Quantum transport of two-species Dirac fermions in dual-gated three-dimensional topological insulators

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Topological insulators are a novel class of quantum matter with a gapped insulating bulk, yet gapless spin-helical Dirac fermion conducting surface states. Here, we report local and non-local electrical and magneto transport measurements in dual-gated BiSbTeSe\(_2\) thin film topological insulator devices, with conduction dominated by the spatially separated top and bottom surfaces, each hosting a single species of Dirac fermions with independent gate control over the carrier type and density. We observe many intriguing quantum transport phenomena in such a fully tunable two-species topological Dirac gas, including a zero-magnetic-field minimum conductivity close to twice the conductance quantum at the double Dirac point, a series of ambipolar two-component half-integer Dirac quantum Hall states and an electron-hole total filling factor zero state (with a zero-Hall plateau), exhibiting dissipationless (chiral) and dissipative (non-chiral) edge conduction, respectively. Such a system paves the way to explore rich physics, ranging from topological magnetoelectric effects to exciton condensation.
A three-dimensional (3D) topological insulator (TI) is characterized by an insulating bulk band gap and gapless conducting topological surface states (TSS) of spin-helical massless two-dimensional (2D) Dirac fermions\(^1,2\). Such surface states are topologically non-trivial and protected by time-reversal symmetry, thus immune to back scattering. The potential novel physics offered by this system, such as topological magnetoelectric (TME) effects\(^3,4\), Majorana fermions\(^5\) and effective magnetic monopoles\(^6\), has drawn intense interest. One of the most iconic transport signatures for 2D Dirac electronic crystals\(^3,4,7\) and molecular beam epitaxy grown (Bi\(^1\)-Te\(^2\)) crystals\(^8\) is the zeroth Landau level (LL) at \(E = 0\) split into two degenerate species of Dirac fermions (for example, \(g = 4\) for graphene, and \(g = 1\) for TSS with a single Dirac cone). This 1/2 fractional quantum Hall (FQH) state characterized by an insulating bulk band gap and three-dimensional (3D) topological insulator (TI) is presented below unless otherwise noted. The h-BN as a substrate or gate dielectric is known to preserve good electronic properties for graphene, resulting from the atomic flatness and relatively low density of impurities in h-BN\(^9\). The carrier densities of the top and bottom surface of the BSTS flake are tuned by top-gate voltage \(V_{tg}\) and back-gate voltage \(V_{bg}\) respectively.

Figure 1b,c shows the double-gated electric field effect measured at \(T = 0.3\) K. The longitudinal resistivity \(\rho_{xx} = (R_{xx} \times W/L)\), with \(R_{xx}\) being longitudinal resistance) at magnetic field \(B = 0\ T\) (Fig. 1b) and Hall resistivity \(\rho_{xy} = (R_{xy})\) at \(B = 1\ T\) (Fig. 1c) are plotted in colour scale as functions of both top and bottom gate voltages \(V_{tg}\) and \(V_{bg}\). The extracted field effect and Hall mobilities are typically several thousand of \(\text{cm}^2\text{V}^{-1}\text{s}^{-1}\). A minimum carrier density \(n = 9 \times 10^{10}\text{cm}^{-2}\) per surface can be extracted from the maximum Hall coefficient (absolute value) \(\sim 3.5\ \text{k}\Omega\text{cm}^{-1}\) (when both surfaces are slightly n-type or p-type) measured in Fig. 1c. A set of exemplary \(V_{tg}\) sweeps with \(V_{bg} = 3\) V is shown in Fig. 1c inset. By adjusting \(V_{tg}\) (or \(V_{bg}\)), the device can be tuned through a \(R_{xy}\) peak, identified as the charge-neutrality DP of the top (or bottom) surface, marked by the blue (or red) dashed lines \(1/2\) in Fig. 1b. Gating through the DP, the carriers in the corresponding surface change from hole-like to electron-like (that is, ambipolar), as evidenced by Hall measurements (Fig. 1c). The slight deviation of the two lines from being perfectly vertical and horizontal arises from the weak capacitive coupling between the top (bottom) surface and the back (top) gate\(^6\). The crossing of these two lines corresponds to the double DP (both surfaces tuned to DP), where \(\rho_{xx}(\sigma_{xx} = 1/\rho_{xx})\) reaches a global maximum (minimum). Within the gate voltage range used, the carriers predominantly come from the TSS and we observe relatively good particle-hole symmetry in the transport properties (for example, the symmetrical appearance of \(\rho_{xx}\) on both sides of DP in each surface in Fig. 1b and the similar absolute values of the positive and negative maximum Hall coefficient in Fig. 1c).

