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Published in:
Monthly Notices of the Royal Astronomical Society

DOI:
10.1093/mnras/stt1745

Citation for published version (APA):
Bastian, N., Lamers, H. J. G. L. M., de Mink, S. E., Longmore, S. N., Goodwin, S. P., & Gieles, M. (2013). Early disc accretion as the origin of abundance anomalies in globular clusters. Monthly Notices of the Royal Astronomical Society, 436(3), 2398-2411. DOI: 10.1093/mnras/stt1745
Early disc accretion as the origin of abundance anomalies in globular clusters

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Accepted 2013 September 12. Received 2013 September 12; in original form 2013 August 10

ABSTRACT

Globular clusters (GCs), once thought to be well approximated as simple stellar populations (i.e. all stars having the same age and chemical abundance), are now known to host a variety of anomalies, such as multiple discrete (or spreads in) populations in colour–magnitude diagrams and abundance variations in light elements (e.g. Na, O, Al). Multiple models have been put forward to explain the observed anomalies, although all have serious shortcomings (e.g. requiring a non-standard initial mass function of stars and GCs to have been initially 10–100 times more massive than observed today). These models also do not agree with observations of massive stellar clusters forming today, which do not display significant age spreads nor have gas/dust within the cluster. Here we present a model for the formation of GCs, where low-mass pre-main-sequence stars accrete enriched material released from interacting massive binary and rapidly rotating stars on to their circumstellar discs, and ultimately on to the young stars. As was shown in previous studies, the accreted material matches the unusual abundances and patterns observed in GCs. The proposed model does not require multiple generations of star formation, conforms to the known properties of massive clusters forming today and solves the ‘mass budget problem’ without requiring GCs to have been significantly more massive at birth. Potential caveats to the model as well as model predictions are discussed.

Key words: globular clusters: general – galaxies: star clusters: general.

1 INTRODUCTION

Our understanding of globular clusters (GCs) and their formation has undergone a radical change in the past two decades. First, it is now clear that while traditionally thought of as the quintessential simple stellar populations (i.e. all stars within a cluster have the same chemical abundances and age within some small tolerance), GCs host multiple stellar populations with spreads in He, many light elements (e.g. Na, O, Al) and even Fe in some cases (e.g. Gratton, Carretta & Bragaglia 2012). Secondly, GCs, once thought to only be able to form in the special conditions present in the early Universe, are still forming today (e.g. Holtzman et al. 1992; Schweizer & Seitzer 1998). These clusters (known as young massive clusters, YMCs), with ages of <1 Gyr, have masses and densities similar to, or significantly exceeding, those of GCs.

The origin of the multiple populations within clusters is still under debate and multiple models have been put forward, which are outlined below. These models make specific predictions that can be tested against the wealth of information now available for GCs as well as for YMCs.

1.1 Observational constraints

Here, following Renzini (2008), we summarize the main observations that need to be reproduced by any model attempting to explain the chemical anomalies within GCs. In particular, any model must satisfy the following conditions.

(i) Have a more centrally condensed enriched population compared to the unenriched population (e.g. Lardo et al. 2011).
(ii) Reproduce the observed Na–O anticorrelation as well as the range in values (e.g. Carretta et al. 2009a).
(iii) Have large spreads in Al and relatively small spreads in Mg. While a few clusters do show a pronounced Al–Mg anticorrelation...
at high Al values (large Mg spread towards low Mg values), the majority do not (see Section 2.7.4).

(iv) Create main sequences that show spreads or multiple quantized sequences, depending on the cluster and the filter range used. If spreads are seen in the optical, they are likely to be caused by differing He abundances (up to δY = 0.13; Piotto et al. 2007, although often significantly smaller δY ≤ 0.03). He spreads may also affect the morphology of the horizontal branch. If main-sequence spreads are observed in ultraviolet filters, this is likely due to the anticorrelation between C and N (Sbordone et al. 2011).

(v) Account for the observation that stars which appear to be He enriched also show variations in Na, O and Al, and sometimes Mg (i.e. the enrichments of various elements are correlated).

(vi) Show relatively small spreads in Li (<0.3 dex; e.g. Monaco et al. 2012), and if spreads are seen, then Li should be anticorrelated with Na and correlated with O (Pasquini et al. 2005).

(vii) Explain that the sum of C, N and O appears to be constant amongst cluster stars within a factor of 2–3 (cf. Decressin et al. 2009).

(viii) Display spreads in light elements (Al, Na and O); hence the gas needed for the enrichment cannot be fully mixed.

(ix) Produce relatively small (or no) Fe spreads within the cluster (e.g. Carretta et al. 2009b).\(^1\)

(x) Satisfy the mass budget constraints within the clusters, and that the enriched population makes up 30–70 per cent of the current stars within the clusters (e.g. Carretta et al. 2009a). We will refer to this as the ‘internal mass budget’.

(xi) Satisfy the mass budget constraints imposed by observations of the Fornax dwarf galaxy (Larsen, Strader & Brodie 2012), where the current GCs could not be more than five times more massive at birth than they are now. We will refer to this as the ‘external mass budget’.

(xii) Explain that while there are common trends observed in GCs (i.e. the Na–O anticorrelation and implied He spreads), the specifics of each cluster are often unique (e.g. Renzini 2008). Hence, the model must explain the commonality as well as allow for differences observed between clusters.

(xiii) Explain why enriched stars make up only ~3 per cent of stars in the halo of the Galaxy (e.g. Martell et al. 2011), although this observation is often linked with (i) (e.g. Vesperini et al. 2010).

If the mechanism that created the multiple populations in GCs is operating in YMCs observed today, then the following additional constraints must be satisfied (otherwise the model must explain why it is not observed today).

(xiv) Clusters with current masses up to 10^5 M⊙ and ages of <300 Myr cannot display age spreads or distinct bursts with separations/durations <30 Myr (Bastian & Silva-Villa 2013).

(xv) For clusters with masses up to 10^7 M⊙, they cannot have continuous star formation within them for more than ~20 Myr (Bastian et al. 2013), unless the stellar initial mass function (IMF) is truncated above 15 M⊙. Discrete bursts of star formation are not favoured by current observations, but are not formally ruled out due to the finite sampling of ages and masses of the clusters studied to date.

(xvi) Clusters with masses up to 10^7 M⊙ cannot have significant amounts of dense gas left over from the star formation process for more than 3 Myr (see above references).

(xvii) To date, no study has found significant amounts (i.e. ~10 per cent of the cluster stellar mass) of gas within clusters in ionized, atomic (warm) or molecular (cold) states for clusters with ages in excess of 3–5 Myr. Further observations are required to quantify the precise limits, but for ionized gas (10 000 K), current limits are <100 M⊙ even within the most massive clusters (Bastian et al. 2013).

Ongoing spectroscopic work (Cabrera-Ziri et al., in preparation) will place tight constraints on the allowed instantaneous ‘secondary burst’ within clusters, i.e. is a second burst seen in any YMCs with ages between 10 and ~500 Myr. This follows the above constraints, but does not require that we catch the cluster undergoing the burst (i.e. we can infer if a secondary burst occurred 10–300 Myr previously). We also note that the young (400–500 Myr) massive clusters, W3 and W30 in the merger remnant NGC 7252 (8 × 10^5 M⊙; Maraston et al. 2004; 1.7 × 10^5 M⊙; Bastian et al. 2006), are both consistent with a single burst of star formation (Schweizer & Seitzer 1998). Of all YMCs observed to date, only nuclear clusters (i.e. those in the centres of galaxies) show evidence for multiple episodes of star formation (e.g. Rossa et al. 2006; Seth et al. 2008), although due to the depths of their potential wells and gas feeding time-scales, they presumably also have significant [Fe/H] spreads.

1 The origin of GCs with significant Fe spreads, e.g. ω-Cen, is currently under debate. They may share the same formation history as other GCs, or they may be the nuclei of dwarf galaxies that have been accreted on to the Galaxy. In the latter case, they would likely have a significantly different formation channel.

1.2 Previous models and potential drawbacks

The observed anomalies in GCs are not seen in young metal-rich open clusters or in the majority of field stars in the halo of the Galaxy (e.g. Martell et al. 2011; Gratton et al. 2012). This has led to the suggestion that the abundance trends are due to the unique formation environment of GCs in the early Universe and that GCs, potentially due to their large masses, have undergone multiple star-forming events (e.g. D’Ercole et al. 2008; Conroy 2012). It has also been suggested that stars are able to accrete material from the ejecta of higher mass main-sequence stars (D’Antona, Gratton & Chieffi 1983); hence, the observed abundance patterns would simply reflect surface contamination. However, this model is not feasible as evolved red giant branch stars (which have mixed their surface and lower layers through convection) show the same Na–O anticorrelations as main-sequence stars (Cohen, Briley & Stetson 2002), indicating that the abundance anomalies are present throughout the star (or at least as deep as the giant branch dried-up events).

