HAMMER PEENING WITH A PIEZO-ACTUATED TOOL FOR SURFACE FINISHING

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Low roughness of free-form surfaces represents a challenge in production of forming tools. In the industry, the free-form surface is ground and polished manually. The technology of hammer peening has the capability to replace the time consuming handcraft by automated machining with high productivity and other positive effects, like increased hardness and compressive residual stress in the surface layer. This leads to a high wear resistance of the machined surface. The hammer peening can be conducted with a piezo-actuated tool. The high frequency of such a tool allows achieving higher productivity than other actuator principles used in hammer peening tools. In this paper, a piezo-actuated hammer peening tool working in its mechanical resonance is presented. Furthermore, a cross-domain model including the process, the mechanical and the electrical part of the tool is explained. This model is further used for optimization of the process and the tool. The functionality of the tool is demonstrated by machining results.

KEYWORDS
finishing, dynamics, piezoelectric, simulation, hammer peening

1 INTRODUCTION
The hammer peening technology has been developed for the last decade in order to machine surfaces with complex geometrical shapes automatically [Bleicher 2012]. In principle, the hammer peening can be classified as a partial forming technology that smooths the roughness by pressing a hard ball into the surface, see Figure 1. Hereby, plastic deformations are induced. Thus, the roughness after milling $R_{a1}$ is reduced to a roughness after hammer peening $R_{a2}$ (usual from $R_{a2} \approx 10$ to $R_{a2} \approx 2$ μm) and the hammer print on the surface occurred. Furthermore, the plastic deformations cause a compressive residual stress, strain hardening and increased hardness (e.g. from 340 to 370 HV or from 370 to 470 HV) in a thin surface layer (up to 1 mm), as reported in [Groche 2009], [Adjassoho 2012], [Wied 2011], [Berglund 2011], [Groche 2012]. All these effects increase the wear resistance of the machined surface. In order to enable this machining result, the ball oscillates in axial tool direction driven by an actuator. After the pressing event (ball position at lower reversing point), the ball of the tool takes off to the initial position and one cycle of the hammer peening process is finalized.

The next hammer print in a certain distance $s_1$ from the previous one demands a movement of the tool with a constant feed velocity $v_f$ along a tool path. The velocity $v_f$ can be computed as follows

$$v_f = s_1 f_{HP}$$

(1)

With $f_{HP}$ being the constant frequency of the hammer movement. For the purpose of surface smoothing, the tool path has to be repeated in a distance $s_1$ to the previous tool path (see Figure 1). Additionally, the tool can be tilted during machining by an angle $\alpha$ in a plane spanned by a vector of the feed direction and the normal vector of the surface being machined and/or by an angle $\beta$ in the normal plane to the first plane and the surface.

The tool oscillates in axial (up to 1 mm) and compressive residual stress, which reduces surface roughness after hammer peening. The frequency $f_{HP}$ represents a crucial factor for increasing the hammer peening productivity, i.e. reducing machining time. In the face of the fact that hammer peening of a large forming tool can take a few days [Groche 2011], the need for the high productivity becomes obvious. The frequency $f_{HP}$ belongs to basic characteristics of a hammer peening tool (HPT). There are various HPT with different actuating principles, like pneumatic with $f_{HP} \approx 200\text{-}300$ Hz [Wied 2011], electro-magnetic $f_{HP} \approx 500$ Hz [Bleicher 2012] and piezoelectric $f_{HP} \approx 700$ Hz. This briefly overview shows the high potential of HPT with piezoelectric actuator for increasing the productivity. Thus, this kind of HPT is intensively researched at Fraunhofer IWU. In contrast to other actuating principles, the HPT with a piezoelectric actuator features very low range for the hammer movement. Considering deviations of the machine, workpiece clamping and tolerances of the workpiece, this small value could cause a strong limitation in application of this kind of HPT. Therefore, a HPT with a piezoelectric actuator requires a unit with defined compliance assembled between the machine and the HPT, which reduces the impact of these deviations on the machining results. In this paper, a novel HPT with piezoelectric actuator is presented. This tool works in its resonance frequency in order to reach maximal process force and minimal energy consumption.

