Epidemiological, behavioural, and clinical factors associated with antimicrobial-resistant gonorrhoea: a review [version 1; referees: 2 approved]

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Abstract
Antimicrobial-resistant Neisseria gonorrhoeae is a global public health problem in the 21st century. N. gonorrhoeae has developed resistance to all classes of antibiotics used for empirical treatment, and clinical treatment failure caused by extensively resistant strains has been reported. Identifying specific factors associated with an increased risk of antimicrobial-resistant N. gonorrhoeae might help to develop strategies to improve antimicrobial stewardship. In this review, we describe the findings of 24 studies, published between 1989 and 2017, that examined epidemiological, behavioural, and clinical factors and their associations with a range of antimicrobial agents used to treat gonorrhoea. Antimicrobial-resistant N. gonorrhoeae is more common in older than younger adults and in men who have sex with men compared with heterosexual men and women. Antimicrobial-resistant N. gonorrhoeae is less common in some black minority and Aboriginal ethnic groups than in the majority white population in high-income countries. The factors associated with antimicrobial-resistant gonorrhoea are not necessarily those associated with a higher risk of gonorrhoea.
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Introduction

Antimicrobial-resistant *Neisseria gonorrhoeae* (AMR-NG) is a global public health challenge. The World Health Organization (WHO) estimates that, in 2012, more than 78 million new infections with gonorrhoea occurred worldwide. Of these, more than 90% were in low- and middle-income countries. In high-income countries, including England, the USA, and Australia, *N. gonorrhoeae* is the second most commonly reported bacterial sexually transmitted infection (STI). *N. gonorrhoeae* primarily infects the mucosal epithelium, causing urethritis in men, cervicitis in women, and rectal and pharyngeal infection in men who have sex with men (MSM) and women. Untreated infection that spreads to the upper genital tract can cause epididymo-orchitis and pelvic inflammatory disease, ectopic pregnancy, and tubal infertility. Infection in pregnancy is associated with preterm birth and low birthweight and can cause neonatal conjunctivitis if transmitted during delivery. Rarely, *N. gonorrhoeae* can spread systemically, causing arthritis, endocarditis, and septicaemia. The inflammatory response to *N. gonorrhoeae* in the genital tract increases the infectivity of HIV. All of these complications will become more frequent if antimicrobial resistance renders gonorrhoea untreatable. Gonorrhoea shows some epidemiological characteristics with other bacterial STIs. It is associated with higher numbers of sex partners, which are more common in MSM than in heterosexual adults, younger age, and lower socioeconomic position, and, in high-income countries, it is associated with being a member of some black and minority groups.

*N. gonorrhoeae* is a bacterium that has extensive capacity for genetic mutation or plasmid exchange of resistant genes throughout its life cycle. This remarkable biological characteristic has helped the bacteria to survive and evolve or acquire resistance to many different classes of antibiotics over the years. Unemo and Shafer have reviewed antimicrobial treatments for gonorrhoea and the emergence of resistance comprehensively up to 2014. Penicillin was first used to treat gonorrhoea in 1943. Initially, chromosomally mediated resistance emerged, so higher and higher doses were needed to cure gonorrhoea. In 1976, the first plasmid-mediated penicillinase-producing strains were reported from South East Asia and West Africa. In the 1990s, quinolones, particularly the fluoroquinolone ciprofloxacin, replaced penicillin as the first-line treatment for gonorrhoea. Penicillin resistance was reported initially from countries in South East Asia and spread internationally by the early to mid-2000s. Third-generation, extended-spectrum cephalosporins (ESCs) (mostly oral cefixime and injectable ceftixime) have been recommended for first-line use since the early 2000s. Resistance to ESCs was reported first in Japan, and strains with high-level resistance to ESCs spread to Europe. Currently, the WHO recommends dual therapy with ceftriaxone and azithromycin for the first-line treatment of gonorrhoea, and the intention is to ensure cure rates of greater than 95% of infections. Clinical treatment failure and high-level resistance to this regimen were reported in 2016. Resistance has also emerged to other drugs, such as tetracyclines, spectinomycin, and azithromycin, that have not been used widely as first-line treatments.

