A reliable method for the sorting and identification of ALDH<sup>high</sup> cancer stem cells by flow cytometry

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Abstract. Cancer stem cells (CSCs) are a rare tumorigenic population of cells found in multiple types of cancer. It has been suggested that CSCs are responsible for cancer drug resistance, metastasis and recurrence. Therefore, it is important to develop techniques to correctly sort and identify CSCs. In the current study, the sorting and identification of aldehyde dehydrogenase high (ALDH<sup>high</sup>) CSCs was performed using flow cytometry. Cells from three colon cancer cell lines were cultured in serum-free medium to obtain CSCs-enriched spheroid cells. Subsequently, two subpopulations of ALDH<sup>high</sup> CSCs were isolated by flow cytometry either with the use of propidium iodide (PI) or not, respectively. The two subpopulations of ALDH<sup>high</sup> CSCs exhibited distinct characteristics, including stem cell related gene expression, self-renewal capacity and tumorigenicity in vitro and in vivo. Key regulators of the epithelial-mesenchymal transition (EMT), including vimentin, snail and slug were highly expressed in ALDH<sup>high</sup> CSCs. Therefore, the current study indicates that PI staining prior to the sorting of ALDH<sup>high</sup> CSCs by flow cytometry is an appropriate system for the study of CSCs. The current study also demonstrated that there was partial overlap between the transcriptional programs underlying the EMT and CSCs.

Introduction

Cancer metastasis results in the mortality of >90% of patients with cancer (1), however the mechanisms underlying tumor metastasis remain unknown. It has been indicated that certain types of cancer are stem cell diseases (2). According to the hierarchical ‘stem cell model of carcinogenesis,’ tumors should not be viewed as simple monoclonal expansions of transformed cells but rather as complex tissues, where abnormal growth is driven by a subpopulation of cancer stem cells (CSCs) (3). These CSCs have acquired tumor-like features, such as uncontrolled growth, but also maintain their innate capacity to self-renew and differentiate into phenotypically heterogeneous progeny (3). Due to their stem-like properties, CSCs are considered to be responsible for cancer chemotherapy and radiotherapy, metastasis and recurrence. Therefore, to completely eradicate a tumor and prevent recurrence, CSCs should be targeted specifically (3). To date, CSCs have been identified as the driving force behind tumor formation and recurrence in several types of cancer, including leukemia, colorectal and liver cancer (4,5). Therefore, numerous studies are focused on developing novel methods to determine the mechanisms that regulate the survival, self-renewal and differentiation of CSCs (6).

CSCs can be identified and isolated by four different ways from bulk cancer cells: i) Isolation of CSCs by flow cytometry (FCM) using CSC-specific cell surface markers (7); ii) detection of the side population phenotype by Hoechst 33342 exclusion (5); iii) ability to grow as floating spheres in serum-free medium (5); and iv) measurement of aldehyde dehydrogenase (ALDH) activity (8). None of the aforementioned methods are exclusively used to isolate solid tumor CSCs, highlighting the imperative to delineate more specific markers or to use combinatorial markers and methodologies. One frequently used method for CSC isolation is sphere formation via serum-free medium (9). This method results in the isolation of tumor cells resistant to chemotherapy; such cells express high levels of proteins involved in drug resistance (10). However, it has been demonstrated that this procedure is relatively inefficient, as only 1-30% of CSCs are detectable by this method (6,9). CSCs are extremely rare and are undetectable...
in the majority of cases (2). Consequently, the sphere-forming assay should be a reliable and simple method of enriching CSCs by producing a higher percentage of CSCs, a higher capacity of self-renewal, colony formation and tumorigenesis prior to FCM. FCM analysis of CSCs is a reliable, effective and easy-handling approach to screen agents targeting CSCs. Furthermore, due to the use of multiple parameters, it can precisely identify extremely small subpopulations, allowing for the recognition of potentially rare CSCs (11). The use of FCM to identify CSCs can be broadly divided into two categories: Definition according to specific cluster of differentiation (CD) surface marker phenotype and demonstration of stem cell associated functions (7,12). Functional stem cell characteristics, such as aldehyde dehydrogenase (ALDH) activity, are common metabolic features used to identify and analyze CSCs. It has been determined that ALDH is a reliable marker of CSCs in a number of different types of solid tumors, including tumors of the head and neck, lung, liver, pancreas and colon (12-14). High ALDH activity may also be associated with poor prognosis in breast, colon, ovary and lung cancer (15-17).

