An optimized molecular inclusion complex of diferuloylmethane: enhanced physical properties and biological activity

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Objective: The purpose of this study was to explore and evaluate the enhanced physical properties and biological activity of a molecular inclusion complex (MICDH) comprising diferuloylmethane (DFM) and hydroxypropyl-β-cyclodextrin.

Methods: The preparation conditions of MICDH were optimized using an orthogonal experimental design. The solubility, in vitro release and model fitting, microscopic morphology, molecular structure simulation, anti-lung cancer activity, and action mechanism of MICDH were evaluated.

Results: The solubility of DFM was improved 4400-fold upon complexation with hydroxypropyl-β-cyclodextrin. The release rate of DFM was significantly higher from MICDH than from free DFM. MICDH exhibited higher antitumor activity against human lung adenocarcinoma A549 cells than from free DFM. More cells were arrested in the S/G2 phase of the cell cycle or were induced to undergo apoptosis when treated with MICDH than when treated with free DFM. Furthermore, increased reactive oxygen species and intracellular calcium ion levels and decreased mitochondrial membrane potential were observed in cells treated with MICDH.

Conclusion: MICDH markedly improved the physical properties and antitumor activity of DFM. MICDH may prove to be a preferred alternative to free DFM as a formulation for DFM delivery in lung cancer treatment.

Keywords: biological properties, action mechanism, hydroxypropyl-β-cyclodextrin, antitumor activity

Introduction
Lung cancer is a very common cancer associated with high morbidity and mortality rates. Every year worldwide over 1.6 million people are diagnosed with lung cancer and over 1.3 million patients die from this disease.1 There is an urgent need to develop new therapeutic drugs and new drug delivery systems with higher activity against lung cancer and lower side effects than clinically used drugs. Diferuloylmethane (DFM), a natural polyphenolic extract of turmeric, definitely shows inhibitory activity against many cancer cells, including those of the lung, liver, stomach, ovaries, breast, bladder, head, and neck.2,3 Meanwhile, DFM has shown almost no toxicity against normal cells and dose-limiting toxicity in all animal tests and human clinical trials.4 It seems very reasonable, then, that DFM is regarded as one of the most promising antitumor drugs, even though it has not been available clinically so far. The clinical translation and application of DFM may be severely confined, mainly by its very low solubility.

Molecular inclusion complexes often refer to special complex compounds in which the molecules of one component (guest molecules) are contained wholly or
partially within the cavity structure of the other component (host molecules). The cavity nature (a hydrophilic opening and a hydrophobic interior) of the molecules of cyclodextrin or their derivative (the most frequently used host molecules) defines the way in which these molecules form complexes with low-molecular-weight hydrophobic drugs. Although molecular inclusion complex technology is already used to increase the solubility of different types of lipophilic drugs and the bioactivities (such as ocular anti-inflammatory, anticervical cancer, antibreast cancer, antileukemia, and antiepileptic activities) of a few drugs, so far only a few studies have employed it to improve the antilung cancer activity of lipophilic drugs. That is to say, further efforts need to be made before the potential for a molecular inclusion complex to be a good therapeutic antilung cancer drug delivery system can be judged. Furthermore, considering that hydroxypropyl-β-cyclodextrin (HBCD) has better biocompatibility and higher water solubility than cyclodextrin or other cyclodextrin derivatives, a molecular inclusion complex consisting of DFM and HBCD (MICDH) is worth exploring. MICDH may have higher solubility than, and enhanced antilung cancer activity compared with, free DFM.

In the experiments outlined in this article, an optimized formulation of MICDH and the optimal process parameters for the preparation of MICDH were investigated. The aim of this study was to explore and evaluate the enhanced physical properties and antitumor activity of MICDH, including its solubility, in vitro release and model fitting, microscopic morphology, molecular structure simulation, antilung cancer activity, and action mechanisms.

Materials and methods
Shaanxi Sciphar Biotechnology Co, Ltd (Xi’an, China) provided the DFM. HBCD was purchased from Xinxin Pharmaceutical Excipients Co, Ltd (Taixing, China). Roswell Park Memorial Institute 1640 medium containing 10% fetal bovine serum was purchased from Life Technologies (Carlsbad, CA). Methylthiazol tetrazolium, propidium iodide, fetal bovine serum was purchased from Life Technologies (Carlsbad, CA). Methylthiazol tetrazolium, propidium iodide, and ribonuclease A were purchased from Sigma-Aldrich (St Louis, MO). Fluo-3 AM, DCFH, and rhodamine 123 were purchased from Beyotime Institute of Biotechnology (Shanghai, China). All other reagents and solvents used in this study were of analytical grade.

