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Electric field scales at quasi-perpendicular shocks

S. N. Walker¹, H. St. C. K. Alleyne¹, M. A. Balikhin¹, M. André², and T. S. Horbury³

¹Department of Automatic Control and Systems Engineering, University of Sheffield, Mappin Street, Sheffield, S1 3JD, UK
²Swedish Institute of Space Physics, Uppsala Division, Box 537, SE-751 21 Uppsala, Sweden
³Blackett Laboratory, ICSTM, Prince Consort Road, London. UK

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Abstract. This paper investigates the short scale structures that are observed in the electric field during crossings of the quasi-perpendicular bow shock using data from the Cluster satellites. These structures exhibit large amplitudes, as high as 70 mV/m and so make a significant contribution to the overall change in potential at the shock front. It is shown that the scale size of these short-lived electric field structures is of the order of a few c/ωpe. The relationships between the scale size and the upstream Mach number and θBn are studied. It is found that the scale size of these structures decreases with increasing plasma β and as θBn → 90°. The amplitude of the spikes remains fairly constant with increasing Ma and appears to increase as θBn → 90°.

Key words. Magnetospheric physics (electric fields) – Space plasma physics (electrostatic structures: shock waves)

1 Introduction

The interaction between the electromagnetic field and particles in a collisionless shock replaces the role played by collisions in a normal hydrodynamics. The spatial scales of the electric and magnetic fields determine the type of interactions (e.g. adiabatic, etc.) that take place between the incoming plasma particles and the shock front. The magnetic field structure of the terrestrial bow shock has been intensely studied since it was first observed back in the 1960s. In particular, the spatial scales of its various regions have been comprehensively investigated (Balikhin et al., 1995; Farris et al., 1993). Typically, the scale sizes of the foot and overshoot regions are of the order of c/ωpi and 3c/ωpi, respectively. The ramp scale has been estimated to be less than an ion inertial length with reports of one or two shocks whose ramp scale was of the order 0.1 c/ωpi (Newbury and Russell, 1996; Walker et al., 1999).

In contrast, there have been few reports regarding the scale lengths of features observed in the electric field at quasi-perpendicular shocks. The scale size over which the potential varies at the front of a quasi-perpendicular bow shock is an issue that requires resolving in order to gain a full physical understanding of the processes that are occurring. An example of one such process is the energisation of pickup ions at quasi-perpendicular planetary and cometary bow shocks as well as the termination shock. Several different points of view have been published on the relationship between the scale size of the magnetic ramp and that over which the change in potential is observed. Some studies (Eselevich et al., 1971; Balikhin et al., 1993; Formisano and Torbert, 1982; Formisano, 1982, 1985; Balikhin et al., 2002; Krassnoshchikov, 1985; Leroy et al., 1982; Liewer et al., 1991; Scholer et al., 2003) have proposed that the spatial scale of electrostatic potential is of the same order or smaller than that of the magnetic ramp under some conditions. Such shocks have been observed in numerous experimental and numerical studies of quasi-perpendicular supercritical shocks. On the other hand, Scudder (1995) supports the view that the potential scale length is larger than that of the magnetic scale length.

Actual measurements of the electric field variations within the bow shock are very sparse. The main reason for this is due to the difficulties encountered when making electric field measurements. Only a small number of space-based measurements of the electric field measured during the passage of a crossing have been reported. Initial results from ISEE (Heppner et al., 1978) reported observations of a short-lived spike in the electric field. However, being short-lived, these features were not observed at every shock crossing. Subsequent investigations by Wygant et al. (1987) have shown the existence of spike-like features in the electric field, both at the shock ramp and in the region just upstream. From the study of spin averaged ISEE-1 data, Formisano (1982) determined that the increase in the observed E-field intensity began just upstream of the magnetic ramp and lasted longer than the ramp crossing itself. Whilst the E-field intensity in
the regions upstream and downstream of the shock could be interpreted as due to the \( \mathbf{V} \times \mathbf{B} \) motion of the plasma, the enhancement observed during the shock crossing must be due to the processes occurring within the shock itself.

Laboratory experiments (Eselevich et al., 1971) have shown that for viscous shocks the change in potential measured across the shock shows the greatest change within the magnetic ramp region. Figure 1 is a sketch (based upon the experimental results of Eselevich et al., 1971) of the change in the magnitude of the magnetic field and the accompanying change in the electrostatic potential.

