Magnetic structure and Magnetic transport Properties of Graphene Nanoribbons With Sawtooth Zigzag Edges

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The magnetic structure and magnetic transport properties of hydrogen-passivated sawtooth zigzag-edge graphene nanoribbons (STGNRs) are investigated theoretically. It is found that all-sized ground-state STGNRs are ferromagnetic and always feature magnetic semiconductor properties, whose spin splitting energy gap $E_g$ changes periodically with the width of STGNRs. More importantly, for the STGNR based device, the dual spin-filtering effect with the perfect (100%) spin polarization and high-performance dual spin diode effect with a rectification ratio about $10^{10}$ can be predicted. Particularly, a highly effective spin-valve device is likely to be realized, which displays a giant magnetoresistace (MR) approaching $10^8\%$, which is three orders magnitude higher than the value predicted based on the zigzag graphene nanoribbons and six orders magnitude higher than previously reported experimental values for the MgO tunnel junction. Our findings suggest that STGNRs might hold a significant promise for developing spintronic devices.

Spintronic devices (STDs), using spin instead of charge as an information carrier, have recently attracted tremendous attention within the scientific community. Due to unique electronics as well as magneto-electronics properties, such as a weak spin-orbital coupling and long spin correlation length for electrons, which are some key features for developing STDs, the two-dimensional planar graphene and the corresponding quasi-unidimensional graphene nanoribbons (GNRs) have been extensively studied. Especially for zigzag graphene nanoribbons (ZGNRs), one predicts that they will play an important role in spintronic applications. Ground-state ZGNRs exhibit ferromagnetically (FM) ordered states at each edge individually due to the unsaturated π electron existing for each edge carbon atom but antiferromagnetic (AFM) coupling between opposite edges, leading to a spin-polarized semiconducting behavior with zero net spin, thus their applications in STDs are severely limited. Heretofore, many effective approaches, such as edge modifications, doping, introducing topologic defects, and applying an external electrical field or magnetic field, have been proposed to break the spin degeneracy and stabilize ferromagnetic (FM) state in ZGNRs, achieving metallic or half-metallic features accordingly. Based on these ways, some important phenomena are found and promising STDs, such as giant magnetoresistance devices and conductance switchers, spin-filtering devices, bipolar spin diodes, spin-polarized current amplifiers, and bipolar field-effect spin-filtering devices, are designed theoretically.

However, in fact, the realistic applications of the ZGNR magnetism and the above methods to obtain a favorable magnetic ordering might not be feasible. Generally, a very large electrical field is required to split the spin-degenerated band structures and achieve a half-metallicity experimentally. The theoretical predicted magnetic moment for edge carbon atoms is not very strong, so that the spin-polarized states of the ZGNRs become unstable to be transformed to the spin-unpolarized state in the presence of ballistic current through the ZGNRs or at finite temperatures. It was estimated that the magnetic states can only be stabilized at temperatures $T < 10K$, and become paramagnetic (PM) behaviors as $T$ increases, which has been confirmed experimentally. And also, as reported in previous works, STD effects based on ZGNRs essentially occur for geometrically symmetrical ZGNRs with respect to the axis due to the intrinsic transmission selection rule of the wave function of spin subbands near the Fermi level, but not for geometrically asymmetrical ZGNRs. Particularly, realizing the specific magnetic device features needs the externally magnetic field simultaneously applied on left and right ZGNR electrodes to create the [1,-1] magnetic configurations, however, it is difficult to limit two
magnetic fields with the opposite direction in two local nano-scale regions, which might exceed those available experimentally\(^\text{21}\). Additionally, heteroatom doping would influence the mobility and spin correlation length of carriers, even resulting in a spin flip, and edge modification might weaken the geometrical structure stability. Therefore, designing graphene-based systems to have a large ground-state magnetic moment and become experimentally feasible STDs remains a challenge.

In this paper, we present investigations on magnetic structure and magnetic transport properties for the graphene nanoribbons (GNRs) with sawtooth (ST) zigzag edges (STGNRs)\(^{30}\) and passivated by monohydrogen atom\(^{21}\), which is related to modified-edge GNRs\(^{22,23}\). It is found that at a FM ground state they are all a magnetic semiconductor. In particular, unique band overlap pattern for two electrodes and its particular sensitivity to a switching magnetic field lead to the dual spin-filtering effect with the perfect (100\%) spin polarization and high-performance dual spin diode effect with a rectification ratio about 10\(^3\), as well as a highly effective spin-valve device feature with a giant magnetoresistace (GMR) value approaching 10\(^{10}\)% can be observed.

