A generalized precession parameter $\chi_p$ to interpret gravitational-wave data

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Originally designed for waveform approximants, the effective precession parameter $\chi_p$ is the most commonly used quantity to characterize spin-precession effects in gravitational-wave observations of black-hole binary coalescences. We point out that the current definition of $\chi_p$ retains some, but not all, variations taking place on the precession timescale. We rectify this inconsistency and propose more general definitions that either fully consider or fully average those oscillations. Our generalized parameter $\chi_p \in [0, 2]$ presents an exclusive region $\chi_p > 1$ that can only be populated by binaries with two precessing spins. We apply our prescriptions to current LIGO/Virgo events and find that posterior distributions of $\chi_p$ tend to show longer tails at larger values. This appears to be a generic feature, implying that (i) current $\chi_p$ measurement errors might be underestimated, but also that (ii) evidence for spin precession in current data might be stronger than previously inferred. Among the gravitational-wave events released to date, that which shows the most striking behavior is GW190521.

I. INTRODUCTION

Spin precession is a key phenomenological feature of black-hole (BH) binary coalescences. As the two BHs inspiral toward merger due to the emission of gravitational-waves (GWs), relativistic spin-spin and spin-orbit couplings cause the orbital plane and the spins to precess about the direction of the total angular momentum [1].

BH binary spin precession is often characterized using a single effective parameter, denoted as $\chi_p$. First introduced by Schmidt et al. [2] for waveform building purposes, $\chi_p$ is now widely used in state-of-the-art analyses of LIGO/Virgo data to infer the occurrence of spin precession [3–5]. A confident measurement of $\chi_p$ away from zero with significant information gain from the prior is considered a strong indication that orbital-plane precession has been measured (but see e.g. Ref. [6] for a different metric).

Most recently, the parameter $\chi_p$ was the main tool used by Abbott et al. [7] to claim that, although evidence for spin precession in individual events is mild to moderate, current data show a much stronger collective evidence for precessing spins that emerges at the population level. This has important consequences for the astrophysical interpretation of BH mergers, most notably in terms of their formation channel(s). Precessing spins are a key prediction of BH binaries formed in dense clusters, but might also be present in the case of sources formed in isolation because of, e.g., supernova kicks [8–13].

In this paper, we re-investigate the derivation of $\chi_p$ and rectify an inconsistency in its current definition —namely that only some, but not all, of the precession-timescale oscillations are averaged. Section II provides a concrete recipe to either retain all such variations or properly average them. The latter approach results in an augmented definition of $\chi_p$ that varies only on the longer radiation-reaction timescale and includes two-spin effects. Some details of the full averaging procedure are postponed to Appendix A. Section III presents a brief exploration of the parameter space using post-Newtonian (PN) integrations and quantifies the extent to which the current definition of $\chi_p$ fails to properly capture two-spin effects. Section IV explores the consequences of our findings on current LIGO/Virgo events. The proposed generalization of $\chi_p$ causes long tails in the posterior distributions, indicating that evidence for spin precession inferred from current data might be underestimated, while the accuracy may be overestimated. This is a generic feature, with potential consequences for GW population studies. Finally, in Section V we make our conclusions and discuss future prospects.

II. HOW TO QUANTIFY PRECESSION?

Let us consider a quasi-circular BH binary with total mass $M = m_1 + m_2$, mass ratio $q = m_2/m_1 \leq 1$, spin vectors $\mathbf{S}_{1,2}$, and dimensionless spin magnitudes $\chi_{1,2}$. We employ geometric units $G = c = 1$. The orbit-averaged evolution of the orbital angular momentum $\mathbf{L}$ can be written as

$$ \frac{d\mathbf{L}}{dt} = \frac{d\hat{\mathbf{L}}}{dt} L + \frac{d\hat{L}}{dt} \hat{L} = (\Omega_L \times \hat{\mathbf{L}}) L + \frac{d\hat{L}}{dt} \hat{L}, \quad (1) $$

where the first term describes precession and the second term encodes radiation reaction. The magnitude of the angular momentum $\mathbf{L}$ is related to the orbital separation $r$ by the Newtonian expression $L/M^2 = (r/M)^1/2 q/(1+q)^2$. The precession frequency $\Omega_L$ includes contribution from both spins,

$$ \Omega_L = \Omega_{1\chi_1} \hat{\mathbf{S}}_1 + \Omega_{2\chi_2} \hat{\mathbf{S}}_2, \quad (2) $$
which at next-to-leading order in $M^2/L$ are given by [14]

$$\Omega_1 = \frac{M^2}{2r^3(1 + q)^2} \left[ 4 + 3q - \frac{3q\chi_{\text{eff}} M^2}{(1 + q) L} \right],$$

$$\Omega_2 = \frac{qM^2}{2r^3(1 + q)^2} \left[ 4q + 3 - \frac{3q\chi_{\text{eff}} M^2}{(1 + q) L} \right],$$

where $\chi_{\text{eff}}$ is the effective spin [14, 15]

$$\chi_{\text{eff}} = \frac{\chi_1 \hat{S}_1 + q\chi_2 \hat{S}_2}{1 + q}.$$

The amount of orbital-plane precession is thus set by the magnitude

$$\left| \frac{d\hat{L}}{dt} \right|^2 = \left( \Omega_1 \chi_1 |\hat{S}_1 \times \hat{L}| \right)^2 + \left( \Omega_2 \chi_2 |\hat{S}_2 \times \hat{L}| \right)^2 + 2\Omega_1 \Omega_2 \chi_1 \chi_2 \frac{\hat{S}_1 \times \hat{L}}{|\hat{S}_1 \times \hat{L}|} \cdot \frac{\hat{S}_2 \times \hat{L}}{|\hat{S}_2 \times \hat{L}|}.$$

