Everolimus for Subependymal Giant Cell Astrocytoma: 5-Year Final Analysis

David N. Franz, MD, Karen Agricola, FNP, Maxwell Mays, BS, Cindy Tudor, PNP, Marguerite M. Care, MD, Katherine Holland-Bouley, MD, PhD, Noah Berkowitz, MD, PhD, Sara Miao, MBA, Séverine Peyrard, MS, and Darcy A. Krueger, MD, PhD

Objective: To analyze the cumulative efficacy and safety of everolimus in treating subependymal giant cell astrocytomas (SEGA) associated with tuberous sclerosis complex (TSC) from an open-label phase II study (NCT00411619). Updated data became available from the conclusion of the extension phase and are presented in this 5-year analysis.

Methods: Patients aged ≥3 years with a definite diagnosis of TSC and increasing SEGA lesion size (≥2 magnetic resonance imaging scans) received everolimus starting at 3mg/m²/day (titrated to target blood trough levels of 5–15ng/ml). The primary efficacy endpoint was reduction from baseline in primary SEGA volume.

Results: As of the study completion date (January 28, 2014), 22 of 28 (78.6%) initially enrolled patients finished the study per protocol. Median (range) duration of exposure to everolimus was 67.8 (4.7–83.2) months; 12 (52.2%) and 14 (60.9%) of 23 patients experienced SEGA volume reductions of ≥50% and ≥30% relative to baseline, respectively, after 60 months of treatment. The proportion of patients experiencing daily seizures was reduced from 7 of 26 (26.9%) patients at baseline to 2 of 18 (11.1%) patients at month 60. Most commonly reported adverse events (AEs) were upper respiratory tract infection and stomatitis of mostly grade 1 or 2 severity. No patient discontinued treatment due to AEs. The frequency of emergence of most AEs decreased over the course of the study.

Interpretation: Everolimus continues to demonstrate a sustained effect on SEGA tumor reduction over ≥5 years of treatment. Everolimus remained well-tolerated, and no new safety concerns were noted.
candiates for surgical resection.9 Since this approval, mTOR inhibitors are now more widely used in the TSC population and recent consensus guidelines have recommended them in treating asymptomatic, growing SEGA.10

Evidence suggests that patients with TSC may require long-term treatment with mTOR inhibitors. In some cases discontinuation of mTOR inhibition has resulted in regrowth of TSC-associated lesions.11,12 For example, a study evaluating an mTOR inhibitor in treating angiomyolipomas, the renal tumors associated with TSC, demonstrated regrowth of lesions in several patients nearly to baseline 1 year after cessation of mTOR treatment.12 However, it should be noted that no formal analysis has been conducted to verify these findings.

Considering the possibility that patients may require long-term or even lifelong treatment, our landmark study continued with a long-term extension phase that allowed patients to be treated with everolimus until the last patient enrolled had been treated for ≥5 years. The study was initiated on January 7, 2007; previously published interim results of a ≥2-year analysis (data cutoff = December 31, 2010) have demonstrated sustained reduction of SEGA volume with everolimus over that time period.13 Updated efficacy and safety data became available after the study completion date of January 28, 2014, and results of the final ≥5-year analysis are presented herein.

Patients and Methods

The design of our prospective, nonrandomized, open-label study has been described in detail previously.8,13 Twenty-eight patients were enrolled and received oral everolimus during a 6-month core phase (primary analysis; data cutoff = December 9, 2009).8 Twenty-seven patients continued treatment in an extension phase that concluded on January 28, 2014. Data from all enrolled patients are included in this analysis. The study was conducted in compliance with good clinical practice guidelines, the protocol was approved by an institutional review board, and study progress was reviewed biannually by a data safety monitoring board. All patients were treated at the Cincinnati Children’s Hospital Medical Center Tuberous Sclerosis Clinic.

Participants

Patients aged ≥3 years at study entry with a clinically definite diagnosis of TSC per modified Gomez criteria14 or positive genetic test and the presence of SEGA (defined by imaging characteristics) with evidence of serial growth (ie, an increase in lesion size on ≥2 magnetic resonance imaging [MRI] scans) were included. Those with serious intercurrent medical illness or other uncontrolled medical disease that could compromise participation in the study were not eligible to participate; however, patients with uncontrolled epilepsy were not excluded. Patients were also not eligible to participate if they had undergone embolization of renal angiomyolipoma within 1 month of initiation of everolimus or had any other recent surgery within 2 months. Those with clinical evidence of impending herniation or focal neurologic deficit related to the patient’s astrocytoma were also excluded. All patients or their parent/legal guardian gave written informed consent before enrollment.

