First-Principles Reaction Dynamics beyond Six-Atom Systems

Gábor Czakó, Tibor Győri, Dóra Papp, Viktor Tajti, and Domonkos A. Tasi

I. INTRODUCTION

Accurate first-principles reaction dynamics studies began with the three-atomic H + H₂ system in the 1970s and arrived to the six-atom reactions in the 2000s and early 2010s. The first-principles theoretical methodology is based on the Born-Oppenheimer potential energy surface (PES) obtained from clamped-nuclei electronic structure calculations followed by nuclear dynamics computations using either quasi-classical or quantum methods. Following the pioneering work on H + CH₄ in 2011, Czakó and Bowman developed a high-quality full-dimensional PES for the six-atomic Cl + CH₄ reaction, which, for the first time, provided excellent agreement with the measured HCl rotational distribution, thereby confirming the fact that the quasi-classical trajectory (QCT) method can well describe the dynamics of polyatomic reactions if an accurate PES is used. Furthermore, the new PES initiated several other theoretical studies for the Cl + CH₄ reaction complementing and reproducing the cross-experimental results of Liu and coworkers. Besides H/Cl + CH₄, the ab initio PES-based first-principles approach has been successfully applied to other similar systems, such as the F, O, Br + CH₄ reactions. Moreover, for H + CH₄ full-dimensional quantum dynamics computations were also performed in 2013 using the multiconfiguration time-dependent Hartree approach, thereby arriving at a similarly accurate description of six-atom systems as it was possible for three-atom reactions in the 1970s. Following the success of atom + methane simulations, in 2013 we developed the first high-level full-dimensional ab initio PES for a bimolecular nucleophilic substitution (SN₂) reaction, namely, F⁻ + CH₃Cl. This study opened the door for accurate reaction dynamics simulations for six-atomic ion–molecule reactions such as F⁻ + CH₃Y [Y = F, Cl, Br, I] revealing a new double-inversion mechanism and front-side complex formation in SN₂ reactions as well as allowing quantitative comparison with the crossed-beam experiments of the Wester group.

The next challenge for reaction dynamics computations could be moving beyond six-atom systems. Due to the large number of degrees of freedom, in the past mostly approximative methods were applied to reactions involving more than six atoms. The two major classes of these methods are reduced-dimensional PES-based approaches and direct dynamics simulations. Clary and coworkers used two-dimensional quantum methods to study the dynamics of large systems, such as reactions of H atom with CH₃NH₂, C₂H₆o, C₃H₈o, C₄H₁₀o, cyc-C₃H₆o, and Si(CH₃)₄. Direct dynamics, which computes the potential energies and gradients on-the-fly along quasi-classical trajectories, can be successfully applied to many complex systems, as demonstrated in the case of the OH⁻ + CH₃F/CH₃Cl, F⁻(H₂O) + CH₃I, and F⁻ + CH₃CH₂I reactions by Hase and coworkers. However, the approximations used in the above...
methods may compromise their accuracy. Reduced-dimensional methods may not capture the non-intrinsic-reaction-coordinate (non-IRC) dynamics, while direct dynamics can only afford using low level of electronic structure theory and/or computing a small number of trajectories. Note that Troyna \(^{30}\) used reaction-specific semiempirical Hamiltonians to improve the efficiency of the direct dynamics simulations.

