Excitation Spectra and Edge Singularities in the One-Dimensional Anisotropic Heisenberg Model for $\Delta = \cos(\pi/n)$, $n = 3, 4, 5$

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Abstract: The $T = 0$ excitation spectra of the antiferromagnetic ($J > 0$) anisotropic Heisenberg chain of spins $1/2$ are studied using the Bethe Ansatz equations for $\Delta = \cos(\pi/n)$, $n = 3, 4$ and $5$. The number of unknown functions is $n - 1$ for $\Delta = \cos(\pi/n)$ and can be solved numerically for a finite external field. The low-energy excitations form a Luttinger liquid parametrized by a conformal field theory with conformal charge of $c = 1$. For higher energy excitations, the spectral functions display deviations from the Luttinger behavior arising from the curvature in the dispersion. Adding a corrective term of the form of a mobile impurity coupled to the Luttinger liquid modes corrects this difference. The “impurity” is an irrelevant operator, which if treated non-perturbatively, yields the threshold singularities in the one-spinwave particle and hole Green’s function correctly.

Keywords: anisotropic Heisenberg model; Bethe ansatz; threshold singularities

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1. Introduction

The integrability of the antiferromagnetic Heisenberg chain with anisotropic nearest-neighbor coupling was shown by R. Orbach [1] by extending Bethe’s solution for the isotropic case. The ground-state and excited states were studied by J. des Cloizeaux and M. Gaudin [2], and C.N. Yang and C.P. Yang [3–5] exhaustively discussed the ground-state properties of the model in a series of three papers. The spin-1/2 XXZ Hamiltonian under consideration is the following

$$\mathcal{H} = J \sum_{i=1}^{N} \left\{ S_i^x S_{i+1}^x + S_i^y S_{i+1}^y + \Delta \left( S_i^z S_{i+1}^z - \frac{1}{4} \right) \right\} - 2H \sum_{i=1}^{N} S_i^z, $$

(1)

where whithout loss of generality we can choose $J = 1$. The ground-state phase diagram is best represented as $\Delta$ along the x-axis and the Zeeman field $H$ or the magnetization as the y-axis. Three regions of $\Delta$ have to be distinguished: (i) if $\Delta < -1$, the system is ferromagnetic, (ii) if $-1 < \Delta < 1$, the model is the Heisenberg-XY chain with Luttinger liquid-like properties, and (iii) for $\Delta > 1$ we have the so called Heisenberg-Ising chain, which is gapless (Luttinger liquid) for $H = 0$, and is gapped for $H = 0$. For $\Delta = 1$ the Hamiltonian reduces to the isotropic Heisenberg ring.

The above model has numerous applications, especially in two-dimensional classical statistical systems, e.g., an array of flexible self-avoiding domain walls extending across a 2D medium that are allowed to bend but not to turn back (solid-on-solid restriction) [6]. Adsorption phenomena in the presence of edge-pinning forces and rupture, segregation, and order-disorder transitions due to short-range attractive and repulsive interactions between the domain walls were studied [7]. Additionally, a 1 + 1 dimensional model of $n$ non-intersecting strings with short-range attractive interactions on a lattice was solved exactly. Examples for such situations are the wetting transition, the commensurate-incommensurate...
transition, the unbinding transition in membranes, and the statistics of ‘drunken walkers’. For arbitrary finite $n > 2$, there is a second-order binding-unbinding transition with the same critical exponents as for $n = 2$. In the limit $n \to \infty$, the transition becomes first order [8].

Most studies of dynamical correlation functions and thermodynamic properties of the Heisenberg chain involve Bethe’s Ansatz and numerical methods, where the cases $|\Delta| < 1$, $\Delta > 1$ and $\Delta = 1$ should be distinguished because the methods employed are somewhat different. Dynamical correlation functions for $|\Delta| < 1$ can be found in Refs. [9–15], the thermodynamics for $\Delta > 1$ was worked out by Gaudin [16] and dynamic correlation functions for $\Delta > 1$ by Caux et al. [17] and Carmelo and Sacramento [18]. The case of the Néel ordered ground state ($\Delta > 1$ and zero magnetization) was investigated by Yang et al. [19].

A very detailed analysis of the thermodynamic Bethe equations for $|\Delta| < 1$ was presented by Takahashi and Suzuki [20]. While usually the thermodynamic Bethe Ansatz equations consist of an infinite set of non-linear integral equations (as for instance for the isotropic case, $\Delta = 1$ [21]), this set becomes finite when $\pi/\cos^{-1}(\Delta)$ is a rational number. This remarkable fact for $|\Delta| < 1$ will be studied further in this paper with a careful analysis of the excitation dispersions and the threshold edge singularities for $n = 3, 4$ and 5. The ground state energy, the low-temperature specific heat and the susceptibility in zero-field have been calculated by Takahashi using this method [22] and numerically the temperature dependence of thermodynamic quantities for antiferromagnetic correlations [23]. By extrapolating the numerical solutions for integer $n$ tending to infinity, Takahashi and Yamada [24,25] obtained the critical behavior for the isotropic ferromagnet. Similar results were obtained by Schlottmann [26,27] by solving numerically truncated subsets of the infinite non-linear thermodynamic Bethe Ansatz equations for the isotropic ferromagnet [21].

Here we study the anomalous Bethe equations for $\Delta = \cos(\pi/n)$ for $n = 3, 4$ and 5 for which there are $n - 1$ unknown functions, rather than an infinite set. This difference makes it interesting to investigate the dispersion relations and the edge singularities of the spectral functions. In Section 2 we restate the Bethe Ansatz equations that are necessary for this purpose. In Section 3, we present the magnetic field dependence of the dispersions and the conformal field theory limit. In Section 4, the effective field-theoretical Hamiltonian (bosonized model) is introduced, as well as the mobile impurity term representing the high-energy mode [28–32]. The field theoretical model is diagonalized via a canonical transformation leading to boundary terms for the bosonic field. In Section 5, we use the Euler–MacLaurin summation formula to derive the finite size corrections to the ground state energy using the discrete Bethe Ansatz equations including the high-energy mode. The relation of the finite size terms to the scattering phase shifts and the critical exponents of the spectral function is established. Conclusions follow in Section 6.

2. Bethe Ansatz Equations for the Anisotropic Heisenberg Ring for $|\Delta| < 1$

We assume that there are $M$ down-spins and $N - M$ up-spins. The Bethe Ansatz wave functions are written as [1,2,20]

\[ \Psi = \sum_{z_1 < z_2 < \cdots < z_M} \Phi(z_1, z_2, \ldots, z_M) S_{z_1}^- S_{z_2}^- \cdots S_{z_M}^- |0\rangle, \]

\[ \Phi(z_1, z_2, \ldots, z_M) = \sum_P \exp \left\{ i \left( \sum_{j=1}^{M} k_j z_j + \frac{1}{2} \sum_{j<l} |\phi| P_l \right) \right\}, \]

where $k_1, k_2, \ldots, k_M$ are the quasimomenta, $P$ denotes permutations of the integers 1, 2, \ldots, $M$ and the phase shifts $\phi_{\alpha, \beta}$ are defined as

\[ \cot(\frac{1}{2} k_{\alpha}) = \cot(\frac{1}{2} \theta) \tanh(\frac{1}{2} \theta x_{\alpha}), \quad \Delta = \cos(\theta), \quad \pi/2 \geq \theta > 0. \]
For $S^2 = (N - 2M)/2 \geq 0$, the eigenvalues of the energy, $E$, and the momentum, $K$, are given by

$$E = \sum_{\alpha = 1}^{M} \left( \cos(k_{\alpha}) - \Delta \right) - (N - 2M)H = \sum_{\alpha = 1}^{M} \left[ -\frac{2\pi}{\theta} \sin(\theta)a_{1}(x_{\alpha}) + 2H \right] - NH, \quad (5)$$

$$a_{1}(x) = \frac{1}{2p_{0}} \frac{\sin(\pi/p_{0})}{\cosh(\pi x/p_{0}) - \cos(\pi/p_{0})}, \quad p_{0} = \pi/\theta, \quad (6)$$

$$K = \sum_{\alpha = 1}^{M} k_{\alpha} = \sum_{\alpha = 1}^{M} \frac{1}{i} \ln \frac{\sinh[\frac{1}{2} \theta(x_{\alpha} + i)]}{\sinh[\frac{1}{2} \theta(x_{\alpha} - i)]}. \quad (7)$$

