Characteristics of Patients with Diabetes Initiating Sodium Glucose Co-transporter-2 Inhibitors (SGLT2i): Real-World Results from Three Administrative Databases in Japan

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ABSTRACT

Introduction: The aim of this study was to evaluate the characteristics of new users of sodium glucose co-transporter-2 inhibitors (SGLT2i) in comparison with those of new users of other oral antidiabetic drugs (OADs) using data retrieved from three administrative databases in Japan.

Methods: This study included adult patients from each database who started an OAD between 2014 and 2017. Outpatients who started SGLT2i therapy were included in the SGLT2i cohort. The remaining outpatients were grouped according to the OAD class of their earliest initial prescription after no use of the index OAD during the 6-month pre-index period. Diabetes-related complications were evaluated using the Diabetes Complication Severity Index.

Results: In total, 176,355 patients in the hospital-based administrative database (H-dataset), 98,361 in the pharmacy claims database (P-dataset) and 37,786 in the insurance claims database (I-dataset) were analyzed. In the H-dataset, SGLT2i users, compared with users of other OADs, tended to be younger (mean age at index: 57.7 vs. 60.3–69.2 years) and to have a higher prevalence of hypercholesterolemia (73.5 vs. 55.2–71.4%), a higher mean body weight (74.4 vs. 60.5–70.8 kg), a higher body mass index (27.6 vs. 23.5–26.4 kg/m²) and a higher glycated hemoglobin level (8.4 vs. 7.4–8.1%). There were no distinct differences in the prevalence of complications between SGLT2i users and users of other OADs in the H-dataset. Similar trends were noted in the other datasets.

Conclusion: Patients initiating SGLT2i therapy differed in several characteristics from new users of other OADs. SGLT2i were prescribed more frequently to younger patients, those at increased cardiovascular risk or those with poorer glycemic control.

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Keywords: Administrative databases; SGLT2i; Japan; Patient profile; Real-world

INTRODUCTION

The prevalence of diabetes among the adult population in Japan was estimated to be 7.7% in 2017, and the expectation is that it will reach...
9.8% in 2030 [1, 2]. Patients with type 2 diabetes mellitus (T2DM) are often associated with clustered risk factors for cardiovascular disease (CVD), such as obesity, hypertension and hypercholesterolemia, and are also at increased risk of macrovascular complications [3]. In addition, microvascular complications, such as diabetic nephropathy, neuropathy and retinopathy, are also common in patients with T2DM [4].

Oral antidiabetic drugs (OADs) lower blood glucose levels through various mechanisms, such as by increasing insulin release [e.g. sulfonylureas (SU) and glinides], improving insulin sensitivity [e.g. thiazolidinediones (TZD)], stimulating glucose uptake via 5′ adenosine monophosphate-activated protein kinase activation [e.g. biguanides (BG)], delaying glucose absorption in the intestines [e.g. alpha-glucosidase inhibitors (α-GI)] and stimulating insulin release and suppressing glucagon secretion via dipeptidyl peptidase-4 (DPP-4) inhibition [e.g. DPP-4 inhibitors (DPP-4i)] [5, 6].

Sodium glucose co-transporter-2 inhibitors (SGLT2i) represent a novel class of OADs that reduce blood glucose levels by inhibiting renal glucose reabsorption, independent of insulin secretion and action [7]. Six SGLT2i compounds, namely ipragliflozin, dapagliflozin, tofogliflozin, luseogliflozin, canagliflozin and empagliflozin, were approved for the treatment of T2DM in Japan between April 2014 and February 2015 [8–14]. However, real-world studies on SGLT2i users in Japan are limited [15–18]. The aim of this study was to provide a real-world perspective on the demographic and clinical characteristics of SGLT2i users compared with those of users of other OADs using data retrieved from three administrative databases in Japan.

**METHODS**

This was a retrospective database analysis of patients with diabetes who initiated OAD therapy between April 2014 and March 2017 in Japan. The Medical Affairs Japan Protocol Review Committee reviewed and approved the study protocol prior to study initiation. As the study only involved analysis of pre-existing data in the databases, written informed consent from the study participants was not required. This study was conducted in accordance with the guidelines for Good Pharmacoepidemiology Practice [19].

