Optimal Multicast of Tiled 360 VR Video
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Abstract—In this letter, we study optimal multicast of tiled 360 virtual reality (VR) video from one server (base station or access point) to multiple users. We consider random viewing directions and random channel conditions, and adopt time division multiple access (TDMA). For given video quality, we optimize the transmission time and power allocation to minimize the average transmission energy. For given transmission energy budget, we optimize the transmission time and power allocation as well as the encoding rate of each tile to maximize the received video quality. These two optimization problems are challenging non-convex problems. We obtain globally optimal closed-form solutions of the two non-convex problems, which reveal important design insights for multicast of tiled 360 VR video. Finally, numerical results demonstrate the advantage of the proposed solutions.

Index Terms—virtual reality, 360 video, multicast, convex optimization.

I. INTRODUCTION

A virtual reality (VR) video is generated by capturing a scene of interest from all directions at the same time using omnidirectional cameras. A user wearing a VR headset can freely watch the scene of interest in any viewing direction at any time, hence enjoying immersive viewing experience. VR has vast applications in entertainment, education, medicine, etc. It is predicted that the global market of VR related products will reach 30 billion US dollars by 2020 [1]. Transmitting an entire 360 VR video which is of a much larger size than a traditional video brings a heavy burden to wireless networks. To improve transmission efficiency and avoid view switch delay, a 360 VR video is divided into smaller rectangular segments of the same size, referred to as tiles. The set of tiles covering a user’s current field-of-view (FoV) and the FoVs that may be watched shortly should be transmitted simultaneously.

In [2], [3], the authors consider tiled 360 VR video transmission in single-user wireless networks, and optimize video encoding parameters to maximize the utility which reflects the quality of the received 360 VR video. The optimization problems are discrete, and are solved by exhaustive search. In [4], [5], the authors consider 360 VR video transmission in multi-user wireless networks, and exploit multicast opportunities for serving concurrent viewers to improve transmission efficiency. In particular, [4] adopts the tiling technique, and optimizes the modulation and coding level of each tile to maximize the video quality. It is a discrete optimization problem of a large size, and the proposed heuristic algorithm may not provide desirable performance and complexity. Different from [2]–[4], [5] considers online encoding to encode only the required area to be transmitted. In addition, [5] proposes a dynamic multicast mechanism which is adaptive to the channel conditions of all users. The online encoding in [5] has higher coding efficiency (at the cost of the increase of implementation complexity). However, the transmission scheme in [5] is not optimization based, and may not guarantee promising performance. Note that the solutions in [4], [5] do not provide many design insights for 360 VR video multicast in wireless networks. It is still not known how the required FoVs and channel conditions of all users affect optimal resource allocation.

In this letter, we would like to address the above issues. We consider optimal multicast of tiled 360 VR video from one server (base station or access point) to multiple users. Specifically, we divide the 360 VR video into tiles. For each user, we deliver a set of tiles that cover the user’s current FoV and the FoVs that may be watched shortly. In order to make use of multicast opportunities and avoid redundant transmissions, we partition the set of tiles to be transmitted to users into disjoint subsets and multicast different subsets of tiles to different groups of users. We consider random viewing directions and random channel conditions, and adopt time division multiple access (TDMA). For given video quality, we optimize the transmission time and power allocation to minimize the average transmission energy under the transmission time allocation constraints and the transmission rate constraint. For given transmission energy budget, we optimize the transmission time and power allocation as well as the encoding rate of each tile to maximize the received video quality under the maximum transmission energy constraint. These two optimization problems are challenging non-convex problems. For each non-convex optimization problem, by analyzing optimality properties, we successfully transform it into an equivalent convex problem and obtain a globally optimal closed-form solution using KKT conditions. The derived solutions reveal important design insights for multicast of tiled 360 VR video. To the best of our knowledge, these important design insights have never been explicitly explored and analytically verified in existing literature. Finally, numerical results demonstrate the advantage of the proposed optimal solutions.

II. SYSTEM MODEL

As illustrated in Fig. 1, we consider downlink transmission of a 360 VR video from a single-antenna server (base
station or access point) to \( K (\geq 1) \) single-antenna users. Let \( K = \{1,\ldots,K\} \) denote the set of user indices. For ease of implementation, as illustrated in Fig. 1, we consider \( N_h \times N_v \) viewing directions, where \( N_h \) and \( N_v \) represent the numbers of horizontal and vertical viewing directions, and can be arbitrarily large. The \( (n_h,n_v) \)-th viewing direction refers to the viewing direction in the \( n_h \)-th row and \( n_v \)-th column. When a VR user is interested in one viewing direction, he can view a rectangular FoV of size \( F_h \times F_v \) with the viewing direction as its center.