It is clear that ALDH may be used as a marker to identify and isolate normal stem cells and CSCs from different tissue sources. Some researchers have identified, characterized and isolated a ALDH<sup>high</sup> CSC-like subpopulation from human colorectal cancer cell lines and xenograft tumors (18,19). It was subsequently demonstrated that the ALDH<sup>high</sup> subpopulation from colon cancer cells could be detected at levels as high as 15.3-34.6% (18,19). However, based on the CSC theory, it has been suggested that CSCs only represent a minority of the entire tumor mass (<5% of the total tumor mass). If true, this means that the ALDH<sup>high</sup> CSC subpopulation may be heterogeneous, possibly consisting of subsets of cells with differing tumorigenic potentials (20). In fact, it was observed that there are a number of dead cells following sphere forming culture (5). Non-specific fluorescence signals emitted from dead cells may be detected in the ALDH fluorescence channel by FCM. Therefore, the dead cells were identified and isolated as ALDH<sup>high</sup> cells. In the current study, the ALDH activity in human colorectal cancer cell lines was analyzed. To determine the different functions of the two subpopulations of ALDH<sup>high</sup> CSCs, ALDH<sup>high</sup> CSCs were sorted according to the exclusion or inclusion of dead cells, respectively. Furthermore, cells were analyzed by reverse transcription-quantitative polymerase chain reaction (RT-qPCR), sphere-formation assay, limiting dilution assay, colony formation assay, laser confocal analysis and tumorigenesis in vitro and in vivo.

**Materials and methods**

**Cell lines and monolayer culture.** Colorectal cancer cell lines HT29 (HTB-38), HCT116 (CCL-247), and DLD-1 (CCL-221) were obtained from the American Type Culture Collection (ATCC; Manassas, VA, USA). HT29 and HCT116 cells were both cultured in McCoy's 5A media (ATCC; Manassas, VA, USA), whereas DLD-1 cells were cultured in RPMI1640 (Hyclone; GE Healthcare Life Sciences, Logan, Utah, USA) media supplemented with 10% FBS. All cells were maintained in a humidified incubator at 37°C and 5% CO<sub>2</sub>.

**Tumor cell sphere culture.** The sphere culture was performed as previously described, with some modifications (5). All cells were grown at a density of 2x10<sup>4</sup> cells/ml in serum-free Dulbecco's Modified Eagle's Medium (DMEM)/F12 (Hyclone; GE Healthcare Life Sciences, Shanghai, China) containing 20 ng/ml epidermal growth factor (EGF; PeproTech, Inc., Rocky Hill, NJ, USA), 10 ng/ml basic fibroblast growth factor (bFGF, Pepro Tech, Inc.), 5 µg/ml insulin (Sigma-Aldrich; Merck KGaA, Darmstadt, Germany), 0.4% bovine serum albumin (BSA; Amresco, Solon, OH, USA), 100 U/ml Pen/Strep (Hyclone; GE Healthcare Life Sciences) and 2% B27 (Invitrogen; Thermo Fisher Scientific, Inc., Waltham, MA, USA). Spheres were cultured using 5,000 tumor cells in ultralow attachment 12-well dishes (Corning Incorporated, Corning, NY, USA) for 15 days in a humidified incubator at 37°C containing 5% CO<sub>2</sub> and then collected following centrifugation at 300 x g for 5 min at 4°C. Finally, spheres were dissociated using Accutase Solution (Sigma-Aldrich; Merck KGaA). To perform a sphere-forming assay, spheres were dissociated, counted with Trypan blue staining and 500 tumor cells from HT29, HCT116 and DLD-1 were re-plated under the same conditions as stated above, respectively.

