New clinical research strategies in thoracic oncology: clinical trial design, adaptive, basket and umbrella trials, new end-points and new evaluations of response

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ABSTRACT In the genomics era, our main goal should be to identify large and meaningful differences in small, molecularly selected groups of patients. Classical phase I, II and III models for drug development require large resources, limiting the number of experimental agents that can be tested and making the evaluation of targeted agents inefficient.

There is an urgent need to streamline the development of new compounds, with the aim of identifying “trials designed to learn”, which could lead to subsequent “trials designed to conclude”.

Basket trials are often viewed as parallel phase II trials within the same entity, designed on the basis of a common denominator, which can be a molecular alteration(s). Most basket trials are histology-independent and aberration-specific clinical trials. Umbrella trials are built on a centrally performed molecular portrait and molecularly selected cohorts with matched drugs, and can include patients’ randomisation and strategy validation. Beyond new designs, new end-points and new evaluation techniques are also warranted to finally achieve methodology and clinical improvements, in particular within immunotherapy trials.

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Introduction

In the past decade, progress in tumour biology, genomics technology, computational analysis and drug discovery has propelled advances in both translational and clinical cancer research. Despite the presence of other genomic and epigenetic alterations, many tumours are highly dependent on the function of a single oncogene for proliferation and survival. This concept is the so-called “oncogene addiction”, where the signalling network becomes sufficiently unstable in the presence of a mutated oncoprotein that the latter plays an essential role in the malignant setting. Many genomic alterations create a deregulated signalling cascade; thus, the derivative mutant proteins are potential foci for targeted anticancer therapies, and biomarkers can be accurate predictors of their efficacy [1–3]. Lung cancer, among all thoracic malignancies, has become the prototype for genetically tailored targeted therapy. Nevertheless, the validation of biomarkers is currently affected by severe challenges, such as the multitude of assessment methods (i.e. immunohistochemistry, fluorescence in situ hybridisation, next-generation sequencing, etc.), reliability in terms of sensitivity and specificity, reproducibility of the test, feasibility of obtaining an adequate and representative tumour sample and, finally, the overall related costs.

Moreover, classical phase I, II and III models for drug development require huge resources in terms of large populations, infrastructure and financial resources; thus, limiting the number of experimental agents that can be tested and making it inefficient for the evaluation of targeted agents (i.e. overall high failure rates) [4, 5].

The average time for clinical trial development and new drug registration is reported to be ~7.6 years for oncological compounds, which is comparable to 7.8 years for cardiovascular compounds, but significantly longer compared to antiretrovirals (5.1 years) [6]. Therefore, there is an urgent need to streamline the development of new compounds. Finding a new balance between stakeholders also enhances the roles of molecular biologists, patients and payers. The overall goal is to define “trials designed to learn”, which should then lead to subsequent “trials designed to conclude” [4]. As a matter of fact, in the genomics era, the main goal should be to identify large and meaningful differences in small molecularly selected groups of patients [7].

Clinical trials designed to enrich a target population by a predictive biomarker or statistically powered to prospectively evaluate a biomarker as co-primary or secondary end-points will most probably demonstrate a benefit to patient subgroups [8]. The main requirements are an adequate amount of tissue for molecular profiling, early co-development of biomarkers and targeted agents, and recognition of intratumour heterogeneity. Table 1 summarises the main study designs for predictive marker validation as described by Mandrekar and Sargent [9].

Two main enrichment strategies can be used to avoid over-treatment and save valuable resources, by matching the right drug to the right subgroup of patients. They can be defined as: basket trials and umbrella trials.