To improve transmission efficiency, we consider tiling. In particular, the 360 VR video is divided into \( V_h \times V_v \) rectangular segments of the same size, referred to as tiles, where \( V_h \) and \( V_v \) represent the numbers of segments in each row and each column, respectively. Each tile is encoded into \( L \) different quality levels and encoding rates. The \( l \)-th version of each tile has the \( l \)-th lowest quality with encoding rate \( D_l \). Note that \( D_1 < D_2 < \cdots < D_L \). For fairness, we assume the tiles transmitted to all users are of the same quality level and let \( D \) denote the corresponding encoding rate. A VR user may watch one FoV at a time, and freely switch to another FoV after a while. To avoid view switch delay, for each user, the set of tiles that cover its current FoV and the FoVs that may be watched shortly will be delivered.

\( K \) users randomly select their viewing directions. Let \( X_k \subseteq X \) denote the random viewing direction of user \( k \), where \( X \triangleq \{(n_h,n_v)\mid n_h = 1,\ldots,N_h, n_v = 1,\ldots,N_v\} \) represents the set of all possible viewing directions of each user. Let \( X \triangleq \{X_k\}_{k\in K} \subseteq X^K \) denote the random system viewing direction state. For given \( X \), let \( \Phi(X) \) denote the set of tiles that need to be transmitted to user \( k \), and let \( \Phi(X) \triangleq \bigcup_{k\in X} \Phi_k(X) \) denote the set of tiles that need to be transmitted to all users. In order to make use of multicasting opportunities and avoid redundant transmissions, we divide \( \Phi(X) \) into \( I(X) \) disjoint non-empty sets \( S_i(X), i\in I(X) \triangleq \{1,\ldots,I(X)\} \).

For all \( i,j \in I(X), i \neq j, S_i(X) \) and \( S_j(X) \) are for different groups of users. Let \( S_i(X) \) denote the number of tiles in set \( S_i(X) \). Let \( K_i(X) \) and \( K_i(X) \) denote the set of the number of users that need to receive the tiles in \( S_i(X) \). Without loss of generality, we refer to the transmission of the tiles in \( S_i(X) \) to the users in \( K_i(X) \) as multicast (\( K_i(X) = 1 \)) and unicast (\( K_i(X) > 1 \)) may happen.

We consider a discrete time narrow band TDMA\(^1\) system with bandwidth \( B \) (in Hz), and study one time frame of duration \( T \) (in seconds). Assume block fading, i.e., each channel state (over bandwidth \( B \)) does not change within the considered time frame. In addition, we assume that the channel states of \( K \) users are random. Let \( H_k \in H \) denote the random channel state of user \( k \), representing the power of the channel between user \( k \) and the server, where \( H \) denotes the finite channel state space. Let \( H \triangleq (H_k)_{k\in K} \in H^K \) denote the random system channel state.

The random system state consists of the random system viewing direction state \( X \) and the random system channel state \( H \), denoted by \((X,H)\in \chi^K \times H^K \). The probability that the random system state \((X,H)\) takes the value \((x,h)\in \chi^K \times H^K \) is denoted as \( \Pr[(X,H)\in (x,h)] \), where \( x \triangleq (x_k)_{k\in K} \), \( x_k \in X \), \( h \triangleq (h_k)_{k\in K} \), and \( h_k \in H \). We assume that the server is aware of the system state \((X,H)\).

The time allocated to transmit the tiles in \( S_i(X) \) is denoted by \( t_i(X,H) \). Thus, we have the following time allocation constraints:

\[
t_i(X,H) \geq 0, \quad i \in I(X), X \in \chi^K, H \in H^K, \quad (1)
\]

\[
\sum_{i\in I(X)} t_i(X,H) \leq T, \quad X \in \chi^K, H \in H^K. \quad (2)
\]

