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Scaling Up Parenting Interventions is Critical for Attaining the Sustainable Development Goals

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Abstract

Of all the potentially modifiable influences affecting children’s development and mental health across the life course, none is more important than the quality of parenting and family life. In this position paper, we argue that parenting is fundamentally linked to the development of life skills that children need in order to achieve the United Nations Sustainable Development Goals. We discuss key principles that should inform the development of a global research and implementation agenda related to scaling up evidence-based parenting support programs. Research over the past 50 years has shown that parenting support programs of varied intensity and delivery modality can improve a wide range of developmental, emotional, behavioral and health outcomes for parents and their children. Such findings have been replicated across culturally and socioeconomically diverse samples, albeit primarily in studies from Western countries. We highlight the evidence for the relevance of parenting interventions for attaining the SDGs globally, and identify the barriers to and strategies for achieving their scale-up. The implications of the global COVID-19 pandemic for the delivery of evidence-based parenting support are also discussed.

Keywords: Sustainable Development Goals, child development, parenting, evidence-based parenting support
In 2015, more than 190 world leaders committed to 17 United Nations Sustainable Development Goals (SDGs) for ending extreme poverty, fighting inequality and injustice, and addressing problems of climate change. The health and well-being of future generations of children are key to attaining these goals. Children constitute a quarter of the world’s population[1] with the majority living in low- and middle-income countries (LMICs). More than 40% of children in LMICs are at risk of falling behind developmental milestones by the age of 5 years, based on the proxy indicators of poverty and stunting[2]. Positive parent-child relationships in early life can protect against these and other adversities, and exert positive impacts across all areas of development including language and communication, executive function and self-regulation, sibling and peer relationships, academic attainment, and mental and physical health[3]. Projecting such outcomes into later childhood, adolescence and adulthood, we contend that parenting support programs have vast untapped potential to address many of the SDGs from individual to family, community, and global environmental goals (see Table 1). Clark et al.[4] argued that children and families must be at the centre of collective efforts to ensure that by age 18, they are optimally healthy, educated, engaged in productive citizenship and act as stewards of the Earth. Yet parenting interventions have been relatively overlooked in the global movement to promote child development, and their potential to address the SDGs is largely untested. This article aims to highlight the evidence for the relevance of parenting interventions for attaining the SDGs globally, and the barriers to and strategies for achieving their scale-up.

Insert Table 1 here

The influence of parenting practices on child development and the SDGs

The pervasive influence of parenting on children’s development and well-being has been established through longitudinal research and hundreds of parenting intervention trials.
Substantial evidence attests to the importance of parenting and the family environment on children’s development and life course opportunities. However, parental behavior needs to be understood in the wider ecological framework of both non-modifiable and potentially modifiable determinants that can be influenced through participation in parenting programs (see Figure 1).

Insert Figure 1 here

Children are at greater risk of developing serious mental and physical health problems when growing up in harsh, punitive or unpredictable homes characterised by family conflict and violence, abuse and neglect, low levels of positive interaction, insecure attachment, inconsistent discipline, and lack of clear boundaries and limits[5,6]. Adverse childhood conditions, particularly when they cluster together, cause continuous or intolerable levels of strain, referred to as ‘toxic stress’. This stress is toxic in that it impacts not only the metabolic system resulting in higher levels of sugar and inflammation, increasing the risk of diabetes and heart disease in adulthood, but also critically impacts on brain development, particularly affecting the areas responsible for learning and decision making[7]. This in turn impacts on the ability of a child exposed to such stresses to achieve their academic and social potential. In addition, children raised in chronically stressful environments are more likely to suffer adverse long-term effects on physical and mental health as adults, and their life expectancy is shorter[6]. Such chronically stressful environments are particularly damaging when both adverse social determinants (e.g., poverty) and negative parenting behaviors co-occur[8].