More recent models invoke the formation of a second population of stars from the processed material of specific stars from the first population. Only certain stars are able to produce He-enriched material that also displays the observed chemical abundance (anti)correlations. The stellar sources that have been proposed are asymptotic giant branch (AGB) stars (e.g. D’Ercole et al. 2008), fast rotating massive stars (also known as spin stars; e.g. Decressin et al. 2007) and massive stars in interacting binary systems (de Mink et al. 2009, hereafter dM09).

The models that invoke multiple star formation events within a single cluster have achieved several notable successes, explaining many of the observed chemical properties and naturally predicting that the enriched population should be more centrally concentrated within GCs. However, such models all suffer from several drawbacks. First, if one assumes that GCs formed in a similar way to YMCs, and if multiple episodes of star formation are required to explain the abundance patterns, then a natural consequence is that...
we should see young clusters with ongoing star formation or extended star formation histories. However, all YMCs ($10^3–10^5 \, M_\odot$) observed to date appear to be well represented by a single burst of star formation with little or no age spread [e.g. Schweizer & Seitzer 1998; Kudryavtseva et al. 2012; Bastian & Silva-Villa 2013; Bastian et al. 2013; Cabrera-Ziri et al., in preparation; see Section 3.4 for a discussion of the 1–2 Gyr old massive clusters in the Large/Small Magellanic Cloud (LMC/SMC)].

Secondly, massive clusters appear to be free of cold/dense gas/dust from young ($<3$ Myr) ages (e.g. Munoz et al. 2006; Campbell et al. 2010; Sana et al. 2010; Seale et al. 2012), suggesting that they cannot retain the low-velocity outflows required to form the second generation. It has been suggested by Conroy & Spergel (2011) that the enriched gas within the clusters is kept warm by Lyman continuum photons from B and A stars, which keeps it from collapsing to form stars. However, in a survey of 129 YMCs ($10^3–10^5 \, M_\odot$, 10–1000 Myr) no clusters were found with ionized gas associated with them [with the exception of three clusters hosting Planetary Nebulae (PNe); Bastian et al. 2013], down to limits of $<100 \, M_\odot$ of ionized gas. Future studies should quantify the amount of gas in warm (atomic) and cold (molecular) states, if any, within massive young clusters.

Thirdly, the models require that the first generation of stars within the cluster was significantly more massive than observed today (by factors of 10–100) in order to create enough material from the binaries, spin stars or AGB stars to form the number of second-generation stars that are observed (e.g. Conroy 2012). This extra mass must be retained by the cluster for a few Myr to tens/hundreds of Myr, in order to be used to form the second generation, and then lost rapidly (e.g. Conroy 2012). However, a comparison between the number of metal-poor stars in the field and in GCs in the Fornax dwarf galaxy places a strict upper limit of a factor of 5 in mass to the difference between the initial cluster mass and that observed (Larsen et al. 2012), in conflict with model predictions. One potential solution to this problem is if the second generation had a radically different stellar IMF, in particular truncated at $\sim 0.8 \, M_\odot$, i.e. all stars formed in the second generation are still alive today (e.g. D’Antona et al. 2013).\(^2\) The Larsen et al. constraint is an upper limit to the amount of mass that could have been lost from GCs, because the study implicitly assumes that no GCs have been completely destroyed. If one adopts the standard paradigm of the evolution of GC systems, that for each surviving GC there are $\sim 10$ lower mass clusters that have been completely destroyed (e.g. Fall & Zhang 2001; Lamers, Baumgardt & Gieles 2010), the present-day GCs could not have been any more massive than they are now.

Fourthly, observations show that the chemical anomalies within clusters show spreads, i.e. are not discrete (e.g. Carretta et al. 2009a). While some of the spread can be due to measurement errors and some bimodality may exist (e.g. Villanova & Geisler 2011), recent measurements show that the spread is significantly larger than the estimated errors (e.g. Carretta et al. 2013). For models that invoke the formation of a second generation of stars from a mixture of pristine and chemically enriched gas, it is difficult to not have the gas well mixed. For example, if the enriched and pristine gas is kept from forming stars by being heated (either warm or ionized) in order to collect enough pristine and enriched material to form the second generation (at $T = 100–1000$ K; Conroy & Spergel 2011), the mixing time, $t_{mix} = R/v$, is $0.3–1.5$ Myr (assuming $R = 1$ pc and $v = 0.7–3$ km s\(^{-1}\)). Hence, any gas present in the cluster would quickly mix leading to a chemically homogeneous gas cloud. Unless extended star formation histories are invoked (where stars could form out of gas with different chemical abundances), these models would predict only two populations, either ‘pristine’ or ‘enriched’ stars.

Finally, the models proposed so far that invoke the formation of a second population all have difficulties reproducing the full range of abundance trends observed in GC. For example, the AGB model does not predict the Na–O anticorrelation (the ejecta from AGB stars are expected to show an Na–O correlation), so pristine material must be brought in just as the second generation is forming. Additionally, if high-mass stars are the origin of the enriched material, the second generation is expected to be depleted in Li. Hence, in this model some pristine material is also required (Decressin et al. 2007; dM09).

Due to these shortcomings, alternative models for the chemical anomalies in GCs should be explored. In particular, models that are motivated by observations of young GCs (e.g. YMCs with masses and densities equal to, or exceeding, those of GCs) may provide a new and unique perspective into this perplexing problem. It is with this in mind that we suggest a new model for the origin of chemical anomalies in GCs.

We note that the different populations within GCs are often referred to as ‘first and second generations’, with the ‘second generation’ being the stars that have been enriched. This implies that distinct star-forming events have taken place, whereas that may not be the case. Instead, we will refer to the multiple populations as unenriched and enriched.

This paper is organized as follows. In Section 2, we introduce the ‘early disc accretion’ model for the observed chemical anomalies observed in GCs. In Section 3, we discuss the assumptions inherent in the model and potential caveats that require further investigation, while in Section 4 we outline specific predictions of the model. Our conclusions are given in Section 5.

### 2 THE EARLY DISC ACCRETION MODEL

#### 2.1 The basics of the model

Our model envisions a gas-free cluster (i.e. all primordial material not used in star formation has been removed; see Section 3.2) with a standard stellar population and a Kroupa (2001) IMF with the high-mass stars concentrated towards the cluster core (i.e. mass segregated;\(^3\) see Portegies Zwart, McMillan & Gieles 2010). Chemically enriched material, ejected from high-mass interacting binaries or spin stars (see also Section 2.7.1 for alternative enrichment sources), is then accreted on to the circumstellar discs and ultimately on to low-mass pre-main-sequence (PMS) stars that were formed in the same generation. Higher mass main-sequence stars stop accreting earlier, allowing only the low-mass stars to accrete the enriched material. The process would only strongly affect the stars that pass through the cluster core (<50 per cent for an isotropic velocity distribution; see Section 2.3 for how we define the core, although we

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\(^2\) D’Antona et al. (2013) have discussed a possible mismatch between the metallicity scale of the GCs and field stars in Fornax. However, given that Larsen et al. (2012) group all low metallicity [Fe/H] $< -2$, together, any systematic offset is unlikely to significantly affect their results.

\(^3\) This mass segregation need not be primordial in nature, as it could happen dynamically on a timescale of less than a few Myr, if the cluster formed from the merging of sub-clumps (McMillan, Vesperini & Portegies Zwart 2007; Allison et al. 2009).
note that the exact definition does not affect our results), such that about half of the low-mass stars have normal abundances, because they did not cross the core. The proposed model is expected to apply to the same types of GCs as the previously proposed models, i.e. those clusters with low (or no) Fe spreads within their stellar populations (see Section 3.3).

