Photonic Crystals with Controlled Disorder

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Photonic crystals are extremely sensitive to structural disorder even to the point of completely losing their functionalities. While on one side this can be detrimental for applications in traditional optical devices, on the other side, it gives also rise to very interesting new physics and maybe even new applications. We propose a route to introduce disorder in photonic crystals in a controlled way, by creating a certain percentage of vacancies in the lattice. We show how the method works and what type of materials can be obtained this way. Also we characterize the resulting transport properties from various points of view, including measurements of the transport and scattering mean free path and the diffusion constant.

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I. INTRODUCTION

Numerous applications of photonic crystals [3, 4] have been proposed, based on their ability to control ballistic light transport. In practice, most photonic crystals contain a certain amount of intrinsic disorder, which gives rise to multiple light scattering and light diffusion [5]. An extraordinary progress has been made in the fabrication of nano-photonic structures, with many novel optical properties [6]. Using engineered disorder by introducing defects of controlled amount, position, shape, and other morphological characteristics can, however, lead to interesting new functionalities [7, 8]. The extreme case of disorder is that of a photonic glass, in which the building blocks are perfect spheres which are distributed randomly [9]. In such systems, light undergoes multiple resonant Mie-scattering due to the equal shape and size of its scatterers [10].

While light propagation in photonic crystals is described by Bloch-modes, transport in photonic glasses is dominated by random multiple scattering. Random systems also exhibit interference effects, of which maybe the most dramatic is that of Anderson localization of light waves, in which interference brings light transport to a complete halt [11]. The combination of a photonic crystal and a certain amount of random multiple scattering is believed to be the key to observe optical Anderson localization [12]: the presence of a photonic bandstructure can lead to a strongly reduced diffusion constant compared to an equivalent random system without the underlying periodic backbone [13]. While Anderson localization requires very strongly scattering materials, the interplay between order and disorder is mostly unknown, even at low refractive index, far away from the predicted Anderson localization transition.

In this paper we show a method to obtain structures in between a photonic crystal and a photonic glass, by adding a small amount of controlled defects, and to characterize the topology of the resulting optical properties. The paper is organized as follows: In section II, we will show how to introduce extrinsic disorder in photonic crystals as vacancies in the lattice and how to analyze the topological disorder of such structures. In section III we will show measurements of the static transport properties, in particular the scattering mean free path. In section IV we will report on measurements of the diffusion constant in photonic crystals as a function of the density of vacancies. In section V, we will show total white light transmission measurements from photonic glasses and photonic crystals with a high amount of vacancies.

II. VACANCY-DOPED PHOTONIC CRYSTALS

Sample preparation

An alloy photonic crystal [13–15] is grown with a binary colloid that consists of spheres of two types. Compared to the crystals which are composed by single-specie spheres, binary crystals exhibit a rather rich phase behavior that depends on the volume fractions of the constituents, in particular on their diameter ratio. If the constituents are chemically different but of the same diameter, it is possible to obtain also a regular lattice of which, after crystal growth, one of the constituents can be chemically removed. The one constituent that is removed acts in that sense as a dopant, since it introduces vacancies in the lattice. Fig. 1a–b and 1d–e schematize the process. Binary colloidal suspensions of polymethyl-methacrylate (PMMA) and polystyrene (PS) spheres were ordered by vertical deposition [16]. This method allows us to grow large homogenous 3D alloys with appreciable thickness. The total colloidal concentration in the liquid is typically set fixed at 0.15 wt%, and the density of dopants (PS spheres, in this case) is tuned by changing the partial PS concentration in the initial colloidal suspension. For example, if a 10% final vacancy density is needed, a partial PS spheres concentration of 0.015 wt% and PMMA
concentration of 0.135 wt% will be mixed to obtain a total 0.15 wt% colloidal suspension. Once the alloy colloidal crystal is grown, the PS spheres are removed by selective chemical etching, by immersing the samples in 99% pure Cyclohexane for, at least, 4 h. This very easy procedure completely removes the PS spheres, leaving the PMMA spheres undisturbed. Scanning electron microscopy (SEM) image Fig. 1a shows such structure. In (d), (e) and (f) a sample is shown that was grown by using PS and PMMA spheres with diameters 237 nm.

