The characteristics of the frequent exacerbator with chronic bronchitis phenotype and non-exacerbator phenotype in patients with chronic obstructive pulmonary disease: a meta-analysis and system review

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Abstract

Objective: To investigate the clinical characteristics between the frequent exacerbator with chronic bronchitis (FE-CB) phenotype and the non-exacerbator (NE) phenotype among patients with chronic obstructive pulmonary disease (COPD).

Methods: We searched CNKI, Wan fang, Chongqing VIP, China Biology Medicine disc, PubMed, Cochrane Library, and EMBASE databases for relevant studies published as of April 30, 2019. All studies that investigated COPD patients with the FE-CB and NE phenotypes and which qualified the inclusion criteria were included. Cross-Sectional/Prevalence Study Quality recommendations were used to measure methodological quality. RevMan5.3 software was used for meta-analysis.

Results: Ten case-control studies (n=8848) were included. Compared with the NE phenotype, patients with the FE-CB phenotype showed significantly lower forced vital capacity percent predicted (FVC%pred) [mean difference (MD) -6.69, 95% confidence interval (CI) -7.73--5.65, P<0.001, I²=5%], forced expiratory volume in one second percent predicted (FEV1%pred) (MD -8.50, 95% CI -11.36--5.65, P<0.001, I²=91%), and forced expiratory volume in one second/forced vital capacity (FEV1/FVC) (MD -3.76, 95% CI -4.58--2.95,P<0.001, I²=0%); in contrast, the quantity of cigarettes smoked (pack-years) (MD 3.09, 95% CI 1.60--4.58, P<0.001, I²=41%), COPD assessment test (CAT) score (MD 5.61, 95% CI 4.62--6.60, P<0.001, I²=57%), modified Medical British Research Council (mMRC) score (MD 0.72, 95% CI 0.63--0.82, P<0.001, I²=57%), exacerbations in previous year (2.65, 95% CI 2.32--2.97, P<0.001, I²=91%), body mass index (BMI), obstruction, dyspnea, exacerbations (BODEx) (MD 1.78, 95% CI 1.28--2.28, P<0.001, I²=91%), and Charlson comorbidity index (MD 0.47, 95% CI 0.37--0.58, P<0.001, I²=0] were significantly higher in patients with FE-CB phenotype. No significant between-group difference was observed with respect to BMI (MD-0.14, 95% CI -0.70--0.42, P=0.62, I²=75%).

Conclusion: COPD patients with the FE-CB phenotype had poorer pulmonary function and higher CAT score, the quantity of cigarettes smoked (pack-years), frequency of acute exacerbations, and mMRC scores than those with the NE phenotype.

Background

Chronic obstructive pulmonary disease (COPD) is characterized as a heterogeneous disease[1-3]. The Spanish Guidelines for Management of Chronic Obstructive Pulmonary Disease (GesEPOC) attempt to identify and elaborate this heterogeneity by characterizing various phenotypes in order to guide individualized diagnosis and treatment. Since its publication in 2013, the guidelines have been gradually referred to by researchers in other countries and have been constantly updated. On the basis of the risk stratification and clinical manifestations, the GesEPOC 2017 have incorporated some modifications to the COPD phenotypes to better reflect the differences of various COPD phenotypes observed in clinical practice.

GesEPOC identifies four phenotypes: non-exacerbator (NE), asthma-COPD overlap (ACO), exacerbator with emphysema (FE-E), and frequent exacerbator with chronic bronchitis (FE-CB) [4, 5].

In our previous studies, we had explored the characteristics of the FE-CB phenotype and the ACO phenotype in COPD patients. However, the characteristics of the FE-CB phenotype and the NE phenotype in patients with COPD is still controversial [5].

