Secure Communications in NOMA System: Subcarrier Assignment and Power Allocation

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Abstract—Secure communication is a promising technology for wireless networks because it ensures secure transmission of information. In this paper, we investigate the joint subcarrier (SC) assignment and power allocation problem for non-orthogonal multiple access (NOMA) amplify-and-forward two-way relay wireless networks, in the presence of eavesdroppers. By exploiting cooperative jamming (CJ) to enhance the security of the communication link, we aim to maximize the achievable secrecy energy efficiency by jointly designing the SC assignment, user pair scheduling and power allocation. Assuming the perfect knowledge of the channel state information (CSI) at the relay station, we propose a low-complexity subcarrier assignment scheme (SCAS-1), which is equivalent to many-to-many matching games, and then SCAS-2 is formulated as a secrecy energy efficiency maximization problem. The secure power allocation problem is modeled as a convex geometric programming problem, and then solved by interior point methods. Simulation results demonstrate that the effectiveness of the proposed SSPA algorithms under scenarios of using and not using CJ, respectively.

Index Terms—Cooperative Jamming, non-orthogonal multiple access, physical layer security, energy efficiency.

I. INTRODUCTION

Recently, non-orthogonal multiple access (NOMA) has been considered as a promising solution to significantly improve energy efficiency for wireless communications [11–13]. The main advantage of NOMA is that it can simultaneously serve multiple users on the same subcarrier (SC) to increase the system throughput [1]. The concept of successive interference cancellation (SIC) at the receiver side was applied in NOMA to address the inter-user interference. NOMA can utilize different resource allocation methods to achieve a good spectral efficiency and energy efficiency performance. In [4], the effective capacity with power control policy was introduced to guarantee delay quality of service (QoS) for downlink NOMA system. In [5], the power allocation techniques were studied to ensure fairness under instantaneous channel state information (CSI) and average CSI in NOMA system. In [6], user grouping based on user locations was applied to reduce interference and the power allocation scheme was employed to improve sum rate for visible light communication multi-cell networks.

Meanwhile, physical layer security has drawn much attention in wireless networks [7], [8]. Due to the broadcast nature of wireless communications, wireless transmissions are exposed to unauthorized users and vulnerable to both the jamming and eavesdropping attacks. Physical layer security is regarded as an important methodology to realize secrecy transmissions against eavesdropping attacks [9]. Specifically, secrecy capacity can be enhanced by exploiting multiple antennas additional spatial degrees of freedom in multiple-input-multiple-output (MIMO) wiretap channel [10], [11]. Furthermore, researchers applied robust beamforming transmission technique, artificial noise (AN), and Multi-antenna relay scheme to improve physical layer security [12–15]. Additionally, the physical layer security of cooperative communication in large-scale cognitive radio networks was investigated [16] with invoking a multiphase transmission scheme.

Cooperative jamming (CJ) is a special physical layer technique, which uses AN to confuse the eavesdropper. In [17], CJ nodes was studied and interfered untrustworthy relay nodes with splitted power. In [18], the distinct precoding vectors of node users and jamming signals were designed in two-way relaying wiretap systems. In [19], secure transmission schemes were designed for relay network by exploiting CJ and signal superposition methods in two typical communication scenarios. One scenario is to maintain a satisfactory transmission rate while minimizing message leakage. The other scenario is to improve the average throughput of the system. In addition, an uncoordinated cooperative jamming scheme was investigated and uncoordinated single-antenna users independently transmit jamming signal. The central control properly allocated the jamming power of each helper to optimize secrecy sum rate [20].

Resource allocation plays a crucial role in exploiting the potential performance gain for NOMA wireless networks. Several works have employed different optimization methods to improve the sum rate in several research works, such as the monotonic optimization [21], Lagrangian duality theory [22], and matching theory [23]. Besides the maximization of sum rate, resource allocation with security considerations for NOMA networks have also been addressed in the existing works. In [24], a robust resource allocation framework was investigated in half-duplex relay networks to improve the physical layer security. In [25], a secure cooperative communication was introduced in cognitive radio networks with...
users and eavesdroppers, where secondary users were allowed to access the spectrum of primary users in the presence of malicious eavesdroppers. In [26], the joint relay selection and subcarrier allocation scheme was employed in decode-and-forward relay assisted secure vehicle-to-vehicle communications. However, secure resource allocation has not been well studied for NOMA two-way relay wireless networks. These motivated our work.

A. Contribution

In this paper, we consider secrecy energy efficiency maximization based amplify-and-forward (AF) two-way relay wireless networks under power constraints. To ensure the worst-case secrecy energy efficiency for each user is a positive rate, we assume that an upper-bound capacity can be achieved for each eavesdropper. The eavesdropper is regarded as a legitimate untrusted user and the user pair patterns are known to eavesdroppers. The secure resource allocation problem is complex due to the combinatorial aspect induced by SC assignment and user pair scheduling and power allocation. Our contributions and novelties can be summarized as follows.

1) We investigate secure communications for NOMA two-way relay wireless networks in the presence of eavesdroppers under scenarios of using and not using CJ at the relay station (RS). In this work, we consider perfect CSI for the secrecy subcarrier assignment and power allocation problem and formulate it as a mixed non-convex optimization problem. The constraints include the maximum system power, the minimum data rate for each user pair, the maximum number of users can be multiplexed on the same SC and the maximum number of SCs occupied by each user pair.

2) A matching algorithm SCAS-1 is proposed for SC assignment to improve the secrecy energy efficiency. In this scheme, the user pair scheduling is updated in each iteration. The system energy efficiency improves until it converges. To achieve high secrecy energy efficiency of the system, SCAS-2 scheme is proposed in the NOMA wireless network.

3) Based on the proposed SCAS schemes, a novel power allocation scheme is proposed for the NOMA wireless network and the power allocation for each SC is derived by utilizing interior methods. To tackle this NP-hard optimization problem, the proposed SSPA-2 scheme obtains global optimal. In addition, we proposed SSPA-2 scheme to strike a balance between system performance and computational complexity.

