Floquet analysis of the modulated two-mode Bose-Hubbard model

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We study the tunneling dynamics in a time-periodically modulated two-mode Bose-Hubbard model using Floquet theory. We consider situations where the system is in the self-trapping regime and either the tunneling amplitude, the interaction strength, or the energy difference between the modes is modulated. In the former two cases, the tunneling is enhanced in a wide range of modulation frequencies, while in the latter case the resonance is narrow. We explain this difference with the help of Floquet analysis. If the modulation amplitude is weak, the locations of the resonances can be found using the spectrum of the non-modulated Hamiltonian. Furthermore, we use Floquet analysis to explain the coherent destruction of tunneling (CDT) occurring in a large-amplitude modulated system. Finally, we present two ways to create a NOON state (a superposition of $N$ particles in mode 1 with zero particles in mode 2 and vice versa). One is based on a coherent oscillation caused by detuning from a partial CDT. The other makes use of an adiabatic variation of the modulation frequency. This results in a Landau-Zener type of transition between the ground state and a NOON-like state.

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I. INTRODUCTION

Ultracold atomic gases are novel systems with a high degree of controllability, making them very useful in the studies on quantum phenomena. The possibility to control the parameters during experiments is essential, for example, in quantum information processing (e.g., Refs. [1, 2]) and matter-wave interferometry (e.g., Refs. [3–5]). In this paper, we discuss the dynamics of an ultracold bosonic gas trapped in a time-periodically modulated potential. The dynamics of periodically modulated quantum systems has attracted both theoretical (e.g., Refs. [6–32]) and experimental (e.g., Refs. [33–41]) interest during recent years. It is known that a modulated system has resonances at which the tunneling is either suppressed or enhanced. In the neighborhood of a resonance, the behavior of the system is very sensitive to the modulation frequency.

The suppression of tunneling by modulating the energy difference between the modes is known as the coherent destruction of tunneling (CDT) [33–38]. CDT was discovered in Ref. [33], where the motion of a charged particle in a lattice under the influence of an oscillating electric field was studied. It was shown that an initially localized particle remains localized in a one-dimensional lattice if the amplitude and frequency of the electric field are chosen suitably. In Ref. [34], CDT was found to occur in systems consisting of a particle subjected to a periodic force and trapped in a double-well potential. Recently, the coherent destruction of tunneling has been actively studied in the context of ultracold bosonic atoms (e.g., Refs. [35–41]).

Unlike the CDT, which is typically observed under the condition that the tunneling coupling is larger than or comparable to the interaction energy, the enhancement of tunneling by modulation can take place in a system where the interaction energy dominates over the tunneling coupling. In the absence of modulation, the large interaction energy suppresses tunneling for energetic reasons [42–44]. This leads to a very long tunneling period (the time needed for $N$ particles to tunnel from one mode to another and back). However, by modulating the tunneling matrix element, it is possible to enhance the many-particle tunneling and thereby reduce the tunneling period [45]. In this paper, we analyze the reasons behind the enhanced tunneling with the help of a detailed Floquet analysis. In order to make the analysis more complete, we consider also systems where either the interaction strength or the energy difference between the modes is modulated. We find that these two methods provide an alternative way to enhance tunneling. It is shown that the width of the resonance, that is, the range of modulation frequencies corresponding to the enhanced tunneling, depends strongly on whether the tunneling matrix element, the interaction strength, or the energy difference between the two modes is modulated; the resonance is wider in the former two cases. We explain this difference with the help of Floquet theory and the eigenvalues of the non-modulated Hamiltonian.

We analyze also the coherent destruction of tunneling using Floquet theory. It is known that CDT can be caused by modulating either the energy difference between the modes or the interaction strength. We study only the Floquet spectrum of the former system because it has not received much attention in the literature, unlike the Floquet spectrum of the interaction-modulated system [22, 26]. In addition to this, we present two ways to
generate NOON-like (Schrödinger’s-cat–like) states. The first is based on the CDT induced by a large-amplitude modulation of interaction strength, whereas the second makes use of a small-amplitude modulation of the tunneling coupling.

This paper is organized as follows. In Sec. II we define the modulated Bose Hubbard Hamiltonian. In Sec. III we give a short summary of the Floquet theory used in this article. Section IV discusses in depth the results of the Floquet analysis for systems in the self-trapping regime subjected to a small-amplitude modulation. In Sec. V, the coherent destruction of tunneling is examined using Floquet theory. It is also shown that NOON states can be created with the help of partial CDT. In Sec. VI, a way to create NOON states using adiabatic sweep across an avoided crossing is presented. Finally, the conclusions are in Sec. VII.

II. TIME-PERIODICALLY MODULATED TWO-SITE BOSE HUBBARD HAMILTONIAN

We consider a system described by the two-mode Bose-Hubbard Hamiltonian. For definiteness, we assume that this model is realized physically by bosons trapped in a double-well potential. We consider situations where either the tunneling amplitude, the interaction strength, or the energy difference between the wells is modulated periodically in time. This system is described by the Hamiltonian

\[ 
\hat{H}(t) = -J(t)(\hat{c}_1^\dagger \hat{c}_2 + \hat{c}_2^\dagger \hat{c}_1) + \frac{U(t)}{2}(\hat{c}_1^\dagger \hat{c}_1 \hat{c}_1^\dagger \hat{c}_1 + \hat{c}_2^\dagger \hat{c}_2 \hat{c}_2^\dagger \hat{c}_2) + \frac{V(t)}{2}(\hat{c}_1^\dagger \hat{c}_1 - \hat{c}_2^\dagger \hat{c}_2) 
\]

\[ = -2J(t)\hat{S}_x + U(t)\hat{S}_z^2 + V(t)\hat{S}_z. \tag{1} \]

Here \( J \) is the tunneling matrix element, \( U \) is the on-site interaction, and \( V \) is the energy difference between the wells (tilt). We have introduced the SU(2) generators defined as

\[ 
\hat{S}_x = \frac{1}{2}(\hat{c}_1^\dagger \hat{c}_2 + \hat{c}_2^\dagger \hat{c}_1), \tag{3} \]

\[ \hat{S}_y = \frac{1}{2i}(\hat{c}_1^\dagger \hat{c}_2 - \hat{c}_2^\dagger \hat{c}_1), \tag{4} \]

\[ \hat{S}_z = \frac{1}{2}(\hat{c}_1^\dagger \hat{c}_1 - \hat{c}_2^\dagger \hat{c}_2), \tag{5} \]

where \( \hat{c}_i(\hat{c}_i^\dagger) \) annihilates (creates) an atom in mode \( i \).

We define the time-dependent tunneling matrix element as

\[ J(t) = J_0[1 + A_J \sin (\omega t + \phi_J)], \tag{6} \]

where \( J_0 \) is the amplitude of the time-independent part and \( A_J \in [0, 1] \) gives the relative amplitude of the time-dependent tunneling matrix element. The modulated tilt and interaction strength are defined as

\[ U(t) = U_0 + U_1 \sin (\omega t + \phi_U), \tag{7} \]

\[ V(t) = V_0 + V_1 \sin (\omega t + \phi_V), \tag{8} \]

where \( U_0, V_0 \) \((U_1, V_1)\) are the amplitudes of the static (time-dependent) part of the interaction and the tilt, respectively. In the above equations, \( \omega \) is the modulation frequency and \( \phi_J, \phi_U, \) and \( \phi_V \) are the phase offsets. In this paper, time is measured in units of

\[ T_0 = \frac{\pi}{J_0}, \tag{9} \]

which is the tunneling period in the absence of the interaction \((U_0 = U_1 = 0)\) and the tilt \((V_0 = V_1 = 0)\). Here and in what follows, we set \( \hbar = 1 \).

III. FLOQUET OPERATOR

If the Hamiltonian \( \hat{H} \) is periodic in time, Floquet theory provides a powerful tool to analyze the dynamics of the system. In the following, we denote the modulation period of \( \hat{H} \) by \( T_\omega \). In our case, the modulation is sinusoidal and hence \( T_\omega = 2\pi/\omega \). According to the Floquet theorem (see, e.g., Ref. [14]), the time-evolution operator \( \hat{U}_F \) determined by the Hamiltonian of Eq. (2) can be written as

\[ \hat{U}_F(t) = \hat{M}(t)e^{-itK}, \tag{10} \]

where \( \hat{M} \) is a periodic matrix with minimum period \( T_\omega \) and \( \hat{M}(0) = I \) and \( K \) is a time-independent operator. We define the Floquet operator \( \hat{F} \) as

\[ \hat{F} = \hat{U}_F(T_\omega) \tag{11} \]

\[ = \mathcal{T} \left\{ \exp \left[ -i \int_0^{T_\omega} \hat{H}(t)dt \right] \right\}, \tag{12} \]

where \( \mathcal{T} \) is the time-ordering operator. At times \( t = nT_\omega \), where \( n \) is an integer, we get \( \hat{U}_F(nT_\omega) = e^{-inTK} = \hat{F}^n \).