We follow common practice and describe the geometry of the systems in terms of the tilt angles $\theta_{1,2}$ and the difference $\Delta \Phi$ between the in-plane components of the two spins.\(^1\) In symbols, these are

$$\cos \theta_1 = \hat{S}_1 \cdot \hat{L},$$

$$\cos \theta_2 = \hat{S}_2 \cdot \hat{L},$$

$$\cos \Delta \Phi = \frac{\hat{S}_1 \times \hat{L}}{|\hat{S}_1 \times \hat{L}|} \cdot \frac{\hat{S}_2 \times \hat{L}}{|\hat{S}_2 \times \hat{L}|},$$

which yields

$$\left| \frac{d\hat{L}}{dt} \right|^2 = (\Omega_1 \chi_1 \sin \theta_1)^2 + (\Omega_2 \chi_2 \sin \theta_2)^2 + 2\Omega_1 \Omega_2 \chi_1 \chi_2 \sin \theta_1 \sin \theta_2 \cos \Delta \Phi.$$

The argument made in Ref. [2] where $\chi_p$ is first introduced can be recast as follows. The factor $\cos \Delta \Phi$ can (in principle, at least) take values between $-1$ and $+1$. At those extrema one has

$$\left| \frac{d\hat{L}}{dt} \right| = |\Omega_1 \chi_1 \sin \theta_1 \pm \Omega_2 \chi_2 \sin \theta_2|.$$

The parameter $\chi_p$ is defined as the arithmetic mean of these two contributions normalized by the frequency $\Omega_1$, i.e.

$$\chi_p \equiv \frac{1}{2\Omega_1} \left( \left| \frac{d\hat{L}}{dt} \right| + \left| \frac{d\hat{L}}{dt} \right| \right)$$

$$= \max \left( \chi_1 \sin \theta_1, \Omega \chi_2 \sin \theta_2 \right),$$

where we introduced the ratio between the spin frequencies

$$\tilde{\Omega} = \frac{\Omega_2}{\Omega_1} = q \frac{4 + 3q}{4 + 3q} - \frac{3\chi_{\text{eff}} q^2(1 - q)}{(4 + 3q)^2(1 + q)} \frac{M^2}{L} + \mathcal{O} \left( \frac{M^4}{L^2} \right).$$

To leading order in $M^2/L$, one has

$$\chi_p \simeq \max \left( \chi_1 \sin \theta_1, q \frac{4q + 3}{4 + 3q} \chi_2 \sin \theta_2 \right),$$

which is the expression from Ref. [2] used in current GW analyses (e.g. [3–5]).

While the simplicity of this procedure is appealing, it is worth pointing out that the three angles $\theta_1$, $\theta_2$ and $\Delta \Phi$ all vary on the same timescale $t_{\text{pre}} \propto (r/M)^{5/2}$. One is not justified to devise a procedure that removes the $\Delta \Phi$ dependence from Eq. (10) while at the same time retaining $\theta_1$ and $\theta_2$. The definition of $\chi_p$ given in Eq. (14) is therefore inconsistent because it contains some, but not all, short-timescale variations. Let us stress that this is not the case for the other commonly used spin parameter $\chi_{\text{eff}}$, which is a constant of motion at 2PN [14].

There are two possible strategies one can pursue: either retain all the precession-timescale variations, or integrate them out.

If precession-timescale variations are to be retained, one can immediately generalize the definition of $\chi_p$ as the magnitude of $d\hat{L}/dt$ normalized by $\Omega_1$, i.e.:

$$\chi_p \equiv \left| \frac{d\hat{L}}{dt} \right| \frac{1}{\Omega_1} = \left[ (\chi_1 \sin \theta_1)^2 + (\Omega \chi_2 \sin \theta_2)^2 + 2\Omega_1 \Omega_2 \chi_1 \chi_2 \sin \theta_1 \sin \theta_2 \cos \Delta \Phi \right]^{1/2}.$$

If one instead wishes to remove those variations, Eq. (15) should be precession-averaged in a consistent fashion. Given a suitable quantity $\psi(t)$ that parametrizes the precession cycle (this is analogous to, say, Kepler’s mean anomaly for the orbital problem), the precession-averaged value of $\chi_p$ can be found by evaluating

$$\langle \chi_p \rangle = \int \chi_p(\psi) \left( \frac{d\psi}{dt} \right)^{-1} d\psi.$$

When plugging Eq. (15) into Eq. (16), one should remember that the angles $\theta_1(\psi), \theta_2(\psi)$, and $\Delta \Phi(\psi)$ all vary on the precession timescale and thus depend (perhaps non-trivially) on $\psi$. On the other hand, the ratio $\tilde{\Omega}$ is constant at leading order and presents only long-timescale variations if the first PN correction is included, see Eq. (13). Two explicit parameterizations at 2PN are constructed in Refs. [16–18]. In particular, the parameter $\psi(t)$ can be chosen to be either the angle

$$\cos \varphi' = \frac{S_1 \cdot ([S_1 \times L] \times S_2 + [S_2 \times L] \times S_2)}{|S_1 \times S_2||S_1 + S_2| \times L|}$$

\(^1\Delta \Phi \) is sometimes indicated as $\phi_{12}$ in LIGO/Virgo analyses and data products.
or the magnitude of the total spin
\[ S = |\mathbf{S}_1 + \mathbf{S}_2| . \] (18)

A practical implementation for \( \psi(t) = S(t) \) is provided in Appendix A.