The GesEPOC 2017 provides guidance for the diagnosis and treatment of patients with the FE-CB and NE phenotypes. Whether high-risk FE-CB patients or high-risk NE patients, initial treatment can choose the combination of long-acting β2-agonists and long-acting muscarinic antagonists. However, for high-risk patients with the FE-CB phenotype, the best treatment is guided by the individual characteristics of the patient. The optional drugs include inhaled corticosteroids, phlegm-resolving drugs, and antibiotics[4]. GesEPOC 2017 recommended long-term use of macrolide antibiotics to reduce the number of acute exacerbations in high-risk COPD patients who experienced more than three acute exacerbations in the past year[4].
However, these two phenotypes are not well characterized with respect to the epidemiology, risk factors, pathogenesis, clinical features, and prognosis. In terms of clinical characteristics, there is conflicting evidence of the association of these phenotypes with smoking, pulmonary function, COPD Assessment Test (CAT) score, frequency of acute exacerbations, body mass index (BMI), St. George's questionnaire score (SGRQ), and complications. In a study, patients with FE-CB phenotype showed worse pulmonary function, higher CAT score, worse endurance to physical labor, and higher incidence of heart failure, anxiety, depression, and other complications. Among all phenotypes, FE-CB was associated with more than three complications. In some studies, patients with the FE-CB phenotype showed lower forced vital capacity percent predicted (FVC%pred), forced expiratory volume in one second percent predicted (FEV\textsubscript{1}\%pred), forced expiratory volume in one second/FVC, and forced expiratory volume in one second (FEV\textsubscript{1}) as compared to those with the NE phenotype; however, other studies have revealed opposite results (FEV\textsubscript{1}\%pred, FEV\textsubscript{1}/FVC, FEV\textsubscript{1}).

In this study, we sought to investigate the differences in smoking, pulmonary function, CAT, and BMI between COPD patients with the FE-CB phenotype and those with the NE phenotype; the objective was to better characterize the clinical features of these two phenotypes.

**Research Methods**

This meta-analysis was performed according to the Meta-Analysis of Observational Studies in Epidemiology (MOOSE) guidelines. Document retrieval and screening programs were established in advance.

1.1 Search Strategy

We searched CNKI, Wan fang, Chongqing VIP, China Biology Medicine disc, PubMed, Cochrane Library, and EMBASE databases from the times of their inception to April 30, 2019. The language was restricted to English or Chinese. Referring to our previous research, the research was obtained using the following keywords or combinations: “Chronic Obstructive Pulmonary Disease” or “COPD”; merging “Non-exacerbators” or “Nonexacerbators” or “nonexacerbator” or “non-frequent exacerbators with chronic bronchitis or emphysema” or “non-exacerbator phenotype with either chronic bronchitis or emphysema” or “NE” or “NONEX” or “NE-CB/E” or “NON-AE”, merging “frequent exacerbator(s) with chronic bronchitis” or “exacerbator(s) with chronic bronchitis” or “exacerbator phenotype with chronic bronchitis” or “FE-CB”. In order to avoid omissions, the references of relevant reviews and meta-analyses were manually screened.

1.2 Inclusion and exclusion criteria

Inclusion criteria: 1) COPD patients; 2) the characteristics of FE-CB phenotype and NE phenotype were reported; 3) main outcomes: FEV\textsubscript{1}\%pred, FEV\textsubscript{1}, FEV\textsubscript{1}/FVC, FVC\%pred, FVC, and the diffusing capacity for carbon monoxide (DLCO). Secondary outcomes: smoking, body mass index (BMI), symptoms, frequency of acute exacerbations in previous year, CAT score, modified Medical Research Council (mMRC) dyspnea scale score, BMI, obstruction, dyspnea, exacerbations(BODE\textsubscript{Ex}) [or BMI, obstruction, dyspnea, exercise capacity (BODE)], complication, and Charlson comorbidity index. Studies were included only if they reported at least one of the main outcomes. 4) Cross-sectional observation study, case-control study, cohort study, clinical randomized trials, and semi-randomized trials.

Exclusion criteria: 1) repetitive articles; 2) plagiarized literature; 3) study design defects; 4) incomplete data or the inability to extract relevant data.

1.3 Data extraction and quality assessment

The literature selection and data extraction were performed by two researchers (Jianjun Wu, Yingxue Zhang) independently. Disagreements were determined by discussion or by a third co-author (Hong-ri Xu). The quality assessment was analyzed by Cross-Sectional/Prevalence Study Quality recommendations. The criterion contains 11 items. Each item was rated as “yes”
(1 point), "no" (0 point), and "unclear" (0 point). The included studies were categorized as follows: low quality (0-3), moderate quality (4-7), and high quality (8-11).

1.4 Observation indicators

The following information was extracted: the researchers (author name, date of publication, language, country, study type) and the research (sample size, average age, symptoms, pulmonary function, smoking, exacerbations in previous year, mMRC score, and other indexes).

1.5 Publication bias assessment

When more than ten studies were included in the meta-analysis, we evaluated potential publication bias by funnel plots and quantified by the Begg[19] and the Harbord[20] tests.

1.6 Data analysis

The statistical analyses were conducted using Rev Man 5.3. Continuous variables were evaluated using the mean difference (MD) with 95% confidence intervals (CIs). Dichotomous variables were evaluated using the odds ratio (OR) or relative risk (RR) with 95% CIs. P<0.05 was considered statistically significant. The heterogeneity was evaluated by I². If the heterogeneity was not significant (P>0.1 and I²<50%), the fixed effect model was used. If the heterogeneity was significant (P<0.1 and I²>50%), the random-effects model was used.

