A study of the dissociative recombination of CaO$^+$ with electrons: Implications for Ca chemistry in the upper atmosphere

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Abstract The dissociative recombination of CaO$^+$ ions with electrons has been studied in a flowing afterglow reactor. CaO$^+$ was generated by the pulsed laser ablation of a Ca target, followed by entrainment in an Ar$^+$ ion/electron plasma. A kinetic model describing the gas-phase chemistry and diffusion to the reactor walls was fitted to the experimental data, yielding a rate coefficient of $3.0 \pm 1.0 \times 10^{-7}$ cm$^3$ molecule$^{-1}$ s$^{-1}$ at $295$ K. This result has two atmospheric implications. First, the surprising observation that the Ca$^+/Fe^+$ ratio is ~8 times larger than Ca/Fe between 90 and 100 km in the atmosphere can now be explained quantitatively by the known ion-molecule chemistry of these two metals. Second, the rate of neutralization of Ca$^+$ ions in a descending sporadic $E$ layer is fast enough to explain the often explosive growth of sporadic neutral Ca layers.

1. Introduction

Meteoric ablation is the source of metals such as calcium, sodium, and iron in the mesosphere and lower thermosphere (MLT) [Plane et al., 2015]. These metals occur as neutral atoms in layers between 80 and 100 km and atomic ions which become dominant above 95 km. Lidar observations of the neutral metal layers have shown that Ca is significantly depleted with respect to Na and Fe: the Ca/Na and Ca/Fe column abundance ratios are ~0.01 and 0.004 [Plane et al., 2015], compared to their chondritic ratios (in CI type carbonaceous chondrites) of 1.05 and 0.069, respectively [Asplund et al., 2009]. The most likely reason for this depletion is differential ablation, where the highly refractory Ca only ablates from meteoroids which reach temperatures above $2200$ K, compared to the relatively volatile elements Na and Fe which largely ablate below $2000$ K [Carrillo-Sanchez et al., 2015]. However, in contrast to the neutral ratios, the observed atmospheric Ca$^+/Na^+$ and Ca$^+/Fe^+$ ratios are ~0.4 and 0.03 [Kopp, 1997], which are much closer to their respective CI ratios. This result implies that there are important differences in the ion-molecule chemistries of these metals. In this paper we focus on calcium and iron chemistries, because Ca$^+$ and Fe$^+$ are chemically reactive ions and therefore behave more alike, compared with the chemically inert Na$^+$ ion. In the MLT, these ions are largely produced by charge transfer reactions with NO$^+$ and, to a lesser extent, O$_3^+$ (photoionization is a much less important ionization process [Plane et al., 2015]). For example [Rutherford et al., 1972],

(R1) $\text{Ca} + \text{NO}^+ \rightarrow \text{Ca}^+ + \text{NO}$

Above $110$ km, the slow dielectronic/radiative recombination of the metallic ions with electrons becomes the dominant neutralization pathway [Bones et al., 2016]. However, between 90 and 100 km the dominant pathway is reaction with O$_3$ to produce the metal oxide ion [Broadley et al., 2007],

(R2) $\text{Ca}^+ + \text{O}_3 \rightarrow \text{CaO}^+ + \text{O}_2$

followed by dissociative recombination (DR) with electrons

(R3) $\text{CaO}^+ + e^- \rightarrow \text{Ca} + \text{O}$

Since DR reactions generally have rate coefficients $> 10^{-7}$ cm$^3$ molecule$^{-1}$ s$^{-1}$ [Florescu-Mitchell and Mitchell, 2006], if reactions (R2) and (R3) were the only chemistry occurring, the lifetime of Ca$^+$ would be ~10 s, with consequently much lower concentrations than measured by lidar [Gerding et al., 2000; Granier et al., 1985; Raizada et al., 2011] and rocket-borne mass spectrometry [Kopp, 1997]. In fact, the reaction of CaO$^+$ with atomic O

