Multilayer crisscross error and erasure correction

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Abstract

In this work, multilayer crisscross error and erasures are considered, which affect entire rows and columns in the matrices of a list of matrices. To measure such errors and erasures, the multi-cover metric is introduced. Several bounds are derived, including a Singleton bound, and maximum multi-cover distance (MMCD) codes are defined as those attaining it. Duality, puncturing and shortening of linear MMCD codes are studied. It is shown that the dual of a linear MMCD code is not necessarily MMCD, and those satisfying this duality condition are defined as dually MMCD codes. Finally, some constructions of codes in the multi-cover metric are given, including dually MMCD codes, together with efficient decoding algorithms for them.

Keywords: Crisscross error correction, duality, extremal codes, sum-rank distance.

1 Introduction

The cover metric was introduced independently in [7, 5, 22] to measure the number of crisscross errors in memory chip arrays [22]. Later they proved to be useful in narrow-band power line communication (NB-PLC) smart grids [10, 26]. Crisscross errors affect entire rows and columns of a matrix that encodes the stored information. Two types of codes attaining the Singleton bound for the cover metric were introduced in [5, 22], one of them based on the rank metric and patented in [20]. Since then, codes in the cover metric with other correcting features (e.g., probabilistic, list-decoding, etc.) were subsequently studied [11, 13, 23, 24, 25].

In this work, we extend the cover metric to tuples of $\ell$ matrices or arrays, where the length $\ell$ is a fixed positive integer. We call the new metric the multi-cover metric. This metric is suitable to correct simultaneously a number of crisscross errors and erasures distributed over the $\ell$ matrices, in any way and without knowledge at the decoding end. We call such errors and erasures multilayer crisscross errors and erasures. These patterns of errors and erasures occur naturally when one considers $\ell$ independent realizations of channels or systems where crisscross errors and erasures occur. This
is the case, for instance, when one considers correction across multiple memory chip arrays simultaneously, or when one considers multiple NB-PLC smart grids. In such situations, considering a Cartesian product of codes attaining the Singleton bound for the cover metric or a concatenation with convolutional codes do not yield codes attaining the Singleton bound for the multi-cover metric. Hence, if one wants optimal codes (meaning those whose minimum multi-cover distance attains the Singleton bound), one needs to consider other methods (see the bounds and constructions in Sections 3 and 5, respectively). See Fig. 1 below for a typical multilayer crisscross error pattern.

Figure 1: Illustration of a pattern of crisscross errors throughout two $4 \times 4$ binary matrices (which constitute one single codeword). The error pattern affects almost entirely (but not necessarily entirely) two rows in the first matrix and one column and one row in the second matrix. Thus in this example we are considering $\ell = 2$ realizations of a channel with crisscross errors, where a total 4 multilayer crisscross errors occurred (2 in the first realization and 2 in the second one).

The organization and main contributions of this manuscript are as follows. In Section 2, we provide the main definitions, notations and some preliminary results. In Section 3, we provide several bounds on the parameters of codes in the multi-cover metric. These include a Singleton-like bound. Maximum multi-cover distance (MMCD) codes are then defined as those attaining it. In Section 4, we explore the duality, puncturing and shortening of linear MMCD codes. It is shown that the dual of a linear MMCD code is not MMCD in general, in contrast with other classical metrics. Dually MMCD codes are defined as those linear MMCD codes whose duals are also MMCD. We characterize dually MMCD codes in terms of information multi-covers, which generalize the notion of information sets. In Section 5, we provide several constructions of codes in the multi-cover metric, including dually MMCD codes, and provide decoders for them. Section 6 concludes the paper and provides several open problems.

2 Definitions and basic properties

Denote $\mathbb{N} = \{0, 1, 2, \ldots\}$ and fix $q$ a prime power. We denote by $\mathbb{F}_q$ the finite field with $q$ elements. For positive integers $m$ and $n$, we denote by $\mathbb{F}_{q}^{m \times n}$ the set of $m \times n$ matrices with entries in $\mathbb{F}_q$. Throughout this manuscript, we will fix positive integers $\ell, n_1, n_2, \ldots, n_\ell, m_1, m_2, \ldots, m_\ell$, and we will consider codes as subsets of $\prod_{i=1}^{\ell} \mathbb{F}_{q}^{m_i \times n_i}$. Linear codes will be $\mathbb{F}_q$-linear subspaces of such a vector space.
Set $m = (m_1, m_2, \ldots, m_\ell)$ and $n = (n_1, n_2, \ldots, n_\ell)$. We denote by $MC(m, n) = \{(X_i, Y_i)_{i=1}^\ell \mid X_i \subseteq [m_i], Y_i \subseteq [n_i]\}$ the set of multi-covers in $\prod_{i=1}^{\ell} \mathbb{F}_q^{m_i \times n_i}$. Finally, given $X = (X_i, Y_i)_{i=1}^\ell \in MC(m, n)$, we define its size as $|X| = \sum_{i=1}^\ell (|X_i| + |Y_i|)$ and its projection map $\pi_X : \prod_{i=1}^{\ell} \mathbb{F}_q^{m_i \times n_i} \rightarrow \prod_{i=1}^{\ell} \mathbb{F}_q^{(m_i - |X_i|) \times (n_i - |Y_i|)}$ by removing from $C_i \in \mathbb{F}_q^{m_i \times n_i}$ the rows indexed by $X_i$ and the columns indexed by $Y_i$ in order to obtain $\pi_X(C_1, C_2, \ldots, C_\ell)$. Given $C \in \mathbb{F}_q^{m \times n}$ and $(C_1, C_2, \ldots, C_\ell) \in \prod_{i=1}^{\ell} \mathbb{F}_q^{m_i \times n_i}$, we denote by $C_{a,b}$ and $C_{i,a,b}$ the entries in row $a$ and column $b$ of the matrices $C$ and $C_i$, respectively. Throughout the manuscript, we will also assume, without loss of generality, that $n_i \leq m_i$, for $i = 1, 2, \ldots, \ell$, and $m_1 \geq \ldots \geq m_\ell$.

We extend the definition of the cover metric from \cite{7, 22} to the multilayer case, as follows. Note that the name “term rank metric” is also used for the cover metric, see \cite{22}.

**Definition 1.** Let $C = (C_1, C_2, \ldots, C_\ell) \in \prod_{i=1}^{\ell} \mathbb{F}_q^{m_i \times n_i}$, where $C_i \in \mathbb{F}_q^{m_i \times n_i}$, for $i = 1, 2, \ldots, \ell$. We say that $(X_i, Y_i)_{i=1}^\ell \in MC(m, n)$ is a multi-cover of $C$ if $(X_i, Y_i)$ is a cover of $C_i$, which means that if $C_{i,a,b} \neq 0$, then $a \in X_i$ or $b \in Y_i$, for $i = 1, 2, \ldots, \ell$. We denote by $MC(C)$ the set of all multi-covers of $C$ (note that $MC(C) \subseteq MC(m, n)$).

The multi-cover weight of $C$ is then defined as $wt_{MC}(C) = \min \{|X| \mid X \in MC(C)\}$. The multi-cover metric is defined as $d_{MC} : (\prod_{i=1}^{\ell} \mathbb{F}_q^{m_i \times n_i})^2 \rightarrow \mathbb{N}$, where $d_{MC}(C, D) = wt_{MC}(C - D)$, for $C, D \in \prod_{i=1}^{\ell} \mathbb{F}_q^{m_i \times n_i}$. Given a code $C \subseteq \prod_{i=1}^{\ell} \mathbb{F}_q^{m_i \times n_i}$, we define its minimum multi-cover distance as $d_{MC}(C) = \min \{d_{MC}(C, D) \mid C, D \in C, C \neq D\}$. When considering the minimum distance of a code $C$, we implicitly assume that $|C| > 1$.

Throughout this manuscript, we will consider the column-wise Hamming weight and metric in $\prod_{i=1}^{\ell} \mathbb{F}_q^{m_i \times n_i}$, and we will denote them, respectively, as $wt_C^H$ and $d_C^H$.

Note that the cover metric \cite{7, 22} is recovered from the multi-cover metric when $\ell = 1$ (in particular, the multi-cover metric is indeed a metric as it is a sum of metrics). Similarly, the multi-cover metric and the Hamming metric coincide in $\prod_{i=1}^{\ell} \mathbb{F}_q^{m_i \times n_i}$ if $m_i = n_i = 1$, for $i = 1, 2, \ldots, \ell$. In this way, the multi-cover metric interpolates between the cover metric and the Hamming metric. We also have the following trivial relation.

**Proposition 2.** For $C \in \prod_{i=1}^{\ell} \mathbb{F}_q^{m_i \times n_i}$ and $C \subseteq \prod_{i=1}^{\ell} \mathbb{F}_q^{m_i \times n_i}$, we have $wt_{MC}(C) \leq wt_C^H(C)$ and $d_{MC}(C) \leq d_C^H(C)$.

As usual, note that if $C \subseteq \prod_{i=1}^{\ell} \mathbb{F}_q^{m_i \times n_i}$ is linear, then $d_{MC}(C) = \min \{wt_{MC}(C) \mid C \in C \setminus \{0\}\}$.

Next, we define decoders for multilayer crisscross errors and erasures. This defines implicitly the concept of such errors and erasures.

**Definition 3.** Fix positive integers $\rho$ and $t$, let $C \subseteq \prod_{i=1}^{\ell} \mathbb{F}_q^{m_i \times n_i}$ and let $X = (X_i, Y_i)_{i=1}^\ell \in MC(m, n)$ be such that $|X| = \rho$. A $t$-error and $\rho$-erasure-correcting decoder for the code $C$ and the erasure pattern $X$ is a map $D : \prod_{i=1}^{\ell} \mathbb{F}_q^{m_i \times n_i} \rightarrow \prod_{i=1}^{\ell} \mathbb{F}_q^{(m_i - |X_i|) \times (n_i - |Y_i|)}$. 

$3$
such that $D(\pi_X(C) + E) = C$, for all $C \in \mathcal{C}$ and all $E \in \prod_{i=1}^t \mathbb{F}_q^{(m_i - |X_i|) \times (n_i - |Y_i|)}$ with $\text{wt}_{MC}(E) \leq t$. In general, the decoder $D$ will depend on $\mathcal{C}$, $X$ and $t$ (which are known by the decoder), but we do not write this dependency for brevity.

We have the following characterization of the multilayer crisscross error and erasure-correction capability of a code. This result implies that the multi-cover metric is the right metric to determine the capability of a code to correct a given number of multilayer crisscross errors and erasures.

**Proposition 4.** Fix positive integers $t$ and $\rho$ and fix a code $C \subseteq \prod_{i=1}^t \mathbb{F}_q^{m_i \times n_i}$. There exists a $t$-error and $\rho$-erasure-correcting decoder for $\mathcal{C}$ and for every $X \in \text{MC}(\mathbf{m}, \mathbf{n})$ with $|X| = \rho$ if, and only if, $2t + \rho < d_{MC}(C)$.

