ON POWER SERIES EXPANSIONS OF THE S-RESOLVENT OPERATOR AND THE TAYLOR FORMULA

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Abstract. The S-functional calculus is based on the theory of slice hyperholomorphic functions and it defines functions of n-tuples of not necessarily commuting operators or of quaternionic operators. This calculus relies on the notion of S-spectrum and of S-resolvent operator. Since most of the properties that hold for the Riesz-Dunford functional calculus extend to the S-functional calculus it can be considered its non commutative version. In this paper we show that the Taylor formula of the Riesz-Dunford functional calculus can be generalized to the S-functional calculus, the proof is not a trivial extension of the classical case because there are several obstructions due to the non commutativity of the setting in which we work that have to be overcome. To prove the Taylor formula we need to introduce a new series expansion of the S-resolvent operators associated to the sum of two n-tuples of operators. This result is a crucial step in the proof of our main results, but it is also of independent interest because it gives a new series expansion for the S-resolvent operators.

This paper is devoted to researchers working in operator theory and hypercomplex analysis.

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Key words: S-spectrum, S-functional calculus for n-tuples of non commuting operators, new series expansion for the S-resolvent operators, Taylor formula for the S-functional calculus.

1. Introduction

The theory of slice hyperholomorphic functions, introduced in the last decade, turned out to be an important tool in operator theory. We call slice hyperholomorphic functions two classes of hyperholomorphic functions: the slice monogenic and the slice regular ones. Slice monogenic functions are defined on subsets of the Euclidean space \( \mathbb{R}^{n+1} \) and have values in the real Clifford algebra \( \mathbb{R}_n \), while slice regular functions are defined on subsets of the quaternions and are quaternion-valued. These two sets of functions are in the kernel of a suitable Cauchy-Riemann operator and so they constitute the class of hyperholomorphic functions for which the S-functional calculus is defined. This class of functions plays the analogue role of the holomorphic functions for the Riesz-Dunford functional calculus.

Precisely, when the S-functional calculus is based on slice monogenic functions, it defines functions of n-tuples of not necessarily commuting operators, see [4, 11, 13, 18], its commutative version is in [16], while when we consider slice regular functions the S-functional calculus defines functions of quaternionic operators (the quaternionic functional calculus), see [11, 14, 15]. To define the S-functional calculus a new notion of spectrum for n-tuples of operators and for quaternionic operators has been introduced. It is called S-spectrum and it naturally arise from the Cauchy formula of slice hyperholomorphic functions. For a global picture of the function theory and of the S-functional calculus see the book [19].

There exists a continuous functional calculus for quaternionic bounded linear normal operators in a Hilbert space which is based on the notion of S-spectrum and it has been considered in [22]. On the S-spectrum is also based a spectral theorem for quaternionic unitary operators.

Key words and phrases. n-tuples of non commuting operators, quaternionic operators, the Taylor formula for the S-functional calculus S-spectrum, right S-resolvent operator, left S-resolvent operator.
The $S$-resolvent operators which involves, for the spectral theorem of compact quaternionic normal operators see [23]. For the spectral theorem based on the $S$-spectrum for quaternionic bounded or unbounded normal operators see the paper [2]. In this paper we will formulate our results for the case of $n$-tuples of non commuting operators, but what we prove here holds also for the quaternionic functional calculus. Let us recall the Taylor formula for the Riesz-Dunford functional calculus, see [20] p.590 for more details, and then we show its generalization to our setting.

Let $A$ and $N$ be bounded commuting operators on a complex Banach space $X$. Let $f$ be an analytic function on a domain $D \subseteq \mathbb{C}$ that includes the spectrum $\sigma(A)$ of $A$ and every point within a distance of $\sigma(A)$ not greater than some positive number $\varepsilon$. Suppose that the spectrum $\sigma(N)$ of $N$ lies within the open circle of radius $\varepsilon$ about the origin. Then $f$ is analytic on a neighborhood of $\sigma(A + N)$ and the series

$$f(A + N) = \sum_{m=0}^{\infty} \frac{f^{(m)}(A)}{m!} N^m,$$

converges in the uniform operator topology.

One of the main points in the proof of this theorem is the series expansion of the resolvent operator $R(\lambda, A + N) := (\lambda I - A - N)^{-1}$ in terms of the resolvent of $A$ and in powers of $N$. Precisely the series

$$V_\lambda(A, N) := \sum_{m=0}^{\infty} R(\lambda, A)^{m+1} N^m$$

(1.1)

converges uniformly for $\lambda$ in a set whose minimum distance from $\sigma(A)$ is greater than $\varepsilon$, and since $A$ and $N$ commute it is easy to verify that

$$V_\lambda(A, N)(\lambda I - A - N) = (\lambda I - A - N)V_\lambda(A, N) = \mathcal{I},$$

so $V_\lambda(A, N)$ is the required power series expansion for the resolvent operator of $A + N$.

In the case of $n$-tuples of not necessarily commuting operators we will see that the analogue of (1.1) is not so easy to find, but despite this difficulty we are still able to prove the Taylor formula.

Let $T_j : V \rightarrow V$, $j = 0, 1, \ldots, n$ be bounded $\mathbb{R}$-linear operators defined on a real Banach space $V$. Associated with this $(n+1)$-tuple of operators we define the paravector operator $T$ as $T := T_0 + e_1 T_1 + \ldots + T_n$ where $e_j$, $j = 1, \ldots, n$ are the units of the real Clifford algebra $\mathbb{R}_n$. In this paper it will always consider bounded paravector operators.

The $S$-spectrum of $T$ is

$$\sigma_S(T) := \{ s \in \mathbb{R}^{n+1} : T^2 - 2\text{Re}(s)T + |s|^2 \mathcal{I} \text{ is not invertible} \}.$$ 

The $S$-resolvent set is defined as $\rho_S(T) = \mathbb{R}^{n+1} \setminus \sigma_S(T)$, the left $S$-resolvent operator is

$$S_L^{-1}(s, T) := -(T^2 - 2\text{Re}(s)T + |s|^2 \mathcal{I})^{-1}(T - \overline{s}\mathcal{I}), \quad s \in \rho_S(T)$$

and the right $S$-resolvent operator is

$$S_R^{-1}(s, T) := -(T - \overline{s}\mathcal{I})(T^2 - 2\text{Re}(s)T + |s|^2 \mathcal{I})^{-1}, \quad s \in \rho_S(T).$$

In this case the analogue of the power series (1.1) requires a new series expansion for the $S$-resolvent operators which involves, for $n \geq 0$, the operator

$$S_L^{-n}(s, T) := (T^2 - 2s_0 T + |s|^2 \mathcal{I})^{-n}(\overline{s}\mathcal{I} - T)^{*m}, \quad s \in \rho_S(T)$$

where the Newton binomial $(\overline{s}\mathcal{I} - T)^{*m}$ is computed allowing $\overline{s}$ and $T$ to commute in a sense explained in the sequel. When we assume that the bounded paravector operators $T$ and $N$
commute and are such that \( \sigma_S(N) \subset B_\varepsilon(0) \). Then, for any \( s \in \rho_S(T) \) with \( \text{dist}(s, \sigma_S(T)) > \varepsilon \), we obtain the following expansions for the S-resolvent operators:

\[
S^{-1}_L(s, T + N) = \sum_{n=0}^{\infty} N^n S^{-(n+1)}_L(s, T) \quad \text{and} \quad S^{-1}_R(s, T + N) = \sum_{n=0}^{\infty} S^{-(n+1)}_R(s, T) N^n.
\]

Moreover, these series converge uniformly on any set \( C \) with \( \text{dist}(C, \sigma_S(T)) > \varepsilon \).

A crucial fact to obtain these series expansions is to prove the invertibility of the term

\[
(T + N)^2 - 2s_0(T + N) + |s|^2\mathcal{I}
\]

under the required conditions on the operators \( T \) and \( N \).

Precisely, in Theorem 6.3, it is proved that if \( T \) and \( N \) are bounded paravector operators that commute and are such that \( \sigma_S(N) \) is contained in the open ball \( B_\varepsilon(0) \), then, when \( \text{dist}(s, \sigma_S(T)) > \varepsilon \), we have that \( s \in \rho_S(T + N) \) and

\[
\left( (T + N)^2 - 2s_0(T + N) + |s|^2\mathcal{I} \right)^{-1} = \sum_{n=0}^{\infty} \left( \sum_{k=0}^{n} S^{-(k+1)}_L(s, T) \ast \ell S^{-(n-k+1)}_L(\pi, T) \right) N^n,
\]

where the series converges in the operator norm.

With the above results we are able to prove the Taylor formula for the S-functional calculus: under the conditions that \( T, N \in \mathcal{B}^{0,1}(V_n) \) are such that \( T \) and \( N \) commute and \( \sigma_S(N) \subset B_\varepsilon(0) \), given a slice hyperholomorphic function \( f \) defined on some suitable domain \( U \) that contains \( \sigma_S(T) \) and every point \( s \in \mathbb{R}^{n+1} \) with \( \text{dist}(s, \sigma_S(T)) \leq \varepsilon \), we have

\[
f(T + N) = \sum_{n=0}^{\infty} \frac{N^n}{n!} (\partial_s^n f)(T).
\]

An analogous formula holds for right slice hyperholomorphic functions. The Taylor formula can be applied, for example, in the case that \( N \) is a Riesz projector of the S-functional calculus associated with \( T \), because in this case \( TN = NT \).

The \( S \)-resolvent operators defined above are also important in the realization of Schur functions in the slice hyperholomorphic setting, see [1, 3, 5, 6, 7]. For Schur analysis in the classical case see [2, 8].

The plan of the paper is as follows. In Section 2 we recall the main results on slice monogenic functions that are useful in the sequel. Here we also recall the notion of holomorphic maps of a paravector variable which allows us to consider axially symmetric domains that do not necessarily intersect the real line. This is necessary in order to study our problem without restrictions on the set \( C \) such that \( \text{dist}(C, \sigma_S(T)) > \varepsilon \), (see the introduction). In Section 3 we recall the main results on the \( S \)-functional calculus that we will use in the sequel. In Section 4 we give an alternative proof of the invertibility of the operator \( T^2 - 2\Re(s)T + |s|^2\mathcal{I} \) for \( \|T\| < |s| \) using the product of the two power series \( \sum_{n=0}^{\infty} T^n s^{-1-n} \) and \( \sum_{n=0}^{\infty} (\pi^{-1} T)^n \pi^{-1} \) which both converge for \( \|T\| < |s| \). A more direct proof first appeared in [22] in the quaternionic setting. In Section 5 we consider slice hyperholomorphic powers of the \( S \)-resolvent operators that we will use in Section 6 in order to prove the Taylor formula for the \( S \)-functional calculus in Theorem 6.6.

This paper is devoted to researchers working in operators theory and in hypercomplex analysis, for this reason we recall the main results in both research areas in order to make it accessible to both communities.

## 2. Preliminary results in function theory

We advise the reader that in the following the symbol \( n \) is a natural number that indicates the number of imaginary units of the real Clifford algebra \( \mathbb{R}_n \), the dimension of the Euclidean
space $\mathbb{R}^{n+1}$, but it will be used also as an index. The context makes clear the meaning of the symbol. In this section we recall the fundamentals of the theory of slice hyperholomorphic functions, see [19].

Let $\mathbb{R}_n$ be the real Clifford algebra over $n$ imaginary units $e_1, \ldots, e_n$ satisfying the relations $e_ie_j + e_je_i = 0$ for $i \neq j$ and $e_i^2 = -1$ or, equivalently,

$$e_ie_j + e_je_i = -2\delta_{ij}. \quad (2.2)$$

An element in the Clifford algebra will be denoted by $\sum_A e_A x_A$ where $A = \{i_1 \ldots i_r\} \in \mathcal{P}\{1, 2, \ldots, n\}$, $i_1 < \ldots < i_r$ is a multi-index and $e_A = e_{i_1}e_{i_2}\ldots e_{i_r}$, $e_0 = 1$. An element $(x_0, x_1, \ldots, x_n) \in \mathbb{R}^{n+1}$ will be identified with the element $x = x_0 + \bar{x} = x_0 + \sum_{j=1}^{n} x_j e_j \in \mathbb{R}_n$, which is called a paravector. The real part $x_0$ of $x$ will be also denoted by $\text{Re}(x)$. The norm of $x \in \mathbb{R}^{n+1}$ is defined by $|x|^2 = x_0^2 + x_1^2 + \ldots + x_n^2$ and the conjugate of $x$ is defined as $\bar{x} = x_0 - \bar{x} = x_0 - \sum_{j=1}^{n} x_j e_j$. Let

$$S := \{x = e_1x_1 + \ldots + e_nx_n \mid x_1^2 + \ldots + x_n^2 = 1\}.$$ 

For $I \in S$, we obviously have $I^2 = -1$. Given an element $x = x_0 + \bar{x} \in \mathbb{R}^{n+1}$, we set

$$I_x := \left\{ \frac{x}{|x|} \text{ if } \bar{x} \neq 0 \right\} \text{ if any element of } S \text{ if } \bar{x} = 0.$$ 

Then $x = u + I_x v$ with $u = x_0$ and $v = |\bar{x}|$.

