Charge density waves in Weyl semimetals

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We present a theory of charge density wave (CDW) states in Weyl semimetals and their interplay with the chiral anomaly. In particular, we demonstrate a special nature of the shortest-period CDW state, which is obtained when the separation between the Weyl nodes equals exactly half a primitive reciprocal lattice vector. Its topological properties are shown to be distinct from all other Weyl CDW states. We make a connection between this observation and the three-dimensional fractional quantum Hall state, which was recently proposed to exist in magnetic Weyl semimetals.

I. INTRODUCTION

Our understanding of topological phases of matter relies on the concept of quantum anomalies. Anomalies were originally discovered in the particle physics context and have historically been described in terms of “violation of classical symmetry by quantum effects”. In the context of topological phases of condensed matter, the meaning of the term is somewhat more general: anomaly means a topological obstruction to a gapped symmetric nondegenerate ground state. The simplest example of this is the electron filling per unit cell of a crystal, odd versus even, which determines whether the material is a metal or an insulator (or an accidental semimetal). The corresponding anomaly is the chiral anomaly and its topological nature is behind the universality of the Luttinger volume in metals. More recently discussed examples are gapless surface states of topological insulators (TI) and gapless bulk states of Weyl and Dirac semimetals.

Of particular interest is the interplay of the anomalies with electron-electron interactions. Even though topological nature of the anomaly gives the corresponding gapless state some degree of immunity from the effect of the interactions (or disorder), interactions that are strong enough may defeat the anomaly-mediated gaplessness. Since the logical path from the anomaly to gaplessness relies on gauge invariance and quantization of the electron quantum numbers, one way the gaplessness may be avoided is via fractionalization of the quantum numbers and the formation of a gapped topologically ordered state. In the context of Weyl semimetals this has recently been explored in Refs. In particular in Refs. and we have shown that one may open a gap in a magnetic Weyl semimetal without breaking any symmetries and the resulting state is a three-dimensional (3D) generalization of the familiar 2D fractional quantum Hall effect (FQHE).

The 3D fractional quantum Hall (FQH) state in Weyl semimetals is interesting for a number of reasons. The standard 2D FQHE relies on the existence of dispersionless Landau levels. This dispersionless property does not easily generalize to 3D; if one, for example, makes a stack of coupled 2D FQH liquids, the Landau levels will inevitably acquire dispersion in the stacking direction, which will result in a metal at fractional filling factors, whose ultimate fate in the presence of interactions is not obvious. Our proposal relies on gapping band-touching points rather than fractionally filling flat Landau levels (or their generalization, bands with nontrivial Chern numbers) and in this sense is somewhat related to a recent proposal of a “fractional excitonic insulator” in 2D.

Landau levels aside, there is a deeper reason to be skeptical that FQHE can be generalized to 3D. The established theoretical picture of the 2D FQHE relies on the idea of transmutability of the exchange statistics and the existence of anyons, which is a strictly 2D phenomenon, only bosons and fermions are possible in 3D. We have demonstrated however, that FQHE is still possible in 3D, even though quasiparticle excitations are only bosons or fermions. Anyons, which are essential for FQHE, are hidden in this case, but are revealed as intersections of vortex loop excitations with crystalline topological defects, such as the extra half atomic plane of an edge dislocation.

Interactions may also affect gapless anomaly-mandated states in less exotic ways. In particular, gaplessness may be eliminated by symmetry breaking, such as the formation of a charge density wave (CDW), which breaks crystal translational symmetry and changes the size of the unit cell. Recent experiments have in fact identified (TaSe) as a likely Weyl CDW material. Our present work is motivated in part by these experiments (see Refs. for earlier theoretical work on CDW states in Weyl semimetals).

Weyl semimetal is a gapless topological phase protected by translational symmetry. A common starting point when modeling Weyl semimetals is a low-energy Hamiltonian with an even number, two in the simplest case, of independent linearly-dispersing species of Weyl fermions, with an equal number of right-handed (R) and left-handed (L) fermions. In this low-energy picture crystal translational symmetry acts as a continuous chiral symmetry, which is “violated” by the chiral anomaly. The formation of a CDW state breaks this continuous chiral symmetry. An important manifestation of the chiral anomaly is the appearance of gapless 1D chiral modes in the vortex core of the CDW order parameter, which was first pointed out in a different context by Callan and Harvey. These chiral modes, or “axion strings”, lead to
a topological term in the nonlinear sigma model (NLSM),
governing the fluctuations of the phase of the CDW order
parameter, which makes it impossible to obtain a gapped
symmetric state by disordering the phase.\footnote{35}\footnote{37}

This picture, however, is oversimplified, since the phys-
cical crystal translational symmetry, broken by the CDW,
is not continuous. In this paper we show that this does
in certain cases lead to significant qualitative differences
from the picture based on low-energy continuum models.
Particularly interesting turns out to be the case when the
separation between the Weyl nodes is half the primitive
lattice period, i.e. double the original lattice constant, CDW. The
order parameter is then purely real, with the phase taking
values from the interval $[0, \pi]$. This means that topo-
logical defects are not vortices but domain walls, which
qualitatively changes the nature of the defect-bound gap-
less states. We show that this provides a complementary
picture of the 3D FQHE, which exists in correlated Weyl
semimetals at precisely this value of the Weyl node separ-
ation.

The rest of the paper is organized as follows. In Sec-
ction II we discuss mean-field theory of the CDW states
in a simple lattice model of a magnetic Weyl semimetal
with a pair of nodes. We point out a qualitative difference
between the period-two CDW order parameter, obtained when
the Weyl nodes are separated by half the primitive
lattice periodicity, and CDW order parameters at all other
values of the node separation. In Section III we discuss the
consequences of this qualitative distinction for the nature of the gapless states on topological
defects of the CDW order parameter and the corresponding
topological term in the field theory, describing its phase
fluctuations. In Section IV we make a connection to our
earlier work on the 3D FQHE in Weyl semimetals and show how this state may be obtained by proliferating do-
main walls in the period-two CDW, rather than by cond-
ensing vortices in a Weyl superconductor, which was
the picture used in our earlier work.\footnote{26}\footnote{28}\footnote{31} We conclude in
Section V with a brief recap of the main results.