The Floquet operator is a mapping between the state at \( t = 0 \) and the state after one modulation period \( T_\omega = 2\pi/\omega : \Psi(T_\omega) = \hat{F}\Psi(0) \). The columns of the Floquet operator \( \hat{F} \) can be obtained by following the time evolution of the basis states for one modulation period. Each time-evolved basis state forms a column of the matrix \( \hat{F} \). The Hilbert space of a two-mode system containing \( N \) bosons is \( \mathbb{C}^{N+1} \). The basis of this Hilbert space can be chosen to be \( |\Delta N\rangle : \Delta N = -N, -N+2, -N+4, \ldots , N \rangle \), where \( |\Delta N\rangle \) is a state with \((N+\Delta N)/2\) particles in mode 1 and \((N-\Delta N)/2\) particles in mode 2. Any pure state of the system can be written as

\[ \psi = \sum_{\Delta N=-N}^{N} C_{\Delta N}|\Delta N\rangle, \tag{13} \]
where the amplitudes \( \{ C_{\Delta N} \} \) are complex numbers. If \( N \) is even (odd), \( \Delta N \) takes only even (odd) values.

In order to characterize the eigenstates of the Floquet operator, we define the parity operator \( \hat{P} \) as

\[
\hat{P}|\Delta N\rangle = |-\Delta N\rangle.
\]  
(14)

It can alternatively be written as \( \hat{P} = (-i)^N e^{i\pi \hat{S}_z} \). The eigenvalues of \( \hat{P} \) are 1 and \(-1\), corresponding to even and odd parity, respectively. Because \( \hat{P}^\dagger \hat{S}_z \hat{P} = -\hat{S}_z \), the Hamiltonian, and consequently the time-evolution operator, commutes with \( \hat{P} \) if the tilt vanishes. Then the eigenstates of \( \hat{F} \) are also eigenstates of \( \hat{P} \) and either \( C_{\Delta N} = C_{-\Delta N} \) or \( C_{\Delta N} = -C_{-\Delta N} \) holds for the components of the eigenvectors of \( \hat{F} \). In the former case, the eigenstate has even parity and is said to be symmetric, while in the latter case the parity is odd and the eigenstate is called antisymmetric. Furthermore, the absolute values of the coefficients \( \{ C_{\Delta N} \} \) of an eigenstate have maxima at \( \Delta N = \pm k \), where \( k \geq 0 \) is an integer. We denote such an eigenstate by \( \psi_k^{(\pm)} \), where \(+\) (−) indicates that the eigenvector is symmetric (antisymmetric). If \( J_0 \ll U_0 N \) and the signs of the eigenvalues are defined appropriately, we get

\[
\psi_N^{(\pm)} \approx \frac{1}{\sqrt{2}} (|N\rangle \pm |−N\rangle),
\]  
(15)

which is valid to zeroth order in \( J_0/U_0 N \). For non-zero \( V_0 \) or \( V_I \), the Floquet eigenstates are neither exactly symmetric nor antisymmetric because \( \hat{S}_z \) is not invariant under the parity operator. However, since the time average of the \( \hat{S}_z \) term is zero (we assume that \( V_0 = 0 \)), the Floquet eigenstates are almost symmetric or antisymmetric, provided \( V_1 \) is small. We thus use the notation \( \psi_i^{(\pm)} \) also in this case. Note that, in the case of large-amplitude modulation of the tilt, the Floquet eigenstates cannot be classified in this way.

The Floquet operator is a unitary operator, and therefore the eigenvalue corresponding to the eigenvector \( \psi_i^{(\pm)} \) can be written as \( e^{i\phi_i^{(\pm)}} \). The eigenvalue equation becomes

\[
\hat{F}\psi_i^{(\pm)} = e^{i\phi_i^{(\pm)}} \psi_i^{(\pm)}.
\]  
(16)

In this paper, we call \( \phi_i^{(\pm)} \in [−\pi, \pi] \) the phase of a Floquet eigenvalue.

Assume that the initial state is \( |N\rangle \approx (\psi_N^{(+)} + \psi_N^{(−)})/\sqrt{2} \). At \( t = nT_\omega \) \((n \in \mathbb{N})\), the state reads

\[
\hat{F}^n|N\rangle \approx \frac{e^{ins_n^{(\pm)}}}{\sqrt{2}} \left( \psi_N^{(+)} + e^{i\phi_N^{(−)}} \psi_N^{(−)} \right).
\]  
(17)

If \( n|\phi_N^{(−)} - \phi_N^{(+)})| \approx \pi \), we get \( \hat{F}^n|N\rangle \approx |−N\rangle \); that is, the system has tunneled from \( |N\rangle \) to \( |−N\rangle \). In this paper, we define the tunneling period as the time needed for the system to tunnel from \( |N\rangle \) to \( |−N\rangle \) and back. In terms of the phases of the Floquet eigenvalues, the tunneling period reads

\[
T \approx \frac{2\pi T_\omega}{|\phi_N^{(−)} - \phi_N^{(+)})|}.
\]  
(18)

Increasing \( |\phi_N^{(−)} - \phi_N^{(+)})| \) reduces the tunneling period and vice versa. When \( \phi_N^{(+)}) = \phi_N^{(−)} \), the tunneling period diverges.

IV. TUNNELING PERIOD AND FLOQUET ANALYSIS IN THE SELF-TRAPPING REGIME

In this section, we consider the tunneling of bosons in the self-trapping regime characterized by \( U_0 N/2J_0 \gg 1 \). Assume that in the initial state all \( N \) particles are either in site 1 or site 2. The reduction of the interaction energy by single-particle tunneling is of order \( \sim U_0 N \). This reduction cannot be compensated by the increase of the kinetic energy, which is approximately given by \( \sim J_0 \). As a consequence, single-particle tunneling is suppressed (self-trapping), and all \( N \) particles stay in the same well for a long time. In this situation, oscillations between the states \( |N\rangle \) and \( |−N\rangle \) occur through higher-order cotunneling [15]. In Ref. [28] it was found that the tunneling period of the higher-order co-tunneling can be drastically reduced by modulating the tunneling matrix element \( J \). As we show here, a similar phenomenon can be seen when the tilt is modulated (we set \( V_0 = 0 \)). In Figs. [1] and [2] we show the tunneling period \( T \) for the modulated tunneling matrix element and tilt, respectively. As an example, we have set \( N = 5 \) and \( U_0/J_0 = 4 \) in the both cases. We see that the behavior of \( T \) as a function of the modulation frequency \( \omega \) depends strongly on whether \( J \) or \( V \) is modulated. This difference can be explained using Floquet analysis.

Before analyzing the system in detail, we first summarize two key points. One is the parity of the operator whose coefficient is modulated, and the other is the shift in the phases of the Floquet eigenvalues due to an avoided crossing. The parity of \( \hat{S}_x \) is even and that of \( \hat{S}_z \) is odd. Therefore, \( \hat{S}_z \) couples Floquet eigenstates of the same parity, and \( \hat{S}_x \) couples those of the opposite parity. This means that in the case of modulated \( J [V] \), there is an avoided crossing between Floquet eigenstates of the same [opposite] parity. The differences in the behavior of the tunneling period can be attributed to the parities of the states undergoing an avoided crossing. Below we show that usually \( \phi_i^{(−)} > \phi_i^{(+)}) \) (\( \phi_i^{(−)} > \phi_i^{(−)} \)) holds for odd (even) \( N \). However, this is not necessarily the case near avoided crossings where the values of \( \phi_i^{(±)} \) are shifted. These shifts lead to either suppression or enhancement of the tunneling.

We have chosen \( N = 5 \) and \( U_0/J_0 = 4 \) in this section. The results can, however, be straightforwardly generalized to any value of \( N \) and \( J_0/U_0 \), as long as \( N > 1 \) and \( U_0 N/J_0 \gg 1 \). We remark that Figs. [3] [5] b), and
FIG. 1: (Color online) Tunneling period $T$ in the case of modulated tunneling matrix element $J$ for $N = 5$, $U_0/J_0 = 4$, and $A_J = 0.1$ (and $V_0 = V_1 = U_1 = 0$). We have set $\phi_J = 0$, but there are no noticeable differences for different values of $\phi_J$. There is a drastic reduction of $T$ in a wide range around $\omega/J_0 \approx 16$. Very narrow resonances in the region $\omega/J_0 \lesssim 10$ are not shown. The vertical red dotted lines and arrows show the positions of the resonances evaluated from Eq. (22) using the energy eigenvalues of the time-independent Hamiltonian. This figure is adopted from Ref. [28].

FIG. 2: (Color online) Tunneling period $T$ in the case of modulated tilt for $N = 5$, $U_0/J_0 = 4$, and $V_1/J_0 = 0.2$ (and $V_0 = A_J = U_1 = 0$). We have set $\phi_V = 0$, but there are no noticeable differences for different values of $\phi_V$. The vertical red dotted lines and arrows show the positions of the resonances evaluated from Eq. (22) using the energy eigenvalues of the time-independent Hamiltonian. The top panel of Fig. 4 are taken from Ref. [28], but the detailed Floquet analysis of the $J$ modulation, as well as the entire analysis of the effects of the tilt and interaction modulation, has not been presented elsewhere.

### A. Time-independent Hamiltonian

If the modulation amplitude is small and the system is not near an avoided crossing, the Floquet eigenstates and eigenvalues turn out to be close to the ones determined by the time-independent part of the Hamiltonian, given by

$$\hat{H}_0 = -2J_0 \hat{S}_x + U_0 \hat{S}_z^2.$$  \hspace{1cm} (19)

As a consequence, some important properties of the modulated system, such as the positions of the resonances, can be explained by analyzing the spectrum of $\hat{H}_0$.

