A New Miniature Characid (Ostariophysi: Characiformes: Characidae), with Phylogenetic Position Inferred from Morphological and Molecular Data

André Luiz Netto-Ferreira1*, José Luís Olivan Birindelli1, Leandro Melo de Sousa2, Tatiane Casagrande Mariguela3, Claudio Oliveira3

1 Museu de Zoologia da Universidade de São Paulo, São Paulo, São Paulo, Brazil, 2 Universidade Federal do Pará, campus Universitário de Altamira, Faculdade de Ciências Biológicas, Laboratório de Zoologia, Altamira, Pará, Brazil, 3 Departamento de Morfologia, Instituto de Biociências, Universidade Estadual Paulista, Botucatu, São Paulo, Brazil

Abstract

Erythrocharax altipinnis is described from the Serra do Cachimbo, Pará, Brazil. The new taxon is distinguished from all of the Characidae genera by having the pelvic bones firmly attached through the ischioplastral process; a nearly triangular hiatus in the musculature covering the anterior chamber of the swim bladder between the first and second pleural ribs (pseudotympanum); the pedunculate, notably expanded and distally compressed teeth in both jaws; circumorbital series represented by antorbital and four infraorbital bones with laterosensory canals not enclosed; a single tooth row in the premaxillary with the teeth perfectly aligned and similar in shape and cusp number; the first three branched dorsal-fin rays distinctly elongate in males; a bright red adipose and caudal fins in life; a conspicuous dark midlateral stripe extending from the opercle to the tip of the median caudal-fin rays; and by the absence of a humeral spot. The phylogenetic position of the new taxon is discussed using morphological and molecular datasets, with conflicting results of both approaches discussed. Additionally, a summarized discussion on the current problems in the Characidae taxonomy is presented and the principal biases in the morphological dataset are also discussed.

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Introduction

The family Characidae is the fourth largest family of fishes in the World, with over 1100 valid species, being only less species-rich than the freshwater Cyprinidae and Cichlidae, and the mostly marine Gobiidae [1], [2]. Contrary to these three families, the Characidae are primarily restricted to South America (ranging from Texas, U.S.A, to Argentina) and has the highest per annum rate of new species being described, 46 in 2010 [2]. Also striking in Characidae is the poor knowledge about the taxonomy of the species and their interrelationships. Approximately half of the entire diversity of the Characidae was listed as incertae sedis by Lima et al. [3]. In addition, most of the larger genera, such as Astyanax Baird & Girard, Monticuiaus Eigenmann, Hypsechoycon Durbin, and Homigymnus Gill, are considered to be non-monophyletic [3], and to require in depth analyses [1], due to species-level taxonomic problems.

Recently, Miranda [4] proposed a new hypothesis of relationships within the Characidae based on morphological characters. His analysis incorporated a large number of taxa and characters, and used implied weighting to achieve a better resolution. The major outcomes of his analysis were to define a monophyletic Characidae, composed of 15 monophyletic subfamilies and 7 unnamed monophyletic clades, as well as the corroboration of the non-monophyly of the largest incertae sedis genera as proposed by Lima et al. [3]. Concomitant with Miranda [4], and succeeding a series of anterior studies focusing in the relationships of the Characiformes [5], [6], [7], two important papers were recently published using molecular data to investigate the phylogenetic relationships within the Characidae [8], [9]. Both contributions proposed similar relationships among the Characidae, but Oliveira et al [9], the most comprehensive of these studies, proposed a more constrained Characidae composed of four clades, one consisting exclusively of Spintherobolus, the second being the Strebaliinae (similar to that proposed by Miranda [4] but with the inclusion of Matinano), the third comprising the Aphyocharacinae, Characinae, Cichrodoninae and Tetragonopterinae, and the fourth including Stethaprioninae, Rhoodsiniae and a variety of genera, most previously recognized as incertae sedis by Lima et al. [3].

