SHORT COMMUNICATION

NUTRITIONAL IMPROVEMENT IS ASSOCIATED WITH BETTER FUNCTIONAL OUTCOME IN STROKE REHABILITATION: A CROSS-SECTIONAL STUDY USING CONTROLLING NUTRITIONAL STATUS

Hiroshi KISHIMOTO, MD\(^1\), Arito YOZU, MD, PhD\(^1\), Yutaka KOHNO, MD, PhD\(^2\) and Hirotaka OOSE, MD, PhD\(^3\)
From the \(^1\)Department of Physical Medicine and Rehabilitation, \(^2\)Department of Neurology, \(^3\)Department of Internal Medicine, Ibaraki Prefectural University of Health Sciences, Ibaraki, Japan

**Objective:** To investigate the relationship between changes in nutritional status and the functional outcome of adult post-stroke patients hospitalized for rehabilitation.

**Design:** A cross-sectional study.

**Subjects:** Post-stroke patients \((n=134)\) who were admitted to a convalescent rehabilitation ward.

**Methods:** On admission and discharge, the nutritional status of each subject was assessed using the “controlling nutritional status” system. Activities of daily living were assessed using the Functional Independence Measure (FIM). Patients were divided into 2 categories: (i) those whose nutritional status improved or remained normal during the rehabilitation; and (ii) all others.

**Results:** The median age of patients was 65.5 years. Although there were no significant differences between the 2 categories in most characteristics, the FIM efficiency was significantly higher \((0.230\text{ in the improved category and }0.133\text{ in the other}; p<0.001)\). Multiple linear regression analysis showed that the improved category as a variable was independently associated with greater FIM efficiency \((p<0.001)\).

**Conclusion:** Improvement or maintenance of nutritional status was associated with better functional recovery in post-stroke rehabilitation in adult patients of all ages.

**Key words:** nutritional improvement; controlling nutritional status; stroke; post-stroke rehabilitation; functional recovery.

Accepted Jan 29, 2020; Epub ahead of print Feb 13, 2020

J Rehabil Med 2020; 52: jrm00028

Correspondence address: Hiroshi Kishimoto, Department of Physical Medicine and Rehabilitation, Ibaraki Prefectural University of Health Sciences, Ami town, Ibaraki, Japan. E-mail: kishimotoh@ipu.ac.jp

**METHODS**

A cross-sectional, retrospective single-centre study, based on data from consecutive patients admitted for post-stroke rehabilitation in the convalescent rehabilitation ward of our hospital, was conducted between April 2010 and March 2015. In this ward, a programme consisting of physical therapy (PT), occupational therapy (OT), and speech therapy (ST), which was tailored to each patient’s disability status, was implemented for approximately 150 min each day. The daily programme time allocation was 40–120 min for PT, 40–120 min for OT, and 0–60 min for ST. Patients with missing data on admission or before discharge, those discharged within 1 month, and those already disabled due to stroke were excluded from the study.

**Data collection**

Patient background characteristics, including age, sex, stroke type, presence of dysphagia, body mass index (BMI), serum investigations focused only on malnourished patients aged ≥65 years. However, recent research by the Global Burden of Disease Stroke Expert Group has shown that >30% of stroke incidence worldwide is experienced by patients between the ages of 20 and 64 years (5).

Considering this evidence regarding younger patients, combined with the fact that individuals are generally living longer, the aim of the current study was to examine the association between nutritional improvement and functional recovery during post-stroke rehabilitation among adult patients of all ages. Our metric for nutritional assessment was the Controlling Nutritional Status (CONUT) score (6), which is not limited to individuals aged ≥65 years.

**CONCLUSIONS**

This study was conducted to investigate the relationship between functional recovery and nutritional status of adult post-stroke patients hospitalized for rehabilitation. Each patient’s nutritional status and activities of daily living were evaluated on admission and discharge. The 134 enrolled patients were divided into 2 categories: improved or normal nutrition and poor or decreased nutrition. Their functional recovery was better in the category with improved nutritional status. This study significantly augments the results of previous studies focussing on changes in nutritional status and post-stroke rehabilitation outcomes in patients aged >65 years by studying adult patients of all ages.
creatinine level, and serum total cholesterol level, were obtained retrospectively from admission medical records. The presence or absence of dysphagia was determined by whether tube feeding or texture-modified meals according to swallowing ability were provided. Nutritional intake counts per day were estimated visually by registered dietitians and nurses, based on the consumption of daily meals once per week from week 1 to 5 after admission. The mean protein and energy intake of each patient was measured (as g or kcal/kg body weight/day).

