Abstract

Online discussion forums and community question-answering websites provide one of the primary avenues for online users to share information. In this paper, we propose text mining techniques which aid users navigate troubleshooting-oriented data such as questions asked on forums and their suggested solutions. We introduce Bayesian generative models of the troubleshooting data and apply them to two interrelated tasks: (a) predicting the complexity of the solutions (e.g., plugging a keyboard in the computer is easier compared to installing a special driver) and (b) presenting them in a ranked order from least to most complex. Experimental results show that our models are on par with human performance on these tasks, while outperforming baselines based on solution length or readability.

1 Introduction

Online forums and discussion boards have created novel ways for discovering, sharing, and distributing information. Users typically post their questions or problems and obtain possible solutions from other users. Through this simple mechanism of community-based question answering, it is possible to find answers to personal, open-ended, or highly specialized questions. However, navigating the information available in web-archived data can be challenging given the lack of appropriate search and browsing facilities.

Table 1 shows examples typical of the problems and proposed solutions found in troubleshooting-oriented online forums. The first problem concerns a shaky monitor and has three solutions with increasing degrees of complexity. Solution (1) is probably easiest to implement in terms of user time, effort, and expertise; solution (3) is most complex (i.e., the user should understand what signal timing is and then try to establish whether it is within the specification of the monitor), whereas solution (2) is somewhere in between. In most cases, the solutions are not organized in any particular fashion, neither in terms of content nor complexity.

In this paper, we present models to automatically predict the complexity of troubleshooting solutions, which we argue could improve user experience, and potentially help solve the problem faster (e.g., by prioritizing easier solutions). Automatically structuring solutions according to complexity could also facilitate search through large archives of solutions or serve as a summarization tool. From a linguistic perspective, learning how complexity is verbalized can be viewed as an instance of grounded language acquisition. Solutions direct users to carry out certain actions (e.g., on their computers or devices) and complexity is an attribute of these actions. Information access systems incorporating a notion of complexity would allow to take user intentions into account and how these translate into natural language. Current summarization and information retrieval methods are agnostic of such types of text semantics. Moreover, the models presented here could be used for analyzing collaborative prob-
lem solving and its social networks. Characterizing the content of discussion forums by their complexity can provide additional cues for identifying user authority and if there is a need for expert intervention.

We begin by validating that the task is indeed meaningful and that humans perceive varying degrees of complexity when reading troubleshooting solutions. We also show experimentally that users agree in their intuitions about the relative complexity of different solutions to the same problem. We define “complexity” as an aggregate notion of the time, expertise, and money required to implement a solution. We next model the complexity prediction task, following a Bayesian approach. Specifically, we learn to assign complexity levels to solutions based on their linguistic makeup. We leverage weak supervision in the form of lists of solutions (to different problems) approximately ordered from low to high complexity (see Table 1). We assume that the data is generated from a fixed number of discrete complexity levels. Each level has a probability distribution over the vocabulary and there is a canonical ordering between levels indicating their relative complexity. During inference, we recover the vocabularies of the complexity levels and the ordering of levels that explains the solutions and their attested sequences in the training data.

We explore two Bayesian models differing in how they learn an ordering among complexity levels. The first model is local, it assigns an expected position (in any list of solutions) to each complexity level and orders the levels based on this expected position value. The second model is global, it defines probabilities over permutations of complexity levels and directly uncovers a consensus ordering from the training data. We evaluate our models on a solution ordering task, where the goal is to rank solutions from least to most complex. We show that a supervised ranking approach using features based on the predictions of our generative models is on par with human performance on this task while outperforming competitive baselines based on length and readability of the solution text.

2 Related work

There is a long tradition of research on decision-theoretic troubleshooting where the aim is to find a cost efficient repair strategy for a malfunctioning device (Heckerman et al., 1995). Typically, a diagnostic procedure (i.e., a planner) is developed that determines the next best troubleshooting step by estimating the expected cost of repair for various plans. Costs are specified by domain experts and are usually defined in terms of time and/or money incurred by carrying out a particular repair action. Our notion of complexity is conceptually similar to the cost of an action, however we learn to predict complexity levels rather than calibrate them manually. Also note that our troubleshooting task is not device specific. Our models learn from troubleshooting-oriented data without any restrictions on the problems being solved.

Previous work on web-based user support has mostly focused on thread analysis. The idea is to model the content structure of forum threads by analyzing the requests for information and suggested solutions in the thread data (Wang et al., 2011; Kim et al., 2010). Examples of such analysis include identifying which earlier post(s) a given post responds to and in what manner (e.g., is it a question, an answer or a confirmation). Other related work (Lui and Baldwin, 2009) identifies user characteristics in such data, i.e., whether users express themselves clearly, whether they are technically knowledgeable, and so on. Although our work does not address threaded discourse, we analyze the content of troubleshooting data and show that it is possible to predict the complexity levels for suggested solutions from surface lexical cues.

Our work bears some relation to language grounding, the problem of extracting representations of the meaning of natural language tied to the physical world. Mapping instructions to executable actions is an instance of language grounding with applications to automated troubleshooting (Branavan et al., 2009; Eisenstein et al., 2009), navigation (Vogel and Jurafsky, 2010), and game-playing (Branavan et al., 2011). In our work, there is no direct attempt to model the environment or the troubleshooting steps. Rather, we study the language of instructions and how it correlates with the complexity of the implied actions. Our results show that it possible to predict complexity, while being agnostic about the semantics of the domain or the effect of the instructions in the corresponding environment.

