Abstract—In this paper, we characterize the average Hamming weight distribution of subsequences of maximum-length sequences ($m$-sequences). In particular, we consider all possible $m$-sequences of dimension $k$ and find the average number of subsequences of length $n$ that have a Hamming weight $t$. To do so, we first characterize the Hamming weight distribution of the average dual code and use the MacWilliams identity to find the average Hamming weight distribution of subsequences of $m$-sequences. We further find a lower bound on the minimum Hamming weight of the subsequences and show that there always exists a primitive polynomial to generate an $m$-sequence to meet this bound. We show via simulations that when a proper primitive polynomial is chosen, subsequences of the $m$-sequence can form a good rateless code that can meet the normal-approximation benchmark.

Index Terms—Rateless codes, Gilbert-Varshamov bound, linear-feedback shift-register, finite field.

I. INTRODUCTION

A maximum-length linear sequence ($m$-sequence) is a binary sequence which satisfies the linear recurrence that is characterized by a binary primitive polynomial of degree $k$, $p(x) = \sum_{i=0}^{k} p_i x^i$ for $p_0 = p_k = 1$, which is referred to as the connection polynomial. In particular, an $m$-sequence, $c_n$, can be generated by $\sum_{i=0}^{k} p_i c_{n-i} = 0$, where all operations are over $\text{GF}(2)$. This recurrence relation generates an infinite sequence which is uniquely determined by $p(x)$. Some of the properties of these sequences have been studied for short subsequences, whose length is less than $k$. In particular, all bits in a short subsequence (of length less than $k$) are independent and the sum of these bits follows a (nearly) binomial distribution. For subsequences of lengths greater than $k$, the bits are related by the recurrence relation. Therefore, the distribution of the sum of successive bits of subsequences usually deviates from the binomial distribution [1].

In this paper, we consider all non-zero subsequences of length $n$ of an $m$-sequence generated with $p(x)$. These subsequences and the all-zero vector of length $n$ form a binary linear code of dimension $k$. We refer to this code as the Primitive Rateless (PR) code. When $n = 2^k - 1$, this code is equivalent to the dual of the binary Hamming code of codeword length $2^k - 1$ and message length $2^k - k - 1$ with generator polynomial $p(x)$. Furthermore, for an arbitrary length $n \geq k$, the code is the dual of the shortened Hamming code $(n,n-k)$, where all codewords corresponding to polynomials of degree greater than or equal to $n$ are deleted from the original Hamming code [1]. In other words, the dual of a PR code of dimension $k$ and length $n$ is a polynomial code with generator polynomial $p(x)$. Note that $m$-sequences with connection polynomials $p(x)$ and $x^k p(1/x)$ are backward version of each other and hence have identical subsequence statistics [2]. Therefore, their equivalent PR codes have the same Hamming weight distributions.

Authors in [1] tried to characterize the deviation of the Hamming weight distribution of the $n$-tuples of an $m$-sequence from the truncated binomial distribution. An expression for the distribution was provided in [3, Eq. 38], which however depends on the primitive polynomial used to generate the $m$-sequence and is computationally complex for large $k$. Authors in [4] provided a bound for the Hamming weight of subsequences of an $m$-sequence. Finding the Hamming weight distribution of subsequences still remains a challenge and most existing approaches are computationally complex.

In this paper, we will analyze the average Hamming weight distribution of subsequence of length $n$ of all $\phi(2^k - 1)/k$ $m$-sequences of dimension $k$, where $\phi(.)$ is the Euler’s totient function [4]. For this we first characterize the average Hamming weight of all dual codes (which are polynomial codes). We then use the MacWilliams Identity [5] to characterize the average Hamming weight of PR codes. We also derive a lower bound on the minimum Hamming weight of PR codes and show that for any $k$ and $n \geq 2k$ there exists a PR code that can meet the bound, which is identical to the Gilbert-Varshamov bound [6] for large $k$ and $n$. Moreover, when a proper primitive polynomial is chosen, the Hamming weight distribution of the PR code closely follows the truncated binomial distribution. Simulation results show that PR codes with properly chosen primitive polynomials can achieve the normal-approximation bound [7]. We show that under maximum-likelihood (ML) decoding, PR codes outperform standard Reed-Muller (RM) codes [8] for $k = 3$ to $k = 11$ at block lengths $n = 20$ and $n = 32$. We also show that using an ordered statistics decoder [9], PR codes outperform Polar and low-density parity check (LDPC) codes with practical decoders [8, 10], recently standardized for the fifth generation (5G) mobile standard.

