**ABSTRACT**

In this paper, a new model to predict the gas flow rate through short tubes under rarefied condition based on the sigmoidal behaviour of gas reduced flow rate ($W$) versus the rarefaction parameter ($\delta$) under rarefied condition was developed. The data produced by Varoutis *et al.* via Direct Simulation Monte Carlo (DSMC) method were utilised to obtain the model coefficients as functions of tube length to radius ($\omega$) and pressure ratio ($\text{Pr}$). Then, the model was tested against the published experimental data. There was a high degree of agreement between the model predictions and the experimental data. Moreover, the new model was capable to predict the reduced flow rate of rarefied systems, not only at free molecular region and hydrodynamic region, but also at transition region, hence covering all the Knudsen number domain within the utilised data. Therefore, the proposed model was capable to make predictions as well as meet all the criteria of the rarefied gas flow within the following conditions: $0<\text{Pr}<0.9$, $0.01<\delta<1000$ and $0.0<\omega<20$. Thus, the proposed model provides a useful tool to make a valid prediction of the rarefied gas flow behavior in a wide range of gas transport regime.

*Keywords:* Modelling, rarefaction, short tubes, S-shaped

**1.0 INTRODUCTION**

Selecting a system possessing nano/micro pores under rarefaction condition for a particular application one needs an in depth knowledge about the gas penetration mechanism, and an accurate understanding of the system pore size and the length to diameter ratio. A number of systems possess tubes or pores having small sizes and finite lengths under low pressure, wherein the system characterisitic length is compatible with the gas mean free path. Whithin such systems, we are dealing with a combination of both continuum regime and free molecular regime, [1]. Thus, a non-continuous mechanics is applied. Some of the well-known applications of rarefied systems include: porous membrane and porous media, instrumentation, microelectromechanical systems, microchannel networks, microelectronics etc. [2].

A number of methods can be considered for predicting the behaviour of such systems as well as estimating their structural parameters. Simply put,
three techniques can be accounted: i) Analytical method, ii) Empirical equation method and iii) Direct Simulation Monte Carlo (DSMC) method. Sreekanth reported the observations of rarefied gas transition flow as an extention of Liepmann’s work through a short tube in which the length was shifted to a an orifice at vacuum condition i.e. free molecular regime. The Knudsen number ranged whithin a limited interval [3, 4]. Fujimoto and Usami investigated the Rarefied gas flow through short tubes experimentally and the flow rate was measured for a broad range of Knudsen number ranging from 0.0002 to 50, which finally an empirical equation was proposed. The equation yield the results as a function of Knudsen number, length to diameter and pressure ratios. Practically speaking, their model requires a cumbersome procedure to estimate the flow rate [5]. Moreover, Sreekanth [4], Fujimoto and Usami [5], Jitschin et al. [6], Barashkin [7] and Marino [8] made efforts to provide a number of valuable experimental data presenting rarefied gas flow. Because of scarcity of the experimental data covering a full range of pressure and length to diameter ratios as well as Knudsen number, the vital role of the DSMC data is revealed. Considerable prominent research have been carried out applying DSMC to describe the short tube systems under rarified conditions, best examplioed by Alexeeno et al. [9, 10], Lilly et al. [11], Varoutis et al. [12] and Varoutis et al. [13].

However, the results of the linearized Boltzmann equation [14] has given good results, the application of such techniques is practically limited. The valuable predictions arisen from the numerical DSMC suffer from a cumbersome computation with a considerable computational cost ranging from a few hours to a few weeks. Accordingly, for easy and practical computational purposes, it seems vital to deal with a direct model based on a simple empirical equation. In fact, it has been repeatedly reported in many engineering and environmental works that the system’s output can follow the S-shaped (or sigmoid) function of a variable, either in the arithmetic or semi-logarithmic coordinates [15]. Some common examples are as follows: population growth, competition among different species in biology, ecology and technology domains, technological forecasting, breakthrough curves of penetration of one species in a media, etc. [16].

