Fast and Accurate Arc Filtering for Dependency Parsing

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Abstract

We propose a series of learned arc filters to speed up graph-based dependency parsing. A cascade of filters identify implausible head-modifier pairs, with time complexity that is first linear, and then quadratic in the length of the sentence. The linear filters reliably predict, in context, words that are roots or leaves of dependency trees, and words that are likely to have heads on their left or right. We use this information to quickly prune arcs from the dependency graph. More than 78% of total arcs are pruned while retaining 99.5% of the true dependencies. These filters improve the speed of two state-of-the-art dependency parsers, with low overhead and negligible loss in accuracy.

1 Introduction

Dependency parsing finds direct syntactic relationships between words by connecting head-modifier pairs into a tree structure. Dependency information is useful for a wealth of natural language processing tasks, including question answering (Wang et al., 2007), semantic parsing (Poon and Domingos, 2009), and machine translation (Galley and Manning, 2009).

We propose and test a series of arc filters for graph-based dependency parsers, which rule out potential head-modifier pairs before parsing begins. In doing so, we hope to eliminate implausible links early, saving the costs associated with them, and speeding up parsing. In addition to the scaling benefits that come with faster processing, we hope to enable richer features for parsing by constraining the set of arcs that need to be considered. This could allow extremely large feature sets (Koo et al., 2008), or the look-up of expensive corpus-based features such as word-pair mutual information (Wang et al., 2006). These filters could also facilitate expensive learning algorithms, such as semi-supervised approaches (Wang et al., 2008).

We propose three levels of filtering, which are applied in a sequence of increasing complexity:

**Rules:** A simple set of machine-learned rules based only on parts-of-speech. They prune over 25% of potential arcs with almost no loss in coverage. Rules save on the wasted effort for assessing implausible arcs such as DT → DT.

**Linear:** A series of classifiers that tag words according to their possible roles in the dependency tree. By treating each word independently and ensuring constant-time feature extraction, they operate in linear time. We view these as a dependency-parsing analogue to the span-pruning proposed by Roark and Hollingshead (2008). Our fast linear filters prune 54.2% of potential arcs while recovering 99.7% of true pairs.

**Quadratic:** A final stage that looks at pairs of words to prune unlikely arcs from the dependency tree. By employing a light-weight feature set, this high-precision filter can enable more expensive processing on the remaining plausible dependencies.

Collectively, we show that more than 78% of total arcs can be pruned while retaining 99.5% of the true dependencies. We test the impact of these filters at both train and test time, using two state-of-the-art discriminative parsers, demonstrating speed-ups of between 1.9 and 5.6, with little impact on parsing accuracy.
investors continue to pour cash into money funds

Figure 1: An example dependency parse.

2 Dependency Parsing

A dependency tree represents the syntactic structure of a sentence as a directed graph (Figure 1), with a node for each word, and arcs indicating head-modifier pairs (Melčuk, 1987). Though dependencies can be extracted from many formalisms, there is a growing interest in predicting dependency trees directly. To that end, there are two dominant approaches: graph-based methods, characterized by arc features in an exhaustive search, and transition-based methods, characterized by operational features in a greedy search (McDonald and Nivre, 2007). We focus on graph-based parsing, as its exhaustive search has the most to gain from our filters.

Graph-based dependency parsing finds the highest-scoring tree according to a scoring function that decomposes under an exhaustive search (McDonald et al., 2005). The most natural decomposition scores individual arcs, represented as head-modifier pairs \([h, m]\). This enables search by either minimum spanning tree (West, 2001) or by Eisner’s (1996) projective parser. This paper focuses on the projective case, though our techniques transfer to spanning tree parsing. With a linear scoring function, the parser solves:

$$\text{parse}(s) = \text{argmax}_{t \in s} \sum_{[h, m] \in t} \bar{w} \cdot \tilde{f}(h, m, s)$$

The weights \(\bar{w}\) are typically learned using an online method, such as an averaged perceptron (Collins, 2002) or MIRA (Crammer and Singer, 2003). 2\textsuperscript{nd}-order searches, which consider two siblings at a time, are available with no increase in asymptotic complexity (McDonald and Pereira, 2006; Carreras, 2007).

