Insensitivity of Ion Motional Heating Rate to Trap Material over a Large Temperature Range

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We present measurements of trapped-ion motional-state heating rates in niobium and gold surface-electrode ion traps over a range of trap-electrode temperatures from approximately 4 K to room temperature (295 K) in a single apparatus. Using the sideband-ratio technique after resolved-sideband cooling of single ions to the motional ground state, we find low-temperature heating rates more than two orders of magnitude below the room-temperature values and approximately equal to the lowest measured heating rates in similarly-sized cryogenic traps. We find similar behavior in the two very different electrode materials, suggesting that the anomalous heating process is dominated by non-material-specific surface contaminants. Through precise control of the temperature of cryopumping surfaces, we also identify conditions under which elastic collisions with the background gas can lead to an apparent steady heating rate, despite rare collisions.

A system of trapped atomic ions addressed with electromagnetic fields is a promising candidate for large-scale quantum processing [1], but to achieve fault-tolerance, multi-qubit gate fidelity must be increased [2]. As all multi-qubit ion gates demonstrated to date rely on the Coulomb interaction to manipulate internal states via external, motional states in a harmonic potential, electric field noise near the trap-frequency can lead directly to gate error. Indeed, motional-state heating [3] currently limits two-qubit ion gate errors at or above the $10^{-3}$ level [4, 5]. This electric-field noise appears to originate from (typically highly conductive) trap-electrode surfaces, and it seems to be much larger than the expected Johnson (thermal) noise. The origin of this noise is currently unknown, but it appears to be a thermally activated process, as it is greatly reduced at low trap-electrode temperature [6, 7]. It is also greatly reduced via in-vacuum ion-milling of the electrodes [8, 9], suggesting that surface effects are the primary source.

Here, using a specialized cryogenic UHV apparatus, we present ion heating rate measurements over a more-complete temperature range than has been previously demonstrated. We present temperature-dependent heating rates in niobium traps for the first time, and we extend the range of measurements in gold traps beyond previously published work [10], measuring heating rates up to room temperature in both materials in the same system. We also explore motional decoherence caused by background gas collisions in order to rule out the possibility in our experiments. It is hoped that with these and further temperature- and material-dependent data, the mechanism of anomalous ion heating may be determined and the error from heating subsequently reduced, possibly through development of a materials or (ex situ) processing solution.

Using an apparatus described previously [11], we load individual $^{88}\text{Sr}^+$ ions into segmented, single-zone, surface-electrode linear Paul traps [12] 50 μm from the electrode surface. The traps are fabricated from sputtered niobium (2 μm thick), or thermally evaporated gold (500 nm thick), on a sapphire substrate. Chips are patterned via standard photolithography and wet (Au) or dry (Nb) etching with minimum gaps between electrodes near the ion location of 4–6 μm. A radio frequency (RF) potential of approximately 35 V amplitude at 27 MHz is applied using a helical resonator to produce radial secular frequencies of 4–5 MHz. DC potentials up to 25 V are applied to the control electrodes to produce an axial trap frequency of 1.32 MHz using a commercial, multi-channel, computer-controlled digital-to-analog converter [13] (DAC) followed by home-built DC amplifiers (gain ∼ 4). From potential simulations we calculate a trap depth in this configuration of ∼ 15 meV. To reduce electric field noise near the trap frequency, the control electrode lines are filtered close to the trap with single-stage low-pass RC filters (cutoff frequency ∼ 16 kHz) at low temperature and outside the chamber with multi-stage low-pass filters [14] (cutoff frequency ∼ 50 kHz; we measure > 95 dB rejection at 1.32 MHz).

The trap chip is attached to a small copper block (the trap stage) which contains a resistive heater. The trap stage is connected via a weak thermal link to the low-temperature (LT) stage of a vibration-isolated cryocooler. The dominant thermal conductance between the trap stage and the LT stage is through approximately 50 gold wire bonds and allows a large temperature gradient between the two stages. At base temperature, approximately 3.5 K at the LT stage, the trap chip gets below 5 K, as measured by a calibrated diode temperature sensor mounted on the chip, with RF potential applied and cooling laser beams on. The chip can be heated via the trap stage to room temperature while maintaining the LT stage at a temperature of 17 K or lower, sufficient for UHV operation. The trap chip, trap stage, and LT stage are surrounded by a thermal radiation shield held at a temperature of approximately 55 K.

