggregation (CA) and enabling high-performance handover as well as digital MIMO technology using polarization antennas. As shown in [43], each aggregated carrier, generally referred to as a Component Carrier (CC), has a bandwidth of 125 MHz, and South Korean backhaul network allows the aggregation of a maximum of eight CCs to attain a total transmission bandwidth of up to 1 GHz. Besides, in order to further improve spectral efficiency, dual linearly-polarized antenna arrays with 4 × 4 (16 dBi gain) and 6 × 6 (21 dBi gain) elements are used on transmit and receive antennas respectively, to implement digital MIMO as shown in Fig. 2.

In order to evaluate the link-level performance of South Korean backhaul network, a link-level simulation was conducted under the simulation parameters listed in the Table 2 and with a channel model developed in [45]. The link-level simulation result in Fig. 3 shows that the system using 2x2 MIMO transmission scheme is able to achieve average spectral efficiency of up to 10 bps/Hz when the Signal-to-Noise Ratio (SNR) is larger than 25 dB and the moving speed is less than 100 km/h. Although only one mTE will be used during the demonstration in PyeongChang, it is still possible to achieve a data rate of up to 5 Gbps considering the system bandwidth of 1 GHz.

The European backhaul base station works in the frequency band 26.5–29.3 GHz and implements OFDM encoding and digital MIMO [44]. The Radio Frequency Front End (RF-FE) of the backhaul unit is capable of analog beamforming. In this regard, however, two approaches are considered for the implementation. One is based on the classical phased array architecture with phase shift inside the transceiver, and the other considering a transmitarray antenna (electrically steerable collimator) working similar like a dielectric lens in optics but allowing adaptive beam forming electronically via a control interface. A hybrid solution of them is also possible to extend gain and beam sweeping range of the classical phased array by locating the transmitarray antenna on top.

The advantage of the phased array solution are in the capabilities of short to very long range operation with sophisticated power/dynamic range control both in transmitter (TX) and receiver (RX) that would allow flexibility needed in backhauling to the local hotspot over a large area. RF hardware includes two separate antenna units each having dual-polarized antennas to support four independent transceiver chains (beams). State-of-the-art power amplifiers can provide EIRP in the range of ∼60 dBm or even beyond depending on the modulation for long-range connections. The antenna gain and beam sweeping range of the phased array can be further increased using an external transmitarray (electrically steerable collimator) to be described in the remaining part of this section.

The alternative to the classical phased array solution is a transmitarray model illustrated in Fig. 4. A transmitarray is typically composed of a focal source illuminating a flat-lens composed of phased unit-cells. By tuning the phase-shift on each unit-cell, for example integrating p-i-n diodes [46], the radiated beam can be collimated or devi-
Fig. 4  Schematic view of the backhauling base station with electronically steerable transmitarray.

Fig. 5  Simulated analogue beamforming capability of the linearly-polarized transmitarray developed in 5G CHAMPION at 28 GHz (E-plane gain radiation pattern).

ated into a desired direction. The electronically steerable flat-lens can be integrated into the classical phased array antenna by positioning the phased array antenna at the focal point of the electronic lens.

The analogue beamforming capability of a 400-element transmitarray operating in the band 27.4–31.7 GHz has been recently demonstrated in [44]. The antenna is based on a 1-bit (two tunable phase-states) unit-cell [46] and works in circular polarization with a maximum broadside gain of 20.8 dBi. The measured scanning losses are equal to 2.5 and 5 dB for a 40° and a 60° tilted beams, respectively. A linearly-polarized transmitarray prototype working in the band 24 – 30 GHz is developing to cover both the South Korean and European frequency bands. The simulated results of its analogue beamforming capability are presented in Fig. 5.