II. MEAN FIELD THEORY OF THE WEYL
CDW

We start from the simplest lattice model of a magnetic
Weyl semimetal with a pair of bands touching at two
Weyl nodes, located on the $z$-axis in momentum space at
$k_z = \pm Q$.\footnote{32}

\begin{equation}
H_0({\bf k}) = \sin(k_x)\sigma_x + \sin(k_y)\sigma_y + m({\bf k})\sigma_z.
\end{equation}

Here the Pauli matrices $\sigma_a$ act on the band index and
\begin{equation}
m({\bf k}) = \cos(k_z) - \cos(Q) - \tilde{m}[2 - \cos(k_x) - \cos(k_y)].
\end{equation}

Eq. (1) may be viewed as a 2D Dirac Hamiltonian with
a $k_z$-dependent mass.\footnote{33} The mass changes sign at $k_z = 
\pm Q$, which are the locations of the Weyl nodes.

Throughout this paper we will use units in which $\hbar =
c = e = a = 1$, where $a$ is the lattice constant of the simple cubic
lattice on which Eq. (1) is defined. We will also take the
hopping matrix element to be unity, i.e. measure energy
in units of the hopping amplitude.

We now add electron-electron interactions, which we
take to be of the simplest Hubbard type
\begin{equation}
H_{\text{int}} = U \sum_i \psi_i^\dagger \psi_i^\dagger \psi_i \psi_i^\dagger = -\frac{U}{2} \sum_i (\psi_i^\dagger \sigma_z \psi_i)^2 + \ldots,
\end{equation}

where $\ldots$ denote a contribution that may be subsumed
into the chemical potential term. We take the Fermi en-
ergy to be zero, which corresponds to an ideal stoichi-
ometric Weyl semimetal. Decoupling the interaction term
by Hubbard-Stratonovich transformation, we obtain the
imaginary time action
\begin{equation}
S = \int_0^\beta d\tau \left\{ \sum_{\bf k} \psi_{\bf k}^\dagger [\partial_\tau + H_0({\bf k})] \psi_{\bf k}
+ \sum_i \left( \Delta_i \psi_i^\dagger \sigma_z \psi_i + \frac{\Delta^2}{2} \right) \right\}.
\end{equation}

Here $\Delta_i$ is a fluctuating space and time-dependent CDW
order parameter (in addition to CDW, $\Delta_i$ also leads to a
spin density modulation, but we will call it CDW for brevity). We will take it to be of the form
\begin{equation}
\Delta_i = \Delta \cos(2Q \cdot {\bf r}_i + \varphi_i),
\end{equation}

where $\Delta$ is the amplitude, which we take to be constant
for simplicity, $Q = Q \hat{z}$, $\bf r_i$ are the Bravais lattice vec-
tors of the simple cubic lattice and $\varphi_i$ is a fluctuating
phase of the CDW order parameter, which represents
sliding motion of the CDW relative to the lattice. In
continuum, the phase fluctuations would represent a soft
Goldstone mode, arising from the breaking of the con-
tinuous translational symmetry of empty space. In the
presence of an underlying lattice the broken symmetry is
no longer continuous and the phase fluctuation mode is
gapped, although it is nearly gapless away from the val-
ues of $Q$, corresponding to highly commensurate short-
waveCDW. Note that a term of the type $\Delta_i \psi_i^\dagger \sigma_z \psi_i$ could also be present in Eq. (4). This could result from
longer-range density-density interactions and is allowed
by symmetries, but it does not open a gap, at least for small
values of $\Delta$, and we will not include it for this rea-
son.

Let us start from a mean-field theory of the CDW,
which corresponds to taking $\varphi_i = \varphi$ to be a constant.
The problem then reduces to diagonalizing the following
mean-field Hamiltonian
\begin{equation}
H = \sum_{\bf k} \psi_{\bf k}^\dagger H_0({\bf k}) \psi_{\bf k} + \frac{\Delta}{2} \sum_{\bf k} \left( \psi_{\bf k}^\dagger \sigma_z \psi_{\bf k} e^{i\varphi} + \text{h.c.} \right).
\end{equation}

For $2Q$ equal to any rational fraction of $2\pi$ this Hamiltonian is
diagonalized by folding into the reduced Brillouin zone (BZ),
with $k_z$ restricted to the interval $-Q \leq k_z \leq Q$. We
will use units in which $\hbar = c = e = a = 1$, where $a$ is the lattice constant of the simple cubic lattice on which Eq. (1) is defined. We will also take the hopping matrix element to be unity, i.e. measure energy in units of the hopping amplitude.

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where $\ldots$ denote a contribution that may be subsumed
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\begin{equation}
S = \int_0^\beta d\tau \left\{ \sum_{\bf k} \psi_{\bf k}^\dagger [\partial_\tau + H_0({\bf k})] \psi_{\bf k}
+ \sum_i \left( \Delta_i \psi_i^\dagger \sigma_z \psi_i + \frac{\Delta^2}{2} \right) \right\}.
\end{equation}

Here $\Delta_i$ is a fluctuating space and time-dependent CDW
order parameter (in addition to CDW, $\Delta_i$ also leads to a
spin density modulation, but we will call it CDW for brevity). We will take it to be of the form
\begin{equation}
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where $\Delta$ is the amplitude, which we take to be constant
for simplicity, $Q = Q \hat{z}$, $\bf r_i$ are the Bravais lattice vec-
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waveCDW. Note that a term of the type $\Delta_i \psi_i^\dagger \sigma_z \psi_i$ could also be present in Eq. (4). This could result from
longer-range density-density interactions and is allowed
by symmetries, but it does not open a gap, at least for small
values of $\Delta$, and we will not include it for this rea-
son.