We assume that $U_0N \gg J_0$ and $V_0 = 0$. In order to compare the time-evolution operator of the original time-dependent modulated system with that determined by Hamiltonian (19), we define the Floquet operator $\hat{F}_0$, corresponding to $\hat{H}_0$ as

$$\hat{F}_0 = e^{-i\omega T_0}, \quad T_0 = \frac{2\pi}{\omega}.$$  \hspace{1cm} (20)

We denote the eigenvalues of the time-independent Hamiltonian by $E_{0,i}^{(\pm)}$, where we use the same indexing as in the case of the eigenvectors of the Floquet operator $\hat{F}$. The phases of the Floquet eigenvalues are given by

$$\phi_{0,k}^{(\pm)} = -E_{0,k}^{(\pm)} T_0 \mod 2\pi.$$  \hspace{1cm} (21)

We find that $E_{0,i}^{(+1)} < E_{0,i}^{(-)}$ for odd $N$ and $E_{0,i}^{(+2)} > E_{0,i}^{(-)}$ for even $N$. Because of the minus sign in Eq. (21), the opposite holds for the phases of the Floquet eigenvalues $\phi_{0,k}^{(\pm)}$. The situation is similar in the presence of a small-amplitude modulation, and thus, normally, $\phi_{0,l}^{(+) > \phi_{0,l}^{(-)} \text{ (} \phi_{0,l}^{(+) > \phi_{0,l}^{(-)} \text{ (odd (even))}}$. Now $E_{0,k} > E_{0,l}$ if $k > l \geq 0$. Using this and the equation $\partial_\omega \phi_{0,k}^{(\pm)} = E_{0,k}^{(\pm)} 2\pi/\omega^2$, we see that $\phi_{0,k}^{(\pm)}$ increases faster as a function of $\omega$ the larger $k$ is. This means that if $k > l$, $\phi_k$ approaches $\phi_l$ from below as $\omega$ increases [see Fig. 3(b) for an example]. The phases $\{\phi_{0,k}^{(\pm)}\}$ cross repeatedly as $\omega$ increases. A crossing occurs when $\omega$ satisfies

$$n\omega \approx |E_{0,k} - E_{0,l}|,$$  \hspace{1cm} (22)

with $n = 1, 2, 3, \ldots$. The last crossing between $\phi_k$ and $\phi_l$ is at $\omega \approx |E_{k} - E_{l}|$. In the limit of $\omega \to \infty$, the phases of all the Floquet eigenvalues approach zero from the negative side.

In the specific case $N = 5$ and $U_0/J_0 = 4$, corresponding to Figs. 1 and 2, the crossing points between $\phi_k$ and the other phases are at $\omega/J_0 = 28.01$ (crossing with $\phi_n^{(+) = 22.63 (\phi_n^{(-)}), 15.93 (\phi_n^{(+) = 15.31 (\phi_n^{(-)}).}$ These are obtained from Eq. (22) with $n = 1$. Note that $E_3^{(+) = E_3^{(-)}$ and $E_5^{(-)}$, and thus $\phi_5^{(+) = \phi_5^{(-)}$, are almost identical.

The crossing points corresponding to $n = 1$ and 2 in the region $\omega/J_0 > 10$ are shown by the vertical red dotted lines and arrows in Figs. 1 and 2. We see that the
is schematically shown in Fig. 3(c). In an array of the phases of the Floquet eigenvalues near the crossings (circles and ellipses in Figs. 3(a) and 3(b)). The behavior changes from Fig. 1 and Fig. 3(a). From Fig. 3 we see that a large $\omega/J$ values as a function of $\phi$ for the parameters used in Fig. 1 (apart from the range of the horizontal axis), shows the tunneling period $T$ as a function of the modulation frequency $\omega$. Panel (b) shows the phases of the Floquet eigenvalues, $\phi_{(\Delta N)}$, as a function of $\omega$. Panel (c) shows the schematic behavior of the Floquet eigenvalues near the crossing points in panel (b). Each resonance observed in panel (a) corresponds to one of the three types of crossings shown in panel (c). In panels (a) and (b), crossings of types 1, 2, and 3 are labeled by the magenta solid, green dashed, and blue dotted curves, respectively.

![Floquet eigenvalues](image)

FIG. 3: (Color online) Results of the Floquet analysis for the case of Fig. 1, i.e., modulated hopping parameter $J$. Panel (a), which is the same as Fig. 1 (apart from the range of the horizontal axis), shows the tunneling period $T$ as a function of the modulation frequency $\omega$. Panel (b) shows the phases of the Floquet eigenvalues, $\phi_{(\Delta N)}$, as a function of $\omega$. Panel (c) shows the schematic behavior of the Floquet eigenvalues near the crossing points in panel (b). Each resonance observed in panel (a) corresponds to one of the three types of crossings shown in panel (c). In panels (a) and (b), crossings of types 1, 2, and 3 are labeled by the magenta solid, green dashed, and blue dotted curves, respectively.

positions of all the resonances shown in Figs. 1 and 2 are well explained by the energy eigenvalues of the time-independent Hamiltonian. Based on this fact, we can say that the positions of the crossings are the same irrespective of the modulated variable.

**B. Modulated $J$**

Next we consider the modulation of the tunneling matrix element; see Figs. 1 and 3. The value $\langle \psi_i | \hat{S}_x | \psi_j \rangle$ can be non-zero only if the Floquet eigenstates $\psi_i$ and $\psi_j$ have the same parity. Consequently, there is an avoided crossing between eigenstates with the same parity.

In Fig. 3(b), we show the phases of the Floquet eigenvalues as a function of $\omega/J_0$ for the parameters used in Fig. 1 [and Fig. 3(a)]. From Fig. 3 we see that a large change of $T$ occurs when $\phi_5^{(+)}$ crosses the other $\phi_{(\Delta N)}^{(+)}$’s [circles and ellipses in Figs. 3(a) and 3(b)]. The behavior of the phases of the Floquet eigenvalues near the crossings is schematically shown in Fig. 3(c). In an $N$-particle system, there are $N - 2$ different types of crossings between $\phi_{(\Delta N)}^{(+)}$ and other $\phi_{(\Delta N)}^{(\pm)}$’s. Because now $N = 5$, we have three types of crossings; each resonance corresponds to one of these. In the following, we analyze in detail each of these three crossing types.

1. **Type 1**

A crossing between $\phi_{3}^{(+)}$ and $\phi_{3}^{(-)}$ leads to a reduction of $T$ in a wide range of $\omega$ around $\omega/J_0 \simeq 16$. This crossing is indicated in Figs. 3(a) and 3(b) by the solid magenta circle. The detailed structure of the crossing is shown schematically in the top figure in Fig. 3(c). Since $\phi_{3}^{(+)}$ and $\phi_{3}^{(-)}$ are almost equal, the avoided crossings between $\phi_{3}^{(+)}$ and $\phi_{3}^{(-)}$ and between $\phi_{3}^{(+)}$ and $\phi_{3}^{(-)}$ occur almost simultaneously [the red-solid circles in Fig. 3(c)]. Because $\phi_{3}^{(+)} > \phi_{3}^{(-)}$ for odd $N$ outside the crossing region (see Sec. IV A) and $\hat{S}_x$ couples Floquet eigenstates with the same parity, the first avoided crossing occurs between...
\(\phi_3^-(\text{left red solid circle})\) and \(\phi_5^-(\text{left red solid circle})\) as the modulation frequency increases. Due to the repulsion between these two levels, the splitting between \(\phi_5^-(\text{left red solid circle})\) is increased near the avoided crossing and thus the tunneling period is reduced. The second avoided crossing takes place between \(\phi_3^+(\text{right red solid circle})\) and \(\phi_5^+(\text{right red solid circle})\). Note that, after the first avoided crossing, the states \(\psi_3^-(\text{left blue solid circle})\) and \(\psi_5^-(\text{left blue solid circle})\) have been interchanged [between the red solid circles] and the energy splitting between \(\phi_5^-(\text{left red solid circle})\) remains large until the second avoided crossing at which \(\psi_3^+(\text{left blue solid circle})\) and \(\psi_5^+(\text{left blue solid circle})\) are interchanged. These successive avoided crossings lead to a reduction of the tunneling period in a wide range of \(\omega/J_0\).

2. Type 2

Because of the large quasienergy splitting between \(\phi_4^+(\text{left blue solid circle})\) and \(\phi_5^-(\text{left red solid circle})\), the points where \(\phi_5^-(\text{left red solid circle})\) crosses \(\phi_4^+(\text{left blue solid circle})\) are far apart. We call a crossing between \(\phi_5^-(\text{left red solid circle})\) and \(\phi_4^+(\text{left blue solid circle})\) a type 2 crossing and that between \(\phi_5^-(\text{left red solid circle})\) and \(\phi_4^+(\text{left blue solid circle})\) a type 3 crossing. With increasing \(\omega\), a type 2 crossing first yields a reduction and then an enhancement of the tunneling period. We show the schematic structure of a type 2 crossing in the middle figure in Fig. 3(c). The resonances around \(\omega/J_0 \approx 11\) and \(\omega/J_0 \approx 23\), indicated by the green dashed curves in Figs. 3(a) and 3(b), correspond to type 2 crossings.