**Data Sources**

This study retrieved patient data from three distinct administrative databases in Japan: (1) a hospital-based administrative database (H-dataset) constructed from data on inpatients and outpatients from 287 Diagnosis Procedure Combination (DPC) hospitals [20]; (2) a pharmacy claims database (P-dataset) comprising data from over 800 pharmacies nation-wide, which provided coverage for approximately 2% of all outpatient prescriptions [21]; and (3) an insurance claims database (I-dataset) containing medical and prescription claims of 3.8 million employees and their dependents (as of study execution) who were mostly aged ≤ 65 years [22].

**Study Population**

This study included patients from each database who were ≥ 18 years old as of 1 April 2014 and initiated therapy on an OAD between 1 April 2014 and 31 March 2017 (study period) (Fig. 1). Patients who had any of the following International Classification of Diseases tenth revision (ICD-10) diagnosis codes during the study period were excluded: E10.xx (type 1 diabetes mellitus), E12.xx (malnutrition-related diabetes mellitus), E13.xx (other specified diabetes mellitus) and O24.xx (diabetes mellitus in pregnancy). Patients with any SGLT2i prescription were identified, and the first medication date (= index date) was noted. Patients who initiated treatment with SGLT2i and any other OAD(s) or with ≥ 2 SGLT2i agents on the same date were excluded from the study. The remaining patients, consisting of those who added SGLT2i to their prior OAD therapy or those who started SGLT2i monotherapy, were included in the SGLT2i cohort.

Since the other OADs included in this study for comparison have been in the market for a long time, we tried to include new users during
the study period to allow better comparison with the SGLT2i cohort. Other OAD cohorts in this study included patients receiving therapy with α-GI, BG, DPP-4i, glinides, SU, and TZD [see Electronic Supplementary Material (ESM) Table 1 for a list of medication codes]. We identified the first prescription date for each OAD class and flagged this date as a candidate index date. If the patients used the index OAD during the 6-month pre-index period or initiated therapy with the index OAD together with another class of OADs or fixed-dose combination drugs on the same date (co-initiation), then the candidate index OAD was excluded. Finally, the earliest candidate was selected as the index OAD, and the initial prescription date for the index OAD was identified as the index date. Patients who had < 6 months enrollment prior to the index date were excluded from the study cohorts (only applicable to the I-dataset). For better generalizability, patients who were hospitalized at the index date were excluded from the analysis (only applicable to the H- and I-datasets).

Study Assessments

We evaluated patient characteristics and prescribing site characteristics for the SGLT2i and
Table 1  Characteristics of sodium glucose co-transporter-2 inhibitor users and users of other oral antidiabetic drugs

