Membrane fusogenic lysine type lipid assemblies possess enhanced NLRP3 inflammasome activation potency

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\textbf{ABSTRACT}

Lysine (K) type cationic lipid with a propyl spacer and dihexadecyl hydrophobic moieties composing liposomes, K3C14, previously studied for gene delivery, were reported to activate the NLRP3 inflammasomes in human macrophages via the conventional phagolysosomal pathway. In this study, K3C16, a propyl spacer bearing lysine type lipids with dihexadecyl moieties (an extension of two hydrocarbon tail length) were compared with K3C14 as liposomes. Such a small change in tail length did not alter the physical properties such as size distribution, zeta potential and polydispersity index (PDI). The NLRP3 activation potency of K3C16 was shown to be 1.5-fold higher. Yet, the toxicity was minimal, whereas K3C14 has shown to cause significant cell death after 24 h incubation. Even in the presence of endocytosis inhibitors, cytochalasin D or dynasore, K3C16 continued to activate the NLRP3 inflammasomes and to induce IL-1β release. To our surprise, K3C16 liposomes were confirmed to fuse with the plasma membrane of human macrophages and CHO-K1 cells. It is demonstrated that the change in hydrophobic tail length by two hydrocarbons drastically changed a cellular entry route and potency in activating the NLRP3 inflammasomes.

1. Introduction

With the growing demand of harnessing human body’s natural defense systems to treat various diseases, nanoparticles such as silica dioxide, titanium dioxide [1] and engineered nanomaterials (ENMs) [2] were investigated in their abilities to activate inflammasomes. The NLRP3 inflammasome, one of the most recognized and characterized multimeric protein complexes, is composed of the NOD-like receptor (NLR) protein NLRP3, the apoptosis-associated speck-like protein containing a CARD (ASC) and pro-caspase-1 [3]. In general, two signals necessitate NLRP3 inflammasome activation; the first one via the toll-like receptors (TLRs) by a wide range of bacterial or viral related molecules induce the production of pro-IL-1β [4]; the second one via various pathways by a broad range of substances such as extracellular ATP via P2X7 receptors [5], the pore forming bacteria toxins nigericin [6], and phagocytic preferential particulate materials such as silica crystals and aluminum salts [7], mature pro-caspase-1 which cleaves the pro-IL-1β to IL-1β for secretion [8]. Activation of the NLRP3 inflammasomes followed by secretion of IL-1β links the innate immunity to the adaptive immunity via the recruitment of both leukocytes and lymphocytes [9]. Therefore, it is an attractive target for immunotherapy.

Cationic liposomes, a bilayered spherical structure with positively charged outer surface, with high cellular uptake efficiency [10] and consequent endo/lysosomal destabilizing ability [11] have been conventionally employed as non-viral type vectors in the field of gene therapy [12]. To exploit cationic liposomes as immuno-stimulators, Li et al. investigated on a series of lysine type cationic liposomes with alterations on hydrophobic spacer and tail length [13]. The lead assembly, K3C14, bearing lipids with a propyl spacer and dihexadecyl hydrophobic moiety displayed the highest degree of NLRP3 inflammasome activation among the investigated subjects. Previously, lysine type cationic lipids with diheteradecyl or dihexadecyl hydrophobic moiety were reported showing improved transfection efficiency with lower toxicity as lipoplexes [14]. In this study, liposomes of a propyl spacer bearing lysine type lipid with dihexadecyl hydrophobic moiety were included due to its comparable physical and biochemical traits. It is to our interest to explore its structural effect and mechanism in activating the NLRP3 inflammasomes alongside with K3C14.

Herein, we investigated the cellular uptake mechanism of K3C14 and K3C16, and demonstrated that both liposomes can activate the NLRP3 inflammasomes, however, with K3C16 being 1.5 times more...
potent than K3C14. Furthermore, we showed that the cellular toxicity of the two liposomes was significantly different. Most importantly, we discovered that in comparison to K3C14 being endocytosed, K3C16 with two hydrocarbon tail length difference entered cells mainly via fusion with the plasma membrane.

