Avian Influenza Virus (H11N9) in Migratory Shorebirds Wintering in the Amazon Region, Brazil

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Abstract

Aquatic birds are the natural reservoir for avian influenza viruses (AIV). Habitats in Brazil provide stopover and wintering sites for water birds that migrate between North and South America. The current study was conducted to elucidate the possibility of the transport of influenza A viruses by birds that migrate annually between the Northern and Southern Hemispheres. In total, 556 orotracheal/cloacal swab samples were collected for influenza A virus screening using real-time RT-PCR (rRT-PCR). The influenza A virus-positive samples were subjected to viral isolation. Four samples were positive for the influenza A matrix gene by rRT-PCR. From these samples, three viruses were isolated, sequenced and characterized. All positive samples originated from a single bird species, the ruddy turnstone (Arenaria interpres), that was caught in the Amazon region at Caeté Bay, Northeast Pará, at Ilha de Canelas. To our knowledge, this is the first isolation of H11N9 in the ruddy turnstone in South America.

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Introduction

Avian influenza viruses (AIV) are globally distributed in wild birds and have been isolated from a wide diversity of avian species [1,2]. Wild aquatic birds are considered the natural reservoir for AIV [3], and all known hemagglutinin (HA) and neuraminidase (NA) subtypes of AIV have been identified in these species [4]. Charadriiformes, together with Anseriformes, serve as the main reservoir of low pathogenic avian influenza (LPAI) viruses [5]. There are reports showing that LPAI are virus precursors of high pathogenic avian influenza (HPAI) viruses [6]. This relation is of concern because HPAI viruses cause enormous economic losses and have, in rare instances, become major public health issues. For example, deadly outbreaks of Influenza A virus include the H1N1 virus descended from the 1918 ‘Spanish flu’ pandemic, the H2N2 1957 ‘Asian flu’ pandemic strain, the 1968 ‘Hong Kong flu’ strain, the highly pathogenic H5N1 ‘bird flu’ virus of 1997, the 2009 H1N1 pandemic strain [7] and the most recent introduction of H7N9 in China that caused human fatalities [8]. Brazil is considered free from highly pathogenic AIV in poultry, and, being a major global exporter of chicken meat, the emergence of such a disease could have a large economic impact due to trade restrictions [9]. Therefore, information on low-pathogenic viruses circulating among wild birds in Brazil may be useful for improving knowledge for Reference: Most shorebirds are long-distance migratory birds [10]. In the Americas, a large number of migratory shorebirds migrate from North America, where they breed, to South America, where they spend the boreal winter [11]. These birds have the potential to disperse AIV between the Northern and Southern hemispheres as they migrate. The surveillance of migratory birds for AIV is conducted in several countries of the Pacific and Atlantic flyways in the New World [10–14]. However, AIV has been detected in different species, usually at low prevalence [15], with the exception of a high prevalence in ruddy turnstones at Delaware Bay (USA) during the spring migration in May [7]. Delaware Bay represents the only site worldwide where AIV isolations from shorebirds (Scolopacidae) have consistently been reported [5,7]. The coast of Brazil offers several important stopover sites and wintering sites for migratory shorebirds, including ruddy turnstones...
Thus, at least two important questions must be addressed to better understand AIV dispersal: first, whether ruddy turnstones bring AIV from breeding areas in North America to their wintering sites in South America, and second, whether AIV persists in shorebirds in their wintering sites in South America, hence constituting a source of virus introduction to North America during the spring migration.

The seasonal movements of shorebirds are generally associated with migrations to breeding sites or food [16]. Shorebirds migrating from boreal winter arrive in Brazil, usually in September, via routes through the western Amazon, eastern Amazon, Central Plateau Brazil and Atlantic coast and remain in the country until April [17]. During June and July, many species use breeding grounds in the Arctic, and only juveniles, sub-adults or adults that have not completed the molt cycle remain in wintering areas until the next migration season [18]. The largest flocks observed in Brazil are on the northern and southern coasts, and smaller flocks are found on the northeastern and eastern coasts [19–23].

