Baseline Characteristics, Risk Factors and Etiology of Heart Failure Among Patients Hospitalized at a Teaching Hospital in Somalia: Cross-Sectional Study

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Introduction: Heart failure (HF) is a heterogeneous syndrome and growing global epidemic estimated to affect over 26-million people worldwide. The aim of this study is to investigate baseline characteristics, risk factors, and etiology of HF among patients admitted to a tertiary hospital in Somalia.

Methods: Between May and October 2021, data on epidemiological characteristics, risk factors, and etiology of HF among patients admitted to an emergency unit of a teaching hospital in Mogadishu, Somalia were retrospectively collected and analyzed.

Results: A total of 155 patients were diagnosed with HF, their mean age was 65.9 ± 14 years, 46.5% (n = 72) were aged 65 years or older, and 58.7% (n=91) were female. The prevalence of HF was 3.4% (n = 155); 47.7% (n = 74) had HF with preserved ejection fraction (HFpEF), 34.9% HF with reduced ejection fraction (HFrEF), and 17.4% HF with mildly reduced ejection fraction (HFrEF). More females than males had HFrEF and HFmrEF (77.8% vs 59.3%, p < 0.01). In contrast, HFpEF was more frequent in males (55.4% vs 44.6%, p < 0.01). Regarding cardiovascular disease risk factors, patients with HFpEF had advanced age (≥65), were male, and had co-morbid hypertensive heart disease (HHD) and thyroid disease. On the other hand, patients with HFrEF were more in older age (50–64 years), were women, and frequently had ischemic heart disease and diabetes mellitus compared to patients with HFpEF and HFmrEF. Among participants with HFpEF, HHD (58.1%) was the most common etiologies of HF, whereas in HFrEF, ischemic heart disease (38.9%) was prominent.

Conclusion: HF in Somalia, different risk factors and etiologies were found in three groups of HF patients. Hypertension plays a predominant role both in its risk factors and the underlying cause of HF. We recommend implementation of specialized cardiac centers for the adult patients with cardiovascular diseases and promotion of the awareness of cardiovascular risk factors.

Keywords: heart failure, ejection fraction, hypertension, diabetes, emergency unit, Somalia

Introduction

According to the American College of Cardiology/American Heart Association (ACC/AHA) and the European Society of Cardiology (ESC) guidelines for the diagnosis and treatment of chronic heart failure (CHF), heart failure (HF) is a combined clinical syndrome that results from various anatomical or physiological cardiac dysfunction that impairs the ability of the ventricles to be supplied with or eject blood.1,2 HF has emerged as a global pandemic affecting over 26 million people worldwide, and it is expected that by 2030 more than 8 million people will develop HF.3,4

The prevalence and incidence of HF in developed countries range from 1–2% of the adult population and 5–10 per 1000 population per year, respectively.5,6 Although there are no population-based studies about incidence and prevalence...
in sub-Saharan Africa (SSA), studies reported from SSA hospital indicates that HF is responsible for about 9.4–42.5% of all medical admissions and 25.6–30.0% of admissions into the cardiac units. Therefore, HF is an important cause of morbidity in SSA and yet heavily under recognized and probably underdiagnosed, and the true burden of the syndrome in the region remains unknown.

Several underlying cardiac and extracardiac disease predispose to HF. However, ischemic heart disease (IHD), hypertension, diabetes mellitus, and valvular heart disease (VHD) are the most common risk factors for HF, globally. In SSA, rheumatic heart disease (RHD) is the most common risk factor for HF, however, other risk factors such as ischemic heart disease (IHD), non-RHD VHD, hypertensive heart disease (HHD), dilated cardiomyopathy (DCMP), and cor-pulmonale are becoming increasingly common. Regardless of the cause, patients with HF commonly presents with exertional dyspnea, orthopnea, paroxysmal nocturnal dyspnea, fatigue, and peripheral edema.

