Room temperature deformation of single crystals of Ti$_5$Si$_3$ with the hexagonal D$_{8h}$ structure investigated by micropillar compression tests

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Micropillar compression tests of Ti$_5$Si$_3$ single crystals were conducted at room temperature as a function of loading axis orientation and specimen size in order to investigate their room temperature plastic deformation behavior. Plastic flow by the operation of three deformation modes, [0001], [2112] < 2113 > and [1101] < 2113 > slip were observed in [2205]-, [0001]- and [4310]-oriented micropillar specimens deformed at room temperature, respectively. The CRSS values were evaluated to be very high above 2.7 GPa and were confirmed to increase up to about 6 GPa with the decrease in the specimen size. The fracture toughness values are evaluated to be 0.45 MPa m$^{1/2}$ (notch plane // (0001)) and 0.73 MPa m$^{1/2}$ (notch plane // (1100)) based on the results of micro-cantilever bend tests of chevron-notched specimens. The fracture toughness values are considerably lower than those for D$_{8h}$-Mo$_5$SiB$_2$ and D$_{8h}$-Nb$_5$Si$_3$ evaluated by the same method, indicating the inherent brittleness of binary Ti$_5$Si$_3$ compared to the other transition-metal silicides of the TM$_5$Si$_3$ type (TM: transition-metal).

Since the gas inlet temperature of the advanced turbine systems already exceeds 1700 °C that is much higher than the melting temperature (~ 1350 °C) of Ni-based superalloys, there is an ever-increasing demand for developing ultra high-temperature structural materials that can withstand at temperatures well above the maximum operating temperatures of Ni-base superalloys in severe oxidizing atmosphere$^{1,2}$. Silicides with the chemical formula of TM$_5$Si$_3$ formed with transition-metals (TMs) are of interest, as most of them exhibit the highest melting temperature in each of the corresponding TM-Si binary phase diagrams and a reasonably good oxidation property due to the high Si content$^3$. Indeed, Nb$_5$Si$_3$ and Mo$_5$SiB$_2$ with the tetragonal D$_{8h}$ structure and Mo$_5$Si$_3$ with the tetragonal D$_{8m}$ structure have intensively been investigated in the last several decades as the strengthening phases of Nb-4–7 and Mo-8–10 based refractory alloys, respectively. Ti$_5$Si$_3$ with the hexagonal D$_{8h}$ structure, on the other hand, has been investigated initially as a constituent phase of Ti-Ti$_5$Si$_3$ in-situ composites$^{11}$ and in recent years as the strengthening phase of Mo-Mo$_5$SiB$_2$ based alloys because the creep strength and oxidation resistance are significantly improved by replacing a large amount of Mo with Ti so as to incorporate Ti$_5$Si$_3$ as the constituent phase of Mo-Mo$_5$SiB$_2$ based alloys$^{12–17}$. Because of some excellent properties as a promising structural material for ultra-high temperature applications (the high melting temperature (2130 °C) and low density (4.32 g/cm$^3$)), the deformation behavior of monolithic Ti$_5$Si$_3$ has been investigated with polycrystals$^{11}$ and single crystals$^{18,19}$. Frommeyer et al.$^{11}$ reported that Ti$_5$Si$_3$ is extremely brittle at low temperatures as expected from the complex crystal structure of the D$_{8h}$ type (Pearson symbol: hP16, Space group: P6$_3$/mmm). Plastic flow is observed only above 1000 °C in polycrystals, while Umakoshi and Nakashima$^{18}$ reported plastic flow carried by deformation twinning of the [1102] < T101 > type at high temperatures above 1300 °C in single crystals with some limited orientations. Then, Kishida et al.$^{19}$ made a first systematic deformation experiment on single crystals of Ti$_5$Si$_3$ in uniaxial compression and found that plastic flow is possible only above 1200 °C regardless of crystal orientation with three different operative deformation modes, [1T00] < 0001 > prismatic slip, [2TT2] < 2TT3 > pyramidal slip and [2TT8] < 8TT3 > twinning depending on crystal orientation.

