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| Citation       | Li, Wei et al. “Broadband Optical Properties of Large-Area Monolayer CVD Molybdenum Disulfide.” Physical Review B 90.19 (2014): n. pag. © 2014 American Physical Society |
|----------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| As Published   | http://dx.doi.org/10.1103/PhysRevB.90.195434                                                                                                                                                      |
| Publisher      | American Physical Society                                                                                                                                                                         |
| Version        | Final published version                                                                                                                                                                          |
| Citable link   | http://hdl.handle.net/1721.1/91935                                                                                                                                                               |
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Broadband optical properties of large-area monolayer CVD molybdenum disulfide

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(Received 2 July 2014; revised manuscript received 30 September 2014; published 21 November 2014)

Recently emerging large-area single-layer MoS2 grown by chemical vapor deposition has triggered great interest due to its exciting potential for applications in advanced electronic and optoelectronic devices. Unlike gapless graphene, MoS2 has an intrinsic band gap in the visible which crosses over from an indirect to a direct gap when reduced to a single atomic layer. In this paper, we report a comprehensive study of fundamental optical properties of MoS2 revealed by optical spectroscopy of Raman, photoluminescence, and vacuum ultraviolet spectroscopic ellipsometry. A band gap of 1.42 eV is determined by the absorption threshold of bulk MoS2 that shifts to 1.83 eV in monolayer MoS2. We extracted the high precision dielectric function up to 9.0 eV, which leads to the identification of many unique interband transitions at high symmetry points in the MoS2 momentum space. The positions of the so-called A and B excitons in single layers are found to shift upwards in energy compared with those of the bulk form and have smaller separation because of the decreased interactions between the layers. A very strong optical critical point predicted to correspond to a quasiparticle gap is observed at 2.86 eV, which is attributed to optical transitions along the parallel bands between the M and Γ points in the reduced Brillouin zone. The absence of the bulk MoS2 spin-orbit interaction peak at ~3.0 eV in monolayer MoS2 is, as predicted, the consequence of the coalescence of nearby excitons. A higher energy optical transition at 3.98 eV, commonly occurring in bulk semiconductors, is associated with a combination of several critical points. Additionally, extending into the vacuum ultraviolet energy spectrum is a series of newly observed oscillations representing optical transitions from valence bands to higher conduction bands of the monolayer MoS2 complex band structure. These optical transitions herein reported enhance our understanding of monolayer MoS2 as well as of two-dimensional systems in general and thus provide informative guidelines for MoS2 optical device designs and theoretical considerations.