Accordingly, for easy and practical computational purposes, e.g. pore size prediction in porous media such as oil/gas reservoir cores or porous membranes, it seems crucial to deal with a direct and explicit model based on a simple empirical equation. A glance through the experimental data of the reduced flow rate versus Knudsen number or rarefaction parameter under rarefied condition reveals that the trend follows quite an S-shaped function. Therefore, in this work, a closed form expression empirical model is proposed based on the s-shaped behavior of the rarefied gas to approximate the reduced gas flow rates for pressure driven flow through short circular tubes in a wide range of the involved parameters. The data produced by Varoutis et al. via DSMC [12, 13] were used to obtain the coefficients involved in the equation as a function of \( \omega \) and \( Pr \). Then the proposed model was tested against the experimental data\([4, 5, 6, 7, 8]\) from the open literature. The proposed model was capable to make prediction in the following ranges of the parameters: \( 0<Pr<0.9, 0.01< \delta <1000 \) and \( 0.0<\omega<20 \).
### 2.0 THEORETICAL BACKGROUND

The reduced flow rate \( W \) is defined by Equation (1) through a short tube [17]:

\[
W = \frac{\dot{m}}{\dot{m}_0}
\]  

That \( \dot{m} \) (kg/s) is mass flow rate and as a reference, the flow rate through an orifice at the free molecular regime (into vacuum), is given by [12]:

\[
\dot{m}_0 = \frac{\sqrt{\pi} r_p^2 P_0}{v_m}
\]  

That, \( r_p \) (m) is the tube size, and the pressure ratio is:

\[
P_r = \frac{P_1}{P_0}
\]  

where the upstream and downstream pressures are shown by \( P_0 \) and \( P_1 \) (Pa), respectively. The most probable gas velocity, \( v_m \) (m/s) is defined as follows [18]:

\[
v_m = \left( \frac{2RT_0}{M} \right)^{0.5}
\]  

Here, the upstream absolute temperature (K) is shown by \( T_0 \), \( R \) is the universal gas constant (8.314 J mol\(^{-1}\) K\(^{-1}\)) and \( M \) is the molecular weight (kg/mol).

The rarefaction parameter (\( \delta \)), is applied as an important criteria to discriminate the flow regimes [19]:

\[
\delta = \frac{\sqrt{\pi}}{2Kn}
\]  

That \( K_n \) is the Knudsen number defined as:

\[
K_n = \frac{\lambda}{r_p}
\]  

\( \lambda \) is the gas mean free path (m) related to the \( \mu_0 \) the gas viscosity (Pa.s) by [18]:

\[
\lambda = \frac{\mu_0 v_m}{P_0}
\]  

A combination of Equations (5) to (7) gives the rarefaction parameter as:

\[
\delta = \frac{r_p P_0}{\mu_0 v_m}
\]  

Subscript zero denotes the upstream condition. From Equation (5), as \( K_n \) approaches infinity, \( \delta \) approaches zero and we are in the free molecular region, while as \( K_n \) approaches zero, \( \delta \) approaches infinity, and we are in the hydrodynamic region. According to the Knudsen number or rarefaction parameter, various regimes can be described in micro/nano pores. The criteria proposed by Roy et al. [17, 20] using Knudsen number is employed in the present work for rarefaction parameter as well, as shown in Table 1.
Table 1 Categorizing different flow regimes in micro/nano tubes based on the Knudsen number and the rarefaction parameter [20]

| Characteristics                        | Flow regime                        | Knudsen limit | number limit | Rarefaction parameter limit |
|----------------------------------------|------------------------------------|---------------|--------------|-----------------------------|
| Molecule-wall collision                | Free molecular                     | Kn≥10         | δ≤0.1        |                             |
| Transition from Molecule-wall collision| Transition                          | 0.1≤Kn<10     | 0.1<δ≤10     |                             |
| Molecule–molecule collision            | Slip phenomena (slip on the wall)  | 0.001<Kn<0.1  | 10<δ<1000    |                             |
|                                       | Hydrodynamic (friction on the wall) | Kn≤0.001      | δ≥1000       |                             |

It is well known that [13], the reduced flow rate varies as a function of δ and Pr. Moreover, the tube length to radius ratio (ω) is another quantity that is also used to describe the flow behavior.

\[
ω = \frac{l_p}{r_p} \tag{9}
\]

that \(l_p\) (m) is the short tube length.

