Meson–Photon Transition Form Factors

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Abstract. We present the results of our recent analysis of the meson–photon transition form factors \( F_{P \gamma \gamma}(Q^2) \) for the pseudoscalar mesons \( P = \pi^0, \eta, \eta', \eta_c \), using the local-duality version of QCD sum rules.

Keywords: pseudoscalar meson, form factor, QCD, QCD sum rule

PACS: 11.55.Hx, 12.38.Lg, 03.65.Ge, 14.40.Be

INTRODUCTION

The processes \( \gamma^* \gamma^* \rightarrow P \) with \( P = \pi^0, \eta, \eta', \eta_c \) are of great interest for our understanding of QCD and of the meson structure. In recent years, extensive experimental information on these processes has become available [1–5].

The corresponding amplitude contains only one form factor, \( F_{P \gamma \gamma}(q_1^2, q_2^2) \):

\[
\langle \gamma^*(q_1) \gamma^*(q_2) | P(p) \rangle = i e \varepsilon_1 \varepsilon_2 q_{1\alpha} q_{2\beta} F_{P \gamma \gamma}(q_1^2, q_2^2).
\]

A QCD factorization theorem predicts this form factor at asymptotically large spacelike momentum transfers \( q_1^2 \equiv -Q_1^2 \leq 0, q_2^2 \equiv -Q_2^2 \leq 0 \) [6]:

\[
F_{P \gamma \gamma}(Q_1^2, Q_2^2) \rightarrow 2e^2 \int_0^1 d\xi \frac{\phi^\text{ass}_P(\xi)}{Q_1^2 \xi + Q_2^2 (1 - \xi)}, \quad \phi^\text{ass}_P(\xi) = 6 f_P \xi (1 - \xi).
\]

Hereafter, we use the notation \( Q^2 \equiv Q_2^2 \) and \( 0 \leq \beta \equiv Q_1^2 / Q_2^2 \leq 1 \) (that is, \( Q_2^2 \) is the larger virtuality). For the experimentally relevant kinematics \( Q_1^2 \approx 0 \) and \( Q_2^2 \equiv Q^2 \), for instance, the pion–photon transition form factor takes the form

\[
Q^2 F_{\pi\gamma}(Q^2) \rightarrow \sqrt{2} f_\pi, \quad f_\pi = 0.130 \text{ GeV}.
\]

Similar relations arise for \( \eta \) and \( \eta' \) after taking into account the effects of meson mixing.

DISPERSSIVE SUM RULES FOR THE \( \gamma^* \gamma^* \rightarrow P \) FORM FACTOR

The starting point for a QCD sum-rule analysis of the \( \gamma^* \gamma^* \rightarrow P \) transition form factor is the amplitude

\[
\langle 0 | j_\mu^5 \gamma^*(q_2) \gamma^*(q_1) \rangle = e^2 T_{\mu \alpha \beta}(p|q_1, q_2) e_1^\alpha e_2^\beta, \quad p = q_1 + q_2,
\]
where \( \varepsilon_{1,2} \) are the relevant photon polarization vectors. This amplitude is considered for 

\[-q_1^2 \equiv Q_1^2 \geq 0 \text{ and } -q_2^2 \equiv Q_2^2 \geq 0. \]

Its general decomposition contains four independent Lorentz structures (see e.g. Refs. [7, 8]) but for our purpose only one structure is needed:

\[ T_{\mu\alpha\beta}(p|q_1,q_2) = p_\mu \varepsilon_{\alpha\beta q_1 q_2} i F(p^2, Q_1^2, Q_2^2) + \cdots. \] (5)

The corresponding invariant amplitude \( F(p^2, Q_1^2, Q_2^2) \) satisfies the spectral representation in \( p^2 \) at fixed \( Q_1^2 \) and \( Q_2^2 \)

\[ F(p^2, Q_1^2, Q_2^2) = \frac{1}{\pi} \int_{s_{th}}^{\infty} \frac{ds}{s-p^2} \Delta(s, Q_1^2, Q_2^2), \] (6)

where \( \Delta(s, Q_1^2, Q_2^2) \) is the physical spectral density and \( s_{th} \) denotes the physical threshold. Perturbation theory yields the spectral density as a series expansion in powers of \( \alpha_s \):