Nutritional status using the CONUT score was assessed on admission and just before discharge. Three parameters were used to calculate the CONUT score: serum albumin level; total cholesterol level; and total lymphocyte count. To better assess the nutritional status of the patients, the present study employed a modified form of the CONUT score that incorporated the corrections by Hashimoto et al. (7) (Table I). This new protocol considers the serum albumin level measured with the modified bromocresol purple method, as performed in our institution, rather than that obtained with the bromocresol green method used in the original work. Furthermore, patients were divided into 2 categories according to the change in CONUT score during rehabilitation: (i) a category with an improvement in CONUT score (IC); and (ii) a category with no improvement in CONUT score (NIC). Patients in whom the score improved (decreased) or remained as normal, i.e. CONUT score 0 or 1, were included in the IC group. Patients in whom the score aggravated (increased) or remained as malnourished, i.e. CONUT score ≥ 2, were included in the NIC group.

Outcome measures
The Functional Independence Measure (FIM) (8), assessed on admission and before discharge, was used as the outcome parameter. FIM efficiency, which was considered a primary outcome, was calculated by dividing the difference in FIM score between admission and discharge by the length of hospital stay.

Comparison of characteristics between included and excluded patients
To confirm differences in characteristics, data regarding age, sex, stroke type, FIM score, serum creatinine level, and serum total cholesterol level on admission, which were available for most of the excluded patients, were compared with those of included patients.

Sample size calculation
G*power 3 (9) was used to calculate the optimal number of samples in this study. In the multiple regression analysis, the sample size was calculated as 109, when α error probability was 0.05, 1–β error probability was 0.8, the number of explanatory variables was 8, and effect size $f^2$ was 0.15, assuming a medium (9) effect size. The number of patients included in the current study exceeds this sample size.

Statistical analysis
Statistical analyses were performed using IBM SPSS Statistics (version 24, 2016, IBM Corporation). Normally distributed data were expressed as mean (standard deviation (SD)), whereas non-normally distributed data were expressed as median value and interquartile range (IQR). The Student’s $t$-test and Mann–Whitney $U$ test were used to analyse the differences between both categories, as appropriate. Categorical data were expressed as incidences and percentages, with comparisons performed using Fisher’s exact test or the $χ^2$ test, as appropriate. Multiple linear regression analysis was used to examine whether IC was independently associated with FIM efficiency. Multicollinearity was assessed using the variance inflation factor, and $p$-values < 0.05 were considered statistically significant.

Ethics
The present study was conducted according to the principles of the Declaration of Helsinki and was approved by the ethics committee at our institution. Because this is a retrospective study, an opt-out opportunity for recruitment was provided by announcing the research project in the hospital and via its webpage for 6 months. The eligible patients and their families could withdraw from the study at any time. Therefore, the need for written informed consent was waived.

RESULTS
During the study period, 439 patients were admitted for post-stroke rehabilitation to the convalescent rehabilitation ward in the hospital. The excluded patients were 267 who were not assessed for a CONUT score on admission and/or before discharge, 32 who were discharged within 1 month, and 6 who were already disabled due to a stroke. The remaining 134 patients (85 males; median age, 65.5 years; IQR, 57–74 years) were included in the study (Fig. 1). Of these, 65 (48.5%) patients were aged < 65 years.

Table II shows the characteristics of the 134 patients on admission and the comparisons between the IC and NIC categories. Of the 134 patients, 82 (62.0%) were included in the IC category. Age, days from stroke onset, FIM score, CONUT score, BMI, serum creatinine

Table I. Assessment of undernutrition degree by Controlling Nutritional Status (CONUT) score

| Parameter                  | Normal | Light | Moderate | Severe |
|----------------------------|--------|-------|----------|--------|
| Serum albumin (g/dl)       | ≥ 3.3  | 2.7–3.2 | 2.1–2.6 | < 2.0  |
| Score                      | 0      | 2     | 4        | 6      |
| Total lymphocytes/ml       | > 1,600| 1,200–1,599 | 800–1,199 | < 800  |
| Score                      | 0      | 1     | 2        | 3      |
| Cholesterol (mg/dl)        | > 180  | 140–180 | 100–139 | < 100  |
| Score                      | 0      | 1     | 2        | 3      |
| Screening total score      | 0–1    | 2–4   | 5–8      | 9–12   |

CONUT: Controlling Nutritional Status. This table is a modified version of the original table (6) by Hashimoto et al. (7).
Table II. Patients’ characteristics