Let us start from a mean-field theory of the CDW,
which corresponds to taking $\varphi_i = \varphi$ to be a constant.
The problem then reduces to diagonalizing the following
mean-field Hamiltonian
\begin{equation}
H = \sum_{\bf k} \psi_{\bf k}^\dagger H_0({\bf k}) \psi_{\bf k} + \frac{\Delta}{2} \sum_{\bf k} \left( \psi_{\bf k}^\dagger \sigma_z \psi_{\bf k} e^{i\varphi} + \text{h.c.} \right).
\end{equation}

For $2Q$ equal to any rational fraction of $2\pi$ this Hamiltonian is
diagonalized by folding into the reduced Brillouin zone (BZ),
with $k_z$ restricted to the interval $-Q \leq k_z \leq Q$. We
will use units in which $\hbar = c = e = a = 1$, where $a$ is the lattice constant of the simple cubic lattice on which Eq. (1) is defined. We will also take the hopping matrix element to be unity, i.e. measure energy in units of the hopping amplitude.
mass term is real. Let us first explicitly solve the simplest case with \(2Q = \pi\), i.e. exactly half the original BZ size, corresponding to the shortest-period CDW with a doubled unit cell.

In this case, Eq. (6) may be written as

\[
H = \frac{1}{2} \sum_{\mathbf{k}} \left[ \psi^\dagger_{\mathbf{k} + 2\mathbf{Q}} H_0(\mathbf{k} + 2\mathbf{Q}) \psi_{\mathbf{k} + 2\mathbf{Q}} + \psi^\dagger_{\mathbf{k}} H_0(\mathbf{k}) \psi_{\mathbf{k}} \right. + \Delta \left( \psi^\dagger_{\mathbf{k} + 2\mathbf{Q}} \sigma_z \psi_{\mathbf{k}} e^{i\varphi} + \psi^\dagger_{\mathbf{k} - 2\mathbf{Q}} \sigma_z \psi_{\mathbf{k}} e^{-i\varphi} \right) \right]. \tag{7}
\]

When \(2Q = \pi\), we have \(\mathbf{k} - 2\mathbf{Q} = \mathbf{k} + 2\mathbf{Q}\) mod 2\(\pi\), which gives

\[
H = \sum_{\mathbf{k}} \left[ \psi^\dagger_{\mathbf{k} + 2\mathbf{Q}} H_0(\mathbf{k} + 2\mathbf{Q}) \psi_{\mathbf{k} + 2\mathbf{Q}} + \psi^\dagger_{\mathbf{k}} H_0(\mathbf{k}) \psi_{\mathbf{k}} \right. + \Delta \cos(\varphi) \left( \psi^\dagger_{\mathbf{k} + 2\mathbf{Q}} \sigma_z \psi_{\mathbf{k}} + h.c. \right) \right], \tag{8}
\]

where \(\mathbf{k}\) is now restricted to the reduced BZ with \(-Q \leq k_z < Q\). Introducing a four-component spinor \(\tilde{\psi}_\mathbf{k} = (\psi_{\mathbf{k} + 2\mathbf{Q}}, \psi_{\mathbf{k}})\), the Hamiltonian may be rewritten as

\[
H = \sum_{\mathbf{k}} \tilde{\psi}^\dagger_{\mathbf{k}} \left[ \sin(k_z) \sigma_x + \sin(k_y) \sigma_y \right. \nonumber
- \bar{m}(2 - \cos(k_z) - \cos(k_y)) \sigma_z + \tau_z \sigma_z \cos(k_z) \nonumber
+ \Delta \cos(\varphi) \tau_x \sigma_z \right] \tilde{\psi}_{\mathbf{k}}, \tag{9}
\]

where \(\sigma_{x,y,z}\) act on the two extra components of the four-spinor \(\tilde{\psi}_\mathbf{k}\). Diagonalizing one obtains the band dispersion

\[
e_{rs}(\mathbf{k}) = s \sqrt{\sin^2(k_x) + \sin^2(k_y) + m^2_r(\mathbf{k})}, \tag{10}
\]

where \(r, s = \pm\) and

\[
m_r(\mathbf{k}) = -\bar{m} [2 - \cos(k_x) - \cos(k_y)] + r \sqrt{\cos^2(k_z) + \Delta^2 \cos^2(\varphi)}. \tag{11}
\]

Thus, even though Eq. (6) has the appearance of a 3D Dirac Hamiltonian, it is in fact not, as obvious from Eqs. (10) and (11): all bands are nondegenerate due to broken time-reversal (TR) symmetry. The band dispersion is fully gapped for all \(\varphi \neq \pm \pi/2\) and the gap is maximal when \(\varphi = 0, \pi\). Thus it is clear that \(\varphi = 0, \pi\) are the energetically preferred values of the phase of the CDW order parameter. The CDW ground state is twofold degenerate, with the two states related to each other by a half-translation with respect to the doubled primitive translation vector.

It is straightforward to generalize this result to Weyl node separation \(2Q\) which is an arbitrary rational fraction of the reciprocal lattice vector \(2\pi\). In this case there always exists an integer \(N\), such that \(\mathbf{k} + 2N\mathbf{Q} = \mathbf{k} \mod 2\pi\), and the mean-field Hamiltonian may be written as

\[
H = \sum_{\mathbf{k}} \sum_{n=0}^{N-1} \tilde{\psi}^\dagger_{\mathbf{k} + 2n\mathbf{Q}} H_0(\mathbf{k} + 2n\mathbf{Q}) \tilde{\psi}_{\mathbf{k} + 2n\mathbf{Q}} + \frac{\Delta}{2} \left( \psi^\dagger_{\mathbf{k} + 2n\mathbf{Q} + 2\mathbf{Q}} \sigma_z \psi_{\mathbf{k} + 2n\mathbf{Q}} e^{i\varphi} + h.c. \right), \tag{12}
\]

where \(-Q \leq k_z < Q\). Importantly since \(\mathbf{k} + 2N\mathbf{Q} = \mathbf{k} \mod 2\pi\), we have \(\mathbf{k} + (2N - 1)\mathbf{Q} + 2\mathbf{Q} = \mathbf{k} \mod 2\pi\). It follows that momentum \(\mathbf{k}\) is coupled not only to \(\mathbf{k} + 2\mathbf{Q}\), but also to \(\mathbf{k} + (2N - 1)\mathbf{Q}\) and the momentum-space Hamiltonian takes the following matrix form

\[
H(\mathbf{k}) = \begin{pmatrix}
H_0(\mathbf{k}) & \frac{\Delta}{2} e^{-i\varphi} \sigma_z & 0 & \cdots & \frac{\Delta}{2} e^{-i\varphi} \sigma_z \\
\frac{\Delta}{2} e^{i\varphi} \sigma_z & H_0(\mathbf{k} + 2\mathbf{Q}) & \frac{\Delta}{2} e^{-i\varphi} \sigma_z & \cdots & 0 \\
0 & \frac{\Delta}{2} e^{i\varphi} \sigma_z & H_0(\mathbf{k} + 4\mathbf{Q}) & \cdots & 0 \\
\vdots & \vdots & \ddots & \ddots & \vdots \\
\frac{\Delta}{2} e^{-i\varphi} \sigma_z & \cdots & \cdots & \frac{\Delta}{2} e^{i\varphi} \sigma_z & H_0(\mathbf{k} + 2(N - 1)\mathbf{Q})
\end{pmatrix}. \tag{13}
\]