| Design                  | Description                                                                                                                                 |
|-------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|
| Unselected or all-comers| The compound is first tested in the overall population and then in the marker-defined subpopulation or vice versa, according to the strength of preliminary data |
|  Sequential testing strategy | The marker is used as a stratification factor upfront: the overall study population is divided into two groups according to the marker status and each subpopulation is equally randomised to the experimental versus control arm |
|  Marker by treatment interaction | Patients are randomly assigned to receive their treatment either based on the biomarker status or not; thus, determining a significant overlap of patients treated with the same regimen in both the biomarker-based and non-biomarker-based arm |
| Targeted or enrichment | All patients are screened for molecular alteration but only the subpopulation who either express a specific molecular alteration or not are enrolled in the clinical trial to assess the safety, tolerability and clinical benefit of a specific treatment |
|  This design requires well-established assay reproducibility and accuracy and early evidence of benefit |
| Hybrid                  | Only the marker-defined subgroup is randomly assigned to have the treatment based on that marker, the others subgroups are assigned to the standard of care |
|                         | It is similar to the enrichment design but also includes the non-marker-defined subgroup; thus, being the preferable choice when there is prior evidence of marker-based treatment |
Planning for such types of studies is particularly challenging due to the limited available information in the literature describing the targeted sub-populations, which sometimes leads to overlap between such design types. In order to overcome such limitations, new methodological strategies have been developed and will be described herein, including: new design strategies, such as the adaptive designs; basket and umbrella trials; and newly defined measures to assess and determine the efficacy of treatments, such as new end-points and imaging techniques.

**Adaptive design**
Clinicians continuously learn from their patients, applying knowledge gained from one set of patients to their forthcoming patients. This form of “adaptive” medicine has its statistical counterpart. In adaptive designs, modifications of some aspects of the trial can be prospectively planned so that changes (“adaptations”) may take place while the study is ongoing, for example: a treatment arm or a subgroup of patients could be dropped; dose levels could be altered; and the trial size could be increased, if the tested compound proved to be less effective than expected at the interim analyses.

The main goal of adaptive-design trials is to learn and address several hypotheses, in order to improve the accuracy of the study itself and speed up the development of the compound [12]. The most frequently used adaptive designs are summarised in table 2 [9, 12–14]. Adaptive designs are increasingly being used as there is growing acceptance and general encouragement from regulators (e.g. US Food and Drug Administration (FDA) guidance) [15]. These regulators have approved some compounds on the basis of phase I and II studies that adopted enrichment strategies or expansion cohort strategies as a consequence of recruitment difficulties in rare types of tumour (e.g. vismodegib and crizotinib [16, 17]).

Nevertheless, it takes more time to plan an adaptive design trial as it actually involves all stakeholders, i.e. clinicians, IT personal, project managers, drug providers and biostatistician. IT personnel need to organise frequent intake of data and large flows of information. Rigorous analysis and astute clinical interpretation of comprehensive genomic data is impossible without the assistance of computational algorithms to support clinical-grade data interpretation. Project managers need to organise flexible drug supply and only a handful of biostatisticians have extensive training in this area; many of them still consider some subjectivity in the design [18]. Moreover, adaptive randomisation might worsen the precision of treatment effect estimates by increasing variability, mainly owing to unequal subject allocation in the treatment arms [19].

Adaptive designs may provide a more distinct advantage in the study of novel drugs that do not have a clearly understood mechanism of action, or in situations when the biological characteristics of the target are uncertain. In these situations, real-time, complex biomarker analyses might accelerate the identification and further testing of potential biomarker–therapy relationships. Conversely, when hypotheses about the drug target and its biological features are well-understood, it may be less efficient than either prospective biomarker-directed trials addressing mature hypotheses or conventional-targeted therapy studies in less restricted patient populations that incorporate retrospective analyses of well-defined biomarkers [12].

The main concerns with these designs are: 1) the control of type I error rate; 2) the minimisation of impact of any adaptation-associated statistical or operational bias on the estimates of treatment effects; and 3) the real interpretability of the results. Therefore, comprehensive simulations are usually required to understand the design characteristics and properly estimate type I and II error rates during the planning stage; these may pose computational challenges if the model is complex [13].

Adaptive designs also fit well with basket and umbrella studies, which represent novel approaches for targeted therapeutics testing. Both types of studies have the potential to accelerate the drug development process so that the correct therapies can be delivered quickly to the right patients.