**Limiting dilution assay.** The limiting dilution and tumor sphere forming assay was performed as previously described, with some modifications (21). In brief, tumor cells were plated with limiting dilution (0.5-4 cells/well) in 0.2 ml DMEM/F12 in quadruplicate in a 96-well plate and cultured for 14 days in a humidified incubator at 37°C containing 5% CO<sub>2</sub>. At the end of the experiment, the number of spheres per well was counted. The fraction of negative wells compared with cell dilution was graphed and fitted with a linear regression to estimate the number of CSCs. Following the assumption that a single stem cell gives rise to one sphere (22), the proportion of negative wells was defined by the zero point (F<sub>0</sub>) of the Poisson distribution: F<sub>0</sub>=e<sup>-x</sup>, where x is the mean number of cells per well. One cancer stem cell (one sphere) was expected at a dilution of 0.37 (when x=1, F<sub>0</sub>=e<sup>-1</sup>=0.37).

**FCM.** The ALDH activity was determined by the Aldefluor assay kit (Stem Cell Technologies, Inc., Cambridge, MA, USA) according to the manufacturer's instructions. Cells were trypsinized and incubated with activated ALDEFLUOR reagent for 50 min at 37°C. Control samples incubated with the inhibitor, DEAB, were used to ensure identification of ALDH<sup>high</sup> and ALDH<sup>low</sup> subpopulations. All stained cells were then examined by using a BD FACSVerse flow cytometer (BD Biosciences, San Jose, CA, USA) and the data was analyzed by BD FACSuite software (BD Biosciences). For sorting, the cells were collected using a BD FACSArria Fusion flow cytometer (BD Biosciences).

**In vivo model of tumor cell xenografting.** All animal experiments were approved by the Ethical Committee of Huazhong University of Science and Technology (#S255; Wuhan, China). Tumor cells were collected and suspended in phosphate-buffered saline. A total of 15 six-week-old athymic female Balbc
nu/nu mice (weight, 25.2±2.13 g; Beijing HFK Bioscience Co., Ltd, Beijing, China) were injected subcutaneously. Three groups (n=5 per group) of mice were established as follows: DLD-S ALDH/PI group: 1x10⁴ DLD-S ALDH and DLD-S ALDH/PI cells were injected into the left and right flanks of five mice, respectively; HT29S ALDH/PI group: 1x10⁴ HT29S ALDH and HT29S ALDH/PI cells were injected into the left and right flanks of five mice, respectively; and HCT116S ALDH/PI group: 1x10⁴ HCT116S ALDH and HCT116S ALDH/PI cells were injected into the left and right flanks of five mice, respectively. Mice were housed and maintained in a specific pathogen-free, environmentally controlled atmosphere. The mice were individually housed in standard cages (40x25x12 cm) with food and water available ad libitum. The housing room was maintained at constant room temperature (21±2°C) and humidity (45%), and kept under a regular 12-h light/dark schedule with lights on from 08:00 am to 20:00 pm. (23,24). Mice were sacrificed with an overdose of sodium pentobarbital (100 mg/kg; intraperitoneal injection; Sigma-Aldrich; Merck KGaA, Darmstadt, Germany) 30 days following injection. Xenografts were removed and the tumor size and histopathology were analyzed as previously described (5).