Using data generated from numerical simulations, Lembège et al. (1999) analysed the scale size of both the magnetic ramp region and the region in which the change in potential was observed. Their results showed that the scale lengths were of the same order, i.e. \( L_B/\phi \approx L_\phi \). This view is supported by the simulations of Scholer et al. (2003). The latter authors also show that during the shock reformation process, the main potential drop occurs over several ion scales in the foot region and the steepened magnetic ramp region also contributes a significant fraction of the change in total potential over much smaller scales, typically 5–10 Debye lengths.

From Fig. 1 it can be seen that there are two different length scales that may be associated with the change in the electrostatic potential as the shock is crossed. The first, indicated by the lightly shaded bar at the foot of the plot, shows that overall the potential changes on scales similar to that of the magnetic ramp region are in agreement with the results of Lembège et al. (1999). This corresponds to an enhancement of the electric field observed as the shock is crossed. The second scale, indicated by the darkly shaded bar, corresponds to a region within the shock front in which a large increase in the potential is observed over a short time period. Such changes in the potential result from a large amplitude spike, such as features in the electric field.

This paper reports the results of a study of the large amplitude, short duration features in the electric field observed by the Cluster satellites during a number of crossings of the quasi-perpendicular bow shock. These features contribute significantly to the overall change in potential observed at a shock crossing but their short duration implies that they are very localised. Their scale size amplitudes are determined. These parameters are then studied in relation to the upstream shock parameters. The structure of the rest of this paper is as follows. Section 3 describes the electric field variations for two crossings, one typical, one not. Section 4 investigates statistically the relationship between the electric field signatures and various upstream parameters.

2 Data

The data used in this investigation were collected by the Cluster-II satellites as they crossed the terrestrial bow shock during the first half of April, 2001. The electric field measurements were made by the Electric Fields and Waves (EFW) instrument (Gustafsson et al., 1997). The EFW instrument uses two pairs of spherical probes with a probe separation 88m to measure the two components of the electric field that lie in the spin-plane of each of the Cluster satellites. These data have then been despun to remove most artifacts due to the spin of the satellites. In the despun coordinate system, the Z axis lies along the spin axis of the satellite whilst the X axis lies in the plane containing the spin axis and the sun vector. Since the GSE latitude of the spin vector is \( \sim -84^\circ \) the despun system is almost coincident with an inverted GSE frame. For the shocks presented here the sampling rate of the data is 25 Hz with a 10 Hz anti-aliasing
filter. It should be noted that whilst the spin component has been removed the data still contains some artifacts that are due to the individual probes passing through the wake of the satellite. These effects show up in the data as peaks in the electric field occurring at a frequency corresponding to twice the spin period. This effect is most prominent in the solar wind. This implies that the estimate of the actual solar wind electric field in the satellite spin plane will be overestimated. Magnetic field data from the fluxgate magnetometer (FGM) (Balogh et al., 1997), have been used to identify the shock regions and therefore, put the electric field observations into context. These data have a sampling frequency of 5Hz. The upstream plasma density was calculated from the plasma line observed in the WHISPER (Décroë et al., 1997) spectra.

### 3 Shock crossings

In this section the analysis of two example shocks is described. A total of 54 shock crossings, occurring on 11 separate days were investigated but not all could be analysed fully for various reasons, such as unavailability of certain data sets, or the accuracy of the shock normal.

