### Part I: Analysis of Revenue and Expenses

| Line | Description                                                                 | Revenue (Part I, column (d)) | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|------|-----------------------------------------------------------------------------|------------------------------|-----------------------------------|--------------------------|------------------------|----------------------------------------------------------|
| 1    | Contributions, gifts, grants, etc., received (attach schedule)               | 72,500                       |                                   |                          |                        |                                                          |
| 2    | Check if the foundation is required to attach Sch. B                         |                              |                                   |                          |                        |                                                          |
| 3    | Interest on savings and temporary cash investments                           | 227                          | 227                               |                          |                        |                                                          |
| 4    | Dividends and interest from securities                                       | 339,501                      | 339,501                           |                          |                        |                                                          |
| 5a   | Gross rents                                                                  |                              |                                   |                          |                        |                                                          |
|      | b Net rental income or (loss)                                                |                              |                                   |                          |                        |                                                          |
| 6a   | Net gain or (loss) from sale of assets not on line 10                        | 647,068                      |                                   |                          |                        |                                                          |
|      | b Gross sales price for all assets on line 6a                                | 7,549,377                    |                                   |                          |                        |                                                          |
| 7    | Capital gain net income (from Part IV, line 2)                               | 647,068                      |                                   |                          |                        |                                                          |
| 8    | Net short-term capital gain                                                   | 0                            |                                   |                          |                        |                                                          |
| 9    | Income modifications                                                          |                              |                                   |                          |                        |                                                          |
| 10a  | Gross sales less returns and allowances                                      |                              |                                   |                          |                        |                                                          |
|      | b Less: Cost of goods sold                                                    |                              |                                   |                          |                        |                                                          |
| 11   | Other income (attach schedule)                                               | Stmt 1                       | 371                               | 249                      |                        |                                                          |
| 12   | Total. Add lines 1 through 11                                                 | 1,059,667                    | 987,045                           | 0                        |                        |                                                          |
| 13   | Compensation of officers, directors, trustees, etc.                          | 1,000                        | 1,000                             |                          |                        |                                                          |
| 14   | Other employee salaries and wages                                            |                              |                                   |                          |                        |                                                          |
| 15   | Pension plans, employee benefits                                              |                              |                                   |                          |                        |                                                          |
| 16a  | Legal fees (attach schedule)                                                 | Stmt 2                       | 15,500                            | 7,750                    | 7,750                  |                                                          |
|      | b Accounting fees (attach schedule)                                          | Stmt 3                       | 94,029                            | 83,229                   | 10,800                 |                                                          |
| 17   | Interest                                                                     | Stmt 4                       | 13,257                            | 2,507                    | 750                    |                                                          |
| 18   | Taxes (attach schedule) (see instructions)                                   | Stmt 5                       | 8,608                             | 8,608                    |                        |                                                          |
| 19   | Depreciation (attach schedule) and depletion                                 |                              |                                   |                          |                        |                                                          |
| 20   | Occupancy                                                                    |                              |                                   |                          |                        |                                                          |
| 21   | Travel, conferences, and meetings                                            |                              |                                   |                          |                        |                                                          |
| 22   | Printing and publications                                                    |                              |                                   |                          |                        |                                                          |
| 23   | Other expenses (attach sch.)                                                  | Stmt 6                       | 8,608                             | 8,608                    |                        |                                                          |
| 24   | Total operating and administrative expenses. Add lines 13 through 23         | 132,394                      | 93,486                            | 0                        | 28,908                 |                                                          |
| 25   | Contributions, gifts, grants paid                                             | Stmt 7                       | 751,070                           | 751,070                  |                        |                                                          |
| 26   | Total expenses and disbursements. Add lines 24 and 25                        | 883,464                      | 93,486                            | 0                        | 779,978                |                                                          |
| 27   | Subtract line 26 from line 12                                                 |                              |                                   |                          |                        |                                                          |
|      | a Excess of revenue over expenses and disbursements                           | 176,203                      |                                   |                          |                        |                                                          |
|      | b Net investment income (if negative, enter -0-)                             | 893,559                      |                                   | 0                        |                        |                                                          |

**For Paperwork Reduction Act Notice, see instructions.**

*Form 990-PF (2021)*

*OMB No. 1545-0047*
### Part II: Balance Sheets

#### Analysis of Changes in Net Assets or Fund Balances

|   |   |   |
|---|---|---|
|   |   |   |
|   |   |   |
|   |   |   |
|   |   |   |

#### Net Assets or Fund Balances

|   |   |   |
|---|---|---|
|   |   |   |
|   |   |   |
|   |   |   |
|   |   |   |

#### Liabilities

|   |   |   |
|---|---|---|
|   |   |   |
|   |   |   |
|   |   |   |
|   |   |   |

#### Assets

|   |   |   |
|---|---|---|
|   |   |   |
|   |   |   |
|   |   |   |
|   |   |   |

#### Foundations that follow FASB ASC 958

|   |   |   |
|---|---|---|
|   |   |   |
|   |   |   |
|   |   |   |
|   |   |   |

#### Foundations that do not follow FASB ASC 958

|   |   |   |
|---|---|---|
|   |   |   |
|   |   |   |
|   |   |   |
|   |   |   |

#### General Instructions

- **Part I.** Balance Sheets
  - Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions)
  - Enter amount from Part I, line 27a (end-of-year figure reported on prior year’s return)

- **Part II.** Balance Sheets
  - Net assets or fund balances at beginning of year – Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year’s return)
  - Enter amount from Part I, line 27a (end-of-year figure reported on prior year’s return)

- **Part III.** Analysis of Changes in Net Assets or Fund Balances
  - Total net assets or fund balances at beginning of year – Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year’s return)
## Form 990-PF (2021)

**THE MITSUI USA FOUNDATION**

**Page 3**

### Part IV  Capital Gains and Losses for Tax on Investment Income

| (a) | (b) | (c) | (d) |
|-----|-----|-----|-----|
| List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | How acquired | Date acquired | Date sold |
| PUBLICLY TRADED SECURITIES | P | (mo., day, yr.) | (mo., day, yr.) |
| Capital gain net income or (net capital loss) | If gain, also enter in Part I, line 7 | If gain, also enter in Part I, line 7 | 647,068 |
| Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): | If (loss), enter -0- in Part I, line 7 | 647,068 |

#### Exempt Foreign Organizations – tax withheld at source

| Credits/Payments: |  |
|-------------------|---|
| 2021 estimated tax payments and 2020 overpayment credited to 2021 | 11,843 |
| Exempt foreign organizations – tax withheld at source |  |
| Tax paid with application for extension of time to file (Form 8868) |  |
| Backup withholding erroneously withheld | 7 |

| Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | 596 |
| Enter any penalty for underpayment of estimated tax. Check here [X] if Form 2220 is attached | 19 |

**Part V  Excise Tax Based on Investment Income (Section 4940(a), 4940(b), or 4948—see instructions)**

| (a) | (b) | (c) | (d) |
|-----|-----|-----|-----|
| Exempt operating foundations described in section 4940(d)(2), check here [ ] and enter "N/A" on line 1. | Date of ruling or determination letter: | [attach copy of letter if necessary—see instructions] | 12,420 |
| All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations, enter 4% (0.04) of Part I, line 12, col. (b) | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) | 0 |
| Add lines 1 and 2 | 12,420 |
| Subtract line 4 from line 3. If zero or less, enter -0- | Tax based on investment income | 12,420 |
| Enter the amount owed | 11,843 |

**DAA**

Form 990-PF (2021)
Part VI-A  Statements Regarding Activities

1a. During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? [ ] Yes [ ] No

b. Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition.

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

[c. Did the foundation file Form 1120-POL for this year? [ ] Yes [ ] No

d. Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:

(1) On the foundation. ▶ $ ______________

(2) On foundation managers. ▶ $ ______________

e. Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ______________

2. Has the foundation engaged in any activities that have not previously been reported to the IRS? [ ] Yes [ ] No

If "Yes," attach a detailed description of the activities.

3. Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes.

[4a. Did the foundation have unrelated business gross income of $1,000 or more during the year? [ ] Yes [ ] No

b. If "Yes," has it filed a tax return on Form 990-T for this year? [ ] Yes [ ] No

5. Was there a liquidation, termination, dissolution, or substantial contraction during the year? [ ] Yes [ ] No

If "Yes," attach the statement required by General Instruction T.

6. Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

- By language in the governing instrument, or
- By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7. Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XIV.

8a. Enter the states to which the foundation reports or with which it is registered. See instructions.

b. If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G?

[ ] Yes [ ] No

9. Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2021 or the tax year beginning in 2021? See instructions for Part XIII. If "Yes," complete Part XIII.

10. Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.

