Non-global logarithms in inter-jet energy flow with $k_t$ clustering requirement

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Abstract: Recent work in inter-jet energy flow has identified a class of leading logarithms previously not considered in the literature. These so-called non-global logarithms have been shown to have significant numerical impact on gaps-between-jets calculations at the energies of current particle colliders. Here we calculate, at fixed order and to all orders, the effect of applying clustering to the gluonic final state responsible for these logarithms for a trivial colour flow 2 jet system. Such a clustering algorithm has already been used for experimental measurements at HERA. We find that the impact of the non-global logarithms is reduced, but not removed, when clustering is demanded, a result which is of considerable interest for energy flow observable calculations.

Keywords: QCD NLO jet.
1. Introduction

The identification of the inter-jet energy flow as an infrared safe way to study gaps-between-jets processes has produced extensive interest in the last few years. By considering such observables we may start to formulate a perturbative approach to the description of such cross sections, as well as probe the interface between perturbative and non-perturbative physics at energy scales $\sim 1\text{ GeV}$. The inter-jet energy distribution was recently calculated by Sterman et al. [1, 2, 3, 4] by separating out the primary emission Bremsstrahlung component and calculating this quantity to all-orders for a 4 jet system. However it was recently pointed out by Dasgupta and Salam [5, 6] that this procedure does not include the effects of so-called non-global logarithms, a set of leading logarithms which were shown to be numerically important at the energy scales probed by current colliders.

Recently the H1 and ZEUS collaborations [7, 8] performed improved gaps-between-jets analyses in which the entire event is clustered into (possibly soft) jets using the inclusive kt algorithm [12, 13, 14]. A gap event is then defined by the total minijet energy in the inter-jet region, rather than the total hadronic energy as in previous analyses. The hope was that this would 'clean up' the edges of the gap and make this observable less sensitive to hadronic uncertainties.

In this paper we show that by demanding the gluonic final state to survive a clustering criterion the effect of these logarithms is reduced, but they are still numerically important, at HERA and the Tevatron. The study presented here is particularly interesting in the light of the recent analyses by the H1 and ZEUS collaborations.

The Bremsstrahlung component of the energy flow observable has been the focus of intense work in the last decade, for examples see [4, 9, 10, 11]. It was found that by
considering the emission of soft, wide angle gluons by light-like quarks one may describe the soft gluon dynamics of hadronic processes by an effective theory known as eikonal theory. This subject is now very well developed and the resummation of such primary logarithms has recently made contact with high-but-fixed order calculations \cite{15}. This formalism now allows detailed calculations of inter-jet energy flow as well as illuminating insights into the topology of colour mixing \cite{2}.

However, in the study of single-hemisphere observables \cite{5} Dasgupta and Salam identified that an important class of logarithms was missing from the soft gluon calculations. These contributions arise in observables that are sensitive to radiation in a restricted region of phase space and are formally the same order as the primary emission component for inter-jet observables. To see the origin of these logarithms more clearly, consider soft gluonic radiation into a patch of phase space $\Omega$ arising from a 2 jet system, where we restrict the total energy of radiation into $\Omega$ to be less than $Q_{\Omega}$. The primary logarithms arise from gluons that are emitted directly into $\Omega$ with energy vetoed down to a scale $Q_{\Omega}$ and form the single logarithmic (SL) set $(\alpha_s \log \left( \frac{Q}{Q_{\Omega}} \right)^n, n \geq 1$, where $Q$ is the scale of the jet line. Now consider a gluon being emitted outside of $\Omega$ with intermediate energy $Q_1$, and then vetoing subsequent emission from this gluon into $\Omega$ down to scale $Q_{\Omega}$. Integrating $Q_1$ up to $Q$ then generates another SL set of $(\alpha_s \log \left( \frac{Q}{Q_{\Omega}} \right)^n, n \geq 2$, which are formally the same order as the primary emission terms. This was studied in detail in \cite{6, 16} and in this work we consider how the conclusions found are modified phenomenologically when the kt clustering algorithm is applied to the final state.

