Supersymmetric Quantum Mechanics of Scattering

Toshiki Shimbori* and Tsunehiro Kobayashi†

* Institute of Physics, University of Tsukuba
  Ibaraki 305-8571, Japan
† Department of General Education for the Hearing Impaired, Tsukuba College of Technology
  Ibaraki 305-0005, Japan

Abstract

In the quantum mechanics of collision problems we must consider scattering states of the system. For these states, the wave functions do not remain in Hilbert space, but they are expressible in terms of generalized functions of a Gel'fand triplet. Supersymmetric quantum mechanics for dealing with the scattering states is here proposed.
SUSY (supersymmetry) is a concept which connects between bosons and fermions \[1, 2\]. SUSY QM (supersymmetric quantum mechanics) is the more elementary concept, because it forms a corner-stone in the theory of SUSY in high-energy physics. An example of a dynamical system in SUSY QM is the SUSY HO (supersymmetric harmonic oscillator) \[3, 4\]. This example is of a stable system, so the eigenvalue problem is solved in Hilbert space. (For a review of SUSY QM, see for example, reference \[5\].)

In the present paper a radically different theory for SUSY is put forward, which is concerned with collision problems in SUSY QM. A simple and interesting model of resonance scattering in quantum mechanics is the PPB (parabolic potential barrier) \[6, 8, 9, 10, 11\]. This model is of importance for general theory, because the eigenvalue problem of the PPB can be solved exactly by a operator method on the same lines as one has used for the HO \[12, 13\]. We must therefore begin to investigate the SUSY PPB in order to set up the theoretical scheme for dealing with the scattering states of collision problems in SUSY QM.

**The parabolic potential barrier**

Let us first deal with a PPB \[11\], a different problem from SUSY problem. The Hamiltonian of the PPB is

\[
\hat{H}_b = \frac{1}{2m} \hat{p}^2 - \frac{1}{2} m \gamma^2 \hat{x}^2, \tag{1}
\]

where \(m > 0\) and also \(\gamma > 0\). The standard states of this PPB have the wave functions, which we may call \(u_0^{\pm}\):

\[
u_0^{\pm}(x) = e^{\pm im \gamma x^2/2\hbar}. \tag{2}\]

These \(u_0^{\pm}\) do not belong to a Lebesgue space \(L^2(\mathbb{R})\), but they are generalized functions in \(S(\mathbb{R})^{\times}\) of the following Gel’fand triplet \[10\]:

\[
S(\mathbb{R}) \subset L^2(\mathbb{R}) \subset S(\mathbb{R})^{\times}, \tag{3}
\]

where \(S(\mathbb{R})\) is a Schwartz space.

Introduce the normal coordinates \[6, 8, 11\]

\[
\hat{b}^{\pm} \equiv \frac{1}{\sqrt{2m\hbar \gamma}} (\hat{p} \pm m \gamma \hat{x}), \tag{4}
\]

especially self-adjoint on \(S(\mathbb{R})\). Using the commutation relation \([\hat{x}, \hat{p}] \equiv \hat{x} \hat{p} - \hat{p} \hat{x} = i\hbar\), we find

\[
\begin{align*}
[\hat{b}^+, \hat{b}^-] &= i, \\
[\hat{b}^+, \hat{b}^+] &= 0, \\
[\hat{b}^-, \hat{b}^-] &= 0.
\end{align*} \tag{5}
\]
It should be noted that the ambiguity of sign in the first of equations (5) is connected with the choice of the arbitrary signs in (4) (cf. reference [11], equations (5) and (7)). We also find that the Hamiltonian (1) is

$$\hat{H}_b = \frac{1}{2} \hbar \gamma \{ \hat{b}^+, \hat{b}^- \},$$

where $$\{ \hat{b}^+, \hat{b}^- \} \equiv \hat{b}^+ \hat{b}^- + \hat{b}^- \hat{b}^+$$. Note that the extensions $$(\hat{b}^\pm)_\times$$ of the normal coordinates operating to a generalized function in $$\mathcal{S}(\mathbb{R})_\times$$ have the meaning of $$\hat{b}^\pm$$ operating [11].

