ABSTRACT: Performance improvements are expected from integration of photonic devices into information processing systems, and in particular, all-optical memories provide a key functionality. Scaling down the size of memory elements is desirable for high-density integration, and the use of nanomaterials would allow for devices that are significantly smaller than the operation wavelengths. Here we report on all-optical memory based on individual carbon nanotubes, where adsorbed molecules give rise to optical bistability. By exciting at the high-energy tail of the excitonic absorption resonance, nanotubes can be switched between the desorbed state and the adsorbed state. We demonstrate reversible and reproducible operation of the nanotube optical memory, and determine the rewriting speed by measuring the molecular adsorption and desorption times. Our results underscore the impact of molecular-scale effects on optical properties of nanomaterials, offering new design strategies for photonic devices that are a few orders of magnitude smaller than the optical diffraction limit.

KEYWORDS: carbon nanotubes, photoluminescence, optical memories, optical bistability, excitons, nonlinear optics

RESULTS AND DISCUSSION

Optical Bistability in Carbon Nanotubes. Our samples are individual CNTs suspended over trenches on silicon.
substrates (inset of Figure 1a). A scanning electron micrograph of a typical device is shown in Supporting Information, Figure S1. The nanotubes are synthesized by alcohol chemical vapor deposition \(^{28}\) from catalyst particles placed near the trenches. We then put the samples in a PL microscopy system\(^{29,30}\) where they are kept in nitrogen to prevent formation of oxygen-induced defects\(^{31,32}\). We note that the samples have been exposed to atmosphere during transfer from the synthesis furnace to the optical system.

A typical PL excitation map of an individual nanotube measured with a laser power \(P = 2 \mu W\) is shown in Figure 1a. Clear resonances of emission and excitation are observed, which correspond to the \(E_{11}\) and \(E_{22}\) energies, respectively. By comparing these energies to tabulated data\(^{30}\), we assign the chirality of the nanotube to be (10,5).

In Figure 1b, we show PL spectra of this nanotube taken at \(P = 700 \mu W\) during an up sweep (red curve) and a down sweep (blue curve) of the excitation power, where an excitation energy \(E_{ex} = 1.644\) eV is used. The spectrum for the up sweep shows a peak at 1.025 eV, which is close to the emission energy in the PL excitation map. For the down sweep, however, we observe a single peak at a much higher energy of 1.047 eV.

In order to understand the origin of the different emission states, detailed excitation power dependence of PL emission spectra is measured. In the up sweep (Figure 1c), an abrupt spectral change is observed at \(P = 870\) \(\mu W\), which is attributed to the laser-heating induced molecular desorption. \(^{26,27}\) Interestingly, we find that the abrupt spectral change occurs at a much lower power of \(P = 570\) \(\mu W\) for the down sweep (Figure 1d). The hysteretic behavior immediately indicates the presence of optical bistability, \(^{4}\) as the nanotube takes two different emission states depending on its excitation history.

We have performed such excitation power dependence measurements for various detuning energies \(\Delta E_{ex} = E_{ex} - E_{22}\) to investigate the mechanism of the bistability, and typical up-sweep data are plotted in Figure 2a–c. When we excite the nanotube with \(\Delta E_{ex} = -3\) meV, the spectrum shows a peak at 1.021 eV and an excitation resonance at 1.603 eV. The inset is a schematic of a sample. (b) PL spectra measured at \(P = 700\) \(\mu W\) during an up sweep (red curve) and a down sweep (blue curve). (c) and (d) Excitation power dependence of normalized PL spectra for an up sweep and a down sweep, respectively. The spectra are normalized by the maximum intensity at each power. All data are taken with laser polarization parallel to the tube axis, and \(\Delta E_{ex} = +41\) meV is used in (b–d).