**Proof.** First, assume that there exists $X \in \text{MC}(\mathbf{m}, \mathbf{n})$ such that $|X| = \rho$ and there is no $t$-error and $\rho$-erasure-correcting decoder for $\mathcal{C}$ and $X$. Hence, there exist distinct $C, D \in \mathcal{C}$ and $E, F \in \prod_{i=1}^t \mathbb{F}_q^{(m_i - |X_i|) \times (n_i - |Y_i|)}$ such that $\pi_X(C) + E = \pi_X(D) + F$, $\text{wt}_{MC}(E) \leq t$ and $\text{wt}_{MC}(F) \leq t$. We have that

$$\text{wt}_{MC}(C - D) \leq \text{wt}_{MC}(\pi_X(C - D)) + |X| = \text{wt}_{MC}(E - F) + \rho \leq 2t + \rho.$$ 

Hence $2t + \rho \geq d_{MC}(C)$.

Conversely, assume that $2t + \rho \geq d_{MC}(C)$. Then there exist distinct $C, D \in \mathcal{C}$ such that $\text{wt}_{MC}(C - D) \leq 2t + \rho$. Therefore, there exist intersecting $X, X', X'' \in \text{MC}(\mathbf{m}, \mathbf{n})$ such that $|X| = \rho$, $|X'| = |X''| = t$ and $X \cup X' \cup X'' \in \text{MC}(C - D)$, where $X \cup X' \cup X''$ is defined in the obvious way.

Let $E', F' \in \prod_{i=1}^t \mathbb{F}_q^{m_i \times n_i}$ be formed by the entries of $-C$ and $-D$ inside $X'$ and $X''$ and zero outside, respectively. Finally, let $E = \pi_X(E')$ and $F = \pi_X(F')$. The reader may verify that $\pi_X(C) + E = 0 = \pi_X(D) + F$, $\text{wt}_{MC}(E) \leq t$ and $\text{wt}_{MC}(F) \leq t$. Hence there is no $t$-error and $\rho$-erasure-correcting decoder for $\mathcal{C}$ and $X$. \qed

## 3 Bounds on code parameters

In this section, we provide bounds on different multi-cover-metric parameters of a code. We start by providing a Singleton bound for the multi-cover metric. We note that it holds in the same way for the Hamming metric by columns, which constitutes a strengthening of [3, Th. 3.2]. However, it may be proven as in that article, thus we omit the proof for brevity. The case of the multi-cover metric is trivial from the case of the Hamming metric by columns by Proposition 2.

**Theorem 1.** Let $C \subseteq \prod_{i=1}^t \mathbb{F}_q^{m_i \times n_i}$ be a code, and set $d = d_{MC}(C)$ or $d = d_{MC}(C)$. Let $\delta$ and $j$ be the unique integers such that $d - 1 = \sum_{i=1}^{j-1} n_i + \delta$ and $0 \leq \delta \leq n_j - 1$. Then

$$|C| \leq q^\sum_{i=j}^{t} m_i n_i - m_j \delta. \quad (1)$$

As a consequence, we define maximum multi-cover distance (MMCD) codes as follows.
Definition 5. We say that a code $C \subseteq \prod_{i=1}^{\ell} F_m^{m_i \times n_i}$ is maximum multi-cover distance (MMCD) if equality holds in (1).

In the case of equal numbers of rows, we obtain a more classical form of the Singleton bound from (1), as shown in the following proposition. In fact, for equal rows, any bound that holds for the Hamming metric also holds for the multi-cover metric by Proposition 2. We collect several of these bounds in the following proposition.

Proposition 6. Assume that $m = m_1 = \ldots = m_\ell$ and set $N = n_1 + n_2 + \cdots + n_\ell$. Let $C \subseteq \prod_{i=1}^{\ell} F_q^{m_i \times n_i}$ be a code, and set $d = d_{MC}(C)$. The following bounds hold.

1. Singleton bound:
   $$|C| \leq q^{m(N-d+1)}.$$

2. Hamming bound:
   $$|C| \leq \left\lfloor \frac{q^m N}{\sum_{j=0}^{\lfloor (d-1)/2 \rfloor} \binom{N}{j} (q^m - 1)^j} \right\rfloor.$$

3. Plotkin bound: If $d > (q^m - 1)N/q^m$, then
   $$|C| \leq \left\lfloor \frac{q^m d}{q^m d - (q^m - 1)N} \right\rfloor.$$

4. Elias bound: For any $w = 0, 1, \ldots, N(q^m - 1)/q^m$,
   $$|C| \leq \left\lfloor \frac{Nd(q^m - 1)}{q^m w^2 + N(d - 2w)(q^m - 1)} + \sum_{j=0}^{w} \frac{q^m N}{\binom{N}{j} (q^m - 1)^j} \right\rfloor.$$

Next we explore bounds involving the size of a ball in the multi-cover metric. Define

$$B_r(C) = \left\{ D \in \prod_{i=1}^{\ell} F_q^{m_i \times n_i} \bigg| d_{MC}(C, D) \leq r \right\},$$

where $r \in \mathbb{N}$ and $C \in \prod_{i=1}^{\ell} F_q^{m_i \times n_i}$. We also denote $B_r = |B_r(0)|$, for $r \in \mathbb{N}$. The task of finding exactly the size $B_r$ seems challenging. In Subsection 3.1, we will provide upper and lower bounds on its size that coincide asymptotically when $q$ tends to infinity.

We start by a sphere-packing bound, which is straightforward and proven in the same way as for any metric.

Theorem 2. For a code $C \subseteq \prod_{i=1}^{\ell} F_q^{m_i \times n_i}$, setting $r = \lfloor (d_{MC}(C) - 1)/2 \rfloor$, we have that

$$B_r \cdot |C| \leq q^{\sum_{i=1}^{\ell} m_i n_i}.$$  \hspace{1cm} (2)

As a consequence, we may define perfect codes for the multi-cover metric as follows.
Definition 7. We say that a code $C \subseteq \prod_{i=1}^{\ell} \mathbb{F}_q^{m_i \times n_i}$ is a perfect code for the multi-cover metric if equality holds in (2).

We will next compute exactly the value $B_1$, which is

$$B_1 = 1 + \sum_{i=1}^{\ell} (n_i (q^{m_i} - 1) + m_i (q^{n_i} - 1) - m_i n_i (q - 1)).$$ (3)

We briefly prove (3). Fix one of the $\ell$ matrix spaces $\mathbb{F}_q^{m_i \times n_i}$. To count the number of matrices of cover weight 1, we may add the number of matrices with only one non-zero column and the number of matrices with only one non-zero row, and then subtract the size of the intersection of these two sets (i.e., the number of matrices with only one non-zero entry). These three numbers are, respectively, $n_i (q^{m_i} - 1)$, $m_i (q^{n_i} - 1)$ and $m_i n_i (q - 1)$, hence (3) is proven.

Similarly to [2, Th. 3.7], we may obtain a projective sphere-packing bound by making use of projections. The proof is analogous thus omitted.

Theorem 3. Let $C \subseteq \prod_{i=1}^{\ell} \mathbb{F}_q^{m_i \times n_i}$ with $|C| \geq 2$, $d = d_{MC}(C)$ and $3 \leq d \leq n_1 + n_2 + \ldots + n_{\ell}$. Let $j$ and $\delta$ be the unique integers such that $1 \leq j \leq \ell - 1$, $1 \leq \delta \leq n_{j+1} - 1$, and $d - 3 = \sum_{i=1}^{j} n_i + \delta$. Define $n_{j+1}' = n_{j+1} - \delta$ and $n_i' = n_i$ if $i \neq j + 1$. Then

$$|C| \leq \frac{q^{\sum_{i=j+1}^{\ell} m_i n_i'} + 1}{1 + \sum_{i=j+1}^{\ell} (n_i' (q^{m_i} - 1) + m_i (q^{n_i' - 1} - m_i n_i' (q - 1)))}.$$ (4)

Combining Theorems 1 and 3 we may obtain a bound on $\ell$ for MMCD codes in the case of equal rows and equal columns.

Theorem 4. Assume that $m = m_1 = \ldots = m_{\ell}$ and $n = n_1 = \ldots = n_{\ell}$ (thus $n \leq m$). Assume that there exists an MMCD code $C \subseteq \mathbb{F}_q^{m \times n}$ with $d = d_{MC}(C)$. Let $0 \leq \delta \leq n - 1$ be the remainder of the Euclidean division of $d - 3$ by $n$. Then

$$\ell \leq \left\lfloor \frac{q^{2m} - 1 - m (q^{n-\delta} - 1) - (n - \delta) (q^{m} - 1) + m (n - \delta) (q - 1)}{m (q^{n} - 1) + n (q^{m} - 1) - mn (q - 1)} \right\rfloor + \left\lfloor \frac{d - 3}{n} \right\rfloor + 1.$$ (5)

Now assume that $m = n$. If $q \geq 4$ and $n \geq 2$, or if $q = 3$ and $n \geq 3$, or if $q = 2$ and $n \geq 4$, then the upper bound (5) is tighter than (and thus implies) the bound

$$\ell \leq \left\lfloor \frac{2q^n}{3n} \right\rfloor + \left\lfloor \frac{d - 3}{n} \right\rfloor + 1.$$ (6)

Proof. Define $\ell' = \ell - \lfloor (d - 3)/n \rfloor$ and note that, since $C$ is MMCD, then $|C| = q^{m(\ell n - d + 1)}$. Thus, applying Theorem 3 we get

$$q^{m(\ell n - d + 1)} (1 + (\ell' - 1) (m (q^n - 1) + n (q^m - 1) - mn (q - 1))) + m (q^{n-\delta} - 1))$$

$$+ (n - \delta) (q^{m} - 1) - m (n - \delta) (q - 1)) \leq q^{m(\ell n - d + 3)}.$$
After simplifying this inequality, we obtain (4).

Now assume that $m = n$. Since $0 \leq \delta \leq n - 1$, we have

$$\ell - \left\lfloor \frac{d - 3}{n} \right\rfloor - 1 \leq \frac{q^{2n} - 1 - n(q^n - 1) - (n - \delta)(q^n - 1) + n(n - \delta)(q - 1)}{2n(q^n - 1) - n^2(q - 1)}$$

$$\leq \frac{q^{2n} - 1 - n(q - 1) - (q^n - 1) + n^2(q - 1)}{2n(q^n - 1) - n^2(q - 1)}.$$

Now, the inequality

$$\frac{q^{2n} - 1 - n(q - 1) - (q^n - 1) + n^2(q - 1)}{2n(q^n - 1) - n^2(q - 1)} \leq \frac{2q^n}{3n}$$

is equivalent to

$$q^n \left( \frac{q^n - 1}{q - 1} - 2n \right) \geq 3n(n - 1).$$

The reader may verify by induction on $n$ that

$$q^n \geq 3n(n - 1) \quad \text{and} \quad \frac{q^n - 1}{q - 1} - 2n \geq 1,$$

if $q \geq 4$ and $n \geq 2$, or if $q = 3$ and $n \geq 3$, or if $q = 2$ and $n \geq 4$, and the result follows.

Observe that, in the Hamming-metric case $m = n = 1$, we have $\delta = 0$ and (4) reads

$$\ell \leq q^2 - 1 + \frac{d - 3}{1} = q + d - 2,$$

which is a well-known bound on the length of MDS codes \[9, \text{Cor. 7.4.3(ii)}\]. Observe now that, by Proposition 2, the bound (6) implies that

$$\ell \leq \left\lfloor \frac{q^n + d - 2}{n} \right\rfloor.$$

However, the bound (5) is tighter than (7), and therefore, so is (4), in the cases stated in Theorem 4.

