Recruitment maneuver does not provide any mortality benefit over lung protective strategy ventilation in adult patients with acute respiratory distress syndrome: a meta-analysis and systematic review of the randomized controlled trials

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Abstract

Background: Clinical benefits of recruitment maneuver in ARDS patients are controversial. A number of previous studies showed possible benefits; a large recent study reported that recruitment maneuver and PEEP titration may even be harmful. This meta-analysis was designed to compare the clinical utility of recruitment maneuver with low tidal volume ventilation in adult patients with ARDS.

Methods: Randomized controlled trials comparing recruitment maneuver and lung protective ventilation strategy with lung protective strategy ventilation protocol alone in adult patients with ARDS has been included in this meta-analysis. PubMed and Cochrane Central Register of Controlled Trials were searched from inception to 10 November 2017 to identify potentially eligible trials. Pooled risk ratio (RR) and standardized mean difference (SMD) were calculated for binary and continuous variables respectively.

Results: Data of 2480 patients from 7 randomized controlled trials have been included in this meta-analysis and systematic review. Reported mortality at the longest available follow-up [RR (95% CI) 0.93 (0.80, 1.08); p = 0.33], ICU mortality [RR (95% CI) 0.91 (0.76, 1.10); p = 0.33] and in-hospital mortality [RR (95% CI) 0.95 (0.83, 1.08); p = 0.45] were similar between recruitment maneuver group and standard lung protective ventilation group. Duration of hospital stay [SMD (95% CI) 0.00 (−0.09, 0.10); p = 0.92] and duration of ICU stays [SMD (95% CI) 0.05 (−0.09, 0.19); p = 0.49] were also similar between recruitment maneuver group and standard lung protective ventilation group. Risk of barotrauma was also similar.

Conclusion: Use of recruitment maneuver along with co-interventions such as PEEP titration does not provide any benefit in terms of mortality, length of ICU, and hospital stay in ARDS patients.

Keywords: ARDS, Recruitment maneuver, Open lung, PEEP titration

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Background
Acute respiratory distress syndrome (ARDS) is a potentially life-threatening hypoxic respiratory failure, characterized by arterial hypoxemia (PaO₂/FiO₂ < 200), pulmonary congestion, and decreased respiratory compliance [1]. Single-center studies reported a wide range of incidence of ARDS in intensive care unit (ICU) patients [2]. A large international multicenter observation study [3] in 2016 reported that incidence of ARDS was more than 10% in all ICU patients, and it was over 23% in all patients requiring mechanical ventilation. Reported unadjusted ICU mortality and hospital mortality in that study were 35.3 and 40%, respectively.

Atelectasis from alveolar or interstitial edema and consolidation and intra-pulmonary shunt are important pathophysiologic basis hypoxemia in ARDS patients [4]. Increased pulmonary capillary permeability from a variety of pulmonary and extra-pulmonary insults causes pulmonary edema in these patients [5]. Atelectasis contributes to the ventilator-induced lung injury by reducing the amount of functional aerated lung unit and repeated recruitment and de-recruitment of the small alveoli increases sheer stress leading to atelectotrauma [6]. Recruitment maneuver includes elevations of applied airway pressure for short duration aiming to recruit the collapsed alveoli and increase the number of alveolar units participating in tidal ventilation [7]. Positive end-expiratory pressure (PEEP) helps to keep the recruited lung unit ‘open’ and thereby reduces atelectasis and improves oxygenation [8]. Recruitment maneuver is usually used along with other methods of open lung approach such as high PEEP. Recruitment maneuver provides short-term improvement in oxygenation and lung compliances; on the contrary, it may be associated with barotrauma from increased airway pressure and hemodynamic compromise [6].

We designed this systematic review and meta-analysis of randomized controlled trials to know the clinical benefits of recruitment maneuver alone or along with other therapeutic modalities of open lung approach such as high PEEP or PEEP titration in adult patients with ARDS.

Methods
This meta-analysis follows the recommendations of Preferred Reporting Items for Systematic Review and Meta-Analysis Protocols (PRISMA-P) statement [9]. A protocol of this meta-analysis has not been registered.

Eligibility criteria
Published prospective randomized controlled trials comparing recruitment maneuver and lung protective ventilation strategy with lung protective strategy ventilation protocol in adult patients with ARDS has been included in this meta-analysis. Trials where PEEP titration was used following recruitment maneuver were also considered for inclusion in this meta-analysis. Trials of those that did not report mortality data for at least a one-time point and where a lung protective ventilation strategy has not been used have been considered to be included in this meta-analysis.