Figure 1. Optical bistability of an individual CNT. (a) A PL excitation map of a 2.38-\(\mu m\)-long (10,5) nanotube taken with \(P = 2 \mu W\), showing an emission peak at 1.021 eV and an excitation resonance at 1.603 eV. The inset is a schematic of a sample. (b) PL spectra measured at \(P = 700\) \(\mu W\) during an up sweep (red curve) and a down sweep (blue curve). (c) and (d) Excitation power dependence of normalized PL spectra for an up sweep and a down sweep, respectively. The spectra are normalized by the maximum intensity at each power. All data are taken with laser polarization parallel to the tube axis, and \(\Delta E_{ex} = +41\) meV is used in (b–d).

Figure 2. Detuning energy dependence of the spectral transitions. Excitation power dependence of the normalized PL spectra taken with (a) \(\Delta E_{ex} = -3\) meV, (b) \(\Delta E_{ex} = +9\) meV, and (c) \(\Delta E_{ex} = +28\) meV. The spectra are normalized by the maximum intensity at each power. Excitation power dependence of (d) emission energy and (e) full-width at half-maximum, obtained by fitting the PL spectra with a Lorentzian function. The detuning energies of \(-3\) meV (black), \(+9\) meV (red), and \(+28\) meV (blue) are used. Broadening observed around \(P = 600\) \(\mu W\) for \(\Delta E_{ex} = -3\) meV and near \(P = 400\) \(\mu W\) for \(+9\) meV in (e) are artifacts caused by the overlap of the emission peaks from the adsorbed and desorbed states. (f) PL excitation spectrum. Lorentzian fits are performed on the emission spectra in Figure 1a, and the peak area is plotted as a function of excitation energy. (g) Detuning energy dependence of the transition power for up sweeps (pink open circles) and down sweeps (light-blue filled circles). The transition powers are determined by interpolating the excitation power at an emission energy of 1.035 eV. The gray shaded bar indicates the \(E_{22}\) resonance determined by fitting the PL excitation spectrum in (f) with a Lorentzian function. All data are taken with laser polarization parallel to the tube axis.
continuous blueshift as the excitation power increases (Figure 2a). The smooth spectral shift implies that the adsorbed molecules are gradually desorbing by laser-induced heating. As the excitation energy is increased to Δ\(E_{ex} = +9\) meV, the spectrum shows a more abrupt blueshift (Figure 2b), and a discontinuous blueshift is observed at a much higher energy of Δ\(E_{ex} = +28\) meV (Figure 2c).

In Figure 2d, we show both the up- and down-sweep power dependence of the emission energy measured at these three detuning energies. Hysteresis is not observed at relatively small detunings of −3 meV and +9 meV, while a clear hysteresis loop opens up for the large positive detuning energy of +28 meV. The hysteretic behavior presented in Figure 1c,d have also been taken with a large detuning of +41 meV, suggesting the importance of detuning.

In fact, the differences in the spectral transitions and the appearance of hysteresis can be understood by taking into account associated shifts of the absorption peak. The molecular desorption causes a blueshift not only for \(E_{12}\) but also for the \(E_{22}\) resonance. Depending on the detuning, such a blueshift can result in a reduction or an enhancement of the absorption efficiency.

When we excite the nanotube near the absorption peak with Δ\(E_{ex} = −3\) meV, molecular desorption shifts the peak away from the excitation energy. The efficiency of the laser-induced heating is then reduced, which suppresses further desorption of molecules. This negative feedback on molecular desorption stabilizes the amount of adsorbed molecules, and increasing the excitation power results in a smooth blueshift of the PL spectrum. As there is a one-to-one correspondence between the excitation power and the emission energy, hysteresis is absent.

