Long-term experience with intraoperative microrecording during DBS neurosurgery in STN and GPi

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Abstract

Background Intraoperative microelectrode recording (MER) for targeting during deep brain stimulation (DBS) procedures has been evaluated over a period of 4 years, in 57 consecutive patients with Parkinson’s disease, who received DBS in the subthalamic nucleus (STN-DBS), and 28 consecutive patients with either dystonia (23) or Parkinson’s disease (five), in whom the internal segment of the globus pallidus (GPi-DBS) was targeted.

Methods The procedure for DBS was a one-stage bilateral stereotactic approach using a combined electrode for both MER and macrostimulation. Up to five micro/macro-electrodes were used in an array with a central, lateral, medial, anterior, and posterior position. Final target location was based on intraoperative test stimulation.

Findings For the STN, the central trajectory was chosen for implantation in 50% of the cases and for the globus pallidus internus (GPi) in 57% of the cases. Furthermore, in 64% of the cases, the channel selected for the permanent electrode corresponded with the trajectory having the longest segment of STN MER activity. For the GPi, this was the case in 61%. The mean and standard deviation of the deepest contact point with respect to the magnetic resonance imaging (MRI)-based target for the STN was 2.1±1.5 mm and for the GPi was −0.5±1.2 mm.

Conclusions MER facilitates the selection of the final electrode location in STN-DBS and GPi-DBS, and based on the observed MER activity, a pre-selection could be made as to which channel would be the best candidate for macro-test stimulation and at which depth should be stimulated. The choice of the final location is based on intraoperative test stimulation, and it is demonstrated that regularly it is not the central channel that is chosen for implantation. On average, the target as defined by MER activity intensity was in accordance with the MRI-based targets both for the STN and GPi. However, the position of the best MER activity did not necessarily correlate with the locus that produced the most beneficial clinical response on macroelectrode testing intraoperatively.

Keywords Intraoperative microelectrode recordings · Deep brain stimulation · Globus pallidus · Subthalamic nucleus · Stereotactic neurosurgery

Introduction

Intra-operative microelectrode recording (MER) has been introduced to improve the target localization during stereotactic surgery [4, 21, 24]. Benabid developed a holder with five parallel channels for micro/macro needles [2, 3]. The needle for MER, which is incorporated in the electrode for test stimulation, is able to pick up electrical activity of
individual neurons close to the electrode due to its small tip. Spike patterns for neurons situated either in the subthalamic nucleus (STN) or in the globus pallidus (GP) are characteristic, and they differ from neuronal spike patterns of the surrounding structures. This creates the possibility to demarcate the borders of both target structures [17–21, 23, 27, 30, 38–40]. Furthermore, inside the STN and the GP, a variety of neurons, laminae, and substructures can be recognized that demarcate functionally different areas [13, 14, 22, 25, 26, 31–33, 35, 37].

Although MER reveals the electrical activity and patterns of different brain (sub)structures, it is difficult to determine whether MER has a positive effect on the clinical outcome of deep brain stimulation (DBS) treatment [15, 16]. Ultimately, it would be important to know whether the use of MER has a positive effect on clinical outcome and whether the influence that MER has had on the choice of the target has been beneficial. These questions, however, are very difficult to answer since the clinical outcome is determined by many more factors than the use of MER alone. Therefore, this has not been the aim of the current study. As a first result of this longitudinal study on MER during DBS, it was investigated to what extent the use of MER influences the DBS surgical procedure. The relative contribution of the physiological data obtained by MER and the clinical data obtained by intraoperative test stimulation to the selection of the final position for implantation was evaluated. Furthermore, we examined to what extent the activity pattern obtained from the MER corresponded with the target position based solely on threedimensional magnetic resonance imaging (3D-MRI). Finally, it was studied whether the target position based on the best MER activity matched the finally chosen location for electrode implantation based on intraoperative test stimulation.

