Research Article

A Deep Learning Approach for Detecting Colorectal Cancer via Raman Spectra

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Objective and Impact Statement. Distinguishing tumors from normal tissues is vital in the intraoperative diagnosis and pathological examination. In this work, we propose to utilize Raman spectroscopy as a novel modality in surgery to detect colorectal cancer tissues. Introduction. Raman spectra can reflect the substance components of the target tissues. However, the feature peak is slight and hard to detect due to environmental noise. Collecting a high-quality Raman spectroscopy dataset and developing effective deep learning detection methods are possibly viable approaches. Methods. First, we collect a large Raman spectroscopy dataset from 26 colorectal cancer patients with the Raman shift ranging from 385 to 1545 cm⁻¹. Second, a one-dimensional residual convolutional neural network (1D-ResNet) architecture is designed to classify the tumor tissues of colorectal cancer. Third, we visualize and interpret the fingerprint peaks found by our deep learning model. Results. Experimental results show that our deep learning method achieves 98.5% accuracy in the detection of colorectal cancer and outperforms traditional methods. Conclusion. Overall, Raman spectra are a novel modality for clinical detection of colorectal cancer. Our proposed ensemble 1D-ResNet could effectively classify the Raman spectra obtained from colorectal tumor tissues or normal tissues.

1. Introduction

Colorectal cancer (CRC) is a common health issue, with an estimated 148,000 new cases and 53,000 deaths in America in 2020 [1]. In order to reduce the incidence and mortality of colorectal cancer, the effectiveness of guaiac fecal occult blood test (gFOBT) and sigmoidoscopy screening has been studied in randomized controlled trials [2–11]. Colonoscopy is likely to reach the entire large intestine at least as effectively as sigmoidoscopy, which reaches only the far end of the large intestine [12]. Colonoscopy is a primary test for diagnosing colorectal cancer. This process also has the potential to prevent diseases by eliminating precancerous lesions and thus is an important tool to help improve clinical outcomes. Unfortunately, the colonoscopy test is not 100% accurate, and cancer can appear months or years later when a colonoscopy test is negative for cancer. The World Endoscopy Organization (WEO) defines such cases as postcolonoscopy of colorectal cancer (PCCRCs) [13]. There is evidence that as many as 700 patients in the National Health Service (NHS) are diagnosed with PCCRCs each year [14]. Therefore, improving the detection rate of colorectal cancer is vital.

Raman spectroscopy is a nondestructive chemical analysis technique that attains the spectral characteristics of tissues based on the molecular characteristics generated by the inelastic scattering of the incident light. Inelastic scattering occurs when light interacts with matter, but its relative importance is reduced by competing phenomena, including
elastin, and absorption [15]. Raman spectroscopy
is used to observe low-frequency vibration patterns in the
system. Raman spectral results provide a fingerprint through
which different molecular species can be identified and their
relative concentrations assessed according to the intensities
of different peaks. Biological tissues, such as intestinal tissue,
contain a large number of Raman active molecules, resulting
in a spectral measurement that is actually a weighted spectral
sum from all the molecular species contained in the target
tissue volume [16].

At present, colonoscopy is based on biopsy or endo-
sopic tissue characteristics and in vivo classification. Color
endoscopy and Kudo classification are the main auxiliary
examinations for colon lesions [17], but it is difficult to iden-
tify some small lesions from the normal intestinal mucosa.
Therefore, clinical applications genuinely need a noninva-
sive, rapid, and high-precision diagnostic tool to detect some
early curable colorectal cancer. In addition, early detection
requires better clinical instruments than colonoscopy biopsies,
which can be extended to a wider population rather than limited by time and costs. In order to help address this
critical problem, Raman spectroscopy, a new diagnostic tool
featuring high speed, data preservation, and fine accuracy,
has been verified in many comprehensive studies, making
it possible to be applied in future clinical practice [18–22].
By comparing the Raman spectra of tumor tissues with those
of normal tissues, it is possible to find the main Raman spec-
trum characteristics that reflect these different tissues.