The selective etching of PS spheres can be conveniently monitored optically, during the etching process. At low energies, far from the first stopband, the sample can be considered as a homogenous thin layer with effective refractive index \( n_{\text{eff}} \). This \( n_{\text{eff}} \) can be measured by recording the spectral separation of the Fabry-Perot fringes in the transmittance or reflectance spectra, which depend on the optical thickness of the sample and thereby both on its physical thickness and refractive index. Local reflectance maxima of the Fabry-Perot fringes will appear at (for an opal on a substrate with a refractive index higher than that of the opal [ eq. 1]):

\[
m\lambda_m = 2 Ln_{\text{eff}} \Rightarrow \lambda_m = \frac{m}{2Ln_{\text{eff}}}
\]

where \( m \) is the resonance order, \( L \) is the sample thickness and \( \lambda_m \) is the wavelength of the \( m \)-resonance. A linear relation is obtained by plotting the inverse of \( \lambda_m \) as a function of \( m \), which slope yields the inverse of the sample thickness and \( n_{\text{eff}} \). The initial \( n_{\text{eff}} \) of the alloy crystal, composed by PS and PMMA spheres (Fig. 1), can be calculated from the partial concentration of each type of spheres, their refractive index: \( n_{\text{PS}} = 1.59 \) and \( n_{\text{PMMA}} = 1.4 \), and the total filling fraction of spheres in the crystal \( f = 0.74 \). This gives us a precise estimation of the sample thickness, which remains constant during the etching process. The evolution of the etching can then be followed by monitoring the value of \( n_{\text{eff}} \) with time, using Eq. 1 with this initial value of \( L \). Fig. 2 shows the effective refractive index for a thin film initially composed of 60% of PMMA spheres and a 40% of PS spheres, both of diameter \( d = 237 \) nm. The figure reveals that the etching is complete after few minutes, when \( n_{\text{eff}} \) reaches a constant value.
FIG. 3: (Color online) (a) and (b) figures show the reflectance and transmittance spectra measured from samples with 0% and 40% vacancy density, respectively. Diffuse light intensity from the corresponding samples before (c) and after (d) PS removal. Notice the presence (disappearance) of the gap before (after) PS spheres removal. The insets in (c) and (d) show a schematical drawing of the corresponding samples.

change in $n_{eff}$. The final vacancy-doped photonic crystals (Fig. 1f) have typically the same dimensions as a thin photonic crystal film, that is, they have a thickness of several microns and can be several centimeters wide. The vacancies are distributed homogeneously throughout the structure.

Optical characterization

As a first characterization of our samples we have recorded standard angular dependent reflection and transmission spectra. In particular, we recorded the specular reflectance, $R$, and transmittance, $T$, of light with wavelength from 400 nm to 3 µm in the direction perpendicular to the sample surface ($\Gamma L$) and in the low energy range ($a/\lambda < 1$, where $a$ is the lattice parameter). This measurement directly gives the amount of light lost from this particular direction which, due to elastic scattering, propagates in other directions different than the incident one. By increasing the amount of vacancies in the crystal lattice, the amount of light scattering increases as we will discuss later.

The analysis carried out in the present section will tackle the measurement of the amount of remaining order in the bulk of the structure. The magnitude $R + T$ can be used to estimate the amount of remaining order if absorption and higher-order Bragg scattering can be neglected. In that case, the diffuse light intensity $D$ is simply $D = 1 - R - T$, which accounts for the losses due to elastic light scattering from the lattice vacancies which act as the scatterers. Note that one expects the diffuse intensity to be non-zero also for samples without intentionally introduced defects (our samples with zero percent vacancies), due to residual polidispersity and various sample imperfections that are common for even the most accurately prepared photonic crystal opals.