A recent expedition to the headwaters of the rio Curuá yielded a new species of characid, which could not be allocated to any described genus. The aim of the present contribution is to describe this new taxon and discuss the different hypotheses of its phylogenetic placement within Characidae based on both morphological and molecular data using the frameworks of the
Materials and Methods

Counts and measurements follow Fink & Weitzman [10] and Menezes & Weitzman [11]. All measurements were made point-to-point with dial calipers and data recorded to tenths of a millimeter on the left side of the specimens whenever possible. Standard length is presented in mm, all meristic data are given in the description, followed by the frequency for each count in parenthesis and an asterisk indicating counts of the holotype. Vertebræ, supraneurals, procurent caudal-fin rays, branchiostegal rays, gill-takers, teeth counts and teeth cusps number were taken only from cleared and double-stained paratypes (c&s) prepared according to Taylor and Van Dyke [12]. Vertebræ of the Weberian apparatus were included as four elements, and the fused PU1+U1 of the caudal region as a single element. Pattern of circuli and radii was observed on scales sampled from the region between the lateral line and the insertion of the dorsal fin. Specimens were collected under permit number 104/2006 issued by DIFAP/IBAMA. The collecting locations reported in this work were not protected in any way and the field studies did not involve endangered or protected species. In the material listed, the specimens are all preserved in alcohol, except when cleared and stained, indicated by CS. Institutional abbreviations are ANSP for Academy of Natural Sciences of Philadelphia, LBP for Laboratório de Biologia e Genética de Peixes, and MZUSP for Museu de Zoologia da Universidade de São Paulo.

The morphologic phylogenetic study follows Mirande’s [4] framework with the posterior additions of Mirande et al. [13] and Malabarba et al. [14], whereas the molecular phylogeny methodology (data production and analysis) is based in Oliveira et al. [9] and the results of Tagliacollo et al. [15] and Mariguela et al. [16]. The cladistic analysis of the morphological dataset was performed with aid of the software Tree analysis using New Technology – TNT, licensed to the Willi Hennig Society [17] using the heuristic search algorithm “Multi” with 5000 replicates, using the TBR branch swap algorithm. Fundamental trees obtained were submitted to an additional branch swap by the command “bb” to search for unexplored islands of trees with less steps. Characters regarding bones or associated structures absent in Erythrocharax (i.e. laterosensory canal system of absent infraorbits; modifications of the rhinophenoid) were coded as inapplicable, contrary to Mirande [4], who attributed states to characters fitting these conditions. The complete coding for Erythrocharax altipinnis following character sequence presented in Mirande [4] and subsequently modified by Mirande et al. [13] is presented in Table S1. All sequences obtained were deposited in GenBank under the accession numbers: 16S: JX570761, CytB: JX570762, Myh6: JX570763, Rag2: JX570764.

Nomenclatural Acts

The electronic edition of this article conforms to the requirements of the amended International Code of Zoological Nomenclature, and hence the new names contained herein are available under that Code from the electronic edition of this article. This published work and the nomenclatural acts it contains have been registered in ZooBank, the online registration system for the ICZN. The ZooBank LSIDs (Life Science Identifiers) can be resolved and the associated information viewed through any standard web browser by appending the LSID to the prefix “http://zoobank.org/”.

doi:10.1371/journal.pone.0052098.g001. The LSID for this publication is: urn:lsid:zoobank.org:pub:2E486AD8-5387-4EA5-B744-7B4DD34A79FA. The electronic edition of this work was published in a journal with an ISSN, and has been archived and is available from the following digital repositories: PubMed Central, LOCKSS.

Results

Erythrocharax, new genus

(urn:lsid:zoobank.org:act:525F06FB-CF25-482B-9E26-6B34EF3380F6)

Figs. 1A–B, 2

Type species. Erythrocharax altipinnis, new species, by monotypy and original designation.

Diagnosis. Erythrocharax is distinguished from all other genera of the Characidae by having the pelvic bones firmly attached through the isquiatic processes (Fig. 3). Additionally, the new genus can be further distinguished from other Characidae by having a nearly triangular hiatus in the musculature covering the anterior chamber of the swim bladder between the first and second pleural ribs (pseudotympanum – Fig. 4); the pedunculate, notably expanded and distally compressed teeth in both jaws (Fig. 5); circumorbiatal series represented by anterior and four infraorbital bones with laterosensory canals not enclosed (Fig. 6); a single tooth row in the premaxillary with the teeth perfectly aligned and similar in shape and cusp number; the first three branched dorsal-fin rays distinctly elongate in males; a bright red adipose and caudal fins in life; a conspicuous dark midlateral stripe extending from the opercle to the tip of the median caudal-fin rays; and by the absence of a humeral spot.