The rest of the paper is organized as follows. In Section II, we characterize the Hamming weight distribution of the dual of the PR code and then find the average Hamming weight distribution of PR codes. We also characterize the minimum Hamming weight of PR codes. In Section III, we study the performance of PR codes at short and moderate block lengths. Finally, Section IV concludes the paper.
II. HAMMING WEIGHT DISTRIBUTION OF PR CODES

Authors in [1] showed that subsequences of an m-sequence generated by using p(x) as the connection polynomial, form a linear block code (we refer to it as the PR code) whose dual code is a polynomial code with generator polynomial \( x^k p(1/x) \). The Hamming weight distribution of subsequences of m-sequences was also studied and some results were provided [1–3], which are however complex to be effectively used. Accordingly, only a little is known about the properties of so-called PR codes.

A. Average Hamming weight distribution

Our analysis here is based on the average Hamming weight distribution of subsequences of all m-sequences of dimension k. Let \( \mathcal{P}_k = \{ p(x) : p(x) \text{ is primitive and } \deg(p) = k \} \) denote the set of all binary primitive polynomials of degree k, where \( \deg(p) \) denotes the maximum degree of \( p(x) \). It is clear that \( |\mathcal{P}_k| = \phi \left( \frac{2^k - 1}{k} \right) \), where \( \phi(.) \) is the Euler’s totient function.

Let \( A(i)(x) \) denote the Hamming weight distribution of a PR code of degree n bits generated using \( p_i(x) \in \mathcal{P}_k \) as the connection polynomial. It is given by

\[
A(i)(x) = \sum_{j=1}^{n} A(j) x^j,
\]

where \( A(j) \) denote the number of subsequences or codewords of Hamming weight j. The average Hamming weight distribution of all PR codes of dimension k is then defined as

\[
\bar{A}(x) = \frac{1}{|\mathcal{P}_k|} \sum_{i=1}^{|\mathcal{P}_k|} A(i)(x).
\]

We denote the Hamming weight distribution of the dual code with generator polynomial \( p_j(x) \in \mathcal{P}_k \), by \( B(j)(x) \). Accordingly the average Hamming weight of all dual codes is given by:

\[
\bar{B}(x) = \frac{1}{|\mathcal{P}_k|} \sum_{j=1}^{|\mathcal{P}_k|} B(j)(x).
\]

It is important to note that m-sequences with connection polynomials \( p(x) \) and \( x^k p(1/x) \) are backward version of each other and hence have identical successive statistics [2].

Using the MacWilliams identity, we can write:

\[
A(j)(t) = \frac{1}{2^{n-k}} \sum_{t=0}^{n} B(j) K_j(t),
\]

where

\[
K_j(t) = \sum_{\ell=0}^{j} (-1)^\ell \binom{t}{\ell} \binom{n-t}{j-\ell}
\]

is the Krawtchouk polynomial [11], for t an integer, 0 ≤ t ≤ n. Using (4), we can easily show the following equivalence between the average Hamming weights:

\[
\bar{A}_j = \frac{1}{2^{n-k}} \sum_{t=0}^{n} \bar{B}_j K_j(t),
\]

where \( \bar{A}_j = \frac{1}{|\mathcal{P}_k|} \sum_{i=1}^{|\mathcal{P}_k|} A(j)^{(i)} \) and \( \bar{B}_j = \frac{1}{|\mathcal{P}_k|} \sum_{i=1}^{|\mathcal{P}_k|} B(j)^{(i)} \).

It is important to note that the minimum Hamming weight of any polynomial code with a primitive generator polynomial is larger than or equal to 3 [12], when the codeword length is sufficiently large, i.e., \( B(j)^{(i)} = B(j)^{(1)} = 0 \) for any \( p_i(x) \in \mathcal{P}_k \). Accordingly, one can show that a PR code of dimension k and block length n has the average Hamming weight equals to \( \frac{c_1}{2} \) and the variance of the Hamming weights is \( \sigma^2 = \frac{c_2}{4} \).

