Pharmacological enhancement of motor function recovery in patients with acute ischaemic stroke: a trial of fluoxetine

Abstract

Background: Hemiparesis and hemiplegia are sequelae of stroke and constitute major disability. Studies have shown heightened brain plasticity in the first one month post stroke with positive influence on motor recovery. We set out to identify a role for early commencement for the drug fluoxetine in enhancing motor recovery in patients with acute ischaemic stroke.

Methods: This was a single-blind, randomized, controlled trial carried out at the University of Uyo Teaching Hospital, Uyo. Participants were patients older than 18 years of age with clinical and neuroimaging evidence of stroke, hemiparetic with FMMS < 55, NIHSS <16 and enrolled after giving informed consent. Block randomisation was done, with patients assigned to two groups in a 1:1 ratio; fluoxetine 20 mg once a day + hospital stroke protocol or hospital stroke protocol alone for one month starting as soon as patients were enrolled. Outcome measures were the FMMS and the Barthel index at enrolment and exit.

Results: Thirty eight participants were analysed using intention to treat principle. The mean change in FMMS showed a positive trend in the fluoxetine group. Furthermore, the mean Barthel index at exit in the fluoxetine group was significantly higher than the control group p<0.03.

Conclusion: Fluoxetine, if started in the acute phase of ischaemic stroke may improve functional status. It also shows a positive trend towards enhancing motor recovery.

Keywords: Stroke, ischaemic stroke, acute stroke, motor recovery, fluoxetine, Fugl meyer

Introduction

Current data places stroke mortality in Nigeria at an all high of 244,800 people every year with 30-day case fatality rates varying between 35% - 77%. This is high when compared with stroke mortality of 130000/year in the USA and 50000/year in the UK. About 3.4 – 5.9% of all stroke patients receive thrombolysis in the USA while the rate of thrombolysis in the UK was 9.6% in 2015. Poverty, lack of education and late presentations as well as a poor healthcare system are a few of the reasons advanced for inability to keep up with current standards of care in developing countries.

The endpoint of stroke is either mortality or survival. Of the survivors, there will be varying degrees of morbidity with neurological deficits while few will have full recovery. These deficits including hemiparesis often lead to permanent impairment, disabilities and reduced quality of life. Some persons adapt well to severe impairment whereas some others will be severely disabled. The functional status is assessed by measures of dependency like the Barthel index, and the modified Rankin scale. The Fugl Meyer Motor Score (FMMS) on the other hand is an adequate measure of residual motor function and recovery. Spontaneous functional brain recovery therefore leads to an improvement of the Barthel index and FMMS. This concept of spontaneous brain recovery after stroke occurs as a result of increased neural plasticity. Therefore, the prospect of modulating this neural plasticity using drugs with the aim of enhancing the rate and amount of recovery has generated much interest. An emphasis in clinical trials have been placed on drugs that increase the amounts of neurotransmitters in the brain including amphetamines, monoaminergic drugs, levodopa, methylphenidate and antidepressants such as the tricyclic anti-depressants and the selective serotonin re-uptake inhibitors (SSRI). Dextro-amphetamine was shown to enhance recovery after brain injury in animal studies when combined with lesion-specific motor training after experimental brain injury but insufficient evidence has been found in human studies. Levodopa on the other hand has been found to produce significant improvement in motor function in a human clinical trial when compared to placebo using the Rivermead Motor Assessment as outcome measure. Fluoxetine, a SSRI was also demonstrated to produce significant change in motor function compared to placebo in the FLAME trial. The goal of this trial was to evaluate the benefits of early commencement of fluoxetine in acute ischaemic stroke with respect to enhancement of motor function recovery in survivors.

Methods

Trial design

This was a single-blind randomized controlled trial that compared motor recovery between 2 groups of stroke patients: those on fluoxetine 20mg daily + standard therapy; and the control group who received standard therapy only. Sixty participants were enrolled in a 1:1 ratio and motor function and recovery were assessed using the FMMS, the Barthel index and the modified Rankin score.

Participants

The sample size was calculated using the formula for comparison of two means and each group had 30 participants. All the participants had acute supratentorial ischaemic stroke and presented within 14 days of ictus. Their ages were between 18 – 85 years. Enrolled were...
those with admission NIHSS < 16 with moderate to severe disability as dictated by an FMMS of < 55. All the participants gave consent or assent by proxy. Comatose patients, those with severe stroke and comorbidities and those with neuroimaging evidence of intracerebral haemorrhage were excluded. The study took place from January 2015 – May 2016 at University of Uyo Teaching hospital. Block randomization was used in the assignment of study participants. Permutated blocks of six (6) for two groups were drawn up. A random selection of possibilities was done using a list of random numbers generated with STATA 12. Allocation concealment was done using sequentially numbered envelopes. Randomisation and allocation concealment were done by the study statistician while the major investigators were responsible for the outcome assessments at baseline and exit. The investigators assistant did the allocation assignments and the dispensing of fluoxetine. All participants gave consent or assent by proxy, and Ethical approval was granted by the institutional H-REC and registered with the Pan African Clinical Trials Registry (PACTR 201412000967245).