Etymology. From the Greek erythrus, meaning red, in reference to the bright red coloration of the adipose and caudal fin in live specimens, plus the suffix -charax, as generally applied for genera of the Characidae.

Erythrocharax altipinnis, new species

(urn:lsid:zoobank.org:act:AF75AED3-B651-416C-B3CB-64410807DA6D)

Figs. 1A–B, 2

Holotype. MZUSP 111000 (26.2 mm SL), Brazil, Pará, rio Curuá, tributary of rio Iriri, on bridge of BR-163, 8°53’54’S 54.00641087DA6D)

Figure 1. Erythrocharax altipinnis. (a) holotype, MZUSP 111000, 26.2 mm SL; (b) paratype, MZUSP 110999, 22.4 mm SL, rio Curuá, tributary of rio Iriri, rio Xingu basin. doi:10.1371/journal.pone.0052098.g001
Figure 2. Erythrocharax altipinnis live paratype, MZUSP 110999, 25.8 mm SL, rio Curuaçu, tributary of rio Iriã, rio Xingu basin, depicting live color pattern of species. doi:10.1371/journal.pone.0052098.g002

54°59′20″W, rio Xingu Basin, 22 Jan 2009, J. L. Birindelli, A. L. Netto-Ferreira, L. M. Sousa & P. Hollanda-Carvalho.

Paratypes. ANSP 199143 (1, 24.3 mm SL), MZUSP 110999 (6, 22.4–26.0 mm SL), 1 CS, 23.5 mm SL, LBP 10881 (1, 24.3 mm SL); collected with holotype.

Diagnosis. Same as that of the genus.

Description. Morphometric data presented in Table 1. Overall size small [largest examined specimen 26.2 mm SL]. Body compressed, moderately elongate. Greatest body depth at dorsal-fin origin. Dorsal profile of head slightly convex from upper lip to vertical through nares; mostly straight from latter point to tip of supraoccipital spine; convex from tip of supraoccipital spine to dorsal-fin origin, straight from dorsal-fin base to adipose fin; slightly concave between latter point and origin of anteriormost dorsal fin origin. Ventral profile of head and body distinctly convex from lower lip to anal-fin origin; slightly convex along anal-fin base, and concave between terminus of anal-fin and anteriormost prominent caudal-fin ray. Caudal fin forked, lobes slightly rounded and similar in size. Principal caudal-fin rays i,9/1,7*(9). Dorsal fin origin slightly anterior to dorsal-fin rays 7(1); ventral caudal-fin rays 7(1), Total vertebrae 34(1) with 16(1) precaudal and 18(1) caudal.

Color in life. Ground color of body grayish, with blood red and dark chromatophores lightly scattered over entire body in males, and all except ventral portion in females. Lower lip, snout, tips of head and dorsal portion of body lightly pigmented, resulting in weak countershaded color pattern. Humeral blotch absent. Five dorsalmost scale rows with dark chromatophores along distal border of scales, forming discrete reticulate pattern in mid-dorsal portion of dorsolateral surface. Narrow, conspicuous, dark midlateral stripe formed by concentrated dark chromatophores along longitudinal septum of body slightly curved ventrally anteriorly, extending from pectoral girdle to tip of caudal peduncle, becoming distinctly wider from the latter to tip of median caudal-fin rays. Ains somewhat hyaline, with distinct concentration of chromatophores on rays and intervening membranes (Fig. 1A–B).

Color in alcohol. Ground light yellowish with chromatophores lightly scattered over entire body in males, and all except ventral portion in females. Lower lip, snout, tips of head and dorsal portion of body lightly pigmented, resulting in weak countershaded color pattern. Humeral blotch absent. Five dorsalmost scale rows with dark chromatophores along distal border of scales, forming discrete reticulate pattern in mid-dorsal portion of dorsolateral surface. Narrow, conspicuous, dark midlateral stripe formed by concentrated dark chromatophores along longitudinal septum of body slightly curved ventrally anteriorly, extending from pectoral girdle to tip of caudal peduncle, becoming distinctly wider from the latter to tip of median caudal-fin rays. Ains somewhat hyaline, with distinct concentration of chromatophores on rays and intervening membranes (Fig. 1A–B).

