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Influence of maternal asthma on the cause and severity of infant acute respiratory tract infections

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Background: Respiratory syncytial virus (RSV) and rhinovirus infections are the most common significant infant respiratory tract illnesses and are associated with increased but differential risks of childhood asthma.

Objective: We sought to determine whether maternal asthma is associated with higher odds of infant respiratory tract infection with rhinovirus versus RSV and increased infection severity.

Methods: Mother-infant dyads were enrolled from 2004-2008 during an infant respiratory tract infection (104 with rhinovirus and 279 with RSV). Mothers were classified into mutually exclusive groups (atopic asthma, nonatopic asthma, and no asthma). We determined viral cause using PCR and the severity of the infant’s respiratory tract infection using the bronchiolitis severity score. Adjusted relative odds of maternal asthma with viral cause were calculated by using logistic regression. Proportional odds models assessed the association of maternal asthma and infant infection severity.

Results: Infants with a mother with atopic asthma compared with infants whose mothers did not have asthma were more likely to have rhinovirus versus RSV infection (adjusted odds ratio, 2.42; 95% CI, 1.19-4.90). Similarly, among infants with rhinovirus, having a mother with atopic asthma was associated with increased infection severity (adjusted odds ratio, 3.10; 95% CI, 1.21-7.98). This relationship was not seen among infants with RSV.

Conclusions: Clinically significant rhinovirus infection during infancy was more strongly associated with having a mother with atopic asthma than clinically significant RSV infection. Having a mother with atopic asthma was associated with increased severity of infant rhinovirus but not RSV infections. Infants with rhinovirus were more likely to have a familial atopic predisposition, which might partly explain the subsequent increased asthma risk. (J Allergy Clin Immunol 2012;129:1236-42.)

Key words: Atopic predisposition, acute respiratory tract infection, rhinovirus, respiratory syncytial virus, asthma

Bronchiolitis, a lower respiratory tract infection (LRTI) commonly caused by human rhinovirus (HRV) and less commonly by human rhinovirus (HRV), affects an estimated 20% to 30% of children in the first year of life and is a leading cause of hospitalization during infancy.1,4 In addition to the acute morbidity seen with bronchiolitis, infants hospitalized with bronchiolitis and young children who experience virus-induced wheezing illnesses are at increased risk of recurrent wheezing and asthma in early childhood.4,8 The pathogenesis of the increased wheezing after viral bronchiolitis is not fully understood.4,5,8,17 In efforts to learn whether children at risk of bronchiolitis are also at increased risk for asthma, studies have investigated whether a family history of asthma is associated with the severity or incidence of bronchiolitis during infancy, with some prior studies finding an association, whereas others did not.5,10,18-21 Prospective birth cohorts in which all children have a familial predisposition to asthma, such as a cohort based in Perth, Australia, and the Childhood Origins of Asthma (COAST) cohort, have investigated the association of a viral cause of infections in early life and subsequent wheezing and asthma.4,7,22 In the COAST cohort HRV-induced wheeze-infections illnesses in early life were found to be stronger predictors of wheezing and asthma at age 6 years than RSV-induced illnesses.4,7,22 However, it is not known whether infants with symptomatic HRV infections that lead to an unscheduled health care visit are more likely to have a familial predisposition to asthma than infants with symptomatic RSV infection.

In this investigation that included mother-infant dyads enrolled in the Tennessee Children’s Respiratory Initiative (TCRI), we tested the hypothesis that a familial atopic predisposition was associated with viral cause and increased severity of viral acute respiratory tract infection (ARI) during infancy.23

METHODS

Study design and setting

We conducted an analysis of 383 mother-infant dyads enrolled in the TCRI to investigate the association of a familial atopic predisposition with the viral...
cause (HRV or RSV) and severity of infant ARIs. The rationale and methods for the TCRI cohort have been reported previously.23 The TCRI is a prospective cohort of 673 term (≥37 weeks), non-low-birth-weight (≥2250 g) infants and their mothers designed to investigate the association of characteristics of infant viral ARIs, such as severity and cause, and familial atopic predisposition on the development of early childhood asthma and atopy.23 This investigation included the 383 mother-infant dyads in which the infant presented for an unscheduled clinic or emergency department visit or hospitalization and was determined to have a sole HRV- or RSV-induced ARI. Mother-infant dyads were enrolled at the time of an infant ARI during 4 viral respiratory seasons, September through May 2004-2008.23 Dyads were recruited in the inpatient, emergency department, and clinic settings at a single academic institution, and the children are currently being followed longitudinally through age 6 years to ascertain asthma and atopy outcomes. Each woman provided written informed consent for participation of herself and her infant. The Vanderbilt University Institutional Review Board approved the study.

