Slowly-growing gap-opening planets trigger weaker vortices

Michael Hammer\textsuperscript{1*}, Kaitlin M. Kratter\textsuperscript{1} and Min-Kai Lin\textsuperscript{1,2,3}

\textsuperscript{1} Steward Observatory, University of Arizona, Tucson, AZ 85721, USA
\textsuperscript{2} Steward Theory Fellow
\textsuperscript{3} Institute of Astronomy and Astrophysics, Academia Sinica, Taipei 10617, Taiwan

Accepted XXX. Received YYY; in original form ZZZ.

ABSTRACT
The presence of a giant planet in a low-viscosity disc can create a gap edge in the disc’s radial density profile sharp enough to excite the Rossby Wave Instability. This instability may evolve into dust-trapping vortices that might explain the “banana-shaped” features in recently observed asymmetric transition discs with inner cavities. Previous hydrodynamical simulations of planet-induced vortices have neglected the timescale of hundreds to thousands of orbits to grow a massive planet to Jupiter-size. In this work, we study the effect of a giant planet’s runaway growth timescale on the lifetime and characteristics of the resulting vortex. For two different planet masses (1 and 5 Jupiter masses) and two different disc viscosities ($\alpha = 3 \times 10^{-4}$ and $3 \times 10^{-5}$), we compare the vortices induced by planets with several different growth timescales between 10 and 4000 planet orbits. In general, we find that slowly-growing planets create significantly weaker vortices with lifetimes and surface densities reduced by more than 50%. For the higher disc viscosity, the longest growth timescales in our study inhibit vortex formation altogether. Additionally, slowly-growing planets produce vortices that are up to twice as elongated, with azimuthal extents well above 180° in some cases. These unique, elongated vortices likely create a distinct signature in the dust observations that differentiates them from the more concentrated vortices that correspond to planets with faster growth timescales. Lastly, we find that the low viscosities necessary for vortex formation likely prevent planets from growing quickly enough to trigger the instability in self-consistent models.

Key words: transition discs – instability, hydrodynamics, methods:numerical, protoplanetary discs

1 INTRODUCTION
Recent observations by the Atacama Large Millimeter Array (ALMA) have uncovered transition discs with large asymmetries in the dust observed at mm and sub-mm wavelengths. A variety of mechanisms – including vortices triggered by the Rossby Wave Instability (RWI) – have been proposed to explain these discs around stars such as Oph IRS 48 (van der Marel et al. 2013), HD 142527 (Casassus et al. 2013), Fukagawa et al. 2013), as well as SAO 206462 and SR 21 (Pérez et al. 2014).

A local minimum or maximum in a disc’s radial potential vorticity or ‘vortensity’ profile can excite the RWI (Lovelace et al. 1999, Li et al. 2000, 2001). Such vortensity extrema often coincide with extrema in the disc’s surface density profile, which can arise at dead zone boundaries (e.g. Regaly et al. 2012, Lyra & Mac Low 2012, Miranda et al. 2016) and gap-edges created by giant planets (e.g. Li et al. 2005). In both cases, the RWI leads to exponential growth of non-axisymmetric modes that develop into a set of vortices in the non-linear regime. In low mass discs, these vortices will eventually merge to form a single “banana-shaped” anti-cyclonic vortex in less than 100 orbits. Single vortices offer a natural explanation for dust asymmetries because they can trap dust particles efficiently in a pressure maximum (e.g. Barge & Sommeria 1995, Birnstiel et al. 2013, Lyra & Lin 2013, Fu et al. 2014).

Recent observations of the radial gas density profiles interior to the asymmetries have found that some of these regions are depleted, favouring the latter idea that planet-induced vortices are responsible for the asymmetric structures in some transition discs (van der Marel et al. 2016). In this case, a giant planet clears out a gap in the disc, creating sharp peaks in the radial density profile at each gap edge. If the planet is massive enough to steepen a gap edge beyond a critical structure, it will trigger the RWI (Oko et al. 2016). This only happens in discs with low viscosities ($\alpha < 10^{-3}$). Otherwise, the viscous evolution of the disc will smooth out the gap edge and prevent the instability from arising (e.g. de Val-Borro et al. 2007).

Several groups have conducted numerical simulations of planet-induced vortices to study how well they can explain the recent observations of dust asymmetries (Bae et al. 2016) and to explore whether they can survive for long enough to make them...
out a parameter study of simulations with sufficient resolution beyond $10^4$ planet orbits.

We prescribe the growth of the planet’s mass $m_p$ as a function of time $t$ as

$$m_p(t) = M_p \times \begin{cases} \sin^2 (\pi t / 2T_{\text{growth}}) & t \leq T_{\text{growth}}, \\ 1 & t > T_{\text{growth}}, \end{cases}$$

where $M_p = m_p(T_{\text{growth}})$ is the planet’s final mass and $T_{\text{growth}}$ is the planet’s growth timescale, the key parameter in our study.

In principle, one can calculate a “self-consistent” growth rate based on the amount of material within the planet’s Roche radius. However, we instead use the fixed growth prescription above because it allows us to conduct a controlled parameter study of growth time alone. At the resolution of our fiducial simulations, the circumplanetary discs are not sufficiently well resolved to generate realistic growth rates (Zhu 2015). Moreover, accretion onto the planet is a function of a more complex set of disc parameters dependent on thermodynamics and feedback (Ayliffe & Bate 2009), which we neglect in this study. To avoid an unphysical build-up of material within the planet’s Roche radius, we remove mass from the computational domain according to the prescription from Kley (1999) that is implemented for FARGO’s accretion scheme. The total amount of mass removed is relatively small and never exceeds 5% of the planet’s mass.

At the radial boundaries, we apply wave killing zones (e.g. de Val-Borro et al. 2006) in $r \in [1, 1.25]r_{in}$ and in $r \in [0.84, 1]r_{out}$, where $r_{in}$ and $r_{out}$ are the inner and outer edges of the disc respectively. The outer zone is rapidly damped on a timescale of 1/500th of the orbital period at the outer boundary so that it approximates a mostly unperturbed region. The inner region is damped at a softer rate of 1/3rd of the innermost orbital period. Periodic boundaries are applied in the azimuth.

