Sedation at Sea of Entangled North Atlantic Right Whales (*Eubalaena glacialis*) to Enhance Disentanglement

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Abstract

**Background:** The objective of this study was to enhance removal of fishing gear from right whales (*Eubalaena glacialis*) at sea that evade disentanglement boat approaches. Titrated intramuscular injections to achieve sedation were undertaken on two free swimming right whales.

**Methodology/Principal Findings:** Following initial trials with beached whales, a sedation protocol was developed for right whales. Mass was estimated from sighting and necropsy data from comparable right whales. Midazolam (0.01 to 0.025 mg/kg) was first given alone or with meperidine (0.17 to 0.25 mg/kg) either once or four times over two hours to whale #1102 by cantilevered pole syringe. In the last attempt on whale #1102 there appeared to be a mild effect in 20–30 minutes, with duration of less than 2 hours that included exhalation before the blowhole fully cleared the water. Boat avoidance, used as a measure of sedation depth, was not reduced. A second severely entangled animal in 2009, whale #3311, received midazolam (0.03 mg/kg) followed by butorphanol (0.03 mg/kg) an hour later, delivered ballistically. Two months later it was then given midazolam (0.07 mg/kg) and butorphanol (0.07 mg/kg) simultaneously. The next day both drugs at 0.1 mg/kg were given as a mixture in two darts 10 minutes apart. The first attempt on whale #3311 showed increased swimming speed and boat avoidance was observed after a further 20 minutes. The second attempt on whale #3311 showed respiration increasing mildly in frequency and decreasing in strength. The third attempt on whale #3311 gave a statistically significant increase in respiratory frequency an hour after injection, with increased swimming speed and marked reduction of boat evasion that enabled decisive cuts to entangling gear.

**Conclusions/Significance:** We conclude that butorphanol and midazolam delivered ballistically in appropriate doses and combinations may have merit in future refractory free swimming entangled right whale cases until other entanglement solutions are developed.

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Competing Interests: With regards to Dr. Brunson’s current employment at Pfizer Inc., Dr. Brunson was an employee of the University of Wisconsin Veterinary School for the duration of his primary involvement with the project from 1998 to 2002. His mailing address in the manuscript is given as Pfizer, as that is his current place of employment. Since he has been at Pfizer, the choice of drugs for procurement and deployment for the second right whale case were made by other authors, primarily at the University of Florida (Drs. Walsh and Bailey). No drugs have been procured from or donated by Pfizer. There are no products in development at Pfizer related to this research and employment. The current and likely future source of drugs for use in the methods described in this paper will be from ZooPharm (http://www.zoopharma.net/), a division of Wildlife Pharmaceuticals, with which none of the authors have any relationship. Christopher Slay is an employee of Coastwise Consulting. He does not have any pending patents or marketed products related to this work. This does not alter the authors’ adherence to all the PLoS ONE policies on sharing data and materials, as detailed online in the guide for authors (http://www.plosone.org/static/policies/action#sharing).

