Feeling the Pull: A Study of Natural Galactic Accelerometers. II. Kinematics and Mass of the Delicate Stellar Stream of the Palomar 5 Globular Cluster*

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Abstract

We present two spectroscopic surveys of the tidal stellar stream of the Palomar 5 globular cluster undertaken with the VLT/FLAMES and AAT/AAOmega instruments. We use these data in conjunction with photometric data presented in the previous contribution in this series to classify the survey stars in terms of their probability of belonging to the Palomar 5 stellar stream. We find that high-probability candidates are only found in a very narrow spatial interval surrounding the locus of the stream on the sky. PanSTARRS RR Lyrae stars in this region of the sky are also distributed in a similar manner. The absence of significant “fanning” of this stellar stream confirms that Palomar 5 does not follow a chaotic orbit. Previous studies have found that Palomar 5 is largely devoid of low-mass stars, and we show that this is true also of the stellar populations along the trailing arm out to 6°. Within this region, which contains 73% of the detected stars, the population is statistically identical to the core, implying that the ejection of the low-mass stars occurred before the formation of the stream. We also present an updated structural model fit to the bound remnant, which yields a total mass of $4297 \pm 98 M_\odot$ and a tidal radius $0.145 \pm 0.009$ kpc. We estimate the mass of the observed system including the stream to be $12200 \pm 400 M_\odot$, and the initial mass to have been $\approx 47000 \pm 1500 M_\odot$. These observational constraints will be employed in our next study to model the dynamics of the system in detail.

Key words: Galaxy: halo – globular clusters: individual (Palomar 5)

Supporting material: machine-readable table

1. Introduction

Stellar streams from low-mass progenitors such as globular clusters provide us with a powerful tool to explore the mass distribution in galaxies. The path that a stream delineates on the sky directly probes the large-scale distribution of matter in the host, while small-scale inhomogeneities along the stream retain information about the distribution of substructure in the parent galaxy (Ibata et al. 2002; Johnston et al. 2002; Carlberg et al. 2012; Ngan & Carlberg 2014; Bovy 2016; Bovy et al. 2016; Erkal et al. 2016a, 2016b; Thomas et al. 2016). The latter is of particular interest as it opens up the possibility of constraining the preponderance of dark matter sub-halos, and hence test one of the key predictions of ΛCDM theory.

In Ibata et al. (2016, hereafter Paper I), we presented a comprehensive introduction to this problem, and argued that one of the most interesting studies to undertake is the long and delicate stellar tidal stream of the Palomar 5 globular cluster. This cluster is found almost exactly above the Galactic bulge, halfway toward the north Galactic Pole, at $(\ell, b) = (0^\circ.85, +45^\circ.86)$, and at a heliocentric distance of $23.6^{+0.8}_{-0.7}$ kpc (Küpper et al. 2015). The associated stellar stream emanates from the progenitor to form an immensely long structure, more than 10 kpc in extent, that is seen projected on the sky along the direction approximately parallel to the Galactic disk. The leading part of the stream extends toward negative $\ell$ (and to the west), where it disappears out of publicly accessible surveys. The trailing stream extends to positive $\ell$ (and toward the east), dropping below current detection levels $\sim 15^\circ$ from the progenitor. The thinness and length of this stream make this one of the most interesting and promising cases to study at present (the main physical properties of the cluster are listed in Table 1).

In Paper I, we presented two new photometric surveys, undertaken with the Canada–France–Hawaii Telescope (CFHT) and Kitt Peak National Observatory (KPNO) Mayall 4 m telescope. These surveys probed the stream with good photometric precision over a larger field than has been achieved in previous studies. The CFHT $g$- and $r$-band data are particularly useful for detecting the numerous main-sequence stars of the stream, while reducing the contamination from other Galactic stars as well as unresolved background galaxies. We found that there is no evidence for gaps in the stream up to a spatial scale of 2° ($\sim 1$ kpc), a conclusion that should allow us to place useful limits on the number and properties of the ΛCDM sub-halos that the stream has interacted with. Analyzing the same data set, Erkal et al. (2016b) find evidence for two very large gaps ($\sim 2^\circ$ and $\sim 9^\circ$), which they claim are the scars of sub-halo flybys. We were also able to measure the relative distance of the stream population over the survey; a slight gradient was found such that in the outermost fields the trailing stream is 1.9 kpc more distant while the leading stream is 1.2 kpc closer to us. Interestingly, we measured the stream to be very thin in the most distant trailing stream fields. The stars in these regions should have been the first to be lost to the system, approximately 4 Gyr ago, so to have kept such a narrow structure (58 pc) over such a long period of time suggests that the Galactic potential has been very smooth during the long term.
the evolution of the stream. Pearson et al. (2015) have shown that a stream similar to that of Palomar 5 orbiting in the triaxial potential fitted by Law & Majewski (2010) to the Sagittarius stream would lead to a “fanning” of the stars toward the extremities of the stream (due to chaos); the fact that this is not observed in our data suggests that the Galactic halo cannot possess that particular shape.

In addition to the deep CFHT photometry, in Paper I we also presented shallower KPNO photometry of this system using the M-band filter (approximately V) and the intermediate-band “DDO 51” filter (central wavelength 5145.2 Å, FWHM = 162.9 Å) which covers the Mg b triplet. These data provide a means to constrain the surface gravity of the survey stars, allowing us to discriminate giants from contaminating dwarf stars.