We next turn to the non-existence of perfect codes for the multi-cover metric.

Proposition 8. Assume that $n = m_1 = \ldots = m_{\ell} = n_1 = \ldots = n_{\ell}$ and $q$ is even. If $n$ or $\ell$ is also even, then there is no perfect code in $(\mathbb{F}_q^{n \times n})^\ell$ of minimum multi-cover distance $d = 3$.

Proof. If there is one such perfect code $C \subseteq (\mathbb{F}_q^{n \times n})^\ell$, then according to Theorem 2 we have

$$q^{\ell n^2} = (1 + \ell n(2(q^n - 1) - n(q - 1)))|C|.$$

This means that $1 + \ell n(2(q^n - 1) - n(q - 1)) = q^t$, for some positive integer $t$, and thus $1 + \ell n(2(q^n - 1) - n(q - 1))$ is even. However, this is not possible if $\ell$ or $n$ is even. ■
We conclude with basic Gilbert-Varshamov-like or Sphere-covering existential bound for the multi-cover metric. It is based on ball sizes and is proven in the same way as for any metric.

**Theorem 5.** Let \( d = 1, 2, \ldots, N \), where \( N = n_1 + n_2 + \cdots + n_\ell \), and define

\[
k = \left\lfloor \log_q \left( \frac{\sum_{i=1}^\ell m_i n_i}{B_{d-1}} \right) \right\rfloor.
\]

There exists a linear code \( C \subseteq \prod_{i=1}^\ell F_{m_i \times n_i} \) with \( \dim(C) = k \) and \( d_{MC}(C) \geq d \).

### 3.1 The size of a ball

In this subsection, we estimate the size of a ball of radius \( r \) in the multi-cover metric, that is, the number \( B_r \). We will provide upper and lower bounds whose limit is the same as that of \( B_r \) as \( q \) tends to infinity. Thus we provide the order of the growth of \( B_r \) as a function of \( q \), being the other parameters fixed. All the proofs of this subsection are deferred to Appendix 6. Define

\[
S_r(C) = \left\{ D \in \prod_{i=1}^\ell F_{m_i \times n_i} : d_{MC}(C, D) = r \right\},
\]

where \( r \in \mathbb{N} \) and \( C \in \prod_{i=1}^\ell F_{m_i \times n_i} \). We also denote \( S_r = |S_r(0)| \), for \( r \in \mathbb{N} \). Clearly, we have \( B_r = \sum_{r=0}^R S_r \), so we only need to compute \( S_r \). For convenience, we define also

\[
S_{r, m, n} = |\{ C \in F_{m \times n} : \text{wt}_{MC}(C) = r \}|,
\]

for positive integers \( m, n \) and \( r \). Clearly, it holds that \( S_r = \sum_{r \in \mathbb{N}, |C|=r} \prod_{i=1}^\ell S_{r, m_i, n_i} \), where \( |C| = r_1 + r_2 + \cdots + r_\ell \), and therefore,

\[
B_r = \sum_{i=0}^r \sum_{r \in \mathbb{N}, |r|=r} \prod_{i=1}^\ell S_{r_i, m_i, n_i}.
\]

Thus, we only need to find \( S_{r, m, n} \) for positive integers \( m, n \) and \( r \), which has not yet been computed, to the best of our knowledge.

We start by giving a recursive formula for the sizes of spheres of full radius. We will use such sphere sizes for the general case.

**Lemma 9.** Let \( m \) and \( n \) be positive integers such that \( n \leq m \). Then \( S_{1, m, n} = q^m - 1 \) and

\[
S_{n, m} = q^{mn} - \sum_{i=1}^m \sum_{j=1}^n \binom{m}{i} \binom{n}{j} S_{\min\{m-i, n-j\}}^{m-i, n-j}.
\]

Moreover, we have that \( (q-1)^{mn} \leq S_{n, m} \leq q^{mn} \).
Therefore, we may recursively compute the numbers $S_{m,n}^r$, where $n \leq m$. Using these numbers, we may give the following upper and lower bounds for $S_{r}^{m,n}$, for any $r = 1, 2, \ldots, \min\{m, n\}$.

**Theorem 6.** For any positive integers $m, n$ and $r$, with $1 \leq r \leq \min\{m, n\}$, it holds that $S_{r}^{m,n} = UB_r - DC_r$, where $UB_r \geq DC_r \geq 0$ are integers such that

$$UB_r = \sum_{s=0}^{r-1} \left( \binom{m}{s} \binom{n}{r-s} q^{m-s}(q^{n-s} - 1)^{r-s} q^{s(r-s)} \right),$$

$$DC_r \leq \sum_{\omega=0}^{r-1} \sum_{u=0}^{\omega} \left( \binom{m}{u} \binom{n}{\omega-u} \right) \sum_{s=0}^{r-\omega} \sum_{t=0}^{\omega-s} \left[ \binom{m-u}{s} \binom{n-\omega+u}{s+t} \right] \left( q^{r-\omega-s} - 1 \right) \left( q^{r-\omega-t} - 1 \right)^{u} \left( q^{t+s} \left( q^{m-u-t} - 1 \right) + (q^s - 1)(q^t - 1) \right)^{\omega-u}.$$ 

$$DC_r \geq \sum_{\omega=0}^{r-1} \sum_{u=0}^{\omega} \left( \binom{m}{u} \binom{n}{\omega-u} \right) \sum_{s=0}^{r-\omega} \sum_{t=0}^{\omega-s} \left[ \binom{m-u}{s} \binom{n-\omega+u}{s+t} \right] \left( q^{r-\omega-s} - 1 \right) \left( q^{r-\omega-t} - 1 \right)^{u} \left( q^{t+s} \left( q^{m-u-t} - 1 \right) + (q^s - 1)(q^t - 1) \right)^{\omega-u}.$$ 

$$\cdot \left( q^{r-\omega-s} + (q^s - 1)(q^t - 1) \right)^{\omega-u}.$$ 

Here, for integers $m \geq 1, 0 \leq s \leq m$ and $s \leq t \leq m$, we define

$$\binom{m}{s,t} = \begin{cases} \frac{m!}{s!(m-s-t)!} & \text{if } s \neq 0 \text{ or } t \neq 0, \\ 0 & \text{if } s = t = 0. \end{cases}$$

In the case $r = 1$, the reader may verify that $UB_1 = n(q^m - 1) + m(q^n - 1)$ and the upper and lower bounds in Theorem 6 coincide and give $DC_1 = mn(q - 1)$. Hence $S_{1}^{m,n} = n(q^m - 1) + m(q^n - 1) - mn(q - 1)$, as computed in $\text{3}$. 

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In general, for \( r > 1 \), the upper and lower bounds in Theorem 6 do not coincide. However, when \( m, n \) and \( r \) are fixed but \( q \) grows, the upper and lower bounds in Theorem 6 coincide asymptotically. In particular, we may asymptotically compute the order of both \( S^{m,n}_r \) and \( B^{m,n}_r = \sum_{i=0}^{r} S^{m,n}_i \) as functions of \( q \).

**Corollary 10.** Let \( 1 \leq r \leq n \leq m \) be positive integers. Then

\[
\lim_{q \to \infty} \left( \frac{n}{r} \right)^{m r} S^{m,n}_r = \lim_{q \to \infty} \left( \frac{n}{r} \right)^{m r} B^{m,n}_r = \lim_{q \to \infty} S^{m,n}_r B^{m,n}_r = 1.
\]

### 4 Duality, puncturing and shortening

In this section, we study duality, puncturing and shortening for the multi-cover metric. We will consider duality with respect to the trace product, given by

\[
\langle C, D \rangle = \sum_{i=1}^{\ell} \text{Tr}(C_i D_i),
\]

where \( C = (C_1, C_2, \ldots, C_\ell), D = (D_1, D_2, \ldots, D_\ell) \in \prod_{i=1}^{\ell} \mathbb{F}_{q}^{m_i \times n_i}, \) and where \( \text{Tr}(A) \) denotes the trace of the matrix \( A \). Observe that \( \langle \cdot, \cdot \rangle \) is nothing but the usual inner product over \( \mathbb{F}_q \) seeing \( \prod_{i=1}^{\ell} \mathbb{F}_q^{m_i \times n_i} \) as \( \mathbb{F}_q^{\sum_{i=1}^{\ell} m_i n_i} \). We then define the dual of a linear code \( C \subseteq \prod_{i=1}^{\ell} \mathbb{F}_q^{m_i \times n_i} \) in the usual way,

\[
C^\perp = \left\{ D \in \prod_{i=1}^{\ell} \mathbb{F}_q^{m_i \times n_i} \left| \langle C, D \rangle = 0, \text{ for all } C \in C \right. \right\}.
\]

#### 4.1 Puncturing and shortening

In this subsection, we define puncturing and shortening, which extend the classical concepts of puncturing and shortening for the Hamming metric [9, Sec. 1.5]. As in the classical Hamming-metric case, puncturing and shortening enables us to explicitly construct shorter codes from known codes. To the best of our knowledge, these concepts have not yet been introduced in the classical cover metric case (\( \ell = 1 \)).

**Definition 11.** Let \( X = (X_i, Y_i)_{i=1}^{\ell} \in \text{MC}(m, n) \). Given a code \( C \subseteq \prod_{i=1}^{\ell} \mathbb{F}_q^{m_i \times n_i} \), we define its puncturing and shortening on \( X \), respectively, as

\[
C_X = \pi_X(C),
\]

\[
C^X = \{ \pi_X(C) \mid C \in C, C_{i,a,b} = 0 \text{ if } a \in X_i \text{ or } b \in Y_i, 1 \leq i \leq \ell \},
\]

both of which are codes in \( \prod_{i=1}^{\ell} \mathbb{F}_q^{(m_i - |X_i|) \times (n_i - |Y_i|)} \).

We now describe the basic properties of punctured and shortened codes in general.
Proposition 12. Given a linear code $C \subseteq \prod_{i=1}^{\ell} F_q^{m_i \times n_i}$ and a multi-cover $X = (X_i, Y_i)_{i=1}^{\ell} \in \text{MC}(m, n)$, the following hold:

1. $(C_X)^\perp = (C^\perp)^X$ and $(C^X)^\perp = (C^\perp)_X$.
2. $\dim(C_X) \geq \dim(C) - \sum_{i=1}^{\ell} (n_i|X_i| + m_i|Y_i| - |X_i|\cdot|Y_i|)$.
3. $\dim(C^X) \geq \dim(C) - \sum_{i=1}^{\ell} (n_i|X_i| + m_i|Y_i| - |X_i|\cdot|Y_i|)$.
4. $d_{MC}(C_X) \geq d_{MC}(C) - |X|$.
5. $d_{MC}(C^X) \geq d_{MC}(C)$.

Proof. Items 4 and 5 are straightforward. Item 1 coincides with the classical result [9, Th. 1.5.7(i)]. Items 2 and 3 coincide with the classical results after realizing that the number of deleted positions is exactly $\sum_{i=1}^{\ell} (n_i|X_i| + m_i|Y_i| - |X_i|\cdot|Y_i|)$.

