A PD-L2-based immune marker signature helps to predict survival in resected pancreatic ductal adenocarcinoma

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Abstract

Background: Programmed cell death protein 1 (PD-1) is a key immune checkpoint that regulates peripheral tolerance and protects against autoimmunity. Programmed death ligand-2 (PD-L2) is a less studied ligand to PD-1 and has yet to be fully explored, especially in pancreatic ductal adenocarcinoma (PDAC).

Methods: In this study, we performed immunohistochemistry to detect the PD-L2, CD3, CD8, transforming growth factor-β (TGF-β2) and FOXP3 levels in paraffin sections from 305 patients with resected PDAC as a training set. Expression levels of intratumoral and stromal immune markers were compared in relation to survival using Kaplan-Meier curves, random survival forest model and survival tree analysis. A multivariable Cox proportional-hazards model of associated markers was used to calculate the risk scores.

Results: PD-L2 was expressed in 71.5% of PDAC samples and showed strong correlations with CD3+, CD8+ T cells and FOXP3+ regulatory T cell densities. High levels of intratumoral PD-L2 and FOXP3 were related to poor survival; only stromal FOXP3 upregulation was associated with worse prognosis. Four patterns generated from survival tree analysis demonstrated that PD-L2lowstromalFOXP3low patients had the longest survival, while PD-L2highintratumoralCD3low patients had the shortest survival (P < 0.001). The area under the curve was 0.631 (95% confidence interval (CI): 0.447–0.826) for the immune marker-based signature and 0.549 (95% CI: 0.323–0.829; P < 0.001) for the clinical parameter-based signature, which was consistent with the results in the validation set including 150 patients (P < 0.001). A higher risk score indicated shorter survival and could serve as an independent prognostic factor. PD-L2 was also showed associated with TGF-β2 and other immune molecules based on bioinformatics analysis.

Conclusions: Our work highlighted PD-L2 as a promising immunotherapeutic target with prognostic value combined with complex tumor infiltrating cells in PDAC.

Keywords: Pancreatic ductal adenocarcinoma, PD-L2, Immune marker, Prognosis, TGF-β2

Introduction

Pancreatic ductal adenocarcinoma (PDAC) has a poor prognosis, with a 5-year survival rate of approximately 8% [1]. Although surgical resection remains the only curative treatment, most patients still receive systemic chemotherapy as for the prevention of recurrence.

Compared to conventional chemotherapy, cancer immunotherapies have achieved remarkable success in a wide range of solid tumors [2, 3]. Programmed cell death protein 1 (PD-1) is an immune checkpoint that regulates peripheral tolerance and protects against autoimmunity. PD-1 has two ligands, namely, programmed death ligand-1 (PD-L1) and programmed death ligand-2 (PD-L2). PD-L1 is upregulated on tumor-infiltrating lymphocytes (TILs) and some solid tumors, while PD-L2 is limited to macrophages, dendritic cells (DCs) and hematologic malignancies. Immune checkpoint...
blockade of PD-1 has shown promising initial efficacy in advanced PDAC, with a 70% disease control rate in 11 included patients [4]. However, only patients with PDAC who are microsatellite instability-high and have mismatch repair deficiency are suitable for PD-1 blockade treatment in current clinical practice; this population accounts for approximately 2% of all PDAC populations [5]. Therefore, it is important to identify specific patient groups that would benefit from immunotherapies and to find other approaches involving therapeutic combinations.

PDAC is known for a desmoplastic stroma which mainly contains cancer-associated fibroblasts, inflammatory cells and fibronectin. Myofibroblast depletion alters immune gene expression, which increases the sensitivity of PDAC to checkpoint blockade, but simultaneously results in enhanced tumor aggressiveness, as the action of the stroma relies on the context of PDAC. The results showed that the stroma and TILs affect PDAC cells in a complex way, indicating that therapeutics for PDAC should not neglect the underlying connection between the surrounding stromal composition and lymphocytes. High PD-L2 expression was found associated with increased PD-L1+ TILs, indicating its functional role in the tumor microenvironment [6]. TILs have value in assessing prognosis and evaluating the outcomes of immunotherapies, and the dysfunction in TILs may result in early metastasis and worse survival. The cell densities of certain subtypes of T cells, such as CD3+ T cells, CD8+ T cells and FOXP3+ regulatory T cells (Tregs), determine their immunoactive and immunosuppressive effects on the tumor microenvironment.

To date, few studies have examined the correlations of different types of immune cell infiltrates with clinical parameters, and the prognostic and therapeutic significance of PD-L2. Thus, we analyzed the expression of 4 essential immune markers in PDAC, including the immune checkpoint molecule PD-L2, mature T cell marker CD3+, cytotoxic antitumor T cell marker CD8+ and immunosuppressive Treg marker FOXP3+, to clarify their interaction and prognostic potential.

Materials and methods
Clinical information of patients with PDAC
A total of 455 patients with primary PDAC who underwent surgical resection at the Fudan University Shanghai Cancer Center (FUSCC) were included in this study (training set: January 2011–July 2015, n = 305; validation set: August 2015–May 2016, n = 150). None of the patients included in our study received any anti-cancer treatment, including chemotherapy and radiotherapy, before surgical resection. Tumor grade and stage were defined according to the 8th edition of the American Joint Committee on Cancer (AJCC) staging system. Patients from training set were followed up for survival status until December 2016, and patients from the validation set were until Nov 2018, and their medical records were reviewed. This study was approved by the Institutional Research Ethics Committee.

