Survival of the Aligned: Ordering of the Plant Cortical Microtubule Array

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The cortical array is a structure consisting of highly aligned microtubules which plays a crucial role in the characteristic uniaxial expansion of all growing plant cells. Recent experiments have shown polymerization-driven collisions between the membrane-bound cortical microtubules, suggesting a possible mechanism for their alignment. We present both a coarse-grained theoretical model and stochastic particle-based simulations of this mechanism, and compare the results from these complementary approaches. Our results indicate that collisions that induce depolymerization are sufficient to generate the alignment of microtubules in the cortical array.

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Microtubules are a ubiquitous component of the cytoskeleton of eukaryotic cells. These dynamic filamentous protein aggregates, in association with a host of microtubule associated proteins (MAPs), are able to self-organize into dynamic, spatially extended stable structures on the scale of the cell [1]. In contrast to the more commonly studied animal cells, plant cells are encased in a cellulosic cell wall, and generally only expand along a single well-defined growth axis. A crucial component in this anisotropic growth process is a plant-unique microtubule structure called the cortical array [2]. This structure consists of highly aligned microtubules attached to the inner side of the cell membrane and oriented transversely to the growth direction (see Fig. 1) and establishes itself in a period of about one hour after cell division. The cortical array has two particular features, both related to the fact that the microtubules are bound to the cell membrane [3][4]: (i) it is effectively a 2-dimensional system and (ii) the cortical microtubules do not slide along the membrane, so the only displacements are caused by the ongoing polymerization and depolymerization processes intrinsic to microtubules. As a consequence of these two constraints, the so-called plus end of a growing cortical microtubule can ‘collide’ with another microtubule. Recent experiments [3] have shown that these collisions indeed occur and can have three possible outcomes whose relative frequency is determined by the angle between the microtubules involved (see Fig. 2a). The first option is that the incoming microtubule changes its direction and continues to grow alongside the microtubule it encountered, an outcome that is predominant at smaller angles and is known as ‘zippering’. The second option is the so-called ‘induced catastrophe’, in which the incoming microtubule switches to the shrinking state. Finally, there is a possibility that the incoming microtubule simply ‘crosses over’ the obstacle, continuing to grow in its original direction.

In this Letter we address the question of whether, as has been posited by Dixit and Cyr [5], these interactions are sufficient to explain the alignment of microtubules in the cortical array. To do so we construct a model for the microtubule dynamics and interactions, and evaluate it using two complementary approaches: a coarse-grained theory and particle-based simulations. The theory allows us to reduce the size of the model parameter space by identifying the relevant control parameter of the system and establishes the criteria for spontaneous symmetry breaking to occur. The simulations explicitly consider the stochastic dynamics of individual microtubules, and are thereby able to test the validity of the theory. The simulations can also be extended to include known other contributing effects such as minus-end treadmilling and microtubule severing proteins, but here we focus on a minimal version of the model that can be addressed using both the theoretical and simulation approaches in order to establish a reference system and test the general hypothesis of [5].

Our model differs from existing models for 2D organization of filamentous proteins in two important ways. Firstly, in most of these models the filaments are both free to rotate and translate as a whole [4][8][9][10][11], which is inconsistent with the experimental observations on the cortical array. Secondly, our model explicitly takes into account the dynamic instability of the individual microtubules, providing the potential for intrinsic stabilization of the microtubule length distribution. This differs from the model by Baulin et al. [12] in which deterministically elongating microtubules stop growing only while obstructed by other microtubules. The lack of an intrinsically bounded length most likely precludes the existence of stable stationary states in their simulations.

For the intrinsic microtubule dynamics in our model, we use the standard two-state dynamic instability model
in which each microtubule plus end is assumed to be either growing with a speed \( v^+ \) or shrinking with a speed \( v^- \). This plus end can switch stochastically from growing to shrinking (a so-called ‘catastrophe’) with rate \( r_c \), or from shrinking to growing (a so-called ‘rescue’) with rate \( r_r \) in a process known as dynamic instability. New microtubules are nucleated isotropically and homogeneously with a constant rate \( r_n \). The microtubule minus ends are assumed to remain attached to their nucleation sites.

