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DEFORMATION CONES OF GRAPH ASSOCIAHEDRA AND NESTOHEDRA

ARNAU PADROL, VINCENT PILAUD, AND GERMAIN POULLOT

Abstract. We give the facet description of the deformation cones of graph associahedra and nestohedra, generalizing the classical parametrization of the family of deformed permutahedra by the cone of submodular functions. When the underlying building set is made of intervals, this yields in particular to the construction of kinematic nestohedra generalizing the kinematic associahedra that recently appeared in the theory of scattering amplitudes.

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INTRODUCTION

A deformation of a polytope \( P \) is a polytope obtained from \( P \) by gliding its facets orthogonally to their normal vectors without passing a vertex. Equivalently, it is a polytope whose normal fan coarsens the normal fan of \( P \), or said differently, a Minkowski summand of a dilate of \( P \) \([She63, Mey74]\). The deformations of \( P \) form a polyhedral cone under dilation and Minkowski addition, called the deformation cone of \( P \) \([Pos09]\). The interior of the deformation cone of \( P \), called the type cone \([McM73]\), contains those polytopes with the same normal fan as \( P \).

When \( P \) is a rational polytope, it has an associated toric variety \([CLS11]\), and the type cone (here known as the numerically effective cone, or shortly nef cone) encodes its embeddings into projective space \([CLS11, Sect. 6.3]\). Fundamental examples of deformations of polytopes are the deformed permutahedra (a.k.a. generalized permutahedra or polymatroids) studied in \([Edm70, Pos09, PRW08]\), which are classically parametrized by submodular functions. Among the most famous deformed permutahedra are the classical associahedra as constructed in \([SS93, Lod04]\) (or even in \([HL07]\)). Associahedra appear in several mathematical contexts, from their original definition in topology \([Sta63]\) to some recent appearances in the theory of scattering amplitudes in mathematical physics \([AHHY18]\).

This paper focusses on some specific deformed permutahedra generalizing the associahedra, namely the graph associahedra and nestohedra. Graph associahedra were defined by M. Carr and S. Devadoss \([CD06]\) in connection to C. De Concini and C. Procesi’s wonderful arrangements \([DCP95]\). For a given graph \( G \), the \( G \)-associahedron \( Asso(G) \) is a simple polytope whose

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The combinatorial structure encodes the connected induced subgraphs of $G$ and their nested structure. More precisely, the $G$-associahedron is a polytopal realization of the nested complex of $G$, defined as the simplicial complex of all collections of tubes (connected induced subgraphs) of $G$ which are pairwise compatible (either nested, or disjoint and non-adjacent). As illustrated in Figure 1, the graph associahedra of certain special families of graphs coincide with well-known families of polytopes: complete graph associahedra are permutahedra, path associahedra are classical associahedra, cycle associahedra are cyclohedra, and star associahedra are stellohedra. Graph associahedra were extended to nestohedra, which are simple polytopes realizing the nested complex of arbitrary building sets [Pos09, FS05]. Graph associahedra and nestohedra have been constructed in different ways: by successive truncations of faces of the standard simplex [CD06], as Minkowski sums of faces of the standard simplex [Pos09, FS05], or from their normal fans by exhibiting explicit inequality descriptions [Dev09, Zel06]. For a given building set, the resulting polytopes all have the same normal fan, called a nested fan, whose rays are given by the characteristic vectors of the building blocks, and whose cones are given by the nested sets.

In this paper, we describe all realizations of the nested fans by studying the deformation cone of the $G$-associahedron for any graph $G$ (Section 2) and of the nestohedron of any building set (Section 3). Our main contribution is a non-redundant facet description of these deformation cones, characterizing which of the wall-crossing inequalities are irreplaceable (Theorems 2.9 and 3.23). This requires a characterization of the pairs of exchangeable rays of the nested fan (Propositions 2.4 and 3.17, which was surprisingly missing for arbitrary building sets (Remark 3.17).

Our characterization enables to count the facets of the deformation cone and thus to determine when the deformation cone is simplicial. It turns out that the deformation cone of the $G$-associahedron is simplicial if and only if $G$ is a disjoint union of paths (i.e. the $G$-associahedron is a Cartesian product of classical associahedra). In contrast, there is much more freedom for nestohedra of arbitrary building sets, and we show that the deformation cone of the nestohedron is always simplicial for an interval building set, that is a building set whose blocks are some intervals of $[n]$ (Proposition 3.28). As advocated in [PPPP19], the simpliciality of the deformation cone leads to an elegant description of all deformations of the polytope in the so-called kinematic space [AHBHY18]. Generalizing the kinematic associahedra of [AHBHY18], we thus define the kinematic nestohedra of arbitrary interval building sets (Proposition 3.32).
1. Geometric preliminaries

We first briefly recall basic notions of polytopes and fans, and the definition of the type cone of [McM73], following the presentation of [PPPP19].

1.1. Fans and polytopes. A (polyhedral) cone is the positive span of finitely many vectors or equivalently, the intersection of finitely many closed linear half-spaces. The faces of a cone are its intersections with its supporting hyperplanes. The rays (resp. facets) are the faces of dimension 1 (resp. codimension 1). A cone is simplicial if its rays are linearly independent. A (polyhedral) fan $F$ is a set of cones such that any face of a cone of $F$ belongs to $F$, and any two cones of $F$ intersect along a face of both. A fan is essential if the intersection of its cones is the origin, complete if the union of its cones covers $\mathbb{R}^n$, and simplicial if all its cones are simplicial. In a simplicial fan, we say that two maximal cones are adjacent if they share a facet, and that two rays are exchangeable if they belong to two adjacent cones but not to their common facet. We will say that the fan realizes the simplicial complex consisting of the subsets of rays spanning cones.

A polytope is the convex hull of finitely many points or equivalently, a bounded intersection of finitely many closed affine half-spaces. The vertices (resp. edges, resp. facets) are the faces of dimension 0 (resp. dimension 1, resp. codimension 1).

The normal cone of a face $F$ of a polytope $P$ is the cone generated by the normal vectors of the facets of $P$ containing $F$. Said differently, it is the cone of vectors $c$ such that the linear form $x \mapsto \langle c \mid x \rangle$ on $P$ is maximized by all points of the face $F$. The normal fan of $P$ is the set of normal cones of all its faces.

1.2. Type cone. Fix an essential complete simplicial fan $F$ in $\mathbb{R}^n$. Let $G$ be the $N \times n$-matrix whose rows are (representative vectors of) the rays of $F$. For any height vector $h \in \mathbb{R}^N$, we define the polytope $P_h := \{ x \in \mathbb{R}^n \mid Gx \leq h \}$. The following classical statement characterizes the height vectors $h$ for which the fan $F$ is the normal fan of this polytope $P_h$.

**Proposition 1.1** ([GKZ08, CFZ02]). Let $F$ be an essential complete simplicial fan in $\mathbb{R}^n$. Then the following are equivalent for any height vector $h \in \mathbb{R}^N$:

1. The fan $F$ is the normal fan of the polytope $P_h := \{ x \in \mathbb{R}^n \mid Gx \leq h \}$.
2. For any two adjacent maximal cones $R, R' \in F$ with $R \setminus \{r\} = R' \setminus \{r'\}$, we have $\sum_{s \in R \cup R'} \alpha_{\mathcal{R},R}(s) h_s > 0$, where $\sum_{s \in R \cup R'} \alpha_{\mathcal{R},R}(s) s = 0$ is the unique linear dependence among the rays of $R \cup R'$ such that $\alpha_{\mathcal{R},R}(r) + \alpha_{\mathcal{R},R'}(r') = 2$.

Following [McM73], we define the type cone of $F$ as the cone $\mathcal{T}(F)$ of polytopal realizations of $F$. Based on Proposition 1.1 it can be parametrized as

$$\mathcal{T}(F) := \{ h \in \mathbb{R}^N \mid F \text{ is the normal fan of } P_h \} = \{ h \in \mathbb{R}^N \mid \sum_{s \in R \cup R'} \alpha_{\mathcal{R},R}(s) h_s > 0 \text{ for any adjacent maximal cones } R \supseteq R \text{ of } F \}. $$

Note that $\mathcal{T}(F)$ is an open polyhedral cone (dilations preserve normal fans) and contains a lineality subspace of dimension $n$ (translations preserve normal fans). Its closure $\overline{\mathcal{T}(F)}$ consists of all polytopes whose normal fan coarsens $F$, and is called the deformation cone. Its faces are the deformation cones of the coarsenings of $F$. Having into account the lineality, we will say that the type cone is simplicial when it has precisely $N - n$ facets.

When the type cone is simplicial, it naturally defines alternative polytopal realizations of the fan $F$ in the non-negative orthant parametrized by non-negative vectors, akin to the realizations of the kinematic associahedron introduced in [AHBHY18]. See [PPPP19, Sec. 1.4] for details.

**Proposition 1.2** ([PPPP19]). Assume that the type cone $\mathcal{T}(F)$ is simplicial and let $K$ be the $(N - n) \times N$-matrix whose rows are the inner normal vectors of the facets of $\mathcal{T}(F)$. Then, for any positive vector $p \in \mathbb{R}^N_{>0}$, the polytope $R_p := \{ z \in \mathbb{R}^N \mid Kz = p \text{ and } z \geq 0 \}$ is a realization of the fan $F$. Moreover, the polytopes $R_p$ for $p \in \mathbb{R}^N_{>0}$ describe all polytopal realizations of $F$ (up to translation).
2. Type cones of graphical nested fans

In this section, we study graphical nested fans, postponing the study of arbitrary nested fans to Section 3. While the graphical case is significantly simpler than the general case, some proof ideas presented here will be transported to Section 3. This section is thus useful both to the readers only interested in the graphical case and as a prototype for the general case.

2.1. Graphical nested complex and graphical nested fan. We start with the definitions and properties of the nested complex of a graph, using material from [CD06, Pos09, FS05, Zel06, MP17].

Graphical nested complex. Let $G$ be a graph with vertex set $V$. A tube of $G$ is a non-empty subset of vertices of $G$ whose induced subgraph is connected. The set of tubes of $G$ is denoted by $B(G)$. The (inclusion) maximal tubes of $G$ are its connected components $\kappa(G)$. Two tubes $t, t'$ of $G$ are compatible if they are either nested (i.e. $t \subseteq t'$ or $t' \subseteq t$), or disjoint and non-adjacent (i.e. $t \cup t' \notin B(G)$).

Note that any connected component of $G$ is compatible with any other tube of $G$. A tubing on $G$ is a set $T$ of pairwise compatible tubes of $G$ containing all connected components $\kappa(G)$. Examples are illustrated in Figure 2. The nested complex of $G$ is the simplicial complex $N(G)$ whose faces are $T \setminus \kappa(G)$ for all tubings $T$ on $G$. If $T \setminus \{t\} = T' \setminus \{t'\}$ for two maximal tubings $T$ and $T'$ and two tubes $t$ and $t'$, we say that $T$ and $T'$ are adjacent and that $t$ and $t'$ are exchangeable.

Figure 2. Some incompatible tubes (left and middle), and a maximal tubing (right).

Graphical nested fan and graph associahedron. Let $(e_v)_{v \in V}$ be the canonical basis of $\mathbb{R}^V$. We consider the subspace $H := \{x \in \mathbb{R}^V \mid \sum_{v \in K} x_v = 0 \text{ for all } K \in \kappa(G)\}$ and let $\pi : \mathbb{R}^V \to H$ denote the orthogonal projection onto $H$. The $g$-vector of a tube $t$ of $G$ is the projection $g(t) := \pi(\sum_{v \in t} e_v)$ of the characteristic vector of $t$. We set $g(T) := \{g(t) \mid t \in T\}$ for a tubing $T$ on $G$. Note that by definition, $g(\emptyset) = 0$ and $g(K) = 0$ for all connected components $K \in \kappa(G)$. The vectors $g(t)$ with $t \in B(G)$ support a complete simplicial fan realization of the nested complex. See Figure 3.

