Optical gain in carbon nanotubes

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Semiconducting single-wall carbon nanotubes (s-SWNT) have proved to be promising material for nanophotonics and optoelectronics. Due to the possibility of tuning their direct band gap and controlling excitonic recombinations in the near-infrared wavelength range, s-SWNT can be used as efficient light emitters. We report the first experimental demonstration of room temperature intrinsic optical gain as high as 190 cm−1 at a wavelength of 1.3 µm in a thin film doped with (8,7) s-SWNT. These results constitute a significant milestone towards the development of laser sources based on carbon nanotubes for future high performance integrated circuits.

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Unique electronic[1, 2] and optical[3] properties of Single-Wall Carbon Nanotubes (SWNT) allow them to play a major role in future photonic circuits[4–6]. Since the discovery of photoluminescence of nanotubes encapsulated into micelle surfactant[7], research efforts in nanotube photonics have greatly intensified, and several building blocks have already been demonstrated, such as field-effect transistors[1] or detectors[4]. Luminescence properties of carbon nanotubes attracted a special interest, and became a leading component in various configurations, not only as individualised entities in micelle surfactant, but also when suspended over trenches[8] or deposited onto chip surface[9]. Numerous works prove that the nanotube environment plays a primordial role in their emission behaviour[10–12], and several non-radiative de-excitation mechanisms exist, either by Auger recombination[13] or energy transfers to metallic SWNT (m-SWNT)[14] or excitons[15]. These mechanisms lead to a strong competition between bright and dark excitons and a limited photoluminescence lifetime[16]. Up to now, a main challenge was to obtain light amplification in carbon nanotubes. Here, we experimentally demonstrate optical gain in carbon nanotubes at a wavelength of 1.3 µm at room temperature.

Semiconducting SWNT (s-SWNT) extracted by the previously described polyfluorene (PFO) method[17] were drop cast on glass substrate. Pure s-SWNT thin films will be hereafter designated as sample A, while a control sample made with a blend of s- and m-SWNT will be named sample B. Both layers have a homogeneous carbon nanotube density and a thickness of 1 µm. The coating was made to obtain regular and vertical edge facets[18]. Absorbance spectrum of such initial s-SWNT/PFO solution and photoluminescence spectrum of drop-casted s-SWNT/PFO solution on glass substrate are reported in ref 12. Optical properties characterized by the E_{22} and E_{11} optical transitions are related to strongly bound excitons[19]. Photons absorbed on the E_{22} optical transition create excitons at the carbon nanotube surface and light emission is produced due to the exciton recombination through the E_{11} optical transition. The polymer host matrix (PFO) presents absorption and photoluminescence peaks in the UV-visible spectrum range, and is optically inactive (no absorption and no emission) on the near infrared carbon nanotube absorption and emission range, without any evidence of energy transfer towards carbon nanotubes[20].

Optical gain measurements were first performed on sample A using the variable strip length (VSL) method[21]. The sample was optically excited by an Optical Parametric Oscillator laser emitting at a wavelength of 740 nm, pumped by a two nanosecond pulsed Nd:YAG laser at 355 nm. Amplified Spontaneous Emission (ASE) of carbon nanotubes was then collected at the edge of the sample as a function of the excitation length l, as schematically described in Fig. 1. Fig. 2(b) presents the ASE intensity (I_{ASE}) of sample A as a function of length l, for low and high pump fluences (35 mJ·cm^{-2} and 500 mJ·cm^{-2}, respectively). At high fluence, a strong
signal increase was observed, while at low fluence, saturation of the luminescence signal was directly reached. The difference in the ASE responses under low and high excitations demonstrated a clear change of optical behaviour which clearly proved optical gain feature in sample A[22, 23]. $I_{\text{ASE}}$ can be described by the one dimensional amplifier model[22]:

$$I_{\text{ASE}}(l) \sim \frac{I_{\text{Spont}}}{g_{\text{int}} - \alpha} \cdot (e^{(g_{\text{int}} - \alpha)l} - 1)$$  (1)

