DIFFERENTIAL ASTROMETRY OF SUBARCSECOND SCALE BINARIES AT THE PALOMAR TESTBED INTERFEROMETER

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ABSTRACT
We have used the Palomar Testbed Interferometer to perform very high precision differential astrometry on the 0″25 separation binary star HD 171779. In 70 minutes of observation, we achieve a measurement uncertainty of ≈9 μas in one axis, consistent with theoretical expectations. Night-to-night repeatability over four nights is at the level of 16 μas. This method of very narrow angle astrometry may be extremely useful for searching for planets with masses as small as 0.5M_J around a previously neglected class of stars—so-called speckle binaries. It will also provide measurements of stellar parameters such as masses and distances, useful for constraining stellar models at the 10^{-3} level.

Subject headings: astrometry — planetary systems — techniques: interferometric

1. INTRODUCTION

Long-baseline optical interferometry promises high-precision astrometry using modest ground-based instruments. In particular, the Mark III Stellar Interferometer (Shao et al. 1988) and Navy Prototype Optical Interferometer (NPOI; Armstrong et al. 1998) have achieved global astrometric precision at the 10 mas (1 mas = 10^{-3} arcsec) level (Hummel et al. 1994), while the Palomar Testbed Interferometer (PTI, Colavita et al. 1999) has demonstrated an astrometric precision of 100 μas (1 μas = 10^{-6} arcsec) between moderately close (30″) pairs of bright stars (Shao & Colavita 1992; Colavita 1994; Lane et al. 2000). While interferometric and astrometric methods have proven very useful in studying binary stars and have long been argued to be well suited to studying extrasolar planets (Colavita & Shao 1994; Eisner & Kulkarni 2001), to date, results from extrasolar planet studies using these techniques have been limited (Benedict et al. 2002b).

There are several reasons why it is desirable to develop viable astrometric planet-detection methods. Most importantly, the parameter space explored by astrometry is complementary to that of radial velocity (astrometry is more sensitive to larger separations). Second, unlike current radial velocity detections, astrometric techniques can be used to determine the orbital inclination of a planet. Finally, astrometry is particularly well suited to studying binary stellar systems; such systems challenge other planet-finding techniques. For example, radial velocimetry can suffer from systematic velocity errors caused by spectral contamination from the light of the second star (Vogt et al. 2000). Similar problems are faced by coronographic techniques since the light from the second star is not usually blocked by the occulting mask.

In this paper, we describe recent efforts to obtain very high precision narrow-angle astrometry using PTI to observe binary stars with separations less than 1″, i.e., systems that are typically observed using speckle interferometry or adaptive optics (Saha 2002). Such small separations allow us to achieve astrometric precision on the order of 10 μas, which, for a typical binary system in our target sample (binary separation of 20 AU), should allow us to detect planets with masses down to 0.5M_J in orbits in the 2 AU range. This approach has been suggested (Traub, Carleton, & Porro 1996) and tried (Dyck, Benson, & Schloerb 1995; Bagnuolo et al. 2003) before, although with limited precision. However, this work is unique in that it makes use of a phase-tracking interferometer; the use of phase-referencing (Lane & Colavita 2003) removes much of the effect of atmospheric turbulence, improving the astrometric precision by a factor of order 100.

The Palomar Testbed Interferometer is located on Palomar Mountain near San Diego, CA (Colavita et al. 1999). It was developed by the Jet Propulsion Laboratory, California Institute of Technology for NASA as a testbed for interferometric techniques applicable to the Keck Interferometer and other missions such as the Space Interferometry Mission (SIM). It operates in the J (1.2 μm), H (1.6 μm), and K (2.2 μm) bands and combines starlight from two out of three available 40 cm apertures. The apertures form a triangle with 86 and 110 m baselines.

The paper is organized as follows: In § 2, we describe the experiment and derive expected performance levels. In § 3, we describe initial observations as well as the extensive data analysis processing required to achieve the desired astrometric precision. In § 4, we discuss our preliminary results, and in § 5, we discuss the prospects of a larger search.

