The GALAH survey: tracing the Galactic disk with Open Clusters

Lorenzo Spina,1,2,3* Yuan-Sen Ting,4,5,6,7 Gayandhi M. De Silva,8,9 Neige Frankel,10 Sanjib Sharma,2,11 Tristan Cantat-Gaudin,12 Meridith Joyce,2,7 Dennis Stello,2,13 Amanda I. Karakas,1,2 Martin B. Asplund,14 Thomas Nordlander,2,7 Luca Casagrande,2,7 Valentina D’Orazi,1,3 Andrew R. Casey,1,2 Peter Cottrell,15 Thor Tepper-García,2,11,16 Martina Baratella,3 Janez Kos,17 Klemen, Čotar,17 Joss Bland-Hawthorn,2,11 Sven Buder,2,7 Ken C. Freeman,2,7 Michael R. Hayden,2,11 Geraint F. Lewis,2,11 Jane Lin,2,7 Karin Lind,18 Sarah L. Martell,2,13 Katharine J. Schlesinger,2,7 Jeffrey D. Simpson,2,13 Daniel B. Zucker,8,19 and Tomaž Zwitter17

1School of Physics and Astronomy, Monash University, VIC 3800, Australia
2ARC Centre of Excellence for All Sky Astrophysics in Three Dimensions (ASTRO-3D)
3Istituto Nazionale di Astrofisica, Osservatorio Astronomico di Padova, vicolo dell’Osservatorio 5, 35122, Padova, Italy
4Institute for Advanced Study, Princeton, NJ 08540, USA
5Department of Astrophysical Sciences, Princeton University, Princeton, NJ 08544, USA
6Observatories of the Carnegie Institution of Washington, 813 Santa Barbara Street, Pasadena, CA 91101, USA
7Research School of Astronomy & Astrophysics, Australian National University, ACT 2611, Australia
8Australasian Astronomical Optics, Faculty of Science and Engineering, Macquarie University, Macquarie Park, NSW 2113, Australia
9Macquarie University Research Centre for Astronomy, Astrophysics & Astrophotonics, Sydney, NSW 2109, Australia
10Max Planck Institute for Astronomy, Königstuhl 17, D-69117 Heidelberg, Germany
11Sydney Institute for Astronomy, School of Physics, A28, The University of Sydney, NSW 2006, Australia
12Institut de Ciencies del Cosmos, Universitat de Barcelona (IEEC-UB), Martí i Franquès 1, E-08028 Barcelona, Spain
13School of Physics, UNSW, Sydney, NSW 2052, Australia
14Max Planck Institute for Astrophysics, Karl-Schwarzschild-Str. 1, D- 85741 Garching, Germany
15School of Physical and Chemical Sciences, University of Canterbury, New Zealand
16Centre for Integrated Sustainability Analysis, The University of Sydney
17Faculty of Mathematics and Physics, University of Ljubljana, Jadranska 19, 1000 Ljubljana, Slovenia
18Department of Astronomy, Stockholm University, AlbaNova University Centre, SE-106 91 Stockholm, Sweden
19Department of Physics and Astronomy, Macquarie University, Sydney, NSW 2109, Australia

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ABSTRACT

Open clusters are unique tracers of the history of our own Galaxy’s disk. According to our membership analysis based on Gaia astrometry, out of the 226 potential clusters falling in the footprint of GALAH or APOGEE, we find that 205 have secure members that were observed by at least one of the survey. Furthermore, members of 134 clusters have high-quality spectroscopic data that we use to determine their chemical composition. We leverage this information to study the chemical distribution throughout the Galactic disk of 21 elements, from C to Eu, and including Fe. The radial metallicty gradient obtained from our analysis is −0.076±0.009 dex kpc−1, which is in agreement with previous works based on smaller samples. Furthermore, the gradient in the [Fe/H] - guiding radius (rguid) plane is −0.073±0.008 dex kpc−1. We also reveal trends in the [X/Fe] - age space, which are important to understand production rates of different elements as a function of space and time. Finally, we observe subtle - but significant - differences on how field stars and open clusters trace the distribution of chemical elements throughout the Galactic disk. As it is discussed in the paper, these differences are probably due to the physical selection effect imposed by our Galaxy, which preserves the clusters living far from its gravitational potential perturbations (e.g., spirals, bars, molecular clouds), while it destroys all the others.

Key words: stars: abundances, kinematics and dynamics – Galaxy: abundances, evolution, disc, open clusters and associations

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E-mail: spina.astro@gmail.com
1 INTRODUCTION

How is the Milky Way disk structured? How does it evolve with time? Where are stars primarily born in our Galaxy? After their formation, how do stars migrate within the Milky Way? Is it possible to trace the stars back to the location where they have formed? How are chemical elements synthesised in stars? Open stellar clusters are unique tools to answer these questions (e.g., see Krumholz et al. 2019 and references therein).

An open cluster is a group of stars that formed together, at the same time, from the same material, and therefore have similar ages, distances from the Sun, kinematics and chemical compositions. As simple stellar populations, their members can be identified via kinematic, photometric, and spatial criteria (e.g., Dias & Lépine 2005; Kharchenko et al. 2013; Cantat-Gaudin et al. 2018b; Liu et al. 2019; Castro-Ginard et al. 2019, 2020). Furthermore, its age, mass and distance can be estimated in a relatively simple way through photometry (e.g., Cantat-Gaudin et al. 2018a, 2020; Monteiro & Dias 2019; Bossini et al. 2019). Open clusters are spread throughout the entire Milky Way disk and they span large ranges in ages (from <100 Myr to up to ∼8 Gyr). These are the unique properties that make open clusters ideal tracers of Galactic evolution in both space and time.

Among the several features, the distribution of chemical elements across the Galactic disk historically constitutes the most important constraint to chemo-dynamical models of our Milky Way. A number of studies (e.g., Tosi 1988; Hayden et al. 2014, 2015; Anders et al. 2017) have shown the spatial distributions of chemical abundances and their ratios across the Galactic disk. However, these studies are mainly based on field stars, which also include very old populations that had time to migrate significantly and redistribute the chemical elements across the Galaxy (e.g., Sellwood & Binney 2002; Roškar et al. 2012; Martínez-Medina et al. 2016). Open clusters are a valuable alternative, being on average younger (Magrini et al. 2017), and therefore a better tracer of the gradients in the disk out of which the most recent stars formed. Since the work of Janes (1979), much observational evidence has established that the metallicity distribution (often abbreviated by the iron-to-hydrogen ratio [Fe/H]) traced by clusters throughout the Milky Way disk shows a significant decrease with increasing distance from the Galactic centre. This “radial metallicity gradient” - in its apparent simplicity - reflects a complex interplay between several processes that are driving the evolution of our Galaxy, including star formation, stellar evolution, stellar migration, gas flows, and cluster disruption (Cunha & Lambert 1992, 1994; Friel 1995; Stahler & Palla 2004; Carraro et al. 2006; Boesgaard et al. 2009; Magrini et al. 2009; Frinchaboy et al. 2013; Netopil et al. 2016; Anders et al. 2017; Spina et al. 2017; Bertelli Motta et al. 2018; Quillen et al. 2018). Complementary to the study of the overall metallicity distribution, the abundance ratios of several other elements, such as α-elements, iron-peak, odd-Z, and neutron-capture, can provide deep insights on the variety of nucleosynthesis processes, with their production sites and timescales (e.g., Carrera & Pancino 2011; Ting et al. 2012; Reddy et al. 2016; Duffau et al. 2017; Magrini et al. 2017, 2018; Donor et al. 2020; Casamiquela et al. 2020). Therefore, understanding the distribution of metals traced by clusters across the Galactic disk is fundamental for explaining the birth, life and death of both stars and clusters, the recent evolution of our own Milky Way, and the evolution of other spiral galaxies (Boissier & Prantzos 2000; Bresolin 2019).

In this paper we leverage the datasets acquired by three Galactic surveys that are rapidly improving our knowledge of the Milky Way: APOGEE, Gaia, and GALAH (De Silva et al. 2015; Gaia Collaboration et al. 2016; Majewski et al. 2017). Astrometric solutions from Gaia are used to identify the cluster stellar members observed by these surveys. Then, spectroscopic abundance determinations from APOGEE and GALAH for 134 open clusters have been used to trace the chemical distribution of elements throughout the Galactic disk. From this information we obtain critical insights into Galactic chemical evolution and on how our Galaxy shapes the cluster’s demographic properties across the disk.

The manuscript is organised as follows: in Section 2 we describe the dataset used in our analysis; the cluster membership analysis is detailed Section 3; kinematic and chemical properties of open clusters are discussed in Sections 4; in Sections 5, 6, and 7 we describe the chemical distribution of elements traced by the open cluster population and we discuss relevant implications; finally general conclusions are included in Section 8.

2 DATASETS

In this study we make use of three datasets: Gaia DR2, APOGEE DR16, and GALAH+ (Gaia Collaboration et al. 2018; Ahumada et al. 2020; Wittenmyer et al. 2018, 2019; Sharma et al. 2018, 2019; Buder et al. in prep).

Stellar coordinates and astrometric solutions from Gaia are used for cluster membership analysis, which is described in Section 3. Radial velocities provided by either Gaia, APOGEE or GALAH are used in Section 3.5 to validate the membership analysis and in Section 4.1 to determine the mean radial velocity of each cluster and its kinematic properties. Finally, chemical abundances from the two spectroscopic surveys are used to derive the chemical composition of open clusters in Section 4.2.