Before the emergence of HPAI (H5N1) in Asia (1997), the surveillance of AIV in migratory birds in Brazil was limited. Prior to 2003, only commercial poultry was routinely monitored for AIV due to export regulations from the Brazilian Agriculture Department (MAPA). Wild-bird surveillance was implemented in 2003–2005 within the framework of the Brazilian Ministry of Health [24]. On occasion, the detection of AIV (H3) from Red Knot (*Calidris canutus*), Sanderling (*Calidris alba*), Least Sandpiper (*Calidris minutilla*), White-rumped Sandpiper (*Calidris fuscicollis*), Ruddy Turnstone (*Arenaria interpres*) and Semipalmated Sandpiper (*Calidris pusilla*) sampled on the coast of Brazil was reported [25].

**Figure 1.** Location of sampling sites along the Brazilian coast in relation to the main migration routes and congregation sites of ruddy turnstones in North and South America. Samples were collected in Jan-May and Aug-Dec from 2006 to 2010. (map by M. Gely ©Cirad, adapted from Wings Over Wetlands UNEP-GEF Flyways Project and [34].

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Table 1. Primers used to sequence the complete HA gene.

| Sequence                  | Oligonucleotide Position (nt) |
|---------------------------|-------------------------------|
| 5’ GGA GTT CAC CAT CCT GCA ACT CT 3’ | H11-580                        |
| 5’ TTC CTT TAG AGT TGC AGG ATG GTG 3’ | H11-600R                        |
| 5’ AAC CAC CTT TCA TGA AAC CAG CTA TTG C 3’ | H11-1080R                        |
| 5’ ACA GCT TCT CGT TTT ACT TGA AAA TGA 3’ | H11-1330                        |
| 5’ CAT TTT CAA GTA AAA CGA GAA GCT GTG CA 3’ | H11-1350R                        |

Table 2. Primers used to sequence the complete NA gene.

| Sequence                  | Oligonucleotide Position (nt) |
|---------------------------|-------------------------------|
| 5’ TAT TCG TCT CAG GGA GCA AAA GCA GGG TC 3’ | ‘Bm-N9-1                        |
| 5’ ATA TCG TCT CAG ATT AGT AGA AAC AAG GGT CT 3’ | ‘Bm-N9-1467R                       |
| 5’ ATA AAT TCA TGG CAC ATA TAT GG 3’ | N9 ×300                        |
| 5’ GTC AAG TAC TAG TTG CCA TGA TG 3’ | N9 ×560                        |
| 5’ CAT CAT GCC AAC TAG TAC TGG AC 3’ | N9 ×850R                        |
| 5’ GGA TAA TTG GCC GGG CTC AAA TAG 3’ | N9 ×900                        |
| 5’ GAT CTT CAA GTA AAA CGA GAA GCT GTG CA 3’ | N9 ×1137R                        |

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### Table 3. Surveillance of influenza A viruses in wild birds in Brazil by real-time RT-PCR.

