A self-cleaving DNA enzyme modified with amines, guanidines and imidazoles operates independently of divalent metal cations (M^{2+})

Marcel Hollenstein, Christopher J. Hipolito, Curtis H. Lam and David M. Perrin*

Department of Chemistry, University of British Columbia, 2036 Main Mall, Vancouver BC, V6T 1Z1, Canada

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ABSTRACT

The selection of modified DNAzymes represents an important endeavor in expanding the chemical and catalytic properties of catalytic nucleic acids. Few examples of such exist and to date, there is no example where three different modified bases have been simultaneously incorporated for catalytic activity. Herein, dCTP, dATP and dUTP bearing, respectively, a cationic amine, an imidazole and a cationic guanidine, were enzymatically polymerized on a DNA template for the selection of a highly functionalized DNAzyme, called DNAzyme 9-86, that catalyzed (M^{2+})-independent self-cleavage under physiological conditions at a single ribo(cytosine)-phosphodiester linkage with a rate constant of (0.134 ± 0.026) min^{-1}. A pH rate profile analysis revealed pK_a's of 7.4 and 8.1, consistent with both general acid and base catalysis. The presence of guanidinium cations permits cleavage at significantly higher temperatures than previously observed for DNAzymes with only amines and imidazoles. Qualitatively, DNAzyme 9-86 presents an unprecedented ensemble of synthetic functionalities while quantitatively it expresses one of the highest reported values for any self-cleaving nucleic acid when investigated under M^{2+}-free conditions at 37°C.

INTRODUCTION

SELEX and related combinatorial methods of in vitro selection (1–3), have resulted in the identification of an increasing number of catalytic nucleic acids that catalyze a variety of reactions including ligation (4–6), DNA phosphorylation (7), RNA cleavage (8,9), thymine dimer photoreversion (10), formation of nucleopeptide linkages (11) and carbon–carbon bonds (Diels–Alder and aldol reactions) to name just a few (12,13). Unlike catalytic RNA, catalytic DNA has no precedent in nature (14–17). In the past few years, DNAzymes have emerged as prime candidates for applications ranging from biosensors of metal cations (4,18–23) to therapeutic agents for the sequence-specific destruction of mRNA for gene deactivation (8,24,25).

Generally, the selection for self-cleavage at a ribophosphodiester (RNA) linkage continues to predominate for several reasons including: (i) ease of selection (3,14,26), (ii) the precedence of naturally occurring catalytic RNA (27), (iii) the possibility of allostery for use in sensing (28) and (iv) the anticipated pharmaceutical and biotechnological applications of sequence-specific and catalytic mRNA destruction (25). In regards to this last application, the in vivo utility of ribozymes has been undermined by their relative chemical instability and susceptibility to ribonuclease-mediated degradation (29,30). DNA, being resistant to ribonucleases, represents an increasingly attractive platform for developing anti-mRNA catalysts.

To that end, highly efficient RNA cleaving DNAzymes (e.g. 10–23) have been identified. These generally require at least one divalent metal cation (M^{2+}) for optimal activity and, at 10–25 mM Mg^{2+} display impressive k_{cat} (~4 min^{-1}) and k_{cat}/K_m (10^9 M^{-1} min^{-1}) values. Nevertheless, when the same DNAzymes are investigated under physiological conditions, k_{cat}/K_m values fall in the range of 10^2–10^4 M^{-1} min^{-1} (31). Since the intracellular Mg^{2+} concentration lies in the range of 0.1–0.2 mM (32–35), the paucity of Mg^{2+} may seriously undermine the efficacy of DNAzymes as well as ribozymes for catalytic destruction of intracellular mRNA. We (36,37) and others (38–40) have recognized the value of catalytic nucleic acids that could operate efficiently at low or no Mg^{2+} so as to overcome this unfortunate limitation inherent to the intracellular milieu.

Examples of M^{2+}-independent RNA-cleaving DNAzymes are rare; reasonably efficient cleavage was observed but twice: (i) k_{obs} ~0.05 min^{-1} at 5 mM L-histidine in 1 M M^{+}Cl^- (41) and (ii) k_{obs} ~1 min^{-1} at pH 3–5 (42) where adenine and cytosine competently afford general acid/base catalysis as is seen in naturally occurring
ribozymes (43) and for a self-cleaving ribozyme selected under the same conditions (44). Three other reports characterized M$^{2+}$-independent cleavage by DNAzymes at pH ~7 in 0.25–1 M monovalent cations (Na$^+$, K$^+$). In two cases, 40–50 nt M$^{2+}$-independent self-cleaving DNA sequences were found to be incapable of turnover ($k_{\text{obs.}} \sim 0.07 \text{ min}^{-1}$) although the requirement for modifications was not absolute (see Discussion section) (30). Our early efforts in discovering synthetic M$^{2+}$-independent RNase A mimics that are ‘anatomically’ similar to M$^{2+}$-dependent ribozymes and DNAzymes began with the simultaneous enzymatic polymerization of DNA sequences that are modified with protein-like functionalities that are found at the active sites of e.g. RNase A (48,49).