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Recently, micropillar compression testing that utilizes small specimens of the micron-meter scale has been recognized as a powerful method to investigate the plastic deformation behavior of hard and brittle materials at a low temperature (for example, room temperature) well below their onset temperatures (above 1000 °C for most of these materials) for plastic flow in the bulk form. Those hard and brittle materials include intermetallics with complex crystal structures,20–25 semiconductors,26–31 and ceramics.32–35 We have investigated the room-temperature plastic deformation behavior of various hard and brittle materials including D8_l-Mo5SiB2,24 D8_l-Nb5Si3,25 H-SiC31 and so on21–23 and have successfully identified operative slip systems and their critical resolved shear stress (CRSS). Of particular interest to note is that slip systems that do not participate in the high temperature deformation in the bulk form do participate in the room-temperature deformation in the micropillar form24,25,31 and that completely different dislocation dissociation schemes are observed at high (bulk) and low (micropillar) temperatures even when the same slip system operates. Thus, the CRSS at a low temperature obtained in the micropillar form is plotted far away from the extrapolation of the temperature-dependent CRSSs obtained at high temperatures in the bulk form31. Such information about the room-temperature deformation behavior must be very important to conceive a strategy to improve the brittleness at low temperatures of these hard and brittle materials.

In the present study, we investigate the room-temperature deformation behavior of single crystals of Ti5Si3 with the hexagonal D8_l structure as a function of specimen size and loading-axis orientation by micropillar compression tests, to get information that might be useful for the improvement of the brittleness at low temperatures.

**Results**

**Compression deformation behavior.** Figure 1a–c show typical stress–strain curves obtained for single crystals of micropillar specimens with the [2205], [43T0] and [0001] orientations, respectively. A relatively large flat portion corresponding to a strain burst is commonly observed soon after elastic loading in many stress–strain curves regardless of the loading-axis orientation. Although a strain burst is followed by a load drop in most cases, that is not included in Fig. 1. As observed in other micropillar compression tests of single crystals of
metallic materials, the occurrence of a strain burst in stress–strain curves in Ti₅Si₃ is considered to be plastic flow caused by dislocation motion in avalanches, as in the case of Mo₅SiB₂,

Being consistent with the occurrence of plastic flow caused by dislocation motion in avalanches, most micropillar specimens exhibit slip plane (shear) failure, accompanied by giant steps on the slip plane along the slip direction (Fig. 2). Deformation microstructures with the three orientations are shown in Fig. 2a–c for some specimens in which micropillar testing was successfully interrupted before instantaneous slip plane failure occurs. For the [2̅0̅0̅5] orientation (Fig. 2a), analysis of slip traces that appeared on two orthogonal side-surfaces has identified the slip plane to be (1̅0̅0̅0). Because shear deformation occurs so as to maintain the (TT20) side-surface flat, the shear direction is contained in the (TT20) plane and is determined to be [0001]. The slip system operative in [2̅0̅0̅5]-oriented micropillar specimens is thus identified to be (1̅0̅0̅0)[0001], as observed in the same orientation of bulk single crystals at above 1300 °C.

For the [4̅3̅T0] orientation, deformation markings corresponding to slip on (1̅0̅0̅1) are observed on two orthogonal side faces. On the assumption that slip on this plane occurs along either a or a + c direction (when expressed with the hexagonal lattice parameters), the possible slip vectors in the [4̅3̅T0] orientation are [1̅1̅2̅0], [2̅T T3] and [1̅2̅1̅3], the latter two of which have the identical Schmid factors. Of the three, [1̅1̅2̅0] is ruled out, since deformation markings are clearly observed also on the (000T) side-surface that contains the [1̅2̅1̅0] direction (Fig. 2b). The slip system identified in [4̅3̅T0]-oriented micropillar specimens is thus identified to be (1̅0̅0̅1)[1̅1̅2̅0]. This slip system has never been identified to operate in bulk single crystals. In bulk single crystals with the same [4̅3̅T0] orientation, [2̅T T2] < 2̅T T3 > pyramidal slip was identified to operate above 1400 °C.

For the [0001] orientation, slip trace analysis reveals that slip occurs on the (1̅1̅2̅2) slip plane. Because 1/3< T T23 > is the shortest lattice translation vector (0.906 nm) on [1̅1̅2̅2] slip planes, the operative slip system in [0001]-oriented micropillar specimens is inferred to be [2̅T T2] < 2̅T T3 >. As described above, [2̅T T2] < 2̅T T3 > slip has been observed in [4̅3̅T0]-oriented bulk single crystals above 1400 °C but not in [0001]-oriented bulk single crystals, in which deformation twinning of the [2̅T T8] < 8̅4̅T3 > -type is operative above 1400 °C.

