Chemical Structure and Magnetism of FeO$_x$/Fe$_2$O$_3$
Interface Studied by X-ray Absorption Spectroscopy

Ahmed Yousef Mohamed$^{1,2,*}$, Won Goo Park$^3$ and Deok-Yong Cho$^1$,

$^1$ IPIT & Department of Physics, Jeonbuk National University, Jeonju 54896, Korea; yousef@jbnu.ac.kr
$^2$ Department of Physics, South Valley University, Qena 83523, Egypt
$^3$ Center for Correlated Electron Systems, Institute for Basic Science, Seoul National University, Seoul 08826, Korea; pwg2005@snu.ac.kr

* Correspondence: zax@jbnu.ac.kr; Tel.: +82-63-270-3444

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Abstract: The chemical and magnetic states of Fe/Fe$_2$O$_3$ thin films prepared by e-beam evaporation were investigated by using element-specific techniques, X-ray absorption spectroscopy (XAS) and X-ray magnetic circular dichroism (XMCD). It was clearly shown that the Fe layers are oxidized to form an antiferromagnetic (AFM) FeO$_{x<1}$, while the bottom oxide remained a weak ferromagnet (wFM) ($\alpha+\gamma$)-type Fe$_2$O$_3$. Dependences of the peak intensities and lineshapes on the Fe thickness and measurement geometry further demonstrate that FeO$_{x<1}$ layers reside mostly at the interface realizing an FM (Fe)/AFM (FeO$_x$)/wFM (Fe$_2$O$_3$), whilst the spin directions lie in the sample plane for all the samples. The self-stabilized intermediate oxide can act as a physical barrier for spins to be injected into the wFM oxide, implying a substantial influence on tailoring the spin tunneling efficiency for spintronics application.

Keywords: FeO$_x$; Fe$_2$O$_3$; X-ray absorption spectroscopy; X-ray magnetic circular dichroism; ferromagnet; antiferromagnet

1. Introduction

Fe–Fe$_2$O$_3$ thin films constituting a ferromagnetic (FM)–antiferromagnetic (AFM) heterostructure have drawn attention in spintronics applications as they can serve as a key component in magnetic tunneling junctions (MTJs) or spin-valves [1–7]. The chemical and magnetic interactions at the Fe/Fe$_2$O$_3$ interface have significance in determining the functionality, such as the tunneling magnetoresistance (TMR) ratio in the MTJs [1,4,8,9]. Thus, it is crucial to understand the interfacial magneto-chemistry at the atomic scale to tailor the spin transport property of the devices [9–13].

There are several methods to synthesize the Fe/Fe$_2$O$_3$ thin films heterostructure: dip coating [14], ion sputtering deposition [3,15], e-beam evaporation [16,17], molecular beam epitaxy [8,18], etc. Among them, e-beam evaporation has advantages in that both Fe and Fe$_2$O$_3$ layers can be deposited by one step without breaking the vacuum, and the oxidation of each layer can be controlled very efficiently simply by changing the oxygen partial pressure [19–21]. Particularly in the Fe/Fe$_2$O$_3$ system, it was reported that including an FeO barrier between Fe and Fe$_2$O$_3$ can enhance the TMR ratio [8,11]. Thus, the e-beam technique can be an optimal method to synthesize the intermediate oxide layers as well [16,17,22].

Meanwhile, the characterization of the magnetic interface using conventional magnetization measurement is challenging because of the small volume of the interfacial region. Besides, some paramagnetic signals from other sources, like substrate or electrodes, can interfere and hinder a straightforward interpretation of the data. Thus, it is desirable to discern the signals of Fe/Fe$_2$O$_3$ from the others’ by employing an element-specific probe for the chemical and magnetic properties investigation.
Therefore, in this work, we utilized X-ray absorption spectroscopy (XAS) which can capture the chemical and structural information of a specific element, i.e., Fe or O. The XAS at Fe L2,3-edge (K-edge) reflects the probability of Fe 2p → 3d (1s → p) intra-atomic electron excitations while the XAS at O K-edge does the probability of O 1s → 2p excitations. Figure 1 illustrates the geometry of the XAS measurement. Particularly in the case of soft XAS (at the Fe L- and O K-edges), the probing depth is very short (<5 nm) so that the signals mostly from the surface or interface layers can be captured. In addition, by conducting the XAS with circularly polarized X-rays (depicted by a spring-like curve in Figure 1) and examining the magnetic contrast of the films (called X-ray magnetic circular dichroism (XMCD)), the FM spin orders in the system can be scrutinized as well [23,24].