**Outcomes**

The NIHSS was used to assess stroke severity at entry. The Barthel index, the Fugl Meyer Motor scale and the modified Rankin scale were administered to all participants at entry day 0 and at exit on day 30. Primary outcome was the change in the FMMS between entry and exit. Secondary endpoints included the changes in the Barthel index, and the modified Rankin score at entry and exit. Participants were also under observation for the adverse effects of fluoxetine. The trial was designed to have a greater than 90% power to detect a 25% change in the FMMS within the 30 days of treatment.

**Statistical methods**

Continuous variables were reported as means and SD. Categorical variables were reported as percentages. Baseline characteristics were compared by Student’s t-test/ Mann Whitney u test or π2 test as indicated. Statistical significance was defined as p< 0.05. Pairwise correlation was used to furnish probabilities for multiple comparisons. Data was analysed using intention to treat analysis. Other variables were then generated using STATA 12.

**Results**

A total of 60 patients were studied, 30 each in the Fluoxetine (Group A) or Control group (Group B). The mean age of participants in the fluoxetine group was 59±11 years compared to 62±9 years in the standard management only group. About 55.2% of participants in group A were males, compared to 44.8% in the group B. Mean blood pressures in both groups were similar, with similar frequency of hypertension; 80% in group A and 83.3% in group B. Group A had a higher percentage of participants with left hemiparesis, with 56.7%, compared to 43.3% in group B. The mean NIHSS severity scores were similar, 11.1 and 11.5 in groups A and B respectively. The MADRS scores also did not show any difference in both groups with a mean of 10.36 and 10.66 in groups A and B respectively. More participants in group B were in the low socioeconomic class (62.7%) compared to 50% in group A, but this was not statistically significant (Table 1). Participants in group B also had higher mean baseline Barthel index scores, but this was also not statistically significant with a p-value of 0.43. Baseline FMMS scores were similar for both groups (Table 2).

| GROUP A (n = 30) | GROUP B (n = 30) | P-value |
|------------------|------------------|---------|
| Age in years (mean, SD) | 59±(11) | 62±(9) | 0.25 |
| Sex | Female (freq, %) | 14(45.2%) | 17(54.8%) | 0.43 |
| | Male (freq, %) | 16(55.2%) | 13(44.8%) | |
| SES | Low (freq, %) | 15 (50%) | 19 (62.7%) | |
| | Middle (freq, %) | 15 (50%) | 11 (36.7%) | |
| | High (freq, %) | 0 | 0 | 0.30 |

*SES = Socio-economic status; ST – Standard management; Group A=fluoxetine + standard therapy; Group B= standard therapy only

**Table 1 Comparison of sociodemographic factor of both groups**

| GROUP A (n = 30) | GROUP B (n = 30) | P-value |
|------------------|------------------|---------|
| Obesity (freq, %) | 9(30%) | 14(46.6%) | 0.18 |
| Hypertension (freq, %) | 24(80%) | 25(83.3%) | 0.74 |
| SBP 0 (mean, SD) | 166±(34) | 173±(24) | |
| DBP 0 (mean, SD) | 93±(20) | 100±(28) | 0.84 |
| DM (freq, %) | 13(43.3%) | 10(33.3%) | 0.43 |

SBP, systolic blood pressure; DBP, diastolic blood pressure; DM, diabetes mellitus.

**Table 2** Risk factor characteristics of participants in both groups at baseline.

**Table 3 Stroke characteristics and measures of severity/disability at enrolment, day 0**

| GROUP A(n =30) | GROUP B(n=30) | P-value |
|----------------|-------------|---------|
| Paretic side (Right) (Freq, %) | 13(43.3%) | 17(56.7%) | 0.30 |
| (Left) (Freq, %) | 17(56.7%) | 13(43.3%) | |
| Duration of stroke in days (mean, SD) | 3±(3) | 3±(3) | 0.60 |
| Glasgow coma score(mean, SD) | 12±(2) | 12±(2) | 0.53 |
| NIHSS 0(mean, SD) | 11±(4) | 12±(4) | 0.61 |
| MADRS 0(mean, SD) | 10±(2) | 11±(2) | 0.71 |
| Barthel index 0(mean, SD) | 11±(17) | 15±(20) | 0.43 |
| modified Rankin Score (mean, SD) | 4±(1) | 4±(1) | 0.33 |
| FMMS 0(mean, SD) | 24±(20) | 21±(17) | 0.65 |
| Upper limb (mean, SD) | 15±(14) | 13±(13) | 0.73 |
| Lower limb (mean, SD) | 8±(6) | 8±(7) | 0.70 |

In the primary analysis the mean change in FMMS was marginally higher in the treatment group, 28±20 points compared to 23±23 points in group B (Table 4). However, this difference did not attain statistical significance, with a p-value of 0.27.
Mean Barthel index at day 30 was higher in the fluoxetine group achieving statistical significance with a p value of 0.03 (Table 5). Seventy percent of participants in group A achieved independence, defined as a score greater than 75 on the Barthel index at day 30 compared to 53% in group B (Figure 1).

