Determination of the symmetry classes of orientational ordering tensors

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Abstract
The orientational order of nematic liquid crystals is traditionally studied by means of the second-rank ordering tensor $\mathcal{S}$. When this is calculated through experiments or simulations, the symmetry group of the phase is not known a priori, but needs to be deduced from the numerical realisation of $\mathcal{S}$, which is affected by numerical errors. There is no generally accepted procedure to perform this analysis. Here, we provide a new algorithm suited to identifying the symmetry group of the phase. As a by product, we prove that there are only five phase-symmetry classes of the second-rank ordering tensor and give a canonical representation of $\mathcal{S}$ for each class. The nearest tensor of the assigned symmetry is determined by group-projection. In order to test our procedure, we generate uniaxial and biaxial phases in a system of interacting particles, endowed with $D_{\infty h}$ or $D_{2h}$ symmetry, which mimic the outcome of Monte–Carlo simulations. The actual symmetry of the phases is correctly identified, along with the optimal choice of laboratory frame.

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(Some figures may appear in colour only in the online journal)
1. Introduction

The orientational order of an ensemble of molecules is a key feature in complex fluids made of anisotropic molecules, e.g. liquid crystals. For example, the phase of a liquid crystal affects some rheological and optical properties of the material, such as viscosity coefficients and refracting index. From a mathematical viewpoint, the phase is a macroscopic manifestation of the point-group symmetry of the mesoscopic orientational order of the molecules. The precise quantification of the notion of order requires the introduction of the orientational probability density function of the molecules. It is impractical to study this function in its full generality from a mathematical perspective. Furthermore, only its very first moments are amenable of experimental investigation. For these reasons the orientational probability density is usually truncated at the second-rank level and this defines the second-rank ordering tensor $\mathbf{S}$. Usually, the matrix entries of $\mathbf{S}$ are considered to capture correctly the most important features of the mesoscopic order.

The final output of a molecular dynamics or a Monte–Carlo simulation of a liquid crystal compound is given in terms of the orientation of the molecular frames of reference, for all molecules. The ordering tensor $\mathbf{S}$ is then obtained by averaging over all molecular orientations. However, the computations have to be carried out with respect to an arbitrarily chosen laboratory frame. By contrast, key physical information as phase symmetry, directors and order parameters are readily accessible only when the laboratory axes are properly chosen in agreement with the yet unknown underlying symmetry of the orientational distribution. Therefore, the experimental or simulation data need to be analysed and refined in order to capture the physical features of the system at the meso-scale and there is no standard method to perform this analysis.

The main motivation of the present work is to provide such a systematic procedure to determine the symmetry class (the ‘phase’ of the system), the symmetry axes (the ‘directors’), and the scalar order parameters of a liquid crystal compound whose second-rank ordering tensor is obtained through experiments or simulations.

Experimental or numerical errors are a further source of complications and may hinder the correct identification of the phase symmetry, even in the simplest cases. For instance, a uniaxial order could be described naively as a phase in which rod-like molecules are substantially aligned parallel to a fixed direction, identified by a well defined director; in such phase most of the entries of $\mathbf{S}$ ought to vanish, but the presence of errors can make all the entries generally non-zero. Furthermore, an unwise choice of the laboratory axes may be the cause of several non-vanishing entries. When dealing with such an ordering tensor, it may not be immediately evident whether these non-vanishing entries reveal an intrinsic lack of uniaxial phase symmetry or are a consequence of one—or possibly both—of the issues described.

Our strategy takes inspiration from a similar problem in elasticity where the main concern is the identification of the linear elastic tensor of a particular material symmetry. This problem has been intensively studied by a number of authors in the last decades. We refer to [1, 2] for a historical overview. In particular, we adopt similar mathematical techniques and in this respect we have found the following papers particularly illuminating [1–6].

This paper is organised as follows. Section 2 reviews the theoretical background on orientational order parameters; namely, the spherical and Cartesian definitions of orientational ordering tensors are discussed. The specific case of second-rank ordering tensors is developed in section 3. The definitions we provide here best fit the group-theoretic analysis put forward in the rest of the paper, and allow taking a non-standard view on this topic, by describing the ordering tensor in terms of a linear map in the space of symmetric, traceless second rank tensors; an analogous approach is found in [7–10]. In sections 3.2 and 3.3 we define the notion...
of symmetry-class of an ordering tensor and prove that it is only possible to distinguish five phase-symmetry classes at the second-rank level. A finer identification of the phase group of a non-polar liquid crystal requires higher rank ordering tensors. The following section 4 deals with the identification of the closest ordering tensor that belongs to a given symmetry class. To this end, we introduce the invariant projection on a chosen symmetry group, define the distance of the raw ordering tensor from one of the five symmetry classes and provide a canonical representation of the ordering tensor in each symmetry class. After all these mathematical ingredients are established, we describe the algorithm for the determination of the effective phase in section 4.3. Section 5 contains the discussion of two paradigmatic examples where the algorithm is put into practice and section 6 summarises the results.

1.1. Notations

For the reader’s convenience, let us give a brief description of some notational conventions used throughout the paper.

1. Vectors in the linear space \( W \) isomorphic to \( \mathbb{R}^3 \) are denoted by boldface small letters \((a, b, c, \ldots, u, v, \ldots)\). After choosing an orthonormal basis \( \{e_1, e_2, e_3\} \), the coordinates of a vector \( v \) are denoted with the same plain letter, and a subscript (in general \( i = 1, \ldots, 3 \)) distinguishes the coordinates: \( v = v_1 e_1 + v_2 e_2 + v_3 e_3 \). This avoids confusion between \( v_1 \), which is the vector \( l \) in a list of vectors, and \( v_1 \) which is the first coordinate of vector \( v \): \( v_1 = v \cdot e_1 \). Unit vectors in the laboratory reference frame play a special role, therefore we will denote them by \( \ell_\xi \), with \( \xi = x, y, z \).

2. Second-rank tensors, i.e. linear maps \( L: W \rightarrow W \) in the linear space \( W \), are denoted by boldface capital letters \((A, B, \ldots, R, S, T, \ldots)\); \( I \) is the identity tensor.

3. The tensor (or dyadic) product in \( W \) between vectors \( a \) and \( b \) is a second-rank tensor such that \((a \otimes b)v = (b \cdot v)a \) for every vector \( v \), where the dot \( \cdot \) denotes the standard inner (scalar) product (i.e. the matrix representative of the dyadic product is \((a \otimes b)_{ij} = a_i b_j \) \((i, j = 1, \ldots, 3 \) in an orthonormal basis).

4. The scalar product between two second-rank tensors \( T, L \) is defined as

\[
T \cdot L = \text{tr}(T^T L).
\]

5. Second-rank tensors can be endowed with the structure of a linear space, denoted by \( L(W) \); linear maps \( T: L(W) \rightarrow L(W) \) in such space are denoted by ‘blackboard’ capital letters \((S, T, \ldots)\).

6. The square tensor product \( \boxtimes \) between second-rank tensors is to be interpreted as a tensor dyadic product:

\[
(L \boxtimes M)T = (M \cdot T)L,
\]

for every tensor \( T \).

7. \( O(3) \) is the orthogonal group, i.e. the group of all isometries of \( W (\mathbb{R}^3) \) keeping the origin fixed; \( A \in O(3) \Leftrightarrow A^{-1} = A^T \Rightarrow \det A = \pm 1 \), where the superscript \((\cdot)^T\) denotes the transposition.

8. \( SO(3) \) is the special orthogonal group, i.e. the subgroup of \( O(3) \) of elements \( R \) satisfying \( \det(R) = 1 \). In other words, the group of 3D rotations.

9. Similarly, \( O(2) \) is the orthogonal group in two dimensions, and \( SO(2) \) is the special orthogonal group in two dimensions

10. For point symmetry groups, subgroups of \( O(3) \), we comply with the standard Schönflies notation \([11–13]\). Here, we only give a brief description of these groups and refer the reader to the cited references for a more in-depth discussion. The complete list include
2. General background on orientational order parameters

In the first part of the present section, we recall the basics of liquid crystal theory; the simplest mesogenic molecules have cylindrical symmetry ($D_{\infty h}$, see section 1.1 (10)), and if they are basically arranged so as to have their main axis (identified by a unit vector $\mathbf{m}$ parallel to the cylindrical symmetry axis) along one preferred direction, the mesophase is uniaxial; however, each molecule might slightly deviate from the alignment direction, and in a uniaxial phase this deviation occurs randomly with equal probability in any other direction.

