WAVE OPERATOR BOUNDS FOR 1-DIMENSIONAL SCHRÖDINGER OPERATORS WITH SINGULAR POTENTIALS AND APPLICATIONS

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ABSTRACT. Boundedness of wave operators for Schrödinger operators in one space dimension for a class of singular potentials, admitting finitely many Dirac delta distributions, is proved. Applications are presented to, for example, dispersive estimates and commutator bounds.

1. INTRODUCTION

Wave operators provide a means for converting operator bounds for a “free” dynamics generated by a constant coefficient Hamiltonian, $H_0 = -\Delta$ to analogous operator bounds about “interacting” dynamics associated with a variable coefficient Hamiltonian, $H = -\Delta + V$, on its continuous spectral subspace. Indeed let $W_\pm$ and $W^*_\pm$ denote wave operators associated with the free and interacting Hamiltonians $H_0$ and $H$ (defined by (2.1) and (2.2)). Then we have

\begin{align}
W_\pm W^*_\pm &= P_c, \\
W^*_\pm W_\pm &= Id
\end{align}

(1.1)

and

\begin{align}
f(H)P_c &= W_\pm f(H_0)W^*_\pm, \\
f(H_0) &= W^*_\pm f(H)W_\pm, \quad f \text{ Borel on } \mathbb{R}.
\end{align}

(1.2)

It follows that bounds on $f(H)P_c$ acting between $W^{k_1,p_1}(\mathbb{R}^d)$ and $W^{k_2,p_2}(\mathbb{R}^d)$ can be derived from bounds on $f(H_0)$ between the spaces if the wave operators $W_\pm$ are bounded between $W^{k_1,p_1}(\mathbb{R}^d)$ and $W^{k_2,p_2}(\mathbb{R}^d)$ for $k_j \geq 0$ and $p \geq 1$. Here, $W^{k,p}(\mathbb{R}^d)$, $k \geq 1$, $p \geq 1$ denotes the Sobolev space of functions having derivatives up to order $k$ in $L^p(\mathbb{R}^d)$.

Applications along the lines of the above discussion have appeared in [14]. For example,

$$\left\| e^{-iHt} P_c(H) f \right\|_{L^p(\mathbb{R}^d)} = \left\| W_\pm e^{-iH_0 t} W^*_\pm f \right\|_{L^p(\mathbb{R}^d)} \leq C \left| t \right|^{-\frac{d}{2}} \left\| f \right\|_{L^q(\mathbb{R}^d)}, \quad p^{-1} + q^{-1} = 1, \quad p \geq 1.$$  

(1.3)

Boundedness of wave operators in $W^{k,p}(\mathbb{R}^d)$, under smoothness and decay assumptions on $V(x)$ was proved in [28] in dimensions $d \geq 2$. Weder [27] proved boundedness in dimension one; see also [3]. In [27] it is assumed that $V \in L^{\gamma}_1(\mathbb{R})$, the space of all complex-valued measurable functions $\phi$ defined on $\mathbb{R}$ such that

$$\| \phi \|_{L^1_\gamma} = \int |\phi(x)| (1 + |x|)^\gamma dx < \infty.$$  

For $V$ falling into a class of generic potentials, the assumption is $\gamma > 3/2$, otherwise it is assumed $\gamma > 5/2$.

Schrödinger operators with singular potentials arise in models, which have recently been extensively investigated. See, for example, [14, 13, 11, 11, 12], where Dirac delta function potentials are considered. Boundedness of wave operators for singular potentials satisfying the hypotheses of Theorem 3 is used implicitly in references [14] and [7], but this boundedness appears not to have been addressed previously. This gap in the literature is addressed by the current work. Another motivation for the present work is the study of scattering for highly oscillatory structures in the homogenization limit [5], where bounds on $(m^2 + H)^{-1} P_c(H)(m^2 - \partial_x^2)$, where $H = -\partial_x^2 + V(x)$ is a Schrödinger operator with a singular (distribution) part to the potential $V(x)$, are required; see section 7.
This article is devoted to an extension of the one-dimensional results [27] to the case of singular potentials. In particular, our results apply to Hamiltonians of the form

\[ H = -\partial_x^2 + V(x), \]

where \( V(x) \) satisfies:

**Hypotheses (V)**

\[
V(x) = V_{\text{sing}}(x) + V_{\text{reg}}(x),
\]

\[
V_{\text{sing}}(x) = \sum_{j=0}^{N-1} q_j \delta(x - y_j), \quad q_j, y_j \in \mathbb{R}, \quad y_j < y_{j+1}, \quad q_j \neq 0,
\]

\[
\|V\|_{L^1_{2+}}(\mathbb{R}) = \int_{\mathbb{R}} (1 + |s|)^{\frac{3}{2} +} |V_{\text{reg}}(s)| \, ds < \infty.
\]

The paper is structured as follows. In section 2 we state our main result, Theorem 1, concerning boundedness of wave operators. In section 3 the strategy of proof is outlined. Section 4 summarizes facts about Jost solutions, distorted plane waves, reflection and transmission coefficients etc. Some related technical results are contained in Appendix A. In section 5 we state a general result, Theorem 3, from which Theorem 1 follows. The proof of Theorem 3 is given in section 6. Finally, in section 7 we present examples (multi- delta function potentials) and applications to dispersive estimates, commutator bounds and well posedness.

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2. **Main results**

We first define and review properties of the wave operators. For basic results on wave operators see, for example, [1, 20, 22].

Introduce the self-adjoint operators \( H_0 = -\Delta \) and \( H = -\Delta + V \). Here, \( V \) is a real-valued potential, satisfying assumptions given below; see Section 5. Let \( P_c = P_c(H) \) denote the continuous spectral projection associated with \( H \). The wave operators, \( W_\pm \) and their adjoints \( W_\pm^* \) are defined by

\[
W_\pm \equiv s - \lim_{t \to \infty} e^{itH} e^{-itH_0}
\]

\[
W_\pm^* \equiv s - \lim_{t \to \infty} e^{itH_0} e^{-itH} P_c.
\]

The wave operators satisfy the properties (1.1) and (1.2). The notion of wave operators is intimately related to the idea of distorted Fourier bases, which are discussed in detail in [1, 13, 21]. In one dimension, this is directly related to the Jost solutions. These objects are studied in general in [21] and generalized to even a certain class of non-self-adjoint operators in [10].

