Effects of a cognitive rehabilitation program based on mnemonic skills and memory compensatory strategies for older adults
A pilot study
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Abstract
Background: With the aging of the population, the number of people with age-related memory complaints has also increased. The purpose of this study was to develop a cognitive rehabilitation program based on mnemonic skills and memory compensatory strategies (CRM) and to investigate the effects of CRM in community-dwelling older adults without dementia.

Methods: This study was an open-label, single-arm, pilot study. We developed a CRM program comprising 8 weekly sessions. The study participants consisted of older adults with normal cognitive function and mild cognitive impairment (MCI). They were recruited from eight dementia counseling centers and one senior welfare center. To assess the effects of CRM, we administered the following tests at baseline and after completion of the program: Subjective Memory Complaints Questionnaire, the Short form of Geriatric Depression Scale, the Euro Quality of life–5 Dimension, and the Consortium to Establish a Registry for Alzheimer’s Disease Neuropsychological Assessment Battery.

Results: Thirty-two participants completed the study. Among older adults with normal cognitive function, CRM showed significant improvement in verbal memory function. Among the older adults with MCI, CRM showed significant improvements in language ability, verbal recognition memory, nonverbal memory, attention, and processing speed.

Conclusion: CRM improved cognitive function in two distinct populations, older adults with normal cognitive function and older adults with MCI. Additionally, our preliminary findings suggest that older adults with MCI show cognitive improvement in both the trained and non-trained cognitive domains.

Abbreviations: AD = Alzheimer’s disease, BNT = Boston Naming Test, CERAD-K = Consortium to Establish a Registry for Alzheimer’s Disease Neuropsychological Assessment Battery, CFT = Categorical Fluency Test, CPT = Constructional Praxis Test, CRM = cognitive rehabilitation program based on mnemonic skills and memory compensatory strategies, CRT = Constructional Recall Test, EQ-5D = Euro Quality of life–5 Dimension, EQ-VAS = EQ Visual Analog Scale, MCI = mild cognitive impairment, MMSE-KC = Mini-Mental Status Examination in the Korean Version of the CERAD Assessment Packet, SGDS-K = Korean version of the Short form of Geriatric Depression Scale, SMC = subjective memory complaints, SMCQ = Subjective Memory Complaints Questionnaire, TMT-A = Trail Making Test A, TMT-B = Trail Making Test B, WLMT = Word List Memory Test, WLRT = Word List Recall Test, WLRcT = Word List Recognition Test

Keywords: association learning, cognitive aging, cognitive therapy, mild cognitive impairment, rehabilitation

1. Introduction
With an increase in the proportion of older adults worldwide, there has been increased attention to the mental health of older adults. Cognitive decline is one of the most common challenges faced by the aging population. As a result, even healthy older adults who do not suffer from dementia often experience memory problems in their everyday lives. Older adults with cognitive complaints are more likely to progress to mild cognitive impairment (MCI) and Alzheimer’s disease (AD) compared with those without cognitive complaints.\(^{[1]}\) MCI is considered an intermediate stage between age-related cognitive decline and dementia.\(^{[2]}\)

However, based on the current knowledge, therapies aimed at restoring cognitive impairments have not yet been successful. Although numerous studies have been conducted to evaluate the...
Cognitive interventions can be classified as cognitive stimulation, cognitive training, or cognitive rehabilitation, depending on the methods that are employed. Cognitive training involves the structured practice of standardized tasks that are developed to improve specific cognitive functions, such as working memory, attention, or executive function. Cognitive stimulation refers to participation in non-specific activities that stimulate cognitive and social functioning. These activities include orientation reality, reminiscence therapy, paper folding, creating a topiary or ceramic item, and playing a musical instrument. Cognitive rehabilitation was originally developed primarily through the training of young people with traumatic brain injury but it has also been applied to people with cognitive impairments such as MCI or dementia. Cognitive rehabilitation has been used for years in patients with traumatic brain injury or stroke, and several evidence-based studies have demonstrated its effectiveness. Although several studies have shown that cognitive rehabilitation is effective in people with cognitive impairments,[11,12] there are relatively few well-designed studies on the development and effectiveness of programs that involve cognitive rehabilitation in people with MCI. Cognitive rehabilitation is considered as one of the most effective interventions in slowing down the progression of cognitive decline[13] and as these interventions have been administered relatively recently, additional studies are needed to assess and verify their therapeutic effects.