**Basket trial**
This type of study design allows patients with multiple diseases and one or more target to be enrolled in cohorts or groups in one trial (the basket) (fig. 1). This allows researchers to separately analyse the responses of patients as each tumour type can be put in one cohort, and assess the impact of the drug on all of the patients as one group. If one group shows a good response, we expand this group to immediately assess whether others could benefit from the new therapy. If another group does not show evidence of effectiveness, this group may be closed and the other cohort can continue the recruitment [19, 20].

There are several available examples for basket trials, which can be grouped into three main categories depending on the mechanism of action of the drug (inhibition of one target versus multiple targets) and the molecular selection of the patients.
One drug, several tumour types
These studies investigate one compound that targets several tumour types. An example is the ongoing phase II study investigating the use of PHA-739358 administered by a 24-h intravenous infusion every 14 days in advanced/metastatic breast, ovarian, colorectal, pancreatic, small cell lung cancer and nonsmall cell lung cancers (NSCLC) [21]. Merging parallel phase II studies into a single trial simplifies the operational aspects, but implies to open centres that treat different types of cancer in order to be able to recruit most, or all, the populations of the study arms.

One drug, one molecular alteration, several tumour types
These studies investigate one compound that targets one specific molecular alteration in several tumour types, two such examples are as follows.

Imatinib mesylate was approved by the US FDA and European Medicines Agency for use on the basis of a single phase II trial basket study of patients with non-gastrointestinal stromal tumour malignancies and Kit mutations. The study population included patients suffering from myelodysplastic or myeloproliferative syndrome diseases, aggressive systemic mastocytosis, hypereosinophilic syndrome/chronic eosinophilic leukaemia and dermatofibrosarcoma protubersans. All these diseases were associated with Abl, Kit or platelet-derived growth factor receptor protein tyrosine kinases. The primary objective was to identify evidence of imatinib activity with tumour response as the primary end-point [22].

Another good example of this study design is study with vemurafenib targeting \( \text{BRAF}^{\text{V600E}} \)-mutated tumours (fig. 1). \( \text{BRAF} \) mutations have been identified in \(~8\%\) of all human cancers; however, the prevalence is highly variable with a high frequency being present in melanoma, thyroid carcinoma and colorectal cancer [23]. The study screened for the \( \text{BRAF}^{\text{V600E}} \) mutation in patients who were diagnosed with a malignancy (excluding melanoma and papillary thyroid cancer) or multiple myeloma refractory to standard therapy, or for whom standard or curative therapy did not exist or was not considered appropriate by the investigator [10].

One drug, several molecular alterations, several tumour types
These studies investigate one compound that targets several molecular alterations in several tumour types, two such examples are as follows.

The first example is the French National AcSé program, which can be considered a large-scale basket trial. It is a biology driven, trans-tumoural, multicentric, phase II study assessing the efficacy and safety of crizotinib as monotherapy in 23 cohorts of patients with at least one alteration between anaplastic lymphoma kinase (\( \text{ALK} \)), \( \text{MET} \), \( \text{RON} \) or \( \text{ROS1} \). A cohort is defined by a histopathology and crizotinib-targeted alteration (e.g. gastric cancer with MET amplification). Among the included tumour types were several sarcoma tumours, gastrointestinal tumours (gastric, colorectal, hepatocarcinoma and cholangiocarcinoma), NSCLC, breast cancer, kidney cancer, ovarian cancer, thyroid cancer, glioblastoma and anaplastic large cell lymphoma. For each cohort, a two-stage design is implemented. Three different statistical designs are considered \textit{a priori} according to the expected response rate and incidence. The study is currently recruiting patients [24]. Given the number of centres (up to 250), this can be viewed as an expanded access programme with a rigorous exploration of the safety and efficacy profile.