| Site                      | Date     | Scientific name          | Sample | No. pos./No. birds |
|---------------------------|----------|--------------------------|--------|--------------------|
| Ilha de Canelas, PA       | Nov. 06  | Actitis macularius       | C & O  | 0/10               |
|                           |          | Calidris canutus         | C & O  | 0/1                |
|                           |          | Calidris pusilla         | C & O  | 0/3                |
|                           |          | Calidris minutilla       | C & O  | 0/1                |
|                           |          | Sterna hirundo           | C & O  | 0/4                |
|                           |          | Larus sp.                | C & O  | 0/1                |
| Ajuruteua, PA             | Nov. 06  | Actitis macularius       | C & O  | 0/5                |
|                           |          | Calidris pusilla         | C & O  | 0/2                |
|                           |          | Charadrius semipalmatus  | C & O  | 0/1                |
| Ilha de Itamaracá, PE     | Apr. 07  | Arenaria interpres       | C & O  | 0/7                |
|                           |          | Calidris alba            | C & O  | 0/8                |
|                           |          | Calidris pusilla         | C & O  | 0/5                |
|                           |          | Charadrius semipalmatus  | C & O  | 0/3                |
|                           |          | Limnodromus griseus      | C & O  | 0/1                |
|                           |          | Sterna hirundo           | C & O  | 0/1                |
| Ilha de Itamaracá, PE     | Aug. 08  | Charadrius semipalmatus/C. alba/A. interpres | F | 0/100               |
|                           |          | Sterna hirundo/Thalasseus sandvicensis | F | 0/64               |
| Ilha de Itamaracá, PE     | Oct. 08  | Arenaria interpres       | C & O  | 0/1                |
|                           |          | Calidris pusilla         | C & O  | 0/1                |
|                           |          | Calidris pusilla         | C & O  | 0/5                |
|                           |          | C. pusilla/A. interpres/C. alba/Pluvialis squatarola | F | 0/18               |
| Ilha de Canela, PA        | Nov. 08  | Actitis macularius       | C & O  | 0/31               |
|                           |          | Arenaria interpres       | C & O  | 4/22               |
|                           |          | Calidris alba            | C & O  | 0/2                |
|                           |          | Limnodromus griseus      | C & O  | 0/9                |
|                           |          | Tringa melanoleuca       | C & O  | 0/1                |
|                           |          | Charadrius semipalmatus  | C & O  | 0/1                |
|                           |          | Gelochelidon nilotica    | C & O  | 0/1                |
| Cururupu, MA              | May. 10  | Actitis macularius       | C & O  | 0/27               |
|                           |          | Arenaria interpres       | C & O  | 0/12               |
|                           |          | Calidris pusilla         | C & O  | 0/98               |
|                           |          | Calidris canutus         | C & O  | 0/7                |
|                           |          | Limnodromus griseus      | C & O  | 0/1                |
|                           |          | Vanellus chilenis        | C & O  | 0/10               |
|                           |          | Charadrius collaris      | C & O  | 0/6                |
|                           |          | Charadrius semipalmatus  | C & O  | 0/6                |
|                           |          | Haematopus palliatus     | C & O  | 0/6                |
|                           |          | Himantopus mexicanus     | C & O  | 0/25               |
|                           |          | Chroicocephalus maculipennis | C & O | 0/1 |
|                           |          | Gelochelidon nilotica    | C & O  | 0/4                |
|                           |          | Stercorarius skua        | C & O  | 0/2                |
|                           |          | Jacana                  | C & O  | 0/2                |
| Ilha de Itamaracá, PE     | Oct. 10  | Arenaria interpres       | C & O  | 0/25               |
|                           |          | Calidris pusilla         | C & O  | 0/11               |
|                           |          | Calidris alba            | C & O  | 0/1                |
|                           |          | Sterna hirundo           | C & O  | 0/3                |
| Total                     |          | 21 species               |        | 4/556              |

*Sample type: C = Cloacal, O = Oral and F = Feces.

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ABI PRISM 3100 Genetic Analyzer (Applied Biosystems/Life Technologies) and the Big Dye Terminator v3.1 Cycle Sequencing Kit (Life Technologies) at the Hartwell Center for Bioinformatics and Biotechnology at St. Jude Children’s Research Hospital (Memphis, TN, USA).

Genetic characterization and phylogenetic tree of the HA and NA genes

Nucleotide-level searches were performed for each genome segment of each virus isolate using the Basic Local Alignment Search Tool (BLAST) to identify the most closely related sequences available in public databases [32]. The sequences were initially aligned using the Bioedit Sequence Alignment Editor (v.7.1.5.0) (Ibis Biosciences Carlsbad, CA), and the nucleotide percentage similarities were calculated using the MegAlign program of the DNASTAR package (DNASTAR, Inc.).

