Nonlinear Quantum Optics With Structured Light: Tightly Trapped Atoms in the 3D Focus of Vectorial Waves

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Atomic gases tightly trapped near the focus of an electromagnetic wave interact with photons that exhibit a complex structure, displaying strong gradients of field amplitude and local polarization that can lead to topological phase singularities. We illustrate the consequences of this structure on a paradigmatic nonlinear optical process: three-wave mixing. The process begins by proper selection of the pump field, whose spatial structure is tailored to present huge gradients of the EM field that enhance atomic excitations through forbidden transitions. Atoms can then be depopulated via two electric dipole decays in a cascade configuration, thus providing the three necessary waves. The properties of the down-converted photons are conditioned to those of the pump field through phase matching conditions. It is emphasized that the expression of the photons must incorporate both the structure of the vectorial EM modes and the spatial configuration of the atomic trap. Due to the three-dimensional focusing, the slowly varying envelope approximation becomes inadequate when describing the scattered EM field. We discuss an alternative using a Green function formalism valid for any configuration of the field that also allows to identify the phase matching conditions. Spherical vectorial waves exemplify most concepts here discussed, including the possibility of observing nonlinear quantum phenomena at the single photon level.

Keywords: quantum optics and applications, nonlinear optics and laser properties, structured light (SL), three-wave mixing (TWM), forbidden transition

It is now 60 years from publication of the emblematic work: Interaction between light waves in a nonlinear dielectric where Bloembergen and collaborators set out to connect the radiative response of electrons in atomic gases to the nonlinear optical properties of macroscopic dielectrics [1]. The connection was based on the collective, coherent scattering of light from atoms inside the gas and its relation to the incident electromagnetic field. The authors showed that the coherence between scattered and incident fields induces electric moments on the atoms that can yield nonlinear terms in the field strength, thus coupling waves of different frequencies. To unearth these effects a quasimonochromatic light source of high-brightness, directionality, and stable intensity as that given by the laser was required [1]. As modern technologies delve deeper into miniaturization, we need to look back at this connection but now placing emphasis on an efficient transfer of light at low intensities. An efficiency that can be reached by tailoring spatial and temporal profiles of light and matter through light-shaping techniques [2] and versatile atomic traps [3, 4].
Considered most broadly, the nonlinear process arises from the underlying interaction between light and matter. An illuminated atom probes and modifies the surrounding electromagnetic (EM) field, acquiring information on field intensities, gradients, and temporal correlations as it scatters photons between populated and vacuum modes. When the illuminating field is tailored to match the spatial and temporal profiles of the atomic radiation pattern, it can create a strong nonlinear response. Three-wave mixing represents the most simple response where fields of different frequencies couple and the potential of structured light is made apparent. Its implementation requires for three waves to induce a cycling transition in an atomic medium: moving up via, for instance, an electric quadrupole transition and cascading down via two electric dipole transitions through an intermediate level. Under adequate phase matching conditions an incident beam inducing the quadrupole transition gives rise to lower frequency waves, thus acting as a parametric amplifier. This example was chosen in Ref. [1] to show how symmetry constraints affect the nonlinear coupling. The experimental challenges to induce this process in atomic gases at the time were, however, formidable, with the conditions for observing quadrupole transitions being found in astrophysical or laboratory plasmas mostly [5]. Experiments searching to create correlated photons whose frequencies matched the atomic transition moved towards next order [1]. The first sources of correlated photon pairs were based on a cascade decay in a four-wave mixing process [6, 7] and led to enabling technologies in lithography [8], tomography [9], cryptography [10] and imaging [11, 12] with multiple physics [13] and transdisciplinary [14, 15] applications.

Atomic electric quadrupole transitions require large gradients in the amplitude of the incoming field. It is now possible to meet the experimental challenges to induce these transitions and explore three-wave mixing in atomic gases. On the one hand, further development of high-intensity lasers allows for the observation of quadrupole transitions even using thermal atomic samples and paraxial pump beams [16–18]. On the other hand, a quadrupole transition can be induced by large gradients in the amplitude of the incident EM field down to the limit of weak intensities. For this, spatial gradients are generated by shaping light using either phase singularities [19, 20] or evanescent waves [21] for which even micro-Watt intensity lasers can suffice [22].

In this manuscript we look back at the three-wave mixing process following ideas of light shaping and monitoring mechanisms that have been developed since the early days of nonlinear optics. Deep down this work is driven by the following thought: transitions with a single photon can trigger strong nonlinear optical processes when the spatial profile of the photon is tailored. The ideal scenario involves spherical vectorial waves, whose implementation remains challenging as they require control of the full $4\pi$ angle surrounding the atom. There are, however, experimental platforms where this control is achieved to a good approximation. In particular a single ion trapped at the focus of a parabolic mirror [23, 24] is a feasible set-up for achieving nonlinear strong coupling between few photons associated to vectorial modes. It has already been shown that a single photon can induce an electric dipole transition with high-probability in this set-up due to the similarities between parabolic and spherical waves [25]. Here, we study a three-wave mixing process based on ideal spherical vectorial modes [26]. We consider tightly trapped atoms in the Lamb-Dicke regime where the spatial confinement approaches the typical transition wavelengths. Through this ideal scenario we identify the theoretical tools necessary to understand the nonlinear process in detail, and establish a route to perform theoretical and experimental realizations of nonlinear optics events with a minimum number of photons.