III. CHIRAL ANOMALY AND ZERO-ENERGY BOUND STATES

Now let us go back to the \(2Q = \pi\) case and consider a domain wall between the two degenerate CDW states, corresponding to \(\varphi = 0, \pi\). To find an analytical solution for the domain wall bound state it is convenient to start from the following unitary transformation of the momentum-space Hamiltonian in Eq. (6)

\[
\tau_x \rightarrow \tau_x, \quad \tau_y \rightarrow \tau_z, \quad \tau_z \rightarrow \tau_y, \tag{14}
\]

followed by

\[
\tau_{x,y} \rightarrow \sigma_z \tau_{x,y}, \quad \sigma_{x,y} \rightarrow \tau_z \sigma_{x,y}. \tag{15}
\]
This brings the Hamiltonian to the form
\[
H(k) = \sin(k_x)\tau_z\sigma_x + \sin(k_y)\tau_z\sigma_y + \cos(k_z)\tau_y + \Delta \cos(\varphi)\tau_x - \tilde{m}[2 - \cos(k_x) - \cos(k_y)]\sigma_z. \tag{16}
\]

This looks like a 3D Dirac Hamiltonian with an extra TR-symmetry breaking term (the last one).

Now consider a domain wall, such that \(\varphi(z \to -\infty) = \pi\) and \(\varphi(z \to \infty) = 0\). Expanding \(H(k)\) to linear order around the Dirac point at \(k_z = \pi/2\), replacing \(k_z = -i\partial/\partial z\), one obtains the zero-energy Jackiw-Rebbi soliton solution
\[
\Psi(z) = e^{-\Delta f_0 dz \cos[\varphi(z)]}\tau_z = -1. \tag{17}
\]
It follows that, at a general \(k_{x,y}\) the domain wall bound state is described by the following massless 2D Dirac Hamiltonian
\[
H_{2D}(k) = -\sin(k_x)\sigma_x - \sin(k_y)\sigma_y - \tilde{m}[2 - \cos(k_x) - \cos(k_y)]\sigma_z. \tag{18}
\]
The sign of the first two terms flips if the phase changes in the opposite direction, i.e. from 0 to \(\pi\). Note that this does not change the Hall conductivity, associated with this 2D interface state, which is given by \(\sigma_{xy} = \text{sign}(\tilde{m})/4\pi\).

The appearance of this 2D Dirac domain wall bound state may also be understood from the viewpoint of the chiral anomaly. In the case of a noninteracting magnetic Weyl semimetal with a pair of nodes separated by a vector \(2Q\), chiral anomaly implies the following topological, thermal equilibrium contribution to the electromagnetic response:\[21\]
\[
\mathcal{L}_{\text{top}} = -\frac{i}{4\pi^2} \epsilon_{\mu\nu\lambda\rho} Q_\mu A_\nu \partial_\lambda A_\rho, \tag{19}
\]
where \(\mathcal{L}_{\text{top}}\) is the imaginary time Lagrangian density. When translational symmetry is spontaneously broken and a CDW gap is opened, it is usually assumed that this changes to:\[33\]
\[
\mathcal{L}_{\text{top}} = -\frac{i}{8\pi^2} \epsilon_{\mu\nu\lambda\rho} (2Q_\mu + \partial_\mu \varphi) A_\nu \partial_\lambda A_\rho, \tag{20}
\]
where \(\varphi\) is the phase of the CDW order parameter, introduced above. This result is most easily obtained from a low-energy model of a Weyl semimetal with a pair of nodes
\[
S = \int_0^\beta d\tau \int d^3r \left[ \psi_R^\dagger (\partial_\tau + i\nabla \cdot \sigma) \psi_R + \psi_L^\dagger (\partial_\tau + i\nabla \cdot \sigma) \psi_L + \frac{\Delta}{2} (\psi_R^\dagger \psi_L e^{i\varphi} + \text{h.c.}) \right]. \tag{21}
\]
After a gauge transformation \(\psi_R \to \psi_R e^{i\varphi/2}\) and \(\psi_L \to \psi_L e^{-i\varphi/2}\), this becomes
\[
S = \int_0^\beta d\tau \int d^3r \left[ \psi_R^\dagger \left( \partial_\tau + \frac{i}{2} \partial_\varphi - i\nabla \cdot \sigma + \frac{1}{2} \nabla \varphi \cdot \sigma \right) \psi_R + \psi_L^\dagger \left( \partial_\tau - \frac{i}{2} \partial_\varphi + i\nabla \cdot \sigma + \frac{1}{2} \nabla \varphi \cdot \sigma \right) \psi_L \right], \tag{22}
\]
from which Eq. (20) follows since the first two terms in [22] describe a Weyl semimetal with a pair of nodes, separated by the vector \(\nabla \varphi\) in momentum space.