Results

2.1 Literature Search

372 articles were retrieved initially through electronic database searching and manual search. 356 studies were excluded after reading titles and abstracts. After a full-text review, 10 studies met the inclusion criteria and were included in the meta-analysis. The screening procedure is illustrated in Figure 1, Additional file 1: Flow Diagram, Table S1, and Text S1.

2.2 Basic characteristics of the included studies

8849 patients from ten studies[9-18] were included, of which 2699 patients with the FE-CB phenotype and 6150 patients with the NE phenotype. Study characteristics are summarized in Table 1.

2.3 Quality evaluation

Of the 10 studies included, 7 were moderate quality and 3 were high quality. AS show in Table 2.

2.4 Comparison of the characteristics of COPD patients between the FE-CB and the NE phenotypes

2.4.1 FEV₁%pred

As shown in Figure 2, ten included studies[9-18] had reported FEV₁%pred. Nine studies[9-17] had reported that compared with the NE phenotype, the FEV₁%pred of the FE-CB phenotype was lower. However, one other study[18] showed that there was no significant between the two phenotype. Meta-analysis showed that compared with the NE phenotype, the FEV₁%pred of the FE-CB phenotype was lower (MD -8.50, 95% CI -11.36–-5.65, P<0.001, I²=91%) (Figure 2). Sensitivity analysis revealed that the heterogeneity was belonged to the studies by Calle Rubio et al.[18], Qing et al.[17], and Koblizek et al.[13]. After excluding these studies, the result showed that compared with the NE phenotype, the FEV₁%pred of the FE-CB phenotype was lower (MD -7.46, 95% CI -8.52–-6.40, P<0.001, I²=10%) (Additional file 1: Figure S1).
2.4.2 FEV$_1$

Three included studies$^{[14, 17, 18]}$ had reported FEV$_1$. The heterogeneity among the samples was large, and only descriptive analysis was done. In two studies$^{[14, 17]}$, the FEV$_1$ of the FE-CB phenotype was significantly lower than that of the NE phenotype, while one other study$^{[18]}$ found no significant between-group difference in this respect.

2.4.3 FVC%pred

As shown in Figure 3, six included studies$^{[9-14]}$ had reported the FVC%pred. All six studies had reported that compared with the NE phenotype, the FVC% of FE-CB phenotype was lower. There was no significant heterogeneity among the studies. The fixed-effect model was used for analysis. Meta-analysis showed that compared with the NE phenotype, the FVC%pred of COPD patients with the FE-CB phenotype was significantly lower (MD -6.69, 95% CI -7.73--5.65, $P<0.001$, $I^2=5\%$) (Figure 3).

2.4.4 FEV$_1$/FVC

As shown in Figure 4, five included studies$^{[9-12, 14]}$ had reported FEV$_1$/FVC. Three studies$^{[11, 12, 14]}$ had reported that compared with the NE phenotype, the FEV$_1$/FVC of the FE-CB phenotype was lower. However, other two studies$^{[9, 10]}$ showed that there was no significant between the two phenotype. Meta-analysis showed that compared with the NE phenotype, the FEV$_1$/FVC of FE-CB phenotype was lower (MD -3.76, 95% CI -4.58--2.95, $P<0.001$, $I^2=0\%$) (Figure 4).

2.4.5 The quantity of cigarettes smoked (pack-years), exacerbations in previous year, CAT score, BMI, BODE index, Charlson comorbidity index, mMRC score

All details of outcomes could be found in Tables 3, Additional file 1: Figure S2-10, Table S2.

Discussion

In this study, COPD patients with the FE-CB phenotype had worse FEV$_1\%$, FEV$_1$/FVC, and FVC% than those with the NE phenotype. In addition, patients with the FE-CB phenotype had significantly higher CAT score, the quantity of cigarettes smoked (pack-years), number of acute exacerbations, and mMRC score.

