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Molecular genetic aetiology of general cognitive function is enriched in evolutionarily conserved regions

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INTRODUCTION

Individual tests of cognitive function correlate positively, allowing a single latent factor to be extracted from a battery of tests.1 This general cognitive factor typically accounts for around 40% of the phenotypic variation in a battery of mental tests and, in large molecular genetic studies, has been shown to be heritable with common genetic variants in total explaining around 30% of phenotypic variation.2–4 A higher level of general cognitive function is associated with better health across a range of diseases, both psychiatric and physical, and with lower all-cause mortality.5 More recently these phenotypic associations between general cognitive function6 and individual tests of cognitive function7 with health have been shown to be partly the result of genetic correlations, indicating pleiotropy, meaning that health states show positive correlations with cognitive function in part because the same genetic variants are associated with both cognitive function and health.6 However, although general cognitive function, along with performance on individual tests of cognitive function, is known to be heritable and to exhibit genetic correlations with health states, for cognitive function, few loci have attained genome-wide significance, hampering efforts aimed at determining which genetic variants are most important for cognitive function and which regions drive the genetic associations between cognitive function and disease states. Here, we combine multiple large genome-wide association study (GWAS) data sets, from the CHARGE cognitive consortium (n = 53 949) and UK Biobank (n = 36 035), to partition the genome into 52 functional annotations and an additional 10 annotations describing tissue-specific histone marks. Using stratified linkage disequilibrium score regression we show that, in two measures of cognitive function, SNPs associated with cognitive function cluster in regions of the genome that are under evolutionary negative selective pressure. These conserved regions contained ~2.6% of the SNPs from each GWAS but accounted for ~40% of the SNP-based heritability. The results suggest that the search for causal variants associated with cognitive function, and those variants that exert a pleiotropic effect between cognitive function and health, will be facilitated by examining these enriched regions.

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genotypes.17 Other methods fail to account for linkage disequilibrium (LD) that can lead to the same SNP being counted multiple times within a single gene set.18 Here, we make use of a recently developed method, stratified linkage disequilibrium score regression,19 that requires access to only genome-wide association summary level data. This method utilizes information from each SNP in a functional category while explicitly modelling LD to show whether a category is associated with a greater proportion of the heritability of a trait than the proportion of SNPs it contains would suggest. We apply this method to the current largest GWAS on general cognitive function.3 We also examine specific tests of cognitive function using the UK Biobank data set. We search for an enrichment in the heritability found in 52 regions corresponding to functional annotations from across cell types, and 10 corresponding to cell-specific functional groups (see Supplementary Table 1). We examine these functional categories, because the distribution of significantly associated SNPs from hundreds of GWAS have indicated that, across diverse phenotypes, significant associations are more likely to be found in regulatory regions such as DNase hypersensitivity sites,20 as well as in protein coding regions21 and untranslated regions.22 DNase hypersensitivity sites are regions of chromatin vulnerable to the DNase 1 enzyme, as the chromatin in these regions has lost its condensed structure and leaves the DNA exposed, whereas untranslated regions are involved in the regulation of translation of RNA. In addition, the role of evolutionarily conserved regions has been shown for disease states and psychiatric disorders,19 many of which show genetic correlations with the individual tests of cognitive function used here.2 By examining the contributions of each of these functional genetic categories, we aim to find regions of the genome that have relatively prominent roles in individual differences in general cognitive function.

### MATERIALS AND METHODS

#### Samples

The data used for this study were the summary of GWAS statistics from the CHARGE consortium study on general cognitive function in middle and older age, which had a total of 53 949 individuals,8 and a study of verbal–numerical reasoning (VNR) based on the UK Biobank1 with 36 035 individuals. We next derived a heritability Z-score for both of the data sets that was defined as the heritability estimate produced by LDS regression divided by its standard error. The magnitude of the heritability Z-score is affected by three properties, sample size, SNP-based heritability and the proportion of causal variants. An increase in these three properties is associated with an increase in the heritability Z-score. This indicates that the heritability Z-score is capturing information about the genetic architecture of a trait, with traits that have sufficient power, from sample size, high heritability and a high proportion of causal variants yield the greatest heritability Z-scores.

