Constructing Active Architectures in the ArchWare ADL

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Abstract

Software that cannot change is condemned to atrophy: it cannot accommodate the constant revision and renegotiation of its business goals nor intercept the potential of new technology. To accommodate change in such systems we have defined an active software architecture to be: dynamic in that the structure and cardinality of the components and interactions are not statically known; updatable in that components can be replaced dynamically; and evolvable in that it permits its executing specification to be changed.

Here we describe the facilities of the ArchWare architecture description language (ADL) for specifying active architectures. The contribution of the work is the unique combination of concepts including: a π-calculus based communication and expression language for specifying executable architectures; hyper-code as an underlying representation of system execution; a decomposition operator to break up and introspect on executing systems; and structural reflection for creating new components and binding them into running systems.

1 Introduction

Software architectures [1, 2] describe systems in terms of their components and interactions between components. We define an active software architecture to be: dynamic in that the structure and cardinality of the components and interactions are not statically known; updatable in that components can be replaced dynamically; and evolvable in that it permits its executing specification to be changed.

Active architectures address problems of co-evolution in dynamically changing commercial environments where business changes create pressures on the business to evolve. The business effects of introducing, or changing, such software systems are often emergent and require their software architecture models to accommodate their demands by being dynamic, updatable and evolvable.

Figure 1 shows an evolving system. At the initial stage (a), the system is composed of three components of one kind (say dynamic clients) interacting with one component of another kind (say server) that has access to some data. At stage (b), this system has been decomposed to yield the individual components with the server still maintaining its access to the data. The next stage (c) sees the components evolved so that we have three clients and two servers both of which maintain the access to the shared data. Finally at stage (d) a new evolved system is formed by composing the five components so that one client interacts one server and the other two clients interact with the other server.

Figure 1. An evolving system

This paper describes the ArchWare Architecture Description Language [3] which is sufficiently rich to provide executable specifications of active systems. We define a core language on which architectural styles can be layered and on which a construction methodology can be applied. Our focus is on the base technologies required...
to support dynamic and evolvable systems and we present examples of how the ADL may be used to model active architectures.

2 Related work

Hitherto researchers have proposed many formal ADLs for representing and analysing architectural designs. Adage [4] supports the use of architectural frameworks in the avionics industry; Aesop [5] has architectural styles; Meta-H [6] has specific guidance for real-time avionics control software; SADL [7] provides a formal basis for architectural refinement; C2 [8] supports the description of user interface systems; Wright [9] supports the specification and analysis of interactions and UniCon [10] supports a mixture of heterogeneous component and connector types.

Containment Units [11] provide a mechanism for dealing with anticipated change. ArchStudio [12] is a tool suite that supports architecture-based development. Changes are made to an architectural model and then reified into implementation by a runtime architecture infrastructure. In [13], specific component managers identify external architecture changes by listening to events, and then react in order to preserve architecture constraints. The constraints themselves cannot be evolved. Each ADL has its own focus according to needs and taste with little integration of the overlapping ADL concepts. ACME [14] is an attempt at such integration but does it at the level of a lowest common denominator.

All of the above ADLs work on the specification and enactment model where the formal properties of the system are specified, analysed and then executed. The focus of the ArchWare project [15] is in evolving systems, with emergent properties, where the system is executing continuously. The model specification and the model enactment are both regarded as part of the executing state. At any point in time the model specification will be an accurate description of the model execution. Our claim is that such systems have active architectures and a paradigm shift in ADLs is required to accommodate them.

3 Change in active architectures

We have identified three architectural kinds of change in active architectures. These are:

- Dynamic change: allows the topology of the components and interactions to be determined dynamically. New components and interactions may be created during execution.
- Update change: allows components to be replaced dynamically. Whereas dynamic change is additive, update change may be regarded as subtractive and then additive (atomically).
- Evolutionary change: allows the specification of the components and interactions to be changed during execution.

There are two main stages to changing active architectures: first deciding which changes are required and when, and second making the changes. The first recognises that all change is made in response to some stimulus. Taken from control systems, Figure 2 shows how application knowledge, obtained by measurement perhaps, is used in predicting a goal and then causing a reaction. The reaction may be to continue execution or to initiate some change mechanism.