11. At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions

12. Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions

13. Did the foundation comply with the public inspection requirements for its annual returns and exemption application?

Website address ▶ N/A

14. The books are in care of ▶ TOMOHIRO MUSHA

200 PARK AVENUE

Located at ▶ NEW YORK NY ZIP+4 ▶ 10166

Telephone no. ▶ 212-878-4000

15. Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

16. At any time during calendar year 2021, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country.

[ ] Yes [ ] No
Part VI-B  Statements Regarding Activities for Which Form 4720 May Be Required

1a  During the year, did the foundation (either directly or indirectly):
   (1) Engage in the sale or exchange, or leasing of property with a disqualified person? X 1a(1)
   (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? X 1a(2)
   (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? X 1a(3)
   (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? X 1a(4)
   (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? X 1a(5)
   (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) X 1a(6)

b  If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions N/A 1b

c  Organizations relying on a current notice regarding disaster assistance, check here □

d  Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2021? N/A 1d

2  Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

a  At the end of tax year 2021, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2021? X 2a

b  Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement – see instructions.) N/A 2b

c  If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.
   ▶ 20 . . . . , 20 . . . . , 20 . . . . , 20 . . . . .

3a  Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? X 3a

b  If "Yes," did it have excess business holdings in 2021 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2021.) N/A 3b

4a  Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? X 4a

b  Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2021? X 4b
Form 990-PF (2021)  THE MITSUI USA FOUNDATION  13-3415220  Page 6

Part VI-B  Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a  During the year did the foundation pay or incur any amount:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? 
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? 
(3) Provide a grant to an individual for travel, study, or other similar purposes? 
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)? See instructions 
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? 

| Question | Yes | No |
|----------|-----|----|
| 5a(1)    |     | X  |
| 5a(2)    |     | X  |
| 5a(3)    |     | X  |
| 5a(4)    |     | X  |
| 5a(5)    |     | X  |

b  If any answer is “Yes” to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions N/A 5b

c  Organizations relying on a current notice regarding disaster assistance, check here □

d  If the answer is “Yes” to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A 5d

6a  Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? X 6a

b  Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? X 6b

7a  At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? X 7a

b  If “Yes,” did the foundation receive any proceeds or have any net income attributable to the transaction? N/A 7b

8  Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year? X 8

Part VII  Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1  List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|--------------------------------------------------------|------------------------------------------|-------------------------------------------------|-----------------------------------|
| **See Statement 1**  |                                                        |                                          |                                                 |                                   |
|                      |                                                        |                                          |                                                 |                                   |
|                      |                                                        |                                          |                                                 |                                   |
|                      |                                                        |                                          |                                                 |                                   |
|                      |                                                        |                                          |                                                 |                                   |
|                      |                                                        |                                          |                                                 |                                   |
|                      |                                                        |                                          |                                                 |                                   |

2  Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter “NONE.”

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|------------------------------------------------------------|--------------------------------------------------------|-----------------|-------------------------------------------------|-----------------------------------|
| **NONE**                                                   |                                                        |                |                                                 |                                   |
|                                                            |                                                        |                |                                                 |                                   |
|                                                            |                                                        |                |                                                 |                                   |
|                                                            |                                                        |                |                                                 |                                   |
|                                                            |                                                        |                |                                                 |                                   |
|                                                            |                                                        |                |                                                 |                                   |

Total number of other employees paid over $50,000 ➤ 0

Form 990-PF (2021)
### Part VII  Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter “NONE.”

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|----------------------------------------------------------|---------------------|------------------|
| NONE                                                     |                     |                  |
|                                                          |                     |                  |
|                                                          |                     |                  |
|                                                          |                     |                  |
|                                                          |                     |                  |
|                                                          |                     |                  |

Total number of others receiving over $50,000 for professional services

### Part VIII-A  Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

| PER SCHEDULE OF CONTRIBUTIONS ATTACHED (STATEMENT 12) | Expenses |
|-------------------------------------------------------|---------|
| 1                                                     |         |
| 2                                                     |         |
| 3                                                     |         |
| 4                                                     |         |

### Part VIII-B  Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

| Amount |
|--------|
| 1 N/A  |
| 2      |

All other program-related investments. See instructions.

|                      |
|----------------------|
| 3                    |

Total. Add lines 1 through 3
**Part IX  Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, and certain foreign organizations, check here ☐ and do not complete this part.)

|   |                                                      |   |
|---|------------------------------------------------------|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: |   |
| a | Average monthly fair market value of securities       | 1a 15,359,864 |
| b | Average of monthly cash balances                      | 1b 520,967   |
| c | Fair market value of all other assets (see instructions) | 1c 0         |
| d | Total (add lines 1a, b, and c)                        | 1d 15,880,831|
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e 0         |
| 2 | Acquisition indebtedness applicable to line 1 assets  | 2   0         |
| 3 | Subtract line 2 from line 1d                          | 3   15,880,831|
| 4 | Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions) | 4 238,212    |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3 | 5 15,642,619 |
| 6 | Minimum investment return. Enter 5% (0.05) of line 5  | 6   782,131  |

**Part X  Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

|   |                                                      |   |
|---|------------------------------------------------------|---|
| 1 | Minimum investment return from Part IX, line 6       | 1 782,131    |
| 2a| Tax on investment income for 2021 from Part V, line 5| 2a 12,420    |
| b | Income tax for 2021. (This does not include the tax from Part V.) | 2b 12,420    |
| c | Add lines 2a and 2b                                  | 2c 12,420    |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 769,711    |
| 4 | Recoveries of amounts treated as qualifying distributions | 4           |
| 5 | Add lines 3 and 4                                    | 5 769,711    |
| 6 | Deduction from distributable amount (see instructions) | 6           |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1 | 7 769,711    |

**Part XI  Qualifying Distributions** (see instructions)

|   |                                                      |   |
|---|------------------------------------------------------|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: |   |
| a | Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26 | 1a 779,978     |
| b | Program-related investments – total from Part VIII-B | 1b  |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2   |
| a | Suitability test (prior IRS approval required)       | 3a |
| b | Cash distribution test (attach the required schedule) | 3b |
| 4 | **Qualifying distributions. Add lines 1a through 3b. Enter here and on Part XII, line 4** | 4 779,978 |

---

**THE MITSUI USA FOUNDATION 13-3415220**

---

DAA
## Undistributed Income (see instructions)

| Line | Description | (a) Corpus | (b) Years prior to 2020 | (c) 2020 | (d) 2021 |
|------|-------------|------------|------------------------|---------|---------|
| 1    | Distributable amount for 2021 from Part X, line 7 |            |                        |         | 769,711 |
| 2    | Undistributed income, if any, as of the end of 2021: |            |                        |         |         |
| a    | Enter amount for 2020 only                       |            |                        |         |         |
| b    | Total for prior years: 20 ____, 20 ____, 20 ____ |            |                        |         |         |
| 3    | Excess distributions carryover, if any, to 2021:  |            |                        |         |         |
| a    | From 2016                                        |            |                        |         | 260     |
| b    | From 2017                                        |            |                        |         |         |
| c    | From 2018                                        |            |                        |         | 7,274   |
| d    | From 2019                                        |            |                        |         |         |
| e    | From 2020                                        |            |                        |         | 3,104   |
| f    | Total of lines 3a through e                       |            |                        |         | 10,638  |
| 4    | Qualifying distributions for 2021 from Part XI,  |            |                        |         |         |
|      | line 4: ▶ $                                    |            |                        |         | 779,978 |
| a    | Applied to 2020, but not more than line 2a       |            |                        |         |         |
| b    | Applied to undistributed income of prior years   |            |                        |         |         |
|      | (Election required – see instructions)           |            |                        |         |         |
| c    | Treated as distributions out of corpus (Election |            |                        |         |         |
|      | required – see instructions)                     |            |                        |         |         |
| d    | Applied to 2021 distributable amount             |            |                        |         | 769,711 |
| e    | Remaining amount distributed out of corpus       |            |                        |         | 10,267  |
| 5    | Excess distributions carryover applied to 2021   |            |                        |         |         |
|      | (If an amount appears in column (d), the same   |            |                        |         |         |
|      | amount must be shown in column (a).)             |            |                        |         |         |
| 6    | Enter the net total of each column as            |            |                        |         |         |
|      | indicated below:                                 |            |                        |         |         |
| a    | Corpus. Add lines 3f, 4c, and 4e. Subtract line 5|            |                        |         | 20,905  |
| b    | Prior years' undistributed income. Subtract      |            |                        |         |         |
|      | line 4b from line 2b                             |            |                        |         |         |
| c    | Enter the amount of prior years' undistributed   |            |                        |         |         |
|      | income for which a notice of deficiency has      |            |                        |         |         |
|      | been issued, or on which the section 4942(a)     |            |                        |         |         |
|      | tax has been previously assessed                 |            |                        |         |         |
| d    | Subtract line 6c from line 6b. Taxable amount – |            |                        |         |         |
|      | see instructions                                 |            |                        |         |         |
| e    | Undistributed income for 2020. Subtract line     |            |                        |         |         |
|      | 4a from line 2a. Taxable amount – see            |            |                        |         |         |
|      | instructions                                     |            |                        |         |         |
| f    | Undistributed income for 2021. Subtract lines    |            |                        |         |         |
|      | 4d and 5 from line 1. This amount must be        |            |                        |         |         |
|      | distributed in 2022                              |            |                        |         | 0       |
| 7    | Amounts treated as distributions out of corpus   |            |                        |         |         |
|      | to satisfy requirements imposed by section       |            |                        |         |         |
|      | 170(b)(1)(F) or 4942(g)(3) (Election may be      |            |                        |         |         |
|      | required—see instructions)                      |            |                        |         |         |
| 8    | Excess distributions carryover from 2016 not     |            |                        |         | 260     |
|      | applied on line 5 or line 7 (see instructions)   |            |                        |         |         |
| 9    | **Excess distributions carryover to 2022.**     |            |                        |         | 20,645  |
|      | Subtract lines 7 and 8 from line 6a              |            |                        |         |         |
| 10   | Analysis of line 9:                              |            |                        |         |         |
| a    | Excess from 2017                                 |            |                        |         |         |
| b    | Excess from 2018                                 |            |                        |         | 7,274   |
| c    | Excess from 2019                                 |            |                        |         |         |
| d    | Excess from 2020                                 |            |                        |         | 3,104   |
| e    | Excess from 2021                                 |            |                        |         | 10,267  |
Part XIII     Private Operating Foundations (see instructions and Part VI-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2021, enter the date of the ruling. 