Protective factors include wider distal societal, environmental and structural factors such as safety and security, policies such as maternity and paternity leave, and stable clean housing and healthy environments[9]. We also know that proximal protective factors within families can buffer adverse social determinants. These protective factors include a predictable
environment and the nurturing and responsive care of a responsible caregiver who can not only safeguard a child from adverse experiences and influence the development and strengthening of neural pathways, but actually model and promote key behaviors and skills which build children’s resilience and self-regulatory abilities. Protective factors within the family can also protect against within-family risks[10]. Parental modelling of social and self-regulatory skills, emotional climate (involving both secure parent-child attachment and positive couple relationships), contingent positive responding, and effective non-violent discipline and limit setting [11,12] are key mechanisms by which parenting impacts child development, adult well-being and community connectedness and functioning. Through parents’ example, reinforcement, and contingency management strategies, children learn language, social, emotional, and problem solving skills, and to deal with dysregulated emotions such as frustration and anger[8]. The same mechanisms can be activated to promote adult well-being related to the SDGs, such as developing better emotion regulation, anger management and reducing family conflict and gender-based violence. This applies intergenerationally to parents as program participants who learn these skills while imparting and role modelling them to future generations of children.

While the newly launched Nurturing Care Framework by WHO, UNICEF and the World Bank[13] recommends early child development programs as critical to supporting early brain growth and development, this framework also clearly recognises the need to develop a lifecourse approach which supports children and their families from conception to adulthood. It is useful to distinguish between early child development programs that focus on care provided by others (e.g., high quality child care) and programs that specifically target parents and support the parenting role. The early focus on the first thousand days has been expanded to address the evidence of a ‘fade out’ of such early interventions[14]. A key implication of these recommendations is to increase global access to evidence-based
parenting support (EBPS) which refers to a broad range of scientifically supported parenting interventions that can form a comprehensive population-based approach to optimally supporting parents and children. Such a public health approach blends universal and targeted program components for both the prevention and treatment of social, emotional and behavioral problems in childhood.

**The evidence on parenting support**

EBPS programs based primarily on social learning theory, cognitive-behavioral principles and developmental science hold great potential in modifying family risk and protective factors. The approach typically involves forming a collaborative relationship with a parent and providing clear guidance and support, using methods such as modelling, practice and feedback to enhance specific parenting skills. Five core principles of positive parenting have been shown to be cross-culturally robust in promoting children’s development[15]. These are: i) creating a safe, nurturing and engaging home environment, ii) creating a responsive, positive learning environment, iii) providing assertive and consistent boundaries and discipline, iv) having reasonable expectations of children and oneself as a parent, and v) having the capacity for self-care in the parenting role.

A substantial body of empirical work has established that EBPS programs employing these principles are effective in diverse cultural and service contexts and populations [e.g. 16,17,18]. Multiple systematic reviews and meta-analyses have shown that EBPS programs influence a variety of parent and child outcomes including parenting skills and parental mental health[19]; child emotional and behavioural adjustment and social competencies[20,21]; academic attainment[22]; physical health[23]; and prevention of child maltreatment and family violence[24]. Programs range from brief (2–4 sessions) to more intensive (20+ sessions), and have small to very large effect sizes depending on population and outcome measurement.
A systematic global agenda for parenting support necessitates the inclusion of a population health approach that “emphasizes the targeting of parents at a whole-of-population level, utilizing a blend of universal and targeted interventions, to achieve meaningful change in population-level indices of child and parent outcomes”[25 p.339]. This approach includes balancing targeted and universal intervention proportionate to need. This principle, called proportionate universalism[26], recognizes that programs, services, and policies must include a range of responses to address different levels of need and disadvantage within the population. The Nurturing Care Framework[13] uses this type of approach (see Figure 2).

Insert Figure 2 here

Inclusion of a universal EBPS approach seeking to address generic parental concerns would benefit all parents in a given population, rather than focusing solely on intensive, tertiary level intervention for a small percentage of vulnerable parents struggling with chronic or clinically diagnosable issues relating to their child’s social, emotional, behavioral or developmental health. By providing support according to need, all families can gain access, population prevalence rates can shift as cases are averted, and tertiary services remain available for those in need of intensive interventions.

