Quantum states characterization for the zero-error capacity

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Abstract. The zero-error capacity of quantum channels was defined as the least upper bound of rates at which classical information is transmitted through a quantum channel with probability of error equal to zero. This paper investigates some properties of input states used to attain the zero-error capacity of quantum channels. Initially, we reformulate the problem of finding the zero-error capacity in the language of graph theory. We use this alternative definition to prove that the zero-error capacity of any quantum channel is reached by using only pure states.

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1. Introduction

Classical and quantum information theory \cite{1,2} usually look for asymptotic solutions to information treatment and transmission problems. For example, the Shannon’s coding theorem guarantees the existence of a channel capacity $C$ such that for any rate $R$ approaching $C$ there exist a sequence of codes for which the probability of error goes asymptotically to zero. A zero-error probability approach for information transmission through noisy channel was introduced by Shannon in 1956 \cite{3}. Given a discrete memoryless channel, it was defined a capacity for transmitting information with an error probability equal to zero. The so called zero-error information theory \cite{4} found applications in areas like graph theory, combinatorics, and computer science.

More recently, the zero-error capacity of quantum channels was defined as the least upper bound of rates at which classical information is transmitted through a quantum channel with error probability equal to zero \cite{5}. Some interesting results followed the definition. For example, it was shown that the zero-error capacity of any quantum channel is upper bounded by the HSW capacity \cite{6}.

Because of the direct relation with graph theory, the quantum zero-error capacity should have connections with several areas of quantum information and computation, like quantum error-correction codes \cite{7}, quantum noiseless subsystems \cite{8,9}, fault-tolerant quantum computation \cite{10}, graph states \cite{11}, and quantum computation complexity.

In this paper we give an alternative definition for the zero-error capacity of quantum channels in terms of graph theory. Also, we present new results concerning quantum states attending the quantum channel capacity. Particularly, we show that non-adjacent states live into orthogonal Hilbert subspaces, and non-adjacent states are orthogonal. Our main result asserts that the quantum zero-error capacity is reached by using only pure states.

The rest of this paper is structured as follows. Section 2 recalls some definitions concerning the zero-error capacity of a quantum channel. Section 3 reformulates the problem of finding the quantum zero-error capacity into the graph language. This alternative definition is used in Sec. 4 to study the behavior of input states. Finally, Sec. 5 presents the conclusions and discusses further works.

2. Background

We review some important definitions. Consider a $d$–dimensional quantum channel $\mathcal{E} \equiv \{E_a\}$ and a subset $\mathcal{S}$ of input states, and let $\rho_i \in \mathcal{S}$. We denote $\sigma_i = \mathcal{E}(\rho_i)$ the received quantum state when $\rho_i$ is transmitted through the quantum channel. Define a POVM $\{M_j\}$, where $\sum_j M_j = \mathbb{1}$. For convenience, we call Alice the sender and Bob the recipient. If $p(j|i)$ denotes the probability of Bob gets the outcome $j$ given that Alice sent the state $\rho_i$, then, $p(j|i) = \text{tr} [\sigma_i M_j]$.

By analogy with classical information theory \cite{3}, the zero-error capacity of a
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A quantum channel is defined for product states. A product of any \( n \) input states will be called an input quantum codeword, \( \rho_i = \rho_{i_1} \otimes \ldots \otimes \rho_{i_n} \), belonging to a \( d^n \)-dimensional Hilbert space \( \mathcal{H}^n \). A mapping of \( K \) classical messages (which we may take to be the integers 1, \ldots, \( K \)) into a subset of input quantum codewords will be called a quantum block code of length \( n \). Thus, \( \frac{1}{n} \log K \) will be the rate for this code. A piece of \( n \) output indices obtained from measurements performed by means of a POVM \( \{M_1, \ldots, M_m\} \) will be called an output word, \( w \in \{1, \ldots, m\}^n \).

A decoding scheme for a quantum block code of length \( n \) is a function that univocally associates each output word with integers 1 to \( K \) representing classical messages. The probability of error for this code is greater than zero if the decoding system identifies a different message from the message sent.

**Definition 1** Let \( \mathcal{E}(\cdot) \) be a trace-preserving quantum map representing a noisy quantum channel. The zero-error capacity of \( \mathcal{E}(\cdot) \), denoted by \( C^{(0)}(\mathcal{E}) \), is the least upper bound of achievable rates with probability of error equal to zero. That is,

\[
C^{(0)}(\mathcal{E}) = \sup_n \frac{1}{n} \log K(n),
\]

where \( K(n) \) stands for the maximum number of classical messages that the system can transmit without error, when a quantum block code of length \( n \) is used.