For any element $x = u + I_x v \in \mathbb{R}^{n+1}$, the set

$$[x] := \{y \in \mathbb{R}^{n+1} \mid y = u + I_x v, \ I \in S\}$$

is an $(n - 1)$-dimensional sphere in $\mathbb{R}^{n+1}$. The vector space $\mathbb{R} + I\mathbb{R}$ passing through 1 and $I \in S$ will be denoted by $\mathbb{C}_I$ and an element belonging to $\mathbb{C}_I$ will be indicated by $u + Iv$ with $u, v \in \mathbb{R}$.

Since we identify the set of paravectors with the space $\mathbb{R}^{n+1}$, if $U \subseteq \mathbb{R}^{n+1}$ is an open set, a function $f : U \subseteq \mathbb{R}^{n+1} \to \mathbb{R}_n$ can be interpreted as a function of a paravector $x$.

**Definition 2.1 (Slice hyperholomorphic functions).** Let $U \subseteq \mathbb{R}^{n+1}$ be an open set and let $f : U \to \mathbb{R}_n$ be a real differentiable function. Let $I \in S$ and let $f_I$ be the restriction of $f$ to the complex plane $\mathbb{C}_I$.

The function $f$ is said to be left slice hyperholomorphic (or slice monogenic) if, for every $I \in S$, it satisfies

$$\frac{1}{2} \left( \frac{\partial}{\partial u} f_I(u + Iv) + I \frac{\partial}{\partial v} f_I(u + Iv) \right) = 0$$

on $U \cap \mathbb{C}_I$. We denote the set of left slice hyperholomorphic functions on the open set $U$ by $\mathcal{SM}^L(U)$.

The function $f$ is said to be right slice hyperholomorphic (or right slice monogenic) if, for every $I \in S$, it satisfies

$$\frac{1}{2} \left( \frac{\partial}{\partial u} f_I(u + Iv) + \frac{\partial}{\partial v} f_I(u + Iv)I \right) = 0$$

on $U \cap \mathbb{C}_I$. We denote the set of right slice hyperholomorphic functions on the open set $U$ by $\mathcal{SM}^R(U)$.

Any power series of the form $\sum_{n=0}^{\infty} x^n a_n$ with $a_n \in \mathbb{R}_n$, for $\ell \in \mathbb{N}$, is left slice hyperholomorphic and any power series of the form $\sum_{n=0}^{\infty} b_n x^n$ with $b_n \in \mathbb{R}_n$, for $\ell \in \mathbb{N}$, is right slice hyperholomorphic. Conversely, at any real point, any left or right slice hyperholomorphic function allows a power series expansion of the respective form.
**Definition 2.2.** Let $U \subset \mathbb{R}^{n+1}$ be open. The slice derivative of a function $f \in SM^L(U)$ is the function defined by

$$
\partial_s f(u + Iv) := \frac{1}{2} \left( \frac{\partial}{\partial u} f_I(u + Iv) - I \frac{\partial}{\partial v} f_I(u + Iv) \right)
$$
for $u + Iv \in U$.

The slice derivative of a function $f \in SM^R(U)$ is the function defined by

$$
\partial_s f(u + Iv) := \frac{1}{2} \left( \frac{\partial}{\partial u} f_I(u + Iv) - \frac{\partial}{\partial v} f_I(u + Iv)I \right)
$$
for $u + Iv \in U$.

**Lemma 2.3.** Let $U \subset \mathbb{R}^{n+1}$ be open. If $f \in SM^L(U)$, then $\partial_s f \in SM^L(U)$. If $f \in SM^R(U)$, then $\partial_s f \in SM^R(U)$.

**Remark 2.4.** Note that the slice derivative coincides with the partial derivative with respect to the real part since

$$
\partial_s f(u + Iv) = \frac{1}{2} \left( \frac{\partial}{\partial u} f_I(u + Iv) - I \frac{\partial}{\partial v} f_I(u + Iv) \right) =\frac{1}{2} \left( \frac{\partial}{\partial u} f_I(u + Iv) + \frac{\partial}{\partial u} f_I(u + Iv) \right) = \frac{\partial}{\partial u} f_I(u + Iv)
$$
for any left slice hyperholomorphic function. An analogous computation shows the respective identity for right slice hyperholomorphic functions.

We denote by $B_r(y)$ the open ball in $\mathbb{R}^{n+1}$ of radius $r > 0$ and centered at $y \in \mathbb{R}^{n+1}$.

**Lemma 2.5.** Let $y = y_0 \in \mathbb{R}$. A function $f : B_r(y) \subset \mathbb{R}^{n+1} \to \mathbb{R}_n$ is left slice hyperholomorphic if and only if

$$
f(x) = \sum_{n=0}^{\infty} x^n \frac{1}{n!} \partial_s^n f(y).
$$

A function $f : B_r(y) \subset \mathbb{R}^{n+1} \to \mathbb{R}_n$ is right slice hyperholomorphic if and only if

$$
f(x) = \sum_{n=0}^{\infty} \frac{1}{n!} \partial_s^n f(y) x^n.
$$

**Lemma 2.6** (Splitting Lemma). Let $U \subset \mathbb{R}^{n+1}$ be open and let $f : U \to \mathbb{R}_n$ be a left slice hyperholomorphic function. For every $I = I_1 \in S$, let $I_2, \ldots, I_n$ be a completion to a basis of $\mathbb{R}_n$ that satisfies the relation (2.2), that is $I_s I_r + I_r I_s = -2 \delta_{r,s}$. Then there exist $2^{n-1}$ holomorphic functions $F_A : U \cap \mathbb{C}_I \to \mathbb{C}_I$ such that for every $z \in U \cap \mathbb{C}_I$

$$
f_I(z) = \sum_{|A| = 0}^{n-1} F_A(z) I_A
$$
where $I_A = I_{i_1} \cdots I_{i_s}$ for any nonempty subset $A = \{i_1 < \ldots < i_s\}$ of $\{2, \ldots, n\}$ and $I_{\emptyset} = 1$.

Similarly, if $g : U \to \mathbb{H}$ is right slice hyperholomorphic, then there exist $2^{n-1}$ holomorphic functions $G_A : U \cap \mathbb{C}_I \to \mathbb{C}_I$ such that for every $z \in U \cap \mathbb{C}_I$

$$
g_I(z) = \sum_{|A| = 0}^{n-1} I_A G_A(z).
$$

Slice hyperholomorphic functions possess good properties when they are defined on suitable domains which are introduced in the following definition.
Definition 2.7 (Axially symmetric slice domain). Let $U$ be a domain in $\mathbb{R}^{n+1}$. We say that $U$ is a slice domain (s-domain for short) if $U \cap \mathbb{R}$ is nonempty and if $U \cap \mathbb{C}_I$ is a domain in $\mathbb{C}_I$ for all $I \in \mathbb{S}$. We say that $U$ is axially symmetric if, for all $x \in U$, the $(n-1)$-sphere $[x]$ is contained in $U$.

Theorem 2.8 (The Cauchy formula with slice hyperholomorphic kernel). Let $U \subset \mathbb{R}^{n+1}$ be an axially symmetric s-domain. Suppose that $\partial(U \cap \mathbb{C}_I)$ is a finite union of continuously differentiable Jordan curves for every $I \in \mathbb{S}$ and set $ds_I = -ds_I$ for $I \in \mathbb{S}$.

- If $f$ is a (left) slice hyperholomorphic function on a set that contains $\overline{U}$ then
  \begin{equation}
  f(x) = \frac{1}{2\pi} \int_{\partial(U \cap \mathbb{C}_I)} S_L^{-1}(s,x) ds_I f(s) \tag{2.3}
  \end{equation}

  where
  \begin{equation}
  S_L^{-1}(s,x) := -(x^2 - 2\text{Re}(s)x + |s|^2)^{-1}(x - \overline{s}). \tag{2.4}
  \end{equation}

- If $f$ is a right slice hyperholomorphic function on a set that contains $\overline{U}$, then
  \begin{equation}
  f(x) = \frac{1}{2\pi} \int_{\partial(U \cap \mathbb{C}_I)} f(s) ds_I S_R^{-1}(s,x) \tag{2.5}
  \end{equation}

  where
  \begin{equation}
  S_R^{-1}(s,x) := -(x - \overline{s})(x^2 - 2\text{Re}(s)x + |s|^2)^{-1}. \tag{2.6}
  \end{equation}

The integrals depend neither on $U$ nor on the imaginary unit $I \in \mathbb{S}$.

The above Cauchy formulas are the starting point to define the S-functional calculus. A crucial fact about slice hyperholomorphic functions is the following Representation Formula (also called Structure Formula).

Theorem 2.9 (Representation Formula). Let $U \subset \mathbb{H}$ be an axially symmetric s-domain and let $I \in \mathbb{S}$.

- If $f$ is a left slice hyperholomorphic function on $U$, then the following identity holds true for all $x = u + Jv \in U$:
  \begin{equation}
  f(u + Jv) = \frac{1}{2} \left[ f(u + Iv) + f(u - Iv) \right] + J \frac{1}{2} \left[ I[f(u - Iv) - f(u + Iv)] \right]. \tag{2.7}
  \end{equation}

  Moreover, for all $u, v \in \mathbb{R}$ with $u + Iv \in U$, the quantities
  \begin{equation}
  \alpha(u,v) := \frac{1}{2} \left[ f(u + Iv) + f(u - Iv) \right] \quad \text{and} \quad \beta(u,v) := \frac{1}{2} \left[ I[f(u - Iv) - f(u + Iv)] \right] \tag{2.8}
  \end{equation}

  are independent of the imaginary unit $I \in \mathbb{S}$.

- If $f$ is a right slice hyperholomorphic function on $U$, then the following identity holds true for all $x = u + Jv \in U$:
  \begin{equation}
  f(u + Jv) = \frac{1}{2} \left[ f(u + Iv) + f(u - Iv) \right] + \frac{1}{2} \left[ I[f(u - Iv) - f(u + Iv)] \right] J. \tag{2.9}
  \end{equation}

  Moreover, for all $u, v \in \mathbb{R}$ with $u + Iv \in U$, the quantities
  \begin{equation}
  \alpha(u,v) := \frac{1}{2} \left[ f(u + Iv) + f(u - Iv) \right] \quad \text{and} \quad \beta(u,v) := \frac{1}{2} \left[ I[f(u - Iv) - f(u + Iv)] \right] \tag{2.10}
  \end{equation}

  are independent of the imaginary unit $I \in \mathbb{S}$.

Lemma 2.10 (Extension Lemma). Let $I \in \mathbb{S}$ and let $U$ be a domain in $\mathbb{C}_I$ that is symmetric with respect to the real axis. Then the set
  \[ [U] = \{u + Jv : u + Iv \in U, J \in \mathbb{S} \} \]

is an axially symmetric s-domain.
Any function \( f : U \to \mathbb{H} \) that satisfies \( \frac{\partial}{\partial \theta} f(u + iv) + I \frac{\partial}{\partial \nu} f(u + iv) = 0 \) has a unique left slice hyperholomorphic extension \( \text{ext}_L(f) \) to \([U]\), which is determined by

\[
\text{ext}_L(f)(u + Jv) = \frac{1}{2} \left[ f(u + Iv) + f(u - Iv) \right] + J \frac{1}{2} \left[ I \left[ f(u - Iv) - f(u + Iv) \right] \right].
\]

Any function \( g : U \to \mathbb{H} \) that satisfies \( \frac{\partial}{\partial \theta} f(u + iv) + \frac{\partial}{\partial \nu} f(u + iv)I = 0 \) has a unique right slice hyperholomorphic extension \( \text{ext}_R(f) \) to \([U]\), which is determined by

\[
\text{ext}_R(f)(u + Jv) = \frac{1}{2} \left[ f(u + Iv) + f(u - Iv) \right] + \frac{1}{2} \left[ \left[ f(u - Iv) - f(u + Iv) \right] I \right].
\]

The product of two slice hyperholomorphic functions is in general not slice hyperholomorphic. We introduce now a regularized product that preserves the slice hyperholomorphicity.