Antimicrobial resistance hampers strategies to control and prevent gonorrhoea. Understanding factors that are associated with AMR-NG could help to identify groups at high risk of having resistant infections, provide more focused management, and assist antimicrobial stewardship. In this review, we describe the findings of studies that have examined associations between epidemiological, behavioural, and clinical factors and the presence of AMR-NG.

Search strategy

We searched Medline (Ovid, Wolters Kluwer) from 1946 until August 2017 without language restrictions by using combinations of keywords for the organism, AMR, and associated factors: *Neisseria gonorrhoeae* or gonorrhoea, drug resistance, risk factors, sexual behaviour, health services, or epidemiology. We selected studies that compared epidemiological, behavioural, or clinical factors in people with or without AMR-NG. We recorded information about study characteristics, study population, antimicrobials, and findings from each study in an evidence table (Appendix 1).

Characteristics of included studies

Of 129 articles identified, 24 publications were included. Appendix 1 summarises the main characteristics of each study. All included studies used a cross-sectional (16 studies) or case-control (eight studies) study design. Nine studies were nested in surveillance systems for AMR-NG, and 14 reported a multivariable analysis. These regions include countries with the best-established surveillance systems for STIs in general and systematic surveillance systems for antimicrobial resistance, such as the Gonococcal Resistance to Antimicrobials Surveillance Programme (GRASP) in England and Wales, the US Gonococcal Isolate Surveillance Program (GISP), and the Australian Gonococcal Surveillance Programme (AGSP). These systems can collect demographic and epidemiological data so that associations with AMR-NG can be assessed regularly. Our search did not find any studies about potential risk factors for AMR-NG from Africa, where the prevalence and incidence of gonorrhoea are high, or from Latin America and the Caribbean, South East Asia, or Eastern Mediterranean regions, where surveillance for STIs and AMR is also limited. Although AMR-NG strains with resistance to penicillin (penicillinase-producing), spectinomycin, fluoroquinolones, and ESCs were first reported from countries in the Western Pacific region, such as Japan, South Korea, and the Philippines, we found only three studies in the region that examined factors associated with AMR-NG: two in China and one in the Philippines.

Figure 1 shows the distribution of studies that have examined potential risk factors for AMR-NG over time, according to antibiotic class. Broadly speaking, these follow the periods in which each antimicrobial class was a recommended treatment. The first studies, published in 1989, examined risk factors for penicillin resistance and for tetracycline, which was beginning to be used to treat chlamydia infections and non-specific genital
Table 1. Estimated numbers of new gonorrhoea cases, countries reporting *Neisseria gonorrhoeae* resistance, and number of studies about risk factors by WHO region.

| WHO region (number of countries in the region) | Number of new cases of gonorrhoea, 2012 | Countries reporting resistance for at least one year from 2009 to 2014 | Number of publications in review |
|-----------------------------------------------|-----------------------------------------|---------------------------------|---------------------------------|
| African region (46 countries)                | 11,440                                  | 6/8                             | 3/3                             | 1/9                             | 0                               |
| Region of the Americas (35 countries)        | 10,974                                  | 14/16                           | 2/7                             | 0/16                            |                                 |
| North America                                |                                         |                                 |                                 |                                 |                                 |
| Latin America and Caribbean                  |                                         |                                 |                                 |                                 | 8                               |
| Eastern Mediterranean region (21 countries)  | 4,526                                   | 0/1                             | 0/1                             | 0/3                             | 0                               |
| European region (53 countries)               | 4,686                                   | 23/26                           | 21/26                           | 15/27                           | 11                              |
| South East Asia region (11 countries)        | 11,407                                  | 2/6                             | 1/6                             | 4/6                             | 0                               |
| Western Pacific region (27 countries)        | 35,247                                  | 7/15                            | 2/15                            | 6/16                            |                                 |
| Australia                                     |                                         |                                 |                                 |                                 | 2                               |
| Rest of Western Pacific                      |                                         |                                 |                                 |                                 | 3                               |

*Region of the Americas divided into countries of North America and Latin America and the Caribbean; all studies in the review were in North America. The Western Pacific region subdivided into Australia and all other countries in the region; two out of five studies were from Australia.

*Estimates from the World Health Organization (WHO)\(^2\). The point estimate for each region is given. Numbers of cases for the subdivided regions are not known.

*From WHO global gonococcal antimicrobial surveillance programme (GASP)\(^2\). Numbers are the number of countries reporting resistance of at least 5%/total number countries reporting to GASP. ESC, extended-spectrum cephalosporin.