A canonical method for calculating the supremum in the Eq. (1) involves a search on all possible input state subsets \( S \) and POVMs \( \mathcal{P} \). Given a particular \((S, \mathcal{P})\), \( S = \{\rho_1, \ldots, \rho_l\} \), \( \mathcal{P} = \{M_1, \ldots, M_m\} \), and supposing a memoryless quantum channel, one may define a classical, discrete memoryless channel (DMC) as follows. Take indexes \( j \) of \( \rho_j \) and \( k \) of \( M_k \) as input and output alphabets, respectively. The transition matrix will be a \( ||S|| \times ||\mathcal{P}|| \) matrix given by \( T = [p(k|j)] \), where

\[
p(k|j) = \text{tr} \left[ \mathcal{E}(\rho_j)M_j \right].
\]

Clearly, this classical equivalent channel has a zero-error capacity. Then, the zero-error error capacity of the quantum channel will be the maximum of these capacities over all possibles \((S, \mathcal{P})\).

**Definition 2** An optimum \((S, \mathcal{P})\) for a quantum channel \( C \) is composed of a set \( S = \{\rho_i\} \) and a POVM \( \mathcal{P} = \{M_j\} \) for which the zero-error capacity is reached.

Next we recall the definition of non-adjacent states.

**Definition 3** Two quantum states \( \rho_1 \) and \( \rho_2 \) are said to be non-adjacent with relation to a POVM \( \mathcal{P} = \{M_j\} \) if \( A_1 \cap A_2 = \emptyset \), where

\[
A_k = \{ j \in \{1, \ldots, m\} ; \text{tr} \left[ \mathcal{E}(\rho_k)M_j \right] > 0 \}; \ k = 1, 2.
\]

We proved a necessary and sufficient condition for which a quantum channel has zero-error capacity greater than zero:
Proposition 1 ([5]) The zero-error capacity of a quantum channel is greater than zero if and only if there exist a subset $\mathcal{S} = \{\rho_i\}_{i=1}^l$ and a POVM $\mathcal{P} = \{M_j\}_{j=1}^m$ for which at least two states in $\mathcal{S}$ are non-adjacents with relation to the POVM $\mathcal{P}$.

3. Relation with graph theory

Given a classical discrete memoryless channel, two input symbols are adjacent if there is an output symbol which can be caused by either of these two. From such channels, we may construct a graph $G$ by taking as many vertices as the number of input symbols, and connecting two vertices if the corresponding input symbols are non-adjacent. Shannon [3] showed that the zero-error capacity of the DMC is given by

$$C = \sup_{n} \frac{1}{n} \log \omega(G^n),$$

where $\omega(G)$ is the clique number of the graph $G$ and $G^n$ is the $n$–product graph of $G$.

The problem of finding the zero-error capacity of a quantum channel is straightforwardly reformulated in the language of graph theory. Given a subset of input states $\mathcal{S}(i)$ and a POVM $\mathcal{P}(i)$, we can construct a characteristic graph $G(i)$ as follows. Take as many vertices as $||\mathcal{S}(i)||$ and connect two vertices if the corresponding input states in $\mathcal{S}(i)$ are non-adjacents for the POVM $\mathcal{P}(i)$.

Definition 4 (Alternative definition) The zero-error capacity of the quantum channel is given by

$$C^{(0)}(\mathcal{E}) = \sup_{(\mathcal{S}(i),\mathcal{P}(i))} \sup_{n} \frac{1}{n} \log \omega(G^n(i)),$$

where $\omega(G)$ is the clique number of the graph $G$ and $G^n(i)$ is the $n$–product graph of $G(i)$.

It is easy to see that the supremum in Eq. (3) is achieved for the optimum $(\mathcal{S}, \mathcal{P})$. Moreover, the characteristic graph we construct from the transition matrix defined by Eq. (2) is identical to $G(i)$. We use this alternative definition to prove further results.

4. Characterizing input states

It is known that finding the clique number of a graph (and consequently que zero-error capacity) is a NP-complete problem [12]. One might expect that calculating the zero error-capacity of quantum channels is a more difficult task. For such channels, this process involves a search for the optimum $(\mathcal{S}, \mathcal{P})$. For example, a priori the subset $\mathcal{S}$ may contain any kind of quantum states. The results presented in this section aim to reduce the search space of operators in $\mathcal{S}$. Particularly, we show that it is only needed to consider pure states to attain the supremum in Eq.(3).

