Substantiation of Epitaxial Growth of Diamond Crystals on the Surface of Carbide Fe₃AlC₀.₆₆ Phase Nanoparticles

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Abstract
Samples of Fe–Al–C alloys of varying composition were synthesized under high pressures and temperatures. From X-ray analysis data, only K-phase with usual for it average parameter of elemental lattice cell, \( a = 0.376 \) nm, carbide Fe₃C and cubic diamond reflexes were present before and after cooling to the temperature of liquid nitrogen.

Calculations were made of the parameters of unit cells, the enthalpy of formation of the Fe₃AlC, Fe₃.₁₂₅Al₀.₈₂₅C₀.₅, Fe₃.₅Al₀.₅C₀.₅, Fe₃Al₀.₆₆C₀.₆₆, and Fe₃AlC₀.₆₆ unit cells and crystallographic planes were identified on which epitaxial growth of the diamond phase was possible, using density functional theory as implemented in the WIEN2k package.

The possibility of epitaxial growth of diamond crystals on Fe₃AlC₀.₆₆ (K-phase) nanoparticles was, therefore, demonstrated. The [200] plane was established to be the most suitable plane for diamond growth, having four carbon atoms arranged in a square and a central vacancy which can be occupied by carbon during thermal-and-pressure treatment. Distances between carbon atoms in the [200] plane differ by only 5% from distances between the carbon atoms of a diamond. The electronic structure and energetic parameters of the substrate were also investigated. It was shown that the substrate with at least four intermediate layers of K-phase exhibits signs of stability such as negative enthalpy of formation and the Fermi level falling to minimum densities of states.

Keywords: Fe–Al–C alloys, K-phase, Diamond, Epitaxial growth, Electronic structure

Background
The wide range of properties of Fe–Al–C alloys is defined by features of their structural-and-phase state, relative to other alloys of the Fe–Cr/V/Ni/Al/Ti–C systems [1–3], that forms during thermal and mechanical treatment and during the actions of other external factors. To specify the features of Fe–Al–C alloys it is necessary to note the solubility of carbon in the austenitic phase, the abnormally high tetrahonality of the martensitic phase containing nanoscale particles of the carbide phase, the coherency of this carbide phase with the austenitic matrix, which causes abnormally high tetrahonality of martensite, and increased resistance to disintegration of the carbon α-solid solution.

The fundamental investigation of the structural-and-phase state of Fe–Al–C alloys centers around the conditions for the formation and structure of the specific carbide phase, Fe₄₋₃Al₃C₉, which has a face-centered cubic (f.c.c.) lattice (famously known as K-phase), and to studying its effect on physical and mechanical properties of alloys [4]. This phase has also been referred to as: ε-phase, double carbide, K-phase, antiperovskite, metal-like carbide, etc. [5–7], but at the present time the most widely accepted term is K-phase. Indeed, all the published triple-state diagrams of Fe–Al–C alloys refer to K-phase as an Fe₃AlC composition. As is widely known [8], on every three elemental cells of the f.c.c. K-phase lattice there are only two carbon atoms introduced into the octahedral interstitions and one octahedral interstition remains vacant. Therefore, the Fe₃AlC formula as being K-phase is not strictly accurate and this inaccuracy is important.

It is assumed that if the powder mixture, which corresponds to stoichiometric Fe₃AlC composition, under extreme conditions undergoes thermal-and-pressure
treatment simultaneously, and quickly cools, it may be possible to fix the K-phase of stoichiometric Fe$_3$AlC. However, if this does not succeed and a quantity of carbon atoms is fixed into the tetrahedral or other pores of the K-phase crystal lattice, we can expect some changes in properties of K-phase. In trying to solve this particular problem – to achieve the synthesis of the Fe$_3$AlC K-phase – it is necessary to investigate this problem further and also to investigate the alloys with lower contents of aluminum and carbon, and also those with a higher content of carbon: Fe$_{4-x}$Al$_x$C$_x$ + C.

**Methods**

The materials used initially were the powder mixture: ARMCO-iron (99.99 wt. %), chemically pure aluminum, and graphite in the proportions shown in Table 1.

For thermal-and-pressure synthesis of the Fe–Al–C samples the toroid-type high-pressure apparatus with a hole diameter of 20 mm was chosen. This apparatus can generate a pressure in the reaction volume of more than 8 GPa. The quantity of k-points in the Brillouin zone was 7 Ry. The quantity of k-points in the Brillouin zone was 7.7. In all calculations the energy of separation of the electrons on the valent stations and on main stations was 1 GPa.

The required X-ray investigations were carried out on a DRON-3 X-ray diffractometer using monochromatic Co-Kα irradiation, and images of the fractures, surfaces, and spectral data were obtained on Jeol JSM 6490LV and SEM 515.