The manuscript is organized as follow. In Section 1 we introduce our model where a tightly trapped atom is coupled to a structured EM field. Emphasis is placed on the vectorial structure of the field and effects related to the spatial extent of the trap on the atom-light coupling. We show that the trap strength can alter the atomic multipole decay rates through a form factor. At the end of this Section we revisit spherical vectorial waves and their relevance for the system under study. Section 2 concerns the connection between atomic transitions and nonlinear optics. We consider the specific example of an atom in a cascade configuration driven via an electric quadrupole transition by an incoming spherical wave. The nonlinear susceptibilities and collective responses of atomic systems are worked out for tightly trapped atoms. The mesoscopic densities of electric dipole and quadrupole polarization as sources of scattered photons are discussed. In Section 3 we introduce a dyadic Green function formalism that can be used to overcome the theoretical challenges that rise for deeply focused modes, such as, non-applicability of the slowly varying envelope approximation and the identification of the phase matching conditions. We conclude in Section 4 with a recapitulation of our results and the scope of our analyses.

### 1 ELECTRODYNAMICS BEYOND THE ELECTRIC DIPOLE APPROXIMATION

We consider an atomic gas coupled to a free electromagnetic field. The dynamics of this composite system are given by the Hamiltonian

$$\hat{H} = \hat{H}_{\text{atom}} + \hat{H}_{\text{field}} + \hat{H}_{\text{int}},$$

where $\hat{H}_{\text{field}}$ describes the free electromagnetic field, $\hat{H}_{\text{atom}}$, the center-of-mass and electronic state of the atomic gas in the absence of the EM field, and $\hat{H}_{\text{int}}$ links atom and field.

The gas is assumed to be tightly trapped and formed by atoms with three relevant electronic states $|s\rangle = |a, b, c\rangle$ in a cascade configuration sketched in Figure 1. It is described by the Hamiltonian

$$\hat{H}_{\text{atom}} = \sum \hbar \omega_{s,} |\Phi_{A}\rangle \langle \Phi_{A}| + \sum \hbar \omega_{s} |s\rangle \langle s|,$$

where the operator $\hat{\sigma}_{s,} = |s\rangle \langle s'|$ acts over electronic states while $|\Phi_{A}\rangle \langle \Phi_{A}|$ acts over vibrational states that describe the atomic motion inside the trap; the parameters $\omega_{s}$ and $\omega_{A}$ refer to electronic and vibrational frequencies, respectively. These
states are connected by the free EM field, whose evolution is described by

$$\hat{H}_{\text{field}} = \sum_{y} \hbar \omega_{y} \hat{a}_{y}^{\dagger} \hat{a}_{y}$$

with $\hat{a}_{y}$ the annihilation operator for an EM mode of frequency $\omega_{y}$. The index $y$ denotes a set of parameters that characterize the mode, e.g., in a plane wave $y = (k, \varepsilon_{k,\lambda})$ refers to modes of wavevector $k$ and polarization $\varepsilon_{k,\lambda}$. The evolution of the EM field is given by Maxwell equations for the electric $\hat{E}$ and magnetic $\hat{B}$ field operators that, when expanded within a normal mode basis, read

$$\dot{\hat{E}}(x, t) = \sum_{y} E^{(s)}_{y}(x) \hat{a}_{y} e^{-i \omega_{y} t} + h.c.,$$

$$\dot{\hat{B}}(x, t) = \sum_{y} i \frac{\omega_{y}}{c} \nabla \times E^{(s)}_{y}(x) \hat{a}_{y} e^{-i \omega_{y} t} + h.c..$$

It is convenient to write the mode amplitudes $E_{y}$ inside a restricted Fourier space where the free-space dispersion relation $|k|^{2} = \omega^{2}/c^{2}$ has been imposed. In this space the amplitudes are

$$E^{(s)}_{y}(x) = \int d\Omega_{k} e^{i k \cdot x} f_{y}(\Theta_{k}, \Phi_{k}),$$

with $d\Omega_{k}$ a solid angle element and $f_{y}$ the angular spectrum of the mode. Equation 6 gives a direct connection between plane waves and general structured modes.

The interaction between atom and field supports a multipole description due to the small size of the atom as compared to the wavelengths involved in most radiative transitions [27]. For extremely 3D-focused structured light, large gradients of the field amplitude and spatial-dependent polarization are found [28]. If the atom is trapped nearby the focus of the light mode it is necessary to move beyond the dipole approximation, done here through an interaction Hamiltonian

$$\hat{H}_{\text{int}} = -\left[ \hat{E}(\hat{X}, t) \cdot \hat{d} + \hat{B}(\hat{X}, t) \cdot \hat{m} + \frac{1}{2} \nabla \hat{E}(\hat{X}, t) : \hat{q} \right],$$

written in terms of the atomic electric dipole $\hat{d} = e \hat{r}$, magnetic dipole $\hat{m} = \frac{\varepsilon}{2m} \hat{l} + (\mu/s) \hat{s}$, and electric quadrupole $\hat{q}_{ij} = e (\hat{3} \hat{r}_{i} \hat{r}_{j} - \hat{r} \hat{r}_{i} \hat{r}_{j})$ operators. These operators act over the internal states of the atom with $\hat{r}, \hat{l}, \hat{s}$ referring, respectively, to the relative electronic position, orbital angular momentum, and spin angular momentum; while parameters $e$, $m$, and $\mu$ refer to the electron charge, mass, and magnetic moment. The vibrational states are accounted for through the operator $\hat{X}$ that denotes the atomic center-of-mass position where field operators are evaluated. Notice the double product of two range tensors defined by $F_{ij} = \sum_{j} F_{ij} G_{ij}$.

