EXCEDANCE NUMBERS FOR PERMUTATIONS IN COMPLEX REFLECTION GROUPS

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Abstract. Recently, Bagno, Garber and Mansour [Sém. Lotharingien Combin. 56 (2007), Art. B56d] studied a kind of excedance number on the complex reflection groups and computed its multidistribution with the number of fixed points on the set of involutions in these groups. In this note, we consider the similar problems in more general cases and make a correction of one result obtained by them.

1. Introduction

It is well known that there is a single infinite family of groups $G_{r,s,n}$ and exactly 34 other “exceptional” complex reflection groups [4]. The infinite family $G_{r,s,n}$, where $r, s, n$ are positive integers with $s | r$, consists of the groups of $n \times n$ matrices such that

- the entries are either 0 or $r^{th}$ roots of unity;
- there is exactly one nonzero entry in each row and each column;
- the $(r/s)^{th}$ power of the product of the nonzero entries is 1.

The classical Weyl groups appear as special cases: for $r = s = 1$ we have the symmetric group $G_{1,1,n} = S_n$, for $r = 2, s = 1$ we have the hyperoctahedral group $G_{2,1,n} = B_n$, and for $r = s = 2$ we have the group of even-signed permutations $G_{2,2,n} = D_n$.

We say that a permutation $\pi \in G_{r,s,n}$ is an involution if $\pi^2 = 1$. More generally, we define $G_{r,s,n}^m = \{ \sigma \in G_{r,s,n} \mid \sigma^m = 1 \}$. Recently, Bagno, Garber and Mansour [2] studied an excedance number on the complex reflection groups (see [5]) and computed the number of involutions having specific numbers of fixed points and excedances. In this note, we consider the similar problems on the set $G_{r,s,n}^m$.

This paper is organized as follows. In Section 2, we recall some properties of $G_{r,s,n}$ and define some parameters on $G_{r,n} = G_{r,1,n}$ and hence also on $G_{r,s,n}$. In Section 3, 2000 Mathematics Subject Classification. Primary 05A05, 05A15.
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we present our main results and compute the corresponding recurrences together with explicit formulas.

2. Preliminaries

Let $r$ and $n$ be any two positive integers. The group of colored permutations of $n$ digits with $r$ colors is the wreath product $G_{r,n} = \mathbb{Z}_r \wr S_n = \mathbb{Z}_r^n \rtimes S_n$ consisting of all the pairs $(z, \tau)$ where $z \in \mathbb{Z}_r^n$ and $\tau \in S_n$. Let $\tau, \tau' \in S_n$, $z = (z_1, \ldots, z_n) \in \mathbb{Z}_r^n$ and $z' = (z_1', \ldots, z_n') \in \mathbb{Z}_r^n$, the multiplication in $G_{r,n}$ is defined by $(z, \tau) \cdot (z', \tau') = ((z_1 + z_1'-1(1)), \ldots, z_n + z_n'-1(n)), \tau \circ \tau')$, where $+$ is taken modulo $r$.

We use some conventions along this paper. For an element $\sigma = (z, \tau) \in G_{r,n}$ with $z = (z_1, \ldots, z_n)$ we write $z_i(\sigma) = z_i$. For $\sigma = (z, \tau)$, we denote $|\sigma| = (0, \tau), (0 \in \mathbb{Z}_r^n)$.

A much more natural way to present $G_{r,n}$ is the following: consider the alphabet $\Sigma = \{1^0, \ldots, n^0, 1^1, \ldots, n^1, \ldots, 1^{r-1}, \ldots, n^{r-1}\}$ as the set $\llbracket n \rrbracket = \{1, \ldots, n\}$ colored by the colors $0, . . . , r-1$. Then, an element of $G_{r,n}$ is called a colored permutation, i.e., a bijection $\sigma : \Sigma \to \Sigma$ such that if $\sigma(i) = k^{|i|}$ then $\sigma(i^{|i|}) = k^{|i|+j}$ where $0 \leq j \leq r - 1$ and the addition is taken modulo $r$. Occasionally, we write $j$ bars over a digit $i$ instead of $i^{|i|}$. For example, an element $(z, \tau) = ((1, 2, 1, 2), (3, 1, 2, 4)) \in G_{3,4}$ will be written as $(\bar{3}1\bar{2}4)$.

