Lung cancer is the leading cause of cancer-related death worldwide. Although treatment methods such as surgery, radiotherapy and/or chemotherapy have improved, prognosis remains unsatisfactory, and developing new therapeutic strategies is still an urgent matter. Immunotherapy is a novel therapeutic approach wherein activated immune cells can specifically kill tumour cells. Several lung cancer vaccines have demonstrated prolonged survival time in phase II and III trials, and several clinical trials are under investigation. However, many clinical trials involving cancer vaccination with defined tumour antigens have shown this method to work only in a small number of patients. Cancer immunotherapy is not completely effective in eradicating tumour cells because they evade host immune control.

**Key words:** non-small cell lung cancer, immunotherapy, vaccines.

**Introduction**

Lung cancer is the most common cause of cancer-related death worldwide. In spite of the improving results of treatment utilizing surgery, radiation therapy and/or chemotherapy, prognosis remains unsatisfactory, and new therapeutic strategies are urgently needed. The aim of this paper is to discuss the identification of tumour antigens in lung cancer, tumour immune escape mechanisms, the history of immunotherapy in NSCLC and clinical trials devoted to its use [1].

**Definition and history of immunotherapy**

Immunotherapy began to be employed for neoplastic diseases at the end of the 19th century. In 1890, a New York surgeon named William Coley observed the spontaneous regression of a facial sarcoma in a patient, in whom bacterial infection occurred postoperatively [2]. Further research into this phenomenon led to the development of the substance known as Coley’s vaccine — a mixture obtained from *Streptococcus pyogenes* and *Serratia marcescens* bacterial cultures. The first patient in whom Coley’s toxin was employed was a 16-year-old boy with advanced neoplasm located in the abdominal cavity. Daily injections of the vaccine directly into the tumour caused the patient to exhibit symptoms of infection, including fever and shivering, but the treatment, which lasted for several months, resulted in significant regression of the tumour [3]. Notwithstanding, the substance responsible for the antineoplastic effect induced by bacterial toxins was not identified for the next 100 years. It then turned out to be a protein produced by macrophages of the recipient, which became known as tumour necrosis factor alpha (TNF-α) [4]. Since that time, numerous clinical studies have been conducted, employing various strategies of stimulating the immune system to combat the neoplasm. One example consisted in attempts to use Corynebacterium parvum bacteria, one of the stronger non-specific immune system stimulators. In 1908, Albert Calmette and Camille Guérin began working with an attenuated form of bovine bacillus (*Myobacterium bovis*), the Bacillus Calmette-Guérin strain (BCG), in order to develop a vaccine against tuberculosis. Concurrently, lower incidence of neoplastic diseases was observed among patients with active tuberculosis. These observations resulted in the
development of a vaccine using the BCG strain as a form of immunotherapy for neoplastic diseases; it still remains an accepted form of treating bladder cancer [5]. The modern era of immunotherapy began with the research conducted by Steven Rosenberg, who was the first to employ adoptive T lymphocytes and recombinant interleukin 2 in the treatment of advanced melanoma [6].

Passive immunotherapy is defined as administration of an immunologically active agent produced outside the host's body. Examples of passive immunotherapy include the administration of monoclonal antibodies or treatment with adoptive T lymphocytes. Active immunotherapy focuses on stimulation of the host's immune system to eradicate cancer, either by means of vaccines containing tumour antigens combined with adjuvants (active immunotherapy) or by using non-specific immunomodulators [7].