**Definition 2.11.** Let \( f \) and \( g \) be left slice hyperholomorphic functions on an axially symmetric slice domain. Let \( I \in \mathbb{S} \) and let \( f_I(z) = \sum_{|A| = 0}^n F_A(z) I_A I_B \) and \( g_I(z) = \sum_{|B| = 0}^n G_B(z) I_B \) as in Lemma 2.6. We set

\[
f_I \star g_I(z) := \sum_{|A| \text{ even, } B} (-1)^{|A|} F_A(z) G_B(z) I_A I_B + \sum_{|A| \text{ odd, } B} (-1)^{|A| + 1} F_A(z) G_B(z) I_A I_B
\]

for \( z \in U \cap \mathbb{C}_I \) and we define the left slice hyperholomorphic product of \( f \) and \( g \) as

\[
f \star g := \text{ext}_L(f_I \star g_I).
\]

For two right slice hyperholomorphic functions \( f \) and \( g \), we write \( f_I(z) = \sum_{|A| = 0}^n I_A F_A(z) \) and \( g_I(z) = \sum_{|B| = 0}^n I_B G_B(z) \) as in Lemma 2.6 and set

\[
f_I \star^* g_I(z) := \sum_{A, |B| \text{ even}} (-1)^{|B|} I_A I_B F_A(z) G_B(z) + \sum_{A, |B| \text{ odd}} (-1)^{|B| + 1} I_A I_B F_A(z) G_B(z)
\]

for \( z \in U \cap \mathbb{C}_I \) and we define their right slice hyperholomorphic product as

\[
f \star^* g := \text{ext}_R(f_I \star^* g_I).
\]

**Remark 2.12.** Let \( f, g \in \mathcal{S}\mathcal{M}^L(U) \) and let \( I \in \mathbb{S} \). If \( f_I(U \cap \mathbb{C}_I) \subset \mathbb{C}_I \), then \( F_A = 0 \) if \( |A| > 0 \). Hence, \((f_I \star g_I)(x) = f_I(x) g_I(x)\) for any \( x \in U \cap \mathbb{C}_I \). However, note that this does not necessarily imply \((f \star g)(x) = f(x) g(x)\) for any \( x \in U \cap \mathbb{C}_I \) because, in general, \( \text{ext}_L(f_I g_I) \neq f g \).

Similarly, if \( f, g \in \mathcal{S}\mathcal{M}^R(U) \) and \( g_I(U \cap \mathbb{C}_I) \subset \mathbb{C}_I \), then \((f \star^* g)(x) = f(x) g(x)\) for any \( x \in U \cap \mathbb{C}_I \).

**Lemma 2.13.** Let \( U \subset \mathbb{R}^{n+1} \) be an axially symmetric slice domain.

(i) If \( f, g \in \mathcal{S}\mathcal{M}^L(U) \), then \( f \star g \) is left slice hyperholomorphic and does not depend on the imaginary unit \( I \) used in its definition. If \( f, g \in \mathcal{S}\mathcal{M}^R(U) \), then \( f \star^* g \) is right slice hyperholomorphic and does not depend on the imaginary unit \( I \) used in its definition.

(ii) The left and right slice hyperholomorphic product are associative and distributive over the pointwise addition.

(iii) For two left slice hyperholomorphic power series \( f(x) = \sum_{n=0}^\infty x^n a_n \) and \( g(x) = \sum_{n=0}^\infty x^n b_n \), we have

\[
f \star g(x) = \sum_{n=0}^\infty x^n \left( \sum_{k=0}^n a_k b_{n-k} \right). \tag{2.11}
\]

For two right slice hyperholomorphic power series \( f(x) = \sum_{n=0}^\infty a_n x^n \) and \( g(x) = \sum_{n=0}^\infty b_n x^n \), we have

\[
f \star^* g(x) = \sum_{n=0}^\infty \left( \sum_{k=0}^n a_k b_{n-k} \right) x^n. \tag{2.12}
\]
Definition 2.14. Let $U \subset \mathbb{R}^{n+1}$ be an axially symmetric s-domain and let $I \in \mathbb{S}$. For $f \in SM^L(U)$, we write $f_I(z) = \sum_{|A|=0}^{n-1} F_A(z) I_A$ as in Lemma 2.6 and define
\[
 f^c_I(z) := \sum_{|A|=0}^{n-1} F_A(\overline{z}) I_A - \sum_{|A|=1}^{n-1} F_A(z) I_A - \sum_{|A|=2}^{n-1} F_A(\overline{z}) I_A + \sum_{|A|=3}^{n-1} F_A(z) I_A,
\]
where the equivalence $\equiv$ is intended as $\equiv \pmod{4}$, i.e., the congruence modulo 4. We define the left slice hyperholomorphic conjugate of $f$ as
\[
 f^c := \text{ext}_L(f^c_I)
\]
and we define the left slice hyperholomorphic inverse of $f$ as
\[
 f^{-\star_L} := (f^c \ast f)^{-1} f^c.
\]
For $g \in SM^R(U)$, we write $g_I(z) = \sum_{|A|=0}^{n-1} I_A G_A(z)$ as in Lemma 2.6 and define
\[
 g^c_I(z) := \sum_{|A|=0}^{n-1} I_A G_A(\overline{z}) - \sum_{|A|=1}^{n-1} I_A G_A(z) - \sum_{|A|=2}^{n-1} I_A G_A(\overline{z}) + \sum_{|A|=3}^{n-1} I_A G_A(z).
\]
We define the right slice hyperholomorphic conjugate of $g$ as
\[
 g^c := \text{ext}_R(g^c_I)
\]
and we define the right slice hyperholomorphic inverse of $g$ as
\[
 g^{-\star_R} := g^c(g^c \ast g)^{-1}.
\]

Lemma 2.15. Let $U$ be an axially symmetric s-domain.

(i) If $f \in SM^L(U)$, then $f^c$ is left slice hyperholomorphic on $U$ and does not depend on the imaginary unit used in its definition. If $g \in SM^R(U)$, then $g^c$ is right slice hyperholomorphic on $U$ and does not depend on the imaginary unit used in its definition.

(ii) If $f \in SM^L(U)$ then $f^{-\star_L}$ is left slice hyperholomorphic on the set $U \setminus [Z(f)]$, where $Z(f) = \{x \in U : f(x) = 0\}$ and $[Z(f)] = \bigcup_{x \in Z(f)} [x]$. Moreover,
\[
 f^{-\star_L} f = f \ast f^{-\star_L} = 1.
\]
If $g \in SM^R(U)$ then $g^{-\star_R}$ is left slice hyperholomorphic on the set $U \setminus [Z(g)]$ and
\[
 g^{-\star_R} g = g \ast g^{-\star_R} = 1.
\]

Definition 2.16. Let $U \subset \mathbb{R}^{n+1}$ be open. We define
\[
 N(U) := \{ f \in SM^L(U) : f(U \cap \mathbb{C}_I) \subset \mathbb{C}_I \quad \forall I \in \mathbb{S} \}.
\]
The class $N(U)$ plays a privileged role within the set of left slice hyperholomorphic functions. It contains all power series with real coefficients and any function that belongs to it is both left and right slice hyperholomorphic.

Lemma 2.17. Let $U$ be an axially symmetric slice domain.

(i) If $f \in N(U)$, $g \in SM^L(U)$ and $h \in SM^R(U)$, then
\[
 f(x) g(x) = (f \ast_L g)(x) = (g \ast_L f)(x) \quad \text{and} \quad h(x) f(x) = (h \ast_R f)(x) = (f \ast_R h)(x).
\]
(ii) If $f \in N(U)$, then $f^c = f$ and $f^{-\star_L} = f^{-\star_R} = f^{-1}$.
(iii) If $f \in SM^L(U)$, then $f \ast_L f^c \in N(U)$. If $f \in SM^R(U)$, then $f \ast_R f^c \in N(U)$.

Example 2.18. Let $s \in \mathbb{R}^{n+1}$. We consider the function $f(x) = s - x$, which is both left and right slice hyperholomorphic. Then $f^c(x) = s - \overline{x} = \overline{s} - x$, and in turn $(f \ast_L f^c)(x) = x^2 - 2(s + \overline{s})x + s\overline{s} = x^2 - 2s_0 x + |s|^2$ by (iii) in Lemma 2.13. This function belongs to $N(\mathbb{R}^{n+1})$. 
because its coefficients are real, and hence \( x \in \mathbb{C} \) implies \( f^* f^c(x) \in \mathbb{C} \). Moreover, the left slice hyperholomorphic inverse of \( f \) is
\[
(s - x)^{-*t} = (x^2 - 2s_0x + |s|^2)^{-1}(\overline{s} - x) = S^{-1}_L(s, x).
\]
Similarly, the right slice hyperholomorphic inverse of \( f \) is
\[
(s - x)^{-*r} = (\overline{s} - x)(x^2 - 2s_0x + |s|^2)^{-1} = S^{-1}_R(s, x).
\]
The S-functional calculus was constructed using the notion of slice hyperholomorphic functions given in Definition 2.1. The most important properties of slice hyperholomorphic functions are based on the Representation Formula or Structure Formula which is not easy to deduce from this definition. Such formula is deduced using the hypotheses that the domain on which \( f \) is defined is axially symmetric and it is an s-domain. From the representation formula it follow that a slice hyperholomorphic function is of the form
\[
f(s, x) = \sum_{n=0}^{\infty} \alpha_n(s) x^n,
\]
with \( \alpha_n(s) \in \mathbb{R} \) and such that
\[
\|f\| = \sup_{x \in \mathbb{R}} |f(x)| < \infty.
\]
For the purpose of this paper, in order to remove any restriction we need domains that are axially symmetric only so the slice hyperholomorphicity is intended in this second definition. For more comments on the various definitions of slice hyperholomorphic functions see the paper [12].

3. The S-functional calculus of non commuting operators

For the results in this section see the book [19] and [11]. In the sequel, we will consider a Banach space \( V \) over \( \mathbb{R} \) with norm \( \| \cdot \| \). It is possible to endow \( V \) with an operation of multiplication by elements of \( \mathbb{R}_n \) which gives a two-sided module over \( \mathbb{R}_n \). A two-sided module \( V \) over \( \mathbb{R}_n \) is called a Banach module over \( \mathbb{R}_n \), if there exists a constant \( C \geq 1 \) such that
\[
\|va\| \leq C\|v\||a| \quad \text{and} \quad \|av\| \leq C|a|\|v\| \quad \text{for all} \quad v \in V \quad \text{and} \quad a \in \mathbb{R}_n.
\]
By \( V_n \) we denote \( V \otimes \mathbb{R}_n \) over \( \mathbb{R}_n \); \( V_n \) turns out to be a two-sided Banach module.

An element in \( V_n \) is of the type \( \sum_A v_A \otimes e_A \) (where \( A = i_1 \ldots i_r, i_\ell \in \{1, 2, \ldots, n\}, i_1 < \ldots < i_r \)
is a multi-index). The multiplications of an element $v \in V_n$ with a scalar $a \in \mathbb{R}_n$ are defined by $va = \sum_A v_A \otimes (e_A a)$ and $av = \sum_A v_A \otimes (ae_A)$. For simplicity, we will write $\sum_A v_A e_A$ instead of $\sum_A v_A \otimes e_A$. Finally, we define $\|v\|_{V_n} = \sum_A \|v_A\|^2_{V}$.

We denote by $\mathcal{B}(V)$ the space of bounded $\mathbb{R}$-homomorphisms of the Banach space $V$ to itself endowed with the natural norm denoted by $\|\cdot\|_{\mathcal{B}(V)}$. Given $T_A \in \mathcal{B}(V)$, we can introduce the operator $T = \sum_A T_A e_A$ and its action on $v = \sum v_{BE} B \in V_n$ as $T(v) = \sum_{A,B} T_A(v_B)e_{AEB}$. The operator $T$ is a right-module homomorphism which is a bounded linear map on $V_n$.

In the sequel, we will consider operators of the form $T = T_0 + \sum_{j=0}^n e_j T_j$ where $T_j \in \mathcal{B}(V)$ for $j = 0, 1, \ldots, n$. The subset of such operators in $\mathcal{B}(V_n)$ will be denoted by $\mathcal{B}^{0,1}(V_n)$. We define $\|T\|_{\mathcal{B}^{0,1}(V_n)} = \sum \|T_j\|_{\mathcal{B}(V)}$. Note that, in the sequel, we will omit the subscript $\mathcal{B}^{0,1}(V_n)$ in the norm of an operator. Note also that $\|TS\| \leq \|T\|\|S\|$.