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5). 

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part IX for each year listed. 

b 85% (0.85) of line 2a. 

c Qualifying distributions from Part XI, line 4, for each year listed. 

d Amounts included in line 2c not used directly for active conduct of exempt activities. Subtract line 2d from line 2c. 

e Qualifying distributions made directly for active conduct of exempt activities. 

3 Complete 3a, b, or c for the alternative test relied upon: 

a "Assets" alternative test – enter:
   (1) Value of all assets. 
   (2) Value of assets qualifying under section 4942(j)(3)(B)(i). 

b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part IX, line 6, for each year listed. 

c "Support" alternative test – enter:
   (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). 
   (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). 
   (3) Largest amount of support from an exempt organization. 
   (4) Gross investment income. 

Part XIV     Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).) 

N/A 

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest. 

N/A 

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here □ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions. 

a The name, address, and telephone number or email address of the person to whom applications should be addressed: 

N/A 

b The form in which applications should be submitted and information and materials they should include: 

N/A 

c Any submission deadlines: 

N/A 

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors: 

N/A
### Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|-----------|-------------------------------------------------------------------------------------------------|-------------------------------|-------------------------------|--------|
| a  **Paid during the year**<br>S.H. ATTACHED SCHEDULE (STATEMENT 12)<br>S.H. ATTACHED SCHEDULE<br>S.H. ATTACHED SCHEDULE NY |  |  | SEE ATTACHED SCHEDULE          | 751,070 |

**Total**

|  |  |  |  | 3a  |
|---|---|---|---|---|
|  |  |  |  | 751,070 |

| b  **Approved for future payment**<br>N/A |  |  |  |  |

**Total**

|  |  |  |  | 3b  |
|---|---|---|---|---|
|  |  |  |  |  |
### Part XV-A: Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

| Line No. | Activity                                                                 | Unrelated business income | Excluded by section 512, 513, or 514 | Related or exempt function income |
|----------|--------------------------------------------------------------------------|---------------------------|-------------------------------------|----------------------------------|
|          |                                                                          | (a) Business code         | (b) Amount                          | (c) Exclusion code               | (d) Amount                        | (e) Amount                        |
| 1        | Program service revenue:                                                 |                           |                                     |                                  |                                  |                                  |
| a        |                                                                          |                           |                                     |                                  |                                  |                                  |
| b        |                                                                          |                           |                                     |                                  |                                  |                                  |
| c        |                                                                          |                           |                                     |                                  |                                  |                                  |
| d        |                                                                          |                           |                                     |                                  |                                  |                                  |
| e        |                                                                          |                           |                                     |                                  |                                  |                                  |
| f        |                                                                          |                           |                                     |                                  |                                  |                                  |
| g        | Fees and contracts from government agencies                               |                           |                                     |                                  |                                  |                                  |
| 2        | Membership dues and assessments                                          |                           |                                     |                                  |                                  |                                  |
| 3        | Interest on savings and temporary cash investments                        |                           |                                     |                                  |                                  |                                  |
| 4        | Dividends and interest from securities                                    |                           |                                     |                                  |                                  |                                  |
| 5        | Net rental income or (loss) from real estate:                            |                           |                                     |                                  |                                  |                                  |
| a        | Debt-financed property                                                    |                           |                                     |                                  |                                  |                                  |
| b        | Not debt-financed property                                                |                           |                                     |                                  |                                  |                                  |
| 6        | Net rental income or (loss) from personal property                        |                           |                                     |                                  |                                  |                                  |
| 7        | Other investment income                                                   |                           |                                     |                                  |                                  |                                  |
| 8        | Gain or (loss) from sales of assets other than inventory                  |                           |                                     |                                  |                                  |                                  |
| 9        | Net income or (loss) from special events                                  |                           |                                     |                                  |                                  |                                  |
| 10       | Gross profit or (loss) from sales of inventory                           |                           |                                     |                                  |                                  |                                  |
| 11       | Other revenue:                                                            |                           |                                     |                                  |                                  |                                  |
| a        |                                                                          |                           |                                     |                                  |                                  |                                  |
| b        | IRS REFUND                                                                |                           |                                     |                                  |                                  | 122                               |
| c        | SETTLEMENT PROCEEDS                                                       |                           |                                     |                                  |                                  | 249                               |
| d        |                                                                          |                           |                                     |                                  |                                  |                                  |
| e        |                                                                          |                           |                                     |                                  |                                  |                                  |
| 12       | Subtotal. Add columns (b), (d), and (e)                                  |                           |                                     |                                  |                                  | 0                                  |
| 13       | Total. Add line 12, columns (b), (d), and (e)                             |                           |                                     |                                  |                                  | 13                                |

### Part XV-B: Relationship of Activities to the Accomplishment of Exempt Purposes

Explain below how each activity for which income is reported in column (e) of Part XV-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

| Line No. | N/A |
|----------|-----|
|          |     |
|          |     |
|          |     |
|          |     |
|          |     |
|          |     |
|          |     |
|          |     |
|          |     |
|          |     |
|          |     |
|          |     |
|          |     |
|          |     |
|          |     |

DAA
Part XVI  Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1  Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a  Transfers from the reporting foundation to a noncharitable exempt organization of:

(1) Cash
(2) Other assets

b  Other transactions:

(1) Sales of assets to a noncharitable exempt organization
(2) Purchases of assets from a noncharitable exempt organization
(3) Rental of facilities, equipment, or other assets
(4) Reimbursement arrangements
(5) Loans or loan guarantees
(6) Performance of services or membership or fundraising solicitations

c  Sharing of facilities, equipment, mailing lists, other assets, or paid employees

d  If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

| Line no. | Amount involved | Name of noncharitable exempt organization | Description of transfers, transactions, and sharing arrangements |
|----------|-----------------|------------------------------------------|---------------------------------------------------------------|
|          | N/A             |                                          |                                                               |
|          | N/A             |                                          |                                                               |
|          | N/A             |                                          |                                                               |
|          | N/A             |                                          |                                                               |
|          | N/A             |                                          |                                                               |
|          | N/A             |                                          |                                                               |
|          | N/A             |                                          |                                                               |
|          | N/A             |                                          |                                                               |
|          | N/A             |                                          |                                                               |
|          | N/A             |                                          |                                                               |
|          | N/A             |                                          |                                                               |

2a  Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527?  [ ] Yes  [ ] No

b  If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|-------------------------------|
|                          |                          |                               |
|                          |                          |                               |
|                          |                          |                               |
|                          |                          |                               |
|                          |                          |                               |
|                          |                          |                               |
|                          |                          |                               |
|                          |                          |                               |
|                          |                          |                               |

Sign Here

Signature of officer or trustee  Date  Title

TREASURER

Date  Check [ ] if self-employed

Paid Preparer Use Only

Print/Type preparer's name  Preparer's signature  Date  PTIN

JUSTIN SONG  JUSTIN SONG  P00369090

Firm's name  Firm's EIN  Phone no.

JUSTIN SONG CPA PLLC  20-1849027  212-564-9190

Firm's address  Phone no.

