Semantic cut elimination for the logic of bunched implications, formalized in Coq

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Abstract

The logic of bunched implications (BI) is a substructural logic that forms the backbone of separation logic, the much studied logic for reasoning about heap-manipulating programs. Although the proof theory and metatheory of BI are mathematically involved, the formalization of important metatheoretical results is still incipient. In this paper we present a self-contained formalized, in the Coq proof assistant, proof of a central metatheoretical property of BI: cut elimination for its sequent calculus.

The presented proof is semantic, in the sense that is obtained by interpreting sequents in a particular “universal” model. This results in a more modular and elegant proof than a standard Gentzen-style cut elimination argument, which can be subtle and error-prone in manual proofs for BI. In particular, our semantic approach avoids unnecessary inversions on proof derivations, or the uses of cut reductions and the multi-cut rule.

Besides modular, our approach is also robust: we demonstrate how our method scales, with minor modifications, to (i) an extension of BI with an arbitrary set of simple structural rules, and (ii) an extension with an S4-like □ modality.

CCS Concepts: • Theory of computation → Proof theory; Logic and verification.

Keywords: cut elimination, bunched implications, interactive theorem proving, Coq, substructural logics

1 Introduction

The logic of bunched implications (BI) [32] is an extension of intuitionistic logic with substructural connectives. BI (and its classical cousin Boolean BI) is known for, among other things, forming a basis for separation logic [31, 40] – a popular program logic for verification of heap-manipulating programs. The BI itself, and many of its important models, are based on the idea that propositions denote ownership of resources and BI includes a separating conjunction connective «, which signifies ownership of disjoint resources [39]. As an adjoint to «, BI also includes a magic wand connective ¬, which is determined by the property

\[ A + B \rightarrow C \iff A \ast B \vdash C. \]

Additionally, BI includes a unit element Emp for the separating conjunction «.

Proof theoretically, BI can be formalized in a Gentzen-style sequent calculus, which operates on the judgments of the form \( \Delta \vdash A \), where \( \Delta \) is not merely a multiset of formulas, but a bunch: a tree in which leaves are formulae and nodes are connected with either ; or , (signifying connecting the resources using \( \land \) and «, respectively). For example, a bunch might be \( ((a \land b); c), (d; e) \). Due to this nested structure of bunches, the left rules in the BI sequent calculus can apply deep inside bunches. For example, an instance of the left rule for \( \land \), specialized to the bunch above, is

\[
\frac{((a; b); c), (d; e) \vdash \varphi}{((a \land b); c), (d; e) \vdash \varphi}
\]

That is, \( a \land b \) got “destructed” into \( a ; b \) in the context \(([\_]; c), (d; e)\), where \([\_]\) signifies a hole that can be filled.

BI treats separating conjunction « (and, hence, ,) as a substructural connective, that does not admit contraction and weakening (i.e. neither \( a \vdash a \ast a \) nor \( a \ast b \vdash a \) hold), but it retains the usual structural rules for intuitionistic conjunction \( \land \) (and, hence, ,). In the sequent calculus, the corresponding structural rules can as well be applied deeply inside bunches. For example, an instance of a contraction rule might look like this:

\[
\frac{((a, b); (a, b)), c \vdash \varphi}{(a, b), c \vdash \varphi}
\]

Here we contract the bunch \((a, b)\) inside the context \([\_], c\). In BI we have to permit contraction on arbitrary bunches,
whereas in intuitionistic logic contraction on individual formulas is sufficient.

As usual, BI includes a cut rule, which formalizes the informal process of applying an intermediate lemma in a proof. Similar to the other rules, the cut rule can be applied on a formula deeply nested inside a bunch:

\[
\Delta' \vdash \psi \quad \Delta(\psi') \vdash \varphi \\
\Delta(\Delta') \vdash \varphi
\]

where \(\Delta(-)\) is an arbitrary bunch with a hole.

In this paper we study the cut elimination property for BI. That is, every sequent that has a proof in BI involves the cut rule also has a proof that is cut-free (i.e. does not use of the cut rule). From a theoretical point of view, cut elimination can be used to show important meta-theoretical properties (subformula property, consistency, conservativity). From a more practical standpoint, cut elimination is an important ingredient in proof search.

**Why formalize cut elimination?** Cut elimination is a staple in metatheory of logics. Because of that, the question of cut elimination is often one of the first to be raised, whenever a new logic or a new sequent calculus is proposed. It is then common to prove cut elimination directly, by providing a recursive procedure on derivation trees, potentially using additional measure(s) to prove that this procedure terminates.

Proofs organized along those lines are repetitive, consist of many sub-cases, and include many implicit details (e.g. about the structure of the contexts). As a result, it is not uncommon to see proofs that are “analogous” to known correct proofs of cut elimination for related systems, or proofs that only discuss a couple of cases that are considered illustrative, with the bulk of the proof being left as a (rarely completed) exercise for the reader.

Unfortunately, due to the interplay and complexity of all the details, such informal proofs can be quite risky. In the case of BI, the deep nested structure of bunches and explicit structural rules contribute to the complexity and the level of details. For example, a proof of cut elimination for BI given in [38, Chapter 6] had a gap, that was later fixed in [3]. The issue seems to arise from the treatment of the contraction rule. In presence of explicit contraction a naive approach of pushing each instance of the cut rule up along the derivation tree does not necessarily work. In order to resolve this, the cut rule should be generalized to the multicut rule, combining contraction and cut together. Then cut elimination is generalized to multicut elimination, offering a stronger induction hypothesis that can be applied to subproofs. Unfortunately, this generalization was originally done in a way that only works for some of the cases. See [3] for more details.\(^1\)

\(^1\)It is possible to avoid the multicut generalization by using more fine-grained measure functions, see [8] for the case of intuitionistic logic. As

This is not the only instance of erroneous proofs of cut elimination slipping in. Several sequent calculus formulations for bi-intuitionistic logic were wrongly believed to enjoy cut elimination. These mistakes were later fixed in [37]. Other instances include an incorrect proof of cut elimination for full intuitionistic linear logic, fixed in [5, 15]; an incorrect proof of cut elimination for nested sequent systems for modal logic [10], fixed in [30]. While not incorrect in itself, cut elimination for a formulation of the provability logic GL by Sambin and Valentini [41] with explicit structural rules was subject of some controversy until it was resolved in [22].

**Semantic cut elimination.** To counterbalance informal pen-and-paper proofs of cut elimination for BI, we provide a fully formalized proof in the Coq proof assistant. However, instead of trying to formalize an intricate Gentzen-style process, as in [3], we approach cut elimination using the ideas of algebraic proof theory: a research area aimed at making tight connections between structural proof theory and algebraic semantics of logics. In our proof we adapt the methods of algebraic semantic cut elimination for linear logic [33, 34], in which cut elimination is obtained by constructing a special model for linear logic that is universal w.r.t. cut-free provability. We believe that this approach to cut elimination is more amendable to formalization and extension, than a direct Gentzen-style proof.

Semantic cut elimination for BI was first developed by Galatos and Jipsen [19], building on their work on residuated frames [18]. Their approach is quite general, and the proof makes heavy use of intermediate structures (the aforementioned residuated frames), which lie in between sequent calculus and algebraic semantics. By contrast, the proof presented here only involves the “syntax” (sequent calculus), and the “semantics” (algebraic models) parts. This leaves us with fewer structures to consider in the formalization.

To demonstrate the modularity of our proof, we extend it to cover Two different types of extensions of BI. Firstly, we consider BI extended with a particular class of structural rules (simple structural rules), which cover weakening and contraction (both for \(-\) and \(\)\), as well as many other kinds of structural rules. Secondly, we consider BI extended with an S4-like \(\square\) modality. In both cases we show that we do not have to make a lot of modifications to the proof, and the modifications that we do have to make are, in a way, systematic.

### 1.1 Contributions and Outline

The main contributions of this paper are as follows. We present an algebraic proof of cut elimination for BI. Our proof can be seen as a simplification of the Galatos and Jipsen’s method [19], without the framework of residuated frames. We demonstrate the modularity of our approach by another alternative. Brotherston [9] gave a proof of cut elimination for BI by going through a displayed calculus.
extending it to cut elimination of BI with an S4-like modality (modalities were not previously considered in the framework of residuated frames). We formalize the results in the Coq proof assistant, which is to our knowledge the first published formalization of cut elimination for BI.

The remained of the paper is structured as follows. In Section 2 we present the main idea behind semantic proofs of cut elimination. In Section 3 and Section 4 we recall the sequence calculus for BI and its (standard) algebraic semantics via BI algebras. In Section 5 we consider when a closure operator on a BI algebra induces a subalgebra itself. We then apply this construction in Section 6 to obtain a “universal” model for cut-free provability, and use it to prove cut elimination. In Section 7 we extend the proof of cut elimination to all possible extensions of BI with a particular class of structural rules. In Section 8 we extend the proof to account for an S4-like modality. We discuss our formalization efforts in Section 9. We discuss related work in Section 10 and conclude in Section 11.

1.2 Formalization

The formalization is available online at:

https://github.com/co-dan/BI-cutelim.