Proposition below relates orthogonality of output states and adjacency.
Proposition 2 For a quantum channel $\mathcal{E} \equiv \{E_a\}$, two input states $\rho_1, \rho_2 \in S$ are non-adjacent for a given POVM $\mathcal{P} = \{M_1, \ldots, M_m\}$ if and only if $\mathcal{E}(\cdot)$ takes $\rho_1$ and $\rho_2$ into orthogonal subspaces.

More specifically, Proposition 2 asserts that if $\rho_1$ and $\rho_2$ are non-adjacent, then their images $\mathcal{E}(\rho_1)$ and $\mathcal{E}(\rho_2)$ are entirely inside orthogonal Hilbert subspaces. At first glance this seems to be an obvious result. However, remember that $\mathcal{E}(\rho_i)$ may be mixed states and it is important to know in which subspace each of them lives.

Proof Given a complete set of POVM operators $\mathcal{P} = \{M_1, \ldots, M_m\}$, a POVM measurement apparatus can be viewed as a black box that outputs a number from 1 to $m$ when an unknown quantum state is measured.

Suppose that $\rho_1$ and $\rho_2$ are non-adjacent quantum input states. For integers $k, l$ satisfying $k + l \leq m$, we can always reorder the POVM indexes so that $\mathcal{P} = \{M_1, \ldots, M_k, \ldots, M_{k+l}, \ldots, M_m\}$ and

$$\text{Prob } [i \mid \rho_1 \text{ was sent } ] \left\{ \begin{array}{l} > 0 \forall i = 1, \ldots, k = 0 \text{ otherwise} \\ > 0 \forall i = k + 1, \ldots, k + l = 0 \text{ otherwise.} \end{array} \right.$$ 

This scenario is explained in Fig. 1. On the left side we put the states $\rho_i$, and all POVM elements on the right side. Next we draw a line from $\rho_i$ to $M_j$ if $\text{Prob } [\text{get output } j \mid \rho_i \text{ was sent } ] = \text{tr } [\mathcal{E}(\rho_i)M_j] > 0$.

![Figure 1](image_url)

Figure 1. Two non-adjacent quantum states for the POVM $\mathcal{P}$. The same method is employed to construct the classical equivalent discrete memoryless channel (DMC) used to calculate the zero-error capacity of quantum channels (see [5]).

It is possible to build a new POVM containing only two elements $\{M^{(1)}, M^{(2)}\}$ as

$$M^{(1)} = \sum_{i=1}^{k} M_i \quad \text{and} \quad M^{(2)} = \sum_{i=k+1}^{m} M_i \quad (4)$$

for which

$$\text{Prob } [\text{get output } (1) \mid \rho_1 \text{ was sent } ] = 1$$

$$\text{Prob } [\text{get output } (2) \mid \rho_2 \text{ was sent } ] = 1,$$
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or equivalently,

\[ \text{tr} \left[ \mathcal{E}(\rho_1) M^{(1)} \right] = 1 \]
\[ \text{tr} \left[ \mathcal{E}(\rho_2) M^{(2)} \right] = 1. \]

For the “if” part it is sufficient to demonstrate that \( M^{(1)} \) and \( M^{(2)} \) are orthogonal projectors. Note that \( M^{(1)} + M^{(2)} = \mathbb{I} \). Hence, if \( M^{(1)} \) is a projector, then \( M^{(2)} \) is its orthogonal complement.

Let \( \mathcal{E}(\rho_1) = \sum_a E_a \rho_1 E_a^\dagger \) be the output state when \( \rho_1 \) is sent through the quantum channel. The spectral decomposition of \( \mathcal{E}(\rho_1) \) gives us

\[ \mathcal{E}(\rho_1) = \sum_i \alpha_i^{(1)} |a_i\rangle \langle a_i|, \]

for an orthonormal base \( |a_i\rangle \) and positive numbers \( \alpha_i^{(1)} \), \( \sum_i \alpha_i^{(1)} = 1 \). Then, verifying

\[ \text{tr} \left[ \mathcal{E}(\rho_1) M^{(1)}_1 \right] = 1 \]
implies

\[ \text{tr} \left[ M^{(1)} \sum_i \alpha_i^{(1)} |a_i\rangle \langle a_i| \right] = \sum_i \alpha_i^{(1)} \langle a_i|M^{(1)}|a_i\rangle \]
\[ = \sum_i \alpha_i^{(1)} \]
\[ = 1. \]

Notice that \( M^{(1)} \) is a positive matrix satisfying \( M^{(1)} \leq \mathbb{I} \). From this we conclude that \( \langle a_i|M^{(1)}|a_i\rangle = 1 \) \( \forall i \) such that \( |a_i\rangle \) is in the support of \( \mathcal{E}(\rho_1) \). Finally, we can write \( M^{(1)} \) as

\[ M^{(1)} = \sum_{\{i : |a_i\rangle \in \text{supp} \mathcal{E}(\rho_1)\}} |a_i\rangle \langle a_i|, \]

which is a projector on the subspace spanned by the eigenvectors of \( \mathcal{E}(\rho_1) \) with nonzero eigenvalues.

