TOWARDS LOW-DISTORTION MULTI-CHANNEL SPEECH ENHANCEMENT: THE ESPNET-SE SUBMISSION TO THE L3DAS22 CHALLENGE

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ABSTRACT
This paper describes our submission to the L3DAS22 Challenge Task 1, which consists of speech enhancement with 3D Ambisonic microphones. The core of our approach combines Deep Neural Network (DNN) driven complex spectral mapping with linear beamformers such as the multi-frame multi-channel Wiener filter. Our proposed system has two DNNs and a linear beamformer in between. Both DNNs are trained to perform complex spectral mapping, using a combination of waveform and magnitude spectrum losses. The estimated signal from the first DNN is used to drive a linear beamformer, and the beamforming result, together with this enhanced signal, are used as extra inputs for the second DNN which refines the estimation. Then, from this new estimated signal, the linear beamformer and second DNN are run iteratively. The proposed method was ranked first in the challenge, achieving, on the evaluation set, a ranking metric of 0.984, versus 0.833 of the challenge baseline.

Index Terms— beamforming, multi-microphone complex spectral mapping, multi-channel speech enhancement, deep learning.

1. INTRODUCTION
Multi-channel speech enhancement (SE) is an important pre-processing step for many applications, such as hands-free speech communication, hearing aids, smart speakers, and automatic speech recognition (ASR) \cite{1}. In its broad definition, it consists of joint denoising and dereverberation of a desired target speech signal from a noisy-reverberant multi-channel mixture signal captured by a microphone array. This arduous problem has been effectively addressed in the last decade with DNN-based methods, which have been firmly established as the de-facto mainstream approach for speech enhancement \cite{2}. Multi-channel DNN-based methods can be roughly divided into hybrid \cite{3,7} and fully-neural \cite{8,12} methods. The former combines DNNs with conventional signal processing based techniques, using the DNNs to drive, for example, dereverberation algorithms such as Weighted Prediction Error (WPE) \cite{13} or classical beamforming algorithms such as Minimum Variance Distortionless Response (MVDR) and Multi-Channel Wiener Filter (MCWF) \cite{1,14}. In fully-neural systems, DNNs are trained to directly estimate the target speech from the mixture. The DNN can have either a Multiple Input Single Output (MISO) structure or can be used to directly estimate linear beamforming filters in the Short-Time Fourier Transform (STFT) domain \cite{9} or in the time domain \cite{8}. Fully-neural methods are effective and often outperform hybrid techniques for what regards signal-level SE metrics such as Scale Invariant Signal-to-Distortion Ratio (SI-SDR) \cite{15}. Short-time Objective Intelligibility (STOI) \cite{16} etc. However, unlike conventional dereverberation and beamforming algorithms, they tend to introduce non-linear distortions, which can degrade the performance of downstream tasks, such as Automatic Speech Recognition (ASR) \cite{17,18}. This problem can be mitigated by using end-to-end training or Deep Feature Loss (DFL) \cite{19,20}. On the other hand, these techniques require re-training or fine-tuning whenever the back-end model changes and are cumbersome to apply when there are multiple downstream tasks.

This trade-off between signal-level SE metrics and ASR performance is at the core of the L3DAS22 speech enhancement challenge since the models are ranked by considering both STOI \cite{21} and Word Error Rate (WER). While correlated to some degree, the two metrics reflect two highly different downstream application scenarios: ASR and human listening for STOI. Our goal is to devise a “generalist” SE model, optimized independently from these metrics or the backend ASR models, but able to significantly improve both.