**Mortality and survival**

The study recorded a case fatality of fifteen within the follow up period, seven (23%) in the intervention group and eight (27%) in the standard therapy group. Deaths were ascribed to complications of the stroke and poor nursing care at home. Aspiration pneumonitis with respiratory failure, sepsis from pressure sores and suspected pulmonary embolism were the suspected causes of death. However, eight (8) of these deaths occurred after discharge from the hospital. No confirmatory autopsy was done on any of the cases.

Survival analysis done using a Kaplan-Meier method showed a trend with marginally higher chance of survival in the fluoxetine and standard management group compared to group B at 14 days, though this was no longer present at 30 days (Figure 2). Incidence rate of death stood at 0.008 in group A and 0.011 in group B. However, log rank test for comparison was not significant at p-value of 0.74. Male: female ratio survival function combined was also not statistically different, p= 0.83.

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**Figure 1 Flow diagram.**
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Table 4 Comparing the FMMS in both groups at day 30

| DAY 30 GROUP A(n=19) | GROUP B(n=19) | P value |
|----------------------|---------------|---------|
| FMMS total           |               |         |
| mean(SD)             | 55(±26)       | 46(±25) | 0.32    |
| median(IQR)          | 52(31-83)     | 53(19-62) |         |
| Upper limb(mean, SD) | 36(±21)       | 27(±19) | 0.22    |
|                      | 33            | 33      |
| Lower limb(mean, SD) | 21(±8)        | 19(±9)  | 0.36    |
|                      | 18            | 17      |
| Change in FMMS from day 0-30(mean, SD) | 28(±20) | 23(±23) | 0.27 |

The difference in the mean changes in the total FMMS in both groups was tested for significance using the Mann-Whitney U test. Non-linearity of the data was confirmed by the Shapiro-Wilk test. Group A=fluoxetine + standard therapy; Group B= standard therapy only; n=19

Table 5 Comparison of the mean NIHSS score, mRS and Barthel Index (day 30)

|                       | GROUP- A(n=19) | GROUP- B(n=19) | P value |
|-----------------------|----------------|----------------|---------|
| NIHSS at day 30 (mean/SD) | 7(±3)         | 8(±3)         | 0.12    |
| mRS at day 30         |                |                |         |
| mean(SD)              | 3(±1)          | 3(±1)          | 0.35    |
| median(IQR)           | 3(2-4)         | 3(3-4)         |         |
| Barthel index at day 30 | 71(±33)       | 49(±30)       | 0.03    |
| mean(SD)              | 100(35-100)    | 50(25-75)      |         |
| median(IQR)           |                |                |         |

The level of significance of the difference between the means of both treatment groups was estimated using the Mann-Whitney U test. Group A=fluoxetine + standard therapy; Group B= standard therapy only; n=19

Cox proportional hazard ratio for age was 1.6% (1.016), implying that for every increase in age there was a 1.6% increased risk of death at 30 days. However this was not statistically significant, p= 0.53.

Adverse events

The most common adverse events reported were excessive lethargy and drowsiness by two (6%) participants in the fluoxetine group while three (10%) participants complained of nausea. Overall the drug was well tolerated.

Discussion

This study shows a trend for benefit in functional status of the patients although motor function recovery using FMMS in the group receiving treatment with fluoxetine was not significantly improved. This was not as convincing as the widely acclaimed multi-centre FLAME trial which examined 113 participants and detected a positive effect on motor function recovery as adjudged by changes in FMMS.16 The difference in the outcomes of both studies could be attributed to the longer duration of observation for participants in the FLAME trial, which was three months as compared to one month in our study.

Considering the correlations between motor function and functional status adjudged by FMMS and BI respectively, it can be interpreted that the trend for benefit shown using FMMS may correlate positively to the significant benefit in functional status using BI. This is logical considering that while the FMMS lays emphasis on the motor function of the paretic side; the Barthel index examines functions that require synergy in the use of both sides. The high case fatality rate is consistent with previous hospital data from the region. This is comparable to the 30-day case fatality rates between 26 and 28% in Ilorin, Lagos, and Ibadan 7,1,3. It may improve with better health care strategy and infrastructure including establishment of stroke units, patient education and strengthening of Primary health care.

Limitations

The small sample population might have affected the sensitivity and generalizability of the study. Secondly, serial assessment of biochemical and haematological parameters could have detected some adverse events that might have been missed. The exclusion of mild and very severe stroke cases meant that the result may not be generalizable for all strokes.

Conclusion

Fluoxetine, if started early in the acute phase of ischaemic stroke may improve functional status. It also shows a trend for enhancing motor recovery in the paretic side if commenced alongside physiotherapy. Larger studies to better define its benefit in acute stroke may be useful in providing another treatment option in resource poor countries.

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Conflicts of interest

All authors declare no conflicts of interest.
Pharmacological enhancement of motor function recovery in patients with acute ischaemic stroke: a trial of fluoxetine

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