Conversely, let \( \mathcal{E} \) be a quantum channel that take \( \rho_1 \) and \( \rho_2 \) into orthogonal subspaces. If \( M^{(1)} \) and \( M^{(2)} \) are projectors over these subspaces, then

\[ \text{tr} \left[ \mathcal{E}(\rho_1) M^{(1)} \right] = 1 \quad \Rightarrow \quad \text{tr} \left[ \mathcal{E}(\rho_1) M^{(2)} \right] = 0 \]

and

\[ \text{tr} \left[ \mathcal{E}(\rho_2) M^{(2)} \right] = 1 \quad \Rightarrow \quad \text{tr} \left[ \mathcal{E}(\rho_2) M^{(1)} \right] = 0, \]

and the result follows. \( \blacksquare \)

We recall the definition of the Holevo-Schumacher-Westmoreland’s classical capacity for a quantum channel \#\#\#\#:

\[ C_{1,\infty}(\mathcal{E}) \equiv \max_{\{\rho_i, p_i\}} \left[ S \left( \mathcal{E} \left( \sum_i p_i \rho_i \right) \right) - \sum_i p_i S(\mathcal{E}(\rho_i)) \right]. \]

A very interesting result about this capacity claims that the maximum is reached by using only pure states, i.e., we need only consider states like \( \rho_i = |v_i\rangle \langle v_i| \) in the input of the channel.

For the quantum zero-error capacity (QZEC), we have an analogous result:
Proposition 3 The QZEC of quantum channels is calculated by using an optimum map \((\mathcal{S}, \mathcal{P})\), where the set \(\mathcal{S}\) is composed only by pure quantum states, i.e., \(\mathcal{S} = \{\rho_i = |v_i\rangle\langle v_i|\}\).

**Proof** Consider a quantum channel represented by a trace-preserving linear map, \(\mathcal{E}(\cdot)\), with operation elements \(\{E_a\}\). Suppose \((\mathcal{S}, \mathcal{P})\) is an optimum map, with \(\mathcal{S} = \{\rho_1, \ldots, \rho_l\}\) and \(\mathcal{P} = \{M_1, \ldots, M_m\}\), and each state \(\rho_i\) may be a mixed state. We call \(\mathcal{G}\) the characteristic graph associated with \((\mathcal{S}, \mathcal{P})\). To demonstrate the proposition, we show that it is always possible to obtain a subset \(\mathcal{S}'\) from \(\mathcal{S}\), such that \(\mathcal{S}'\) contains only pure states and \((\mathcal{S}', \mathcal{P}' = \mathcal{P})\) is also optimum.

Let \(\rho_i \in \mathcal{S}, \rho_i = \sum v \lambda_{v_i} |v_i\rangle\langle v_i|\) be an input quantum state. Then, the output of the channel when \(\rho_i\) is transmitted is given by

\[
\mathcal{E}(\rho_i) = \sum_a E_a \rho_i E_a^\dagger
\]

\[
= \sum_a E_a \left[ \sum_v \lambda_{v_i} |v_i\rangle\langle v_i| \right] E_a^\dagger
\]

\[
= \sum_a \sum_v E_a \lambda_{v_i} |v_i\rangle\langle v_i| E_a^\dagger.
\] (5)

By using the POVM \(\mathcal{P}\), the probability of measuring \(j\) given that the quantum state \(\rho_i\) was sent is

\[
p(j|i) = \text{tr} [\mathcal{E}(\rho_i) M_j]
\]

\[
= \text{tr} \left[ \left( \sum_a \sum_v E_a \lambda_{v_i} |v_i\rangle\langle v_i| E_a^\dagger \right) M_j \right]
\]

\[
= \sum_v \lambda_{v_i} \text{tr} \left[ \left( \sum_a E_a |v_i\rangle\langle v_i| E_a \right) M_j \right].
\] (6)

