Scanning electron microscopy: a potential forensic tool to identify a piece of rhinoceros horn

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ABSTRACT

Background: Illegal trade of rhinoceros horn in the global market has posed a serious threat to the long-term survival and the conservation of endangered one horn rhinoceros. Presence of fake horns imitating rhinoceros horn makes the situation graver. Thus, to curb the trade it is very important to scientifically identify the horns used in trade to support and strengthen the enforcement agencies. The presence of fake items makes the identification task much more difficult thus, a project entitled “Characterization of species from bone, tusk, rhino horn and antler to deal wildlife offence cases” was developed to identify rhinoceros (Rhinoceros unicornis) horn in complete to pulverized form.

Methods: Various bio-scientific techniques like morphological, analytical and molecular were applied to identify the rhinoceros horn. Most of these techniques are promising in identifying rhinoceros horn in different forms. In present paper, we discuss on identification of a piece of rhinoceros horn using Scanning Electron Microscope and total nine horns were examined. The required micrographs were saved using software Digital Image Scanning System (DISS 5, Point Electronics, Germany). Scanned images for both rhinoceros horn from wild and zoo were similar. To find the specific signature, the micrographs of the rhinoceros horn were compared with buffalo and fake horns.

Results: Differences were noted on both dorsal and ventral surfaces of rhinoceros horns examined under scanning electron microscope. On ventral surface numerous uniformly placed circular pores with mean diameter of 320 µm and had characteristic “sub pores within a pore”. Instead, in fake horn only few non-uniform pores were visible without any sub pores. The ventral portion of buffalo horn does not indicate presence of any such pores.

Conclusions: The characteristic “sub pores within a pore” signature of the ventral portion of rhinoceros horn has high potential for forensic identification and consequently, proving offences and convicting offenders.

Keywords: Rhinoceros horn, Scanning electron microscopy, Forensic

INTRODUCTION

There are five species of rhinoceros in the world, of which two, black rhinoceros (Diceros bicornis) and white rhinoceros (Ceratotherium simum) are found in Africa and rest three, Sumatran rhinoceros (Dicerorhinus sumatrensis), Javan rhinoceros (Rhinoceros sondaicus) and Indian rhinoceros (Rhinoceros unicornis) are found in Asia. Both African species and one of the Asian species (Dicerorhinus sumatrensis) has two horns, instead there is only one horn in other two Asian species. All five species of rhinoceros are endangered and are placed in Appendix - I of the CITES.

The rhinoceros populations in Africa and Asia have been badly affected due to reckless poaching and extensive loss of habitat. The total population of Asian species is fewer than 2,700. Presently, the Indian rhinoceros, which
is distributed in few pockets of India, Bhutan and Nepal, are estimated to be approximately 2000 out of which India has approximately 1500 Indian rhinoceros, confined to few areas of Assam (Kaziranga, Orang, Loakhawa & Pobitora), W. Bengal (Jaldapara & Gorumara) and Uttar Pradesh (Dudhwa).1,5,7

The prime cause of poaching is due to illegal trade of rhinoceros horns for its high price for traditional uses in medicines to cure fever, which does not have any other medicines, sculptors and dagger handles and thus highly prized.1,8,9 Rhinoceros horn has been used as medicine in China, Burma, Thailand and Nepal in the treatment of haemorrhoids, arthritis, lumbago and polio.10 Various published articles undertaken in Asia and Africa can illustrate the gravity of this trade.11,12 As per a report 692 Great Indian one-horn rhinoceros were poached between 1980 and 1993 and another report reveals declined in rhinoceros population from 76 in 1966-67 to 14 by 1980 due to poaching in Jaldapara Wildlife Sanctuary, Assam, India.13,14

Steps have been taken to curb the reckless poaching. The international commercial trade of rhinoceros and their products was banned in 1976 under the CITES convention.2 To reduce rhino horn demand its substitutes like horns of saiga antelope and water buffalo have also been tried.1,10,15 Despite, the legal protections and prohibitory measures, illegal trade of rhinoceros horn and its products still continues, due to high demand in international market. Lack of proper identification methods for rhinoceros horn while, in processed and powdered form is further hindrance for enforcement agencies in convicting offenders.10 Thus, characterization of the rhinoceros horn is very important to support legal intervention.

Therefore, we tried to develop characterization methods for identification of the Asian rhinoceros horn either complete or grounded using different techniques viz. Morphometry, Scanning Electron Microscopy (SEM), X-ray Diffraction (XRD), Elemental Analysis (X-ray Fluorescence (XRF), and Inductively Coupled Plasma – Mass Spectroscopy (ICP-MS)), Thermo Gravimetric Analysis (TGA), and Molecular Analysis. Attempts were made to develop species-specific characteristics of Asian rhinoceros horn (Rhinoceros unicornis) to differentiate from horn of other species. This will consequently help in reducing illegal trade of rhinoceros horn and will support in conservation of the endangered species.