Treatment

All patients were treated with oral everolimus at a dose of 3 mg/m²/day taken either daily or every other day, titrated to achieve target trough blood concentrations of 5 to 15 ng/ml, and adjusted per patient tolerability. Treatment continued until all patients had received ≥60 months of everolimus (last patient, last visit) or had discontinued from the study.

Endpoints and Assessments

The primary efficacy endpoint was the change from baseline at 6 months in the volume of the primary SEGA lesion as determined by independent central radiology review. Volumetric assessment based on brain MRI was performed at baseline, at 3 and 6 months, and every 6 months thereafter. Secondary efficacy endpoints included SEGA response rate, which was the proportion of patients achieving a ≥30% or ≥50% reduction in primary SEGA lesion volume, and duration of SEGA response, which was defined as the time from 30% and 50% SEGA response to SEGA progression (increase from nadir ≥25% in primary SEGA lesion volume or to a value greater than baseline primary SEGA lesion volume). Duration of SEGA response was censored at the last radiological assessment if SEGA progression was not observed before the data cutoff date, any further systemic anti-SEGA therapy was initiated, or death. Seizure activity was monitored through seizure diaries kept by patients or caregivers and through interviews at each clinic visit throughout the entire study.

Statistical Analysis

The full analysis set was the intent-to-treat population that was used for the efficacy analysis and included all patients who received ≥1 dose of everolimus. The safety analysis population included all patients who received ≥1 dose of everolimus and had ≥1 postbaseline safety assessment. Descriptive summary statistics were used for the reduction in primary SEGA lesion.
volume over time, and the proportion of patients achieving ≥30% and ≥50% reduction in SEGA volume from baseline was tabulated. SEGA lesion volume was presented as the median change from baseline over time with bootstrap confidence intervals (CIs) and as a waterfall plot of best percentage change at any time point. Descriptive summary statistics were also used for the duration of SEGA response along with Kaplan–Meier estimates, with 95% CIs. Medians, with 25th and 75th percentiles, were also presented. Patient-reported seizure frequency was tabulated by category and time point.

For safety endpoints, AEs were summarized by primary system organ class and preferred terms. The proportions of patients with severe renal impairment (GFR < 30 ml/min/1.73 m²) and with grade 3 or 4 elevated serum creatinine were provided. Puberty onset was assessed by the age at which the patient attained Tanner stage II. Patient growth was assessed through standard deviation scores for height and weight, defined as Z scores (obtained from the US Centers for Disease Control and Prevention Growth Charts at http://www.cdc.gov/growthcharts), measuring the distance from the population mean in units of standard deviations.

Results

Baseline demographics and patient characteristics are provided (Table 1). The full analysis set and safety population both comprised 28 patients with a median age of 11 years at study initiation. A total of 6 patients discontinued during the course of the study (Fig 1). As reported previously, 3 patients withdrew consent at 4.7, 17.5, and 21.5 months for noncompliance with antiepileptic drug, inability to maintain study visits, and withdrawal of parental consent, respectively.8,13 Since the previous analysis, 1 patient was lost to follow-up (at 31.8 months), 1 patient discontinued for personal reasons (at 60 months), and 1 patient died (at 62.6 months) due to sudden unexplained death in epilepsy. No additional patients withdrew consent.

Treatment Exposure

At the conclusion of our study, the median duration of exposure to everolimus for all patients (N = 28) was 67.8

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### TABLE 1. Baseline Demographics and Disease Characteristics per Independent Central Radiology Review

| Characteristic | Everolimus |
|---------------|------------|
| Total No.     | 28         |
| Median age, yr (range) | 11.0 (3–34) |
| Age categories, No. [%] | |
| 3 to < 12 years | 16 [57.1] |
| ≥12 to < 18 years | 6 [21.4] |
| ≥18 years     | 6 [21.4] |
| Gender, No. [%] | |
| Male          | 17 [60.7] |
| Female        | 11 [39.3] |
| Race, No. [%] | |
| White         | 24 [85.7] |
| Black/African American | 2 [7.1] |
| Mixed         | 2 [7.1] |
| SEGA lesions, No. [%] | |
| 1             | 15 [53.6] |
| 2             | 13 [46.4] |
| Bilateral SEGA, No. [%] | 12 [42.9] |
| Parenchymal invasion, No. [%] | |
| Superficial   | 25 [89.3] |
| Deep          | 2 [7.1] |
| None          | 1 [3.6] |
| Hydrocephalus, No. [%] | 6 [21.4] |
| Prior anti-SEGA therapy, No. [%] | |
| Surgery       | 4 [14.3] |
| Systemic therapy | 2 [7.1] |