Let \( p_i(X,H) \geq 0 \) denote the transmission power of the symbols for the tiles in \( S_i(X) \). Thus, the transmission energy is:

\[
E(t(X,H),p(X,H)) = \sum_{i\in I(X)} t_i(X,H)p_i(X,H), \quad X \in \chi^K, H \in H^K, \quad (3)
\]

where \( t(X,H) \triangleq (t_i(X,H))_{i\in I(X)} \) and \( p(X,H) \triangleq (p_i(X,H))_{i\in I(X)} \). To obtain design insights, we consider capacity achieving code. To guarantee that all users in \( K_i(X) \) can successfully receive the tiles in \( S_i(X) \), we have the following transmission rate constraint:

\[
t_i(X,H)B \log_2 \left( 1 + \frac{p_i(X,H)H_k}{n_0} \right) \geq S_i(X)DT, \quad k \in K_i(X), i \in I(X), X \in \chi^K, H \in H^K, \quad (4)
\]

where \( n_0 \) is the power of the complex additive white Gaussian noise at each receiver. Denote \( t \triangleq (t_i(X,H))_{(X,H)\in \chi^K \times H^K} \) and \( p \triangleq (p_i(X,H))_{(X,H)\in \chi^K \times H^K} \).

III. AVERAGE TRANSMISSION ENERGY MINIMIZATION

A. Problem Formulation

Given the video quality (i.e., encoding rate of each tile \( D \in \{D_1,\ldots,D_L\} \)), we would like to minimize the average transmission energy under the transmission time allocation constraints and the transmission rate constraint.

**Problem 1 (Average Transmission Energy Minimization):**

\[
E^* \triangleq \min_{t,p} \mathbb{E}[E(t(X,H),p(X,H))] \quad \text{s.t.} \quad (1), (2), (3), (4)
\]

where the expectation \( \mathbb{E} \) is taken over the random system state \((X,H)\in \chi^K \times H^K \), i.e., \( \mathbb{E}[E(t(X,H),p(X,H))] = \sum_{(x,h)\in \chi^K \times H^K} \Pr[(X,H)\in (x,h)]E(t(x,h),p(x,h)) \). Let \( t^*_p \) and \( p^*_p \) denote an optimal solution.

Note that the objective function of Problem 1 and the constraint in (4) are non-convex. Thus, Problem 1 is non-convex. In general, it is challenging to obtain a globally optimal solution of a non-convex problem.

\(^1\)Note that TDMA is analytically tractable and has applications in WiFi systems. In addition, the multicast transmission scheme and the optimization framework for a TDMA system can be extended to an OFDMA system.

\(^2\)Note that the analysis and optimization in this letter do not require the independence between \( X \) and \( H \).
B. Optimal Solution

In this part, we obtain a globally optimal solution of the non-convex Problem 1. Note that Problem 1 can be decomposed into subproblems, one for each $(X, H) \in \mathcal{X}^K \times \mathcal{H}^K$.

Subproblem 1 (Subproblem of Problem 7):

$$E^*(X, H) \triangleq \min_{t(X, H), p(X, H)} E(t(X, H), p(X, H))$$

s.t. (1), (2), (4).

Denote $H_{i,\min}(X, H) \triangleq \min_{k \in K} H_k(X, H)$. To solve Subproblem 1, we first analyze its optimality properties.

Lemma 1 (Optimality Properties of Subproblem 1): The optimal solution of Subproblem 1 satisfies:

$$p_{e,i}^*(X, H) = \frac{n_0}{H_{i,\min}(X, H)} \left( \frac{S_i(X, DT)}{2p_{e,i}^*(X, DT)} - 1 \right), \quad i \in I(X).$$

Proof: Suppose an optimal solution of Subproblem 1 is $(t_{e,i}^*(X, H), p_{e,i}^*(X, H))$, and $(t_{e,i}^*(X, H), p_{e,i}^*(X, H)) 
eq (t_{e,i}^*(X, H), p_{e,i}^*(X, H))$, where $(t_{e,i}^*(X, H), p_{e,i}^*(X, H)) \in I(X)$. Then, there exists $j \in I(X)$ such that $p_{e,j}(X, H) < p_{e,i}^*(X, H)$. By (5), we can show that $p_{e,j}(X, H)$ does not satisfy (4), which contradicts with the proof. Therefore, by contradiction, we can prove Lemma 1.

Note that $S_i(X, DT)$ in (5) represents the transmission rate (in bit/s) for the tiles in $S_i(X)$ for given $(X, H)$. By Lemma 1, we can eliminate $p$ and transform Subproblem 1 into an equivalent problem:

Problem 2 (Equivalent Problem of Subproblem 1):

$$\min_{t(X, H)} \sum_{i \in I(X)} \frac{n_0 t_i(X, H)}{H_{i,\min}(X, H)} \left( \frac{S_i(X, DT)}{2t_i(X, H)} - 1 \right).$$

s.t. (1), (2).