Equation 7 illustrates how an atom probes an electromagnetic field by correlating its internal states to the state of the field. Through the electric and magnetic dipole moments it probes local field amplitudes and quadratures, through the quadrupole moment it gains information of spatial gradients of the field. By moving past the dipole moment it is possible to acquire a better landscape of the EM field. Furthermore, the theoretical tools used to describe this extended landscape resemble those commonly used under the dipole approximation. The similarity is made transparent by inserting Eq. 4 into Eq. 7 and applying the rotating-wave approximation, such that—in a reference frame oscillating with $\hat{H}_{\text{atom}}$—the interaction Hamiltonian takes the form

$$\hat{H}_{\text{int}} = \sum_{y} \sum_{s,s'} e^{i (\omega_{s} - \omega_{s'}) t} \kappa_{ss'}(\hat{X}) \hat{a}_{y} \hat{a}_{s'}$$

where $\hat{X}$ is evaluated in the rotating frame and the coupling strength contains electric dipole, magnetic dipole, and electric quadrupole contributions

$$\kappa_{ss'}^{(s)}(\hat{X}) = \kappa_{ss'}^{(ed)}(\hat{X}) + \kappa_{ss'}^{(md)}(\hat{X}) + \kappa_{ss'}^{(eq)}(\hat{X}).$$

The contributions read explicitly as

$$\kappa_{ss'}^{(ed)}(\hat{X}) = E_{y}^{(s)}(\hat{X}) \cdot \hat{d}_{s's},$$

$$\kappa_{ss'}^{(md)}(\hat{X}) = B_{y}^{(s)}(\hat{X}) \cdot \hat{m}_{s's},$$

$$\kappa_{ss'}^{(eq)}(\hat{X}) = \frac{1}{2} \nabla E_{y}^{(s)}(\hat{X}) : \hat{q}_{ss'}.$$

Here, the matrix elements $O_{ss'} = \langle s | \hat{O} | s' \rangle$ that connect two electronic states $|s\rangle$ and $|s'\rangle$ are used.
From Eq. 7 it is possible to obtain Rabi oscillations, decay rates, and radiative shifts caused by higher multipole terms following standard techniques used in quantum optics. There is, however, a difference that has to be emphasized. The coupling depends on the trapping strength through the operator $X$, which can induce transitions among vibrational states ruled by the matrix element

$$
\langle \Phi_{A}\mid k^{(ed)}_{\alpha y}(X)\mid \Phi_{B}\rangle = d_{\alpha y} \cdot \left[ \int d^{3}X\Phi_{A}^{*}(X)E_{\beta}(X)\Phi_{B}(X) \right] e^{i\omega_{\alpha}x_{\beta}},
$$

(11)

plus two analogue terms for the magnetic dipole and electric quadrupole moments. With vibrational states defining the strength at which EM field an atom interact, a natural question is raised: Is it possible to alter the decay rate of an atom in an structured environment by changing the location and strength of the trap? While the effect of the location has been studied at length in the past, where tests of the Purcell enhancement factor depend on the location of an atom with respect to a boundary that alters the field distribution [29], the effect of trap strength is less explored. We answer this question in the affirmative below, where we show that for localized environments the spontaneous decay rate can be reduced (enhanced) for weaker (tighter) traps. The change is attributed to the extension of the atomic trap, which leads to an average over regions where field intensity and gradient change.

1.1 Spontaneous Emission of Tightly Trapped Atoms in Structured Environments

To describe the spontaneous emission of an atomic gas inside a structured environment, we consider the probability that an excited atom emits a photon into a free mode during a time interval $\tau$. From Eq. 8 the probability for this process to occur, regardless of the final vibrational state, is given by the integral

$$
P_{\gamma_{s\sigma}}^{y} = \int_{0}^{\tau} d\tau \sum_{\gamma} e^{i(\omega_{\gamma_{s\sigma}}-\omega_{\gamma_{s\gamma}})\tau} \langle \Phi_{\gamma_{s\sigma}}^{y} \mid k^{(ed)}_{\alpha y}(X) \mid \Phi_{\gamma_{s\gamma}}^{y} \rangle^{2},
$$

(12)

thus posing frequency mismatch conditions on the modes the atom interacts most strongly with. In general these conditions depend on a vibrational shift $\omega_{A}\delta_b$, but, when the electronic transition frequency is much larger than the relevant CM transition frequencies $\omega_{\gamma_{s\gamma}}$ this shift can be neglected [30]. By removing these shifts from the equation the states $|\Phi_{\gamma}\rangle$ can be averaged out using the completeness of the vibrational states, leading to

$$
P_{\gamma_{s\sigma}}^{y} = S(\omega_{\gamma_{s\gamma}}-\omega_{\gamma_{s\gamma}}) \langle \Phi_{\gamma_{s\gamma}}^{y} \mid k^{(ed)}_{\alpha y}(X) \mid \Phi_{\gamma_{s\gamma}}^{y} \rangle_{\gamma_{s\gamma}},
$$

(13)

with $S(\omega_{\gamma_{s\gamma}}-\omega_{\gamma_{s\gamma}})$ a sharp spectral function that satisfies $S(\omega) \sim 2\pi\delta(\omega)$ as $\tau \to \infty$ [31].

Equation 13 should be read as a probability distribution that weights the decay process. The atom interacts with many modes of the environment such that the spontaneous decay rates is obtained from the sum

$$
\Gamma_{\gamma_{s\gamma}}^{y} = \sum_{\gamma} \frac{\partial}{\partial \tau} P_{\gamma_{s\gamma}}^{y},
$$

(14)

consistent with the Born and Markov approximations. By removing the correlations that build-up between field and atom we have made the Born approximation, and by extending the time integral without accounting for selfconsistent exchanges we have performed the Markov approximation. In this sense, the spread $\omega_{\gamma_{s\gamma}} + \omega_{A}\delta_b$ introduced by vibrational states has to be much smaller than the free mode density in order to be neglected. This is usually achieved in free space, and is a good approximation to half cavities where modes acquire a linewidth.

