Extremely metal-poor star candidates in the SDSS *

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Abstract For a sample of metal-poor stars ($-3.3 \leq [\text{Fe/H}] \leq -2.2$) that have high-resolution spectroscopic abundance determinations, we have measured equivalent widths of the Ca\textsc{ii} K, Mg\textsc{i} b and near-infrared Ca\textsc{ii} triplet lines using low-resolution spectra from the Sloan Digital Sky Survey (SDSS), calculated effective temperatures from $(g-z)_0$ color, deduced stellar surface gravities by fitting stellar isochrones, and determined metallicities based on the aforementioned quantities. Metallicities thus derived from the Ca\textsc{ii} K line are in much better agreement with the results determined from high-resolution spectra than the values given in the SDSS Data Release 7. The metallicities derived from the Mg\textsc{i} b lines have a large dispersion owing to the large measurement errors, whereas those deduced from the Ca\textsc{ii} triplet lines are too high due to both non-local thermodynamical equilibrium (NLTE) effects and measurement errors. Abundances after correction for the NLTE effect for the Mg\textsc{i} b lines and Ca\textsc{ii} triplet lines are also presented. Following this method, we have identified six candidates of ultra-metal-poor stars with $[\text{Fe/H}] \sim -4.0$ from a sample of 166 metal-poor star candidates. One of them, SDSS J102915+172927, was recently confirmed to be an ultra-metal-poor ($[\text{Fe/H}] < -4.0$) star with the lowest metallicity ever measured. Follow-up high-resolution spectroscopy for the other five ultra-metal-poor stars in our sample will therefore be of great interest.

Key words: stars: abundances — stars: Population II — techniques: spectroscopic

1 INTRODUCTION

Elemental abundance patterns in the cosmos result from the nuclear processes that happened in the Big Bang, stars, and the interstellar medium (Pagel 1997). Measurements and analyses of stellar elemental abundances can help to address the formation and evolution of stars and galaxies. Searching for extremely metal-poor (EMP, $[\text{Fe/H}] < -3$; Beers & Christlieb 2005) stars and follow-up detailed analyses of their elemental abundances can give us a deep insight into the formation of the first generation of stars and the early chemical evolution of galaxies (Frebel 2010). To obtain accurate elemental abundance of EMP stars, one needs time-consuming high-resolution spectroscopic observations. As such, candidates of EMP stars are first selected from low to intermediate-resolution spectra for follow-up high-resolution spectroscopic observations (Beers & Christlieb 2005). The

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most iron-poor stars discovered so far are all candidates selected by low-resolution spectroscopic surveys, such as the HK survey (Beers et al. 1992) and the Hamburg/ESO survey (Wisotzki et al. 1996), and their ultra-low metallicities were later confirmed by follow-up high-resolution spectroscopic observations, e.g. HE 0107–5240 ([Fe/H] = −5.2; Christlieb et al. 2002), HE 1327–2326 ([Fe/H] = −5.4; Frebel et al. 2005; Aoki et al. 2006), and HE 0557–4840 ([Fe/H] = −4.75; Norris et al. 2007). Thus, reliable metallicity determinations for metal-poor stars from low to intermediate-resolution spectra are the key to finding and identifying more EMP stars.

Using spectra with a resolving power $R \sim 7500$ from the Radial Velocity Experiment (RAVE) survey, Fulbright et al. (2010) developed an efficient technique for identifying candidates of ultra-metal-poor stars ([Fe/H] $< -4$) from a pool of metal-poor ([Fe/H] $< -2$) stars, without the need for further observations using higher spectral resolutions. With this method, three stars with [Fe/H] $\lesssim -4$ were identified in a sample of approximately 200 000 stars.