Preparation and optimization of MICDH
Weighted HBCD and DFM were mixed in a mortar. The mixture was kneaded, with an appropriate amount of distilled water intermittently added during this kneading process until the mixture formed a slurry. The mixture was then placed in a water bath, where the reaction temperature was controlled and the mixture became a paste. The paste was then placed in a vacuum drying oven at 35°C for 3 hours and then in a desiccator chamber at 20°C for another 24 hours. Finally, the dried paste was crushed into a powder.

Results of the preliminary experiments revealed that the factors of the DFM-to-HBCD molar ratio (mol:mol), preparation temperature (in degrees Celsius), and kneading time (in hours) had a relatively strong influence on the solubility. Thus, a three-factor, three-level orthogonal experimental design was used to find the optimal levels of each factor (Table 1). In order to examine the influence of the complex formulation on the solubility, various formulations of MICDH were prepared under different preparation conditions according to the orthogonal design.

DFM concentrations were determined with an ultraviolet-visible spectrophotometer (UV-3150; Shimadzu Corporation, Kyoto, Japan). As shown in Figure 1, HBCD served as a blank reference and did not interfere with the determination of DFM. The calibration curve was linear over the range of 1.1–5.5 µg/mL (A = 0.1493C + 0.0058; r = 0.9999; n = 7; “A” refers to the absorbance of DFM at 425 nm, and “C” refers to the concentration of DFM in absolute ethanol). The intraday and interday relative standard derivation ranged from 0.35% to 1.23%. The mean recovery of DFM was 99.89% ± 0.41% (n = 9). The ultraviolet-visible method provided an assay that was both sensitive and specific for quantifying DFM.

| Experiment number | Factor | Mean solubility (mmol/L) |
|-------------------|--------|--------------------------|
| 1                 | A 1:1  | B 20                     | C 0.5                   | 1.08 |
| 2                 | A 1:1  | B 40                     | C 1                     | 1.49 |
| 3                 | A 1:1  | B 60                     | C 1.5                   | 1.76 |
| 4                 | A 1:2  | B 20                     | C 1.5                   | 0.65 |
| 5                 | A 1:2  | B 40                     | C 0.5                   | 1.55 |
| 6                 | A 1:2  | B 60                     | C 1                     | 0.83 |
| 7                 | A 1:3  | B 20                     | C 1                     | 0.76 |
| 8                 | A 1:3  | B 40                     | C 1.5                   | 0.4 |
| 9                 | A 1:3  | B 60                     | C 0.5                   | 0.28 |
| k_1               | 1.44   | 0.90                     | 0.77                   | – |
| k_2               | 1.08   | 1.15                     | 0.87                   | – |
| k_3               | 0.48   | 0.96                     | 1.36                   | – |
| Δk                | 0.96   | 0.25                     | 0.59                   | – |

Notes: “A” represents the molar ratio of diferuloylmethane to hydroxypropyl-β-cyclodextrin; “B” represents the optimal preparation temperature; “C” represents the optimal kneading time; k_ is the average scores of each factor at its different level i (here, i equals 1, 2, and 3, respectively).
Morphology and structure

The morphology and the structure of all powder samples (DFM, HBCD, physical mixture, and MICDH) were separately investigated using biomicroscopy (XSP-35-1600X; Phoenix, Shangrao, China), and photomicrographs were taken at suitable magnifications (C-60 Zoom; Olympus Corporation, Tokyo, Japan). The schematic structure of MICDH was further simulated according to the DFM-HPCD molecular inclusion complex with 1:1 stoichiometry, using stick and space-filling models.