For each $s \mid r$, the complex reflection group can also be defined as:

$$G_{r,s,n} := \{\sigma \in G_{r,n} \mid \text{csum}(\sigma) \equiv 0 \text{ mod } s\},$$

where $\text{csum}(\sigma) = \sum_{i=1}^{n} z_i(\sigma)$.

One can define the following well-known statistics on $S_n$. For any permutation $\sigma \in S_n$, $i \in \llbracket n \rrbracket$ is an excedance of $\sigma$ if and only if $\sigma(i) > i$. We denote the number of excedances by $\text{exc}(\sigma)$. Another natural statistic on $S_n$ is the number of fixed points, denoted by $\text{fix}(\sigma)$. We can similarly define some statistics on $G_{r,n}$. The complex reflection group $G_{r,s,n}$ inherits all of them. Given any ordered alphabet $\Sigma'$, we recall the definition of the excedance set of a permutation $\sigma$ on $\Sigma'$:

$$\text{Exc}(\sigma) = \{i \in \Sigma' \mid \sigma(i) > i\},$$

and the excedance number is defined to be $\text{exc}(\sigma) = |\text{Exc}(\sigma)|$.

We define the color order on the set $\Sigma = \{1, \ldots, n, \bar{1}, \ldots, \bar{n}, \ldots, 1^{r-1}, \ldots, n^{r-1}\}$ for $0 \leq j < i < r$ by $1^{|i|} < 2^{|i|} < \ldots < n^{|i|} < 1^{|j|} < 2^{|j|} < \ldots < n^{|j|}$. We note that there are some other possible ways of defining orders on $\Sigma$, some of them lead to other versions of the excedance number, see for example [1]. For example, given the color order $\bar{1} < \bar{2} < \bar{3} < 1 < 2 < 3$, we write $\sigma = (213) \in G_{3,3}$ in an extended form

$$\left(\begin{array}{cccccccccc}
\bar{3} & \bar{3} & \bar{3} & \bar{1} & \bar{1} & \bar{2} & \bar{2} & 1 & 1 & 3 \\
\bar{1} & \bar{1} & \bar{2} & 1 & 3 & 2 & 1 & 3 & 1 & 2
\end{array}\right),$$

which implies that $\text{Exc}(\sigma) = \{\bar{1}, \bar{2}, \bar{3}, 1, 3, 1\}$ and $\text{exc}(\sigma) = 6$.

Define $\text{Exc}_A(\sigma) = \{i \in \llbracket n - 1 \rrbracket \mid \sigma(i) > i\}$, where the comparison is with respect to the color order, and denote $\text{exc}_A(\sigma) = |\text{Exc}_A(\sigma)|$. For instance, if $\sigma = (1324) \in G_{3,4}$, then $\text{csum}(\sigma) = 5, \text{Exc}_A(\sigma) = \{3\}$ and hence $\text{exc}_A(\sigma) = 1$. 

Now we can define the colored excedance number for $G_{r,n}$ by
\[ \text{exc}^\text{Clr}(\sigma) = r \cdot \text{exc}_A(\sigma) + \text{csum}(\sigma). \]
Let $\Sigma$ be ordered by the color order, and let $\text{exc}(\sigma)$ denote the number of excedances of $\sigma$ with respect to the color order. Then it is not difficult to see that $\text{exc}(\sigma) = \text{exc}^\text{Clr}(\sigma)$ for any $\sigma \in G_{r,n}$ (cf. [1]).

For $\sigma = (z, \tau) \in G_{r,n}$, $|\sigma|$ is the permutation of $[n]$ satisfying $|\sigma|(i) = \tau(i)$. We say that $i \in [n]$ is an absolute fixed point of $\sigma \in G_{r,n}$ if $|\sigma|(i) = i$. We denote the number of absolute fixed points of $\sigma \in G_{r,n}$ by $\text{fix}(\sigma)$.