In comparison, exciting at the higher energy tail of the \(E_{22}\) resonance with Δ\(E_{ex} = +28\) meV leads to a positive feedback. Once the molecular desorption starts due to laser-induced heating, \(E_{22}\) moves closer to the excitation energy by the blueshift. The improved heating efficiency drives additional molecular desorption, which in turn causes a further blueshift of the \(E_{22}\) resonance. Because of this positive feedback on molecular desorption, the nanotube transitions from the cold adsorbed state with low heating efficiency to the hot desorbed state with high heating efficiency, which appears as a discontinuous blueshift at the threshold power. Considerable broadening of the desorbed state is consistent with this picture (Figure 2e), since the tube temperature is known to be proportional to the width of the emission peak.\(^{33−35}\)

The large difference in the heating efficiency for the cold adsorbed state and the hot desorbed state gives rise to the optical hysteresis. For the up sweep taken with Δ\(E_{ex} = +28\) meV (Figure 2d), \(P \sim 600\) μW is required for the cold desorbed state to reach the transition temperature because of the low heating efficiency. When we sweep the excitation power down, the nanotube stays in the hot desorbed state which has a higher heating efficiency, resulting in a transition at a much lower power of ∼400 μW compared to the up sweep.

We further investigate optical bistability at larger detuning energies. The PL excitation spectrum (Figure 2f) shows that the high energy tail of the \(E_{22}\) peak is steep, and thus, the transition will occur at a significantly larger power when the detuning energy is increased by just tens of meV. In Figure 2g, the transition powers for the adsorbed and desorbed states obtained from the up and down sweeps, respectively, are plotted as a function of the detuning energy. The transition power of the adsorbed state shows a rapid increase as we expect, while the desorbed state shows a gradual increase. Such a difference can be explained by the broader absorption peak of the hot desorbed state compared to the cold adsorbed state, as the laser absorption efficiency would be less sensitive to the excitation energy. The discrepancy in the detuning energy dependence results in an increase of the width of the hysteresis, or equivalently the bistable power region, for larger Δ\(E_{ex}\).

The results shown in Figure 2g suggest that the bistability can also be observed when the PL emission energies are measured as a function of detuning energy. Red filled circles in Figure 3a shows the excitation energy dependence taken at \(P = 500\) μW for a (9,8) nanotube. When the excitation energy is swept in a direction approaching \(E_{12}\) from higher energy, a discontinuous blueshift of the emission energy occurs at Δ\(E_{ex} \sim +25\) meV. When the excitation energy is swept in the opposite direction, as expected, we observe an abrupt redshift at a higher transition energy of Δ\(E_{ex} = +35\) meV. It is also noteworthy that the emission energy shows a gradual shift when Δ\(E_{ex} \lesssim 0\) meV, because the negative feedback for molecular desorption occurs at sufficiently small or negative detuning energies. When the power is lowered to 250 μW (black open circles in Figure 3a), the emission energy transitions are not observed anymore as the tube temperature does not reach the threshold.

In addition to the excitation energy and power dependence, the one-dimensional structure of CNTs gives rise to bistability in polarization angle dependence measurements. The absorption of an excitation beam perpendicularly polarized to the tube axis is strongly suppressed due to the depolarization effect,\(^{18}\) and therefore, the beam polarized along the tube axis is predominantly absorbed. When we let the laser polarization angle from the tube axis to be \(θ\), the absorbed power can be approximated by \(P \cos^2 θ\). The polarization dependence should then be similar to the power dependence in Figure 2d. Indeed, a large optical hysteresis is observed for an excitation with Δ\(E_{ex} = +34\) meV (red filled circles in Figure 3b), but not for a smaller detuning Δ\(E_{ex} = +4\) meV (black open circles in Figure 3b).

Reversible and Reproducible Optical Memory Operation. The optical hysteresis loops can be exploited to achieve...
memory operation, since the two stable emission states reflect their excitation history. During an up-sweep power dependence measurement, the nanotube stays in the adsorbed state as long as the power is within the bistable region. Switching to the desorbed state occurs at the transition power, and the nanotube remains in this state during a down sweep until the power is reduced below the bistable region. It should be possible to sustain the emission state by exciting at the power within the bistable region, whereas appropriate modulation of the excitation power would allow for switching between the states.

To verify whether CNTs can actually operate as a rewritable optical memory, we use an excitation pattern shown in Figure 4a and measure the temporal changes of PL for a different (9,8) nanotube (Supporting Information, Figure S2). As the adsorbed and desorbed states are characterized by lower and higher emission energies, respectively, we plot the corresponding temporal evolutions of the spectrally integrated PL for these two energies (Figure 4b).