Several studies have been undertaken to characterize rhinoceros horn of African species as well as Asian species based on various techniques.1,3,7,16,18

These studies reveal that the Rhinoceros horn is epidermal derivatives composed have keratinized tubules of cells embedded in keratinized amorphous matrix.19 Reported that the tubules comprise of ~ 40 lamellae of epithelial cells and range from 300-500 µm in diameter.20

According to the amorphous matrix is made up of keratinized fusiform interstitial cells.21 Several authors have used chemical techniques to differentiate species and geographical source of the African rhinoceros horn, based on the variation in the concentration of elements and isotopes and their ratios.3,22-25 Investigated comparative biochemical composition of amino acids of keratins (eukeratins) of hair, wool, horn, nails, quills, feathers, skin and eggshell membrane.16 He found the amount of cystine was comparatively low in rhinoceros horn, goat hair, cattle horn, porcupine quills and hen feathers. The tyrosine component was found highest (approximately 9%) in rhinoceros horn and echidna spines, as these are hard substance.

Molecular studies of rhinoceros tissue, horn and blood, mostly deals with phylogenetic issues,26-28 whereas few authors have used molecular techniques to identify rhino horn, has also examined rhinoceros horn under scanning electron microscope, he has noted the dorsal surface structure of rhinoceros horn.29 Studied the genetic characteristic of South African populations of black rhinoceros, and significantly differentiate between populations.26 Report that Rhinoceros unicornis has 82 chromosomes, with male being heterogametic and female being homogametic.30 Cloned and sequenced a 906 bp EcoRI repeat DNA fraction from Rhinoceros unicornis genome. He revealed that the AT rich contig pSS(R)2 sequences are unique to Rhinoceros unicornis genome because they do not cross-hybridize, even with the genomic DNA of South African black rhino Diceros bicornis and can be potentially used for identification of horn or other body tissues of Rhinoceros unicornis.7 Developed a method to distinguishing between the African rhinoceros horns and those from various domestic and wild bovid species through extraction of DNA remaining in horn, amplification and direct sequencing of a portion of the mitochondrial Cytochrome b gene and comparing the sequence with a database of representative rhinoceros and bovid species.31

The above literature review shows that informative scientific studies have been done for identification of rhinoceros horn but most of them are on African species. Since, the Asian horns are believed to be more efficacious and comparatively expensive than African horns, the former are more prone for illegal trade. Almost all scientific studies are based on one or more than one techniques.1,32 Therefore, it was intended to analyze the horn of Asian species and use most of the recent techniques available so, that wide spectrum of various forms of samples of rhinoceros horn can be identified. It will also help in differentiating it from fake horns, which are prevalent in trade.

**METHODS**

All rhinoceros horns were procured from courtesy to Forest Departments namely, Assam, West Bengal and Uttar Pradesh and Delhi Zoo. Buffalo horn was procured
from Assam and Fake rhinoceros horns were seized and referred to Wildlife Institute of India for identification from U.P., Jharkhand and Sikkim. Based on the research work for characterization of rhinoceros horn, plan was to characterize the horn of great one horned rhinoceros using structural patterns, chemical constituents, protein profile and DNA sequence. Table 1 indicates about the methods used and number of samples analyzed in the project. In present paper we deal with SEM technique only. This technique serves as a potential tool for forensic identification of horn of Great Indian Rhinoceros.

### Table 1: Different techniques used in characterizing rhinoceros and other horns.

| Technique Applied                          | Rhinoceros horn | Buffalo horn |
|-------------------------------------------|----------------|--------------|
|                                          | Wild | Zoo | Fake | Wild | Zoo | Fake |
| Morphometry                               | 6    | 2   | 2    |      |      |      |
| Scanning Electron Microscopy (SEM)        | 3    | 2   | 3    | 1    |      |      |
| X-ray Diffraction (XRD)                   | 4    | 1   | 2    | 1    |      |      |
| X-ray Fluorescence (XRF)                  | 1    | -   | -    | -    |      |      |
| Inductively Coupled Plasma –MS (ICP-MS)   | 2    | 2   | -    | -    |      |      |
| Thermo Gravimetric Analysis (TGA)         | 1    | 2   | -    | -    |      |      |
| Protein profile (SDS-PAGE)                | 3    | 2   | 1    | 1    |      |      |
| DNA Analysis                              | 2    | 1   | -    | 1    |      |      |

### Scanning electron microscopy

Total nine horns (three rhinoceros horns from wild, two from zoo, three fake rhinoceros horns and one buffalo horn from wild) were examined under scanning electron microscope as shown in Figure 1.