Paralleling these elegant studies in rational RNase design, numerous reports have identified monomer nucleoside triphosphates (dTTPs where X is any given nucleobase) that are modified with protein-like functionalities: that can be enzymatically polymerized for use in combinatorial selection to potentially enhance the catalytic repertoire of nucleic acids (50–63). For example, Joyce et al. (64) selected a Zn$^{2+}$-dependent DNAzyme with RNase activity by incorporating a C5-imidazole functionalized deoxyuridine in lieu of its natural counterpart. Although this DNAzyme with three essential imidazoles operated with multiple turnover, it required 1–10 μM concentrations of Zn$^{2+}$. Thus, it is unlikely that this DNAzyme will be active in cells where the free Zn$^{2+}$ concentration is nanomolar or lower (65,66). There are only two reported cases of M$^{2+}$-independent DNAzymes bearing both imidazole and amine groups obtained by in vitro selection. Sidorov reported self-cleavage of a 12-nt RNA target ($k_{\text{obs.}} \sim 0.07 \text{ min}^{-1}$) although the requirement for modifications was not absolute (see Discussion section) (30). Our early efforts in discovering synthetic M$^{2+}$-independent RNase A mimics that are ‘anatomically’ similar to M$^{2+}$-dependent ribozymes and DNAzymes began with the simultaneous enzymatic polymerization of 8-histaminyl-dATP and 5-aminooxyl-dUTP (Figure 1A) and culminated in the discovery of 9$_{25}$-11, which was selected for self-cleavage of a single-embedded ribophosphodiester linkage where modified nucleobases 1 and 2 (Figure 1A) were obligately required for activity (68). Whereas at 37°C, $k_{\text{obs.}}$ for self-cleavage was found to be ~0.04 min$^{-1}$, detailed kinetic investigations identified a high rate constant for M$^{2+}$-independent self-cleavage ($k_{\text{obs.}} \sim 0.2–0.3 \text{ min}^{-1}$) and a temperature optimum of 13°C. The self-cleaving species was then converted to a trans-cleaving species that was synthesized using solid phase synthesis (69). This species cleaved the ribonucleoside linkage both with multiple turnover ($k_{\text{cat.}} \sim 0.03 \text{ min}^{-1}$, 25°C) and high sequence specificity (36,37). Attempts to improve activity by reselection with either 20 or 40 degenerate positions failed and instead the core sequence of 9$_{25}$-11 along with closely related sequence variants populated subsequent selections (data not shown). This result suggested that few viable solutions to M$^{2+}$-independent RNA cleavage exist when nucleotides 1 and 2 are used in the selection. ‘Tyranny of the small motif’ (16), a phenomenon attributed to the repeated discovery of the M$^{2+}$-dependent unmodified 8-17 motif (70) appears to extend modified selections as well.

The modest catalytic properties of Sidorov’s self-cleaving DNAzyme and of 9$_{25}$-11, along with our inability to reselect superior catalysts with the same two modified dXTPs led us to hypothesize that two modified bases are not sufficient to improve cleavage rates, and that a third functionality, a guanidinium, would augment catalytic activity as well as provide for catalysts that would be both chemically and sequentially different from 9$_{25}$-11. We based this hypothesis in part on the fact that the guanidinium group exhibits cationic character over a wide pH range (pK$_a$ ~ 12–13) and concomitantly stabilizes both duplex and triplex structures (71). More recently, both guanidinium and cationic amine functionalities that are found at the active sites of e.g. RNase A (48,49).

Figure 1. (A) Chemical structure of the modified triphosphates dA$_{im}TP$ 1, dU$_{aa}TP$ 2, dU$_{ga}TP$ 3 and dC$_{aa}TP$ 4. (B) Synthesis of the modified C$_5$-guanidinium deoxyuridine analog.

MATERIALS AND METHODS

Chemicals and reagents
dA$_{im}TP$ was synthesized according to a literature procedure (67), dU$_{aa}TP$ was purchased from Sigma-Aldrich...
and dC\textsubscript{aa}TP was obtained from TriLink. Starting materials and metal salts were obtained from Sigma-Aldrich, save for Fe(NO\textsubscript{3})\textsubscript{3}, H\textsubscript{2}O, and Co\textsubscript{2+}/Cu\textsubscript{2+} which were purchased from Fisher. LiClO\textsubscript{4} was purchased from J.T. Baker. Flash chromatography was carried out using Silica Gel (230–400 mesh) from Silicycle. Thin layer chromatography (TLC) and preparative TLC (prep-TLC) were performed on precoated glass-backed plates containing Silica Gel 60 F254 from EMD Chemicals. HPLC purification was performed on an Agilent 1100 system using a Phenomenex Jupiter 10\textsubscript{A} column. Cation was performed on an Agilent 1100 system with 10–15% 7 M urea denaturing PAGE. Ultrapure DNA Technologies (Coralville, IA, USA) and were purified by 10–15% 7 M urea denaturing PAGE. Ultratrace dNTPs were obtained from Fermentas. The nucleoside modifications did not affect the absorption of the modified dNTPs, and the corresponding incorporation was assessed by UV absorption (max = 289 nm).

**Synthesis of 5-(3-guanidinoallyl)-2'-deoxyuridine triphosphate (3).** Trifluoroacetic acid (17 µl) was added to triphosphate 5 (0.375 µmol), and the resulting mixture was stirred for 2 min. The solution was cooled down to –78°C and the reaction was quenched by addition of Et\textsubscript{3}O (170 µl). The mixture was then centrifuged, and the supernatant was removed. The pellet was washed with three portions of Et\textsubscript{3}O (85 µl) and dried under vacuum. The crude product was dissolved in H\textsubscript{2}O and purified by prep-TLC (dioxane/H\textsubscript{2}O/NH\textsubscript{4}OH 6:4:1). TLC showed that the reaction was not quite complete. Triphosphate 3 was further purified on HPLC using a linear gradient of 0–2% acetonitrile in H\textsubscript{2}O (over 20 min) containing triethyammomium bicarbonate (0.05 M, pH 7.5) yielding 85 mmol (23%) of product. Since the absorptions of the triphosphate modifications did not affect the absorption of 2, the phosphoribose product was quantified using e\textsubscript{max} = 7100 M\textsuperscript{-1} cm\textsuperscript{-1}. Retention time: 4.7 min. MS (MALD\textsuperscript{+}I): 564.6 (M–H). \(\lambda_{max} = 289\) nm.