More interestingly, we may obtain shorter linear MMCD codes from known linear MMCD codes.

Theorem 7. Let $C \subseteq \prod_{i=1}^{\ell} F_q^{m_i \times n_i}$ be a linear MMCD code. Set $d = d_{MC}(C)$ and let $\delta$ and $j$ be the unique integers such that $d - 1 = \sum_{i=1}^{j-1} n_i + \delta$ and $0 \leq \delta \leq n_j - 1$. Let $X = (X_i, Y_i)_{i=1}^{\ell} \in \text{MC}(m, n)$. The following hold:

1. Let $1 \leq k \leq j$, assume that $d > 1$, $X_i = \emptyset$, for all $i = 1, 2, \ldots, \ell$, $Y_i = \emptyset$ if $i \neq k$ and $|X_k| = 1$. Further assume $\delta > 0$ if $k = j$. Then $C_X$ is a linear MMCD code with $\dim(C_X) = \dim(C)$ and $d_{MC}(C_X) = d_{MC}(C) - 1$.

2. Let $j + 1 \leq k \leq \ell$, and assume that $Y_i = \emptyset$, for all $i = 1, 2, \ldots, \ell$, $X_i = \emptyset$ if $i \neq k$ and $|X_k| = 1$. Then $C^X$ is a linear MMCD code with $\dim(C^X) = \dim(C) - n_k$ and $d_{MC}(C^X) = d_{MC}(C)$.

3. Let $j \leq k \leq \ell$, and assume that $X_i = \emptyset$, for all $i = 1, 2, \ldots, \ell$, $Y_i = \emptyset$ if $i \neq k$ and $|Y_k| = 1$. Then $C^X$ is a linear MMCD code with $\dim(C^X) = \dim(C) - m_k$ and $d_{MC}(C^X) = d_{MC}(C)$.

Proof. We start by proving Item 1. Let $n'_i = n_i$ if $i \neq k$, and let $n'_k = n_k - 1$. Note that $C_X \subseteq \prod_{i=1}^{\ell} F_q^{m'_i \times n'_i}$. Set also $\delta' = \delta - 1$ if $k = j$, and $\delta' = \delta$ otherwise. Thus $0 \leq \delta' \leq n'_j - 1$ by the assumptions. By Proposition 12 we have that

$$d_{MC}(C_X) - 1 \geq d - 2 = \sum_{i=1}^{j-1} n_i + \delta - 1 = \sum_{i=1}^{j-1} n'_i + \delta',$$

and since $d > 1$, we also have that

$$\dim(C_X) = \dim(C) = \sum_{i=j}^{\ell} m_i n_i - m_j \delta = \sum_{i=j}^{\ell} m_i n'_i - m_j \delta'.$$
Therefore, \( C_X \) must be MMCD and the inequality above is an equality. We next prove Item 2. Let \( m'_i = m_i \) if \( i \neq k \), and let \( m'_k = m_k - 1 \). Note that 

\[ C_X \subseteq \prod_{i=1}^{\ell} \mathbb{F}_q^{m'_i \times n_i} \]. By Proposition 12 we have that

\[ d_{MC}(C_X) - 1 \geq d - 1 = \sum_{i=1}^{j-1} n_i + \delta, \text{ and} \]

\[ \dim(C_X) \geq \dim(C) - n_k = \sum_{i=j}^{\ell} m'_i n_i - m'_j \delta. \]

Therefore, \( C_X \) must be MMCD and the inequalities above are equalities.

Finally we prove Item 3. Let \( n'_i = n_i \) if \( i \neq k \), and let \( n'_k = n_k - 1 \). Note that 

\[ C_X \subseteq \prod_{i=1}^{\ell} \mathbb{F}_q^{m_i \times n'_i} \]. By Proposition 12 we have that

\[ d_{MC}(C_X) - 1 \geq d - 1 = \sum_{i=1}^{j-1} n_i + \delta = \sum_{i=1}^{j-1} n'_i + \delta, \text{ and} \]

\[ \dim(C_X) \geq \dim(C) - m_k = \sum_{i=j}^{\ell} m_i n'_i - m_j \delta. \]

Therefore, \( C_X \) must be MMCD and the inequalities above are equalities. \( \square \)

4.2 Dually MMCD codes and MDS codes by rows and columns

In Section 2 we considered the Hamming metric by columns and related it to the multi-cover metric. We may similarly define the Hamming metric by rows in \( \mathbb{F}_q^{n \times n} \) by transposition, i.e., we may consider 

\[ C^\top = \{ C^\top \mid C \in C \} \subseteq \mathbb{F}_q^{n \times n}, \]

for \( C \subseteq \mathbb{F}_q^{n \times n} \), where \( C^\top \) denotes the transposed of a matrix \( C \). We may define the minimum Hamming distance of \( C \) by rows as \( d_R^H(C) = d_R^H(C^\top) \). The Singleton bound from Theorem 1 holds in the same way for both rows and columns, i.e.,

\[ |C| \leq q^{n(d-1)}, \]

whether \( d = d_H^R \) or \( d = d_H^C \). A code attaining this bound for \( d_H^R \) will be called MDS by rows, and analogously for columns. Clearly, if \( C \) is MMCD, then it is both MDS by rows and by columns. In particular, if it is linear, then \( C^\perp \) is also MDS by rows and by columns, since the MDS property is preserved by duality (the proof of [9, Th. 2.4.3] may be extended to matrix codes and the trace product in a straightforward way).

However, as we now show, the dual of a linear MMCD code is not necessarily MMCD itself and a linear code that is MDS by rows and by columns is not necessarily MMCD either.
Example 13. Consider $C \subseteq \mathbb{F}_2^{3 \times 3}$ generated by

\[
A = \begin{pmatrix}
1 & 1 & 1 \\
1 & 0 & 0 \\
1 & 0 & 0
\end{pmatrix}, \quad B = \begin{pmatrix}
0 & 1 & 0 \\
1 & 1 & 1 \\
0 & 1 & 0
\end{pmatrix}, \quad \text{and} \quad C = \begin{pmatrix}
0 & 0 & 1 \\
0 & 0 & 1 \\
1 & 1 & 1
\end{pmatrix}.
\]

Since $C = \{0, A, B, C, A + B, B + C, C + A, A + B + C\}$ and $\dim(C) = 3$, it is easy to see that $C$ is MDS by columns and by rows since $d_H^C(C) = d_H^C(C) = 3$, but it is not MMCD, since $d_{MC}(C) = 2$. Moreover, by inspection one can see that there is no $D \in C^\perp$ with $\text{wt}_{MC}(D) = 1$. Therefore, $d_{MC}(C^\perp) = 2$ and $C^\perp$ is a linear MMCD code, even though $C$ is not.

The example above motivates the following definition.

Definition 14. We say that $C \subseteq \prod_{i=1}^\ell \mathbb{F}_{q_i}^{m_i \times n_i}$ is a dually MMCD code if it is linear and both $C$ and $C^\perp$ are MMCD codes.

In Section 5, we will provide some explicit constructions of dually MMCD codes for general parameters.

To conclude the subsection, we observe that the equivalence of linear MMCD codes, dually MMCD codes and MDS codes by rows and by columns holds for very small parameters. For the case $\ell > 1$, we need to consider different combinations of transpositions in different positions. To this end, given $C = (C_1, C_2, \ldots, C_\ell) \in \prod_{i=1}^\ell \mathbb{F}_{q_i}^{m_i \times n_i}$ and $t \in \{0, 1\}^\ell$, we define $C^t = (D_1, D_2, \ldots, D_\ell) \in \prod_{i=1}^\ell \mathbb{F}_{q_i}^{n_i \times m_i}$, where

\[
D_i = \begin{cases}
C_i & \text{if } t_i = 0, \\
C_i^\top & \text{if } t_i = 1.
\end{cases}
\]

We then define $C^t = \{C^t \mid C \in C\} \subseteq \prod_{i=1}^\ell \mathbb{F}_{q_i}^{m_i \times n_i}$, for $C \subseteq \prod_{i=1}^\ell \mathbb{F}_{q_i}^{m_i \times n_i}$.

The proofs of the following two propositions are based on the fact that, in the two cases, we only need to consider covers in $\mathbb{F}_{q_i}^{m_i \times n_i}$ of sizes 1 or 2, and these can always be chosen as only columns or only rows in such cases.

Proposition 15. Let $C \subseteq (\mathbb{F}_q^{m \times n})^\ell$ be a linear code with $\dim(C) = n(\ell n - 1)$. Then $C$ is MMCD if, and only if, $C^t$ is MDS by columns for all $t \in \{0, 1\}^\ell$.

Proposition 16. Let $C \subseteq (\mathbb{F}_q^{2 \times 2})^\ell$ be a linear code. The following are equivalent:

1. $C^t$ is MDS by columns for all $t \in \{0, 1\}^\ell$.
2. $C$ is MMCD.
3. $C$ is dually MMCD.

Recall that the multi-cover metric in $(\mathbb{F}_q^{1 \times 1})^\ell$ is simply the classical Hamming metric in $\mathbb{F}_q^\ell$, hence the previous proposition also holds but is trivial in this case.
4.3 Information multi-covers

In this subsection, we explore the notion of information sets for the multi-cover metric. We will present two types, information multi-covers and complementary information multi-covers. The former characterize when the dual code is MMCD and the latter characterize when the primary code is MMCD. Both types of multi-covers extend information sets in the Hamming-metric case \[9, p. 4\] and our characterizations recover in that case the characterizations of classical MDS codes based on information sets \[9, Th. 2.4.3\].

We start with a notion of support space similar to that from the Hamming-metric case \[4, Sec. II\].

**Definition 17.** We define the support space of \(X \in MC(m, n)\) as the vector subspace

\[
V_X = \left\{ C \in \prod_{i=1}^{\ell} \mathbb{F}_{q}^{m_i \times n_i} \left| X \in MC(C) \right. \right\}.
\]

Observe that, if \(X = (X_i, Y_i)_{i=1}^{\ell} \in MC(m, n)\), then

\[
\dim(V_X) = \sum_{i=1}^{\ell} (n_i |X_i| + m_i |Y_i| - |X_i| \cdot |Y_i|).
\]

Other basic properties hold, as in the Hamming-metric case. However, duals of support spaces will not be themselves support spaces in general. In fact, we may easily characterize when duals of support spaces are again support spaces. The proof is straightforward.

**Proposition 18.** Given \(X \in MC(m, n)\), there exist \(X' \in MC(m, n)\) with \(V_X^\perp = V_{X'}\), if, and only if, for all \(i = 1, 2, \ldots, \ell\), we have \(X_i = \emptyset\) or \(Y_i = \emptyset\).

We next define information multi-covers and complementary information multi-covers. Recall that, for \(X = (X_i, Y_i)_{i=1}^{\ell} \in MC(m, n)\), we have defined the projection map

\[
\pi_X : \prod_{i=1}^{\ell} \mathbb{F}_{q}^{m_i \times n_i} \rightarrow \prod_{i=1}^{\ell} \mathbb{F}_{q}^{(m_i-|X_i|) \times (n_i-|Y_i|)} \quad (9)
\]

by removing all the entries covered by \(X\) (i.e., all rows indexed by \(X_i\) and all columns indexed by \(Y_i\), for \(i = 1, 2, \ldots, \ell\)). We then define complementary information multi-covers as follows.

