Prescribing trends over time by non-medical independent prescribers in primary care settings across Wales (2011–2018): a secondary database analysis

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ABSTRACT

Introduction As of 2015, as part of the implementation of the Welsh Government primary care plan and primary care clusters, the Welsh Government has encouraged non-medical healthcare professionals working in primary care to train as independent prescribers (IPs).

Objectives This research aimed to identify the number of NMIPs in primary care in Wales and describe their prescribing trend of items between 2011 and 2018, in order to compare their prescribing pattern before and after the implementation of primary care clusters for Wales.

Design Retrospective secondary data analysis and interrupted time series analysis in order to compare prescribing by non-medical independent prescribers (NMIPs) preimplementation and postimplementation of primary care clusters across Wales.

Results Over the study period, 600 NMIPs (nurses n=474 and pharmacists n=126) had prescribed at least one item. The number of nurse IPs increased by 108% and pharmacists by 325% (pharmacists had the largest increase between July 2015 and March 2018). The number of items prescribed by NMIPs increased over time by an average of 1380 per month (95% CI 904 to 1855, p<0.001) after the implementation of primary care clusters compared with 496 (95% CI 445 to 548, p<0.001) prior its implementation. Approximately one-third of the items prescribed by NMIPs was within Betsi Cadwaladr University Health Board with only 4% in Powys Teaching HB.

Conclusion The number of NMIPs and their volume of prescribing in primary care in Wales has increased following the implementation of primary care clusters in 2015. This suggests that the Government’s recommendations of using NMIPs in primary care have been implemented. Future studies should focus on efficiency and quality of prescribing by NMIPs in primary care.

INTRODUCTION

The right to prescribe medicines was traditionally restricted to physicians, dentists and veterinary surgeons. 1 Prescribing practice has changed over the last 50 years to involve physicians and dentists (medical prescribers) and other healthcare professionals, known as non-medical prescribers. The adoption of non-medical prescribing fundamentally began in the USA in the 1960s 2 and has extended to the UK, Canada, New Zealand, Australia and other European and African nations. 3 Beyond the UK, solely nurses and pharmacists have been granted the authority to prescribe medicines collaboratively (dependently) under a physician’s supervision or independently from a limited lists of medicines. 4–7 The drive

Strengths and limitations of this study

- This is the first study using a secondary database analysis, which has provided insights and empirical findings on the prescribing pattern of medicines by non-medical independent prescribers (NMIPs) over time in primary care in Wales.
- The data provided via the Comparative Analysis System for Prescribing Audit (CASPA) software system was limited to the last 7 years and only captured prescriptions that were dispensed in community pharmacies.
- The CASPA system was designed for financial reimbursement purposes for community pharmacies, which means that holding investigations at the level of patients or prescribers, such as stopping or changing patients’ medications, as well as clinical safety issues or other prescribing activities, were not possible.
- The professions of NMIPs as pharmacist, nurse, physiotherapist or others were not identified on the prescriptions and, consequently, in the CASPA system.
- The interrupted time series analysis has the strength of evaluating data at the whole population level, which allowed the researchers to evaluate the utilisation of NMIPs in primary care across all of Wales.
to extend the medicines prescribing mandate to non-
medical healthcare professionals was related to a variety 
of aspects within every nation.

In the UK, non-medical prescribing was introduced 
as part of the National Health Service (NHS) plans, 
which intended to improve and modernise the health-
care system. The aim of introducing non-medical 
referring was to enhance patient care and safety, 
 improve patient access and choice of appropriate medi-
cines for their conditions, use the already gained skills 
of healthcare professionals in the most effective way 
and promote a more flexible teamwork environment 
in the NHS. Pharmacists, nurses, optometrists and 
allied health professionals can qualify as non-medical 
referring after completing an advanced prescribing 
training programme. This programme lawfully allows 
these healthcare professionals to prescribe within their 
area of competence, such as that pharmacists and nurses 
can prescribe medicines, appliances and wound dress-
ings as either independent or supplementary prescribers 
within their clinical area of practice. Some non-medical 
independent prescriber (NMIPs) are limited to prescribe 
certain medications within their clinical specialty, such 
as optometrists who can only prescribe for eye conditions 
and surrounding tissues.