Randomized controlled trials of EBPS have been conducted in not only Western countries such as the US, Canada, Australia, New Zealand, and Europe (UK, Ireland, Germany, Belgium, France, Switzerland, the Netherlands, Sweden, Norway, Denmark) but also in Asia (Japan, China, Indonesia, Singapore), Latin America (Panama, Chile), the Middle East (Turkey, Iran) and Africa (South Africa)[27,28]. Over 20 years ago, Forehand and Kotchick[29] called for culturally responsive parenting interventions to meet the goals and values of minority groups within mainstream cultures. Although there is still little
evidence that ethnicity itself is a moderator of EBPS outcomes or satisfaction, culturally specific adaptations have shown comparable outcomes to the original programs[30], and can significantly improve family engagement and retention[31]. Contrary to concerns that Western programs may not be generalizable to diverse country contexts, a meta-analysis of cross-country translation of EBPS interventions (with little or no adaptation) showed them to be equally, if not more, effective when transported to populations and countries that are culturally different from where the programs originated[32]. Country-level policy and resource factors (e.g., poverty) do not seem to be associated with intervention effectiveness and there is small but growing evidence of parenting programs implemented in developing countries[33]. If we are to increase access to EBPS, the tension between fidelity to proven programs and flexibility in adaptation is important but not insurmountable, and more nuanced thinking about cultural adaptation moving from a dichotomous ‘either fidelity-or-adaptation’ perspective to an inclusive ‘both fidelity and adaptation’ approach can be effective[34]. The following case studies describe the adaptation of universal and targeted parenting interventions for use in diverse contexts (see Box).

Implementing parenting programs globally

There is widespread recognition of the importance of parenting support by global policy advocacy groups (WHO, UNODC, UNICEF) and a clear need based on epidemiological surveys of corporal punishment and family violence, and longitudinal studies documenting the adverse effects of corporal punishment on children. However, the reality is that EBPS programs have not been integrated and mainstreamed into public health and social welfare programs in most countries, and certainly not in any LMIC. The vast majority of the
world’s population of children who might benefit from their parents participating in EBPS live in countries where such programs are simply not a policy priority[42].

Scaling interventions that work is difficult when there are very limited financial resources to invest in workforce development and resources. In such contexts, low priority is given to parenting support compared to other areas of health. Apart from the lack of funds to support research on parenting that would build a local evidence base, there are additional major challenges that must be addressed before significant progress can be made in scaling up EBPS programs. These challenges include complexity of interventions, lack of human resources, issues with program fidelity, low demand within the health and family welfare sectors to incorporate such interventions (due largely to a lack of awareness of EBPS and potential stigma in relation to help seeking), and lack of sustainable models to ensure financial resources for interventions. Each of these factors is explored further below.

The complexity of parenting interventions may be addressed through the design of lean interventions which emphasize the ‘active ingredients’ of EBPS. Meta-analysis has demonstrated that the constituent parts of multi-component EBPS interventions can have differential effectiveness. A review of 77 published studies of EBPS programs found that increasing positive parent-child interactions and emotional communication skills, as well as teaching parents the importance of parenting consistency and the use of non-escalatory discipline strategies such as time-out, were associated with relatively larger effects on measures of parenting behaviors and children's behavior problems after controlling for differences in research design[43]. Further dismantling studies are needed to establish how key ingredients may be combined optimally within lean intervention packages, which could be optimally scalable. Such ‘common elements’ based approaches and brief interventions are gaining traction in other domains of behavioral interventions[44].
A common strategy for overcoming the lack of specialist providers of psychosocial interventions is the redeployment of community health workers and other paraprofessionals[39] and parents[40]. However, sustaining the workforce involved in such task sharing models is a frequently cited problem[45]. Some EBPS program developers have looked at ways to increase long-term commitment and retention among non-specialist providers by emphasizing developmental opportunities and the enhanced community status afforded by their role. For example, the Empowering Parents, Empowering Communities (EPEC) program, originally developed for disadvantaged families in the UK, incorporates a peer-led delivery model whereby providers (‘peer facilitators’) are selected based on prior experience as participants in EPEC parenting groups[46]. This innovation ensures that knowledge and skills are reinforced and not ‘lost’ at the end of each commissioned EPEC project, since peer facilitators commonly reside within local communities[47].

The challenge of assuring fidelity of scaled-up parenting interventions can be addressed by emphasizing the role of community members to monitor the quality of delivery. Recent work in South Africa[48] has shown that ‘community facilitators’ without prior training and knowledge of EBPS were able to deliver a manualized program with a high degree of fidelity, and competence appeared to increase as these providers gained more experience. However, an extended period of supervised practice may not be feasible in settings where specialist supervision is scarce or non-existent, and funding limitations and high demand for services do not allow for a gradual period of embedding for novice providers. Digitally supported and peer-led supervision offer promising avenues for overcoming such resource constraints, with indications that non-specialist providers of psychological therapies can be trained to assess therapy quality to the same degree of reliability as experts[41].
Low demand for parenting programs can be addressed through powerful mass media and communication strategies that promote, normalize and destigmatize parental participation by highlighting the benefits of EBPS to children and their families[49]. Public health strategies such as the use of peer champions, respected professional advocates, and greater use of flexible delivery formats to make participation more convenient (e.g. brief programs, online delivery) can also boost participation rates. Alternative delivery systems can be explored to minimize cost, particularly for universal interventions. These include the use of broadcast television programs[50] and radio programs or podcasts[51] online or mobile app based parenting interventions[52] large and small group preventive seminars and workshops[37], and more intensive multi-session group and individual programs for families with complex needs[25]. The most cost-effective modalities to date involve online and group-based delivery. However, rigorous programs of research are needed to test the efficacy, effectiveness and feasibility of different program delivery formats and modalities in different resource contexts.