#### 3.1 Shock 1: 31 March 2001, 17:18 UT

The first shock crossing discussed occurred on 31 March 2001 at around 17:18 UT. At this time, the satellites were on the outbound leg of their orbit, at a position (9.4, 1.4, 9.0) \( R_E \) GSE and travelling at around 2 kms\(^{-1}\). The satellite tetrahedron configuration is shown in Fig. 2 and is fairly regular in nature with an elongation \( e=0.12 \) and a planarity \( p=0.23 \). It should be noted that on this day the conditions in the solar wind were somewhat abnormal due to the passage of a CME. Measurements in the solar wind by Cluster indicated that the magnitude of the magnetic field was of the order of 30 nT, the normal for this shock (based upon FGM crossing times) is \( n_B=(0.94, -0.17, 0.293) \) (in the GSE frame), and the shock velocity was determined to be 48.92 kms\(^{-1}\). Other relevant parameters are \( \theta_Bn\approx87^\circ \) and a density \( n\approx19\text{ cm}^{-1} \). The high value of the field resulted in an unusually small \( \beta\approx0.07 \). The Alfvén Mach number for this shock (\( M_a\approx3.6 \)) lies close to the First and Second Critical Mach numbers and therefore the state of the solar wind would lead to favourable conditions for the formation of quasi-electrostatic sub-shocks at the shock front (Balikhin et al., 2002). Alfvénic Mach numbers are quoted rather than Magnetosonic Mach numbers, as
it is felt that the Alfvén Mach number was more trustworthy. In the plasma regime being considered, the plasma beta is usually small and so the two Mach numbers have similar values. As a result, the Alfvén Mach number will be a very good approximation to the Magnetosonic Mach number, especially in the current case being considered which, as has already been mentioned, has a very low value of $\beta$.

Figure 3 shows an overview of the magnetic and electric field measurements made by FGM and EFW, respectively, during this shock crossing. The top panel shows the magnitude of the magnetic field measured by FGM. Initially, all four Cluster spacecraft are in the solar wind just upstream of the outward moving bow shock which subsequently swept over the satellites in the order C4 (17:17:43.5), C2 (17:17:45.5), C1 (17:17:48.5), and finally C3 (17:17:53.5). The magnetic field profiles show a set of clean shock crossings that possess clearly discernible foot, ramp and overshoot regions. The second panel shows the magnitude of the electric field measured by EFW in the spin plane of each satellite. In the solar wind, the typical magnitude of the electric field is around $14\,\text{mVm}^{-1}$ in the satellite spin plane. It is possible to estimate the $E_z$ component of the upstream electric field, assuming that $\mathbf{E} \cdot \mathbf{B} = 0$. This assumption is valid for estimating the field upstream and downstream of the shock but not within the shock region itself. Upstream of the shock, $E_z \approx 5\,\text{mVm}^{-1}$. This value is higher than the measured $E_x$ component ($\approx 2.5\,\text{mVm}^{-1}$) and less than the $E_y$ component ($-13\,\text{mVm}^{-1}$). Comparing the top two panels it can be seen that the disturbances measured in the electric field begin in the foot region of the shock and continue until the satellites are downstream of the overshoot/undershoot. These general disturbances have amplitudes generally in the range $5$–$30\,\text{mVm}^{-1}$. During their crossings, each of the satellites recorded a number of large amplitude, short duration features in the electric field. The largest of these spikes have maximum amplitudes of approximately $30$, $40$, $60$, and $65\,\text{mVm}^{-1}$ for satellites 1, 2, 3, and 4, respectively, above the field measured in the solar wind just upstream of the shock front. These values represent lower limits of the strength of the electric field, since the component perpendicular to the spin plane is not considered. They are seen to occur within the ramp region but there is no strong feature within the FGM data with which they correlate. It is also observed that the largest electric field peaks observed
on each satellite appear to occur in pairs which may suggest field rotation. The two lower panels show the components of the electric field measured in the satellite spin planes. Both panels show that the components of the field exhibit a twin peaked structure, similar to that observed in the field magnitude and that the direction of rotation is the same for both peaks. Thus, the overall structure is not due to a single rotation of the field. A more in-depth study of the fields is beyond the scope of the current paper and will be dealt with in a future publication. This report limits itself to a statistical study of the widths of these short-lived, large amplitude features.

Using the four-point measurements it is possible to determine the occurrence time of these peaks in the electric field and hence compute a normal. Examining the \(E_x\) component, the time differences between the observations of the first peak in the electric field are \(\Delta t_{12} = -3.01\) s, \(\Delta t_{13} = 5.03\) s, and \(\Delta t_{14} = -5.35\) s. When coupled with the respective positions of the satellites this yields a normal direction \(\mathbf{n}_E = (0.946, -0.155, 0.283)\) and a velocity of \(\approx 50\) km s\(^{-1}\).

The difference between this normal \(\mathbf{n}_E\) and that determined from the magnetic field \(\mathbf{n}_B\) is less than a degree. Thus, it appears that the electric field spikes correspond to layers within the overall shock structure.