### 2.2 Simulations

We initialize each simulation with a locally isothermal disc that has a power law radial surface density profile of $\Sigma(r) = \Sigma_0 (r/r_p)^{-1}$, where $\Sigma_0$ is the initial surface density at the fixed orbital radius of the planet, $r_p$. The value of $\Sigma_0$ is set by the total disc mass in the domain, which we fix to $M_d = 2M_p$. We do not include self-gravity because the disc mass is very low with a Toomre $Q > 20$ at the location of the vortex in all of our simulations (Toomre 1964). The temperature profile is set to fix the disc aspect ratio $h \equiv H/r = 0.06$, where $H$ is the disc’s scale height. We selected this value of $h$ because Fu et al. (2014) have shown it maximizes vortex lifetimes.

We simulate an annulus of a disc across a radial domain that extends from $r \in [0.2, 5.7]r_p$ and $\phi \in [0, 2\pi]$ in azimuth. This annulus is resolved by $N_r \times N_\phi = 1024 \times 2048$ arithmetically-spaced grid cells in the radial and azimuthal directions respectively. This grid spacing resolves both the disc’s scale height $H(r)$ and the planet’s Hill radius by at least 11 cells in the the outer disc ($r > r_p$), our region of interest. Our standard resolution in this region is slightly higher than those used in most other recent studies of planet-induced vortices (e.g. Lobo Gomes et al. 2015; Les & Lin 2015; Bae et al. 2016), but less than the highest resolution studies (Fu et al. 2014). We carry out convergence tests for select cases at a lower resolution of $768 \times 1536$ and a higher resolution of $1536 \times 3072$ (see Section 3.4).
Table 1. Planet growth times for each of the four cases in our simulations.

| $M_p / M_J$ | $\nu / r_p^2 \Omega_p$ | $T_{growth} / T_p$ |
|-------------|-------------------------|-------------------|
| 1           | $10^{-6}$               | 10, 250, 500, 1000 |
| 1           | $10^{-7}$               | 10, 500, 1000, 2000 |
| 5           | $10^{-6}$               | 10, 500, 1000, 2000 |
| 5           | $10^{-7}$               | 10, 1000, 2000, 4000 |

The gravitational constant. Our computational units are such that $r_p = \Omega_p = G = M_*= 1$. The planet’s gravitational potential is smoothed out to $0.6r_H$, where $r_H = (q/3)1/3 r_p$ is the planet’s Hill radius and $q$ is the planet-to-star mass ratio. In our parameter study, we use two different final planet masses of $q = \sqrt{2} \pi/10$ and $5 \times 10^{-3}$, which correspond to $M_p = 1$ and $5 M_J$ (Jupiter masses) for a solar mass star. We also use two different disc viscosities of $\nu = 10^{-6}$ and $10^{-7}$ in units of $r_p^2 \Omega_p$, corresponding to $\alpha \approx 3 \times 10^{-4}$ and $3 \times 10^{-3}$ respectively for the standard alpha prescription of $\nu = \nu_H^2 \Omega_p$. (Shakura & Sunyaev 1973). For each of these 4 combinations of planet masses and disc viscosities, we explore the evolution of the RWI with a focus on the properties and lifetimes of the vortices that form for four different planet growth timescales (see Table 1).

2.3 Identifying a Vortex Signature

To quantify the vortex properties as a function of the planet’s growth time ($T_{growth}$), we need a robust method to identify when they form and dissipate. Quantitative definitions are especially important since vortices do not transition from ‘nonexistent’ to ‘newly-formed,’ or from ‘weak’ to ‘dead’ instantaneously.

We find that the magnitude of the overdensity within the vortex is the best tracer of formation and lifetime. While the planet also produces density enhancements in its spiral wake, these remain fixed in the planet’s co-rotating frame. On the contrary, a vortex orbits at its local Keplerian frequency several Hill radii away from the planet. This difference allows us to differentiate the vortex over-density from the spiral density waves. We isolate the vortex (with its distinct Keplerian orbital frequency) from the spiral density waves (co-rotating with the planet) by differencing the surface density fields at successive planet orbits when the planet is at the same location. We find this procedure to be effective in removing the co-rotational features, leaving only the vortices present in the positive component of the differenced surface density. We then combine all of the positive contributions and define this mass to be the total mass in the vortex, $M_{excess}$. We use this “excess mass” to quantitatively determine when a planet triggers the RWI and when a vortex dissipates.

We numerically identify when the RWI is first triggered as the first orbit at which there is a sharp increase in the growth rate of $M_{excess}$, that is, when it begins to increase roughly exponentially. These times are straightforward to identify from the evolution of the growth rates over time. For a given disc viscosity $\nu$, we find that these times correspond to a very narrow range of trigger masses across our parameter space. As a result, we do not need a more precise quantitative definition of the trigger point.

While vortices are easy to identify at their peak intensity, pinpointing the orbit at which they disappear is challenging. Vortices can persist at very low mean densities for hundreds of orbits. Thus, we quantify their lifetime based on when $M_{excess} < 0.20 \Sigma_0 r_p^2$, because at this mass, we find that the more concentrated vortices in our study have typically lost $\approx 85$ – $95\%$ of their peak excess masses (which fall in the range of $1.5$ to $3.5 \Sigma_0 r_p^2$), leaving them with an average overdensity of no more than $10\%$ above the background density. Meanwhile, the choice of a threshold mass does not have a significant effect on the lifetimes of less concentrated vortices since they dissipate more abruptly.

Although it might seem natural to include a quantitative measure of vorticity in our definition of vortex formation and dissipation, we found this to be less reliable. This is because other features at the gap edge also produce spikes in vorticity that make it more difficult to differentiate the vortex’s vorticity from that of nearby parts of the disc.

2.4 Characterizing Vortex Strength

We characterize a vortex’s strength by quantifying its surface density and azimuthal extent. In general, stronger long-lived vortices have higher surface densities and more concentrated azimuthal extents.