This logic is correct, except when \(2Q = \pi\). In this case the mass term, coupling the left- and right-handed Weyl fermions, is real, unlike in Eq. (21). Its phase
\[
\theta = \frac{\pi}{2} \left[ 1 - \text{sign}(\cos(\varphi)) \right], \tag{23}
\]
can thus only take two values, 0 and \(\pi\). Its contribution to the Lagrangian then takes the form, which is identical to a 3D TR-invariant TI
\[
\mathcal{L}_{\text{top}} = -\frac{i\theta}{8\pi^2} \epsilon_{\mu\nu\lambda\rho} \partial_\mu A_\nu \partial_\lambda A_\rho. \tag{24}
\]
This may be obtained, for example, using Fujikawa’s method [22] while applying a sequence of infinitesimal chiral transformations to the Dirac Hamiltonian Eq. (16) to change the sign of the mass term. [21] This similarity to the 3D TI makes it tempting to identify a gapped Weyl semimetal at \(2Q = \pi\) and \(\theta = \pi\) with an axion insulator [33,44,45,46] in which TR is broken but there is a quantized magnetoelectric response due to the still well-defined and quantized \(\theta\). However, such an identification would not really be correct. In the case of a true axion insulator, \(\theta = \pi\) and \(\theta = 0\) correspond to two topologically-distinct states, i.e. an axion insulator and an ordinary TR-broken insulator. They are distinguished by a quantized magnetoelectric response [44,46] as well as presence or absence of chiral hinge states [47]. In contrast, the \(\theta = 0, \pi\) states of a magnetic Weyl semimetal, gapped by a period-two CDW, are related to each other by a crystal symmetry operation, i.e. a half-CDW-period translation, and already for this reason cannot be topologically distinct. This observation is in agreement with Ref. [32] which has also recently explored manifestations of the lattice-scale physics in the CDW states in Weyl semimetals.

What about the massless 2D Dirac bound state that...
one obtains at a domain wall between the $\theta = 0$ and $\theta = \pi$ CDW insulators? Recall that a 2D lattice-
regularized Dirac fermion of Eq. (18) corresponds to a critical point between 2D insulators with $\sigma_{xy} = 0$ and $\sigma_{xy} = 1/\pi$ and thus produces a half-quantized Hall conductivity $\sigma_{xy} = \text{sign}(\tilde{m})/4\pi = 1/4\pi$. Such a half-
quantized Hall conductivity per atomic plane is identical to the Hall conductivity of a Weyl semimetal with $2Q = \pi$, $\sigma_{xy} = 2Q/4\pi^2 = 1/4\pi$, which is preserved when the CDW gap is opened. This implies that a Weyl semimetal with $2Q = \pi$ may be viewed as a stack of 2D atomic layers with $\sigma_{xy} = 1/4\pi$, coupled in such a way that the Hall conductivity per layer is preserved. Indeed, as discussed above, Weyl semimetal Hamiltonian Eq. (1) has the form of a 2D Dirac Hamiltonian with a $k_z$-dependent mass. Even though the mass is nonzero ev-
everywhere except at the locations of the Weyl points, the contribution of low-energy states near the $k_x = k_y = 0$ axis to the total 3D Hall conductivity is zero, since the contribution of the interval $-Q \leq k_x < Q$ is exactly can-
celled by the interval $|k_x| > Q$. This means that only high-energy states contribute to the Hall conductivity, giving $\sigma_{xy} = \text{sign}(\tilde{m})/4\pi$ per each value of $k_z$. Since the CDW states, corresponding to $\theta = 0, \pi$ are related by a half-period translation, a domain wall be-
tween them leaves one “unpaired” atomic plane, carrying $\sigma_{xy} = 1/4\pi$ and thus a massless 2D Dirac fermion.

The existence of this massless Dirac fermion state also follows from Eq. (24), which, when evaluated in a sam-
ple with a domain wall between the two CDW states, corresponding to $\theta = 0, \pi$, gives

$$\mathcal{L}_{\text{top}} = -\frac{i}{8\pi} \epsilon_{\mu\nu\lambda\rho} A_\mu \partial_\nu A_\lambda,$$  

(25)

which is precisely the response of a massless 2D Dirac fermion, corresponding to $\sigma_{xy} = 1/4\pi$. Note that the sign of $\sigma_{xy}$ is undefined in this formulation due to the $2\pi$ ambiguity of the definition of $\theta$.

It is instructive to contrast these states with the superfi-
cially-similar surface states of a 3D TR-invariant TI. In this case the momentum-space Hamiltonian Eq. (16) is replaced by

$$H(k) = \sin(k_x)\tau_x \sigma_x + \sin(k_y)\tau_y \sigma_y - k_z \tau_y + m(k) \tau_x,$$  

(26)

where

$$m(k) = \Delta - \tilde{m} [2 \cos(k_x) - \cos(k_y)].$$  

(27)

Taking the gap parameter $\Delta$ to be a function of $z$, such that $\Delta(z \to -\infty) < 0$ and $\Delta(z \to \infty) > 0$, one obtains, at $k_{x,y} = 0$ a zero-energy bound state solution that is identical to Eq. (17)

$$\Psi(z) = e^{-\int_0^z dz' m(0,0,z')} |\tau_z = -1\rangle.$$  

(28)

Unlike Eq. (17), however, this solution continues to ex-
st only as long as the Dirac mass $m(k_x, k_y, z)$ actually changes sign as a function of $z$, which only happens at small enough $k_{x,y}$. The surface state then exists only in the vicinity of $k_x = k_y = 0$ and is not associated with a nonzero Hall conductivity, as long as it is gapless.

IV. GAPPED STRONGLY CORRELATED WEYL SEMIMETAL FROM DISORDERED CDW

CDW states, described above, provide an interesting complementary prospective on the question of opening a gap in a Weyl semimetal without breaking the pro-
tecting symmetries. We explored this question before using the “vortex condensation” method where one starts from a gapped superconducting state, induced in a Weyl semimetal, and asks if it is possible to destroy the superconducting coherence while keeping the gap. If successful, this procedure produces an insulator, which nevertheless preserves topological response of the under-
lying Weyl semimetal.

Similar approach may be applied to the gapped CDW states. In this case one imagines keeping the CDW gap $\Delta$ intact, while disordering the phase $\phi$. As in the case of the phase-disordered superconductor, topological defects play a crucial role here. In particular, as discussed in Section III, when $2Q \neq \pi$, the NLSM, which describes phase fluctuations of the CDW order parameter

$$\mathcal{L} = \frac{1}{2g}(\partial_\mu \phi)^2 + \mathcal{L}_{\text{top}},$$  

(29)

where $1/g \sim \Delta^2 \ln(\Lambda/\Delta)$ and $\Lambda \gg \Delta$ is of the order of the total bandwidth, contains a topological term

$$\mathcal{L}_{\text{top}} = -\frac{i}{8\pi^2} \epsilon_{\mu\nu\lambda\rho} \partial_\mu \phi A_\nu \partial_\lambda A_\rho.$$  

(30)

As first shown by Callan and Harvey, this term neces-
sarily leads to the appearance of 1D chiral modes in the core of the vortex loops of the phase $\phi$. The chirality and number of the 1D modes reflects the vorticity and the modes cross zero energy at the values of momenta, corresponding to the locations of the Weyl nodes, as may be seen by an explicit solution of the corresponding Dirac equation in the presence of a vortex. These 1D chi-
ral modes in the vortex cores necessarily lead to a gapless state once the translational symmetry is restored. This is because the only way to eliminate the gapless chiral modes is to hybridize them in pairs of opposite chirality, which is impossible without breaking translational symmetry since they exist at different momenta ($\pm Q\overline{z}$). Note that the phase anisotropy, that arises due to lattice commensuration effects, as discussed in Section III, does not change this picture.

