Management of intrathoracic tracheal stenosis: a challenge

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Abstract

Background: Tracheal stenosis is a complicated surgical problem, which because of endoscopic techniques can be managed by less invasive procedures. In our case series of three patients with intrathoracic tracheal stenosis following prolonged use of tracheostomy tube, we describe the initial assessment, investigations, management, and post-operative results of the same. In our series, all three patients were tracheostomised (with a metallic tube) for more than 3 months. This resulted in chronic abrasion of intraluminal tracheal mucosa at thoracic level. We managed them with conservative trans-oral and trans-tracheal laser-assisted release instead of open surgeries like trachea-tracheal resection and anastomosis or Crico-tracheal resection and anastomosis.

Results: In our case series, we chose transoral bronchoscopic fibre laser-assisted release followed by dilatation for one patient and trans-tracheal release for the other two. In the trans-oral case, we faced difficulty in controlling the long length of instruments, thereby effecting the control over the laser tip and precision of cut. During the revision surgery for the same patient, we faced difficulty in securing the airway below the stenosis as it was a low stenosis. In patients 2 and 3, we approached the stenosis site via tracheostomy site, thereby significantly reducing the working length of instruments. This gave us better control over the instruments and we attained better precision in radial incisions. Moreover, if there had been an intraoperative bleeding or aspiration, we could have easily secured the airway by FROVA as distance between stoma and stenosis site was not very long.

Conclusion: CO2 Lase-assisted stenosis release has a very promising result in up to grade III thoracic tracheal stenosis. A good preplanning with anaesthetists and cardiothoracic surgeons for tailor made approach is a must. Intermittent apnoea technique of anaesthesia really helps in such cases of intrathoracic tracheal stenosis. We advocate to always use soft material tracheostomy tube to prevent mucosal abrasion, to avoid a low tracheostomy without appropriate and to proper fix the tracheostomy tube and try for early decannulation.

Keywords: Intrathoracic trachea, Stenosis

Background

Trachea is divided into cervical and thoracic parts by the superior border of manubrium sternum. Cervical tracheal stenosis (2nd tracheal ring) is the most common site of tracheal stenosis, usually due to intubation injury or tracheostomy site trauma [1]. In such cases, despite taking measures to prevent post-intubation injury, tracheal stenosis is seen. This is because of overinflation of nonelastic plastic cuffs or leverage on tracheostomy tubes [2].

In our series, all three patients were tracheostomised (with a metallic tube) for more than 3 months. This resulted in chronic abrasion of intraluminal tracheal mucosa at thoracic level. We managed them with conservative trans-oral and trans-tracheal laser-assisted release instead of open surgeries like trachea-tracheal resection and anastomosis or Crico-tracheal resection and anastomosis.

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Methods
Patient assessment
All three patients were seen in the outpatient department of a tertiary care hospital. All three were males aged 26, 28, and 30 years. The three of them had a similar past history of being intubated following head injury with 7-mm endotracheal tube. Tracheostomy was done on days 16, 18, and 16, respectively, with a mean duration of intubation of 16.33 days. The level of tracheostomy for all three was 4–5th ring. They were discharged with a Chevalier-Jackson metal tracheostomy tube in situ of size 28, 28, and 30, respectively.

Patient 1 was decannulated after 2 weeks while patients 2 and 3 had the tracheostomy tube in place when they presented to us. All three patients presented to us with an average of 3 months of tracheostomy in situ. They had complains of difficulty in breathing and noisy breathing. On examination, they were in biphasic stridor.

Laryngoscopy was done which revealed normal larynx up to the subglottic level. Computed tomography with virtual bronchoscopy reconstruction revealed tracheal stenosis (grades II–III) about 3 cm above the carina (Fig. 1). The stenosis portion was redesigned on 3D paint software to attain a 3-dimensional orientation (Fig. 2). For further assessment of the stenosis segment, its level, length, and thickness, all three patients were subjected to a 0° Hopkin telescopic examination under general anaesthesia using the intermittent apnoea technique. All three patients had the stenosis portion was redesigned on 3D paint software to attain a 3-dimensional orientation [3] (Fig. 3). The management of each of the three scenarios is discussed ahead.

Case presentation
Patient 1
A 30-year-old man presented to us after undergoing decannulation 12 weeks ago. After the assessment of
stenosis Fig. 8a, he was taken up for surgery. A 7.5-mm rigid bronchoscope was introduced trans orally along with a 4-mm 0° Hopkin telescope, and the CO₂ laser was used to release the stenosis. Radial incisions were given in the Mercedes Benz (Fig. 4), using 2.5-mm Acupulse Fibrelase HP-R 240-mm bent handle with straight tip CO₂ fiber delivery system (Laser Machine details). Following the laser release, the stenotic area was dilated by means of serially increasing sizes of bougies. The whole procedure was performed using intermittent apnoea technique, and the setup is shown in Fig. 5 and schematically represented in Fig. 6.

Patients 2 and 3
Twenty-eight- and 16-year-old men had presented to us with stridor with metallic tracheostomy tubes in situ. Their tracheostomy tube was replaced with a soft silicone tube of same size, and a swab from local site was sent. Based on the culture and sensitivity report, intravenous antibiotics were given while the assessment of stenosis was carried on (Figs. 9a and 10a).