**Theorem 3.1.** Let $T \in \mathcal{B}^{0,1}(V_n)$ and let $s \in \mathbb{R}^{n+1}$. Then, for $\|T\| < |s|$, we have

$$\sum_{n=0}^{\infty} T^n s^{-1-n} = -(T^2 - 2\text{Re}(s)T + |s|^2I)^{-1}(T - \pi I), \quad (3.15)$$

$$\sum_{n=0}^{\infty} s^{-1-n} T^n = -(T - \pi I)(T^2 - 2\text{Re}(s)T + |s|^2I)^{-1}. \quad (3.16)$$

We observe that the fact that these formulas hold is independent of whether the components of the paravector operator $T$ commute or not. Moreover, the operators on the right-hand sides of (3.15) and (3.16) are defined on a subset of $\mathbb{R}^{n+1}$ that is larger than $\{s \in \mathbb{R}^{n+1} : \|T\| < |s|\}$. This fact suggests the definition of the S-spectrum, of the S-resolvent set and of the $S^*$-resolvent operators.

**Definition 3.2 (The S-spectrum and the S-resolvent set).** Let $T \in \mathcal{B}^{0,1}(V_n)$. We define the S-spectrum $\sigma_S(T)$ of $T$ as

$$\sigma_S(T) := \{s \in \mathbb{R}^{n+1} : T^2 - 2\text{Re}(s)T + |s|^2I \text{ is not invertible}\}.$$ 

The S-resolvent set $\rho_S(T)$ is defined as

$$\rho_S(T) := \mathbb{R}^{n+1} \setminus \sigma_S(T).$$

**Definition 3.3 (The S-resolvent operators).** For $T \in \mathcal{B}^{0,1}(V_n)$ and $s \in \rho_S(T)$, we define the left S-resolvent operator as

$$S_L^{-1}(s, T) := -(T^2 - 2\text{Re}(s)T + |s|^2I)^{-1}(T - \pi I), \quad (3.17)$$

and the right S-resolvent operator as

$$S_R^{-1}(s, T) := -(T - \pi I)(T^2 - 2\text{Re}(s)T + |s|^2I)^{-1}. \quad (3.18)$$

The operators $S_L^{-1}(s, T)$ and $S_R^{-1}(s, T)$ satisfy the equations below, see [11].

**Theorem 3.4.** Let $T \in \mathcal{B}^{0,1}(V_n)$ and let $s, p \in \rho_S(T)$. Then we have

$$S_R^{-1}(s, T)S_L^{-1}(p, T) = \left[(S_R^{-1}(s, T) - S_L^{-1}(s, T))p - \pi(S_R^{-1}(s, T) - S_L^{-1}(s, T))|p|^2 - 2sp + |p|^2)^{-1}\right]. \quad (3.19)$$

Moreover, the resolvent equation can also be written as

$$S_R^{-1}(s, T)S_L^{-1}(p, T) = (s^2 - 2sp + |p|^2)^{-1}[s(S_R^{-1}(s, T) - S_L^{-1}(s, T)) - (S_R^{-1}(s, T) - S_L^{-1}(s, T))\pi]. \quad (3.20)$$

Here we recall the formulations of the S-functional calculus. We first recall two important properties of the S-spectrum.

**Theorem 3.5 (Structure of the S-spectrum).** Let $T \in \mathcal{B}^{0,1}(V_n)$ and suppose that $p = p_0 + \overline{p}$ belongs $\sigma_S(T)$ with $\overline{p} \neq 0$. Then all the elements of the $(n-1)$-sphere $[p]$ belong to $\sigma_S(T)$. 

This result implies that if \( p \in \sigma_S(T) \) then either \( p \) is a real point or the whole \((n-1)\)-sphere \([p]\) belongs to \( \sigma_S(T) \).

**Theorem 3.6** (Compactness of the S-spectrum). Let \( T \in \mathcal{B}^{0,1}(V_n) \). Then \( \sigma_S(T) \) is a compact nonempty set that is contained in the closed ball \( \{ s \in \mathbb{R}^{n+1} : |s| \leq ||T|| \} \).

**Definition 3.7.** Let \( V_n \) be a two-sided Banach module, let \( T \in \mathcal{B}^{0,1}(V_n) \) and let \( U \subset \mathbb{R}^{n+1} \) be an axially symmetric \( s \)-domain that contains the \( \sigma \)-spectrum \( \sigma_S(T) \) such that \( \partial(U \cap \mathcal{C}_I) \) is the union of a finite number of continuously differentiable Jordan curves for every \( I \in \mathbb{S} \). In this case we say that \( U \) is a \( T \)-admissible domain.

We can now introduce the class of functions for which we can define the two versions of the \( \sigma \)-functional calculus.

**Definition 3.8.** Let \( V_n \) be a two-sided Banach module and let \( T \in \mathcal{B}^{0,1}(V_n) \).

(i) A function \( f \) is said to be locally left slice hyperholomorphic on \( \sigma_S(T) \) if there exists a \( T \)-admissible domain \( U \subset \mathbb{R}^{n+1} \) such that \( f \) is left slice hyperholomorphic on some open set \( W \) with \( \overline{U} \subset W \). We denote by \( \mathcal{SM}_L^\sigma(T) \) the set of locally left slice hyperholomorphic functions on \( \sigma_S(T) \).

(ii) A function \( f \) is said to be locally right slice hyperholomorphic on \( \sigma_S(T) \) if there exists a \( T \)-admissible domain \( U \subset \mathbb{R}^{n+1} \) such that \( f \) is right slice hyperholomorphic on some open set \( W \) with \( \overline{U} \subset W \). We denote by \( \mathcal{SM}_R^\sigma(T) \) the set of locally right slice hyperholomorphic functions on \( \sigma_S(T) \).

(iii) We define the class \( \mathcal{N}_{\sigma_S(T)} \) as the set of all locally left slice hyperholomorphic functions on \( \sigma_S(T) \) that satisfy \( f(U \cap \mathcal{C}_I) \subset \mathcal{C}_I, I \in \mathbb{S} \) for some axially symmetric slice domain \( U \) with \( \sigma_S(T) \subset U \).

**Definition 3.9** (The \( \sigma \)-functional calculus). Let \( V_n \) be a two-sided Banach module and \( T \in \mathcal{B}^{0,1}(V_n) \). Let \( U \subset \mathbb{R}^{n+1} \) be a \( T \)-admissible domain and set \( ds_I = -dsI \). We define

\[
f(T) = \frac{1}{2\pi} \int_{\partial(U \cap \mathcal{C}_I)} S_L^{-1}(s, T) \, ds_I \, f(s), \quad \text{for } f \in \mathcal{SM}_L^\sigma(T),
\]

and

\[
f(T) = \frac{1}{2\pi} \int_{\partial(U \cap \mathcal{C}_I)} f(s) \, ds_I \, S_R^{-1}(s, T), \quad \text{for } f \in \mathcal{SM}_R^\sigma(T).
\]

**Lemma 3.10.** Let \( V_n \) be a two-sided Banach module and \( T \in \mathcal{B}^{0,1}(V_n) \).

(i) Let \( f, g \in \mathcal{SM}_L^\sigma(T) \) and let \( a \in \mathbb{H} \). Then \( (fa + g)(T) = f(T)a + g(T) \). Moreover, if \( f \in \mathcal{N}_{\sigma_S(T)} \), then \( (fg)(T) = f(T)g(T) \).

(ii) Let \( f, g \in \mathcal{SM}_R^\sigma(T) \) and let \( a \in \mathbb{H} \). Then \( (af + g)(T) = af(T) + g(T) \). Moreover, if \( g \in \mathcal{N}_{\sigma_S(T)} \), then \( (fg)(T) = f(T)g(T) \).

(iii) Let \( f_n, f \in \mathcal{SM}_L^\sigma(T) \) or \( f_n, f \in \mathcal{SM}_R^\sigma(T) \). If there exists a \( T \)-admissible domain \( U \) such that \( f_n \to f \) uniformly on \( \overline{U} \), then \( f_n(T) \to f(T) \) in the operator norm.

4. **Another proof of the invertibility of** \( T^2 - \text{Re}(s)T + |s|^2I \) **for** \( ||T|| < |s| \)**

To determine the closed form of the noncommutative Cauchy kernel series as in Theorem 3.1.3 of [19], traditionally, one shows the identity

\[
(T^2 - 2\text{Re}(s)T + |s|^2I) \sum_{n \geq 0} T^n s^{-n} = \pi I - T.
\]
For $\|T\| < |s|$, the invertibility of $\overline{sI} - T$ implies,

$\sum_{n=0}^{\infty} T^n s^{n-1} (\overline{sI} - T)^{-1} (T^2 - 2\text{Re}(s)T + |s|^2 I) \sum_{n=0}^{\infty} T^n s^{-n} = I.$

The following computations show explicitly that the identity

$$\sum_{n=0}^{\infty} T^n s^{n-1} (\overline{sI} - T)^{-1} (T^2 - 2\text{Re}(s)T + |s|^2 I) = I \quad (4.23)$$

also holds true for $\|T\| < |s|$. Recall that by Theorem 4.1.6 in [19], we have:

$$(\overline{sI} - T)^{-1} = \sum_{n=0}^{\infty} (\overline{s}^{-1}T)^n \overline{s}^{-1} \text{ for } \|T\| < |s|.$$ 

Therefore

$$\sum_{n=0}^{\infty} T^n s^{n-1} (\overline{sI} - T)^{-1} (T^2 - 2\text{Re}(s)T + |s|^2 I)$$

$$= \left( \sum_{n=0}^{\infty} T^n s^{n-1} \right) \left( \sum_{n=0}^{\infty} \overline{s}^{-1} (T \overline{s}^{-1})^n \right) (T^2 - 2\text{Re}(s)T + |s|^2 I)$$

$$= \sum_{n=0}^{\infty} \sum_{k=0}^{n} T^k s^{-k} (T \overline{s}^{-1})^n (T^2 - 2\text{Re}(s)T + |s|^2 I)$$

where the last equality follows from $s^{-1} \overline{s}^{-1} = 1/|s|^2$. To simplify this sum, we need two auxiliary lemmas.

**Lemma 4.1.** Let $T \in B^{0,1}(V_n)$. For any $s \in \mathbb{H}$, we have

$$\sum_{k=0}^{n} T^k s^{-k} (T \overline{s}^{-1})^{n-k} = T^n \sum_{k=0}^{n} s^{-k} \overline{s}^{-n+k}. \quad (4.24)$$

**Proof.** For $n = 0$ the identity (4.24) is trivial. If we assume that it holds true for $n-1$, then

$$\sum_{k=0}^{n-1} T^k s^{-k} (T \overline{s}^{-1})^{n-k} = \left( \sum_{k=0}^{n-1} T^k s^{-k} (T \overline{s}^{-1})^{n-1-k} \right) T \overline{s}^{-1} + T^n s^{-n}$$

$$= \left( T^{n-1} \sum_{k=0}^{n-1} s^{-k} \overline{s}^{-(n-1)-k} \right) T \overline{s}^{-1} + T^n s^{-n}$$

$$= T^n \sum_{k=0}^{n-1} s^{-k} \overline{s}^{-(n-1)+k} + T^n s^{-n}$$

$$= T^n \sum_{k=0}^{n} s^{-k} \overline{s}^{-n+k},$$

where we used that $\sum_{k=0}^{n-1} s^{-k} \overline{s}^{-(n-1)+k}$ is real and therefore commutes with $T$ because

$$\sum_{k=0}^{n-1} s^{-k} \overline{s}^{-(n-1)+k} = \sum_{k=0}^{n-1} s^{-(n-1)+k} \overline{s}^{k} = \sum_{k=0}^{n-1} s^{-k} \overline{s}^{-(n-1)+k}.$$
Lemma 4.2. Let $T \in \mathcal{B}^0(\mathbb{V}_n)$ and $s \in \mathbb{H}$. If we define

$$S(m) = \sum_{n=0}^{m} \sum_{k=0}^{n} T^n s^{-k}(T s^{-1})^{n-k} \left( \frac{1}{|s|^2} T^2 - (s^{-1} + \bar{s}^{-1})T + I \right)$$

(4.25)

for $m \in \mathbb{N}_0$, then

$$S(m) = I - T^{m+1} \sum_{k=0}^{m+1} s^{-k}s^{-(m+1)+k} + T^{m+2} \frac{1}{|s|^2} \sum_{k=0}^{m} s^{-k}s^{-m+k}. $$

(4.26)

