Preparation of Doxorubicin-Loaded Amphiphilic Poly(D,L-Lactide-Co-Glycolide)-b-Poly(N-Acryloylmorpholine) AB₂ Miktoarm Star Block Copolymers for Anticancer Drug Delivery

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Abstract: Owing to their unique topology and physical properties, micelles based on miktoarm amphiphilic star block copolymers play an important role in the biomedical field for drug delivery. Herein, we developed a series of AB₂-type poly(D,L-lactide-co-glycolide)-b-poly(N-acryloylmorpholine) (PLGA-b-PNAM₂) miktoarm star block copolymers by reversible addition-fragmentation chain-transfer polymerization and ring-opening copolymerization. The resulting miktoarm star polymers were investigated by ¹H NMR spectroscopy and gel permeation chromatography. The critical micellar concentration value of the micelles increases with an increase in PNAM block length. As revealed by transmission electron microscopy and dynamic light scattering, the amphiphilic miktoarm star block copolymers can self-assemble to form spherical micellar aggregates in water. The anticancer drug doxorubicin (DOX) was encapsulated by polymeric micelles; the drug-loading efficiency and drug-loading content of the DOX-loaded micelles were 81.7% and 9.1%, respectively. Acidic environments triggered the dissociation of the polymeric micelles, which led to the more release of DOX in pH 6.4 than pH 7.4. The amphiphilic PLGA-b-PNAM₂ miktoarm star block copolymers may have broad application as nanocarriers for controlled drug delivery.

Keywords: miktoarm amphiphilic block copolymers; drug delivery; RAFT polymerization; ROP polymerization

1. Introduction

In the current decade, polymeric micelles based on amphiphilic block copolymers (ABCs) have been broadly explored as nanocarriers in the drug-delivery field due to their advantages such as long circulation, molecular design, therapeutic effect and biocompatibility. In addition, the ability of ABCs to self-assemble into complex structures has allowed improved drug loading [1,2]. The hydrophobic core of ABCs offers great potential to load hydrophobic drugs and controlled drug release through micellar dissociation, polymer erosion/degradation or diffusion mechanisms [3,4]. Among ABCs, the miktoarm star copolymers synthesized with varying polymer arms and molecular weights have been attractive owing to their interesting properties [5–7]. Miktoarm polymers contain two or more polymeric units with various chemical structures. The miktoarm-block copolymers demonstrate exclusive
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phase-separation behavior either in solution or in bulk compared with linear-block copolymers due to the organization of the polymers, in which two constructional blocks are connected to a distinct confluence point [8–11]. This ability imparts miktoarm-block copolymers with wide potentials for use as nanocarriers of drugs compared with corresponding linear-block copolymers [12–16]. The synthesis of AB2 miktoarm-block copolymers is mostly practicable with a classification of different polymerization methods. To date, few reports have been published for the preparation of AB2-type miktoarm-block copolymers and their drug-delivery application [10,17–21]. Chong et al. have reported the AB2-type miktoarm star polymers containing monomethoxy poly(ethylene glycol) (mPEG) and poly(D,L-lactide-co-glycolide) (PLGA) blocks and the general advantage of these miktoarm-block copolymers as nanocarriers for ibuprofen [10]. Yoon et al. reported PEG–PCL2, and they investigated the self-aggregated structure, in vitro drug release using DOX and antitumor activities [17]. Soliman et al. also demonstrated the PEG–PCL2 miktoarm polymers for the nimodipine (NIM) drug release and these NIM-loaded miktoarm polymers were evaluated against murine microglia cell line in vitro for inflammation [18]. Yin et al. developed PEG–poly(L-lactide)2 miktoarm block copolymers. These polymersomes demonstrated the potent drug-loading capacities of DOX and showed significant drug release after 48 h [19]. Recently, we have prepared a AB2-type of miktoarm-block copolymer composed of poly(N-acryloylmorpholine) and poly(D,L-lactide) as a nano carrier for drug delivery [22]. PLGA is a generally explored drug carrier for the treatment of several diseases and some invention products of PLGA are currently used in clinical trial [1,23]. The key attraction for further exploitation of PLGA is its biodegradability and biocompatibility, along with good bioresorptivity of its degradation products [24,25]. However, its capability in drug-release application is limited by the high hydrophobicity of PLGA [23,26,27]. Poly(N-acryloylmorpholine) (PNAM)—a water-soluble polymer—is an acrylamide derivative with a heterocyclic tetrahydrooxazine substituent [28–30]. PNAM is one of promising polymers, similarly advantageous as PEG for biologic applications due to its solubility in various organic solvents and biocompatibility [31,32]. Because of these properties, they find numerous applications in the biomedical field. They are also utilized in chromatography, strong-stage combination of peptides, catalysis and arrangement of composite semi-penetrable layers [33–36]. Considering the advantages of both PLGA and PNAM polymers, a combination of the polymers to make a miktoarm-block copolymer could offer more promising results that can be used for drug-delivery applications. Herein, we first report a miktoarm star block copolymer consisting of PLGA hydrophobic blocks and PNAM hydrophilic blocks, prepared from the reversible addition–fragmentation chain–transfer (RAFT) method. The miktoarm star block copolymers could self-aggregate to form spherical micellar aggregates in water, which were investigated by dynamic light scattering (DLS) and transmission electron microscopy (TEM). An anticancer drug, DOX was efficiently encapsulated into micelles, and the DOX-loaded micelles exhibited sustained controlled drug-delivery properties.