To elucidate the relationships between the viruses isolated in this study, a phylogenetic analysis of the HA and NA genes, including all available H11 and N9 subtype influenza gene sequences between 2007–2009 in North America and other regions was performed. Phylogenetic analyses of the HA and NA genes were performed for specific-subtype group characterization using only the open reading frames (ORFs) of each segment, and the trees were generated by PAUP 4.0b10 using the maximum likelihood algorithm with the parameters indicated by the GTR Modeltest. Levels of bootstrap are shown for major clades [33]. The sequences of the segments from the three isolates of influenza A virus were deposited with the following GenBank accession numbers for HA: KF824501 (A08), KF824502 (A17) and KF824503 (A51) and NA: KF824504 (A08), KF824505 (A17) and KF824506 (A51).

**Ethics Statement**

All procedures involving wild birds were approved by the Brazilian Society of Laboratory Animal Science (SBCAL) of the University of Sao Paulo (Permit Number: 105, page 74, book 2) and licensed by the Ministério do Meio Ambiente-MMA at the Instituto Chico Mendes de Conservac ¸a˜a˜o da Biodiversidade (ICMBio/SISBIO), Number 25895-1.

| Table 4. The closest sequences to HA of influenza A virus available in public databases based on percent nucleotide similarity. |
|---------------------------------------------------------------|
| **GenBank ID** | **Sequence name** | **A08/2008 (H11) [208614]** | **A17/2008 (H11) [208615]** | **A51/2008 (H11) [208617]** |
|----------------|------------------|-----------------|-----------------|-----------------|
| CY145997.1     | A/ruddy turnstone/New Jersey/Ai09-194/2009(H11N8) | 99% | 99% | 99% |
| CY145437.1     | A/ruddy turnstone/New Jersey/Sg-00557/2008(H11N5) | 99% | 99% | 99% |
| CY145711.1     | A/ruddy turnstone/New Jersey/Sg-00564/2008(H11N9) | 99% | 99% | 99% |
| CY127871.1     | A/shorebird/Delaware Bay/257/2009(H11N8) | 99% | 99% | 99% |
| CY127887.1     | A/shorebird/Delaware Bay/S49/2009(H11N1) | 99% | 99% | 99% |
| CY145719.1     | A/ruddy turnstone/New Jersey/Sg-00567/2008(H11N2) | 99% | 99% | 99% |
| CY145955.1     | A/herring gull/New Jersey/Ai09-335/2009(H11N1) | 99% | 99% | 99% |
| CY138137.1     | A/lesser black-backed gull/Iceland/145/2010(H11N2) | 98% | 98% | 98% |
| CY039532.1     | A/green winged teal/California/AK51305/2008(H11N9) | 97% | 97% | 97% |
| KF542875.1     | A/Anas acuta/New Mexico/A0062981/2008(H11N9) | 96% | 96% | 96% |
| CY096624.1     | A/blue-winged teal/Guatemala/CIP049-10/2009(H11N2) | 95% | 95% | 95% |
| HM193587.1     | A/mallard/Alaska/44430-056/2008(H11N9) | 95% | 95% | 95% |
| CY127638.1     | A/mallard/Alberta/193/2000(H11N9) | 94% | 94% | 94% |

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| Table 5. The closest sequences to NA of influenza A virus available in public databases based on percent nucleotide similarity. |
|---------------------------------------------------------------|
| **GenBank ID** | **Sequence name** | **A08/2008 (N9) [208614]** | **A17/2008 (N9) [208615]** | **A51/2008 (N9) [208617]** |
|----------------|------------------|-----------------|-----------------|-----------------|
| CY137916.1     | A/shorebird/Delaware Bay/351/2009(H11N9) | 99% | 99% | 99% |
| CY146281.1     | A/ruddy turnstone/New Jersey/Ai09-1052/2009(H11N9) | 99% | 99% | 99% |
| CY145689.1     | A/ruddy turnstone/New Jersey/Sg-00561/2008(H11N9) | 99% | 99% | 99% |
| CY146289.1     | A/ruddy turnstone/New Jersey/Ai09-1144/2009(H11N9) | 99% | 99% | 99% |
| CY038237.1     | A/ruddy turnstone/New Jersey/Sg-00561/2008(H11N1) | 99% | 99% | 99% |
| CY125351.1     | A/ring-necked duck/New Brunswick/03449/2009(H11N9) | 98% | 98% | 98% |
| CY004368.1     | A/mallard/Alberta/245/2003(H11N9) | 97% | 97% | 97% |
| CY144334.1     | A/red knot/Delaware/65066/2002(H11N9) | 96% | 96% | 96% |
| JF323789.1     | A/Steller’s eider/Alaska/44222-253/2008(H11N9) | 94% | 94% | 94% |