| Patient characteristics<sup>a</sup> | SGLT2i | α-Gl | BG   | DPP-4i | Glinide | SU  | TZD | Total |
|----------------------------------|--------|------|------|--------|---------|-----|-----|-------|
| H-dataset                        |        |      |      |        |         |     |     |       |
| Number of patients, N            | 27,039 | 13,649 | 26,468 | 84,012 | 6946 | 13,533 | 4708 | 176,355 |
| Gender, male, n (%)              | 16,839 (62.3) | 8315 (60.9) | 16,168 (61.1) | 52,459 (62.4) | 4240 (61.0) | 8507 (62.9) | 2851 (60.6) | 109,379 (62.0) |
| Prescription site, n (%)         |        |      |      |        |         |     |     |       |
| Hospital (≥ 20 beds)             | 27,039 (100.0) | 13,649 (100.0) | 26,468 (100.0) | 84,012 (100.0) | 6946 (100.0) | 13,533 (100.0) | 4708 (100.0) | 176,355 (100.0) |
| Prescribing specialty, n (%)     |        |      |      |        |         |     |     |       |
| General internal medicine        | 15,617 (57.8) | 7533 (55.2) | 15,956 (60.3) | 46,626 (55.5) | 3999 (57.6) | 8313 (61.4) | 2577 (54.7) | 100,621 (57.1) |
| Diabetology<sup>b</sup>          | 6061 (22.4) | 2047 (15.0) | 6160 (23.3) | 13,887 (16.6) | 1986 (28.5) | 2195 (16.2) | 627 (13.3) | 32,963 (18.7) |
| Cardiology                       | 2768 (10.2) | 1288 (9.4) | 1661 (6.3) | 9640 (11.5) | 180 (2.6) | 947 (7.0) | 606 (12.9) | 17,090 (9.7) |
| Others                           | 2253 (8.3) | 2656 (19.5) | 2439 (9.2) | 13,166 (15.7) | 711 (10.2) | 1983 (14.7) | 831 (17.7) | 24,039 (13.6) |
| Unknown specialties               | 340 (1.3) | 125 (0.9) | 252 (1.0) | 690 (0.8) | 70 (1.0) | 95 (0.7) | 67 (1.4) | 1639 (0.9) |
| Multiple specialties              | 0 (0.0) | 0 (0.0) | 0 (0.0) | 3 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 3 (0.0) |
| Age                               |        |      |      |        |         |     |     |       |
| Mean (SD), years                 | 57.7 (12.6) | 69.0 (11.7) | 60.3 (13.1) | 68.0 (12.1) | 69.2 (11.5) | 69.1 (12.3) | 67.2 (12.1) | 65.5 (13.0) |
| Median (IQR), years              | 59 (49–67) | 70 (63–77) | 62 (52–69) | 69 (61–77) | 70 (63–77) | 70 (62–78) | 68 (61–76) | 67 (58–75) |
| P-dataset                        |        |      |      |        |         |     |     |       |
| Number of patients, N            | 14,861 | 6877 | 14,080 | 49,153 | 3152 | 7568 | 2670 | 98,361 |
| Gender, male, n (%)              | 9212 (62.0) | 4014 (58.4) | 8608 (61.1) | 29,339 (59.7) | 1923 (61.0) | 4515 (59.7) | 1466 (54.9) | 59,077 (60.1) |
| Prescription site, n (%)         |        |      |      |        |         |     |     |       |
| Clinic (< 20 beds)               | 6786 (45.7) | 2405 (35.0) | 5186 (36.8) | 18,490 (37.6) | 952 (30.2) | 3037 (40.1) | 1,65 (47.4) | 38,121 (38.8) |
| Hospital (≥ 20 beds)             | 8075 (54.3) | 4472 (65.0) | 8894 (63.2) | 30,663 (62.4) | 2200 (69.8) | 4531 (59.9) | 1405 (52.6) | 60,240 (61.2) |
| Prescribing specialty, n (%)     |        |      |      |        |         |     |     |       |
| General internal medicine        | 7559 (50.9) | 3032 (44.1) | 6510 (46.2) | 22,126 (45.0) | 1366 (43.3) | 3722 (49.2) | 1224 (45.8) | 45,539 (46.3) |
| Diabetology<sup>b</sup>          | 721 (4.9) | 246 (3.6) | 835 (5.9) | 2132 (4.3) | 256 (8.1) | 293 (3.9) | 55 (2.1) | 4538 (4.6) |
Table 1 continued

| Patient characteristics<sup>a</sup> | SGLT2i | α-GI | BG | DPP-4i | Glinide | SU | TZD | Total |
|----------------------------------|--------|------|----|--------|--------|----|-----|-------|
| Cardiology                       | 631 (4.2) | 268 (3.9) | 404 (2.9) | 2379 (4.8) | 66 (2.1) | 258 (3.4) | 108 (4.0) | 4114 (4.2) |
| Others                           | 1907 (12.8) | 1038 (15.1) | 1801 (12.8) | 7469 (15.2) | 356 (11.3) | 1194 (15.8) | 595 (22.3) | 14,360 (14.6) |
| Unknown specialties               | 4032 (27.1) | 2287 (33.3) | 4526 (32.1) | 15,027 (30.6) | 1108 (35.2) | 2096 (27.7) | 688 (25.8) | 29,764 (30.3) |
| Multiple specialties              | 11 (0.1) | 6 (0.1) | 4 (0.0) | 20 (0.0) | 0 (0.0) | 5 (0.1) | 0 (0.0) | 46 (0.0) |

Age

|               | Mean (SD), years | Median (IQR), years |
|---------------|------------------|---------------------|
| Mean (SD), years | 58.7 (12.6) | 68.5 (13.0) |
| Median (IQR), years | 59 (50–68) | 70 (61–78) |

I-dataset<sup>c</sup>

|               | Number of patients, N | Gender, male, n (%) | Prescription site, n (%) |
|---------------|-----------------------|---------------------|--------------------------|
| Number of patients, N | 12,408 | 9073 (73.1) | 8729 (70.3) |
| Gender, male, n (%) | 2063 | 1477 (71.6) | 1378 (66.8) |
| Prescription site, n (%) | 6832 | 4908 (71.8) | 4510 (66.0) |