The complexity of graph-based parsing is bounded by two processes: parsing (carrying out the \text{argmax}) and arc scoring (calculating \(\bar{w} \cdot \tilde{f}(h, m, s)\)). For a sentence with \(n\) words, projective parsing takes \(O(n^3)\) time, while the spanning tree algorithm is \(O(n^2)\). Both parsers require scores for arcs connecting each possible \([h, m]\) pair in \(s\); therefore, the cost of arc scoring is also \(O(n^2)\), and may become \(O(n^3)\) if the features include words in \(s\) between \(h\) and \(m\) (Galley and Manning, 2009). Arc scoring also has a significant constant term: the number of features extracted for an \([h, m]\) pair. Our in-house graph-based parser collects on average 62 features for each potential arc, a number larger than the length of most sentences. With the cluster-based features suggested by Koo et al. (2008), this could easily grow by a factor of 3 or 4.

The high cost of arc scoring, coupled with the parsing stage’s low grammar constant, means that graph-based parsers spend much of their time scoring potential arcs. Johnson (2007) reports that when arc scores have been precomputed, the dynamic programming component of his 1\textsuperscript{st}-order parser can process an amazing 3,580 sentences per second.\(^1\) Beyond reducing the number of features, the easiest way to reduce the computational burden of arc scoring is to score only plausible arcs.

3 Related Work

3.1 Vine Parsing

Filtering dependency arcs has been explored primarily in the form of vine parsing (Eisner and Smith, 2005; Dreyer et al., 2006). Vine parsing establishes that, since most dependencies are short, one can parse quickly by placing a hard constraint on arc length. As this coarse filter quickly degrades the best achievable performance, Eisner and Smith (2005) also consider conditioning the constraint on the part-of-speech (PoS) tags being linked and the direction of the arc, resulting in a separate threshold for each \([\text{tag}(h), \text{tag}(m), \text{dir}(h, m)]\) triple. They sketch an algorithm where the thresholded length for each triple starts at the highest value seen in the training data. Thresholds are then decreased in a greedy fashion, with each step producing the smallest possible reduction in reachable training arcs. We employ this algorithm as a baseline in our experiments. To our knowledge, vine parsing

\(^1\)To calibrate this speed, consider that the publicly available 1\textsuperscript{st}-order MST parser processes 16 sentences per second on modern hardware. This includes I/O costs in addition to the costs of arc scoring and parsing.
has not previously been tested with a state-of-the-art, discriminative dependency parser.

3.2 CFG Cell Classification

Roark and Hollingshead (2008) speed up another exhaustive parsing algorithm, the CKY parser for CFGs, by classifying each word in the sentence according to whether it can open (or close) a multi-word constituent. With a high-precision tagger that errs on the side of permitting constituents, they show a significant improvement in speed with no reduction in accuracy.

It is difficult to port their idea directly to dependency parsing without committing to a particular search algorithm, and thereby sacrificing some of the graph-based formalism’s modularity. However, some of our linear filters (see Section 4.3) were inspired by their constraints.

3.3 Coarse-to-fine Parsing

Another common method employed to speed up exhaustive parsers is a coarse-to-fine approach, where a cheap, coarse model prunes the search space for later, more expensive models (Charniak et al., 2006; Petrov and Klein, 2007). This approach assumes a common forest or chart representation, shared by all granularities, where one can efficiently track the pruning decisions of the coarse models. One could imagine applying such a solution to dependency parsing, but the exact implementation of the coarse pass would vary according to the choice in search algorithm. Our filters are much more modular: they apply to both 1st-order spanning tree parsing and 2nd-order projective parsing, with no modification.

Carreras et al. (2008) use coarse-to-fine pruning with dependency parsing, but in that case, a graph-based dependency parser provides the coarse pass, with the fine pass being a far-more-expensive tree-adjoining grammar. Our filters could become a 0th pass, further increasing the efficiency of their approach.

4 Arc Filters

We propose arc filtering as a preprocessing step for dependency parsing. An arc filter removes implausible head-modifier arcs from the complete dependency graph (which initially includes all head-modifier arcs). We use three stages of filters that operate in sequence on progressively sparser graphs: 1) rule-based, 2) linear: a single pass through the $n$ nodes in a sentence ($O(n)$ complexity), and 3) quadratic: a scoring of all remaining arcs ($O(n^2)$). The less intensive filters are used first, saving time by leaving fewer arcs to be processed by the more intensive systems.