Our generative models are trained on existing archives of problems with corresponding solutions (approximately ordered from least to most complex)
and learn to predict an ordering for new sets of solutions. This setup is related to previous studies on information ordering where the aim is to learn statistical patterns of document structure which can be then used to order new sentences or paragraphs in a coherent manner. Some approaches approximate the structure of a document via topic and entity sequences using local dependencies such as conditional probabilities (Lapata, 2003; Barzilay and Lapata, 2008) or Hidden Markov Models (Barzilay and Lee, 2004). More recently, global approaches which directly model the permutations of topics in the document have been proposed (Chen et al., 2009b). Following this line of work, one of our models uses the Generalized Mallows Model (Plignier and Verducci, 1986) in its generative process which allows to model permutations of complexity levels in the training data.

3 Problem formulation

Our aim in this work is to learn models which can automatically reorder solutions to a problem from low to high complexity. Let \( G = (c_1, c_2, \ldots, c_N) \) be a collection of solutions to a specific problem. We wish to output a list \( G' = (c'_1, c'_2, \ldots, c'_N) \), such that \( D(c'_j) \leq D(c'_{j+1}) \), where \( D(x) \) refers to the complexity of solution \( x \).

3.1 Corpus Collection

As training data we are given problem-solution sets similar to the examples in Table 1 where the solutions are approximately ordered from low to high complexity. A solution set \( S_i \) is specific to problem \( P_i \), and contains an ordered list of \( N_{P_i} \) solutions \( S_i = (x_1, x_2, \ldots, x_{N_{P_i}}) \) such that \( D(x_j) < D(x_{j+1}) \). We refer to the number of solutions related to a problem, \( N_{P_i} \), as its solution set size.

For our experiments, we collected 300 problems and their solutions from multiple web sites including the computing support pages of Microsoft, Apple, HP, as well as amateur computer help websites such as [www.computerhope.com](http://www.computerhope.com). The problems were mostly frequently asked questions (FAQs) referring to malfunctioning personal computers and smart phones. The solutions were provided by computer experts or experienced users in the absence of any interaction with other users or their devices and thus constitute a generic list of steps to try out. We assume that in such a situation, the solution providers are likely to suggest simpler solutions before other complex ones, leading to the solution lists being approximately ordered from low to high complexity. In the next section, we verify this assumption experimentally. In this dataset, the solution set size varies between 2 and 16 and the average number is 4.61. Figure 1 illustrates the histogram of solution set sizes found in our corpus. We only considered problems which have no less than two solutions. All words in the corpus were lemmatized and html links and numbers were replaced with placeholders. The resulting vocabulary was approximately 2,400 word types (62,152 tokens).

Note that our dataset provides only weak supervision for learning. The relative complexity of solutions for the same problem is observed, however, the relative complexity of solutions across different problems is unknown. For example, a hardware issue may generally receive highly complex solutions whereas a microphone issue mostly simple ones.

3.2 Task Validation

In this section, we detail an annotation experiment where we asked human judges to rank the randomly permuted contents of a solution set according to perceived complexity. We performed this study for two reasons. Firstly, to ascertain that participants are able to distinguish degrees of complexity and agree on the complexity level of a solution. Secondly, to examine whether the ordering produced by participants corresponds to the (gold-standard) FAQ order of the solutions. If true, this would support our hy-
Table 2: Correlation matrix for annotators (A–D) and original FAQ order using Kendall’s τ (values are averages over 100 problem-solution sets).

|     | B     | C     | D     | FAQ  |
|-----|-------|-------|-------|------|
| A   | 0.421 | 0.525 | 0.625 | 0.465|
| B   | 0.436 | 0.434 | 0.252 |      |
| C   | 0.584 | 0.303 |       |      |
| D   | 0.402 |       |       |      |

The hypothesis that the solutions in our FAQ corpus are frequently presented according to complexity and that this ordering is reasonable supervision for our models.

Method We randomly sampled 100 solution sets (their sizes vary between 2 and 16) from the FAQ corpus described in the previous section and randomly permuted the contents of each set. Four annotators, one an author of this paper, and three graduate and undergraduate students in Computer Science were asked to order the solutions in each set from easy to most complex. An easier solution was defined as one “which takes less time or effort to carry out by a user”. The annotators saw a list of solutions for the same problem on a web interface and assigned a rank to each solution to create an order. No ties were allowed and a complete ordering was required to keep the annotation simple. The annotators were fluent English speakers and had some knowledge of computer hardware and software. We refrained from including novice users in our study as they are likely to have very different personal preferences resulting in more divergent rankings.

Results We measured inter-annotator agreement using Kendall’s τ, a metric of rank correlation which has been reliably used in information ordering evaluations (Lapata, 2006, Bollegala et al., 2006, Marnani et al., 2007). τ ranges between −1 and +1, where +1 indicates equivalent rankings, −1 completely reverse rankings, and 0 independent rankings. Table 2 shows the pairwise inter-annotator agreement as well as the agreement between each annotator and the original FAQ order. The table shows fair agreement between the annotators confirming that this is a reasonable task for humans to do. As can be seen, there are some individual differences, with the inter-annotator agreement varying from 0.421 (for A,B) to 0.625 (for A,D).

The last column in Table 2 reports the agreement between our annotator rankings and the original ordering of solutions in the FAQ data. Although there is fair agreement with the FAQ providing support for its use as a gold-standard, the overall τ values are lower compared to inter-annotator agreement. This implies that the ordering may not be strictly increasing in complexity in our dataset and that our models should allow for some flexibility during learning.

Several reasons contributed to disagreements between annotators and with the FAQ ordering, such as the users’ expertise, personal preferences, or the nature of the solutions. For instance, annotators disagreed when multiple solutions were of similar complexity. For the first example in Table 1 all annotators agreed perfectly and also matched the FAQ order. For the second example, the annotators disagreed with each other and the FAQ.

4 Generative Models

In the following we introduce two Bayesian topic models for the complexity prediction (and ranking) task. In these models, complexity is captured through a discrete set $D$ of $L$ levels and a total ordering between the levels reflects their relative complexity. In other words, $D = (d_1, d_2, ..., d_L)$, where $d_1$ is easiest level and $D(d_m) < D(d_{m+1})$. Each complexity level is parametrized by a unigram language model which captures words likely to occur in solutions with that level.