Recently, numerous machine learning methods have
been applied in spectral analyses [23]. Principle component
analysis (PCA) is the most commonly used one, which
extracts the top variances that contribute to the compre-
ensive information. Some multivariate classifiers such as linear
discriminant analysis (LDA) or clustering methods (e.g., k
-nearest neighbor (KNN)) can be applied to further distin-
guish the targets through the PCA results [24]. Support vec-
tor machines (SVM) is another powerful tool, which enables
linear classification of the target data in a higher dimension
space by determining a hyperplane [25, 26]. Partial least
square regression (PLSR) is a bilinear factor method that
allows relating two data matrices through a linear multivar-
iate model [27]. Artificial neural networks (ANNs) can sep-
erate data categories by passing information through
successive neuron layers [28]. However, these methods can
hardly learn more in-depth information within the spectra
data, such as the wavelength differences of subtle peaks,
because they consider only the disperse input points while
neglecting the internal relations.

As a subset of machine learning methods, deep learning
is a promising technique to extract effective features across
multiple levels of abstraction, which has demonstrated state-of-the-art performance in a large number of challeng-
ing tasks such as medical image recognition [29–32]. Deep
learning applications on Raman spectroscopy data also
achieved promising results in various tasks such as Raman
denoising [33], brain tumor detection [34], and pathogenic
bacteria identification [35]. Compared with other machine
learning methods, deep learning models can capture infor-
mation from shared convolution kernels without manually
selecting features. Among the above applications, one-
dimensional convolutional neural networks (1D-CNNs) are
effective deep learning models and have been widely used
in spectra recognition [36]. However, information transmis-
sion loss in CNNs may cause gradient loss and damage the
model performance. Inspired by the success of residual con-
nected networks against gradient loss, a one-dimensional
residual convolutional neural network (1D-ResNet) has been
utilized to improve the performance of spectra classifica-
tion [37].

Focusing on tumor detection, Ralbovsky and Lednev
reviewed machine learning methods in medical diagnosis
applications with Raman spectroscopy [23]. Based on sponta-
naneous Raman spectroscopy (RS) and surface-enhanced
Raman spectroscopy (SERS), a large set of studies analyzed
cancer data with machine learning methods, and the types
of cancer examined included brain, breast, cervix, and liver.
On colorectal cancer, Gala de Pablo et al. investigated five
different colorectal cell lines with spontaneous RS and uti-
lized a PCA-LDA method to obtain a 92.4% classification
accuracy [38]. Králová used SERS to analyze blood plasma
differences between normal persons and oncological patients
[39]. Still, most known RS studies on cancer diagnoses relied
on traditional machine learning methods like PCA to extract
features and classify sample types, but, due to limited dataset
sizes, the results of the previous studies are not general
and comprehensive enough.

In this work, we aimed to develop a new colorectal can-
cer detection method with Raman spectroscopy. For this
purpose, the effects of different tissues on Raman spectra
were investigated. Furthermore, we designed a deep learning
architecture to classify tumor tissues through their Raman
spectra. Comparison experiments and visualization results
demonstrated that our deep learning approach can detect
colorectal cancer fast and accurately. Our work could make
it possible for Raman spectroscopy combined with colonos-
copy to improve the detection rate of colorectal cancer in the
future.

2. Materials and Methods

2.1. Study Design. The objective of this study was to evaluate
the potential of using Raman spectroscopy to distinguish
colorectal cancer from normal tissues in grades 1 to 3 ade-
carcinoma. This study investigated the capability of Raman
spectroscopy for intraoperative use on adult patients of colo-
rectal cancer surgery at the Second Affiliated Hospital of Zhejiang University School of Medicine, China with grades
1 to 3 adenocarcinoma. All the patients included in this
study gave written informed consent and were fully aware of
the aims of the study. The surgeons were blinded to any
information about the acquired Raman spectra during the
resection procedures. The pathologists were blinded to any
information about the Raman spectra before performing his-
tological analyses. An overall schematic diagram of our pro-
posed approach is shown in Figure 1.

2.2. Sample Preparation. This study has been approved by
the Second Affiliated Hospital of Zhejiang University School
of Medicine and the Institute of Translational Medicine of Zhejiang University. All the tumor tissue samples and paired normal tissues were obtained by surgical resection and stored at $-80^\circ$C from 2018 at the Second Affiliated Hospital of Zhejiang University School of Medicine. The disease stage was determined based on the pathological tumor-node-metastasis (pTNM) classification system [40]. In summary, there were 26 colorectal cancer samples including 6 grade I, 12 grade II, and 8 grade III. The details were presented in Table 1. All the samples were detected by Raman spectroscopy under tinfoil without any treatment. The results of Raman spectra are shown in Figure 2.