To address this arduous problem, we employ a framework derived from previous works \cite{2,22}, which combines the merits of hybrid and fully-neural methods: namely, beamforming’s ability at producing low-distortion estimates and DNN’s high capacity at suppressing non-target signals. Compared with \cite{22}, in this study we perform multi-channel enhancement by beamforming directly on 3D Ambisonic microphone format. We introduce here one main novelty: applying a multi-frame beamformer \cite{7,24,25} with the beamforming filter estimated directly from the DNN estimated target signal. We show that this helps to tackle the problem of target signal misalignment explained in Section \cite{2}. We propose an iterative neural/beamforming enhancement (iNeuBe) architecture including two TCN-DenseUNet \cite{23} which are employed in a MISO configuration and a beamformer. Our system is depicted in Fig. \ref{fig:1}. The first DNN (DNN\textsubscript{1}) takes in input the complex STFT coefficients of the multi-channel input mixture signal (Y) and regresses directly the complex STFT coefficients of the target signal ($\hat{S}^{(1)}$). DNN\textsubscript{2} enhanced signal ($\hat{S}^{(2)}$) is used to drive a multi-frame MCWF (mfMCWF) at the first ($i = 0$) iteration to derive a low-distortion estimate of the target signal ($\hat{S}^{(1)}$). Both $\hat{S}^{(1)}$ and $\hat{S}^{(2)}$ are then used as additional features for the second DNN (DNN\textsubscript{2}) to refine the target estimate. The output of DNN\textsubscript{2} ($\hat{S}^{(2)}_{i=0}$) can be used iteratively in place of $\hat{S}^{(1)}$ to compute another refined beamforming result $\hat{S}^{(2)}_{i=1}$ which is then fed back to DNN\textsubscript{2} together with $\hat{S}^{(2)}_{i=0}$.

The proposed framework placed first in the L3DAS22 speech enhancement challenge, achieving a Task 1 challenge metric of 0.984 on the evaluation set, versus 0.833 achieved by the official baseline and 0.975 by the runner-up system. This indicates that the proposed
approach is a promising step towards "generalist" multi-channel SE, as it achieves both low WER and high STOI without any fine-tuning with the back-end ASR model or use of STOI-derived losses.

We have made our implementation available through the ESPNet-SE toolkit [20].

2. L3DAS22 TASK 1 DESCRIPTION

The L3DAS22 3D speech enhancement task (Task 1) [21] challenges participants to predict the dry speech source signal from its far-field mixture recorded by two four-channel Ambisonic-format signals in a noisy-reverberant office environment. The challenge dataset is "semi-synthetic". It consists of 252 measured room impulse responses (RIRs). The Signal-to-Noise-Ratio (SNR) is sampled from the range $[5, 25]$ dB and mixing the convolved signals together. The microphone placement is fixed, four microphones, are employed to record the RIRs. A single room is used for RIR measurement. The microphone placement is fixed, with one at the room center and the other 20 cm apart. Notably, the room configuration and microphone placement do not change between training and testing, and the source positions are distributed uniformly inside the room. Artificial mixtures are generated by convolving dry speech and noise signals with the measured RIRs and mixing the convolved signals together. The Signal-to-Noise-Ratio (SNR) is sampled from the range $[6, 16]$ dBFS (decibels relative to full scale). The generated A-format Ambisonic mixtures are then converted to B-format Ambisonic. The total amount of data is around 80 hours for training and 6 hours for development.

The challenge ranks the submitted systems using a combination of STOI [28] and WER:

$$\text{Task1 Metric} = (\text{STOI} + (1 - \text{WER}^{1.1}))/2,$$

where $\text{WER}^{1.1} = \min(\text{WER}, 1)$. The values of STOI and WER are both in the range of $[0, 1]$, so is the composite metric. The WER is computed based on the transcription of the estimated target signal and that of the reference signal, both decoded by a pre-trained Wav2Vec2 ASR model [29].

We emphasize that the goal of the challenge is recovering the dry speech signal from a far-field noisy-reverberant mixture. As such, the metrics above are computed with respect to the dry ground truth. This makes the task extremely challenging, because, besides removing reverberation and noises, a system also needs to time-align the estimated signal with the dry speech signal in order to obtain a good STOI. STOI, in fact, contrary to WER, is highly sensitive to time-shifts: e.g. a shift in the order of 100 samples alone can decrease the STOI value from 1.0 to 0.9 for the very same oracle target speech. Thus it is required that the model performs, either implicitly or explicitly, localization of the target source inside the room, so that an aligned estimate can be produced.

3. PROPOSED METHOD

3.1. System Overview

Let us denote the dry speech source signal as $s[n] \in \mathbb{R}$ and the far-field mixture recorded by Ambisonic microphones as $y[n] \in \mathbb{R}^P$, where $n$ indexes discrete time and $P (= 8$ in this study) is the number of channels. Following the challenge baseline [9], our proposed system operates on the STFT spectra of the B-format Ambisonic signals. We denote the STFT coefficients of the mixture and dry speech signal at time $t$ and frequency $f$ as $Y(t, f) \in \mathbb{C}^P$ and $S(t, f) \in \mathbb{C}$, respectively. For simplicity, we will omit in the following the $t$ and $f$ indexes, and denote the STFT spectra simply as $Y$ and $S$, and signals as $y$ and $s$.

