Dealing With Deans and Academic Medical Center Leadership: Advice From Leaders

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Abstract
The 2017 Association of Pathology Chairs Annual Meeting included a session for department chairs and other department leaders on “how to deal with deans and academic medical center leadership.” The session was focused on discussing ways to foster positive relationships with university, medical school, and health system leaders, and productively address issues and opportunities with them. Presentations and a panel discussion were provided by 4 former pathology chairs who subsequently have served as medical deans and in other leadership positions including university provost, medical center CEO, and health system board chair. There was a strong consensus among the participants on how best to deal with superiors about problems, conflicts, and requests for additional resources and authority. The importance of teamwork and accountability in developing a constructive and collaborative relationship with leaders and peers was discussed in detail. Effectiveness in communication, negotiation, and departmental advocacy were highlighted as important skills. As limited resources and increased regulations have become growing problems for universities and health systems, internal stress and competition have increased. In this rapidly changing environment, advice on how chairs can interact most productively with institutional leaders is becoming increasingly important.

Keywords
advice, AHC leaders, conflicts, department chair, senior fellows

Introduction
A key to the personal success of a clinical department chair, as well as the success of their department, is initiating and maintaining a positive and productive relationship with the university and health system leaders of their academic health center (AHC).1 This follows the well-known precept that managing the relationship with one’s boss (“managing up”)2 provides the best performance for both, as well as for the organization. Although AHC leaders are vested in the success of the chairs that they recruit and the departments they lead, in some cases relationships with AHC leaders can turn from supportive and collegial to contentious and even combative. The causes are manifold, with the major changes in academic and health-care operational environments of recent years significantly complicating the roles and responsibilities of chairs.3,4

As the environment changes, so too is the advice to clinical chairs for dealing with their organizational issues and relationships with AHC leadership. In this context, teamwork and
collaborative interaction have emerged as ever more pivotal keys to leadership success.\textsuperscript{5} This is reflected in the well-recognized leadership courses for department chairs given by Harvard\textsuperscript{6} and the Association of American Medical Colleges,\textsuperscript{7} where dealing effectively with institutional leaders is becoming an increasingly significant part of the curriculum.

The Association of Pathology Chairs (APC) was formed in 1967 as a professional society to assist chairs and other leaders of academic pathology departments in the United States and Canada.\textsuperscript{8} The annual meeting of the APC includes participation by pathology department chairs, undergraduate and graduate education program directors, administrators, and other department leaders to discuss issues and develop programs. For the 50th Anniversary Annual Meeting of the APC in July 2017, a half-day “chairs bootcamp” was organized to focus on important issues of particular interest to new chairs.

The closing session of the bootcamp was intended to discuss ways for new chairs, as well as senior chairs and other departmental leaders, to foster positive relationships with AHC leadership, avoid potential problems, and address issues and opportunities that may occur. A panel of 4 former pathology department chairs who have also served as deans and in other health center leadership roles addressed these topics. This report provides a broad range of recommendations based on these discussions that are of potential value to all departmental chairs as well as other program, center and institute directors for dealing productively with their AHC leaders.

### Methodology

The APC senior fellows represent a group of past pathology chairs first organized in 2012 to help the APC, especially in providing advice to current chairs. The APC senior fellows evolved into an ad-hoc committee of the APC in 2014 and a permanent senior fellows group in 2017. In addition to providing advice, coaching, and mentoring to chairs, the senior fellows contributed to the APC Annual Meetings in 2015 and 2016 with formal “discussion group” sessions on transitioning from the chair,\textsuperscript{9,10} as well as group sessions to provide advice to all chairs (2015) and new chairs (2016).

Based on the positive feedback to the advisory session for new chairs in 2016, a half-day “chairs bootcamp” was developed for the 2017 annual meeting. The intent was to allow for a longer session that would encompass more topics that could help new chairs and also be of interest to senior chairs and other department leaders. The bootcamp was scheduled for the morning immediately before the start of the formal annual meeting program, and immediately after the 1-day Pathology Leadership Academy (PLA), in order to provide future departmental leaders who attended the PLA an opportunity to also attend the bootcamp.