Our two models are broadly similar. Their generative process assigns a complexity level from $D$ to each solution such that it explains the words in the solution and also the ordering of solutions within each solution set. Words are generated for each solution by mixing problem-specific words with solution-specific (and hence complexity-related) ones. Also, each problem has its own distribution over complexity levels which allows for some problems to have more complex solutions on average, some a mix of high and low complexity solutions, or otherwise predominantly easier solutions.

The main difference between the two models is in the way they capture the ordering between levels. Our first model infers a distribution for each level over the positions at which a solution with that complexity can occur and uses this distribution to order the levels. Levels which on average occur at greater positions have higher complexity. The second model defines probabilities over orderings of levels in the
Corpus level
For each complexity level $d_m$, $1 \leq m \leq L$,
- Draw a complexity vocabulary distribution
  $\phi_m \sim \text{Dirichlet}(\alpha)$
- Draw a distribution over positions
  $\gamma_m \sim \text{Dirichlet}(\rho)$
- Draw a distribution $\psi$ for the proportion of complexity-versus problem-specific vocabulary $\sim \text{Beta}(\delta_0, \delta_1)$

Solution set level
For each solution set $Q_i$ in the corpus, $1 \leq i \leq N$,
- Draw a distribution over the complexity levels
  $\theta_i \sim \text{Dirichlet}(\beta)$
- Draw a problem-specific vocabulary distribution
  $\lambda_i \sim \text{Dirichlet}(\omega)$

Individual solution level
For each solution $x_{ij}$ in $Q_i$, $1 \leq j \leq N_{P_i}$,
- Draw a complexity level assignment,
  $z_{ij} \sim \text{Multinomial}(\theta_i)$
- Draw a position depending on the level assigned,
  $r_{ij} \sim \text{Multinomial}(\gamma_{z_{ij}})$

Word level
For each word $w_{ijk}$ in solution $x_{ij}$,
- Draw a switch value to indicate if the word is problem- or complexity-specific,
  $s_{ijk} \sim \text{Binomial}(\psi)$
- If $s_{ijk} = 0$, draw $w_{ijk} \sim \text{Multinomial}(\phi_{z_{ij}})$
- If $s_{ijk} = 1$, draw $w_{ijk} \sim \text{Multinomial}(\lambda_i)$

Figure 2: Generative process for the Position Model

generative process itself. The inference process of this model allows to directly uncover a canonical ordering of the levels which explains the training data.

4.1 Expected Position model

This model infers the vocabulary associated with a complexity level and a distribution over the numerical positions in a solution set where such a complexity level is likely to occur. After inference, the model uses the position distribution to compute the expected position of each complexity level. The levels are ordered from low to high expected position and taken as the order of increasing complexity.

The generative process for our model is described in Figure 2. A first phase generates the latent variables which are drawn once for the entire corpus. Then, variables are drawn for a solution set, next for each solution in the set, and finally for the words in the solutions. The number of complexity levels $L$ is a parameter in the model, while the vocabulary size $V$ is fixed. For each complexity level $d_m$, we draw one multinomial distribution $\phi$ over the vocabulary $V$, and another multinomial $\gamma$ over the possible positions. These two distributions are drawn from symmetric Dirichlet priors with hyperparameters $\alpha$ and $\rho$. Solutions will not only contain words relating to their complexity but also to the problem or malfunctioning component at hand. We assume these words play a minor role in determining complexity and thus draw a binomial distribution $\psi$ that balances the amount of problem-specific versus complexity-specific vocabulary. This distribution has a Beta prior with hyperparameters $\delta_0$ and $\delta_1$.

For each solution set, we draw a distribution over the complexity levels $\theta$ from another Dirichlet prior with concentration $\beta$. This distribution allows each problem to take a different preference and mix of complexity levels for its solutions. Another multinomial $\lambda$ over the vocabulary is drawn for the problem-specific content of each solution set. $\lambda$ is given a symmetric Dirichlet prior with concentration $\omega$.

For each individual solution in a set, we draw a complexity level $z$ from $\theta$, i.e., the complexity level proportions for that problem. A position for the solution is then drawn from the position distribution for that level, i.e., $\gamma_z$. The words in the solution are generated by first drawing a switch value for each word indicating if the word came from the problem’s technical or complexity vocabulary. Accordingly, the word is drawn from $\lambda$ or $\phi_z$.

During inference, we are interested in the posterior of the model given the FAQ training data. Based on the conditional independencies of the model, the posterior is proportional to:

$$P(\psi|\delta_0, \delta_1) \times \prod_{m=1}^{L} [P(\phi_m|\alpha)] \times \prod_{m=1}^{L} [P(\gamma_m|\rho)]$$
$$\times \prod_{i=1}^{N} [P(\theta_i|\beta)] \times \prod_{i=1}^{N} [P(\lambda_i|\omega)]$$
$$\times \prod_{i=1}^{N} \prod_{j=1}^{N_{P_i}} [P(z_{ij}|\theta_i)] \times \prod_{i=1}^{N} \prod_{j=1}^{N_{P_i}} [P(r_{ij}|\gamma_{z_{ij}})]$$
$$\times \prod_{i=1}^{N} \prod_{j=1}^{N_{P_i}} \prod_{k=1}^{[x_{ij}]} [P(s_{ijk}|\psi)] P(w_{ijk}|s_{ijk}, \phi_{z_{ij}}, \lambda_i)]$$

where $L$ is the number of complexity levels, $N$ the number of problems in the training corpus, $N_{P_i}$ the size of solution set for problem $P_i$, and $[x_{ij}]$ the number of words in solution $x_{ij}$.

