Nonmonotonous temperature dependence of Shapiro steps in YBCO grain boundary junctions

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Abstract
The amplitudes of the first Shapiro steps for an external signal with frequencies of 72 and 265 GHz are measured as function of the temperature from 20 to 80 K for a 6 μm Josephson grain boundary junction fabricated by YBaCuO film deposition on an yttria-stabilized zirconia bicrystal substrate. Non-monotonic dependences of step heights for different external signal frequencies were found in the limit of a weak driving signal, with the maxima occurring at different points as function of the temperature. The step heights are in agreement with the calculations based on the resistively–capacitively shunted junction model and Bessel theory. The emergence of the receiving optima is explained by the mutual influence of the varying critical current and the characteristic frequency.

Introduction
High-temperature superconducting (HTSC) Josephson junctions (JJs) are of great interest since many physical properties can be observed in dynamics during the changing the temperature within a wide range from nitrogen temperatures down to sub-kelvin, such as the phase diffusion regime [1-3], evidence for a minigap [4], and low-noise nano-junctions [5]. Such abilities raise not only fundamental interest in HTSC JJs but also an active search for ways to practically use such JJs. In recent years, the limiting characteristics of detectors and mixers based on HTSC JJs [6-11] have been actively studied. Josephson junctions have also been used for various spectroscopic applications [12]. In this area, the AC Josephson effect is utilized for the Hilbert-transform spectral analysis [13,14]. It should be noted that the simplest marker of the response level of a Josephson junction to microwave (MW) radiation is...
magnitude of Shapiro steps. In the majority of works, an increase in sensitivity at low temperatures has been demonstrated [15–17], although a part of the papers indicate the receiver’s operation optimum at intermediate temperatures between the liquid nitrogen and helium temperatures [18,19]. The issue of obtaining sharp Shapiro steps is especially important for the development of HTSC Josephson voltage standards, consisting of series arrays of up to tens of thousands Josephson junctions [20,21]. Biased at frequencies in the range of \( \omega/(2\pi) = 70–90 \text{ GHz} \), such arrays provide accurate quantized voltages \( V_n = n\hbar\omega/(2e) \) exceeding 10 V. This accuracy is particularly determined by the magnitude of the response to external radiation. The Shapiro step observation can also be used as a clear probe to the gap symmetry of multigap superconductors [22].

The heights of the MW-induced voltage steps have been measured as a function of the MW power for various Josephson weak links fabricated from high-\( T_c \) superconductors [16,23,24]. The measured amplitudes are often smaller than those predicted by the resistively–capacitively shunted-junction (RCSJ) model [25,26], especially for measurements obtained at high temperatures. However, taking into account the effect of the YBCO junction resistance thermal noise [16] makes it possible to neutralize this difference and obtain a good agreement between the experiment and the theory.

While for low-temperature JJs the temperature dependence of the Shapiro steps is weak [27], for HTSC junctions the response to a MW signal has a general tendency to rise with decreasing temperature, but may have peculiarities for certain sample parameters [19].

In this paper, we investigate the temperature dependence of the first Shapiro step amplitude for an external signal with frequencies of 72 and 265 GHz acting on YBa\(_2\)Cu\(_3\)O\(_{7-\delta}\) (YBCO) film on the surface of 24°[001]-tilt \( \text{Cu}_7\text{YBa}_7\text{O}_{12} \) substrate [37] as the value of the junction capacitance \( C \) was characterized by a precise Keithley low-noise current source and nanovoltmeter using a standard 4-probe technique.

In the RCSJ model to which we compare our experimental results, the junction phase \( \phi \) with an ideal critical current \( I_c \), a resistance \( R_N \) and a capacitance \( C \) are described by the stochastic differential equation [32,33]

\[
I = I_c \sin \phi + \frac{V}{R_N} + C \frac{dV}{dt} + I_{mw} \sin(2\pi f_{mw} t) + I_F, \tag{1}
\]

where the voltage \( V = d\phi/dt \times 2\pi/\Phi_0 \) (\( \Phi_0 \) is the magnetic flux quantum). The thermal fluctuations \( I_F \) are assumed to be a white Gaussian noise with zero mean and correlation function

\[
\langle I_F(t) I_F(t + \tau) \rangle = \frac{k_B T}{4R_N} \delta(\tau).
\]

A simple harmonic signal of the amplitude \( I_{mw} \) and the frequency \( \omega_{mw} = 2\pi f_{mw} \) describes an external high-frequency radiation of the power \( P_{mw} = I_{mw}^2 R_N/2 \). Its effect on the Josephson system particularly depends on the characteristic frequency \( \omega_c = 2eI_c R_N/\hbar \) of the JJ.