During study enrollment, research nurses administered an in-person structured questionnaire that included questions regarding demographics, the infant’s home environment, the index infant’s illness, previous medical history of the infant, and detailed family asthma and atopic disease history, including maternal responses to the International Study of Asthma and Allergies in Children questionnaire.23 At enrollment, research nurses obtained nasal and throat swabs from the infants for viral detection. Through a structured medical chart review, information was abstracted regarding the infant’s medical visit, including birth weight, room air pulse oximetry, requirement for supplemental oxygen, history of prior wheezing, and detailed medical information. Final discharge diagnoses were obtained through chart review after discharge.

**Ascertainment of maternal asthma and atopy**

Self-reported maternal asthma status was defined as a positive response to the question “Have you ever had asthma?” which was asked as part of the International Study of Asthma and Allergies in Children questionnaire and/or to the question “Were you diagnosed with asthma as a child?” Maternal atopy was determined based on skin prick test results or allergen-specific IgE levels. Preferentially, women underwent skin prick tests to saline, histamine, and 8 Aeroallergens: cat, Alternaria species, grass mix #7, ragweed mix, oak mix, Trixophyton species, mite mix, and cockroach mix (Quintest Extract Tray; Hollister-Stier, Spokane, Wash). Allergen-specific IgE measurement (Phadiatop; Phadia, Kalamazoo, Mich) was performed on maternal blood samples for women who could not undergo skin prick tests or who had an inadequate skin test. Multiallergen screens for specific IgE (Phadiatop) were measured with the ImmunoCAP250 (performed by the Johns Hopkins DACI laboratory).26 A positive Phadiatop result was defined as ≥0.35 kU/L or greater by using the standards in place at the time the assays were performed.27,28 Among women for whom allergen sensitization status was determined based on skin prick test results or allergen-specific IgE levels, we classified women by whether they reported a history of asthma into 3 mutually exclusive categories: atopic asthma, nonatopic asthma, and no asthma. Women with self-reported asthma and evidence of allergen sensitization (≥1 positive skin prick test response or positive Phadiatop result) were classified as having atopic asthma, women with self-reported asthma and without evidence of allergen sensitization were classified as having nonatopic asthma, and women who did not report self-reported asthma were in the no asthma group.

**Viral cause of ARIs**

Nasal and throat swabs were obtained from infants at the time of enrollment, and the biospecimens were processed, placed in aliquots, and stored at −80°C. The specimens were tested in batches for RSV A and B, HRV, adenovirus, human metapneumovirus, coronaviruses, influenza A and B, and parainfluenza types 1, 2, and 3 by using real-time RT-PCR with the Cepheid Smart Cycler II, as previously described.23 PCR results were used to identify infants with a sole RSV- or HRV-induced ARI.

**Infant ARIs**

Infants included in this study had an HRV- or RSV-induced ARI, either viral upper respiratory tract infections (URTIs) or LRTIs. Children with a URTI had a health care provider’s diagnosis of a viral URTI and/or symptoms, including fever, cough, congestion, hoarse cry, otitis media, and/or rhinorrhea without evidence of lower respiratory tract symptoms or respiratory distress. Infants with a physician’s diagnosis of bronchiolitis or wheezing, signs and symptoms consistent with bronchiolitis on chart review, or both were considered to have a viral LRTI.23,24 We determined the severity of the ARI by using an ordinal bronchiolitis severity score with factors including respiratory rate, room air oxygen saturation, and the presence and extent of wheezing and flaring and retractions. Scores range from 0 to 12, with higher scores indicating more severe illness.29,30

**Covariates**

Other variables of interest obtained from the questionnaire administered at enrollment included self-reported maternal race/ethnicity, maternal education, secondhand smoke (SHS) exposure, infant’s insurance type (Tennessee Medicaid, private, or none), infant’s birth weight (in grams), infant’s sex, infant’s age at enrollment (in weeks), and siblings.

