Background: Pulmonary vein (PV) stenosis is a highly morbid condition that can result after catheter ablation for PV isolation. We hypothesized that pulsed field ablation (PFA) would reduce PV stenosis risk and collateral injury compared with irrigated radiofrequency ablation (IRF).

Methods: IRF and PFA deliveries were randomized in 8 dogs with 2 superior PVs ablated using one technology and 2 inferior PVs ablated using the other technology. IRF energy (25–30 W) or PFA was delivered (16 pulse trains) at each PV in a proximal and in a distal site. Contrast computed tomography scans were collected at 0, 2, 4, 8, and 12-week (termination) time points to monitor PV cross-sectional area at each PV ablation site.

Results: Maximum average change in normalized cross-sectional area at 4-weeks was −46.1±45.1% post-IRF compared with −5.5±20.5% for PFA (P≤0.001). PFA-treated targets showed significantly fewer vessel restrictions compared with IRF (P≤0.023). Necropsy showed expansive PFA lesions without stenosis in the proximal PV sites, compared with more confined and often incomplete lesions after IRF. At the distal PV sites, only IRF ablations were grossly identified based on focal fibrosis. Mild chronic parenchymal hemorrhage was noted in 3 left superior PV lobes after IRF. Damage to vagus nerves as well as evidence of esophagus dilation occurred at sites associated with IRF. In contrast, no lung, vagal nerve, or esophageal injury was observed at PFA sites.

Conclusions: PFA significantly reduced risk of PV stenosis compared with IRF postprocedure in a canine model. IRF also caused vagus nerve, esophageal, and lung injury while PFA did not.

Graphic Abstract: A graphic abstract is available for this article.

Key Words: atrial fibrillation ◼ cardiac electrophysiology ◼ catheter ablation ◼ dilation ◼ pulmonary vein stenosis
WHAT IS KNOWN?

- Irrigated radiofrequency deliveries to isolate the pulmonary veins (PVs) can produce thermal injuries leading to PV stenosis and collateral injury to the lungs leading to hemoptysis or lung infarction.
- Pulsed field ablation creates lesions through the nonthermal mechanism of irreversible electroporation which has been shown to reduce or eliminate collateral injuries that are observed from radiofrequency ablations.

WHAT THE STUDY ADDS?

- A more precise method of evaluating PV stenosis was developed that includes the use of 3-dimensional modeling based on computed tomography angiography with triplicate measures of cross-sectional area at the distal PV and ostial ablation sites.
- PV measurements were made preablation and at 2, 4, 8, and 12 weeks postablation to provide a detailed time course of the progression of PV stenosis from radiofrequency ablations while showing negligible changes in dimensions in pulsed field ablation treated sites.
- We present on collateral injury to the lungs, esophagus, and vagus nerves from radiofrequency deliveries within the PVs which were not present where pulsed field ablation was delivered.

Nonstandard Abbreviations and Acronyms

| Acronym | Term |
|---------|------|
| AF      | atrial fibrillation |
| CT      | computed tomography |
| IRF     | irrigated radiofrequency |
| PFA     | pulsed field ablation |
| PV      | pulmonary vein |
| PVS     | pulmonary vein stenosis |

Reduced PV Stenosis With Pulsed Field Ablation

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high-voltage (1500 V), biphasic pulses to the multielectrode catheter. At each proximal PV site and then at each distal PV site, the catheter was rotated and positioned 4x for each PFA application of 4 pulse trains. The intent was to expose the PV lumens and all of the surrounding tissue to repeated PFA deliveries, including significant overlap of these energy deliveries by spiraling the electrode array into each PV ostium as well as more distally into the narrow, constrained canine PVs. More precisely, this included at each PV ostium, 4 array placements (rotated between placements) with 4 pulse trains per placement, resulting in 16 pulse trains applied at each PV ostium. This was followed by spiraling the array more distally into each PV, rotating the array within each distal PV to 4 distinct placements with 4 pulse trains delivered per placement, resulting in 16 pulse trains being delivered within each distal PV, deep within the lungs. The system was connected to a cardiac R-wave monitor to gate pulse train deliveries during the ventricular refractory period.