The periodic boundary conditions with respect to the $M$ parameters $x_{\alpha}$ in an extended Brillouin zone yield

$$\left\{ \frac{\sinh[\frac{1}{2} \theta(x_{\alpha} + i)]}{\sinh[\frac{1}{2} \theta(x_{\alpha} - i)]} \right\}^{N} = \prod_{\beta = 1}^{M} \left\{ \frac{\sinh[\frac{1}{2} \theta(x_{\alpha} - x_{\beta} + 2i)]}{\sinh[\frac{1}{2} \theta(x_{\alpha} - x_{\beta} - 2i)]} \right\}, \quad \text{mod}(2p_{0}i), \quad a = 1, 2, \ldots, M. \quad (8)$$

The periodicity with $\text{mod}(2p_{0}i)$ gives rise to the string solutions [20].

If $p_{0}$ is an irrational number the string solutions extend to infinity, as for the isotropic Heisenberg ring [21]. On the other hand, if $p_{0}$ is a rational number the length of the strings is finite. In particular, if $p_{0}$ is an integer $n$ the number of unknown functions is only $n - 1$. Details of the solutions can be found in Refs. [20,22]. Each solution of the Bethe Ansatz equations is characterized by an energy band, $\varepsilon_{j}(x)$, or the corresponding Boltzmann factor, $\eta_{j}(x) = \exp[\varepsilon_{j}(x)/T]$, where $T$ is the temperature.

For $\Delta = \cos(\pi/n)$ the thermodynamic Bethe Ansatz equations are given by [24]

$$\ln \left( 1 + \eta_{0}(x) \right) = 2n \sin(\pi/n)\delta(x)/T,$$

$$\ln \eta_{j}(x) = s_{1}(x) \ast \ln \left\{ \left( 1 + \eta_{j-1}(x) \right) \left( 1 - \eta_{j+1}(x) \right) \right\}, \quad \text{for } j = 1, 2, \ldots, n - 3,$$

$$\ln \eta_{n-2}(x) = s_{1}(x) \ast \ln \left\{ \left( 1 + \eta_{n-3}(x) \right) \left( 1 + 2\eta_{n-1}(x) \cosh(nH/T) + \eta_{n-1}(x)^{2} \right) \right\},$$

$$\ln \eta_{n-1}(x) = s_{1}(x) \ast \ln \left( 1 + \eta_{n-2}(x) \right),$$

$$F(T, H) = u - T \int_{-\infty}^{\infty} dx s_{1}(x) \ln \left( 1 + \eta_{1}(x) \right), \quad (9)$$

where

$$s_{1}(x) = \frac{1}{4 \cosh(\pi x/2)}, \quad s_{1}(x) \ast g(x) = \int_{-\infty}^{\infty} dx' s_{1}(x - x')g(x'),$$

$$u = \sin(\pi/n) \int_{-\infty}^{\infty} dx s_{1}(x) \frac{\sin(\pi/n)}{\cosh(\pi x/n) - \cos(\pi/n)}, \quad (10)$$

and $F(T, H)$ is the free energy.

In the limit $T \to 0$, the logarithms of the Boltzmann factors reduce to $\lim_{T \to 0} T \ln \eta_{j}(x) = \varepsilon_{j}^{+}(x)$ if $\varepsilon_{j}^{+}(x) > 0$, while $\lim_{T \to 0} T \ln[\eta_{j}(x)]^{-1} = -\varepsilon_{j}^{-}(x)$ if $\varepsilon_{j}^{-}(x) < 0$ and zero otherwise. For $H = 0$, the set of Equation (9) is then

$$\varepsilon_{0}^{+}(x) = 2n \sin(\pi/n)\delta(x),$$

$$\varepsilon_{j}^{+}(x) + \varepsilon_{j}^{-}(x) = s_{1}(x) \ast \left( \varepsilon_{j-1}^{+}(x) + \varepsilon_{j+1}^{+}(x) \right), \quad \text{for } j = 1, 2, \ldots, n - 3,$$

$$\varepsilon_{n-2}^{+}(x) + \varepsilon_{n-2}^{-}(x) = s_{1}(x) \ast \left( \varepsilon_{n-3}^{+}(x) + 2\varepsilon_{n-1}^{+}(x) \right),$$

$$\varepsilon_{n-1}^{+}(x) = s_{1}(x) \ast \varepsilon_{n-2}^{+}(x),$$

$$E_{gs} = u - \int_{-\infty}^{\infty} dx s_{1}(x) \varepsilon_{1}^{+}(x), \quad (11)$$
where $E_{gs}$ is the ground state energy.

Similarly, the density functions of the spinwaves at $T = H = 0$ are determined by the set of equations

$$
\rho^h_0(x) = \delta(x),
$$
$$
\rho^h_j(x) + \rho^h_{j+1}(x) = s_1(x) \ast \left( \rho^h_{j-1}(x) + \rho^h_{j+1}(x) \right), \text{ for } j = 1, 2, \ldots, n - 1,
$$
$$
\rho^h_{n-2}(x) + \rho^h_{n-1}(x) = s_1(x) \ast \left( \rho^h_{n-3}(x) + 2\rho^h_{n-1}(x) \right),
$$
$$
\rho^h_{n-1}(x) + \rho^h_{n}(x) = s_1(x) \ast \rho^h_{n-2}(x),
$$
$$
E_{gs} = -2n \sin(\pi/n) \int_{-\infty}^{\infty} dx a_1(x) \rho_1(x),
$$

where

$$
a_1(x) = \frac{1}{2n} \frac{\sin(\pi/n)}{\cosh(\pi x/n) - \cos(\pi/n)}.
$$

Here the superscript “$h$” denotes spinwave ‘holes’.

At $T = H = 0$, the only nonzero energy potential function is $\varepsilon_1(x) = \varepsilon_1(x) = -2n \sin(\pi/n)/[4 \cosh(\pi x/2)]$. Hence, the excitation energy is given by $\Delta E_1(x) = |\varepsilon_1(x)|$.

The corresponding density function is $\rho_1(x) = 1/[4 \cosh(\pi x/2)]$, while the ‘hole’ density function is equal to zero. For $H = 0$, the simple spinwave band is then completely filled.

The momentum of a simple spinwave hole parametrized by $x_0$ is then given by

$$
p_1(x_0) = 2\pi \int_{x_0}^{x_0} dx (\rho_1(x) + \rho^h_1(x)).
$$

The momentum vanishes at the center of the Brillouin zone and at the boundary of the zone it reaches $\pm \pi/2$. Dividing this value by $\pi$ we obtain the band-filling at zero-field, i.e., $M/N = 0.5$.