2.3. Raman Spectral Data Acquisition. The Raman spectra were collected in a dark room at 20$^\circ$ with Renishaw in via Raman spectrometer (UK) equipped with the ×20 microscope objective lens. The scanning range was from 385 to 1545 cm$^{-1}$. Each sample was detected three times to obtain an average value. Before each experiment, the Raman spectrometer was calibrated using the 520.5 cm$^{-1}$ bands of a silicon wafer. We split the collected tumor and paired normal tissues into over 20000 small pieces on average and collected the corresponding Raman spectrum of each piece. Afterward, we built the Raman spectrum dataset of CRC, containing 20424 Raman spectrum data. The training set, validation set, and test set account for 80%, 10%, and 10%, respectively. The detail of the CRC Raman dataset is shown in Table 2.

2.4. Data Preprocessing and Data Augmentation. In the model pretraining stage, we carry out two procedures, for data preprocessing and data augmentation. First, we clean the collected original Raman spectra data to reduce the adverse effects of noise and improve the stability of the mathematical model. As illustrated in Figure 1(b), there are mainly three steps:

(i) Baseline correction: due to the fluorescence effect, there are usually specific peak shifting that may cause overfitting of the model. The polynomial baselines are fitted by an asymmetric least square algorithm and removed from the original data

![Figure 1: A schematic diagram of our proposed deep learning approach for detecting colorectal cancer. (a) Preparing tissues and scanning with a Raman spectrometer using ×20 microscope objective lens. (b) Preprocessing the original data, including baseline correction, denoising, and normalization. (c) Training the one-dimensional residual convolutional neural network to classify two tissue types from Raman spectral data. (d) Visualizing the neural network by Grad-CAM and interpreting the meaning of activated peaks with deep learning.](image-url)
Table 1: Description of the collected CRC samples.

|                         | N (%) |
|-------------------------|-------|
| Age, years (median, range) | 26 (50-73) |
| Gender                  |       |
| Male                    | 16 (62%) |
| Female                  | 10 (38%) |
| Primary location of neoplasm |    |
| Right colon             | 10 (39%) |
| Left colon              | 8 (31%)  |
| Sigmoid colon           | 4 (15%)  |
| Rectum                  | 4 (15%)  |
| TNM                     |       |
| I                       | 6 (23%)  |
| II                      | 12 (46%) |
| III                     | 8 (31%)  |
| IV                      | 0 (0%)   |
| Grade                   |       |
| Well differentiated      | 6 (23%)  |
| Moderately differentiated| 18 (69%) |
| Poorly differentiated    | 2 (8%)   |

(ii) Signal denoising: after the baseline correction, we perform denoising of the Raman spectra data to obtain purer data for analysis.

(iii) Data normalization: the final step is scaling, in which the intensity values of all spectra are normalized to the range of [0, 1] so that the minimum intensity value of each spectrum is 0, and the maximum is 1.

The data preprocessing procedure is conducted using Python 3.8 and the RamPy package. An example for tumor tissue and normal tissue after preprocessing is given in Figure 2 for comparison, in which the CRC tumor tissue and normal tissue after preprocessing is given in Python 3.8 and the RamPy package. An example for tumor tissue and normal tissue after preprocessing is given in Figure 2 for comparison, in which the CRC tumor tissue and normal tissue show only slight differences on some shift peaks.

Next, in the data augmentation procedure, we seek to extend our dataset with three operations. First, we generate white Gaussian noise proportional to the magnitude at each Raman shift. Second, we shift each spectrum left or right by a few Raman shifts randomly. Third, we multiply the raw spectra by a random intensity enhancement factor ranging from 0.2 to 2.

2.5. Deep Learning Architecture. Convolutional neural networks (CNNs) and their variants such as residual networks (ResNets) have shown very good potential to extract key features from complex data and have been widely successful across a set of image recognition tasks. ResNet uses short connections between the input and output of each residual block to prevent vanishing gradient and overfitting while training a deep network architecture [37].