The present contribution builds upon the survey of Paper I. Here we will present a large spectroscopic survey of the stellar stream in Section 2, and explain in Section 3 how the sample is decontaminated. The spatial distribution of the kinematically selected stars is examined in Section 4. In Section 5, we fit structural models to the remnant, estimate the mass in the bound versus unbound components as well as the initial mass of the system, and examine the differences in stellar populations along the stream. Finally, in Section 6, we draw the conclusions from this study.

2. Spectroscopic Observations

2.1. FLAMES Data

On 2009 June 23–27, we used the FLAMES multiobject spectrograph on the 8 m VLT to observe 35 fields along the Palomar 5 stellar stream. In “Medusa” mode, it allows up to 130 targets to be allocated over a circular field of 12.5 radius, although typically ~20 fibers in each configuration were set aside for monitoring the spectrum of the sky. The high-resolution setting HR21 was used, which straddles the Ca II triplet feature and covers the spectral region between 8484 Å and 9001 Å with a resolution of ∼R = 16,200.

Each field consisted of 3 × 600 s exposures. The spectra were initially processed with the “ESOREX” package, which extracts and wavelength calibrates the spectra. After applying our own custom-made sky-subtraction algorithm, we measured the stellar radial velocities, velocity uncertainties, and equivalent widths using a variant of the algorithm presented in Ibata et al. (2011). The quality of these data is S/N ~ 150 at g = 15.5, deteriorating to S/N ~ 6 at g = 20.

The FLAMES fields are fully contained within the CFHT survey region (see Figure 1), and yielded a total of 1327 stars.

2.2. AAOmega Data

We also observed 15 fields with the AAOmega multiobject spectrograph at the 4 m Australian Astronomical Telescope on the nights of 2006 June 13–18. This instrument uses a robot positioner to allocate its 400 fibers over a circular field of radius 1°. The locations of the 15 fields (which have nine different central positions) are shown in Figure 1. In each configuration, several tens of fibers were assigned to blank regions of the sky so as to allow for accurate sky subtraction.

The 1700D grating was used to measure the spectral region between ∼8400 Å and ∼8850 Å, at a resolution of 0.24 Å pixel⁻¹. In each field, three exposures of 1800 s were combined, providing S/N ~ 60 (per pixel) at g = 16, deteriorating to S/N ~ 5–10 for the faintest targets at g = 18.8.

The spectra were extracted, wavelength calibrated, and sky subtracted using the “2dfdr” software. Subsequently, the figures

![Figure 1. Spectroscopic survey fields. The colored dots show the positions of the stars observed with AAOmega (green) and FLAMES (black). Most of these stars are encompassed within the photometric fields observed with the CFHT (blue) and KPNO (red). Additionally, the dashed lines mark a 5° × 5° grid in Galactic coordinates. Note that the ξ = 0° Galactic minor axis (which is shown with the continuous black line), passes very close to Palomar 5 and is almost perpendicular to the stellar stream, implying that the structure is roughly parallel to the Galactic disk, albeit at a high Galactic latitude of 45°. (This map is shown in standard gnomonic projection, with the ξ and η coordinates locally parallel to R.A. and decl., respectively).](https://www.aao.gov.au/science/software/2dfdr)
velocities and equivalent widths of the stars were measured with the same custom-made software as was used for the FLAMES spectra. The resulting catalog contains 4567 stars, with 3642 having velocity errors $<5$ km s$^{-1}$ and heliocentric radial velocity $|V_{\text{helio}}| < 300$ km s$^{-1}$. Selecting good quality stars from both data sets (S/N > 40 for FLAMES, S/N > 30 for AAOmega), we obtain 45 stars in common. The velocity difference between the two samples is $V_{\text{FLAMES}} - V_{\text{AAO}} = 3.73 \pm 1.89$ km s$^{-1}$, and we used this mean offset to put the AAO velocities onto the FLAMES zero point.

3. Decontamination and Velocity Fidelity

Despite having access to this very large sample of $\sim$5000 stars, our initial analysis of the data set showed that the number of stream stars that could be identified easily was very small, so we were obliged to develop a method to decontaminate the sample, which we will describe in the present section.

The first criterion we use is to limit the sample according to their proper motion, as given by the SDSS DR12 "Stars" catalog (Alam et al. 2015). For this, we reject all stars that have proper motions $|\mu - \mu_{\text{Pal5}}| > 5$ mas yr$^{-1}$ + 2$\delta \mu$, where $\mu$ is the measured proper motion of an SDSS star, $\delta \mu$ is the corresponding uncertainty, and $\mu_{\text{Pal5}}$ is the proper motion of Palomar 5 (taken to be $\mu_\alpha = -2.296 \pm 0.186$ mas yr$^{-1}$, $\mu_\delta = -2.257 \pm 0.181$ mas yr$^{-1}$; Fritz & Kallivayalil 2015). The 5 mas yr$^{-1}$ limit (i.e., $>500$ km s$^{-1}$ at the distance of Palomar 5) encompasses all plausible variations in the velocity of the stream, and allows us to reject $\sim$30% of the targeted stars. However, this quality cut turned out to be relatively unimportant to the present analysis, as it does not provide additional discrimination beyond what we obtain from the RGB star selection detailed below.