Here, a heritability Z-score >7, as used in Finucane et al.19 was used as evidence for a sufficient polygenic signal within the data set used for with stratified LD regression. The CHARGE data set yielded a heritability Z-score of 9.54 and in the VNR test a heritability Z-score of 9.64 was derived. This indicates that both of these data sets have sufficient power for use with stratified LDS regression.

#### Cognitive phenotypes

**General cognitive function.** The CHARGE cognitive working group published a GWAS of general cognitive function in 53 949 middle and older age adults.1 General cognitive function describes the statistically revealed overlap between tests of cognitive function, that is, people who do well on one type of cognitive test tend to do well on others. The cognitive tests included in the general cognitive components used by the CHARGE cognitive working group’s contributing cohorts generally measure fluid cognitive functions. These are functions assessed by tests that tend to include unfamiliar materials, that do not draw upon a participant’s level of general knowledge, and that tend to show a negative trend with age. Each of the CHARGE GWAS project’s cohorts used a different battery of mental tests. Full details of the tests used to measure general fluid cognitive function in each of the CHARGE consortium’s cohorts can be found in Davies et al.4

**Verbal–numerical reasoning.** The VNR test in UK Biobank consists of a series of 13 multiple choice questions that are answered in a 2 min time period. Six of the items were verbal questions and the remaining seven were numerical. An example of a verbal question is ‘Bud is to flower as child is to? (Possible answers: Grow/Develop/Improve/Adult/Old/Do not know/Prefer not to answer)’. An example numerical question is ‘If sixty is more than half of seventy-five, multiply twenty-three by three. If not subtract 15 from eighty-five. Is the answer?’ (Possible answers: 68/69/70/71/72/Do not know/Prefer not to answer).

#### Statistical analysis

**Genetic correlations between phenotypes.** Owing to the phenotypic overlap between tests of cognitive function,1 we first examine the degree to which the VNR measure from UK Biobank overlaps genetically with general cognitive function. Genetic correlations were derived using the summary statistics from general cognitive function in CHARGE and VNR in UK Biobank using methods used in previous studies.23 The same data processing pipeline was used here as by Bulik-Sullivan et al.23 Where a minor allele frequency of >0.01 was used and only those SNPs found in the HapMap3 with 1000 Genomes EUR with a minor allele frequency of >0.5 were retained. The integrated phase1_v3.20101123 was used for LDS regression. Also, indels, structural variants and strand-ambiguous SNPs were removed. Genome-wide significant SNPs were removed, as well as SNPs with effective sizes of y^2 > 80, as the presence of outliers can increase the standard error in a regression model. LD scores and weights for use with the GWAS of European ancestry were downloaded from the Broad Institute (http://www.broadinstitute.org/~bulk/eur_ldscores/).

**Partitioned heritability.** General cognitive function4 and VNR8 were analysed using stratified LD score regression, where we followed the data processing steps of Finucane et al.19 Stratified LD score regression belongs to a class of techniques that exploit the correlated nature of SNPs. Using this method, heritability can be derived by regressing SNP’s association statistic (converted to a Z-score) onto its LD score. An LD score is the sum of squared correlations between the minor allele count of a SNP, with the minor allele count of every other SNP. As the correlation structure of the genome (LD) is used in deriving the heritability estimate, LD is controlled for. A full description of how this method works can be found in Finucane et al.19 This heritability estimate is then used to derive an enrichment metric defined as the proportion of heritability captured by the functional annotation over the proportion of SNPs contained within it, (Pr(h^2)/Pr(SNPs)). This ratio describes whether a functional annotation contains a greater proportion of heritability than would be predicted by the proportion of SNPs it contains, Pr(h^2)/Pr(SNPs) = 1. The proportion of the heritability for each category, Pr(h^2), is used as the numerator, rather than the heritability of each category. This is due to Genomic Control (GC) being performed on most GWAS data sets and, as a result, the attenuation of the
RESULTS

Genetic correlation

LDS regression was first used to determine the degree of overlap between the two cognitive phenotypes used. The genetic correlation between general cognitive function and VNR was $r_g = 0.783$, s.e. = 0.056, $P = 4.63 \times 10^{-45}$ indicating that many of the same genetic variants are involved in both these traits.

