Non-Hermitian Many-Body Localization with Open Boundaries

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The explorations of non-Hermiticity have been devoted to investigate the disorder-induced many-body localization (MBL). However, the sensitivity of the spatial boundary conditions and the interplay of the non-Hermitian skin effect with many-body phenomena are not yet clear. For a MBL system in the presence of non-reciprocal tunnelings and random disorder potential, we identify two different complex-real spectral transitions, one is present for both open and periodic boundaries while the other is present only for open boundaries of a coupled non-Hermitian chains. The later is driven due to the inter-chain coupling at weak disorder where the level statistics of the real eigenenergy phase follows Gaussian orthogonal ensemble. We further characterize wavefunctions through the (biorthogonal) inverse participation ratio and fractal dimension, which reveal the suppression of skin effect in the non-Hermitian MBL phase. Finally, we demonstrate that the quench dynamics of the local particle density, spin imbalance, and entanglement entropy also signify the hallmark of the boundary effects and non-ergodic character of many-body localization.

I. INTRODUCTION

When traditional quantum mechanics postulates Hermiticity, numerous developments have been made for exploring non-Hermitian quantum mechanics in various fields of physics like condensed-matter, cold atoms, and open quantum systems [1–6]. The recent experimental advances provide access to engineer the non-Hermitian Hamiltonians with the dissipation and non-reciprocal tunnelings [7–11]. These developments allow us to explore fundamental physics of localization. The interplay between disorder and non-Hermiticity due to asymmetric hopping was first investigated by the pioneer works of Hatano and Nelson, which reveal a real-complex transition of single-particle spectrum [12–14]. Moreover, it has been shown that the random potential can suppress the complex eigenenergies of an interacting single Hatano-Nelson chain with periodic boundary condition (PBC) and the spectral transition is accompanied with non-Hermitian MBL transition [15]. The similar phenomena have also been found for quasiperiodic potential [16, 17]. Since the disordered single-chain model respecting time-reversal symmetry belongs to the symmetry class AI, its localized phase follows the real Poisson ensemble while the delocalized phase follows the Ginibre ensemble [18–20].

The choice of the imposed boundary conditions plays decisive role in determining the properties of non-Hermitian systems. One fascinating phenomenon that has no Hermitian counterparts is the non-Hermitian skin effect (NHSE). It describes the anomalous localization for an extensive number of bulk modes which can occur at the boundaries of non-Hermitian open lattices [21–31]. This effect fundamentally challenges our knowledge of the band theory and violates the conventional bulk boundary correspondence of Hermitian systems which connects robust edge states to bulk topological invariants. For non-Hermitian Hamiltonians, the understanding of NHSE in non-interacting systems relies on the non-Bloch theory in which non-Bloch topological invariants are defined in generalized Brillouin zones [6, 32]. The NHSE has been realized in various experimental setups of photonics [7, 33], electrical circuits [8, 9, 34], metamaterials [35, 36], and ultracold atoms [10, 11]. More recently, the fate of skin modes in fermionic and bosonic systems with many-body correlations has been investigated [37–47]. However, little is known about the robustness of NHSE on the localization properties of many-body disordered systems.

To date, the unusual characteristics of non-Hermitian systems have been explored from various perspectives. One of them is the sensitivity of boundary conditions which leads to the emergence of NHSE. Under PBC, for a single periodic non-Hermitian chain with non-reciprocal tunnelings, the many-body localization transition occurs with a complex-real spectral transition [15, 16]. However, this does not apply to a single chain under open boundary condition (OBC) due to the real spectrum in the presence of NHSE. Recently, many theoretical studies have uncovered that the non-trivial behaviour arises due to the finite coupling between two one-band subsystems with different generalized Brillouin zones [48–52]. It is legitimate to ask whether the boundary-induced phenomena exist when two subsystems are coupled together with unequal (or equal) non-reciprocal hopping parameters. The interplay of the non-reciprocal hoppings and coupling strength of two-chain for many-body disordered system is yet to be explored.

In this work, we study the spectral statistics, eigenstate properties, and non-equilibrium dynamics of many-body coupled Hatano-Nelson chains in the presence of a random disorder potential. In particular, we investigate the role of the imposed boundary conditions on the characteristic properties

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of a non-Hermitian two-chain system. We identify a parameter space of inter-chain coupling and non-reciprocal tunneling parameters, where a complex-real spectral transition is observed for finite open chains, while the spectrum for PBC maintains complex. The spectral transition is accompanied by the change in nearest-level spacing distribution of eigenenergies and average complex spacing ratio. At weak disorder, the level statistics changes from Ginibre to Gaussian Orthogonal ensemble (GOE), corresponding to the spectral transition as the inter-chain coupling increases. The system enters into the many-body localized phase with increases in disorder strength and this phase possesses a real eigenspectrum. Our work report a spectral transition which is unique to the coupled fermionic chains and enhances the prospects of observing more spectral transitions as compared to its single-chain counterpart. We further confirm the MBL by eigenstate properties such as inverse participation ratio and fractal dimension, and show the suppression of NHSE in the localized phase. Finally, the boundary effects and signature of MBL are corroborated in the time evolution of imbalance and entanglement entropy.

This work is organized as follows. Sec. II introduces the interacting two-chain Hatano-Nelson model. In Sec. III, we discuss the spectral transition in eigenspectrum, the level statistics, the inverse participation ratio, the quench dynamics of spin imbalance and entanglement entropy, and experimental realization of the model Hamiltonian. Finally, we summarize our results in Sec. IV.

