Biobanking in everyday clinical practice in psychiatry—The Munich Mental Health Biobank

Janos L. Kalman1,2,*, Gerrit Burkhardt2, Kristina Adorjan1,2, Barbara B. Barton2, Sylvia De Jonge2, Daniela Eser-Valeri2, Christine M. Falter-Wagner2, Urs Heilbronn1, Andrea Jobst2, Daniel Keesser2,3, Christian Koenig2, Gabi Koller2, Nikolaos Koutsouleris2,4,5, Carolin Kurz2, Dominic Landgraf2, Katharina Merz2, Richard Musil2, Afton M. Nelson2,3, Frank Padberg2, Sergi Papiol2,6,7,8,9, Oliver Pogarell2, Robert Perneckzy2,6,7,8,9, Florian Raabe2,10, Matthias A. Reinhard2, Almut Richter2, Tobias Rüther2, Maria Susanne Simon2, Andrea Schmitt2,11,†, Lenka Slapakova2,10, Nanja Scheel2, Cornelius Schüle2, Elias Wagner2, Sven P. Wichert2, Peter Zill21, Peter Falkai21, Thomas G. Schulze1,2,12,13,† and Eva Christina Schulte1,2,21

1Institute of Psychiatric Phenomics and Genomics, University Hospital, LMU Munich, Munich, Germany. 2Department of Psychiatry and Psychotherapy, University Hospital, LMU Munich, Munich, Germany. 3Neuromaging Core Unit Munich, University Hospital LMU, Munich, Germany. 4Max Planck Institute of Psychiatry, Munich, Germany. 5Institute of Psychiatry, Psychology and Neuroscience, Kings College London, London, United Kingdom. 6German Center for Neurodegenerative Diseases (DZNE) Munich, Munich, Germany. 7Munich Cluster for Systems Neurology (SyNergy), Munich, Germany. 8Ageing Epidemiology (AGE) Research Unit, School of Public Health, Imperial College London, London, United Kingdom. 9Sheffield Institute for Translational Neurosciences (SITrAn), University of Sheffield, Sheffield, United Kingdom. 10International Max Planck Research School for Translational Psychiatry, Munich, Germany. 11Department of Psychiatry and Behavioral Sciences, Johns Hopkins University School of Medicine, Baltimore, MD, United States. 12Department of Psychiatry and Behavioral Sciences, SUNY Upstate Medical University, Syracuse, NY, United States.

Translational research on complex, multifactorial mental health disorders, such as bipolar disorder, major depressive disorder, schizophrenia, and substance use disorders requires databases with large-scale, harmonized, and integrated real-world and research data. The Munich Mental Health Biobank (MMHB) is a mental health-specific biobank that was established in 2019 to collect, store, connect, and supply such high-quality phenotypic data and biosamples from patients and study participants, including healthy controls, recruited at the Department of Psychiatry and Psychotherapy (DPP) and the Institute of Psychiatric Phenomics and Genomics (IPPG), University Hospital of the Ludwig-Maximilians-University (LMU), Munich, Germany. Participants are asked to complete a questionnaire that assesses sociodemographic and cross-diagnostic clinical information, provide blood samples, and grant access to their existing medical records. The generated data and biosamples are available to both academic and industry researchers. In this manuscript, we
outline the workflow and infrastructure of the MMHB, describe the clinical characteristics and representativeness of the sample collected so far, and reveal future plans for expansion and application. As of 31 October 2021, the MMHB contains a continuously growing set of data from 578 patients and 104 healthy controls (46.37% women; median age, 38.31 years). The five most common mental health diagnoses in the MMHB are recurrent depressive disorder (38.78%; ICD-10: F33), alcohol-related disorders (19.88%; ICD-10: F10), schizophrenia (19.69%; ICD-10: F20), depressive episode (15.94%; ICD-10: F32), and personality disorders (13.78%; ICD-10: F60). Compared with the average patient treated at the recruiting hospitals, MMHB participants have significantly more mental health-related contacts, less severe symptoms, and a higher level of functioning. The distribution of diagnoses is also markedly different in MMHB participants compared with individuals who did not participate in the biobank. After establishing the necessary infrastructure and initiating recruitment, the major tasks for the next phase of the MMHB project are to improve the pace of participant enrollment, diversify the sociodemographic and diagnostic characteristics of the sample, and improve the utilization of real-world data generated in routine clinical practice.

