Long-Term Survival After Venous Thromboembolism: A Prospective Cohort Study

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Background: Little is known about long-term survival after the initial treatment of venous thromboembolism (VTE). In a prospective cohort study, we aimed to assess the long-term mortality and key predictor variables relating to disease severity, treatment intensity, and comorbidities.

Materials and Methods: Between 1988 and 2018, 6,243 consecutive patients with VTE from a University outpatient unit were prospectively included and followed until December 2019; clinical characteristics, measures of disease severity, and treatment details were recorded. Dates of death were retrieved from the Swiss Central Compensation Office.

Results: Overall, 254 deaths occurred over an observation period of 57,212 patient-years. Compared to the Swiss population, the standardized mortality ratio was 1.30 (95% CI: 1.14, 1.47; overall mortality rate: 4.44 per 1,000 patient-years). The following predictors were associated with increased mortality: Unprovoked VTE (hazard ratio [HR]: 5.06; 95% CI: 3.29, 7.77), transient triggering risk factors (HR: 3.46; 95% CI: 2.18, 5.48), previous VTE (HR: 2.05; 95% CI: 1.60, 2.62), pulmonary embolism (HR: 1.45, 95% CI: 1.10, 1.89), permanent anticoagulant treatment (HR: 3.14; 95% CI: 2.40, 4.12), prolonged anticoagulant treatment (7–24 months; HR: 1.70; 95% CI: 1.16, 2.48), and cardiovascular comorbidities. Unprovoked VTE, previous VTE, permanent and prolonged anticoagulation remain independent risk factors after adjustment for age, sex, and comorbidities.

Conclusion: Survival after VTE was significantly reduced compared to the Swiss general population, especially in patients with more severe disease, cardiovascular comorbidities, and longer anticoagulant treatment.

Keywords: cohort study, epidemiology, mortality, thrombosis, venous thrombosis
INTRODUCTION

Venous thromboembolism (VTE) is a common vascular disorder that contributes significantly to the global disease burden (1). The incidence of VTE is estimated to be 80–270 per 100,000 persons with a short term mortality rate comparable to ischemic stroke (2–4). A significant proportion of patients dies in the acute phase due to heart failure following pulmonary embolism (PE) (5). In patients who survive the acute phase of the disease, the mortality rate is often perceived as low.

To date, knowledge about long-term mortality and its predictors is limited, and previous studies’ results are conflicting. Various analyses using data from the Dutch MEGA study (6), the US Olmsted county cohort (7), the Swiss SWITCO65+ cohort (8), and cohorts from Australia (9), and Italy (10, 11) identified malignancy as the most important risk factor for mortality. Advanced age, male sex, and cardiovascular comorbidities were reported as major risk factors in an analysis of the Taiwanese National Health Insurance database (12), the SWITCO65+ cohort (8), and the Olmsted county cohort (7). In contrast, unprovoked VTE was a major risk factor for mortality in the TEHS cohort (13), and in a Norwegian cohort (14). Of note, long-term heparin treatment was observed as a risk factor in the Italian MASTER registry (11). Interpretation of previous studies is, however, difficult because of various methodological limitations. Either, a retrospective or case-control design was used, a small range of variables reflecting disease severity, treatment intensity, and comorbidities was recorded, the sample size was small, or the observation time was short. The question arises, whether the long-term survival of VTE patients is indeed low, and which factors contribute to mortality. Do comorbidities solely determine the mortality, or do disease severity and treatment intensity play a role?

Aiming to comprehensively assess the effect of disease severity, treatment intensity, and comorbidities on the long-term survival of patients with VTE, we conducted a large, prospective cohort study with patient follow-up for up to 30 years and recorded a broad set of predictor variables.