DOI: 10.1103/PhysRevB.90.195434

PACS number(s): 78.20.Ci, 78.40.Fy, 73.61.Ey, 78.66.Fd

I. INTRODUCTION

MoS2, an emerging new class of atomically thin material down to a unit cell thickness (monolayer), has shown intriguing physical properties and exciting prospects for a variety of applications [1–7]. The monolayer MoS2 is composed of a hexagonal plane of Mo atoms sandwiched between two hexagonal planes of S atoms in a trigonal prismatic arrangement, while its bulk counterpart can be considered as a stack of many S-Mo-S sheets weakly bonded by van der Waals force [8]. Unlike graphene, there is an intrinsic band gap in MoS2, which changes from an indirect gap of \( E_g \approx 1.3 \) eV in bulk to a direct gap in monolayer [8,9]. Therefore, monolayer MoS2 is more attractive than graphene for future transistors and logic circuit applications where a high on/off current ratio is required [2,5,10–12]. More importantly, due to the fact that the band gap of monolayer MoS2 is direct with the energy in the visible range of the spectrum, it could be used in light emitting diodes, photodetectors or solar cells, and transparent, light, and flexible devices [1,2,4,6,13]. With such a broad potential application base, a comprehension of the optical properties of MoS2 will elucidate its electronic band structure which is critical to electronic and optoelectronic devices researchers. It is known that the connection of the microscopic details manifested in the electronic band structure of a solid with experimental macroscopic observables can be established through a response function. In the case of probing photons and interacting electrons, the commonly used response function is the wave vector and frequency dependent complex dielectric function [14]. In most previous experimental studies of monolayer or ultrathin MoS2, small flakes (several to tens of micrometers) were produced by mechanical exfoliation [3,5,8,9]. Such a small sample size generally hinders the measurement of the complex dielectric function of MoS2 by optical techniques. An up-to-date literature survey shows the optical response of MoS2 has been reported by only a few research groups, and most are theoretical studies [8,9,15–20]. The reported experimental studies focused on photoluminescence (PL), absorbance, optical contrast, and photoconductivity in the vicinity of the K point in its Brillouin zone, and the corresponding spectral ranges are rather limited [8,9,19,21–23]. On the other hand, there are limited reports on the broad band optical dielectric function of monolayer MoS2 in the published literature [24], and it is expected to be distinct from that of bulk MoS2. Not until very recently could large-area monolayer MoS2 with sample sizes up to millimeters be grown by chemical vapor deposition (CVD) [25–28]. Such a large-sized sample
facilitates the optical measurement of MoS₂ optical response function.

Spectroscopic ellipsometry (SE) is a nondestructive and submonolayer-sensitive characterization method that can be used to accurately measure material dielectric functions. Spectroscopic ellipsometry is an important optical method to characterize two-dimensional (2D) materials. For instance, it has been shown to successfully investigate the dielectric functions of graphene [29–31]. Recently, SE has also been used to determine the dielectric function of CVD-grown MoS₂ [28,29]. Yim et al. [32] have found that, in their four-phase ellipsometric model, the refraction index (n) and the extinction coefficient (k) of their CVD MoS₂ exhibit a dependence on the MoS₂ film thickness. Shen et al. [24], using terahertz (THz) absorption and SE, investigated the charge dynamics to show the semiconductor behavior and a blueshift of ~0.2 eV of charge transfer bands compared with those of the bulk material and also determine the dielectric function from 0.73 to 6.42 eV.

In this paper, a comparative study of broadband (1 to 9 eV) dielectric function of monolayer and bulk MoS₂ by SE is experimentally reported. The optical band gap of 1.42 eV was observed for bulk MoS₂, but shifts to a higher energy of 1.83 eV for single-layer MoS₂. The so-called A and B excitons due to the direct d-d transitions in monolayer are accurately derived to be 1.88 and 2.02 eV by lineshape fitting of the experimental data, which are blueshifted as compared with those of the bulk form. A series of optical critical points (CPs) at higher energy than the A and B excitons are clearly observed with a very strong absorption at 2.86 eV, which is attributed to optical transitions along the parallel bands between the M and Γ points in the reduced Brillouin zone. Above these transitions, a critical point at 3.98 eV is observed and can be associated with a combination of several critical points as seen for common semiconductors [14]. Deep in the ultraviolet (UV) region, the dielectric function shows a series of absorption peaks or fine features separated by about 0.7 eV. Our high precision dielectric function data facilitates the accurate optical properties analysis, and the results agree well with previous work including ellipsometry [24], absorption measurements [8], PL measurements [9], and theoretical calculation [16–18].