were perfectly fitted as shown in Fig.1C, where the theoretical curves for high and low pump fluences are respectively drawn in red and blue solid lines. The ASE intensity evolution featured an exponential increase at high pump fluence, while an exponential saturation at low pump fluence was obtained. The net modal gain $g_{\text{net}}$ at high fluence was estimated to be about $160 \pm 10 \text{ cm}^{-1}$. This gain value for carbon nanotubes embedded in polymer films was of the same order of magnitude than the gain measured in other reference semiconducting nanomaterial systems, such as silicon nanocrystals[24]. For long strip length excitation ($l>0.25 \text{ mm}$) and high fluence, a saturation of $I_{\text{ASE}}$ was observed. Main origins of this saturation were a geometrical factor caused by the reduction of solid angle emission and the increased absorption length by the nanotube doped layer, and a gain saturation effect caused by the depletion of the excited state population under high ASE intensity[23]. At low fluence, the intrinsic gain $g_{\text{int}}$ of SWNT was too low to compensate for loss, and the measured net modal gain $g_{\text{net}}$ was then negative. Using equation 1 a fit to experimental data gave an estimation of the net modal gain $g_{\text{net}}$ of about -32 cm$^{-1}$. The same VSL experiments were also performed on sample B, which contained both semiconducting and metallic carbon nanotube. VSL experiments were performed at pump fluences from 90 to 590 mJ cm$^{-2}$, and the results are reported in Fig. 2(b). Exponential saturations were observed for each input pump fluence which, according to equation 1, corresponded to negative net modal gain. For pump fluences from 90 to 590 mJ cm$^{-2}$, the net gain varied from -41 cm$^{-1}$ to -19 cm$^{-1}$. This underlines the importance of the absence of m-SWNT and nanoparticles in a sample on the optical gain properties: indeed, sample A was only constituted by s-SWNT in PFO[12, 17].

In order to provide an estimation of the overall optical losses $\alpha$, the Shifting Excitation Spot (SES) method was used[24]. Furthermore, this method allows a scan of the VSL strip length with a constant size excitation spot and is able to demonstrate the homogeneity of the thin SWNT based layer. SES experiments were performed on samples A and B, and results are reported in Fig. 3(a). First, $I_{\text{ASE}}$ increased and quickly reached a maximum when the spot no more interacted with the sample edge. After this peak, a monotone decrease was observed in log scale for both samples, as the distance between the spot position and the sample edge increased. First, the monotone decrease confirmed the good optical homogeneity of both samples, and the evolution of $I_{\text{ASE}}$ is described by the following relation[22]:

$$I_{\text{ASE}}(x) \sim I_{\text{Spont}} \cdot e^{-\alpha \cdot x}$$  (2)

where $I_{\text{Spont}}$ is the spontaneous emission intensity per length unit and $x$ the distance between the excitation spot and the sample edge. According to equation 2 and SES measurements, loss coefficients of both samples A and B were 37 cm$^{-1}$ and 50 cm$^{-1}$, respectively. Optical losses in sample B were much higher than in sample A, which was directly related to the presence of m-SWNT.

![Graph](image-url)
FIG. 3. (Color online) (a) SES intensity as a function of the excitation spot position $x$ for sample A and B. A fit with equation 2 gave the overall losses in the thin layer composite. (b) Edge-emission intensity of the (8,7) s-SWNT at 1300 nm as a function of pump fluence at room temperature for both samples A and B. A significant gain energy threshold was observed around 55 mJ cm$^{-2}$ for sample A. Solid lines are guide for the eye.

and nano-particles, and increasing light absorption. This increase of loss and the less efficient light emission obtained in sample B can explain why a positive net modal gain could not be observed. However, from the determination of the optical loss $\alpha$ using the SES method and the net modal gain $g_{\text{net}}$ obtained from the VSL method, the intrinsic gain $g_{\text{int}} = g_{\text{net}} + \alpha$ for sample A was as high as 190 ± 10 cm$^{-1}$.

The evolution of $I_{\text{ASE}}$ as a function of the incident laser fluence was also studied (Fig. 2(b)). Experimental conditions were identical for both samples A and B. In the case of sample A, a threshold pump fluence was observed around 55 mJ cm$^{-2}$. Above the threshold, ASE intensity presented a significant increase followed by a slight saturation. This is a typical signature of light amplification due to an optical gain. Below the pump fluence threshold, light emission was dominated by the losses from the absorption peaks, while above the fluence threshold, the optical gain dominated the losses, resulting in an enhancement of light emission. On the contrary, such a behaviour was not observed with sample B for which the ASE intensity behaviour was only driven by the optical loss.

Normalized photoluminescence spectra of nanotube in sample A for two input pump fluences are reported in Fig. 4. Both fluences were chosen to be below and above the fluence threshold as determined in Fig. 3(b). A linewidth narrowing of 29% was observed for the (8,7) nanotube at 1300 nm. This result also constituted a clear demonstration of optical gain in sample A [25]. No linewidth narrowing was observed for sample B. We could also observe a similar linewidth narrowing feature in (8,6) nanotube at 1200 nm, providing evidence for optical gain at several wavelengths.

These experimental results clearly indicate significant optical gain in pure semiconducting carbon nanotubes embedded in host polymer thin film. A few metallic carbon nanotubes mixed with s-SWNT can strongly affect the emission behavior and, as observed in sample B, prohibit the detection of optical gain. The ability to generate and detect and intrinsic gain of 190 cm$^{-1}$ in carbon nanotubes is the first step toward the development of carbon nanotubes-based laser and more generally toward photonic circuits based on carbon nanotubes.

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