At $T = H = 0$, both $\Delta E_1(x)$ and $p_1(x)$ are determined by $1/ \cosh(\pi x/2)$ for all $n$ so that the dispersions are proportional to each other. The elementary excitations are displayed in Figure 1. The case $n = 2$ corresponds to the isotropic XY-model or $\Delta = 0$ and has been solved in Refs. [33,34].

![Figure 1](image_url)

**Figure 1.** (Color online) Elementary spinwave excitations as a function of the momentum for $T = H = 0$ and three values of $n$: (black, solid) $n = 3$, (red, dashed) $n = 4$, and (blue, dash-dotted) $n = 5$. $\Delta E_1$ and $p_1$ are the energy and momentum of the first band (ground state band), which is half-filled.

In non-zero field, the $n - 1$ bands are mixed and the situation is more complicated.
3. Excitation Energies in Non-Zero Magnetic Field

3.1. Case \( n = 3 \)

For \( n = 3 \), there are two bands to be determined, \( \varepsilon_1(x) \) and \( \varepsilon_2(x) \), which obey the following equations

\[
\varepsilon_1(x) = -2n \sin(\pi/n) s_1(x) + T s_1(x) \ast \ln \left[ 1 + 2\eta_2(x) \cosh(nH/T) + \eta_2(x)^2 \right],
\]
\[
\varepsilon_2(x) = \varepsilon_1^+(x) = s_1(x) \ast \varepsilon_1^-(x).
\] (15)

In the limit \( T \to 0 \), we obtain

\[
\varepsilon_1(x) = -2n \sin(\pi/n) + nH/2 + s_1(x) \ast \varepsilon_1^+(x)
\]
\[
= -2n \sin(\pi/n) + nH/2 + s_1(x) \ast \varepsilon_1^-(x),
\]
\[
= -2n \sin(\pi/n) + nH/2 + \frac{x}{8 \sinh(nH/2)} \ast \varepsilon_1^+(x),
\]
\[
\varepsilon_2(x) = s_1(x) \ast \varepsilon_1^+(x) = \varepsilon_1^+(x); \varepsilon_2^-(x) = 0.
\] (16)

Similarly, for the density functions and the momenta we have

\[
\rho_1(x) + \rho_1^h(x) = \frac{1}{4 \cosh(nH/2)} + \frac{x}{8 \sinh(nH/2)} \ast \rho_1^h(x),
\]
\[
\rho_2^h(x) = s_1(x) \ast \rho_1^h(x), \rho_2(x) = 0,
\] (17)
\[
p_1(x) = 2\pi \int_0^x ds' (\rho_1(s') + \rho_1^h(s')),
\]
\[
p_2(x) = 2\pi \int_0^x ds' (\rho_2(s') + \rho_2^h(s')) = 2\pi \int_0^x ds' s_1(s') \ast \rho_1^h(s').
\] (18)

The dispersions for various fields are shown in Figure 2. In the left panel, the low-level energy dispersion, \( \varepsilon_1 \) is presented. The zeroes of \( \Delta \varepsilon_1(p_1) \) represent the Fermi points of the dispersions. The states are divided into particle and hole states. For \( H > 0.75 \) the states are all particles and gapped (there is no Fermi momentum), while for \( H = 0 \) all excitations are holes (see Figure 1). The range of the momenta increases with the magnetic field. For the second band (right panel) at \( H = 0 \), the dispersion reduces to a point and all states are empty.

![Figure 2](image-url)

**Figure 2.** (Color online) Spinwave excitations for \( n = 3 \) for several fields (\( H = 0 \) black solid line; \( H = 0.25 \) red dashed line; \( H = 0.5 \) blue dash-dotted line; \( H = 0.75 \) green long-dashed line). (left panel) \( \Delta \varepsilon_1 \): The Fermi momentum is defined as the zero of \( \Delta \varepsilon_1(p_F) \) for each \( H \). Hole excitations correspond to \( -p_F < p_1 < p_F \), while particle excitations to \( |p_1| > p_F \). The range of \( p_1 \) increases with \( H \). For \( H > 0.75 \) the excitations are gapped and have no Fermi point. (right panel) \( \Delta \varepsilon_2 \): The excitation energies are gapped, except for \( H = 0 \), where the dispersion reduces to a single point. All states are empty in this case.
3.2. Case $n = 4$

In this case, three bands are to be determined, $\varepsilon_1(x)$, $\varepsilon_2(x)$ and $\varepsilon_3(x)$, which satisfy the following equations

$$
\begin{align*}
\varepsilon_3(x) &= s_1(x) * \varepsilon_2^+(x) = \varepsilon_2^+(x), \quad \varepsilon_3^-(x) = 0, \\
\varepsilon_2(x) &= s_1(x) * \varepsilon_1^+(x) + 2H + s_1(x) * \varepsilon_2^+(x) \\
&= s_1(x) * \varepsilon_1^+(x) + 2H + s_1(x) * \varepsilon_1^-(x), \\
\varepsilon_1(x) &= -2n \sin(\pi/n) s_1(x) + s_1(x) * \varepsilon_1^+(x) \\
&= -2n \sin(\pi/n) s_1(x) + \frac{4}{3}H + \frac{\sinh(\pi x/6)}{2\sqrt{3} \sinh(\pi x/2)} * \varepsilon_1^+(x).
\end{align*}
$$

Analogously, the spinwave density functions and the momenta are given by

$$
\begin{align*}
\rho_3(x) + \rho_3^h(x) &= s_1(x) * \rho_2^h(x), \quad \rho_3(x) = 0, \\
\rho_2(x) + \rho_2^h(x) &= s_1(x) * \rho_1^h(x) + \frac{x}{8 \sinh(\pi x/2)} * \rho_2^h(x), \quad \rho_2(x) = 0, \\
\rho_1(x) + \rho_1^h(x) &= \frac{1}{4 \cosh(\pi x/2)} + s_1(x) * \rho_2^h(x) \\
&= s_1(x) + \frac{\sinh(\pi x/6)}{2\sqrt{3} \sinh(\pi x/2)} * \rho_2^h(x),
\end{align*}
$$

$$
\begin{align*}
p_1(x) &= 2\pi \int_0^x dx' (\rho_1(x') + \rho_1^h(x')), \\
p_2(x) &= 2\pi \int_0^x dx' (\rho_2(x') + \rho_2^h(x')), \\
p_3(x) &= 2\pi \int_0^x dx' (\rho_3(x') + \rho_3^h(x')) = 2\pi \int_0^x dx' s_1(x') * \rho_2^h(x').
\end{align*}
$$

The dispersion functions for $n = 4$ for various magnetic fields are displayed in Figure 3. The three panels show the three energy functions, $\varepsilon_j$, $j = 1, 2, 3$. Only the left upper panel ($\varepsilon_1$) has Fermi points (zeroes of $\Delta \varepsilon_1(p_1)$) and has particle and hole states. All other excitations are particle-like, i.e., gapped (This is also the case for $\varepsilon_1$ for $H > 0.85$). Again the range of the momenta increases with the magnetic field. For the second and third bands at $H = 0$, the dispersion reduces to a point and all states are empty.