MATERIALS AND METHODS

Study Design, Setting, and Population

SeProV (“Secondary prophylaxis of venous thromboembolism in the Greater Bern Area”) is a long-term prospective cohort study conducted in Bern, Switzerland. Between 1988 and 2018, all consecutive patients, which were referred for VTE risk assessment to a specialized outpatient unit at the Inselspital, Bern University Hospital, were included and followed until December 2019. Patients were usually referred following (a) venous thromboembolism, (b) arterial thromboembolism, (c) or positive family history (Figure 1). We expect that the majority of patients with VTE have been referred to our center. Out of this cohort, the following inclusion criteria were applied: (1) objectively confirmed VTE [venography or duplex sonography in case of deep vein thrombosis (DVT), spiral computed tomography, ventilation-perfusion scan or pulmonary angiography in case of PE, and various techniques in case of rare VTE], (2) referral for VTE risk assessment, and (3) age above 18 years. Exclusion criteria were (a) refused informed consent, and (b) active cancer.

The Inselspital is one of the largest academic hospitals in Switzerland and has a catchment population of ~2 million inhabitants. A formal risk assessment is recommended in all patients considered for secondary prophylaxis of VTE and the specialized outpatient unit of the Inselspital is the most important center for risk assessment in the greater Bern area. We, therefore, expect that the majority of patients with VTE have been referred to our center. This study was approved by the ethical committee (Kantonale Ethikkommission Bern; #18-00389) and informed consent was obtained from all participants. The study was conducted in accordance with the Declaration of Helsinki.

Data Collection

Patients were screened and included during VTE risk assessment in a specialized outpatient unit. In our setting, VTE risk assessment is recommended after completion of initial anticoagulant treatment following VTE. Clinical characteristics were prospectively recorded in a structured assessment form by the responsible resident and then reviewed by the attending physician. The consistency of data was additionally checked by a trained study nurse and a trained investigator. Parameters of interest and corresponding values were defined beforehand, and the definitions were included in the data collection forms. The following data were obtained: age, sex, type of index VTE, presence of triggering risk factors, presence of previous VTE, family history of VTE, presence of arterial thrombosis, smoking status, obesity, presence of systemic diseases including inflammatory bowel disease and systemic lupus erythematoses, diabetes, coronary heart disease without myocardial infarction, chronic lung disease, hypertension, active cancer, chronic kidney disease, and anemia. Treatment details including duration of anticoagulation were additionally recorded. By matching the patients with the Unique Person Identification registry of the Central Compensation Office (ZAS) which provides complete death data of all Swiss residents, the vital status, and time point of death were acquired. All Swiss civil registries automatically report to this database, and it is regarded as complete. The matching was based on a national social insurance number (SV number) of Swiss residents, which is unique, anonymous, and not based on personal characteristics. Patients that were not found in the registry, e.g., due to migration to another country, were censored at the time of the last visit at the Inselspital Bern.

Definition of Variables

Death was defined as a respective notification in the Unique Person Identification registry of the Central Compensation Office (ZAS). Categorization of the index events and the triggering risk factors was done according to current guidelines and verified again in 2018 using original hospital records. As indicators of disease severity, the type of index VTE, presence of triggering risk factors, presence of previous VTE, and family history were considered. Mutual exclusive groups were created with regard to the type of index event. All patients with PE were categorized as “Pulmonary embolism,” regardless of concomitant thromboembolic events. Patients with DVT (lower
leg, upper leg, and pelvic vein thrombosis) without PE were categorized as “Deep vein thrombosis,” regardless of additional thromboembolic events. Patients with all other VTE, but not PE or DVT were classified as “other VTE” (cerebral vein thrombosis, mesenteric thrombosis, portal vein thrombosis, upper extremity vein thrombosis, superficial vein thrombosis, and muscle vein thrombosis). Unprovoked VTE was defined as VTE in the absence of a reversible risk factor (surgery, contraceptive use, pregnancy, long-distance air or car travel of more than 10 h, immobilization, and traumatic injury at most 3 months before the VTE) (15, 16). Surgery, immobilization, long-distance travel of more than 10 h, and traumatic injury within 3 months before the VTE were defined as transient risk factors. Active cancer was defined as diagnosed within the previous 6 months, recurrent, regionally advanced or metastatic cancer, cancer for which treatment had been administered within 6 months, or hematological cancer that is not in complete remission (17). Pregnancy and anti-contraceptive use were classified as hormone-related risk factors. As an indicator of treatment intensity, the duration of anticoagulant treatment was categorized as follows: (1) ≤6 months, (2) 7–24 months (prolonged), (3) >24 months (permanent) (18). The smoking status was recorded as either active smoker or non-smoker. Patients with a BMI > 30 kg/m² were categorized as obese. The following comorbidities were recorded as present or absent: arterial thrombosis, hypertension, diabetes mellitus, stroke, coronary artery disease, kidney failure, pulmonary disease, kidney disease, systemic diseases, and anemia.