The organisation of this paper is as follows. Section 2 describes the kt clustering algorithm used in the H1 and ZEUS analyses, known as the inclusive jet clustering algorithm. We then develop the algorithm, in order to derive a form suitable for use with a 2-gluon system. Section 3 then describes the order $\alpha_s^2$ calculation of the effect of non-global logarithms in our 2-jet system, with the clustering algorithm included in the calculation. We find that the asymptotic suppression factor with clustering is reduced with respect to the non-clustering case. We then proceed to calculate the non-global effect to all orders in section 4 using the combination of the large $N_c$ limit and a Monte Carlo algorithm and we conclude with a summary and a discussion of further work.

The all-orders treatment used in this work allows direct inclusion of the kt algorithm, in exactly the same way as is used experimentally, and we find that the clustering process reduces the magnitude of the non-global corrections to the primary suppression factor. However they are still phenomenologically relevant at HERA.

2. The kt clustering procedure

The version of the algorithm we use, which is the one used in the HERA analyses, is known as the inclusive kt algorithm \cite{12, 13, 14}. The main features of importance to the present study are: the clustering procedure starts from the particles of lowest relative transverse momenta and iteratively merges them to construct pseudoparticles of higher transverse momentum; the decision of whether a particular pair of pseudoparticles are merged depends only on their relative opening angle (see below); despite this, it is possible
for soft particles to be ‘dragged’ through relatively large angles by being merged with harder particles, which are merged with even harder particles, and so on. Nevertheless, we do not expect our results to be qualitatively different from other infra-red safe jet algorithms, such as the improved Legacy Cone algorithm of [17]. In the remainder of this section we will provide a formulation of the kt algorithm that can be directly applied to our fixed order calculation in the next section. We follow the H1 and ZEUS analyses closely and set the radius parameter, $R$, to unity for most of the numerical results. The algorithm is implemented in the package KTCLUS [12], which is used for the all-orders calculation later in this work.

It is necessary to use the full iterative algorithm for experimental analysis and for Monte Carlo applications, but when we consider a 2-gluon final state in this work we can reduce the algorithm to a convenient analytic form. We start by considering a hard jet line at scale $Q$ which radiates a gluon with some transverse energy $E_{T,1}$ in some direction $(\eta_1, \phi_1)$. This system then radiates a secondary soft gluon with transverse energy $E_{T,2}$ in some direction $(\eta_2, \phi_2)$. We assume that the transverse energies of the gluons are strongly ordered, 

$$E_{T,1} \gg E_{T,2}.$$  

The kt algorithm works by defining for every pair of particles $ij$ a ‘closeness’ $d_{ij}$ and for every particle $i$ a ‘closeness to the beam direction’ $d_i$. For the 2 gluon final state, these are 

$$d_1 = E^2_{T,1},$$

$$d_2 = E^2_{T,2},$$

$$d_{12} = E^2_{T,2}[(\eta_1 - \eta_2)^2 + (\phi_1 - \phi_2)^2].$$  

By considering the strong ordering of the transverse momenta, the two gluons will be clustered if $d_{ij} < d_2$, so we require 

$$(\eta_1 - \eta_2)^2 + (\phi_1 - \phi_2)^2 > R^2$$  

for the two gluons to constitute separate jets and not be merged by the algorithm. Therefore for a two-gluon final state to pollute the gap and generate secondary logarithms we require that gluon 1 is outside the gap, gluon 2 is inside the gap and that they be sufficiently separated in $(\eta, \phi)$ to avoid being merged. Therefore the clustering condition manifests itself as a $\Theta$-function in our calculation, 

$$\Theta((\eta_1 - \eta_2)^2 + \phi_2^2 - R^2),$$  

where we have used our freedom to set $\phi_1 = 0$. We will use this result in the next section.