Age

|               | Mean (SD), years | Median (IQR), years |
|---------------|------------------|---------------------|
| Mean (SD), years | 51.3 (8.8) | 54.6 (10.0) |
| Median (IQR), years | 52 (46–58) | 55 (48–62) |

<sup>a</sup> <sup>α-GI</sup> Alpha-glycosidase inhibitors, <sup>BG</sup> biguanides, <sup>DPP-4i</sup> dipeptidyl peptidase-4 inhibitors, <sup>IQR</sup> interquartile range, <sup>OAD</sup> oral antidiabetic drug, <sup>SD</sup> standard deviation, <sup>SGLT2i</sup> sodium glucose co-transporter-2 inhibitors, <sup>SU</sup> sulfonylureas, <sup>TZD</sup> thiazolidinediones

<sup>a</sup> <sup>H-dataset</sup> Hospital-based administrative database constructed from data for inpatients and outpatients from 287 Diagnosis Procedure Combination (DPC) hospitals, <sup>P-dataset</sup> a pharmacy claims database using data from over 800 pharmacies nation-wide which provided a coverage of approximately 2% of all outpatient prescriptions, <sup>I-dataset</sup> an insurance claims database containing medical and prescription claims of 3.8 million employees and their dependents who were mostly aged ≤ 65 years

<sup>b</sup> Also includes endocrinology and metabolism

<sup>c</sup> Analyses for prescribing specialty were not conducted for the I-dataset
the other OAD cohorts. A window period of -30 days was allowed for the collection of baseline clinical values [body mass index (BMI), glycated hemoglobin (HbA1c), estimated glomerular filtration rate (eGFR)]. If there were multiple values within this period, the closest one to the index date was chosen. Comorbidities were coded according to the Elixhauser Comorbidity Index (ECI) [23, 24] and scored as previously reported [25]. The prevalence of hypertension and hypercholesterolemia were assessed based on the ICD-10 diagnosis code (I10.x for hypertension and E78.x for hypercholesterolemia) and prescriptions for these conditions during the pre-index period (YJ codes starting with 214 for hypertension and 218 for hypercholesterolemia). Diabetes-related complications were evaluated using the Diabetes Complication Severity Index (DCSI) [26], which identified seven complications: CVD, nephropathy, retinopathy, cerebrovascular disease, neuropathy, peripheral vascular disease and metabolic disease (ketoacidosis). The DCSI was originally developed using the ICD ninth revision (ICD-9) codes and laboratory test results, but ICD-10 codes [27, 28] with adaptations for Japan-specific standardized diagnosis codes and serum creatinine results (where available) were used in this study (see ESM Table 2 for a list of diagnosis codes).

Statistical Analysis

We analyzed the data in each dataset separately. Continuous data were presented as the mean and standard deviation (SD) or as the median and inter-quartile range (IQR), as appropriate, and categorical data were presented as proportion. Prescription site was summarized according to the number of beds (≥ 20 beds as hospital and < 20 beds as clinic).
Changes over time in prescription site, prescribing specialty and age at index were aggregated quarterly by index date. All analyses were conducted for each dataset separately using SAS® Studio Release 3.5 (SAS Institute Inc., Cary, NC, USA).

RESULTS

Prescription Site, Prescribing Specialty and Patient Demographics

In total, 176,355 patients in the H-dataset, 98,361 patients in the P-dataset, and 37,786 patients in the I-datasets were included in the analysis (Fig. 1). Table 1 shows the characteristics of SGLT2i users and of users of other OADs. Overall, 38.8% of the patients in the P-dataset and 68.7% of those in the I-dataset received their index prescriptions from clinics. Age/gender distribution were similar between patients in the H-dataset (only included hospital patients) and those in the P-dataset (included both clinic and hospital patients). The H-dataset contained more prescriptions initiated by diabetologists than did the P-dataset. Compared with users of other OADs, SGLT2i users and TZD users tended to have more prescriptions initiated from the clinics. Over the study period, there was a decreasing trend in SGLT2i prescriptions initiated from the clinics (ESM Fig. 1). OAD prescriptions were most frequently initiated by generalists across all cohorts (Table 1). Notably, the number of SGLT2i prescriptions initiated in the cardiology department increased slightly over the study period (ESM Fig. 2).