Let us now take $$u_0^\pm$$ and operate on them with $$\hat{b}^\pm$$. Since we have $$\hat{x} = x$$ and $$\hat{p} = -i\hbar d/dx$$ in the Schrödinger representation, we obtain

$$\hat{b}^\pm u_0^\pm(x) = \frac{1}{\sqrt{2m\hbar\gamma}} \left( -i\hbar \frac{d}{dx} \mp m\gamma x \right) e^{\pm im\gamma x^2/2\hbar} = 0,$$

so that $$\hat{b}^\pm$$ applied to $$u_0^\pm$$ give zero. Then

$$\hat{H}_b u_0^\pm = \frac{1}{2} \hbar \gamma \hat{b}^\pm u_0^\pm = \mp \frac{i}{2} \hbar \gamma u_0^\pm$$

and $$u_0^\pm$$ are generalized eigenstates of $$\hat{H}_b$$ belonging to the complex energy eigenvalues $$\mp i\hbar \gamma/2$$. Similarly,

$$\hat{H}_b (\hat{b}^\pm)^{n_b} u_0^\pm = \mp i \left( n_b + \frac{1}{2} \right) \hbar \gamma (\hat{b}^\pm)^{n_b} u_0^\pm \quad (n_b = 0, 1, 2, \cdots).$$

Thus the states $$(\hat{b}^\pm)^{n_b} u_0^\pm$$ are generalized eigenstates of $$\hat{H}_b$$ belonging to the complex energy eigenvalues $$\mp i(n_b + 1/2)\hbar \gamma$$.

Take this same Hamiltonian and apply it in the Heisenberg picture. The Heisenberg equations of motion give

$$\frac{d}{dt} \hat{b}^\pm(t) = \frac{1}{i\hbar} [\hat{b}^\pm(t), \hat{H}_b] = \pm \gamma \hat{b}^\pm(t),$$

and the solutions are

$$\hat{b}^\pm(t) = \hat{b}^\pm e^{\pm \gamma t}.$$  

The time factors of (11) are the same as in the classical theory.

Again, we introduce some essentially self-adjoint operators $$\hat{d}^+, \hat{d}^-$$ to satisfy [11]

$$\begin{align*}
\{ \hat{d}^+, \hat{d}^- \} &= 1, \\
\{ \hat{d}^+, \hat{d}^+ \} &= 0, \\
\{ \hat{d}^-, \hat{d}^+ \} &= 0, \\
\{ \hat{d}^-, \hat{d}^- \} &= 0.
\end{align*}$$

(8)
which are relations of the same form as (5) except for the anticommutators now replacing
the commutators there and which therefore contain the ambiguity of sign. Instead of (5)
we put
\[ \hat{H}_d = \hbar \gamma \hat{N}_d, \tag{6} \]
where \( \hat{N}_d \) is the fermion number operator
\[ \hat{N}_d = -\frac{i}{2} [\hat{d}^+, \hat{d}^-]. \tag{6'} \]

We can treat the \( \hat{d}^\pm \) as we did the \( \hat{b}^\pm \) in equations (7), (8) and (9). We introduce the
standard states \( \phi^\pm_0 \), satisfying
\[ \hat{d}^{\mp} \phi^\pm_0 = 0, \tag{7'} \]
and hence
\[ \hat{H}_d \phi^\pm_0 = \pm i \hbar \gamma d^\mp \phi^\pm_0 = \pm \frac{i}{2} \hbar \gamma \phi^\pm_0, \tag{8} \]
like (8). Again
\[ \hat{H}_d d^\mp \phi^\pm_0 = \mp \frac{i}{2} \hbar \gamma d^\mp \phi^\pm_0, \]
showing that \( \phi^\pm_1 \equiv \hat{d}^\mp \phi^\pm_0 \) and \( \phi^\mp_0 \) are twofold degenerate states belonging to the complex
energy eigenvalues \( \mp i \hbar \gamma / 2 \). However, \( (d^\pm)^2 \phi^\pm_0 \) are zero from (5'). Instead of (3) we now have
\[ \hat{H}_d (d^\pm)^{n_d} \phi^\pm_0 = \mp \frac{i}{2} \hbar \gamma (d^\pm)^{n_d} \phi^\pm_0 \quad (n_d = 0, 1). \tag{9} \]