In the present Perspective we discuss how to extend the accurate first-principles full-dimensional methodology applied successfully for six-atom systems toward larger 7−10-atom reactions. This post-six-atom age of accurate full-dimensional PES-based reaction dynamics has just recently started with the investigations of the O + C\(_2\)H\(_6\) \(^{31}\) OH + CH\(_4\) \(^{32}\) H/F/Cl/OH + CH\(_3\)OH \(^{33−36}\) F/Cl/O/H/CH\(_3\)OH \(^{37−40}\) OH\(^−\) + CH\(_3\)I \(^{41}\) and \(\delta\)F\(^−\)(H\(_2\)O) + CH\(_3\)I \(^{42}\) reactions. Furthermore, using empirical valence bond PESs the dynamics of the Cl + CH\(_3\)H/C\(_2\)H\(_2\) reactions was also investigated.\(^{43,44}\) Besides the bimolecular reactions, we should also note the pioneering work of Bowman and co-workers on CH\(_3\)CHO photodissociation \(^{45} \) and their recent advances on efficient PES developments for many-atom systems such as CH\(_3\)NHCOCH\(_3\) (N-methylacetamide) and NH\(_2\)CH\(_2\)COOH (glycine).\(^{46}\) These first-principles studies have three key steps. First, the stationary points of the PES should be characterized, thereby guiding the dynamical studies and enabling easy validation of the accuracy of key regions during the development of the fitted surface. Second, an analytical PES is developed by fitting high-level ab initio energy points. Third, the dynamics is investigated using either the QCT or time-dependent reduced-dimensional quantum dynamics methods. In our group we work on all three steps of the reaction dynamics studies and in sections II and III we give some details about the techniques used emphasizing our efficient composite approaches toward computing accurate potential energies and our software development efforts toward reducing the amount of human labor required for constructing the fitting sets for larger, high-complexity systems. Then in section III we focus on three reactions involving 7 and 9 atoms for which we developed full-dimensional ab initio PESs in 2020.\(^{37,38,41}\) The three systems represent different challenges during the PES developments from the electronic structure point of view. Cl + C\(_2\)H\(_6\) is a less complicated case,\(^{38}\) for F + C\(_2\)H\(_6\) the Hartree–Fock method fails in the entrance channel,\(^{37}\) and OH\(^−\) + CH\(_3\)I suffers from a serious breakdown of the gold-standard CCSD(T) method.\(^{41}\) We show how to solve these problems and provide comparisons with experiments\(^{37−49}\) demonstrating the power and accuracy of first-principles reaction dynamics for post-six-atom systems. The Perspective ends with conclusions and our points of view on the future of the field in section IV.

II. METHODS

II.A. Benchmark ab Initio Characterization of the Stationary Points. Following the concept of the focal-point analysis\(^{30}\) and other thermochemical procedures such as CBS-\(n\),\(^{51}\) Gn,\(^{52}\) Wn,\(^{53}\) HEAT,\(^{54}\) etc., we have been using a composite ab initio approach\(^{55}\) to determine the best technically feasible structures and relative energies for the stationary points of reactive PESs. Following an initial stationary-point search at the relatively cheap MP2/aug-cc-pVDZ level of theory, we optimize the minima and transition states at the explicitly correlated CCSD(T)-F12b/aug-cc-pVTZ level of theory,\(^{46,57}\) providing benchmark structures and harmonic vibrational frequencies. The best relative energies are obtained at the benchmark geometries using the following composite expression\(^{36}\)

\[
\text{CCSD(T)/CBS} + \Delta_{\text{core}} + \delta[\text{CCSDT}] + \delta[\text{CCSDT(Q)}] + \Delta_{\text{rel}} + \Delta_{\text{SO}} + \Delta_{\text{ZPE}}
\]

The complete-basis-set limit of the coupled-cluster, singles, doubles, and perturbative triples method (CCSD(T)/CBS) can be approached within about 0.1 kcal/mol by explicitly correlated CCSD(T)-F12b/aug-cc-pV\(n\)Z computations using \(n = 4\) (Q) or 5 depending on system size. Core electron correlation corrections (\(\Delta_{\text{core}}\)) are usually obtained as difference between all-electron and frozen-core energies at the CCSD(T)-F12b/cc-pVTZ-F12 level. Post-\(\text{T}\) correlation energy increments are defined as \(\delta[\text{CCSDT}] = \text{CCSDT} - \text{CCSD(T)}\) and \(\delta[\text{CCSDT(Q)}] = \text{CCSDT(Q)} - \text{CCSDT}\). The CCSD(T) \(\text{Q}\) computations can be carried out with the Mrcc program package\(^{56,58}\) using the cc-pVDZ and/or aug-cc-pVDZ basis set(s) owing to the extremely high computational cost of the CCSD(T) method. Scalar relativistic corrections (\(\Delta_{\text{rel}}\)) can be obtained by difference between Douglas–Kroll\(^{60}\) and non-relativistic all-electron energies obtained at the CCSD(T)/triple-zeta level. Spin–orbit corrections (\(\Delta_{\text{SO}}\)), relevant for some open-shell systems, such as reactions of halogen atoms, can be obtained with the Breit–Paul Hamiltonian in the interacting-states approach\(^{61}\) at the MRCl/Q/aug-cc-pV\(n\)Z \(n = 2\) (D) or 3\(\text{T}\) level of theory. Zero-point energy corrections (\(\Delta_{\text{ZPE}}\)) needed to obtain experimentally relevant adiabatic energies from the classical ones, are usually obtained at the CCSD(T)-