We have studied six dual-gated BSTS devices with different thicknesses \((t)\) and aspect ratios \((L/W)\). These devices are measured at low temperature \((T < 2\text{ K})\) and the results are repeatable after multiple thermal cycles. When both surfaces are tuned to DP, the minimum 2D conductivity \(\sigma_{\text{min}}\) at \(B = 0\ T\) exhibits relatively constant value \((3.8 \pm 0.1) \times 10^{12}\text{cm}^{-2}\) for all the devices measured (with the uncertainty representing 90% confidence interval), whose thicknesses range from \(50\) to \(200\) nm and \(L/W\) range from \(1.3\) to \(3.5\) (Fig. 1d). Our observation indicates that the conductivity at the DP for each major surface (top or bottom) is \(\sim 2 \times 10^{12}\) (one unit of conductance quantum), within the range of values \((2 \pm 5 \times 10^{12})\) reported by Kim et al.\(^{14}\) on thin flakes of Bi\(_2\)Se\(_3\) \((\sim 10\) nm). The better consistency over multiple samples in our dual-gated BSTS devices may be attributed to the more insulating bulk (whose conduction is immeasurably small at low temperature) and uniformity of the exfoliated BSTS flakes, which are sandwiched between SiO\(_2\) and h-BN to achieve better device stability. The minimum conductivity at DP has also been discussed in graphene with considerable interest\(^{20-25}\).

The experiments in graphene revealed that the minimum conductivity is strongly affected by carrier-density inhomogeneities (puddles) induced by disorder on or near graphene\(^{24,25}\), as such the absorbates or charged impurities in the substrates. In 3D TIS, one source of impurities likely relevant to the observed quasi-universal minimum conductivity in our dual-gated BSTS devices could be bulk defects (located near surface)\(^{26,27}\), as those revealed in scanning tunnelling microscopy studies\(^{28}\).

**Results**

**Transport properties at zero and low magnetic field.** Qualitatively, similar data are measured in multiple samples, while results from a typical sample A \((\text{channel length} L = 9.4\ \mu\text{m})\), width \(W = 4.0\ \mu\text{m}\), with \(\sim 100\) nm-thick BSTS and 40 nm-thick h-BN as top-gate dielectric, see schematic in Fig. 1a) and two-component QHE. For the rest of the paper, we focus on the transport phenomena in the QH regime under a high magnetic...
field $B$ perpendicular to the top and bottom surfaces. Figure 2a,c shows in colour scales the longitudinal conductivity $\sigma_{xx} = \rho_{xx}/(\rho_{xx}^2 + \rho_{xy}^2)$ and Hall conductivity $\sigma_{xy} = \rho_{xy}/(\rho_{xx}^2 + \rho_{xy}^2)$ for Sample A as functions of $V_{tg}$ and $V_{bg}$ at $B=18$ T and $T=0.3$ K. The colour plots in Fig. 2a,c divide the $(V_{tg}, V_{bg})$ plane into a series of approximate parallelograms, centred around well-developed or developing QH states with vanishing or minimal $\sigma_{xx}$ (Fig. 2b) and quantized $\sigma_{xy}$ in integer units of $e^2/h$ (Fig. 2d). These QH parallelograms are bounded by approximately (but slightly tilted) vertical and horizontal lines, which represent the top and bottom surface LLs, respectively. By increasing (decreasing) either $V_{tg}$ or $V_{bg}$ to fill (exhaust) one LL on the top or bottom surface, $\sigma_{xy}$ increases (decreases) by $e^2/h$, taking consecutive quantized values of $n e^2/h$, where integer $n = 0, \pm 1, \pm 2, \pm 3$ (such that the bottom surface contributes $\sigma_{xy} = n e^2/h$ to the total $\sigma_{xy}$), explaining the vertical shift of $e^2/h$ at QH plateaux of consecutive $V_{tg}$-sweeps.