**Statistical Analysis**

The baseline characteristics of the predictor variables were presented by sex. The variables were described as numbers (percentages) and median (interquartile range) as appropriate.

A maximum of 1.6% of the data was missing for each of the recorded covariates and was deemed either missing at random or missing completely at random. Therefore, we decided to impute the missing values using an algorithm based on a random forest approach implemented in the “missForest” package of “R” (19).

We calculated the mortality rates per 1,000 patient-years (py) for the whole cohort and each predictor. The accumulated person-time at risk and the number of deaths per subgroup were calculated. Sex and age-specific standardized mortality
TABLE 1 | Characteristics of 6,243 patients with venous thromboembolism followed for a median of 8.13 years.

| Characteristics                      | Overall          | Female          | Male            | Missing values |
|--------------------------------------|------------------|-----------------|-----------------|----------------|
| **n**                                | 6,243            | 3,663           | 2,580           | 30             |
| **Median age (IQR)—y**               | 44.60 (32.55, 56.09) | 39.17 (29.36, 52.73) | 49.47 (40.24, 59.28) |               |
| **Index event—no. (%)**              |                  |                 |                 |                |
| Deep vein thrombosis                 | 2,506 (40.3)     | 1,526 (41.9)    | 980 (38.1)      |                |
| Pulmonary embolism                   | 2,679 (43.1)     | 1,438 (39.5)    | 1,241 (48.3)    |                |
| Other VTE                            | 1,028 (16.5)     | 680 (18.7)      | 348 (13.5)      |                |
| Previous VTE—no. (%)                 | 1,946 (31.2)     | 952 (27.2)      | 994 (36.4)      | 15             |
| Family history—no. (%)               | 1,968 (31.6)     | 1,180 (32.4)    | 788 (30.6)      | 24             |
| **Triggering risk factors—no. (%)** |                  |                 |                 |                |
| Pregnancy                            | 324 (5.2)        | 324 (8.8)       | 0 (0)           |                |
| Estrogen use                         | 1,570 (25.3)     | 1,570 (42.8)    | 0 (0)           |                |
| Transient risk factors               | 1,697 (27.3)     | 764 (20.9)      | 933 (36.4)      |                |
| Unprovoked VTE                       | 2,622 (42.2)     | 992 (27.2)      | 1,630 (63.5)    |                |
| **Duration of anticoagulant treatment—no. (%)** |                |                 |                 | 7 (0.1)       |
| <6 months                            | 3,617 (59.0)     | 2,362 (64.5)    | 1,255 (48.7)    |                |
| Prolonged                            | 833 (13.4)       | 522 (14.3)      | 311 (12.1)      |                |
| Permanent                            | 1,786 (28.6)     | 777 (21.2)      | 1,009 (39.2)    |                |
| Arterial thrombosis—no. (%)          | 285 (4.6)        | 124 (3.4)       | 161 (6.2)       | 0 (0.0)        |
| Smoking—no. (%)                      | 1,445 (23.5)     | 844 (23.3)      | 601 (23.7)      | 87 (1.4)       |
| Obesity—no. (%)                      | 1,792 (29.2)     | 995 (27.7)      | 797 (31.3)      | 99 (1.6)       |
| Systemic disease—no. (%)             | 376 (6.0)        | 217 (5.9)       | 159 (6.2)       | 16 (0.3)       |
| Diabetes mellitus—no. (%)            | 171 (2.7)        | 79 (2.2)        | 92 (3.8)        | 18 (0.3)       |
| Coronary artery disease—no. (%)      | 259 (4.2)        | 89 (2.4)        | 170 (6.6)       | 18 (0.3)       |
| Pulmonary disease—no. (%)            | 251 (4.0)        | 141 (3.9)       | 110 (4.3)       | 17 (0.3)       |
| Hypertension—no. (%)                 | 803 (12.9)       | 373 (10.2)      | 430 (16.7)      | 18 (0.3)       |
| Kidney disease—no. (%)               | 139 (2.2)        | 56 (1.5)        | 83 (3.2)        | 16 (0.3)       |
| Stroke—no. (%)                       | 191 (3.1)        | 89 (2.4)        | 102 (4.0)       | 17 (0.3)       |
| Anemia—no. (%)                       | 217 (3.5)        | 160 (4.4)       | 57 (2.2)        | 17 (0.3)       |