![FIGURE 1](image_url) a) Schematic example of a basket trial. These trials are often viewed as parallel, phase II trials for one drug, but within the same legal entity on the basis of a common denominator that can be molecular alteration(s). Most basket trials are histology-independent and aberration-specific clinical trials if a predictive marker is used. These trials are usually tested locally and often fall into the “trial to learn” category. b) Schematic illustration of the Vemurafenib basket trial [10]. NSCLC: nonsmall cell lung cancer. Images courtesy of Gustave Roussy Cancer Campus Grand Paris, Villejuif, France.
The second example is the ongoing multi-tumour CREATE (Cross-tumoral Phase 2 with Crizotinib) trial [25], which explores treatment with crizotinib in patients with advanced disease across six heterogeneous malignancies that are, in part, associated with ALK and/or MET alterations. Each tumour type constitutes a study cohort and each cohort has two sub-cohorts for each molecular subtype (ALK/MET+ and ALK/MET- patients) [25].

**Umbrella trials**

Unlike basket studies, where researchers test the effect of a single drug on a single mutation (usually tested locally) in a variety of cancer types, “umbrella” trials are designed to test the impact of different drugs on...
different mutations in a single type of cancer, on the basis of a centralised molecular portrait performed after obtaining informed consent: one disease, several molecular subtypes, several therapies. This design allows validation of a strategy based on a mixture of biomarkers and drugs (fig. 2).

Umbrella studies require a strong collaboration to be in place, as a consistent molecular profile and harmonisation of the cohorts for each biopsy, assay and medication are needed. In addition, a solid collaboration between academia and industry is required.

An example of a successful umbrella trial is the BATTLE (Biomarker-integrated Approaches of Targeted Therapy for Lung Cancer Elimination) trial [26]. The BATTLE trial was the first prospective, biopsy-mandated, biomarker-based, adaptively randomised study in NSCLC. 255 pre-treated NSCLC patients were adaptively randomised to erlotinib, vandetanib, erlotinib plus bexarotene, or sorafenib, based on relevant molecular biomarkers analysed in fresh core needle biopsy specimens. The BATTLE study establishes the feasibility of “real-time” biopsies, taking a substantial step towards realising personalised lung cancer therapy by integrating real-time molecular laboratory findings in delineating specific patient populations for individualised treatment [26].

The study is characterised by two major phases. In the first phase, patients were randomly assigned in equal ratios to receive one of four treatments. In the second (adaptive) phase, treatments were randomly assigned based on the efficacy results of biomarker testing, i.e. treatment assignment decisions were still randomised but weighting was predicated on the molecular profiling results generated in real time [26]. BATTLE-2, a phase II, randomised, multi-arm study in advanced NSCLC, is currently ongoing and has been built on the experience of the previous study [27].

SAFIR02_Lung (Intergroup Trial UNICANCER UC 0105-1305/IFCT 1301) is an open-label, multicentric, randomised, phase II trial using high-throughput genome analysis for therapeutic decision making [11]. Patients with advanced NSCLC are re-biopsied during the two initial platinum-based chemotherapy cycles. A high-throughput molecular analysis (comparative genomic hybridisation (CGH) array, next-generation sequencing) is performed and analysed during the two subsequent cycles. Patients with a molecular alteration are then randomised between a standard maintenance treatment or a molecular-driven maintenance treatment among six targeted therapies (fig. 2). The study aims to evaluate whether treatment with targeted agents guided by high-throughput molecular analysis (CGH array, next-generation sequencing) improves progression-free survival (PFS; the primary end-point) compared to standard maintenance [11].

