Circularly Polarized Thermal Radiation From Nonequilibrium Coupled Antennas
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Circularly polarized light can be obtained by using either polarization conversion or structural chirality. Here we reveal a fundamentally unrelated mechanism of generating circularly polarized light using coupled nonequilibrium sources. We show that thermal emission from a compact dimer of subwavelength, anisotropic antennas can be highly circularly polarized when the antennas are at unequal temperatures. Furthermore, the handedness of emitted light is flipped upon interchanging the temperatures of the antennas, thereby enabling reconfigurability of the polarization state lacked by most circularly polarized light sources. We describe the fundamental origin of this mechanism using rigorous fluctuational electrodynamic analysis and further provide practical examples for its experimental implementation. Apart from the technology applications in reconfigurable devices, communication, and sensing, this work motivates new inquiries of angular momentum related thermal radiation phenomena using thermal nonequilibrium, without applying magnetic field.

I. INTRODUCTION

Circularly polarized (CP) light has recently acquired great attention in context of spin-controlled nanophotonics [1, 2], spintronics [3] and chiral quantum optics [4] where its spin angular momentum is harnessed for engineering spin-dependent light matter interactions at nanoscale. Given its fundamental and technological importance, there is a strong demand for CP light sources having high purity and compactness with/without reconfigurability of the polarization state.

One approach to obtain CP light is by passing unpolarized light through a linear polarizer followed by an optimized polarization conversion device such as a metasurface [5–7], which can preferentially convert it into right circularly polarized (RCP) light or left circularly polarized (LCP) light. Another more fundamental approach utilizes structural (geometric/material) chirality at the level of the source, examples of which include a long list of electroluminescent [8–12] and photoluminescent [13–16] CP light sources. Apart from these approaches, CP light generation via thermal radiation (incandescence) has been demonstrated experimentally using the same underlying mechanisms [17, 18]. These are few representative examples of all types of CP light sources which are fundamentally based on either polarization conversion or structural chirality.

In this work, we demonstrate a different mechanism of generating CP light based on near-field coupling between nonequilibrium sources. It is unrelated to polarization conversion or chirality and cannot be described using those concepts. This mechanism is useful because practically, it solves the challenging problem of reconfigurability of the polarization state lacked by most CP light sources. Fundamentally, it reveals an unforeseen connection between thermal nonequilibrium and angular momentum of light.

We consider a dimer system of two perpendicularly oriented, subwavelength, anisotropic shaped antennas depicted in figure 1. We analyze the spin angular momentum of thermal emission from the dimer using fluctuational electrodynamics. We note that this approach [19] remains largely unexplored in the field of thermal radiation despite many decades of separate works on radiative heat transfer [20, 21] and angular momentum of non-thermal light [22, 23]. The fluctuational electrodynamic analysis reveals that suitable correlations (imaginary valued) between orthogonal components of thermally fluctuating sources are necessary for emission of CP light. We find that the strong correlations are realized through near field interactions between the antennas when they are held at unequal temperatures (nonequilibrium). On the other hand, antennas at equal temperatures emit very weakly polarized thermal radiation. Interestingly, for nonequilibrium antennas, the handedness of emitted radiation is flipped upon interchanging the temperatures of the antennas thus enabling reconfigurability of the polarization state lacked
by most CP light sources [17, 18]. We further explore the experimental feasibility of observing this phenomenon with dimers of antennas made of Silicon Carbide (SiC) and Indium Phosphide (InP), amongst many other plasmonic/polaritonic materials. The resonantly enhanced near-field interaction between the localized dipolar modes of the antennas, leads to reasonably strong mid-infrared CP light emission under temperature difference of $\Delta T \sim 30K$ across separation of $d \sim 1\mu m$ between the antennas. This should be experimentally realizable in the near future since a significant experimental progress in measuring near-field radiative heat transfer has facilitated exploration of large temperature differences at nanoscale and has verified the validity of fluctuational electrodynamic theory [24–26]. We further provide another conceptual example system based on plasmonic nanolaser, operated well below lasing threshold, where amplified spontaneous emission is described using fluctuational electrodynamics. That example demonstrates that the proposed mechanism is not limited to ‘thermal’ nonequilibrium but can also be implemented in other forms such as population inversion of gain atoms, paving the way for CP light emitting LEDs.

In comparison to other solid state designs that can emit CP thermal radiation [17, 18, 27, 28], the proposed mechanism offers a practical advantage of temperature-based polarization reconfigurability. The underlying fluctuational electrodynamic analysis sheds additional light on previous designs and expands the design space by incorporating nonequilibrium systems. In the field of thermal radiation, the proposed mechanism reveals a non-intuitive fundamental connection between thermal nonequilibrium and angular momentum of light. While almost all studies of angular momentum are primarily limited to non-thermal light [29–31], few recent studies [19, 32] have started to explore related thermal radiation phenomena. But they require application of magnetic field. Our work suggests the possibility of exploring such phenomena using thermal nonequilibrium without applying magnetic field. In context of using nonequilibrium systems for shaping thermal radiation, other recent works have explored directional emissivity [33, 34], nontrivial thermal forces and torques [35] and enhanced emissivity from nonequilibrium antennas [36]. Our work conveys that one can take advantage of temperature-based reconfigurability in nonequilibrium systems, for numerous applications in communications, sensing and detection technologies.

We have divided the manuscript into following parts. In section II, we demonstrate circular polarization (spin angular momentum) of thermal emission from the dimer system of Fig.1 using fluctuational electrodynamics. We describe the fundamental origin of CP light emission from nonequilibrium antennas and further provide general design guidelines for systems more complicated than the proposed dimer. In section III, we explore the practical implementation of the proposed mechanism by considering realistic SiC and InP antenna dimers and a conceptual plasmonic nanolaser system as another viable option. Finally, we conclude by highlighting the fundamental and technological relevance of this work and future research directions in section IV.