In both cases, the stenosis was addressed via the tracheal stoma (Fig. 7). 0° Hopkin telescope and Acupulse fiberlase HP-R 140-mm bent handle with straight tip CO₂ fiber delivery system were simultaneously introduced via the stoma. The procedure was carried out under general anaesthesia using the intermittent apnoea technique (My video 1). Radial incisions were given in Mercedes Benz pattern followed by dilatation by serial size bougies.

Post-operative course
Patient 1 was found to have developed grade III stenosis during his 3-month-follow-up period. Repeat dilatation was planned but unfortunately he succumbed due to

| Classification of obstruction | From | To |
|------------------------------|------|----|
| Grade I                      | 0%   | 50%|
| Grade II                     | 51%  | 70%|
| Grade III                    | 71%  | 99%|
| Grade IV                     | No detectable lumen |
sudden cardiac arrest due to inadequate ventilation. But he got revived successfully.

Patients 2 and 3 were decannulated after the stenosis incision and dilatation and were discharged after 7 days of observation (Table 1). They were followed-up for airway assessment using a flexible bronchoscope under general anaesthesia every 3 months for 1 year. They had developed grade I stenosis with no clinical symptoms and are doing well (Figs. 8b, 9b, and 10b).

**Results**

| Approach       | No. of cases | Tracheostomy status at presentation | Laser type                  | Handpiece length | Repeat dilatation | Result                  |
|----------------|--------------|-----------------------------------|-----------------------------|------------------|--------------------|-------------------------|
| Transoral      | 1            | Decannulated                       | CO₂ laser fiberlase delivery system | 240mm            | Once               | Recovered without stenosis |
| Transtracheal  | 2            | In situ at 4–5th ring              | CO₂ laser fiberlase delivery system | 140mm            | None               | Grade I stenosis         |

**Discussion**

The use of endotracheal and tracheostomy tubes is the most common cause for tracheal stenosis, ranging from 6 to 21% and 0.6 to 21%, respectively [4]. Most of these stenosis are located in the upper (cervical) trachea. The usual sites for tracheostomy-associated tracheal stenosis are stomal site, tracheostomy tube genu, cuff, and the tip region [5].

Iatrogenic thoracic tracheal stenosis is not a common entity. A study by Pearson et al. [6] conducted in Toronto University had a total of 25 strictures in 24 individuals...
in 8 years. Out of these, 18 occurred in the cervical trachea and 7 occurred in thoracic trachea at the level of the inflatable cuff. Another study by Galluccio et al. [7] described the management of 209 cases of tracheal stenosis over a period of 10 years. Amongst these stenoses, 21 were in the subglottis, 178 in the upper trachea, and only 10 in the mid/lower trachea. We found no other studies which described more than 3 cases of intrathoracic tracheal.

As stenosis of thoracic trachea is not a common entity, there are no fixed guidelines for their management. The management of tracheal stenosis in general is highly controversial with respect to open surgical techniques vs endoscopic procedures. Earlier, open surgical approaches with end-to-end anastomosis were
considered as treatment of choice with a success rate of more than 90%, failure rate of 5–15%, and mortality rate up to 5% [2]. With the use of endoscope, an alternative and less invasive approach to tracheal stenosis was proposed.

Over the years, various endoscopic and open techniques have been proposed such as serial dilatation with rigid bronchoscope [8], bronchoscopic angioplastic balloon dilatation [9], Mercedes Benz radial incision over tracheal stenosis [10], placement of endoprosthesis [11] thoracotomy with tracheotracostomy tube to prevent mucosal abrasion, and mortality. We advocate to always use soft material tracheostomy tube to avoid a low tracheostomy without appropriate, and to proper fix the tracheostomy tube and try for early decannulation.

Conclusions
CO2 laser-assisted stenosis release has a very promising result in up to grade III thoracic tracheal stenosis. Tracheostomy when performed in such cases reduces the work length from stenosis site for better control of instruments and better post-operative results. A good preplanning with anaesthetists and cardiothoracic surgeons for tailor made approach is a must. Intermittent apnoea technique of anaesthesia really helps in such cases of intrathoracic tracheal stenosis. It also avoids major procedure of heart lung bypass. And hence, there are less postoperative morbidity and mortality. We advocate to always use soft material tracheostomy tube to prevent mucosal abrasion, to avoid a low tracheostomy without appropriate, and to proper fix the tracheostomy tube and try for early decannulation.

Supplementary Information
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Authors’ contributions
Dr. N. S has operated these cases and written this article. Dr. A. S has done the data analysis. Dr. S. A has helped in getting the references. Dr. R. M has helped in the photographs of this case. The authors have read and approved the manuscript and ensure that this is the case.

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Availability of data and materials
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Declarations
Ethics approval and consent to participate
Ethics approval was waived by the Institutional Ethics Committee (IEC)-II Relating to Biomedical & Health Research, Seth GS Medical College & KEM Hospital, Mumbai (IEC08/D/OL/T/735/2021). Informed written consent to participate in the study was provided by all participants.

Consent for publication
Informed written consent to publish was provided by all participants.

Competing interests
The authors have no conflict of interest to declare.

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