II.B. Automatic Potential Energy Surface Development. The three main steps/challenges of the analytical PES developments are the (1) selection of the nuclear configurations, (2) electronic structure computations, and (3) fitting the energy points. (1) is based on randomly displaced stationary-point geometries and/or configurations along trajectories obtained on a preliminary PES and/or by direct dynamics. (2) is performed by standard program packages like MOLPRO\(^{62}\) using carefully chosen electronic structure methods and basis sets, such as CCSD(T)-F12b/aug-cc-pVTZ,\(^{36,57}\) which is the current state-of-the-art in the field. In the case of some systems, the use of the CCSD(T) method becomes problematic due to either Hartree–Fock convergence issues or the breakdown of the perturbative \(\text{T}\) correction. Examples and solutions for these problems are described in section III. Following the groundbreaking work of Braams and Bowman\(^{63}\) (3) can be done by using the permutationally invariant polynomial (PIP) method implemented via primary and secondary invariants\(^{65}\) or an alternative implementation of PIP called the monomial symmetrization approach (MSA).\(^{64}\) The former is more efficient but currently implemented for a limited number of system types beyond six atoms, whereas the latter uses automatic code generation to be able to handle arbitrary systems; thus, we used the MSA program\(^{66}\) to fit PESs for the F/Cl + CH\(_3\)I reactions.\(^{37,38}\) While we used PIP exclusively for our PESs to date and thus have no direct experience with other fitting methods, it is also important to note that in the recent past promising neural-network-based
fitting strategies started to become widespread. The above three steps are usually carried out multiple times, thereby iteratively improving the PES. Recently, we developed a program system, called ROBOSURFER, which automatically performs the iterative procedure as shown in Figure 1. ROBOSURFER first fits the initial geometries which may be generated by randomly displacing stationary-point structures and randomly scattering fragments in the reactant and product channels. Then the following steps are carried out: (a) Running trajectories and/or looking for holes (unphysical minima) by the Monte Carlo- and Newton-type minimum search method-based HOLEBUSTER subprogram. (b) Filtering geometries obtained in (a) based on a permutationally invariant exponentially weighted root-mean-square-deviation (PI-EW-RMSD) distance metric as a measure of structural similarity with the configurations in the fitting set. The larger the PI-EW-RMSD value, the more likely that the fitting error is large and the corresponding structure improves the PES. (c) Performing electronic structure computations at the selected geometries. (d) Iterative addition of the geometries to the fitting set and refitting until the largest fitting error of the spare geometries becomes less than the target accuracy or until every point is added. ROBOSURFER automatically goes through the above steps from (a) to (d) iteratively until the desired accuracy of the PES is achieved. The quality of the PES is checked by examining root-mean-square fitting errors (low, <1 kcal/mol), comparing stationary-point properties and one-dimensional potential cuts with benchmark data (good agreement), and most importantly, running trajectories and searching for unphysical products (zero or negligible probability), where the desired outcomes are given in parentheses.

II.C. Reaction Dynamics Computations. The analytical PESs allow efficient dynamics simulations using the QCT and/or quantum dynamics methods. The former can be done in full dimensions and the analytical PESs ensure both efficiency and accuracy via fast numerical or analytical gradient evaluations using the PESs and the high-level of ab initio theory used for the PES developments, respectively. Currently, the latter method can be used in reduced dimensions beyond six-atom systems. Quantum dynamics has the advantage of correctly describing quantum phenomena like zero-point vibration, tunneling, and resonances, but the reduced-dimensional model may compromise the proper description of complex, non-IRC reaction pathways involving the coupling of high number of degrees of freedom. Note that QCT also incorporates some quantum effects into the initial conditions and one may use the one-dimensional Gaussian binning (1GB) method to analyze the polyatomic products in the "quantum spirit". Between QCT and quantum dynamics RPMD seems to be a promising tool to provide accurate results especially for rate coefficients.
III. APPLICATIONS