Methods

Patients

Over a period of 4 years, 57 consecutive patients with Parkinson’s disease (PD) who received DBS in the subthalamic nucleus (STN-DBS) and 28 consecutive patients with either dystonia (n=23) or Parkinson’s disease (n=5) in whom the internal segment of the globus pallidus (GPI-DBS) was targeted were included. Data of two STN patients could not be used since, in one patient with bilateral implant, only activity from the central channel was recorded, and in another patient, a large external electrical artifact was present. In 12 patients, surgery was performed unilaterally. This makes a total of 98 STN sides. Data of three globus pallidus internus (GPI) patients could not be used since, in one patient, only activity from the central channel was recorded, one patient was operated on with anesthesia, and in the recordings of the third patient, a large external electrical artifact was present. In four patients, surgery was performed unilaterally. This makes a total of 46 insertions in the GPI. All the patients gave informed consent for the surgical DBS procedure including MER. Some of the patients with Parkinson’s disease included in this study were randomized to STN or GPI-DBS as part of a large multicenter clinical trial. Inclusion criteria for surgery (idiopathic Parkinson’s disease with invalidating motor fluctuations) and exclusion criteria (psychosis, severe depression, dementia, general contraindications for surgery) were the same for patients allocated to STN-DBS or GPI-DBS, and for patients not participating in the trial. All patients who were included in the study received surgery under local anesthesia.

Surgery

The procedure for DBS was a one-stage bilateral stereotactic approach, using frame-based 3D-MRI reconstructions for target calculations and path-planning, with MER and macro-test stimulation. The standard target coordinates used were 12 mm lateral to the midplane of the third ventricle, 2 mm posterior to the midcommissural point (MCP), and 4 mm below the intercommissural line (ICL) for the STN, and 21 mm lateral to the midplane, 2 mm anterior to the MCP, and 5 mm below the ICL for the GPI. Adjustments were made based on visual anatomy on the planning MRI if necessary. The paths for the central channel were defined using the following criteria: anterior angulation to ICL of 15–20°, lateral angulation from midline 20–30°, entry on top of a gyrus, and avoiding sulci, cortical surface veins, and the lateral ventricles. In bilateral cases, surgery started on the left side. Three to five steel cannulas and microelectrodes were inserted through a 12-mm diameter precoronary burr hole. All steel cannulas stayed in place throughout the surgical procedure to prevent brain displacement during surgery and to prevent choosing the wrong tract during final electrode implantation. The implanted electrodes were model 3389 (Medtronic, Minneapolis, MN, USA) with four platinum–iridium cylindrical surfaces (1.3 mm diameter and 1.5 mm length) and with an intercontact separation of 0.5 mm. Contact 0 was the most distal, and contact 3 was the most proximal. All patients included in this study were awake during the surgical procedure, and none of them were under sedatives. In PD patients, surgery and MER were performed following overnight withdrawal of anti-parkinsonian medication. To verify electrode position, patients underwent postoperative frame-based stereotactic X-ray or postoperative frame-based stereotactic computed tomography (CT).
Microelectrode recording

To perform MER in STN-DBS, five MER/macrostimulation needles were placed in an array with a central, lateral, medial, posterior, and an anterior position placed 2 mm apart, to delineate the borders of the nucleus. Depending on the pre-operative MRI, it was decided in some cases to record with three or four microelectrodes rather than five. In GPi-DBS, based on the pre-operative MRI and the better visibility of the GP structures and internal capsule, usually three to four channel recordings were performed in the central, medial, posterior, and lateral channel to define the distance of the calculated target to the border between GPi and the internal capsule. The anterior channel was included rarely.

Extracellular single/multi-unit MER was performed with small (10 μm width) polyamide-coated tungsten micro-electrodes (Medtronic; microelectrode 291; impedance 1.1±0.4 MΩ; measured at 220 Hz, at the beginning of each trajectory) mounted on a sliding cannula. Signals were recorded with the amplifiers (10,000 times amplification) of the Leadpoint system (Medtronic), using a bootstrapping principle and were analog bandpass filtered between 500 and 5,000 Hz (−3 dB; 12 dB/oct). The signal was sampled at 12 kHz, by use of a 16-bit A/D converter and afterwards up-sampled to 24 kHz off-line. Following a 2-s signal stabilization period after electrode movement cessation, multi-unit segments were recorded for 5–20 s.