We load single ions into the trap from a pre-cooled source of magneto-optically trapped neutral strontium...
atoms (MOT). The MOT is loaded from an oven which has no direct line of sight to the trap chip \cite{11}. The ions are cooled to approximately the Doppler limit by excitation on a strong optical transition \((S_{1/2} \rightarrow P_{3/2})\) and simultaneous repumping from the long-lived \(D_{5/2}\) level to the \(P_{1/2}\) level (see Fig. 1(a) for a description of the relevant ion energy levels). The kinetic energy of the ions is further reduced by performing pulsed resolved sideband cooling \cite{15} using a narrowed (linewidth \(< 5\) kHz) 674 nm laser red-detuned by the trap frequency from the \(|S_{1/2}, m_j = -1/2\rangle \rightarrow |D_{5/2}, m_j = -5/2\rangle\) transition, in combination with quenching light near 1033 nm to couple the \(D_{5/2}\) to the \(P_{3/2}\) and return the ion to the \(S\) state. Sideband cooling pulses are interspersed with optical pumping (OP) pulses resonant with the \(|S_{1/2}, m_j = 1/2\rangle \rightarrow |D_{5/2}, m_j = -3/2\rangle\) transition. A small magnetic field (\(~ 6.5\) G) is applied along the direction perpendicular to the trap axis and parallel to the trap surface to lift the Zeeman degeneracy of these levels for spectroscopic addressing of the \(S_{1/2} \rightarrow \) \(D_{5/2}\) transition and to prevent the formation of dark states in the \(D_{5/2}\) manifold. Using this technique we achieve \(\geq 99\%\) occupation of the axial mode vibrational ground state (the \(n = 0\) state) for the lowest heating rates \cite{16}.

For heating rate measurements, each experiment begins with Doppler and sideband cooling with OP to prepare the ion in the motional ground state of the \(|S_{1/2}, m_j = -1/2\rangle\) level. After a variable delay time and probe pulse, the ion state is detected via the presence (absence) of fluorescence if the ion is in the \(S_{1/2} (D_{5/2})\) state during application of light nearly resonant with the \(S_{1/2} \rightarrow P_{1/2}\) transition. In multiple experiments at a fixed delay time, we probe around the red and blue sidebands of the \(|S_{1/2}, m_j = -1/2\rangle \rightarrow |D_{5/2}, m_j = -5/2\rangle\) transition. We use the red-to-blue sideband amplitude ratio \(r\) to determine the ion’s average vibrational mode occupation \(|n\rangle = r/(1-r)\) for that delay, and then we vary the delay to determine the heating rate at a particular temperature. We perform 200–400 experiments at each probe frequency, delay, and temperature. A typical measurement of the heating rate is shown in Fig. 1(b). See Appendix A for more details on experimental methods.

In Fig. 2 we present the ion heating rate of the 1.32 MHz axial vibration mode as a function of chip temperature for two niobium traps and one gold trap. The heating rate drops smoothly below room temperature, with a factor of approximately 100 between room temperature and 4 K, and it is quite consistent over two orders of magnitude in both temperature and heating rate between the materials. Rates at the lowest chip temperatures are among the lowest ever measured for traps smaller than a 75 \(\mu\)m ion-electrode distance (see also Fig. 3 below). Room temperature heating rates are consistent with, or lower than, those seen by others in microfabricated traps of similar size. It should be noted that the niobium traps superconduct below \(9.2(1)\) K as determined via a four-wire resistance measurement of an on-chip meander resistor co-fabricated in the trap film \cite{17}. Consistent with previous results \cite{18}, we see no significant difference in the heating rate just below and just above the superconducting transition.

