DUST IN ACTIVE GALACTIC NUCLEI: ANOMALOUS SILICATE TO OPTICAL EXTINCTION RATIOS?

JIANWEI LYU1,2, LEI HAO1, and AIGEN LI2

1 Key Laboratory for Research in Galaxies and Cosmology, Shanghai Astronomical Observatory, Chinese Academy of Sciences, 80 Nandan Road, Shanghai 200030, China; haol@shao.ac.cn
2 Department of Physics and Astronomy, University of Missouri, Columbia, MO 65211, USA

ABSTRACT

Dust plays a central role in the unification theory of active galactic nuclei (AGNs). However, little is known about the nature (e.g., size, composition) of the dust that forms a torus around the AGN. In this Letter, we report a systematic exploration of the optical extinction ($A_V$) and the silicate absorption optical depth ($\Delta\tau_{9.7}$) of 110 type 2 AGNs. We derive $A_V$ from the Balmer decrement based on the Sloan Digital Sky Survey data, and $\Delta\tau_{9.7}$ from the Spitzer/InfraRed Spectrograph data. We find that with a mean ratio of $\langle A_V/\Delta\tau_{9.7} \rangle \approx 5.5$, the optical-to-silicate extinction ratios of these AGNs are substantially lower than that of the Galactic diffuse interstellar medium (ISM) for which $A_V/\Delta\tau_{9.7} \approx 18.5$. We argue that the anomalously low $A_V/\Delta\tau_{9.7}$ ratio could be due to the predominance of larger grains in the AGN torus compared to that in the Galactic diffuse ISM.

Key words: dust, extinction – galaxies: active – galaxies: ISM – infrared: galaxies

Online-only material: color figures

1. INTRODUCTION

Active galaxies are eye-catching due to the ongoing energetic accretion of nuclear material by their central supermassive black holes. Observations have shown that a large fraction of such activities are obscured by large columns of dust and gas. The unified model of active galactic nuclei (AGNs; see Antonucci 1993), which features a torus-shaped structure of obscuring material, successfully explains a large number of AGN observables. Nevertheless, the properties of the dust in AGNs remain poorly understood (see Li 2007).

An accurate knowledge of the dust extinction is crucial to recover the AGN intrinsic spectra, and it also influences the estimation of important parameters such as the AGN luminosity, black hole mass, and Eddington ratio. Numerous efforts have been made to expand such knowledge but have led to contradicting results: statistical studies on the reddening of quasars suggest a steeply rising extinction curve like (or even steeper than) that of the Small Magellanic Cloud (SMC), which indicates the predominance of small grains (Hall et al. 2002; Richards et al. 2003; Hopkins et al. 2004; Glikman et al. 2012; Jiang et al. 2013), while a flat or “gray” extinction curve (which varies little with wavelength) has also been proposed, which suggests the richness of large grains (Gaskell et al. 2004; Czerny et al. 2004; Gaskell & Benker 2007). We note that in deriving these AGN extinction curves, one could suffer from the possible variations in the AGN intrinsic spectra, orientation effects, or potentially biased sampling (Czerny 2007).

Alternatively, one could also probe the dust size and composition through the dust infrared (IR) spectroscopy of AGNs. As shown in Li et al. (2008), Smith et al. (2010), and Köhler & Li (2010), the 9.7 $\mu$m silicate emission (Hao et al. 2005a; Siebenmorgen et al. 2005; Sturm et al. 2005) and absorption (Jaffe et al. 2004) spectra of AGNs are diagnostic of the silicate composition and size.

Dust size could also be probed through $A_V/\Delta\tau_{9.7}$, the ratio of the visual extinction $A_V$ to the 9.7 $\mu$m silicate absorption depth $\Delta\tau_{9.7}$ (Gao et al. 2010; Z. Shao et al. 2014, in preparation): for compact, spherical silicate dust, $A_V/\Delta\tau_{9.7}$ peaks at a grain size of $a \sim 0.2$ $\mu$m and drops precipitously with the increase of the dust size. In the local interstellar medium (ISM) of the Milky Way, $A_V/\Delta\tau_{9.7} \approx 18.5 \pm 2$ (Roche & Aitken 1984; see Table 1 of Draine 2003 for a summary). In this Letter, we report a considerably lower $A_V/\Delta\tau_{9.7}$ ratio for 110 type 2 AGNs (Section 3), with $A_V$ determined from the Balmer decrement based on the Sloan Digital Sky Survey (SDSS) data, and $\Delta\tau_{9.7}$ from the 9.7 $\mu$m Spitzer/InfraRed Spectrograph (IRS) absorption spectra (Section 2). The anomalously low $A_V/\Delta\tau_{9.7}$ ratio suggests the predominance of larger grains in the AGN torus compared to the typical size of $a \sim 0.1$ $\mu$m in the Galactic diffuse ISM (Section 4).