Formally speaking, if $f(\mathbf{m})$ is the distribution describing the probability that the direction of the main axis of the molecule is exactly $\mathbf{m}$, and considering that $f(\mathbf{m}) = f(-\mathbf{m})$, since the opposite direction cannot be distinguished due to the symmetry of the molecule, we need to resort to the second moment of the distribution

$$ N = \int_{S^2} (\mathbf{m} \otimes \mathbf{m}) f(\mathbf{m}) \, d\Omega, $$

where the integration is performed over the unit sphere $S^2$, in other words on all directions (e.g. $d\Omega = \sin \alpha \, d\alpha \, d\beta$, with $\alpha$ and $\beta$ defined as the colatitude and the azimuth in a spherical frame).

Since we are interested in describing non-isotropic phases, typically the order tensor $\mathbf{Q} = \mathbf{N} - \frac{1}{3} \mathbf{I}$ is used, which is identically zero in the isotropic phase. $\mathbf{Q}$ is a symmetric traceless tensor (since $\mathbf{N}$ is symmetric and $\text{tr} \mathbf{N} = 1$), and a uniaxial phase corresponds to (at least) two equal eigenvalues for $\mathbf{Q}$; if we choose a laboratory (orthonormal) reference frame $(\mathbf{\ell}_1, \mathbf{\ell}_2, \mathbf{\ell}_3)$ for which $\mathbf{\ell}_3$ is along the preferred direction of the molecule (known as the director $\mathbf{n}$), we can write

$$ \mathbf{Q} = \mathbf{S} \left( \mathbf{\ell}_3 \otimes \mathbf{\ell}_3 - \frac{1}{3} \mathbf{I} \right) = \mathbf{S} \left( \mathbf{n} \otimes \mathbf{n} - \frac{1}{3} \mathbf{I} \right); $$

(2)
the scalar $S \in [-\frac{1}{2}, 1]$ is the main uniaxial order parameter, and is actually the ensemble average $\langle \frac{1}{2} \cos^2 \beta - \frac{1}{4} \rangle$; $S = 1$ would describe ‘perfect’ alignment along $n$.

We point out that the matrix representation of the tensor $Q = S(n \otimes n - \frac{1}{3}I)$, which intrinsically describes a uniaxial phase, can be strongly different from the diagonal form if the reference lab frame is poorly chosen (recall that the elements of the matrix representative of the dyadic product $n \otimes n$ are $n_i n_j$, $i, j = 1, \ldots, 3$; see section 1.1).

In a generic uniaxial phase, the order parameter does not attain its maximum value, as molecules are not perfectly aligned along $n$. However, all molecules might deviate from the direction of $n$ not entirely in a random way. To picture the situation, we can say that in the previous case the molecules are uniformly distributed in a circular cone the axis of which has direction $n$, although the aperture of the cone is small. Under different circumstances, e.g. a frustration induced by the boundary of the region in which the liquid crystal is confined, the molecules might be distributed in an elliptical cone, meaning there are two directions orthogonal to $n$ along which the molecule have maximum and minimum deviations. By properly choosing the laboratory frame, the order tensor (now having 3 different eigenvalues) can be written as

$$Q = S \left( \ell_3 \otimes \ell_3 - \frac{1}{3}I \right) + P(\ell_1 \otimes \ell_1 - \ell_2 \otimes \ell_2),$$

where the additional biaxial order parameter $P = (\sin^2 \beta \cos 2\alpha)$ ranges in $[-1, 1]$ and vanishes for uniaxial phases. However, whenever $P \neq 0$ the phase is not purely uniaxial, but has a biaxial (orthorhombic) phase symmetry: a symmetry lower than that of the molecule.

On a dual point of view, molecules endowed with a $D_{2h}$ symmetry are characterised by 3 main axes instead of one; whenever only the main axes are aligned, the phase has a higher $D_{\infty h}$ (uniaxial) symmetry; differently, when also the other two axes tend to align respectively in two orthogonal directions, we obtain a phase with the same $D_{2h}$ (biaxial) symmetry. We omit the detail of the description in the laboratory frame (see [14–16]), however pictures in figure 1 show the difference of the two cases.

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3 Actually, the phase we have described is a calamitic uniaxial phase. A uniaxial phase can also be discotic when $m$ is randomly distributed in a plane orthogonal to $n$, in which case ‘perfect’ alignment would correspond to $S = -\frac{1}{2}$. 

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**Figure 1.** Schematic representations of (a) $D_{\infty h}$ and (b) $D_{2h}$ phase-symmetries made with molecules possessing a $D_{2h}$ symmetry.
In general, when no symmetry for the molecule or the phase can be assumed \textit{a priori},
the orientational distribution of a collection of molecules is most conveniently described in
terms of a space-dependent probability density function \(f(x, R)\). Here \(x\) is the space point
of the considered molecule and \(R \in SO(3)\) is the rotation of a right orthonormal frame set in
the molecule, with \((m_1, m_2, m_3)\) unit vectors along the axes, with respect to the laboratory
frame of reference, identified by the three mutually orthogonal unit vectors \((\ell_1, \ell_2, \ell_3)\).
In the following we drop the explicit dependence of \(f\) on the space point \(x\) since we are mainly
interested in its orientational properties and we will assume a continuous dependence on \(R\).

Hence, \(f : SO(3) \rightarrow \mathbb{R}_+\) is a continuous function from the group of proper rotations to the
non-negative real numbers and, of course, \(\int_{SO(3)} f = 1\). Several equivalent descriptions can
be given of the rotation matrix \(R\) that describes the orientation of the molecule with respect to
the laboratory axes. The matrix \(R\) is defined as the rotation that brings each unit vector \(\ell_i\)
into coincidence with the corresponding molecular unit vector \(m_i : R\ell_i = m_i, i = 1, \ldots, 3,\) and
the matrix entries of \(R\) are given by the director cosines \(R_{ij} = \ell_i \cdot \ell_j, m_i, j = 1, \ldots, 3.\)
Equivalent but more intrinsic descriptions of the same matrix are obtained as follows
\[
R = \sum_{i,j=1}^{3} R_{ij} \ell_i \otimes \ell_j, \quad \text{or} \quad R = \sum_{k=1}^{3} m_k \otimes \ell_k, \tag{3}
\]
(see section 1.1)

It is known from group representation theory (more precisely from the Peter–Weyl theo-
rem, see for instance [17, 18]) that the matrix entries of all the irreducible representations
of the rotation group \(SO(3)\) form a complete orthonormal set for the continuous functions
\(f : SO(3) \rightarrow \mathbb{R}.\) Traditionally, this irreducible decomposition is based on the properties of
the spherical harmonic functions, as we now recall. The space \(L^2(S^2)\) of square integrable
functions over the two-dimensional unit sphere \(S^2\) can be decomposed into the infinite direct
sum of suitable finite-dimensional vector spaces \(V_j : L^2(S^2) = \bigoplus_j V_j,\) where \(j\) is a non-negative integer. Each \(V_j\) is generated by the spherical harmonics \(\{Y_j^m\}\) of rank \(j\) and has dimension \(\dim(V_j) = 2j + 1.\) The irreducible representation of \(SO(3)\), given by \(\mathcal{D}^{(j)}\), is defined by
assigning the linear maps \(\mathcal{D}^{(j)}(R) : V_j \rightarrow V_j\) such that for all \(\psi \in V_j, R \in SO(3)\)
\[
\mathcal{D}^{(j)}(R)\psi(x) = \psi(R^T x) \tag{4}
\]
(\text{where a superscript ‘} T \text{’ stands for transpose}). The explicit expressions for this irreducible
representations of \(SO(3)\) are usually known as Wigner rotation matrices [19]. Hence, \(f\) is usu-
ally expanded in terms of Wigner rotation matrices [19–21].

2.1. Cartesian definition

However, for many purposes it is more convenient to use an equivalent definition and identify
\(V_j\) with the space of \textit{traceless symmetric tensors} of rank \(j.\) In fact, also traceless symmetric
tensors can be used to form a basis for the irreducible representations of \(SO(3)\) [7, 19]. This
result is known in other branches of mathematics and physics and is sometimes called \textit{har-
monic tensor decomposition} [1]. The irreducible representations are then identified with the
non-singular linear maps \(D^{(j)}(g) : V_j \rightarrow V_j,\) defined as follows. If \((v_1, v_2, \ldots, v_j)\) are a set of
\(j\) vectors belonging to the three dimension real vector space \(W \simeq \mathbb{R}^3,\) we define the action
of \(g \in SO(3)\) on \(V_j\) as the restriction of the diagonal action of \(SO(3)\) over the tensor product
space \(W^\otimes j := W \otimes W \otimes \ldots \otimes W.\) Explicitly, we define
ordering tensors. The invariant space

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parameters, when no particular symmetry is imposed [27–29].

less symmetric tensor spaces and define second-rank ordering tensor (or order parameter
tensor) as the linear map



where the coefficients are readily obtained via orthogonality from the integrals

f_{pm}^{(j)} = \int_{SO(3)} D_{pm}^{(j)}(\mathbf{R}) f(\mathbf{R}) \, d\mu(\mathbf{R}) .