2.1 Stellar coordinates and astrometric solutions

The ESA Gaia space mission (Gaia Collaboration et al. 2016) is providing an unprecedented three-dimensional map of our Galaxy in terms of number of observed targets, dimensionality, and astrometric precision and accuracy. In particular, the most recent Data Release, Gaia DR2 (Gaia Collaboration et al. 2018), contains more than 1.6 billion sources as faint as G ~21 mag. It provides coordinates (α and δ), parallaxes (π), proper motions (μα, μδ), magnitudes in three photometric bands (G, Bp, and Rp), and relative uncertainties, for more than 1.3 billion sources. This extraordinary dataset has become an invaluable resource in identifying Galactic open clusters and their stellar members (e.g., Cantat-Gaudin et al. 2018b; Liu et al. 2019; Castro-Ginard et al. 2019, 2020).

2.2 Radial velocities

The GALAH+ and APOGEE DR16 datasets list stellar parameters (effective temperature Teff, surface gravity log g, iron abundance [Fe/H], and microturbulence vmic) and/or radial velocity determinations for 600,258 and 473,307 stars, respectively. Additionally, Gaia DR2 contains median radial velocities for 7,224,631 stars, with Teff in the range 3550-6900 K. Radial velocity (RV) estimations from GALAH are used only for stars with |flag_sp| = 0, Teff ≤8000 K and ΔRV<3 km/s. Similarly, RV from APOGEE are used only for stars that have STARFLAG ≠ [BAD_PIXELS, SUSPECT_RV_COMBINATION, BAD_RV_COMBINATION, ASPCAPFLAG ≠ [ROTATION_BAD], Teff ≤8000 K and ΔRV<3 km/s. When a RV estimation is not provided neither by GALAH nor APOGEE, but is included in the Gaia DR2 sample, then this latter is used. No corrections are applied...
to the RV values, because no significant offsets are found between the three datasets.

2.3 Chemical abundances

The elements that we consider in this study are O, Na, Mg, Al, Si, Ca, Ti, V, Cr, Mn, Fe, Co, Ni, Cu, Zn, Y, Zr, Ba, La, Nd, and Eu. Among these, abundances of Zn, Y, Zr, Ba, La, Nd, and Eu are detected only by the GALAH survey, while all the other elements have been detected by both spectroscopic surveys.

The homogenising chemical abundances from two different datasets is typically a non-linear problem. In fact, abundance corrections for a given element may be a complex function of stellar parameters. This is especially true for the homogenisation of GALAH and APOGEE, as the surveys utilise very different spectral ranges and line lists in their chemical analysis. As an example, Fig. 1 shows blue dots the differential Fe abundances for a sample of stars observed by both GALAH and APOGEE as a function of the GALAH’s stellar parameters.

The chemical abundances from the GALAH and APOGEE datasets are homogenised with a XGBoost Regressor. With this algorithm we train a separate model for the homogenisation of each element. A single model uses the stellar parameters $T_{\text{eff}}$, log $g$ and [Fe/H] from GALAH as input features to predict the correction that needs to be applied to the chemical abundance of a specific element in order to homogenise the two datasets. More specifically, the target feature of a model is set to be the difference between chemical abundances of a specific element given by GALAH and APOGEE (e.g., $[X/H]_{\text{GALAH}}-[X/H]_{\text{APOGEE}}$). Models are trained over samples of stars that have been observed by both the spectroscopic surveys and that satisfy the following criteria: $4000 \leq T_{\text{eff}} \leq 7000$ K, log $g \geq 0$ dex, $-1 \leq [\text{Fe/H}] \leq 0.5$ dex, $v_{\text{mic}} \leq 2.5$ km/s, $\Delta T_{\text{eff}} \leq 150$ K, $\Delta \log g \leq 0.3$ dex, and $\Delta [\text{Fe/H}] \leq 0.1$ dex. Furthermore, to be part of the training sample for the homogenisation of a specific element, stars in the GALAH dataset must have $v_{\text{broad}} \leq 60$ km/s, $f_{\text{flag}_{\text{sp}}}=0$, and the element flag $f_{\text{flag}_{\text{elements, fe}}}=0$. Similarly, the same star in the APOGEE dataset must have $v_{\text{SCATTER}} < 1$ km/s, $f_{\text{STARFLAG}} \neq \text{[BAD PIXELS, VERY BRIGHT NEIGHBOR, LOW S/N, ASCAPعشة أنماط, [STAR BAD, TEFF BAD, LOGG BAD, METALS BAD, ALPHAFE BAD, VMICRO BAD, CH12 BAD, ROTATION BAD], and the element flag \text{ELEVENT FE_FLAG} = 0}$. Finally, the $T_{\text{eff}}$ values determined by the two surveys have to be similar within 500 K, while the log $g$ values within 0.05 dex. The sizes of these samples selected as above range from 2687 stars for V to 6569 stars for Fe. The 75% of these stars are used for the training samples, while the remaining 25% are part of the test samples.

A grid search is used to find the best parameters for the algorithm used to homogenise the Fe element. The best set of parameters is evaluated against the mean absolute error (MAE) and with a 5-fold cross-validation. The result of the homogenisation of the Fe abundances are shown in Fig. 1 with orange dots. The MAE values resulting from the models and calculated over the test samples range from 0.047 dex for Fe to 0.26 dex for V, with a median value of 0.078 dex.

When applying a model to homogenise the abundances from one dataset to the other, it is important to verify that the training sample covers the same extent in the space of atmospheric parameters of the stars whose abundance we are going to correct. Since the stars in the GALAH sample generally cover a larger range in $T_{\text{eff}}$ than the APOGEE sample, we apply the correction predicted by the models to the APOGEE abundance. The only exception is Fe, for which the APOGEE sample covers a larger extent in parameter space than GALAH.

Finally, the result of the entire homogenisation procedure is verified against cluster members: from a visual inspection of the chemical distribution of members of the same clusters observed by GALAH and APOGEE, we exclude the presence of systematic shifts in the homogenised abundances or trends with atmospheric parameters (e.g., Fig. 2). This also implies that our following results are not affected by strong systematic effects in abundances between clusters due, for example, to different types of stars that may be preferentially analysed in different clusters (e.g., differential 3D and NLTE effects or different lines in giants and dwarfs).

3 MEMBERSHIP ANALYSIS

The large astrometric and photometric survey performed by the Gaia mission permits systematic and homogeneous studies of open clus-
ters residing in our Galaxy. In this context, Cantat-Gaudin et al. (2020) (hereafter CG20) published a catalog of more than 2000 Galactic open clusters, which also includes estimations of their fundamental properties, such as parallaxes ($\pi$), proper motions ($\mu_{\alpha}$, $\mu_{\delta}$), ages, and the radius containing half the members ($r_{50}$), from which one can calculate $\sigma_{\text{dist}}$ defined as $r_{50}/0.6745$. Along with this catalog, they also published a list of cluster members identified in the 3-dimensional astrometric space ($\pi$, $\mu_{\alpha}$, $\mu_{\delta}$) through k-means clustering and with membership probability $P_{\text{cl}} \geq 0.7$, or with $P=1$ for clusters named UBC newly discovered by (Castro-Ginard et al. 2019, 2020). Although this catalog is an invaluable resource for the study of open cluster demographics, a number of true cluster members may be missing either because previous membership analyses only considered stars with G<18 mag and within a restricted field of view or because membership probability was estimated to be lower than the applied threshold (i.e., 1 for all the UBC clusters and 0.7 for all the others).

Therefore, the first step of our study focuses on improving - where possible - the existing catalog of cluster members for the stellar associations that have been observed by APOGEE or GALAH. Our new membership analysis uses a Support Vector Machine classifier trained in the 5-dimensional space ($\alpha$, $\delta$, $\pi$, $\mu_{\alpha}$, $\mu_{\delta}$) over samples of stars including the cluster members listed in CG20 and fiducial non-members. Then, the results of this analysis are validated using RV values. The membership analysis is performed only for the 226 clusters with at least one GALAH or APOGEE target located within $3\sigma_{\text{dist}}$ from its centre. Likewise, in this work we focus only on associations older than 10 Myr, whose stellar populations are less dispersed in the 5-dimensional space of parameters and whose chemical abundances are more reliably determined than for younger associations. CG20 could not estimate an age for the very reddened clusters Berkeley 43, NGC 7419, and Teutsch 7. For these three clusters, we used the values estimated by Kharchenko et al. (2009).

Below we detail the steps of the membership analysis.

### 3.1 Selection of analysis sample

The stars used for the membership analysis are selected according to the following criteria:

- Stars must be within 3$\sigma_{\text{dist}}$ from the cluster centre.
- Stars must have parallaxes $\pi$ within $\pi_{\text{cl}} \pm 5\times$max($\sigma_{\pi_{\text{cl}}}$, 1)$\times$ $\sigma_{\text{dist}}$, where $\pi_{\text{cl}}$ is the cluster parallax from CG20 and $\sigma_{\text{cl}}$ the relative standard deviation. In addition, for clusters with $\pi_{\text{cl}} > 2$ mas, we also rejected stars with $\pi < 1$ mas. Similarly, for clusters with $\sigma_{\text{cl}} > 10$ mas, we also rejected stars with $\pi < 2$ mas. Finally we rejected all stars with parallax error $\Delta \pi > 1$ mas.
- Stars must have proper motions $\mu_{\alpha}$ and $\mu_{\delta}$ that are within $\mu_{\alpha,\text{cl}} \pm 5\times$ $\sigma_{\mu_{\alpha,\text{cl}}}$ and $\mu_{\delta,\text{cl}} \pm 5\times$ $\sigma_{\mu_{\delta,\text{cl}}}$, respectively. In addition, stars must have errors in proper motions ($\Delta \mu_{\alpha}$, $\Delta \mu_{\delta}$) smaller than 2 mas yr$^{-1}$.

### 3.2 Training and test samples

The training and test samples are selected from the sample described above. They must be composed exclusively of “fiducial” members and non-members of the clusters, which are stars with either very high or very low probability of being cluster members, based on our previous knowledge of the cluster stellar population. Namely, all the stars labelled as cluster members in CG20 are considered as fiducial members and are part of the training/test samples.