In vitro release rate studies

The studies were performed using a modified dialysis method. Briefly, MICDH containing 10 mg of DFM was loaded into dialysis bags and these were soaked in the release medium of either 0.1 mol/L hydrochloric acid (HCl) or pH 6.8 phosphate-buffered saline (PBS) containing 2% sodium dodecyl sulfate (SDS). This dissolution study was run at 100 rpm, and the dissolution vessel was maintained at a mean temperature of 37°C ± 0.5°C. Aliquots (1.0 mL) of the sample were withdrawn at designated time intervals. To maintain a constant volume, the release medium taken away was replaced by 1.0 mL of fresh release medium. The quantitative determination of DFM was performed with a spectrophotometer and the cumulative release rate was then calculated. The procedures were applied to three batches of MICDH and free DFM.

The classic similarity factor ($f_2$) method was chosen to evaluate the similarity between two release profiles. The $f_2$ value was calculated according to the following equation (where $f_2$ was the similarity factor, $X_{it}$ and $X_{ij}$ were the cumulative amount of drug released at time $t$ of two release profiles, $n$ was the number of sampling points, and $W_i$ was the weight), Equation (1):

$$f_2 = 50\log\{[(1/(n))\sum_{i=1}^{n} W_i (X_{it} - X_{ij})^2]^{1/2} \times 100\} \quad (1)$$

The $f_2$ value could be classified into one of the following levels: (1) equal to 100, indicating two release curves were almost exactly the same; (2) between 50 and 100, indicating that the similarity between two release curves was statistically significant; and (3) lower than 50, indicating that the similarity was not statistically significant.

Antitumor activity of MICDH

Human lung adenocarcinoma A549 cells were seeded at a density of $1 \times 10^4$ cells per well in 96-well plates for 24 hours before the culture media were replaced with fresh media containing 10 µg/mL of MICDH. After 24 hours, the media were exchanged with fresh media containing 5 mg/mL methylthiazol tetrazolium solution. The absorbance values were then measured at 570 nm with a microplate photometer (Sunrise; Tecan Trading AG, Salzburg, Austria). Furthermore, A549 cells cultured in 25 cm² flasks were treated with 10 µg/mL of MICDH for 24 hours. Cells were harvested by trypsinization and centrifugation and were then fixed with 70% ethanol at 4°C overnight. After being rinsed twice with PBS, cells were resuspended in a DNA-staining solution containing 40 µg/mL propidium iodide and 0.1 mg/mL ribonuclease A at 25°C for 30 minutes. The cell cycle was analyzed with a FACSVantage flow cytometer (Becton, Dickinson and Company, San Jose, CA) equipped with CellQuest software (Becton, Dickinson and Company). Apoptotic cell quantification was determined using an Annexin V-FITC/PI apoptosis detection kit (Tianjin Sungene Biotech Co, Ltd, Tianjin, China). A549 cells treated with 10 µg/mL of MICDH for 24 hours were collected, centrifuged, and resuspended in a staining solution containing one kind of 5 µmol/L fluorescent dye (DCFH, Fluo-3 AM, or rhodamine 123) at 37°C for 30 minutes. The reactive oxygen species (ROS) and intracellular free calcium ion ($Ca^{2+}$) levels and the mitochondrial membrane potential were then analyzed using the FACSVantage flow cytometer.

Statistical analysis

Unless otherwise specified, all data are shown as the mean plus or minus the standard deviation. One-way analysis of variance, Scheffé’s $F$-test, and Student’s $t$-test were used.
for statistical analysis to determine significant differences. A statistical significance was established at \( P < 0.05 \). Analyses were performed using the StatView statistical package (v 5.0; SAS Institute, Inc, Cary, NC).\(^\text{18}\)

**Results**

**Optimized formulation and preparation of MICDH**

In this study, the optimal levels of each factor were found to be \( A_1, B_2, C_3 \); that is, the optimal values for the DFM-to-HBCD molar ratio (represented by \( A \)), the preparation temperature (in degrees Celsius and represented by \( B \)), and the kneading time (in hours and represented by \( C \)) were found to be 1:1, 40°C, and 1.5 hours, respectively (see Table 1). The solubility of MICDH prepared under the optimal protocol described was recorded as 1.76 ± 0.03 mg/mL. MICDH was much more soluble than free DFM (40 ng/mL; \( n = 3 \)).

**Characterization of MICDH**

As shown in Figure 2, under the optical microscope, the MICDH powders (ie, the MICDH aggregates) appeared irregular and amorphous, and they were much larger than the free DFM or HBCD aggregates, especially the former. The HBCD aggregates appeared spherical in shape, while the physical mixture showed simple additive effects of free DFM and HBCD.