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Introduction

Human impacts on North Atlantic right whales (*Eubalaena glacialis*) have largely erased potential population growth despite robust recent calving rates [1]. The major traumas found at necropsy have been propeller cuts and blunt trauma from vessels, and constrictive trauma from fishing gear entanglement [2]. Since first pioneered by Jon Lien in Canada in the 1970’s [3], whale disentanglement techniques have been attempted mostly on animals anchored by fishing gear. Newer techniques developed in the 1980’s by the Provincetown Center for Coastal Studies, Provincetown, MA, USA, (PCCS) are now being used to disentangle free-swimming entangled whales. These techniques rely primarily on physical restraint by adding buoyancy and drag to the trailing gear. Using small boats, buoys and drogues in an effort to tire and restrict movement of the whale, entangling gear can be removed with relative safety using pole-mounted knives. Disentanglement success rates have varied by species and entanglement configuration, with some species being more tractable than others: critically endangered right whales being the least tractable. Free-swimming right whale disentanglement cases exhibiting wraps of line far forward on the body, in areas such as the rostrum and flippers, have the lowest disentanglement success rate to date (PCCS unpublished data). The primary position for attempting to cut line in a free-swimming whale has been from behind the tail within a boat towed by the whale, as this is a position of relatively higher human safety. However this position often greatly limits access to entangling lines. In 1999 an entangled right whale catalogued by the New England Aquarium as #2030 (http://rwcatalog.neaq.org) proved to be refractory to multiple disentanglement attempts. It was first sighted entangled on May 10, 1999 with fishing gear stretched taught between both axillae that had stripped off large pieces of dorsal blubber and skin. The disentanglement team requested assistance to deliver antibiotics to the whale once it had been disentangled. A pole syringe based on a cantilevered pole system [4] was prepared and attempts to physically restrain the animal using a tail harness were made by PCCS and others. The animal was never disentangled, and drugs were not delivered. The whale eventually died 5 months later. Animal welfare and conservation concerns in this case initiated the question of planning for medical intervention in similar cases. The possibility of sedating animals to enhance disentanglement was discussed. There is a history of the use of sedatives in captive marine mammals [3] where benzodiazepines or meperidine have enabled stressful procedures such as gastroscopy and bronchoscopy [6,7]. Despite earlier predictions of the dire consequences of using immobilizing drugs in free swimming animals [8], an initial attempt to deliver sedatives to a right whale has been briefly reported [9]. Here we describe the details of that attempt, as well as a subsequent right whale sedation and disentanglement.

Methods

Delivery Systems

A pole syringe was developed for use on right whale #1102 because existing ballistic drug delivery systems for terrestrial species had inadequate volume for such large animals. A cantilevered pole system, originally designed for measuring blubber thickness acoustically [4] was adapted to be practical in a small inflatable boat, with an open pivot to allow immediate release from the boat if need be. A polycarbonate syringe was fabricated with a check valve, plunger and a stainless steel needle (Figure 1). The pressure chamber was filled with butane to a pressure at which the butane condensed to a liquid. The side injection port on the distal portion of the needle carried a tygon tube sleeve that was displaced upon entry into the animal allowing the butane under pressure to advance the plunger and inject the drug. A carcass trial was conducted to establish the weight and elevation of needle holder required to ensure efficient muscle penetration.

Simpler systems, such as a hand held pole, a crossbow, and a spear gun were tested and rejected on grounds of safety.

A ballistic syringe (Paxarms, 37 Kowhai St, Timaru, New Zealand), was developed for use on right whale #3311. The dart portion of this system operates on the same principle as the syringe illustrated in Figure 1. The syringe body comprised a 33 cm long by 19 mm diameter anodized aluminum tube threaded at both ends, machined to have an O ring seal. The flight end cap has a valve to allow pressurization of air behind the plunger. The 30 cm long x 6.4 mm outside diameter stainless steel needle is lined with a carbon fiber tube and has 3 end ports. A rubber stop screws over the end piece. All O rings as well as the syringe and projector barrel are coated with silicon lubricant. The projector is a modified .22 caliber rifle, firing blanks custom filled by Paxarms. An adjustment of a valve controlling air flow in to the expansion chamber allows for compensation of the firing power dependent on range of firing. This adjustment is linked to the sighting scope such that the sight compensates for the required trajectory. A stainless steel leader is attached via a swivel to the rear of the syringe. The leader runs up corresponding grooves in the syringe and projector barrel to be tied to 20 m of 80 kg test line wound around the projector barrel tip, and then tied to a 22.86 cm (9in)

Figure 1. Schematic of polycarbonate pole syringe for injecting a large whale at sea (dimensions in inches (mm)). The Paxarms ballistic syringe operates on a similar principle.

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long by 7.62 cm (3in) wide cm styrofoam ‘Shark’ float. The line windings on the barrel are lightly wrapped with office sticky tape to allow it to peel off once the dart is fired. The dart is fired at a range of about 15 m. The line spins off the barrel and the float is left to trail behind the whale until the drag on the float extracts the needle.