II. DIMER OF ANTENNAS

Theory: Thermal radiation consists of electromagnetic fields generated by thermal, stochastic motion of charges. These can be calculated from Maxwell’s equations by introducing fluctuating currents provided they satisfy specific fluctuation dissipation relations [20, 37]. To study thermal emission from a dimer of subwavelength antennas, we consider one variant of this fluctuational electrodynamic approach termed as finite dipole model where thermal sources are randomly fluctuating dipole moments of dipolar sources, satisfying specific fluctuation dissipation relations [38–40]. We consider the geometry depicted in Fig.1 consisting of two subwavelength (dipolar), anisotropic antennas placed near the origin in vacuum with their long axes oriented along arbitrary $\hat{e}_1$ and $\hat{e}_2$ directions. The antennas have temperatures $T_1, T_2$ and vacuum polarizabilities $\alpha_1, \alpha_2$ along their orientations respectively. The fluctuating dipole moments of these dipolar antennas in the absence of any interactions are given by $p_1\hat{e}_1$ and $p_2\hat{e}_2$ which satisfy the following fluctuation dissipation relations in the frequency domain [38–40]:

$$\langle p_j^*(\omega) p_k(\omega') \rangle = \frac{2\varepsilon_0}{\omega} \text{Im}\{\alpha_j\} \Theta(\omega, T_j) \delta(\omega - \omega') \delta_{jk} \quad (1)$$

Here $\{j, k\} = \{1, 2\}$, $\Theta(\omega, T) = \frac{h\omega/2 + h\omega/\exp(h\omega/k_B T) - 1}{h\omega}$ is the mean thermal energy of a Harmonic oscillator of frequency $\omega$. $T_j$ is the temperature of dipolar object and $\langle \ldots \rangle$ denotes statistical ensemble average. When the dipoles are placed close to each other, the interactions between them lead to effective dipole moments:

$$\ddot{p}_j = p_j + \varepsilon_0 \alpha_j [E_{jk}(\ddot{p}_k) \cdot \hat{e}_j] \quad (2)$$

for $j \neq k$. $E_{jk}(\ddot{p}_k)$ denotes the electric field at dipole $j$ due to dipole $k$. It is straightforward to calculate the far field thermal radiation as well as the near-field induced correlations of the effective dipole moments using the
non-thermal light in several works \cite{22,30,41} to thermal radiation \cite{19}, we write the spectral energy density \( S(\omega) \) of emitted light in vacuum as:

\[
\langle W(\omega) \rangle = \frac{1}{2} \langle \varepsilon_0 \mathbf{E}^*(\omega) \cdot \mathbf{E}(\omega) + \mathbf{H}^*(\omega) \cdot \mathbf{H}(\omega) \rangle
\]

\[
\langle S(\omega) \rangle = \frac{1}{2\omega} \text{Im} \{ \langle \varepsilon_0 \mathbf{E}^*(\omega) \times \mathbf{E}(\omega) + \mu_0 \mathbf{H}^*(\omega) \times \mathbf{H}(\omega) \rangle \}
\]

Note the use of \( \langle ... \rangle \) which denotes the statistical ensemble average of physical quantities in context of thermally generated radiation. We further define a dimensionless vector quantity called as spectral thermal spin,

\[
\mathbf{S}_T(\omega) = \frac{\omega \langle \mathbf{S}(\omega) \rangle}{\langle W(\omega) \rangle}
\]

whose magnitude in a given direction lies between \([-1,1]\) with \(-1\) denoting pure LCP light and \(+1\) denoting pure RCP light along that direction. In an actual experiment, this direction can be along the axis of a detector. Since the quantity given by eq. (6) remains largely unexplored in the field of thermal radiation and may not be familiar to researchers working on thermal radiation and heat transfer topics, we point out its connection with closely related well-known concepts. In particular, one can also understand the spin of thermal radiation in more familiar language of Stokes polarimetry. The Stokes \( S_3 \) parameter describes the circular polarization of the fields lying transverse to a given propagation direction. If one calculates the Stokes \( S_3 \) parameters for thermally generated fields in all three orthogonal coordinate planes \cite{42}, one retrieves a form similar to equation (6). It is also well-known that circularly polarized laser light can impart angular momentum to small, absorptive particles in its path causing them to rotate about their own axis \cite{43}. It is then meaningful to calculate the spin angular momentum of light \[eq. (6)\] since optical torque on these particles is proportionate to it \cite{44,45}.

We calculate the spectral thermal spin given by \[eq. (7)\] for the example geometry of two dipolar antennas placed close to each other near the origin with their centers at \( x_1 \) and \( x_2 = x_1 + d\mathbf{e}_d \). The fluctuating dipole moments and associated correlations such as \( \langle \hat{p}_1(\omega) \hat{p}^*_2(\omega) \rangle \) are calculated using equations (1-4). The calculation of electromagnetic fields and other related quantities in the far-field at a point \( R\hat{e}_R \) is simplified since only the leading order terms \( O(\frac{1}{|R\hat{e}_R|}) \) are important. The distances between dipoles and \( R\hat{e}_R \) in the far-field are \( R_j = |R\hat{e}_R - x_j| = R - x \cdot \hat{e}_R \). Using simple algebraic manipulations, we derive the following expression for the spectral thermal spin in the far field at a point \( R\hat{e}_R \):

\[
\mathbf{S}_T(\omega) = \frac{2 \text{Im} \{ e^{ik_0d} (\hat{e}_1 \times \hat{e}_2) \cdot (\hat{e}_R \times \hat{e}_R) \} \{ \langle \hat{p}_1(\omega) \hat{p}^*_2(\omega) \rangle \} \} \{ \langle \hat{e}_1 \times \hat{e}_2 \rangle \cdot \hat{e}_R \}
\]