**Statistical analysis**

Descriptions of demographics and characteristics of the 383 infants with sole HRV or RSV infections are presented as frequencies and proportions for categorical variables and medians and interquartile ranges for continuous variables. Univariate analyses were conducted to compare maternal asthma factors by the infant’s HRV- or RSV-induced ARI status by using the Wilcoxon rank sum test for continuous variables or the Pearson χ² test for categorical variables. In our analyses we first defined maternal asthma using self-reported asthma in the women. In addition, to examine the association of maternal asthma in combination with an objective measure of atopy, we repeated all analyses using a more detailed definition that classified women as having atopic asthma, nonatopic asthma, or no asthma by incorporating their skin prick test or allergen-specific IgE findings. Therefore we investigated whether (1) having a mother with self-reported asthma and (2) having a mother with atopic or nonatopic asthma was associated with an infant’s ARI with HRV or RSV. We assessed the association of measures of maternal asthma and virus type in the overall ARI group (combined URTI and LRTI) and next among the LRTI subgroup. We applied a logistic regression model with variable HRV(+) or RSV(+) as a binary outcome variable and maternal asthma as defined above, as our main factor along with covariates. Because of our limited regression power determined by the HRV(+) group, we used propensity score adjustment to prevent overfitting because the propensity score analysis adjusts for many confounding factors simultaneously while preserving analytical power.31 Variables in the propensity score model included self-reported maternal asthma with infant HRV or RSV severity using the bronchiolitis severity score for infants with sole HRV- or RSV-induced ARI and in the subgroups with LRTIs. We used the proportional odds model to evaluate the association of maternal asthma with infant HRV or RSV severity using the bronchiolitis severity score. For infants with RSV, a priori selected variables in the multivariable
models included maternal race/ethnicity, SHS exposure, infant’s insurance type, infant’s birth weight, infant’s sex, infant’s age at enrollment, and number of siblings. Analyses among HRV-induced LRTIs were limited by small sample size for a full covariates model, and therefore we performed a propensity score-adjusted model that included infant’s sex, age, and birth weight. We performed an interaction analysis to assess whether the association between maternal asthma and severity of the infant’s ARI was different depending on the infant’s HRV or RSV status. The proportional odds model was used with a cross-product term of maternal history of asthma and virus positivity (RSV+ and HRV+) and adjustment for covariates.

Statistical analyses were performed with R version 2.12.1 software.32

RESULTS

A total of 383 infants with sole infection with either HRV or RSV were included in this study. Table I highlights the demographics and characteristics of the cohort by infant ARI cause, as determined by means of PCR: positive for HRV only (n = 104) or RSV only (n = 279). Compared with infants with RSV, infants with HRV were more likely to be older (20 vs 9 weeks, \( P < .001 \)), have Medicaid insurance (79% vs 61%, \( P = .002 \)), have mothers who were African American (32% vs 18%, \( P < .001 \)), have a URTI versus an LRTI (61% vs 4%, \( P < .001 \)), and have a lower median bronchiolitis severity score (2 vs 6.5, \( P < .001 \)).

### Association of infant HRV- and RSV-induced ARIs and LRTIs and maternal asthma

Among infants with ARIs and the LRTI subgroup, we determined the association of self-reported maternal asthma and infant HRV- or RSV-induced ARIs (both URTIs and LRTIs). Infants with HRV were more likely to have a mother with self-reported asthma than infants with RSV (28% vs 15%, \( P = .005 \), Table I). In adjusted analyses, compared with infants whose mothers did not have asthma, infants with a mother with self-reported asthma had an increased relative odds of having HRV-induced than RSV-induced ARI (adjusted odds ratio, 2.02; 95% CI, 1.15-3.52). In analyses limited to infants with LRTIs, infants with HRV were more likely to have a mother with self-reported asthma than infants with RSV (32% vs 15%, \( P = .008 \)). In adjusted analyses infants with a mother with self-reported asthma had an increased relative odds of having HRV-induced than RSV-induced LRTI (adjusted odds ratio, 2.87; 95% CI, 1.34-6.18).