Figure 1. Number of studies found about factors associated with antimicrobial-resistant *Neisseria gonorrhoeae* from 1989 to 2017, according to class of antimicrobial.
infections\textsuperscript{22,23}. The next, and largest, group of studies focused on the identification of factors potentially associated with resistance to fluoroquinolones\textsuperscript{14,26,29,31,39–41}, followed by macrolides\textsuperscript{25,27,37,38} and ESCs\textsuperscript{23–25,27,28,35–37,42}.

Factors potentially associated with antimicrobial-resistant \textit{Neisseria gonorrhoeae}

We describe epidemiological, behavioural, and clinical factors that have been examined in association with AMR-NG (summarised in Appendix 2). We describe as ‘risk factors’ factors associated with an increased risk or odds of AMR-NG, based on the effect size and its 95\% confidence intervals (CIs), where available. Our overall interpretation takes into account the size of the study and the type of statistical analysis. Where findings between studies are inconsistent, we give more emphasis to findings from larger studies with multivariable analyses that control for important potential confounding factors. For most factors examined, there were too few studies to determine whether associations differ for different antimicrobials. In observational studies, confounding of observed associations by measured or unmeasured factors is likely.

Epidemiological factors

\textit{Age}. Younger age is a risk factor for gonorrhoea; the peak age groups for diagnosis of gonorrhoea are 20–24 years in both women and men in the USA\textsuperscript{1} and 20–24 in women and 25–35 in men in England\textsuperscript{1}. Amongst MSM, the peak age at infection is somewhat older\textsuperscript{1}.

In contrast, AMR-NG was more common in adults who were 25 years or older than in younger people in most studies that examined age as a risk factor for resistance to tetracyclines, fluoroquinolones, and ESCs (Appendix 2). This finding might have resulted from the inclusion of large numbers of MSM; in two large studies, age was no longer associated with decreased susceptibility to ESCs in multivariable analyses adjusted for the composition of the study population\textsuperscript{24,42}. In several studies, however, older age remained associated with AMR-NG in multivariable analyses, including ciprofloxacin resistance in women in the Netherlands\textsuperscript{43}; reduced susceptibility to ceftriaxone in heterosexual men and women, but not MSM, in England and Wales\textsuperscript{25}; ciprofloxacin resistance in Spain\textsuperscript{30}; ciprofloxacin and cefixime but not azithromycin resistance in a European Union surveillance network\textsuperscript{25}; and probable resistance to ceftriaxone but not to penicillin or tetracycline in China\textsuperscript{34}. Studies that found no association or an association with younger age were small or methodologically flawed\textsuperscript{38}.

\textit{Sex}. Gonorrhoea surveillance reports show higher numbers of reported cases of gonorrhoea in men than in women\textsuperscript{3}, even after the high proportion of infections diagnosed in MSM was taken into account\textsuperscript{14–16}. The higher frequency of symptomatic infections in men than in women results in higher levels of attendance at healthcare settings\textsuperscript{3}. We found 11 studies that compared AMR-NG between heterosexual men and women\textsuperscript{22,23,27–29,30,33,36,41}. Three publications from two studies with multivariable analyses found AMR-NG more commonly in heterosexual men than in women\textsuperscript{23,24,25}. Heterosexual men had about twice the odds of NG with reduced susceptibility to ceftriaxone than did women in China\textsuperscript{24} and in England and Wales\textsuperscript{22,28} and of chromosomal resistance to tetracycline in China (adjusted odds ratio [OR] 2.73, 95\% CI 1.06–7.05)\textsuperscript{24}.

