GRAPH CONVOLUTIONAL VALUE DECOMPOSITION IN MULTI-AGENT REINFORCEMENT LEARNING

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ABSTRACT

We propose a novel framework for value function factorization in multi-agent deep reinforcement learning using graph neural networks (GNNs). In particular, we consider the team of agents as the set of nodes of a complete directed graph, whose edge weights are governed by an attention mechanism. Building upon this underlying graph, we introduce a mixing GNN module, which is responsible for two tasks: i) factorizing the team state-action value function into individual per-agent observation-action value functions, and ii) explicit credit assignment to each agent in terms of fractions of the global team reward. Our approach, which we call GraphMIX, follows the centralized training and decentralized execution paradigm, enabling the agents to make their decisions independently once training is completed. Experimental results on the StarCraft II multi-agent challenge (SMAC) environment demonstrate the superiority of our proposed approach as compared to the state-of-the-art.

1 Introduction

Multi-agent systems are ubiquitous, appearing in many application areas such as autonomous driving [1, 2], drone swarms [3], communication systems [4], multi-robot search and rescue [5], and smart grid [6]. In many of the aforementioned domains, instructive feedback is not available, as there are no ground-truth solutions or decisions available. These phenomena have given rise to a plethora of literature on multi-agent reinforcement learning (MARL) algorithms, with a special focus on deep-learning-driven methods over the past few years.

More recently, algorithms with centralized training and decentralized execution have gained interest, due to their applicability in practical real-world scenarios. In [7, 8], policy gradient algorithms are considered, where the actors, which are responsible for taking the actions for each agent, are decentralized, while the critic is assumed to be centralized, trained in conjunction with the actors in an end-to-end manner over the course of training. The authors in [9, 10] take a different value-based approach to train the agents. Specifically, they have a value factorization module (linear in the case of VDN [9] and a state-based non-linear multi-layer perceptron (MLP) in the case of QMIX [10]), which is responsible for implicit credit assignment; i.e., how to decompose the global state-action value function to individual observation-action value functions for different agents.

One of the main drawbacks of the aforementioned algorithms is that they do not explicitly capture the underlying structure of the team of agents in the environment, which can be modeled using a graph topology. There have been some attempts to connect MARL with graph representation learning methods in the literature. As an example, [11] proposes a MARL algorithm based on the graph convolutional network (GCN) architecture [12]. However, it needs both centralized training and centralized execution (or at the very least, the agents need to communicate with each other multiple times during the inference phase), and therefore, it does not allow for decentralized decision making by the agents.

In this paper, we propose an algorithm for centralized training of MARL agents based on graph neural networks (GNNs) that enables them to be deployed in a distributed manner during execution. In particular, we consider a directed weighted graph, where each node represents an agent, and there is a directed edge between any pair of nodes. We use an attention mechanism to dynamically adjust the weights of the edges in this graph based on the agents’ observations. Leveraging such a graph structure, we propose to use a mixing GNN that produces a global state-action value function
We consider a multi-agent environment, where a team of agents collaborate with each other to solve a cooperative task. In particular, we consider a partially-observable Markov decision process (POMDP), represented by a tuple $< M, S, O, Z, A, T, R, \gamma >$. At each time step $t$, the environment is in global state $s(t) \in S$, with $S$ denoting the global state space, and each agent $m \in \{1, \ldots, M\}$ receives as observation $o_m(t) = O(s_t, m) \in Z$, where $Z$ denotes the per-agent observation space, and $O : S \times \{1, \ldots, M\} \rightarrow Z$ denotes the per-agent observation function.

Upon receiving its observation, each agent $m \in \{1, \ldots, M\}$ takes an action $a_m(t) \in A$, with $A$ denoting the per-agent action space. These actions will cause the environment to transition to the next state $s(t+1) \sim T \{s' | s(t), \{a_m(t)\}_{m=1}^M\}$.

