The in situ study of surface species and structures of oxide-derived copper catalysts for electrochemical CO₂ reduction†

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Oxide-derived copper (OD-Cu) has been discovered to be an effective catalyst for the electroreduction of CO₂ to C₂+ products. The structure of OD-Cu and its surface species during the reaction process are interesting topics, which have not yet been clearly discussed. Herein, in situ surface-enhanced Raman spectroscopy (SERS), operando X-ray absorption spectroscopy (XAS), and ¹⁸O isotope labeling experiments were employed to investigate the surface species and structures of OD-Cu catalysts during CO₂ electroreduction. It was found that the OD-Cu catalysts were reduced to metallic Cu(0) in the reaction. CuOₓ species existed on the catalyst surfaces during the CO₂RR, which resulted from the adsorption of preliminary intermediates (such as *CO₂ and *OCO⁻) on Cu instead of on the active sites of the catalyst. It was also found that abundant interfaces can be produced on OD-Cu, which can provide heterogeneous CO adsorption sites (strong binding sites and weak binding sites), leading to outstanding performance for obtaining C₂+ products. The Faradaic efficiency (FE) for C₂+ products reached as high as 83.8% with a current density of 341.5 mA cm⁻² at −0.9 V vs. RHE.

Introduction

The electrochemical CO₂ reduction reaction (CO₂RR) has received wide attention, as it can not only reduce CO₂ into chemical fuels and feedstocks but it can also provide an energy storage solution for renewable energy sources.¹⁻³ In particular, multi-carbon (C₂+) products are much more attractive due to their high energy densities and high economic values.⁴⁻¹⁰ However, the activity and selectivity for C₂+ products can be severely limited due to the slow kinetics of the C–C coupling step, which involves intricate multiple proton and electron transfer.¹¹⁻¹⁵ Designing highly active catalysts for C₂+ products and confirming the active sites are crucial for promoting the development of this area.

Cu-based catalysts are the most promising electrocatalysts for converting CO₂ to C₂+ products,¹⁶⁻²⁸ especially oxide-derived Cu (OD-Cu).²¹⁻²³ Studies using ambient-pressure X-ray photo-electron spectroscopy, electron energy-loss spectroscopy, and ex situ energy-dispersive X-ray spectroscopy have shown that oxide species in OD-Cu play a crucial role in activating CO₂ and in C–C coupling.²⁴⁻²⁶ However, it is well-known that Cu oxide and hydroxide species are unstable at negative potentials during the CO₂RR. In addition, most of the characterization studies of CuOₓ species are based on ex situ methods, and it is difficult to study the structures and valences of catalysts under reaction conditions because reduced Cu can be oxidized very rapidly, even under an atmosphere with trace amounts of O₂. Recently, studies using in situ X-ray characterization have shown that the surface oxide layer can be fully reduced to metallic Cu.²⁷,²⁸ Thus, the real role of the CuOₓ species of OD-Cu in promoting the CO₂RR is controversial. In previous reports,²⁹,³⁰ massive efforts have been focused on the presence or absence of Cu oxides; however, how Cu oxides are retained or formed during the CO₂RR is not clear. Also, comprehensive knowledge of the CuOₓ species is crucial for understanding their role in promoting the CO₂RR.

Moreover, the surface structure of a catalyst often plays a crucial role in the production of C₂+ products.³¹⁻³³ Experimental studies suggested that grain boundaries, low
coordinating environments, and active crystal facets can alter the CO adsorption and C-C coupling steps during the CO$_2$RR.$^{24-27}$ These factors are often associated rather than independent. For example, the active facets tend to be exposed on the surface in regions near grain boundaries, as the grain boundaries could stabilize facets with high surface energies, according to solid-state mechanical studies.$^{28,29}$ Thus, the surface structure should also be comprehensively studied under the reaction conditions.

In this work, the surface species of OD-Cu catalysts were systematically studied via in situ surface-enhanced Raman spectroscopy (SERS), operando X-ray absorption spectroscopy (XAS), and isotope labeling experiments. It was found that CuO$_x$ species existed on Cu surfaces during the CO$_2$RR, and they arose from the adsorption of preliminary intermediates (such as $^\cdot$CO$_2$ or $^\cdot$OCO) on metallic Cu(0) at negative potentials rather than residual oxides. The presence of CuO$_x$ species was unlikely to be the factor for enhancing C$_2$+ product formation. Detailed experimental studies indicate that the high density of interfaces between facets played a key role in the highly efficient C$_2$+ product production.