Immunohistochemistry and evaluation
Formalin-fixed and paraffin-embedded 4 μm thick serial tumor sections were deparaffinized in xylene and rehydrated in ethanol. Next, 3% H2O2 was used to block endogenous peroxidase for 15 min. High-pressure heat-induced antigen retrieval was conducted in pH 6.0 citric acid (Wuhan Servicebio Technology, China) for 5, 8, 10, 20 and 20 min for PD-L2, CD3, CD8, transforming growth factor-β2 (TGF-β2), PD-L1 and FOXP3 respectively. After 1 h blocking with 5% normal goat serum, mouse monoclonal anti-PD-L2 (MAB1224–100, 1:1000, R&D, USA), mouse monoclonal anti-CD3 (60181–1-lg, 1:800, Proteintech, USA), rabbit monoclonal anti-Cy8 (ab93278) 1:500 and mouse monoclonal anti-TGF-β2 (ab36495) 1:100 (both Abcam, USA), rabbit monoclonal anti-PD-1 (13684S) 1:100 and rabbit monoclonal anti-FOXP3 (98377S) 1:200 (both Cell Signaling Technology, USA) antibodies were incubated with tissue slides overnight at 4 °C. After 3 washes in phosphate-buffered saline, the sections were incubated with secondary antibodies (GTVisionTM III Detection System/Mo&Rb, GK500710, Gene Tech, China) for 1 h at room temperature and washed for 3 times. Following 3,3-diaminobenzidine coloration (GK500710, Gene Tech, China) at a dilution of 1:200 (GK500710, Gene Tech, China) and with hematoxylin counterstaining, the sections were dehydrated in ethanol and xylene. All samples were successfully analyzed for the expression of PD-L1, PD-L2, TGF-β2, CD3, CD8 and FOXP3 without any loss of tumor tissue. The staining intensity of PD-L1 and PD-L2 in PDAC cells were scored as 0 (negative), 1 (weak), 2 (moderate) and 3 (strong), and the number of positive cells was also recorded intratumorally. The evaluation of stromal PD-L2 expression was classified as negative/positive. TGF-β2 was evaluated using the immunoreactive score proposed by Remmele and Stegner [7]. Intratumoral and stromal CD3, CD8 and FOXP3 expression was quantified in 20x fields using Cellsens standard software (Olympus, Japan). The mean counts of 3 fields were used for statistical analysis. The optimal cut-off point was set using X-tile (Yale University, USA). All scoring was performed by 2 experienced pathologists.

Gene set enrichment analysis
Gene Set Enrichment Analysis (GSEA) version 3.0 (Broad Institute, USA) [8] was used to analyze for patient samples from The Cancer Genome Atlas (TCGA) based on high or low expression of PD-L2 to investigate
potential mechanism in molecular signatures. We chose 1000 times of permutations and Affymetrix as the chip platform to calculate the \( P \) value and false discovery rate q-value. All basic and advanced fields were set to default values.

**Random survival forest and risk score models**

We constructed a random survival forest (RSF) model using variables selected by variable importance (VIMP) and the minimal depth. The VIMP threshold was used to estimate the predictive values of the included variables and sort the variables into the RSF model according to their importance. Minimal depth was inversely correlated with the predictive value of variables. Survival tree analysis was performed on the variables selected by VIMP and minimal depth. The branches were drawn using the log-rank splitting rule, which selected the optimal variables related to survival and the terminal nodes were estimated using Kaplan-Meier analyses [9]. A risk score model was produced by integrating the expression level of immune markers selected by the RSF model and their corresponding coefficients derived from multivariate analyses, as follows: risk score = \((0.637 \times \text{intratumoral PD-L2}) - (0.437 \times \text{intratumoral CD3}) + (0.499 \times \text{stromal FOXP3})\). Reference signatures such as T stage, N stage, AJCC stage and differentiation were divided into high/low levels and scored as 0/1, and these scores were multiplied by the associated coefficients to generate a reference score model as follows: reference score model = \((0.911 \times \text{AJCC stage}) + (0.510 \times \text{grade differentiation}) + (0.633 \times \text{T stage}) + (1.087 \times \text{N stage})\) [10, 11]. The areas under time-dependent receiver operating characteristic (ROC) curves (AUCs), ranging from 0.5 to 1.0, were used to evaluate the quality of the scores [12].

**Statistical analysis**

Correlations between intratumoral and stromal expression levels of immune markers were determined by paired \( t \) tests. Linear regression and \( \chi^2 \) tests were performed to evaluate the correlations, and the log-rank test was employed to compare the survival curves based on immune marker expression. Comparisons between groups were performed using the \( \chi^2 \) test. The Cox proportional hazards model was employed for multivariate analysis by including all statistically significant covariates (\( P < 0.1 \)) from the univariate Cox model (backward Wald). All analyses were carried out using the ‘randomForestSRC’ and ‘survivalROC’ packages in by R studio (version 3.5.0, R development core team), SPSS version 22 (SPSS Inc., IBM) and GraphPad (version 5.01, GraphPad Software, Inc.). \( P < 0.05 \) was considered statistically significant.