FIGURE 2 a) Schematic example of an umbrella trial. These trials embrace centralised analysis to define the molecular portrait and molecularly selected cohorts with matched drugs [7]. These trials may fall into the “trial to conclude” category. b) Schematic illustration of the SAFIR02_Lung trial (Intergroup Trial UNICANCER UC 0105-1305/IFCT 1301). This is an open-label, multicentric, randomised, phase II trial [11]. NSCLC: nonsmall cell lung cancer; EGFR: epidermal growth factor receptor; ALK: anaplastic lymphoma kinase; CGH: comparative genomic hybridisation. Images courtesy of Gustave Roussy Cancer Campus Grand Paris, Villejuif, France.
Another umbrella trial is the WINther (A Study to Select Rational Therapeutics Based on the Analysis of Matched Tumor and Normal Biopsies in Subjects with Advanced Malignancies) study. This study selects rational therapeutics based on the analysis of matched tumour and normal biopsies in subjects with advanced malignancies [28]. It is an academic, international, nonrandomised molecular triage that includes patients with any metastatic solid tumour type who have received at least one prior treatment and are able to undergo biopsy. The main objective of the study is to compare the PFS of the current treatment versus the previously prescribed treatment: i.e. the PFS ratio. The therapeutic decision will be based on an estimated drug efficacy scoring bioinformatics tool, which integrates all data on biomarkers, molecular aberrations, targeted and non-targeted therapies, and predictive algorithms of efficacy. The study is currently recruiting in France, Spain, the USA, Canada and Israel; the aim is to recruit 200 patients in total [28].

Finally, the MATCH (Molecular Analysis for Therapy Choice) trial is an umbrella protocol for multiple single-arm, phase II trials. Approximately 3000 patients will be screened, with 800–1000 participants being enrolled: 25% of whom will have rare cancers, while 75% will have common cancers including breast, lung, colon or prostate cancer. Whole-genome sequencing will be performed on available tissue from the enrolled patients to identify 100 actionable mutations. Co-primary end-points are overall response rate and PFS rate at 6 months [29].

End-point
At present, identifying an end-point that truly represents an indication of compound effectiveness is still a subject of debate in randomised clinical trials. Choosing the most appropriate end-point (i.e. measure of the study objective) is among one of the most necessary factors contributing to effective benefit measurement of investigational treatment.

In the 1970s, the US FDA approved some therapeutic agents based on studies with overall response rate as the primary end-point. However, overall response rate is not a direct measure of benefit.

Overall survival is calculated from the time of randomisation to the time of death and has been the most frequently used primary end-point in lung cancer clinical trials, due to its objectiveness. It is classically and universally accepted by regulatory agencies to provide approval for cancer treatment, as it is precise and easy to measure.

However, in other studies, overall survival might not always be the optimal end-point of choice as it includes non-cancer deaths and might be affected by crossover [30]. At the time when there were no molecular alterations and only one approved chemotherapy line, overall survival was an accurate end-point as it reflected tumour shrinkage and time to progression. One example is the Eastern Cooperative Oncology Group study, which compared four different types of first-line chemotherapy regimens and showed no difference in overall response rate, time to progression and overall survival [31]. With the advance of drug development driven by progress in molecular biology, several lines of treatment are now possible, even in the advanced disease setting. This implies that patients may receive more treatment at the time of progression that could potentially obscure overall survival. Therefore, the use of overall survival as the sole end-point may no longer be the case in this setting.

Symptom end-points could be alternative clinically meaningful end-points as they reflect the patient’s perspective but such end-points suffer due to the difficulty of real blinding, missing data, lack of validated tools and the difficulty of assessing the clinical significance of small changes. New proposals are under investigation, such as the combination of PFS with quality of life assessment, which is particularly meaningful in lung cancer patients [32]. Recently, more and more agents have been approved with an accelerated procedure based on early read-out clinical end-points or surrogate end-points [4, 7].

A surrogate end-point is an end-point intended to replace a clinical end-point of interest that is difficult to observe in a trial, a variable that provides an indirect measurement of the effect in situations where direct measurement of clinical effect is not feasible or practical [33]. Prentice’s criteria state that to be a direct substitute for a clinical benefit end-point, the surrogate end-point must be correlated with the clinical outcome and must also fully capture the net effect of treatment on the clinical outcome [34]. A surrogate end-point can be used if accurate, reliable, reproducible, sensitive and specific [35].