Independent prescribing is defined as ‘prescribing by a 
practitioner (eg, a doctor, dentist, nurse, or a pharmacist) 
responsible and accountable for the assessment of patients 
with undiagnosed or diagnosed conditions and for deci-
sions about the clinical management required, including 
prescribing’. Whereas, supplementary prescribing is a 
‘voluntary partnership between the responsible indepen-
dent prescriber (IP) and a supplementary prescriber, to 
implement an agreed patient-specific clinical manage-
ment plan with the patient’s agreement, particularly but 
not only in relation to prescribing for a specific non-acute 
medical condition or health need affecting the patient’. 
Non-medical independent prescribing was introduced in 
the UK in 2002 after a change in the legislation made 
by the UK Government allowing the first level registered 
nurses who have at least 3 years of experience to prescribe 
independently from a limited list of medicines. In 2006, 
independent prescribing extended for qualified nurses and pharmacists who completed the necessary 
training to prescribe any medicines within their area of 
competence with few exceptions. This was followed by 
the introduction of optometrist independent prescribing 
in 2007 and, more recently, independent prescribing 
by allied health professionals including podiatrists and 
physiotherapists independent prescribing in 2013 and 
therapeutic radiographers independent prescribing in 
2016. The recent healthcare professionals who gained 
the prescribing authority in Wales were paramedics in 
2019. In 2017, the estimated number of NMIPs in the 
UK was as follows: 30000 nurse IPs; 3000 pharmacist IPs 
and 600 allied health professionals IPs. All NMIPs are 
responsible and accountable for their own prescribing 
and limiting their prescribing to their therapeutic area 
of expertise. Moreover, these healthcare professionals 
are required to seek appropriate advice or referral if they 
lack the confidence to manage patients’ conditions or 
prescribe the suitable medicines for their patients.

In Wales, the NHS provides its services via three NHS 
Trusts and seven health boards (HBs). The seven HBs 
are Swansea Bay University HB (SBUHB), Cardiff and 
Vale University HB, Cwm Taf Morgannwg University HB, 
Hywel Dda University HB (HDUHB), Powys Teaching HB 
(PTHB), Betsi Cadwaladr University HB (BCUHB) and 
Aneurin Bevan University HB (ABUHB). The SBUHB 
was formerly known as Abertawe Bro Morgannwg Univer-
sity HB (ABMUHB), having been renamed in 2019.

Primary healthcare services are the initial point of 
care for patients in the NHS. In Wales, it has been esti-
mated that around 90% of people’s contact with the NHS 
is with primary care services, with general practices the 
main point of contact. In 2016, there were 441 general 
practices in Wales and 2009 general practitioners (GPs) 
(excluding, locums, retainers and registrars). The Welsh 
Government and HBs have focused on improving 
primary care services, and this has included the develop-
ment of primary care clusters. Clusters comprise groups 
of adjacent general practices that have linked together 
to provide advanced medical services locally in order to 
relieve pressure on hospitals. The aims of these clusters 
include improving patients’ access to their medicines 
and information as well as the provision of advice and 
support to manage medicines. These clusters came into 
being in the last quarter of 2015. Currently, there are 
64 primary care clusters in Wales that provide services 
for a population of 30000 to 50000 patients per cluster. 
In order to overcome GP shortages, these clusters are supported by high numbers of hospital pharmacists, 
nurses and other professionals. In addition, the Welsh 
Government and HBs have prioritised funding for new 
posts and training of other healthcare professionals, such 
as pharmacist and nurse IPs. Consequently, the number 
of non-medical prescribers in primary care has increased 
in recent years and is expected to rise further.