It is also notable that in Fig. 2, there are a few states with zero-quantized Hall conductivity ($\sigma_{xy} = 0$, manifesting as white regions in Fig. 2c, separating the electron-dominated regions in red and the hole-dominated regions in blue) and non-zero $\sigma_{xx}$ minimum, marked by equal and opposite half-integer values of $v_t$ and $v_b$, thus total $v = 0$, for example $(v_t, v_b) = (-1/2, 1/2)$, $(1/2, -1/2)$ and $(3/2, -3/2)$. These states with total $v = 0$, exhibiting zero-Hall plateaux (see also Fig. 2d), have non-zero $\sigma_{xx}$ minimum (Fig. 2a,b) but very large $R_{xx}$ maximum (see next, Fig. 3).

**Non-local transport at $v = 0$ states.** To further characterize the observed QH and $v = 0$ states, we have performed non-local transport measurements of $R_{nl}$ ($= V_{nl}/I, I$ is the current and $V_{nl}$ is the non-local voltage, see the schematic measurement setup in the inset of Fig. 3b) as functions of $V_{tg}$ and $V_{bg}$ at $B=18$ T and $T=0.3$ K and compared the results with the standard (local) measurements of the longitudinal resistance $R_{xx}$ (Fig. 3a). It is intriguing that unlike other QH states typified by a zero or minimum in $R_{xx}$, the states with $v = v_t + v_b = 0$ (labelled by $(v_t, v_b)$ in Fig. 3a) with $v_t = v_b = \pm 1/2$ or $\pm 3/2$ are accompanied by a $R_{xx}$ maximum. The best-developed $v = 0$ states are those at $(v_t, v_b) = (-1/2, 1/2)$ or $(1/2, -1/2)$, where $R_{xx}$ reaches $\sim 220 \Omega$ ($\rho_{xx} \sim 100 \Omega$), exceeding the resistance quantum ($h/e^2 = 25.8 \, \text{k}\Omega$) by an order of magnitude. The non-local $R_{nl}$ also becomes very large ($\sim 100 \, \text{k}\Omega$) and the similar order of magnitude as $R_{xx}$ at these two $v = 0$ states, while negligibly small at other $(v_t, v_b)$ QH states (see Fig. 3b and also the representative cuts in Fig. 3c).
The simultaneously large local and non-local resistance at \( v = 0 \) states in the QH regime has been reported in other 2D electron-hole systems\(^{29,30}\) and understood in a picture of dissipative edge channels. We emphasize that the pronounced \( R_{\text{nl}} \) signal cannot be explained from \( R_{\text{nl}} \) by a classical Ohmic non-local resistance from the stray current connecting the remote leads. Such a contribution \((= -\nu_{\text{dirac}} e^{-xL/W})\) would decay exponentially with \( L/W \) (= 2.4 in our case), and be three orders of magnitude smaller than the local \( R_{\text{xx}} \) (which is the case at \( B = 0 \) T, Supplementary Fig. 1). As another comparison, the middle panel of Fig. 3c shows the cuts in Fig. 3a,b at \( V_{bg} = 3 \) V, crossing the double-DP (also zeroth LL) of both top and bottom surfaces at \((v_t, v_b) = (0, 0)\), where we observe a relatively large peak in \( R_{\text{xx}} \) but significantly smaller \( R_{\text{nl}} \). Such a result is consistent with the ‘extended’ state transport (at the center of zeroth LL) as the current flows through the bulk of the 2D surface.

From the colour plots in Figs 2 and 3, the parallelogram centred around \((v_t, v_b) = (-1/2, 1/2)\) state is enclosed by boundaries representing \( N_t = 0 \) and \(-1\), \( N_b = 0 \) and 1 LLs. Similarly, the \((v_t, v_b) = (1/2, -1/2)\) state is bound by \( N_t = 0 \) and 1, \( N_b = 0 \) and \(-1\) LLs. We conclude that such a \( v = 0 \) state can exist when the potential difference \( V \) between top and bottom surfaces (equivalently the energy separation between top and bottom surface DPs) is in the range of \( 0 < |V| < 2E_{0-1} \) (\( \approx 2 \times 50 \) meV at \( B = 18 \) T, where \( E_{0-1} \) is the \( 0-1 \) LL separation of TSS Dirac fermions\(^{11}\)). The large energy scale of \( E_{0-1} \) can help make the \( v = 0 \) and \( v = \pm 1 \) QH states observable at significantly elevated temperatures as demonstrated below.