II. THE MODEL

We consider two coupled non-Hermitian Hatano-Nelson chains of interacting fermions in the presence of a random potential. In the two-chain geometry, the two chains can be interpreted as two components of spin-1/2 fermions [See Fig. 1(a)]. The model Hamiltonian reads as

\[
\hat{H} = - \sum_{j, \sigma} J \left( e^{-g_{\sigma} \hat{c}_{j,\sigma}^{\dagger} \hat{c}_{j+1,\sigma}} + e^{g_{\sigma} \hat{c}_{j+1,\sigma}^{\dagger} \hat{c}_{j,\sigma}} \right) \\
- \sum_{j} \left( K c_{j,\uparrow}^{\dagger} c_{j,\downarrow} + H.c. \right) \\
+ U \sum_{j, \sigma} \hat{n}_{j,\uparrow} \hat{n}_{j,\downarrow} + \sum_{j, \sigma} \epsilon_{j,\sigma} \hat{n}_{j,\sigma},
\]

(1)

where \( j \) and \( \sigma = \{ \uparrow, \downarrow \} \) represent the spatial and spin (chain) indices, \( J \) is the hopping strength between neighbouring lattice sites on the same chain, \( g_{\sigma} \) is the non-Hermiticity parameter of \( \sigma \)-spin, \( c_{j,\sigma}^{\dagger} (c_{j,\sigma}) \) creates (annihilates) fermion with spin \( \sigma \) at \( j \)-th site, \( \hat{n}_{j,\sigma} = c_{j,\sigma}^{\dagger} c_{j,\sigma} \) is the occupation number operator, \( K \) is the inter-chain coupling strength, \( U \) is the onsite interaction strength, and \( \epsilon_{j,\sigma} \) is the random disorder potential chosen between \([-W, W]\) with \( W \) being the disorder strength. Here, we primarily consider the uncorrelated (spin-dependent) disorder, which breaks SU(2) spin-symmetry of the model and is known to induce full localization in the Hermitian system [53–56]. However, we also discuss the contrast behaviour due to spin-independent disorder potential \( \epsilon_{j,\uparrow} = \epsilon_{j,\downarrow} \) for some specific cases. In the present work, we set the hopping amplitude \( J \) as the unit of energy scale, \( J = 1 \). We consider a system of fermions at half-filling, i.e. the total number of fermions \( N = N_{\uparrow} + N_{\downarrow} = L \) with \( L \) being the number of lattice sites along the ladder. It is also worth noting, according to the symmetry class of a non-Hermitian system [57–59], the model considered here belongs to the symmetry class AI that preserves the time reversal symmetry \( \hat{H} = \hat{H}^T \) and breaks the transposition symmetry \( \hat{H} \neq \hat{H}^T \).

FIG. 1. (a) The schematic representation of a two-chain Hatano-Nelson model. (b,c) The complex energy fraction \( f_{\text{im}} \) in \( K-g_{\uparrow}/g_{\downarrow} \) plane. Disorder-averaged eigenspectrum distributions of a finite lattice with \( L = 7 \) sites under open boundary conditions are shown for two representative cases: (b) \( W = 2 \) corresponds to the ergodic regime while (c) \( W = 30 \) represents the MBL regime. The yellow and blue colors represent the parameter space of the model with complex \( (f_{\text{im}} = 1) \) and real \( (f_{\text{im}} = 0) \) eigenenergies, respectively. The red circles in (b) represent the critical inter-chain coupling strength \( (K_c) \) of complex-real spectral transition for different \( g_{\sigma}/g_{\uparrow} \). The interplay of \( K \) and \( g_{\sigma}'s \) results into a (b) complex-real transitions at weak disorder and (c) at strong disorder the spectrum remains real. Here, we fix \( U = 1 \) and set \( J = 1 \) as the unit of the energy. The spectrum is averaged over 200 disorder samples.

In non-Hermitian systems with non-reciprocal hoppings, the spectra is extremely sensitive to the boundary conditions. For the two-chain model with dissimilar non-Hermiticity parameter \( g_{\uparrow} \neq g_{\downarrow} \), the inter-chain coupling \( K \) can further yield non-trivial interference between chains. When \( K = 0 \), the open boundary condition allows us to remove \( g_{\sigma} \) by an imaginary gauge transformation (IGT) [60], \( c_{j,\sigma} \rightarrow e^{i g_{\sigma} j} c_{j,\sigma} \) and \( \epsilon_{j,\sigma} \rightarrow e^{-i g_{\downarrow} j} \epsilon_{j,\sigma} \). As a result, Eq. (1) becomes a Hermitian disordered Hubbard model and all the many-body eigenenergies are real under OBC. For any \( K \neq 0 \), if \( g_{\uparrow} \neq g_{\downarrow} \), \( g_{\sigma} \) cannot be removed by such a transformation, and imaginary parts could appear in the spectrum. While the complex (real) spectrum of the single Hatano-Nelson chain is unique to the PBC (OBC), much richer eigenspectrum and many-body dynamics arise from the two-chain model under OBC.
III. RESULTS AND DISCUSSIONS

A. Real-complex transition of eigenspectrum

We first discuss the characteristic properties of the change in the eigenspectrum as a function of the inter-chain coupling $K$ and non-Hermiticity parameters $g_r$. To manifest the complex spectrum, we define the fraction of the complex energies $f_{\text{im}} = |D_{\text{im}}|/D$, where $D_{\text{im}}$ is the number of complex eigenenergies with non-zero imaginary part, $D$ is the total number of eigenenergies, and the underline denotes the disorder average. The eigenenergies are defined as complex if $|\text{Im}(E)| > C$ with a cut-off $C = 10^{-14}$, which is identified based on the machine error. Since the model Hamiltonian preserves the time-reversal symmetry, we find that the imaginary parts of the energies appear symmetric to the real axis [see Appendix A for details].

