Thyroid ultrasound image classification using a convolutional neural network

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Background: Ultrasound (US) is widely used in the clinical diagnosis of thyroid nodules. Artificial intelligence-powered US is becoming an important issue in the research community. This study aimed to develop an improved deep learning model-based algorithm to classify benign and malignant thyroid nodules (TNs) using thyroid US images.

Methods: In total, 592 patients with 600 TNs were included in the internal training, validation, and testing data set; 187 patients with 200 TNs were recruited for the external test data set. We developed a Visual Geometry Group (VGG)-16T model, based on the VGG-16 architecture, but with additional batch normalization (BN) and dropout layers in addition to the fully connected layers. We conducted a 10-fold cross-validation to analyze the performance of the VGG-16T model using a data set of gray-scale US images from 5 different brands of US machines.

Results: For the internal data set, the VGG-16T model had 87.43% sensitivity, 85.43% specificity, and 86.43% accuracy. For the external data set, the VGG-16T model achieved an area under the curve (AUC) of 0.829 [95% confidence interval (CI): 0.770–0.879], a radiologist with 15 years’ working experience achieved an AUC of 0.705 (95% CI: 0.659–0.801), a radiologist with 10 years’ experience achieved an AUC of 0.725 (95% CI: 0.653–0.797), and a radiologist with 5 years’ experience achieved an AUC of 0.660 (95% CI: 0.584–0.736).

Conclusions: The VGG-16T model had high specificity, sensitivity, and accuracy in differentiating between malignant and benign TNs. Its diagnostic performance was superior to that of experienced radiologists. Thus, the proposed improved deep-learning model can assist radiologists to diagnose thyroid cancer.

Keywords: Thyroid cancer; ultrasonography; deep learning; visual geometry group

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to significant inter-observer and intra-observer differences (4-8). In addition, the classification of thyroid cancer by US is quite time consuming and labor intensive.

In recent decades, machine learning-based solutions have developed and attracted a great deal of attention in medical imaging studies. Medical images contain key information that reflect the underlying physiology of tumors that may be imperceptible to the human eye (9,10). Recently, convolutional neural network (CNN) algorithms have had success in analyzing medical imaging classification tasks, including diabetic retinopathy categorization (11), and skin lesion assessments (12), and in the monitoring of acute neurologic events (13). The potential types and numbers of deep-learning algorithms have also boomed in recent decades (14). Traditional feature extraction methods require a clear understanding and a comprehensive design to define hand-crafted features (15,16). Conversely, CNN models automatically derive and optimize features from data sets based on a defined objective (15,16). In 2014, Simonyan and Zisserman developed a CNN model called the Visual Geometry Group (VGG)-16 model with an accuracy of 92.7% in ImageNet (17).

This study aimed to develop an improved VGG-16 model based on a CNN algorithm to differentiate between benign and malignant TNs automatically in US images. The secondary objective was to compare the results of the machine-learning model with those of junior and senior radiologists.

We present the following article in accordance with the STARD reporting checklist (available at https://dx.doi.org/10.21037/atm-21-4328).

Methods

This retrospective study was approved by the Institutional Review Board of Pudong New Area People’s Hospital Affiliated to Shanghai University of Medicine and Health Sciences (the Hospital), and patients’ informed consent was waived due to the nature of this retrospective study. All procedures performed in this study involving human participants were in accordance with the Declaration of Helsinki (as revised in 2013).

Study population

Between March 2018 and May 2020, 832 patients, who had been referred to the Hospital for thyroid US examinations, were enrolled in this study. Patients were excluded from the study if they met any of the following exclusion criteria: (I) had no pathological results; (II) had no clear US images; and/or (III) had a history of treatment before the US examination. All the included TNs underwent fine-needle aspiration biopsy (FNAB) and/or surgery pathology within 1 month of the US examinations. Patients with TNs with benign cytologic results were followed-up with for more than 6 months. Ultimately, 592 patients with 600 TNs were included in the internal training, validation, and testing data set with a balanced random selection of 50% benign and 50% malignant TNs. The rest of the patients (n=187) with 200 TNs were included in the external test data set (see Figure 1).