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Figure 2. Phylogenetic analysis of the hemagglutinin gene of the influenza A virus. Trees were generated by PAUP 4.0b10 using the maximum likelihood algorithm with the parameters indicated by the GTR Modeltest (bootstrap values are shown for the branch points). The scale bar is shown on the bottom left. In this study, sequences that were available in the public database were used to construct the tree, and the accession numbers along with their branch data are shown.

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Results

A total of 556 birds from 23 different species, representing 14 different families of the order Charadriiformes, were sampled (Table 3). Most of these species were migratory. AIV was only detected in ruddy turnstones (n = 4/68), all in Ilha de Canelas, Amazon region (Para’ State) at the beginning of the wintering period in November 2008, using rRT-PCR amplification of the influenza A matrix gene. The proportion of ruddy turnstones that was infected with AIV was 5.8%. Of the four influenza A-positive samples, virus was isolated from three. BLAST searches of the surface glycoprotein genes of the three isolates from ruddy turnstones confirmed 94 to 99% sequence similarity to HA of the H11 subtypes, and all three isolates were 99% similar to Influenza A virus (A/ruddy turnstone/New Jersey/AI09-194/2009 (H11N8); ID: CY145907-1). The isolates were also 94 to 99% similar to NA of subtype N9 and 99% similar to Influenza A virus (A/shorebird/Delaware Bay/351/2009(H1N9); ID: CY137916.1) (Table 4 and Table 5).

The high similarity between the isolates and the formation of a clade indicates that these isolates are closely related. The HA and NA gene segments were most closely related to North American avian lineage viruses from New Jersey and Delaware Bay from 2009 (99% identity). The phylogenetic tree shows that these isolates are grouped in a different cluster from others H11 and N9 subtypes viruses and supported with high bootstrap values (Figure 2 and Figure 3). In addition, we isolated the viruses with sequences available from other continents (see Figure S1 and Figure S2).

Discussion

Ilha de Canelas is an important stopover for migratory shorebirds in South America [34] and the only site in our study where AIV was detected. During our surveillance sampling effort, we detected AIV only in ruddy turnstones, which was consistent with other studies that found ruddy turnstones to be frequently infected with AIV [5,7]. Reports indicate that AIV may occur at higher rates in ruddy turnstones than in other Scolopacidae [15]. H11 in combination with various NA subtype has been reported throughout 2000–2010 in Asia [35,36], Europe [37–39], the USA and Canada [40] as well as Central America [14]. It is therefore not surprising to find H11 in Brazil.

Previous outbreaks of highly pathogenic influenza in the Americas have originated from the introduction of low-pathogenic H5 or H7 precursors making their way into poultry populations with subsequent evolution to a highly pathogenic form [40]. Although these two subtypes of greatest concern have not been detected in Brazil, the low number of subtypes that have been isolated in comparison with other countries raises the question as to whether the current surveillance strategy is adequately determining the full range of subtypes in the region. Thus, exploration of other possible sampling sites and species is warranted.

Our results suggest that it is possible for ruddy turnstones to carry AIV from the northern hemisphere to their wintering sites in South America. The virus was only detected during the arrival of the birds in Brazil, and we did not detect AIV in other shorebird species that occur in sympathy with the ruddy turnstones in Brazil.

