Effectiveness of task-shifting for the detection of diabetic retinopathy in low- and middle-income countries: a rapid review protocol.
Subject Areas

Health Economics & Outcomes Research  Ophthalmology

Keywords

Low- and middle-income countries, diabetic retinopathy, task-shifting, effectiveness
Abstract

Background

Diabetic retinopathy is the most common ocular complication of diabetes and a cause of vision loss in adults. Diabetic retinopathy screening leading to early identification of the disease, followed by timely treatment, can prevent vision loss in people living with diabetes. A key barrier to the implementation of screening services in low- and middle-income countries is the low number of ophthalmologists per million population. Interventions that shift screening to non-ophthalmology cadres have been implemented in programmes in low- and middle-income countries and are routinely used in high-income countries.

Methods

We will search MEDLINE, Embase, Global Health and Cochrane Register of Studies for studies reporting task-shifting interventions for diabetic retinopathy detection. The review will include studies published in the last 10 years in the English language. We will include any interventional or observational comparative study measuring outcomes in terms of participation or access to diabetic retinopathy detection services (uptake) and quality of diabetic retinopathy detection services (detection, severity, diagnostic accuracy). For included studies cost-effectiveness of the task-shifting intervention will also be presented. Two reviewers will screen search results independently. The risk of bias assessment and data extraction will be carried out by one reviewer with verification of 10% of the papers by a second reviewer. The results will be synthesised narratively.

Discussion

The aim of this rapid review is to summarise the published literature reporting the effectiveness of task-shifting interventions for the detection of diabetic retinopathy by non-ophthalmologists low- and middle-income countries. The results will be of interest to policy makers and programme managers tasked with designing and implementing services to prevent and manage diabetes and its complications in similar settings.

Rapid review registration OSF: osf.io/h5wgr

Background

Diabetic retinopathy (DR) is the most frequent microvascular complication of diabetes and a significant cause of vision loss in adults. Vision loss due to DR can largely be prevented through regular retinal screening for timely identification of DR, followed by referral and treatment.

DR screening has been shown to be cost-effective when compared to no screening or opportunistic screening. However, cost-effectiveness requires high coverage and this is challenging in low- and middle-income (LMICs) countries where the available eye health services are clustered around the cities and access to them from the remote and rural populations is often difficult. Few countries worldwide have been successful in establishing population-based screening programmes that reach most of the patients with diabetes. The uptake of retinal screening depends on context specific factors related to the service provider, the wider organization and structure of the health system, and the patient.

A crucial limitation to deliver DR screening in LMICs is the low availability of ophthalmologists, particularly in sub-Saharan Africa (SSA), where the mean ophthalmologist density per million population is 3.7. In this context, it is not realistic to rely on ophthalmologists to examine the retinas of the growing population of people living with diabetes (PLWD) unless it is at the expense of the delivery of other critical eye care services. This is not only a challenge for LMICs, and programmes in high-income countries (HICs) have overcome this issue by successfully adopting task-shifting in DR screening by training retinal graders, thus freeing ophthalmologists.
time to perform other tasks. The WHO defines task-shifting as the transfer of tasks to existing cadres of healthcare workers with shorter training and fewer qualifications or to newly created cadres who receive a competency-based training for the specific task. There is some evidence of the successful use of task-shifting in SSA in other health fields including diabetes. However, it is not clear whether task-shifting interventions for the detection of DR in LMICs results in an increase in the uptake of screening and higher DR detection rate.

There are several factors that can potentially affect the effectiveness of task-shifting interventions for DR screening. Firstly, the choice, level and training of the cadre performing the screening is a key consideration and is likely to be different depending on the composition and competencies of the health workforce in a particular country. The quality of the screening is measured by the diagnostic test accuracy (DTA) of the method of choice in the hands of non-ophthalmologists. It is recommended that population-based screening programmes should reach over 80% sensitivity and 95% specificity. Screening performed by photographers has been shown to be more accurate when done by photographers with specialist medical or eye care qualifications. There is also evidence that non-medical retinal image graders can achieve the threshold level of sensitivity and specificity in both mydriatic and non-mydriatic retinal assessment.