Under OBC, we first show the disorder-averaged fraction of the complex energies for weak disorder strengths ($W=2$) in Fig. 1(b). The phase diagram can be divided in various regimes (i) $K=0 \rightarrow K \neq 0$, (ii) $g_\uparrow \neq g_\downarrow \rightarrow g_\uparrow = g_\downarrow$, (iii) $K \gg 1$. (i) When tuning the inter-chain coupling from decoupled ($K=0$) to the coupled ($K \neq 0$) limit, we numerically verify that the phase diagram, except for $g_\uparrow/g_\downarrow = 1$, exhibits a real-complex spectral transition of the many-body eigenenergies [52]. In the limit of weak inter-chain coupling ($K \approx 10^{-5}$), the energy spectrum of the system resembles that of two individual uncoupled chains. It is important to note that a single Hatano-Nelson chain under OBC possesses real spectrum, this is because the non-reciprocal hopping can be gauged out using IGT and a non-Hermitian Hamiltonian with real energies can be mapped to a Hermitian Hamiltonian. To elucidate the effect of nonzero $K$ on the energy distribution, we first consider the $g_\uparrow = g_\downarrow$ case where the forward and backward hoppings are identical for both of the chains. In this scenario, the anomalous localization due to NHSE still survives and the validity of IGT makes the energy spectrum real. On the other hand, the $g_\uparrow \neq g_\downarrow$ case leads to dissimilar inverse skin lengths (of NHSE) for each of the parts of the energies appear symmetric to the real axis [see Appendix A for details].

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$$d_{1,i} \equiv \min_j |E_i - E_j|.$$ (2)

Next, the local mean density of the eigenvalues is computed as

$$\bar{\rho} = \frac{n}{\pi d_{1,i}^2},$$ (3)

where $n$ is sufficiently larger than unity ($\approx 30$), but very small compared to the Hamiltonian matrix size. Here, $d_{1,i}$ is the $n$th nearest-neighbour distance from $E_i$. The rescaled nearest-neighbour distance $s_i$ is obtained as

$$s_i = d_{1,i} \sqrt{\bar{\rho}},$$ (4)

which removes the dependence of the local density of eigenvalues on the level spacing. Finally, the statistics of nearest-neighbour spacings are computed from $s_i$.

At weak disorder, the complex energy spectrum with smaller $K$ and $g_\uparrow \neq g_\downarrow$ obeys the Ginibre distribution $P_{\text{Gin}}(s) = cs(s)\exp(-s^2)$ which describes the ensemble of non-Hermitian Gaussian random matrices [20, 57, 61]. Here,

$$p(s) = \lim_{N \to \infty} \prod_{n=1}^{N-1} e_n(s^2) e^{-s^2} \sum_{n=1}^{N-1} \frac{2s^{2n+1}}{n! e_n(s^2)}$$ (5)

with $e_n(x) = \sum_{m=0}^{n} \frac{x^m}{m!}$ and $c = \int_0^\infty ds s p(s) = 1.1429$.

At sufficiently higher $K$, the effect of the non-Hermiticity is suppressed and the system possesses real spectra irrespective of any $g_\uparrow/g_\downarrow$. The quantitative variation of $f_{\text{im}}$ for different system sizes is discussed in the Appendix A, which confirms the robustness of the phase diagram with $L$.

At strong disorder strengths, the system is expected to be in the many-body localized phase. As shown in Fig. 1(c), the OBC eigenenergies remain real in entire $K=0$ plane since the strong disorder potential destroys the non-Hermiticity. Hence, we find a disorder-induced complex-real transition. It is important to note that for the coupled-chain system this transition exists not only for OBC but also for PBC, in stark contrast to the occurrence of the inter-chain coupling driven transition which is sensitive to the boundary conditions. We have provided the details of the eigenspectrum with PBC in Appendix A.

B. Spectral statistics

We now investigate the level statistics to unveil the universal features of the eigenenergies. For non-Hermitian disordered systems with time-reversal symmetry, the localized phase follows the real Poisson ensemble while the delocalized phase follows the Ginibre ensemble [18–20]. The nearest-level spacings for an eigenenergy $E_i$ are defined as $|E_i - E_i^{\text{NN}}|$, where $E_i^{\text{NN}}$ is an eigenvalue nearest to $E_i$ in the complex energy plane. We first perform an unfolding of the spectrum to obtain the histogram of the Euclidean distance between nearest-neighbour eigenvalues [20, 57]. Here, the unfolding procedure is applied to both complex and real spectra of the model. To get the unfolding spectrum, we first compute the nearest-neighbour distance of the eigenvalues

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of eigenvalues for the level statistics and ascertain that their eigenstates share similar localization properties. The number of disorder realizations is chosen such that the total number of eigenvalues is $\sim 10^6$.

We first consider the evolution of $\langle r \rangle$ as a function of $K$ for weak disorder ($W = 2$) [Fig. 3(a)]. For smaller $K$ and $g_t \neq g_i$, the $\langle r \rangle$ attains a constant value $\approx 0.74$, which is for the Ginibre ensemble [61]. When $K$ increases to the strong coupling limit ($K \gg 1$), Fig. 3(a) shows a transition to $\langle r \rangle \approx 0.56$ for the GOE distribution [65]. This transition is consistent with the corresponding complex-real transition of the eigenspectrum shown in Fig. 1(b). In Fig. 3(a), it is evident that the $K_c$, which demarcates Ginibre and GOE statistics, lowers as the ratio $g_t/g_i$ approaches unity. For $g_t/g_i = 1$, the $\langle r \rangle$ remains nearly GOE, because the non-Hermiticity can be removed by the imaginary gauge transformation under OBC. It is interesting to note that for $g_t/g_i = 1$, the correlated (spin-independent) disorder potential leads to a Poisson level distribution due to inherent SU(2) spin symmetry. Therefore, to have GOE statistics, we need uncorrelated disorder potential which breaks the spin symmetry [see Appendix B for details].