Pulmonary function tests play an important role in the diagnosis and treatment of COPD. Airway obstruction assessed by spirometry should follow the reference values provided by the European Respiratory Society (ERS) Global Lung Initiative (GLI)$^{[21]}$. In addition, pulmonary function tests should include the assessment of pulmonary hyperinflation and emphysema using whole body plethysmography and the determination of diffusion capacity. This is important because both lung hyperinflation and emphysema can occur without overt airway obstruction$^{[21]}$. In clinical settings, pulmonary function tests are also widely used to evaluate the degree of airflow limitation, to monitor disease progression, and to evaluate the therapeutic response. However, the diagnostic and prognostic relevance of pulmonary function tests in the context of COPD has been constantly questioned. At present, we use FVE$_1$/FVC < 70% after inhalation of bronchodilator as the gold standard for diagnosis of obstructive ventilation function. However, due to considerable variability in pulmonary function itself, many authors have proposed that the lowest limit of normal and the highest limit of normal should be considered as the lowest and the highest threshold, respectively. Theoretically, these are the most scientific evaluation criteria and have been endorsed by the American Thoracic Society (ATS)/European Respiratory Society (ERS) and the American Medical Association$^{[22]}$. However, a study found that basic pulmonary function of COPD patients was not related to the therapeutic response to lung rehabilitation. The degree of baseline pulmonary function was not found to predict individual improvement in dyspnea, motor performance, activities of daily living, emotional state, or disease-specific health status after lung rehabilitation. These findings suggest that baseline pulmonary function cannot be used to identify good responders to lung rehabilitation therapy; therefore, the results of pulmonary function tests cannot be used as a criterion to recommend lung rehabilitation for COPD.
patients[23]. Thus, pulmonary function is not enough to capture the heterogeneity of COPD, and there are some limitations of its use to guide individual diagnosis and treatment[24]. At present, the pulmonary function characteristics of COPD patients with different phenotypes are still unclear. This study found that the pulmonary function of patients with the FE-CB phenotype is worse than that of NE phenotype, mainly with respect to FEV\textsubscript{1} %pred, FVE\textsubscript{1}/FVC, and FVC%pred. This may be attributable to the higher frequency of acute exacerbations in patients with the FE-CB phenotype, which results in a decline in pulmonary function. However, no positive results were found with respect to FEV\textsubscript{1}, which may be related to the small sample size or to the large variability of FEV\textsubscript{1} per se. In addition, the analysis of FEV\textsubscript{1} %pred was affected by considerable heterogeneity, which may be related to the large variability of FEV\textsubscript{1} per se as well as the selected samples.

Cigarette smoke exposure is one of the major risk factors for COPD[25]. However, a considerable proportion of non-smokers (25–45%) develop COPD[26]. In addition, exposure to both maternal and own smoking was associated with lower FEV\textsubscript{1}/FVC and higher risk of hospitalization/death from COPD than their independent associations[26]. The association between maternal smoking and COPD is influenced by the duration of smoking exposure. However, among non-smokers, there is no strong evidence that maternal smoking affects adult lung health [26]. In this study, six studies[9, 11, 14-16, 18] had reported the quantity of cigarettes smoked (pack-years). One study[14] found that the number of the quantity of cigarettes smoked (pack-years) of the FE-CB phenotype was higher than that of the NE phenotype (P<0.05), while five studies[9, 11, 15, 16, 18] found no significant between them. Meta-analysis showed that the quantity of cigarettes smoked (pack-years) of the FE-CB phenotype was significantly higher than that of the NE phenotype (MD 3.09, 95% CI 1.60–4.58, P<0.001, I\textsuperscript{2}=41%) (Additional file 1: Figure S2).

