Third molar agenesis in modern humans with and without agenesis of other teeth

Maya Scheiwiller
Universitat Bern

Elias S. Oeschger
Universitat Bern

Nikolaos Gkantidis (✉ nikosgant@yahoo.gr)
Universitat Bern  https://orcid.org/0000-0002-3180-6272

Research article

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Abstract

Background The number of teeth in the human dentition is of interest both from developmental and evolutionary aspects. The present case-control study focused on the formation of third molars in modern humans aiming to shed more light on the most variable tooth class in the dentition.

Methods For this reason, we investigated third molar formation in a sample of 303 individuals with agenesis of teeth other than third molars (agensis group) and compared it to a sex and age matched control group of 303 individuals without agenesis of teeth other than third molars.

Results The prevalence of third molar agenesis in the agenesis group was 50.8%, which is significantly higher than the 20.5% in the control group (p < 0.001). The chance of a missing third molar in the agenesis group was increased by 38.3% (p < 0.001), after controlling for the agenesis in other teeth factor. When considering the amount of missing third molars per individual, a clear tendency towards more missing third molars was evident in the agenesis group compared to the control group. The frequency of bilaterally missing third molars in the agenesis group was 29% in the maxilla, as well as in the mandible, which is about three times higher than the frequency of unilaterally missing third molars (p < 0.001). In the control group, bilaterally missing third molars occurred in 8.6% in the maxilla and 8.9% in the mandible.

Conclusion The present results indicate that genetic factors involved in tooth agenesis affect also the dentition as a whole. Furthermore, the third molars are more vulnerable to factors involved in agenesis of other teeth and they are more often affected as a whole. These findings seem to be associated with the evolutionary trend in humans towards reduced molar number.

Background

Tooth agenesis is the congenital absence of one or more teeth. In the primary dentition, the prevalence ranges between 0.1% and 0.2%. However, in the permanent dentition tooth agenesis is prevalent in 6.4% of the overall population, with similar occurrence in the two jaws [2]. There is a large variation between different population groups and studies [2].

Tooth agenesis studies generally exclude third molars, due to the high frequency of their absence [2]. Agenesis of third molars is more or less considered a physiologic finding or an evolutionary adaptation of the dentition rather than a developmental disturbance [3]. The third molar is the last tooth to develop in the dentition and is characterized by the variability in time of formation and by its diversity in presence or absence [4,5]. The worldwide average of third molar agenesis is 22.6%, with Asian populations showing the highest rate of 29.7% [6].

A wide range of studies shows that the agenesis of third molars correlates with the number of other teeth in the dentition. According to Garn et al. [7], the chance of another tooth to be missing is raised thirteen-fold if at least one third molar is missing. More recent studies point in the same direction, though with
much reduced effect sizes [8-10]. Endo et al. [11] reported a significant association between missing third molars and bilateral agenesis of other teeth. Other researchers focused on the agenesis of specific teeth and third molar agenesis [12-14].

So far, various studies have investigated the association between missing third molars and agenesis of other teeth, but on limited tooth agenesis samples. Furthermore, most relevant studies tested Asian populations. Thus, we performed a study in a large sample of European subjects, aiming to investigate third molar formation in individuals with and without agenesis of other teeth. To obtain a robust sample, we selected a large number of individuals with agenesis of teeth other than third molars and compared it to a matched group without agenesis of teeth other than third molars. The null hypothesis was that there is no difference in third molar agenesis patterns between individuals who have agenesis in teeth other than third molars, and those who do not.

### Methods

We followed the STROBE guidelines for reporting observational studies [15].

#### Study sample

Consecutive orthodontic records of various time periods within the last 12 years (2006 - 2018, depending on the place of sample collection) were searched for eligible subjects at the following clinics: A) University of Bern, Switzerland b) University of Athens, Greece, c) two private practices in Athens and two in Thessaloniki, Greece, and d) one private practice in Biel, Switzerland. Sample collection was performed at the place of data generation by colleagues who were blinded to the aim of this study.