Our main result, Theorem 3 combined with the calculations of Section 7.1 implies the following:
Theorem 1. Consider the Schrödinger operator with a potential, $V(x)$, satisfying Hypotheses (V). Then $W_\pm$ and $W_\pm^*$ originally defined on $W^{1,p} \cap L^2$, $1 \leq p \leq \infty$, have extensions to bounded operators on $W^{1,p}$, $1 < p < \infty$. Moreover, there are constants $C_p$ such that:

$$\|W_\pm f\|_{W^{1,p}(\mathbb{R})} \leq C_p \|f\|_{W^{1,p}(\mathbb{R})}, \quad \|W_\pm^* f\|_{W^{1,p}(\mathbb{R})} \leq C_p \|f\|_{W^{1,p}(\mathbb{R})}, \quad f \in W^{1,p}(\mathbb{R}), \quad 1 < p < \infty.$$  

Remark 2.1. In general, the wave operators are not bounded on $L^1$. The constraint $p > 1$ is due to the Hilbert transform, $\mathcal{H}$ not being bounded on $L^1$; see [27].

3. Strategy of Proof

We use the approach for wave operators on $\mathbb{R}$ initiated by Weder in [27]. The heart of the matter concerns the detailed low and high frequency behavior of Jost solutions, worked out by Deift and Trubowitz [4], or a consequence of their methods. The idea is to split the wave operators into high and low frequency components:

$$W_\pm = W_{\pm, \text{high}} + W_{\pm, \text{low}}.$$

For the high frequency component we prove for $\phi \in \mathcal{S}$,

$$W_{\pm, \text{high}} \phi = \sum_j S_{A_j} \phi, \quad \text{where} \quad S_{A} \phi \equiv \int_{-\infty}^{\infty} A(x, y) \phi(y) dy.$$

For each $A = A_j$, we use the criterion (Young’s inequality [3]) for $L^p$, $1 \leq p \leq \infty$ boundedness:

$$C_A \equiv \sup_{x \in \mathbb{R}} \int_{\mathbb{R}} |A(x, y)| \, dy + \sup_{y \in \mathbb{R}} \int_{\mathbb{R}} |A(x, y)| \, dx < \infty \quad \Rightarrow \|S_{A} \phi\|_{L^p} \leq C_A \|\phi\|_{L^p}.$$

To prove

$$\|W_{\pm, \text{high}} \phi\|_{W^{k,p}} \leq C_p \|\phi\|_{W^{k,p}}, \quad 1 < p < \infty, \quad k \geq 0.$$

For the low frequency components, we have

$$W_{\pm, \text{low}} \sim \mathcal{H} + \sum_j S_{A_j},$$

where $S_{A_j}$ is as above and $\mathcal{H}$ denotes the Hilbert Transform

$$\mathcal{H} \phi(x) = \frac{1}{\pi} \text{P.V.} \int \frac{\phi(x-y)}{y} dy = \int_{-\infty}^{\infty} e^{ikx} (-i \text{sgn}(k)) \hat{\phi}(k) dk.$$

Here, $F$ and $F^{-1}$ denote the Fourier Transform on $\mathbb{R}$ and its inverse, defined by

$$\hat{\phi}(k) \equiv F \phi(k) = \frac{1}{2\pi} \int e^{-ikx} \phi(x) dx, \quad \hat{\Phi}(x) \equiv F^{-1} \Phi(x) = \int e^{ikx} \Phi(k) dk.$$

Thus, for low frequencies, boundedness

$$\|W_{\pm, \text{low}} \phi\|_{W^{k,p}} \leq C_p \|\phi\|_{W^{k,p}}, \quad 1 < p < \infty, \quad k \geq 0$$

reduces to the boundedness properties of the Hilbert transform [24]:

Theorem 2. $\mathcal{H} : W^{k,p} \rightarrow W^{k,p}$, for $1 < p < \infty$ and $k \geq 0$, with $\|\mathcal{H} \phi\|_{W^{k,p}(\mathbb{R})} \leq K_p \|\phi\|_{W^{k,p}(\mathbb{R})}$.

Estimates (3.1) and (3.4) then imply the theorem. The proof of (3.1) and (3.4) is given in section 6.
4. Background spectral theory of $H = -\partial_x^2 + V$

4.1. Distorted plane waves, $e_{\pm}(x; k)$. Consider the operator $H = -\partial_x^2 + V(x)$, defined as a self-adjoint operator on $L^2(\mathbb{R})$. Denote by $P_d$ and $P_c$ the discrete and continuous spectrum projections. $P_d$ and $P_c$ are orthogonal projections with $P_c = \text{Id} - P_d$.

Denote by $R_0$ the outgoing “free” resolvent operator $R_0(k) = (-\partial_x^2 - k^2)^{-1}$ with kernel

$$R_0(k)(x, y) = -(2ik)^{-1} \exp(ik|x-y|)$$

and finally introduce the distorted plane waves, $e_{\pm}(x; k)$:

**Definition 4.1.** $u = e_{\pm}(x; k)$ are the unique solutions to $(H - k^2)u = 0$ satisfying

$$e_{\pm}(x; k) = e^{\pm ikx} + \text{outgoing}(x),$$

where a function $U$ is said to be outgoing as $|x| \to \infty$ if

$$(\partial_x \mp ik)U = 0, \quad x \to \pm \infty.$$

Thus, $e_{\pm}(x; k)$ is given by the integral equation:

$$e_{\pm}(x; k) = e^{\pm ikx} - R_0(k)V e^{\pm ikx}.$$

The continuous spectral projection, $P_c$, is given by

$$P_c f(x) = \frac{1}{2\pi} \int_0^\infty \left( e_+(x, k) \overline{e_+(y, k)} + e_-(x, k) \overline{e_-(y, k)} \right) f(y) dk dy.$$

see, for example, [20].

We write

$$P_c f = F_+^* F_+ f, \quad \text{where it follows from (4.2) that}$$

$$F_+ f = \int_{\mathbb{R}} \Psi_+(y, k) f(y) dy, \quad F_+^* f = \int_{\mathbb{R}} \Psi_+(y, k) f(y) dy \quad \text{and}$$

$$\Psi_+(y, k) = \frac{1}{\sqrt{2\pi}} \left\{ \begin{array}{ll} e_+(x; k) & k \geq 0, \\ e_-(x; -k) & k < 0 \end{array} \right.$$

We also define $\Psi_-(x, k) = \overline{\Psi_+(x, -k)}$.