As a final session of the bootcamp, the senior fellows developed a 1-hour program entitled “How to Deal with Deans and Academic Medical Center Leadership.” Four APC senior fellows who have served as past or present medical school deans and in other AHC leadership roles were invited to provide advice in short presentations followed by a panel discussion of questions from attendees. Prior to the session, all the panelists shared summaries of their planned presentations with each other. The backgrounds of the 4 senior fellow panel participants (Drs Deborah Powell, Robert Folberg, Mark Tykocinski, and Fred Sanfilippo) are listed in Table 1. Dr Sanfilippo organized the session and served as moderator. This article represents a compilation of the advice provided in the presentations, discussions, and subsequent follow-up with the panelists.

### Results

#### Advice on the Importance of the Relationship Between Chairs and Academic Health Center Leaders

There was strong consensus by the panel that a key to success of chairs, and to a large extent their departments, is the relationship they have with AHC leadership, especially their dean and hospital CEO. Academic health center leaders are committed to the success of the chairs they recruit and the departments they lead. The initial positive relationship between a new chair and AHC leaders can be enhanced by activities and behaviors that are mutually beneficial. However, due to the broad range of complex issues with which AHC leaders must deal daily, their relationship with individual chairs can deteriorate rapidly, especially over unresolved problems and conflicts.

| Table 1. Advice in Dealing With AHC Leaders: Senior Fellow Panel Participants. |
|-------------------------------------------------------------|
| **Fred Sanfilippo, MD, PhD (moderator)**                   |
| - Former chair, Department of Pathology, Johns Hopkins     |
| University and Pathologist-in-Chief, Johns Hopkins Health  |
| System                                                     |
| - Former dean, College of Medicine and Public Health, senior vice president and executive dean of Health Sciences, and CEO of Ohio State University Medical Center, Ohio State University |
| - Former executive vice president of Health Affairs, CEO of Woodruff Health Sciences Center, and chair of the board of directors, Emory Healthcare, Emory University |
| **Robert Folberg, MD**                                      |
| - Former chair, Department of Pathology, University of Illinois at Chicago |
| - Current founding dean, Oakland University William Beaumont School of Medicine, Oakland University |
| **Deborah Powell, MD**                                       |
| - Former chair, Department of Pathology and Laboratory Medicine, University of Kentucky |
| - Former executive dean, School of Medicine University of Kansas |
| **Mark Tykocinski, MD**                                     |
| - Former chair, Department of Pathology and Laboratory Medicine, University of Pennsylvania |
| - Former president, Jefferson University Physicians |
| - Current dean, Sidney Kimmel Medical College, and provost, Thomas Jefferson University |

### Participants.

| Current dean, Sidney Kimmel Medical College, and provost, Thomas Jefferson University |
|-------------------------------------------------------------|
| **Thomas Jefferson University**                             |
| - Current dean, Sidney Kimmel Medical College, and provost, Thomas Jefferson University |

#### Key Points:

1. **Communication**: Effective communication is crucial.
2. **Respect**: Show respect and appreciation for each other.
3. **Support**: Mutual support is essential.
4. **Conflict Resolution**: Address conflicts early.
5. **Shared Vision**: Align personal and institutional goals.
6. **Feedback**: Frequent and constructive feedback.
7. **Adaptability**: Be adaptable to changing circumstances.
8. **Network**: Build and maintain a strong network.

#### Conclusion

The half-day “chairs bootcamp” provided a valuable opportunity for new chairs to receive advice and tips on dealing with AHC leaders effectively. The experiences and insights shared by the panelists can serve as a useful guide for current and future chairs in their roles.
Table 2. Advice in Dealing With AHC Leaders: Problems.