The Global Initiative for Chronic Obstructive Lung Disease (GOLD) 2017 guidelines recommend the use of CAT or mMRC scale scores to assess symptoms in COPD patients[24]. The CAT questionnaire was used to assess and quantify the health-related quality of life and symptom burden of COPD patients. It consists of 8 questions with a total score of 40 points. In the mMRC dyspnea scale, the severity of dyspnea is rated on a 5-point scale (0–4). The GOLD guidelines recommend the use of CAT score 10 or MMRC score 2 as the threshold level for symptoms[24]. However, some studies have shown discrepancy between the CT and MRC scales for assessment of severity of COPD. The main reason may be that CAT includes many aspects of quality of life, while mMRC only reflects the degree of dyspnea and does not take cognizance of other important symptoms of COPD, such as cough, sputum, chest tightness, and depression[27]. In another study, compared with other COPD phenotypes, the patients of FE-CB phenotype suffered lower exercise endurance and higher CAT score, while the patients of NE phenotype owned lower CAT score, higher lung function, and fewer symptoms[7]. The conclusion is similar to that of the present study. In this study, eight studies[9-11, 13-16, 18] reported CAT scores. In all eight studies, the CAT score of COPD patients with the FE-CB phenotype was significantly higher than that of the NE phenotype. Meta-analysis (random-effects model) showed that CAT score of COPD patients with the FE-CB phenotype was higher than that of patients with the NE phenotype (MD 5.61, 95% CI 4.62–6.60, P<0.001, I\textsuperscript{2}=80%) (Additional file 1: Figure S3). Sensitivity analysis revealed that the heterogeneity was belonged to the studies by Calle Rubio et al[18] and Corlateanu et al[10]. After excluding these studies, CAT score of COPD patients with the FE-CB phenotype was significantly higher than that of patients with the NE phenotype (MD 5.73, 95% CI 5.32–6.14, P<0.001, I\textsuperscript{2}=38%) (Additional file 1: Figure S4). Four studies[13, 14, 16, 18] reported mMRC scores. In all 4 studies, the mMRC score of COPD patients with the FE-CB phenotype was significantly higher than that of the NE phenotype. Meta-analysis showed that compared with the NE phenotype, the mMRC score of the FE-CB phenotype was higher (MD 0.72, 95% CI 0.63–0.82, P<0.001, I\textsuperscript{2}=57%) (Additional file 1: Figure S5). Sensitivity analysis revealed that the heterogeneity was belonged to the study by Miravitlles et al.[14]. After excluding these study, the mMRC score of the FE-CB phenotype was still higher than that of the NE phenotype (MD 0.68, 95% CI 0.61–0.75, P<0.001, I\textsuperscript{2}=17%) (Additional file 1: Figure S6). We observed a consistency between the CAT and mMRC scores for evaluating the symptoms of patients with different phenotypes of COPD.
Compared with individuals with higher BMI, those with lower BMI are more likely to suffer from COPD and have lower lung function\(^{[28]}\). Previous studies had explored the characteristic of BMI in COPD patients with the emphysema phenotype and the bronchitis phenotype. Compared with the chronic bronchitis phenotype, patients with the emphysema phenotype had lower BMI\(^{[8]}\). However, it is not clear whether there is a difference in BMI between FE-CB and NE phenotypes of COPD patients. In this study, seven studies reported BMI. In one study\(^{[13]}\), BMI was lower in COPD patients with the NE phenotype than in COPD patients with the FE-CB phenotype. One other study\(^{[18]}\) reported the opposite relationship, while the remaining five studies\(^{[9, 11, 12, 14, 15]}\) showed that there was no difference between the two phenotypes. Meta-analysis showed that BMI of COPD patients with the NE phenotype was not different from that of the FE-CB phenotype (MD : 0.14, 95% CI : -0.70–0.42, \(P=0.62, I^2=75\%\)) (Additional file 1: Figure S7). Sensitivity analysis indicated that the heterogeneity was mainly attributable to the studies by Calle Rubio et al\(^{[18]}\) and Koblizek et al\(^{[13]}\). After excluding these studies, there was no significant within-group difference with respect to BMI (MD : -0.05, 95% CI : -0.36–0.26, \(P=0.77, I^2=24\%\)) (Additional file 1: Figure S8).

Four included studies\(^{[13, 14, 16, 18]}\) had reported the exacerbations in previous year. The heterogeneity among the samples was large, and only descriptive analysis was done. In all four studies, the exacerbations in previous year of the FE-CB phenotype was significantly higher than that of the NE phenotype.

This study also found that compared with the NE phenotype patients, BODEX (Additional file 1: Figure S9), and Charlson comorbidity index (Additional file 1: Figure S10) of FE-CB phenotype patients were higher; however, due to few sample size, further research is required to draw more definitive conclusions.

**Strengths of this study**

COPD is character as a heterogeneous disease\(^{[29-32]}\). Phenotype is helpful to recognize the heterogeneity and understand the evolution of disease\(^{[30,32]}\). Phenotype helps guide diagnosis and treatment\(^{[30,32]}\). In this study, the characteristics of patients with FE-CB and NE were studied by meta-analysis, which would help to more comprehensively describe the characteristics of FE-CB and NE of COPD and provide basis for diagnosis and treatment of COPD. This study was helpful to provide early warning and guidance for patients with FE-CB and NE phenotypes. For example, patients with poor lung function might have frequent acute exacerbations. The patients with FE-CB phenotype might be accompanied by poor lung function, and such patients might be more likely to benefit from lung rehabilitation exercise.

**Limitations of this study**

In this study, we compared the FEV\(_1\)%, FVC%, FEV\(_1\)/FVC, FEV\(_1\), CAT score, BMI, mMRC score, the quantity of cigarettes smoked (pack-years), and the number of acute exacerbations between COPD patients with the FE-CB phenotype and the NE phenotype. However, we did not discuss the differences in race, gender, age, symptoms and complications between the two COPD phenotypes. In addition, we did not do stratified studies on these two phenotypes, such as studies on different GOLD comprehensive assessment grades (A, B, C, D). These elements need to be studied in a future study.