Suppose that the crossing is approached from the small \(\omega/J_0\) side. Far from the avoided crossing \(\phi_5^+(\text{left red solid circle}) > \phi_5^-(\text{left red solid circle})\) as explained in Sec. 1VA. Since \(\hat{S}_2\) couples Floquet eigenstates with the same parity, \(\phi_1^+(\text{left blue solid circle})\) undergoes an avoided crossing with \(\phi_5^-(\text{left red solid circle})\) (the large red solid circle). Near the avoided crossing, the energy splitting between \(\phi_5^-(\text{left red solid circle})\) is enhanced, which leads to the reduction of the tunneling period. Just after the avoided crossing (around the vertical dashed line), the states \(\psi_1^-(\text{left blue solid circle})\) and \(\psi_5^-(\text{left blue solid circle})\) are interchanged and, unlike in the usual situation, \(\phi_5^-(\text{left red solid circle}) > \phi_5^+(\text{left blue solid circle})\). Since \(\phi_5^+(\text{left blue solid circle})\) is larger than \(\phi_5^-(\text{left red solid circle})\) far from the avoided crossing also on the large \(\omega/J_0\) side, \(\phi_5^+(\text{left blue solid circle})\) and \(\phi_5^-(\text{left red solid circle})\) cross each other (the small blue solid circle), which yields a divergence of the tunneling period.

3. Type 3

As opposed to a type 2 crossing, a type 3 crossing (crossings between \(\phi_3^+(\text{left blue solid circle})\) and \(\phi_1^+(\text{left blue solid circle})\)) gives first an enhancement and then a reduction of the tunneling period. The resonances at \(\omega/J_0 \approx 14\) and \(\omega/J_0 \approx 28\), which are indicated by the blue dotted ellipses and circles in Figs. 3(a) and 3(b), correspond to type 3 crossings. A detailed schematic structure of a type 3 crossing is shown in the bottom figure in Fig. 3(c). Suppose again that we approach the crossing from the small \(\omega/J_0\) side. In this case, we have an avoided crossing between \(\phi_4^+(\text{left blue solid circle})\) and \(\phi_5^-\) (the small blue solid circle). The phase \(\phi_5^-(\text{left red solid circle})\), which is located above \(\phi_5^-(\text{left red solid circle})\) far from the crossing, is pushed downward due to the avoided crossing with \(\phi_1^+(\text{left blue solid circle})\), and thus \(\phi_5^+(\text{left blue solid circle})\) and \(\phi_5^-\) cross each other (the small blue solid circle). This leads to the divergence of the tunneling period. After this, there is an avoided crossing between \(\phi_3^+(\text{left blue solid circle})\) and \(\phi_5^-\) (the large red solid circle), leading to an enhancement of the quasienergy splitting between \(\phi_5^-(\text{left red solid circle})\). This yields a reduction in the tunneling period. After the avoided crossing, the states \(\psi_1^+(\text{left blue solid circle})\) and \(\psi_5^-(\text{left red solid circle})\) are interchanged.

4. Type 1: Small \(A_J\)

In the top panel of Fig. 4, we show the tunneling period \(T\) for various values of the modulation amplitude \(A_J\). With decreasing \(A_J\), the resonance around \(\omega/J_0 \approx 16\) becomes narrower and finally separates into two resonances (see the case \(A_J = 0.01\) shown by the blue dashed-dotted line). The schematic behavior of the phases of the Floquet eigenvalues near the crossing is shown in the bottom panel of Fig. 4. We call this a type 1 crossing. The major difference between type 1 and type 1 crossings is the existence of two points where \(\phi_5^-(\text{left red solid circle})\) cross each other. These are indicated by the small blue solid circles, and they are located between the two avoided crossings (the large red solid circles). One can also view a type 1 crossing as a combination of type 2 and type 3 crossings.

When \(A_J\) is small, the coupling between the two states that undergo an avoided crossing is small. Thus the difference \(|\phi_5^+(\text{left blue solid circle}) - \phi_5^-(\text{left red solid circle})|\) remains very small even near the avoided crossing. Therefore, unlike in a type 1 crossing, the inverted configuration of \(\phi_5^-(\text{left red solid circle})\) (i.e., the situation \(\phi_5^-(\text{left red solid circle}) > \phi_5^+(\text{left blue solid circle})\)) cannot be sustained throughout the region between the two avoided crossings. This leads to the appearance of two crossing points, indicating a diverging tunneling period. In Ref. 1, it has been shown that the divergences are present if \(A_J \lesssim N^{-1}(J_0/U_0)^{(N-3)(N-1)}/(N-2)/(N-3)!\).

C. Modulated \(V\)

As can be seen from Figs. 1 and 2, the tunneling period behaves differently when \(V\) is modulated. In Fig. 4, a noticeable change in the tunneling period \(T\) can be seen around \(\omega/J_0 \approx 16\). There are also small, narrow resonances at \(\omega/J_0 \approx 22.5\) and \(\omega/J_0 \approx 28\). Unlike in the case of modulated \(J\), the resonance at \(\omega/J_0 \approx 16\) is not a wide and smooth reduction of \(T\) for any value of the modulation amplitude \(V_1\).

As in the case of modulated \(J\), the resonance around \(\omega/J_0 \approx 16\) is caused by a crossing between \(\phi_5^-(\text{left red solid circle})\) and
The tunneling period does not depend on \( \varphi \). We call this a type 1 \( \hat{S}_z \) case compared to the type 1 case shown in Fig. 3(c). We notice that \( \varphi \) shows the schematic behavior of the phases of the Floquet eigenstates near the resonance around \( \omega/J_0 \approx 16 \) in the case of modulated \( V \) shown in Fig. 2.

**FIG. 5:** (Color online) Schematic behavior of the phases of the Floquet eigenvalues near the resonance around \( \omega/J_0 \approx 16 \) in the case of modulated \( V \) shown in Fig. 2.

**FIG. 4:** (Color online) The top panel (taken from Ref. [28]) shows the tunneling period \( T \) for various values of the modulation amplitude. The amplitudes used are \( A_J = 0.5 \) (red dotted line), 0.1 (black solid line), 0.05 (green dashed line), and 0.01 (blue dashed-dotted line). The other parameters are the same as in Figs. 1 and 3: \( N = 5 \) and \( U_0/J_0 = 4 \) (and \( V_0 = V_1 = 0 \)). The tunneling period does not depend on \( \varphi \), and here we show \( \varphi = 0 \) for definiteness. The bottom panel shows the schematic behavior of the phases of the Floquet eigenstates near the crossing between \( \phi_3^{(+)} \) and \( \phi_3^{(-)} \) for small values of \( A_J \) (corresponding to, e.g., \( A_J = 0.01 \) in the top panel) compared to the type 1 case shown in Fig. 3(c). We call this a type 1’ crossing.

However, unlike \( \hat{S}_z \), the operator \( \hat{S}_x \) has odd parity, and it thus couples Floquet eigenstates of opposite parity. In Fig. 3 we show the schematic behavior of the Floquet eigenstates near \( \omega/J_0 \approx 16 \). Suppose that the crossing is approached from the small \( \omega/J_0 \) side. As \( \omega/J_0 \) increases, the states \( \psi_3^{(-)} \) and \( \psi_3^{(+)} \) undergo an avoided crossing, and \( \phi_3^{(+)} \) is pushed downward. Far from the avoided crossing the relation \( \phi_3^{(+)} > \phi_3^{(-)} \) holds. Because of this and the fact that \( \phi_5^{(+)} \) is pushed downward, the phases \( \phi_5^{(+)} \) and \( \phi_5^{(-)} \) cross (the left small blue circle) before the avoided crossing (the left large red circle). After the first avoided crossing, the states \( \psi_3^{(-)} \) and \( \psi_3^{(+)} \) are interchanged. Next, \( \phi_3^{(+)} \) and \( \phi_3^{(-)} \) undergo an avoided crossing (the right large red circle), and the corresponding states are interchanged. Because now \( \phi_5^{(-)} > \phi_5^{(+)} \), these phases cross after the second avoided crossing (the right small blue circle), so that \( \phi_5^{(+)} > \phi_5^{(-)} \) far away from the crossing. The two crossing points and the two avoided crossings correspond to the two divergences and the two reductions of the tunneling period, respectively. These are shown in Fig. 2 near \( \omega/J_0 \approx 16 \). Because in the present case the avoided crossings occur between Floquet eigenstates of opposite parity, the phases \( \phi_5^{(\pm)} \) necessarily cross each other outside the region of the successive avoided crossings. For this reason, a smooth reduction of \( T \) in a wide region of the modulation frequency \( \omega \) cannot be achieved by modulating the tilt. This is one of the major findings of this paper.

We note that all the other resonances are also much narrower than in the case of modulated \( J \). This is because the operator \( \hat{S}_z \), which is related to the tilt, does not contribute to the single-particle tunneling, unlike \( \hat{S}_x \). The range of \( \omega \) characterizing the width of the resonance is comparable to the quasienergy separation at the avoided crossing. This is approximately proportional to \( (\psi_5^{(\pm)} | \hat{S}_x | \psi_5^{(\mp)})^2 \) in the case of \( J \) modulation and to \( (\psi_5^{(\pm)} | \hat{S}_z | \psi_5^{(\mp)})^2 \) in the case of \( V \) modulation. The latter is smaller than the former by a factor \( \sim (J_0/U_0)^2 \). This will be discussed in more detail in Sec. V.

