Summer effects on body mass index (BMI) gain and growth patterns of American Indian children from kindergarten to first grade: a prospective study

Jianduan Zhang1,2*, John H Himes†, Peter J Hannan†, Chrisa Arcan†, Mary Smyth†, Bonnie Holy Rock† and Mary Story†

Abstract

Background: Overweight and obesity are highly prevalent among American Indian children, especially those living on reservations. There is little scientific evidence about the effects of summer vacation on obesity development in children. The purpose of this study was to investigate the effects of summer vacation between kindergarten and first grade on growth in height, weight, and body mass index (BMI) for a sample of American Indian children.

Methods: Children had their height and weight measured in four rounds of data collection (yielded three intervals: kindergarten, summer vacation, and first grade) as part of a school-based obesity prevention trial (Bright Start) in a Northern Plains Indian Reservation. Demographic variables were collected at baseline from parent surveys. Growth velocities (Z-score units/year) for BMI, weight, and height were estimated and compared for each interval using generalized linear mixed models.

Results: The children were taller and heavier than median of same age counterparts. Height Z-scores were positively associated with increasing weight status category. The mean weight velocity during summer was significantly less than during the school year. More rapid growth velocity in height during summer than during school year was observed. Obese children gained less adjusted-BMI in the first grade after gaining more than their counterparts during the previous two intervals. No statistically significant interval effects were found for height and BMI velocities.

Conclusions: There was no indication of a significant summer effect on children’s BMI. Rather than seasonal or school-related patterns, the predominant pattern indicated by weight-Z and BMI-Z velocities might be related to age or maturation.

Trial registration: Bright Start: Obesity Prevention in American Indian Children Clinical Trial Govt ID# NCT00123032

Keywords: American Indian, children, body mass index, summer vacation

Background

The dramatic improvement in the nutritional status of the American Indian populations since the late 1960s has been accompanied by the emergence of obesity as a serious public health problem [1]. A secular trend of increasing overweight among Navajo Indian children 6-12 years of age has been documented [2]. The study indicated that American Indian children aged 6-17 years from rural school districts on or near reservations bear the burden of excess weight relative to other racial and ethnic groups, with a combined prevalence of overweight and obesity up to 53.8% [3], 2-3 times higher than the national average [4,5].

The concurrent and long-term adverse consequences of obesity in childhood are well documented, including asthma, Type 2 diabetes, and elevated cardiovascular disease risks, including hypercholesterolemia and hypertension [6,7]. Type 2 diabetes, long considered an adult
illness, is becoming more common among American Indian children aged 10 years and older [8]. A recent study concluded that obesity in childhood is a primary factor contributing to increased rates of premature death from endogenous causes in American Indian populations [9].

While obesity occurs as the result of interactions between genetic and environmental factors [10], school and away-from-school circumstances related to food availability and quality and to levels of physical activity are factors that may contribute to childhood obesity risk in school-age children. Studies also emphasize the influence of non-school environmental factors, such as low socio-economic status [11], high consumption of fast food and energy-dense convenience foods [12], lack of facilities and opportunities for physical activity, and lack of parental supervision among the general population [13].

Schools have become important venues for interventions to prevent childhood obesity [14-16]. However, a concern for those developing and implementing school-based interventions has been the effect of the summer months when children no longer participate in school-based activities and are exposed to factors that may contribute to excess weight gain. From an intervention perspective, it is important to understand if the less structured circumstances during summer vacation weaken or even negate any benefits gained by the intervention during the school year.

There is little scientific evidence about the effects of summer vacation on obesity development in children, and the few existing studies yield inconsistent results. While one study indicated that body mass index (BMI) increments during out-of-school periods are likely due to normal growth among American Indian children in grades 3-8 (9-14 years) [17], others showed that children are more likely to gain BMI during the summer than during the school year [18], and that improvements of a school-year obesity intervention might be lost during summer vacation [19].

The time from kindergarten to first grade (approximately 5-7 years of age) is a critical transition period for the development of body mass index, the most common and recommended measure of overweight and obesity in children [20]. We investigated the effects of summer vacation between kindergarten and first grade on growth in height, weight, and BMI for a sample of American Indian Children participating in a school-based intervention program targeting prevention of excessive weight gain. Our focus was to investigate the impact of summer away from school irrespective of the intervention, in order to use the full sample and produce more generalizable results.