**Results**

**Expression levels of PD-L2, CD3, CD8, and FOXP3 in the training set**

Membranous or cytoplasmic PD-L2 expression was observed in 218 (71.5%; Fig. 1a) patients, and the stromal PD-L2 expression was positive in 67 patients (Fig. 1b). The cut-off values for intratumoral counts of CD3+, CD8+, FOXP3+ T cells were 51.0, 41.0 and 8.3, respectively, while those for stromal CD3+, CD8+, and FOXP3+ T cell counts were 6.0, 20.3 and 0.3, respectively (Fig. 1c).

Strong correlations were discovered among the densities of PD-L2 tumor cells, CD3+ T cells, CD8+ T cells, and FOXP3+ Tregs in the tumor and stroma (all \( P < 0.001 \); Fig. 1d). The densities of CD3+ T cells and CD8+ T cells were significantly higher than the densities of FOXP3+ Tregs in both the intratumoral and stromal areas. The densities of intratumoral CD3+ T cells, CD8+ T cells and FOXP3+ Tregs were positively correlated with PD-L2 expression based on linear regression analyses (\( P < 0.001, P < 0.001, \) and \( P = 0.018 \), respectively; Fig. 1e). Moreover, positive correlations were observed between densities of intratumoral CD8+ and CD3+ T cells (\( P < 0.001 \)), and FOXP3+ Tregs (\( P = 0.010 \)). However, there was no significant correlation was observed between intratumoral CD3+ T cells and FOXP3+ Tregs (\( P = 0.694 \); Fig. 1e).

**Associations of PD-L2, CD3, CD8, and FOXP3 expression levels with clinicopathological features in PDAC**

In total, 305 patients, aged 34 to 81 years (median, 63 years), were analyzed in the training set. The median follow-up time was 24.8 months. By the end of this study, 243 (79.8%) deaths were recorded. The detailed patient characteristics were shown in Table 1 and Additional file 1 Table S1. The results of univariate and multivariate analyses by the Cox proportional hazards model are shown in Table 2. Multivariate analysis indicated that T3 stage, N2 stage, AJCC stage III and low differentiation were associated with poorer prognosis (\( P \leq 0.001 \)). Intratumoral PD-L2 [hazard ratio (HR) 1.892, 95% confidence interval (CI): 1.402–2.552; \( P < 0.001 \)], CD3 (HR 0.646, 95% CI: 0.482–0.865; \( P = 0.003 \), and FOXP3 (HR 1.704, 95% CI: 1.215–2.389; \( P = 0.002 \)) and stromal CD3 (HR 1.319, 95% CI: 1.012–1.721; \( P = 0.041 \)) were independent prognostic factors.

**Construction of prognostic model for predicting overall survival in PDAC**

A high prevalence of single immune markers, such as FOXP3, can lead to PDAC progression and poor prognosis, but given that the immune system plays both anti- and pro-tumorigenic roles, immune modulations of the tumor microenvironment involving immunoactive and
Fig. 1 (See legend on next page.)

a) Intratumor

| Expression of PD-L2 in PDAC cells |
|-----------------------------------|
| Strong (n=28) | Moderate (n=39) | Weak (n=151) | Negative (n=87) |
| 0 Negative | 1 Weak | 2 Moderate | 3 Strong |

b) Intratumoral PD-L2

| PD-L2 | Negative | Positive |
|-------|----------|----------|
| Weak  | 212      | 26       |
| Strong| 26       | 41       |

P < 0.001, χ² test, 2-tailed

Legend:
- CD3
- CD8
- FOXP3

Densities (cells/mm²):
- Intratumoral CD3
- Intratumoral CD8
- Intratumoral FOXP3
- Stromal CD3
- Stromal CD8
- Stromal FOXP3

Statistical significances:
- P < 0.001***
- P = 0.694
- P = 0.010*
- P = 0.018*
- P = 0.931
- P = 0.800
- P = 0.155
Immunosuppressive molecules should not be neglected [13]. We found that high intratumoral PD-L2 expression was associated with worse overall survival (OS) than low PD-L2 expression (17.8 months vs 24.3 months; HR 1.858, 95% CI: 1.387–2.487; \( P < 0.001 \); Fig. 2a). The simple classification of CD3, CD8 and FOXP3 expression into 2 groups based on PD-L2 expression was not directly associated with prognosis (\( P = 0.931 \), \( P = 0.800 \), and \( P = 0.155 \), respectively; Fig. 1f); thus, we next sought to determine the potential relationships among PD-L2, CD3, CD8 and FOXP3. We hypothesized that immune molecules influence prognosis differently with different extents of tumor and stromal expressions. To verify this hypothesis, we included the expression of PD-L2 and intratumoral and stromal expression of CD3, CD8 and FOXP3 in a RSF model to select the survival-related variables. In the minimal depth analysis, intratumoral CD8 had the maximum value with a minimal depth of 2.1470; thus, it was excluded from the RSF model (gray color). In the variable of importance analysis, PD-L2 was

![Figure 1](previous page)

**Fig. 1** PD-L2 in PDAC. a Stratification of PD-L2 expression in PDAC cells (scales bar: 20 \( \mu \)m) and in the stroma. b Statistical results of correlation between intratumoral and stromal PD-L2 using the \( \chi^2 \) test. c Expression of CD3, CD8 and FOXP3 in PDAC TILs. d Comparison between intratumoral and stromal densities of CD3+, CD8+ and FOXP3+ T cells in PDAC using paired \( t \) tests. e Scatter plots with linear regression for 4 immune markers using Pearson’s correlation. f Relation among densities of CD3+, CD8+, and FOXP3+ T cells based on PD-L2 expression levels using the Mann-Whitney \( U \) test. * \( P < 0.05 \); ** \( P < 0.01 \); *** \( P < 0.001 \)