### D. Modulated \( U \)

Finally, we consider the case in which the on-site interaction strength \( U \) is modulated weakly \( (U_1/U_0 < 1) \). The Hamiltonian in this case is \( H = -2J_0 \hat{S}_z + U(t) \hat{S}_z^2 \), with \( U(t) \) given by Eq. [4]. Since this Hamiltonian can
be rewritten as
\[
\hat{H}(t) = \mathcal{A}(t) \left[ -2J_{\text{eff}}(t) \hat{S}_x + U_0 \hat{S}_z^2 \right],
\]
with \( \mathcal{A}(t) = 1 + \left( U_1/U_0 \right) \sin(\omega t + \phi_U) \) and
\[
J_{\text{eff}}(t) \simeq J_0 \left[ 1 + \frac{U_1}{U_0} \sin(\omega t + \phi_U + \pi) \right],
\]
we can expect that the dynamics can be reproduced by modulating \( J \) with the amplitude \( A_J = U_1/U_0 \) and phase \( \phi_J = \phi_U + \pi \) instead of modulating \( U \).

This observation is confirmed by the result shown in Fig. 6 where we compare the tunneling period \( T \) as a function of \( \omega \) in the cases of modulated \( J \) and modulated \( U \). In this example \( N = 5 \) and \( U_0/J_0 = 4 \). The result for the modulated \( J \) is taken from Fig. 1 (\( A_J = 0.1 \)). By setting \( U_1 = A_J U_0 \), i.e., \( U_1/J_0 = A_J U_0/J_0 = 0.4 \) in the present case, these two results almost coincide with each other. Note that since \( T \) does not noticeably depend on the phase of the modulation, \( U_1 = A_J U_0 \) is a sufficient condition for the behaviors of the tunneling periods to coincide.

An analysis of the phases of the Floquet eigenvalues in the case of the \( U \) modulation shows that the schematic behavior of these phases around each resonance is the same as in the case of the \( J \) modulation shown in Fig. 3(c). This can be understood by noting that \( \hat{S}_x \) and \( \hat{S}_z^2 \) have the same parity.

V. COHERENT DESTRUCTION OF TUNNELING AND THE FLOQUET SPECTRUM

In this section, we first study a system characterized by a weak interaction and a large-amplitude tilt modulation, concentrating on the properties of the Floquet spectrum. After this we examine the effects of a large-amplitude modulation of the interaction. The Floquet spectrum of this system has been analyzed elsewhere (see Refs. [22, 26]) and will not be discussed here. Instead, we propose a way to create NOON states using selective tunneling originating from the modulation of the interaction strength.

A. Modulated \( V \)

Next, we consider a case where the interaction is weak, \( UN/J_0 \ll 1 \), and the amplitude of the modulation of the tilt is large, \( V_1/J_0 \gg 1 \). We assume that the tunneling matrix element \( J \) and the interaction strength \( U \) are time-independent, that is, \( A_J = 0 \) and \( U_1 = 0 \). Furthermore, we set \( V_0 = 0 \). In this case, it is well-known that the effect of the modulation of the tilt can be approximately described by a renormalized tunneling term. In more detail, the original tunneling term \( \hat{T} \equiv -2J_0 \hat{S}_x \) is replaced by an effective one \( \hat{T}_{\text{eff}} \equiv -2J_0 \left( \frac{V_1}{\omega} \right) \left\{ \cos \left( \frac{V_1}{\omega} \cos \phi_V \right) \hat{S}_x - \sin \left( \frac{V_1}{\omega} \cos \phi_V \right) \hat{S}_y \right\} \),

\[
\hat{T}_{\text{eff}} = -2J_0 \left( \frac{V_1}{\omega} \right) \left\{ \cos \left[ \frac{V_1}{\omega} \cos \phi_V \right] \hat{S}_x - \sin \left[ \frac{V_1}{\omega} \cos \phi_V \right] \hat{S}_y \right\},
\]
where \( J_0 \) is the zeroth-order Bessel function (see Appendix A for the derivation). Coherent destruction of tunneling takes place when \( V_1/\omega \) is equal to one of the zeros of \( J_0 \). In the rest of this section, we discuss CDT in terms of the Floquet eigenvalues. This discussion holds for any \( N \geq 1 \).
In Fig. 7 we show the tunneling period $T$ as a function of the modulation frequency $\omega$ in the regime of weak interaction and large-amplitude modulation. In this calculation, we have set $N = 5$, $U_0/J_0 = 0.1$, $V_0 = 0$, $V_1/J_0 = 10$, and $A_J = U_1 = 0$. In this calculation we have set $\phi_V = 0$, but the phases of the Floquet eigenvalues do not depend on $\phi_V$. The vertical red dotted lines correspond to the values of $\omega/J_0$ which give $\mathcal{J}_0(V_1/\omega) = 0$. The red arrows show the actual positions of the peaks of $T$ (see Fig. 7).

In Fig. 8 we show the tunneling period $T$ as a function of the modulation frequency $\omega$ in the regime of weak interaction and large-amplitude modulation. In this calculation, we have set $N = 5$, $U_0/J_0 = 0.1$, $V_0 = 0$, $V_1/J_0 = 10$, and $\phi_V = 0$, and in the initial state all particles are in site 1. The first five zeros of $\mathcal{J}_0(V_1/\omega)$ are at $V_1/\omega = 2.40$, 5.52, 8.65, 11.79, and 14.93: they correspond to $\omega/J_0 = 4.16, 1.81, 1.16, 0.848$, and 0.670, respectively. These frequencies are shown by the vertical red dotted lines in Fig. 7. There is good agreement between these dotted lines and the actual positions of the peaks of $T$.

In Fig. 8 we plot the phases of the Floquet eigenvalues for the parameters used in Fig. 7. When the CDT occurs, the phases gather in pairs, the phases in each pair being almost equal, and all the pairs gather in a narrow region (red arrows in Fig. 8). This behavior can be understood by noting that the Hamiltonian is effectively $\approx U_0S^2_z$ at the point where CDT occurs, and thus $\Delta N$ becomes a good quantum number, with a twofold degeneracy with respect to $\pm \Delta N$.

Finally, we discuss the difference between even and odd $N$ cases. For even $N$, the number of the Floquet eigenvalues is $N + 1$, which is odd. Therefore, when CDT occurs, the Floquet eigenvalues are grouped into one trio and $(N - 2)/2$ pairs [cf. $(N + 1)/2$ pairs for odd $N$]. A key point is that, for even $N$, there is a Fock state $|\Delta N = 0\rangle$, which does not have a degenerate pair, unlike the other Fock states. In this case, the Floquet eigenstates near the value of $\omega$ at which CDT occurs can be classified into three types: 1) one Floquet eigenstate that has maximum amplitude at $\Delta N = 0$ component, 2) $N/2$ Floquet eigenstates that do not have maximum amplitude at the $\Delta N = 0$ component but that always have a non-zero $\Delta N$ component, and 3) $N/2$ Floquet eigenstates that do not have maximum amplitude at the $\Delta N = 0$ component and where this component becomes zero when CDT occurs. The trio consists of all the three types, and the $(N - 2)/2$ pairs consist of the second and third types. We note that, for even $N$, the degeneracies of the trio and of all the pairs are incomplete provided $U_0 \neq 0$ [25], while all the pairwise degeneracies are complete for odd $N$. Consequently, CDT is more complete for odd $N$ than even $N$.

### B. Modulated $U$

Due to the non-linear dependence of the interaction on $\Delta N$, the CDT caused by a large-amplitude modulation of the interaction strength $(U_I \gg J_0, U_0)$ is state dependent [26]. Here we assume $A_J = V = 0$ for simplicity. In this case, a condition for partial CDT between the states $|\Delta N = m\rangle$ and $|\Delta N = m - 2\rangle$ ($m$ is a positive integer) is

$$\mathcal{J}_0 \left[\frac{U_I}{\omega}(m - 1)\right] = 0; \quad (26)$$

see Appendix B for the derivation.

Unlike in the case of modulated $V$ shown in Fig. 8 only the Floquet eigenstates relevant to partial CDT show the degeneracy in the phases of the Floquet eigenvalues (see, e.g., Fig. 1 of Ref. [26]). For odd $N$, each partial CDT is associated with a perfect degeneracy of the phases of the Floquet eigenvalues while, for even $N$, some degeneracies (but not all) are incomplete provided $U_0 \neq 0$. As in the case of modulated $V$, these incomplete degeneracies are caused by the existence of the Fock state $|\Delta N = 0\rangle$. Consequently, partial CDT is generally more complete for odd $N$ than for even $N$. The Floquet spectrum in the case of large-amplitude modulation of $U$ and weak interaction has been studied in depth in Refs. [22, 24]. We refer to these references for further discussion.