**Temperature dependence of the \( v = 0 \) and \( \pm 1 \) states.** We have studied the temperature (\( T \)) dependence of the QHE and \( v = 0 \) states from 0.3 K to 50 K at \( B = 18 \) T (Fig. 4). At each temperature, the bottom surface density is tuned by \( V_{bg} \) to set \( v_t \), near 1/2 (dashed lines) or \(-1/2\) (solid lines), and the peaks in local \( R_{\text{xx}} \) and non-local \( R_{\text{nl}} \) corresponds to the \((v_t, v_b) = (-1/2, 1/2)\) or \((1/2, -1/2)\), respectively (Fig. 4a,b, detailed raw data are shown in Supplementary Fig. 2). The \( R_{\text{xx}} \) peaks (> \( \sim 150 \) kΩ) are seen to be more robust up to the highest temperature (\( T = 50 \) K) measured, while \( R_{\text{nl}} \) peaks decrease rapidly (approximately linearly in \( T \), shown in Fig. 4c) with increasing \( T \) and is nearly suppressed above 50 K. We also show the \( T \)-dependence of \( \sigma_{\text{xx}} \) and \( \sigma_{\text{xy}} \) at \((v_t, v_b) = (-1/2, 1/2), (1/2, -1/2), (1/2, 1/2) \) and \((-1/2, -1/2)\) in Fig. 4ef. The \( \sigma_{\text{xx}} \) maintains good quantization at \( \nu e^2/h \) (\( \nu = 0, \pm 1 \)) up to \( T = 50 \) K, while \( \sigma_{\text{xx}} \) increases with \( T \) (the gate-dependent \( \sigma_{\text{xx}} \) and \( \sigma_{\text{xy}} \) traces at different temperatures are shown in Supplementary Fig. 3). The \( \sigma_{\text{xx}} \) for \( v = \pm 1 \) states is found to show thermally activated behaviour at high temperatures\(^{11}\), where the finite \( \sigma_{\text{xx}} \) is attributed to the thermally excited 2D surface or 3D bulk carriers. Such carriers can shunt the edge-state transport and suppress the non-local \( R_{\text{nl}} \) response at high \( T \) (ref. 29). We also note that the \( \sigma_{\text{xx}} \) versus \( T \) curves for \( v = 0 \) and \( v = \pm 1 \) states follow the similar trend and have approximately constant separation. We find the averaged separation \( \Delta \sigma_{\text{xx}} = 1/2 \times (\sigma_{\text{xx}}(-1/2, 1/2) + \sigma_{\text{xx}}(1/2, -1/2) - \sigma_{\text{xx}}(1/2, 1/2) - \sigma_{\text{xx}}(-1/2, -1/2)) \) to be largely \( T \)-independent with a value of \((0.27 \pm 0.01)e^2/h\), which we attribute to the conductivity of the quasi-1D dissipative edge channel.
Figure 3 | Local and non-local resistance in dual-gated TI in high magnetic field. (a) Local resistance $R_{xx}$ and (b) non-local resistance $R_{nl}$ measured in sample A as functions of $V_{bg}$ and $V_{tg}$ at $B = 18$ T and $T = 0.3$ K, with insets showing the measurement setup schematics. (c) A few representative cuts of (a) and (b) at different values of $V_{tg}$. Filming factors for the local $R_{xx}$ peaks are labeled in each sub-panel.