![Figure 3. Cont.](image-url)
3.3. Case \( n = 5 \)

For \( n = 5 \), the excitation spectra consist of four bands determined by the following equations:

\[
\begin{align*}
\epsilon_4(x) &= s_1(x) \ast \epsilon^+_3(x) = \epsilon^+_4(x), \quad \epsilon^-_4(x) = 0, \\
\epsilon_3(x) &= s_1(x) \ast \epsilon^+_2(x) + nH/2 + s_1(x) \ast \epsilon^+_4(x) \\
&= s_1(x) \ast \epsilon^+_2(x) + nH/2 + s_1(x) \ast s_1(x) \ast \epsilon^+_3(x) \\
&= s_1(x) \ast \epsilon^+_2(x) + nH/2 + \frac{x}{8 \sinh(\pi x/2)} \ast \epsilon^+_3(x), \quad \epsilon^-_3(x) = 0, \\
\epsilon_2(x) &= s_1(x) \ast (\epsilon^+_2(x) + \epsilon^+_3(x)), \\
\epsilon_1(x) &= -2n \sin(\pi/n)s_1(x) + s_1(x) \ast \epsilon^+_2(x) \\
&= -2n \sin(\pi/n)s_1(x) + nH/4 + \left[ \frac{x}{16 \sinh(\pi x/2)} + \frac{\sinh(\pi x/4)}{8 \sinh(\pi x/2)} \right] \ast \epsilon^+_1(x).
\end{align*}
\]

Similarly, the spinwave density functions and the momenta are obtained through

\[
\begin{align*}
\rho_4(x) + \rho^+_4(x) &= s_1(x) \ast \rho^+_3(x), \quad \rho_4(x) = 0, \\
\rho_3(x) + \rho^+_3(x) &= s_1(x) \ast \rho^+_2(x) + \frac{x}{8 \sinh(\pi x/2)} \ast \rho^+_3, \quad \rho_3(x) = 0, \\
\rho_2(x) + \rho^+_2(x) &= s_1(x) \ast (\rho^+_1(x) + \rho^+_3(x)), \quad \rho_2(x) = 0, \\
\rho_1(x) + \rho^+_1(x) &= \frac{1}{4 \cosh(\pi x/2)} + s_1(x) \ast \rho^+_2(x), \\
p_1(x) &= 2\pi \int_0^x dx'(\rho_1(x') + \rho^+_1(x')), \\
p_2(x) &= 2\pi \int_0^x dx'(\rho_2(x') + \rho^+_2(x')), \\
p_3(x) &= 2\pi \int_0^x dx'(\rho_3(x') + \rho^+_3(x')), \\
p_4(x) &= 2\pi \int_0^x dx'(\rho_4(x') + \rho^+_4(x')) = 2\pi \int_0^x dx's_1(x') \ast \rho^+_3(x').
\end{align*}
\]

The dispersion functions for \( n = 5 \) for various magnetic fields are displayed in Figure 4. The four panels show the four energy functions, \( \epsilon_j, j = 1,2,3,4 \). Again only \( \epsilon_1 \) has Fermi
points (zeroes of $\Delta E_1(p_1)$) and has particle and hole states. All other excitations are particle-like, i.e., gapped (This is also the case for $\epsilon_1$ for $H > 0.91$). As before, the range of the momenta increases with the magnetic field. For the second, third and fourth bands at $H = 0$ the dispersion reduces to a point and all states are empty.

Figure 4. (Color online) Spinwave excitations for $n = 5$ for several fields ($H = 0$ black solid line; $H = 0.35$ red dashed line; $H = 0.65$ blue dash-dotted line; $H = 0.91$ green long-dashed line). (left top panel) $\Delta E_1$: The Fermi momentum is defined as the zero of $\Delta E_1(p_1)$ for each $H$. Hole excitations correspond to $-p_F < p_1 < p_F$, while particle excitations to $|p_1| > p_F$. The range of $p_1$ increases with $H$. For $H > 0.91$ the excitations are gapped and have no Fermi point. (right top panel) $\Delta E_2$: The excitation energies are gapped, except for $H = 0$, where the dispersion reduces to a single point and all states are empty in this case. (lower left panel) $\Delta E_3$: For the third band the dispersion again reduces to a point for $H = 0$. (lower right panel) $\Delta E_4$: Similar to $\Delta E_2$ and $\Delta E_3$.

3.4. Luttinger Liquid

For a Luttinger liquid, the energy of the low-energy excitations is linearized in the momentum about the Fermi points. The spectrum can be described by the conformal tower in terms of four quantum numbers. The correlation functions, determined by the low-energy excitations of the system and the conformal space-time invariance, display power-law divergences. Conformal field theory only yields asymptotically exact correlation functions for long times and long distances, since the curvature of the dispersion is being neglected. These curvature terms in the Hamiltonian are formally irrelevant in the field theory [35], but modify the position of the singularity and the critical exponent.

In a series of papers [10,28–32,36–42], it was shown for several models that neglecting curvature terms in the dispersion leads to incorrect results for the threshold singularities in response functions. This problem is solved by adding a corrective term of the form of a mobile impurity that is coupled to the Luttinger liquid modes. Although formally irrelevant operators, the impurity terms, if treated nonperturbatively, yield the correct threshold singularities in the Green's function. The method is not limited to weak interactions. The procedure is analogous to the X-ray edge divergence in metals [43,44], which arises from the perturbation of the Fermi surface when a core electron is promoted (the impurity). The exact critical exponents are determined by the scattering phase shifts off the impurity and
for integrable models they can be extracted from the Bethe ansatz solution. Previous work on mobile impurities embedded into a Fermi gas should be mentioned [45–54]. However, in contrast to the present work, where the “impurity” is just an excitation of the interacting 1D system, there the impurity refers to a foreign particle dragging through the Luttinger liquid.

3.5. Group Velocities

In the Luttinger limit, where the dispersion of the excitations is linear in the momentum, the group velocity is given by [55]

\[ v_F = \left( \frac{d\epsilon_1(x)}{dx} \right)_{x=X} / \left( 2\pi \rho_1(X) \right), \]

where \( X \) separates particles from holes (Fermi point). The group velocity away from the linear dispersion regime is

\[ u(x_0) = \left( \frac{d\epsilon_1(x_0)}{dx_0} \right) / \left[ 2\pi (\rho(x_0) + \rho_h(x_0)) \right] , \]

where \( x_0 \) is the impurity rapidity. \( u(x_0) \) corresponds to the slope of the dispersion in Figure 1. Note that for hole-excitations in the Heisenberg chain, \( |u| \) is always smaller than the respective Fermi velocity.

3.6. Conformal Towers

In the Luttinger limit, the model has excitations with energy proportional to the momentum with Fermi velocity \( v_F \). The finite size corrections to the ground state energy determine the energies of the low-lying excitations [56]. The ground state energy, \( E_{GS} \), is an extensive quantity given by Equation (5). The excitations, on the other hand, are mesoscopic corrections, i.e., of order \( 1/N \), where \( N \) is the length of the system. These mesoscopic corrections depend on the boundary conditions employed, in our case periodic boundary conditions. Four quantum numbers determine the finite size corrections, namely, \( \Delta M \) corresponds to the number of removed or added rapidities and \( D \) is the parity variable, i.e., \( 2D \) is the difference between the number of forward and backward movers. In addition, the quantum numbers \( n^\pm \) count the number of particle and hole excitations about each Fermi point (+ for forward movers and − for backward movers). The ground state energy with finite size corrections is given by [56,57]

\[ E = E_{GS} + \frac{\pi v_F}{2N} \left[ \frac{\Delta M}{z} \right]^2 + \frac{2\pi v_F}{N} \left[ (zD)^2 + n^+ + n^- - \frac{1}{12} \right] , \]

and assuming that the momentum of the ground state is zero, the excitations change the momentum by [57]

\[ \Delta P = \frac{2\pi}{N} \left[ D\Delta N + n^+ - n^- \right] + 2\rho_F D . \]