Fig. 3(b) shows $\langle r \rangle$ as a function of the disorder strength $W$ for weak inter-chain coupling ($K = 1$). We demonstrate that the disorder-induced complex-real transition is accompanied by a change in $\langle r \rangle$ from Ginibre to real Poisson statistics. It is important to stress that the present non-Hermitian model has $\langle r \rangle \approx 0.5$ for the real Poisson statistics, which is different from the conventional $\langle r \rangle \approx 0.38$ of Hermitian many-body systems [63, 64], even both being characterized for real spec-
tra. This fact is consistent with a recent study which characterizes the spacing ratio for the spectra of non-Hermitian random matrices [65]. Furthermore, the critical disorder strength of the transition $W_c \approx 9$ can be obtained by inspecting the crossing of $\langle \tau \rangle$-curves for two largest systems. Combining Fig. 3 (a) and (b), we note that for strong inter-chain coupling or $g_t/g_l = 1$, the disorder leads to a transition from GOE to Poisson distribution. Thus, at strong $W$, the effects of the disorder potential prevails and $\langle \tau \rangle$-value reaches a stationary value of the real Poisson statistics.

Likewise, the single-number signature of $\langle -\cos(\theta) \rangle$ distinguishes the ergodic and localized phase [61]. In Fig. 3(c) and Fig. 3(d), we show the evolution of the disorder-averaged mean $-\cos(\theta)$ with $K$ and $W$. We first consider $\langle -\cos(\theta) \rangle$ as a function of $K$ for weak disorder [Fig. 3(c)]. At small $K$, we numerically find $\langle -\cos(\theta) \rangle \approx 0.22$, that corresponds to the Gineibre level distribution. This value is consistent to the analysis for single Hatano-Nelson chain [61]. As $K$ increases, we find a dip in $\langle -\cos(\theta) \rangle$ which demarcates the complex- and real-energy phases. Moreover, for larger $g_t/g_l$ (with $g_l \neq g_l$) the dip occurs at smaller $K$. On the other hand, for $g_t/g_l = 1$ the distribution of $\langle -\cos(\theta) \rangle$ does not change sign. The main features of $\langle -\cos(\theta) \rangle$ are in consonance with the eigenspectrum transition and evolution of $\langle \tau \rangle$. In Fig. 3(d), we further show the variation of $\langle -\cos(\theta) \rangle$ as a function of $W$ for $K = 1$ and $g_t/g_l = 0.2$. As $W$ increases, the value of $\langle -\cos(\theta) \rangle$ decreases, becomes negative, and eventually reaches $\langle -\cos(\theta) \rangle = 0$ for the Poisson statistics at strong disorder. This again confirms the disorder-induced spectral and localization transition.

### C. Inverse Participation Ratio

To further understand the interplay between localization and NHSE in real space, we characterize the wavefunctions by employing the inverse participation ratio (IPR) and fractal dimension (FD). For non-Hermitian systems, the IPR can be defined in two ways: one using $n$th left or right eigenstates and other is defined under biorthogonal basis from both left and right eigenstates. These are defined as

$$I_{n,\sigma} = \frac{\sum_{i,\sigma} |\psi_{i,\sigma}^n|^2}{(\sum_{i,\sigma} |\psi_{i,\sigma}^n|^2)^2}; \quad I_{nB,\sigma} = \frac{\sum_{i,\sigma} |\tilde{\psi}_{i,\sigma}^n|^2}{(\sum_{i,\sigma} |\tilde{\psi}_{i,\sigma}^n|^2)^2},$$

(7)

where $s$ is the disorder realization, the subscript $nB$ represents the biorthogonal IPR, $\psi_{i,\sigma}^n \equiv \langle \tilde{n}|\tilde{b}_{i,\sigma}\rangle$ and $\psi_{i,\sigma}^n \equiv \langle \tilde{n}|\tilde{b}_{i,\sigma}\rangle$ with $n$ and $\tilde{n}$ are the right and corresponding left eigenstates, and $|\tilde{b}_{i,\sigma}\rangle$ are Fock space chosen as computational basis. The IPRs are further first averaged over numerous disorder realizations and then over the spectrum to get the mean IPRs, $I_{\text{avg}} = \sum_n I_{n}/D$ and $I_{\text{avg}} = \sum_n I_{nB}/D$ with $D$ being the dimension of the Hilbert space and overline denotes the disorder average. The disorder-averaged IPRs of eigenstate $n$ are shown in Appendix C. For delocalized states, the IPR approaches zero in the thermodynamic limit while for localized states it saturates to a finite value ($\approx 1$). The fractal dimension of an eigenstate is another measure which is recently devised to examine the localization properties of many-body systems [66, 67]. The mean FD can be directly constructed from the mean IPRs as $\eta = -\ln(I_{\text{avg}})/\ln D$ and biorthogonal FD $\eta_B = -\ln(I_{\text{avg}}^{B})/\ln D$. The extended and localized phases are recognized by $\eta \to 1$ and $\eta \to 0$, respectively.