We can now put the approximation of \( \psi(t) = S(t) \) on more formal grounds. Let us picture a simplified precession cycle where \( \mathbf{S}_1 \) and \( \mathbf{S}_2 \) precess about \( \mathbf{L} \) with constant velocity and constant opening angles. In this case, one has \( d\theta_1/dt = d\theta_2/dt = d^2\Delta\Phi/dt^2 = 0 \) and the angle \( \Delta\Phi \) itself can be used to parametrize the precession cycle. With these assumptions, Eq. (16) simplifies to

\[
\langle \chi_p \rangle \approx \frac{1}{2\pi} \int_0^{2\pi} \chi_p \, d\Delta\Phi = \\
\frac{|\chi_1 \sin \theta_1 - \tilde{\Omega} \chi_2 \sin \theta_2|}{\pi} E \left[ \frac{4\tilde{\Omega} \chi_1 \chi_2 \sin \theta_1 \sin \theta_2}{(\chi_1 \sin \theta_1 - \tilde{\Omega} \chi_2 \sin \theta_2)^2} \right] + \frac{\chi_1 \sin \theta_1 + \tilde{\Omega} \chi_2 \sin \theta_2}{\pi} E \left[ \frac{4\tilde{\Omega} \chi_1 \chi_2 \sin \theta_1 \sin \theta_2}{(\chi_1 \sin \theta_1 + \tilde{\Omega} \chi_2 \sin \theta_2)^2} \right],
\] (19)

where \( E(m) = \int_0^{\pi/2} (1 - m \sin^2 \chi)^{1/2} d\chi \) is the complete elliptic integral of second kind. We now Taylor-expand this expression using the parameter

\[
\delta\chi = \frac{\min \left( \chi_1 \sin \theta_1, \tilde{\Omega} \chi_2 \sin \theta_2 \right)}{\max \left( \chi_1 \sin \theta_1, \tilde{\Omega} \chi_2 \sin \theta_2 \right)}
\] (20)

to obtain

\[
\langle \chi_p \rangle \approx \max \left( \chi_1 \sin \theta_1, \tilde{\Omega} \chi_2 \sin \theta_2 \right) \left[ 1 + \frac{\delta\chi^2}{4} + \mathcal{O}(\delta\chi^4) \right].
\] (21)

The heuristic definition of \( \chi_p \) given in Eq. (12) and Ref. [2] is equivalent to the leading-order term in Eq. (21). The limit \( \delta\chi \ll 1 \) corresponds to either \( \chi_1 \sin \theta_1 \ll \tilde{\Omega} \chi_2 \sin \theta_2 \) or \( \chi_1 \sin \theta_1 \gg \tilde{\Omega} \chi_2 \sin \theta_2 \), indicating a physical scenario where a single spin dominates the precession dynamics. This was indeed the motivation behind the waveform model developed in Refs. [2, 19, 20]. The asymmetric case is explored explicitly in Ref. [21].

The conditions \( d\theta_1/dt = d\theta_2/dt = d^2\Delta\Phi/dt^2 = 0 \) used to derive Eq. (19) are only satisfied at infinitely large separations [17]. Indeed, one can show that Eq. (19) is the formal limit of Eqs. (15-16) as \( r/M \to \infty \). At finite separations, however, spin-spin couplings introduce variations of the opening angles (resulting in spin nutations) as well as non-uniform angular velocities of the in-plane spin components. In particular, geometrical constraints can prevent binaries from ever reaching either \( \cos \Delta\Phi = 1 \) or \( \cos \Delta\Phi = -1 \) (the “librating morphologies” in the language of Refs. [16, 17]). This is not compatible with the argument made in Eq. (12) which relies on \( |d\mathbf{L}/dt|_\pm \) where \( \cos \Delta\Phi = \pm 1 \). Neglecting nutations can also introduce a significant mismodeling, as the spin angles can vary by as much as \( \Delta\theta_1 \sim \pi \) on short timescales [22, 23].

### III. PARAMETER-SPACE EXPLORATION

We now investigate similarities and differences between the various definitions of \( \chi_p \) using PN integrations. We use the following terminology:

- Eq. (14) → “Heuristic” \( \chi_p \)
- Eq. (19) → “Asymptotic” \( \chi_p \)
- Eq. (15) → “Generalized” \( \chi_p \)
- Eq. (16) → “Averaged” \( \chi_p \)

We assume \( \tilde{\Omega} = g(4q + 3)/(4 + 3q) \) as in Ref. [2]. We have verified that the additional PN correction reported in Eq. (13) is irrelevant.

Figure 1 shows the evolution of \( \chi_p \) for a set of representative BH binaries. Sources are initialized by specifying values of \( q, \chi_1, \chi_2, \theta_1, \theta_2, \) and \( \Delta\Phi \) at \( r = 100M \), and evolved down to \( r = 10M \) using the orbit-averaged PN code of Ref. [24].