II. EXPERIMENTAL SECTION

Chemical vapor deposition in a hot-wall furnace is adopted to synthesize a monolayer of MoS₂ directly on SiO₂/Si substrates using MoO₃ and S powders as the reactants. The substrates are treated to make the surface hydrophilic prior to the application of perylene-3,4,9,10-tetracarboxylic acid tetrapotassium salt (PTAS). As discussed in Ref. [33], the PTAS promotes monolayer growth of MoS₂ by serving as a nucleation site for the precursors. Large-area MoS₂ layers can be directly obtained on amorphous SiO₂ surfaces without the need to use highly crystalline metal substrates or an ultrahigh vacuum environment. The resulting MoS₂ films are highly crystalline, and their size is up to several millimeters. Samples were transferred from the growth substrate using the poly(methyl methacrylate) (PMMA) mediated wet method [26]. PMMA was spin-coated on the samples and allowed to dry at room temperature overnight. The PMMA-supported MoS₂ was released from the Si/SiO₂ substrate in a 0.2 M KOH solution heated to 60 °C. The sample was cleaned in three deionized (DI) water baths and then placed on the fused silica substrate. After the sample was allowed to dry at 40 °C, it was baked at 180 °C to improve adhesion between the MoS₂ and the substrate. The PMMA was removed via an overnight soak in acetone. Bulk MoS₂ was commercially purchased from SPI Supplies.¹

Spectroscopic ellipsometry measurements were performed in a nitrogen gas filled chamber at room temperature on a vacuum UV spectroscopic ellipsometer with a light photon energy from 1.0 to 9.0 eV in steps of 0.01 eV. The SE data were taken at multiple angles of incidence of 65°, 70°, and 75°. The three-phase ellipsometric model consisting of the substrate (SiO₂), thin film (MoS₂), and ambient (air) was employed to extract the dielectric function of MoS₂. Without explicitly expressing the ellipsometric equation of the three-phase structure, it can be written as

\[
\tan \psi(\lambda) \exp[i \Delta(\lambda)] = f \left( \varepsilon_{\text{SiO}_2}, \varepsilon_{\text{MoS}_2}, \varepsilon_{\text{air}}, d_{\text{MoS}_2}, \phi, \lambda \right)
\]

The known parameters in this equation are the dielectric function of SiO₂ (\(\varepsilon_{\text{SiO}_2}\)) and air (\(\varepsilon_{\text{air}}\)), the thickness of MoS₂ (\(d_{\text{MoS}_2}\)), the angle of incidence (\(\phi\)), and wavelength (\(\lambda\)). The only two unknown parameters are the real and imaginary parts of the complex dielectric function of MoS₂ (\(\varepsilon_{\text{MoS}_2}\)). Therefore, with two measured parameters \(\psi\) and \(\Delta\) at each photon energy and with the known monolayer MoS₂ thickness 0.65 nm, \(\varepsilon_1\) and \(\varepsilon_2\) of MoS₂ can be directly calculated. For bulk MoS₂ (thickness >50 μm), \(\varepsilon_1\) and \(\varepsilon_2\) are directly calculated from the measured \(\psi\) and \(\Delta\). Since the thickness of monolayer MoS₂ is only 0.65 nm, it is presumed that the film is optically uniaxial with the out-of-plane dielectric function component different from the in-plane component. Experimentally, for such a ultrathin film, ellipsometry is known to be insensitive to the out-of-plane component and its ellipsometric response is determined by the in-plane component [34,35]. Therefore, in our experiment, \(\varepsilon_1\) and \(\varepsilon_2\) are considered to be pseudodielectric functions representing the in-plane component. Nonetheless, unlike graphene being a sheet of single atom, and MoS₂ has three atoms in the out-of-plane direction, its out-of-plane dielectric characteristic is arguably similar to that of the in-plane component [36].

Micro-Raman and PL measurements were performed with a WITec Alpha 300RA system using the 532 nm line of a frequency-doubled Nd:YAG laser as the excitation source. The spectra were measured in the backscattering configuration using a 100× objective and either a 600 or 1800 grooves/mm grating. The spot size of the laser was ~342 nm, resulting in an incident laser power density of ~140 μW/μm². A low laser power was used in order to avoid any significant heat-related effects in the Raman or PL signatures [37]. Raman and PL maps were developed over 25 × 25 μm regions using a 250 nm

¹Certain commercial equipment, instruments, or materials are identified in this report in order to specify the experimental procedure adequately. Such information is not intended to imply recommendation or endorsement by the National Institute of Standards and Technology, nor is it intended to imply that the materials or equipment identified are necessarily the best available for the purpose.
grid spacing. The integration time was 5 s for Raman and 1 s for PL.