We utilize two types of residual bottlenecks, i.e., normal bottleneck and downsample bottleneck, as the blue and green block shown in Figure 3. The input size is defined as $N \times C_{in} \times H$, where $N$ donates the batch size, $C_{in}$ donates the number of input channels, and $H$ donates the height of a feature map. Each residual block contains two batch normalization (BN), activation layer (ReLU), dropout, and convolutional layer sets and has a short connection between input and output. The batch normalization can prevent overfitting effectively, which can be expressed as

$$BN(x_k) = y \left( \frac{x_k - \mu_y}{\sqrt{\sigma_B^2 + \epsilon}} \right) + \beta,$$

where $\epsilon$ donates a random noise, $\mu_y$ and $\sigma_y$ donate the mean and variance values in the batch, respectively, and $y$ and $\beta$ are adaptable parameters in training. In an activation layer, we use rectified linear units (ReLU) as the activation function, which is described as

$$ReLU(x) = \max(0, x).$$

In a convolutional layer, we set the convolutional kernel size as $1 \times 3$. A convolutional layer can be defined as

$$y^{ji} = f\left(b^j + \sum_i w^i ji \ast x^i\right),$$

where $x^i$ and $y^{ji}$ are the $i$th input map and $j$th output map, respectively, $w^i ji$ donates the weight between the extracted features and output, and $b^j$ stands for the bias of the $j$th map. The downsample bottleneck output size is $N \times C \times H/2$, while the normal bottleneck output size is $N \times C \times H$ in a convolutional layer.

Each bottleneck contains a shortcut between the input and output feature maps, which can be written as

$$H(X) = F(X, \{w_i\}) + X,$$

where $X$ and $H(X)$ are the input and output vectors of the bottleneck, and $F(X, \{w_i\})$ donates the residual mapping to be learned.

Figure 3 illustrates the overall architecture of our proposed 1D-ResNet model used in this work. Since the input Raman spectral data is preprocessed as a $1 \times 1024$ vector, the input data size is $N \times 1 \times 1024$. The 1D-ResNet architecture is similar to ResNet-34 [37]. Apart from the first bottleneck, downsample is conducted after two bottlenecks, until we obtain the feature map of size $N \times 1024 \times 1$. After batch normalization and activation of the final bottleneck, we use a full connection layer and apply a sigmoid function to calculate the probability, which can be expressed as

$$\text{Sigmoid}(x) = \frac{1}{1 + e^{-x}}.$$
this task, we conduct comparison experiments using several commonly used machine learning methods, such as SVM, LightBoost, XGBoost, and Random Forest [41]. SVM is a classic linear classifier, XGBoost, and LightBoost are typical boosting methods, and Random Forest is a kind of decision tree method. We perform fine-tuning of the parameters for each of these methods and record its best performance in the validation set.

2.7. Implementation Details. The 1D-ResNet is trained with the adaptive moment estimation (Adam) algorithm as the optimizer, which is a variant of the stochastic gradient descent method, for 200 epochs with a learning rate of 0.0001. The batch size is set to 128. To minimize the cost, we use the weighted loss function as defined in Eq. (6):

$$ J = \sum_{i=1}^{n} y_i \ln \hat{y}_i + (\hat{y}_i - y_i) \ln (1 - \hat{y}_i), $$

where $y_i$ is the label of the $i^{th}$ spectrum with value 1 or 0, $\hat{y}_i$ is the predicted probability of the $i^{th}$ output by the model, and $n$ is the total sample size.

Figure 4 shows the changes in the accuracy and loss curves of both the training set and test set with various numbers of epochs. As the number of epochs increases, the accuracy tends to increase, while the loss shows a decreasing trend. The accuracy and loss reach stable values after hundreds of epochs of training. We record the best performance when the test accuracy reaches the maximum value.

Our experiments were performed on a workstation with Intel(R) Xeon(R) CPU E5-2630 v4 @ 2.20GHz, 256 GB RAM, and 8x NVIDIA Titan Xp GPU with 12 GB GPU memory. The code was implemented with PyTorch 1.6.1 in Ubuntu 18.04.