The observation of overall temperature dependence very similar in the Nb and Au traps studied here, each with very different material properties, suggests that anomalous heating is due primarily to adsorbates or contaminants on the surface, rather than material-specific surface properties. Niobium oxidizes readily, while gold is somewhat noble, so their similar behavior in these experiments would appear to place constraints on noise origins that are strongly dependent on native insulating oxide patches that may contain mobile charges. Ad-
Spectral density via a gold trap. The right axis is translated into electric field noise axial mode versus trap temperature for two niobium traps and.

FIG. 2. (Color online) Motional heating rate of the 1.32 MHz axial mode versus trap temperature for two niobium traps and a gold trap. The right axis is translated into electric field noise spectral density via $S_E(\omega) = \frac{4\pi k_B}{n^2} \frac{d(n)}{dt}$. Here $\omega = 2\pi f$ is the angular trap frequency, $q$ is the ion charge, and $m$ is the ion mass. All error bars are statistical based on errors propagated through fits of the sideband spectra (cf. Fig. 1). The dotted lines are fits to power laws in temperature to all data; see text for details. For 70 K and above, the scaling exponent is 2.13(5), and below this temperature, the exponent is 0.54(4).

Our vacuum system is not baked; we depend on cryo-pumping to attain low pressures. Therefore we do not expect the same hydrocarbon contamination that has been seen in stainless steel chambers subjected to high-temperature bakes. If such post-chamber-bake contamination is the dominant source of anomalous heating in baked systems, it may be that ex situ milling followed immediately by installation into a vacuum system not requiring a bake (notably a cryogenic system) could lead to improved heating rates without the more involved accommodation of an in situ milling capability.

As the different traps show similar heating rate temperature dependence over the entire range, and since we suspect the overall trend may be due to non-material-specific surface properties, we determine temperature scaling using the combined data from all three traps. We note two regions with distinct power law behaviors

$$\frac{d(n)}{dt} \propto T^\beta.$$ From room temperature to 70 K our power law fit produces an exponent of $\beta = 2.13(5)$, while below 70 K the best fit exponent is $\beta = 0.54(4)$. We expect the variation between traps is due to so-far uncontrolled day-to-day variability in the measurements or slight, unmarked differences in processing. The reduction in scaling power at the low end of the temperature range may suggest the approach to a plateau potentially due to temperature-independent heating processes. Below, we present evidence that similar saturation behavior can be mimicked by another (non-chip-temperature related) process, though we can rule it out in this case.

FIG. 3 shows the present results alongside those from other heating rate studies performed in surface-electrode traps. Here electric field noise spectral density is shown normalized by trap frequency, as a function of ion-electrode distance $d$. Measurements in surface traps from several groups are included. Closed (open) symbols are room-temperature (cryogenic) measurements. The measurements associated with this work are the blue (gold) four-pointed stars for the niobium (gold); in the case of this work, the open symbols represent data taken at 3.8-5.1 K. Other groups’ cryogenic measurements are taken over a range of 4-10 K (see individual references for details). Bow-tie symbols represent room temperature data from groups incorporating in situ surface milling: vertical (horizontal) bow ties are for pre-(post-)milling measurements. References [7–10, 18, 21–32] for all plotted data are given in the legend.

Our apparatus affords us control of not only the trap temperature, but also the temperature of the coldest part.
of the vacuum system, the LT stage. This stage has an additional temperature sensor and heater with a separate control loop from the trap stage. We have utilized this capability to examine the ion heating rate in a gold trap at fixed electrode temperature while varying the background gas density. As the LT stage is heated, cryopumping becomes less effective, leading to higher gas density near the ion. While we cannot directly measure the pressure at the ion location, we can see a proxy of the local density in the trapped-ion heating rate and lifetime.