In Fig. 4(a) we show the mean IPR and FD (defined in both ways) of open chains as a function of $K$ for $W = 2$. It is interesting to note that the value of $I_{\text{avg}}$ is nonzero even at weak disorder, whereas $I_{\text{avg}}^{B}$ is zero. This suggests that the finite $I_{\text{avg}}$ is due to the NHSE which plays a significant role at weak disorder, while the biorthogonal density distributions do not suffer from the NHSE. This fact can be understood from the similarity transformation $|n\rangle = S^{-1} \langle n | \tilde{n} \rangle S$, with $|n\rangle$ being the eigenstate of the corresponding Hermitian system. Hence, the biorthogonal density distributions are devoid of the NHSE. It is important to note that the choice of eigenstates for IPR is only important for open chains as under PBC the NHSE is absent. Furthermore, the corresponding contrast behaviour in $\eta$ and $\eta_B$ is also noted, cf. Fig. 4(a). The evolution of $I_{\text{avg}}$ and $\eta_B$ with $K$ confirms the delocalization at $W = 2$. While the system possesses a complex-real spectral transition with increase in $K$, as shown in Fig. 1(b), this transition does not overlap with the localization transition.

We further present the evolution of mean IPR and FD with $W$ in Fig. 4(b). At lower $W$, the $I_{\text{avg}}^{B}$ is small but $I_{\text{avg}}$ acquires a finite value due to the interference of the NHSE. The $\eta_B$ approaches unity at lower $W$ representing the delocalization. The value of mean IPR (FD) increases (decreases) with $W$, confirms the disorder-driven localization in the non-Hermitian two-chain model. The observed behaviours of IPR and FD show that the disorder-driven localization transition overlaps to the complex-real spectral transition. In addition, we note that in contrast to the weak-disorder regime where a significant difference between $\eta$ and $\eta_B$ exists, at strong disorder the values predicted by $\eta$ and $\eta_B$ coincide. This indicates the suppression of NHSE in the presence of strong disorder strength. The finite-size effects of IPR is discussed in Appendix C.

### D. Dynamical Properties

Here we study the non-equilibrium time dynamics of the non-Hermitian system from the perspective of quantum trajec-
tories with no-jump condition for the continuously measured system [15, 68]. By choosing an arbitrary initial state $|\psi_0\rangle$ at $t = 0$, the time dynamics is encoded in the wavefunction

$$|\psi_t\rangle = \frac{e^{-iHt/\hbar}|\psi_0\rangle}{\sqrt{\langle\psi_0|e^{iHt/\hbar}e^{-iHt/\hbar}|\psi_0\rangle}},$$

(8)

which is governed solely by the non-Hermitian effective Hamiltonian $\mathcal{H}$. With this time-dependent wavefunction, all the dynamical properties can be explored.

![Diagram](image)

**FIG. 5.** (a) Schematic of the initial state $\hat{c}_{1,\uparrow}^\dagger \hat{c}_{2,\downarrow}^\dagger \hat{c}_{3,\downarrow}^\dagger \hat{c}_{4,\downarrow}^\dagger \cdots |\text{vac}\rangle$. The red (blue) dots are initially occupied (unoccupied) sites, and the dark to light gradients denote the spatial position from left to right. (b,c) The time evolution of the local particle density at each site for weak ($K = 0.5$, upper panel) and strong ($K = 6$, lower panel) inter-chain couplings. The dynamics at weak ($W = 2$) and strong ($W = 20$) disorder strengths are shown in (b) and (c), respectively. The plots are obtained by averaging over 500 disorder realizations, and the other parameters are $U = 1$ and $g_\uparrow/g_\downarrow = 0.5$.

We first discuss the dynamics of the local particle density $n_{j,\sigma}(t)$ and spin imbalance $I_s(t)$ for different inter-chain couplings $K$ and disorder strengths $W$. Here the spin imbalance is defined as

$$I_s(t) = \frac{1}{L} \sum_{j=1}^L (-1)^{j-1} (n_{j,\uparrow}(t) - n_{j,\downarrow}(t)),$$

(9)

whose long-time stationary value effectively serves as an order parameter of many-body localized phase. We choose the Néel ordered state $|\uparrow\downarrow\uparrow\downarrow\cdots\rangle$ as an initial state, whose schematic representation is shown in Fig. 5(a). This state has $I_s(0) = 1$ at initial time ($t = 0$).

At weak disorder ($W = 2$), the time evolution of the local particle density for both small and strong inter-chain couplings are shown in Fig. 5(b). We observe that the saturation values of the local particle densities for $|\uparrow\rangle$ and $|\downarrow\rangle$ coincide at the same spatial indices. Thus, the corresponding $I_s(t)$ in Fig. 6(a) relaxes to zero as time evolves, losing memory of initial ordering, suggests delocalization of the system. On the other hand, Fig. 5(c) for strong disorder ($W = 20$) shows that the stationary values of the particles densities for $|\uparrow\rangle$ and $|\downarrow\rangle$ do not coincide at the same spatial indices, which leads to a non-vanishing steady value of $I_s(t)$ at long times in Fig. 6(a). This initial-state memory retention indicates the disorder-driven MBL. In addition, we find that at strong disorder, larger $K$ suppresses the steady value of $I_s(t)$. This is because the $K$ term couples different spin sectors and scrambles the initial spin ordering. Therefore, as the inter-chain coupling $K$ increases, the disorder-driven localization transition occurs at higher $W$.

It is interesting to note that although the system is extended in character at weak disorder, the larger local particle density appears at the site closer to the right end of the chain [Fig. 5(b)]. This anomalous localization stems from the non-reciprocal tunnelings. However, we stress that this localization is suppressed by strong disorder in the MBL phase [Fig. 5(c)]. It is worth mentioning that the suppression of the NHSE-induced localization at strong disorder is also observed in the eigenstate properties, as discussed in subsection III C.