2. Materials and methods

2.1. Materials

The following reagents were purchased: phorbol 12-myristate 13-acetate (PMA) and CP-456773 sodium salt (CRID3) from Sigma-Aldrich (USA); Amicon Ultra 0.5 mL centrifugal filter MWCQ 100 kDa, Millipore membrane filters of 0.45 μm and 0.22 μm pore sizes from Millipore Sigma (USA); GM-CSF recombinant human protein, fetal bovine serum (FBS), penicillin-streptomycin, sodium pyruvate (100 mM), Glutamax supplement, RPMI 1640 medium, PBS buffer, Pierce LDH cytotoxicity assay kit, cytochalasin D (CytD), Alexa Fluor 488 NHS ester (succinimidyl ester), wheat germ agglutinin Alexa Fluor 555, DRAQ5 fluorescent probe solution (5 mM) and 4% formaldehyde from Thermofisher Scientific (USA); acridine orange (AO) from Dijitrih Laboratories (Kumamoto, Japan); VX765 and lipopolysaccharide (LPS) Escherichia coli O111:B4 from InvivoGen (USA); human IL1 beta assay kit from Cisbio Bioassays (France); CD14 microbeads (human) from Miltenyi Biotech (Germany); silica crystals (MIN-U-SIL-15) from US Laboratories (Kumamoto, Japan); VX765 and lipopolysaccharide (LPS) Escherichia coli O111:B4 from InvivoGen (USA); human IL1 beta assay kit from Cisbio Bioassays (France); CD14 microbeads (human) from Miltenyi Biotech (Germany); silica crystals (MIN-U-SIL-15) from US Laboratories (Kumamoto, Japan); CHO-K1 cells and F-12K medium from ATCC (USA).

Human PMBCs were donated from University Hospital Bonn (Germany), and the operation and usage were approved by the local ethics committee in accordance with the Declaration of Helsinki.

2.2. Preparation and characterization of liposomes

10 mg of lipids of each type was hydrated in 20 mM HEPES buffer (pH 7.4) at room temperature (r.t.) with vigorous stirring for 4 h followed by extrusion using membrane filters of 0.45 μm and then 0.22 μm pore sizes in an extrusion chamber at 50 °C with the pressure of ~0.25 pascal (Pa). Size nm in diameter (d nm) and zeta potential (mV) were measured using Malvern Zetasizer Nano ZS (UK). In short, a 10μL dispersion of 5mM liposomes was diluted in 20mM HEPES buffer to a final volume of 1mL and was loaded on either a plastic cuvette or a capillary zeta cell for measurements of size and zeta potential, respectively. Three measurements were executed per sample and the mean was reported.

2.3. Alexa-488 NHS liposomes conjugation

5% lipid molar concentration of Alexa-488 NHS prepared in DMSO (10 mg/mL) was reacted with primary amines on K3C14 or K3C16 at r.t. in dark for 1.5 h with the highest stirring speed on a stir plate. After completion of the reaction, unreacted Alexa flour was removed using Amicon Ultra-0.5 mL centrifugal filters in a 40-degree fixed angle centrifuge at 14,000 × g for 5 min. This procedure was repeated twice by filling up the filter with 20 mM HEPES buffer. Then the samples were collected on the filter membrane and the filter was washed several times with a small amount of 20 mM HEPES buffer for the optimal recovery. Lastly, fluorescence intensities of filtrates and samples (dilution factor of 25, 50, 100 and 200) were measured using a fluorescence spectrometer with Gen 5 software in a plate reader. A standard curve of Alexa Fluor 488 ranging from 10 μg/mL to 0.05 μg/mL was used to calculate the reaction rate. The conjugated K3C14,488s and K3C16,488s were characterized with Zetasizer for size, PDI, and zeta potential.

2.4. Cell isolation and cell culture

Human PMBCs were isolated by density-gradient centrifugation. Then monocytes were positively selected using CD14 microbeads and subsequently differentiated into macrophages in GM-CSF containing cell culture medium at a density of 2 × 10^5 cells per mL. Human macrophages were then primed with 1 ng/mL LPS for 2 h prior to stimulation. THP-1 cells were differentiated with 100 nM PMA overnight followed by PBS wash thrice and rested for 1 d. THP-1 cells were primed with 50 ng/mL LPS 2 h before stimulation. Both human macrophages and THP-1 cells were cultured and maintained in RPMI medium supplemented with 10% FBS, 1% sodium pyruvate, 1% L-glutamine and 1% penicillin-streptomycin under standard 37 °C, 5% CO2 conditions. CHO-K1 cells were seeded at a cell density of 10^4/well in 96-well plates and maintained in F-12K medium supplemented with 10% FBS and 1% penicillin-streptomycin under standard 37 °C, 5% CO2 conditions.