Baseline demographics, measures of disease severity, treatment intensity, and comorbidities are reported by sex. IQR, interquartile range; no., number of patients; VTE, venous thromboembolism.
range of “other VTE.” Multivariate Cox-Proportional-Hazard models for patients enrolled between 1988–1997, 1998–2007, and 2008–2018 were fitted. Another analysis was done considering patients with DVT and PE only. All analyses were performed using the statistical software environment “R” (21).

RESULTS

Study Population and Patient Characteristics

Among 15,011 patients referred between 1988 and 2018 to the Inselspital for thromboembolic risk assessment, 6,243 patients with objectively confirmed VTE were included in the final study cohort after a mean 4.2 months of anticoagulation (Figure 1). The median observation time was 8.13 years, adding up to a total of 57,212 py. The median time between index event and VTE risk assessment (inclusion into the study) was 1.2 years (interquartile range [IQR]: 0.78, 1.57). The median age was 44.60 years (IQR: 32.55, 49.71) and 58.7% of the patients were female. Pulmonary embolism was the index event in 43.1% of the patients (n = 2,679), and DVT in 40.3% (n = 2,506). Unprovoked VTE was present in 42.2% (n = 2,622) and 31.2% (n = 1,946) had previous VTE. Permanent anticoagulant treatment was given to 28.6% of the patients (n = 1,786). Detailed patient characteristics are reported in Table 1.

Mortality

Two-hundred fifty-four patients died amounting to a mortality rate of 4.44 per 1,000 py (95% confidence interval [CI]: 3.89, 4.99). Detailed results in various subgroups are given in Table 2. In a Kaplan Meier analysis the cumulative probability of survival was 99.0% (95% CI: 98.7, 99.3%) after 5 years, 97.6% (95% CI: 97.0, 98.1%) after 10 years, 94.2% (95% CI: 93.3, 95.1%) after 15 years, and 89.1% (95% CI: 87.6, 90.7%) after 20 years (Figure 2). Standardized to the Swiss general population, the SMR was 1.30 (95% CI: 1.14, 1.47). In female patients, the mortality rate was 3.33 per 1,000 py (95% CI: 2.72, 3.94; SMR: 1.48, 95% CI: 1.22, 1.77). In male patients, the mortality rate was 5.98 per 1,000 py (95% CI: 4.99, 6.97; SMR: 1.18, 95% CI: 0.99, 1.39). The mortality rate in patients with previous VTE was 6.96 per 1,000 py (95% CI: 5.78, 8.13) and the SMR was 1.56 (95% CI: 1.30, 1.84). In patients with unprovoked VTE, the mortality rate was 6.51 per 1,000 py (95% CI: 5.49, 7.54; SMR: 1.32, 95% CI: 1.11, 1.54) and in
patients with pulmonary embolism as index event, the mortality rate was 5.29 per 1,000 py (95% CI: 4.37, 6.20; SMR: 1.31, 95% CI: 1.09, 1.55). Patients with permanent anticoagulant treatment had a mortality rate of 7.80 per 1,000 py (95% CI: 6.41, 9.20) and an SMR of 1.44 (95% CI: 1.19, 1.71). With regard to comorbidities, patients with coronary artery disease had a mortality rate of 13.3 per 1,000 py (95% CI: 8.45, 18.1; SMR: 1.48, 95% CI: 0.98, 2.08) and patients with diabetes mellitus had a rate of 14.6 per 1,000 py (95% CI: 8.35, 20.8; SMR: 2.62, 95% CI: 1.60, 3.89).