US scanning

All thyroid gray-scale US examinations were performed in the Hospital using Siemens S3000, GE Vivid E95, Mindray Resona 7, Philips Epic 7, and Toshiba Apolio 500 US machines with a high-frequency linear probe of 10 MHz. These machines are commonly used to capture US images in real clinical practice. US images from different machines were included to ensure the robustness of the trained CNN model. Both longitudinal and transverse planes of the TNs were recorded by 1 radiologist with more than 10 years’ working experience. For example, among the lesions used to develop the CNN model, 299 (49.8%) transverse planes of TNs were obtained. All the images were acquired and stored in red, green and blue (RGB) format.

Building a CNN model

To expand the traditional artificial neural network, the CNN used an additional convolutional layer to optimize the feature used with the best fit to the learning objective. By using the power of supervised learning, the introduction of a convolutional layer allows a classifier to efficiently search for the optimal feature in a large feature space, which provides a great advantage in image classification and has quickly become one of the most popular tools in machine learning. Specifically, convolutional layers consist of a list of filters and activation functions with pooling. The weights of these functions are updated iteratively through back propagation by minimizing the loss between the end value and the actual expectation.

By nature, a CNN model, especially the convolutional layer, requires an enormous amount of data for optimization. However, medical data are known to be
difficult to collect due the quality and ethical issues involved. Thus, we adopted a geometrical method and singular value decomposition (SVD) method to augment the training data set. The geometric method used rotations and mirrors of the original images to mimic images of different variations. The images were rotated 90, 180, and 270 degrees in our experiment (see Figure 2). The SVD method uses the principle of SVD to project the image into another oriented space, which maintains the general appearance of the image but with a slight difference in the values.

**The VGG-16T model**

The VGG-16 architecture comprises 24 layers (including the flattening and dropout layers), which include 13 convolution layers, 5 pooling layers, and 3 fully connected layers with dropouts in between (for details of the structure and parameters, see Table 1). All the convolution layers used the rectified linear unit (ReLU) activation function, which sets the value to 0 for negative input values. Our proposed method was based on the VGG-16 architecture but included additional batch normalization (BN) and dropout layers in addition to the fully connected layers (see Figure 3). In the neural network accelerator community (18,19) and other deep-learning models (20-22), BN and the ReLU are commonly used to train deep-learning models (23). A BN can improve training by clearly normalizing inputs of all layers to have 0 means and unit variances. To ensure appropriate distributions, a BN can also lower the difficulty of annealing training rates and initializing parameters. Thus, a BN can improve and accelerate CNN models.

The pooling layers were 2×2 with a stride size of 2, while the convolutional layers were 3×3 with a stride size of 1. The default input image size was 224×224. However, the size of the feature map was reduced by 50% after each pooling layer. The last feature map before the fully connected layers was 7×7, had 512 channels, and was flattened to a 25,088 (7×7×512) dimensional vector.

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**Figure 1** Study workflow of the population. US, ultrasound; FNAB, fine-needle aspiration biopsy.
The original output of the VGG-16T model comprised 1,000 classes. To fit the objective of our current research, we modified it into 2 classes (i.e., the benign and malignant TNs classes). We conducted a 10-fold cross-validation to analyze the performance of the VGG-16T model. Ninety percent of the data set was used for training and validation, while the remaining 10% was used to test each fold.

**Statistical analysis**

We compared the performance of the VGG-16 model, the VGG-16T model, and the radiologists in diagnosing thyroid cancer using receiver operating characteristics (ROCs) curves and the areas under the curves (AUCs). Cytologic and pathologic results were used as gold standards. SPSS (version 24.0, SPSS Inc., Chicago, IL, USA) was used for the data analysis. P values less than 0.05 were considered statistically significant.

**Results**

**Basic characteristics of patients and nodules**

In total, 800 TNs (training cohort: n=600; external test cohort: n=200) were found in 779 patients, including 400 (50.0%) benign and 400 (50.0%) malignant TNs. Among the 779 patients, 212 were male and 567 were female; the mean age of the patients was 54.6±6.2 years (range, 28–67 years). The 300 malignant TNs in the training cohort comprised 217 papillary thyroid carcinomas, 24 follicular carcinomas, 15 medullary carcinoma, and 44 nondifferentiated carcinomas. The 300 benign TNs in the training cohort comprised 241 adenomatous goiters, 3 follicular adenomas, and 56 cysts (see Table 2).
Diagnostic performance of the VGG-16T model in the training and external test cohorts

The results for the training data set showed that the VGG-16 model had 81.67% sensitivity, 81.00% specificity, and 81.34% accuracy. The improved VGG-16T algorithm had 87.43% sensitivity, 85.43% specificity, and 86.43% accuracy. Among the 10-fold results, Model 7 had the highest sensitivity (92.33%), specificity (90.33%), and accuracy (91.33%) (see Table 3). Thus, Model 7 was used on the external cohorts. The VGG-16T model in the external cohorts had 85.00% sensitivity, 79.00% specificity, and 82.00% accuracy.