2.2 ‘Tail-end’ accretion

The PMS duration is related to the stellar mass, with lower mass stars remaining in the PMS phase for a longer time. For example, stars of 2, 1 and 0.5 M\(_{\odot}\) have PMS lifetimes of approximately 6, 25 and 90 Myr, respectively (Siess, Dufour & Forestini 2000; Baraffe et al. 2002). It is likely that once stars reach the main sequence, they cannot accrete a significant amount of new material due to radiative feedback and stellar winds, allowing lower mass stars to accrete for longer periods. The accretion of enriched material from the ejecta of high-mass stars has previously been suggested in young star-forming regions, such as Orion, to explain abundance anomalies there, and is known as ‘tail-end’ accretion (Throop & Bally 2008). ‘Tail-end’ accretion refers to accretion during the later stages of a disc’s lifetime, after the main phase of star formation is over, but before the disc is dissipated away. Normally, the abundance of a non-evolved star is thought to be set during its formation; however, ‘tail-end’ accretion offers a mechanism to alter the star’s final abundance and, to some degree, its final mass.

While the concept of ‘tail-end’ accretion was developed with supernova (SN) pollution in mind, the low-velocity ejected material of massive binaries (or spin stars) appears more plausible (e.g. Chevalier 2000, see also Section 2.8).

Due to the high velocity dispersion of massive clusters (15–45 km s\(^{-1}\); e.g. Bastian et al. 2006), direct accretion on to the surfaces of the young stars through gravitational focusing is unlikely (Bondi & Hoyle 1944). However, if a star has a circumstellar disc of material, the disc can entrain material as it moves through the interstellar medium (ISM), and this material eventually accretes on to the star (Chevalier 2000; Moekel & Throop 2009). Unlike gravitational focusing which becomes less efficient at high relative velocities between the star/disc and the ambient gas, the efficiency of the gas capture by discs is proportional to the relative velocity. We will refer to this as the ‘disc sweep-up model’.

Since the PMS phase is limited to the initial part of a star’s lifetime, we focus on potential polluters that can operate in the first \(~5–10\) Myr of a cluster’s existence. Observations of nearby star-forming regions suggest that the majority of high-mass stars are in binary systems that will interact at some stage of their evolution (Sana et al. 2012); hence, we focus on this type of polluter system. Interacting massive binaries have been shown to slowly eject a large portion of the primary star’s envelope that is enriched in He and displays all/most of the known abundance (anti)correlations (dM09, see also Section 2.7). This material, which can make up to \(~1\) per cent of the initial mass contained in stars of the cluster (dM09), can then be entrained by the circumstellar discs of low-mass stars, enriching them and causing the star to grow in mass. Note that higher mass stars, \(<2 M_{\odot}\), are already on the main sequence, where their radiation, stellar winds and lack of a circumstellar disc prevent them from accreting any new material.

The enriched material from interacting binaries is preferentially ejected in a disc geometry, with expansion speeds of \(10–20\) km s\(^{-1}\) and densities \(<10^{7} \text{ cm}^{-3}\) (e.g. Smith, Bally & Walawender 2007). These extreme densities are likely to limit the effects of photoionization and SNe on the expanding discs (see also Section 3.1.3).

Additionally, the dust temperatures in such ejecta are 85–190 K (Smith et al. 2013, consistent with the thin disc geometry), allowing the material to accrete on to the circumstellar discs of low-mass stars.

The material that accretes on to the host PMS star’s disc will have a lower local specific angular momentum than that of the main disc, which will drive a flow of material through the disc towards the central star (Lin & Pringle 1990). This is likely to have two effects. First, it may lead to a higher accretion rate on to the star. Secondly, it may halt viscous expansion of the disc and add mass to the disc; the latter effect may lead to longer disc lifetimes.

2.3 The distribution of the accreting stars

We have assumed that the cluster is mass segregated, with the high-mass stars concentrated towards the centre. In this section, we look at the spatial distribution of the accreting stars. In particular, we look at the fraction of low-mass stars that enter the cluster core and the fraction of time that they spend there.

To compute how often stars cross the cluster core and with what velocity, we use the isochrone model (Henon 1959). The potential of this model is

\[ \Phi(r) = -GM_\odot/r_0 + (r_0^2 + r^2)^{\frac{1}{2}}, \]

where \(G\) is the gravitational constant, \(M_\odot\) is the cluster mass, \(r_0\) is a scale radius (core radius) and \(r\) is the distance to the centre. The half-mass radius of this model is \(r_0 = 3.06 \times r_0\). The reason why we choose this model is two-fold: orbits in this potential are analytic and the density profile resembles what is observed for YMCs in the LMC (Elson, Fall & Freeman 1987) – a constant density core with an \(r^{-2}\) fall-off in three dimensions outside the core, corresponding to an \(r^{-3}\) fall-off in the surface density profile. At any given time, the mass fraction within the core is 12.1 per cent. In Fig. 1, we show the fraction of time spent in the core for stars in this potential, for different assumptions on the types of orbits present.

We assume that the high-mass stars are the source of the enriched material and that they are all contained within the core radius of the cluster. Additionally, we adopt the disc sweep-up model, where the disc accretion is proportional to the stellar velocity and time spent in the core. This follows from the orbits presented in Fig. 1. For an isotropic velocity distribution, the median time spent for the stars that enter the core is 1/3 of their time. However, only 45 per cent of stars ever enter the core. These are the stars that accrete enriched material and may account for the quantized enrichment of He inferred from multiple discrete main sequences of stars observed in the many massive GCs. If clusters form through the merger of subclumps, an orbital distribution that favours radial orbits in the outer parts of the cluster is expected. This does not affect our conclusions, as the isotropic and radially anisotropic orbital distributions agree within 10 per cent for stars that spend more than 10 per cent of their time in the core.

We split the stars into three classes: stars that never enter the core, and hence do not accrete, and for the stars that will accrete, we make an arbitrary split at the median accretion rate (i.e. high and low accretion stars). The three-dimensional density of these different classes is shown in Fig. 2. The high accretion stars are concentrated, nearly entirely, in the core of the cluster, whereas the low accreting stars follow (to first order) the underlying total distribution of the cluster. Non-accreting stars, by construction, never enter the core.

We also investigated the cases where the stars in the cluster have a radially anisotropic velocity distribution. For these cases, we used
the Osipkov–Merritt description (Osipkov 1979; Merritt 1985). The orbits are isotropic in the inner parts, and the anisotropy parameter increases gradually to fully radial orbits in the outer parts. We adopted an anisotropy radius equal to the half-mass radius. We note that even if the orbits of stars are strongly radially anisotropic, the conclusions remain largely unchanged, with a large fraction of stars spending little time in the core (<10 per cent of their orbits) and being within 10 per cent of the isotropic case for the rest of the stars.

Only the low-mass stars that spend a significant amount of time within the cluster core (where the high-mass binaries reside) accrete enough of the processed material to show large He enhancements, potentially leading to quantized abundances. This issue is further addressed in Section 2.7.1.

2.4 The accretion rate

To estimate the frequency with which the core gets cleared by discs, \( \Lambda_{\text{clear}} \), we need to know the fraction of the total number of stars that cross the core (\( f_{\text{cross}} \)) and the fraction of time these stars spend in the core (\( f_{\text{in}} \)). The distribution of the latter quantity is shown in Fig. 1 for orbits in the isochrone model. This figure shows that both for the isotropic and the radially anisotropic velocity distributions, a fraction \( f_{\text{cross}} \approx 0.5 \) of the stars cross the core during their orbit through the cluster (this is found from the y value at \( x = 0 \) of the bottom panel of Fig. 1). These stars spend on average a fraction \( f_{\text{in}} \approx 0.33 \) of their time in the core (this cannot be read of from Fig. 1, but is found by computing the mean of the individual values). Low-mass stars (<1M_\odot) represent a fraction \( f_{\text{lm}} \approx 0.9 \) of the total number of stars, which in turn can be found from the total mass of the cluster \( M_c \) divided by the mean mass of low-mass stars (\( \bar{m} \approx 0.4 M_\odot \)). The disc clearing frequency \( \Lambda_{\text{clear}} \) is proportional to the stellar velocities \( v_* \) and the area of the disc (\( = \pi r_{\text{disc}}^2 \)), where the 1/2 is a correction factor to account for the angle of the disc relative to the direction of travel) and inversely proportional to the volume of the core (\( V = \frac{4}{3}\pi r_{\text{core}}^3 \)).

For typical cluster values, we then find that

\[
\Lambda_{\text{clear}} \approx 0.75 \text{Myr}^{-1} \left( \frac{f_{\text{cross}} f_{\text{in}} f_{\text{lm}} M_c}{10^5 M_\odot} \right) \left( \frac{0.4 M_\odot}{\bar{m}} \right) \times \left( \frac{v_*}{20 \text{km s}^{-1}} \right) \left( \frac{r_{\text{disc}}}{100 \text{au}} \right)^2.
\]

This velocity dispersion and cluster mass correspond to a core radius of about 1 pc for an isochrone model. This frequency implies that the core gets cleared of gas once every \( \sim 1.3 \text{ Myr} \).