3.1. RGB CMD Selection

The initial targets were selected in a rather broad box around the cluster fiducial (the selection was made before the analysis of Paper I, and we wanted to make sure that our sample was not biased by possible variations in stream distance). However, since the contamination is our primary challenge, we can now obtain a clearer sample of RGB stars by choosing stars within a narrower box that follows the measured distance gradient. The adopted RGB selection region is shown in panels (a) and (b) of

![Figure 2](image_url)
Figure 2, where we also show the CMD of the central region of 10° radius around the globular cluster. The box is a broadened Dartmouth stellar population model (Dotter et al. 2008) of age 11.5 Gyr, metallicity [Fe/H] = −1.8, and alpha abundance [α/Fe] = +0.6, which encompasses almost all of the stars that have velocities within 10 km s$^{-1}$ of the cluster mean (−58.7 ± 0.2 km s$^{-1}$; Odenkirchen et al. 2003). Note that the adopted Dartmouth model was not rigorously fitted to the data, but rather it was chosen as it gave the most satisfactory visual approximation to the central sample. In particular, the metallicity of this model is much lower than spectroscopic studies indicate (Smith et al. 2002).

The data in Figure 2 are colored according to their probability of falling within the RGB selection box. In the upper two panels, this is simply determined from the photometric uncertainty (assuming Gaussian photometric uncertainties and a minimum error of 0.01 mag in the $g$ and $r$ bands to reflect the zero-point uncertainties). The bottom two panels display the data from the full survey, and the RGB probability also includes the slight magnitude shift, as a function of angular distance away from the cluster, to account for the line-of-sight distance measurements presented in Paper I.

3.2. Other Membership Criteria

In addition to the $(g-r, g)$ CMD selection for RGB members, we also implement a photometric selection based on Washington photometry, a selection based on the equivalent width of the Mg I 8807 Å line, and a selection based on spectroscopic metallicity. The workings of these three additional tests are summarized in Figure 3. Panels (a) and (d) show the Washington photometry selections applied to the FLAMES and AAOmega data, respectively. This $g-r$ versus $D-g$ color–color diagram is an attempt to recreate similar diagnostic diagrams used in earlier studies (e.g., Majewski et al. 2000). As explained in Paper I, we were not able to calibrate the zero point of our DDO 51 band (which we label “D”), so we are forced to make our own empirical cuts in this color–color diagram to separate dwarfs and giants. The large blue dots in the panels show the parameter values of the RGB members of the cluster (with RGB probability $P_{\text{RGB}} > 0.1$ according to the criterion of Figure 2) and that also have velocities within 10 km s$^{-1}$ of the cluster mean. These giants lie preferentially below the dashed red line, which we use as our empirical dwarf/giant boundary. For each star, using its corresponding photometric uncertainties, we calculate the probability $P_{\text{DDO} 51}$ that it lies within the parameter region inhabited by giants.

We also employ the dwarf/giant discrimination method developed by Battaglia & Starkenburg (2012, hereafter BS12), which uses the gravity-sensitive Mg I 8807 Å line. This is shown in panels (b) and (e), along with the boundary line defined by BS12. Again, the stars in the survey are given a probability $P_{\text{BS12}}$ of being a giant according to their position in this diagram (and accounting for the equivalent width measurement uncertainties).

Finally, in panels (c) and (f), we present the metallicity measurements for the FLAMES and AAOmega data, respectively, based on the Ca II equivalent widths. From our measurements, the velocity members of the cluster (blue dots) have mean [Fe/H] = −1.25. We define the metallicity selection region within the red dashed lines ($\pm 0.15$ dex around the mean value), which we widen as shown for spectra of low signal to noise.

Each of the four membership criteria (RGB position, Washington photometry color, BS12 criterion, and metallicity) allows us to calculate a probability according to how far a star’s parameter measurements lie from the defined criterion boundary. In Figure 4, we display the distribution of these probability values. The four criteria can be seen to possess two peaks: one near zero and another peak at probability values $\geq 0.8$. The product of the four probabilities is shown with the dashed-line histogram. In the combined probability histogram, the contrast of the high-probability peak is much diminished. This is not surprising, however, since, say, a star that has a membership probability of 80% according to each of the individual criteria would have $P_{\text{combined}} = 0.74 \sim 0.4$. We tentatively choose those stars with $P_{\text{combined}} > 0.2$ as possible stream members, since this appears to be the location of a break between them and the clear non-members (which are highly peaked near zero).

3.3. Comparisons to Earlier Data

We next attempt to ascertain the reliability of the velocities and dwarf/giant discrimination determined here by comparing our results to those presented in previous studies. A study with particularly accurate velocities was that made by Odenkirchen et al. (2009) with the VLT high-resolution spectrograph UVES. There are 12 stars in common between our FLAMES sample and that of Odenkirchen et al. (2009); these give a satisfactory offset of $v_{\text{FLAMES}} - v_{\text{Odenkirchen}} = 1.63 \pm 3.05$ km s$^{-1}$. The stars in the Odenkirchen et al. (2009) study were classified as giants from an inspection of the width of the Mg “b” triplet feature in their high-resolution spectra. This gives us an opportunity to also check the reliability of our dwarf/giant discrimination: we find that all 12 stars pass the Washington photometry criterion ($P_{\text{DDO} 51} > 0.75$), while 11 pass the Battaglia & Starkenburg (2012) test (having $P_{\text{BS12}} > 0.90$). The star that does not pass the BS12 test (object 30017 in the Odenkirchen et al. 2009 sample) has $P_{\text{BS12}} = 0$, and interestingly is a velocity non-member, which lends additional credence to our classification procedure.

Between our AAOmega sample and that of Odenkirchen et al. (2009), we find nine stars in common. After rejection of one outlier, we derive an offset of $v_{\text{AAO}} - v_{\text{Odenkirchen}} = 3.45 \pm 4.28$ km s$^{-1}$.