Figure 4 shows the results from Cluster 1 in greater detail. The magenta line shows the magnitude of the magnetic field. The foot region was entered around 17:17:47.3 UT whilst the shock ramp was crossed between 17:17:48.3 and 17:17:48.9 UT. Several large spikes in the electric field are observed in the region of the foot and shock ramp. The three largest occur around 17:17:48.2 (20 mV m\(^{-1}\)), 17:17:48.5 (30 mV m\(^{-1}\)), and 17:17:48.6 (15 mV m\(^{-1}\)). Their short duration implies that their scale size is of the order of \(3-5c/\omega_{pe}\). The black line in Fig. 4 represents an estimation of the electrostatic potential measured in the normal direction. This was calculated by removing an average of the field measured in the region just upstream of the shock from the field measured within the shock region and then integrating the projection of this electric field along the normal direction. Whilst the actual potential cannot be calculated due to the incomplete vector measurements, it can be estimated by assuming that the field perpendicular to the spin plane \(E_z = 0\). This assumption is valid because for this particular shock, the normal lies close to the spin plane. This calculation can be used to show that the largest jumps in the potential coincide with the spikes observed in the electric field and that these occurrences contribute a significant fraction of the total potential change observed at the shock. During this period, the electric field enhancements contribute around 40% of the total change.

**3.2 Shock 2: 5 April 2001, 20:25 UT**

The second shock crossing presented is the one that occurred around 20:25 UT on 5 April 2001. At this time the satellites were moving on an outbound trajectory, situated at a position \((14.8, -7.5, -6.7)R_E\) GSE and travelling at \(\approx 1.3\) km s\(^{-1}\). The configuration of the tetrahedron is shown in Fig. 5 and has a variance ellipsoid shaped like a thick pancake (elongation factor 0.31, planarity of 0.65). The separation distances of the satellites were between 377 and 1150 km. For this particular shock the normal direction, as determined from the crossing times in the FGM data set, was \((0.948, -0.292, 0.129)\) in the GSE frame and the shock normal velocity \(-49\) km s\(^{-1}\). The upstream density, determined from the electron plasma emissions observed by WHISPER, was 4.8 cm\(^{-3}\). This value was then used to compute the upstream ion and electron inertial lengths \(c/\omega_{pi} \approx 103\) km and \(c/\omega_{pe} \approx 2.4\) km respectively. The magnitude of the upstream magnetic field was determined to be \(8.3\) nT, \(\theta_B \approx 85^\circ\), \(\beta \approx 0.2\), and Alfvén Mach number \(M_a \approx 6\). The upstream electric field amplitude in the spin plane is \(\approx 1\) mV m\(^{-1}\). As in the previous example the \(E_z\) component may be estimated assuming \(\mathbf{E} \cdot \mathbf{B} = 0\). This results in a value \(E_z \approx -3.6\) mV m\(^{-1}\) which is substantially larger than both the \(E_x (-0.9\) mV m\(^{-1}\)) and \(E_y (0.3\) mV m\(^{-1}\)).

Figure 6 shows an overview of the electric and magnetic fields measured during this shock for all four Cluster spacecraft. The top panel shows the magnitude of the magnetic field as measured FGM on each of the four spacecraft with satellite 1 shown in black, 2 in red, 3 green, and 4 blue. At the beginning of the period the quartet was in the magnetosheath. The magnetic ramp region of the shock was first encountered by Cluster 3 (green) at around 20:25:08 UT, followed by satellites 4 (blue) and 1 (black) \((\approx 20:25:15)\), and finally satellite 2 (red) at 20:25:17. Upstream of the ramp, the four shock crossings show quite different foot regions. Cluster 4 exhibits a fairly smooth foot region whose magnetic field is not very different from that observed further upstream of the shock. Cluster 1 shows a clear foot at the base of the ramp. Satellites 2 and 3 encountered the main ramp region at around 20:25:17 and 20:25:08.8, respectively.
the upstream side of the ramps the foot region has evolved into a large amplitude nonlinear wave.

During the period when the satellites encountered the shock front all four satellites recorded an enhancement in the electric field. Satellites 1 and 4 show enhanced electric field fluctuation levels throughout the whole shock region from the overshoot/undershoot to the foot, similar to the results presented for the first shock discussed above. In the case of satellite 3, the electrostatic wave activity is limited to the downstream, ramp and nonlinear wave regions of the shock front where as that for satellite 2 appears to continue into the region upstream of the shock.