4. 60 GHz Indoor Access with Mobile Edge Computing

4.1 Scenario/Use Cases and Requirements

mmWave access using 60 GHz unlicensed band in indoor private scenarios is anticipated to be deployed in near future. The scenarios do not only include homes and offices, but also areas such as airports, stations, trains, buses, stadiums, museum, and shopping malls, where mobile operators have difficulties to deploy their BSs directly. Although the size of such areas is limited, mobile users are expected to transfer large amount of video content at railway stations or airports, or use AR applications in stadiums and shopping malls. Therefore, in such an area, the combination of mmWave and MEC becomes very important to achieve both low latency and high data rate requirements. In this section, we list some use cases/scenarios where the combination of mmWave and MEC can enrich the user’s quality of experience by following the discussions in the 5G-MiEdge project [41].

4.1.1 Cache Prefetching

To download large volumes of data using mmWave access, cache prefetching based on predictions of users’ context is a key strategy to improve users experienced latency even with poor backhaul links. In some cases, caching may move together with the user, like a liquid. This may happen for example for users in a metro train downloading videos or large data files. In such a case, MEC servers associated to APs positioned in metro stations or along the metro rails may orchestrate video prefetching along the predicted positions of the users, so as to deliver these contents with very low latency. The information about radio channel capacity, number of connected users, and type of users’ requests is fundamental to orchestrate the operation of nearby MEC assisted APs. Most of operations needed for services provisioning and network optimization are recurrent, context-dependent and too often re-executed. To exploit this property, [47] proposes an extension of the cache prefetching, the computational caching, in which power consumption and service delay of mobile edge computing are further reduced by caching popular computations.

4.1.2 Augmented Reality

AR services enrich a user’s experience when entering a point of interest, like an airport, a museum or a sport event, by providing additional information to the user about what they are currently experiencing. AR applications need to be aware of the user’s position and the direction they are facing through, for example, their camera view. Starting from such information, AR applications create additional information, in the form of video, sound, etc., and deliver it to the user in real-time. If the user moves, the information is refreshed and follows the user. This is a service that is naturally localized. It requires a high computational cost and low latency. Hosting such AR services on a MEC platform associated to mmWave APs is a key strategy to create this computationally demanding supplementary information near the mobile user and deliver it within the required low latency requirements exploiting the very fast data transfer of mmWave links.
4.1.3 Computation Offloading

Running computationally demanding applications on the mobile devices often leads to unpleasant user experience associated to the rapid discharge of the battery. Since the advancement of battery technology is not as fast as the development of new applications, a possible way out is to offload intensive computations on nearby servers, possibly located close to the access points. An effective deployment of computation offloading greatly benefits from the introduction of mmWave links and MEC servers able to orchestrate the deployment of virtual machines serving the users’ needs when and where appropriate [48]. Computation offloading is also particularly useful to augment the capabilities of tiny sensors, in the IoT scenarios, which have very limited computation and storage resources.

4.2 Unification of mmWave Access and Mobile Edge Computing

MEC has been recently identified as an ecosystem enabling low latency and energy efficient proximity access to information technology services from mobile users [49]. The goal of MEC is to bring cloud-computing capabilities, including computing and caching, at the edge of the mobile network, within the Radio Access Network (RAN) and in close proximity to mobile users. This is obtained by empowering APs with additional storage and computation capabilities, and coordinating the work of nearby cloud-enhanced APs in order to serve the mobile users as efficiently as possible. This gives rise to a fully scalable application-centric system, where cache prefetching and computation offloading are brought as close as possible to the end user to reduce latency and energy consumption. Clearly, MEC is most effective in delivering context-aware services to mobile users, but it also helps deploying an effective caching and distributed computing strategy.

At the physical layer, some of the key technologies enabling the large data rate increase foreseen in the 5G roadmap are: dense deployment of radio APs, massive MIMO, and mmWave communications. Merging these physical layer technologies with MEC creates a very powerful system.