Cognitive rehabilitation methods include restorative approaches and compensatory methods.[14] Restorative approaches are based on retained abilities and employ a range of techniques to promote learning and relearning. Compensatory methods are based on a range of aids to support function and overcome the limitations resulting from cognitive impairments.[15] According to a methodological review of cognitive rehabilitation, this approach uses not only learning strategies, such as mnemonic skills, but also compensatory strategies that use external memory aids.[16] Mnemonic skills are systematic procedures for enhancing memory and cognitive tools that facilitate the organization and association of new information.[16] One of the most prominent mnemonic skills is the method of loci, an ancient technique used extensively by Greek and Roman orators.[17] The method of loci utilizes well-established memories of visuospatial routes. During encoding, the to-be-remembered lists are visualized at fixed locations within a route, then they are mentally retraced during recall.[18] Memory recall is enhanced when the method of loci is applied to memorize word lists.[19,20] Cognitive interventions, including mnemonic skills, facilitate learning and memory enhancement in healthy older adults and people with MCI.[10,21] These skills also improve quality of life by promoting active transfer to everyday cognitive tasks.[22] Compensatory strategies use environmental cues to enhance memory and everyday functioning using external memory aids, such as notes, calendars, and cell phones.[24] People with MCI can improve their functional ability and memory self-efficacy using a notebook or calendar.[25] Previous studies have shown that cognitive interventions can improve the functioning of trained cognitive domains. However, only a few studies have assessed the aspects of daily functioning or quality of life; the results have been inconsistent across studies and the effect sizes were small.[26,27] In addition, cognitive interventions focused on memory compensatory strategies improved daily functioning but did not show a clear improvement in cognitive functions.[25] Therefore, we developed a cognitive rehabilitation program that combined mnemonic skills and memory compensatory strategies to overcome the limitations of previous studies, which have only independently used mnemonic skills or compensatory strategies.[16,21,22,24,25] In particular, we focused on training to memorize a password for a bankbook, a door-lock password, and a person’s name that older adults could easily forget in their daily life using mnemonic skills rather than training tasks related to specific cognitive domains such as memory, attention, and executive function. We also tried to improve daily function by employing memory compensatory strategies using various tools, such as calendars or cell phones, which are widely available in the vicinity. This made it easier for older adults to apply the strategies in daily life. This pilot study aimed to reduce memory problems that can cause discomfort in everyday life and improve the quality of life among community-dwelling older adults. This study also evaluated the effects of our proposed cognitive rehabilitation program on subjective memory discomfort, objective cognitive function, depressive mood, and quality of life.

2. Methods
2.1. Study participants
The required sample size was calculated using a moderate effect size (d = 0.50), based on the effect size reported in a previous study.[29] A minimum of 14 samples was required for each group to achieve 80% power at a 5% significance level. Considering the relatively high age group and a drop-out rate of 20%, we decided to recruit approximately 40 participants for this pilot study. The study participants were recruited from a senior welfare center and eight community-based dementia counseling centers in Daegu from July 2018 to August 2018. We enrolled individuals over the age of 60 with either normal cognitive function or MCI. MCI was diagnosed according to clinical and neuropsychological information based on Petersen’s criteria.[29] Older adults with MCI had subjective memory complaints and an objective cognitive impairment that was determined when the score of any of the nine neuropsychological tests (except the Trail Making Test B [TMT-B] in the Consortium to Establish a Registry for Alzheimer’s Disease Neuropsychological Assessment Battery [CERAD-K]) was less than −1.5 standard deviation of the age-, gender-, and education-adjusted norms for Korean older adults. We excluded patients diagnosed with dementia using the diagnostic criteria of the Diagnostic and Statistical Manual of Mental Disorders, 5th edition (DSM-5). We also excluded participants with major psychiatric disorders, neurological disorders, or severe physical or speech disturbances that could affect cognitive function or make it difficult to attend the programs. We included participants who were regularly taking medications, such as sedatives or anticholinergics at stable doses, and these participants were instructed to maintain their medications for the duration of the program. This was done to avoid confusion about the effects of CRM that are attributed to changes in medication status. All participants attended the program for free.
2.2. Cognitive rehabilitation program