**Sedatives**

Midazolam (a benzodiazepine anxiolytic, amnestic, hypnotic, anticonvulsant and muscle relaxant) in ethanol was compounded for the initial trials on whale #1102 by University of Wisconsin Veterinary School Pharmacy (2015 Linden Drive, Madison, WI, USA). Use of the commercial drug at 5 mg/ml was impractical, so the midazolam base was concentrated in ethanol to reduce the total volume administered. Meperidine (an opioid analgesic) was supplied by University of Wisconsin Veterinary School Pharmacy. The normal commercial grade drug is available at 100 mg/ml. For this attempt it was concentrated to 550 mg/ml. An aqueous solution of midazolam HCl was also used, compounded by ZooPharm (Box 2023, Fort Collins, CO, USA). Butorphanol (an opioid analgesic) was supplied by ZooPharm and could be mixed with midazolam HCl. Concentrations used are given in Table 1.

**Reversal**

Naltrexone (ZooPharm 50 mg/ml) has been used to reverse the sedative effects of butorphanol in other cetaceans [10,11] at 0.005 mg/kg to 0.3 mg/kg i/m (intra-muscular) and was available in the event of problems with the sedation.

**Ethics**

This research was undertaken after approval and permitting by the National Oceanic Atmospheric Administration Office of Protected Resources under the Marine Mammal Protection Act and Endangered Species Act. Enhancing the welfare of the subject, severely entangled animals, was the primary agenda of the research and in the case of whale #3311 (Figure 2), welfare was perhaps significantly improved by the procedure described.

**Strategy**

Given the many unknowns in terms of doses and effects it was agreed that the only animals that would be considered for sedation were those that would likely die, if not disentangled, and could not be not disentangled by more traditional means; and that could be telemetry-tracked prior to sedation attempts, for visual health assessments and planning. The vessel used for sedation of whale #3311 was a 7.2 m rigid hull inflatable boat (RHIB) operating one of two 90 hp four cycle outboard motors, with the projection system being operated from a platform 2.5 m above sea level. The disentanglement vessel approaching whale #3311 was a 5.5 m RHIB with a 90 hp 4 cycle outboard motor. Whale weights were estimated on the basis of available necropsy and catalog data [2].

**Experimental Design**

Delivery system and drug trials were undertaken sequentially on whales that were beached, trapped in a river and at sea. Drug use and delivery protocols were iteratively developed through a series of 3 consecutive trials, evolving the treatment regimen in light of accrued findings.

**Results**

**Trial 1**

An emaciated juvenile gray whale (*Eschrichtius robustus*) beached in ventral recumbency along the California coast on April 6th 2000. It was 824 cm in length, 264 cm in axillary girth, with a ventral axilla blubber depth of 75 mm, and with an intact blink reflex. It was hand injected with 25 cc of 51 mg/ml midazolam i/m into the lateral periaxial lumbar area mid body using a 7.6 cm 18 G spinal needle. Twelve minutes later, the blink reflex was diminished, 29 minutes after injection the peduncle could be lifted with no response and a blood sample taken. The animal was euthanased 38 minutes after injection. The estimated weight of this animal was of the order of 5000 kg [12]. Thus the dose given was of the order of 0.025 mg/kg.