2. Results and Discussion

2.1. Synthesis of PLGA-b-PNAM2, the AB2-Type Miktoarm Star Block Copolymers

The three miktoarm amphiphilic star block copolymers of PLGA-b-PNAM2 were prepared by the two-step method which consisted of the synthesis of PLGA macroinitiators by the ring-opening polymerization (ROP) of glycolide and D,L-lactide, followed by the RAFT polymerization of NAM (Scheme 1). In the first step, the ring opening copolymerization of glycolide and D,L-lactide (70/30, w/w) was carried out in the presence of the miktoarm initiator using 1,8-diazabicyclo [5.4.0] undec-7-ene (DBU) as a catalyst at room temperature. The 1H NMR spectra of the PLGA macro initiator is presented in Figure 1a. The signals at 4.88–4.78 ppm, 5.25–5.12 and 1.59–1.52 ppm correspond to the PLGA and poly(D,L-lactic acid) (PDLLA) backbones, whereas the signal at 4.64–4.62 ppm corresponds to the xanthate functional group of the miktoarm initiator. Therefore, 1H NMR investigation confirms that the PLGA macroinitiator was successfully prepared. The integrated peak areas “b” of the PDLLA
backbone chain and “a” of the PGA backbone chain were compared by the integrated peak area of “k” of the chain-end xanthate moiety of the miktoarm initiator, to estimate the $M_n$ (NMR) of the PLGA copolymer, which was calculated to be 4800 g mol$^{-1}$. The gel permeation chromatography (GPC) study of the copolymer specified that the molecular weight ($M_n$) and dispersity ($\bar{D}$) were 5300 g mol$^{-1}$ and 1.20 (Figure 2). In the next step, miktoarm PLGA-b-PNAM$_2$ star block copolymers were prepared through RAFT polymerization of NAM monomers with the PLGA macroinitiator at 80 °C for 8 h.

Scheme 1. Synthetic scheme for poly(D,L-lactide-co-glycolide)-b-poly(N-acryloyl morpholine) (PLGA-b-PNAM$_2$) miktoarm star block copolymers.