3. Fixed order calculation

3.1 Definitions and primary emission form factor

Following Dasgupta and Salam [6], the observable we are interested in is the total transverse energy $E_t$ flowing into a region of phase space $\Omega$ for an event characterized by the hard
scale $Q$, 

$$E_t = \sum_{i \in \Omega} E_{t,i}. \quad (3.1)$$

We are specifically interested in the cases of $\Omega$ being either a slice in rapidity or a patch, bounded in rapidity and azimuthal angle. The quantity we shall calculate is called $\Sigma_\Omega$ and is defined to be the probability that $E_t$ is less than some energy scale $Q_\Omega$.

$$\Sigma_\Omega = \frac{1}{\sigma_o} \int_0^{Q_\Omega} dE_t \frac{d\sigma}{dE_t}. \quad (3.2)$$

We shall assume the strong ordering $Q_\Omega \ll Q$. The aim of this work is to calculate the importance of the non-global contribution to $\Sigma_\Omega$ and so it is convenient to factorize this expression into a function describing primary emission into $\Omega$, $\Sigma_{\Omega,P}(t)$, and a function describing (secondary) emission into $\Omega$ from large-angle soft gluons outside of $\Omega$, $S(t)$,

$$\Sigma_\Omega(t) = S(t)\Sigma_{\Omega,P}(t). \quad (3.3)$$

We have denoted the following integral of $\alpha_s$ by $t$,

$$t(Q_\Omega, Q) = \frac{1}{2\pi} \int_{Q_\Omega}^{Q/2} \frac{dk_t}{k_t} \alpha_s(k_t), \quad (3.4)$$

$$= \frac{1}{4\pi\beta_0} \log \left( \frac{\alpha_s(Q_\Omega)}{\alpha_s(Q/2)} \right), \quad (3.5)$$

$$= \frac{\alpha_s}{2\pi} \log \frac{Q}{2Q_\Omega}, \quad (3.6)$$

where the first equality is exact, the second holds at one loop, the third assumes a fixed coupling and $\beta_0 = (11C_A - 2n_f)/(12\pi)$. The leading order contribution to $S(t)$ comes in at $\alpha_s^2$ and we shall calculate this for a 2 jet system in the next section but it is useful to first consider the primary emission function at first order in $\alpha_s$. If we do not restrict the phase space for gluon emission then, order by order in perturbation theory, we expect a complete cancellation of real and virtual soft gluon contributions to the primary emission form factor. However the requirement of a gap in a restricted region of phase space results in this cancellation being spoiled and we are left with an integral over the vetoed region. Hence, to order $\alpha_s$,

$$\Sigma^{(1)}_{\Omega}(Q_\Omega, Q) = -4C_F \frac{\alpha_s}{2\pi} \int_{Q_\Omega}^{Q/2} \frac{dk_t}{k_t} \int_{\Omega} d\eta \frac{d\phi}{2\pi} \quad (3.7)$$

$$= -4C_F \frac{\alpha_s}{2\pi} A_\Omega \log \left( \frac{Q}{2Q_\Omega} \right), \quad (3.8)$$

where $A_\Omega$ denotes the area in $(\eta, \phi)$ space of the region $\Omega$. The assumption that the primary gluons are emitted independently according to a two-particle antenna pattern means that by exponentiating the one loop answer and running the coupling to the scale $k_t$, we can write $\Sigma_{\Omega,P}(t)$ to all orders,

$$\Sigma_{\Omega,P}(t) = \exp \left( -4C_F A_\Omega t \right). \quad (3.9)$$

This equation only includes contributions from independent primary emission.
3.2 The function $S(t)$

The non-global contribution to $\Sigma\Omega$ is contained in the function $S(t)$, which has its first non-trivial term at order $\alpha_s^2$. Hence we can write the following expansion for $S(t)$,

$$S(t) = 1 + S_2 t^2 + S_3 t^3 + \ldots = 1 + \sum_{n=2} S_n t^n. \quad (3.10)$$

The goal of this section is to calculate $S_2$ for two different geometries of $\Omega$ with the condition that the topology of the gluon tree satisfies the clustering algorithm defined previously. We begin by defining the following 4-momenta,