We first analyze this system using a coarse-grained theory, in which we consider densities of microtubule segments instead of individual microtubules. From the outset we assume the system is, and remains, spatially homogeneous, and later restrict ourselves to steady-state solutions. Because microtubules are nucleated isotropically and can change their orientation after each zipper- ing event, we introduce separate densities for each segment index \( i \). Furthermore, length changes and collisions can only occur in segments that contain the microtubule plus end. Therefore, we further distinguish the active segments, containing either a growing (+) or shrinking (-) plus end, and the inactive (0) segments that form the ‘body’ and tail of the microtubule. Our variables are thus the areal number densities \( m_i^+(l, \theta, t) \) of segments in state \( \sigma \in \{0, -1, 1\} \) with segment index \( i \), having length \( l \) and orientation \( \theta \) (measured from an arbitrary axis) at time \( t \). From these, we compute the total length density \( k(\theta, t) \) as

\[
k(\theta, t) = \sum_i \int_0^\infty dl \; l \left[ m_i^+(l, \theta, t) + m_i^-(l, \theta, t) + m_i^0(l, \theta, t) \right].
\]

The segment densities obey a set of evolution equations that can symbolically be written as

\[
\partial_t m_i^+(l, \theta, t) = \Phi_{\text{grow}}[m_i^+] + \Phi_{\text{rescue}}[m_i^-] - \Phi_{\text{sp.cat.}}[m_i^+] - \Phi_{\text{ind.cat.}}[m_i^+, k] - \Phi_{\text{zip}}[m_i^+, k] \quad (2a)
\]

\[
\partial_t m_i^-(l, \theta, t) = \Phi_{\text{shrink}}[m_i^-] - \Phi_{\text{rescue}}[m_i^+] + \Phi_{\text{sp.cat.}}[m_i^-] + \Phi_{\text{ind.cat.}}[m_i^+, k] + \Phi_{\text{react.}}[m_i^-, m_{i+1}^-, k] \quad (2b)
\]

\[
\partial_t m_i^0(l, \theta, t) = \Phi_{\text{zip}}[m_i^+, k] - \Phi_{\text{react.}}[m_i^+, m_{i+1}^-, k] \quad (2c)
\]

The arguments in square brackets explicitly display the functional dependencies of the terms on the right hand side. Below, we explain each of these terms briefly, and refer the reader to [13] for a full derivation and an in-depth analysis. The dynamics of the active growing (+) and shrinking (-) segments of microtubules unperturbed by interactions are given by the standard spontaneous catastrophe and rescue rates \( \Phi_{\text{sp.cat.}}[m^+] = r_cm^+ \) and \( \Phi_{\text{rescue}}[m^-] = r_rm^- \), and the advective terms \( \Phi_{\text{grow}}[m^+] = -v^+ \frac{dm^+}{d\theta} \) and \( \Phi_{\text{shrink}}[m^-] = v^- \frac{dm^-}{d\theta} \) due to growth and shrinkage respectively [4]. Collisions between microtubules that lead to an induced catastrophe cause growing segments to switch to the shrinking state, at a rate given by \( \Phi_{\text{ind.cat.}}[m^+, k] = v^+ m^+(\theta) \int d\theta' \sin \Delta \theta P_z(\Delta \theta) k(\theta') \), where \( \Delta \theta = |\theta - \theta'| \) is the collision angle and the geometrical factor \( \sin \Delta \theta \) takes care of the collisional cross-section the density of other microtubules present to the incoming one. Zippering events cause growing microtubule plus ends to change direction, converting previously growing segments to the inactive state at a rate \( \Phi_{\text{zip}}[m^+, k] = v^+ m^+(\theta) \int d\theta' \sin \Delta \theta P_z(\Delta \theta) k(\theta') \). Simultaneously, new

![Figure 2: a) schematic overview of the included effects and parameters in the model. b) relative frequency of collision outcomes as a function of angle of incidence used in our model.](image)

Because the persistence length \( l_p \) of microtubules is long (\( \sim 10\mu m \)) compared to the average length of a microtubule (\( \sim 10\mu m \)) and thermal motion is inhibited by the attachment to the plasma membrane, microtubules are modelled as straight rods with kinks at positions where a zipperping event has occurred. A microtubule therefore consists of a series of connected segments to which we assign an index \( i \), starting at \( i = 1 \) for the segment attached to the nucleation site. In light of the available evidence, we assume that the angle-dependent collision outcome probabilities \( P_z \) (zippering), \( P_c \) (induced catastrophe) and \( P_x \) (crossover) are independent of the polarity of the microtubules and are therefore fully defined on the interval \( [0, \pi/2] \).
The isotropic length density is therefore an increasing which has no net effect in the isotropic state. Although

\[ G \]

reacted into a shrinking state. Here we will not discuss the details of the rate \( \Phi_{\text{react}}[m_{i+1}^+, m_{i+1}^-, k] \), which contains a non-trivial history-dependence as a microtubule segment must “un-zip” in the same direction the zipping segment originally came from. We simply note that in the steady state Eq. (2d) requires that this rate is balanced by the zipping rate discussed above.