When studying phase transformations, it is often useful to define an order parameter, that

in the distribution function. Therefore, a standard assumption is to identify the order param-

ters with the expansion coefficients (7) (see [21–26]).

3. Second-rank order parameters

In nematic liquid crystals, the expansion of the probability distribution function is usually

terminated at \( j = 2 \). The \( j = 0 \) term represents the isotropic distribution, while the \( j = 1 \) terms

vanishes for symmetry reasons. Therefore, the first non-trivial information about the mole-

cular order is provided by the \( j = 2 \) terms which then acquire a particular important part in the

theory. Higher rank terms are sometimes also studied, but this is uniquely done in particular
cases (i.e. uniaxial molecules) where simplifying assumptions or the symmetry of the problem

restrict the number of independent order parameters and the complexity of their calculation.
Surely, it is already difficult to have an insight about the physical meaning of the \( j = 2 \) order
parameters, when no particular symmetry is imposed [27–29].

Therefore, in the present paper we will only consider the second-rank order parameters,
i.e. with \( j = 2 \), although, at least formally, it is easy to extend our definitions to higher rank
ordering tensors. The invariant space \( V_2 \) is described as the (five-dimensional (5D)) space of
symmetric and traceless second-rank tensors\(^5\) on the three-dimensional (3D) real space

\( W \simeq \mathbb{R}^3 \). Let \( L(V_2) \) be the space of the linear maps \( V_1 \to V_2 \).

Given the general definition of Cartesian ordering tensor (7), we are led to consider trace-
less symmetric tensor spaces and define second-rank ordering tensor (or order parameter tensor)
as the linear map \( \mathbb{S} \in L(V_2) \), such that \([8, 9]\)

\[
\mathbb{S}(\mathbf{T}) = \int_{SO(3)} D^{(2)}(\mathbf{R})/T f(\mathbf{R}) \, d\mu(\mathbf{R}) := \langle D^{(2)}(\mathbf{R})\rangle \mathbf{T},
\]

\(^4\) Basically, this expansion is the analogue of the Fourier analysis for compact groups.

\(^5\) In elasticity theory, traceless tensors are sometimes called deviatoric tensors. Since the common second-rank
tensors found in elasticity are symmetric, \( V_2 \) is usually referred to as the ‘space of deviatoric tensors’. 
where the \((j = 2)\)-irreducible representation matrix \(D^{(2)}(R)\) acts explicitly by conjugation as follows

\[
D^{(2)}(R) T = R T R^T.
\]  

(9)

It is worth noticing that the \(5 \times 5\) matrices \(D^{(2)}(R)\), defined by (9), yield an irreducible real orthogonal representation of \(O(3)\) and as such they satisfy

\[
D^{(2)}(R)^T = D^{(2)}(R^T) = D^{(2)}(R)^{-1}.
\]  

(10)

Since \(O(3)\) is the direct product of \(SO(3)\) and \(C_i\), each representation of \(SO(3)\) splits into two representations of \(O(3)\). However, in our application the natural generalisation of (9) to the \(j\)th rank tensors induces us to choose the following representation for the inversion:

\[
D^{(j)}(R) = (-1)^j D^{(j)}(I). \quad \text{Hence, when } j = 2, \text{ we obtain } D^{(2)}(R) = D^{(2)}(I).
\]

It is convenient for the sake of the presentation to introduce an orthonormal basis that describes the orientation of the molecule in the 5D space. It is natural to build this basis on top of the 3D orthonormal frame \((m_1, m_2, m_3)\). Therefore, in agreement with \([10, 30, 31]\)\(^6\), we define

\[
M_0 = \sqrt{\frac{3}{2}} \left( m_3 \otimes m_3 - \frac{1}{3} I \right), \quad M_1 = \frac{1}{\sqrt{2}} (m_1 \otimes m_1 - m_2 \otimes m_2),
\]

\[
M_2 = \frac{1}{\sqrt{2}} (m_1 \otimes m_2 + m_2 \otimes m_1), \quad M_3 = \frac{1}{\sqrt{2}} (m_2 \otimes m_3 + m_3 \otimes m_2),
\]

\[
M_4 = \frac{1}{\sqrt{2}} (m_1 \otimes m_3 + m_3 \otimes m_1).
\]

(11a)

The tensors \(\{M_0, M_1, \ldots, M_4\}\) are orthonormal with respect to the standard scalar product (1).

Similarly, we define the basis of five symmetric, traceless tensors \(L_0, \ldots, L_4\) in terms of \(\ell_1, \ell_2, \ell_3\) that are used as laboratory frame of reference. The matrix \(D^{(2)}(R)\) is an irreducible representation of the rotation \(R\) in the 5D space of symmetric traceless tensors. Specifically, it describes how the ‘molecular axis’ \(M_i\) of a given molecule is rotated with respect the laboratory axis:

\[
D^{(2)}(R) L_i = M_i, \quad i = 0, \ldots, 4,
\]

(12)

to be compared with the similar expression \(R \ell_i = m_i (i = 1, \ldots, 3)\) in the 3D space. Likewise, components of \(D^{(2)}(R)\) and equation (3) become

\[
D^{(2)}(R)_{ij} = L_i \cdot M_j, \quad D^{(2)}(R) = \sum_{i,j=0}^4 D^{(2)}(R)_{ij} L_i \otimes L_j, \quad D^{(2)}(R) = \sum_{k=0}^4 M_k \otimes L_k,
\]

(13)

where \(i, j = 0, \ldots, 4\), and \(\otimes\) stands for the tensor dyadic product (see section 1.1, (6)).

Hence, the Cartesian components of the ordering tensor \(S \in L(V_2)\) are defined as

\[
S_{ij} = L_i \cdot \bar{S}(L_j) = L_i \cdot \langle M_j \rangle, \quad i, j = 0, \ldots, 4,
\]

(14)

\(^6\)In [10] definitions of \(M_1\) and \(M_4\) are swapped.
with the usual notation for the ensemble average (see section 1.1, (11)) The components (14) give the averaged molecular direction $M_i$ with respect to the laboratory axis $L_i$. In general there are 25 independent entries (as expected). We can alternatively write

$$S(L_i) = \langle M_i \rangle, \quad (i = 0, 1, \ldots, 4) \quad S = \sum_{ij=0}^{4} S_{ij} L_i \otimes L_j, \quad S = \sum_{k=0}^{4} \langle M_k \rangle \otimes L_k.$$  \hspace{1cm} (15)

In simulations, the orientational probability density $f(R)$ is reconstructed by keeping track of the orientations of a large number $N$ of sample molecules. Thus, the ensemble average in (14) is approximated by the sample mean of $M_i$ and the components $S_{ij}$ are calculated as

$$S_{ij} = \frac{1}{N} \sum_{\alpha=1}^{N} L_i \cdot M_{j(\alpha)}, \quad i, j = 0, \ldots, 4,$$  \hspace{1cm} (16)

where the index $\alpha$ runs over all the molecules in the simulation.

As a final example, let us consider a system of uniaxial molecules with long axis $m_3$. For symmetry reasons, all the averages of the molecular orientational tensors $M_j$ with $j \neq 0$ vanish. The average of $M_0$ yields the five components $(i = 0, 1, \ldots, 4)$

$$S_{i0} = \frac{1}{N} \sum_{\alpha=1}^{N} L_i \cdot \langle m_3 \otimes m_3 = \frac{1}{3} I \rangle,$$  \hspace{1cm} (17)

which provides a description of the molecular order equivalent to the standard de Gennes $Q$ tensor or Saupe ordering matrix $[25, 32]$, as given in equation (2).