2. DATA AND MEASUREMENTS

2.1. Sample

We collect all type 2 AGNs from the S$^3$AGA sample (Spitzer/IRS-SDSS Spectral Atlas of Galaxies and AGN; L. Hao et al. 2014, in preparation). S$^3$AGA is a heterogeneous collection of galaxies that have Spitzer/IRS (Houck et al. 2004) low-resolution spectra and SDSS spectroscopic observations (Data Release 7; Abazajian et al. 2009) within a $3''$ searching radius. The whole S$^3$AGA sample contains 139 type 1 AGNs, 114 type 2 AGNs, 241 star-forming (SF) galaxies, 103 AGN-SF composites, and 1 quiescent galaxy. These classifications are made based on their SDSS optical spectra (see Hao et al. 2005b). Type 1 AGNs are those with broad H$\alpha$ emission lines (with FWHM $> 1200$ km s$^{-1}$). Type 2 AGNs are identified with the typical “Baldwin, Phillips, & Terlevich” diagram (Baldwin et al. 1981). This sample spans a redshift range of $z \sim 0.001$–0.25, corresponding to a physical size of $\sim 0.06$–18 kpc in the SDSS 3$''$ aperture.

The low-resolution mid-IR spectra of Spitzer are adopted from the Cornell Atlas of Spitzer/IRS Sources (CASSIS; Lebouteiller et al. 2011). We use the CASSIS v4 data. In this version, the intermediate products of the Spitzer Science Center (SSC) pipeline release S18.7.0 are processed with the SMART software with a dedicated spectral extraction pipeline developed by the CASSIS team. We further combine the spectra
of the short–low (SL) and long–low (LL) modules and scale the observed flux of LL to SL. Spikes and edge fringes are removed carefully. The final order-returned mid-IR spectra span a wavelength coverage of 5.2–38 μm in the observer’s frame, with a spectral resolution of ~60–127. We do not apply any aperture correction since the fiber size of SDSS (3′) and slit width of Spitzer/IRS SL (~3′:6) are comparable.

2.2. Extinction Determination

The Balmer decrement can be used to trace the optical extinction: the difference between the observed and intrinsic ratios of two hydrogen recombination lines yields the amount of dust reddening. For the SDSS spectra, we subtract the stellar continuum, decompose the [N II] region and Hβ region with multiple Gaussian components, and examine each fit by eye with the aid of the χ² statistic.

We estimate the visual extinction from the measured Hα/Hβ ratios, assuming a “screen” dust configuration:

\[ A_V = 1.086 \times \xi \times \ln \left( \frac{H\alpha/H\beta}_{\text{obs}} \right), \]

where

\[ \xi \equiv (A_V/A_{H\alpha}) \times (A_{H\beta}/A_{H\alpha} - 1)^{-1}. \]

We define the silicate strength as

\[ S_{9.7} = -\ln \left( \frac{I_{9.7, \text{obs}}}{I_{9.7, \text{cont}}} \right), \]

where λ is the wavelength of the 9.7 μm silicate feature peak, \( I_{9.7, \text{obs}} \) and \( I_{9.7, \text{cont}} \) are the corresponding observed and continuum intensity, respectively. We estimate the silicate continuum using the interpolation method of Spoon et al. (2007) and the PAHFIT decomposition method (Smith et al. 2007). In general, the results based on these two methods agree well. The final adopted 9.7 μm silicate strength is derived based on the interpolated continuum with the offset between these two approaches as the error (see L. Hao et al., 2014, in preparation for details). We do not apply Galactic extinction correction on any spectra, since none of our objects locates along the Galactic plane. SDSS...
Figure 2. Extinction curves commonly considered in AGN studies: flat or gray curves of Gaskell et al. (2004), Czerny et al. (2004), and Gaskell & Benker (2007). Also shown are the extinction curves of the Milky Way diffuse ISM ($R_V = 3.1$), the LMC, the SMC, and the steep curves of Wild et al. (2011). The attenuation curve of starburst galaxies of Calzetti et al. (2000) is also plotted. The inset panel highlights the extinction curves in the H$_a$, V, and H$\beta$ bands. (A color version of this figure is available in the online journal.)