3. Main results and proofs

Recall that $G_{r,s,n}^m = \{ \sigma \in G_{r,s,n} \mid \sigma^m = 1 \}$. Define
\[
H_{r,s,n}^{(m)}(u, v, w) = \sum_{\sigma \in G_{r,s,n}^m} u^{\text{fix}(\sigma)} v^{\text{exc}_A(\sigma)} w^{\text{csum}(\sigma)},
\]
\[
\mathcal{H}^{(m)}_{r,s}(x; u, v, w) = \sum_{n \geq 0} H_{r,s,n}^{(m)}(u, v, w) \frac{x^n}{n!}.
\]
It is well known that the Eulerian number, $A_{d-1,k}$, is the number of permutations on $[d - 1]$ with $k - 1$ excedances for $k \in [d - 1]$, which is also the number of cyclic permutations in $S_d$ with $k$ excedances. A bijective proof of this fact is given in [3, Theorem 1.19].

Our main result can be formulated as follows.

**Theorem 3.1.** For any integers $r, m \geq 1$, the generating function $\mathcal{H}_{r,1}^{(m)}(x; u, v, w)$ is
\[
\exp \left\{ \sum_{\ell \geq 0} \frac{x^d}{d!} \sum_{k \geq 1} A_{d-1,k} \sum_{i=0}^k \binom{k}{i} v^k w^i U^{(i)}_{d-k,t} u^t \right\},
\]
where $U^{(i)}_{d-k,t}$ is the coefficient of $x^i$ in $(x + x^2 + \cdots + x^r)^i (1 + x + \cdots + x^{r-1})^{d-k}$, i.e.,
\[
U^{(i)}_{d-k,t} = \sum_{j=0}^i (-1)^{i-j} \binom{i}{j} \sum_{\ell \geq 0} (-1)^\ell \binom{d+j-k}{\ell}\binom{d+j+t-k-\ell r-1}{\ell} t^{\ell r}.
\]

**Proof.** For any $\pi \in G_{r,1,n}^m$ the length of each cycle of $\pi$ is a factor of $m$. Therefore there exist $k_1, k_2, \ldots, k_{d-1} \in [n-1]$ with $d \mid m$ such that $k_1, k_2, \ldots, k_{d-1}$ and $n$ form a cycle of $|\pi|$.

If $d = 1$, that is, $\pi(n) = n^{[j]}$ for some $j$ with $0 \leq j \leq r - 1$, then $\pi^m(n) = n^{[jm]} = n$, which implies that $r \mid jm$. Define $\pi' \in G_{r,1,n-1}^m$ by ignoring the last digit of $\pi$. Then we have
\[
\text{fix}(\pi) = \text{fix}(\pi') + 1,
\]
\[
\text{exc}_A(\pi) = \text{exc}_A(\pi'),
\]
\[
\text{csum}(\pi) = \text{csum}(\pi') + j.
\]

If $d \geq 2$, we know that there are $A_{d-1,k}$ cyclic permutations in $S_d$ with $k$ excedances for $k \in [d - 1]$. For any cyclic permutation $C$ of length $d$ in $S_d$ with
\[
\text{Exc}(C) = \{ j \in [d - 1] \mid C(j) > j \}
\]
such that $\text{exc}(C) = k$, we can color the symbols in $C$ with the color set $\{0, [1], \ldots, [r-1]\}$ and obtain the colored cyclic permutation $C'$. Suppose that $\text{exc}_A(C') = k - i$. We know that $\text{Exc}_A(C') \subseteq \text{Exc}(C)$, which means that $\text{exc}(C) - \text{exc}_A(C) = i$. In other words, there are $i$ symbols in $\text{Exc}(C)$ with color numbers ranging from $[1]$ to $[r-1]$. Clearly, there are $\binom{\ell}{i}$ ways to do this.

Let $t = \text{csum}(C')$ and $t_\ell$ be the color number of $\ell \in [d]$. Then we have the equation $t = t_1 + t_2 + \cdots + t_d$ with $0 \leq t_1, t_2, \ldots, t_d \leq r - 1$ such that

- $t_j = 0$ for $j \in \text{Exc}(C)$ and $j$ has color number $[0]$, and
- $1 \leq t_j \leq r - 1$ for all $j \in \text{Exc}(C) - \text{Exc}_A(C')$; there are $i$ such $j$’s.