This is correct at all values of the Weyl node separa-
tion, except when $2Q = \pi$. As discussed above, in this case the mass term, induced by the CDW order param-
ter, is purely real and, as a consequence, topological defects are 2D domain walls instead of 1D vortex loops. The corresponding topological term is given by Eq. (24), which is very different from Eq. (30). In the language of the anomalies, Eq. (30) expresses the perturbative [in the sense that $|\partial_\mu \phi|$ may be arbitrarily small and thus Eq. (30) may be obtained from a perturbative gradient expansion of the imaginary time action] chiral anomaly
of gapless Weyl fermions. The anomaly of Eq. (24) is instead nonperturbative, or global, and is closely related to the 2D parity anomaly [Eq. (25), which follows from Eq. (24), is in fact a direct manifestation of the 2D parity anomaly] since each domain wall binds a 2D massless Dirac fermion, the question of gapping the Weyl semimetal without breaking translational symmetry reduces in this case to the question of gapping a 2D Dirac fermion, while preserving its half-integer Hall conductivity \( \sigma_{xy} = 1/4\pi \). While a closely-related question of gapping the 2D Dirac surface states of the 3D TR-invariant TI has been discussed before, we will nevertheless go through the procedure in detail. The procedure we use here has not been described in the literature explicitly, although it is implicit in, for example, the approach of Ref. [50]. This will also facilitate the connection to our own earlier work [22,23].

Let us start from the 2D Dirac Hamiltonian of Eq. (18), which describes the gapless bound state on a CDW domain wall at \( 2Q = \pi \). In real space this becomes

\[
H = \sum_{r} \left[ \frac{i}{2} \psi^\dagger (\sigma_i - i\hbar n_{\sigma}) \psi \right] + \psi^\dagger \psi + h.c.
\]

where \( \psi \) and \( \psi^\dagger \) annihilate and create, respectively, a spinless boson (chargon), which describes the charge of the electron, while \( f_r \) is a neutral spinon, carrying the spin. The phase \( \theta_r \) is conjugate to the chargon number \( n_{\sigma r} \), which satisfies the constraint \( \sum_r f_r^\dagger f_r = n_r \). The imaginary-time Lagrangian density (the action is \( S = \int d\tau \sum_r L_r \)) then takes the following form

\[
L_f = f_r^\dagger (\partial_\tau - i a_{\mu_0}) f_r - 2\hbar n_{\sigma r} f_r^\dagger f_r + \frac{i}{2} f_r^\dagger (\sigma_i - i\hbar n_{\sigma}) f_r + e^{-ia_{\mu r}} f_r^\dagger f_r + h.c.,
\]

and

\[
L_b = i n_{\sigma r} (\partial_\tau \theta_r + A_{\mu_0} + a_{\mu r}) - \chi \cos(\Delta_{\mu} \theta_r + A_{\mu r} + a_{\mu r}).
\]

Here the total Lagrangian \( L = L_f + L_b \) and \( a_{\mu r} \) is a statistical gauge field, which couples chargons and spinons. Eqs. (33), (34) are obtained by a Hubbard-Stratonovich decoupling of the chargon and spinon interactions in the original electron imaginary time action with \( a_{\mu r} \) emerging as the phase of the Hubbard-Stratonovich field, while an approximately constant \( \chi \) is its magnitude.

Transforming the cosine by the Villain transformation, we obtain

\[
L_b = i J_{\mu r} (\Delta_{\mu} \theta_r + A_{\mu r} + a_{\mu r}) + \frac{1}{2\chi} J_{\mu r}^2,
\]

where \( \mu = 0, x, y, J_{\mu_0} \equiv n_r \) and we have included a term \( n_r^2/2\chi \), arising from the electron-electron interactions, which have been implicit up to this point. The coefficient of the interaction term was taken to be \( 1/2\chi \) for brevity, its specific value does not matter. The new variables \( J_{\mu r} \) are integers, defined on the links \( (r\mu) \) of the lattice and represent chargon-space-time currents. Integrating out the phases \( \theta_r \), one obtains the conservation law for the chargon currents

\[
\Delta_{\mu} J_{\mu r} = 0,
\]

which may be solved as

\[
J_{\mu} = \frac{1}{2\pi} e_{\mu \lambda} \Delta_{\nu} b_{\nu},
\]

where we will drop the \( r \) indices henceforth and \( b_{\nu} \) are \( 2\pi Z \) valued variables, defined on the links of the dual lattice. It is convenient to soften the \( 2\pi Z \) constraint by introducing a vortex kinetic energy term, which brings the Lagrangian to the form

\[
L_b = \frac{i}{2\pi} (A_{\mu} + a_{\mu}) e_{\mu \lambda} \Delta_{\nu} b_{\nu} + \frac{1}{8\pi^2 \chi} (e_{\mu \lambda} b_{\nu})^2 - \tau \cos(\Delta_{\mu} \phi + b_{\mu}),
\]

where \( e^{i\phi} \) is an annihilation operator for a vortex carrying flux \( 2\pi \) (i.e., \( hc/e \) in ordinary units).