Sexual dimorphism. Despite their minute size (22.4–26.2 mm SL), specimens of Erythrocharax examined herein were found to be mature and sexually dimorphic, representing a miniature species in the sense of Weitzman & Vari [18]. Males of Erythrocharax have distinctly darker background coloration of the present. Anal-fin rays i(1), 20(2), 21*(5) or 22(2). Anteriormost anal-fin pterygiophore inserted behind haemal spine of 17th(1) centrum. Caudal fin forked, lobes slightly rounded and similar in size. Principal caudal-fin rays i,9/1,7*(9). Dorsal fin origin slightly anterior to dorsal-fin rays 7(1); ventral caudal-fin rays 7(1), Total vertebrae 34(1) with 16(1) precaudal and 18(1) caudal.

Figure 3. Dorsal view of pelvic girdle of Erythrocharax altipinnis, MZUSP 110999, 23.5 mm SL. Scale bar = 1 mm. doi:10.1371/journal.pone.0052098.g003

Figure 4. Lateral view of dissected paratype of Erythrocharax altipinnis MZUSP 110999, 23.5 mm SL, depicting the pseudotympanum (indicated by arrow). doi:10.1371/journal.pone.0052098.g004
body with the longitudinal stripe wider and more intense coloration of fins (Fig. 1A). Additionally, adult males also have distinctly longer dorsal- and anal-fin rays, forming a nearly straight distal margin (vs. anal-fin distal margin concave in females – Fig. 1A and 1B, respectively). Bony hooks were not observed on the fin rays.

**Distribution.** The new species is only known from its type locality (Figs. 7 and 8), the rio Curua, at Serra do Cachimbo, Pará, Brazil.

**Etymology.** From the Latin *alti*, meaning elongate, and *pinnis* meaning fin, in reference to the elongate dorsal-fin rays in males.

**Discussion**

The presence of pelvic bones firmly attached through the ischiatic processes seem to represent a unique condition among the Characidae sensu Oliveira et al. [9]. Similar condition was described by Mirande [4] in the triportheids *Agniates* Muller & Troschel, *Clupeacharax* Pearson, and *Engraulisomia* Castro, where the pelvic bones also articulate. That condition differs from the observed in *Erythrocharax*, in which the pelvic bones are strongly ankylosed along most of its medial surface, with only a discrete medio-sagittal septum in its ventral surface, whereas in those members of the Triportheidae the pelvic bones articulate via interdigitations of the ischiatic processes which corrugate the counterparts [4]. The remaining diagnostic characteristics of *Erythrocharax*, on the other hand, seem to have complex evolution patterns in Characidae and have apparently evolved and reversed independently several times in the family as discussed in [4], [14], [19], [20]. The pseudotympanum, for example, is a feature present in several subsets of Characidae and its distribution in the family has been exhaustively discussed by several authors [21], [22]. Similarly, the presence of a single row of teeth in the premaxillary represents the plesiomorphic condition of the Characiformes and is also a widespread feature in Characidae. However, among all the taxa with a single premaxillary tooth row, only *Erythrocharax*, *Coptobrycon*, *Spintherobolus* and the Cheirodontinae (except *Prodontobolus*) present multicuspid, largely expanded, distally compressed teeth, whereas all other groups present conical or tricuspid cylindrical teeth. *Erythrocharax* can be distinguished from *Coptobrycon* by the absence of a longitudinal stripe onto the anal-fin base, and the presence of maxillary teeth (vs. longitudinal stripe present and maxillary teeth absent [23]), and from *Spintherobolus* by lacking the anteriormost proximal radial of the anal fin with an anteriorly extended lamina, and short caudal peduncle (vs. anteriormost proximal radial with anteriorly extended lamina and caudal peduncle extremely elongate). Although *Erythrocharax* has all the synapomorphic features listed by Malabarba [21] defining the Cheirodontinae, it is distinguished from all genera of that group with teeth bearing spatulated crowns by the presence of distinctly separate nostrils (vs. anterior and posterior nostrils overlapping, separated only by a skin fold). *Erythrocharax* is further distinguished from all the Cheirodontini by lacking modifications of the procurent caudal-fin rays [21]; from the Compsurini by lacking modifications on rays, scales and soft tissues of the caudal fin [21]; from *Odontostilbe* by having the second unbranched dorsal-fin ray and the unbranched pelvic-fin ray not elongated; from *Pseudochirodon* by having the symphysial dentary joint articulated through intercalated bony folds, the posterior tip of maxilla wide, and the maxilla straight (vs. curved); from *Aphyochirodon* and *Cheirodonops* by lacking modifications on rays of ventral lobe [24]; from *Kolpotocheirodon* by lacking a specialized caudal organ; from *Ctenocheirodon* by presenting seven ventral caudal-fin procurrent rays, not forming a ventral keel along ventral margin of caudal peduncle of male specimens (vs. 16–19 procurent rays forming a keel on males [25]).