2. INTERFEROMETRIC ASTROMETRY

In an optical interferometer, light is collected at two or more apertures and brought to a central location where the beams are combined and a fringe pattern produced. For a broadband source of wavelength λ, the fringe pattern is limited in extent and appears only when the optical paths through the arms of the interferometer are equalized to within a coherence length (λ = λ^2/Δλ). For a two-aperture interferometer, neglecting dispersion, the intensity measured at one of the combined beams is given by

$$I(\delta) = I_0 \left[ 1 + V \frac{\sin(\pi\delta/\lambda)}{\pi\delta/\lambda} \sin(2\pi\delta/\lambda) \right].$$

where V is the fringe contrast or “visibility”, which can be related to the morphology of the source, and Δλ is the optical
bandwidth of the interferometer assuming a flat optical bandpass (for PTI, $\Delta \lambda = 0.4 \ \mu\text{m}$). The differential (between arms of the interferometer) optical path $\delta$ is found from geometric considerations to be

\[ \delta = B \cdot s - d, \]

where $B$ is the baseline (the vector connecting the two apertures), $s$ is the unit vector in the source direction, and $d$ is any differential optical path introduced by the instrument. For a 100 m baseline interferometer, an astrometric precision of 10 $\mu$as corresponds to knowing $d$ to 5 nm, a difficult, but not impossible, proposition.

The dominant source of measurement error is atmospheric turbulence above the interferometer, which adds varying amounts of optical path and hence makes the fringes appear to move about rapidly (Roddier 1981). This atmospheric turbulence, which changes over distances of tens of cm and ms timescales, forces one to use very short exposures to maintain fringe contrast and hence limits the sensitivity of the instrument. It also severely limits the astrometric accuracy of a simple interferometer, at least over large sky angles. However, this atmospheric turbulence is correlated over small angles, and hence, it is still possible to obtain high-precision “narrow-angle” astrometry.

2.1. Narrow-Angle Astrometry

Dual-star interferometric narrow-angle astrometry (Shao & Colavita 1992; Colavita 1994) promises astrometric performance at the 10–100 $\mu$as level for pairs of stars separated by $10^\circ$–60$, and has been demonstrated at PTI. Achieving such performance requires simultaneous measurements of the fringe positions of both stars via the use of a “dual-star” optical beam train.

For more closely spaced stars, it is possible to operate in a simpler mode. We have recently used PTI to observe pairs of stars separated by no more than 1$\''$. In this mode, the small separation of the binary results in both binary components being in the field of view of a single interferometric beam combiner. The fringe positions are measured by modulating the instrumental delay with an amplitude large enough to record both fringe packets. This eliminates the need for a complex internal metrology system to measure the entire optical path of the interferometer, and dramatically reduces the effect of systematic error sources such as uncertainty in the baseline vector (error sources that scale with the binary separation).

However, since the fringe position measurement of the two stars is no longer truly simultaneous, it is possible for the atmosphere to introduce path length changes (and hence positional error) in the time between measurements of the separate fringes. To reduce this effect, we split off a fraction of the incoming starlight and direct it to a second beam combiner. This beam combiner is used in a “fringe-tracking” mode (Shao & Staelin 1980; Colavita et al. 1999) in which it rapidly (10 ms) measures the phase of one of the starlight fringes and adjusts the internal delay to keep that phase constant. This technique—known as phase referencing—has the effect of stabilizing the fringe measured by the astrometric beam combiner. In addition, the residual phase error measured by the fringe tracker is a high-time-resolution trace of the phase error introduced by the atmosphere and not fully corrected by the fringe tracker; it can be applied to the measured fringe position in postprocessing.

2.2. Expected Performance

In making an astrometric measurement, we modulate the optical delay applied internally in a triangle-wave pattern around the stabilized fringe position, while measuring the intensity of the combined starlight beams. Typically we obtain one such “scan” every 1–3 s, consisting of up to 3000 intensity samples. The range of the delay sweep is set to include both fringe packets; typically, this requires a scan amplitude on the order of 100 $\mu$m. We then fit a double-fringe packet based on equation (1) to the data and solve for the differential optical path between fringe packets.

In calculating the expected astrometric performance, we take into account three major sources of error: errors caused by fringe motion during the sweep between fringes (loss of coherence with time), errors caused by differential atmospheric turbulence (loss of coherence with sky angle, i.e., anisoplanatism), and measurement noise in the fringe position. We quantify each in turn below, and the expected measurement precision is the root-sum-squared of the terms (Fig. 1).