**Buffers**

1. (cleavage buffer): 50 mM sodium cacodylate (pH 7.4), 200 mM NaCl, 1 mM EDTA. 2. (elution buffer): 1% LiClO\textsubscript{4}/Tris–HCl 10 mM (pH 8) in water. 3. (metals): 50 mM sodium cacodylate (pH 7.4), 200 mM NaCl and 500 µM M\textsuperscript{2+/3+} [M\textsuperscript{2+} = Co\textsuperscript{2+}, Cu\textsuperscript{2+}, Mn\textsuperscript{2+}, Ba\textsuperscript{2+}, Zn\textsuperscript{2+}, Ca\textsuperscript{2+}, Mg\textsuperscript{2+}, Cd\textsuperscript{2+}, Mn\textsuperscript{2+}, Mg\textsuperscript{2+} and M\textsuperscript{3+} = Yb\textsuperscript{3+}, Co\textsuperscript{3+}, Ce\textsuperscript{3+}, Fe\textsuperscript{3+}, Eu\textsuperscript{3+}, Sm\textsuperscript{3+}] or 5 mM Mg\textsuperscript{2+}. 4. (pH variance): 50 mM Tris–HCl, 200 mM NaCl, 1 mM EDTA. The pH of all buffers was adjusted to 5.97, 6.50, 6.94, 7.49, 7.94, 8.46 or 8.90. 5. (ionic strength): 50 mM sodium cacodylate (pH 7.4), 1 mM EDTA and NaCl (0 mM, 50 mM, 100 mM, 200 mM, 500 mM, 750 mM and 1 M). 6. (monovalent salts): 50 mM sodium cacodylate (pH 7.4), 1 mM EDTA and 200 mM MCI (M\textsuperscript{+} = K\textsuperscript{+} and Li\textsuperscript{+}).

**In Vitro selection**

Thirty picomoles of oligonucleotide 1 (5'-biotin-T\textsubscript{20}GGC TGGC CGGGCGGCTGCN\textsubscript{20}CTGTTGGTAGGGCCCAACAGGCACGGCGGTGTAC TCCGCTGCGGCGGCGTCG 6, phosphate-TAATACGACTCACTATA GGAGCTCGGCGGGCGTGC 7, GGGGC GGCCGCACATACGCCGCCGTGATGTGTTGGAGCCCAACAGGGCGACGGCTGTGCGGCTTAAAGAAAAAGGG GGAGCTGGAAGGGGCTAA 10 (Oligos 9 and 10 were used to assess incorporations of the modified dNTPs, see Supplementary Material). Biotin-T\textsubscript{40}r(CTGCGGCA CGUCU)GGTGGGCGCCTACCAACA 11. Biotin-T\textsubscript{40}m(CTGCGGCA CGUCU)GGTGGGCGCCTACCAACA 11. Biotin-T\textsubscript{40}m(CTGCGGCA CGUCU)GGTGGGCGCCTACCAACA 11. Biotin-T\textsubscript{40}m(CTGCGGCA CGUCU)GGTGGGCGCCTACCAACA 11. Biotin-T\textsubscript{40}m(CTGCGGCA CGUCU)GGTGGGCGCCTACCAACA 11.
washes of 100 μl NaOH 0.1 M and EDTA 1 mM, followed by a neutralization wash of 200 μl cacodylate 25 mM (pH 6) and one 100 μl water wash. The particles were then suspended in 100 μl buffer 1 at room temperature for 60 min. The reaction time was decreased from 60 min down to 1 min over the selection (rounds 1 to 4: 60 min; rounds 5 and 6: 5 min; rounds 7 to 9: 1 min). From round 4 onwards, self-cleavage activity was measured at 1 min, 5 min and 60 min. Following magnetization, the supernatant was precipitated (1% LiClO₄ in acetone), washed (EtOH), resuspended and resolved by 7% 7 M urea denaturing PAGE. The species corresponding to the cleaved product was eluted using buffer 2, precipitated and desalted. The PCR amplification of the resulting modified DNA followed the nested double PCR amplification method outlined in the selection of 9₂₀⁻¹₁ (68). In the first amplification step, the modified DNA was PCR amplified using primers 3 and 4 and an internal label (10 μCi dGTP \( \xi_{(\text{[32P]})} \)) for 30 cycles (15 s at 54°C, 40 s at 75°C and 15 s at 95°C). The reaction buffer included 0.07 U/μl Vent(exo-) DNA polymerase, 20 mM Tris-Cl (pH 8.8 at 25°C), 10 mM (NH₄)₂SO₄, 10 mM KCl, 3 mM MgSO₄, 0.1% gelatin, 7 μM oligonucleotides and 0.3 mM of each natural dNTP. Prior to purification by 10% 7 M urea denaturing PAGE, the amplicon was treated with lambda exonuclease. An aliquot was then further amplified using primers 5 and 6 with 0.1 U/μl Vent(exo-) DNA polymerase over 30 PCR cycles (using the same program as for the first amplification). The resulting product was precipitated (phenol–chloroform followed by an EtOH wash) and the phosphorylated strand was digested using lambda exonuclease. The single-stranded DNA product was then purified by 10% 7 M urea denaturing mini-PAGE and identified by UV-shadowing. The resulting DNA was used in the ensuing round of selection. A total of nine rounds of selection were performed.

Cloning of cDNAs

The ninth generation was amplified using Taq DNA polymerase with primers 3 and 7 to produce PCR products with 3’-A overhangs. These amplicons were then TA-cloned using the pGEM-T-Easy Vector Systems kit and were used to transform Escherichia coli DH10B via electroporation. The transformation was plated on LB Agar containing 100 mg/l ampicillin. White colonies were picked and used to inoculate 1 ml of TB containing Plasmid Miniprep Kit and were subjected to restriction digest using EcoRI. Plasmids containing a single insert of the correct size (as controlled by 2% agarose gels), were submitted for sequencing. The Nucleic Acid Protein Service Unit of UBC carried out the sequencing of the most active clones using an SP6 sequencing primer. From the 100 random clones, 34 contained single inserts. Synthetic oligonucleotides corresponding to the various clones were used as templates to synthesize modified DNA as described previously. Consequently, 5 pmol of each individual synthetic oligonucleotide were then immobilized on streptavidin magnetic particles. Following two short washes with 100 μl TEN buffer, the template strands were removed by three washes with 100 μl NaOH 0.1 M and EDTA 1 mM, followed by a neutralization wash of 200 μl cacodylate 25 mM (pH 6) and one 100 μl water wash. The modified DNAs were then incubated at room temperature in 40 μl of buffer 1. Eight time points were taken (2, 5, 10, 15, 30, 60, 120 and 1000 min) and resolved by 7% 7 M urea denaturing PAGE. Clone #86 showed the highest activity and was thus, fully characterized as reported herein.