(R4) $\text{CaO}^+ + \text{O} \rightarrow \text{Ca}^+ + \text{O}_2$
converts the metal oxide ion back to the atomic ion, thus slowing the overall rate of neutralization \cite{Broadley and Plane, 2010}. The rate coefficients \(k_1\) \cite{Rutherford et al., 1972}, \(k_2\) \cite{Broadley et al., 2007}, and \(k_4\) \cite{Broadley and Plane, 2010} have been measured previously, as have the rate coefficients for the analogous reactions of Fe \cite{Rollason and Plane, 1998; Rutherford and Vroom, 1972; Woodcock et al., 2006}. We have recently reported the first measurement of the DR of FeO\(^+\) \cite{Bones et al., 2016}.

The present paper describes a study of reaction (R3), whose rate coefficient does not appear to have been reported previously. We then use the measured value of \(k_1\) to understand why the Ca\(^+\)/Fe\(^+\) ratio between 90 and 100 km is nearly an order of magnitude larger than the Ca/Fe ratio. Finally, we examine the role of ion-molecule chemistry in the phenomenon of sporadic Ca layers (Ca\(_s\)). These are very thin layers of Ca which appear suddenly, generally at altitudes around 95 km, and can have peak concentrations more than an order of magnitude above the background average Ca atom layer \cite{Gerding et al., 2001}. Simultaneous common-volume measurements of Ca and Ca\(^+\) by lidar provide a unique tool for determining whether Ca\(_s\) are produced by the neutralization of Ca\(^+\) in descending sporadic \(E\) layers, as proposed originally to explain sporadic Na layer formation from Na\(^+\) \cite{Cox and Plane, 1998}.

2. Experimental Technique

The measurements were made in a flowing afterglow system with a pulsed laser source of metallic ions. The system is described in detail elsewhere \cite{Bones et al., 2016}. Briefly, the system consists of a stainless steel flow tube connected to a differentially pumped quadrupole mass spectrometer (Hiden HPR60) running in positive ion mode with pulse counting. An Ar\(^+\)/electron plasma is generated by flowing He through a microwave discharge cavity (McCarroll cavity, 145 W at 2450 MHz) and then introducing a flow of Ar into the helium/electron plasma, whereupon the charge is transferred to the Ar largely through Penning ionization. The electron density was measured 12.5 cm upstream of the target by a Langmuir probe (Hiden ESPION). All experiments were conducted at pressures of 1 torr and a temperature of 295 K.

CaO\(^+\) ions (and neutral CaO molecules) were introduced into this plasma by the pulsed laser ablation of a calcium shot (Sigma-Aldrich) was at least a decade old and extensively oxidized.

Materials: He (99.995%, BOC Gases) was purified by passing through a trap of dry molecular sieve (4 Å, 1–2 mm, Alfa Aesar) cooled to 77 K. Ar (99.9999%, BOC Gases) was used without further purification. The calcium shot (Sigma-Aldrich) was at least a decade old and extensively oxidized.

3. Experimental Results

The ablation of the oxidized Ca target produced pulses of CaO\(^+\) and CaO (Figure S1 in the supporting information). The CaO then undergoes charge transfer with Ar\(^+\) ions in the plasma:

\[
\text{(R5)} \quad \text{CaO} + \text{Ar}^+ \rightarrow \text{CaO}^+ + \text{Ar}
\]
The upper limit for the rate coefficient $k_5$ can be calculated from modified Langevin theory [Su and Chesnavich, 1982; Troe, 1985] to be $8.2 \times 10^{-9}$ cm$^3$ molecule$^{-1}$ s$^{-1}$, using a theoretical dipole moment of 8.8 D and polarizability of $6.6 \times 10^{-24}$ cm$^3$ for CaO [Brodney and Plane, 2010]. The interactions of CaO$^+$, CaO, Ar$^+$, and e$^-$ in the flow tube are then described by the following coupled differential equations:

$$
\frac{d[CaO^+]}{dt} = k_5[Ar^+][CaO] - (k_2Ar^+ + k_5)e^-[CaO^+] 
$$

(E1)

$$
\frac{d[CaO]}{dt} = -(k_2Ar^+ + k_5[CaO])[CaO] 
$$

(E2)

$$
\frac{d[Ar^+]}{dt} = -(k_3Ar^+ + k_5[CaO^+])[Ar^+] 
$$

(E3)

$$
\frac{d[e^-]}{dt} = -(k_3[CaO^+])e^- 
$$

(E4)