**Antineoplastic immunological response and the mechanisms by which tumour cells evade immune system control**

Immune cellular response is initiated by the uptake of tumour-associated antigens (TAAs) by antigen-presenting cells (APCs), among which the most crucial role is played by dendritic cells [7]. Antigens are internalised as short peptide sequences and are presented on the extracellular surface of APCs in combination with major histocompatibility complex (MHC) class II molecules. Dendritic cells, together with the peptide antigens on the surface, mature within lymph nodes, where they come into contact with "virgin" T lymphocytes. These conditions are essential in order to initiate the activation of specific effector T lymphocytes: cytotoxic CD8+ T-cells and helper CD4+ T-cells. It requires complex interactions between the TAA-MHC complex on the APC surface and the receptor on the "naive/virgin" T lymphocyte, as well as a co-stimulating signal, consisting of interactions between B7.1 (CD80) and B7.2 (CD86) proteins on antigen-presenting cells and CD28 proteins on the surface of T lymphocytes [8]. The activation of helper CD4+ lymphocytes leads to the secretion of a number of cytokines (including, among others, IL-2, IL-12 and IFN-gamma), which activate CD8+ lymphocytes directly or through macrophage mediation. The presence of the cytokines (secreted by the stimulated immune system cells) increases the activity of natural killer (NK) cells, enhances the phagocytic capability of macrophages, improves the synthesis of specific antibodies by B lymphocytes and directly eradicates cancer cells or inhibits their proliferation [9]. Despite clear and confirmed evidence for the existence of immunological response targeted against neoplastic cells, the tumours usually continue to grow. This phenomenon became the reason for the search for the mechanisms in which tumour cells evade immune system control, and it explained the failure of earlier research into therapeutic cancer vaccines [10–12]. The evasion mechanisms include, among others, the immunomodulation of tumour cells by the selection of antigen-loss variants, which consists of the tumour cell population losing its ability to express antigens inducing antitumoral response [13]. Another way of avoiding immune response is the tolerance mechanism, in which the immune system is not activated against the tumour-cell antigens, because the antigens are recognized as self. The state of immunological tolerance is related to the ability of naïve/virgin T lymphocytes to ignore self antigens and to the active elimination of autoreactive T cells. Most TAAs are non-mutated antigens present on normal tissue, which means that the host must have developed tolerance to these antigens. In at least two mouse models, tolerance to the antigen present in the administered vaccine was observed, which suggested that more frequent use of immunotherapy may lead to immune tolerance induction being increasingly visible [14]. Moreover, tumour cells actively change their phenotype. One of the reasons for this is downregulation, i.e. reducing the number of MHC molecules on the surface of tumour cells. It has been demonstrated that TGF-β, which inhibits various functions of lymphocytes and macrophages and is secreted by many types of neoplastic tumours. It has been suggested that TGF inhibits the proliferation of T lymphocytes, the maturation of cytotoxic T lymphocytes (CTLs) and the activation of macrophages. Interleukin 10, secreted by tumour cells, operates in a similar fashion, inhibiting the proliferation of T cells [15, 16]. Another way in which tumours evade immune system surveillance is by inducing the apoptosis of T lymphocytes with Fas proteins on their cell membranes, attacking the tumour cells [17, 18].

**Therapeutic vaccines in the treatment of non-small-cell lung carcinoma patients**

Phase 2 and 3 clinical trials assess vaccines employed at different stages of cancer progression. With regard to complementary treatment after radical surgical procedures (after complementary chemotherapy or without it), phase 3 trials assess the MAGE-A3 vaccine (advancement stage IB-IIIA), and phase 2 trials assess the PRAME vaccine (stage IA-IIIA). The phase 3 trial assessing the efficacy of the Stimuvax vaccine (stage III patients after the completion of chemoradiotherapy) ended unsuccessfully. The phase 3 trial assessing the efficacy of the Lucanix vaccine in IIIA (T3N2)-IV stage patients after the completion of chemotherapy or chemoradiotherapy was also terminated. CimaVax and TG4010 vaccines are useful for IIIB/IV stage patients in combination with chemotherapy (TG4010) or after the completion of cytostatic treatment (CimaVax).

**MAGE-A3 vaccine**

*MAGE-A3* is a gene which encodes the MAGE-A3 protein (Melanoma-Associated Antigen A3). The gene is silent in all human tissues except for the testes. Expression in testicular cells does not, however, result in the destruction of these cells by immunotherapy, because they are unable to present antigens to the immune system. MAGE antigens were first identified in malignant melanoma cells, based on the ability of these cells to stimulate autologous
Vaccines targeting MUC-1

MUC-1 is a highly glycosylated transmembrane protein, which exhibits expression on cells of many tumours, including 86% of adenocarcinomas and nearly 74% of the remaining NSCLC subtypes. The glycosylation of the extracellular domain of MUC-1 in tumour cells is abnormal, revealing highly immunogenic protein skeletons comprised of repetitive 20-amino-acid sequences [8, 32, 33]. The MUC-1 protein is an anti-adhesion protein engaged in the process of carcinogenesis and tumour cell migration, which causes the tumour cells to be refractory to apoptosis and chemotherapeutic agents. It also plays a role in immunosuppression, inhibiting T cell proliferation. In patients with NSCLC, both the pattern and the level of MUC-1 expression on tumour cells, as well as the low level of natural antibodies targeting MUC-1, is associated with poor prognosis. Clinical studies assessed two vaccines targeting MUC-1: L-BLP25 and TG4010.
L-BLP25 (Stimuvax®)