We measure the maximum gas concentration a vortex attains during its lifetime through its peak surface density, $\Sigma_{peak}$. This is the maximum value of $\Sigma(r = r_{vortex}, \phi = \phi_{peak}, t)$ over time, where $r_{vortex}$ corresponds to the orbital radius of the vortex defined as the radius at which there is a local maximum in the outer disc ($r > r_p$) in the azimuthally-averaged surface density profile; $\phi_{peak}$ is the azimuth at which $\Sigma(r = r_{vortex}, \phi)$ occurs. We apply a smoothing filter over 5 orbits before maximizing these values over time. This removes short timescale variations, such as those due to the planet’s wake. We choose to use the vortex’s maximum surface density rather than its average surface density to avoid the difficulty of tracing out the edges of the vortex, which can be ambiguous in many snapshots. Nonetheless, we estimate that the vortex’s average surface density is smaller than its maximum surface density by 25 to 35%.

We estimate a vortex’s azimuthal extent, denoted as $\Delta \phi$, by examining $\Sigma(r = r_{vortex}, \phi)$ over a wide range of snapshots. We find that a vortex’s azimuthal extent is the most concentrated near the time at which its surface density is maximized. For this reason, we characterize a vortex’s concentration by the minimum value of $\Delta \phi$ over time, denoted as $[\Delta \phi]_{min}$. Each concentration is rounded to the nearest 30°, and primarily intended to classify a vortex as “concentrated” ($[\Delta \phi]_{min} < 180^\circ$), “elongated” ($[\Delta \phi]_{min} > 180^\circ$), or “intermediate” ($[\Delta \phi]_{min} \approx 180^\circ$). Section 4 also uses these rough values to show how vortices with different gas azimuthal extents appear in the associated dust observations.

3 RESULTS

Our simulations show that planets with longer, more realistic growth times induce vortices with:

(i) shorter lifetimes,
(ii) lower peak surface densities, and
(iii) wider azimuthal extents.

We summarize these trends in Figure 1. We can interpret these results by first considering the gap-opening process, which begins before the RWI is triggered. According to Frida et al. (2009), planets open gaps when

$$\frac{3 H(r_p)}{4 R_H} + \frac{50}{qRe} \lesssim 1,$$

(2)
where the Reynolds number $Re = r^2 \Omega_p / \nu$ is the reciprocal of our dimensionless viscosity. Equation (2) implies gap opening masses of $M_{\text{gap}} \approx 0.05 M_J$ and $0.15 M_J$ for $\nu = 10^{-7}$ and $10^{-6}$ respectively. These are well below the final planet masses in our simulations. This suggests that the planet can trigger vortices through the RWI during its growth phase when its mass is still relatively small. This is distinct from previous simulations of planets of at least Jupiter-mass where the planet reaches its full mass almost immediately after vortices form (e.g. de Val-Borro et al. 2007; Fu et al. 2014).

Indeed, using $M_{\text{excess}}$ shown in Figure (2)—our measure of vortex presence—we find that the first spike in $M_{\text{excess}}$ occurs when $m_p \approx 0.03 - 0.06 M_J$ for $\nu = 10^{-7}$, and at roughly $m_p \approx 0.2 M_J$ for $\nu = 10^{-6}$, both independent of $T_{\text{growth}}$. These values of $m_p$ are close to the gap-opening masses $M_{\text{gap}}$ estimated above, suggesting that the RWI develops soon after gap-opening begins. Once the RWI is triggered, $M_{\text{excess}}$ enters a short exponential growth phase lasting for $50 - 100$ orbits. We find this timescale, $T_{\text{exp}}$, is also approximately the time it takes for the initial vortices to merge into a single vortex (azimuthal wavenumber $m = 1$), and that $T_{\text{exp}}$ does not vary significantly with $T_{\text{growth}}$.

Despite similar trigger masses and exponential growth phases, the resulting vortices are in fact quite different when the planet takes longer to grow. These differences arise because once the vortex emerges, it begins to back-react on the gap edge, smoothing it out. The growing planet competes against the already-present vortex to sharpen the gap edge. Varnière et al. (2004) show that the ratio of surface density at the gap centre, $\Sigma_{\text{gap}}$, to that at the gap edge, $\Sigma_{\text{edge}}$, depends on planet mass and Reynolds numbers as:

$$\frac{\Sigma_{\text{edge}}}{\Sigma_{\text{gap}}} \propto q^2 Re.$$  \hspace{0.5cm} (3)

For a growing planet, we expect the steepness of the gap edge to evolve on a timescale of order $T_{\text{exp}} \approx q(t)/q(t)$. In Figure (3) we show that the azimuthal extents of the vortices are well-divided in a parameter space $q^2 Re$ vs. $\dot{q}^{-1}$, where angle brackets represent a time average. Specifically, the properties of the vortex depend on both the steepness of the gap edge and how quickly the gap edge evolves. This dependence reflects the competition between $T_{\text{exp}}$, the timescale for gap sculpting; and $T_{\text{exp}}$, the roughly constant timescale which governs how quickly the vortex can back-react on the gap. To verify the robustness of our choice of dimensionless numbers to map vortex properties, we ran short-term simulations with different combinations of $q$ and $\nu$ that more densely sample $q^2 Re$ and overlap with values already in our full length parameter study. We find excellent consistency between vortex azimuthal extents in the overlapping cases. When $T_{\text{gap}} > T_{\text{exp}}$ (that is, for slow planet growth), the vortex begins to smooth out the gap edge while the planet is still at a low mass, preventing the gap edge from attaining the maximum steepness for a given pair of $q$ and $\nu$. This leads to the vortex having a smaller saturation amplitude in density and a less concentrated azimuthal extent. When $T_{\text{gap}} \lesssim T_{\text{exp}}$, the planet grows quickly, continually steepening the gap edge. Thus, the back-reaction from the vortex only becomes important when the planet is more massive and the gap edge sharper. This leads to the vortices becoming stronger and more concentrated.

For more massive planets with larger $q^2 Re$, we observe that the azimuthal extent of a vortex can evolve from “elongated” to “intermediate.” This behavior arises because the planet continues to steepen the gap edge long after the exponential growth phase of $M_{\text{excess}}$. As a result, the slope of the dividing line in Figure (3) is positive. We emphasize that the largest distinction between the vortices is their azimuthal extents, rather than their peak mass or saturation amplitude. This is consistent with the linear scaling between the RWI growth rate and saturation amplitude found by Meheut et al. (2013).