The average electron diffusion rate $k_{diff}e^-$ was $948 \pm 96$ s$^{-1}$ at 1.0 torr. This was determined by measuring the absolute electron density with the Langmuir probe and varying the flow velocity at constant pressure (Figure S2). The electron density immediately after formation of the Ar$^+$ plasma was then estimated to be $(3.2 \pm 0.6) \times 10^{10}$ cm$^{-3}$. In the diffusion rate of Ar$^+$, $k_{diff}^{Ar^+}$, the dominant positive charge carrier was assumed to match the electron diffusion rate under the conditions of ambipolar diffusion which apply because the electrons and ions rapidly equilibrate to the bath gas temperature at the relatively high total pressure of 1 torr [Smirnov, 2001]. $k_{diff}^{Ca^+}$ was estimated to be 3.7% smaller than $k_{diff}^{Ar^+}$ in the bath gas where the Ar/He ratio was 1:10 [Bones et al., 2016]. The diffusion coefficients of neutral CaO are calculated (using the method described in Self and Plane [2003]) to be 464 and 95 torr cm$^2$ s$^{-1}$ in He and Ar, respectively, which corresponds to a wall loss rate $k_{diff}^{CaO}$ of 651 s$^{-1}$.

Relations (E1)–(E4) were solved numerically to predict the relative CaO$^+$ concentration at the downstream end of the flow tube. There are two unknowns: $k_5$ and the initial neutral CaO concentration ([CaO]$_0$). A total of 110 data points were collected in 10 sets of experiments. Each data set was then normalized to the CaO$^+$ produced by pulsed ablation at the fastest flow velocity, in order to remove the day-to-day variation in the ablation efficiency from the Ca target. The data were then averaged in six reaction time bins with an average bin width of $1.4 \times 10^{-4}$ s, and a Nelder-Mead iterative simplex algorithm [Nelder and Mead, 1965] used to find the best fit of the kinetic model to the six experimental points, by varying $k_5$ and [CaO]$_0$. The fitting was weighted to the number of data in each time bin, which varied between 10 and 32. Note that in order to model the time-resolved CaO$^+$ concentration, the model actually requires the product $k_5[CaO]$ in relations (E1) and (E2); since we fix $k_5$ to the calculated Langevin limit (see above), [CaO]$_0$ is then the other unknown fitted parameter. Figure 1a is a contour plot of $\chi^2$ as a function of $k_5$ and [CaO]$_0$ where

$$
\chi^2 = \sum_{i=1}^{N} \left( \frac{[CaO^+_{\text{expt},i} - [CaO^+_{\text{model},i}]}{[CaO^+_{\text{expt},i}]} \right)^2 
$$

(E5)
The minimum on the $\chi^2$ surface yields $k_3(295 \text{ K}) = (3.0 \pm 1.0) \times 10^{-9} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$, where the uncertainty estimate is discussed below. The corresponding value for $[\text{CaO}]_0$ is $1.9 \times 10^5 \text{ molecule cm}^{-3}$. The ratio of $[\text{CaO}]_0/[\text{CaO+}]_0$ produced directly by ablation is estimated to be 17. This ratio decreased by 45% when the ablation laser was loosely focused, as expected because a greater fraction of ions would have been produced.