Fig. 3a and 3b show $R$ and $T$ measurements from samples doped with a 0% and 40% of vacancies, respectively. The disappearance of the reflectance (transmittance) peak (dip) related to the pseudogap in the $\Gamma L$ direction is the first and most evident effect of increased disorder. Fig. 3c plots $D$, proportional to the light loses, which presents the usual features for a finite opal: a monotonic increase for frequencies outside the gap, attributed to Rayleigh-Gans type of scattering, the presence of a dip at $a/\lambda \sim 0.6$ for polymeric spheres which accounts for a reduction of scattering losses inside the gap and two peaks at the band edges which represents an increase of scattering at these spectral positions. Here we are measuring light which is lost from the initial direction and diffuses through the crystal. Therefore, the asymmetry between the high and the low energy band edges can be explained by examining the photonic band
structure in other crystallographic directions close to the incident one. For light incident in the Γ L direction, scattered photons in the low energy band-edge find allowed states in adjacent directions when a small momentum is acquired. At the high energy band-edge, the additional momentum needed for a scattered photon to couple to a Bloch mode in other directions is larger and the process for this frequency becomes less probable than the former. This is the reason which, qualitatively, explains this asymmetry. For a high amount of vacancies, Fig. 3 reveals the disappearance of any hint of gap or band-edges features and shows the increase of scattering losses for all frequencies. The higher the relative concentration of PS, the more abrupt the transition is: when increasing disorder, constructive and destructive interference is averaged out giving rise to an effective medium behavior and losses increase monotonically with energy.

III. STATIC MEASUREMENTS: SCATTERING MEAN FREE PATH

The measurements of the reflectance and transmittance coefficient as reported in the previous section can be used also to determine the scattering mean free path ℓ_s, defined as the length over which a light beam can propagate inside the sample before it is scattered due to randomness [26]. To that end the reflectance and transmittance are recorded in the way described in section II now studied versus the thickness of the sample (see Fig. 4). Specular R and T (similar to those from Fig. 3a and b) are taken in adjacent regions in a set of samples with a vacancy density from 0% to 40%. Adjacent regions have a different amount of stacked layers and are visible by optical microscope inspection as terraces on the sample surface. The thickness of such films is assessed by measuring the density of Fabry-Perot fringes, which is crucial to provide the exact thickness, L, of the tested region. The thickness can also be determined in an alternative and independent way by simply counting the terraces on the photonic crystal, since each terrace corresponds to a thickness increase by one layer (see Fig. 4b). The accuracy in the determination of the thickness is in that case limited by the cumulative effect of the sphere polydispersity (< 2%).

The analysis of the thickness dependence of reflectance and transmittance spectra has to take into account the fact that light impinging on a photonic crystal can be transmitted, (specularly) reflected, diffracted, absorbed, or (diffrusely) scattered. Considering energies below the onset of diffraction (a/λ ~ 1.12) [18], diffraction can be disregarded. Absorption is also negligible for the considered frequencies for PS and PMMA spheres. Elastic scattering is then the only loss mechanism and the Lambert-Beer law can be written as:

$$ R(L) + T(L) = \exp(-L/\ell_s) $$

(2)

Fig. 5a shows the measurement of ln(T + R) for four different vacancy density at a wavelength $\lambda_1 = 1.67 \mu m$ for spheres with diameter $d = 630 \text{ nm}$ ($a/\lambda_1 = 0.56$). In this type of representation, the slope yields directly $(-\ell_s)^{-1}$ according to equation (2). Fig. 5b shows the variation of $\ell_s$ with the vacancy density in the pass band ($\lambda_1$, see Fig. 5a). We observe how the optical thickness of the sample, $L/\ell_s$ (where $L$ is the sample thickness), increases with $\rho_v$. Table I shows this evolution and also gives the average number of scattering events light performs before exiting the sample, given by $N = (L/\ell_s)^2$. This number is below one (0.2) in the case of the most perfect opal-based photonic crystal which is comparable to other very high quality opals [24] and it becomes as large as 28 in the case of $\rho_v = 40\%$. This last value is comparable to opals grown by centrifugation [25] which show an aver-
age number of scattering events of \( \sim 15 \). The very high quality of the crystals is of paramount importance to access the different light transport regimes of our samples: near-single scattering in the case of non-doped crystals up to diffusion in the highest doping case of \( \rho_v = 40\% \).