**Results**

Fig. 1 illustrates the schematic structure of STGNRs (m, n), and the rectangle box drawn with a dotted line denotes a unit cell. STGNRs can be view as the armchair graphene nanoribbons (AGNRs) being tailored or introducing defects at their edge to form zigzag edges with a large sawtooth periodically. Current nano-size lithographic techniques have provided the possibility for cutting or patterning graphene into well-defined geometric structures with atom precision, for example, the controlled formation of sharp zigzag edges in GNRs into well-defined geometric structures with atom precision, phene into well-defined geometric structures with atom precision, niques have provided the possibility for cutting or patterning gra-

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β- and α-spin states, respectively. This indicates that STGNR(5, 3) features the properties of bipolar magnetic semiconductors (BMS)\(^\text{11}\) with a well-defined spin splitting energy gap \(E_g \sim 75\) meV. The BMS is an important material for realizing bipolar spin-filtering (BSF) devices\(^\text{11}\). The middle and right panels for the PDOS suggest that the DOS around the Fermi level is mainly derived from \(p\) (\(\pi\) orbital (the \(s\)- and \(d\)-orbital PDOS is negligible small) of C atoms) at both edges and others.

To find the size effects, the band structure and spin splitting energy gap as a function of geometrical parameters, \(m\) and \(n\), corresponding to the width of nanoribbon and the length of a large sawtooth at edge for STGNRs, are shown in Fig. 3(a–c). It is clear that the magnetic semiconducting behaviors for all STGNRs are always preserved regardless of the value of \(m\) and \(n\). Interestingly, the spin splitting energy gap \(E_g\) changes periodically with the value of \(m\) by 3 as a period, and satisfies \(E_g^{3n+1} > E_g^{3n} > E_g^{3n-1}\) (\(i\) is a positive integer), similarly to the case of AGNRs for the gap alteration with width. With increasing the value of \(n\), the spin splitting energy gap \(E_g\) drops at \(n=5\) then rises sharply.

For realistic applications of magnetic materials, the magnetic stability is an important aspect that needs to be considered. As we know, the paramagnetic response of a system is described by the Pauli susceptibility which depends only on the electronic density of states at the Fermi level (Stoner instability)\(^\text{20}\). STGNRs have almost zero DOS at the Fermi level at the FM ground-state as shown in Figs. 2 (c), thus giving rise to a higher ground-state magnetic stability for STGNRs than that for the FM state of ZGNRs with finite DOS at the Fermi level\(^\text{5}\). To further investigate a thermal stability, the energy difference \(\Delta E_{\text{mag}}\) between the AFM and FM states as a function of \(m\) and \(n\) is drawn in Fig. 3(d). As mentioned above, the FM is the ground state (GS) with a lowest energy, while the AFM is the second-lowest energy state (GS+1), and the nonmagnetic state possesses a highest energy (GS+2)(not exhibited here). Generally, the thermal stability of materials is regarded to be related to \(\Delta E_{\text{mag}}\). As can be seen, \(\Delta E_{\text{mag}}\) decreases with increasing \(m\), but arises monotonically with increasing \(n\), this is easy to be understood. When the value of \(m\) increases to form a wider ribbon, the edge magnetic coupling (exchange interaction) is weakened accordingly to display pristine AGNR properties partially. Conversely, increasing \(n\) means increasing the length of zigzag edges and in turn decreasing their spacing, so that the two-edge magnetic coupling is strengthen unambiguously. The thermal stability is usually quantified by the Curie temperature \(T_c\) based on the mean-field theory and Heisenberg model\(^\text{27}\). \(T_c = 2\Delta/3k_B\), where \(\Delta\) is the energy required to flip one spin to the GS+1 state. We can obtain \(\Delta = 12.24\) meV for STGNR (4, 3), leading to \(T_c \sim 95\) K. It is almost 10 times as large as ZGNRs by the experiment value \(T_c < 10\) K\(^\text{16,17}\), but still much below room temperature.

To develop future STDs based on STGNRs, it is highly desirable to fully understand their intrinsic magnetic transport properties under bias. The constructed device model is shown in Fig. 1, as stated above. Here, two types of magnetic configurations are considered, respectively: (1) P configuration, namely, whole device is taken as a FM ground state, and the spin ordering for two electrodes in parallel: (a) P configuration, and (b) AP configuration. (2) AP configuration, i.e., an externally switching magnetic field is applied perpendicular to the plane of the right electrode to switch its spin ordering antiparallel to the left electrode, namely, the left electrode is still α-spin polarized but the right one is turned to be β-spin polarized, in order to demonstrate the response of STGNRs to an application of the magnetic field. Furthermore, the spins across the magnetic domain wall in the scattering region is set in a collinear case for calculations. We still