The top two panels of Fig. 1 illustrate cases where the heuristic estimate of \( \chi_p \) (blue) fails to capture the dynamics. The kinks in the top-most panel are due to the maximum taken in Eq. (14): for this system, the two terms \( \chi_1 \sin \theta_1 \) and \( \tilde{\Omega} \chi_2 \sin \theta_2 \) alternate their relative importance during each precession cycle, such that selecting only one of them introduces sharp features. This specific issue is rectified if one considers the asymptotic expression (orange) which, however, still fails to match either the generalized (green) or the averaged (red) result. This is because the assumptions used to derive Eq. (19) are only valid in the limit \( r/M \to \infty \), while here we evaluate the asymptotic \( \chi_p \) inappropriately along the inspiral. The averaged \( \chi_p \) (green) correctly tracks the long-term behavior of \( |d\mathbf{L}/dt| \). In general, we find that the heuristic estimate of \( \chi_p \) can either overestimate or underestimate the averaged evolution.

Conversely, the bottom two panels of Fig. 1 present systems where the heuristic expression tracks the overall dynamics more faithfully. Notably, these are cases where the spin of the primary BH dominates, i.e. closer to the \( m_2^2 \chi_1 \gg m_2^2 \chi_2 \) limit. Even in this favorable scenario, however, both the heuristic and the asymptotic definition of \( \chi_p \) retain prominent short-timescale variations which are instead smoothed out by the averaged result. The generalized \( \chi_p \) contains the full precession-timescale dynamics and thus oscillates with even larger amplitude.

A careful inspection of Fig. 1 reveals that the red curve for the averaged evolution of \( \chi_p \) still retains some (much smaller!) variations that correlate with the precession period. This is signaling the breaking down of the timescale separation that underpins the averaging procedure. Much like the quasi-circular approximation cannot accurately describe the orbital problem close to merger, averaging over a precession cycle is also less justified at small orbital separations where radiation reaction becomes more prominent.

Figure 2 explores the statistical distribution of \( \chi_p \) for all four definitions. Each panel is produced assuming a
population of sources with fixed values of \( q, \chi_1, \) and \( \chi_2, \)
and spin directions distributed isotropically. The values of \( \chi_p \) are evaluated at \( r \approx 14M \), corresponding to GW
frequencies of \( f = 20 \text{ Hz} \) for a nominal source with total
mass \( M = 60M_\odot \) (where we converted frequency to separa-
tion using the Newtonian expression \( f = \sqrt{M/\pi^2r^3} \)).

An immediate observation is that the heuristic value of \( \chi_p \) is bounded by \( \chi_p \leq 1 \) while the generalized and
averaged estimates satisfy \( \chi_p \leq 2 \). This is another reflection
of the fact that cases where both spins contribute
to the precession dynamics cannot be faithfully reduced
to a single spin. The blue histograms for the heuristic \( \chi_p \)
in Fig. 2 show two prominent peaks at \( \chi_p = \chi_1 \) and
\( \chi_p = \chi_2q(4q + 3)/(4 + 3q) \). These artificial features are
not present in either the generalized or the averaged dis-
tributions. Interestingly, the averaged \( \chi_p \) distributions lie

between the generalized and the heuristic ones. This is a
consequence of the derivation of the heuristic \( \chi_p \) presented
in Sec. II which relies on an inconsistent average.

One could be tempted to evaluate the asymptotic limit
reported in Eq. (19) along the inspiral (much like we did
in Fig. 1) because it represents an easy-to-implement,
semi-analytical expression. The red histograms in Fig. 2
show that, overall, such an approach would also be in-
appropriate to describe the precession dynamics. This
is because Eq. (19) is only valid in the
limit

\( \frac{r}{M} \to \infty \) where spin nutations can be neglected and the preces-
sional velocity is approximately constant. It is worth
stressing that Eq. (19), and not Eq. (14), provides the
correct asymptotic limit of \( \langle \chi_p \rangle \) as \( r/M \to \infty \). The av-
erged result agrees with its asymptotic limit within a
few % only at extremely large separations \( r \geq 10^5M_\odot \).
These values are not accessible by LIGO and Virgo: in the sensitivity windows of the detectors where \( r/M \) is of \( \mathcal{O}(10) \), differences can be of order unity.

Figure 3 shows the difference \( \Delta \chi_p \) between the averaged and heuristic estimates for the same populations of sources used in Fig. 2. The heuristic evaluation can either underestimate or overestimate the averaged result, with a distribution that is mildly skewed towards \( \Delta \chi_p > 0 \). The largest disagreements depend on the injected value of \( q \), \( \chi_1 \), and \( \chi_2 \) but can reach \( \sim 50\% \) for some of the cases shown in Fig. 3. As expected, differences are smaller for parameters that better satisfy the single-spin limit \( m_1^2 \chi_1 \gg m_2^2 \chi_2 \).