The use of conjugate priors for the multinomial
and binomial distributions allows us to integrate out
the $\psi$, $\phi$, $\gamma$, $\lambda$ and $\theta$ distributions. The simplified
posterior is proportional to:

$$
\prod_{i=1}^{N} \frac{\prod_{m=1}^{L} \Gamma(R_{i}^{m}+\beta_{m})}{\prod_{m=1}^{L} \Gamma\left(\sum_{i=1}^{N} R_{i}^{m}+\beta_{m}\right)} \times \prod_{m=1}^{L} \frac{\prod_{i=1}^{G} \Gamma(Q_{m}^{i}+\rho_{r})}{\prod_{i=1}^{G} \Gamma\left(\sum_{m=1}^{L} Q_{m}^{i}+\rho_{r}\right)}
\times \frac{1}{\Gamma\left(\sum_{u=0}^{\delta} T_{u}^{i}+\delta_{u}\right)} \times \prod_{m=1}^{L} \frac{\prod_{i=1}^{V} \Gamma(T_{m}^{0}(v)+\alpha_{v})}{\prod_{i=1}^{V} \Gamma\left(\sum_{u=1}^{V} T_{m}^{0}(v)+\alpha_{v}\right)}
\times \prod_{i=1}^{N} \frac{\prod_{v=1}^{V} \Gamma(T_{i}^{1}(v)+\omega_{v})}{\prod_{v=1}^{V} \Gamma\left(\sum_{i=1}^{N} T_{i}^{1}(v)+\omega_{v}\right)}
$$

where $R_{i}^{m}$ is the number of times level $m$ is assigned to a solution in problem $i$. $Q_{m}^{i}$ is the number of times a solution with position $r$ is given a complexity $m$ over the full corpus. Positions are integer values between 1 and $G$. $T_{m}^{0}$ and $T_{m}^{1}$ count the number of switch assignments of value 0 (complexity-related word) and 1 (technical word) respectively in the corpus. $T_{m}^{0}(v)$ is a refined count of the number of times word type $v$ is assigned switch value 0 in a solution of complexity $m$. $T_{i}^{1}(v)$ counts the number of times switch value 1 is given to word type $v$ in a solution set for problem $i$.

We sample from this posterior using a collapsed Gibbs sampling algorithm. The sampling sequence starts with a random initialization to the hidden variables. During each iteration, the sampler chooses a complexity level for each solution based on the current assignments to all other variables. Then the switch values for the words in each solution are sampled one by one. The hyperparameters are tuned using grid search on development data. The language model concentrations $\alpha$, $\rho$ and $\omega$ are given values less than 1 to obtain sparse distributions. The prior on $\theta$, the topic proportions, is chosen to be greater than 1 to encourage different complexity levels to be used within the same problem rather than assigning all solutions to the same one or two levels. Similarly, $\delta_{0}$ and $\delta_{1}$ are $>1$. We run 5,000 sampling iterations and use the last sample as a draw from the posterior.

Using these assignments, we also compute an estimate for the parameters $\phi_{m}$, $\lambda_{i}$ and $\gamma_{m}$. For example, the probability of a word $v$ in $\phi_{m}$ is computed as $\frac{T_{m}^{0}(v)+\alpha_{v}}{\sum_{v} T_{m}^{0}(v)+\alpha_{v}}$.

After inference, we obtain probability distributions for each complexity level $d_{m}$ over the vocabulary $\phi_{m}$ and positions $\gamma_{m}$. We compute the expected position $E_{p}$ of $d_{m}$ as:

$$
E_{p}(d_{m}) = \sum_{\text{pos}=1}^{G} \text{pos} \ast \gamma_{m}(\text{pos})
$$

where $\text{pos}$ indicates position values. Then, we rank the levels in increasing order of $E_{p}$.

### 4.2 Permutations-based model

In our second model we incorporate the ordering of complexity levels in the generative process itself. This is achieved by using the Generalized Mallows Model (GMM; Fligner and Verducci (1986)) within our hierarchical generative process. The GMM is a probabilistic model over permutations of items and is frequently used to learn a consensus ordering given a set of different rankings. It assumes there is an underlying canonical order of items and concentrates probability mass on those permutations that differ from the canonical order by a small amount, while assigning lesser probability to very divergent permutations. Probabilistic inference in this model uncovers the canonical ordering.

The standard Mallows model (Mallows, 1957) has two parameters, a canonical ordering $\sigma$ and a dispersion penalty $\rho > 0$. The probability of an observed ordering $\pi$ is defined as:

$$
P(\pi|\rho, \sigma) = \frac{e^{-d(\pi, \sigma)}}{\xi(\rho)},
$$

where $d(\pi, \sigma)$ is a distance measure such as Kendall’s $\tau$, between the canonical ordering $\sigma$ and an observed ordering $\pi$. The GMM decomposes $d(\pi, \sigma)$ in a way that captures item-specific distance. This is done by computing an inversion vector representation of $d(\pi, \sigma)$. A permutation $\pi$ of $n$ items can be equivalently represented by a vector of inversion counts $\mathbf{v}$ of length $n - 1$, where each component $v_{i}$ equals the number of items $j > i$ that occur before item $i$ in $\pi$. The dimension of $\mathbf{v}$ is $n - 1$ since there can be no items greater than the highest value element. A unique inversion vector can be computed for any permutation and vice versa, and the sum of the inversion vector elements is equal to $d(\pi, \sigma)$. Each $v_{i}$ is also given a separate dispersion penalty $\rho_{i}$. Then, the GMM is defined as:

$$
\text{GMM}(\mathbf{v}|\rho) \propto \prod_{i} e^{-\rho_{i}v_{i}}
$$

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Corpus level
For each complexity level \( d_m, 1 \leq m \leq L \),
- Draw a complexity vocabulary distribution
  \( \phi_m \sim \text{Dirichlet}(\alpha) \)
- Draw the \( L - 1 \) dispersion parameters
  \( \rho_r \sim \text{GMM}_0(\mu_0, v_0) \)
- Draw a distribution \( \psi \) for the proportion of complexity-versus problem-specific vocabulary \( \sim \text{Beta}(\delta_0, \delta_1) \)