Within the shock region there are periods in the amplitude where the electric field increases by typically $>7 \text{ mV m}^{-1}$ for short time of $\approx 100$–$300 \text{ ms}$. The largest of these spikes are confined to the ramp and foot regions or the nonlinear wave in the case of satellite 3. This corresponds to a spatial scale for these features of $2$–$4 \frac{c}{\omega_{pe}}$.

The lower two panels show the $E_x$ and $E_y$ components of the electric field measured in the satellite spin plane. The large amplitude features observed in the field magnitude correspond to pronounced fluctuations in the components. This is most clearly observed in the $E_y$ component. If the times corresponding to the major fluctuations in $E_y$ are determined for the ramp regions in which the largest changes in $|B|$ are observed, then the resulting normal direction lies along that determined from the FGM data to within a degree.

Figure 7 shows the magnitude, and $E_x$ and $E_y$ components of the electric field measured by Cluster 3 (red, blue and cyan, respectively), together with the magnitude of the magnetic field (magenta). In the upstream region, the magnitude of the electric field is measured in the spin plane of each satellite. The yellow regions highlight the times when the largest electric field spikes are observed. It is clear to see that they occur within the ramp regions. The black line represents an estimation of the shock potential along the shock normal. Since the vector measurements are incomplete, the true potential will be underestimated. However, since the normal direction lies within $10^\circ$ of the spin plane it may be assumed that this is a reasonably true estimate of the actual value. During this period, the electric field enhancements contribute around $50\%$ of the total change.

It is clear from Fig. 7 that noise generated by the probes as they pass through the satellite wake is not the cause of the spikes that occur in the electric field, because of their timing. Also, the amplitude increases as the satellite passes from the shock front into the solar wind. A second possible cause of the large amplitudes, namely the convection $\mathbf{V}_{\text{shock}} \times \mathbf{B}$ electric field may also be ruled out since there is no evidence for short period structures within the magnetic field and also

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**Fig. 6.** Overview of the shock crossings on 5 April 2001 at 20:24 UT. The top panel shows the magnitude of the magnetic field as measured by FGM. The lower panels show the electric field magnitude and components measured in the spin plane of the satellite.
Fig. 7. The magnitude (red), and $E_x$ (blue) and $E_y$ (cyan) components of the spin plane electric field measured by Cluster 3 on 5 April 2001 around 20:25 UT. The yellow regions highlight the periods when large amplitude short duration spikes in the electric field are observed. The black line (Y scale of RHS) represents the change in potential within the shock.

4 Results

The preceding sections have presented evidence for localised increases in the electric field strength measured as the satellite traverses a quasi-perpendicular bow shock. All shocks analysed show evidence for an enhancement in the background electric field. In most cases, the region in which this field enhancement occurs lasts longer than the crossing of the magnetic ramp. The field typically increases of the order of 1–3 mV\text{m}^{-1} above that measured in the solar wind. However, as has been noted above, the turbulence in this region is dominated by spike-like fluctuations lasting a few milliseconds and with magnitudes of typically 4–20 mV\text{m}^{-1} with a maximum magnitude of the order of 70 mV\text{m}^{-1}. This existence of large gradients in the electric field has repercussions for processes involved in the heating of electrons. In the presence of electric field gradients the electron gyration frequency can deviate from its classically calculated value, leading to an increase in its Larmor radius and the possibility of a breakdown in adiabaticity (Balikhin et al., 1998).

Having shown that the spikes observed in the electric field at the front of a quasi-perpendicular shock appear to be physical structures that form a layer within the shock front, as opposed to being the result of noise in the data or motion of the shock, a statistical study of these features was performed to investigate their relationship to the properties of the shock front. In the rest of this section statistics collected from a number of such spike-like features are presented.

4.1 Scale size

Figure 8 shows the distribution of the scale sizes determined from the event duration and the shock velocity of these features in terms of the electron inertial length. The scale size of these events will be unaffected by the incomplete vector measurements of the electric field. The vast majority have scale sizes of the order of $1\text{–}5\ \text{c}/\omega_{pe}$. The data that form tail of the distribution at longer scale sizes typically comprise events that have a multi-peak structure. These type of events...
Fig. 8. Histogram of the scale sizes for the spike-like enhancements observed during a number of crossings of the quasi-perpendicular bow shock.