Finally, let us briefly interpret our findings using the “spin morphologies” identified in Refs. [16, 17]. These are mutually exclusive classes of sources where \( |\Delta \Phi| \) can either circulate through full range \([0, \pi]\), librate about \( |\Delta \Phi| = 0 \) and never reach \( \pi \), or librate about \( |\Delta \Phi| = \pi \) and never reach \( 0 \). For \( q = 0.8, \chi_1 = 1, \chi_1 = 1, r \simeq 14M, \) and isotropic spins, we find that \( \Delta \chi_p \approx -0.06^{+0.31}_{-0.27} \) for binaries in the circulating morphology, \( \Delta \chi_p \approx 0.46^{+0.27}_{-0.33} \) for binaries that librate about \( |\Delta \Phi| = 0 \), and \( \Delta \chi_p = -0.35^{+0.30}_{-0.10} \) for binaries that librate about \( |\Delta \Phi| = \pi \) (where we indicated medians and 90\% interval). The heuristic estimate of \( \chi_p \), assumes that contributions from \( \Delta \Phi = 0 \) and \( \Delta \Phi = \pi \) are equally important [cf. Eq. (12)]. This assumption is not appropriate to describe binaries in the librating binaries but provides a fairer description of the circulating sources. As shown in Ref. [17], the number of sources in each of these three classes strongly depends on the binary parameters. The mismodeling introduced by the heuristic definition of \( \chi_p \) is therefore highly non-uniform, affecting specific regions in the parameter space much prominently than others.

IV. IMPACT ON CURRENT LIGO EVENTS

The examples presented so far indicate that a consistent generalization of \( \chi_p \) can in principle be an important player in the interpretation of BH-binary observations. We now turn our attention to current GW events from the
first three observing runs of the LIGO/Virgo detectors. For O1 and O2, we make use of publicly released posterior samples from Ref. [25], which include all 10 BH binary events reported in the GWTC-1 catalog\(^2\) [4]. For O3a, we use data products released together with the GWTC-2 catalog [5] and consider all BH binary events with false-alarm rate \(< 1/\text{yr}\). The resulting sample of 45 detections is reported in Table I.

The O1-O2 analysis of Ref. [25] employs the IMRPhenomPv2 [20] waveform model, where two-spin effects are not fully included. For the case of GW151226, we cross-checked our results using posterior samples obtained with the more accurate IMRPhenomPv3 model from Ref. [20] and did not detect significant differences. Unless specified otherwise, events from O3a are analyzed using combined samples obtained with different waveform families as described in Appendix A.1 of Ref. [5]. LIGO/Virgo parameter estimation samples report the spin directions at a

\(^2\) We could not use the posterior samples publicly released with Ref. [4] because they do not include the variable \(\Delta \Phi\). The analyses of Refs. [25] and [4] were found to be compatible.

fixed GW frequency, which was set to \(f_{\text{ref}} = 20\) Hz for all the events but GW190521 where the high mass imposed a lower value \(f_{\text{ref}} = 11\) Hz. We convert GW frequency to PN separation \(r\) as described in Appendix A.

Figure 4 shows posterior distributions for the heuristic (blue) and averaged (red) estimates of \(\chi_p\) for all 45 events. Medians and symmetric 90% confidence intervals are reported in Table I. For most events, the posterior distributions obtained with different definitions of \(\chi_p\) are qualitatively similar, indicating that the generalization proposed in this paper does not alter the physical interpretation of these systems, at least at the present signal-to-noise ratio. However, our \(\chi_p\) distributions present an overall tendency toward larger values. This appears to be a rather generic feature: the posteriors of the averaged and generalized \(\chi_p\)’s have longer tails compared to both the heuristic and asymptotic ones. Some cases that are worth pointing out from Fig. 4 are GW170814, GW170823, GW190413_134308, GW190421_213856, GW190424_180648, GW190514_065416, GW190521 (see below), GW190727_060333, GW190803_022701, and GW190915_235702.