2.2.1. Loss of Temporal Coherence

The power spectral density of the fringe phase of a source observed through the atmosphere has a power-law dependence on frequency (Fig. 2); at high frequencies, typically

\[ A(f) \propto f^{-\alpha}, \]

where $\alpha$ is usually in the range 2.5–2.7. The effect of phase-referencing is to high-pass filter this atmospheric phase noise. In our case, the servo is an integrating servo with finite processing delays and integration times, with the residual phase error “fed forward” to the second beam combiner (Lane & Colavita 2003). The response of this system to an input atmospheric noise can be written in terms of frequency (see Appendix A in Lane 2003) as

\[ H(f) = \frac{1 - 2\text{sinc}(\pi T_c) \cos(2\pi T_d) + \text{sinc}^2(\pi T_c)}{1 - 2(f_c/f)\text{sinc}(\pi T_c) \sin(2\pi T_d) + (f_c/f)^2\text{sinc}^2(\pi T_c)}. \]

where $\text{sinc}(x) = \sin (x)/x$, $f_c$ is the closed-loop bandwidth of the fringe-tracker servo (for this experiment $f_c = 10$ Hz), $T_c$ is the integration time of the phase sample (6.75 ms), and $T_d$ is the delay between measurement and correction (done in postprocessing, effectively 5 ms). The phase noise superimposed on the double fringe measured by the astrometric beam combiner has a spectrum given by $A(f)H(f)$.

The sampling of the double-fringe packet takes a finite amount of time, first sampling one fringe, then the other. In the time domain, the sampling function can be represented as a “top hat” function convolved with a pair of delta functions (one positive, one negative). The width of the top hat is equal to the time taken to sweep through a single fringe, while the separation between the delta functions is equal to the time to sweep between fringes. In the frequency domain, this sampling function becomes

\[ S(f) = \text{sinc}^2(2\pi f \tau_s)\text{sinc}^2(\pi f \tau_c), \]

and

\[ H(f) = \frac{1 - 2\text{sinc}(\pi T_c) \cos(2\pi T_d) + \text{sinc}^2(\pi T_c)}{1 - 2(f_c/f)\text{sinc}(\pi T_c) \sin(2\pi T_d) + (f_c/f)^2\text{sinc}^2(\pi T_c)}, \]
where \( \tau_p \) is the time taken to move the delay between stars, \( \Delta d/v_s, \tau_e \) is the time to sweep through a single stellar fringe, \( \lambda/v_s, \) and \( v_s \) is the delay sweep rate.

The resulting error in the astrometric measurement, given in radians by \( \sigma_{\kappa} \), can be found from

\[
\sigma_{\kappa}^2 = \left( \frac{\lambda}{2\pi B} \right)^2 \frac{1}{N} \int_0^\infty A(f)H(f)S(f) df, \tag{6}
\]

where \( N \) is the number of measurements and \( B \) is the baseline. It is worth noting that if phase-referencing is not used to stabilize the fringe, i.e., \( H(f) = 1 \), the atmospheric noise contribution increases by a factor of \( \approx 10^2 - 10^3 \).

### 2.2.2. Anisoplanatism

The performance of a simultaneous narrow-angle astrometric measurement has been thoroughly analyzed in Shao & Colavita (1992). Here we restate the primary result for the case of typical seeing at a site such as Palomar Mountain, where the astrometric error in arcseconds due to anisoplanatism (\( \sigma_a \)) is given by

\[
\sigma_a = 540B^{2/3} \theta_1^{1/2}, \tag{7}
\]

where \( B \) is the baseline, \( \theta \) is the angular separation of the stars, and \( r \) is the integration time in seconds. This assumes a standard (Lindgren 1980) atmospheric turbulence profile; it is likely that particularly good sites will have somewhat (factor of 2) better performance.

### 2.2.3. Photon Noise

The astrometric error due to photon noise (\( \sigma_p \)) is given in radians as

\[
\sigma_p = \frac{\lambda}{4\pi B} \frac{1}{\sqrt{S / N}}, \tag{8}
\]

where \( N \) is the number of fringe scans and \( S/N \) is the signal-to-noise ratio of an individual fringe.