Figure 1. $^1$H NMR spectra of (a) the poly(D,L-lactide-co-glycolide) (PLGA) macroinitiator and PLGA-b-PNAM$_2$ miktoarm-block copolymer (M2) in (b) CDCl$_3$ and (c) D$_2$O at room temperature.
while the shapes of M1 and M2 are unimodal. The bimodal-like shape of M3 may be attributed to
resulting from these species can thus produce star–star coupled polymers, star polymers with dead
core chain and linear chains resulting from continuous initiation, can coexist. Radical-radical
termination resulting from these species can thus produce star–star coupled polymers, star
polymers with respect to the macroinitiator. In other words, the R–RAFT-type PLGA macroinitiator may
leads to star–star coupling via termination of two star polymers carrying a radical center [39]. With the
PLGA RAFT agents, two types of active propagating species, ones that are attached to the PLGA core
chain and linear chains resulting from continuous initiation, can coexist. Radical-radical termination
resulting from these species can thus produce star–star coupled polymers, star polymers with dead
Peaks are observed at 2.3–2.8 (q), 3.0–4.0 (r) and 1.70–1.90 (p) ppm, due to the presence of PNAM backbone chains.
The GPC chromatogram shows that the elution times of the three miktoarm-block copolymers are shorter than that of the PLGA macroinitiator as can be seen in Figure 2, which indicates the formation of the desired miktoarm-block copolymers. The decrease in the retention time in order of M1, M2 and M3 suggests that the molecular weights of the miktoarm star block copolymers increase as the feed ratios of NAM monomers increase (Table 1) [37].
It is also noticeable that the GPC curves of M3 display shoulders to higher molecular weights while the shapes of M1 and M2 are unimodal. The bimodal-like shape of M3 may be attributed to the star–star coupling of the propagating species of miktoarm copolymers at the high ratio of NAM with respect to the macroinitiator [38]. In other words, the R–RAFT-type PLGA macroinitiator may leads to star–star coupling via termination of two star polymers carrying a radical center [39]. With the PLGA RAFT agents, two types of active propagating species, ones that are attached to the PLGA core chain and linear chains resulting from continuous initiation, can coexist. Radical-radical termination resulting from these species can thus produce star–star coupled polymers, star polymers with dead

![Figure 2](image-url)
arms and linear dead polymers, which becomes pronounced at low concentrations of RAFT agent with the polymerization carried to high conversion [38].

2.2. Self-Assembly Study of Miktoarm PLGA-b-PNAM$_2$ Amphiphilic Star Block Copolymers

PLGA is insoluble in water, while PNAM is quite soluble in water. In Figure 1c, the $^1$H NMR spectrum of M2 in D$_2$O clearly shows the appearance of PNAM and the peaks attributed to PLGA are suppressed in comparison with the $^1$H NMR spectrum obtained in chloroform-d which dissolves both polymers (Figure 1b). This result indicates that PLGA-b-PNAM$_2$ miktoarm star block copolymers form micelles in water where the core and the shell consist of PLGA and PNAM chains, respectively. Figure 3a, represents the plot of the count rate of the micelles versus the concentration of M1, M2 and M3 in water, measured by DLS. Because a sudden increase in the count rate indicates the formation of micelle at critical micellar concentration (CMC), CMCs were determined as $\sim3.08 \times 10^{-4}$, $\sim4.24 \times 10^{-4}$ and $\sim7.90 \times 10^{-4}$ mg/mL for M1, M2 and M3, respectively. These values indicate that the CMC of the amphiphilic miktoarm-block copolymers increases with the increase in the chain length of the PNAM block [24].

Figure 3. (a) Plot of the counter rate versus the concentration of M1, M2 and M3 in water; (b) plot of the micellar size in diameter versus the concentration of M1, M2 and M3 in water.