**Risk Factors for Mortality**

Mortality was associated with various demographic characteristics, measures of disease severity, treatment intensity, as well as comorbidities. In univariate analysis, the HR of male sex was 1.87 (95% CI: 1.46, 2.40). Regarding disease severity, the hazard ratio of previous VTE was 2.05 (95% CI: 1.60, 2.62). The HR of PE as an index event was 1.45 (95% CI: 1.10, 1.89), and the HR of unprovoked VTE was 5.06 (95% CI: 3.29, 7.77). Considering treatment intensity, the HR of prolonged anticoagulant treatment (7–24 months) was 1.70 (95% CI: 1.16, 2.48), and the HR of permanent anticoagulant treatment was 3.14 (95% CI: 2.40, 4.12). Various cardiovascular comorbidities were associated with an increased mortality in univariate analysis, details are reported in Table 3 and Kaplan–Meier-Curve for selected covariates in Supplementary Figure 1.

Adjusted for age, sex, and all comorbidities listed in Table 3, HR previous VTE (adjusted HR: 1.33, 95% CI: 1.04, 1.71), unprovoked VTE (adjusted HR: 1.69, 95% CI: 1.05, 2.72), prolonged anticoagulant treatment (adjusted HR: 1.53, 95% CI: 1.04, 2.25), and permanent anticoagulant treatment (adjusted HR: 1.66, 95% CI: 1.25, 2.21) were independent risk factors for mortality.

The assumption of non-informative censoring was violated as the pessimistic model for sex was not statistically significant (HR: 0.94, 95% CI: 0.86, 1.02), whereas the optimistic model was significant (HR: 1.93, 95% CI: 1.51, 2.47) (Supplementary Table 1). Considering the histogram, the relative number of censored patients remained the same until 2008, and from 2008 on decreased (Supplementary Figure 2). The sensitivity analysis, however, showed no significant difference when excluding the censored patients (Supplementary Table 2). The assumption of constant hazard, however, holds for all models created (Supplementary Table 3; Supplementary Figure 3). No significant differences were identified in the two sensitivity analyses considering different periods of enrolment and patients
with DVT and PE only (Supplementary Tables 4, 5). However, the models fitted to different enrolment times became unstable due to small sample sizes (particularly with regard to the time period 1988 to 1997).

**DISCUSSION**

We conducted a large, prospective cohort study, recording a broad set of predictor variables, and following for up to 30 years, to comprehensively assess the effect of disease severity, treatment intensity, and comorbidities on the long-term survival of patients with VTE. Two-hundred and fifty-four deaths were observed among 6,243 patients over an observation period of 57,212 patient-years. Compared to the general Swiss population, long-term mortality of VTE patients was increased by 30%. Besides higher age and male sex, increased mortality was associated with measures of disease severity, treatment intensity, and cardiovascular comorbidities. Previous VTE, unprovoked VTE, prolonged, and permanent anticoagulant treatment were independent risk factors for mortality.

Even though a less strict study design was used in most publications, some aspects were observed previously. Increased mortality was found by two large case-control studies and one retrospective cohort study (6, 12, 22), but not in a smaller study conducted in young women comparing the mortality rate with the general population (23). Older age and male sex were identified as a risk factor in the SWITCO65+ cohort (8), in the Olmsted county cohort (7), and in the Taiwanese National Health Insurance study (12). Diabetes mellitus and other cardiovascular risk factors and comorbidities were reported in an analysis of the Taiwanese National Health Insurance database (12), the SWITCO65+ cohort (8), and the Olmsted county cohort (7). As a measure of disease severity, unprovoked VTE was observed as a risk factor in the TEHS cohort (13), and in a Norwegian cohort (14). As additional disease severity measures, we found PE as index event, previous VTE, and transient triggering factors to be risk factors for mortality. One previous study analyzed long-term heparin-treatment as a
measure of treatment intensity (11), which was associated with mortality. In our study, prolonged and permanent anticoagulant treatment were associated with increased mortality (HR: 1.53 and 1.66, respectively). To explore causal relations, we also adjusted disease severity measures and treatment intensity for age, sex, and cardiovascular comorbidities, leaving prolonged and permanent anticoagulant treatment as an independent predictor of mortality.