Therefore there are $U_{d-k,t}^{(i)}$ solutions of the above equation. In total, there are $\binom{k}{i} U_{d-k,t}^{(i)}$ ways to color the symbols in $C$ such that $\text{csum}(C') = t$ and $\text{exc}_A(C') = k - i$, where $U_{d-k,t}^{(i)}$ is the coefficient of $x^t$ in $(x + x^2 + \cdots + x^{r-1})^i(1 + x + \cdots + x^{r-1})^{d-k}$, which can be expressed as

$$U_{d-k,t}^{(i)} = [x^t]\left(\frac{1 - x^r}{1 - x}\right)^i \left(\frac{1 - x^r}{1 - x}\right)^{d-k} = [x^t] \sum_{j=0}^{i} (-1)^{i-j} \binom{i}{j} \left(\frac{1 - x^r}{1 - x}\right)^{d+j-k} = \sum_{j=0}^{i} (-1)^{i-j} \binom{i}{j} \sum_{\ell \geq 0} (-1)^\ell \binom{d+j-k}{\ell} \binom{d+j+t-k-\ell r-1}{t-\ell r}.$$ 

Let $C' = (i_1^{[t_1]}, i_2^{[t_2]}, \ldots, i_d^{[t_d]})$. Then $C'^n = (i_1^{[tm]}, i_2^{[tm]}, \ldots, i_d^{[tm]}) = 1$ implies that $r \mid tm$. For any $\pi \in \mathcal{G}_{r,1,n}^m$ such that the symbol $n$ lies in a cycle $C'$ of length $d \geq 2$ with $d \mid m$ (note that there are $\binom{n-1}{d-1}$ ways to choose the digits of such a cycle), define $\pi'' \in \mathcal{G}_{r,1,n-d}^m$ in the following way: write $\pi$ in its complete notation, i.e., as a matrix of two rows, see $(\ast)$. The first row of $\pi''$ is $(1, 2, \ldots, n - d)$ while the second row is obtained from the second row of $\pi$ by ignoring the digits in $C'$, and “standardizing” the remaining digits, that is, by replacing the $i$-th largest of the remaining digits by $j$ (keeping the colors). For example, if $\pi = (3412) \in \mathcal{G}_{2,1,3}^4$ and $C' = (1, 3)$, then $\pi'' = (21)$. The parameters satisfy

$$\text{fix}(\pi) = \text{fix}(\pi''),$$
$$\text{exc}_A(\pi) = \text{exc}_A(\pi'') + \text{exc}_A(C'),$$
$$\text{csum}(\pi) = \text{csum}(\pi'') + \text{csum}(C').$$

The above considerations lead to the following recurrence:

$$H_{r,1,n}^{(m)}(u, v, w) = H_{r,1,n-1}^{(m)}(u, v, w) \sum_{\{t|0 \leq t < r, tm\}} uw^t + \sum_{d|m, d \geq 2} H_{r,1,n-d}^{(m)}(u, v, w) \binom{n-1}{d-1} A_{m,d}(v, w),$$

where $A_{m,d}(v, w)$ is the $d$-th $\mathcal{G}_{r,1,n-d}$-Aronszajn number.
where

\[ A_{m,d}(v, w) = \sum_{k=1}^{d-1} A_{d-1,k} \sum_{i=0}^{k} \binom{k}{i} v^{k-i} \sum_{r \in \mathbb{N}} U_{d-k,t}^{(i)} w^t. \]

Rewriting the recurrence in terms of generating functions, we obtain that

\[ \frac{\partial}{\partial x} \mathcal{H}_{r,1}^{(m)}(x; u, v, w) = \sum_{n \geq 1} H_{r,1,n}^{(m)}(u, v, w) \frac{x^{n-1}}{(n - 1)!} \]

\[ = \sum_{n \geq 1} \frac{x^{n-1}}{(n - 1)!} H_{r,1,n-1}(u, v, w) \sum_{\{t : 0 \leq t < r \} \cap m} uw^t \]

\[ + \sum_{d|m,d \geq 2} A_{m,d}(v, w) \frac{x^{d-1}}{(d - 1)!} \sum_{n \geq d} \frac{x^{n-d}}{(n-d)!} H_{r,1,n-d}(u, v, w) \]

\[ = \mathcal{H}_{r,1}^{(m)}(x; u, v, w) \left( \sum_{\{t : 0 \leq t < r \} \cap m} uw^t + \sum_{d|m,d \geq 2} A_{m,d}(v, w) \frac{x^{d-1}}{(d - 1)!} \right). \]