Figure 3b shows the plot of the size of the micelles (in diameter) versus concentration above the CMC value of M1, M2 and M3 in water, measured by DLS. We observed that above the CMC value the size of the micelle is stable in case of M2 and M3 whereas for M1 it changes. Further, M2 has a low CMC value compared with that of M3. Because of this, M2 was selected as the best candidate for further studies. We also measured the CMC and size of the micelles in various concentrations above the CMC in PBS (pH = 7.4) at 37 °C. The CMC values of M1, M2 and M3 in PBS are $\sim3.62 \times 10^{-4}$, $\sim6.24 \times 10^{-4}$ and $\sim8.50 \times 10^{-4}$ mg/mL, respectively. These CMC values in PBS are slightly higher than water and the size of the micelles are also stable in PBS (pH = 7.4) at various concentration (Figure S1, see supporting information). The stability of the micelles shows their potential as a drug nanocarrier in biological applications. [40]

In Figure 4a, the TEM measurement of M2 micelles showed spherical shapes with an average diameter of 47.5 nm. The hydrodynamic diameter of the M2 micelles was also examined by DLS and the average diameter was 68.7 nm (Figure 5). The average diameter of the micelles is somewhat larger than a theoretical value which may be calculated from a model of chain folding based on the chain length and molecular weight. This can be attributed to the poorly defined structure of the block copolymers. However, the size of micellar aggregates is belong to the suitable size range (40–200 nm) of the enhanced permeability and retention(EPR) effect, while the size is large enough to preclude fast renal clearance [41,42].

Longer circulation allows the micelles to accumulate to a large enough size to preclude fast renal clearance [41,42].
renal clearance [41,42]. Longer circulation allows the micelles to accumulate to a greater extent in areas with a defective or leaky vasculature such as tumors via the EPR effect. Therefore, we investigated the in vitro drug loading and release study using the M2 micellar aggregates.

![Figure 4. TEM study of (a) blank micelles and (b) doxorubicin (DOX)-loaded micelles of M2.](image)

2.3. In Vitro Drug Loading and Release Study

DOX was incorporated into the core of M2 micelles using the dialysis system. The average diameter of the drug-loaded micelles measured to be 71.2 nm by TEM (Figure 4b) and 112.3 nm by DLS (Figure 5). The results revealed that the size of the DOX-loaded M2 micelles was greater than the corresponding blank micelles [22]. The drug-loading efficiency (DLE) and drug-loading content (DLC) of the drug-loaded M2 micelles were calculated to be 81.7% and 9.1%, respectively. The in vitro release of DOX from M2 micelles was evaluated at different pH values (7.4 and 6.4, PBS) under physiological conditions. As shown in Figure 6, the cumulative release of DOX from drug-loaded micelles (M2) was ~35% at pH 7.4 after 48 h. However, the DOX release from the M2 micelles was improved and extended to 56.0% at pH 6.4 after 48 h, which was attributed to the presence of the primary amine [43,44].

![Figure 5. Dynamic light scattering (DLS) study of (a) blank micelles and (b) DOX-loaded micelles of M2.](image)
group in DOX as expected \cite{43,44}. The primary amine group (pK\text{a} = 8.3) of DOX is more protonated at pH 6.4 and thus its solubility in PBS increases, resulting in more favorable release from the polymer micelles. Therefore, the observed increment in DOX release rate at acidic pH (6.4) can be treated as a benefit for an anticancer targeting drug-delivery system.

![Drug-release profile of DOX-loaded M2 in PBS (pH = 7.4/6.4) at 37 °C.](image)

**Figure 6.** Drug-release profile of DOX-loaded M2 in PBS (pH = 7.4/6.4) at 37 °C.

### 3. Conclusions

In summary, we developed the amphiphilic miktoarm star block copolymers of AB\textsubscript{2}-type containing PLGA hydrophobic polymers and PNAM hydrophilic polymers, synthesized through ROP and RAFT techniques. The size and chemical structure of the miktoarm star block copolymers were analyzed by GPC and \textsuperscript{1}H NMR. The critical micelle concentrations of the miktoarm star block copolymers were determined by DLS. The miktoarm star block copolymers self-assembled to form micelles in water and the resulting micelles were examined by TEM and DLS. DOX was efficiently encapsulated into the micelles with a DLE of 81.7\% and DLC of 9.1\%. The in vitro drug-release profile of DOX-loaded micelles indicated that as the pH reduces from 7.4 to 6.4, DOX release became faster. The amphiphilic PLGA-b-PNAM\textsubscript{2} miktoarm star block copolymers may find broad application as nanocarriers in controlled drug delivery.