In these cases, the posterior of the heuristic \(\chi_p\) is some-

| Event             | \((\text{Heu.})\) | \((\text{Asy.})\) | \((\text{Gen.})\) | \((\text{Av.})\) |
|-------------------|-------------------|-------------------|-------------------|-------------------|
| \(\chi_p\)        | \(\chi_p\)        | \(\chi_p\)        | \(\chi_p\)        | \(\chi_p\)        |
| GW150914          | 0.32 \pm 0.41     | 0.33 \pm 0.28     | 0.33 \pm 0.55     | 0.34 \pm 0.27     |
| GW151012          | 0.31 \pm 0.40     | 0.33 \pm 0.26     | 0.31 \pm 0.51     | 0.33 \pm 0.25     |
| GW151226          | 0.42 \pm 0.29     | 0.44 \pm 0.29     | 0.43 \pm 0.40     | 0.44 \pm 0.29     |
| GW170104          | 0.37 \pm 0.36     | 0.38 \pm 0.38     | 0.38 \pm 0.39     | 0.39 \pm 0.38     |
| GW170608          | 0.31 \pm 0.35     | 0.33 \pm 0.25     | 0.31 \pm 0.35     | 0.33 \pm 0.25     |
| GW170729          | 0.42 \pm 0.36     | 0.38 \pm 0.33     | 0.38 \pm 0.34     | 0.41 \pm 0.34     |
| GW170809          | 0.34 \pm 0.38     | 0.36 \pm 0.30     | 0.36 \pm 0.30     | 0.38 \pm 0.30     |
| GW170814          | 0.49 \pm 0.32     | 0.50 \pm 0.33     | 0.49 \pm 0.32     | 0.49 \pm 0.32     |
| GW170818          | 0.51 \pm 0.29     | 0.54 \pm 0.30     | 0.51 \pm 0.39     | 0.51 \pm 0.43     |
| GW170823          | 0.45 \pm 0.41     | 0.48 \pm 0.42     | 0.47 \pm 0.46     | 0.45 \pm 0.45     |
| GW190408_181802   | 0.39 \pm 0.37     | 0.49 \pm 0.39     | 0.38 \pm 0.46     | 0.39 \pm 0.39     |
| GW190412          | 0.31 \pm 0.19     | 0.33 \pm 0.16     | 0.31 \pm 0.23     | 0.30 \pm 0.16     |
| GW190413_052954   | 0.42 \pm 0.42     | 0.44 \pm 0.43     | 0.42 \pm 0.55     | 0.43 \pm 0.49     |
| GW190413_134308   | 0.56 \pm 0.46     | 0.59 \pm 0.45     | 0.59 \pm 0.57     | 0.58 \pm 0.43     |
| GW190421_218356   | 0.49 \pm 0.40     | 0.50 \pm 0.44     | 0.49 \pm 0.56     | 0.50 \pm 0.52     |
| GW190424_180648   | 0.52 \pm 0.37     | 0.57 \pm 0.38     | 0.54 \pm 0.42     | 0.54 \pm 0.39     |
| GW190503_185404   | 0.29 \pm 0.39     | 0.41 \pm 0.29     | 0.32 \pm 0.52     | 0.40 \pm 0.47     |
| GW190512_180714   | 0.23 \pm 0.37     | 0.23 \pm 0.38     | 0.23 \pm 0.39     | 0.24 \pm 0.34     |
| GW190513_205428   | 0.30 \pm 0.40     | 0.39 \pm 0.41     | 0.31 \pm 0.48     | 0.32 \pm 0.49     |
| GW190514_065416   | 0.47 \pm 0.34     | 0.50 \pm 0.37     | 0.47 \pm 0.38     | 0.47 \pm 0.34     |
| GW190517_055101   | 0.48 \pm 0.31     | 0.50 \pm 0.33     | 0.50 \pm 0.46     | 0.50 \pm 0.40     |
| GW190519_135344   | 0.45 \pm 0.43     | 0.46 \pm 0.43     | 0.46 \pm 0.46     | 0.46 \pm 0.39     |
| GW190521          | 0.67 \pm 0.44     | 0.72 \pm 0.46     | 0.70 \pm 0.52     | 0.70 \pm 0.46     |

**TABLE I.** Medians and 90% confidence intervals of \(\chi_p\) for 45 GW events from the first three observing runs of the LIGO/Virgo interferometers. For each event, we consider four different definitions of \(\chi_p\): heuristic [Eq. (14)], asymptotic [Eq. (19)], generalized [Eq. (15)], and averaged [Eq. (16)].

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what steep near its $\chi_p = 1$ boundary. On the other hand, the averaged and generalized distributions extend smoothly into the $\chi_p \gtrsim 1$ region. The heuristic definition of $\chi_p$ from Eq. (14) causes an artificial pile up of posterior samples at the boundary $\chi_p \lesssim 1$; the samples affected are those with in-plane spins components which are moderately large and co-aligned.

Some statistical properties of these $\chi_p$ measurements are summarized in Figure 5 where we contrast the heuristic and the averaged definitions. At least at the current detector sensitivity, medians of the $\chi_p$ posteriors (orange circles) are not sensitive to the generalizations put forward in this paper. On the other hand, the width of their 90% confidence interval depends on the $\chi_p$ definition. In particular, we find that the common heuristic approach systematically underestimates the $\chi_p$ measurement errors. This is once more due to the $\chi_p \gtrsim 1$ tails of the posteriors shown in Fig. 4, which push the upper edge of the 90% confidence interval toward larger values. As shown in Fig. 5, the majority of the current GW events are affected, with potential consequences for current and future population studies.

Figure 5 also shows the Kullback-Leibler (KL) divergence $D_{KL}$ between prior and posterior samples evaluated using the averaged and heuristic estimates of $\chi_p$. The $\chi_p$ KL divergence, also known as relative entropy, is often used as a metric to discriminate whether the data contains enough evidence for spin precession. For the few systems with $D_{KL} \gtrsim 0.4$ bits (GW190412, GW190512_180714, GW190521, and GW190814), the relative entropy of the averaged $\chi_p$ is up to 7% higher, indicating that current estimates tend to mildly underestimate the information gain.

The most striking case from Fig. 4 is undoubtedly that of GW190521. Classified as the most massive event to date, GW190521 is a BH binary with total mass $\sim 150 M_\odot$ which shows some preference for spinning, precessing BHs (odds ratio of $\sim 10 : 1$) [27]. The $\chi_p$ properties of this event are singled out in Fig. 6. The left panel shows prior and posterior distributions for all four definitions of $\chi_p$. While the priors are all qualitatively similar, the posteriors (here computed averaging over different waveform families [5]) show more pronounced differences between the heuristic/asymptotic and the generalized/averaged $\chi_p$’s. The $\chi_p$ generalization put forward in this paper affects the posterior much more predominantly than the prior, and thus highlights features which are present in the data. The right panel shows posterior distributions obtained using three different waveform models —NRSur7dq4 [28], SEOBNRv4PHM [29], and IMRP PhenomPv3HM [30] — which all include two-spin effects and higher-order modes. While some dependence on the waveform is present (c.f. [5]), we find that the differences between the definitions of $\chi_p$ cannot be absorbed within these uncertainties. At least for GW190521, the generalization of $\chi_p$ presented here dominates over waveform systematics.