4) Simulation results verify the derived theoretical analytical results and demonstrate the performance superiority of the proposed SSPA schemes in terms of the average secrecy without and with CJ.

B. Organization

The rest of this paper is organized as follows. Section II provides the system model and problem formulation of secure resource allocation. In Section III, secrecy energy efficiency subcarrier assignment schemes for NOMA wireless network. In Section IV, secrecy energy efficiency power allocation scheme for NOMA wireless network. In Section V, performance of the proposed algorithms are evaluated by simulations. Finally, Section VI concludes the paper.

II. SYSTEM MODEL

A. Secrecy NOMA Two-Way Relay Wireless Networks without Cooperative Jamming

We consider a NOMA two-way relay wireless network composed of $M$ preassigned user pairs, denoted by $M = \{1, \ldots , M\}$. The NOMA channel consists of $N$ SCs, denoted by $N = \{1, \ldots , N\}$, and each has a bandwidth $B$. As shown in Fig. 1, two users ($A_m$ and $B_m$), a RS, and an eavesdropper are presented. In the case of not using CJ, there is no artificial noise (AN). The bi-directional communications between users $A_m$ and $B_m$ are aided by the RS. Eavesdropper is passive and intercepts the information from $A_m$ and $B_m$ without alteration.

AF protocol is considered in this paper which is divided into two phases: the multiple access (MA) phase and the broadcast (BC) phase. All user pairs do simultaneous wireless messages and power transfer with the RS in the MA phase; the RS further amplifies and forwards the received signals to user pairs employing its transmit power in the BC phase. Based on the CSI for NOMA two-way relay wireless network, one SC can be allocated to multiple user pairs, and one user pair can receive from the RS through multiple SCs. Meanwhile, the RS assigns different power to user pairs over SCs. Block fading channel is assumed to be flat and consisted of distance-dependent path loss and Rayleigh fading on each of the SC. We assume a slow fading environment where all the SCs are invariable during a complete transmission cycle and cochannel interference among user pairs on each SC is considered.

In the MA phase, we assume that SC $i$ is allocated to the $K$ user pairs, where $K = \{1, \ldots , K\}$. The $m$th user pair is composed of user $A_m$ and user $B_m$, where $m \in K$, $m \in M$. The received signal on SC $i$ at the RS is given by

$$y_{RS,i} = \sum_{m \in K} \left( \sqrt{P_{A_m,i}} h_{A_m,R,i} s_{A_m,i} + \sqrt{P_{B_m,i}} h_{B_m,R,i} s_{B_m,i} + n_{RS,i} \right)$$

where $i \in N$; $s_{A_m,i}$ and $s_{B_m,i}$ are the transmitted signals of users $A_m$ and $B_m$ on SC $i$, respectively, which are cyclic symmetric complex Gaussian (CSCG) random variables given
by $s_{A,m,i} \sim \mathcal{CN}(0, 1)$ and $s_{B,m,i} \sim \mathcal{CN}(0, 1)$ separately; $n_{RS,i} \sim \mathcal{CN}(0, \sigma^2)$ is the additive white Gaussian noise (AWGN) at the RS on SC $i$. The total transmission power of users $A_m$ and $B_m$ are constrained by $P_{A,m}$ and $P_{B,m}$, respectively; $h_{A,m,i}$ and $h_{B,m,i}$ are, respectively, the channel gains from $A_m$ to RS and from $B_m$ to RS on SC $i$.

The received signal at the eavesdropper on SC $i$ can be expressed as

$$y_{E,i} = \sum_{m \in \mathcal{K}} \left( \sqrt{P_{A,m}}h_{A,m,E,i}s_{A,m,i} + \sqrt{P_{B,m}}h_{B,m,E,i}s_{B,m,i} \right) + n_{E,i},$$

where the channel gains on SC $i$ from $A_m$ to the eavesdropper and from $B_m$ to the eavesdropper are denoted by $h_{A,m,E,i}$ and $h_{B,m,E,i}$ separately; $n_{E,i} \sim \mathcal{CN}(0, \sigma^2)$ is the AWGN on SC $i$ at the eavesdropper.

In the BC phase, we assume that SC $j$ is allocated to the $m$th user pair with transmitted power $P_{R,j}$. The received signal on SC $j$ at $A_m$ is $y_{A,m,i,j} = \sqrt{P_{R,j}}g_{A,m,j}y_{RS,i} \sqrt{h_{A,m,R,i}s_{A,m,i}}/\alpha_i$, which can be further given by

$$y_{A,m,i,j} = \sum_{m \in \mathcal{K}} \left( \sqrt{P_{R,j}}g_{A,m,j} \sqrt{P_{A,m}}h_{A,m,R,i}s_{A,m,i}/\alpha_i \right) + \frac{P_{R,j}g_{A,m,j}h_{B,m,R,i}s_{B,m,i}/\alpha_i}{\alpha_i} + n_{A,m,i,j},$$

Similarly, the received signal on SC $j$ at $B_m$ is $y_{B,m,i,j} = \sqrt{P_{R,j}}g_{B,m,j}y_{RS,i} / \alpha_i + n_{B,m,i,j}$, which is further given by