Figure 2 | Electronic and magnetic structures of STGNR(5,3). Isosurface plots of the spin density (\(\nabla \psi = \rho_a - \rho_b\)) of the FM ground state (a) and AFM state (b) for an optimized H-STGNR(5,3). Values for red (α-spin) and blue (β-spin) isosurfaces are ±0.01 |e|/Å\(^3\), respectively. (c) The band structure (BS), density of states (DOS), and projected density of states (PDOS) for STGNR(5,3). PDOS includes the atom-PDOS and orbital- PDOS.
take STGNR(5,3) as example, and for the corresponding device, the spin-resolved I-V characteristics in P and AP configurations are manifested in Figs. 4 (a) and (b), respectively. Obviously, several important features can be visible: (1) In P configuration, the electron tunneling channels for both $\sigma$- and $\beta$-spin states are almost blocked off completely, the negligible small currents ($< 5 \times 10^{-7}$ mA) in a region of interest can be observed. (2) In AP configuration, the unidirectional nature of the spin-polarized current shows up, namely, the $\sigma$-spin channel is only opened under positive bias but suppressed fully under negative bias, while for the $\beta$-spin channel, it is just opposite. These mean that the STGNRs can act as a dual spin filter and a dual spin diode in AP configuration. (3) Making a comparison on currents between P and AP configurations, one can find that there is a much larger current (several mA) in AP configuration than that ($< 5 \times 10^{-7}$ mA) in P configuration, which implies that a switching magnetic field features a tremendous tuning effects on the spin transport and thus the giant magnetoresistance (MR) effect can be expected reasonably.

To understand the origin of distinctive transport behaviors, the relation of the transmission spectrum and electrode band structures under several typical biases, 0.0 and $\pm 0.2$ V, are displayed in Fig. 5(a) – (f), in which figures (a) – (c) and (d) – (f) correspond the P configuration and AP configuration, respectively. In each figure, the left, middle, and right panels show the band structure of the left electrode, the transmission spectrum of the device, and the band structure of the right electrode, respectively. To obtain a spin-dependent current, the band overlap is necessary, namely, for the same spin state in two electrodes form an overlapping region in the bias window (BW) and make the electronic band-to-band tunneling available for carrying current. In P configuration, at zero bias, as shown in Fig. 5(b), the overlap of $\beta$- and $\sigma$- spin bands in both electrodes above and below the Fermi level leads to two transmission peaks, respectively, but they do not contribute to the realistic electron transmission due to this transmission gap occurring around the Fermi level. When this device is negatively (positively) biased, the bands of the left and right electrodes are driven to move downward (upward) and upward (downward), respectively. Until $-0.2$ (+0.2) V, as shown in Fig. 5(a) ((c)), no spin band overlap appears for the same spin state of two electrodes within the BW, resulting in the BW always lying in an transmission gap. And from then onwards, the transmission gap will increases monotonically with bias and the BW remains in between. Therefore, almost zero current arises in a device for P configuration regardless of the bias polarity and spin components. But for AP configurations, the situation is greatly changed. The applied switching magnetic field turns the role of $\sigma$- and $\beta$-spin states in the right electrode to be exchanged each other, namely, minor and major spin components for $\sigma$- and $\beta$-spin states, respectively. At zero bias, as shown in Fig. 5(e), no spin band overlap can be detected, and thereby no transmission peak occurs. However, when a negative bias is applied, the $\beta$-spin band in two electrodes approaches gradually and final overlapping, for example, at $-0.2$ V, as shown in Fig. 5(d), both of them have already a large overlap in the BW and generate a broaden and high transmission peak, thus a large $\beta$-spin current can emerge, while $\sigma$-spin band in two electrodes goes far away gradually with bias, leading to a negligible small $\sigma$-spin current. Under positive bias, behaviors for $\sigma$ ($\beta$)-spin state are similar to those for $\beta$($\sigma$)-spin state under negative bias, for example, at 0.2 V, as shown in Fig. 5(f), only $\sigma$-spin band overlap occurs in the BW and creates a broaden and high transmission peak, and thus only a large $\sigma$-spin current under positive bias is
derived. Remarkably, these obtained results are entirely consistent with those in Fig. 4.