98 Cutter Mill Rd Ste 354S  20-1849027  212-564-9190

Great Neck, NY  11021-3035

May the IRS discuss this return with the preparer shown below?  [ ] Yes  [ ] No

See instructions.
## Statement 1 - Form 990-PF, Part I, Line 11 - Other Income

| Description                   | Revenue per Books | Net Investment Income | Adjusted Net Income |
|-------------------------------|-------------------|-----------------------|---------------------|
| IRS REFUND                   | $ 122             | $                     | $                   |
| SETTLEMENT PROCEEDS          | $ 249             | $ 249                 | $                   |
| **Total**                    | **$ 371**         | **$ 249**             | **$ 0**             |

## Statement 2 - Form 990-PF, Part I, Line 16b - Accounting Fees

| Description                     | Total   | Net Investment | Adjusted Net | Charitable Purpose |
|---------------------------------|---------|----------------|---------------|--------------------|
| INDIRECT ACCOUNTING FEE         | $ 15,500| $ 7,750        | $             | $ 7,750            |
| **Total**                       | **$ 15,500**| **$ 7,750**   | **$ 0**      | **$ 7,750**        |

## Statement 3 - Form 990-PF, Part I, Line 16c - Other Professional Fees

| Description                              | Total  | Net Investment | Adjusted Net | Charitable Purpose |
|------------------------------------------|--------|----------------|---------------|--------------------|
| FUND MANAGEMENT FEE                      | $ 83,229| $ 83,229       | $             | $ 10,800           |
| MANAGEMENT FEE (SCHOLARSHIP AMERI)       | $ 10,800| $ 10,800       | $             | $ 10,800           |
| **Total**                                | **$ 94,029**| **$ 83,229** | **$ 0**       | **$ 10,800**       |

## Statement 4 - Form 990-PF, Part I, Line 18 - Taxes

| Description          | Total   | Net Investment | Adjusted Net | Charitable Purpose |
|----------------------|---------|----------------|---------------|--------------------|
| FEDERAL EXCISE TAX   | $ 10,000| $ 10,000       | $             | $ 750              |
| NYS FILING FEE       | $ 750   | $ 750          | $             | $ 750              |
| FOREIGN TAX          | $ 2,507 | $ 2,507        | $ 2,507       | $ 750              |
| **Total**            | **$ 13,257**| **$ 2,507**   | **$ 0**       | **$ 750**          |
### Statement 5 - Form 990-PF, Part I, Line 23 - Other Expenses

| Description         | Total  | Net Investment | Adjusted Net | Charitable Purpose |
|---------------------|--------|----------------|--------------|--------------------|
| ASSOCIATION FEE     | $5,000 | $5,000         | $5,000       |
| BANK SERVICE FEE    | $2,664 | $2,664         | $2,664       |
| INSURANCE FEE       | $944   | $944           | $944         |
| **Total**           | **$8,608** | **$0**       | **$0**       | **$8,608**         |

### Statement 6 - Form 990-PF, Part II, Line 10a - US and State Government Investments

| Description          | Beginning of Year | End of Year | Basis of Valuation | Fair Market Value |
|----------------------|-------------------|-------------|--------------------|-------------------|
| US GOV'T SECURITIES  | $4,198,167        | $3,898,697  | $3,305,059         | $2,920,696        |
| MORTGAGE BACKED SECURITIES | $2,009,018   | $3,482,543  | $2,920,696         |                   |
| **Total**            | **$6,207,185**   | **$7,381,240** |                   | **$6,225,755**   |

### Statement 7 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

| Description          | Beginning of Year | End of Year | Basis of Valuation | Fair Market Value |
|----------------------|-------------------|-------------|--------------------|-------------------|
| CORPORATE STOCK      | $4,171,192        | $5,784,565  | $5,811,118         |                   |
| **Total**            | **$4,171,192**   | **$5,784,565** |                   | **$5,811,118**   |

### Statement 8 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

| Description          | Beginning of Year | End of Year | Basis of Valuation | Fair Market Value |
|----------------------|-------------------|-------------|--------------------|-------------------|
| CORPORATE BONDS      | $5,269,932        | $2,878,120  | $2,417,550         |                   |
| **Total**            | **$5,269,932**   | **$2,878,120** |                   | **$2,417,550**   |
### Statement 9 - Form 990-PF, Part II, Line 13 - Other Investments

| Description     | Beginning of Year | End of Year | Basis of Valuation | Fair Market Value |
|-----------------|-------------------|-------------|---------------------|-------------------|
| MUTUAL FUNDS    | $248,815          | $353,672    | $480,597            |                   |
| Total           | $248,815          | $353,672    | $480,597            |                   |

### Statement 10 - Form 990-PF, Part VI-A, Line 10 - Substantial Contributors

| Name                                           | Address                                                        | City, State, Zip |
|------------------------------------------------|---------------------------------------------------------------|-----------------|
| ACCOUNTABLE HEALTHCARE STAFFING INC           | 999 YAMATO ROAD #210                                          | BOCA RATON FL  33431 |
| ACIETA LLC                                    | N25 W23790 COMMERCE CIRCLE, SUITE F                           | WAUKESHA WI  53188 |
| CETRIS USA LLC                                | 9145 GUILFORD ROAD                                             | COLUMBIA MD  21046 |
| ELLISON TECHNOLOGIES, INC                     | 9828 ARLEY AVENUE                                              | SANTA FE SPRINGS CA  90670 |
| INTERCONTINENTAL TERMINALS COMPANY            | 1943 INDEPENDENCE PARKWAY S                                   | LA PORTE TX  77571 |
| MBK REAL ESTATE LLC                           | 4 PARK PLAZA, SUITE 1700                                       | IRVINE CA  92614 |
| MITSUI & CO. ENERGY MARKETING                 | 1300 POST OAK BLVD #1700                                       | HOUSTON TX  77056 |
| MITSUI FOODS                                  | 35 MAPLE STREET                                                | NORWOOD NJ  07648 |
| MITSUI PLASTICS, INC                          | 10 BANK STREET, SUITE 1010                                     | WHITE PLAINS NY  10606 |
| THE DELTA COMPANIES                           | 3100 OLYMPUS BLVD, SUITE 500                                   | DALLAS TX  75019 |
| UNITED GRAIN CORPORATION                      | 900 WASHINGTON STREET, SUITE 700                               | VANCOUVER WA  98660 |
### Statement 11 - Form 990-PF, Part VII, Line 1 - List of Officers, Directors, Trustees, Etc.