Figure 3 shows the schematic structure of MICDH simulated according to the DFM-HBCD complex with 1:1 stoichiometry, using stick and space-filling models.

**In vitro release studies**

The drug release profiles of MICDH and free DFM containing the same amount of DFM in two release media (0.1 mol/L HCL and pH 6.8 PBS containing 2% SDS) are shown in Figure 4. The release rates of DFM from MICDH increased obviously compared with those from free DFM in every release medium. The cumulative release rates for MICDH in the two release media over 10 hours were more than 35%, while the cumulative release rates for free DFM were zero. Furthermore, rates of MICDH and free DFM were \(~55\%\) versus (vs) \(~10\%\) in 48 hours, and \(~80\%\) vs <30% in 120 hours, respectively. As shown in Table 2, several mathematical models were used to fit the MICDH release data.\(^\text{17}\)

The results suggest that both the first-order kinetic model and the Higuchi model could be used to fit the release data well in the release media of 0.1 mol/L HCl and pH 6.8 PBS containing 2% SDS.

Different \( f_2 \) values indicated whether two release profiles shared statistically significant similarity. When the value was
above 50, the answer was yes; otherwise, the answer was no. As shown in Table 3, the $f_s$ values between two release curves in pH 6.8 PBS and 0.1 mol/L HCl of MICDH or free DFM were above 50 (−59 and −96, respectively), while the $f_s$ values between two release curves of MICDH and free DFM in pH 6.8 PBS or 0.1 mol/L HCl were much lower than 50 (−21 and −22, respectively). Different $f_s$ values clearly show different relationships between the two release profiles.

**Antitumor activities of MICDH**

As shown in Figure 5, both MICDH and free DFM inhibited human lung adenocarcinoma A549 cell growth in a dose-dependent manner. The mean 50% inhibitory concentration (the drug concentration causing a 50% decrease in cell survival) value of MICDH (8.50 μg/mL) decreased by almost a third, compared with that of free DFM (12.53 μg/mL), suggesting that MICDH exhibited a higher antitumor effect than free DFM. Tumor cells treated with 10 μg/mL of either MICDH or free DFM for 24 hours were further analyzed to help better understand the mechanisms involved. As shown in Figure 6, more cells were arrested in the S/G2 phase of the cell cycle (−56% vs −46%) or were induced to undergo apoptosis (−99% vs −55%) when treated with MICDH than when treated with free DFM. As shown in Figure 7, ROS and intracellular Ca$^{2+}$ levels in cells treated with MICDH were much higher than in those treated with free DFM ($P < 0.05$), while the mitochondrial membrane potential in cells treated with MICDH was only a little lower than in those treated with free DFM ($P > 0.05$).

**Discussion**

According to statistics, −70% of new drug candidates and −40% of oral drugs on the market are poorly soluble in water.\cite{19} The formulation of poorly soluble drugs for oral delivery has always presented a big challenge. Poor aqueous solubility often leads to poor bioavailability and low bioactivity, so the first and most important point to be addressed for the practically insoluble drug DFM was the development of a suitable DFM formulation with better solubility than free DFM. Theoretically, the solubility value should be as high as possible. As far as the methods to improve the solubility of low-solubility drugs are concerned, the molecular inclusion complexation technology can be as effective as other approaches – such as crystal modification, self-emulsification, and nanogranulation – or more so, while also being much simpler and easier for production scale-up.\cite{20-22}

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**Table 2** Mathematical models of mean cumulative release rate versus time