IRF applications were performed using a THERMOCOOL (Biosense-Webster) catheter powered by an Atakr II radiofrequency generator (Medtronic), irrigated with a CoolFlow (Biosense-Webster) pump. In distal PV locations, IRF was delivered at 25 to 30 watts (W) in a circumferential pattern for up to 2 minutes total duration (1.91±0.21 minutes) with an irrigation flow of 25 to 30 mL/min. In proximal PV locations, the same power was applied for up to 4 minutes (3.88±0.55 minutes) with an irrigation flow of 17 to 30 mL/min. No attempt was made to assess for electrical isolation of the PV muscle sleeves. Once all ablations were completed, the canines were recovered under veterinary supervision and followed for 12 weeks (83–85 days). No postprocedure anticoagulation or antiplatelet therapy was administered during the 12-week survival period. Follow-up cardiac-gated contrast CT scans were performed at 2, 4, 8, and at the 12-week termination. To enhance visualization of lesions during gross necropsy, TTC (10 mL of 10% TTC by weight) was administered intravenously, 5 minutes before euthanasia. Each canine was euthanized by introducing an electrophysiology catheter into the right ventricle and applying 9 V DC to induce ventricular fibrillation.

Three-Dimensional Modeling and Measurement of PV Cross-Sectional Area

An independent technician blinded to the site treatments was responsible for generating the 3-dimensional model of each PV anatomy based on the cardiac CT scans using Mimics software (Materialise, Leuven, Belgium; Figure 1C). Measurements were made of PV cross-sectional areas to document venous narrowing or stenosis at each ablation site (n=16 proximal and n=16 distal sites). PV anatomy at each time point was measured in a blinded manner in triplicate by the same analyst. Cross-sectional measurements were made from the blood volume model’s centerline. Cross-sectional area was used for statistical analysis as a more precise and robust measurement of PV reduction over time as opposed to multiple diameter measurements.
Control vessels were identified and measured at positions neighboring each of the main pulmonary vessels that received ablations. These vessels did not receive IRF or PFA and received no intended catheter manipulations within the anatomy. The control vessels provided a means of normalizing variations in the model due to differences in CT scan contrast brightness, modeling settings, animal growth, and weight loss/disease.

Pathology
Pathology analysis was performed by one board-certified, veterinary pathologist, experienced in device pathology, and blinded to the assignment of ablation modality for each sample. At necropsy, the heart, treated lung lobes, and adjacent esophagus and vagus nerves were examined. After fixation in 10% neutral buffered formalin, tissues were trimmed and pertinent PV, lung, esophagus and vagal nerve sections, each previously marked in necropsy to maintain spatial context, were forwarded for histology. Specimens were dehydrated, paraffin embedded, and sectioned at ≈4 µm. Slides were stained with hematoxylin and eosin and Masson trichrome. Histopathologic assessment included evaluation of local healing response including presence of endocardial thrombus. Moreover, collateral pathological findings interpreted as ablation sequelae were diagnosed. It was not the pathology objective to correlate the percent-stenosis that was calculated from in vivo imaging modalities to PV histomorphometry.

Statistical Analysis
Measured values were reported as mean and standard deviations. Comparisons between populations with continuous measurements were performed as a 2-sample t test (Minitab Inc. State College, PA). Comparisons between binary characteristics were performed using Fischer exact test. A P<0.05 was considered statistically significant.

RESULTS
Procedure Outcomes
Ablations were successfully performed at a total of 32 PVs treated in 8 canines. Seven canines were terminated after an average of 84.3 days (83–85 days) while one canine died early at 55 days. Neither ventricular arrhythmias nor AF were initiated by either energy source. IRF deliveries resulted in steam pops at 7 proximal and 3 distal positions. No coagulum was observed on the circular multielectrode catheters after PFA, but thermal coagulum deposits were observed on the catheters used for IRF in 4 instances. Seven of the 8 animals survived to the intended 12-week time point without clinical symptoms. The remaining animal expired during preparation for the 8-week CT scan due to an anesthesia incident unrelated to ablations or intercurrent disease.

Pulmonary Vein Stenosis
Baseline values for cross-sectional areas compared between all target locations were not significantly different between sites assigned to undergo IRF or PFA (P=0.797). After ablation, significant PV stenosis was observed at the IRF ablation sites whereas none was seen with PFA (Figure 2). Complete occlusion of the vessel was observed in association with IRF deliveries, but not with PFA deliveries (Figure 3). The maximum average change in normalized cross-sectional area at 4 weeks post-IRF ablation (compared with baseline) was −46.1±45.1% compared with −5.5±20.5% for PFA at 4 weeks (P≤0.001). At the 4-week time point, severe stenosis was measured in 6 of 32 (18.8%) IRF sites and 0 of 32 (0%) PFA delivery sites (P≤0.003). An example...
of the time course of PV cross-sectional area change over the 12-week duration is shown in Figure 4A and 4B.