| Name and Address            | Title       | Average Hours | Compensation | Benefits | Expenses |
|-----------------------------|-------------|---------------|--------------|----------|----------|
| WAICHI HO                   | DIRECTOR    | 1.00          | 1,000        | 0        | 0        |
| 200 PARK AVENUE             |             |               |              |          |          |
| NEW YORK NY 10166           |             |               |              |          |          |
| WILLIAM J. MILANI           | DIRECTOR    | 1.00          | 0            | 0        | 0        |
| 200 PARK AVENUE             |             |               |              |          |          |
| NEW YORK NY 10166           |             |               |              |          |          |
| PAUL MCGOWAN                | TREASURER   | 1.00          | 0            | 0        | 0        |
| 200 PARK AVENUE             |             |               |              |          |          |
| NEW YORK NY 10166           |             |               |              |          |          |
| ERICK MUSTAFICH             | DIRECTOR    | 1.00          | 0            | 0        | 0        |
| 200 PARK AVENUE             |             |               |              |          |          |
| NEW YORK NY 10166           |             |               |              |          |          |
| TETSUYA KOIDE               | DIRECTOR    | 1.00          | 0            | 0        | 0        |
| 200 PARK AVENUE             |             |               |              |          |          |
| NEW YORK NY 10166           |             |               |              |          |          |
| TOMOHIRO MUSHA              | SR.VICE PRES| 1.00          | 0            | 0        | 0        |
| 200 PARK AVENUE             |             |               |              |          |          |
| NEW YORK NY 10166           |             |               |              |          |          |
| ERIC B. CAMPBELL            | VICE PRES.  | 1.00          | 0            | 0        | 0        |
| 200 PARK AVENUE             |             |               |              |          |          |
| NEW YORK NY 10166           |             |               |              |          |          |
| SANDRA KENNY                | SECRETARY   | 1.00          | 0            | 0        | 0        |
| 200 PARK AVENUE             |             |               |              |          |          |
| NEW YORK NY 10166           |             |               |              |          |          |
| SAYU UENO                   | DIR, PRES&CEO| 1.00        | 0            | 0        | 0        |
| 200 PARK AVENUE             |             |               |              |          |          |
| NEW YORK NY 10166           |             |               |              |          |          |
| Name                        | Address                              | Status                        | Purpose of Contribution                      | Amount ($) |
|-----------------------------|--------------------------------------|-------------------------------|---------------------------------------------|-------------|
| Achieve A Place to Grow     | 8800 Ambassador Row, Dallas, TX 75247-4621 | PC Matching Volunteer |                           | $750.00     |
| Achieve A Place to Grow     | 8800 Ambassador Row, Dallas, TX 75247-4621 | PC Matching Volunteer |                           | $500.00     |
| Akron-Canton Regional Foodbank | 350 Opportunity Pkwy, Akron, OH 44307     | PC Regular - Food Distribution Grant |                         | $3,000.00   |
| Akron-Canton Regional Foodbank | 350 Opportunity Pkwy, Akron, OH 44307     | PC Regular - Covid Relief Grant |                         | $5,000.00   |
| Almond PTA                  | 550 Almond Avenue, Los Altos, CA 94022   | PC Matching Gift              |                           | $80.00      |
| Alzheimer's Association DC  | 655 15th St NW Suite 500, Washington, DC 20005 | PC Matching Gift              |                           | $35.00      |
| Alzheimer's Association DC  | 656 15th St NW Suite 500, Washington, DC 20005 | PC Matching Gift              |                           | $35.00      |
| Alzheimer's Association DC  | 657 15th St NW Suite 500, Washington, DC 20005 | PC Matching Volunteer        |                           | $500.00     |
| Alzheimer's Association Houston | 6055 S Loop Fwy, Houston, TX 77087       | PC Matching Gift              |                           | $25.00      |
| Alzheimer's Association Houston | 6056 S Loop Fwy, Houston, TX 77087       | PC Matching Volunteer        |                           | $500.00     |
| Alzheimer's Association Los Angeles | 4221 Wilshire Blvd # 400, Los Angeles, CA 90010 | PC Matching Gift              |                           | $250.00     |
| Alzheimer's Association Los Angeles | 4222 Wilshire Blvd # 400, Los Angeles, CA 90010 | PC Matching Gift              |                           | $35.00      |
| Alzheimer's Association Los Angeles | 4223 Wilshire Blvd # 400, Los Angeles, CA 90010 | PC Matching Gift              |                           | $50.00      |
| Alzheimer's Association Los Angeles | 4224 Wilshire Blvd # 400, Los Angeles, CA 90010 | PC Matching Gift              |                           | $40.00      |
| Alzheimer's Association Los Angeles | 4226 Wilshire Blvd # 400, Los Angeles, CA 90010 | PC Matching Gift              |                           | $50.00      |
| Alzheimer's Association Los Angeles | 4227 Wilshire Blvd # 400, Los Angeles, CA 90010 | PC Matching Gift              |                           | $150.00     |
| Alzheimer's Association Los Angeles | 4228 Wilshire Blvd # 400, Los Angeles, CA 90010 | PC Matching Gift              |                           | $100.00     |
| Alzheimer's Association Los Angeles | 4229 Wilshire Blvd # 400, Los Angeles, CA 90010 | PC Matching Gift              |                           | $100.00     |
| Alzheimer's Association Los Angeles | 4221 Wilshire Blvd # 400, Los Angeles, CA 90010 | PC Matching Volunteer        |                           | $500.00     |
| Alzheimer's Association NY   | 60 E 42nd St Suite #2240, New York, NY 10165 | PC Matching Gift              |                           | $50.00      |
| Alzheimer's Association NY   | 60 E 42nd St Suite #2240, New York, NY 10165 | PC Matching Gift              |                           | $100.00     |
| Alzheimer's Association NY   | 60 E 42nd St Suite #2240, New York, NY 10165 | PC Matching Gift              |                           | $60.00      |
| Alzheimer's Association NY   | 60 E 42nd St Suite #2240, New York, NY 10165 | PC Matching Gift              |                           | $35.00      |
| Alzheimer's Association NY   | 60 E 42nd St Suite #2240, New York, NY 10165 | PC Matching Gift              |                           | $60.00      |
| Alzheimer's Association NY   | 60 E 42nd St Suite #2240, New York, NY 10165 | PC Matching Volunteer        |                           | $675.00     |
| Alzheimer's Association SVL  | 2290 N First St #101, San Jose, CA 95131 | PC Matching Gift              |                           | $35.00      |
| Alzheimer's Association SVL  | 2290 N First St #101, San Jose, CA 95131 | PC Matching Gift              |                           | $50.00      |
| Alzheimer's Association SVL  | Silicon Valley Walk, 2290 N 1st Street, #101, San Jose CA 95131 | PC Matching Volunteer        |                           | $500.00     |
| American Cancer Society     | 250 Williams Street NW, Atlanta, GA 30303 | PC Matching Gift              |                           | $105.50     |
| American Heart Association  | 10 E 40th St 11th floor, New York, NY 10016 | PC Matching Gift              |                           | $50.00      |
| Assistant League of Los Angeles | 826 Cole Ave, Los Angeles, CA 90038     | PC Matching Gift              |                           | $100.00     |
| Baruch College Fund          | One Bernard Baruch Way, New York, NY 10010 | PC Regular - Scholarship Grant |                         | $2,500.00   |
| Baruch College Fund          | One Bernard Baruch Way, New York, NY 10010 | PC Regular - Scholarship Grant |                         | $15,000.00  |
| Baruch College Fund          | One Bernard Baruch Way, New York, NY 10010 | PC Regular - Scholarship Grant |                         | $2,500.00   |
| Baruch College Fund          | One Bernard Baruch Way, New York, NY 10010 | PC Regular - Professor in Academia Grant |                         | $3,000.00   |
| Baruch College Fund          | One Bernard Baruch Way, New York, NY 10010 | PC Regular - Forums          |                         | $12,000.00  |
| Billion Oyster Project       | 10 South St, Slip 7, New York, NY 10004 | PC Regular - Field Station Grant |                         | $25,000.00  |
| Boston Higashi School        | 800 N Main St, Randolph, MA 02368       | PC Regular - Adult Ed Scholarship Grant |                         | $15,400.00  |
| Boys & Girls Club of Middle TN | 1704 Club Pkwy, Nashville, TN 37221     | PC Regular - Collete Prep Grant |                         | $8,000.00   |
| Central Park Conservancy      | 14 E 60th Street, New York, NY 10022    | PC Matching Gift              |                           | $50.00      |
| Central Park Conservancy      | 14 E 60th Street, New York, NY 10022    | PC Matching Gift              |                           | $100.00     |
| Central Park Conservancy      | 14 E 60th Street, New York, NY 10022    | PC Matching Volunteer        |                           | $500.00     |
| Name                                      | Address                                      | Status                      | Purpose of Contribution                        | Amount ($) |
|-------------------------------------------|----------------------------------------------|-----------------------------|------------------------------------------------|------------|
| Achieve A Place to Grow                   | 8800 Ambassador Row, Dallas, TX 75247-4621  | PC Matching Volunteer      | Non-perishable Food Grant                       | $750.00    |
| Citymeals on Wheels                       | 355 Lexington Ave, 3 FL, New York, NY 10017 | PC Matching Volunteer      | Volunteer                                       | $500.00    |
| Citymeals on Wheels                       | 355 Lexington Ave, 3 FL, New York, NY 10017 | PC Matching Volunteer      | Holiday Meals Grant                              | $5,000.00  |
| Citymeals on Wheels                       | 355 Lexington Ave, 3 FL, New York, NY 10017 | PC Regular                | Senior Outreach Grant                           | $6,350.00  |
| Citymeals on Wheels                       | 355 Lexington Ave, 3 FL, New York, NY 10017 | PC Regular                | Senior Weekend Meals Grant                       | $10,000.00 |
| CHEB Columbia Business School             | 535 W 116th Street, New York, NY 10027       | PC Regular                | Lecture Series Grant                            | $20,000.00 |
| Cleveland Clinic                          | 9500 Euclid Ave, Cleveland, OH 44195         | PC Matching Gift           | Fund                                             | $500.00    |
| Coalition for the Homeless                | 129 Fulton St, New York, NY 10038            | PC Regular                | Covid Relief Grant                              | $2,500.00  |
| Coalition for the Homeless                | 129 Fulton St, New York, NY 10038            | PC Regular                | Homeless Outreach Grant                         | $10,000.00 |
| CUNY Edge                                 | 425 E 25th Street, New York, NY 10010        | PC Regular                | Scholarship Grant                               | $18,200.00 |
| Cystic Fibrosis Foundation                | 150 N. Michigan Ave Ste 1550, Chicago, IL 60601 | PC Regular                | Research & Patient Services Grant             | $5,000.00  |
| Donors Choose                             | 134 West 37th Street, 11 FL, New York, NY 10018 | PC Matching Gift         | Fund                                             | $(440.00)  |
| Father Flanagan Boys Town                 | 14100 Crawford St, Boys Town, NE 68010       | PC Matching Gift           | Fund                                             | $250.00    |
| Food Bank for NYC                         | 39 Broadway, 10th Floor, New York, NY 10006  | PC Matching Gift           | Fund                                             | $500.00    |
| Food Lifeline                             | 815 S 96th Street, Seattle, WA 98108         | PC Regular                | Food Distribution Grant                        | $2,500.00  |
| Galveston Bay                             | 1725 N Hwy 146, Kemah, TX 77565              | PC Regular                | Preservation Grant                              | $15,000.00 |
| Good Shepherd Shelter                     | P.O. Box 19487, Los Angeles, CA 90019-6233  | PC Matching Volunteer      | Fund                                             | $500.00    |
| Great Chicago Food Depository             | 4100 W Ann Lurie Pl, Chicago, IL 60632       | PC Regular                | Food Distribution Grant                        | $5,000.00  |
| Habitat for Humanity Summit County        | 2301 Romig Rd, Akron, OH 44320               | PC Regular                | Adapt a House Grant                             | $5,000.00  |
| Hamilton Madison                          | 50 Madison St, New York, NY 10038            | PC Regular                | Mental Health Clinic Grant                      | $5,000.00  |