Thus, the generating function \( \mathcal{H}_{r,1}^{(m)}(x; u, v, w) \) satisfies

\[ \frac{\partial}{\partial x} \frac{\mathcal{H}_{r,1}^{(m)}(x; u, v, w)}{\mathcal{H}_{r,1}^{(m)}(x; u, v, w)} = \sum_{\{t : 0 \leq t < r \} \cap m} uw^t + \sum_{d|m,d \geq 2} A_{m,d}(v, w) \frac{x^{d-1}}{(d - 1)!}. \]

Integrating both sides of the above differential equation with respect to \( x \), and using the fact that \( \mathcal{H}_{r,1}^{(m)}(0; u, v, w) = 1 \), we obtain the explicit expression for \( \mathcal{H}_{r,1}^{(m)}(x; u, v, w) \) given in Theorem 3.1. This completes the proof of the theorem.

In particular, if \( m = p \) is a prime, then we have the following corollary.

**Corollary 3.2.** Let \( r \geq 1 \), and let \( p \) be a prime. The generating function \( \mathcal{H}_{r,1}^{(p)}(x; u, v, w) \) is given by

\[ \exp \left\{ ux \lambda_{r,p}(w) + \frac{x^p}{p!} \sum_{k=1}^{p-1} A_{p-1,k} \sum_{i=0}^{k} \binom{k}{i} v^{k-i} \sum_{j \geq 0} U_{p-k,j}^{(i)} w^j \right\}, \]

where \( \lambda_{r,p}(w) = \sum_{i=0}^{p-1} w^i \) for \( p \mid r \), and \( \lambda_{r,p}(w) = 1 \) for \( p \nmid r \).

For the sake of comparison, the cases \( p = 2 \) and \( p = 3 \) in Corollary 3.2 generate the following explicit formulas for \( \mathcal{H}_{r,1}^{(2)}(x; u, v, w) \) and \( \mathcal{H}_{r,1}^{(3)}(x; u, v, w) \):

\[ \mathcal{H}_{r,1}^{(2)}(x; u, v, w) = \exp(ux \lambda_{r,2}(w) + \frac{x^2}{2} (v + (r - 1)w^r)), \]

\[ \mathcal{H}_{r,1}^{(3)}(x; u, v, w) = \exp(ux \lambda_{r,3}(w) + \frac{x^3}{6} B_{3,3}(v, w)), \]

where \( B_{3,3}(v, w) = v^2 + v(1 + 3(r - 1)w^r) + (r^2 - 1)w^r + (r - 1)(r - 2)w^{2r} \).

Now let us compute the exponential generating function \( \mathcal{H}_{r,s,n}^{(m)}(x; u, v, w) \) for the sequence \( \{H_{r,s,n}^{(m)}(u, v, w)\}_{n \geq 0} \). For any \( \sigma \in G_{r,s,n}^{m} \), we have \( \text{csum}(\sigma) \equiv 0 \pmod{s} \), so we should collect all the terms in which the exponent of \( w \) in \( \mathcal{H}_{r,1}^{(m)}(u, v, w) \) is a multiple of \( s \). This observation leads to the following result.
Theorem 3.3. For \( r, m, s \geq 1 \), let \( \mathcal{H}_{r,1}^{(m)}(x; u, v, yw) = \sum_{n \geq 0} G_{m,r,n}(x; u, v, w)y^n \). Then
\[
\mathcal{H}_{r,s}^{(m)}(x; u, v, w) = \sum_{k \geq 0} G_{m,r,sk}(x; u, v, w).
\]

Now let us focus on the case \( m = 2 \). Recall that
\[
\mathcal{H}_{r,1}^{(2)}(x; u, v, w) = \begin{cases} 
eu(x + \frac{1}{2}x^2(v+(r-1)w^r)), & \text{if } r \text{ odd,} \\ e^{ux(1+\frac{1}{2}x^2)+\frac{1}{2}x^2(v+(r-1)w^r)}, & \text{if } r \text{ even.} \end{cases}
\]

Then, by Theorem 3.3, we can compute an explicit formula for \( \mathcal{H}_{r,s}^{(2)}(x; u, v, w) \). Since \( s \mid r \), we have two cases, depending on whether \( r \) is odd or even.