**Table 1. Summary of Sedation Dosing of Two Right Whales.**

| Date       | Time   | Drug           | Concentration (mg/ml) | Assumed weight (kg) | Dose mg/kg | Result                                                                 |
|------------|--------|----------------|-----------------------|--------------------|------------|----------------------------------------------------------------------|
| Right Whale #1102 (Year 2001) |        | Midazolam      | 90                    | 45000              | 0.01       | No effect                                                            |
| Jun 26th   |        | Midazolam      | 90                    | 45000              | 0.025      | No effect                                                            |
| Jul 14th   |        | Meperidine     | 550                   |                    | 0.017      | Less forceful surfacings, and exhaling before the blowhole was clear of the water with a less powerful blow. |
| Aug 30th   | Over 2 hr. | Midazolam    | 90                    | 40000              | 0.1        | Marked reduction of boat avoidance. Respiration increased frequency, decreased depth. Not statistically significant. No reduction in boat aversion |
| Right Whale #3311 (Year 2009) |        | Midazolam      | 70                    | 20000              | 0.028      | Increased speed and avoidance 20 minutes later                      |
| Jan 23rd   | 1433   | Midazolam      | 70                    | 20000              | 0.033      | Increased speed and avoidance 20 minutes later.                     |
|            | 1533   | Butorphanol    | 50                    |                    | 0.071      | Oblique?did not penetrate subdermal sheath. Respiration increased frequency, decreased depth. Not statistically significant. No reduction in boat aversion |
| Mar 5th    | 1418   | Midazolam      | 50                    | 20000              | 0.1        | Marked reduction of boat avoidance. Respiration increased frequency, decreased depth. |
| Mar 6th    | 1133 to 1143 | Butorphanol | 50                    | 20000              | 0.1        |                                                                      |
|            | 1143   | Butorphanol    | 50                    |                    | 0.1        |                                                                      |

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Trial 2

Right whale #1102 was observed by an aerial survey on June 8, 2001 to have a severe head entanglement, with associated deep incision across the rostrum. A telemetry buoy was attached to the trailing gear by PCCS on June 9th. The animal proceeded to move extensively in the Gulfs of Maine and St Lawrence. The first major disentanglement effort was in the Great South Channel southeast of Nantucket, MA, USA, June 26th, 2001, at which time the condition of the animal had significantly deteriorated. The whale was assumed to weigh 45,000 kg. Midazolam and meperidine were chosen for these initial attempts based on successful use of midazolam alone or in combination with meperidine in other cetaceans (Walsh, personal communication, clinical records and [6]). Balancing the potential difference in metabolism from previous use in other species it was decided to start with lower relative dosages and one drug. The dose of midazolam administered was 0.01 mg/kg and again 37 minutes later using the cantilevered pole syringe [4]. There was no evident effect on the animal. Meperidine (an opioid analgesic) was then added at the second disentanglement attempt on July 14th. Midazolam was given at 0.025 mg/kg. The meperidine dose given was 0.17 mg/kg. There was no evident effect on the animal. On August 30th a third attempt was made assuming a 40,0001kg whale using a) midazolam at 0.025 mg/kg, at a concentration of 90 mg/ml, giving an 11 ml/dose and b) meperidine at 0.251mg/kg, at a concentration of 550 mg/ml giving an 18 ml dose. The above combined dose was given four times in 2 hours. There appeared to be an onset of effect in 20–30 minutes with duration of effect of less than 2 hours. The level of central nervous system depression appeared to be low. The animal did however appear to some, but not others, to be mildly sedated, exhibiting less forceful surfacings, and exhaling before the blowhole was clear of the water with a less powerful blow. The animal was however not disentangled on any of these attempts. Dosing information for this and a subsequent case are given in Table 1. The last satellite transmission was on Sept. 16th 2001, presumably when the whale and telemetry buoy sank.

Trial 3

After land and carcass based trials demonstrated the apparent utility of the Paxarms system, it was used to successfully deliver antibiotics to two free swimming humpback whales (Megaptera novaeangliae) in the Sacramento River, CA USA on May 26th 2007 [13]. It deployed well, and the darts detached from the animals within 2 to 24 hours.

Trial 4

The Paxarms system was also used to administer 50 ml of 600 mg/ml meperidine as a pre euthanasia drug to a moribund beached sperm whale (Physeter macrocephalus) at Terra Ceia, Manatee, FL, USA on January 1st 2008. The system performed well though the level of sedation achieved was not easy to ascertain as a result of the depressed condition of the animal.