Starting for STN and GPi, respectively, 8 and 12 mm above the MRI-based target, the microelectrodes were advanced in steps of 500 μm towards the target by a manual microdrive. When the needles were inside the STN, GPe (globus pallidus externus) and GPi at each depth, the spiking activity of the neurons lying close to the needle (pick-up area up till 200 μm) could be recorded. Depending on the neuronal density not more than 3–5 units were recorded simultaneously. More distant units could not be distinguished from the background level.

Positioning of DBS targets from MER

The STN was clearly distinguished from the dorsally located zona incerta and lenticular fasciculus (field H2) by a sudden increase in background noise level and increase in discharge rate typically characterized by rhythmic bursts of activity with a burst frequency between 5 to 20 Hz [7]. Deeper into the STN rhythmic burst activity shifted to higher frequencies lying between 15 to 40 Hz. Also, more irregular firing units were observed. The ventral border of the STN was recognized by a decrease of background noise and a decrease of multi-unit activity across a distance of 0.5 to 2 mm, and, passing the ventral border of the STN, a sharp decrease in background firing was found, with more regular firing units (mean fire frequency 20 to 80 Hz) of the substantia nigra (Fig. 1).

The GPe could be delineated from the dorsally located putamen by an increase in multi-unit firing activity at a depth varying from −12 to −9 mm from the MRI-based target. At advancing depth between −7 to −5 mm, the medial medullary lamina separating the GPe from the GPi was reached. The presence of this lamina was characterized by a decrease in electrical activity and had a thickness of 1 to 2 mm. In the vicinity of the medial medullary lamina, border cells were often recorded with a tonic regular discharge frequency between 5 to 30 Hz. The GPi was usually more densely packed with neurons reflected by a more intense multi-unit activity than observed in the GPe. Also, bursting and pausing activity (dystonia) and tremor-related (in PD) activity were frequently observed in the GPi. Within the GPi, regularly, another lamina at about −2 mm could be observed. The bottom of the GPi was recognized by a sudden decrease in background noise and multi-unit activity as the optic tract was approached (Fig. 2). Data segments were analyzed off-line for stability by visual inspection. When instability or movement/vibration or electrical artifacts were observed, the longest stable section was selected from the recording, discarding the rest.

Macro-test stimulation

After MER, the tip of the microelectrode was retracted. Channels that showed significant multi-unit activity over a length longer than 3 mm were selected for intraoperative test stimulation (60 μs pulse-duration; 130 Hz pulse-frequency). The complete electrode with the macro-tip was then advanced to be used for macro-test stimulation, and this was performed by an experienced neurologist at two or three depths with a 2-mm interval, all within the boundaries of the target nucleus as determined by MER. After evaluation of the selected channels by macro-test stimulation, the one with the largest therapeutic window, i.e., lowest current threshold for improvement of symptoms and highest threshold for side effects, was chosen for permanent electrode implantation. For dystonic patients, the threshold for capsular side effects was used to select the best electrode. In addition, improvement of mobile dystonia was sought when present.

With respect to depth of implantation of the electrodes in STN-DBS, it is our custom to implant contact number 1 at the point with best stimulation parameters, with the deepest contact 2 mm below this optimal point. For GPi-DBS, we position the deepest contact point at the inferior border of the nucleus as determined by MER.

Statistical analysis

In bilateral operated patients, the choice of channel for final electrode implantation (central versus non-central) from the
operated side first (left) and second (right) was compared using the McNemar’s test. Similarly, the implantation depth of the final electrode was compared with the Student’s t test. Histograms of distributions and their standard deviations as well as linear regressions were calculated with Microsoft Excel.