4.2. Jost solutions. To make direct use of the arguments in [27] and [4], we express the results of the preceding subsection in terms of Jost solutions, commonly introduced for one-dimensional Schrödinger operators.

Given the Schrödinger equation

$$-\frac{d^2}{dx^2}u + Vu = k^2 u, \quad k \in \mathbb{C},$$

we define the Jost solutions, $f_j(x; k)$, $j = 1, 2$, $\text{Im} k \geq 0$, to be the unique solutions of (4.5) satisfying the conditions:

$$f_1(x, k) - e^{ikx} \to 0, \quad x \to \infty, \quad \text{and}$$

$$f_2(x, k) - e^{-ikx} \to 0, \quad x \to -\infty.$$
The Jost solutions are linearly independent solutions of (4.5) for \( k \neq 0 \). Therefore, there are unique functions \( T(k), \ R_j(k), \ j = 1, 2 \) such that for \( k \in \mathbb{R} \setminus \{0\} \)

\[
\begin{align*}
(4.7) \quad f_2(x, k) &= \frac{R_1(k)}{T(k)} f_1(x, k) + \frac{1}{T(k)} f_1(x, -k), \\
(4.8) \quad f_1(x, k) &= \frac{R_2(k)}{T(k)} f_2(x, k) + \frac{1}{T(k)} f_2(x, -k)
\end{align*}
\]

For a potential, \( V \), with compact support within \((-r, r)\), \( R_j(k) \) and \( T(k) \) are defined via the solutions:

\[
\begin{align*}
(4.9) \quad u_1(x; k) &= \begin{cases} 
    e^{ikx} + R_2(k)e^{-ikx}, & x < -r, \\
    T(k)e^{ikx}, & x > r
\end{cases}, \\
\quad u_2(x; k) &= \begin{cases} 
    T(k)e^{-ikx}, & x < -r, \\
    e^{-ikx} + R_1(k)e^{ikx}, & x > r
\end{cases}
\end{align*}
\]

Generically,

\[
(4.11) \quad T(k) = \alpha k + o(k), \quad 1 + R_j(k) = \alpha_j k + o(k), \quad j = 1, 2, \quad k \to 0.
\]

\( T(k) \) is called the transmission coefficient associated with \( H \). \( R_1(k) \) is the right to left reflection coefficient, and \( R_2(k) \) the left to right reflection coefficient.

It follows from (4.1), (4.6) and (4.7) that

\[
\psi_+ (x, k) = \frac{1}{\sqrt{2\pi}} \begin{cases} 
    T(k) e^{ikx} m_1(x, k), & k \geq 0, \\
    T(-k) e^{ikx} m_2(x, -k), & k < 0,
\end{cases}
\]

where \( m_1(x, k) \to 0 \) as \( x \to \infty \) and \( m_2(x, k) \to 0 \) as \( x \to -\infty \). The detailed smoothness and decay properties, in \( x \) and \( k \), of \( m_j(x; k) \) are required in estimates. These are given in Appendix A.

5. Statement of the Main Theorem

Our main result, from which Theorem 1 follows, is:

**Theorem 3.** Let \( H = -\partial_x^2 + V(x) \) be self-adjoint on \( L^2(\mathbb{R}) \), where \( V = V_{\text{sing}}(x) + V_{\text{reg}}(x) \) for which the transmission and reflection coefficients (see (4.11)) satisfy the bounds:

\[
(5.1) \quad |R(k)|, \ |T(k) - 1|, \ |\partial_k R(k)|, \ |\partial_k T(k)| \leq \frac{C}{|k|}.
\]

Assume further that there exists \( a > 0 \) sufficiently large such that

\[
(5.2) \quad |\partial_x^a m_1(x, k)| + |\partial_x^a m_2(x, k)| \leq C(a) \quad \text{for} \ |x| \leq a, \ a = 0, 1,
\]

\[
(5.3) \quad |m_1(x; k) - 1| + |\partial_x m_1(x; k)| + |\partial_x m_1(x; k)| \leq C \int_{-\infty}^{\infty} |V_{\text{reg}}(t)|(1 + |t|) \frac{dt}{1 + |k|}, \quad x \geq a,
\]

\[
(5.4) \quad |m_2(x; k) - 1| + |\partial_x m_2(x; k)| + |\partial_x m_2(x; k)| \leq C \int_{-\infty}^{\infty} |V_{\text{reg}}(t)|(1 + |t|) \frac{dt}{1 + |k|}, \quad x \leq -a.
\]

Then \( W_1 \) and \( W_2 \), originally defined on \( W^{1,p} \cap L^2, 1 \leq p \leq \infty \), extend to bounded operators on \( W^{1,p} \), \( 1 < p < \infty \). Furthermore, there are constants \( C_p \) such that:

\[
(5.5) \quad ||W_\pm f||_{W^{1,p}} \leq C_p ||f||_{W^{1,p}}, \quad ||W_\pm f||_{W^{1,p}} \leq C_p ||f||_{W^{1,p}}, \quad f \in W^{1,p} \cap L^2, \quad 1 < p < \infty.
\]
Remark 5.1. Deift and Trubowitz [4] establish the bounds (5.3) and (5.4) for any potential \( V(x) \), for which \((1 + |x|) |V(x)| \in L^1(\mathbb{R})\) with \( a = 0 \). Their proof applies to a potential of the type in Hypothesis (V), \( V = V_{\text{sing}} + V_{\text{reg}} \), where \( V_{\text{sing}} \) has a finite set of Dirac masses within an interval \((-A,A)\), and such that \((1 + |x|) |V_{\text{reg}}(x)| \in L^2(\mathbb{R})\). In this case the bounds (5.3) and (5.4) hold with \( a = A, C \) depending on \( A \) and \( V \) replaced by \( V_{\text{reg}} \).

Remark 5.2. In fact, less restrictive bounds on \( V_{\text{reg}} \) as developed in [3] would suffice. However, for simplicity we will follow the work of [27] as it makes some computations more explicit.

6. Proof of Main Theorem

We follow the strategy described in section [3].