**KEYWORDS**
biobank, real-world data (RWD), mental health, broad consent, representativeness, psychiatry, electronic health record (EHR), medical informatics initiative

**Introduction**

In the recent years, technological and methodological advances have enabled diseases to be studied at an unprecedented depth and expanse. Biobanks, which collect, store, manage, and share large sets of biosamples and deep phenotyping information, are critical infrastructures for the clinical translation of these advances into patient care.

Establishing such rich datasets is especially important for mental health disorders because current diagnostic categories have low prognostic value, disease biomarkers are largely lacking, and novel therapeutic approaches have often failed to reduce the global burden of these disorders (1, 2). Nevertheless, mental health disorders are underrepresented in population-based biobanking efforts, and even the largest and most successful biobanks, such as the UK Biobank and the Estonian Biobank, began to collect extensive mental health-related phenotypes only years after their initiation (3, 4). The reasons for this delay may include the significant resources and commitment required for mental health phenotyping and the difficulties in utilizing real-world data for mental health research because of the lack of data structure, standardization, and interoperability (5). Social stigma attached to many mental disorders and the special legal implications, such as legal guardianship, may further reduce the willingness and ability of patients to participate in biobanking efforts.

Similar trends are seen in Germany: Currently, only a few, large mental health facilities routinely collect phenotype and biological data, and most of these efforts focus on individuals participating in clinical studies and do not include patients in routine clinical practice (6–8). Therefore, to facilitate biobanking in mental health, we established the Munich Mental Health Biobank (MMHB), which intimately entwines the collection of both routine clinical and research phenotyping data and biological specimens at a large tertiary care center in Munich, Germany, comprising the Department of Psychiatry and Psychotherapy (DPP) and the Institute of Psychiatric Phenomics and Genomics (IPPG). In this manuscript, we describe the workflow and information technology infrastructure of the MMHB, provide a first glimpse into the clinical characteristics of the sample collected so far, analyze the representativeness of the sample with regard to a variety of key clinical and sociodemographic characteristics, and reveal future plans for expansion and application.

**The Munich Mental Health Biobank**

The DPP and IPPG are the part of the hospital system of the University Hospital of the Ludwig-Maximilians-University (LMU) Munich, Germany. With 3222 unique cases (inpatient:
1058; day hospital: 130; and outpatient: 2034) annually (2020 data), these two institutes are among the largest university-based mental health facilities in Germany. Both institutes are internationally renowned strongholds of mental health research and are currently hosting over 43 ongoing clinical studies. However, until recently, neither the DPP nor the IPPG had access to an organized, standardized, high-throughput hospital-wide collection of phenotypes and biosamples, markedly limiting large-scale translational research. This changed in 2019 with the establishment of the MMHB. Since then, all individuals treated at the DPP and IPPG, as well as study participants (both patients with a mental health diagnosis and healthy controls with no self-reported lifetime mental health diagnosis participating in clinical or non-clinical trials), are eligible for the inclusion in the MMHB and can be invited to provide informed consent to the collection of phenotypic information and biosamples as the part of the biobanking effort.

Informed consent

For the following activities, the MMHB aims to obtain modular informed consent from participants that conforms with the General Data Protection Regulation of the European Union: (1) the collection, storage, analysis, scientific utilization, and distribution of deep phenotypic data and biosamples in a double-pseudonymized form to academic and non-academic research institutions, national and international data archives, and companies, and the linkage of this data to routine clinical data; (2) re-contacting participants to request additional data and biosamples and/or to invite them to participate in new studies; (3) re-contacting participants to seek consent for linking the collected data to other databases; and (4) re-contacting participants to inform them about actionable incidental findings. By providing their consent, MMHB participants allow researchers to use their phenotypic data and biosamples for any type of research, including omics studies and the creation of induced pluripotent stem cell (iPSC) lines, for 30 years. MMHB participants can withdraw their consent at any time without providing a specific reason. In case of withdrawal of consent, they can decide whether their data and biosamples should be deleted and destroyed or anonymized.