Comparison between the VGG-16T model and human observers

The 3 radiologists (1 with 5 years’ experience, 1 with 10 years’ experience, and 1 with 15 years’ experience), who were blind to the cytology data, performed differential diagnoses using the US images of the external cohort. In the external set, the AUC of the VGG-16T model was 0.829 [95% confidence interval (CI): 0.779–0.879]. The VGG-16T model achieved the highest sensitivity of 85.00%, followed by the radiologist with 10 years’ experience (76.00%), the radiologist with 15 years’ experience (75.00%), and the radiologist with 5 years’ experience (71.00%) (see Table 4). Additionally, the VGG-16T model achieved the highest specificity (79.00%), followed by the radiologist with 15 years’ experience (71.00%), the radiologist with 10 years’ experience (69.00%), and the radiologist with 5 years’ experience (61.00%) (see Figure 4). On average, the VGG-16T model performed significantly better than the 3 radiologists, especially in terms of sensitivity.

Discussion

It is widely believed that artificial intelligence (AI) will not be able to take the place of radiologists in the upcoming years. However, medical experts can still benefit from AI-based solutions to extend their understandings of TNs in their routine practice. Previous studies have adopted different classifier models, such as naïve bayes classifiers, support vector machines, and histogram analyses, in thyroid US imaging to increase classification accuracy (24,25). Additionally, the findings of the present study provided further evidence that a CNN-based solution could also be applied to US thyroid diagnoses. In the internal cohort, the VGG-16T model had a sensitivity of 87.43%, a specificity of 85.43%, and an accuracy of 86.43%. Compared to the junior and senior radiologists, the VGG-16T model was superior at differentiating between benign and malignant TNs. The proposed algorithm indicates that adding a BN before the ReLU can accelerate and improve the training results. The VGG-16T model had a higher sensitivity (81.67%), a higher specificity (81.00%), and a higher accuracy than the VGG-16 model (81.34%).

The CNN, which was first proposed by Fukushima (26), is a supervised learning model that captures high-dimensional non-linear mappings between input and output. InceptionNet, VGGNet, and AlexNet are examples of
deep-learning models that have been introduced into image classification. The VGG-16 model is a CNN model that has been said to perform better than the Inception v3 model in classifying medical images (27,28). Ye et al. (29) proposed a non-segmentation algorithm, VGG-16 model, to classify 740 and 861 benign TNs. Their model had a sensitivity of 87%, a specificity of 85.32%, and an accuracy of 86.12%. Compared to their study, the boundary of TNs in this study were cropped by a radiologist, which increase the accuracy of the TN boundaries. This may have contributed to the slightly higher sensitivity (87.43%) and specificity (85.43%) of our results. Zhou et al. (30) collected 1750 TNs and 3852 TNs from 2 sources to build online transfer learning (OTL) models to classify malignant and benign TNs, one of which was a VGG-16-based transfer learning model. That model achieved a sensitivity of 74.6% (validation: 76.7%) and a

Table 2 Baseline characteristics of the study population

| Characteristics | Training | Testing |
|-----------------|----------|---------|
| Malignant       |          |         |
| Benign          |          |         |
| Patients (years old) | 52.3±8.9 | 58.1±11.3 |
| 63.6±17.2       | 58.0±7.3 |
| Planes of US images |          |         |
| Longitudinal    | 163      | 179     |
| 40              | 39       |
| Transverse      | 137      | 121     |
| 60              | 61       |
| US machine types |          |         |
| Siemens         | 49       | 65      |
| 25              | 9        |
| GE              | 82       | 59      |
| 34              | 25       |
| Mindray         | 18       | 60      |
| 18              | 40       |
| Philips         | 122      | 15      |
| 13              | 6        |
| Toshiba         | 29       | 101     |
| 10              | 20       |

US, ultrasound; GE, GE Healthcare.

