Phase structure of three flavor QCD in external magnetic fields using HISQ fermions

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We study the phase structure of QCD with three degenerate flavors in the external magnetic fields using highly improved staggered quarks (HISQ). The simulations are performed on $16^3 \times 6$ lattice. In order to investigate the quark mass dependence of the chiral transition we choose the values of the bare quark masses 0.015 and 0.0009375 in the lattice unit, corresponding to $m_\pi = 320$ MeV and 80 MeV in the continuum limit. We found no indication of a first order phase transition in the current window of quark masses and external magnetic fields. Unlike to the case with standard staggered fermions inverse magnetic catalysis is always observed at about the critical temperature. The microscopic origin of this phenomena are further discussed by looking into the Dirac eigenvalue spectrum.

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1. Introduction

Mapping out QCD phase diagram is one of the most important goals in high energy nuclear physics. QCD thermodaynamics has several controllable parameters, temperature $T$, baryon chemical potential and in addition quark masses $m_{ud}$, $m_s$ for theoretical studies. Quark mass is a relevant parameter and it affects the order of QCD phase transition because chiral symmetry is controlled by the mass, which is summarized in the Columbia plot [1, 2]. One can introduce an extra parameter, e.g. the background magnetic field $eB$ [3], which can be generated in the early stage of relativistic heavy ion collision experiments. The presence of the magnetic field breaks flavor symmetry and rotational symmetry, and the magnitude of the magnetic field is expected to reach to QCD scale so the chiral phase transition would be affected.

From lattice QCD simulations with external magnetic field at the physical pion mass, especially using stout smeared staggered actions, the inverse magnetic catalysis has been found around the pseudo critical temperature [4]. Recently, the origin of the inverse magnetic catalysis, in particular the relation between the decreasing of pseudo critical temperature and the non-monotonic behavior of chiral condensate around the pseudo critical temperature has been studied in details in $N_f=2+1$ QCD with a few values of heavy pions using stout staggered fermions [5]. A previous lattice study with an effective model suggested a new critical end point along with the magnetic field. They employed stout smeared staggered quarks with physical pion mass for their lattice calculation and gluonic effective model for predictions [6]. The new critical point is predicted to appear $eB \sim 10 \text{ GeV}^2$ so it is practically difficult to reach such a strong magnetic field using lattice calculations since the maximum of the magnetic field is bounded by the cutoff $a^{-1}$. The cutoff at the critical point is $a^{-1} \sim 2.4 \text{ GeV}$. However, there is a chance to observe the first order phase transition if a system is in proximity to a critical point. This is because, according to our previous work using the standard staggered fermions, the magnetic field tends to make the phase transition stronger [7].

In this work, we investigate mass degenerate three flavor QCD, namely, $m = m_{ud} = m_s$ and around $SU(3)$ chiral limit. We performed simulations with quark masses corresponding to pion masses of 320 MeV and 80 MeV in the continuum limit. The results with the heavier quark is used to check the cutoff effects because that mass corresponds to similar mass regime to the our previous work with the standard staggered fermion, while those with the lighter quark are used to explore criticality as it is much closer to the first order regime compared to the physical pion mass regime.

This proceedings is organized as follows. In next section, we introduce our numerical setup, which includes the implementation of the external field on the lattice with smeared links. In section 3, we review a stochastic estimator to calculate the Dirac spectrum with the external field. In section 4, we show our results. In section 5, we summarize our observations.

2. Setup

2.1 Magnetic field with HISQ Dirac operator

In this section we introduce our setup. We employ Highly Improved Staggered Quarks (HISQ) to suppress lattice artifacts including the taste violation effect. HISQ is constructed by two of fat-7 smearing with re-unitarization between them. The smeared links are obtained in the following
way. Firstly level one smeared links $V_\mu$ are constructed by fat-7 from thin SU(3) links $U_\mu$. Next, re-uniterized links $W_\mu$ are constructed by projecting $V_\mu$ on $U(3)$. Finally, level two smeared links $X_\mu$ are constructed by fat-7 from thin SU(3) links $W_\mu$ with the Lepage term. The HISQ Dirac operator are built by the Kogut-Suskind term with $X_\mu$ and the Naik term with $W_\mu$. Note that unlike the implementation of imaginary chemical potential, the force term in HMC has to be modified because it depends on the coordinate.

The magnetic field only couples to quarks thus implementation is done just by replacing $X_\mu \to u_\mu X_\mu$ in the Kogut-Suskind term and $W_\mu \to u_\mu W_\mu$ in the Naik term. Here $u_\mu$ represents U(1) links with appropriate charge for each quark, which is explained below.