With this interpretation in hand, we now provide more detailed descriptions of the different cases. We describe the vortices induced by $5 M_J$ planets in Section 5.1, and the vortices in induced by $1 M_J$ planets in Section 5.2. We observe and explain repeated vortex for-
mation in Section 3.3. Lastly, we show that the underlying trends in our results converge at different resolutions in Section 3.4.

3.1 Vortices induced by Higher Mass Planets \( (M_p = 5 M_J) \)

We find that for \( T_{\text{growth}} = 10 \ T_p \), planets with \( M_p = 5 M_J \) in discs with a low viscosity \( (\nu = 10^{-7}) \) generate strong, concentrated vortices that survive well beyond \( 10^4 \) orbits, in agreement with previous studies \( \text{(Fu et al. 2014)} \). Unlike in any of the other cases (see below), planets with \( T_{\text{growth}} = 2000 \ T_p \) also generate rather strong vortices with lifetimes just above \( 10^4 \ T_p \) and \( \Sigma_{\text{peak}} > 2.5 \ \Sigma_0 \). Nevertheless, these vortices are still weaker than those produced by a rapidly-grown planet. We find that it takes increasing \( T_{\text{growth}} \) to 4000 \( T_p \) to reduce the vortex’s \( \Sigma_{\text{peak}} \) below 2 \( \Sigma_0 \) (see Figure 5). These vortices still survive more than 7000 orbits with azimuthal extents that are relatively concentrated \( (|\Delta \theta|)_{\text{min}} \approx 180^{\circ}) \) rather than elongated.

In a high viscosity disc \( (\nu = 10^{-6}) \), a 5 \( M_J \) planet with \( T_{\text{growth}} = 500 \ T_p \) induces a vortex that survives just as long \( ( \approx 1300 \) orbits) and has a similar surface density \( (\Sigma_{\text{peak}} \approx 2.7 \ \Sigma_0) \) as a vortex generated by a rapidly-grown planet. However, with \( T_{\text{growth}} = 1000 \ T_p \), the vortex’s lifetime is halved, its azimuthal extent doubles (from \( |\Delta \theta|)_{\text{min}} = 90^{\circ} \) to \( 180^{\circ}) \), and \( \Sigma_{\text{peak}} < 2 \ \Sigma_0 \). Figure 5 shows snapshots of this case compared to the rapid growth.
case. With $T_{\text{growth}} = 2000 \, T_p$, even though the RWI is triggered with high-$m$ azimuthal modes, we do not observe them to evolve and merge into a clear $m = 1$ vortex.

### 3.2 Vortices induced by Lower Mass Planets ($m_p = 1 \, M_J$)

In the rapid growth case where $T_{\text{growth}} = 10 \, T_p$, we find that Jupiter-mass planets in discs with a low viscosity ($\nu = 10^{-7}$) also induce strong, concentrated vortices. These vortices have relatively long lifetimes of several thousand orbits, which is consistent with previous studies (e.g. Lobo Gomes et al. 2015; Les & Lin 2015) and only about a factor of $\sim 3$ shorter than those with the higher mass planet. However for $T_{\text{growth}} \geq 500 \, T_p$, lifetimes decrease by an order of magnitude, azimuthal extents double ($|\Delta \phi|_{\text{min}} \approx 240^\circ$ compared to $120^\circ$) and $\Sigma_{\text{peak}}$ drops very quickly to $\lt 2 \, \Sigma_0$ (see Figure 1). Figure 6 shows surface density snapshots that highlight the morphological differences between these concentrated and elongated vortices over the course of their lifetimes.

While slow growth does not suppress vortex formation entirely in low viscosity discs, we find that in the higher viscosity disc with $\nu = 10^{-6}$, growth times over 1000 orbits inhibit vortex formation completely for $M_p = 1 \, M_J$. With $T_{\text{growth}}$ of 250 and 500 $T_p$, planets create vortices that are a little more elongated ($|\Delta \phi|_{\text{min}} \approx 240^\circ$ compared to $180^\circ$), have $\Sigma_{\text{peak}} < 2 \, \Sigma_0$, and shorter lifetimes by $\sim 50\%$ compared to the rapid growth case. In these slowly-grown cases, about half of the reported vortex lifetime transpires before the high-$m$ RWI modes have merged into a single vortex. For this reason along with their shorter lifetimes, vortices generated by a Jupiter-mass planet in a high-viscosity disc with $\nu = 10^{-6}$ are not well-suited to explain observed disc asymmetries.

### 3.3 Vortex Reformation

In a few cases, we observe a distinct behavior with large values of $T_{\text{growth}}$ (slow growth times). When a vortex is initially weak, it can fade into a ring before the planet reaches its final mass. Since the planet continues to clear out the gap as it grows, these rings can develop sufficiently steep edges to re-trigger the RWI and form a new vortex. This only occurs for weak vortices, which can fade quickly before the growth phase ends and do not smooth out the nearby gap edge as much as stronger vortices formed by quickly accreting planets. Note that secondary vortices have also been observed by Lobo Gomes et al. (2015) for discs with a non-isothermal equation of state, albeit at a different location further from the planet.

The bottom panel of Figure 6 shows an example of a vortex reforming in a low viscosity disc ($\nu = 10^{-7}$) for a $1 \, M_J$ planet with $T_{\text{growth}} = 2000 \, T_p$. The vortex initially forms and dies out after several hundred orbits. Shortly after, it reforms at a similar
Jupiter-Mass Growth Time (planet orbits)

**Figure 3.** Vortex shapes across our parameter space (blue points are part of our main study; red points are added). The green line shows that the two parameters on the axes related to gap steepening separate elongated vortices (above) from concentrated ones (below). We determine the parameters for this dividing line by assuming a power law parametrization of \( \dot{q} / 10^{-3} \approx 1 \times (\nu^2 \text{Re})^B \). We then use a soft-margin support vector machine model with \( c = 25 \) (implemented by SVM-Light, Joachims 1999) to determine that the values of \( A = 88 \) and \( B = 0.32 \) create the maximum margin between the set of elongated vortices and the set of concentrated vortices.