Implementations of our rule-based, linear, and quadratic filters are publicly available at: http://code.google.com/p/arcfilter/

4.1 Filter Framework

Our filters assume the input sentences have been PoS-tagged. We also add an artificial root node to each sentence to be the head of the tree’s root. Initially, this node is a potential head for all words in the sentence.

Each filter is a supervised classifier. For example, the quadratic filter directly classifies whether a proposed head-modifier pair is not a link in the dependency tree. Training data is created from annotated trees. All possible arcs are extracted for each training sentence, and those that are present in the annotated tree are labeled as class $-1$, while those not present are $+1$. A similar process generates training examples for the other filters. Since our goal is to only filter very implausible arcs, we bias the classifier to high precision, increasing the cost for misclassifying a true arc during learning.3

Class-specific costs are command-line parameters for many learning packages. One can interpret the learning objective as minimizing regularized, weighted loss:

$$
\min_{\theta} \frac{1}{2} ||\bar{w}||^2 + C_1 \sum_{i,y_i=1} l(\bar{w}, y_i, \bar{x}_i) + C_2 \sum_{i,y_i=-1} l(\bar{w}, y_i, \bar{x}_i)
$$

(1)

where $l()$ is the learning method’s loss function, $\bar{x}_i$ and $y_i$ are the features and label for the $i$th

3Learning with a cost model is generally preferable to first optimizing error rate and then thresholding the prediction values to select a high-confidence subset (Joachims, 2005), but the latter approach was used successfully for cell classification in Roark and Hollingshead (2008).
not a head | " " . ; | CC PRP$ PRP EX
-RRB- -LRB-
no * ← m | EX LS POS PRP$
no m → * | . RP
not a root | DT
no h ← m | DT { DT,JJ,NN,NNP,NNS, }
CD ← CD NN { DT,NNP }
NNP ← { DT,NN,NNS }
no m → h | { DT,IN,JJ,NN,NNP } → DT
NNP → IN IN → JJ

Table 1: Learned rules for filtering dependency arcs using PoS tags. The rules filter 25% of possible arcs while recovering 99.9% of true links.

4.2 Rule-Based Filtering

Our rule-based filters seek to instantly remove those arcs that are trivially implausible on the basis of their head and modifier PoS tags. We first extract labeled examples from gold-standard trees for whenever a) a word is not a head, b) a word does not have a head on the left (resp. right), and c) a pair of words is not linked. We then trained high-precision SVM classifiers. The only features in $\vec{x}$ are the PoS tag(s) of the head and/or modifier. The learned feature weights identify the tags and tag-pairs to be filtered. For example, if a tag has a positive weight in the not-a-head classifier, all arcs having that node as head are filtered.

The classifier selects a small number of high-precision rules, shown in Table 1. Note that the rules tend to use common tags with well-defined roles. By focusing on weighted loss as opposed to arc frequency, the classifier discovers structural zeros (Mohri and Roark, 2006), events which could have been observed, but were not. We consider this an improvement over the frequency-based length thresholds employed previously in tag-specific vine parsing.

4.3 Linear-Time Filtering

In the linear filtering stage, we filter arcs on the basis of single nodes and their contexts, passing through the sentences in linear time. For each node, eight separate classifiers decide whether:

1. It is not a head (i.e., it is a leaf of the tree).
2. Its head is on the left/right.
3. Its head is within 5 nodes on the left/right.
4. Its head is immediately on the left/right.
5. It is the root.

For each of these decisions, we again train high-precision SVMs with $C_2 >> C_1$, and filter directly based on the classifier output.

If a word is not a head, all arcs with the given word as head can be pruned. If a word is deemed to have a head within a certain range on the left or right, then all arcs that do not obey this constraint can be pruned. If a root is found, no other words should link to the artificial root node. Furthermore, in a projective dependency tree, no arc will cross the root, i.e., there will be no arcs where a head and a modifier lie on either side of the root. We can therefore also filter arcs that violate this constraint when parsing projectively.