The large number of low-resolution spectra provided by the Sloan Digital Sky Survey (SDSS) and to be obtained by the forthcoming LAMOST (Large Sky Area Multi-Object Fiber Spectroscopic Telescope, also named the Guo Shou Jing Telescope; Wang et al. 1996; Su et al. 1998; Xing et al. 1998; Zhao 2000; Cui et al. 2004; Zhu et al. 2006; Zhao et al. 2012; c.f. http://www.lamost.org/website/en/) Galactic surveys (Deng et al. 2012; Liu et al. 2012, in preparation) motivate the need for better metallicity determinations from those spectra, in particular at low metallicity, such that reliable stellar candidates of EMP stars can be identified for follow-up high spectral resolution, high signal-to-noise ratio (S/N) spectroscopy. Preliminary observations of LAMOST obtained during the early commissioning phase have shown its ability to search for metal-poor stars in the Milky Way (Li et al. 2010; Wu et al. 2010; Ren et al. 2012). As one of the hitherto most successful and productive surveys, the SDSS has accumulated hundreds of thousands of low resolution stellar spectra that can be utilized to search for candidates of EMP stars. The stellar atmospheric parameters released in the SDSS Data Release 7 (DR7) (Abazajian et al. 2009) are provided by the SEGUE Stellar Parameter Pipeline (SSPP). For the DR7 version of the SSPP, the metallicity determinations at the extremum of [Fe/H] $< -3.0$ were not well constrained due to lack of corresponding calibrators (Smolinski et al. 2011). There is evidence that the SSPP-derived metallicities for stars below [Fe/H] = −2.7 can be 0.3 dex higher than the actual values determined by high-resolution spectroscopy (Yanny et al. 2009). The performance of the SSPP has been significantly improved in DR8 of the SDSS (Aihara et al. 2011), yet the most metal deficient star found by the SSPP in this huge pool of more than half a million stars has an unexpectedly, rather high metallicity, [Fe/H] = −3.9, indicating that even the current, most up-to-date version of the SSPP might have missed the most metal-poor stars. Motivated by the success of Fulbright et al. (2010) in finding EMPs with the RAVE spectra, we have applied their technique to the huge data set of the SDSS spectra with even lower spectral resolution than those of RAVE. We show that the method is not only applicable to the SDSS spectra, it is even capable of finding candidates of [Fe/H] $< -4$.

The paper is organized as follows. In Section 2, we describe our method and apply it to a sample of 13 metal-poor stars that have both SDSS and high-resolution spectroscopic observations. In Section 3, we extend the analysis to a large sample of low-metallicity star candidates in the SDSS data archive and search for candidates of EMP stars. Our summary follows in Section 4.

2 METHOD

2.1 Selecting a Sample of Metal-Poor Stars with High-Resolution Spectra

The abundance data derived from high-resolution spectroscopy of known metal-poor stars can be found in the table that contains elemental abundances of ~1000 metal-poor stars collected from the literature by Frebel (2010), and the Stellar Abundances for Galactic Archeology (SAGA) Database (Suda et al. 2008) that contains 1212 metal-poor halo stars with [Fe/H] $< -2.5$. Those valuable data provide an effective way to examine our method and test the results. We select metal-poor stars with
[Fe/H] < −2.0 from the compilation of Frebel and the SAGA database, and identify them in the SDSS archive. In total, we find 13 metal-poor stars with the SDSS spectra.

Table 1 lists the stellar parameters deduced from high-resolution spectroscopic analyses and those given in SDSS DR8. Note that there exist systematic uncertainties in the high-resolution abundance data collected from different literature studies (the original references are also listed in Table 1) (Frebel 2010). But the relatively small-scale systematic uncertainties (around 0.3 dex, Frebel 2010) will not affect our conclusions, so we can use this high-resolution sample as a reference to provide robust estimates for [Fe/H].

A comparison of the abundances derived from high-resolution spectra and those determined with the SSPP used in DR8 is given. It is clear that the SSPP has overestimated the metallicities of those metal-poor stars, on average by ∼0.1 dex (see also Fig. 3(d) in Sect. 2.5). In addition, the SSPP also seems to have overestimated the effective temperatures (by approximately 100 K) compared with those adopted in high-resolution spectroscopic analyses (c.f. Fig. 1(b) in Sect. 2.3). The latter is probably an important factor leading to the higher metallicities of the SSPP.