Theorem 2.1 ([CD06, Pos09, FS05, Zel06]). For any graph $G$, the set of cones

$$\mathcal{F}(G) := \{\mathbb{R}_{\geq 0} g(T) \mid T \text{ tubing on } G\}$$

is a complete simplicial fan of $H$, called the nested fan of $G$, realizing the nested complex $N(G)$.

Figure 3. Two graphical nested fans. As the fans are 3-dimensional, we intersect them with the sphere and stereographically project them from the direction $(-1, -1, -1)$.
The following statement is proved in [CD06, Dev09, Pos09, FS05, Zel06]. For a subset $U \subseteq V$, denote by $\Delta_U := \text{conv}\{e_u \mid u \in U\}$ the face of the standard simplex $\Delta_V$ corresponding to $U$.

**Theorem 2.2** ([CD06, Dev09, Pos09, FS05, Zel06]). For any graph $G$, the nested fan $\mathcal{F}(G)$ is the normal fan of the graph associahedron $\text{Asso}(G)$. It can be constructed as

(i) the intersection of $\mathbb{H}$ with the hyperplanes $\langle g(t) \mid x \rangle \leq -3^{|t|}$ for all tubes $t \in BG$ [Dev09],
(ii) the Minkowski sum $\sum_{t \in BG} \Delta_t$ of the faces of the standard simplex given by all tubes of $G$ [Pos09].

**Example 2.3.** For instance,

(i) the complete graph associahedron is the classical permutohedron, see e.g. [Zie98, Hoh12],
(ii) the path associahedron is the classical associahedron of [SS93, Lod04].

2.2. Exchangeable tubes and $g$-vector dependences. The next statement follows from [MP17, Zel06].

**Proposition 2.4.** Let $t, t'$ be two tubes of $G$. Then

(i) The tubes $t$ and $t'$ are exchangeable in $\mathcal{F}(G)$ if and only if $t'$ has a unique neighbor $v$ in $t \setminus t'$ and $t$ has a unique neighbor $v'$ in $t' \setminus t$.

(ii) For any adjacent maximal tubings $T, T'$ on $G$ with $T \setminus \{t\} = T' \setminus \{t'\}$, both $T$ and $T'$ contain the tube $t \cup t'$ and the connected components of $t \cap t'$.

(iii) The linear dependence between the $g$-vectors of $T \cup T'$ is given by

$$g(t) + g(t') = g(t \cup t') + \sum_{s \in \kappa(t \cup t')} g(s).$$

In particular, it only depends on the exchanged tubes $t$ and $t'$, not on the tubings $T$ and $T'$.

**Proof.** Points (i) and (ii) were proved in [MP17]. Point (iii) follows from the fact that

$$\sum_{v \in t} e_v + \sum_{v \in t'} e_v = \sum_{v \in \partial t} e_v + \sum_{v \in \partial t'} e_v = \sum_{e \in \kappa(t \cup t')} e_v + \sum_{s \in \kappa(t \cup t')} \sum_{v \in s} e_v.$$ 

For instance, the two tubes on the left of Figure 2 are exchangeable, while the two tubes on the middle of Figure 2 are not.

2.3. Type cone of graphical nested fans. As a direct consequence of Proposition 2.4, we obtain the following (possibly redundant) description of the type cone of the graphical nested fan $\mathcal{F}(G)$.

**Corollary 2.5.** For any graph $G$, the type cone of the nested fan $\mathcal{F}(G)$ is given by

$$\mathbb{T C}(\mathcal{F}(G)) = \left\{ h \in \mathbb{R}^{BG} \mid h_K = 0 \text{ for any connected component } K \in \kappa(G) \text{ and } h_t + h_{t'} > h_{t \cup t'} + \sum_{s \in \kappa(t \cup t')} h_s \text{ for any exchangeable } t, t' \right\}.$$

We denote by $f_t$ for $t \in BG$ the canonical basis of $\mathbb{R}^{BG}$ and by

$$n(t, t') := f_t + f_{t'} - f_{t \cup t'} - \sum_{s \in \kappa(t \cup t')} f_s$$

the inner normal vector of the inequality of the type cone $\mathbb{T C}(\mathcal{F}(G))$ corresponding to an exchangeable pair $\{t, t'\}$ of tubes of $G$. Thus $h \in \mathbb{T C}(\mathcal{F}(G))$ if and only if $\langle n(t, t') \mid h \rangle > 0$ for all exchangeable tubes $t, t' \in BG$.

**Example 2.6.** For instance,

(i) for the complete graph $K_n$, the type cone $\mathbb{T C}(\mathcal{F}(K_n))$ is formed by all strict submodular functions, i.e. functions $h : 2^{[n]} \to \mathbb{R}$ such that $h_{\emptyset} = 0 = h_{[n]}$ and $h_A + h_B > h_{A \cup B} + h_{A \cap B}$ for any $A, B \subseteq [n]$. The inequalities $h_{U \setminus \{v\}} + h_{U \setminus \{v'\}} > h_U + h_{U \setminus \{v, v'\}}$ for $v, v' \in V$ and $\{v, v'\} \subseteq U \subseteq V$ clearly imply all submodular inequalities. The closure of the type cone $\mathbb{T C}(\mathcal{F}(K_n))$ is the set of deformed permutahedra (or generalized permutahedra) studied by A. Postnikov in [Pos09] and E.-M. Feichtner and B. Sturmfels in [FS05].

(ii) for the path $P_n$, the type cone $\mathbb{T C}(\mathcal{F}(P_n))$ is formed by the functions $h : \{[i, j] \mid 1 \leq i \leq j \leq n\} \to \mathbb{R}$ such that $h_{[i, i]} = 0 = h_{[i, j]}$ for all $i \in [n]$ and $h_{[i, j]} + h_{[k, \ell]} > h_{[i, \ell]} + h_{[k, j]}$ for all $1 \leq i, j \leq n$ and $1 \leq k \leq \ell \leq n$ such that $i < k < j < \ell$ and $k \leq j + 1$ (where $h_{[k, j]} = 0$ if $k = j + 1$).
Example 2.7. Consider the graphical nested fans illustrated in Figure 3. The type cone of the left fan lives in $\mathbb{R}^{13}$, has a linearity space of dimension 3 and 19 facet-defining inequalities (given below). In particular, it is not simplicial. Note that as in Figure 3, we express the $g$-vectors in the basis given by the maximal tubing containing the first three tubes below.

| tubes | $g$-vectors | facet defining inequalities |
|-------|-------------|----------------------------|
| ![Tubes](image1) | $\begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & -1 & 1 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & -1 & 0 \\ -1 & 1 & 0 & -1 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & -1 & -1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & -1 & 1 & 0 & 0 & 0 & 1 & -1 \\ -1 & 1 & -1 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ -1 & 0 & 0 & 0 & 1 & -1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & -1 & 0 & 0 & 0 & -1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & -1 & 0 & 1 & 0 & 0 & 1 & 0 & -1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & -1 & 0 & 0 & 0 & -1 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & -1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & -1 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 & -1 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & -1 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 1 & -1 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & -1 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 1 & -1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & -1 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 |

The type cone of the right fan lives in $\mathbb{R}^{11}$, has a linearity space of dimension 3 and 12 facet-defining inequalities (given below). In particular, it is not simplicial. Note that as in Figure 3, we express the $g$-vectors in the basis given by the maximal tubing containing the first three tubes below.

| tubes | $g$-vectors | facet defining inequalities |
|-------|-------------|----------------------------|
| ![Tubes](image2) | $\begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & -1 & 1 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & -1 & 0 \\ -1 & 1 & -1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & -1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & -1 & 1 \\ 0 & 1 & -1 & 0 & 0 & 0 & -1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & -1 & 0 & 1 & 0 & 0 & 1 & 0 & -1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & -1 & 0 & 0 & 0 & -1 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & -1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & -1 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 & 0 & -1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & -1 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 1 & -1 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & -1 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 1 & -1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & -1 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 |

The $g$-vectors are expressed in the basis given by the maximal tubing containing the first three tubes below.
Example 2.8. We can exploit Corollary 2.5 to show that certain height functions belong to the type cone of $\mathcal{F}(G)$ and recover some classical constructions of the graph associahedron.

(i) Consider the height function $h \in \mathbb{R}^{BG}$ given by $h_t := -3|t|$. Then for any exchangeable tubes $t$ and $t'$, we have
\[
\langle n(t, t') \mid h \rangle = -3|t| - 3|t'| + 3|t \cup t'| + \sum_{s \in \kappa(t \cap t')} 3|s| \geq -2 \cdot 3^{|t \cup t'|-1} + 3^{|t \cup t'|} > 0.
\]

Therefore, the height function $h$ belongs to the type cone $TC(\mathcal{F}(G))$. The corresponding polytope $P_h := \{ x \in \mathbb{R}^V \mid \langle g(t) \mid x \rangle \leq h_t \text{ for } t \in BG \}$ is the graph associahedron constructed by S. Devadoss’s in [Dev09].

(ii) Consider the height function $h \in \mathbb{R}^{BG}$ given by $h_t := -\{|s \in BG \mid s \not\subseteq t\}$. Then for any exchangeable tubes $t$ and $t'$, we have
\[
\langle n(t, t') \mid h \rangle = \{|s \in BG \mid s \not\subseteq t \text{ and } s \not\subseteq t' \text{ but } s \not\subseteq t \cup t'\} > 0
\]
since $t \cup t'$ fulfills the conditions on $s$. Therefore, the height function $h$ belongs to the type cone $TC(\mathcal{F}(G))$. The corresponding polytope $P_h := \{ x \in \mathbb{R}^V \mid \langle g(t) \mid x \rangle \leq h_t \text{ for } t \in BG \}$ is the graph associahedron constructed by A. Postnikov’s in [Pos09].

Note that many inequalities of Corollary 2.5 are redundant. In the remaining of this section, we describe the facet-defining inequalities of the type cone of the graphical nested fans. We say that an exchangeable pair $\{t, t'\}$ of tubes of $G$ is

- **extremal** if its corresponding inequality in Corollary 2.5 defines a facet of $TC(\mathcal{F}(G))$,
- **maximal** if $t \setminus \{v\} = t' \setminus \{v'\}$ for some neighbor $v$ of $t$ and some neighbor $v'$ of $t$.

We can now state our main result on graphical nested complexes.

**Theorem 2.9.** An exchangeable pair is extremal if and only if it is maximal.

**Proof.** We treat separately the two implications:

**Extremal $\Rightarrow$ Maximal.** Consider an exchangeable pair $\{t, t'\}$ of tubes of $G$. By Proposition 2.4, $t'$ has a unique neighbor $v$ in $t \setminus t'$ and $t$ has a unique neighbor $v'$ in $t' \setminus t$. Therefore, $t \setminus t'$ and $t' \setminus t$ are both connected. Assume that $\{t, t'\}$ is not maximal, for instance that $t \setminus t' \neq \{v\}$, and let $w \neq v$ be a non-disconnecting node of $t \setminus t'$. By Proposition 2.4, $t := t \setminus \{v\}$ and $t'$ are exchangeable, and $t := (t \cup t') \setminus \{w\}$ and $t$ are exchangeable as well. Moreover, we have
\[
n(t, t') = \left( f_t + f_{t'} - f_{t \cup t'} - \sum_{s \in \kappa(t \cap t')} f_s \right) + \left( f_t + f_{t'} - f_{t \cup t'} - \sum_{s \in \kappa(t \cap t')} f_s \right) = f_t + f_{t'} - f_{t \cup t'} - \sum_{s \in \kappa(t \cap t')} f_s = n(t, t'),
\]
as $\tilde{t} \cup t' = t' \setminus t$, $\tilde{t} \cap t' = t \cap t'$, $t \cup \tilde{t} = t \cup t'$, and $\kappa(t \cap \tilde{t}) = \kappa(t) = \tilde{t}$. Therefore $n(t, t')$ defines a redundant inequality and $\{t, t'\}$ is not an extremal exchangeable pair. The proof is symmetric if $t' \setminus t \neq \{v'\}$.