L-BLP25 is a liposomal vaccine targeting the extracellular core peptide of MUC-1. The vaccine contains an adjuvant monophosphoryl lipid A (MPL) [8, 34], which stimulates the activation of antigen-presenting cells via Toll-like receptors (TLRs), along with 3 other lipids added to ensure better vaccine distribution, and to facilitate its uptake by immune-system cells. Preclinical studies confirm that L-BP25 may induce antigen-specific proliferation of T lymphocytes as well as interferon secretion [7, 8, 35]. Evidence of immune response being induced by L-BLP25 was observed in 3 early clinical studies encompassing patients who had previously undergone chemotherapy for NSCLC in stages IIIB or IV. The first studies demonstrated that the vaccine may be used safely in doses from 20 to 200 μg.

A phase II clinical trial randomized 171 stage IIIB/IV patients who had achieved a response or stabilisation of the disease after first-line treatment. One arm of the study included patients receiving L-BLP25 and best supportive care (BSC); the patients in the other arm received only the latter [36]. Patients in the vaccine arm received a single intravenous cyclophosphamide dose of 300 mg/m² 3 days before the first vaccination; it successfully reduced the activity of regulatory T cells. Subsequently, L-BLP25 was administered 8 times in weekly intervals; it was injected into four body regions, in order to increase the distribution of the vaccine molecules among the nearby lymph nodes [7, 37]. During the 13th week, the patients entered into the supportive phase, which consisted of administering the vaccine every 6 weeks and lasted until disease progression was observed. Median survival time from the date of randomization was longer by 4.4 months in the study arm receiving both L-BLP25 and BSC (17.4 months vs. 13 months). The most significant advantages were observed in patients with locoregional advancement of the disease (stage IIIB), whose median survival time was 30.6 months in the L-BLP25 plus BSC arm, as compared with 13.3 months in the BSC arm [37]. It was established that stage IIIB patients with pleural effusion (according to TNM v. 6.0) and stage IV patients do not benefit in terms of overall survival [38]. Furthermore, it was demonstrated that the vaccine had a good safety profile. The most frequently occurring side effects were mild flu-like symptoms, events related to the administration of cyclophosphamide and mild reactions at the sites of vaccine injections, as well as, non-significant clinically, instances of lymphopenia. No autoimmune reactions were found [39]. Further research into the efficacy and safety of using L-BLP25 became the aim of large randomized phase III trials: START (Stimulating Targeted Antigenic Response To NSCLC) and INSPIRE (Stimuvax trial In Asian NSCLC Patients: Stimulating Immune Response). The latter was conducted in 5 states (China, Hong Kong, Singapore, South Korea, and Taiwan) and its design is identical to the design of the START study. The START study included stage III patients who achieved an objective response or stabilization of the disease after first-line treatment (chemotherapy based on platinum derivatives + radiation therapy ≥ 50 Gy). The patients were randomized into two study arms (vaccine + BSC or BSC alone) in a 2 : 1 ratio. L-BLP25 was well tolerated and no adverse events dependent on the immune system were noted. However, the analysis of the study data did not demonstrate any benefit of using the L-BLP25 vaccine, in terms of extending overall survival. The study encompassed 1513 patients (from January 2007 until November 2011). Median OS was 25.6 months in the L-BLP25 arm vs. 22.3 months in the placebo arm [40]. The reasons behind this failure are ascribed to the lack of exclusion criteria based on biomarker assessment (the exclusion of patients whose tumour tissue material did not exhibit MUC-1 expression), not defining the immunological status of patients (the exclusion of patients with low levels of peripheral T lymphocytes and high levels of immunosuppressive cells, either circulating or located within the tumour), not assessing the immunological response against MUC-1 or other TAAs (the exclusion of patients with poor response), as well as other factors [40]. It is noteworthy that some patient subgroups achieved clear advantages from vaccination. Clinically significant extension of overall survival was observed in the subgroup of patients who had previously undergone combination radiation therapy in comparison with patients after sequential radiation [40]. Designing additional prospective clinical phase III trials is certainly necessary.

