ICSI significantly improved the pregnancy rate of patients with a high sperm DNA fragmentation index

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Objective: Correlations between semen parameters and sperm DNA fragmentation index (DFI) were investigated to identify characteristics of sperm without DNA damage that could be used in selecting sperm for intracytoplasmic sperm injection (ICSI). Pregnancy outcomes were compared to determine whether in vitro fertilization (IVF) or ICSI is a better choice for patients who have sperm with a high-DFI.

Methods: Semen analysis was carried out in 388 patients who visited our IVF center for the first time to investigate correlations between sperm DFI and semen parameters. In addition, 1,102 IVF cycles in 867 patients were carried out in the present study; 921 cycles in the low-DFI group (DFI < 30%) and 181 cycles in the high-DFI group (DFI ≥ 30%). Both the low- and high-DFI groups were subdivided into IVF and ICSI cycle groups.

Results: Sperm DFI showed significant inverse correlations with sperm motility (r = −0.435, p < 0.001) and morphology (r = −0.153, p < 0.05). Sperm DFI also showed significant correlations with rapid motility (r = −0.436, p < 0.001), and the kinetic parameters of average-path velocity (r = −0.403) and linearity (r = −0.412). Although there was no significant difference in the pregnancy rates between IVF (48.6%) and ICSI (44.8%) in the low-DFI group, the pregnancy rate of ICSI cycles (44.8%, p < 0.05) was significantly higher than IVF cycles (25.0%) in the high-DFI group. No significant difference was observed in the abortion rates between the low-DFI (52 of 921, 5.6%) and high-DFI groups (7 of 181, 3.8%).

Conclusion: ICSI is a better choice than IVF for improving the pregnancy outcomes of patients who have sperm with a high DFI.

Keywords: Sperm DNA fragmentation index; Sperm injections, intracytoplasmic; Sperm morphology; Sperm motility

Introduction

Sperm DNA fragmentation may occur through one of the following potential causes: (1) defective chromatin condensation may occur during spermiogenesis [1]; (2) apoptosis may occur during spermatogenesis; and (3) oxidative stress may occur during the transit period through the male genital tract [2]. Although spermatozoa have no ability to repair damaged DNA, oocytes and embryos have a DNA repair system. Nevertheless, if the damage is severe, to the point that the repair system cannot fix it, embryonic development can be arrested [3].

Various methods are available to assess sperm DNA integrity, including the single cell gel electrophoresis assay [4], terminal deoxyribonucleotidyl transferase-mediated nick end labelling [5], the sperm chromatin structure assay [6], and the sperm chromatin dispersion test [7]. The sperm chromatin dispersion test has been recognized as a much simpler and economical test than other tests, because it does not require expensive equipment such as flow cytometry or fluorescent microscopy.

To minimize sperm DNA fragmentation and/or to select sperm without DNA fragmentation for assisted reproductive technology (ART), several strategies have been proposed: the intake of oral antioxidants [8], varicocele repair [9], intracytoplasmic morphologically selected sperm injection [10], hyaluronic acid bound sperm selection [11,12], the electrophoretic separation technique [13], density gradient centrifugation (DGC) [14-16], magnetic-activated cell sorting [17], and the combination of DGC and magnetic activated cell sorting [18-20].
Various thresholds of the DNA fragmentation index (DFI) have been used in previous studies: 15% [21], 19% [22], 27% [23,24], 30% [25-28], 35% [29], and 44% [30]. The different threshold values among the studies may have resulted from the use of different DFI detection methods, patient populations, type of fertilization (in vitro fertilization [IVF] or intracytoplasmic sperm injection [ICSI]), or the sperm preparation method (swim-up or DGC) for the ART procedures [31]. Recently, it has become generally accepted that a meaningful cutoff value of the sperm DFI is 30% because DFI values lower than 30% have been found to be strongly associated with natural conception and success in intrauterine insemination [32], and values above this cutoff have been reported to be associated with lower rates of pregnancy and delivery [25,27,28].