There were no differences in gender distribution between SGLT2i users and users of other OADs in each dataset, but SGLT2i users were the youngest among the OAD cohorts (Table 1; Fig. 2). Over the study period, there was an increasing trend in mean age at index among SGLT2i users (Fig. 3), with an increase in the proportion of patients aged ≥ 65 years in all datasets (ESM Fig. 3).

Clinical Characteristics

The comorbidities and diabetes-related complications at index among SGLT2i users and users of other OADs in the H- and I-datasets are summarized in Table 2. The median ECI score was lower in both SGLT2i and BG users than in users of other OADs in the H-dataset but was comparable across all OAD users in the I-dataset. A higher prevalence of hypertension was noted in SGLT2i users in the I-dataset and a higher prevalence of hypercholesterolemia was noted in SGLT2i users in both the H- and I-datasets compared with users of other OADs. The median DCSI score was similar across all OAD cohorts in both the H- and I-datasets. There were no distinct differences in the prevalence of complications between SGLT2i users and users of other OADs in both of these datasets.

The body weight, BMI, HbA1c and eGFR levels at index in SGLT2i users and users of other OADs in the H- and I-datasets are shown in Table 3. SGLT2i users in the H-dataset had the highest mean body weight, BMI and HbA1c levels among the OAD cohorts (Table 3). The mean BMI level was also highest in SGLT2i users in the I-dataset. All OAD cohorts in both datasets had median eGFR levels of > 60 mL/min/1.73 m².
| Comorbidities and diabetes-related complications at index | Dataset<sup>a</sup> | SGLT2i | α-GI | BG | DPP-4i | Glinide | SU | TZD | Total |
|-------------------------------------------------------|------------------|--------|-----|-----|--------|---------|----|-----|-------|
| Number of patients, N                                  |                  |        |     |     |        |         |    |     |       |
| H-dataset                                             | 27,039           | 13,649 | 26,468 | 84,012 | 6946 | 13,533 | 4708 | 176,355 |
| I-dataset                                             | 12,408           | 2063   | 6832  | 12,794 | 779  | 1843   | 1067 | 37,786 |
| Comorbidities                                         |                  |        |     |     |        |         |    |     |       |
| ECI score, median (IQR)                                |                  |        |     |     |        |         |    |     |       |
| H-dataset                                             | 3.0 (0.0–11.0)   | 5.0 (0.0–12.0) | 2.0 (0.0–11.0) | 5.0 (0.0–11.0) | 5.0 (0.0–12.0) | 5.0 (0.0–11.0) | 5.0 (0.0–11.0) | 5.0 (0.0–11.0) |
| I-dataset                                             | 2.0 (0.0–11.0)   | 1.0 (0.0–11.0) | 0 (0.0–11.0) | 0 (0.0–11.0) | 3.0 (0.0–11.0) | 0 (0.0–11.0) | 0 (0.0–11.0) | 0 (0.0–11.0) |
| Patients with hypertenion, n (%)                       |                  |        |     |     |        |         |    |     |       |
| H-dataset                                             | 18,259 (67.5)    | 9427 (69.1) | 15,022 (56.8) | 55,293 (65.8) | 4703 (67.7) | 8905 (65.8) | 3349 (71.1) | 114,958 (65.2) |
| I-dataset                                             | 7441 (60.0)      | 1120 (54.3) | 3448 (50.5) | 6817 (53.3) | 442 (56.7) | 981 (53.2) | 627 (58.8) | 20,876 (55.2) |
| Patients with hypercholesterolemia, n (%)             |                  |        |     |     |        |         |    |     |       |
| H-dataset                                             | 19,873 (73.5)    | 8364 (61.3) | 15,700 (59.3) | 47,906 (57.0) | 4214 (60.7) | 7468 (55.2) | 3360 (71.4) | 106,885 (60.6) |
| I-dataset                                             | 8856 (71.4)      | 1312 (63.6) | 4180 (61.2) | 7847 (61.3) | 529 (67.9) | 1175 (63.8) | 743 (69.6) | 24,642 (65.2) |
| Complications                                         |                  |        |     |     |        |         |    |     |       |
| DCSI score, median (IQR)                               |                  |        |     |     |        |         |    |     |       |
| H-dataset                                             | 1.0 (0.0–2.0)    | 2.0 (0.0–3.0) | 1.0 (0.0–2.0) | 2.0 (0.0–3.0) | 1.0 (0.0–2.0) | 1.0 (0.0–2.0) | 1.0 (0.0–2.0) | 1.0 (0.0–2.0) |