The Munich Mental Health Biobank phenotyping battery

The MMHB phenotyping battery consists of three components: (1) a basic phenotyping module, which builds on previous national multi-centric phenotyping efforts, such as the PsyCourse Study and the PD-CAN study; (2) a module consisting of seven standardized self-rating measurements with cross-disorder utility; and (3) a variable module that highlights a specific topic of research in more detail and changes every 2 years (Table 1) (9). In 2020 and 2021, the variable module focused on metabolic risk, eating behavior, and stress related to the COVID-19 pandemic. To reduce the biobanking-associated clinician workload, self- rather than observer-rating tools are used to obtain patient’s characteristics and symptom scores. To increase the quantity, quality, richness, and ultimately the research utility of the generated data, the MMHB phenotyping battery can be complemented by potentially higher quality data collected in association with specific scientific projects or clinical trials.

Biosamples

A limited amount of blood (maximum 70 ml) and, if available, cerebrospinal fluid (maximum 10 ml) is collected from consented individuals during routine clinical examinations or study visits (Supplementary Table 2). Participants may also be asked to provide saliva, hair, and stool samples.

Linked routine clinical data

Routine clinical data stored in electronic health records or other databases can be linked with the phenotypic information and biosamples collected from MMHB participants and then used for research purposes.

| Module                              | Questionnaire                                                                 | References |
|-------------------------------------|-------------------------------------------------------------------------------|------------|
| Basic phenotyping                   | Sociodemographic information                                                 |            |
|                                     | Psychiatric medical history                                                   |            |
|                                     | Family history                                                                |            |
|                                     | Pharmacologic treatment                                                       |            |
|                                     | Self-destructive behavior and suicidality                                    |            |
|                                     | Substance abuse, including the Alcohol Use Disorders Identification Test (AUDIT-C) | (10)       |
| Standardized self-rating instruments | Childhood Trauma Screener                                                    | (11)       |
|                                     | Brief Resilience Scale                                                        | (12)       |
|                                     | UCLA Loneliness Scale (3-item version)                                       | (13)       |
|                                     | Lubben Social Network Scale                                                   | (14)       |
|                                     | WHO-5 Well-Being Index                                                        | (15)       |
|                                     | Patient Health Questionnaire (PHQ-9)                                          | (16)       |
|                                     | Munich Chronotype Questionnaire                                                | (17)       |
| Variable module (2020/2021)         | Questions on metabolic risk                                                  | (18)       |
|                                     | Questions on eating behavior                                                  | (19)       |
|                                     | COVID-19 Pandemic Mental Health Questionnaire (CoPaQ)                         | (20)       |

TABLE 1: Overview of the phenotypes assessed with the Munich Mental Health Biobank (MMHB) phenotyping battery.
Data and sample processing

The collected phenotypic data and biosamples are processed by specially trained staff according to standard operating procedures for data collection, handling, and storage. For each MMHB participant, an electronic record, which includes general and disease-specific clinical information, dates of phenotype and biosample acquisitions, and information on sample processing (i.e., identification code, quantity, location of storage, and type of preparation), is captured in CentraXX (Kairos GmbH, Bochum, Germany), a standardized laboratory information management system. CentraXX is fully integrated into the laboratory automation infrastructure and, besides, the administration of biosamples enables the acquisition and management of all clinical and phenotypic data of participants. Biosamples (DNA, RNA, serum, plasma, etc.) are processed on site with automated systems (ChemagicStar, Decapper/Barcode Reader, and a StarPlus System; Hamilton Robotics GmbH, Martinsried, Germany) and are stored at –80°C in an automated sample storage and management system (BIOS M System, Hamilton Storage GmbH, Bonaduz, Switzerland) (Figure 1).