Let \( \chi(x \geq 1) \in C^\infty(\mathbb{R}) \) denote non-decreasing cut-off functions such that

\[
\chi(x \geq 1) = \begin{cases} 0 & x \leq \frac{1}{2}, \\ 1 & x \geq 1. \end{cases}
\]

(6.1)

To localize in frequency space, introduce \( \psi(|k| \leq k_0) \in C^\infty_0(\mathbb{R}) \) be a compactly supported cut-off function, depending on a parameter, \( k_0 \), to be chosen, such that

\[
\psi(|k| \leq k_0) = \begin{cases} 1 & |k| \leq k_0, \\ 0 & |k| \geq 2k_0. \end{cases}
\]

(6.2)

We decompose any \( \phi \in L^2(\mathbb{R}) \) into its low and high frequency parts:

\[
\phi(x) = \phi_{\text{low}}(x) + \phi_{\text{high}}(x), \quad \text{where using} \quad D \equiv -i\partial_x,
\]

(6.3)

\[
\phi_{\text{low}}(x) \equiv \psi(|D| \leq k_0) \phi(x) \equiv \int_\mathbb{R} e^{i k x} \psi(|k| \leq k_0) \hat{\phi}(k) \, dk,
\]

(6.4)

\[
\phi_{\text{high}}(x) \equiv (1 - \psi(|D| \leq k_0)) \phi(x) \equiv \int_\mathbb{R} e^{i k x} (1 - \psi(|k| \leq k_0)) \hat{\phi}(k) \, dk.
\]

(6.5)

6.1. Bounds on \( W_+ \phi_{\text{low}} \). For \( x \geq 0 \), we can express \( W_+ \phi_{\text{low}}(x) \), in terms of \( m_1(x,k) \) which satisfies the bounds (5.3), and for \( x \leq 0 \), we can express \( W_+ \phi_{\text{low}}(x) \), in terms of \( m_2(x,k) \) which satisfies the bounds (5.4). Since the cases \( x \geq 0 \) and \( x \leq 0 \) are very similar, we only carry this calculation out in detail for \( x \geq 0 \). We have, using the notation \( P f(x) = f(-x) \),

\[
W_+ \phi_{\text{low}} = F^*_x F \psi(|D| \leq k_0) \phi
\]

\[
= \int_0^\infty e^{i k x} T(k) m_1(x,k) \psi(|k| \leq k_0) \hat{\phi}(k) \, dk + \int_{-\infty}^0 e^{i k x} T(-k) m_2(x,-k) \psi(|k| \leq k_0) \hat{\phi}(k) \, dk
\]

\[
= \int_0^\infty e^{i k x} T(k) m_1(x,k) \psi(|k| \leq k_0) \hat{\phi}(k) \, dk
\]

\[
+ \int_{-\infty}^0 e^{i k x} [R_1(-k)e^{-2ikx} m_1(x,-k) + m_1(x,k)] \psi(|k| \leq k_0) \hat{\phi}(k) \, dk
\]

\[
= \int_0^\infty e^{i k x} m_1(x,k) \left[ T(k) + R_1(k) P \right] \psi(|k| \leq k_0) \hat{\phi}(k) \, dk + \int_{-\infty}^0 e^{i k x} m_1(x,k) \hat{\phi}(k) \, dk, \quad x \geq 0,
\]

where we have applied (4.3) and (4.12).
We continue by using that \( \int_{-\infty}^{\infty} [\ldots] dk = \frac{1}{2} \int_{-\infty}^{\infty} (1 + \text{sgn}(k)) [\ldots] dk \), we have

\[
W_+ \phi_{\text{low}} = \frac{1}{2} \int_{-\infty}^{\infty} (1 + \text{sgn}(k)) e^{ikx} (m_1(x,k) - 1) T(k) \psi (|k| \leq k_0) \hat{\phi}(k) dk
+ \frac{1}{2} \int_{-\infty}^{\infty} (1 + \text{sgn}(k)) e^{ikx} (m_1(x,k) - 1) R_1(k) P \psi (|k| \leq k_0) \hat{\phi}(k) dk
+ \frac{1}{2} \int_{-\infty}^{\infty} (1 - \text{sgn}(k)) e^{ikx} (m_1(x,k) - 1) \psi (|k| \leq k_0) \hat{\phi}(k) dk
+ \frac{1}{2} \int_{-\infty}^{\infty} (1 + \text{sgn}(k)) e^{ikx} \psi (|k| \leq k_0) \hat{\phi}(k) dk
+ \frac{1}{2} \int_{-\infty}^{\infty} (1 - \text{sgn}(k)) e^{ikx} \psi (|k| \leq k_0) \hat{\phi}(k) dk, \quad x \geq 0.
\]

For \( x \leq 0 \) an analogous representation holds with \( m_1(x,k) \) replaced by \( m_2(x,k) \).

We now show that \( W_{+,1,\text{low}} \) is a bounded operator on \( W^{k,p}(\mathbb{R}^+) \). Each term in the first three lines of (6.6) is of the form:

\[
\phi \mapsto S_j \circ (I \pm i \mathcal{H}) \circ \Psi(D) \phi,
\]

and each term in the last three lines is of the form

\[
\phi \mapsto (I \pm i \mathcal{H}) \circ \Psi(D) \phi,
\]

where

\[
\Psi(D) = F^{-1} \hat{\Psi}(k) F \quad \text{and} \quad \hat{\Psi}(k) = T(k) \psi(|k| \leq k_0) \text{ or } R_1(k) P \psi(|k| \leq k_0) \text{ or } \psi(|k| \leq k_0),
\]

(6.9)

\[
(S_j \Phi)(x) = \int_{\mathbb{R}} R_j(x,y) \Phi(y) dy,
\]

(6.10)

\[
R_j(x,y) = \int_{\mathbb{R}} e^{ikx} (m_j(x,k) - 1) e^{-iky} dk.
\]

By hypotheses on \( T(k) \) and \( R(k) \), \( \hat{\Psi}(k) \) is a multiplier on \( W^{k,p}(\mathbb{R}) \) for \( 1 < p < \infty \) [24]. Therefore, the boundedness of the operators in (6.7) and (6.8) on \( W^{k,p} \) for \( 1 < p < \infty \), and therefore the bound on \( W_+ \phi_{\text{low}} \), follows from

**Lemma 6.1.** \( S_1 \) is bounded on \( W^{1,p}(\mathbb{R}^+) \) and \( S_2 \) is bounded on \( W^{1,p}(\mathbb{R}^-) \) for \( 1 < p < \infty \).