Solution set level
For each solution set \( Q_i \) in the corpus, \( 1 \leq i \leq N \),
- Draw a distribution over the complexity levels
  \( \theta_i \sim \text{Dirichlet}(\beta) \)
- Draw a problem-specific vocabulary distribution
  \( \lambda_i \sim \text{Dirichlet}(\omega) \)
- Draw a bag of \( N_{P_i} \) levels for the solution set
  \( b_i \sim \text{Multinomial}(\theta_i) \)
- Draw an inversion vector \( v_i \)
  \( v_i \sim \text{GMM}(\rho) \)
- Compute permutation \( \pi_i \) of levels using \( v_i \)
- Compute level assignments \( z_i \) using \( \pi_i \) and \( b_i \).
Assign level \( z_{ij} \) to \( x_{ij} \) for \( 1 \leq j \leq N_{P_i} \).

Word level
For each word \( w_{ijk} \) in solution \( x_{ij} \),
- Draw a switch value to indicate if the word is problem- or complexity-specific, \( s_{ijk} \sim \text{Binomial}(\psi) \)
- If \( s_{ijk} = 0 \), draw \( w_{ijk} \sim \text{Multinomial}(\phi_{z_{ij}}) \)
- If \( s_{ijk} = 1 \), draw \( w_{ijk} \sim \text{Multinomial}(\lambda_i) \)

Figure 3: Generative process for Permutation Model.

And can be further factorized into item-specific components:

\[
\text{GMM}_i(v_i|\rho_i) \propto e^{-\rho_i v_i}
\]

Since the GMM is a member of the exponential family, a conjugate prior can be defined for each dispersion parameter \( \rho_i \) which allows for efficient inference. We refer the interested reader to Chen et al. (2009a) for details on the prior distribution and normalization factor for the GMM distribution.

Figure 3 formalizes the generative story of our own model which uses the GMM as a component. We assume the canonical order is the strictly increasing \((1, 2, ..., L)\) order. For each complexity level \( d_m \), we draw a distribution \( \phi \) over the vocabulary. We also draw \( L - 1 \) dispersion parameters from the conjugate prior \( \text{GMM}_0 \) density. Hyperparameters for this prior are set in a similar fashion to Chen et al. (2009a). As in the position model, we draw a binomial distribution, \( \psi \) (with a beta prior) over complexity-versus problem-specific vocabulary. At the solution set level, we draw a multinomial distribution \( \lambda \) over the vocabulary and a multinomial distribution \( \theta \) for the proportion of \( L \) levels for this problem. Both these distributions have Dirichlet priors. Next, we generate an ordering for the complexity levels. We draw \( N_{P_i} \) complexity levels from \( \theta \), one for each solution in the set. Let \( b \) denote this bag of levels (e.g., \( b = (1, 1, 2, 3, 4, 4) \) assuming 4 complexity levels and 7 solutions for a particular problem). We also draw an inversion vector \( v \) from the GMM distribution which advantageously allows for small differences from the canonical order. The \( z \) assignments are deterministically computed by ordering the elements of \( b \) according to the permutation defined by \( v \).

Given the conditional independencies of our model, the posterior is proportional to:

\[
\prod_{m=1}^{L} \left[ P(\phi_m|\alpha) \right] \times \prod_{r=1}^{L-1} \left[ P(\rho_r|\mu_0, v_0) \right] \times P(\psi|\delta_0, \delta_1) \\
\times \prod_{i=1}^{N} \left[ P(\theta_i|\beta) \right] \times P(\lambda_i|\omega) \times P(v_i|\rho) \times P(b_i|\theta_i) \\
\times \prod_{i=1}^{N} \prod_{j=1}^{N_{P_i}} \prod_{k=1}^{N} \left[ P(s_{ijk}|\psi) P(w_{ijk}|s_{ijk}, \phi_{z_{ij}}, \lambda_i) \right]
\]

where \( L \) is the number of complexity levels, \( N \) the total problems in the training corpus, \( N_{P_i} \) the size of solution set for problem \( P_i \), and \( |x_{ij}| \) the number of words in solution \( x_{ij} \). A simplified posterior can be obtained by integrating out the \( \psi, \phi, \lambda, \) and \( \theta \) distributions which is proportional to:

\[
\prod_{i=1}^{N} \prod_{m=1}^{L} \prod_{r=1}^{L-1} \frac{\Gamma(R_{i}^{m} + \beta_{m})}{\Gamma(\sum_{m=1}^{L} R_{i}^{m} + \beta_{m})} \times \prod_{r=1}^{L-1} \text{GMM}_0(\rho_r|\mu_0, v_0) \\
\times \prod_{i=1}^{N} \sum_{r=1}^{L-1} \text{GMM}_r(v_i|\rho_r) \times \prod_{u=0}^{1} \frac{\Gamma(T_u + \delta_u)}{\Gamma(\sum_{u=0}^{T_u + \delta_u})} \\
\times \prod_{m=1}^{L} \sum_{v=1}^{V} \frac{\Gamma(T^0_{m}(v) + \alpha_v)}{\Gamma(\sum_{v=1}^{T^0_{m}(v) + \alpha_v})} \times \prod_{u=1}^{V} \frac{\Gamma(T^1_{u}(v) + \omega_v)}{\Gamma(\sum_{v=1}^{T^1_{u}(v) + \omega_v})}
\]

where the \( R \) and \( T \) counts are defined similarly as in the Expected Position model.