Partitioned heritability

**General cognitive function in the CHARGE consortium.** Significant enrichment was found for 10 of the 52 functional annotations (Supplementary Figure 1 and Supplementary Table 2). Consistent with many quantitative traits,19,25 SNPs that are found in evolutionarily conserved regions showed a high level of enrichment, where 2.5% of the SNPs accounted for 49.2% of the total heritability yielding an enrichment metric defined as $Pr(h^2)/Pr(SNPs)$ of 18.87 (s.e. = 3.91), $P = 4.88 \times 10^{-6}$. Note that this does not mean that the 3% of the genome accounts for 40% of the total phenotypic variance; rather, it means that, of the 30% of phenotypic variance accounted for by common SNPs, the genetic variation in the ~3% of the genome accounts for 40% of that 30%. Statistically significant enrichment was also found after a 500 bp boundary was set around these regions (Figure 1).

Enrichment was also found for two of the histone marks, H3K9ac, where 46.3% of the heritability was found for 12.6% of SNPs (enrichment metric = 3.68, s.e. = 1.01, $P = 0.008$), and within 500 bp of H3K4me1, where 60.9% of the SNPs collectively explained 87.5% of the total heritability (enrichment metric = 1.44, s.e. = 0.15, $P = 0.004$). SNPs located within 500 bp of repressed regions showed a significant reduction in the level of heritability they captured. These regions accounted for 71.9% of the SNPs, but only explained 44.3% of the heritability (enrichment metric = 0.62, s.e. = 0.09, $P = 2.10 \times 10^{-5}$).

Statistically significant enrichment was also found for SNPs within 500 bp of weak enhancer regions which comprised 8.9% of the SNPs, that collectively explained 38.1% of the heritability of general cognitive function (enrichment metric = 4.28, s.e. = 1.03, $P = 0.001$). SNPs within 500 bp of the functional category of DNase hypersensitivity sites also demonstrated significant enrichment for

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general cognitive function (enrichment metric = 2.05, s.e. = 0.33). These regions accounted for 49.9% of the SNPs but captured 100% of the heritability (s.e. = 16%). Although this does appear to be capturing the sum of the heritability present, it is not clear whether this is biologically meaningful as the inclusion of SNPs within 500 bp of this category raises the proportion of SNPs in the category from 17% to 50% indicating that the majority of the SNPs within the larger set are not at DNaed hypersensitivity site. SNPs found within 500 bp of introns were also significantly enriched, accounting for 39% of the SNPs and 56% of the heritability of general cognitive function, \( P = 7.45 \times 10^{-5} \).

The results for the cell type enrichment analysis indicated that histones that are marked specifically in cell types of the central nervous system accounted for 14.9% of the SNPs, but 45.0% of the heritability (enrichment metric = 3.03, s.e. = 0.51, \( P = 6.37 \times 10^{-5} \)). The results for the 10 tissue types can be seen in Supplementary Figure 2. The full results for general cognitive function can be found in Supplementary Table 2.

**VNR in the UK Biobank sample.** The pattern of enrichment followed the same trend for VNR as for general cognitive function. Four of the five functional annotations found to be significantly enriched in general cognitive function were also enriched for VNR (Supplementary Figure 3 and Supplementary Table 3). For the baseline model, evolutionarily conserved SNPs were found to explain an enriched proportion of the heritability; 26.6% of SNPs were found to explain 41.2% of the heritability (enrichment metric = 15.80, s.e. = 4.42, \( P = 8.19 \times 10^{-4} \)). As was found for general cognitive function, SNPs within 500 bp of introns also showed enrichment for heritability (enrichment metric = 1.2, s.e. = 0.14, \( P = 0.003 \)). This category contained 39.7% of the SNP that explained 56.2% of the heritability. Unlike general cognitive function, SNPs within 500 bp of the histone mark H3K9ac showed significant enrichment, rather than only SNPs found within this annotation (enrichment metric 2.5, s.e. = 0.48, \( P = 0.002 \)). This category contained 23.1% of the SNPs, which explained 58.1% of the heritability for VNR in the UK Biobank data set.

As was found in general cognitive function, histone marks specifically expressed in the central nervous system were found to contain a greater proportion of heritability (enrichment metric = 3.53, s.e. = 0.60, \( P = 2.40 \times 10^{-4} \)). The enrichment results for each of the 10 tissue types can be seen in Supplementary Figure 4 and the full results for VNR can be found in Supplementary Table 3.