\[
\langle \hat{p}_1(\omega) \hat{p}^*_2(\omega) \rangle = \frac{2\varepsilon_0}{|D|^2} \{ (\alpha_1 k_0^3 \kappa) \text{Im} \{ \alpha_1 \} \Theta(\omega, T_1) + (\alpha_2 k_0^3 \kappa) \text{Im} \{ \alpha_2 \} \Theta(\omega, T_2) \}, \quad D = 1 - \alpha_1 \alpha_2 k_0^6 \kappa^2
\]

\[
\langle |\hat{p}_1(\omega)|^2 \rangle = \frac{2\varepsilon_0}{|D|^2} \{ \text{Im} \{ \alpha_1 \} \Theta(\omega, T_1) + \text{Im} \{ \alpha_2 \} \Theta(\omega, T_2) \alpha_1 k_0^3 \kappa^2 \}, \quad \langle |\hat{p}_2(\omega)|^2 \rangle = \langle |\hat{p}_1(\omega)|^2 \rangle (1 \leftrightarrow 2),
\]

The dimensionless near field coupling \( \kappa \) between the two dipoles is given by:

\[
\kappa = \frac{e^{ik_0d}}{4\pi(k_0d)^3} \left[ (k_0d)^2[(\hat{e}_1 \cdot \hat{e}_2) - (\hat{e}_1 \cdot \hat{e}_d)(\hat{e}_2 \cdot \hat{e}_d)]
\]

\[
+ (ik_0d - 1)[(\hat{e}_1 \cdot \hat{e}_2) - 3(\hat{e}_1 \cdot \hat{e}_d)(\hat{e}_2 \cdot \hat{e}_d)]
\]
Equation (8) is the central result of this work which offers many analytic insights regarding generation of CP light as discussed below.

**Necessary condition for emission of CP light:** It follows from eq.(8) that the spin of far-field thermal emission is always radial which we identify as radial thermal spin 

\[ S_R = S_T \cdot \hat{e}_R. \]

Evidently \( S_R = 0 \) in all directions \((\hat{e}_R)\) when \( \hat{e}_1 = \hat{e}_2 \) (parallel dipoles) and \( \text{Im}\{e^{i\omega(x_1-x_2)}\hat{e}_R \{p_j^*(\omega)p_j(\omega))\} = 0 \) (no correlations). The latter condition can be used to find the necessary condition for emission of CP radiation from an arbitrary body. We note that if instead of two physically separate antennas, a single dipolar object with dipole moments \( p_j \hat{e}_j \) for \( j \in [x,y,z] \) is considered, then it follows that the correlations \( \text{Im}\{\langle p_j^*(\omega)p_j(\omega)\} \) between these orthogonal components are necessary to produce CP radiation in the far-field. Since it is not possible to have nonzero thermal spin with zero correlations between orthogonal components of underlying fluctuating sources, it follows that this is a necessary condition for generation of CP light. This condition can be generalized to arbitrary bodies by discretizing them into subvolumes much smaller than the emission wavelength and conceptualized as electric point dipoles. This approach is known as thermal discrete dipole approximation \[\{\langle \]

This yields \( S_R = 0 \) when both the dipolar antennas are at equal temperatures \( T_1 = T_2 \). Therefore, we consider nonequilibrium configuration \( T_1 \neq T_2 \) for which the radial thermal spin in the (normal) direction \( \hat{e}_R = \hat{e}_z \) is:

\[ S_R = \frac{2\text{Im}\{(\hat{\alpha} + \hat{\alpha}^*\frac{\Theta(\omega,T_2)}{\Theta(\omega,T_1)}) (1 + |\hat{\alpha}|^2) - 2\text{Re}\{(\hat{\alpha} + \hat{\alpha}^*\frac{\Theta(\omega,T_2)}{\Theta(\omega,T_1)})\}}{(1 + |\hat{\alpha}|^2)(1 + \frac{\Theta(\omega,T_2)}{\Theta(\omega,T_1)})}. \]

Here, the dimensionless, normalized polarizability \( \hat{\alpha} = \frac{\alpha d^3}{\kappa} \) is introduced to capture the dependence of radial spin \( S_R \) on material properties (polarizability \( \alpha \)), geometry (near-field coupling \( \kappa \)) and wavelength/wavevector \( (k_0) \) in a concise manner. It follows that when the normalized polarizability \( \hat{\alpha} = \pm i \), \( S_R = \pm \frac{\Theta(\omega,T_1)}{\Theta(\omega,T_1)+\Theta(\omega,T_2)} \). This gives high purity circular polarization \( (S_R \rightarrow \pm 1) \) when the ratio \( \Theta(\omega,T_2)/\Theta(\omega,T_1) \) is either very large or very small. We illustrate with practical examples further below that this dependence on both wavelength and temperature makes strong CP light feasible even when temperatures \( T_1, T_2 \) are not very different. In the following, not restricting ourselves to \( \hat{e}_z \) direction, we explore the dependence of handedness on various design parameters.

**Dependence on antenna temperatures:** For small separation between the antennas \( (k_0d < 1) \), it follows from eq.(8) that \( S_R \propto \text{Im}\{\langle p_j^*(\omega)p_j(\omega)\} \). Under this condition, for any geometric configuration of two nonequilibrium coupled antennas of equal polarizabilities \( (\alpha_1 = \alpha_2) \), the thermal spin is flipped \( (S_R \rightarrow -S_R) \) upon interchanging the temperatures of the antennas. While there is no specific advantage of using antennas of unequal polarizabilities \( (\alpha_1 \neq \alpha_2) \), similar flipping of handedness (sign of \( S_R \)) is observed but the change in the magnitude of \( S_R \) depends on the polarizabilities. As we show further below, it is difficult to realize high purity \((|S_R| \sim 1)\) CP radiation at large separations due to decreased near-field interactions. But similar tunability of handedness based on temperatures is observed at large separations for weakly polarized light.