Before presenting a new model, it is worth reviewing the previously proposed models in brief to show their application ranges and limitations. When we are dealing with a high vacuum condition in the sink (\(P_r=0\)), and experiencing the free molecular region (i.e. \(δ→0\)) the following formula was used by Steckelmacher to predict the reduced flow rate for short tubes [21]:

\[
W = \frac{1}{1 + \omega/2} \tag{10}
\]

Which means \(W\) approaches the transmission probability. It was shown by Sharipov that when the rarefaction parameter tends toward zero (free molecular region) and \(ω\) approaches zero (orifice), \(W\), as a limit approaches 1-\(P_r\) [19]. Fujimoto and Usami carried out a vast experimental study on short tubes with different \(ω\) and in a broad range of Knudsen numbers (2×10^-4 to 50) for high vacuum condition (\(P_r<0.01\)). Finally they proposed the following empirical model:

\[
\frac{F}{F_{fm0}} = \frac{0.4733 + H \sqrt{1/(aR_c)^c}}{1 + I/(aR_c)^c + K/(aR_c)^c} b + \frac{F_{fm}}{F_{fm0}} \tag{11}
\]

\[
H = 1.31 \left( \frac{F_{fm}}{F_{fm0}} \right)^{16} \tag{12}
\]

\[
R_c = 0.501 \left( \frac{1}{K_n} \right) \left( \frac{F}{F_{fm0}} \right) \tag{13}
\]

Where \(R_c\) is the Reynolds number and \(I=8.537\) and \(K=3.599\). To calculate \(F_{fm}\), they recommended to use the equation proposed by Henning [5]. The readers can refer to Table 2 of reference [5] for constants \(a\), \(b\) and \(c\). As they have admitted, it is obvious that a cumbersome iterative procedure is required to estimate \(W\). The extrapolation of Fujimoto and Usami model [22] for an orifice at high vacuum (\(ω=0\) and \(P_r=0\)) yields:
\[ W = 1 + \frac{0.4733 + 0.6005/\delta}{1 + 4.559/\delta + 3094/\delta^2} \]  
\[ (14) \]

This equation gives good results for \(0<\delta<20\).

Sreekanth [4] proposed a formula for more general cases as:

\[ W = \frac{1-P_r}{1+\omega/2} \left[ 1.038 + \frac{1+P_r}{5\sqrt{\pi}} \delta \right] \]  
\[ (15) \]

Equation (15), represents a linear relationship between \(W\) and \(\delta\), therefore the predictions are limited to the lower value of \(\delta\) where the flow is mostly governed by the free molecular regime. Sharipov reported the following formula for \(W\) in free molecular regime (i.e. \(\delta<1\)) for an orifice as well [19]:

\[ W = (1-P_r)(1+A\delta) \]  
\[ (16) \]

Comparing the two last equations, applying some simplifications, one can reduce Equation (15) to Equation (16), where:

\[ A \approx \frac{1+P_r}{5\sqrt{\pi}} \]  
\[ (17) \]

### 3.0 RESULTS AND DISCUSSION

#### 3.1 The Proposed Model

As mentioned above this paper aims to derive a group of analytical equations that allow to reproduce the DSMC of Varoutis et al., since the DSMC enables to calculate \(W\) in the largest ranges of independent variables but requires a large amount of computation time.

From DSMCW is known to be a function of three independent variables, i.e. \(\delta, \omega, Pr\).

\[ W=f(\delta, \omega, Pr) \]  
\[ (18) \]

and for a given set of \(P_r\) and \(\omega\) \(W\) is an S-shaped function of \(\delta\).