Trial 5

The Paxarms system was first deployed for a series of sedation attempts on a chronically entangled right whale #3311, an animal of unknown sex, born in 2003 (Figure 2). After being observed gear free on April 21st 2008, the animal was first reported entangled on January 14th 2009 18 miles off Brunswick GA, USA. After being assessed with a fatal entanglement and serious injuries at the rostrum and lower lip, a telemetry tag was attached to the trailing entangling gear that afternoon. Body mass was estimated at 20,000 kg from a published age/mass curve [2]. On January 23rd 2009, 15 nm east of St Augustine Inlet midazolam in ethanol was given at 0.028 mg/kg and butorphanol hydrochloric acid (HCl) at 0.033 mg/kg (Table 1). Increased speed and avoidance was noted 20 minutes later. Conditions precluded a further dosing attempt. The two drugs were immiscible given their ethanol vs. water base, thus this combination was less desirable for future administration where volume would be a limiting factor.

March 5th 2009 – Right whale #3311 was sighted 15.6 nm NE of Ponce de Leon Inlet, FL, USA. Respirations were recorded starting at 14:09. A dart loaded with 0.071 mg/kg midazolam HCl and butorphanol HCl was delivered at 14:18. Respirations per five minutes increased from 3 to 5 before darting to 5 to 7 after darting – a notable but statistically insignificant change. At the same time respiration depth appeared to decrease. At times exhalation began before the blowhole was clear of the water. There was no reduction in the evasiveness of the animal to boat
approaches and no cuts to disentangle could be made. The angle of insertion of the dart was shallow. Thus it was unclear if all or some of the drug was injected into the blubber rather than the muscle, potentially delaying and or reducing the effect of the drugs. But it was also felt that the breath pattern changes suggested that the dose given had some effect and that the dose given was not far from a useful effect. It was therefore agreed, at the next opportunity, to increase the dose to near the top end of the clinical range used in captive marine mammals: 0.1 mg/kg for both midazolam and butorphanol, with a potential supplemental dose of 0.025 mg/kg of both drugs if indicated.

On March 6th 2009 21.8 nm N of Cape Canaveral, FL, USA. the plane relocated the whale at 10:34. Two darts were implanted delivering 0.1 mg/kg midazolam HCl and 0.1 mg/kg butorphanol (Table 1). Dart 1 was delivered at 11:33 low on the right flank with full almost perpendicular penetration. The second dart was delivered at 11:43 about 301cm ventral and cranial to the first dart. The buoy for dart 1 trailed behind the whale’s flukes and remained there until about 12:35 when the cutting boat observed the dart buoy receding from the whale. It was recovered along with the dart. The dart sleeve had been fully compressed in to the rubber stopper showing full penetration, but with the syringe body lying caudally, suggesting a bend in the needle at the skin surface comparable to observations of darts deployed in other cases [13]. Subsequent examination showed about 5 ml of a watery dark red liquid in the dart barrel. Cytology from a smear showed peracute hemorrhage, with an abundance of eosinophilic, globular material consistent with the drugs delivered.

At 12:06 the plane reported that before injection the whale had been travelling at 1.5 knots and avoiding the sedation vessel (Figure 3), and after injection the speed increased to 2 knots. It travelled 0.63 nm in 18 minutes. The plan to assess the degree of sedation was to make a boat approach and observe the persistence of the characteristic strong boat avoidance reaction that was ubiquitous in previous approaches to the animal. At 12:30 an approach was made. By 12:43 three cutting approaches had been made, the first of which did not allow for the spring loaded cutting knife to make contact with the whale. Contact was made on three subsequent approaches. The first and second cuts were a miss but a cut to the head wrap was made at the third cutting attempt (Figure 4). It was the opinion of the cutting boat crew (CS (driver) and JS (cutter) that the behavior of the whale was markedly different.