In this paper we specifically refer to the version with git hash 93aa954, permanently available under DOI 10.5281/zenodo.5770478. Throughout the paper, identifiers in monospaced font (like this) accompany statements and proposition. They indicate the names of the statements in the Coq formalization and link to the corresponding place in the online documentation. For example, the link proves points to the inductive definition of the BI sequent calculus.

2 Semantic Cut Elimination

In this section we explain some of the ideas and intuitions behind a semantic proof of cut elimination in a semi-formal way, before diving straight into the complexities of BI. The starting point is that there is a class of algebras in which we can interpret logic. The main idea is to find a particular algebra C, in which we can interpret the sequent calculus, and which has a property that if \([\psi] \leq [\varphi]\) in C, then \(\psi \vdash \varphi\) is derivable without applications of the cut rule. In this case, we say that C is a “universal” algebra for cut-free provability. Then, cut elimination can be obtained by the (sound) interpretation of sequent calculus into C.

Finding such a “universal” algebra is reminiscent of proving completeness of a logic w.r.t. a class of algebras. In the case of completeness, we construct a “universal” algebra \(L\) such that \([\psi] \leq [\varphi]\) implies derivability of \(\psi \vdash \varphi\). This Lindenbaum-Tarski algebra \(L\) is usually defined to be the collection of equivalence classes of formulas modulo inter-provability:

\[
[\varphi] \triangleq \{\psi \mid (\psi \vdash \varphi) \land (\varphi \vdash \psi)\}
\]

And the ordering \(\leq\) on \(L\) is induced by provability:

\[
[\varphi] \leq [\psi] \iff \varphi \vdash \psi.
\]

Provability does not depend on the representative of the equivalence class, and so we get a poset \(L\). The logical operators are interpreted in \(L\) in such a way that \([\varphi] = [\varphi]\). The argument for completeness then goes as follows: suppose that \([\varphi] \leq [\psi]\) in all the possible algebras; then, in particular that inequality holds in \(L\), which amounts to \(\varphi \vdash \psi\). Thus, any valid sequent is derivable.

It is precisely the connection between provability and the order on the algebra that makes this model useful. We can imagine a reformulation of the above model in terms of cut-free provability in sequent calculus:

\[
[\varphi] \leq [\psi] \iff \varphi \vdash_{cf} \psi.
\]

This adaptation, however, does not work. In order to prove that the ordering \(\leq\) is transitive, we need to show

\[
\varphi \vdash_{cf} \psi \quad \psi \vdash_{cf} \chi \quad \therefore \varphi \vdash_{cf} \chi
\]

which amounts to showing that cut is admissible in the cut-free fragment. We seem to be back at square one.

To fix this, instead of interpreting formulas as sets of equivalent formulas (which is what equivalence classes can be seen as), we would like to interpret formulas as sets of contexts which prove the formula:

\[
\langle \varphi \rangle \triangleq \{\Delta \mid \Delta \vdash \varphi\}.
\]

Then, inclusion of sets is a good candidate for the ordering, because \(\psi \in \langle \psi \rangle\) and, hence, \(\langle \psi \rangle \subseteq \langle \varphi \rangle\) implies \(\psi \vdash_{cf} \varphi\).

But how do we interpret logical connectives? We can interpret \(\top\) as the set of all contexts; then, clearly \(\top = \langle \true \rangle\). However, we cannot pick the empty set as an interpretation of \(\bot\): the set \(\langle \false \rangle\) is non-empty, as it contains at least \(\false\) itself. What we need is to find an interpretation \(\llbracket \cdot \rrbracket\) such that \(\llbracket \varphi \rrbracket \subseteq \llbracket \psi \rrbracket\) implies \(\varphi \vdash_{cf} \psi\) (or, equivalently \(\varphi \in \langle \psi \rangle\)). Okada [33] proposed a sufficient condition for such an interpretation: for any formula \(\varphi, \varphi \in [\varphi]\) and \([\varphi]\) \(\in \langle \varphi \rangle\). Then, the desired property on the interpretation follows via a chain of inclusions:

\[
\varphi \in [\varphi] \subseteq \llbracket \varphi \rrbracket \subseteq \langle \varphi \rangle.
\]

Note that the set of all contexts does not satisfy this condition: as we have seen, the empty set a counter-example. It is the least element w.r.t. set inclusion, but \(\false \notin 0\), so we cannot set \([\false] = \emptyset\). This suggests that, instead of considering arbitrary sets of contexts, we need to refine the powerset algebra somehow. A good starting point would be to consider the carrier of the algebra containing just the sets of the form \(\langle \varphi \rangle\), i.e. \(C = \{\langle \varphi \rangle \mid \varphi \in Frml\}\) (by analogy with the Lindenbaum-Tarski algebra, which consists only of elements of the form \(\llbracket \varphi \rrbracket\)). We can then interpret bottom as \(\bot = \langle \false \rangle\), and it indeed will be the least element in the algebra.
Looking at other connectives, we cannot interpret disjunction as set-theoretic union, because the union \( \langle \varphi \rangle \cup \langle \psi \rangle \) cannot always be written as \( \langle \chi \rangle \), for some formula \( \chi \). That is, we cannot actually show that \( C \), as given above, is closed under unions, so \( \cup \) is not a well-defined operation on \( C \).

How should we then interpret disjunction if not as the union of sets? If we cannot use the set union, we will use the “next best thing”: the smallest set in \( C \) that actually contains the union. Formally, we set:

\[
X \lor Y = \bigcap \{ Z \in C \mid X \cup Y \subseteq Z \}.
\]

This definition is still not without issues: for this operation to be defined, we need to ensure that \( C \) is closed under arbitrary intersections. It turns out that we can achieve this by modifying the carrier of \( C \) and “baking in” the closedness under arbitrary intersections. Such a construction is obtained in a generic way as a subalgebra of the powerset algebra generated by a particular closure operator, as we will see in Sections 5 and 6.

In the remainder of the paper we develop this construction in details. But first, to make the matters concrete, we recall the BI sequent calculus and properties of its cut-free fragment (Section 3), and the algebraic semantics for BI (Section 4).

### 3 Sequent Calculus for BI

In this section we briefly recall the sequent calculus formulation of BI [32], and some of the properties of its cut-free fragment. The formulas of BI are obtained from the following grammar:

\[
\varphi, \psi ::= \text{True} \mid \text{False} \mid \varphi \land \psi \mid \varphi \lor \psi \mid \varphi \to \psi \\
\qquad \mid \text{Emp} \mid \varphi * \psi \mid \varphi \Rightarrow \psi \mid a \quad (a \in \text{Atom})
\]

BI extends intuitionistic propositional logic with separating conjunction \((*)\), magic wand \(\Rightarrow\), adjoint to separating conjunction), and the empty proposition \(\text{Emp}\). We also include atomic propositions drawn from a fixed set \(\text{Atom}\).

The sequent calculus for BI is given in Figure 1. It operates on the sequents of the form \( \Delta \vdash \varphi \), where \( \varphi \) is a formula and \( \Delta \) is a bunch – a tree composed of binary nodes labeled with \( \cdot \) and \( ; \), and leaves being either formulas or empty bunches \(\varnothing_m\) or \(\varnothing_a\). Morally, we view bunches as equivalence classes of such trees modulo commutative monoid laws for \((\cdot, \varnothing_m)\), and \((; , \varnothing_a)\). These are given using structural congruence \(\equiv\), the rules for which are also given in Figure 1. We could have defined provability on such equivalence classes, but we opt for using explicit context conversions using \(\equiv_{\text{equiv}}\).

Most of the structural rules and the left rules can be applied to formulas that occur nested inside some bunch with a hole \(\Delta(\cdot)\). We refer to such bunches with holes as bunched contexts. For example, in the application of the rule \(\land L\) below we use the bunched context \((p, [\cdot])\):

\[
\begin{align*}
\text{L} & : \varphi, \varphi* \psi \vdash (p; q) \quad \varphi* \psi, \varphi, \psi \vdash p* q \\
\text{R} & : \varphi, \varphi* \psi \vdash p* q
\end{align*}
\]

#### 3.1 Cut-free Provability

Let us write \(\Delta \vdash_{\text{cf}} \varphi\) if \(\Delta \vdash \varphi\) is derivable without the cut rule. In the rest of this section we prove invertibility of several rules in the cut-free fragment of BI. Those derived rules will be useful to us when constructing the algebraic model in Section 6.

The first observation about the sequent calculus, is that we have formulated the “axiom” rule \(\varphi \vdash \varphi\) only for atomic formulas \(a \in \text{Atom}\). This will significantly simplify some of the proofs (for example, Lemma 3.3), but does not limit the expressivity of the system, as witness by the following lemma.

**Proposition 3.1 (Identity expansion, seqcalc_id_ext).** For every formula \(\varphi\) we can derive a sequent \(\varphi \vdash_{\text{cf}} \varphi\).

**Proof.** By induction on the structure of \(\varphi\). \(\square\)

For the construction presented in this paper we need to show that a number of rules are invertible in the cut-free sequent calculus. Specifically, we need to show that \(\to R\), \(\to R\), \(\ast L\), \(\land L\), \(\land L\), and \(\text{TrueL}\) are invertible.