It is now possible to identify the decay rate of an atom inside an structured environment. The transition rates between electronic states incorporate information about the spatial region explored by the atom and the vectorial nature of the radiated field. By using the angular spectrum of the field defined in Eq. 6 the spontaneous rates are found to be [25].

$$
\Gamma^{(ed)}_{\alpha y_{\alpha y}} = \sum_{\gamma} S(\omega_{\gamma_{\alpha y}}-\omega_{\gamma_{\alpha y}}) \left[ \frac{\partial}{\partial x_{\gamma}} \left( \frac{\partial}{\partial x_{\gamma}} \right) \right] \langle \Phi_{\gamma_{\alpha y}}^{y} \mid k^{(ed)}_{\alpha y}(X) \mid \Phi_{\gamma_{\alpha y}}^{y} \rangle_{\gamma_{\alpha y}},
$$

(15a)

$$
\Gamma^{(md)}_{\alpha y_{\alpha y}} = \sum_{\gamma} S(\omega_{\gamma_{\alpha y}}-\omega_{\gamma_{\alpha y}}) \langle \Phi_{\gamma_{\alpha y}}^{y} \mid k^{(md)}_{\alpha y}(X) \mid \Phi_{\gamma_{\alpha y}}^{y} \rangle_{\gamma_{\alpha y}},
$$

(15b)

$$
\Gamma^{(q)}_{\alpha y_{\alpha y}} = \sum_{\gamma} S(\omega_{\gamma_{\alpha y}}-\omega_{\gamma_{\alpha y}}) \langle \Phi_{\gamma_{\alpha y}}^{y} \mid k^{(q)}_{\alpha y}(X) \mid \Phi_{\gamma_{\alpha y}}^{y} \rangle_{\gamma_{\alpha y}},
$$

(15c)

where we have used square brackets $[x]_{\alpha}$ to denote the $\alpha$ component of vectors and tensors and adopted the circular polarization basis $|e_{\pi}\rangle = |e_{x}\rangle = e_{x} \pm ie_{y}$ $e_{0} = e_{z}$. The contribution of each mode becomes

$$
\langle \Phi_{\gamma_{\alpha y}}^{y} \mid k^{(ed)}_{\alpha y}(X) \mid \Phi_{\gamma_{\alpha y}}^{y} \rangle_{\gamma_{\alpha y}} = \frac{2\pi}{\hbar} \int d\Omega k \cdot g_{A}(k, k_{s\gamma}; \omega_{\gamma_{s\gamma}}) \left[ f_{\gamma}(k, \theta_{\gamma_{s\gamma}}) \right]^{\gamma_{s\gamma}},
$$

(16a)

$$
\langle \Phi_{\gamma_{\alpha y}}^{y} \mid k^{(md)}_{\alpha y}(X) \mid \Phi_{\gamma_{\alpha y}}^{y} \rangle_{\gamma_{\alpha y}} = \frac{2\pi c^{2}}{\hbar^{2} \omega_{\gamma_{\alpha y}}} \int d\Omega k \cdot g_{A}(k, k_{s\gamma}; \omega_{\gamma_{s\gamma}}) \left[ k \cdot f_{\gamma}(k, \theta_{\gamma_{s\gamma}}) \right]^{\gamma_{s\gamma}},
$$

(16b)

$$
\langle \Phi_{\gamma_{\alpha y}}^{y} \mid k^{(q)}_{\alpha y}(X) \mid \Phi_{\gamma_{\alpha y}}^{y} \rangle_{\gamma_{\alpha y}} = \frac{2\pi c^{2}}{\hbar^{2} \omega_{\gamma_{\alpha y}}} \int d\Omega k \cdot g_{A}(k, k_{s\gamma}; \omega_{\gamma_{s\gamma}}) \left[ k \cdot f_{\gamma}(k, \theta_{\gamma_{s\gamma}}) \right]^{\gamma_{s\gamma}},
$$

(16c)

The effect of the vibrational states for all multiple moments is given entirely by a form factor

$$
g_{A}(k, k_{s\gamma}; \omega_{\gamma_{s\gamma}}) = \int d^{3}X \Phi_{A}^{*}(X)e^{i(k-k_{s\gamma})} \langle \Phi_{A}(X) \rangle.
$$

(17)

The form factor shows that the center-of-mass motion performs an average over the field distributions. The average depends on the atomic center of mass initial state. For an atom prepared in the ground state of an harmonic trap with angular frequency components $\Lambda_{x,y,z}$ centered at the position $X_{0}$—which does not need to coincide with the origin used to describe the EM field—the form factor is

$$
g_{A}(k, k_{s\gamma}; \omega_{\gamma_{s\gamma}}) = e^{i(k-k_{s\gamma})} \int_{x,y,z} e^{-\frac{\Lambda_{x}^{2}+\Lambda_{y}^{2}+\Lambda_{z}^{2}}{2}}.
$$

(18)

The average carries information on the trap through the Lamb-Dicke parameters $\eta_{i} = \sqrt{\hbar \omega_{i}^{2}/2Mc^{2}\Lambda_{i}} = l_{i}/\lambda_{i}$ relating the
ground-state size of the trap $l_z = 2\pi \sqrt{\hbar/2MA_\gamma}$ to the wavelength of the electromagnetic mode $\lambda_\gamma$. In the Lamb-Dicke limit $\eta_\gamma < 1$, the atoms are confined below the photon wavelength and will not be heated by light scattering [32]. Notice that even if $\omega_\gamma \gg \Lambda_\gamma$, the condition is achieved for $\omega_\gamma < \sqrt{2MC^2/\hbar}$ taking into account the value of the atom rest energy $MC^2$. The Lamb-Dicke regime has been achieved for trapped ions [33] and neutral atoms [34].