We use collapsed Gibbs sampling to compute samples from this posterior. The sampling sequence
randomly initializes the hidden variables. For a chosen solution set \( S_i \), the sampler draws \( N_P \) levels \( b_1 \), one at a time conditioned on the assignments to all other hidden variables of the model. Then the inversion vector \( v_i \) is created by sampling each \( v_{ij} \) in turn. At this point, the complexity level assignments \( z_i \) can be done deterministically given \( b_i \) and \( v_i \). Then the words in each solution set are sampled one at a time. For the dispersion parameters, \( \rho \), the normalization constant of the conjugate prior is not known. We sample from the unnormalized GMM\(_0\) distribution using slice sampling.

Other hyperparameters of the model are tuned using development data. The language model Dirichlet concentrations \( (\alpha, \omega) \) are chosen to encourage sparsity and \( \beta > 1 \) as in the position model. We run the Gibbs sampler for 5,000 iterations; the dispersion parameters are resampled every 10 iterations. The last sample is used as a draw from the posterior.

### 4.3 Model Output

In this section we present examples of the complexity assignments created by our models. Table 3 shows the output of the permutations-based model with 20 levels. Each row contains the highest probability words in a single level (from the distribution \( \phi_m \)). For the sake of brevity, we only show the two least and most complex levels. In general, we observe more specialized, technical terms in higher levels (e.g., restore, scanreg, registry) which one would expect to correlate with complex solutions. Also note that higher levels contain uncertainty denoting words (e.g., can, find, may) which again are indicative of increasing complexity.

Using these complexity vocabularies, our models can compute the expected complexity for any solution text, \( x \). This value is given by \( \sum_{m=1}^{L} m \cdot p(m|x) \). We estimate the second term, \( p(m|x) \), using a) the complexity level language models \( \phi_m \) and b) a prior over levels given by the overall frequency of different levels on the training data. Table 4 presents examples of solution texts from our training data and their expected complexity under the position-model. We find that the model is able to distinguish intuitively complex solutions from simpler ones. Aside from measuring expected complexity in absolute terms, our models can also order solutions in terms of relative complexity (see the evaluation in Section 5) and assign a complexity value to a problem as a whole.

### Table 3: Most likely words assigned to varying complexity levels by the permutations-based model.

| Level 1 | Level 2 | Level 19 | Level 20 |
|---------|---------|----------|----------|
| free, space, hard, drive, sure, range, make, least, more, operate, than, point, less, cause, slowly, access, may, problem | use, can, media, try, center, signal, network, make, file, sure, when, case, with, change, this, setting, type, remove | system, file, this, restore, do, can, then, use, hard, issue, NUM, will, disk, start, step, above, run, cleanup, drive, xp | registry, restore, may, virus, use, bio, setting, scanreg, first, ensure, can, page, about, find, install, additional, we, utility |

### Table 4: Example solutions with low, medium and high expected complexity values (position-based model with 10 complexity levels). The solutions come from various problem-solution sets in the training corpus. Expected complexity values are shown in the first column.

| Low complexity | Medium complexity | High complexity |
|----------------|------------------|----------------|
| 1.0 Cable(s) of new external device are loose or power cables are unplugged. Ensure that all cables are properly and securely connected and that pins in the cable or connector are not bent down. | 5.7 Choose a lower video setting for your imported video. | 10.0 If you are getting stopped at the CD-KEY or Serial Number verification, verify you are entering your correct number. If you lost your number or key or it does not work, you will need to contact the developer of the program. Computer Hope will not provide any users with an alternate identification number. |
| 1.0 Make sure your computer has at least 50MB of free hard drive space. If your computer has less than 50MB free, it may cause the computer to operate more slowly. | 5.5 The file property is set to read-only. To work around this issue, remove the read-only property. For more information about file properties, see View the properties for a file. | 10.0 The network controller is defective. Contact an authorized service provider. |
| 1.0 If the iPhone is in a protective case, remove it from the case. If there is a protective film on the display, remove the film. | 5.1 The system is trying to start from a media device that is not bootable. Remove the media device from the drive. | 9.9 Network controller interrupt is shared with an expansion board. Under the Computer Setup Advanced menu, change the resource settings for the board. |

| 1.0 If the iPhone is in a protective case, remove it from the case. If there is a protective film on the display, remove the film. | 5.1 The system is trying to start from a media device that is not bootable. Remove the media device from the drive. | 9.9 Network controller interrupt is shared with an expansion board. Under the Computer Setup Advanced menu, change the resource settings for the board. |

| 1.0 If the iPhone is in a protective case, remove it from the case. If there is a protective film on the display, remove the film. | 5.1 The system is trying to start from a media device that is not bootable. Remove the media device from the drive. | 9.9 Network controller interrupt is shared with an expansion board. Under the Computer Setup Advanced menu, change the resource settings for the board. |
Low Complexity Problems
- Computer appears locked up and will not turn off when the power button is pressed.
- A USB device, headphone, or microphone is not recognized by the computer.
- Computer will not respond to USB keyboard or mouse.

High Complexity Problems
- Game software and driver issues.
- Incorrect, missing or stale visible networks.
- I get an error message that says that there is not enough disk space to publish the movie. What can I do?
- Power LED flashes Red four times, once every second, followed by two second pause, and computer beeps four times.

Table 5: Least and most complex problems based on the expected complexity of their solution set. Problems are shown with complexity 1–3 (top) and 8–9 (bottom) using the position-based model.

As mentioned earlier, our models only observe the relative ordering of solutions to individual problems; the relative complexity of two solutions from different problems is not known. Nevertheless, the models are able to rate solutions on a global scale while accommodating problem-specific ordering sequences. Specifically, we can compute the expected complexity of the solution set for problem $i$, using the inferred distribution over levels $\theta_i$: $\sum_{m=1}^{L} m \times \theta_{im}$. Table 5 shows the complexity of different problems as predicted by the position model (with 10 levels). As can be seen, easy problems are associated with accessory components (e.g., mouse or keyboard), whereas complex problems are related to core hardware and operating system errors.

5 Evaluation Experiments

In the previous section, we showed how our models can assign an expected complexity value to a solution text or an entire problem. Now, we present evaluations based on model ability to order solutions according to relative complexity.