Figure 3. Optical extinction $A_V$ derived from the observed Balmer decrement $(H\alpha/H\beta)_{\text{obs}}$ with the assumption of the gray extinction curve of Gaskell et al. (2004) in combination with a “screen” (black solid line) or “well-mixed” (blue solid line) dust configuration. Also shown is the asymptotic value of $(H\alpha/H\beta)_{\text{obs}} \approx (A_{H\alpha}/A_{H\beta}) \times (H\alpha/H\beta)_{\text{int}} \approx 4.84$ for the “screen” geometry at $A_V \to \infty$ (red dashed line; see Equation (5)). (A color version of this figure is available in the online journal.)

The “screen” dust configuration is a simple assumption for the obscuring AGN dust structure. To examine the possible effects caused by the deviation from a “screen” configuration, we consider two cases: (1) one is an extreme case in which the dust is assumed to thoroughly mix with the light source, but the dust distribution is still “smooth” and (2) one is a clumpy distribution of discrete dust clouds (e.g., see Nenkova et al. 2008a, 2008b; Nikutta et al. 2009).

In a “well-mixed” geometry, the observed intensity $I_\lambda$ relates to the intensity of the source $I'_\lambda$ through $I_\lambda = I'_\lambda [1 - \exp(-\tau_\lambda)]/\tau_\lambda$, where $\tau_\lambda$ is the optical depth ($\tau_\lambda = A_\lambda/1.086$) at wavelength $\lambda$ (Mathis 1972). Therefore, the visual extinction can be deduced from the observed Balmer decrement through

$$
\frac{(H\alpha/H\beta)_{\text{obs}}}{(H\alpha/H\beta)_{\text{int}}} = \frac{A_{H\beta}}{A_{H\alpha}} \times \frac{1 - \exp(-(A_V/1.086)(A_{H\alpha}/A_V))}{1 - \exp(-(A_V/1.086)(A_{H\alpha}/A_V))}
$$

(5)

As shown in Figure 3, for a given extinction curve (which specifies $A_{H\alpha}/A_{H\beta}$, $A_{H\alpha}/A_V$, and $A_{H\beta}/A_V$), the “mixed” dust geometry always requires a larger optical extinction $A_V$ to account for the same Balmer decrement $(H\alpha/H\beta)_{\text{obs}}$. In this case, we will derive a higher $A_V/\Delta\tau_{0.7}$ compared to the “screen” scenario for the same Balmer decrement and silicate data. Meanwhile, as can be seen in Equation (5) and in Figure 3, the observed Balmer decrement cannot exceed $(A_{H\beta}/A_{H\alpha}) \times (H\alpha/H\beta)_{\text{int}} \approx 5.0$ (corresponding to $A_{H\beta}/A_{H\alpha} \approx 1.61$ for the extinction curve of Gaskell & Benker 2007), for any reasonable extinction curves considered in Figure 2 and in Table 1. However, as shown in Figure 1, a large fraction of the sources have $(H\alpha/H\beta)_{\text{obs}} > 5$ and they dominate the overall trend of $A_V/\Delta\tau_{0.7}$. Therefore, the obscuring dust can not be in a “well-mixed” geometry. For the 9.7 $\mu$m silicate optical depth, one would expect some degree of mixing since the silicate dust in the warm inner torus will emit at 9.7 $\mu$m. Taking into account the silicate emission, one should derive a higher $A_V/\Delta\tau_{0.7}$ and hence an even lower $A_V/\Delta\tau_{0.7}$.