Provide a range of solutions
- Always provide several solutions or options, identifying your preference in the context of other possibilities and providing positive and negative aspects of each option, along with potential unintended consequences
- Avoid presenting problems as zero-sum options or having only limited solutions
- Recognize that presenting problems without solutions will be viewed as complaints
- Appreciate that providing constructive solutions to institutional problems, especially for issues not involving your department, can enhance relationships with AHC leaders

Discuss problems and solutions with peers and AHC staff
- As appropriate, seek advice from other chairs, center directors and especially stakeholders before bringing problems to AHC leaders
- Discuss problems and solutions with appropriate leadership staff (especially those who likely will be involved in crafting solutions) before bringing them to AHC leaders

Be judicious and limit the number of problems brought forward
- Make sure that only problems of sufficient magnitude are brought forward to AHC leaders, realizing that many problems will resolve over time
- Avoid conditioning AHC leaders to associate your visits with problems
- Feel empowered to attempt to resolve certain problems without bothering leadership for permission, as it is acceptable to later ask for forgiveness if the solution doesn’t work, assuming it was well intentioned, well informed, and reflected judicious prior advice from stakeholders

Understand local culture and processes for problem resolution
- Learn the characteristics of the local culture from peers and AHC leaders
- Appreciate cultural differences from where you have worked previously
- Identify preferred organizational processes for handling problems via peers and AHC leadership

Abbreviation: AHC, academic health center.

Table 3. Advice in Dealing With AHC Leaders: Conflicts.

Be a constructive critic
- Support a position rather than argue a point
- Develop good negotiating skills through professional training
- Resolve conflicts with AHC leaders the way you would want a leader within your own department to resolve it with you

Be objective and dispassionate in a conflict
- Avoid threatening language
- “If-then” arguments are often viewed as threats
- Describe potential positive and negative consequences of resolution and nonresolution

Avoid bringing departmental conflicts to AHC leadership for resolution
- Try to resolve conflicts directly with stakeholders, especially if faculty or peer leaders are involved or affected
- Recognize that bringing departmental conflicts to AHC leaders is usually viewed as a failure and may result in a resolution worse than what could have been negotiated directly

Make sure disagreements with AHC leaders are important enough to warrant a conflict
- Avoid arguments when having a disagreement with AHC leaders
- Appreciate that most conflicts are avoidable, but some are not
- Embrace an “agreeing to disagree” stance as sometimes being the best solution

Do not overestimate your leverage, influence, or importance
- Never try to end-around your dean or hospital CEO by going to their superiors
- Never engage trustees, influential donors, or community leaders to pressure your superiors

Abbreviation: AHC, academic health center.

Advice in Dealing With Academic Health Center Leaders: Problems and Conflicts

Problems and conflicts often arise over faculty affairs, resource allocation (especially space and money), operational and administrative issues, and personal matters. There are many ways to avoid, manage, and resolve problems and conflicts, as well as to exacerbate them (Tables 2 and 3).

Before bringing problems to an AHC leader, it is essential to first discuss them with key stakeholders and, especially, the appropriate AHC leader’s staff. Problems should be of enough importance to warrant engaging an AHC leader and should always be presented with a range of solutions that include potential consequences. Such selectivity, with a solution orientation, is advisable since bringing departmental problems to AHC leaders for resolution is often counterproductive and can suggest that the chair is unable to manage their own department.

Conflicts are usually the greatest source of strained relationships between chairs and AHC leaders. Disagreements with leadership should be of sufficient significance to warrant a conflict, and in many instances, simply agreeing to disagree is the best approach. Every attempt should be made to resolve conflicts that are intradepartmental or involve stakeholder peers without involving institutional leaders.

When conflicts with AHC leaders are unavoidable, chairs should handle them in the way they would want one of their departmental leaders to resolve a similar conflict with them. An inevitable way to anger a dean or hospital CEO is to go around or behind them by engaging their superiors, trustees, influential donors, or community leaders. Conversely, being constructive and having good negotiating skills to resolve conflicts are viewed as positive attributes by AHC leaders. When conflicts cannot be resolved, the chair should remember that the desire of the AHC leader should be followed. The negative consequences of a chair ignoring or subverting an AHC leader’s decision will usually outweigh any potential benefit to them or their department.