$$y_{B,m,i,j} = \sum_{m \in \mathcal{K}} \left( \sqrt{P_{R,j}}g_{B,m,j}h_{B,m,R,i}s_{B,m,i}/\alpha_i \right) + \frac{P_{R,j}g_{B,m,j}h_{B,m,R,i}s_{B,m,i}/\alpha_i}{\alpha_i} + n_{B,m,i,j}.$$
\[ I_m = \sum_{m \in K} (P_{R,j}|g_{A_m,j}|^2P_{A_m,i}|h_{A_m,R,i}|^2/\alpha_i + P_{R,j}|g_{A_m,j}|^2P_{B_m,i}|h_{B_m,R,i}|^2/\alpha_i^2) \]
\[ - (P_{R,j}|g_{B_m,j}|^2P_{A_m,i}|h_{A_m,R,i}|^2/\alpha_i + P_{R,j}|g_{B_m,j}|^2P_{B_m,i}|h_{B_m,R,i}|^2/\alpha_i^2) \]
\[ I_B = \sum_{m \in K} (P_{R,j}|g_{B_m,j}|^2P_{A_m,i}|h_{A_m,R,i}|^2/\alpha_i + P_{R,j}|g_{B_m,j}|^2P_{B_m,i}|h_{B_m,R,i}|^2/\alpha_i^2) \]
\[ - (P_{R,j}|g_{B_m,j}|^2P_{A_m,i}|h_{A_m,R,i}|^2/\alpha_i + P_{R,j}|g_{B_m,j}|^2P_{B_m,i}|h_{B_m,R,i}|^2/\alpha_i^2) \].

\[ ET = \sum_{m \in K} (P_{A_m,i}|h_{A_m,E,i}|^2 + P_{B_m,i}|h_{B_m,E,i}|^2) - (P_{A_m,i}|h_{A_m,R,i}|^2 + P_{B_m,i}|h_{B_m,E,i}|^2) + \sigma^2 \]
\[ ER = \sum_{m \in K} (P_{R,j}|g_{E,j}|^2P_{A_m,i}|h_{A_m,R,i}|^2/\alpha_i + P_{R,j}|g_{E,j}|^2P_{B_m,i}|h_{B_m,R,i}|^2/\alpha_i^2) \]
\[ - (P_{R,j}|g_{E,j}|^2P_{A_m,i}|h_{A_m,R,i}|^2/\alpha_i + P_{R,j}|g_{E,j}|^2P_{B_m,i}|h_{B_m,R,i}|^2/\alpha_i^2) + (P_{R,j}|g_{E,j}|^2/\alpha_i^2)\sigma^2 \]

B. Secrecy NOMA Two-Way Relay Wireless Networks with Cooperative Jamming

Based on the CSI for the RS, we investigate a similar problem which is described in Section II-A with CJ.

In the MA phase, \( s'_{A_m,i} \) and \( s'_{B_m,i} \), are, respectively, the incorporating jamming signals of the users \( A_m \) and \( B_m \) on SC \( i \) for the exchange messages. For generating the portion of the transmission power of the AN are denoted by \( \alpha_{1,i} \) and \( \alpha_{2,i} \) at \( A_m \) and \( B_m \) on SC \( i \) separately. Accordingly, \( A_m \) splits its transmission power on SC \( i \) into \((1-\alpha_{1,i})P_{A_m,i}\) for exchange message \( s'_{A_m,i} \) and \( \alpha_{1,i}P_{A_m,i} \) for AN, \( s_{A_m,i} \), respectively. Similar transmission scheme is used for \( B_m \). The received signal on SC \( i \) at the RS can be expressed as

\[ y_{RS,i} = \sum_{m \in K} (\sqrt{(1-\alpha_{1,i})P_{A_m,i}}h_{A_m,R,i}s_{A_m,i} + \sqrt{(1-\alpha_{2,i})P_{B_m,i}}h_{B_m,R,i}s_{B_m,i} + \sqrt{\alpha_{1,i}P_{A_m,i}}h_{A_m,R,i}s'_{A_m,i} + \sqrt{\alpha_{2,i}P_{B_m,i}}h_{B_m,R,i}s'_{B_m,i} + n_{RS,i}) \]

For the eavesdropper, the received signal on SC \( i \) is given by

\[ y_E^{(1)} = \sum_{m \in K} (\sqrt{(1-\alpha_{1,i})P_{A_m,i}}h_{A_m,E,i}s_{A_m,i} + \sqrt{(1-\alpha_{2,i})P_{B_m,i}}h_{B_m,E,i}s_{B_m,i} + \sqrt{\alpha_{1,i}P_{A_m,i}}h_{A_m,E,i}s'_{A_m,i} + \sqrt{\alpha_{2,i}P_{B_m,i}}h_{B_m,E,i}s'_{B_m,i} + n_{E,i}) \]

Assuming AN signals \( s'_{A_m,i} \) and \( s'_{B_m,i} \), which are fully known by the RS before being transmitted on some higher-layer cryptographic protocols, so \( \sqrt{\alpha_{1,i}P_{A_m,i}}h_{A_m,R,i}s_{A_m,i} \) and \( \sqrt{\alpha_{2,i}P_{B_m,i}}h_{B_m,R,i}s_{B_m,i} \) in (19) can be canceled (20) at the RS. As a result, only \( s_{A_m,i} \) and \( s_{B_m,i} \) are broadcasted on SC \( j \) in BC phase. Then, \( A_m \) and \( B_m \) can subtract \( s_{A_m} \) and subtract \( s_{B_m} \) to obtain desirable signal from the broadcast signal, respectively. However, eavesdropper suffers from large interference due to ANs are kept strictly confidential to the eavesdropper. After canceling \( s'_{A_m,i} \) and \( s'_{B_m,i} \), \( y'_{RS,i} \) which transmits the remaining signal be expressed as

\[ y'_{RS,i} = \sum_{m \in K} (\sqrt{(1-\alpha_{1,i})P_{A_m,i}}h_{A_m,R,i}s_{A_m,i} + \sqrt{(1-\alpha_{2,i})P_{B_m,i}}h_{B_m,R,i}s_{B_m,i} + n_{RS,i}) \]

the amplifying coefficient is denoted by \( \beta_i = |P_{R,j}/\gamma_i \) where \( \gamma_i \) can be regarded as a normalized factor for the forwarded signal and it is shown in (22) at the top of the next page. The transmit power of the RS on SC \( j \) is denoted by \( P_{R,j} \). Note that we can further simplify the received signal at the \( A_m \) by substituting \( \beta_i \) and \( y'_{RS,i} \) due to \( A_m \) can successfully cancel its previously transmitted \( s_{A_m,i} \) at its receiver. In the BC phase, the received signal at the \( A_m \) is given by

\[ y_{A_m,i,j} = \sum_{m \in K} (\sqrt{(1-\alpha_{1,i})P_{R,j}P_{A_m,i}}h_{A_m,R,i}s_{A_m,i}/\gamma_i + \sqrt{(1-\alpha_{2,i})P_{R,j}P_{B_m,i}}h_{B_m,R,i}s_{B_m,i}/\gamma_i + \sqrt{\alpha_{1,i}P_{A_m,i}}h_{A_m,R,i}s'_{A_m,i} + \sqrt{\alpha_{2,i}P_{B_m,i}}h_{B_m,R,i}s'_{B_m,i} + n_{A_m,j}) \]

