Efficient Lyndon factorization of grammar compressed text

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Abstract. We present an algorithm for computing the Lyndon factorization of a string that is given in grammar compressed form, namely, a Straight Line Program (SLP). The algorithm runs in $O(n^4 + mn^3h)$ time and $O(n^2)$ space, where $m$ is the size of the Lyndon factorization, $n$ is the size of the SLP, and $h$ is the height of the derivation tree of the SLP. Since the length of the decompressed string can be exponentially large w.r.t. $n, m$ and $h$, our result is the first polynomial time solution when the string is given as SLP.

1 Introduction

Compressed string processing (CSP) is a task of processing compressed string data without explicit decompression. As any method that first decompresses the data requires time and space dependent on the decompressed size of the data, CSP without explicit decompression has been gaining importance due to the ever increasing amount of data produced and stored. A number of efficient CSP algorithms have been proposed, e.g., see [16,25,15,12,11,13]. In this paper, we present new CSP algorithms that compute the Lyndon factorization of strings.

A string $\ell$ is said to be a Lyndon word if $\ell$ is lexicographically smallest among its circular permutations of characters of $\ell$. For example, $aab$ is a Lyndon word, but its circular permutations $aba$ and $baa$ are not. Lyndon words have various and important applications in, e.g., musicology [4], bioinformatics [8], approximation algorithm [22], string matching [6,23], word combinatorics [10,24], and free Lie algebras [20].

The Lyndon factorization (a.k.a. standard factorization) of a string $w$, denoted $LF(w)$, is a unique sequence of Lyndon words such that the concatenation of the Lyndon words gives $w$ and the Lyndon words in the sequence are lexicographically non-increasing [5]. Lyndon factorizations are used in a bijective variant of Burrows-Wheeler transform [17,14] and a digital geometry algorithm [5]. Duval [9] proposed an elegant on-line algorithm to compute $LF(w)$ of a given string $w$ of length $N$ in $O(N)$ time. Efficient parallel algorithms to compute the Lyndon factorization are also known [11,7].

We present a new CSP algorithm which computes the Lyndon factorization $LF(w)$ of a string $w$, when $w$ is given in a grammar-compressed form. Let $m$
be the number of factors in \( LF(w) \). Our first algorithm computes \( LF(w) \) in \( O(n^4 + mn^3h) \) time and \( O(n^2) \) space, where \( n \) is the size of a given straight-line program (SLP), which is a context-free grammar in Chomsky normal form that derives only \( w \), and \( h \) is the height of the derivation tree of the SLP. Since the decompressed string length \( |w| = N \) can be exponentially large w.r.t. \( n, m \) and \( h \), our \( O(n^4 + mn^3h) \) solution can be efficient for highly compressive strings.

## 2 Preliminaries

### 2.1 Strings and model of computation

Let \( \Sigma \) be a finite alphabet. An element of \( \Sigma^* \) is called a string. The length of a string \( w \) is denoted by \( |w| \). The empty string \( \varepsilon \) is a string of length 0, namely, \( |\varepsilon| = 0 \). Let \( \Sigma^+ \) be the set of non-empty strings, i.e., \( \Sigma^+ = \Sigma^* - \{\varepsilon\} \). For a string \( w = xyz \), \( x \) and \( z \) are called a prefix, substring, and suffix of \( w \), respectively. A prefix \( x \) of \( w \) is called a proper prefix of \( w \) if \( x \neq w \), i.e., \( x \) is shorter than \( w \). The set of suffixes of \( w \) is denoted by \( \text{Suffix}(w) \). The \( i \)-th character of a string \( w \) is denoted by \( w[i] \), where \( 1 \leq i \leq |w| \). For a string \( w \) and two integers \( 1 \leq i \leq j \leq |w| \), let \( w[i..j] \) denote the substring of \( w \) that begins at position \( i \) and ends at position \( j \). For convenience, let \( w[i..j] = \varepsilon \) when \( i > j \). For any string \( w \) let \( w^1 = w \), and for any integer \( k > 2 \) let \( w^k = w w^{k-1} \), i.e., \( w^k \) is a \( k \)-time repetition of \( w \).

A positive integer \( p \) is said to be a period of a string \( w \) if \( w[i] = w[i + p] \) for all \( 1 \leq i \leq |w| - p \). Let \( w \) be any string and \( q \) be its smallest period. If \( p \) is a period of a string \( w \) such that \( p < |w| \), then the positive integer \( |w| - p \) is said to be a border of \( w \). If \( w \) has no borders, then \( w \) is said to be border-free.