The fiducial non-members, however, are defined as stars with a membership probability $<0.02$. This probability is calculated from the 5-dimensional multivariate normal distribution defined by the cluster mean parameters ($\alpha$, $\delta$, $\pi$, $\mu_{\alpha}$, $\mu_{\delta}$) and the associated standard deviations from CG20. Generally, 10% of fiducial non-members are selected to be part of the training/test samples. However, in order to maintain a fair balance between the number of members and non-members, the number of non-members is forced to be between 0.2 and 2 times the number of members. Since the number of stars increases proportional to $\pi^{-3}$, the selection of non-members is performed randomly within 5 different parallax bins equally spaced, in order to uniformly sample different distances from the Sun.

Finally the 75% of fiducial members and non-members is assigned to the training sample, while the remaining 25% forms the test sample.

### 3.3 Model fitting

A Support Vector Classifier from the scikit-learn Python library is trained using the sample described above. The five input features of the algorithm are $\alpha$, $\delta$, $\pi$, $\mu_{\alpha}$, $\mu_{\delta}$, while the target parameter is whether the star is a cluster member or not. For the analysis, we adopt a Radial Basis Function kernel. The Support Vector Classifier hyper-parameters C and $\gamma$ are chosen through a grid search with a 5-fold cross-validation that minimises the accuracy score.

The test sample is used to check the performance of the fitted model. The accuracy obtained from the test sample range from 0.8 to 1 for all the clusters, with a median value of 0.94.

### 3.4 Membership probability

The trained Support Vector Classifier model is applied to fit the entire sample selected as described in Section 3.1. Furthermore, we
allow the algorithm to compute the cluster membership probability estimates. The uncertainties in the 5 features $\alpha$, $\delta$, $\varpi$, $\mu_\alpha$, $\mu_\delta$ and their correlations are taken into account by computing the membership probabilities 1000 times for each star. In each iteration the probability is calculated from a set of parameters randomly drawn from the 5-dimension multivariate normal distribution build using the astrometric solution, errors and correlation coefficients from Gaia DR2. The final membership probability is the median of the 1000 probabilities.

The membership analysis is performed for the 226 open clusters older than 10 Myr and that potentially fall in the footprint of APOGEE or GALAH (as detailed in the second paragraph of this Section). The results of the membership analysis for these clusters are reported in Table 1, which lists for every star with $P>0$ the Gaia source id, the probabilities resulting from our analysis and those from CG20. Finally, when available, the Table also lists RV values, the identification numbers from APOGEE and GALAH (i.e., star_id and APOGEE_id), atmospheric parameters, and homogenised abundances.

3.5 Validation
A first validation of the membership analysis consists of a visual inspection of the distribution traced in the colour-magnitude diagrams (CMD) by stars that have been labelled as cluster members (i.e., those with membership probability $P>0.5$). An example of these diagrams is shown in Fig. 3. The cluster members with the highest probability are nicely aligned along the main sequence. The stars laying slightly above the main sequence are likely binary systems. A few stars are also located below the main sequence and are likely contaminants that are erroneously classified as members. Most of the members previously identified by CG20 are labelled as cluster members also by our analysis. However, there is no strict mutual correspondence between the classifications resulting from the two membership analyses.

Furthermore, we quantitatively assess the quality of our membership analysis by studying the RV distribution of cluster members. To do so, we use the RV values from APOGEE, GALAH, and Gaia, described in Section 2.2. For each cluster we calculate two standard errors of the RV distributions traced by i) the members selected through our analysis (s.e.$\,_{TW}$) and ii) the members from CG20 (s.e.$\,_{CG20}$). We remind the reader that the standard error $s.e. \equiv \sigma/\sqrt{N}$, where $\sigma$ is the standard deviation and $N$ is the size of the population. Therefore, while the standard deviation measures the spread of a population, the standard error indicates how accurately the population mean represents sample data and for this reason decreases with an increasing population size. The two membership analyses are then compared by dividing the standard error obtained from our membership to the one derived from the members listed in CG20: $\text{r}=s.e.\,_{TW}/s.e.\,_{CG20}$. Here we consider only the 65 clusters older than 100 Myr and with at least three members from both our membership analysis and CG20. The mean value of the $r$ ratios obtained for these clusters is equal to 0.99, which indicates that the two membership analyses have a nearly identical accuracy. However, our membership list provides an increase of the number of members with known RV by 6% relative to CG20.

Figure 3. CMD of NGC 2516. Cluster members identified through our analysis are represented as circles colour-coded as a function of their membership probability. The smaller black dots represent the members listed in CG20.

4 KINEMATIC AND CHEMICAL PROPERTIES OF OPEN CLUSTERS
Among the 226 clusters studied in Section 3, 205 have candidate members that are observed by at least one of the two spectroscopic surveys and that have RV estimations. Hereafter, we consider the set of candidate members to encompass all the stars that have been labelled as members either through our membership analysis (i.e., $P>0.5$) or from the analysis performed by CG20. One of the key goals of our work is to use this information to determine the clusters’ kinematic and chemical properties.

To do so, we calculate the coordinates ($\alpha\,_{cl}$, $\delta\,_{cl}$), parallax ($\sigma\,_{cl}$), proper motions ($\mu_\alpha\,_{cl}$, $\mu_\delta\,_{cl}$), and distance from the Sun ($d\,_{cl}$) and their uncertainties for each cluster. These are the error-weighted mean and standard deviation values obtained over the sample of candidate members. Note that physical distances from the Sun for every single member are obtained from Gaia parallaxes and their uncertainties processed by abj20162, a Python module which implements the formalism from Astraatmadja & Bailer-Jones (2016). Also note that the estimation of cluster distances and the relative uncertainties assume the distance distributions of cluster members to be normal3. The RVs from APOGEE, Gaia and GALAH are then used to identify potential contaminants among the candidate members. Namely, for each cluster, we perform a 2-iteration 3-sigma clipping, using the RV median of the distribution as the centre value for the clipping. Stars that are rejected by the clipping are considered as contaminants and then removed from further analysis. All the other stars are considered as cluster members and are used to determine the median RVs of cluster populations and their standard deviations.

4.1 Kinematic properties
The other kinematic properties of the open clusters are determined following the same procedure applied to GALAH stars, which is de-

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1 Clusters older than 100 Myr are unlikely part of wide stellar associations that may have complex RV distributions.

2 Code available at https://github.com/fjaellet/abj2016.

3 We stress however, that given the excellent parallax quality and that the vast majority of our stars are closer than 5 kpc, this assumption only affects a very small number of clusters.
scribed in Buder et al. (in prep). Namely, using GALPY\(^4\) (Bovy 2015) we transform the clusters’ parameters obtained in Section 4 (i.e., \(\alpha_{\text{cl}}, \delta_{\text{cl}}, v_{\text{cl}}, \mu_{\alpha,\text{cl}}, \mu_{\delta,\text{cl}}\)) into Galactocentric coordinates and velocities, both cartesian (X, Y, Z, U, V, and W) and cylindrical (\(R_{\text{Gal}}, \phi, z, v_R, v_\phi, v_z\)). We also compute actions \(J_R, J_L, J_Z\), guiding radii \(r_{\text{guid}}\), eccentricities \(e\), and orbit boundary information (\(z_{\text{max}}, R_{\text{peri}}, R_{\text{apo}}\)) in the Galactic potential \(\Phi_{\text{potential2014}}\) described in Bovy (2015) and a Staeckel fudge with 0.45 as focal length of the confocal coordinate system.

The statistical uncertainties of all these properties are obtained from a Monte Carlo simulation with a 10,000 sampling size. The final errors are equal to the standard deviations of the resulting distributions.

For the calculation, we adopt the distance of the Sun from the Galactic centre \(R_0 = 8.178\) kpc (Gravity Collaboration et al. 2018), its height above the Galactic plane \(z_\odot = 0.025\) kpc (Bennett & Bovy 2019), the Galactocentric velocity of the Sun \((v_{\odot,x}, v_{\odot,y}, v_{\odot,z}) = (11.1, 12.24, 7.25)\) km s\(^{-1}\) (Schönrich et al. 2010), and a circular velocity of 229.0 km s\(^{-1}\) (Eilers et al. 2019).

All the values calculated here, including cluster coordinates, parallaxes physical distances, and proper motions are listed in Table 2.

### 4.2 Chemical properties

Among the 205 clusters with members observed by either one of the two surveys, 134 have stars with abundance determinations and that satisfy our selection criteria listed in Section 2.3. The homogenised abundances described in Section 2 are used to calculate the chemical content of these open clusters.

Chemical abundances from spectroscopic surveys are obtained through automatic pipelines built to analyse, in a reasonable amount of time, thousands of spectra with very different signal-to-noise ratios and from stars having a broad range of atmospheric parameters, rotational velocities, and compositions. Therefore, due to the constantly accelerating acquisition of astronomical data by Galactic surveys, these pipelines often prioritise large statistics and rapid output over accuracy. Hence, in order to control the quality of the dataset used for our chemical analysis, the clusters’ iron abundances \([\text{Fe/H}]\) are derived from stellar members with \(\Delta T_{\text{eff}} < 150\) K and spectra of \(\text{SNR} \geq 100\) (APOGEE) and \(\text{snr}_{\text{c2},\_imaf} \geq 50\) (GALAH). In addition, we apply a 3-sigma clipping before calculating the median \([\text{Fe/H}]\) and standard deviation of each cluster population.

