Abstract

In this paper, we report on our approach to addressing the GermEval 2021 Shared Task on the Identification of Toxic, Engaging, and Fact-Claiming Comments for the German language. We submitted three runs for each subtask based on ensembles of three models each using contextual embeddings from pre-trained language models using SVM and neural-network-based classifiers. We include language-specific as well as language-agnostic language models – both with and without fine-tuning. We observe that for the runs we submitted that the SVM models overfitted the training data and this affected the aggregation method (simple majority voting) of the ensembles. The model records a lower performance on the test set than on the training set. Exploring the issue of overfitting we uncovered that due to a bug in the pipeline the runs we submitted had not been trained on the full set but only on a small training set. Therefore in this paper we also include the results we get when trained on the full training set which demonstrate the power of ensembles.

1 Introduction

The need to check and moderate conversations and text on Social Media keeps increasing proportionally to the use of Social Media over the years (Shu et al., 2018; Rizoiu et al., 2019; Waseem and Hovy, 2016). Research into the identification of hate speech or toxic comment and fake news have recently become more popular in languages other than English because the abuse of free speech online and spread of information whether false or true extends farther than we can imagine (Yosoughi et al., 2018; Zampieri et al., 2020). GermEval 2021 (Risch et al., 2021) contains three subtasks not only aimed at identifying toxic comments in German text on social media platforms like in previous years (Struß et al., 2019) but also the classification of engaging and fact-claiming comments. In a way to help the situation of diffusing toxic content and promote positive content moderators on popular social media platforms also seek to promote texts that engage other users in a healthy conversation (Welch et al., 2016). The connection between hate speech and fake news is immense as the latter can rather stir up the masses into targeted hate towards a group of people or in some instances deadly violence (Moon et al., 2020). Therefore identifying social media content that makes a need-to-check claim is as important as identifying hate content online.

Our participation in GermEval 2021 was in all three subtasks and involved the use of the same model architectures on all three to learn, compare and analyse how models behave on subtasks. We applied Transformer-based embeddings (BERT), RNN-based embeddings (BiLSTM) with a classifier either utilising a densely connected output layer of a simple neural network or a Support Vector Machine in an ensemble constructed with majority voting of three models on all three subtasks.

The next sections discuss in detail the dataset used for our experiment and the model architectures applied. We also discuss and compare the performances of the models on the subtasks. All code used in this experiment can be accessed via GitHub.¹

2 Dataset and Task

The dataset provided for this competition includes a trial set of 113 user comments, a training set of 3,244 user comments and a test set of 944 user comments of German text in csv format. The training set provided consists of over 3,000 Facebook anonymized user comments that were annotated by

¹https://github.com/kaodamie/GermEval2021_Kobby_participation
four trained annotators (Risch et al., 2021). The dataset was extracted from the home feed of the Facebook page of a political talk show of a German television broadcaster as well as the comment section discussions of posts from the same page from July 2019 till February 2021. It was shared in fully anonymized form and no user information or comment ids were revealed. Links referring to users were replaced by @USER, Links referring to the show were replaced by @MEDIUM, and links referring to the moderator of the show were replaced by @MODERATOR. The csv file contained all comments and labels for all 3 subtasks. That is to say, a user comment can be either toxic, engaging, fact-claiming or any of 2 of the labels or all 3 or neither of the labels (see Figure 1). GermEval 2021 consists of 3 subtasks (Risch et al., 2021). The first subtask is the identification of toxicity or hate speech from German text. The second and the third are the identification of engaging text and fact-claiming text, respectively. Participants were to choose any or all of the tasks they would participate in. We participated in all 3 tasks using a system of 3 different ensembles for each task (see Figure 2 for a quick overview). Submissions of the runs were submitted to Codalab.