Through Eqs 15a, 15b, 15c, 16a, 16b, 16c, Eq. 17 we have shown that decay rates depend on the EM mode density evaluated at the atomic resonance frequency and also on the average value determined by the vibrational states. The electromagnetic modes $\gamma$ that participate in Eqs 15a, 15b, 15c can be constrained by imposing physical boundaries, as done in experiments with optical cavities. Then, the enhancement [29] or inhibition [35, 36] of the spontaneous emission rate depends on the electromagnetic structure of the environment and the location and trapping strength of the atom. Notice that this control is expected not only for electric dipole transitions, but for any multipole transition as we have just illustrated for electric quadrupole and magnetic dipole cases.

It is worth mentioning that for atoms in free-space the EM field is homogeneous and the dipolar and quadrupolar spontaneous emission decay rates are

$$\Gamma^{(\text{d})}_{\omega'} = \frac{4|d_{\omega'}|^2\omega_{\omega'}^3}{3hc^3},$$
$$\Gamma^{(\text{q})}_{\omega'} = \frac{4|m_{\omega'}|^2\omega_{\omega'}^5}{3hc^3},$$
$$\Gamma^{(\text{eq})}_{\omega'} = \frac{|q_{\omega'}|^2\omega_{\omega'}^5}{15hc^3}.$$  

This can be shown by evaluating the $T^{(\text{multi})}_{\gamma:A}$ integrals with angular spectrum

$$f_{\gamma}(\theta_k, \phi_k) = \frac{1}{|\sin \theta_k|} \delta(\theta_k - \theta) \delta(\phi_k - \phi)$$

for each polarization, and using the completeness relation of polarizations $e_{kA}$ and wavevectors $k$. For a systematic approach beyond the quadrupolar interaction, however, it is more convenient to use the spherical modes.

### 1.2 Spherical Vector Waves: Atomic Radiation Patterns

The structure of the radiated field incorporates the atomic symmetries that arise from the central field model. In the mean field scheme, individual radiative electronic transitions involve a single electron that changes its orbital and yields a non-null electromagnetic multipole for the atom as a whole. An EM multipole transition of an atom can be either described as the emission or absorption of a single photon in an appropriate spherical vectorial mode, or as divided along multiple photon channels with wavevectors $k$ and specific angular distribution probabilities. Spherical vectorial modes then lead to a more efficient transfer than their wavevector counterparts.

This efficient transfer is already suggested by the form of the spherical vectorial modes. In free space a monochromatic spherical wave of frequency $\omega$ and amplitude $A$ has an angular spectrum

$$f_\gamma = A Y^{(p)}_{jm}(\theta_k, \phi_k).$$

The spectrum describes the coupling of orbital and polarization angular momenta of photons to yield a total angular momentum $jm$ as described by the functions $Y^{(p)}_{jm}$ where $P = E, M$ refers to either transverse magnetic or transverse electric waves. These functions are written explicitly in the Supplementary Appendix SAI in terms of standard spherical harmonic functions $Y_{\ell\ell}(\theta, \phi)$ that account for the orbital angular momentum—and spherical polarizations $e_j = e_e \pm ie_j$. Spherical vectorial modes are then characterized by the parameters: $\gamma: [\omega/c = k, j, m, P]$ that can be compared to the electronic degrees-of-freedom involved during a transition. In particular to the atomic angular momentum that includes both orbital and spin contributions of the electronic configurations and the angular momentum of the nucleus. Note that the electronic and electromagnetic fields should be described in the same reference frame. In particular, the same quantization $z$-axis, which is either arbitrarily selected or predetermined by anisotropic environments. The latter can be, e.g., external electric and magnetic fields selected to manipulate the internal or external degrees of the atom, or they could refer to the geometry of cavities designed to control the classical or quantum features of the electromagnetic field.

The radiative transitions that connect two-atomic states are ruled by strict conservation laws for energy, linear momentum, and angular momentum of the atom-radiation system as a whole. These conservation laws are naturally satisfied by spherical modes where electric and magnetic multipole transitions involve a single $Y^{(E)}_{jm}$ or $Y^{(M)}_{jm}$ photon [37]. As such, they can be used to connect the atomic internal state to the most probable decay processes and the specific EM modes involved. For example, the spatial pattern of magnetic spherical waves $Y^{(M)}_{jm}$ is obtained from Eq. 6 as

$$\int d\Omega_k e^{-ik\cdot r} Y^{(M)}_{jm}(\theta_k, \phi_k) = 4\pi i j_j(kr) Y^{(M)}_{jm}(\theta, \phi),$$

with $j_j(kr)$ the spherical Bessel functions with $k = \omega/c$ and the position $\mathbf{r} = \{r, \theta, \phi\}$. This form was used to obtain the radiative decay of Eqs 19a, 19b, 19c, but, by portraying the wave as a single vectorial mode, there is no need to account for the contribution of each wavevector and polarization. Similar descriptions are found for the spherical electric modes. Relevant, well-known mathematical properties of spherical vectorial modes are summarized in Supplementary Appendix SAI including a connection to vectorial plane waves. We show explicitly the simple structure of the modes in $k$-space that is used to define a scalar product from which the EM field can be quantized. These properties emphasize that the mode structure results from the direct coupling of orbital and polarization angular momenta of the field.