SEGA = subependymal giant cell astrocytoma.
(range = 4.7–83.2) months (5.65 years), and the median daily dose intensity was 5.04 (range = 2.0–10.5) mg/m².

The median cumulative everolimus dose over the entire study was 10,336.7 (range = 5597.4–22,497.4) mg/m². For all patients (N = 28) the mean serum everolimus level achieved during study participation was 6.0 ng/ml (range = 1.8 – 12.1). The median everolimus level achieved during study participation (N = 28) was 5.3 ng/ml (range = 1.8–10.4). Fifteen of 28 patients (54%) had mean everolimus levels < 5.5 (range = 1.9–5.4) ng/ml, and 18 of 28 patients (64%) had median everolimus levels < 5.5 (range = 1.9–5.4) ng/ml. Among the 22 patients who completed the entire treatment protocol, Cmin at the last pharmacokinetic sample was <3 ng/ml for 8 patients (36.4%), between 3 and 5 ng/ml for 6 patients (27.3%), between 5 and 10 ng/ml for 7 patients (31.8%), and between 10 and 15 ng/ml for 1 patient (4.5%).

FIGURE 2: Effect of long-term everolimus treatment on subependymal giant cell astrocytoma (SEGA) volume. Postcontrast T1 magnetic resonance images from 4 patients (rows) illustrate SEGA response at 6 months (B, F, J, N) and long-term (C, G, K, O) with everolimus. D, H, L, and P show volumetric measurements for the same patients throughout the entire duration of the study. The arrows point to SEGAs. The red line indicates response in contralateral SEGA in a patient with bilateral lesions. All 4 patients were on active treatment at the time of study completion.
Efficacy

In the previously published primary analysis after 6 months of treatment, primary SEGA lesion volume was reduced by a median 0.80 (range 0.06–6.25) cm$^3$ relative to baseline ($p < 0.001$).\textsuperscript{8} In this final long-term follow-up, the positive effect of everolimus on reduction in primary SEGA volume was maintained at month 60, with a median reduction in primary SEGA volume from baseline of 0.50 (range -0.74 to 9.84) cm$^3$ (n = 23) as determined by central radiology review. Representative scans from 4 patients who were treated with everolimus for up to 80 months are shown in Figure 2. No patients required SEGA surgery during the treatment period. In total, 82.1% (23 of 28) of patients were noted to have a $\geq50\%$ reduction in primary SEGA volume relative to baseline at some point during the treatment period, including 12 patients (12 of 23, 52.2%) at month 60 (Fig 3). Among the 23 patients with $\geq50\%$ reduction at any time, 95.7% were progression free at their last radiological assessment before the data cutoff date, initiation of further systemic anti-SEGA therapy, or study discontinuation. The median duration from first response ($\geq50\%$ reduction) to progression or last radiological assessment in this group was 53.9 (range 0–77.1) months. As observed for the $\geq50\%$ response group, not all responders in the $\geq30\%$ reduction group achieved this reduction within the first 6 months of treatment. Five were identified subsequently, and in 1 case the response appeared 2.5 years after treatment initiation. A comparison of the percentage of tumor reduction at 6 months versus the best reduction at any time point for each patient is shown in Figure 4.

The proportion of patients experiencing seizures on a daily basis decreased from 26.9% (7 of 26) at baseline to 11.1% (2 of 18) at month 60 (Fig 5). In addition, the proportion of patients who were seizure free (>6 months since last seizure before baseline or no seizure since last visit) steadily improved over the first 18 months of treatment, and was maintained over time thereafter (see Fig 5).
Safety

Throughout the duration of the study, all patients required dose interruptions, dose reductions, and/or dose increases mostly due to AEs or protocol requirements. AEs were the primary cause for dose interruptions in 92.9% (26 of 28) of patients at any time over the ≥5-year study, with the most common (≥25% of patients) being upper respiratory tract infection (67.9%; 19 of 28), sinusitis (42.9%; 12 of 28), cellulitis (32.1%; 9 of 28), otitis media (32.1%; 9 of 28), stomatitis (28.6%; 8 of 28), and gastroenteritis (25.0%; 7 of 28).