Same-sex sexual partnerships in men. Gonorrhoea is more commonly reported in MSM than in men who have sex with women only or in women\textsuperscript{39}, and rates of reported gonorrhoea are increasing more rapidly in MSM than in men who have sex with women only or in women\textsuperscript{45,47}. Most studies that have examined this factor\textsuperscript{24,23,35,44} also found that AMR-NG was more common in MSM than in men who have sex with women only, including most studies with multivariable analyses\textsuperscript{23,33,42,44}. AMR-NG was more commonly found in MSM compared with men who have sex with only women in the Netherlands for cefotaxime (age-adjusted OR 2.9, 95\% CI 1.4–5.8)\textsuperscript{42} and ciprofloxacin (adjusted OR 2.0, 95\% CI 1.5–2.6)\textsuperscript{44}, in England and Wales for cefixime (adjusted OR 5.47, 95\% CI 3.99–7.48)\textsuperscript{35}, and in some but not all counties in California (USA) for ciprofloxacin\textsuperscript{13}. It has been hypothesised that, in the USA, the emergence of resistance to ciprofloxacin started in MSM and spread to men who have sex with women only\textsuperscript{16}. In the European Gonococcal Antimicrobial Resistance Programme (Euro-GASP), covering more than 20 countries, AMR-NG was reported to be more common among heterosexual men than among MSM\textsuperscript{33,37}. The reasons for the discrepant findings are not clear because the data were aggregated across all countries and were missing from nearly half of the records\textsuperscript{33}.

AMR-NG might also be common in MSM because the pharynx is thought to be a reservoir for strains that have acquired genes that confer resistance to ESCs in commensal \textit{Neisseria} species (see ‘Anatomical site of infection’ subsection of ‘Clinical factors’ section)\textsuperscript{1}. MSM can have gonococcal infection in the pharynx and rectum, resulting from oral and anal sexual intercourse, as well as the urethra\textsuperscript{30,48}. Pharyngeal and rectal gonorrhoea are usually asymptomatic and can remain untreated if these anatomical sites are not sampled\textsuperscript{30}. Anatomical site of infection is considered as a risk factor below.

Racial or ethnic group. Surveillance reports show that rates of gonorrhoea diagnoses are several times higher in some minority groups, such as African American, black Caribbean, and indigenous Aboriginal ethnic groups, than in the majority white population in countries such as the USA\textsuperscript{1}, the UK\textsuperscript{1}, the Netherlands\textsuperscript{39}, Canada\textsuperscript{26}, and Australia\textsuperscript{3}. We found eight studies that examined racial or ethnic group as a risk factor\textsuperscript{23,25,26,28,32,39,44} AMR-NG was not more common in both black and Aboriginal ethnic groups. Ciprofloxacin resistance was less common in people from black, Hispanic, and other ethnic groups than in whites in a multivariable analysis in the USA\textsuperscript{39} and less common in people from Aboriginal groups in Canada in a univariable analysis\textsuperscript{39}. Decreased susceptibility was less common in people from ethnic groups in multivariable analyses in England and Wales\textsuperscript{23,24}. In Australia, surveillance data from the Northern Territories and Western Australia showed a much lower proportion of penicillinase-producing NG isolates in remote areas (2%), in which the population is almost entirely Aboriginal, than in urban areas (14–19%), where the population is mixed\textsuperscript{33}. However, in the USA, ciprofloxacin was slightly more common in people from Asian and Pacific Island ethnic groups.
than in whites. Assortative sexual mixing patterns, in which people are more likely to have partners from their own than from other ethnic groups, are likely to contribute to differential rates of both gonorrhoea infection and maybe also AMR-NG.

**Socioeconomic position.** Whilst higher rates of reported gonorrhoea are strongly associated with lower socioeconomic position, possibly as a marker of poor education and awareness of STIs and limited access to healthcare, we found only one study that had examined the association with AMR-NG. In one study in China, higher income levels were associated with lower levels of plasmid-mediated tetracycline resistance (adjusted OR 0.34, 95% CI 0.14–0.18) but not ceftriaxone or penicillin resistance.

**Behavioural factors**

**Multiple sex partners.** Gonorrhoea has a short duration of infectiousness, and its persistence in a population relies on transmission in groups with high rates of sexual partner change. The probability of acquiring AMR-NG, however, is not necessarily associated with higher numbers of sexual partners when other factors are taken into consideration. In several studies, a higher number of sexual partners was associated with AMR-NG in univariable analysis. In studies that conducted multivariable analyses, only one study, in the Netherlands, found that the association persisted, with an attenuated OR.