![Figure 2. Change mechanisms](image)

In an active architecture the specification of the architectural model changes in lock-step with the model execution. Thus changes during execution will change the specification and changes to the specification will affect the execution. However at any time in the execution of the model the specification is dynamically up-to-date.

In the ArchWare ADL we have provided a number of change mechanisms reflecting our estimate of the frequency of the expected type of change. Dynamic change and update change are made using mechanisms built into the specification language. For example, this may be adding more components to execute in parallel or passing components to other components through connections (dynamic change) or replacing a component from a library of parts by assignment (updatable change).

Evolutionary change is characterised by changing specifications and requires a reflective system. In this that part of the system to be changed is stopped, its specification altered and the new specification enacted in the executing model. Evolutionary change may be implemented using introspection on the component to be changed to yield its specification, and reflection to rebind the new specification. The reflection itself can use existing specifications to make the alterations. In the limit user input may be necessary to provide for change that is unexpected.
4 ArchWare overview

This work is undertaken within the EC funded ArchWare software architecture framework. The ArchWare project takes a holistic view of software development. Its aims are to advance and integrate research on software architecture and reflective systems to develop languages, frameworks and tools for architecting and engineering dynamic and evolvable software systems. The important aspects of the ArchWare approach can be described as follows:

- A formal, style-based, executable architecture description language to describe architectural structure, behaviour, qualities and evolution of systems
- A suite of tools based on the ADL for architecture design and analysis
- Run-time and environment framework to support the development and deployment of software systems and coordination of design and analysis tools
- Generic and customisable process models for evolutionary, architecture-centric development of software systems

Here we concentrate on the required environment and base technologies within the ArchWare ADL for dynamic expression and evolution. These include the following:

- A formal foundation based on higher-order \( \pi \)-calculus [16] for specifying components of architectures with dynamic structure and cardinality
- Integration of a \( \pi \)-calculus based language for communication and an expression based language to yield executable specifications
- Hyper-code as a representation for system execution to support reification
- A decompose operator essential to break up active systems into their components prior to evolution and recomposition
- Structural reflection to support evolution

The theoretical foundation enables formal analysis of the architecture and proof of its desired properties. Executable specifications reduce the cost and complexity of separately implementing the corresponding software systems. The separation of concerns of co-ordination, communication and computation of components make the system easier to understand and evolve. Dynamic expression is built into the constructs of the language. The facilities for composition and reification together with support for decomposition and reflection enable evolving systems.

5 The ArchWare ADL

The ArchWare ADL is the simplest of a family of languages designed for modelling active software architectures based on the concepts of \( \pi \)-calculus, persistent programming and dynamic system composition and decomposition.

The ArchWare ADL is a strongly, and mostly statically, typed persistent language. The ADL system consists of the language and its populated persistent environment and uses the persistent store to support itself. To model the component and communication algebra, the ADL supports the concepts of behaviours, abstractions of behaviours and connections between behaviours. Communication between components, represented by behaviours, is via channels, represented by connections. For expressing data the language also supports a number of data types: integer, boolean, real, string, locations, views, sequences and higher order functions. These can be regarded as syntactic sugar since they can all be encoded in the \( \pi \)-calculus. The language also supports all the basic \( \pi \)-calculus and expression based operations as well as composition and decomposition.

The ArchWare ADL is designed using the three principles of abstraction, correspondence and type completeness [17, 18, 19].

- The principle of abstraction allows abstractions over every semantically meaningful syntactic category in the language. Thus functions are abstractions over expressions.
- The principle of correspondence states that the rules for introducing and using names should be the same throughout. In particular there should be a one-to-one correspondence between introducing names in declarations and as parameters.
- The principle of type completeness states that the rules for using data types must be complete with no gaps. For example, general rules for type constructors should have no exceptions.

The application of these design rules yields languages that are both small in the number of concepts and powerful. They are small in that there are no exceptions to the rules and powerful since every combination is valid. These properties are important in the design of hyper-code and its programmable interface.

5.1 The ArchWare ADL layers

The ArchWare ADL is designed using a layered approach with the above-mentioned principles of programming language design guiding the process. Layering the language helps to separate different concerns. There are currently three layers to the ArchWare ADL.