**Maximal $\Rightarrow$ Extremal.** Let $\{t, t'\}$ be a maximal exchangeable pair. To prove that $\{t, t'\}$ is extremal, we will construct a vector $w \in \mathbb{R}^{BG}$ such that $\langle n(t, t') \mid w \rangle < 0$, but $\langle n(t, t') \mid w \rangle > 0$ for any other maximal exchangeable pair $\{\tilde{t}, t'\}$. This will show that the inequality induced by $\{t, t'\}$ is not redundant.

Define $\alpha(t, t') := \{|s \in BG \mid s \not\subseteq t \text{ and } s \not\subseteq t' \text{ but } s \not\subseteq t \cup t'\}$. Note that $\alpha(t, t')$ is non-empty since it contains $t \cup t'$. Define three vectors $x, y, z \in \mathbb{R}^{BG}$ by
\[
x_s := -\left| \{ r \in BG \setminus \alpha(t, t') \mid r \subseteq s \} \right|,
y_s := -\left| \{ r \in \alpha(t, t') \mid r \subseteq s \} \right|,
z_s := \begin{cases} -1 & \text{if } t \subseteq s \text{ or } t' \subseteq s, \\ 0 & \text{otherwise}, \end{cases}
\]
for each tube $s \in BG$. 

We will prove below that their scalar products with \( n(\tilde{t}, \tilde{t}') \) for any maximal exchangeable pair \( \{\tilde{t}, \tilde{t}'\} \) satisfy the following inequalities

\[
\begin{array}{c|ccc}
\text{if } \{t, t'\} = \{\tilde{t}, \tilde{t}'\} & \langle n(\tilde{t}, \tilde{t}') \mid x \rangle & \langle n(\tilde{t}, \tilde{t}') \mid y \rangle & \langle n(\tilde{t}, \tilde{t}') \mid z \rangle \\
\text{if } \alpha(\tilde{t}, \tilde{t}') \not\subseteq \alpha(t, t') & \geq 1 & \geq 0 & \geq -1 \\
\text{otherwise} & 0 & \geq 1 & \geq 0 \\
\end{array}
\]

It immediately follows from this table that the vector \( w := x + \delta y + \varepsilon z \) fulfills the desired properties for any \( \delta, \varepsilon \) such that \( 0 < \delta \cdot |\alpha(t, t')| < \varepsilon < 1 \).

To prove the inequalities of the table, observe that for any maximal exchangeable pair \( \{\tilde{t}, \tilde{t}'\} \),

- \( \langle n(\tilde{t}, \tilde{t}') \mid x \rangle = |\alpha(\tilde{t}, \tilde{t}') \setminus \alpha(t, t')| \),
- \( \langle n(\tilde{t}, \tilde{t}') \mid y \rangle = |\alpha(\tilde{t}, \tilde{t}') \cap \alpha(t, t')| \),
- \( \langle n(\tilde{t}, \tilde{t}') \mid z \rangle \geq -1 \) since \( z_t = -1 \) or \( z_{\tilde{t}} = -1 \) implies \( z_{\tilde{t} \mid \tilde{t}} = -1 \),
- \( \langle n(\tilde{t}, \tilde{t}') \mid z \rangle \geq 0 \) when \( \{t, t'\} \neq \{\tilde{t}, \tilde{t}'\} \) but \( \alpha(\tilde{t}, \tilde{t}') \subseteq \alpha(t, t') \). Indeed \( \alpha(\tilde{t}, \tilde{t}') \subseteq \alpha(t, t') \) implies \( t \cup u' \subseteq t' \cup u' \). If \( t \cap \tilde{t} \), then \( t \subseteq \tilde{t} \subseteq t' \cup u' \), which implies that \( t = \tilde{t} \) by maximality of \( t \) in \( t' \cup u' \). Similarly, \( t' \subseteq \tilde{t} \) implies \( t' = \tilde{t} \). Hence, if \( z_t = -1 \), then by definition \( t \subseteq \tilde{t} \) or \( t' \subseteq \tilde{t} \), which implies that \( t \in \{\tilde{t}, \tilde{t}'\} \). Similarly \( z_{\tilde{t}} = -1 \) implies \( \tilde{t} \in \{t, t'\} \). Hence, \( z_{\tilde{t}} = -1 = z_{\tilde{t} \mid \tilde{t}} \) implies \( \tilde{t} = \tilde{t}' \) (impossible since \( t \) and \( \tilde{t} \) are exchangeable) or \( \{t, t'\} = \{\tilde{t}, \tilde{t}'\} \) (contradicting our assumption). Therefore, at most one of \( z_t \) and \( z_{\tilde{t}} \) equals to \(-1\), and if exactly one does, then \( z_{\tilde{t} \mid \tilde{t}} = -1 \). We conclude that \( \langle n(\tilde{t}, \tilde{t}') \mid z \rangle \geq 0 \). \( \square \)

The following statement reformulates Theorem 2.9.

**Corollary 2.10.** The extremal exchangeable pairs for the nested fan of \( G \) are precisely the pairs of tubes \( s \triangleleft \{v'\} \) and \( s \triangleleft \{v\} \) for any tube \( s \in BG \) and distinct non-disconnecting vertices \( v, v' \) of \( s \).

We derive from Theorem 2.9 and Corollary 2.10 the irredundant facet description of the type cone \( \text{TC}(\mathcal{F}(G)) \).

**Corollary 2.11.** For any graph \( G \), the type cone of the nested fan \( \mathcal{F}(G) \) is given by the following irredundant facet description

\[
\text{TC}(\mathcal{F}(G)) = \left\{ h \in \mathbb{R}^{BG} \left| \begin{array}{c}
h_K = 0 \text{ for any connected component } K \in \kappa(G), \text{ and } \\
h_{s \triangleleft \{v'\}} + h_{s \triangleleft \{v\}} > h_s + h_{s \triangleleft \{v', v\}} \text{ for any tube } s \in BG \text{ and distinct non-disconnecting vertices } v, v' \in s
\end{array} \right. \right\}.
\]

**Example 2.12.** For instance,

(i) for the complete graph \( K_n \), all the inequalities \( h_{U \setminus \{v\}} + h_{U \setminus \{v'\}} > h_U + h_{U \setminus \{v, v'\}} \) for \( v, v' \in V \) \( \text{and} \) \( \{v, v'\} \subseteq U \subseteq V \) are facet defining inequalities of \( \text{TC}(\mathcal{F}(K_n)) \).

(ii) for the path \( P_n \), only the inequalities \( h_{[i,j-1]} + h_{[i+1,j]} > h_{[i,j]} + h_{[i+1,j-1]} \) for \( 1 \leq i < j \leq n \) are facet defining inequalities of \( \text{TC}(\mathcal{F}(P_n)) \) (where \( h_{\emptyset} = 0 \) by convention).

We derive from Corollary 2.10 the number of facets of the type cone \( \text{TC}(\mathcal{F}(G)) \). For a tube \( t \) of \( G \), we denote by \( \text{nd}(t) \) the number of non-disconnecting vertices of \( t \). In other words, \( \text{nd}(t) \) is the number of tubes covered by \( t \) in the inclusion poset of all tubes of \( G \).

**Corollary 2.13.** The type cone \( \text{TC}(\mathcal{F}(G)) \) has \( \sum_{s \in BG} (\text{nd}(s))^2 \) facets.

The formula of Corollary 2.13 can be made more explicit for specific families of graph associahedra discussed in the introduction and illustrated in Figure 1.

**Proposition 2.14.** The number of facets of the type cone \( \text{TC}(\mathcal{F}(G)) \) is:

- \( 2^{n-2} \binom{n}{2} \) for the permutohedron (complete graph associahedron),
- \( \binom{n}{2} \) for the associahedron (path associahedron),
- \( 3\binom{n}{2} - n \) for the cyclohedron (cycle associahedron),
- \( n - 1 + 2^{n-3} \binom{n-1}{2} \) for the stellohedron (star associahedron).
Proof. For the permutahedron, choose any two vertices \( v, v' \), and complete them into a tube by selecting any subset of the \( n - 2 \) remaining vertices. For the associahedron, choose any two vertices \( v, v' \), and complete them into a tube by taking the path between them. For the cyclohedron, choose the two vertices \( v, v' \), and complete them into a tube by taking either all the cycle, or one of the two paths between \( v \) and \( v' \) (this gives three options in general, but only two when \( v, v' \) are neighbors). For the stellohedron, choose either \( v \) as the center of the star and \( v' \) as one of the \( n - 1 \) leaves, or \( v \) and \( v' \) as leaves of the star and complete them into a tube by taking the center and any subset of the \( n - 3 \) remaining leaves.

To conclude on graphical nested fans, we characterize the graphs \( G \) whose nested fan has a simplicial type cone.

**Proposition 2.15.** The type cone \( \mathcal{T}(F(G)) \) is simplicial if and only if \( G \) is a disjoint union of paths.

Proof. Observe first that the graphical nested fan \( F(G) \) has \( N = |BG| - |\kappa(G)| \) rays and dimension \( n = |V| - |\kappa(G)| \). Moreover, any tube \( t \) with \( |t| \geq 2 \) has two non-disconnecting vertices when it is a path, and at least three non-disconnecting vertices otherwise (the leaves of an arbitrary spanning tree of \( t \), or any vertex if it is a cycle). Therefore, each tube of \( BG \) which is not a singleton contributes to at least one extremal exchangeable pair. We conclude that the number of extremal exchangeable pairs is at least

\[
|BG| - |V| = (|BG| - |\kappa(G)|) - (|V| - |\kappa(G)|) = N - n,
\]

with equality if and only if all tubes of \( G \) are paths, i.e. if and only if \( G \) is a collection of paths. Hence, \( \mathcal{T}(F(G)) \) is simplicial if and only if \( G \) is a disjoint union of paths.

As already observed in [PPPP19], combining Propositions 1.2 and 2.15 enables to recover all kinematic associahedra of \([AHHY18, Sect. 3.2]\).

**Proposition 2.16.** For any \( p \in \mathbb{R}^{\binom{n}{2}} \), the polytope defined by

\[
R_p(n) := \{ z \in \mathbb{R}^{\binom{n}{2}} | \ z_{i,j-1} + z_{i+1,j} - z_{i,j} + z_{i+1,j-1} = p_{i,j} \text{ for } 1 \leq i < j \leq n \}
\]

is an associahedron whose normal fan is \( F(P_n) \). Moreover, the polytopes \( R_p(n) \) for \( p \in \mathbb{R}^{\binom{n}{2}}_{>0} \) describe all polytopal realizations of \( F(P_n) \) (up to translations).

3. Type cones of arbitrary nested fans

In this section, we describe the type cones of arbitrary nested fans. We follow the same scheme as in Section 2, even if the general situation is significantly more intricate (Remarks 3.11 and 3.16 highlight some of the complications of the general case).

3.1. Nested complex and nested fan. We first recall the definitions of arbitrary building sets, nested complexes, nested fans and nestohedra, following [Pos09, FS05, Zel06, Pil17].

**Building sets.** A building set \( \mathcal{B} \) on a ground set \( V \) is a set of non-empty subsets of \( V \) such that

- if \( B, B' \in \mathcal{B} \) and \( B \cap B' \neq \emptyset \), then \( B \cup B' \in \mathcal{B} \), and
- \( \mathcal{B} \) contains all singletons \( \{v\} \) for \( v \in V \).

We denote by \( \kappa(\mathcal{B}) \) the set of connected components of \( \mathcal{B} \), defined as the (inclusion) maximal elements of \( \mathcal{B} \). We denote by \( \varepsilon(\mathcal{B}) \) the set of elementary blocks of \( \mathcal{B} \), defined as the blocks \( B \in \mathcal{B} \) such that \( |B| > 1 \), and \( B = B' \cup B'' \) implies \( B' \cap B'' = \emptyset \) for any \( B', B'' \in \mathcal{B} \setminus \{ B \} \). For instance, consider the building set \( \mathcal{B}_9 \) on \([9]\) defined by

\[
\mathcal{B}_9 := \{ 1, 2, 3, 4, 5, 6, 7, 8, 9, 14, 25, 123, 456, 789, 1234, 1235, 1456, 2456, 12345, 12456, 123456 \}
\]

(since all labels have a single digit, we can abuse notation and write 123 for \( \{1, 2, 3\} \)). Its connected components are \( \kappa(\mathcal{B}_9) = \{ 123456, 789 \} \), and its elementary blocks are \( \varepsilon(\mathcal{B}_9) = \{ 14, 25, 123, 456, 789 \} \), which are represented in Figure 4 (left).
Given a building set \( B \), \( B \) intersect both \( M, N \). If the elementary blocks of a building set Figure 4.

\[ B \subseteq \mathcal{N} \subseteq \mathcal{B} \] for any \( k \geq 2 \) pairwise disjoint \( B_1, \ldots, B_k \in \mathcal{N} \), the union \( B_1 \cup \cdots \cup B_k \) is not in \( B \), and \( \mathcal{N} \) contains \( \kappa(B) \).