From Figs. 4 and 5, it is clear that the dependence of the scattering mean free path is very different at a wavelength at the band-edge \( (\lambda_2 \text{ in Figs. 5}) \) than it is at wavelengths far away from the stopband \( (\lambda_1 \text{ in Figs. 5}) \). At the band-edge the density of states is high and the group velocity low, which increases the amount of scattering and hence reduces the scattering mean free path \( \ell_s \). This explains the large difference between \( \ell_s \) at the two wavelengths, for \( \rho_v = 0\% \). At increasing \( \rho_v \), the effect of the photonic crystal diminishes and at \( \rho_v = 40\% \) there is no difference in the value of the scattering mean free path for the two wavelengths. At wavelengths far away from the stopband we do not expect strong effects from the photonic crystal and the inverse of the scattering mean free path should simply depend linearly on the density of scattering elements. If we distinguish between the scattering that is intrinsically present in the photonic crystal (expressed in terms of a density \( \rho_0 \) and cross section \( \sigma_0 \) that represents the average of all intrinsic scattering, e.g., due to polidispersity of the spheres, cracks, staking faults and so on), and the scattering introduced by the vacancies (with vacancy density \( \rho_v \) and vacancy scattering cross section \( \sigma_v \)), we can write the inverse of the scattering mean free path as:

\[
\ell_s^{-1} = \rho_0 \sigma_0 + \rho_v \sigma_v \tag{3}
\]

assuming independent scattering from the vacancies. Eq. 3 allows to fit \( \ell_s(\rho_v) \) and extract the value \( \sigma_v = (0.057 \pm 0.002) \mu m^2 \). Note that at both wavelengths \( \lambda_1 \) and \( \lambda_2 \) the mean free path becomes smaller than the Bragg length for \( \rho_v > 20\% \) (shaded area in Fig. 5), which means that above those vacancy densities the Bloch approximation fails to give an accurate description of the propagation process.

**IV. DYNAMIC MEASUREMENTS: DIFFUSION CONSTANT**

The static measurements presented in section a reveal how sensitive \( \ell_s \) is to the vacancy density. In order to get information on the behavior of the diffusion constant one has to resort to time-resolved measurements. In this section, we will use a non-linear optical gating technique to analyze the time-resolved response of transmitted diffuse light through photonic crystals with vacancies. This will allow us to measure the diffusion constant as a function of wavelength and disorder.

Most regular disordered systems are isotropic, meaning that the diffusion constant and mean free path are angular independent. In (partially disordered) photonic crystals it is, on the contrary, crucial to take into account directionality. The photonic band-edge of a stopband, for instance, occurs for a wavelength which will change when varying angle, hence its effect on the diffuse transport of light can also be anisotropic. The technique that we use in this section to measure the time-evolution of the transmitted diffuse light is sensitive to the component of the diffusion constant in the direction perpendicular to the slab, which is also the direction in which \( \ell_s \) has been determined in the static measurements described in the previous section.

Fig. 6 shows time-resolved transmission through thin film opals, thickness 21 \( \mu m \) (\( \sim 40 \) layers), grown with PMMA spheres (diameter \( d = 630 \) nm) for \( \rho_v = 0\% \). The measurements have been performed at \( a/\lambda_1 = 0.56 \) (black-dotted curve) and \( a/\lambda_2 = 0.59 \) (red-dotted curve). The reference pulse is plotted with a blue-solid curve in both figures to compare it with the diffuse decay. The value of the diffusion constant is obtained by fitting the