### 2.2 The Adopted Metal Line Features

Given the limited S/N and spectral resolution of the SDSS spectra, weak Fe I lines can only be used for metallicity analysis in a limited stellar parameter range (Ren et al. 2012). On the other hand, the Ca II K line at 3933.7 Å, the Mg I b lines at 5167.3, 5172.7 and 5183.6 Å, and the Ca II triplet lines at 8498.0, 8542.1 and 8662.1 Å are stronger and easier to measure for metal-poor stars. The Ca II K line is probably the best indicator for the overall metallicity of a metal-poor star when only low S/N and low resolution spectra are available (Frebel 2010). Generally, there exists a degeneracy among the stellar parameters including effective temperature, surface gravity and metallicity. A lower-metallicity, cooler giant and a higher-metallicity hotter turnoff star can have equal strengths with those lines (Fulbright et al. 2010). For the Ca II triplet lines, a 100 K difference in temperature leads to a difference of about 0.1 dex metallicity (Fulbright et al. 2010), i.e. an overestimated effective temperature will result in an overestimated metallicity.

For a metal-poor star with [Fe/H] ∼ −1.5, one can assume that the α-element (Ca, Mg, etc.) abundances are enhanced by 0.4 dex with respect to the Solar α/Fe ratio (Fulbright et al. 2010). Although there are some outliers that have a strong enhancement of the α element (Frebel 2010,
Fig. 1 (a) Comparison of $T_1$ and $T_2$ effective temperatures deduced from the $(g-z)_0$ color and those adopted in high-resolution analyses for the sample of 13 metal-poor stars. The effective temperatures given in SDSS DR8 are plotted in (b). (c) Comparison of surface gravities $\log g$ obtained from the isochrones and those adopted in high-resolution analyses. (d) Same as (b) but for surface gravities $\log g$.

fig. 2), this assumption is generally found to be valid in most cases and is also assumed in the current work when we convert the deduced calcium or magnesium abundance to that of iron.

2.3 Determination of Stellar Atmospheric Parameters

2.3.1 Effective temperature

Being the most important factor influencing estimates of metallicities, erroneously assigned effective temperatures can result in biased elemental abundances. For our sample, the DR8 version of the SSPP produces a higher estimate of the effective temperature, $\sim 100$ K higher than those adopted in high-resolution spectroscopic analyses. Fulbright et al. (2010) utilize the near-infrared photometry from the 2MASS survey (Cutri et al. 2003) and optical $UBV$ photometry to calculate photometric effective temperature $T_{\text{phot}}$. Here we make use of the high quality SDSS $ugriz$ photometry and use the long-baseline $(g-z)_0$ color as an effective temperature indicator. Two calibrations are available to us.
Ludwig et al. (2008) obtain a theoretical relation between the \((g - z)_0\) color and the effective temperature based on model atmospheres,

\[
T_{\text{eff}} = 7126.7 - 2844.2 \cdot (g - z)_0 + 666.80 \cdot (g - z)_0^2 - 11.724 \cdot (g - z)_0^3,
\]

(1)

Smolinski et al. (2011), based on likely cluster members and calibration, derive two color-temperature relations applicable to different metallicity regions. For the range of elemental abundance of interest here, we adopt their relation for \([\text{Fe/H}] < -1.5\),

\[
T_{\text{eff}} = 6993 - 2573 \cdot (g - z)_0 + 530.9 \cdot (g - z)_0^2.
\]

(2)

The effective temperatures derived from the above two calibrations are referred to as \(T_1\) and \(T_2\). \(T_1\) and \(T_2\) are respectively 31 and 74 K lower on average than the values adopted in high-resolution spectroscopic analyses (which are mostly photometric temperatures).