The base layer (Base) defines a coordination language without any data values. This corresponds to non-higher-order monadic \( \pi \)-calculus. Connections are provided for communication but since there are no values to be communicated, communication merely provides
coherence. Thus there is no mobility at the base layer. Principles of abstraction and correspondence trivially apply at this level; without any data types, the principle of type completeness is not applicable. This layer is already dynamic though the provision of the replicate operator.

The first-order layer (FO) builds on the base layer to provide data values, behaviours, abstractions over values and behaviours (called functions and abstractions respectively) and mobility for data values and connections. This layer corresponds to non-higher-order polyadic $\pi$-calculus. The principles of abstraction and correspondence apply at this level. The principle of type completeness applies to all values except abstractions and behaviours since these are not permitted to be communicated via connections.

The higher-order layer (HO) develops the first-order layer to add mobility for abstractions and behaviours. Thus this layer corresponds to higher-order polyadic $\pi$-calculus. All three principles of programming language design apply at this level without exception. It is this layer that we present in this paper.

5.2 The ArchWare ADL type system

The ArchWare ADL type system is based on the notion of types as a set structure imposed over the value space. Membership of the type sets is defined in terms of common attributes possessed by values, such as the operations defined over them. These sets or types partition the value space. They may be predefined, like integer, or they may be formed by using one of the predefined type constructors, like view.

The constructors obey the principle of type completeness. That is, where a type may be used in a constructor, any type is legal without exception. This has two benefits. Firstly, since all the rules are very general and without exceptions, a very rich type system may be described using a small number of defining rules. This reduces the complexity of the defining rules. Secondly the type constructors are as powerful as is possible since there are no restrictions on their domain.

The universe of discourse of the ArchWare ADL can be described as follows. The following base types are defined:

1. The scalar data types are integer, real, and boolean.
2. Type string is the type of a character string; this type embraces the empty string and single characters.
3. Type any is an infinite union type; values of this type consist of a value of any type together with a representation of that type.
4. Type behaviour is the type of an executing process in the ADL.

The following type constructors are defined:

5. For any type $T$, location $[T]$ is the type of a location that contains a value of type $T$.

6. For any type $T$, sequence$[T]$ is the type of a sequence with elements of type $T$.

7. For identifiers $I_1,...,I_n$ and types $T_1,...,T_n$, view$[I_1: T_1,...,I_n: T_n]$ is the type of a view with fields $I_i$ and corresponding types $T_i$, for $i = 1..n$ and $n \geq 0$.

8. For any types $t$ and $T_1,...,T_n$, function$[t_1,...,t_n] \rightarrow t$ is the type of a function with parameter types $t_i$, for $i = 1..n$, where $n \geq 0$ and result type $t$. Functions abstract over expressions.

9. For types $T_1,...,T_n$, connection$[T_1,...,T_n]$ is the type of a connection (channel in $\pi$-calculus) which can send or receive values of types $T_1,...,T_n$.

10. For any types $T_1,...,T_n$, abstraction$[T_1,...,T_n]$ is the type of an abstraction with parameter types $T_i$, for $i = 1..n$, where $n \geq 0$. Abstractions abstract over behaviours.

The world of data values is defined by the closure of rules 1 to 4 under the recursive application of rules 5 to 10.

Communication between components, represented by behaviours, is via channels, represented by connections. Abstractions over behaviours are called abstractions and may be parameterised by any data type. For example, functions can be passed to abstractions and abstractions can be communicated over connections. Passing behaviours over connections yields mobility. All types can be regarded as syntactic sugar since they can all be coded within the $\pi$-calculus.

5.3 Control constructs in the ADL

The ArchWare ADL provides all of the usual control structures associated with expression based languages, namely sequence, choice, iteration, and function call including recursion. To model update change the ADL uses locations and assignment. Any data type may be stored in a location and be updated by a value of the same type.

Since the ArchWare ADL is formally based on the higher-order $\pi$-calculus it provides constructs analogous to those provided by the $\pi$-calculus for specifying control flow, communication and dynamic topology. The default execution pattern for behaviours in the ADL is parallel. In addition the ADL provides a rich set of control constructs.

