INTRODUCTION

Earth's rotation and its unequal spatial absorption of solar energy (along with air buoyancy) are the main sources of large-scale wind patterns. This attractive renewable energy can be harvested using wind turbines. There are two main types of wind turbines, depending on the orientation of the main axis of rotation: horizontal axis wind turbines (HAWTs) and vertical axis wind turbine (VAWTs). Although both wind turbines offer competitive efficiencies, \(^1\) \(^2\) HAWTs are considered to be the preferred choice by the wind turbine industry. The VAWTs are normally available in three different types: self-starting Savonius, H-Darrieus (having straight or helical blades), and Darrieus troposkien (resembling an egg beater and invented by G. Darrieus in 1931\(^3\)).

The Darrieus troposkien turbine has been studied extensively since the 1960s. The Sandia National Laboratories performed careful and comprehensive performance measurements of 2-, 5-, 17-, and 34-m-diameter Darrieus troposkien VAWTs.\(^3\)\(^-\)\(^6\) The largest 34-m turbine had an aspect ratio of 1.25 (H/D = height / diameter), producing 625-kW power at a wind speed of 12.5 m/s.\(^6\) Troposkien VAWTs reached commercialization in the 1980s (eg, by FlowWind Corporation, which installed more than 500 turbines in California). The
installed Darrieus troposkien VAWTs were affected by cyclic fatigue weaknesses at the joints between the extruded aluminum blades and gradually vanished from the mainstream market. The first megawatt-class prototype of a troposkien turbine (i.e., the 4-MW) named Éole was installed in early 1987 at Cap-Chat from a joint project between Hydro Quebec and NRC (National Research Council Canada). With 64 m diameter and 96 m height, the Éole turbine was considered to be the largest VAWT ever built at that time. After six years of operation, the turbine was shut down due to damage to its bearings.

Recently, Möllerström et al. summarized all VAWT projects producing more than 100 kW of power per turbine. Relevant to wind farm configurations, near-wake regions of VAWTs have also been studied meticulously by Ferreira and Persico et al. using particle image velocimetry (PIV) and/or hot-wire anemometry. Numerically, troposkien VAWTs can also be studied using computational fluid dynamics (CFD) techniques or using blade element theory in addition to vortex filament methods.

Despite their drawbacks, there has been a recent revival of Darrieus troposkien VAWTs, due to advantages that make them attractive for offshore applications with water depths greater than 40 meters (using floating platforms instead of anchoring the turbine at the bottom of the sea, e.g., “The DeepWind Project” in Europe). Advantages included the following: (a) They are able to harvest the wind from any direction and attain a very high speed without a yaw mechanism guiding the blade; (b) the generator and the gearbox of the turbine can be installed at sea level (or ground level for onshore applications) which leads to easier and safer maintenance; (c) since the center of gravity of the turbine is close to the sea level, the system becomes more inherently stable when it is afloat; (d) there are reduced blade structural requirements since the blade is curved and attached to the tower at two points. This leads to reduced machine complexity and better scalability to very large turbine sizes; (e) the troposkien design typically has a constant airfoil shape along the length of its blades which makes it easier to fabricate; and (f) the troposkien turbine’s extracted power per unit ground area is approximately ten times bigger than HAWTs.

Despite advantages, VAWTs suffer from an intrinsic deficiency rooted in the complex aerodynamic behavior of the turbine due to blade-wake interactions (BWIs), sometimes also referred to as blade-vortex interactions (BVIs), although the latter is normally used when blade tip vortices are involved. An advancing blade will interact with the wake (or vortices) produced by another blade (or past revolutions of blades) during normal turbine operation. The combination of all wake vortices will change the angle of attack of the flow over the advancing blade, thus changing (normally reducing) the lift and torque (or power) generated by the blade. The detrimental BWI effects depend on the tip speed ratio (TSR). TSR is the ratio between the maximum blade rotational velocity and the wind velocity. It has been conjectured by the authors that the performance of VAWTs could be improved if the interactions between the advancing blade with the wake of other rotating blades could be reduced. In light of this idea, a modification to the conventional troposkien shape VAWT was considered. While keeping the same swept area (total frontal projection area of the revolving turbine) for comparison purposes, the advancing blade is shortened (thus reducing costs) and shifted vertically with respect to the second blade. This new configuration has been named “Shifted Troposkien Shape-Vertical Axis Wind Turbine (STS-VAWT)”. To validate this concept, a series of wind tunnel experiments were conducted for three types of configurations, as shown in Figure 1. Notice that the wake of one of the blades will only partially affect the other blade for the 50% STS-VAWT configuration. For the 100% STS-VAWT configuration, the wake of one blade will only affect itself (at difference revolutions) but not the other blade directly.