Data availability

The collected phenotypes and biomaterials are available to both academic and industry researchers upon request. The process for requesting data and biomaterials is as follows: (1) Requestors send an email with a description of the planned scientific project, the type and quantity of the requested data, and their data protection policies to the MMHB\textsuperscript{1} to inquire about data availability, and in the same email, they may also propose collaborations with local researchers; (2) the Data Access Committee evaluates the feasibility of the project and provides a cost estimate for sample extraction, processing, and shipment; (3) at this point, requestors provide documentation confirming ethical approval of the proposed project; (4) the MMHB provides a quote, data, and material transfer agreements (DTA and MTA, respectively); and (5) once the DTA and/or MTA is signed by both parties, the biological materials and double-pseudonymized phenotypic and omics data are sent to requestors.\textsuperscript{1} psy_mmhb@med.uni-muenchen.de

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\textbf{FIGURE 1}

Workflow of the Munich Mental Health Biobank. Clinical data and biological materials are stored in pseudonymized form in the information management system CentraXX (Kairos GmbH, Bochum, Germany). Biological materials are stored at –80°C, except for peripheral blood mononuclear cells, which are stored in liquid nitrogen (Supplementary Table 2). Researchers can request data access by completing a request form. If the Data Access Committee approves the request, a material and/or data transfer agreement is signed, and the data and biological materials can be provided. CSF, cerebrospinal fluid; EHR, electronic health record; PBMCs, peripheral blood mononuclear cells.
the requestor. Depending on the legal process, approximately 4–6 months should be allowed from the submission of the project proposal to delivery of the data and/or biomaterials.

Sample characteristics

Detailed phenotype definitions of the MMHB Sample and Clinical sample (i.e., the target population of all patients treated at the DPP and IPPG during the same period who were eligible for inclusion in the MMHB but were not included) are provided in the description of the individual analyses and in Supplementary Table 1. Qualitative traits were analyzed with non-parametric pairwise Mann–Whitney U tests and quantitative traits, with $\chi^2$ tests. The significance threshold was set at 0.05. The study protocol was approved by the local ethics committee, and the study was performed in accordance with the Declaration of Helsinki. All participants in the MMHB Sample provided written informed consent. The clinical sample served as naturalistic comparator: the data were generated in the clinical routine and irreversibly anonymized for further analysis.

The Munich Mental Health Biobank sample

Recruitment for the MMHB started on 11 April 2019. As of 31 October 2021, 578 patients and 104 healthy controls had been included in the MMHB. Table 2 and Figure 2 provide an overview of the demographic and clinical characteristics of the sample. Participants were more likely to be recruited in an inpatient setting (67.47%) than in the day hospital (3.46%) or outpatient clinic (29.07%). The analysis included all (independent) clinical (ICD-10) diagnoses the patient received during the observational period (11 April 2019 to 31 October 2021). The most frequent ICD-10 diagnoses were recurrent depressive disorder (38.78%; ICD-10: F33), alcohol-related disorders (19.88%; ICD-10: F10), schizophrenia (19.69%; ICD-10: F20), depressive episode (15.94%; ICD-10: F32), and personality disorders (13.78%; ICD-10: F60). A full list of the diagnoses and the respective frequencies is provided in Supplementary Table 3.