### 3.1. Change of basis and group action

Let us investigate how the components $S_{ij}$ are affected by a change of the molecular or laboratory frames of reference. We first consider the components of the ordering tensor with respect to rotated laboratory axes. More precisely, let $\ell'_j = A_p \ell_j$ be the unit vectors along the primed axes, obtained from the old ones by a (proper or improper) rotation $A_p$. A molecule, whose orientation was described by the rotation $R$ with respect to $(\ell_1, \ell_2, \ell_3)$, now is oriented as $RA_p^T$ with respect to the primed frame

$$R \ell_j = m_j = R' \ell'_j = R' A_p \ell_j \quad \Rightarrow \quad R' = RA_p^T.$$  

Correspondingly, in the 5D space $V_5$, the rotation that brings the new frame $\{L'_j\}$ into coincidence with the molecular frame $\{M_i\}$ is

$$D^{(2)}(R) A_p^T = D^{(2)}(R) D^{(2)}(A_p)^T, \quad D^{(2)}(R A_p^T) L'_j = M_i,$$  \hspace{1cm} (18)

where we have used the identities $D^{(2)}(R)L_j = M_i$ and $D^{(2)}(A_p)L_j = L'_j$. The components of the ordering tensor in the new basis are then calculated as follows

$$S_{ij} = L'_i \cdot \langle M_j \rangle = L'_i \cdot (D^{(2)}(R A_p^T) L'_j = D^{(2)}(A_p) L_i \cdot (D^{(2)}(R)) L_j$$

$$= \sum_{k=0}^{4} D^{(2)}(A_p) L_i \cdot (L_k \otimes L_k) (D^{(2)}(R)) L_j = \sum_{k=0}^{4} D^{(2)}(A_p) L_i \cdot (D^{(2)}(R) L_j)$$

$$= \sum_{k=0}^{4} D^{(2)}(A_p) L_i \cdot (L_k \otimes L_k) (D^{(2)}(R)) L_j = \sum_{k=0}^{4} D^{(2)}(A_p) L_i \cdot (D^{(2)}(R) L_j) S_{ij},$$  \hspace{1cm} (19)

where $D^{(2)}(A_p) L_i \cdot (D^{(2)}(R) L_j) S_{ij}$ since only the relative orientation of the molecular frame with respect to the laboratory frame is important, a rigid rotation of all the molecules is equivalent to an inverse rotation of the laboratory frame. It is easy to check that the ordering tensor
in the two cases is the same. Let $A_M$ be a common rotation to all the molecular frames, so that the new molecular axes are $m'_i = A_M m_i$. The orientation of these axes with respect to the laboratory frame is given by $A_M R_i = A_M m_i = m'_i$. The components of the ordering tensor then becomes

$$S'_{ij} = L_i \cdot (D^{(2)}(A_M)(D^{(2)}(R))) L_j$$

$$= \sum_{k=0}^{4} L_i \cdot (D^{(2)}(A_M)(L_k \otimes L_k))(D^{(2)}(R)) L_j = \sum_{k=0}^{4} D^{(2)}_{ik}(A_M) S'_{kj},$$

(20)

to be compared with (19). When combined together, (19) and (20) show that only the relative rotation $A_M A_T$ has a physical meaning.

However, in this context a rotation of the molecular frame has to be interpreted as an orthogonal transformation of the molecular axes before the orientational displacement of the molecule, $R$, has taken place. In such a case the overall rotation that brings the laboratory axes into coincidence with the new molecular axes is described by the product $RA_M$. The new components of the ordering tensor are then given by

$$S'_{ij} = L_i \cdot (D^{(2)}(RA_M)) L_j = \sum_{k=0}^{4} L_i \cdot (D^{(2)}(R))(L_k \otimes L_k)D^{(2)}(A_M) L_j = \sum_{k=0}^{4} S_{ik} D^{(2)}_{kj}(A_M).$$

(21)

When both laboratory and molecular transformations are allowed, the combination of (19) and (21) yields

$$S'_{ij} = \sum_{h,k=0}^{4} D^{(2)}_{ih}(A_T) S_{hk} D^{(2)}_{kj}(A_M).$$

(22)

Dually, we can study the action of two groups $G_P \subset O(3)$ and $G_M \subset O(3)$ on $S \in V_2 \otimes V_2^*$ by left and right multiplication respectively (i.e. $G_P$ acts on the ‘phase index’ and $G_M$ on the ‘molecular index’). According to this active interpretation of the orthogonal transformations $A_P \in G_P$ and $A_M \in G_M$, the ordering tensor is transformed in such a way that the following diagram commutes.

In formula, we have

$$(A_P \times A_M) S = D^{(2)}(A_P) S D^{(2)}(A_M^T).$$

(23)

3.2. Molecular and phase symmetry

When dealing with liquid crystals we must distinguish between the symmetry of the molecule and the symmetry of the phase, shared by the aggregation of the molecules, but not necessarily by the molecules themselves. A thorough description of the symmetries of a physical system
is envisaged by the symmetries of the corresponding orientational probability density \( f(R) \).
However, when we analyse the order of the system only in terms of the descriptor \( S \), some
degeneracy arises. The ordering tensor \( S \) is an averaged quantity obtained by computing the
second moments of \( f(R) \). It is therefore possible that systems possessing different physical
symmetries may be described by the same ordering tensor, since in the averaging procedure
some information may be lost. This means that to be able to distinguish the fine details of these
degenerate cases we need to carry on the expansion of \( f(R) \) to higher orders. Here, we mainly
focus on second-rank properties and we first define what we mean by symmetry group of \( S \).

According to the action (23), we define the second-rank molecular symmetry group as the
set of all elements in \( O(3) \) that fix \( S \) under right multiplication\(^7\)
\[
G_M(S) = \{ A_M \in O(3) : S D^{(2)}(A_M^T) = S \},
\]
and similarly for the second-rank phase symmetry group, where the multiplication appears
on the left
\[
G_P(S) = \{ A_P \in O(3) : D^{(2)}(A_P) S = S \}.
\]
We also refer to these subgroups as the right and left stabiliser subgroup for \( S \). A second-
rank symmetry group or stabiliser subgroup is then defined as the subgroup of \( O(3) \times O(3) \)
that collects all the orthogonal transformations, both in the phase and in the molecule, that
leave \( S \) invariant (see [1] for the corresponding definition in the context of elasticity theory).
Mathematically, this is the direct product of \( G_P \) and \( G_M \): \( G(S) = G_P(S) \times G_M(S) \).

The definition of symmetry group explicitly contains the information about the symmetry
axes of the molecule and the phase. However, our main interest in this section lies in classifying
second-rank ordering tensors with respect to their symmetry properties. This means that
we wish to introduce, among such tensors, a relation based on the idea that different materials
which can be rotated so that their symmetry groups become identical are ‘equivalent’. For
instance, two uniaxially aligned liquid crystals are viewed as equivalent in this respect even if
the direction of alignment of the molecules may be different in the two compounds. Therefore,
it is quite natural to think of ordering tensors lying on the same \( SO(3) \)-orbit as describing
the same material albeit possibly with respect to rotated directions. As a consequence of the
definition of the stabilisers \( G_M(S) \) and \( G_P(S) \), the symmetry groups with respect to a rotated
frame of reference are simply obtained by conjugation. For example, if \( R_P \in SO(3) \) is a rotation
of the laboratory axes, the new ordering tensor is \( D^{(2)}(R_P) S \) and the new symmetry

\[
G_P(\overrightarrow{D^{(2)}(R_P) S}) \quad \text{and the new symmetry group is conjugated through } \quad D^{(2)}(R_P) \quad \text{to } \quad G_P(S)
\]
\[
G_P(D^{(2)}(R_P) S) = \{ A_P \in O(3) : D^{(2)}(A_P) D^{(2)}(R_P) S = D^{(2)}(R_P) S \}
\]
\[
= \{ A_P \in O(3) : D^{(2)}(R_P)^T D^{(2)}(A_P) D^{(2)}(R_P) S = S \}
\]
\[
= D^{(2)}(R_P) G_P(S) D^{(2)}(R_P)^T.
\]

We regard two ordering tensors \( S_1 \) and \( S_2 \) which are related by a rotation of the axes as
representing the same material and hence equivalent. Thus, we speak about (second-rank)
symmetry classes and say that the two ordering tensors belong to the same symmetry class
and are therefore equivalent) when their stabiliser subgroups are conjugate. More precisely,
we write \( S_1 \sim S_2 \) if and only if there exist two rotations \( R_P, R_M \in SO(3) \) such that

\(^7\) Some authors, especially in the mathematical literature, use the term isotropy group. Here we prefer to adopt the
term symmetry group or stabiliser to avoid confusion with the term ‘isotropy’ as used, e.g. for the isotropic phase,
which is a totally different thing.
Finally, we note that the symmetry of the ordering tensor may not be shared by the physical system, i.e. by the probability density \( f(R) \). To this end, it is important to find those symmetries of the physical system that collapse into the same stabiliser at the second-rank level. We say that \( G_1 \) and \( G_2 \) are second-rank indistinguishable if whenever \( S_1 \in L(V_2) \) is fixed by \( G_1 \) and \( S_2 \in L(V_2) \) is fixed by \( G_2 \) then \( S_1, S_2 \) have conjugate stabilizers \( (S_1 \sim S_2) \).

### 3.3. Symmetry classes of second-rank ordering tensors

A preliminary problem which we need to address is counting and determining all symmetry classes for second-rank ordering tensors. An analogous problem in elasticity, i.e. determining the symmetry classes of the linear elasticity tensor, is discussed in \([1]\). However, as we shall see, in our case this determination is simpler because we are dealing with irreducible second-rank tensors (instead of reducible fourth-rank); furthermore, the action of molecular and phase symmetry can be studied separately, as any symmetry group \( G \) we consider is the direct product \( GP \times GM \), i.e. phase and molecule group symmetries (‘left’ and ‘right’ actions in equation \((22)\)).