The uncertainty in $k_3$ was estimated by using Monte Carlo selection of the theoretically calculated and experimentally measured parameters used in the kinetic model, within their uncertainties listed in Table 1 (assuming a top-hat probability distribution). The model was then rerun to compare with the measurements: if the simplex algorithm found a minimum in the $\chi^2$ surface, and the resulting value of $k_3$ was within the range of $1 \times 10^{-9} - 1 \times 10^{-5} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ expected for a DR reaction [Florescu-Mitchell and Mitchell, 2006], then the value of $k_3$ was accepted. The mean and standard deviation of $k_3$ from 2500 model fits then provided the final value of $k_3$ and the estimate of its uncertainty. Although the determination of $k_3$ is sensitive to the flow velocity and electron diffusional wall loss, these parameters are measured with high precision. In contrast, $k_3$ is much less sensitive to the estimated $[\text{CaO+}]_0/[\text{CaO}]_0$ ratio and estimate of $k_5$ using Langevin theory, but these parameters have a greater assigned uncertainty (Table 1) and so make a similar contribution to the overall uncertainty of $k_3$ (see the supporting information for further details). The white contour line in Figure 1a depicts the envelope of uncertainty around the minimum, which is 44% below the contour line. Figure 1b shows a plot of the measured abundance of $\text{CaO+}$ (with $\pm 1\sigma$ standard deviation) as a function of reaction time. The black line is the model fit through the measured data. The dashed lines show the model output with the upper and lower bounds of $k_3$. Note that the overall uncertainty is larger than the uncertainty of the measurements because the Monte Carlo analysis included additional uncertainties (Table 1) when determining the uncertainty of $k_3$.

### 4. Atmospheric Implications

#### 4.1. The Background Ca$^+$ Concentration in the Lower Thermosphere

In order to study the ion-molecule chemistry of calcium in the MLT, we assign a $T^{-0.5}$ temperature dependence to $k_3$, which is typical for DR reactions [Florescu-Mitchell and Mitchell, 2006]. Reaction (R3) is 45% slower than the DR reaction of FeO$^+$ at room temperature [Bones et al., 2016]. We now examine the consequences of this for explaining the very different Ca/Fe and Ca$^+/Fe^+$ ratios in the 90–100 km region of the atmosphere.

Figure 2 illustrates the problem. The dashed black line shows the $[\text{Ca}^+]/[\text{Fe}^+]$ ratio profile measured by rocket-borne mass spectrometry. The mean and...
standard deviation were calculated from seven flights covering a range of latitudes and local times (note that the geometric, rather than arithmetic, mean is used because of the small data set; the geometric mean is defined as \( \bar{x} = \sqrt[n]{x_1 \cdot x_2 \cdots x_n} \). Details of the flights are listed in the supporting information. The dashed blue line shows the mean [Ca]/[Fe] ratio computed from simultaneous and common volume lidar measurements of Ca and Fe at Kühlungsborn, Germany (54°N). Data from 22 nights (each with 2–9 h of measurements) were used; the total data set is ~120 h of measurements. The measurements were performed between April 1997 and March 1999. The standard deviation was calculated from the mean ratio of each night.

These two profiles should be compared with the chondritic CI ratio (red dashed line) [Asplund et al., 2009], and the ratio of the meteoric ablation fluxes of Ca and Fe, calculated by applying the chemical ablation model to an astronomical model of cosmic dust [Carrillo-Sanchez et al., 2015]. Note that the ablation ratio is a factor of 7 times smaller than the CI ratio because of the differential ablation of the two elements. The [Ca\(^{+}\)]/[Fe\(^{+}\)] ratio falls between the CI and the ablation ratios, and the [Ca]/[Fe] ratio is significantly below even the ablation ratio.