If character \( a \in \Sigma \) is lexicographically smaller than another character \( b \in \Sigma \), then we write \( a \prec b \). For any non-empty strings \( x, y \in \Sigma^+ \), let \( \text{lcp}(x, y) \) be the length of the longest common prefix of \( x \) and \( y \). We denote \( x \prec y \), if either of the following conditions holds: \( x[\text{lcp}(x, y) + 1] \prec y[\text{lcp}(x, y) + 1] \), or \( x \) is a proper prefix of \( y \). For a set \( S \subseteq \Sigma^+ \) of non-empty strings, let \( \min_\prec S \) denote the lexicographically smallest string in \( S \).

Our model of computation is the word RAM: We shall assume that the computer word size is at least \( \lceil \log_2 |w| \rceil \), and hence, standard operations on values representing lengths and positions of string \( w \) can be manipulated in constant time. Space complexities will be determined by the number of computer words (not bits).

### 2.2 Lyndon words and Lyndon factorization of strings

Two strings \( x \) and \( y \) are said to be conjugate, if there exist strings \( u \) and \( v \) such that \( x = uv \) and \( y = vu \). A string \( w \) is said to be a Lyndon word, if \( w \) is lexicographically strictly smaller than all of its conjugates of \( w \). Namely, \( w \) is a Lyndon word, if for any factorization \( w = uv \), it holds that \( w \prec vu \). It is known that any Lyndon word is border-free.
Fig. 1. The derivation tree of SLP $S = \{X_1 \to a, X_2 \to b, X_3 \to X_1X_2, X_4 \to X_1X_3, X_5 \to X_3X_4, X_6 \to X_4X_5, X_7 \to X_5X_6\}$, representing string $S = val(X_7) = aababaababaab$.

**Definition 1 ([5]).** The Lyndon factorization of a string $w$, denoted $LF(w)$, is the factorization $\ell_1^{p_1} \cdots \ell_m^{p_m}$ of $w$, such that each $\ell_i \in \Sigma^+$ is a Lyndon word, $p_i \geq 1$, and $\ell_i \succ \ell_{i+1}$ for all $1 \leq i < m$.

It is known that the Lyndon factorization is unique for each string $w$, and it was shown by Duval [9] that the Lyndon factorization can be computed in $O(N)$ time, where $N = |w|$.

$LF(w)$ can be represented by the sequence $(|\ell_1|, p_1), \ldots, (|\ell_m|, p_m)$ of integer pairs, where each pair $(|\ell_i|, p_i)$ represents the $i$-th Lyndon factor $\ell_i^{p_i}$ of $w$. Note that this representation requires $O(m)$ space.

### 2.3 Straight line programs

A straight line program (SLP) is a set of productions $\mathcal{S} = \{X_1 \to expr_1, X_2 \to expr_2, \ldots, X_n \to expr_n\}$, where each $X_i$ is a variable and each $expr_i$ is an expression, where $expr_i = a$ ($a \in \Sigma$), or $expr_i = X_{\ell(i)}X_{r(i)}$ ($i > \ell(i), r(i)$). It is essentially a context free grammar in Chomsky normal form, that derives a single string. Let $val(X_i)$ represent the string derived from variable $X_i$. To ease notation, we sometimes associate $val(X_i)$ with $X_i$ and denote $|val(X_i)|$ as $|X_i|$, and $val(X_i)[u..v]$ as $X_i[u..v]$ for $1 \leq u \leq v \leq |X_i|$. An SLP $\mathcal{S}$ represents the string $w = val(X_n)$. The size of the program $\mathcal{S}$ is the number $n$ of productions in $\mathcal{S}$. Let $N$ be the length of the string represented by SLP $\mathcal{S}$, i.e., $N = |w|$. Then $N$ can be as large as $2^n - 1$.