III. RESULTS AND DISCUSSION

Figure 1(a) shows the optical image of the transferred sample, which appears to be free from contaminations and uniform (also see Fig. S1 [38]). Raman spectroscopy is commonly used to characterize the thickness of MoS2. Since there is no center of inversion for one molecular layer of MoS2, $E'$ and $A'$ are used instead of the common $E'_2$ and $A'_1$ notations. These two characteristic Raman modes, $E'$ at $\sim 385 \text{ cm}^{-1}$ and $A'$ at $\sim 405 \text{ cm}^{-1}$, are sensitive to MoS2 layer thickness. As the number of MoS2 layers increases, the frequency of $E'$ decreases, while the frequency of $A'$ increases [39,40]. Thus, the frequency difference between $E'$ and $A'$ is often used to identify the number of MoS2 layers. Figure 1(b) shows the Raman spectra averaged over the $5 \times 5 \mu m$ center area (400 spectra) of the sample in Fig. 1(a). The wave number difference between the $E'$ and $A'$ peak is 19 cm$^{-1}$, thus confirming that our MoS2 sample is monolayer [39]. Figures 1(c) and 1(d) display the Raman intensity mapping images of the $E'$ and $A'$ peak, respectively, which were taken from the whole area shown in Fig. 1(a), confirming the uniformity of a monolayer across the area.

It is known that, as the thickness of MoS2 decreases from bulk to a monolayer, the band gap crosses over from an indirect to direct gap [8,41]. Thus, the PL quantum efficiency increases [39,40]. Photoluminescence spectra were also obtained for our CVD-grown monolayer MoS2 samples. Shown in Fig. 2(a) are the PL spectra averaged over the $5 \times 5 \mu m$ center area (400 spectra) pictured in Fig. 1(a). Two PL peaks, known as A and B excitons [8,16] are observed at $\sim 1.86$ and $\sim 2.00$ eV, which are consistent with a previous report [9]. Shown in Figs. 2(b) and 2(c) are the PL mapping images of the A exciton peak intensity and energy, respectively. These maps were taken on the whole area of Fig. 1(a), which revealed slightly more variations, up to 50 meV in energy (minimum to maximum) across the sample, than the uniform Raman maps as shown in Figs. 1(c) and 1(d). Such variations may be due to the structural defects in the sample, which are difficult to detect by the PL energy and intensity mapping [22]. Another possible reason for the variations is the result of the fluctuating nature of the interfacial contact between the substrate and MoS2 that might affect the dielectric screening of the long-range Coulomb interaction [1,21].

The broadband dielectric function is measured by vacuum UV SE in this paper, as schematically illustrated in Fig. 3(a). Spectroscopic ellipsometry is a nondestructive and noncontact technique widely used to characterize optical properties of thin films [34]. Compared to other optical techniques such as transmission and reflection spectroscopy, absorbance and photoconductivity, SE is more advantageous in that both the real ($\varepsilon_1$) and imaginary ($\varepsilon_2$) part of the complex dielectric function are obtained simultaneously. Once $\varepsilon_1$ and $\varepsilon_2$ are known, other optical parameters of the material, e.g. refractive index, absorption coefficient, reflectivity, and loss function can be easily derived. The large area and high quality of MoS2 films can easily accommodate the ellipsometry light
FIG. 3. (Color online) The schematic of ellipsometry measurement and the dielectric function of the CVD-grown monolayer MoS$_2$. (a) Schematic of SE measurement. (b) The real ($\varepsilon_1$) part of the dielectric function of CVD-grown monolayer and bulk MoS$_2$, inset: zoom-in of the spectra range from 1.6 to 3.2 eV. (c) The imaginary ($\varepsilon_2$) part of the dielectric function of CVD-grown monolayer and bulk MoS$_2$. The inset is the absorption spectrum of monolayer and bulk MoS$_2$ calculated from the measured dielectric function.
effect filters out the above mentioned background [14]. In the vicinity of a CP, the dielectric response can be represented by the following analytical form [46,47]:

$$\varepsilon(E) = C + A\Gamma^{-n} e^{\theta}(E - E_1 + i\Gamma)^n$$,  

(2)

where each CP is described by five energy independent parameters: the amplitude $A$, phase angle $\theta$, threshold energy $E_1$, and phenomenological broadening $\Gamma$, which relates to scattering rates, and $n$. The exponent $n$ characterizes the analytical shape of $\varepsilon(E)$ near its minima or maxima and depends on the signs of the reduced masses of the electron and hole. The value of $n$ is $-1/2$ for one-dimensional (1D), 0 [logarithmic: $\ln(E - E_1 + i\Gamma)$] for 2D, and $+1/2$ for three-dimensional (3D) CP. The CP dimension is classified based on the electron energy dispersion at Van Hove singularities, which can be expanded as a function of momentum vector $k$ about the CP as $E(k) \sim E(0) + \alpha_1 k_1^2 + \alpha_2 k_2^2 + \alpha_3 k_3^2 + \ldots$. The dimension is assigned by the number of nonzero coefficients $\alpha$. Here, 1D, 2D, and 3D represent one, two, or three, respectively, nonzero $\alpha$ [14,46]. The direct discrete bound exciton is included in the same equation for which $n = -1$. Since the measured $\varepsilon(E)$ is a superposition of different spectra calculated from Eq. (1) and in order to eliminate the effect of the constant background, we perform lineshape fitting to the second derivative of the dielectric function with respect to photon energy

$$\frac{d^2 \varepsilon}{dE^2} = \begin{cases} n(n-1)\alpha\Gamma^{-n} e^{\theta}(E - E_1 + i\Gamma)^{n-2}, & n \neq 0 \\ -\alpha\Gamma^{-n} e^{\theta}(E - E_1 + i\Gamma)^{-2}, & n = 0 \end{cases}$$

(3)

To ensure an accurate determination of $E_1$, the CP energy, the fitting was carried out on the real and imaginary parts of $\frac{d^2 \varepsilon}{dE^2}$ simultaneously. Shown in Figs. 4(a) and 4(b) are the fitting of Eq. (2) to the second derivative of the measured dielectric function of the monolayer MoS$_2$.

For the $E_0$ and $E_0 + \Delta_0$ peaks, it was found that $n = -1$ yields the best fit, which explicitly confirms that they are excitons. First-principle calculations have predicted that the exciton peak at $K$ point in the Brillouin zone is split into two peaks at $\sim1.88$ and $\sim2.02$ eV, respectively, by spin-orbital coupling [16]. These two peaks around those energies are observed experimentally and ascribed to the A and B excitons as we discussed above. The insets of Figs. 4(a) and 4(b) are intended to show that the best fit is obtained by using a discrete exciton when compared with the 1D (not shown), 2D (not shown), and 3D [shown as blue lines in the inset of Figs. 4(a)–4(b)] lineshape. The effect of the electron-hole interaction, i.e., excitonic effects, is to enhance the oscillator strength of the transitions that result from the interaction of the discrete exciton with a continuous background [46,47]. Without the excitonic effects, the theory reproduces the low-energy exponential absorption edge of $\varepsilon_2$ but fails to account for the strength of the negative and positive peak. By including Coulomb effects, the discrepancy is eliminated and a good fit is obtained. As a fitting result of Eq. (2) by using $n = -1$, the fitted peak positions are 1.88 and 2.02 eV for the $E_0$ and $E_0 + \Delta_0$ peaks, respectively. Table I is a compilation of recent theoretical and experimental results showing SE $E_0$ and $E_0 + \Delta_0$ peaks agree with the latest first-principles calculations [16]. Compared with bulk MoS$_2$, where the separation of the A and B peak is about 190 meV, these two peaks in monolayer blueshift 50 and 10 meV, respectively [see Fig. 3(b)], which leads to a narrower separation. Such a blueshift and smaller separation in a monolayer result from the decreased interactions between the layers [8,48].