Hospitalization for decompensated HF carries a poor prognosis with high mortality rates. Predictors of poor prognosis includes advanced age, lower systolic blood pressure, elevated blood urea nitrogen (BUN), and impaired renal function. However, racial, and ethnic difference is also thought to contribute to HF clinical outcomes.

There is a paucity of studies on clinical characteristics, risk factors, and etiology of different phenotypes of HF among patients from Somalia. This study therefore aimed to investigate baseline characteristics, risk factors, and etiology of different types of HF among patients admitted to an emergency unit of a teaching hospital in Mogadishu, Somalia.

Method

Study Design and Setting
This was a hospital-based retrospective study conducted in Mogadishu, Somalia, between May and October 2021. We used the recently established hospital information system (FONET) of the Emergency Unit in Mogadishu Somali Turkish Training and Research Hospital, Mogadishu, Somalia. The FONET database was made possible due to collaboration with the Ministry of health Somalia, the Ministry of Health of Turkey, and sağlık bilimleri üniversitesi.

Study Population
This cross-sectional observational study enrolled heart failure patients visiting in the emergency department at Mogadishu Somalia Turkish Training and Research Hospital from May 2021 to October 2021. A total of 4500 patients were screened in the emergency unit, 155 patients were diagnosed heart failure (HFpEF, HFrEF, and HFmrEF). More than 50 cardiac patients are seen per day in the hospital. Cardiologists diagnosed HF as fulfilling the Framingham criteria. The criteria included in the list were physician assessments of neck-vein distension, rales, S3 gallop, venous pressure >16cm of water, hepatojugular reflux, and weight loss of 4.5 kg in 5 days due to diuretic therapy (major criteria). Minor criteria were ankle edema, night cough, dyspnea on exertion, tachycardia, hepatomegaly, and weight loss.

“Definite heart failure” was defined as having at least two major criteria or one major criterion and two minor criteria, if the minor criteria could not be attributed to any other condition.

The baseline characteristics of the participants included age and gender, and the risk factors included hypertension, diabetes mellitus, ischemic heart disease, dyslipidemia, thyroid diseases, underlying diseases, and HF was classified according to the left ventricular ejection fraction (LVEF).

Sample Size
Patients hospitalized within the study population constituted the study population.

Study Procedure
From the clinical and echocardiographic data in the medical records, we attempted to determine the cause of HF. Ischemic heart disease was defined as (a) a clinical diagnosis of myocardial infarction or (b) an electrocardiographic (ECG) finding of Q-wave myocardial infarction, (c) a history of coronary angioplasty or coronary artery bypass graft, and (d) ECG stress test which is considered positive for ischemia if there is at least a 1-mm horizontal or down-sloping ST-segment depression. Up-sloping ST-segment depression is not considered a positive finding. An ST-segment elevation
greater than 1 mm is highly suggestive of significant ischemia. Echocardiographic findings were defined as the diagnosis of valvular and congenital heart disorders and pulmonary arterial pressure (PAP). Moreover, measurement of PAP on echocardiography by using tricuspid valve velocity \(4v^2 = TV \text{ pressure gradient}\).

HHD was defined as a clinical history of hypertension and left ventricular hypertrophy (LVH) determined from echocardiographic or ECG findings. The criteria for cardiomyopathy (CM) comprised the absence of signs of ischemic, hypertensive, valvular, or congenital heart disease and the presence of global Left ventricular dilation with impaired systolic function or LVH with diastolic dysfunction in the absence of a known cardiac or systemic cause.

Classification of patients with HF according to left ventricular ejection fraction (LVEF), from those with normal LVEF (≥50%) considered as HF with preserved EF (HFpEF), to those with reduced LVEF (40%) recognized as HF with reduced EF (HFrEF), those Patients with an LVEF in the range of 40–49% define as HF with mildly reduced EF (HFmrEF), and HF with improved EF (HFrEF): HF with a baseline LVEF≤40%, a ≥10-point increase from baseline LVEF, and a second measurement of LVEF>40%. This classification is essential due to different demographics, co-morbidities, underlying etiologies, and responses to therapies.