We also use the mixing GNN as a backbone to derive an effective fraction of global team reward for each of the agents. We use these reward fractions to minimize per-agent local losses, alongside the global loss using the global state-action value function. The mixing GNN, the attention mechanism, and the agent parameters are all trained centrally in an end-to-end fashion, and after training is completed, each agent can make its decisions in a decentralized manner. We evaluate our proposed algorithm, which we refer to as GraphMIX, on the StarCraft II multi-agent challenge (SMAC) environment [19] and show that it is able to outperform the state-of-the-art QMIX algorithm [10] across different maps.

2 Related Work

A growing focus in the recent deep reinforcement learning literature is on multi-agent cooperation [7, 8, 9, 10, 14]. Methods exist on a spectrum from a single unified or centralized agent to independent and decentralized agents. At one extreme end of this spectrum, a multi-agent reinforcement learning (MARL) problem might be reduced to a standard deep reinforcement learning problem, with a single centralized network returning a joint action vector for all agents. At this extreme, issues that arise are general motivations for the development of MARL. Joint multivariate action space. These actions will cause the environment to transition to the next state

At this extreme, issues that arise are general motivations for the development of MARL. Joint multivariate action space. These actions will cause the environment to transition to the next state.

Most related to our work is a branch of value-based MARL methods, which decompose a joint state-action value function to allow individual agents to be trained from a single global reward. VDN [9] initially approximated a joint state-action value function over all agents’ actions as the sum of individual observation-action value functions from each agent. QMIX [10] observes that the joint state-action value function can more generally be represented by a monotonic function of individual observation-action value functions. Additionally, QMIX allows the joint state-action value function to be informed by global information, which is potentially unavailable to the individual observation-action value functions. The specifics of this factorization continue to be analyzed in works such as [33]. In this work, we show how to re-visit the analysis of the joint state-action value function to reflect graphical structure from the multi-agent setting.

3 System Model

We consider a multi-agent environment, where a team of $M$ agents collaborate with each other to solve a cooperative task. In particular, we consider a partially-observable Markov decision process (POMDP), represented by a tuple $< M, S, O, Z, A, T, R, \gamma >$. At each time step $t$, the environment is in global state $s(t) \in S$, with $S$ denoting the global state space, and each agent $m \in \{1, \ldots, M\}$ receives as observation $o_m(t) = O(s_t, m) \in Z$, where $Z$ denotes the per-agent observation space, and $O : S \times \{1, \ldots, M\} \rightarrow Z$ denotes the per-agent observation function.

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with $T : S \times S \times A^M \rightarrow [0, 1]$ denoting the state transition function. This transition is accompanied with a global reward $r_g(t) = R_g(s(t), \{a_m(t)\}_{m=1}^M)$, where $R_g : S \times A^M \rightarrow \mathbb{R}$ denotes the global reward function.

In this setting, the goal of the agents at each time step $t$ is to take a joint set of actions $\{a_m(t)\}_{m=1}^M$ so as to maximize the discounted cumulative global reward, defined as $\sum_{t'=t}^{\infty} \gamma^{t'-t} r_g(t')$. In order to make such decisions, each agent $m \in \{1, \ldots, M\}$ is equipped with a policy $\pi_m : A \times (\mathcal{Z} \times A)^* \rightarrow [0, 1]$ that determines its action given its observation-action history, where $(\mathcal{Z} \times A)^*$ denotes the set of all possible observation-action histories. In particular, the action of agent $m$ at time step $t$ is distributed as $a_m(t) \sim \pi_m(a | \tau_m(t))$, where $\tau_m(t) = \{a_m(t')\}_{t'=1}^t, \{a_m(t')\}_{t'=1}^{t-1}$ denotes the set of current and past local observations, as well as past actions of agent $m$ at time step $t$. Letting $\pi$ denote the set of policies of all $M$ agents, its induced joint state-action value function is defined as

$$Q^\pi(s(t), \{a_m(t)\}_{m=1}^M) = \mathbb{E} \left[ \sum_{t'=t}^{\infty} \gamma^{t'-t} r_g(t') \right],$$

where the expectation is taken with respect to the set of future states and actions.