The quantity \( z \) in Equation (27) is the generalized dressed charge, which determines the interaction between the two Fermi points, i.e., how the energy is affected by a change of a quantum number, e.g., \( \Delta N \) or \( D \). The periodic boundary conditions for the discrete Bethe Ansatz equations restrict the values of the back-scattering quantum number \( D \) to be an integer. The dressed generalized charge is determined by \( z = \Xi(X) \), where \( \Xi \) is the solution of

\[ \Xi(x) = 1 - \int_x^X dx' \Xi(x') \frac{\theta \sin(2\theta)}{\cosh[\theta(x-x')]} \cos(2\theta) . \]
Consider now a conformal field operator $O$ characterized by a set of quantum numbers $\Delta N, D$ and $n^\pm$. The conformal dimensions, defined as $[58,59]$

$$2\Delta^\pm = 2n^\pm + \left[ zD \pm \frac{\Delta M}{2z} \right]^2,$$

(30)
determine the critical exponents of asymptotes of the $O$ correlation function. Conformal field theory then yields for the asymptote of $\langle O^\dagger(x,t)O(0,0)\rangle$

$$\langle O^\dagger(x,t)O(0,0)\rangle \propto \exp\left[ -2iD_{PF} \frac{F_x}{(x-iv_F t)^{2\Delta^+} (x+iv_F t)^{2\Delta^-}} \right].$$

(31)
The correlation function consists of two factors corresponding to forward and backward movers, respectively. Each of these factors gives rise to a power-law singularity.

4. Field Theory Model for the Luttinger Liquid with Mobile Impurity

The field theory for the Luttinger liquid, i.e., the model with the linear dispersion in the momentum is parametrized by a Bose field, $\Phi(x)$, and its dual field, $\Theta(x)$, which satisfy the commutation relation $[35]$

$$\left[ \Phi(x), \frac{\partial \Theta(y)}{\partial y} \right] = i\pi \delta(x-y).$$

(32)
The Luttinger liquid Hamiltonian is given by

$$\mathcal{H}_{LL} = \frac{v_F}{2\pi} \int \left( \frac{1}{K} \left( \frac{\partial \Phi(x)}{\partial x} \right)^2 + K \left( \frac{\partial \Theta(x)}{\partial x} \right)^2 \right),$$

(33)
where irrelevant operators have been neglected. Here $K$ is the Luttinger parameter, which determines the strength of the interaction. For a noninteracting system $K = 1$.

The deviations from linearity of the dispersion lead in general to incorrect results in the threshold position and the exponents in response functions $[10,29,30,32,36,39,41,42,60–62]$. A high energy excitation from the nonlinear portion of the spectrum can be included by coupling the Luttinger liquid to a mobile impurity. This mobile impurity, if treated nonperturbatively, leads to singularities in the response function with the correct energy and momentum-dependent exponent.

A spinwave with energy $\varepsilon_1(p_1)$ added to the system is emulated by the following mobile impurity Hamiltonian (see, e.g., Refs. $[10,29,30,36,39,41,62]$)

$$\mathcal{H}_d = \int dx d^t(x) \left[ \varepsilon_1(p_1) - iu \frac{\partial}{\partial x} \right] d(x),$$

(34)
where $d^t$ and $d$ are the creation and annihilation operators of the mobile impurity, $p_1$ is the momentum and $u$ the group velocity of the excitation. The interaction of the Luttinger liquid with the mobile impurity is linear through coupling parameters $V_R$ and $V_L$

$$\mathcal{H}_{int} = \int dx \left[ \frac{V_L}{2\pi} \frac{\partial \Theta(x)}{\partial x} + \frac{V_L + V_R}{2\pi} \frac{\partial \Phi(x)}{\partial x} \right] d^t(x) d(x).$$

(35)
In Section 5, the parameters in Equations (33)–(35) are related to quantities from the Bethe Ansatz.

We now consider $\mathcal{H} = \mathcal{H}_{LL} + \mathcal{H}_{imp} + \mathcal{H}_{int}$ and to eliminate the terms linear in the fields $\partial \Theta$ and $\partial \Phi$ we apply a canonical transformation $U$ to all operators $[10,29,30,32]$,

$$U = \exp \left\{ -\frac{i}{2\pi} \int dx \left[ -\sqrt{K}(\varphi_+ - \varphi_-)\Theta(x) + \frac{\varphi_+ + \varphi_-}{\sqrt{K}}\Phi(x) \right] d^t(x) d(x) \right\},$$

(36)
where the parameters $\varphi_+$ and $\varphi_-$ are to be determined. The transformed quantities are denoted by $\vec{d} = U \vec{d} U^\dagger$, $\vec{\Phi} = U \vec{\Phi} U^\dagger$ and $\vec{\Theta} = U \vec{\Theta} U^\dagger$ so that

$$
\partial_x \Theta = \partial_x \vec{\Theta} - \frac{1}{2\sqrt{K}} (\varphi_+ + \varphi_-) \vec{d} \vec{d}^T,
$$

$$
\partial_x \Phi = \partial_x \vec{\Phi} + \frac{\sqrt{K}}{2} (\varphi_+ - \varphi_-) \vec{d} \vec{d}^T,
$$

$$
d = \vec{d} \exp \left\{ -i \frac{1}{2\sqrt{K}} \left[ -\sqrt{K} (\varphi_+ - \varphi_-) \vec{\Theta} + \frac{1}{\sqrt{K}} (\varphi_+ + \varphi_-) \vec{\Phi} \right] \right\} .
$$

(37)

The unwanted linear terms disappear if [10,29]

$$
-(V_L - V_K) = (v_F - u) \varphi_+ + (v_F + u) \varphi_- ,
$$

$$
-(V_L + V_K) \sqrt{K} = -(v_F - u) \varphi_+ + (v_F + u) \varphi_-
$$

(38)

and the transformed Hamiltonian becomes noninteracting

$$
\mathcal{H}_{\text{tran}} = \frac{v_F}{2\pi} \int dx \left[ \frac{1}{K} \left( \frac{\partial \vec{\Theta}(x)}{\partial x} \right)^2 + K \left( \frac{\partial \vec{\Phi}(x)}{\partial x} \right)^2 \right] + \int dx \vec{d}^T(x) \left[ \epsilon_1(p_1) - iu \frac{\partial}{\partial x} \right] \vec{d}(x).
$$

(39)

As a consequence of the transformation, boundary terms are introduced for the boson fields, $\Phi(x)$ and $\Theta(x)$, which are obtained by taking expectation values in Equation (37) [10]

$$
-\Delta N = \frac{1}{\pi} \int_0^N dx \langle \partial_x \Phi \rangle = \frac{1}{\pi} \int_0^N dx \langle \partial_x \vec{\Phi} \rangle + \frac{\sqrt{K}}{2\pi} (\varphi_+ - \varphi_-),
$$

$$
D = -\frac{1}{\pi} \int_0^N dx \langle \partial_x \Theta \rangle = -\frac{1}{\pi} \int_0^N dx \langle \partial_x \vec{\Theta} \rangle + \frac{1}{2\pi\sqrt{K}} (\varphi_+ + \varphi_-),
$$

(40)

where $2D$ is the current quantum number (backscattering) and $N$ the length of the chain.