The study protocol was approved by the local Institutional Review Board Committee of Ethics from the Mogadishu Somali Turkish Training and research Hospital, Mogadishu, Somalia (Approval number: MSTH/6383), which also provided a waiver of consent given the retrospective nature of the study. Nonetheless, all patient records/information were anonymized before analysis.

### Statistical Analysis

Microsoft Excel software was used to create the database and cleaned data was analyzed using STATA version 16.0. Continuous variables are presented as mean ± standard deviation and categorical variables as the observed number of patients (percentage). The Mann–Whitney U-test was used for continuous variables and Fisher's exact test was utilized for categorical variables to compare patient characteristics between groups (HFpEF, HFmrEF, and HFrEF). P-value<0.05 was considered statistically significant.

### Results

#### Demographic Characteristics, Prevalence and Classification of Heart Failure

A total of 4500 patients were screened in the emergency unit, 155 patients were diagnosed heart failure (HFpEF, HFrEF, and HFmrEF). The median age of the participants was 65.9 ± 14 years, 46.5 (n=72) were aged 65 years or older, and 58.7% (n=91) were female.

Of the 4500 participants, 3.4% (n=155) met the author-defined definition of definitive HF. Of this, the classification of heart failure was based purely on clinical assessment and echocardiography without the use of brain natriuretic peptide (BNP). The prevalence of HFpEF, HFrEF, and HFmrEF was 47.7% (74/155), 34.9% (54/155), and 17.4% (27/155), respectively.

#### Risk Factors for Heart Failure

Table 1 summarizes the risk factors for HF among the participants. Most (66%, n=102) of participants with HF had a history of hypertension. Forty-seven (30.3%) had at least one cardiovascular disease, 36 (23.2%) had diabetes mellitus, 36 (23.2%) hyperlipidemia, and 25 (16.1%) thyroid disease.

#### Etiology of Heart Failure

Hypertension was the leading cause of HF (45.1%, n=70), followed by IHD (25.8%, n= 40), VHD (12.9%, n= 20), cardiomyopathy (7.7%, n= 12), arrhythmias (5.8%, n= 9), and congenital heart disease (2.6%, n=4), Table 1.

A higher proportion of females than males hadHFrEF or HFmrEF (77.8% versus 59.3%, p< 0.01). In contrast, HFpEF was more common among males (55.4% versus 44.6%, p< 0.01), Table 2.
Stratification of Risk Factors Across Ejection Fractions

As compared to those with HFrEF, participants with HFmrEF or HPpEF mostly had ischemic heart disease (44.4% versus 29.6% versus 20.3%, p<0.05) and diabetes mellitus (33.3% versus 25.9% versus 21.6%, p=0.3), but a lower proportion of participants with hypertension (44.4 versus 63% versus 82.4%, p<0.0001). There was no statistically significant difference in hyperlipidemia and thyroid disease rates between HF subtypes.

Participants with HFmrEF and HFrEF had a lower prevalence of hypertension (58.1% versus 48.2% versus 26.9%, p=0.003), VHD (14.9% vs 11.1% vs 11.1% P=0.3), and arrhythmia (8.1% versus 0%, versus 5.6%, p<0.1) but a lower extent of ischemic heart disease (13.5% versus 33.3% versus 38.9%, p=0.003) compared to those with HPpEF. As compared to participants with HPpEF and HFmrEF, a higher proportion of those with HFrEF had pulmonary arterial pressure (PAP) (23.9±6 versus 22.5±3.5 versus 41.7±24.2, p= 0.002) (Table 2).