TG4010

TG4010 is a recombinant viral vector (modified Ankara virus), which exhibits expression of the whole sequence of MUC-1 and interleukin-2 (IL-2) – (MVA–MUC-1–IL-2). Interleukin-2 stimulates T-cell response, which is why the vaccine may stimulate or intensify cellular response against tumour cells exhibiting MUC-1 expression [41].

A phase II clinical trial assessed the efficacy of TG4010 in previously untreated stage IIIB/IV patients. In the first arm of the study, TG4010 was administered in combination with chemotherapy: cisplatin dosed at 100 mg/m² on day 1 and vinorelbine dosed at 25 mg/m² on days 1 and 8. The patients in the second arm of the study received TG4010 in monotherapy until disease progression; subsequently, they received TG4010 in combination with cytotoxic treatment in the same regimen as in study arm one. In the first study arm, partial response was achieved in 35.1% of patients, median time until progression was 4.8 months, median overall survival was 12.7 months and one-year survival was achieved by 53% of patients. In the second study arm, response was achieved in 14% of patients, median overall survival was 14.9 months and one-year survival was achieved by 60% of patients. Cellular immune response against MUC-1 was noted in lymphocyte samples of all patients in whom a clinical response was observed. In phase IIb of the trial, stage IIIB/IV patients with previously untreated NSCLC were randomly allocated to the arm receiving only first-line chemotherapy (cisplatin with gemcitabine) or to the arm receiving chemotherapy in combination with TG4010. The vaccine was administered subcutaneously, initially over 6 weeks in weekly intervals, and then every 3 weeks until disease progression. The addition of TG4010 to chemotherapy significantly increased...
the response rate, as well as the percentage of patients achieving 6-month progression-free survival (55% vs. 35%). Moreover, a good safety profile of such therapy was established. The most frequent adverse effects consisted of skin reactions at the injection site, fever and abdominal pain. Furthermore, it was proven that adding TG4010 to chemotherapy in the subgroup of patients with normal initial values of activated NK cells (approximately 75% of patients) leads to significant improvements in terms of overall survival (17.1 vs. 11.3 months) [27, 38, 44].

Normal initial level of activated NK cells is one of the inclusion criteria for the IIB/III phase trial assessing the efficacy of TG4010 combined with first-line chemotherapy in stage IV patients with NSCLC.

**EGF vaccine (CIMAvax®)**

The EGFR (Epidermal Growth Factor Receptor) signalling pathway mediated by EGF ligands is associated with cell proliferation, apoptosis, angiogenesis and metastasis occurrence. EGF overexpression can be observed in many solid tumours and is typically associated with more aggressive disease progression and unfavourable prognosis [45].

The CIMAvax vaccine consists of recombinant human epidermal growth factor (EGF) combined with a Montanide ISA51 immunoadjuvant coupled with recombinant Neisseria meningitidis transport proteins [46, 47]. A phase II trial included 80 patients with NSCLC (stage IIIB or IV) after the completion of first-line chemotherapy. They were randomly allocated to the arm receiving the EGF vaccine or to the arm receiving BSC in a 1:1 ratio [48, 104]. The first vaccine dose was preceded by cyclophosphamide infusion (200 mg/m²). A single dose of the vaccine contained 50 µg of EGF equivalents, and it was administered on days 1, 7, 14, 28 and, subsequently, in monthly intervals. The study demonstrated a decrease in the concentration of EGF in the serum of patients receiving the vaccine, and a strict correlation was found between the antibody titre and the reduction of EGF concentration in the serum. Reduction of EGF concentration to less than 168 pg/ml was associated with prolongation of OS (disturbance in the activity of NK cells and dendritic cell inhibition), and it may be responsible for unfavourable prognosis in patients suffering from lung cancer [52]. The immunogenicity of the vaccine is heightened by the suppression of TGF-β2 production by the tumour, thanks to the expression of antisense RNA in the vaccine plasmid.