### 3. Observations & Data Processing

We used the Palomar Testbed Interferometer to observe the binary star HD 171779 (HR 6983, K0 III+G9 III, \( m_K = 2.78, m_Y = 5.37 \)) on the nights of 2003 August 11–14. Conditions were calm with good seeing, although high-altitude clouds limited observations, particularly after the first night. Based on a visual orbit obtained from speckle interferometry (Hartkopf & Mason 2003, The Sixth Catalog of Orbits of Visual Binary Stars,1 the predicted separation was 0’248 at a position angle of 120°.9. The orbit quality was listed as 3 (on a scale of 1–5) indicating a “reliable” orbit, although no uncertainties are given. The published \( \Delta V = 0.21 \) (Worley, Mason, & Wycoff 2001, The USNO Photometric Magnitude Difference Catalog,2 and the orbital period is 191.49 yr.

We used the longest available baseline (110 m). The observations were done in the \( K \) band (2.2 \( \mu \)m), with \( \approx 70\% \) of the \( K \)-band light being used for fringe tracking, and the remaining light going to the astrometric measurement. The fringe tracker operated in the standard configuration (Colavita et al. 1999) with a sample time of 10 ms and a closed-loop servo bandwidth of \( \approx 10 \) Hz. The delay modulation used for the astrometric measurement typically had an amplitude of 150 \( \mu \)m and period of 3 s. The modulation was done using a piezoelectric-actuated mirror, the position of which was measured using a laser metrology system.

The intensity versus delay position measurements produced by the interferometer were processed into astrometric measurements as follows. (1) Detector calibrations (gain, bias, and background) were applied to the intensity measurements. (2) The residual phase errors from the primary fringe tracker were converted to delay and applied to the data. Note that, while the intensity measurements were spaced regularly in time and the delay was scanned linearly in time, the variable amount of delay correction applied from the fringe tracker resulted in the intensity measurements being unevenly spaced in delay. This somewhat complicated the downstream processing, in that fast Fourier transform (FFT)–based algorithms could not be used. (3) The data were broken up into “scans,” either when the delay sweep changed direction or when the fringe tracker lost lock. (4) For each scan, a power spectrum was calculated

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1 Available at [http://ad.usno.navy.mil/ads/orb6/orb6.html](http://ad.usno.navy.mil/ads/orb6/orb6.html).

2 Available at [http://ad.usno.navy.mil/ads/orb6.html](http://ad.usno.navy.mil/ads/orb6.html).
using a Lomb-Scargle (Scargle 1982; Press et al. 1992) algorithm. This spectrum provided an S/N estimate based on the ratio of the power in and out of the instrument bandpass. Only scans with an S/N greater than unity were kept. (5) The intensity measurements were optionally bandpass-filtered to remove the effects of atmospheric turbulence changing the amount of light being coupled into the detector. We note, however, that the final results of the fit (see below) did not depend on whether the filter was applied; the results differed by $\ll 1 \sigma$. (The results shown were based on unfiltered data; see Fig. 3 for an example of filtered fringes). (6) The differential delay of each scan was found by evaluating the least-squares goodness-of-fit ($\chi^2$) parameter for a fit of a double-fringe packet to each scan, for the range of plausible delay separations and mean delay values, and selecting the separation corresponding to the $\chi^2$ minimum. See the discussion below for how plausible ranges were determined. (7) Given a set of delay separations as a function of time, a simple astrometric model was fitted to the data. The free parameters were separation and position angle, or equivalently, differential right ascension and declination (Fig. 4).

In order to characterize any systematic dependence on assumptions made in the data reduction, such as the shape of the fringe envelope, the effect of dispersion, the effect of filtering, and the level of the fringe S/N cutoff, we exhaustively varied these parameters and refitted the data each time. In all cases, the effect was small compared to the claimed uncertainties. This suggests that our results are robust against systematic errors in the data processing algorithm; many potential systematic error sources are eliminated by the differential nature of the measurement.

3.1. Resolving Fringe Ambiguity

The oscillatory nature of the fringe means that there will be many local minima in the $\chi^2$ surface that are separated from the global minimum (at the center of the fringe packet) by integer multiples of the wavelength $\lambda$. In the presence of noise, it is not always obvious which is the global minimum. In previous work (Dyck et al. 1995), this ambiguity was avoided by forming an “envelope” of the fringe packets, then fitting these envelopes. However, this effectively removed the high-resolution phase information, which is what provides the high-precision astrometry.