Comparison of the Munich Mental Health Biobank sample and the Clinical sample

Next, we compared the basic sociodemographic and clinical characteristics of the MMHB sample with the Clinical sample (i.e., the target population of all patients treated at the DPP and IPPG during the same period who were eligible for inclusion in the MMHB but were not included). The two samples had similar age and sex distributions (Table 2).Clinicians at the DPP and IPPG routinely assess the 7-day functioning and disease severity of inpatients and day hospital patients at admission and discharge using the Global Assessment of Functioning (GAF) and Clinical Global Impression (CGI) scales, respectively (21, 22). According to these measures, patients in both the MMHB and Clinical samples had severe symptoms and major impairments in functioning at admission and moderate symptoms and improved functioning at discharge. However, compared with the Clinical sample, MMHB participants who had been recruited as inpatients had significantly higher functioning at both admission (GAF-A$_{\text{MMHB}}$ = 40 vs. GAF-A$_{\text{Clinical sample}}$ = 35, Mann–Whitney U test, $p = 8.10 \times 10^{-15}$) and discharge (GAF-D$_{\text{MMHB}}$ = 55 vs. GAF-D$_{\text{Clinical sample}}$ = 55, $p = 5.18 \times 10^{-3}$) and less severe symptoms at admission (CGI-A$_{\text{MMHB}}$ = 5 vs. CGI-A$_{\text{Clinical sample}}$ = 5, $p = 3.77 \times 10^{-5}$). Symptom severity at discharge (CGI-D) was similar in both groups. No significant differences were observed between the MMHB and clinical samples of day hospital patients. GAF and CGI values were not available for the outpatients. During the observation period, patients in the MMHB sample were hospitalized more frequently than those in the Clinical sample (number of hospitalizations: 2 vs. 1; Mann–Whitney U test, $p = 8.04 \times 10^{-41}$), for longer periods of time (total length of stay, 71 vs. 37 days; $p = 2.94 \times 10^{-37}$), and had more outpatient contacts (6 vs. 1; $p = 4.35 \times 10^{-30}$) (Table 2).

Figure 2 provides an overview of the prevalence in the two samples of the 15 most frequent diagnoses derived from the Clinical sample. In the MMHB sample vs. the Clinical sample, we observed the most pronounced differences in the prevalence of recurrent depressive disorder (38.78 vs. 32.12%; ICD-10: F33), adjustment disorder (9.65 vs. 15.17%; ICD-10: F43), alcohol-related disorders (19.88 vs. 11.44%; ICD-10: F10), schizophrenia (19.69 vs. 9.64%; ICD-10: F20), personality disorders (13.78 vs. 7.72%; ICD-10: F60), and schizoaffective disorder (8.27 vs. 3.32%; ICD-10: F25). A full list of diagnoses and the respective frequencies is provided in Supplementary Table 3.

Discussion

The MMHB is a mental health-specific biobank that aims to integrate both cross-sectional and longitudinal multimodal research and real-world phenotypic information with biosamples of patients with mental health disorders and study participants, including healthy controls, to facilitate translatable mental health research and, ultimately, improve patient care. Here, we outlined the information technology and laboratory infrastructure and the administrative processes of the MMHB, described the clinical and sociodemographic characteristics of the 578 patients and 104 healthy controls included in the MMHB so far, and compared this sample with the overall target population treated at the recruitment facilities.
This initial evaluation allows us to discuss the scope of the MMHB sample, identify limitations of our current recruitment strategy, and derive action points that could further improve the quality of the MMHB data.