Søgaard and Kuhn (2009) previously proposed a tagger to further constrain a vine parser. Their tags are a subset of our decisions (items 4 and 5 above), and have not yet been tested in a state-of-the-art system.

Development experiments show that if we could perfectly make decisions 1-5 for each word, we could remove 91.7% of the total arcs or 95% of negative arcs, close to the upper bound.

Features

Unlike rule-based filtering, linear filtering uses a rich set of features (Table 2). Each feature is a
Table 2: Linear filter features for a node at position \( i \) in a sentence of length \( n \). Each feature is also conjoined (unless redundant) with word\(_i\), tag\(_i\), shape\(_i\), prefix\(_i\), and suffix\(_i\) (both 4 letters). The shape is the word normalized using the regular expressions [A-Z]+ → A and [a-z]+ → a.

| PoS-tag features | Other features |
|------------------|----------------|
| \( \text{tag}_i \) | \( \text{word}_i \) |
| \( \text{tag}_{i-1} \) | \( \text{word}_{i+1} \) |
| \( \text{tag}_{i+1} \) | \( \text{word}_{i-1} \) |
| \( \text{tag}_{i-2}, \text{tag}_{i+1} \) | \( \text{shape}_i \) |
| \( \text{tag}_{i-1}, \text{tag}_{i+1} \) | \( \text{prefix}_i \) |
| \( \text{tag}_{i+1}, \text{tag}_{i+2} \) | \( \text{suffix}_i \) |

| \( \text{tag}_j \), Left, \( j = i-5...i-1 \) | \( i \) |
| \( \text{tag}_j \), Right, \( j = i+1...i+5 \) | \( i, n \) |

| \( \text{tag}_j \), \( i-j \), \( j = i-5...i-1 \) | \( n - i \) |

Table 3: Quadratic filter features for a head at position \( h \) and a modifier at position \( m \) in a sentence of length \( n \). Here \( \text{tags}_{hm} = (\text{sign}(h-m), \text{tag}_h, \text{tag}_m) \), while \( \text{tags}_{h...m} \) and \( \text{words}_{h...m} \) are all the tags (resp. words) between \( h \) and \( m \), but within \( \pm 5 \) positions of \( h \) or \( m \).

Binary features
- \( \text{sign}(h-m) \)
- \( \text{tag}_{h-1}, \text{tag}_{shm}, \text{tag}_{h+1}, \text{tag}_{shm} \)
- \( \text{sign}(h-m), \text{tag}_h, \text{word}_m \)
- \( \text{sign}(h-m), \text{word}_h, \text{tag}_m \)

Real features ⇒ values
- \( \text{sign}(h-m) \) ⇒ \( h-m \)
- \( \text{tag}_h, \text{tag}_m \) ⇒ \( h-m \)
- \( \text{tag}_k, \text{tags}_{hm} \) ⇒ \( \text{Count}(\text{tag}_k \in \text{tags}_{h...m}) \)
- \( \text{word}_k, \text{tags}_{hm} \) ⇒ \( \text{Count}(\text{word}_k \in \text{words}_{h...m}) \)

While theoretically of the same complexity as the parser’s arc-scoring function \( (O(n^2)) \), this process can nevertheless save time by employing a compact feature set. We view quadratic filtering as a light preprocessing step, using only a portion of the resources that might be used in the final scoring function.

Features

Quadratic filtering uses both binary and real-valued features (Table 3). Real-valued features promote a smaller feature space. For example, one value can encode distance rather than separate features for different distances. We also generalize the “between-tag features” used in McDonald et al. (2005) to be the count of each tag between the head and modifier. The count may be more informative than tag presence alone, particularly for high-precision filters. We follow Galley and Manning (2009) in using only between-tags within a fixed range of the head or modifier, so that the extraction for each pair is \( O(1) \) and the overall feature extraction is \( O(n^2) \).

4.4 Quadratic-Time Filtering

In the quadratic filtering stage, a single classifier decides whether each head-modifier pair should be filtered. It is trained and applied as described in Section 4.1.
5 Filter Experiments

Data

We extract dependency structures from the Penn Treebank using the Penn2Malt extraction tool,\(^5\) which implements the head rules of Yamada and Matsumoto (2003). Following convention, we divide the Treebank into train (sections 2–21), development (22) and test sets (23). The development and test sets are re-tagged using the Stanford tagger (Toutanova et al., 2003).