**Lemma 3.2 (wand_r_inv and impl_r_inv).** The following rules are admissible:

\[
\begin{align*}
\to R \text{-inv} & : \Delta \vdash_{\text{cf}} \varphi \iff \psi \\
\to R \text{-inv} & : \Delta \vdash_{\text{cf}} \varphi \iff \psi \\
\to R \text{-inv} & : \Delta \vdash_{\text{cf}} \varphi \iff \psi
\end{align*}
\]

**Proof.** By induction on the derivations \(\Delta \vdash_{\text{cf}} \varphi \iff \psi\) and \(\Delta \vdash_{\text{cf}} \varphi \iff \psi\). \(\square\)

At the end of the day, the proof of Lemma 3.2 by induction on derivations is not very complicated, because the form of the context on the left-hand side of the sequent is relatively simple. It is easy to show that the left rules can commute with \(\to R\) and \(\to R\). By contrast, showing that the rules \(\ast L\) and \(\land L\) are invertible is more involved for several reasons.

First of all, just like for other sequent calculi with explicit contraction, structural induction on the proof is not strong enough. Consider the following derivation of \(\varphi* \psi \vdash_{\text{cf}} \chi\):

\[
\begin{align*}
\varphi* \psi; \varphi* \psi & \vdash_{\text{cf}} \chi \\
\varphi* \psi & \vdash_{\text{cf}} \chi
\end{align*}
\]

Since \(\varphi* \psi\) occurs twice in the premise, we need to apply the induction hypothesis twice. From the first application of the induction hypothesis we get a proof

\[
\varphi* \psi; (\varphi* \psi) \vdash_{\text{cf}} \chi,
\]

but this proof is not a strict subderivation of the original derivation. Therefore, we cannot use the induction hypothesis second time to obtain a proof of \((\varphi* \psi); (\varphi* \psi) \vdash_{\text{cf}} \chi\).
Equivalence of bunches

\[ \Delta_1 \cup \Delta_2 \equiv \Delta_2 \cup \Delta_1 \quad \Delta_1 ; \Delta_2 \equiv \Delta_2 ; \Delta_1 \quad (\Delta_2 \cup \Delta_3) \equiv (\Delta_1 \cup \Delta_2) ; \Delta_3 \quad (\Delta_2 ; \Delta_3) \equiv (\Delta_1 ; \Delta_2) ; \Delta_3 \]

\[ \Delta, \varnothing_m \equiv \Delta \quad \Delta ; \varnothing_a \equiv \Delta \quad \Delta \equiv \Delta' \quad \Gamma(\Delta) \equiv \Gamma(\Delta') \]

Structural rules

| Rule | AX | EQUIV | W; | C; | CUT |
|------|----|-------|----|----|-----|
| a ∈ Atom | \(\Delta \vdash a\) | \(\Delta' \vdash \phi \) | \(\Delta \equiv \Delta'\) | \(\Delta(\Delta_1) \vdash \phi\) | \(\Delta(\Delta_1) \vdash \phi\) |
| \(\Delta \vdash a\) | \(\Delta \vdash \phi\) | \(\Delta(\Delta_1) ; \Delta_2) \vdash \phi\) | \(\Delta(\Delta_1) \vdash \phi\) | \(\Delta(\Delta') \vdash B\) |

Multiplicatives

\[ \begin{array}{c}
\text{EmpL} \quad \Delta(\varnothing_m) + \phi \\
\varnothing_m \vdash \text{Emp} \\
\Delta(\text{Emp}) + \phi \\
\Delta(\Delta_1) + \phi \\
\Delta(\Delta_1) + \phi \\
\end{array} \]

Additives

\[ \begin{array}{c}
\text{TrueL} \quad \Delta(\varnothing_a) + \phi \\
\varnothing_a \vdash \text{True} \\
\Delta(\text{True}) + \phi \\
\Delta(\Delta_1) ; \Delta_2 \vdash \phi \land \psi \\
\Delta(\Delta_1) ; \Delta_2 \vdash \phi \land \psi \\
\Delta(\phi \land \psi) \vdash \chi \\
\end{array} \]

\[ \begin{array}{c}
\text{FalseL} \\
\Delta(\text{False}) + \phi \\
\Delta(\phi \lor \psi) \vdash \chi \\
\Delta(\phi \lor \psi) \vdash \chi \\
\Delta(\phi \lor \psi) \vdash \chi \\
\end{array} \]

In order to circumvent this, we do induction on the height of the derivation, strengthening the statement to:

**Lemma 3.3 (sep_l_inv)**. If there is a derivation of

\[ \Delta(\phi \lor \psi) \vdash \chi \]

with height \(n\), then there is a derivation of

\[ \Delta(\phi \lor \psi) \vdash \chi \]

with height strictly less than \(n\).

Note that this lemma would be false if we would have included an axiom rule for arbitrary formulas: there would be a proof \(\phi \lor \psi \vdash \phi \lor \psi\) of height 0, but the smallest proof of \(\phi \lor \psi \vdash \phi \lor \psi\) is of height 1. That is why we have restricted the axiom rule to atomic formulas, and got the general form of the axiom rule as a derived statement (Proposition 3.1).

Similarly, by induction on the derivation height, we show that \( \text{EmpL} \) and \( \text{TrueL} \) are invertible. We only care about the derivation height for the purposes of induction, so we summarize the results on invertible rules in the following lemma.

**Lemma 3.4**. The following rules are admissible:

\[ \begin{array}{c}
\land \text{-INV} \\
\Delta(\phi \land \psi) \vdash \chi \\
\Delta(\phi \land \psi) \vdash \chi \\
\Delta(\phi \land \psi) \vdash \chi \\
\Delta(\phi \lor \psi) \vdash \chi \\
\Delta(\phi \lor \psi) \vdash \chi \\
\Delta(\phi \lor \psi) \vdash \chi \\
\Delta(\phi \lor \psi) \vdash \chi \\
\Delta(\phi \lor \psi) \vdash \chi \\
\end{array} \]

Let us write \((\Delta)^*\) for interpretation of \(\Delta\) as a formula: we substitute every occurrence of \(\varnothing\) in \(\Delta\) with \(\varnothing\), every occurrence of \(\varnothing_m\) with \(\varnothing_m\), and similarly for the additive connectives. Clearly, there is a derivation from \(\Delta \vdash \chi\) to \((\Delta)^* \vdash \chi\), by repeated application of \(\text{-L} \) and \(\text{-L} \). For the other direction we have the following.

**Corollary 3.5 (collapse_l_inv)**. The following rule is admissible:

\[ \Delta'((\Delta)^*) \vdash \chi \\
\Delta'(\Delta) \vdash \chi \\
\]

**Proof**. By induction on \(\Delta\), using Lemma 3.4. \[\Box\]
4 Algebraic Semantics for BI

We interpret the BI sequent calculus in the algebraic structures known as BI algebras, which are bounded Heyting algebras with a compatible residuated monoidal structure.

**Definition 4.1.** A BI algebra $\mathcal{B}$ is a tuple $(B, \perp, \top, \wedge, \vee, \rightarrow, \text{Emp}, *, \neg)$ where

- $(B, \perp, \top, \wedge, \vee, \rightarrow)$ is a bounded Heyting algebra, i.e., a bounded distributive lattice with the Heyting implication satisfying
  
  \[ a \wedge b \leq c \iff a \leq b \rightarrow c \]

- $* : \mathcal{B} \times \mathcal{B} \rightarrow \mathcal{B}$ is a monotone commutative and associative function;

- $\text{Emp} : \mathcal{B} \rightarrow \mathcal{B}$ is a unit element for $*$;

- $\neg : \mathcal{B} \times \mathcal{B} \rightarrow \mathcal{B}$ is a binary operation satisfying

  \[ a \ast b \leq c \iff a \leq b \rightarrow c \]

**Definition 4.2.** Let $\mathcal{B}$ be an arbitrary BI algebra. Given an interpretation $i : \text{Atom} \rightarrow \mathcal{B}$ of atomic propositions, we interpret formulas of BI in $\mathcal{B}$ in the usual tautological way:

\[
\begin{align*}
\text{[Emp]} & = \text{Emp} \\
\text{[}\varphi \ast \psi\text{]} & = \text{[}\varphi\text{]} \ast \text{[}\psi\text{]} \\
\text{[}\varphi \rightarrow \psi\text{]} & = \text{[}\varphi\text{]} \rightarrow \text{[}\psi\text{]} \\
\text{[}\varphi \vee \psi\text{]} & = \text{[}\varphi\text{]} \vee \text{[}\psi\text{]} \\
\text{[}\varphi \wedge \psi\text{]} & = \text{[}\varphi\text{]} \land \text{[}\psi\text{]} \\
\text{[}\text{False}\text{]} & = \perp \\
\text{[}\text{True}\text{]} & = \top \\
\text{[}a\text{]} & = i(a)
\end{align*}
\]

**Theorem 4.3 (Soundness, seq_interp_sound).** If $\Delta \vdash \varphi$ is derivable, then $\llbracket(\Delta)^*\rrbracket \leq \llbracket\varphi\rrbracket$ holds in any BI algebra.