Representativeness

There is an increasing number of evidence that individuals with certain non-random characteristics, such as lower socioeconomic and education status, poorer health, non-European ancestry, and increased cumulative genetic burden for schizophrenia, neuroticism, and attention-deficit hyperactivity disorder, are less likely to participate in clinical studies and more likely to drop out during the follow-up period (9, 23–25). These data are concerning because non-participation and attrition not only are associated with a loss of statistical power, but, if non-random, can also influence sample representativeness and thereby bias the generalizability and real-life utility of research findings, health policy decision-making, and ultimately, the equity of health-care provision (26). Compared with patients treated at the DPP and IPPG since 11 April 2019 who were not included in the MMHB (Clinical sample), we found that certain mental health diagnoses, such as schizophrenia, schizoaffective disorder, alcohol-related disorders, and personality disorders, are overrepresented in the MMHB sample, whereas adjustment disorders, for example, are relatively underrepresented, most likely because recruitment so far has been propagated by study teams interested in answering specific research questions. Interestingly, we found evidence that the frequency and length of contact with the health-care system differed between the target population (i.e., those not included in the biobank) and the MMHB sample: MMHB participants were higher in the MMHB sample than in the Clinical sample (67.47 vs. 22.93%). These differences could be explained by potential systematic sampling biases in that study personnel.
FIGURE 2
Differences in diagnosis frequency between the Munich Mental Health Biobank (MMHB) and Clinical samples. Comparison of the prevalence of the 15 most frequent diagnoses derived from the Clinical sample in the Munich Mental Health Biobank and the Clinical sample. The diagnoses were defined as all (independent) clinical (ICD-10) diagnoses the patient received during the observational period (11 April 2019 to 31 October 2021). A full list of diagnoses and their respective frequencies is provided in Supplementary Table 3. F33, recurrent depressive disorder; F32, depressive episode; F43, adjustment disorder; F10, alcohol-related disorders; F20, schizophrenia; F60, personality disorders; F31, bipolar disorder; F41, other anxiety disorders; F12, mental and behavioral disorders due to use of cannabinoids; F13, mental and behavioral disorders due to use of sedatives or hypnotics; F25, schizoaffective disorder; F17, mental and behavioral disorders due to use of tobacco; F11, mental and behavioral disorders due to use of opioids; F19, mental and behavioral disorders due to multiple drug use and use of other psychoactive substances; F42, obsessive-compulsive disorder; MMHB, Munich Mental Health Biobank.

FIGURE 3
Growth of the Munich Mental Health Biobank (MMHB) and Clinical samples over time. MMHB, Munich Mental Health Biobank.

and clinicians are more likely to ask "known" patients to consent to being included in the MMHB and/or that patients with repeated contacts with the same institution are more likely to trust that institution and participate in its research efforts. Also, longer hospitalizations and more frequent visits in general represent more opportunities to recruit participants. These observations emphasize the importance of interpersonal factors (such as trust, rapport, and compliance) and the type and frequency of contact with the health-care system in study participation. These factors are potential sources of recruitment bias that should be taken into consideration when analyzing the MMHB sample. Interestingly, despite the more frequent health-care utilization, the MMHB sample had less severe symptoms and higher levels of functioning (measured with CGI and GAF, respectively) at inclusion in the biobank than the Clinical
sample in general. Although this difference is likely not clinically meaningful (the difference in functioning was within a 10-point GAF range), it still suggests that patients who were successfully recruited had better overall social, occupational, and psychological functioning.

### Availability of real-world clinical data

As outlined, the current MMHB phenotyping battery includes detailed sociodemographic and self-reported transdiagnostic information that enables research across multiple disorders and patient subgroups. However, like most other mental health-specific biobanks, currently, the MMHB includes only a limited set of features from routine clinical care (patient age, sex, zip code, GAF, CGI, diagnosis, and laboratory results). This limited availability of real-world data and information on longitudinal disease trajectories is a direct result of the limitations of current electronic health record systems, which are markedly restricted in their ability to present relevant mental health information in a standardized, structured, interoperable, and thus machine-readable format. Consequently, although data-driven precision medicine initiatives are increasingly successful in developing prediction models of important mental health-related outcomes, such as antidepressant response, suicide attempts, and the transition from clinical high-risk states to psychosis (27–29), our ability to test the performance of these prediction models in real-world settings and improve the quality and safety of health care provision by integrating such algorithms into the electronic health records as clinical decision support systems is currently limited. This underlines the necessity of biobanking efforts which, in addition to high-quality research data, also collect large sets of representative and longitudinal real-world data, even if this might increase their direct operating costs (e.g., due to higher costs of storing larger quantities of biosamples and/or increased staffing costs). A biobanking effort embedded in the clinical routine then lets us address questions such as representativeness and cost-effectiveness.