In this measurement, the power of the bias beam is tuned to be $P = 1.70 \text{ mW}$ and $\theta = -45^\circ$, and the set pulses are set to $P = 0.89 \text{ mW}$ and $\theta = +45^\circ$. We note that the fluctuation are larger when the PL intensities are higher, which can be explained by the photon shot noise. (c) Further evolution of integrated PL at 0.904 eV obtained by continuing the measurement in (b).

**Figure 4.** Reversible and reproducible memory operation of a 2.00 μm long (9,8) tube. (a) Schematic showing the temporal pattern of excitation power. (b) Temporal evolution of integrated PL intensities for emission energies of 0.904 eV (red line) and 0.921 eV (blue open circles). The spectral integration windows are 4 meV wide, and the intensities are normalized by the maximum values. In this measurement, the detuning energy is +42 meV. The bias beam is tuned to be $P = 1.70 \text{ mW}$ and $\theta = -45^\circ$, and the set pulses are set to $P = 0.89 \text{ mW}$ and $\theta = +45^\circ$. We note that the fluctuation are larger when the PL intensities are higher, which can be explained by the photon shot noise. (c) Further evolution of integrated PL at 0.904 eV obtained by continuing the measurement in (b).

Rewriting speed is an important factor in the performance of optical memories. In the case of our nanotube optical memory, the time scale should be limited by the molecular desorption or adsorption times. We start with the investigation of the molecular desorption times by measuring the changes of the PL spectra as a function of the excitation pulse width $t_w$ (Figure 5a), using a power high enough to desorb the molecules.

The measurements have been performed on another (9,8) tube, and two representative time-integrated PL spectra are shown in Figure 5b. The spectrum taken with $t_w = 1.3$ ms than a second. The set pulse increases the temperature of the nanotube and switches its state from the adsorbed state to the desorbed state. The switching behavior can be seen as an abrupt increase of the high-energy PL intensity as well as a decrease of the low-energy PL intensity. After another minute from the set operation, the bias beam is blocked by the shutter to perform the reset operation. The nanotube switches back to the adsorbed state and the low-energy PL intensity recovers. We note that the nanotube maintains its emission state during the 1 min intervals between the set and reset operations, showing that the width of the bistable power region is sufficiently large compared to the fluctuations of the absorbed laser power. The results of this measurement confirm the rewritability and the stability of the single CNT optical memory.

We further repeated the switching cycles to check the reliability of the memory operation. In Figure 4c, we plot the temporal evolution for the low-energy PL intensity. Reversible and reproducible switching operations of over 45 times are demonstrated, suggesting that the nanotube optical memory can also be manipulated under a more complicated sequence of light pulses.

**Desorption Times.** Rewriting speed is an important factor in the performance of optical memories. In the case of our nanotube optical memory, the time scale should be limited by the molecular desorption or adsorption times. We start with the investigation of the molecular desorption times by measuring the changes of the PL spectra as a function of the excitation pulse width $t_w$ (Figure 5a), using a power high enough to desorb the molecules.

The measurements have been performed on another (9,8) tube, and two representative time-integrated PL spectra are shown in Figure 5b. The spectrum taken with $t_w = 1.3$ ms
shows a large contribution from the blueshifted desorbed-state peak at 0.921 eV, which is reasonable because all molecules should have desorbed for sufficiently long $t_0$. In the case of the spectrum taken with $t_0 = 0.084$ ms, however, the adsorbed-state peak at 0.906 eV is pronounced. The single peak at a lower-energy shows that most of the molecules stay adsorbed during the pulse, indicating that $t_0$ is much shorter than the desorption time.