### 4 GraphMIX: Graph-Based Value Function Factorization

We assume that each agent $m \in \{1, \ldots, M\}$ is equipped with a deep recurrent Q-network (DRQN), coupled with a policy $\pi_m$. At each time step $t$, the agent chooses its action in a decentralized manner based on its current and past local observations, as well as past actions of agent $m$. This will lead to its local observation-action value function $Q_m^\pi(t, a_m(t))$. Letting $\pi$ denote the set of policies of all $M$ agents, its induced joint state-action value function is defined as

$$Q^\pi(s(t), \{a_m(t)\}_{m=1}^M) = \mathbb{E} \left[ \sum_{t'=t}^{\infty} \gamma^{t'-t} r_g(t') \right],$$

where the expectation is taken with respect to the set of future states and actions.
At the output of the $L$th layer, each node $v \in \mathcal{V}$ will end up with a feature vector $h^L_v \in \mathbb{R}^{F_L}$. We then define the global state-action value function as

$$Q^\pi (s, \{a_m\}_{m=1}^M) = w^T_+ \psi_{\text{readout}}(\{h^L_v\}_{v \in \mathcal{V}}),$$

where $\psi_{\text{readout}} : \mathbb{R}^{F_L} \times \cdots \times \mathbb{R}^{F_L} \rightarrow \mathbb{R}^{F_L}$ is a graph readout operation (such as average/max pooling), and $w_+ \in \mathbb{R}^{F_L}$ is a non-negative parameter vector that maps the graph embedding $\psi_{\text{readout}}(\{h^L_v\}_{v \in \mathcal{V}})$ into the global state-action value function. Note that the monotonicity of $w^T_{\text{combine}+} (\cdot)$ in (5) and the non-negativity of $w_+$ in (6) guarantee that the mixing monotonicity condition in (4) is satisfied.

As an additional component, we introduce another weight vector $w_{\text{local}} \in \mathbb{R}^{F_L}$ that maps the output feature vector of each node to an effective reward fraction for the corresponding agent, defined as

$$\alpha_v = \text{Softmax}_{\mathcal{V}} \left( w^T_{\text{local}} h^L_v \right) = \frac{\exp \left( w^T_{\text{local}} h^L_v \right)}{\sum_{u \in \mathcal{V}} \exp \left( w^T_{\text{local}} h^L_u \right)}.$$

We interpret these values as the effective fraction of the global reward that each agent receives at each time step. The significance of $w_{\text{local}}$ lies in the fact that its parameters do not need to be non-negative, which can improve the expressive power of the mixing GNN beyond monotonic functions.

Our proposed architecture, which we refer to as GraphMIX, is trained in an end-to-end fashion by minimizing the aggregate loss

$$\mathcal{L} = \mathcal{L}_{\text{global}} + \sum_{v \in \mathcal{V}} \mathcal{L}_{v, \text{local}},$$

with the global loss defined as

$$\mathcal{L}_{\text{global}} = \sum_{i \in \mathcal{B}} \left[ \left( r_g + \gamma \max_{a'_1, \ldots, a'_M} Q^\pi (s', \{a'_m\}_{m=1}^M) - Q^\pi (s, \{a_m\}_{m=1}^M) \right)^2 \right],$$

where $\mathcal{B}$ denotes a batch of transitions that are sampled from the experience buffer at each round of training, and $s'$ and $\{a'_m\}_{m=1}^M$ respectively correspond to the environment state and agents’ actions in the following time step. Moreover, for each node $v \in \mathcal{V}$, the local loss is defined as

$$\mathcal{L}_{v, \text{local}} = \sum_{i \in \mathcal{B}} \left[ \left( \alpha_v r_g + \gamma \max_{a'_v} Q^\pi (\tau'_{v, a'_v}) - Q^\pi (\tau_{v, a_v}) \right)^2 \right],$$

where $\tau'_v$ denotes the observation-action history of the agent corresponding to node $v$ at the following time step. Note how minimizing the local losses in (10) creates a shortcut for backpropagating gradients to the individual agent networks, compared to the alternative path through the mixing GNN by minimizing the global loss in (9). Moreover, such local updates of the agent networks maintain the consistency of the global policy and the decentralized agent policies.