3 Models architecture

Over the past few years, Long Short-Term Memory (LSTM) (Huang et al., 2015) and pre-trained transformer-based models (Devlin et al., 2019)
Table 1: Results on the trial set after training on small dataset.

| Ens1      | Sub1-F1 | Sub1-P | Sub1-R | Sub2-F1 | Sub2-P | Sub2-R | Sub3-F1 | Sub3-P | Sub3-R |
|-----------|---------|--------|--------|---------|--------|--------|---------|--------|--------|
| Ens2      | 0.9750  | 1.0000 | 0.9751 | 0.9623  | 0.9273 | 1.0000 | 0.9587  | 0.9508 | 0.9667 |
| Ens3      | 0.9402  | 1.0000 | 0.9024 | 0.9714  | 0.9444 | 1.0000 | 0.9594  | 0.9365 | 0.9833 |

Table 2: Results on the test set with models trained on *small* training set (actually submitted runs).

| Ens1      | Sub1-F1 | Sub1-P | Sub1-R | Sub2-F1 | Sub2-P | Sub2-R | Sub3-F1 | Sub3-P | Sub3-R |
|-----------|---------|--------|--------|---------|--------|--------|---------|--------|--------|
| Ens2      | 0.5547  | 0.5529 | 0.5565 | 0.6337  | 0.6211 | 0.6468 | 0.5970  | 0.5915 | 0.6026 |
| Ens3      | 0.5545  | 0.5550 | 0.5540 | 0.6428  | 0.6406 | 0.6450 | 0.6316  | 0.6241 | 0.6392 |

have proven to be effective in various NLP tasks through their ability to generate word or sentence embeddings (Qiu et al., 2020). One of such models that have widely been used in many NLP tasks is the Bidirectional Encoder Representations from Transformers (BERT) (Devlin et al., 2019). It is designed to pre-train deep bidirectional representations from unlabeled text by jointly conditioning on both left and right context to learn and produce embeddings either on sentence or word level in a transformer based architecture. The Flair embedding architecture is also an example of a model that uses a variant of bidirectional recurrent neural networks (BiLSTMs) with a conditional random field (CRF) layer to generate contextual embeddings from both directions (Akbik et al., 2018; Huang et al., 2015). In this experiment, we applied both transformer based models and Bi-directional LSTM (BiLSTM) based models to generate embeddings and further applied a Support Vector Machine (SVM) or a sigmoid activated single-layered neural network as a classifier in an ensemble of 3 models with majority voting – a simple yet effective paradigm (Kanakaraj and Guddeti, 2015; Zimmerman et al., 2018).

Each of the 3 subtasks, that is, identifying toxic, engaging and fact-claiming comments were classified with the same ensemble models. Each ensemble model however, contained three sub-models. The models were run on a standard Google Colabs runtime with a RAM size of 12 gigabyte. Below are the summaries of the sub-models.

### 3.1 Ensemble 1

For Ensemble 1, a sub-model with embeddings generated from the flair framework\(^2\) pre-trained on the German corpus was applied. A forward and backward contextualized embeddings were generated and stacked on top of each other and then mean-pooled. An SVM classifier was fitted to the model with a linear kernel, a regularization parameter of 1, a gamma of 1 and a degree of 3. Embeddings from the XLM-RoBERTa (Conneau et al., 2019) – a multi-lingual BERT-based model designed by Facebook’s AI team – was also generated for another sub-model and was also fitted with an SVM classifier with a regularization parameter of 1, a linear kernel, a gamma of 1 and a degree of 3. Finally, the last sub-model applied the language-agnostic BERT-based sentence encoder (LaBSE) with a single layered output of a fully-connected neural network with a sigmoid activation. The sub-models were not fine-tuned on the dataset due to RAM limitations.

### 3.2 Ensemble 2

Ensemble 2 is very similar to Ensemble 1. The only difference is that one of the sub-models does not use embeddings from a sentence encoder unlike the first Ensemble but rather embeddings were generated from fine-tuning a multilingual BERT (mBERT) and further classified with a sigmoid activated single layered output of a fully-connected neural network. SVM parameters are maintained just as with Ensemble 1.

### 3.3 Ensemble 3

This Ensemble model applied only SVM classifiers for its sub-models with the same parameters as stated for the other 2 Ensemble models (Hoffmann and Kruschwitz, 2020). However, unlike the other two, the third sub-model of this Ensemble applied a German based BERT model designed by Deepset AI (Chan et al., 2020). No fine-tuning was performed.