**FIGURE 1** Vertical axis wind turbine (VAWT) configurations: (A) conventional VAWT (troposkien shape), (B) novel 50% STS-VAWT (50% shifted troposkien shape-VAWT), (C) novel 100% STS-VAWT
## WIND TUNNEL EXPERIMENTS

### 2.1 Troposkien geometry

All turbines have been designed with the same height, \( H \), and the same maximum radius of rotation, \( b \), as shown in Figure 2. The heights of the troposkien blades, \( h \), for the 50\% STS-VAWT and 100\% STS-VAWT, were made shorter than the conventional VAWT (\( h = H \)). The troposkien shape can be derived from the balance of forces on a uniform skipping rope that is rotating vertically at a constant velocity. Blackwell and Reis\textsuperscript{21} provided the following solution (adopting notation from Figure 2):

\[
\frac{z}{a} = 1 - \frac{F(\phi, k)}{F(\frac{\pi}{2}, k)},
\]

where \( z \) is the vertical position, \( a \) is the half height of the blade, and \( F \) is the complete elliptical integral of the first kind with a parameter \( k \), defined as

\[
F(\phi, k) = \int_0^\phi \frac{d\theta}{\sqrt{1-k^2 \sin^2 \theta}}.
\]

The parameter \( \phi \) is given by

\[
\phi = \sin^{-1} \left[ \frac{1}{\beta} \left( \frac{z}{a} \right) \right],
\]

where \( r \) is the blade radius at a particular height (\( b \) or \( R \) is the maximum radius at the equator of the blade) and \( \beta \) is the blade diameter-to-height ratio. \( \beta \) is correlated to the parameter \( k \) by

\[
\beta = \frac{2k}{(1-k^2)F(\frac{\pi}{2}, k)}.
\]

The integrals can be obtained numerically through iterative determination of \( k \) as function of \( \beta \). Finally, the troposkien blade length, \( S \), and the swept area, \( A_s \), can be determined by

\[
\frac{S}{2a} = \frac{2}{1-k^2} \frac{E(\frac{\pi}{2}, k)}{F(\frac{\pi}{2}, k)} - 1,
\]

where \( E(\frac{\pi}{2}, k) \) is the complete elliptical integral of the second kind with parameter \( k \), defined as

\[
E(\frac{\pi}{2}, k) = \int_0^\phi \sqrt{1-k^2 \sin^2 \theta} d\theta.
\]

Since any point on a perfect troposkien shape has its own local curvature, fabricating the blades requires high-quality machining which is often not cost-effective. However, conformity with the troposkien shape is particularly important to ensure minimal bending stress along the blade. Hence, wind turbine companies normally design and manufacture straight/arc approximations of the troposkien shape configurations. These approximations consist of a middle circular segment that is connected to the shaft supports by two straight segments. This strategy for designing the blades is also followed here as shown in Figure 3. As demonstrated by the figure, the straight/arc approximation closely matches the troposkien shape for all the \( \beta \) values.

To ensure that any difference in performance of a particular turbine was caused by its specific configuration, all turbines have the same number of blades (2), maximum radius of rotation, overall height, swept area, chord length, and airfoil cross section (NACA0015). The overall dimensions of the conventional VAWT, 50\% STS-VAWT, and 100\% STS-VAWT are listed in Table 1. Following these design configurations, all three turbines were fabricated using a rapid prototyping machine (ABS plastic) and connected to a vertical round shaft (6061 aluminum tube with 1.9 cm external diameter).