On the lattice, the external U(1) magnetic field is realized as a U(1) link and is constructed in the following way. Finiteness of lattice size introduces an infrared cutoff to the U(1) field [8]. Let us denote the lattice size $(N_x, N_y, N_z, N_t)$ and coordinate as $n_\mu = 0, \cdots, N_\mu - 1$ ($\mu = x, y, z, t$). The external magnetic field in $z$ direction $\vec{B} = (0, 0, B)$ is described by the link variable $u_\mu(n)$ of the U(1) field and $u_\mu(n)$ is expressed as follows,

$$
\begin{align*}
  u_x(n_x, n_y, n_z, n_t) &= \begin{cases}
    \exp[-i q \vec{\mathcal{B}} N_x], & (n_x = N_x - 1) \\
    1 & (\text{otherwise})
  \end{cases} \\
  u_y(n_x, n_y, n_z, n_t) &= \exp[i q \vec{\mathcal{B}} N_y], \\
  u_z(n_x, n_y, n_z, n_t) &= u_t(n_x, n_y, n_z, n_t) = 1.
\end{align*}
$$

(2.1)

Here $q$ is the electric charge of each quark and $\vec{\mathcal{B}} \equiv a^2 \vec{B}$. One-valuedness of the one particle wave function along with a plaquette requires the Dirac quantization,

$$
q \vec{\mathcal{B}} = \frac{2 \pi N_b}{N_x N_y},
$$

(2.2)

where $N_b \in \mathbb{Z}$ is the number of magnetic flux through unit area for $x$-$y$ plane. The ultraviolet cutoff $a$ introduces also a periodicity of the magnetic field along with $N_b$. Namely, a range $0 \leq N_b < N_x N_y / 4$, represents an independent magnitude of the magnetic field $B$.

### 2.2 Lattice setup

We employ the HISQ action with a tree-level Symanzik gauge action. To generate configurations, we use the Rational Hybrid Monte-Carlo algorithm. We perform multi-stream runs to increase the statistics. The quark masses are degenerate but up type and down(strange) type quarks are assigned different electromagnetic charges as those in the real world. Our lattice size is $16^3 \times 6$ and $a^{-1} \sim 740$ MeV. $\beta$ ranges are $[5.8, 6.2]$ and $[5.7, 6.05]$ for $m_\pi = 320$ MeV and $m_\pi = 80$ MeV, respectively. We take $0 \leq N_b \leq 56$, which corresponds to $0 \leq \sqrt{\mathcal{B}} \lesssim 870$ MeV in the physical unit.

We measure standard observables, the chiral condensate and its susceptibility and the Binder cumulant [9] for the chiral condensate. The Binder cumulant is defined as a function of $\beta$,

$$
B_4(\beta) = \frac{\langle (\delta \bar{\psi} \psi)^4 \rangle}{\langle (\delta \bar{\psi} \psi)^2 \rangle^2},
$$

(2.3)

where $\delta \bar{\psi} \psi = \bar{\psi} \psi - \langle \bar{\psi} \psi \rangle$. The minimum of Binder cumulant $B_4$ indicates orders of phase transitions: $B_4 = 3$ corresponds to crossover, $B_4 \sim 1.6$ for the second order phase transition with the Ising $\mathbb{Z}_2$ universality class, $B_4 = 1$ for the first order phase transition [10].
In addition, we measure three types of condensates,
\[
\langle \bar{\psi} \psi \rangle_{\text{full,f}}^l(B) = \int D\bar{U}P[U;B] \mathrm{Tr} \left[ \frac{1}{D^l[U;B]+m} \right],
\]
\[
\langle \bar{\psi} \psi \rangle_{\text{val,f}}^l(B) = \int D\bar{U}P[U;0] \mathrm{Tr} \left[ \frac{1}{D^l[U;B]+m} \right],
\]
\[
\langle \bar{\psi} \psi \rangle_{\text{sea,f}}^l(B) = \int D\bar{U}P[U;B] \mathrm{Tr} \left[ \frac{1}{D^l[U;0]+m} \right],
\]
where \( P[U;B] = \frac{1}{Z[B]} e^{-S_{\text{f}}[U]} \mathrm{Det} [D^{up}[U;B] + m]^{1/2} \mathrm{Det} [D^{down}[U;B] + m]^{1/2} \) and \( f \) denotes up and down quarks. The flavor averaged chiral condensate is defined by
\[
\langle \bar{\psi} \psi \rangle^l(B) = \left( \langle \bar{\psi} \psi \rangle_{\text{up}}^l(B) + \langle \bar{\psi} \psi \rangle_{\text{down}}^l(B) \right) / 2
\]
for \( l = \text{full, val, sea} \). We calculate relative increases of the chiral condensate along with the magnetic field \([11]\),
\[
r^l(B) = \frac{\langle \bar{\psi} \psi \rangle^l_{\text{spec}}(B) - \langle \bar{\psi} \psi \rangle^l_{\text{spec}}(0)}{\langle \bar{\psi} \psi \rangle^l_{\text{spec}}(0)}
\]
where \( \langle \bar{\psi} \psi \rangle^l_{\text{spec}}(B) \) is defined using spectral summation with the Dirac spectrum which is explained below. They are related by \( r^{\text{full}}(B) = r^{\text{val}}(B) + r^{\text{sea}}(B) + O(B^4) \) \([11]\). This formula is only valid in \( eB \ll 1 \) because it is based on the Taylor expansion with respect to \( eB \). However, it can shed some light on the origin of the normal/inverse magnetic catalysis.