**Proof.** By induction on the derivation. \qed

4.1 BI Algebras from Monoids

In practice, a lot of BI algebras arise as predicates over a partial commutative monoid. Let $(M, \cdot, e)$ be a partially commutative monoid; we write $x \cdot y = \perp$ if composition of $x$ and $y$ is undefined. Then the powerset $\wp(M)$ is a (complete) Heyting algebra, and it forms a BI algebra $(\wp(M), 0, M, \cap, \cup, \rightarrow, 0, \cdot, \neg)$ with the following operators:

\[
\begin{align*}
0 & \triangleq \{ e \} \\
M \cdot Y & \triangleq \{ x \cdot y \mid x \in X, y \in Y, x \cdot y \neq \perp \} \\
X \rightarrow Y & \triangleq \{ z \mid \forall x \in X, z \cdot x \neq \perp \rightarrow z \cdot x \in Y \}.
\end{align*}
\]

**BI algebra from the monoid of contexts.** Let us write $\text{Bunch}$ for the set of bunches, modulo the equivalence $\equiv$ (from Figure 1). We can endow the set $\text{Bunch}$ of bunches with the structure of a monoid. Composition of two contexts $\Delta$ and $\Delta'$ is just putting them next to each other using $\cdot$:

\[
\Delta \cdot \Delta' \triangleq (\Delta, \Delta')
\]

then, up to equivalence of bunches, $\varnothing_m$ is the unit element. Using the powerset construction we get a BI algebra $\wp(\text{Bunch})$.

This model is very much “freely generated” from syntax, but it is not very useful, as it does not involve any notion of provability (only equivalence of contexts). In this next section we are going to refine this model, in order to obtain a submodel which can be used to prove completeness and cut-elimination.

5 Moore Closures on BI Algebras

For cut elimination, we will be interested in subalgebras of the powerset algebra $\wp(M)$ for some partial commutative monoid $M$; specifically subalgebras arising from a particular closure operator. For the rest of this section we fix a partial commutative monoid $M$.

**Definition 5.1.** A Moore collection is a family of sets $C \subseteq \wp(M)$ that is closed under arbitrary intersections:

\[
(\forall i \in I. A_i \in C) \implies \bigcap_{i \in I} A_i \in C.
\]

If $X \in C$ we say that $X$ is closed.

Alternatively, a Moore collection can be given in terms of a closure operator $\text{cl}(\cdot)$ satisfying the following conditions:

- $X \subseteq \text{cl}(X)$;

- monotonicity: $X \subseteq Y \implies \text{cl}(X) \subseteq \text{cl}(Y)$;

- idempotence: $\text{cl}(\text{cl}(X)) = \text{cl}(X)$.

Given a Moore collection $C$ we define the associated closure operator as $\text{cl}(X) = \bigcap\{Y \in C \mid X \subseteq Y\}$. In the other direction, given a closure operator we define $\text{cl}(\cdot)$-closed sets as $C = \{X \mid \text{cl}(X) = X\}$.

Some basic theory behind posets with such a closure operator is given in [17]. Here, we recall only the results that we will be needing. First of all, we are going to use the following rule often.

**Lemma 5.2 (cl_adj).** The closure operator satisfies the following adjunction rule:

\[
\begin{align*}
X \subseteq Y & \quad \text{in } \wp(M) \\
\text{cl}(X) \subseteq Y & \quad \text{in } C
\end{align*}
\]

for a closed set $Y$.

Since $C$ is closed under intersections, $X \cap Y$ is a meet of two closed sets $X$ and $Y$. However, given two closed sets, their union $X \cup Y$ is not always closed. Instead, we interpret join as $\text{cl}(X \cup Y)$.

**Proposition 5.3.** The collection $C$ is a complete bounded lattice: the least upper bound is given by $\bigvee_{i \in I} X_i = \text{cl}(\bigcup_{i \in I} X_i)$. In particular, the bottom element of $C$ is $\text{cl}(\emptyset)$.

It is not necessarily the case that $C$ has Heyting implication, but if it does, then we can describe it in terms of the implication on $\wp(M)$ and a dual of the closure operator.

**Proposition 5.4 (impl_from_int).** For a set $X \subseteq \wp(M)$, we write $\text{int}(X)$ for the largest closed set contained in $X$:

\[
\text{int}(X) = \bigvee \{Y \in C \mid Y \subseteq X\}.
\]
Then for closed sets \( X \) and \( Y \),
\[
X \rightarrow Y = \text{int}(X \triangleright Y)
\]
where \( X \triangleright Y \) denotes implication in \( \varphi(M) \).

**Proof.** We reason as follows:
\[
\begin{align*}
\text{int}(X \triangleright Y) &= \bigvee \{Z \in C | Z \subseteq X \triangleright Y\} \\
&= \bigvee \{Z \in C | Z \cap X \subseteq Y\} \\
&= \bigvee \{Z \in C | Z \subseteq X \rightarrow Y\} = X \rightarrow Y
\end{align*}
\]

In light of the previous propositions, we can see that some Heyting algebra structure on \( C \) arises from the same operations on \( \varphi(M) \). Can we similarly lift the BI operations? Let us denote the residuated monoidal structure (defined as in Section 4.1) on \( \varphi(M) \) as \((0, \ast, \cdot)\). In the rest of this section we describe how to lift this structure to \( C \).

### 5.1 BI Algebra Structure on Closed Sets

A sufficient condition for \( C \) to be a BI algebra is the following.

**Definition 5.5.** We say that the closure operator is *strong* if for any \( X \) and \( Y \)
\[
\text{cl}(X) \cdot Y \subseteq \text{cl}(X \cdot Y)
\]

If \( \text{cl}(\neg) \) is strong, then we define the BI operators on \( C \) as follows:
\[
\begin{align*}
\text{Emp} &= \text{cl}(0) \\
X \ast Y &= \text{cl}(X \cdot Y) \\
X \rightarrow Y &= \text{cl}(X \rightarrow Y)
\end{align*}
\]

We shall verify that with these connectives \( C \) is a BI algebra.

**Proposition 5.6 (\text{wand_intro_r, wand_elim_l'}).** There is an adjunction between \( \ast \) and \( \rightarrow \):
\[
X \ast Y \subseteq Z \iff X \subseteq Y \rightarrow Z.
\]

**Proof.** We reason as follows.
\[
\begin{align*}
X \ast Y \subseteq Z & \quad \text{(def. of \( \ast \))} \\
\iff \text{cl}(X \ast Y) \subseteq Z & \quad \text{(\( Z \) is closed)} \\
\iff X \cdot Y \subseteq Z & \quad \text{(adjunction)} \\
\iff X \subseteq Y \rightarrow Z & \quad \text{(def. of \( \rightarrow \))} \\
\iff X \subseteq \text{cl}(Y \rightarrow Z) & \quad \text{(monotonicity of \( \cdot \))} \\
\iff X \subseteq \text{cl}(Y \rightarrow Z) & \quad \text{(strength of \( \text{cl}(\neg) \))} \\
\iff X \cdot Y \subseteq \text{cl}(Y \rightarrow Z) \cdot Y & \quad \text{(strength of \( \text{cl}(\neg) \))} \\
\iff X \cdot Y \subseteq \text{cl}(X) & \quad \text{(def. of \( \text{cl}(\neg) \))} \\
\iff X \cdot Y \subseteq \text{cl}(X) & \quad \text{Cl(Z)} \\
\iff \text{cl}(X \cdot Y) \subseteq Z.
\end{align*}
\]

On the other hand,
\[
\begin{align*}
\text{cl}(X \cdot Y) \subseteq Z & \quad \text{(def. of \( \text{cl}(\neg) \))} \\
\iff \text{cl}(X \rightarrow Y) \subseteq Z & \quad \text{(strength of \( \text{cl}(\neg) \))} \\
\iff \text{cl}(X \rightarrow Y) \cdot X \subseteq \text{cl}(X) & \quad \text{(def. of \( \ast \))} \\
\iff \text{cl}(X \rightarrow Y) \cdot X \subseteq \text{cl}(X) & \quad \text{(def. of \( \text{cl}(\neg) \))} \\
\iff \text{cl}(X \rightarrow Y) \cdot X \subseteq \text{cl}(X).
\end{align*}
\]

We reason similarly for associativity of \( \ast \).

### A remark on (im)predicativity
In practice, we want to start with some collection \( B \subseteq \varphi(M) \) of sets, and generate \( C \) freely from arbitrary intersections of elements of \( B \) (think of generating a topology from a closed basis). Then \( C \) is a Moore collection and the associated closure operator can be given as \( \text{cl}(X) = \bigcap \{Y \in C | X \subseteq Y\} \). Unfortunately, this definition is impredicative (we define an element of \( C \) by quantifying over elements of \( C \)), which, when formalized in type theory, increases the universe level.

That means that we cannot use the closure operator to define the set \( C \); i.e. the set \( \{X | X = \text{cl}(X)\} \) will have a higher universe level than \( C \). To circumvent this, we can instead define the closure operator equivalently by quantifying not over all the closed sets, but only over the basic closed sets: \( \text{cl}(X) = \bigcap \{Y \in B | X \subseteq Y\} \). Then we can define \( C \) to be the set of elements satisfying \( X = \text{cl}(X) \).
6 Cut-elimination via a Syntactic Model

In this section we construct a special BI algebra $C \subseteq \wp(Bunch)$ that has the following property: if $[\varphi] \leq [\psi]$ holds in $C$, then $\varphi \vdash_{cf} \psi$. By composing this with the soundness theorem, we will obtain the cut-elimination result.