**Results**

**Microelectrode recording**

All STN and GPi insertions showed, at least on one channel, MER activity. However, for the STN in nine out of...
98 cases (9%) and for the GPi in one out of 48 cases (2%), no MER activity was recorded at the central channel. Tables 1 (STN) and 2 (GPi) show the frequency distribution of the final choice for permanent electrode implantation in the central, lateral, medial, anterior, or posterior channels based on MER and macro-test stimulation, as well as the distribution of the channels in which the best MER was observed, i.e., the longest trajectory with STN or GPi neuronal activity. The central channel was chosen for implantation of the permanent electrode in 50% of the STN and in 57% of the GPi cases. In 64% of the STN cases and in 61% of the GPi cases, the channel with the best MER activity was also chosen for implantation of the permanent electrode. In case the central electrode was selected for implantation of the electrode, this was also the channel with best MER in 78% for STN and in 76% for GPi. The final electrode position in the STN, if not placed in the central channel, was more often lateral than medial to the calculated target [10% (10/98) lateral; 6% (6/98) medial] and more often anterior [24% (22/98)] than posterior [(10% (10/98)]. Best MER activity for the STN was also found more lateral than medial and more anterior than posterior from the calculated target. The final electrode position for the GPi had the tendency to go more laterally than medially. Although in the GPi, MER activity was highest at the posterior electrode in 20% (9/46) of the cases, due to side effects with macro-test stimulation in only two of nine instances, this channel was selected for permanent stimulation. The anterior channel was rarely used. In bilaterally operated STN-DBS patients, the central channel was chosen for final electrode implantation in 50% of the left STNs and 39% of the right STNs ($p=0.42$). In bilaterally operated GPi-DBS patients, the central channel was chosen for final electrode implantation in 82% of the left GPis and 65% of the right GPis ($p=0.37$).

The final depth of implantation relative to the MRI-based target in this study is depicted in Fig. 3. For the STN, the average depth of the deepest contact point for stimulation electrode was 2.1 mm (SD=1.5 mm) which implies an almost exact correspondence between the target calculated on the planning-MRI and the mean optimal position of contact point 1, due to the 2 mm difference between the centers of two successive contact points. For the GPi, the deepest contact point was implanted on average almost at the calculated depth (mean=$-0.5$ mm; SD=1.2 mm, Fig. 3b) In summary, this implied that, in 56 out of 98 (57%) of the STN implantations and in 39 out of 46 (85%) of the GPi-implantations, the final depth of implantation was situated within a range of $\pm 1$ mm of the MRI-based target depth. In bilaterally operated STN-DBS patients, mean implantation depth for the final electrode was 2.3 mm below calculated target depth for the left STN and 2.6 mm for the right STN ($p=0.23$). In bilaterally operated GPi-DBS patients, mean implantation depth for the final electrode was 0.71 mm above calculated target depth for the left GPi and 0.88 mm for the right GPi.

### Table 1 Comparison between the channel with the best MER activity and the channel finally chosen for permanent stimulation in the STN

| Results     | STN Right (n=47) | STN Left (n=51) | STN Total (n=98) |
|-------------|------------------|-----------------|------------------|
| Final electrode |                   |                 |                  |
| Central     | 47% (22)         | 55% (28)        | 50%              |
| Medial      | 8.5% (4)         | 4% (2)          | 6%               |
| Lateral     | 15% (7)          | 6% (3)          | 10%              |
| Anterior    | 25.5% (12)       | 20% (10)        | 24%              |
| Posterior   | 4% (2)           | 15% (8)         | 10%              |
| Best electrode |                 |                 |                  |
| Central     | 47% (22)         | 47% (24)        | 47%              |
| Medial      | 13% (6)          | 4% (2)          | 8%               |
| Lateral     | 23% (11)         | 16% (8)         | 20%              |
| Anterior    | 13% (6)          | 20% (10)        | 16%              |
| Posterior   | 4% (2)           | 13% (7)         | 9%               |
| Final = best |                 |                 |                  |
| Central     | 77% (17/22)      | 79% (19/24)     | 78% (36/46)      |
| Medial      | 67% (4/6)        | 0% (0/2)        | 50% (4/8)        |
| Lateral     | 36% (4/11)       | 25% (2/8)       | 32% (6/19)       |
| Anterior    | 100% (6/6)       | 70% (7/10)      | 81% (13/16)      |
| Posterior   | 50% (1/2)        | 57% (4/7)       | 55% (5/9)        |
| Total       | 66% (31/47)      | 63% (32/51)     | 64% (63/98)      |