**Definition 19.** Given a code \(C \subseteq \prod_{i=1}^{\ell} \mathbb{F}_{q}^{m_i \times n_i}\), we say that \(X = (X_i, Y_i)_{i=1}^{\ell} \in MC(m, n)\) is a complementary information multi-cover of \(C\) if one of the following equivalent conditions hold:

1. The restriction of the projection map in (9) to the code \(C\), that is, \(\pi_X : C \rightarrow \prod_{i=1}^{\ell} \mathbb{F}_{q}^{(m_i-|X_i|) \times (n_i-|Y_i|)}\), is injective.
2. $|C_X| = |C|$.

3. (If $C$ is linear) $\dim(C_X) = \dim(C)$.

In other words, when removing the entries indexed by $X$ from a codeword in $C$, we may still reconstruct the whole codeword. Since $X$ is formed by the indices of the erased coordinates (i.e., the indices not containing the information that we have), the term complementary information cover is justified.

We next observe the monotonicity of complementary information multi-covers, which will allow us to only consider maximal complementary information multi-covers in the subsequent results.

**Lemma 20.** If $C \subseteq \prod_{i=1}^\ell \mathbb{F}_q^{m_i \times n_i}$ is a code and $X = (X_i, Y_i)_{i=1}^\ell \in \text{MC}(m, n)$ is a complementary information multi-cover of $C$, then so is any $X' = (X'_i, Y'_i)_{i=1}^\ell \in \text{MC}(m, n)$ such that $X'_i \subseteq X_i$ and $Y'_i \subseteq Y_i$, for $i = 1, 2, \ldots, \ell$.

As in the classical Hamming-metric case [9, Th. 1.4.15], it is easy to characterize the minimum multi-cover distance in terms of support spaces and complementary information multi-covers. The proof is straightforward.

**Lemma 21.** Given a code $C \subseteq \prod_{i=1}^\ell \mathbb{F}_q^{m_i \times n_i}$ and a positive integer $d$, the following are equivalent:

1. $d_{MC}(C) \geq d$.

2. $|C \cap V_X| = 1$, for all $X \in \text{MC}(m, n)$ such that $|X| = d - 1$.

3. Any $X \in \text{MC}(m, n)$ such that $|X| = d - 1$ is a complementary information multi-cover of $C$.

As a consequence, we may characterize linear MMCD codes in terms of complementary information multi-covers, as in the Hamming-metric case [9, Th. 2.4.3].

**Proposition 22.** Assume that $m = m_1 = \ldots = m_\ell$ and set $N = n_1 + n_2 + \cdots + n_\ell$. Given a linear code $C \subseteq \prod_{i=1}^\ell \mathbb{F}_q^{m \times n_i}$ whose dimension is a multiple of $m$, the following are equivalent:

1. $C$ is MMCD.

2. Any $X \in \text{MC}(m, n)$ with $m(N - |X|) = \dim(C)$ is a complementary information multi-cover of $C$.

**Proof.** Assume first that Item 1 holds. Then $d = N - \dim(C)/m + 1$, hence $m(N - |X|) = \dim(C)$ if, and only if, $|X| = d - 1$, and in that case, $X$ is a complementary information multi-cover by Lemma 21. Assume now that Item 2 holds. Define $d = N - \dim(C)/m + 1$, which is an integer since $\dim(C)$ is a multiple of $m$. Now, $m(N - |X|) = \dim(C)$ if, and only if, $|X| = d - 1$. Since all such multi-covers are complementary information multi-covers, then $d_{MC}(C) \geq d$ by Lemma 21 and by Theorem 4, $C$ must be MMCD. □
Proof. Let Proposition 25. theorem, which acts as the dual of Proposition 22

Given a linear code $C \subseteq \mathbb{F}_q^m \times n_i$, we have that $\dim(C \cap V_X) = m(\dim(C) - \dim(V_X))$

Once again, it can be easily shown that information multi-covers satisfy the following monotonicity property. Again, this monotonicity property will allow us to only consider maximal information multi-covers in the subsequent results.

Lemma 24. If $C \subseteq \prod_{i=1}^\ell \mathbb{F}_q^{m_i \times n_i}$ is a linear code and $X = (X_i, Y_i)_{i=1}^\ell \in \text{MC}(m, n)$ is an information multi-cover of $C$, then so is any $X' = (X'_i, Y'_i)_{i=1}^\ell \in \text{MC}(m, n)$ such that $X'_i \subseteq X_i$ and $Y'_i \subseteq Y_i$, for $i = 1, 2, \ldots, \ell$.

The reason why we use the term information multi-covers is due to the following theorem, which acts as the dual of Proposition 22.

Proposition 25. Assume that $m = m_1 = \ldots = m_\ell$ and set $N = n_1 + n_2 + \cdots + n_\ell$.

Given a linear code $C \subseteq \prod_{i=1}^\ell \mathbb{F}_q^{m_i \times n_i}$ whose dimension is a multiple of $m$, the following are equivalent:

1. $C^\perp$ is MMCD.

2. Any $X \in \text{MC}(m, n)$ with $m|X| = \dim(C)$ is an information multi-cover of $C$.

Proof. Let $d^\perp = d_{\text{MC}}(C^\perp)$. By definition, $C^\perp$ is MMCD if, and only if, $\dim(C^\perp) = m(N - d^\perp + 1)$, which in turn equivalent to $\dim(C) = m(d^\perp - 1)$. Hence by Lemma 21, $C^\perp$ is MMCD if, and only if, for any $X = (X_i, Y_i)_{i=1}^\ell \in \text{MC}(m, n)$ such that $m|X| = \dim(C)$, it holds that $\dim(C^\perp \cap V_X) = 0$. Now, by linear algebra, we have that

$$\dim(C^\perp \cap V_X) = mN - \dim(C + V_X^H)$$

$$= mN - \dim(C) - \dim(V_X^H) + \dim(C \cap V_X^H)$$

$$= \dim(C \cap V_X^H) - \dim(C) + \sum_{i=1}^\ell (m|X_i| + m|Y_i| - |X_i| \cdot |Y_i|).$$
Furthermore, note that $\pi_X(C \cap V_X^\perp) = C^X$. Hence, $\dim(C^\perp \cap V_X) = 0$ if, and only if,

$$\dim(C^X) = \dim(C) - \sum_{i=1}^{\ell} (m|X_i| + m|Y_i| - |X_i| \cdot |Y_i|),$$

which means that $X$ is an information multi-cover of $C$, and we are done.

Hence we conclude the following.

**Corollary 26.** Assume that $m = m_1 = \ldots = m_\ell$ and set $N = n_1 + n_2 + \cdots + n_\ell$. Given a linear code $C \subseteq \prod_{i=1}^{\ell} \mathbb{F}_q^{m_i \times n_i}$ whose dimension is a multiple of $m$, the following are equivalent:

1. $C$ is dually MMCD.
2. Any $X \in \text{MC}(m, n)$ with $m|X| = \dim(C)$ is an information multi-cover of $C$ and any $Y \in \text{MC}(m, n)$ with $m(N - |Y|) = \dim(C)$ is a complementary information multi-cover of $C$.

## 5 Constructions

In this section, we provide several methods of constructing codes for the multi-cover metric, focusing on linear MMCD codes and dually MMCD codes.

### 5.1 Codes in the sum-rank metric

Similarly to the case of the rank metric and the cover metric [5, 22], we show in this subsection that sum-rank metric codes may be used as multi-cover metric codes. The sum-rank metric was formally defined in [19, Sec. III-D], but it was implicitly used earlier in [14, Sec. III].

**Definition 27** ([Sum-rank metric]{14, 19}). We define the sum-rank weight of $C = (C_1, C_2, \ldots, C_\ell) \in \prod_{i=1}^{\ell} \mathbb{F}_q^{m_i \times n_i}$ as

$$\text{wt}_{SR}(C) = \sum_{i=1}^{\ell} \text{Rk}(C_i).$$

The sum-rank metric is then defined as $d_{SR} : (\prod_{i=1}^{\ell} \mathbb{F}_q^{m_i \times n_i})^2 \rightarrow \mathbb{N}$, where $d_{SR}(C, D) = \text{wt}_{SR}(C - D)$, for $C, D \in \prod_{i=1}^{\ell} \mathbb{F}_q^{m_i \times n_i}$.

The bound in Theorem 1 is also valid for the sum-rank metric [2, Th. III.2], and a code attaining it is called maximum sum-rank distance (MSRD).

We have the following connections between both metrics. They constitute a trivial extension to $\ell \geq 1$ of the corresponding results for the case $\ell = 1$, which were first observed in [5, 22]. We note that Item 4 follows from combining Item 3 with the fact that the dual of a linear MSRD code is again MSRD code under the given conditions [2, Th. VI.1].
**Proposition 28.** Fix \( C \subseteq \prod_{i=1}^{\ell} F_q^{m_i \times n_i} \) and \( \mathcal{C} \subseteq \prod_{i=1}^{\ell} F_q^{m_i \times n_i} \). The following hold:

1. \( \text{wt}_{\text{SR}}(C) \leq \text{wt}_{\text{MC}}(C) \).
2. \( d_{\text{SR}}(C) \leq d_{\text{MC}}(C) \).
3. If \( \mathcal{C} \) is an MSRD code, then it is also MMCD.
4. If \( \mathcal{C} \) is a linear MSRD code and \( m_1 = m_2 = \ldots = m_\ell \), then \( \mathcal{C} \) is a dually MMCD code.

We now show that sum-rank error and erasure correcting algorithms may be used to correct multilayer crisscross errors and erasures. There exist two equivalent formulations of error and erasure correction in the sum-rank metric. We consider both column and row erasures, which was first considered in [21]. Item 2 is included since it was the formulation used in [16, Prop. 17], but the connection with the multi-cover metric is easier using Item 3. The equivalence between the two is proven as in [16, Prop. 17].