There are several equations to describe S-shaped functions, as shown in Table 3, and the best one will be chosen among these equations in the following way. It should be noted that each equation involves 3 parameters \(\alpha, \beta\) and \(\gamma\).

1) For arbitrarily chosen two sets of \(P_r\) and \(\omega\) ((\(P_r = 0.1, \omega = 0.1\) and \(P_r = 0.1, \omega = 0.5\)), \(W\) is obtained from DSMC [12] as a function of \(\delta\).

2) For each equation given in Table 3, three parameters \(\alpha, \beta\) and \(\gamma\) are optimized, so that \(W\) values calculated by the equation becomes closest to those generated by DSMC.

3) Then, \(E_r\) (difference between the values obtained from the equation and those from DSMC) is calculated. \(\alpha, \beta, \gamma,\) and \(E_r\) so obtained are also shown in Table 3.

4) Finally, the equation that gives the smallest \(E_r\) is chosen.

It is obvious from Table 2 that DSMC values are best fit by the following Equation (19).

\[ W = -\frac{\alpha}{\delta} \left( 1 - e^{-\beta \delta} \right) + \gamma \]  
\[ (19) \]
Table 2 Preliminary evaluation of several S-shaped function

| S-shaped function                                     | \( \omega = 0.1 \) | \( \omega = 5.0 \) |
|------------------------------------------------------|---------------------|---------------------|
|                                                      | \( \alpha \) | \( \beta \) | \( \gamma \) | \text{Abs.E r.} | \( \alpha \) | \( \beta \) | \( \gamma \) | \text{Abs.E r.} |
| Gompertz function: \( y = \alpha e^{-\beta e^{-\gamma \delta}} \) | 1.509 | 0.551 | 0.231 | 1.00 | 1.243 | 1.469 | 0.042 | 4.77 |
| Normal growth function: \( y = \frac{\alpha}{\beta + e^{-\gamma \delta}} \) | 2.075 | 1.374 | 0.269 | 1.19 | 0.176 | 0.219 | 0.038 | 6.12 |
| S-shaped function from [15]: \( y = -\frac{\alpha}{\delta} \left[ 1 + (t - 1)e^{-\beta \delta} \right] + \gamma \) | 0.517 | 0.265 | 1.504 | 0.51 | 0.945 | 0.025 | 1.249 | 2.74 |
| The proposed model: \( y = -\frac{\alpha}{\delta} \left( 1 - e^{-\beta \delta} \right) + \gamma \) | 2.378 | 0.308 | 1.453 | 0.28 | 17.51 | 0.057 | 1.188 | 1.34 |

Then, for many different sets of \( P_r \) and \( \omega \), \( W \) versus \( \delta \) is generated by DSMC [12, 13] and \( \alpha \), \( \beta \) and \( \gamma \) are optimized. Note that we have \( \alpha \), \( \beta \) and \( \gamma \) parameters that correspond to many different sets of \( P_r \) and \( \omega \). Finally, attempts are made to relate the \( \alpha \), \( \beta \) and \( \gamma \) parameters to \( P_r \) and \( \omega \). As a result, the following equations 20-30 could be achieved.

\[
\alpha = a_1 \omega^2 + a_2 \omega + a_3 \tag{20}
\]

\[
\beta = \frac{b_1}{\omega + b_2} \tag{21}
\]

\[
\gamma = c_1 \ln(\omega + c_2) + c_3 \tag{22}
\]