Table 1 Epidemiological Characteristics, Risk Factors, and Aetiology of Different Types of Heart Failure Patients, MSTERH, Somalia from May to October 2021

| Variable                              | Frequency (N) | Percentage (%) |
|---------------------------------------|---------------|----------------|
| Age (years), median (range)           | 62±14 (20–92) |                |
| Age group (Years)                     |               |                |
| 18–29                                 | 5             | 3.4%           |
| 30–49                                 | 13            | 8.4%           |
| 50–64                                 | 65            | 41.9%          |
| ≥65                                   | 72            | 46.5%          |
| Sex                                   |               |                |
| Male                                  | 64            | 41.3%          |
| Female                                | 91            | 58.7%          |
| Ejection Fraction (%), median (range) | 45% (30–50)   |                |

| Pulmonary Arterial Pressure (mmHg), median (range) | 25mmHg (20–40mmHg) |
|-----------------------------------------------------|--------------------|
| Risk factors of heart failure                       |                    |
| Hypertension                                        | 102                | 65.8%           |
| Ischemic heart disease                              | 47                 | 30.3%           |
| Diabetes                                            | 41                 | 26.4%           |
| Hyperlipidaemia                                     | 36                 | 23.2%           |
| Thyroid disease                                     | 25                 | 16.1%           |
| Aetiology of heart failure                          |                    |
| Hypertension                                        | 70                 | 45.2%           |
| Ischemic heart disease                              | 40                 | 25.8%           |
| Valvular heart disease                              | 20                 | 12.9%           |
| Cardiomyopathy                                      | 12                 | 7.7%            |
| Arrhythmia                                          | 9                  | 5.8%            |
| Congenital heart disease                            | 4                  | 2.6%            |
| Types of heart failure                              |                    |
| HFpEF                                                | 74                 | 47.7%           |
| HFrEF                                                | 54                 | 34.9%           |
| HFmrEF                                               | 27                 | 17.4%           |

Abbreviations: HFpEF, heart failure with preserved ejection fraction; HFmrEF, heart failure with mid-range ejection fraction; HFrEF, heart failure with REDUCED ejection fraction.
Table 2 Epidemiological Characteristics, Risk Factors, and Aetiology of Study Participants Illustrating the Differences Between HfPef, HfMref, and Hfref