\[ \Delta_{\text{pQCD}}(s, Q_1^2, Q_2^2|m) = \Delta_{\text{pQCD}}^{(0)}(s, Q_1^2, Q_2^2|m) + \frac{\alpha_s}{\pi} \Delta_{\text{pQCD}}^{(1)}(s, Q_1^2, Q_2^2|m) + \cdots, \] (7)

where \( m \) is the mass of the quark propagating in the loop. The lowest-order contribution, \( \Delta_{\text{pQCD}}^{(0)}(s, Q_1^2, Q_2^2|m) \), corresponding to a one-loop triangle diagram with one axial current and two vector currents at the vertices, is well-known [9]. The two-loop \( O(\alpha_s) \) correction to the spectral density was found to vanish [10]. Higher-order corrections are unknown.

The physical spectral density differs dramatically from \( \Delta_{\text{pQCD}}(s, Q_1^2, Q_2^2) \) in the low-s region; it contains the meson pole and the hadronic continuum. For instance, in the \( I = 1 \) channel, one has

\[ \Delta(s, Q_1^2, Q_2^2) = \pi \delta(s - m^2) \sqrt{2} f_\pi F_{\pi\gamma\gamma}(Q_1^2, Q_2^2) + \theta(s - s_{th}) \Delta_{\text{cont}}^{I=1}(s, Q_1^2, Q_2^2). \] (8)

The method of QCD sum rules allows one to relate the properties of the ground states to the spectral densities of QCD correlators. The following steps are conventional within the QCD sum-rule method [11, 12]: equate the QCD and the physical representations for \( F(p^2, Q_1^2, Q_2^2) \); then perform the Borel transform \( p^2 \to \tau \), which suppresses the hadronic continuum; in order to kill then potentially dangerous nonperturbative power corrections which may rise with \( Q^2 \), take the local-duality (LD) limit \( \tau = 0 \) [13]; finally, implement quark–hadron duality in a standard way as low-energy cut on the spectral representation, in order to arrive at the following expression for the ground-state transition form factor:

\[ \pi f_\pi F_{\pi\gamma\gamma}(Q_1^2, Q_2^2) = \int_{4m^2}^{s_{\text{eff}}(Q_1^2, Q_2^2)} ds \Delta_{\text{pQCD}}(s, Q_1^2, Q_2^2|m). \] (9)

All details of the nonperturbative-QCD dynamics are contained in the effective threshold \( s_{\text{eff}}(Q_1^2, Q_2^2) \). The formulation of reliable criteria for fixing effective thresholds proves to be highly nontrivial [11].

At large \( Q_2^2 \equiv Q^2 \to \infty \) and fixed ratio \( \beta \equiv Q_1^2/Q_2^2 \), the effective threshold \( s_{\text{eff}}(Q_1^2, Q_2^2) \) may be determined by suitable matching to the asymptotic pQCD factorization formula.
From this, one finds that, in the general case \( m \neq 0 \), \( s_{\text{eff}}(Q^2 \to \infty, \beta) \) depends on \( \beta \). The only exception to this is the case of massless fermions, \( m = 0 \); in this case the asymptotic factorization formula is reproduced for any \( \beta \) if one sets \( s_{\text{eff}}(Q^2 \to \infty, \beta) = 4\pi^2 f_\pi^2 \). The LD model for the transition form factor emerges when one assumes that, at finite values of \( Q^2 \), \( s_{\text{eff}}(Q^2, \beta) \) may be sufficiently well approximated by its value for \( Q^2 \to \infty \), that is, \( s_{\text{eff}}(Q^2, \beta) \approx \lim_{Q^2 \to \infty} s_{\text{eff}}(Q^2, \beta) \). 

This implies that \( s_{\text{eff}}(Q^2, \beta) = s_{\text{eff}}(Q^2 \to \infty, \beta) \). 

Introducing the abbreviation \( F_{P\gamma}(Q^2) \equiv \frac{s_{\text{eff}}(Q^2)}{2\pi^2 f_P} \) for the pseudoscalar-meson–photon transition form factor, its LD expression for \( Q^2 = 0 \) and \( m = 0 \) reads, in the single-flavour case,

\[
F_{P\gamma}(Q^2) = \frac{1}{2\pi^2 f_P} \frac{s_{\text{eff}}(Q^2)}{s_{\text{eff}}(Q^2) + Q^2}.
\]

Independently of the behaviour of \( s_{\text{eff}}(Q^2) \) at \( Q^2 \to 0 \), \( F_{P\gamma}(Q^2 = 0) \) is related to the axial anomaly [7].