Finally, the coefficients \( a_1 \) to \( c_3 \) are functions of the pressure ratio as follow:

\[
a_1 = -0.04704 P_r^3 + 0.02769 P_r^2 - 0.02967 \tag{23}
\]

\[
a_2 = -0.02640 P_r^2 - 0.14011 P_r + 3.83918 \tag{24}
\]

\[
a_3 = -0.71279 P_r^2 + 2.96682 P_r + 1.67151 \tag{25}
\]

\[
b_1 = -0.28262 P_r^2 + 0.06048 P_r + 0.35171 \tag{26}
\]

\[
b_2 = -0.22249 P_r + 1.27986 \tag{27}
\]

\[
c_1 = 1.81730 P_r^2 + 0.13470 P_r - 2.86718 \tag{28}
\]

\[
c_2 = 29.28050 P_r^2 - 65.32088 P_r + 90.59141 \tag{29}
\]

\[
c_3 = -7.46284 P_r^2 - 2.88368 P_r + 14.44894 \tag{30}
\]

3.2 Predictions of the Proposed Model for Short Tubes

Some model calculations were performed for various combinations of the variables. Figure 1 illustrates the reduced flow rate as a function of rarefaction parameter for different tube length to radius ratios as well as for pressure ratios. As can be seen, \( W \) varies continuously sigmoidal with respect to \( \delta \), and generally has an increasing trend with \( \delta \). For the low and high ranges of rarefaction parameter, \( W \) increases very slowly, corresponding to the free molecular and hydrodynamic regions, respectively. In contrast, in the transition
region the increases are steeper. As a limit for the reduced flow rate, when $\delta$ tends toward small values i.e. $(\delta \to 0)$, then $W$ approaches $1-P_r$ for an orifice $(\omega \to 0)$, which is obviously observed from Figures 1a, 1b and 1c. For higher values of $P_r$, e.g. $P_r=0.9$, this limit is met by the model with a lower accuracy. From Figure 1a one can see that $W$ varies between 0.1 and 1.526. Barashkin [7] measured $W$ for an orifice and reported a $W$ value of 1.501 which is close to the value shown in Figure 1a. In general the lower $P_r$ or $\omega$, the higher the reduced flow rate. It is noted that according to the data points utilized for deriving the Equations (19) to (30), the proposed model is applicable for the following ranges: 0.01< $\delta$ <1000, 0.0<$P_r$<0.9 and 0.01<$\omega$<20.
Theoretically, both $Pr$ and $\delta$ approach zero, $W$ tends toward $1/(1+\omega/2)$ [21]. Interestingly, Figure 2 illustrates that this limit was met by the proposed model. The agreement was more pronounced when $\omega>0.5$. 

**Figure 1** Reduced flow rate as a function of rarefaction parameter, tube length to radius ratio and pressure ratio a) $Pr=0.0$, b) $Pr=0.5$, c) $Pr=0.7$ and d) $Pr=0.8
Figure 2 Reduced flow rate as a function of tube length to radius ratio (discharge to vacuum at low rarefaction parameter)

### 3.3 Verification of the Proposed Model

Figure 3 shows the comparison of the model predictions with the experimental data collected from several references [4, 5, 6, 7, 8]. There is a good agreement between the model and the experimental data (symbols) at low to moderate $\delta$ values up to 500. The higher the $\omega$, the higher is the compatibility with the experimental points. Jitschin et al. reported $W = \sim 1.6$, which is higher than the predicted value of 1.50, when $\omega=0$ and $\delta > 100$, corresponding to the fully hydrodynamic regime [6] whereas, as already mentioned, Barashkin obtained an experimental value of 1.501 for this limit [7], while the predicted value is 1.526. At $\omega=2$, the model predicted the values higher than the experimental ones obtained by Barashkin [7] (in the range of $10 < \delta < 400$). Figure 3 clearly shows that the proposed model is capable to predict $W$ at $\omega$ as high as 25 in nearly the whole range of $\delta$.