Proof. From Lemma 4.1, we deduce

$$S(m) = \sum_{n=0}^{m} \sum_{k=0}^{n} T^n s^{-k}(T s^{-1})^{n-k} \left( \frac{1}{|s|^2} T^2 - (s^{-1} + \bar{s}^{-1})T + I \right)$$

$$= \sum_{n=0}^{m} T^n \sum_{k=0}^{n} s^{-k}s^{-n+k} \left( \frac{1}{|s|^2} T^2 - (s^{-1} + \bar{s}^{-1})T + I \right).$$

Since $\sum_{k=0}^{n} s^{-k}s^{-n+k}$ is real, it commutes with $T$. Together with the identity $1/|s|^2 = s^{-1}\bar{s}^{-1}$, this implies

$$S(m) = \sum_{n=0}^{m} T^{n+2} \frac{1}{|s|^2} \sum_{k=0}^{n} s^{-k}s^{-n+k} - \sum_{n=0}^{m} T^n (s^{-1} + \bar{s}^{-1}) \sum_{k=0}^{n} s^{-k}s^{-n+k} + \sum_{n=0}^{m} T^n \sum_{k=0}^{n} s^{-k}s^{-n+k}$$

$$= \sum_{n=0}^{m} T^{n+2} \sum_{k=0}^{n} s^{-(k+1)s^{-(n+1)+k}} - \sum_{n=0}^{m} T^{n+1} \sum_{k=0}^{n} s^{-(k+1)s^{-n+k}}$$

$$- \sum_{n=0}^{m} T^{n+1} \sum_{k=0}^{n} s^{-k}s^{-(n+1)+k} + \sum_{n=0}^{m} T^n \sum_{k=0}^{n} s^{-k}s^{-n+k}.$$

By shifting the index $n$ and then the index $k$, we obtain

$$S(m) = \sum_{n=2}^{m+2} T^n \sum_{k=0}^{n-2} s^{-(k+1)s^{-(n-1)+k}} - \sum_{n=1}^{m+1} T^n \sum_{k=0}^{n-1} s^{-(k+2)s^{-(n-1)+k}}$$

$$- \sum_{n=1}^{m+1} T^n \sum_{k=0}^{n-1} s^{-k}s^{-n+k} + \sum_{n=0}^{m} T^n \sum_{k=0}^{n} s^{-k}s^{-n+k}$$

$$= \sum_{n=2}^{m+2} T^n \sum_{k=0}^{n-1} s^{-k}s^{-n+k} - \sum_{n=1}^{m+1} T^n \sum_{k=0}^{n} s^{-k}s^{-n+k}$$

$$- \sum_{n=1}^{m+1} T^n \sum_{k=0}^{n} s^{-k}s^{-n+k} + \sum_{n=0}^{m} T^n \sum_{k=0}^{n} s^{-k}s^{-n+k}.$$
Adding the terms with index \( k = n \) of the second sum to the third sum yields
\[
S(m) = \sum_{n=2}^{m+1} T^n \sum_{k=1}^{n-1} s^{-k} s^{-n+k} - \sum_{n=2}^{m+1} T^n \sum_{k=1}^{n-1} s^{-k} s^{-n+k}
- \sum_{n=1}^{m+1} T^n \sum_{k=0}^{n} s^{-k} s^{-n+k} + \sum_{n=0}^{m} T^n \sum_{k=0}^{n} s^{-k} s^{-n+k}
\]
\[
= T^{m+1} \sum_{k=1}^{m+1} s^{-k} s^{-(m+2)+k} - T^{m+1} \sum_{k=0}^{m+1} s^{-k} s^{-(m+1)+k} + I
\]
\[
= T^{m+1} \frac{1}{|s|^2} \sum_{k=0}^{m} s^{-k} s^{m+k} - T^{m+1} \sum_{k=0}^{m+1} s^{-k} s^{-(m+1)+k} + I.
\]

\[\square\]

**Lemma 4.3.** Let \( T \in \mathcal{BL}(V_n) \) and \( s \in \mathbb{H} \) with \( \|T\| < |s| \). Then the identity (4.23) holds true, that is,
\[
\left( \sum_{n=0}^{\infty} T^n s^{-n-1} \right) (sI - T)^{-1} (T^2 - 2\text{Re}(s)T + |s|^2I) = I.
\]

**Proof.** The considerations before Lemma 4.1 showed
\[
\left( \sum_{n=0}^{\infty} T^n s^{-n-1} \right) (sI - T)^{-1} (T^2 - 2\text{Re}(s)T + |s|^2I)
= \sum_{n=0}^{\infty} \sum_{k=0}^{n} T^k s^{-k} (Ts^{-1})^{n-k} \left( \frac{1}{|s|^2} T^2 - (s^{-1} + \bar{s}^{-1})T + I \right).
\]

Lemma 4.2 implies
\[
\left\| \left( \sum_{n=0}^{\infty} T^n s^{-n-1} \right) (sI - T)^{-1} (T^2 - 2\text{Re}(s)T + |s|^2I) - I \right\|
= \lim_{m \to \infty} \|S(m) - I\|
= \lim_{m \to \infty} \left\| -T^{m+1} \sum_{k=0}^{m+1} s^{-k} s^{-(m+1)+k} + T^{m+2} \frac{1}{|s|^2} \sum_{k=0}^{m} s^{-k} s^{m+k} \right\|
\leq \lim_{m \to \infty} \|T\|^{m+1} \sum_{k=0}^{m+1} |s|^{-k} |s|^{-(m+1)+k} + \|T\|^{m+2} \frac{1}{|s|^2} \sum_{k=0}^{m} |s|^{-k} |s|^{-m+k}
= \lim_{m \to \infty} (m + 1) \|T\|^{m+1} \frac{|s|^{m+1}}{|s|^{m+1}} + (m + 2) \|T\|^{m+2} \frac{|s|^{m+2}}{|s|^{m+2}} = 0
\]
because \( \|T\| < |s| \). Hence, (4.23) holds true. 

\[\square\]

5. **Slice hyperholomorphic powers of the resolvent operator**

Recall that the product rule \((fg)(T) = f(T)g(T)\) for the \( S \)-functional calculus does only hold true if \( f \in \mathcal{NS}_S(T) \) and \( g \in \mathcal{SM}^L_{\sigma S}(T) \) or if \( f \in \mathcal{SM}^R_{\sigma S}(T) \) and \( g \in \mathcal{NS}_S(T) \). This is due to the fact that, for \( f, g \in \mathcal{SM}^L_{\sigma S}(T) \) or for \( f, g \in \mathcal{SM}^R_{\sigma S}(T) \), the product \( fg \) does in general not belong to \( \mathcal{SM}^L_{\sigma S}(T) \) resp. \( \mathcal{SM}^R_{\sigma S}(T) \). If on the other hand one considers the left slice hyperholomorphic product \( f \ast f \) of two left slice hyperholomorphic functions, then it is
not clear to which operation between operators it corresponds. Similarly, in the right slice
hyperholomorphic case, it is not clear how to define the \( \star_r \)-product between two operators in
general. However, at least for power series of an operator variable, we can use the formulas
(2.11) and (2.12) to define a their \( \star_t \)- resp. \( \star_r \)-product.

**Definition 5.1.** Let \( T \in \mathcal{B}^{0,1}(V_n) \). For \( F = \sum_{n=0}^{\infty} T^n a_n \) and \( G = \sum_{n=0}^{\infty} T^n b_n \) with \( a_\ell, b_\ell \in \mathbb{R}_n \)
for \( \ell \in \mathbb{N} \), we define

\[
F \star_t G := \sum_{n=0}^{\infty} T^n \left( \sum_{k=0}^{n} a_k b_{n-k} \right).
\]

For \( \widetilde{F} = \sum_{n=0}^{\infty} a_n T^n \) and \( \widetilde{G} = \sum_{n=0}^{\infty} b_n T^n \), we define

\[
\widetilde{F} \star_r \widetilde{G} := \sum_{n=0}^{\infty} \left( \sum_{k=0}^{n} a_k b_{n-k} \right) T^n.
\]

**Remark 5.2.** Note that \( F \star_t G = FG \) if \( a_n \in \mathbb{R} \) for any \( n \in \mathbb{N} \). In this case, the coefficients
\( a_n \) commute with the operator \( T \), and hence,

\[
F \star_t G = \sum_{n=0}^{\infty} T^n \left( \sum_{k=0}^{n} a_k b_{n-k} \right) = \sum_{n=0}^{\infty} T^k a_k T^{n-k} b_{n-k} = FG.
\]

Similarly, \( \widetilde{F} \star_r \widetilde{G} = \widetilde{F} \widetilde{G} \) if \( b_n \in \mathbb{R} \) for any \( n \in \mathbb{N} \).

**Corollary 5.3.** Let \( T \in \mathcal{B}^{0,1}(V_n) \) and let \( f(x) = \sum_{n=0}^{\infty} x^n a_n \) and \( g(x) = \sum_{n=0}^{\infty} x^n b_n \) be two
left slice hyperholomorphic power series that converge on a ball \( B_r(0) \), of radius \( r > 0 \) and
centered at 0, with \( \sigma_S(T) \subset B_r(0) \). Then

\[
f(T) \star_t g(T) = (f \star_t g)(T).
\]

Similarly, for two right slice hyperholomorphic power series \( \tilde{f}(x) = \sum_{n=0}^{\infty} a_n x^n \) and \( \tilde{g}(x) = \sum_{n=0}^{\infty} b_n x^n \) that converge on a ball \( B_r(0) \) with \( \sigma_S(T) \subset B_r(0) \), we have

\[
\tilde{f}(T) \star_r \tilde{g}(T) = (\tilde{f} \star_r \tilde{g})(T).
\]

**Proof.** By the properties of the \( S \)-functional calculus, we have \( f(T) = \sum_{n=0}^{\infty} T^n a_n \) and \( g(T) = \sum_{n=0}^{\infty} T^n b_n \). Hence,

\[
f(T) \star_t g(T) = \sum_{n=0}^{\infty} T^n \left( \sum_{k=0}^{n} a_k b_{n-k} \right)
\]

\[
= \frac{1}{2\pi} \int_{\partial (U \cup C_1)} S_L^{-1}(s, T) ds \sum_{n=0}^{\infty} s^n \left( \sum_{k=0}^{n} a_k b_{n-k} \right)
\]

\[
= \frac{1}{2\pi} \int_{\partial (U \cup C_1)} S_L^{-1}(s, T) ds \int f \star_t g(s) = (f \star_t g)(T).
\]

An analogous computation shows the right slice hyperholomorphic case. \( \square \)

Recall from Example 2.18 that \( S_L^{-1}(s, x) = (s - x)^{-\star_t} \) and that \( S_R^{-1}(s, x) = (s - x)^{-\star_r} \). This motivates the following definition.

**Definition 5.4.** Let \( s \in \mathbb{H} \). For \( n \in \mathbb{Z} \), we define

\[
S_L^n(s, x) := (s - x)^{\star_t n} \quad \text{and} \quad S_R^n(s, x) := (s - x)^{\star_r n}.
\]
Corollary 5.5. Let \( s \in \mathbb{H} \). For \( n \geq 0 \), we have
\[
\begin{align*}
S_L^n(s, x) &= \sum_{k=0}^{n} \binom{n}{k} (-x)^k s^{n-k} \quad \text{and} \quad S_R^n(s, x) = \sum_{k=0}^{n} \binom{n}{k} s^{n-k} (-x)^k
\end{align*}
\] (5.27)
and
\[
\begin{align*}
S_L^{-n}(s, x) &= (x^2 - 2s_0x + |s|^2)^{-n}(\mathfrak{s} - x)^{*-n} \quad \text{and} \quad S_R^{-n}(s, x) = (\mathfrak{s} - x)^{*-n}(x^2 - 2s_0x + |s|^2)^{-n}.
\end{align*}
\] (5.28)
Moreover, for \( m, n \geq 0 \), we have
\[
\begin{align*}
S_L^{-n}(s, x)^{*\ell}S_L^{-m}(s, x) &= (x^2 - 2s_0x + |s|^2)^{-(n+m)}(\mathfrak{s} - x)^{*-n} \quad \text{and} \quad S_R^{-n}(s, x)^{*\ell}S_R^{-m}(s, x) = (\mathfrak{s} - x)^{*-n}(x^2 - 2s_0x + |s|^2)^{-(n+m)}.
\end{align*}
\] (5.29)

Proof. For \( n = 0 \), we have \((s - x)^{*-0} = 1\), and hence (5.27) is obviously true. Assume that it holds true for \( n - 1 \). Then (2.11) implies
\[
\begin{align*}
S_L^n(s, x)^{*\ell} &= (s - x)^{*-n} = (s - x)^{*-n-1} \star \star (s - x) = (s - x)^{*-n-1} \star \star (s - x)^{*-1} \\
&= \sum_{k=0}^{n} \binom{n-1}{k} (-x)^k s^{n-k} + \sum_{k=0}^{n-1} \binom{n-1}{k} (-x)^{k+1} s^{n-1-k} \\
&= \sum_{k=0}^{n} \binom{n-1}{k} (-x)^k s^{n-k} + \sum_{k=1}^{n} \binom{n-1}{k-1} (-x)^{k} s^{n-k} = \sum_{k=0}^{n} \binom{n}{k} (-x)^k s^{n-k}
\end{align*}
\] and (5.27) follows by induction.

