3D model based shading correction for the enhancement of multispectral colour measurement accuracy

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Abstract. Shading effects induced by illumination and local object surface orientation could strongly deteriorate the accuracy of colour imaging. Therefore, a shading correction is needed for high colour fidelity. In this work a 3D model based inverse rendering approach is proposed for a narrowband multispectral 3D colour imaging system with a calibrated extern broadband light source. The colourimetric evaluation with an X-Rite colour checker shows that the proposed method could ensure a mean colour difference value CIEDE2000 ΔE < 3 for the viewing angle range -30° to 30°, while the maximal improvement factor concerning mean ΔE comes to 2.86.

1. Introduction and related work
Multispectral colour imaging technique could transcend the accuracy limitation of conventional RGB cameras and recognize minor colour differences. Hence it is widely used in food quality monitoring [1], biological imaging [2], medical skin imaging [3], cultural heritage [4] etc. A major challenge in colour reproduction is that the colour appearance of object surfaces can be strongly affected by illumination and viewing geometry. Therefore, reliable colour values could be captured only if colour measurements are performed with controlled lighting in regard to the CIE standard geometries [5], for instance the 45°-0° geometry (illumination at 45° and viewing at 0°) or 8° directional-hemispherical geometry using an integrating sphere. However, the realization of these standard geometries could be expensive for large imaging areas due to difficulties in the device manufacturing. Furthermore, at non-planar objects the local surface orientation is overall varying, so it is impossible to realize the CIE geometries for all object surface points, leading to shading effects that downgrade the colour accuracy. For this problem, an inverse image rendering as shading correction could be performed to retrieve the pure material characteristics from raw image data. Considering that the shading effects are subordinate to the object form, the 3D object model should be the foundation of the shading correction. In [6] and [7] a colour value correction based on depth data and look-up-tables is realized for each image pixel. But in their work the local surface orientation, on which the incidence angle and thus the incident light density are dependent, is not utilized. Contrarily, the shading correction in [8] is based on local surface normal vectors estimated with high-resolution 3D models. But the influence of the distance between

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illuminator and object is not involved in this inverse rendering, and the digital projector with a white LED that is used as illuminator cannot cover the entire visible range 380-780 nm so that this method cannot be directly adopted for multispectral imaging systems.

In this article, a comprehensive 3D based shading correction method is presented for a multispectral colour imaging system. The proposed approach combines the usage of the depth data, surface normal, and a calibrated broadband light source. The colour accuracy at this system was evaluated with different object orientations.

2. Shading correction algorithm

Figure 1 illustrates the relation between light source, surface local geometry and observer camera. For the realistic modelling of reflector lamps the point light source model in [9] with non-isotropic angular emission is used, in which the angular radiant distribution around its light axis \( l_{\text{axis}} \), is modelled using a polynomial function \( f_{\text{ARD}} \). According to the photometric distance law, the incident light density \( L_{\text{inc}} \) at an object point \( P \) is dependent on the light axis \( l_{\text{axis}} \), incident vector \( \mathbf{l} \), and surface normal vector \( \mathbf{n} \):

\[
L_{\text{inc}} = \frac{f_{\text{ARD}}(\mathbf{l}, l_{\text{axis}}) \cdot \cos(\theta(\mathbf{l}, \mathbf{n}))}{\|\mathbf{l}\|^2} = \frac{f_{\text{ARD}}(\mathbf{l}, l_{\text{axis}}) \cdot \cos(\theta_{\text{inc}})}{\|\mathbf{l}\|^2},
\]

(1)

**Figure 1.** Photometric reflection model for Lambertian surface.

The reflection light density \( L_{\text{ref}} \) in the observation direction with respect to the surface normal is related to the bidirectional reflectance distribution function (BRDF) of the surface. In this work, the assumption of Lambertian reflection model is adopted. While this assumption may seem to be restrictive, it is the common approximation for the angular appearance of diffuse surfaces in a certain angle range. So, the shading correction is performed as inverse rendering relating to a pre-defined reference incidence angle \( \theta_r \) and reference distance \( l_r \) between object and light source. In high-resolution 3D point clouds, local surface normal vectors can be efficiently and accurately computed with the principal component analysis (PCA) based method [10], enabling a robust estimation of light incidence angles \( \theta_{\text{inc}} \). Then, raw camera intensity values \( I_{\text{raw}} \) at each spectral channel can be normalized to \( I_{\text{norm}} \) with equation (2):

\[
I_{\text{norm}}(\theta_{\text{inc}}, \mathbf{l}) = I_{\text{raw}} \cdot \frac{\cos(\theta_r)}{\cos(\theta_{\text{inc}})} \cdot \frac{\|\mathbf{l}\|^2}{l_r^2} \cdot \frac{1}{f_{\text{ARD}}(\mathbf{l}, l_{\text{axis}})}. \quad (2)
\]