$$k_a = \frac{Q}{2} (1, 0, 0, -1), \quad (3.11)$$
$$k_b = \frac{Q}{2} (1, 0, 0, 1), \quad (3.12)$$
$$k_1 = P_{t,1} (\cosh(\eta_1), \sin(\phi_1), \cos(\phi_1), \sinh(\eta_1)), \quad (3.13)$$
$$k_2 = P_{t,2} (\cosh(\eta_2), \sin(\phi_2), \cos(\phi_2), \sinh(\eta_2)), \quad (3.14)$$

and for a general region $\Omega$ define $S_2$ by

$$S_2 \log^2 \left( \frac{Q}{2Q_{\Omega}} \right) + \mathcal{O} \left( \log \left( \frac{Q}{2Q_{\Omega}} \right) \right) = -C_F C_A \int_{k_1 \not\in \Omega} d \eta_1 \frac{d \phi_1}{2\pi}$$
$$\int_{k_2 \in \Omega} d \eta_2 \frac{d \phi_2}{2\pi} \frac{Q^4}{16} \int_0^1 x_2 d x_2 \int_0^1 x_1 d x_1 \Theta \left( x_2 - \frac{2Q_{\Omega}}{Q} \right) W_S, \quad (3.15)$$

where we define the transverse momentum fraction by

$$P_{t,1} = x_1 \frac{Q}{2}. \quad (3.16)$$

This expression for $S_2$ contains the secondary part, $W_S$, of the well-known matrix element squared for the energy ordered emission of two gluons, which can be derived from gluon insertion techniques [13],

$$W = 4C_F \frac{(ab)}{(a1)(1b)} \left( \frac{C_A}{2} \frac{(a1)}{(a2)(21)} + \frac{C_A}{2} \frac{(b1)}{(b2)(21)} + \left( C_F - \frac{C_A}{2} \right) \frac{(ab)}{(a2)(2b)} \right)$$
$$= C_F^2 W_P + C_F C_A W_S, \quad (3.17)$$

where the notation $(ij)$ denotes the dot product of the appropriate 4-momenta. This expression contains the primary emission piece $W_P$, proportional to $C_F^2$, and the piece that interests us, which is the part proportional to $C_F C_A$ and denoted $W_S$. Note that the last term is the dipole interference term and is absent in the large $N_c$ limit. Denoting the secondary emission piece by $W_2$ and evaluating the 4-momenta products we get

$$(ab) = \frac{Q^2}{2}, \quad (3.18)$$
$$(1a) = \frac{Q^2 x_1}{4} \exp(-\eta_1), \quad (3.19)$$
\( (1b) = \frac{Q^2 x_1}{4} \exp(+\eta_1), \) \hspace{1cm} (3.20) \\
\( (2a) = \frac{Q^2 x_2}{4} \exp(-\eta_2), \) \hspace{1cm} (3.21) \\
\( (2b) = \frac{Q^2 x_2}{4} \exp(+\eta_2), \) \hspace{1cm} (3.22) \\
\( (12) = \frac{Q^2 x_1 x_2}{4} (\cosh(\eta_1 - \eta_2) - \cos(\phi_1 - \phi_2)). \) \hspace{1cm} (3.23) \\

Now setting \( \phi_1 \) equal to zero we arrive at

\[ W_S = \frac{128}{Q^4 x_1^2 x_2^2} \left( \frac{\cosh(\eta_1 - \eta_2)}{\cosh(\eta_1 - \eta_2) - \cos(\phi_2)} - 1 \right). \] \hspace{1cm} (3.24)

The energy fraction integrals are straightforward and lead to the following leading logarithm (LL) result (the \( \Theta \)-function ensures that sufficient energy reaches the gap region \( \Omega \),

\[ \int_0^1 \frac{d x_2}{x_2} \int_{x_2}^1 \frac{d x_1}{x_1} \Theta \left( x_2 - \frac{2Q\Omega}{Q} \right) = \frac{1}{2} \log^2 \left( \frac{2Q\Omega}{Q} \right) \] \hspace{1cm} (3.25)