In the Heisenberg picture, equations (10) still hold, with \( \hat{b}^\pm(t) \) replaced by \( \hat{d}^\pm(t) \), so
\[ \hat{d}^\pm(t) = d^\pm e^{\pm \gamma t}. \tag{10'} \]
From this we see, bearing in my mind the result (11), that the \( \hat{d}^\pm(t) \) have just the same
time factors as the \( \hat{b}^\pm(t) \).

The supersymmetric parabolic potential barrier

Let the SUSY Hamiltonian of the SUSY PPB be
\[ \hat{H} = \hat{H}_b + \hat{H}_d, \tag{12} \]
where \( \hat{H}_b \) is given by (1) or (3) and \( \hat{H}_d \) is given by (4) and (4'). Using the values of the
commutators and anticommutators given by (3) and (3'), we get
\[ \hat{H} = \hbar \gamma (\hat{b}^+ \hat{b}^- - i \hat{d}^+ \hat{d}^-) = \hbar \gamma (\hat{b}^- \hat{b}^+ + i \hat{d}^- \hat{d}^+), \]
and hence the SUSY Hamiltonian \((12)\) is essentially self-adjoint.

Let us consider the essentially self-adjoint operators \(\hat{Q}^+, \hat{Q}^-\) defined by
\[
\hat{Q}^\pm \equiv \sqrt{\hbar \gamma} \hat{b}^\pm \hat{d}^\pm.
\]
(13)

Since the time factors in formulas \((11)\) and \((11')\) cancel out in their products of \((13)\), they are constants of the motion. This leads, as will be shown in equations \((15)\), to the result that \(\hat{Q}^\pm\) are the supercharges of the SUSY PPB. We must evaluate the commutators and anticommutators of the supercharges with the normal coordinates \(\hat{b}^\pm, \hat{d}^\pm\), the SUSY Hamiltonian \(\hat{H}\), and with each other. Using the laws \((5)\) and \((5')\), we obtain
\[
\{\hat{Q}^\pm, \hat{b}^\pm\} = \mp i \sqrt{\hbar \gamma} \hat{d}^\pm,
\]
\[
\{\hat{Q}^\pm, \hat{d}^\pm\} = \sqrt{\hbar \gamma} \hat{b}^\pm,
\]
\[
[\hat{Q}^\pm, \hat{b}^\pm] = 0, \quad \{\hat{Q}^\pm, \hat{d}^\pm\} = 0,
\]
(14)

and similarly,
\[
[\hat{Q}^\pm, \hat{H}] = 0.
\]
(15)

Again
\[
\{\hat{Q}^+, \hat{Q}^-\} = \hat{H},
\]
\[
\{\hat{Q}^+, \hat{Q}^+\} = 0, \quad \{\hat{Q}^-, \hat{Q}^-\} = 0.
\]
(16)

Equations \((14)\) show that \(\hat{Q}^\pm\) make the SUSY transformation which interchanges the operators of “bosonic” and “fermionic”. We have in \((10)\) the SUSY algebra in the SUSY PPB. The first of equations \((16)\), however, does not mean that the SUSY Hamiltonian \(\hat{H}\) is positive definite.