Fig. 9. Dependence of scale size on upstream Mach number.

represents an upper limit to the scale size of these short-lived events. In comparison, the typical scale of the magnetic ramp is characterised by the ion inertial length (Newbury and Russell, 1996), although these authors also report one particular shock as having a ramp scale as small as $0.05 \, c/\omega_{pi}$ or $2 \, c/\omega_{pe}$.

Figure 9 shows the relationship between the Mach number and scale size of the spikes observed in the electric field. From the figure, it is clear that the scale size has an upper limit that increases as the Mach number decreases.

Figure 10 shows a scatter plot of the relationship between $\theta_{Bn}$ and the scale size of the electric field enhancements. In general, there appears to be a broad range of scales. However, as $\theta_{Bn} \rightarrow 90^\circ$ the scale length decreases. For the shocks analysed with $\theta_{Bn} \approx 90^\circ$ the scale lengths are of the order of $2 \, c/\omega_{pe}$. This compares favourably with theoretical estimates that for perpendicular shocks the scale width is estimated to be of the order of the electron inertial length, as proposed by Karpman (1964).

4.2 Amplitude

The examples presented above show that the increase in the electric field ($\Delta E = E_{\text{spike}} - E_{\text{upstream}}$) observed during encounters with these spike-like structures varies between 4 and 70 mVm$^{-1}$ above the average field that is measured in
the solar wind just upstream of the shock. In this section the relationship between this change ($\Delta E$) and the shock Mach number, and the angle $\theta_{Bn}$ is investigated.

Figure 11 shows a scatter plot of the peak amplitude observed in the electric field spike event ($\Delta E$) as a function of the shock Mach number $M_a$. For shocks whose Mach number $M_a > 5$ there is a fairly constant trend in which $\Delta E < 15 \text{ mV m}^{-1}$. In the Mach number range $3 < M_a < 5$ the range of observed amplitudes varies between 5 and 60 mVm$^{-1}$. It appears that in this Mach number range the structure of the shocks may differ markedly from those with a higher Mach number. The red crosses highlight the shocks observed on 31 March 2001. All of these shocks fall into this range of Mach numbers. This set of shocks have been shown to possess Mach numbers that lie between the First and Second Critical Mach numbers. As a result, their structure resembles that of viscous electrostatic sub-shocks. Sub-shocks are a class of shocks that occur when the Mach number of the shock lies in the small range between the first and second critical Mach numbers. As a result, resistive processes cannot provide all of the necessary dissipation since the shock Mach number is greater than the first critical Mach number and a reflection shock can not be formed since the shock Mach number is less than the second critical Mach number. The additional dissipation is provided by viscous processes such as the ion sound or other plasma mode. A characteristic signature of sub-shocks is the occurrence of small scale electrostatic fluctuations, such as those observed on this particular day. The scale of the electrostatic fluctuations will be determined by the particular plasma mode operating. Ion sound sub-shocks have been observed in laboratory plasmas with scales of $\approx 100$ Debye lengths. For the shocks observed on 31 March 2001, the observed shock scale is closer to the characteristic scale of the fast magnetosonic mode (Balikhin et al., 2002).

The relationship between $\Delta E$ and $\theta_{Bn}$ is shown in Fig. 12. It clearly shows that as $\theta_{Bn} \rightarrow 90^\circ$ the range of the observed amplitudes of the electric field spikes increases.

5 Conclusions

In this paper we have looked at the changes observed in the electric field during the crossing of a number of quasi-perpendicular bow shock crossings. It has been shown that the electric field is enhanced during the crossing of the shock and that the scale size over which this enhancement is observed is larger than that of the magnetic ramp region. Within the shock region, short-lived electrostatic structures are observed. The scale size of these structures is of the order of a few $c/\omega_{pe}$ and was shown to decrease as $\theta_{Bn} \rightarrow 90^\circ$ which compares favourable with theoretical estimates. The amplitudes of these structures are typically of the order of 5–20 mVm$^{-1}$ but under special circumstances may reach as high as 70 mVm$^{-1}$. The highest amplitudes appear to be observed for shocks whose Mach number is in the range 3 to 5. This may be an indication that such shocks are actually quasi-electrostatic sub-shocks. It was also demonstrated that these small-scale structures make a substantial contribution to the overall change in potential observed across the shock and that the potential change is not linear.

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