The derivation tree of SLP $\mathcal{S}$ is a labeled ordered binary tree where each internal node is labeled with a non-terminal variable in $\{X_1, \ldots, X_n\}$, and each leaf is labeled with a terminal character in $\Sigma$. The root node has label $X_n$. An example of the derivation tree of an SLP is shown in Fig. [1].
3 Computing Lyndon factorization from SLP

In this section, we show how, given an SLP $S$ of $n$ productions representing string $w$, we can compute $LF(w)$ of size $m$ in $O(n^4 + mn^3h)$ time. We will make use of the following known results:

**Lemma 1 ([19]).** For any string $w$, let $LF(w) = \ell_1^w, \ldots, \ell_m^w$. Then, $\ell_m = \min_\prec Suffix(w)$, i.e., $\ell_m$ is the lexicographically smallest suffix of $w$.

**Lemma 2 ([18]).** Given an SLP $S$ of size $n$ representing a string $w$ of length $N$, and two integers $1 \leq i \leq j \leq N$, we can compute in $O(n)$ time another SLP of size $O(\log N)$ representing the substring $w[i..j]$.

**Lemma 3 ([18]).** Given an SLP $S$ of size $n$ representing a string $w$ of length $N$, we can compute the shortest period of $w$ in $O(n^3 \log N)$ time and $O(n^2)$ space.

For any non-empty string $w \in \Sigma^+$, let $LFCand(w) = \{x \mid x \in Suffix(w), \exists y \in \Sigma^+ \text{ s.t. } xy = \min_\prec Suffix(wx)\}$. Intuitively, $LFCand(w)$ is the set of suffixes of $w$ which are a prefix of the lexicographically smallest suffix of string $wx$, for some non-empty string $y \in \Sigma^+$.

The following lemma may be almost trivial, but will play a central role in our algorithm.

**Lemma 4.** For any two strings $u, v \in LFCand(w)$ with $|w| < |v|$, $u$ is a prefix of $v$.

**Proof.** If $v[1..|w|] \prec u$, then for any non-empty string $y$, $vy \prec uy$. However, this contradicts that $u \in LFCand(w)$. If $v[1..|w|] \succ u$, then for any non-empty string $y$, $vy \succ uy$. However, this contradicts that $v \in LFCand(w)$. Hence we have $v[1..|w|] = u$. \qed

**Lemma 5.** For any string $w$, let $\ell = \min_\prec Suffix(w)$. Then, the shortest string of $LFCand(w)$ is $\ell^p$, where $p \geq 1$ is the maximum integer such that $\ell^p$ is a suffix of $w$.

**Proof.** For any string $x \in LFCand(w)$, and any non-empty string $y$, $xy = \min_\prec Suffix(wx)$ holds only if $y \succ \ell$.

Firstly, we compare $\ell^p$ with the suffixes $s$ of $w$ shorter than $\ell^p$, and show that $\ell^p y \prec sy$ holds for any $y \succ \ell$. Such suffixes $s$ are divided into two groups: (1) If $s$ is of form $\ell^k$ for any integer $1 \leq k < p$, then $\ell^p y \prec \ell^k y = sy \prec y$ holds for any $y \succ \ell$; (2) If $s$ is not of form $\ell^k$, then since $\ell$ is border-free, $\ell$ is not a prefix of $s$, and $s$ is not a prefix of $\ell$, either. Thus $\ell^p \prec s$ holds, implying that $\ell^p y \prec sy$ for any $y \succ \ell$.

Secondly, we compare $\ell^p$ with the suffixes $t$ of $w$ longer than $\ell^p$, and show that $\ell^p y \prec ty$ holds for some $y \succ \ell$. By Lemma 4, $t = \ell^q u$ holds, where $q \geq p$ is the maximum integer such that $\ell^q$ is a prefix of $t$, and $u \in \Sigma^+$. By definition, $\ell \prec u$ and $\ell$ is not a prefix of $u$. Choosing $y = \ell^{q-p} u'$ with $u' \prec u$, we have $\ell^p y = \ell^q u' \prec \ell^q u = t \prec ty$. Hence, $\ell^p \in LFCand(w)$ and no shorter strings exist in $LFCand(w)$. \qed
By Lemma 4 and Lemma 5 computing the last Lyndon factor \( \ell_m^n \) of \( w = \text{val}(X_n) \) reduces to computing \( LFCand(X_n) \) for the last variable \( X_n \). In what follows, we propose a dynamic programming algorithm to compute \( LFCand(X_i) \) for each variable. Firstly we show the number of strings in \( LFCand(X_i) \) is \( O(\log N) \), where \( N = |\text{val}(X_n)| = |w| \).