**Figures 2–4** are used to illustrate the rich spatial patterns of vectorial modes. In an effort to show the symmetries involved, iso-intensity surfaces are drawn for each polarization $e_{j,0}$ and for the total intensity of the spherical waves. As anticipated in the Introduction, the polarization and configuration structure in this
subwavelength region is complex. High gradients of the intensity and vortices along a dislocation line for certain polarizations can be found as shown by the phase structure in the XY plane.

We begin with Figure 2 where each polarization component of the electric dipole spherical wave is described by a combination of spherical functions $Y_{\ell m}$ with $\ell = 0$ and 2, as we now discuss. Figure 2 shows the $Y_{11}^{(E)}$ spherical wave. The $\sigma_+$ polarization component is a combination of both $Y_{20}$ and $Y_{00}$, the latter being independent of $\theta$ and $\phi$ and not null at $r = 0$. Neither $Y_{20}$ nor $Y_{00}$ components exhibit orbital vortices. For comparison, the $\pi$ component is proportional to $Y_{22}$ and displays an optical vortex with topological charge 2 along the Z-axis. The $\pi$ component is proportional to $Y_{22}$ with a unit
topological charge vortex. Consider next the quadrupole electric spherical wave \( Y_{11}^{(M)} \) shown in Figure 3. An analogous description follows but through a combination of \( Y_{\ell m} \) functions with \( \ell = 1 \) and 3. This implies an odd behavior for quadrupole waves in contrast to the even behavior of dipole electric waves with respect to the parity transformation. Finally, Figure 4 illustrates a \( Y_{11}^{(M)} \) spherical wave. In general, each circular polarization \( \sigma_\pi \) component of \( Y_{jm} \) is proportional to the \( Y_{jm} \) spherical harmonic. The parity of magnetic spherical modes is even (odd) for even (odd) values of \( j \).

The results are to be compared with standard paraxial optics. For paraxial optics, light polarization is approximately a global concept. There is a main direction of propagation and the polarization vectors are approximately perpendicular to it. This facilitates the identification of processes where only either \( \sigma_\pi \), \( \sigma_- \), or \( \pi \) transitions occur; \( \pi \)-transitions require a main direction of propagation perpendicular to a quantization-axis defined by the environment as mentioned in the beginning of this Subsection. For each type of transition the atomic internal states experience a change of the internal magnetic number \( \Delta m = 1, -1, 0 \) respectively. If a realization of a few atomic levels model is desired, a search of an atomic-EM field configuration is performed to maximize the relevance of predetermined internal atomic sublevels that participate in the nonlinear optical process.

2 THREE-WAVE MIXING INDUCED BY A FORBIDDEN TRANSITION

Having described how light can be tailored to induce particular transitions with high probability, we now move to the nonlinear response of an atomic gas. For this we are going to consider the case drawn in Figure 1 where a three-level atomic gas is driven by a structured EM mode. States \( |a\rangle \) and \( |b\rangle \) are connected through two paths: one via a quadrupole transition with moment \( d_{abc} \) and the other via two electric dipole transitions through an intermediate level \( |c\rangle \) with moments \( d_{ac} \) and \( d_{bc} \). All other moments are considered negligible. The incident mode \( \hat{a}_1 \) is tailored to display a large spatial gradient, it presents the adequate polarization to connect \( a \) and \( b \) states, and is slightly detuned from the quadrupole transition. It provides the conditions for modes \( \hat{a}_2 \) and \( \hat{a}_3 \) to become populated as the atom descends down the dipole ladder, thus describing a three-wave mixing process. Most studies in the literature do not consider the possibility of the quadrupole transition and focus only on the two-photon electric dipole processes associated to the ladder configuration of a three level atom-EM field system [38]. Nevertheless, those studies illustrate a plethora of interesting phenomena whose analogs and extensions when three-wave mixing is allowed deserve further analyses.

Three-wave mixing relies on the coherent scattering from mode \( \hat{a}_1 \) to modes \( \hat{a}_2 \) and \( \hat{a}_3 \). To account for this scattering we divide the total Hamiltonian of Eq. 1 as

\[
\hat{H} = \sum_{\ell=1,2,3} \hbar \omega_\ell \hat{a}_\ell^\dagger \hat{a}_\ell + \sum_{s,\ell} \hbar \kappa_{s\ell} \langle \hat{X} \rangle a_s^\dagger \sigma_s^{(n)} + H.c. + \hat{H}_{\text{atom}} + \hat{H}_{\text{field}}
\]

where we separated the three EM modes \( \hat{a}_1, \hat{a}_2, \hat{a}_3 \) from other environment modes accounted for in the primed terms. The evolution of the mode operators

\[
(22)
\]
three-level atomic gas can be de

Equivalently, we could de

where the total number of trapped atoms \( N \) is first introduced. Non-linearities enter the picture through the internal state of the atom. They result from the participation of photons inside \( \gamma \) modes at different orders in the coupling strength \( \kappa \)

The series converges for \( \kappa \) lower than the detunings \( \Delta \) and decay rates \( \Gamma^{(\text{md})} \). Three-wave mixing appears at second order in the series; the details are described in the Supplementary Appendix SAII following a semiclassical approach equivalent to an adiabatic elimination of the atomic variables in the fully quantum regime \[39\]. The final expression for the atomic polarization at a position \( \mathbf{X} \) is

where the leading terms are shown to be

Meanwhile, the quadrupole densities oscillate as

Once the electronic states are adiabatically eliminated, the field evolution of Eq. 23 is equivalent to an effective Hamiltonian density of the atomic gas interacting with the EM field

Here, the summation is performed over free modes \( \gamma_i \) whose frequency \( \omega_i \) close to the transition frequency \( \omega_{\gamma_i} \) and their polarization and angular momentum \( j_m \) are adequate to induce the atomic transition with the corresponding multipole moment. That is, rapidly oscillating terms derived from far from resonance conditions or some spatial configuration out of the expected spherical vector mode one have been discarded.