In what follows, we first characterize \( \bar{B}(x) \) and then using (6) we will approximate \( \bar{A}(x) \). As stated before, \( B(j)^{(i)}(x) \) is the Hamming weight distribution of a polynomial code with generator polynomial \( p_i(x) \in \mathcal{P}_k \). That is each codeword of such a polynomial code is a product of \( p_i(x) \). Authors in [13–15] characterized the number of t-nomial (having constant term 1) multiples with degree up to \( 2^k - 2 \) of a primitive polynomial of degree k, denoted by \( N_{k,t} \). which is given by

\[
N_{k,t} = \binom{2^k - 2}{t - 2} - \binom{2^k - t + 1}{t - 1} \binom{2^k - t}{t - 1},
\]

where \( N_{k,2} = N_{k,1} = 0 \). It was further shown in [13] that the distribution of t-nomial multiples of degree less than or equal to \( 2^k - 2 \) is very close to the distribution of all distinct \( (t-1) \) tuples from 1 to \( 2^k - 2 \). Under this assumption, referred to as Random Estimate in [13], the probability that a randomly chosen t-nomial of degree at most \( 2^k - 2 \) is a multiple of a primitive polynomial is given by

\[
N_{k,t} \left( \frac{c-1}{t-2} \right) \left( \frac{2^k - 2}{t-1} \right) \frac{\binom{2^k - 2}{t-2}}{\binom{2^k - 2}{t-1}}.
\]

The expected number of t-nomial multiples having degree equals to c, for \( c \geq \max(k,t-1) \) is then given by

\[
N_{k,t} \left( \frac{c-1}{t-2} \right) \left( \frac{2^k - 2}{t-1} \right) \frac{\binom{2^k - 2}{t-2}}{\binom{2^k - 2}{t-1}}.
\]

This follows from the fact that there are exactly \( \frac{c-1}{t-2} \) many t-nomials of degree c. It is also clear that when a t-nomial \( r(x) = 1 + x^{t+1} + \cdots + x^{t+c} \) is a multiple of \( p(x) \), then \( x^t r(x) \) for \( 0 \leq i \leq n - 1 - c \) is also a multiple of \( p(x) \) and has weight t. There are \( n-c \) of such multiples, where \( \max(k,t-1) \leq c \leq n - 1 \). Therefore, the expected number of weight t polynomials of maximum degree \( n-1 \), which are multiples of a primitive polynomial, is given by

\[
\tilde{B}_t \approx \frac{N_{k,t}}{\binom{2^k - 2}{t-1}} \sum_{c=\max(k,t-1)}^{n-1} \binom{c-1}{t-2} \binom{c-1}{t-2} (n-c)
\]

\[
(\approx) \quad \frac{1}{2^{k-t}} \sum_{c=\max(k,t-1)}^{n-1} \binom{c-1}{t-2} \binom{c-1}{t-2} (n-c), \quad 3 \leq t \leq n.
\]

where step (a) is due to \( (t-1)N_{k,t} \approx \binom{2^k - 2}{t-2} \) [13].

Fig. 1 shows the average weight distribution of the dual of PR codes for different values of k and n. As can be seen [8], provides a tight approximation of the average Hamming weight of dual codes. There is a small gap when k is small, which is mainly due to the fact that the number of primitive
The average weight distribution of dual codes, $B_k$, solid and dashed curves show the exact and approximate weight distributions (8), respectively.

**TABLE I**

| $k$ | $n$ | $\bar{A}_k$ | $\bar{B}_k$ |
|-----|-----|-------------|-------------|
| 5  | 12 | 0.05  | 0.06  |
| 9  | 20 | 0.02  | 0.03  |
| 10 | 25 | 0.04  | 0.05  |
| 15 | 31 | 0.01  | 0.02  |

Polynomials is also small when $k$ is small, therefore there are only a few dual codes and Random Estimate assumption is not accurate [13].

To better characterize the approximation in (8), we use the Kullback-Leibler Divergence (KLD) to measure the distance between the exact weight distribution of the dual code and the approximation (8), which are listed in Table I. As can be seen, when $k$ and $n$ go large, the approximation becomes more accurate.

We now use the MacWilliams Identity (6) to find the KLD of the average Hamming weight and approximation (8), which are listed in Table I. As can be seen, when $k$ and $n$ go large, the approximation becomes more accurate.

**Lemma 1.** Let $C_1$ and $C_2$ denote two PR codes that are generated with primitive polynomials $p_1(x)$ and $p_2(x)$, respectively, where $p_1(x) \neq p_2(x)$ and $n \geq 2k$. Then, these codes do not have any non-zero codeword in common, i.e., $C_1 \cap C_2 = \{0\}$.