**DISCUSSION**

We partitioned the total heritability found in two large, genetically correlated \( r_g = 0.783, \) s.e. = 0.056) GWAS data sets on cognitive function into 24 broad functional annotations and 10 tissue types. Our analysis modelled LD, and took into account overlapping categories, as well as the proportion of SNPs in each category. We make a number of contributions to understanding the genetic architecture of cognitive function.

We find, for both of the cognitive phenotypes examined, the most substantial and statistically significant effects occurred in regions of the genome that are evolutionarily conserved in mammals. The SNPs within these regions accumulate the base-pair substitutions that differentiate species at a lower rate than would be expected under models of neutral selective pressure and these regions are depleted for the number of SNPs compared with regions that are not conserved. This indicates that a large portion of the common variants that are associated with cognitive function are under negative selective pressure. That 40% of the genetic variance in general cognitive function is under negative selection does not imply that higher cognitive function is evolutionarily selected for, but that genetic variance that disrupts the evolutionarily old adaptive design encoded in these regions, thereby decreasing healthy cognitive function, is selected against. This supports the idea that mutation-selection balance has a substantial role in the genetics of general cognitive function, particularly when mutational variation is introduced in evolutionarily conserved regions.

Evolutionarily conserved regions are unlikely to be specific to cognitive function, but rather to underlie fundamental design features important for general phenotypic functioning. The evolutionarily conserved regions used in the current paper have also been examined for enrichment with several disease- and health-related phenotypes. Significant enrichment was found for body mass index, schizophrenia and high-density lipoprotein cholesterol, but not for coronary artery disease, type 2 diabetes, low-density lipoprotein cholesterol or bipolar disorder.19 The diseases and traits that were enriched in these conserved regions each show a genetic correlation with general cognitive function and individual tests of cognitive function (see refs 6,7 and Hill et al. (in prep)), whereas those that showed no enrichment at evolutionarily conserved regions were not genetically correlated with general cognitive function or the VNR test used here.7 This suggests that not only do these evolutionarily conserved regions of the genome have a greater role in cognitive functions, but they may also harbour variants with pleiotropic effects on cognitive function, health and anthropometric traits, thereby reducing what has been varyingly called system integrity, developmental stability or general evolutionary fitness.26,27

Two previous studies using GSA have found that gene sets that are conserved between species are enriched for cognitive functions. A study by Hill et al.11 found that common SNPs in the N-methyl-D-aspartate receptor complex were enriched for general cognitive function in two independent groups. The N-methyl-D-aspartate receptor complex is a component within the postsynaptic density (PSD), and using comparative proteomic analysis of the human and mouse PSD it has been found that the molecular composition of the postsynaptic density was highly similar, with more than 70% of the proteins found in the human PSD being found in the mouse PSD28 indicating conservation between species. A high level of conservation has also been found between the proteins of the PSD in comparisons between human and chimp (last common ancestor (LCA) – 6 million years ago), as well as between mouse and rat (LCA 20 million years ago) and between human and mouse (LCA 90 million years ago), indicating conservation or negative selection indicative of conservation across the mammalian line.29

More recently, Johnson et al.30 used the weighted gene co-expression network analysis to identify a novel module named M3 using cortical brain tissue extracted from living humans during surgery. This module was also present in both disease-free humans and in wild-type mouse hippocampi, indicating it had been conserved between both species. In addition, this module was found to be enriched for SNPs associated with general cognitive function and memory in two independent samples. The M3 also mapped poorly onto known biology, including the PSD, differentiating it from the N-methyl-D-aspartate receptor complex finding. In the current paper, we extend the findings of Hill et al.11 and Johnson et al.30 by considering all the SNPs, not just those found within genes, and show that regions of the genome that are under negative selective pressure harbour an enriched proportion of the heritable variance for cognitive function.