5.1 Solution Ordering Task

We evaluated our models by presenting them with a randomly permuted set of solutions to a problem and examining the accuracy with which they reorder them from least to most complex. At first instance, it would be relatively straightforward to search for the sequence of solutions which has high likelihood under the models. Unfortunately, there are two problems with this approach. Firstly, the likelihood under our models is intractable to compute, so we would need to adopt a simpler and less precise approximation (such as the Hidden Markov Model discussed below). Secondly, when the solution set size is large, we cannot enumerate all permutations and need to adopt an approximate search procedure.

We opted for a discriminative ranking approach instead which uses the generative models to compute a rich set of features. This choice allows us to simultaneously obtain features tapping on to different aspects learned by the models and to use well-defined objective functions. Below, we briefly describe the features based on our generative models. We also present additional features used to create baselines for system comparison.

Likelihood We created a Hidden Markov Model based on the sample from the posterior of our models (for a similar HMM approximation of a Bayesian model see Elsner et al. (2007)). For our model, the HMM has $L$ states, and each state $s_m$ corresponds to a complexity level $d_m$. We used the complexity language models $\phi_m$ estimated from the posterior as the emission probability distribution for the corresponding states. The transition probabilities of the HMM were computed based on the complexity level assignments for the training solution sequences in our posterior sample. The probability of transitioning to state $s_j$ from state $s_i$, $p(s_j|s_i)$, is the conditional probability $p(d_j|d_i)$ computed as $\frac{c(d_i,d_j)}{c(d_i)}$, where $c(d_i, d_j)$ is the number of times the complexity level $d_j$ is assigned to a solution immediately following a solution which was given complexity $d_i$. $c(d_i)$ is the number of times complexity level $d_i$ is assigned overall in the training corpus. We perform Laplace smoothing to avoid zero probability transitions between states:

$$p(s_j|s_i) = \frac{c(d_i, d_j) + 1}{c(d_i) + L}$$ (4)

This HMM formulation allows us to use efficient dynamic programming to compute the likelihood of a sequence of solutions.

Given a solution set, we compute an ordering as follows. We enumerate all orderings for sets with size less than 6, and select the sequence with the highest likelihood. For larger sizes, we use a simulated annealing search procedure which swaps two adjacent solutions in each step. The temperature was set to 50 initially and gradually reduced to 1. These
values were set using minimal tuning on the development data. After estimating the most likely sequence for a solution set, we used the predicted rank of each solution as a feature in our discriminative model.

**Expected Complexity** As mentioned earlier, we computed the expected complexity of a solution \( x \) as \( \left[ \sum_{m=1}^{L} m \cdot p(m|x) \right] \), where the second term was estimated using a complexity level specific language model \( \phi_m \) and a uniform prior over levels on the test set. As additional features, we used the solution’s perplexity under each \( \phi_m \), and under each of the technical topics \( \lambda_i \), and also the most likely level for the text \( \arg \max_m p(m|x) \). Finally, we included features for each word in the training data. The feature value is the word’s expected level multiplied by the probability of the word in the solution text.

**Length** We also investigated whether solution length is a predictor of complexity (e.g., simple solutions may vary in length and amount of detail from complex ones). We devised three features based on the number of sentences (within a solution), words, and average sentence length.

**Syntax/Semantics** Another related class of features estimates solution complexity based on sentence structure and meaning. We obtained eight syntactic features based on the number of nouns, verbs, adjectives and adverbs, prepositions, pronouns, wh-adverbs, modals, and punctuation. Other features compute the average and maximum depth of constituent parse trees. The part-of-speech tags and parse trees were obtained using the Stanford CoreNLP toolkit (Manning et al., 2014). In addition, we computed 10 semantic features using WordNet (Miller, 1995). They are the average number of senses for each category (noun, verb, adjective/adverb), and the maximum number of senses for the same three classes. We also include the average and maximum lengths of the path to the root of the hypernym tree for nouns and verbs. This class of features roughly approximates the indicators typically used in predicting text readability (Schwarm and Ostendorf, 2005; McNamara et al., 2014).

### 5.2 Experimental Setup

We performed 10-fold cross-validation. We trained the ranking model on 240 problem-solution sets; 30 sets were reserved for development and 30 for testing (in each fold). The most frequent 20 words in each training set were filtered as stopwords. The development data was used to tune the parameters and hyperparameters of the models and the number of complexity levels. We experimented with ranges [5–20] and found that the best number of levels was 10 for the position model and 20 for the permutation-based model, respectively. For the expected position model, positions were normalized before training. Let solution \( x_{ir} \) denote the \( r \)th solution in the solution set for problem \( P_i \), where \( 1 \leq r \leq N_{Pi} \). We normalize \( r \) to a value between 0 and 1 using a min-max method: \( r' = \frac{r - 1}{N_{Pi} - 1} \). Then the \([0–1]\) range is divided into \( k \) bins. The identity of the bin containing \( r' \) is taken as the normalized position, \( r \). We tuned \( k \) experimentally during development and found that \( k = 3 \) performed best.

For our ordering experiments we used Joachims’ (2006) SVMRank package for training and testing. During training, the classifier learns to minimize the number of swapped pairs of solutions over the training data. We used a linear kernel and the regularization parameter was tuned using grid search on the development data of each fold. We evaluate how well the model’s output agrees with gold-standard ordering using Kendall’s \( \tau \).

### 5.3 Results

Table 6 summarizes our results (average Kendall’s \( \tau \) across folds). We present the results of the discriminative ranker when using a single feature class based on likelihood and expected complexity (Position, Permutation), length, and syntactico-semantic features (SynSem), and their combinations (denoted via +). We also report the performance of a baseline which computes a random permutation for each solution set (Random; results are averaged over five runs). We show results for all solution sets (All) and broken down into different set sizes (e.g., 2–3, 4–5).