**Dependence on emission direction:** We note that only one of the normalized polarizability conditions \( \alpha d^3 = \pm i \) is true depending on the sign of near-field coupling \( \kappa \) since the condition \( \text{Im}\{\alpha \} > 0 \) must hold true for real, passive (lossy) dipoles \[\langle \]

and using definition (6) above. In this work, we first focus on a simple dipolar dimer which is easier to understand, analyze and optimize.

**Optimum design for maximum purity CP light along normal direction:** We now find a design that emits maximum purity \((S_R = \pm 1)\) CP thermal radiation. To simplify the optimization, we consider the example geometry of Fig.1 with \( \hat{e}_1 = \hat{e}_z, \hat{e}_2 = \hat{e}_y \) (antennas lying in the xy-plane) and focus on thermal emission in \( \hat{e}_z \) direction. Since the phase factor \( e^{i\omega(x_1-x_2)}\hat{e}_z = 1 \) for \( \hat{e}_z \) direction, radial spin \( S_R \sim \text{Im}\{\langle p_1^*(\omega)p_2(\omega)\} \) and useful analytical expressions can be obtained. Considering a practically relevant situation of equal vacuum polarizabilities \( \alpha_1 = \alpha_2 = \alpha \), one finds that the radial thermal spin \( S_R \sim \text{Im}\{(\alpha d^3)^{\hat{\alpha}} + (\alpha d^3)^{\hat{\alpha}}\frac{\Theta(\omega,T_2)}{\Theta(\omega,T_1)}\}. \]

This yields \( S_R = 0 \) when both the dipolar antennas are at equal temperatures \( T_1 = T_2 \). Therefore, we consider nonequilibrium configuration \( T_1 \neq T_2 \) for which the radial thermal spin in the (normal) direction \( \hat{e}_R = \hat{e}_z \) is:

\[ S_R = \frac{2\text{Im}\{(\hat{\alpha} + \hat{\alpha}^*\frac{\Theta(\omega,T_2)}{\Theta(\omega,T_1)}) (1 + |\hat{\alpha}|^2) - 2\text{Re}\{(\hat{\alpha} + \hat{\alpha}^*\frac{\Theta(\omega,T_2)}{\Theta(\omega,T_1)})\}}{(1 + |\hat{\alpha}|^2)(1 + \frac{\Theta(\omega,T_2)}{\Theta(\omega,T_1)})}. \]
LCP/RCP emission in northern/southern hemisphere when $T_2 \ll T_1$. This flipping of thermal spin $S_R$ upon inverting the direction follows from vectorial part of eq. (8) where the radial spin $S_R \rightarrow -S_R$ when $\hat{e}_R \rightarrow -\hat{e}_R$. As a consequence, the total (integrated over all directions) angular momentum of emitted radiation is zero as expected for a system lacking any intrinsic source of angular momentum. In context of emission from magneto-optic nanoparticles recently studied in Ref. [19], the cyclotron motion of electrons in presence of applied magnetic field is responsible for generating angular momentum intrinsically and consequently, the total angular momentum radiated by that particle is nonzero and lies along the direction of applied magnetic field (due to its spherical, isotropic shape).

**Dependence on polarizabilities:** The top two figures of fig.2(a) illustrate the handedness distribution for polarizability $\alpha k_0^3 = 0.22i$ which leads to the normalized polarizability $\tilde{\alpha} = -i$, an optimum design for high purity CP light along $\pm \hat{e}_z$ direction. Bottom two figures of Fig.2(a) illustrate the change in the handedness distribution upon tuning the polarizability to $\alpha k_0^3 = 0.2 + 0.22i$. With this latter configuration, the maximum purity of the radial spin occurs along intermediate and multiple directions indicating that the direction of maximum purity CP emission depends on the polarizabilities and configuration of the antennas. This example shows that the condition $\tilde{\alpha} = \pm i$ obtained above is not a unique and limiting configuration for observing maximum purity CP light from nonequilibrium antennas but other configurations can also be employed. For both these examples, despite complicated directional dependence, northern/southern hemispheres contain the same overall RCP/LCP emission when $T_2 \gg T_1$, with handedness flipped upon $T_2 \leftrightarrow T_1$. Here, we introduce the notation $\leftrightarrow$ to denote the interchange of two quantities in a concise manner. This allows us to summarize the above handedness dependence as: RCP $\leftrightarrow$ LCP when $T_2 \leftrightarrow T_1$ or $\hat{e}_R \leftrightarrow -\hat{e}_R$ keeping other parameters the same.

**Dependence on relative locations of antennas:** The overall handedness for northern/southern hemispheres is determined by the relative spatial configuration of dipoles $\hat{e}_d$ which affects the permissible optimum polarizability ($\tilde{\alpha} = +i$ or $-i$). In particular, for the configuration discussed above, $\hat{e}_d = (-\hat{e}_x + \hat{e}_y)/\sqrt{2}$ leads to $\tilde{\alpha} = -i$ as the physically permissible optimum design along $\pm \hat{e}_z$. In an alternative configuration with $\hat{e}_d = (\hat{e}_x + \hat{e}_y)/\sqrt{2}$, $\tilde{\alpha} = i$ is the physically permissible value, and this leads to flipping of RCP $\leftrightarrow$ LCP in previous configurations when all other parameters are kept the same. This is illustrated in Fig.2(b) where L-shaped configuration in the xy-plane with hot vertical and cold horizontal antennas leads to RCP/LCP emission in northern/southern hemisphere and opposite distribution is observed for its mirror image (in yz-plane).
counterpart.