Only one published study has investigated the imple-
mentation of non-medical prescribing in Wales. This 
study aimed to provide an overview of the implementation 
and utilisation of non-medical prescribing in all health-
care sectors through a national questionnaire survey. The 
results of this study indicated that the majority of non-
medical prescribers in Wales were based in secondary 
care settings. Moreover, these researchers stated that 
the utilisation of non-medical prescribers across Wales, 
particularly in primary care, was inconsistent and had 
not been implemented in all services. In addition, it was 
suggested that there was a need to investigate and recogn-
ise the development of primary care services in Wales, 
with support required for non-medical prescribers.

The aim of this study was to identify the number of 
NMIPs in Wales and describe their prescribing volume 
(from April 2011 to March 2018) as a whole, as well as 
within the seven HBs, before and after the implementation
of primary care clusters. This is the first study in Wales to use data obtained through a national database to review prescribing volume by NMIPs in primary care. No equivalent studies have been published internationally.

**METHODOLOGY**

The study design was a retrospective secondary data analysis of monthly data for prescriptions issued by NMIPs in primary care in Wales and dispensed in community pharmacies, as well as the data on the number of NMIPs within primary care in Wales.

The number of NMIPs who prescribed each month and who prescribed at least one item from April 2011 to March 2018 was obtained through the NHS Wales Shared Services Partnership, Primary Care Services. Prescribing data were obtained from the Comparative Analysis System for Prescribing Audit (CASPA) software system (V.5), provided by the NHS Wales Shared Services. Data were fully anonymised and recorded as part of routine practice; as such, the study did not require ethical approval. CASPA records all dispensed WP10 prescriptions (NHS Welsh prescriptions for use in primary care), to allow financial reimbursement to community pharmacies. While it is acknowledged that dispensing may not fully reflect prescribing, due to patients not taking their prescriptions to a pharmacy for dispensing, the impact of this is likely to be small. This is due to the prescription charge for people in Wales was abolished in 2007 allowing all patients who were registered with their Welsh GPs to get their prescriptions dispensed from a pharmacy in Wales free of charge. As a result, the impact of non-dispensed items would have been reduced by this policy, which also limits the financial burden to patients. Therefore, dispensing was used as a surrogate marker for prescribing in this study.

The number of items refers to each single item prescribed by a prescriber on a prescription form to a patient. All recorded WP10 prescriptions issued by GPs and NMIPs in Wales and dispensed by community pharmacists from April 2011 to March 2018 were extracted and included in the study. The number of prescribed items by NMIPs were extracted from the CASPA system on a monthly basis, and the total number of items per 100 000 population (the population data in Wales as a whole and in each HB obtained from the Welsh Government Stats Wales) was calculated in order to take the population into consideration. Percentages were calculated for the total number of prescribed items by NMIPs in each HB. The name of ABMUHB was used to illustrate the findings in this HB as this was its name over the study period.

Although randomised controlled trials are considered the gold standard method to evaluate longitudinal effects of interventions over time, they lack the ability to evaluate the already implemented service retrospectively. Therefore, prescribing trend data were compared before and after the introduction of primary care clusters (the intervention) by using an interrupted time series (ITS) analysis. This analysis was performed using ordinary-least squares regression with Newey-West SEs and a lag for the autocorrelation structure. The Cumby-Huizinga test for autocorrelation was examined to determine the appropriate autocorrelation structure to be accounted for in the model. The model included preintervention and postintervention trends, as well as a coefficient to examine a change in level immediately postintervention. The parameter estimates are presented alongside 95% CIs and p values. The counterfactual trend (ie, the trend in the absence of the intervention) was examined, and this was compared with the actual observed trend to calculate absolute and relative differences at the end of the observed period (March 2018). Analysis was performed using the `itsa` command in Stata V.16.0. The findings were assumed significant at p value <0.05. CIs were calculated in order to be 95% certain that the range of values contained the true mean of the data.