**Results and discussion**

Two different OD-Cu catalysts with similar morphologies were prepared in this work. Firstly, an oxide-derived Cu nanorod sample (Cu-nr) was prepared via the electroreduction of CuO nanorods. Another OD-Cu catalyst was prepared via the simple redox cycling treatment of Cu-nr. Cu-nr oxide (Cu-nr-O) was prepared via the oxidation of Cu-nr in 1 M KOH solution, then, reduced Cu-nr-O (Cu-nr-OR) was obtained via the electroreduction of Cu-nr-O (Fig. S1†). The obtained Cu-nr had a diameter of about 40 nm (Fig. S2†), and exhibited a cross-linked architecture. Scanning electron microscopy (SEM) and transmission electron microscopy (TEM) studies suggested that Cu-nr-OR also exhibited nanorod morphology, which was similar to Cu-nr (Fig. 1A and B). The diameters of Cu-nr and Cu-nr-OR were about 40 nm. From high-resolution TEM images (Fig. 1C and S3†), the corresponding lattice spacings of CuO (001), Cu$_2$O (111), Cu$_3$O (110), and Cu (111) were observed for Cu-nr-OR, which may be because Cu can be oxidized by air before measurements. In addition, a small amount of Cu$_2$O can also be observed based on powder X-ray diffraction (XRD) and X-ray photoelectron spectroscopy (XPS) analysis (Fig. S4†). Furthermore, we can find that Cu-nr-OR comprised a lot of nanocrystals, and abundant interfaces between the nanocrystals were produced. Similar interfaces were found in Cu-nr (Fig. S5†), albeit the density of interfaces is significantly lower than that in Cu-nr-OR. This may be due to the size of the nanocrystals in Cu-nr being about twice that in Cu-nr-OR. Also, Cu nanoparticles (Cu-np) with a diameter of 50 nm were selected as a contrasting material. We can observe that Cu-np mostly exhibited single facets with few interfaces (Fig. S6†). These results indicated that OD-Cu exhibited abundant interfaces, which was consistent with previous reports.$^{31-33}$

In order to obtain detailed information regarding Cu species, X-ray absorption spectroscopy (XAS) was used to explore the electronic structures of the catalysts. The X-ray absorption near-edge structure (XANES) spectra (Fig. 1D) showed that the pre-edge peak of Cu-nr-O was close to Cu$_2$O. The oscillation $k^3\chi(k)$ functions of Cu-nr-O (Fig. S7†) indicated that the low-$k$ region was similar to Cu$_2$O and the high-$k$ region was similar to Cu, indicating that both Cu$_2$O and Cu existed in Cu-nr-O. According to the extended X-ray absorption fine structure (EXAFS) spectra (Fig. 1E), Cu-O and Cu-Cu coordination peaks were observed in Cu-nr-O, implying that some Cu was oxidized to Cu$_2$O. In contrast, for Cu-nr and Cu-nr-OR, only peaks corresponding to metallic Cu were observed, indicating that Cu$_2$O and Cu-nr-OR were fully reduced to metallic Cu after electroreduction.

The electrocatalytic performances of the catalysts were evaluated in a flow cell, as reported in our previous work.$^{34}$ Gas chromatography (GC) and nuclear magnetic resonance (NMR) spectroscopy were used to analyze the gaseous and liquid products, respectively (Fig. S8 and S9†). For Cu-nr, the FE for C$_2$+ products (FE$_{C2+}$) was 64.6% at $-0.9$ V vs. RHE (Fig. 2A). However, for Cu-np, FE$_{C2+}$ was only 45.2%. It is interesting to note that Cu-nr-OR exhibited the highest FE$_{C2+}$ value among the three catalysts, and FE$_{C2+}$ could reach up to 83.8% with a current density of 341.5 mA cm$^{-2}$ at $-0.9$ V vs. RHE, which was among the best values reported to date (Table S1†). The partial current density for C$_2$+ products over Cu-nr-OR could reach 286.2 mA cm$^{-2}$ at $-0.9$ V vs. RHE, which is about 1.6 and 2.3 times that over Cu-nr and Cu-np, respectively (Fig. 2B). Although FE$_{C2+}$ over Cu-np was significantly lower than that over Cu-nr-OR, the FE for H$_2$ was slightly higher than that over Cu-nr-OR (Fig. S10†), indicating that the increase in C$_2$+ products for OD-Cu did not mainly arise from the suppression of the hydrogen evolution reaction (HER). We can also observe that the FE for CO over Cu-np was significantly higher than those

![Fig. 1 Characterization of the Cu-nr-OR catalyst.](Image)

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Increased with reaction time. These results indicate that CO2 is we can observe that the intensities of the product signals during 24 h (Fig. 2D).

