Research Article

Surgical Management of Fractures in Vertebrae with Ankylosing Spondylosis- A Systematic Review with Newer Principles of Management

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Received: 19 July 2021; Accepted: 26 July 2021; Published: 16 August 2021

Citation: Suhasish Ray, Jha D K, Bikramjit Gayen. Surgical Management of Fractures in Vertebrae with Ankylosing Spondylosis- A Systematic Review with Newer Principles of Management. Journal of Spine Research and Surgery 3 (2021): 081-090.

Abstract

Ankylosing Spondylosis denotes seronegative arthritis that causes inflammation and eventual fusion of the spine and the spinal joints. Involvement of peripheral joints and extraarticular manifestations, it includes-Ankylosing spondylitis (AS); (DISH); End-stage spondylosis multiform (EASM); Ossified posterior longitudinal ligament (OPLL). The prevalence ranges from 0.1 to 1 percent of the population, M>F, between 15- 40 years; 95% share the genetic marker HLA-B27. Numerous bony changes to spine include-Intraosseous bone loss; erosion; sclerosis; fractures; extra osseous squaring; syndesmo and enthesophytes. Incidence of ASVF is 3.5 times more than in general people, 75% in the cervical spine followed by thoracic and lumbar spine. There is 11 times more chance of Spinal cord injury than in general. Mean age of fracture is 63.4 years. Treatment is challenging due to kyphosis, osteoporosis, associated comorbidities and
cardiopulmonary restrictions. Treatment goals are to reduce or prevent inflammation, ankylosis, abnormal posture. The principle is to treat the fracture as long bone osteoporotic diaphyseal fracture. Apart from 360° fracture fixation with long construct, MIS surgery, other fracture managements in AS are deformity correction, laminectomy, laminoplasty, rhizotomy, neuromodulation. Complication rates are high, wound infections up to 16%, pulmonary complications up to 63%, 23% mechanical complications among various reports. A standard guideline should exist for ASVF workup, management and complications appraisal.

**Keywords:** Ankylosing Spondylitis; Vertebral Fracture; Anteroposterior Fixation; Wedge Osteotomies; Significant Complication

1. Introduction

1.1 Vertebral fracture in ankylosing spondylitis

ASVF increases as the clinical consequences aggravates in spine deformities (hyperkyphosis) and complications are higher (Geusens et al. 2007). In a study in France VF in AS was found as high as 17% [1]. Low energy trauma predispose to ASVF due to change in matrix composition, bone structure changes and ossification of the ligaments and surrounding soft tissues which become ossified. These fractures are hence unstable and susceptible to neurological deficit [2, 3]. Complication rates are high with 51% in AS patients, overall mortality rate within 3 months period being 17.7% in AS patients. 8-13% of patients have multilevel fractures. Spinal cord injury is 11 times more than in general population [4]. The average age of fracture is 63.4 yrs [5] [Figure 1 and 2].

![Figure 1 and 2](image)

Figure 1 and 2: Preoperative Tomography and MRI showing acute vertebral fractures in AS vertebra.

1.2 Management of vertebral fractures in ankylosing spondylitis

The management would be divided into medical and surgical managements. Protected transfers are essential to prevent secondary neurological insult. Conservative methods, including immobilization by a Halo vest and prolonged bed- rest in traction or a collar, have been associated with a high rate of complications. With advances in care and surgical technique, there is a rising trend to surgery.

2. Methods

2.1 Conservative treatment

Conservative methods are chosen in 46% people because of high anaesthetic risk, patients undergoing refusal to surgery [5]. There is a high risk of fracture displacement, worsening neurological status and a high rate of non union in conservative approach than in surgery [5]. Conservative care includes bed rest, roto rest bed, Halo traction, collars and braces for cervical fractures [6]. Customised body braces in kyphosis rarely
maintain the preinjury contour and neurological status. Regular follow up must be done not without downhill complications.