In the measurements of the gold trap presented above, the LT stage temperature was at \( \sim 5 \text{ K} \) for trap temperatures of \( \sim 25 \text{ K} \). After taking these measurements, we raised the temperature of the LT stage to 16 K while maintaining the trap temperature at 25 K. Under these conditions, the ion lifetime with cooling light was approximately 10 s, compared to \( \sim 10 \text{ min} \) with no LT stage heating; due to rapid, automated ion reloading \[11\], we are able to efficiently measure heating rates despite very short lifetimes. The heating rate in this case was higher by approximately a factor of 2.5 (\( \sim 32 \text{ quanta/s} \) compared to \( \sim 13 \text{ quanta/s} \)). We subsequently turned off the LT-stage heaters and recovered the initial heating rate for the same trap temperature within minutes of the LT reaching its base temperature. This sequence was repeated, and these heating rates were reproducible.

Assuming that the apparent extra heating is due to elastic collisions with background gas molecules, we can estimate the local density from the measured heating rate (see Appendix B for details; we summarize the results here). Such elastic collisions impart significant kinetic energy to the ion, heating it after one collision such that the red and blue sidebands will have equal intensity, though not to such an energy that it would be ejected from the trap \[34\]. However, these events happen significantly less often than once per experiment, i.e. \( \gamma_c t_D \ll 1 \) where \( \gamma_c \) is the elastic collision rate and \( t_D \) is the experiment time including the probe delay, the dominant contribution. When a few experiments with collision events are averaged with many experiments without a collision, one will measure a red-sideband amplitude proportional to the elastic collision rate and \( r \approx \gamma_c t_D \) in the absence of additional heating. We estimate the background density that would lead to the measured heating rate due to combined collisional \[10\] and anomalous heating to be \( 1.0(1) \times 10^9 \text{ cm}^{-3} \) \[35\], corresponding to an elastic collision rate of 10 s\(^{-1}\). Inelastic collisions from the additional background atoms are most likely responsible for the reduced lifetime \( \tau_L \) at higher background density. The calculated density leads to an inelastic (Langevin) collision rate \[19\] of 0.6 s\(^{-1}\) to 1.6 s\(^{-1}\) for background species of interest. This is roughly consistent with our ion loss rate under these circumstances \( (\tau_L^{-1} \sim 0.1 \text{ s}^{-1}) \) considering that the calculated Langevin rate is an upper bound.

Since we expect the ion loss rate to be proportional to the local density, we are confident that the ultimate heating rates measured here (without LT stage heating) are not collision-limited; from the ion lifetimes we estimate the extra heating rate due to collisions to be less than 0.2 quanta/s at low temperature. At the highest trap temperatures, the LT stage temperature and the ion lifetimes are approximately equal to that achieved in the LT stage heating experiments, so we expect a contribution to the heating rate due to collisions no larger than \( \gamma_c \sim 10 \text{ quanta/s} \). The Langevin collisions are typically over an order of magnitude less frequent than the elastic collisions (see Appendix B). Since the Langevin rate is an upper bound, we can conservatively say, as a rule of thumb, that if \( \tau_L/t_D \gg 100 \) and \( t_L d(\alpha) \gg 100 \) are satisfied, background collisions will not be a significant cause of apparent heating \[36\]. This is indeed the case for the temperature-dependent data presented above, without heating of the LT stage. Additionally, this conclusion is supported by the fact that our lowest heating rates are equivalent, when scaled by \( d^{-4} \) for traps of different sizes, to the lowest heating rates measured in the field, including in systems with much longer ion lifetimes \[10\] \[25\].

If the heating rates measured here and elsewhere are limited by non-temperature-dependent sources of noise below a few tens of Kelvin, as suggested by the low temperature plateaus observed elsewhere \[10\], and hinted at here, true material-dependent noise mechanisms may continue to drop at lower temperatures. This is reason to be optimistic that even lower heating rates can be obtained at temperatures probed here and accessible using widely available cryo-cooling technology if the limiting, and hopefully technical, noise sources can be eliminated. Collision-induced effects should be negligible in most cryogenic systems under normal conditions, since ion lifetimes in these systems are very long, though care must be taken when measuring very low heating rates.