In order to extract the desorption time $\tau_d$, we analyze the time-integrated PL spectra taken for various pulse widths. Bi-Lorentzian fits to the spectra are performed to obtain the desorbed-state and adsorbed-state peak areas (Supporting Information, Figure S3). We then numerically differentiate the peak area by $t_0$ to examine the transient behavior of the nanotube during the excitation pulse (Figure 5c). The intensity of PL from the desorbed state (blue crosses) increases as the pulse width becomes wider, while the intensity of PL from the adsorbed state (red open circles) decreases. Both intensities reach constant values at longer pulse widths, showing that all molecules have desorbed.

The desorption times are known to become shorter for higher material temperatures, and therefore we expect $\tau_d$ to decrease for larger excitation powers. We have taken the $\tau_d$ dependence of the spectra at various powers and the results are summarized in Figure 5d. Indeed, $\tau_d$ becomes shorter as the power increases, indicating that the molecules do desorb faster.

**Adsorption Times.** The measurements for molecular adsorption times are not as straightforward as the desorption-time measurements, because adsorption occurs in between the pulses when nanotubes are not emitting. We therefore introduce a weak continuous beam for probing the amount of adsorbed molecules during the pulse interval time $t_i$ (Figure 6a). The probe beam power is kept sufficiently low to minimize laser-induced heating, while the desorption pulses are set to high enough powers. As the desorption pulses inevitably cause strong PL, we take the difference between the time-integrated spectra with and without the probe beam to examine the adsorption dynamics during the pulse intervals.

Figure 6b shows two PL difference spectra taken at long ($t_i = 7.0$ ms, red curve) and short ($t_i = 0.5$ ms, blue curve) intervals. In these spectra, two distinct peaks at 0.906 and 0.933 eV are observed, which correspond to the adsorbed and desorbed states, respectively. In the spectrum taken at $t_i = 7.0$ ms, the low-energy peak dominates the spectrum, showing that the molecules have already adsorbed. In comparison, the peak at the higher energy is prominent in the spectrum measured at $t_i = 0.5$ ms, indicating that molecular adsorption is insubstantial at this short interval time.

We note that the higher energy peaks in Figure 6b and Figure 5b are located at slightly different energies, although both peaks should correspond to the desorbed state. Such a difference is reasonable, as the nanotube is probed with significantly higher powers in the desorption-time measurements compared to the adsorption-time measurements. Since the temperature of the nanotube should be higher, heating-induced redshift is expected. In comparison, the lower-energy peaks are at the same energy in Figure 6b and Figure 5b, indicating that the heating effect is negligible for the adsorbed state.

We further examine the adsorption dynamics by analyzing the interpulse emission using $t_i$ dependence of the PL difference spectra (Supporting Information, Figure S4). As in the case for the desorption-time measurements, the emission spectra are fitted with a bi-Lorentzian function to obtain the peak areas, and we numerically differentiate the peak areas by $t_i$ to evaluate the transient emission states during the pulse interval (Figure 6c). The PL intensity from the adsorbed state increases for longer $t_i$ (red open circles), while emission from the desorbed state decreases (blue crosses).

To extract the molecular adsorption time $\tau_a$, $I_{PL} = I_0[1 - \exp(-(t_i - t_0)/\tau_a)]$ and $I_{PL} = I_1 \exp(-(t_i - t_0)/\tau_d)$ are fit to the adsorbed state and the desorbed state emission intensities, respectively (see discussion in Supporting Information). The best fitted curves are shown in Figure 6c, and similar values of $\tau_a = 1.0$ ms (red curve) and 1.2 ms (blue curve) are obtained. The adsorption time is about an order of magnitude longer than the desorption times, limiting the rewriting speed of the nanotube optical memories.

We have also investigated the desorption-pulse power dependence of adsorption time, and the results are summarized in Figure 6d. We do not observe a clear power dependence for $\tau_a$, although measurements up to higher powers have been performed compared to the $\tau_d$ measurements. The absence of power dependence is expected, since the adsorption time only depends on the molecular collision frequency if the sample cooling time does not limit the experimental resolution. It should be possible to shorten the adsorption time by increasing
the humidity, as the collision frequency is determined by the partial pressure of the molecules.