2.2 Surgical principles
ASVF is similar to long bone fracture where the principle is multilevel fixation. Elective operation is planned whereas in neurological deficit and displacement should be operated as an emergency.

2.3 Preoperative traction and positioning
5-20 lbs of skull traction is required for cervical displacement. The traction direction should be such to put it in prefracture alignment. Hyperextension should be avoided to avoid neurological deficit. Intubation is a difficulty, armoured tubes are mostly used and often awake fibre optic intubation. Intraoperative neuromonitoring is a must, Mayfield traction clamps or similar devices must be used for cervical spine and thoracic spine.

2.4 Anterior vs posterior vs circumferential stabilization
Anterior access is less traumatic, minimizes the risks of displacement during positioning, provide immediate stability and a greater surface area for bony fusion and has less incidence of postoperative infections. Anterior approach biomechanically is less stable due to vertebral body osteoporosis. Failure rate is 50% or more. Posteriorly spinal alignment is restored, stabilized well as the pedicle is the last part of the vertebra to be weakened, and broad decompression can be achieved of the neurological elements. Posterior fixation of multiple segments with autoharvested corticocancellous bones as graft give more biomechanical strength over anterior approach. Less muscle dissection, less bleeding, lower morbidity thus better wound healing and less chance of pseudarthrosis.

In addition, a fusion of the posterior elements may make localization of the anatomic landmarks difficult which can lead to pedicle fracture, neurodeficit, and vertebral artery injury. The anteroposterior or the circumferential approach is the current treatment of choice in cases with marked three column instability [7]. It is used in approximately 25% of ASVF cervical spine fractures. The primary indication of adding an anterior approach to posterior surgery is the presence of a persistent deformity, gap or displacement that is compromising the spinal cord following posterior instrumented reduction. Combined anteroposterior approach has longer surgical duration, higher anesthesia, higher morbidity, infection and hospital stay. We would recommend a combined approach for unstable fractures (translation, distraction, or angulation) and fractures with anterior gap. Whatever be the approach there must be increasing point of fixation, larger bore screw, augmented screws or dual core or convergent screws. Bivortical purchase is imperative.

2.5 Role of fusion in ASV fracture
Spinal fusion approaches for ASVF depend on the location of the fracture and can include posterior spinal fusion (PSF), anterior-posterior spinal fusion (APSF), and anterior spinal fusion (ASF). With the advent of new instrumentation and techniques, trends of approaches have changed [Figure 3 and 4].

2.6 Minimally invasive stabilization in ASVF
Minimally Invasive Fixation and Stabilization is to be used in ASVF with good neurological status, in DISH patients, less or no displacement and green stick fractures. Limited operation scars, morbidity and postoperative status. It is approach of choice in old age,
higher morbidities, greater risk of operation, lesser operation time, and earlier mobilization Krüger et al. [8] reported improved patient outcomes with closed reduction and MISS dorsal instrumentation [Table 3].

2.7 Osteotomies in deformity correction in ASV fracture

Extreme kyphosis in neglected fracture or pseudoarthrosis inability to look straight is sine qua non of osteotomy correction in ASVF before instrumentation and stabilization. Correction of thoracolumbar kyphotic deformities (TLKDs) in this patient population. With regard to options for the surgical treatment of TLKDs, the two best described techniques are the pedicle subtraction osteotomy also known as Closed wedge osteotomy and the opened wedge osteotomy (OWO) or a modified Smith—Petersen osteotomy (Figure 5 and Figure 6) [9-12].

2.8 Radiofrequency rhizotomy

Radio-frequency rhizotomy is a technique of pain modulation minimally invasive under IITV, contrast and nerve locator control. It uses heat to ablate and stop transmission of pain along the nerves. In chronic pain, sacroilitis, non surgically non displaced non Neurological deficit impinged and painful nerves. Avoids surgery, immediate pain control, early recovery, less need of pain medicines early rehabilitation and mobilization.

2.9 Outcome measures

Operative characteristics that were evaluated included surgical approach (PSF, APSF, and ASF). Major in-hospital complications, potentially long-term sequelae, implant status, post operative rehabilitation, and mobilization.