6.1 Principal Closed Sets

We are going to construct $C$ as a particular Moore collection on $\wp(Bunch)$. To define when a predicate $X$ is closed (e.g. when $X \in C$), we start with principal closed elements, and generate $C$ as families of intersections of principal closed sets.

**Definition 6.1.** A principal closed set is a set of the form:

$$\langle \varphi \rangle = \{ \Delta | \Delta \vdash_{cf} \varphi \}$$

for a formula $\varphi$.

We can then generate closed sets by closing the collection of principal closed sets under arbitrary intersections:

$$\text{cl}(X) \doteq \bigcap \{ \langle \varphi \rangle | X \subseteq \langle \varphi \rangle \} = \bigcap \{ \langle \varphi \rangle | \forall \Delta \in X. \Delta \vdash_{cf} \varphi \}.$$  
We then define the collection $C$ of closed sets as

$$C \doteq \{ X | X = \text{cl}(X) \}.$$  

Then every element of $C$ can be written as some intersection $\bigcap_{i \in I} \langle \varphi_i \rangle$.

Let us briefly describe some useful properties of closed sets:

**Proposition 6.2 (C_inhabited, C_weaken, C_contract, and C_collapse).** Let $X$ be a closed set. Then the following holds:

1. False $\in X$;
2. $\Delta \in X \implies (\Delta; \Delta') \in X$;
3. $(\Delta; \Delta') \in X \implies \Delta \in X$;
4. $\Delta \in X \iff (\Delta)^* \in X$.

**Proof:** For the first point, observe that False $\vdash_{cf} \varphi$, so False $\in \langle \varphi \rangle$ for any formula $\varphi$.

For the second point, let $X$ be $\bigcap_{i \in I} \langle \varphi_i \rangle$. Then, $\Delta \in X \iff \forall i \in I. \Delta \vdash_{cf} \varphi_i$. If $\Delta \in X$, then, using weakening:

$$\frac{\Delta \vdash_{cf} \varphi_i}{\Delta; \Delta' \vdash_{cf} \varphi_i}$$

for any $i \in I$. Hence, $\Delta; \Delta' \in X$.

Similarly for the other two cases, using contraction, and the left rules, and Corollary 3.5. \qed

As an example of a calculation in $C$, we show the following characterization of meets.

**Proposition 6.3 (C_and_eq).** The following holds in $C$:

$$X \land Y = \text{cl}(\{ \Delta; \Delta' | \Delta \in X, \Delta' \in Y \})$$

**Proof:** For the inclusion from left to right: suppose that $\Delta \in X \cap Y$. Then, 

$$(\Delta; \Delta) \in \{ \Delta; \Delta' | \Delta \in X, \Delta' \in Y \} \leq \text{cl}(\{ \Delta; \Delta' | \Delta \in X, \Delta' \in Y \}).$$

From Proposition 6.2 we get

$$\Delta \in \text{cl}(\{ \Delta; \Delta' | \Delta \in X, \Delta' \in Y \}).$$

For the inclusion from right to left: it suffices to show:

$$\{ \Delta; \Delta' | \Delta \in X, \Delta' \in Y \} \subseteq X \cap Y.$$

If $\Delta \in X$ and $\Delta' \in Y$, then $\Delta; \Delta' \in X \cap Y$ by Proposition 6.2. \qed

6.2 BI Structure

In order to apply Theorem 5.8 and obtain a BI algebra structure on $C$, we have to ensure that the Heyting implication of closed sets is closed, and that $X \rightarrow Y \in C$ whenever $Y \in C$.

For the following lemma we will use the fact that the $\rightarrow_R$ is invertible and Corollary 3.5.

**Lemma 6.4 (wand_is_closed).** If $Y$ is closed, then so is $X \rightarrow Y$; furthermore, it can be described as:

$$X \rightarrow Y = \{ \Delta | \forall \Delta' \in X. (\Delta; \Delta') \in Y \}.$$  

**Proof:** It is straightforward to check that $X \rightarrow Y$ defined as above is indeed a right adjoint to the $\rightarrow$ operation. Thus, it remains to show that $X \rightarrow Y$ is closed.

Since $Y$ is closed, it can be written as an intersection of some family of principal closed sets: $Y = \bigcap_{j \in J} \langle \varphi_j \rangle$. Then, we claim,

$$X \rightarrow Y = \bigcap_{(\Delta; j) \in X \times J} ((\Delta')^* \rightarrow \varphi_j).$$

**Direction from left to right:** suppose that $\Delta \in X \rightarrow Y$, and let $(\Delta; j) \in X \times J$. We are to show $\Delta \vdash_{cf} (\Delta')^* \rightarrow \varphi_j$. We argue as follows:

$$\frac{\Delta, \Delta' \vdash_{cf} \varphi_j}{\Delta, (\Delta')^* \vdash_{cf} \varphi_j} \frac{\Delta \vdash_{cf} (\Delta')^* \rightarrow \varphi_j}{\Delta \vdash_{cf} \varphi_j}$$

**Direction from right to left:** suppose that

$$\Delta \in \bigcap_{(\Delta'; j) \in X \times J} ((\Delta')^* \rightarrow \varphi_j),$$

and let $\Delta' \in X$. We are to show $\Delta, \Delta' \vdash_{cf} \varphi_j$ for any $j \in J$. By the assumption we have

$$\Delta, \Delta' \vdash_{cf} (\Delta')^* \rightarrow \varphi_j.$$

We then reason similarly as in the previous direction, but using inversions Lemma 3.2 and corollary 3.5:

$$\frac{\Delta, (\Delta')^* \vdash_{cf} \varphi_j}{\Delta, \Delta' \vdash_{cf} \varphi_j} \frac{\Delta, \Delta' \vdash_{cf} \varphi_j}{\Delta, \Delta' \vdash_{cf} \varphi_j} \quad \square$$
We can give a similar characterization of the Heyting implication in $C$:

**Proposition 6.5** (has Heyting impl). For every closed $X, Y$, the Heyting implication is closed and can be described as:

$$X \rightarrow Y = \{\Delta \mid \forall \Delta' \in X, (\Delta ; \Delta') \in Y\}.$$

*Proof:* Using Proposition 6.3, it is straightforward to check that $X \rightarrow Y$ as defined above is a right adjoint to the meet operation $\cap$. The proof of closedness follows the proof of Lemma 6.4.

To sum up, by Theorem 5.8 we have a BI algebra $C$ in which operations are defined as follows:

$$\begin{align*}
\text{Emp} &= \text{cl}(\emptyset) \\
\top &= \text{cl}(\emptyset) \\
X \vee Y &= \text{cl}(X \cup Y) \\
X \wedge Y &= \text{cl}(\{\Delta \cap \Delta' \mid \Delta \in X, \Delta' \in Y\}) \\
X \rightarrow Y &= \{\Delta \mid \forall \Delta' \in X. (\Delta ; \Delta') \in Y\} \\
X \rightarrow Y &= \{\Delta \mid \forall \Delta' \in X. (\Delta ; \Delta') \in Y\}
\end{align*}$$

6.3 Fundamental Property of $C$

We can interpret formulas in the model $C$ by picking the interpretation of atomic propositions to be $[a] = \{a\}$. Now we are ready to prove the main theorem: if $[\varphi] \subseteq [\psi]$, then $\varphi \rhd \psi$. To obtain this, we prove the following property, due to Okada [33].

**Lemma 6.6** (okada property). For any formula $\varphi$,

$$\varphi \in [\varphi] \subseteq [\psi]$$

(where the leftmost instance of $\varphi$ is a bunch consisting of a single leaf with the formula $\varphi$).

*Proof:* By induction on $\varphi$.

- **Case $\varphi = \text{False}$**. We have $[[\text{False}]] = \text{cl}(\emptyset)$. Clearly, $\text{cl}(\emptyset) \subseteq [\varphi]$, because $[\varphi]$ is closed and $\emptyset \subseteq [\varphi]$. By Proposition 6.2 we have False $\rhd [\text{False}]$.

- **Case $\varphi = \text{True}$**. We have $[[\text{True}]] = \text{Bunch} = \{\text{True}\}$.

- **Case $\varphi = \text{Emp}$**. In order to show $[[\text{Emp}]] = \text{cl}(\emptyset)$, it suffices to show $\emptyset \subseteq [\text{Emp}]$, by the characterization of the closure operation. That inclusion holds because $\emptyset \rhd \text{Emp}$. In order to show Emp $\rhd \text{Emp}$, it suffices to show $\text{Emp} \subseteq \text{cl}(\emptyset)$ by Proposition 6.2, which holds trivially.

- **Case $\varphi = \psi_1 \wedge \psi_2$**. In order to show the set inclusion $[\psi_1 \wedge \psi_2] = \text{cl}(\{\psi_1 \wedge \psi_2\}) \subseteq \langle \psi_1 \wedge \psi_2 \rangle$, it suffices to show $\langle \psi_1 \wedge \psi_2 \rangle \subseteq [\psi_1 \wedge \psi_2]$, by the characterization of the closure operator. If $(\Delta_1, \Delta_2) \in [\psi_1] \bullet [\psi_2]$, then, by the induction hypothesis $\Delta_1 \rhd \psi_1$, and we can reason as follows:

$$\begin{align*}
\Delta_1 \rhd \psi_1 & \Rightarrow \Delta_1 \rhd \psi_2 \\
\Delta_1 \rhd \psi_1 \bullet \psi_2 & \Rightarrow \Delta_1 \rhd \psi_1 \bullet \psi_2
\end{align*}$$

Hence, $(\Delta_1, \Delta_2) \in [\psi_1 \wedge \psi_2]$. As for the element inclusion $\psi_1 \wedge \psi_2 \in \text{cl}(\{\psi_1 \wedge \psi_2\})$, note that by Proposition 6.2 it suffices to show $[\psi_1, \psi_2] \in \text{cl}(\{\psi_1, \psi_2\})$, which is evident from the induction hypotheses.