These are the original conditions that appeared for instance in [Zel06]. In this paper, we prefer to use the following convenient reformulation, similar to that of [Zel06]: \( \mathcal{N} \subseteq \mathcal{B} \) is a \( \mathcal{B} \)-nested set if and only if \( \kappa(B) \subseteq \mathcal{N} \) and the union \( \bigcup \mathcal{X} \) of any subset \( \mathcal{X} \subseteq \mathcal{N} \) does not belong to \( \mathcal{B} \). It is known that all inclusion maximal nested sets have \( |V| \) blocks. The \( \mathcal{B} \)-nested complex is the simplicial complex \( \mathcal{N}(B) \) whose faces are \( \mathcal{N} \setminus \kappa(B) \) for all \( \mathcal{B} \)-nested sets \( \mathcal{N} \). It is a simplicial sphere of dimension \( |V| - |\kappa(B)| \). Note that it is convenient to include \( \kappa(B) \) in all \( \mathcal{B} \)-nested sets as in [Pos09] for certain combinatorial manipulations, but to remove \( \kappa(B) \) from all \( \mathcal{B} \)-nested sets as in [Zel06] when defining the \( \mathcal{B} \)-nested complex. If \( \mathcal{N} \setminus \{B\} = \mathcal{N}' \setminus \{B'\} \) for two maximal \( \mathcal{B} \)-nested sets \( \mathcal{N} \) and \( \mathcal{N}' \) and two building blocks \( B \) and \( B' \), we say that \( \mathcal{N} \) and \( \mathcal{N}' \) are adjacent and that \( B \) and \( B' \) are exchangeable.

For instance, Figure 4 (middle) represents the two adjacent maximal \( \mathcal{B} \)-nested sets

\[ \mathcal{N}_o := \{3, 4, 5, 7, 8, 14, 789, 12345, 123456\} \quad \text{and} \quad \mathcal{N}_o' := \{3, 4, 5, 7, 8, 25, 789, 12345, 123456\}. \]

Example 3.4. For a graph \( G \), a set of tubes of \( BG \) is nested if and only if its tubes are pairwise compatible in the sense of Section 2.1 (either nested or non-adjacent). The \( BG \)-nested complex is thus the nested complex of \( G \) introduced in Section 2.1. Note that, in contrast to the graphical nested complexes, not all nested complexes are flag (i.e. clique complexes of their graphs).
For a $\mathcal{B}$-nested set $\mathcal{N}$ and $B \in \mathcal{N}$, we call the root of $B$ in $\mathcal{N}$ the set $r(B, \mathcal{N}) := B \setminus \bigcup_{C \subseteq B, C \not= B} C$ where the union runs over $C \in \mathcal{N}$ such that $C \not= B$. The $\mathcal{B}$-nested set $\mathcal{N}$ is maximal if and only if all $r(B, \mathcal{N})$ are singletons for $B \in \mathcal{N}$. In that case, we abuse notation writing $r(B, \mathcal{N})$ for the only element of this singleton. For instance, in the maximal $\mathcal{B}_{\circ}$-nested sets $\mathcal{N}_{\circ}$ and $\mathcal{N}_{\circ}'$ represented in Figure 4 (middle), we have $r(14, \mathcal{N}_{\circ}) = 1 = r(12345, \mathcal{N}_{\circ}')$ and $r(12345, \mathcal{N}_{\circ}) = r(25, \mathcal{N}_{\circ}')$.

**Nested fan and nestohedron.** We still denote by $(e_v)_{v \in V}$ the canonical basis of $\mathbb{R}^V$. We consider the subspace $\mathbb{H} := \{ \mathbf{x} \in \mathbb{R}^V \mid \sum_{v \in B} x_v = 0 \text{ for all } B \in \kappa(\mathcal{B}) \}$ and let $\pi : \mathbb{R}^V \to \mathbb{H}$ denote the orthogonal projection onto $\mathbb{H}$. The $g$-vector of a building bloc $B$ of $\mathcal{B}$ is the projection $g(B) := \pi(\sum_{v \in B} e_v)$ of the characteristic vector of $B$. We set $g(\mathcal{N}) := \{ g(B) \mid B \in \mathcal{N} \}$ for a $\mathcal{B}$-nested set $\mathcal{N}$. Note that by definition, $g(K) = \mathbf{0}$ for all connected components $K \in \kappa(\mathcal{B})$. The vectors $g(B)$ with $B \in \mathcal{B}$ support a complete simplicial fan realization of the nested complex. See Figure 5.

**Theorem 3.5 ([Pos09, FS05, Zel06]).** For any building set $\mathcal{B}$, the set of cones

$$\mathcal{F}(\mathcal{B}) := \{ \mathbb{R}_{\geq 0} g(\mathcal{N}) \mid \mathcal{N} \text{ nested set of } \mathcal{B} \}$$

is a complete simplicial fan of $\mathbb{H}$, called the nested fan of $\mathcal{B}$, which realizes the nested complex $\mathcal{N}(\mathcal{B})$.

![Figure 5](image-url) Two nested fans. As the fans are 3-dimensional, we intersect them with the sphere and stereographically project them from the direction $(-1, -1, -1)$.

Again, the $\mathcal{B}$-nested fan is always the normal fan of a polytope, as shown in [Pos09, FS05, Zel06]. We still denote by $\Delta_U := \text{conv} \{ e_u \mid u \in U \}$ the face of the standard simplex $\Delta_V$ corresponding to a subset $U$ of $V$.

**Theorem 3.6 ([Pos09, FS05, Zel06]).** For any building set $\mathcal{B}$, the nested fan $\mathcal{F}(\mathcal{B})$ is the normal fan of the nestohedron $\text{Nest}(\mathcal{B})$. It can be constructed as

(i) the intersection of $\mathbb{H}$ with the hyperplanes $\langle g(B) \mid x \rangle \leq -3|B|$ for all $B \in \mathcal{B}$ [Dev09, Pil17],

(ii) the Minkowski sum $\sum_{B \in \mathcal{B}} \Delta_B$ of the faces of the standard simplex given by all blocks of $\mathcal{B}$ [Pos09].

**Restrictions and contractions.** Following [Zel06], we describe a structural decomposition of links in nested complexes. For any $U \subseteq V$, define

- the restriction of $\mathcal{B}$ to $U$ as the building set $\mathcal{B}|_U := \{ B \in \mathcal{B} \mid B \subseteq U \}$,
- the contraction of $U$ in $\mathcal{B}$ as the building set $\mathcal{B}/_U := \{ C \subseteq V \setminus U \mid C \in \mathcal{B} \text{ or } C \cup U \in \mathcal{B} \}$.

**Proposition 3.7 ([Zel06, Prop. 3.2]).** For $U \subseteq \mathcal{B} - \kappa(\mathcal{B})$, the link $\{ C \subseteq \mathcal{B} \setminus \{U\} \mid C \cup \{U\} \in \mathcal{N}(\mathcal{B}) \}$ is isomorphic to the Cartesian product $\mathcal{N}(\mathcal{B}|_U) \times \mathcal{N}(\mathcal{B}/_U)$.
In particular, two building blocks $B$ and $B'$ in $U$ (resp. in $V \setminus U$) are exchangeable in $N(B)$ if and only if they are exchangeable in $N(B_U)$ (resp. in $N(B_U)$).

Slightly abusing notation when $B$ is clear from the context, we define the connected components of $U$ as $\kappa(U) := \kappa(B_U)$. For instance, for the building set $B_5$ whose elementary blocks are represented in Figure 4 (left) and $U = \{1, 2, 4, 5, 7, 8\}$, we have $B_{5|U} = \{1, 2, 4, 5, 7, 8, 14, 25\}$ so that $\kappa(U) = \{14, 25, 7, 8\}$. Note that the definition of building sets implies that

- for any $U \subseteq V$, the connected components $\kappa(U)$ define a partition of $U$,
- for any $U, U' \subseteq V$ such that $U \cap U' = \emptyset$ and there is no $B \in B$ with $B \subseteq U \cup U'$ and $U \cap B \neq \emptyset \neq U' \cap B$, we have $\kappa(U \cup U') = \kappa(U) \sqcup \kappa(U')$.

3.2. Exchangeable building blocks and exchange frames. We now provide an analogue of Proposition 2.4 characterizing the exchangeable blocks for arbitrary building sets. The situation is however much more technical, as highlighted in Remarks 3.11 and 3.16. We start with two useful lemmas.

Lemma 3.8. For any $B$-nested set $N$ and any block $B \in B \setminus \kappa(B)$, the set $\{C \in N \mid B \subseteq C\}$ admits a unique (inclusion) minimal element $M$. Moreover, if $B \notin N$, then $M$ is also the unique (inclusion) maximal element of $\{C \in N \mid r(C, N) \cap B \neq \emptyset\}$.

Proof. Let $\mathcal{X} := \{C \in N \mid B \subseteq C\}$ and $\mathcal{Y} := \{C \in N \mid r(C, N) \cap B \neq \emptyset\}$. Note first that neither $\mathcal{X}$ nor $\mathcal{Y}$ are empty since $\emptyset \neq B \notin \kappa(B)$. Since all elements of $\mathcal{X}$ contain $B$ and $N$ is a $B$-nested set, $\mathcal{X}$ forms a chain by inclusion, and thus admits unique inclusion minimal element $M$. Moreover, any building block in $\mathcal{Y}$ intersects $B$ so that $\bigcup \mathcal{Y} = B \cup \bigcup \mathcal{Y}$ is in $B$. Hence, $\mathcal{Y}$ admits a unique maximal element $M' := \bigcup \mathcal{Y}$. By definition, $B \subseteq M'$. If $B \notin N$, then $B \neq M'$ since $M' \in Y \subseteq N$. Hence, $M' \in \mathcal{X}$. Moreover, for any $C \in N$ such that $C \subseteq M'$, we have $C \cap r(M', N) = \emptyset$ so that $B \not\subseteq C$ and $C \notin \mathcal{X}$. We conclude that $M' = M$. \hfill \qed

Lemma 3.9. If $N$ and $N'$ are two adjacent maximal $B$-nested sets with $N \setminus \{B\} = N' \setminus \{B'\}$, then $\{C \in N \mid B \subseteq C\} = \{C' \in N' \mid B' \subseteq C'\}$.