The Welsh Government plan encouraged local HBs to prioritise funding and development of primary care clusters in April 2015. However, the implementation of these clusters is the responsibility of each individual local HB, and there are no definitive time points of their establishment. To accommodate this, the researchers engaged with the relevant stakeholders (eg, policy makers and chief pharmaceutical officer) to determine the appropriate time for the intervention phase. They agreed the intervention phase began 6 months after the provided funding by the government to HBs, meaning October 2015, to allow for an appropriate time for each HB to train NMIPs.

**RESULTS**

**Trend of the total number of items prescribed by all prescribers**

The total number of items prescribed by all healthcare professionals (GPs and non-medical prescribers) from April 2011 to March 2018 was 5 407 81584 items (17 482 150 per 100 000 population). The total number of prescribed items per 100 000 population per year increased by 7.1% between 2011 and 2012 (n=2 371 511) and 2014–2015 (n=2 539 192) and increased by 0.7% between 2014 and 2015 (n=2 539 192) and 2017–2018 (n=2 556 784) (figure 1).

**Trend of the total number of items prescribed by NMIPs**

The total number of items prescribed by NMIPs in primary care in Wales between April 2011 and March 2018 was 5 088 405 items (n=1 64 130 per 100 000 population).
The number of items prescribed as a percentage of all items prescribed by all healthcare professionals (except items prescribed by dentists) increased from 0.57% in 2011–2012 to 1.7% in 2017–2018. As shown in table 1 and figure 2, dispensed prescriptions by NMIPs in primary care started at 31 756 and increased on average per month prior to the implementation of primary care clusters by 496 (95% CI 445 to 548, p<0.001). There was no evidence to suggest a change in the level immediately following the implementation of primary care clusters in October 2015. However, following this implementation, there was an increase in dispensed prescriptions per month, relative to preimplementation trends, of 1380 on average (95% CI 904 to 1855, p<0.001).

Assuming the preimplementation trend would have continued in the absence of the introduction of primary care clusters, the expected number of dispensed prescriptions by NMIPs at the end of the observation period (March 2018) was 73 443 (95% CI 70 260 to 76 627), and with the model predicting an expected number (in the presence of primary care clusters) of 117 859 (95% CI 108 049 to 127 670), there was a 60% relative increase in the number of dispensed prescriptions by NMIPs following the implementation of primary care clusters over and above what would have been expected in the absence of such a scheme (95% CI 46 to 75, p<0.001).

The total number of NMIPs

Data obtained from the NHS Wales Shared Services Partnership shows that the total number of NMIPs who prescribed at least one item from April 2011 to March 2018 was 600 prescribers (474 nurses, 104 pharmacists, 21 physiotherapists and 1 with unknown profession).

| Variable               | Coefficient | Lower 95% CI | Upper 95% CI | P value |
|------------------------|-------------|--------------|--------------|---------|
| Intercept              | 31 755.5    | 30 208.3     | 33 302.8     | <0.001  |
| Preintervention slope  | 496.3       | 444.8        | 547.8        | <0.001  |
| Level change           | 3023.4      | −2151.5      | 8198.2       | 0.248   |
| Postintervention slope | 1379.7      | 904.4        | 1855.1       | <0.001  |

NMIPs, non-medical independent prescribers.
DISCUSSION

Statement of the principal findings

This study investigated the number and associated prescribing volume of NMIPs before and after the implementation of primary care clusters in Wales. The number of NMIPs has increased in primary care in Wales over the study period and the majority of them were nurses, followed by pharmacists and physiotherapists. Interestingly, the database did not identify other healthcare professionals who have prescribing authorisation in Wales, including therapeutic radiographers, chiropodists and podiatrists, and optometrists.16

The analysis of the prescribed number of items by NMIPs before and after the implementation of primary care clusters showed a 60% relative increase following the implementation of the primary care clusters in Wales. However, the prescribing of items by NMIPs was inconsistent across the seven HBs.