The above estimate assumes that the discs had a constant size, 100 au, throughout the period of accretion. This is unlikely to be
the case, as discs around low-mass stars are seen to be significantly larger than this at young ages (~1000 au; e.g. Lada et al. 2000), which may become truncated due to dynamical encounters and/or photoionization. Hence, the disc clearing is expected to be more rapid at earlier times than the estimate above, and decrease to the approximation above, over a time-scale set by the disc truncation time-scale. This is further discussed in Section 3.1.2.

This means that any ejected material will either be swept up by the accreting discs or will escape the cluster, which would leave the cluster appearing to be gas free. We note that SNe will occur at a rate that is much higher (20–100 times) than the time for low-mass stars to sweep out the full volume of the core. Therefore, for this model to work, the coupling between the SN ejecta and the dense enriched material from binary/spin stars needs to be low, i.e. the SN ejecta should not remove the dense ejecta. This is supported by hydrodynamical models (Rogers & Pittard 2013, see also Section 3).

2.5 Mass budget

As mentioned in Section 1, models that invoke the formation of a second generation from material processed by a first generation all suffer from a ‘mass budget problem’, i.e. that the required mass of the first generation is significantly higher than that observed today. The proposed solution is that the first generation was initially much more massive than observed today and that much of this mass was lost, due to the dynamical evolution of the GC, to the field (e.g. Vesperini et al. 2010; Conroy 2012). However, this violates observations of the fraction of stars in GCs and the field (at a given metallicity) in the Fornax dwarf galaxy (Larsen et al. 2012, the ‘external mass budget’), unless if extreme assumptions are imposed, such as all ‘second generation’ stars are still alive (i.e. the IMF of the ‘second generation’ only contained stars up to 0.8 $\text{M}_\odot$) and that 100 per cent of the enriched material forms stars (i.e. a 100 per cent star formation efficiency; D’Antona et al. 2013).

Depending on the ISM density and relative velocity of the star, a PMS star may accrete a substantial fraction of its mass over its PMS lifetime. For example, if a 0.25 $\text{M}_\odot$ star (with a helium mass fraction of $Y = 0.24$) accretes 0.25 $\text{M}_\odot$ of enriched material ($Y = 0.37$; dM09), then the resulting 0.5 $\text{M}_\odot$ star will have $Y \approx 0.31$, similar to that observed in NGC 2808 (e.g. Piotto et al. 2007). Additionally, if the extreme He abundance ejecta is used from the interacting binary ($Y = 0.64$), even more extreme values can be produced.

For the calculations below, we assume that a large fraction of high-mass stars are in binaries that will interact during their evolution, consistent with observations of local high-mass star-forming regions (Sana et al. 2012). For a 10 $\text{M}_\odot$ cluster, approximately $1.3 \times 10^3 \text{M}_\odot$ of processed material from high-mass star binaries may be returned to the ISM (dM09) within 20 Myr (the lifetime of a 10 $\text{M}_\odot$ star; Brott et al. 2011; see Fig. 3). If we restrict the time where discs may accrete to the first 8 Myr, the amount of material potentially available drops to $6.5 \times 10^4 \text{M}_\odot$ (see Fig. 4).

For a typical massive GC such as NGC 2808, approximately a third of the low-mass stars show significant enrichment (e.g. Piotto et al. 2007). Low-mass stars make up 58 percent of the initial mass of a stellar population (Kroupa 2001), meaning that only ~19 percent (i.e. 1/3) of the initial mass of the population (~30 per cent of the total number of stars) needs to be significantly chemically enriched. This is already similar to the 13 percent of the initial cluster mass that could be feasibly processed by high-mass binaries and spin stars. However, since a PMS star is already in place at the time that accretion begins (see Section 2.2), we can make the extreme approximation that half of its final mass will be accreted (and half comes from the star’s initial mass); hence, only ~10 percent of the initial cluster mass is needed.

Additionally, since the enhanced stars are preferentially located in the core, non-enhanced stars would be more easily lost due to tidal effects (e.g. Vesperini et al. 2010), further decreasing the mass fraction needed for enrichment. Hence, we do not need to assume that all high-mass stars are in binaries, or that the clusters were initially much more massive than observed today. As will be shown in Section 2.7, the majority of the stars in GCs have abundances that require significantly less than 50 per cent of their final mass to be...
from enriched material that has been accreted. Hence, the numbers present here are an upper limit to the mass budget required.

In the above estimates, we have assumed that low-mass stars can accrete processed material for up to 20 Myr. If, on the other hand, we limit the accretion process to the first \(~8\) Myr (i.e. due to potentially short disc lifetimes; see Section 3), the amount of material available from interacting binaries is half of that used above (see Fig. 4). Even in this case, we still do not violate the mass budget constraints imposed by the number ratio of the enriched/non-enriched stars or the global mass budget constraints imposed by the observations of the Fornax dwarf galaxy (Larsen et al. 2012).

2.6 Efficiency of accretion

The above calculations assumed that 100 per cent of the enriched material ejected from interacting binary stars is accreted on to low-mass PMS stars. In reality, we expect that some fraction (perhaps the majority) of the enriched material is not accreted, but is lost from the cluster, either encountering or not encountering a star with disc or being removed by SNe, ionization or fast winds of hot stars. As will be discussed in Section 2.7.2, the abundances of individual elements (with the possible exception of O) do not require more than 50 per cent of the star’s final mass to be accreted (enriched), and, in many cases, is significantly less. Hence, in more realistic cases like the one outlined below (where typically each enriched star only obtains a relatively small fraction of its final mass through ‘tail-end’ accretion), it is not necessary that all the enriched material is accreted; in fact it is likely that a large fraction is lost from the cluster.

The efficiency of the accretion process (i.e. the fraction of mass accreted on to the discs of young stars) depends on the cluster density (i.e. the number of stars, within a given volume, with discs that are available to sweep up the enriched material) as well as (and related to) the velocity dispersion (the faster the stars move, the larger the volume they can sweep up). Hence, a prediction of the proposed model is that more massive (i.e. typical density and higher velocity dispersion) clusters should have a higher enrichment, i.e. that the stars that are able to accrete enriched material accrete more of it.

This prediction is in accordance with observations that more massive clusters (present-day masses) have a broader extension of the Na–O anticorrelation than lower mass clusters (Carretta et al. 2010). Additionally, the extension of the horizontal branch (a signature of enrichment) is correlated with cluster mass (e.g. Gratton et al. 2010). This also explains why lower mass open clusters do not show significant abundance spreads, as their significantly lower densities and velocity dispersion relative to GCs, the proposed scenario would be extremely inefficient within them.

2.7 Chemical abundances

2.7.1 Nature of the polluters

If interacting binaries are responsible for the polluting material, we expect significant variations between different clusters, due to stochastic effects. The yield of a binary system depends on at least three parameters: the total mass of the stars, the mass ratio and the phase in which the two stars interact. Even in a massive GC where the top end of the IMF is relatively well sampled, the three-dimensional parameter space of binaries is not well sampled. Therefore, stochastic fluctuations from cluster to cluster are expected.

In addition to the ejection from massive binary stars, there are multiple alternative routes to obtain chemically enriched material within the cluster during the first \(~10\) Myr of a cluster’s evolution. Massive binaries can create spin stars (de Mink et al. 2013) through mass transfer and stellar mergers, which can shed material (Decressin et al. 2007). Chains of stellar collisions involving massive stars may shed material into the cluster (Glebbeek et al. 2009), which may be a way to quantize the chemical enrichment and explain differences between GCs (e.g. Gratton et al. 2012). This may allow material deep within the stars that is Mg depleted and Al enriched to be liberated and shed into the cluster. Finally, single stars that have a near/partial collision may strip the outer envelope of a star (Sills & Glebbeek 2010). The lowest mass stars (<0.5 M⊙) have extremely long PMS lifetimes, and may be able to continue accreting for tens of Myr. Hence, the ejecta of AGB stars may also enrich these stars, although due to the lack of discs around these low-mass stars at these ages (>30 Myr), accretion would be much less efficient.