In wealthier countries, the most widely disseminated EBPS programs are based on the development of an economically sustainable model of scaling that has involved named programs and the commercialization of professional training, resources and materials[53]. Most programs have a publisher or purveyor organization that is licensed (typically by the University that owns the intellectual property vested in the program) to publish practitioner and parent resources, conduct professional training, and offer technical and implementation support. This situation has arisen out of necessity as research grants typically fund early-stage evaluations but not the dissemination of proven programs following foundational trialling. Programs such as Incredible Years, Triple P, and Family Check-Up have all developed business models that enable dissemination activity to become self-sustaining. Some disseminators (e.g., Triple P International) have acquired bCorp status as a social enterprise
and have established not-for-profit arms (Families Foundation). However, commercially published parenting programs have been criticized as being expensive and beyond the resources of most LMICs. While there is considerable evidence that existing (commercial) EBPS programs can be tailored to fit culturally diverse and low-resource contexts, innovation in low-cost service delivery formats and venues is ongoing. Organizations such as WHO and UNICEF have also developed their own open access programs (e.g., Parenting for Lifelong Health[54]), and as their evidence is emerging it will be essential that the true costs of various models are evaluated, to allow direct comparison of these various approaches to EBPS. Accurate cost projections would allow communities to adequately cost and plan for program sustainability.

In many countries, the mandate for parenting programs falls across several ministries and can lead to disjointed planning and funding decisions. For example, in India, parenting programs potentially fall under the remit of a large number of ministries, including the ministries of Women and Child Development, Health and Family Welfare, Human Resource Development, and Social Justice and Empowerment; underpinning these is the Finance ministry which allocates budgetary provisions. This fractured mandate means that the linking together of the needs of children and their families at every age and stage of parenting is not addressed, and the responsibility for delivery of EBPS across developmental transitions is not clear. However, multi-sector initiatives can serve as models, such as Healthy Child Manitoba in Canada, a cross-departmental strategy (including Education and Training, Families, Health, Seniors and Active Living, Indigenous and Northern Relations, Justice, Sustainable Development and Status of Women) which developed a network of strategies for children, youth and families.

A risk is that new or unsupported programs may be disseminated widely before there is sufficient evidence for their effectiveness. Evaluation of impact needs validated
measurement tools that ensure appropriate baseline assessment to match target concerns to inter\ve|vention intensity, and systematic assessment of outcomes following interventions. Use of psychometrically sound, change-sensitive, cross-culturally validated tools is crucial for assessing parenting and family well-being constructs and child development and mental health outcomes at an individual and population level. The tools in current use in parenting research, however, do not meet these criteria[55]. The available, largely self-report, measures tend to be long, use confusing scoring formats, have high literacy demands, and typically do not specifically measure the proximal intervention targets that are addressed by many EBPS interventions (e.g. use of praise and contingent positive attention, use of rules and consequences). Some (e.g., Eyberg Child Behavior Inventory, Child Behavior Checklist) are proprietry in nature and hence not feasible for low-resource contexts. There needs to be ongoing effort to develop a globally applicable, change sensitive and scalable assessment of parenting practices targeted by EBPS and concomitant child outcomes. Measures such as the International Parenting Survey show promise as a brief, reliable measure of parenting practices and family well-being[56]. However, additional items related to ecologically sustainable to living and other SDGs are needed (e.g. waste disposal, water consumption, use of clean energy). Considerable work is needed to enable population-based survey measures to be linked with various administrative data sets (e.g. child maltreatment, hospitalization and injuries, out-of-home placements, family violence, educational attainment) held by governments to track population-level change.