Understanding the organizational culture is very important in considering how best to deal with both problems and conflicts, especially for chairs that are relatively new to an institution. Culture clearly impacts consideration of what issues to
Table 4. Advice in Dealing With AHC Leaders: Requests.

| Provide details on overall benefits to the institution |
|------------------------------------------------------|
| • Be explicit as to how the institution and other units within it will benefit from the use of resources you request |
| • Never assume that what is best for your department is best for the institution |
| • Recognize that requests that add value or improve productivity of the AHC are well appreciated by AHC leaders |

| Vet requests with other stakeholders and unit leaders |
|-----------------------------------------------------|
| • Engage other unit leaders (e.g., chairs, center directors) who are impacted or would benefit from the request in the formulation of the request |
| • Ensure that key stakeholders agree on the priority and benefit of requests before bringing them forward to AHC leaders |

| Bring along other stakeholders when requesting resources |
|--------------------------------------------------------|
| • Ask other unit leaders to join in the request and explain the benefits for their unit |
| • Ask other unit leaders to provide their assessment of institutional benefit |

| Do not make requests to one AHC leader that will benefit their organization (e.g., dean/medical school) at the expense of another (e.g., CEO/hospital) |
|----------------------------------------------------------------------------------------------------------------------------------|
| • Realize that such requests will exacerbate the natural tensions between AHC entities and leaders in setting resource priorities |
| • Appreciate that granting such requests may provide short-term benefit but inevitably will create long-term problems for you and your department |

| Be sure requests are not zero-sum or cost-shifting |
|--------------------------------------------------|
| • Recognize that proposals that are zero-sum and cost/revenue-shifting are usually easily and quickly identified and rejected |
| • Understand that such requests will undermine trust in you and your department |

Abbreviation: AHC, academic health center.

Advice in Dealing With Academic Health Center Leaders: Requests

Two of the most important attributes of a high-performing organization are teamwork and institutional accountability. To optimize departmental and institutional success, leaders should consider themselves as members of the AHC leadership team (Table 5) and function as accountable team players (Table 6). Successful chairs also must understand and develop a realistic view of how their department fits into their AHC and work productively for the overall good of the institution. This entails understanding the different missions, values, culture, funds flow, and measures of success for each major unit of their AHC (e.g., university, medical school, hospital, and practice plan), and being accountable for the intended use of resources provided by each. This is especially true for chairs of departments of pathology-lab medicine, which have a strong presence in both basic and clinical sciences as well as in health-care delivery.

Advice in Dealing With Academic Health Center Leaders: Communication and Advocacy

Effective communication and advocacy are invaluable in promoting a positive relationship with AHC leaders (Tables 7 and 8). It is important for chairs to keep AHC leaders and their
Table 5. Advice in Dealing with AHC Leaders: Teamwork.

Consider yourself a member of the AHC leadership team
- Understand that medical school and hospital leaders consider you as a member of their teams and expect you to be a good team player
- Internalize the fact that great team leaders (e.g., department chairs) are great team players

Treat other unit leaders as teammates rather than competitors
- Appreciate that medical school and hospital leaders consider you a team-mate of your peer unit leaders and expect you to work with them as such
- Collaborate rather than compete with other chairs and center directors for resources (e.g., space, money, staff, faculty) and control

Put the interests and welfare of the AHC above your department
- Act as the steward of your department rather than as its representative
- Make the AHC a priority in the same way you expect your departmental faculty and staff leaders to place departmental priorities ahead of their division or program

Understand the assets and liabilities of your department from the perspective of AHC leaders
- Understand how AHC leaders (e.g., dean, hospital CEO) view your department relative to their component organization, as well as the AHC overall
- Determine how your peers (e.g., chairs, center directors) assess and value your department

Promote alignment between medical school and hospital
- Appreciate the potential for opportunities and conflicts that you and your department have across the missions of the medical school and hospital
- Utilize relationships with clinical and basic science departments to enhance their interactions and understanding of each other’s missions and priorities
- Work to build bridges, and never try to play one AHC leader against another

Abbreviation: AHC, academic health center.