The survey included eight studies in Europe and two in Asia. The absence of studies that met the inclusion criteria in Africa, America and Oceania is another limitation of our analysis.

In addition, some variables in this study changed with time. For example, lung function changed with the development of the disease\(^{[33]}\). The change of lung function might be accompanied by a series of other characteristics, such as the aggravation of wheezing symptoms, and then the increase of CAT score and MMRC score. For patients with NE phenotype, this might be a warning. If the patient's lung function continued to decline, accompanied by the increase of CAT score and MMRC score, then the patient might become a patient with FE-CB phenotype. The treatment focus and prognosis of this patient might be different. But for patients in the FE-CB phenotype, the warning effect might be smaller. If the patient's lung function continued to decline, it might be accompanied by an increase in CAT score, MMRC score, and the number of acute exacerbations. However, the patient was always in the group with FE-CB phenotype. The treatment focus and prognosis of
this patient might not change. In this study, those indicators with dynamic changes had not been discussed. These elements need to be studied in a future study.

**Conclusion**

Compared with COPD patients with the NE phenotype, COPD patients with the FE-CB phenotype had worse lung function, higher CAT score, the quantity of cigarettes smoked (pack-years), frequency of acute exacerbation, and mMRC scores.

**Abbreviations**

COPD: chronic obstructive pulmonary disease; FVC%pred: forced vital capacity percent predicted; FEV₁%pred: forced expiratory volume in one second percent predicted; FEV₁: forced expiratory volume in one second; FVC: forced vital capacity; FEV₁/FVC: forced expiratory volume in one second/forced vital capacity; FE-C: frequent exacerbator with chronic bronchitis phenotype; NE: non-exacerbator phenotype; BMI: body mass index; CAT: COPD assessment test score; mMRC: modified Medical British Research Council; BODEX: BMI, obstruction, dyspnea, exacerbations; MD: mean; CI: confidence interval

**Declarations**

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**Availability of data and material**

All data will be available by personal communication with corresponding author.

**Author Contributions**

JJW contributed to the conceptualization, writing-original draft preparation, writing-review and editing, supervision and visualization. HRX contributed to the writing-original draft preparation. YXZ contributed to the writing-original draft preparation. YXL contributed to the visualization. HYY contributed to the writing-review and editing and Supervision. LDJ, CXW and MH contributed to the conceptualization. All authors have approved the final version of the work.

**Ethics approval and consent to participate**

Not applicable.

**Consent to publication**

Not applicable.

**Competing interests**

The authors declare second study are that they have no competing interests.
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Additional File Information

- **Figure S1**
  - Sensitivity analysis of Difference of FEV\textsubscript{1} %pred between the FE-CB and the NE phenotypes
  - Forest plots of the sensitivity analysis for difference of FEV\textsubscript{1} %pred between the FE-CB and the NE phenotypes.

- **Figure S2**
  - Difference of the quantity of cigarettes smoked (pack-years) between the FE-CB and the NE phenotypes
  - Forest plots of the difference of the quantity of cigarettes smoked (pack-years) between the FE-CB and the NE phenotypes.

- **Figure S3**
  - Difference of CAT score between the FE-CB and the NE phenotypes
  - Forest plots of the difference of cat score between the FE-CB and the NE phenotypes.

- **Figure S4**
  - Sensitivity analysis of CAT between the FE-CB and the NE phenotypes
  - Forest plots of the sensitivity analysis for CAT between the FE-CB and the NE phenotypes.

- **Figure S5**
  - Difference of mMRC score between the FE-CB and the NE phenotypes
  - Forest plots of the difference of mMRC score between the FE-CB and the NE phenotypes.

- **Figure S6**
  - Sensitivity analysis of mMRC between the FE-CB and the NE phenotypes
  - Forest plots of the sensitivity analysis for mMRC between the FE-CB and the NE phenotypes.

- **Figure S7**
  - Difference of BMI between the FE-CB and the NE phenotypes
  - Forest plots of the difference of BMI between the FE-CB and the NE phenotypes.

- **Figure S8**
  - Sensitivity analysis of BMI between the FE-CB and the NE phenotypes
  - Forest plots of the sensitivity analysis of BMI between the FE-CB and the NE phenotypes.