Despite some pitfalls in validating its surrogacy [36], as a strong association between a surrogate and a true end-point and between treatment effect on the surrogate and true end-point need to be proved, PFS has started to be used more frequently in clinical trials as a primary end-point. For locally advanced NSCLC stages, PFS, as a direct measure of the treatment effect on the tumour burden, tumour shrinkage and stabilisation, was hypothesised as a surrogate end-point for overall survival with the aim of both avoiding the bias related to crossover and/or subsequent treatment and of obtaining an earlier assessment of efficacy [30, 37]. Recently, disease-free survival and PFS have been proved to be valid surrogate end-points in an
individual patient data analysis of six meta-analyses of 60 randomised studies for earlier stages and locally advanced disease, respectively [37].

Although PFS has been validated as a surrogate end-point for locally advanced disease, its use in advanced disease is still controversial. The standard assumption in determining sample size in a clinical trial is that the hazard ratio associated with treatment is constant over time, but this assumption has no empirical justification. Indeed, the hazard ratio of death is likely to change after disease progression as PFS differs among the different treatment lines.

This last concept and the limited value of overall survival as a primary end-point have been particularly emphasised in trials studying the use of targeted agents. As a matter, of fact all six randomised studies with epidermal growth factor receptor (EGFR) tyrosine kinase inhibitors (TKIs) in first-line advanced NSCLC have met their primary end-point demonstrating a significant PFS benefit, but did not show any overall survival improvement despite a hazard ratio of ~0.5 for PFS [3, 38–43].

Overall survival is the classical end-point, but powering a trial to show an overall survival benefit can be challenging as it requires a large number of patients and consequently some trade-offs, including: slowing drug development; increasing the cost of medical care; and using patient resources that might be better allocated to investigating other therapies. Moreover, the identification of molecular alterations has led to the definition of subpopulations that can be limited in numbers; some mutations have a prevalence of 1–2% and powering studies for overall survival in such a limited population is particularly challenging.

Survival post-progression (SPP) is a time-to-event measure that can be analysed by using standard methods of survival analysis and, if combined with PFS, provides the overall survival of a patient (OS = PFS + SPP). In general, the longer the SPP, the lower the chance that PFS and overall survival correlate [44].

At disease progression, there are a variety of choices for the patient and the physician to make: 1) continue the same regimen; 2) cross over to the other arm; 3) switch to another treatment (e.g. next-generation inhibitors); or 4) no treatment at all, but commonly this choice is not randomised and therefore not comparable between the study arms. For example, a study on a series of ALK-positive NSCLC patients treated with crizotinib showed that, according to RECIST (Response Evaluation Criteria In Solid Tumors) 1.1, continuing crizotinib beyond disease progression resulted in a significant prolongation of the SPP and consequently of overall survival [45].

An end-point that is currently used in several previously mentioned studies (MOSCATO and WINTHER) is the PFS ratio calculated between the PFS of the therapy selected by a patients tumour’s molecular profiling (b) with the PFS of the most recent prior therapy on which the patient has just experienced progression (a). If the ratio (b/a) is > 1.3, then the molecular selected therapy can be considered beneficial for the patient [46].

In addition, calculating the time between randomisation and disease progression, not of the first treatment but the disease progression after the subsequent treatment, could be an interesting end-point as it would take into account the influence of the treatment under investigation on the following treatment line. Finally, attention should be paid to the development of new end-points based on functional imaging, tumour kinetics and the validation of predictive markers.

Imaging

To date, computed tomography (CT) and magnetic resonance imaging (MRI) have been the most accurate techniques, both in terms of sensitivity and specificity, for tumour response assessment, particularly for thoracic malignancies. As a result, they are recommended by the RECIST criteria.

Functional imaging was developed with the aim of providing additional information as it is able to visualise heterogeneous metabolic processes; techniques include: 2-fluro-2-deoxy-D-glucose (FDG)-positron emission tomography (PET)/CT, [18F]fluorothymidine (FLT)-PET, dynamic contrast enhancing MRI and diffusion-weighted MRI.