The two results will be combined in a synthetic table taking into account both actions.

It is worth remarking that there is not a one-to-one correspondence between the stabiliser subgroups for \( S \) and the point groups in three dimensions: liquid crystal compounds possessing different (molecular or phase) physical symmetry may have the same stabiliser for \( S \) and thus may belong to the same second-rank symmetry class. This fact is related to the truncation of the probability density used to define the order parameters: at the second-rank level the ordering tensors may coincide even if the actual material symmetry is different as in the truncation process some information about the molecular distribution is lost. For example, at the second rank level, materials with \( C_{3v} \) and \( D_{ach} \) symmetry are effectively indistinguishable. This would not be true if we considered third-rank tensorial properties. However, for the sake of simplicity and also because it is most widely adopted in the literature, we will consider only second-rank order parameters.

A number of authors have made the same classification based on the number of non-vanishing independent order parameters for each group \([23–25, 33]\). However, in our view, this classification of the symmetry classes rests on two standard theorems, which we now state without proof. The first theorem is known as Hermann’s theorem or Herman’s theorem in Crystallography. The interested reader can consult the original references \([34, 35]\); \([3, 36]\) for a proof and \([4, 37, 38]\) for a more accessible account of this result.

**Theorem 3.1 (Hermann–Herman).** Let \( T \) be an \( r \)-rank \((r > 0)\) tensor in \( W^\otimes r \), where \( W \) is a 3D real vector space. If \( T \) is invariant with respect to the group \( C_n \) of \( n \)-fold rotations about a fixed axis and \( n > r \), then it is \( C_{\infty} \)-invariant relative to this axis (i.e. it is \( C_m \)-invariant for all \( m \geq n \)).

Quoting Herman, from \([3, 35]\) ‘If the medium has a rotation axis of symmetry \( C_n \) of order \( n \), it is axially isotropic relative to this axis for all the physical properties defined by the tensors of the rank \( r = 0, 1, 2, \ldots, (n – 1) \).’

To perform the classification of the second-rank symmetry classes, we also need to recall a standard classification theorem in group theory \([1, 18, 39]\).

---

\( D^{(2)}(R_p)G_p(S_1)D^{(2)}(R_p)^T = G_p(S_2) \) and \( D^{(2)}(R_M)G_M(S_1)D^{(2)}(R_M)^T = G_M(S_2) \).

(27)
**Theorem 3.2.** Every closed subgroup of $\text{SO}(3)$ is isomorphic to exactly one of the following groups ($n \geq 2$): $C_1$, $C_n$, $D_n$, $T$, $I$, $C_\infty$, $C_\inftyv$, $\text{SO}(3)$.

In view of these theorems, for $j = 2$ we obtain a result that allows collecting all point groups in five classes, which greatly simplifies the classification of phase or molecular symmetries. A general theorem containing a complete classification for the representations of rank $j$ can be found in [40].

**Proposition 3.1.** There are exactly five (phase or molecular) symmetry-classes of the second-rank ordering tensor: isotropic, uniaxial (transverse isotropic), orthorhombic, monoclinic and triclinic. The corresponding stabiliser subgroups for $\mathbb{S}$ are: $\text{O}(3)$, $D_{\infty h}$, $D_{2h}$, $C_{2h}$ and $C_i$. The last column in the table collects the second-rank indistinguishable symmetries, i.e. physical symmetries that yield equivalent second-rank ordering tensors.

| Class     | Stabiliser for $\mathbb{S}$ | Indistinguishable symm. |
|-----------|-----------------------------|-------------------------|
| $C_0$     | Isotropic                   | $\text{O}(3)$           |
| $C_1$     | Uniaxial                    | $D_{\infty h}$           |
| $C_2$     | Orthorhombic                | $D_{2h}$                 |
| $C_3$     | Monoclinic                  | $C_{2h}$                 |
| $C_4$     | Triclinic                   | $C_i$                    |

Furthermore, since $\mathbb{S}$ vanishes in the isotropic class, there are only $4 \times 4 + 1 = 17$ possible different combinations of molecular and phase symmetries that can be distinguished at the level of second-rank order parameters.

**Proof.** The proof is a consequence of the following remarks.

1. Since the symmetry group $G$ is the direct product of $G_M$ and $G_P$, the action of a symmetry transformation can be studied independently for the molecules and the phase. This greatly simplify the classification (by contrast, this fact is not true in Elasticity).

2. The definition of $D^{(2)}(R)$, as given in equation (9), involves exactly twice the product of the rotation matrix $R$. This implies, by Herman’s theorem, that all the groups with an $n$-fold rotation axis with $n > 2$ are effectively indistinguishable from the $C_\infty$-symmetry about that axis.

3. Furthermore, equation (9) shows immediately that $D^{(2)}(I) = D^{(2)}(R)$. In particular, this yields $D^{(2)}(I) = D^{(2)}(1)$, $D^{(2)}(\sigma_h) = D^{(2)}(C_{2z})$, and $D^{(2)}(\sigma_v) = D^{(2)}(C_{2x})$ so that the inversion and the identity are represented by the same matrix; a horizontal mirror reflection is equivalent to a two-fold rotation about the main axis $z$, and a vertical mirror reflection is equivalent to a two-fold rotation about an orthogonal axis $x$. Therefore, the classification can be first performed on the subgroups of $\text{SO}(3)$ since each class will have at least one representative subgroup in $\text{SO}(3)$. The indistinguishable subgroups of $\text{O}(3)$ are then classified by considering the trivial actions of $I$ or $\sigma_h$.

4. Whenever a point group has two independent rotation axes of order $n > 2$, it must contain two distinct copies of $C_\infty$. By checking the list of the possible closed subgroups of $\text{SO}(3)$ (theorem 3.2) we see that it must be the whole $\text{SO}(3)$.

5. Finally, the stabiliser of $\mathbb{S}$ in each class is determined by the largest group in the class.
The proof is then completed by inspection of the various point groups. For example, point (4) immediately shows that the higher order groups \( T, O \) and \( I \) are all indistinguishable from \( SO(3) \) and thus they all belong to the same class. Then, from point (3) we learn that adding an inversion or a mirror reflection has no effect on \( \mathcal{S} \). Hence we can also classify \( I_h, T_h, O_h, \) and \( O(3) \) as belonging to the same class (called isotropic). The stabiliser for \( \mathcal{S} \) is the largest among such groups and it is \( O(3) \).

Likewise, axial groups with a three-fold or higher rotation axis are to be placed in the same class of \( C_{\infty} = SO(2) \), according to the remark in point (2). We now choose a frame of reference with the rotation axis along the \( z \) coordinate and use the basis \( \{ \mathbf{L}_i \} \), as given in equation (11), to represent \( \mathcal{S} \). The only basis tensor that is fixed by an arbitrary rotation about the \( z \)-axis is \( \mathbf{L}_0 \). Therefore, in this basis a \( C_{\infty} \)-invariant \( \mathcal{S} \) must be written either as

\[
\mathcal{S} = \sum_{i=0}^{4} S_{i0} \mathbf{L}_i \otimes \mathbf{L}_0,
\]

or

\[
\mathcal{S} = \sum_{j=0}^{4} S_{0j} \mathbf{L}_0 \otimes \mathbf{L}_j,
\]

depending on whether \( C_{\infty} \) is acting on the right or the left. The only non-vanishing entries are either the first column or the first row of the matrix representing \( \mathcal{S} \) (see also table 1).

Since the tensor \( \mathbf{L}_0 \) is not affected by a mirror reflection across planes through the \( z \)-axis, or by a \( C_2 \) rotation about the \( x \)-axis, the ordering tensor \( \mathcal{S} \) is (right- or left-) fixed also by \( \sigma_v \) and \( C_2 \). This brings \( C_{\infty v} = O(2) \) and \( D_{\infty h} \) into the class. From these it is then easy to classify all the other groups in the uniaxial class.

By contrast, the orthorhombic class and the monoclinic class are only composed by groups with 2-fold rotation axes, so that theorem 3.1 does not apply. The two classes are distinguished by the presence or absence of a second rotation axis orthogonal to \( z \) and point (3) allows us to identify the indistinguishable subgroups in each class. Finally, the triclinic class collects the remaining trivial groups \( C_1 \) and \( C_i \). All the symmetry classes are disjoint since it is possible to provide independent examples of an ordering tensor in each class.