Our proposed iNeuBe framework, illustrated in Fig. 1 contains two DNNs and a linear beamforming module in between. Both DNNs have a MISO structure and are trained using multi-microphone complex spectral mapping [12, 20, 21], where the real and imaginary (RI) components of multiple input signals are concatenated as input features for the DNNs to predict the RI components of the target speech.

More in detail, for DNN1 we concatenate the RI components of $Y$ as input to predict the RI components of $S$. DNN1 produces an estimated target speech $\hat{S}^{(1)}$, which is at the first iteration $i = 0$ used to compute an mMCWF for the target speech. Subsequently, the RI components of the beamforming result $\hat{S}_{\text{mMCWF}}^{(1)}$ and the input mixture $Y$, and $\hat{S}^{(1)}$ are concatenated and fed as input for DNN2 to further refine the estimation for the RI components of $S$. $\hat{S}^{(2)}_2$ produces another refined estimation of $S$, i.e. $\hat{S}^{(2)}_{i=0}$, which can be used iteratively in place of $\hat{S}^{(1)}$ to recompute the beamforming result and as an additional feature to DNN2.

The rest of this section describes the DNN architecture, the loss function employed for the DNN training, the mMCWF beamforming algorithm, and the run-time iterative procedure.

3.2. Multi-Microphone Complex Spectral Mapping

We employ the TCN-DenseUNet architecture described in the Fig. 15 of [23] for both DNN1 and DNN2 (the parameters are not shared). It is a temporal convolution network (TCN) sandwiched by a U-Net derived structure. DenseNet blocks are inserted at multiple frequency scales of the encoder and decoder of the U-Net. This network architecture has shown strong performance in tasks such as speech enhancement, dereverberation and speaker separation [12, 22, 23].

The network takes as input a real-valued tensor with shape $C \times T \times F$, where $C$ is the number of channels, $T$ the number of STFT frames and $F$ the number of STFT frequencies. The RI components of different input signals are concatenated along the channel axis and fed as feature maps to the network. In the case of DNN1, $C$ equals 16 as we have 8 microphone channels. Linear activation units are used in the output layer to obtain the predicted RI components of the target signal. Each network has around 6.9 million parameters.

Given the DNN-estimated RI components, denoted as $\hat{R}^{(b)}$ and $\hat{I}^{(b)}$ where $b \in \{1, 2\}$ indicates which of the two DNNs produces the outputs, we compute the enhanced speech as $\hat{s}^{(b)} = \hat{R}^{(b)} + j \hat{I}^{(b)}$, where $j$ is the imaginary unit, and use inverse STFT (iSTFT) to re-synthesize the time-domain signal $\hat{s}^{(b)} = \text{iSTFT}(\hat{s}^{(b)})$. After that, we equalize the gains of the estimated and true source signals by using a scaling factor $\alpha$, and define the loss function on the scaled, re-synthesized signal and its STFT magnitude:

$$L^{(b)}_{\text{Wav+Mag}} = \|\hat{s}^{(b)} - s\|_1 + \|\text{STFT}(\hat{s}^{(b)}) - \text{STFT}(s)\|_1,$$
where \(\| \cdot \|_1\) calculates the \(L_1\) norm, \(\| \cdot \|\) computes magnitude, and \(\text{STFT}(\cdot)\) extracts a complex spectrogram. \(\hat{\alpha} = \argmin_\alpha \|s - \alpha \hat{b}\|^2 = (s^T \hat{b})/ (\hat{b}^T \hat{b}),\) where \(\|\cdot\|\) computes the \(L_2\) norm. The loss on magnitude can improve metrics such as STOI and WER which favor signals with a good estimated magnitude [32].

Before training, we normalize the sample variance of the multi-channel input mixture to 1.0. We do the same normalization also for the dry speech source signal. We found this normalization procedure essential for training our models as there is a significant gain mismatch between the reference and the mixture signals.