2.3.2 Surface gravity

We adopt the Yonsei-Yale isochrones (Demarque et al. 2004) for the 13 metal-poor stars. Considering that most metal-poor stars are old stars, we adopt an age of 12 Gyr for all of our stars. As the metallicity cannot be easily derived by measuring the equivalent width of faint Fe I lines in the SDSS spectra, we use the metallicity estimates in SDSS DR8 as initial values. The values of surface gravity obtained from the isochrone assuming the effective temperature calculated above are then set as the initial values of stellar surface gravity (see Fig. 2). Guided by results adopted in the high-resolution spectroscopic analyses, for cooler stars with \(\log T_{\text{eff}} < 3.79\), we use the upper parts of the isochrones (above the turn-off points), while for hotter stars with \(\log T_{\text{eff}} > 3.79\), we take the lower parts of the isochrones (below the turn-off points). A comparison of values for surface gravity thus derived with those adopted in the high resolution spectroscopic analyses is given in Figure 1(c).

Compared with values provided in SDSS DR8, our estimates of the surface gravity show much less scatter than those adopted in the high-resolution spectroscopic analyses.

2.3.3 Microturbulent velocity

Given the limited resolution and S/N of the SDSS spectra, we derive the microturbulent velocity by its dependence on effective temperature and surface gravity. Guided by values adopted in the high-resolution spectroscopic analyses, we determine the microturbulence values using equation (9) in Edvardsson et al. (1993) for \(\log T_{\text{eff}}(K) \geq 3.79\). For \(\log T_{\text{eff}}(K) < 3.79\), a constant microturbulent velocity \(v_{\text{turb}} = 1.5 \text{ km s}^{-1}\) is adopted.

2.3.4 Model atmospheres

We utilize the condition of local thermodynamical equilibrium (LTE) and line-blanketed Kurucz model atmospheres\(^1\). By interpolation in the discrete grids of model atmospheres, we obtain model atmospheres corresponding to the effective temperatures and surface gravities derived above for our sample stars. We employ the program ABONTEST8 for abundance analysis. ABONTEST8 assumes LTE, and includes effects of natural broadening, thermal broadening, van der Waals damping and microturbulent broadening (Zhang & Zhao 2005).

2.4 Derivation of Metallicity

Using the photometric temperatures and the equivalent width (EW) of the line as input, and \([\text{Fe/H}]\) from DR8 as initial metallicity, we use the ABONTEST8 program to calculate the initial estimate of

\(^1\) http://kurucz.harvard.edu/
The 12 Gyr Yonsei-Yale isochrones (Demarque et al. 2004) for the sample of 13 metal-poor stars, adopting the metallicities given in SDSS DR8 as initial values. The isochrones (solid lines) are shown in order of increasing metallicity from left ([Fe/H] = −3.3) to right ([Fe/H] = −2.1). The dashed lines show the value of surface gravity corresponding to the effective temperature estimated for each star. For cooler stars with log $T_{\text{eff}} < 3.79$, we take the upper parts of the isochrones (above the turn-off points), while for hotter stars with log $T_{\text{eff}} > 3.79$, we take the lower parts of the isochrones (below the turn-off points).

The calculation of the iron abundance involves the $\alpha$-element abundance. The iron abundance is then calculated assuming [$\alpha$/Fe] = +0.4. This new iron abundance is then used to recalculate the isochrone, the surface gravity and model atmosphere. The new set of surface gravity and model atmosphere values, along with the new iron abundance, is then used as the second set of input parameters to ABONTEST8. The process is iterated until the derived iron abundance differs from the last input value by less than 0.1 dex.

There is no significant difference between the metallicities estimated using the two sets of photometric effective temperatures $T_1$ and $T_2$. For the Ca II K line, $T_2$ provides results that are in slightly better agreement with the high-resolution spectroscopic values. Accordingly, we have adopted the metallicity values derived from $T_2$.