The sample was collected based on the following inclusion criteria:

- Individuals older than 12.5 years
- Individuals with and without agenesis of teeth other than third molars for the agenesis and the control group, respectively
- European ancestry
- No syndromes, systemic diseases or other defects that affect the craniofacial complex development, as reported in the subjects’ medical records
- Adequate quality panoramic radiographs for identification of missing teeth (Appendix Figure 1)
- No individuals where the cause of missing teeth was unclear
- No individuals where the presence or absence of teeth could not be confirmed

The minimum age limit was determined according to previous studies that evaluated the correlation between chronological age and the degree of third molar mineralization [16-20]. They showed that in 95% of cases, Demirjian's stage A was observed at the age of 12.5 or younger, which means that the
mineralization of third molar crowns has already started and is clearly visible on the panoramic radiographs.

Finally, the panoramic radiographs of 303 individuals with agenesis of teeth other than third molars (agenesis group) were selected from a large orthodontic sample of approximately 10,000 individuals, based on availability. A control group of 303 individuals without agenesis of teeth other than third molars, matched for age (within 6 months) and sex, was also formed from the same archives.

Data extraction

After reviewing the orthodontic files (medical and dental history, intraoral and extraoral photos, radiographs) at the place of sample collection, the relevant data were recorded in an Excel sheet (Microsoft Excel, Microsoft Corporation, Redmond WA, USA) in a standardized manner. To identify tooth agenesis, the panoramic radiographs were digitized and viewed on screen. A single researcher (M.S.) performed the data extraction procedure of the entire sample in terms of missing teeth, and repeated it for 40 randomly selected subjects (https://www.random.org/) following a 1-month washout period. In case of disagreement, the radiographs were controlled by all authors and a consensus was reached.

To record tooth agenesis patterns, the TAC (Tooth Agenesis Code) system was used [21]. This system assigns a binary value to each tooth providing a unique numeric value for each pattern. Each dental quadrant is analyzed separately, and thus, the combined values assigned to each of the quadrants (q1, q2, q3, and q4) represent a unique tooth agenesis pattern [21].

Statistical analysis

All statistical analyses were conducted with SPSS software (IBM SPSS Statistics for Windows, Version 23.0. Armonk, NY: IBM Corp). Descriptive statistics were also calculated through the Tooth Agenesis Code Data Analysis Tool (http://www.toothagenesiscode.com/, last accessed 15 May 2019). Intra-rater agreement was evaluated through the percentage of different patterns identified in the two repeated assessments. The two-tailed Pearson’s Chi square test was used to assess differences in the frequencies observed in the control and the agenesis samples. The Spearman's correlation coefficient was used to investigate the relation of the number of agenesis of teeth other than third molars to the number of third molar agenesis, overall, as well as within quadrants.

Results

Method error

The Intra-rater agreement between repeated tooth agenesis pattern identification was 97.5%.

Agenesis group without considering third molars

In the 303 individuals (170 females, 133 males) of the agenesis sample, in total 799 teeth, other than third molars, were congenitally missing. In 38.6% of the sample one tooth, in 33.3% two, and in 7.9% three teeth were missing (Appendix Table 1). The incidence for
missing teeth in the maxilla was 57.1%, compared to 68.6% in the mandible (p = 0.079). The most common missing tooth was the mandibular second premolar (29.3%), followed by the maxillary lateral incisor (21.0%), and the maxillary second premolar (14.0%; Table 1).

**Table 1.** Distribution of missing teeth across quadrant and tooth number.

| Tooth number | Upper right | Upper left | Lower right | Lower left | Total |
|--------------|-------------|------------|-------------|------------|-------|
| **Agenesis group** |            |            |             |            |       |
| 1            | 3           | 2          | 29          | 30         | 64    |
| 2            | 85          | 83         | 15          | 18         | 201   |
| 3            | 14          | 13         | 5           | 4          | 36    |
| 4            | 20          | 21         | 15          | 15         | 71    |
| 5            | 60          | 52         | 117         | 117        | 346   |
| 6            | 5           | 4          | 9           | 7          | 25    |
| 7            | 13          | 14         | 15          | 14         | 56    |
| 8            | 101         | 105        | 104         | 108        | 418   |
| Total        | 301         | 294        | 309         | 313        | 1217  |

| **Control group** |            |            |             |            |       |
| 8            | 37          | 32          | 39          | 36         | 144   |