**Proof of Lemma 6.1** We focus on the bound for \( S_1 \) on \( W^{k,p}(\mathbb{R}^+) \). The bound for \( S_2 \) is bounded on \( W^{k,p}(\mathbb{R}^-) \) is similar.

Using the representation formula (6.1) we have

\[
R_j(x,y) = \int_{\mathbb{R}} e^{ik(x-y)} \int_{0}^{\infty} e^{2ikz} B_1(x,z) \, dz \, dk = B_1 \left( x, \frac{y-x}{2} \right)
\]

and thus the operator \( S_1 \) simplifies to

\[
(S_1 \Phi)(x) = \int_{x}^{\infty} B_1 \left( x, \frac{y-x}{2} \right) \Phi(y) dy = \int_{0}^{\infty} B_1 \left( x, \frac{\zeta-x}{2} \right) \Phi(\zeta-x) \, d\zeta, \quad x \geq 0.
\]
Since we must estimate $S_1$ on $W^{1,p}$ we also compute

$$\partial_x (S_1 \Phi)(x) = \int_0^\infty B_1 \left( x, \frac{\zeta - x}{2} \right) (-\partial_\zeta) \Phi(\zeta - x) \, d\zeta + \int_0^\infty \partial_x B_1 \left( x, \frac{\zeta}{2} \right) \Phi(\zeta - x) \, d\zeta$$

$$= \int_x^\infty B_1 \left( x, \frac{y - x}{2} \right) (-\partial_y) \Phi(y) \, dy + \int_x^\infty \partial_x B_1 \left( x, \frac{y - x}{2} \right) \Phi(y) \, dy, \quad x \geq 0.$$  

To prove boundedness of $S_1$ and $\partial S_1$ on $L^p$ of the operator we use that the operator

$$S_R \Phi(x) = \int_R R(x, y) \Phi(y) \, dy,$$

is bounded on $L^p$ with estimate

$$\|S_R \Phi\|_{L^p} \leq C_R \|\Phi\|_{L^p}, \quad 1 \leq p \leq \infty \quad (6.11)$$

if

$$C_R \equiv \sup_{x \geq 0} \int_R |R(x, y)| \, dy + \sup_{y \geq 0} \int_R |R(x, y)| \, dx < \infty \quad (6.12)$$

Note that by (A.2) and (A.4) we have

$$|B_1(x, z)| \lesssim \int_{x+z}^\infty |V_{reg}(s)| \, ds \quad \text{and} \quad |\partial_x B_1(x, z)| \lesssim |V_{reg}(x)| + \int_{x+z}^\infty |V_{reg}(s)| \, ds. \quad (6.13)$$

Therefore,

$$\sup_{x \geq 0} \int_{1 \geq y \geq x} |B_1 \left( x, \frac{y - x}{2} \right)| \, dy + \sup_{y \geq 0} \int_{y \geq x} |B_1 \left( x, \frac{y - x}{2} \right)| \, dx$$

$$\leq 2 \sup_{x \geq 0} \int_0^\infty \int_{x+y}^\infty |V_{reg}(s)| \, ds \, dy$$

$$\leq 2 \int_0^\infty \left( 1 + \frac{x + y}{2} \right)^{-\frac{3}{2}} \int_{x+y}^\infty (1 + s)^{\frac{3}{2} + \frac{3}{4}} |V_{reg}(s)| \, ds$$

$$\leq \text{const} \times \|V_{reg}\|_{L^1_{\frac{3}{2}}(\mathbb{R})}.$$  

A similar bound applies to the kernel $1_{x \geq y} \partial_x B_1 \left( x, \frac{y - x}{2} \right)$. Thus, we have

$$\|S_1 \Phi\|_{W^{1,p}(\mathbb{R}^+)} \equiv \|S_1 \Phi\|_{L^p(\mathbb{R}^+)} + \|\partial_x (S_1 \Phi)\|_{L^p(\mathbb{R}^+)} \leq C \|V_{reg}\|_{L^1_{\frac{3}{2}}(\mathbb{R})} \|\Phi\|_{W^{1,p}(\mathbb{R})}.$$  

Applying similar arguments with $S_1$ replaced by $S_2$ for $x \leq 0$ yields boundedness of $S_2$ on $W^{1,p}$, from which we conclude

$$\|W_{+} \phi_{low}\|_{W^{1,p}(\mathbb{R})} \leq C \|V_{reg}\|_{L^1_{\frac{3}{2}}(\mathbb{R})} \|\phi\|_{W^{1,p}(\mathbb{R})}. \quad (6.14)$$

This completes the low frequency analysis.
6.2. **High Frequencies.** We have, using \(E^0\) and the notation \(Pf(x) = f(-x)\),

\[
W_+ \phi_{\text{high}} = \int_{\infty}^{0} T(k)e^{ikx}m_1(x,k)(1 - \psi(|k| \leq k_0))\hat{\phi}(k)dk
\]

\[
+ \int_{-\infty}^{0} T(-k)e^{ikx}m_2(x,-k)(1 - \psi(|k| \leq k_0))\hat{\phi}(k)dk
\]

\[
= \int_{0}^{\infty} T(k)e^{ikx}m_1(x,k)(1 - \psi(|k| \leq k_0))\hat{\phi}(k)dk
\]

\[
+ \int_{-\infty}^{0} e^{ikx}[R_1(-k)e^{-2ikx}m_1(x,-k) + m_1(x,k)](1 - \psi(|k| \leq k_0))\hat{\phi}(k)dk
\]

\[
= \int_{0}^{\infty} e^{ikx}m_1(x,k)[T(k) + R_1(k)](1 - \psi(|k| \leq k_0))\hat{\phi}(k)dk + \int_{-\infty}^{0} e^{ikx}m_1(x,k)\hat{\phi}(k)dk.
\]