| Model          | MICDH 0.1 mol/L HCl | pH 6.8 PBS | Free DFM (12–120 hours) | 0.1 mol/L HCl | pH 6.8 PBS |
|----------------|---------------------|------------|--------------------------|---------------|------------|
| Zero-order     | $Q = 0.3842t + 31.471$ | $Q = 0.5512t + 26.117$ | $Q = 0.1954t + 4.0409$ | $Q = 0.197t + 4.0818$ |
| kinetic        | $r = 0.9480$       | $r = 0.9692$     | $r = 0.9818$            | $r = 0.9786$  |
| First-order    | $\ln(1 - Q) = -0.0082t - 0.360$ | $\ln(1 - Q) = -0.014t - 0.244$ | $\ln(1 - Q) = -0.0023t - 0.0348$ | $\ln(1 - Q) = -0.0024t - 0.0346$ |
| kinetic        | $r = 0.9832$       | $r = 0.9947$     | $r = 0.9650$            | $r = 0.9799$  |
| Higuchi\cite{17} | $Q = 4.7755t^{1/2} + 21.537$ | $Q = 0.1459t^{1/2} - 1.7299$ | $Q = 2.8491t^{1/2} - 4.9889$ | $Q = 2.8418t^{1/2} - 4.7928$ |
| $r = 0.9822$   | $r = 0.9956$       | $r = 0.9857$     | $r = 0.9718$            |                  |
| Redler-        | $\ln Q = 0.2521\ln t + 3.064$ | $\ln Q = 0.3555\ln t + 2.735$ | $\ln Q = 0.7487\ln t - 0.2637$ | $\ln Q = 0.6918\ln t - 0.0217$ |
| Peppas\cite{18} | $r = 0.9798$       | $r = 0.9921$     | $r = 0.9742$            | $r = 0.9673$  |
| Weibull\cite{17} | $\ln[1/(1 - Q)] = 0.3438$ | $\ln[1/(1 - Q)] = 0.4972$ | $\ln[1/(1 - Q)] = 0.8016$ | $\ln[1/(1 - Q)] = 0.7441$ |
|                | $\ln t - 1.4846$   | $\ln t - 1.9177$ | $\ln t - 4.9844$       | $\ln t - 4.7398$ |
|                | $r = 0.9825$       | $r = 0.9872$     | $r = 0.9767$            | $r = 0.9682$  |

**Note:** $Q$ is cumulative DFM release at time $t$.

**Abbreviations:** MICDH, molecular inclusion complex consisting of diferuloylmethane and hydroxypropyl-β-cyclodextrin; DFM, diferuloylmethane; HCl, hydrochloric acid; PBS, phosphate-buffered saline.

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**Table 3** The similarities between the two release profiles of a molecular inclusion complex, consisting of diferuloylmethane (DFM) and hydroxypropyl-β-cyclodextrin (MICDH), and of free DFM in two release media, either the same or different

| Release profile 1 | Release medium | Release profile 2 | Release medium | Similarity factor ($f_s$) |
|-------------------|----------------|------------------|----------------|--------------------------|
| MICDH             | 0.1 mol/L HCl | Free DFM         | 0.1 mol/L HCl | 21.53                    |
| MICDH             | pH 6.8 PBS    | Free DFM         | pH 6.8 PBS    | 20.56                    |
| MICDH             | 0.1 mol/L HCl | MICDH            | pH 6.8 PBS    | 58.86                    |
| Free DFM          | 0.1 mol/L HCl | Free DFM         | pH 6.8 PBS    | 96.01                    |

**Abbreviations:** HCl, hydrochloric acid; PBS, phosphate-buffered saline.
Abbreviation: consisting of DFM and hydroxypropyl-β-cyclodextrin (MICDH).

Figure 6 (A and B) Cell cycle arrest and (C and D) cell apoptosis after 24 hours’ exposure to 10 µg/mL free diferuloylmethane (DFM) and a molecular inclusion complex consisting of DFM and hydroxypropyl-β-cyclodextrin (MICDH). 
Abbreviation: PI, propidium iodide.

In the preliminary experiments, several preparation methods (eg, coprecipitation and sonication methods) and the type of host molecules (eg, β- and α-cyclodextrin) were screened (data not shown). Following this, HBCD were chosen to prepare the MICDH by kneading method. Three critical factors (the DFM-to-HBCD molar ratio, the preparation temperature, and the kneading time) that had relatively strong influence on solubility were investigated. An orthogonal design was used to optimize the formulation and preparation process of MICDH – the orthogonal design, one of the most used designs in medical and pharmaceutical fields, can reflect the principal components associated with each experimental factor. The formulation with the highest solubility was then obtained. Encouragingly, the solubility of MICDH prepared under optimal conditions was 4400 times that of free DFH. It has been documented that the solubility of brominated noscapine (antiprostate cancer drug) could be improved approximately 11- and 21-fold upon complexation with β-cyclodextrin and methyl-β-cyclodextrin, respectively, while the solubility of 9-nitrocamptothecin (antiliver cancer drug) could be improved 104-fold upon complexation with HBCD.