In Fig. 4, we show the cumulative distribution of the enriched ejecta (from the binary interaction model adopted in the main text) and the cumulative number of Type II SNe based on stellar evolutionary models of high-mass stars (Brott et al. 2011), assuming a Salpeter (1955) index for the initial stellar mass function. For this we assumed the shed mass from binaries and the Type II SNe happen after a main-sequence lifetime, although for the interacting binary case in reality some will interact before/after the end of the main-sequence phase. Additionally, we assume that the amount of ejecta for the interacting binary scales linearly with the mass of the primary (dM09). Finally, we adopt main-sequence lifetimes of \(t_{\text{ms}} \propto M/L \propto M^{-1/2}\), with \(x = 2.0\) (although we note that the results do not depend strongly on \(x\)).

This shows that \(~50\) per cent of the shed mass from interacting binaries (i.e. the enriched material) is lost within the first \(~8\) Myr of a cluster’s existence. One of the main uncertainties in the presented model is the lifetime of the circumstellar discs (see Section 3). This shows that even if discs are truncated within the first 10 Myr, a large fraction of the total enriched material will already have been ejected, and presumably accreted. Fig. 4 also shows that the rate of SNe lags behind that of the interacting binaries, with a median age of \(~12\) Myr (when normalized between 2 and 20 Myr), relative to the interacting binary, which eject half of the material within the first 8 Myr.

Many GCs show discrete main sequences that have been interpreted as being due to quantized He abundances. As discussed in Section 2.3, this potentially may be caused by the orbits of the stars in the cluster, as only stars that enter the core may be enriched. Additionally, some quantization may come from the step nature of the abundance patterns of the processed material from high-mass binaries (dM09), i.e. the enriched material from high-mass binaries is ejected discretely in time, with the most enriched material being ejected at the end.

In the proposed model, light elements (e.g. Na, O and Al) should also show the similar quantized abundance trends, as is observed in some GCs (e.g. Marino et al. 2011), although due to the low initial abundances, the accretion of a small amount of enriched material would produce relatively large abundance changes. Hence, we expect some variations in light element abundances within GCs (i.e. not fully quantized). Additionally, if some enriched material escapes the core (or if the orbital distribution is radially anisotropic), material may be accreted by stars in the outer parts of the cluster. This would not affect He (since a large amount must be accreted before any effects are seen) but other elements (Na, O and Al) could be significantly affected due to the low initial abundances; hence, abundance spreads are expected, and not fully quantized values.
2.7.2 Na–O anticorrelation and the mass budget imposed by observations

Decressin et al. (2007) have investigated the expected abundance trends for material ejected by spin stars. Additionally, dM09 computed the yields of one (typical) binary system, with stellar masses of 15 and 20 M⊙, and an initial period of 12 d. These works have shown that the enriched material from high-mass stars follows the observed abundance trends. In particular, the models are enhanced in He, N, Na and Al, and depleted in C and O. A caveat that is often noted is that high-mass stars do not, at least a priori, deplete Mg sufficiently. We will address this point in detail in Section 2.7.4.

For the following analysis, we use the abundances from the interacting binary model presented by dM09. This consisted of a binary with primary and secondary masses of 20 and 15 M⊙, respectively, on a 12 d orbital period. We note, however, that these simulations do not cover the full parameter space of interacting binaries (see Section 2.7.1) and that higher mass stars or stars that interact at different phases of their evolution may produce significantly different yields than those used here. We adopt initial (i.e. pristine) abundances of Y = 0.24, [O/Fe] = 0.53, [Na/Fe] = −0.11, [N/Fe] = 0.05, [C/Fe] = −0.13 and [Al/Fe] = 0.31 (from Piotto et al. 2007 for He, and Villanova & Geisler 2011 for all other elements).

As a first step, we look at whether the model can reproduce the extreme ends of the abundance trends observed. We adopt the ‘extreme abundances’ of the ejecta in the dM09 model. Additionally, we assume that in these extreme cases, the star accretes half of its final mass from the enriched material.

With these assumptions, we find that the extreme values potentially available with the adopted model are Y = 0.44, [O/Fe] = 0.26, [Na/Fe] = 1.22, [N/Fe] = 1.2, [C/Fe] = −0.4 and [Al/Fe] = 0.62. In order to test whether the model can reproduce the observed values, we compare our predictions to observations of M4 (Villanova & Geisler 2011), whose absolute values and spreads in the abundances discussed appear typical amongst GCs. They find extreme values of [O/Fe] = 0.1, [Na/Fe] = 0.57, [N/Fe] = 0.95, [C/Fe] = −0.48 and [Al/Fe] = 0.66.

For He, we note that our extreme value is in excess of that inferred from the bluest main-sequence track in NGC 2808 (Piotto et al. 2007). For the other elements, the model predicts the correct trends (dM09), and for Na and N, the observed extreme values are within the allowed range of the model. For C and Al, our predictions are within 0.1 dex, and hence are consistent within the observational uncertainties and model assumptions. For O, our toy model misses by 0.3 dex, potentially not accommodating the observations. However, as noted above, the exact predictions depend on multiple binary parameters, and so this should be further investigated in a future work.

We conclude that in addition to not violating the internal mass budget (i.e. the constraints imposed by the ratio of the enriched/unenriched populations), the proposed model also obeys the observational constraints for individual chemical abundances. The only element not reproduced in full is O. Hence, no stellar IMF variations need to be invoked in the proposed scenario.

A similar scenario was investigated by Prantzos & Charbonnel (2006), their ‘scenario II’, where heavily processed (taken as the most extreme [O/Na] observed in NGC 2808) material from a ‘first generation’ is combined with pristine gas in the form of low-mass protostars. They find that the IMF from the first generation must be flatter [i.e. more high-mass stars relative to a nominal Salpeter (1955) IMF] in order to reproduce the number (and degree) of enriched stars.

However, as discussed above, the scenario proposed here does not require IMF variations. The main difference between our estimates and those of Prantzos & Charbonnel (2006) is the chemistry of the enriched material. Whereas they took the observed limit (i.e. the most extreme [O/Na] value observed), our estimates are based on models of the ejecta of interacting binaries. This ejecta has more extreme values of the abundances considered, so that when it is mixed with pristine material, the observed trends are reproduced. Put another way, Prantzos & Charbonnel (2006) assume that the stars with the most extreme abundances come exclusively from enriched material (i.e. no pristine material is used), whereas in our scenario, the most extreme abundances are still reproduced with 50 per cent pristine material.

2.7.3 Abundance trends and Li

A potential caveat to high-mass stars being the source of the enriched material (either as spin stars or massive close binaries) is that Li is destroyed in high-mass stars, while observations show that Li does not vary strongly within the different populations within GCs (with ~0.3 dex). We first note that in the ‘early disc accretion’ scenario, a low-mass star is largely in place when the accretion occurs. Hence, in the extreme situation where a star obtains half of its final mass from accreted material (and assuming that this material has no Li), the star would have an Li abundance lower by 0.3 dex.

As discussed above, the accreted material (assumed to be Li depleted) is expected to be enhanced in He, N, Na and Al, and depleted in C and O. Hence, we expect correlations between Li and the other light elements that show variations. Such a slight anticorrelation between Li and Na and a correlation between Li and O have been observed in NGC 6752 (Pasquini et al. 2005). Additionally, Monaco et al. (2012) have studied the Li abundance of main-sequence and sub-giant branch stars in M4 (i.e. stars not yet affected by the dredge-up of evolved stars which significantly depletes Li within stars) and found that Li varies between stars (by 0.1 dex) and is anticorrelated with Na, as predicted by our model.

We conclude that while it has been claimed that the small Li variations observed in GCs argue against massive stars as the source of the chemically enriched material, this only holds if a new star is formed exclusively out of the enriched material. In the case where only a fraction of the star’s final mass comes from enriched material, the expected variation in Li is <0.3 dex, within the current observational uncertainties.

2.7.4 The (anti)correlation between Mg and Al

In addition to the well-established anticorrelation between Na and O, some GCs, such as NGC 6752 and NGC 2808, show an anticorrelation between Mg and Al (Carretta et al. 2012b and Carretta et al. 2009a, respectively). This is a potential problem for any scenario attempting to explain the multiple populations within GCs that invoke the processed material of high-mass stars (such as spin stars or interacting binaries) as the temperatures thought to be required to significantly deplete Mg and enhance Al are not reached in most high-mass stars (Decressin et al. 2007; dM09). However, we note that stars with masses exceeding 40 M⊙ do reach the required high temperature, although deeper within the star than would normally be ejected by winds or interacting binaries (Yusof et al. 2013). Also, such models do predict a weak Mg–Al anticorrelation, which could be made stronger by adjusting the nuclear reaction rates of Mg and Al (Decressin et al. 2007), or if the Mg isotope ratio favours ²⁵Mg.
and $^{26}\text{Mg}$ over $^{24}\text{Mg}$ (dM09). On the other hand, models that invoke AGB stars as the origin of the enriched material may predict such an anticorrelation, depending on the adopted parameters in the AGB evolution models (D’Ercole, D’Antona & Vesperini 2011). Hence, it may then be difficult to explain why most clusters do not show such a trend, and only some do.