### 3.3. Multi-Frame MCWF

Based on the estimated target signal \(\hat{S}(b)\) produced by DNN or DNN\(_2\), following [23] we compute an mfMCWF per frequency through the following minimization problem:

\[
\min_w \sum_t |\hat{S}(b)(t, f) - w(f)\hat{Y}(t, f)|^2, \tag{3}
\]

where \(\hat{Y}(t, f) = [Y(t-l, f)^T, \ldots, Y(t, f)^T, \ldots, Y(t+r, f)^T]^T\) and \(w(f) \in \mathbb{C}^{l+1+r}\). \(l\) and \(r\) control the context of frames for beamforming, leading to a single-frame MCWF when \(l\) and \(r\) are zeros, and an mfMCWF when \(l\) and \(r\) are positive. The minimization problem is quadratic, and a closed-form solution \(w(f)\) is available:

\[
\hat{w}(f) = \hat{\Phi}(f)^{-1} \hat{z}(b)(f) \tag{4}
\]

\[
\hat{\Phi}(f) = \sum_t \hat{Y}(t, f)\hat{Y}(t, f)^H \tag{5}
\]

\[
\hat{z}(b)(f) = \sum_t \hat{Y}(t, f)\hat{s}(b)(t, f)^*, \tag{6}
\]

where \((\cdot)^*\) computes complex conjugate. The beamforming result \(\hat{S}_{\text{MFMCWF}}\) is computed as:

\[
\hat{S}_{\text{MFMCWF}}(f, b) = \hat{w}(f)\hat{Y}(f, t). \tag{7}
\]

Notice that in the computation of \(\hat{z}(b)(f)\) and \(\hat{\Phi}(f)\), we average over all the frames in each utterance and compute a time-invariant beamformer, implicitly assuming that the transfer functions between the arrays and sources do not change within each utterance. This is a valid assumption for the L3DAS22 setup [21]. We emphasize that our approach directly performs beamforming on Ambisonic signals.

As outlined in Section 2, the dry source signal is not time-aligned with the far-field mixture. In this scenario, a multi-frame beamformer is highly desirable, as a larger context of frames can be leveraged by the beamformer to compensate the signal shift. This DNN-supported mfMCWF was proposed recently in [7]. The major difference is that here we use multi-microphone complex spectral mapping to obtain \(\hat{S}(b)\), which consists of DNN-estimated magnitude and phase. In contrast, [7] uses monaural real-valued magnitude masking on the far-field mixture to obtain \(\hat{S}(b)\) and hence \(\hat{S}(b)\) has the mixture phase. When target speech is not time-aligned with the mixture, our approach is clearly more principled, as the DNN is free to estimate an \(\hat{S}(b)\) that is sample-aligned with \(S\). Instead, if real-valued masking is used, the estimated signal would be aligned with the mixture.

For similar reasons, other multi-frame filters [24, 25] cannot align their predictions with the dry target signal. In addition, although they have shown good performance for signals recorded by omnidirectional microphones, it is unclear whether they can be directly applied for signals in Ambisonic format. In contrast, our mfMCWF can readily deal with both formats, without any changes.

### Table 1: Results of one-DNN systems on dev. set. Approaches marked with * use additional STOI loss and ASR-based Deep Feature loss.

| Approaches | WER (%) | STOI | Task1 Metric |
|------------|---------|------|--------------|
| Challenge Baseline | 25.0 | 0.870 | 0.810 |
| DNN | 18.2 | 0.874 | 0.846 |
| Conv-TasNet [35] MVDR* | 5.56 | 0.821 | 0.883 |
| DCCRN* [33] | 18.8 | 0.907 | 0.860 |
| Demucs v2* [34] | 26.3 | 0.851 | 0.794 |
| Demucs v3* [34] | 15.3 | 0.874 | 0.860 |
| DNN\(_1\) | 3.90 | 0.964 | 0.963 |

### 4. EXPERIMENTAL SETUP

#### 4.1. Configurations of Proposed Method

Regarding our iNeuBe architecture, we use an STFT window size of 32 ms and a hop size of 8 ms. As analysis window we employ square-root Hanning. DNN\(_1\) and DNN\(_2\) are trained separately and in a sequential manner: after DNN\(_1\) is trained, we run it on the entire training set to generate the beamforming results and obtain \(\hat{S}_{\text{MFMCWF}}\). This new beamformed estimate, together with \(\hat{S}_{\text{MFMCWF}}\) and \(\hat{Y}\), can then be fed back again to DNN\(_2\) to produce an estimate at iteration two, \(\hat{S}_{\text{MFMCWF}}^{(2)}\), and so on.