The distributions of C2+ products are listed in the ESI (Fig. S11 †). In addition, the sums of the FEs for the different catalysts were close to 100% (Fig. S14 †). Long-term operation was conducted at −0.9 V vs. RHE over Cu-nr-OR to elucidate the electrode stability, and the electrolyte was refreshed every hour to overcome the issue of salt accumulation. There was no obvious decay in either the current density or the FEs of the products during 24 h (Fig. 2D).

In order to verify that the products were derived from CO2, electrolysis experiments were conducted using isotope-labeled 13CO2 over Cu-nr-OR. From 1H NMR spectra (Fig. S15 †), we can see that the H signals of the products split into two groups of peaks, resulting from H–13C coupling effects. In addition, we can observe that the intensities of the product signals increased with reaction time. These results indicate that CO2 is the only source of carbon in the products.

The intrinsic reasons for the enhanced activity and selectivity during CO2 reduction to C2+ products over Cu-nr-OR were further investigated. The electrochemical active surface areas (ECSAs) of Cu-np, Cu-nr, and Cu-nr-OR were estimated via measuring the double-layer capacitance and using the Pb underpotential deposition (PbUPD) method (Fig. S16 and S17 †). We can observe that the ECSA of Cu-nr-OR was similar to that of Cu-nr, and it was higher than that of Cu-np. Similar to the geometric current density trend, Cu-nr-OR also exhibited the highest normalized current density among the three samples (Fig. S18 and Table S2 †). Thus, the improved C2+ product generation did not mainly result from a change in the ECSA.

Furthermore, electrochemical impedance spectroscopy (EIS) was carried out to measure the charge transfer resistance (Rct) values of Cu-nr and Cu-nr-OR. Cu-nr-OR showed a similar interfacial Rct value as Cu-np and Cu-nr (Fig. S19 †).

Due to the C2+ product selectivity and activity being sensitive to the surface species of catalysts, the role of surface species in enhancing the generation of C2+ products was explored via in situ SERS (Fig. S20 †). For Cu-np, Cu-nr, and Cu-nr-OR, no bands corresponding to Cu oxides (CuO or Cu2O) can be observed at −0.2 V vs. RHE or below, indicating the full reduction of Cu oxides to metallic Cu (Fig. 3A–C); this was consistent with the results of XAFS. In addition, from cyclic voltammetry curves (Fig. S21 †), no oxide peaks can be observed at low potentials. New bands appeared at −0.2 V vs. RHE or below during the CO2RR, and the bands will be analyzed according to the different potentials below.

At −0.2 V vs. RHE or below, well-defined bands appeared at 1064 cm−1 for Cu-np, Cu-nr, and Cu-nr-OR during the CO2RR, which were attribution to CO32−,12 because CO2 can dissolve in KOH electrolyte, forming a neutral-pH carbonate mixture. The intensity of the band became weaker as the potential decreased, which is because CO2 can be reduced at negative potential and the formation of CO32− becomes slow.

At −0.3 V vs. RHE or below, an additional band appeared at 524 cm−1 for Cu-np, Cu-nr, and Cu-nr-OR during the CO2RR. According to a previous report,42 the band can be assigned to CuO, or CuO2OH species. When analysis was conducted in D2O instead of H2O, the band at 524 cm−1 displayed a negligible shift (Fig. S22 †), indicating that the band could be attributed to CuO species rather than CuO2OH.42 There are three possible reasons for the formation of CuO species (Fig. S3E): (1) the CuO species were from the original CuO2; (2) they arose from...
a reaction between reduced Cu and H$_2$O; and (3) they arose from a reaction between reduced Cu and CO$_x$.