Proof. Assume for instance that there is $C \in N \cap N'$ such that $B \subseteq C$ but $B' \not\subseteq C$. We then claim that $N \cup N'$ would be a $B$-nested set, contradicting the maximality of $N$ and $N'$. Consider a subset $\mathcal{X}$ of $N \cup N'$ whose union $\bigcup \mathcal{X}$ is in $B$. If $B \notin \mathcal{X}$, then $\bigcup \mathcal{X}$ is in $B$ as $N$ is a $B$-nested set. Similarly, if $B' \notin \mathcal{X}$, then $\bigcup \mathcal{X}$ is in $B$. Assume now that both $B$ and $B'$ belong to $\mathcal{X}$. Define $\mathcal{Y} := \{C \cup \mathcal{X} \setminus \{B\}\}$. Note that $\mathcal{Y} \subseteq N'$ since $B \notin \mathcal{Y}$. Moreover, $\bigcup \mathcal{Y} = C \cup \bigcup \mathcal{X}$ belongs to $B$ since $C$ and $\bigcup \mathcal{X}$ both belong to $B$ and intersect $B$. Hence, $\bigcup \mathcal{Y}$ is in $Y$ since $N'$ is a $B$-nested set. Note that $\bigcup \mathcal{Y} \neq C$ since $B' \not\subseteq C$ and $B' \in \mathcal{Y}$. Therefore $\bigcup \mathcal{Y}$ is in $\mathcal{X}$, and thus $\bigcup \mathcal{X} = \bigcup \mathcal{Y}$ is in $\mathcal{X}$. \hfill \qed

For two adjacent maximal $B$-nested sets $N$ and $N'$ with $N \setminus \{B\} = N' \setminus \{B'\}$, we say that

- the unique minimal element $P$ of $\{C \in N \mid B \subseteq C\} = \{C' \in N' \mid B' \subseteq C'\}$ is the parent,
- the vertices $v := r(P, N)$ and $v' := r(P, N)$ are the pivots, and
- the triple $(B, B', P)$ is the frame

defined by the exchange between $N$ and $N'$. Note that the parent is well-defined by Lemmas 3.8 and 3.9. We call an exchange frame a triple $(B, B', P)$ which is the frame of an exchange between two adjacent maximal $B$-nested sets. For instance, for the two adjacent maximal $B_5$-nested sets $N_5$ and $N'_5$ represented in Figure 4 (middle), we have $B = 14$, $B' = 25$, $P = 12345$, $v = 1$ and $v' = 2$. The corresponding exchange frame is illustrated in Figure 4 (right).

We are now ready to characterize the pairs of exchangeable building blocks for arbitrary building sets. For three blocks $B, C, P \in B$, we abbreviate the conditions $B \cap C \neq \emptyset$ and $C \subseteq P$ but $C \not\subseteq B$ into the short notation $B \upharpoonright C \subseteq P$. The following statement generalizes Proposition 2.4 (i).

Proposition 3.10. Two blocks $B, B' \in B$ are exchangeable in $F(B)$ if and only if there exist a block $P \in B$, and some vertices $v \in B \setminus B'$ and $v' \in B' \setminus B$ such that

- $B \subseteq P$ and $B' \subseteq P$, and
- $v' \in C$ for any $B \upharpoonright C \subseteq P$ while $v \in C'$ for any $B' \upharpoonright C' \subseteq P$. 
Proposition 3.13. Assume first that \( B \) and \( B' \) are exchangeable. Let \( \mathcal{N} \) and \( \mathcal{N}' \) be two adjacent maximal \( \mathcal{B} \)-nested sets such that \( \mathcal{N} \cap \{ B \} = \mathcal{N} \cap \{ B' \} \). Let \( P \) be the parent and \( v, v' \) be the pivots of this exchange. Note that \( v \in B \) (by Lemma 3.8) but \( v \notin B' \) (by definition, since \( B' \in \mathcal{N}' \) and \( B' \subseteq P \)). Similarly, \( v' \in B' \setminus B \). Consider now a building block \( C \) such that \( B \triangledown C \subseteq P \). By definition, \( B \subsetneq B \cup C \subseteq P \) and \( B \cup C \in \mathcal{B} \). If \( B \cup C = P \), then \( v' = r(P, \mathcal{N}) \) belongs to \( B \cup C \) and thus to \( C \). If \( B \cup C \neq P \), then \( P \) is the inclusion minimal element of \( \{ D \in \mathcal{N} \mid B \cup C \subseteq D \} \). Since \( B \cup C \notin \mathcal{N} \) by minimality of \( P \) in \( \{ D \in \mathcal{N} \mid B \subseteq D \} \), we obtain by Lemma 3.8 that \( v' = r(P, \mathcal{N}) \) belongs to \( B \cup C \) and thus to \( C \). Similarly, \( v \in C' \) for any \( B' \triangledown C' \subseteq P \).

Conversely, consider \( B, B' \in \mathcal{B} \) so that there is \( P \in \mathcal{B} \), \( v \in B \setminus B' \) and \( v' \in B' \setminus B \) satisfying the conditions of Proposition 3.10. Let \( U := P \setminus \{ v, v' \} \), and \( \mathcal{M} \) denote an arbitrary maximal \( \mathcal{B}_U \)-nested set. Let \( \mathcal{N} := \mathcal{M} \cup \{ B \} \) and \( \mathcal{N}' := \mathcal{M} \cup \{ B' \} \). Consider a subset \( \mathcal{X} \) of \( \mathcal{N} \) whose union \( \bigcup \mathcal{X} \) is in \( B \). If \( B \notin \mathcal{X} \), then \( \mathcal{X} \subseteq \mathcal{M} \), hence \( \bigcup \mathcal{X} \) is in \( \mathcal{X} \) since \( \mathcal{M} \) is a \( \mathcal{B}_U \)-nested set. If \( B \in \mathcal{X} \), since \( B \cap \bigcup \mathcal{X} \neq \emptyset \) and \( \bigcup \mathcal{X} \subseteq P \) but \( v' \notin \bigcup \mathcal{X} \), the conditions of Proposition 3.10 ensure that \( \bigcup \mathcal{X} \subseteq B \), so that \( \bigcup \mathcal{X} = B \) is in \( \mathcal{X} \). Hence, \( \mathcal{N} \) is a \( \mathcal{B}_P \)-nested set. It is moreover maximal since \( |\mathcal{N}| = |\mathcal{M} \cup \{ B, P \}| = |\mathcal{M}| + 2 = |U| + 2 = |P| \). By symmetry, \( \mathcal{N}' \) is a maximal \( \mathcal{B}_P \)-nested set. Since \( \mathcal{N} \cap \{ B \} = \mathcal{N}' \cap \{ B' \} \), we obtain that \( B \) and \( B' \) are exchangeable in \( \mathcal{N}(\mathcal{B}_P) \), hence in \( \mathcal{N}(\mathcal{B}) \) by Proposition 3.7. The parent of this exchange is \( P \) and the pivots are \( v \) and \( v' \).

Remark 3.11. For the graphical nested fans, Proposition 2.4(i) ensures that if \( B \) and \( B' \) are exchangeable, then \( B \cup B' \) is always a block and is the only possible parent (note however that \( B \) and \( B' \) are not necessarily exchangeable when \( B \cup B' \) is a block). In contrast to the graphical case, for a general building set,

- the same exchangeable blocks may admit several possible parents and pivots,
- the set of parents does not necessarily admit a unique (inclusion) minimal element,
- \( B \cup B' \) is not always a block when \( B \) and \( B' \) are exchangeable. In other words, \( B \) and \( B' \) can be exchangeable even if \( \{ B, B' \} \cup \kappa(B) \) is a \( \mathcal{B} \)-nested set.

For instance, in the building set \( \mathcal{B}_6 \) of Figure 4 (left), the blocks \( B = 14 \) and \( B' = 25 \) are simultaneously compatible and exchangeable. They are exchangeable with parent 12345 and pivots (1, 2) or with parent 12456 and pivots (4, 5).

Remark 3.12. Observe also that it follows from the definitions that

- it suffices to check the condition of Proposition 3.10 for \( C \) and \( C' \) elementary blocks of \( \mathcal{B} \),
- if \( B \) and \( B' \) are exchangeable, then \( B \nsubseteq B' \) and \( B' \nsubseteq B \),
- if \( (B, B', P) \) is an exchange frame and \( B \cup B' \subseteq P' \subseteq P \), then \( (B, B', P') \) is also an exchange frame (using the same pivots),
- if \( B \) and \( B' \) are exchangeable and \( B \cup B' \) is a block (in particular if \( B \cap B' \neq \emptyset \)), then \( (B, B', B \cup B') \) is an exchange frame.

We now apply Proposition 3.10 to identify some exchange frames that will play an important role in the description of the type cone of the \( \mathcal{B} \)-nested fan.

Proposition 3.13. If \( B, B', P \in \mathcal{B} \) are such that \( B \) and \( B' \) are two distinct blocks of \( \mathcal{B} \) strictly contained in \( P \) and inclusion maximal inside \( P \), then \( (B, B', P) \) is an exchange frame.

Proof. Consider \( C \in \mathcal{B} \) such that \( B \triangledown C \subseteq P \). Since \( B \cap C \neq \emptyset \), we have \( B \cup C \in \mathcal{B} \). Since \( C \subseteq P \) and \( C \nsubseteq B \), we have \( B \subseteq B \cup C \subseteq P \). By maximality of \( B \) in \( P \), we obtain that \( B \cup C = P \). Hence, \( B \triangledown C \subseteq P \) implies \( B' \setminus B \subseteq C \) and similarly \( B' \triangledown C' \subseteq P \) implies \( B \setminus B' \subseteq C' \). Therefore, choosing any \( v \in B \setminus B' \) and \( v' \in B' \setminus B \), we obtain that \( B, B', P, v, v' \) satisfy the conditions of Proposition 3.10, and thus \( (B, B', P) \) is an exchange frame.

We call maximal exchange frames the exchange frames defined by Proposition 3.13. For \( P \in \mathcal{B} \), we will denote by \( \mu(P) \) the maximal blocks of \( \mathcal{B} \) strictly contained in \( P \).

3.3. \( g \)-vector dependences. We now describe the exchange relations in the \( \mathcal{B} \)-nested fan \( \mathcal{F}(\mathcal{B}) \). We first need to observe that certain building blocks are forced to belong to any two adjacent maximal nested sets with a given frame, generalizing Proposition 2.4(ii).
Proposition 3.14. For two adjacent maximal $B$-nested sets $\mathcal{N}$ and $\mathcal{N}'$ with $\mathcal{N} \setminus \{B\} = \mathcal{N}' \setminus \{B'\}$ and parent $P$, all connected components of $\kappa(B \cap B')$ and of $\kappa(P \setminus (B \cup B'))$ belong to $\mathcal{N} \cap \mathcal{N}'$.

Proof. Even if we discuss separately the elements of $\kappa(B \cap B')$ from that of $\kappa(P \setminus (B \cup B'))$, the reader will see a lot of similarities in the arguments below.

We first consider $K \in \kappa(B \cap B')$ and prove that $\mathcal{N} \cup \{K\}$ is a $B$-nested set, which proves that $K \in \mathcal{N}$ by maximality of $\mathcal{N}$. Indeed, let us consider a subset $\mathcal{X}$ of $\mathcal{N} \cup \{K\}$ whose union $\bigcup \mathcal{X}$ is in $B$, and prove that $\bigcup \mathcal{X}$ is in $\mathcal{X}$. We assume that $K \in \mathcal{X}$, since otherwise $\mathcal{X} \subseteq \mathcal{N}$ so that $\bigcup \mathcal{X}$ is in $\mathcal{N}$ as a $B$-nested set. Assume now that $B \in \mathcal{X}$ and define $Y := \mathcal{X} \setminus \{K\}$. Since $K \subseteq B$, we have $\bigcup Y = \bigcup \mathcal{X}$ in $B$, thus in $Y \subset \mathcal{X}$ since $Y \subseteq \mathcal{N}$ and $B$ is a $B$-nested set. It remains to consider the case when $X \subseteq (\mathcal{N} \cap \mathcal{N}') \cup \{K\}$. Assume now that $\bigcup \mathcal{X} \subseteq B$ and define $Y := \{B\} \cup \mathcal{X} \setminus \{K\}$. Since $K \subseteq B$, we have $\bigcup Y = B \cup \bigcup \mathcal{X}$ which belongs to $B$ since $B$ and $\bigcup \mathcal{X}$ both belong to $B$ and intersect $K$. Hence, $\bigcup Y$ is in $Y$ since $Y \subseteq \mathcal{N}$ and $B$ is a $B$-nested set. Note that $\bigcup Y \neq B$ by our assumption that $\bigcup \mathcal{X} \subseteq B$. Therefore, $\bigcup Y$ is in $\mathcal{X}$, and thus $\bigcup \mathcal{X} = \bigcup Y$ is in $\mathcal{X}$. By symmetry, we obtain that $\bigcup \mathcal{X}$ is in $\mathcal{X}$ if $\bigcup \mathcal{X} \subseteq B$. Assume finally that $\bigcup \mathcal{X} \subseteq B \cap B'$. Then all the elements of $\mathcal{X}$ are in $B \cap B'$. Since $K \in \mathcal{X}$ is a connected component of $B \cap B'$ and $\bigcup \mathcal{X}$ is in $B$, this implies that $\bigcup \mathcal{X} = K \in \mathcal{X}$.