Strengths and weaknesses

This is the first study that has used a secondary database analysis, which has provided insights and empirical findings on the prescribing pattern of medicines by NMIPs over time in primary care in Wales. Although this study has achieved its aim and objectives, it has a number of limitations. First, the data provided via the CASPA software system was limited to the last 7 years. Second, this system was designed for financial reimbursement purposes for

Table 2  Total number of items prescribed by NMIPs, as well as the number per 100 000 population and percentage of all items prescribed, per Hb in primary care in Wales and dispensed in community pharmacies since April 2011 until March 2018

| Health board | Total number of items prescribed by NMIPs | % of the prescribed items by NMIPs in each HB* | Prescribed items by NMIPs per 100000 population |
|--------------|------------------------------------------|-----------------------------------------------|-----------------------------------------------|
| BCUHB        | 1 711 949                                | 33.64                                         | 240 742.5                                     |
| ABUHB        | 834 879                                  | 16.41                                         | 139 396.5                                     |
| CVUHB        | 711 805                                  | 13.99                                         | 145 069.9                                     |
| HDUHB        | 686 166                                  | 13.48                                         | 172 782.9                                     |
| ABMUHB       | 573 624                                  | 11.27                                         | 106 813.2                                     |
| CTMUHB       | 371 315                                  | 7.30                                          | 122 620.2                                     |
| PTHB         | 198 667                                  | 3.91                                          | 137 000.6                                     |

*The percentage of the prescribed items by NMIPs in each HB was calculated based on the total number of items prescribed by NMIPs in all Wales.

ABMUHB, Abertawe Bro Morgannwg University HB; ABUHB, Aneurin Bevan University HB; BCUHB, Betsi Cadwaladr University HB; CTMUHB, Cwm Taf Morgannwg University HB; CVUHB, Cardiff and Vale University HB; HB, health board; HDUHB, Hywel Dda University HB; NMIPs, non-medical independent prescribers; PTHB, Powys Teaching HB.
community pharmacies, which means that holding investigations at the level of patients or prescribers, such as stopping or changing patients’ medications, as well as clinical safety issues or other prescribing activities, were not possible. In addition, this system only captured NHS prescriptions that were dispensed in community pharmacies. Therefore, prescriptions issued by those prescribers that have not been dispensed as well as private prescriptions that have been prescribed by NMIPs, such as optometrists, were not captured by the system. Finally, the professions of NMIPs as pharmacist, nurse, physiotherapist or other were not identified on the prescriptions and, consequently, in the CASPA system. The ITS analysis may have the limitation of the presence of unknown confounding variables, which are outside of the researcher’s control, that may have happened at the same time of the intervention, and this leads to the difficulty of establishing causal effects. However, this analysis has the strength of evaluating data at the whole population level, which allowed the researchers to evaluate the utilisation of NMIPs in primary care across all of Wales.

Comparison with other studies
The increase in the number of NMIPs in primary care in Wales may suggest that the primary care sector has recognised the skills of these practitioners, improving the skill-mix in this sector, and hopefully reducing the pressure on GPs, that is, the benefits described in the second Crown Report. Since the last quarter of 2015, the increase in the percentage of pharmacist IPs was higher than the increase in the percentage of nurse IPs (325% vs 108%). This could be explained by the implementation of the Welsh Government Plan, introduction of primary care clusters19 and the shortage of GPs in Wales, particularly in BCUHB. All of these factors may have contributed to recruitment of more pharmacist IPs. Pharmacist independent prescribing is a new role for pharmacists within clusters, with many secondary care based pharmacist IPs moving into these positions. This may lead to a shortage of pharmacists within secondary care and has highlighted the need for a pharmacy workforce plan for Wales.