**Lemma 6.** For any string \( w \), let \( s_j \) be the \( j \)th shortest string of \( LFCand(w) \). Then, \( |s_{j+1}| > 2|s_j| \) for any \( 1 \leq j < |LFCand(w)| \).

**Proof.** Let \( \ell = \min_{w} \text{Suffix}(w) \), and \( y \) any string such that \( y \succ \ell \). It follows from Lemma 3 that \( \ell \) is a prefix of any string \( s_j \in LFCand(w) \), and hence \( s_j \prec y \) holds.

Assume on the contrary that \( |s_{j+1}| \leq 2|s_j| \). If \( |s_{j+1}| = 2|s_j| \), i.e., \( s_{j+1} = s_js_j \), then \( s_{j+1}y = s_js_jy \prec s_jy \) holds, but this contradicts that \( s_j \in LFCand(w) \). Hence \( s_{j+1} \neq s_js_j \). If \( |s_{j+1}| < 2|s_j| \), by Lemma 4, \( s_j \) is a prefix of \( s_{j+1} \), and therefore \( s_j \) has a period \( q \) such that \( s_{j+1} = u^kv \) and \( s_j = u^{k-1}v \), where \( u = s_j[1..q] \), \( k \geq 1 \) is an integer, and \( v \) is a proper prefix of \( u \). There are two cases to consider: (1) If \( uvy \prec vy \), then \( u^kvy \prec u^{k-1}vy = s_jy \). (2) If \( vy \prec uvy \), then \( vy \prec uvy \prec u^2vy \prec \cdots \prec u^{k-1}vy = s_jy \). It means that \( \min_{w^t} \{ u^tvy, vy \} \prec s_jy \) for any \( y \succ \ell \), however, this contradicts that \( s_j \in LFCand(w) \). Hence \( |s_{j+1}| > 2|s_j| \) holds.

Since \( s_j \) is a suffix of \( s_{j+1} \), it follows from Lemma 4 and Lemma 5 that \( s_{j+1} = s_jts_j \) with some non-empty string \( t \in \Sigma^+ \). This also implies that the number of strings in \( LFCand(w) \) is \( O(\log N) \), where \( N \) is the length of \( w \). By identifying each suffix of \( LFCand(X_i) \) with its length, and using Lemma 5 \( LFCand(X_i) \) for all variables can be stored in a total of \( O(n \log N) \) space.

For any two variables \( X_i, X_j \) of an SLP \( S \) and a positive integer \( k \) satisfying \( |X_i| \geq k + |X_j| - 1 \), consider the \( FM \) function such that \( FM(X_i, X_j, k) = \text{lcp} (\text{val}(X_i)[k..|X_i|], \text{val}(X_j)) \), i.e., it returns the length of the lcp of the suffix of \( \text{val}(X_i) \) starting at position \( k \) and \( X_j \).

**Lemma 7 (21,19).** We can preprocess a given SLP \( S \) of size \( n \) in \( O(n^3) \) time and \( O(n^2) \) space so that \( FM(X_i, X_j, k) \) can be answered in \( O(n^2) \) time.

For each variable \( X_i \) we store the length \( |X_i| \) of the string derived by \( X_i \). It requires a total of \( O(n) \) space for all \( 1 \leq i \leq n \), and can be computed in a total of \( O(n) \) time by a simple dynamic programming algorithm. Given a position \( j \) of the uncompressed string \( w \) of length \( N \), i.e., \( 1 \leq j \leq N \), we can retrieve the \( j \)th character \( w[j] \) in \( O(n) \) time by a simple binary search on the derivation tree of \( X_n \) using the lengths stored in the variables. Hence, we can lexicographically compare \( \text{val}(X_i)[k..|X_i|] \) and \( \text{val}(X_j) \) in \( O(n^2) \) time, after \( O(n^3) \)-time preprocessing.

The following lemma shows a dynamic programming approach to compute \( LFCand(X_i) \) for each variable \( X_i \). We will mean by a sorted list of \( LFCand(X_i) \) the list of the elements of \( LFCand(X_i) \) sorted in increasing order of length.
Lemma 8. Let $X_i = X_t X_r$ be any production of a given SLP $S$ of size $n$. Provided that sorted lists for $\text{LFCand}(X_r)$ and $\text{LFCand}(X_t)$ are already computed, a sorted list for $\text{LFCand}(X_i)$ can be computed in $O(n^3)$ time and $O(n^2)$ space.