Several studies have demonstrated that sperm DFI has significant correlations with the sperm parameters of concentration [25,33], morphology [4,20,33-35], and motility [4,20,35,36]. However, some authors did not observe significant correlations between sperm DFI and morphology [37,38], concentration [20,34], and motility [34]. Moreover, the effect of a high DFI upon the clinical outcomes of IVF programs is still controversial. Many authors have observed correlations between the DFI and clinical outcomes, including low fertilization rates [39,40], embryo quality and development [26,41-44], implantation [22], pregnancy rates [30,45] and increased pregnancy loss [46-48]. In contrast, other authors have found no significant relationship between the DFI and pregnancy outcomes from IVF or ICSI [23,27,49-51]. In addition, although Collins et al. [52] and the Practice Committee of the American Society for Reproductive Medicine [53] reported that DNA damage analysis was insufficiently predictive of pregnancy outcomes to justify its routine use in the evaluation of infertility, many clinicians and embryologists still believe that evaluating sperm DNA integrity makes a meaningful contribution to the semen analysis profile used for both diagnostic and prognostic purposes [54].

Debate also exists regarding whether ICSI or conventional IVF is preferable for cases of sperm with a high DFI. The widespread use of ICSI in treating couples with severe male factor infertility may result in DNA-damaged sperm mistakenly being injected into the oocyte, with potentially hazardous consequences [55]. This can occur because spermatozoa with apparently normal motility and morphology may carry fragmented DNA in their nucleus [56] and can fertilize oocytes [57]. In addition, sperm with the potential to penetrate the cumulus oophorus layer and to fertilize the oocyte were found to have greater DNA integrity than those that could not when IVF was performed [23]. On the contrary, when performing ICSI, embryologists attempt to select spermatozoa with normal morphology and rapid motility, and it has been shown that preselected sperm tend to have less DNA damage [10,58]. Therefore, ICSI is regarded as a process that decreases the likelihood of DNA fragmentation in spermatozoa [59-61].

Many authors have conducted studies to assess possible associations between sperm DFI and clinical outcomes in ICSI cycles. Some scientists have reported that sperm DFI in ICSI cycles was not correlated with clinical outcomes, including fertilization rate [22,29,51,62], embryo quality and development [22,29], the pregnancy rate [22,51], the live birth rate [61], and pregnancy loss [22]. On the contrary, other scientists have reported that high DFI significantly decreased the fertilization rate [21,63], embryo quality and development [62-67], the pregnancy rate [62,64,67], and ongoing pregnancy [68-70], as well as increasing the pregnancy loss rate [21,27,28,41,47,62]. In addition, although some authors have reported that there was no difference in the pregnancy outcomes of the high-DFI group between IVF and ICSI cycles [29,42], other authors have observed beneficial effects of ICSI on clinical outcomes, including the fertilization rate [21,71], pregnancy rate [23,27,28,51,71], and delivery rate [23], compared to IVF. Thus, these results suggest that ICSI may be preferable in cases with a high DFI [23,28,51,61,71,72]. However, the selection of IVF or ICSI for sperm with a high DFI remains an unclear and difficult problem awaiting resolution.

This study was conducted to investigate whether IVF or ICSI is a better choice for patients who have sperm with a high DFI. In this study, correlations between semen parameters and sperm DFI were investigated to identify the characteristics of sperm with high DNA integrity for selecting sperm in ICSI cycles, and pregnancy outcomes were compared between IVF and ICSI cycles in both low- and high-DFI groups.

**Methods**

This was a retrospective study approved by the Institutional Review Board of Mamapapa and Baby Clinic (IRB No. 2015-02). This study was carried out from April 2015 to March 2017.