### 3. Stochastic spectrum estimator

Here we review the stochastic spectrum estimator \([12, 13, 14, 15]\). Let us denote \( n[s,t] \) as the number of eigenvalues of a Hermitian operator \( \tilde{D} = \tilde{D}(A) \) having eigenvalues in \([-1, 1]\) in a range \([s,t]\). We use a ramp function \( h_{[s,t]}(x) \) with a support \([s,t]\), i.e.
\[
h_{[s,t]}(x) = \begin{cases} 
1 & (s < x < t), \\
0 & \text{(otherwise).}
\end{cases}
\]
By definition, the number is \( n[s,t] = \sum_j \langle h_{[s,t]}(\lambda_j^{\tilde{D}(A)}) \rangle_A \), where \( \langle \cdots \rangle_A \) is an ensemble average and \( \lambda_j^{\tilde{D}(A)} \) is \( j \)–th eigenvalue of \( \tilde{D} \) on a gauge configuration \( A \). Summation over all of eigenvalues can be represented by trace operation and,
\[
n[s,t] = \mathrm{Tr} \left[ \langle h_{[s,t]}(\tilde{D}) \rangle_A \right] \approx \frac{1}{N_t} \sum_{k=1}^{N_t} \langle \xi_k^l h_{[s,t]}(\tilde{D}) \xi_k \rangle_A \approx \sum_{n=0}^p \gamma_{[s,t]}^{(m)} N_t \sum_{k=1}^{N_t} \langle \xi_k^l T_n(\tilde{D}) \xi_k \rangle_A,
\]
where \( h_{[s,t]}(x) \approx \sum_n \gamma_{[s,t]}^{(m)} T_n(x) \) and \( T_n(x) \) is the Chebyshev polynomial. \( \xi_k \) is \( k \)–th random vector and \( N_t \) is the number of random vectors. During the derivation, we have approximated trace operation as a Monte-Carlo average of random vectors. In actual calculation we introduce the Jackson dumping factor to suppress the Gibbs phenomena in the most right hand side in (3.2) as in Ref.\([14]\).
In order to apply the Chebyshev expansion to calculate the Dirac spectrum, we take
\[ D = D^\dagger D - \frac{\lambda_{\text{max}} D^\dagger D + \lambda_{\text{min}} D^\dagger D}{2}, \tag{3.3} \]
where \( \lambda_{\text{max}} \) and \( \lambda_{\text{min}} \) are the maximum and minimum eigenvalues of a positive Hermitian operator \( D^\dagger D \), respectively. Thus the range of eigenvalue of \( \tilde{D} \) is restricted in \([-1, 1]\). By taking the square root of \( \sqrt{\lambda_{\text{max}} D^\dagger D} \), we reconstruct the Dirac spectrum through the following equation,
\[ \rho(\lambda) \equiv \rho(\sqrt{\lambda_{\text{max}} D^\dagger D}, \delta) = \frac{1}{2V} \frac{1}{\delta}, \tag{3.4} \]
where \( \sqrt{\lambda_{\text{max}} D^\dagger D} = \sqrt{\frac{\lambda_{\text{max}} D^\dagger D + \lambda_{\text{min}} D^\dagger D}{2}} \) and \( \sqrt{\lambda_{\text{min}} D^\dagger D} = \sqrt{\frac{\lambda_{\text{max}} D^\dagger D - \lambda_{\text{min}} D^\dagger D}{2}} \). Here \( V \) is the volume of the system and for the step size \( \delta \) we have \( \delta = 0.0005 \). The eigenvalues are \( D\psi_j = i\lambda_j \psi_j \) and
\[ \rho(\lambda) = \frac{1}{V} \sum_j \langle \delta(\lambda - \lambda_j^A) \rangle_A, \tag{3.5} \]
where \( \psi_j \) is an eigenvector. Using the Dirac spectrum, one can reconstruct the chiral condensate,
\[ \langle \bar{\psi}\psi \rangle_{\text{spec}} = \int_0^\infty d\lambda \frac{2m}{\lambda^2 + m^2} \rho(\lambda), \tag{3.6} \]
except for finite volume corrections. This \( \langle \bar{\psi}\psi \rangle_{\text{spec}} \) is used in the calculation of the relative increase of chiral condensate \( r \).