**Methods**

A total of 454 American Indian children aged 4.8-7.9 years old attending kindergarten at 14 schools located on the Pine Ridge Reservation (including one school just outside the border) in South Dakota, were enrolled into a cluster-randomized, controlled trial (Bright Start). The Bright Start study followed the children from the beginning of kindergarten until the completion of first grade. The study was designed to develop and test the efficacy of a school environmental intervention, augmented with a family household environmental intervention, to reduce excessive weight gain by increasing physical activity and healthy dietary practices. The focus of Bright Start was to create dietary and physical activity environmental change at school and home. The intervention had three primary goals: 1) increase physical activity at school to a minimum of 60 min/day via recess, classroom walking program, classroom action breaks, and physical education class; 2) modify school meals and snacks provided by the school food service; and 3) involve parents/caregivers in making behavioural and environmental changes at home by way of family night events that included interactive informational booths, hands-on demonstrations, and family goal-setting with follow-up motivational calls to those parents/caregivers who set goals. The 14 schools were randomly assigned to intervention and control conditions after baseline measurements. Two successive cohorts of schools, each including both conditions were followed for two school years.

Baseline anthropometric data for the two cohorts were collected in fall 2005 and 2006, respectively, near the beginning of the school years. For each cohort, round 2 data were collected the following spring, near the end of the school year (mean interval, 144.3 days; SD, 22.5 days), round 3 data the subsequent fall (mean interval 167.6 days; SD, 20.7 days), and round 4 data the following spring (mean interval, 226.4 days; SD, 22.2 days). These four rounds of data collection yielded three intervals corresponding to kindergarten, summer vacation, and first grade, respectively. Data collection for round 2 was conducted before school was dismissed in the spring, and round 3 was collected after school started in the fall, so the actual interval between measurement occasions corresponding to summer vacation was somewhat longer than actual time out of school. Also, there was some variation across schools in the actual dates between data collection in rounds 2 and 3. Consequently, to standardize and estimate Z-score velocities, the interval for summer vacation was adjusted linearly to the period between May 18th through August 18th, the approximate average interval across all schools for actual summer vacation.
Body weight (kg) was measured to the nearest 100 g using a Tanita (model 300) scale, with subjects wearing light indoor clothing. Height (cm) was measured using a portable stadiometer (Perspective Enterprises, Portage, MI) to the nearest 0.1 cm. Data collectors were centrally trained and used standardized protocols recommended by Lohman et al. [21]. Body mass index (BMI) was calculated as weight (kg)/height (m)$^2$. The prevalences of overweight and obesity were estimated relative to the Centers for Disease Control (CDC) Growth Charts 2000 [22]. Children with BMI < 85th percentile of the age- and gender-specific reference were considered to be at normal weight; BMI ≥ 85th but < 95th percentiles was considered as “overweight,” and BMI ≥ 95th percentile was identified as “obese” [20]. There were no meaningful differences by gender groups so the data were pooled for these analyses, although gender was always included in the adjusted models. The final number of children with complete data was 440. Demographic variables were collected at baseline from parent surveys, including gender, birth date of children, parental and household characteristics, etc. Parents signed written informed consent giving permission for their children and themselves to participate in all Bright Start measurements.

The administrators and boards from participating schools and districts approved and supported participation in the study. Ethical and human subject approvals were obtained from the Institutional Review Boards (IRB) of the University of Minnesota, the Oglala Sioux Tribal Council, and the Aberdeen Area Indian Health Service.

To estimate the mean Z-scores at each interval, growth trajectories (time trend) of BMI, weight and height Z-scores were calculated and adjusted linearly to a 20-month interval from baseline to round 4, with exactly 8 months in kindergarten, followed by 4 months in summer vacation, and 8 months in first grade. For example, adjusted BMI Z$_{\text{round 2}}$ = BMI Z$_{\text{baseline}}$ + BMI Z velocity (Z/y)$_{\text{kindergarten}}$ × 8/12; and adjusted BMI Z$_{\text{round 3}}$ = adjusted BMI Z$_{\text{round 2}}$ + BMI Z velocity (Z/y)$_{\text{summer}}$ × 4/12, etc. BMI Z-scores adjusted to the exact intervals were back-transformed to the natural units (kg/m$^2$) using the published LMS parameters of the CDC Growth Charts [22]. The LMS parameters are the median (M), the generalized coefficient of variation (S), and the power in the Box-Cox transformation (L) for skewness. Statistical significance was determined at $\alpha = 0.05$ for a two sided test. All data were analyzed using SAS v9.1.