Figure 6 shows a system example where mobile users (equipped with low data rate 2.4/5 GHz WLAN or LTE) can get proximity access to information technology services, managed by MEC servers installed in nearby APs, through high data rate mmWave links. At the same time, mmWave links facilitate the orchestration of multiple MEC servers through high data rate mmWave backhaul connecting cloud-enhanced APs, possibly complementing wired backhauling [50]. Clearly, an effective cache prefetching or instantiation of virtual machines serving mobile applications requires local prediction of users’ behavior and accurate estimation of popularity indices of most downloaded files, possibly varying over time [51]. This requires the extraction of big data analytics in cloud-enhanced APs, possibly coordinated through high data rate mmWave backhaul links.

Adopting a user/application-centric point of view, if a user is accessing the network to run an application remotely, the most important thing is that the user gets a service with a good Quality of Experience, say for example low latency. Latency, as perceived by the user, includes time delay for data transfer plus the time necessary to run the application, plus maybe access to remote files. This suggests that the selection of the AP as well as the server where to instantiate the virtual machines running the application should be optimized jointly under a global latency constraint.

The use of multiple mmWave links clearly helps in providing fast access to local cloud computing services to multiple users distributed in a target area simultaneously. One of the impairments of mmWave links is channel intermittency, due to sporadic blockage due to obstacles or interference from mobile users observed from very similar angles by the APs. To counteract blocking events, it is useful to establish multilink communications, so that a user may access multiple APs at the same time, depending on channel conditions [20], [52].

4.3 Prototype of mmWave Access with MEC at Narita Airport

As a preliminary work toward MEC through mmWave links, a multi-user mmWave access employing IEEE 802.11ad/WiGig [6] based APs is developed [53]. Figure 7 shows the AP prototype. The RF module integrates 60 GHz transceiver chip set with four element Tx/Rx beamforming, which provides about 120° beam steering range. Combining three RF modules, the AP achieves 360° wide area coverage while providing up to three concurrent links by using either the same frequency channel or different frequency channels. Three RF modules and the control board (which integrates a CPU, SDRAM, external Ethernet interface, etc.) are housed in the 80 x 150 x 150 mm unit. Each RF module can handle up to four stations (STAs), therefore accommodating a maximum of 12 users by the single AP. Figure 8 shows the measured output power of the RF module in the azimuth direction, offering 7-step beam steering
Fig. 7 Prototype of 60 GHz IEEE802.11ad/WiGig access point.

Fig. 8 Measured output power with different beams (at 58.32 GHz).

Fig. 9 Prototype network system with 60GHz access and edge content server.

with +20 dBm maximum effective isotropic radiated power (EIRP).

Figure 9 shows the prototype network system. Three APs are wired with 10 Gbps Ethernet cables. The local content server acting as a edge cloud (cache) in this system bundles four 10 Gbps Ethernet cables to achieve 40 Gb/s maximum throughput. The AP controller (APC) manages beamforming control and handover between RF modules and multiple APs. This is done by collecting Rx signal quality (signal-to-noise ratio) for each beam direction periodically, and select the optimum mmWave link based on the beam routing table which includes the sector index (i.e. the beam direction index), MCS (modulation and coding scheme) and the Rx signal quality [20], [53].

The prototype network system was set up in Narita International Airport as shown in Fig. 10. Three APs were installed at a 2.5 m height, providing 10 x 5 m area coverage. To minimize interference among multiple APs and STAs, different frequency channels were allocated for each of the three RF modules within the APs. As STAs, nine 4K tablets were placed on counter desks at a 1.0 m height. They are equipped with IEEE 802.11ad/WiGig USB dongle prototypes to establish ultra-high speed 60 GHz wireless links with APs.

The STA achieves 1.7 Gbps maximum throughput, enabling to download a compressed two hours high-resolution video content (2 GB) within 10 seconds. During the nine day open period, 816 participants joined the experimental demonstration, and 99.3% of the positive feedbacks (high expectation for practical realization) were obtained. Future work includes architecture design of the whole system to the cloud, integration of caching/prefetching capability, etc. in order to realize ultra-high speed and low latency service delivery, which is resilient to network bottleneck such as backhaul congestion to the cloud.