We find that it is possible to co-add the $\chi^2(\Delta d)$ functions from many scans. This increases the S/N and so reduces the likelihood of picking the “wrong” fringe. In order to perform the co-adding, the two-dimensional (separation and mean delay) $\chi^2$ surface was projected into a one-dimensional space of delay separation by selecting the best (lowest $\chi^2$) mean delay position for each possible delay separation. The minimum of this co-added $\chi^2$ function was used to determine the fringe separation to within $\lambda$; the range of plausible separations (used in step 6 above) was limited to $\pm \lambda/2$ around this value.

The number of scans that could be co-added in this manner depended on the rate of change of delay separation, with too long of an integration time “smearing” the fringes. We found that, in the case of our observations, co-adding all the $\chi^2$ functions from a 75 s period gave adequate S/N to reliably (85%–95% of the time) determine the central fringe. The remaining 5%–15% of scans produced delays that were shifted off the median fringe position by a wavelength. However, rather than artificially adjusting the delays by a multiple of the wavelength, these scans were simply discarded. Note that no a priori information was used to constrain the location of the central fringe, and each 75 s group was treated independently.

We also point out that it should be possible to co-add $\chi^2$ functions not as a function of separation but as a function of differential right ascension and declination; such an approach should allow one to use the co-adding approach with very long integration times (e.g., for all the scans of a given star in a night). We are currently developing such an approach.

4. RESULTS

We show the results of an astrometric fit to 45 minutes of data (taken over the course of 70 minutes of observation) in

![Fig. 3.—Five consecutive filtered fringe scans of HD 171779. Each scan corresponds to $\sim$1.5 s of data. The scans have been normalized, and offset for clarity.](image-url)
Figures 4 and 6. With 1769 scans used, we find the residual delay errors to be well modeled by a Gaussian distribution with a full width at half-maximum of 0.160 μm. In order to characterize the residuals, and, in particular, determine if they could be considered to be independent, we plot the Allan variance of the residuals in Figure 5. The Allan variance (Thompson, Moran, & Swenson 2001) at lag $l$ is given by

$$
\sigma_A^2(l) = \frac{1}{2(M' + 1 - 2l)} \sum_{n=0}^{M' - 2l} \left( \sum_{m=0}^{l-1} x_{n+m} - x_{n+l+m} \right)^2,
$$

where $M'$ is the total number of data points. As can be seen in the figure, the residuals are white out to lags of more than 500 scans, implying a final astrometric precision of 10 μas. We list the results from 4 nights of observation in Table 1.

The 1σ error region (found by plotting the $\chi^2 = \chi^2_{\text{min}} + \Delta \chi^2$ contour; Press et al. 1992) is highly elliptical, with the major axis oriented roughly parallel to the R.A. axis. Such error ellipses are to be expected in single-baseline interferometric data, which have limited sensitivity in the direction perpendicular to the baseline. It should be noted, however, that for sufficiently long observations, Earth rotation will provide an orthogonal baseline. The major and minor axes of the uncertainty ellipse are easily found by diagonalizing the covariance matrix; the magnitude of the uncertainty in the direction of the minor axis was 8.3 μas for the August 10 data and 12 μas for the August 11 data, consistent with the delay residuals. The uncertainty in the major axis direction was 144 and 143 μas, respectively. Figure 6 shows the four error ellipses superimposed. We fit the measured differential declinations to a linear trend of $-18 \pm 7$ μas day$^{-1}$; the rms. of the residuals is 16 μas, and the reduced $\chi^2 = 1.1$. The predicted change in differential declination due to orbital motion is approximately $-11$ μas day$^{-1}$.

5. DISCUSSION

We have achieved an astrometric measurement precision of $\approx 9$ μas in 70 minutes of observation on the 0′′.25 separation binary star HD 171779. The measurements were repeated on four nights, yielding answers consistent at the level of 16 μas. These early results show the promise of very narrow angle astrometry for achieving very high precision measurements of stellar binaries with separations on the order of 0′′.05–1′′. This is particularly useful in searching for planets around such binaries, although the resulting high-precision visual orbits for these stars should also be useful in providing stellar masses and distances at the level of $10^{-3}$. It should be noted that such high-precision mass determinations may require an extended period of observation, as these systems can have very long orbital periods. It is also necessary to obtain radial velocity data accurate to 10 m s$^{-1}$ or better, which can be challenging.