The CRM consisted of eight weekly sessions. Each session lasted approximately 50 minutes and was composed of two parts; the first part focused on mnemonic skills and the second part focused on memory compensatory strategies. The contents of each session are summarized in Table 1. Occupational therapists were trained in a 4-hour educational course for the program, and they subsequently conducted the program in each center. Additionally, both the older adults with normal cognitive function and older adults with MCI trained in the centers together.

The mnemonic skills sessions included word association with images, the method of loci, the method of body parts, numeric conversion, and face–name association. The occupational therapists educated the participants about mnemonic skills using detailed examples and allowed them to rehearse immediately. The participants repeatedly learned methods of association (word association with images, the method of loci, and the method of body parts), which are the basis of mnemonic skills, and these were practiced over several sessions. Using the method of association, the participants were able to remember a list of items (e.g., a grocery list). The first few sessions were intended for practicing and mastering the method of association sufficiently. In the succeeding sessions, participants learned and practiced how to memorize items, such as a password for a bank book, a door-lock password, and family birthdays by converting numbers to images. Participants also learned and practiced how to memorize a person’s name using face-name association, which links the salient features of appearance and name by applying the method of association. In the final session, participants practiced how to improve their memory and recall in various situations by applying the mnemonic skills that they had previously learned from the program. The learning process was repeated so that the participants would be able to learn and apply mnemonic skills to everyday life.

In the second part of the session, participants learned memory compensatory strategies using memory aids such as notebooks, calendars, cell phones, timers, storage boxes, and pillboxes. In older people with memory decline, external aids can be more effective than memory alone. The participants were taught methods about how to manage their schedules using calendars, remember unfamiliar places (e.g., parking lots) or important persons by taking pictures with cell phones, remember important items by placing them in specific, consistent locations (e.g., a basket or drawer), and remember to take medications by using pillboxes. At the end of the session, the participants were assigned some homework, which is reviewed in the following session. The participants were encouraged to practice these skills repeatedly in their daily lives.

| Table 1 |
|-----------------------------|
| Contents of each cognitive rehabilitation program session based on mnemonic skills and memory compensatory strategies. |

| Session | Mnemonic skills | Memory compensatory strategies |
|---------|----------------|-----------------------------|
| 1       | Word association with images 1 | Using a cellphone camera |
| 2       | Word association with images 2 | Managing schedules using a calendar, a note, or a board |
| 3       | Method of loci | Using an alarm |
| 4       | Method of body parts | Using a timer |
| 5       | Memorizing numbers | Taking important things when going out |
| 6       | Face-name association 1 | Using a storage box |
| 7       | Face-name association 2 | Taking medications without forgetting |
| 8       | Review: various ways of memorizing using both mnemonic skills and memory compensatory strategies together |

2.3. Neuropsychological assessments

All participants were evaluated by clinical psychologists or trained nurses before and immediately after the program.