Regarding tooth symmetry, the teeth that were most often symmetrically missing in the maxilla were the lateral incisors (19.5%) followed by the second premolars (14.2%). In the mandible, the second premolars were missing bilaterally in 25.4%, followed by the central incisors in 7.9% (Table 2).

**Table 2.** Frequency tables that show single tooth agenesis and the prevalence of right sided, left sided or bilateral agenesis in the whole sample (n = 606).
The most common agenesis patterns in the maxilla were bilaterally missing lateral incisors (23.1%), followed by bilaterally missing second premolars (12.7%). In the mandible, the most common patterns were bilateral agenesis of second premolars in 27.9%, followed by unilateral agenesis of the right second premolar (17.3%). In the whole dentition, bilateral agenesis of maxillary lateral incisors occurred most often (11.2%), followed by bilateral agenesis of mandibular second premolars (10.2%; Table 3).

Table 3. Most common tooth agenesis patterns in the agenesis group excluding third molars.
| Index | Frequency | Missing teeth | Index | Frequency | Missing teeth |
|-------|-----------|---------------|-------|-----------|---------------|
|       |           |               |       |           |               |
| Maxilla |           |               | Mandible |           |               |
| 1     | 40/173 (23.1) | 12, 22       | 1     | 58/208 (27.9) | 35, 45       |
| 2     | 22/173 (12.7) | 15, 25       | 2     | 36/208 (17.3) | 45           |
| 3     | 21/173 (12.1) | 12           | 3     | 34/208 (16.3) | 35           |
| 4     | 20/173 (11.6) | 22           | 4     | 10/208 (4.8)  | 31, 41       |
| 5     | 11/173 (6.4)  | 15           | 5     | 5/208 (2.4)   | 34, 35, 44, 45 or 32, 42 |
| Overall | 114/173 (65.9) |               | Overall | 143/208 (68.8) |               |
| Whole dentition |               |               |               |               |
| 1     | 34/303 (11.2) | 12, 22       |           |               |
| 2     | 31/303 (10.2) | 35, 45       |           |               |
| 3     | 29/303 (9.6)  | 45           |           |               |
| 4     | 27/303 (9.0)  | 35           |           |               |
| 5     | 18/303 (6.0)  | 22           |           |               |
| Overall | 139/303 (45.9) |               |           |               |

**Third molar agenesis in the agenesis and the control group**

The prevalence of third molar agenesis in the agenesis group was 50.8%, which is significantly larger than the 20.5% in the control group (p < 0.001). 418 third molars were congenitally missing in the agenesis group (n = 303) compared to 144 in the control group (n = 303). If the probability of third molar agenesis in the agenesis group was equal to that of teeth other than third molars, this would increase the value of 144 missing third molars, observed in the control group, by 114. Consequently, 258 missing third molars would have been expected in the agenesis group. This value is significantly lower than the actual value observed (418; p < 0.001). Thus, the chance of a missing third molar in the agenesis group is increased by 38.3%, compared to controls.

In the agenesis group, there was a significant, though weak correlation, of the total number of other missing teeth to the total number of missing third molars (rho = 0.31, p < 0.001). Similarly, very weak correlations were identified when third molar agenesis was correlated to the number of other tooth agenesis within quadrants (Q1: rho = 0.16, p = 0.006; Q2: rho = 0.14, p = 0.015; Q3: rho = 0.20, p = 0.001; Q4: rho = 0.29, p = 0.001).