Proof. Let $D_i$ be a sorted list of the suffixes of $X_i$ that are candidates of elements of $\text{LFCand}(X_i)$. We initially set $D_i \leftarrow \text{LFCand}(X_r)$.

We process the elements of $\text{LFCand}(X_r)$ in increasing order of length. Let $s$ be any string in $\text{LFCand}(X_r)$, and $d$ the longest string in $D_i$. Since any string of $\text{LFCand}(X_r)$ is a prefix of $d$ by Lemma 4 in order to compute $\text{LFCand}(X_i)$ it suffices to lexicographically compare $s \cdot \text{val}(X_r)$ and $d$. Let $h = \text{lcp}(s \cdot \text{val}(X_r), d)$. See also Fig. 2.

- If $(s \cdot \text{val}(X_r))[h + 1] \prec d[h + 1]$, then $s \cdot \text{val}(X_r) \prec d$. Since any string in $D_i$ is a prefix of $d$ by Lemma 4 we observe that any element in $D_i$ that is longer than $h$ cannot be an element of $\text{LFCand}(X_i)$. Hence we delete any element of $D_i$ that is longer than $h$ from $D_i$, then add $s \cdot \text{val}(X_r)$ to $D_i$, and update $d \leftarrow s \cdot \text{val}(X_r)$. See also Fig. 3.

- If $(s \cdot \text{val}(X_r))[h + 1] \succ d[h + 1]$, then $s \cdot \text{val}(X_r) \succ d$. Since $s \cdot \text{val}(X_r)$ cannot be an element of $\text{LFCand}(X_i)$, in this case neither $D_i$ nor $d$ is updated. See also Fig. 4.

- If $h = |d|$, i.e., $d$ is a prefix of $s \cdot \text{val}(X_r)$, then there are two sub-cases:
  - If $|s \cdot \text{val}(X_r)| \leq 2|d|$, $d$ has a period $q$ such that $s \cdot \text{val}(X_r) = u^{k-1}v$, where $u = d[1..q]$, $k \geq 1$ is an integer, and $v$ is a proper prefix of $u$. By similar arguments to Lemma 5 we observe that $d$ cannot be a member of $\text{LFCand}(X_i)$ while $s \cdot \text{val}(X_r)$ may be a member of $\text{LFCand}(X_i)$. Thus we add $s \cdot \text{val}(X_r)$ to $D_i$, delete $d$ from $D_i$, and update $d \leftarrow s \cdot \text{val}(X_r)$. See also Fig. 5.
  - If $|s \cdot \text{val}(X_r)| > 2|d|$, then both $d$ and $s \cdot \text{val}(X_r)$ may be a member of $\text{LFCand}(X_i)$. Thus we add $s \cdot \text{val}(X_r)$ to $D_i$, and update $d \leftarrow s \cdot \text{val}(X_r)$. See also Fig. 6.
Fig. 3. Lemma Case where $(s \cdot \text{val}(X_r))[h+1] = \alpha \prec d[h+1] = \beta$. Then $s \cdot \text{val}(X_r)$ becomes the longest candidate in $D_i$. Any string in $D_i$ that is longer than $h$ are deleted from $D_i$.

Fig. 4. Lemma Case where $(s \cdot \text{val}(X_r))[h+1] = \alpha \succ d[h+1] = \beta$. There are no updates on $D_i$. 

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Fig. 5. Lemma Case where $h = |d|$ and $|s \cdot \text{val}(X_r)| \leq 2|d|$. Since $s \cdot \text{val}(X_r) = u^kv$ and $d = u^k v$, $d$ is deleted from $D_i$ and $s \cdot \text{val}(X_r)$ is added to $D_i$.

Fig. 6. Lemma Case where $h = |d|$ and $|s \cdot \text{val}(X_r)| > 2|d|$. We add $s \cdot \text{val}(X_r)$ to $D_i$, and $s \cdot \text{val}(X_r)$ becomes the longest member of $D_i$. 
We represent the strings in \( LFC_{\text{and}}(X_{\ell}) \), \( LFC_{\text{and}}(X_r) \), and \( D_i \) by their lengths. Given sorted lists of \( LFC_{\text{and}}(X_{\ell}) \) and \( LFC_{\text{and}}(X_r) \), the above algorithm computes a sorted list for \( D_i \), and it follows from Lemma 6 that the number of elements in \( D_i \) is always \( O(\log N) \). Thus all the above operations on \( D_i \) can be conducted in \( O(\log N) \) time in each step.