For the modeling we used the WIEN2k package – a set of computer programs fulfilling the quantum and mechanical calculations in the ranges of density functional theory by means of linearized affiliate plane waves. In all calculations the energy of separation of the electron stations on the valent stations and on main stations was 1 GPa, and the quantities of k-points in the Brillouin zone was 100 on the cell for all of the calculations. The following values of nuclear sphere radii were used (for the K-phase elemental cell, $a_0 = 0.375$ nm): Al = 2.34 a.u., Fe = 1.87 a.u., C = 1.66 a.u. The radii for the other parameters of elemental cells "a" were calculated from the ratio $a/a_0$.

**Table 1** Contents of the Fe–Al–C system alloys and the regimes of obtained specimens

| Number | Contents, wt. % | Regimes |
|--------|----------------|---------|
|        | Fe  | Al  | C  | P, GPa | T, K | t, s |
| 1      | 94  | 4   | 2  | 7.7    | 1998 | 60  |
| 2      | 89.5| 8   | 2.5| 7      | 2123 | 60  |
| 3      | 90.3| 5.8 | 3.9| 7.2    | 1873 | 60  |
| 4      | 81  | 13.6| 5.4| 7.7    | 2173 | 60  |
| 5      | 81.1| 13.1| 5.8| 6      | 1673 | 60  |

Al aluminum, Fe ARMCO-iron, C graphite

**Results and Discussion**

According to X-ray analysis of samples synthesized at high pressures and temperatures, in the diffraction patterns both before and after cooling to the temperature of liquid nitrogen, only reflexes of K-phase (with the usual average parameter of an elemental cell, $a = 0.376$ nm), carbide Fe$_3$C and cubic diamond were found to be present. Further cooling to the temperature of liquid nitrogen does not lead to new reflexes arising, which testifies to the almost total absence of the metal matrix volumes with a structure dissimilar to K-phase.

Therefore, for the chemical contents and regimes of synthesis of the alloys which were investigated in this work, formation of the phases which could have phase transformations was not possible.

The simultaneous effect of temperature and pressure significantly influences the structural-and-phase state of Fe–Al–C alloys. It has been established that increasing the synthesis temperature to 1673–2173 K under a pressure of 6–8 GPa makes it impossible to achieve complete carbonic stoichiometry of Fe$_{4-x}$Al$_x$C$_x$ (K-phase) compounds, such as Fe$_3$AlC, because they do not increase their solubility in this ordered phase. However, the simultaneous effect of temperature and pressure on Fe–Al–C alloys allows the formation of a new phase – cubic diamond – to take place, at significantly lower temperature and pressure values relative to the standard regimes for other alloys (P = 13 GPa, T = 2273 K. The alloy composition changes resulted only in quantity and form of diamond crystals (Fig. 1).

In Fig. 2 the distribution maps of aluminum and iron, obtained from the characteristic irradiation of energy-dispersive spectrometry by the LINK system, are presented. The quantities of aluminum and iron are present in a 1:3 ratio. However, in the diamond crystals there is less aluminum and iron than in the K-phase volume. When the amount of graphite in the source powder mixture is more than 3.9 wt. % the amount of synthetic diamond crystals is significantly increased.

Electron microscopy investigation of synthesized specimens has shown that in Fe–Al–C alloys a quantity of synthetic diamond crystals of about 20–100 μm was formed and that the large diamond crystals are not perfectly shaped.

As stated by Mikhajlova et al. [11], from all causes of origin of the structure features in Fe–Al–C alloys quenched from high temperatures, the nanogroups having short-range order as K-phase type plays the most significant role. These nanogroups have been found in the liquid state at 1550 °C. Because in different systems the carbide-forming reaction precedes the polymorphic transformation of carbon [9], it seems quite likely that when iron, aluminum, and carbon are fully linked into an ordered structure of Fe$_3$AlC$_x$ and practically the whole volume of the alloy is K-phase with a f.c.c. crystal lattice, which is the
case with the alloys being investigated, the presence of this K-phase is apparently the stimulator [9] of cubic diamond formation from the excess carbon, on a par with iron and aluminum which are contained in the volume of diamond crystals. The ordered Fe$_{4.75}$Al$_{0.25}$C$_x$ phase with its f.c.c. crystal lattice, which surrounds the excess carbon, stimulates cubic diamond formation, thus reducing the required temperature and pressure of diamond formation to 1673 K and 6 GPa, respectively.

By means of modeling of the atomic structure and by calculating the electronic structure it has been established that the energetic expediency of formation of the ordered phase of nonstoichiometric Fe$_{4.75}$Al$_{0.25}$C$_x$ ($y = 0.5 + 1; x = 0.5 + 0.66$) compares to the stoichiometric Fe$_3$AlC phase. It was also shown that the minimal creating enthalpy value corresponds to the compound Fe$_3$AlC$_{0.66}$. The electronic structure investigations have shown that such compounds have the largest binding energy, thereby strengthening the covalent component of the interatomic relation of iron and carbon atoms. Besides, as in all compounds which are nonstoichiometric by carbon, the degenerate states on the Fermi level are missing in the Fe$_3$AlC$_{0.66}$ phase, that is the source of possible deformations. Any deviation from the chemical content of Fe$_3$AlC$_{0.66}$ on the side of carbonic stoichiometry leads to an essential rise of the enthalpy value. Nonstoichiometry of aluminum conversely leads to weakening of the connections between iron and carbon atoms and, as a result, leads to a rise in enthalpy. Calculation of the cohesion energy of the corresponding compounds confirmed the conclusions obtained for the calculation of enthalpy creation.