Similarly, the received signal at the \( B_m \) is defined as

\[ y_{B_m,i,j} = \sum_{m \in K} (\sqrt{(1-\alpha_{1,i})P_{R,j}P_{A_m,i}}h_{A_m,R,i}s_{A_m,i}/\gamma_i + \sqrt{(1-\alpha_{2,i})P_{R,j}P_{B_m,i}}h_{B_m,R,i}s_{B_m,i}/\gamma_i + \sqrt{\alpha_{1,i}P_{A_m,i}}h_{A_m,R,i}s'_{A_m,i} + \sqrt{\alpha_{2,i}P_{B_m,i}}h_{B_m,R,i}s'_{B_m,i} + n_{B_m,j}) \]

For the eavesdropper, it receives a combined signal of \( s_{A_m,i} \) and \( s_{B_m,i} \) due to the transmission signals are unknown, which is expressed as

\[ y_E^{(2)} = \sum_{m \in K} (\sqrt{(1-\alpha_{1,i})P_{R,j}P_{A_m,i}}h_{A_m,E,i}s_{A_m,i}/\gamma_i + \sqrt{(1-\alpha_{2,i})P_{R,j}P_{B_m,i}}h_{B_m,E,i}s_{B_m,i}/\gamma_i + \sqrt{\alpha_{1,i}P_{A_m,i}}h_{A_m,E,i}s'_{A_m,i} + \sqrt{\alpha_{2,i}P_{B_m,i}}h_{B_m,E,i}s'_{B_m,i} + n_{E,j}) \]

Based on (20) and (27), the received signals at the eavesdropper during a transmit slot to the equivalent point-to-point 2-by-2 MIMO channel can be combined into

\[ y_E = H_E s + n_E \]

The equivalent channel matrix between the user pairs and the eavesdropper over the SC pair \((i,j)\) is defined as

\[ H_E = \begin{bmatrix} h_{1,1}A_{m} & h_{1,1}B_{m} \\ h_{2,1}A_{m} & h_{2,1}B_{m} \end{bmatrix} \]
\[ h'_{1,A_{m}} = \sqrt{(1-\alpha_{1,i})P_{R,j}P_{A_m,i}}h_{A_m,E,i} \]
\[ h'_{1,B_{m}} = \sqrt{(1-\alpha_{2,i})P_{R,j}P_{B_m,i}}h_{B_m,E,i} \]
\[ h'_{2,A_{m}} = \sqrt{(1-\alpha_{1,i})P_{R,j}P_{A_m,i}}h_{A_m,R,i}s_{A_m,i}/\gamma_i + \sqrt{\alpha_{1,i}P_{A_m,i}}h_{A_m,R,i}s'_{A_m,i} + n_{A_m,j}) \]
\[ h'_{2,B_{m}} = \sqrt{(1-\alpha_{2,i})P_{R,j}P_{B_m,i}}h_{B_m,R,i}s_{B_m,i}/\gamma_i + \sqrt{\alpha_{2,i}P_{B_m,i}}h_{B_m,R,i}s'_{B_m,i} + n_{B_m,j}) \]
\[ \gamma_i = \sqrt{\sum_{m \in \mathcal{K}} ((1 - \alpha_1) P_{A,m,i} |h_{A,m,R,i}|^2 + (1 - \alpha_2) P_{B,m,i} |h_{B,m,R,i}|^2) + \sigma^2}. \] (22)

\[ ET' = \sum_{m \in \mathcal{M}} (\alpha_{1,i} P_{A,m,i} |h_{A,m,R,i}|^2 + \alpha_{2,i} P_{B,m,i} |h_{B,m,R,i}|^2) - (\alpha_{1,i} P_{A,m,i} |h_{A,m,R,i}|^2 + \alpha_{2,i} P_{B,m,i} |h_{B,m,R,i}|^2) + \sigma^2 \]
\[ + \sum_{m \in \mathcal{M}} ((1 - \alpha_{1,i}) P_{A,m,i} |h_{A,m,R,i}|^2 + (1 - \alpha_{2,i}) P_{B,m,i} |h_{B,m,R,i}|^2) \]
\[ ER' = \sum_{m \in \mathcal{M}} (P_{R,j} |g_{R,E,j}|^2 P_{A,m,i} |h_{A,m,R,i}|^2 / \gamma_i^2 + P_{R,j} |g_{R,E,j}|^2 P_{B,m,i} |h_{B,m,R,i}|^2 / \gamma_i^2) \]
\[ - (P_{R,j} |g_{R,E,j}|^2 P_{A,m,i} |h_{A,m,R,i}|^2 / \gamma_i^2 + P_{R,j} |g_{R,E,j}|^2 P_{B,m,i} |h_{B,m,R,i}|^2 / \gamma_i^2) + (P_{R,j} |g_{R,E,j}|^2 / \gamma_i^2) \sigma^2. \] (23)