Information sources
PubMed and The Cochrane Library databases (CENTRAL) were searched for potentially eligible trials from inception to 10 November 2016. We have not imposed any language restriction or date restriction in search strategy. References of the previously published meta-analyses were also searched for eligible trials.

Search strategy
The following keywords were used to search database: “ARDS, acute respiratory distress syndrome, acute lung injury, acute hypoxemic respiratory failure, recruitment maneuver, recruitment manoeuvre, lung recruitment, open lung.” Details of PubMed search strategy have been provided in Additional file 1.

Study selection
Two authors (SM and KDS) independently searched title and abstract of the potentially eligible articles. Finally, full text of the possible articles was retrieved and assessed for eligibility. Any disputes between the two authors were solved by discussion and consultation with a third author (SB).

Data collection process
Two authors (SM and SB) independently retrieved required data from the eligible RCTs, and all data were initially tabulated in a Microsoft Excel™ (Microsoft Corp., Redmond, WA) data sheet. Another author cross-checked these data before analysis (KDS).

Data items
The following data were retrieved from the full text for all studies: first author, year of publication, country where work was done, sample size, characteristics of included patients, respiratory goals (oxyhemoglobin saturation, arterial oxygen, and PaO₂/FiO₂), details of recruitment maneuver (method application, any associated therapeutic modality, timing of recruitment maneuver and duration, details of rescue therapy, if any), details of mechanical ventilation, and clinical outcome (reported complications, organ dysfunction, length of hospital and ICU stay, and mortality at different time points).
Risk of bias in individual studies
Two authors (SM and SB) independently assessed the methodological quality of the included studies. The following methodological questions were searched from the studies as per the Cochrane methodology: method of randomization, allocation concealment, blinding of the participants and personnel, blinding of outcome assessment, incomplete data reporting, selective reporting, and any other bias. For each area of bias, we will designate the trials as low risk of bias, unclear risk of bias, or high risk of bias. Risk of bias at individual study level will be graphically presented in the review.

Summary measures and synthesis of results
Primary outcome of this meta-analysis is ‘mortality at longest available follow-up’ in the included patients. Secondary outcomes are ICU mortality rate, in-hospital mortality rate, incidence of barotrauma after randomization, incidence of hemodynamic compromise after randomization and length of hospital and ICU stay.

For continuous variables, mean and standard deviation (SD) values were extracted for both groups, a standardized mean difference (SMD) was computed at the study level, and a weighted mean difference was computed in order to pool the results across all studies. If the values were reported as median and an inter-quartile range or total range of values, the mean value was estimated using the median and the low and high end of the range for samples smaller than 25; for samples greater than 25, the median itself was used. The standard deviation (SD) was estimated from the median, and the low and high end of the range for samples smaller than 15, as range/4 for samples from 15 to 70, and as range/6 for samples more than 70. If only an inter-quartile range was available, SD was estimated as inter-quartile range/1.35 [10].

For binary outcomes, we calculated the following: [1] the risk ratio (RR) for each trial; [2] the pooled RR using the inverse variance method; [3] the number needed to treat (NNT) where a statistical significance was found, i.e., the number of patients who must be treated for one patient to benefit from the intervention. NNT was calculated from OR in Visual Rx online software (Visual Rx version 3.0, Dr. Chris Cates, http://www.nntonline.net/visualrx/). All statistical variables were calculated with 95% confidence interval (95% CI). The Q-test was used to analyze heterogeneity of trials. Considering possible clinical heterogeneity due to study design and patients’ population, we used a random effect model for all pooled analysis. Pooled analysis was done in RevMan software (Review Manager (RevMan) [Computer program]. Version 5.3. Copenhagen: The Nordic Cochrane Centre, The Cochrane Collaboration, 2014). Publication bias was assessed by visual inspection of funnel plot. A meta-regression was planned by metareg command in STATA version 13.0 (STATA SE 13.0, Stata Corp, College Station, TX, USA) in case of more than 10 trials is found for any outcome.

Results
Initial searching of database revealed 9558 articles, and searching of the other sources revealed another 114 articles. After duplicate removal, 540 articles were assessed and 12 articles were screened from
Finally, data of 2480 patients from 7 randomized controlled trials from published full text [11–16] and abstract [17] have been included in this meta-analysis and systemic review. A flow diagram showing stages of database searching and study selection has been provided in Fig. 1. One RCT [18] was excluded as lung protective ventilation was not used in control group and three trials [19–21] were excluded, as they did not report mortality data. Risk of biases in the individual studies have been reported in Fig. 2. Characteristics of the individual studies have been reported in Table 1.