RNA isolation and RT-qPCR. Total cellular RNA was isolated using TRIzol® Reagent (Invitrogen; Thermo Fisher Scientific, Inc.) and mRNA levels were measured by RT-qPCR using a previously described protocol (5). The primer pairs for measuring the levels of each mRNA were as follows: GAPDH, forward, 5'-GGGAGGACCCA A AAGGTCATCATCT-3' and reverse, r5'-GAGCCTGTCACCCACCTTCTG-3'; kruppel-like factor 4 (KLF4), forward, 5'-CGAACCCAC ACAGTTGAGA-3' and reverse, 5'-TACGTTAGTGCCTTG TACGTT-3'; Sox2, forward, 5'-CTTGCTGAGAAATGGGAGGAGAA-3' and reverse, 5'-CTGAGCTGTGGTTGCTGGGCA-3'; Nanog, forward, 5'-CAAGATGCACAAACTGCGAG-3' and reverse, 5'-CATGAGCTGTGGTTGCTGGGCA-3'; reverse, 5'-CTCTGACGTCGCTTCTGCTG-3'; Oct4, forward, 5' -CTTGCTGAGAAATGGGAGGAGAA-3' and reverse, 5'-CTGAGCTGTGGTTGCTGGGCA-3'; reverse, 5'-CTCTGACGTCGCTTCTGCTG-3' and reverse, 5'-CTCTGACGTCGCTTCTGCTG-3'; Oct4, forward, 5'-GAGCCTGTCACCCACCTTCTG-3' and reverse, 5'-GAGCCTGTCACCCACCTTCTG-3' and reverse, 5'-GAGCCTGTCACCCACCTTCTG-3'.

Immunofluorescence staining and laser confocal analysis. The expression of ALDH and vimentin in DLD-S ALDH/PI CSCs were analyzed with immunofluorescence staining and the laser confocal technique. For immunofluorescence analysis, cells were grown in serum-free DMEM/F12 medium to generate spheroid cells. Consequently, it is reasonable to speculate that, without the exclusion of dead cells, results will be diverse. However, no comparative analysis has been made between ALDH and ALDH/PI subpopulations in previous studies. Therefore, it was postulated that a systematic study should be performed to distinguish between the two subpopulations of CSCs in vitro and in vivo.

Previous studies demonstrated that DLD-1, HCT116, and HT29 colon cancer cells form spheres when cultured in serum-free medium and that these spheroid cells possess CSC characteristics (5,9,29). As DLD-1 cells form spheres more readily than the other colon cancer lines tested, the current study only used DLD-S to determine the stemness properties of
Figure 1. The percentage of ALDH<sup>high</sup> CSCs sorted by flow cytometry without the use of propidium iodide staining. As assessed by flow cytometry, when dead cells were not excluded, the mean percentage of ALDH<sup>high</sup> CSCs in DLD-S, HT29S and HCT116S cells were 3.19±0.3, 12.95±0.7 and 2.56±0.3%, respectively. ALDH-DEAB was used as a control. All data are presented as the mean ± standard deviation of three independent experiments. CSC, cancer stem cells; ALDH, aldehyde dehydrogenase; DEAB, Diethylaminobenzaldehyde; SSC-A, side scatter area.