Hence we arrive at

\[ S_2 = -4C_F C_A \int_{\text{angles}} \left[ \frac{\cosh(\eta_1 - \eta_2)}{\cosh(\eta_1 - \eta_2) - \cos(\phi)} - 1 \right]. \] \hspace{1cm} (3.26)

Specializing to a slice in rapidity of width \( \Delta \eta \) and delimited by \( |\eta| < \Delta \eta/2 \), where boost invariance allows us to center the gap on \( \eta = 0 \), we can exploit the symmetry of the \( \eta_1 \) integral and perform the trivial \( \phi \) integral of the first gluon to express the final integral over angles as

\[ \int_{\text{angles}} = 2 \int_{-\Delta \eta}^{\Delta \eta} d \eta_1 \int_{-\Delta \eta}^{\Delta \eta} d \eta_2 \int_0^{2\pi} \frac{d \phi_2}{2\pi}. \] \hspace{1cm} (3.27)

For the case of no clustering of the gluons it is possible to perform the azimuthal average using the result,

\[ \int_0^{2\pi} \frac{d \phi}{2\pi} \cosh(\eta_1 - \eta_2) - \cos(\phi_2) = \frac{1}{\sinh(\eta_1 - \eta_2)}, \] \hspace{1cm} (3.28)

which can be proved by noting that the following contour integration over the unit circle,

\[ I = \frac{2}{i} \int_{u_1} d z \frac{1}{z - \exp(\eta_2 - \eta_1)(z - \exp(\eta_1 - \eta_2))}, \] \hspace{1cm} (3.29)

is equivalent to the integration over the azimuthal angle of the second gluon. For the case of no clustering of the gluons, it is possible to perform all of the integrations analytically and obtain \[ \tilde{S}_2 \]

\[ S_2 = -4C_F C_A \left[ \frac{\pi^2}{12} + (\Delta \eta)^2 - \Delta \eta \log(e^{2\Delta \eta} - 1) - \frac{1}{2} \text{Li}_2(e^{-2\Delta \eta}) - \frac{1}{2} \text{Li}_2(1 - e^{2\Delta \eta}) \right]. \] \hspace{1cm} (3.30)
Note that as $\Delta \eta$ increases, $S_2$ rapidly saturates at its asymptotic value,
\[
\lim_{\Delta \eta \to \infty} = -C_F C_A \frac{2\pi^2}{3}.
\] (3.31)

This analytic evaluation of $S_2$ is not possible for the case of clustered gluons because, as discussed previously, the requirement that a gluonic final state is in a configuration that will survive a clustering algorithm can be written as a $\Theta$-function of all three angular integration variables,
\[
\Theta((\eta_1 - \eta_2)^2 + \phi_2^2 - R^2).
\] (3.32)

However we can readily reduce the three-dimensional integral to a one-dimensional integral using standard techniques if we consider the region of phase space that is vetoed by the clustering algorithm, denoted $S_v^2$. Doing this we obtain,
\[
S_v^2 = -\frac{32C_F C_A}{\pi} \int_{R}^{0} \min(\eta, \Delta \eta) \left[ 2 \coth(\eta) \arctan \left( \frac{\tan(\sqrt{R^2 - \eta^2/2})}{\tanh(\eta/2)} \right) - \sqrt{R^2 - \eta^2} \right] d\eta,
\] (3.33)

where we define $\eta = \eta_1 - \eta_2$. Therefore the solution for $S_2$ with the clustering condition imposed is obtained by subtracting $S_v^2$ from the analytic unclustered result. We resort to numerical techniques to solve this equation, which has the advantage of being easily extendible to any gap geometry of interest. Our numerics were done using Monte Carlo integration methods and figure [4] shows our result for $S_2$ as a function of $\Delta \eta$ for a cluster radius of $R = 1.0$. In this figure we also show, as the solid line, the curve for $S_2$ which is obtained with no clustering condition imposed on the gluons. In [6] the saturation for $S_2$ was explained by observing that the dominant contribution to $S_2$ arises from the situation with one gluon just outside the gap emitting a gluon just inside the gap. This occurs when $\eta_1 \simeq \eta_2 \simeq -\Delta \eta/2$ and the dominant contribution arises from the collinear region of the matrix element. The results we have obtained show that when we demand the gluonic final state to survive a clustering algorithm, the saturation of $S_2$ observed in [6] is still observed but the saturation value is reduced by 70%. In other words the value that $S_2$ saturates to is reduced from 6.57 to 1.81. The reason for this reduction is that we have removed gluons from the region of collinear enhancement but gluons that are still sufficiently separated in the $(\eta, \phi)$ plane to satisfy
\[
(\eta_1 - \eta_2)^2 + \phi_2^2 > R^2
\] (3.34)