To answer this question, $^{18}$O isotope labeling experiments were carried out to confirm the source of oxygen in CuO$_x$. First, $^{18}$O-enriched Cu-nr-O catalysts were synthesized via the oxidation of Cu-nr in H$_2$O$_{18}$ electrolyte. Cu$_2^{18}$O was formed in Cu-nr-O; the bands were at 504 and 590 cm$^{-1}$ (Fig. 3D), exhibiting significant red shifts compared with Cu$_2^{16}$O.$^{29}$ This result indicates that the bands of CuO$_x$ species showed significant red shifts when $^{16}$O was replaced with $^{18}$O, which can be an important indicator when exploring the oxygen source in the CuO$_x$ species. For $^{18}$O-enriched Cu-nr-OR, Cu$_2^{18}$O was also formed at $-0.3$ V vs. RHE, and the band remained at 524 cm$^{-1}$, displaying a negligible shift compared with that of Cu-nr-OR. The results suggest that the CuO$_x$ species were not from the original Cu$_2^{18}$O. Thus, it can be deduced that Cu$_2^{16}$O was produced during the CO$_2$RR. Because both H$_2$O and CO$_2$ can react with reduced Cu to form CuO$_x$ species, the oxygen source of the CuO$_x$ species should be further studied. Furthermore, Cu-nr-OR was tested in H$_2$O$_{18}$ during the CO$_2$RR; we observed that the band of Cu$_2^{18}$O was still at 524 cm$^{-1}$ (Fig. S23†), which indicated that Cu$_2^{18}$O did not arise from a reaction between Cu and H$_2^{18}$O. Thus, the last possible reason is reasonable, i.e., CuO$_x$ arose from a reaction between Cu and CO$_2$. To further verify this argument, electrolysis experiments using Cu-nr-OR were carried out under a N$_2$ atmosphere; no bands were observed at a negative potential (Fig. S24†), indicating that CO$_2$ played a key role in the formation of CuO$_x$ species. In addition, the Cu$_2$O$_x$ band cannot be observed at $-0.2$ V vs. RHE, and it appeared at $-0.3$ V vs. RHE or below. Thus, we can assume that this peak cannot be attributed to the simple adsorption of CO$_2$ on Cu. CO$_2$ can be reduced to preliminary intermediates at low potentials; thus, we can assume that the CuO$_x$ species were from the adsorption of preliminary intermediates (such as *CO$_2$ and *OCO) on Cu, which has been observed on Ag metal.$^{43}$

From the above results, we can deduce that Cu$_2^{16}$O was formed during the CO$_2$RR just a signal of the chemisorption of CO$_2$ on Cu, which was not the main factor for facilitating C$_2$+ product formation during the CO$_2$RR. And the CuO$_x$ species were not specific to the Cu-oxide derived catalysts.

At $-0.4$ V vs. RHE or below, for Cu-np, Cu-nr, and Cu-nr-OR, the presence of adsorbed *CO on Cu was demonstrated by the appearance of Raman peaks located at 276, 360, and 2000–2100 cm$^{-1}$, which correspond to the restricted rotation of adsorbed *CO on Cu, Cu–CO stretching, and C≡O stretching, respectively.$^{44}$ We can observe that these peaks were significantly weaker for Cu-np than for Cu-nr and Cu-nr-OR, which can result from the weak adsorption of CO or rapid reactions on Cu-np. From the results of the CO$_2$RR (Fig. 2A and C), we know that the FE for CO over Cu-np was higher than those over Cu-nr and Cu-nr-OR, and the FE for C$_2$+ products over Cu-np was lower than those over Cu-nr and Cu-nr-OR; thus, we can assume that the weak peaks on Cu-np could be attributed to the weak adsorption of CO on Cu-np. It is interesting to note that the C≡O stretching band of Cu-nr-OR is different from that of Cu-nr (Fig. 3F). A new peak appeared at about 2000 cm$^{-1}$ in the case of Cu-nr-OR compared with Cu-nr. Specifically, the stretching mode of surface-adsorbed CO can serve as a molecular probe of the surface structure due to its sensitivity to the structures of adsorption sites.$^{45,46}$ It is reasonable to analyze the active sites using surface-adsorbed CO at $-0.4$ V vs. RHE, because the FE for C$_2$+ products is low (Fig. S25†), indicating that the C–C coupling step is slow at this potential. Thus, we can assume that new adsorption sites for CO were produced on Cu-nr-OR. Also, the new sites exhibited slightly weaker adsorption for CO than Cu-nr, due to the band having a lower wavenumber than that of Cu-nr. Therefore, Cu-nr-OR exhibited heterogeneous CO adsorption sites, which included stronger binding sites and weaker binding sites. According to previous reports,$^{47,48}$ the energy barrier of C–C coupling can be decreased on stronger and weaker CO binding sites. Thus, we can suppose that the enhanced generation of C$_2$+ products over Cu-nr-OR originated mainly from the formation of heterogeneous CO adsorption sites. Combining the HR-TEM results, we can assume that the heterogeneous CO adsorption sites could be attributed to the abundant interfaces of Cu-nr-OR.