**Case $\varphi = \psi_1 \vee \psi_2$**. In order to show the set inclusion $\psi_1 \vee \psi_2 \in [\psi_1 \vee \psi_2]$, we argue as follows. By the induction hypothesis, $\psi_1 \in [\psi_1]$. By Proposition 6.2 (item 1), $(\psi_1, \psi_2) \in [\psi_1]$, and by Proposition 6.2 (item 3), $\psi_1 \wedge \psi_2 \in [\psi_1]$. Similarly we can show $\psi_1 \wedge \psi_2 \in [\psi_2]$. We are to show $\Delta \rhd \psi_1 \vee \psi_2$. By the induction hypothesis, $\psi_1 \in [\psi_1]$; hence

$$\Delta \rhd [\psi_1] \subseteq [\psi_2].$$

We can then reason using the right rule for $\rightarrow$:

$$\begin{align*}
\Delta \rhd \psi_1 \vee \psi_2 & \Rightarrow \Delta \rhd \psi_1 \vee \psi_2 \\
\Delta \rhd \psi_1 \rhd \psi_2 & \Rightarrow \Delta \rhd \psi_1 \rhd \psi_2
\end{align*}$$

In order to show $\psi_1 \rightarrow \psi_2 \in [\psi_1] \rightarrow [\psi_2]$, suppose that $\Delta \in [\psi_1] \rightarrow [\psi_2]$. We are to show $\Delta \rhd \psi_1 \rightarrow \psi_2$. By the induction hypothesis, $\psi_1 \in [\psi_1]$; hence

$$\Delta \rhd \psi_1 \rightarrow \psi_2 \rhd \psi_i$$

for any $i \in I$. We argue as follows, using the left rule for $\rightarrow$:

$$\begin{align*}
\Delta \rhd \psi_1 \rightarrow \psi_2 \rhd \psi_i & \Rightarrow \Delta \rhd \psi_1 \rightarrow \psi_2 \rhd \psi_i \\
\Delta \rhd \psi_1 \rhd \psi_i & \Rightarrow \Delta \rhd \psi_1 \rhd \psi_i
\end{align*}$$

where the first assumption holds because $\Delta \in [\psi_1] \subseteq [\psi_1]$ and the second assumption holds because $\psi_2 \in [\psi_2]$. Similarly to the case $\varphi = \psi_1 \rightarrow \psi_2$, using the characterization of the Huyting implication in $C$.

**Case $\varphi = \psi_1 \vee \psi_2$**. In order to show $[\psi_1 \vee \psi_2] = [\psi_1] \vee [\psi_2] \subseteq [\psi_1 \vee \psi_2]$ and $[\psi_1 \vee \psi_2] \subseteq [\psi_1 \vee \psi_2]$. To show that $[\psi_1] \subseteq [\psi_1 \vee \psi_2]$, it suffices to show $[\psi_i] \subseteq [\psi_1 \vee \psi_2]$, for $i = 1, 2$. We show that using the right rules for disjunction.

To show $\psi_1 \vee \psi_2 \in [\psi_1 \vee \psi_2] = [\psi_1] \vee [\psi_2]$, we appeal to the definition of cl($\Sigma$): Let $\varphi$ be a formula such that $[\psi_i] \subseteq [\psi_i]$, $i = 1, 2$. We are to show $\psi_1 \vee \psi_2 \in [\varphi]$, i.e. $\psi_1 \vee \psi_2 \rhd \psi_i$. By assumption we have $\psi_i \in [\psi_i]$, for $i = 1, 2$, and hence
\[ \psi_1 \in \langle \varphi \rangle \text{, or equivalently, } \psi_1 \vdash_{cf} \varphi. \] We obtain the desired result using \( \forall L \).

**Theorem 6.7 (C_interp_cf).** If \( \llbracket (\Delta)^* \rrbracket \leq \llbracket \varphi \rrbracket \) holds in \( C \), then \( \Delta \vdash_{cf} \varphi \).

**Proof.** By Lemma 6.6, we have \( (\Delta)^* \in \llbracket (\Delta)^\ast \rrbracket \), and hence \( (\Delta)^* \in \llbracket \varphi \rrbracket \). By Proposition 6.2 we have furthermore have \( \Delta \in \llbracket \varphi \rrbracket \) which is equivalent to \( \Delta \vdash_{cf} \varphi \).

As a consequence, we get the cut admissibility:

**Theorem 6.8 (cut).** The cut rule is admissible in the cut-free fragment \( \vdash_{cf} \) of \( BI \).

**Proof.** Suppose \( \Delta \vdash_{cf} \psi \) and \( \Gamma(\psi) \vdash_{cf} \varphi \). We are to show that \( \Gamma(\Delta) \vdash_{cf} \varphi \). By Theorem 6.7 it suffices to show that \( \llbracket (\Gamma(\Delta))^\ast \rrbracket \leq \llbracket \varphi \rrbracket \) holds in \( C \).

From soundness we have that \( \llbracket (\Delta)^\ast \rrbracket \leq \llbracket \psi \rrbracket \). By induction on \( \Gamma \) we can show that \( \llbracket (\Gamma(\Delta))^\ast \rrbracket \leq \llbracket (\Gamma(\psi))^\ast \rrbracket \), from which we obtain \( \llbracket (\Gamma(\Delta))^\ast \rrbracket \leq \llbracket (\Gamma(\psi))^\ast \rrbracket \leq \llbracket \varphi \rrbracket \).

**Overview.** In the next sections we will be looking at adjusting the construction of \( C \) to extensions of \( BI \). At this point we would like to give an overview of the argument, and see what kind of conditions we need.

- To show that the closure operator \( cl(\_\_) \) is strong, we had to use invertibility of certain rules. Firstly, we used the fact that \( BI \) satisfies a strong form of the deduction theorem for both implications (the rules \( \to_R \) and \( \to_R \) are invertible). Secondly, we used the fact that the left rules are invertible for connectives that form bunches (\( \text{EmpL}, \text{TrueL}, \land L, \lor L \)).
- Additionally, we need to verify that all the rules of sequent calculus are validated in \( C \).
- Finally, we need to show that Okada’s property (Lemma 6.6) holds in \( C \).

This list gives us a sort of roadmap for extending the cut elimination argument. For every rule that we want to add to \( BI \), we need to re-verify the invertibility of certain rules, and that the rule is validated in \( C \). If we want to add a new connective to the system, we need to additionally come up with the interpretation of this connective on \( C \), and re-verify Okada’s property.

### 7 Extending the Logic: Simple Structural Rules

An important extension of \( BI \) is **affine \( BI \)**, which extends the sequent calculus of Figure 1 with the weakening rule for \( \land \):

\[
\begin{align*}
W_{\land} & \quad \Delta(\Delta_1) \vdash \varphi \\
& \quad \Delta(\Delta_1 \land \Delta_2) \vdash \varphi
\end{align*}
\]

An algebraic structure for interpreting affine \( BI \) is a \( BI \) algebra in which the following inequality holds: \( p \ast q \leq p \). Can we extend the argument presented so far to cover affine \( BI \)? As we discussed at the end of the previous section, because we are adding a new rule, we have to make sure that the analogues of Lemma 3.2 and Lemma 3.4 still hold (the appropriate rules are invertible), and that \( C \) validates the inequality \( X \ast Y \subseteq X \).

To verify that \( X \ast Y \subseteq X \) it suffices to verify that \( X \ast Y \subseteq X \), since \( X \) is closed. Let us write \( X = \bigcap_{i \in \mathbb{N}} \langle \varphi_i \rangle \). Suppose that \( \Delta_1 \in X, \Delta_2 \in Y \). We are to show that \( \Delta_1 \land \Delta_2 \vdash_{cf} \varphi_i \) for any \( i \); however we know that \( \Delta_1 \vdash_{cf} \varphi_i \) by the assumption, and the desired result follows by \( W_{\land} \).

This kind of argument for \( W_{\land} \) can be generalized to infinitely many structural rules of a particular shape, which we call, following [18], simple structural rules. In the remainder of this section we show how to define such simple structural rules, and we prove cut elimination for \( BI \) extended with any combination of such rules.

#### 7.1 Simple Structural Rules and Bunched Terms

Simple structural rules are rules of the shape

\[
\Pi(T_1[\Delta_1, \ldots, \Delta_n]) \vdash \varphi \quad \ldots \quad \Pi(T_m[\Delta_1, \ldots, \Delta_n]) \vdash \varphi
\]

\[
\Pi(T[\Delta_1, \ldots, \Delta_n]) \vdash \varphi
\]

where \( T_1, \ldots, T_m, T \) are **bunched terms** – bunches built out of connectives \( \land, \lor \), and variables \( x_1, \ldots, x_n \). The notation \( T_i[\Delta] \) stands for the bunch obtained from \( T_i \) by replacing all the variables \( x_j \) with \( \Delta_j \). Furthermore, in the rule above we require that \( T \) is a **linear** bunched term – a term in which every variable \( x_j \) occurs at most once.