Between the Kuzma et al. (2015) study and our AAOmega sample, there are 62 objects in common, of which four have very discrepant velocities >10 km s$^{-1}$. One of these is a very blue star with $(g-r)_{0} = 0.044$, and hence could be a radial velocity variable on the horizontal branch. We carefully examined the spectra of the other three stars, and found no reason to suspect anything wrong with the observations or our analysis, and the fits to the CaII lines performed by our software were clearly reasonable. Ignoring these four discrepant stars gives good consistency between the two surveys: $v_{\text{AAO}} - v_{\text{Kuzma}} = 2.00 \pm 2.53$ km s$^{-1}$.

Note that there are no stars in the Kuzma et al. (2015) sample that are not in our AAOmega sample, apart from three of their listed stars, which happen to also be in the Odenkirchen et al. (2009) sample. Hence, the Kuzma et al. (2015) sample provides no additional independent information over what we present here.
4. Spatial and Kinematic Distribution

In Figure 5, we show the main observational result of this survey: the three-dimensional phase-space position of the most likely RGB stars. Panels (a) and (b) show, as a function of standard coordinate $\xi$, the radial velocity and the standard coordinate $\eta$ position, respectively. In both cases, the data are color-coded according to the membership probability. Here we present the cleanest sample, using all of the RGB membership criteria, with $P_{\text{membership}} = P_{\text{RGB}} \times P_{\text{DDO51}} \times P_{\text{BS12}} \times P_{\text{Fe/H} > 0.2}$. This sample consists of 154 stars (which are listed in Table 2), of which 116 have velocities in the range $-80 \text{ km s}^{-1} < v < -40 \text{ km s}^{-1}$; 72 of these stars were not previously known to be kinematic stream members.

The red dashed line in (a) shows a straight-line fit to this data set, using the Bayesian automatic outlier rejection algorithm of Sivia & Skilling (2006, their “conservative formulation”). This fit is centered on $-55.30 \text{ km s}^{-1}$ and has a slope of $0.699 \text{ km s}^{-1} \text{ degree}^{-1}$. The straight red dashed line can be seen to give a close representation of this data set, out to $\xi \approx 5^\circ$ from the cluster, and even beyond $5^\circ$ several stars line up very well along it, out to $\xi = 11^\circ.5$. The dotted lines mark the range of $\pm 15 \text{ km s}^{-1}$ of this fit, and the sky positions of all stars encompassed between these lines are shown in panel (b). The correspondence between these positions and the cubic polynomial fit presented in Paper I (dashed red line) is striking.

Bernard et al. (2016) recently presented a matched-filter map from the PanSTARRS survey, which showed that the leading arm of the Palomar 5 stream continues on $\sim 1.5$ beyond the end of our CFHT survey, to $\xi = -6^\circ$. From a FITS image of the PanSTARRS matched-filter map (kindly provided by E. Bernard), at $\xi = -6^\circ$ we measure $\eta = -6^\circ.25 \pm 0^\circ.1$. Adding this datum into our polynomial fit of the leading arm stream from Paper I, we obtain a slightly modified track:

$$\eta_{\text{leading}}(\xi) = -0.184 + 0.957\xi + 0.0217\xi^2 + 0.00502\xi^3.$$

This improved model is shown as the solid red line in Figure 5(b), and will be used henceforth.

We caution the reader that all of the stars in the sample shown in Figure 5 are required to have DDO 51 photometry.
Figure 4. Distribution of probability values for the four membership criteria, as derived for the FLAMES sample. The histograms have been normalized to the value in the last bin. The dashed line histogram is the distribution of the product of the four probabilities (the first bin of the $P_{\text{combined}}$ histogram has a value of 64.0 with this normalization, far beyond the plotted limit).

![Histograms](image)

Table 1

| Parameter          | Value     | Source |
|--------------------|-----------|--------|
| R.A.               | 15°16'05.3 | 1      |
| Decl.              | -00°06'41.0 | 1     |
| $l$                | 0.8522     | ...    |
| $b$                | +45.8599   | ...    |
| $E(B-V)$           | 0.06 mag   | 2      |
| $(m-M)_0$          | 16.86      | 3      |
| Distance           | 23.5 kpc   | ...    |
| Angular scale      | 411 pc per degree | ...    |
| [Fe/H]             | -1.3       | 4      |
| Velocity $v_{\text{helio}}$ | -58.7 ± 0.2 | 5    |
| Velocity dispersion $\sigma_v$ | 0.9 ± 0.2 | 5    |

Note: The sources are 1—Di Criscienzo et al. (2006), 2—Schlegel et al. (1998), 3—Dotter et al. (2011), 4—Smith et al. (2002), 5—Odenkirchen et al. (2002). Rows without source information are derived from other table parameters.

![Figure 4](image)

Figure 5. (a) Heliocentric velocity as a function of standard coordinate $\xi$. All stars have been selected to be plausible Palomar 5 members (probability $P_{\text{membership}} > 0.2$) and to have velocity uncertainties better than 5 km s$^{-1}$. The membership probability of each star is shown in the color code as well as by the size of the circle and is calculated from the product of the probabilities of the star belonging to the RGB, being a giant according to the Battaglia & Starkenburg (2012) criterion, and being a member according to its metallicity. We have fitted a straight-line model to these data, using the “conservative formulation” of Sivia & Skilling (2006) to automatically reject outliers; the corresponding fit is shown with a dashed line, while the dotted lines show an interval of ±15 km s$^{-1}$ around this fit. (b) Sky positions of probable Palomar 5 member stars. The sample is the same as that of panel (a), but is now cut to the region within ±15 km s$^{-1}$ of the fitted gradient (between the dotted lines in that diagram). For comparison, in red, we have superimposed the cubic fit to the matched-filter map presented in Paper I. These high-likelihood spectroscopic members follow very closely the track of the photometric stream. The color-coding of the points is identical to that of panel (a), marking membership probability.