\[ I_{A,m} = \sum_{m \in \mathcal{K}} ((1 - \alpha_{1,i}) P_{R,j} P_{A,m,i} |g_{R,A,m,j}|^2 |h_{A,m,R,i}|^2 / \gamma_i^2 + (1 - \alpha_{2,i}) P_{R,j} P_{B,m,i} |g_{R,A,m,j}|^2 |h_{B,m,R,i}|^2 / \gamma_i^2) \]
\[ - ((1 - \alpha_{1,i}) P_{R,j} P_{A,m,i} |g_{R,A,m,j}|^2 |h_{A,m,R,i}|^2 / \gamma_i^2 + (1 - \alpha_{2,i}) P_{R,j} P_{B,m,i} |g_{R,A,m,j}|^2 |h_{B,m,R,i}|^2 / \gamma_i^2) \]
\[ I_{B,m} = \sum_{m \in \mathcal{K}} ((1 - \alpha_{1,i}) P_{R,j} P_{A,m,i} |g_{R,B,m,j}|^2 |h_{A,m,R,i}|^2 / \gamma_i^2 + (1 - \alpha_{2,i}) P_{R,j} P_{B,m,i} |g_{R,B,m,j}|^2 |h_{B,m,R,i}|^2 / \gamma_i^2) \]
\[ - ((1 - \alpha_{1,i}) P_{R,j} P_{A,m,i} |g_{R,B,m,j}|^2 |h_{A,m,R,i}|^2 / \gamma_i^2 + (1 - \alpha_{2,i}) P_{R,j} P_{B,m,i} |g_{R,B,m,j}|^2 |h_{B,m,R,i}|^2 / \gamma_i^2). \] (24)

In the MA phase, \( \bar{n}_{A_1,i} \) indicates that the equivalent received noise at the eavesdropper treating with the generated AN by the user pair as noise, which is denoted by
\[ n_{A,m,i} = \sum_{m \in \mathcal{K}} (h'_{1,A,m} + h'_{1,B,m}) + n_{E,i} - (h'_{1,A,m} + h'_{1,B,m}). \] (30)

In the BC phase, \( \bar{n}_2 \) denotes the amplified noise and the additive noise, which is given by
\[ n_{B_1,i} = \sum_{m \in \mathcal{K}} (h'_{2,A,m} + h'_{2,B,m}) - (h'_{2,A,m} + h'_{2,B,m}) + \sqrt{P_{R,j} |g_{R,E,j}|^2 n_{R,S,i}} / \gamma_i + n_{E,j}. \] (31)

For this equivalent noise the associated covariance matrix at the eavesdropper can be expressed as
\[ Q_E = E \left( (n_{A,m,i}, n_{B,m,i})^H (n_{A,m,i}, n_{B,m,i}) \right). \] (32)

where \( ET' \) and \( ER' \) are given by (23) at the top of this page. From (25) and (26), the SINRs of users \( A_m \) and \( B_m \), which share SC \( i \) in the MA phase and SC \( j \) in the BC phase, can be respectively denoted as
\[ SNR_{A,m,i} = \frac{(1 - \alpha_{2,i}) P_{R,j} P_{B,m,i} |g_{R,A,m,j}|^2 |h_{B,m,R,i}|^2 / \gamma_i^2}{I_{A,m} + (P_{R,j} |g_{R,A,m,j}|^2 / \gamma_i^2 + 1) \sigma^2} \] (33)
and
\[ SNR_{B,m,j} = \frac{(1 - \alpha_{1,i}) P_{R,j} P_{A,m,i} |g_{R,B,m,j}|^2 |h_{A,m,R,i}|^2 / \gamma_i^2}{I_{B,m} + (P_{R,j} |g_{R,B,m,j}|^2 / \gamma_i^2 + 1) \sigma^2} \] (34)

where \( I'_{A,m} \) and \( I'_{B,m} \) are given by (24) at the top of this page. The IMI rate for the user \( A_m \) and \( B_m \) are expressed as
\[ R_{A,m,i} = \frac{1}{2} B \log_2 (1 + SNR'_{A,m,i}) \] (35)

\[ R_{B,m,j} = \frac{1}{2} B \log_2 (1 + SNR'_{B,m,j}) \] (36)

respectively.

For the eavesdropper, due to (28) is equivalent to a 2-by-2 point-to-point MIMO system with transmission signals \( s = [s_{A_1,i} \ldots s_{A_{m,i}} s_{B_{1,i}} \ldots s_{B_{m,i}}]^T \), denoted by \( s \sim \mathcal{CN}(0, I) \). The maximum achievable received signal for the eavesdropper is defined as (27) Chap. 8
\[ R_{E,i,j} = \frac{1}{2} B \log_2 \det \left( I + H_E H_E^H Q_E^{-1} \right). \] (37)

Hence, the worst-case secrecy sum rate with CJ for the \( m \)th user pair over the SC pair \( (i,j) \) can be denoted by (28)
\[ R_{sec,m,i,j} = [R_{A,m,i} + R_{B,m,j} - R_{E,i,j}]. \] (38)

C. Problem Formulation

We introduce a \( N \times M \) SC matrix in which the binary element \( c_{m,i,j} \) denotes whether \( m \)th user pair is allocated to SC \( i \) in the MA phase and SC \( j \) in the BC phase. For energy efficient secure communication, our objective is to maximize the total secrecy sum rate of the system by setting the variables \( \{c_{m,i,j}, P_{m,j}\} \). The energy efficiency of the system is formulated as
\[ \eta_{E}(c_{m,i,j}, P_{m,j}) = \frac{R_{sec,m,i,j}(c_{m,i,j}, P_{m,j})}{P_s(c_{m,i,j}, P_{m,j})} \] (39)