Figure 4, depicts the model predictions versus the experimental data from Sreekanth [4] for $Pr$ values of 0.1 and 0.5. The agreement of the predicted and the experimental values is satisfactory. Figure 5 exhibits this model prediction against the Fujimoto and Usami [5] model, which also represents their experimental data. The proposed model follows very well the trend of the data for $Pr$ values of 0.1 to 0.5 when $\omega = 1.0$ and 2.6. There is some overestimation for the pre-hydrodynamic values of $\delta$ relative to the Fujimoto and Usami model.
Figure 4 The model prediction of reduced flow rate versus the experimental data at $\omega=0$ [4].
Derivation of a Closed Form Expression

Figure 5 The model prediction of reduced flow rate versus the experimental data of Fujimoto and Usami, a) \( \omega = 1.0 \), b) \( \omega = 2.6 \) [5]

Figure 6 compares the model prediction versus the values obtained by Varoutis et al. via DSMC [12, 13]. In the figure, the reduced flow rate is given as a function of pressure ratio for several different \( \omega \)'s. From the figure, the trend is basically linear when the rarefaction parameter is as low as 1 for all \( \omega (L_p/r_p) \) values given in Figures 6a-c at \( \omega = 0.1 \) (Figure 6a), the model prediction underestimates \( W \) for \( 10 < \delta < 100 \). However, there is an acceptable agreement between the model and the DSMC predictions for the whole range of \( 0.0 < P_r < 0.9 \). Figure 7 shows the model predictions compared with the DSMC data, for \( \omega = 0.1, 5 \) and 10. Generally, there is a good agreement between the two approaches. However, at high values of the rarefaction parameter (\( \delta > 100 \)), the agreement is fairly poor for \( \omega = 5 \) and 10. From Figure 7a, it can be seen that at low values of \( \omega \) (i.e. \( \omega = 0.1 \)), as \( \delta \) approaches zero (free molecular regime), \( W \) tends toward \( 1 - P_r \). The model almost meets this limit up to \( P_r < 0.9 \), hence, there is a good agreement between the model and the DSMC data in this regard.
Figure 6 The model prediction of reduced flow rate versus the DSMC data of Varoutis et al. [12]: Reduced flow rate as a function of pressure ratio
Figure 7 The model prediction of reduced flow rate versus the DSMC data of Varoutis et al.
[12, 13]: Reduced flow rate as a function of rarefaction parameter
4.0 CONCLUSIONS

A new model to predict the gas flow rate through short tubes under rarefied conditions was developed based on the sigmoidal behaviour of reduced gas flow rate versus rarefaction parameter. The newly proposed model has the following advantages. The model can predict the gas flow rate as the functions of rarefaction parameter, \( \delta \), length to radius ratio, \( \omega \), and pressure ratio, \( Pr \), at the upstream and downstream of the micro/nano short tubes. Therefore the proposed model is able to exhibit all the trends of the reduced flow rate that occur when the major variables are changed. Interestingly the model meet almost all the criteria of a rarefied gas system, moreover, the proposed model can predict the reduced flow rate of rarefied systems, not only at the free molecular and the hydrodynamic region, but also at the transition region, hence covering all the Knudsen number domain within the utilised data. There is a high degree of agreement between the model predictions and the published experimental data. In conclusion, the proposed model provides a useful tool to make a valid prediction of the rarefied gas flow behavior in a wide range of gas transport regime.

GREEC LETTERS

\( \alpha \) parameters as a functions of pressure ratio
\( \beta \) parameters as a functions of pressure ratio
\( \delta \) rarefaction parameter
\( \varepsilon \) surface porosity
\( \phi \) model parameter
\( \gamma \) parameters as a functions of pressure ratio
\( \lambda \) gas mean free path
\( \mu_0 \) gas viscosity at upstream conditions
\( \omega \) tube depth to radius ratio

ROMAN LETTERS

\( a \) model parameter
\( A_m \) effective membrane area
\( A_{tp} \) total cross sectional area of the pores
\( b \) model parameter
\( c \) model parameter
\( K_n \) the Knudsen number
\( l_p \) tube/pore depth
\( M \) gas molecular weight
\( F \) mass flow rate of the system
\( F_{fm0} \) mass flow rate through an orifice into vacuum for one pore

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