After the potential was removed, we could observe that Cu$_2$O was formed rapidly (Fig. S26†), indicating that the reduced Cu can be oxidized in electrolyte. Cu$_2$O can be formed via the oxidation of Cu by O$_2$ in the electrolyte.$^{29}$ However, the O$_2$ content is very low in the cathodic electrolyte. It is interesting to note that Cu$_2^{18}$O was formed when using H$_2$O$_{18}$ as the electrolyte after the potential was removed (Fig. S27†). Thus, we can assume that Cu$_2^{18}$O arose from the reaction between Cu and H$_2$O$_{18}$. It is known that the oxidation of Cu by water is a thermodynamically unfavorable process. Thus, only a small proportion of Cu can be oxidized. The results indicate that the in situ method has obvious advantages and is necessary when exploring the real state of a catalyst during a reaction.

Because the coordination environment of Cu can alter the adsorption of CO and the energy barrier of the C–C coupling step, we used operando XAS to monitor the local structures of Cu-np, Cu-nr, and Cu-nr-OR during the CO$_2$RR (Fig. S28†). For...
both catalysts, only peaks corresponding to metallic Cu were observed at negative potentials during the CO$_2$RR (Fig. 4A, B and S29–S31†), indicating that CuO or Cu$_2$O was reduced to metallic Cu in the CO$_2$RR. Moreover, the quantified Cu–Cu coordination numbers of Cu-nr-OR, Cu-nr, and Cu-np were fit using the ARTEMIS programs of IFEFFIT during the CO$_2$RR (Fig. S32–S34 and Table S3†). No obvious differences in the Cu–Cu coordination numbers were observed for Cu-nr-OR, Cu-nr, and Cu-np during the CO$_2$RR, indicating that the enhanced C2+ product formation over Cu-nr-OR did not mainly arise from the slight change in the coordination environment.

From the above results, we can make the conclusion that abundant interfaces can be produced on Cu-nr-OR, and stronger and weaker binding sites for CO appeared at the abundant interfaces, which can decrease the energy barrier of C–C coupling (Fig. 4C). Also, the coordination environment of Cu-nr-OR does not show obvious changes compared with Cu-nr. Thus, the increased interfaces can be considered as the main factor for the enhanced generation of C2+ products over Cu-nr-OR.

Conclusions

In summary, the surface species and structures of OD-Cu catalysts were systematically investigated via in situ SERS, operando XAS, and $^{18}$O isotope labeling experiments. It was shown that Cu oxides indeed existed on the surfaces of the catalysts during the CO$_2$RR. However, they were formed via the adsorption of preliminary intermediates (such as *CO$_2$* and *OCO*) on Cu instead of on the active sites of the catalyst. Abundant interfaces can be produced on Cu-nr-OR, which can provide heterogeneous CO adsorption sites, enhancing the C2+ product selectivity. In addition, this work also shows that in situ techniques have obvious advantages and are sometimes necessary for exploring the structures of catalysts and the surface species during the CO$_2$RR. We believe that the findings of this work provide useful knowledge for designing other efficient electrocatalysts for CO$_2$ reduction.

Author contributions

Chunjun Chen and Buxing Han proposed the project, designed the experiments and wrote the manuscript; Chunjun Chen performed the whole experiments; Xupeng Yan, Yahui Wu, Huizhen Liu, Xiaofu Sun, Qinggong Zhu, Tianbin Wu and Qinglei Qian assisted in analyzing the experimental data; Fengrong Jua assisted in analyzing the experimental data of in situ-SERS; Shoujie Liu, Jing Zhang and Lirong Zheng assisted in analyzing the experimental data of XAS; Buxing Han supervised the whole project.

Conflicts of interest

There are no conflicts to declare.

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