The clinical effectiveness and safety of using Belagenpumatucel were first evaluated in a phase II trial, the results of which were published in 2009. The study encompassed 75 stage II-IV patients. They received the vaccine intradermally in one of three doses (1.25, 2.5 or 5 × 10⁷ cells per injection), once every month or once every two months, up to a maximum total of 16 doses. Survival extension was observed in patients in advanced stages of lung cancer who received the dose of ≥ 2.5 × 10⁷ cells injection. Estimated 2-year survival rates amounted to 47% and 18%, respectively. Partial response was achieved by 15% of patients in the stage IIIB/IV subgroup. Further analysis revealed that patients who developed both cellular and humoral immune response to the vaccination achieved longer OS in comparison with patients without this response. Their median survival time amounted to 32.5 months (95% CI: 25.2–39.8 months) in comparison with 11.6 months (95% CI: 5.6–17.6 months) [51]. Longer survival in the Belagenpumatucel group was related to the decrease in the amount of circulating tumour cells.

These reports became the foundation for commencing the phase III clinical trial called STOP (Survival, Tumour-free, Overall, Progression-free); its goal was to evaluate the efficacy and safety of using Belagenpumatucel vs. placebo in supportive treatment. The study included stage IIIA (only T3N2), IIIB and IV patients who, after first-line chemotherapy/chemoradiotherapy, achieved total or partial remission or stabilisation of the disease, which lasted for at least a month from the completion of treatment.

Injections were performed 18 times in monthly intervals, with additional vaccination in months 21 and 24. The primary endpoint of the study was overall survival. The study was terminated early several months ago; no official cause of termination has yet been announced.

**Belagenpumatucel-L (Lucanix®)**

Belagenpumatucel-L is the only vaccine produced out of tumour cells that was evaluated by phase III clinical studies. Lucanix is a non-viral, genetically modified, allogenic vaccine obtained from four lung cancer cell lines, including two adenocarcinoma cell lines, one squamous carcinoma cell line and one large-cell carcinoma cell line (H460, H520, SKLU-1, Rh2) [50]. The cells are transferred by plasmids containing antisense (transforming growth factor β2 (TGF-β2) transgenes and irradiated [51]. A high level of TGF-β2 is usually associated with immunosuppression (disturbance in the activity of NK cells and dendritic cell inhibition), and it may be responsible for unfavourable prognosis in patients suffering from lung cancer [52]. The immunogenicity of the vaccine is heightened by the suppression of TGF-β2 production by the tumour, thanks to the expression of antisense RNA in the vaccine plasmid.

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**Racotumomab (1E10, Vaxira®)**

Racotumomab is a vaccine based on anti-idiotype antibodies, meant to mimic the ganglioside antigens of the tumour. Its design utilises the presence of specific types of sialic acid, including the NGcGM3 ganglioside, on the cells of lung cancer, breast cancer, melanoma and selected types of infantile neoplasms. Of further significance is
and producing anti-idiotype antibodies. Omab) are capable of stimulating immunological reaction specifically with NGcGM3. Vaccines containing 1E10 (racotumomab) were 34% effective in stimulating an immunological reaction. The vaccine was obtained by immunizing BALB/c mice with P3 antibodies, reacting specifically with NGcGM3. Vaccines containing 1E10 (racotumomab) are capable of stimulating immunological reaction and producing anti-idiotype antibodies.

In a phase 2 trial, the vaccine was administered to 71 stage IIIB/IV NSCLC patients previously treated with standard radiochemotherapy. The treatment consisted of 5 vaccinations administered every two weeks, followed by 10 injections in 28-day intervals. After the completion of this phase, the patients in good general condition were able to continue their treatment with the same vaccination intervals. The overall survival time from the date of the first vaccination amounted to 9.93 months (8.61-11.25); the one-year survival rate was 34%. The survival time within the group of 56 patients who were in good general condition (ECOG score of PS = 1) and who achieved lasting response to treatment (disease stabilization or partial remission) was 11.5 months [56].

A randomized, multicentre, placebo-controlled double-blinded study included 176 patients with ECOG performance status of 0-2 who had been diagnosed with stage IIIB or IV NSCLC and had completed oncological treatment that resulted in a lasting response in the form of at least disease stabilization. The patients were allocated in a ratio of 1:1 to the experimental arm or to the placebo arm. In both arms of the study, the patients received 5 vaccinations every 2 weeks, followed by vaccinations in 4-week intervals, until resigning from treatment or until their general condition deteriorated. Median overall survival was 8.3 and 6.3 months, and the average for this parameter was 15.7 and 10.6 months, for racotumomab and placebo, respectively ($p = 0.02$). The one-year and two-year survival was 38 and 17 months for the study arm, and 24 and 7 months, respectively, for placebo [57]. Open phase 3 trials are currently underway.