This newly demonstrated approach to narrow-angle astrometry compares quite favorably with other astrometric
methods used to date, such as speckle interferometry (Horch et al. 2002; Woitas et al. 2003), single-telescope astrometry with (Lane et al. 2001) and without (Pravdo & Shaklan 1996, 2000) adaptive-optics, and space-based astrometry using the Hubble Space Telescope (HST) (Benedict et al. 2002a). These have all produced measurement precisions on the order of 0.1–1 mas. The improved performance of the interferometric approach is primarily due to the longer available baseline. However, the combination of phase-referencing and fringe-scanning also compares favorably to other interferometric methods, such as using fringe visibility measurements to find binary star orbits (i.e., a fractional precision of $10^{-4}$ as compared to $10^{-2}$, Boden, Creech-Eakman, & Queloz 2000). In this case, the improvement comes from the unbiased nature of the phase estimator.

Recent years have seen a veritable explosion in the number of known extrasolar planets (Schneider 2003, The Extrasolar Planets Encyclopedia)\(^3\), starting with the famous example of 51 Peg (Mayor & Queloz 1995). To date, most of these systems have been found using high-precision radial velocity techniques, although recent photometric transit searches have observed several planets (Charbonneau et al. 2000; Konacki et al. 2003). However, these methods have, to a large extent, avoided searching close (separation less than a few arcsecond) binary stellar systems, primarily because the techniques used are not well suited to such systems. Therefore, it is particularly interesting to note that, despite the deliberate avoidance of binaries, of the more than 100 known planets, 14 of them are in wide binary stellar systems. In addition, given the high frequency of binary stellar systems (57% among systems older than 1 Gyr; Duquennoy & Mayor 1991), it is clear that any comprehensive planetary census must address the question of how frequently planets occur in such systems. This is all the more relevant given that several theoretical investigations have indicated that there exist regions in binary parameter space where planets can form and exist in stable orbits over long periods of time, although this does remain controversial (Whitmire et al. 1998; Boss 1998; Marzari & Scholl 2000; Nelson 2000; Barbieri, Marzari, & Scholl 2002).

An instrument such as PTI, capable of $10^{-2}$ as very narrow angle astrometry, could be used to search many of the brightest speckle binary systems for planets. We have compiled a list of approximately 50 suitable systems, with $m_K < 4.5$, separations less than 1", and within the field of regard of PTI. The median orbital separation between the binary components in these systems is 19 AU, and hence there should be regions where planets can remain stable for long periods. In particular, adopting the result from Holman & Wiegert (1999), we calculate the largest stable orbit in each system. We find that the median detectable planetary mass in such an orbit is $0.5 M_J$ (assuming 3 $\sigma$ confidence detections). The corresponding median orbital period is 2.2 yr. A limited

\(^3\) Available at http://www.obspm.fr/encycl/encycl.html.

| Epoch of Observation (MJD) | $\Delta R.A.$ (arcsec) | $\Delta \delta$ (arcsec) | rms (µm) | No. of Scans |
|---------------------------|------------------------|-------------------------|---------|-------------|
| 52862.30085.................. | $0.222109 \pm 1.43 \times 10^{-4}$ | $-0.119309 \pm 8.39 \times 10^{-6}$ | 1769 | 0.160 |
| 52863.28229.................. | $0.222233 \pm 1.43 \times 10^{-4}$ | $-0.119302 \pm 1.55 \times 10^{-5}$ | 668 | 0.162 |
| 52864.31096.................. | $0.222134 \pm 1.97 \times 10^{-4}$ | $-0.119323 \pm 1.89 \times 10^{-5}$ | 724 | 0.163 |
| 52865.29921.................. | $0.221981 \pm 1.55 \times 10^{-4}$ | $-0.119361 \pm 1.48 \times 10^{-5}$ | 731 | 0.200 |

**Fig. 6.—** Contour plot of the $\chi^2$ surfaces as a function of the fit parameters, for data from four nights. The 1 $\sigma$ contours and the preferred solutions are marked.
survey could quickly begin to provide useful constraints on the frequency of planets in binary stellar systems. As a new generation of long-baseline optical interferometers become operational in the next few years, this type of survey could be easily extended to sample sizes of several hundred stars, providing strong constraints on planetary formation in the binary environment.

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