5. mmWave Mesh Networks for µ-RAN

5.1 Scenario/Use Cases and Requirements

In dense urban scenario which is one of the important scenarios in 5G, network densification is necessary because of the high traffic volume generated not only by smart phones and tablets but also by augmented reality information such as sensors and wirelessly connected cameras. Typical environments are open squares, street canyons, stations, etc., where users tend to gather and move as large and dynamic crowds while want to keep connectivity to the cloud. Figure 11 shows one example of such scenario around Shibuya.
An example of dense urban scenario around Shibuya station in metropolitan Tokyo.

Figure 12 and Fig. 13 show measured data of mobile traffic in 2014 around a famous station in metropolitan Tokyo [54]. Figure 12 shows the spatial traffic distribution in one hour in that area from 10:00 to 10:59 AM. From the figure, it is obvious that the traffic distribution was not uniform and there were several hotspots. Figure 13 shows the time variation of the total traffic in this area. Since it is around an urban station, the traffic in midnight was very low, while that of daytime was very high. In this measurement, the average traffic demand per user was about 62 kbps and total area traffic at peak hour was 44 Mbps. In the following simulation examples, these numbers are multiplied by 1000 by considering future traffic in the era of 5G.

In such an environment, network densification with many number of mmWave small-cell BSs (SC-BSs) overlaid on the current LTE cells is effective to accommodate traffic in peak hours as drawn on Fig. 11 [11]. However, many number of SC-BSs leads to the problem of high capital expenditures (CAPEX) and operating expenses (OPEX).

One solution to relax the problem is to use mmWave mesh network for the backhaul network of SC-BSs as in the 5G-MiEdge project [41]. By using the mmWave mesh network, the CAPEX can be reduced by removing deployment cost of wired backhaul. Furthermore, the OPEX can also be reduced by introducing dynamic ON/OFF and flexible path creation in the backhaul network in accordance with the time variant and spatially non-uniform traffic distributions [55]. Such flexible control of the backhaul network is enabled by SDN technology using out-band control interface over the LTE [56], [57]. In summary, mmWave mesh backhaul with SDN comes into place as one suitable candidate for dense urban scenarios owing to its ultra-wide bandwidth and deployment flexibility with low cost.

5.2 mmWave Mesh Network with Traffic & Energy Management Algorithm

Figure 14 shows an example of mmWave mesh network to be used in the dense urban scenario. In Fig. 14, mmWave SC-BSs are overlaid on a LTE macro cell to play a role of integrated backhaul and access with three or four sectors in both access and backhaul. The LTE macro BS plays a role of mmWave gateway as well in the cell to accommodate time-variant and spatially non-uniform traffic by forming a
mmWave mesh network. Namely, a set of LTE macro BS and mmWave SC-BSs forms a µ-RAN for the target environment. The prominent objective of the traffic & energy management algorithm is to reduce energy consumption of mmWave mesh network by switching off as many SC-BSs as possible in an area while satisfying users’ traffic demands. One example of such traffic & energy management algorithm proposed in [55] is shown in Fig. 15. As it is hard to optimize ON/OFF status of SC-BSs and backhaul paths all at once, the algorithm involves three steps. In the first step (i), the initial ON/OFF status of SC-BSs is determined based on the traffic demands per SC-BS. In the next step (ii), initial paths of backhaul network are created to minimize power consumption. If isolated SC-BSs exist even after step (ii), the final step (iii) re-activates remaining SC-BSs in an energy efficient manner so as to transfer the traffic for the isolated SC-BSs. Control signaling to manage ON/OFF status of SC-BSs and to create physical paths between them are transmitted over the LTE as an out-band control plane. As such, a dynamic and energy efficient mmWave mesh network is formed.

5.3 Simulation of mmWave Mesh Network

This section shows several examples of simulation analysis for mmWave mesh networks controlled by the abovementioned algorithm. In this numerical analysis, several macro cells with Inter Site Distance (ISD) of 500 m are assumed to be deployed within the 2000 m square areas in Fig. 12 and one macro cell is selected as the evaluation cell. Other simulation parameters are shown in Table 3.