We can easily verify that Problem 2 is convex and the Slater’s condition is satisfied, implying that strong duality holds. Thus, Problem 2 can be solved using KKT conditions as in [6, pp. 243-246]. Based on the optimal solution of Problem 2 and Lemma 1, we have the following result.

Lemma 2 (Optimal Solution of Problem 7):

$$t_{e,i}^*(X, H) = \frac{S_i(X, DT) \ln 2}{H_{i,\min}(X, H)} \left( \frac{\lambda^*(X, H)}{n_0 e} - \frac{1}{e} \right) + 1,$$

$$i \in I(X), \quad X \in \mathcal{X}^K, \quad H \in \mathcal{H}^K,$$

$$\lambda^*(X, H) \triangleq \frac{1}{n_0 e} \exp \left( \frac{S_i(X, DT) \ln 2}{H_{i,\min}(X, H)} \right),$$

$$p_{e,i}^*(X, H) \triangleq \frac{n_0}{H_{i,\min}(X, H)} \left( \frac{S_i(X, DT)}{2p_{e,i}^*(X, DT)} - 1 \right), \quad i \in I(X), \quad X \in \mathcal{X}^K, \quad H \in \mathcal{H}^K.$$ (6)

where $W(\cdot)$ denotes the Lambert function, $e$ is a mathematical constant that is the base of the natural logarithm, and the Lagrangian multiplier $\lambda^*(X, H)$ satisfies

$$\sum_{i \in I(X)} \frac{S_i(X, DT) \ln 2}{H_{i,\min}(X, H)} = 1,$$ (7)

Note that $\lambda^*(X, H)$ in (8) can be easily obtained using the bisection method. Thus, we can obtain a globally optimal solution of Problem 1 efficiently. By Lemma 1 and Lemma 2, we have the following result.

Lemma 3 (Minimum Transmission Energy): If $H_1 = \cdots = H_K = \tilde{H}$, then

$$E^*(X, H) = \frac{n_0 T}{H} \left( \frac{2 \sum_{i \in I(X)} S_i(X)}{\tilde{H}} - 1 \right).$$ (9)

Furthermore, for all $(X, H) \in \mathcal{X}^K \times \mathcal{H}^K$,

$$\frac{n_0 T}{\max H} \left( \frac{2 \sum_{i \in I(X)} S_i(X)}{\tilde{H}} - 1 \right) \leq E^*(X, H).$$ (10)

Proof: When $H_1 = \cdots = H_K = \tilde{H}$, we can prove (9). For given $X$, we can show that any optimal solution of Subproblem 1 with arbitrary $H$ is a feasible solution of Subproblem 1 with $H = \max H$, and any optimal solution of Subproblem 1 with $H_1 = \cdots = H_K = \min H$ is a feasible solution of Subproblem 1 with arbitrary $H$. Thus, by (9), we can prove (10).

IV. RECEIVED VIDEO QUALITY MAXIMIZATION

A. Problem Formulation

Let $E_{\text{limit}}$ denote the transmission energy budget of the system. Consider the maximum transmission energy constraint:

$$\sum_{i \in I(X)} t_i(X, H) p_i(X, H) \leq E_{\text{limit}}, \quad X \in \mathcal{X}^K, \quad H \in \mathcal{H}^K.$$ (11)

To guarantee user experience, the encoding rate should not change as frequently as the viewing directions and channel states, and should remain constant within a certain time duration. Given the transmission energy budget $E_{\text{limit}}$, we would like to maximize the received video quality (i.e., encoding rate of each tile $D$) under the maximum transmission energy constraint.

Problem 3 (Received Video Quality Maximization):

$$D_q^* \triangleq \max \limits_{D, t, p} D$$

s.t. (1), (2), (4), (11).

Let $D_q^*$, $t_q^*$ and $p_q^*$ denote an optimal solution.

Note that the actual achievable video quality is $\max \{D_i | D_i \leq D_q^*\}$. The constraints in (4) and (11) are non-convex. Thus, Problem 3 is non-convex.