**Dependence on orientations of antennas:** It further follows from eq. (8) that RCP ↔ LCP is expected upon interchanging the dipole orientations \((\hat e_1 \leftrightarrow \hat e_2)\) which has an important implication for any isotropic objects. For isotropic objects, the fluctuating dipole moments along all three directions are considered and the radial spin \(S_R\) is calculated by considering all dipole pairs. It turns out that the radial spin vanishes for all directions due to spin cancellations from conjugate pairs in the same plane. For instance, \(S_R\) emitted by the pair of dipoles \((\vec p_{1x}, \vec p_{2y})\) is always opposite in direction to that emitted by the pair \((\vec p_{1y}, \vec p_{2x})\) due to orientation flipping. Moreover, they are equal in magnitude because \((\vec p_{1x}\vec p_{2y}) = (\vec p_{1y}\vec p_{2x})\) which is true for equal polarizabilities along both directions and provided that the interaction between dipoles is reciprocal. From this, it follows that the anisotropic shape of dipolar objects is necessary to produce CP light. Note that an anisotropic nanoparticle by itself does not emit CP light due to lack of correlations between orthogonal components of its fluctuating dipole moments.

**Dependence on distance between antennas:** While the dependence of thermal spin on distance \(d\) between the dipolar antennas is quite complicated \([\text{Eq.} (8)]\), the optimum polarizability condition \(\alpha_0k_0^2 < 1\) provides some useful insights. Since \(|\kappa|\) depends inversely on the distance \(k_0d\), it follows that the polarizabilities \((\alpha_0^3\alpha_1^3)\) and the separation distance \((k_0d)\) required for the optimum design are also inversely related. For small distances \((k_0d \ll 1)\), the phase factor \(e^{i k_0d (\hat e_1 \cdot \hat e_R)} \sim 1\) in eq. (8). Under this condition, one can realize maximum purity CP thermal emission with small polarizabilities \((\alpha_0^3 < 1)\) using nonequilibrium antennas discussed above. As we show below, the emission from the antennas at equal temperatures in this regime is at best very weakly polarized. For large separation distances \((k_0d > 1)\) corresponding to negligible near-field interactions, the phase factor \(e^{i k_0d (\hat e_1 \cdot \hat e_R)}\) matters and one can numerically optimize the design. However, since \(|\kappa| \ll 1\), the required polarizabilities are very large \((\alpha_0^3 \gg 1)\) and quite difficult to realize with real, practical systems.

**Antennas at equal temperatures:** For small separation distances \(k_0d \ll 1\), the phase factor \(e^{i k_0d (\hat e_1 \cdot \hat e_R)} \sim 1\) simplifies eq. (8). It then follows that for two antennas of polarizabilities \(\alpha_1, \alpha_2\) having equal temperatures \((T_1 = T_2)\), radial spin \(S_R \propto \text{Im}[\text{Im} \left( \alpha_1 \text{Re} \alpha_2 - \text{Im} \alpha_2 \text{Re} \alpha_1 \right)]\). Thus, \(S_R = 0\) not only for equal vacuum polarizabilities \((\alpha_1 = \alpha_2)\) but also when \(\alpha_2/\alpha_1 \in \mathbb{R}\) where \(\mathbb{R}\) stands for real numbers. Even after overcoming the difficulty of achieving \(\alpha_2/\alpha_1 \notin \mathbb{R}\) with engineered design of materials and geometrical shapes, it turns out that, such a dimer produces very weakly polarized radiation. This occurs because of its direct dependence on the dissipative (imaginary) part of near field coupling \((S_R \propto \text{Im}(\kappa))\) which is very small \((\text{Im}(\kappa) \ll |\kappa|)\) for relevant separations \(k_0d \lesssim 1\). For nonequilibrium antennas discussed above, the thermal spin \(S_R\) is non-negligible since it also depends on \(\text{Re}(\kappa)\) because of unequal temperatures. A dimer of antennas at equal temperatures and having equal (or unequal) polarizabilities always produces unpolarized (or very weakly polarized) thermal radiation.

We note that in order to produce strong CP thermal radiation with antennas at equal temperatures, a many body system can be considered where the antennas are anisotropic and arranged in a staggered manner so that imaginary valued correlations between orthogonal dipole moments can be non-negligible through many body interactions. A metasurface of such anisotropic equilibrium antennas is already explored in Ref. [18] where a Kagome lattice of silicon carbide nanorods is considered. In that work, the resulting emission is predicted to be CP based on the spin-split dispersion of underlying modes achieved with inversion asymmetric metasurface. While the prediction is based on a concrete underlying mechanism, it can be analyzed quantitatively by extending our fluctuational electrodynamic analysis to a many-body system of such dipolar antennas.

**Summary of fluctuational electrodynamic analysis:** In the following, we point out the important aspects of CP thermal radiation from nonequilibrium coupled antennas by summarizing the dependence of radial spin \(S_R\) upon select few parameters analyzed above:

1. \(S_R \leftrightarrow -S_R\) when \(T_1 \leftrightarrow T_2\): The polarization state or handedness of emitted light can be reconfigured by interchanging the temperatures.
2. \(S_R \rightarrow -S_R\) when \(\hat e_R \rightarrow -\hat e_R\): Total angular momentum of emitted radiation is zero.
3. \(S_R \leftrightarrow -S_R\) when \(\hat e_1 \leftrightarrow \hat e_2\): Cancellation of spin of thermal emission from isotropic dipolar particles. Anisotropic shape is required for generation of CP light
4. The maximum purity of CP light from nonequilibrium antennas is \(\max\{S_R\} = \pm \left( \frac{\Theta(\omega, T_1) - \Theta(\omega, T_2)}{\Theta(\omega, T_1) + \Theta(\omega, T_2)} \right)\). Its magnitude depends only on the emission wavelength and the antenna temperatures while its direction depends on polarizabilities and geometric configuration of antennas.