The frequency of bilaterally missing third molars in the agenesis group was 29% in the maxilla, as well as in the mandible. This is about three times higher than the frequency of unilaterally missing third molars (maxilla: 9.9%, p < 0.001, mandible: 11.9%, p < 0.001; Table 2). The ratio of bilateral to unilateral third molar agenesis was significantly higher in the agenesis group compared to the control group (maxilla: 2.93 vs. 1.53, respectively, p < 0.001; mandible: 2.44 vs. 1.29, respectively, p < 0.001; Table 2).

In the tooth agenesis group, symmetrical third molar agenesis occurred in a similar manner within jaws (29% within each jaw), between jaws (right side: 24%, left side: 24%), or
crossed quadrant (q1 vs. q3: 22.1%; q2 vs q4: 24.4%) (p > 0.05; Table 4). The same was true for the control groups (p > 0.05; Table 4), though the prevalence of all respective symmetrical patterns was much lower (range: 6.6 - 8.9%, p < 0.001).

### Table 4. Symmetry of tooth agenesis patterns.

| Pattern symmetry | Comparison                        | Symmetry I (%) | Symmetry II (%) |
|------------------|-----------------------------------|----------------|-----------------|
| Maxilla          | Right vs. left side               |                |                 |
|                  | No 3rd - Agenesis                 | 26.7           | 46.8            |
|                  | 3rd - Agenesis                    | 29.0           | 74.6            |
|                  | 3rd - Control                     | 8.6            | 60.5            |
| Mandible         | Right vs. left side               |                |                 |
|                  | No 3rd - Agenesis                 | 30.0           | 43.8            |
|                  | 3rd - Agenesis                    | 29.0           | 71.0            |
|                  | 3rd - Control                     | 8.9            | 56.3            |
| Left side        | Upper left vs. lower left         |                |                 |
|                  | No 3rd - Agenesis                 | 6.9            | 8.8             |
|                  | 3rd - Agenesis                    | 24.1           | 52.1            |
|                  | 3rd - Control                     | 6.6            | 41.7            |
| Right side       | Upper right vs. lower right       |                |                 |
|                  | No 3rd - Agenesis                 | 7.9            | 9.8             |
|                  | 3rd - Agenesis                    | 24.4           | 56.5            |
|                  | 3rd - Control                     | 8.6            | 52.0            |
| Crossed q1 vs q3 | Upper right vs. lower left        |                |                 |
|                  | No 3rd - Agenesis                 | 6.9            | 8.71            |
|                  | 3rd - Agenesis                    | 22.1           | 47.2            |
|                  | 3rd - Control                     | 6.6            | 37.7            |
| Crossed q2 vs q4 | Upper left vs. lower right        |                |                 |
|                  | No 3rd - Agenesis                 | 7.6            | 9.5             |
|                  | 3rd - Agenesis                    | 24.4           | 54.8            |
|                  | 3rd - Control                     | 6.6            | 39.2            |

Symmetry I: percentage relative to the whole sample (n = 303) without considering the patterns of no missing teeth as symmetrical. Symmetry II: percentage relative to subsample of subjects with missing teeth in the respective area (i.e. maxilla, mandible etc.).

In both groups, there was no statistically significant difference between the number of missing third molars in the different quadrants (Chi square test, p > 0.05; Table 1). The agenesis group differed significantly from the control group in the distribution of the number of missing third molars (p < 0.001). There is a clear tendency towards more
missing third molars in the agenesis group compared to the controls. The agenesis group has 1.55, 2.14, 3.80, and 3.48 times higher possibility of having one, two, three, or four missing third molars respectively, when compared to the control group (Figure 1).

Appendix Table 2 shows the most common patterns of tooth agenesis in the agenesis group, including third molars. In the maxilla, the lateral incisors were most commonly missing in 14.1%, followed by bilaterally missing third molars in 13.6% of the sample. In the mandible, the second premolars were most commonly missing bilaterally in 12.8% of the sample, followed by unilateral second premolar agenesis.