We identify a structural rule with a tuple \( (\{T_1, \ldots, T_m\}, T) \). The rule \( W_{\land} \) above is represented with a tuple \( (\{x_1\}, x_1, x_2) \). If \( L \) is a set of such tuples, we write \( BI_{L} \) for a sequent calculus of \( BI \) extended with the structural rules from \( L \).

For the rest of this section, we fix a finite collection \( L \) of simple structural rules and the extended system \( BI_{L} \). We write \( \vdash_{L} \) for cut-free provability in \( BI_{L} \), and we denote by \( C_{L} \) the \( BI \) algebra constructed in Section 6, but for \( BI_{L} \)-provability.

Firstly, we need to check that the construction of \( C \) works out. We need to verify that the rules \( \to_{L}, \land L, \lor L, \land L, \lor L \), \( \text{TrueL} \), \( \text{EmpL} \) are still invertible, in presence of the additional rules from \( L \). For that, we just follow the proof of Lemma 3.4.

#### 7.2 Interpretation of Simple Structural Rules in \( C \)

Additionally, we need to verify that \( C \) validates all the rules from \( L \).

Each bunched term \( T[x_1, \ldots, x_n] \) can be interpreted as a function \( \llbracket T \rrbracket : A^n \to A \) on any \( BI \) algebra \( A \). For example, a (non-linear) bunched term \( (x_1 \land x_2) \) gives rise to a mapping \( (X_1, X_2) \mapsto (X_1 \ast X_2) \land X_1 \).
In order to interpret a simple structural rule given by a tuple $(\{T_1, \ldots, T_m\}, T)$ in a BI algebra $A$, we require that the following inequality holds in $A$ for any $a_1, \ldots, a_n \in A$:

$$\lceil T \rceil (a_1, \ldots, a_n) \leq \lceil T_1 \rceil (a_1, \ldots, a_n) \lor \cdots \lor \lceil T_m \rceil (a_1, \ldots, a_n).$$

In this case, we say that $A$ validates the simple structural rule. For example, recall that the weakening rule $\text{W}_s$ for $\otimes$ is represented as $\langle (x_1, x_1, x_2) \rangle$. Then the associated inequality is:

$$[x_1, x_2]((p, q) \leq [x_1]((p, q) \iff p \times q \leq p.$$

**Lemma 7.1 (seq_interp_sound).** If a BI algebra $A$ validates the rules in $L$, then $\Delta \vdash \phi$ implies $\lceil \Delta \rceil \leq \lceil \phi \rceil$ in $A$.

*Proof.* For the case of a simple structural rule $\langle \{T_1, \ldots, T_m\}, T \rangle$, we assume that $\lceil T_i \rceil (\bar{a}) \leq \lceil \phi \rceil$ holds for any $1 \leq i \leq m$. Then, $\bigvee_{1 \leq i \leq m} \lceil T_i \rceil (\bar{a}) \leq \lceil \phi \rceil$.

Since the rule is validated in $A$ we have

$$\lceil T \rceil (\bar{a}) \leq \bigvee_{1 \leq i \leq m} \lceil T_i \rceil (\bar{a}) \leq \lceil \phi \rceil.$$

$\square$

In order to show that $\mathcal{C}$ validates all the rules from $L$, we need the following lemmas about $\lceil T \rceil$. For the algebra $\mathcal{C}$ we have the following description:

**Lemma 7.2 (bterm_C_refl).** Let $X_1, \ldots, X_n \in C$, and $\Delta_i \in X_i$ for $1 \leq i \leq n$. Then for any bunching term $T$,

$$T[\Delta_i] \in \lceil T \rceil (\bar{X}).$$

*Proof.* By induction on $T$. $\square$

**Lemma 7.3 (blinterm_C_desc').** For any $X_1, \ldots, X_n \in C$ and any linear bunching term $T$ we have

$$\lceil T \rceil (X_1, \ldots, X_n) = \lceil \{ T[\Delta_1, \ldots, \Delta_n] \mid \Delta_i \in X_i, 1 \leq i \leq n \} \rceil.$$

*Proof.* In view of Lemma 7.2 it suffices to show that the left-hand side is included in the right-hand side. This is done by induction on $T$. We show only the case for $\otimes$, as the other case is similar. If $T(\bar{x}) = F(\bar{y}), U(\bar{z})$, then, since $T$ is linear, we can write it down as

$$T(\bar{y} \bar{z}) = F(\bar{y}), U(\bar{z})$$

for some factorization $\bar{y} \bar{z} = \bar{x}$, and for some linear terms $F$ and $U$. By the induction hypothesis we have

$$\lceil T \rceil (\bar{y} \bar{z}) = \lceil \{ F(\bar{Y}) \mid \bar{F} \in \bar{Y} \} \rceil \bullet \lceil \{ U(\bar{Z}) \mid \bar{F} \in \bar{Z} \} \rceil.$$

In order to show the inclusion into $\lceil \{ F(\bar{Y}) \mid \bar{F} \in \bar{Y} \} \rceil \bullet \lceil \{ U(\bar{Z}) \mid \bar{F} \in \bar{Z} \} \rceil$ it suffices to show

$$\{ F(\bar{Y}) \mid \bar{F} \in \bar{Y} \} \bullet \{ U(\bar{Z}) \mid \bar{F} \in \bar{Z} \} \subseteq \{ T[\Delta] \mid \Delta \in \bar{Y} \bar{Z} \}.$$

Let $\bar{F} \in \bar{Y}$ and $\bar{\Theta} \in \bar{Z}$. Then, $\bar{F} \bar{\Theta} \in \bar{X}$, and, hence $F(\bar{F}), U(\bar{\Theta}) = T[\bar{F} \bar{\Theta}]$, which concludes the proof the inclusion. $\square$

With the two lemmas at hand we can prove that $\mathcal{C}$ is a model of BI+L.

**Lemma 7.4 (C_extensions).** Every rule from the set $L$ is validated in $\mathcal{C}$.

*Proof.* Suppose that $\langle \{ T_1, \ldots, T_m \}, T \rangle$ is a simple structural rule from $L$. We have to show $\lceil T \rceil (\bar{X}) \subseteq \lceil \bigcup_{1 \leq i \leq m} [T_i] (\bar{X}) \rceil$.

By Lemma 7.3, it suffices to show

$$\{ T[\Delta_1, \ldots, \Delta_n] \mid \Delta \in \bar{X} \} \subseteq \lceil \bigcup_{1 \leq i \leq m} [T_i] (\bar{X}) \rceil$$

where $\Delta \in \bar{X}$ is a shorthand for $\Delta_i \in X_i$ for all $1 \leq i \leq n$.

Suppose that $\phi$ is such that $\bigcup_{1 \leq i \leq m} [T_i] (\bar{X}) \subseteq \lceil \phi \rceil$. We are to show that $\lceil \Delta \rceil \vdash \phi$, for any $\Delta \in \bar{X}$. By Lemma 7.2, we have $T_i[\Delta] \in \lceil T_i \rceil (\bar{X}) \subseteq \lceil \phi \rceil$. So we get $T_i[\Delta] \vdash \phi$, from which we can conclude that $\lceil \Delta \rceil \vdash \phi$. $\square$

**Theorem 7.5 (cut).** The cut rule is admissible in the cut-free fragment $\vdash_{cf}$ of BI+L.

### 8 Extending the Logic: an S4 Modality

In this section we look at a different kind of extension to BI, the one obtained by “freely” adding an (intuitionistic) S4-like modality. This amounts to adding the following rules (usual for intuitionistic formulation of S4 sequent calculus $[\alpha]$):

$$\begin{array}{c}
\square R \\
\square A \vdash X \\
\square L \\
\Delta(A) \vdash B \\
\square \Delta \vdash \square A \\
\Delta(\square A) \vdash B
\end{array}$$

where $\square \Delta$ is the same as $\Delta$, but with boxes $\square$ put in front of all the formulas, e.g.

$$\square(\square \phi ; \psi ; \chi) = \phi_{\square \square} ; (\square \psi ; \chi) ; \square \chi.$$  

We denote the extended system (the sequent calculus from Figure 1 with the rules $\square R$, $\square L$ above) as BIS4. We can verify that the relevant rules are still invertible (a version of Lemma 3.4 and Lemma 3.2 for BIS4).

**Interpreting the modality.** As per the roadmap at the end of Section 6 we need to interpret the modality $\square$ on $\mathcal{C}$ somehow. The usual way of interpreting a $\square$ modality in intuitionistic setting is with an interior operator (c.f. the notion of a CS4 algebra $[1, \text{Definition 3}]$).

**Definition 8.1.** A BIS4 algebra is a tuple $(\mathcal{B}, \square)$ where $\mathcal{B}$ is a BI algebra and $\square : \mathcal{B} \rightarrow \mathcal{B}$ is a monotone function satisfying:

1. $\square p \leq p$;
2. $\square p \leq \square \square p$;
3. $T = \square T$;
4. $\square \square \text{Emp} = \square \text{Emp}$;
5. $\square p \land \square q \leq \square (p \land q)$;
6. $\square p \land \square q \leq \square (p \land q)$.