A closer look at the available data reveals that the Mg–Al anticorrelation (in particular, stars extremely depleted in Mg) appears to be the exception, rather than the rule. In a compilation of 17 clusters, only 3 were found to have statistically significant variations in Mg (Carretta et al. 2009a, less than 3 per cent of the sample of stars showed significant Mg depletion), and a number of other recent studies that have searched for such a trend have not found an Mg–Al anticorrelation nor evidence for significant Mg variations within individual clusters (e.g. M4, Villanova & Geisler 2011; 47 Tuc, Gratton et al. 2013; M22, Marino, Milone & Lind 2013; NGC 3201, Muñoz, Geisler & Villanova 2013; M75, Kacharov, Koch & McWilliam 2013). Another cluster, NGC 1851, shows evidence for a small Mg–Al anticorrelation, although it does not have any stars strongly depleted in Mg (Carretta et al. 2012a).

Based on the available data, it appears that the majority of GCs do not show a strong Mg–Al anticorrelation, and only a small sub-set of GCs contain stars depleted in Mg. Hence, the model presented here fits the observations of Mg and Al for the vast majority of GCs observed to date, and potentially may explain the rarity of stars strongly depleted in Mg.

Large Mg spreads (being anticorrelated with Al content) may be expected in the proposed model if the polluting material is due to the collisions of high-mass stars (which can liberate significant amounts of Al-rich, Mg-depleted material from deep inside the star; Sills & Glebbeek 2010). Also, if the polluting material has been enriched by very massive stars, a similar effect is expected (Denissenkov & Hartwick 2013; Yusof et al. 2013).

2.8 Fe spreads in clusters and constraints from the Solar system

The ‘tail-end’ accretion scenario was originally developed to explain peculiar abundances observed in the Orion Association (within the same sub-group) between young stars (Throop & Bally 2008). Due to the observed abundance trends, the authors invoked Type II SNe and the outflows of massive stars as the source of enrichment. If SNe are indeed able to enrich discs, then we expect to find some evidence for spreads in heavy elements, such as Fe, that are uniquely produced in SNe.

Observations of $^{56}\text{Fe}$ in meteorites suggest that the early Solar system (when the Sun still had a protoplanetary disc) was enriched by a nearby SN (see Adams 2010 for a recent review). This evidence for SN enrichment in the early Solar system is one of the primary pieces of evidence used to reconstruct the birth environment of the Solar system (e.g. Throop & Bally 2008; Adams 2010; Dukes & Krumholz 2012).

If discs are able to accrete some material from SN ejecta (as appears to be required by the early Solar system), then we may expect that clusters with higher densities, i.e. the covering fraction of discs seen by the SN ejecta is higher, may show some enhancement in heavy elements, such as Fe. Here, the velocity dispersion of the cluster is not likely to be relevant, due to the high velocity of the SN ejecta.

Carretta et al. (2009b) have shown that the intrinsic spread in Fe abundances between stars in GCs is correlated with cluster mass. This is qualitatively consistent with the scenario outlined above, as higher mass clusters tend to be denser clusters, although their initial densities may have been significantly altered by a Hubble time of dynamical evolution (Giersz & Heggie 2009; Gieles, Heggie & Zhao 2011). Simulations probing the efficiency of the retention of SN ejecta by circumstellar discs are required before any quantitative prediction can be made.

3 ASSUMPTIONS AND POTENTIAL CAVEATS

There are a number of assumptions and potential caveats for the early disc accretion model, and here we list and discuss some of the primary ones.

3.1 The lifetime and size of circumstellar discs

As discussed in Section 2, Bondi–Hoyle accretion is not efficient enough in young GCs for the proposed model to work. Hence, it is necessary for the low-mass PMS stars to host circumstellar discs in order to sweep up the processed material. If stars lose their discs too rapidly, then the proposal mechanism/scenario will not work.

3.1.1 The lifetime of circumstellar discs

While disc lifetimes are seen to be 5–15 Myr for low-mass stars in the solar neighbourhood (e.g. Haisch, Lada & Lada 2001; Bell et al. 2013), there are theoretical reasons to believe that the disc lifetime may have been different in GCs. First, if there is enough ambient material to accrete on to the disc from the surroundings, the disc may maintain (or even increase) its mass (Moeckel & Throop 2009).

The density within the cores of GCs is much higher than in nearby star-forming regions, and there are also many more massive stars available in young GCs to provide enriched material. Hence, the accretion rate on to discs from the surrounding material is expected to be orders of magnitude higher than in local star-forming regions. This accretion on to the disc may increase the accretion rate on to the host star as well as increase the disc lifetime (see Section 2.7.1).

Secondly, disc lifetimes may depend on the metallicity of the system, with suggestions that in low-metallicity environments, where GCs were born, the disc lifetimes may have been significantly longer than at present in the galaxy. This is potentially due to (1) viscous accretion being less efficient at low metallicities (Durisen et al. 2007), (2) lower metallicity environments having less dust so grain growth is suppressed (Dullemond & Dominik 2005) limiting the effects of photoevaporation, and (3) planet formation, which appears to increase towards higher metallicity, has been suggested to be a main disperser of circumstellar discs (e.g. Armitage & Hansen 1999).

Additionally, there have been tentative suggestions, based on observations of PMS stars in various environments, that disc lifetimes are much longer in low-metallicity environments (Spezzi et al. 2012).

However, it is also possible that disc destruction is more effective in dense environments like GCs. The presence of significant numbers of nearby high-mass stars may cause the discs to photovaporate faster than in locally observed regions (e.g. Adams et al. 2004). Additionally, the destruction of discs by close encounters is more efficient in denser regions (although we note that it is less efficient as the velocity dispersion increases), which we address below.
3.1.2 The size of circumstellar discs

Discs around young stars are observed to have radii between a few tens of au and thousands of au (Lada et al. 2000). The main truncation mechanism for discs in a dense cluster environment is likely to be the close passage of another star in the cluster. This has been studied by a number of authors, generally for relatively low mass (low number of stars) clusters (Scally & Clarke 2001; Olczak, Pfalzner & Spurzem 2006; de Juan Ovelar et al. 2012). The truncation radius is a function of the pericentre distance between the host star and the perturber star, the stars’ relative mass ratio and the velocity difference between the two stars. As an example, we take the recent analytical estimates of disc truncation in young star-forming regions in the solar neighbourhood (de Juan Ovelar et al. 2012) and apply a correction factor of 10–20 (i.e. we assume that the truncation radius scales linearly with the velocity dispersion, although see below) to account for the much higher velocities expected within young GCs (e.g. Bastian et al. 2006).

For a million solar mass cluster, with a core radius of 2 pc, the average stellar surface density within the core (containing ~12% of the stars at any given time) is \( \sim 2 \times 10^7 \) stars pc\(^{-2} \). In this example, after 1 Myr the truncation radius for an average star is expected to be \( \sim 2000 \) au. This decreases by a factor of \( \sim 5 \) every 3 Myr (de Juan Ovelar et al. 2012), resulting in a radius of \( \sim 80 \) au in 7 Myr.

However, this may overestimate the truncation for three reasons. (i) The simulations of dynamical disc truncation carried out to date were of low-\( N \), low velocity dispersion clusters. This means that the duration of a disc/star interaction is a significant fraction of the disc orbital time-scale, maximizing the effect of disruption. In high velocity dispersion clusters, the interaction duration is significantly less than an orbital time-scale, leading to a reduced disruption/truncation effect. In reality, in high-\( \sigma \) clusters, the dynamical truncation of discs may not begin until the average encounter distance is less than twice the disc radii, i.e. the discs themselves begin to touch during the encounter. (ii) Even in those encounters, the interaction may lead, instead of a pure truncation and stripping the material, to mass from one disc being transferred to the other disc (Clarke & Pringle 1993). (iii) The discs in the core of a massive GC are likely to be accreting significant amounts of material (i.e. the processed ejecta of massive binary stars) which add mass to the accreting disc (Moedkcl & Throop 2009).