**CONCLUSIONS**

We observe optical bistability in individual CNTs, where switching between the hot desorbed state and the cold adsorbed state is triggered by a change in the excitation laser power. A direct readout of the nanotube state is possible, as the emission energy switches simultaneously. The $E_{22}$ resonance shift associated with molecular adsorption causes a considerable difference in the laser heating efficiency, locking the nanotube into either of the two states. Furthermore, we demonstrate reversible and reproducible optical memory operation, and obtain the adsorption and desorption times by performing time-resolved measurements.

Our results highlight the potential use of CNTs for ultrasmall optical memories and switches in photonic circuits, surpassing the size limits imposed by the cavities. It is notable that the nanotube memories are even smaller than the nanoscale electrical memories.\(^{40,41}\) Taking advantage of various chiralities and polarization selectivity, it should be possible to address individual nanotubes even if they are located in the vicinity. The functionality of the nanotube optical memory is provided by the few molecules on the surface, which is coupled to the characteristic absorption peak through the strong effects of screening. Being telecom-band emitters that can be integrated with silicon photonics, CNTs present opportunities for optical devices with operation mechanisms at the molecular level.

**METHODS**

**Photoluminescence Microscopy.** The PL measurements are carried out with a home-built confocal microspectroscopy system.\(^{29,30}\) A continuous-wave Ti:sapphire laser is used for excitation, and an objective lens with a numerical aperture of 0.8 and a working distance of 3.4 mm focuses the laser onto the sample. The linear polarization of the excitation laser is rotated using a half-wave plate and PL from the sample is detected by an InGaAs photodiode array detector attached to a spectrometer. All measurements are conducted at room temperature in nitrogen.

**Carbon Nanotubes Suspended over Trenches.** We synthesize CNTs on Si chips with prefabricated trenches and characterize the nanotubes by PL microscopy.\(^{30}\) Electron beam lithography and dry etching processes are performed on Si substrates to form the trenches and catalyst patterns are patterned by an additional electron beam lithography step. Fe(III) acetylacetonate and fumed silica dispersed in ethanol are spin-coated as catalysts, and single-walled carbon nanotubes are grown over the trenches by alcohol chemical vapor deposition. We identify the nanotube chirality by PL excitation spectroscopy and select bright nanotubes for our experiments.

**Demonstration of Optical Memory Operation.** A polarizing beam splitter is used for separating the laser beam into two orthogonal polarizations, and the ratio of the two beams is controlled by rotating the polarization before the beam splitter. The transmitted beam is used for the bias beam, and the reflected beam is used for the set pulses by placing a shutter in its path. We use another shutter before the beam splitter to perform reset operations. The two beams are directed toward the objective lens using a second polarizing beam splitter, and we rotate the polarization of the beams to be $\theta = \pm 45^\circ$ with a half-wave plate placed after this beam splitter.

We note that the power absorbed by the nanotubes is therefore half of the power $P$ measured at the sample. A schematic of the measurement setup is shown in Supporting Information, Figure S5.

**Time-Resolved Measurements.** Optical choppers are used to obtain optical pulses. In desorption-time measurements, we have stacked two chopper wheels to achieve a duty cycle of 0.0053. Even for the highest frequency used in our measurements, the pulse interval is longer than 16 ms due to the low duty cycle, which is still long enough for readabsorption of the molecules. In the adsorption-time measurements, two optical choppers are synchronized to the same reference. The frequency and the phase difference are controlled to vary $t_i$ while keeping $t_w = 0.4$ ms.

**ASSOCIATED CONTENT**

\*Supporting Information\*

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acsphotonics.7b01104.

A scanning electron micrograph of a typical device, emission spectra in the memory-operation measurement, pulse-width dependence in the desorption time measurements, interval-time dependence in the adsorption time measurements, measurement setup for time-domain measurements, additional discussion on the adsorption time (PDF).

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**Notes**

The authors declare no competing financial interest.

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