During the study, all patients experienced at least 1 AE and all patients experienced at least 1 AE that was suspected to be treatment related. The most common treatment-related AEs reported were upper respiratory tract infection (92.9%; 26 of 28) and stomatitis (89.3%; 25 of 28), which were mostly grade 1 or 2 in severity. To note, no AEs led to treatment discontinuation and no new safety signals were noted in this analysis.

Grade 3 and 4 AEs were reported in 50.0% (14 of 28) and 7.1% (2 of 28) of patients, respectively. The most frequent grade 3 AEs suspected to be drug related were cellulitis, pneumonia, sinusitis, and stomatitis (7.1% each), and no grade 4 AEs suspected to be related to study drug were reported. Grade 4 AEs not suspected to be related to study drug included convulsions and sudden unexplained death in epilepsy (1 patient each). SAEs were reported in 32.1% (9 of 28) of patients and consisted mostly of infections or infestations. All patients experienced an AE requiring additional therapy, such as...
symptomatic treatment of stomatitis or a course of antibiotics for infection. In general, however, tolerability (decreasing frequency of emergence of AEs) improved over time (Table 2).

### Renal Function
As of study completion, no major renal impairments were noted. The median GFR was 102 ml/min/1.73 m² at baseline (n = 28; range = 52–189) and 120 ml/min/1.73 m² at week 240 (55 months; n = 24, range = 44–267). No patients had a GFR < 30 ml/min/1.73 m² during the study. Five patients (17.9%) had grade 1 or 2 serum creatinine while taking everolimus; however, no grade 3 or 4 elevations were observed. Four patients (14.3%) developed proteinuria, which was suspected to be treatment related. Three cases were grade 1 and 1 case was grade 2 in severity. Two of these patients developed proteinuria in the sixth year of treatment. One of 4 proteinuria cases resolved without intervention, whereas the remaining 3 cases were ongoing at the time of study completion or discontinuation.

### Amenorrhea
Two patients (of 10 at-risk females aged 10–55 years) aged 13.5 years and 18.8 years experienced grade 1 irregular menses. Each incidence resolved spontaneously or through nondrug intervention after 157 and 291 days, respectively.

### Puberty
At the request of the FDA and the European Medicines Agency, prospective assessments of Tanner stage and hormone levels (eg, follicle-stimulating hormone and

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**TABLE 2. Adverse Events (Regardless of Relationship to Study Medication) by Preferred Term and Year of Emergence Occurring in >15% of Patients**