Figure 3 VGG-16T architecture. VGG-16T, Visual Geometry Group-16T; BN, batch normalization; ReLU, rectified linear unit.
Our model achieved a higher sensitivity (training: 87.43%, external testing: 85.00%) and a comparable specificity (training: 85.43%, external testing: 80.33%); however, this may be due to the more balanced training samples in the present study. To the best of our knowledge, this is the first study to develop an improved VGG-16-based algorithm to classify thyroid cancer.

The introduction of a deep-learning method in thyroid cancer prediction would provide a number of benefits in clinical practices. First, our CNN-based solution produced consistent predictions for the same images. Thus, substantial inter-observer variance among radiologists could be eliminated. Second, the application of a deep-learning algorithm could accelerate the diagnosis of TNs, which could increase the daily diagnostic capacity of US departments in China. Finally, our small-scale

| Table 3 10-fold cross-validation results of the internal data set |
|---------------------------------|----------------|----------------|----------------|
| Internal data set               | Sensitivity (%)| Specificity (%)| Accuracy (%)   |
| Model 1                         | 88.00          | 95.00          | 91.50          |
| Model 2                         | 89.00          | 83.33          | 84.83          |
| Model 3                         | 87.00          | 89.67          | 88.33          |
| Model 4                         | 88.33          | 87.00          | 87.67          |
| Model 5                         | 85.67          | 80.33          | 80.33          |
| Model 6                         | 81.00          | 83.33          | 82.17          |
| Model 7                         | 92.33          | 90.33          | 91.33          |
| Model 8                         | 86.67          | 81.67          | 82.00          |
| Model 9                         | 86.33          | 79.67          | 83.00          |
| Model 10                        | 90.00          | 84.00          | 87.00          |

| Table 4 Diagnostic performance of VGG-16T and radiologists |
|---------------------------------|----------------|----------------|----------------|----------------|----------------|
| Radiologist (5 y)               | 71.00          | 61.00          | 66.00          | 0.660          | 0.584–0.736    |
| Radiologist (10 y)              | 76.00          | 69.00          | 72.50          | 0.725          | 0.653–0.797    |
| Radiologist (15 y)              | 75.00          | 71.00          | 73.00          | 0.730          | 0.659–0.801    |
| Radiologist (Avg)               | 74.00          | 67.00          | 70.50          | 0.705          | 0.632–0.778    |
| VGG-16T                         | 85.00          | 79.00          | 82.00          | 0.829          | 0.770–0.879    |

VGG-16T, Visual Geometry Group-16T. AUC, the areas under the curves.

Figure 4 ROCs for the VGG-16T model and radiologists. VGG-16T, Visual Geometry Group-16T; ROCs, receiver operating characteristics; AUC, the areas under the curves.
results showed that the VGG-16T model outperformed radiologists, but a larger study needs to be conducted to confirm these results.

Our study had some limitations. First, we did not investigate correlations between the accuracy of the proposed deep-learning algorithm and TN size or cancer subtypes. Second, other ultrasound techniques also are applied to classify thyroid cancer, such as elastography. It will be our further work to add different ultrasound techniques combined with deep learning algorithms. Second, the number of samples used in this study was relatively low. A larger number of sample are required for future studies. Finally, the accuracy of the developed algorithm needs to be verified and enhanced.

Conclusions

Our in-house proposed VGG-16T model showed high specificity, sensitivity, and accuracy in differentiating between malignant and benign TNs. Additionally, its diagnostic performance was superior to that of experienced radiologists. The results of this pilot study are promising and indicate that deep-learning methods could assist clinicians in the classification of thyroid cancer.

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Footnote

Reporting Checklist: The authors have completed the STARD reporting checklist. Available at https://dx.doi.org/10.21037/atm-21-4328

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Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. This retrospective study was approved by the Institutional Review Board of Pudong New Area People’s Hospital Affiliated to Shanghai University of Medicine and Health Sciences (the Hospital), and patients’ informed consent was waived due to the nature of this retrospective study. All procedures performed in this study involving human participants were in accordance with the Declaration of Helsinki (as revised in 2013).

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