| Hamilton Madison                          | 50 Madison St, New York, NY 10038            | PC Regular                | Hot Lunch Program Grant                        | $10,000.00 |
| Heal the Bay                              | 1444 9th St, Santa Monica, CA 90401          | PC Matching Volunteer      | Fund                                             | $575.00    |
| Help Hope Live                            | Two Radnor Corporate Center, 100 Matsonford Rd #100, Radnor, PA 19087 | PC Matching Gift           | Fund                                             | $50.00     |
| Hope & Heroes Children's Cancer Fund      | 161 Fort Washington Ave #718, New York, NY 10032 | PC Matching Gift         | Fund                                             | $500.00    |
| Houston Food Bank                         | 535 Portwall Street, Houston, TX 77029       | PC Matching Volunteer      | Fund                                             | $300.00    |
| Houston Food Bank                         | 535 Portwall Street, Houston, TX 77029       | PC Matching Volunteer      | Fund                                             | $500.00    |
| Houston Food Bank                         | 535 Portwall Street, Houston, TX 77029       | PC Regular                | Covid Relief Grant                              | $2,500.00  |
| Houston Food Bank                         | 535 Portwall Street, Houston, TX 77029       | PC Regular                | Covid Relief Grant                              | $2,500.00  |
| Houston Food Bank                         | 535 Portwall Street, Houston, TX 77029       | PC Regular                | Food Distribution Grant                        | $10,000.00 |
| Humane Society of NY                      | 306 E 59th St, New York, NY 10022            | PC Matching Gift           | Fund                                             | $50.00     |
| International House                       | 500 Riverside Dr, New York, NY 10027         | PC Regular                | Scholarship Grant                               | $25,000.00 |
| International Student Conferences, Inc.   | 1015 15th Street NW Ste. 600 Washington, DC 20005 | PC Regular                | Community Outreach Grant                      | $2,500.00  |
| JAA - Japanese American Assoc. NY         | 49 West 45 St, 11 FL, New York, NY 10036     | PC Regular                | Seniors Outreach Grant                          | $10,000.00 |
| JAA - Japanese American Assoc. NY         | 49 West 45 St, 11 FL, New York, NY 10036     | PC Regular                | Community Outreach Grant                        | $10,000.00 |
| JAA - Japanese American Assoc. NY         | 50 West 45 St, 11 FL, New York, NY 10036     | PC Regular                | Community Outreach Grant                        | $5,000.00  |
| Japan Performing Arts                     | 235 W 48th St #19G, New York, NY 10036       | PC Matching Volunteer      | Fund                                             | $300.00    |
| JASSI - Japanese American Social Services | 100 Gold St A, New York, NY 10038            | PC Regular                | Hotline Grant                                   | $15,000.00 |
| JAST - Japan-America Society of TN        | P.O. Box 330003, Nashville, TN 37203         | PC Regular                | Scholarship Grant                               | $20,000.00 |
| JAST - Japan-America Society of TN        | C/O Crosslin PLLC, 3803 Bedford Ave., #103, Nashville TN 37215 | PC Regular                | Regional Outreach Grant                        | $10,000.00 |
| Name                                      | Address                              | Status                  | Purpose of Contribution          | Amount ($) |
|-------------------------------------------|--------------------------------------|-------------------------|----------------------------------|------------|
| Achieve A Place to Grow                   | 8800 Ambassador Row, Dallas, TX 75247-4621 | PC                      | Matching Volunteer               | $ 750.00   |
| JAST - Japan-America Society of TN        | C/O Crosslin PLLC, 3803 Bedford Ave., #103, Nashville TN 37215 | PC                      | Regular - Lecture Series Grant   | $ 9,000.00 |
| JMSA - Japanese Medical Society of America| 100 Park Avenue, New York, NY 10011   | PC                      | Regular - Scholarship Grant      | $ 15,000.00|
| LA Regional Food Bank                     | 1734 East 41 St, Los Angeles, CA 90058 | PC                      | Matching Volunteer               | $ 500.00   |
| LA Regional Food Bank                     | 1734 East 41 St, Los Angeles, CA 90058 | PC                      | Matching Volunteer               | $ 500.00   |
| Los Altos Education Foundation             | 201 Covington Rd, Los Altos, CA 94024 | PC                      | Matching Gift                    | $ 600.00   |
| Los Angeles Regional Food Bank             | 1734 East 41 St, Los Angeles, CA 90058 | PC                      | Regular - Food Distribution Grant| $ 2,500.00 |
| March of Dimes (Cleveland)                | 5425 Warner Rd Suite 10, Cleveland, OH 44125 | PC                      | Regular - Program Support        | $ 1,000.00 |
| March of Dimes (Houston)                  | 5420 Dashwood, Suite 302, Houston, TX 77081 | PC                      | Regular - Program Support        | $ 3,000.00 |
| March of Dimes (Nashville)                | 4636 Lebanon Pike, #153, Nashville, TN 37076 | PC                      | Regular - Program Support        | $ 2,500.00 |
| March of Dimes (NYC)                      | 515 Madison Avenue, 20th Floor, New York, NY 10022 | PC                      | Regular - Program Support        | $ 2,500.00 |
| March of Dimes (Seattle)                  | Office closed. Donations went to HQ - PO Box P.O. Box 18819, Atlanta GA 31126 | PC                      | Regular - Program Support        | $ 1,000.00 |
| Midori & Friends                          | 352 Seventh Ave, Suite #301, New York, NY 10001 | PC                      | Regular - Music Classes          | $ 5,250.00 |
| Midori & Friends                          | 352 Seventh Ave, Suite #301, New York, NY 10001 | PC                      | Regular - Music Classes          | $ 5,250.00 |
| Montrose Grace Place                      | 2515 Waugh Dr, Houston, TX 77006     | PC                      | Matching Volunteer               | $ 990.00   |
| Movember                                  | PO Box 2040, Santa Monica, CA 90406  | PC                      | Matching Gift                    | $ 25.00    |
| NY University                             | 6 MetroTech Center, Brooklyn, NY 11201 | PC                      | Regular - STEM Program Grant     | $ 26,500.00|
| Our Kids Center                           | 1804 Hayes Street, Nashville, TN 37203 | PC                      | Regular - Community Outreach Grant| $ 5,000.00 |
| Pancreatic Cancer                         | 1500 Rosecrans Avenue, Ste 200, Manhattan Beach, CA 90266 | PC                      | Matching Gift                    | $ 100.00   |
| Pike Market Senior Center & Food Bank     | 85 Pike St, #200, Seattle, WA 98101   | PC                      | Regular - Covid Relief Grant     | $ 2,500.00 |
| Pike Market Senior Center & Food Bank     | 85 Pike St, #200, Seattle, WA 98101   | PC                      | Regular - Food Distribution Grant| $ 5,000.00 |
| Princeton University                      | 125 Faculty Rd., Princeton, NJ 08540  | PC                      | Matching Gift                    | $ 200.00   |
| RICE - RDA                                | 6100 Main Street, Houston, TX 77005  | PC                      | Regular - Scholarship Grant      | $ 20,000.00|
| Ronald McDonald House NYC                 | 405 E 73rd St, New York, NY 10021    | PC                      | Matching Volunteer               | $ 500.00   |
| Room at the Inn                           | 705 Drexel St #2, Nashville, TN 37203 | PC                      | Matching Gift                    | $ 250.00   |
| Scholarship America                       | C/O 1st National Bank Mn, Pob 240, St Peter, MN 56082 | PC                      | Regular - Scholarship Grants     | $ 195,000.00|
| SchoolPower Laguna Beach                  | 733 St Ann's Dr, Laguna Beach, CA 92651 | PC                      | Matching Gift                    | $ 206.00   |
| Second Harvest Food Bank of Middle TN     | 331 Great Circle Rd, Nashville, TN 37228 | PC                      | Regular - Covid Relief Grant     | $ 5,000.00 |
| Second Harvest Food Bank of Middle TN     | 331 Great Circle Rd, Nashville, TN 37228 | PC                      | Regular - Food Distribution Grant| $ 5,000.00 |
| Second Harvest of Silicon Valley          | 750 Curtner Avenue, San Jose, CA 95125 | PC                      | Regular - Food Distribution Grant| $ 5,000.00 |
| Sharefest                                 | 3480 Torrance Blvd, #110, Torrance, CA 90503 | PC                      | Matching Gift                    | $ 500.00   |
| Sharefest                                 | 3480 Torrance Blvd, #110, Torrance, CA 90503 | PC                      | Regular - Youth Development Grant| $ 7,500.00 |
| Sharefest                                 | 3480 Torrance Blvd, #110, Torrance, CA 90503 | PC                      | Regular - Youth Development Grant| $ 2,500.00 |
| SOME (So Others May Eat)                  | 125 John Roberts Rd Ste 5, south Portland, ME 04106 | PC                      | Regular - Food Distribution Grant| $ 5,000.00 |
| Special Olympics                          | 211 East 43rd Street, Suite 802, New York, NY10017 | PC                      | Regular - Healthy Program Grant  | $ 12,000.00|
| Special Olympics                          | 211 East 43rd Street, Suite 802, New York, NY10017 | PC                      | Regular - Athlete Support Grants | $ 4,000.00 |
| Special Olympics                          | 211 East 43rd Street, Suite 802, New York, NY10017 | PC                      | Regular - Athlete Support Grants | $ 5,000.00 |
| St. Joseph Indian School                  | 1301 N Main St, Chamberlain, SD 57325 | PC                      | Matching Gift                    | $ 150.00   |
| St. Jude's                                | 14 Pennsylvania Plaza, #1615, New York, NY 10122 | PC                      | Matching Gift                    | $ 100.00   |
| Stamford Hospital Foundation              | 1351 Washington Blvd #202, Stamford, CT 06902 | PC                      | Matching Gift                    | $ 50.00    |
| Stamford Hospital Foundation              | 1351 Washington Blvd #202, Stamford, CT 06902 | PC                      | Matching Gift                    | $ 150.00   |
| Station Camp Elementary                   | 1020 Bison Trl, Gallatin, TN 37066    | PC                      | Matching Gift                    | $ 30.00    |
| Susan G. Komen                            | 2817 McGaw Avenue, Irvine, CA 92614   | PC                      | Matching Gift                    | $ 100.00   |
| Tedy's Team                               | 72A Taunton St, Plainville, MA 02762  | PC                      | Matching Gift                    | $ 200.00   |
| Texas Scottish Rite Hospital              | 2222 Welborn St,Dallas,TX 75219       | PC                      | Matching Volunteer               | $ 3,000.00 |
| UNICEF                                    | 125 Maiden Lane, New York, NY 10038   | PC                      | Matching Gift                    | $ 100.00   |
| Name                                          | Address                                      | Status                           | Purpose of Contribution               | Amount ($) |
|-----------------------------------------------|----------------------------------------------|----------------------------------|---------------------------------------|------------|
| Achieve A Place to Grow                       | 8800 Ambassador Row, Dallas, TX 75247-4621  | PC                               | Matching Volunteer                    | $ 750.00   |
| Walsh University                              | 2020 E Maple St, North Canton, OH 44720      | PC                               | Regular - Youth Development Grant     | $ 5,000.00 |
| WestSide Baby                                  | 10002 14 Ave Southwest, Seattle, WA 98146    | PC                               | Regular - Community Outreach Grant    | $ 5,000.00 |
| Wildwood School                                | 12201 Washington Place, Los Angeles, CA 90066| PC                               | Matching Gift                         | $ 500.00   |
| WIN (Women in Need)                           | One State Street Plaza, 18th Floor, New York, NY 10004 | PC | Matching Volunteer                   | $ 500.00   |
| Yale New Haven Health                         | 789 Howard Avenue, New Haven, CT 06519       | PC                               | Matching Gift                         | $ 25.00    |
| Yu-Ai Kai Japan American Comm.                 | 588 North 4 St, San Jose, CA 95112           | PC                               | Regular - Community Outreach Grant    | $ 2,500.00 |
| Yu-Ai Kai Japan American Comm.                 | 588 North 4 St, San Jose, CA 95112           | PC                               | Regular - Community Outreach Grant    | $ 5,000.00 |
| Yu-Ai Kai Japan American Comm.                 | 588 North 4 St, San Jose, CA 95112           | PC                               | Regular - Community Outreach Grant    | $ 5,000.00 |
| Yu-Ai Kai Japan American Comm.                 | 588 North 4 St, San Jose, CA 95112           | PC                               | Regular - Community Outreach Grant    | $ 10,000.00|
|                                               |                                              |                                  |                                       | $ 751,069.50|