We demonstrate the success of the first-principles methodology described in section II for post-six-atom systems by briefly discussing recent applications and results from our group on the Cl + C₂H₆, F + C₂H₆, and OH + CH₄ reactions. As highlighted below, the three systems pose different challenges, whose solutions may be found useful in similar future investigations. In all cases we used ROBOSURFER to automatically develop the full-dimensional PESs and the dynamics was studied by the QCT method.

III.A. CCSD(T)-F12 Success: The Cl + C₂H₆ Reaction. The full-dimensional PES for the Cl(²P₃/₂) + C₂H₆ reaction was obtained by fitting 17 101 energy points with a fifth-order polynomial of Morse-like variables resulting in 3234 coefficients. The ab initio energies were computed at the UCCSD(T)-F12b/aug-cc-pVDZ + RMP2-F12/aug-cc-pVTZ + RMP2/aug-cc-pVDZ + ΔSO(MRCI+Q/aug-cc-pVDZ) composite level of theory, thereby obtaining spin–orbit-corrected UCCSD(T)-F12b/aug-cc-pVTZ-quality results at a significantly lower computational cost. As Figure 2 shows, the Cl(²P₃/₂) + C₂H₆ → HCl + C₂H₆ reaction has a small classical barrier (ΔEₜₚ = 2.21 kcal/mol) and is endothermic (ΔE = 2.04 kcal/mol) without ZPE correction, whereas the vibrationally adiabatic reaction pathway is exothermic (ΔH₀ = −3.06 kcal/mol) with a submerged transition state (ΔEₜₛ = −2.12 kcal/mol). The relative energies of the stationary points on the PES agree with the relativistic all-electron CCSDT(Q)/CBS-quality benchmark data, within 0.1–0.4 kcal/mol showing the excellent performance of the fit and the above composite ab initio method. Furthermore, dynamics simulations on the PES gave HCl rotational distributions in unprecedented agreement with Cl + C₂H₆ experiments reproducing the cold distributions with a peak at J = 1 as shown in Figure 3. These results demonstrate that in 2020 we reached a level of accuracy for the nine-atomic Cl + C₂H₆ system that was possible for the six-atomic Cl + CH₄ reaction in 2011.

III.B. Hartree–Fock Failure Solved by MRCI-F12: The F + C₂H₆ Reaction. When we started to build the PES for the F + C₂H₆ reaction, we found that the Hartree–Fock method, both restricted and unrestricted, failed to converge for almost all the configurations selected by ROBOSURFER in the entrance channel, thereby stopping the automatic PES construction. Therefore, we chose to use the Davidson-corrected explicitly correlated multireference configuration interaction (MRCI-F12+Q) method with the aug-cc-pVDZ basis set instead to compute the ab initio energies. Based on three doublet electronic states and a minimal active space (5e,3o), we avoid the convergence problems, and using the interacting-states approach as implemented in MOLPRO, we obtain the energies for the ground spin–orbit state. The fifth-order PES fitting 15 178 energies reproduces the MRCI-F12+Q stationary-point data within 0.4 kcal/mol, confirming the accuracy of the fit (see Figure 4); however, the benchmark exothermicity is underestimated by 2.5 kcal/mol due to the insufficient description of dynamical electron correlation with MRCI if a small active space is used. Note that dynamical weighting in MRCI may improve the accuracy of the ground-state MRCI energy like in ref 70 in the case of the F + H₂O reaction. The H-abstraction pathway of the F + C₂H₆ reaction is highly exothermic and goes through an early, slightly submerged transition state and a post-reactation complex, as seen in Figure 4. As expected for an early barrier exothermic reaction, the QCT simulations give vibrationally excited HF products with the highest populations for the v = 2 and v = 3 states, in good agreement with the experiment of Nesbitt and co-workers (Figure 5). Furthermore, the vibrationally resolved HF rotational distributions are also in excellent qualitative or even semiquantitative agreement with experiment, as shown in Figure 5, as well. Li and co-workers achieved similar accuracy for the rotational distributions in the case of the F/CI + CH₃OH reactions, showcasing again the remarkable performance of the current state-of-the-art of the field.