### Table 1 Intratumoral PD-L2 expression and TILs in relation to clinicopathologic characteristics of PDAC

| Intratumoral expression | PD-L2 | CD3 | CD8 | FOXP3 |
|-------------------------|-------|-----|-----|-------|
|                         | N     | Low (0–1) | High (2–3) | \( P \) | Low | High | \( P \) | Low | High | \( P \) | Low | High | \( P \) |
| Sex                     |       |         |       |       |     |      |       |     |      |       |     |      |       |
| Male                    | 158   | 125    | 33   | 0.540 | 113 | 45   | 0.805 | 129 | 29   | 0.066 | 131 | 27   | 0.502 |
| Female                  | 147   | 112    | 35   | 0.340 | 76  | 30   | 0.138 | 86  | 20   | 0.139 | 88  | 18   | 0.663 |
| Age                     |       |         |       |       |     |      |       |     |      |       |     |      |       |
| < 60                    | 106   | 86     | 20   | 0.340 | 76  | 30   | 0.138 | 86  | 20   | 0.139 | 88  | 18   | 0.663 |
| ≥60                     | 199   | 152    | 47   | 0.348 | 144 | 55   | 0.885 | 147 | 34   | 0.030 |
| Location                |       |         |       |       |     |      |       |     |      |       |     |      |       |
| Head                    | 181   | 136    | 45   | 0.361 | 10  | 6    | 0.677 | 14  | 2    | 0.908 | 13  | 3    | 0.941 |
| Body                    | 62    | 49     | 13   | 0.494 | 33  | 18   | 0.252 | 40  | 11   | 0.212 | 45  | 6    | 0.694 |
| Tail                    | 62    | 52     | 10   | 0.494 | 33  | 18   | 0.252 | 40  | 11   | 0.212 | 45  | 6    | 0.694 |
| Grade                   |       |         |       |       |     |      |       |     |      |       |     |      |       |
| Well                    | 16    | 14     | 2    | 0.494 | 33  | 18   | 0.252 | 40  | 11   | 0.212 | 45  | 6    | 0.694 |
| Moderate                | 175   | 140    | 35   | 0.494 | 33  | 18   | 0.252 | 40  | 11   | 0.212 | 45  | 6    | 0.694 |
| Low                     | 114   | 85     | 29   | 0.494 | 33  | 18   | 0.252 | 40  | 11   | 0.212 | 45  | 6    | 0.694 |
| Tumor stage             |       |         |       |       |     |      |       |     |      |       |     |      |       |
| T1                      | 51    | 43     | 8    | 0.494 | 33  | 18   | 0.252 | 40  | 11   | 0.212 | 45  | 6    | 0.694 |
| T2                      | 176   | 135    | 41   | 0.494 | 33  | 18   | 0.252 | 40  | 11   | 0.212 | 45  | 6    | 0.694 |
| T3                      | 78    | 60     | 18   | 0.494 | 33  | 18   | 0.252 | 40  | 11   | 0.212 | 45  | 6    | 0.694 |
| Node stage              |       |         |       |       |     |      |       |     |      |       |     |      |       |
| N0                      | 154   | 125    | 29   | 0.020 | 109 | 45   | 0.343 | 137 | 17   | 0.007 | 133 | 21   | 0.562 |
| N1                      | 108   | 79     | 29   | 0.020 | 109 | 45   | 0.343 | 137 | 17   | 0.007 | 133 | 21   | 0.562 |
| N2                      | 43    | 40     | 3    | 0.020 | 109 | 45   | 0.343 | 137 | 17   | 0.007 | 133 | 21   | 0.562 |
| AJCC stage              |       |         |       |       |     |      |       |     |      |       |     |      |       |
| I                       | 124   | 103    | 21   | 0.154 | 90  | 34   | 0.274 | 109 | 15   | 0.071 | 108 | 16   | 0.509 |
| II                      | 138   | 101    | 37   | 0.154 | 90  | 34   | 0.274 | 109 | 15   | 0.071 | 108 | 16   | 0.509 |
| III                     | 43    | 34     | 9    | 0.154 | 90  | 34   | 0.274 | 109 | 15   | 0.071 | 108 | 16   | 0.509 |

TILs tumor infiltrating lymphocytes, PDAC pancreatic ductal adenocarcinoma
identified as the most influential variable (VIMP = 0.0262), while intratumoral CD8 and FOXP3 and stromal CD3 and CD8 had values of −0.0069, −0.0031, −0.0068 and −0.0069, respectively, and were all excluded from the RSF model due to their negative properties (gray). Thus, only intratumoral PD-L2 and CD3 (intratumoral CD3) and stromal FOXP3 (stromal FOXP3) were suitable for the construction of the RSF model and to complete the prognostic evaluation (Fig. 2b).

A regression tree showed that PD-L2 low stromal FOXP3 low patients (Node 1) had better median survival than PD-L2 high intratumoral CD3 low patients (Node 3; 24.3 months vs 11.5 months; \( P < 0.001 \); Fig. 2d) and patients in the 2 other Nodes (PD-L2 low intratumoral CD3 high; 20.8 months; PD-L2 low stromal FOXP3 high; 20.3 months). These results initially confirmed our hypothesis that multiple immune markers interact between the tumor and stroma, explaining why studies of single immune markers had controversial results.