### 2.2 EXPERIMENTAL SETUP

The wind tunnel experiments were performed in the atmospheric boundary layer (ABL) wind tunnel located in the department. The ABL wind tunnel (cross section: \( W \times H = 1.68 \text{ m} \times 1.12 \text{ m} \)) is a low-speed open-circuit wind...
tunnel with a contraction nozzle ratio of 7.1:1 (see Figure 4). The maximum wind tunnel speed is 62 km/h with a flow turbulence intensity of 0.42%. The wind velocity can be adjusted by controlling the fan frequency. The VAWT was placed vertically at the center of the test section (close to the contraction nozzle) and was mounted on two small foundations with bearings installed on the floor and at the ceiling of the test section. A timing pulley was connected to the output shaft of the turbine which was passed through the lower foundation and the wind tunnel floor to the output shaft of the permanent magnet DC motor (Dyton 3XE19, 17 W, 1800 rpm), which can be used as generator, as shown in Figure 5.

The generator was located 30 cm from the center of the wind tunnel and connected to two separate digital multimeters (Mastech MS8268 Digital AC/DC) to measure the voltage and current produced by the turbine. A rheostat (NBR series, 50 Ω, 25 W) was used as a load control to adjust the current and maintain a constant rotational turbine speed. The rotational speeds of the turbines were measured using a Digital Laser Tachometer (DT-2234C+). In the measurements of a particular turbine, a higher wind tunnel speed is set and the resistance of the rheostat is increased until the rotation of the turbine reaches a determined value (for example, 700 rpm, obtained from the digital tachometer). The power generated by the turbine (from current and voltage) can be obtained. The procedure is repeated for different wind tunnel speeds and the power coefficient as function of the tip speed ratio can be obtained for a fixed rpm. Each set of experiments has been repeated three times.

### Wind tunnel blockage corrections

The correction of power coefficients and tip speed ratios due to the wind tunnel blockage ratio (BR, the ratio between the turbine swept area, $A_s$, and the wind tunnel test-sectional area, $A_t$) has been discussed in the literature for vertical axis wind turbines, but due to the rotational nature of the system, it seems that standard correction consensus for VAWTs has not been fully formed yet when compared to HAWTs. Although simplistic, the current investigators opted to modify and use a traditional but conservative method (a higher...
The blockage ratio (BR) for the current turbines was 19.1%. In wind turbine experiments, the results are normally corrected if the BR is higher than 10%. The "blocked" wind tunnel values of power coefficient, $C_p$, tip speed ratio, $\lambda$, and velocity, $U$, must be correlated with the unconfined corrected values of $C_{pc}$, $\lambda_c$, and $U_c$ as follows:

$$C_{pc} = C_p \left( \frac{U}{U_c} \right)^3$$  \hspace{1cm} (8)

and

$$\lambda_c = \lambda \left( \frac{U}{U_c} \right).$$  \hspace{1cm} (9)

The power coefficient is defined by

$$C_p = \frac{P}{\frac{1}{2} \rho V_{\infty}^3 A_S},$$  \hspace{1cm} (10)

where $P$ is the power produced by the turbine, $\rho$ is the air density, $A_s$ is the turbine swept area, and $U$ is the wind speed. The tip speed ratio is defined as

$$\lambda = \frac{\omega R}{U},$$  \hspace{1cm} (11)

where $\omega$ is the rotational speed and $R$ is the maximum radius of rotation for the turbine. Pope and Harper proposed the following blockage correction equation for unusually shaped objects

$$\epsilon_t = \frac{1}{4} \frac{A_p}{A_t},$$  \hspace{1cm} (12)

where the frontal area, $A_p$, includes the turbine swept area and the rig frontal area (turbine supports and exposed experimental components), and $A_t$ is the wind tunnel cross section area ($1.68 \text{ m} \times 1.12 \text{ m}$). The corrected velocity becomes

$$U_c = U (1 + \epsilon_t).$$  \hspace{1cm} (13)

When taking into account the conservation of mass before and around the blockage

$$\rho U A_t = \rho U_{side} (A_t - A_f).$$  \hspace{1cm} (14)
The correlation could be rewritten as

$$\epsilon_f = \frac{1}{4} \frac{A_f}{A_t} = \frac{1}{4} \left( 1 - \frac{U}{U_{side}} \right). \quad (15)$$