For \(x \geq 0\) we rewrite this expression as

\[
W_+ \phi_{\text{high}} = \frac{1}{2} \int_{-\infty}^{\infty} e^{ikx} (1 + \text{sgn}(k)) (m_1(x,k) - 1)T(k)(1 - \psi(|k| \leq k_0))\hat{\phi}(k)dk
\]

\[
+ \frac{1}{2} \int_{-\infty}^{\infty} e^{ikx} (1 + \text{sgn}(k))(m_1(x,k) - 1)R_1(k)P(1 - \psi(|k| \leq k_0))\hat{\phi}(k)dk
\]

\[
+ \frac{1}{2} \int_{-\infty}^{\infty} e^{ikx} (1 - \text{sgn}(k))(m_1(x,k) - 1)(1 - \psi(|k| \leq k_0))\hat{\phi}(k)dk
\]

\[
+ \frac{1}{2} \int_{-\infty}^{\infty} e^{ikx} (1 + \text{sgn}(k))T(k)(1 - \psi(|k| \leq k_0))\hat{\phi}(k)dk
\]

\[
+ \frac{1}{2} \int_{-\infty}^{\infty} e^{ikx} (1 + \text{sgn}(k))R_1(k)P(1 - \psi(|k| \leq k_0))\hat{\phi}(k)dk
\]

\[
+ \frac{1}{2} \int_{-\infty}^{\infty} e^{ikx} (1 - \text{sgn}(k))(1 - \psi(|k| \leq k_0))\hat{\phi}(k)dk, \quad x \geq 0.
\]

An analogous expression, with \(m_1(x,k)\) replaced by \(m_2(x,k)\), is used for \(x \leq 0\). We proceed now to show that each term is bounded on \(W^{1,p}(\mathbb{R}_+)\), \(p \geq 1\).

Each summand in this decomposition of \(W_+ \phi_{\text{high}}\) is of the form:

\[
\phi \mapsto S_j \circ \rho(D) \phi, \quad \text{or} \quad \phi \mapsto \rho(D) \phi.
\]

where \(\rho(D) = F^{-1} \hat{\rho}(k) F\). Here, \(S_j, \ j = 1, 2\), defined in \[14\] and \[10\], is bounded on \(W^{1,p}(\mathbb{R}_+)\) for \(1 < p < \infty\), as proved in the previous section. Moreover, \(\rho(k)\) is a multiplier on \(W^{1,p}(\mathbb{R})\) for \(1 < p < \infty\) due to hypotheses on \(R(k), T(k) - 1, \partial_k R(k)\) and \(\partial_k T(k)\), and the fact that \(1 - \psi(|k| \leq k_0)\) is smooth, asymptotically constant as \(k \to \infty\) and vanishing in a neighborhood of 0. It follows that

\[
\|W_+ \phi_{\text{high}}\|_{W^{1,p}(\mathbb{R}_+)} \leq C\|V_{\text{reg}}\|_{L^1_{\text{reg}}(\mathbb{R})} \|\phi\|_{W^{1,p}(\mathbb{R}_+)}. \tag{6.16}
\]

An estimate analogous to \[6.16\], similarly proved using a representation of \(W_+ \phi_{\text{high}}(x)\) for \(x \leq 0\), in terms of \(S_2\), also holds. Thus,

\[
\|W_+ \phi_{\text{high}}\|_{W^{1,p}(\mathbb{R})} \leq C\|V_{\text{reg}}\|_{L^1_{\text{reg}}(\mathbb{R})} \|\phi\|_{W^{1,p}(\mathbb{R})}. \tag{6.17}
\]

The decomposition \[6.3\] and the bounds \[6.14\] and \[6.17\] imply the result. This completes the proof of the main result, Theorem 3.
7. Examples and Applications

7.1. $V(x) =$ a sum of Dirac delta masses. In this section we verify hypotheses \[5.1, 5.3, 5.4\] for the case of a potential, which is the sum of Dirac delta functions, thereby establishing the applicability of our main results to this case.

We follow the analysis from \[10\] and \[26\], see also \[8, 9\] for specific examples. Seek solutions of the form

\[
    e_{\pm}(x, k) = \begin{cases} e^{ikx} + B_0 e^{-ikx} & \text{for } x < y_0, \\ A_1 e^{ikx} + B_1 e^{-ikx} & \text{for } y_0 < x < y_1, \\ \vdots & \text{for } x > y_{N-1}, \end{cases}
\]

where $H_{\vec{q}, \vec{y}} = \sum_{j=0}^{N-1} q_j \delta(x-y_j)$ when $\vec{q} = (q_0, \ldots, q_{N-1})$, $\vec{y} = (y_0, \ldots, y_{N-1})$, and where $e_{\pm}(x, k)$ represent the distorted Fourier basis functions as defined (4.1). Thus,

\[
    e_{+}(x, k) = \begin{cases} e^{ikx} + B_0 e^{-ikx} & \text{for } x < y_0, \\ A_1 e^{ikx} + B_1 e^{-ikx} & \text{for } y_0 < x < y_1, \\ \vdots & \text{for } x > y_{N-1}, \end{cases}
\]

where we have taken $A_0 = 1$ and $B_N = 0$. With this choice of notation, we have, referring to (4.9) and (4.10), $A_N = N - \sum_{j=0}^{N-1} q_j \delta(x-y_j)$ when $\vec{q} = (q_0, \ldots, q_{N-1})$, $\vec{y} = (y_0, \ldots, y_{N-1})$, and where $e_{\pm}(x, k)$ represent the distorted Fourier basis functions as defined (4.1). Thus,

\[
    e_{-}(x, k) = \begin{cases} D_0 e^{-ikx} & \text{for } x < y_0, \\ C_1 e^{ikx} + D_1 e^{-ikx} & \text{for } y_0 < x < y_1, \\ \vdots & \text{for } x > y_{N-1}, \end{cases}
\]

where now the incoming wave is $e^{-ikx}$ from $\infty$ and the scattering matrix is determined by the transmission coefficients $D_0 = T$ and the reflection coefficient $C_N = R_2$ for the “incoming” plane wave $e^{ikx}$ from $\infty$.