V. CONCLUSIONS

The parameter $\chi_p$ is commonly used to characterize relativistic precession in GW observations of BH binaries. The reduction of the spin-precession problem to a single parameter was initially motivated by efficient waveform construction and template placing [2]. The popular waveform model of Ref. [20] indeed makes direct use of a single effective precessing spin. Waveform models have evolved since then and now fully include two-spin effects [28–30]. Although GW parameter-estimation algorithms sample all six cartesian components of the BH spins, information on the spin components perpendicular to the orbital angular momentum is often condensed into $\chi_p$ for interpretation purposes (for measurement accuracies on the individual spins see e.g. [31, 32]). It is indeed very desirable to have a single parameter that, if measured confidently, can be interpreted as “the amount of precession” in a given GW observation.

The parameter $\chi_p$ was first defined in Ref. [2] with specific assumptions that are here relaxed for the first time. In particular, we propose that the common definition

$$\chi_p = \max \left( \chi_1 \sin \theta_1, q \frac{4q + 3}{4 + 3q} \chi_2 \sin \theta_2 \right)$$  (22)
FIG. 4. Posterior distributions for the heuristic [Eq. (14), blue] and averaged [Eq. (16), red] estimate of $\chi_p$ for 45 GW events from the first, second, and third LIGO/Virgo observing runs. Posteriors for the asymptotic (generalized) $\chi_p$ are qualitatively similar to the heuristic (averaged) results and are omitted for clarity. We report the generic tendency of tails extending toward the region where $\chi_p \gtrsim 1$. 
should be generalized to
\[
\chi_p = \left[ (\chi_1 \sin \theta_1)^2 + \left( q \frac{4q + 3}{4 + 3q} \chi_2 \sin \theta_2 \right)^2 \right]^{1/2} + 2q \frac{4q + 3}{4 + 3q} \chi_1 \chi_2 \sin \theta_1 \sin \theta_2 \cos \Delta \Phi \right]^{1/2}.  \tag{23}
\]

The latter can then be precession-averaged as in Eq. (16) and Appendix A. For a public implementation using the Python programming language see github.com/dgerosa/generalizedchip [33].

The crucial difference between the two definitions above is that \( \chi_p \) depends not only on the magnitudes of the in-plane spin components \( \chi_1 \sin \theta_1 \) and \( \chi_2 \sin \theta_2 \), but also on the angle \( \Delta \Phi \) between them.

It is worth noting that the generalization we propose is bound by \( \chi_p \leq 2 \), compared to \( \chi_p \leq 1 \) for the heuristic definition. This reflects one’s intuition that binaries where both BHs contribute significantly to the precession dynamics cannot be reduced to an effective system with a single spin. From the definition of Eq. (23) one can immediately prove that \( \chi_p < 1 \) if either spin is parallel to the orbital angular momentum (\( \chi_i \sin \theta_i = 0 \)). It follows that the additional region \( \chi_p > 1 \) is exclusive to binaries with two precessing spins. Much like an observation where \( \chi_p \) is confidently \( > 0 \) would indicate the presence of at least one precessing spin, a GW event in the \( \chi_p > 1 \) region can be interpreted as a detection of two-spin effects.

It is important to note that there is some arbitrariness in the precise definition of \( \chi_p \). For instance, instead of the magnitude \(|d\mathbf{L}/dt|\) adopted in Sec. II, one could use the projection of the total spin onto the orbital plane
\[
\frac{|(S_1 + S_2) \times \dot{\mathbf{L}}|}{M^2} = \frac{1}{(1 + q)^2} \left[ (\chi_1 \sin \theta_1)^2 + (q \chi_2 \sin \theta_2)^2 \right. \\
+ 2q \chi_1 \chi_2 \sin \theta_1 \sin \theta_2 \cos \Delta \Phi \left. \right]^{1/2}, \tag{24}
\]

which differs from Eq. (23) only by some factors of \( q \) (see also [34]). Similarly, in Eq. (15) we, somehow arbitrarily, opted for normalizing the magnitude of \( d\mathbf{L}/dt \) by the precession frequency of the primary BH \( \Omega_1 \). This is the same choice made in Ref. [2] and was here retained to allow for a meaningful comparison between our results and theirs. An alternative choice, which goes further in direction of putting the two BHs on equal footing, would be to normalize \(|d\mathbf{L}/dt|\) by \( \Omega_1 + \Omega_2 \). Our results can trivially be rescaled to that choice by the transformation
\[
\chi_p \to \frac{\chi_p}{1 + \Omega}. \tag{25}
\]

In this case, one would obtain a precession parameter that is \( \leq 1 \) but cannot be easily compared with the current definition.

We stress that our recipe for evaluating \( \chi_p \) does not require new or different parameter-estimation runs, which are computationally expensive, but can be carried out entirely in post-processing. In this paper, we pursued this strategy using public posterior samples from the LIGO/Virgo events reported to date. We report the generic occurrence of long tails in the posterior distributions of \( \chi_p \) that extend smoothly into the previously forbidden region where \( \chi_p \gtrsim 1 \). The most relevant case to date which shows the importance of defining a consistent precession parameter is GW190521, where \( p(\chi_p > 1) \approx 16\% \). This number can be interpreted as a lower limit to the probability that GW190521 contained two precessing spins. In total, there are 6 (29) events for which this “two-spin probability” is greater than 5% (1%).