Figure 4 | Temperature dependence and illustrative schematics of the QHE and $\nu = 0$ state in TI. (a) $R_{xx}$ and (b) $R_{nl}$ measured in sample ‘A’ as functions of $V_{bg}$ for different temperatures at $B = 18$ T, where $V_{tg}$ is chosen to set $\nu_{l}$ at 1/2 (dashed lines) and $-1/2$ (solid lines), respectively. (c) The $R_{xx}$ value at $(\nu_{l}, \nu_{r}) = (-1/2, 1/2)$ and $(1/2, -1/2)$ shows approximately linear dependence on temperature. (d) $\sigma_{xx}$ versus $V_{bg}$ (with the same two values of $V_{tg}$ chosen in a and b) at $T = 0.3$ K as an example, with each highlighted circle corresponding to a state in e plotted with corresponding coloured symbols. (e) $\sigma_{xx}$ and (f) $\sigma_{xy}$ of $\nu = +1$, $-1$ and 0 states as functions of temperature. In e, we also plot $\Delta \sigma_{xx}$ (difference between averaged $\nu = 0$ states’ $\sigma_{xx}$ and averaged $\nu = \pm 1$ states’ $\sigma_{xx}$), which barely changes with $T$. (g,h) Schematics of surface band structure (energy spectrum) in high magnetic field, showing LLs from top and bottom surfaces (blue and red) in the middle of the sample transitioning into side surface sub-bands at sample edge, and (i,j) edge states in a slab-shaped sample for $\nu = 1$ and $\nu = 0$ states. The dashed line indicates a representative Fermi level $E_F$ and circles in g label chiral edge modes.
In our measurement setup, the contacts connect to the top, bottom and side surfaces, all of which are probed simultaneously. The side surface only experiences an in-plane field and can be viewed as a quasi-1D domain boundary that separates the top and bottom surfaces with $B$ pointing outward and inward, respectively, thus can support QH edge states\(^3\). When the top and bottom surfaces are doped to the same carrier type (either $n$ or $p$), the corresponding QH edge states (on the side surface) would have the same chirality and give the observed total $\sigma_{xy} = \frac{e^2}{h} (v_x + v_y) \frac{e^2}{h}$ restricted to integer multiples of $\frac{e^2}{h}$. When the two surfaces have opposite carrier types but one of the them dominates, well-defined QH states with $v_x = v_y + v_B$ may still be observed, such as the $(-1/2, 1/2)$ state with $\sigma_{xy} = (1 - 1/2) \frac{e^2}{h} = \frac{e^2}{h}$ and vanishing $\rho_{xx}$. Previous studies in InAs/AlSb/GaSb heterostructure-based electron-hole systems\(^{32,33}\) also revealed QH effect with $R_{xy} = h/(v_x^2 + v_y^2) = h/(v_x^2 + v_B^2)$ ($v_x$ and $v_B$ are electron and hole-filling factors, both are positive integers) and vanishing $\rho_{xx}$ when the AlSb barrier (separating electron and hole gases) is sufficiently thin to enable electron-hole hybridization. Despite the phenomenological similarities, our QH system is distinctive in the sense that the spatially separated electrons and holes residing on the top and bottom surfaces have half-integer filling factors, and the hybridization only happens at the side surface.

We show the schematic energy spectrum when the two surfaces are degenerate with $V = 0$ (refs 34–36) in Fig. 4g, which depicts the Fermi energy $E_F$ inside the $0 - 1$ LL gap and corresponds to the $(1/2, 1/2)$ QH state. For a relatively thick sample such as ours ($\sim 100 \text{ nm} > \text{magnetic length} \ i_0 \approx \frac{\hbar}{(2eB)^{1/2}} \approx 6 \text{ nm} \ at \ 18 \text{ T}$), however, it has been suggested that even in the presence of well-quantized LLs, a standard TI Hall measurement would exhibit deviations from perfectly quantized values due to conduction through the side surfaces\(^{31,34–36}\). On the other hand, it has also been suggested that when net chiral modes exist (Fig. 4g) or show such net chiral mode, the QH effect may be restored by the local equilibrium between non-chiral edge modes\(^37\), possibly explaining the good quantization in $\sigma_{xy}$ and vanishing $\rho_{xx}$ (also $R_{xy}$) observed in our experiments. For the $(v_x, v_B) \approx (-1/2, 1/2)$ or $(1/2, -1/2)$ state, the carrier density on the top and bottom surfaces are opposite. Since $E_F$ is within the LL gap on both the surfaces, the finite residual $\sigma_{xx}$ and large $R_{xy}$ we observed are indicative of dissipative edge transport. We show a schematic energy spectrum\(^{38}\) of this $v = 0$ state with $V$ slightly smaller than $E_{0,1}$ in Fig. 4h, where the Fermi level $E_F$ resides between the $N_0 = -1$ and $0$ LL of top surface (marked in blue), thus $v_x = -1/2$, and also between the $N_0 = 0$ and $1$ LL of bottom surface, thus $v_y = 1/2$. Overall, such energy spectrum represents a $(v_x, v_B) \approx (-1/2, 1/2)$ and $v = 0$ state. The $E_F$ crosses an even number (only two shown in this illustrative example in Fig. 4h) of counter-propagating edge modes (arising from sub-bands of the quasi-1D side surface). The disorder can cause scattering and local equilibrium between the counter-propagating modes, giving rise to non-chiral dissipative transport (depicted by a series of conducting loops that can hop between adjacent ones in Fig. 4j) on the side surface with a large and finite resistance. While the energy spectrum (Fig. 4g) is expected to have a gap ($\Delta$) near the edge (due to the hybridization between top (marked with blue) and bottom (red) surface zeroth LLs and approximately the finite-size confinement-induced gap $\Delta \approx \hbar v_F / \tau \approx 10 \text{ meV}$ opened at DP of the side surface\(^{38}\)), we did not observe a truly insulating state with vanishing $\sigma_{xx}$ and diverging $R_{xy}$ (Figs 2a and 4a). This is likely due to the disorder potential (spatial fluctuation of DP\(^{28}\)) comparable or larger than $\Delta$ and thus smearing out this gap (effectively $E_F$ always crosses the non-chiral edge modes). It would be an interesting question for future studies to clarify whether the weak $T$-dependence ($T < \sim 50 \text{ K}$) of the observed conductance (Fig. 4e), similar to the behaviour reported in InAs/GaSb based electron-hole systems\(^39\), may indicate an absence of localization\(^{40–43}\) in such quasi-1D resistive edge channels.