In the steady state, the infinite set of equations with the boundary conditions reduces to a set of four coupled non-linear integral equations. These relate the length density \( k(\theta) \) to the average segment length, active segment density and ratio between inactive and active segments, each being a function of the angle \( \theta \). It follows that, for given interaction probabilities \( P_{r} (\theta) \) and \( P_{c} (\theta) \), the remaining parameters can be absorbed into a single dimensionless control parameter \( G \), defined as

\[ G = \left[ \frac{2v^+v^-}{r_n(v^+ + v^-)} \right]^{1/2} \left( \frac{r_r}{v^-} - \frac{r_r}{v^+} \right). \tag{3} \]

Here we only consider the case \( G < 0 \), for which the length of the microtubules is intrinsically bounded even in the absence of collisions. In this case, the average length of non-interacting microtubules is given by \( \bar{\ell} = (r_c/v_+ - r_r/v^-)^{-1} \) and the control parameter \( G \) can be interpreted as \( G = -\bar{l}_0/\ell_0 \), implicitly defining an interaction length scale \( \ell_0 \). As \( G \) increases towards 0, the number of interactions between microtubules increases.

For any value of \( G \) there exists an isotropic solution to (2), for which the total length density \( \rho = \int \rho \, d\theta \, k(\theta) \) satisfies \( l_0 \rho (\tilde{c}_0 - \rho - 2G^2) = 8 \), where \( \tilde{c}_n \) denotes the \( n \)-th Fourier cosine coefficient of the product \( P_r(\theta) |\sin \theta| \). The isotropic length density is therefore an increasing function of the control parameter \( G \) that only depends on the induced catastrophes, and not on the probability of zipping. This can be understood by the fact that zipping only serves to reorient the microtubules, which has no net effect in the isotropic state. Although a stationary isotropic solution exists for all values of \( G \), this solution is only stable for large negative values of \( G \). As \( G \) increases, the number of interactions between microtubules increases, until the isotropic solution becomes unstable. This happens at the bifurcation point \( G = G^* \), given by

\[ G^* = (-2\tilde{c}_2)^{1/3} \left( \frac{\tilde{c}_0}{-2\tilde{c}_2} - 1 \right). \tag{4} \]

We note that the location of the bifurcation point is determined solely by the properties of the induced catastrophe probability \( P_r(\theta) \), and, like the density in the isotropic phase, does not depend on zipping.

![Figure 3: Comparison between theoretical (solid lines) and simulation results (symbols). The simulations were performed on a 80 \( \mu \text{m} \times 80 \mu \text{m} \) system with periodic boundary conditions. The spontaneous catastrophe rate was varied to probe different values of \( G \): \( r_c \in [4 \times 10^{-3}, 1.2 \times 10^{-2}] \text{ s}^{-1} \). The nucleation rate was set to \( r_n = 0.003 \mu \text{m}^{-2} \text{s}^{-1} \) and other parameters were taken from [4] (interphase BY-2 cells): \( v^+ = 0.078 \mu \text{m} \text{ s}^{-1}, v^- = 0.164 \mu \text{m} \text{ s}^{-1}, r_r = 6.8 \times 10^{-3} \text{ s}^{-1} \). Measurements were performed after equilibrating for 50,000s (a) or 250,000s (b); \( G \) was increased between measurements. The standard error of the mean is typically smaller than the symbols and is otherwise indicated by vertical bars. \( N = 80 \) (a), 40 (b).]