This raises the question as to whether there are inter-species transmission barriers among shorebird species. H11 was not a predominant HA subtype detected in shorebirds at Delaware Bay [15,41]. However, H11N9 AIVs have been detected (2002–2004, 2007–2009) in shorebirds at Delaware Bay in long-term monitoring studies. The existence of shared AIV subtypes in shorebirds between the South and North American staging sites suggests that virus exchange may occur between the two continents.

Arenaria interpres is a long-distance migratory bird with circumpolar distribution. This species breeds in northeastern Alaska and the Canadian Arctic and then moves along the Pacific and Atlantic coasts toward Central and South America [11]. Delaware Bay represents a globally important site where AIV isolations from shorebirds (Scolopacidae) have consistently been reported [7,32]. Previous work showed that ruddy turnstone (Arenaria interpres) represented 40% of all AIV isolates, even though only 11.2% of the sampled birds were of the Charadriiformes order [15,42]. The combination of HA and NA that was most frequently isolated from shorebirds included H3N8 (7.1%) and H11N9 (7.6%) [43].

Our work was performed over five years during two distinct time periods: the time of arrival of birds from the Northern Hemisphere in November and the time of return in April [44]. Our results confirm the presence of low-pathogenic influenza A virus in wild birds during the arrival period in Brazil. The phylogenetic analysis of the HA and NA viral sequences revealed that the AIV isolates are of the H11N9 group and exhibit high similarity (99%) to viruses of the North American lineage found in shorebirds. One limitation of this study is not including the full virus genomes that would allow for more in depth molecular characterization for a better understanding of the eco-epidemiology and transcontinental transport of these viruses.

In Brazil, a small number of groups have worked with AIV in wild birds. Extensive data on the patterns of AI virus infection in wild birds only exist for Europe and North America [3,37], where the majority of surveillance has traditionally been focused, and similar information for many parts of the Southern Hemisphere is lacking [5,45]. The patterns of AIV circulation that are observed in Europe and North America cannot be directly transposed to the Southern Hemisphere, particularly to tropical regions, where differences in host ecology, climate and seasonality may produce different dynamics of infection [45].

The presence of AIV in wild birds in South America has been confirmed, and the detection or isolation of LPAI viruses in the following countries has been shown: subtypes H1N9, H1N1 and H6N2 [46–48] were isolated in Argentina; H7N3 was isolated in Bolivia and Chile [40,49]; H3 was isolated in Brazil [25,50]; H5N2 was isolated in Colombia [51]; and H3N8, H4N5, H10N9 and H13N2 were isolated in Peru [13,52,53].

Conclusions

An effective surveillance program for Influenza A viruses in migratory bird species may alert public health authorities of potential human and domestic animal pathogens and facilitate the implementation of response measures in Brazil.
The results of our surveillance of migratory birds demonstrated high similarity in the HA and NA genes to viruses of the North American lineage found in shorebirds.

Supporting Information

Figure S1 Phylogenetic analysis of the hemagglutinin gene of the influenza A virus with sequences available from other continents. The accession numbers along with their branch data are shown.

(TIFF)

Figure S2 Phylogenetic analysis of neuraminidase of the influenza A virus with sequences available from other continents. The scale bar is shown on the bottom left. The accession numbers along with their branch data are shown.

(TIFF)

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Author Contributions

Conceived and designed the experiments: JA SMAJ JOB ELD RGW. Performed the experiments: JA SMAJ RFH DW LMT TO MMS RR DG AMMR ACS LLB MAM MEL DG AMMR ACS LLB MAM MEL. Analyzed the data: JA SMAJ RFH DW LMT TO MMS NG RW ELW. Contributed reagents/materials/analysis tools: JA SMAJ RFH DW LMT TO NG JW RF RW ELW. Wrote the paper: JA SMAJ DW LMT TO MMS NG RW RW ELW.

Biographical Sketch

Dr. Jansen de Araujo has a Ph.D. in Microbiology and is the coordinator of the field team in the Virology Laboratory at the Biomedical Science Institute. His research interests include eco-epidemiological studies of wildlife and emerging infectious diseases with zoonotic potential in Brazil.

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