We further built a risk score model based on variables selected from the RSF model. Clinical parameters such as T stage, N stage, AJCC stage, grade, intratumoral PD-L2, CD3, and FOXP3 and stromal CD3, CD8 and FOXP3 were all included in the multivariable analysis using the Cox proportional hazards model (\( P < 0.1 \); Fig. 2e). Covariates were extracted from the Cox proportional hazards model to construct immune marker-based prognostic and clinical parameter-based risk score models. A waterfall plot intuitively showed that patients in Node 3 with poorer prognoses had higher risk scores.

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**Table 2** Univariate and multivariate analysis of overall survival factors.

|                | N   | Overall survival |                               |                               |
|----------------|-----|------------------|--------------------------------|--------------------------------|
|                |     |                  | Univariable analysis          | Multivariable analysis        |
|                |     |                  | HR (95% CI) | \( P \)          | HR (95% CI) | \( P \)          |
| All            | 305 |                  |               |                 |               |                 |
| Age (years)    |     |                  |               |                 |               |                 |
| <60            | 106 |                  | 0.929          | (0.711-1.213)   |               |                 |
| ≥60            | 199 |                  |               |                 |               |                 |
| Sex            |     |                  | 1.007          | (0.888-1.142)   |               |                 |
| Male           | 158 |                  |               |                 |               |                 |
| Female         | 147 |                  |               |                 |               |                 |
| T stage        |     |                  | < 0.001        | < 0.001         |               |                 |
| T1-2           | 227 | Ref              |               |                 |               |                 |
| T3             | 78  | 1.744            | (1.333-2.361) | 2.065           | (1.542-2.767) |               |
| N stage        |     |                  | < 0.001        | < 0.001         |               |                 |
| N0-1           | 262 | Ref              |               |                 |               |                 |
| N2             | 43  | 2.487            | (1.754-3.526) | 3.049           | (2.127-4.373) |               |
| AJCC stage     |     |                  | < 0.001        | < 0.001         |               |                 |
| I-II           | 262 | Ref              |               |                 |               |                 |
| III            | 43  | 2.487            | (1.754-3.526) | 3.049           | (2.127-4.373) |               |
| Grade          |     |                  | 0.001          | < 0.001         |               |                 |
| Well and moderate differentiation | 191 | Ref |               |                 |               |                 |
| Low differentiation | 114 | 1.536 | (1.187-1.989) | 1.632 | (1.255-2.124) |               |
| Intratumoral PD-L2 |     |             | < 0.001        | < 0.001         |               |                 |
| Low expression | 238 | Ref |               |                 |               |                 |
| High expression | 67  | 1.858 | (1.387-2.487) | 1.892 | (1.402-2.552) |               |
| Intratumoral CD3 |     |             | 0.07           | 0.003           |               |                 |
| Low cell densities | 220 | Ref |               |                 |               |                 |
| High cell densities | 85  | 0.768 | (0.577-1.022) | 0.646 | (0.482-0.865) |               |
| Stromal CD3    |     |                  | 0.053          | 0.041           |               |                 |
| Low cell densities | 119 | Ref |               |                 |               |                 |
| High cell densities | 186 | 1.295 | (0.997-1.683) | 1.319 | (1.012-1.721) |               |
| Intratumoral CD8 |     |             | 0.143          |                 |               |                 |
| Low cell densities | 260 | Ref |               |                 |               |                 |
| High cell densities | 45  | 1.292 | (0.917-1.821) |                 |               |                 |

**Table 2** Univariate and multivariate analysis of overall survival factors. (Continued)

|                | N   | Overall survival |                               |                               |
|----------------|-----|------------------|--------------------------------|--------------------------------|
|                |     |                  | Univariable analysis          | Multivariable analysis        |
|                |     |                  | HR (95% CI) | \( P \)          | HR (95% CI) | \( P \)          |
| Stromal CD8    |     |                  | 0.066          | 0.372             |               |                 |
| Low cell densities | 272 | Ref |               |                 |               |                 |
| High cell densities | 33  | 0.663 | (0.427-1.028) | 0.814 | (0.517-1.279) |               |
| Intratumoral FOXP3 |     |             | 0.006          | 0.002             |               |                 |
| Low cell densities | 257 | Ref |               |                 |               |                 |
| High cell densities | 48  | 1.580 | (1.138-2.193) | 1.704 | (1.215-2.389) |               |
| Stromal FOXP3  |     |                  | 0.008          | 0.132             |               |                 |
| Low cell densities | 292 | Ref |               |                 |               |                 |
| High cell densities | 13  | 2.157 | (1.227-3.790) | 1.647 | (0.860-3.153) |               |
| Risk score     |     |                  | < 0.001        | < 0.001           |               |                 |
| Low score      | 228 | Ref |               |                 |               |                 |
| High score     | 77  | 2.047 | (1.549-2.706) | 1.836 | (1.379-2.444) |               |

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Fig. 2 (See legend on next page.)