| Variable            | All (n=155) | Heart Failure | Heart Failure | Heart Failure | P-value |
|---------------------|-------------|---------------|---------------|---------------|---------|
|                     | Freq (%)    | HfPef (n=74)  | HfMref (n=27) | HfHef (n=54)   |         |
| **Age, mean±SD**    | 63.6±13.8   | 63.5±13.6     | 65.5±6.4      | 61.8±21.5      | 0.168   |
| **Age group**       |             |               |               |               |         |
| 18–29               | 5(3.2)      | 2(2.7)        | 0             | 3(5.5)        | 0.187   |
| 30–49               | 13(8.4)     | 3(4.1)        | 2(7.4)        | 8(14.8)       |         |
| 50–64               | 65(41.9)    | 31(41.9)      | 10(37)        | 24(44.4)      |         |
| ≥65                 | 72(46.5)    | 38(51.4)      | 15(55.5)      | 16(29.6)      |         |
| **Sex**             |             |               |               |               |         |
| Male                | 64(41.3)    | 41(55.4)      | 11(40.7)      | 12(22.2)      | 0.001   |
| Female              | 91(58.7)    | 33(44.6)      | 16(59.3)      | 42(77.8)      |         |
| **Risk factor**     |             |               |               |               |         |
| HTN                 | 102(65.8)   | 61(82.4)      | 17(63)        | 24(44.4)      | <0.0001 |
| No                  | 53(34.2)    | 13(17.6)      | 10(37)        | 30(55.6)      |         |
| IHD                 | 47(30.3)    | 15(20.3)      | 8(29.6)       | 24(44.4)      | 0.013   |
| No                  | 108(69.7)   | 59(79.7)      | 19(70.4)      | 30(55.6)      |         |
| DM                  | 41(26.4)    | 16(21.6)      | 7(25.9)       | 18(33.3)      | 0.332   |
| No                  | 114(73.6)   | 58(81.4)      | 20(74.1)      | 36(66.7)      |         |
| Hyperlipidemia      | Yes         | 36(23.2)      | 15(20.3)      | 7(25.9)       | 14(25.9) | 0.707   |
| No                  | 119(76.8)   | 59(79.7)      | 20(74.1)      | 40(74.1)      |         |
| Thyroid disease     | Yes         | 25(16.1)      | 13(17.6)      | 4(14.8)       | 8(14.8)  | 0.897   |
| No                  | 130(83.9)   | 61(82.4)      | 23(85.2)      | 46(85.2)      |         |
| **Aetiology of HF** |             |               |               |               |         |
| HTN                 | Yes         | 70(45.2)      | 43(58.1)      | 13(48.2)      | 14(25.9) | 0.001   |
| No                  | 85(54.8)    | 31(41.9)      | 14(51.9)      | 40(74.1)      |         |
| IHD                 | Yes         | 40(25.8)      | 10(13.5)      | 9(33.3)       | 21(38.9) | 0.003   |
| No                  | 115(74.2)   | 64(86.5)      | 18(66.7)      | 33(61.1)      |         |
| VHD                 | Yes         | 20(12.9)      | 11(14.9)      | 3(11.1)       | 6(11.1)  | 0.785   |
| No                  | 135(87.1)   | 63(85.1)      | 24(88.9)      | 48(88.9)      |         |
| CM                  | Yes         | 12(7.7)       | 2(2.7)        | 0(0)          | 10(18.5) | 0.001   |
| No                  | 143(92.3)   | 72(97.3)      | 27(100)       | 44(81.5)      |         |
| Arrhythmia          | Yes         | 9(5.8)        | 6(8.1)        | 0(0)          | 3(5.6)   | 0.303   |
| No                  | 146(94.2)   | 68(91.9)      | 27(100)       | 51(94.4)      |         |
| CHD                 | Yes         | 4(2.6)        | 2(2.7)        | 2(7.4)        | 0(0)     | 0.140   |
| No                  | 151(97.4)   | 72(97.3)      | 25(92.6)      | 54(100)       |         |
| **EF, median (SD)** | 40±3.6      | 51.25±2.3     | 42.5±3.5      | 25.8±4.9      | <0.0001 |
| **PAP, median (SD)** | 29.3±11.2  | 23.9±6       | 22.5±3.5      | 41.7±24.2     | 0.002   |

Abbreviations: HTN, hypertension; DM, diabetes; IHD, ischemic heart disease; VHD, valvular heart disease; CM, cardiomyopathy; CHD, congenital heart disease; PAP, pulmonary arterial pressure.
Discussion

HF has emerged as a global pandemic affecting over 26 million people worldwide, and it is expected that by 2030 more than 8 million people will develop HF.\textsuperscript{3,4} Even though HF affects large numbers of patients and the morbidity and mortality are striking. Therefore, it is of critical importance to analyze the data of HF patients in routine clinical practice on a national basis and to form a database for future investigations.

In this cohort of patients, the mean age of the study population was 65.9 ± 14 years, with 46.5% of patients being 65 years and older. In sharp contrast to the present study, a Survey of Heart Failure (THESUS-HF), which included 1006 patients hospitalized for acute heart failure in nine Sub-Saharan African nations, reported mean age of 52.3 years.\textsuperscript{20} However, similarly to the demographic pattern observed in the developed countries where the most usually affected age group is those over 65.\textsuperscript{21–23}

HF is well-known in the Western world as a major public health issue linked to a high risk of morbidity and mortality, especially among the elderly.\textsuperscript{5}

According to hospital prevalence surveys in SSA, HF accounts for 9.4–42.5% of all medical hospitalizations and 25.6–30.0% of cardiac unit admissions.\textsuperscript{8} In contrast, there is a lack of previous population-based incidence and prevalence studies on heart failure in Somalia.