- If \( r \) is an odd number, then it is clear that the exponent of \( y \) in each term of the expansion of \( \mathcal{H}_{r,1}^{(2)}(x; u, v, yw) \) is always a multiple of \( s \). Hence,
\[
\mathcal{H}_{r,s}^{(2)}(x; u, v, w) = \mathcal{H}_{r,1}^{(2)}(x; u, v, w).
\]

- Similarly, if \( r \) is an even number and \( s \mid \frac{r}{2} \), we have
\[
\mathcal{H}_{r,s}^{(2)}(x; u, v, w) = \mathcal{H}_{r,1}^{(2)}(x; u, v, w).
\]

- Let \( r \) be any even number such that \( s \mid \frac{r}{2} \). Since \( e^{ux(1+\frac{1}{2}x^2)} = e^{ux} \sum_{k \geq 0} \frac{(uxyw)^k}{k!} \) and \( e^{\frac{1}{2}x^2(v+(r-1)(yw)^r)} = e^{\frac{1}{2}x^2} \sum_{k \geq 0} \frac{((r-1)x^2(yw)^r)^k}{2^k k!} \), then by collecting the coefficients of powers of \( y \) in \( \mathcal{H}_{r,1}^{(2)}(x; u, v, w) \), we obtain
\[
e^{\frac{1}{2}x^2(v+(r-1)(yw)^r)} \sum_{k \geq 0} \frac{(ux)^{2k}(yw)^{kr}}{(2k)!} = e^{ux+\frac{1}{2}x^2(v+(r-1)(yw)^r)} \frac{e^{uxyw^\frac{r}{2}} + e^{-uxw^\frac{r}{2}}}{2}.
\]

Therefore, the above cases yield the following result.

Proposition 3.4. We have
\[
\mathcal{H}_{r,s}^{(2)}(x; u, v, w) = \begin{cases} 
eu(x + \frac{1}{2}x^2(v+(r-1)w^r)), & \text{if } r \text{ odd,} \\ e^{ux(1+\frac{1}{2}x^2)+\frac{1}{2}x^2(v+(r-1)w^r)}, & \text{if } r \text{ even and } s \mid \frac{r}{2}, \\ e^{ux+\frac{1}{2}x^2(v+(r-1)w^r)} \frac{e^{uxyw^\frac{r}{2}} + e^{-uxw^\frac{r}{2}}}{2}, & \text{if } r \text{ even and } s \nmid \frac{r}{2}. \end{cases}
\]

Note that \( \mathcal{H}_{r,s}^{(2)}(x; u, v, w) \) is the generating function for the number of involutions in \( G_{r,s,n}^{(2)} \). By expanding generating functions, Bagno, Garber and Mansour [2] obtained explicit formulas for the number of involutions in \( G_{r,s,n}^{(2)} \). But the expression in Proposition 5.7 of [2] must be corrected in the third case, with the correct formula appearing above in the third case of Proposition 3.4. Hence, Corollaries 5.8 – 5.10 in [2] should be, in fact, the following three corollaries, respectively.

Corollary 3.5. The polynomial \( H_{r,s,n}^{(2)}(u, v, w) \) is given by
\[
\sum_{k_1+2k_2+2k_3=n} \frac{n!}{k_1!(2k_2)!k_3!} \cdot \frac{u^{k_1+2k_2}w^{r_2}(v + (r-1)w^r)^{k_3}}{2^{k_3}}.
\]
Corollary 3.6. Let \( r \geq 1 \). The number of colored involutions in \( G^{(2)}_{r,s,n} \) (\( r \) even, \( s \nmid \frac{r}{2} \)) with exactly \( k \) absolute fixed points and \( \text{exc}_A(\pi) = \ell \) is given by

\[
\sum_{k+2k_3=n,k_1+2k_2=k} \binom{k_3}{\ell} \cdot \frac{n!}{k_1!(2k_2)!k_3!} \cdot \frac{(r - 1)^{k_3 - \ell}}{2^{k_3}}.
\]

Corollary 3.7. The number of involutions \( \pi \in G^{(2)}_{r,s,n} \) (\( r \) even, \( s \nmid \frac{r}{2} \)) with \( \text{exc}^{\text{Clr}}(\pi) = k \) is given by

\[
\sum_{k_1+2k_2+2k_3=n, \ r(k_2+k_3)=k} \frac{n!}{k_1!(2k_2)!k_3!} \cdot \left( \frac{r}{2} \right)^\frac{k}{2}.
\]

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