![Diagram](image)

**FIG. 6.** The time dynamics of (a) spin imbalance and (b) bipartite entanglement entropy for $W = 2$ (dashed-line) and $W = 20$ (solid-line) at $K = 0.5$ (blue) and $K = 6$ (red). At $W = 20$, a non-vanishing stationary value of $I_s(t)$ and the logarithmic growth of $S_E(t)$ characterize the MBL. At weak disorder, the long-time dynamics of the entanglement entropy exhibits the decrease in the complex-energy phase ($K = 0.5$) while remains steady in the real-energy phase ($K = 6$). The disorder average is performed over 500 realizations. Here, the onsite interaction strength $U = 1$ and $g_\uparrow/g_\downarrow = 0.5$.

We further investigate the dynamics of half-chain von Neumann entanglement entropy which is defined as

$$S_E(t) = -\text{Tr}[\rho_A(t)\ln\rho_A(t)],$$

(10)

where the two subsystems are denoted as $A$ and $B$ with $\rho_A(t) = \text{Tr}_B[|\psi_t\rangle\langle\psi_t|]$ being the reduced density matrix of subsystem $A$. Here $\text{Tr}_B$ is the trace over degrees of freedom of subsystem $B$. The time evolution of $S_E(t)$ for various limits of $K$ and $W$ is shown in Fig. 6(b). For strong disorder strength, we find the long-time evolution of $S_E(t)$ exhibits a logarithmic growth, which is reminiscent of non-ergodicity in the Hermitian many-body localization [69, 70]. For weak disorder, however, $S_E(t)$ can decrease after $t \approx 5$ in the complex eigenenergy phase ($K = 0.5$), but remains steady in
the real eigenenergy one \((K = 6)\). This long-time behavior of \(S_E(t)\) signifies the real-complex transition unique to open non-Hermitian chains.

### E. Experimental realization

The spectral and localization transitions reported in the present work can be qualitatively realized in two-chain fermionic lattice with asymmetric hoppings. Recently, it has been proposed that such non-reciprocal hopping can be effectively implemented by a reservoir engineering [71–73]. It is worth mentioning that the implementation of a single Hatano-Nelson chain in cold-atom experiments has already been proposed [4]. Using similar strategy in the implementation of a two-component fermionic systems (such as a non-Hermitian Su-Schrieffer-Heeger model or two-chain system considered here) and allowing a Rabi-coupling \(K\) is feasible for cold-atoms trapped in optical lattices [74–79]. The model Hamiltonian of the present work can be mapped to an effective non-Hermitian dissipative model. In particular, the Hamiltonian Eq. (1) can be re-written into Hermitian \(\hat{H}_1 = (\hat{H} + \hat{H}^\dagger)/2\) and anti-Hermitian \(\hat{H}_2 = (\hat{H} - \hat{H}^\dagger)/2\) parts

\[
\hat{H}_1 = -\frac{J (e^{g_\sigma} + e^{-g_\sigma})}{2} \sum_{j,\sigma} (\hat{c}^\dagger_{j+1,\sigma} \hat{c}_{j,\sigma} + \hat{c}_j \hat{c}^\dagger_{j+1,\sigma}) - \sum_j K (\hat{c}^\dagger_{j,\sigma} \hat{c}_{j,\sigma} + \hat{c}_j \hat{c}^\dagger_{j,\sigma}) + U \sum_j \hat{n}_{j,\uparrow} \hat{n}_{j,\downarrow} + \sum_{j,\sigma} \epsilon_{j,\sigma} \hat{n}_{j,\sigma},
\]

\[
\hat{H}_2 = -\frac{J (e^{g_\sigma} - e^{-g_\sigma})}{2} \sum_{j,\sigma} (\hat{c}^\dagger_{j+1,\sigma} \hat{c}_{j,\sigma} - \hat{c}_j \hat{c}^\dagger_{j+1,\sigma}).
\]

The Hermitian part of the Hamiltonian \(\hat{H}_1\) in Eq. (11a) with random disorder potential can be constructed by superimposing an optical speckle field onto an optical lattice [80, 81]. The disorder strength is proportional to the speckle field strength and therefore can be tuned. Moreover, with current advancement of ultracold atom experiments, it is possible to generate spin-dependent disorder potential by using laser beams of different polarizations. The onsite interaction strength is tunable by adjusting the \(s\)-wave scattering length between two fermionic components using a Feshbach resonance [82]. Note that the implementation of a two-leg ladder systems in a clean system is already performed in recent experiments [83–87], where the inter-chain tunneling of atoms can be controlled by the Raman transitions between the states.

The anti-Hermitian part \(\hat{H}_2\) can be implemented by considering the jump operator that includes a collective one-body loss [4]

\[
\hat{L}_{j,\sigma} = \sqrt{J} [e^{g_\sigma} - e^{-g_\sigma}] [\hat{c}_{j,\sigma} + i \text{sgn}(g_\sigma) \hat{c}_{j+1,\sigma}],
\]

where \(\text{sgn}(g_\sigma)\) indicates the sign of \(g_\sigma\) and controls the direction of non-reciprocal tunnelings. Under no-jump condition or post-selection [15, 68], the dynamics of the density matrix is solely governed by the following effective non-Hermitian Hamiltonian

\[
\hat{H}_{\text{eff}} = \hat{H}_1 - \frac{i}{2} \sum_{\sigma} \sum_{j=0}^L \hat{L}^\dagger_{j,\sigma} \hat{L}_{j,\sigma}
\]

\[
= \hat{H}_1 + \hat{H}_2 - i \sum_{\sigma} \sum_{j=1}^L \sqrt{J} |\sinh g_\sigma| \hat{c}^\dagger_{j,\sigma} \hat{c}_{j,\sigma},
\]