**Proof:** The lemma follows from the fact that all sequences generated by a LFSR with primitive connection polynomial $p(x)$ has linear complexity $k$. Moreover, the minimal-polynomial of the subsequence of length $n \geq 2k$ is unique [16]. Therefore, a non-zero codeword of length $n \geq 2k$ cannot be generated by two different LFSRs with different primitive connection polynomials. This completes the proof.

We use Lemma 1 to derive a bound for the average minimum Hamming weight of PR code ensembles. Let us define $d_{\text{min}}$ as follows

$$d_{\text{min}} = \max_d \left\{ \frac{d}{d} \sum_{j=3}^d \bar{A}_j \leq 1 \right\}. \quad (10)$$

We therefore have

$$\sum_{j=3}^d \bar{A}_j \leq |P_k|, \quad (11)$$

which means that the total number of length-$n$ subsequences with Hamming weight less than or equal to $d_{\text{min}}$ of all $m$-sequences of dimension $k$ is less than $|P_k|$. According to Lemma 1, the sets of non-zero subsequences of length $n$ of any two $m$-sequences of dimension $k$ are disjoint, when $n \geq 2k$; therefore, there should be at least one primitive polynomial that generates an $m$-sequence whose subsequences of length $n$ has a minimum Hamming weight larger than or equal to $d_{\text{min}}$. We observed that when $k$ and $n$ are sufficiently large, this bounds is identical to the minimum Hamming weight obtained from the Gilbert-Varshamov bound [6] for given $k$ and $n$.

The minimal polynomial of sequence $c$ is the characteristic polynomial of the shortest LFSR capable of producing $c$. The length of such a LFSR is referred to as the linear complexity of $c$ [16].
TABLE III

WEIGHT DISTRIBUTIONS OF PR codes AND RM codes [8]. The primitive polynomial for PR code with k = 4 and k = 11 are

\[ p(x) = 1 + x + x^4 \text{ AND } p(x) = 1 + x^2 + x^3 + x^5 + x^6 + x^{11}, \]

respectively.

| N   | k  | Code | Weight Enumerator Polynomial |
|-----|----|------|-------------------------------|
| 20  | 4  | RM [8] | \[3x^6 + 8x^{10} + 3x^{12} + x^{20} \] |
|     |    | PR   | \[2x^5 + 4x^{10} + 6x^{11} + 3x^{12} \] |
| 32  | 4  | RM [8] | \[14x^7 + x + 8x^{17} + 4x^{18} \] |
|     |    | PR   | \[3x^5 + 6x^{16} + 8x^{17} + 4x^{18} \] |
| 20  | 11 | RM [8] | \[10x^5 + 170x^6 + 485x^8 + 716x^{10} + 485x^{12} + 170x^{14} + 10x^{16} + x^{20} \] |
|     |    | PR   | \[8x^4 + 29x^6 + 73x^9 + 171x^7 + 249x^8 + 306x^9 + 362x^{10} + 326x^{11} + 254x^{12} + 161x^{13} + 61x^{14} + 31x^{15} + 16x^{16} \] |
| 32  | 11 | RM [8] | \[64x^{10} + 240x^{14} + 448x^{18} + 542x^{22} + 448x^{26} + 240x^{30} + 64x^{34} + x^{38} \] |
|     |    | PR   | \[2x^3 + 40x^{10} + 54x^{12} + 154x^{13} + 136x^{15} + 250x^{18} + 256x^{19} + 289x^{20} + 258x^{21} + 172x^{22} + 214x^{23} + 98x^{20} + 84x^{21} + 18x^{22} + 20x^{23} + 2x^{24} \] |

![Fig. 3. The minimum Hamming weight of PR codes versus the block length.](image)

Fig. 3. The minimum Hamming weight of PR codes versus the block length. The primitive polynomial for \( k = 4, k = 8, \) and \( k = 16, \) are respectively, \( p(x) = 1 + x + x^4, p(x) = 1 + x^2 + x^3 + x^5 + x^6, \) and \( p(x) = 1 + x + x^4 + x^5 + x^6 + x^8 + x^9 + x^{11} + x^{13} + x^{16}, \) if

Fig. [3] shows the minimum Hamming weight of PR codes at different block lengths. As can be seen, the bound (10) provides a relatively accurate approximation of the minimum Hamming weight. It is important to note that for each \( k, \) we have used the same primitive polynomial for all block lengths \( n. \) One can an optimal primitive polynomials at each block length to achieve a higher minimum Hamming weight.