Examples of the formed mmWave mesh networks are shown in Fig. 16. As there are few users in the evaluation area at 3 AM, only a few mmWave SC-BSs are activated. In this case, since there are enough resource blocks in the LTE, most of the users are connected to the macro BS, while users with very high traffic demand at the right-bottom activate SC-BSs. On the other hand around 3 PM, a hotspot appears in the upper-left zone. We can see some backhaul links formed from gateway to the hotspot, showing the ef-

| Parameter          | LTE          | mmWave SC-BS |
|-------------------|--------------|--------------|
| Bandwidth         | 10 MHz       | 2×2.16 GHz   |
| Carrier freq.     | 2.0 GHz      | 60 GHz       |
| Antenna gain      | 17 dBi       | 26 dBi       |
| Antenna height    | 25 m         | 4m/25m (SC-BS/GW) |
| Tx power          | 46 dBm       | 10 dBm       |
| Beam pattern      | 3GPP         | IEEE802.11ad |
| Path loss         | 3GPP         | [11]         |
| # of BSs          | 1            | 90           |
| Noise density     | 174 dBm/Hz   |              |

Fig. 15  Traffic & energy management algorithm.
fectiveness of the traffic & energy management algorithm against the locally intensive traffic.

The analysis of power consumption is shown in Fig. 17. Here, three types of criteria for SC-BS activation are compared. The first one is “Network centric ON” shown in Sect. 5.2. The second is “User centric ON” in which mmWave SC-BSs are turned on based on the location of users regardless of the traffic demand. The last one is “Always ON” without considering ON/OFF switching. The figure shows the performance of total power consumption against dynamic traffic variation throughout a day. The power consumption includes both of the access and backhaul, which is defined as follows,

\[
\text{Energy consumption} = \sum_{i} (N_{i}^{\text{on}}P_{\text{on}} + N_{i}^{\text{off}}P_{\text{off}})
\]

where \(N_{\text{AP}}, N_{i}^{\text{on}}, \text{and } N_{i}^{\text{off}}\) represent the number of mmWave SC-BSs, the number of ON sectors and that of OFF sectors of \(i\) th mmWave SC-BS respectively. From the figure, the effectiveness of the traffic & energy management algorithm is obvious especially in midnight. It is also noted that the Network centric ON reduces the energy consumption about a half by effectively deactivating low-load SC-BSs and offloading their traffic to the LTE macro BSs.

5.4 Prototype of mmWave Mesh Nodes

At the last part of this section, we will introduce prototype hardware to be used as the mmWave mesh node. Figure 18 is showing the latest compact universal mmWave platform supporting the IEEE 802.11ad/WiGig [6] developed by Intel. This mmWave PoC platform can be used both for flexible backhauling with point-to-point symmetrical transmission links up to 400 m coverage with capability of adaptive beam switching and also for access with asymmetrical transmission links between AP and STAs with limited capability of beam gain at the STA sides supporting coverage up to 100 m with the same throughput.

Figure 19 shows the antenna geometry of the mmWave PoC platform. This generation of platform is designed with compact form factor, new radio modules, better antenna characteristics, and in-build mini PC (Intel i5 NUC) with Linux device drivers. The WiGig modem on the mini PC
drives eight radio modules with 16 antenna elements each. The eight radio modules are used jointly to form a 128-element antenna array in a cost efficient way. An FPGA is used to process the commands from the WiGig modem, and send different commands to eight radio modules for packet transmission, beamforming, etc. As a result, the prototype has about 41 dBm EIRP, 6 degrees azimuth beam width, 10 degrees elevation beam width, and +/-60 degrees azimuth and +/-30 degrees elevation steering ability. Some antenna steering patterns are shown in Fig. 20. It consumes about 20 W system power. At 200 m in line-of-sight distance, up to 2 Gbps IP throughput could be achieved.

