High Performance of Gradient Boosting in Binding Affinity Prediction

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Abstract
Prediction of protein-ligand (PL) binding affinity remains the key to drug discovery. Popular approaches in recent years involve graph neural networks (GNNs), which are used to learn the topology and geometry of PL complexes. However, GNNs are computationally heavy and have poor scalability to graph sizes. On the other hand, traditional machine learning (ML) approaches, such as gradient-boosted decision trees (GBDTs), are lightweight yet extremely efficient for tabular data. We propose to use PL interaction features along with PL graph-level features in GBDT. We show that this combination outperforms the existing solutions.

1. Introduction
Binding affinity is one of the major determinants to identify whether a molecule binds to a desired macromolecular target. It helps speculate if the molecule can induce a biological response in an organism, which is the central mechanism in drug action. Reliable and fast prediction of such parameter is an important and challenging task in cheminformatics (Wan et al., 2020). To build an accurate binding affinity prediction model PL complex 3D structures are needed (Son & Kim, 2021), and are readily accessible from steadily growing open source databases (Jumper et al., 2021). While docking is an established approach to predict affinity (Kitchen et al., 2004), recent ML-based algorithms consistently outperform existing classical methods (Meli et al., 2021). Considered a natural way of processing chemical structures, recently GNNs are proposed to predict binding affinity. In a typical prediction pipeline for cheminformatics, a GNN generalizes to a labeled and weighted graph, nodes of which represent molecular atoms, and edges may represent their bonds by including various interaction features such as bond angles and distances (Jones et al., 2021; Son & Kim, 2021). This application domain of GNN can lead to a promising results (Kearnes et al., 2016). The main bottleneck of GNNs is, however, their computationally complex iterative convergence (Gupta et al., 2020; Tiezzi et al., 2020).

In contrast, GBDTs provide faster convergence and perform out-of-the-box, which makes them a popular tool for ML contests and industrial applications (Ivanov & Prokhorenkova, 2021; Gorishnyi et al., 2021). Notwithstanding the advantages, powerful model of GBDTs often overlooked (Qin et al., 2021).

In this work, we leverage the advantages of GBDTs to graph-level structural and interaction feature representations of PL complexes for binding affinity prediction. Assessment of the model performance on external test sets showed that the GBDTs can perform equally well or outperform the recent state-of-the-art GNN-based approach, SIGN (Li et al., 2021).

2. Related Work
The early ML methods of binding affinity prediction rely on careful feature engineering. Namely, RF-Score proposed training a Random Forest model on intermolecular interaction features (Ballester & Mitchell, 2010). For sets of atom types P in protein and L in ligand, the authors count the number of atom type pairs in the complex. More formally, the resulting interaction features vector x is |P|×|L| dimensional and

\[ x_{ji} = \sum_{a \in \text{protein}} \sum_{b \in \text{ligand}} \delta_{aj} \delta_{ib} \Theta (d_{cutoff} - d_{ab}) , \]

where \( \delta \) and \( \Theta \) are the Kronecker and Heaviside functions respectively, \( d_{cutoff} \) is the interaction cutoff distance and \( d_{ab} \) is the distance between atoms \( a \) and \( b \). Particularly, the authors set \( d_{cutoff} = 12 \). As for \( P \) and \( L \), the following sets are recommended:

\[ P = \{C, N, O, S\} , \]
\[ L = \{C, N, O, F, P, S, Cl, Br, I\} . \]

Therefore, the interaction features can be described by a 36-dimensional vector. Designing representations for the molecules has also received a great deal of attention in the context of graph kernels (Kriege et al., 2020; Ivanov &
We provide time and memory consumption details of SIGN wards integrating atomic distances (Lim et al., 2019), bond To enrich the representation, the efforts are being directed to-

various applications on graphs (Sharaev et al., 2018; Ivanov a one-hot numeric array: atom types were transformed into
distribution statistics. Categorical features were encoded to
standard deviation of atomic features to characterize atomic
together. For each atomic feature, we sum the values over
produced in RF-Score, alongside graph-level features. To con-
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3. Our Approach
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struct graph-level features, the atomic features are pooled
together. For each atomic feature, we sum the values over all atoms in the complex. Additionally, we calculate the
standard deviation of atomic features to characterize atomic
distribution statistics. Categorical features were encoded to
a one-hot numeric array: atom types were transformed into

a binary array, where each bit encodes a type. Then, the
graph-level feature constructed from these arrays represents the
distribution of atom types in a complex. Below we list
the features that we used to train our models:

- RF-Score PL interaction features;
- Summarized graph-level atomic features;
- Standard deviation of atomic features;

After obtaining features, we pass them to a GBDT model. GBDT (Friedman, 2001) is a widely used algorithm that
builds ensemble of decision trees. The idea of GBDT is to
iteratively add weak decision trees and aggregate their pre-
dictions to obtain an overall strong model. At each iteration
the model is updated by building a new tree that minimizes the loss function w.r.t. previous predictions of the ensemble.
In particular, at each iteration $t$ the model $f(x)$ is updated in
an additive manner:

$$ f^t(x) = f^{t-1}(x) + \epsilon h^t(x), $$

where $f^{t-1}$ is previously built trees, $h^t$ is a weak learner that is chosen from some family of functions $\mathcal{H}$ (e.g. decision
trees), and $\epsilon$ is a learning rate. The weak learner $h^t \in \mathcal{H}$
approximates the direction of the negative gradient of a loss function $L$ w.r.t. the current model’s predictions:

$$ h^t = \arg\min_{h \in \mathcal{H}} \sum_{i} \left( -\frac{\partial L(f^{t-1}(x_i), y_i)}{\partial f^{t-1}(x_i)} - h(x_i) \right)^2. $$

As such one can think of GBDT’s training as functional gradient descent, where at each iteration we move in the
direction that minimizes the loss. This allows flexibly train-
ing GBDT on various tabular continuous/discrete, noisy and heterogeneous data.