![Figure 5](image)

additional objects that appear at large distance from the cluster center appear to be offset from the fiducial stream path (i.e., the solid red line).

Some additional constraints can be gained by re-examining the 22 stars in the Odenkirchen et al. (2009) sample given the new photometric measurements presented in Paper I. In Figure 7, we display their data along with a membership probability, defined as $P_{\text{membership}} = P_{\text{RGB}} \times P_{\text{BS12}} \times P_{\text{[Fe/H]}}$. A higher limiting probability is adopted since we are now dropping one of the probability factors. The straight-line fit from Figure 5(a) is reproduced here. It is interesting to note that several new stars lie on the extrapolation of that line. The sky positions of the targets within ±15 km s$^{-1}$ of the fit are shown in Figure 6(b), and tantalizingly, the few (otherwise $P_{\text{membership}} = 0$, since $P_{\text{BS12}} = 0$), and the footprint of that survey does not stray far from the solid red line fit. To overcome this limitation and probe the distribution of sources beyond the higher density stream region, we must abandon the Washington photometry criterion. The resulting sample is shown in Figure 6, where we take $P_{\text{membership}} = P_{\text{RGB}} \times P_{\text{BS12}} \times P_{\text{[Fe/H]}} > 0.5$. A higher limiting probability is adopted since we are now dropping one of the probability factors. The straight-line fit from Figure 5(a) is reproduced here. It is interesting to note that several new stars lie on the extrapolation of that line. The sky positions of the targets within ±15 km s$^{-1}$ of the fit are shown in Figure 6(b), and tantalizingly, the few
of Figure 7. The higher probability RGB stars with $P_{\text{membership}} > 0.2$ all lie extremely close to the stream positional fit from Paper I, and we see that the only discrepant star (near $\xi = 4^\circ.5$) is actually a probable interloper.

A very useful additional sample for the present study comes from the analysis of probable RR Lyrae stars from PanSTARRS light curves (Hernitschek et al. 2016, hereafter H16). In Figure 8, we show the distances (panel a) and positions (b) of plausible RR Lyrae stars from PanSTARRS light curves. (This table is available in its entirety in machine-readable form.)

### Table 2

The First 10 Rows of the Kinematic Catalog

| $\alpha$ (h:m:s) | $\delta$ ($^\circ$/m/s) | $g_0$ (mag) | $(g-r)_0$ (mag) | $v_{\text{helio}}$ (km s$^{-1}$) | $\delta v_{\text{helio}}$ (km s$^{-1}$) | $P$ | Inst. |
|-----------------|-----------------------|-------------|-----------------|-------------------------------|---------------------------------|-----|-------|
| 15:03:27.723    | −03:25:23.50          | 19.130      | 0.431           | −68.51                        | 3.21                            | 0.224 | F     |
| 15:05:55.371    | −02:50:42.50          | 17.798      | 0.482           | 14.22                         | 4.33                            | 0.315 | F     |
| 15:06:49.473    | −02:17:51.60          | 18.091      | 0.462           | −121.45                       | 4.61                            | 0.272 | F     |
| 15:08:10.594    | −02:10:07.40          | 19.161      | 0.437           | −185.13                       | 2.47                            | 0.601 | F     |
| 15:08:07.141    | −02:06:38.80          | 17.430      | 0.548           | −59.48                        | 1.11                            | 0.376 | F     |
| 15:08:18.902    | −02:12:56.40          | 18.021      | 0.494           | −62.94                        | 0.69                            | 0.922 | F     |
| 15:08:42.941    | −01:49:03.20          | 18.631      | 0.473           | −56.76                        | 2.40                            | 0.380 | F     |
| 15:11:21.711    | −01:29:02.20          | 17.631      | 0.537           | −53.06                        | 0.82                            | 0.965 | F     |
| 15:10:51.488    | −01:31:39.10          | 18.803      | 0.466           | −58.64                        | 2.00                            | 0.449 | F     |
| 15:10:39.039    | −01:26:45.20          | 16.969      | 0.573           | −57.04                        | 1.15                            | 0.964 | F     |

Note. $\alpha$ and $\delta$ list the position of the star. The third and fourth columns list their magnitude and color. The heliocentric radial velocity and corresponding uncertainty are given in columns 5 and 6. The membership probability is listed in column 7, while column 8 gives the instrument used to measure the star ("F" for FLAMES, "A" for AAOmega).
Lyrae stars ($P_{\text{RRLyrae}} > 0.1$, according to the H16 analysis) that lie within $0.3^\circ$ of the fitted positional path. In both panels, the color and size of the points encode the probability $P_{\text{RRLyrae}}$. In (a), one clearly perceives a good correspondence between the most probable RR Lyrae stars and the relative distance profile measured in Paper I (red line), where the conversion to absolute distance adopts the Palomar 5 distance of 23.5 kpc as fit by Dotter et al. 2011.

The distances are calculated by assuming an absolute magnitude of $M_r = +0.6$ (as argued by H16 and Sesar et al. 2013). In (a), one clearly sees a good correspondence between the distance fit from Paper I (red line) and the distances derived from the mean magnitudes of the candidate RR Lyraes, especially the high-probability candidates. Those stars that deviate by more than 5 kpc from the red line have been marked as likely non-members (open symbols). It is interesting to note here that the stars below $\xi < 3^\circ$ and at distances of $d_{\text{helio}} \sim 50$ kpc are most likely due to the stream of the Sagittarius dwarf galaxy, which intersects these fields (see also Paper I).