**THE TRANSITION \( \gamma \gamma^* \to P \) IN QUANTUM MECHANICS**

The accuracy of the LD model for the effective threshold may be estimated in quantum mechanics. There, the form factor may be found exactly by some numerical solution [14] of the Schrödinger equation. From this, the exact effective threshold may be calculated: for any given experimental or theoretical form factor, the corresponding exact effective threshold is defined as the quantity that reproduces this form factor by a LD sum rule (9).

The result from a quantum-mechanical model with a harmonic-oscillator potential [7] is shown in Fig. 1. For “light” quarks, the LD threshold gives a very good approximation to the exact threshold for \( Q > 1\text{–}1.5 \text{ GeV} \). For “charm” quarks, the local-duality model works for \( Q > 2\text{–}3 \text{ GeV} \). The accuracy of the LD approximation further increases with \( Q \) in this region.

**FIGURE 1.** The exact effective threshold in quantum mechanics, \( k_{\text{eff}}(Q) \), for two different values of the nonrelativistic constituent quark mass \( m_Q \).
\[ \gamma^* \gamma^* \to \eta_c \text{ FORM FACTOR} \]

In the case of massive quarks, we may exploit not only the correlation function \( \langle AVV \rangle \) as in Eq. (4) but also the correlation function \( \langle PVV \rangle \) [8]. For each of these objects, an LD model may be constructed. By matching to the pQCD factorization formula, we derive \( s_{\text{eff}}(Q^2 \to \infty, \beta) \) for \( \langle AVV \rangle \) and for \( \langle PVV \rangle \). The results of the corresponding calculation for \( \eta_c \) are depicted in Fig. 2. Obviously, the exact effective thresholds corresponding to \( \langle AVV \rangle \) and \( \langle PVV \rangle \), \( s_{\text{eff}}^{AVV}(Q^2 \to \infty, \beta) \) and \( s_{\text{eff}}^{PVV}(Q^2 \to \infty, \beta) \), differ from each other; they also differ from the effective thresholds of the relevant two-point correlation functions.

Assuming that \( s_{\text{eff}}(Q^2, \beta) = s_{\text{eff}}(Q^2 \to \infty, \beta) \), we obtain the results shown in Fig. 2. For the above reasons, at very small \( Q^2 \) the applicability of our LD model is not guaranteed. Nevertheless, applying our LD model down to \( Q^2 = 0 \) predicts \( F_{\eta_c \gamma}(0) = 0.067 \text{ GeV}^{-1} \) from the analysis of \( \langle AVV \rangle \) and \( F_{\eta_c \gamma}(Q^2 = 0) = 0.08 \pm 0.01 \text{ GeV}^{-1} \); this has to be compared with the experimental number \( F_{\eta_c \gamma}(Q^2 = 0) = 0.08 \pm 0.01 \text{ GeV}^{-1} \). Seemingly, the LD model based on the correlator \( \langle PVV \rangle \) gives reliable predictions for a broad range of momentum transfers \( Q^2 \) starting even at very low values of \( Q^2 \) (cf. [15]).

**FIGURE 2.** Form factor for the transition \( \gamma \gamma^* \to \eta_c \): exact effective thresholds \( s_{\text{eff}}^{AVV}(Q^2 \to \infty, \beta) \) (a) and \( s_{\text{eff}}^{PVV}(Q^2 \to \infty, \beta) \) (b); form factors obtained for finite \( Q^2 \) from the LD sum rules for the correlators \( \langle AVV \rangle \) and \( \langle PVV \rangle \) (c); LD model for the correlator \( \langle PVV \rangle \) confronted with experimental data by BABAR [3] (d).
γγ* → (η, η') FORM FACTORS

Here, the mixing of strange and nonstrange components [16] must be taken into account:

\[ F_{\eta \gamma} = F_{n \gamma} \cos \phi - F_{s \gamma} \sin \phi, \quad F_{\eta' \gamma} = F_{n \gamma} \sin \phi + F_{s \gamma} \cos \phi, \quad \phi \approx 38^0, \]  

(12)

with \( n \rightarrow (\bar{u}u + \bar{d}d)/\sqrt{2} \) and \( s \rightarrow \bar{s}s \). The LD expressions for these two form factors read

\[ F_{n \gamma}(Q^2) = \frac{1}{f_n} \int_0 ds \Delta_n(s, Q^2), \quad F_{s \gamma}(Q^2) = \frac{1}{f_s} \int_0 ds \Delta_s(s, Q^2). \]  