A detailed analysis of proximal and distal sites for each energy form also demonstrates differences between the applied energies (Figure 4C). IRF and PFA treatment resulted in significant differences in cross-sectional area reduction at all time points in proximal sites ($P \leq 0.022$). PFA delivery in distal sites (1–4 cm into vein) demonstrated significantly less cross-sectional area reduction than IRF at each time point through 8 weeks ($P \leq 0.044$). Maximum area reduction occurred around the 2- to 4-week time points following IRF delivery at proximal (47.2% reduction) and distal (45% reduction) sites. Distal sites recovered at 8-week (20% reduction) time point and maintained this recovery at the 12-week analysis (18.9% reduction; Figure 4A).

Figure 4C summarizes the measured PV size changes (both diameter and cross-sectional area) over 12 weeks post-IRF and PFA as well as the untreated control vessels. Notably, there are 6 targets (19%) counted in the present study treated with IRF which experienced $>70\%$ diameter ($\approx 91\%$ cross-sectional area) reduction that may be defined as severely stenosed at 4 weeks postablation. PFA by contrast had no vessels (0%) which showed more than a 20% reduction in vessel diameter which was similar to the untreated control vessels. Although some control vessels underwent minor changes in diameter and cross-sectional area, this may be due to the effect of nearby radiofrequency treatment or animal subject variation.

**PV Ablations**

There were 2 ablation sites created in each of the PVs: one in the ostium (proximal) and one farther distal in the vein, deeper into the lung (distal). Ablated proximal myocardial tissue areas after PFA were all (16/16) continuously circumferential and transmurally replaced by fibrosis, were larger than after IRF (Figure 5) and were devoid of gross stenosis and thrombus. In contrast, 7/16 IRF ablations were circumferentially incomplete. Distal ablations well beyond the PV myocardial sleeve were found upon gross inspection at 15/16 IRF sites but only at 1/16 PFA sites (Figure 6). This indicates that gross inspection of non-myocardial tissue treated with PFA resulted in minimal grossly visible effects of the ablation. Via histopathology, 15/16 IRF sites and 10/16 PFA sites were observed...
Detection of evidence of distal site PFA deliveries deep in the PVs consisted mainly of microscopic evidence of mild alveolar fibrosis surrounding the distal PV energy delivery sites. All of the detected myocardial ablation sites resulted in consistent replacement fibrosis of the muscular portion of the targeted PVs devoid of thrombus and with no noteworthy histopathologic differences between the 2 energy modalities at 12 weeks. Importantly, there were no features that indicated adverse remodeling after PFA (eg, calcification, osseous metaplasia, vascular distortion, bronchiectasis, or emphysema). Large arteries in the vicinity of PFA lesions were normal.

Lung Tissue Damage

At necropsy, mild lung changes in the form of pleural fibrosis and hemosiderin discoloration was noted in three left

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**Figure 4.** Pulmonary vein (PV) area and diameter changes for control vessels, irrigated radiofrequency (IRF)–treated PVs, and pulsed field ablation (PFA)–treated PVs followed from baseline to 12 weeks.

Time point 0 represents baseline before the ablation in the summary graphs of distal (A) and proximal (B) PV ablation sites showing that IRF reached the most severe diameter reduction at the 4-week time point and recovered (distal) or maintained the reduction (proximal) for the remainder of the study. The same degree of reduction was not observed in the PVs treated using PFA. One subject was lost at the 8-week time point (*). The numbers of graded control or ablation site assessments of PV stenosis are shown in C for control, IRF-treated, and PFA-treated vessels at each study time point. Vessels meeting a 10%–70% diameter reduction (equal to 19%–91% area reduction) were counted among the total number of targets measured (far right). Decreased number of total targets at the 8-week time point is due to one deceased animal. PFA-treated targets never exceeded a 10% diameter reduction from baseline after the 2-week time point while IRF-treated targets experienced all stenosis levels, from >10% to >70% reduction at all time points.
superior pulmonary vein lobes after IRF treatment. Similar but lighter discoloration was observed in 3 of the PFA-treated right superior PV lobes. Fixed-tissue examination via cross sectioning of the lung at the distal ablation sites (Figure 6) allowed for the identification of IRF but not PFA lesions as focal fibrosis. On histology, prominent alveolar fibrosis and damage to bronchi was only found after IRF treatment in nearby PVs. In 8 of them, there