Several of the RR Lyrae candidate stars displayed in Figure 8 have measured velocities, either from our AAOmega survey or from the Sloan Digital Sky Survey (SDSS). We show this information in Figure 9. Although there is considerable scatter about the straight-line fit to the velocity gradient of the stream (measured in Figure 5), such scatter should be expected given that RR Lyraes are also radial velocity variable stars (with amplitudes of 60–70 km s$^{-1}$ for RRab stars and 30–40 km s$^{-1}$ for RRc stars; Smith 2002). The fact that all of these stars with velocity measurements lie close to the fitted velocity relation lends strong credence to the large extent of the Palomar 5 stream in RR Lyrae stars, and it also provides confirmation and corroboration that our position, distance, and velocity models are correct.

5. Structural Constraints

The success of our efforts to model this system will depend sensitively on the accuracy of the parameters we use to constrain it. For this reason, we next use our state-of-the-art CFHT photometry to review the structure of the remnant and to
measure the mass fraction in the tidal tails as well as the total mass in the bound and unbound components.

### 5.1. Profile of Remnant

The star number-density profile of Palomar 5 can be readily measured from the CFHT catalog. We chose to select stars in the magnitude range $19 < g_0 < 23$, where the contrast over the foreground/background populations is best, and where the completeness is above 85%. Figure 10 shows the resulting background-subtracted profile. Inspection of the maps of the system (e.g., Figure 11 from Paper I) shows that the stream emanates immediately from the cluster, and this can also be seen in the profile in Figure 10, where the inner King-model-like structure shows a break between 10' and 20'. It can also be seen from this diagram that the outer boundary of the progenitor of the stream lies at approximately 20'.

### 5.2. Stellar Mass

Within this 20' radius region, we count the stars in the range $19 < g_0 < 23$ and use Dartmouth stellar evolution models (Dotter et al. 2008), which can account for CFHT filter transmission curves, to correct for the missing stars. The fiducial model we use has metallicity $[\text{Fe/H}] = -1.3$ and $[\alpha/\text{Fe}] = +0.2$, to be consistent with the high-resolution spectroscopic study of Smith et al. (2002); with these values, Martell et al. (2002) and Dotter et al. (2011) find a plausible age of 11.5–12 Gyr for this cluster. Assuming further a Salpeter mass function, we deduce that the stellar mass inside of 20' is $16,700 \pm 400 M_\odot$. If instead we choose an age of 10.5 Gyr or 12.5 Gyr, we derive $15,000 \pm 350 M_\odot$ and $16,200 \pm 400 M_\odot$, respectively. Alternatively, fixing the age at 11.5 Gyr, but choosing $[\text{Fe/H}] = -1.2$ and $-1.4$, gives $16,600 \pm 400 M_\odot$ and $16,000 \pm 400 M_\odot$, respectively. Hence, the derived mass is not very sensitive to reasonable uncertainties in age or metallicity.

However, in an HST study of this system, Grillmair & Smith (2001) find a very shallow main-sequence slope, consistent with $dN/dm \propto m^{-0.5}$, suggesting that low-mass stars have been preferentially lost to the progenitor. With this mass function and our fiducial parameters above (age of 11.5 Gyr, $[\text{Fe/H}] = -1.3$ and $[\alpha/\text{Fe}] = +0.2$), we derive a very modest mass of $4300 \pm 100 M_\odot$.

This last value is consistent with the mass estimates based on SDSS data (Odenkirchen et al. 2003), which took into account the shallow mass function. The CFHT luminosity function of the cluster also shows a clear paucity of faint ($g_0 > 22$) low-mass stars, but given the existing deeper HST analysis of Grillmair & Smith (2001), the CFHT data have little to contribute to the question of the central mass, and one is forced to admit that the low mass estimates of 4300 $\pm 100 M_\odot$ are to be preferred.

### 5.3. Profile Fits

We need a good representation of the present mass distribution in the system to inform the dynamical modeling that we will present in the next paper in this series. To this end, we ran a set of $10^5$ fits to to the star-counts profile using a Markov Chain Monte Carlo (MCMC) algorithm. The cluster was represented as a King (1966) model, described by a central velocity dispersion parameter $\sigma_0$, total mass $M$, and tidal radius $r$, and we also allow the algorithm to fit for foreground and background contamination, which is included as a simple contamination fraction $C$. Figure 11 shows the solutions, from which we derive $\sigma_0 = 0.395 \pm 0.009$, $M = 4297 \pm 98 M_\odot$, $r = 20.145$ km s$^{-1}$, a tidal radius of $r = 0.145$ kpc (2122, marked with a vertical dashed line), and a mass of $M = 4293 M_\odot$. The continuous red line shows the profile including the fitted contamination fraction ($C = 0.111$).

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**Figure 10.** Stellar number-density profile. The CFHT number counts in the magnitude range $19 < g_0 < 23$ and that lie within the cluster CMD selection region chosen in Paper I are shown as a function of radius from the cluster center. The King model plotted over the data (dashed red line) corresponds to the most likely fit shown in Figure 11 and has a central dispersion parameter of $\sigma_0 = 0.403$ km s$^{-1}$, a tidal radius of $r = 0.145$ kpc (2122, marked with a vertical dashed line), and a mass of $M = 4293 M_\odot$. The continuous red line shows the profile including the fitted contamination fraction ($C = 0.111$).