| I-dataset                                             | 0 (0.0–1.0)      | 0 (0.0–1.0) | 0 (0.0–1.0) | 0 (0.0–1.0) | 0 (0.0–1.0) | 0 (0.0–1.0) | 0 (0.0–1.0) | 0 (0.0–1.0)   |
| Patients with cardiovascular disease, n (%)           |                  |        |     |     |        |         |    |     |       |
| H-dataset                                             | 9440 (34.9)      | 5729 (42.0) | 7181 (27.1) | 33,079 (39.4) | 2851 (41.0) | 4962 (36.7) | 1847 (39.2) | 65,089 (36.9) |
| I-dataset                                             | 2450 (19.7)      | 424 (20.6) | 1078 (15.8) | 2182 (17.1) | 210 (27.0) | 350 (19.0) | 186 (17.4) | 6880 (18.2)  |
| Patients with nephropathy, n (%)                      |                  |        |     |     |        |         |    |     |       |
| H-dataset                                             | 5701 (21.1)      | 3241 (23.7) | 3729 (14.1) | 17,617 (21.0) | 1988 (28.6) | 2235 (16.5) | 803 (17.1) | 35,314 (20.0) |
| I-dataset                                             | 2669 (21.5)      | 354 (17.2)  | 990 (14.5) | 1660 (13.0) | 230 (29.5) | 239 (13.0) | 151 (14.2) | 6293 (16.7)  |
|Patients with retinopathy, n (%)                       |                  |        |     |     |        |         |    |     |       |
| H-dataset                                             | 5679 (21.0)      | 1881 (13.8) | 3913 (14.8) | 10,822 (12.9) | 1489 (21.4) | 1786 (13.2) | 570 (12.1) | 26,140 (14.8) |
| I-dataset                                             | 2441 (19.7)      | 353 (17.1)  | 1108 (16.2) | 1722 (13.5) | 235 (30.2) | 302 (16.4) | 168 (15.7) | 6329 (16.7)  |
| Patients with cerebrovascular disease, n (%)         |                  |        |     |     |        |         |    |     |       |
| H-dataset                                             | 3161 (11.7)      | 2267 (16.6) | 3030 (11.4) | 12,633 (15.0) | 1158 (16.7) | 2232 (16.5) | 864 (18.4) | 25,345 (14.4) |
| I-dataset                                             | 571 (4.6)        | 141 (6.8)   | 259 (3.8) | 607 (4.7) | 64 (8.2) | 109 (5.9) | 73 (6.8) | 1824 (4.8)   |
| Patients with neuropathy, n (%)                       |                  |        |     |     |        |         |    |     |       |
| H-dataset                                             | 3445 (12.7)      | 1923 (14.1) | 2593 (9.8) | 8970 (10.7) | 1288 (18.5) | 1628 (12.0) | 598 (12.7) | 20,445 (11.6) |
| I-dataset                                             | 711 (5.7)        | 118 (5.7)   | 274 (4.0) | 469 (3.7) | 79 (10.1) | 83 (4.5) | 48 (4.5) | 1782 (4.7)   |
| Patients with peripheral vascular disease, n (%)     |                  |        |     |     |        |         |    |     |       |
| H-dataset                                             | 701 (2.6)        | 360 (2.6)   | 595 (2.2) | 2079 (2.5) | 277 (4.0) | 302 (2.2) | 110 (2.3) | 4424 (2.5)   |
| I-dataset                                             | 179 (1.4)        | 23 (1.1)    | 88 (1.3) | 164 (1.3) | 33 (4.2) | 21 (1.1) | 8 (0.7) | 516 (1.4)    |
DISCUSSION

This study, to the best of our knowledge, is the largest study to characterize new users of SGLT2i using data from multiple databases in Japan. The results of this study showed that SGLT2i new users tended to be younger and to have higher BMI levels and a higher prevalence of hypertension and hypercholesterolemia at index than did other new users of OADs during the first 3 years of the launching of SGLT2i use in Japan. SGLT2i new users also tended to be heavier and to have higher HbA1c levels than users of other OADs. SGLT2i were initially prescribed more frequently to younger patients and subsequently gradually introduced to elderly patients. SGLT2i prescriptions were initiated more frequently from clinics and then progressively also from hospitals. Notably, the number of SGLT2i prescriptions initiated in the cardiology department increased slightly over time. Hankins et al. [29] conducted a similar database study between November 2012 and April 2014 to compare early users of dapagliflozin with users of other OADs in Germany. The dapagliflozin users were younger and heavier and had higher HbA1c levels than did users of other OADs [29], similar to the SGLT2i new users in the present study. However, the German study did not report any differences in the prevalence of hypertension and hypercholesterolemia between dapagliflozin users and the users of other OADs during the study period [29].