### 4. Materials and Methods

#### 4.1. Materials

Glycolide and D,L-lactide (99.0\%, Sigma-Aldrich, Korea) were recrystallized in ethyl acetate. \textit{N}-acryloylmorpholine (98.0\%, TCI, Seoul, Korea) was purified by distillation under reduced pressure. Doxorubicin hydrochloride (DOX.HCl) was kindly supplied by Boryung Pharmaceutical Co., Ltd. (Seoul, Korea). AIBN (98\%, Sigma-Aldrich, Seoul, Korea), dichloromethane (CH\textsubscript{2}Cl\textsubscript{2}, TCI), tetrahydrofuran (THF, TCI, Korea), benzoic acid (Sigma-Aldrich, Korea), dimethylformamide (DMF, Sigma-Aldrich, Korea) and 1,8-diazabicyclo [5.4.0] undec-7-ene (DBU, 98\%) were purchased from Sigma-Aldrich (Korea).
4.2. Synthesis of 2-Ethyl-2-(Hydroxyl Methyl) Propane-1, 3-Diyl Bis(2-((Ethoxy Carbonothioyl) Thio) Propanoate) (Miktoarm Initiator)

A detailed methodology for the synthesis of the miktoarm initiator is described in the Supporting Information.

4.3. Synthesis of PLGA Copolymer by ROP

The PLGA copolymer was prepared by ROP of D, L-lactide and glycolide using the miktoarm initiator and DBU catalyst. In brief, 1.0 g (6.93 × 10^{-2} mol) of D, L-lactide and 4.0 mL of dry DCM was placed in a 100-mL dry RB flask under nitrogen atmosphere in a glove box. Then, 20 mg (1.20 × 10^{-4} mol) of the miktoarm initiator and 10 µL (10 mg, 3.9 × 10^{-5} mol) of DBU were added to the above RB flask. The reaction mixture was stirred at room temperature. Then, the solution of 0.3 g of glycolide in 2.0 mL of THF was dropwise added to the reaction mixture and the reaction continued for 5 min. Then, the polymerization was stopped by adding 39 mg (3.9 × 10^{-5} mol) of benzoic acid. The obtained copolymer was purified by precipitating in cold methanol (−10 °C). The copolymer was precipitated in methanol twice and finally dried under vacuum at room temperature for 24 h.

\[ \delta (ppm) = 5.1–5.25 (1H_b), 4.88–478 (2H_a), 4.65–4.58 (4H_k), 4.4–4.3 (2H_d), 4.30–4.26 (2H_h), 4.1–4.0 (2H_e), 1.62–1.20 (3H_c + 6H_i + 6H_l), 0.87–0.85 (3H_f). \]

\[ M_n(NMR) = 4800 \text{ g mol}^{-1}, \quad M_n(GPC) = 5300 \text{ g mol}^{-1} \text{ and } \theta = 1.20. \]

4.4. Synthesis of PLGA-b-PNAM Miktoarm Star Block Copolymers by RAFT Polymerization (M1)

The PLGA-b-PNAM miktoarm star block copolymers were prepared by the RAFT method. Typically, into a dried reaction flask, 0.1 g (0.020 mmol) of the PLGA macro initiator, 0.15 g (1.04 mmol) of NAM and 0.7 mg (0.010 mmol) of AIBN in 4 mL of dry DMF were added. After purging with N₂ gas for 30 min, the reaction started at 80 °C with magnetically stirring for 8 h. The polymerization was quenched by exposing it to air in an ice bath. The product was purified by precipitation from cold diethyl ether (−10 °C) and dried in a vacuum oven at 40 °C for 24 h, yielding a white solid (0.22 g, 88%).