**Expanding the focus of parenting skills interventions**

The development of prosocial and emotional competencies in children has been the primary focus of many EBPS programs. However, principles and strategies of positive parenting can be applied to a wider range of developmental capabilities and life skills that have direct relevance to attaining multiple SDGs. Table 2 summarizes the developmental
capabilities of children, young people and parents/carers that can be developed through parenting programs and applied to different SDGs. The benefits of parenting interventions are fundamentally intergenerational. For each developmental capability in children there are reciprocal and/or complementary capabilities in adults can develop through interactions with children and young people (see Table 2).

Combining parenting, school- and community-based interventions

The mobilization of population-level changes may be easier to accomplish when families, schools and the wider community harmonize their approach to the attainment of SDGs. Schools provide a universal access point for engaging both children and parents. An online curriculum that provides teachers with digital tools, projects and ideas to provide engaging learning activities for students related to SDGs would also provide a context for parental involvement. Specific parenting skills and strategies to support ecologically sustainable family and community living would complement and support the knowledge and skills children acquire at school. Furthermore, schools could outreach to the wider community to get sponsors or “in kind” support for positive efforts to transform their local community. The synergy of home, school and community drivers of change, focused on creating healthier and more productive futures for children, can be evaluated as a “place-based” intervention.

Parenting support in the context of a global pandemic

The COVID-19 pandemic has not only been a major global threat to human life, it has had a pervasive impact on the mental health, education and the overall wellbeing of children, young people and their families[57] The economic consequences of the pandemic have been
serious and many more families have slipped into poverty[58,59]. The pandemic has posed
major challenges to the delivery of existing EBPS programs, such that lockdowns and social
distancing have disrupted “in person” delivery of services and also the training of
practitioners and support of organizations delivering parenting programs. Compensatory
strategies include the widespread use of telehealth and online platforms to deliver parenting
programs, the development of tailored materials to address COVID-19-related parenting
challenges (e.g., dedicated downloadable resources and podcast and television series such as
Parenting in a Pandemic; https://ppsc.psychology.uq.edu.au/covid19-resources), and the
rapid transition to videoconferencing as a method of training practitioners[60]. In a similar
move, the WHO / UNICEF Parenting for Lifelong Health online resources, which are avaible
in a hundred languages, now include COVID-specific parenting advice
(https://www.covid19parenting.com/home). Rapid evaluations of remotely delivered
innovations suggest strong potential for impact. Comparable results have been found for
online videoconference-based and in-person practitioner training[61] and parenting
interventions[62,63]. These promising findings suggest that online programs can markedly
increase the global reach of EBPS in the future. Further, at a time when face-to-face social
connections are severely restricted, there is also a need to examine how virtual groups can be
harnessed to promote engagement and positive outcomes for parenting interventions. These
directions may also help to lower the cost, and extend the reach and impact of EBPS in the
future.

Globally there is a significant cumulative cost for inaction and failure to promote
better parenting of children and young people through EBPS. These costs include increased
risk of child maltreatment, severe and persistent mental health problems, poorer physical
health and substance abuse problems, intergenerational poverty, gender inequality, and lost
life potential.
Summary

Substantial progress towards the achievement of SDGs will occur when scientific knowledge about how to achieve behavior change at a population level becomes an integral part of global multidisciplinary efforts to create a healthier, more humane, just and ecologically sustainable world. We make a case for a systematic global policy and research agenda for scaling up parenting interventions, arguably amongst the most effective yet neglected behavioral interventions for attaining the SDGs. Positive parenting has the potential to become a common pathway to promote a diverse range of prosocial outcomes in both children and adults and, with foundational social and emotional competencies in place, move rapidly towards the ultimate goal of building of a healthier and more economically productive life course. The proactive mobilization of families through positive parenting can support other global and local policy-based initiatives designed to ensure a more inclusive and equitable future for all. This includes recommendations on: 1) combining universal and targeted approaches, 2) the critical need for essential content and contextual adaptation, 3) designing parenting interventions with a view to their scalability, 4) the need for a multi-sectoral approach, 5) considerations for adequate resourcing, and 6) the development of scalable measures for assessment of impact. An ambitious implementation research agenda is needed to investigate how changing parenting practices can create new lifecourse opportunities and societal impact across generations. This knowledge underpins the conceptual and scientific rationale for arguing that EBPS should become an essential part of any country’s plan to achieve the United Nation’s Sustainable Developmental Goals.
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