In this study, we observed that SGLT2i users tended to have a higher prevalence of hypertension and hypercholesterolemia at initiation compared with users of other OADs. In addition, a slight increase in SGLT2i prescription initiated from the cardiology department was noted over the study period. A recent meta-analysis demonstrated reductions in hospitalization for heart failure and delayed progression of renal disease in patients with T2DM treated with SGLT2i, regardless of existing atherosclerotic CVD or history of heart failure [30]. Therefore, SGLT2i may also be gradually introduced to patients without an established risk of CVD.
Table 3  Body weight, body mass index and laboratory test results for sodium glucose co-transporter-2 inhibitor users and users of other oral antidiabetic drugs at index

| Clinical characteristics | Dataset | SGLT2i N  | α-GI N  | BG N  | DPP-4i N  | Glinide N  | SU N  | TZD N  | Total N  |
|--------------------------|---------|-----------|---------|-------|-----------|------------|-------|--------|----------|
| Number of patients, N   | H-dataset | 27,039 | 13,649 | 26,468 | 84,012 | 6946 | 13,533 | 4708 | 176,355 |
|                          | I-dataset | 12,408 | 2063  | 6832  | 12,794 | 779  | 1843  | 1067 | 37,786  |

| Clinical characteristics | Dataset | SGLT2i n  | α-GI n  | BG n  | DPP-4i n  | Glinide n  | SU n  | TZD n  | Total n  |
|--------------------------|---------|-----------|---------|-------|-----------|------------|-------|--------|----------|
| Mean body weight         | H-dataset | 336  | 368  | 593  | 3499 | 201 | 560  | 122  | 5679    |
| Number of patients, n    | I-dataset | 660  | 103  | 452  | 824  | 44  | 126  | 54   | 2263    |
| Mean (SD), kg            | H-dataset | 74.4 (19.5) | 60.5 (13.2) | 70.8 (16.7) | 62.9 (13.3) | 60.6 (14.3) | 62.3 (13.5) | 67.4 (13.9) | 64.2 (14.7) |
|                          | I-dataset | 27.6 (6.1) | 23.5 (4.1) | 26.4 (5.2) | 24.2 (4.2) | 23.5 (4.7) | 24.0 (4.2) | 25.6 (4.3) | 24.6 (4.6) |
| BMI                      | H-dataset | 336  | 365  | 591  | 3467 | 200 | 557  | 122  | 5638    |
| Number of patients, n    | I-dataset | 600  | 103  | 452  | 824  | 44  | 126  | 54   | 2263    |
| Mean (SD), kg/m²         | H-dataset | 29.2 (5.4) | 25.7 (5.3) | 27.3 (4.7) | 25.9 (4.5) | 24.2 (3.3) | 26.3 (4.6) | 26.1 (4.3) | 27.1 (5.0) |
|                          | I-dataset | 8.4 (1.4) | 7.4 (1.2) | 8.1 (1.6) | 7.7 (1.5) | 7.6 (1.2) | 8.0 (1.7) | 7.8 (1.5) | 7.9 (1.5) |
| HbA1c, %                 | H-dataset | 3120 | 1252 | 3385 | 8199 | 625 | 1342 | 462 | 18,385 |
| Number of patients, n    | I-dataset | 594 | 89 | 392 | 721 | 40 | 107 | 48 | 1991 |
| Mean (SD)                | H-dataset | 8.1 (1.4) | 7.4 (1.3) | 8.1 (1.7) | 8.1 (1.8) | 7.9 (1.2) | 8.8 (1.9) | 8.2 (1.6) | 8.1 (1.6) |
|                          | I-dataset | 77.8 (24.0) | 62.4 (25.3) | 79.3 (22.4) | 66.1 (26.5) | 61.9 (26.9) | 72.2 (26.5) | 69.9 (22.1) | 70.4 (26.0) |
| eGFR, mL/min/1.73 m²     | H-dataset | 760 (63.0–91.0) | 63.0 (47.0–79.0) | 77.0 (65.0–91.0) | 67.0 (50.0–82.0) | 65.0 (45.0–81.0) | 70.0 (55.0–86.0) | 68.0 (55.5–83.0) | 71.0 (55.0–85.0) |
| Number of patients, n    | I-dataset | 316 | 61 | 250 | 425 | 15 | 54 | 31 | 1152 |
| Mean (SD)                | H-dataset | 79.3 (17.6) | 73.9 (21.9) | 79.7 (17.6) | 79.6 (20.4) | 76.0 (14.3) | 82.4 (15.3) | 75.0 (20.7) | 79.2 (18.9) |
|                          | I-dataset | 78.0 (66.0–92.0) | 73.0 (65.0–81.0) | 78.0 (69.0–88.0) | 79.0 (67.0–91.0) | 79.0 (68.0–85.0) | 85.0 (75.0–90.0) | 78.0 (64.0–91.0) | 78.0 (67.0–90.0) |