Since the rates of reactions (R1)–(R4) in the MLT are reasonably fast between 90 and 100 km, and the dominant forms of these metals in this region of the atmosphere are neutral and ionized atoms [Plane et al., 2015], the kinetic steady state approximation can be applied to Ca\(^{+}\) and CaO\(^{+}\):

\[
\frac{d[Ca^{+}]}{dt} = k_1 [NO^+] [Ca] - k_2 [O_3] [Ca^{+}] + k_4 [O] [CaO^{+}] = 0 \tag{E6}
\]

\[
\frac{d[CaO^{+}]}{dt} = k_2 [O_3] [Ca^{+}] - k_3 [e^-] [CaO^{+}] - k_4 [O] [CaO^{+}] = 0 \tag{E7}
\]

Hence,

\[
\frac{[Ca^{+}]}{[Ca]} = \frac{k_1 [NO^+] (k_3 [e^-] + k_4 [O])}{k_2 k_3 [O_3] [e^-]} \tag{E8}
\]

Since \( k_4 [O] \) is generally more than 3 orders of magnitude larger than \( k_3 [e^-] \) between 90 and 100 km [Plane et al., 2015],

\[
\frac{[Ca^{+}]}{[Ca]} \approx \frac{k_1 [NO^+]}{k_2 k_3 [O_3] [e^-]} \tag{E9}
\]

Dividing equation (E9) by the analogous equation for [Fe\(^{+}\)]/[Fe], the atmospheric constituents [NO\(^{+}\)], [O\(_3\)], [O], and [e\(^{-}\)] cancel. Rearranging, and using the rate coefficients listed in Table 2, \([Ca^{+}]/[Fe^{+}] = 8.3 [Ca]/[Fe]. \) When the lidar measurement of the [Ca]/[Fe] ratio is multiplied by this factor, the estimated [Ca\(^{+}\)]/[Fe\(^{+}\)] ratio (solid black line in Figure 2) is seen to be in good agreement with the ion ratio measured by mass spectrometry. Inspection of Table 2 shows that there are two reasons for this large increase in the ratio of the ions compared with the neutrals. First, charge transfer between NO\(^{+}\) and Ca is ~5 times faster than for Fe. Second, the DR of CaO\(^{+}\) is ~50% slower than FeO\(^{+}\).

4.2. Sporadic Ca Layers

We now examine whether the mechanism for sporadic metal layer formation in a descending sporadic E layer [Cox and Plane, 1998] can explain the appearance of Ca\(_b\) below 100 km. Figures 3a and 3b show the lidar observations of Ca\(^{+}\) and Ca on 9 August 1995 at Juliusruh on the island of Rügen, Germany (55°N). In Figure 3a, a pronounced layer of Ca\(^{+}\) ions, confirmed by ionosonde measurements to be part of a sporadic E layer (shown with white crosses in the figure), descends from 100 km to 93 km at a rate of ~4 km h\(^{-1}\).

Table 2. Rate Coefficients Required to Estimate [Ca\(^{+}\)]/[Fe\(^{+}\)] From [Ca]/[Fe]

| Reaction                          | \( k \) (200 K) cm\(^3\) molecule\(^{-1}\) s\(^{-1}\) | Source                      |
|----------------------------------|-----------------|-----------------------------|
| Ca + NO\(^{+}\) → Ca\(^{+}\) + NO | \( 4.0 \times 10^{-9} \) | Rutherford et al. [1972]    |
| Fe + NO\(^{+}\) → Fe\(^{+}\) + NO | \( 9.2 \times 10^{-10} \) | Rollason and Plane [1998]   |
| Ca\(^{+}\) + O\(_3\) → CaO\(^{+}\) + O\(_2\) | \( 3.9 \times 10^{-10} \) | Brodaloy et al. [2007]      |
| Fe\(^{+}\) + O\(_3\) → FeO\(^{+}\) + O\(_2\) | \( 3.7 \times 10^{-10} \) | Rollason and Plane [1998]   |
| CaO\(^{+}\) + O → Ca\(^{+}\) + O\(_2\) | \( 4.2 \times 10^{-11} \) | Brodaloy et al. [2008]      |
| FeO\(^{+}\) + O → Fe\(^{+}\) + O\(_2\) | \( 3.0 \times 10^{-11} \) | Woodcock et al. [2006]      |
| CaO\(^{+}\) + e\(^{-}\) → Ca + O   | \( 3.7 \times 10^{-7} \) | This study                  |
| FeO\(^{+}\) + e\(^{-}\) → Fe + O   | \( 6.7 \times 10^{-7} \) | Bones et al. [2016]         |
Figure 3b illustrates the simultaneous neutral Ca measurements, with the background Ca layer continuously present with a peak around 87 km. Note that a Ca layer appears at 0140 LT once the Ca\(^+\) layer has descended to 94 km. In contrast, the patches of relatively high concentrations of Ca\(^+\) above 95 km that occur around 0100 and 0200 LT are not accompanied by significant concentrations of Ca.