2.5. Immune stimulation

LPS primed human macrophages or THP-1 cells were stimulated with liposome samples (100 μM final concentration used in all in vitro assays) over 24 h. 400 μg/mL silica crystals were used as a positive control; LPS primed human macrophages without further stimulation were used as a negative control. For NLRP3/caspase-1 dependency assay, we added NLRP3 inhibitor CP-456773 (5 μM) or caspase-1 inhibitor VX765 (25 μM) 30 min prior to stimulations. For phagocytosis inhibition, 15 μM cytochalasin D was added just after 2 h LPS priming and was kept along with the stimulator. Similarly, an endocytosis inhibitor dynasore (15 μM or 25 μM) was applied for the evaluation. Cells stimulated with liposome samples untreated with cytochalasin D or dynasore were evaluated in parallel. Concentration of IL-1β in supernatants was measured using a HTRF human interleukin beta (IL1β) assay following the manufacturer’s instructions.

2.6. Cytotoxicity

Released lactate dehydrogenase (LDH) in culture medium by human macrophages with and without treatment of stimuli after 24 h incubation was measured using the Pierce LDH Cytotoxicity Assay Kit. The assay was carried out according to the manufacturer’s protocol.

2.7. Confocal microscopy and fluorescence microscopy

Liposome-cell membrane fusion was observed using a Leica SP2 AOBs confocal laser scanning microscope, 63 × water immersion objective. First, 100 μM Alexa-488 labeled liposomes were incubated with LPS primed human macrophages on a μ-Slide 8-well plate (1.5 × 10^5 cells/well) for 2 h at 37 °C. Then, cells were fixed with 4% formaldehyde for 15 min at 37 °C followed by DPBS wash twice. 5.0 μg/mL Wheat Germ Agglutinin Alexa-555 conjugate was used to stain cell membrane for 10 min at r.t. Lastly, cells were washed twice in DPBS and 2.5 μM DRAQ5 counterstain was added. Liposome-CHO-K1 cell membrane fusion was observed using a Keyence BZ-X series, 20 × objective. Simply, 200 μM Alexa-488 labeled liposomes were incubated with 60%-70% confluent CHO-K1 cells on a 96-well glass-bottom plate for 10 min at 37 °C followed by DPBS wash thrice before observation.

2.8. Lysosome rupture

PMA differentiated THP-1 cells were treated with 5 μg/mL acridine orange (AO), a lysosomal dye, for 20 min in dark at 37 °C. Subsequently, cells were washed thrice in DPBS and liposome samples prepared in cell culture medium were added and incubated for 20 h. Cells without AO staining and stimulations were served as a positive control (100% lysosomal rupture); cells with AO staining and without stimulations were served as a negative control (0% lysosomal rupture). Prior to flow
cytometry, all cell samples were detached from a cell culture plate with 2 mM EDTA, 10–15 min incubation at 37 °C and gentle pipetting. Cell culture medium was replaced with chilled DPBS and all the samples were kept on ice. Flow cytometry and data processing were performed using DB Biosience FACSARia II cell sorter and DIVA software. R-phycocerythrin (PE) filter channel was selected. Calibration of the flow cytometer was conducted according to the DB manual. Prior to recording the events, the fluorescence intensity was adjusted by changing the strength of voltage; an intensity of about \(10^3\)–10⁵ was set as the negative control and an intensity of about \(10^3\)–10⁵ was set as the positive control (P2 gating). Then, about 10,000 events were recorded for all samples. Cells were first gated by SSC-H vs. FSC-H followed by P2 gating (Supplementary Fig. 3). The percent lysosome rupture was calculated and displayed on histogram.

2.9. Statistical analysis

Statistical analyses were done using unpaired Ordinary one-way ANOVA multiple comparisons or two-way ANOVA by Prism 7 (Graphpad). P-value style: 0.1234 (not significant), 0.0332 (*), 0.0021 (**), 0.0002 (**), <0.0001 (****).