**Figure 5.** Surface density snapshots for \( M_p = 5 M_J \) and \( \nu = 10^{-6} \), for \( T_{\text{growth}} = 10, 500, \) and 1000 \( T_p \). See Figure 4 for more details. Top: With \( T_{\text{growth}} = 10 T_p \), a strong vortex forms by 100 orbits and remains near peak strength at 500 orbits. By 900 orbits, the vortex has quickly faded and by 1500 orbits, it has died out. The vortex with \( T_{\text{growth}} = 500 T_p \) (not shown) develops similar properties to the vortex in this rapid growth case, despite forming slightly later. Bottom: With \( T_{\text{growth}} = 1000 T_p \), a much weaker, more elongated vortex initially forms. It dies out by 600 orbits. As the planet continues to grow, it then re-triggers a vortex of similar strength about 100 orbits later. This vortex then dies out near 1000 orbits when the planet has finished growing. The longest timescale \( T_{\text{growth}} = 2000 T_p \) (not shown) never produces a clear \( m = 1 \) vortex.

level of strength in terms of \( \Sigma_{\text{peak}} \) and \( |\Delta \phi|_{\text{min}} \), and also survives for several hundred orbits.

Along the same lines, we find the three cases of (A) \( M_p = 5 M_J, \nu = 10^{-7}, T_{\text{growth}} = 4000 T_p \); (B) \( M_p = 5 M_J, \nu = 10^{-6}, T_{\text{growth}} = 1000 T_p \); and (C) \( M_p = 1 M_J, \nu = 10^{-6}, T_{\text{growth}} = 500 T_p \) also create vortices that die out and reform while the planet is still growing. The first two cases are shown in the bottom panels of Figure 4 and Figure 5. The first case is unique because the first-generation vortex dies out very early on in the planet’s growth phase. This allows the second-generation vortex to become much stronger than the first one.

We cannot determine if the stronger, concentrated vortices in our study can reform, since they die out long after the planet has grown to its full mass. However, all of the weaker vortices that reform begin their second-generation phase at a level of strength near the strength of the first-generation vortex shortly before it dissipated. Therefore, even if the strongest vortices can reform, we do not expect them to reach the densities or lifetimes of the first-generation vortices unless the planet has a significant fraction of its growth phase remaining.

### 3.4 Convergence Tests

The standard resolution in our parameter study resolves the disc rather well in the vicinity of the planet and the vortex compared to other studies of planet-induced vortices (e.g. Lobo Gomes et al. 2015; Les & Lin 2015; Bae et al. 2016). Nonetheless, computational planet-disc interaction studies are notoriously susceptible to...
resolution artifacts (e.g. de Val-Borro et al. 2007; Muñoz et al. 2014).

To confirm the above trends that we observe, we ran convergence tests for several cases: (1) $M_p = 5 M_J$, $\nu = 10^{-7}$, with $T_{\text{growth}} = 10, 500,$ and $2000 T_p$; and (2) $M_p = 1 M_J$, $\nu = 10^{-6}$, with $T_{\text{growth}} = 500$ and $1000 T_p$. We find that the trend that increasing $T_{\text{growth}}$ produces increasingly weaker vortices is upheld at each resolution. Therefore, we are confident that this trend is physical.

The tests for the $5 M_J$ planets converge well quantitatively, producing vortex lifetimes and $\Sigma_{\text{peak}}$ consistent with the standard resolution simulations to within 15%. For $1 M_J$ planets, the relation between $T_{\text{growth}}$ and vortex lifetime remains, but the lifetimes vary with resolution. Specifically, the lifetimes for all cases decrease with increasing resolution, suggesting that the estimated lifetimes we present in Figure 1 as well as in Section 4.3 may be upper limits. We suspect that the difference in behavior as a function of mass is related to the better resolution of the planet's Hill radius and spiral shocks from the planets in the higher mass case, as vortex dissipation may be related to its interactions with these planetary shocks (Fu et al. 2014).

4 OBSERVATIONAL IMPLICATIONS

The disc asymmetries attributed to vortices are observed in mm-cm dust grains (e.g. van der Marel et al. 2013). Although the gas overdensity may be modest, a vortex acts as a very efficient dust trap due to the local pressure maxima at the centre. To quantify the impact of planet growth time on the properties of observed vortices, we must model the distribution of dust particles in a range of sizes.
within our simulated vortices. We have shown that $T_{\text{growth}}$ dramatically alters the concentration and azimuthal extent of planet-induced vortices. To illustrate the differences between concentrated and elongated vortices in dust observations, we make use of the vortex dust-trapping model developed by Lyra & Lin (2013).

4.1 Dust-Trapping Model

We approximate our simulated vortices as Kida vortices with elliptical streamlines and density contours of constant aspect ratio $\chi$ (Kida 1981). We estimate the vortex aspect ratio as

$$\chi = r\Delta\phi/\Delta r$$

(4)

where $r\Delta\phi$ and $\Delta r$ are the vortex azimuthal and radial extents respectively, that are measured from our simulations. The azimuthal extent is chosen to be $[\Delta\phi]_{\text{peak}}$ and the radial extent is estimated from the differenced surface density.

We then apply Lyra & Lin's model for the steady-state dust distribution in a disc vortex:

$$\rho_{\text{dust}}(a) = \epsilon \rho_{\text{peak}}(S + 1)^{3/2} \exp \left\{ -\frac{a^2 f^2(\chi)}{2H^2}(S + 1) \right\}$$

(5)

(see their Equation 64), where $a$ is the semi-minor axis of an elliptical density contour inside the vortex; $\rho_{\text{peak}}$ is the peak gas density, here inferred from our simulations (see Figure 1); $S$ is the ratio of the particles' Stokes number to the dimensionless diffusion coefficient in the vortex; $f(\chi)$ is a scale function of order unity (see their Equation 35); and $\epsilon$ is the dust-to-gas ratio. We fix $S$ to be $3 \times 10^{-3}$, 3, and 30 to represent particles of $\mu$m-size, mm-size, and cm-size respectively. The value of $S$ can vary by about an order of magnitude for each size for reasonable choices of the diffusion coefficient.