where the last term represents the onsite atom decay and we have considered the open boundary conditions at \(j = 0\) with \(L_{0,\sigma} = \sqrt{J} |e^{g_\sigma} - e^{-g_\sigma}| i \text{sgn}(g_\sigma) \hat{c}_{1,\sigma}\) and \(j = L\) with \(L_{L,\sigma} = \sqrt{J} |e^{g_\sigma} - e^{-g_\sigma}| \hat{c}_{L,\sigma}\). It is evident that two chains have different decay terms at \(g_\uparrow \neq g_\downarrow\). To compensate for this discrepancy, two chains should couple to different reservoirs to have the same onsite decay rate. In this case, the final effective non-Hermitian Hamiltonian differs from our model Hamiltonian in Eq. (1) by an overall decay term. Nevertheless, it would not affect the dynamics of the system as long as the no-jump condition holds, or the post-selection is considered, where the wavefunction is given by Eq. (8). In experiments such novel nonlocal loss can be engineered by nonlocal Rabi coupling as recently proposed in Ref. [4]. A non-reciprocal hopping effectively creates imaginary gauge fields and induces a non-Hermitian Aharonov-Bohm effect. More recently, the unique signatures of NHSE in many-body systems are observed [11], the implementation of a random disorder potential in such experimental settings could be a possible step towards realization of the model of present study. We believe our results are within reach of current experimental progress and techniques.

### IV. CONCLUSIONS

We discussed the eigenspectrum, level statistics, and localization properties of a two coupled non-Hermitian chains. We unveiled the occurrence of two complex-real spectral transitions. One transition is induced by the interplay of non-reciprocal hoppings and inter-chain coupling which is absent under periodic boundary conditions, while the other one is driven by a random disorder potential and present under both open and periodic boundaries. Furthermore, we have studied both spectral transitions using level statistics, inverse participation ratio, and fractal dimension. We have shown the suppression of the non-Hermitian skin effect in the many-body localized phase. Finally, the time evolution of the local particle density, spin imbalance, and entanglement entropy corroborates the pivotal role of boundaries and characterizes the many-body localization. We believe the characteristics and results of our coupled-chain model are timely and pertinent, and can be readily implemented in various non-Hermitian systems. Our findings pave a way to further investigate the interplay of the boundary conditions, the disorder-driven localization, and the many-body dynamics in other non-Hermitian systems.
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Appendix A: Finite-size effects of complex-energy fraction and role of boundary conditions

FIG. 7. Eigenenergies of the non-Hermitian coupled Hatano-Nelson open chain for $L = 8$ as a function of (a,b,c) the inter-chain coupling $K$ at $W = 2$ and (d,e,f) the disorder strength $W$ at $K = 1$. These are shown corresponding to Fig. 2(a-f) of the main text. The decrease in the fraction of complex energies with $K$ and $W$ are evident. (g,h) The disorder-averaged complex energy fraction $f_{im}$ as a function of (g) $K$ and (h) $W$ for different system sizes and $g_t/g_i = 0.2$.

The eigenenergies of the model Hamiltonian [Eq. (1)] for $L = 8$ under OBC are shown in Fig. 7(a-f). Since the system respects time-reversal symmetry and belongs to the symmetry class AI, the complex spectrum is symmetric with respect to the real axis. With the increase in $K$ [Fig. 7(a,b,c)] and $W$ [Fig. 7(d,e,f)], the imaginary parts of the energies are suppressed. The complex-real transition exists for $g_{\tau} \neq g_{i}$ in a coupled non-Hermitian chain. For $g_{\tau} = g_{i}$, however, the spectrum remains real, because the imaginary gauge transformation is valid for this special case.

We present the finite-size effects on the complex energy fraction $f_{im}$. Our analysis is restricted to the system size amenable to the exact diagonalization. We estimate the finite-size effects by comparison of $f_{im}$ for smaller system sizes. We first discuss the inter-chain coupling driven spectral transition under OBC at weak disorder. The evolution of $f_{im}$ with $K$ at $g_{\tau}/g_{i} = 0.2$ and $W = 2$ for different system sizes are shown in Fig. 7(g). While the eigenenergies of a single Hatano-Nelson open chain are real, an infinitesimal coupling $K$ between the chains with $g_{\tau} \neq g_{i}$ leads to complex energies. In this analysis, we have varied the value of $K$ from a small ($K = 0.3$) to a strong ($K = 8$) coupling limit. As seen from Fig. 7(g), the $f_{im}$ shifts to a larger value as $L$ increases, and at $L = 8$ the fraction of the complex energies $f_{im} \approx 1$. This suggests the stability of the complex energy phase in the thermodynamic limit for small $K$. On the other hand, at strong inter-chain coupling, the $f_{im}$ converges to zero as $L$ increases. This shows the robustness of the spectral transitions shown in Fig. 1(b) of the main text. We believe the qualitative features of the spectral transitions holds in the thermodynamic limit, however the critical inter-chain coupling strength $K_{c}$ might vary for larger system sizes.

We further discuss the finite-size effects on the spectral transition due to the disorder potential. The $f_{im}$ for different system sizes as a function of $W$ is shown in Fig. 7(h). Here, we consider $K = 1$ and $g_{\tau}/g_{i} = 0.2$. For the considered parameters, at weak disorder strengths, the system possesses complex energies and remains in the delocalized phase. As disorder strength increases, we find a complex-real spectral transition beyond a critical value of $W$. It is important to note that a similar transition also appears in a single Hatano-Nelson chain under PBC [15]. Note that a single-chain under OBC does not possess such transition because of the real spectrum at weak disorder due to NHSE. Here, in the considered two-chain model, the transition occurs under OBC due to the interplay of $K$ and $g_{\tau}$'s. At weak $W$, the $f_{im}$ converges to...
unity as system size increases and with increases in $W$ the $f_{\text{im}}$ approaches zero signifying the complex-real transition. This confirms that the real spectrum at strong $W$ shown in Fig. 1(c) is robust to change in $L$. Since the system sizes considered in the present work are small and the extrapolation to an infinite system size is difficult, hence we refrain from extracting the critical disorder strength $W_c$ using finite-size approach. It is noteworthy a similar argument also holds for a Hermitian MBL system where an asymmetric scaling is predicted to govern the localization transition [66, 88–91]. Considering the subtleties of finite-size scaling near MBL transition, here we tentatively identify the $W_c$, the localization transition by the crossing of $f_{\text{im}}$-curves for the largest system sizes available. This lead to $W_c \approx 9$ (see the crossing of curves for $L = 7$ and $L = 8$) at $K = 1$ and $g_\uparrow/g_\downarrow = 0.2$, which is consistent to the similar analysis done using complex level spacing ratio in the main text [Fig. 3(b)].