where \( P_s(c_{m,i,j}, P_{m,j}) = P_r + P_f, P_f \) and \( P_r \) are transmission power and the circuit power consumption, respectively. Accordingly, the energy efficiency maximization problem is defined as
\[ \max_{c_{m,i,j}, P_{m,j}} \sum_{m \in \mathcal{M}} \sum_{i \in \mathcal{I}} \sum_{j \in \mathcal{J}} \eta_{E}(c_{m,i,j}, P_{m,j}) \] (40)
subject to \[ C1: \sum_{m \in M} c_{m,i,j} \leq H, \forall i \in \mathcal{N}, \forall j \in \mathcal{N}, \]
\[ C2: \sum_{i \in \mathcal{N}} \sum_{j \in \mathcal{N}} c_{m,i,j} \leq V, \forall m \in M, \]
\[ C3: c_{m,i,j} \in \{0,1\}, \forall m \in M, \forall i \in \mathcal{N}, \forall j \in \mathcal{N}, \]
\[ C4: R_{\text{sec},m,i,j}(c_{m,i,j}, p_{m,j}) \geq R_{\text{min}}, \]
\[ \forall m \in M, \forall i \in \mathcal{N}, \forall j \in \mathcal{N}, \]
\[ C5: \sum_{m \in M} \sum_{j \in \mathcal{N}} p_{m,j} \leq P_s, \forall m \in M, \forall j \in \mathcal{N}, \]
\[ C6: p_{m,j} \geq 0, \forall m \in M, \forall j \in \mathcal{N}. \]

Constraints (C1)-(C2) restrict that each SC pair can occupy at most \( H \) user pairs and each user pair can be assigned to at most \( V \) SC pairs, separately. Constraints C3 ensures user pair scheduling variables to be binary. Constraints C4 ensures the QoS for each user pair, which requests secure transmission power of the RS; The optimization problem is a non-convex optimization problem and an NP-hard problem.

The achievable secrecy energy efficiency affected by power allocation in the BC phase. The power allocation for user \( A_m \) and on \( SC_j \) denoted as

\[
P_{A_m,j} = \frac{p_m (G_{A_m,j})^{-\lambda}}{\sum_{m=1}^{M} (G_{m,j})^{-\lambda}}
\]

where \( \lambda \) is a decay factor. When \( \lambda = 0 \), it corresponds to equal power allocation among the allocated users. When \( \lambda \) increases, it reflects more power is allocated to the user pair with poorer CRNN.

If we use constraint \( \sum_{m \in M} p_{m,j} \leq P_s, \forall m \in M, \forall j \in \mathcal{N} \) to replace constraints C2 and C5, then the optimization problem is transformed into a closed-form optimal problem, which is easy to handle. Energy efficiency problem can be rewritten as

\[
\max_{c_{m,i,j}, p_{m,j}} \sum_{m \in M} \sum_{i \in \mathcal{N}} \sum_{j \in \mathcal{N}} \eta E(c_{m,i,j}, p_{m,j})
\]

subject to \[ C1: \sum_{m \in M} \sum_{j \in \mathcal{N}} p_{m,j} = P_s, \]
\[ C2: p_{m,j} \geq 0, \forall m \in M, \forall j \in \mathcal{N} \]
\[ C3: R_{\text{sec},m,i,j}(c_{m,i,j}, p_{m,j}) \geq R_{\text{min}}, \]
\[ \forall m \in M, \forall i \in \mathcal{N}, \forall j \in \mathcal{N}. \]

III. ENERGY EFFICIENT SUBCARRIER MATCHING SCHEME FOR NOMA

A. Subcarrier Matching Problem Formulation

We first introduce the concepts of matching game, preferred matched pair, preferred matching. Considering the set of user pairs and the set of SCs as two disjoint sets of players aiming to maximize their own energy efficiency, formally presented as.

Definition 1: (Two-sided Matching) Consider two disjoint sets, the user pairs \( M = \{1, \ldots, M\} \), the SCs \( N = \{1, \ldots, N\} \), a many-to-many mapping \( \Phi \), such that for every \( m \in M \) and \( SC_i \in N \).

1) \( \Phi(m) \subseteq N \) and \( \Phi(SC_i) \subseteq M \);
2) \( |\Phi(SC_i)| \leq H, |\Phi(m)| \leq V \);
3) \( SC_i \in \Phi(m), m \in \Phi(SC_i) \).

Condition 1) implies that each user pair is matched with a set of SC pairs and each SC pair is matched with a set of user pairs. Condition 2) states that each SC pair can occupy at most \( H \) user pairs, and each user pair can be assigned to at most \( V \) SC pairs. To better describe the operation process of each player, Condition 3) means user \( m \) and \( SC_i \) are matched with each other.

Definition 2: (Preferred Match Pair) Given any two subcarriers \( SC_i, SC_j \in N \), \( i \neq j \), any one user pair \( m \) and two matchings \( \Phi, \Phi' \), \( SC_i \in \Phi(m), SC_j \in \Phi(\phi(m)), m \in \Phi(SP) \) implies that user pair \( m \) prefers \( SC_i \) in \( \Phi \) to \( SC_j \) in \( \Phi' \). Similarly, given any two user pairs \( m, m' \in M \), \( m \neq m' \), and two matchings \( \Phi, \Phi' \), \( m = \Phi(SC_i), m' = \Phi'(SC_i) \), if \( E_m(\phi) > E_{m'}(\phi') \) implies that \( SC_i \) prefers the user pairs \( m \) to \( m' \).

Since many-to-many matching is hard to achieve stable matching, we introduce the notion of switch matching as below.

Definition 3: (Preferred Matching) Given a matching \( \Phi \) with \( SC_i \in \Phi(m), SC_j \in \Phi(n), and \ SC_i \notin \Phi(n), SC_j \notin \Phi(m), \) if \( E_i(m) > E_j(n) \), there exists \( \Phi_{n,j}^{m,i} \).

\[
SC_i \in \Phi_{n,j}^{m,i}(m), SC_j \in \Phi_{n,j}^{m,i}(n), \]
\[
SC_i \notin \Phi_{n,j}^{m,i}(n), SC_j \notin \Phi_{n,j}^{m,i}(m)
\]

\( \Phi_{n,j}^{m,i} \) is called a preferred matching. Two user pairs in the same subset exchange their matches in the opposite subset while other matches remain unchanged. Note that if a preferred matching is approved, then at least one player’s data rates will increase, and the achievable rates of any player involved will not decrease at the same time.