The next higher energy feature in the $\varepsilon$ spectra is denoted by $E_1$. For the monolayer MoS$_2$, it is found that the lineshape fitting to this critical point by Eq. (2) yields the same figure of merit for different values of $n$ and yields a resonance of 2.86 eV (see Fig. 4). In contrast to monolayer MoS$_2$, a lower or redshifted $E_1$ of $\sim2.60$ eV is observed for bulk MoS$_2$. From the first-principles full-potential linearized augmented plane wave (FLAPW) band structure calculation by Yun et al. [49], they show that the lowest conduction band and the highest valence band are parallel over a wide range in the Brillouin zone between $M$ and $\Gamma$ points, thus leading to a maximum in the joint density of states, and therefore giving rise to the CP $E_1$. The energy of $E_1$ for the bulk MoS$_2$ is consistent with the first-principles calculated value of 2.60 eV along the $M$-$\Gamma$ direction [49]. An additional peak labeled as $E_1 + \Delta_1$ at $\sim3.0$ eV is observed in bulk MoS$_2$, which is due to the large spin-orbit interaction in the valence band, but absent in the spectrum of monolayer [50]. For the monolayer, it is interesting to note that the fitted resonant energy at 2.86 eV ($E_1$) matches quite well with the theoretical quasiparticle gap ($\sim2.84$ eV) at $K$ point [16]. It has been also theoretically predicted that electron-phonon interaction in monolayer MoS$_2$ will produce few excitons in the energy range from 2 to 3 eV. These excitons are expected to coalesce into a much broader peak [16]. In other words, it might be reasonable to speculate that the $E_1 + \Delta_1$ peak observed in bulk, but absent in monolayer, converges into $E_1$ peak of monolayer. Also, it might explain that all line shape dimensions (1D, 2D, 3D, and exciton) have the same goodness-of-fit to the peak at $E_1$ as discussed above (see Fig. 4).
TABLE I. The optical transition energies of peaks A and B and quasiparticle band gap from different studies: \( G_1 W_0 \), \( G_0 W_0 \)-Bethe-Salpeter equation (BSE), and sc-GW-BSE are theoretical models all using GW-BSE but with different physical parameters (see Ref. [16]).

|                          | This work (SE) | \( G_1 W_0 \) [16] | Absorption [8] | SE [24] | PL [9] | \( G_0 W_0 \)-BSE [17] | sc-GW-BSE [18] |
|--------------------------|---------------|---------------------|----------------|--------|--------|------------------------|----------------|
| Optical transition energies (eV) |              |                     |                |        |        |                        |                |
| A                        | 1.88          | 1.88                | 1.88           | 1.88   | 1.83   | 1.78                   | 2.22           |
| B                        | 2.02          | 2.02                | 2.03           | 2.03   | 1.98   | 1.96                   | 2.22           |
| Quasiparticle band gap (eV) | 2.86          | 2.84                | 2.86           | 3.00   | \ldots | 2.82 \((G_0 W_0)\)    | 2.80 \((sc-GW_0)\) |

*Extracted from Fig. 4(a) of Ref. [8].

*Extracted from Fig. S1(a) of Ref. [8].

Above \( E_1 \) is the \( E_2 \) CP, whose energy resonance position obtained from the fitting of Eq. (2) to monolayer MoS\(_2\) yields a value of 3.98 eV [see Figs. 4(a) and 4(b)]. Critical points at this energy range or above were seldom reported experimentally [24], and the origin of the \( E_2 \) edge is not well identified. We speculate it is associated with a combination of several critical points next to each other similarly observed for common semiconductors [14].