2 DESIGN OF THE NOVEL PIEZOELECTRIC HAMMER PEENING TOOL
The novel HPT has been designed for a high hammering frequency $f_{HP}$, which shall correspond to a resonance frequency of the whole system (process, HPT and the actuator). The resonance frequency also depends on the workpiece properties. This implies a possible difference of resonance frequencies for different workpieces. For the purpose of adjustable resonance frequency, the stiffness of the HPT has to be adjustable as well. The variation of the stiffness is performed by using a ring spring, as shown in Figure 2. The ring spring is fixed to a gear wheel at two opposite positions. Furthermore, there are two moveable clamped joints whose position determines the stiffness of the tool. If the clamped joints are arranged near to the ring spring fixation, a high stiffness value...
is reached. In contrast to that, if the clamped joints are in the middle of the distance between the two fixations, the tool features the lowest stiffness value. The position of the clamped joints is changed by a step motor with a gear (see Figure 2). The piezoelectric actuator is placed coaxial with the axis of the HPT and it is connected to the base disc as well as to the plunger with the ball on its upper and lower part, respectively. In order to make the piezoelectric actuator free from radial forces, the movement is guided in upper and lower guidance in form of flexure hinges. The tool can be fixed in a machine tool or in a robot via the tool-interface.

The piezoelectric actuator is actually a piezo stack actuator consisting of many piezoelectric disks utilizing the longitudinal effect for a relatively high stroke of 0.2 mm. The maximal blocking force of the actuator amounts to 4000 N. Since the actuator is preloaded, a pull loading with maximal value of 700 N is acceptable. The length and diameter are 185 mm and 18 mm, respectively. The first resonance frequency of the actuator approximately amounts to 3 kHz.

3 CROSS-DOMAIN MODEL

The development of the piezo-actuated HPT and of the hammer peening technology is connected to a relevant model of the whole system including the hammer peening process, the structural mechanics as well as the electric domain of the HPT. For this purpose, a cross-domain dynamic model has been developed. Moreover, such a model in combination with experimental results allows a further optimization of the piezo-actuated HPT regarding its productivity, lightweight design and reliability.

The modelling approach presented in this paper integrates the electric domain into a structural mechanic model using the analogy between mathematical formulation for an electric and mechanical oscillator, as expressed in eq. (2) for linear systems

$$\begin{align*}
\mathbf{m} \ddot{x}(t) + \mathbf{b} \dot{x}(t) + \mathbf{k} x(t) &= f(t) \\
L \ddot{q}(t) + R \dot{q}(t) + \frac{1}{C} q(t) &= u(t)
\end{align*}
$$

(2)

in which the constants m, b, k, L, R and C denote the inertial mass, damping constant, static stiffness, electrical inductance, el. resistance and el. capacitance, respectively. Moreover, the variables x, f, q and u represent displacement, force, el. charge and voltage, respectively. Eq. (2) yields the analogy between the two domains in the form of: $x \leftrightarrow q$, $m \Rightarrow L$, $b \Rightarrow R$, $k \Rightarrow 1/C$, $f \leftrightarrow u$. The electric domain contains the electric properties of the used piezoelectric actuators as well as its driver electronics. Assuming a very high impedance of the driver electronics, the electro-mechanics of the piezoelectric actuators can be modelled in accordance with [Schugt 2001], [Wittstock 2006], as depicted in Figure (3).

$$f_{\text{load}} = \alpha_2 u = \frac{d_{33} C_p}{s_{33} E_{33}} u$$

(3)

with $C_p$, $d_{33}$, $s_{33}$ and $E_{33}$ being the el. capacitance of the piezo stack actuator, the piezo modulus, the Young’s modulus and the permittivity of the piezo ceramic for the longitudinal piezo effect, respectively. These two parameters can be synthesized to one proportionality factor $\alpha_2$.

The mechanical stiffness $k_{p, \text{mech}}$ of the piezo stack results from

$$k_{p, \text{mech}} = \frac{A_p}{s_{33} E_{33}}$$

(4)

where $A_p$ and $l_p$ are the cross-section area and the length of the piezo stack, respectively. The electrical stiffness $k_{el}$ is computed as
The purpose of the process model consists in reproducing the properties of the workpiece regarding its elasticity and plasticity. Furthermore, a contact between the ball and the workpiece, which changes its status between opened and closed during hammer peening, is also considered (in Figure 4 depicted by the two parallel horizontal lines). In order to model the plasticity and elasticity of the workpiece, the linear Kelvin-Voigt model is used here. The elasticity can be perceived as an energy accumulator and the plasticity as the work done for the remaining deformations. In this manner, the elasticity can be modelled by a spring and the plasticity by a damper. For the purpose of determining of these two parameters, finite element (FE) simulations have been performed, as shown in Figure 5.