B. Subcarrier Assignment Algorithm For NOMA

We formulate two SC assignment algorithms (SCAS-1 and SCAS-2). In SCAS-1, we assume that a larger CRNN of the SC has a higher priority to select user pairs. The preferred matching phase in SCAS-2, the RS keeps searching for two user pairs to form a match pair, then executes the preferred matching and updates the current matching if satisfied conditions. The iterations stop until no user pairs can form a new match pair. The algorithms are described in detail in Table I and Table II as follow.

IV. ENERGY EFFICIENT POWER ALLOCATION SCHEME FOR NOMA

As mentioned in Section III, we investigate the SC assignment scheme in the two-way relay NOMA network. In order
Algorithm 1 Subcarrier Assignment Scheme (SCAS-1)
1: Based on the CSI of each SC, the RS allocates the transmission of power equally to each SC;
2: Initialize all of unmatched user pairs and unmatched SCs;
3: repeat
4: if $|\Phi(SC_i)| \leq H$ then
5: $SC_i$ selects its most preferred unmatched user pair by using CRNNs;
6: end if
7: if $|\Phi(SC_i)| = H$ then
8: Set the proportional factor of power for each user pair according to (42);
9: For any two SCs $SC_i$ and $SC_j$ select any two user pairs $m$ and $n$, respectively. $SC_i \in \Phi(m)$, $SC_j \in \Phi(n)$, $SC_i \notin \Phi(n)$, $SC_j \notin \Phi(m)$;
10: while $E_i(m) > E_j(n)$ do
11: Execute preferred matching $SC_i \in \Phi(n)$, $SC_j \in \Phi(m)$, $SC_i \notin \Phi(m)$, $SC_j \notin \Phi(n)$;
12: Update all user pairs’ energy efficiency;
13: end while
14: end if
15: until Convergence

Algorithm 2 Subcarrier Assignment Scheme (SCAS-2)
1: Based on the CSI of each SC, the RS allocates the transmission of power equally to each SC;
2: Initialize all of unmatched user pairs and unmatched SCs;
3: repeat
4: if $|\Phi(SC_i)| \leq H$ and $|\Phi(m)| \leq V$ then
5: All of the SCs and user pairs are matched with each other arbitrarily;
6: end if
7: if $|\Phi(SC_i)| = H$ then
8: Set $E_{sec, max} = E_{sec,total}(\Phi)$;
9: while $\ell < L_m$ do
10: Two user pairs $(m,n)$ and SCs $(SC_i, SC_j)$ are selected with $SC_i \in \Phi(m)$, $SC_j \in \Phi(n)$, $SC_i \notin \Phi(n)$, $SC_j \notin \Phi(m)$;
11: while $E_{sec,tot}(\Phi_{n,j}^{m,i}) > E_{sec, max}$ do
12: Execute preferred matching $\Phi_{n,j}^{m,i}$;
13: Set $E_{sec, max} = E_{sec,tot}(\Phi_{n,j}^{m,i})$;
14: $\ell = \ell + 1$;
15: end while
16: end while
17: end if
18: until Convergence

A. Energy Efficient Power Allocation Algorithm For NOMA
The objective function in (43) is non-convex. We introduce the parameter transformation to avoid high complexity of the solution. We assume that the proportion of the power is assigned on SC $i$ in the MA phase and on SC $j$ in the BC phase, denoted by $u_{i,j}$. We can formula the non-convex optimization problem as GP (31). Therefore, the energy efficiency maximization for power allocation problem can rewrite as

$$u_E = \max_{m,j} \frac{R_{sec,m,i,j}(c_{m,i,j},p_{m,j})}{P_s(c_{m,i,j})}$$ (47)

subject to

$$C1: \sum_{m \in M,j \in N} p_{m,j} = P_s,$$
$$C2: p_{m,j} \geq 0, \forall m \in M, j \in N$$
$$C3: R_{sec,m,i,j}(c_{m,i,j},p_{m,j}) \geq R_{min}, \forall m \in M, \forall i \in N, \forall j \in N$$
$$C4: \sum_{i \in N} u_{i,j} = 1.$$ (48)

In the following, an iterative resource allocation algorithm for power allocation can be proposed. Due to the objective function is linear and the constraints are convex, we can utilize interior point methods to solve the global-optimal problem (42) (43). The secrecy energy efficiency significantly improves for each iteration in algorithm 3.

Algorithm 3 A Novel Power Allocation Algorithm
1: Based on the CSI of each SC, the RS allocates the transmission of power equally to each SC;
2: Initialize the maximum tolerance $\varepsilon$ and the number of iterations $\ell$ and the maximum number of iterations $L_m$;
3: while $|R_{sec,m,i,j} - u_E P_s| > \varepsilon \text{ or } \ell \leq L_m$ do
4: Update $P$ by solving the formulated problem in (48) and (49) using the interior point methods;
5: $\ell = \ell + 1$;
6: end while

B. Stability, Convergence and Complexity

We give remarks on the stability, convergence and complexity for proposed SSPA schemes.

1) Stability
When no user pair $m \in M$ can find another user pair $n \in M$ to match with each other, we regard the proposed SSPA convergence as the best choice for current matching. There is any user pair cannot improve its utility by using to change its matches. Hence, the terminal matching $\Phi^*$ is two-sided exchange stable matching, which guarantees the proposed SSPA schemes to remain stable.

2) Convergence

to further improve the system energy efficiency, we design user pairs’ power allocation algorithm instead of equal power allocation. In this section, we introduce GP programming approach and discuss its effects of different power proportional factor on the energy efficiency of the system.
Convergence of SSPA algorithms depend on preferred matching and power allocation by using interior point methods.