We also show the identity (5.28) by induction. It is obviously true for \( n = 0 \). Assume that it holds true for \( n - 1 \) and observe that \((x^2 - 2s_0x + |s|^2)^{-1} \in \mathcal{N}(\mathbb{H} \setminus |s|)\). Corollary 2.17, (i) yields
\[
\begin{align*}
S_L^{-n}(s, x) &= (s - x)^{-*\ell} \star \star \star (s - x)^{*-n} \\
&= \left[(x^2 - 2s_0x + |s|^2)^{*-n}(\mathfrak{s} - x)^{*-n} \right] \star \star \star \left[(x^2 - 2s_0x + |s|^2)^{-1}(\mathfrak{s} - x) \right] \\
&= (x^2 - 2s_0x + |s|^2)^{*-n} \star \star \star (x^2 - 2s_0x + |s|^2)^{-1} \star \star \star (\mathfrak{s} - x) \\
&= (x^2 - 2s_0x + |s|^2)^{-n} \star \star \star (x^2 - 2s_0x + |s|^2)^{-1} \star \star \star (\mathfrak{s} - x) \\
&= (x^2 - 2s_0x + |s|^2)^{-n} \star \star \star (\mathfrak{s} - x)^{*-n} \star \star \star (s - x)^{*-n} \\
&= (x^2 - 2s_0x + |s|^2)^{-n} (\mathfrak{s} - x)^{*-n} \star \star \star (s - x)^{*-n}.
\end{align*}
\]
Finally, (i) in Corollary 2.17 also implies for \( m, n \geq 0 \)
\[
\begin{align*}
S_L^{-n}(s, x)^{*\ell}S_L^{-m}(\mathfrak{s}, x) &= \left[(x^2 - 2s_0x + |s|^2)^{-n}(\mathfrak{s} - x)^{*-n} \right] \star \star \star \left[(x^2 - 2s_0x + |s|^2)^{-m}(s - x)^{*-m} \right] \\
&= (x^2 - 2s_0x + |s|^2)^{-n} \star \star \star (x^2 - 2s_0x + |s|^2)^{-m} \star \star \star (s - x)^{*-m} \\
&= (x^2 - 2s_0x + |s|^2)^{-n} \star \star \star (x^2 - 2s_0x + |s|^2)^{-m} \star \star \star (\mathfrak{s} - x)^{*-m} \star \star \star (s - x)^{*-m} \\
&= (x^2 - 2s_0x + |s|^2)^{-n-m} \star \star \star (\mathfrak{s} - x)^{*-n-m} \star \star \star (s - x)^{*-m}.
\end{align*}
\]
The right slice hyperholomorphic case can be shown by similar computations. \( \square \)

Definition 5.6. Let \( T \in \mathcal{B}^{0,1}(V_n) \) and let \( s \in \rho_{\mathfrak{s}}(T) \). For \( n, m \geq 0 \), we define
\[
\begin{align*}
S_L^{-n}(s, T) &= (T^2 - 2s_0T + |s|^2T)^{-n}(\mathfrak{s}T - T)^{-n} \\
S_L^{-n}(s, T) \star \star \star S_L^{-m}(\mathfrak{s}, T) &= (T^2 - 2s_0T + |s|^2T)^{-(n+m)}[(\mathfrak{s}T - T)^{-n} \star \star \star (s\mathfrak{T} - T)^{*-n}] \\
&= (T^2 - 2s_0T + |s|^2T)^{-(n+m)}[(\mathfrak{s}T - T)^{*-n} \star \star \star (s\mathfrak{T} - T)^{*-n}].
\end{align*}
\]
Similarly, we define
\[ S_{R}^{-n}(s, T) := (sI - T)^{r}r(T^{2} - 2s_{0}T + |s|^{2}I)^{-n} \]
and
\[ S_{R}^{-n}(s, T) \star r S_{R}^{-m}(s, T) := [(sI - T)^{r}r(sI - T)^{r}r] (T^{2} - 2s_{0}T + |s|^{2}I)^{-(n+m)}. \]

**Remark 5.7.** Because of Lemma 5.5 and Corollary 3.10, this definition is consistent with the S-functional calculus in the sense that
\[ S_{L}^{-n}(s, T) = [S_{L}^{-n}(s, \cdot)] (T) \]
and
\[ S_{L}^{-n}(s, T) \star \ell S_{L}^{-m}(s, T) = [S_{L}^{-n}(s, \cdot) \star \ell S_{L}^{-m}(s, \cdot)] (T). \]

However, note that the notations \( S_{L}^{-n}(s, T) \) and \( S_{L}^{-n}(s, T) \star \ell S_{L}^{-m}(s, T) \) are purely formal. They can only be interpreted as the \( \star \ell \)-products of the operators \( S_{L}^{-n}(s, T) \) and \( S_{L}^{-m}(s, T) \), if \(|s| > \text{spectral radius } r(T) = sup\{ |s| : s \in \sigma_{S}(T) \} \) of \( T \). Otherwise, the operators \( S_{L}^{-1}(s, T) \) and \( S_{L}^{-1}(s, T) \) do not admit a power series representation and the respective \( \star \ell \)-products are not defined.

We conclude this section with a corollary that will be useful in several proofs of the following section.

**Corollary 5.8.** Let \( s = s_{0} + Is_{1} \in \mathbb{H} \) and \( n, m \in \mathbb{N}_{0} \). If \( x \in \mathbb{C}_{I} \), then
\[ (s - x)^{*}m \star \ell (s - x)^{*}n = (s - x)^{m}(s - x)^{n} \quad (5.29) \]
and
\[ S_{L}^{-m}(s, x) \star \ell S_{L}^{-n}(s, x) = (s - x)^{-m}(s - x)^{-n}. \]
Moreover, for any \( n \in \mathbb{N}_{0} \), the function
\[ \sum_{k=0}^{n} (s - x)^{*(k+1)} \star \ell (s - x)^{*(n-k+1)} \]
is a polynomial with real coefficients.

**Proof.** If \( x \in \mathbb{C}_{I} \), then \( s, s \) and \( x \) commute. Hence, it follows from (5.27) and the binomial theorem that \( (s - x)^{r}m = (s - x)^{m} \) and \( (s - x)^{r}n = (s - x)^{n} \). From Remark 2.12, we deduce that (5.29) holds true. Since \( x \) and \( s \) commute, we also have
\[ S_{L}^{-m}(s, x) = (x^{2} - 2s_{0}x + |s|^{2})^{m} (s - x)^{r}m \]
\[ = (s - x)(s - x))^{m} \sum_{k=0}^{m} \binom{m}{k} (-x)^{k}s^{m-k} \]
\[ = (s - x)^{-m}(s - x)^{-m} = (s - x)^{-m}. \]

An analogous computation shows \( S_{L}^{-n}(s, x) = (s - x)^{-n} \). Remark 2.12 implies
\[ S_{L}^{-m}(s, x) \star \ell S_{L}^{-n}(s, x) = (s - x)^{-m} \star \ell (s - x)^{-n} = (s - x)^{-m}(s - x)^{-n}. \]

Finally, we consider the sum (5.30). We denote
\[ P(x) := \sum_{k=0}^{n} (s - x)^{*(k+1)} \star \ell (s - x)^{*(n-k+1)}. \]
Proposition 6.2. Let $\epsilon > 0$ and in turn, where $\text{dist}((s, A) := \inf \{|s - x| : x \in A\}$ denotes the distance between $s$ and the set $A$.

**Proof.** For $x = x_0 + I_x x_1 \in A$ denote $x_I = x_0 + I_x x_1$ and chose $J \in \mathbb{S}$ with $I \perp J$ such that $I_x \in \text{span}\{I, J\}$. Then $x = x_0 + \bar{x}_1 I + \bar{x}_2 J$ with $x_1^2 + x_2^2 = |x|^2 = x_1^2$, and in turn

$$|s - x_I|^2 = (s_0 - x_0)^2 + (s_1 - x_1)^2 = (s_0 - x_0)^2 + s_1^2 - 2s_1 x_1 + x_1^2$$

$$= (s_0 - x_0)^2 + s_1^2 - 2s_1 \sqrt{x_1^2 + x_2^2} + \bar{x}_1 + \bar{x}_2^2$$

$$\leq (s_0 - x_0)^2 + s_1^2 - 2s_1 \bar{x}_1 + \bar{x}_1^2 + \bar{x}_2^2 = (s_0 - x_0)^2 + (s_1 - \bar{x}_1)^2 + \bar{x}_2^2 = |s - x|^2.$$

Since $A$ is axially symmetric, we have $\{x_I : x \in A\} \subset A \cap C_I$. Consequently,

$$\inf_{x \in A} |s - x| \leq \inf_{x \in A \cap C_I} |s - x| \leq \inf_{x \in A} |s - x_I| \leq \inf_{x \in A} |s - x|,$$

and in turn,

$$\text{dist}(s, A) = \inf_{x \in A} |s - x| = \inf_{x \in A \cap C_I} |s - x| = \text{dist}(s, A \cap C_I).$$

$\square$

6. Power series expansions in the operator variable

The following result clarifies how one has to measure the distance between a point $s \in \rho_S(T)$ and the $S$-spectrum of $T$.

**Lemma 6.1.** Let $A \subset \mathbb{R}^{n+1}$ be axially symmetric and let $s = s_0 + Is_1 \in \mathbb{H}$. Then

$$\text{dist}(s, A) = \text{dist}(s, A \cap C_I),$$

where $\text{dist}(s, A) := \inf \{|s - x| : x \in A\}$ denotes the distance between $s$ and the set $A$.

**Proof.** For $x = x_0 + I_x x_1 \in A$ denote $x_I = x_0 + I_x x_1$ and chose $J \in \mathbb{S}$ with $I \perp J$ such that $I_x \in \text{span}\{I, J\}$. Then $x = x_0 + \bar{x}_1 I + \bar{x}_2 J$ with $x_1^2 + x_2^2 = |x|^2 = x_1^2$, and in turn

$$|s - x_I|^2 = (s_0 - x_0)^2 + (s_1 - x_1)^2 = (s_0 - x_0)^2 + s_1^2 - 2s_1 x_1 + x_1^2$$

$$= (s_0 - x_0)^2 + s_1^2 - 2s_1 \sqrt{x_1^2 + x_2^2} + \bar{x}_1 + \bar{x}_2^2$$

$$\leq (s_0 - x_0)^2 + s_1^2 - 2s_1 \bar{x}_1 + \bar{x}_1^2 + \bar{x}_2^2 = (s_0 - x_0)^2 + (s_1 - \bar{x}_1)^2 + \bar{x}_2^2 = |s - x|^2.$$

Since $A$ is axially symmetric, we have $\{x_I : x \in A\} \subset A \cap C_I$. Consequently,

$$\inf_{x \in A} |s - x| \leq \inf_{x \in A \cap C_I} |s - x| \leq \inf_{x \in A} |s - x_I| \leq \inf_{x \in A} |s - x|,$$

and in turn,

$$\text{dist}(s, A) = \inf_{x \in A} |s - x| = \inf_{x \in A \cap C_I} |s - x| = \text{dist}(s, A \cap C_I).$$

$\square$

**Proposition 6.2.** Let $T \in \mathcal{B}^{0,1}(V_0)$ and let $C \subset \mathbb{R}^{n+1}$ with $\text{dist}(C, \sigma_S(T)) > \varepsilon$ for some $\varepsilon > 0$. Then there exists a positive constant $K_T$ such that

$$\|S_L^{-m}(s, T) \ast_i S_L^{-n}(s, T)\| \leq \frac{K_T}{\varepsilon^{m+n}} \quad \text{and} \quad \|S_R^{-m}(s, T) \ast_i S_R^{-n}(s, T)\| \leq \frac{K_T}{\varepsilon^{m+n}}, \quad (6.31)$$

for any $s \in C$ and any $m, n \geq 0$.