Sources of electric field noise may include nearby surfaces that remain at higher temperatures than the trap, especially in the case of the surface-electrode traps that will likely be required for large-scale quantum processing with trapped ions. These traps are somewhat open and may provide less shielding at the ion location than traditional three-dimensional traps. Voltage noise on electrodes from insufficiently filtered control electronics may also be a culprit if there is sufficient power near the trap frequency. The lowest measured heating rates presented here correspond to voltage noise of \( \sim 1.1 \text{ nV/} \sqrt{\text{Hz}} \) for our trap geometry; after evaluation of our DAC control system and filtering, we expect less than 0.3 nV/\( \sqrt{\text{Hz}} \) at the electrodes due to DAC electronics noise, excluding pickup.

Even though there is a possibility that the low-temperature heating rates measured here may not be ultimately limited by thermally activated material properties, they are still quite low; a 10 \( \mu s \) two-qubit gate limited by the heating rates measured here would have an error rate of only \( \sim 4 \times 10^{-5} \), below most estimates of the fault-tolerant threshold for quantum computation. This is for ions 50 \( \mu \text{m} \) from the electrode surface, suggesting that low error rates are possible for traps similar to this one in a large array. In addition, it may be that...
combining low temperature electrodes with in situ surface cleaning can lead to even lower electric field noise levels than have been measured using either technique alone; this would potentially allow fault-tolerant error rates from ion motional heating for trap geometries significantly smaller, and hence potentially more scalable, than those explored here.

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Appendix A: Experimental Methods

To destabilize dark states in the \( S_{1/2} - D_{3/2} \) manifold of the \(^{88}\text{Sr}^+\) ion during Doppler cooling on the \( S_{1/2} - P_{3/2} \) transition (near 422 nm), and to reduce the frequency stability requirements, we use a diode laser near 1092 nm broadened to an approximately 0.8 GHz linewidth. To maintain stability of the external-cavity diode laser used to address the narrow \( S_{1/2} - D_{3/2} \) transition near 674 nm, we feed back to the diode current on a fast time scale, and to the grating angle on a slower time scale, via a Pound-Drever-Hall derived error signal from an ultrastable ULE reference cavity. This cavity is mounted vertically in a two-stage temperature-controlled vacuum system mounted on an active vibration isolation platform.

Sideband cooling (SC) is performed on the \( S_{1/2} - D_{3/2} \) transition in a pulsed manner \cite{13}. Optical pumping (OP) is required for state initialization to the \( |S_{1/2}, m_j = -1/2\rangle \) state and to compensate for depumping to the \( |S_{1/2}, m_j = 1/2\rangle \) state during SC. One cycle of SC consists of a pulse of light red-detuned by the trap frequency from the \( |S_{1/2}, m_j = -1/2\rangle \) to \( |D_{3/2}, m_j = -5/2\rangle \) transition, followed by a quench pulse of typically 1 \( \mu\text{s} \) in length. One cycle of OP consists of a pulse of light resonant with the \( |S_{1/2}, m_j = 1/2\rangle \) to \( |D_{3/2}, m_j = -3/2\rangle \) transition, following by a quench pulse. We intersperse OP cycles during SC; typically a few OP cycles are inserted after several SC cycles to form a cooling block, and we combine several cooling blocks in series. The SC pulse lengths grow in each subsequent block to compensate for the reduced sideband Rabi frequency as the ion cools. Typical total times for Doppler cooling and SC to the ground state are 5–10 \( \mu\text{s} \).

Appendix B: Calculation of Background Density from Motional Heating Rate

Elastic collisions (rate \( \gamma_e \)) will lead to an apparent heating rate due to increased red sideband excitation in a small fraction of experiments averaged together with experiments without collisions for each heating rate measurement. When using the sideband-ratio technique, this will appear as a sideband ratio \( r \) that is proportional to \( \gamma_e \). For \( \gamma_e t_D < 1 \), where \( t_D \) is the probe delay time, which dominates the experiment time for low heating rates, this will lead to an inferred average occupation due to collisions

\[
\langle n \rangle = \frac{\gamma_e t_D}{1 - \gamma_e t_D}, \quad (B1)
\]

The ensemble of experiments in this case may not correspond to a thermal state, though the validity of the sideband-ratio technique requires this assumption. The violation of this assumption will lead one to infer a steady heating rate.