| Table. | PV Stenosis Measurements at IRF and PFA Ablation Sites Across all 12 Week of Chronic Survival |
|--------|--------------------------------------------------------------------------------------------------|
|        | Week | IRF | PFA | P Value |
| Starting vessel size | | | | |
| All, mm² | 0 | 74.1±64 | 70.24±54.01 | 0.797 |
| Distal, mm² | 0 | 38.3±30.4 | 45.1±28.4 | 0.518 |
| Proximal, mm² | 0 | 109.8±69.4 | 95.4±62.3 | 0.540 |
| PV stenosis, % change vs baseline | | | | |
| Standard, % | 2 | -37.3±39.1 | -3.6±21.5 | <0.001 |
| 4 | -31.4±45.3 | 9.1±21.6 | <0.001 |
| 8 | -13.3±43.3 | 20.4±28.4 | 0.001 |
| 12 | -5.2±48.7 | 20.6±22.9 | 0.015 |
| Normalized, % | 2 | -40.3±37 | -6±17 | <0.001 |
| 4 | -46.1±45.1 | -5.5±20.5 | <0.001 |
| 8 | -30.4±39.3 | 3.3±22.6 | <0.001 |
| 12 | -32.1±53 | -6.3±22.4 | 0.023 |
| >70% reduction, n | 2 | 3/32 | 0/32 | 0.238 |
| 4 | 6/32 | 0/32 | 0.025 |
| 8 | 3/28 | 0/28 | 0.236 |
| 12 | 3/28 | 0/28 | 0.236 |
| PV stenosis distal, % change vs baseline | | | | |
| Normalized, % | 2 | -43±34.1 | -3.1±19.5 | <0.001 |
| 4 | -45±45.2 | -1.4±23 | 0.002 |
| 8 | -20±29.3 | 6±24.2 | 0.044 |
| 12 | -18±53.9 | -3.9±25 | 0.358 |
| PV stenosis proximal, % change vs baseline | | | | |
| Normalized, % | 2 | -37.6±40.6 | -10.2±13.7 | 0.020 |
| 4 | -47.2±46.5 | -9.7±17.3 | 0.007 |
| 8 | -40.6±38.4 | 0.7±21.5 | 0.002 |
| 12 | -45.3±50.4 | -8.7±20 | 0.022 |

Percent changes in vessel area are computed (standard), normalized to the control vessel measurements, and graded against current criteria for PVS, and assessed separately based on anatomic targets. Across all sites, PFA statistically significantly reduced PVS as compared with IRF regardless of the metric used. IRF indicates irrigated radiofrequency; PFA, pulsed field ablation; PV, pulmonary vein; and PVS, pulmonary vein stenosis.

Figure 5. Necropsy findings, enhanced by TTC staining, of targeted pulmonary vein (PV) orifices (proximal) after pulsed field ablation (PFA; circled in black) or irrigated radiofrequency (IRF; circled in white) ablations 12 wk after treatment for 2 different subjects.

In the image on the left, the subject’s inferior PVs were treated with PFA (white, circled) and superior PVs were treated with IRF (black, circled). In the image on the right, the subject’s inferior PVs were treated with IRF (black, circled) and superior PVs were treated with PFA (white, circled). Rulers are in cm and mm units.
was lingering necrosis with peripheral regeneration of the bronchial cartilage plates (Figure 7). In some IRF samples, the bronchial lumen was filled with mucus, the local smooth muscle was absent, or the bronchial epithelium had lost its native undulating pattern and was flat. Histology samples examined from grossly normal areas but corresponding to PFA treatment to PVs showed nearby lung tissue unaffected, apart from mild fibrosis.

**Vagus Nerve Damage**

Damage to the right and left vagus nerves was grossly observed in association with abutting IRF target sites at the inferior PVs only (Figure 8). Lesions were readily recognized as focal perineural fibrosis. All but one vagal nerve near these IRF targets were damaged, showing degeneration with severe endo- and peri-neural fibrosis on histology. These changes were not observed in any of the PFA counterparts. None of the vagal histology samples near PFA treatments demonstrated histopathologic changes such as Wallerian degeneration, inflammation, or axonal changes.

**Esophageal Findings**

Mild but noteworthy dilation of the esophagus was found in 3 animals that underwent bilateral IRF deliveries to their inferior PVs. This finding was attributed to the bilateral vagal nerve damage that occurred but caused no clinical symptoms.

**DISCUSSION**

The study demonstrated that PFA deliveries within the PVs did not result in significant PVS at any time point during the 12 weeks follow-up. In contrast, IRF at similar sites resulted in significant PVS and sometimes complete PV occlusion. The PV narrowing effect of IRF peaked in the 2- to 4-week postablation time points with some veins showing mild recovery at 8- and 12-week time points. Animals were used as their own controls, and PFA-treated PV segments underwent minimal reduction in diameter and area (eg, none >20%, 36%, respectively) compared with the nonablated control segments during follow-up (Figure 4C). In addition, this study showed that in comparison to IRF, PFA showed no vagus nerve injury nor esophageal changes, and less damage to the lung parenchyma. This study was intentionally designed to target worst-case scenario application sites that do not replicate standard clinical practice, to test the safety profile of PFA in comparison to IRF.