FDG-PET/CT is a well-documented and widely used imaging modality for the diagnosis and staging of lung cancer patients due to its higher sensitivity and specificity for lymph node and metastasis detection. It is also increasingly used for the assessment of treatment effects during and after chemotherapy. However, PET is not an accepted surrogate technique for the assessment of response rate in clinical trials [47], and standard CT and MRI are still the most commonly used imaging techniques. Nevertheless, several studies have shown that it has added value in outcome prediction for both standard chemotherapy and EGFR TKIs, as well as in assessing early response [48].

HACHEMI et al. [49] evaluated whether [18F]FDG-PET/CT, performed within 2 weeks of starting erlotinib, could predict tumour response defined by RECIST 1.1 criteria after 8 weeks of treatment in patients with
stage IIIA–IV NSCLC. A \([^{18}F]\)FDG-PET/CT scan within 2 weeks after starting erlotinib therapy could predict response, PFS and overall survival [49]. Despite the success of PET/CT in oncology practice, it has innate limitations in terms of soft tissue contrast resolution and radiation exposure.

The idea of combining PET and MRI has garnered much attention, as it is a combination of molecular information offered by PET and anatomic/potentially functional information with high contrast and spatial resolution provided by MRI. The main advantages are increased resolution, better assessment and characterisation of brain and liver metastases, good soft tissue contrast and minor radiation dose. However, this technique is costly and time consuming, and the interpretation of its results is not yet commonly agreed [50].

FLT-PET is based on the intracellular trapping of the tracer fluorothymidine by thymidine kinase-1, thus, reflecting proliferation. This technique has been widely investigated, although only in small series. FLT-PET uptake has been investigated as a marker for prognostic stratification of advanced NSCLC patients treated with EGFR-TKIs [51], but also as a predictor of early response and relapse [52, 53]. More recently, it has also been investigated to assess response to chemoradiotherapy with promising results, although in very small patient cohorts [54, 55].

Diffusion-weighted MRI depends on the microscopic mobility of water in tissues, and has been used to evaluate tumour microstructure that reflects tumour aggressiveness and response to treatment [56]. The advent of anti-angiogenic drugs in cancer therapy necessitates an imaging modality that can longitudinally assess post-treatment changes in tumour vasculature.

Real-time sonography during contrast administration (dynamic contrast enhancement) requires Doppler ultrasound and a microbubble contrast agent, which enhances the vessel signal and enables blood vessels with a diameter of 40 μm to be detected.

Dynamic contrast enhancing ultrasound provides both morphological and functional perfusion data [57]. It helps evaluate lymph node vascularity and perfusion, and might help in assessing early tumour response [58, 59].

Dynamic contrast enhancing ultrasound is supported by the French National Cancer Institute, which is currently studying this technique in metastatic cancers in order to establish optimal perfusion parameters and timing for quantitative anticancer efficacy assessments [60].

Another new technique that has been developed with the aim of better characterising the tumour vasculature and angiogenesis is perfusion tomography. This technique assesses the temporal changes in tissue attenuation after the intravenous administration of iodinated contrast media. Perfusion tomography has proved to be useful in monitoring response to both chemotherapeutics and targeted agents, identifying tumour heterogeneity and better characterising lesions; e.g. in inflammatory condition cases. However, it has also some limitations such as acquisition effects that are mainly related to motion artefacts (including breathing) and technical reproducibility and variability [61, 62].

**Future challenges: development of immunotherapeutic agents**

Immunotherapy of cancer has entered a new and exciting phase because of the discovery of checkpoint receptor inhibitors, such as cytotoxic T-lymphocyte antigen-4 (CTLA-4) and, more recently, programmed death-1 (PD1) receptor and its ligand. As a matter of fact, antibodies targeting PD1 receptors/PD1 ligands appear to be a breakthrough treatment in terms of clinical impact on one or more tumours, on the basis of clinical evidence that was sufficiently strong enough to allow the accolade of “drug of the year” [63].

Two PD-1 receptors (nivolumab and lambrolizumab) and three PD1 ligands (BMS-936559, MPDL3280A and Medi-4736) have been investigated in phase I studies and are under further development in NSCLC [64]. In all the phase I trials, the maximum tolerated dose was not reached, and all doses were found to be safe. Responses have been confirmed and range from 8% to 25%, and are often higher in the squamous subpopulation (~10–35%). We have no mature data on PFS but it is likely to greater than 1 year in responders.