\[
W_e = \frac{f_{pr,max} (x_{wp,max} - x_{wp,ret})}{2}
\]

The difference of these two energy values yields the work done for the plastic deformation \( W_p = W_i - W_e \). The parameter of the Kelvin-Voigt model determining the elasticity results from...
The parameter of the linear Kelvin-Voigt model being responsible for the plasticity is computed from the area of the hysteretic curve. This area corresponds to the plastic deformation. For this purpose, this area is assumed to have elliptic shape. The related damping parameter is computed in a closed-ended form as

\[ b_{pr} = \frac{2W_t}{\omega_{HP}x_{p,max}^2} \left( t_{ret} / 2 + \sin(2\omega_{HP}t_{ret}) / 4\omega_{HP} \right) \]

In eq. (9), \( \omega_{HP} = 2\pi f_{HP} \) and \( t_{vel} \) is the time, that the tool needs for leaving the workpiece. The results are summarized in Table 1 for the three materials and frequency \( f_{HP} \) of 1 kHz.

| Material          | Aluminum-alloy | Carbon steel | Complex phase steel |
|-------------------|----------------|--------------|---------------------|
| \( k_{pr} \)     | 14045 N/mm     | 29121 N/mm   | 49110 N/mm          |
| \( b_{pr} \)     | 6447 N/mm      | 12840 N/mm   | 14789 N/mm          |

Table 1. Identified parameters of the Kelvin-Voigt model, i.e. process model

4 IDENTIFICATION OF MODEL PARAMETERS FOR THE HAMMER PEEING TOOL

The parameters of the HPT like the mass \( m_i \), the mechanical stiffness \( k_{mech,i} \), the mechanical damping \( b_{mech,i} \), the electrical stiffness \( k_{el} \) and the electrical damping \( b_{el} \) are crucial for the simulation results. Generally, the damping parameters are a priori unknown. Furthermore, the stiffness values are afflicted with uncertainties due to the contact problems as well as the variance of material characteristic values. Therefore, these parameters are estimated during an identification procedure. For this purpose, frequency response functions (FRF) are estimated from measured values. The first FRF \( (H_{Al}) \) describes the dependency of the displacement at TCP on the force acting on TCP, too. The second FRF \( (H_{Cu}) \) is a function between the drive voltage of the piezo stack actuator as the input signal and the displacement at TCP as the output signal. Both FRFs are shown in Figure 7 at which \( H_{Cu} \) is estimated for voltage amplitudes from 1.25 V to 30 V. In contrast to the first FRF, these results indicate a nonlinear behavior of the system. The nonlinearities can originate from the electromechanical behavior of the piezo stack actuator as well as from the clamped joints on the ring spring (see Figure 2). Unfortunately, it is not possible to distinguish between these two sources for nonlinearities with the used measurement setup. Therefore, the mechanical stiffness is assumed to be responsible for the nonlinearity and it will be modelled with a linear and nonlinear part as follows

\[ k_{mech} = k_{mech,nl}x_p^e + k_{mech,l} \]

In order to model a correct damping ratio independently on the nonlinear elasticity, the damping constant must also be nonlinear.

\[ b_{mech} = b_{mech,nl}x_p^e + b_{mech,l} \]

The first FRF, i.e. \( H_{Al} \), is utilized for the first estimation of the start parameters in the identification. The measurement with closed pins of the piezo stack actuator enables the estimation of the mechanical values like \( k_{mech,Al} \) and \( b_{mech,Al} \). Assuming that the mass \( m_i \) is sufficiently known from the CAD model of the HPT, the mechanical stiffness \( k_{mech,Al} \) can be computed from the resonance frequency. Afterwards, the damping constant \( b_{mech,Al} \) is determined from the measured damping ratio \( \ddot{\theta} \).

\[ b_{mech,Al} = \frac{2\sqrt{k_{mech,Al}m_i}}{\omega_{HPT}} \]

Furthermore, the electrical stiffness \( k_{el} \) can be computed from the difference of the resonance frequencies between measurements for closed and opened pins of the piezo stack actuator when the influence of the damping on the resonance frequency is neglected. The value for the electrical damping \( b_{el} \) was estimated from experience.

The second FRF \( H_{Cu} \) is utilized for the reference in the identification procedure. The identification is based on the following optimization task

\[ \min \left\{ J(p) = \sum \left( H^M_{Cu}(\omega, p) - H^S_{Cu}(\omega, p) \right)^2 \right\} \]

in which the superscripts M and S denote the measured and simulated FRF, respectively. The optimization is performed over a frequency range \( f \), i.e. from 450 Hz to 650 Hz. The parameter vector \( p \) contains the model parameters to be identified, i.e. \( p = [m_1, k_{mech,Al}, b_{mech,Al}, k_{el}, b_{el}, \chi]^T \). The procedure for the identification is schematically pictured in Figure 8.