4.2 Dust distributions in Concentrated and Elongated Vortices

Figure 7 compares the $\mu$m-size, mm-size, and cm-size dust distributions of concentrated and elongated vortices for three different combinations of planet masses and disc viscosities.

In general, we find that the distributions of mm-size particles are the best tracer for the underlying gas vortex’s azimuthal extent since they are effectively concentrated and maintain a fairly constant overdensity from the vortex centre to the outer edge. The distributions of $\mu$m-size particles are also relatively flat throughout the vortex, but they are not trapped as efficiently and thus have a lower density enhancement throughout.

With larger particles (cm-size), the dust extents cannot be used to measure the gas extents directly since the dust concentrations approach zero inside the outer edge of the vortex. Instead, we find that the slopes of these steeper azimuthal distributions are a better indicator of the gas azimuthal extent. However, the differences in these slopes for vortices with different azimuthal extents can be very small ($<10\%$) when the distributions are normalized to the density at the vortex centre, making the extents difficult to measure precisely with this size particle.

This simplified model illustrates that two types of vortices might be distinguishable at mm wavelengths. Since $\mu$m-sized particles are also good at tracing the azimuthal extents, any contamination to mm observations from smaller wavelengths should only help distinguish an elongated vortex from a concentrated vortex. We expect that the dust overdensities shown in Figure 7 are likely underestimates of the observable asymmetry, as we have not accounted for the removal of dust elsewhere along the azimuth at the radial location of the vortex. However, the simulated vortices have more complicated streamline and density structures at their outer edges, which might make dust trapping less effective. A more detailed dust-trapping model that might be more appropriate for elongated vortices is needed to better assess the viability of measuring a vortex’s azimuthal extent for constraining the associated planet’s growth timescale.

4.3 Constraints from Planet Formation Models

We have shown that planets must form within a few thousand orbits or less in order to induce long-lived vortices. We now compare these timescales with realistic growth rates from planet formation models, finding that extreme conditions are required to satisfy the growth rate constraint.
First, we consider the fastest possible accretion rates. A strong upper limit on the planet’s growth is set by allowing the planet to efficiently accrete from its feeding zone in the 2D limit as

$$\dot{M}_p \approx \Sigma \Omega_p R_d^2$$  \hspace{1cm} (6)

(Youdin & Kenyon 2013). To evaluate this rate, we assume a surface density profile that is proportional to the Minimum Mass Solar Nebula (MMSN),

$$\Sigma(r) = 2200 F \left( \frac{r}{1 \text{AU}} \right)^{-3/2} \text{g cm}^{-2},$$  \hspace{1cm} (7)

where $F$ is an enhancement factor ($F = 1$ refers to the MMSN). With this profile, the maximum growth rate of the planet is

$$\dot{M}_p \approx 1.1 \times 10^{-2} F \left( \frac{r}{5 \text{AU}} \right)^{1/2} \left( \frac{q}{5 \times 10^{-4}} \right)^{2/3} \frac{M_J}{T_p},$$  \hspace{1cm} (8)

where we have used the value of $q = 0.5 M_J/M_\odot$ for the Hill radius scaling as the planet cannot initially accrete from the Hill radius corresponding to its final mass. This upper limit corresponds to $T_{\text{growth}} = \dot{M}_p/M_\odot \approx 90 T_p$ for a Jupiter-mass planet that forms at 5 AU in the MMSN. This timescale is safely an order of magnitude shorter than the critical growth rate required for vortex formation in our models ($T_p \approx 1000 T_p$). Thus, if planets were able to accrete at this maximal rate, vortex formation would be robust. However, achieving these maximum growth rates is unlikely when a planet has already opened up a gap, which occurs at a relatively low mass in a low viscosity disc.

A second simple estimate for planet growth time can be derived from considering the background accretion rate through the disc. In steady state, we expect the disc to funnel material into the vicinity of the planet at the disc accretion rate $\dot{M}_d = 3 \nu D$. Since we expect $\dot{M}_p \lesssim \dot{M}_d$ (Lubow & D’Angelo 2006) find that $\dot{M}_p$ never exceeds $80\%$ of $\dot{M}_d$), for low viscosity discs the background accretion rate sets a more stringent limit on the planet growth times than the estimate above. Equating the disc accretion rate to the planet growth rate, we define the critical surface density for vortex formation to be

$$\Sigma_{\text{crit}} \equiv \frac{\dot{M}_d}{3 \nu} = \frac{\dot{M}_p}{\Sigma \Omega_p R_d^2},$$  \hspace{1cm} (9)

where $T_{\text{growth, crit}}$ is the critical planet growth timescale that still allows vortices to form (which is a function of $\dot{M}_p$ and $\nu$). As above, we scale $\Sigma_{\text{crit}}$ to the MMSN to obtain $F_{\text{crit}}$, the enhancement over the MMSN required to allow sufficiently rapid accretion to induce vortex formation. We find

$$F_{\text{crit}} \approx 29.1 \left( \frac{M_p}{M_J} \right) \left( \frac{1000 T_0}{T_{\text{growth, crit}}} \right)^{-1/2} \left( \frac{r}{5 \text{AU}} \right)^{-1/2} \left( \frac{10^{-6} r^2 \nu}{\nu} \right)^{2/3},$$  \hspace{1cm} (10)

Aside from the discrepancy with observed disc masses (Andrews et al. 2011), this very high surface density is incompatible with the assumption of a low disc viscosity, because it corresponds to a disc with a small Toomre $Q$. For the passive irradiated disc profile from Chiang & Goldreich (1997) (see their Eq. 14a) with

$$T = T_{\text{mid}} \left( \frac{r}{5 \text{AU}} \right)^{-3/7},$$  \hspace{1cm} (11)

where $T_{\text{mid}}$ is midplane temperature at 5 AU in the fiducial T-Tauri irradiation model,

$$Q \approx 0.77 \left( \frac{r}{5 \text{AU}} \right)^{-3/7} \left( \frac{M_p}{M_\odot} \right)^{1/2} \left( \frac{T_{\text{mid}}}{75 \text{K}} \right)^{1/2} \left( \frac{29.1}{F_{\text{crit}}} \right),$$  \hspace{1cm} (12)

Discs with $Q < 2$ are subject to gravitational instabilities that generate effective $a > 0.01$ or $\nu > 10^{-3} r^2 \Omega_p$ (Kratter & Lodato 2016). Even for a factor of $\sim 5 - 10$ decrease in $F_{\text{crit}}$ (increase in $Q$), disc self-gravity may suppress large-scale vortex formation via the RWI (Lin & Papaloizou 2011). We conclude that the planet growth rates required for vortex formation are incompatible with growth via mass re-supply from the disc.