The structural information enables a clear assessment of microstructures of the ultrathin oxide layers. The results of the analyses show that a substoichiometric FeOx (AFM) is formed from the others' by employing an element-specific probe for the chemical and magnetic properties from the interface, while microstructures of Fe3O4 thin films were prepared by e-beam evaporation using a commercial e-beam evaporator gun (EFM3, Omicron). The evaporation system's working pressure was < 1 × 10^-7 Torr for Fe deposition, while an oxygen gas was introduced with a partial pressure of 1 × 10^-5 Torr for the Fe2O3 deposition. A tungsten wire of 0.2 mm thickness was used as a filament, and the voltage and the current of the e-beam emission to the fresh Fe rod 3 mm-apart, were maintained as 800 V and 11.4 mA, respectively. The evaporation rate was calibrated by a quartz crystal microbalance thickness monitor. The deposition rates of Fe and Fe2O3 were fixed to 0.086 nm min^-1 and 0.176 nm min^-1, respectively. First, a five nm-thick Fe2O3 film was deposited on SiO2/Si substrate, then Fe film with 1 or 2 nm thickness was deposited additionally without breaking the vacuum to prevent unwanted oxidation or contamination at the Fe/Fe2O3 interface. It was shown by Fe K-edge XAS that for a 20 nm-thick Fe film prepared under the same conditions that the film did not suffer oxidation during the deposition process itself.

The combined XAS and XMCD investigation not only shows the information on the interface chemistry and magnetism, but also reveals the local structures of the ultrathin Fe/Fe2O3 thin films. The structural information enables a clear assessment of microstructures of the ultrathin oxide layers. The results of the analyses show that a substoichiometric FeOx (AFM) is formed spontaneously at the Fe/Fe2O3 interface, while microstructures of Fe2O3 turned out to be a mixture of a hematite (α-Fe2O3; AFM) [25] and a maghemite (γ-Fe2O3; FM) [26] structure, so that Fe2O3 was, in fact, a weak FM (wFM), as is summarized in Figure 1.

2. Materials and Methods

Fe/Fe2O3 thin films were prepared by e-beam evaporation using a commercial e-beam evaporator gun (EFM3, Omicron). The evaporation system’s working pressure was < 1 × 10^{-7} Torr for Fe deposition, while an oxygen gas was introduced with a partial pressure of 1 × 10^{-5} Torr for the Fe2O3 deposition. A tungsten wire of 0.2 mm thickness was used as a filament, and the voltage and the current of the e-beam emission to the fresh Fe rod 3 mm-apart, were maintained as 800 V and 11.4 mA, respectively. The evaporation rate was calibrated by a quartz crystal microbalance thickness monitor. The deposition rates of Fe and Fe2O3 were fixed to 0.086 nm min^{-1} and 0.176 nm min^{-1}, respectively. First, a five nm-thick Fe2O3 film was deposited on SiO2/Si substrate, then Fe film with 1 or 2 nm thickness was deposited additionally without breaking the vacuum to prevent unwanted oxidation or contamination at the Fe/Fe2O3 interface. It was shown by Fe K-edge XAS that for a 20 nm-thick Fe film prepared under the same conditions that the film did not suffer oxidation during the deposition process itself.
However, the surface of the ultrathin Fe/Fe₂O₃ specimen might be oxidized during the delivery to the synchrotron for the XAS measurement.