4. Experiments

Data. For evaluating the performance of the models, we
use the same dataset and splits as in (Li et al., 2021). Specifi-
cally, all experiments were conducted on PDBbind v2016
(Liu et al., 2015) and CSAR-HiQ (Dunbar Jr et al., 2011),
which contain experimental PL binding affinity data. The
core set of PDBbind (290 complexes) was used as a benchmark for model comparison (Su et al., 2018). The models were trained on the difference between the refined and core sets (3767 complexes). For tuning hyperparameters, 377 out of 3767 complexes were used as a validation set. After training and tuning, the models were additionally evaluated on CSAR-HiQ dataset. Since CSAR-HiQ and the refined set of PDBbind overlap, we filter out these overlapping complexes for evaluation. The filtered dataset consists of 135 complexes.

We follow the same feature extraction procedure as the authors of SIGN. Each atom is represented by a 36-dimensional vector, 18 of which describe protein features and the rest describe ligand features. Those features include atom type, hybridization, partial charge, aromaticity, etc. For this set of features, we run the pipeline presented in Section 3. As a result, we obtain 108 features for each complex — 36 from the interaction matrix, 36 by summing atomic features, and the other 36 by calculating standard deviation.

**Models.** Our work focuses mainly on GBDT models since they have shown great performance for tabular data in both time and quality. We compare two popular GBDT models, CatBoost (Dorogush et al., 2018) and LightGBM (Ke et al., 2017), with SIGN. First, we train the models on PDBbind refined set and tune their hyperparameters on validation set. For tuning, we utilize Optuna (Akiba et al., 2019), automatic hyperparameter search framework. While validating, we aim to minimize root mean squared error (RMSE). The best hyperparameters for CatBoost are following: depth = 10, grow policy = symmetric tree, L2 leaf regularization = 73.47. As for LightGBM, the optimal hyperparameters are: bagging fraction = 1, bagging frequency = 0, feature fraction = 0.8, L1 regularization = 0, L2 regularization = 0, minimal child samples = 20, number of leaves = 244.

Next, we evaluate the models on the PDBbind core set. Table 1 summarizes our main findings. The following metrics are reported: RMSE (root mean squared error), MAE (mean absolute error), SD (standard deviation), R (Pearson’s correlation). The metrics are averaged across 5 different runs (their standard deviation are presented in parentheses). The best values are denoted in bold. On the core set, LightGBM has the same RMSE as SIGN on average but it deviates less, while CatBoost performs better in terms of SD and R. The only metric on which SIGN outperforms GBDT model is MAE. Besides GBDT models, we also provide the results for linear regression and three-layer perceptron.

To assess the generalization ability, the models are further evaluated on CSAR-HiQ set. As can be seen from Table 1, classical GBDT models perform on par with SIGN method. LightGBM demonstrates slight improvement over SIGN in terms of RMSE and is able to outperform all presented models. Nevertheless, SIGN shows better R score. It should be noted that GBDTs achieved these results easily, owing to efficiency and scalability of their architecture.

**Feature importance.** Finally, we obtain the feature importance to analyze their contribution. Figures 2 and 3 show the degree of contribution of atomic features (their sum and standard deviation). Green and red bars correspond to ligand and protein features respectively. Figure 1 illustrates the
importance of interaction features. It is notable that mainly uncommon interactions in the complexes, such as $S - F$, $N - F$ have the highest importance in decision making of the model. It is also important to note that the sum of atomic features has a more even distribution of importance than those of interaction features while having the same peak in magnitude. This might suggest that pooling atomic-level features into graph-level features can significantly boost the performance of RF-Score.

Training time and memory consumption. We also report the benefits of using GBDTs in terms of speed and memory consumption. All experiments were conducted on 22 CPU cores and 1 NVIDIA A10 GPU (GPU was used for training SIGN). While training SIGN took 11 hours (300 epochs with batch size set to 16), training CatBoost took only 3 minutes. Moreover, LightGBM has been trained only under 4 seconds in total. As for memory consumption, SIGN allocates 19 GB of RAM and 13 GB of VRAM, whereas GBDT models required less than 1 GB of RAM.

5. Discussion and Future Work

In this work, we looked back at traditional ML methods for predicting PL binding affinity and proposed a way to improve their performance. We showed that the inclusion of graph-level features with their standard deviation results in better metrics. To our surprise, GBDT models can be comparable to heavy GNN models without much effort. We hope that our findings would bring attention back to simpler models, as there might be more undiscovered potential. Next, we plan to integrate GBDT and GNN into one end-to-end architecture to utilize the advantages of heterogeneous learning and representation learning, as recently reported in our work, BGNN (Ivanov & Prokhorenkova, 2021). The approach demonstrated state-of-the-art performance and efficiency results on node-level prediction tasks, and adapting the architecture to process the graph-level molecular features is a promising direction.

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