will survive the clustering process and contribute to the non-global logarithms. Therefore we conclude that the saturation of the non-global contribution at fixed order is still seen when we demand clustering of the final state. If this reduction holds to all orders then the magnitude of the non-global logarithms will be smaller for the clustered case relative to the non-clustered case. To explore this it is necessary to perform the all-orders treatment, which we do in the next section.

4. All-orders calculation

The extension of the above calculation to all orders presents considerable mathematical problems due to the geometry and colour structure of the gluon ensemble. Therefore we
have used the large $N_c$ approximation and numerical methods, as developed by Dasgupta and Salam, to extend the calculation to all orders. We have extended the simulation developed in the work of Dasgupta and Salam to include clustering of gluons according to the kt algorithm discussed previously. This is performed by terminating the gluon cascade evolution if $\Omega$ is polluted and the final state survives the clustering algorithm. If the latter condition is violated, the Monte Carlo algorithm is allowed to continue.

Note that this algorithm can produce configurations with many gluons at high $t$ and this, coupled with the fact that the speed of the kt algorithm scales like $N^3$ (where $N$ is the number of gluons), means that the Monte Carlo algorithm can be very slow in this region. The result is that we have large statistical errors for $t \geq 0.3$, so we do not show this region. In the next section we shall present our results.

4.1 All-orders results

We have verified the results obtained by Dasgupta and Salam, which have been calculated with no clustering requirement imposed on the gluons.

Figure 2 shows the function $S(t)$ for two different geometries for $\Omega$: a slice in rapidity of width $\Delta \eta = 1.0$ and a square patch in rapidity and azimuthal angle of side length $\Delta \eta = \Delta \phi = 2.0$, with the requirement of clustering on the final state gluons. This is implemented in the Monte Carlo algorithm in the same way as it is used by H1 and ZEUS, allowing us to estimate the experimental impact of the clustering scheme on the non-global logarithms. Firstly the figure shows that at high $t$ ($t > 0.2$) the suppression increases faster for the full calculation than for the exponentiation of $S_2$ with clustering and the two curves have different shapes in this region. This agrees with the analysis of the case with no clustering. Secondly we see that the $t$ dependence of the suppression is geometry independent at high $t$; the implication of this is that the clustering process maintains a buffer region of suppressed intermediate radiation around $\Omega$ exactly as in the unclustered case. Therefore figure 2 shows that the clustering requirement does not change the gross features of the non-global suppression at all orders and the results indicate that the buffer region postulated to exist around the gap $\Omega$ is preserved by the clustering process.

Figure 3 shows the reduction of the phenomenological significance of the non-global logarithms when we cluster the final state. The figure shows the function $\Sigma(t)$ with only primary emissions and the full all-orders treatment with and without clustering effects. This is done with $\Omega$ defined as a slice in rapidity of size $\Delta \eta = 1.0$. There are several points to note. Firstly the effect of the non-global logarithms is a large suppression of the cross section relative to the primary-only result. The value of $t$ which we can consider the realistic upper limit for next generation experiments is about 0.15 so we shall take $t = 0.15$ as our reference value. We can translate this into an energy scale by using the running coupling definition of $t$, equation 3.5, and obtain $Q \sim 100 GeV$ for $Q_\Omega = 1 GeV$. In this region the inclusion of the non-global effects without clustering increases the suppression relative to the primary-only result by about a factor of 1.65. When we include clustering