### Association of infant HRV- or RSV-induced ARIs and maternal atopic and nonatopic asthma

Maternal allergen sensitization determined based on skin prick test results or allergen-specific IgE levels was available for 97% of the mothers (n = 366) and was used to identify maternal atopic asthma. A larger percentage of infants with HRV-induced ARIs had a mother with atopic asthma than infants with RSV-induced ARIs (19% vs 9%, respectively), whereas the percentages of infants with a mother with nonatopic asthma were similar (8% vs 7%, respectively). Having a mother with atopic asthma was associated with increased odds of an infant having HRV versus RSV infection when compared with having a mother without asthma (propensity score-adjusted odds ratio, 2.42; 95% CI, 1.19-4.90). Having a mother with nonatopic asthma compared with no asthma was not associated with viral cause (adjusted odds ratio, 1.26; 95% CI, 0.51-3.10).

### Association of infant HRV- or RSV-induced LRTIs and maternal atopic and nonatopic asthma

A larger percentage of infants with HRV-induced LRTIs had a mother with atopic asthma than infants with RSV-induced LRTIs (10/39 [26%] vs 21/258 [8%]); however, the percentages of infants with a mother with nonatopic asthma were similar (3/39 [8%] vs 18/258 [7%]). In multivariable propensity score-adjusted analyses there was a statistically significant association with having a mother with atopic asthma compared with having a mother without asthma for infants with HRV-induced LRTIs compared with RSV-induced LRTIs (adjusted odds ratio, 4.12; 95% CI, 1.67-10.17). There was not a statistically significant

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**Table I. Infant and maternal characteristics by type of infant ARI among dyads enrolled in the TCRI, September to May 2004-2008**

| Characteristic | HRV only (n = 104) | RSV only (n = 279) | P value |
|---------------|-------------------|-------------------|---------|
| Estimated gestational age (wk), median (IQR) | 39 (38-40) | 39 (38-40) | .073 |
| Infant sex, no. (%) | Infant sex, no. (%) | Infant sex, no. (%) | Infant sex, no. (%) | Infant sex, no. (%) |
| Male | 67 (64) | 148 (53) | Female | 37 (36) | 131 (47) |
| Birth weight (g), median (IQR) | 3345 (3062-3629) | 3260 (2984-3657) | .57 |
| Infant’s age at ARI (wk), median (IQR) | 20 (7.8-35.8) | 9 (6-17) | <.001 |
| Infant insurance, no. (%) | Infant insurance, no. (%) | Infant insurance, no. (%) | Infant insurance, no. (%) | Infant insurance, no. (%) |
| Private | 15 (14) | 90 (32) | Medicaid | 82 (79) | 171 (61) |
| None | 7 (7) | 18 (6) | Any breast-feeding, no. (%) | 64 (62) | 150 (54) | .17 |
| Prior wheezing/treatment, no. (%) | 44 (43) | 110 (40) | .43 |
| SHS, no. (%) | 53 (51) | 148 (54) | .68 |
| Maternal race/ethnicity, no. (%) | Maternal race/ethnicity, no. (%) | Maternal race/ethnicity, no. (%) | Maternal race/ethnicity, no. (%) | Maternal race/ethnicity, no. (%) |
| White | 46 (44) | 195 (70) | <.001 |
| Black | 33 (32) | 49 (18) | | |
| Latino | 19 (18) | 29 (10) | | |
| Other | 6 (6) | 6 (2) | | |
| Maternal age (y), median (IQR) | 24 (21-30) | 25 (22-30) | .19 |
| Maternal asthma, no. (%) | Maternal asthma, no. (%) | Maternal asthma, no. (%) | Maternal asthma, no. (%) | Maternal asthma, no. (%) |
| No asthma | 71 (73) | 227 (84) | | |
| Nonatopic asthma | 8 (8) | 19 (7) | .022 |
| Atopic asthma | 18 (19) | 23 (9) | | |
| Maternal education (y), median (IQR), n = 303 | 12 (11-14) | 12 (12-14) | .32 |
| Siblings | 1 (0-2) | 1 (1-2) | .12 |
| Any day care, no. (%) | 34 (33) | 56 (20) | .01 |
| Enrollment season, no. (%) | 2004-2005 | 25 (24) | 71 (25) | .18 |
| 2005-2006 | 39 (38) | 74 (27) | | |
| 2006-2007 | 26 (25) | 82 (29) | | |
| 2007-2008 | 14 (13) | 52 (19) | | |
| URTI or LRTI, no. (%) | URTI or LRTI, no. (%) | URTI or LRTI, no. (%) | URTI or LRTI, no. (%) | URTI or LRTI, no. (%) |
| URTI | 63 (61) | 11 (4) | <.001 |
| LRTI | 41 (39) | 268 (96) | | |
difference between infants having a mother with nonatopic asthma compared with no asthma for infants with HRV-induced LRTIs compared with those with RSV-induced LRTIs (adjusted odds ratio, 1.40; 95% CI, 0.38-5.21).