Thermal nonequilibrium enables strong CP thermal radiation from a compact dimer of antennas that produces very weakly polarized emission at equilibrium (equal temperatures). Strong CP thermal emission from systems at thermal equilibrium is possible by using optimized chiral absorber metasurfaces [27] or many-body configurations of antennas [18, 28]. However,
dynamical reconfigurability of circular polarization state with such solid state designs is a major unsolved problem. Thermal nonequilibrium enables the reconfigurability by simply interchanging the temperatures. Furthermore, reconfigurability can be implemented at arbitrary operating temperatures unlike other potential options of reconfigurability such as phase change materials that are limited to certain operating temperatures due to material specific transition temperatures. Thermal nonequilibrium implemented in a dimer system of antennas can thus achieve three important features of efficient CP light sources namely, high purity, compactness and reconfigurability of the polarization state. In the following, we explore the experimental feasibility of this mechanism with suitable practical examples.

III. PRACTICAL IMPLEMENTATION

As a potential experimental system to observe CP thermal emission from nonequilibrium antenna dimers, we consider a system depicted in the insets of Fig.3 comprising of two arrays of Silicon Carbide (SiC) dipolar nanoantennas fabricated on top of suitable micro-heaters. Silicon Carbide is considered a good material choice for studying thermal emission due to large quality factor ($Q \sim 10^3$) phonon-polaritonic resonances which occur around room-temperature thermal wavelengths ($\lambda \sim 10\mu m$). The localized surface polaritonic modes supported by these anisotropic antennas resonantly enhance the polarizabilities ($\alpha_1, \alpha_2$) as well as the interactions between the antennas. We consider the antennas having length, breadth and height of 0.4$\mu m$, 0.1$\mu m$ and 0.1$\mu m$ respectively. These can be approximated as prolate ellipsoids [49] having radii $R_a = 200$nm (major axis) and $R_b = 50$nm (minor axis). For the realistic examples considered here, the polarizability along the major axis is:

$$\alpha(\omega) = \frac{4}{3}\pi R_a R_b^2 \left[ (\varepsilon(\omega) - 1) \right]$$

(9)

where $N$ is the geometrical factor dependent on the eccentricity of the ellipsoid $\varepsilon_e = \sqrt{1 - (R_b/R_a)^2}$ through the following relation [49]:

$$N = \frac{1 - \varepsilon_e^2}{\varepsilon_e^2 - \frac{1}{2\varepsilon_e}} \left( \frac{1}{1 - \varepsilon_e} \right)$$

(10)

$\varepsilon(\omega)$ is the permittivity of SiC taken from reference [50] and is given below:

$$\varepsilon(\omega) = \varepsilon_\infty \frac{\omega^2 - \omega_{LO}^2 + i\Gamma \omega}{\omega^2 - \omega_{TO}^2 + i\Gamma \omega}$$

(11)

where $\varepsilon_\infty = 6.7$, $\omega_{LO} = 1.825 \times 10^{14}$rad/s, $\omega_{TO} = 1.494 \times 10^{14}$rad/s and $\Gamma = 8.966 \times 10^{13}$rad/s. The polarizability along minor axis is given by (9) with the geometrical factor $N' = (1 - N)/2$. It is well-known that both polarizabilities are resonantly enhanced (minimization of denominator) at two different frequencies. For the purpose of illustration, we focus on CP thermal emission at frequencies of dipolar resonant modes along major axes. At the corresponding resonant wavelength, the polarizabilities along minor axes are orders of magnitude weak and negligibly affect the dominant thermal emission from polarizabilities along major axes.

The polarizability of these prolate ellipsoids is further influenced by the presence of the substrate. We account for these changes within the dipolar approximation using well-known Green’s function technique [37, 51]. The modified effective polarizability of the dipolar antennas is $\alpha_{eff}(\omega) = \alpha(\omega)/(1 - \alpha(\omega)k_0^2 G(\omega))$ where $k_0 = \omega/c$. The expression

$$G(\omega) = \frac{i}{8\pi} \int_0^\infty dk_p \frac{k_p}{\sqrt{1 - k_p^2}} \left[ (1 - k_p^2) \varepsilon_m(e^{i\theta} - e^{i\theta} \rho + \rho^* e^{-i\theta}) \right] e^{2\pi\sqrt{1 - k_p^2} d_s}$$

(11)

is calculated numerically and it depends on the distance $d_s$ of the antenna dipole from the surface of the metallic heater. $\varepsilon_m$ denote the usual Fresnel reflection coefficients for light incident from vacuum onto the substrate. We assume the permittivity of the heater to be $\varepsilon_m = -40 + 10i$ for calculation of Fresnel reflection coefficients. We pick this arbitrary value to reflect the fact that any highly reflective metallic surface such as tungsten, doped semiconductor, metal nitrides can be used in its place. The dependence of the results upon changes in the large negative substrate permittivity is negligible. We note that the dipolar analysis is not accurate for large field gradients and higher order multipoles contributing when the antennas are separated by small surface to surface (antenna surface) separations $d_s$ such that $d_s/R_a < 1$. We avoid this regime for illustration purpose.

Figure 3 describes the thermal emission spin ($S_T$) along the normal direction $(\hat{e}_z)$ from the dimer pairs. It shows its dependence upon the separation between the antennas $d$ and their temperatures, $T_c = 300K$ (cold) and $T_h = T_c + \Delta T$ (hot). We assume that the dimer pairs are separated by distance much larger than $d$ and use the arrays to motivate an actual experiment. The magnitude of $S_T \cdot \hat{e}_z$ for two different separations is shown by light and dark orange (a) and green (b) curves and that for two different $\Delta T$ is shown by solid and dashed lines. As depicted in the inset of Fig.3(a), we first consider a configuration where horizontal dipoles (in $xy$-plane) are heated to high temperature $T_h$ while the vertical dipoles are maintained at a lower temperature $T_c$. This results in the emission of RCP light along the normal $\hat{e}_z$ direction. When the temperatures of the antennas are flipped leading to a configuration depicted
When the temperatures are interchanged.