Soft XAS at Fe L₂,3- and O K-edges were performed for the Fe/Fe₂O₃ films with circularly polarized X-rays utilizing elliptically polarizing undulator at 2A beamline in Pohang Light Source (PLS). Absorption coefficients were collected with increasing photon energy in total electron yield (TEY) mode, in which the drain current (compensating the outgoing Auger electrons due to photoabsorption) was recorded. For the XMCD measurements, an external magnetic field (B) of 0.7 Tesla was applied with alternating directions, as shown in Figure 1, and the difference spectra of the two opposite B directions were obtained. The angle between the incident beam and magnetic field was set as 23°. In contrast, the samples were rotated by θ = 0° (beam normal), (−)23° (magnet normal), or (+)67° (magnet in-plane), where θ is defined as in Figure 1, in order to examine the influences of the probing depth and the B direction. Hard XAS at Fe K-edge was conducted for thick Fe and Fe₂O₃ films (≈20 nm) at 8C beamline in the PLS in fluorescence yield mode. The results of the characterizations are summarized in the right panel of Figure 1.

3. Results

Figure 2 shows the Fe L₂,3-edge XAS and XMCD spectra of Fe (1 nm)/Fe₂O₃ (5 nm) (abbreviated as ‘1/5 nm’) and Fe (2 nm)/Fe₂O₃ (5 nm) (‘2/5 nm’) films, as well as bare Fe₂O₃ (5 nm) and Fe (5 nm) on SiO₂ for reference, which are taken at θ = 0°. Fe L₃ (L₂)-edge XAS reflects the electron transition from the Fe 2p₃/2 (2p½) core level to the unoccupied Fe 3d orbital states, regardless of the spin ordering. Meanwhile, its evolution upon the change of the B direction from parallel to antiparallel to the incident X-rays, i.e., XMCD = [B/X-ray]−[B/(X-ray)], reflects the magnetic contrast so that it can capture the signals from the FM-ordered Fe ions.

![Fe L₂,3-edge XAS](image1)

![Fe L₂,3-edge XMCD](image2)

**Figure 2.** (a) Fe L₂,3-edge XAS spectra and (b) Fe L₂,3-edge XMCD spectra un-normalized. The spectra of Fe (1 or 2 nm)/Fe₂O₃, and Fe (5 nm)/SiO₂ samples exhibit the signature of Fe metal (or FeOₓ₁) as well as that of mixed (α+γ)-Fe₂O₃, suggesting a substantial degree of oxidation of the top Fe layers.

It is clearly shown in both XAS (Figure 2a) and XMCD (Figure 2b) spectra that the overall peak positions and lineshapes of the Fe/Fe₂O₃ and Fe/SiO₂ samples are similar to each other, but they are very different from those of Fe₂O₃. In Figure 2a, the average energies of the main XAS peaks of the three samples at L₁-edge (as well as at L₂-edge) are lower than that of Fe₂O₃, suggesting an average Fe valence lower than 3+, such as in Fe metal or FeO [22,27]. In Figure 2b, only the signals from
the magnetic species, namely Fe metal (a dip from Fe\(^0\)) and \(\gamma\)-Fe\(_2\)O\(_3\) (a peak from Fe\(^3+\) in the tetrahedral site and a dip from Fe\(^3+\) in the octahedral site in a maghemite structure), are observed \([22,28]\). Thus, it can be inferred that the Fe/Fe\(_2\)O\(_3\) and Fe/SiO\(_2\) samples contain Fe metal, as was intended.

However, the XAS lineshapes of the three samples are different from a Fe metal \([29]\), in that strong high energy shoulders exist at \(\approx 710\) eV. It is not clear at this moment whether the shoulder peaks originate from Fe\(_2\)O\(_3\) at the bottom (or newly formed oxide in the case of Fe/SiO\(_2\)) or represent an intrinsic property of the Fe layers themselves. It will be demonstrated in Figure 3 that FeO\(_{x<1}\) exists at the Fe/Fe\(_2\)O\(_3\) interface, implying that the shoulder peaks might represent the electronic structure of the sub-stoichiometric FeO\(_x\) \([9,22,30]\). Compared to the shoulder peak, the main peak at \(\approx 709\) eV becomes slightly more enhanced as the Fe thickness increases, which is reasonable in that the metallic Fe would prevail in thicker Fe samples.