Results

First, the current–voltage characteristics (IVCs) were measured, and the value of the critical current as a function of temperature was found, see Figure 1. The \( I_c(T) \) dependence is similar to the experimental observations for other such structures [34–36]. At the same time, the normal resistance of the JJ remained virtually constant, that is, \( R_N = 0.23–0.24 \ \Omega \) within the whole studied temperature range. For the subsequent analysis of the results, we used data from the literature about similar structures of an YBCO bicrystal junction on 24°[001]-tilt \( \text{Zr}_{1-x}\text{Y}_x\text{O}_2 \) substrate [37] as the value of the junction capacitance \( C = 3 \times 10^{-2} \text{ F/m}^2 \times 1.8 \times 10^{-12} \text{ m}^2 = 0.05 \text{ pF} \). This value, according to [35], remains almost unchanged over a wide temperature range.

It is important to understand which parameters vary in the model with the temperature. Figure 1 also shows the change in the Josephson junction characteristic length \( L/\lambda_J \), where

\[
\lambda_J = \sqrt{\Phi_0/(2\pi e I_c d)}
\]

is the Josephson penetration depth,
The dependence of the critical current (black dots) and the characteristic length of the Josephson junction (blue diamonds) on the temperature. The solid curves are spline approximations. The inset shows $F_c = \omega_c/(2\pi)$ versus $T$.

Figure 2 shows the IVCs for temperatures of 70 and 50 K in the absence of a high-frequency signal and in the regime of detecting external 72 or 265 GHz signals. The measurement results are in good agreement with the numerical simulations (the black curves). It should be noted that the radiation power was the same for the measurements at all temperatures. The power level of the two signals, 72 and 265 GHz, was chosen to be near the first minimum of the critical current, and, accordingly, near the first maximum of the first Shapiro step at high temperatures. This can be seen from the IVC for $T = 70$ K and $F_{mw} = 72$ GHz: the critical current is nearly zero, the amplitude of the first step is greater than the amplitude of the second and the third steps. The same picture is observed for the IVC at $F_{mw} = 265$ GHz. The comparison with the numerical model gives an estimate of the power absorbed by the Josephson junction: it is 0.4 $\mu$W for 72 GHz, and $P_{mw} = 3$ $\mu$W for 265 GHz.

The second important parameter is the characteristic frequency $\omega_c$ (or $F_c$) (see the inset of Figure 1). The change of $\omega_c$ radically affects the response of the system to an external MW signal [17]. Essentially, the $\omega_{mw}/\omega_c$ (or $F_{mw}/F_c$) ratio determines if the detection regime is optimal for the junction. This issue is discussed in more details below.

The third important parameter is the thermal noise magnitude, $k_BT$, which affects the smearing of the Shapiro steps, and, accordingly, the decrease in the step size in the region of low radiation power. It is not shown in Figure 1.

Figure 3, essentially the main result of the article, demonstrates the dependence of the first Shapiro step amplitude on the temperature for 72 and 265 GHz radiation at a constant power. The dependences are non-monotonic and have a maximum located at different temperature values for different MW frequencies. In addition, it can be seen that at high temperatures of approx. 80 K, the amplitudes of the steps are close, while with decreasing temperature in the case of 265 GHz radiation, the Shapiro steps become significantly higher than for 72 GHz. The numerical results (the solid curves) based on the experimental data...
describe the experiment at high temperatures well and differ quantitatively at low temperatures. This may be caused by a specific dynamics arising with an increase in the characteristic length of the JJ at low temperatures. Nevertheless, the simulation qualitatively follows the experimental dependence within the entire temperature range.

Figure 3: The dependence of the first Shapiro step amplitude on the temperature for 72 and 265 GHz radiation at a constant power. The dots are the experimental values, the lines are the theory for the temperatures at which the measurements were conducted.

The obtained effect of the optimum in the JJ response is associated with a simultaneous change of several parameters when the temperature changes. For a qualitative analysis, let us consider the expression for the first Shapiro step amplitude [33,45,46]:

\[ \Delta I_1 = I_c \sum_{k=-\infty}^{\infty} J_k(a)J_{-1-k}(a)I_p \left( k - \frac{1}{2} \right) \omega_{mw} \]  \hspace{1cm} (2)

where \( J_k \) and \( J_{-1-k} \) are Bessel functions at \( a = \frac{I_{mw}}{2I_c\omega_{mw}/\omega_c} \). \( I_p \) is a complex function that determines the quadrature components of the supercurrent depending on the Josephson generation frequency. Although this expression is valid for a voltage-biased JJ, it is in a good agreement with measurements for the current-biased regime and RCSJ model [35]. In the case of low signal power and \( \omega_{mw} \ll \omega_c \), the maximum height of the first step is proportional to

\[ \max \Delta I_1 \approx I_c \omega_{mw}/\omega_c. \]  \hspace{1cm} (3)

In the limit of \( \omega_{mw} \approx \omega_c \), the expression for \( \Delta I_1 \) takes the simple form:

\[ \Delta I_1 \approx 2I_c \left[ J_1 \left( \frac{I_{mw}}{2I_c\omega_{mw}/\omega_c} \right) \right]. \]  \hspace{1cm} (4)