**Sex with partners abroad.** Travel abroad has been reported in some studies as a risk factor for STIs, presumably because people take more risks when on holiday, such as having unprotected sex with casual partners. Since AMR-NG often arises first in countries in South East Asia and the Western Pacific, travellers, including sex tourists, who have unprotected sex in these regions are assumed to import AMR-NG into their home countries. We found 10 studies that investigated travel or sexual contact abroad as a risk factor for AMR-NG. Two studies conducted multivariable analysis. In the Netherlands, ceftriaxone resistance was more common in the pharynx in heterosexual women and men (adjusted OR 2.52, 95% CI 1.64–3.89) but not heterosexual women and men (adjusted OR 1.64–3.89) but not heterosexual women and men (adjusted OR 1.64–3.89) but not heterosexual women and men (adjusted OR 1.64–3.89) but not heterosexual women and men (adjusted OR 1.64–3.89) but not heterosexual women and men (adjusted OR 1.64–3.89).

**Exchanging sex for money.** Commercial sex workers and their clients in some countries are at high risk of acquiring STIs, including gonorrhoea. We included seven studies that considered commercial sex and AMR-NG. One of these studies, conducted among female commercial sex workers in the Philippines from 1996 to 1997, found that, in multivariable analysis, high-level resistance to ciprofloxacin was associated with living in the capital, Manila, and having recently started sex work. One study in the Netherlands found that, in multivariable analysis, female sex workers had a much higher risk of ciprofloxacin-resistant gonorrhoea than did other women (adjusted OR 25.0, 95% CI 7.7–78.2). Studies in the USA did not distinguish clearly between female or male sex workers or clients; exposure to commercial sex work was associated with AMR-NG in univariable analysis in only two studies.

**Alcohol and drug use.** Only four of the included studies looked at these factors. One study in China found that alcohol use was associated with tetracycline resistance (adjusted OR 1.69, 95% CI 1.08–2.64) in multivariable analysis. In the USA, one study found that having had a sex partner who received drugs or money for sex was associated with azithromycin resistance (crude OR 34.0, 95% CI 2.3–1651), but another study found a much weaker association with ciprofloxacin resistance in univariable analysis and no association in multivariable analysis. These factors warrant more detailed investigation.

**Clinical factors**

**Anatomical site of infection.** MSM and commercial sex workers can harbour N. gonorrhoeae in the pharynx. We found three studies that considered anatomical site of infection. All three studies conducted multivariable analysis. In the Netherlands, ceftriaxone resistance was more common in the pharynx than in the urethra amongst MSM (adjusted OR 2.52, 95% CI 1.64–3.89) but not heterosexual women and men, and in England and Wales, a slight decrease in susceptibility to ceftriaxone was more common in the pharynx in heterosexual women and men (adjusted OR 1.84, 95% CI 1.44–2.34) but not MSM. In Euro-GASP, isolates from the pharynx were not more likely than genital isolates to show AMR-NG, but ceftriaxone and ciprofloxacin resistance were reported to be less common in anorectal than in genital isolates.

**Co-infection with HIV and other sexually transmitted infections.** People infected with NG are at higher risk of acquiring HIV infection. Being co-infected with HIV was associated with resistance to ESCs or ciprofloxacin in univariable but not multivariable analysis in three studies in the Netherlands and in England and Wales. In another study in the Netherlands, MSM with HIV infection were less likely than HIV-negative MSM to have azithromycin resistance (adjusted OR 0.72, 95% CI 0.54–0.96) in multivariable analysis. Co-infection with Chlamydia trachomatis is also common in people with gonorrhoea. In studies...
conducted by GRASP in England and Wales, people who were not co-infected with chlamydia were more likely to have AMR-NG in multivariable analyses. There is no definitive explanation for this finding.

**Recent antibiotic use.** Antimicrobial use exerts selection pressure for the emergence of resistance. Current or recent antimicrobial use was examined in five studies in the USA, but findings were inconsistent. Ciprofloxacin resistance was found more commonly in female sex workers in the Philippines who were taking antimicrobials in univariable but not multivariable analysis. Studies that did not find associations with past antimicrobial use might have asked questions that were not specific enough about particular antimicrobials.

**Other risk factors**

Additional factors—such as gonorrhoea or STI history, lifetime sex partners, partnership type, more than one infected site, and year of isolation—that were reported in small numbers of studies are listed in Appendix 2 but are not described in detail here.