Figure 2. Isolating ALDH<sup>high</sup> CSCs from spheroid cells with PI staining. (A) Exclusion of dead cells by PI staining prior to flow cytometry. The mean percentages of ALDH<sup>high</sup> cancer stem cells in DLD-S, HT29S and HCT116S cells were 0.09±0.01, 0.04±0.01, and 0.09±0.01%, respectively. (B) The dead cells as distinguished by PI staining were distributed in the ALDH<sup>high</sup> subpopulation (Green). Consequently, the dead cells were counted as ALDH<sup>high</sup> cells. (C) The ALDH<sup>high</sup> cells from DLD-1, HT29 and HCT116 adherent cells were 0.01±0.01 to 0.02±0.01%, respectively. ALDH-DEAB was used as a control. All data are presented as mean ± standard deviation of three independent experiments. *P<0.05 vs. ALDH. PI, propidium iodide; ALDH<sup>high</sup>, high aldehyde dehydrogenase; DEAB, diethylaminobenzaldehyde; SSC-A, side scatter area.
ALDH<sup>high</sup> cells. RT-qPCR was used to measure mRNA levels of the stem cell related genes Oct4, Sox2, Nanog, KLF4 and BMI-1. It was demonstrated that the expression of all these genes was significantly higher in ALDH<sup>high</sup> cells compared with ALDH<sup>low</sup> cells (P<0.05; Fig. 3A). The capacity of self-renewal in ALDH<sup>high</sup> and ALDH<sup>low</sup> cells was determined using the sphere-forming assay. It has been suggested that the capacity of CSC self-renewal serves a critical role in cancer progression, as it induces chemoresistance, high tumorigenicity, metastasis and recurrence (30,31). Only cells with self-renewal capability are able to sustain the growth in suspension that gives rise to non-adherent colonies (32). The current study demonstrated that ALDH<sup>high</sup> cells exhibited a higher sphere-forming capability than ALDH<sup>low</sup> cells, indicating that ALDH<sup>high</sup> cells have a higher capacity for self-renewal (Fig. 3B). The capacity of secondary sphere formation is a hallmark of the stem cell property of self-renewal (21). Consequently, a serial sphere-forming assay was performed and it was determined that there were significantly fewer spheres in the ALDH<sup>low</sup> subpopulation than in ALDH<sup>high</sup> cells (P<0.05), indicating a defect in the self-renewal of ALDH<sup>low</sup> cells (Fig. 3B). These results suggest that the ALDH<sup>high</sup> subpopulation exhibits certain CSC characteristics compared with ALDH<sup>low</sup> cells.

To further elucidate the differences of gene expression in the ALDH/PI and ALDH subpopulations, the expression profile of stem cell related genes was analyzed. It was demonstrated that the expression of all these genes was significantly higher in DLD-S, HT29S and HCT116S ALDH/PI cells compared with ALDH cells (P<0.05; Fig. 4A). In suspension, ALDH/PI cells exhibited higher sphere-forming capability, indicating that ALDH/PI cells have a higher capacity of self-renewal than ALDH cells. More importantly, the serial sphere-forming assay demonstrated that there were significantly fewer spheres in the ALDH subpopulation than in ALDH/PI cells in all cell lines tested (P<0.05), indicating a defect in the self-renewal of ALDH cells (Fig. 4B). These data seem to indicate that there are fewer CSCs in the ALDH subpopulation compared with the ALDH/PI subpopulation.

In addition, a limiting dilution assay was performed to calculate the proportion of CSCs in the two subpopulations. In brief, tumor cells were plated with limiting dilution (0.5-4 cells/well) in 0.2 ml DMEM/F12 in quadruplicate in a 96-well plate and cultured for 14 days. At the end of the experiments, the number of spheres per well was counted. The fraction of negative wells compared with cell dilution was graphed and fitted with a linear regression to estimate CSC frequency. Following the assumption that a single stem cell gives rise to one sphere (22), the proportion of negative wells is defined by the zero point (F<sub>0</sub>) of the Poisson distribution: F<sub>0</sub>=e<sup>-x</sup>, where x is the mean number of cells per well. One cancer stem cell (one sphere) is expected at a dilution of 0.37 (when x=1, F<sub>0</sub>=e<sup>-1</sup>=0.37). Since a sphere is thought to represent all progeny from a single stem cell, sphere formation reflects the stem cell population (33); thus, CSC frequency may be estimated using the limiting dilution assay. The median frequencies were 1/14 (DLD-S), 1/11 (HT29S), 1/12 (HCT116S) for ALDH/PI cells and 1/38 (DLD-S), 1/33 (HT29S), 1/24 (HCT116S) for ALDH cells, respectively (Fig. 4C). Taken together, these data indicate that there is a higher proportion of CSCs in the ALDH/PI subpopulation than in ALDH cells.