(a) Intratumor

(b) Prognostic variables

(c) Stroma

(d) Infiltrating PD-L2

(e) Training set

Risk score
mainly ranging from 34 to 79. Patients in Node 1 with better prognoses were observed mostly clustered on the left side of the plot, while patients in Nodes 2 and 4 were scattered on both sides of the plot. Furthermore, we performed multivariate analysis using a Cox proportional hazards model including risk scores, essential clinical features and immune variables with \( P < 0.05 \) from univariate analyses. The multivariate Cox regression showed that the risk score was an independent prognostic factor for resected PDAC patients in our study, and higher risk scores were associated with shorter survival (HR 1.836, 95% CI: 1.379–2.444; \( P < 0.001 \)). Sensitivity and specificity comparisons were performed via time-dependent ROC curve analysis of immune marker-based and clinical parameter-based prognostic signatures. AUC values obtained from ROC analyses were compared between the 2 signatures and were 0.549 (95% CI: 0.323–0.829) for the clinical parameter-based signature and 0.631 (95% CI: 0.447–0.826) for the immune marker-based signature (\( P < 0.001; \) Fig. 3a). Therefore, the immune marker-based signature is a more powerful prognostic index than the clinical parameter-based signature.

**Validation of the immune marker-based prognostic signature in PDAC**

In an effort to validate the immune marker-based prognostic signature, we further performed immunohistochemistry for CD3, CD8, FOXP3 and PD-L2 in 150 patients as an independent cohort. The clinical characteristics of patients in the validation cohort are shown in Additional file 1 Table S2. Four terminal nodes were generated: patients in Node 1 (PD-L2 low) had the longest survival of 25.1 months compared to 7.2 months for patients in Node 3 (PD-L2 high). Patients in Node 1 (PD-L2 lowstromalFOXP3 low) had the longest survival of 25.1 months compared to 7.2 months for patients in Node 3 (PD-L2 highstromalC-D3 low), showing good concordance with the training set. The risk score was calculated using the equation described in the methods, with Node 1 patients mostly scattered on the left of the plot (Fig. 2e). The results of univariate and multivariate analyses in the validation cohort are shown in Additional file 1 Table S3 and confirmed our data from the training set. The validation set showed similar survival trends to the training set (Fig. 2c).

**PD-L2 and TGF-β2**

To better understand the relationship between PD-L2 and other molecules involved in the tumor microenvironment, we analyzed PD-L2 expression levels based on the TCGA database in Cytoscape (National Institute of General Medical Sciences, USA) and C2-curated gene sets in GSEA. Of the 4726 gene sets in C2, the high PD-L2 expression-associated signatures “KEGG_CYTOKINE_CYTOKINE_RECEPTOR_INTERACTION”, “KEGG_JAK_STAT_SIGNALING_PATHWAY”, “KEGG_TGF_BETA_SIGNALING_PATHWAY” and “KEGG_PANCREATIC_CANCER” displayed considerable normalized enrichment scores with \( P < 0.008 \) (Fig. 3b). Leading edge analysis showed that 4 signatures had high overlaps, and most of the numbers of the occurrences had a Jaccard index \( > 0.02 \) (Fig. 3d). Fig. 3c presents a strong connection among the 4 signatures, and TGF-β2 was recognized as the most overlapping gene, showing that it might play an important role in the high PD-L2 expression. For further validation of the possible interaction between TGF-β2 and PD-L2, we performed immunohistochemistry on samples from the original 305 patients in the training set. The rate of positive TGF-β2 expression in PDAC was 91.1%, with 64.4% weak, 27.7% moderate, and 7.9% strong expression (Fig. 3e). High expression of TGF-β2 predicted poorer survival than did low expression (12.9 months vs 24.3 months, \( P < 0.001; \) Fig. 3f) and was positively correlated with PD-L2 expression (\( P < 0.001; \) Additional file 1: Table S4). TNRFSF14, CD86, CD38, BLTA, CTLA-4, CD160 and CD160 were directly connected in the molecular network of PD-L2 in Cytoscape in Fig. 3g.

**Discussion**

To overcome the therapeutic bottleneck in PD-1 and PD-L1-based immunotherapy and to improve the accuracy of immune markers in predicting the survival of patients with resected PDAC, we analyzed whether the combination of multiple immune indicators in both intratumoral and stromal components might predict postoperative survival in PDAC. Our findings highlighted the prognostic value of PD-L2 in PDAC, and the use of an immune marker-based signature provided better survival
Fig. 3

**a**

| Patients | AUC at 5 years (95% CI) | P       |
|----------|-------------------------|---------|
| Training set | 0.631 (0.447-0.828) | < 0.001 |
| Validation set | 0.654 (0.406-0.944) | < 0.001 |

**b**

**c**

**d**

**e**

0 Negative 1 Weak
2 Moderate 3 Strong

**f**

Present survival

- Low TGF-β2
- High TGF-β2

P < 0.001***

**g**

cd40, cd86, cul2, cd274, cd160, btl1, cti4, pdcd1, agrp, pdxp
and patient outcomes vary across studies [14].