\(^2\)https://github.com/flairNLP/flair
| Sub1-F1  | Sub1-P  | Sub1-R  | Sub2-F1  | Sub2-P  | Sub2-R  | Sub3-F1  | Sub3-P  | Sub3-R  |
|----------|---------|---------|----------|---------|---------|----------|---------|---------|
| Ens1     | 0.7024  | 0.7957  | 0.6286   | 0.7869  | 0.8536  | 0.7299   | 0.7851  | 0.8280  | 0.7466  |
| Ens2     | 0.7577  | 0.8174  | 0.7060   | 0.8389  | 0.8640  | 0.8154   | 0.8148  | 0.8251  | 0.8046  |
| Ens3     | 0.7886  | 0.8412  | 0.7422   | 0.8522  | 0.8864  | 0.8206   | 0.8402  | 0.8613  | 0.8201  |

Table 3: Results on the trial set after training on full dataset.

| Sub1-F1  | Sub1-P  | Sub1-R  | Sub2-F1  | Sub2-P  | Sub2-R  | Sub3-F1  | Sub3-P  | Sub3-R  |
|----------|---------|---------|----------|---------|---------|----------|---------|---------|
| Ens1     | 0.6205  | 0.6914  | 0.5629   | 0.6721  | 0.7160  | 0.6333   | 0.7211  | 0.7695  | 0.6784  |
| Ens2     | 0.6472  | 0.6936  | 0.6067   | 0.6930  | 0.7197  | 0.6684   | 0.7343  | 0.7443  | 0.7247  |
| Ens3     | 0.6241  | 0.6574  | 0.5940   | 0.6770  | 0.7023  | 0.6536   | 0.7341  | 0.7596  | 0.7103  |

Table 4: Results on the test set with models trained on the full training set.

For each of the neural networks applied in Ensemble 1 and 2, the BERT-based embedding layer was fully connected to the output layer. The output layer was activated with a sigmoid function. The neural network had a learning rate of $1 \times 10^{-5}$, batch size of 32 and was trained with a model checkpoint on validation loss. The models were setup with 50 training epochs with early stopping on the model checkpoint at a patience of 3 epochs. The training dataset was split for train-test-validation reasons with an initial ratio of 0.8 for training. The remaining 20% was further split into 0.8 and 0.2 for validation and testing respectively. The SVM models were fitted on the whole training data.

### 4 Results

The results of our officially submitted runs are displayed in Table 2 (and corresponding training performance in Table 1). Note however, that the results submitted were acquired from training on a trial set of 113 comments only – an error which we only noticed after having received the results.

We subsequently re-run the three approaches – this time trained on the full training set – as illustrated in Table 4 (with corresponding training data performance in Table 3). Highest F1 performances are in bold, and we observe that Ensemble 2 consistently performs best.

The results demonstrate that, as expected, an increase in the training data has a measurable positive effect on the overall performance across all metrics.

The results recorded after training shows that the SVM models had very high metrics on the trial set whereas the ANN models had relatively low metrics peaking at 62% for F1 score, precision and recall. An ensemble approach rather seemed balanced. The Ensemble models were slightly biased towards the SVM models because in a total of three models for each ensemble, two models were SVM models for both Ensemble 1 and 2. Ensemble 3 was a model of 3 SVM models. It is fair to say that the SVM models were overfitted on the trial set.

The results from the test set were lower than the results for the training data (see Table 2). Considering the fact that the training set of 113 data points is substantially smaller than the test set of 994 entries, it is also not surprising the model performed worse on the test set. The more interesting observation is that even though the training was done for a tiny dataset the results seem better than what one might expect.

Most interesting are of course the findings we derive from running our three approaches on the full training data. We observe robust performance of our ensemble-based approaches. We also observe that fine-tuning one of the models in our ensembles appears to push up performance quite substantially.

### 5 Conclusion

Ensemble approaches have repeatedly been shown to offer great benefits but they nevertheless rely on good underlying individual models. In our runs we combined contextual embeddings using state-of-the-art models such as BiLSTM-CRF, BERT-based models and SVM and simple neural networks as classifiers in an ensemble approach to perform binary text classification in German. We observe robust performance across different tasks, we also note a positive impact of including fine-tuned models in our ensembles.
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