**Association of infant HRV- and RSV-induced ARI and LRTI severity and atopic asthma**

In separate analyses for infants with either HRV or RSV infection, we examined whether the severity of the infant’s respiratory tract infection was associated with having a mother with self-reported asthma. In infants with HRV, the association between self-reported maternal asthma and infant infection severity was not statistically significant in the ARI group (both URTIs and LRTIs; adjusted odds ratio, 2.10; 95% CI, 0.94-4.70) or the LRTI group (adjusted odds ratio, 1.42; 95% CI, 0.44-4.60; Table II). In infants with RSV, the association between self-reported maternal asthma and infant infection severity was not significant in the total ARI group (adjusted odds ratio, 0.73; 95% CI, 0.40-1.34) or the LRTI group (adjusted odds ratio, 0.84; 95% CI, 0.45-1.56; Table II).

**Association of infant HRV- and RSV-induced ARI and LRTI severity and atopic and nonatopic maternal asthma**

Next, in separate analyses for infants with either HRV or RSV infections, we examined whether the severity of the infant’s respiratory tract infection was associated with whether the infant’s mother had atopic or nonatopic asthma. Among infants with HRV-induced ARI, having a mother with atopic asthma was associated with increased ARI severity (Fig 1), and in adjusted analyses there was a more than 3-fold increased relative odds of having more severe illness compared with infants whose mothers did not have asthma (adjusted odds ratio, 3.10; 95% CI, 1.21-7.98; Table II). The interaction analysis investigating a differential effect of maternal atopic asthma by whether the infant had HRV or RSV on infection severity was also statistically significant ($P = .01$). This relationship was not seen when limited to the subgroup of infants with HRV-induced LRTIs; however, the number of infants with HRV-induced LRTIs was very small ($n = 41$) and could be adjusted through propensity scores for only the infant’s age, sex, and birth weight (Table II). There was not an association between maternal atopic asthma and infection severity in infants with RSV-induced ARIs or the RSV-induced LRTI subgroup (Table II).

**DISCUSSION**

RSV and HRV are the most common viruses associated with infant ARIs, and RSV- and HRV-induced LRTIs are a leading cause of respiratory morbidity and hospitalizations in the first year of life.1-3 Viral LRTIs during infancy and early childhood are also well established to be associated with an increased risk of asthma later in childhood.1-3,7,12-22 Because of the known differential risk of early childhood asthma after RSV- and HRV-induced infant infections, we were interested in studying whether a familial predisposition to asthma and allergies was associated with the viral cause of the infant’s ARI and the severity of the ARI.4-22,33-41 Several small studies have not found an association between familial predisposition and bronchiolitis; however, in our prior large, population-based cohort investigation of infants, we found that having a mother with asthma was associated with increased risk and severity of bronchiolitis during infancy.20 In the current investigation we sought to expand on previous findings by addressing the research questions of whether infants with HRV-induced ARIs or LRTI subgroups are more likely to have a familial predisposition to asthma than those with RSV-induced infections and whether a familial predisposition to asthma is associated with more severe infant HRV- or RSV-induced ARIs.