We note that denser (core) clusters will likely have more heavily truncated discs. However, this effect is counteracted, to some extent, by the fact that (for a given cluster mass) a smaller volume will need to be swept out by the PMS disc.

3.1.3 The effect of ram pressure stripping and SNe

In order to estimate the effect of ram pressure stripping of the discs, we take as an example a disc of mass 0.05 M\(_{\odot}\) around a 0.5 M\(_{\odot}\) star. A ‘standard’ disc has a surface density profile \( \Sigma (r) \propto r^{-3/2} \) (e.g. a standard minimum mass solar nebula; Weidenschilling 1977), and let us assume that \( \Sigma (1 \text{ au}) = 3.4 \times 10^8 \text{ kg m}^{-2} \) (which contains the total disc mass within 100 au). We will assume an extreme case of the disc travelling face-on at 30 km s\(^{-1}\) through a medium of density of 6 \( \times 10^{-19} \text{ g cm}^{-3} \) (10 M\(_{\odot}\) of ejecta in \( 10^{-3} \) pc\(^2\)), similar to that expected for the ejecta from interacting binaries (e.g. Smith et al. 2007).

The radius at which the ram pressure of the intercluster medium is able to strip the disc is \(< 100 \) au (Moedckel & Throop 2009). The addition of momentum to the disc is important if the disc can accrete of the order of its own mass in of the order of an orbital time of the disc. The time for a 100 AU disc to accrete 0.05 M\(_{\odot}\) is 0.25 Myr in our extreme case – many times the outer orbital time-scale. It should be noted that the accreted material will be of lower angular momentum than the disc material and cause contraction of the disc and enhanced accretion (Lin & Pringle 1990; Moeckel & Throop 2009).

Similar calculations show that the ram pressure of material from an SN explosion will not significantly strip a disc around a low-mass star unless the star is extremely close (much less than 0.1 pc) to the SN (Chevalier 2000).

Therefore, we do not expect discs around low-mass stars to be truncated by the effect of the external ISM, rather the limitation on disc sizes will be set by encounters with other stars/discs.

Additionally, the enriched material from interacting binaries will be preferentially ejected in a disc. As it expands, the material is expected to be quite dense (see above), which, as in the case of circumstellar discs, is not expected to be strongly influenced by nearby SNe, due to the low coupling between the SN energy and cooler, dense material (Rogers & Pittard 2013).

In summary, the detailed processes of disc accretion (sweeping of material) how the disc responds to its environment (e.g. dynamical interactions, photoevaporation, SNe) and the fate of the accreted material (i.e. if it will end up on the host star) need to be further investigated with numerical simulations to determine whether the proposed model can fully explain the observed chemical anomalies in GCs.

3.2 Initial conditions

We have assumed that the cluster is gas free and mass segregated from an early age (<3 Myr). These conditions are taken from the known properties of YMCs (with masses up to \( \sim 10^5 \) M\(_{\odot}\)) in the Galaxy (see the recent review in Longmore et al. 2013). Many/most young clusters appear to have their massive stars concentrated towards to the centre (e.g. de Grijs & Parmentier 2007; Portegies Zwart et al. 2010) although quantifying the exact amount has been difficult due to observational biases (e.g. Ascenso, Alves & Lago 2009).

In the estimates for the gas clearing time within the cluster core, we adopted a core radius of 1 pc (Section 2.4). This is consistent with observations of YMCs in the Galaxy with masses up to \( 10^5 \) M\(_{\odot}\) (Portegies Zwart et al. 2010) and also with extragalactic YMCs with masses between \( 5 \times 10^4 \) and \( 1.5 \times 10^5 \) M\(_{\odot}\) (e.g. Larsen et al. 2001, 2008, 2011; McCrady, Graham & Vacca 2005). This is also consistent with simulations of the evolution of massive GCs, which were found to have been much denser in the past than they currently are, e.g. \( R_{\text{core, initial}} < 0.3 \) pc for 47 Tuc, M4 and NGC 6397 (Giersz & Heggie 2009, 2011).

The mechanism that has caused these young clusters to be gas free from a young age is still under debate. Potentially the gas and stars have become kinematically decoupled during the cluster forming debate, resulting in an extremely high local star formation efficiency (e.g. Moeckel & Clarke 2011; Kruisjes et al. 2012). Alternatively, it has been suggested that feedback from high-mass stars (or low-mass stellar outflows) has caused the gas to be rapidly removed, although this predicts that many young clusters should be out of dynamical equilibrium (i.e. expanding) which is not supported by observations (cf., Longmore et al. 2013).

If it is a locally high star formation efficiency that is causing the clusters to be gas poor at a young age, then this will have no impact on the discs around young low-mass stars or on the ejecta of interacting binaries/spin stars. If the gas is cleared by feedback,
then potentially the same effect that clears the cluster of the natal gas may affect the discs/ejecta in a similar manner, if the natal gas (at the time of gas dispersal) had similar density as the interacting binary/spin-star material.

3.3 The relation between GCs and dwarf nuclei

In Section 2.8, we discussed a potential mechanism that would allow clusters to self-enrich in elements produced in SNe (i.e. the retention or SN ejecta by circumstellar discs which has been invoked to explain the meteoritic abundances in the Solar system). This would allow clusters to display spreads in elements that are (nearly) solely produced in SNe, such as Fe. An alternative possibility is that clusters that display large Fe spreads are the captured nuclei of dwarf galaxies.

If the latter scenario is true, then those GCs that spent a significant fraction of their existence at the centres of deep gravitational potential wells, and as such, could accrete gas (and stars) from their host galaxies (e.g. Kuijssen & Cooper 2012). Nuclear clusters in low-mass galaxies in the local Universe show clear evidence for multiple (or extended) star-forming events, so that their stellar mass builds up over time (e.g. Rossa et al. 2006; Seth et al. 2008). While these clusters probably underwent similar self-enrichment as discussed here, they also had multiple star-forming events (fed by pristine gas from the host galaxy as well as that enriched by massive and intermediate-mass stars, i.e. AGB stars), and hence are expected to have potentially different abundance patterns for certain elements.

Hence, at the moment it is unclear whether the ‘early disc accretion’ scenario applies to clusters with significant Fe spreads, or whether these clusters are from an extragalactic origin.

3.4 Relation to the intermediate-age clusters in the LMC/SMC

A number of recent studies have shown that the main-sequence turn-off in intermediate-age (1−2 Gyr) LMC/SMC clusters, with present-day masses between 10^4 and 2×10^5 M⊙, is broader than would be expected from a single aged population (e.g. Mackey & Broby Nielsen 2007). This has been interpreted as being due to an extended, 100–500 Myr, star formation history within the clusters (e.g. Mackey et al. 2008; Milone et al. 2009; Goudfrooij et al. 2011). Theoretical studies have attempted to link this phenomenon with the chemical anomalies observed in GCs (e.g. Conroy & Spurge 2011; Keller, Mackey & Da Costa 2011). If the extended main sequences and dual red clump observed in some clusters (e.g. Girardi, Rubele & Kerber 2009) are due to extended (or multiple burst) star formation histories, then this would show that clusters can retain the material needed for additional star formation episodes and that the chemical anomalies in GCs may be due to secondary or extended star formation events.

A prediction of the above interpretations of significant age spreads is that massive clusters with ages <500 Myr should be currently forming stars. Bastian & Silva-Villa (2013) investigated two massive clusters in the LMC, NGC 1856 and NGC 1866 (both with ∼10^5 M⊙, and ages of 280 and 180 Myr, respectively) with Hubble Space Telescope resolved stellar photometry, and found that both were consistent with a single burst of star formation at the time of formation. The authors derive an upper limit to any potential age spread within the cluster of 35 Myr.

Additionally, Bastian et al. (2013) have compiled a catalogue of 129 YMCs with ages between 10 and 1000 Myr and masses between 10^4 and 10^5 M⊙, with available spectroscopy and resolved photometry to search for signs of ongoing star formation within them (i.e. Hβ and/or O[III] λ4959, 5007 emission; see also Peacock, Zepf & Finzell 2013). No clusters were found with any evidence of ongoing star formation. Based on stellar IMF sampling (i.e. taking into account the mass of the secondary population) and the observational limit where emission lines could be detected, Bastian et al. (2013) showed that at least half of their compiled catalogue (with ages less than ∼200 Myr) should show clear emission lines associated with ongoing star formation, if the clusters had extended star formation histories similar to that reported for the LMC/SMC intermediate-age clusters.