**Proof.** Let $U$ be a $T$-admissible domain, such that $\text{dist}(C, \overline{U}) > \varepsilon$. We chose $s = s_0 + Is_1 \in C$. By Corollary 5.8, we have $S_L^{-m}(s, x) \ast_i S_L^{-n}(s, x) = (s - x)^{-m}(s - x)^{-n}$ for any $x \in C_I$. Lemma 6.1 implies $\text{dist}(s, \overline{U} \cap C_I) = \text{dist}(s, \overline{U}) > \varepsilon$. Since $\overline{U} \cap C_I$ is symmetric with respect to the real axis, we also have $\text{dist}(\overline{s}, \overline{U} \cap C_I) > \varepsilon$ and we deduce
Proof. Let \( \sigma \) be the spectrum of \( \mathcal{S}_L \). Then

\[
\| S_L^{-m} (s, T) \star \ell S_L^{-n} (\sigma, T) \| = \left\| \frac{1}{2\pi} \int_{\partial (U \cap C_I)} S_L^{-1} (p, T) \, dp \, S_L^{-m} (s, p) \star \ell S_L^{-n} (\sigma, p) \right\|
\]

\[
= \left\| \frac{1}{2\pi} \int_{\partial (U \cap C_I)} S_L^{-1} (p, T) \, dp \, (s - p)^{-m}(\sigma - p)^{-n} \right\|
\]

\[
\leq \frac{1}{2\pi} \int_{\partial (U \cap C_I)} \| S_L^{-1} (p, T) \| \, dp \, |(s - p)^{-m}(\sigma - p)^{-n}|
\]

\[
\leq \frac{1}{2\pi} \int_{\partial (U \cap C_I)} \| S_L^{-1} (p, T) \| \, dp \frac{1}{\varepsilon^{m+n}}.
\]

Hence, if we set

\[
K_T := \sup_{J \in \mathcal{S}} \frac{1}{2\pi} \int_{\partial (U \cap C_I)} \| S_L^{-1} (p, T) \| \, dp,
\]

then

\[
\| S_L^{-m} (s, T) \star \ell S_L^{-n} (\sigma, T) \| \leq \frac{K_T}{\varepsilon^{m+n}},
\]

where the constant \( K_T \) depends neither on the point \( s \in C \) nor on the numbers \( n, m \geq 0 \).

\[ \square \]

**Theorem 6.3.** Let \( T \in \mathcal{B}^{0,1}(V_n) \) and let \( N \in \mathcal{B}^{0,1}(V_n) \) such that \( T \) and \( N \) commute and such that \( \sigma_S(N) \) is contained in the open ball \( B_\varepsilon(0) \). If \( \text{dist}(s, \sigma_S(T)) > \varepsilon \), then \( s \in \rho_S(T + N) \) and

\[
((T + N)^2 - 2s_0(T + N) + |s|^2I)^{-1} = \sum_{n=0}^{\infty} \left( \sum_{k=0}^{n} S_L^{(k+1)}(s, T) \star \ell S_L^{(n-k+1)}(\sigma, T) \right) N^n,
\]

where the series converges in the operator norm.

**Proof.** We first show the convergence of the series

\[
\Sigma(s, T, N) := \sum_{n=0}^{\infty} \left( \sum_{k=0}^{n} S_L^{(k+1)}(s, T) \star \ell S_L^{(n-k+1)}(\sigma, T) \right) N^n.
\]

Since \( \sigma_S(N) \) is compact, there exists \( \theta \in (0, 1) \) such that \( \sigma_S(N) \subset B_{\theta \varepsilon}(0) \subset B_\varepsilon(0) \). Applying the \( S \)-functional calculus, we obtain

\[
\| N^m \| = \left\| \frac{1}{2\pi} \int_{\partial (B_{\theta \varepsilon}(0) \cap C_I)} S_L^{-1}(s, N) \, ds \, s^m \right\|
\]

\[
\leq \frac{1}{2\pi} \int_{\partial (B_{\theta \varepsilon}(0) \cap C_I)} \| S_L^{-1}(s, N) \| \, ds \|s|^m
\]

\[
= \frac{1}{2\pi} \int_{\partial (B_{\theta \varepsilon}(0) \cap C_I)} \| S_L^{-1}(s, N) \| \, ds \,(\theta \varepsilon)^m
\]

for any \( m \geq 0 \). Hence,

\[
\| N^m \| \leq K_N (\theta \varepsilon)^m \tag{6.32}
\]

with

\[
K_N := \frac{1}{2\pi} \int_{\partial (B_{\theta \varepsilon}(0) \cap C_I)} \| S_L^{-1}(s, N) \| \, ds.
\]
From Proposition 6.2, we deduce

\[
\sum_{n=0}^{\infty} \left( \sum_{k=0}^{n} S_{L}^{-(k+1)}(s, T) \star \ell S_{L}^{-(n-k+1)}(\overline{s}, T) \right) N^n \leq \sum_{n=0}^{\infty} \sum_{k=0}^{n} \left| S_{L}^{-(k+1)}(s, T) \star \ell S_{L}^{-(n-k+1)}(\overline{s}, T) \right| \left| N^n \right|
\]

\[
\leq \sum_{n=0}^{\infty} (n + 1) K_{T N}^{\epsilon + 1} K_{N} \left( \theta \epsilon \right)^n \leq K_{T N}^{\epsilon + 1} \sum_{n=0}^{\infty} (n + 1) \theta^n.
\]

By the roots test, this last series converges because \(0 < \theta < 1\). The comparison test yields the convergence of the original series \(\Sigma(s, T, N)\) in the operator norm. From Definition 5.6 and the fact that \(T\) and \(N\) commute, we deduce

\[
\Sigma(s, T, N)((T + N)^2 - 2s_0(T + N) + |s|^2I)
\]

\[
= \sum_{n=0}^{\infty} \left( \sum_{k=0}^{n} (\overline{s}I - T)^{(k+1)} \star \ell (sI - T)^{(n-k+1)} \right) N^n (T^2 - 2TN + N^2 - 2s_0T - 2s_0N + |s|^2I)
\]

\[
+ \sum_{n=0}^{\infty} (T^2 - 2s_0T + |s|^2I)^{-(n+2)} \left( \sum_{k=0}^{n} (\overline{s}I - T)^{(k+1)} \star \ell (sI - T)^{(n-k+1)} \right) N^n (2T - 2s_0I)N
\]

\[
+ \sum_{n=0}^{\infty} (T^2 - 2s_0T + |s|^2I)^{-(n+2)} \left( \sum_{k=0}^{n} (\overline{s}I - T)^{(k+1)} \star \ell (sI - T)^{(n-k+1)} \right) N^n N^2.
\]

Applying Corollary 5.8 and the \(S\)-functional calculus, we see that any sum

\[
\sum_{k=0}^{n} (\overline{s}I - T)^{(k+1)} \star \ell (sI - T)^{(n-k+1)}
\]

is a polynomial in \(T\) with real coefficients and so it commutes with the operator \(T^2 - 2s_0T + |s|^2I\). Remark 5.2 implies

\[
\Sigma(s, T, N)((T + N)^2 - 2s_0(T + N) + |s|^2I)
\]

\[
= \sum_{n=0}^{\infty} (T^2 - 2s_0T + |s|^2I)^{-(n+1)} \left( \sum_{k=0}^{n} (\overline{s}I - T)^{(k+1)} \star \ell (sI - T)^{(n-k+1)} \right) N^n
\]

\[
+ \sum_{n=0}^{\infty} (T^2 - 2s_0T + |s|^2I)^{-(n+2)} \left( \sum_{k=0}^{n} (\overline{s}I - T)^{(k+1)} \star \ell (sI - T)^{(n-k+1)} \star \ell (2T - 2s_0I) \right) N^{n+1}
\]

\[
+ \sum_{n=0}^{\infty} (T^2 - 2s_0T + |s|^2I)^{-(n+2)} \left( \sum_{k=0}^{n} (\overline{s}I - T)^{(k+1)} \star \ell (sI - T)^{(n-k+1)} \right) N^{n+2}.
\]
\[
\begin{align*}
&= \sum_{n=0}^{\infty} (T^2 - 2s_0T + |s|^2I)^{(n+1)} \left( \sum_{k=0}^{n} (sI - T)^{\epsilon(k+1)} \star \ell(sI - T)^{\epsilon(n-k+1)} \right) N^n \\
&\quad + \sum_{n=1}^{\infty} (T^2 - 2s_0T + |s|^2I)^{-(n+1)} \left( \sum_{k=0}^{n-1} (sI - T)^{\epsilon(k+1)} \star \ell(sI - T)^{\epsilon(n-k)} \star \ell(2T - 2s_0I) \right) N^n \\
&\quad + \sum_{n=2}^{\infty} (T^2 - 2s_0T + |s|^2I)^{-n} \left( \sum_{k=0}^{n-2} (sI - T)^{\epsilon(k+1)} \star \ell(sI - T)^{\epsilon(n-k-1)} \right) N^n.
\end{align*}
\]

The identity

\[
(T^2 - 2s_0T + |s|^2I)^{-n} \left( \sum_{k=0}^{n-2} (sI - T)^{\epsilon(k+1)} \star \ell(sI - T)^{\epsilon(n-k-1)} \right)
\]

finally yields

\[
\Sigma(s, T, N) \left( (T + N)^2 - 2s_0(T + N) + |s|^2I \right)
\]

\[
= \sum_{n=0}^{\infty} (T^2 - 2s_0T + |s|^2I)^{-(n+1)} \left( \sum_{k=0}^{n} (sI - T)^{\epsilon(k+1)} \star \ell(sI - T)^{\epsilon(n-k+1)} \right)
\]

\[
\quad + \sum_{k=0}^{n-1} (sI - T)^{\epsilon(k+1)} \star \ell(sI - T)^{\epsilon(n-k)} \star \ell(2T - 2s_0I)
\]

\[
\quad + \sum_{k=1}^{n-1} (sI - T)^{\epsilon(k+1)} \star \ell(sI - T)^{\epsilon(n-k+1)} \right) N^n,
\]

where the empty sum equals 0. Hence,

\[
\Sigma(s, T, N) \left( (T + N)^2 - 2s_0(T + N) + |s|^2I \right) = \sum_{n=0}^{\infty} (T^2 - 2s_0T + |s|^2I)^{-(n+1)} A_n N^n,
\]

with operator-valued coefficients

\[
A_n = \sum_{k=0}^{n} (sI - T)^{\epsilon(k+1)} \star \ell(sI - T)^{\epsilon(n-k+1)}
\]

\[
\quad + \sum_{k=0}^{n-1} (sI - T)^{\epsilon(k+1)} \star \ell(sI - T)^{\epsilon(n-k)} \star \ell(2T - 2s_0I)
\]

\[
\quad + \sum_{k=1}^{n-1} (sI - T)^{\epsilon(k+1)} \star \ell(sI - T)^{\epsilon(n-k+1)}.
\]

We have

\[
A_0 = (sI - T)^{\epsilon} \star \ell(sI - T)^{\epsilon} = T^2 - 2s_0 + |s|^2I
\]

and

\[
A_1 = (sI - T)^{\epsilon} \star \ell(sI - T)^{\epsilon} + (sI - T)^{\epsilon} \star \ell(sI - T) + (sI - T)^{\epsilon} \star \ell(sI - T) \star \ell(2T - 2s_0I)
\]

\[
= (sI - T)^{\epsilon} \star \ell(sI - T) \star \ell(sI - T - sI - T) + (sI - T)^{\epsilon} \star \ell(sI - T) \star \ell(2T - 2s_0I) = 0,
\]

where the empty sum equals 0. Hence,
where we used that
\[
(\pi I - T) \ast \ell(sI - T) = T^2 - 2s_0 T + |s|^2 I = (sI - T) \ast \ell(sI - T).
\]

By adding the terms for \( k = 0, \ldots, n - 1 \) of the first sum in (6.33) to the second sum and the last term with \( k = n \) to the third sum, we obtain for \( n \geq 2 \)
\[
A_n = \sum_{k=0}^{n-1} (\pi I - T)^{\ast \ell(k+1)} \ast \ell(sI - T)^{\ast \ell(n-k)} + (sI - T)^{\ast \ell(n-k+1)}
\]
\[
= \sum_{k=0}^{n-1} (\pi I - T)^{\ast \ell(k+1)} \ast \ell(sI - T)^{\ast \ell(n-k)} + (T - \pi I)
\]
\[
+ \sum_{k=0}^{n-1} (\pi I - T)^{\ast \ell(k+1)} \ast \ell(sI - T)^{\ast \ell(n-k)} (T - \pi I)
\]
\[
= \sum_{k=0}^{n-1} (\pi I - T)^{\ast \ell(k+1)} \ast \ell(sI - T)^{\ast \ell(n-k)} (T - \pi I) + \sum_{k=0}^{n-1} (\pi I - T)^{\ast \ell(k+1)} \ast \ell(sI - T)^{\ast \ell(n-k)} (T - \pi I) = 0.
\]