Let us now shift our attention to the spinons. We assume that the spinons are paired by the usual BCS singlet s-wave pairing term, which opens a gap. Ignoring the statistical gauge field for a moment, this is described by the following momentum-space Hamiltonian

\[
H = -\sum_{k} f_{k}^\dagger \left[ \sigma_x \sin(k_x) + \sigma_y \sin(k_y) + \sigma_z m(k) \right] f_k + \Delta \sum_{k} f_{k}^\dagger f_{-k} + f_{-k}^\dagger f_{k}.
\]

Introducing a Nambu spinor \( \tilde{f}_k \), the Hamiltonian reduces to a block-diagonal form

\[
H = -\sum_{k} \tilde{f}_k \left[ \sigma_x \sin(k_x) + \sigma_y \sin(k_y) \right] \tilde{f}_k + \Delta \tilde{f}_k \tilde{f}_{-k} + \tilde{f}_{-k} \tilde{f}_k.
\]

This describes a topological \( p + ip \) superconductor with a chiral Majorana edge mode and a zero-energy Majorana bound state in the \( hc/2e = \pi \) vortex core. Coupling the paired spinons to the statistical gauge field \( a_{\mu} \) produces a Meissner term for \( a_{\mu} \), which has the form \( -\cos(2a_{\mu}) \) since a spinon pair carries charge 2 of the statistical gauge field. This makes \( a_{\mu} \) a \( Z_2 \) gauge field. Its nontrivial excitations (visons) carry flux \( \pi \), which implies that a single vison always induces a Majorana zero-energy bound state.

Now let us return to the dualized chargon Lagrangian Eq. (38), and analyze possible gapped insulator phases of our system, which may be obtained within this formalism. The simplest one is obtained when we condense flux \( 2\pi \) vortices, annihilated by \( e^{i\phi} \). This produces a Higgs
mass term for the gauge field $b_\mu$, which gaps all charged excitations. The spinons are also gapped by pairing, but the visons may in principle be either gapped or condensed. If it was possible to condense vortices, this would result in an ordinary band insulator, since the fluctuating $\pi$-flux would bind the spinons and chargons into electrons. Vison condensation is impossible, however, since a $\pi$-flux vortex has a zero-energy Majorana bound state in its core, as discussed above. This is a manifestation of the nontrivial topology of the massless Dirac fermion (parity anomaly), which survives in the strongly-correlated state as parity anomaly of the spinon band structure. The state with a gapped vison has $\mathbb{Z}_2$ topological order, and is a Kitaev spin liquid.\textsuperscript{[62]} It has a half-quantized thermal Hall conductivity

$$\kappa_{xy} = \frac{LT}{4\pi},$$

where $L = \pi^2k_B^2/3$ is the Lorenz number, but zero electrical Hall conductivity.

To obtain an insulator with the same topological response as a massless Dirac fermion, we need a state with a half-quantized thermal and electrical Hall conductivity. This can not be obtained by putting chargons in the $\nu = 1/2$ FQH liquid (the resulting state is the Moore-Read Pfaffian\textsuperscript{[53]} since the thermal Hall conductivity of this state is $\kappa_{xy} = 3LT/4\pi$, the extra quantum coming from the chiral boson edge mode of the $\nu = 1/2$ Laughlin liquid. The correct state is obtained instead by assuming double, i.e. flux 4$\pi$, vortices, form the $\nu = 1/2$ liquid. As can be seen by a direct inspection of the equations below, putting flux 2$\pi$ vortices in any quantum Hall state may only produce a state with an integer Hall conductivity. In Ref.\textsuperscript{[50]} the incompatibility of the flux 2$\pi$ vortices with the half-quantized electrical Hall conductivity was instead related to the fact that such vortices have semionic exchange statistics when the Hall conductivity is half-integer. The two viewpoints are of course equivalent.

To describe the state with 4$\pi$ vortices forming the $\nu = 1/2$ Laughlin liquid we first replace the single-vortex kinetic energy term by a double-vortex one $-t\cos(2\Delta_\mu\phi + 2\Delta_\mu)$ and then apply the Villain transform

$$L_b = \frac{i}{2\pi}(A_\mu + a_\mu + 2\delta_\mu)\epsilon_{\mu}\Delta_\mu b_\lambda + \frac{1}{8\pi^2}(\epsilon_{\mu}\Delta_\mu b_\lambda)^2 + 2i\delta_\mu(\Delta_\mu\phi + b_\mu) + \frac{1}{2\pi}J_\mu^2,$$

where $\delta_\mu$ are integer-valued vortex currents. Integrating out $\phi$, we obtain the vorticity conservation law

$$\Delta_\mu J_\mu = 0,$$

which may be solved as

$$J_\mu = \frac{1}{2\pi}\epsilon_{\mu}\Delta_\mu b_\lambda,$$

where $b_\mu$ is a 2$\pi\mathbb{Z}$-valued gauge field. Note that 2$\pi$ flux of $b_\mu$ corresponds to a 4$\pi$ vortex. Then we place the double vortices in the $\nu = 1/2$ Laughlin state. Taking the continuum limit, this leads to the following Lagrangian

$$L_b = \frac{i}{2\pi}(A_\mu + a_\mu + 2\delta_\mu)\epsilon_{\mu}\Delta_\mu b_\lambda - \frac{2i}{4\pi}\epsilon_{\mu}\Delta_\mu \partial_\nu b_\lambda,$$

where we have ignored Maxwell terms for $b_\mu$ and $\delta_\mu$, which are not essential here.

To understand the physics of the state we have obtained, let us ignore the coupling to spinons for a moment and make a variable change $b_\mu \rightarrow (b_\mu + \delta_\mu)/2$. Then we obtain

$$L_b = \frac{i}{4\pi}\epsilon_{\mu}\Delta_\mu (b_\mu \partial_\nu b_\lambda + \delta_\mu \partial_\nu b_\lambda) + \frac{i}{4\pi}A_\mu\epsilon_{\mu}\Delta_\mu (b_\lambda + \delta_\lambda).$$

This describes an integer quantum Hall state of two-component charge-1/2 bosons.\textsuperscript{[64]}\textsuperscript{[65]} Making another variable change

$$b_\mu = c_\mu + \tilde{c}_\mu, \quad \delta_\mu = c_\mu - \tilde{c}_\mu,$$

we obtain

$$L_b = \frac{2i}{4\pi}\epsilon_{\mu}\Delta_\mu(c_\mu \partial_\nu c_\lambda - \tilde{c}_\mu \partial_\nu \tilde{c}_\lambda) + \frac{i}{2\pi}A_\mu\epsilon_{\mu}\Delta_\mu \partial_\nu c_\lambda.$$

By a standard argument\textsuperscript{[56]} this leads to a pair of opposite-chirality edge modes: one charged, which upon integrating out the gauge field $c_\mu$ gives the half-quantized Hall conductivity $\sigma_{xy} = 1/4\pi$, and one neutral, which cancels the contribution of the charged mode to thermal Hall conductivity. This state thus has a half-quantized electrical and zero thermal Hall conductivity.