The CERAD-K,[30] which consists of 10 neuropsychological tests that assess five cognitive domains (attention, memory, language, visuospatial, and executive function), was used to evaluate objective cognitive function. The CERAD-K was developed by translating the English version of the CERAD[31] clinical and neuropsychological assessment batteries into Korean. This takes into consideration the cultural and linguistic differences between English and Korean users. The CERAD-K is as reliable and valid as the English version of the CERAD. The MMSE[32] in the CERAD neuropsychological battery was translated except for items related to reading and writing since a large number of older adults in Korea are illiterate. These items were replaced with two items based on the Korean version of the MMSE (MMSE-K).[13] Cronbach’s alpha value for the Mini-Mental Status Examination in the Korean version of the CERAD Assessment Packet (MMSE-KC) was 0.92. The 15-item Boston Naming Test (BNT) was constructed by extracting 5 items for each lexical frequency (high, medium, and low) based on 60 items of the Korean version-BNT (K-BNT) standardized in Korea.[34] Language function was assessed by the Categorical Fluency Test (CFT) and the 15-item BNT; general cognitive function was assessed by the MMSE-KC; verbal memory was assessed by the Word List Memory Test (WLMT), the Word List Recall Test (WLRT), and the Word List Recognition Test (WLRCT); non-verbal memory was assessed by the Constructional Recall Test (CRT); visuospatial function was assessed by the Constructional Praxis Test (CPT); attention and processing speed were assessed by the Trail Making Test A (TMT-A); executive function was assessed by TMT-B.

Subjective memory complaints (SMC) were evaluated using the Subjective Memory Complaints Questionnaire (SMCQ), which has been validated and adapted for the Korean population.[35] The Cronbach’s α coefficient and intra-class correlation (ICC) coefficients of the SMCQ were 0.864 and 0.828, respectively. The SMCQ is a self-rating scale that comprises 15 items with “yes” or “no” answers that include SMC about general and everyday memory. A higher score indicates increased severity of SMC.

Depressive mood was evaluated by administering the Korean version of the Short form of Geriatric Depression Scale (SGDS-K), which was standardized by Cho et al.[36] The SGDS-K, which consists of 15 items, is designed to assess mood during the week preceding the test as a score of 0 to 15, with a range of outcome scores from 0 to 15. The higher the score of the SGDS-K, the greater the severity of depression; the cut-off point for depressive symptoms is 8.[35] The Cronbach’s α coefficient of the SGDS-K was 0.886.

We also administered the Euro Quality of life-5 Dimension (EQ-5D) questionnaire to measure health-related quality of life. The EQ-5D is a standardized measure of health status that was developed by the Euro Quality of Life group and consists of a descriptive system and the EQ Visual Analog Scale (EQ-VAS).[37] The EQ-5D descriptive system comprises 5 dimensions: mobility, self-care, usual activities, pain/discomfort, and anxiety/depression. Each dimension is rated at three levels; no problems, some problems, and extreme problems using a coded 3-point scale ranging from 1 to 3. The EQ-VAS is a vertical form visual analog scale ranging from 0 for the lowest health status to 100 for the best health status. The EQ-5D is a simple and useful test for the elderly in terms of time and cognition. In this study, we used the Korean version of the EQ-5D that was developed and validated for the Korean population.[31,38] The ICCs of the EQ-5D and EQ-VAS were 0.751 and 0.767, respectively, and the kappa values ranged from 0.455 to 0.772. The EQ-5D index was calculated by applying the valuation weights used in the National Health and Nutrition Survey.[40]
2.4. Statistical analysis

This was a small, open-label, preliminary study and therefore, parametric statistical analyses were not suitable. Participants who did not meet the inclusion criteria and those who dropped out were excluded from the study. Only those who completed the program were subsequently analyzed. Baseline characteristics were compared using the Mann–Whitney U test for continuous variables and Fisher’s exact test for categorical variables. We analyzed the effects of CRM in older adults with normal cognitive function and older adults with MCI. The Wilcoxon signed-rank test was used to evaluate the effects of CRM on SMC, objective cognitive function, depressive mood, and quality of life by assessing the differences between the scores before and after CRM training.