The combination of elastic collisions and heating from a more typical (perhaps anomalous) source at a rate \( \gamma_a \) will lead to a sideband ratio of

\[
r = \gamma_e t_D + (1 - \gamma_e t_D) \frac{\gamma_a t_D}{1 + \gamma_a t_D}, \quad (B2)
\]

and therefore a measured average occupation number of

\[
\langle n \rangle = \frac{\langle n \rangle}{1 - \gamma_e t_D}. \quad (B3)
\]

Due to this nonlinear relation, apparent heating due to collisions will look nonlinear in \( t_D \) for appreciable values of \( \gamma_e t_D \). For \( \gamma_e t_D \ll 1 \), where our measurements are typically made, Eq. B3 gives a linear relation in \( t_D \), and so the effect of rare collisions will be the appearance of an additional steady heating rate \( \gamma_e \). We see an apparent (combined) heating rate of 32 quanta/s with LT stage heating. A fit to the heating rate data using Eq. B3 (plus a zero-time occupation to account for imperfect preparation in the ground state) yields \( \gamma_e = 10(1) \text{s}^{-1} \), assuming 13 quanta/s due to anomalous heating (as measured without LT stage heating).

The elastic collision rate is proportional to the local density \( n_d \) of the background gas constituents, and it depends on the temperature and polarizability of these constituents through the cross-section \( \sigma \) and relative velocity \( \tilde{v} = (2k_B T/\mu)^{1/2} \), with \( \mu \) the reduced mass, as \cite{19}

\[
\gamma_e = n_d \langle \sigma \nu \rangle \approx 1.23 \times 10^5 n_d \tilde{v}^{1/3} \alpha^{2/3} \quad (B4)
\]

for a neutral-polarizing interaction between the ion and a neutral. Here the velocity \( \nu \) has been averaged over a
thermal distribution. For the background molecules expected here (predominantly H\textsubscript{2}, N\textsubscript{2}, and O\textsubscript{2} for temperatures 55 K and below), we can use the apparent measured heating rate to calculate a background density of approximately $n_d = 1.0(1) \times 10^9$ cm\textsuperscript{-3}, equivalent to within 6\% for the different background species considered here. The calculated density is not strongly dependent on assumed molecular temperature ($\propto T^{3/4}$) or species mass, due to the weak dependence on the velocity. The density is of course very strongly dependent on the temperatures of the surfaces in the vacuum system, however, due to the nature of cryoadsorption.

While an increased elastic collision rate due to the higher background gas density present during the $T = 16$ K LT-stage temperature experiments leads to apparent average ion heating, the accompanying increased inelastic collision rate is likely the primary cause of the reduced ion lifetime $\tau_L$ under these conditions. Using the background density measured above, we can estimate the inelastic collision rate $\gamma_i$. This rate is lower than the elastic collision rate, since neutrals must penetrate the angular momentum barrier to chemically interact with the ion. We can estimate it from the Langevin rate as

$$\gamma_i = n_d q v = n_d q \sqrt{\frac{\pi \alpha}{\epsilon_0 \mu}}$$

for an ion of charge $q$ and neutral species of speed $v$ (the ion is assumed to be at rest). For the density measured above, we find a Langevin rate of 0.6 s\textsuperscript{-1} to 1.6 s\textsuperscript{-1}.

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[33] The resistance of the electrodes contributes significantly to the Johnson noise only at higher temperatures where the metal resistance becomes comparable to the Thévenin equivalent resistance of the filters. For both materials the expected Johnson noise is far below the measured electric field noise over the entire temperature range.

[34] The maximum expected kinetic energy of a Sr$^{+}$ ion after a collision with a 55 K H$_2$ molecule is 0.4 meV. Nitrogen (N$_2$) or O$_2$ could impart up to 5 meV, but we do not expect significant amounts of these gases in this case.

[35] For comparison, the background density in a room-temperature system at a pressure of $1 \times 10^{-10}$ T is approximately $3 \times 10^6$ cm$^{-3}$.

[36] This rule of thumb may have more limited validity in the case of ion species significantly less likely to chemically react with background constituents.