**Total Amount:** $ 751,069.50
**Name of the organization**: THE MITSUI USA FOUNDATION  
**Employer identification number**: 13-3415220

**Organization type (check one):**

- [ ] Form 990 or 990-EZ  
  - [ ] 501(c) (enter number) organization  
  - [ ] 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation  
  - [ ] 527 political organization  
- [x] Form 990-PF  
  - [x] 501(c)(3) exempt private foundation  
  - [ ] 4947(a)(1) nonexempt charitable trust treated as a private foundation  
  - [ ] 501(c)(3) taxable private foundation

---

**Filers of:**
- [ ] Section 501(c)( ) (enter number) organization  
- [ ] 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation  
- [ ] 527 political organization  
- [x] 501(c)(3) exempt private foundation

---

**Check if your organization is covered by the General Rule or a Special Rule.**

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

- [x] For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- [ ] For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) $5,000; or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.

- [ ] For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 **exclusively** for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering “N/A” in column (b) instead of the contributor name and address), II, and III.

- [ ] For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions **exclusively** for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an **exclusively** religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received **nonexclusively** religious, charitable, etc., contributions totaling $5,000 or more during the year. $  

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990).
## Part I: Contributors

(see instructions). Use duplicate copies of Part I if additional space is needed.

| No. | Name, address, and ZIP + 4 | Total contributions | Type of contribution |
|-----|---------------------------|---------------------|---------------------|
| 1   | ACIETA LLC                | $7,500              | Person X, Payroll, Noncash | (Complete Part II for noncash contributions.) |
|     | N25 W23790 COMMERCE CIRCLE, SUITE F WAUKESHA WI 53188 | | |
| 2   | INTERCONTINENTAL TERMINALS COMPANY | $12,500 | Person X, Payroll, Noncash | (Complete Part II for noncash contributions.) |
|     | 1943 INDEPENDENCE PARKWAY S LA PORTE TX 77571 | | |
| 3   | CERTIS USA LLC            | $7,500              | Person X, Payroll, Noncash | (Complete Part II for noncash contributions.) |
|     | 9145 Guilford Road        | | |
| 4   | ELLISON TECHNOLOGIES, INC | $22,500             | Person X, Payroll, Noncash | (Complete Part II for noncash contributions.) |
|     | 9828 Arley Avenue         | | |
| 5   | MBK REAL ESTATE LLC       | $5,000              | Person X, Payroll, Noncash | (Complete Part II for noncash contributions.) |
|     | 4 Park Plaza, Suite 1700  | | |
| 6   | MITSUI FOODS              | $5,000              | Person X, Payroll, Noncash | (Complete Part II for noncash contributions.) |
|     | 35 Maple Street           | | |

THE MITSUI USA FOUNDATION

Employer identification number 13-3415220

Schedule B (Form 990) (2021)
### Underpayment of Estimated Tax by Corporations

**Name:** THE MITSUI USA FOUNDATION  
**Employer identification number:** 13-3415220

**Note:** Generally, the corporation is not required to file Form 2220 (see Part II below for exceptions) because the IRS will figure any penalty owed and bill the corporation. However, the corporation may still use Form 2220 to figure the penalty. If so, enter the amount from page 2, line 38, on the estimated tax penalty line of the corporation's income tax return, but do **not** attach Form 2220.