Replication of a behaviour, indicated by ! in the $\pi$-calculus, is equivalent to a potentially infinite number of copies of that behaviour executing in parallel. This allows the specification of dynamic structure since replication generates copies as they are required. In Figure 3, the shown behaviour is replicated each time a value is received on connection in channel. The behaviour waits at its reduction limit for input. Upon receiving input it creates a clone of itself waiting at the reduction limit, and
sends twice the received value on the out_channel. Many
clones of the behaviour may be executing in parallel thus
capturing dynamic topologies in the architecture, and
supporting dynamic change.

```plaintext
replicate{
    via in_channel receive num ;
    via out_channel send 2 * num
}
```

**Figure 3. Replication**

The choose clause, denoted by + in the \(\pi\)-calculus,
allows the non-deterministic selection of one behaviour
from two or more behaviours. In Figure 4, one of
behaviours client1, client2 or client3 will be chosen at
random by the run-time system.

```plaintext
value client1 = ... ;
value client2 = ... ;
value client3 = ... ;
choose{
    client1
    or client2
    or client3
}
```

**Figure 4. Choice**

Sequence, indicated by “.” or then in the \(\pi\)-calculus,
can be modelled by “;” in the ADL. Therefore in Figure 3,
num will be received on in_channel by the behaviour
before the output value is sent on out_channel.

The \(\pi\)-calculus also provides the facility to restrict
names to processes. In the ArchWare ADL this
restriction is enabled partly by block structured
programming scope rules and partly by an explicit free
construct that specifies the values to be available further.

5.4 **Components**

Software architectures describe systems in terms of
their components and their interactions. Components are
units of structure and functionality. In the ArchWare ADL
components can be modelled by behaviours that are
analogous to processes in the \(\pi\)-calculus. The code in
Figure 3 specifies a server component that receives a
number and sends back twice its value.

In order to facilitate design and reuse, the ADL allows
the definition of abstractions that abstract over
behaviours. Applying an abstraction results in a behaviour
as illustrated in Figure 5.

```plaintext
value server = abstraction( )
{ via in_channel receive num ;
  via out_channel send 2 * num } ;
server( ) ; ! applies the abstraction to yield a behaviour
```

**Figure 5. Abstraction**

There are two aspects to the interaction between
components: coordination and communication in the
ADL. The former is concerned with synchronisation of
components and the latter with exchange of data between
components. Connections, analogous to channels in the \(\pi\)-
calculus, are used by the ArchWare ADL for both aspects.

Behaviours can communicate, i.e. send and receive
values, via connections if they share connections or if
their connections have been explicitly unified. Empty
messages via connections are used for coordination alone.

So far we have seen how to implement predictive
change through replicate for dynamic change, and
locations and assignment for update change. We now turn
our attention to the facilities for unexpected evolutionary
change – hyper-code, decomposition, reflection and
reification.

6 **Hyper-code**

The hyper-code abstraction was introduced in [20] as a
means of unifying the concepts of source code, executable
code and data in a programming system. The motivation
is that this may ease the task of the programmer, who is
presented with a simpler environment in which the
conceptually unnecessary distinction between these forms
is removed. In terms of Brooks’ essences and accidents,
this distinction is an accident resulting from inadequacies
in existing programming tools; it is not essential to the
construction and understanding of software systems [21].

In a hyper-code system the user composes hyper-code and
the system executes it. When evolving the system, for
example because an error has occurred, the user only ever
sees a hyper-code representation of the program, which
may now be partially executed. The hyper-code source
representation of the program is structured and contains
text and links to extant values. Figure 6 shows an example
of a hyper-code representation in the ArchWare ADL.
The links embedded in it are represented by underlined
tokens to allow them to be distinguished from the
surrounding text. The first link is to an integer location
value \(\text{count}\) that is used as a parameter in the application
of the server_abs abstraction. The program also has two
links to a previously defined abstraction client_abs.

Hyper-code models sharing by permitting a number of
links to the same value. Note that code values (client_abs)
are denoted using exactly the same mechanism as data
values (count). Note also that the value names used in this
description have been associated with the values for
clarity only, and are not part of the semantics of the
hyper-code.
The importance of hyper-code in active architectures is that it is rich enough to represent executing code. Thus as the program executes, the hyper-code changes in line with the semantics of the language. Since hyper-code can represent closure, through sharing links, it may be used as a representation for introspection of the executing system.