Appendix Table 3 shows the most common patterns of third molar agenesis in control subjects where agenesis was observed. In the maxilla, as well as in the mandible, bilateral third molar agenesis was the most common pattern (60.5% and 56.3%, respectively). In the entire dentition, the most common pattern was the four missing third molars (17.4%), followed by bilateral third molar agenesis in the mandible, in 14.5%. Appendix Table 4 shows the most common patterns of third molar agenesis in the agenesis group, where third molar agenesis was observed. In this group also, bilateral third molar agenesis was the most common pattern within jaws (74.6% and 71.0%, in the maxilla and the mandible, respectively). Furthermore, in the entire dentition, the most common pattern was also in this group the four missing third molars (38.3%), followed by bilateral third molar agenesis in the mandible (12.3%).

**Discussion**

The purpose of this study was, to explore the patterns of third molar agenesis in a large sample of modern European subjects with and without agenesis of other teeth. The prevalence of third molar agenesis in the agenesis group was 50.8%, which is about 2.5 times higher than in the control group. In the same group, there was a weak correlation of the number of agenesis of other teeth with the number of third molar agenesis within individuals, as well as very weak correlations of third molar agenesis to the number of agenesis of other teeth within quadrants. When considering the percentages of the amount of missing third molars per individual in the control and the agenesis group, there was a tendency towards more missing third molars in the agenesis group. The frequency for bilaterally missing third molars in the agenesis group was about three times higher than the frequency of unilateral absence. The ratio of bilateral to unilateral third molar agenesis was also significantly higher in the agenesis group, compared to the control group.

Our methodology differs from all previous studies, in terms that we investigated the patterns of third molar agenesis in a large sample with agenesis of other teeth. To our knowledge, all the existing studies divided their groups according to third molar agenesis. Through the latter approach, only a small percentage of the subsequent subsamples had agenesis in teeth other than third molars, and thus, these groups did not have adequate or comparable size to the control groups. Our study tested a large agenesis sample of 303 agenesis individuals, as well as 303 controls, selected out of a total of around 10000 records. This allowed for findings that are presented for the first time in the literature, such as those related to symmetry or to occurrences within quadrants. Furthermore, the groups were matched for sex and age, accounting for any confounding effects of these factors. For younger individuals, these might be related to the differences in dental maturity between sexes of the same chronological age or to the etiology of tooth absence in older individuals. Furthermore, studies on tooth agenesis [2], as well as on
third molar agenesis [6], agree in the higher prevalence of agenesis in females than in males. Differences between sexes were not investigated here, since this was beyond the scope of the present study. A potential effect of the sex factor on the outcomes is not expected because the sample was matched for sex.

The age range that we considered was limited from 12.5 to 40 years old. The minimum limit was defined according to various longitudinal studies that showed the correlation between chronological age and the degree of third molar mineralization using Demirjian's developmental stages. This classification has been widely used and tested to facilitate age estimation. Therefore, the choice of this age limit is considered to be appropriate for our purpose [16-20]. The upper age limit of 40 years was chosen to avoid false positive results due to extraction or tooth loss due to other reasons that could have been registered as agenesis.

Without considering third molars, the present tooth agenesis findings are in agreement with other studies [2, 22]. This indicates that our agenesis sample was comparable to other samples presented in the literature, confirming the generalizability of our findings.

We found a prevalence of 50.8% for third molar agenesis in the agenesis group compared to 20.5% in the control group. According to a recent meta-analysis [6], the worldwide average of third molar agenesis is 22.6% (21.6% for Europeans), confirming the validity of our control group. Our results clearly demonstrated that in individuals with agenesis of other teeth, the prevalence of third molar agenesis is higher. This points in the same direction with previous studies that showed an increased prevalence of agenesis of other teeth in individuals with third molar agenesis [8-10].