An index of relative socioeconomic status (rSES) was developed using principal component analysis, combining the mutual associations among educational levels of parents, household income, unemployment status, public assistance benefits, and resources in the home. The rSES scale was used in the models rather than the individual components.

Descriptive data are presented including frequencies, percentages, means, and standard deviations. Annual growth velocities were calculated relative to Z-scores of BMI, weight, and height. A mean growth velocity of zero indicates the children are growing exactly like the CDC growth reference during that interval. Analysis of variance was used to compare the difference of growth velocities during the three intervals (kindergarten, summer, and first grade). Adjusted growth velocities (Z-score units/year) for BMI Z-scores, weight Z-scores, and height Z-scores were estimated and compared for each interval using generalized linear mixed models adjusted for children’s age, gender, intervention condition, rSES and weight status at baseline, and with school as a random effect.

### Results

Table 1 shows descriptive characteristics of children at baseline. The children are approximately equally divided by gender with a mean age of 5.8 years. The children in this sample are taller and heavier than the CDC reference data, as indicated by the mean Z-scores of 0.61 and 0.62, respectively; 14.3% of children were overweight and 15.0% were obese at baseline.

Figure 1 shows the patterns of mean Z-scores for attained weight, height, and BMI at each measurement occasion, adjusted to exact time intervals. The mean Z-

| Child Characteristics | N       | Statistic |
|-----------------------|---------|-----------|
| Gender                |         |           |
| Female                | 213     | 48.4%     |
| Male                  | 227     | 51.9%     |
| Weight status         |         |           |
| Normal weight         | 311     | 70.7%     |
| Overweight            | 63      | 14.3%     |
| Obese                 | 66      | 15.0%     |
| Age at baseline       |         |           |
| (mean ± SD, year)     |         |           |
| 5.8 ± 0.5             |         |           |
| Age at round 2        |         |           |
| (mean ± SD, year)     |         |           |
| 6.2 ± 0.5             |         |           |
| Age at round 3        |         |           |
| (mean ± SD, year)     |         |           |
| 6.7 ± 0.5             |         |           |
| Age at round 4        |         |           |
| (mean ± SD, year)     |         |           |
| 7.3 ± 0.5             |         |           |
| BMI (mean ± SD)       |         |           |
| 16.7 ± 2.89           |         |           |
| BMI Z-score (mean ± SD)| 0.49 ± 1.17 |         |
| Weight (mean ± SD, kg) |         |           |
| 22.9 ± 5.50           |         |           |
| Weight Z-score (mean ± SD)| 0.62 ± 1.12 |         |
| Height (mean ± cm)    |         |           |
| 116.8 ± 5.57          |         |           |
| Height Z-score (mean ± SD)| 0.61 ± 0.94 |         |
| rSES score (mean ± SD) |         | 3.0 ± 1.0 |
scores for all the measures (weight, height, BMI) are substantially greater than zero at every measurement occasion which is consistent with the overall means seen in Table 1. From the attained values, there is no indication of an appreciable summer effect (measurement between 8 to 12 months.) Rather, marked increases in mean Z-scores for weight and BMI, but not for height, are seen between months 12 and 20, corresponding to the interval of first grade.

Main effects
Table 2 shows crude and adjusted growth velocities (Δ Z-score/year) for height, weight, and BMI during the intervals corresponding to kindergarten, summer, and first grade. There were significant overall interval differences for crude \( p = 0.002 \) and adjusted \( p = 0.009 \) weight velocities only; the pattern of means indicate that weight velocity during the summer was less than during the school year. The post-hoc tests show that weight velocity during first grade was significantly greater than during the preceding school and summer intervals (indicated by different superscripts). Children gained slightly more height during the summer than during the school year, but not significantly so. The crude and adjusted velocities for BMI for the total sample were not statistically significant across intervals, and the adjusted mean BMI velocity during the summer tended to be lower than during the school intervals.

Differences by weight status
We investigated whether the patterns of growth in weight, height, and BMI Z-scores differed by weight status as determined by BMI categories at baseline. The pattern of adjusted mean Z-scores for attained height across the four measurement times were different for each weight status category (normal, overweight, and obese) (Figure 2). Clearly the overweight and obese children are taller than the normal weight children, although the latter children are still considerably taller than the CDC growth charts at the respective ages.