**Proposition 29.** Let \( \mathcal{C} \subseteq \prod_{i=1}^{\ell} F_q^{m_i \times n_i} \) be a code, and let \( \rho_R, \rho_C \) and \( t \) be non-negative integers. The following are equivalent:

1. \( 2t + \rho_R + \rho_C < d_{\text{SR}}(C) \).
2. For \( \mathcal{V}_R = (\mathcal{V}_{R,i})_{i=1}^\ell \) and \( \mathcal{V}_C = (\mathcal{V}_{C,i})_{i=1}^\ell \), where \( \mathcal{V}_{R,i} \subseteq F_q^{m_i} \) and \( \mathcal{V}_{C,i} \subseteq F_q^{m_i} \) are vector subspaces (known to the receiver), for \( i = 1, 2, \ldots, \ell \), with \( \sum_{i=1}^\ell \dim(\mathcal{V}_{R,i}) \leq \rho_R \) and \( \sum_{i=1}^\ell \dim(\mathcal{V}_{C,i}) \leq \rho_C \), there exists a decoder \( D_{\mathcal{V}_R,\mathcal{V}_C,t} : \prod_{i=1}^\ell F_q^{m_i \times n_i} \rightarrow \mathcal{C} \) such that
   \[
   D_{\mathcal{V}_R,\mathcal{V}_C,t}(C + E + E_R + E_C) = C,
   \]
   for all \( \mathcal{C} \in \mathcal{C} \) and all \( E, E_R, E_C \in \prod_{i=1}^\ell F_q^{m_i \times n_i} \) such that \( \text{wt}_{\text{SR}}(E) \leq t, \text{wt}_{\text{SR}}(E_R) \leq \rho_R \) and \( \text{wt}_{\text{SR}}(E_C) \leq \rho_C \), and the row space of the \( i \)th component of \( E_R \) and column space of the \( i \)th component of \( E_C \) are \( \mathcal{V}_{R,i} \) and \( \mathcal{V}_{C,i} \), respectively.
3. For partitions \( \rho_R = \sum_{i=1}^\ell \rho_{R,i} \) and \( \rho_C = \sum_{i=1}^\ell \rho_{C,i} \) into non-negative integers, and for block-diagonal matrices \( A = \text{diag}(A_1, A_2, \ldots, A_\ell) \) and \( B = \text{diag}(B_1, B_2, \ldots, B_\ell) \), for full-rank matrices \( A_i \in F_q^{(m_i-\rho_{R,i}) \times m_i} \) and \( B_i \in F_q^{n_i \times (n_i-\rho_{C,i})} \) (known to the receiver), there exists a decoder \( D_{A,B,t} : \prod_{i=1}^\ell F_q^{(m_i-\rho_{R,i}) \times (n_i-\rho_{C,i})} \rightarrow \mathcal{C} \) such that
   \[
   D_{A,B,t}(ACB + E) = C,
   \]
   for all \( \mathcal{C} \in \mathcal{C} \) and all \( E \in \prod_{i=1}^\ell F_q^{(m_i-\rho_{R,i}) \times (n_i-\rho_{C,i})} \) such that \( \text{wt}_{\text{SR}}(E) \leq t \).

In particular, we deduce that codes able to correct sum-rank errors and erasures (in rows and columns) may without any change also correct multilayer crisscross errors and erasures as in Definition 3. The proof of the following corollary is straightforward by Item 3 in Proposition 29 and Proposition 28.
Corollary 30. Let $C \subseteq \prod_{i=1}^{\ell} F_q^{m_i \times n_i}$ be a code, and let $\rho_R$, $\rho_C$ and $t$ be non-negative integers with $2t + \rho_R + \rho_C < d_{SR}(C)$. Let $X = (X_i,Y_i)_{i=1}^{\ell} \in \text{MC}(m,n)$ be such that $\sum_{i=1}^{\ell} |X_i| = \rho_R$ and $\sum_{i=1}^{\ell} |Y_i| = \rho_C$. Let $A_i \in F_q^{(m_i-|X_i|) \times m_i}$ and $B_i \in F_q^{n_i \times (n_i-|Y_i|)}$ be the projection matrices onto the coordinates outside of $X_i$ and $Y_i$, respectively, for $i = 1,2,\ldots,\ell$. A sum-rank decoder $D_{A,B,t} : \prod_{i=1}^{\ell} F_q^{(m_i-|X_i|) \times (n_i-|Y_i|)} \rightarrow C$ as in Item 3 in Proposition 29 may also be used without a change as a multi-cover decoder $D_{X,t} = D_{A,B,t} : \prod_{i=1}^{\ell} F_q^{(m_i-|X_i|) \times (n_i-|Y_i|)} \rightarrow C$ as in Definition 3.

5.2 A nested construction

In this subsection, we provide a general method to construct codes for the multi-cover metric from other multi-cover metric codes. The idea is to adequately arrange the components of a Cartesian product. Throughout this subsection, we fix positive integers $m = wr$, $n = us$ and $t = ut$, and we will assume that $n \leq m$ (thus $s \leq r$).

Construction 1. Let $C \subseteq (F_q^{r \times s})^t$ be a code. We define another code $\varphi(C) \subseteq (F_q^{m \times n})^t$ as the image of the linear map $\varphi : ((F_q^{r \times s})^t)^u \rightarrow (F_q^{m \times n})^t$, where $\varphi(C^1,C^2,\ldots,C^u) =$

$$
\begin{pmatrix}
C^1_i & C^2_i & \cdots & C^u_i \\
C^1_2 & C^2_2 & \cdots & C^u_2 \\
\vdots & \vdots & \ddots & \vdots \\
C^1_u & C^2_u & \cdots & C^u_u
\end{pmatrix}
$$

for $C^i = (C^i_1,C^i_2,\ldots,C^i_u) \in (F_q^{r \times s})^t$, for $i = 1,2,\ldots,u$.

We now relate the multi-cover metric parameters of $C$ and $\varphi(C)$.

Theorem 8. Let $C \subseteq (F_q^{r \times s})^t$. The following hold:

1. $d_{MC}(\varphi(C)) = d_{MC}(C)$ and $|\varphi(C)| = |C|^u$.

2. $\varphi(C)$ is MMCD if, and only if, so is $C$.

3. $\varphi(C)$ is linear if, and only if, so is $C$, and in that case, $\dim(\varphi(C)) = u \dim(C)$ and $\varphi(C)^\perp = \varphi(C^\perp)$.

4. (If $C$ is linear) $\varphi(C)$ is a dually MMCD code if, and only if, so is $C$.

Proof. The equality $|\varphi(C)| = |C|^u$ and Item 3 are straightforward, since $\varphi(C) \cong C^u$ as vector spaces and the inner product is preserved through a natural isomorphism. We next prove that $d_{MC}(\varphi(C)) = d_{MC}(C)$.

Let $C = \varphi(C^1,C^2,\ldots,C^u)$, where $C^i \in C$, for $i = 1,2,\ldots,u$. Let $(X_i,Y_i)_{i=1}^{\ell} \in \text{MC}(C)$. Partition $X_i = \bigcup_{j=1}^s X_{i,j}$ and $Y_i = \bigcup_{j=1}^s Y_{i,j}$, where $X_{i,j} \subseteq [(j-1)r+1,jr]$ and $Y_{i,j} \subseteq [(j-1)s+1,js]$, for $j = 1,2,\ldots,u$ and $i = 1,2,\ldots,\ell$. If we identify $X_{i,j}$ and $Y_{i,j}$ in the obvious way with subsets of $[r]$ and $[s]$, respectively, then it is easy to see that
\((X_{i,j}, Y_{i,j})_{i,j=1}^{t,u}\in\MC(C^k)\), for \(k = 1, 2, \ldots, u\). Assume that \(\wt_{MC}(C) = d_{MC}(\varphi(C))\) and \((X_i, Y_i)_{i=1}^{t} \in \MC(C)\) is of minimum size. Therefore, if \(C^1 \neq 0\),

\[
d_{MC}(\varphi(C)) = \wt_{MC}(C) = \sum_{i=1}^{\ell}(|X_i| + |Y_i|)
\]

\[
= \sum_{i=1}^{\ell} \sum_{j=1}^{u}(|X_{i,j}| + |Y_{i,j}|) \geq \wt_{MC}(C^1) \geq d_{MC}(C).
\]

Conversely, let \(D \in C\), and let \((X'_{k,j}, Y'_{k,j})_{k=1}^{t} \in \MC(D)\). Define \(X_{i,j} = (j-1)r + X'_{(i-1)\ell+j} \subseteq [(j-1)r + 1, jr]\) and \(Y_{i,j} = (j-1)s + Y'_{(i-1)\ell+j} \subseteq [(j-1)s + 1, js]\), for \(j = 1, 2, \ldots, u\) and \(i = 1, 2, \ldots, \ell\). Finally define \(X_i = \bigcup_{j=1}^{u} X_{i,j}\) and \(Y_i = \bigcup_{j=1}^{u} Y_{i,j}\), for \(i = 1, 2, \ldots, \ell\). Then \((X_i, Y_i)_{i=1}^{t} \in \MC(\varphi(D, 0, \ldots, 0))\), where \(\varphi(D, 0, \ldots, 0) \in \varphi(C)\). Assume that \(\wt_{MC}(D) = d_{MC}(C)\) and \((X'_{k,j}, Y'_{k,j})_{k=1}^{t} \in \MC(D)\) is of minimum size. Hence, we conclude that

\[
d_{MC}(C) = \wt_{MC}(D) = \sum_{k=1}^{t}(|X'_k| + |Y'_k|)
\]

\[
= \sum_{i=1}^{\ell} \sum_{j=1}^{u}(|X_{i,j}| + |Y_{i,j}|) \geq \wt_{MC}(\varphi(D, 0, \ldots, 0)) \geq d_{MC}(\varphi(C)).
\]

Thus Item 1 is proven. We now prove Item 2. Assume that \(C\) is MMCD, that is, \(|C| = q^{r(ts-d+1)}\), where \(d = d_{MC}(C) = d_{MC}(\varphi(C))\). Since \(m = ur, n = us\) and \(t = ul\), we have that

\[
|\varphi(C)| = |C|^u = q^{ur(ts-d+1)} = q^{m(ln-d+1)},
\]

hence \(\varphi(C)\) is also MMCD. For the reversed implication, we may show in the same way that if \(|C| < q^{r(ts-d+1)}\), then \(|\varphi(C)| < q^{m(ln-d+1)}\).

Finally, Item 4 follows from Items 2 and 3. \(\square\)

In this way, we may construct codes in \((\F_q^m \times \F_q^n)^t\) for the multi-cover metric from codes in \((\F_q^{ts})^t\) for the refined multi-cover metric. Note that, if we set \(r = s = 1\), then we may construct multi-cover metric codes in \((\F_q^{m \times n})^t\) from Hamming-metric codes in \(\F_q^t\).

In addition, a decoder for \(C\) may be directly used to obtain a decoder for \(\varphi(C)\), as we now show. The proof is straightforward (but cumbersome) using the partitions from the proof of Theorem 3.8 and is left to the reader for brevity.

**Proposition 31.** Let \(X = (X_i, Y_i)_{i=1}^{t} \in \MC(\F_q^{m,n})\), partition \(X_i = \bigcup_{j=1}^{u} X_{i,j}\) and \(Y_i = \bigcup_{j=1}^{u} Y_{i,j}\), where \(X_{i,j} = [(j-1)r+1, jr]\) and \(Y_{i,j} = [(j-1)s+1, js]\), for \(j = 1, 2, \ldots, u\) and \(i = 1, 2, \ldots, \ell\). Next identify \(X_{i,j}\) and \(Y_{i,j}\) in the obvious way with subsets of \([r]\) and \([s]\), respectively, and define \(X' = (X_{i,j}, Y_{i,j})_{i=1}^{t,s}\). Set \(\rho = |X| = |X'|\).

Let \(C \subseteq (\F_q^{ts})^t\). Assume that \(2t + \rho < d = d_{MC}(\varphi(C)) = d_{MC}(C)\). Let \(D_{C,X'} : (\F_q^{ts})^t \rightarrow C\) be a \(t\)-error and \(\rho\)-erasure-correcting decoder for \(C\) and \(X'\). Define \(D_{\varphi(C),X} : (\F_q^{m \times n})^t \rightarrow \varphi(C)\) as the decoder that decodes \(\varphi(C^1, C^2, \ldots, C^u)\) by using \(D_{C,X'}\) component-wise on the codewords \(C^k \in C\), for \(k = 1, 2, \ldots, u\). Then \(D_{\varphi(C),X}\) is a \(t\)-error and \(\rho\)-erasure-correcting decoder for \(\varphi(C)\) and \(X\).
5.3 Some explicit codes

In this subsection, we put together the two methods for constructing multi-cover metric codes from Subsections 5.1 and 5.2 in order to give explicit codes in the multi-cover metric. We consider positive integers \( n = us \) and \( t = uf \), as in the previous subsection (we will consider \( m = n \) and \( r = s \), i.e., square matrices).