Meanwhile, Fe\(_2\)O\(_3\) at the bottom might be in \(\alpha\) (AFM), \(\gamma\) (FM), or their mixed phase. The XAS spectra of \(\alpha\)- and \(\gamma\)-Fe\(_2\)O\(_3\) are generally very similar to each other, so it is difficult to discern the microstates using Fe L-edge XAS only \([31]\). Overall, XMCD signal of Fe\(_2\)O\(_3\) is much weaker than the XAS spectrum (<5%). The weak ferromagnetism implies that the AFM \(\alpha\) phase is rich in the Fe\(_2\)O\(_3\) films. The fraction of the \(\gamma\) phase (FM) over the \(\alpha\) phase, estimated from the intensity ratio of XMCD to XAS \([28]\), was less than a half. Thus, in short, the Fe\(_2\)O\(_3\) at the bottom was weakly FM (wFM).

Figure 3 shows the O K-edge XAS spectra of 1/5 nm and 2/5 nm samples together with Fe\(_2\)O\(_3\) taken at (a) \(\theta = 0^\circ\) and (b) \(\theta = 66.5^\circ\). O K-edge XAS reflects the electron transition from O 1s core level to O 2p unoccupied states that are hybridized with the orbitals of neighboring ions (here, Fe). The lower energy part (529–535 eV) represents the Fe 3d state hybridized with O 2p while the higher energy part (535–545 eV) does Fe 4p state hybridized with O 2p. The lower energy part in the spectrum of Fe\(_2\)O\(_3\) exhibits the typical two-peak structure of Fe\(^3+\) (\(d^5\), high spin), which can be attributed to \(t_{2g}^e\)-\(e_g^g\) split in the case of octahedral sites or \(e_t\)-\(t_2\) split in the case of tetrahedral sites \([16,32]\).
From comparison of Figure 3a with Figure 3b, it was noticed that the overall intensity of the Fe/Fe$_2$O$_3$ films relative to that of Fe$_2$O$_3$ decreased with increasing $\theta$. The angle dependence can be interpreted as a finite probing depth effect, in which the information from the deep layers cannot be collected as much as those from the surface, particularly when the incident X-rays are grazing to the sample plane [33]. Thus, the significant decrease in overall intensity of the Fe/Fe$_2$O$_3$ samples in Figure 3b compared to Figure 3a (approximately by half) suggests that the O K-edge XAS signals are mostly from deep below the Fe layers. Since the O K-edge XAS signals manifest that there exist Fe-oxide, it can be told that certain Fe-oxide exists at the Fe/Fe$_2$O$_3$ interface. Any surface oxidation effect is not consistent with the angle dependence.

It should be noted that there are additional Fe 3d states observed at $\approx$534 eV (highlighted by triangles) in the spectra of the two Fe/Fe$_2$O$_3$ samples, indicative of the distinct chemistry of the interface oxide from Fe$_2$O$_3$. No such peaks were reported in stoichiometric oxides, including FeO, Fe$_3$O$_4$, or Fe$_2$O$_3$ [21,34–38]. Therefore, the high energy states should be attributed to an additional energy level splitting due to a lower Fe-O coordination symmetry, which can be the case of sub-stoichiometric oxide, FeO$_{x<1}$ [9,32,39,40]. The formation of FeO$_x$ at the Fe/Fe$_2$O$_3$ interface is plausible because Fe-oxides are generally more stable than Fe metal thermodynamically, and O would be easily supplied by Fe$_2$O$_3$ to partially oxidize Fe near the interface region.