The authors conclude that either the stellar IMF within the secondary population (i.e. that currently forming) is radically different from that observed locally (i.e. no stars with masses above ∼15 M⊙ can form within them) or even massive clusters do not have extended star formation histories. In the latter case, this calls into question that the interpretation of the extended main-sequence turn-off in intermediate-age LMC/SMC clusters is due to extended star formation events. The observed spread may instead be caused by the enrichment mechanism proposed here, or potentially through stellar rotation (Bastian & de Mink 2009; Yang et al. 2013; although also see Girardi, Eggenberger & Miglio 2011).

4 PREDICTIONS OF THE MODEL

Some of the predictions and tests of the proposed model, such as the chemical abundance trends, have been previously discussed (dM09). Here, we discuss further predictions of the model; some are unique to the proposed scenario, while others are similar to predictions from previously proposed scenarios.

(A) The ‘early disc accretion’ model naturally explains why Na-rich, O-poor stars are not observed in the halo of the galaxy (e.g. Gratton et al. 2012) as stars with this abundance pattern would preferentially exist in the cores of massive clusters. As clusters move in an external tidal field, they preferentially lose stars from their outer parts (e.g. Vesperini et al. 2010). However, all the main scenarios make the same prediction.

(B) A prediction of the model is that massive clusters forming today should show a similar effect. However, due to their high abundances (near solar) small changes in the Na, O or Al abundance would not be readily detectable, since abundances are measured on a logarithmic scale. However, some of the low-mass stars may be He enriched; hence, YMCs with ages >20 Myr (e.g. Glimpse-C01 with an age of a few hundred Myr; Davies et al. 2011) may be used to test this scenario. At solar metallicity, He-enriched stars are offset from the nominal (standard He abundance) main sequence in optical colour–magnitude diagrams by a similar amount as that seen in a fraction of old GCs (Dotter et al. 2008). This should be visible in deep colour–magnitude diagrams of young (<1 Gyr) massive clusters (if the stars within the cluster kept their discs long enough to be enriched). Potentially, they should show a Na–O (anti)correlation as well, although this effect may be drastically reduced in magnitude due to the much higher (a factor of ∼10–100) metallicity in YMCs today compared to GCs.4

(C) If a cluster is not fully relaxed (i.e. it is younger than a relaxation time), the stars within the cluster will retain some memory of their initial orbits. Since our model requires the concentration of high-mass stars in the cluster centre, low-mass stars with orbits that pass through the cluster core often (or spend a significant fraction

4 A potential caveat to this prediction is that if disc lifetimes are metallicity dependent, this may not be happening in YMCs in spiral or merging galaxies.
of their orbit within the core) should be more highly enriched. Even if a cluster is older than its relaxation time, stars in the outer parts of the cluster may retain a memory of their initial orbits. Hence, we predict that enriched stars in the outer parts of clusters should be on preferentially radially anisotropic orbits and that stars distant from the centre that are on preferentially circular orbits should be unenriched. There are suggestions that the more enriched stars are preferentially on radial orbits in 47 Tuc (Richer et al. 2013). Studies with Gaia, focusing on the outer parts of the cluster, may be able to place constraints on this model, i.e. stars with orbits that do not enter the core should not show enrichment.

(D) The proposed mechanism for the accretion of the enriched material is expected to be more efficient at higher cluster masses and densities. In our model, we have assumed that all of the processed and ejected material is entrained by discs around low-mass stars. More realistically, we expect some of the material to leak out of the cluster and become lost from the system. In higher mass GCs, the velocity dispersion is expected to be higher (on average) which increases the amount of volume each star sweeps up. Additionally, if a GC has a higher density, then the volume necessary to be swept out by a single star before it has accreted a significant amount of enriched material is lower. This prediction (which is not unique among theories for the origin of multiple populations in GCs) appears to be confirmed by observations which show a correlation between the extent of the horizontal branch (a measure of enrichment) and the absolute visual magnitude of the cluster (Carretta et al. 2010), with brighter (more massive) cluster showing signs of more enrichment.

We note that in the proposed model, there is not a set density or mass for which the model operates. Such an effect should be occurring in all clusters; however, the efficiency of the process is strongly dependent on the central stellar density and the velocity dispersion, with higher values of both leading to more accretion (although high central densities may also lead to a stronger disc truncation). Low velocity dispersion clusters, such as open clusters, are expected to have some enrichment in their low-mass stars; however, it is expected to be much weaker than in high-mass GCs or Galactic/extragalactic YMCs. Additionally, the model requires the presence of significant numbers of high-mass stars in order to provide the enriched material.

5 CONCLUSIONS

We have presented a scenario to explain the observed abundance anomalies observed in GCs. The model invokes ‘tail-end’ accretion of processed (chemically enriched) material shed from interacting binaries and/or rotating mass stars on to the circumstellar discs of low-mass PMS stars. The model does not invoke multiple generations of star formation.

The proposed model potentially solves three outstanding problems with previous scenarios. First, it solves the ‘internal mass budget problem’, i.e. that the enriched population makes up a significant fraction of the total number of stars within a GC. Secondly, the solution to the ‘internal mass budget problem’ does not require GCs to have been significantly more massive at birth, relative to their current mass. Hence, it does not violate observations of the fraction of metal-poor stars in GCs and the field in the Fornax dwarf galaxy (Larsen et al. 2012), i.e. it satisfies the ‘external mass budget’. Finally, the proposed model conforms to the known properties of young GCs (i.e. appearing gas free from a young age, no significant age spreads or secondary star formation events).

Additionally, a comparison between the observed and predicted abundances (spreads and extreme values) shows overall good agreement, with the potential exceptions of O and Mg in a minority of clusters. These discrepancies may be solved if higher mass binaries were considered, or if stellar mergers/stripping plays an important role in providing the enriched gas. Further investigation of the yields of interacting binary stars with different initial masses and separations is needed before definitive conclusions can be reached regarding the detailed chemical predictions of interacting binaries. We have used the yields of one sample interacting binary calculation (dM09) of a 15 and 20$M_\odot$ binary system, with an initial period of 12 d.

Potential caveats to the model were discussed. The main assumption is that discs around low-mass PMS stars survive for 5–10 Myr. While this conforms to observations of clusters in the solar neighbourhood (e.g. Bell et al. 2013), it is possible that the GC environment is more hostile to disc survival. Additionally, the model assumes that the discs and enriched ejecta are not strongly affected by SNe within the cluster. These caveats were discussed in detail in Section 3. Further work regarding the lifetime of discs in low-metallicity, massive GCs is required to test these assumptions.

We also discussed predictions of the model. If the same mechanism is in operation in young GCs today, then some of the YMCs should show He enhancements (seen as spreads or separate sequences in the main sequence with photometric studies). Due to the higher average metallicity of YMCs, relative to the ancient GCs, young clusters are not expected to show significant spreads in the abundances of elements such as Na, O, Al, Fe, etc. (unless the yields increase significantly with increasing metallicity).

In addition to testing the potential caveats and predictions of the proposed model, significant progress can also be made on the observational side. With the large GC samples now available, it should be possible to quantify the fraction of clusters that show He enrichment (and to what degree), which chemical (anti)correlations are common to all clusters (e.g. Na–O) and which are only exhibited in a sub-set of GCs (e.g. Mg–Al), and what is the absolute abundance spread observed in each cluster for a large number of elements. Once such a list is tabulated, it should be possible to isolate extreme cases (e.g. $\omega$-Cen) and look for common trends. Until then, it is difficult to test the models directly, as it is uncertain if a given property of a cluster is unique or a general feature of all/most GCs.

ACKNOWLEDGEMENTS

We thank Nathan Mayne, Jay Strader, Diederik Kruisjes, Nick Moeckel and Phil Armitage for insightful discussions. NB and MG are partially funded by University Research Fellowships from the Royal Society. SDm acknowledges support by NASA through Hubble Fellowship grant HST-HF-51270.01-A awarded by the Space Telescope Science Institute, which is operated by the Association of Universities for Research in Astronomy, Inc., for NASA, under contract NAS5-26555 and the Einstein Fellowship programme through grant PF3-140105 awarded by the Chandra X-ray Center, which is operated by the Smithsonian Astrophysical Observatory for NASA under the contract NAS8-03060. We also thank the Aspen Center for Physics and the NSF Grant #1066293 for hospitality during the conception and writing of this paper.

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