\[ \delta (ppm) = 5.25–5.12 (1H_b), 4.88–478 (2H_a), 4.65 (4H_k), 3.9–3.1 (8H_r), 2.78–2.4 (2H_q), 1.92–1.65(1H_p), 1.58–1.20 (3H_k + 6H_i + 6H_l), 0.85–0.83 (3H_f). \]

\[ M_n(NMR) = 10,670 \text{ g mol}^{-1}, \quad M_n(GPC) = 11,600 \text{ g mol}^{-1} \text{ and } \theta = 1.38. \]

4.5. In Vitro Drug Loading and Release Study

Drug loading and drug release of M2 micelles were carried out by following the reported methods [22,43]. In brief, DOX-loaded M2 micelles was geared up by dialysis method. Thirty milligrams of PLGA-b-PNAM₂ was dissolved in 2.0 mL of DMSO and the mixture was stirred at room temperature for 6 h. Further, 3.0 mg of DOX.HCl was added dropwise, followed by the addition of 3.5 µL of triethylamine. The reaction mixture was left to stir at room temperature for 24 h. Then, the resultant solution was dialyzed (MW cut off = 3500 Da) against of distilled water, which was renewed periodically for every 2 h during the course of initial 15 h, then every 4 h to remove the unloaded drug for 24 h. After the dialysis, dialyzed drug-loaded polymer solution was filtered and lyophilized. In order to determine the DLC and DLE, lyophilized drug-loaded polymer was dissolved in PBS at pH 7.4 and analyzed by UV absorbance at 485 nm, using a standard calibration curve which was experimentally obtained with different concentrations of DOX/PBS (pH = 7.4) solutions. DLC and DLE were calculated according to the following formula:

\[ \text{DLC (wt%) = } \left( \frac{\text{Weight of loaded drug}}{\text{Weight of polymer}} \right) \times 100 \]

\[ \text{DLE (wt%) = } \left( \frac{\text{Weight of loaded drug}}{\text{Weight in feed}} \right) \times 100 \]

For the drug-release study, 5.0 mg of the DOX-loaded polymer was dissolved in 1.0 mL of PBS (pH = 7.4) and transferred into a dialysis tube (MW cut off = 3500 Da). Then the tube was placed into
10 mL of PBS. The system was kept under stirring at 37 °C. At a definite interval, 3.0 mL of the PBS solution was taken out, and the solution was replenished with 3 mL of a fresh PBS solution after each sampling. The amount of drug released was estimated by UV spectroscopy at 485 nm.

4.6. Characterization

The chemical structure, $M_n$ and $D$ of the prepared miktoarm star block copolymers were measured by $^1$H NMR (JEOL, 600 MHz) and GPC (HP 1100 pump, RID detector, PL gel column). The micellar solution of the miktoarm-block copolymer was prepared by dialysis technique. The cmc was determined using a dynamic laser light scattering (Malvern Panalytical’s Zetasizer-1008082, Malvern Panalytical Ltd, Great Malvern, UK). A series of solutions ranging from 1.0 to $1 \times 10^{-6}$ mg/mL was prepared from an aqueous/PBS stock solution of miktopolymer at a concentration of 1% (w/v). For transmission electron microscopy (TEM; JEOL JEM-2010,Hitachi Ltd., Tokyo, Japan), samples were prepared by dropping micellar solutions on carbon coated copper grids. No staining was applied to the sample.

Supplementary Materials: The following are available online at http://www.mdpi.com/1996-1944/13/17/3713/s1, Synthesis of 2-ethyl-2-(hydroxyl methyl) propane-1, 3-diyl bis(2-((ethoxy carbonthioyl) thio) propanoate) (miktoarm initiator, Figure S1: (a) Plot of the counter rate versus the concentration of M1, M2 and M3 in water. (b) Plot of the micellar size in diameter versus the concentration of M1, M2 and M3 in PBS (pH = 7.4) at 37 °C.

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