Therefore,
\[
\Sigma(s, T, N) (T + N)^2 - 2s_0 (T + N) + |s|^2 I = (T^2 - 2s_0 T + |s|^2 I)^{-1} (T^2 - 2s_0 T + |s|^2 I)^{-1} = I.
\]

The coefficients of the series \( \Sigma(s, T, N) \) are
\[
\sum_{k=0}^{n} S_{L}^{-(k+1)}(s, T) \ast \ell S_{L}^{-(n-k+1)}(s, T)
\]
\[
= (T^2 - 2s_0 T + |s|^2 I)^{-(n+2)} \sum_{k=0}^{n} (\pi I - T)^{\ast \ell(k+1)} \ast \ell(sI - T)^{\ast \ell(n-k+1)}.
\]

Corollary 5.8 and the \( S \)-functional calculus imply that each sum
\[
\sum_{k=0}^{n} (\pi I - T)^{\ast \ell(k+1)} \ast \ell(sI - T)^{\ast \ell(n-k+1)}
\]

is a polynomial in \( T \) with real coefficients. Therefore, it commutes with \( T \) and \( N \). Similarly, also the operator \( (T^2 - 2s_0 T + |s|^2 I)^{-1} \) commutes with \( T \) and \( N \). Consequently, each coefficient of \( \Sigma(s, T, N) \), and therefore the entire series \( \Sigma(s, T, N) \), commutes with \( T \) and \( N \), and in turn, also with the operator \( (T + N)^2 - 2s_0 (T + N) + |s|^2 I \). Hence, we also have
\[
( T + N)^2 - 2s_0 (T + N) + |s|^2 I \Sigma(s, T, N) = I,
\]

and the operator \( (T + N)^2 - 2s_0 (T + N) + |s|^2 I \) is invertible, which implies \( s \in \rho_S(T + N) \).

**Theorem 6.4.** Let \( T \in \mathcal{B}_{0,1}(V_n) \) and let \( N \in \mathcal{B}_{0,1}(V_n) \) be such that \( \sigma_S(N) \subset B_\varepsilon(0) \). For any \( s \in \rho_S(T) \) with \( \text{dist}(s, \sigma_S(T)) > \varepsilon \), the identities
\[
S_L^{-1}(s, T + N) = \sum_{n=0}^{\infty} N^n S_L^{-(n+1)}(s, T) \quad \text{and} \quad S_R^{-1}(s, T + N) = \sum_{n=0}^{\infty} S_R^{-(n+1)}(s, T) N^n
\]
hold true. Moreover, these series converge uniformly on any set \( C \) with \( \text{dist}(C, \sigma_S(T)) > \varepsilon \).
Proof. In (6.32), we showed the existence of two constants $K_N \geq 0$ and $\theta \in (0, 1)$ such that $\|N\|^m \leq K_N(\theta\varepsilon)^m$ for any $m \in \mathbb{N}_0$. Moreover, for any $C \subset \mathbb{R}^{n+1}$ with $\text{dist}(C, \sigma_S(T)) > \varepsilon$, Proposition 6.2 implies the existence of a constant $K_T$ such that $\|S_L^{-m}(s, T)\| \leq K_T/\varepsilon^m$ for any $s \in C$ and any $m \in \mathbb{N}_0$. Therefore, the estimate

$$\sum_{n=n_0}^{\infty} \left\| N^m S_L^{-(n+1)}(s, T) \right\| \leq \sum_{n=n_0}^{\infty} \left\| N^m \right\| \left\| S_L^{-(n+1)}(s, T) \right\|$$

$$\leq \sum_{n=n_0}^{\infty} K_N(\theta\varepsilon)^n \frac{K_T}{\varepsilon^{n+1}}$$

$$= \frac{K_T K_N}{\varepsilon} \sum_{n=n_0}^{\infty} \theta^n \xrightarrow{n \to \infty} 0$$

holds true for any $s \in C$ and implies the uniform convergence of the series on $C$. Let $s \in \rho_S(T)$ with $\text{dist}(s, \sigma_S(T)) > \varepsilon$. We have

$$(T + N)^2 - 2s_0(T + N) + |s|^2 I \sum_{n=0}^{\infty} N^n S_L^{-(n+1)}(s, T)$$

$$= (T^2 - 2s_0 T + |s|^2 I) \sum_{n=0}^{\infty} N^n (T^2 - 2s_0 T + |s|^2 I)^{-(n+1)} (\pi I - T)^{\ell(n+1)}$$

$$+ (2T - 2s_0 I) N \sum_{n=0}^{\infty} N^n (T^2 - 2s_0 T + |s|^2 I)^{-(n+1)} (\pi I - T)^{\ell(n+1)}$$

$$+ N^2 \sum_{n=0}^{\infty} N^n (T^2 - 2s_0 T + |s|^2 I)^{-(n+1)} (\pi I - T)^{\ell(n+1)}$$

$$= \sum_{n=0}^{\infty} N^n (T^2 - 2s_0 T + |s|^2 I)^{-(n+1)} (\pi I - T)^{\ell(n+1)}$$

$$+ \sum_{n=0}^{\infty} N^{n+1} (2T - 2s_0 I) (T^2 - 2s_0 T + |s|^2 I)^{-(n+1)} (\pi I - T)^{\ell(n+1)}$$

$$+ \sum_{n=0}^{\infty} N^{n+2} (T^2 - 2s_0 T + |s|^2 I)^{-(n+1)} (\pi I - T)^{\ell(n+1)}.$$

Shifting the indices yields

$$(T + N)^2 - 2s_0(T + N) + |s|^2 I \sum_{n=0}^{\infty} N^n S_L^{-(n+1)}(s, T)$$

$$= \sum_{n=0}^{\infty} N^n (T^2 - 2s_0 T + |s|^2 I)^{-(n+1)} (\pi I - T)^{\ell(n+1)}$$

$$+ \sum_{n=1}^{\infty} N^n (2T - 2s_0 I) (T^2 - 2s_0 T + |s|^2 I)^{-(n+1)} (\pi I - T)^{\ell n}$$

$$+ \sum_{n=2}^{\infty} N^n (T^2 - 2s_0 T + |s|^2 I)^{-(n+1)} (\pi I - T)^{\ell(n-1)}.$$
We prove the statement by induction. For
We show only (6.34), since (6.35) follows by analogous com-putations.

$$s \in \mathcal{S}$$

and

$$(\text{Derivatives of the}$$

In order to show the Taylor formula in the operator variable for the $S$-functional calculus, we calculate the slice derivatives of the $S$-resolvent operators with respect to the scalar variable.

**Proposition 6.5** (Derivatives of the $S$-resolvent operators). Let $T \in \mathcal{B}^{0.1}(V_n)$ and let $s \in \rho_S(T)$. Then

$$\partial^m_s S_L^{-1}(s, T) = (-1)^m m! S_L^{-(m+1)}(s, T)$$

and

$$\partial^m_s S_R^{-1}(s, T) = (-1)^m m! S_R^{-(m+1)}(s, T),$$

for any $m \geq 0$.

**Proof.** Recall from Remark 2.4 that, for slice hyperholomorphic functions, the slice derivative defined in Definition 2.2 coincides with the partial derivative with respect to the real part $s_0$. We show only (6.34), since (6.35) follows by analogous computations.

We prove the statement by induction. For $m = 0$, the identity (6.34) is obvious. We assume that $\partial^m_{s_0} S_L^{-1}(s, T) = (-1)^m (m - 1)! S_L^{-m}(s, T)$ and we compute $\partial^m_{s_0} S_L^{-1}(s, T)$. Let $I_x \in S$ such that $s \in \mathcal{C}_{I_x}$. If $x \in \mathcal{C}_{I_x}$, then $S_{L}^{-n}(s, x) = (s - x)^{-n}$ by Corollary 5.8.
\[ S\text{-functional calculus yields} \]
\[
\frac{\partial}{\partial s_0} S^{-m}_L(s,T) = \frac{1}{2\pi} \int_{\partial(U \cap C_{1\varepsilon})} S^{-1}_L(p,T) \, dp \, (s-p)^{-m} \\
= \frac{1}{2\pi} \int_{\partial(U \cap C_{1\varepsilon})} S^{-1}_L(p,T) \, dp \, \frac{\partial}{\partial s_0} (s-p)^{-m} \\
= -m \frac{1}{2\pi} \int_{\partial(U \cap C_{1\varepsilon})} S^{-1}_L(p,T) \, dp \, (s-p)^{-(m+1)} \\
= -m S^{-(m+1)}_L(s,T),
\]
and in turn,
\[
\partial^m_s S^{-1}_L(s,T) = \frac{\partial^m}{\partial s_0^m} S^{-1}_L(s,T) = \frac{\partial}{\partial s_0} (-1)^{m-1} (m-1)! S^{-m}_L(s,T) = (-1)^m m! S^{-(m+1)}_L(s,T).
\]

**Theorem 6.6** (The Taylor formulas). Let \( T \in \mathcal{B}^{0,1}(V_n) \) and \( N \in \mathcal{B}^{0,1}(V_n) \) with \( \sigma_S(N) \subset B_\varepsilon(0) \). If \( f \in \mathcal{SM}^L(U) \) for some \( T \)-admissible domain \( U \) that contains \( \sigma_S(T) \) and any point \( s \in \mathbb{R}^{n+1} \) with \( \text{dist}(s, \sigma_S(T)) \leq \varepsilon \), then \( f \in \mathcal{SM}^L_{\sigma_S(T+N)} \) and
\[
 f(T + N) = \sum_{n=0}^{\infty} N^n \frac{1}{n!} (\partial^n_s f)(T). 
\]
Similarly, if \( f \in \mathcal{SM}^R(U) \), for some \( T \)-admissible domain \( U \) that contains \( \sigma_S(T) \) and any point \( s \in \mathbb{H} \) with \( \text{dist}(s, \sigma_S(T)) \leq \varepsilon \), then \( f \in \mathcal{SM}^R_{\sigma_S(T+N)} \) and
\[
 f(T + N) = \sum_{n=0}^{\infty} \frac{1}{n!} (\partial^n_s f)(T) N^n. 
\]

**Proof.** We prove just the first Taylor formula, the second one is obtained with similar computations. It follows from Theorem 6.3 that \( \sigma_S(T+N) \subset U \), and hence, \( f \in \mathcal{SM}^L_{\sigma_S(T+N)} \). Applying the \( S \)-functional calculus and Theorem 6.4, we obtain
\[
 f(T + N) = \frac{1}{2\pi} \int_{\partial(U \cap C_{1\varepsilon})} S^{-1}_L(s,T + N) \, ds \, f(s) \\
= \frac{1}{2\pi} \int_{\partial(U \cap C_{1\varepsilon})} \sum_{n=0}^{\infty} N^n S^{-(n+1)}_L(s,T) \, ds \, f(s) \\
= \sum_{n=0}^{\infty} N^n \frac{1}{2\pi} \int_{\partial(U \cap C_{1\varepsilon})} S^{-(n+1)}_L(s,T) \, ds \, f(s).
\]
By Proposition 6.5, we have
\[
 S^{-(n+1)}_L(s,T) = (-1)^n \frac{1}{n!} \partial^n_s S^{-1}_L(s,T).
\]
Hence,
\[
 f(T + N) = \sum_{n=0}^{\infty} N^n \frac{1}{n!} \frac{1}{2\pi} \int_{\partial(U \cap C_{1\varepsilon})} \partial^n_s S^{-1}_L(s,T) \, ds \, f(s).
\]
By integrating the \( n \)-th term in the sum \( n \)-times by parts, we finally obtain
\[
 f(T + N) = \sum_{n=0}^{\infty} N^n \frac{1}{n!} \frac{1}{2\pi} \int_{\partial(U \cap C_{1\varepsilon})} S^{-1}_L(s,T) \, ds \, (\partial^n_s f)(s) = \sum_{n=0}^{\infty} N^n \frac{1}{n!} (\partial^n_s f)(T). 
\]
\(\square\)
Remark 6.7. In Proposition 6.2 it can be $C=\mathbb{R}$. Then we cannot use a slice domain, but this limitation is removed when we use the definition of slice monogenic functions as in Remark 2.19 which requires just axially symmetric domains.

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