**Discussion**

In the field of active immunotherapy in the treatment of NSCLC we observe generally three strategies (Table 1). The first is to add vaccination to first-line chemotherapy. Most trials using this pattern have failed. The only exception is TG 4010 in a population of patients with normal levels of activated NK cells, but due to the retrospective nature of that observation it is currently under prospective validation [27, 38, 42].

The second way of implementing this treatment in NSCLC is by using it as a tool of “maintenance” in response to first line therapy. Several positive signals from phase II trials were observed, although recently there were negative results from some phase III trials. Further analysis of those results showed some promising findings in two large trials called START and STOP. In the subgroup of patients previously treated with concurrent chemoradiotherapy, results concerning overall survival were positive. Those observations require confirmation in prospective trials, but they seem to be promising. The population of patients who have been treated with chemoradiotherapy in concurrent fashion usually have responses lasting longer, and it probably gives time to develop an immune response.

The last, but not least, strategy is using vaccination in an adjuvant setting. The promising results of a phase II study with MAGE – A3 vaccine prompted a large phase III trial that is currently in its follow-up period, but several years are required for enough events to take place to achieve the possibility of evaluating the immunotherapy effect. A similar situation concerns the PRAME vaccine, but it is currently undergoing a phase II trial.

Although immunotherapy is not yet a standard procedure in the therapeutic management of patients with NSCLC, it may play an important role in the future. An additional advantage offered by such therapy is its low toxicity. Exceptional benefits of employing this treatment can be achieved in selected patient subgroups: it appears that the most significant results are to be expected in the group

**Table 1. Clinical trials using therapeutic cancer vaccines in NSCLC**

| Vaccine | Adjuvant | Target               | Patients                              | Trial               |
|---------|----------|----------------------|---------------------------------------|---------------------|
| recMAGE-A3 | AS15    | MAGE-A3              | resectable stage IIB-IIIA NSCLC, MAGE-A3 expression on tumor | MAGRIT/ NCT00480025 |
| PRAME   | AS15    | PRAME                | resectable stage IA (T1b)-IIIA NSCLC, PRAME expression on tumor | PEARL               |
| Stimuvax (L-BLP 25) | monophosphoryl lipid A | MUC1                 | unresectable stage III NSCLC SD or better after first-line RCT | START/ NCT00409188  |
| TG4010  | viral vector | MUC1                | stage IIIB/IV with first-line chemotherapy |                     |
| CimaVax EGF-Rp64k | montanide ISA51 | EGF                | stage IIIB/IV NSCLC SD or better after first-line chemotherapy | NCT00516685        |
| Lucanix (Belagenpumatumucel-L) | allogenic cell lines | TGF-$\beta$ antisense plasmid | stage III (T3N2)-IV NSCLC, SD or better after first-line platinum-based CHT | STOP/ NCT00676507  |

The fact that, while these substances are present on the cells of other mammals, including primates, they are not present on healthy human cells. Cruijsen et al. conducted research on the relation between NGcGM3 expression and angiogenesis. After analysing 176 NSCLC, they found that 90% of the obtained immunohistochemical reaction results were positive [55]. The vaccine was obtained by immunizing BALB/c mice with P3 antibodies, reacting specifically with NGcGM3. Vacines containing 1E10 (racotumomab) are capable of stimulating immunological reaction and producing anti-idiotype antibodies.

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of patients treated adjuvantly or in patients with minimal residual disease. The combination of chemotherapy and immunotherapy in treating advanced diseases also appears promising as it is known that platinum derivatives may induce immunogenic cell death, thus heightening the effect of the vaccine.

The above article focused on the vaccines whose efficacy and safety were evaluated in phase III clinical trials. Although some of the trials ended unsuccessfully, some of the results are promising. Therefore, designing further studies is certainly needed in order to identify the patients for whom immunotherapy may be most advantageous.

The authors declare no conflict of interest.

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