We now consider $K \in \kappa(P \setminus (B \cup B'))$ and prove that $\mathcal{N} \cup \{K\}$ is a $B$-nested set, which proves that $K \in \mathcal{N}$ by maximality of $\mathcal{N}$. Indeed, let us consider a subset $\mathcal{X}$ of $\mathcal{N} \cup \{K\}$ whose union $\bigcup \mathcal{X}$ is in $B$, and prove that $\bigcup \mathcal{X}$ is in $\mathcal{X}$. We assume that $K \in \mathcal{X}$, since otherwise $\mathcal{X} \subseteq \mathcal{N}$ so that $\bigcup \mathcal{X}$ is in $\mathcal{X}$ as a $B$-nested set. Assume now that $\bigcup \mathcal{X} \subseteq P$ and define $Y := \{P\} \cup \mathcal{X} \setminus \{K\}$. Since $K \subseteq P$, we have $\bigcup Y = P \cup \bigcup \mathcal{X}$ which belongs to $P$ since $P$ and $\bigcup \mathcal{X}$ both belong to $B$ and intersect $K$. Hence, $\bigcup Y$ is in $Y$ since $Y \subseteq \mathcal{N}$ and $P$ is a $B$-nested set. Note that $\bigcup Y \neq P$ by our assumption that $\bigcup \mathcal{X} \subseteq B$. Therefore, $\bigcup Y$ is in $\mathcal{X}$, and thus $\bigcup \mathcal{X} = \bigcup Y$ is in $\mathcal{X}$. By symmetry, we obtain that $\bigcup \mathcal{X}$ is in $\mathcal{X}$ if $\bigcup \mathcal{X} \subseteq B$. Assume finally that $\bigcup \mathcal{X} \subseteq P \cap B'$. Then all elements of $\mathcal{X}$ are in $P \cap B'$, and $\bigcup \mathcal{X} = \bigcup \mathcal{X} = \bigcup Y$ is in $\mathcal{X}$. Assume now that $\bigcup \mathcal{X} \subseteq P \setminus (B \cup B')$. Then all elements of $\mathcal{X}$ are in $P \setminus (B \cup B')$. Since $K$ is a connected component of $P \setminus (B \cup B')$ and $\bigcup \mathcal{X}$ is in $B$, this implies that $\bigcup \mathcal{X} = K \in \mathcal{X}$. Assume finally that $\bigcup \mathcal{X}$ is contained in $P$ and intersects $B$ or $B'$. If $B' \cap \bigcup \mathcal{X} \neq \emptyset$, then $B' \cap \bigcup \mathcal{X} \subseteq P$, thus $v := r(P, \mathcal{N}) \in \bigcup \mathcal{X}$ by Proposition 3.10. Hence in both cases $B \cap \bigcup \mathcal{X} \neq \emptyset$, thus $B \cap \bigcup \mathcal{X} \subseteq P$, and thus $v := r(P, \mathcal{N}) \in \bigcup \mathcal{X}$ by Proposition 3.10. Therefore, there is $C \in \mathcal{X} \setminus \{K\}$ such that $v \cap C$ contains $v'$. Since $v' = r(P, \mathcal{N})$, we obtain that $P \subseteq C$, and hence $P = C$ because $C \subseteq \bigcup \mathcal{X} \subseteq P$. Thus $K \subseteq C$ and $\bigcup \mathcal{X} = \bigcup Y$ where $Y := \mathcal{X} \setminus \{K\}$. Hence, $\bigcup Y$ is in $\mathcal{X}$ since $Y \subseteq \mathcal{N}$ and $\mathcal{N}$ is a $B$-nested set. We conclude that $\bigcup \mathcal{X} = \bigcup Y$ is in $\mathcal{X}$.

We obtained that all blocks of $\kappa(B \cap B')$ and of $\kappa(P \setminus (B \cup B'))$ belong to $\mathcal{N}$, and thus also to $\mathcal{N}'$ by symmetry.

We are now ready to describe the exchange relations in the $B$-nested fan. The main message here is that these relations only depend on the frames of the exchanges, generalizing Proposition 2.4 (iii).

Proposition 3.15. For two adjacent maximal $B$-nested sets $\mathcal{N}$ and $\mathcal{N}'$ with $\mathcal{N} \setminus \{B\} = \mathcal{N}' \setminus \{B'\}$ and parent $P$, the unique (up to rescaling) linear dependence between the $g$-vectors of $\mathcal{N} \cup \mathcal{N}'$ is

$$
\sum_{K \in \kappa(P \setminus (B \cup B'))} g(K) = g(P) + \sum_{K \in \kappa(B \cap B')} g(K).
$$

In particular, the $g$-vector dependence only depends on the exchange frame $(B, B', P)$.

Proof. Equation (1) is a valid linear dependence since it holds at the level of characteristic vectors, and $g(C) := \pi(\sum_{e \in C} e_e)$ where $\pi$ is the orthogonal projection from $\mathbb{R}^\mathcal{V}$ to $\mathbb{H}$. Since all building blocks involved in Equation (1) belong to $\mathcal{N} \cup \mathcal{N}'$ by Proposition 3.14, we conclude that Equation (1) is the unique (up to rescaling) linear dependence between the $g$-vectors of $\mathcal{N} \cup \mathcal{N}'$.

Remark 3.16. For the graphical nested fans studied in Section 2.2, the parent of the exchange of $B$ and $B'$ is always $B \cup B'$ and we recover the $g$-vector relation of Proposition 2.4 (iii). In contrast to the graphical case, for an arbitrary building set,

- the sum on the left of Equation (1) is empty only when $P = B \cup B'$,
- Equation (1) depends on the exchange frame $(B, B', P)$, not only on the exchangeable building blocks $B$ and $B'$. 
For instance, the $g$-vector relation of the exchange between the two adjacent maximal $B_e$-nested sets $N_e$ and $N'_e$ represented in Figure 4 (middle) is $g_{14} + g_{25} + g_3 = g_{124345}$. Another $g$-vector relation for the same exchangeable blocks $B = 14$ and $B' = 25$ is $g_{14} + g_{25} + g_6 = g_{12456}$.

**Remark 3.17.** The $g$-vector dependences were already studied in [Zel06]. Namely, our Proposition 3.14 and Equation (1) are essentially Proposition 4.5 and Equation (6.6) of [Zel06]. Our versions are however more precise since we obtained in Proposition 3.10 a complete characterization of the exchangeable building blocks of $B$, which was surprisingly missing in the literature.

Note that while the $g$-vector dependence only depends on the exchange frame, different frames may lead to the same $g$-vector dependence. In the next two statements, we describe which of the maximal exchange frames lead to the same $g$-vector dependence. Remember that we denote by $\mu(P)$ the maximal blocks of $B$ strictly contained in a block $P \in B$.

**Proposition 3.18.** For an elementary block $P \in \varepsilon(B)$, all exchange frames $(B, B', P)$ for $B \neq B'$ in $\mu(P)$ lead to the same $g$-vector dependence $\sum_{B \in \mu(P)} g(B) = g(P)$.

**Proof.** Observe first that $(B, B', P)$ is indeed an exchange frame by Proposition 3.13. We thus apply Proposition 3.15 to describe the corresponding $g$-vector dependence. Observe first that the sum on the right of Equation (1) is empty because $B \cap B' = \emptyset$ by Remark 3.1 since $P$ is elementary and $B, B' \in \mu(P)$. The result thus follows from the observation that $\kappa(P \setminus (B \cup B')) = \mu(P) \setminus \{B, B'\}$ which we prove next.

Let us consider $K \in \kappa(P \setminus (B \cup B'))$ and prove that $K \in \mu(P) \setminus \{B, B'\}$. Consider $L \in B$ such that $K \subseteq L \subseteq P$. If $L \cap B \neq \emptyset$, then $L \cup B \in B$ and $B \subseteq L \cup B \subseteq P$, so that $L \cup B = P$ by maximality of $B$, contradicting the elementarity of $P$. Hence, $L \subseteq P \setminus (B \cup B')$, so that $K = L$ by maximality of $K$ in $P \setminus (B \cup B')$. We conclude that $K \in \mu(P) \setminus \{B, B'\}$.

Conversely, let us consider $C \in \mu(P) \setminus \{B, B'\}$ and prove that $C \in \kappa(P \setminus (B \cup B'))$. Since $P$ is elementary and $B, B', C \in \mu(P)$, the block $C$ is disjoint from $B$ and $B'$ by Remark 3.1. Hence, $C \subseteq P \setminus (B \cup B')$ and thus $C \in \kappa(P \setminus (B \cup B'))$ by maximality of $C$.

**Proposition 3.19.** If $(B_1, B'_1, P)$ and $(B_2, B'_2, P)$ are two distinct maximal exchange frames with the same $g$-vector dependence, then $P$ is elementary.

**Proof.** Since the exchange relations given by Equation (1) for the exchange frames $(B_1, B'_1, P)$ and $(B_2, B'_2, P)$ coincide, $B_2$ and $B'_2$ belong to $\{B_1, B'_1\} \cup \kappa(P \setminus \{B_1, B'_1\})$. Since $(B_1, B'_1, P)$ and $(B_2, B'_2, P)$ are distinct exchange frames, we can assume for instance that $B_2$ does not belong to $\{B_1, B'_1\}$. Hence, $B_2$ belongs to $\kappa(P \setminus \{B_1, B'_1\})$, thus $B_1 \cap B_2 = \emptyset$, and therefore $P$ is elementary by Remark 3.1 since it contains two disjoint maximal blocks.

**3.4. Type cone of nested fans.** As a consequence of Proposition 3.15, we obtain the following redundant description of the type cone of the nested fan $\mathcal{F}(B)$.

**Corollary 3.20.** For any building set $B$, the type cone of the nested fan $\mathcal{F}(B)$ is given by

$$\mathcal{T}C(\mathcal{F}(B)) = \left\{ h \in \mathbb{R}^B \mid h_B = 0 \text{ for } B \in \kappa(B) \text{ and for any exchange frame } (B, B', P) \right\}$$

We denote by $f_B$ for $B \in B$ the canonical basis of $\mathbb{R}^B$ and by

$$n(B, B', P) := (f_B + f_{B'}) + \sum_{K \in \kappa(P \setminus (B \cup B'))} f_K \Rightarrow (f_P + \sum_{K \in \kappa(B \setminus B')} f_K)$$

the inner normal vector of the inequality of the type cone $\mathcal{T}C(\mathcal{F}(B))$ corresponding to an exchange frame $(B, B', P)$ of $B$. Thus $h \in \mathcal{T}C(\mathcal{F}(B))$ if and only if $\langle n(B, B', P), h \rangle > 0$ for all exchange frames $(B, B', P)$ of $B$.

**Example 3.21.** Consider the nested fans illustrated in Figure 5. The type cone of the left fan lives in $\mathbb{R}^8$, has a linearity space of dimension 3 and 5 facet-defining inequalities (given below). In particular, it is simplicial. Note that as in Figure 3, we express the $g$-vectors in the basis given by the maximal tubing containing the first three tubes below.
Example 3.22. We can exploit Corollary 3.20 to show that certain height functions belong to the type cone of $\mathcal{F}(B)$ and recover some classical constructions of the nestohedron, generalizing Example 2.8.

(i) Consider the height function $h \in \mathbb{R}^B$ given by $h_B := -3^{|B|}$. Then for any exchange frame $(B, B', P)$ of $B$, we have

\[
\langle n(B, B') | h \rangle = -3^{|B|} - 3^{|B'|} - \sum_{K \in \mathcal{E}(P \setminus \{B \cup B'\})} 3^{|K|} + 3^{|P|} + \sum_{K \in \mathcal{E}(B \cup B')} 3^{|K|} \geq -2 \cdot 3^{|B \cup B'|} - 3^{|P \setminus \{B \cup B'\}|} + 3^{|P|} > 0.
\]

Therefore, the height function $h$ belongs to the type cone $\mathcal{T}\mathcal{C}(\mathcal{F}(B))$. The corresponding polytope $P_h := \{ x \in \mathbb{R}^V \mid \langle g(B) | x \rangle \leq h_B \text{ for } B \in \mathcal{B}\}$ was constructed in [Pil17], generalizing the graph associahedra of [Dev09].