5. Relation to the Bethe Ansatz Results

We now calculate the finite size corrections to the ground state energy in the presence of a high energy excitation using the Bethe Ansatz equations. The results for hole and particle excitations are similar, so that here we consider holes. Removing a rapidity introduces a small asymmetry in the integration limits, which are symmetric at $\pm X$ without excitation. We denote the new integration limits with $X_+$ and $X_-$.  

5.1. Densities

In close analogy to Refs. [29,59], we start with the discrete Bethe Ansatz equations, $Z(k_a) = 2\pi j_a / N$, with

$$
Z(k_a) = k_a - \frac{1}{Ni} \sum_{\beta=1}^M \ln \left\{ \frac{\sinh[\frac{\theta}{2}(x_a - x_{\beta} + 2i)]}{\sinh[\frac{\theta}{2}(x_a - x_{\beta} - 2i)]} \right\} + \frac{1}{Ni} \ln \left\{ \frac{\sinh[\frac{\theta}{2}(x_a - x^{(h)} + 2i)]}{\sinh[\frac{\theta}{2}(x_a - x^{(h)} - 2i)]} \right\}
$$

$$
= k_a + \frac{2}{N} \sum_{\beta=1}^M \arctan \left[ \frac{\tanh \left( \frac{\theta}{2}(x_a - x_{\beta}) \right) \cot \theta}{\csc \theta} \right] + \pi \frac{M}{N}
$$

$$
- \frac{2}{N} \arctan \left[ \frac{\tanh \left( \frac{\theta}{2}(x_a - x^{(h)}) \right) \cot \theta}{\csc \theta} \right] - \frac{\pi}{N}.
$$

(41)

The term with $x^{(h)}$ is the term of the excitation with the removed quantum number $j^{(h)}$ and $Z(k^{(h)}) = 2\pi j^{(h)} / N$. $x^{(h)}$ depends, in principle, on the size of the system, but its
corrections are of order \( 1/N^2 \) and hence negligible. With the aid of the Euler–MacLaurin sum formula the discrete equations can be transformed into an integral form for large \( M \)

\[
\mathcal{Z}(k) = k(x) + 2 \int_{X_-}^{X_+} dx' \rho_1(x') \arctan \left[ \frac{\tan \left( \frac{\theta}{2} (x - x') \right)}{\cot \theta} \right] + \frac{\pi M}{N} \cdot \frac{\theta}{2}
\]

\[
\rho_1^{(0)}(x) + \rho_1^{(0)\pm}(x) = \frac{1}{2\pi} \frac{d\theta}{dx} - \int_{X_-}^{X_+} dx' \rho_1^{(0)}(x') \frac{\theta \tan(\theta)}{\cosh^2[(\theta/2)(x - x')]}
\]

\[
\rho_1^{(1)}(x) + \rho_1^{(1)\pm}(x) = \frac{1}{2\pi} \frac{d\theta}{dx} - \int_{X_-}^{X_+} dx' \rho_1^{(1)}(x') \frac{\theta \tan(\theta)}{\cosh^2[(\theta/2)(x - x')]}
\]

\[
\rho_1^{(2)}(x) + \rho_1^{(2)\pm}(x) = \frac{1}{48\pi \rho_1^{(0)}(X_+) \rho_1^{(0)}(X_-)} \frac{2\tan(\theta)}{(2\pi)} \frac{\theta}{2}
\]

\[
\frac{\theta \tan(\theta)}{\cosh^2[(\theta/2)(x - x')]}
\]

The integration boundaries are fixed by \( \mathcal{Z}(X_{\pm}) = \frac{2\pi M}{N} \cdot \frac{\theta}{2} \), where \( I_{\pm} = \pm (M_{GS} - 1)/2 \) with \( M_{GS} \) being the number of spinwaves in the ground state.

Dividing Equation (42) by \( 2\pi \) and differentiating with respect to \( x \) the integral equation for \( \rho_1(x) \) for the finite system of length \( N \) is obtained. Expanding \( \rho_1(x) \) in powers of \( 1/N \), i.e., \( \rho_1^{(0)}(x) + \rho_1^{(1)}(x)/N + \rho_1^{(2)}(x)/N^2 + O(N^{-3}) \), where \( \rho_1^{(0)}(x) \) represents the density of the bulk, \( \rho_1^{(1)}(x) \) is the impurity contribution and \( \rho_1^{(2)}(x) \) contains the finite size effects.

Taking into account that \( d\mathcal{Z}/dx < 0 \), while \( d\mathcal{Z}/dk > 0 \), we have for \( \rho_1^{(0)}(x) \)

\[
\frac{\theta \tan(\theta)}{\cosh^2[(\theta/2)(x - x')]} - \frac{1}{2\pi} \frac{d\theta}{dx}
\]

\[
\rho_1^{(0)}(x) + \rho_1^{(0)\pm}(x) = \frac{1}{2\pi} \frac{d\theta}{dx} - \int_{X_-}^{X_+} dx' \rho_1^{(0)}(x') \frac{\theta \tan(\theta)}{\cosh^2[(\theta/2)(x - x')]}
\]

where \( d\theta / dx \) is

\[
\frac{\theta \tan(\theta)}{\cosh^2[(\theta/2)(x - x')]}
\]

\[
\rho_1^{(1)}(x) + \rho_1^{(1)\pm}(x) = \frac{1}{2\pi} \frac{d\theta}{dx} - \int_{X_-}^{X_+} dx' \rho_1^{(1)}(x') \frac{\theta \tan(\theta)}{\cosh^2[(\theta/2)(x - x')]}
\]

and for the integration kernel we have

\[
\frac{\theta \tan(\theta)}{\cosh^2[(\theta/2)(x - x')]}
\]

\[
\frac{1}{2\pi} \frac{d\theta}{dx}
\]

which in the absence of a magnetic field yields \( \rho_1^{(0)}(x) = 1/[4 \cosh(\pi x/2)] \).

The integral equation for \( \rho_1^{(1)}(x) \) is essentially the change in the density function due to a “hole” excitation, again except for the integration limits, i.e.,

\[
\rho_1^{(2)}(x) + \rho_1^{(2)\pm}(x) = \frac{1}{48\pi \rho_1^{(0)}(X_+) \rho_1^{(0)}(X_-)} \frac{2\tan(\theta)}{(2\pi)} \frac{\theta}{2}
\]

\[
\frac{\theta \tan(\theta)}{\cosh^2[(\theta/2)(x - x')]}
\]

Finally, the last driving terms in Equation (42) determine the integral equation for \( \rho_1^{(2)}(x) \), i.e.,

\[
\frac{\theta \tan(\theta)}{\cosh^2[(\theta/2)(x - x')]}
\]

\[
\rho_1^{(2)}(x) + \rho_1^{(2)\pm}(x) = \frac{1}{48\pi \rho_1^{(0)}(X_+) \rho_1^{(0)}(X_-)} \frac{2\tan(\theta)}{(2\pi)} \frac{\theta}{2}
\]

\[
\frac{\theta \tan(\theta)}{\cosh^2[(\theta/2)(x - x')]}
\]
5.2. Energy

In terms of discrete rapidities, the energy of the system with $M$ spinwaves is given by

$$E_M = \sum_{\alpha=1}^{M} \left( \cos(k_{\alpha}) - \Delta \right) - (N - 2M)H = \sum_{\alpha=1}^{M} \left[ \left( - \frac{2\pi}{\theta} \sin(\theta)a_{1}(x_{\alpha}) + 2H \right) - NH \right],$$

where $a_{1}(x)$ is given by Equation (13).