4. Identification of the nearest symmetric ordering tensor

4.1. Invariant projection

If \( G_M, G_P \subset O(3) \) represent the real symmetry of the material, the order parameter \( \mathcal{S} \) must be an invariant tensor for the action of \( G_P \times G_M \). However, in practice, \( \mathcal{S} \) will not be fixed exactly by any non-trivial group, due to measurements errors or non perfect symmetry of the real system. Therefore, our problem can be stated as follows: given a measured \( 5 \times 5 \) ordering tensor \( \mathcal{S} \) and assuming two specific stabiliser subgroups \( G_M, G_P \), find the ordering tensor \( \mathcal{S}^{\text{sym}} \) which is \( (G_P \times G_M) \)-invariant and is closest to \( \mathcal{S} \). We now discuss two related sub-problems, namely, (1) how to find \( \mathcal{S}^{\text{sym}} \) and (2) what is meant by ‘closest to \( \mathcal{S} \’.

Let us define the fixed point subspace \( L(V_2)^G \) as the space of the tensors \( \mathcal{S} \) that are fixed under \( G \):

\[
L(V_2)^G = \{ \mathcal{S} \in L(V_2) : g\mathcal{S} = \mathcal{S}, \forall g \in G \}.
\]

The invariant projection onto \( L(V_2)^{G_P \times G_M} \) can be easily obtained by averaging over the group...
Table 1. Canonical form of $S^\text{sym}$ obtained by the projection of a generic ordering tensor $S$ using equation (29). The basis tensors $M_i$ and $L_j$, as given in (11), are adapted to the molecular and phase symmetries, where the $z$-axis is the main axis of symmetry. For the sake of readability, dots stand for vanishing entries. The isotropic class is omitted since the entries of the corresponding ordering tensors all vanish.

| Molecular phase | Triclinic | Monoclinic | Orthorhombic | Uniaxial |
|-----------------|-----------|------------|--------------|---------|
| $S_{00}$ $S_{01}$ $S_{02}$ $S_{03}$ $S_{04}$ | $S_{00}$ $S_{01}$ $S_{02}$ $S_{03}$ $S_{04}$ | $S_{00}$ $S_{01}$ $S_{02}$ $S_{03}$ $S_{04}$ | $S_{00}$ $S_{01}$ $S_{02}$ $S_{03}$ $S_{04}$ | $S_{00}$ $S_{01}$ $S_{02}$ $S_{03}$ $S_{04}$ |
| $S_{10}$ $S_{11}$ $S_{12}$ $S_{13}$ $S_{14}$ | $S_{10}$ $S_{11}$ $S_{12}$ $S_{13}$ $S_{14}$ | $S_{10}$ $S_{11}$ $S_{12}$ $S_{13}$ $S_{14}$ | $S_{10}$ $S_{11}$ $S_{12}$ $S_{13}$ $S_{14}$ | $S_{10}$ $S_{11}$ $S_{12}$ $S_{13}$ $S_{14}$ |
| $S_{20}$ $S_{21}$ $S_{22}$ $S_{23}$ $S_{24}$ | $S_{20}$ $S_{21}$ $S_{22}$ $S_{23}$ $S_{24}$ | $S_{20}$ $S_{21}$ $S_{22}$ $S_{23}$ $S_{24}$ | $S_{20}$ $S_{21}$ $S_{22}$ $S_{23}$ $S_{24}$ | $S_{20}$ $S_{21}$ $S_{22}$ $S_{23}$ $S_{24}$ |
| $S_{30}$ $S_{31}$ $S_{32}$ $S_{33}$ $S_{34}$ | $S_{30}$ $S_{31}$ $S_{32}$ $S_{33}$ $S_{34}$ | $S_{30}$ $S_{31}$ $S_{32}$ $S_{33}$ $S_{34}$ | $S_{30}$ $S_{31}$ $S_{32}$ $S_{33}$ $S_{34}$ | $S_{30}$ $S_{31}$ $S_{32}$ $S_{33}$ $S_{34}$ |
| $S_{40}$ $S_{41}$ $S_{42}$ $S_{43}$ $S_{44}$ | $S_{40}$ $S_{41}$ $S_{42}$ $S_{43}$ $S_{44}$ | $S_{40}$ $S_{41}$ $S_{42}$ $S_{43}$ $S_{44}$ | $S_{40}$ $S_{41}$ $S_{42}$ $S_{43}$ $S_{44}$ | $S_{40}$ $S_{41}$ $S_{42}$ $S_{43}$ $S_{44}$ |
\begin{equation}
\mathbb{S}^{\text{sym}} = \frac{1}{|G_P||G_M|} \sum_{g_P \in G_P} \sum_{g_M \in G_M} D^{(2)}(A_P) \otimes D^{(2)}(A_M^T),
\end{equation}

where \(|G_P|\) and \(|G_M|\) are the orders of the two (finite) groups. We observe that the transposition of \(A_M\) in equation (29) is unnecessary since we are summing over the whole group, but it is maintained here for consistency with (23). This averaging procedure is standard in many contexts and takes different names accordingly. It is called ‘averaging over the group’ in physics, ‘projection on the identity representation’ in group theory and ‘the Reynolds operator’ in commutative algebra. The expression (29) is manifestly invariant by construction. It is also easy to show that it constitutes an orthogonal projection, with respect to the standard Frobenius inner product, i.e. the natural extension of equation (1) to \(L(V_2)\). Hence, the distance of \(\mathbb{S}^{\text{sym}}\) from the original \(\mathbb{S}\) is minimal and can be easily computed, as we now discuss.

**Lemma 4.1.** The Reynolds operator as given in (29), with \(G_M, G_P \subset O(3)\), is an orthogonal projector onto \(L(V_2)^{G_P \times G_M}\)

**Proof.** Let us introduce the linear operator \(\mathcal{R}\) such that \(\mathcal{R}(S) = \mathbb{S}^{\text{sym}}\) as given in equation (29). For convenience, we will use the following more compact notation for the Reynolds operator:

\[\mathcal{R}(S) = \frac{1}{|G|} \sum_{g \in G} gS,\]

where \(G\) is the direct product of groups \(G = G_P \times G_M\) and \(g = D^{(2)}(A_P) \otimes D^{(2)}(A_M)\) is the tensor (Kronecker) product of the matrix representation. First, we observe that by construction \(\mathcal{R}^2 = \mathcal{R}\) as we are summing over the whole group.

Next, we show that \(\mathcal{R}^T = \mathcal{R}\). Since \(G_M, G_P \subset O(3)\), it follows from (10) that \(g^{-1} = g^T\). Therefore, for any two ordering tensors \(S, T \in L(V_2)\), we have

\[\mathcal{R}(T) \cdot S = \frac{1}{|G|} \sum_{g \in G} g^T \cdot S = \frac{1}{|G|} \sum_{g \in G} T \cdot g^T S = \frac{1}{|G|} \sum_{g \in G} T \cdot g^{-1} S = \frac{1}{|G|} \sum_{g \in G} T \cdot g S = T \cdot \mathcal{R}(S),\]

where we have used the fact that summing over \(g^{-1}\) is the same as summing over \(g\), since \(G\) is a group. Finally, we define \(S^{-1} := S - \mathcal{R}(S)\) and obtain

\[\mathcal{R}(S) \cdot S^{-1} = \mathcal{R}(S) \cdot (S - \mathcal{R}(S)) = \mathcal{R}(S) \cdot S - \mathcal{R}(S) \cdot \mathcal{R}(S) = \mathcal{R}(S) \cdot S - \mathcal{R}^2(S) \cdot S = 0.\]

The above lemma suggests that the Frobenius norm \(\|S^{-1}\|\) is a suitable candidate for the ‘distance from the \(G\)-invariant subspace’. It is worth noticing explicitly that this distance is properly defined as its calculation does not depend on the particular chosen matrix representation of \(S\), i.e. on the molecular and laboratory axes. Furthermore, since by orthogonality we have \(\|S\|^2 = \|\mathbb{S}^{\text{sym}}\|^2 + \|S^{-1}\|^2\), we readily obtain the following expression for the distance

\[d(S, L(V_2)^{G_P \times G_M}) = \|S^{-1}\| = \sqrt{\|S\|^2 - \|\mathbb{S}^{\text{sym}}\|^2}.\]

**4.2. Canonical matrix representation**

The matrix representation of the ordering tensor \(S\) assumes a particular simple form when the molecule and the laboratory axes are chosen in accordance with the molecular and phase
symmetry, respectively. When the z-axis is assumed to be the main axis of symmetry and the basis tensors $M_i$ and $L_j$ are chosen accordingly, the projection (29) gives a ‘canonical’ form for the ordering tensor, shown explicitly in table 1. The number of non-vanishing entries in each matrix corresponds to the number of independent (second-rank) order parameters necessary to describe the orientational order of molecules of the given symmetry in a given phase. The same results can be obtained by direct computation using the invariance of each matrix entry by symmetry transformations (see for example the calculations at the end of [10] relative to a nematic biaxial liquid crystal).