Kinetic analysis of intramolecular cleavage

Thirty-two picomoles of primer 1 containing the embedded ribose, TR₅₅-biotin-T₂₀GGTGCGCCrCGTCT GTTGGGCCCTACCAACA-3’ was annealed to 30 pmol of synthetic template DNAzyme 9-86, then enzymatically polymerized at 37°C for 3 h using Sequenase 2.0 in a mixture containing SSB protein (5 U), 50 μM dA₅₅TP, 10 μM of each dU₅₅TP, dC₅₅TP, dGTP and 5–15 μCi of dGTP \( \xi_{(\text{[32P]})} \). The reaction was quenched by adding EDTA (25 mM final). The extension product was immobilized on 50 μl of prewashed magnetic streptavidin particles by incubating at room temperature for 30 min. The template strand was then removed by five washes of 100 μl NaOH 0.1 M and EDTA 1 mM, followed by a neutralization wash of 200 μl cacodylate 25 mM (pH 6) and one 100 μl water wash. The slurry of streptavidin particles in water was then divided in an appropriate amount of tubes and decanted. The avidin-bound modified DNA was incubated in 90 μl of buffer 1. Five microliters of the slurries were quenched in 15 μl formamide [containing biont (1 mM), EDTA (25 μM), 0.01% bromophenol blue and 0.01% xylene cyanol]. Samples were then heated (95°C, 5 min), cooled (0°C), magnetized and resolved by 7% 7 M urea denaturing PAGE. Visualization was carried out by means of a phosphorimag (Amersham Typhoon 9200) and polygons were drawn around the bands corresponding to the cleaved and uncleaved species. The data of the cleavage reactions were then fitted to first-order reactions with SigmaPlot 2001 (version 7.101) using equation (1):

\[
P_t = P_\infty \cdot (1 - e^{-kt})
\]

Where \( P_t \) and \( P_\infty \) are the fractions cleaved at time \( t \) and the end point of the reaction, respectively and \( k \) is the observed first-order rate constant. At least three independent sets of data were collected. In some cases, truncated bands were observed, even when using unmodified dNTPs. These unexplained truncates, were all found to interfere with a calculation of the rate constant. Indeed, because polygons were drawn around only the bands corresponding to the cleaved and uncleaved species, these truncated bands do not complicate the kinetics or interfere with a calculation of the rate constant.

Temperature dependence

The single-stranded modified DNAs were incubated in 90 μl of buffer 1 (which was preheated at the appropriate temperature for 30 min prior to the experiment) at various temperatures. Buffer 1 was prepared at 24°C and...
temperature (of a cacodylate solution remains relatively constant with temperature ($\Delta pH/\Delta T = -0.0015\ pH\ U/\circ C$) (73). All experiments were carried out under mineral oil to prevent evaporation. Temperature varied $<0.5\circ C$ in a VWR temperature controlled water bath. First-order rate constants ($k_{obs}$) were then obtained by fitting the fraction cleaved to equation (1). The results shown are the average of two independent data sets and each reaction was carried out in triplicate. Data were then fit to the Arrhenius equation (2):}

$$\ln(k_{obs}) = \ln \frac{A - E_a}{R \cdot T}$$

where $E_a$ is the activation energy and $A$ is the pre-exponential factor. Activation parameters were obtained by application of transition state theory using the Eyring equation (3) (74):

$$\ln \left( \frac{k_{obs}}{T} \right) = - \frac{\Delta H^\ddagger}{R \cdot T} + \ln \left( \frac{k_B}{R} \right) + \frac{\Delta S^\ddagger}{R}$$

**pH-rate profile and effect of ionic strength**

The dependence of the rate of self-cleavage of DNAzyme 9-86 on pH was measured by incubating single-stranded modified DNAs obtained as described above in 90$\mu$l buffer 4. The pH range was 5.94–8.90. The data were fitted to equation (4) (75):

$$k_{obs} = k_{max} \frac{1 + 10(\rho K_a - p\rho H) + 10(\rho H - pK_a) + 10(\rho K_a - pK_a)}{1 + 10(\rho K_a - p\rho H) + 10(\rho H - pK_a) + 10(\rho K_a - pK_a)}$$

where $k_{max}$ is the limit of the catalytic rate when [$H^+$] reaches zero and $K_a$ and $K_p$ are the ionization constants of the catalytically essential groups involved. The dependence on ionic strength was measured by using a buffer containing varying concentrations of NaCl (buffer 5) at room temperature. Buffer alone (without addition of salt) contained $<50\text{mM}$ of monovalent cation (Na$^+$). Again, the results shown are the average of two independent data sets and each reaction was carried out in triplicate.