Such tails in the \( \chi_p \) posteriors have two main consequences:

- First, they enlarge the 90% confidence interval of \( \chi_p \), indicating that current estimates of the measurement errors with which precession is measured might be underestimated.

- Second, more posterior weight is placed at higher values of \( \chi_p \), which can arguably be interpreted as an indication that the data show more evidence for spin precession than previously reported.

Both these points might have important consequences for the astrophysical interpretation of GW events, because...
spin precession is thought to be a key tracer of BH binary formation pathways.

We have only investigated the relevance of our re-definition of $\chi_p$ on individual events, not its collective effect on the detected population. The impact of our findings on population studies might be significant because the generalization of $\chi_p$ here proposed affects current events in a weak but systematic manner.

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Appendix A: Precession-average implementation

In this Appendix we provide a practical implementation of Eq. (16). We rely on the multi-timescale framework presented in Refs. [16–18], where precession cycles are parametrized using the magnitude of the total spin $S(t) = |S_1(t) + S_2(t)|$.

Current LIGO/Virgo pipelines provide the binary configurations in terms of detector-frame total mass $M$, mass ratio $q$, spin magnitude $\chi_1$, $\chi_2$, and the orientations $\theta_1$, $\theta_2$, $\Delta \Phi$ at a given GW frequency $f_{\text{ref}}$. First, we obtain the corresponding separation $r$ using the PN expression
reported in Eq. (4.13) of Ref. [35],
\[
\frac{r}{M} = (M \omega)^{-2/3} - \left[1 - \frac{q}{3(1+q)^2}\right]
- \frac{(M \omega)^{1/3}}{3(1+q)^2} \left[(3q + 2) \chi_1 \cos \theta_1 + q(3 + 2q) \chi_2 \cos \theta_2\right]
+ (M \omega)^{2/3} \left\{ \frac{q}{(1+q)^2} \left[\frac{19}{4} + \frac{q}{9(1+q)^2}\right]
- \frac{\chi_1 \chi_2}{2} \left(\sin \theta_1 \sin \theta_2 \cos \Delta \Phi - 2 \cos \theta_1 \cos \theta_2\right) \right\},
\]
(A1)
where \(\omega = \pi f_{\text{ref}}\) is the orbital angular velocity.

One can then evaluate quantities that are constant on the precession timescale. These include the magnitudes of the spins \(S_i = \chi_i m_2^2\), the magnitude of the Newtonian angular momentum \(L = m_1 m_2 \sqrt{r/M}\), the effective spin \(\chi_{\text{eff}}\) from Eq. (5), and the magnitude of the total angular momentum
\[
J = [L^2 + S_1^2 + S_2^2 + 2L(S_1 \cos \theta_1 + S_2 \cos \theta_2)]
+ 2S_1 S_2 (\sin \theta_1 \sin \theta_2 \cos \Delta \Phi + \cos \theta_1 \cos \theta_2)]^{1/2}.
\]
(A2)

These quantities can be used to derive the following parametric expressions of spin angles as a function of \(S\)
\[
\cos \theta_1(S) = \frac{1}{2(1-q)S_1} \left[\frac{J^2 - L^2 - S^2}{L} - 2qM^2 \chi_{\text{eff}}\right]
\]
\[
\cos \theta_2(S) = \frac{q}{2(1-q)S_2} \left[-\frac{J^2 - L^2 - S^2}{L} + \frac{2M^2 \chi_{\text{eff}}}{1+q}\right],
\]
(A3)
\[
\cos \Delta \Phi(S) = \frac{S^2 - S_1^2 - S_2^2 - 2S_1 S_2 \cos \theta_1(S) \cos \theta_2(S)}{2S_1 S_2 \sin \theta_1(S) \sin \theta_2(S)},
\]
(A4)
\[
\cos \Delta \Phi(S) = \frac{S^2 - S_1^2 - S_2^2 - 2S_1 S_2 \cos \theta_1(S) \cos \theta_2(S)}{2S_1 S_2 \sin \theta_1(S) \sin \theta_2(S)},
\]
(A5)
which can be substituted into Eq. (15) to obtain \(\chi_p(S)\).

The time derivative of \(S\) follows directly from the spin-precession equations (e.g. [14]):
\[
\frac{dS}{dt} = 3 \frac{S_1 S_2 M^9 q^5(1-q)}{L^5 (1+q)^4} 1 - \frac{qM^2 \chi_{\text{eff}}}{(1+q)^2 L^3}
\times \sin \theta_1(S) \sin \theta_2(S) \sin \Delta \Phi(S) \right|_S.
\]
(A6)
One can prove [17] that there are two stationary points where \(dS/dt = 0\), which we refer to as \(S_\pm\). The absolute values in Eqs. (A6) are related to the fact that the two halves of the precession cycle \(S_- \to S_+\) and \(S_+ \to S_-\) are symmetric, so we can safely integrate only over the first half.

Putting all these ingredients together yields
\[
\langle \chi_p(S) \rangle = \frac{\int_{S_-}^{S_+} \frac{dS}{dt}^{-1} dS}{\int_{S_-}^{S_+} \frac{dS}{dt}^{-1} dS}.
\]
(A7)
Parametrizing the precession cycle in terms of \(S(t)\) holds as long as \(q \ll 1\). The angle \(\varphi'\) of Eq. (17) should instead be used if \(q = 1\) (which, strictly speaking, never happens) [36].

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