### 5. RESULTS

Table 1 compares the challenge metrics obtained by the different models introduced in Section 4.2. For these models we used additional losses related to the challenge metrics: namely the STOI loss and a DFL derived from the Wav2Vec2 ASR back-end used by the challenge to compute the WER scores. In detail we used as DFL the log-Mean-Squared Error (MSE) between the Wav2Vec2 final-layer activations when it is fed the enhanced signal versus when it is fed the oracle target speech signal. Despite the proposed model is trained in a back-end agnostic way, i.e. without using DFL and STOI
related losses, it significantly outperforms the other models which instead rely on additional loss terms associated with the particular challenge task. In addition, a noticeable trend is that the models employing complex spectral mapping (DNN1, DCCNR and Demucs v2 and v3) consistently obtain higher STOI than Conv-TasNet MVDR, which is based on mask-based beamforming. The models that rely on complex spectral mapping, being unconstrained, are capable of producing an aligned estimate with respect to the true oracle signal, leading to inherently higher STOI. In contrast, mask-based beamforming methods, as explained in Section 3, produce an estimate that is constrained to be aligned with the input mixture signals.

In Table 2 we first report the mfMCWF results by using DNN1’s output to compute the beamformer. We set l and r to different values. We can see that mfMCWF consistently outperforms single-frame MCWF, which is the same as mfMCWF with l = 0 and r = 0. The best performance is obtained by using a quasi-symmetrical configuration of l = 4 past frames and r = 3 future frames, and the resulting linear mfMCWF even obtains better scores than the non-linear DNN1. For comparison, we also report the result of the magnitude-mask based mfMCWF in [7]. In this latter model, we slightly modify the TCN-DenseUNet architecture, and train through the mask based mfMCWF and compute the loss in Eq. (2) based on the beamformed signal. We found this training-through mechanism essential to make the mask-based mfMCWF work. We tried using the DNN1’s output to derive a magnitude mask and compute the beamformer (i.e., without training-through). However, this led to severely degraded performance, because the DNN1’s output is not time- and gain-aligned with the far-field mixture and hence it is not straightforward how to compute a valid magnitude mask. Also, the mask based mfMCWF needs to designate one of the microphones as the reference, meaning the resulting beamformed signal cannot be fully aligned with the dry source signal. For this reason, complex spectral mapping for mfMCWF computation leads to higher performance.

In Table 3 we report the results of including DNN2 into our system. Clear improvement is obtained over DNN1 and DNN1+mfMCWF. Run-time iterative estimation (up to two iterations), denoted as DNN1+(mfMCWF+DNN2)×2, further improves the performance, at a cost of increased computational requirements.

In Table 4 we report the results obtained on the challenge evaluation set by a subset of configurations explored in Table 3. We notice that the results between the development set and evaluation set are consistent. Our proposed approach ranked first among all the submissions to the L3DAS22 Task 1 speech enhancement challenge and shows a remarkable improvement over the baseline system and a significant improvement over the runner-up system.

In Table 5 we additionally provide the results obtained by only using the first ambisonic microphone for testing. The signals at both ambisonic microphones are used for training, and this doubles the number of training examples. The number of filter taps for mfMCWF is increased from 8 to 16. The results on the development set are close to the ones obtained by using both ambisonic microphones for training and testing (compare the last rows of Table 5 and 4).

### 6. CONCLUSIONS

In this paper we have described our submission to the L3DAS22 Task 1 challenge. Our proposed iNeuBe framework relies on an iterative pipeline of linear beamforming and DNN-based complex spectral mapping. In our method, two DNNs are employed in a MISO configuration and use complex spectral mapping to estimate the target speech signal. The first DNN output is used to drive an mfMCWF, and a second DNN, taking the outputs of the first DNN and the mfMCWF as additional input features, is used to further refine the estimated target speech signal from the first DNN. The second DNN and linear beamforming can be run iteratively and we show that up to the second iterations there are noticeable improvements, especially regarding WER.

Compared to previous work, we propose here the use of mfMCWF and show that computing mfMCWF weights using DNN-based complex spectral mapping output can have significant advantages in the challenge scenario. Our proposed method ranked first in the L3DAS22 challenge, significantly outperforming the baseline and the second-best system. As additional contributions we also performed several ablation studies weighing different configurations and the contribution of each block in the iNeuBe framework.

Finally, we also compared our proposed approach with multiple state-of-the-art models and showed that it can achieve remarkably better challenge metrics, with both lower WER and higher STOI, even when the competing models are trained with back-end task aware losses.

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1. See https://www.13das.com/icassp2022/results.html for the full ranking.
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