**Determination of the rate constant with the all-RNA and 2'O-Me/RNA substrates**

Thirty-two picomoles of primer 11 containing 12 RNA nucleotides (5'-biotin-T$_{40}$r(GCGUGCCCGGUCU)GGTGGGCCCCTACCAACA-3') or primer 12 containing three ribonucleotides denoted as ‘r’ flanked by 2'O-Me-nucleotides denoted as ‘m’ (5'-biotin-T$_{40}$r(GCGUG)r(CCG)m(UCU)GGTGGGCCCCTACCAACA-3') were annealed to 30 pmol of synthetic template coding for DNAzyme 9-86, then enzymatically polymerized at 37°C for 3 h using Sequenase 2.0 in a mixture containing SSb protein (5 U), 50 $\mu$M dA$m$TP, 10 $\mu$M of each dU$^*$TP, dC$^*$TP, dGTP and 5–15 $\mu$Ci of dGTP $\times^{[32P]}$. The reaction was quenched by adding EDTA (25 mM final). The extension product was immobilized on 50 $\mu$l of prewashed magnetic streptavidin particles by incubating at room temperature for 30 min. The template strand was then removed by five washes of 100 $\mu$l NaOH and 0.1 M, EDTA 1 mM, followed by a neutralization wash of 200 $\mu$l cacodylate 25 mM (pH 6) and one 100 $\mu$l water wash. Ten units of SUPERase-Inhibitor (Ambion) were then added and the reactions were initiated by the addition of 90 $\mu$l of buffer I. The kinetic analyses were then carried out in a similar manner to what was used with primer 1.

**RESULTS**

**Synthesis of dU$^*$TP 3 and in vitro selection**

The modified deoxynucleoside triphosphate analog dU$^*$TP (5-guanidinopseudouridine) was easily obtained by guanidinylation of the commercially available triphosphate dU$^{na}$TP 2 with $N,N'$-di-Boc-$N''$-trifluoromethanesulfonylguanidine under mild conditions, followed by removal of the Boc-protecting groups (Figure 1B) (52,72). In preliminary experiments, dU$^*$TP was examined for its compatibility with in vitro selection methods, which require a modified triphosphate to be a substrate for polymerases in the template-directed primer extension reaction which affords modified DNAs that must be competent templates in a PCR. The thermostable polymerases Vent (exo-) and Sequenase V 2.0 were both shown to incorporate dU$^*$TP 3 opposite dA in a primer extension reaction and yield full length products (Supplementary Material). Moreover, the modified DNA could be recopied into natural DNA by PCR: Vent (exo-) proved useful for this, whereas Taq was found to be a less potent enzyme to amplify such modification-rich strands (Supplementary Material). For compatibility with dA$m$TP 1 (67), we chose to employ Sequenase V 2.0 for the synthesis of modified DNA in the primer extension reaction and Vent (exo-) for amplification by PCR.

Consequently, an initial population was generated by polymerizing the three modified nucleoside triphosphates dA$m$TP 1, dC$m$TP 2 and dU$^*$TP 3 (Figure 1A) along with dGTP on a template comprising 20 degenerate positions ($\leq 10^{12}$ sequences) by application of a protocol applied in other in vitro selection experiments (45,68,76). The 5'-biotinylated primer used in the selection contained an embedded ribonucleoside (rC) flanked at both sides by target guide DNA sequences derived from a corresponding HIV-LTR mRNA sequence. Following removal of the template strand and neutralization, the single-stranded modified DNA was incubated in 200 mM NaCl, 50 mM cacodylate (pH 7.4) and 1 mM EDTA at room temperature for 60 min. The stringency was gradually increased over the course of the selection by gradually decreasing the reaction time from 60 min (G1–G4), to 5 min (G5–G6), and finally to 1 min (G7–G9). The selection progress is depicted in Figure 2A, where activity was gauged at several time points for each generation. Activity was observed at a relatively early stage of the selection (2% cleavage after 60 min for round 3) and the reaction times were decreased when the population achieved a relatively strong activity (>5% cleavage). While the fraction of sequences that cleaved after 60 min dropped in the final
generations, the fraction of those cleaving within 5 min increased in generations 6–8 suggesting that increased stringency eliminated slow-to-cleave species in favor of both fast-to-cleave and inactive species. An explanation for this bifurcation is not immediately forthcoming.

After nine generations, cloning and sequencing resulted in 34 distinct sequences (Supplementary Material). The randomized regions of the sequences obtained from the selection varied substantially in length (between 18 nt and 26 nt) and often contained mutations in the target sequence at the 5’-end. Synthetic oligonucleotides corresponding to the cloned sequences were then used in an initial kinetic survey to assess their activity. Clone 86 showed the highest catalytic activity and was characterized further. The sequence and hypothetical 2D structure of DNAzyme 9-86 is shown in Figure 2B. The degenerate region is slightly shorter than the initial library (19 nt instead of 20 nt) and consists of two putative hairpin loops. In addition, the AT and GC contents are roughly equal (42% and 58%, respectively) and only three imidazole-containing residues are present.

Kinetic analysis of self-cleavage

In order to investigate self-cleavage, DNAzyme 9-86 was prepared as described above for the selection. Generally, a single major product was observed along with a slightly shorter truncated band that was catalytically inactive and thus did not interfere with kinetic analysis (see Materials and Methods section). Others have also observed catalytically inactive truncated products (30, 45). The full length transcript DNAzyme 9-86 self-cleaves with an average rate constant $k_{obs} = (0.167 \pm 0.007) \text{min}^{-1}$ ($R^2 > 0.99$); error is standard deviation from the exponential fit. Reaction conditions: 1 mM EDTA, 200 mM NaCl, 50 mM cacodylate pH 7.4, 24°C. A slightly truncated species is observed and remains relatively constant throughout the time course suggesting the enzymatic production of minor, catalytically inactive species. Rate constants were calculated using equation (1) based on the relative autoradiographic densities in both the uncleaved and cleaved bands. Time points were 1, 3, 6, 9, 12, 20, 30, 45, 60, 90, 120, 150, 180, 240 and 900 min.