Evaluation Metrics

To measure intrinsic filter quality, we define Reduction as the proportion of total arcs removed, and Coverage as the proportion of true head-modifier arcs retained. Our evaluation asks, for each filter, what Reduction can be obtained at a given Coverage level? We also give Time: how long it takes to apply the filters to the test set (excluding initialization).

We compute an Upper Bound for Reduction on development data. There are 1.2 million potential dependency links in those sentences, 96.5% of which are not present in a gold standard dependency tree. Therefore, the maximum achievable Reduction is 96.5%.

Systems

We evaluate the following systems:

- **Rules**: the rule-based filter (Section 4.2)
- **Lin.**: the linear-time filters (Section 4.3)
- **Quad.**: the quadratic filter (Section 4.4)

The latter two approaches run on the output of the previous stage. We compare to the two vine parsing approaches described in Section 3.1:

- **Len-Vine** uses a hard limit on arc length.
- **Tag-Vine** (later, Vine) learns a maximum length for dependency arcs for every head/modifier tag-combination and order.

5.1 Results

We set each filter’s parameters by selecting a Coverage-Reduction tradeoff on development data (Figure 2). The Lin curve is obtained by varying both the \(C_1/C_2\) cost parameters and the combination of components (plotting the best Reduction at each Coverage level). We chose the linear filters with 99.8% Coverage at a 54.2% Reduction. We apply Quad on this output, varying the cost parameters to produce its curve. Aside from Len-Vine, all filters remove a large number of arcs with little drop in Coverage.

After selecting a desired trade-off for each classifier, we move to final filtering experiments on unseen test data (Table 4). The linear filter removes well over half the links but retains an astounding 99.7% of correct arcs. Quad removes 78.4% of arcs at 99.5% Coverage. It thus reduces the number of links to be scored by a dependency parser by a factor of five.

The time for filtering the 2416 test sentences varies from almost instantaneous for Vine and Rules to around 16 seconds for Quad. Speed numbers are highly machine, design, and implemen-
Table 5: Linear Filters: Test-set performance (%) on decisions for components of the combined 54.2 Reduct./99.73 Coverage linear filter.

| Decision | Precision | Recall |
|----------|-----------|--------|
| No-Head  | 99.9      | 44.8   |
| Right-∅  | 99.9      | 28.7   |
| Left-∅   | 99.9      | 39.0   |
| Right-5  | 99.8      | 31.5   |
| Left-5   | 99.9      | 19.7   |
| Right-1  | 99.7      | 6.2    |
| Left-1   | 99.7      | 27.3   |
| Root     | 98.6      | 25.5   |

Table 6: Contribution of different linear filters to test set performance (%). Oracle indicates the percentage filtered by perfect decisions.

| Type      | Coverage | Reduct. | Oracle |
|-----------|----------|---------|--------|
| All       | 99.73    | 54.2    | 91.8   |
| All\No-Head | 99.76  | 46.4    | 87.2   |
| All\Left-∅  | 99.74  | 53.2    | 91.4   |
| All\Right-∅ | 99.75  | 53.6    | 90.7   |
| All\Left-5   | 99.74  | 53.2    | 89.7   |
| All\Right-5  | 99.74  | 51.6    | 90.4   |
| All\Left-1    | 99.75  | 53.5    | 90.8   |
| All\Right-1   | 99.73  | 53.9    | 90.6   |
| All\Root      | 99.76  | 50.2    | 90.0   |

5.2 Linear Filtering Analysis

It is instructive to further analyze the components of the linear filter. Table 5 gives the performance of each classifier on its specific decision. **Precision** is the proportion of positive classifications that are correct. **Recall** is the proportion of positive instances that are classified positively (e.g. the proportion of actual roots that were classified as roots). The decisions correspond to items 1-5 in Section 4.3. For example, Right-∅ is the decision that a word has no head on the right.

Most notably, the optimum Root decision has much lower Precision than the others, but this has little effect on its overall accuracy as a filter (Table 6). This is perhaps because the few cases of false positives are still likely to be main verbs or auxiliaries, and thus still likely to have few links crossing them. Thus many of the filtered links are still correct.