(ii) Consider the height function $h \in \mathbb{R}^B$ given by $h_B := -\{C \in \mathcal{B} \mid C \subseteq B\}$. Then for any exchange frame $(B, B', P)$ of $B$, we have

\[
\langle n(B, B', P) | h \rangle = |\{ C \in \mathcal{B} \mid C \not\subseteq B, C \not\subseteq B' \text{ and } C \not\subseteq P \setminus (B \cup B') \text{ but } C \subseteq P \}| > 0
\]

since $P$ fulfills the conditions on $C$. Therefore, the height function $h$ belongs to the type cone $\mathcal{T}\mathcal{C}(\mathcal{F}(B))$. The corresponding polytope $P_h := \{ x \in \mathbb{R}^V \mid \langle g(B) | x \rangle \leq h_B \text{ for } B \in \mathcal{B}\}$ is the nestohedron constructed by A. Postnikov’s in [Pos09].

Note that many inequalities of Corollary 3.20 are redundant. In the remaining of this section, we describe the facet-defining inequalities of $\mathcal{T}\mathcal{C}(\mathcal{F}(B))$. We say that an exchange frame $(B, B', P)$ is

- **extremal** if its corresponding inequality in Corollary 3.20 defines a facet of $\mathcal{T}\mathcal{C}(\mathcal{F}(B))$,
- **maximal** if $B$ and $B'$ are both maximal building blocks in $P$ as in Proposition 3.13.
We can now state our main result on nested complexes, generalizing Theorem 2.9.

**Theorem 3.23.** An exchange frame is extremal if and only if it is maximal.

**Proof.** We treat separately the two implications:

**Extremal ⇒ maximal.** Consider an exchange frame \((B, B', P)\) of \(B\), and fix pivot vertices \(v, v'\) satisfying the conditions of Proposition 3.10. We assume that this frame is not maximal, and prove that it is not extremal by showing that the normal vector \(n(B, B', P)\) of the corresponding inequality of the type cone \(TC(F(B))\) is a positive linear combination of normal vectors of some other exchange frames. By symmetry, we can assume that there is \(M \in B\) such that \(B \ enthusi M \subseteq P\) and we can assume that \(M\) is maximal for this property. We decompose the proof into two cases, depending on whether \(B' \subseteq M\) or \(B' \not\subseteq M\).

**Case 1:** \(B' \subseteq M\). Observe first that:

- \((B, B', M)\) is an exchange frame, since \((B, B', P)\) is an exchange frame and \(B \cup B' \subseteq M \subseteq P\).
- \((M, W, P)\) is an exchange frame for any connected component \(W\) of \(P \setminus (B \cup B')\) containing a vertex \(w \in P \setminus M\). Indeed, we just check the conditions of Proposition 3.10 for \(v \in M \setminus W\) and \(w \in W \setminus M\):
  - for any \(M \ni C \subseteq P\), we have \(w \in P \setminus M \subseteq C\) by maximality of \(M\).
  - for any \(W \ni C' \subseteq P\), we have \(C' \subseteq P\) and \(C' \subseteq W\), hence \(C' \cap (B \cup B') \neq \emptyset\) since \(W\) is a connected component of \(P \setminus (B \cup B')\). Assume for instance that \(C' \cap B \neq \emptyset\) (the proof for \(C' \cap B' \neq \emptyset\) is symmetric). Since \(C' \cap W \neq \emptyset\), we obtain that \(B \ni C' \subseteq P\) and thus \(v' \in C'\) by Proposition 3.10. We therefore obtain that \(B' \ni C' \subseteq P\) and thus \(v \in C'\) by Proposition 3.10 again.

We claim that these two exchange frames enable us to write

\[
n(B, B', P) = n(B, B', M) + n(M, W, P).
\]

Proving this identity amounts to check that

\[
(2) \quad \kappa(P \setminus (B \cup B')) \cup \kappa(M \cap W) = \kappa(M \setminus (B \cup B')) \cup \kappa(P \setminus (M \cup W)) \cup \{W\}.
\]

For this, we distinguish two subcases, depending on whether or not \(M\) and \(W\) intersect.

**Subcase 1.1:** \(M \cap W = \emptyset\). See Figure 6(left). First, we claim that either \(C \cap M = \emptyset\) or \(C \subseteq M\) for any \(C \in \mathcal{B}\) with \(C \subseteq P \setminus (B \cup B')\). Indeed, if \(C \cap M \neq \emptyset\), then \(C \cap W = \emptyset\) since \(M \cap W = \emptyset\) and \(W\) is a connected component of \(P \setminus (B \cup B')\). Hence \(C \cup M \in B\) and \(B \subseteq C \cup M \subseteq P\), and thus \(C \subseteq M\) by maximality of \(M\). We therefore obtain that

\[
\kappa(P \setminus (B \cup B')) = \kappa(M \setminus (B \cup B')) \cup \kappa(P \setminus (M \cup W)) \cup \{W\}.
\]

This shows Equation (2) since \(M \cap W = \emptyset\).

**Subcase 1.2:** \(M \cap W \neq \emptyset\). See Figure 6(middle). As \(M \cup W \in B\) and \(B \subseteq B \cup W \subseteq P\) and \(W \not\subseteq M\), we have \(P = M \cup W\) by maximality of \(M\). Since \(W \in \kappa(P \setminus (B \cup B'))\), we have

\[
\kappa(P \setminus (B \cup B')) = \kappa(P \setminus (B \cup B' \cup W)) \cup \{W\} = \kappa(M \setminus (B \cup B' \cup W)) \cup \{W\}
\]

Moreover, by maximality of \(W\), we obtain that there is no block of \(B\) contained in \(M \setminus (B \cup B')\) and meeting both \(M \cap W\) and \(M \setminus (B \cup B' \cup W)\). Hence

\[
\kappa(M \cap W) \cup \kappa(M \setminus (B \cup B' \cup W)) = \kappa(M \setminus (B \cup B'))
\]

Combining these two identities proves Equation (2) since \(P = M \cup W\).

**Case 2:** \(B' \not\subseteq M\). See Figure 6(right). Observe that:

- \((M, B', P)\) is an exchange frame. Indeed, we just check the conditions of Proposition 3.10 for \(v \in M \setminus B'\) and an arbitrary \(w \in B' \setminus M\):
  - for any \(M \ni C \subseteq P\), we have \(w \in P \setminus M \subseteq C\) by maximality of \(M\).
  - for any \(B' \ni C' \subseteq P\), we have \(v \in C'\) by Proposition 3.10.
- \((B, W, M)\) is an exchange frame for the connected component \(W\) of \(M \cap B'\) containing \(v'\). Indeed, we just check the conditions of Proposition 3.10 for \(v \in B \setminus W\) and \(v' \in W \setminus B\):
\[ P \]

\[ M \]

\[ W \]

\[ \star v' \]

\[ B \]

\[ v + \]

\[ \star v' \]

\[ B' \]

\[ M \]

\[ W \]

\[ \star v' \]

\[ B' \]

\[ M \]

\[ W \]

\[ \star v' \]

\[ B' \]

\[ Case 1.1 \]

\[ Case 1.2 \]

\[ Case 2 \]

\textbf{Figure 6.} Illustrations for the case analysis of the proof of Theorem 3.23.

- for any \( B \vdash C \subseteq M \), we have \( B \vdash C \subseteq P \) and thus \( v' \in C \) by Proposition 3.10.
- for any \( W \vdash C' \subseteq M \), we have \( B' \vdash C' \subseteq P \) and thus \( v \in C' \) by Proposition 3.10.

We claim that these two exchange frames enable to write

\[ n(B, B', P) = n(M, B', P) + n(B, W, M). \]

Proving this identity amounts to check that

\[ (3) \quad \kappa(P \setminus (B \cup B')) \cup \kappa(M \cap B') \cup \kappa(B \cap W) = \kappa(B \cap B') \cup \kappa(P \setminus (M \cup B')) \cup \kappa(M \setminus (B \cup W)) \cup \{W\}. \]

To prove this, we observe that:

- Since \( W \) contains \( v' \), Proposition 3.10 ensures that there is no block of \( B \) contained in \( M \cap B' \) and meeting both \( B \) and \( B' \setminus (B \cup W) \). Since \( W \in \kappa(M \cap B') \), we thus obtain

\[ \kappa(M \cap B') = \kappa((M \cap B') \setminus (B \cup W)) \cup \kappa(B \cap B' \setminus W) \cup \{W\}. \]

- As \( W \in \kappa(M \cap B') \), there is no block of \( B \) contained in \( B \cap W \) and \( B \cap B' \setminus W \), hence

\[ \kappa(B \cap W) \cup \kappa(B \cap B' \setminus W) = \kappa(B \cap B'). \]

- There is no block of \( B \) contained in \( M \setminus (B \cup W) \) and meeting both \( M \setminus (B \cup B') \) and \( (M \cap B') \setminus (B \cup W) \) (such a block \( C \) would satisfy \( B' \vdash C \subseteq P \) and \( v \notin C \), contradicting Proposition 3.10). Hence

\[ \kappa(M \setminus (B \cup B')) \cup \kappa((M \cap B') \setminus (B \cup W)) = \kappa(M \setminus (B \cup W)). \]

Combining these three identities proves (3) since \( P = M \cup B' \) by maximality of \( M \).

\textbf{Maximal} \Rightarrow \textbf{extremal}. Let \( (B, B', P) \) be a maximal exchange frame. To prove that \( (B, B', P) \) is extremal, we will construct a vector \( w \in \mathbb{R}^B \) such that \( \langle n(B, B', P) \mid w \rangle < 0 \), but \( \langle n(\tilde{B}, \tilde{B}', \tilde{P}) \mid w \rangle > 0 \) for any maximal exchange frame \((\tilde{B}, \tilde{B}', \tilde{P})\) with \( n(B, B', P) \neq n(\tilde{B}, \tilde{B}', \tilde{P}) \). This will show that the inequality induced by \((B, B', P)\) is not redundant. Remember from Propositions 3.18 and 3.19 that, as \((B, B', P)\) and \((\tilde{B}, \tilde{B}', \tilde{P})\) are maximal exchange frames, \( n(B, B', P) \neq n(\tilde{B}, \tilde{B}', \tilde{P}) \) if and only if \( P \neq \tilde{P} \), or \( P = \tilde{P} \) is not an elementary block.

Define \( \alpha(B, B', P) := \{ C \in B \mid C \nsubseteq B, C \nsubseteq B' \text{ and } C \nsubseteq P \setminus (B \cup B') \text{ but } C \subseteq P \} \). Define three vectors \( x, y, z \in \mathbb{R}^B \) by

\[
x_C := -|\{ D \in B \setminus \alpha(B, B', P) \mid D \subseteq C \}|, \\
y_C := -|\{ D \in \alpha(B, B', P) \mid D \subseteq C \}|, \\
z_C := \begin{cases} -1 & \text{if } B \subseteq C \text{ or } B' \subseteq C, \\ 0 & \text{otherwise}, \end{cases}
\]

for each block \( C \in B \).
We will prove below that their scalar products with \( n(\tilde{B}, \tilde{B}', \tilde{P}) \) for any maximal exchange frame \((\tilde{B}, \tilde{B}', \tilde{P})\) satisfy the following inequalities

| \( \langle n(\tilde{B}, \tilde{B}', \tilde{P}) \mid x \rangle \) | \( \langle n(\tilde{B}, \tilde{B}', \tilde{P}) \mid y \rangle \) | \( \langle n(\tilde{B}, \tilde{B}', \tilde{P}) \mid z \rangle \) |
|---|---|---|
| if \( n(B, B', P) = n(B, B', P) \) | 0 | \( \alpha(B, B', P) \) | 0 |
| if \( \alpha(\tilde{B}, \tilde{B}', \tilde{P}) \not\subseteq \alpha(B, B', P) \) | \( \geq 0 \) | \( \geq 1 \) | \( \geq 1 \) |
| otherwise | \( \geq 0 \) | \( \geq 0 \) | \( \geq 0 \) |

It immediately follows from this table that the vector \( w := x + \delta y + \epsilon z \) fulfills the desired properties for any \( \delta, \epsilon \) such that \( 0 < \delta \cdot |\alpha(B, B', P)| < \epsilon < 1 \).