Neither of the above estimates captures the details of planetary accretion. More realistic simulations of Jupiter’s formation that account for gap opening and feedback produce accretion rates in line with our second estimate, which is 1 to 2 orders of magnitude slower than the rates needed for robust vortex formation (Lissauer et al. 2009) model the growth of a 1 $M_J$ planet at 5 AU in a disc with $\nu = 10^{-6} r_p \Omega_p$ and $F \approx 3.5$. They find that the runaway gas accretion phase lasts for $4 \times 10^4 T_p$ in the simulation they deem the most realistic. Neglecting disc dispersal, they estimate growth times of $8000 T_p$. At even this faster rate, our simulations predict that vortex formation would be inhibited. More detailed models of accretion through circumplanetary discs produce similarly low accretion rates, and thus slow growth times. Again, for planet properties akin to Jupiter, studies find $\dot{M}_p \approx 10^{-3} M_J \text{yr}^{-1}$ (e.g. Papaloizou & Nelson 2005; Ayliffe & Bate 2009; Rivier et al. 2012). If circumplanetary disc accretion rates were only weakly dependent on planet semi-major axis, this would favour vortex formation at larger disc radii.

Although our calculations above suggest that realistic planet growth times are slow, vortex formation may still be possible with less idealized disc conditions. For example, secondary effects such as the efficient heating of material in the vicinity of an accreting planet (which we neglect in our isothermal discs) has been shown to help vortex formation and survival (Les & Lin 2015; Lobo Gomes et al. 2015; Owen & Kollmeier 2016). Even if the inclusion of more rigorous thermodynamics could ameliorate the inhibition of the instability caused by slow planet growth times, vortices induced by slowly growing giant planets should still be subject to the vortex back-reaction effects that lower their overdensities, widen their azimuthal extents, and shorten their lifetimes as in our study. As discussed in Section 4.2, these characteristics each likely severely damp prospects for observing vortices.

5 CONCLUSIONS

In this paper, we explore the impact of a planet’s growth timescale on the properties of vortices induced at the outer gap edge through the Rossby Wave Instability. We find that the values of $T_{\text{growth}}$ needed for the formation of long-lived planet-induced vortices are short compared to those expected from core accretion models or mass resupply in a low-viscosity disc. Even with modest values of $T_{\text{growth}} \sim 1000 T_p$, the resulting vortices have lower gas densities, more elongated azimuthal extents, and shorter lifetimes that are less than half their survival times with rapid planet growth. This is in contrast to vortex lifetimes on the order of $10^4$ to $10^5 T_p$ obtained with $T_{\text{growth}} \lesssim 100 T_p$ in previous studies (e.g. Fu et al. 2014).

With increased planet growth timescales, we find the RWI is in fact triggered soon after the planet mass reaches the gap-opening criterion from Crida et al. (2006), which is well below the final planet masses studied here. Although the vortex evolution at the trigger point is not sensitive to $T_{\text{growth}}$, the planet growth timescale strongly affects the saturated state of the vortex. We attribute the transition to weaker vortex properties to the competition between the timescale on which the vortex smooths the gap edge as com-
pared to the timescale on which the growing planet steepens it. We also map out this transition as a function of the dimensionless planet mass growth rate $q$, and a dimensionless measure of gap-edge steepness $q^2 \text{Re}$, where $\text{Re}$ is the Reynolds number (Varnière et al. 2004). If the initial vortex forms when the planet is relatively low in mass, the vortex back-reaction on the gap structure is more pronounced, stunting any further growth of the vortex during subsequent planet growth. Conversely, a short $T_{\text{growth}}$ allows the planet to sculpt the gap edge much faster than the vortex can back-react on it.

A unique feature of the vortices induced by slowly-grown planets are their much wider azimuthal extents, which can be twice that of vortices induced by rapidly-grown planets. We caution that these elongated vortices (in particular, those induced by lower mass planets) may not survive as long as in our standard resolution simulations, since their lifetimes are halved in our higher resolution simulations.

If such elongated vortices survive long enough to be observable, we can measure their azimuthal extents from dust observations. Since a planet’s growth timescale preferentially affects the azimuthal extents of the vortex it creates, a measurement of a vortex’s azimuthal extent could be used in conjunction with other properties (such as the planet’s mass, along with the disc’s viscosity and temperature) to provide limits on how quickly the associated planet formed. Other effects that weaken vortices and cause them to be shorter-lived (such as sub-optimal disc temperatures and viscosities, and dust feedback) do not affect their extents nearly as much as the planet’s growth rate.

A low-viscosity disc is generally required for planet-induced vortex formation (e.g. de Val-Borro et al. 2007; Fu et al. 2014). However, the disc viscosity also limits the accretion rate onto the planet, thereby increasing its growth timescale. In this regime, the corresponding values of $T_{\text{growth}}$ prevent a planet from inducing a long-lived vortex. If the asymmetric discs observed with ALMA are induced by vortices from giant planets, more detailed thermodynamics may need to be incorporated into future simulations to model them properly. Accounting for the disc cooling timescale or planetary accretion luminosity may lead to stronger vortices even with slow growth rates (Les & Lin 2015; Lobo Gomes et al. 2015; Owen & Kollmeier 2016).