Now let us go back to Eq.\textsuperscript{[45]} and add the spinon contribution. The total Lagrangian is given by

$$L = L_f(-a_\mu) + \frac{i}{2\pi}(A_\mu + a_\mu + 2\delta_\mu)\epsilon_{\mu}\Delta_\mu b_\lambda - \frac{2i}{4\pi}\epsilon_{\mu}\Delta_\mu \partial_\nu b_\lambda.$$

Integrating out $b_\mu$ one obtains at low energies

$$\tilde{b}_\mu = -\frac{A_\mu + a_\mu}{2}.$$

Since $a_\mu$ is made a $\mathbb{Z}_2$ gauge field by spinon pairing, Eq.\textsuperscript{[50]} tells us that $\tilde{b}_\mu$ is a $\mathbb{Z}_4$ gauge field, which corresponds to fractionalization of the electron as

$$\psi = b_1b_2f,$$

where $b_{1,2}$ are the charge-1/2 bosons of Eq.\textsuperscript{[46]} and $f$ is the neutral spinon. Plugging this back into the Lagrangian, we obtain

$$L = L_f(-a_\mu) - \frac{i}{8\pi}\epsilon_{\mu}\Delta_\mu A_\nu A_\lambda - \frac{i}{4\pi}\epsilon_{\mu}\Delta_\mu A_\nu a_\lambda - \frac{i}{8\pi}\epsilon_{\mu}\Delta_\mu A_\nu a_\lambda.$$

This tells us that a vison, in addition to carrying a Majorana zero mode, has charge-1/4. A 2$\pi$ vortex, which
is also a gapped excitation, carries a charge-1/2 and is a semion. The state we have obtained is thus a nonabelian FQH state, which has $\sigma_{xy} = 1/4\pi$ and $\kappa_{xy} = LT/4\pi$, i.e. an identical topological response (parity anomaly) to a massless free Dirac fermion.

Stacking domain wall 2D Dirac fermion states of Eq. (18), and allowing electron tunneling between them, clearly results in a gapless Weyl semimetal state, described by Eq. (16) with $\Delta = 0$, see Fig. 1. This expresses the fact that it is impossible to disorder the 2D Dirac fermion states, described in detail in Refs. 22 and 23. The Chern-Simons term in the gauge field $b_\mu$ may be viewed as a 3D FQH liquid, and which was described before in the context of gapped surface states of 3D TI. Stacking such 2D Pfaffian-antisemion liquids corresponds to a mean-field description of the 3D FQH liquid state of Refs. 22 and 23.

In contrast, at all other values of the Weyl node separation, the topological defects of the CDW order parameter are vortices, which carry 1D chiral modes in their core. The chirality of the mode is determined by the sign of the vorticity and the modes cross zero energy at the center of the corresponding (right- or left-handed) Weyl node. Such chiral modes can not be gapped, except by hybridizing modes of opposite chirality, which necessarily breaks translational symmetry since modes of opposite chirality exist at different momenta. Thus Weyl semimetals at a general value of the Weyl node separation, not equal to half the primitive reciprocal lattice vector, may not be gapped without breaking the crystal translational symmetry.

It is interesting to note that the special nature of the 2Q = \pi Weyl semimetal is somewhat analogous to that of the half-filled interacting electron liquid in 1D. In this case the presence of Umklapp terms at half filling leads, with strong enough interactions, to an instability of the gapless Luttinger liquid and the formation of a commensurate period-two CDW. This analogy is not surprising, given that a Weyl semimetal with 2Q = \pi, placed in an external magnetic field, maps via the formation of the

V. CONCLUSIONS

In this paper we have discussed some aspects of the physics of CDW states in magnetic Weyl semimetals, focusing on the quantum anomalies. Our main result is the qualitative difference that exists between the period-two CDW, which arises when Weyl nodes, separated by half the primitive reciprocal lattice vector, are gapped, and all other CDW states. Due to the CDW order parameter being purely real in this case, topological defects are domain walls, separating states with opposite sign of the order parameter. In contrast, at all other values of the Weyl node separation, the CDW order parameter is complex and topological defects are vortex loops (“axion strings”). This distinction has important implications for strong correlation phenomena in Weyl semimetals, in particular the question of gapping out Weyl nodes without breaking translational symmetry. We demonstrated before, using the “vortex condensation” approach, that it is indeed possible to gap out Weyl nodes, separated by half the primitive reciprocal lattice vector, without breaking the translational symmetry, protecting the gapless nodes. The resulting state turns out to be a 3D generalization of the FQHE. Here we have shown how to describe the same state from a different viewpoint, that of a disordered CDW. Domain walls of the period-two CDW carry massless Dirac fermion bound states, which may be gapped without altering their half-quantized Hall conductivity. The state one obtains is a nonabelian even-denominator FQH liquid, namely the TR-broken version of the Pfaffian-antisemion state, discussed before in the context of gapped surface states of 3D TI. Stacking such 2D Pfaffian-antisemion liquids corresponds to a mean-field description of the 3D FQH liquid state of Refs. 22 and 23.

FIG. 1. (Color online) Weyl semimetal with 2Q = \pi obtained by coupling massless 2D Dirac domain wall states.
lowest Landau level, connecting the nodes, precisely onto a 1D metal at half filling.\textsuperscript{67}

The description of the 3D FQH liquid in terms of a disordered CDW, proposed in this paper, makes it appear somewhat less exotic and more accessible, compared to the description based on a phase-incoherent superconductor. While this is of course mostly an illusion, since the two descriptions are equivalent, the recent experimental evidence for Weyl CDW in (TaSe$_4$_)$_2$\textsuperscript{127} gives one some hope that the 3D FQHE may be realized experimentally in the future. A key advance needed here is a magnetic Weyl semimetal material with a pair of Weyl nodes, in which the node separation is tunable by changing the magnetization.

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