Finally, we point out that it is possible to create mesoscopic Schrödinger’s-cat–like states [NOON-like states [49], i.e., states proportional to $(|N\rangle + e^{i\theta}|\bar{N}\rangle)$, where $\theta$ is a phase] using the state-dependent CDT. In this scheme, we assume that $U_0N/J_0 \ll 1$ and choose $|N\rangle$ as the initial state. We modulate $U$ at a frequency $\omega$ that corresponds to a partial CDT between $|N\rangle$ and $|N - 2\rangle$, that is, $\mathcal{J}_0[\langle U_I/\omega\rangle(N - 1)] = 0$. At this frequency the phases of the Floquet eigenstates $\psi^{(\pm)}_N$, which are very close to NOON states, become degenerate [51]. By detuning from this partial CDT, we can have a coherent oscillation (with period $T$) between $\psi^{(+)}_N$ and $\psi^{(-)}_N$. As a result, the initial state $|N\rangle$ evolves into a NOON-like state at $t = T(2n - 1)/4$, with $n = 1, 2, 3, \ldots$. With increasing the absolute value of the detuning, the period $T$ decreases but the amplitudes of the components other than $|\pm N\rangle$
increase, so that the oscillation between the NOON states is disturbed. Therefore, \( \omega \) (more precisely, \( U_1/\omega \)) should be optimized. In Fig. 9 we show the time evolution of the normalized population imbalance \( \langle \Delta N \rangle/N \) and its variance \( \sigma_{\Delta N}/N \equiv N^{-1} \sqrt{\langle \Delta N^2 \rangle - \langle \Delta N \rangle^2} \) under large-amplitude modulation of \( U \). Here \( N = 21 \) [panels (a) and (b)] and \( N = 51 \) [panels (c) and (d)], and the initial state is \( \ket{\Delta N = N} \). In the case \( N = 21 \) we have set \( U_1/J_0 = 10 \) and \( \omega/J_0 = 83.85 \), and in the case \( N = 51 \) we have set \( U_1/J_0 = 4 \) and \( \omega/J_0 = 83.4 \). Other parameters are \( U_0 = J_1 = V_0 = V_1 = 0 \). A coherent oscillation between \( \ket{N} \) and \( \ket{-N} \) is realized by slightly detuning from a partial CDT between Floquet eigenstates \( \psi^{(b)}_{\pm} \).

VI. CREATING A NOON STATE BY AN ADIABATIC SWEEP

In this section we propose another scheme to create NOON-like states. This scheme uses an adiabatic sweep of the modulation frequency. It enables us to obtain NOON-like states with \( N \lesssim 10 \) particles starting from the ground state \( \psi_g \) of the time-independent Hamiltonian \( \hat{H}_0 \). The basic idea is to create an avoided crossing between the Floquet eigenstate corresponding to \( \psi_g \) and the one corresponding to the NOON-like eigenstate \( \psi_h \) by time-periodic modulation, which changes the geometry of the (quasi)energy space to be periodic. Here, we modulate the hopping parameter \( J \) and set the tilt \( V = 0 \). Since the phase \( \phi_J \) of the modulation does not affect the result, we choose \( \phi_J = 0 \) for definiteness. The time-independent part \( \hat{H}_0 \) of the Hamiltonian \( \hat{H}(t) = \hat{H}_0 + \hat{H}_T(t) \) is given by Eq. (19), while the time-dependent part \( \hat{H}_T(t) \) is

\[
\hat{H}_T(t) = -2J_0 A_J \sin \omega t \hat{S}_z .
\]
the crossing points. Therefore, $N\psi_0^{(+)}$ is the NOON-like eigenstate $\omega$ of the system stays in the initial state $\psi_t$ and the probability $p_h(t) \equiv |\langle \psi_h | \Psi(t) \rangle|^2$ [red (medium gray) lines] at which the system undergoes a transition to the target state $\psi_h$. Note that $p_g + p_h$ shown by the green (light gray) lines in Fig. 10 is very close to unity throughout the calculations (the deviation is within 0.1%), and the system is, to a very good approximation, restricted to the subspace spanned by the two states. Thus the crossing can be described by the Landau-Zener (LZ) model. We denote the modulation period at the crossing point by $T_{\text{res}} \equiv 2\pi/\omega_{\text{res}}$. The difference between the phases of the Floquet eigenvalues at $\omega(t)$ is $\Delta \phi = \phi_N^{(+)} - \phi_{N,1}^{(+)} \simeq -(E_h - E_g)(T_t - T_{\text{res}})$. Here, we shift the phase difference so that the crossing at $\omega \simeq \omega_{\text{res}}$ is passed at $t = 0$, in accordance with the standard expression of the LZ Hamiltonian. For the linear sweep of Eq. (28), we get $T_{\text{res}}(t) = 2\pi/\omega(t) \simeq (2\pi/\omega_{\text{res}})(1 + \alpha t/\omega_{\text{res}})$. Here we assume that $\alpha t \ll \omega_{\text{res}}$. We obtain the quasienergy separation $\Delta E$ corresponding to $\Delta \phi$ near the crossing as

$$\Delta E = \frac{\Delta \phi}{T_{\text{res}}} \simeq -\alpha t \ ,$$

where we have approximated $\omega_{\text{res}} \approx E_h - E_g$. The diagonal matrix elements $H_{hh}$ and $H_\phi$ of the LZ Hamiltonian are thus $H_{hh, \phi} = \pm \Delta E/2$, where the upper sign corresponds to $H_h$ and the lower one corresponds to $H_\phi$. We found that the off-diagonal elements $H_{hg}$ and $H_{gh} = H_{hg}^*$ of the effective Hamiltonian are to a good approximation given by $H_{hg} = -J_0 A_j \langle \psi_h | \hat{S}_z | \psi_g \rangle/\sqrt{2}$. Consequently, the asymptotic value $p_g$ of the transition for even $N$, the crossing used in the creation of the NOON state is the one between $\psi_N^{(+)}$ and $\psi_N^{(-)}$. For odd $N$, it is the one between $\psi_N^{(-)}$ and $\psi_N^{(+)}$. We consider the regime $U_0 N/J_0 \gg 1$, where $\psi_N^{(+)}$ is a NOON-like state. The ground state $\psi_g$ of $H_0$ corresponds to $\psi_0^{(+)}$ (even $N$) or $\psi_0^{(-)}$ (odd $N$), and the eigenvalue of $H_0$ corresponding to $\psi_g$ is denoted by $E_g$. Similarly, the NOON-like eigenstate $\psi_h$ of $H_0$ corresponds to $\psi_N^{(+)}$. State $\psi_h$ has the highest energy among symmetric eigenstates of $H_0$, and its eigenenergy is denoted by $E_h$. Because $H_0 \sim U_0 N^2 \gg J_0 N \sim \hat{H}_{T_z}$, the eigenstates of $H_0$ are almost equal to the Floquet eigenstates except near the crossing points. Therefore, $|\langle \psi_g | \psi_0^{(+)} \rangle|^2 \simeq 1$ for even $N$, $|\langle \psi_g | \psi_0^{(-)} \rangle|^2 \simeq 1$ for odd $N$, and $|\langle \psi_h | \psi_{N,1}^{(+)} \rangle|^2 \simeq 1$. As discussed in Sec. IV-A, when $\omega$ is decreased from a sufficiently large value, the first crossing occurs between $\phi_N^{(+)}$ and $\phi_{N,1}^{(+)}$ for even $N$ and between $\phi_N^{(-)}$ and $\phi_{N,1}^{(-)}$ for odd $N$ [51]. Therefore, in principle, this scheme can be used without knowing precisely the total number of particles. The avoided crossing between the phases $\phi_N^{(+)}$ and $\phi_{N,1}^{(+)}$ is approximately at $\omega_{\text{res}} = E_h - E_g$. In the $N = 5$ case discussed earlier, this crossing corresponds to the rightmost circle in Fig. 3(b).

Let us take $\psi_g$ as the initial state. If we sweep $\omega$ adiabatically across the avoided crossing, $\psi_g$ undergoes an almost perfect transition to $\psi_h$. We consider a linear sweep of the form

$$\omega(t) = \omega_{\text{res}} - \alpha t ,$$

Fig. 11: (Color online) Asymptotic value $p_g$ of the transition probability as a function of (a) the inverse sweep rate $1/\alpha$ and (b) the modulation amplitude $A_j$ for $N = 5$ and $U_0/J_0 = 4$ (and $V_0 = V_1 = U_1 = 0$). We set $A_j = 0.5$ in (a) and $\alpha T_0^2/\pi^2 = 0.005$ in (b). The circles show the numerical results, and the solid lines show the semi-analytic results obtained from the Landau-Zener formula [29]. The initial time $t_i$ of the time evolution is chosen such that $\omega(t_i)/J_0 = 29$ in Eq. (28).
probability $p_g(t)$. $p_g \equiv \lim_{t \to \infty} p_g(t)$, is

$$p_g = \exp \left[ -\frac{2\pi}{\alpha} \left| \frac{|H_{bg}|}{\partial \tau (H_{bg} - H_s)} \right| \right] = \exp \left[ \frac{\pi J_0^2 A^2}{\alpha} \frac{|\langle \psi_h | S_z | \psi_g \rangle|^2}{\langle \psi_h | S_z | \psi_g \rangle^2} \right].$$ (30)

In Fig. 11 we show the probability $p_g$ as a function of the inverse sweep rate $1/\alpha$ [Fig. 11(a)] and the modulation amplitude $A_j$ [Fig. 11(b)]. Since $p_g(t)$ and $p_h(t)$ continue to oscillate around the asymptotic value until far after the crossing (see Fig. 10), we calculate $p_g$ by taking the time average of $p_g(t)$ after its oscillation amplitude becomes small and almost time independent. These results are shown by circles in Fig. 11. Semianalytical results obtained from Eq. (30) are shown by the red solid lines. For the parameters used here ($N = 5$ and $U_0 / J_0 = 4$), we have $E_g / J_0 = 12.31$, $E_h / J_0 = 40.31$, $|\langle \psi_h | S_z | \psi_g \rangle| = 9.697 \times 10^{-2}$, and $\omega_{res} \approx E_h - E_g = 28.00 J_0$. The agreement between the semianalytical and numerical results is very good.