Despite the morphological similarities between *Erythrocharax* and the aforementioned genera and the Cheirodontinae of Malabarba.
[21], phylogenetic analyses including the new taxon in Mirande’s [4] data matrix (with additions of Mirande et al. [13], and Malabarba et al. [14]) results in the genus being deeply nested in the Characidae of Mirande [4], and included with Rhoadsiinae, as the sister group of a clade comprised by (Cheirodontinae + Aphyocharacinae + Aphyoditeinae) (complete tree available as Figure S1). This group is supported by five synapomorphies common to all fundamental trees obtained in the traditional search with equal weights: the sphenotic spine not reverted in Stevardiinae). The search using implied weights with K teeth of gill rakers on the second ceratobranchial (Char. 193); and the maxilla not reaching posterior margin of premaxillae articulating with each other anterior to the mesethmandibula (Char. 10); the short mesethmoid spine, with the synapomorphies common to all fundamental trees obtained in the hypotheses presented by Mirande [4] and Mirande et al. [13]; and the third based on molecular data [9]. Therefore, the exclusion of Erythrocharax from any recognized genus in Characidae by all three approaches, as well as the definitions of the genera following classical studies such as those of Eigenmann [26], underpins the current decision to erect a new name for the described taxon.

Recently, several authors have debated the use of different sources of characters (especially morphological and molecular data) in phylogenetic analyses [27]. Although our contribution primarily deals with the description of a new monotypic genus, the attempt to understand its relationships using the three alternative approaches shows, quite obviously, that the definition and understanding of relationships among species of Characidae is still incomplete in Neotropical Ichthyology, and lacks robust data. Such robustness should not only include the use of advanced methodological approaches to reduce biased topologies, but also extended towards taxa and character sampling, in an attempt to produce more stable phylogenetic hypotheses. At this time, ichthyologists stand at a point where less than 20% of the characid diversity has been included in any morphological and/or molecular analyses [4], [8], [9], [13], and these studies do not represent the complete picture of relationships within the family.

In addition to the concerns involving effects of the subsampling on clade stability, and therefore, nomenclature stability, it is also important to highlight problems regarding the current morphological framework of the Characidae phylogeny. Mirande [19] proposed a criterion termed “order”, for selecting the “K” (concavity constant) in analyses using implied weighting searches. However, Mirande [19] was not explicit as how to calculate the order either in that or subsequent contributions [4], [13] in which the criterion was applied. Details as to the criteria employed to establish the limits of high or low “order” are also lacking, making it impossible to replicate similar criteria for the phylogenetic analyses using a matrix modified from Mirande’s data. Not only is this problematic in terms of the Scientific Method, but replicability of previous analytical settings is crucial for clade stability in future phylogenetic studies of the Characidae, since several clades defined in the hypotheses presented by Mirande [4] have rather low support and the use of distinct “K” values greatly changes the relationships among several species, genera and subfamilies. Some of Mirande’s [4] characters must also be submitted to further scrutiny regarding homology criteria used to propose characters such as “Presence of bony hooks”, in which hooks coded as present regardless of which fin bears such hooks. Although, the presence of bony hooks on fins rays is a widespread feature of the Characidae, the homology of these structures depend on their shape, position, and distribution in each fin. Mirande [4] split continuous values of meristic features in several dependent binary characters, such as those involving (e.g., fin ray numbers; number of teeth), or those involving even presence/absence and distribution of bony hooks (characters 307–316), resulting in excessive weight to the presence in regard to absence of such structures.