We now discuss the role of boundary conditions in terms of the model parameters $K$ and $W$. The disorder-averaged $f_{\text{im}}$ for $L = 6$ is shown in Fig. 8. For larger system sizes, the qualitative behaviour of the energies does not change, however the critical value of the transitions might vary.

![FIG. 9. The nearest-neighbour level spacing distributions as a function of the inter-chain coupling strength $K$ for $g_\uparrow = g_\downarrow$. The upper (lower) panel represents the distributions with correlated (uncorrelated) disorder for the system size $L = 8$. Here, the disorder strength $W = 2$ and the distributions are averaged over 100 disorder realizations. The green and blue lines represent the (real) Poisson and GOE level-spacing distributions, respectively.](image)

Under OBC, as discussed previously (and in the main text), the complex-real spectral transition induced by inter-chain coupling or by disorder is evident in Fig. 8(a,b,c). For open coupled-chain with unequal non-Hermiticity parameters or for hybridized chains ($g_\uparrow = 0$, $g_\downarrow \neq 0$ and vice-versa) the system possesses complex energies. While the system remains delocalized at $W = 2$, it exhibits the complex-real transition due to the interplay of non-Hermiticity and inter-chain coupling. Hence, we predict an eigenspectral transition, which does not coincide to the localization transition. As the $g_\uparrow/g_\downarrow$ approaches unity, the fraction of complex energies decreases and at $g_\uparrow = g_\downarrow$ the system exhibits real spectrum and is devoid of dynamical instability. For periodic chains, the eigenspectrum remains complex as a function of $K$ at weak disorder, as illustrated in Fig. 8(d,e,f). The complex nature of the energies with PBC is related to the plane-wave character of the eigen-wavefunction and the prevailing role of non-reciprocal tunnelings. At strong disorder, the wavefunctions are localized (as ascertain by the eigenstate properties in the main text), and delocalization-localization transition coincides to the complex-real transition. In short, the $K$-driven transition is absent in PBC case whereas the $W$-driven is present for both boundary cases.

**Appendix B: Level distributions for $g_\uparrow = g_\downarrow$**

For $g_\uparrow = g_\downarrow$, the IGT maps the non-Hermitian coupled-chain system to a Hermitian one [60]. In the meanwhile, the spectral properties strongly depend on the symmetries of disorder potential. The correlated (spin-independent) disorder preserves SU(2) spin-symmetry and leads to a Poisson level-spacing distribution at delocalized regime. For the Hermitian disordered systems, it has been shown that a symmetry breaking field or diagonalization of a single symmetry block of the Hamiltonian leads to GOE-like behaviour at weak disorder strength [56, 92]. The uncorrelated (spin-dependent) potential breaks the spin symmetries and results into a GOE distribution. The nearest-neighbour level-spacing distributions for $W = 2$ and $g_\uparrow = g_\downarrow$ using two different disorder potentials are illustrated in Fig. 9.

**Appendix C: Finite-size effects of inverse participation ratio**

Here we discuss the disorder-averaged inverse participation ratio as a function of the eigenstate index. As discussed in the main text, for the non-Hermitian systems, the IPR can be defined in two ways: $\overline{I_n}$ is defined using $n$th left or right eigenstate while $\overline{I_{nB}}$ is using the biorthogonal basis. Here the overline denotes the disorder average. Fig. 10(a) shows $\overline{I_{nB}}$ and $\overline{I_n}$ in the whole energy spectrum. The parameters
considered are $K = 1$, $g_t/g_L = 0.5$, and $L = 8$. At weak disorder ($W = 2$), IPR is small, suggesting delocalization. Interestingly, the IPR $I_{\nu}$ shows a finite value while $I_{\epsilon_B} \approx 0$ for $W = 2$. As explained in the main text, the finite $I_{\nu}$ at weak disorder can be attributed to the presence of NHSE. At strong $W$, the large values of IPR suggest many-body localization. Furthermore, both definitions of IPR give similar values, indicating the suppression of NHSE at strong disorder. It is important to note that the choice of eigenstates for IPR is only important for open chains. Under PBC, the results from both the definitions coincide due to the absence of NHSE. We next present the finite-size effects on biorthogonal IPR and corresponding fractal dimension in Fig. 10(b). Here we consider the biorthogonal definitions so that the interference of NHSE-induced phenomena can be eliminated and we could inspect system-size effects on the role of disorder potential. At weak disorder strengths, the averaged-IPR decreases with increase in $L$. The contrast behaviour is also seen for biorthogonal fractal dimension. Hence, $I_{\nu}^{B \to 1} \to 0$ (or $I_{\epsilon_B} \to 1$) with increase in $L$ exhibits the delocalized regime. As $W$ increases, the IPR tends to approach unity, and converse behaviour of FD is evident from the inset in Fig. 10(b). The convergence in IPR and FD for different system sizes confirms the disorder-driven many-body localization of non-Hermitian coupled chains.

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