3. Results

3.1. Activation of inflammasomes by cationic liposomes in the NLRP3/Caspase-1 dependent fashion

Cationic liposomes of K3C14 and K3C16 were prepared using a simple hydration/sonication method followed by extrusion. The resultant K3C14 and K3C16 liposomes displayed high similarity in particle size, PDI, and zeta potential (Supplementary Table 1). We then evaluated the liposomes’ immunostimulatory strength by checking the secreted IL-1β level in cell supernatants and verified that the NLRP3 inflammasome is the dominant cellular machinery driving the immune response. As shown in Fig. 1a, both liposomes consistently triggered the release of IL-1β with K3C16 exhibiting 1.5-fold higher induction strength than K3C14. Interestingly, K3C16 was barely toxic to cells, which was indicated with a % cytotoxicity below the natural cause of cell death (about 50% cytotoxicity) after 24 h incubation; on the other hand, K3C14 caused nearly 80% cell death (Fig. 1b). With the presence of NLRP3 inhibitor or Caspase-1 inhibitor, CRID3 or VX765, respectively, we demonstrated that both K3C14 and K3C16 triggered IL-1β release was primarily NLRP3 and Caspase-1 dependent (Fig. 1c and d). Correlated scatter plot was showed in Supplementary Fig. 1.

3.2. Evaluation on endocytic entry routes

We investigated on the endocytic entry route utilizing two different endocytic inhibitors, cytochalasin D and dynasore. Cytochalasin D is a well-known phagocytosis inhibitor, which acts on blocking the polymerization of the actin filaments. Dynasore, a dynamin inhibitor, inhibits the action of dynamin “nipping” off the endocytic entrapment to form the endocytic vesicle. As shown in Fig. 2a, in the presence of cytochalasin D, release of IL-1β was significantly suppressed in human macrophages stimulated with silica crystals (a positive control) or K3C14. However, cytochalasin D was not effective to block the release of IL-1β from K3C16 stimulated cells. With respect to toxicity (Fig. 2b), silica crystals stimulated cells, normally causing 100% cell death, had shown a complete sabotage of toxicity; K3C14 stimulated cells had shown a similar degree of cytotoxicity with or without the treatment of the phagocytosis inhibitor. In the presence of dynasore (15 μM or 25 μM), IL-1β secretion and cytotoxicity were not affected in silica crystals or K3C14 stimulated human macrophages. Interestingly, an up-regulation of IL-1β secretion was observed in K3C16 stimulated cells (Fig. 2c). In addition, K3C16 stimulated cells in the presence of dynasore displayed an increased cytotoxicity corresponding to the elevated IL-1β secretion (Fig. 2d). Correlated scatter plot was showed in Supplementary Fig. 2.

![Fig. 1. Activation of inflammasomes by cationic liposomes in the NLRP3/Caspase-1 dependent fashion](image-url)
3.3. Lysosome rupture

Upon endocytosis, endo/lysosome compartments entrap cationic particles. As endo/lysosomes further acidify, cationic particles act to rupture the lysosomes and subsequently causing the release of lysosomal enzymes. As a consequence, the release of lysosomal enzymes beckon the assembly of NLRP3 inflammasome [15]. Therefore, we examined the % lysosome rupture caused by K3C14 or K3C16. As shown in Fig. 3, K3C14 stimulated cells had about 24.5% lysosomal rupture, whereas K3C16 stimulated cells barely had lysosome rupture (2.8%).

3.4. Observation of membrane fusion

Prior to cell imaging experiments, the liposomes of K3C14 or K3C16 was labeled with 5mol% lipid molar concentration of Alexa 488 (K3C14_488 or K3C16_488, respectively). Comparing with the unlabeled liposomes, K3C14_488 or K3C16_488 showed no significant difference in physical characters (Supplementary Table 1). Microscopic imaging revealed that K3C14_488 liposomes were largely accumulated in the cytoplasm space of the cells (Fig. 4 top panel). Surprisingly, it was observed that K3C16_488 lipids spread along the plasma membrane and overlapped with plasma membrane stain as shown in a merged image (Fig. 4 bottom panel). Additionally, when K3C14_488 liposomes were incubated with LPS primed human macrophages pretreated with lystrotracker, colocalization with lysosomes was observed; on the contrary, no lysosome-liposome colocalization was observed in the cells stimulated with K3C16_488 liposomes (Supplementary Fig. 3). To further validate the membrane fusion, K3C14_488 or K3C16_488 liposomes were incubated for 10 min with CHO-K1 cells, a non-phagocytic cell type. It was again shown that K3C14_488 lipids were mainly located in the cytoplasm space, whereas K3C16_488 traced along the plasma membrane of the cells (Supplementary Fig. 4).