| Characteristics | All (n = 134) | NIC (n = 52) | IC (n = 82) | p-value |
|-----------------|--------------|-------------|-------------|---------|
| Age, years, median [IQR] | 65.5 [58–74] | 71.5 [58.5–75.25] | 63 [58–72] | 0.425a |
| Sex, n (%) | | | | |
| Male | 85 (63.4) | 36 (69.2) | 49 (59.8) | 0.358b |
| Female | 49 (36.6) | 16 (30.8) | 33 (40.2) | |
| Stroke type, n (%) | | | | |
| Cerebral infarction | 70 (52.2) | 31 (59.6) | 39 (47.6) | 0.348c |
| Intracerebral haemorrhage | 54 (40.3) | 17 (32.6) | 37 (45.1) | |
| Subarachnoid haemorrhage | 10 (7.5) | 4 (7.7) | 6 (7.3) | |
| Dysphagia, n (%) | 20 (14.9) | 7 (13.5) | 13 (15.8) | 0.454d |
| CONUT score on admission, median [IQR] | 2 [1–2] | 2 [1–2] | 1 [0.25–3] | 0.609e |
| Serum creatinine level on admission, mg/dl, median [IQR] | 0.7 [0.6–0.9] | 0.8 [0.6–0.9] | 0.7 [0.6–0.8] | 0.127f |
| Serum total cholesterol level on admission, mg/dl, mean (SD) | 175 (36.4) | 171 (38.6) | 178 (34.7) | 0.346g |
| Body mass index, kg/m², median [IQR] | 21.9 [20.0–24.2] | 22.2 [20.3–24.5] | 21.8 [19.9–24.1] | 0.809h |
| Days from onset, median [IQR] | 39 [31.25–52.75] | 38.5 [32–48.75] | 39 [29.25–52.75] | 0.459i |
| FIM score on admission median [IQR] | | | | |
| Total FIM | 80 [52.25–100] | 72.5 [46.5–100] | 84 [58.25–99.75] | 0.258j |
| Motor FIM | 54.5 [30–69.75] | 48.5 [24.25–71] | 58.5 [33–69] | 0.192k |
| Cognitive FIM | 25 [20–32] | 26.5 [17–31] | 25 [21–32.75] | 0.631l |
| FIM efficiency (points/day), median [IQR] | 0.020 [0.118–0.280] | 0.133 [0.086–0.207] | 0.230 [0.181–0.305] | < 0.001m |
| Length of hospital stay, days, median [IQR] | 122.5 [88.75–149] | 133.5 [80–151] | 116 [92–147.75] | 0.501n |
| Energy, kcal/IBW kg/day, median [IQR] | 26.6 [24.0–29.0] | 26.5 [24.6–28.5] | 26.6 [23.7–29.1] | 0.686o |
| Protein, g/IBW kg/day, mean (SD) | 1.16 (0.17) | 1.17 (0.16) | 1.16 (0.18) | 0.751p |

*p = Mann–Whitney U test, b = Fisher’s exact test, c = χ² test, d = Student’s t-test. NIC: no improvement in CONUT score; IC: improvement in CONUT score; FIM: Functional Independence Measure; BMI: body mass index; SD: standard deviation; IBW: ideal body weight; IQR: interquartile range.

Table III. Multivariate analysis of Functional Independence Measure (FIM) efficiency

| Factor | Unstandardized coefficient | 95% confidence interval of B | Standardized coefficient | p-value | VIF |
|--------|----------------------------|-----------------------------|--------------------------|---------|-----|
| Age    | 0.000                      | -0.002 to 0.001             | -0.031                   | 0.730   | 1.213 |
| Stroke type | 0.013                     | -0.025 to 0.052             | 0.063                    | 0.489   | 1.266 |
| Dysphagia | -0.037                     | -0.105 to 0.032             | -0.097                   | 0.289   | 1.288 |
| FIM score on admission | -0.001                     | -0.002 to 0.000             | -0.185                   | 0.079   | 1.674 |
| CONUT score on admission | -0.005                     | -0.024 to 0.015             | -0.049                   | 0.635   | 1.644 |
| Serum creatinine level | -0.029                     | -0.123 to 0.064             | -0.054                   | 0.537   | 1.178 |
| Serum total cholesterol level | -0.0001                   | -0.001 to 0.001             | -0.019                   | 0.848   | 1.515 |
| IC     | 0.106                      | 0.060 to 0.153              | 0.384                    | < 0.001 | 1.114 |
| Constant | 0.280                      | 0.036 to 0.523              | -                        | 0.025   | -   |

FIM: Functional Independence Measure; CONUT: Controlling Nutritional Status; IC: category with improvement in CONUT score; VIF: variance inflation factor.
CONUT score has been reported to efficiently predict clinical outcome following aneurysmal subarachnoid haemorrhage (11), to be a simple alternative for assessing the nutritional status of individuals who are unable to stand (12), and to monitor the nutritional status of patients with pressure ulcers (13). In the present study, we chose to use the CONUT score, which is not limited to individuals aged ≥ 65 years, although the Geriatric Nutritional Risk Index (14), which explicitly included those aged ≥ 65 years, has been used in previous studies (3, 4) for nutritional monitoring. Furthermore, we proceeded with the view that the CONUT system could be a highly useful nutritional metric in post-stroke rehabilitation.