The need for modifications

As with DNAzyme 9-25-11, the observed activity for DNAzyme 9-86 is strictly dependent on the presence of the modified bases; synthesis of strands where one, two...
or three modified dXTP(s) is/are replaced with an unmodified counterpart(s) results in species entirely devoid of catalytic activity (Figure 4). Indeed, the replacement of any one of the modified dNTPs by a natural counterpart led to a total suppression of activity (<10% cleavage over 1200 min, Figure 4A), as well as significantly higher amounts of truncated products that form even when using a complement of four unmodified dNTPs. The ablation of two of the modifications is even more deleterious (Figure 4B) and no modifications also gave inactive strands (data not shown). In addition, incorporation of aminoallyl-dUTP in lieu of dUgaTP, along with both dCaaTP and dAImTP, led to a complete suppression of activity suggesting that the guanidinium cation itself is required and cannot be readily replaced by an allylammonium ion (data not shown). Finally, exchanging dAImTP 1 for a closely related chemical homolog (4-imidazolylpropylamino-dA) dAhomTP (76,77), which contains but one additional methylene in the spacer linking the imidazole moiety to the adenine, led to catalytically inactive species (Figure 4B,C). These observations suggest that the incorporation of the three modified dNTPs leads to a finely tuned catalytic surface consistent with a veritable active site that is sensitive to rather minute alterations at the molecular level.

**Effect of temperature and pH-rate profile**

Because changes in temperature and pH often have a particularly drastic effect on the rate of M$^{2+}$-independent DNAzymes, 9-86 self-cleavage was investigated as a function of changing these parameters. The dependence of the rate constant on temperature is shown in Figure 5A. Even though DNAzyme 9-86 was selected at room temperature (24°C), the rate constant increases nearly linearly with temperature and reaches a maximum at 37°C before decreasing at higher temperatures. A break in the linear increase is reproducibly observed between 20°C and 30°C, hinting at a possible dynamic rearrangement of the secondary and tertiary structures of the DNAzyme, reflecting a second, catalytically competent conformation (78,79). In addition, the linear part of the Arrhenius plot (Figure 5B) was fit to equation (2) and gave an activation energy of 15.9 kcal mol$^{-1}$. By application of transition state theory [using the Eyring equation (3)], an enthalpy of activation of $\Delta H_f = 15.3$ kcal mol$^{-1}$ and an entropy of activation of $\Delta S^\ddagger = -11.9$ eu were obtained ($\Delta G_{298K}^\ddagger = 18.8$ kcal mol$^{-1}$).

As might be expected, the pH-rate profile adopts a bell shape (Figure 6). The rate of RNA-cleavage steadily increases with the pH over the range of 6.0–7.5 and reaches a plateau between pH 7.5 and 8.0 before decreasing over the range of 8–9. At lower pH values (i.e. between 6 and 7) the logarithmic value of $k_{abs}$ increased linearly with pH with a slope close to unity (0.86) (data not shown), suggesting that a single protonation event is involved in the rate-limiting step at pH values less than neutral. Using equation (4), the pH-rate profile analysis revealed $pK_a$’s of 7.4 and 8.1, consistent with $pK_a$’s of two catalytically relevant groups, most likely two imidazoles, although we cannot exclude the possibility of other functionalities acting as acids or bases (see Discussion section).

**Site of cleavage and comparison of the activity of DNAzyme 9-86 for DNA/RNA chimeric and all-RNA substrates**

DNAzyme 9-86 was selected to catalyze self-cleavage of a single ribophosphodiester linkage embedded in a DNA strand. Not surprisingly, DNAzyme 9-86 was incapable of self-cleavage when the 2’OH was replaced with an all-DNA or 2’OMe target (data not shown), thus demonstrating that cleavage does not occur via direct hydrolysis of an RNA or DNA linkage. In addition, this also excludes the possibility of DNA scission in the vicinity of the target ribose that might have proceeded either oxidatively (80) or via a two-step mechanism involving first depurination (81) followed by an AP-lyase-like catalyzed fragmentation (82). Moreover, the 3’-cleavage product was competently phosphorylated by T4 polynucleotide kinase showing that cleavage leads to the generation of a free 5’OH in the 3’-product (Supplementary Material) (46). Although the 5’-cleavage product remains uncharacterized, it is likely that DNAzyme 9-86 generates a 2’,3’-cyclic phosphate; otherwise it would be unprecedented if 9-86, instead of exploiting the 2’OH for anchimeric attack, were to activate water for cleavage. The resistance of the analogous DNA and 2’OMe sequences further argues against activation of water for hydrolysis.

Interestingly, DNAzyme 9-86 was also able to cleave a 12-nt long RNA substrate at presumably one specific location (Table 1 and Supplementary Material). Moreover, DNAzyme 9-86 could even cleave a 3-nt long RNA substrate embedded within a 9-nt long sequence of
2′OMe-containing ribonucleotides to afford a product of identical electrophoretic mobility. However, exchanging the chimeric DNA/RNA substrate for either an all-RNA or a 2′OMe/RNA chimeric substrate resulted in a substantial (≈100-fold) loss of activity (Table 1), but it is nonetheless noteworthy that this DNAzyme can cleave an all-RNA sequence (see Discussion section).

**Effect of monovalent ionic strength**

Because DNAzyme 9-86 was selected in the presence of 200 mM NaCl, the effect of ionic strength on the cleavage rate was also investigated. In contrast to 925-11, where the value of $k_{obs}$ fell linearly with decreasing ionic strength, in the concentration range tested (50 mM to 1 M) the observed rate of intramolecular cleavage is virtually indifferent to the ionic strength. Only when examined in 50 mM sodium cacodylate and no added NaCl was there a significant loss in rate of cleavage (Figure S5A, Supplementary Material). Finally, exchanging Na$^+$ for either Li$^+$ or K$^+$ resulted in no inhibitory effect, suggesting that DNAzyme 9-86 operates independently of the nature of the monovalent salt employed (data not shown).