Secondly, the screening technique used can also affect the DTA. The recommended technique is photography-based screening which adds the possibility of quality assurance. DR screening programs in LMICs are gradually adopting retinal photography. However, in many clinics without cameras other methods of retinal examination that are not necessarily effective as screening techniques are still used. The sensitivity of direct ophthalmoscopy in detecting DR is low (65%) and indirect ophthalmoscopy requires extensive training and experience. Slit lamp bio-microscopy has a high sensitivity and specificity but also requires significant training and the availability of a slit lamp. Optical Coherence Tomography (OCT) for the detection of diabetic maculopathy is expensive and rarely available outside tertiary level eye departments in LMICs.

Thirdly, the use of mydriasis can improve the effectiveness of task-shifting by increasing the number of gradable images. However, non-mydriatic imaging presents several advantages for screening programmes, like reduced screening time, less inconvenience for patients and importantly no limitations for using cadres that may not be allowed to instil eye drops.

Finally, an important consideration in LMICS is the need to reach rural and remote populations with difficult access to eye health services. Outreach services delivered by non-ophthalmologists can be an answer to this problem, but must ensure that DTA is maintained. Evidence suggests that DTA is higher in hospital-based screening services compared with outreach services. Several approaches for DR screening in outreach services that can lend themselves to task-shifting have shown promising accuracy levels. These include telemedicine using digital imaging, the use of artificial intelligence supported cameras or smart phone-based technology for image capture.

This rapid review aims to identify and synthesise the peer reviewed literature on the effectiveness of task-shifting for the detection of DR in LMICs.

**Methods**

**Rapid review question**

Can task-shifting improve the detection of diabetic retinopathy (DR) compared with eye examination done by ophthalmologists among adults with diabetes in LMICs?

**Protocol and registration**

This rapid review methods were informed by the WHO practical guide on rapid reviews and we used the STARR Decision Tool to carefully take into account the possible limitations introduced by the choice of methodology.
We provide the adapted preferred reporting items for systematic reviews and meta-analysis protocols (PRISMA-P) in Additional file 1. The registration details are provided in Additional file 2. We will document any future amendments to the protocol on the Open Science Framework (OSF) registration site.

### Eligibility Criteria

#### Table 1: Eligibility Criteria

| Population | Adults (aged ≥18 years) with Type 1 or Type 2 diabetes in LMICs |
|------------|---------------------------------------------------------------|
| Intervention | Task shifting for DR detection: assessment of the target population for the presence of DR performed by individuals other than ophthalmologists |
| Comparison | Assessment of the target population for the presence of DR performed by ophthalmologists |
| Outcomes | **Uptake**  
1. Proportion of patients accessing detection services among the adult population with Type 1 and Type 2 diabetes  
**Quality**  
2. Proportion of patients diagnosed with DR among those accessing detection services  
3. Severity of DR at detection  
**Cost-effectiveness**  
4. Diagnostic accuracy of the task-shifting intervention for DR detection  
5. Cost-effectiveness of the task-shifting intervention to increase DR detection |
| Study design | Any interventional or observational comparative study |

The review will focus on adults only as they represent the larger population group at risk of DR and are the target of population-based screening programmes. We will include any study that measures any of the uptake and quality outcomes described in the above table. For included studies, we will also collect data on cost-effectiveness of the task-shifting intervention where available.

We will only include peer-reviewed publications reporting studies conducted in LMICs in the last 10 years, in the English language with available full reports. Studies involving health education, training programmes or qualitative studies will be excluded.

### Information Sources

The Cochrane Eyes and Vision Information Specialist (IG) will develop the search strategy which will include MEDLINE, Embase, Global Health and the Cochrane Register of Studies. We will not search the grey literature.

**Search strategy** (included in Additional file 3)

### Data management and selection process

Two reviewers will independently review each title and abstract using the online review management software (Covidence, Veritas Health Innovation, Melbourne, Australia. Available at [www.covidence.org](http://www.covidence.org)). Any conflicts will be discussed and resolved. Two reviewers will independently screen the full text articles of potentially relevant studies against the inclusion and exclusion criteria and any will discuss any differences to reach consensus. A summary of the study selection process will be presented in a PRISMA flow diagram.