We start by providing explicit families of dually MMCD codes for general parameters. We consider linearized Reed-Solomon codes \([17, \text{Def. 31}]\) as component codes in Construction 1, for several choices of the integer \( t \). Consider an ordered basis \( \alpha = (\alpha_1, \alpha_2, \ldots, \alpha_s) \in \mathbb{F}_{q^s} \) of \( \mathbb{F}_{q^s} \) over \( \mathbb{F}_q \), and define \( M_{\alpha} : \mathbb{F}_q^s \rightarrow \mathbb{F}_q^{s \times s} \) by

\[
M_{\alpha}(c) = \begin{pmatrix}
    c_{1,1} & c_{1,2} & \cdots & c_{1,s} \\
    c_{2,1} & c_{2,2} & \cdots & c_{2,s} \\
   \vdots & \vdots & \ddots & \vdots \\
    c_{s,1} & c_{s,2} & \cdots & c_{s,s}
\end{pmatrix} \in \mathbb{F}_q^{s \times s},
\]

where \( c = \sum_{i=1}^s \alpha_i(c_{i,1}, c_{i,2}, \ldots, c_{i,s}) \in \mathbb{F}_q^s \), for \( i = 1, 2, \ldots, s \). We extend this map to \( t \)-tuples of matrices as

\[
M_{t,\alpha} \left( c^{(1)}, c^{(2)}, \ldots, c^{(t)} \right) = \left( M_{\alpha}(c^{(1)}), M_{\alpha}(c^{(2)}), \ldots, M_{\alpha}(c^{(t)}) \right) \in \left( \mathbb{F}_q^{s \times s} \right)^t,
\]

where \( c^{(i)} \in \mathbb{F}_q^s \), for \( i = 1, 2, \ldots, t \). We will consider \( C = M_{t,\alpha}(C_{ts,k}) \subseteq \left( \mathbb{F}_q^{s \times s} \right)^t \), where \( C_{ts,k} \subseteq \mathbb{F}_q^s \) is the linearized Reed-Solomon code with generator matrix \( G_{ts,k} = (G_1 | G_2 | \ldots | G_t) \in \mathbb{F}_q^{k \times (ts)} \), where

\[
G_i = \begin{pmatrix}
    \beta_1 & \beta_2 & \cdots & \beta_s \\
    \beta_1^2 \gamma^{(i-1)\frac{d_1-1}{q-1}} & \beta_2^2 \gamma^{(i-1)\frac{d_2-1}{q-1}} & \cdots & \beta_s^2 \gamma^{(i-1)\frac{d_s-1}{q-1}} \\
    \vdots & \vdots & \ddots & \vdots \\
    \beta_1^{k-1} \gamma^{(i-1)\frac{d_1-1}{q-1}} & \beta_2^{k-1} \gamma^{(i-1)\frac{d_2-1}{q-1}} & \cdots & \beta_s^{k-1} \gamma^{(i-1)\frac{d_s-1}{q-1}}
\end{pmatrix} \in \mathbb{F}_q^{k \times s},
\]

for \( i = 1, 2, \ldots, t \), where \( \beta_1, \beta_2, \ldots, \beta_s \in \mathbb{F}_q^* \) form a basis of \( \mathbb{F}_q^* \) over \( \mathbb{F}_q \) and \( \gamma \in \mathbb{F}_q^* \) is a primitive element, i.e., \( \mathbb{F}_q^* = \{ 1, \gamma, \gamma^2, \ldots, \gamma^{q-2} \} \), which always exists by [12, Th. 2.8].

If \( q > t \), then the linear code \( C \subseteq \left( \mathbb{F}_q^{s \times s} \right)^t \) above is MSRD [17, Th. 4]. Hence we deduce the following result.

**Theorem 9.** Assume that \( q > t \). For any \( k = 1, 2, \ldots, st = \ell n \), let \( C \subseteq \left( \mathbb{F}_q^{s \times s} \right)^t \) be as above. Then the code \( \varphi(C) \subseteq \left( \mathbb{F}_q^{n \times n} \right)^{\ell} \) obtained from \( C \) as in Construction 7 is a dually MMCD code of dimension \( \dim(\varphi(C)) = nk \). Furthermore, it can correct any \( t \) errors and \( \rho \) erasures for the multi-cover metric, as in Definition 6, where \( 2t + \rho \leq \ell n - k \), with a complexity of \( \mathcal{O}(t \ell n^2) \) sums and products over the finite field of size \( q^{\ell n/t} = \mathcal{O}(t)^{\ell n/t} \).

**Proof.** Since \( C \) is MSRD [17, Th. 4], we have that \( \varphi(C) \) is dually MMCD by Proposition 28 and Theorem 8.
Second, $C$ can correct $t$ errors and $\rho$ erasures (in rows or columns) for the sum-rank metric, as in Item 2 in Proposition 29, with a complexity of $O(s^2t^2)$ sums and products over the finite field $F_{q^s}$, by the algorithm in [3]. By Corollary 33 and Proposition 31, we may decode $\varphi(C)$ by using such a decoder $u$ times. Hence the number of operations over $F_{q^s}$ is $O(t^2n^2) = O(t^2n^2)$. Since we may choose $q = O(t^{1/2})$, then $q^{\ell n} = q^{\ell n / t} = O(t^{\ell n / t})$, and we are done.

Assume that a multiplication in $F_{2^b}$ costs $O(b^3)$ operations in $F_{2^b}$. Then if $q$ is even, the dually MMCD code in Theorem 9 can be decoded with $O\left(t^{-1}\log_2(t+1)^2\ell^3n^4\right)$ operations over $F_2$. This complexity is smaller for larger values of $t$. However, the alphabet size for the multi-cover metric needs to satisfy $q > t$. Thus we arrive at an alphabet-complexity trade-off: Codes for larger $t$ are faster to decode but require larger alphabets (i.e., can be applied to a smaller set of alphabets), whereas codes for smaller $t$ are less fast but can be used for a wider range of alphabets.

**Remark 32.** Note that, for $t = 1$, Theorem 9 corresponds to using Gabidulin codes as MMCD codes via Construction 1, since in this case linearized Reed-Solomon codes recover Gabidulin codes [17]. This is the only choice of $t$ for the alphabet $F_2$ but is also the least computationally efficient choice. Further setting $\ell = 1$, we obtain the classical rank-metric construction for the cover metric [2, 22]. On the other end, if we set $t = \ell n$ (i.e., $s = 1$), then Theorem 9 corresponds to using classical Reed-Solomon codes as MMCD codes via Construction 1, since in this case linearized Reed-Solomon codes recover classical Reed-Solomon codes [13]. Further setting $\ell = 1$, we also recover the construction of cover-metric codes based on Reed-Solomon codes from [22].

**Remark 33.** There exist other families of MSRD codes for further parameter regimes [15]. In particular, those from [15] attain smaller field sizes than linearized Reed-Solomon codes for several parameter regimes, and have therefore a better potential of having a faster decoder. However such MSRD codes do not work for square matrices $m = n$. All of these MSRD codes may be turned into MMCD codes as in Theorem 9. We leave the details to the reader for brevity.

Finally, we describe a family of codes in the multi-cover metric obtained from sum-rank BCH codes [18], which contain the best codes in the sum-rank metric for the binary field $F_2$ and $2 \times 2$ matrices (see [18, App.]). We will use the simplified bound on their parameters from [18, Th. 9], and we will not explicitly describe the codes for brevity.

**Proposition 34.** Let $n = us$, $t = ul$ and $0 \leq \delta \leq \ell n$ be positive integers. Assume that $t$ and $s$ are coprime, $t$ and $q$ are coprime, and $t$ divides $q - 1$. Let $q_0$ and $r$ be positive integers such that $q = q_0^r$. Let $x^t - 1 = m_1(x)m_2(x) \cdots m_v(x)$ be the irreducible decomposition of $x^t - 1$ in $F_{q_0^s}^*$. Then there exists a linear code $C \subseteq (F_{q_0^s})^n$ such that $d_{MC}(C) \geq \delta$ and

$$\dim(C) \geq \ell n^2 - n \sum_{i=1}^v \min\{sd_i, rk_i\},$$

(13)
where $d_i = \deg(m_i(x))$ and $k_i = |\{j \in \mathbb{N} \mid 0 \leq j \leq \delta - 2, m_i(a^{bj}) = 0\}|$, for $i = 1, 2, \ldots, v$, and where $a \in \mathbb{F}_q$ is a primitive $t$-th root of unity, which always exists [12, Sec. 2.4] [9, Page 105].

Proof. With the assumptions in the proposition, it holds by [18, Th. 9] that there exists an $\mathbb{F}_{q^0}$-linear code $D \subseteq \mathbb{F}_{q^0}^{ts}$ with $d_{SR}(D) \geq \delta$ and

$$\dim_{\mathbb{F}_{q^0}}(D) \geq ts - \sum_{i=1}^{v} \min\{sd_i, rk_i\}.$$ 

Now, define $C = \varphi(M_{t,\alpha}(D)) \subseteq (\mathbb{F}_q^{n \times n})^t$, with $\varphi$ as in Construction 1 and $M_{t,\alpha}$ as in (12) for an ordered basis $\alpha \in \mathbb{F}_{q^0}^s$ of $\mathbb{F}_{q^0}$ over $\mathbb{F}_{q^0}$. By Proposition 28 and Theorem 8, we have that

$$d_{MC}(C) = d_{MC}(M_{t,\alpha}(D)) \geq d_{SR}(M_{t,\alpha}(D)) = d_{SR}(D) \geq \delta.$$ 

Finally, by Theorem 8, we have that

$$\dim(C) = u \dim(M_{t,\alpha}(D)) = u \dim_{\mathbb{F}_{q^0}}(D)$$

$$\geq us \left( ts - \sum_{i=1}^{v} \min\{sd_i, rk_i\} \right) = \ell n^2 - n \sum_{i=1}^{v} \min\{sd_i, rk_i\},$$

and the result follows. \qed

The codes in Proposition 34 have an advantage over general subfield subcodes of linear MMCD codes, due to the following. One may consider Delsarte’s lower bound on the dimension of a subfield subcode [3]. More concretely, let $D \subseteq (\mathbb{F}_q^{n \times n})^t$ be a linear MMCD code of dimension $n(\delta - 1)$, $q = q^0$, and $C = D^\perp \cap (\mathbb{F}_q^{n \times n})^t$, for positive integers $\delta$ and $r$. Then $d_{MD}(C) \geq \delta$ and Delsarte’s bound [3] states that

$$\dim(C) \geq \ell n^2 - nr(\delta - 1).$$ (14)

However, as shown in [19, Sec. VII-C], the lower bound (13) is tighter than (14) in all cases. See also [19, App.] for numerical tables.