Fracture toughness. Micro-cantilever bend tests on chevron-notched micro-beam specimens were conducted to evaluate the fracture toughness. The load–displacement curves obtained for chevron-notched micro-beam specimens with a notch plane parallel to (0001) and (1̅0̅0̅0) are shown in Fig. 3a. The loading directions were set parallel to [1̅0̅0̅0] and [0001] for (0001)- and (1̅0̅0̅0)-notched micro-beam specimens, respectively. The load–displacement curves (Fig. 3a) exhibit no sign of apparent plastic deformation before failure occurs. In addition, a fairly flat fracture surface observed in the SEM image for the (0001)-notched micro-beam specimen indicates the occurrence of brittle cleavage fracture (Fig. 3b). The values of fracture toughness can then be evaluated simply from the maximum load and geometrical parameters of the chevron-notched specimens based on the model proposed by Deng et al., details of which were described in our previous papers. The fracture toughness values of Ti₅Si₃ are evaluated to be 0.45 and 0.73 MPa m¹/² respectively with the notch plane parallel to (0001) and (1̅0̅0̅0), both of which are considerably lower than those for D₈₀–Mo₅SiB₂ (2.43 MPa m¹/²; notch plane // (1̅0̅0)) and D₈₀–Nb₅Si₃ (1.79 MPa m¹/²; notch plane // (0001)) evaluated by the same method.

Discussion
The CRSS values (τCRSS) for the three slip systems identified in the present study are calculated with yield stresses defined as the stress at which the first strain burst occurs and the corresponding Schmid factors as plotted in Fig. 4a as a function of specimen size (the edge length L). The CRSS values for all slip systems are extremely high exceeding 2.6 GPa regardless of specimen size and exhibit the so-called “smaller is stronger” trends, following an inverse power-law relationship, τCRSS ∝ L⁻ⁿ (n: the power-law exponent), as commonly observed in micropillar compression of single crystals of many metallic materials, ceramics, semiconductors and intermetallic compounds. The power-law exponents for the three slip systems of [1̅0̅0̅0][0001], [2̅T T2] < 2̅T T3 > and [1̅0̅0̅1] < 2̅T T3 > are estimated to be 0.36, 0.30 and 0.11, respectively. The power-law exponents in hard

Figure 2. Scanning electron microscopy images of Ti₅Si₃ single-crystalline micropillar specimens with (a) [2̅0̅0̅5], (b) [4̅3̅T0] and (c) [0001] orientations after compression.

![Figure 2](https://example.com/fig2.png)

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and brittle materials such as 6H-SiC (n = 0.10–0.21), Mo5SiB2 (n = 0.16–0.22) are generally much lower than those reported for face-centered cubic (FCC) metals (0.5–1.0) and body-centered cubic (BCC) metals (0.2–0.5)38,39,42. However, the power-law exponents observed for the two slip systems (n = 0.36 and 0.30 for [1T00][0001] and [2T2] < 2ITT > slip) in Ti3Si is not as low as those for 6H-SiC and Mo5SiB2 but are comparatively higher than those observed for some particular slip systems (0.43 and 0.38 for (001) < 010 > and (110) < 1T0 > slip) in α-Nb5Si325. 

In micropillar compression experiments of single crystals of FCC and BCC metals, the inverse power-low curve of size-dependent CRSS is known to coincide with the bulk CRSS when the specimen size is in the range of 20–30 μm43. If we assume that the same criteria is applicable to Ti3Si, the bulk CRSS values for [1T00][0001], [2T2] < 2ITT > and [1T01] < 2ITT > are estimated as the first approximation respectively to be 1.43 ± 0.10, 1.90 ± 0.10 and, 2.32 ± 0.06 GPa, from the extrapolation of the inverse power-low curves.

Figure 3b compares the estimated bulk CRSS values at room temperature for the three slip systems, [1T00][0001], [2T2] < 2ITT >, and [1T01] < 2ITT > with those obtained in high-temperature compression experiments of bulk single crystals. In bulk compression experiments, we have identified three operative deformation modes, [1T00][0001] prism slip, [2T2] < 2ITT > pyramidal slip and [2T8] < 8TTT > twinning and have confirmed that the CRSS for these three deformation modes strongly depend on temperature. For a constant strain-rate experiments, a linear correlation is generally observed between ln τCRSS and (1/T) relations for the deformation modes operative at high temperatures. One possible explanation for the different deformation mechanisms operating at high and low temperatures is that the room-temperature bulk CRSSs estimated in the micropillar form is determined by the nucleation of new dislocations from specimen surfaces of micropillars, as we discussed previously for 6H-SiC31 and α-Nb5Si325 because virtually no grown-in dislocation is expected to exist in micropillar specimens of Ti3Si single crystals when judged from the brittleness and high CRSSs. However, more detailed studies including dislocation analysis by TEM are definitely needed to prove this.