(13)

Accordingly, two separate effective thresholds emerge:

\[ s_{\text{eff}}^{(n)}(s) = \frac{4}{f_n^2} \int_0 ds \Delta_n(s, Q^2), \quad s_{\text{eff}}^{(s)}(s) = \frac{4}{f_s^2} \int_0 ds \Delta_s(s, Q^2). \]  

(14)

First of all, we emphasize that the large-\( Q^2 \) behaviour of the \( \eta, \eta', \) and \( \pi^0 \) form factors is determined by the spectral densities of perturbative QCD diagrams and should therefore be the same for all light pseudoscalars [17]. In order to demonstrate this, we observe that the sum rule for \( \langle AVV \rangle \) in the LD limit \( \tau = 0 \) is equivalent to the anomaly sum rule [18]

\[ F_{\pi \gamma}(Q^2) = \frac{1}{2\sqrt{2}\pi^2f_\pi} \left[ 1 - 2\pi \int_{s_{\text{th}}}^{\infty} ds \Delta_{\text{cont}}^{1}(s, Q^2) \right]. \]  

(14)

Similar relations arise for the \( I = 0 \) and the \( \bar{s}s \) channels. As shown in Ref. [17], the form factors \( F_{\pi \gamma}(Q^2), F_{\eta \gamma}(Q^2), \) and \( F_{\eta' \gamma}(Q^2) \) at large \( Q^2 \) are determined by the behaviour of the appropriate \( \Delta_{\text{cont}}(s, Q^2) \) at large \( s \). By quark–hadron duality, the latter are equal to the corresponding \( \Delta_{\text{pQCD}}(s, Q^2) \); these are purely perturbative quantities and therefore equal to each other for the different channels.
However, the BABAR data for the π transition form factor exhibit a clear disagreement with both the η, η' form factors and the LD model at $Q^2$ as large as 40 GeV$^2$. Moreover, opposite to findings in quantum mechanics, the violations of LD rise with $Q^2$ even in the region $Q^2 \approx 40$ GeV$^2$! We thus conclude that the BABAR results are hard to understand in QCD (see also [19]). Noteworthy, recent Belle measurements of the πγ form factor—although being statistically consistent with the BABAR findings (see [20, 21])—are fully compatible with the η and η' data as well as with the onset of the LD regime already in the region $Q^2 \geq 5–10$ GeV$^2$, in full agreement with our quantum-mechanical experience.

**CONCLUSIONS**

We studied the π$^0$, η, η', and ηc transition form factors by QCD sum rules in LD limit; the key parameter—the effective continuum threshold—was determined by matching the LD form factors to QCD factorization formulas. Our main conclusions are the following:

- For all $P \to \gamma\gamma^*$ form factors studied, the LD model should work well in a region of $Q^2$ larger than a few GeV$^2$: the LD model works reasonably well for the $\eta \to \gamma\gamma^*$, $\eta' \to \gamma\gamma^*$, and $\eta_c \to \gamma\gamma^*$ form factors. For $\pi^0 \to \gamma\gamma^*$, the BABAR data indicate an extreme violation of local duality, prompting a linearly rising (instead of a constant) effective threshold. In contrast to this, the Belle data exhibit an agreement with the predictions of the LD model.
- Nevertheless, a better fit to the full set of the meson–photon form-factor data seems to prefer a small logarithmic rise of $Q^2F_{\gamma\gamma}(Q^2)$ [17]. If established experimentally, this rise...
would require the presence of a 1/s duality-violating term in the ratio of the hadron and the QCD spectral densities.

• A high accuracy of the LD model has implications for the pion’s elastic form factor: we can show that the accuracy of the LD model for the elastic form factor increases with $Q^2$ in the region $Q^2 \approx 4–8 \text{ GeV}^2$ [7]. The accurate data on the pion form factor suggest that the LD limit for the effective threshold, $s_{\text{eff}}(Q^2 \to \infty) = 4\pi^2 f_\pi^2$, may be reached already at $Q^2 = 5–6 \text{ GeV}^2$. This property should be testable with the JLab upgrade CLAS12.

ACKNOWLEDGMENTS

D.M. was supported by the Austrian Science Fund (FWF) under project no. P22843.

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