Table 6 provides the performance of the classifier combination when each linear decision is excluded. No-Head is the most important component in the oracle and the actual combination.

6 Parsing Experiments

6.1 Set-up

In this section, we investigate the impact of our filters on graph-based dependency parsers. We train each parser unfiltered, and then measure its speed and accuracy once filters have been applied. We use the same training, development and test sets described in Section 5. We evaluate unlabeled dependency parsing using head **accuracy**: the percentage of words (ignoring punctuation) that are assigned the correct head.

The filters bypass feature extraction for each filtered arc, and replace its score with an extremely low negative value. Note that 2nd-order features consider \(O(n^3) [h, m_1, m_2]\) triples. These triples are filtered if at least one component arc \([h, m_1]\) or \([h, m_2]\) is filtered.

In an optimal implementation, we might also have the parser re-use features extracted during filtering when scoring the remaining arcs. We did not do this. Instead, filtering was treated as a pre-processing step, which maximizes the portability of the filters across parsers. We test on two state-of-the-art parsers:

**MST** We modified the publicly-available MST parser (McDonald et al., 2005)\(^6\) to employ our filters before carrying out feature extraction. MST is trained with 5-best MIRA.

**DepPercep** We also test an in-house dependency parser, which conducts projective first and 2nd-order searches using the split-head CFG described by Johnson (2007), with a weight vector trained using an averaged perceptron (Collins,

\(^6\)http://sourceforge.net/projects/mstparser/
Table 7: The effect of filtering on the speed and accuracy on 1st and 2nd-order dependency parsing.

| Filter | Cost | DepPercep-1 Acc. Time | DepPercep-2 Acc. Time | MST-1 Acc. Time | MST-2 Acc. Time |
|--------|------|-----------------------|-----------------------|----------------|----------------|
| None   | +0   | 91.8 348              | 92.5 832              | 91.2 153       | 91.9 200       |
| Vine   | +3   | 91.7 192              | 92.3 407              | 91.2 99        | 91.8 139       |
| Rules  | +1   | 91.7 264              | 92.4 609              | 91.2 125       | 91.9 167       |
| Linear | +7   | 91.7 168              | 92.4 334              | 91.2 88        | 91.8 121       |
| Quad.  | +16  | 91.7 79               | 92.3 125              | 91.2 58        | 91.8 80        |

6.2 Results

The parsing results are shown in Table 7, where times are given in seconds, and Cost indicates the additional cost of filtering. Note that the impact of all filters on accuracy is negligible, with a decrease of at most 0.2%. In general, parsing speed-ups mirror the amount of arc reduction measured in our filter analysis (Section 5.1).

Accounting for filter costs, the benefits of quadratic filtering depend on the parser. The extra benefit of quadratic over linear is substantial for DepPercep, but less so for 1st-order MST.

MST shows more modest speed-ups than DepPercep, but MST is already among the fastest publicly-available data-driven parsers. Under quadratic filtering, MST-2 goes from processing 12 sentences per second to 23 sentences.8

DepPercep-2 starts slow, but benefits greatly from filtering. This is because, unlike MST-2, it does not optimize feature extraction by factoring its ten 2nd-order features into two triple \((h, m_1, m_2)\) and eight sibling \((m_1, m_2)\) features. This suggests that filtering could have a dramatic effect on a parser that uses more than a few triple features, such as Koo et al. (2008).

7 Conclusion

We have presented a series of arc filters that speed up graph-based dependency parsing. By treating filtering as weighted classification, we learn a cascade of increasingly complex filters from tree-annotated data. Linear-time filters prune 54% of total arcs, while quadratic-time filters prune 78%. Both retain at least 99.5% of true dependencies. By testing two state-of-the-art dependency parsers, we have shown that our filters produce substantial speed improvements in even carefully-optimized parsers, with negligible losses in accuracy. In the future we hope to leverage this reduced search space to explore features derived from large corpora.

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7 This was enabled by using word features only when the word is among the 800 most frequent in the training set.

8 This speed accounts for 25 total seconds to apply the rules, linear, and quadratic filters.
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