For a clumpy torus, we assume a Poisson distribution of discrete clouds. Let $N$ be the average number of clouds along a radial equatorial line of sight, and let $\tau_{V,c}$ be the optical depth of a single cloud at wavelength $\lambda$. The observed intensity becomes $I_\lambda = I'_\lambda \exp(-N[1 - \exp(-\tau_{V,c})])$. For the “screen” configuration, we can consider the “screen” as a smooth, continuous distribution of $N$ clouds. Hence the optical extinction and silicate optical depth are $A_V = 1.086 N\tau_{V,c}$.
and $\Delta \tau_{0.7} = N \Delta \tau_{0.7,c}$, respectively. Consequently, the ratio of the clumpy ($A_V/\Delta \tau_{0.7}$)$_{\text{clum}}$ to the screen ($A_V/\Delta \tau_{0.7}$)$_{\text{screen}}$ becomes $(A_V/\Delta \tau_{0.7})_{\text{clum}}/(A_V/\Delta \tau_{0.7})_{\text{screen}} = (1 - \exp(-\tau_{V,c}))/(1 - \exp(-\tau_{0.7,c})) \times (\tau_{0.7,c}/\tau_{V,c})$. It is easy to show $(A_V/\Delta \tau_{0.7})_{\text{clum}} < (A_V/\Delta \tau_{0.7})_{\text{screen}}$. Therefore, the low optical-to-silicate extinction ratio of $A_V/\Delta \tau_{0.7} \approx 5.5$ derived in Section 3 indeed seems to already be in the high end. Nevertheless, we note that in reality, the actual optical depth of a clumpy geometry can be much more complicated than assumed above. Besides, a clumpy geometry cannot produce silicate depths greater than 0.5 (e.g., see Levenson et al. 2007; Nikutta et al. 2009), while a number of S$^3$AGA AGNs have silicate absorption $S_{0.7} > 0.5$. Thus, detailed modeling is needed to fully address this issue.

Dust scatters and absorbs light most effectively when its size $a$ is comparable to the wavelength $\lambda$ of the light (i.e., $2\pi a/\lambda \sim 1$). For the optical light, grains of $a \sim 0.1 \mu m$ are an effective scatter and absorber. For larger grains (say, $a \gtrsim 0.5 \mu m$), they are less effective in extinguishing the optical light but become effective in producing the 9.7 $\mu m$ silicate absorption feature (see Z. Shao et al. 2014, in preparation). The low $A_V/\Delta \tau_{0.7}$ ratio of AGNs could readily be explained in terms of dust larger than the submicro-sized interstellar dust. In Figure 4, we show the optical-to-silicate extinction ratios ($A_V/\Delta \tau_{0.7}$) as a function of grain radii $a$ for compact, spherical silicate grains calculated from Mie theory and the dielectric functions of “astronomical silicates” from Draine & Lee (1984). It is seen that $A_V/\Delta \tau_{0.7}$ peaks at $a \sim 0.2 \mu m$ and rapidly decreases with the increase of $a$. At $a > 0.44 \mu m$, we see $A_V/\Delta \tau_{0.7} < 5.5$. Meanwhile, as shown in Figure 4, one could also achieve $A_V/\Delta \tau_{0.7} < 5.5$ with $a < 0.09 \mu m$. However, it is unlikely for these small grains to survive in the hostile AGN circumnuclear environments, and the spectroscopic studies of the 9.7 $\mu m$ silicate feature of AGNs all point to dust much larger than $\sim 0.1 \mu m$ (see Li et al. 2008; Köhler & Li 2010; Smith et al. 2010). We note that the observed high end of $A_V/\Delta \tau_{0.7} \approx 5.5$ does not necessarily imply that the AGN dust grains are all larger than $a \approx 0.44 \mu m$, since the optical extinction is not exclusively contributed by silicate dust, although the fractional contribution of carbon dust to $A_V$ is not known.

There is evidence that suggests that the dust in the AGN torus is larger than the dust in the ISM. The gray extinction derived for AGNs implies that the size distribution of the dust in AGNs is skewed toward substantially large grains (see Section 1). Some infrared interferometry studies also show various indications that the grain size around the torus might be larger (Kishimoto et al. 2007, 2009; Höning et al. 2013; Burtscher et al. 2013). Maiolino et al. (2001b) found that, for 16 of the 19 AGNs they studied, their $E(B-V)/N_H$ and $A_V/N_H$ are significantly lower than the Galactic standard values. Maiolino et al. (2001a) ascribed these reduced ratios in AGNs (often with a solar or higher metallicity) to grain growth through coagulation in the dense circumnuclear regions. In this case, we could expect a dust size distribution skewed toward large grains, leading a flat extinction curve (but see Weingartner & Murray 2002, who argued that the X-ray absorption and optical extinction may occur in distinct media). We note that the preferential destruction of small dust by X-ray photons in the AGN torus could also lead to the predominance of large dust and result in reduced $E(B-V)/N_H$ and $A_V/N_H$ ratios.