The expected orientation-dependent operative slip systems are calculated based on the estimated bulk CRSS values for the three slip systems identified in micropillar compression tests and are shown in the stereographic projection of Fig. 4c. As expected from the relatively low CRSS values for [1T00][0001] and [2T2] < 2ITT > slip, the orientation range for these two slip systems to operate are very wide, while that for [1T01] < 2ITT > slip is quite limited to near [1T00]. A sufficient number of independent slip systems is achieved for general deformation of polycrystalline aggregates by the combination of [1T00][0001] and [2T2] < 2ITT > slip, both of which have a relatively smaller CRSS values (1.43 ± 0.10 and 1.90 ± 0.10 MPa) when compared to [1T01] < 2ITT > slip (2.32 ± 0.06 GPa).

For the [0001] orientation, [2T2] < 2ITT > pyramidal slip is found to operate in micropillar specimens at room temperature, while [2T8] < 8TTT > twinning is operative in bulk single crystals at high temperatures above 1300°C19. The absence of [2T8] < 8TTT > twinning at room temperature is easily understood from the fact that [2T8] < 8TTT > twinning requires very complicated atomic shuffling to restore the crystal structure during deformation twinning, so that it can happen only at sufficiently high temperatures at which sufficient atomic diffusion...
Figure 4. (a) Specimen size dependence of CRSS for $\{1\bar{1}00\}<0001>$, $\{2\bar{1}12\}<2\bar{1}13>$, and $\{1\bar{1}01\}<2\bar{1}13>$ slip. Vertical error bars correspond to the possible 2% stress variation caused by errors in measurements of specimen dimensions. (b) Comparison of estimated bulk CRSS values at room temperature with those at high temperatures reported in Ref. 19. (c) Orientation dependence of the operative slip systems under uniaxial compression calculated with the estimated bulk CRSS values for the three identified slip systems.
occurs\(^{19}\). That must be the reason why the [0001]-oriented micropillar specimens need to find out alternative slip system, \{2112\} \(<2113>\) pyramidal slip at room temperature.

For the \{4310\} orientation, on the other hand, while \{2112\} \(<2113>\) pyramidal slip is observed in bulk single crystals at high temperatures, this slip was replaced by \{1101\} \(<2113>\) pyramidal slip at room temperature for micropillar specimens. In order to see the relative ease in the operation for the slip along \{2113\} \((a+c \text{ slip})\) on two different pyramidal plane (\{2112\} and \{1101\}), generalized stacking fault energy (GSFE) for \(<2113>\) slip on \{2112\} and \{1101\} were calculated by first-principles DFT calculations. Figure 5 plots the GSFE curves and their derivatives (the gradient of the GSFE curve corresponding to the ideal shear strength, \(\tau_{th}\)) for the two pyramidal slip systems of \{2112\}[2113] and \{1101\}[2113]. For \{2112\} and \{1101\} slip planes, there exist four and three different possible slip planes to be considered, respectively (see, the insets of Fig. 5a). Among the possible slip planes, only the calculation results for the slip planes exhibiting the lowest ideal shear strength and unstable stacking fault energies are indicated in Fig. 5. The selected slip planes are indicated with red and blue lines respectively, while the others are indicated with dashed black lines in the insets of Fig. 5a. To be noted in Fig. 5 is that the GSFE curves and their derivative curves are asymmetric on both slip planes with respect to the [2113] slip direction and that the displacement along the positive direction gives rise to compression strain for the [0001] orientation while that along the negative direction does so for the \{4310\} orientation. Table 1 summarizes the results of the GSFE calculations. For both orientations, the ideal shear strength and unstable stacking fault energy are both lower

![Figure 5.](image-url)
on (2T2) than on (1T01), suggesting the preference for the (2T2) slip plane for both orientations. This situation does not change even the ideal shear strength is normalized to the corresponding Schmid factors for each loading orientation (the normalized ideal shear strength, $\tau_{th}/m$ in Table 1). The results of the GSFE calculations is consistent with what is observed in micropillar compression for the [0001] * orientation (the preference of the (2T2) slip plane) but not with what is observed for the [43T0] orientation. For the [43T0] orientation, however, the normalized ideal shear strengths ($\tau_{th}/m$ on (2T2) and (1T01) slip planes differ from each other only by 3% (34.1 and 35.1 GPa, respectively). We believe that the difference in $\tau_{th}/m$ is too small to draw a final conclusion for the slip plane preference for this orientation. One more thing to be noted in Table 1 is that the magnitude correlation for the ideal shear strength ($\tau_{th}$) for the (2T2) and (1T01) slip planes (22.5 and 16.8 GPa) is opposite to that for the bulk CRSS values deduced from micropillar experiment (1.90 and 2.32 GPa). We believe that this discrepancy comes from the fact that no dislocation dissociations, especially those accompanied by non-collinear Burgers vectors, are taken into account in the above discussion based on the GSFE calculations. In view of the large magnitude (about 0.906 nm) of the Burgers vectors of 1/3 < 200 >, the 1/3 < 2T2 > dislocation is expected to dissociate into partial dislocations with shorter Burgers vectors. In order to deduce the possible dissociation scheme for 1/3 < 2T2 > dislocation, construction of full gamma surfaces on (2T2) and (1T01) planes must be made, because experimental identification of the dissociation scheme is extremely difficult with the occurrence of instantaneous shear failure in micropillar specimens. The construction of gamma surface is currently in progress in the authors' research group to draw the final conclusion on the slip plane preference.