We now show how to efficiently compute \( h = \text{lcp}(s \cdot \text{val}(X_r), d) \), for any \( s \in LFC_{\text{and}}(X_{\ell}) \). Let \( z \) be the longest string in \( LFC_{\text{and}}(X_{\ell}) \), and consider to process any string \( s \in LFC_{\text{and}}(X_{\ell}) \). Since \( s \) is a prefix of \( z \) by Lemma 4, we can compute \( \text{lcp}(s \cdot \text{val}(X_r), d) \) as follows:

\[
\text{lcp}(s \cdot \text{val}(X_r), d) = \begin{cases} 
\text{lcp}(z, d) & \text{if } \text{lcp}(z, d) < |s|, \\
|s| + \text{lcp}(X_r, d[|s| + 1..|d|]) & \text{if } \text{lcp}(z, d) \geq |s|.
\end{cases}
\]

To compute the above lcp values using the FM function, for each variable \( X_i \) of \( S \) we create a new production \( X_{n+i} = X_i X_i \), and hence the number of variables increases to \( 2n \). In addition, we construct a new SLP of size \( O(n) \) that derives \( z \) in \( O(n) \) time using Lemma 2. Let \( Z \) be the variable such that \( \text{val}(Z) = z \). It holds that

\[
\text{lcp}(z, d) = \min\{\text{lcp}(Z, X_{n+i}[|X_i| - |d| + 1..|X_{n+i}|]), |d|\} \quad \text{and} \quad \\
\text{lcp}(X_r, d[|s| + 1..|d|]) = \min\{\text{lcp}(X_r, X_{n+r}[|X_r| - |d| + |s| + 1..|X_{n+r}|]), |d| - |s|\}.
\]

See also Fig. 7 and Fig. 8.

By using Lemma 2 we preprocess, in \( O(n^3) \) time and \( O(n^2) \) space, the SLP consisting of these variables so that the query \( \text{FM}(X_i, X_j, k) \) for answering \( \text{lcp}(X_[k..|X_i|], X_j) \) is supported in \( O(n^2) \) time. Therefore \( \text{lcp}(s \cdot \text{val}(X_r), d) \) can
Theorem 1. Given an SLP $S$ of size $n$ representing a string $w$, we can compute $LFCand(Y)$ in $O(n^4 + mn^3h)$ time and $O(n^2)$ space, where $m$ is the number of factors in $LF(w)$ and $h$ is the height of the derivation tree of $S$.

Proof. Let $LF(w) = \ell_{p_1} \cdots \ell_{p_m}$. First, using Lemma 8 we compute $LFCand$ for all variables in $S$ in $O(n^4)$ time. Next we will compute the Lyndon factors from right to left. Suppose that we have already computed $\ell_{p_{j+1}} \cdots \ell_{p_m}$, and we are computing the $j$th Lyndon factor $\ell_{p_j}$. Using Lemma 8 we construct in $O(n)$ time a new SLP of size $O(n)$ describing $w[1..|w| - \sum_{k=j+1}^{m} p_k|\ell_k|]$, which is the prefix of $w$ obtained by removing the suffix $\ell_{j+1}^{p_{j+1}} \cdots \ell_m^{p_m}$ from $w$. Here we note that the new SLP actually has $O(h)$ new variables since $w[1..|w| - \sum_{k=j+1}^{m} p_k|\ell_k|]$ can be represented by a sequence of $O(h)$ variables in $S$. Let $Y$ be the last variable of the new SLP. Since $LFCand$ for all variables in $S$ have already been computed, it is enough to compute $LFCand$ for $O(h)$ new variables. Hence using Lemma 8 we compute a sorted list of $LFCand(Y) = LFCand(w[1..|w| - \sum_{k=j+1}^{m} p_k|\ell_k|])$ in a total of $O(n^3h)$ time. It follows from Lemma 8 that the shortest element of $LFCand(Y)$ is $\ell_{p_j}$, the $j$th Lyndon factor of $w$. Note that each string in $LFCand(Y)$ is represented by its length, and so far we only know the total length $p_j|\ell_j|$ of the $j$th Lyndon factor. Since $\ell_j$ is border free, $|\ell_j|$ is the shortest period

Fig. 8. Lemma 8 $lcp(X_r, d||s| + 1..|d|) = \min\{lcp(X_r, X_{n+r}||X_r| - |d| + |s| + 1..|X_{n+r}|)|, |d| - |s|\}$. 

be computed in $O(n^2)$ time for each $s \in LFCand(X_r)$. Since there exist $O(\log N)$ elements in $LFCand(X_r)$, we can compute $LFCand(X_i)$ in $O(n^3 + n^2\log N) = O(n^3)$ time. The total space complexity is $O(n^2)$. 