3. Experimental setup

Figure 2 illustrates the schematic of the experimental setup. It consists of a halogen lamp emitting at 30° as permanent illumination for the multispectral colour measurement and a sensor head composed of one digital pattern projector and two 8-bit multispectral filter wheel cameras [11] for the synchronous colour and 3D shape acquisition. This stereo camera system has 16° triangulation angle and 160 mm baseline. The spectral range 400-775 nm is split into 16 equidistant channels with 25 nm bandwidth that are set at both cameras, while the transversal interchannel pixel displacement is compensated using the radial-tangential model in [12]. Furthermore, both cameras have a shared channel at 550 nm, at which aperiodic sinusoidal fringe patterns [13] are projected for the accurate stereo matching. Thereby, the both partial multispectral images are pixel-wise registered into a full spectrum image, while the 3D object shape is
reconstructed via stereophotogrammetry. The rendered spectral values are then mapped to the CIE XYZ colour space under standard illuminant D65 using the linear matrix transform method in [14], whereas the matrix coefficients were estimated by a colour calibration with the X-Rite colour checker Passport. For light source calibration a diffuse and uniformly coloured plane target was utilized. This target was observed at 20 different poses with significant variation of position and orientation, at each of which the 3D model of the target and the 3D light intensity distribution on it were obtained. Then, the parameters in the light source model in [9] can be estimated from a non-linear data fitting, whereas the angular non-uniformity of light distribution is modelled with a quadratic function. The light source parameters are used for the shading correction both in the colour calibration and colour measurement.

4. Colourimetric evaluation results

The performance of the proposed shading correction approach was evaluated with experiments. Firstly, the spectral colour calibration was performed with the colour checker angle $\phi = 0^\circ$. Afterwards, the colour checker was captured in the angle range from $-30^\circ$ to $30^\circ$ in step of $5^\circ$. For all 24 colour patches, the XYZ colour values are transformed to the L’$a’$’b’ colour space, in which the $\Delta E$ colour difference values according to the CIEDE2000 standard [15] are calculated at these 13 angles. Figure 3(a) indicates the mean $\Delta E$ values calculated over all 13 viewing angles at these colour patches. A significant reduction of colour difference is achieved at 22 colour patches with shading correction. A minor increase of $\Delta E$ could be seen at the dark skin patch (bottom left) and black patch, probably due to the deteriorated 3D measurement accuracy in these areas with lower reflectance so that the normal estimation error grows.

![Figure 3. Colourimetric evaluation results. (a): mean $\Delta E$ over different viewing angles $\phi$ at 24 colour patches. Top/bottom: $\Delta E$ with/without shading correction. (b): mean $\Delta E$ and standard deviation over all 24 colour patches at different colour checker orientations.](image)

Figure 3(b) illustrates the mean $\Delta E$ and standard deviation over all 24 colour patches at different $\phi$. First of all, it could be seen that the mean $\Delta E$ values and standard deviation calculated with raw spectral data rise strongly with the growth of angle $\phi$. With the shading correction the mean $\Delta E$ could be reduced to smaller than 3 in the angle range $-30^\circ$ to $30^\circ$, while the standard deviation is lower than 1.65. At $\phi = 30^\circ$, an improvement factor of 2.86 concerning mean $\Delta E$ is reached. Nevertheless, there is still a minor $\Delta E$ increase with the angle growth. The reasons for it may be that the surface reflection does not perfectly match the Lambertian model at large viewing angles, and the errors in the light source calibration and surface normal estimation have an increased influence on the accuracy of inverse rendering in this case.

5. Summary and discussion

This work aims at the evaluation of the possibility of using inverse rendering based on 3D model and a calibrated light source for the improvement of multispectral colour imaging. The experimental results
show that the “acceptable tolerance” of $\Delta E = 3$ [16] could be ensured for a large viewing angle range at diffuse surfaces under the assumption of the Lambertian reflection model. Considering the deviation of real object surfaces from the Lambertian model or for non-diffuse objects, multiple calibrated illuminators could be employed to sequentially illuminate the same object point from different directions for a pixel-wise model based BRDF estimation, so that their colour appearances at different viewing angles could be estimated.

6. References
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