The formation process of a molecular inclusion complex was a physical rather than a chemical process. A drug molecule was able to enter the host molecular cavity and was held there by intermolecular forces such as van der Waals force and hydrogen bonding rather than covalent bonding. The formation of a molecular inclusion complex was mainly relative to three-dimensional structures and polarity. The drug molecule, having a suitable size and shape corresponding to that of the cavity, was usually easy to encapsulate within the host molecule. On the other hand, a guest molecule was able to enter and exit a host cavity in a dynamic process. The stability of a molecular inclusion complex mainly depended on the polarities of the guest and host molecules and on the strength of the intermolecular force. Based on the information outlined here, it was easy to determine why the solubilizing effects of different molecular inclusion complexes were not the same.

As expected, compared with free DFM, the release rates of DFM from MICDH increased obviously in different release media (0.1 mol/L HCl or pH 6.8 PBS containing 2% SDS). The popular method was further chosen for the release profile comparison. This method, which is recommended by the US Food and Drug Administration to evaluate the similarity between two dissolution profiles, was simple and reliable. As shown in Table 3, the value calculated to be −59 suggested that the similarity between two release curves for MICDH in pH 6.8 PBS and 0.1 mol/L HCl was statistically significant. The fact that the release rate in pH 6.8 PBS was higher than the release rate in 0.1 mol/L HCl may be ascribed to the existence of the phenolic hydroxyl group in the DFM and the slow release of DFM from MICDH. On the other hand, since there was a significant difference between two curves when the value was below 50, the difference between the curves of MICDH and free DFM in each release medium was statistically significant.

Being an alternative system for the oral delivery of a water insoluble-drug, MICDH could be expected to have better absorption and bioavailability than free DFM because
of faster release. In clinical practice, this type of formulation may provide a faster, better, and longer duration of anticancer action than that provided by free DFM. The results from this study confirm that the release rates of DFM (a practically water-insoluble drug) could be controlled by molecular inclusion complexation with HBCD (a biodegradable macromolecular excipient). MICDH may be used as a carrier for the enhanced release of DFM in cancer therapy.

Apart from increasing solubility, MICDH improved the antitumor activity against human lung adenocarcinoma A549 cells compared with free DFM. Similar results have been reported in previous studies. For example, the anticancer activity of S1 (a structure-specific B-cell lymphoma-2 inhibitor) against human breast adenocarcinoma MCF-7 cells could be enhanced by complexation with γ-cyclodextrin; another example is the anticancer activity against leukemia cells of Bis(butyl-S-acyl-2-thioethyl)-cytidine monophosphor[y], which could be enhanced by complexation with HBCD.

The results of this study also showed that MICDH had higher antitumor activity than free DFM. This may be because of the improved solubility and release of MICDH, since MICDH in aqueous solution favored the entrance of DFM into the cell and act. Furthermore, MICDH may inhibit tumor cells in the following two ways. First, MICDH induced S/G2 cell cycle modifications on human lung adenocarcinoma A549 cells; an increased accumulation of cells at the S/G2 phase after MICDH contact was found compared with that after free DFM contact. Second, incubation of A549 cells with MICDH for 24 hours caused an obvious increase in the proportion of apoptotic cells compared with incubation with free DFM. The increased induction of apoptosis by MICDH in human lung adenocarcinoma A549 cells, compared with that by free DFM, may be due to the increases of ROS and intracellular Ca²⁺ concentration and the decrease of mitochondrial membrane potential. A previous study has shown that DFM can inhibit tumor cell growth via different mechanisms other than those mentioned here. More efforts are needed in regard to understanding the mechanisms responsible for improvement of the antitumor activity of MICDH.

**Conclusion**

The results of this study indicate that MICDH enhanced the physical properties and antitumor activity of DFM remarkably. An optimized molecular inclusion complex of DFM was successfully developed to greatly improve the physical properties and antitumor activity of DFM. The solubility of DFM was improved 4400-fold upon complexation with HBCD. The release rates of DFM from
MICDH were significantly higher than those from free DFM. Preliminary antilung cancer activity and action mechanisms were clarified. MICDH is a promising alternative to free DRM as a formulation for DFM delivery in lung cancer treatment.

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Disclosure
The authors report no conflicts of interest in this work.

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