In addition, to analyze the effects of CRM, the effect size was calculated using the Z value of the Wilcoxon signed-rank test. The formula used was as follows:

\[ r = \frac{Z}{\sqrt{n}} \]

Cohen’s guidelines for rare that a large effect is 0.5, a medium; an effect is 0.3, and a small effect is 0.1 (Coolican, 2009, p. 395); Cohen’s guidelines for rare that a large effect is 0.5, a medium; an effect is 0.3, and a small effect is 0.1 (Coolican, 2009, p. 395); Cohen’s guidelines for r state that a large effect is 0.5, medium effect is 0.3, and small effect is 0.1.\[^{[41,42]}\]

All statistical analyses were performed using Statistical Package for the Social Sciences version 21.0 software (SPSS IBM, Armonk, NY, USA). For all analyses, P values <.05 were considered statistically significant.

2.5. Ethical considerations

The study protocol was reviewed and approved by the institutional review board of Kyungpook National University (approval No. 2018-0081). Written informed consent was obtained from each participant at the time of enrollment.

3. Results

3.1. Sample characteristics

A total of 42 participants were recruited; among them, one participant with suspected dementia was excluded and three participants declined to participate before the program started. A total of 38 participants (20 with normal cognitive function and 18 with MCI) participated in the program and 6 participants (4 with normal cognitive function and 2 with MCI) dropped out because of their refusal to participate in the program (Fig. 1). Therefore, a total of 32 participants (16 with normal cognitive function and 16 with MCI) completed the study. The baseline demographic and clinical characteristics of the participants are summarized in Table 2. There were no significant differences between older adults with normal cognitive function and older adults with MCI except for the CPT scores.

3.2. Effects of CRM on outcome measures in older adults with normal cognitive function

Intra-group comparisons of the older adults with normal cognitive function are shown in Table 3. When pre- and post-test scores were compared, CRM showed significant improvements in the scores of WLMT (P = .016) and WLRT (P = .049). In terms of the effect size of CRM, the effect sizes for WLMT and WLRT were 0.426 and 0.348, respectively, both indicating medium effects.

3.3. Effects of CRM on outcome measures in older adults with MCI

Intra-group comparisons of the older adults with MCI are presented in Table 4. Comparison of pre- and post-test scores within-group revealed significant improvements in BNT (P = .009), WLRcT (P = .016), CPT (P = .005), CRT (P = .012), and TMT-A (P = .033). In terms of the effect size of CRM, the effect sizes of BNT, WLRcT, CPT, CRT, and TMT-A were 0.464, 0.424, 0.496, 0.442, and 0.377, respectively, all indicating medium effects.

4. Discussion

This study assessed the impact of CRM on subjective cognitive discomfort, objective cognitive function, quality of life, and depressive mood in older adults. CRM improved verbal memory in older adults with normal cognitive function and various cognitive functions (language ability, verbal recognition memory, nonverbal memory, attention, and processing speed) in older adults with MCI.

Regarding cognitive function, there were significant improvements in WLMT and WLRcT scores for older adults with normal cognitive function and the BNT, WLRcT, CPT, CRT, and TMT-A scores for older adults with MCI. The effect size of CRM was medium in both groups. Previous studies have shown that mnemonic skills are linked to improvements in memory functions, such as recall and recognition memory.\[^{[21,43,44]}\] CRM not only demonstrated an improvement in memory function but also in language ability, attention, and processing speed. The transfer of training is the generalization of the training effect from one trained domain to another nontrained domain(s). The transfer is an important factor in determining the effectiveness of cognitive interventions and their potential to reduce or delay the incidence of dementia.\[^{[45]}\] Transfer of training occurs at multiple levels including transfer to non-trained tasks within the same cognitive domain (near transfer) or other cognitive domains (far transfer), and generalization of effects on everyday functioning.\[^{[10]}\] The mnemonic skills of CRM primarily focus on training verbal episodic memory. In older adults with normal cognitive function, only near transfer occurred. In older adults with MCI, there occurred far transfer where the effects of CRM could be transferred to untrained cognitive domains (such as language ability, attention, and processing speed) other than memory as well as near transfer. These results are consistent with previous studies, suggesting that training mnemonic skills is effective for older adults with MCI and can improve different cognitive domains.\[^{[16,26,27]}\] Mnemonic skills training in CRM, such as the method of loci, requires the participant’s attention and visuospatial ability. Through this form of training, various cognitive domains are stimulated, which improves episodic memory, as well as other cognitive domains. In addition, memory compensatory strategies would further strengthen memory function in daily life by allowing the participants to learn internal strategies using external aids. The effect size of CRM was medium in both groups, which is consistent with the results of previous meta-analyses where the effect size of cognitive intervention was found to range from small to moderate.\[^{[26,28]}\] These findings suggest that CRM could be an effective intervention for both older adults with normal cognitive function and older adults with MCI and that various cognitive domain functions might improve, especially among older adults with MCI.