7 Composition and decomposition

An essential property of evolutionary systems is the ability to decompose a running system into its constituent components, and compose evolved or new components to form a new system, while preserving any state or shared data.

The ArchWare ADL provides a compose operator which operates over a number of behaviours (components) and returns a single handle to these behaviours executing in parallel. The result of this composition is also a behaviour. Thus hierarchical systems may also be modelled by components that are made up of other components. Figure 7 illustrates composition.

Behaviours client and server are composed to give system. The as construct permits meaningful labels to be associated with behaviours. As the details of client and server are not of interest in this example, the position sent by server is shown as a hyper-link which has previously been defined.

```plaintext
value server_abs = . . . ;
value server1 = server_abs( count ) ;
value client1 = client_abs() ;
value client2 = client_abs() ;
```

**Figure 6. ADL hyper-code**

```plaintext
value channel_1 = connection() ;
value channel_2 = connection( string ) ;

value client = replicate{
    via channel_1 send ;
    via channel_2 receive pos : string } ;
```

```plaintext
value server = replicate{
    via channel_1 receive ;
    via channel_2 send position } ;
value system = compose{ pos_client as client
    and pos_server as server }
```

**Figure 7. Composition**

The ADL also provides a decompose operator which breaks a behaviour into its constituent behaviours and returns them in a suspended state. Figure 8 illustrates the use of the decomposition operator on the composition from Figure 7.

```plaintext
value pos_seq = decompose system ;
value client_val = pos_seq::1.bhvr ;
value server_val = pos_seq::2.bhvr ;
value comp1_label = pos_seq::1.label
```

**Figure 8. Decomposition**

Decomposition returns a sequence of views consisting of behaviours and their labels (if any) in the order that they were composed. All the behaviours are at their reduction limit for that composition. Figure 8 shows how these behaviours and their labels may be accessed from the sequence. These behaviours can be returned to the user as hyper-code, modified and recomposed.

Explicit composition is not normally required since two behaviours will communicate if they share the same connection and communication is ready. Composition gives a handle to the new composed behaviours. The higher order nature of the language means that two behaviours that have been sent to a third may wish to communicate but do not share the same connection value. The compose operation has a variant that allows the unification of connection values during composition to facilitate communication in these circumstances. Figure 9 shows an example of unification.
value client = abstraction()
{  value out_request = connection();
    value in_reply = connection( string );
    replicate{
      via out_request send ;
      via in_reply receive pos : string }
};

value server = abstraction()
{  value in_request = connection();
    value out_reply = connection(string);
    replicate{
      via in_request receive ;
      via out_reply send position }
};

value system =
compose{  pos_client as client() and
  pos_server as server()
  where {  pos_client::out_request unifies
           pos_server::in_request,
           pos_client::in_reply unifies
           pos_server::out_reply } }

Figure 9. Unification

8 Reflection and reification

A hyper-code system may be thought of as operating within two abstract domains: entities (E) and representations (R). E contains all the first class values defined by the language while R contains the concrete representations of the values in E. Given these domains, four domain operations over E and R may be defined.

- reflect maps a representation to its corresponding entity (R \( \Rightarrow \) E)
- reify maps an entity to a corresponding representation (E \( \Rightarrow \) R)
- execute executes an entity, possibly generating a result (E \( \Rightarrow \) E)
- transform maps one representation onto another (R \( \Rightarrow \) R)

Figure 10 illustrates the domain operations.

Figure 10. Domain operations

Reflection and reification are of particular interest for this paper. In the context of Figure 1, it may be seen how these operations play a vital role in evolving systems. Once a system is decomposed, reification allows us to view the representations of its components. These representations may be evolved to capture new requirements. Reflection allows the evolved or new components to be bound back into the system.

The ability of hyper-code to capture closures allows us to represent parts of a system after decomposition without losing their context. It provides representations which can be used for both evolving the components and recomposing them into the new system.