In our control group, the sequence of the number of missing third molars was similar to that of Carter and Worthington [6] that showed the highest prevalence for one missing third molar, followed by two, and four missing third molars. However, the most common amount of missing third molars in the agenesis group was four, followed by two and one third molar. This inconsistency is attributed to the different sample composition. The aforementioned meta-analysis tested third molar agenesis in the general population, meaning that individuals with agenesis of other teeth would be limited. The above findings clearly show that the presence of agenesis, in teeth other than third molars, has a considerable effect on third molar agenesis patterns. Especially, the probability to have four missing third molars increases. This suggests that the third molars might be more vulnerable to genetic factors involved in tooth agenesis, as compared to other tooth types. Indeed, this is also supported by the increased number of missing third molars in the agenesis sample compared to that expected by chance. This concept is in line with the evolutionary trend in humans towards less number of teeth, and more specifically, less molars [23]. Facial size has also been reduced during evolution [24]. Recent evidence showed that the number of teeth that are formed in a dentition is associated with facial size in modern humans. This indicates that a biological mechanism of tooth number reduction that has evolved during time might still be active and continue to regulate the number of teeth and facial size in a coordinated manner [25]. The findings of the present study, along with the high prevalence of third molar agenesis in the population [6] suggest that the third molars might be affected to a higher degree from such mechanisms, compared to other teeth in the dentition.
In the agenesis group, the prevalence for bilaterally missing third molars was more than three times higher than in the control group, in the maxilla as well as in the mandible. The ratio of bilateral to unilateral third molar agenesis was significantly higher in the agenesis group compared to the control group. The same was true for all types of symmetry. Furthermore, in the agenesis and the control group, the most common third molar agenesis pattern was four missing third molars, followed by bilateral third molar agenesis in the mandible. This is in line with our previous statement that third molars are more susceptible to genetic or epigenetic factors that cause tooth agenesis, and might more possibly be affected as a whole. The above claim is also supported by the increasing possibility for more missing third molars in the agenesis group than in the controls. Furthermore, very weak correlations were identified between other missing teeth and third molar agenesis within quadrants, suggesting that there are no significant genetic effects limited within quadrants.

A limitation of the study could be that the sample was selected from orthodontic practices, meaning that it may not be representative of the general population. However, when considering that malocclusion is endemic in recent years, it is not expected that our sample would highly differ from the general population. Indeed, this was confirmed by comparisons to other studies. In any case, with the present approach to the study question this is not considered a limitation. Another limitation could be that the results are based only on subjects of the European population and have to be confirmed on other ancestries. However, the study sample originated from places where the white European background is highly represented. Thus, we decided to include only white subjects of European ancestry to avoid confounding.

**Conclusions**

The present study showed that individuals with non-syndromic tooth agenesis in teeth other than third molars show a higher prevalence of third molar agenesis compared to matched control individuals without agenesis of other teeth. There was also a clear tendency towards more missing third molars in the agenesis group. Furthermore, in the agenesis group, the prevalence for bilaterally missing third molars was more than three times higher than in the control group. The ratio of bilateral to unilateral third molar agenesis was also significantly higher. The above findings indicate that the third molars might be more vulnerable to genetic or epigenetic factors involved in agenesis of other teeth and they are often affected as a whole. These findings seem to be associated with the evolutionary trend in humans towards reduced number of teeth.

**Declarations**

*Ethics approval and consent to participate*

The ethical approval was provided by the Ethics Commission of the Canton of Bern, Switzerland (Project-ID: 2018-01340) and the Research Committee of the School of Dentistry, National and Kapodistrian
University of Athens, Greece (Project-ID: 281, 2/9/2016). The need for informed consent was waived for part of the sample and was obtained for the rest.

Consent for publication

Not applicable.

Availability of data and materials

All data is available in the main text or the supplementary material. The datasets generated and/or analyzed are available from the corresponding author on reasonable request.

Competing interests

The authors declare that they have no competing interests.

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Authors’ contributions

M. Scheiwiller, contributed to design, data acquisition, analysis, and interpretation, drafted and critically revised the manuscript; E.S. Oeschger, contributed to data acquisition, drafted and critically revised the manuscript; N. Gkantidis contributed to conception and design, data acquisition, analysis, and interpretation, drafted and critically revised the manuscript. All authors read and approved the final manuscript.

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**Figures**
Figure 1

Distribution of individuals with different number of missing third molars (x-axis) in the agenesis and the control group.

Supplementary Files

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- Appendix20200305F.docx