Expression rate in PDAC cells. The conclusions of expressed on macrophages, DCs and many types of cancer provides valuable prospects for future treatment. Moreover, although PD-L2 has not been as fully explored as PD-L1, it is still strongly regarded as an immunologically poor efficacy in treating PDAC [17]. Therefore, in the beginning of this study design, we aimed to explore the possible reason for the failure of PD-L1 immunotherapy and the relationship between PD-L1 and the complex tumor microenvironment in PDAC. We performed immunohistochemistry on samples from 305 patients in the training set for intratumoral PD-L1 expression at first and failed to find a significant relation with survival (P = 0.202; Fig. S1a). The stromal expression of PD-L1 (9.5%; Additional file 1: Figure. S1b) was related to the intratumoral PD-L1 expression (P < 0.001) but was not related to survival outcomes (P = 0.445; Additional file 1: Fig. S1a). PD-L2 expression is induced by interferon gamma (IFN-γ) at the protein and mRNA levels in the T cell-inflammatory tumor microenvironment in cancer and can appear independently of PD-L1 [18, 19]. Emerging studies have examined correlations between immune cell infiltration and clinical parameters and the prognostic and therapeutic significance of PD-L2 in other cancer types [19–21]; thus, we performed preliminary experiments on tissue slides and surprisingly found a relation between PD-L2 and OS in PDAC.

The establishment of prognostic models to distinguish patients with better prognosis often depends on TNM staging, micro-RNA signatures and metabolic markers in PDAC [22–24]. However, just as the immunoscope in colon cancer provides a reliable estimate of the risk of recurrence, simple and effective immune system-based prognostic signatures that can be applied in clinical practice are urgently needed [25]. PDAC is commonly regarded as an immunologically “cold” tumor due to its lack of response to checkpoint blockade treatments, but as the TIL repertoire presents abundant overlaps between each other in different regions of the same pancreatic tumor, the discovery of TIL enrichment in our study suggested that the adaptive immune response in PDAC might involve immunoreactive, cytotoxic and immunoregulatory T cell subgroups in the intratumorally and in inflammatory stromal region [26]. Our results showed that PD-L2 was overexpressed in 71.5% of patients, and approximately 20% of all patients had high PD-L2 expression and had a shorter median OS than patients with low PD-L2 expression. Most of the patients expressed CD3+, CD8+ and FOXP3+ T cells, but not all the densities of TILs were directly associated with prognosis. Although cancer-associated fibroblasts were previously reported to activate deregulating signals that reduced T cell infiltration, a novel computational imaging technology combined with multiple immune-labeling markers failed to identify correlations of T-cell accumulation with collagen-I and αSMA+ fibroblasts [27, 28]. These results implied that the relationships between the stroma and T cells might be more complicated than previously believed. A recent study suggested that a high frequency of PD-L1+ CD4+ CD25+ Tregs in the tumor microenvironment could increase the number of PD-1+ CD8 Tregs and induce a more lethal effect of TILs by PD/PD-L1 blockade therapy [29]. Stromal expression of PD-L2 was also evaluated during the exploration of a possible relationship between PD-L2 and TILs in PDAC. However, we later excluded stromal PD-L2 from further analysis due to its lower positive rate and fewer strong staining results than intratumoral PD-L2 (22.0% vs 71.5%; Fig. 1a) and its lack of association with patient survival outcomes (P = 0.221; Fig. 2a). We did not include the evaluation of tumor-associated macrophages (TAMs) and myeloid-derived suppressor cells (MDSCs) in our study because the positive rate of TAMs remained approximately 2–3%, although they are highly related to PD-L1 expression (P < 0.001) [30]. PD-L2 is expressed at relatively lower levels in tumor-infiltrating MDSCs than PD-L1 in several tumor types, and immune tolerance induction of PD-L2 on MDSCs has rarely been studied [31, 32]. Additionally, the detection of TAMs and MDSCs required CD68, CD163, HLA-DR, CD33,
CD11b, CD14 and CD15, which would increase the difficulty of developing a simple and practical prognostic signature. Therefore, we hypothesized that intratumoral and stromal TILs combined with intratumoral PD-L2 expression might have value in prognostic prediction.

The regression tree intuitively showed that incorporating intratumoral CD3 and stromal FOXP3 could highlight the prognostic potential of PD-L2 in PDAC, which was more accurate than the clinical parameter-based signature, as validated using time-dependent ROC curves. The waterfall plot of risk scores showed that compared to patients in other subgroups, patients in the PD-L2highintratumoralCD3low subgroup had the worst survival, while patients in the PD-L2lowstromalFOXP3low subgroup had the best outcome. These findings indicated that PD-L2 might participate in the modulation of intratumoral CD3+ and stromal FOXP3+ cells. Moreover, combined variables showed better prognostic predictions than single markers for minimizing the false-negative rate.