**Discussion**

In this review, AMR-NG was more common in older than in younger adults, in heterosexual men than in women, in MSM compared with men who have sex with women only, and possibly in people with poor socioeconomic position. People from some black ethnic groups in the USA and Europe and Aboriginal ethnic groups living in Canada and Australia are less likely to have AMR-NG than the white majority population. Very few studies about risk factors for AMR-NG have been done in countries in sub-Saharan Africa, Latin America, or some parts of South East Asia and the Western Pacific where gonorrhoea is most common.

The main strength of this review is that we searched for studies worldwide, irrespective of the language and the year of publication, and we extracted the same information from all studies. The main limitation of the review is that it was not entirely systematic. Our search of Medline might have missed studies, particularly from low- and middle-income countries, non-English language journals, and grey literature. Therefore, the findings of the review are most applicable to factors associated with antimicrobial-resistant gonorrhoea in high-income countries in Europe, North America, and Australia. We did not follow a protocol, and, although we selected factors of interest in advance, we did not report all study findings comprehensively. Nevertheless, our interpretation took into account studies that found no association with a potential risk factor and we distinguished between associations found only in univariable analyses and those found consistently in multivariable analyses that control for potential confounding factors.

This review shows that some risk factors for AMR-NG are not necessarily those associated with a higher risk of gonorrhoea infection itself (Appendix 3). Of note, whilst the risk of gonorrhoea in heterosexual adults is highest amongst younger people with high numbers of sexual partners, AMR-NG appears to be more common in older adults and, after other factors were controlled for, high numbers of sexual partners were not consistently associated with AMR-NG. AMR-NG was also less likely amongst people from black minority and Aboriginal ethnic groups living in countries where the majority of the population is from white ethnic groups. These findings appeared to be consistent across several different antibiotic classes. We cannot provide definitive explanations for these findings, but they could offer some empirical support for the results of a mathematical modelling study, which found that a high treatment rate, rather than the rate of partner change, predicts the spread of AMR-NG. Higher prevalence of AMR-NG in MSM could result from a combination of factors, including a high risk of gonorrhoea infection at older ages than in heterosexuals, frequent oral sex resulting in pharyngeal infections, and high attendance rates at sexual health clinics.

We did not find that recent travel abroad, commonly reported as a risk factor for AMR-NG, was consistently associated with resistance. Because a history of recent travel, as asked about in the US GISP, is too non-specific, some studies might not have found an association. In addition, associations might differ over time and be found when resistance to a particular class of antimicrobials, or a specific gonococcal clone, starts to spread but might not be found at a later time point. Evidence from gene sequencing studies with supportive evidence from epidemiological studies strongly suggests that antimicrobial-resistant gonococcal strains appear to emerge in parts of South East Asia and are spread by international travellers. Researchers have found more consistent evidence of the role of travel for other organisms. A systematic review of cohort studies showed high levels of acquisition of multidrug-resistant Enterobacteriaceae in travellers returning from countries in southern Asia.

**Conclusions and recommendations for future research**

This review found a limited number of studies that investigated factors associated with AMR-NG and few studies from low- and middle-income countries where both gonorrhoea and antimicrobial resistance are most common. For this reason, we could not provide a comprehensive global picture of factors that increase the risk of AMR-NG. The factors associated with antimicrobial-resistant gonorrhoea are not necessarily those associated with a higher risk of gonorrhoea. Future research studies should investigate in more detail the apparent associations with increased risk of AMR-NG in older age groups and amongst travellers and with decreased risk of AMR-NG in black and Aboriginal groups living in high-income countries. Improvements in surveillance systems for antimicrobial resistance, including enhanced surveillance that collects information about key factors such as age, same sex partnerships, travel-associated sexual partnerships, or sentinel surveillance in specific groups, might allow earlier identification of emerging resistance and of risk factors that could allow more intensive follow-up and prevention interventions in groups at high risk of AMR-NG. Better knowledge about modificable
risk factors for AMR-NG could help to mitigate the spread of resistance to ESCs, the last recommended empirical treatment for gonorrhoea.

Competing interests
The authors declare that they have no competing interests.

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Supplementary material
Supplementary file 1. List of articles included in review, by year of publication.

Click here to access data.

Supplementary file 2. Risk factors examined and associations with AMR-NG, as reported by authors of included studies.

Click here to access data.

Supplementary file 3. Comparison between risk factors for gonorrhoea and factors associated with AMR-NG in this review.

Click here to access data.

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The referees who approved this article are:

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