We immediately read from equation (17) that

$$S_{00} = L_0 \cdot \langle M_0 \rangle = \ell_3 \cdot \left( \frac{3}{2} \langle m_3 \otimes m_3 - \frac{1}{3} I \rangle \right) \ell_3 = \frac{1}{2} \left( 3(\ell_3 \cdot m_3)^2 - 1 \right) = S,$$

where $S$ is the standard uniaxial ($D_{\infty h}$) order parameter (degree of orientation). This is due to the fact that $m_3$ is the main rotation axis of the molecule and we have chosen the laboratory axis $\ell_3$ along the uniaxial symmetry axis of the phase (see section 2). Likewise, we can see that when the frames of reference are adapted to the molecular and phase symmetries of the system, $S_{10}$ corresponds to the degree of phase biaxiality $P$ while $S_{01}$ and $S_{11}$ are the two additional nematic biaxial ($D_{2h}$) order parameters, usually written as $D$ and $C$ (see [10] for notations).9

However, some ambiguity arises from this definition because in low symmetry groups not all the axes are uniquely defined by the group operations. This, in a sense, suggests that, contrary to common understanding, the matrix entries of $S$ are not suitable candidates for the order parameters, but rather the ordering tensor $S$ as a whole should be considered as the correct descriptor of the molecular order in a low symmetry system. For example, while the $D_{2h}$ symmetry uniquely identifies three orthogonal directions that can be used as coordinate axes, the $C_{2h}$ symmetry only identifies the rotation axis $z$, but gives no indication on how to identify the coordinate axes $x$ and $y$. We note that this choice is important, because some matrix entries are altered when we choose different $x$ and $y$ axes. By contrast, in a system with $D_{\infty h}$ symmetry the choice of the $x$ and $y$ axes is not important as all the associated order parameters vanish by symmetry. An extreme example is furnished by the triclinic case, where the molecule and the phase posses no symmetry. Here, there is no reason to select one preferred direction with respect to any other and any coordinate frame should be equivalent. However, the matrix entries of $S$ surely depend on the chosen axis.

Therefore, in general, a different choice of the $x$ and $y$ axes could lead to different values for the matrix entries and the canonical form of the matrix $S$ is thus not uniquely defined. By contrast, the structure of the matrix as given in table 1, and in particular the position of the vanishing entries of $S$, are not affected by such a change of basis. This suggests that the order parameters should not be identified with the matrix entries, but rather with the invariants of $S$.

Finally, it is important to observe that the molecular and phase symmetries are uniquely identified once we recognise the structure of the canonical form, independently of the definition of the order parameters.

**Proposition 4.1.** An ordering tensor $S$ is fixed by any group in the symmetry class $G_{(p,m)} = G_p \times G_m$ if and only if there exist molecular and laboratory frames of reference such that the matrix representation of $S$ has a canonical form given by the entry $(p,m)$ of table 1.

9 In our notation $S_{ij} = L_i \cdot \langle M_j \rangle$ (see (14)), whilst in [10] $S_{ij} = \langle M_i \rangle \cdot L_j$. 

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Proof. This can be checked by direct computation. The canonical forms in table 1 are obtained by projection of a full matrix $S$ onto the fixed point subspace $L(V_2)^{G_P \times G_M}$ using the Reynolds operator (29), where $G_P$ and $G_M$ are any two of the indistinguishable subgroups in the given symmetry class. The molecular and laboratory $z$ axes are assumed to be the main axes of symmetry. Let $\mathcal{R}_{(p,m)}$ be the corresponding Reynolds operator.

If $S$ is fixed by $G_P \times G_M$, then $\mathcal{R}_{(p,m)}(S) = S$. By construction, $S$ must be equal to the canonical form, once we choose the molecular frame and the laboratory frame in accordance with the symmetry axes of $G_P$ and $G_M$.

Vice versa, if $S$ is in a canonical form $(p,m)$, then we can check directly that it is invariant for the application of $\mathcal{R}_{(p,m)}$ for a suitable choice of the symmetry axes. □

4.3. Determining the effective phase

In order to determine the phase of the system it is not necessary to identify the scalar order parameters suitable to describe the molecular order in each case. As discussed in the previous section, it is sufficient to study whether the ordering tensor $S$ is close to one of the canonical forms of table 1, for a suitable choice of the molecular and laboratory axis.

To evaluate the distance of $S$ from a given fixed point subspace, we choose any group in the corresponding class and describe its elements concretely by assigning the orthogonal transformations and assuming the rotation axes. However, the rotation axes are not in general known (and are indeed part of the sought solution). Therefore, we calculate the distance with respect to all of the possible directions of the symmetry axes and define the distance from the symmetry class as the minimum among the distances.

It is useful to define the coefficient of discrepancy $c(p,m)$ as the minimum relative distance of $S$ from a symmetry class

$$c(p,m) = \min \left\{ \frac{d(S, L(V_2)^G)}{\|S\|} : G = G_P \times G_M \in C_{(p,m)} \right\}.$$ (34)

When the molecular symmetry is known, say $G_M$, we perform the optimisation only with respect to the phase groups and the coefficient of discrepancy depends only on the phase-index

$$c(p) = \min \left\{ \frac{d(S, L(V_2)^{G \times G_M})}{\|S\|} : G = G_P \in C_p \right\}.$$ (35)

The algorithm we propose is described schematically as follows (we specifically concentrate on the phase symmetry as the molecular symmetry can usually be assumed to be known a priori).

1. If $\|S\| = 0$, then the phase is isotropic.

2. If $\|S\| \neq 0$, then loop over the phase symmetry classes for $p = 1, 2, 3$ (there is no need to minimise in the trivial class $C_4$).

   2.1. Choose the lowest order group in $C_p$. Select an abstract group $G_P$ in the chosen class. This is reasonably the one with lowest order.

   2.2. Minimisation step. The distance (32) depends, via the Reynolds operator (29), on the concrete realisation of $G_P$, i.e. on the direction of the symmetry axes. Therefore we need to minimise the distance (32) with respect to all possible directions of the rotation axes allowed by the specific abstract group.
(3) Selection step. Clearly, the correct symmetry class is not that of the lowest distance. For instance, any ordering tensor $S$ has a vanishing distance with respect to the triclinic class (absence of symmetry). As a second example, since the lattice of the stabiliser subgroups is composed by a single chain, an uniaxial ordering tensor has zero distance also from all the previous classes in the chain, i.e. orthorhombic, monoclinic and triclinic.

In principle, when $S$ is free from numerical errors, we should choose the class with the highest symmetry and vanishing coefficient of discrepancy. However, in practice we will choose the highest symmetry compatible with a coefficient of discrepancy (35) not exceeding the experimental error (or simulation error).

5. Examples

We now briefly describe how to apply our algorithm to determine the phase symmetry of two liquid crystal compounds, one composed of uniaxial ($D_{\infty h}$) molecules and the other made of biaxial ($D_{2h}$) molecules. These symmetry assumptions are quite standard and shared by most theoretical studies in the field (see for example [14–16, 27–29, 41]). In the following we assume (quite reasonably) that the molecular symmetry and the molecular axes are known a priori, as is the case of Monte–Carlo simulations or mean-field analysis. Therefore, no minimisation is required with respect to the molecular frame of reference. Rather, we concentrate on the determination of the phase symmetry and its principal axes.

In the examples that follow, we have produced possible outcomes of simulations by computing $S$ for a system composed of a large number of molecules. We have randomly perturbed their initial perfect uniaxial or biaxial order to simulate more realistic results, affected by noise.