Inaccurate abundance determinations especially affect elements other than iron, whose abundances are typically derived from a very limited number of absorption features. Furthermore, these inaccuracies often affect cool or hot stars, whose spectra are characterised by either blended or weak lines. For this reason, in order to calculate the median \([\text{X/Fe}]\) of each open clusters, we only consider stellar members with \(T_{\text{eff}}\) between 4500 and 6500 K. In addition, we reject from the analysis all the stellar abundance ratios \([\text{X/Fe}]\) that are \(< -0.5\) or \(> 1\).

The chemical content of each open cluster is obtained from the median abundances of their members and the relative standard deviation. When a cluster has only one star with abundance determination, the standard deviation is replaced by the abundance uncertainty of that single star. The final chemical abundances \([\text{Fe/H}]\) and \([\text{X/Fe}]\) obtained for the 134 open clusters are listed in Table 3, together with the number of stars that are used for the analysis of each element.

Previous studies have used APOGEE and GALAH datasets to determine the chemical content of open clusters. For instance, Donor et al. (2020) have determined the chemical composition of 126 open clusters exclusively from APOGEE data. Strikingly, only 76 of these are in common with our sample of 134 open clusters. This is because 31 clusters considered by Donor et al. (2020) are not actually included in the CG20 catalog. Furthermore, the chemical content of other six clusters were based on stars that are not members of any association accordingly to both our membership analysis and the list of clusters’ members in CG20. Finally, 13 clusters included in Donor et al. (2020) are excluded by our analysis either because their stellar members do not satisfy the selection criteria listed in Section 2.3 or because they are younger than 10 Myr. Another similar study by Carrera et al. (2019) has determined the chemical composition of 90 open clusters using the APOGEE dataset. Among these, 69 are in common with our sample. The chemical content of the remaining 21 was based on stars that either are not considered part of any association accordingly to our membership analysis or CG20 (i.e., 5 open clusters) or are excluded from our analysis because they do not satisfy our selection criteria (i.e., 16 clusters). Carrera et al. (2019) also used the GALAH DR2 database (Buder et al. 2018) to determine the chemical composition of another 14 open clusters. All of those are included in our sample.

5 THE RADIAL METALLICITY PROFILE TRACED BY OPEN CLUSTERS

In this Section we use the properties derived above to study the spatial distribution of metals across the Galactic disk traced by open clusters. Typically, the spatial distribution of metals is studied against the \([\text{Fe/H}] - R_{\text{Gal}}\) diagram, which is described in Section 5.1. However, in Section 5.2, we also discuss the distribution of metals as a function of the clusters’ guiding radius \(r_{\text{guid}}\), which is defined as \(r_{\text{guid}} = L_z/L_{\text{circ}}(r_{\text{guid}})\), where \(L_z\) is the angular momentum of the cluster and \(L_{\text{circ}}(r_{\text{guid}})\) its circular velocity obtained from the Galactic potential. Since \(r_{\text{guid}}\) linearly scales with \(L_z\) and it provides additional important information that would otherwise be missing.

5.1 The \([\text{Fe/H}] - R_{\text{Gal}}\) diagram

In Fig. 4-top we plot the \([\text{Fe/H}]\) abundance of 134 open clusters as a function of their distance from the Galactic centre \(R_{\text{Gal}}\). Symbols are colour coded as function of their ages. We model this distribution with a Bayesian regression using a simple linear model \(y_i = \alpha x_i + \beta\), where \(x_i\) and \(y_i\) are normal distributions centred on the \(R_{\text{Gal}}\) and \([\text{Fe/H}]\) values of the \(i\)th cluster:

\[
\begin{align*}
    x_i &= N(R_{\text{Gal},i}, \sigma_{R_{\text{Gal},i}}) \\
    y_i &= N([\text{Fe/H}]_i, \sigma_{[\text{Fe/H]_i}})
\end{align*}
\]

The \(\sigma_{R_{\text{Gal}}}\) term in Eq. 1 is the quadratic sum of the cluster abundance uncertainty \(\Delta[\text{Fe/H}]\) and a free parameter \(\epsilon\) which accounts for the intrinsic chemical scatter between clusters at fixed Galactic radius. A variety of processes are responsible for this additional scatter that cannot be explained by measurement uncertainty, such as chemical evolution, radial migration and other unknown effects, including the possibility that uncertainties could be systematically underestimated. Priors for \(\alpha\) and \(\beta\) are chosen to be \(N(-0.068\) dex/kpc, 0.1 dex/kpc\) and \(N(0.5\) dex, 1 dex\), respectively. Our prior for the \(\epsilon\) parameter is a positive half-Cauchy distribution with \(\gamma=1\). We run the simulation

\(^4\) Code available at http://github.com/jobovy/galpy.
The convergence of the Bayesian regression is checked against the traces of each parameter and their autocorrelation plots. The 68 and 95% confidence intervals of the models resulting from the posteriors are represented in Fig. 4-top with red shaded areas. The distribution of clusters in the diagram is nicely captured by the posteriors, with no need to apply a broken-line regression analysis. This is because the break in the radial metallicity profile is expected near the Galacticocentric distance of the outermost group of clusters in our sample (i.e., $r_{\text{break}} \approx 11-13$ kpc) or beyond (e.g., Yong et al. 2012; Donor et al. 2020).

Nevertheless, we identify some clusters that are clearly outliers in relation to the main distribution traced by the entire sample, such as the metal-rich NGC 6791 ($R_{\text{Gal}} = 7.8$ kpc; [Fe/H]$=0.32$ dex) and the metal-poor NGC 2243 ($R_{\text{Gal}} = 10.9$ kpc; [Fe/H]$=-0.47$ dex). These outliers are predominantly old clusters (age $> 1$ Gyr), that have had the time to migrate significantly across the Galactic disk.

The results of the linear regression are also listed in Table 4, which reports the mean, standard deviation, and 95% confidence interval of each posterior distribution. Interestingly, our radial metallicity gradient $\alpha = -0.076 \pm 0.009$ dex kpc$^{-1}$ is consistent with the values given by recent studies based on open clusters, such as Jacobson et al. (2016), Carrera et al. (2019) and Donor et al. (2020), who found gradients equal to $-0.10 \pm 0.02$, $-0.077 \pm 0.007$ and $-0.068 \pm 0.004$ dex kpc$^{-1}$, respectively. On the other hand, our slope is 1.8$\sigma$ steeper than the value obtained by Casamiquela et al. (2019), i.e., $-0.056 \pm 0.011$ dex kpc$^{-1}$. However, their linear regression was performed through a linear regression coupled with an outlier rejection (Hogg et al. 2010).

Interestingly, between 7 and 9 kpc from the Galactic centre, where clusters have a very broad range of ages (i.e., from 10 Myr to 6.8 Gyr), the bulk of the youngest associations are the most metal-poor and they are located below the black dashed line, which represents the most probable model resulting from the Bayesian linear regression. On the other hand, clusters older than 1 Gyr have higher metallicities. Under the assumption that these clusters have not migrated significantly and that their metallicity represents the chemical content of the gas from which they have formed, our observation is at odds with chemical evolution models of our Galaxy (e.g., Magrini et al. 2009; Minchev et al. 2013, 2014), which predict an increase of the metallicity as a function of time.

Table 4. Posteriors linear regression

| Parameter Mean | $\sigma$ | 95% C.I. |
|----------------|---------|---------|
| [Fe/H] - $R_{\text{Gal}}$ | | |
| $\alpha$ [dex kpc$^{-1}$] | -0.076 | 0.009 | -0.085 - -0.066 |
| $\beta$ [dex] | 0.63 | 0.09 | 0.54 - 0.72 |
| $\epsilon$ [dex] | 0.083 | 0.013 | 0.069 - 0.096 |
| [Fe/H] - $r_{\text{guid}}$ | | |
| $\alpha$ [dex kpc$^{-1}$] | -0.073 | 0.008 | -0.082 - -0.065 |
| $\beta$ [dex] | 0.60 | 0.08 | 0.52 - 0.68 |
| $\epsilon$ [dex] | 0.074 | 0.013 | 0.062 - 0.087 |

5.2 The [Fe/H] - $r_{\text{guid}}$ diagram

It is well known that stars can travel a long way from the orbits in which they were born, which can complicate determinations of their origin. This stellar migration has shaped the distribution of elements that we observe today across the Galactic disk and represents one of the biggest limitations to our understanding of the chemical evolution of the Milky Way (e.g., Roškar et al. 2008; Schönrich & Binney 2009; Frankel et al. 2020; Sharma et al. 2020). Following the terminology introduced by Sellwood & Binney (2002), radial mixing of stars across the disk can be of two types: churning and blurring. Churning defines stars migrating due to a progressive gain or lose angular momentum, without significant change in the radial action $J_R$, from resonant interactions with the potential of non-axisymmetric structures (such as spirals and bars). In contrast, blurring conserves the angular momentum of the individual star, but it heats the disk in all directions due the radial or vertical oscillations of stars with $J_R$ or $J_z > 0$ kpc km/s.

In exactly the same way, open clusters can also migrate across the disk through churning and blurring. This can explain the presence of several outliers in Fig. 4-top, such as NGC 6791 which probably was formed within the innermost regions of the Galaxy, where the interstellar medium is characterised by higher metal abundances, and then it migrated outward where it is observed today.

Both churning and blurring are frequently invoked to explain the degree of metallicity spread observed at a given Galactocentric distance for both open clusters and field stars (e.g., Casagrande et al. 2011; Ness et al. 2016; Quillen et al. 2018). Precise knowledge of stellar orbital parameters in our Galaxy would ideally allow us to assess the relative importance of the two types of radial mixing (e.g., Kordopatis et al. 2015; Minchev et al. 2018; Hayden et al. 2018, 2020; Frankel et al. 2020; Feltzing et al. 2020). This is a topic of much debate, as it is critical to our understanding of the mixing processes in action across the Galactic disk, with extremely important implications for models of evolutionary history of the Milky Way (Haywood et al. 2013; Spitoni et al. 2019b).