If the area ratio is applied, a single correction is obtained ($\epsilon_f = 0.0489$ for the current investigation), and if velocity measurements are available, the velocity ratio can also be used to estimate the correction factor, $\epsilon_f$. In this study, the velocities were measured at two different locations using a hot-wire anemometer technique, as shown in Figure 6. The first location was 5 turbine diameters (5D) upwind of the rotor (ie before the turbine, giving velocity $U$), while the second location was between the turbine and the tunnel wall during turbine rotation (ie where the greatest blockage effects occurred), leading to the velocity $U_{side}$, which is taken as the average of three points at the faster side of each turbine (see again Figure 6). The power coefficients and tip speed ratios of the turbine models were corrected by modifying the area ratio approach used by Pope and Harper$^{30}$ through inclusion of velocity ratio information. This modified correction should better represent the blockage effects due to turbine rotation and operating conditions (tip speed ratio). It should be noted that the velocities are the average readings of three measurements.

A hot-wire probe (9055P0111, Dantec Dynamics) connected to an anemometer unit (AN-1005, A.A. Lab Systems Ltd.) was used during the streamwise velocity measurements. The anemometer was operated on CTA (constant temperature anemometry) mode. The probe was calibrated in the wind tunnel against mean velocity measurements using a Pitot-static tube, which was connected to a pressure transducer (ie PX653, Omega) and a DAQ acquisition (ie NI-9205, National Instruments Corporation). A PC equipped with the data acquisition card was also employed to receive the output signal from the hot-wire anemometer.
output voltage of the probe was recorded with a sampling rate (SR) of 2.8 kHz and for 30 seconds at each measurement location. The velocity, \( U \), was varied between 3.1 and 9.7 m/s in the current investigation, with root-mean-square streamwise fluctuations of approximately 0.01 and 0.04 m/s, respectively.

3 | UNCERTAINTY ANALYSIS

The total uncertainty of the power coefficient, \( U_{CP} \), is given by a combination of bias, \( B_{CP} \), and random errors, \( S_{CP} \), as follows

\[
U_{CP} = \pm \left( (B_{CP})^2 + (tS_{CP})^2 \right)^{1/2}
\]  

where \( t = 2.353 \) (measurement repeats, \( n = 3 \)) represents the “student’s t-distribution” at 95% confidence level. The random error for the power coefficient can be calculated from the experiments. The power coefficient bias error can be expressed as

\[
B_{CP} = \left[ \left( \frac{\partial C_p}{\partial P} \right)^2 + \left( \frac{\partial C_p}{\partial \rho} \right)^2 + \left( \frac{\partial C_p}{\partial A_s} \right)^2 + \left( \frac{\partial C_p}{\partial U} \right)^2 \right]^{1/2}
\]

where

\[
\frac{\partial C_p}{\partial P} = \frac{1}{1/2 \rho U^3 A_s}
\]

\[
\frac{\partial C_p}{\partial \rho} = \frac{P}{1/2 U^3 A_s} \left( -\frac{1}{\rho^2} \right)
\]

\[
\frac{\partial C_p}{\partial A_s} = \frac{P}{1/2 \rho U^3} \left( -\frac{1}{A_s^2} \right)
\]

\[
\frac{\partial C_p}{\partial U} = \frac{P}{1/2 \rho A_s} \left( -\frac{3}{U^4} \right)
\]

The power, \( P \), was measured by a multimeter (voltage multiplied by current). Due to blockage effect corrections, losses in the pulleys and belt, the bias error was estimated as 5% or \( B_P/P \approx 0.05 \). Assuming ideal gas law, the bias error of density was estimated as, \( B_\rho/\rho = 0.02 \). The swept area was calculated using a CAD software. Models were manufactured using ABS plastic (rapid prototyping). Assuming that the structure is relatively rigid during experiments, the swept area bias is taken as, \( B_{A_s}/A_s = 0.0036 \). The velocity, \( U \), was measured using hot-wire anemometry. The bias error is estimated as, \( B_U/U = 0.01 \). The bias of the tip speed ratio is given by

\[
B_{\lambda} = \left[ \left( \frac{\partial \lambda}{\partial R} B_R \right)^2 + \left( \frac{\partial \lambda}{\partial f} B_f \right)^2 + \left( \frac{\partial \lambda}{\partial U} B_U \right)^2 \right]^{1/2}
\]

where

\[
\frac{\partial \lambda}{\partial R} = \frac{2\pi f}{U}
\]

\[
\frac{\partial \lambda}{\partial f} = \frac{2\pi R}{U}
\]

\[
\frac{\partial \lambda}{\partial U} = 2\pi f R \left( -\frac{1}{U^2} \right)
\]