Figure 3 shows that the onsets of the lowest energy peaks in the spectra of Fe/Fe$_2$O$_3$ samples are higher (by $\approx$0.3 eV) than that of Fe$_2$O$_3$. The higher onset energy suggests that the interfacial FeO$_x$ is an insulator. Besides, no noticeable peak or dip features, other than those for Fe or $\gamma$-Fe$_2$O$_3$, were observed in the Fe L-edge XMCD data (Figure 2b) in spite of nonzero nominal Fe valence in FeO$_x$ ($+2x > 0$). This implies that the FeO$_x$ most plausibly has negligible FM order, i.e., should be almost an antiferromagnet [30,40,41]. Therefore, to summarize, an AFM FeO$_x$ is formed spontaneously between the FM Fe and wFM Fe$_2$O$_3$ layers. This finding is illustrated in the right panel of Figure 1.

4. Discussion

Regarding the origin of the interfacial FeO$_x$, it needs to be clarified whether certain external factors in the growth process, e.g., remnant oxygen gas in the vacuum chamber, or uncleaned evaporation source, are responsible for the oxidation or not. Figure 4a shows the Fe K-edge XAS spectra of a thick Fe (20 nm) and Fe$_2$O$_3$ (20 nm) films on SiO$_2$ prepared by the same growth condition, except for the increased thickness to enhance the signals of the hard XAS. Fe K-edge XAS reflects the transition from Fe 1s core level to Fe 3d (pre-edge region $\approx$7110 eV) or p-continuum ($>$7110 eV), the fine structures of which result from the virtual scatterings of final state electrons with the neighboring atoms (here, O or Fe). Thus, the local structures near Fe can be analyzed by inspecting the lineshapes. The lineshape of the Fe sample was very similar to a reference Fe foil, while that of the Fe$_2$O$_3$ layers is an insulator. Besides, no noticeable peak or dip features, other than those for Fe or $\gamma$-Fe$_2$O$_3$, were observed in the Fe L-edge XMCD data (Figure 2b) in spite of nonzero nominal Fe valence in FeO$_x$ ($+2x > 0$). This implies that the FeO$_x$ most plausibly has negligible FM order, i.e., should be almost an antiferromagnet [30,40,41]. Therefore, to summarize, an AFM FeO$_x$ is formed spontaneously between the FM Fe and wFM Fe$_2$O$_3$ layers. This finding is illustrated in the right panel of Figure 1.

Figure 4b shows the Fourier-transformed (FT) extended X-ray absorption fine structures (EXAFS) magnitudes of the two samples. The FT was processed within a range of electron momentum $k = 0–10 \, \text{Å}^{-1}$ on $k^2$-weighted EXAFS oscillations by using ATHENA [46]. The coordination shells can be identified according to the phase-uncorrected interatomic distance (R) in Å. For instance, the peak at R $\approx$ 1.4 Å can be attributed to Fe–O bonds, while the peak at R $=$ 2.1 Å can be to the shortest Fe–Fe bonds in a bcc Fe metal. It is clearly shown in the FT spectrum that Fe sample is most likely in the form of Fe metal (short Fe–Fe bonds), while a small portion of Fe-oxide (Fe–O bonds) can exist. The latter might reflect the oxidized Fe in Fe/SiO$_2$ film, which is already demonstrated in Figure 2. Nevertheless, Figure 4b conclusively shows that the composition of the Fe film was dominantly Fe metal. Hence, it can be concluded that the sample growth process was under control so that the Fe layers were not oxidized readily in the growth stage. Thus, the formation of FeO$_x$ should be regarded as a consequence of the interfacial interactions with Fe$_2$O$_3$. 

\[ M \text{agnetochemistry} \ 2020, 6, 33 \]
Figure 5 shows the Fe L2,3-edge XMCD spectra of (a,b) Fe/Fe2O3 (1/5 nm and 2/5 nm) and (c) Fe (5 nm) taken at different θ’s, after normalization by the XAS intensity in order to represent the magnetism per Fe atom. For all the samples, the intensities of the dips for Fe metal (≈709 eV) and γ-Fe2O3 (≈711 eV) and the peaks for γ-Fe2O3 (≈710 eV) increase monotonically with increasing θ from –23° to +67°.