**Previous Studies**

The prevalence of PV stenosis varies among reports, but a study of AF ablation reported 0.5% (52/10,368 patients) significant (>70% diameter reduction) PV stenosis among patients undergoing contrast-enhanced spiral CT scans routinely at 3 to 6 months postablation to assess for PVS. The risk of PV stenosis decreases if ablation within the vein ostium is minimized, but ablations within the ostium may be unavoidable in some cases to completely isolate the veins. Since PV stenosis can be asymptomatic, the prevalence of PV stenosis may be significantly underestimated if routine imaging is not performed. A prior preclinical study reported a low incidence of PV stenosis with PV ablation performed with irreversible electroporation. Recent pilot trials have supported the safety of PFA with clinical ablation of PVs. The present study is the first quantitative direct comparison...
of PFA to IRF based on 3-dimensional measurements regarding the magnitude of PVS caused by ablation.

**Mechanism of PV Stenosis**

The mechanism of radiofrequency ablation tissue injury is thermal whereas the primary mechanism of cell death with PFA is irreversible electroporation, a disruption of the cell membrane permeability. The likely dominant mechanism for PV stenosis after radiofrequency ablation is thermally mediated. Heating pulmonary venous tissues above 60°C causes contraction and loss of compliance coincident with collagen denaturation and disruption of its typical matrix. The effects were most certainly attributable to radiofrequency energy delivery rather than mechanical trauma due to catheter manipulation since no stenosis occurred with PFA, despite the introduction of a spiral electrode array deep into the PVs.

**Figure 7.** Photomicrographs of distal pulmonary veins (an asterisk marks each lumen) that were ablated with irrigated radiofrequency (IRF) or with pulsed field ablation (PFA) to evaluate potential chronic (12-week old) collateral damage. IRF ablations caused widespread perivenous fibrosis (F) with loss (arrow heads) and distortion of bronchiolar cartilage (C), as well as mucus (M) accumulation and epithelial flattening in nearby airways. No evidence of increased fibrosis or airway changes were noted after PFA. Masson trichrome stains. A indicates artery.

**Figure 8.** Findings of the right and left vagus nerves (marked by dotted lines) after pulmonary vein ablations via irrigated radiofrequency (IRF; top row) or pulsed field ablation (PFA; bottom row) in the distal site of the ipsilateral pulmonary vein (arrow heads) 12 wk after treatment. Juxtaposed to the IRF ablation sites, focally extensive fibrosis on the esophagus (E) that also involved the main vagus nerve branch (arrow) was noted in IRF 7/8 treatments. Affected vagal nerves showed degeneration with severe endo- and perineural fibrosis on histology (Masson trichrome stains). No gross or histological lesions were detected after PFA treatments. Ao indicates aorta; LI, left inferior; and RI, right inferior.
Study Limitations

This preclinical animal study is limited due to the differences in anatomy and physiology between canines and humans. For example, PVS peaked in dogs at 2 to 4 weeks and resolved to some extent in individual specimens, but PVS does not resolve in humans. There were only 8 animals included in this study. One animal experienced breathing complications related to anesthesia before undergoing the 8-week CT scan and could not be resuscitated. The PV dimensional data for this animal was truncated at the 4-week time point. The IRF catheter used in this study delivers radiofrequency energy through the tip of the catheter to a ground patch, which is a fundamentally different catheter delivery platform from the array of nine gold electrodes used to deliver PFA. Untreated control vessels used for normalization were significantly smaller than the main branches targeted for ablation, which may be a factor to consider when tracking the treated vessels against the untreated controls. Electrical isolation was not evaluated in this study since the sites chosen for ablations contained limited or no myocardial tissue, preventing any useful electrical assessment of isolation.

Conclusions

Serial CT imaging and 3-dimensional modeling was used to quantitatively evaluate PV stenosis in canines, demonstrating that IRF deliveries resulted in significant PV stenosis, peaking at 2 to 4-weeks after radiofrequency ablation. PFA deliveries had a minimal venous narrowing effect when delivered at proximal sites and deep within the PV anatomy. Vagal nerve, lung tissue, and esophageal injuries were produced by IRF while no such injury was observed from PFA. These findings merit further preclinical studies to evaluate the safety and efficacy of PFA for treatment of AF.

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