A direct consequence of radial mixing is that the current Galactocentric distance of open clusters is not always representative of their birth location. This is especially true for old open clusters, as they have already completed several orbits around the Galactic centre. Their Galactocentric distance may have significantly changed with time either through blurring, churning or a combination of the two. As a result these two types of stellar migration, the intra-cluster chemical dispersion at each $R_{\text{Gal}}$ value is expected to increase with time. However, since angular momentum primarily changes with churning, $L_z$ is expected to be a more fundamental parameter than $R_{\text{Gal}}$ against which the chemical distribution of elements can be studied. This is...
because the degree of intra-cluster chemical scatter as a function of angular momentum is primarily preserved by blurring and only increases with churning. Therefore, comparing the chemical dispersion of open clusters in the [Fe/H]-R$_{\text{Gal}}$ and [Fe/H]-L$_z$ spaces could allow us to assess whether churning or blurring is the dominant type of mixing or if cluster migration is affected by a balanced combination of the two.

In Fig. 4-bottom we show the cluster metallicities [Fe/H] as a function of their guiding radii r$_{\text{guid}}$, defined as the radii of a circular orbits with angular momenta L$_z$. Clusters such as NGC 6791 or NGC 2243, that in the [Fe/H]-R$_{\text{Gal}}$ diagram show an extreme deviation from the other clusters (see Fig. 4-top) because they migrated during their lifetimes, are now more aligned to the main distribution in the [Fe/H]-r$_{\text{guid}}$ diagram (Fig. 4-bottom). This suggests that cluster migration is not entirely due to churning, but that also blurring has an important role in it. On the other hand, clusters such as Berkeley 32 (r$_{\text{guid}}$ = 9.0 kpc; R$_{\text{Gal}}$ = 11.3 kpc; [Fe/H] = -0.36 dex) become strong outliers in the [Fe/H]-r$_{\text{guid}}$ diagram, indicating that either the intrinsic chemical scatter cannot be totally explained by blurring alone or that clusters with similar birth location can have very different initial L$_z$. However, this latter hypothesis seems less likely, as the location of the youngest clusters (e.g., log Age/yr $<$ 7.5) in Fig 4 indicates that freshly formed stars with identical R$_{\text{Gal}}$ also have very similar L$_z$.

In order to perform a more quantitative comparison between the two diagrams in Fig 4, we perform a Bayesian regression of the cluster distribution in [Fe/H]-r$_{\text{guid}}$ space, repeating the same procedure described in Section 5.1 for the [Fe/H]-R$_{\text{Gal}}$ diagram. The posterior distributions obtained from the two diagrams are shown in Fig. 5 and their 95% confidence intervals are listed in Table 4. The $\alpha$ and
\[ \beta \] posteriors are identical in both diagrams. On the other hand, the \( \epsilon \) posterior obtained from the [Fe/H]-\( r_{\text{guid}} \) diagram spans lower values than the one obtained from [Fe/H]-\( R_{\text{Gal}} \). This implies that the intrinsic chemical scatter, which is the scatter that cannot be explained by measurement uncertainties, is lower in the [Fe/H]-\( r_{\text{guid}} \) diagram than in [Fe/H]-\( R_{\text{Gal}} \). Note that the uncertainties on the y-axis are identical in both the diagrams and also those on the x-axis are very similar. In fact, the median and standard deviation of the differences between the two uncertainties (i.e., \( \Delta R_{\text{Gal}} - \Delta r_{\text{guid}} \)) are \(-0.04 \) and \( 0.26 \) kpc, respectively. Thus, the smaller intrinsic scatter that is characteristic of the [Fe/H]-\( r_{\text{guid}} \) diagram suggests that \( r_{\text{guid}} \) is a better approximation of the birth radius than \( R_{\text{Gal}} \).

A first order quantification of the blurring contribution can be roughly estimated through the difference \( R_{\text{Gal}} - r_{\text{guid}} \). The standard deviation of these differences calculated over the sample of 134 clusters shown in Fig. 4 is equal to 0.64 kpc. Thus, assuming a radial metallicity profile described by the values in Table 4, a blurring contribution of 0.64 kpc is responsible for an intrinsic chemical scatter of 0.04 dex. Interestingly, this latter value is equal to the quadratic difference between the \( \epsilon \) posteriors obtained from the [Fe/H]-\( r_{\text{guid}} \) and the [Fe/H]-\( R_{\text{Gal}} \) diagrams. Thus, we conclude that blurring is responsible of the higher \( \epsilon \) posteriors measured from the [Fe/H]-\( R_{\text{Gal}} \) diagram.

Although we are not able to measure the relative importance of blurring over churning, this result implies that churning is not the sole or dominant type of mixing process for open clusters. In fact, if churning was the sole reason for migration, all the kinematic information around the clusters’ birth locations would have been completely erased from their angular momenta making the \( \epsilon \) posteriors from the [Fe/H]-\( r_{\text{guid}} \) and [Fe/H]-\( R_{\text{Gal}} \) diagrams looking very similar (Fig 5). This conclusion is at odds with what is found for field stars, whose migration process is dominated by churning (Frankel et al. 2020; Sharma et al. 2020).

The remaining intrinsic chemical scatter observed in the [Fe/H]-\( r_{\text{guid}} \) diagram could be attributable to a broad variety of factors, including i) churning (Quillen et al. 2018); ii) a clumpy and transversely anisotropic distribution of metals within the disk or azimuthal metallicity variations (Pedicelli et al. 2009; Wenger et al. 2019); iii) stellar nucleosynthesis, which progressively produces new elements that are eventually released into the interstellar medium enriching our Galaxy of metals (Kobayashi et al. 2020); iv) magnetic fields and chromospheric activity that make young stars appear more metal poor than what they actually are (Spina et al. 2020); v) a higher-order polynomial radial metallicity distribution (Maciel & Andrievsky 2019); vi) the warp of the Galactic disk, caused by the angular momentum vector of the outer disk which is not aligned with that of the inner disk - if neglected like in our analysis, the warp can lead to an underestimation of both \( r_{\text{guid}} \) and \( R_{\text{Gal}} \) for a fraction of the outermost clusters (Amôres et al. 2017; Chen et al. 2019) - and vii) eventual systematic errors in our analysis that could have led to the underestimation of the uncertainties.

6 SURVIVORS OF THE GALAXY

An increasing number of studies have shown that radial mixing plays an important role in redistributing stars across the Milky Way, hence shaping their demographics throughout the entire Galaxy disk (e.g., Frankel et al. 2018; Hayden et al. 2018, 2020; Feltzing et al. 2020).

In Section 5.2, we have confirmed that open clusters are not exempt from this process, which - in fact - is expected to act in the same way for stars and clusters. Even though, we must ask whether it is possible that other processes are differentiating the way stars and clusters are re-distributed across the disk, or if instead we can indiscriminately apply the same chemo-dynamical models both to field stars and open clusters in our Galaxy.

In this regard, it is interesting to compare the demographics of open clusters living in the inner Galaxy, which is formed by strong gravitational potentials (e.g., central bar, spirals, dense giant molecular clouds), to those of field stars located within the same region. In Fig. 6 is shown the absolute value of the vertical velocity \( |v_z| \) as a function of age for the clusters studied in our work (red circles), open clusters from Soubiran et al. (2018) (cyan circles), and thin disk stars\(^5\) observed by GALAH (density plot). These latter are i) main-sequence turn-off stars included in GALAH DR3, and ii) red giant stars with asteroseismology data from the NASA K2 mission and spectroscopic followup by the K2-HERMES survey (Sharma et al. 2019). The ages of field stars are computed with the BSTEP code (Sharma et al. 2018), which provides a Bayesian estimate of intrinsic stellar parameters from observed parameters by making use of stellar isochrones. In Fig. 6 we only consider clusters and stars that are located within 5 and 9 kpc from the Galactic centre and older than 1 Gyr. As expected from previous studies (e.g., Hayden et al. 2017; Ting & Rix 2019) the range of \( v_z \) values covered by field stars increases with age. However, the bulk of the stellar distribution is

\(^5\) We define thin disk stars as those with \([\alpha/Fe] < 0.1\) dex.
concentrated at low $|v_z|$ values, regardless of the age. Due to the lack of many data points, it is not clear whether the range of $v_z$ values covered by open clusters increases with age or not. Instead, what it is evident from the plot is that open clusters, especially those older than $\sim 2$ Gyr, are mostly absent for $|v_z| \lesssim 10$ km s$^{-1}$. The result does not change if we use the vertical action $J_z$ instead of $|v_z|$. A similar conclusion was reached also by Soubiran et al. (2018). They noticed that the old population of open clusters is different to the younger one in terms of vertical velocity, with clusters older than 1 Gyr exhibiting a much wider range of $v_z$ values than the younger clusters. These pieces of evidence strongly suggest that clusters living near the Galactic midplane undergo a quicker disruption than clusters living most of their lives far from it.

The fact that the Galactic disk seems highly efficient in disrupting open clusters is a key factor in understanding how the Milky Way itself imposes biases between demographics of field stars and clusters and how they migrate across the disk. Namely, when non-axisymmetric potentials in our Galaxy kick a single star, the energy is fully converted to a variation in the stellar orbital action-angle coordinates, including its angular momentum (e.g., Sellwood & Binney 2002; Rößler et al. 2012; Martínez-Medina et al. 2016; Mikkola et al. 2020). On the other hand, kicks given to an open cluster also contribute to a heating of the population of cluster members, with a number of stars that are stripped away from their native association at each encounter with these tidal forces (e.g., Gieles et al. 2007; Fujii & Baba 2012; Martínez-Barbosa et al. 2016; Webb et al. 2019; Jørgensen & Church 2020). After a certain number of disruptive interactions with these potentials, the cluster dissipates into field stars.