4. Results

Here we show our results for \( m_\pi = 320 \text{ MeV} \). In general \( m_\pi = 80 \text{ MeV} \) system shows qualitatively similar results with \( m_\pi = 320 \text{ MeV} \) system except for behavior of the pseudo critical temperature in external magnetic fields so we just address some results on \( m_\pi = 80 \text{ MeV} \) system later.

Our results for the chiral condensate susceptibility (left panel) and corresponding Binder cumulant (right panel) for \( m_\pi = 320 \text{ MeV} \) are shown in Fig. 1. It can be clearly seen that the peak location of the chiral susceptibility moves to lower temperature in stronger magnetic field and the peak heights increases with the magnetic field. The Binder cumulant shows that the system lies in the crossover regime.

In Fig. 2 we show the Dirac spectra with \( m_\pi = 320 \text{ MeV} \) at just above the critical temperature. Dirac spectra with the magnetic field in both sea and dynamical quarks, sea quark only and valence quark only, obtained using (2.4), (2.5) and (2.6), are shown from left to right panel in Fig. 2. For \( N_B > 0 \), left four panels are consistent with inverse magnetic catalysis while valence ones only show normal magnetic catalysis behavior.

The relative increase of chiral condensate \( r \) with \( m_\pi = 320 \text{ MeV} \) in two different phases is shown in Fig. 3. The left panel is for the low temperature phase. All of them monotonically increases as a function of \( N_B \), namely they show normal magnetic catalysis. Right panel shows the
dependence on $N_b$ in the high temperature phase. We can see that contributions from the dynamic sea quarks show non-monotonical behavior. This indicates that the inverse magnetic catalysis seems to originate from contribution from dynamic sea quarks. This is consistent with the results from Ref. [4].

![Graph 1](image1.png)

**Figure 1:** Results for basics observables with $m_\pi = 320$ MeV. Left panel shows the chiral susceptibility. For $N_b \neq 0$, we observe small peaks while it does not appear for $N_b = 0$. Right panel shows the Binder cumulant for the chiral condensate. There are no signal for chiral phase transition.

![Graph 2](image2.png)

**Figure 2:** Dirac spectrum with $m_\pi = 320$ MeV at just above the critical temperature. From left to right, Dirac spectrum with the magnetic field both in sea and dynamical quarks, sea quark and valence quark (see text), respectively. From top to bottom, $N_b = 0, 16, 56$. Top plots are identical but are shown just for comparison. For $N_b > 0$, left four panels are consistent with inverse magnetic catalysis while valence ones only show normal magnetic catalysis behavior.

We also measure the chiral condensate and its susceptibility for $m_\pi = 80$ MeV system. The susceptibility shows nontrivial dependence of peak location on $N_b$, namely, first the critical temperature increases and decreases. Except for nontrivial dependence of the susceptibility for the magnetic field, results shows qualitatively similar behavior. It might be physical phenomena around
Figure 3: The relative increase of chiral condensate $r$ with $m_\pi = 320$ MeV in two different phases. Left panel is for low temperature phase. All of them are monotonically increased as a function of $N_b$, namely they show normal magnetic catalysis. Right panel shows $r$ dependence on $N_b$ in the high temperature phase. Except for $r$ which contain magnetic field effect only in the probe, they show inverse magnetic catalysis.

chiral limit but $m_\pi L \sim 1.7 < 4$, so it could be finite volume effects and further investigations are needed. We observe that the Dirac spectrum is qualitatively similar to the heavier case.

5. Summary

We have performed simulations of $N_f = 3$ QCD in external magnetic fields using the HISQ action on $16^3 \times 6$ lattices. Two different values of quark masses are chosen corresponding to $m_\pi = 320$ MeV and 80 MeV. We have observed inverse magnetic catalyses in both cases of $m_\pi = 320$ MeV and 80 MeV. Except for the dependence of the pseudo critical temperature on the background magnetic field, two systems show qualitatively similar behavior. On the other hand, $m_\pi = 80$ MeV data shows non monotonic dependence on the external field. However, this result is suspected coming from finite volume effects and further investigation is needed.

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