1) After a number of match operations for one power allocation:

We assume that the preferred matching are \((Am, Bm)\) and \((Am, Bn)\) with \(\Phi_t = \Phi_{t-1}^{m,n}\). We ensure that the utility of \(SC_p\) and \(SC_q\) satisfies \(E_{SC_p}(\Phi_t) \geq E_{SC_q}(\Phi_{t-1})\) and \(E_{SC_q}(\Phi_t) \geq E_{SC_p}(\Phi_{t-1})\) after each preferred matching. Hence, the total secrecy energy efficiency increase after each match operation \(\ell\). There exists a preferred matching after which the total secrecy energy efficiency stops increasing for one time power allocation.

2) After a number of power allocation for the SSPA algorithms:

The total energy efficiency will increase after a number of iterations. Due to the limited spectrum resource the total secrecy energy efficiency has an upper limit. Hence, the total secrecy energy efficiency will stop increasing after a limited number of iterations. Therefore, the proposed SSPA algorithms for secure resource allocation is guaranteed to converge.

3) Complexity

The complexity of the proposed SSPA-1 algorithm is \(O(PSMHV(N - V)M^2)\). When \(|\Phi(SC_i)| \leq H\). The complexity mainly produces in the process of using the CRNN, which is \(O(M^2)\). For user pair \(m\), there exist \(V(N - V)\) possible combinations of \(SC_i\) and \(SC_j\) in \(\Phi_{n,j}^{m,i}\) need to be considered. For the \(SC_i\), the preferred matching \(\Phi_{n,j}^{m,i}\) with \(m\) has \(HV(N - V)\) possible combinations. There are \(\frac{1}{2}MHV(N - V)\) preferred matchings need to be considered for \(M\) user pairs in each iteration. The number of total match iterations is \(S\) and power allocation iterations is \(P\). The computational complexity of SSPA-1 can be presented by \(O(PSMHV(N - V)M^2)\). Similar analysis can be performed for the proposed SSPA-2 algorithm and the complexity of which is \(O(PSMHV(N - V)NH^2 + 1)\).

V. SIMULATION RESULTS AND DISCUSSION

In this section, we evaluate the performance of the proposed SSPA schemes with both SCAS-1 and SCAS-2 applied, and compare its performance with a random allocation scheme (RA-NOMA). We assume that two adjacent users are considered as a user pair, which selects the same SC. Each SC can be assigned to at most \(H = 3\) user pairs, and each user pair can occupy at most \(V = 4\) SCs. In the RA-NOMA scheme, the SCs is randomly allocated to the user pairs satisfying \(H \leq 3\) and \(V \leq 4\). For the simulations, the total of RSs peak power \(Ps\) is 46dBm, system bandwidth is 4.5MHz and the transmit power for each user is \(P_{Am} = 300mW, P_{Bm} = 300mW\) on the uplink. We assume that noise power spectral density is \(-150\ dBm/Hz\), circuit power consumption \(P_c = 1dB\) and eavesdropper is allocated at a distance of 500 m from the RS, if there is no special instructions. Pass loss functions can be obtained by hata urban propagation model. The coverage radius of the RS is \(r = 30\ m\) and user pairs are evenly distributed in a circle around the central RS. Considering the computational complexity, we assume that there are 10 SCs in the NOMA wireless network.

Fig. 2 shows the cumulative distribution function (C.D.F.) of the number of match operations for the SCAS-1 to convergence. To evaluate the performance of the proposed SCAS-1 scheme, we adopt power allocation scheme for each user. Note that the random variable \(Y\) is the total number of match numbers required for the SCAS-1. With the number of the user pairs increasing, the speed of convergence becomes slower. In addition, the proposed SCAS-1 converges within 2-10 match operations and further reflecting the relatively low computational complexity.

Fig. 3 shows secrecy energy efficiency performance vs. the number of match operations in the SCAS-2 scheme. When users become larger, the number of match operations become higher due to more user pairs have the opportunity to be serviced by the RS. From Fig. 3, we can see energy efficiency increases with the match operation number increasing within 40 match operations. The energy efficiency closes to a relatively stable level when match operation number over 40 match operations which implies the proposed SCAS-2 scheme also has a low complexity.

Fig. 4 illustrates the secrecy energy efficiency performance vs. the number of iterations for the proposed algorithm. We can see that the proposed SSPA algorithms based power
Fig. 4. Secrecy energy efficiency vs. number of iterations.

Fig. 6. Secrecy energy efficiency vs. Pc of each SC.

Fig. 5. Secrecy energy efficiency vs. $P_{Am}/\sigma^2$.

Fig. 7. Secrecy energy efficiency vs. $\sigma^2$.

Fig. 8 shows the secrecy energy efficiency performance vs. $\sigma^2$ for $N = 6$, $N = 8$, $N = 10$ in SSPA-1 with...
CJ. As observed in Fig. 8, the energy efficiency decreases sharply with the $\sigma^2$ increases. Obviously, when secrecy energy efficiency increases with the number of SCs $N$ grows, due to the more user pairs be allocated over SCs.

Fig. 9 shows the secrecy energy efficiency performance vs. $\sigma^2$ for different value of user pairs in SSPA-2 with CJ. Similar to Fig. 7 and Fig. 8 show that the secrecy energy efficiency in NOMA wireless network deceases when $\sigma^2$ increased. It can be observed from the figure, the more number of user pairs in the NOMA wireless network is, the better of the performance is obtained. The main reason is that, as the number of the total SC is fixed as N, with the increase of the number of user pairs, the more diversity gains over SCs.

VI. CONCLUSION

In this paper, we investigated the secure SC assignment and power allocation for the NOMA two-way relay wireless networks in the presence of an eavesdropper without and with CJ. The proposed SSPA algorithms with SCAS applied properly allocate resources to user pairs, and the performance of secrecy energy efficiency of the system can be significantly improved than the RA-NOMA scheme. Moreover, the SSPA-2 scheme thoroughly outperforms the SSPA-1 scheme.

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