1. **Semen analysis and sperm chromatin dispersion test**

Semen analysis and the Halosperm test were carried out in 388 patients who visited IVF center of Mamapapa and Baby Clinic for the first time. Their semen analysis data and sperm DFI values were used to analyze correlations between sperm DFI and semen parameters. For semen analysis, semen samples were collected by masturbation after 3 to 7 days of sexual abstinence. After the liquefaction of semen at room temperature, semen analysis was performed according to World Health Organization (WHO) guidelines (2010) with computer-assisted semen analysis (CASA). The sperm chromatin dispersion test using the Halosperm kit (Halotech DNA, Madrid, Spain) was carried out according to the process described by Chi et al. [20]. In addition to...
the 388 new patients, the Halosperm test was also carried out in the semen samples of 867 IVF patients on ovum pick-up day to determine whether IVF or ICSI would be used as the fertilization method.

2. ART program
A total of 1,102 cycles from 867 IVF patients were included in the present study, of which 921 cycles belonged to the low-DFI group (DFI < 30%) and 181 cycles belonged to the high-DFI group (DFI ≥ 30%). Both the low- and high-DFI groups were subdivided into IVF and ICSI cycle groups. In the low-DFI group, 343 IVF and 578 ICSI cycles were conducted, while 36 IVF and 145 ICSI cycles were conducted in the high-DFI group. ICSI was used in patients who showed various manifestations of male factor infertility, including high sperm DNA damage; a low number of oocytes aspirated; and/or a low fertilization rate in previous IVF cycles. The clinical outcomes of the groups were compared. Clinical pregnancy was confirmed by ultrasound scan and was dependent on the morphological grade and cleavage speed of embryos. The morphological grades of embryos were used to score them from grade 1 to 5 according to the number and shape of blastomeres and degree of fragmentation. The characteristics of morphological grades and scores were as follows: grade 1 (5 points), no fragmentation with equal-sized blastomeres; grade 2 (4 points), < 10% fragmentation with equal-sized blastomeres or no fragmentation with unequal-sized blastomeres; grade 3 (3 points), 10% ≤ fragmentation < 25%; grade 4 (2 points), 25% ≤ fragmentation < 50%; and grade 5 (1 point), ≥ 50% fragmentation. In addition, when the embryos showed a slow developmental speed, a point was subtracted from the grade (−1). For example, the score of a 2-cell stage (grade 1) embryo on day 2 would be 4 points (5−1 = 4 points).

The mean embryo quality score was calculated by dividing the cumulative embryo scores of transferred embryos by the number of transferred embryos.

5. Statistical analysis
Statistical analysis was performed with SPSS ver. 11.0 for Windows (SPSS Inc., Chicago, IL, USA). Means and standard deviations were calculated for all variables. To assess the relationships of sperm DFI with semen parameters, motility velocity, and kinetic parameters of motility, the Pearson correlation coefficient was used. One-way analysis of variance was used to compare the characteristics of patients and clinical outcomes between the subgroups (IVF and ICSI cycles) of the low- and high-DFI groups. The rates of pregnancy and abortion in the sub-groups were compared using the chi-square test. The p-values < 0.05 were considered to indicate statistical significance.

Results
We conducted semen analysis and the Halosperm assay in the semen samples of 388 patients who visited our IVF center for the first time to investigate correlations between sperm DFI and semen parameters (Table 1). Although sperm DFI showed significant inverse correlations with sperm motility (r = −0.435, p < 0.001) and morphology (r = −0.153, p < 0.05), the DFI did not show a meaningful correlation with the patients’ age (r = 0.098), semen volume (r = 0.045), or

| Table 1. Correlations between the sperm DFI and semen parameters in 388 semen samples |
|-----------------|-----------------|-----------------|-----------------|-----------------|
| Semen parameter | Mean ± SD        | Correlation coefficient with sperm DFI (r) | p-value |
|-----------------|-----------------|-----------------|-----------------|-----------------|
| Male patients’ age (yr) | 38.0 ± 4.4       | 0.098           | NS               |
| Volume (mL)     | 3.1 ± 1.5       | 0.045           | NS               |
| Concentration (10^6/mL) | 100.3 ± 97.5   | 0.091           | NS               |
| Motility (%)    | 49.9 ± 19.8     | −0.435          | < 0.001          |
| Morphology (%)  | 6.2 ± 3.6       | −0.153          | < 0.05           |
| DFI, DNA fragmentation index; SD, standard deviation; NS, not significant.
sperm concentration \( (r = 0.091) \). Therefore, sperm DFI showed the highest correlation with motility, in contrast to other parameters.