The frequency of immune-related toxicities from anti-PD1 receptor/ligand treatment is definitely less than that from anti-CTLA-4 treatment, with the exception of pneumonitis. The common drug-related adverse reactions were decreased appetite, anaemia, diarrhoea, nausea, pruritus, fatigue, pneumonitis and elevated transaminase [65–68]. Among the next steps in the further development of these compounds is the identification of predictive subtypes that will respond better to immune-checkpoint blockade. In the MPDL-3280A phase I trial, PD1 ligand-positive tumours showed an overall response rate of 80% versus 14% for the PD1 ligand-negative tumours [67]. The combination of this class of compounds with local and/or systemic treatments is also among the future challenges for their development.
Meanwhile, the identification of the best criteria to assess response is also a matter of discussion. It is well known that objective responses defined by the World Health Organization (WHO) or RECIST are infrequent with immunotherapy. Finding the balance between criteria that would avoid underestimating the antitumor activity of the immunotherapy agent and criteria that would lead to an overestimation is a delicate, but challenging, task [69]. As a matter of fact, in a study investigating a CTL-4A inhibitor, i.e. ipilimumab, different patterns of tumour response were described [70].

Among the most difficult tumour response pattern clinicians face is the “tumour flare”, which has been described as an initial increase in the tumour burden followed by tumour shrinkage. Recognising tumour flare and differentiating it from true treatment failure (disease progression) is key for clinicians and requires both training and development of new tools merged with the clinical insight brought by the patients (modification of performance status and/or symptoms).

Immune-related response criteria have been proposed by a collaborative group of ~200 oncologists, immunotherapists, and regulatory experts on the basis of clinical observations. For the immune-related response criteria only index and measurable new lesions are taken into account and antitumor response is based on the total measurable tumour burden [71]. However these criteria still need to be validated and should be further investigated in studies on checkpoint inhibitors.

Conclusions

Personalised therapy is likely to increase the effectiveness of patient’s treatment, in both a clinically meaningful and cost-effective way once the outstanding hurdles in clinical trial methodology are overcome.

The current challenges for researchers include: determining whether similar aberrations in different histologies (cross-cancer similarity) have a comparable biological significance and can be successfully targeted with the same agents; the presence of mutations of unknown functionality; intra-patient heterogeneity and clonal evolution; the modulation of a target during a clinical trial and/or paradoxical activation of a survival pathway; and logistics (drug supply, rapid turnaround time and large scale size needing intergroup collaborations).

For example, in the case of disease progression, the type of disease progression during treatment with targeted agents is different from the one commonly experienced with chemotherapeutics. Disease progression can be oligo-disease progression (i.e. less than four sites), systemic-disease progression (in more than four sites), or central nervous system disease progression (occurring only in the central nervous system). All these types of disease progression can (and should) be treated with different strategic options [72]. Moreover, an increasing number of genetic abnormalities have been identified; the Lung Cancer Consortium has identified actionable molecular abnormalities in 54% of cases of lung adenocarcinoma [73].

Costs for sequencing are decreasing and are becoming affordable for single investigators [74, 75]; however, the prevalence of the identified molecular alterations is so low in most cases that designing clinical trials in these rare populations is extremely challenging for both academia and pharmaceutical companies.

Therefore, the European Organization for the Research and Treatment of Cancer has undertaken a joint effort in collaboration with the European Thoracic Oncology Platform and has developed SPECTAlung (Screening Program for Efficient Clinical Trial Access for patients with lung cancer). This platform aims at screening patients with any thoracic malignancy at any stage in 15 selected highly specialised and qualified thoracic centres in Europe and offering them access to downstream clinical trials. This project is built on the awareness that creating meaningful data, also through innovative designs, for reimbursement strategies is and will be a major goal of future clinical trials.

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