### Table 2 Comparison between the channel with the best MER activity and the channel finally chosen for permanent stimulation in the GPi

| Results     | GPi Right (n=24) | GPi Left (n=22) | GPi Total (n=46) |
|-------------|------------------|-----------------|------------------|
| Final electrode |                 |                 |                  |
| Central     | 50% (12)         | 64% (14)        | 57%              |
| Medial      | 8% (2)           | 18% (4)         | 13%              |
| Lateral     | 34% (8)          | 9% (2)          | 22%              |
| Anterior    | 4% (1)           | 0% (0)          | 2%               |
| Posterior   | 4% (1)           | 9% (2)          | 6%               |
| Best electrode |                 |                 |                  |
| Central     | 54% (13)         | 54% (12)        | 54%              |
| Medial      | 12.5% (3)        | 18% (4)         | 15%              |
| Lateral     | 12.5% (3)        | 9% (2)          | 11%              |
| Anterior    | 0% (0)           | 0% (0)          | 0%               |
| Posterior   | 21% (5)          | 18% (4)         | 20%              |
| Final/best  |                 |                 |                  |
| Central     | 85% (11/13)      | 67% (8/12)      | 76% (19/25)      |
| Medial      | 33% (1/3)        | 50% (2/4)       | 43% (3/7)        |
| Lateral     | 100% (3/3)       | 50% (1/2)       | 80% (4/5)        |
| Anterior    | 0% (0/0)         | 0% (0/0)        | 0% (0/0)         |
| Posterior   | 20% (1/5)        | 25% (1/4)       | 22% (2/9)        |
| Total       | 67% (16/24)      | 54% (12/22)     | 61% (28/46)      |
The depth position of the STN-middle with respect to the MRI-based target was calculated from the beginning and end of the STN MER activity of the channel that had the best MER activity. The mean value of the distribution was 0.0 mm with an SD of 1.6 mm (Fig. 4a). Combined with the results shown in Fig. 3a, this meant that, on average, contact point 1 was placed in the middle of the STN. From the distribution, it also followed that 27% of the STN-middles fell outside ±1.5 mm, and only 7% fell outside the range of ±3.0 mm. Figure 4b shows the comparison between the STN-middle and the final depth implantation of the deepest contact point relative to the MRI-based target, and it is demonstrated that there is a larger range (±4 mm) for the position of the STN-middle than for the finally chosen depth (−1 to +5). A linear regression through these data for both left and right STN implantations crosses the vertical axis at about 1.9 and 2.4 mm, respectively. This is due to the fact that the deepest contact point is always situated one contact point (2 mm) below the optimal position.

Complications from surgery

One patient undergoing STN-DBS postoperatively had a left-sided hemiparesis due to a right-sided subcortical hematoma. The hemiparesis completely resolved in the year following surgery. Another patient undergoing STN-DBS had a generalized seizure on postoperative day 1. CT showed bilateral subdural air, but no bleeding. No other complications were noted in the clinical records of the rest of the patients. Since we verified electrode position with postoperative frame-based stereotactic X-ray or postoperative frame-based stereotactic CT, postoperative brain imaging of not all patients is available. Approximately half of the STN-DBS and GPi-DBS patients underwent postoperative frame-based stereotactic CT. These CTs showed variable amounts of subdural air, but no bleeding.

For the remaining STN-DBS and GPi-DBS patients, who only underwent postoperative frame-based stereotactic X-ray, any subclinical bleeding has remained unnoticed. The postoperative course in this last patient group was uneventful.

Discussion

The current study demonstrates that the finally chosen depth for STN-DBS coincided in 57% of the cases with the pre-operatively MRI-based target within a range of ±1 mm. For GPi-DBS, this was higher and coincided in about 85% of the cases. These percentages are higher than those found by Altermann et al. and [1] Molinuevo et al. [27]. The choice of the final target and which electrode position yielded the optimum therapeutic window was guided by the MER activity and finally determined by the results of intraoperative test stimulation. Standard deviations for the final depth of the implantations were 1.5 mm for the STN and 1.2 mm for the GPi, and these were somewhat less than found in the GPi by Guridi et al. [11] and comparable to those found by Priori et al. [30].