We investigated the correlation between sperm DFI and velocity of motile sperm (Table 2). Motile spermatozoa were classified into three groups (rapid, medium, and slow velocity) by the CASA system. Sperm DFI was significantly more strongly associated with rapid motility \( (r = -0.436, p < 0.001) \) than medium \( (r = -0.122, p < 0.05) \) or slow motility \( (r = -0.013, \text{not significant}) \). These results indicated that sperm showing rapid velocity had greater DNA integrity than sperm with a medium or slow velocity.

We investigated the correlation between sperm DFI and the kinetic parameters of motility measured by CASA (Table 3). Sperm DFI showed a significant inverse correlation with all kinetic parameters. In particular, average-path velocity \( (r = -0.436) \) showed a significant inverse correlation with all kinetic parameters. Sperm DFI was significantly more strongly associated with rapid motility \( (r = -0.436, p < 0.001) \) than other parameters.

Table 4 summarizes the characteristics of 867 patients and the clinical outcomes of IVF and ICSI cycles (1,102 cycles) in the low- and high-DFI groups. The 1,102 IVF cycles were divided into low-DFI (921 cycles) and high-DFI (181 cycles) groups, and then both the low- and high-DFI groups were subdivided into IVF and ICSI cycles. In the low-DFI group, there were no significant differences in the patients’ age, endometrial thickness, number of oocytes aspirated, or quality and number of embryos transferred between IVF and ICSI cycles. Although the fertilization rate in the ICSI cycles (87.0%, \( p < 0.05 \)) was significantly higher than that of the IVF cycles (78.4%), the pregnancy rate in the ICSI cycles (44.8%) did not significantly differ from that observed in the IVF cycles (48.6%). Moreover, in the high-DFI group, there were no significant differences in the characteristics of patients between the IVF and ICSI patients. However, the DFI of the ICSI patients (43.5 ± 12.1, \( p < 0.05 \)) was significantly higher than that of the IVF patients (35.6 ± 7.5); nevertheless, the pregnancy rate in the ICSI cycles (44.8%, \( p < 0.05 \)) was significantly higher than that in the IVF cycles (25.0%). There was no significant difference in the abortion rate between the low- and high-DFI groups.

### Discussion

In the present study, sperm DFI showed a significant inverse correlation with sperm motility \( (r = -0.435, p < 0.001) \) which is consistent with the results of many previous studies \([4,20,25,33,35,36,38]\). Only Brahem et al. \([34]\) did not observe a significant correlation between sperm DFI and motility.
DFI and motility ($r = 0.051, p = 0.741$). This may have resulted from excessive variance across samples even though the number of semen samples was small (40 semen samples). The semen samples in that study showed wide ranges of semen parameters, as exemplified by age (27–54 years), motility (5%–48%), and DFI (18%–72%). However, they found a significant decrease of DFI and increase of motility following DGC compared with raw semen, which means that sperm DFI has an inverse relationship with sperm motility. Therefore, it is accepted without doubt that sperm motility has a significant correlation with the DFI.

In the present study, sperm DFI also showed a significant inverse correlation with sperm morphology ($r = -0.153, p < 0.05$), although the coefficient was lower than was observed for sperm motility ($r = -0.435$). In contrast to our results, some authors did not observe an inverse correlation between sperm DFI and morphology [37,38]. This may have resulted from differences in the cutoff values used for sperm morphology. Our cutoff value for sperm morphology was 4% (WHO 2010 guidelines), but they used the cutoff value of 30% (WHO 1999 guidelines). Moreover, unlike motility and concentration of sperm, sperm morphology is generally assessed by using a manual protocol rather than by using a CASA program, because the CASA program revises long time for assessment. Therefore, there is a possibility of discrepancies in the evaluation of the sperm morphology between the authors due to the subjective estimations of embryologists. Nevertheless, many authors reported a significant correlation between sperm DFI and morphology [4,20,33-35].