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**TABLE I: Optical thickness**

| \( \rho_v \) (%) | \( L_B \) (\( \mu m \)) | \( \ell_s \) (\( \mu m \)) | \( L/\ell_s \) | \( N \) |
|------------------|-------------------|-------------------|-------------|-----|
| 0                | 8 ± 1             | 53 ± 4            | 0.4         | 0.2 |
| 5                | 22 ± 2            | 1                 | 1           | 1   |
| 20               | 7.0 ± 0.7         | 3                 | 9           |     |
| 40               | 4.0 ± 0.4         | 5.3               | 28          |     |

**FIG. 6:** (Color online) Plot of the time-resolved diffuse transmission through photonic crystals composed by PMMA spheres with \( n = 1.42 \) and a diameter \( d = 630 \) nm with \( \rho_v = 0\% \) at the pass band, \( a/\lambda_1 = 0.56 \) black-dotted curve (a), and at the band-edge, \( a/\lambda_2 = 0.59 \) (b) red-dotted curve. The blue-solid curve is the time-resolved transmission of the pulse reference.
experimental time profile of $T(t)$ with the dynamical solution to the diffusion equation [25]. At the band-edge, we obtain $D(\lambda_2) = 220 \text{ m}^2/\text{s}$ while in the pass band, at $\lambda_1$, the transmitted pulse through the sample is of the order of the probe pulse (150 fs, blue-solid curve). This is due to the fact that $D(\lambda_1)$ is larger than the maximum diffusion constant we can measure with our setup ($D_{\text{max}} \sim 700 \text{ m}^2/\text{s}$).

The optical thickness of the sample, $L/\ell_s$, is wavelength dependent at $\rho_v = 0\%$, as shown in the previous section. In the pass band, $\ell_s(\lambda_1)$ acquires a very large value compared to $L$ and $D(\lambda_1)$ becomes very large, as well. At this wavelength, scattering becomes dominantly single/low-order scattering so that it is actually not meaningful anymore to define a diffusion constant in the first place. The observed strong wavelength dependence diminishes at increasing disorder. This is shown in the inset of Fig. 7 and is expected, as the photonic crystal correlations disappear. This behavior is clearly observed in the inset of Fig. 7, where $D$ is plotted at $\rho_v = 40\%$.

The complete measurement of $D(\lambda_2)$ as a function of $\rho_v$ is plotted in Fig. 7. It shows a 5-fold decrease of the value of $D$ as a consequence of disorder from $D(0\%) = 220 \text{ m}^2/\text{s}$ to $D(40\%) = 43 \text{ m}^2/\text{s}$. The diffusion constant already reaches its minimal value at 20% vacancy density and increasing the vacancy density beyond that point seems not to reduce the diffusion constant further. The total decrease of $D$ at $\lambda_2$ is comparable to the decrease of $\ell_s$ at the same wavelength.

The diffusion constant in regular isotropic disordered systems is given by:

$$D = \frac{1}{3} \ell_s v_e$$

(4)

where $v_e$ is the energy velocity [31] and $\ell_s$ is the transport mean free path. This relation remains valid in isotropic systems for each Cartesian coordinate if we take the appropriate values for $D$, $\ell_s$, and $v_e$ in those directions. As mentioned above, our experimental technique is sensitive to the value of the diffusion constant in the direction perpendicular to the slab, which is also the direction in which we have determined the other optical properties of our samples.

If we now assume, to first order, $\ell_s \approx \ell_e$ (which is reasonable for our system) we can use the values of $\ell_s(40\%)$ and $D(40\%)$ to estimate $v_e$, which yields: $v_e(40\%) \approx 0.25c$ (where $c$ is the speed of light in vacuum). This value is small compared to the phase velocity in an equivalent homogeneous system with the same average refractive index as our materials, which lies between 1.3 and 1.4, and also much smaller then the transport velocity that one would expect for the equivalent fully disordered system.

In Fig. 7, we have also plotted the vacancy density dependence of the diffusion constant if we assume the transport velocity to remain constant, using only the vacancy dependence of the mean free path $\ell_s(\rho_v)$ as found before. We see that the overall variation of the diffusion constant of a factor of 5 can be entirely explained in that case by the vacancy dependence of $\ell_s(\rho_v)$. However, at intermediate vacancy values the such obtained curve overestimates $D$. Currently there is no theory available that can describe accurately the behavior of the transport velocity in partially disordered photonic crystals, and this would be an interesting topic to look into in the future.