### III. RESULTS AND DISCUSSION

We first consider very short message lengths and compare PR codes with the standard Reed-Muller (RM) codes [8]. These codes have been standardized for the 5G enhanced mobile broadband (eMBB) control channel for message lengths \( 3 \leq k \leq 11. \) Table III shows the Hamming weight distribution of RM and PR codes at block lengths 20 and 32, when \( k = 4 \) and \( k = 11. \) As can be seen, the PR code with a properly chosen primitive polynomial have a lower number low-weight codewords, mainly due to its binomial-like weight distribution.

![Fig. 4. WER performance of RM [8] and PR codes at block length \( n = 20 \) with ML decoding.](image)

![Fig. 5. WER performance of RM [8] and PR codes at block length \( n = 32 \) with ML decoding.](image)

Fig. 4 and Fig. 5 show the word error rate (WER) of PR and RM codes at different lengths and rates under the maximum-likelihood (ML) decoding. As can be seen PR codes almost achieve the same WER performance as RM codes. In these figures, we also show the union bound (UB) which is derived using the average Hamming weight distribution [9] as follows:

\[
\epsilon_{ub} = \sum_{i=d_{min}}^{n} \frac{i}{n} \bar{A}_i Q \left( \sqrt{i\gamma} \right) , \tag{12}
\]

where \( \gamma \) is the signal to noise ratio (SNR), \( Q(\cdot) \) is the standard \( Q \)-function, \( d_{min} \) and \( \bar{A}_i \) are obtained from (10) and (9), respectively. As can be seen in Fig. 4 and Fig. 5 the UB (12) is tight when the SNR is sufficiently large. The bound is important for very short block lengths, where the normal approximation [7] is loose.

We also consider longer message lengths and compare the WER performance of PR codes with some well-known codes.
recently standardized for 5G eMBB. In particular, we consider the 5G Polar code for the uplink control channel with 11 bits CRC and successive cancellation list (SCL) decoding with list size 32. We also consider 5G low density parity check (LDPC) codes under belief propagation decoding and maximum number of iterations is set to 200. Results are shown in Fig. 6 for the codeword length $n = 128$ and message lengths $k = 32$ and $k = 64$. For PR codes, we have used an order-5 ordered statistics decoding (OSD) algorithm, where sufficient and necessary conditions [9] were applied to significantly reduce the decoding complexity. The primitive polynomials for the PR codes with $k = 32$ and $k = 64$ are $p(x) = 1 + x + x^2 + x^7 + x^8 + x^9 + x^{11} + x^{12} + x^{14} + x^{16} + x^{20} + x^{22} + x^{23} + x^{26} + x^{30} + x^{32}$ and $p(x) = 1 + x + x^2 + x^3 + x^4 + x^6 + x^7 + x^8 + x^9 + x^{10} + x^{11} + x^{12} + x^{14} + x^{15} + x^{18} + x^{20} + x^{22} + x^{29} + x^{30} + x^{32} + x^{33} + x^{35} + x^{38} + x^{41} + x^{43} + x^{45} + x^{46} + x^{48} + x^{50} + x^{52} + x^{53} + x^{54} + x^{56} + x^{57} + x^{58} + x^{62} + x^{63} + x^{64}$, respectively. As can be seen in this figure, PR codes achieve a significantly lower WER than 5G Polar and LDPC codes. The PR code also closely approaches the UB at sufficiently lower WER than 5G Polar and LDPC codes.

IV. CONCLUSION

In this paper, we characterized the average Hamming weight distribution of subsequences of $m$-sequences. We first found the average Hamming weight distribution of the dual code, which is a polynomial code with the generator polynomial equivalent to characteristic polynomial of the $m$-sequence. We used the MacWilliams identity to find the average Hamming weight distribution of subsequences of $m$-sequences. We further found a lower bound on the minimum Hamming weight and showed that there always exists a primitive polynomial to generate an $m$-sequence to meet this bound. We showed via simulations that when a proper primitive polynomial is chosen, subsequences of an $m$-sequence form a good rateless code that can meet the bound on the minimum Hamming weight.

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