Finally, as shown in [18, Sec. VII-D], a sum-rank BCH code (used in Proposition 34) may be decoded for the sum-rank metric by decoding the corresponding linearized Reed–Solomon code. Since these latter codes may correct sum-rank errors and erasures (by rows and columns) by [8], then the same holds for the codes in Proposition 34, by Corollary 30 and Proposition 31.

6 Conclusion and open problems

In this work, we considered multilayer crisscross error and erasure correction, which affect entire rows and columns of matrices across a list of matrices. We introduced the multi-cover metric to measure such errors and erasures. We derived bounds for this metric,
including a Singleton-like bound, and introduced codes attaining it, called maximum multi-cover distance (MMCD) codes. We study their duality, puncturing and shortening properties, and then we explored several constructions of dually MMCD codes, together with their decoding. As open problems, it would be interesting to generalize to the multi-cover metric the following works in the classical cover metric:

1. In this work, we considered error-free worst-case deterministic decoding. Probabilistic decoding in the cover metric was considered in [23], where a low-redundancy probabilistic decoder allowing a small probability of error was presented.

2. List decoding for the cover metric was first studied in [24], where a Johnson bound was derived and an efficient list-decoding algorithm (up to the bound) was presented.

3. Crisscross insertions and deletions were studied recently in [1, 25], where several code constructions are given.

4. Codes with local crisscross erasure correcting properties were studied in [11, 13]. The work [11] focuses on codes with locality for the rank metric, allowing to locally correct full columns, whereas a more general case is considered in [13].

Acknowledgement

The author gratefully acknowledges the support from a María Zambrano contract by the University of Valladolid, Spain (Contract no. E-47-2022-0001486).

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Appendix: Proofs for Subsection 3.1

Proof of Lemma 3.1. The equality $S_{m,1} = q^m - 1$ is trivial. Now, let $C \subseteq F_q^{m \times n}$ be the set of matrices with no zero columns, and let $R \subseteq F_q^{m \times n}$ be the set of matrices with no zero rows. Then $S_{n,m}^{m,n} = |C \cup R|$. Hence, we only need to prove that

$$|(C \cup R)^c| = |C^c \cap R^c| = \sum_{i=1}^{m} \sum_{j=1}^{n} \binom{m}{i} \binom{n}{j} S_{m-i,n-j}^{m-i,n-j},$$

where $A^c$ denotes the complement of a subset $A \subseteq F_q^{m \times n}$ in $F_q^{m \times n}$. Note that $|C \cap R|$ is the number of matrices in $F_q^{m \times n}$ with at least one zero row and at least one zero column. For $i = 1, 2, \ldots, m$ and $j = 1, 2, \ldots, n$, the number of matrices in $F_q^{m \times n}$ with exactly $i$ zero rows and exactly $j$ zero columns is

$$\binom{m}{i} \binom{n}{j} S_{m-i,n-j}^{m-i,n-j}.$$

Hence the recursive formula follows.

Finally, the inequality $S_{n,m}^{m,n} \leq q^{mn}$ is trivial, and the inequality $(q-1)^{mn} \leq S_{n,m}^{m,n}$ follows from the fact that any matrix in $F_q^{m \times n}$ with no zero entries has cover weight $n$. \hfill \Box

Proof of Theorem 3.2. Let $(X, Y) \in \text{MC}(m, n)$ with $|X| + |Y| = r$. Set $s = |X|$, thus $r - s = |Y|$. The number of matrices having $(X, Y)$ as a minimal cover (thus of cover weight $r$) is exactly $(q^{m-s} - 1)^{r-s}(q^{n-r+s} - 1)^s q^{s(r-s)}$. To see this, consider Fig. 2.

In this figure, horizontal lines correspond to the rows indexed by $X$ and vertical lines correspond to columns indexed by $Y$. A matrix in $F_q^{m \times n}$ having $(X, Y)$ as a minimal cover would have zeros everywhere except in the lines shown in Fig. 2. Furthermore, in the positions depicted with circles, it may have any value from $F_q$. Since there are
Figure 2: Pattern of positions with non-zero entries for matrices having \((X, Y)\) as a minimal cover. Here, \(s = |X|\) is the number of horizontal lines and \(r - s = |Y|\) is the number of vertical lines. The number of circles is thus \(s(r - s)\).

\(s(r - s)\) such circles, there are \(q^{s(r-s)}\) possibilities for such entries. On the other hand, when removing the positions depicted by circles from a given line, the remaining entries must form a nonzero vector (whose nonzero entries are depicted with squares). Since there are \(s\) horizontal lines and \(r - s\) vertical lines, this means that there are \((q^{m-s-1})^{r-s}\) possibilities for the horizontal lines and \((q^{n-r+s-1})^{r-s}\) possibilities for the vertical lines. In total, we have exactly \((q^{m-s-1})^{r-s}(q^{n-r+s-1})^{r-s}\) matrices in \(\mathbb{F}_{q}^{m \times n}\) with \((X, Y)\) as a minimal cover.

If we add such numbers, running over all cover patterns of cover weight \(r\), we obtain an upper bound on \(S_{m,n}^{r}\),

\[
UB_{r} = \sum_{s=0}^{r} \binom{m}{s} \left( \begin{array}{c} n \\ r - s \end{array} \right) (q^{m-s-1})^{r-s}(q^{n-r+s-1})^{r-s} q^{s(r-s)}.
\]

In order to find the exact value of \(S_{m,n}^{r}\), we need to subtract from \(UB_{r}\) all the matrices that we have double-counted when considering two of their minimal covers. This double-counting excess is the number \(DC_{r} = UB_{r} - S_{m,n}^{r}\).

Let \((X, Y), (X', Y') \in MC(m, n)\) be such that \((X, Y) \neq (X', Y')\) and \(|X| + |Y| = |X'| + |Y'| = r\). Let \(\omega = |X \cap X'| + |Y \cap Y'|\) be the number of lines that \((X, Y)\) and \((X', Y')\) have in common. Clearly, \(0 \leq \omega \leq r - 1\) since \((X, Y) \neq (X', Y')\). Let \(u = |X \cap X'|\) be the number of common rows, thus \(\omega - u = |Y \cap Y'|\) is the number of common columns. In Fig. 3 we represent the two covers \((X, Y)\) and \((X', Y')\).

In this figure, horizontal lines, solid and dashed, correspond to the rows indexed by \(X\); horizontal lines, solid and dotted, correspond to rows indexed by \(X'\); vertical lines, solid and dashed, correspond to columns indexed by \(Y\); and vertical lines, solid and dotted, correspond to columns indexed by \(Y'\). In other words, solid lines belong to both

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Figure 3: Pattern of positions with non-zero entries for matrices having both \((X, Y)\) and \((X', Y')\) as minimal covers. Here, \(u = |X \cap X'|\) and \(\omega - u = |Y \cap Y'|\) are the numbers of solid horizontal and vertical lines, respectively, \(s = |X| - |X \cap X'|\) and \(r - \omega - s = |Y| - |Y \cap Y'|\) are the numbers of dashed horizontal and vertical lines, respectively, and \(t = |X'| - |X \cap X'|\) and \(r - \omega - t = |Y'| - |Y \cap Y'|\) are the numbers of dotted horizontal and vertical lines, respectively.

Consider matrices in \(\mathbb{F}_q^{m \times n}\) having both \((X, Y)\) and \((X', Y')\) as minimal covers. First, such matrices would have zeros everywhere except in the solid lines and in the intersections between a dashed line and a dotted line, depicted with diamonds and squares in Fig. 3. We now check how many possibilities there are for such entries for such matrices, thus counting the number of such matrices.

Such matrices may have any values in \(\mathbb{F}_q\) in the positions depicted with crosses (they do not determine whether a solid line is redundant or not). Since there are \(u(\omega - u)\) such crosses, there are \(q^{u(\omega - u)}\) possibilities for such entries.

Next, the \(t(r - \omega - s)\) entries depicted with diamonds must form a matrix in \(\mathbb{F}_q^{t \times (r - \omega - s)}\) of maximum cover weight: If one row or column in such a \(t \times (r - \omega - s)\) matrix is zero, then the corresponding (dashed or dotted) line would be redundant. Thus there are \(S_{\min(t, r - \omega - s)}^{t, r - \omega - s}\) possibilities for such entries. Analogously, there are \(S_{\min(s, r - \omega - t)}^{s, r - \omega - t}\) possibilities for the \(s(r - \omega - t)\) entries depicted with squares.

Finally, we need to check which values in the entries not depicted with crosses make a solid line non-redundant for both \((X, Y)\) and \((X', Y')\). Consider a vertical line. If the matrix contains nonzero entries in the indices not depicted with crosses or circles in Fig. 2 then the line is non-redundant for both \((X, Y)\) and \((X', Y')\). There are \(q^{t+s}(q^{m-u-s-t} - 1)\) possibilities for such a case (the entries in the \(t + s\) depicted with circles may have any values, and the entries depicted with crosses are not considered in this paragraph). The other option is that all the entries in the indices not depicted with
crosses or circles in Fig. 2 are zero. In that case, the entries indexed by circles and part of a dotted line in Fig. 2 must form a nonzero vector, since otherwise the solid line is redundant for the cover \((X, Y)\). Similarly, the entries indexed by circles and part of a dashed line in Fig. 2 must form a nonzero vector. There are \((q^s - 1)(q^t - 1)\) possibilities for such a case. Thus, there are

\[ q^{t+s}(q^{m-u-s-t} - 1) + (q^s - 1)(q^t - 1) \]

possibilities for a given vertical line to not be redundant for both \((X, Y)\) and \((X', Y')\). Similarly, there are

\[ q^{(r-\omega-t)+(r-\omega-s)}(q^{n-(\omega-u)-(r-\omega-t)-(r-\omega-s)} - 1) + (q^{r-\omega-s} - 1)(q^{r-\omega-t} - 1) \]

possibilities for a given horizontal line to not be redundant for both \((X, Y)\) and \((X', Y')\). Since the redundancy of a line (horizontal or vertical) is independent of any other line (horizontal or vertical), and there are \(\omega - u\) vertical lines and \(u\) horizontal lines, then there are

\[ (q^{t+s}(q^{m-u-s-t} - 1) + (q^s - 1)(q^t - 1))^\omega - u \]

\[ . \left( q^{(r-\omega-t)+(r-\omega-s)}(q^{n-(\omega-u)-(r-\omega-t)-(r-\omega-s)} - 1) + (q^{r-\omega-s} - 1)(q^{r-\omega-t} - 1) \right)^u \]

possibilities for all solid lines not to be redundant.

We have exactly counted the matrices in \(\mathbb{F}_q^{m \times n}\) that have both \((X, Y)\) and \((X', Y')\) as minimal covers. However, some of them may have other covers as minimal covers. Hence, the number of matrices that we have double-counted in \(\text{UB}_r\), which is exactly \(\text{DC}_r = \text{UB}_r - S_{m,n}^r \geq 0\), satisfies the upper bound in the theorem. Consider now the matrices that have both \((X, Y)\) and \((X', Y')\) as minimal covers, but all of whose entries depicted with diamonds and squares are nonzero. Clearly, those matrices cannot have a third cover of cover weight \(r\) as a minimal cover. Since these are only some of the double-counted matrices, our double-counting excess \(\text{DC}_r\) satisfies the lower bound in the theorem. 

\(\square\)