V. TOTAL WHITE LIGHT TRANSMISSION: FROM CRYSTALS TO GLASSES

In the previous sections we have shown via static and dynamic measurements how a high amount of vacancies gives rise to strong multiple scattering in photonic crystals. In this section, we want to compare these highly doped photonic crystals with photonic glasses. To this purpose, we measured total light transmission from 400 nm to 900 nm wavelength through photonic crystals with $\rho_v = 40\%$ with an integrating sphere (the setup is shown elsewhere [23]) on large areas of $\sim \text{mm}^2$.

Fig. 8 compares total light transmission through photonic crystals with $\rho_v = 40\%$ vacancies and through photonic glasses made with the same PMMA spheres...
FIG. 8: (Color online) Total light transmission through photonic crystals with 40% vacancies (black-solid curves) compared to photonic glasses made with the same spheres (red-dashed curves) with diameters \( d = 237 \text{ nm} \) \( \text{(a)} \), \( d = 630 \text{ nm} \) \( \text{(b)} \) and \( d = 780 \text{ nm} \) \( \text{(c)} \).

for three different diameters: \( \text{(a)} \) \( d = 237 \text{ nm} \), \( \text{(b)} \) \( d = 630 \text{ nm} \) and \( \text{(c)} \) \( d = 780 \text{ nm} \). The three figures show that the total transmission presents a very similar behavior in both cases, including the occurrence of very similar resonances. The transport mean free path measured in photonic glasses, \( \ell_t \simeq 3 \mu \text{m} \) [10] is comparable to the one measured in natural-sedimented photonic crystals [31] and to the value of \( \ell_s \) obtained previously for our photonic crystals with \( \rho_v = 40\% \) vacancies \( \ell_s \simeq 4 \mu \text{m} \). The occurrence of the same resonances in the total transmission for photonic glasses and photonic crystals with vacancies is noteworthy. In photonic glasses these resonances originate from the (Mie) resonances in the single scattering coefficient of the monodisperse constituent spheres. In the doped photonic crystals the scattering originates from vacancies and apparently, at high vacancy density, the Mie resonances of the spheres that constitute the photonic crystal emerge as dominant when the spatial correlations of the photonic crystal are strongly attenuated. The remarkable similarity of the curves shown in Fig. 8 is a clear indication of the convergence of a photonic crystal into a photonic glass with disorder.

VI. CONCLUSIONS

In this paper we report on the realization of photonic crystals with a controlled amount of, well-defined, disorder. We characterize the optical properties of such materials in various ways and determine the degree of scattering at various wavelengths and doping concentrations. In particular, our measurements show that it is possible to control and fine tune the amount of multiple scattering in a photonic crystal, by adding vacancies and hence without altering the crystal structure. In the highly vacancy doped photonic glass we observe a resonant behavior, analogous to that observed due to Mie scattering in photonic glasses. Our materials might therefore be useful to explore Fano-like interactions [32] between the extended Bloch-mode of the photonic crystal and spatially confined Mie-modes.

The possibility of controlling light scattering and diffusion in photonic crystals has important implications to test and understand better the quality of photonic crystal-based devices. The use of high refractive index materials, like Si [33], may amplify the effect presented here becoming proper candidates to observe and control Anderson localization of light in 3D [34], as already observed in slightly disordered 1D photonic crystal waveguides [35, 36] and for the spectral control of lasing emission from ordered/disordered active media.

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This consideration is valid for our samples, since PS and PMMA absorbance is negligible for the considered frequencies \cite{21, 22}, confirmed also by measurements of the absorption length (\( \ell_a \sim 10 \text{ m} \)) in the visible range for a photonic glass composed by the same polymer spheres \cite{23}. Higher-order scattering is also negligible compared to the scattering losses.

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