Figure 4. (a) Fe K-edge near-edge XAS spectra and (b) the Fourier-transformed extended X-ray absorption fine structures (FT EXAFS) magnitudes of the thick Fe and Fe2O3 films. The results show the films are indeed in their respective intended compositions, implying that the spontaneous Fe oxidations observed in the soft XAS data cannot be attributed to possible oxidation during the growth process.

Figure 5. Fe L2,3-edge XMCD spectra normalized as to represent the signals per Fe atom at different θ’s of (a) 1/5 nm, (b) 2/5 nm and (c) Fe (5 nm) samples. Overall XMCD intensity increases according to the incidence angle of the magnetic field (not the X-rays), indicating that the easy direction of the Fe and γ-Fe2O3 spins lie in the sample planes for all the samples.

In principle, such an angle dependence can be ascribed either to the poling efficiency of the magnetic moments (both spin and angular moments) or to the spin sensitivity of the XMCD measurement itself [47]. The former concern is for cases where the coercivity (threshold value of θ to align the spins)
is large and comparable with $B$, so that the size of the FM spins increases in accordance with $B$’s along the magnetic easy-axis of the specimen. In this case, the overall XMCD intensity would increase as a function of the angle between $B$ and the sample’s easy direction. On the other hand, the latter concern is that the circularly polarized X-rays can excite spins only parallel or antiparallel to the beam, so that the magnetic contrast observed in the XMCD appears inherently as a function of the angle between X-rays and the sample’s easy-axis. In this case, the overall XMCD intensity will increase with the absolute value of $\theta$.

Since the XMCD intensities of the Fe/Fe$_2$O$_3$ samples increased with increasing $\theta$, not the absolute value of $\theta$, it can be concluded that the ultrathin Fe/Fe$_2$O$_3$ samples fall under the former case, i.e., the preferred orientations of the magnetic moments were in the sample planes for both the samples. For the case of the Fe (5 nm) sample, the two angle dependences would compete at $\theta < 0^\circ$ to result in almost equal intensity of the $\theta = -23^\circ$ and $\theta = 0^\circ$ spectra.

Compared to 2/5 nm sample (Figure 5a), the $\theta$ dependence is less abrupt in the 1/5 nm sample (Figure 5b). This reflects the weaker (more robust) tendency of the in-plane magnetization in 1/5 nm (2/5 nm) sample. As the thickness of the Fe layer decreases, the magnetic anisotropy tends to change from an in-plane magnetization to a perpendicular magnetization with a crossover thickness $\approx 1$ nm [29,48]. Moreover, the presence of FeO$_x$ might reduce the magnetic volume of the heterostructure effectively, so as to expedite the tendency of the perpendicular magnetization. Therefore, it is reasonable that the magnetic anisotropy in 1/5 nm sample is less significant than 2/5 nm sample.

In conclusion, the XAS/XMCD study on ultrathin Fe/Fe$_2$O$_3$ films provides unequivocal information on the chemistry, magnetism, and the local structures in the heterostructure system. The results of the detailed analyses indicate that the resultant composition is FM Fe/AFM FeO$_x$/wFM ($\alpha$+$\gamma$)-Fe$_2$O$_3$, the spin orders of which are depicted with the arrows in the right panel of Figure 1. Although it is difficult to quantify the thickness of FeO$_x$ in 1/5 nm and 2/5 nm samples (due to small volume), the EXAFS data for thick Fe/SiO$_2$ implies that the Fe–O intensity is a few tens of percent of Fe$_2$O$_3$, suggesting FeO$_x$ can form up to a few-nm in thickness. The AFM FeO$_x$ layer can act as a physical barrier for spin transport from FM Fe to wFM Fe$_2$O$_3$, influencing the efficiency of the spin injection. Thereby, the e-beam growth of the FM/wFM (or AFM) heterostructure system can be a promising method for tailoring the spin tunneling properties for spintronics application by utilizing the parasitic FeO$_x$ formation.

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