### Outlook

A key strength of the MMHB is its close integration into the clinical and scientific infrastructure of a large mental health-care center, which not only ensures the necessary domain knowledge for collecting high-quality mental health-specific phenotypes, but also provides direct access to the more than 3,000 individual patients treated annually at the DPP and IPPG and thus a strong growth potential (Figure 3). Moreover, this target population has good sociodemographic representativeness because Germany has universal health insurance, ensuring that treatment is offered to all individuals in need. A further asset of the MMHB is the wide age range of eligible participants and the diversity of diagnoses, which enables the study of all stages of the disease trajectory across the whole lifespan and diagnostic spectrum.

Limitations of the MMHB include the observed differences between the MMHB and Clinical samples, the current sparsity of available real-world data, and the moderate recruitment pace (only 5.56% of the 10,494 patients who were treated at the DPP and IPPG during the 30-month observational period and were thus eligible for inclusion were recruited into the MMHB, Figure 3).

To address these limitations, in the next phase of our biobanking effort, we will move from active, interest-driven biobank recruitment toward a more passive, automatized participatory system. To achieve this, we will first introduce the Broad Consent of the German Medical Informatics Initiative, for which we have developed an additional Psychiatry Module tailored to the needs of mental health research (30). This modified Broad Consent, which will be valid for 5 years, will enable the scientific use of prospectively collected routine clinical and insurance data, and, via the Psychiatry Module, additionally collected mental health-specific phenotypes, magnetic resonance imaging, electroencephalogram data, and biosamples. Next, we will introduce the Clinical Phenotyping Platform (CliPP), a software solution that enables the collection of structured and standardized [Fast Healthcare Interoperability Resource (FHIR) format] routine mental health data directly from patients and health-care providers. Owing to the Broad Consent and the CliPP, the consent process and the phenotypic assessments will be incorporated into routine admission procedures. Their introduction will broaden the available data modalities, reduce the time spent on patient recruitment, and decrease the risk of sampling bias due to physician and/or patient preferences. A quality control pipeline to assess data availability and quality is also under development and will be made, together with the quality control reports, publicly available to foster reproducible research. Furthermore, we will continuously monitor the representativeness of the MMHB sample, analyze the factors that influence biobank participation, and, if necessary, revise study design and recruitment strategies. Through these measures, we will facilitate the recruitment of participants into the MMHB, improve clinician and patient commitment and the representativeness of our sample, and ultimately, increase the scientific and clinical value of the MMHB sample. Large future transdiagnostic cohorts from the MMHB will leverage forward and reverse translational research in an informative framework spanning preclinical models via disorder focused clinical trials to real-world applications in a transdiagnostic spectrum of mental health disorders including their developmental dynamics and co-morbidities.
Data availability statement

Publicly available datasets were analyzed in this study. Data stored in the Munich Mental Health Biobank is available upon request (see section “Data availability” in the article for more details).

Ethics statement

The studies involving human participants were reviewed and approved by Ethics Committee of the University Hospital of the LMU Munich. Written informed consent from the participants’ legal guardian/next of kin was not required to participate in this study in accordance with the national legislation and the institutional requirements.

Author contributions

JK, ES, SW, PZ, TS, and PF: concept and design. JK, GB, and ES: analysis and interpretation of data. JK, ES, GB, PZ, and SW: drafting of the manuscript. All authors provided the data, contributed ideas and suggestions for analyses, interpreted results, and revised the final manuscript, and approved the submitted version.

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Conflict of interest

RM received speakers honoraria from Otsuka Pharmaceuticals. He serves or has served as PI in clinical trials sponsored by Abide Therapeutics (Lundbeck), Böhinger-Ingelheim, Emalex Biosciences, Oryzon, Otsuka Pharmaceuticals. FP was a member of the European Scientific Advisory Board of Brainsway Inc., Jerusalem, Israel, and the International Scientific Advisory Board of Sooma, Helsinki, Finland. He has received speaker’s honoraria from Mag&More GmbH, the neuroCare Group, Munich, Germany, and Brainsway Inc. His lab has received support with equipment from neuroConn GmbH, Ilmenau, Germany, Mag&More GmbH and Brainsway Inc.

The remaining authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fpsyt.2022.934640/full#supplementary-material

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