| Adverse Event                  | ≤12 Months, n = 28 | 13–24 Months, n = 27 | 25–36 Months, n = 25 | 37–48 Months, n = 24 | 49–60 Months, n = 24 | >60 Months, n = 24 |
|-------------------------------|--------------------|----------------------|----------------------|----------------------|----------------------|-------------------|
| Stomatitis                    | 19 (67.9)          | 16 (59.3)            | 11 (44.0)            | 6 (25.0)             | 10 (41.7)            | 5 (20.8)          |
| Upper respiratory tract infection | 16 (57.1)          | 14 (51.9)            | 12 (48.0)            | 11 (45.8)            | 8 (33.3)             | 6 (25.0)          |
| Otitis media                  | 10 (35.7)          | 7 (25.9)             | 4 (16.0)             | 3 (12.5)             | 1 (4.2)              | 1 (4.2)           |
| Sinusitis                     | 10 (35.7)          | 2 (7.4)              | 6 (24.0)             | 3 (12.5)             | 2 (8.3)              | 1 (4.2)           |
| Pyrexia                       | 7 (25.0)           | 2 (7.4)              | 0                    | 1 (4.2)              | 0                    | 0                 |
| Diarrhea                      | 6 (21.4)           | 5 (18.5)             | 2 (8.0)              | 2 (8.3)              | 3 (12.5)             | 1 (4.2)           |
| Dermatitis acneiform          | 6 (21.4)           | 1 (3.7)              | 0                    | 0                    | 0                    | 0                 |
| Cellulitis                    | 5 (17.9)           | 3 (11.1)             | 4 (16.0)             | 3 (12.5)             | 4 (16.7)             | 1 (4.2)           |
| Convulsion                    | 5 (17.9)           | 3 (11.1)             | 1 (4.0)              | 1 (4.2)              | 0                    | 0                 |
| Vomiting                      | 5 (17.9)           | 3 (11.1)             | 0                    | 3 (12.5)             | 4 (16.7)             | 3 (12.5)          |
| Body tinea                    | 5 (17.9)           | 0                    | 1 (4.0)              | 0                    | 0                    | 1 (4.2)           |
| Gastroenteritis               | 4 (14.3)           | 1 (3.7)              | 6 (24.0)             | 5 (20.8)             | 2 (8.3)              | 1 (4.2)           |
| Otitis externa                | 2 (7.1)            | 5 (18.5)             | 3 (12.0)             | 1 (4.2)              | 1 (4.2)              | 0                 |
| Abnormal behavior             | 1 (3.6)            | 1 (3.7)              | 4 (16.0)             | 0                    | 0                    | 1 (4.2)           |
| Skin infection                | 1 (3.6)            | 1 (3.7)              | 4 (16.0)             | 0                    | 0                    | 0                 |
| Pneumonia                     | 1 (3.6)            | 1 (3.7)              | 2 (8.0)              | 4 (16.7)             | 1 (4.2)              | 1 (4.2)           |
| Mouth ulceration              | 0                  | 4 (14.8)             | 3 (12.0)             | 9 (37.5)             | 4 (16.7)             | 4 (16.7)          |
| Nasopharyngitis               | 0                  | 2 (7.4)              | 5 (20.0)             | 4 (16.7)             | 3 (12.5)             | 1 (4.2)           |
| Conjunctivitis                | 0                  | 1 (3.7)              | 1 (4.0)              | 2 (8.3)              | 4 (16.7)             | 1 (4.2)           |
| Laceration                    | 0                  | 0                    | 5 (20.0)             | 1 (4.2)              | 1 (4.2)              | 1 (4.2)           |
luteinizing hormone for both genders, estrogen for females, testosterone for males) at scheduled study visits were added to the protocol 4.25 years after the study began. Only 1 female patient was at Tanner stage I at the first on-study assessment. She attained Tanner stage II during the study at age 10.3 years for both breast development and pubic hair components. Of the 3 male patients with Tanner stage I at the first on-study assessment, none attained Tanner stage II during the study. All 3 of these patients were aged <11 years at the last assessment.

**Patient Growth**

Standard deviation scores for height, height velocity, weight, and weight velocity in patients aged <18 years at study initiation (n = 22) were comparable prior to and after starting everolimus treatment. The percentages of patients with standard deviation score values < 5th percentile or > 95th percentile on height, height velocity, weight, and weight velocity did not significantly increase after the start of everolimus.

**Discussion**

Along with the results from our previously published interim report, this final 5-year extension of our prospective open-label study confirms the sustained efficacy of everolimus in reducing SEGA tumor burden. Long-term everolimus treatment prevented tumor growth and reduced SEGA volume in at least 1 instance in all patients. More than 60% of patients who received treatment for ≥5 years exhibited a clinically relevant (≥30%) reduction in their primary SEGA.

Everolimus appeared to be well tolerated, with new incidences of most AEs decreasing over the study period. Although 6 patients did not complete the study, none of them discontinued treatment due to a drug-related AE and no new safety concerns have arisen since the previous analyses. No patients developed major renal impairments during the study. She attained Tanner stage II during the study at age 10.3 years for both breast development and pubic hair components. Of the 3 male patients with Tanner stage I at the first on-study assessment, none attained Tanner stage II during the study. All 3 of these patients were aged <11 years at the last assessment.

**Observed responses occurred despite a majority of patients (18 of 28; 64%) achieving a median serum level below or just within the usual therapeutic range (5–15ng/ml) for everolimus. This range is based primarily on the use of everolimus for immunosuppression.**

This is noteworthy given initial concerns about the ability of mTOR inhibitors to cross the blood–brain barrier. Efficacy at a lower serum level may result in fewer adverse effects and better tolerability. Patients with TSC typically have comorbidities that reflect the involvement of multiple organs. Unlike a neurosurgical procedure, mTOR inhibitor therapy may cause regression of other lesions, such as angiomyolipomas (kidney), angiofibromas (skin), and lymphangioleiomyomatosis (lung).