![Figure 7. Experimental estimated FRFs for the piezo-actuated HPT](image-url)
The results of the identification are summarized in Table 2. This table contains the start values for the model parameters and the set upper and lower limits during the identification. In the last column, the optimized values of the model parameter are listed. It can be seen that the optimized values differ from the start values in a relatively low level. This implies that the relatively simple determination of the start values already yielded good estimation for the model parameters disregarding the nonlinearities. In order to proof the model accuracy including the nonlinearities, a comparison between measured and simulated Hw for two voltage amplitudes, indeed 5 V and 30 V, is shown in Figure 9. It can be seen that a very good correlation between measured and simulated values exists.

Table 3. Parameters of the insulation unit matched to simulation runs

The simulation results are summarized in Figure 10 for all three materials (Aluminum-alloy, carbon steel, complex phase steel). In this figure the displacement of TCP xₚ is evaluated. Generally, the positive values of xₚ(1) values greater than the gap represent the penetration of the HPT into the workpiece, in Figure 10 depicted as xₚ,1. In case of the simulation run no. 5 and 6, the penetration starts at xₚ = 20 µm due to the gap. For a smoothing effect, a large value of penetration is desired. Furthermore, the negative range of xₚ means that the contact between HPT and the workpiece is opened, i.e. the HPT takes off from the workpiece. This value is denoted as xₚ,2. The value has to be negative or lower than the gap. In other case, the ball

5 SIMULATION OF THE HAMMER PEENING PROCES

After the process models as well as the model of the tool are ready for simulation, various simulation runs of hammer peening can be carried out in order to study the parameters of the insulation model. The damping properties of the insulation model are defined by the damping ratio δ with assumed value of 5%. The simulations are performed for various configurations of parameters according to Table 3. The simulation results shall yield a basic overview of an appropriate parameter setting of the insulation unit (model). For this purpose, two values for the stiffness k₁ are chosen. The high value of 10 N/µm represents a stiffness value of a machine tool. This value implies that an insulation unit is not necessary for the hammer peening with a piezo actuated tool. In contrast to this, the low stiffness value nearly equates to a mechanical decoupling between the HPT and the machine/robot. This would lead to hammer peening process with a piezo actuated tool regardless of the used machine.

Beside the stiffness, the static preload is also considered in the study. For this purpose, three states are defined here. The first one represents hammer peening with a piezo actuated tool under static preload. The value of the static preload amounts to 300 N. The second state represents a closed contact between the HPT and the workpiece without any static preload. This state is purely theoretic since deviations from the ideal workpiece dimensions or shapes as well as from the tool path due to the machine inaccuracy leads either to a gap or to a static preload depending on the stiffness k₁. The last state assumes an initial gap of 20 µm between the HPT and the workpiece. This state has a low relevance for the praxis due to the same reasons as in case of the second state.

The simulation runs were performed with a chirp signal changing its frequency linearly from 0 Hz to 1500 Hz during 15 s. Therefore, a rough correlation between the time domain and the frequency domains is possible, i.e. 1 s on the time axis corresponds to 100 Hz on the frequency axis. Thus, the investigation in frequency domain can be performed despite the nonlinearity in the process model.