**Mortality**

Reported mortality at the longest available follow-up [RR (95% CI) 0.93 (0.80, 1.08); \( p = 0.33; I^2 = 43\%\); \( n = 2480\)], ICU mortality [RR (95% CI) 0.91 (0.76, 1.10); \( p = 0.33\); \( I^2 = 58\%\); \( n = 2359\)] and in-hospital mortality [RR (95% CI) 0.95 (0.83, 1.08); \( p = 0.45\), \( I^2 = 33\%\); \( n = 2378\)] were similar between recruitment maneuver group and standard lung protective ventilation group. A forest plot for odds ratio of mortality at different time points at individual study level and pooled analysis level has been provided in Fig. 3. Visual inspection of funnel plot for publication bias revealed that included trials are near the apex of the arbitrary triangle; hence, possibilities of

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**Table 1** Characteristics of the included studies

| Author      | Participants                                                                 | Intervention                                                                 | Control                      |
|-------------|------------------------------------------------------------------------------|------------------------------------------------------------------------------|------------------------------|
| ART investigators 2017 | Patients receiving invasive mechanical ventilation with moderate to severe ARDS (AECC definition) of < 72 h duration | LRM with incremental PEEP levels, followed by decremental PEEP titration according to the best respiratory system Cs and by a second LRM | Low PEEP strategy |
| Hodgson 2011 | Adult patients (age > 15 years) with PaO₂/FiO₂ < 200                          | LRM to \( P_{peak} \) of 55 cm H₂O and decremental PEEP titration to determine optimal PEEP | ARDSnet protocol            |
| Kacmarek 2016 | ARDS patients with PaO₂/FiO₂ < 200 at FiO₂ ≥ 0.5 and PEEP ≥ 10              | LRM to \( P_{peak} \) of ≤ 60 cm H₂O for 2 min and decremental PEEP titration to determine optimal PEEP. | ARDSnet protocol            |
| Liu 2011     | Adult patients with ARDS (PaO₂/FiO₂ ≤ 250 mmHg) with FiO₂ ≥ 0.5 and PEEP ≥ 10 cm H₂O at least 30 min | LRM with PEEP 35 cm H₂O and \( P_{peak} \) up to 50 cm H₂O maintained for 2 min, then PEEP was set higher at 2 cm H₂O above closing pressure | Lung protective ventilation strategy |
| Xi 2010      | Adult patients with ARDS (PaO₂ ≤ 200 mmHg at FiO₂ 1.0 and PEEP ≥ 10 cm H₂O) | LRM with CPAP 40 cm H₂O for 40 s                                             | Lung protective ventilation strategy |
| Meade 2008   | Adult patients with ARDS (PaO₂/FiO₂ < 250)                                  | LRM by CPAP of 40 cm H₂O for 40 s with FiO₂ 1.0. PEEP was adjusted as per FiO₂ requirement. | Low tidal volume ventilation with standard PEEP |
| Huh 2009     | ARDS patients with PaO₂/FiO₂ < 200                                           | LRM to \( P_{peak} \) of 55 cm H₂O and 25% tidal volume reduction and decremental PEEP titration to determine optimal PEEP | ARDSnet protocol            |

ARDS acute respiratory distress syndrome, AECC American European Consensus Conference Criteria, \( PaO_2 \) arterial oxygen tension, \( FiO_2 \) fraction of oxygen in inspiration, PEEP positive end-expiratory pressure, \( P_{peak} \) peak airway pressure, LRM lung recruitment maneuver, CPAP continuous positive airway pressure
publication bias cannot be excluded here. Similar results were obtained when the trial by Xi et al. [16] was excluded as PEEP titration was not used along with recruitment maneuver in that study.

Length of stay
Duration of hospital stay [SMD (95% CI) 0.00 (−0.09, 0.10); p = 0.92, I² = 11%; n = 2323] and duration of ICU stays [SMD (95% CI) 0.05 (−0.09, 0.19); p = 0.49, I² = 47%; n = 2380] were similar between recruitment maneuver group and standard lung protective ventilation group. A forest plot for SMD in length of ICU stay and length of hospital stay at individual study level and pooled analysis level has been provided in Fig. 4. Similar results were obtained even after exclusion of the trial by Xi et al. [16].