**Methods**

A single crystal rod of Ti$_5$Si$_3$ was grown from an ingot with a nominal composition of Ti − 37.5 at.% Si by directional solidification with an optical floating-zone furnace at a growth rate of 6 mm/h. Characteristics in the room-temperature deformation behavior of Ti$_5$Si$_3$ was investigated by micropillar compression tests as a function of loading-axis orientation and specimen size and micro-cantilever bend tests with chevron-notch micro-beam specimens to evaluate the fracture toughness, as the details of the experimental procedures are described in our previous paper. The room-temperature deformation behavior of Ti$_5$Si$_3$ was investigated by micropillar compression tests as a function of loading-axis orientation and specimen size and micro-cantilever bend tests with chevron-notched micro-beam specimens to evaluate the fracture toughness, as the details of the experimental procedures are described in our previous paper.

Generalized stacking fault energy (GSFE) for 1/3 < 2T2 > slip on (2T2) and (1T01) were calculated by first-principles DFT calculations using the Vienna ab-initio simulation package (VASP) code. The generalized gradient approximation of Perdew–Burke–Ernzerhof (GGA-PBE) was used to treat the exchange–correlation functional. We used supercells for the GSFE calculations for (2T2) and (1T01) planes respectively containing 192 and 96 atoms, in each of which the Ti:Si atomic ratio was fixed at 5:3 so as to maintain the stoichiometry of Ti$_5$Si$_3$. The in-plane units of the supercells were defined by unit vectors along [2T2] and [01T0] for the (2T2) supercell and by those along [2T3] and [12T3] for the (1T01) supercell. A vacuum layer with a total thickness of 1.5 nm (when measured along the slip plane normal) is included in each supercell. Monkhorst–Pack $k$-point meshes of $10 \times 8 \times 2$ and $8 \times 8 \times 2$ were used for the (2T2) and (1T01) supercells, respectively. An energy cutoff of 500 eV was used throughout the calculations. All atoms were relaxed only along the direction perpendicular to the glide plane so as to minimize the energy of the supercell with a given in-plane displacement.

**Conclusions**

The room-temperature deformation behavior of Ti$_5$Si$_3$ was investigated by micropillar compression tests as a function of loading-axis orientation and specimen size and micro-cantilever bend tests with chevron-notched specimens. The results obtained are summarized as follows.

1. Three slip systems, [1T00][0001], [2T2] < 2T3 > and [1T01] < 2T3 >, are operative at room temperature. The CRSS values for all three slip systems increase with the decrease in specimen size in the range of 2.7–6 GPa, following an inverse power-law relationship with the power-law exponents of 0.36, 0.30 and 0.11 for [1T00][0001], [2T2] < 2T3 > and [1T01] < 2T3 > slip, respectively. The bulk CRSS values for these three slip systems are estimated to be 1.43 ± 0.10, 1.90 ± 0.10 and, 2.32 ± 0.06 GPa by extrapolating the inverse power-law curves to the specimen size range of 20–30 μm.

2. The fracture toughness values are evaluated by micro-cantilever bend tests to be 0.45 and 0.73 MPa m$^{1/2}$ with chevron-notch planes parallel to (0001) and (1T00), respectively. The fracture toughness values are considerably lower than those for D$_8$-Mo$_5$Si$_3$ (2.43 MPa m$^{1/2}$; notch plane // (100)) and D$_8$-Nb$_5$Si$_3$ (1.79 MPa m$^{1/2}$; notch plane // (001)) evaluated by the same method, indicating much more significant inherent brittleness of Ti$_5$Si$_3$ compared to the other transition-metal silicides of the TM$_5$Si$_3$ type.
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Author contributions
H.I. and K.K. conceived the study. T.F. and T.M. prepared the specimens and performed the micropillar compression and SEM experiments. K.K. performed the first-principles DFT calculations and prepared all figures. K.K. and H.I. wrote the main manuscript. All authors reviewed the manuscript.

Competing interests
The authors declare no competing interests.

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