There were no statistically significant overall interaction terms in the growth velocity models between school intervals (kindergarten, summer, first grade) and the three categories of weight status, but some interesting patterns still emerged. As an example, in Figure 3 we show the adjusted increments in Z-scores for BMI,
weight, and height for normal-weight children relative to the three school intervals, with post-hoc comparisons between means for pairs of intervals. Children with BMI < 85th percentile at baseline showed little difference in growth velocities during the summer compared with the previous school year; however, they had rather dramatic growth in weight and BMI during first grade compared with the previous intervals.

Table 3 presents the adjusted mean increments in BMI velocities (kg/m²) gained during the three intervals (kindergarten, summer, and 1st grade) for all weight-status (at baseline) groups. We observe an overall pattern of increasing gain in mean actual BMI, as one progresses from normal-weight to overweight to obese within a school interval, with the exception of obese children in first grade who gained less than their classmates.

**Discussion**

In the Bright Start study, statistically significant effects were observed in adjusted weight growth velocities among the three intervals: kindergarten, summer, and first grade. Post-hoc contrasts showed that the adjusted weight Z-score velocity during the summer did not differ from that during the previous school year (kindergarten), but it was significantly less than the weight-Z velocity in the subsequent school year (first grade). Because of the concurrent pattern in height-Z velocity, the significant interval-related variation in weight-Z velocity was not accompanied by significant variation in BMI Z-score velocity across intervals when all children were considered. In these data, the American Indian children tended to have lower BMI-Z velocity during the summer months than during the school year.

Rather than using raw increments of BMI, weight, and height in the measured units, we employed annual growth velocities of Z-scores as the chief indicators of growth. This approach accommodates the changing patterns in velocities across the age range, the concurrent differential growth in height and weight that continues over the periods, and it allows direct comparisons for growth in BMI, weight, and height relative to CDC Growth Charts and to other studies.

Smith et al. [17] found no significant change in mean BMI Z-scores over the summer for American Indian children residing on the Wind River Reservation in Wyoming; these children, however, were older (grades 3-8) than the Bright Start children. A large US national sample of kindergarten and first-grade children was studied by Von Hippel and colleagues [18]. In this study, BMI monthly velocity during the summer (0.076 kg/m²/mo) was more than three times that of kindergarten (0.020 kg/m²/mo) and more than twice that of first grade (0.033 kg/m²/mo). While comparing BMI velocities for the intervals does not account for the trend for increasing BMI velocity across the age range as do Z-score velocities, the dramatic difference between summer and first grade for the national sample is interesting. The corresponding estimates for BMI velocities in the Bright Start children are 0.024 kg/m²/mo (kindergarten), 0.027 kg/m²/mo (summer), and 0.089 kg/m²/mo (first grade). The clear differences in the summer and/or out-of-school effects between the two sets of results are difficult to attribute to any specific factors.

General conditions on American Indian reservations are very different in many ways from what might be considered 'average' or representative across the United States.

**Table 3 Adjusted BMI increments (kg/m²) during three intervals in weight-status-specific groups**

| Weight status at baseline | Kindergarten (8.0 mo) | Summer (4.0 mo) | First grade (8.0 mo) |
|---------------------------|-----------------------|-----------------|---------------------|
|                           | Mean      | SD   | Mean    | SD   | Mean    | SD   |
| Normal                    | 0.08      | 0.12 | 0.08    | 0.08 | 0.66    | 0.05 |
| Overweight                | 0.65      | 0.18 | 0.22    | 0.24 | 0.95    | 0.31 |
| Obese                     | 1.25      | 1.09 | 0.32    | 1.02 | 0.57    | 0.86 |
| Total                     | 0.19      | 0.05 | 0.11    | 0.02 | 0.71    | 0.09 |

* Adjusted for age, gender, intervention condition, weight status at baseline, school, and socio-economic status.
States, and the most proximal factors related to BMI gain, physical activity, and nutritional intake are clearly obesogenic on reservations [4]. Nevertheless, there is little known about how these factors interact with school and seasonal factors on or off reservations.

Some of the patterns in height, weight, and BMI growth were observed in the Bright Start children are best explained by the expected age-related patterns of physical growth and maturation. The children in our sample are heavier and taller than the CDC growth charts, as demonstrated by their mean Z-scores for height (0.61), weight (0.62) and BMI (0.49). Plains Indians are among the tallest population groups in the world [23]. Moreover, height Z-scores in Bright Start children are positively associated with increasing weight status (see Figure 2). This latter association is not unique and has been reported for other samples of children as early as the third grade [24]. The observation of relatively more rapid growth velocity in height during the summer and weight during the winter months is consistent with that reported in several other studies [25,26], although the exact causes are unknown.