Finally, Fig. 21 shows a photo of mini mmWave mesh network using six mmWave PoC platforms exhibited at Mobile World Congress 2017. At the center of the photo, there is a streetlight with Point-of-Presence (POP) of fiber backhaul. On the top of streetlight, three mmWave platforms are used to act as a gateway of mmWave networks by providing three mmWave backhaul links. The other three platforms are located at a SC-BS (right), at a home (left top), and at a drone (left) to be connected with the mmWave gateway via backhaul links. It is planned to integrate SDN capability on this platform in near future.

6. mmWave Based V2V/V2X for Automated Driving

6.1 Scenario/Use Cases and Requirements

Automated driving is one of the three most important applications of future 5G systems [58]. The 1st phase of 5G V2V and V2X communications aims at driver assistance systems and exchanges messages either directly between vehicles or via appropriate infrastructure [59]. These messages are transmitted in case of an emergency or as so-called awareness messages, which contain information such as location, speed and heading direction. The 2nd phase of 5G V2X aims for higher levels of automation, where automated control software become primarily responsible for monitoring the environment and the driving vehicles, referred to as Levels of Automation (LoA) in the range 3 to 5 [60].

Automated driving systems require highly resolved and dynamic maps to maneuver the vehicles safely, in particular as a means to provide decimeter localization which is not achieved by typical consumer-grade satellite navigation equipment. As the resolution of current 2D maps coupled with inaccurate position information is not sufficient, high resolution and real-time maps, also called dynamic High Definition (HD) maps, become indispensable [61]. Figure 22 shows an example of an HD map generated with a LiDAR (Light Detection And Ranging) sensor, which is used to monitor the car surroundings and display the same as a high-resolution and real-time point cloud. It is reported in [61] that the total data volume of such an HD map collected for the duration of one hour is about 1 TB, which corresponds to a 2.2 Gbps data output for this type of sensor. It is reported in [62] that Google’s automated car gathers a total of about 6 Gbps of sensor data, which includes LiDAR and other sensors, in order to put automated driving into practice. [63] summarizes in general for automated driving the required data rates of automobile sensors, i.e. 80-560 Mbps for LiDAR sensors or 160-320 Mbps for cameras sensors. Even though the final values depend on the resolution of the actual HD map, an estimation of 1 Gbps appears reasonable as a typical data rate requirement for exchanging HD map information via V2V/V2X links. Indeed, mmWave seems to be the only means to provide such high data rates to vehicles [72].

Cooperative perception is realized by exchanging sensor data between vehicles and Roadside Units (RSUs) and is necessary to widen or enhance the visibility area of HD maps [64], [65]. Sensors within a RSU mounted at a lamp-post allow, due to the bird’s eye view, a more accurate localization of objects. More important, different from the low height of sensors mounted on the vehicle, the bird’s eye view prevents that objects remain hidden behind other objects and therefore undetected. This external object detection capability is particularly critical for a safe realization of automated driving in complex urban environments. The communication range supported by a cooperative perception system can be determined by the braking distance.
of vehicles. As an example, [66], [67] estimate 100 m as a braking distance (including a safety increment distance) for the emergency stop of a generic sedan car at 70 km/h, which can be seen as the maximum speed in urban city environments. This braking distance estimate could be raised to 150 m for buses or trucks. Hence, the HD map exchange system should support a communication range of at least 150 m for emergency braking applications. This number is comparable to the required radius of 300 m for reliable dynamic map information at a speed 180 km/h, given by [68].

At the end, latency is the crucial communication system parameter to realize stable control. It is well known that latency and data rate are a tradeoff for the case of video or LiDAR data transmission. The latest video data compression techniques reduce the data rate to one-tenth of the raw data rate. Video compression though leads to latencies higher than the 10 ms required for automated driving [58]. Furthermore, raw (or nearly raw) data is likely to be needed for interpretation by machine learning algorithms and can be used as a proof of liability in case of an accident [64].