2.5 Decision on Final Metallicity Results of the 13 Stars

Our results show that only the metallicities derived from the Ca II K line agree well with those determined in high-resolution spectroscopic analyses. The metallicities estimated from the Mg I b lines show a large scatter relative to the high-resolution spectroscopic results (see Fig. 3(b)), and the metallicities deduced from the Ca II triplet lines are much too high (see Fig. 3(c)). The Ca II K line is the strongest metal absorption line over the optical wavelength range for most stars, while the Mg I b and the Ca II triplet lines have much weaker line strengths. For the metal-poor stars of interest here, given the low resolution and limited S/N of the SDSS spectra, these metal lines are difficult to measure due to their weakness (the EWs can be as low as $\sim 100$ mÅ), and small errors in fitting the continuum can lead to large errors in estimates of the line strength. The effects are particularly profound for weak features. Apart from measurement uncertainties, non-local thermodynamical equilibrium (NLTE) effects may also account for differences in parts of the abundance estimate, especially for
Fig. 3 (a), (b) and (c) Comparison of our metallicities of the 13 stars derived from the EWs of the Ca\textsc{ii} K line, Mg\textsc{i} b lines and Ca\textsc{ii} triplet lines with the values determined by high-resolution spectra. (d) shows the comparison between SDSS DR8 and high-resolution results as a reference. (b) and (c) also show our results after NLTE corrections. The large circles indicate stars with large over-abundances of Ca or Mg over Fe.

the Ca\textsc{ii} triplet lines (Mashonkina et al. 2007; Starkenburg et al. 2010). To account for this, we have used the methods presented in Gehren et al. (2006) and Mashonkina et al. (2007) to perform NLTE calculations for the Mg\textsc{i} b lines and Ca\textsc{ii} triplet lines, respectively.

Figure 3(a), (b) and (c) compare the metallicities derived respectively from the Ca\textsc{ii} K, Mg\textsc{i} b and Ca\textsc{ii} triplet lines, with and without corrections for the NLTE effect. The abundances determined from the Ca\textsc{ii} triplet lines remain higher than the high-resolution values, even after the NLTE corrections. The discrepancies are probably due to measurement uncertainties. The larger circles in the plot indicate the two stars that are very enhanced in [Ca/Fe] or [Mg/Fe]. SDSS J234723 has a [Ca/Fe] ratio of +1.11 (Lai et al. 2009) and SDSS1707+58 has [Mg/Fe] = +1.13 (Aoki et al. 2008). Such high [α/Fe] values can also contribute to the discrepancies in the estimated [Fe/H].

Table 2 summarizes the averages and standard deviations of the metallicities of our sample derived from each metal line, along with those from SDSS DR7 and DR8. Table 2 shows that the iron abundances deduced from the Ca\textsc{ii} K line have a higher consistency with the high-resolution spectroscopic results than those derived from the two other sets of metal lines. Therefore, we have
adopted the metallicities based on the measured EWs of the Ca II K line as the final iron abundances of our sample. The last three columns of Table 1 list the effective temperatures $T_{\text{eff}}(T_2)$, surface gravities and iron abundances obtained in this work.

### 3 SEARCHING FOR EMP STAR CANDIDATES

As shown above, our method that makes use of the Ca II K line which performs well in returning a reliable and accurate estimate of metallicity. The method is effective in measuring the metallicities of EMP stars using low-resolution spectra. We therefore apply this method to a large sample of metal-poor stars selected from the SDSS archive.

#### 3.1 Selection of the Sample and Measurement of EW Values

We select 166 EMP stars (including those with repeated observations) from the SDSS archive which have $[\text{Fe/H}] \leq -3.5$, as listed in DR8, and spectra with the average S/N per pixel of more than 20 over the wavelength range $4000 - 8000$ Å. Hot stars, with effective temperatures higher than 7500 K, are excluded. Using the method described above, we derive their metallicities based on measured line EWs. The results derived from the weak Mg I b and Ca II triplet lines are not used for the reasons described above.

For EMP stars with $[\text{Fe/H}] \leq -3.5$, the line features are very weak. Even for the relatively strong Ca II K line, it is difficult to identify the feature and fit the continuum using low-resolution spectra. Also, it should be noted that the assumption of $[\alpha/\text{Fe}] = +0.4$ may lead to overestimated $[\text{Fe/H}]$ for stars with a strongly enhanced $\alpha$ element. Nevertheless, we have managed to measure the EWs of the Ca II K line and determine the metallicities for 45 stars out of the 166 EMP candidates.