### Risk of bias assessment
One reviewer will use SING critical appraisal checklists (https://www.sign.ac.uk) to assess the risk of bias for included studies. This will be presented in narrative form with the review findings.

**Data extraction**

We will develop an Excel data extraction form which will be tested by two reviewers on three papers. One reviewer will conduct data extraction and a second reviewer will check 10% of the studies for accuracy. If more than 1% errors are found, another 10% will be checked.

**Table 2. Data items**

| Data categories                  | Example data items                                                                 |
|---------------------------------|-----------------------------------------------------------------------------------|
| Publication characteristics      | Authors, title, publication date, journal citation                                |
| Study characteristics            | Design                                                                             |
|                                  | Dates of data collection                                                         |
| Population characteristics       | Country                                                                           |
|                                  | Population demographics (age, gender)                                            |
|                                  | Sample size                                                                       |
| Intervention characteristics     | Setting (rural/urban, community/hospital)                                         |
|                                  | Task shifting cadre                                                               |
|                                  | Training (type and duration)                                                     |
|                                  | Scope of the task (screening, treatment, both)                                    |
|                                  | Eye examination procedures (Mydriatic/ non mydriatic, ophthalmoscopy/photography  |
|                                  | Technology (cameras, ophthalmoscope, slit lamp, OCT)                              |
|                                  | DR classification (EDTRS, other)                                                  |
|                                  | Referral criteria/guidelines (periodicity; immediate referral for severe cases; compliance tracking) |
|                                  | Quality assurance (audit, retraining, CPD or any other measure for quality assurance) |
| Outcomes                         | 1. Proportion of patients accessing detection services among the adult population with Type 1 and Type 2 diabetes |
|                                  | 2. Proportion of patients diagnosed to have DR among those accessing detection services |
|                                  | 3. Diagnostic accuracy of the task-shifting intervention for DR detection         |
|                                  | 4. Severity of DR at detection                                                   |
|                                  | 5. Cost-effectiveness of the task-shifting intervention                           |
Data synthesis

As we anticipate to find heterogeneity in study design, interventions, methods and outcome reporting we will summarize data narratively following the SWiM reporting guidance: Synthesis Without Meta-analysis reporting items. We will use tables to present the scope and nature of the evidence and descriptive statistics and visual displays to present effect estimates. We will use the GRADE (Grading of Recommendations, Assessment, Development and Evaluations) approach to assess the certainty of the evidence for the outcomes of the review and report any limitations of the synthesis and how they may affect the conclusions.

Discussion

The aim of this rapid review is to summarise the published literature reporting the effectiveness of task-shifting interventions for the detection of diabetic retinopathy by non-ophthalmologists low- and middle-income countries. The results will be of interest to policy makers and programme managers tasked with designing and implementing services to prevent and manage diabetes and its complications in similar settings.

Abbreviations

DR: diabetic retinopathy; LMIC: low and middle income country; SSA: Sub-Saharan Africa; PLWD: people living with diabetes; HIC: high income country; WHO: World Health Organization; DTA: diagnostic test accuracy; OCT: ocular coherence tomography; OSF: Open Science Framework; PRISMA-P: preferred reporting items for systematic reviews and meta-analysis protocols; SING: Scottish Intercollegiate Guidelines Network; EDTRS: early treatment diabetic retinopathy study; CPD: continuing professional development; SWiM: Synthesis Without Meta-analysis; GRADE: Grading of Recommendations Assessment, Development and Evaluation

Declarations

Authors’ Contributions

FD conceived the idea for the review. CB, NM, drafted and revised the protocol with suggestions from, JE, JR, FD, SM, JALU, MJB who reviewed the protocol and provided feedback on the draft. IG constructed the search.

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Availability of data and materials

Not applicable - no data sets currently available.

Ethics approval and consent to participate

Not applicable.

Consent for publication

Not applicable.
Competing Interests
None declared.

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**Additional Files**

**Additional file 1. Reporting standards** - PRISMA-P Checklist.

**Additional file 2. Registration OSF**: https://osf.io/h5wgr/

**Additional file 3. Search strategy.**

**Supplementary Files**

This is a list of supplementary files associated with this preprint. Click to download.

- Additionalfile1.RR1.docx
- Additionalfile3.Searchstrategy.RR1.docx