The following values were assumed: \( B_R/R = 0.0026(R\text{radius bias error}) \), \( B_f/f = 0.01 \) (rotation frequency bias error), and \( B_U/U = 0.01 \) (free stream velocity bias error). The random error for \( \lambda \) was assumed to be similar to the bias error. Using calculations for the corrected peak power coefficient of the 50% STS-VAWT at 600 rpm, we have an uncertainty of approximately ± 5.4% for the power coefficient and ± 3.7% for the tip speed ratio at 95% confidence level interval.

4 | RESULTS AND DISCUSSION

Wind tunnel experiments for each turbine were performed. Power generation was measured for different wind tunnel velocities while maintaining the rotation of the turbine constant. Two sets of rotations were used in the present measurements (600 and 700 rpm).

4.1 | Measurements at 600 rpm

Figure 7 shows the uncorrected power coefficient experimental results as function of the tip speed ratio, \( \lambda \), for the conventional VAWT (solid black line), 50% STS-VAWT (light gray line), and 100% STS-VAWT (gray line) at 600 rpm. Notice that the curves show the average and the standard deviation of three measurements taken for each prototype. For the conventional VAWT, the power coefficient rises from a low tip speed ratio (\( C_p = 0.14 \) at \( \lambda = 3.25 \)) to a peak around \( C_p = 0.26 \) (at \( \lambda = 3.75 \)). This sloped (increasing \( C_p \) ) region is dominated by dynamic stall (concerning the flow around the blades). After the peak is reached, secondary effects including BWIs (blade wake interactions) start dominating the flow phenomena around the blades, thus reducing the performance of the turbine. In this region, the power coefficient decreases when the tip speed ratio is increased. The region around the peak of the power coefficient curve is normally referred to as the transition region. The 50% STS-VAWT power coefficient
curve presented similar trend (three regions) as expected, resembling an inverted parabola with a peak (vertex), this time higher ($C_p = 0.28$ at $\lambda = 4.2$) than the conventional VAWT, indicating better overall performance for mid to high TSR, while maintaining similar performance for lower TSR. This overall performance (more power is generated for the same swept area despite shorter troposkien blades) can be attributed to a reduction in BWI unwanted effects. Despite further reducing BWIs, the 100% STS-VAWT showed a lower peak power ($C_p = 0.24$ at $\lambda = 4.0$) than the conventional VAWT as well as the 50% STS-VAWT, although outperforming the conventional VAWT for higher tip speed ratios ($\lambda > 4.1$). This under achievement can be attributed to the size of the 100% STS-VAWT blades. Although reducing BWIs, shorter blades (lower solidity) also generated less power. Performance improvement seems to be a balance between BWI reduction, size (height and/or length) of the blades, and dynamic stall at low TSRs. It must be pointed out here that full design optimization was beyond the scope of the current investigation.

Additional hot-wire velocity measurements were performed to determine the wind tunnel blockage effect correction for each turbine at different tip speed ratios. Figures 8 shows the experimental results of the velocity ratio $U/U_{\text{side}}$ (between the wind tunnel velocity and the velocity of the flow around the wind turbine) as function of wind tunnel free stream velocity, $U$, for the conventional VAWT, 50% STS-VAWT, and 100% STS-VAWT at 600 rpm. The velocity ratio increases when the wind tunnel velocity is increased for all turbines. At a particular wind tunnel velocity, the velocity around the turbine, $U_{\text{side}}$, was the fastest around the 50% STS-VAWT, closely followed by the 100% STS-VAWT, while the conventional VAWT had the slowest $U_{\text{side}}$ or highest velocity ratio $U/U_{\text{side}}$, regardless of rotation. Despite having the same blockage when swept area is considered, all three turbines seem to produce different levels of blockage due to their characteristic geometric features at the rotations studied in the present work. Having the information depicted in Figure 8, the modified Pope and Harper, Equations and , can be used to correct both the power coefficients, Equation , and the tip speed ratios, Equation . The corrected $C_p$ values will be lower than the uncorrected raw data and the $C_p$ curves will be shifted to the left due to the correction of the TSRs.