As can be seen, the expected position model obtains an overall \( \tau \) of 0.30 and the permutation model of 0.26. These values lie in the range of human annotator agreement with the FAQ order (see Section 3.2). In addition, we find that the models perform consistently across solution set sizes, with even higher correlations on longer sequences where our methods are likely to be more useful. Position and Permutation outperform Random rankings and a model based solely on Length features. The
SynSem features which measure the complexity of writing in the text are somewhat better but still inferior compared to Position and Permutation. Using a paired Wilcoxon signed-rank test, we compared the \( \tau \) values obtained by the different models. The Position and Permutation performed significantly better \( (p < 0.05) \) compared to Random, Length and SynSem baselines. However, \( \tau \) differences between Position and Permutation are not statistically significant. With regard to feature combinations, we observe that both models yield better performance when combined with Length or SynSem. The Position model improves with the addition of both Length and SynSem, whereas the Permutation model combines best with SynSem features. The Position+SynSem+Length model is significantly better than Permutation \( (p < 0.05) \) but not Permutation+SynSem+Length or Position alone (again under the Wilcoxon test).

These results suggest that the solution ordering task is challenging with several factors influencing how a solution is perceived: the words used and their meaning, the writing style of the solution, and the amount of detail present in it. Our data comes from the FAQs produced by computer and operating system manufacturers and other well-managed websites. As a result, the text in the FAQ solutions is of high quality. However, the same is not true for community-generated solution texts on discussion forums. In the latter case, we conjecture that the style of writing is likely to play a bigger role in how users perceive complexity. We thus expect that the benefit of adding Length and SynSem features will become stronger when we apply our models to texts from online forums.

We also computed how accurately the models identify the least and most complex solutions. For solution sets of size 5 and above (so that the task is non-trivial) we computed the number of times the rank one solution given by the models was also the easiest according to the FAQ gold-standard. Likewise, we also computed how often the models correctly predict the most complex solution. With Random rankings, the easiest and most difficult solutions are predicted correctly 15% of the time. Getting both correct for a single problem happens only 3% of the time. The Position+Length model overall performs best, identifying the easiest and most difficult solution 36% of the time. Both types of solution are identified correctly 18% of the time. Interestingly, the generative models are better at predicting the most difficult solution \( (35-37\%) \) compared to the easiest one \( (28-36\%) \). One reason for this could be that there are multiple easy solutions to try out but the most difficult one is probably more unique and so easier to identify.

Overall, we observe that the two generative models perform comparably, with Position having a slight lead over Permutation. A key difference be-

### Table 6: Kendall’s \( \tau \) values on the solution reordering task using 10-fold cross-validation and SVM ranking models with different features. The results are broken down by solution set size (the number of sets per size is shown within parentheses). Boldface indicates the best performing model for each set size.

| Model         | Solution set sizes | Rank 1 | Rank n | Both |
|---------------|--------------------|--------|--------|------|
|               | 2–3 | 4–5 | > 5 | All |
| Random        | (133) | (81) | (86) | (300) | 15.3 | 14.6 | 3.4 |
| Length        | -0.052 | -0.066 | -0.028 | -0.002 | 0.018 | 0.059 | 6.8 |
| SynSem        | 0.253 | 0.274 | 0.356 | 0.234 | 3.480 | 3.030 | 19.9 |
| Length+SynSem | 0.283 | 0.251 | 0.363 | 0.297 | 3.063 | 3.098 | 17.2 |

Table 7: Model accuracy at predicting the easiest solution correctly (Rank 1), the most difficult one (Rank n), or both. Bold face indicates the best performing model for each rank.

| Model         | Rank 1 | Rank n | Both |
|---------------|--------|--------|------|
| Random        | 15.3   | 14.6   | 3.4  |
| Length        | 18.1   | 16.3   | 6.8  |
| SynSem        | 19.8   | 19.8   | 4.3  |
| SynSem+Length | 20.6   | 25.0   | 5.1  |
| Position      | 31.0   | 37.0   | 13.7 |
| +Length       | 36.2   | 36.2   | 18.9 |
| +SynSem       | 34.4   | 35.3   | 16.3 |
| +SynSem+Length| 36.2   | 35.3   | 17.2 |
| Permutation   | 28.4   | 37.0   | 12.0 |
| +Length       | 30.1   | 37.0   | 15.5 |
| +SynSem       | 32.7   | 37.0   | 13.7 |
| +SynSem+Length| 32.7   | 37.9   | 13.7 |
tween the models is that during training Permutation observes the full ordering of solutions while Position observes solutions coming from a few normalized position bins. Also note that in the Permutation model, multiple solutions with the same complexity level are grouped together in a solution set. This property of the model is advantageous for ordering as solutions with similar complexity should be placed adjacent to each other. At the same time, if levels 1 and 2 are flipped in the permutation sampled from the GMM, then any solution with complexity level 1 will be ordered after the solution with complexity 2. The Position model on the other hand, contains no special facility for grouping solutions with the same complexity. In sum, Position can more flexibly assign complexity levels to individual solutions.

6 Conclusion

This work contains a first proposal to organize and navigate crowd-generated troubleshooting data according to the complexity of the troubleshooting action. We showed that users perceive and agree on the complexity of alternative suggestions, and presented Bayesian generative models of the troubleshooting data which can sort solutions by complexity with a performance close to human agreement on the task. Our results suggest that search and summarization tools for troubleshooting forum archives can be greatly improved by automatically predicting and using the complexity of the posted solutions. It should also be possible to build broad coverage automated troubleshooting systems by bootstrapping from conversations in discussion forums. In the future, we plan to deploy our models in several tasks such as user authority prediction, expert intervention, and thread analysis. Furthermore, we aim to specialize our models to include category-specific complexity levels and also explore options for personalizing rankings for individual users based on their knowledge of a topic and the history of their troubleshooting actions.

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