Above \( E_2 \) critical points, a series of broad and low oscillations are observed in the \( \varepsilon_2 \) spectra. These oscillations, with an energy separation of about 0.7 eV, can be seen more clearly by the corresponding absorption coefficient, as shown in the inset of Fig. 3(c). These oscillations are actual responses from the MoS\(_2\) film, not artifacts from the silica substrate or the possible interferences with the backside of the substrate (see Fig. S2 [38]). They are the results of optical transitions from valence bands to higher conduction bands and are difficult to identify with other higher CPs in its complex band structure [49]. To the best of our knowledge, these high energy fine structures were neither discussed in theory nor reported in experiments before. Therefore, further efforts are needed to elucidate these oscillations, as well as the origin of the \( E_2 \) critical point.

For direct gap semiconductors, \( \varepsilon_2 \) can be expressed as \( \varepsilon_2 = C (E_{\varepsilon})^{2} (E_{\varepsilon} - 1)^{1/2} \) near the direct energy gap \( (E_\varepsilon) \), where \( C \) is a constant related to the transition probability from the valence band to conduction band and \( E \) is the photon energy [14]. The expression can be rewritten as \( \varepsilon_2 E_4 \propto E - E_\varepsilon \) and therefore the plot of \( \varepsilon_2 E_4 \) as a function of photon energy \( E \) yields the optical band gap value \( E_\varepsilon \) highly precisely. This is illustrated by the red curve in Fig. 5, from which the optical band gap of the monolayer MoS\(_2\) is extracted to be 1.83 eV. To obtain the band gap or absorption edge of the bulk MoS\(_2\) which is an indirect gap semiconductor, the SE transmission measurement was performed instead of the ellipsometry reflectivity measurement because the surface of our bulk sample is not quite specular on a large surface scale. The ellipsometric parameter, \( \psi \) shown in Fig. 5, is proportional to the reflectivity [41] of the light incidence on bulk MoS\(_2\), yielding a band gap of 1.42 eV, which is within the range of values reported in literature as an indirect band gap [8,50].

IV. CONCLUSIONS

In summary, the optical properties of CVD-grown large-area monolayer MoS\(_2\) are presented in this paper. Raman and PL spectroscopy studies proved our MoS\(_2\) samples are monolayer with high crystalline quality and uniformity. Strong PL peaks are observed due to the well-known A and B excitonic transition. The broadband optical dielectric function, from 1 to 9 eV, is measured by the extended spectral range SE. In addition to the \( E_0 \) and \( E_0 + \Delta_0 \) CPs corresponding to A and B PL peaks, a series of higher energy sharp peaks, \( E_1 \), \( E_1 + \Delta_1 \), and \( E_2 \), are observed in the dielectric function of MoS\(_2\). The energies of these CPs were precisely obtained by a line shape fitting method, and the results agree with theoretical calculation very well. Also, a series of absorption peaks separated by \( \sim 0.7 \) eV are clearly detected at higher UV photon energies. We believe that the optical features reported here will stimulate further investigations, especially to shed light on their origins from the band structure calculation perspectives, and in addition, will provide better understanding of the optical responses of MoS\(_2\) to their optoelectronic device designs and applications.

ACKNOWLEDGMENTS

This work was supported by the Ministry of Science and Technology of China (STC) (Grant No. 2011CB921904), the Ministry of education of China (Grant No. 113003A) Natural Science Foundation (NSF) of China (Grant No. 61321001), and Beijing Municipal Science and Technology Commission.
Grant No. Z14110003814006. W. L. was partly supported by the National Institute of Standards and Technology. J.K. acknowledges the support through the STC Center for Integrated Quantum Materials from NSF Grant No. DMR-1231319. A.G.B., M.A., and R.A.B. were supported by the US Army Research Laboratory (ARL) Director’s Strategic Initiative program on interfaces in stacked 2D atomic layered materials.

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