**Effect of various M$^{2+}$ ions**

Our interest in exploring the advantages and limitations of using modified dXTPs to select for M$^{2+}$-independent RNA-cleaving agents stems from the realization that besides Mg$^{2+}$, the intracellular concentrations of other, free divalent metal cations are generally submicromolar if not much lower (65,66,83,84). Nevertheless, the significance of this work extends beyond the potential use as anti-mRNA agents; modifications, particularly imidazoles, specifically recognize certain divalent metal cations for sensing or for use in chemical catalysis at micromolar concentrations or higher. For instance, it was not surprising that 925-11 was strongly inhibited by mercury cations (85), a finding that prompted us to reselect for DNAzyme 10-13 that could be stimulated by Hg$^{2+}$ and could thus act as a sensor (76).

In light of the potential for enhanced affinity for soft metal cations, we investigated to what extent the activity of DNAzyme 9-86 was impaired by the presence of 500 μM M$^{2+}$/M$^{3+}$, 200 mM NaCl and cacodylate

![Figure 5](image-url)

**Figure 5.** (A) Temperature dependence of the intramolecular RNA cleavage, measured in 200 mM NaCl, 50 mM cacodylate pH 7.4, and 1 mM EDTA over a range of 4–53 °C. Cacodylate was chosen for a relatively constant pH at variable temperature ($\Delta$pH/$\Delta$T = −0.0015 pH U/C), and error bars indicate standard error on triplicate runs. (B) Arrhenius plot of the temperature dependence of DNAzyme 9-86 in the interval 4–32 °C. Fitting the data to a linear regression gave $E_a = 15.9 \pm 2.3$ kcal mol$^{-1}$ ($R^2 = 0.94$).

![Figure 6](image-url)

**Figure 6.** Cleavage rate dependence on pH, measured in (50 mM buffer, 200 mM NaCl, 1 mM EDTA) at room temperature, error bars indicate standard error on triplicate runs. Values for $pK_a$ were calculated using equation (4) (See Materials and methods section) to be 7.4 ± 0.1, 8.1 ± 0.1 and $k_{max} = 0.29 \pm 0.06$ min$^{-1}$ ($R^2 = 0.96$).

![Table 1](image-url)

**Table 1.** Rate constants of deoxyribozyme 9-86 with different substrates

| Substrate                        | $k_{obs}$ (min$^{-1}$) |
|----------------------------------|------------------------|
| DNA/RNA chimera                  | 0.134 ± 0.026          |
| All-RNA                          | 0.0014 ± 0.0001        |
| 2′OMe/RNA chimera                | 0.0021 ± 0.0002        |

2′OMe-containing ribonucleotides to afford a product of identical electrophoretic mobility. However, exchanging the chimeric DNA/RNA substrate for either an all-RNA or a 2′OMe/RNA chimeric substrate resulted in a substantial (≈100-fold) loss of activity (Table 1), but it is nonetheless noteworthy that this DNAzyme can cleave an all-RNA sequence (see Discussion section).
Table 2. Effect of metal cations on the cleavage rate of DNAzyme 9-86a

| Metal          | $k_{obs}$ (min⁻¹) | $k_{rel}$ |
|---------------|------------------|-------|
| Buffer only   | 0.1041           | 1     |
| Mg²⁺ (5 mM)   | 0.0580           | 0.56  |
| Mg²⁺ (0.5 mM) | 0.07752          | 0.75  |
| Mn²⁺          | 0.03807          | 0.37  |
| Ba²⁺          | 0.06509          | 0.63  |
| Co³⁺          | 0.04507          | 0.43  |
| Ce³⁺          | 0.005429         | 0.05  |
| Co²⁺          | 0.004388         | 0.04  |
| UO²⁻          | 0.07837          | 0.75  |
| Ca²⁺          | 0.07629          | 0.73  |
| Cu²⁺          | 0.05431          | 0.52  |
| Hg²⁺          | n.d.             | n.d.  |
| Eu³⁺          | n.d.             | n.d.  |
| Sm³⁺          | n.d.             | n.d.  |
| Cu⁺⁺          | n.d.             | n.d.  |
| Yb³⁺          | n.d.             | n.d.  |
| Cd²⁺          | n.d.             | n.d.  |
| Ni²⁺          | n.d.             | n.d.  |
| Zn²⁺          | n.d.             | n.d.  |

aReaction conditions: 200 mM NaCl, 50 mM cacodylate pH 7.4, 500 μM M²⁺/³⁺, RT.

50 mM, pH 7.4 at room temperature (Table 2 and Supplementary Material). At 0.5 mM, physiologically relevant metal ions, such as Ca²⁺ and Mg²⁺ had little or no effect on the cleavage rate (even in the absence of NaCl in the cleavage buffer; data not shown). Other divalent and trivalent metal cations, such as Mn²⁺, Co³⁺ and Fe³⁺ were found to be only mildly inhibitory. More aminophilic metals, such as Ni²⁺ and Zn²⁺, along with soft metal cations such as Cd²⁺, Cu²⁺ and Hg²⁺ having an exemplary affinity for soft imidazoles completely abolished cleavage. Unlike 9-25-11, DNAzyme 9-86 showed less selectivity for mercury since many other metal ions displayed a similar deleterious effect on its catalytic activity. Nevertheless, DNAzyme 9-86 still maintains a strong affinity for mercuric cations. Indeed, by application of the same kinetic analysis based on a steady-state inhibition model described in the metal survey of DNAzyme 9-25-11 (85), an apparent dissociation constant ($k_{d}^{app}$) of 80.9 ± 0.4 nM was calculated for Hg²⁺ (data not shown), which is similar to what had been measured for 9-25-11 ($k_{d}^{app} = 110 ± 9$ nM) (85). The significance of these findings is further addressed in the Discussion section.