3. Experiments and Results

3.1. Evaluation Metrics. To evaluate the model performance, we report accuracy, precision, recall, and F1-score of each method. The definitions of the evaluation metrics are defined as follows:

$$ \text{Accuracy} = \frac{TP + TN}{TP + FP + TN + FN}, $$

$$ \text{Recall} = \frac{TP}{TP + FN}, $$

$$ \text{Precision} = \frac{TP}{TP + FP}, $$

$$ \text{F1-score} = \frac{2 \times TP}{2 \times TP + FN + FP}, $$

where TP, FP, TN, and FN represent the true positive, false positive, true negative, and false negative prediction results, respectively. Furthermore, we report the area under the ROC curve (AUC) while comparing the performance of different machine learning methods.

| Tissue type | Training set# | Validation set# | Test set# | Overall |
|-------------|---------------|-----------------|-----------|---------|
| Normal      | 8684          | 1091            | 1091      | 10866   |
| Tumor       | 7654          | 952             | 952       | 9558    |

Figure 2: An example possibility plot of the collected Raman spectra of CRC and normal tissues, normalized to [0, 1]. The upper red curve represents CRCs, and the below green curve represents normal tissues, with only slight differences (blue curve) between the two sample types.

Table 2: Description of the collected CRC Raman dataset amount.
AUC = \frac{1}{2} \sum_{i=1}^{m-1} (TPR_{i+1} + TPR_{i})(FPR_{i+1} - FPR_{i}), \quad (11)

where TPR and FPR donate the true positive rate and false positive rate, respectively.

3.2. Training Results of 1D-ResNet. We train the 1D-ResNet model described in Section 2.5. The accuracy of the model is 94.6%. To enhance the model performance, we utilize three strategies to improve the model. On one hand, we intensify the Raman shift peaks by multiplying some intensity factors with the main peaks in the raw spectra, which is similar to the data augmentation procedure. The accuracy of ResNet_intensify raises to 95.3%. On the other hand, we split the raw data into [0, 512] and [128-640] to capture partial features, especially the parts with lower Raman shift. Considering only partial features achieves an accuracy of 92%. Finally, we ensemble the three ResNet strategies by an 8:1:1 weight, and the final ensemble model attains a 95.8% accuracy for distinguishing CRC samples from normal tissues. Table 3 reports the accuracy, precision, and recall of each model. Figure 5 demonstrates the confusion matrix of the ensemble model performance on the test set.

3.3. Comparison Study. As discussed in Section 2.6, we conducted comparison experiments to verify the performance of our 1D-ResNet in distinguishing Raman spectra. As shown in Table 4, we trained several classification models based on SVM, Random Forest, LightBoost, and XGBoost, respectively. The baseline machine learning methods were implemented by scikit-learn package [42]. We selected the hyperparameters with exhaustive grid search and ensured...
that each algorithm can converge eventually. Each experiment was conducted with random parameter initialization three times, and the best performance was reported. Table 4 shows the results of the comparison experiments, in which the accuracy, AUC, precision, recall, and F1-score of each method are reported. Our proposed ensemble 1D-ResNet method obtains the best classification performance among all the machine learning methods considered.

Figure 6 displays the receiver operating characteristic (ROC) curve of each method. SVM and Random Forest show relatively poor performance in AUC (~0.86 and ~0.88). The two boosting methods, LightGBM and XGBoost, attain better AUC (~0.96). Our ensemble 1D-ResNet yields the best AUC (0.986).

3.4. Visualization and Interpretation. Based on class activation mapping (CAM) analyses, we sought to provide some intuitive insights into the capability of our 1D-ResNet model on Raman spectra data. We collected tumor tissue spectra data as well as normal tissue data. We fed the spectra data to 1D-ResNet and plotted the CAM using Grad-CAM [43]. As shown in Figure 7, although the target data seem similar, the 1D-ResNet model focuses on different Raman shift regions. For the tumor tissue spectra, the model has a wide range from 450cm⁻¹ to 1200cm⁻¹, while it has a narrower range from 800cm⁻¹ to 1000cm⁻¹ for the normal tissue spectra. The experimental visualization results can be used to explain the differences in components from the tumor tissue data. Table 5 lists the potential components in different Raman shifts as reported in [44]. This might offer a potential tool to extract more invariant feature representations and recognize components in adverse environments.