9 A change example

The concepts discussed in earlier sections are now illustrated using an example written in the ArchWare ADL. This example is based on a long running in silico experiment [22]. The user is a scientist who wants to run the experiment from a desktop-based client. The experiment itself runs on a server machine with access to a range of resources, e.g. corporate databases. The scientist’s client will connect to a server that disseminates data about the status of the experiment. The client also allows the scientist to control (start and end) the experiment. Initially there will be a single client and a single server, but later the system will be evolved so that the functionality of the server is split into two.

The functionality of the server and the client can be modelled as abstractions in the ArchWare ADL. When applied, these abstractions yield executing behaviours. Such behaviours are the components that make up the client-server system. The repetitive nature of both the client and the server is captured using replication. Thus the dynamic nature of the system is already present in that the server may replicate itself to deal with data from the experiment and the client many replicate itself in parallel to react to the data sent by the server.

Since exact details of the experiment are not of interest to this example, we will assume that values of data type exp_view provide all necessary information about the experiment. The client abstraction can then be defined as shown in Figure 11.

```plaintext
value client_abs = abstraction()
{  value c_start = connection() ;
    value c_stop = connection() ;
    value c_get = connection( exp_view ) ;
    via c_start send ;
    via c_stop send ;
    via c_get receive ev : exp_view ;
    via c_display send ev }
```
The client defines the connections it needs to communicate on, sends a message to start the experiment and then on demand replicates itself to choose either to receive details of the experiment and display it to the user or to receive a command from the user and send a message to end the experiment. The former provides the scientist with an ongoing view of the experiment and the latter with the means to stop the experiment if its progress is not satisfactory. \textit{c\_display} and \textit{user\_input} connections are shown as hyper-links in the code as they have previously been defined elsewhere.

Figure 12 shows the definition of the server abstraction. The body of the server mirrors that of the client. It defines its connections, receives the start message, begins the experiment and then on demand replicates itself to choose either to receive the stop message and end the experiment or to receive the current values of the experiment and send them on. As before connection \textit{exp\_input} and function \textit{stop\_experiment} are shown as hyper-links.

The \textit{as} construct allows users to associate meaningful labels with behaviours being composed. In addition to aiding the identification of behaviours after decomposition, this facility also connections to be uniquely identified for unification.

Figure 13 shows the composition of one client and one server.

Once the system starts executing, we may wish to change its structure. The scientist may want to share a view of the \textit{in silico} experiment with colleagues, or the experiment may take longer than expected and the scientist may wish to get advice before deciding whether the server should be stopped aborting the experiment.

We begin this process by decomposing the system into its component parts as shown in Figure 14. The result of this decomposition is a sequence of views containing the following information about each behaviour of the system: label, behaviour value and list of connections. In long-running systems, labels associated with behaviours may help identify their purpose and identity.

Necessary changes can then be made by evolving or redefining some components. In this case we wish to split the functionality of the server into two by creating two new servers, one serving status alone, which can be shared among multiple clients, and the other serving the command messages, of which the scientist who started the experiment wants to retain control. Therefore we create two new abstractions to replace the old \textit{server\_abs}.

Using hyper-code representations of the abstractions will enable us to define the new abstractions to use the current values of variables without having to explicitly store and reinitialise them as shown in Figure 15. Abstraction \textit{view\_server\_abs} disseminates status information about the experiment while \textit{command\_server\_abs} allows the experiment to be controlled. Note that start messages are ignored as the experiment has already been running.
A new client-server system can then be formed by composing the two new servers with the decomposed client appropriately as shown in Figure 16.

Figure 16. The changed system

Now the client will communicate with both servers. If experiment information is required the client will talk to view_server and if the scientist wishes to control the experiment then the client will talk to command_server.

10 Conclusions

We have identified the need for dynamic evolution of software architecture definitions. For reliability it is important that the architectural definition of a system is automatically kept consistent with the state of the system at all times during its execution and evolution. Our approach to meeting these requirements involves the combination of a number of technologies: reflection, reification and representation for closure (hyper-code).

We have combined these with a variant of the π-calculus that yields dynamic expressions and communication while providing the basis for formal analysis tools in the form of theorem provers, type checkers and model checkers.

These elements provide a core evolutionary support system. We are currently investigating its usability in practice.

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