In summary, the enhanced V2V/V2X communication targeting automated driving requires a data rate of 1 Gbps per link, end-to-end latency of less than 10 ms per link and a communication range of 150-300 m, to put safe automated driving into practice by exchanging raw (or lightly processed) sensor data. Such high requirements cannot be realized with current technologies [58]. Hence, 3GPP initiated related work in Release 15 and beyond, which is named eV2X (enhanced V2X) [69]. As a consequence, the utilization of mmWave and MEC technologies are becoming increasingly important for the field of automated driving.

6.2 mmWave Based V2V/V2X

Figure 23 shows an example of a system architecture for mmWave based V2V/V2X to exchange HD maps.

Figure 25 shows an HD map example as a result of cooperative perception from multiple RSUs continuously monitoring the road conditions. In this case, the RSUs are located on the street lamps at a height of 6 m and a distance of 40 m between the street lamps. These cooperative perception RSUs seem in complex urban city environments indispensable, in order to detect hidden objects, unequipped vehicles, bicycles, pedestrians, etc. From our point of view, the described V2V/V2X system and therefore mmWave will play an important role in Intelligent Transport Systems (ITS) in addition to 700 MHz and 5.9 GHz frequency bands. In systems beyond 5G, Unmanned Aerial Vehicles (UAV) may use a similar concept for automated flying at low altitude, as shown in Fig. 23.

A distinguishing feature of V2X versus other applica-tency. The communication range of mmWave links can be extended to more than 300 m, if highly directional antenna beams are used. The beams can come from antennas with a small form factor, due to the small wavelength in mmWave bands [31]. One challenge in this system might be the antenna beam alignment between OBUs and RSUs, however [71], [72] show the feasibility of using mmWave in vehicular scenarios. The system coexists with the conventional V2X system [59], which supports cloud-based services such as traffic jam forecast and long-range traffic navigation.

A block diagram of OBU and RSU is shown in Fig. 24, where the difference between a OBU and a RSU is solely the automated driving unit. The OBU/RSU receives via mmWave V2V/V2X links the HD maps from surrounding OBU/RSUs and fuses them with its own HD sensor data in the HD map processing unit. This process is called cooperative perception as described in Sect. 5.1. This combined HD map with its widened visibility area is used for automated driving decisions and in addition is transferred to neighboring OBU/RSUs. However, before transmitting the fused HD map, the HD map processing unit selects the area of interest (or control resolution of HD map area by area) dependent on the location of receiver OBU/RSUs to avoid exponential increase of data rate. The OBU/RSU is a unification of mmWave and MEC, since the HD map processing unit is considered as MEC to compute cooperative perception at the edge of the network.

The mmWave based V2V/V2X system uses mmWave channels to fulfill the requirements of 1 Gbps data rate and less than 10 ms latency. The communication range of mmWave links can be extended to more than 300 m, if highly directional antenna beams are used. The beams can come from antennas with a small form factor, due to the small wavelength in mmWave bands [31]. One challenge in this system might be the antenna beam alignment between OBUs and RSUs, however [71], [72] show the feasibility of using mmWave in vehicular scenarios. The system coexists with the conventional V2X system [59], which supports cloud-based services such as traffic jam forecast and long-range traffic navigation.

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tions is the potential application of sensing on the RSU. Because the RSU is typically placed at a higher elevation, e.g. on a lamp pole, it has the advantage of supporting a birds-eye-view in a native fashion. This solves a key challenge in conventional systems where the sensing range of a vehicle may be obstructed due to the presence of a large truck or other obstruction. It also offers several other advantages. First, it becomes easier to monitor vehicles, bicycles, and pedestrians who are not equipped with V2X technology. Second, sensing information can be used to aid in establishing the mmWave communication link [72]. For example, an RSU mounted radar unit may be used to help track potential recipients of a mmWave communication exchange, thus reducing the beam alignment time [73]. Third, sensing at the RSU provides a source of sensor data for cities. The benefits could expand if additional sensors are deployed, including weather or pollution.