We now use the model of Cox and Plane [1998] to simulate the production of Ca in this descending sporadic E layer. The sporadic E layer is initialized with the measured Ca\(^+\) density of 810 cm\(^{-3}\) and Fe\(^+\), Mg\(^+\), Na\(^+\), and Si\(^+\) densities which are scaled to this using their relative abundance factors measured by Kopp [1997]. The total metal ion (and hence electron) density at 105 km is then 8.9 \(\times 10^4\) cm\(^{-3}\), consistent with the ionosonde electron density measurements which ranged from (4.5 to 10.5) \(\times 10^4\) cm\(^{-3}\) between 2300 and 0000 LT. The layer is set to have a full width at half maximum of 1.5 km. Note that the sporadic layer is assumed to have a large horizontal homogeneous extent so that advection does not play a role while the layer is descending.

The ion-molecule chemistry of Ca from the present study and the other four meteoric metals [Plane et al., 2015] are included in the model, along with background atmospheric densities of O\(_3\), O, total density, and temperature from the 1-D general mesospheric model MESOMOD [Murray and Plane, 2005]. Figure 3c shows the modeled production of Ca in the descending sporadic E layer (the background Ca layer is not modeled in this simulation). The layer, whose position is indicated by the dashed white line, descends at a constant rate to 93 km where the ions are then dumped, as indicated by the Ca\(^+\) observations in Figure 3a. The model successfully reproduces the height and absolute concentration of the resulting Ca, which is an important test of the reaction kinetics, since inspection of Figure 3 shows that only \(~10\%\) of the
available Ca\(^{+}\) is converted to Ca during the observational period. Moreover, very little neutral Ca is produced until the ion layer descends below 95 km, consistent with Figure 3b, because the rate of neutralization of Ca\(^{+}\) depends on [O\(_2\)] and inversely on [O] (equation (9)). Since [O\(_2\)] increases ~100-fold between 105 and 93 km, and [O] is approximately constant, these ion neutralization rates increase by roughly 2 orders of magnitude. This causes the production of Ca to occur at the dump altitude, but not higher up—so long as the rate of descent of the sporadic E layer is sufficiently fast.

5. Conclusions

The rate coefficient for the dissociative recombination of CaO\(^{+}\) has been measured for the first time and is found to be \((3.0 \pm 1.0) \times 10^{-7}\) cm\(^3\) molecule\(^{-1}\) s\(^{-1}\) at 295 K. This result has two atmospheric implications. First, the surprising observation that the Ca\(^{+}\)/Fe\(^{+}\) ratio is ~8 times larger than Ca/Fe between 90 and 100 km can now be explained by the known ion-molecule chemistry of these two metals. Second, the rate of neutralization of Ca\(^{+}\) ions in a descending sporadic E layer is fast enough to explain the often explosive growth of sporadic Ca layers.

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Available Ca\(^{+}\) is converted to Ca during the observational period. Moreover, very little neutral Ca is produced until the ion layer descends below 95 km, consistent with Figure 3b, because the rate of neutralization of Ca\(^{+}\) depends on [O\(_2\)] and inversely on [O] (equation (9)). Since [O\(_2\)] increases ~100-fold between 105 and 93 km, and [O] is approximately constant, these ion neutralization rates increase by roughly 2 orders of magnitude. This causes the production of Ca to occur at the dump altitude, but not higher up—so long as the rate of descent of the sporadic E layer is sufficiently fast.