B. Optimal Solution

In this part, we obtain a globally optimal solution of the non-convex Problem 3. First, we transform it into an equivalent convex problem. Let $H_{\text{min}} \triangleq (H_k)_{k \in K}$ with $H_k = \min H$. Problem 4 (Equivalent Problem of Problem 3):

$$\min \limits_{X \in \mathcal{X}^K} D_q^*(X, H_{\text{min}})$$

where $D_q^*(X, H_{\text{min}})$ is given by the following subproblem.
Problem 5 (Subproblem of Problem 4): For all $X \in \mathcal{X}^K$, $D_q^*(X, H_{\text{min}}) \triangleq \max_{D,t(X,H_{\text{min}})} D$

\[
\text{s.t. (1), (2), } \sum_{i \in \mathcal{I}(X)} \frac{n_D(x_i, H_{\text{min}})}{\min H} \left(2^{\frac{S_i(X, D)}{n_D(x_i, H_{\text{min}})}} - 1\right) \leq E_{\text{limit}}.
\]

By carefully exploring structural properties of Problem 5 we have the following result.

Lemma 4: The optimal values of Problem 3 and Problem 4 are equivalent, i.e., $D_q^* = \min_{X \in \mathcal{X}^K} D_q^*(X, H_{\text{min}})$ Furthermore, $p_{q,i}^*(X, H_{\text{min}}) = \min_{X \in \mathcal{X}^K} \frac{n_D(x_i, H_{\text{min}})}{\min H} \left(2^{\frac{S_i(X, D)}{n_D(x_i, H_{\text{min}})}} - 1\right)$, $i \in \mathcal{I}(X), X \in \mathcal{X}^K$. (13)

Note that Lemma 4 can be proved in a similar way to Lemma 1. We can easily verify that Problem 5 is convex and the Slater’s condition is satisfied, implying that strong duality holds. Thus, Problem 5 can be solved using KKT conditions as in [6, pp. 243-246]. Based on the optimal solution of Problem 3 and Problem 5 we have the following result.

Lemma 5 (Optimal Solution of Problem 3): $D_q^* = \frac{1}{n_D^q} \ln \left(\frac{n_D^q \min H + 1}{n_D^q \min H - 1}\right)$, (14)

and $p_{q,i}^*(X, H)$ and $p_{q,i}^*(X, H)$ are given by $\frac{n_D(x_i, H_{\text{min}})}{\min H} \left(2^{\frac{S_i(X, D)}{n_D(x_i, H_{\text{min}})}} - 1\right)$ in (13) and $p_{q,i}^*(X, H)$ in (2), respectively, with $D = D_q^*$.

Lemma 5 indicates that $D_q^*$ is affected by the smallest channel power $\min H$ among all channel powers instead of $\max H, i \in \mathcal{I}(X)$, and is inversely proportional to $\max_{X \in \mathcal{X}^K} \sum_{i \in \mathcal{I}(X)} S_i(X)$ which represents the maximum number of tiles that need to be transmitted for all viewing directions.

VI. SIMULATION

In this section, we compare the proposed solutions in Section III and Section IV with two baselines using numerical results. Baseline 1 considers serving each user separately using unicast in an optimal way, similar to the proposed optimal solutions. Baseline 2 considers multicast as in this letter but with equal time allocation for each transmitted tile and optimal power allocation based on the equal time allocation. In the simulation, we use Kvaaraz as the 360 VR video encoder and video sequence Boxing as the video source [7]. We set $F_h = F_v = 100^\circ$, $N_h \times N_v = 36 \times 2$, and $V_h \times V_v = 30 \times 15$. To avoid view switch delay, we transmit extra $15^\circ$ in the four directions of each requested FoV. Set $B = 10$ MHz, $n_0 = 10^{-9}$, $W = 0.5$, $H = \{0.5d, 1.5d\}$, $P_r[H_k = 0.5d] = 0.5$, and $P_r[H_k = 1.5d] = 0.5$ for $k \in K$, where $d$ reflects the path loss. For ease of exposition, we consider Zipf distribution for the $\mathcal{N}_h \times \mathcal{N}_v$ viewing directions. In particular, suppose viewing direction $(n_h, n_v)$ is of rank $n_h \in \mathcal{R}$, and $Pr[X = (n_h, n_v)] = \frac{(n_h-1)N_v + n_v}{\sum_{i=1}^{n_h} N_v i}$, where $\gamma$ is the Zipf exponent. Note that a smaller $\gamma$ indicates a longer tail.

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