#### 3.2 Results and Discussion

From the results above, we have identified six metal-poor star candidates with $[\text{Fe/H}] \sim -4.0$ using our method. It is noteworthy that one of them, SDSS J102915+172927, was recently confirmed to have a metallicity as low as $[\text{Fe/H}] = -4.73$ (based on a 1D model atmosphere analysis) using the Very Large Telescope (VLT) high-resolution spectroscopic observations (Caffau et al. 2011). The discovery of this low-mass and most metal-poor star, without the enrichment of C, N and O, sheds light on the theory of formation of the first generation of low-mass stars in the Milky Way. Compared to the VLT result, our iron abundance is overestimated by $\sim 0.6$ dex. The discrepancy is mainly caused by contamination of the stellar Ca II K line by interstellar absorption, which cannot be resolved in the low-resolution SDSS spectra. The other EMP star candidates with $[\text{Fe/H}] < -3.8$ in our sample remain to be confirmed. Table 3 lists the stellar parameters of the six EMP star candidates that we have found.

### Table 2
Averages and standard deviations of the differences between the $[\text{Fe/H}]$ estimated from the different metal lines, those given in DR7 and DR8 and those from the high-resolution spectroscopic analysis of the 13 stars.

|                | Ca II K line | Mg I b lines | Ca II triplet lines |
|----------------|--------------|--------------|---------------------|
|                | LTE          | NLTE         | LTE                 | NLTE                  |
| Average        | 0.2          | 0.4          | 0.6                 | 0.5                   | 0.3                  | 0.2                  |
| Standard Deviation | 0.3          | 0.5          | 0.8                 | 0.6                   | 0.4                  | 0.3                  |
Table 3  Parameters of the Six EMP Star Candidates

| STAR          | SDSS   | SDSS   | SDSS   | SDSS   | SDSS   | SDSS   |
|---------------|--------|--------|--------|--------|--------|--------|
|               | J102915.14 | J144514.69  | J100958.70 | J144256.37  | J230959.55  | J161956.33  |
|               | +172927.9 | +121152.0  | +010313.1 | −001542.7  | +230803.0  | +170539.9  |

R.A. 10

| Dec. | 10h29m15.14s | 14h45m14.69s | 10h09m58.70s | 14h42m56.37s | 23h09m59.55s | 16h10m56.33s |
|------|-------------|-------------|-------------|-------------|-------------|-------------|
|      | 17°29′27.9″ | 17°11′52″ | 1°3′13.18″ | 15′42.74″ | 23°8′3.06″ | 17°5′39.93″ |

T$_{\text{eff}}$(K) (DR8) 5765 5150 4462 6129 6294 6314

log $g$ (cm s$^{-2}$) (DR8) 3.1 1.9 1.6 3.8 3.3 3.6

[Fe/H] (dex) (DR8) −3.8 −3.5 −3.7 −3.7 −3.6 −3.5

T$_{\text{eff}}$(K) (This work) 5775 4464 4435 6110 5908 6138

log $g$ (cm s$^{-2}$) (This work) 3.4 0.5 0.5 3.6 3.5 3.7

[Fe/H] (dex) (This work) −4.1 −4.0 −3.9 −3.8 −3.9 −3.9

4 SUMMARY

Using the EW of the Ca II K line, effective temperature deduced from the $(g−z)_0$ color and surface gravity obtained from the isochrone, we can derive a reliable estimate of metallicity for EMP stars with the SDSS spectra.

We find the metallicities derived from the Ca II K line have good agreement with the high-resolution spectroscopic results. From a sample of 166 potential EMP stars selected from SDSS DR8, we identify six metal-poor star candidates with [Fe/H] $\sim −4.0$, and one of them, SDSS J102915+172927, has recently been confirmed to be ultra metal-poor ([Fe/H] < −4.0) by the VLT observations (Caffau et al. 2011), showing our method is effective even at the lowest known metallicities.

Further high-resolution spectroscopic analyses for the other stars with estimated metallicities [Fe/H] $\sim −4.0$ in our sample are of considerable interest. With a rich source of low-resolution spectra provided by the ongoing SDSS and the forthcoming LAMOST campaigns, our method has a great potential to discover more EMP stars in the near future.

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