III.C. CCSD(T) Failure Solved by a Composite Method: The OH⁻ + CH₃I Reaction. The seven-atomic OH⁻ + CH₃I reaction has a very complex global PES, whose highly exothermic SO₂ pathways are shown in Figure 6. The nontraditional Walden-inversion pathway goes through submerged H-bonded minima (HMIN and PostHMIN) and a transition state (HTS), whereas retention can occur via a relatively high front-side attack barrier (FSTS) or a submerged double-inversion pathway (DITS). Note that as Hase and co-workers pointed out for the Walden inversion of OH⁻ + CH₃F₂
and the double inversion of F$^-$ + CH$_3$I, the present reaction may not follow the IRC pathways. Besides the Sn2 channel, proton transfer forming H$_2$O + CH$_3$I$^-$ can also occur via a barrier-less exothermic pathway. In the past only direct dynamics simulations could be performed for the OH$^-$ + CH$_3$I reaction due to the lack of an analytical PES. Recently, we developed such a PES utilizing the ROBOSURFER program system. This PES development was not without complications, because the CCSD(T)-F12b method, which does not use the perturbative approximation for triples, also provides a positive energy of 30 kcal/mol. We found that the Brueckner-orbitals-

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based BCCD(T) method\textsuperscript{73} gives reasonable energies for the problematic structures; therefore, we proposed a composite energy expression of CCSD-F12b/aug-cc-pVTZ + BCCD(T)/aug-cc-pVDZ = BCCD/aug-cc-pVDZ, which ensures the fast basis-set convergence with CCSD-F12b and incorporates the (T) correlation with the more robust Brueckner-type coupled-cluster approach. As seen in Figure 7, this composite method provides CCSDT-quality relative energies at significantly less computational cost and, as Figure 6 shows, the composite PES gives stationary-point relative energies in good agreement with the relativistic all-electron CCSDT(Q)/CBS-quality benchmark data\textsuperscript{74} with a maximum deviation of 0.53 kcal/mol. Figure 7 also shows the reaction probabilities on the CCSD(T)-F12b PES and on the composite PES. As seen, the $S_{2,2}$ and proton-transfer opacity functions are similar on the two different PESs, which is comforting; however, the CCSD(T)-F12b PES results in unphysical trajectories (energetically nonavailable products) with significant probabilities, for example, 13\% at zero impact parameter, whereas the unphysical probabilities become negligible on the composite PES. Thus, it appears that the present composite method will be useful for PES developments for similar systems, especially where homolytic C–I bond cleavage may take place.

IV. CONCLUSIONS AND FUTURE DIRECTIONS

First-principles theory has arrived to a new age where accurate simulations can be performed for chemical reactions involving more than six atoms. The three major steps of the reaction-dynamics methodology are (1) the benchmark ab initio characterization of the stationary points, (2) potential energy surface developments, and (3) reaction dynamics simulations. We propose high-level composite methods for (1), the use of the Robosurfer program package\textsuperscript{18} for (2), and QCT or reduced-dimensional time-dependent quantum methods for (3). Since we reported Robosurfer in 2020,\textsuperscript{18} we have already developed automatically three PESs for post-six-atom reactions, namely, for the Cl + C\textsubscript{11}H\textsubscript{22}, F + C\textsubscript{11}H\textsubscript{22} and OH\textsuperscript{−} + CH\textsubscript{3}I systems.\textsuperscript{37,38,41} Furthermore, the benchmark ab initio mapping of the complex PESs for several other systems, such as OH\textsuperscript{−} + CH\textsubscript{3}F,\textsuperscript{74} NH\textsubscript{3}\textsuperscript{−} + CH\textsubscript{3}F,\textsuperscript{75} F\textsuperscript{−} + CH\textsubscript{2}CH\textsubscript{2}Cl,\textsuperscript{76} and OH + C\textsubscript{3}H\textsubscript{6}\textsuperscript{−} with 7, 8, 9, and 10 atoms, respectively, were recently published in our group and full-dimensional PES developments are underway. We expect that in the new decade automatic, perhaps black-box, PES developments for post-six-atom reactions will become widespread allowing accurate dynamical investigations of multi-channel reactions as prototypes of complex reaction networks. Full-dimensional quantum dynamics treatment may be extended for seven-atoms systems, new reduced-dimensional quantum models may be developed for 7–10-atom reactions, and the RPMD technique may be utilized for bimolecular reaction dynamics beyond its usual kinetics applications. Of course, reaction dynamics studies cannot avoid electronic structure theories, where the explicitly correlated F12 methods\textsuperscript{56} started to become the state-of-the-art in the 2010s. We may use MRCI where single-reference methods fail as we showed for F + C\textsubscript{11}H\textsubscript{22},\textsuperscript{37} however, approaching the accuracy of CCSD(T) with MRCI for describing nonstatic electron correlation is usually prohibitive or requires a very large active space. If the perturbative (T) approximation breaks down, a Brueckner-type coupled-cluster-based composite method could be useful as shown for OH\textsuperscript{−} + CH\textsubscript{3}I.\textsuperscript{41} Furthermore, quasi-variational coupled-cluster methods were developed recently,\textsuperscript{58} which also showed promising behavior in our dynamics studies.\textsuperscript{41,79}