Note that in the equation above, \(\text{tr} [\cdot]\) is always greater than or equal to zero and \(0 < \lambda_{v_i} \leq 1\). It represents the probability of getting output \(j\) given that the pure state \(|v_i\rangle\) was sent through the quantum channel. If we replace the mixed states \(\rho_i\) by any pure state \(|v_i\rangle\) in the support of \(\rho_i\), the cardinality of the subset \(A_i\) (see Def. 3) never increases. To see this, let \(M_k\) be an POVM element so that \(\text{tr} [\mathcal{E}(\rho_i) M_k] = 0\). From Eq. (6),

\[
\text{tr} [\mathcal{E}(\rho_i) M_k] = \sum_v \lambda_{v_i} \text{tr} \left[ \left( \sum_a E_a |v_i\rangle\langle v_i| E_a \right) M_k \right]
\]

\[
= 0
\] (7)

implies \(\text{tr} [(\sum_a E_a |v_i\rangle\langle v_i| E_a) M_k] = 0\) for all pure states \(|v_i\rangle\) in the support of \(\rho_i\). Now define a new set \(\mathcal{S}'\) by replacing each mixed state \(\rho_i \in \mathcal{S}\) with a pure state \(|v_i\rangle\) \(\in \text{sup} \rho_i\). The number of non-adjacent states in \(\mathcal{S}'\) is at least that of \(\mathcal{S}\). A larger number of
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non-adjacency leads to a more connected characteristic graph. For any graph \( G \), and in particular for the characteristic graph, it is well known that adding edges never decreases (and may increase) the clique number \([12]\), and according to Eq. (3) this may not reduce the zero-error capacity of the quantum channel.

Finally, we may always find a set \( S' = \{\rho'_1, \ldots, \rho'_l\} \), where \( \rho'_i = |v_i\rangle\langle v_i| \in \sup \rho_i \) and \((S', P)\) is also optimum.

The proposition 3 allow us to prove the next result considering only pure states:

**Proposition 4** Let \( |v_1\rangle \) e \( |v_2\rangle \) be two non-adjacent states. Then, \( \langle v_1|v_2\rangle = 0 \).

**Proof** To prove the proposition, we make use of a distance measure for quantum states called trace distance. The trace distance between \( \sigma_1 \) and \( \sigma_2 \) is given by

\[
D(\sigma_1, \sigma_2) = \frac{1}{2} \text{tr} |\sigma_1 - \sigma_2|.
\]

Note that the trace distance is maximum and equal to one if, and only if, \( \sigma_1 \) and \( \sigma_2 \) have orthogonal supports.

Proposition 2 guarantees that if \( |v_1\rangle \) and \( |v_2\rangle \) are non-adjacent, then \( \mathcal{E}(|v_1\rangle) \) and \( \mathcal{E}(|v_2\rangle) \) have orthogonal supports. Because we assumed \( |v_1\rangle \) and \( |v_2\rangle \) non-adjacent, we have

\[
D(\mathcal{E}(|v_1\rangle), \mathcal{E}(|v_2\rangle)) = 1.
\]

It is easy to show that quantum channels \( \mathcal{E} \equiv \{E_\alpha\} \) are contractive \([15\; \text{pp. 406}]\), i.e.,

\[
D(|v_1\rangle, |v_2\rangle) \geq D(\mathcal{E}(|v_1\rangle), \mathcal{E}(|v_2\rangle)).
\]

The result now follows:

\[
1 \geq D(|v_1\rangle, |v_2\rangle) \geq D(\mathcal{E}(|v_1\rangle), \mathcal{E}(|v_2\rangle)) = 1,
\]

which means that \( D(|v_1\rangle, |v_2\rangle) = 1 \) and \( |v_1\rangle \) are orthogonal to \( |v_2\rangle \).

Consider a qubit channel and an orthonormal basis for the 2-dimensional Hilbert space. Our results allow for the analysis of such channels in a zero-error context: either the zero-error capacity is equal to one bit per use or to zero. This is because these channels have at most two non-adjacent input states. If we take any subset \( S \) containing \( n \) states, \( n - 2 \) states will be adjacent with at least one of the others two.

For a quantum channel in a \( d \)-dimensional Hilbert space, the canonical method presented in Sec. 2 can be improved. The search for the subset \( S \) should start by taking sets of orthogonal pure states. Evidently, adjacent states can be added to the initial set if they contribute to increase the clique number in Eq. (3).

**5. Conclusions**

We presented in this paper some results concerning the characterization of input states for the calculation of the zero-error capacity of quantum channels.

Initially, we showed that calculating the zero-error capacity of such channels is equivalent to finding the clique number of graph products. This result was used to
prove the main result of this paper. We showed that the quantum zero-error capacity is reached by using only pure input states. In the literature, it was demonstrated an analogous result for the HSW capacity.

Further work will include the study of relations with others areas of quantum information theory and quantum computation. More specifically, we think the theory of quantum zero-error is closely connected with quantum noiseless subsystems and the theory of graph states.

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