**DISCUSSION AND CONCLUSION**

The highly functionalized DNAzyme 9-86 bears three different side chains mimicking the side chains of histidine, lysine and arginine, which populate the active sites of numerous protein enzymes. These functionalities allow this small 19-nt DNAzyme 9-86 to catalyze the cleavage of a single ribocytosine embedded in a DNA strand with a rate constant of 0.13 min⁻¹. This catalytic activity occurs without the aid of any divalent metal cations, and thus proceeds under conditions wherein most catalytic nucleic acids are catalytically impaired, if not entirely inactive. The rate achieved by DNAzyme 9-86 is comparable with what had been observed for DNAzyme 9-25-11 at 13°C (68), yet is much more active at 37°C, and exceeds catalytic rates by a >100-fold over other unmodified DNAzymes (45,46) and at least 2-fold over similarly modified DNAzymes (30).

Factors such as the presence of metal cations, variation of pH and change of the temperature affect the rate of RNA-cleavage of DNAzyme 9-86. Because nucleic acids are negatively charged biopolymers, their folding strongly depends on the presence of cations such as Na⁺ to neutralize the negative charges on the backbones and attenuate the resulting repulsion (86). However, increasing the ionic strength (from 50 mM to 1 M) of the cleavage buffer had a minimal effect on the rate constant of DNAzyme 9-86. This might be attributed to the stabilizing effect of the guanidinium side chains, which are known to stabilize duplexes and triplexes (71). Although the absence of any added salt (NaCl), resulted in a loss of activity, DNAzyme 9-86 efficiently cleaves the embedded ribocytosine bond at salt concentrations even as low as 50 mM, which is quite noteworthy since i) most other M²⁺/³⁺-dependent DNAzymes and ribozymes are only active at 1–4 M monovalent cations and ii) 9-25-11 displayed significant reduction in activity when the monovalent ionic strength was reduced from 200 mM to 50 mM.

As expected, DNAzyme 9-86 is most active in the total absence of divalent metal ions, which reflects the initial conditions used for the in vitro selection. In particular Mg²⁺, which is the only readily available intracellular divalent metal cation, had little effect on the rate constant of DNAzyme 9-86. Furthermore, DNAzyme 9-86 is strongly inhibited by a number of transition metal cations but only at concentrations that are much higher than physiological. This finding suggests that: (i) at physiological levels of such metal cations, DNAzyme 9-86 is active, and (ii) these three modified nucleosides may be useful for selecting interesting M²⁺/³⁺-based catalysts for sensing and/or chemical transformations.

Interestingly, a ~5-fold overall increase in rate constant was observed when the temperature was raised from 4°C to 37°C at which point the rate of self-cleavage reached an apparent maximum in contrast to 9-25-11 that diminished drastically at 37°C. A similar temperature dependence has been observed for DNAzyme 8-17, albeit with a more impressive rate increase (87). The activation parameters of 9-86 are similar to those observed for a high temperature Zn²⁺-dependent DNAzyme (74), but differ substantially from the values reported for DNAzyme 8-17 (87) and the hammerhead ribozyme (79).

The bell-shaped pH-rate profile of DNAzyme 9-86 is consistent with a two-step protonation–deprotonation mechanism: fitting the data to equation (4) revealed $pK_a$'s of 7.4 and 8.1, which are consistent with two imidazole groups involved in general base and general acid catalysis. Although we cannot exclude the possibility that a $pK_a$-perturbed allylamine or its conjugate base may act respectively as an acid or base, it is noteworthy that when the imidazoles are positioned on a slightly longer linker (propyl versus ethyl), no activity was observed. This suggests that at least two of the three imidazoles are intimately involved in some aspect of catalysis rather
than structural positioning. Nevertheless, pH-dependent conformational changes along with the possibility of other pKₐ perturbed groups may account for a bell-shaped pH-rate profile. As such kinetic ambiguity is seen in naturally occurring ribozymes (88) and because many ionizable groups may influence folding in this case, we are cautious in concluding that the bell-shaped rate profile necessarily represents acid and base catalysis or that it is due to two specific imidazoles. Future studies including affinity labeling will help to identify critical groups needed for acid-base catalysis (89).

Most DNAzymes that have been selected for the cleavage of single ribonucleotide linkage within a chimeric DNA substrate are either inactive or suffer drastic (~1000-fold) losses of activity in the presence of all-RNA substrates. In contrast, DNAzyme 17E cleaves an all-RNA substrate despite having been selected to cleave a DNA/RNA chimeric substrate (90). However, this property is not totally unexpected since 17E has a similar catalytic core to 8-17, which had been selected to target an RNA substrate. In contrast, DNAzyme 17E cleaves an RNA substrate. Further, the functional groups of DNAzyme 17E are critical for activity in both RNA/RNA and RNA/DNA substrates, further suggesting that the additional functionalities of DNAzyme 9-86 indeed enhance activity in terms of recognizing all-RNA substrates.

In contrast to the self-cleaving DNAzyme selected by Sidorov et al. where some residual activity was observed in the same sequence lacking both modifications, and approximately 10% or 30% activity was seen when either the amine or imidazole was respectively ablated, the functionalities adorning DNAzyme 9-86 are vital for the catalytic activity. Indeed, replacing all of any one modified nucleotide with its unmodified congener led to a total suppression of catalytic activity. Moreover, even replacing histaminy1-dA for imidazo1-propy1amino-dA abolished virtually all activity, suggesting that the putative active site of DNAzyme 9-86 is intolerant to even the minutest alterations either in regards to chemical composition or the spatial orientation of the side chains that are involved for either folding or catalysis, or both.

In conclusion, the in vitro selection discovery of DNAzyme 9-86 demonstrates how incorporation of three different nucleotides can be used combinatorially to discover catalytically active DNA enzymes which could be used in practical applications ranging from the catalysis of chemically useful reactions to the elaboration of novel biomaterials. In this regard, the addition of a third modification, in this case a guanidine, quantitatively altered either in regards to chemical composition or the spatial orientation of the side chains that are involved for either folding or catalysis, or both.

SUPPLEMENTARY DATA

Supplementary Data are available at NAR Online.

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