Table 1. Morphometric data for Erythrocharax altipinnis, including holotype and eight paratypes.

| Holotype  | N  | Mean | Range | SD  |
|---------|----|------|-------|-----|
| Standard Length (mm) | 26.2 | 9 | 24.1 | 22.4 - 26.2 |
| Percentages of Standard Length |
| Depth at dorsal-fin origin | 34.7 | 9 | 34.4 | 33.1 - 36.0 | 0.98 |
| Snout to dorsal-fin origin | 55.8 | 9 | 54.8 | 53.3 - 55.8 | 1.01 |
| Snout to pectoral-fin origin | 29.8 | 9 | 29.8 | 28.4 - 31.1 | 0.89 |
| Snout to pelvic-fin origin | 47.9 | 9 | 48.2 | 44.2 - 51.0 | 1.90 |
| Snout to anal-fin origin | 65.5 | 9 | 64.4 | 62.4 - 66.8 | 1.43 |
| Caudal-peduncle depth | 10.5 | 9 | 11.3 | 10.5 - 11.7 | 0.45 |
| Caudal peduncle length | 8.97 | 9 | 9.2 | 7.8 - 10.5 | 0.87 |
| Pectoral-fin length | 20.0 | 9 | 19.4 | 17.8 - 20.7 | 1.13 |
| Pelvic-fin length | 17.8 | 9 | 17.9 | 14.9 - 20.5 | 1.87 |
| Dorsal-fin base length | 14.5 | 9 | 14.4 | 13.1 - 15.8 | 0.95 |
| Dorsal-fin depth | 32.9 | 9 | 32.4 | 30.2 - 35.7 | 2.17 |
| Anal-fin base length | 32.1 | 9 | 30.5 | 28.3 - 33.6 | 1.67 |
| Anal-fin lobe length | 19.6 | 9 | 18.6 | 17.8 - 19.6 | 0.53 |
| Eye to dorsal-fin origin | 40.7 | 9 | 41.0 | 39.9 - 42.0 | 0.74 |
| Dorsal-fin origin to caudal-fin base | 53.0 | 9 | 51.7 | 49.9 - 53.0 | 1.04 |
| Bony head length | 27.6 | 9 | 28.2 | 26.8 - 30.3 | 1.00 |
| Percentages of head length |
| Horizontal eye diameter | 37.5 | 9 | 35.4 | 33.2 - 37.5 | 1.42 |
| Snout length | 23.0 | 9 | 24.1 | 20.3 - 27.1 | 2.13 |
| Least interorbital distance | 27.7 | 9 | 26.2 | 22.5 - 29.5 | 2.08 |
| Upper jaw length | 31.1 | 9 | 30.9 | 26.9 - 34.8 | 2.09 |

SD – Standard Deviation.

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Additionally, several characters of Mirande [4] have problematic state definitions, with state descriptions not complementing each other, leaving an “intermediate zone” between them (i.e. characters 137, 219, 226). In such cases Mirande [4] coded the intermediate forms as polymorphic instead of assigning new states to those characters. Both cases affect the primary requisite of character and character state proposition: characters should be independent among each other; and character states should be mutually exclusive [28], and, therefore, complementary. Finally, the phylogenetic significance of characters such as “angle between the lateral ethmoids” (character 16) or “number of 2n chromosomes” (character 361–365), among others, must also be critically examined. Such problems in the current morphological dataset along with the subsample problems discussed above demand careful review and should not be overlooked in future contributions so that a more stable classification of Characidae can be achieved.

Supporting Information

Table S1  Character states of *Erythrocharax altipinnis*, gen. n. and sp. n. Character follows that of Mirande [4], with the addition of Mirande et al. [13].

Figure S1 Phylogenetic relationships among the Characidae constructed with morphological data. The position of *Erythrocharax altipinnis* is shown. Incongruences between morphological and molecular approaches, and shared terminal taxa are demonstrated through colored clades matching colors presented in the Maximum Likelihood tree (Figure S2).
Figure S2 Phylogenetic tree showing relationships among the characid species analyzed by a Maximum Likelihood (ML) partitioned analysis of the concatenated dataset. The numbers at each node represent the percentage of bootstrap support obtained by ML (1000 bootstrap replicates). Nodes not supported by values higher than 50% were collapsed.

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Author Contributions

Conceived and designed the experiments: ALNF JLOB CO. Performed the experiments: TCM. Analyzed the data: LMS. Contributed reagents/materials/analysis tools: CO LMS TCM. Wrote the paper: ALNF JLOB CO.

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