### 2.1 Multipole Densities as Sources of Radiation

Having revisited the connection between electronic processes and nonlinear optical processes, we can now exploit the connection to Maxwell equations. For localized sources which admit an efficient multipole expansion, the radiated field operator \( \mathbf{E} \) is known to satisfy the equation \[31, 37, 40\]

where the sources

divide into electric dipole \( \mathbf{j}^{(\text{ed})} \) and quadrupole \( \mathbf{j}^{(\text{eq})} \) operators that satisfy

It is possible to extend the formalism to include magnetic dipole transitions through a magnetization term

In our case, Eq. 32 stems from the interaction Hamiltonian beyond the dipole approximation written in Eq. 7 and the decomposition of the electric fields \[4\]. The form of the interaction led naturally to the vectorial spherical waves associated to each atomic radiative transition and then to source terms of this form. The particular atomic evolution follows from a master equation evolution (as defined in Supplementary Appendix SAII), but the form is universal.

Note that radiation can also be generated by so-called “free” sources. An example is radiation scattered by structureless ideal charges such as electrons, i.e., the Compton effect, which has frequently been described using a plane wave expansion of the quantized EM field \[31\]. Recent theoretical studies concern the Compton effect with photons associated to structured beams.
and the implementation of the inverse Compton effect for twisted electron beams is an active area of research [42].

For the three-wave mixing process considered here, the induced moments oscillate at frequencies \( \omega_1 \), \( \omega_2 \) and \( \omega_3 \). As such, the evolution of the field amplitudes can be decomposed into a Fourier series that leads to

\[
\begin{align}
\left[ -\nabla \cdot \nabla - \frac{\omega_i^2}{c^2} \right] E(z) &= -\frac{4\pi\omega_i^2}{c^2} \nabla \cdot Q(-\omega_i), \\
\left[ -\nabla \cdot \nabla - \frac{\omega_i^2}{c^2} \right] E(z) &= \frac{4\pi\omega_i^2}{c^2} P_i(-\omega_i), \quad i = 2, 3
\end{align}
\]

whenever the temporal phase matching condition is imposed \( \omega_1 = \omega_2 + \omega_3 \). From them, conditions yielding a high efficiency of parametric processes based on atomic coherence can be obtained [43]. Note that the full quantum treatment implicit in Eq. 32 allows to study correlations of the quadrature equations of the EM modes, including squeezing conditions [44].

Most implementations of nonlinear optics processes consider input paraxial beams driving the atomic gas. The set of paraxial modes \( \{\hat{P}_i^n\} \) neither matches the atomic symmetry nor provides any guarantee of an adequate description of the EM field in the radiation zone [45]. Within the paraxial regime, the theoretical description of the classical and quantum properties of light is incorporated using the slowly varying amplitude approximation [1]. It states that the relative change in the amplitude per wavelength is small and there is a main direction of propagation \( z \) of the light fields so that the dominant spatial variation of the amplitude can be approximated by \( (\omega/c)\partial_z E^{(r)} \). This is not valid when the electromagnetic beams are focused, but, as we now show, the vectorial waves provide a form to describe the evolution.

3 DYADIC GREEN FUNCTIONS

Schwinger [46] introduced an elegant formalism to evaluate the response function between the electromagnetic field and a polarization source using the Green function dyadic. As Maxwell equation, Eq. 32, can be worked out using this formalism for generalized multipole sources we now revisit this formalism.

The Green dyadic \( \Gamma \) is a tensor with \( r, s \) components

\[
G_{rs}(x, t; X', t') = \langle \hat{T} \hat{E}^{(c)}_{r}(x, t) \hat{E}^{(s)}_{s}(X', t') \rangle
\]

with \( \hat{T} \) a time ordering operator that, in field theory applications, leads to Feynman symmetric order; and, in optical studies, is chosen to guarantee the retarded scheme. By being written in normal order it also provides a natural connection to photon detection theory and real time monitoring of the emitted field. This tensor is responsible of the propagation of fields. It then allows us to write the free and scattered fields discussed above as

\[
\hat{E}_r(x, t) = \hat{E}^{\text{free}}_r(x, t) + \hat{E}^{\text{scatt}}_r(x, t)
\]

where the free field \( \hat{E}^{\text{free}}_r \) is, by construction, the adequate quantum field solution of the Maxwell equations with no sources, and may include the incident EM field. And the scattered EM field \( \hat{E}^{\text{scatt}}_r \) is created by the atomic multipole densities.

For a retarded scheme and a vectorial spherical expansion of the electric field operators in free space, the tensor is decomposed as

\[
G_{rs}(x, t; X', t') = \int d\omega \ G_{rs}(x, X_3; \omega) e^{-\omega(t-t')}
\]

\[
G_{rs}(x, X_3; \omega) = \omega^2 \sum_{\ell s} \sum_{m_1 m_2} j_s(m_2 \ell s) h^{(s)}_{m_2}(\frac{\omega}{c}) (Y^m_{m_2}(\theta, \varphi) Y^{(p)}_{m_1}(\theta', \varphi')) (\theta, \varphi)
\]

with \( j_x \) and \( h^{(1)}_{m_2} \) the spherical Bessel functions.