Removing one spinwave of rapidity $x^{(b)}$ and employing once again the Euler–MacLaurin sum formula this expression reduces to [29,59]

$$E_{M-1} = \frac{1}{12N} \int_{X_{-}}^{X_{+}} dx \left[ \frac{\sin(\theta)/\theta}{\rho_{1}(X_{+})} \right] \left[ \frac{\sin(\theta)/\theta}{\rho_{1}(X_{-})} \right] \left[ - (2\pi/\theta) \sin(\theta)a_{1}(x) \right] - 2H$$

Defining $e^{(0)}(x) = -\left[ 2\pi \sin(\theta)/\theta \right] a_{1}(x)$ the ground state energy density in the thermodynamic limit is

$$\epsilon_{GS}(X_{\pm}) = -\frac{2\pi \sin(\theta)}{\theta} \int_{X_{-}}^{X_{+}} dx \ a_{1}(x) \rho_{1}^{(0)}(x) - (N - 2M)H/N$$

and the energy of the finite system can be written as

$$E = N\epsilon_{GS}(X_{\pm}) + (N - 2M + 2)H - e^{(0)}(x^{(b)}) + \int_{X_{-}}^{X_{+}} dx e^{(0)}(x) \rho_{1}^{(1)}(x)$$

where the prime denotes derivative. After a tedious calculation the $N^{-1}$-terms reduce to $-\left( 2\pi/6N \right) \psi_{F}$.

Next we simplify the impurity terms in the expression for the energy. The rapidity of the “impurity”, $x^{(b)}$, is in principle dependent on the size of the system and can be expanded in powers of $1/N$. The $1/N$ corrections to $x^{(b)}$ can, however, be neglected. The terms of order $N^{0}$, i.e., the third and fourth terms in Equation (51), reduce to the dressed energy of the hole, $\epsilon(x^{(b)})$, but with integration limits $X_{\pm}$

$$-\epsilon^{(0)}(x^{(b)}) + \int_{X_{-}}^{X_{+}} dx e^{(0)}(x) \rho_{1}^{(1)}(x) = -\epsilon_{1}(x^{(b)}).$$

The energy of the system is now given by

$$E = N\epsilon_{GS}(X_{\pm}) - \epsilon_{1}(x^{(b)}) - 2\pi\psi_{F}/(6N).$$

5.3. Integration Limits

The quantities $(X_{\pm} \mp X)$ are of the order of $1/N$. We now expand $\epsilon_{GS}(X_{\pm})$ to second order in these differences. The linear terms vanish, i.e., $[\delta\epsilon_{GS}(X_{\pm})/\delta X_{\pm}]_{X_{\pm}=\pm X} = 0,$
because the excitations vanish at the Fermi points, i.e., $\epsilon_1(\pm X) = 0$. Hence, the first term corresponds to the equilibrium energy density in the ground state and the first corrections are quadratic,

$$
\epsilon_{GS}(X_{\pm}) = \epsilon_{GS}(\pm X) + \frac{1}{2} \sum_{\sigma \tau} \frac{\delta^2 \epsilon_{GS}(X_{\pm})}{\delta X_{\sigma} \delta X_{\tau}} \bigg|_{X_{\sigma} = \epsilon; X_{\tau} = \tau} \left[ (X_{\sigma} - \epsilon X)(X_{\tau} - \tau X) \right].
$$

(54)

After lengthy algebra we obtain

$$
\frac{\delta^2 \epsilon_{GS}(X_{\pm})}{\delta X_{\sigma} \delta X_{\tau}} \bigg|_{X_{\sigma} = \epsilon; X_{\tau} = \tau} = \delta_{\sigma\tau} 2\pi v_F |\rho_1^{(0)}(X)|^2.
$$

(55)

The $\delta_{\sigma\tau}$ arises since the two Fermi points are independent [29,59].

In summary, the corrections to the energy due to the finite size of the system are

$$
E = N\epsilon_{GS}(\pm X) + N\pi v_F |\rho_1^{(0)}(X)|^2 \left[ (X_+ - X)^2 + (X_- + X)^2 \right] - \epsilon(x^{(h)}, X, \pm) + \pi v_F / (6N).
$$

(56)

5.4. Relation of $(X_{\pm} \mp X)$ to Quantum Numbers

The change of the integration limits due to the high energy excitation can be related to the quantum numbers of the excitation. The changes for the density and current density to order $1/N$ are obtained as

$$
\frac{M}{N} = \frac{I^+ - I^-}{N} = \int_{X_-}^{X_+} dx \rho_1^{(0)}(x) = \int_{X_-}^{X_+} dx \rho_1^{(1)}(x) + \frac{1}{N} \int_{X_-}^{X_+} dx \rho_1^{(1)}(x)
$$

$$
2\frac{D}{N} = \frac{I^+ + I^-}{N} = \int_{-\infty}^{X_+} dx \left[ \rho_1^{(0)}(x) + \frac{1}{N} \rho_1^{(1)}(x) \right] - \int_{-\infty}^{X_-} dx \left[ \rho_1^{(0)}(x) + \frac{1}{N} \rho_1^{(1)}(x) \right],
$$

(57)

where $\rho_1^{(1)}(x)$ is related to the impurity. We denote with $M_{imp}$ and $D_{imp}$ the quantities related to the high energy excitations (mobile impurities)

$$
M_{imp} = \int_{X_-}^{X_+} dx \rho_1^{(1)}(x), \quad 2D_{imp} = \int_{-\infty}^{X_+} dx \rho_1^{(1)}(x) - \int_{-\infty}^{X_-} dx \rho_1^{(1)}(x).
$$

(58)

Note that we replaced the integration limits $X_{\pm}$ in Equation (58) by $\pm X$ and that $M_{imp}$ and $D_{imp}$ do not necessarily vanish at the Fermi level. The shifts of $X_{\pm}$ with $M/N$ and $D/N$ to leading order in $1/N$ are given by $\partial X_{\pm}/\partial (M/N) = \pm 1/[2\pi \rho_1^{(0)}(X)]$ and $\partial X_{\pm}/\partial (D/N) = z/\rho_1^{(0)}(X)$, respectively. It now follows that

$$
X_{\pm} \mp X = \pm \frac{1}{2\pi \rho_1^{(0)}(X) N} \left( \Delta M - M_{imp} \right) + \frac{z}{\rho_1^{(0)}(X) N} \left( \Delta D - D_{imp} \right).
$$

(59)

Denoting with $\Delta N = N - N_{imp}(p)$ and $\Delta D = D - D_{imp}(p)$, the corrections to the energy due to finite size take the form

$$
E = N\epsilon_{GS}(X) - \epsilon(x^{(h)}) + \frac{\pi v_F}{6N} + \sum_{s = \pm 1} \frac{\pi v_F}{N} \left[ \frac{\Delta M}{2z} + s \frac{\Delta \bar{M}}{2z} \right]^2
$$

$$
= N\epsilon_{GS}(X) - \epsilon(x^{(h)}) + \frac{\pi v_F}{6N} + \frac{2\pi v_F}{N} \left[ \frac{\Delta \bar{M}}{z} + \frac{1}{4} \left( \frac{\Delta \bar{M}}{z} \right)^2 \right].
$$

(60)

All of the above considerations for “hole” excitations are straightforwardly extended to “particle” excitations.
5.5. Luttinger Parameter

To parametrize the interaction strength in the field theory model for the Luttinger liquid, we need the Luttinger parameter $K$ in terms of the Bethe ansatz quantities. To determine $K$, we consider the equal time spinwave propagator $\langle S^+(x)S^-(0) \rangle$ for which the quantum numbers are $\Delta M = -1$ and $D = 0$. The correlation function decreases with a power law of the distance $x$, $1/|x|^{\theta^*}$, where $\theta^* = 1/(2z^2)$. This is in analogy to the Bose gas [41,63]. The field-theoretical approach yields through bosonization $\theta^* = 1/(2K)$ [11,12,64], so that $K = z^2$. The quantity $z$ is calculated via Equation (29) through the Bethe Ansatz as a function of field. $z$ and $K$ are displayed in Figure 8. The exact result for $K$ at zero-field is [11,12]

$$K = [2 - 2 \arccos(\Delta)/\pi]^{-1}. \quad (61)$$
Figure 8. Dressed generalized charge $z$ (solid lines) and the Luttinger parameter $K = z^2$ (dashed lines) as a function of magnetic field for $n = 3$ (black), $n = 4$ (red) and $n = 5$ (blue).