The equalities of the table are immediate. To prove the inequalities, observe that for any maximal exchange frame \((\tilde{B}, \tilde{B}', \tilde{P})\),

- \( \langle n(\tilde{B}, \tilde{B}', \tilde{P}) \mid x \rangle \geq |\alpha(\tilde{B}, \tilde{B}', \tilde{P}) \setminus \alpha(B, B', P)| \)
- \( \langle n(\tilde{B}, \tilde{B}', \tilde{P}) \mid y \rangle \geq |\alpha(B, B', P) \setminus \alpha(\tilde{B}, \tilde{B}', \tilde{P})| \)
- \( \langle n(\tilde{B}, \tilde{B}', \tilde{P}) \mid z \rangle \geq -1 \)

Indeed, observe that \( z_{\tilde{B}} = -1 \) as soon as \( z_K = -1 \) for some \( K \in \{ \tilde{B}, \tilde{B}' \} \cup \kappa(\tilde{P} \setminus (\tilde{B} \cup \tilde{B}')) \). This already implies that \( \langle n(\tilde{B}, \tilde{B}', \tilde{P}) \mid z \rangle \geq -1 \) except if \( z_K = z_{\tilde{K}} = -1 \) for three distinct \( \tilde{K}, \tilde{K}', \tilde{K}'' \in \{ \tilde{B}, \tilde{B}' \} \cup \kappa(\tilde{P} \setminus (\tilde{B} \cup \tilde{B}')) \). But since \( \tilde{B} \) and \( \tilde{B}' \) are the only intersecting blocks among \( \{ \tilde{B}, \tilde{B}' \} \cup \kappa(\tilde{P} \setminus (\tilde{B} \cup \tilde{B}')) \), the only option (up to permutation) is that \( \tilde{K} = \tilde{B} \) and \( \tilde{K}' = \tilde{B}' \) both contain \( B \) (resp. \( B' \)). \( \tilde{K}'' \) contains \( B' \) (resp. \( B \)). We conclude that \( \langle n(\tilde{B}, \tilde{B}', \tilde{P}) \mid z \rangle \geq 0 \).

We derive from Theorem 3.23 the facet description of the type cone \( \mathbb{TC}(\mathcal{F}(B)) \). Remember that we denote by \( \mu(P) \) the maximal blocks of \( B \) strictly contained in a block \( P \in B \).

**Corollary 3.24.** The inequalities

- \( \sum_{B \in \mu(P)} h_B > h_P \) for any elementary block \( P \) of \( B \),
- \( h_B + h_{B'} + \sum_{K \in \kappa(P \setminus B \cup B')} h_K > h_P + \sum_{K \in \kappa(B \setminus B')} h_K \) for any block \( P \) of \( B \) neither singleton nor elementary, and any two blocks \( B, B' \) in \( \mu(P) \),

provide an irredundant facet description of the type cone \( \mathbb{TC}(\mathcal{F}(B)) \).

**Corollary 3.25.** The number of facets of the the type cone \( \mathbb{TC}(\mathcal{F}(B)) \) is

\[
|\varepsilon(B)| + \sum_{P} \left( \mu(P) \right)_2
\]

where the sum runs over all blocks \( P \) of \( B \) which are neither singletons nor elementary blocks.

**Proposition 3.26.** The type cone \( \mathbb{TC}(\mathcal{F}(B)) \) is simplicial if and only if all blocks of \( B \) with at least three distinct maximal strict subblocks are elementary.

**Proof.** Recall that the nested fan \( \mathcal{F}(B) \) has dimension \( |V| - |\kappa(B)| \) and has \( |B| - |\kappa(B)| \) rays. Hence, the type cone \( \mathbb{TC}(\mathcal{F}(B)) \) is simplicial if and only if it has \( |B| - |V| \) facets. The statement thus immediately follows from Corollary 3.25. \( \square \)
3.5. Interval building sets. An interval building set is a building set on \([n] := \{1, \ldots, n\}\) whose blocks are some intervals. We call interval nested fan and interval nestohedron the nested fan and nestohedron of an interval building set. Examples are illustrated in Figure 7.

Example 3.27. There are two particularly relevant examples of interval nestohedra:
- the classical associahedron of [SS93, Lod04] for the building set with all intervals of \([n]\),
- the Pitman-Stanley polytope of [SP02] for the building set with all singletons \(\{i\}\) and all intervals \([i]\) for \(i \in [n]\).

Note that, by definition, any interval nested fan coarsens the associahedron nested fan.

Proposition 3.28. For any interval building set \(\mathcal{B}\), the type cone \(\text{TC}(\mathcal{F}(\mathcal{B}))\) is simplicial.

Proof. Assume that \(\mathcal{B}\) has a non-elementary block \([i, j]\), with at least three distinct maximal strict subblocks \([a, b]\), \([c, d]\) and \([e, f]\). Since \([a, b]\), \([c, d]\) and \([e, f]\) are pairwise non nested, we can assume up to permutation that \(a < c < e\) and \(b < d < f\). Since \([i, j]\) is not elementary, \([a, b] \cap [c, d] \neq \emptyset\) and thus \([a, b] \cup [c, d] = [a, d]\) is a block of \(\mathcal{B}\). This contradicts the maximality of \([a, b]\) since \([a, b] \subsetneq [a, d] \subsetneq [i, j]\) as \(b < d < f \leq j\).

Remark 3.29. Note that there are building sets \(\mathcal{B}\) for which the type cone \(\text{TC}(\mathcal{F}(\mathcal{B}))\) is simplicial, but which are not (isomorphic to) interval building sets. See e.g. Figure 5 (left).

We now translate the facet description of Corollary 3.24 to the specific case of interval building sets. We need a few additional notations. Consider an interval building set \(\mathcal{B}\) on \([n]\).
For $1 \leq i < j \leq n$, define
\[ \ell(i,j) := \min \{ k \in [i+1, j] \mid [k,j] \in \mathcal{B} \} \quad \text{and} \quad r(i,j) := \max \{ k \in [i, j-1] \mid [i,k] \in \mathcal{B} \}. \]

Note that $\ell(i,j)$ and $r(i,j)$ are well-defined since $\mathcal{B}$ contain all singletons. Observe that $[i, r(i,j)]$ and $[\ell(i,j), j]$ are maximal strict subblocks of $[i, j]$. Therefore,

- if $[i, j] \in \mathcal{B}$ is elementary, then we have $r(i,j) < \ell(i,j)$ and the maximal strict subblocks of $[i, j]$ are the intervals $[s_k(i,j), s_k(i,j) - 1]$ for $k \in [p]$ where the sequence $s_0(i,j) < s_1(i,j) < \cdots < s_p(i,j)$ is defined by the boundary conditions $s_0(i,j) = 1$ and $s_1(i,j) = r(i,j) + 1$ and $s_p(i,j) := j + 1$, and the induction $s_k(i,j) := r(s_{k-1}(i,j), j+1) + 1$.
- if $[i, j] \in \mathcal{B}$ is not elementary, we have $\ell(i, j) \leq r(i, j)$ so that

\[ [i, r(i, j)] \cup [\ell(i, j), j] = [i, j] \quad \text{and} \quad [i, r(i,j)] \cap [\ell(i, j), j] = [\ell(i, j), r(i, j)]. \]

Thus $[i, r(i, j)]$ and $[\ell(i, j), j]$ are the only maximal strict subblocks of $[i, j]$. Moreover, the connected components of $[i, r(i,j)] \cap [\ell(i, j), j] = [\ell(i, j), r(i, j)]$ are the intervals $[t_k(i, j), t_q(i, j)]$ for $k \in [q]$ where the sequence $t_0(i,j) < t_1(i,j) < \cdots < t_q(i,j)$ is defined by the boundary conditions $t_0(i,j) := \ell(i,j)$ and $t_q(i,j) := r(i,j) + 1$, and the induction $t_k(i,j) := r(t_{k-1}(i,j), r(i,j) + 1) + 1$.

Using these notations, the following statement is just a translation of Corollary 3.24.

**Proposition 3.30.** Consider an interval building set $\mathcal{B}$ on $[n]$ and let $\mathcal{B}^* := \mathcal{B} \setminus \{ i \mid i \in [n] \}$ denote the blocks which are not singletons. Then the inequalities

- $\sum_{k \in [p]} h_s(i,j), s_s(i,j) - i] > h_{\ell(i,j)}$ for all $[i, j] \in \mathcal{B}^*$ with $r(i,j) < \ell(i,j)$,
- $h_{i, r(i,j)} + h_{\ell(i,j), j} > h_{i, j} + \sum_{k \in [p]} h_{t_k(i,j), t_{k+1}(i,j)}$ for all $[i, j] \in \mathcal{B}^*$ with $\ell(i,j) \leq r(i,j)$,

provide an irredundant facet description of the type cone $\text{TC}(\mathcal{F}(\mathcal{B}))$.

**Example 3.31.** For instance

- for the building set containing all intervals of $[n]$, we have $\ell(i, j) = i + 1$ and $r(i, j) = j - 1$, so that the facet defining inequalities of the type cone are $h_{i,j-1} + h_{i,j+1} > h_{i,j} + h_{i+1,j-1}$ for all $1 \leq i < j \leq n$ (with the convention that $h_{i+1,j-1} = 0$ for $i = 1, j = n$),
- for the building set containing all singletons $\{i\}$ and all intervals $[i]$ for $i \in [n]$, we have $r(1, j) = j - 1 < j = \ell(1, j)$, so that the facet defining inequalities of the type cone are $h_{i,j-1} > h_{i,j}$ for all $1 \leq j \leq n$.

We finally combine Propositions 1.2 and 3.30 to define kinematic nestohedra for interval building sets, similar to the constructions of [ABHBY18, BDM+18, PPPP19] for associahedra, cluster associahedra and gentle associahedra.

**Proposition 3.32.** Consider an interval building set $\mathcal{B}$ on $[n]$ and let $\mathcal{B}^* := \mathcal{B} \setminus \{ i \mid i \in [n] \}$ denote the blocks which are not singletons. Then for any $p \in \mathbb{R}_{>0}^\mathcal{B}$, the polytope $R_p(\mathcal{B}) \subseteq \mathbb{R}^\mathcal{B}$ defined as the intersection of the positive orthant $\{ z \in \mathbb{R}^\mathcal{B} \mid z \geq 0 \}$ with the hyperplanes

- $z_K = 0$ for $K \in \kappa(\mathcal{B})$,
- $\sum_{k \in [p]} z_{[s_k(i,j), s_k(i,j) - 1]} > z_{[i,j]}$ for $[i,j] \in \mathcal{B}^*$ with $r(i,j) < \ell(i,j)$,
- $z_{i, r(i,j)} + z_{\ell(i,j), j} - \sum_{k \in [p]} z_{[t_k(i,j), t_{k+1}(i,j) - 1]} = p_{[i,j]}$ for $[i,j] \in \mathcal{B}^*$ with $\ell(i,j) \leq r(i,j)$,

is a nestohedron whose normal fan is the nested fan $\mathcal{F}(\mathcal{B})$. Moreover, the polytopes $R_p(\mathcal{B})$ for $p \in \mathbb{R}_{>0}^\mathcal{B}$ describe all polytopal realizations of $\mathcal{F}(\mathcal{B})$ (up to translations).

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The graphical part of the present paper appeared in a preliminary version of our paper with Yann Palu and Pierre-Guy Plamondon [PPPP19] as an illustration of the limits of our method based on the simpliciality of the type cone. We later realized during the master project of Germain Poullot that, although many complications appear, the main results and techniques leading to the description of the type cone can be extended from graph associahedra to arbitrary nestohedra.

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