- **Figure S9**
  - Difference of BODE\textsubscript{ex} between the FE-CB and the NE phenotypes
  - Forest plots of the difference of BODE\textsubscript{ex} between the FE-CB and the NE phenotypes.
- Figure S10
  - Difference of Charlson comorbidity index between the FE-CB and the NE phenotypes
  - Forest plots of the difference of Charlson comorbidity index between the FE-CB and the NE phenotypes.

- Flow Diagram
  - PRISMA 2009 Flow Diagram
  - The screening procedure the study.

- Table S1
  - excluded list
  - List of excluded full-text articles.

- Table S2
  - other indices
  - other indices in different phenotype.

- Text S1
  - Literature Search
  - The full details of the databases searched to identify the studies.

Tables

Table 1 Basic characteristics of the studies included in the meta-analysis
| Author                    | Year | Country | Language | Research type                      | Cases (FE-CB/NE) | Gender (male) (FE-CB/NE) | Age (years) (FE-CB/NE) | Evaluation indices                                                                 |
|--------------------------|------|---------|----------|-----------------------------------|------------------|--------------------------|-------------------------|----------------------------------------------------------------------------------|
| Alcázar-Navarrete, B.    | 2016 | Spain   | English  | Cross-sectional observation study | 34/34            | 32/29                    | 72±10.4 71±9.9          | FEV₁%, FEV₁/FVC, FVC%, BMI, the quantity of cigarettes smoked (pack-years), CAT score |
| Arkhipov, V.             | 2017 | Russia  | English  | Cross-sectional observation study | 415/398          | 356/347                  | 64.6±8.5 64.7±8.9       | FEV₁%, the quantity of cigarettes smoked (pack-years), BMI, CAT score             |
| Calle Rubio, M.          | 2017 | Spain   | English  | Cross-sectional observation study | 188/307          | 157/255                  | 69.5±8.6 67.2±9.3       | FEV₁, FEV₁%, the quantity of cigarettes smoked (pack-years), CAT, mMRC, BODEX, exacerbations in previous year, BMI |
| Chee-Shee Chai           | 2019 | Malaysia| English  | Cross-sectional observation study | 75/54            | 70/50                    | 70.7±9.2 74.1±8.1       | FEV₁%, the quantity of cigarettes smoked (pack-years), CAT, mMRC, exacerbations in previous year, BMI |
| Corlateanu, A.           | 2017 | Moldova | English  | Cross-sectional observation study | 138/175          | -                        |                         | FVC%, FEV₁%, FEV₁/FVC, CAT                                                   |
| Cosio, B. G.             | 2016 | Spain   | English  | Cross-sectional observation study | 99/550           | 85/460                   | 69.5±8.1 67.4±9.1       | FEV₁%, FVC%, FEV₁/FVC, the quantity of cigarettes smoked (pack-years), BMI, CAT, Charlson comorbidity index |
| Golpe, R.                | 2018 | Spain   | English  | Cross-sectional observation study | 194/531          | 167/433                  | 72.7±8.9 68.5±9.5       | FEV₁%, FVC%, FEV₁/FVC, BMI, BODEX, Charlson comorbidity index                   |
| Koblizek, V.             | 2017 | Czech   | English  | Cross-sectional observation study | 687/2125         | 494/1500                 | 66.6±8.3 66.3±8.7       | FEV₁%, FVC%, BMI, CAT, mMRC, exacerbations                                       |
| Study ID                      | Year | Country | Language | Study Design                  | Sample Size | Follow-up | FEV₁, FVC%, FEV₁/FVC, mMRC, exacerbations in previous year, BODEx, CAT, BMI, the quantity of cigarettes smoked (pack-years), Charlson comorbidity index |
|------------------------------|------|---------|----------|-------------------------------|-------------|-----------|--------------------------------------------------------|
| Miravitlles, M. 2015         | Germany | English | Cross-sectional observation study | 602/1894 | 514/1617 | 69.3±9.2, 66.6±9.7 |
| Pan Qing 2016                | China | Chinese | Cross-sectional observation study | 267/82 | 217/68 | 76±10.0, 61±6.4 FEV₁% |