**Figure 11.** MCMC sampling of the solution space of the King model fits to the Palomar 5 density profile shown in Figure 10. The fit samples the King model parameters $\sigma_0$, the total mass $M$, and the tidal radius $r$, also allowing for a contamination fraction $C$. 
\( r = 0.145 \pm 0.009 \), and \( C = 0.11 \pm 0.01 \) (but note the strong parameter correlations visible in the figure). For these fits, we assume \([\text{Fe/H}] = -1.3\) and \([\alpha/\text{Fe}] = +0.2\), and most critically we adopt the Grillmair & Smith (2001) mass function slope (for which there is unfortunately no uncertainty available). The most likely model solution has been overlaid on the observed profile in Figure 10.

It is striking that the projected central velocity dispersion of the most likely model is 0.21 km s\(^{-1}\), very much lower than the value of 0.9 \( \pm \) 0.2 km s\(^{-1}\) measured by Odenkirchen et al. (2002) for the whole cluster from high-resolution spectra. This difference may be due to the presence of binaries in the system, although this possibility has never been tested.

It is worth pointing out that the observed high velocity dispersion is also problematic if a standard mass function is assumed. To explore this possibility, we ignored the stellar mass constraint presented in Section 5.2 and instead took the assumed. To explore this possibility, we ignored the stellar dispersion as an additional datum to be fitted again the stellar number-density profile, we found in this case a lower limit to the present-day cluster mass of \( M = 28,000 M_\odot \) (with 99% confidence). This is well above the allowed mass found in Section 5.2 even with a Salpeter mass function slope. So, the effect of binaries or a departure from equilibrium (or both) would be required also in this case to inflate the velocity dispersion up to the observed value.

5.4. Stellar Population Variations

The CFHT data are particularly useful in probing spatial variations in the stellar populations. That these do not change much can be perceived visually from the Hess diagrams displayed in Figure 12. Here, the red lines mark the cluster CMD selection box, as presented in Paper I. Within these polygons there is a clear main-sequence turnoff (which can be seen especially well in the cluster remnant region—the fifth panel), but surprisingly, the main sequence becomes much less pronounced at \( g_0 > 22 \), despite the good completeness exceeding 70% down to \( g_0 = 24 \). This shows qualitatively the evidence for the scarceness of low-mass stars mentioned in the previous section.

From those Hess diagrams, we derive the luminosity functions (LFs) shown in Figure 13. We note that the stream at \( \xi > 6^\circ \) is too tenuous to derive a good LF from, while the regions at \( \xi < -1^\circ \) are heavily contaminated by stars from the Sagittarius stream (see caption to Figure 12). Hence, in Figure 13 we show the LF of the central field \( 1^\circ > \xi > -1^\circ \) as well as the two stream fields \( 3^\circ > \xi > 1^\circ \) and \( 6^\circ > \xi > 3^\circ \). The LFs of the central field (black circles) and the \( 3^\circ > \xi > 1^\circ \) field (red circles) are nearly identical, and the LF of the \( 6^\circ > \xi > 3^\circ \) field (blue circles) is also similar, despite the poorer statistics.

For comparison, we also overlay the Dartmouth models for stellar populations of age 11.5 Gyr, metallicity \([\text{Fe/H}] = -1.3\), and alpha abundance \([\alpha/\text{Fe}] = +0.2\). The continuous line uses a mass function of slope \(-0.5\), as found by Grillmair & Smith (2001), whereas the dashed line is for a Salpeter slope. While these data are not as deep as the HST photometry of Grillmair & Smith (2001), they also clearly favor the shallower slope model.

These findings demonstrate that the absence of low-mass stars in the Palomar 5 stellar population is not a property that is just confined to the remnant. Indeed, a \( \chi^2 \)-test indicates that the
hypothesis that the three luminosity functions displayed in Figure 13 are identical cannot be rejected with better than 23% probability. There is thus no evidence for any change in the stellar populations out to $\xi = 6^\circ$, $\sim 3$ kpc from the cluster. This portion of the trailing arm contains 73% of the trailing arm stars detected in the matched-filter map shown in Figure 11 of Paper I. Stars that now inhabit these distant regions were disrupted several gigayears ago (Dehnen et al. 2004). This means that whatever caused the loss of low-mass stars acted much earlier in the evolution of Palomar 5, and also—very interestingly—this cluster has remained quasi-stable with a deficit of low-mass stars for a very long time.

5.5. Fractional Mass in Trailing Arm

The matched-filter map of Palomar 5 and its stream presented in our previous contribution (Paper I, Figure 11), provides a convenient way to probe the relative numbers of stars in the remaining progenitor versus its tidal tails. Unfortunately, the CFHT survey does not fully cover the leading arm, so we will examine the trailing arm and for the time being we will assume that the disruption occurred symmetrically. The ratio of the matched-filter counts between the trailing arm ($R > 20'$) and the center ($R \leq 20'$) is found to be $0.91 \pm 0.03$. Hence, if the tidal disruption proceeded as expected in a symmetric manner to populate equally the leading and trailing arms, the total system would have had $2.83 \pm 0.06$ times more stars (of the type admitted by the adopted matched filter) than those currently found within $20'$ of Palomar 5. Given that the highest CMD contrast lies at the main-sequence turnoff between $20 \lesssim g_0 \lesssim 22$, it is primarily those stars that are counted by the matched-filter algorithm. This value should be considered a lower limit, since some trailing arm stars may still lie farther along the orbit than we have as yet been able to survey, or may have been scattered into other orbits by interactions with $\Lambda$CDM sub-halos or giant molecular clouds (Amorisco et al. 2016).