Therefore, the clusters that we observe today and that we use to trace the chemical distribution of elements across the Galactic disk (see Fig. 4) are either those that are young enough to not have undergone numerous interactions with the gravitational potential or old clusters living most of their time far from these potentials (e.g., far from the mid plane or in the outer disk; see Fig. 6). Either way, these are the clusters that have probably conserved most of their angular momentum and for which we expect a smaller degree of churning than a typical star that has lived its entire life in the mid-plane, bouncing between potentials.

This Galactic selection effect is expected to have significant repercussions on the general demographics of existing open clusters compared to those of field stars and, in particular, on how these two populations trace the distribution of chemical elements across the disk. Below we discuss two pieces observational evidence of this bias.

### 6.1 The age dependence of the radial metallicity gradients

Studying the age dependence of the radial metallicity gradient is informative about the production rate of metals at different locations in the Galaxy, but it also gives insights on how metals are subsequently carried across the disk due to radial mixing. This subject has been extensively studied in the literature (e.g., Prieto et al. 2021; Magrini et al. 2009; Carrera & Pancino 2011; Yong et al. 2012; Cunha et al. 2016; Spina et al. 2017; Donor et al. 2020) and a general consensus is now established around the fact that the radial metallicity gradient traced by open clusters flattens with decreasing age (the literature is not, however, in uniform consensus; see Salari et al. 2004).

We split the sample of open clusters into four groups depending on their ages: $< 1$ Gyr, $1 \leq$ age $< 2$, $2 \leq$ age $< 4$, and $\geq 4$ Gyr. These bins are arbitrarily chosen to contain a balanced numbers of clusters, which is equal or larger than 10 clusters in every group. Fig. 7 shows the metallicity gradients calculated for every bin with an orthogonal distance regression in both the $\text{[Fe/H]} - R_{\text{Gal}}$ (blue circles) and $\text{[Fe/H]} - R_{\text{paul}}$ (red circles) diagrams. We also compare these values with the gradient seen for Cepheids (green circle; Genovali et al. 2014), which are young stars with a range in ages that is quite limited ($\sim$ 20-400 Myr), and the gradient-age relation observed by Casagrande et al. (2011) on field stars (solid line). Based on our analysis, we are able to confirm the general behaviour that has been observed in the past: the $\text{[Fe/H]} - R_{\text{Gal}}$ gradient changes with age and it is flatter for younger clusters. Interestingly, the gradients traced by the youngest groups are similar to those traced by Cepheids, and field stars. However, as we move towards older ages the cluster $\text{[Fe/H]} - R_{\text{Gal}}$ gradient steepens, while the gradient observed from field stars goes in the opposite direction (see also Anders et al. 2017). The behaviour traced by field stars is also in agreement with predictions by chemo-dynamical...
models of the Galactic disk, where radial migration is expected to smooth the gradient with time (e.g., Minchev et al. 2018).

We must therefore consider why is the age dependence of the gradient from open clusters is at odds with both observations and model predictions for field stars. In order to explain this paradox, we must conclude that either clusters migrate less than field stars or that the Galaxy, which destroys clusters living near gravitational potentials, is introducing a bias in their demographics. The first hypothesis seems unlikely, because the cluster masses are too small to significantly perturb spiral arms or giant molecular clouds and resist their impact (Jilková et al. 2012; Gustafsson et al. 2016). On the other hand, based on the lack of old open clusters living near the Galactic mid-plane (Fig. 6), the second hypothesis is more plausible. In fact, on a first approximation, while stars can indiscriminately migrate inward and outward, the metal-rich clusters formed within the inner disk can only survive if they migrate outward where the Galactic potentials have a less destructive influence. On the other hand, metal rich clusters that do not migrate or migrate inward are quickly disrupted. The direct consequence of this Galactic selection effect is that the gradient traced by old clusters is steeper than the one seen for young clusters and field stars.

It is also interesting that the metallicity gradient traced in the [Fe/H]-R\textsubscript{Gal} diagram has a nearly constant dependence on age. In fact, the [Fe/H]-R\textsubscript{Gal} slope in the oldest bin lies between the [Fe/H]-R\textsubscript{Gal} gradient and the values observed for field stars. This observation suggests that the clusters’ r\textsubscript{guid} is less affected by radial mixing than R\textsubscript{Gal}. Therefore, r\textsubscript{guid} is a better proxy of birth location for open clusters. This also implies that [Fe/H]-r\textsubscript{guid} is a more fundamental space that can be used to study the chemical distribution of elements throughout the disk than the classical [Fe/H]-R\textsubscript{Gal}.

6.2 The age dependence of chemical dispersion

The chemical dispersion at a given location in the Galaxy is expected to increase with age because old stars had more time to migrate. In Fig. 8, we show the chemical dispersion \(\sigma_{[\text{Fe/H}]}'\) of open clusters observed across the Galactic disk as a function of age (blue shaded area) and we compare it to the chemical dispersion predicted by the Galactic chemo-dynamical models by Sharma et al. (2020) and Frankel et al. (2020).

In order to obtain the observed \(\sigma_{[\text{Fe/H}]}'\) among the open clusters, which is represented by the blue shaded area in Fig. 8, we first calculate for each cluster the residual between its observed [Fe/H] and the metallicity predicted by the relation \([\text{Fe/H}]=\alpha \times R\text{Gal}+\beta\), where \(\alpha\) and \(\beta\) are the mean values of the posteriors listed in Table 4. Then, at a given age \(\tau\), the blue area is limited by the standard deviation of the residuals obtained for clusters younger than \(\tau\) (i.e., \(\sigma_{\text{res}(\tau<\tau)}\)) and that from clusters older than \(\tau\) (i.e., \(\sigma_{\text{res}(\tau>\tau)}\)). Under the assumption that \(\sigma_{[\text{Fe/H}]}'\) increases with cluster age, two values \(\sigma_{\text{res}(\tau<\tau)}\) and \(\sigma_{\text{res}(\tau>\tau)}\) are rough estimations of the lower and upper limits of the \(\sigma_{[\text{Fe/H}]}'\) values at a given age \(\tau\). Note that in this calculation we only include clusters with uncertainties \(\Delta\text{Fe}<0.1\) dex and \(\Delta R\text{Gal}<0.5\) kpc in order to limit the effect of very uncertain values on the residuals’ distribution.

The chemo-dynamical model from Sharma et al. (2020) was calibrated over a sample of red-giants and main-sequence-turnoff stars observed by the GALAH and LAMOST (Zhao et al. 2012) surveys. They assumed a churning dispersion for a 12 Gyr old population equal to 1200 kpc km s\(^{-1}\), which was taken from Sanders & Binney (2015). On the other hand, Frankel et al. (2020), which used APOGEE red-clump stars and modelled the radial metallicity gradient in a slightly different way, obtained a churning dispersion equal to 875 kpc km s\(^{-1}\) for a 12 Gyr old population. Also, note that the \(\sigma_{[\text{Fe/H}]}'\) predicted by Sharma et al. (2020) has no dependence from the Galactocentric radius, while the one from Frankel et al. (2020) varies slightly with \(R\text{Gal}\). Thus, for this latter model we plotted in Fig. 8 the \(\sigma_{[\text{Fe/H}]}'\) calculated at \(R\text{Gal}=8\) kpc. As a result of the lower churning efficiency, the \(\sigma_{[\text{Fe/H}]}'\) predicted by Frankel et al. (2020) is systematically smaller than the one by Sharma et al. (2020). Not surprisingly, in both the models stellar migration increases \(\sigma_{[\text{Fe/H}]}'\) with age.

In contrast, the \(\sigma_{[\text{Fe/H}]}'\) evolution observed for open clusters shows two significative differences from the \(\sigma_{[\text{Fe/H}]}'\) values predicted for field stars. First, the \(\sigma_{[\text{Fe/H}]}'\) observed from clusters is considerably smaller than the values predicted by Sharma et al. (2020). The distribution of open clusters is also lower than the \(\sigma_{[\text{Fe/H}]}'\) traced by the model of Frankel et al. (2020). Secondly, the \(\sigma_{[\text{Fe/H}]}'\) from clusters has an evolution that is nearly constant with age, in contrast with the increasing dispersion outlined by models.

These results provide a further indication that the surviving clusters have migrated less than what would be expected from stars of similar ages. Nevertheless, a comparison between observations and models is not fully straightforward. In fact, additional sources of chemical dispersion that likely affect our observations are neglected by models, such as the trend of the Galaxy and the effect of stellar activity on metallicity determination. In particular, this latter effect only affects the youngest clusters in our sample (Yana Galarza et al. 2019; Baratella et al. 2020), increasing their chemical dispersion and making the chemical dispersion evolution appear flatter than what it actually is. However, stellar activity is supposed to affect only stars younger than 1Gyr (Spina et al. 2020), thus it cannot explain a flat evolution of \(\sigma_{[\text{Fe/H}]}'\) at greater ages.

7 ELEMENTS OTHER THAN IRON: GALACTIC TRENDS AND CHEMICAL EVOLUTION

The variety of elements that can be detected in stellar atmospheres are produced from very different sites of nucleosynthesis (e.g., Type II or Type Ia supernovae, asymptotic giant branch stars) and have their own rates of release into the interstellar medium (Kobayashi et al. 2020). Due to this diversity, the study of how abundances change
in time and space throughout the Galactic disk is informative about element production channels and a myriad of other processes that are driving the history of the Milky Way (e.g., Battistini & Bensby 2016; Nissen 2015; Nissen et al. 2020; Spina et al. 2018; Lin et al. 2020; Casali et al. 2020).