Figure 9 shows the corrected power coefficient results as a function of the tip speed ratio. Corrections reduced the overall values of $C_p$ for all turbines while shifting all curves to the left (smaller corrected tip speed ratios), as expected. At 600 rpm, the 100% STS-VAWT has similar (at lower and higher tip speed ratios) or lower (around the peak power) efficiencies when compared against the conventional VAWT. At the same rotation, the 50% STS-VAWT has a higher peak power (which also occurred at a higher TSR) when compared against the conventional VAWT, while having similar performance at low tip speed ratios ($\lambda < 3.0$). Although the values of peak power should be looked at with some caution due to the uncertainty analysis, the peak power for the 50% STS-VAWT ($C_p = 0.23\$) increased approximately by 10% when compared against the conventional VAWT ($C_p = 0.21$), despite smaller blade height (or smaller costs).

### 4.2 Measurements at 700 rpm

Figure 10 shows the uncorrected power coefficient results for the 700 rpm measurements. At this rotation, the 100% STS-VAWT outperformed the conventional VAWT for the range of tip speed ratio tested. Excluding small tip speed ratios ($\lambda < 3.8$), the 50% STS-VAWT outperformed both the 100% STS-VAWT and the conventional VAWT at 700 rpm. An increase in rotation from 600 to 700 rpm, resulted in a slightly increase in peak power from $C_p = 0.28$ at $\lambda = 4.2$ (see again Ref. 7 to $C_p = 0.286$ at $\lambda = 4.4$ (current figure)).

Figure 11 shows the experimental results of the velocity ratio $U/U_{\text{side}}$ as function of wind tunnel free stream velocity, $U$, for the conventional VAWT, 50% STS-VAWT, and 100% STS-VAWT at 700 rpm. Velocity ratios for 700 rpm are lower than the values at 600 rpm (shown in Figure 8) for the same wind tunnel velocity.
Figure 12 shows the corrected power coefficient results as a function of the tip speed ratio at 700 rpm. The overall performance is more clearly delineated, with the 50% STS-VAWT outperforming both the 100% STS-VAWT and the conventional VAWT when the power coefficient is concerned. It has also been discussed that the comparisons should have used the same solidity for all turbines. Solidity is defined as $\sigma = (NcS)/A_s$, where $N$ is the numbers of blades, $c$ is the chord length, $S$ is the blade length, and $A_s$ is the swept area. Solidity could be increased for the 50% STS-VAWT and the 100% STS-VAWT (by increasing the chord length of their blades) to match the solidity of the conventional VAWT, but it would only give further advantage to the novel turbines, which would produce even more power for the same swept area. The solidity was not kept constant in the present work, thus likely giving the lower bound of performance improvements for both the 50% and 100% STS-VAWTs.

5 | CONCLUSIONS

Wind tunnel measurements at two rotational speeds (600 and 700 rpm) were performed to investigate the power coefficients of three troposkien vertical axis wind turbines: (a) conventional VAWT, (b) novel 50% STS-VAWT, and (c) novel 100% STS-VAWT. The measured power coefficients were corrected using a modified Pope and Harper blockage effect equation by taking into account velocity measurements before (free stream velocity) and around the turbines. Regardless of correction, 50% STS-VAWT showed a higher peak power performance when compared against both the conventional VAWT and the 100% STS-VAWT for 600 as well as 700 rpm. 50% STS-VAWT blades seem to reduce BWI effects, thus increasing overall performance, while reducing overall costs (materials and fabrication) of the turbine. Despite reducing BWIs, 100% STS-VAWT underperformed due to smaller blade size (or smaller solidity). Additional experimental and numerical studies must be performed in order the fully understand the novel concepts proposed here. Future measurements should be performed at different wind tunnels with larger cross sections (thus reducing the blockage ratio) to verify the blockage effect correction presented here. Scalability studies as well as stress analysis (due to the non-symmetrical unbalance of the novel turbines) should also be investigated.
CONFLICT OF INTEREST

None declared.

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