7.1.1. Bounds on $m_1, m_2$. In addition, for general singular potentials with compact support, we have

\[
    m_1(x, k) = e^{-ikx} f_1(x, k) = \begin{cases} e^{-ikx} \frac{c_{\pm}(x, k)}{T(k)} & \text{for } x < y_{N-1}, \\ 1 & \text{for } x > y_{N-1}, \end{cases}
\]

\[
    m_2(x, k) = e^{ikx} f_2(x, k) = \begin{cases} e^{ikx} \frac{c_{\pm}(x, k)}{T(k)} & \text{for } x > y_0, \\ 1 & \text{for } x < y_0. \end{cases}
\]
Hence, there exist constants $C_\alpha(y_{N-1})$ and $C_\alpha(y_0)$ such that
\begin{align}
|\partial_k^\alpha m_1(x,k)| &\leq C_\alpha(y_{N-1}) \quad \text{for } y_{N-1} > x \geq 0, \\
|\partial_k^\alpha m_2(x,k)| &\leq C_\alpha(y_0) \quad \text{for } y_0 < x \leq 0.
\end{align}
As a result, we see that an arbitrary collection of $\delta$ functions satisfies assumptions (5.2), (5.3), and (5.4) as required for the proof of Theorem 3.

We conclude this subsection with explicit computations of the transmission and reflection coefficients for single and double $\delta$ well potentials:

7.1.2. **Single $\delta$ potential** ($H_q = -q\delta(x)$): Setting up the appropriate equations, we have
\begin{align}
R_1 &= r_q = \frac{q}{ik - q} , \\
T &= t_q = \frac{ik}{ik - q} ,
\end{align}
where $r_q, t_q$ are the reflection and transmission coefficients for $H_q$ respectively. We must show the bounds from (5.1) hold, however such bounds follow clearly for (7.8), (7.7).

7.1.3. **Double $\delta$ potential** ($H_{q,L} = -q(\delta(x + L) + \delta(x - L))$): Setting up the appropriate equations, we have
\begin{align}
R_1 &= r_{q,L} = \left(\frac{q(ik - q)e^{2ikL} + q(ik + q)e^{-2ikL}}{q^2 e^{2ikL} - (ik + q)^2 e^{-2ikL}}\right) e^{-2ikL} , \\
T &= t_{q,L} = \left(\frac{k^2}{q^2 e^{2ikL} - (ik + q)^2 e^{-2ikL}}\right) e^{-2ikL} ,
\end{align}
where $r_{q,L}, t_{q,L}$ are the reflection and transmission coefficients for $H_{q,L}$ respectively.

Again, we must verify bounds (5.11), hence we must prove for instance
\[ i_{q,L}(k) \leq C(1 + |k|)^{-1} ,\]
provided $qL \neq 1/2$. Indeed, we have
\[ i_{q,L}(k) = \frac{2k(k^2 - 2ikq + q^2(e^{4ikL} - 1)) - 2ik^2(2Lq^2 e^{4ikL} - (ik + q))}{(k^2 - 2ikq + q^2(e^{4ikL} - 1))^2} ,\]
which satisfies
\[ |i_{q,L}(k)| \sim O(|k|^{-1}) \]
as $k \to \infty$ and
\[ |i_{q,L}(k)| \sim O\left(\frac{1}{4q^2L - 2q}\right) \]
as $k \to 0$. A similar computation holds for $r_{q,L}$.

**Remark 7.1.** Such bounds can be verified for a more general $\delta$ function potential using the expressions
\begin{align}
T(k) &= 1 + \int_{-\infty}^{\infty} V(t) dt + O(k^{-2}) , \\
R_j(k) &= T(k) \int_{-\infty}^{\infty} e^{\pm 2ikt} V(t) dt + O(k^{-2}) ,
\end{align}
which can be derived from the expressions for $m_1, m_2$ as in [4].
7.2. **Commutator / Resolvent type bounds.** In [5], where homogenization of high contrast oscillatory structures with defects is studied, bounds on \((H_0 + 1)^{-1}(H_{\vec{q},\vec{y}} + 1)\) are required to estimate a Lipmann Schwinger equation. We have, by our main theorem that
\[
(H_0 + 1)^{-1}(H_{\vec{q},\vec{y}} + 1)P_c = (H_0 + 1)^{-1}W_+ (H_0 + 1)W^*_+ : L^2 \to L^2.
\]

7.3. **Dispersive and Strichartz estimates in \(H^1\) for \(\delta\)-NLS.** We may represent
\[
e^{-itH}P_c f = \frac{1}{2\pi} \int_0^\infty \int_0^\infty e^{-\frac{itk^2}{2}} \left( e_+(x, k) e_+(x, k) + e_-(x, k) e_-(x, k) \right) f(y) dk dy.
\]
From here, we may use direct computations to arrive at Strichartz estimates and apply Weder’s results on wave operators since the potentials are all in \(L^1\) with compact support.

Using the properties of wave operators, we have
\[
\|e^{itH}P_c f\|_{L^p} = \|W_\pm e^{itH_0}W^*_\pm f\|_{L^p}
\]
and using standard dispersive estimates for the linear Schrödinger operator (see for instance [25] for a concise overview) arrive at
\[
\|e^{itH}P_c f\|_{L^p} \leq C_p t^{\left(\frac{1}{2} - \frac{1}{r}\right)} \|f\|_{W^{1,p}}.
\]
Define a Strichartz pair \((q, r)\) to be admissible if
\[
\frac{2}{q} = \frac{1}{2} - \frac{1}{r}
\]
with \(2 \leq r < \infty\). Then, we arrive at the celebrated Strichartz estimates
\[
\|e^{itH}P_c u_0\|_{L^q_t W^{1,r}_x} \lesssim \|u_0\|_{W^{1,2}}
\]
and
\[
\left\| \int_0^t e^{iH(t-s)} P_c f \right\|_{L^q_t W^{1,r}_x} \lesssim \|f(x, t)\|_{L^q_t W^{1,r}_x}
\]
using duality techniques and once again the boundedness of the wave operators.