H-dataset: a hospital-based administrative database constructed from data for inpatients and outpatients from 287 diagnosis procedure combination (DPC) hospitals
I-dataset: an insurance claims database containing medical and prescription claims of 3.8 million employees and their dependents that were mostly aged ≥ 65 years
α-GI: α-glucosidase inhibitors, BG: biguanides, BMI: body mass index, DPP-4i: dipeptidyl peptidase-4 inhibitors, eGFR: estimated glomerular filtration rate, HbA1c: glycated hemoglobin, IQR: interquartile range, OAD: oral antidiabetic drug, SD: standard deviation, SGLT2i: sodium glucose co-transporter-2 inhibitors, SU: sulfonylureas, TZD: thiazolidinediones

* Relevant results were not available for the P-dataset
Clinical trials have demonstrated a weight reduction benefit in addition to improved glycemic control in patients with T2DM treated with SGLT2i [8–14]. In this study, we observed that SGLT2i users tended to be heavier than the users of other OADs at treatment initiation, suggesting that SGLT2i may be considered for patients who have concerns about weight gain with diabetes treatment, although this result may be due to the new users of SGLT2i being younger than the users of other OADs. SGLT2i reduce blood glucose levels via an insulin-independent mechanism and are suitable for patients with T2DM regardless of the level of beta-cell function and the degree of insulin resistance [7]. The present study showed that SGLT2i users tended to have higher HbA1c levels than other the users of OADs at treatment initiation. Considering the unique mode of action of SGLT2i, it may be that SGLT2i were considered for patients who were at later stage of the diabetes or for those whose blood sugar was inadequately controlled. Further studies on the drug utilization patterns in SGLT2i users will provide more information on physicians’ prescribing behavior.

There were some limitations to this study. While we sought ‘new’ users of an OAD, the index OAD prescription in the H- and P-dataset may not be truly ‘new’, as patients may have prescriptions from other hospitals or pharmacies that could not be captured by the databases. Also, the I-dataset had mostly younger patients (≤65 years) and the H-dataset included only DPC hospitals. These patients may not be representative of the general diabetes population. Nevertheless, results from the three databases were similar. By selecting patients with SGLT2i prescriptions ahead of the other OADs, the relative cohort sizes were not meaningful. In addition, the diagnoses of comorbidities and complications were not validated in these databases, and the prevalence may have been underestimated [31]. Further, laboratory data were available for only a limited number of patients.

CONCLUSIONS

The results of this study show that patients starting on SGLT2i differed in several demographic and clinical characteristics from new users of other OADs. While SGLT2i were prescribed more frequently to younger patients, over the study period they were gradually being used in elderly patients. In addition, there is a trend towards prescribing SGLT2i to patients at increased risk of CVD or those with poorer glycemic control. Further studies are needed to elucidate the drug utilization patterns in SGLT2i users.

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protocol prior to study initiation. As the study only involved analysis of pre-existing data in the databases, written informed consent from the study participants was not required. This study was conducted in accordance with the guidelines for Good Pharmacoepidemiology Practice [19].

**Data Availability.** Access to anonymized individual participant level data will not be provided for this study as it meets one or more of the exceptions described on [www.clinicalstudydatarequest.com](http://www.clinicalstudydatarequest.com) under “Sponsor Specific Details for Astellas.”

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