We define the interior operator $\square$ on $\mathcal{C}$ as:

$$\square \chi = \square \chi,$$

In order to show that $\mathcal{C}$ satisfies the conditions from Definition 8.1, we will use the following lemmas.
Lemma 8.2 (box_1_inv). The following rule is admissible:

\[ \Gamma(\Box \Delta) \vdash \varphi \]

\[
\begin{align*}
\Gamma &\vdash \varphi \\
\Box &\vdash \Delta
\end{align*}
\]

Proof. By induction on the height of the derivation, similar to the proof of Lemma 3.4.

Lemma 8.3 (C_necessitate, C_bunch_box_idemp). Let \( X \) be a closed set.

- If \( \Delta \in X \), then \( \Box \Delta \in X \).
- If \( \Box \Delta \in X \), then \( \Box \Delta \in X \).

Proof. By examining the definitions of \( \Box \) and \( \text{cl}(\cdot) \), using Lemma 8.2 for the second item.

Lemma 8.4 (C_alg_box). \((C, \Box)\) is a BIS4 algebra.

Proof. The conditions (1) and (2) follow from Lemma 8.3. The conditions (3) and (4) can be shown by examining the definitions of all the connectives involved.

The condition (6) can be shown as follows. To show \( \Box X \ast \Box Y \subseteq \Box(X \ast Y) \), we reason as follows:

\[
\begin{align*}
\Box X \ast \Box Y &\subseteq \text{cl}(\Box X \ast \Box Y) \\
&\subseteq \text{cl}(\text{cl}(\Box X \ast \Box Y)) \\
&\subseteq \text{cl}(\Box X \ast \Box Y)
\end{align*}
\]

To show that

\[
\text{cl}(\Box X \ast \Box Y) \subseteq \Box(X \ast Y)
\]

it suffices to show that

\[
\text{cl}(\Box X \ast \Box Y) \subseteq \Box(X \ast Y).
\]

And, since

\[
\text{cl}(\Box X \ast \Box Y) \subseteq \text{cl}(\text{cl}(\Box X \ast \Box Y))
\]

it suffices to show

\[
\text{cl}(\Box X \ast \Box Y) \subseteq \text{cl}(\text{cl}(\Box X \ast \Box Y)),
\]

which includes the (upper bound on the) height of the derivation. Our reasoning behind this definition is that if we were to define a proof height function and do induction on its value, we would have to formulate our goal (and the proof) in a rather unwieldy way: we would have to package together the context, the formula, and the derivation into a \( \Sigma \)-type: \( \Sigma(\Lambda : \text{bunch})(\varphi : \text{formula}), \text{proves } \Delta \varphi \).
Lemma 3.4

\[ \Delta \rightsquigarrow \langle \neg \mid \Lambda \rangle \]

\[ \frac{\Delta_1 \rightsquigarrow \langle \Pi \mid \Lambda \rangle}{\Delta_1 \Delta_2 \rightsquigarrow \langle \Pi \mid \Lambda \rangle} \]

\[ \frac{\Delta_2 \rightsquigarrow \langle \Pi \mid \Lambda \rangle}{\Delta_1 \Delta_2 \rightsquigarrow \langle \Pi \mid \Lambda \rangle} \]

\[ \Delta_1 \rightsquigarrow \langle \Pi \mid \Lambda \rangle \]

\[ \frac{\Delta_1 \Delta_2 \rightsquigarrow \langle \Pi \mid \Lambda \rangle}{\Delta_1 \Delta_2 \rightsquigarrow \langle \Pi \mid \Lambda \rangle} \]

Figure 2. Inductive rules for decomposition of bunches.

Similarly, in order to prove the invertibility of relevant rules for the extension of BI with a set of simple structural rules (as in Section 7), we additionally make use of the following auxiliary lemma:

Lemma 9.4 (bterm_ctx_act_decomp). If \( T \) is a linear bunch term with variables \( x_1, \ldots, x_n \), and \( T[\Lambda] = \Pi(\varphi) \) for some bunched context \( \Pi \), then there is a variable \( x_j \) occurring in \( T \), and a context \( \Pi' \) such that

- \( \Delta_j = \Pi'(\varphi) \);
- for any bunch \( \Gamma \),
  \[ T[\Lambda_1, \ldots, \Lambda_{j-1}, \Pi'(\Gamma), \Lambda_{j+1}, \ldots, \Lambda_n] = \Pi(\Gamma) \].

In order to prove the invertibility of relevant rules for BIS4 (Section 8), including Lemma 8.2 we make use of the following auxiliary lemma:

Lemma 9.5 (bunch_decomp_box). If \( \square\Lambda = \Pi(\square\varphi) \), then there is a bunched context \( \Pi' \) such that

- \( \Delta = \Pi'(\varphi) \);
- for any bunch \( \Gamma, \square\Pi'(\Gamma) = \Pi(\square\Gamma) \).

10 Related Work

There has been a long line of work on formalizing cut elimination and other meta-theoretical properties of logics in proof assistants. Here, we mention a few recent ones. Pfenning [36] formalized cut elimination for intuitionistic and classical propositional logic in Elf, using only structural induction and avoiding termination measures. Chaudhuri, Lima, and Reis [11] have formalized cut elimination for various fragments of linear logic in Abella. Xavier, Olarte, Reis, and Nigam [43] have formalized cut elimination and completeness of focusing for first-order linear logic in Coq, along with some other meta-theoretical properties. In [14], Dawson and Goré describe their framework for formalizing sequent calculus with explicit structural rules in Isabelle/HOL. They apply their framework for the provability logic GL and formalize the cut elimination argument for it. Their framework was later ported Coq [13] and used to formalize cut elimination for a modal logic Kt. Another proof of cut elimination for GL was formalized in Coq [23]; the authors noticed during the formalization process that the proof can be simplified in several parts.

Tews [42] used Coq to formalize Pattinson’s and Schröder’s proof [35] of cut elimination for coalgebraic modal logics. During his formalization effort, Tews has uncovered a number of fixable gaps in the proof.

The formalized proofs mentioned above are syntactic. A formalized semantic proof of cut elimination for the (\( \forall \), \( \rightarrow \), \( \bot \)) fragment of intuitionistic FOL was given by Herbelin and Lee [24], using Kripke models. The only similar formalization.
that we are aware is the formalization by Larchey-Wendling [29] of the Okada’s semantic proof of cut elimination for linear logic [33, 34]. A similar formalization of cut elimination for implicational relevance logic was used by the author used part of a larger formalization [28]. In personal communication Larchey-Wendling mentioned that he has adapted the aforementioned phase semantics proof to the logic of Bunched Implications, but was not completely satisfied with it.

After Okada’s proof, related methods for proving cut elimination were discussed for various logics. For example, Belardinelli, Jipsen, and Ono [4] use intermediate structures (Gentzen structures) to interpret sequent calculi and prove cut elimination for various substructural variants of the Lambek calculus. This method was generalized to handle non-associative logics (i.e. without the exchange rule) [20]. Cia-battoni, Galatos, and Terui [12] prove semantic cut elimination for a wide range of hypersequent calculi for nonclassical logics.

Galatos and Jipsen [18] introduced the framework of residuated frames which they use to prove cut elimination (and other related properties) for many extensions of Lambek calculus with arbitrary structural rules. The authors later extended their framework [19] to cover extensions of distributive Lambek calculus and BI.

Our proof can be seen as a simplification of the Galatos and Jipsen’s method. Instead of making heavy use of the residuated frames, our proof goes directly through algebraic semantics. While this is a less general framework, it still allows us to extend the proof to cover, e.g. modal extensions of BI, which were not covered by the residuated frames framework. We conjecture that the algebra we construct in Section 6 is isomorphic to the Galois algebra constructed in [19, Section 4].

11 Conclusion and Future Work

In this paper we have presented a fully formalized semantic-based proof of cut elimination for the logic of bunched implications. We show that this proof can be extended to cover various extensions of BI, and demonstrated which parts of the proof have to be modified, and which remain unchanged.

As for future work, we see several ways of going forward. Firstly, we can look at extensions of BI. For example, we can probably extend the construction presented here to cover first-order/predicate BI. The algebra $C$ is already complete (has all the meets and joins), so it is suitable for interpreting quantifiers. Unfortunately, formalizing this would require dealing with variable binders, which we decided to forgo in this paper. It would also be natural to look at extensions such as GBI [19], extensions of BI with various modalities that are used in separation logic [7, 16], or the recently proposed polarized sequent calculus for BI [21].

Secondly, it would be interesting to go from logic to type theory. The algebra $C$ is a subalgebra of predicates $\text{Bunch} \rightarrow \text{Prop}$, where $\text{Prop}$ is the type of propositions. One can imagine it is possible to consider instead preeshaves $\text{Bunch} \rightarrow \text{Set}$, and look for a categorification of $C$ – a reflexive subcategory of the category of presheaves, which is universal for cut-free provability. That might give us some insight into the connections to the normalization-by-evaluation method for type theories [2], which is usually based on the category of presheaves.

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