2.10 Statistical methods

Statistical analysis was performed using software SPSS IBM Ver. 22.0 criterios incomplementing surgical approach, complications, sex Race, Hospital stay. Analysis by Pearson's chi square d test, Analysis of Variance model was used for comparing age, hospital stay, total hospital costs between three surgical approaches. P< 0.05 was considered significant.

![Figure 3](multicentric_data-departments_of_orthopaedic_and_spine_surgery_multicentre_trends_in_cervical_fusion_from_2003-2014_in_cervical_spine_fractions_in_as_vertebra_asf-posterior_spinal_fusion;_psf-posterior_spinal_fusion;_apssf-antero-posterior_spinal_fusion)
Figure 4: Multicentric Data - Departments of Orthopaedic And Spine Surgery. Multicentre trends in thoracolumbar fracture in AS vertebra. Multicentre trends in thoracolumbar fusion following TL fracture in AS vertebra.

Figure 5: Asymmetrical spinal osteotomy consisting of pedicle subtraction and opening wedge osteotomy performed at L3, correcting both coronal and sagittal plan deformity.

Figure 6: Comparison between Closing vs Opening wedge osteotomy. N=22 2 yrs f.u. Clinical outcomes in pain; ODI; quality of life (Arun et al 2011).
Figure 7: A heating current is passed through an electrode to destroy the medial branch of the sensory nerve to block the transmission of pain signals.

3. Result
Spinal fusion surgeries increased significantly (P<0.01). Cervical fusion results were non significant (P>0.05). However, there was a shift in the surgical approaches for cervical fractures. PSF increased by four fold while ASF surgeries increased 3.8 fold. Thoracolumbar fracture operation increased significantly (P<0.01) [Figure 3 and 4].

3.1 Complications
Compared to the healthy general population, the morbidity of ASVF is 3.5 times higher [13]. Commonest causes being acute post fracture stage and cardiopulmonary failure. Visceral injuries may occur, intrathoracic complications, aortic laceration and dissection has been multiply quoted. Loss of reduction, pseudarthrosis, nonunion and neurological deterioration have been reported after nonoperative treatment, which often leads to secondary surgery [14, 15]. A standardized workup before decision making, techniques, meticulous planning is required [Table 1 and 2].

Westerveld et al. noted 77.5% of fractures were located in the subaxial cervical spine [15]. Surgical treatment offered fusion strength, improvement in neurological conditions compared to non surgical management. For Thoracolumbar fracture fixation should be at least three levels above and below [16, 17]. MISS techniques are used for comorbidities, old, frail and fast surgeries in ASVF. We argue MISS is advantageous in Thoracolumbar ASVF than open techniques especially long pedicle screw construct [19]. But this is not so in cervical spine where careful location and deformities have to be taken accounted for Werner et al. [20] stated posterior spinal fixation or anteroposterior spinal fixation is better in cervical spine because anterior spinal fixation is associated with higher failure rate. Though Anterior spinal fixation in cervical spine is still popular.

While APSF (55.6%) was most frequently used in 2003, PSF (46.7%) and ASF (31.7%) is popular in 2014. A higher prevalence of pulmonary disease is often seen in AS patients due to restrictive ankylosis of the thoracic
cage [21, 22, 23]. There was significant association between both cervical ASVF and Thoracolumbar ASVF with highest rate of pulmonary complications. This significant findings will assist surgeons to choose a treatment protocol. Overall, complication risks ranged from 0 to 16.7% in the Closing Wedge Osteotomy group and from 0 to 23.6% in the Open Wedge Osteotomy group across the four studies [24-27]. The risks of dural tear in the closing versus the opening wedge groups. Paralytic ileus occurred consistently less often in the CWO (0 to 5.9%) versus the OWO (10.5 to 16.7%) The risk of superficial infection following CWO ranged from 2.0 to 8.3% compared with 0 to 1.5% following OWO as reported by two studies [24-25]. Neurological injuries. Radiofrequency rhizotomy has complaints like neuritis, neuroma, increasing pain.