To quantify the degree of alignment we use the standard 2D nematic order parameter \( S_2 \), defined as \( S_2 = | \int_0^{2\pi} d\theta \, e^{i\theta} k(\theta) | / \int_0^{2\pi} d\theta \, k(\theta) \). The full bifurcation diagram can be computed by numerically tracing the ordered solution branch from the bifurcation point, provided that the products \( |\sin \theta| P_r(\theta) \) and \( |\sin \theta| P_c(\theta) \) have finite Fourier expansions. We restrict ourselves to an expansion up to \( \cos 4\theta \). The coefficients are constrained by \( \sin(0) P_r(0) = 0 \) and \( \sin(0) P_c(0) = 0 \). In line with experimental observations [3] we choose the remaining parameters such that \( P_r(\theta) \) is monotonically increasing to a maximum at \( \theta = \pi/2 \) and is maximally biased towards steep collision angles (see [12] for other choices), and \( P_c(\pi/2) = 0 \). The magnitudes of \( P_r(\theta) \) and \( P_c(\theta) \) is similar to that observed in experiments, and the crossover probability
is fixed by the requirement $P_c(\theta) + P_s(\theta) + P_z(\theta) = 1$. The resulting interaction probabilities are illustrated in Fig. 2. We argue that the apparent discrepancy with experiments, caused by setting $P_z(0) = 0$, is not very significant for the ordering transition, as collisions between near-parallel microtubules are infrequent and cause only slight changes of orientation in the case they lead to zippering.

Given our choice for $P_z(\theta)$, we have $\dot{c}_0 = 3/8$ and $\dot{c}_2 = -1/4$ so that $G^* \approx -0.2$. The results are representative for a large class of interaction probabilities with $G^* < 0$. Higher modes do not affect the bifurcation point $P_G$ and appear to have only minor effects on the bifurcation diagram. Also, any changes to the overall magnitude of $P_c(\theta)$ and $P_s(\theta)$ result only in a scaling of the $G$-axis. Comparing the computed solutions (solid lines) for systems with (Fig. 3a) and without (3b) zippering, we note that zippering has only a minor effect on the ordering beyond the bifurcation point (see also [13]). This shows that the ‘weeding out’ of microtubules in the minority direction through induced catastrophes is by itself sufficient to explain microtubule alignment.

In parallel with the coarse-grained theoretical approach described above, we performed stochastic particle-based simulations of the interacting microtubules. Fig. 3 shows the resulting steady-state alignment as a function of $G$, for systems with and without zippering. In the simulations, the presence of zippering triggers the formation of microtubule bundles, in which aligned microtubules colocalize. In this case, we need to specify how the interaction probabilities $P_c(\theta)$, $P_s(\theta)$ and $P_z(\theta)$ depend on the number of microtubules that are present in both the incoming and encountered bundles. We investigate two extreme scenarios. In the first scenario (single collisions) a microtubule treats a collision with a bundle as a single collision, disregarding the other microtubules in both bundles. In the other scenario (multi-collisions) we implicitly construct an effective interaction by sampling from the distribution of all multiple collisions and their outcomes that can occur between an arbitrary microtubule from an incoming bundle with the full set of microtubules in the target bundle (see Fig. 2a).

In the absence of zippering Fig. 3a, shows that the theoretical predictions and simulation results agree well. As expected, the agreement is less good when zippering is enabled (Fig. 3b), because zippering leads to strong spatial correlations in the form of microtubule bundles, which are not accounted for in our mean-field-like theory. In the case of the ‘multi-collision’ interactions, the simulations indicate a significantly larger tendency to align, whereas the system is less likely to align with ‘single’ interactions. However, in both cases the behavior remains qualitatively the same as the theoretical prediction and the alignment occurs over a similar range of $G$ values.

Finally we investigated the limit of weak interactions $(P_c(\theta), P_s(\theta) \ll 1$; data not shown) in which the discrepancies due to the mean-field nature of our model should decrease. Without zippering simulation results rapidly converge to the theoretical predictions. In the presence of zippering the results for the ‘single’ interactions deviate more strongly from the theory, because only a single collision is registered when a microtubule encounters a bundle, effectively decreasing the density of interactions. The ‘multi-collision’ interaction however effectively accounts for the bundling, so that for progressively weaker interactions the transition between the isotropic and ordered states converges to the predicted bifurcation point.

Our model of interacting cortical microtubules displays both isotropic and aligned phases and is based on experimentally observed microscopic effects. The kinetic parameters appearing in the control parameter $G$ may be regulated by the cell via MAPs, suggesting a mechanism for cellular control over creation, maintenance and suppression of microtubule alignment. Our results indicate that collision-induced microtubule catastrophes alone could establish alignment in the cortical array of plant cells. To what extent other known effects, such as microtubule treadmilling and severing, influence this mechanism, is a question we are currently addressing.

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