4. Discussions

Our work demonstrated that Raman spectroscopy is a potential technique for the detection of CRC tissues during colorectal cancer surgeries. As a popular spectral technology, Raman spectroscopy is nondestructive to the sample, and it does not need a complex procedure of sample preparation. The Raman spectra can be acquired quickly within seconds. Besides, Raman spectra are sensitive to organic composition changes yet not to water and air. These advantages of Raman spectra make it possibly useful for examining the target tissues directly in surgeries. Before deep learning methods showed their capability to analyze big data, Raman spectra relied on experienced chemists to outline the characteristic peaks. Previous studies are aimed at enhancing the peak intensity through designing and injecting proper nanoparticles into the targets [16–18]. However, such nanoparticles are considered a kind of drug and are hard to apply in clinical surgeries. Some previous work analyzed Raman spectra data with machine learning methods [24–26] and reported over 90% accuracy in classifying tumors and normal tissues.
but the data amounts in such studies were limited. For example, in [41], it achieved a 100% SVM classification accuracy with only 20 Raman spectrum data collected from one patient.

In this work, we collected over 20000 Raman spectrum data from 26 CRC patients, covering the most common types of colorectal cancer patients. We developed the 1D-ResNet method in this work with three strategies to enhance the CRC classification performance. The results indicated that our deep learning method is competent for detecting colorectal tumors in Raman spectra data. Since interpreting deep learning algorithm results is always a problem, we used Grad-CAM to visualize the activated parts in Raman spectra data. From the highlighted Raman peak assignment, the corresponding components in tissues can be examined in experiments to verify that our proposed deep learning method can capture correct feature peaks.

5. Conclusions

In conclusion, we applied deep learning techniques to detect colorectal cancer via Raman spectra data. An ensemble 1D-ResNet model was proposed that achieves accurate and automatic decoding of Raman spectra-encoded data. This method could address the issues of low efficiency and poor

### Table 5: Raman peak assignment.

| Raman shift/cm$^{-1}$ | Intensity | Vibrational mode | Component                        |
|-----------------------|-----------|------------------|----------------------------------|
| 497                   | Weak      | s (S-S)          | DNA                              |
| 599                   | Mid       | ν (N-H)          | Cytosine                         |
| 725                   | Mid       | bv (N-H)         | Adenine                          |
| 810                   | Mid       | ν (C$_a$-O-P-O-C$_b$) | Phosphodiester                  |
| 876                   | Weak      | ν (O-O)          | Lactate                          |
| 890                   | Weak      | δ (C-O-H)        | Glucose                          |
| 936                   | Strong    | s (C$_a$-C$_b$)  | Polysaccharides                  |
| 986                   | Strong    | ν (C$_a$-C$_b$ or C-O) | Ribose                        |
| 1014                  | Mid       | ν (pyr half-ring) | Glucose                         |
| 1125                  | Weak      | ν (C$_\beta$ – methyl) | Protein                      |
| 1130                  | Mid       | s (C-N)          | D-mannose                       |
| 1156                  | Weak      | ν (pyr half-ring)$_{sym}$ | Glucose                  |
| 1210                  | Weak      | bv (N-H), s (C-H) | Amide                           |
| 1328                  | Strong    | τ(CH$_2$)        | DNA/RNA                         |
| 1447                  | Strong    | bv (C-H)         | Collagen                        |

Abbreviations: ν: vibration; bv: bending vibration; τ: twist vibration; s: stretching vibration; δ: scissoring vibration.
stability of data analyses using traditional machine learning methods. Our 1D-ResNet can accurately and stably classify all the test data with good convergence in our comparison experiments. The decoding performance of 1D-ResNet was far superior to that of common traditional machine learning models. Visualization results highlighted the component differences in colorectal tumor tissues. Our proposed method could enable the applications of Raman spectra in clinical CRC diagnoses. Future work will concentrate on the detection and analyses of actual colorectal tumor samples.

Data Availability

The preprocessed Raman spectra data used to support the findings of this study are available from the corresponding authors upon request.

Conflicts of Interest

The authors declare that there is no conflict of interest regarding the publication of this article.

Authors’ Contributions

Z. Cao conceived the idea and designed the experiments. X. Pan and S. Hua collected the data. H. Yu conducted the experiments. D. Z. Chen reviewed the paper. D. Wang, M. Zhou, and J. Wu supervised the experiments. Zheng Cao, Xiang Pan, and Hongyun Yu contributed equally to this work.

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