The gene sets used by Hill et al.11 and Johnson et al.30 were constructed to test specific synaptic components and networks of genes that work together. However, both of these gene sets are under selective pressure, the current paper expands on the findings of Hill et al.11 and Johnson et al.30 by showing that conserved regions, not just those found within genes, are enriched for cognitive function.
These results are also consistent with both theoretical predictions\textsuperscript{31,32} and empirical findings\textsuperscript{33} of directional dominance acting on cognitive function. Directional selection is expected in traits that are related to fitness, and indicates a systematic direction of effect across causal loci. By examining runs of homozygosity, directional dominance can be seen when the phenotype of individuals that are homozygous for multiple locations differs from those that are heterozygous. Joshi et al.\textsuperscript{33} examined runs of homozygosity in 354,224 individuals who had taken tests of cognitive ability and found that as homozygosity increased, scores on tests of cognitive ability went down, indicating selective pressure acting to increase levels of cognitive function. The present study expands on the works of Joshi et al.\textsuperscript{33} by providing evidence that the regions of the genome most sensitive to genetic variation are more likely to harbour the variants that make the greatest contributions to cognitive and health differences.

SNPs within 500 bp of introns also showed significant enrichment for general cognitive function and for VNR. Enrichment for this region may indicate that, although not being translated into proteins, these regions may still exert an influence on individual differences in cognitive function. Indeed, Marioni et al.\textsuperscript{34} have suggested that intronic regions are more likely to harbour genetic variation associated with normal cognitive function than exomic regions. In addition, SNPs within 500 bp of the H3K4Me1 histone mark were enriched across both cognitive phenotypes. General cognitive function has been shown to correlate highly with tests of crystallized function, and in the present study the genetic correlation with VNR was $r_c = 0.783$ indicating that many of the same SNPs are involved in both facets of cognitive function. This overlap between these two phenotypes may therefore be driven by pleiotropic variants in introns and variants found in the H3K4Me1 histone mark, along with those that are evolutionarily conserved.

The strengths of this study include the use of the largest GWAS of general cognitive function that used established cognitive tests to measure cognitive function. We also use data from the UK Biobank study that includes over 30,000 participants genotyped and processed together using the same VNR test administered in an identical way to remove processing artefacts owing to heterogeneity in test used and their administration. In addition, the genetic data from UK Biobank were processed in a consistent manner.

The limitations of this study include the VNR test used in UK Biobank not being adequately compared to validated psychometric cognitive tests. Also the low response rate in UK Biobank of 5\% (ref. 35) indicates that it may not be representative of the general population. A further limitation is the use of a general cognitive function phenotype derived from meta-analysis of many smaller studies. Heterogeneity in testing conditions and between different genotyping platforms could introduce a confound that could not have been controlled for here. However, it should be noted that these limitations would take away the power to find an effect should it be present and indicate the robust nature of the results. Future studies using more psychometrically rigorous tests and a sample drawn from across the population are therefore expected to provide additional insights into the genetic architecture of cognitive function. There may also have been a small number of individuals who took part in both CHARGE and UK Biobank. In addition, the stratified LD score regression method is based on an additive model and cannot detect epistatic effects or other sources of non-additive variance. Finally, as with other methods of GSA, these methods are limited to the availability and accuracy of the annotations used.

Following partitioned heritability analysis, we report that regions of the genome under negative selective pressure make a greater contribution to the heritability of cognitive functions than their size would suggest. This indicates that causal alleles are not distributed evenly across the genome but, rather, cluster in regions that are conserved. Disease states and anthropometric traits that show genetic correlations with cognitive function tend to show enrichment in these conserved regions; on the other hand, the diseases and traits that do not show genetic correlations with cognitive function tend not to show this pattern of enrichment. Together, this suggests that conserved regions may have a central role in mammalian genetic architecture as they appear to harbour variants with pleiotropic effects between cognitive function, diseases and anthropometric traits. This suggests that understanding the function and role of variants that are under negative selection will provide a greater understanding of cognitive function as well as how cognitive function is related to other health-related traits.\textsuperscript{33} That the variants here are known to be conserved within the mammalian line may also be involved in general cognitive function in other species.\textsuperscript{36}

In addition, this study aids the search for plausible sets of causal variants by showing that a reduced portion of the genome comprising, only ~2.5\% of the total number of SNPs, can explain around ~40\% of the SNP-based heritability (which is ~30\%) of cognitive function.

CONFLICT OF INTEREST

The authors declare no conflict of interest.

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