Finally, we note that vortices associated with dead zone boundaries (e.g. Regaly et al. 2012) are also expected to be elongated. As a result, one would not be able to distinguish the origin of elongated vortices (as induced by a dead zone boundary or a slowly-grown planet) based on azimuthal extent alone.

ACKNOWLEDGEMENTS

We thank Ruobing Dong, James Owen, Sijme-Jan Paardekooper, Nienke van der Marel, and the anonymous referee for their helpful comments. MH is supported by the NSF Graduate Research Fellowship under Grant No. DGE 1143953. KMK is supported by the National Science Foundation under Grant No. AST-1410174. MKL is supported by the Steward Theory Fellowship. The El Gato supercomputer, which is supported by the National Science Foundation under Grant No. 1228509, was used to run all of the simulations in this study.

REFERENCES

Andrews S. M., Wilner D. J., Espaillat C., Hughes A. M., Dullemond C. P., McClure M. K., Qi C., Brown J. M., 2011, ApJ, 732, 42
Ayliffe B. A., Bate M. R., 2009, MNRAS, 393, 49
Bae J., Zhu Z., Hartmann L., 2016, ApJ, 819, 134
Barge P., Sommeria J., 1995, A & A, 295, L1
Birnstiel T., Dullemond C. P., Pinilla P., 2013, A & A, 550, L8
Casassus S., van der Plas G., M. S. P., Dent W. R. F., Fomalont E., Hagelberg J., Hales A., Jordan A., Mawet D. e. a., 2013, Nature, 493, 191
Chiang E. I., Goldreich P., 1997, ApJ, 490, 368
Crida A., Morbidelli A., Masset F., 2006, Icarus, 181, 587
D’Angelo G., Lubow S. H., 2008, ApJ, 685, 560
de Val-Borro M., Artymowicz P., D’Angelo G., Peplinski A., 2007, A & A, 471, 1043
de Val-Borro M., Edgar R. G., Artymowicz P., Ciecielag P. e. a., 2006, MNRAS, 370, 529
Fu W., Li H., Lubow S., Li S., 2014, ApJ, 788, L41
Fu W., Li H., Lubow S., Li S., Liang E., 2014, ApJ, 795, L39
Fukagawa M., Tsukagoshi T., Momose M., Saigo K., Ohashi N., Kitamura Y., Inutsuka S.-i., Muto T., Nomura H., Takeuchi T., Kobayashi H., Hanawa T., Akimatsu E., Honda M., Fujiwara H., Kataoka A., Takahashi S. Z., Shibai H., 2013, PASJ, 65
Joachims T., 1999, in Schalkopf, B., and Burges, C., and Smola, A. ed., Advances in Kernel Methods - Support Vector Learning, Making large-scale SVM learning practical Kida S., 1981, Journal of the Physical Society of Japan, 50, 3517
Kley W., 1999, MNRAS, 303, 696
Kratter K. M., Lodato G., 2016, ArXiv e-prints
Les R., Lin M.-K., 2015, MNRAS, 450, 1503
Li H., Colgate S. A., Wendroff B., Liska R., 2001, ApJ, 551, 874
Li H., Finn J. M., Lovelace R. V. E., Colgate S. A., 2000, ApJ, 533, 1023
Li H., Li S., Koller J., Wendroff B. B., Liska R., Orban C. M., Liang E. P. T., Lin D. N. C., 2005, ApJ, 624, 1003
Lin M.-K., 2012, MNRAS, 426, 3211
Lin M.-K., Papaloizou J. C. B., 2010, MNRAS, 405, 1473
Lin M.-K., Papaloizou J. C. B., 2011, MNRAS, 415, 1426
Lissauer J. J., Hubickyj O., D’Angelo G., Bodenheimer P., 2009, Icarus, 199, 338
Lobo Gomes A., Klahr H., Uribe A. L., Pinilla P., Surville C., 2015, ApJ, 810, 94
Lovelace R. V. E., Li H., Colgate S. A., Nelson A. F., 1999, ApJ, 513, 805
Lubow S. H., D’Angelo G., 2006, ApJ, 641, 526
Lyra W., Lin M.-K., 2013, ApJ, 775, 17
Lyra W., Mac Low M.-M., 2012, ApJ, 756, 62
Masset F., 2000, A & AS, 141, 165
Meheut H., Keppers R., Casse F., Benz W., 2012, A & A, 542, A9
Meheut H., Lovelace R. V. E., Lai D., 2013, MNRAS, 430, 1988
Miranda R., Li H., Li S., Jin S., 2016, ArXiv e-prints
Muñoz D. J., Kratter K., Springel V., Hernquist L., 2014, MNRAS, 445, 3475
Ono T., Muto T., Takeuchi T., Nomura H., 2016, ApJ, 823, 84
Owen J. E., Kollmeier J. A., 2016, ArXiv e-prints
Papaloizou J. C. B., Nelson R. P., 2005, A & A, 433, 247
Pérez L. M., Isella A., Carpenter J. M., Chandler C. J., 2014, ApJ, 783, L13
Pollack J. B., Hubickyj O., Bodenheimer P., Lissauer J. J., Podolak M., Greenzweig Y., 1996, Icarus, 124, 62
Regály Z., Juhász A., Sándor Z., Dullemond C. P., 2012, MNRAS, 419, 1701
Rivier G., Crida A., Morbidelli A., Brouet Y., 2012, A & A, 548, A116
Shakura N. I., Sunyaev R. A., 1973, A & A, 24, 337
Toomre A., 1964, ApJ, 139, 1217
van der Marel N., van Dishoeck E. F., Bruderer S., Andrews S. M., Pontoppidan K. M., Herczeg G. J., van Kempen T., Miotello A., 2016, A & A, 585, A58
van der Marel N., van Dishoeck E. F., Bruderer S., Birnstiel T., Pinilla P., Dullemond C. P., van Kempen T. A., Schmalzl M., Brown J. M., Herczeg G. J., Mathews G. S., Geers V., 2013, Science, 340, 1199
Varnière P., Quillen A. C., Frank A., 2004, ApJ, 612, 1152
Youdin A. N., Kenyon S. J., 2013, From Disks to Planets. p. 1
Zhu Z., 2015, ApJ, 799, 16