Finally, we examine the experimental feasibility of this scheme. According to Eq. (30), to obtain a NOON-like state, we should satisfy the adiabaticity condition:

$$\frac{\pi J_0^2 A^2}{\alpha} \frac{|\langle \psi_h | S_z | \psi_g \rangle|^2}{\langle \psi_h | S_z | \psi_g \rangle} \gg 1.$$ (31)

In addition, the initial and the final frequencies should be outside the crossing region. Since the range of $\omega$ characterizing the crossing region is comparable to the level separation $\Delta = 2|H_{bg}|$ at the avoided crossing, the initial time $t_i$ and the final time $t_f$ of the sweep have to satisfy $|\omega(t_i, t_f) - \omega_{res}| = \alpha|t_i, t_f| \gtrsim 2|H_{bg}|$. Also the Landau-Zener formula is valid under this condition. Taking into account the adiabaticity condition (31), this leads to the requirement

$$|t_i, t_f| \gg \frac{\sqrt{2}}{\pi^2} A_j \frac{T_0}{\langle \psi_h | S_z | \psi_g \rangle}.$$ (32)

As an example, let us estimate the time scale given by this equation by using the parameters used in the experiment of Ref. 56. In this experiment, the frequency of the pair tunneling is $4J_0^2 / U_0 \approx 550$ Hz for $U_0 / J_0 = 5$; thus $T_0 \approx 0.72$ ms. If $A_j = 0.5$, the right-hand side of Eq. (32) is 9 ms for $N = 6$, 40 ms for $N = 7$, and 214 ms for $N = 8$. Therefore, a NOON state with $N \leq 7$ could be created within an experimentally accessible time, provided the value of $\omega$ can be controlled with a sufficiently high accuracy. We note that, more generally, an upper limit for $N$ for this scheme to work is $N \approx 10$. Since the width of the peaks in the probability distribution (in the Fock space) of $\psi_g$ and $\psi_h$ scales as $\sim N^{1/2}$, a few times $N^{1/2}$ should be larger than $N$ in order to have an overlap between $\psi_g$ and $\psi_h$ and to have a significant nonzero value of $|\langle \psi_h | S_z | \psi_g \rangle|$. In the present scheme, the modulation of the hopping parameter works much better than the modulation of the tilt. This can be seen using perturbation theory. A straightforward calculation shows that for odd number of particles $|\langle \psi_h | S_z | \psi_g \rangle| \sim (J_0 / U_0)^{(N-3)/2}$ and for even number of particles $|\langle \psi_h | S_z | \psi_g \rangle| \sim (J_0 / U_0)^{(N-2)/2}$. In the same way, perturbation theory shows that $|\langle \psi_h | S_z | \psi_g \rangle| \sim (J_0 / U_0)^{(N-1)/2}$ for odd $N$ and $|\langle \psi_h | S_z | \psi_g \rangle| \sim (J_0 / U_0)^{N/2}$ for even $N$. Here $\psi_h$ is the antisymmetric eigenstate of $\hat{H}_0$ with the highest energy. We see that $|\langle \psi_h | S_z | \psi_g \rangle|^2 / |\langle \psi_h | S_z | \psi_g \rangle|^2 \sim (J_0 / U_0)^2$, and consequently, the off-diagonal elements of the LZ Hamiltonian are much smaller when the tilt is modulated than when the tunneling is modulated.

VII. CONCLUSIONS

In this paper, we have considered a time-periodically modulated two-mode Bose-Hubbard model. We have discussed three types of modulations, one where the tunneling amplitude is modulated, another where the interaction strength is modulated, and a third where the energy difference between the modes (tilt) is modulated. We focused mainly on the self-trapping regime, characterized by $U_0 N \gg J_0$, and assumed that the amplitude of the modulation is small. It is known that a modulation of the tunneling amplitude can lead to a drastic reduction of the tunneling period [28]. We found that a similar effect can be induced by modulating the interaction strength or the energy difference between the modes. We have analyzed this phenomenon using Floquet theory as the main tool. We found that regardless of the modulated variable, the system has resonances at some modulation frequencies, corresponding to either greatly reduced or enhanced tunneling periods. To a good approximation, the locations of the resonances can be obtained with the help of the energy eigenvalues of the time-independent part of the Hamiltonian. Consequently, the locations of the resonances are almost independent of whether the tunneling, interaction, or tilt is modulated.

We found numerically that if the tunneling amplitude or interaction strength is modulated, the system has a wide resonance; that is, the tunneling period is greatly reduced in a wide range of modulation frequencies. This resonance is present also in the case of modulated tilt, but it is much narrower. Furthermore, the behavior of the tunneling period as a function of the modulation frequency is not smooth in this case; see Fig. 2. These differences can be explained using Floquet theory. The presence of resonances is related to avoided crossings of the phases of the Floquet eigenvalues. In the case of a modulated tunneling matrix element or interaction strength, the avoided crossings correspond to Floquet eigenstates with the same parity. In the case of modulated tilt, these avoided crossings correspond to eigenstates with opposite parity. In Sec. IV C it is shown that due to this differ-
ence, a wide smooth resonance cannot be obtained in the case of modulated tilt.

We have also analyzed cases where the interaction energy is weak in comparison with the tunneling energy, \( U_0 N/J_0 \lesssim 1 \), and the modulation amplitude of either the interaction strength or the tilt is large. Under these conditions, tunneling can be suppressed at some specific modulation frequencies. This phenomenon, the coherent destruction of tunneling, has been extensively studied in the literature. We concentrated on a property of CDT that has received less attention in the previous studies, namely, the Floquet spectrum of a system where the tilt is modulated. As expected, we found that the suppression of tunneling takes place when the phases of the Floquet eigenvalues become degenerate. For an even number of particles the suppression is more complete than that for an odd number of particles.

Finally, we have proposed two ways to create a NOON state. One is based on coherent oscillation resulting from a detuning from a partial CDT caused by modulated interaction strength. An advantage of this method is that the tunneling period does not increase exponentially with the total number of particles \( N \). The other method is based on sweeping the modulation frequency of the tunneling term adiabatically. This scheme requires neither precise knowledge of the number of particles nor fine-tuning of the modulation frequency. We have shown that by using the latter method and the parameters of a recent experiment [58], it is possible to obtain NOON states of \( N \lesssim 7 \) particles.

It is known that the mean-field theory of the time-periodically modulated two-mode Bose-Hubbard model shows chaotic dynamics (e.g., Refs. [10, 11, 13, 14, 18, 21, 22, 57]). In the future, it would be interesting to study the connection between the Floquet spectrum of the original quantum system and the chaotic mean-field dynamics. Another interesting problem to study would be the quantum dynamics determined by a time-periodically modulated Hamiltonian in the presence of dissipation. In particular, the engineered dissipation leading to squeezed states proposed in Ref. [58] is of interest.

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Appendix A: Effective hopping parameter for modulated \( J \)

Here we derive the effective tunneling amplitude in the limit of large-amplitude tilt modulation. The system follows the Schrödinger equation

\[ i\dot{\psi}(t) = \hat{H}(t)\psi(t), \]  

(A1)

with

\[ \hat{H}(t) = -2J_0 \hat{S}_x + U_0 \hat{S}_z^2 + V(t) \hat{S}_z \]  

(A2)

and \( V(t) \) given by Eq. [5]. We go to a rotating system by defining

\[ \dot{\psi}(t) = e^{i\alpha(t)\hat{S}_z} \psi(t), \]  

(A3)

where

\[ \alpha(t) = \int_0^t d\tau \left[ V_0 + V_1 \sin(\omega \tau + \phi_\nu) \right] \]  

(A4)

\[ = V_0 t + \frac{V_1}{\omega} \left[ \cos \phi_\nu - \cos(\omega t + \phi_\nu) \right]. \]  

(A5)

Using this, the Schrödinger equation becomes

\[ i\dot{\psi}(t) = \hat{H}(t)\psi(t), \]  

(A6)

where

\[ \hat{H}(t) = -2J_0 \left( \cos[\alpha(t)] \hat{S}_x - \sin[\alpha(t)] \hat{S}_y \right) + U_0 \hat{S}_z^2. \]  

(A7)

Assuming that the modulation period \( T_\omega = 2\pi/\omega \) is the shortest time scale in the system, it is possible to obtain an effective Hamiltonian by averaging over \( T_\omega \) as

\[ \hat{H}_{\text{AVE}}(t) = \frac{1}{T_\omega} \int_t^{t+T_\omega} \hat{H}(\tau) d\tau \]  

(A8)

\[ = -2J_x^{\text{eff}}(t) \hat{S}_x - 2J_y^{\text{eff}}(t) \hat{S}_y + U_0 \hat{S}_z^2. \]  

(A9)

The effective tunneling amplitudes are defined as

\[ J_x^{\text{eff}}(t) = \frac{J_0}{T_\omega} \int_t^{t+T_\omega} \cos[\alpha(\tau)] d\tau \]  

(A10)

\[ J_y^{\text{eff}}(t) = -\frac{J_0}{T_\omega} \int_t^{t+T_\omega} \sin[\alpha(\tau)] d\tau. \]  

(A11)