Since there are $n$ productions in a given SLP, using Lemma 8 we can compute $LFCand(X_n)$ for the last variable $X_n$ in a total of $O(n^4)$ time. The main result of this paper follows.

Theorem 1. Given an SLP $S$ of size $n$ representing a string $w$, we can compute $LFCand$ for all variables in $S$ in $O(n^4)$ time and $O(n^2)$ space, where $m$ is the number of factors in $LF(w)$ and $h$ is the height of the derivation tree of $S$. 

Proof. Let $LF(w) = \ell_{p_1} \cdots \ell_{p_m}$. First, using Lemma 8 we compute $LFCand$ for all variables in $S$ in $O(n^4)$ time. Next we will compute the Lyndon factors from right to left. Suppose that we have already computed $\ell_{p_{j+1}} \cdots \ell_{p_m}$, and we are computing the $j$th Lyndon factor $\ell_{p_j}$. Using Lemma 8 we construct in $O(n)$ time a new SLP of size $O(n)$ describing $w[1..|w| - \sum_{k=j+1}^{m} p_k|\ell_k|]$, which is the prefix of $w$ obtained by removing the suffix $\ell_{j+1}^{p_{j+1}} \cdots \ell_m^{p_m}$ from $w$. Here we note that the new SLP actually has $O(h)$ new variables since $w[1..|w| - \sum_{k=j+1}^{m} p_k|\ell_k|]$ can be represented by a sequence of $O(h)$ variables in $S$. Let $Y$ be the last variable of the new SLP. Since $LFCand$ for all variables in $S$ have already been computed, it is enough to compute $LFCand$ for $O(h)$ new variables. Hence using Lemma 8 we compute a sorted list of $LFCand(Y) = LFCand(w[1..|w| - \sum_{k=j+1}^{m} p_k|\ell_k|])$ in a total of $O(n^3h)$ time. It follows from Lemma 8 that the shortest element of $LFCand(Y)$ is $\ell_{p_j}$, the $j$th Lyndon factor of $w$. Note that each string in $LFCand(Y)$ is represented by its length, and so far we only know the total length $p_j|\ell_j|$ of the $j$th Lyndon factor. Since $\ell_j$ is border free, $|\ell_j|$ is the shortest period
of $\ell_j^p$. We construct a new SLP of size $O(n)$ describing $\ell_j^p$, and compute $|\ell_j|$ in $O(n^3 \log N)$ time using Lemma 3. We repeat the above procedure $m$ times, and hence $LF(w)$ can be computed in a total of $O(n^4 + m(n^3h + n^3 \log N)) = O(n^4 + mn^3h)$ time. To compute each Lyndon factor of $LF(w)$, we need $O(n^2)$ space for Lemma 3 and Lemma 8. Since $LFCand(X_i)$ for each variable $X_i$ requires $O(\log N)$ space, the total space complexity is $O(n^2 + n \log N) = O(n^2)$.

4 Conclusions and open problem

Lyndon words and Lyndon factorization are important concepts of combinatorics on words, with various applications. Given a string in terms of an SLP of size $n$, we showed how to compute the Lyndon factorization of the string in $O(n^4 + mn^3h)$ time using $O(n^2)$ space, where $m$ is the size of the Lyndon factorization and $h$ is the height of the SLP. Since the decompressed string length $N$ can be exponential w.r.t. $n, m$ and $h$, our algorithm can be useful for highly compressive strings.

An interesting open problem is to compute the Lyndon factorization from a given LZ78 encoding [26]. Each LZ78 factor is a concatenation of the longest previous factor and a single character. Hence, it can be seen as a special class of SLPs, and this property would lead us to a much simpler and/or more efficient solution to the problem. Noting the number $s$ of the LZ78 factors is $\Omega(\sqrt{N})$, a question is whether we can solve this problem in $o(s^2) + O(m)$ time.

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