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4.1 Attention-Based Edge Weights

To define the edge weights of the graph $G$, i.e., $\{w_{uv}\}_{(u,v)\in E}$, we use an attention mechanism similar to the one proposed in [33]. In particular, the agent observations at each time step are first encoded using a shared encoder mechanism $\phi: Z \to \mathbb{R}^{F'}$ to an $F'$-dimensional embedding. Then, for each pair of nodes $u, v \in V$, the weight of the edge from node $v$ to node $u$ is defined as

$$w_{uv} = \text{Softmax} \left( \phi(o_u)^T W \phi(o_v) \right) = \frac{\exp \left( \phi(o_u)^T W \phi(o_v) \right)}{\sum_{u' \in V} \exp \left( \phi(o_{u'})^T W \phi(o_v) \right)},$$

(11)

where $W \in \mathbb{R}^{F' \times F'}$ denotes the attention weight matrix, whose parameters are trained in an end-to-end fashion alongside the agent and mixing GNN parameters. The softmax operation in (11) ensures that the weights of the outgoing edges from each node to the other nodes sum up to unity.

4.2 Isolating Dead Agents

Over the course of an episode, the agents in the team might get killed, for example by carelessly approaching the enemies in the opponent team. Because of that, we isolate the dead agents from the other nodes in graph $G$, and we remove them from calculations of the attention weights and the GNN operations entirely. Specifically, the readout operation in (3), the effective reward fraction calculation in (7), and the softmax operation in (11) for the edge weights are constrained to the agents that are still alive in the corresponding time steps.

5 Experimental Results

We evaluate GraphMIX on the StarCraft II multi-agent challenge (SMAC) environment [13], which provides a set of benchmark distributed multi-agent reinforcement learning methods. We specifically consider a set of four maps, namely 3s_vs_5z, bane_vs_bane, corridor and 6h_vs_8z. These maps have been classified as either hard or super-hard in [10]. In each map, the allied team of agents are controlled by the MARL policy, while the enemy units are controlled by the game’s built-in AI. Table 1 provides an overview of these maps in terms of team sizes, unit types, and the micromanagement skills to be learned by the allied agents. Moreover, Figure 2 shows a screenshot of each of the maps.

| Map name       | Allied agents | Enemy units | Micro-trick    |
|----------------|---------------|-------------|----------------|
| 3s_vs_5z       | 3 Stalkers    | 5 Zealots   | Kiting         |
| bane_vs_bane   | 20 Zerglings and 4 Banelings | 20 Zerglings and 4 Banelings | Positioning |
| corridor       | 6 Zealots     | 24 Zerglings| Wall off       |
| 6h_vs_8z       | 6 Hydralisks  | 8 Zealots   | Focus fire     |

We use a gated recurrent unit (GRU) for each of the decentralized agents with 64 hidden units. Each agent uses an $\epsilon$-greedy policy, where the probability of random actions decays from 100% to 5% over 50,000 time steps. The agents are trained over consecutive episodes, where at the end of each episode, a batch of 32 episodes is randomly sampled from an experience buffer of size 5000 episodes for a round of training. The learning rate is fixed at $5 \times 10^{-4}$. To stabilize training, double Q-learning is used [35, 36], where the target agent Q-network and mixing GNN parameters are replaced with those of their main counterparts every 200 episodes. Training is conducted for $2 \times 10^6$ time steps. Moreover, every 20,000 time steps, training is paused and the agents are evaluated on a set of 32 test episodes.