Concerning general cognitive ability and general function, CRM did not significantly improve MMSE-KC, SMCQ, SDGS-K, EQ-5D, or EQ-VAS scores in older adults with normal cognitive function or MCI. This finding indicates that the efficacy of CRM cannot be transferred to everyday functioning. Contrary to our expectations, the SMCQ scores were not significantly better. As learning mnemonic skills is both time-consuming and requires effort, some participants may have experienced...
difficulty and frustration while mastering these new skills; these could undermine their subjective competence and result in negative ratings in SMCQ scores. In previous studies, cognitive interventions including mnemonic skills or memory compensatory strategies have shown near and far transfer. However, the results of previous studies were inconsistent in terms of the generalization of effects on improving daily functioning, such as quality of life, subjective cognitive function, depressive mood, and activities of daily living. Although our findings demonstrated improvements in cognitive function, there were no significant improvements in SMC or quality of life. This might be due to the small sample size, short training duration, lack of long-term follow-up, or importantly, the low level of functional impairment in older adults with MCI. Mnemonic skills and memory compensatory strategies were found to improve memory function. Memory compensatory strategies are easier to learn and apply than mnemonic skills, which might help older adults with MCI enhance their memory function and self-efficacy. Applying these strategies to everyday tasks through repetitive CRM training could relieve some of the issues in daily life caused by memory decline. Subsequently, this would improve the quality of life. Therefore, it is expected that the generalization of the effects of CRM will be demonstrated through further research with larger sample populations.

This study had several limitations that should be acknowledged. First, since this was a pilot study, the number of participants was relatively small. Therefore, the results should be interpreted with caution because the statistical power of the tests was reduced and the likelihood of producing a type II error was higher. Second, this study was conducted with no control group, which makes the interpretation of the effects difficult. Although CRM improved cognitive function in this study, further studies with the control group are needed to confirm this effect. Third, no follow-up assessments were performed. The long-term effect of CRM on cognitive function could be important in delaying the onset of dementia because older adults with MCI have a high probability of developing dementia. Fourth, we did not assess health behaviors such as smoking and alcohol consumption, which could affect the performance of cognitive interventions. In addition, physical conditions,
considered moderators of the effect of cognitive interventions, and a systematic review and meta-analysis found that demographic variables such as age, education, and cognitive status did not significantly impact intervention efficacy.24 This pilot study evaluated the short-term effect of CRM; therefore, it was assumed that these factors would not have a significant effect on the program effect.

In conclusion, our results demonstrated that CRM improved cognitive function in both trained and untrained cognitive domains, although it did not improve daily functioning. These results are promising, but the small sample size limits the generalization of our findings. Nevertheless, rather than training for a task in a specific cognitive domain, it is important to highlight that we attempted to make it easier for older adults to apply additional randomized, controlled studies with larger sample sizes are needed to confirm the effects of CRM and its maintenance as a non-pharmacological intervention strategy for older adults with cognitive impairments. These results are expected to contribute to the development of increasingly effective cognitive rehabilitation programs for older adults with cognitive impairment.

Author contributions

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