The position of the STN-middle with respect to the MRI-based target yielded a normal distribution with an SD of 1.5 mm. With respect to the required depth of the permanent electrode, Fig. 4a shows that the mean value of the calculated STN-middle derived from the intraoperatively recorded MER activity is in good agreement with the pre-operatively calculated depth of the target nucleus using MRI-based planning with the Schaltenbrand–Wahren atlas target coordinates [10, 34]. In case contact point 1 is placed at a depth that is only given by the MRI-
Based on the observed MER activity in multiple channels, a pre-selection could be made as to which channel would be the best candidate for macro-test stimulation and at which depth should be stimulated [29]. After MER and macro-test stimulation, in 50% of the cases for the STN and in 57% for the GPi, the central channel was chosen for electrode implantation. The percentage of 50% for the STN could have been even smaller if all insertions were measured with five channels. Thus, considering the results of Temel et al. [36], in which a percentage of 34% for left and right STN was found, our study confirms that, for STN, it is often deviated from the central channel for implantation. Furthermore, it was found that, whereas other channels showed STN MER activity, in 9% (9/98), the central channel did not show MER activity. The final position of the STN target in the axial plane corresponded in 64% of the cases with the STN target having the best MER activity and for the GPi target, this was so in 61% of the cases.

For MER and macro-test stimulation, some extra time was needed to attach the microdrive for the microelectrodes, connect the wires to the needles, and check for integrity of the system and stability of the signals. For STN and GPi, MER was started 8 and 12 mm above the calculated target depth, respectively. This resulted in about 25 recordings of 10 s each per site, which took about a period of 10 min. Then, a summary of recordings was evaluated in order to decide in which channels and at what depths test stimulation should be performed. In comparison to the DBS procedure without MER, the time needed for the test procedure was thereafter reduced significantly, as stimulation was only started in two or three channels that had sufficient MER activity. In addition, if during macro-test stimulation one of the channels yielded worse results than others, testing on this particular channel was discontinued. Considering the accuracy of the MRI-based target, the period of MER could be shortened by starting recording at 6-mm instead of 8-mm distance from the MRI-based STN target and 100-mm instead of 12-mm distance from the MRI-based ventral border of the GPi.

In bilaterally operated patients, we noted a non-significant trend towards a less frequent choice for the central channel on the side operated second (right). Significance possibly would have been reached with a larger patient population. This possible less accurate coincidence between MRI planning and MER registration on the second operative side may be due to cerebral fluid (CSF) loss and subsequent subdural air invasion during surgery in some cases, potentially causing brain displacement [9]. Great care, thus, should be taken to minimize CSF loss, especially when using multiple parallel microelectrodes that require wider opening of the dura matter than a single electrode. At the same time, MER provides direct target recognition and may allow for correction of stereotactic errors including brain displacement.
In the current study population, one patient suffered from a clinically symptomatic hemorrhage (1%). This raises the question whether the use of multiple trajectories through the brain in MER could potentially lead to an increased risk of hemorrhage. Others conclude that the incremental risk of multiple microelectrode penetrations was small [5], or it was not possible to demonstrate it given the sample sizes [36]. However, multiple passes through the brain are likely to be associated with an increased risk of hemorrhage [6, 15]. Measures can be taken to reduce this risk, the most important ones being careful path-planning, avoiding vascular structures, and the prevention of CSF leakage during the procedure so that no shearing forces are applied on the brain by the cannulae due to brain shift. To reduce the risk of multiple insertions, one could omit one or two channels for MER. This can be decided on during the MRI planning. For instance, our study shows that, for the STN, only in 6% was the medial electrode used for implantation. With respect to the GPI, the anterior and the posterior channels were used for implantation in only 2% and 6%, respectively.

Although this study demonstrates that MER improves targeting, inherent to the setup of this retrospective study, it is not possible to demonstrate any postoperative clinical improvement due to the use of MER. However, if postoperative clinical results are not satisfactory, with MER registration, inappropriate targeting is the less probable cause.

In summary, it is concluded from our 4 years experience that MER facilitates the selection of the final electrode location in STN-DBS and GPi-DBS and demonstrates that frequently (about 52%), it is not the central channel that is chosen. However, in approximately 33% of the STN cases, the final position of the implanted electrode does not coincide with the position of optimal MER activity. On the other hand, for both the STN and GPI, on average, there is a good fit between the MER activity and the MRI-based targets with a mean of 0 mm and an SD of 1.5 mm, which means that 95% of the targets fall within a range of 6 mm.

Finally, it is suggested that, if MER is being used, probably less than five channels are necessary and MER could also be started closer than 8 and 12 mm for the MRI-based STN target and GPI target, respectively.

Conflicts of interest Dr. Lo J. Bour is a consultant for Philips Research.

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