We can form the generalized Fock spaces of the SUSY PPB on the same lines as the SUSY HO \([3, 4, 5]\). We now consider the following states:
\[
\Psi^{\pm\pm}_{n_0 n_1} = (\hat{b}^\pm)^{n_b} (\hat{d}^\pm)^{n_d} \hat{u}^{\pm}_{0} \phi^{\pm}_{0}.
\]
(17)

The right-hand sides here are undetermined to the extent of arbitrary numerical factors. We may consider the states \(\Psi^{\pm\pm}_{00}\) as standard states, since
\[
\hat{H} \Psi^{\pm\pm}_{00} = 0,
\]
(18)

both states \(\Psi^{\pm\pm}_{00}\) have zero energy eigenvalue. Also
\[
\hat{Q}^+ \Psi^{\pm\pm}_{00} = \hat{Q}^- \Psi^{\pm\pm}_{00} = 0.
\]
(19)

This shows that the states \(\Psi^{\pm\pm}_{00}\) are supersymmetrical. Thus the standard states \(\Psi^{++}_{00}, \Psi^{-+}_{00}\) are twofold degenerate. Further, if we shall consider the degree of degeneracy of the fermion sector (caused by the doublets \((\phi^0, \phi^-)\) and \((\phi^+, \phi^+)\)), we now have the SUSY-quartet consisting of four kinds of standard states, \((\Psi^{++}_{00}, \Psi^{++}_{01}, \Psi^{-+}_{01}, \Psi^{-+}_{00})\). It is interesting
that such a stable idea as zero energy eigenvalue should appear in the SUSY PPB in this way. These stationary states of the SUSY PPB are analogous to the stationary flows of the 2D PPB [14]. The energy eigenvalues of the other states can be obtained from (17).

We have from (9) and (9′)

\[ \hat{H} \Psi_{nmn}^{\pm} = E_{nmn}^{\pm} \Psi_{nmn}^{\pm}, \]

(20)

where

\[ E_{nmn}^{\pm} = \mp i (n_b + n_d) \hbar \gamma \quad (n_b = 0, 1, 2, \ldots, \text{and } n_d = 0, 1). \]

(21)

Thus the states \( \Psi_{n_1}^{\pm} \) and \( \Psi_{n_1+10}^{\pm} \) are eigenstates of \( \hat{H} \) belonging to the same complex energy eigenvalues \( \mp i (n + 1) \hbar \gamma \) with \( n = 0, 1, 2, \ldots \), respectively. This result may be verified by (14), since, by applying the supercharges \( \hat{Q}^\pm \) to these states, we can get

\[ \hat{Q}^+ \Psi_{n_1}^{\pm} \propto \Psi_{n_1+10}^{\pm}, \quad \hat{Q}^- \Psi_{n_1+10}^{\pm} \propto \Psi_{n_1}^{\pm}. \]

Provided that we take account of the twofold degeneracy of the fermion sector, \( (\Psi_{n_1}^{++}, \Psi_{n_0}^{+-}, \Psi_{n_1+1}^{--}, \Psi_{n_1+0}^{--}) \) and \( (\Psi_{n_1}^{--+}, \Psi_{n_0}^{+-}, \Psi_{n_1+1}^{++}, \Psi_{n_1+0}^{++}) \) will in general form quartets for the SUSY PPB.

The superpotential

The above analysis can be extended to the SUSY problem of scattering. We introduce an arbitrary real function \( W(x) \) which satisfies, as the generalization of (4) and (13),

\[ \hat{Q}^\pm = \frac{1}{\sqrt{2m}} [\hat{p} \mp W(x)] \hat{d}^\pm. \]

(22)

We call \( W(x) \) the superpotential for the scattering process in SUSY QM, to keep up the analogy with the usual formulation of SUSY QM [3, 4, 5].

The SUSY Hamiltonian for the scattering process is, from the first of equations (16) which are valid also for the general theory,

\[ \hat{H} = \frac{1}{2m} \left[ \hat{p}^2 - W(x)^2 \right] + \frac{\hbar}{m} W(x) \gamma \hat{N}_d, \]

(23)

with \( \hat{N}_d \) given by (19′). Note that the second term in the \( [\ ] \) brackets in (23), which is the part of \( \hat{H} \) referring to the potential energy for the scattering process, appears with a minus sign. One can check that \( \hat{Q}^\pm \) commute with \( \hat{H} \) and are constants of the motion.