4. Discussion

In this study, K3C14 and K3C16 cationic liposomes with just two hydrocarbon tail length difference shared high degree of physical similarities in size, PDI and zeta potential. It was demonstrated that both liposomes could trigger IL-1β release primarily through activation of the NLPR3 inflammasomes in LPS primed human macrophages. However, the potency varied between the two; K3C16 had resulted a 1.5-fold higher IL-1β release than K3C14. This finding is consistent with the previous discovery [13] that size, uniformity and surface charge do not have a significant impact on the pharmacological behavior of the lysine type cationic liposomes. Although it was reported by Obata et al. that lysine type cationic liposomes with ditetradecyl or dihexadecyl hydrophobic moiety showed equally lower toxicity in gene therapy studies using cos-7 cells, it was not the case in this study. K3C14 caused a nearly 80% cell death after 24h incubation, whereas K3C16 caused minimal toxicity to human macrophages. The discrepancy in toxicity suggested that K3C16 is a better candidate than K3C14 as an immunostimulator since high toxicity is most likely to cause adverse effects. More importantly, it implied that K3C14 and K3C16 interacted with cells via different mechanisms.
cytotoxicity were detected in the cells treated with dyansore and stimulated with K3C16. It was reported by Miyauchi et al. [17] that dyansore inhibited the function of dynamin and surprisingly permitted the mixing of HIV viral lipid to the cell membrane. Hence, the upregulation of IL-1β secretion can be explained by the enhanced membrane fusion event because dynasore not only impairs dynamin-dependent endocytosis, it further impacts the cholesterol recycling to the plasma membrane [18]. A decrease in cholesterol number would increase the fluidity of plasma membrane and therefore would increase the fusion potential of plasma membrane to K3C16 liposomes. As more K3C16 liposomes fused with cells, cytotoxicity increased as a result of robust activation of the NLRP3 inflammasomes.

Lastly, microscopic images clearly shown that K3C14_488 liposomes were located mostly in the cytoplasm space of human macrophages and...
Tc liposomes contributed to achieving a state in which membrane fusion particles to gain proximity to the cellular membrane and eventually shown that a narrow range of hydrophobicity was required for nano-phobicity of the two liposomes. In Sergy et al.’s paper [21], it was and as well as nearly identical physical characters, the authors speculate that the disparate biological effects lie in the overall hydrophobicity of the two liposomes. In Obata’s report [14] that C16 tail length resulted a higher Tm. However, it is important to note that fuscogen potential of C14 and C16 bearing cationic liposomes was not affected when fusing with biomi-micking liposomes. Further studies regarding K3C16 membrane fusion mechanism are expected.

Cationic liposomes are widely applied as gene vectors as they can be readily endocytosed by cells. However, current technologies encounter the problem of compromised drug delivery efficiency or high cytotoxicity due to the endocytosis pathway, for example, destabilization of the cargoes by lysosomal enzymes and lysosome dysfunction [11]. Thus, there is a high demand to exploit alternative cell entry route for drugs to reach cytosol. K3C16 liposomes, which fuse with plasma membrane may provide a solution for direct cytosolic drug delivery with low cytotoxicity. Additionally, the NLRP3 inflammasome activation caused by cationic liposomes was previously recognized as a result of lysosome rupture following the endocytosis [13]. In contrast, K3C16 liposomes activated the NLRP3 inflammasomes by fusion with plasma membrane. Although the molecular mechanisms need further investigation, it is promising to apply this type of liposomes as a new type of immunostimulator. Given the likelihood that the NLRP3 inflammasome activation is necessary for conventional adjuvant alum to drive adaptive immune responses [9], K3C16 liposomes are speculated to be good candidates of immune adjuvants with relatively high efficiency in antigen delivery and high potency in immune stimulation. However, these cationic complexes are only proposed for local administration in vivo, to restrain the systemic inflammation and prevent opsonization for particle clearance.

In conclusion, we disclosed that K3C14 and K3C16 liposomes sharing the same lysine head group and propyl spacer but alteration on hydrophobic tail length by two hydrocarbons had different immunostimulatory effect (NLRP3 inflammasome activation strength), biocompatibility, and interacted with cells through two distinct routes: K3C14 via endocytosis and K3C16 via membrane fusion.

Conflicts of interest
E.L. is a co-founder and consultant of IFM Therapeutics. The other authors declare no conflicts of interest associated with manuscript. S.T. is an inventor of JP 5403324 (Waseda U) for the lysine type lipids.

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Appendix A. Supplementary data
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