To quantify the STD characteristics of STGNRs, we define the spin-independent rectification ratio as 
\[ RR_s = \left| \frac{I_s(\sigma)}{I_s(\alpha)} \right| \]
where \( I_s(\sigma) \) and \( I_s(\alpha) \) represent the spin-dependent and spin-independent currents, respectively, \( \sigma = \alpha, \beta \), spin polarization as 
\[ SP_s = \left( \frac{I_s}{I_{total}} \right) \times 100\% \]
and magnetoresistance as 
\[ MR = \left( \frac{I_{AP}}{I_p} \right) \times 100\% \]
The calculated results are shown in Fig. 6. As can be seen, an unexpectedly high rectification ratio, up to 10^10, can be reached in AP configuration, as shown in Fig. 6(a), which is a forward rectification for \( \alpha \)-spin state and an inverse rectification for \( \beta \)-spin state. This rectification ratio is a much larger value as compared to that for a ZGNR diode (\( \sim 10^5 \)) and macroscopic p-n junction diodes (\( \sim 10^5 \rightarrow 10^7 \)), this means that the STGNR can act as an excellent dual spin diode. And also, the perfect (100%) spin polarization is achieved under a slightly higher bias (\( \pm 0.1 \) V) in AP configuration regardless of a bias being positive or negative, as shown in Fig. 6(b), serving as a dual spin-filtering device, namely, by the selection of bias polarity, we can obtain an electron flow with different spin directions. More importantly, one can see that, by a switching magnetic field applied on STGNRs, a highly effective spin-valve device is likely to be realized, which displays a giant magnetoresistance (GMR) approaching 10^{10}%, which is three orders magnitude higher than that predicted based on the ZGNRs and six orders magnitude higher than previously reported experimental values for the MgO tunnel junction.

**Discussion**

Spintronics and magnetic device properties of STGNRs are investigated theoretically. It is found that at a FM ground state the STGNRs always feature the typical properties of bipolar magnetic semi-conductors. And their Curie temperature \( T_c \) is much higher as compared with that for ZGNRs. More importantly, the dual spin-filtering...
effect with the perfect (100%) spin polarization and high-performance dual spin diode effect with a rectification ratio about $10^{10}$ can be achieved, which is a much larger value as compared to that for a ZGNR diode ($\sim 10^5$) and macroscopic p-n junction diodes ($10^5 \sim 10^6$). Particularly, a highly effective spin-valve device is likely to be realized, which displays a giant magnetoresistance (GMR) approach-10% that is three magnitudes higher than the value previously reported experimental values for the MgO tunnel junction31, edge reconstruction 32, edge passivated edge 32, edge defects 32, and other chemical modifications, which might have an impact on magnetism. These complicated cases will be studied in our future works. Additionally, it might be difficult in achieving the required atomic precision for fabricating STGNRs in the current experiments, but theoretical modeling to understand the magnetic structure and magnetic transport properties of ideal STGNRs is very necessary.

Finally, we would like to point out that exchange-correlation functionals for DFT used in our work might underestimate the band gap of AGNRs compared with other algorithms, such as the GW method19. Therefore, a larger spin splitting energy gap $E_S$ might occur if using other more exact methods for calculations. In our work, the spin polarization and spin diode effect as well as the spin-valve device effect are all closely related to the spin splitting energy gap, which would lead to need a higher threshold voltage to start spintronic device effects.

Methods

The geometric optimization as well as calculations of the electronic structure and transport are performed by using the spin-polarized DFT combined with the non-equilibrium Green's function (NEGF) method. We employ Troullier-Martins norm-conserving pseudopotentials to represent the atom core and linear combinations of local atomic orbitals to expand the valence states of electrons. The spin-dependent generalized gradient approximation (SGGA) is used as the exchange-correlation functional. The wave function is expanded by a single-zeta plus polarization (SZP) basis for H atoms, and double-zeta plus polarization (DZP) basis for other atoms. The k-point sampling is 1, 1, and 150 in the x, y, and z directions, respectively, where the $z$ is the period direction of nanoribbon, and the cut off energy is set to 200 Ry. For all models studied, a 15Å vacuum slab is used to eliminate interaction between the models, and all calculation was performed after the geometries are optimized until all residual forces on each atom are smaller than 0.05 eV/Å. Once the convergence in self-consistency calculations is achieved, the spin-polarized current through a device is computed by the Landauer-like formula18. In our calculations, the average Fermi level, an average value of the chemical potential of the left and right electrodes, is set as zero.

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Figure 6 | The spin-independent rectification ratio (a) and spin polarization (b) in AP configuration as well as magnetoresistance (c) for STGNR(5,3). The high-performance dual spin diode effect with a rectification ratio about $10^{10}$ and the dual spin-filtering effect with the perfect (100%) spin polarization, as well as a giant magnetoresistance (GMR) value approaching $10^{10}$%, can be achieved.
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**Author contributions**

Device design and theoretical analysis were performed by Z. Zg. Calculations for Electronic and magnetic structures, transmission spectra, and the I-V characteristics were performed mainly by D. W. and Secondarily by Z. Zg. All the authors discussed the results and wrote the manuscript.

**Additional information**

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