(b) The handedness of emission is switched to LCP respectively. (a) When horizontal antennas are at hotter breadth, height of each antenna are 0.4 \( \mu \text{m}, 0.1 \mu \text{m}, 0.1 \mu \text{m} \) respectively. (a) When horizontal antennas are at hotter temperature than the vertical antennas, resulting emission is RCP. (b) The handedness of emission is switched to LCP when the temperatures are interchanged.

in the inset of Fig.3(b), the resulting emission is LCP keeping all other parameters the same. Since microheater temperatures can be tuned, this enables reconfigurability of the polarization state of the emitted radiation.

As described earlier, for given temperatures \( T_h \) and \( T_c \), the maximum purity that can be reached upon design optimization is \( \frac{\Theta(\omega,T_c) - \Theta(\omega,T_h)}{\Theta(\omega,T_c) + \Theta(\omega,T_h)} \) and depends on both wavelength and temperatures. At mid-infrared wavelength of 11.6 \( \mu \text{m} \), the proposed device emits strong circularly polarized light with thermal spin \( S_r \sim 0.65 \) for \( \Delta T = 200 \text{K} \) and \( S_r \sim 0.3 \) for \( \Delta T = 50 \text{K} \). Note that the SiC antennas also exchange near-field radiative heat flux of the order of \( \lesssim 1 \text{nW} \) for these configurations (not shown). This near-field heat flux affects the temperatures of antennas. This effect can be monitored in a real experiment and can be analyzed using a separate thermal model. Here, we use reasonable values of steady state temperatures of the antennas for the calculations. Overall, this analysis of the thermal spin of emission from SiC antenna dimers indicates feasibility of observing reasonably strong CP light under temperature difference of \( \Delta T \sim 50 \text{K} \) across separation of \( d \gtrsim 0.5 \mu \text{m} \) between the antennas.

One can also consider other Rstrahlen materials [52] such as Indium Phosphide (InP), Gallium Arsenide (GaAs), Indium Antimonide (InSb) etcetera which support localized polaritons at longer wavelengths and can allow generation of CP light for larger separations. As an example, figure 4(a) demonstrates CP light generation from a dimer of coupled nonequilibrium InP nanoantennas. The permittivity of InP is obtained from ref. [50] and is described by the Lorentz oscillator model given in eq.(10) with following parameters. \( \varepsilon_\infty = 9.61, \omega_{LO} = 6.498 \times 10^{13} \text{rad/s}, \omega_{TO} = 5.720 \times 10^{13} \text{rad/s} \) and \( \Gamma = 6.495 \times 10^{11} \text{rad/s} \). Length, breadth and height of each antenna are assumed to be 0.8 \( \mu \text{m}, 0.4 \mu \text{m}, 0.4 \mu \text{m} \) respectively. Figure 4(a) shows the spectral thermal spin of emitted radiation when the antennas are separated by a fixed separation of \( d \approx 1.1 \mu \text{m} \) with temperatures \( T_c = 100 \text{K} \) and \( T_h \) (hot). As shown, the thermal emission spin \( S_r \sim 0.15 \) is obtained for a temperature difference of \( \Delta T = 30 \text{K} \), indicating the feasibility of observing CP light with smaller \( \Delta T \) and larger \( d \) compared to SiC antennas. This example shows that other materials, operating temperatures and dimer configurations can be explored to optimize emission of CP light from nonequilibrium antennas.

While we focused so far on thermal nonequilibrium, other forms of nonequilibrium can be potentially implemented using the same dimer configuration. One example is amplified spontaneous emission (ASE) from one of the antennas containing active gain medium where nonequilibrium is in the form of population inversion of gain atoms. The incoherent ASE noise below lasing threshold, the incoherent amplified spontaneous emission (ASE) from the gain antenna coupled with another nearby antenna can lead to high purity CP light at suitable separations. This example shows that the proposed mechanism is not limited to ‘thermal’ nonequilibrium but can be potentially implemented in other forms such as population inversion of gain atoms.

As depicted in the inset of fig.4(b), we consider a metallic (e.g. gold, silver) antenna enclosed in a dye doped shell which acts as an amplifying medium. Such
intricate systems have been considered experimentally in context of plasmonic nanolasers [56, 57]. While large pump requirements for plasmonic lasing in these geometries make the experimental realization of lasing challenging [58], here we operate with lower gain values (well below lasing threshold) and consider its use for circularly polarized ASE from the dimer.

For this conceptual example, we consider the gain nanoantenna to have an effective permittivity:

\[
\varepsilon(\omega) = 1 - \frac{\omega_p^2}{\omega_p^2 + i\Gamma\omega_p} + \frac{D_0\gamma_\perp}{(\omega - \omega_21) + i\gamma_\perp}
\]

(12)

consisting of Drude part \(\varepsilon_r\) and a gain medium part \(\varepsilon_g\). The transition frequency of gain atoms is \(\omega_21\) while the strength of (pump-tunable) population inversion is characterized by \(D_0\). We choose the parameters \(\omega_p = 4.38 \times 10^{15} \text{rad/s}, \ \Gamma = \omega_p/200, \ \omega_21 = 1.2 \times 10^{15} \text{rad/s,}\)

\(\gamma_\perp = \omega_21/100\). The gain is chosen to be \(D_0 = 0.15\) such that \(\text{Im}\{\varepsilon\} > 0\) at all wavelengths ensuring ASE regime well below loss-compensation. We assume the other nanoantenna to be characterized by the permittivity \(\varepsilon_r\).