In our previous work we found that although, as a group, infants with a history of bronchiolitis had an increased risk of early
childhood asthma, infants who had bronchiolitis during HRV-predominant months had a 25% increased risk of early childhood asthma compared with infants who had bronchiolitis during RSV-predominant months. Furthermore, in the COAST birth cohort, in which all children have a familial predisposition to asthma, investigators found that HRV-induced wheezing illnesses in the first 3 years of life were associated with a 9.8-fold relative odds of asthma at 6 years compared with a 2.6-fold increase among children with RSV. We were able to assess whether maternal asthma and atopy were associated with the viral cause and the virus-specific severity of the infant’s infection leading to an unscheduled health care visit because this cohort consisted of infants with and without a familial predisposition to asthma, and we used objective measures of maternal atopy and molecular techniques to determine the viral cause of the infant’s respiratory tract infection. We found that infants with a mother with atopic asthma had an increased relative odds of having HRV-induced ARIs than RSV-induced ARIs compared with infants whose mothers did not have asthma. It is notable that although the prevalence of self-reported maternal asthma is higher in children with HRV (28%), the 15% prevalence of maternal asthma among infants with RSV-induced ARIs is higher than the asthma prevalence in the adult population in the United States. These data support the notion of differential susceptibility to HRV among patients with atopic asthma. Continued follow-up of these children until the age of 6 years will further delineate whether it is the subset of infants with HRV-induced ARIs and a familial atopic predisposition who will have asthma and allergic diseases later in childhood or have more severe asthma or recurrent exacerbations. Ultimately, this might help us to understand whether there is an altered host response or increased susceptibility to HRV among patients with atopic asthma.

There are several limitations of this work. This study included a convenience sample of mother-infant dyads in which all infants...
presented for an unscheduled health care visit and not a cohort followed from birth. However, the study included participants recruited during viral seasons over 4 years, which should serve to strengthen the generalizability of the findings. A single episode of RSV- or rhinovirus-induced ARI was captured. It is likely that children had additional viral infections during infancy. The primary focus of this study was the association of a maternal atopic predisposition and the infant’s ARI severity, and therefore we were not able to investigate the outcome of bronchiolitis incidence as in our larger, population-based retrospective cohort of mother-infant dyads. In addition, maternal asthma was determined based on self-report and not based on objective criteria, such as airway reversibility testing. However, we used a validated instrument and self-reported asthma in young adults in whom there is little overlap with other diseases, and thus there is high specificity. Furthermore, this study included objective measures of atopy. Lastly, we cannot completely rule out the possible influence of unknown or unmeasured potential confounding factors, as with all observational studies.

In summary, infant HRV infections requiring clinical care were more strongly associated with having a mother with atopic asthma than infant RSV infections. In addition, infants with HRV-induced ARIs who had a mother with atopic asthma had more than a 3-fold increased relative odds of having a more severe ARI compared with that seen in infants with HRV whose mothers did not have asthma. This relationship was not seen in infants with RSV infections. These findings suggest that there is likely an underlying genetic basis for the risk of and response to respiratory tract infections during infancy and that the mechanisms underlying the increased asthma risk after HRV- and RSV-induced bronchiolitis might be different. Future longitudinal investigations successful at preventing or modifying the host response to infant viral infections will provide insight into the relationship of infant viral infections and early childhood asthma, as will investigations that assess the atopic host and nonatopic host response to select respiratory pathogens.

Key messages

- Infants whose mothers had atopic asthma had increased relative odds of having a rhinovirus-induced ARI than RSV infection compared with the odds in infants whose mothers did not have asthma.
- In infants with rhinovirus, having a mother with atopic asthma was associated with 3-fold increased relative odds of more severe illness, a relationship not seen in infants with RSV.
- For infants with rhinovirus-induced ARIs, a familial atopic predisposition might partly explain the subsequent increased risk of asthma and differential susceptibility to rhinovirus among asthmatic patients.

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