Calculations were fulfilled for the following cells: Fe$_3$AlC$_{0.5}$, Fe$_3$AlC$_{0.66}$, Fe$_{3.5}$Al$_{0.5}$C$_{0.5}$, and Fe$_{3.5}$Al$_{0.5}$C. The optimal parameters of the elemental cells obtained are presented in Table 2. In the second column are presented the optimal parameters of the elemental cells; in the third column are the creation enthalpies corresponding to these parameters; and in the fourth column, for comparison, creation enthalpies of the same cells using the cell parameter 3.75 nm (optimal parameter of the Fe$_3$AlC cell) are presented.

For nonstoichiometric compounds a decrease in elemental cell parameter of 0.8–1% is characterized
and the most possible result is the formation of an Fe₃AlC₀.66 cell instead of an Fe₃AlC₀.5 cell (creation enthalpy, eV/atom (−0.0348) and (−0.0335), respectively), as was previously thought. The elemental cell of stoichiometric Fe₃AlC K-phase has higher creation enthalpy and, therefore, less probability of its creation. This fact confirms the hypothesis of inaccessibility of reaching the stoichiometric content by K-phase.

The difference between the parameters of the elemental cells of K-phase (a = 3.75 nm) and diamond (3.57 nm) is 5%. Therefore, it is possible to assume that on the surface, or in the volume, of K-phase the epitaxial growth of diamond crystals is possible. Increasing the pressure under thermal-and-pressure treatment reduces this difference of cell parameters (a = 3.69 nm at 7 GPa). The plane of epitaxial growth has the same indexes for all of the investigated cells and the same content of carbon. The only difference is in the environment of iron and aluminum atoms. Fe–octahedron, which is enveloped by carbon atoms and vacancies, will be constant. This is the [200] plane of K-phase. The plane of epitaxial growth was chosen on the basis of the distances between carbon atoms (or vacancies in their places) having the best accordance to the parameter of the diamond elemental cell. In conclusion, it is possible to make an analogy between epitaxial growth and twinning and martensitic transformations [3, 12, 13].

**Table 2 Optimal parameters of elemental cells and values of creation enthalpies**

| Compound          | a, nm | Enthalpy of Creation, eV/atom | Enthalpy of Creation for a = 0, 375 nm |
|-------------------|------|------------------------------|-------------------------------------|
| Fe₃AlC₀.66        | ∼0, 3725 | −0.0348                      | −0.0328                             |
| Fe₃AlC₀.5         | ∼0, 372  | −0.0335                      | −0.033                              |
| Fe₃Al              | 0, 375  | −0.0318                      | −0.0318                             |
| Fe₃Al₀.5C₀.5      | 0, 372  | −0.024                       | −0.0244                             |
| Fe₃Al₀.5C(I)      | 0, 373  | −0.028                       | −0.0286                             |
| Fe₃Al₀.5C(II)     | 0, 373  | −0.028                       | −0.0275                             |

**Conclusions**

In Fe–Al–C alloys after thermal-and-pressure synthesis, the diamond phase with 20–100-μm crystals forms. Thermal-and-pressure influence does not increase the carbon solubility in K-phase by more than 0.66% on the elemental cell of the crystal lattice. The f.c.c lattice of K-phase, which is surrounded by undissolved excess carbon, stimulates the formation of cubic diamond which requires a lower temperature (to 1400 °C) and pressure (to 6 GPa) for its formation.

Any deviation from the chemical composition of Fe₃AlC₀.66 on the side of carbonic stoichiometry leads to an essential increasing of enthalpy, so the most probable outcome is the formation of Fe₃AlC₀.66 cells instead of Fe₃AlC or Fe₃AlC₀.5 cells.

It can be argued that in the substrate of diamond growth there must be a minimum of three to four layers of elemental K-phase cells. Cells with fewer layers require more heat for their formation and are unstable.

Nanoparticles of the ordered Fe₄₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋_-₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋₋レーション-


**Abbreviations**

f.c.c.: Face-centered cubic

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**Authors’ Contributions**

ID suggested the idea of this research, performed the experiments, analyzed the experimental data, participated in the discussion of the results, formulated the conclusions and worked on the manuscript. AM performed the modeling and calculations, participated in the discussion of the results and worked on the manuscript. Both authors read and approved the final manuscript.

**Competing Interests**

The authors declare that they have no competing interests.

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