Several recent theories have pointed out that the $v = 1/2$ $-1/2 = 0$ state in the TI QH system may bring unique opportunities to realize various novel physics. It has been suggested that both the $v = 0$ state in TI QHE and an analogous quantum anomalous Hall (QAH) state with zero-Hall-conductance plateau in a magnetic-doped TI around the coercive field can be used as platforms to observe the TME effect\(^{44,45}\), where an electric (magnetic) field induces a co-linear magnetic (electric) polarization with a quantized magnetoelectric polarizability of $\pm e^2/2h$. A zero-Hall-plateau state has been recently observed in the QAHE state in ultrathin (few-nm-thick) films of Cr$_x$(Bi,Sb)$_{2-x}$Te$_3$ at low temperature ($<1 \text{ K}$) (ref 46, 47). In comparison, our samples have much larger thickness ($> \sim 50 \text{ nm}$, suggested to be preferable for better developed TME effect\(^{45,46}\)), and our $v = 0$ state survives at much higher temperatures ($\sim 50 \text{ K}$). It has also been proposed that electron condensation and superfluidity can occur in thin 3D TIs at the $v = 0$ state in QAH regime\(^49\) (in addition to the at-zero $B$ field\(^17\)) induced by spontaneous coherence between strongly-interacting top and bottom surfaces. In future studies, much thinner samples are likely needed to investigate the possibility of such exciton superfluidity.

**Methods**

**Sample preparation.** 3D TI single crystals Bi$_2$Sb$_2$Te$_6$ (BSTS) were grown by the vertical Bridgman technique\(^1\). BSTS flakes (typical thickness $\sim 50–200 \text{ nm}$) are exfoliated (Scotch tape method) onto highly doped Si ($p$-type) substrates (with 300 nm-thick SiO$_2$ coating), and lithographically fabricated into Hall bar-shaped substrates with Cr/Au contacts. A thin flake of hexagonal boron nitride (h-BN, typical thickness $\sim 10–40 \text{ nm}$) is transferred\(^19\) on the BSTS flake to serve as a top-gate dielectric and a top-gate metal (Cr/Au) is deposited afterwards. The thickness of BSTS and h-BN flakes are measured by atomic force microscopy.

**Transport measurement.** Transport measurements are performed with the standard lock-in technique using a low-frequency ($<20 \text{ Hz}$) excitation current of 200 $\mu \text{A}$, in a helium-4 variable temperature system (with base temperature down to 1.6 K) or a helium-3 system equipped with magnetic fields ($B$) up to 18 T (down to 0.3 K).

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Author contributions

Y.P.C supervised the research. I.M. synthesized the crystals. Y.X. fabricated the devices, performed the transport measurements and analysed the data. Y.X. and Y.P.C wrote the paper.

Additional information

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