| Post surgical Issues | PSF (%) | APSF (%) | ASF (%) | P value |
|----------------------|---------|----------|---------|---------|
| **Cervical complications** | | | | |
| Pulmonary            | 30      | 45       | 35.5    | 0.01    |
| Cervical spine related | 11.3    | 17.56    | 17.7    | 0.12    |
| UTI                  | 12.8    | 6.1      | 9.7     | 0.12    |
| Implant related      | 2.3     | 6.1      | 3.2     | 0.14    |
| Thromboembolic       | 3.8     | 7.6      | 4       | 0.23    |
| Cardiac              | 13.2    | 10.7     | 8.1     | 0.32    |
| Infectious           | 5.3     | 3.8      | 7.3     | 0.49    |
| Neurological         | 0.38    | 0.76     | 0.81    | 0.62    |
| Renal                | 7.9     | 9.2      | 7.3     | 0.85    |
| Incidental Durotomy  | 0.75    | 0        | 0       | 0.99    |
| Died                 | 7.1     | 9.9      | 13.7    | 0.11    |
| Total complications   | 50.4    | 58       | 53.2    | 0.36    |
| Blood transfusion     | 22.6    | 18.3     | 7.6     | <0.01   |

**Table 1:** In house complication rates in different cervical spine fusion surgeries following ASVF. UTI=Urinary tract Infection.
### Table 2: Inhouse complication rates in different thoracolumbar spine fusion surgeries following ASVF.

| Parameter                          | Average value (range) or % |
|------------------------------------|-----------------------------|
| Age at Surgery (yrs)               | 77 (52-88)                  |
| Male                              | 45%                         |
| ASA grade                         | 3                           |
| Low impact mechanism              | 45%                         |
| BMI                               | 34 (20.4-44.5)              |
| No. of segments fused/incorporated| 7 (6-10)                    |
| Operative time (minutes)          | 227 (79-449)                |
| Blood Loss (ml)                   | 251 (25-900)                |
| Post operative LOS (days)         | 14.4 (4-60)                 |
| Postoperative ODI                 | 21.5% (0-34%)               |
| Postoperative EQ-5D               | 0.77 (0.60-1.0)             |
| Follow up time (months)           | 28 (5-58)                   |

### Table 3: Patient reported outcomes in MIS Surgeries for ASVF. ASA- American Society of Anesthesiologists; BMI- Body Mass Index; LOS- length of stay.; ODI- Oswestry Disability Index; EQ-5D-European Quality 5 D.

### 4. Conclusion

Fractures are a serious complication of AS and patients are more prone to develop neurological deficits. Most often, the underlying mechanism of injury is a small magnitude force. Nonsurgical treatment has largely been replaced by surgical treatment in view of the significant risk for secondary loss of reduction and neurological aggravation along with pulmonary and decubitus complications. It can be anterior, posterior (most commonly performed), or combined depending upon the location and pattern of injury. We found that surgical treatment has been growing in popularity for thoracolumbar fractures but staying consistent for cervical fractures in AS patients.

Patients subjected to Anteroposterior spinal fixation has higher cardiopulmonary complications. However, surgical management does not change the inherent complication rates and mortality risks which are largely dependent on the initial injury severity and comorbid conditions. Choice of osteotomy depended on predetermined patient characteristics (e.g., significant...
aortic atherosclerosis, severe osteoporosis, ossification of the longitudinal ligaments) in two studies resulting in potential confounding by indication in these cases [25-27]. The authors feel that the incidence of this complication in association with OWO was minimized due to a strong selection bias. It follows that in patients with clinically significant atherosclerosis, the CWO may be a more appropriate procedure.

Competing Interests
The authors declare that they have no competing interests.

Ethical Statement
Because the article is a multisource review, our study was exempt from institutional review board (IRB) approval.

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