A low $A_V/\Delta \tau_{0.7}$ ratio could also be caused by a smaller abundance of carbonaceous dust in AGNs than in the ISM. Toward the Galactic center, the optical-to-silicate extinction ratio is $A_V/\Delta \tau_{0.7} \approx 9$ (Roche & Aitken 1985) which is just $\sim 1/2$ of that of the local ISM. Roche & Aitken (1985) attributed this to the relative abundance of carbonaceous dust to silicate dust: in the Galactic center there are more oxygen-rich stars which make silicate dust (but see Gao et al. 2010). In AGN torus, if carbon dust is preferentially destroyed, one would also expect a low $A_V/\Delta \tau_{0.7}$ ratio since carbon dust only contributes to $A_V$ while silicate dust contributes to both $A_V$ and $\Delta \tau_{0.7}$. The harsh radiation in AGNs is expected to destroy dust. However, Perna et al. (2003) found that, subject to X-ray/UV radiation, silicate grains would be preferentially destroyed with respect to graphite.

Leipski et al. (2007) derived $A_V \approx 2.4$ (from the narrow line Balmer decrement) and silicate optical depth $\Delta \tau_{0.7} \approx 0.34$ for a type 2 AGN J12324114+1112587. This also implies a low optical-to-silicate extinction ratio of $A_V/\Delta \tau_{0.7} \approx 7.1$ compared with the Galactic value. Leipski et al. (2007) explained this as that the optical extinction and the silicate absorption may take place at different regions: the silicate absorption mainly arises from the dust which is concentrated toward the center of the galaxy, while the NLR emission comes from larger scales and is additionally absorbed by ambient dust in the host galaxy. Goulding et al. (2012) explored the origin of the 9.7 $\mu m$ silicate absorption in 20 nearby ($z < 0.05$) Compton-thick AGNs. They accurately measured the silicate absorption in archival low-resolution Spitzer/IRS spectroscopy. They found that $\sim 45\%$ of the sources have strong silicate absorption with $S_{0.7} > 0.5$. Differing from Leipski et al. (2007), Goulding et al. (2012) argued that the dominant contribution to the observed silicate absorption is made by the dust located in the host galaxy and not necessarily in a compact obscuring torus surrounding the central engine. We note that the discussions on the low $A_V/\Delta \tau_{0.7}$ ratio presented in this work are based on the assumption that both the optical extinction and the silicate absorption are from the torus. The optical extinction derived from the NLR Balmer decrement may not be fully representative of the torus extinction since the NLR may not be obscured by the entire torus. The extinction can also come from the dust in hosts. However, if the hosts also contribute to the mid-IR extinction, a low value of $A_V/\Delta \tau_{0.7}$ ratio in AGNs compared to the Galactic ISM can still be expected, since $[A_V/\text{(host)} + A_V/\text{(torus)}] / [\Delta \tau_{0.7,\text{host}} + \Delta \tau_{0.7,\text{torus}}] > A_V/\text{(torus)}/\Delta \tau_{0.7,\text{torus}}$. We call on further studies on the origin of the optical and silicate extinction. Nevertheless, if the optical

Figure 4. Optical-to-silicate extinction ratio ($A_V/\Delta \tau_{0.7}$) of spherical silicate dust as a function of dust size.

(A color version of this figure is available in the online journal.)
extinction and the silicate absorption are indeed from very different regions, one should not expect any correlation between $A_V$ and $\Delta\tau_{9.7}$. Mismatches in the extinction regions traced by optical and silicate absorption may act as the secondary effect, at most, in the correlation shown in Figure 1.

5. SUMMARY

We have determined $A_V/\Delta\tau_{9.7}$, the ratio of the visual extinction to the 9.7 $\mu$m silicate absorption optical depth, of 110 type 2 AGNs. The mean ratio of $A_V/\Delta\tau_{9.7} \approx 5.5$ is considerably lower than that of the local ISM of the Milky Way. We attribute this anomalously low $A_V/\Delta\tau_{9.7}$ ratio of AGNs to a dust size distribution skewed toward substantially large grains, as a result of preferential destruction of small grains by X-ray/UV photons and/or grain growth in the dense circumnuclear regions of AGNs.

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