Breath counts in each five minute period were compared before and after sedative injection on March 5th and 6th 2009 for whale #3311. A Mann-Whitney rank sum test showed a significant increase in respiratory frequency following drug delivery on March 6th, but not March 5th (Figure 5 and Table 2). After being freed of the majority of the line and the tracking buoy, the whale began to move away from the disentanglement area. The plane that was responsible for maintaining contact with the whale was running low on fuel, and daylight, and was required to leave the

Figure 3. Right whale #3311 swimming at 11:30 on March 6th 2009 prior to sedation. The whale is in the bottom left of the image, and is towing a line with buoys shown to the middle right. Note the sharp turn shown by the line, away from the boat attempting to approach the whale at top left. Photograph credit Georgia Dept Natural Resources/Wildlife Trust. doi:10.1371/journal.pone.0009597.g003
scene. The darting boat made one more parallel pass to take a set of photos of the rope damage and to observe the animal’s behavior.

The most notable change in behavior, post-sedation on March 6th 2009, was the whale’s apparent inability or desire to move away from the approaching vessel. During previous events, and to a lesser degree on March 5th 2009, the whale was able to cease an intended surfacing 4–5 meters below the surface, lower its head and lift its flukes to make the sharp left turn for avoidance measures. Post-sedation on March 6th 2009, the whale seemed indifferent to interrupting a surfacing for a second breath once it had begun to make this surfacing. This made placement of the boat next to the whale’s head and planning for the materials needed for cutting much more achievable. Timing of surfacing was essential for managing these short periods of interaction which were directed with the help of the aircraft crew above. It was noting this repetition of a second breath that enabled the disentanglement team to make cuts. Shallowness of breath was also mentioned by the sedation team as one of the observed effects after darting. The whale remained under observation for 2 hours. Once freed from the line and telemetry buoy the whale’s swimming speed increased and respirations became deeper. It was elected not to reverse the butorphanol at this point.

Discussion

Anesthesia, the act of reducing consciousness to a point where surgery can be undertaken, is rarely attempted in cetaceans as it is fraught with risk, given our very limited knowledge of cetacean pharmacokinetics and the complexity of managing their breath hold dive reflex, bradycardia, and high tolerance to acidosis [5]. In contrast, sedation has been used more widely in captive and beached cetaceans to facilitate procedures, since it reduces the subject’s resistance without the risks associated with loss of consciousness. Sedating large whales at sea in our study required enhanced tractability, without any loss of ability to swim and respire. The major risk of excessive sedation while still swimming and diving was uncontrolled inhalation of water. Since there had been no previous attempt to intervene medically with free ranging cetaceans at sea, we had to develop drug delivery and use protocols that achieved a sedated free swimming cetacean with reduced resistance to being handled, while still swimming and respiring voluntarily with no loss of equilibrium or inhalation of water.

The initial choice of a cantilevered pole syringe was based on the assumption that an adequately large syringe and needle length could not be designed and built to be sufficiently accurate as a...
Figure 5. Number of breaths made by right whale #3311 in preceding five minutes, compared before and after sedation.

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Table 2. Number of breaths per 5 minutes over 75 observed minutes before and after first dart delivery to right whale #3311.

| Mean (± SD) number of breaths per 5 minutes during a 75 minute period | March 05 2009 | March 06 2009 |
|---------------------------------------------------------------|-------------|-------------|
| Before darting                                               | 4.3±1.1     | 4.0±1.3     |
| After darting                                                | 5.1±1.2     | 5.3±1.5     |
| Mann-Whitney Rank Sum Test                                   | 0.076       | 0.018       |

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Author Contributions

Conceived and designed the experiments: MM MTW JEB DBB FG SL DM CM CTS TKR. Performed the experiments: MM MTW JEB DBB FG SL DM CM CTS JMS TKR. Analyzed the data: MM MTW JEB CTS. Contributed reagents/materials/analysis tools: MM MTW JEB DBB FG SL DM CM CTS JMS TKR. Wrote the paper: MM MTW JEB FG SL DM CTS TKR.
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