![Figure 1: Samples used in the study (A. Rhinoceros horns from wild; B. from zoo; C. Fake rhinoceros horns and D. Buffalo horn).](image)

Samples were prepared by cutting two small pieces of 5 mm width, 5 mm length and 2 mm thickness, one from dorsal and other from ventral side from basal portion of rhinoceros horn and cleaned properly using alcohol. These small pieces were mounted on the stub using silver adhesive paste and left for drying. The dried samples were sputter coated with gold (thickness10-20 Å) using ion beam current of 25 mA at 2.5 kV in argon atmosphere at 10-8 mbar/pa for 3 minutes using cool sputter coater (E5100 Series II, Polaron Equipment Ltd., U.K.) to facilitate their examination under the Scanning Microscope (PSEM 515, Philips, Holland). The required micrographs were saved using software digital image scanning system (DISS 5, Point Electronics, Germany).

### RESULTS

Differences were noted on both dorsal and ventral surfaces of rhinoceros horns examined under scanning electron microscope. On the dorsal surface hairs were observed growing compactly and profusely in real rhinoceros horn as shown in Figure 2A, instead in buffalo and fake horn there were no evidence of hairs as given in Figure 2B and 2C, except in a sample were sparse hair growth were observed as presented in Figure 2D. In rhinoceros horn from zoo, hairs were not noted.

![Figure 2: Scan micrographs of dorsal portion of A. Rhinoceros horn; B. Buffalo horn; C. Fake rhinoceros horn and D. Fake rhinoceros horn with planted hairs.](image)
On examination of ventral surface numerous uniformly placed circular pores with mean diameter of 320 µm, which range from 160-400 µm were noticed as shown in Figure 3a. When a pore was magnified further, many sub-pores of mean diameter of 9.39 µm, which range from 5.56 to 10.11 µm were visible within that pore as shown in Figure 3c. Instead, in fake horn only few non-uniform pores were visible (Fig. 3b and on its further magnification no sub-pores were visible but rough surface were visible as shown in Figure 3d. The ventral portion of buffalo horn does not indicate presence of any such pores as shown in Figure 3e its further magnification reveals presence of twisted fibers, which had dark zone in the middle of the twisted fibers as shown in Figure 3f.

DISCUSSION

Although, Morphometry is one of the cheap and best methods to identify an object when it is intact and can be done at the field itself and by ground level staff. Various scientists have studied Morphometry of rhinoceros horns of various species. 1,17,18,33

When finished product of rhinoceros horn is seized it is sometime difficult to characterize the rhinoceros horn through morphometry. Has done an excellent study based on microscopy and x-ray tomography, his study deals with ultrastructure of the horn under microscope and ultraviolet light. 17 Few studies are also reported using scanning electron micrography of rhinoceros horn. 24 Identified sculptors made up of rhinoceros horn using SEM and microscopic characteristics to use it for molecular study. 8

In present study scanning electron microscopy was used to study both dorsal and ventral surface and the structure noticed were distinct that is presence of compact hair growth dorsally as seen in Figure 2a and presence of uniformly placed pores having mean diameter of 320 µm and these range from 160 to 400 µm on the ventral surface as shown in Figure 3a. Hair like structure was also noticed by. 24 Presence of sub-pores having diameter 9.39 µm and these ranges from 5.56 to 10.11 µm within a pore is very remarkable and can be used for identification of rhinoceros horn as seen in Figure 3c. These pores seem to be similar to the tubules examined by Hieronymus et al. The pattern of “sub-pores within a pore” is unique to rhinoceros horn and it is very difficult to imitate it with uniformity thus, it can be important tool for forensic identification.

We also attempted to identify three suspected rhinoceros horn seized in offences using SEM and other techniques and could successfully differentiate them based on above unique surface morphology of rhinoceros horn, these samples were found to be fake. The buffalo horn micrographs of dorsal surface does neither indicates presence of hairs as shown in Figure 2b nor pores were present on the ventral surface instead there were presence of twisted fibers which had dark zone in the center as seen in Figure 3e and 3f thus can be differentiated.

Thus, SEM technique used indicates that great Indian rhinoceros horn has unique signatures. These unique signatures can serve as tools to identify intact and pieces rhinoceros horn, and sculptors, contributing in conservation of great one horn rhinoceros species. SEM may be used to characterize other rhinoceros horns available globally to find differences between horns obtained from different species. Other techniques like X-ray diffraction, Thermo gravimetric analysis, protein profile and DNA are useful in identification of pulverized rhinoceros horn. SEM technique has potential for forensic identification of rhinoceros (Rhinoceros unicornis) horn.

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Figure 3: Scan micrographs of ventral portion of horn. a. Rhinoceros; b. Fake; c. Magnified micrographs of ventral portion of Rhinoceros horn; d. Magnified micrographs of fake horn; e. Scan micrograph of buffalo horn; f. Magnified micrographs of buffalo horn.
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