As a side note, using positive commutators and well crafted local smoothing spaces, from [18] we have the Strichartz estimate
\[
\left\| \int_0^t e^{iH(t-s)} P_c f \right\|_{L^q_t L^2} \lesssim \|f(x, t)\|_{L^q_t L^2}.
\]
Now, by boundedness of wave operators on \(W^{1,p}\) spaces for singular potentials as proved in Theorem 3 we have the following useful relation
\[
\left\| \int_0^t e^{iH(t-s)} P_c f \right\|_{L^\hat{p}_t L^\hat{q}_x} \lesssim \|f(x, t)\|_{L^\hat{p}_t W^{1,\hat{q}}_x},
\]
where \((\hat{p}, \hat{q})\) is a dual Strichartz pair without first going through the dispersive estimates.
7.4. Local Well-Posedness in $H^1$ for $\delta$-NLS. Consider the nonlinear Schrödinger / Gross-Pitaevskii, with a potential consisting of a finite set of Dirac delta functions:

$$i\partial_t u + H_{\vec{\mathcal{q}}, \vec{\mathcal{y}}} u - |u|^{2\sigma} u = 0,$$

$$u(x,0) = u_0(x) \in H^1,$$

for $0 < \sigma < \infty$. We seek a solution in the following sense:

$$u = \Lambda[u],$$

where

$$\Lambda[u](t) = e^{-iH_{\vec{\mathcal{q}}, \vec{\mathcal{y}}} t} u_0 - i \int_0^t e^{-iH_{\vec{\mathcal{q}}, \vec{\mathcal{y}}} (t-s)} |u|^{2\sigma} u(s) ds.$$  

We claim that local well-posedness can be established via the contraction mapping principle in the space $C_0([0,T); H^1(\mathbb{R}))$ for $T$ sufficiently small. To prove the necessary boundedness and contraction estimates, it is natural to apply the operator $(I + H_{\vec{\mathcal{q}}, \vec{\mathcal{y}}})^{1/2} P_c$, which commutes with the group $e^{-iH_{\vec{\mathcal{q}}, \vec{\mathcal{y}}} t}$ to (7.19). Then, estimates follow in a straightforward way, using that $H^1(\mathbb{R})$ is an algebra, provided the space

$$H^1(\mathbb{R}) = \left\{ f : (I + H_{\vec{\mathcal{q}}, \vec{\mathcal{y}}})^{1/2} P_c f \in L^2(\mathbb{R}) \right\}$$

is equivalent to the classical Sobolev space $H^1$. This follows from the relations

$$(I + H)^{1/2} P_c = W(I - \partial_x^2)^{1/2} W^*, \quad W^*(I + H)^{1/2} W = (I - \partial_x^2)^{1/2}$$

and our results on the boundedness of wave operators associated with $H_{\vec{\mathcal{q}}, \vec{\mathcal{y}}}$ on $H^1$.

7.5. Long time dynamics for NLS with a double $\delta$ well potential. In [17], the long time dynamics of solutions to the nonlinear Schrödinger / Gross-Pitaevskii equation

$$i\partial_t u = (-\Delta + V(x)) u + gK \left[ |u|^2 \right] u,$$

where $V$ is a symmetric, double well potential, are studied. In particular, under appropriate spectral assumptions on the operator $H = -\partial_x^2 + V(x)$, in a neighborhood of a symmetry breaking bifurcation point, there are different classes of oscillating solutions (7.21) which shadow periodic orbits of a finite dimensional reduction on very long, but finite, time scales. These solutions correspond to states with mass concentrations oscillating between the two wells of a symmetric potential well. The proof requires dispersive / Strichartz type estimates. The results of this paper imply that the results of [17] extend to (7.21) for the case of singular potentials, such as

$$V(x) = -q[\delta(x-L) + \delta(x+L)].$$

Appendix A. Bounds on $m_j(x; k), \ j = 1, 2$

Denote by $m_1(x,k) = e^{-ikx} f_1(x,k)$ and $m_2(x,k) = e^{ikx} f_2(x,k)$. Then, we have

$$m_1(x,k) = 1 + \int_x^\infty D_k(y-x) V(y) m_1(y,k) dy,$$

$$m_2(x,k) = 1 + \int_{-\infty}^x D_k(x-y) V(y) m_2(y,k) dy,$$

$$D_k(x) = \int_0^x e^{2iky} dy.$$
We remark the derivation for \( m_1(x, k) \), \( x \geq 0 \). Similar remarks apply to \( m_2(x, k) \) on \( x \leq 0 \). By results in \([4]\), for \( V \in L^{1}_{\frac{1}{2}+}(\mathbb{R}) \) the function \( m_1(x, k) - 1 \) is in the Hardy space, and therefore there exists \( B_1 \in L^2(\mathbb{R}^+) \) such that

\[
m_1(x, k) = 1 + \int_0^\infty B_1(x, y)e^{2iky}dy. \tag{A.1}
\]

Moreover,

\[
|B_1(x, y)| \leq C e^{\gamma_1(x)} \int_{x+y}^\infty |V(t)|dt, \quad x, \ y > 0, \tag{A.2}
\]

\[
\gamma_1(x) = \int_x^\infty (t - x)|V(t)|dt. \tag{A.3}
\]

Similarly,

\[
|\partial_x B_1(x, y)| \leq C e^{\gamma_1(x)} \left( V(x + y) + \int_{x+y}^\infty |V(t)|dt \right), \quad x \in \mathbb{R}, \ y > 0, \tag{A.4}
\]

\[
\gamma_1(x) = \int_x^\infty (t - x)|V(t)|dt. \tag{A.5}
\]

The proof of \([4]\) extends to the case where \( V(x) = V_{\text{sing}}(x) + V_{\text{reg}}(x) \), where \( V \in L^{1}_{\frac{1}{2}+}(\mathbb{R}) \) and \( V_{\text{sing}}(x) \) consists of a finite sum of delta functions. Indeed, for \( V(x) = \delta(x) \) we have

\[
B_1(x, y) = \sum_{n=0}^\infty K_n(x, y)
\]

for

\[
K_0(x, y) = \int_{x+y}^\infty V(t)dt, \quad K_{n+1}(x, y) = \int_0^y \int_{x+y-z}^\infty V(t)K_n(t, z)dtdz, \quad n = 0, 1, \ldots.
\]

Hence,

\[
K_0 = \int_{x+y}^\infty \delta(t)dt = \begin{cases} 1, & x + y < 0 \\ \frac{1}{2}, & x + y = 0 \\ 0, & x + y > 0. \end{cases}
\]

As a result,

\[
K_1(x, y) = \int_0^y \begin{cases} 0, & x + y - z > 0 \\ \frac{1}{2}K(0, z), & x + y - z = 0 \\ K(0, z), & x + y - z < 0 \end{cases}
\]

since \( K(0, z) = 0 \) for any \( z > 0 \). Similar computations can be done for larger collections of \( \delta \) functions. Hence, for \( V = \delta \), we have

\[
B_1(x, y) = K_0(x, y)
\]

for which the bounds \([4.2], [4.4]\) hold obviously in the sense of distributions.
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