Although the number of nurse IPs is much greater than pharmacist IPs, they only represent 5% of the nursing workforce, while pharmacist IPs represent 7% of the pharmacist profession. The fact that there are more nurse IPs than other professions is in line with the findings of other studies that have investigated the implementation of non-medical prescribing in England and Wales. Therapeutic radiographer IPs are based within secondary or tertiary care settings in Wales and therefore, they would be unlikely to be included in the database. However, the other professions, such as chiropodists, podiatrists and optometrists, could potentially be working in primary care settings but do not appear to have issued NHS prescriptions as they could have been prescribing medications for their patients within private hospitals, at point of care or not using their prescribing qualification. If they were not using their prescribing qualification, this may suggest that HBs need to investigate the reasons for that in order to prevent wasting the time and expenses incurred for training and failure to deliver an improved service to patients.

The increase in the number of NMIPs, particularly pharmacist IPs, may explain the increased rate of prescribing of medicines by these practitioners over the same period. The high number of prescribed items by NMIPs in BCUHB (34%) compared with other HBs could be explained by the high number of NMIPs in BCUHB (246 NMIPs). It is evident that the utilisation of NMIPs is inconsistent across the seven HBs in Wales, which supports previous research. BCUHB and HDUHB represent the largest geographical areas in Wales compared with other HBs, but have the lowest number of GPs per 10000 population (6.1 and 5.8, respectively). The low number of GPs in these HBs could be related to issues of recruitment, as well as the difficulty in keeping those who are already employed in their positions. The shortage of GPs in these HBs may explain the early adoption of non-medical independent prescribing. Although PTHB showed the lowest number of items prescribed by NMIPs over the study period, it is the smallest geographical area in Wales with the lowest population compared with other HBs. An equivalent increase in the number of prescribers and items would therefore produce a bigger percentage change than in a larger HB. However, the large increase in the trend of the prescribing items in this HB over the last 2 years of the study period may be explained by the increase in the number of NMIPs. Moreover, PTHB only has primary care services, so while other HBs may have been training IPs in both primary and secondary care settings, the focus in PTHB would have been primary...
care only, which may have resulted in a greater change. However, due to the nature of the database used, it was not possible to investigate this further, and this could be the focus of future work.

The meaning of the study: possible explanations and implications for clinicians and policy makers

The findings of this study showed inconsistency in terms of the implementation of the NMIPs’ services between HBs in primary care in Wales, particularly after the primary care clusters’ implementation. Although there is a lack of evidence with regards to the reasons and barriers behind this, some reasons that may have contributed to lower utilisation of this service in some HBs may include the lack of funding and lack of a plan or strategy to develop this service. However, these studies are outdated given the pace of change. Moreover, it has not been conducted in Wales in particular, which may not reflect recent practice and policies in this country. Due to the lack of evidence regarding the impact of these barriers on the implementation of non-medical independent prescribing in each HB, further research is required to investigate this matter. This study provides the initial evidence for such research, as well as providing the opportunity to share learning among HBs.

Unanswered questions and future studies

This study provides a research agenda for further investigation to examine questions related to efficiency, quality of prescribing, cost-effectiveness and improved access to services provided by NMIPs. With the presence of some variety and inconsistency in the prescribing trend of NMIPs across different HBs, this provides an opportunity to share the knowledge on advanced and novel services provided by these prescribers as well as to investigate the reasons behind it.

CONCLUSION

The number of NMIPs and their prescribing trends of medicines increased in Wales in general, as well as in the majority of HBs, particularly the number of pharmacist IPs, since the implementation of primary care clusters. This could be related to the implementation of the Welsh Government Plan and the introduction of primary care clusters. The findings of this study may suggest that the increased number of NMIPs in the primary care sector in Wales over time may help to reduce the pressure on GPs and improve the skill-mix across different therapeutic areas. This aligns with the main reasons for the implementation of non-medical prescribing in the UK, as outlined in the second Crown Report. Future studies should focus on efficiency and quality of prescribing by NMIPs in primary care.

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