### Part I Required Annual Payment

1. **Total tax** (see instructions) ........................................... 1  
2a. **Personal holding company tax** (Schedule PH (Form 1120), line 26) included on line 1  
2b. **Look-back interest** included on line 1 under section 460(b)(2) for completed long-term contracts or section 167(g) for depreciation under the income forecast method  
2c. **Credit for federal tax paid on fuels** (see instructions)  
2d. **Total.** Add lines 2a through 2c  
3. **Subtract line 2d from line 1.** If the result is less than $500, do **not** complete or file this form. The corporation does not owe the penalty  
4. **Enter the tax shown on the corporation's 2020 income tax return.** See instructions.  
Caution: If the tax is zero or the tax year was for less than 12 months, skip this line and enter the amount from line 3 on line 5  
5. **Required annual payment.** Enter the smaller of line 3 or line 4. If the corporation is required to skip line 4, enter the amount from line 3  

### Part II Reasons for Filing—Check the boxes below that apply. If any boxes are checked, the corporation **must** file Form 2220 even if it does not owe a penalty. See instructions.

- [ ] The corporation is using the adjusted seasonal installment method.
- [ ] The corporation is using the annualized income installment method.
- [ ] The corporation is a "large corporation" figuring its first required installment based on the prior year's tax.

### Part III Figuring the Underpayment

|  | (a) | (b) | (c) | (d) |
|---|---|---|---|---|
| 9. **Installment due dates.** Enter in columns (a) through (d) the 15th day of the 4th, 5th, 6th, 9th, and 12th months of the corporation's tax year. | 08/15/21 | 09/15/21 | 12/15/21 | 03/15/22 |
| 10. **Required installments.** If the box on line 6 and/or line 7 above is checked, enter the amounts from Schedule A, line 38. If the box on line 8 (but not 6 or 7) is checked, see instructions for the amounts to enter. If none of these boxes are checked, enter 25% (0.25) of line 5 above in each column | 2,659 | 2,659 | 2,659 | 2,659 |
| 11. **Estimated tax paid or credited for each period.** For column (a) only, enter the amount from line 11 on line 15. See instructions | 4,843 | 7,000 |
| 12. **Enter amount, if any, from line 18 of the preceding column** | 2,184 | 2,184 | 7,000 |
| 13. **Add lines 11 and 12.** | 2,184 | 475 | 3,134 |
| 14. **Add amounts on lines 16 and 17 of the preceding column** | 4,843 | 2,184 | 0 | 3,866 |
| 15. **Subtract line 14 from line 13.** If zero or less, enter -0-. Otherwise, enter -0-. | 0 | 475 | 2,659 | 0 |
| 16. **If the amount on line 15 is zero, subtract line 13 from line 14. Otherwise, enter -0-.** | 2,184 |
| 17. **Underpayment. If line 15 is less than or equal to line 10, subtract line 15 from line 10. Then go to line 12 of the next column. Otherwise, go to line 18.** | 0 | 475 | 2,659 | 0 |
| 18. **Overpayment. If line 10 is less than line 15, subtract line 10 from line 15. Then go to line 12 of the next column.** | 2,184 |

**Go to Part IV on page 2 to figure the penalty. Do not go to Part IV if there are no entries on line 17—no penalty is owed.**

---

**Form 2220 (2021)**

---

DAA
### Part IV Figuring the Penalty

#### Instruction:
- **Enter the date of payment or the 15th day of the 4th month after the close of the tax year, whichever is earlier.**

**Note:**
- **(C corporations with tax years ending June 30 and S corporations):** Use 3rd month instead of 4th month.
- **Form 990-PF and Form 990-T filers:** Use 5th month instead of 4th month. See instructions.

#### Table:

| Line | Description | Formula | Amount |
|------|-------------|---------|--------|
| 19   | See Worksheet |         |        |
| 20   | Number of days from due date of installment on line 9 to the date shown on line 19 |  |        |
| 21   | Number of days on line 20 after 4/15/2021 and before 7/1/2021 | \( \text{Number of days on line 20} \times \frac{365}{365} \times 3\% \times 0.03 \) | \$ \( \) |
| 22   | Number of days on line 20 after 6/30/2021 and before 10/1/2022 | \( \text{Number of days on line 23} \times \frac{365}{365} \times 3\% \times 0.03 \) | \$ \( \) |
| 23   | Number of days on line 20 after 9/30/2021 and before 1/1/2022 | \( \text{Number of days on line 25} \times \frac{365}{365} \times 3\% \times 0.03 \) | \$ \( \) |
| 24   | Number of days on line 20 after 12/31/2021 and before 3/16/2023 | \( \text{Number of days on line 27} \times \frac{365}{365} \times 3\% \times 0.03 \) | \$ \( \) |
| 25   | Number of days on line 20 after 3/31/2022 and before 7/1/2022 | \( \text{Number of days on line 29} \times \frac{365}{365} \times \% \times 0.03 \) | \$ \( \) |
| 26   | Number of days on line 20 after 6/30/2022 and before 1/1/2023 | \( \text{Number of days on line 31} \times \frac{365}{365} \times \% \times 0.03 \) | \$ \( \) |
| 27   | Number of days on line 20 after 9/30/2022 and before 1/1/2024 | \( \text{Number of days on line 33} \times \frac{365}{365} \times \% \times 0.03 \) | \$ \( \) |
| 28   | Number of days on line 20 after 12/31/2022 and before 4/1/2024 | \( \text{Number of days on line 35} \times \frac{365}{365} \times \% \times 0.03 \) | \$ \( \) |
| 29   | Number of days on line 20 after 3/31/2023 and before 7/1/2024 | \( \text{Number of days on line 37} \times \frac{365}{365} \times \% \times 0.03 \) | \$ \( \) |
| 30   | Number of days on line 20 after 6/30/2023 and before 10/1/2024 | \( \text{Number of days on line 39} \times \frac{365}{365} \times \% \times 0.03 \) | \$ \( \) |
| 31   | Number of days on line 20 after 9/30/2023 and before 1/1/2025 | \( \text{Number of days on line 41} \times \frac{365}{365} \times \% \times 0.03 \) | \$ \( \) |
| 32   | Number of days on line 20 after 12/31/2023 and before 4/1/2025 | \( \text{Number of days on line 43} \times \frac{365}{365} \times \% \times 0.03 \) | \$ \( \) |
| 33   | Number of days on line 20 after 3/31/2024 and before 7/1/2025 | \( \text{Number of days on line 45} \times \frac{365}{365} \times \% \times 0.03 \) | \$ \( \) |
| 34   | Number of days on line 20 after 6/30/2024 and before 10/1/2025 | \( \text{Number of days on line 47} \times \frac{365}{365} \times \% \times 0.03 \) | \$ \( \) |
| 35   | Number of days on line 20 after 9/30/2024 and before 1/1/2026 | \( \text{Number of days on line 49} \times \frac{365}{365} \times \% \times 0.03 \) | \$ \( \) |
| 36   | Number of days on line 20 after 12/31/2024 and before 4/1/2026 | \( \text{Number of days on line 51} \times \frac{365}{365} \times \% \times 0.03 \) | \$ \( \) |
| 37   | Add lines 22, 24, 26, 28, 30, 32, 34, and 36 | \( \text{Add lines 22, 24, 26, 28, 30, 32, 34, and 36} \times \frac{365}{365} \times \% \times 0.03 \) | \$ \( \) |
| 38   | Penalty | Add columns (a) through (d) of line 37. Enter the total here and on Form 1120, line 34; or the comparable line for other income tax returns. | \$ \( \) |

**Note:**
- Use the penalty interest rate for each calendar quarter, which the IRS will determine during the first month in the preceding quarter.
- These rates are published quarterly in an IRS News Release and in a revenue ruling in the Internal Revenue Bulletin. To obtain this information on the Internet, access the IRS website at [www.irs.gov](http://www.irs.gov). You can also call 1-800-829-4933 to get interest rate information.
**Form 2220 Worksheet**

For calendar year 2021, or tax year beginning **04/01/21**, and ending **03/31/22**

| Name | Employer Identification Number |
|------|--------------------------------|
| THE MITSUI USA FOUNDATION | 13-3415220 |

| Due date of estimated payment | 1st Quarter | 2nd Quarter | 3rd Quarter | 4th Quarter |
|------------------------------|-------------|-------------|-------------|-------------|
| 08/15/21                     |             |             |             |             |
| 09/15/21                     |             | 475         |             | 2,659       |

Prior year overpayment applied: **1,843**

| Date of payment | 1st Payment | 2nd Payment | 3rd Payment | 4th Payment | 5th Payment |
|-----------------|-------------|-------------|-------------|-------------|-------------|
| 07/15/21        | 3,000       |             |             |             |             |
| 02/14/22        |             |             |             |             | 7,000       |

| Qtr | From       | To         | Underpayment | #Days | Rate | Penalty |
|-----|------------|------------|--------------|-------|------|---------|
| 2   | 9/15/21    | 2/14/22    | 475          | 152   | 3.00 | 6       |
| 3   | 12/15/21   | 2/14/22    | 2,659        | 61    | 3.00 | 13      |

Total Penalty: **19**