Our study has several strengths. We included a large number of patients and followed them prospectively for up to 30 years. We recorded a broad set of predictor variables reflecting measures of disease severity, treatment intensity, and comorbidities. The quality of the data is high, and the percentage of missing values is low.

As with every study, methodological limitations and potential sources of bias appear. First, selection bias is a potential source of bias in this study. A heterogeneous group of general practitioners and specialists referred the patients, and unconscious influences may have affected referral decisions. In our cohort, the patients were relatively young (mean 44.6 years) and the proportion of individuals with pregnancy or estrogen-associated VTE was relatively high (30.5%). Thus, the results might differ in an older population, which must be clarified in future studies. Secondly, data on whether the general practitioner prescribed vitamin K antagonists or direct oral anticoagulants (DOAC) following the risk assessment are unavailable. This selection might have affected the results since DOAC were increasingly used since 2012. Even though a sensitivity analysis by time period did not find relevant differences, we cannot fully exclude that this might have influenced the results. Thirdly, clinical data were collected during the routine assessment by a trained physician using a standardized questionnaire. However, some questions, e.g., smoking habits, rely on self-reported answers, and are, therefore, prone to information bias. Fourthly, clinical data collection was continued until 2018 and the time points of death were obtained in 2019. Therefore, patients who were assessed early in the study have a much longer follow-up time than patients who were assessed more recently. Therefore, there might be an underestimation of associations of predictors that are more common now than 20 years ago. Fifthly, the assumption of uninformative censoring did not hold. The most likely explanation for this is the change of the SV number in Switzerland in 2008. However, we assume that the censoring before 2008 is random and the sensitivity analysis did not show a significant change in the effect of the predictors.

Our results confirm previous data that the long-term survival of patients with VTE is reduced. Patients with more severe disease and more intense treatment are at higher risk of death. This effect can be partially explained by cardiovascular comorbidities, suggesting an association between both clinical situations. However, more severe disease and more intense treatment remain independent risk factors for mortality. Close monitoring and reduced-intensity treatment schemes are potential targets to improve long-term care in patients with severe VTE. Reduced dosages of direct oral anticoagulants might fill this gap. Future studies shall verify our results in different settings and investigate the potential benefits of reduced-intensity treatment schemes in patients that require permanent anticoagulant treatment.

In a large, prospective cohort study, recording a broad set of predictor variables, and following patients for up to 30 years, we comprehensively assessed the effect of disease severity, treatment intensity, and comorbidities on the long-term survival of patients with VTE. Our data indicate that the long-term survival of patients with VTE is indeed restricted. Survival after VTE was significantly reduced in patients with more severe disease, cardiovascular comorbidities, and longer anticoagulant treatment. Our results need to be verified in other settings and populations, especially older patients.

DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

ETHICS STATEMENT

The studies involving human participants were reviewed and approved by Ethikkommission Kanton Bern, 3010 Bern, Switzerland. The patients/participants provided their written informed consent to participate in this study.

AUTHOR CONTRIBUTIONS

HN analyzed the data, contributed to interpretation, and wrote the manuscript. TM, RB, and MK collected data and contributed to interpretation and manuscript. EB, JK, and SE contributed to study design, data analysis, interpretation, and manuscript. MN designed the study, analyzed the data, interpreted the findings, and wrote the manuscript. All authors contributed to the article and approved the submitted version.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fcvm.2021.749342/full#supplementary-material
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