Finally, we emphasize that several first-principles reaction dynamics studies proved that theory is capable of providing results in excellent agreement with experiment. We hope that new experiments will also be carried out for post-six-atom reactions, thereby moving the field forward in hand with theory.

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Notes

The authors declare no competing financial interest.

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Gábor Czakó received his Ph.D. at Eötvös University, Hungary (2007), and became a postdoctoral fellow at Emory University, USA (2008–2011), and then a research associate at Eötvös University (2011–2015). He is currently an associate professor, the head of the
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Tibor Györi obtained his B.Sc. and M.Sc. degrees in chemistry at the University of Szeged, Szeged, Hungary, in 2016 and 2018, respectively. He took 1st place at the University Competition of Research Students and his M.Sc. dissertation received the Excellence Prize of the Hungarian Chemical Society. He is currently a third-year Ph.D. student at the University of Szeged in the group of Gábor Czakó. He is the developer of ROBOSURFER, a program package for automatic construction of reactive potential energy surfaces. He has been working on several first-principles reaction dynamics studies involving challenging electronic structure problems.

Dóra Papp received her Ph.D. in theoretical chemistry at Eötvös University, Budapest, Hungary, in 2017 and then she joined Gábor Czakó’s group as a postdoctoral researcher at the University of Szeged, Hungary, in 2018. As an undergraduate student she did research in computational protein modeling. She took 1st place at the Eötvös University Competition of Research Students and won the Scholarship of the Hungarian Republic, and the Campus Hungary Scholarship for a half-year-long research project at Chalmers University, Gothenburg, Sweden. During her Ph.D. research she developed and applied a program that computes energies and lifetimes of ro-vibrational resonance states of weakly bound polyatomic molecules. Her current research involves full-dimensional ab initio PES developments and dynamics investigations for the F/Cl + C₂H₆ and other reactions.

Viktor Tajti received his B.Sc. in molecular bionics engineering and M.Sc. in info-bionics engineering at the University of Szeged, Szeged, Hungary, in 2017 and 2019, respectively. In 2018 he took 2nd place at the University Competition of Research Students. He is currently a third-year Ph.D. student at the University of Szeged in the group of Gábor Czakó. He has implemented several computer codes used in the group and continues his undergraduate research on the dynamics of the F⁻ + CH₃CH₂Cl reaction.

Domonkos A. Tasi obtained his B.Sc. and M.Sc. degrees in chemistry at the University of Szeged, Szeged, Hungary, in 2015 and 2017, respectively. During his undergraduate studies he worked on an alternative interpretation of toxicity of metal oxide nanoparticles towards bacteria E. coli. Then he joined the Computational Reaction Dynamics Research Group and he is currently a fourth-year Ph.D. student supervised by Gábor Czakó. In 2018 he received the National Young Talent Scholarship, and in 2019 he obtained a Talent Scholarship in the Ph.D. category. His current research focuses on benchmark ab initio and dynamics studies on S₅/2 reactions.

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