5.6. Relation of the Bethe Ansatz with the Field Theoretical Quantities

We can now establish the relation between the field-theoretical and the Bethe Ansatz approaches. For simplicity we are going to consider spinwave “holes”, for which $\Delta M = -1$, $D = 0$. In Equation (40) the overlined quantities are proportional to $\tilde{\Delta} M$ and $\tilde{D}$. It follows that

$$M_{\text{imp}}(p) + \frac{\sqrt{K}}{2\pi} (\varphi_+ - \varphi_-) = 0 \quad -1 + D_{\text{imp}}(p) + \frac{1}{2\pi \sqrt{K}} (\varphi_+ + \varphi_-),$$

or inverting these equations we have

$$\frac{\varphi_+ - \varphi_-}{2\pi} = -\frac{M_{\text{imp}}(p)}{\sqrt{K}}, \quad \varphi_+ + \varphi_- = -\frac{2\pi}{\sqrt{K}} (1 - D_{\text{imp}}(p)).$$

According to Refs. [39,62], the critical exponent for the hole excitation is

$$\mu = 1 - \frac{1}{2} \left( \frac{2}{\sqrt{K}} + \frac{\varphi_+ - \varphi_-}{2\pi} \right)^2 - \frac{1}{2} \left( \frac{\varphi_+ + \varphi_-}{2\pi} \right)^2$$

while for a particle excitation

$$\bar{\mu} = 1 - \frac{1}{2} \left( \frac{\varphi_+ - \varphi_-}{2\pi} \right)^2 - \frac{1}{2} \left( \frac{\varphi_+ + \varphi_-}{2\pi} \right)^2$$

The critical exponents for particles and holes, $\mu$ and $\bar{\mu}$, can now be evaluated and are shown in Figure 9.

Note that the exponent for the particles in the regime $p \geq p_F$ (close to the Fermi point) is always positive indicating a divergence of the spectral function, while the one for the holes is negative for $-p_F \leq p \leq p_F$ and the spectral function tends to zero. For particles with $p \gg p_F$, the exponent may change sign. Note that at the edge at $p_F$ the holes and particles are joined by an imaginary vertical line.

The spectral function is then proportional to the following general form

$$\frac{1}{\omega - \bar{\epsilon}_1(p) \mu},$$
where $\epsilon_1(p) > 0$ with $\mu = \pi$ for particles and $\epsilon_1(p) < 0$ with $\mu = \mu_{\text{f}}$ for holes. As noted by Imambekov and Glazman [62] the exponents show markedly non-Luttinger liquid behavior in the immediate vicinity of the edges. For the Luttinger liquid $\mu_{\text{LL}} = 1 - 1/(4K)$ and $\epsilon(p) = v_F(p - p_F)$. Note that the conformal towers cannot give rise to a $\sqrt{K}$ dependence [62]. The difference between the exact results and the Luttinger liquid arises from the fact that $N_{\text{imp}}$ and $D_{\text{imp}}$ are not zero at the Fermi surface.

Figure 9. (Color online) Critical exponents of the spectral function of spinwaves as a function of momentum for particles ($p \geq p_F$, dashed curves) and holes ($-p_F \leq p \leq p_F$, solid curves) for $n = 3$ (upper left panel), $n = 4$ (upper right panel) and $n = 5$ (lower panel). The same magnetic fields as in previous figures are considered. The exponents for holes are always negative and the spectral function tends to zero. On the other hand, the exponents for particles are positive at the edge and hence the spectral function diverges. Away from $p_F$, $\pi$ may change sign and become negative.

6. Conclusions

We considered the excitation spectrum and edge singularities of the one-dimensional anisotropic Heisenberg chain for $\Delta = \cos(\pi/n)$ with $n = 3, 4$ and 5. Here $n = 2$ corresponds to the X-Y chain (rotator model). The excitation spectrum consists of $n - 1$ excitation bands. The model is integrable and the complete Bethe Ansatz solution was constructed by Takahashi and coworkers [20,22,24,25]. When $\theta/\pi$ is a rational number, the number of unknown functions in the Bethe Ansatz becomes finite, while if $\theta/\pi$ is an irrational number the Bethe Ansatz consists of an infinite number of unknown functions. This holds for antiferromagnetic exchange $J > 0$ as well as for ferromagnetic coupling, $J < 0$. In the present paper we dealt with the antiferromagnetic case, while the critical behavior of the ferromagnetic situation was studied in Refs. [24,25]. The numerical solution of the isotropic ferromagnetic Heisenberg model (using a truncation method for large number of equations) yields a critical exponent of $\gamma = 2$ with logarithmic corrections [26,27]. The approach employed by Takahashi and Yamada [24,25] consists of the numerical solution of the Bethe Ansatz equations for $\Delta = \cos(\pi/n)$, $n = 3, 4, \cdots$, and its extrapolation to $\Delta = 1$. The results are $\gamma = 2$ and $\alpha = -1/2$, in agreement with Schlottmann [26,27], except for the logarithmic corrections. Between rational numbers for $\theta/\pi$ are plenty of irrational
numbers, which contain logarithmic terms and are skipped by the extrapolation method by Takahashi and Yamada. The validity of the extrapolation method is then questionable.

Using a combination of the Bethe Ansatz solution and field theory methods, we derived the spectral function for spinwave particle and hole excitations with high energy. In analogy to other models investigated previously [10,12,29,36–39,60–62], we consider an effective model consisting of the Luttinger liquid coupled to a mobile impurity to obtain the time-dependence of the single particle Green’s function. The parametrization of the high energy excited state as a mobile impurity allows to incorporate the exact excitation energy. Linearly coupling the impurity to the Luttinger liquid is analogous to the x-ray-threshold problem [43,44] and the arising power-law singularity in frequency or time is then the consequence of Anderson’s “infrared orthogonality catastrophe” [65]. As in Refs. [10,29,30,32,41], the mobile impurity is justified via the exact Bethe Ansatz solution of the model. The phenomenological parameters of the field theoretical model are this way determined from the Bethe Ansatz. The Luttinger liquid parameter $K$ is related to the generalized dressed charge $z (K = z^{2})$. In addition, we obtain from the Bethe Ansatz solution the exact energy of the excitation, and the momentum dependent scattering phase shifts.

We employed a procedure consisting of calculating the $O(1/N)$ terms of the energy using the discrete Bethe Ansatz equations. The finite size corrections are evaluated for the system in the ground state including a high-energy particle or hole excitation. The conformal towers describe the low-energy excitations in a Luttinger liquid about the Fermi points. The present procedure extends the standard finite size terms to arbitrary excitations and consequently goes beyond the bosonization of spins and conformal field theory.

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