Table 6. Advice in Dealing With AHC Leaders: Accountability.

Understand the different mission, vision, values, culture, and funds flow of the medical school, university, hospital, basic science units, and practice plans
- Align institutional measures of success with your own and those of your department
- Be accountable for the intended use of resources provided to your department by each institution (e.g., medical school, university, and hospital)

Put the priorities of AHC leadership ahead of your own
- Place the interests and success of your parent institutions ahead of your department
- Have your accountability to AHC leaders mimic the way you expect your faculty and staff to be accountable to you

Be accountable and responsible for issues arising in your department
- Recognize that AHC leaders assume that problems in your departmental are yours to resolve
- Avoid a victim mentality and blaming others for problems in your department

Make sure your accountability and responsibilities are commensurate with your authority
- Appreciate that delegated authority received from AHC leadership demands your responsibility and accountability to them
- Understand that accountability and responsibility without authority often causes conflict and problems

Convey a sense of accountability for the financial stability and quality of clinical services of your department, as the highest priority for most AHC leaders
- Accept the reality that clinical services are viewed by most AHC leaders as profit centers and research activities as cost centers, with the cost recovery of research less than its expenses
- Understand that clinical service revenue must be prioritized to ensure the quality of patient care over supporting academic activities
- Appreciate that departments with strong research but financial problems or poor clinical services are at high risk for leadership turnover

Abbreviation: AHC, academic health center.

peers well informed on important issues and particularly to avoid surprises. A chair should not be invisible, a pushover, or unnecessarily time-consuming, as such behaviors are not respected by strong AHC leaders. Academic health center leaders also have to deal with a lot of egos, so it is wise for chairs to keep their own ego in check and not be viewed as self-promoting. Good news and departmental successes that AHC leaders can brag about should be communicated more often than problems or requests.

Communications and advocacy require skill and experience. Messages should be tailored to the phenotype of the leader with whom a chair is communicating, that is, “know thy audience.” Deans and hospital CEOs have a wide range of backgrounds, career paths, and priorities, each of which impact their understanding of issues. Pathology-lab medicine departments are typically not well understood by AHC leaders, so chairs should provide sufficient background and limit assumptions when communicating about specialty-related issues.

Whenever possible in communicating important thoughts or opinions, the word “and” should be substituted for “but.” The word “but” negates the first part of a sentence and is perceived as negative and conflicting, whereas “and” is considered supportive and contributory. Also e-mail should be used sparingly and carefully. Whenever appropriate, communication should be by phone or in person. E-mail messages sent to “document the facts” are often interpreted as threatening, and copying other individuals should be avoided when a message is intended for only one person. E-mails should be short and never sent when angry. It is best to draft critical messages, put them aside, and return to them a few hours later. Review of such drafts for content and style by an impartial and trustworthy colleague can be extremely valuable.

Departmental advocacy should be based on objective values that span missions and are in line with AHC and appropriate regional and national benchmarks. Chairs should help AHC leaders understand how high-quality pathology and lab
Use e-mail sparingly and carefully
- Do not be invisible, a pushover, or unnecessarily time-consuming
- Be recognized as a thought leader and constructive contributor, not a self-promoter

Keep AHC leaders and peers well informed about important issues
- Communicate potential serious issues before they occur and avoid negative surprises
- Provide good news and successes that AHC leaders can brag about

Tailor messages to AHC leaders considering their phenotype
- Try to understand the styles of your AHC leaders, as they vary widely in their backgrounds, career paths, and priorities, which impact their understanding of issues
- Recognize that pathology-lab medicine departments are not well understood by most AHC leaders

Substitute the word “and” for “but” whenever possible
- Avoid “but” as it negates the first part of a sentence and is perceived as negative
- Prefer “and” as it adds to a sentence and is perceived as supportive and contributing

Use e-mail sparingly and carefully
- Whenever appropriate, communicate by phone or in person
- Avoid using e-mail to document “facts,” which is often interpreted as threatening
- Copy only those who are directly engaged in the issue being communicated
- Keep e-mail messages short, since anything more than one screen will likely not be read entirely, if at all, by a busy AHC leader
- Do not send e-mails when you are angry, and instead, draft critical messages and hold them for at least an hour before reviewing and editing
- Ask a trusted advisor to review critical e-mails before sending