Our estimate can be checked for consistency against the counts of RR Lyrae candidates. Selecting objects with $P_{RRLyrae} / 0.1$ from the H16 catalog, we obtain six within $20'$ of Palomar 5, and 12 along the trailing arm from Figure 8(b). This seems in reasonable agreement with our matched-filter estimate, especially given that the area covered by the trailing arm is considerably larger than that used to select the core sample, and hence is much more likely to suffer from foreground/background contamination.

5.6. Initial System Mass

Combining the results from the previous subsections, we place a strong lower limit on the total initial mass of $12,200 \pm 400 M_\odot$. This takes the conservative assumption that the present-day mass function of the remnant is valid throughout all of the tidal tails. If instead the initial system had a Salpeter mass function and dynamical evolution caused the preferential ejection of low-mass stars, the total initial mass would have been a much higher $47,000 \pm 1500 M_\odot$. While this latter value probably serves well as a firm upper limit to the initial mass, the current location of the majority of the low-mass stars that once made up this cluster remains a mystery. These bounds encompass the initial mass of $2 \times 10^4 M_\odot$ of the best model fit by Dehnen et al. (2004) to SDSS data within $4^\circ$ of the cluster.

5.7. Leading Arm–Trailing Arm Asymmetry

A visually striking aspect of the matched-filter map presented in Paper I (Figure 11 of that contribution) is that the trailing arm of the cluster appears to be better populated than the leading arm, and we now know from the PanSTARRS maps presented in Bernard et al. (2016) that the leading arm ends at $\xi = -6^\circ$, just $\sim 1.5$ beyond the end of our CFHT survey. To quantify this possible asymmetry, we summed the matched-filter star counts in the stream, but cut them at $g_0 < 22$, so as to avoid the contamination from the Sagittarius stream in the leading arm fields.

Ignoring, as before, the central $20'$ around the center of Palomar 5, we find a ratio of matched-filter counts in the leading to trailing arm of $0.60 \pm 0.04$. From the PanSTARRS matched-filter map, the contrast of the Palomar 5 stream is low near $\xi = -6^\circ$, but the structure appears relatively smooth. We therefore correct for the missing area simply by assuming a constant density; this leads to a corrected ratio of $0.72 \pm 0.04$.

This measurement should be treated with some caution, however. First, there is uncertainty in the large-scale photometric calibration of our CFHT survey given that it was tied to the SDSS and these fields lie at a southern boundary of that survey. A second concern is that the contamination varies significantly along the large surveyed area of the sky, yet the CFHT fields did not probe enough distance perpendicular to the stream to allow for a good spatially varying background model to be constructed.

Bearing these caveats in mind, it appears that the leading arm is indeed less populated than the trailing arm. The origin of this strong leading arm–trailing arm asymmetry is unknown, but it is almost certainly fossil evidence that different gravitational forces have acted on the opposing arms.

6. Conclusions

We have presented a large spectroscopic survey of the stellar tidal stream formed by the disruption of the Palomar 5 globular cluster. This tenuous structure is of extremely low contrast over the foreground and background stellar populations of the Milky Way, and has proven to be challenging to reveal with present instrumentation. Although the contrast is good at the Palomar 5 main-sequence turnoff, the corresponding stars are faint ($g \sim 21$) and very hard to measure accurate velocities for. This forced us to adopt the approach of surveying the RGB, at the cost of admitting a very large number of interlopers in the sample.

Using a combination of medium-band DDO 51 photometry and accurate CFHT ($g, r$) photometry, together with proper motions, a gravity-sensitive line (Mg I 8807 Å), and Ca II metallicities, we were able to construct a clean sample of bona fide Palomar 5 stream stars. We find that this population follows an extremely well-defined narrow path on the sky, with common distance and velocity properties. The radial velocity profile can be closely fit by a straight line over the surveyed region and displays a gradient of $0.699 \text{ km s}^{-1} \text{ degree}^{-1}$ (in standard coordinate $\xi$) over $\sim 16^\circ$ ($\sim 6.5$ kpc) across the sky.

We use these properties of the stream population to investigate its presence in the PanSTARRS RR Lyrae candidate catalog of H16. We find a strong signal therein, showing good agreement in distance and velocity with respect to the RGB stars.
Earlier work by Grillmair & Smith (2001) has shown that the cluster remnant is surprisingly poor in low-mass stars, probably due to their being ejected through internal dynamical processes. Here we find a similar deficit all along the trailing arm stream out to 6° from the cluster (a region encompassing 73% of stream stars), and we show that the stellar populations are statistically identical over this region (we do not test the leading arm due to the contaminating presence of the Sagittarius stream in those fields). This means that whatever process ejected the low-mass stars from Palomar 5 acted before the bulk of the stream stars were tidally removed from the system.

We present a measurement of the stellar number-density profile in the remnant, with much better statistics than what has been derived from earlier works. We find that the remnant can be well reproduced by a King model with total mass $M = 4297 \pm 98 \, M_\odot$, tidal radius $r_t = 0.145 \pm 0.009$ kpc, and central dispersion parameter $\sigma_0 = 0.395 \pm 0.009$. The corresponding projected velocity dispersion of this model is much lower than observed (Odenkirchen et al. 2002). An interesting avenue for future work will be to monitor temporally the velocities of stars in this cluster to ascertain whether the single epoch velocity dispersions are greatly inflated due to the presence of binaries, or whether dark matter may be present in this system.

By correcting for the number of stars that have been lost along the stream, we estimate the pre-disruption mass to be 12,200 $\pm$ 400 $M_\odot$, yet the initial mass may have been as high as 47,000 $\pm$ 1500 $M_\odot$, if the initial mass function had a Salpeter slope.

The next contribution in this series will present the dynamical modeling of these observations.

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