Table 2 Methodological quality evaluation of studies included

| Study ID                      | Year | Country | Language | Study Design                  | Sample Size | Follow-up | Conflicts of Interest | Total |
|------------------------------|------|---------|----------|-------------------------------|-------------|-----------|----------------------|-------|
| Alcázar-Navarrete, B. 2016    |       |         |          |                               |             |           | + - - + + - + - - - - | 5     |
| Arkhipov, V. 2017            |       |         |          |                               |             |           | + + - + + + + - - - - | 8     |
| Calle Rubio, M. 2017         |       |         |          |                               |             |           | + + - + + + + - - - - | 6     |
| Chee-Shee Chai2019           |       |         |          |                               |             |           | + + - + + + - - - -  | 6     |
| Corlateanu, A. 2017          |       |         |          |                               |             |           | + + - + + + + - - -  | 5     |
| Cosio, B. G. 2016            |       |         |          |                               |             |           | + + - + + + + - - -  | 8     |
| Golpe, R. 2018               |       |         |          |                               |             |           | + + - + + + + - - +  | 8     |
| Koblizek, V. 2017            |       |         |          |                               |             |           | + + - + + + + - - -  | 7     |
| Miravitlles, M. 2015         |       |         |          |                               |             |           | + + - + + + + - - -  | 6     |
| Pan Qing 2016                |       |         |          |                               |             |           | + + - + - + + + + -  | 6     |

Note: +: YES; -: NO; 0: not clear. 1. Define the source of information (survey, record review); 2. List inclusion and exclusion criteria for exposed and unexposed subjects (cases and controls) or refer to previous publications; 3. Indicate time period used for identifying patients; 4. Indicate whether subjects were consecutive, if not population-based; 5. Indicate if evaluators of subjective components of study were masked to other aspects of the status of the participants; 6. Describe any assessments undertaken for quality assurance purposes (e.g., test/retest of primary outcome measurements); 7. Explain any patient exclusions from analysis; 8. Describe how confounding was assessed and/or controlled; 9. If applicable, explain how missing data were handled in the analysis; 10. Summarize patient response rates and completeness of data collection; 11. Clarify what follow-up, if any, was expected and the percentage of patients for which incomplete data or follow-up was obtained.

Table 3 Difference of other indexes between the NE and FE-CB phenotypes

| Study ID                      | Year | Country | Language | Study Design                  | Sample Size | Follow-up | Conflicts of Interest | Total |
|------------------------------|------|---------|----------|-------------------------------|-------------|-----------|----------------------|-------|
| Alcázar-Navarrete, B. 2016    |       |         |          |                               |             |           | + - - + + - - - - - | 5     |
| Arkhipov, V. 2017            |       |         |          |                               |             |           | + + - + + + + - - - - | 8     |
| Calle Rubio, M. 2017         |       |         |          |                               |             |           | + + - + + + + - - - - | 6     |
| Chee-Shee Chai2019           |       |         |          |                               |             |           | + + - + + + + - - - - | 6     |
| Corlateanu, A. 2017          |       |         |          |                               |             |           | + + - + + + + - - -  | 5     |
| Cosio, B. G. 2016            |       |         |          |                               |             |           | + + - + + + + - - -  | 8     |
| Golpe, R. 2018               |       |         |          |                               |             |           | + + - + + + + - - +  | 8     |
| Koblizek, V. 2017            |       |         |          |                               |             |           | + + - + + + + - - -  | 7     |
| Miravitlles, M. 2015         |       |         |          |                               |             |           | + + - + + + + - - -  | 6     |
| Pan Qing 2016                |       |         |          |                               |             |           | + + - + - + + + + -  | 6     |
| Secondary outcomes                                      | included studies | MD     | 95% CI          | P      | I², P  |
|--------------------------------------------------------|------------------|--------|-----------------|--------|--------|
| the quantity of cigarettes smoked (pack-years)          | Six studies      | 3.09   | (1.60,4.58)     | <0.001 | 41%, 0.14 |
| CAT score                                              | Eight studies    | 5.61   | (4.62,6.60)     | <0.001 | 80%, <0.001 |
| mMRC score                                             | Four studies     | 0.72   | (0.63,0.82)     | <0.001 | 57%, 0.07 |
| BMI                                                    | Seven studies    | -0.14  | (-0.70,0.42)    | 0.62   | 75%, <0.001 |
| Charlson comorbidity index                             | Three studies    | 0.47   | (0.37,0.58)     | <0.001 | 0, 0.70 |
| BODEx                                                  | Three studies    | 1.78   | (1.28,2.28)     | <0.001 | 91%, <0.001 |

CI: confidence interval; CAT: COPD assessment test; BMI: body mass index; mMRC: modified British Medical Research Council dyspnea scale; BODEx: BMI, obstruction, dyspnea, exacerbations.

### Figures

**Figure 1**

Schematic illustration of the study design and the study selection criteria.
Figure 2

Difference of FEV1%pred between the FE-CB and the NE phenotypes

Figure 3

Difference of FVC%pred between the FE-CB and the NE phenotypes

Figure 4

Difference of FEV1/FVC between the FE-CB and the NE phenotypes

Supplementary Files

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