Length, breadth and height of each antenna are 100nm, 25nm, and 25nm respectively. The polarization of \(\alpha_{1,2}(\omega)\) are calculated using eq.(9). The fluctuating polarization associated with ASE from the gain antenna is described by the fluctuation dissipation relation [55]:

\[
(p_{1g}(\omega)p_{1g}(\omega')) = \frac{2\pi\epsilon_0}{\omega}\text{Im}\{\alpha_1(\omega)\}\text{Im}\varepsilon_g(\omega)\delta(\omega - \omega')
\]

(13)

where \(n_2,n_1\) represent the populations in excited and ground states of gain atoms. We assume \(n_2 = 0.95n,\)

\(n_1 = n - n_2\) with \(n\) as the total number of gain atoms. Since ASE is much larger than the thermal radiation from the antennas, it suffices to calculate the spectral thermal spin of ASE given by equation (8) and calculated using above correlations. Figure 4(b) demonstrates the spectral thermal spin for two different separations \(d\) between the antennas. For the above parameters, the highest purity CP light \((S = 1)\) is obtained at a wavelength \(\lambda = 1.55 \mu m\), for \(d = 134\)nm as shown by the dark blue curve. This conceptual example shows that the proposed mechanism for generating CP light using nonequilibrium antennas is not limited to systems under thermal nonequilibrium. Apart from the use of active gain medium, one can also consider use of biased semiconductors where the heat bath of underlying fluctuating currents has an effective chemical potential or temperature that can be tuned by changing the voltage bias [54, 59]. These other forms of nonequilibrium requiring more experimental [56, 57] and theoretical details can be explored in separate future works.

**Summary of potential experimental platforms:**

For mid-IR CP thermal emission based on thermal nonequilibrium, one important technical difficulty is that of maintaining large temperature difference across small gaps for high purity CP light. However, we are confident that the proposed mechanism of CP light generation can be experimentally implemented in the near future. In particular, there has been a significant experimental progress in the area of near-field radiative heat transfer which has facilitated exploration of thermal nonequilibrium at nanoscale and has also verified the validity of fluctuational electrodynamic theory [24, 25]. In particular, much larger temperature differences \((\Delta T \gtrsim 100K)\) across small gaps \(d \lesssim 1 \mu m\) have been experimentally probed in suitable geometries [60, 61]. Our analysis of SiC and InP antennas above provides an estimate that reasonably strong CP light \((S \sim 0.2)\) can be observed with such dimers held at temperature difference as small as \(\Delta T \sim 30K\) and separated by a gap size of \(d \sim 1 \mu m\). Such an experiment will be fundamentally important as it will reveal for the first time the non-intuitive connection between thermal nonequilibrium and angular momentum of light.

In the long run, CP light emission at near-IR wavelengths and other practical applications such as CP light emitting LEDs can be pursued by exploring other nonequilibrium systems considered above. In comparison to other CP light sources based on structural chirality or polarization conversion, reconfigurability is an important distinct advantage of the proposed mechanism. Related to the tunability of device temperature in context of CP thermal emission, recent works [36, 62, 63] have explored dynamical modulation of thermal emission in 2D opto-electronic platforms where ultra-fast switching rates \(\gtrsim 100\text{MHz}\) have been realized. We therefore envision that in the long run, the proposed mechanism can be combined with such newly emerging concepts to build a compact, high purity and dynamically reconfigurable source of CP light.

**IV. CONCLUSION**

We demonstrated a mechanism of CP thermal radiation based on near-field coupling between nonequilibrium antennas. It is unrelated to geometric/material chirality or use of any polarization conversion device. We showed that a simple dimer of coupled nonequilibrium antennas can facilitate a great degree of control over the polarization of emitted radiation upon tuning the temperatures, emission direction, relative orientations and positions of antennas. Through a rigorous fluctuational electrodynamic analysis of the dependence on these parameters, we revealed the fundamental origin and described the general design guidelines for generating CP light from fluctuating thermal sources. Our analysis revealed that the imaginary valued correlations between orthogonal
components of fluctuating sources are necessary for emission of CP light. In context of thermal emission from coupled dipolar thermal sources, anisotropic shape is necessary to emit CP thermal radiation. While the computational design with such dipolar bodies is simple and convenient, one can also go beyond this regime with advanced computational tools and inquire about the circular polarization described by equation (6) of thermal emission from arbitrary, non-intuitive geometries [48].

We further explored the experimental feasibility of generating CP light from nonequilibrium antennas with realistic examples of SiC and InP antennas. A reasonably strong CP light from nonequilibrium antennas can be detected in the near future using these example systems. To show that the proposed mechanism is not limited to ‘thermal’ nonequilibrium, we further provided a conceptual example of plasmonic nanolaser system that can emit circularly polarized amplified spontaneous emission by operating well below lasing threshold. Consideration of such non-trivial approaches is important for practical applications such as CP light emitting LEDs.

The underlying approach of analyzing spin angular momentum property of thermal radiation remains largely unexplored in the field of thermal radiation [19]. This approach opens door to numerous future studies of angular momentum related radiative heat transport phenomena. For instance, one immediate extension of the current work can be consideration of many body configurations of anisotropic dipolar antennas for shaping angular momentum properties of light, using thermal nonequilibrium without applying magnetic field. Our analysis also shows a spatial distribution of angular momentum radiated by nonequilibrium antennas. This implies that nontrivial torques on nanoscale bodies can be expected in the vicinity of these antennas. Interestingly, this is already probed by other recent work [35] which demonstrates thermal nonequilibrium enabled torques with temperature dependent sign and magnitude. This surprising fundamental connection between thermal nonequilibrium and angular momentum of light suggests new possibilities in context of not only nonequilibrium but also nonisothermal bodies. From the perspective of nanoscale thermometry, detection and sensing applications, one question that arises is how angular momentum of emitted radiation is influenced by the temperature gradients in nonisothermal bodies which requires an answer necessarily within fluctuational electrodynamic theory and yet remains unsolved. We leave these inquiries aside for future work.

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