\[\text{1The buffer region is defined by the absence of any reconstructed jets within it. It may therefore contain gluons, provided that they get pulled out of the buffer region by the clustering algorithm.}\]
effects, this difference is reduced to about 1.2. Therefore, at all orders, the requirement of clustering on the final state reduces the phenomenological significance of the non-global effects by about 70%. This reduction in magnitude of the effect can be seen to persist to all orders. Hence when calculating cross sections, if we exclude the effect of non-global logarithms then we will overestimate the cross section by 65% for a non-clustered final state and by 20% for a clustered final state. At larger $t$ values, the overestimation increases. For comparison, the typical errors on the H1 gaps-between-jets data is $\sim 30\%$.

Finally, figure 4 shows the same comparison of the full result with and without clustering for a patch of size $\Delta \eta = \Delta \phi = 2.0$. We can see that the conclusions we made for figure 3 apply and the effect of the non-global logarithms is of similar magnitude.

We have also performed calculations to see how the non-global suppression is affected by varying the radius parameter, $R$, of the clustering procedure. By decreasing $R$ the impact of the clustering algorithm is reduced and the effect of the non-global logarithms is restored to the non-clustered case. We expect the magnitude of the non-global suppression to tend to the non-clustered case as $R \to 0$. Similarly, increasing the radius causes more gluons to be included in the clustering and hence the magnitude of the suppression to reduce. In fact, we have found that for $R$ close to 1.5 the full result is almost identical to the primary result.

In summary, non-global logarithms are important not only from the point of view of correctness of the leading logarithm series but also result in significant numerical corrections to cross sections. These corrections are reduced by about a factor of 3 if we cluster the final state. It is clear, therefore, that while the use of the kt algorithm has aided the control of the non-global logarithms, they still have a significant numerical effect at HERA.

5. Conclusions

The observation that observables that are sensitive to radiation in only a restricted part of phase space, so-called non-global observables, are strongly sensitive to secondary radiation is a new and exciting discovery. For a long time it was widely thought, now it seems incorrectly, that it was sufficient to only consider primary emission contributions to such observables. These primary, or Bremsstrahlung, contributions are well understood for a variety of processes. Recent measurements of gaps-between jets events at H1 and ZEUS are exactly the class of observable that are sensitive to these effects and to deal with this fact, a study of non-global logarithms in the context of HERA measurements is required. In this work we consider the non-global logarithms generated from secondary radiation into a restricted region of phase space under the condition of the final state surviving a clustering algorithm. Such kt clustering algorithms have been used for the HERA measurements. In this paper we have extended the study of Dasgupta and Salam and found, for a 2-jet system with clustering imposed, that the clustering process reduced, but did not eliminate, the non-global logarithm effect.

Our main conclusion is that the final state specified in the H1 and ZEUS analysis will mean that a primary emission calculation in the manner of Sterman et al [1, 3] of energy flow observables will overestimate the observed gaps-between-jets rate by around
20%. This is to be contrasted with an overestimation of 65% that would be found for a non-clustered final state. This result was calculated to all orders in the large $N_c$ limit for a 2 jet system. We reserve the extension of these results to the more complex 4 jet case for future work but we expect the gross conclusions of this study to apply.

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Figure 1: $S_2$ as a function of $\Delta \eta$. The clustered curve is obtained using a $kt$ algorithm with a radius parameter of 1.0.

Figure 2: The function $S(t)$ for a slice and a patch of phase space, with the condition of $kt$ clustering imposed on the gluons. These curves were obtained using a Monte Carlo procedure in the large $N_c$ limit. Also shown are the curves for $S(t)$ obtained by exponentiating the fixed order result. The geometry independence of the $t$ dependence indicates that the buffer zone mechanism identified to exist in previous work survives the clustering algorithm.
Figure 3: The phenomenological implications of clustering for the case of $\Omega$ being a slice of rapidity of width 1.0. The reduction of the non-global suppression factor when clustering is included can be seen.

Figure 4: The effect of the clustering of the gluons on the function $S(t)$ for a patch of phase space of size $\Delta \eta = \Delta \phi = 2.0$