Complications
Only four trials reported incidence of barotrauma from recruitment maneuver, and it was found to be similar with standard lung protective ventilation group [RR (95% CI) 1.27 (0.68, 2.36); p = 0.45, I² = 57%, n = 2350].

Discussion
Principal findings of this meta-analysis and systematic review are that recruitment maneuver neither provides any mortality benefit nor reduces length of hospital and ICU stays in adult patients with ARDS. Findings of this meta-analysis contradicts the reported mortality benefits of recruitment maneuver by Goligher et al. [6] in a meta-analysis of randomized controlled trials that included 1423 patients from 6 trials. However, the authors did not include a recent large trial [11], and on the other hand, they included another trial, which did not use lung protective ventilation strategy in the control group [18]. In the light of present clinical knowledge, we believe that lung protective ventilation strategy is an integral part of ARDS management and studies those are not using it is at significant high risk of bias.
Another Cochrane database systematic review [22] reported a reduction in ICU mortality rate from a pooled analysis of data of 1370 patients from 5 trials. However, the authors did not report reduction in mortality in any other time points. Authors of that review graded the quality of evidence as ‘low’ because of 4 of the included trials used various co-interventions along with recruitment maneuver. Though the co-interventions such as high PEEP or PEEP titration, used in various trials have the potential to interfere with the clinical outcome; from a physiological point of view, co-interventions to keep the recruited alveoli ‘open’ is an integral part of this approach. PEEP applied after recruitment maneuver expected to reduce shear stress generated the collapsed and open alveoli interface from repeated recruitment and de-recruitment [23]. A higher PEEP with lung protective ventilation strategy may be beneficial in patients with ARDS [24].

Observational studies have found benefits of recruitment maneuver in ARDS patients in terms of oxygenation and lung compliance [25, 26]. An optimum PEEP and sigh maneuver also increases efficacy of recruitment maneuver in ARDS patients [27]. Toth et al. in 2007 suggested that improvement in oxygenation after recruitment maneuver and PEEP is due to primarily reduction in atelectasis rather than reduction in extra-vascular lung water [28]. However, success of recruitment maneuver may be dependent upon the amount of lung tissue available for recruitment and which is variable between patient and patient. In early ARDS, it may be possible to recruit lung and reverse hypoxemia in most of the patients [7]. Success of PEEP-induced recruitment may also depend upon the regional distribution and characteristics of the atelectasis and it may be greater in case of inflammatory atelectasis at the lower lobes [29].

In this meta-analysis, we have found that recruitment maneuver used along with or without PEEP titration does not provide any mortality benefit at any time points. Our results remain essentially similar even when the trial by Xi et al. [16] excluded, as they did not use any co-intervention along with recruitment maneuver. However, the Xi et al. reported a reduction in ICU mortality but not in hospital mortality with the standalone use of recruitment maneuver. These findings suggest that recruitment maneuver without PEEP titration might have some beneficial effect in ARDS patients.

**Limitations**

Our meta-analysis has several limitations. We have found significant amount of statistical heterogeneity most of the all analyses which is probably due to a heterogeneity in patients’ selection and in the methods of recruitment maneuver application across the studies. As the number of the included trials were small in our meta-analysis, a meta-regression analysis was not possible. A visual inspection of the funnel plot also suggested that publication biases might also be present.

**Conclusion**

Recruitment maneuver along with co-interventions such as PEEP titration does not provide any benefit in terms of mortality, length of ICU and hospital stay. Further studies are required to know the clinical benefits of recruitment maneuver without PEEP titration in ARDS patients.

**Additional file**

Additional file 1: Appendix. (DOCX 63 kb)
Abbreviations
95% CI: 95% confidence interval; ARDS: Acute respiratory distress syndrome; CENTRAL: The Cochrane Central Register of controlled trials; ICU: Intensive care unit; NNT: Number needed to treat; OR: Odds ratio; PaO2/FiO2: Ratio of arterial partial pressure of oxygen to fractional-inspired oxygen content; PEEP: Positive end-expiratory pressure; RCT: Randomized controlled trial; SD: Standard deviation; SMD: Standardized mean difference

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Availability of supporting data
Data were collected from the published studies.

Authors’ contributions
KDS and SM contributed to the study design. SB and SM helped in the data collection and data analysis. SB, KDS, and SM helped in manuscript preparation. All authors read and approved the final manuscript.

Ethics approval and consent to participate
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