5.1. Uniaxial phase

First, let us consider a uniaxial phase with symmetry axis determined by the Euler angles $\alpha = 60^\circ$, $\beta = 30^\circ$, $\gamma = 0$. After introducing a random perturbation, the degree of order is $S = S_{00} \approx 0.69$, and the following ordering matrix is obtained:

$$
S = \begin{pmatrix}
0.404 & -0.016 & 0.005 & -0.013 & -0.005 \\
-0.090 & -0.008 & -0.005 & 0.016 & -0.009 \\
0.122 & 0.006 & -0.009 & -0.004 & -0.012 \\
0.476 & 0.009 & -0.005 & 0.000 & 0.020 \\
0.234 & -0.007 & -0.014 & -0.011 & 0.010
\end{pmatrix}, \quad (36)
$$

written with respect to an arbitrarily chosen laboratory frame, but with the molecular frame accurately selected according to the molecular symmetry. A quick look at table 1 correctly suggests that $S$ refers to a system of uniaxial molecules, although affected by experimental or numerical errors (only the first column contains significantly non-vanishing entries). However, the symmetry class of the phase, the axes of symmetry and the relevant order parameter(s) are yet unknown. To this end, we project $S$ on each symmetry class and compute the coefficient of discrepancy (35) in each case. It is unnecessary to project on the triclinic class since by definition this includes all the possible ordering tensors and the distance (32) is therefore always zero.
The optimal choice for the symmetry axes is given by minimising the distance of \( S \) from each symmetry class. We implement this minimisation procedure rather naively by uniformly sampling \( \text{SO}(3) \), i.e. we generate \( N = 10^4 \) orientations of the laboratory axes uniformly. There are of course more refined optimisation algorithms that could yield far better results, but these fall outside the scope of the present paper. We intend to study this computational issue more deeply in a subsequent paper. The result of our analysis is summarised in the following table.

| \( p \) | 0 | 1 | 2 | 3 | 4 |
|---|---|---|---|---|---|
| \( c_l(p) \) | 1 | 0.063 | 0.056 | 0.049 | 0 |

The coefficients of discrepancy suggest that the phase is uniaxial and \( S \in \mathcal{C}_1 \). The Euler angles of the phase axes are then found to be \( \alpha \approx 63.9^\circ, \beta \approx 31.1^\circ, \gamma \approx 124^\circ \). Note that the value of the proper rotation angle, \( \gamma \), is irrelevant in a uniaxial phase. Finally, we can write the ordering tensor in the symmetry adapted frame of reference according to (22). The new ordering matrix \( S' \) reads

\[
S' = \begin{pmatrix}
0.684 & -0.003 & -0.006 & -0.014 & 0.013 \\
0.006 & 0.001 & -0.004 & 0.018 & -0.007 \\
-0.008 & 0.003 & 0.001 & -0.007 & -0.018 \\
-0.003 & -0.021 & 0.001 & -0.004 & -0.014 \\
-0.005 & -0.002 & 0.017 & 0.001 & -0.001
\end{pmatrix}
\]

from which we obtain a degree of order \( \approx 0.684 \) in agreement with the expected value of 0.69.

5.2. Biaxial phase

We now present an analogous analysis, but relative to a less symmetric phase, namely \( D_{2h} \). The ordering matrix we consider in this example is

\[
S = \begin{pmatrix}
0.301 & -0.115 & -0.003 & 0.004 & -0.001 \\
0.127 & -0.537 & 0.007 & 0.000 & 0.002 \\
0.131 & -0.354 & 0.006 & 0.000 & -0.003 \\
0.403 & -0.303 & 0.003 & 0.001 & 0.004 \\
0.118 & 0.255 & 0.000 & 0.004 & -0.001
\end{pmatrix}
\]

which is built to represent a biaxial phase with symmetry axes rotated by \( \alpha = 60^\circ, \beta = 30^\circ, \gamma = 45^\circ \) with respect to the laboratory axes. The order parameters, i.e. the ordering matrix entries when referred to its principal axes, are \( S_{00} \approx 0.507, S_{01} \approx -0.179, S_{10} \approx -0.201, S_{11} \approx 0.743 \). In more standard notation, these order parameters correspond respectively to \( S, D, P \) and \( C \), albeit with different normalisation coefficients.

We sample the orientations of the laboratory frame uniformly, and find the following discrepancy coefficients for the five symmetry classes.

| \( p \) | 0 | 1 | 2 | 3 | 4 |
|---|---|---|---|---|---|
| \( c_l(p) \) | 1 | 0.43 | 0.070 | 0.017 | 0 |

The projection on the orthorhombic class, yields \( \alpha \approx 60.7^\circ, \beta \approx 29.7^\circ \) and \( \gamma \approx 43.6^\circ \). The reconstruction of the ordering matrix with respect to its principal axes reads
$S' = \begin{pmatrix} 0.505 & -0.181 & 0.000 & 0.005 & 0.001 \\ -0.204 & 0.742 & -0.009 & 0.001 & -0.002 \\ 0.06 & 0.028 & 0.000 & 0.000 & 0.005 \\ -0.005 & -0.005 & -0.005 & -0.001 & 0.001 \\ 0.000 & 0.000 & 0.001 & -0.003 & 0.002 \end{pmatrix}$. \hspace{1cm} (39)

6. Conclusions

We have proposed a method able to provide the canonical form of an ordering tensors $S$. This canonical representation readily yields the order parameters, i.e. the scalar quantities that are usually adopted to describe the order in a liquid crystal compound. However, the physical meaning of the matrix entries (for low symmetry molecules and phases) is still a matter of debate and fall outside the scope of our paper. The laboratory axes of the canonical form are interpreted as ‘directors’ and provide the directions of the symmetry axes, if there are any. Finally, we have shown that there are only five possible phase symmetry-classes, when the orientational probability density function is truncated at the second-rank level. This is a standard approximation in many theoretical studies of uniaxial and biaxial liquid crystals.

Our proposed method is simple enough to be applicable to the analysis of real situations. For the examples considered in section 5 the proposed algorithm seems to be reliable and give a fast analysis of the ordering tensor that leads to the correct identification of a uniaxial and a biaxial phase in a model system. For this purpose it has been sufficient to implement a very simple Monte–Carlo optimisation procedure. However, it may be appropriate to develop more efficient methods in case of more complex real systems.

Our strategy, based on the second-rank ordering tensor, is not able to distinguish amongst the phase groups belonging to the same class. In principle our approach could be easily extended to include higher-rank ordering tensors. The same mathematical ideas and tools we have put forward could be applied to this more general case, only at the cost of more involved notations. However, we believe that in so doing the presentation and the readability of the paper could be seriously affected. Furthermore, the second-rank case is the most relevant from a physical perspective, since most tensorial properties that can be measured in liquid crystals are second-rank. For these reasons we have only given the detailed presentation in the case of a second-rank ordering tensor.

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Appendix. Orientational probability densities in $O(3)$

When dealing with the orientation of molecules in the physical space, it is natural choose the molecular and laboratory frames of reference with the same handedness. The orientation of a molecule is then assigned in terms of the rotation $R \in SO(3)$ that brings the laboratory axes
into coincidence with the molecular axes. In this respect, an inversion or a mirror reflection do not represent a change of the orientation of the molecule and the orientational probability density function is usually taken to be a function $f : \text{SO}(3) \to \mathbb{R}_+$. However, when we need to consider how the symmetry groups acts on the orientational distribution, for instance because we need to exploit the mirror symmetry of a molecule, we need to consider probability densities $g : \text{O}(3) \to \mathbb{R}_+$. The two pictures can be reconciled as follows.

Since $\text{O}(3) = \text{SO}(3) \times C_i$ is composed of two connected components, that is $\text{SO}(3)$ and the other obtained from $\text{SO}(3)$ by inversion, the integration over $\text{O}(3)$ separates into two integrals over $\text{SO}(3)$. Namely, the ensemble average of a function $\chi : \text{O}(3) \to \mathbb{R}$ is

$$\langle \chi \rangle_{\text{O}(3)} = \int_{\text{SO}(3)} \chi(R) g(R) \, d\mu(R) + \int_{\text{SO}(3)} \chi(R) g(R) \, d\mu(R). \quad (A.1)$$

For apolar molecules, which posses inversion symmetry, $g(R) = g(R)$. The compatibility of the distributions in $\text{SO}(3)$ requires

$$g(R) = \frac{1}{2} f(R), \quad \text{for all } R \in \text{SO}(3), \quad (A.2)$$

where $f(R)$ is the distribution function in $\text{SO}(3)$ that we have defined in the text and the factor 1/2 comes from the normalisation of the distributions. The ensemble average (A.1) then becomes

$$\langle \chi \rangle_{\text{O}(3)} = \int_{\text{SO}(3)} \frac{1}{2} (\chi(R) + \chi(R)) g(R) \, d\mu(R). \quad (A.3)$$

This equation shows that the order parameter tensors are again calculated as averages over $\text{SO}(3)$. Explicitly, we have

$$\langle D^{(j)} \rangle_{\text{O}(3)} = \int_{\text{SO}(3)} \frac{1}{2} \left( D^{(j)}(R) + (-1)^j D^{(j)}(R) \right) g(R) \, d\mu(R). \quad (A.4)$$

where we have used

$$D^{(j)}(R) = (-1)^j D^{(j)}(R), \quad (A.5)$$

an identity that follows from the generalisation of equation (9) to $j$th-rank tensors (see equation (5)). In particular, this shows that the order parameters for apolar molecules vanish if $j$ is odd, the second rank order parameter $S$ considered in the text clearly do not vanish since $j$ is even.

By contrast, for polar molecules, which do not posses inversion symmetry, it is not possible (if the system is homogeneous, of the same chirality) to find an inverted molecule. Therefore, $g(R) = 0$, for all $R \in \text{SO}(3)$ and the probability density $g(R)$ coincides with the $f(R)$ in $\text{SO}(3)$.

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