6.3 Spectrum Regulation in mmWave Frequency for Automated Driving

At the final part of this section, we will discuss the frequency spectra to be used for the mmWave V2V/V2X. Table 4 summarizes frequency candidates recently being selected for 5G and beyond in four different organizations, i.e. WRC-15 in ITU-R [12], CEPT in EU [17], FCC in US [14], and 5GMF in Japan [16]. Please note that the candidates in CEPT, FCC, and 5GMF were announced after the WRC-15 to be harmonized with ITU-R as much as possible. The frequency bands to be used for V2V/V2X should fulfill certain requirements, such as 1) have a bandwidth more than 1 GHz in order to exchange HD map information, 2) work internationally and regardless of country borders and regulatory bodies, namely use International Mobile Telecommunications (IMT) bands, 3) should be license-based to avoid unnecessary interference, and 4) should work standalone when Public Land Mobile Networks (PLMNs) are unavailable. Based on the above mentioned criteria, this paper nominates four candidates for mmWave V2V/V2X: (1) 31.8–33.4 GHz, (2) 40.5–42.5 GHz, (3) 47.0–50.2 GHz, and (4) 66.0–71.0 GHz. Although band (4) is recently regulated for unlicensed use in US, it is kept as a candidate because real applications in this band are still open to practical markets. The 28 GHz band is another candidate from the viewpoint of device availability, though the bandwidth is limited to 400 MHz per channel in US and there is no harmonization in the world. It is also noted that 63.0–64.0 GHz is another candidate since CEPT already regulated this band for ITS in Europe although it’s overlapped with unlicensed band. We have not selected the 71.0–76.0 GHz and 81.0–86.0 GHz bands to avoid interference with existing or future backhaul/fronthaul networks using these frequency bands.

| WRC-15   | CEPT   | FCC     | 5GMF     |
|----------|--------|---------|----------|
| 24.25–27.5 | 24.25–27.5 | 27.5–28.35 | 24.75–31.0 |
| 31.8–33.4 | 31.8–33.4 | 31.8–33.4 |          |
| 37.0–40.5 | 37.0–38.6 | 31.5–42.5 |          |
| 40.5–42.5 | 40.5–43.5 | 40.5–42.5 |          |
| 42.5–43.5 | 42.5–43.5 | 45.3–47.0 |          |
| 45.5–47.0 | 45.5–48.9 | 47.0–50.2 |          |
| 47.2–50.2 | 47.2–50.2 | 47.0–50.2 |          |
| 50.4–52.6 | 50.4–52.6 | 50.4–52.6 |          |
| 66.0–76.0 | 66.0–71.0 | 64.0–71.0 | 66.0–76.0 |
| 81.0–86.0 | 81.0–86.0 | 81.0–86.0 | 81.0–86.0 |

7. Concluding Remarks

Answers to the questions of the title regarding “where, when, and how mmWave is used in 5G and beyond” can be summarized as followed. Firstly, 28 GHz mmWave band will be used as backhaul network for moving hotspots, such as buses, to showcase the world first 5G entertainment system during the 2018 PyeongChang Winter Olympic Games in South Korea. Concurrently, the 28 GHz band will be used in the US. Secondly, 60 GHz mmWave band will be combined with MEC and used in 2018 for on-demand content download for smartphones and tables at Tokyo-Narita airport, in order to provide “Omotenashi” services for guests coming to the 2020 Tokyo Summer Olympic. The combination of mmWave and MEC is the only way to satisfy both extreme communications requirements: ultra-high speed and low latency. The third deployment phase around 2020 involves mmWave mesh networks, which will be deployed as an integrated and cost-effective access and backhaul sys-
tem in dense urban scenarios. Additional traffic and energy management algorithms based on SDN technology will further decrease the cost of mmWave mesh network operations. After 2020, mmWave based V2V/V2X services will be deployed, in order to realize automated driving in complex urban environments. This is accomplished by cooperative perception and the exchange of HD dynamic map information between vehicles and RSUs, in order to enhance the visibility area. The automated driving use case can be considered as the most important application of mmWave and MEC, which requires both ultra-high speed and low latency. We believe that more mmWave and MEC native applications will emerge beyond 2020.

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