The period between approximately 4 and 7 years of age is characterized by an average decrease in BMI followed by an increase in BMI. This temporary dip in BMI has been termed the “adiposity rebound” [27], and its nadir is characteristically earlier in children with greater BMI or body fat and advanced skeletal maturation. Within populations the earlier the age of the adiposity rebound the more likely are children to become overweight or obese later in childhood and adulthood [28]. The contrast in the pattern of increasing gains in BMI across school-related intervals in normal-weight children compared with decreasing gains in BMI in obese children (Table 3) probably reflects the relative timing of the two groups with regard to the nadir in BMI. The normal children were probably somewhere near the BMI nadir during kindergarten and subsequently accelerated BMI growth, while the obese children have probably past the nadir and were in a phase of decelerating BMI growth. This age and maturation pattern explains the marked increases in weight and BMI Z-scores seen in normal children during the first grade school year (Figure 3).

Our study was methodologically strong. All of the anthropometric data were collected by the same well trained team to minimize any possible confounding between seasonal effects and observer bias. The study was conducted in all schools on the Pine Ridge reservation with greater than 95% child participation, so the results are fully representative of the American Indian children residing there. By having four rounds of data collection we were able to compare growth for two successive school year intervals. Our use of Z-score velocities standardized by age and gender helped demonstrate the continuing growth patterns in height and weight when assessing relative velocity of BMI. Our statistical models were adjusted for varying time intervals between data points and accommodated the design of the Bright Start intervention trial.

Our study also has weaknesses, most of which relate to interpretation of results rather than methodology. We used Z-scores from the CDC 2000 Growth Charts following national recommendations [20]. Nevertheless, the most recent data included in this US reference were collected almost two decades in the past and there were very few American Indians in the samples. We are, therefore, left with the possibility that our estimates of mean Z-scores and even growth patterns in these years of childhood differ from those expected from the growth charts because of secular changes in the population and/or factors related to racial/ethnic differences. Even so, our statistical analyses should yield valid inferences relative to the summer effects per se. Also, even with the time lag since the reference data were collected, American Indian children remain taller and heavier than other contemporaneous US children [5,23].

The focus of this study was to determine whether there was evidence of differential gain in BMI during the summer vacation away from school. This is an important question both for school administrators and for those involved in school-based interventions for excessive weight gain and obesity. Our statistical models were adjusted for any intervention effects associated with Bright Start so we could focus on the intended question. Nevertheless, because of the timing of summer vacation, we are unable to separate what might be a “no-school” effect from a seasonal effect. The results of this study indicate no significant summer effects in velocity of BMI Z-score compared to BMI velocities during the preceding and following school years.

BMI is certainly not a perfect measure of fatness in children, although it has been recommended for routine use [20,29]. Some of the positive associations between tallness and obesity in these children may arise from the inability of the squared term for height in the formula used to calculate BMI to capture the actual weight-height relationship across age and sex groups. This potential problem with interpretation of BMI was addressed many years ago by Franklin [30] although it is often overlooked nowadays. To the degree that BMI can be accepted as a reasonable measure of body mass, our conclusions should not be vitiated.

It is difficult to know how generalizable our results are for other school-related circumstances in the US and elsewhere. Clearly, American Indian reservations in the United States are locations for populations at elevated risks for obesity, metabolic, and cardiovascular disease
It is interesting that our results compare closely with those of older American Indian children on the Wind River Reservation [17], but considerably differ from the national sample of children of the same age [18]. While there is little information concerning factors that may be related to differential summer effects, Von Hippel and associates [18] found black children to have greater summer gains in BMI than white or Hispanic children. Whether this is due to differences in prevalences of overweight and obesity or to other factors is unknown. Clearly additional research is required to understand better the nature of summer vacation and its milieu including physical activity, nutritional factors and other individual and environmental characteristics that may be associated with healthy weight and BMI growth when away from school.

Conclusions

The principal research question addressed was to determine if the velocity of BMI growth during the summer months, when children were not attending school, was significantly different from BMI velocity during periods when school was in session for a sample of American Indian children. After adjusting for age, sex and study design effects, weight velocity was actually smaller during the summer period than during the periods in school. There were no significant summer period effects for growth in BMI and height in these children.

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