3.1 Spatial Phase Matching Conditions

We can now use this formalism to describe the phase matching conditions that rule the underlying nonlinear processes. For plane waves phase matching conditions establish the relations between the wave vectors of the involved EM modes that guarantee the highest efficiency of an optical nonlinear process. In the quantum realm, these equations are interpreted as the conservation of linear momentum of the participating photons. For a homogeneous atomic gas, the three-wave mixing phase matching conditions are

\[
k_1 = k_2 + k_3.
\]

For beams exhibiting a common dislocation line—and correspondingly a non-trivial local orbital momentum along that line—the phase matching conditions correlate the topological charge of the vortices so that the angular momentum of the photons is conserved [47, 48]. For an isotropic atomic sample and for modes exhibiting optical vortices of topological charge \( m_i \) along a common axes, for our process

\[
m_1 = m_2 + m_3.
\]

In this section we show how they naturally emerge from the dyadic treatment of the scattered field. To that end it is just necessary the integrate the Maxwell Equation Eqs 38a, 38b over the spatial variables of the localized source

\[
\int d^3 X' J_x (\omega/c) Y_{m_1}^{(p)}(\theta', \varphi') \cdot J(X', t')
\]

If the 3D sample is in an isotropic trap, the angular integration acquires an analytic expression (some formulae useful for the calculation of the spatial derivatives of the spherical waves can be found in the Supplementary Appendix SAI). The integrals are, in general, a linear combination of the Wigner 3-j symbols.
The phase matching conditions can be interpreted as a conservation of the total angular momentum and not just its $z$-component for the photons involved in the three-wave mixing process.

### 3.1.1 Scattered Field

Since just a constrained set of $\gamma_{pm}$ modes satisfies the phase matching conditions, the scattered field is given by

\[
E_{\gamma}^{\text{scat}}(X,t) = \int d^{3}X' dt' Y_{r}(X,t; X', t') \left( \partial_{X'} J \right)_{r}(X', t')
\]

\[
= \sum_{\gamma_{pm}} \alpha_{\gamma_{pm}}^{\text{st}} \kappa_{\gamma_{pm}} \left( \frac{\omega_{pm}}{c} \right) \left( \gamma_{\ell_{pm}}^{(P)} \right)_{r}(\theta, \phi), \quad \omega_{1} = \omega_{2} + \omega_{3}
\]

\[
\alpha_{\gamma_{pm}}^{\text{st}} = \int d^{3}X' \rho^{CM}(X') j_{\ell_{pm}} \left( \frac{\omega_{pm}}{c} \right) \left( \gamma_{\ell_{pm}}^{(P)} \right)_{r}(\theta', \phi') J_{r}(X')
\]

An advantage of working with spherical vectorial modes is that any set of three modes $\gamma_{s\alpha}$, $\gamma_{s\bc}$ and $\gamma_{sc}$ with $\omega_{1} = \omega_{2} + \omega_{3}$ must be considered as usual, but the phase angular matching conditions select a discrete set of few modes specified by the polarization $P$ and the $j_{\ell_{pm}}$ and $m_{s}$ values that satisfy the angular phase matching conditions. This is a basic difference with standard studies where the spatial phase matching is satisfied by a continuous set of spatial modes.

Once few of these modes are identified one could now apply, e.g., the semiclassical approximation or other standard techniques [49–51] to work out the behavior of the quantum quadratures of the field and their correlation to the atomic degrees of freedom. The modes, of course, depend on the experimental conditions.

### 4 DISCUSSION

We have presented a description of three-wave mixing inside an atomic cloud. We began by showing how this process could be efficiently induced by properly shaping the light field and then moved to the description of the free and scattered field that are ultimately measured in an experiment. For this, we went beyond the dipole approximation and showed that the system is naturally described by multipole spherical waves. Multipole spherical waves yield the optimal description of the basic radiative atomic processes. As such, they are paradigmatic structured light fields. Yet, their use in the description of nonlinear systems is scarce. The reason is that most implementations of nonlinear processes employ laser beams and assume a paraxial regime. Nowadays technological developments go in a different direction. Optimal coupling to minimize energy costs in, e.g., quantum information protocols require the realization of nonlinear processes triggered by single photons [52]. A natural scheme to achieve such a coupling is by trapping atoms nearby the focus of spherical vectorial waves.

The main task in this work was to emphasize both the effects of trapping on the radiative responses of an atom and present a way to surmount the difficulties that arise. These included moving beyond dipolar approximation, and the breakdown of commonly used approximations as the slowly varying envelope one. We showed how the dyadic Green function formalism is easily implemented and allows for the identification of a discrete set of modes that would participate in the nonlinear process once the relevant atomic states are identified.

The calculations were described by a paradigmatic process that can now be reached in experiments with atomic gases: three-wave mixing. Notice, however, that the general concepts introduced in our work can be extended to any nonlinear process. In addition the dyadic formalism can be directly applied for other symmetries; yielding a direct route for the identification of the phase matching condition. While standard techniques were developed with dipolar transitions and plane waves in mind, the extension to structured light can be readily done with the appropriate basis in mind.

### DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding authors.

### AUTHOR CONTRIBUTIONS

RG-J and RJ contributed with conceptual ideas, methodology strategies and writing of the manuscript.

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### SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fphy.2022.896174/full#supplementary-material
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