Some improvements in seizure control were noted in the initial phase of our study. During the first 6 months of treatment, 9 of 16 patients with available video electroencephalogram (EEG) data experienced a decrease in seizure frequency from baseline, 6 experienced no change, and 1 had an increase in seizure frequency. Although follow-up EEG data are not available for these patients in this final analysis, a review of patient diaries completed over the entire study indicated improvements compared to baseline in patient-reported seizure frequency throughout the study period. At 60 months, half of the patients (9 of 18) were considered seizure free and only 11% (2 of 18) had ≥1 seizures per day compared to 39% (10 of 26) and 27% (7 of 26), respectively, at baseline. However, seizure frequency was not a primary outcome of the study. Observed improvements in seizure frequency, particularly those based on patient-reported entries in seizure diaries, cannot be considered conclusive.

As mentioned previously, limited data suggest that mTOR inhibitors may require continuous use to maintain reductions in TSC-associated tumors. In our study, regrowth of SEGA was observed in 1 patient who had previously met the criteria for treatment success (75% reduction in SEGA volume) at 18 months and then discontinued everolimus. Study drug was restarted 5 months later upon discovery of SEGA regrowth (lesion volume of 0.47cm³ at month 18 increased to 1.31cm³ at month 24), and the patient attained 89% reduction from baseline at his last radiological assessment at month 60. Unfortunately, 2 months later this patient had a seizure while sleeping and died due to convulsion with subsequent positional asphyxia. This patient had a prior history of ventricular arrhythmia and epilepsy.

Neuropsychiatric disorders are common in TSC, and although neuropsychological testing was not performed during the extension phase of our study, our initial analysis after 6 months of treatment found that of 24 patients with available neuropsychiatric data, no changes were seen in neuropsychiatric measurements. It should be noted, however, that testing was hindered in many due to autism and other behavioral disorders.
Abnormalities in white matter have been previously reported in patients with TSC.\(^27\) To examine the diffusion properties of white matter in our patients, an ancillary study was performed on 20 patients with diffusion tensor imaging data available after the initial phase of our study,\(^28\) but not for this final analysis. A significant change from baseline in fractional anisotropy was observed in the internal capsule, corpus callosum, and geniculocalcarine region after 12 to 18 months. Fractional anisotropy had a mean increase of 0.04 ($p < 0.01$) for the combined regions of interest. This evidence suggests that, in patients with TSC, everolimus may possibly modify white matter properties in the brain.\(^28\)

In addition to the lack of long-term diffusion tensor imaging, video EEG, and neuropsychological data noted above, limitations of our study include that it was an open-label, nonrandomized, single-arm study in relatively few patients at a single center. However, similar efficacy and safety were demonstrated in the larger multicenter, phase III, placebo-controlled EXIST-1 trial (NCT00789828).\(^29\) In the EXIST-1 trial, the initial analysis included data up until the last patient randomized had been treated for 6 months. All patients remaining in the study were then offered open-label everolimus for up to 4 years in an extension phase. A recently published interim analysis, which includes 111 patients who received ≥ 1 dose of everolimus, has also demonstrated sustained efficacy on SEGA tumor reduction.\(^30\) Including both the primary and extension phases, patients had been exposed to everolimus for a median of 29.3 months, which is similar to the exposure reported in the previous long-term analysis for our study.\(^13,30\) Approximately 47% of the patients (36 of 76) experienced clinically meaningful reductions (≥50%) in SEGA volume at 96 weeks (~22 months); this is very similar to the 50% of patients achieving the same response at 24 months in our study.\(^13,30\) We expect that the conclusion of the extension phase of the EXIST-1 study in the coming year will further support the findings from this final ≥5-year analysis.

**Conclusions**

To our knowledge, this is the longest prospective clinical trial evaluating an mTOR inhibitor for the treatment of patients with TSC. Over > 5 years of treatment, everolimus prevented growth of SEGA lesions. No patients progressed to require surgical intervention. No unique limiting toxicities were apparent with long-term use, and no effects were seen on patient growth or maturation characteristics. Everolimus appears to be safe and effective in the long-term treatment of SEGA associated with TSC.
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