Because patients are now surviving cerebrovascular accidents more often, and living longer thereafter (15), the post-stroke overall life expectancy, particularly for younger patients, is increasingly being perceived in a new light. Therefore, improvements in nutritional status during rehabilitation several months after stroke may lead to better rehabilitation outcomes, possibly contributing significantly to improvements in quality of life over a substantial number of years, or decades.

In addition to the retrospective cross-sectional design, the present study has several other limitations. First, potential bias may have resulted from including only the patients for whom the CONUT score was estimated both on admission and before discharge. However, as presented in Table IV, there were no significant differences in some characteristics between the included and excluded patients. Secondly, there is an issue of universal applicability, because the present study was conducted within the framework of the medical insurance system of a single country.

In conclusion, the results of this study suggest that nutritional improvement or maintenance of normal nutritional status during rehabilitation in the convalescent rehabilitation ward is associated with better functional recovery in post-stroke adult patients of all ages.

The authors have no conflicts of interest to declare.

REFERENCES

1. Miyai I, Sonoda S, Nagai S, Takayama Y, Inoue Y, Kakehi A et al. Results of new policies for inpatient rehabilitation coverage in Japan. Neurorehabil Neural Repair 2011; 25: 540–547.
2. Yoshimura Y, Wakabayashi H, Bise T, Nagano F, Shimazu S, Shiraiishi A, et al. Sarcopenia is associated with worse recovery of physical function and dysphagia and a lower rate of home discharge in Japanese hospitalized adults undergoing convalescent rehabilitation. Nutrition 2019; 61: 111–118.
3. Nishioka S, Wakabayashi H, Nishioka E, Yoshida T, Mori N, Watanabe R. Nutritional improvement correlates with recovery of activities of daily living among malnourished elderly stroke patients in the convalescent stage: a cross-sectional study. J Acad Nutr Diet 2016; 116: 837–843.
4. Nii M, Maeda K, Wakabayashi H, Tanaka A. Nutritional improvement and energy intake are associated with functional recovery in patients after cerebrovascular disorders. J Stroke Cerebrovasc Dis 2016; 25: 57–62.
5. Krishnamurthi RV, Feigin VL, Forouzanfar MH, Mensah G, Connor M, Bennett D, et al. Global and regional burden of first-ever ischaemic and haemorrhagic stroke during 1990–2010: findings from the Global Burden of Disease Study 2010. Lancet Glob Health 2013; 1: e259–e281.
6. Ignacio de Ulibarri J, González-Madroño A, de Villar NG. CONUT: a tool for controlling nutritional status. First validation in a hospital population. Nutr Hosp 2005; 20: 38–45.
7. Hashimoto N, Katayama K, Imura T, Kurose T, Kitayama F, Hayase M, et al. [The practical problem of the clinical nutrition indices estimated from the serum albumin (Alb) values: their partial but significant discrepancy between the conventional and improved Alb measurement methods.] Joumyakukeichoueiyou 2013; 28: 1091–1099 (in Japanese).
8. Ottenbacher KJ, Hsu Y, Granger CV, Fiedler RC. The reliability of the functional independence measure: a quantitative review. Arch Phys Med Rehabil 1996; 77: 1226–1232.
9. Faul F, Erdfelder E, Buchner A, Lang AG. G*Power 3: Statistical power analyses using G*Power 3.1: tests for correlation and regression analyses. Behav Res Methods 2009; 41: 1149–1160.
10. González-Madroño A, Mancha A, Rodríguez F, Culebras J, de Ulibarri J. Confirming the validity of the CONUT system for early detection and monitoring of clinical undernutrition: comparison with two logistic regression models developed using SGA as the gold standard. Nutr Hosp 2012; 27: 564–571.
11. Qi H, Yang X, Hao C, Zhang F, Pang X, Zhou Z, et al. Clinical value of Controlling Nutritional Status score in patients with aneurysmal subarachnoid hemorrhage. World Neurosurg 2019; 126: e1352–e1358.
12. Lardiés-Sánchez B, Sanz-París A, Pérez-Nogueras J, Serrano-Oliver A, Torres-Anoro ME, Ballesteros-Pomar MD. Disability and its influence in nutritional assessment tools in elderly people living in nursing homes. Nutr Hosp 2017; 34: 1080–1088.
13. Kishimoto H. Monitoring the nutritional status of patients with pressure ulcer using the controlling nutritional status method. J Aging Res Clin Pract 2016; 5: 167–169.
14. Cereda E, Vanoitti A. The new Geriatric Nutritional Risk Index is a good predictor of muscle dysfunction in institutionally older patients. Clin Nutr 2007; 26: 78–83.
15. Lakshminarayan K, Berger A, Fuller C, Jacobs D, Anderson D, Steffen L, et al. Trends in 10-year survival of patients with stroke hospitalized between 1980 and 2000: the Minnesota stroke survey. Stroke 2014; 45: 2575–2581.