An immune phenotype is not directly linked to a certain immunotherapy response because the tumor-immune microenvironment is vital for promoting the efficacy of current immunotherapies [33]. In our study, the GSEA results suggested that the most significant changes in pathways and molecules in C2-curated gene sets based on PD-L2 expression were “TGF-BETA SIGNALING PATHWAY”, “JAK-STAT SIGNALING PATHWAY”, “CYTOKINE-RECEPTOR INTERACTION” and “PANCREATIC CANCER”, with TGF-β2 being the most differentially expressed molecule. High PD-L2 expression is strongly related to TGF-β2, which is induced by differentiation and growth arrest signals, but little research has been performed on TGF-β2 and cancer. Thus, we analyzed TGF-β2 expression in the training set and identified high expression of TGF-β2 as an unfavorable prognostic factor (12.9 months vs 24.3 months, \( P < 0.001 \)) with a positive correlation with PD-L2 expression ( \( P < 0.001 \)). As TGF-β2 is known to be capable of inhibiting the activation of T cells, B cells, and inducing Tregs, we further explored its correlation with intratumoral and stromal CD3, CD8 and FOXP3. We found that TGF-β2 was positively correlated with intratumoral CD3 ( \( P = 0.004; \) Table S4), indicating that the poor prognosis of patients with high PD-L2 expression may be related to immunoregulation by TGF-β2 in tumor immunity. Inhibition of TGF-β2 is also observed in the local inflammatory environment, as TGF-β2 antisense gene-modified therapeutic vaccine, known as belagenpumatucel-L, showed improved survival within 12 weeks of platinum-based chemotherapy in non-small cell lung cancer patients who received prior radiation [34]. OT-101, a TGF-β2 inhibitor, was shown to result in a major survival benefit in patients with advanced pancreatic cancer [35]. TGF-β2 suppression led to the elevation of interleukin (IL)-8, IL-15 and human hepatocyte growth factor, which also ranked on the top of the list of intersections of gene sets in our analysis, and these findings suggest that immune checkpoint blockade in combination with TGF-β2 inhibitors might benefit patients with immune exhaustion signatures because high expression of TGF-β2 in Node 3 patients (PD-L2highintratumoralCD3low) is associated with poor prognosis (11.0 months vs 23.0 months, \( P < 0.001 \)).

Notably, stromal cell types in the tumor microenvironment are more stable than tumor cells; thus, the use of immunohistochemistry to stain tumoral and stromal immune markers is a feasible method to establish a prognostic model for daily clinical practice. It is also feasible to use PD-L2 and other immune molecules to evaluate the efficacy of treatment. The B7–28 family consists of CD80, CD86, B7–1, B7–2, CD275, CD274 (PD-L1), PD-L2, B7-H4, BHNLS and TNFRSF14. A Cytoscape network diagram in our study showed that PD-L2 in PDAC is closely related to the expression of PD-L1, CD86, TNFRSF14, PD-1, CD160 and CTLA-4, which are important for the regulation of immunodeficiency and autoimmune diseases [36]. The CD86 + I057G/A polymorphism and AG (+1057, +2379) haplotype are genetic risk factors for PDAC [37]. High tumoral expression of TNFRSF14 was associated with improved survival in PDAC, and binding of TNFRSF14 to BTLA or CD160 led to the inhibition of T cells [38]. Carcinoma-associated pancreatic fibroblasts promoted the expression of CTLA-4 and PD-1 in proliferating T cells, which contribute to immune evasion by inducing the expression of immune checkpoint inhibitors on CD4+ and CD8+ T cells in PDAC [39]. This study provides insights into the link between PD-L2 and other B7–28 family molecules to serve as indicators for the prognosis of immunotherapy. PD-L2 could also serve as a biomarker for treatment efficacy and have therapeutic value. Low levels of soluble PD-L2 and IL-2 and high levels of soluble IFN-γ were associated with grade 3/4 toxicities in non-small cell lung cancer treated with nivolumab. Circulating PD-L2 levels could help to identify patients with a high risk for severe toxicity from the beginning of immunotherapy, which is helpful for clinical practice, as it can alert physicians to closely observe these patients [40]. The activation of the JAK-STAT pathway promotes the expression of PD-L2, and the blockade of this activation can reverse the reduced production of IFN-γ. The IFN-γ pathway is also associated with PD-L2 enrichment in colorectal cancer, which indicates that the combination of IFN-γ pathway inhibitors and PD-L2 blockade might benefit PDAC patients. Ahmad SM et al. found that PD-
L2-specific T cells reacted to autologous target tumor cells based on PD-L2 expression. The PD-L2-related vaccine could serve as a complementary therapy and immune checkpoint inhibitor because competitive therapy could work along both lines by preventing the inhibition of PD-L2-specific T cells at the tumor site [41].

Despite substantial computational evidence for the prognostic potential of immune marker-based signatures in PDAC, there are still some limitations in our study: 1) the immune molecules included in our study are not the only molecules related to survival; thus, other molecules might also have an impact on survival and 2) although bioinformatics analysis of PD-L2 suggested its potential in immunotherapy, further experimental validation is still needed to elucidate its function in PDAC.

Conclusions
In summary, we identified immune marker-based prognostic signatures and risk scores consisting of PD-L2, intratumoral CD3 and stromal FOXP3 for survival prediction, and these signatures and risk scores were significantly associated with the OS of patients with PDAC. The immune marker-based prognostic signature was superior to the clinical parameter-based signature at survival prediction, and the risk score was an independent prognostic indicator. PD-L2 and TGF-β2 were positively correlated with each other and associated with poor prognosis, indicating that a combined inhibition of these factors might improve the immunotherapeutic efficacy. This study revealed that PD-L2 has potential future applications in immunotherapy and predictive value in PDAC.

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Availability for data and materials
All data analyzed in this study are included in this published article and its supplementary materials.

Authors’ contributions
YZ, JX and JH contributed to data acquisition and manuscript drafting; IL, CL, QM, MW and BZ provided technical support; XY and SS contributed to funding the research, study design and supervision. All authors read and approved the final manuscript.

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Ethics approval and consent to participate
The study was approved by the FUSCC and the Institutional Research Ethics Committees. Informed consent was obtained from all individual participants included in the study.

Consent for publication
Not applicable.

Competing interests
The authors declare that they have no competing interests.

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