The observation encoder for the attention mechanism in (11) is implemented using a single-layer mapping followed by a non-linearity; i.e., $\phi(o_u) = \sigma(B o_u)$, $\forall u \in V$, where $\sigma(\cdot)$ denotes a non-linearity and $B \in \mathbb{R}^{F' \times \text{dim}(Z)}$ denotes the encoding matrix, with $\text{dim}(Z)$ representing the dimensionality of the observation space. We use the exponential linear unit (ELU) as the non-linearity and set $F' = 128$. Moreover, for the mixing GNN, we use a graph convolutional network (GCN) [12] as also used in [34]. Since the attention mechanism described in Section 4.1 leads to an effective outgoing degree of one for each graph node, the combining operation in (5) can be simplified as

$$h_v^l = \sigma \left( A_v^l \sum_{u \in V} w_{uv} h_u^{l-1} \right), \forall u \in V, \forall l \in \{1, \ldots, L\},$$

(12)

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where $\sigma(\cdot)$ denotes a non-linearity, and $A'_l \in \mathbb{R}_{+}^{F_l \times F_l - 1}$ is a trainable non-negative weight matrix that is trained in an end-to-end manner. We also use ELU as the non-linearity in (12), and use a single hidden layer for the GCN with 32 nodes per hidden layer and average pooling readout at the output. To evaluate the performance of GraphMIX against QMIX [10], we use a similar MLP-based architecture for the mixing network in QMIX; i.e., an MLP with a single hidden layer, 32 neurons per hidden layer, and ELU non-linearity.

Furthermore, similar to QMIX, we use a hypernetwork architecture to determine the parameters of the mixing GNN via the global environment state during training. In particular, each of the mixing GNN parameters ($A'_l$, $w_{+}$, and $w_{\text{local}}$) is the reshaped output of a neural network with a single hidden layer of size 64 and ELU non-linearity, which takes the global state as the input. The non-negativity constraint for the parameters of the mixing GNN in GraphMIX and the mixing MLP in QMIX is satisfied by taking the absolute value of the parameters after each gradient descent iteration.

Figure 3 shows the average training returns and median test win rates achieved by GraphMIX and compares it with those of QMIX over the four aforementioned SMAC maps. The solid curves on the left (resp., right) column show the mean (resp., median) across five training runs with different random seeds, with the shaded areas representing the standard deviation (resp., 25-75 percentiles). As the figure demonstrates, GraphMIX is able to considerably outperform QMIX in terms of the sample complexity (in 3s_vs_5z), reduced variance (in bane_vs_bane), and the final average training return (corridor and 6h_vs_8z) and test win rate (corridor).

Moreover, Figure 4 illustrates the trade-off between the average received attention of each agent from its team-mates, its effective fraction of the global team reward, and its median distance to the enemy units. As the figure demonstrates, GraphMIX learns an adaptive underlying attention and credit assignment mechanism that dynamically adjusts itself to different environment conditions. Of particular interest is the trend happening in the bane_vs_bane scenario. It appears that, as opposed to other maps, the vast majority of agents in this map receive little attention from their team-mates. This implies that in this map, little coordination might be required between the agents to defeat the enemy units, which is consistent with the observation in [10] that independent Q-learning [37] easily succeeds in this map. This sheds light on how our proposed approach can automatically adapt the coordination between agents in different settings.

6 Conclusion

We introduced GraphMIX, a novel approach to decompose joint state-action value functions in multi-agent deep reinforcement learning using a graph neural network formulation under the centralized training and decentralized...
Figure 3: Comparison of the mean training return and median test win rate achieved by GraphMIX and QMIX [10] on the four SMAC maps under study.

execution paradigm. Our proposed method allows for a more explicit representation of agent-to-agent relationships by leveraging an attention-based graph topology that models the dynamics between the agents as the episodes progress. To build upon the factorized state-action value function’s implicit assignment of global reward, we define additional per-agent loss terms derived from the output node embeddings of the graph neural network, which explicitly divide the global reward to individual agents. Experiments in the StarCraft Multi-Agent Challenge (SMAC) environment show improved performance over the state of the art in multiple settings.

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Figure 4: Trade-off between the average attention received by an agent from its (alive) team-mates, the effective fraction of global reward allocated to that agent, and the agent’s median distance to the enemy units. Each point represents an alive agent in a single time step within a batch of transitions sampled from the experience buffer while training GraphMIX.

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