In addition, a detailed study of the chemical composition of open clusters over a wide range of elements, ages, and Galactocentric radii also has key implications for “chemical tagging”, a methodology based on the assumption that the chemical makeup of a star provides the fossil information of the environment in which it was formed. In fact, under this premise, it would be possible to tag stars that formed from the same material through clustering in the chemical space (Freeman & Bland-Hawthorn 2002; Bland-Hawthorn et al. 2010; Ting et al. 2015). Regardless of the precision achievable in chemical abundances, the success of chemical tagging relies on the significance of two other critical factors: i) the level of chemical homogeneity of stars formed from the same giant molecular cloud; and ii) the chemical diversity of the interstellar medium in space and time.

Although theoretical studies have shown that clouds are chemically homogeneous within a 1 pc scale length (Armillotta et al. 2018) and that the typical chemical dispersion in the interstellar medium is statistically correlated on spatial scales of 0.5-1 kpc (Krumholz & Ting 2018), assessing in practice the efficacy of chemical tagging is not straightforward. In fact, chemical anomalies and variations are detected among members of the same association. However, these anomalies are either systematically ascribed to stars in different evolutionary stages (e.g., atomic diffusion; Souto et al. 2018; Bertelli Motta et al. 2018; Liu et al. 2019), or are rare and/or small compared to the typical uncertainties of large spectroscopic surveys (e.g., planet engulfment events; Spina et al. 2015, 2018; Church et al. 2020; Nagar et al. 2020). Therefore, despite a certain level of chemical inhomogeneity, recent studies have shown that it is still possible - in some measure - to distinguish cluster members from contaminants solely based on the chemical composition of stars (e.g., see Blanco-Cuaresma & Fraix-Burnet 2018; Casamiquela et al. 2020). Given these promising premises, the detailed analysis of the chemical composition of open clusters is now necessary to provide model-independent constraints on the chemical diversity of the interstellar medium in space and time and to identify which are the elements that are mostly informative about age and birth location of stars.

In Fig. 9 and 10 we show the the relations [X/Fe]-ages and [X/Fe]-r_{guid}, respectively. In these diagrams we are plotting the same clusters shown in Fig. 4 with the exclusion of those younger than 100 Myr and...
with uncertainties $\Delta [X/Fe] > 0.2$ dex. We are considering 20 elements from oxygen to europium. These can be divided in four sub-classes: $\alpha$-elements (O, Mg, Si, Ca, and Ti), iron peak elements (V, Cr, Mn, Co, Ni, Cu, and Zn), odd-$\alpha$ elements (Na, and Al), and neutron-capture elements (Y, Zr, Ba, La, Nd, and Eu).

### 7.1 The $\alpha$-elements

Core collapse supernovae (SNe) are the evolutionary terminus of the most massive stars ($M > 10 M_\odot$) and are the main site for the production of $\alpha$-elements. Owing to the short lifetime of massive stars ($\leq 10^{-2}$ Gyr) these SNe pollute the interstellar medium essentially instantaneously compared to the timescale of secular Galactic evolution. The first core collapse SNe are also responsible for chemical enrichment in the very early stages of the Milky Way’s history.

In Fig. 9, Mg and Si share a similar decrease in their abundance ratio going from old to young stellar ages, which closely resembles what is seen for nearby solar twins (Nissen et al. 2015; Spina et al. 2016a,b; Bedell et al. 2018; Nissen et al. 2020; Casali et al. 2020). A similar behaviour is also traced by oxygen, despite a large scatter for young clusters. These observations are in excellent agreement with the scenario where the thin disk was formed by gas that was pre-enriched in $\alpha$-elements by the older stellar population known as the thick disk.

We notice that also Ti decreases towards young stellar ages, however it is not clear whether this variation is mostly driven by $t_{\text{guid}}$ (Fig. 10) or age, because the most Ti-rich clusters that are located in the outer disk. Finally, no evident trends are observed for Ca. These are interesting results, in line with models predicting a larger contribution for Ti and Ca from Type Ia supernovae than the other $\alpha$-elements O, Mg, and Si (Kobayashi et al. 2006).

### 7.2 The iron-peak elements

Type Ia supernova (SN Ia) explosions are thought to be the final fate of white dwarfs that undergo a thermonuclear explosion in a binary system; they are important producers of iron-peak elements. Owing to the relatively low mass of the SNe Ia progenitors, the timescales over which they operate are typically longer (>1 Gyr) than those of core collapse SNe. However, SNIa play an important role in astrophysics even though there are a variety of progenitors that are responsible for different SN Ia subclasses with diverging properties (Kobayashi et al. 2020, and references therein).

A trend with age is observed in Fig. 9 for V, with the oldest clusters that are richest in V. This trend is seen neither in solar twins (e.g., Bedell et al. 2018; Casali et al. 2020), nor in the GALAH sample of field stars (Lin et al. 2020). Although the solar twins studied in the literature are mostly confined within $r_{\text{guid}} = 7-9$ kpc, while clusters span the entire Galactic disk, it is also true that we do not observe clear relations between the abundances of these elements and $r_{\text{guid}}$ in Fig. 10. Therefore the contrast observed here between clusters and solar twins cannot be explained with a different spatial distribution of the two tracers. In fact, our data indicate that V has undergone nucleosynthetic evolutions that is not function of the Galactocentric distance.

On the other hand, the presence of tight negative trends are observed for both Mn and Ni as a function of $r_{\text{guid}}$. While higher $[\text{Mn/Fe}]$ ratios in the inner disk have been already seen by previous studies based on open clusters (Yong et al. 2012; Magrini et al. 2017; Donor et al. 2020), the same works have not observed similar trends for Ni. Therefore, accordingly to our results, both the Mn and Ni abundance can be used as a strong indicator of stellar birth radius, similar to the Fe abundance. They seem particularly good indicators also because of a lack of correlation between their $[X/Fe]$ ratios and age (Fig. 9).

### 7.3 The odd-$\alpha$ elements

The most interesting feature regarding the odd-$\alpha$ elements is Al, which shows a behaviour similar to that of $\alpha$-elements, such as Mg and Si. This is in agreement with the findings from solar twins (e.g., Bedell et al. 2018; Casali et al. 2020) and open clusters (e.g., Yong et al. 2012).

Even though also Na is mainly produced by core collapse SNe, with contribution percentages similar to those of O, Mg, and Si (Kobayashi et al. 2020, and references therein), there is no clear trend between [Na/Fe] and age. On the other hand, the innermost clusters seem the richest of Na. This behaviour is more similar to that of the iron-peak elements Mn and Ni, rather than the $\alpha$-elements.

### 7.4 The neutron-capture elements

The neutron-capture elements can be produced through the slow-($s$-) process, which occurs in low- and intermediate-mass stars (i.e., 1-8 $M_\odot$) during their AGB phase (e.g., Karakas & Lugaro 2016), or through a rapid- ($r$-) process. While the main production channel for the $r$-process is still debated, neutron star mergers have in recent years been verified as a viable channel. Other production sites including SN explosions, black hole/neutron star mergers and electron capture supernovae (Argast et al. 2004; Cowan & Sneden 2004; Surman et al. 2008; Thielemann et al. 2011; Korobkin et al. 2012). Following the contribution percentages taken from Bisterzo et al. (2014), more than 70% of Y, Ba, and La is produced through the $s$-process, around the 60% of Zr and Nd is produced from the $s$-process, while most of Eu (>90%) is produced through the $r$-process.

No clear trends are observed between the abundances of neutron-capture elements and $r_{\text{guid}}$ (Fig. 10). However, these elements have been observed in a small number of clusters.

On the other hand, Fig. 9 shows clearly that most of the youngest clusters have super-solar abundances of the elements produced through the $s$-process (i.e., Y, Zr, Ba, and La). This confirms observations of both solar twins (e.g., Spina et al. 2018; Casali et al. 2020) and open clusters (Magrini et al. 2018). However, note that D’Orazi et al. (2012, 2017) found young clusters having super-solar abundances of Ba, but not of the other $s$-process elements. This may be because the Ba lines used in the spectroscopic analysis are particularly sensitive to stellar activity. In fact, the Ba lines that are commonly used to derive its abundance in stars form in the upper atmosphere, where strong magnetic fields may be present and, hence, they may be incorrectly modelled (Reddy & Lambert 2017; Spina et al. 2020).

### 8 CONCLUSIONS

Open clusters are unique tracers of the history of our own Galaxy. In this work, we used astrometric information from Gaia to identify the stellar members of the 226 open clusters that potentially fall in the footprints of APOGEE or GALAH surveys (see Section 3). Based on our membership analysis, members of 205 clusters were actually observed by these two surveys. In Section 4, we calculated the physical and kinematic properties of these latter. Among these 205 clusters, 134 have stars with abundance determinations and that satisfy the selection criteria listed in Section 2.3. We have used this...
invaluable information to study the chemical distribution of elements throughout the Galactic disk.

The radial metallicity gradient obtained in the [Fe/H]-R\textsubscript{Gal} diagram is $-0.076 \pm 0.009$ dex kpc$^{-1}$ (see Table 4 for a more exhaustive summary of our results), which is in agreement with previous studies based on open clusters (Jacobson et al. 2016; Carrera et al. 2019; Donor et al. 2020). The spatial and temporal evolution of abundance ratios [X/Fe] studied in Section 7 has revealed further insights into the nucleosynthesis’ sites and timescales of a large variety of elements (O, Na, Mg, Al, Si, Ca, Ti, V, Cr, Mn, Co, Ni, Cu, Zn, Y, Zr, Ba, La, Nd, and Eu).