Discussion
The observations and advice given in this report reflect the combined experiences of 4 individuals who have served on both sides of the department chair: AHC leader interface at different institutions. Despite the significant range among these panelists in AHC leadership roles (dean, hospital CEO, AHC CEO), types of AHCs served (public and private, large, and small, research intense and clinically focused), and individual backgrounds, there was remarkable consensus among them on virtually all advice provided across a spectrum of issues.

Table 7. Advice in Dealing With AHC Leaders: Communication.

| Advice                                      |
|---------------------------------------------|
| Make communication with AHC leaders a positive experience for them |
| Keep AHC leaders and peers well informed about important issues |
| Tailor messages to AHC leaders considering their phenotype |
| Substitute the word “and” for “but” whenever possible |
| Use e-mail sparingly and carefully          |

Abbreviation: AHC, academic health center.

Table 8. Advice in Dealing With AHC Leaders: Advocacy.

| Advice                                      |
|---------------------------------------------|
| Evaluate your department’s assets and value propositions |
| Utilize multiple methods to promote the assets and value of your department |
| Educate AHC leaders on the value of pathology-lab medicine |
| Determine and communicate the financial value of your department to AHC leaders |
| Position your department as an asset for the future of healthcare and academic medicine |

The major goal of the session was to provide advice on dealing with AHC leaders, especially for new chairs who must manage new relationships with AHC leaders as they confront many responsibilities and issues for the first time. Such challenges are inherently exacerbated when the new chair is in a new institutional environment where culture and processes may differ from their previous experience. Since senior chairs experience many of the same issues as new chairs, and often live through changes in AHC leadership during their tenure as chair, much of the panel’s advice and discussion is also clearly applicable to them. Interestingly, feedback following the session indicated that new chairs, experienced chairs, as well as senior and junior departmental leaders found this session to be one of the most useful in the entire annual meeting. Likewise, the group of 16 Society of ’67 Scholars (5 medical students and 11 residents) in attendance identified this session to be of
great interest because it covered new and different topics to which they had not been previously exposed.

The panel reinforced that developing a productive relationship with AHC leaders, being regarded as a trusted team player, and demonstrating accountability for organizational resources and authority are essential qualities that deans and hospital CEOs expect of chairs. Too often chairs do not appreciate the time and practice needed to develop these attributes. Fortunately, they can enhance their skills and draw do’s-and-don’t lessons from industry, sports, and the military. Self-awareness, hubris management, and effective communication skills constitute important ingredients for strong and trusting relationships with leaders. Judicious choice of which issues to bring forward to leaders, as well as when and how to bring them forward, is as important for such relationships as is dealing with the issue itself.

The advice of others is an essential resource for chairs, as they seek to deal effectively with their superiors. For those who have not had the experience and responsibility of leading a medical school or AHC, it is often difficult to see departmental issues from the perspective of the AHC leader with whom they are interacting. Admittedly, getting candid advice from someone with the experience of a medical school dean or hospital CEO, to help understand leaders’ viewpoints, is not easy, which is perhaps one reason why this panel discussion was so well received.

The previous chair, senior department faculty and staff, and other department chairs and center directors are useful local sources of advice in dealing with AHC leaders. Advice can also be sought elsewhere from peer chairs and colleagues at other institutions, although extrapolating their experience to a different environment and set of leaders can be risky. Specialty societies and professional organizations offer a valuable and simple means for obtaining advice from other chairs across a wide range of issues. Indeed, one of the major benefits of the senior fellows for the APC has been the personal advice they provide to individual members, which often encompasses issues in dealing with an AHC leader.

In summary, AHC leaders desire constructive interactions from chairs in dealing with both issues and opportunities. It is an enormous asset to a department when their chair is perceived by institutional leaders as a team player, constructive critic, positive change agent, value creator, and an engaged thought leader.

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