We observed that sperm DFI showed a higher correlation with sperm motility than with other semen parameters. To analyze this correlation in greater depth, we investigated the correlation between sperm DFI and the velocity of motile sperm (Table 2). As we expected, sperm DFI showed a significantly stronger negative correlation with rapid motility than with medium or slow motility. This result suggests that selecting sperm with rapid motility may be an approach for selecting sperm with high DNA integrity. To investigate this correlation even more closely, we investigated the correlation between sperm DFI and the kinetic parameters of motility measured by CASA (Table 3). Although sperm DFI showed a significant inverse correlation with all kinetic parameters, the strongest correlations were observed with average-path velocity ($r = -0.403$) and linearity ($r = -0.412$) which means that sperm moving a long distance within a given time had high DNA integrity. Based on these results, we endeavored to select sperm with rapid motility and good morphology for ICSI. However, we gave priority to motility over morphology as a decision-making criterion for the selection.

In the present study, the fertilization rates in the IVF (86.9%) and ICSI cycles (85.5%) in the high-DFI group were significantly higher than the rate in the IVF cycles (78.4%, $p < 0.05$) and were similar to the rate of ICSI cycles (87.0%) in the low-DFI group. These results are consistent with previous reports that a significant correlation was not found between DFI and the fertilization rate [22,29,42,51,62]. In contrast to these results, Oleszczuk et al. [71] reported that the fertilization rate (38.1%) of IVF cycles was significantly lower than that (61%) of ICSI cycles in patients with a high DFI. However, this discrepancy may have been caused by the inclusion of immature oocytes in IVF and the exclusion of immature oocytes in ICSI cycles, rather than by high DFI. Benchaib et al. [21] likewise reported a significant negative relationship between the fertilization rate and DFI in ICSI cycles. However, they reported that the fertilization rate of ICSI cycles was 0% when the sperm DFI was over 25%. This fertilization rate is markedly lower than other reports. Therefore, these results indicate that sperm DNA damage does not exert a significant influence on the fertilization ability of sperm.

Although there was no difference in the number and quality of the embryos transferred or endometrial thickness between the IVF cycles of the low- and high-DFI groups, the pregnancy rate (25.0%) of the IVF cycles in the high-DFI group was significantly lower than that (48.6%) of their counterparts in the low-DFI group. This result is consistent with reports of a significant negative correlation between sperm DFI and pregnancy rate in IVF cycles [45,73]. However, the female partner’s age (39.2 ± 4.1 years) and the fertilization rate (86.9%) of the IVF cycles in high-DFI group were significantly different from the corresponding values (36.5 ± 3.9 years and 78.4%, respectively; $p < 0.05$) of the IVF cycles in low-DFI group. Therefore, these differences may be a potential partial cause of the difference in the pregnancy rates. However, no significant difference was observed in the pregnancy rates of ICSI cycles between the low-DFI (44.8%) and high-DFI (44.8%) groups, which suggests that high sperm DFI was not related to the pregnancy rate in ICSI cycles. This result is similar to those of previous studies [28,42,51].

Negative opinions have been expressed regarding ICSI for sperm with a high DFI, because DNA-damaged sperm may have a negative impact on the outcome of ICSI cycles in which DNA-damaged sperm is directly injected into the oocyte [26,41,65]. Moreover, in IVF cycles, the fertilizing spermatozoon may be selected by the zona pellucida, which can reject aneuploid candidates [74], and the zona pellucida play have a role in preventing DNA-damaged sperm binding, especially Y-bearing sperm [75]. However, recently, these concerns about ICSI have come to be regarded as a form of over-anxiety because a beneficial effect of ICSI on the pregnancy rate of patients with high sperm DFI has been reported by many authors [23,27,28,51,71]. In the present study, we likewise observed that the pregnancy rate of ICSI cycles (44.8%) was significantly higher than the rate of IVF cycles (25.0%) in the high-DFI group. The beneficial effect of ICSI can be explained by previous reports that poor morphology of spermatozoa is
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