In the present study, the prevalence of heart failure in Somalia was 3.4%. A study conducted by Masoure et al found that the prevalence of HF in Djibouti was 2.7%.\textsuperscript{23} A recent study conducted from Sudan found that the prevalence of HFrEF was 15%.\textsuperscript{24} Another study reported from Ethiopia determined that HFIs the third most common reason for admission to the medical wards of Saint Paul Medical College Hospital (SPMCH), accounting for 16% of all admissions.\textsuperscript{25} In developed countries, the prevalence of HFrEF is increasing over time.\textsuperscript{26,27} In the current study, the prevalence of HFrEF was 47.7%, which was consistent with other studies from Ethiopia, Saudi, and the USA.\textsuperscript{12,28,29} According to a recent study conducted by Martinez-Brana et al, 72.2% of Spaniards have HFrEF.\textsuperscript{12} Kaneko et al observed a prevalence of HFrEF of 72% in a study conducted in Japan.\textsuperscript{30}

On the other hand, the prevalence of HfmrEF in the present investigation was 17.4%. According to the HESUSHF registry, the prevalence of HFmrEF was 19.6% in one of 12 hospitals in nine countries.\textsuperscript{16} On the contrary, the prevalence of HFrEF was 34.9% which was in alignment with another study from African countries.\textsuperscript{12,31}

In this study, as compared to males, female patients had a greater prevalence of HFrEF and HFmrEF. In contrast, HFrEF were more prominent to male gender. Most of the cases had a prior history of hypertension, IHD, and diabetes mellitus. Previous studies in patients with HFrEF were at an advanced age, women, and more frequently have hypertension compared to patients with HFmrEF and HFrEF.\textsuperscript{30,32,33} Patients with HFrEF were advanced age (≥65), male sex, and more often to have hypertension and thyroid disease than patients with HFrEF and HFmrEF, according to the present study.

In the current analysis, patients with HFrEF were more in elder age (50–64), women, and frequently have IHD and diabetes compared to patients with HFrEF and HFmrEF. In a meta-analysis study, compared with patients with HFrEF, those with HFrEF were younger and more often men, and have a history of CAD.\textsuperscript{34} In general, hypertension, IHS, and VHD were the most common causes of heart failure in our study. This was a similar finding in other studies.\textsuperscript{12,23,35} Regarding the present study, the etiology of HF specifically in patients with HFrEF, hypertensive heart disease (HHD), and VHD is more prevalent. Whereas, patients with HFrEF, the most common causes were IHD and cardiomyopathies. Similar findings were documented in previous studies.\textsuperscript{36–39}

Limitations and Strengths

Our study had several limitations. First, because this study was conducted in a single medical center, the findings could not be generalized. Second, because the study was retrospective, several participants had to drop out due to a missing document. Third, the study population’s sample size may not be large enough to detect statistically significant differences. Despite these limitations, we believe that our study provided first and vital information on the baseline characteristics, risk factors, and etiology of different types of heart failure among patients in the emergency department at a single teaching hospital in Mogadishu, Somalia. It will also serve as a blueprint for future clinical research in the field.
Conclusion
In conclusion, we report a substantial burden of HF among patients acutely hospitalized in a Somalian emergency unit. Hypertension plays a predominant role both in its risk factors and the underlying cause of HF. There is a potential sex-difference in the subtype of HF, warranting further studies. We recommend implementation of specialized cardiac centers for the adult patients with cardiovascular diseases and promotion of awareness on the importance of HF and cardiovascular risk factors.

Data Sharing Statement
All data generated or analyzed during this study are included in this article.

Ethics Approval and Consent to Participate in This Study
Approval for conducting the study was obtained from Mogadishu Somali Turkish Training and Research Hospital (Reference number –MSTH/6383). We used the electronic medical records in the hospital information system and written informed consent was waived, while the patient’s data confidentiality was respected. This study was carried out in accordance to the Helsinki Declaration contents.

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Author Contributions
All authors made a significant contribution to the work reported, whether that is in the conception, study design, execution, acquisition of data, analysis and interpretation, or in all these areas; took part in drafting, revising or critically reviewing the article; gave final approval of the version to be published; have agreed on the journal to which the article has been submitted; and agree to be accountable for all aspects of the work.

Disclosure
The authors report no conflicts of interest in this work.

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