Instead of calculating \( J_x^{\text{eff}}(t) \) and \( J_y^{\text{eff}}(t) \) separately, we write

\[ J_x^{\text{eff}}(t) - iJ_y^{\text{eff}}(t) = \frac{J_0 e^{i\gamma \phi_\nu}}{T_\omega} \]  

\[ \times \int_t^{t+T_\omega} d\tau e^{[V_0 \tau - \frac{V_1}{\omega} \cos(\omega \tau + \phi_\nu)]}. \]  

(A12)

This integral can be calculated easily using the equation

\[ e^{iz\cos \gamma} = \sum_{n=-\infty}^{\infty} J_n(z) e^{i(n+\frac{z}{2})}. \]  

(A13)
where \( \mathcal{J}_n(z) \) are Bessel functions of the first kind. We thus obtain

\[
J^\text{eff}_x(t) - iJ^\text{eff}_y(t) = \left\{ \begin{array}{ll}
\frac{2J_0}{T_\omega} \sin \left( \frac{\pi V_0}{\omega} \right) e^{i[V_0(t+\Delta)+\frac{\pi}{2}] \cos \phi_V} \\
\times \sum_{n=-\infty}^{\infty} \mathcal{J}_n \left( \frac{V_1}{\omega} \right) e^{i\frac{n\omega}{\omega}(\omega t + \phi_V + \frac{\pi}{2})} + \frac{V_0}{\omega} \right) \right. \\
J_0 \mathcal{J}_k \left( \frac{V_1}{\omega} \right) e^{i\frac{\pi}{2} \cos \phi_V e^{-i(k(\phi_V + \frac{\pi}{2})}}, \quad \frac{V_0}{\omega} = k \in \mathbb{Z}.
\end{array} \right.
\]

(A14)

In the special case \( V_0/\omega = k \in \mathbb{Z} \), the original tunneling amplitudes \( J_x = J_0 \) and \( J_y = 0 \) are replaced by effective ones,

\[
J^\text{eff}_x(t) = J_0 \mathcal{J}_k \left( \frac{V_1}{\omega} \right) \cos \left[ k \left( \phi_V + \frac{\pi}{2} \right) - \frac{V_1}{\omega} \cos \phi_V \right],
\]

(A15)

\[
J^\text{eff}_y(t) = J_0 \mathcal{J}_k \left( \frac{V_1}{\omega} \right) \sin \left[ k \left( \phi_V + \frac{\pi}{2} \right) - \frac{V_1}{\omega} \cos \phi_V \right],
\]

(A16)

where \( V_1 \) is non-zero.

### Appendix B: Effective hopping term for modulated \( U \)

In the case of large-amplitude modulation of the interaction strength, the coherent destruction of tunneling is state-dependent. Here, we derive the effective Hamiltonian for this case.

We start from the time-dependent Schrödinger equation (A1) with the Hamiltonian

\[
\hat{H}(t) = -2J_0 \hat{S}_z + U(t) \hat{S}_x^2,
\]

(B1)

where \( U(t) \) is given by Eq. (7). For simplicity, we set \( V = 0 \). As in Appendix A, we go to the rotating frame by defining

\[
\tilde{\psi}(t) = e^{i\alpha(t)} \tilde{S}_z^2 \psi(t),
\]

(B2)

where

\[
\alpha(t) = \int_0^t d\tau [U_0 + U_1 \sin (\omega \tau + \phi_V)]
\]

(B3)

Thus the Schrödinger equation becomes \( i\tilde{\psi}(t) = \hat{H}(t) \tilde{\psi}(t) \), with

\[
\hat{H}(t) = -J_0 \left[ \hat{S}_z e^{i\alpha(t)(2\hat{S}_z + 1)} + e^{-i\alpha(t)(2\hat{S}_z + 1)} \hat{S}_- \right].
\]

(B4)

where \( \hat{S}_\pm = \hat{S}_x \pm i\hat{S}_y \). We have used the equations

\[
[\hat{S}_z^2, \hat{S}_z] = \hat{S}_z(2\hat{S}_z + 1), \quad [\hat{S}_z^2, \hat{S}_-] = -(2\hat{S}_z + 1)\hat{S}_- , \quad \hat{S}_z = (\hat{S}_z + \hat{S}_-)/2 \to\]

\[
e^{i\alpha(t)} \tilde{S}_z^2 \tilde{S}_- e^{-i\alpha(t)} \tilde{S}_z^2 = \frac{1}{2} \left[ \hat{S}_z e^{i\alpha(t)(2\hat{S}_z + 1)} + e^{-i\alpha(t)(2\hat{S}_z + 1)} \hat{S}_- \right].
\]

(B5)

By time averaging over one modulation period \( T_\omega \), the effective Hamiltonian reads

\[
\hat{H}_\text{AVE}(t) = \frac{1}{T_\omega} \int_t^{t+T_\omega} \hat{H}(\tau) d\tau
\]

\[- = -J_0 \hat{S}_+ \hat{A} + \hat{A}^\dagger \hat{S}_-.
\]

(B6)

Here \( \hat{A} \) is defined as

\[
\hat{A} |\Delta N\rangle = \frac{2}{T_\omega} \sin \left( \frac{\pi U_0}{\omega} (\Delta N + 1) \right) e^{i[U_0(t+\Delta)+\frac{\pi}{2}] \cos \phi_V} |\Delta N + 1\rangle
\]

\[- \times \sum_{n=-\infty}^{\infty} \mathcal{J}_n \left[ U_1(\Delta N + 1) \right] e^{-i(n\omega t + \phi_V + \frac{\pi}{2})} U_0(\Delta N + 1) - n\omega |\Delta N\rangle,
\]

\[- \mathcal{J}_k \left[ U_1(\Delta N + 1) \right] e^{i\frac{\omega k}{2} \cos \phi_V e^{-i(k(\phi_V + \frac{\pi}{2})} |\Delta N\rangle, \quad \frac{U_0}{\omega} (\Delta N + 1) \notin \mathbb{Z},
\]

\[- \frac{U_0}{\omega} (\Delta N + 1) = k \in \mathbb{Z},
\]

(B7)

where we have used the equation \( \hat{S}_z |\Delta N\rangle = (\Delta N/2)|\Delta N\rangle \) and \( \{|\Delta N\rangle : \Delta N = -N, -N+2, -N+4, \ldots, N\} \) is the basis of the system. In this basis \( \hat{H}_\text{AVE} \)

is a tridiagonal matrix. Note that \( \hat{A} \), unlike Eq. (A14),

depends on \( \Delta N \). If \( m - 2|\hat{H}_\text{AVE}|m = 0 \) (here we assume \( m > 0 \) without loss of generality), we get \( \langle m |\hat{H}_\text{AVE}|m - 2 \rangle = \langle -m + 2 |\hat{H}_\text{AVE}| - m \rangle = \langle -m |\hat{H}_\text{AVE}| - m + 2 \rangle = 0 \).

In the special case \( (U_0/\omega)(m - 2) + 1 = k \in \mathbb{Z} \), the con-
diction for partial CDT between states $|m\rangle$ and $|m-2\rangle$, 
$$\langle m-2|H_{AVE}|m\rangle = 0,$$ can be written as
$$J_k\left[\frac{U_k}{\omega}(m-1)\right] = 0. \tag{B8}$$

If this equation holds, the Hilbert space can be written as a direct sum of three uncoupled subspaces, spanned by $\{|N\rangle, |N-2\rangle, \ldots, |m\rangle\}$, $\{|m-2\rangle, |m\rangle, \ldots, |m+2\rangle\}$, and $\{|-m\rangle, |-m-2\rangle, \ldots, |-N\rangle\}$.

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As we shall see later, for odd $N$ these $N-2$ crossing types consist of one type where $\phi_{(\Delta N)}^{(\pm)}$ crosses $\phi_{(\Delta N)}^{(\pm)}$ successively and $N-3$ types where $\phi_{(\Delta N)}^{(\pm)}$ crosses $\phi_{(\Delta N)}^{(\pm)}$ once. For even $N$, there is one crossing type with $\phi_{(\Delta N)}^{(\pm)}$ and $N-4$ types with each $\phi_{(\Delta N)}^{(\pm)}$. In the case of modulated $J(V)$, the phase of the Floquet eigenvalue $\phi_{(\Delta N)}$ undergoes avoided crossings with the phases of the eigenstates of even (odd) parity.

Based on the behavior of the phases of the Floquet eigenvalues, there are resonances also at $\omega/J\sim 11$ and 14. These are too narrow to be observed in Fig. 2.

For $U_0 = 0$, the effective Hamiltonian [see Eq. (4.8)] vanishes when the condition for CDT is satisfied, $J_k(U_1/\omega) = 0$. When this equation holds, the phases of all the Floquet eigenvalues vanish, and the degeneracy is complete irrespective of whether $N$ is even or odd.

For even $N$ this degeneracy involves three states if
\( U_0 = 0 \): \( \psi_N^{(\pm)} \) and another Floquet eigenstate whose quasienergy is identically zero. The third state disturbs the desired oscillation between \( \psi_N^{(\pm)} \). The third state can be easily lifted to change the threefold degeneracy to a twofold one by introducing nonzero, but small, \( U_0 \) such that \( U_0 N/J_0 \ll 1 \).

[51] This can be understood by the fact that, with decreasing \( \omega \), \( \phi_0^{(+)} \) for even \( N \) and \( \phi_1^{(+)} \) for odd \( N \) deviate from zero at the lowest rate and \( \phi_N^{(+)} \) deviates at the highest rate among symmetric states.

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