Figure 4 shows the theoretical dependence of \( \max \Delta I_1 \) on the frequency for various temperatures. According to Equation 3, the maximum step amplitude increases as the critical current increases and the temperature goes down. At the same time, due to the change in the critical frequency \( \omega_c \) (the inset in Figure 1), the optimal signal detection regime is shifted. That is, for temperatures of 80 K and 70 K and the frequency of 72 GHz, the condition \( \omega_{mw} \approx \omega_c \) is satisfied, and the step heights reach \( = I_c \) and \( = 0.9 I_c \), respectively. At 50 K, \( \max \Delta I_1 \approx I_c \omega_{mw}/\omega_c = I_c F_{mw}/F_c = 3 \text{ mA} \times 72 \text{ GHz}/330 \text{ GHz} = 0.65 \text{ mA} \), and at 20 K, \( \max \Delta I_1 \approx 5 \text{ mA} \times 72 \text{ GHz}/560 \text{ GHz} = 0.64 \text{ mA} \). For 265 GHz signal, the step height almost reaches the limit \( = 0.9 I_c \) at 50 K, while at 20 K, \( \omega_{mw} \) is still far from \( \omega_c \). Summarizing, for low-gigahertz radiation frequencies, lowering the temperature does not gain the response magnitude due to the non-optimal frequency of signal detection. Whereas, the closer \( \omega_{mw} \) to the characteristic frequency, the greater the influence of the critical current increase with the temperature takes place.

In addition to the magnitude of the Shapiro step height maximum, it is important to take into account the period of the Bessel function, which, in the first approximation, determines the response of the JJ to a change in the gigahertz-signal power. Equation 4 shows that as \( \omega_c \) grows, the Bessel function period increases, that is, the derivative \( \Delta I_1/dP_{mw} \) decreases. Figure 5 shows the results of the numerical calculations of the first Shapiro step height versus the external signal power at the temperatures of 70, 50, and 20 K. The upper panel of Figure 5 corresponds to the external signal frequency of 72 GHz. It can be seen that \( \max \Delta I_1 \) is close for all three temperatures, as explained earlier, see Figure 4. Nonetheless, due to the shift in the step maximum position in power, for small signal levels (marked with a vertical dashed line), \( \Delta I_1 \) at 70 K is larger than at 50 and 20 K. The bottom panel of Figure 5 corresponds to a
265 GHz external signal. Here, for different temperatures, there is also a shift in the position of the Shapiro step maximum along the power axis, but it is smaller in comparison with the previous case, since $\omega_{\text{max}}/\omega_c$ is closer to unity. In this case, the increase in the maximum step height with temperature is also significant. Nevertheless, there is an optimum $\Delta I_1$ in temperature due to the competition between two effects, namely an increase in max$\Delta I_1$ with an increase in the critical current and a decrease in $\mathrm{d}\Delta I_1/\mathrm{d}P_{\text{mw}}$ with an increase in the critical current.

The obtained optima arise at certain JJ parameters ($R_\text{N}$, $I_c(T)$, $C$, and $\omega_c(T)$). Depending on these parameters, such maxima may appear [18,19] or not appear [17] in the measurements at an intermediate temperature. For specific purposes and operation regions, it is possible to tune JJ parameters to operate in the optimal regime [47,49]. In addition to JJ characteristics, the operating frequency or the frequency range is important. For low $\omega_{\text{max}}$, the change in the response of the Josephson junction will be small with the temperature [16] since at these frequencies the detection is not optimal. At the same time, at high temperatures, thermal noise will blur the step more than at low temperatures, and with increasing $I_c$ the step height will increase. This also applies to high frequencies close or greater than the gap. Non-monotonous peculiarities in the response will occur at intermediate frequencies at, in fact, the most interesting range from a practical point of view. The same optima of the response can be achieved in the operation temperature range at a low power of the external signal with a higher normal resistance and critical current of the sample.

Therefore, lowering the temperature for the HTSC does not necessarily lead to an improvement in the detection properties of the Josephson junctions. An interesting question for further investigation is the search for an analytical expression for the optimal temperature of receiving an external signal of a given power and frequency for given JJ parameters.

**Conclusion**

The response in the form of the amplitudes of the Shapiro steps to an external signal with frequencies of 72 and 265 GHz was measured for 6 µm YBaCuO bicrystal junctions as a function of temperature in the range from 20 to 80 K. Nonmonotonous dependences of the step height were found in the region of a weak external signal with maxima at various points. The heights of the steps are consistent with calculations based on the RCSJ model and are qualitatively described by Bessel functions. The occurrence of the receiving optima is explained by the mutual influence of the varying critical current and the characteristic frequency. The maximum response to a 72 GHz signal has an optimum at 70 K, while to a 265 GHz signal – at 50 K.

For applied tasks of terahertz imaging [47], mixing [36], and Hilbert-transform spectral analysis [13] it is not possible to vary the incident power over a wide range. The power level is set there by losses, mismatch, and power absorption by the samples under study. Moreover, in applied problems one has to deal with low power levels and a linear response of detector [48]. Specifically in this area of the device operation, the effect described in the paper can be observed.
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