Let us write the standard states which are supersymmetrical. These states will correspond to wave functions \( \Psi_{00}^{\pm\pm} \), say, satisfying

\[ \hat{Q}^\pm \Psi_{00}^{\pm\pm} = 0, \quad \hat{Q}^\pm \Psi_{00}^{\pm\pm} = 0. \]

(24)
The first of these equations tells us that the wave functions will be of the form
\[ \psi_{00}^{\pm}(x) = u_0^{\pm}(x)\phi_0^{\pm}, \] (25)
where \( \phi_0^{\pm} \) satisfy \((7')\). With the help of this result the second of equations \((24)\), written in terms of \(x\)-representatives, becomes
\[ \left[-i\hbar \frac{d}{dx} \mp W(x)\right] u_0^{\pm}(x) = 0. \] (26)
Hence we get
\[ u_0^{\pm}(x) = \exp \left[ \pm \frac{i}{\hbar} \int W(x')dx' \right], \] (27)
except for the numerical factors.

Our work on the SUSY PPB in equations \((12)\)–\((21)\) provides an example of a superpotential of resonance scattering. Equation \((12)\) is of the form \((23)\) with \(m^2 \gamma x\) for \(W(x)\), and it shows that the wave functions \((27)\) agree with \((2)\). It should be noted that \(u_0^{+}\) represents particles moving outward to the infinity \(|x| = \infty\), and \(u_0^{-}\) represents particles moving inward to the origin \(x = 0\) \([10]\). The result for the SUSY PPB is still valid when the superpotential \(W(x)\) is an odd function of \(x\).

On the other hand, when the superpotential \(W(x)\) is an even function of \(x\), the behaviors of the standard states may be changed. Let us see what the above results become in the simple case when \(W(x) = \rho > 0\) (a real constant). Equations \((27)\) for this case read
\[ u_0^{\pm}(x) = e^{\pm i\rho x/\hbar} \in S(\mathbb{R})^\times, \]
showing that \(u_0^{+}\) describes plane waves moving to the \(+x\)-direction, and \(u_0^{-}\) describes plane waves moving to the \(-x\)-direction. The result for the SUSY free particle is valid whenever the superpotential \(W(x)\) is an even function of \(x\).

The theory that has been set up here is applicable to collision problems in SUSY QM. If we take the usual formulation of SUSY QM \([3, 4, 5]\), we may set up the above-mentioned scheme by taking the superpotential, \(W(x)\), in \(N = 2\) SUSY QM, and replacing it by the method of complex scaling \([13]\).
References

[1] J. Wess and J. Bagger, *Supersymmetry and Supergravity* 2nd ed. (Princeton Univ. Press, 1992).

[2] P. West, *Introduction to Supersymmetry and Supergravity* 2nd ed. (World Scientific, 1990).

[3] E. Witten, Nucl. Phys. B188 (1981) 513; B202 (1982) 253.

[4] F. Cooper and B. Freedman, Ann. Phys. 146 (1983) 262.

[5] F. Cooper, A. Khare and U. Sukhatme, Phys. Reports 251 (1995) 267.

[6] G. Barton, Ann. Phys. 166 (1986) 322.

[7] P. Briet, J. M. Combes and P. Duclos, Comm. Partial Differential Equations 12 (1987) 201.

[8] N. L. Balazs and A. Voros, Ann. Phys. 199 (1990) 123.

[9] M. Castagnino, R. Diener, L. Lara and G. Puccini, Int. J. Theor. Phys. 36 (1997) 2349.

[10] T. Shimbori and T. Kobayashi, Nuovo Cim. 115B (2000) 325.

[11] T. Shimbori, Phys. Lett. A273 (2000) 37.

[12] P. A. M. Dirac, *The Principles of Quantum Mechanics* 4th ed. (Clarendon Press, 1958).

[13] J. J. Sakurai, *Modern Quantum Mechanics* Rev. ed. (Addison-Wesley, 1994).

[14] T. Shimbori and T. Kobayashi, J. Phys. A33 (2000) 7637.

[15] N. Moiseyev, Phys. Reports 302 (1998) 211.