The simulation results are summarized in Figure 10 for all three materials (Aluminum-alloy, carbon steel, complex phase steel). In this figure the displacement of TCP xₚ is evaluated. Generally, the positive values of xₚ(1) values greater than the gap represent the penetration of the HPT into the workpiece, in Figure 10 depicted as xₚ,1. In case of the simulation run no. 5 and 6, the penetration starts at xₚ = 20 µm due to the gap. For a smoothing effect, a large value of penetration is desired. Furthermore, the negative range of xₚ means that the contact between HPT and the workpiece is opened, i.e. the HPT takes off from the workpiece. This value is denoted as xₚ,2. The value has to be negative or lower than the gap. In other case, the ball
of the HPT remains inside of the workpiece and the ball pressed a groove on the surface instead performing hits. Therefore, the condition for $x_{\text{off}}$ is crucial for the hammer peening process. Moreover, the hits on the surface have to be performed with the frequency of the drive voltage $f_{\text{dv}}$ in order to reach a high productivity. As one can see in Figure 10 at the simulation run no. 3 and 5, there is a difference between the frequency of the drive voltage and the hammer peening frequency $f_{\text{HP}}$ in wide frequency ranges. Exemplary for simulation run no. 3, the condition of $f_{\text{dv}} = f_{\text{HP}}$ is only satisfied in a narrow frequency range approximately between 550 Hz and 600 Hz. In this frequency range, the resonance frequency is located. Outside of this frequency range, the HPT jumps on the surface like a ball with significantly lower frequency $f_{\text{HP}}$. For the sake of completeness, the condition for the $f_{\text{HP}}$ is not fulfilled over the whole frequency range in case of the simulation run no. 5. In face to the two conditions, i.e. $x_{\text{off}} < 0$ or $x_{\text{off}} < \text{gap}$ and $f_{\text{HP}} = f_{\text{dv}}$, the evaluation of the results can be carried out. The simulation run no. 5 can be excluded from the evaluation due to the violating the frequency condition. Furthermore, the simulation run no. 1 fulfils the condition regarding the $x_{\text{off}}$ only for the complex phase steel or in two very narrow frequency ranges in case of the carbon steel. Generally, the hammer peening frequency $f_{\text{HP}}$ in simulation run no. 1 for all materials corresponding to maximal penetration is located significantly under the resonance frequency. Considering the frequency of a pneumatic HPT, there would not be a benefit of the piezo-actuated HPT for the productivity in this case. Therefore, the parameter setting for the simulation run no. 1 is not appropriate for the piezo-actuated HPT. The simulation run no. 3 features a narrow frequency range around the resonance frequency with the fulfilled frequency condition. However, the value $x_{\text{off}}$ is very large in this frequency range which exceeds the movement range of the piezo stack actuator of 0.2 mm (see sec. 2). Therefore, this setting is also not appropriate for the piezo-actuated HPT. The last three simulation runs (no. 2, 4 and 6) yield good results, for which therealisation of the hammer peening process with the piezo-actuated HPT seems to be possible regardless the workpiece material. From the practical point of view, the simulation run no. 1 owns the highest relevance. In this case, the influence of deviations from the ideal tool path and the ideal workpiece on the preloading and thus on the process should be considered. The big differences between results of simulation run no. 1, 2 and 3 indicate the large influence of the static preload and the static stiffness $k_I$ on the hammer peening process. Therefore, the further investigation should focus on this issue.
In order to demonstrate the capability of the piezo-actuated HPT for smoothing roughness of surfaces, a hammer peening process with the piezo-actuated HPT is carried out in this section. For this purpose, a plane surface is machined. The surface was milled and the initial roughness of this surface amounts to $R_z$ 10 µm. The HPT is clamped in the spindle of a milling machine, as shown in Figure 11.

**Figure 10. Simulation results for the displacement of TCP**

**Figure 11. Machining test of the HPT and the roughness of the machined surface**
In this machining test, a gap between the workpiece and the HPT is set to 35 µm with a very high effort. The piezo stack actuator is driven by the voltage amplitude of 130 V at the resonance frequency of the HPT, i.e. \( f_r = 565 \) Hz. For these parameters, the plane surface after the hammer peening process features a roughness of Rz 1 µm. This value corresponds to roughness machined by grinding or polishing. Thus, the hammer peening with the piezo-actuated HPT is able to be used for finishing of milled surfaces.

7 CONCLUSION AND OUTLOOK

In this paper, a novel piezo-actuated hammer peening tool is presented. The resonance frequency of this tool can be adjusted depending on the properties of machined workpiece. The operation of the tool at the resonance frequency increases the productivity and reduces the energy demand. Furthermore, a cross-domain model including the process, electro-mechanical properties of the tool and the coupling the tool to a machine by using an insulation unit is also presented. The a priori unknown model parameters or model parameters with high uncertainties are identified by using experimental results. Beside this, the process parameters are adopted from FE simulations. In this way, a very good correlation of the model and the experimental results is reached. Simulation results for different process settings are presented here. It is shown that the piezo-actuated hammer peening tool is able to work under a static preload in order to avoid the influence of inaccuracies of machine and workpiece. The further works focus on optimization of the tool for reducing its dimensions and weight. Furthermore, new approaches are developed with that process parameters with high productivity and process stability can be quickly determined. Moreover, the parameters of the insulation unit are also investigated in order to utilize the piezo-actuated hammer peening tool for machining with robots. Last but not least, strategies for generation of the tool path are developed too.

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