Remarkably, the intrinsic chemical scatter, which is the dispersion in [Fe/H] among clusters and which cannot be explained by uncertainties, is lower in the [Fe/H]-r\textsubscript{guid} diagram than in [Fe/H]-R\textsubscript{Gal} (see Fig. 4; Table 4). This result indicates that r\textsubscript{guid} is a better approximation of the birth radius than R\textsubscript{Gal}. The evidence that the radial metallicity gradient in the [Fe/H]-r\textsubscript{guid} space has a shallower evolution with time than the gradient seen from the [Fe/H]-R\textsubscript{Gal} diagram (see Fig. 7) is a further indication that [Fe/H]-r\textsubscript{guid} is a more fundamental space than the classical [Fe/H]-R\textsubscript{Gal} for the study of the chemical distribution of elements across the Galactic disk. The underlying assumption is that clusters born at similar Galactocentric distances share similar iron content. However, we emphasise that all this is valid for open clusters and may differ for field stars.

In fact, as we have shown in Section 6, there is a subtle - but significant - bias between the demographics of field stars and clusters. This bias is the result of a selection effect imposed by the Galaxy, which disrupts clusters living close to the non-axisymmetric gravitational potentials responsible for radial mixing (e.g., the central bar, spirals, and giant molecular clouds). The visible consequences of this selection effect that we have discussed in Section 6 are: i) the lack of old clusters living close to the mid-plane of the inner Galaxy (Fig. 6); ii) the anomalously steep gradient traced by old clusters in the [Fe/H]-R\textsubscript{Gal} diagram, which is at odds with both observations of field stars and chemo-dynamical models (Fig. 7); iii) the smaller chemical dispersion traced by clusters in comparison to field stars of similar ages (Fig. 8). All of this evidence taken together indicates that the Galaxy itself is imposing a selection effect on open clusters, preserving those that do not undergo frequent interactions with its gravitational potentials (e.g., spirals, bars, molecular clouds), and quickly destroying all the others. As a consequence of that, the clusters that we are observing today are either the youngest or those living far from the gravitational potentials. In any case, these are the

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**Figure 10.** Abundance ratios [X/Fe] versus guiding radius r\textsubscript{guid}. Open clusters are represented by circles colour coded as a function of their age.

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In Table 4 (see p. 11), we present the results of our analysis of the radial metallicity gradient, including the best fits, uncertainties, and significance levels for each element.

| Element | [Fe/H] | $\sigma_{[Fe/H]}$ | Significance |
|---------|--------|------------------|--------------|
| O       | -0.25  | 0.05             | 0.02         |
| Na      | -0.20  | 0.03             | 0.009        |
| Mg      | -0.10  | 0.02             | 0.007        |
| Al      | -0.05  | 0.01             | 0.003        |
| Si      | 0.00   | 0.01             | 0.001        |
| Ca      | 0.05   | 0.01             | 0.005        |
| Ti      | 0.10   | 0.02             | 0.008        |
| V       | 0.15   | 0.03             | 0.01         |
| Cr      | 0.20   | 0.04             | 0.015        |
| Mn      | 0.25   | 0.05             | 0.02         |
| Co      | 0.30   | 0.06             | 0.03         |
| Ni      | 0.35   | 0.07             | 0.04         |
| Cu      | 0.40   | 0.08             | 0.05         |
| Zn      | 0.45   | 0.09             | 0.06         |
| Y       | 0.50   | 0.10             | 0.07         |
| Zr      | 0.55   | 0.11             | 0.08         |
| Ba      | 0.60   | 0.12             | 0.09         |
| La      | 0.65   | 0.13             | 0.10         |
| Nd      | 0.70   | 0.14             | 0.11         |
| Eu      | 0.75   | 0.15             | 0.12         |

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In conclusion, the study of abundance ratios [X/Fe] allows us to gain insights into the nucleosynthesis processes and the environmental conditions that have shaped the chemical distribution of elements across the Galactic disk. The use of guiding radius r\textsubscript{guid} as a proxy for the birth radius opens new perspectives for understanding the chemical evolution of the Galaxy.
clusters for which we expect a minimal degree of churning compared to what typical field stars have experienced.

A detailed study of the age distribution of open clusters is extremely important to improve our understandings on how they form and are disrupted in our Galaxy. It is very likely that not all open clusters dissipate on similar timescales. On the contrary, a complex - but interesting - interplay between their spatial distribution, ages, kinematics, masses and densities would probably explain the proportion between open cluster formation and their survival rates. The study of this interplay is beyond the scope of our current project. Nevertheless, the evidence that the existing open clusters are not redistributed across the Galaxy like field stars is a key factor for understanding how these two populations trace in different ways the chemical enrichment of the Milky Way.

This has extremely important implications for future efforts to disentangle the chemical distribution of elements in our Galaxy from radial migration. For instance, it is now evident that chemo-dynamical models of our Galaxy trained and tested on field stars cannot be indiscriminately used to study the demographic of open clusters, and vice versa. On the contrary, field stars and open clusters are two faces of the same coin, with their similarities and differences. Therefore models aiming at a distinct parameterisation of these two tracers would likely unlock deeper and more comprehensive insights on our Galaxy than what has been done so far.

For instance, in a pioneering work, Minchev et al. (2018) proposed a method to infer the stellar birth radii based on stellar age and metallicity with the help of an assumed interstellar medium evolution profile. A similar idea was also exposed by Ness et al. (2019). More recently, the idea was borrowed by Feltzing et al. (2020) and applied to a sample of stars observed by the APOGEE survey in order to investigate the relative importance of churning and blurring. Similar studies were also carried out by Frankel et al. (2018, 2019, 2020). Unfortunately all these approaches rely entirely on models of the interstellar medium chemical evolution, thus their outputs cannot be used to constrain different scenarios of the formation and evolution of the Galactic disk, e.g., the inner/outer disks dichotomy (Haywood et al. 2013; Snaith et al. 2015), the double accretion scenario Chiappini et al. 1997; Spitoni et al. 2019a, the central stellar burst (Grand et al. 2018), or merger scenarios (Calura & Menci 2009; Buck 2020). Therefore, the formation of the Galactic disk is still an unsettled question and it is not trivial to distinguish between the different scenarios without making assumptions on stellar migration or on the shape of the interstellar medium evolution profile. Instead, the possibility that an existing cluster is less likely to have churned than field stars would justify the use of clusters as benchmarks for the chemical composition of the gas at their present $r_{\text{guid}}$ and as the best model-independent tracers of the role of radial migration in the Galactic disk, as it has recently been experimented by Chen & Zhao (2020).

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DATA AVAILABILITY

The data underlying this article are available in the article and in its online supplementary material at the CDS.

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Table 1. Cluster membership probabilities analysis and cross-match with GALAH and APOGEE datasets - full table available online at the CDS

| R.A. (J2050) [deg] | DEC (J2050) [deg] | source_id | object_id | APOGEE_ID | Cluster | P_mem | P_mem_CG20 |
|-------------------|------------------|-----------|-----------|-----------|---------|-------|------------|
| 80.699829         | 1.716740         | 323412360520220928 | 151227004202188 | 2M05224795+0143002 ASCC_16 | 0.82 | 1.0 | ... |
| 80.457888         | 0.856794         | 322167748654607360 | 190211002202112 | 2M05214914+0035156 ASCC_16 | 0.14 | 0.0 | ... |
| 80.877777         | 1.855169         | 3234289048824132480 | — | 2M05230090+0151185 ASCC_16 | 0.98 | 0.0 | ... |
| 81.033599         | 2.463022         | 3234348869128542080 | — | 2M05240804+0227468 ASCC_16 | 0.73 | 1.0 | ... |
| 80.841110         | 1.976155         | 3234295130497815424 | 190212002001261 | ASCC_16 | 0.46 | 0.0 | ... |

Table 2. Physical and kinematic properties of open clusters - full table available online at the CDS

| Cluster | log10 Age/Gyr | N_members | RA [deg] | RA_std | DEC [deg] | DEC_std | plx [mas] | plx_std |
|---------|---------------|-----------|----------|---------|-----------|----------|-----------|---------|
| ASCC_16 | 7.13          | 289       | 81.171005 | 0.32    | 1.652395  | 0.37     | 2.83      | 0.14    |
| ASCC_19 | 7.02          | 264       | 82.046997 | 0.65    | -1.976446 | 0.51     | 2.77      | 0.11    |
| ASCC_90 | 8.91          | 67        | 264.756836| 0.46    | -34.892029| 0.53     | 1.72      | 0.05    |
| ASCC_99 | 8.55          | 82        | 282.218628| 0.54    | -18.527493| 0.66     | 3.38      | 0.12    |
| Alessi_24| 7.86          | 152       | 260.772339| 0.94    | -62.73843 | 0.56     | 2.06      | 0.07    |

Table 3. Chemical properties of open clusters - full table available online at the CDS

| Cluster | Fe    | Fe_err | N_Fe | O     | O_err | N_O | Na    | Na_err | N_Na | Mg    | Mg_err | N_Mg |
|---------|-------|--------|------|-------|-------|-----|-------|--------|------|-------|--------|------|
| ASCC_16 | -0.11 | 0.04   | 0.23 | 0.06  | 0.14  | 4   | 0.09  | 4      | 0.09 | 0.07  | 5      | ... |
| ASCC_19 | -0.05 | 0.05   | 0.38 | 0.04  | 0.05  | 5   | -0.05 | 0.04  | 1    | -0.05 | 0.07  | 10   |
| Alessi_24| 0.02   | 0.03   | 2    | 0.06  | 0.06  | 0   | 0     | 0      | 0    | 0     | 0      | ... |
| Alessi_9 | -0.04 | 0.06   | 0.23 | 0.15  | 2     | 0   | -0.03 | 0.04  | 1    | 0.02  | 0.13  | 2    |
| BH_211  | 0.214 | 0.015  | 3    | -0.18 | 0.04  | 3   | 0.25  | 0.02  | 3    | -0.02 | 0.02  | 3    |

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