Subsequently, the tumorigenicity of the ALDH/PI and ALDH subpopulations was examined. The colony formation...
Figure 4. Characterization of two ALDH<sup>high</sup> CSCs subpopulations. (A) mRNA levels of the stem cell related genes Oct4, Sox2, Nanog, KLF4 and Bmi-1 in ALDH/PI CSCs were upregulated compared with ALDH CSCs. (B) As assessed by a serial sphere forming assay, ALDH/PI CSCs exhibited a higher capacity of self-renewal compared with ALDH CSCs. (C) As assessed by limiting dilution assay, the ALDH/PI subpopulation had higher fraction of CSCs than ALDH cells. All data are presented as the mean ± standard deviation of three independent experiments. *P<0.05 vs. ALDH. ALDH, aldehyde dehydrogenase; PI, propidium iodide; CSCs, cancer stem cells; Oct4, octamer-binding transcription factor; KLF4, kruppel-like factor 4.

Figure 5. ALDH/PI CSCs exhibit higher tumorigenicity than ALDH CSCs in vitro and in vivo. (A) ALDH CSCs formed a significantly lower number of colonies than the ALDH/PI CSCs as assessed by a colony formation assay. (B) Mice transplanted with ALDH/PI CSCs formed tumors earlier and formed significantly larger tumors than mice transplanted with ALDH CSCs. All data are presented as the mean ± standard deviation of three independent experiments. *P<0.05 vs. ALDH. ALDH, aldehyde dehydrogenase; PI, propidium iodide.
assay indicated that ALDH cells formed significantly fewer colonies than ALDH/PI cells in vitro (P<0.05; Fig. 5A). Xenotransplantation assays were then performed to determine the tumorigenicity of the two subpopulations in vivo. An equal number of cells from the two subpopulations were injected subcutaneously into immunodeficient mice. It was determined that injected ALDH cells exhibited significantly lower tumor growth compared with ALDH/PI cells (P<0.05; Fig. 5B).

The epithelial-mesenchymal transition (EMT) has been postulated as a mechanism by which cancer cells acquire the invasive and stem-like traits necessary to induce distant metastasis (34). The EMT process involves the disassembly of cell-cell junctions, actin cytoskeleton reorganization and increased cell motility, as characterized by a decrease in the expression of epithelial genes such as E-cadherin and the acquisition of mesenchymal molecules including vimentin, snail and slug (5,35). Previous studies have indicated that CSC-like cells may be generated by processes associated with activation of the EMT, which affects cellular differentiation and tumor metastatic potential (5,10,36). Thus, CSC biology and the EMT may be mechanistically correlated and potentially be key components of cancer progression and metastasis. It is essential to perform an in-depth investigation of crosstalk of cancer stemness with EMT to improve understanding of tumor progression from a stem cell model perspective (37). The current study examined the expression of key EMT regulators including E-cadherin, vimentin, snail and slug in ALDH\textsuperscript{high} CSCs from DLD-S cells using laser confocal analysis and RT-qPCR. The co-expression of ALDH and the mesenchymal marker vimentin was determined (Fig. 6A). There was a significant increase in the expression of the mesenchymal markers vimentin, snail and slug in ALDH/PI CSCs compared with ALDH CSCs (P<0.05; Fig. 6B). However, the expression of the epithelial marker E-cadherin was significantly decreased in ALDH/PI CSCs compared with ALDH CSCs (P<0.05; Fig. 6B). These data indicate that the transcriptional programs underlying EMT and CSCs partially overlap.

In conclusion, the results of the current study indicate that ALDH/PI CSCs and ALDH CSCs exhibit distinct characteristics. ALDH/PI CSCs are highly tumorigenic and have enhanced stem cell characteristics in vitro and in vivo compared with ALDH CSCs. Furthermore, the current study demonstrated that there were partially overlapping transcriptional programs underlying the EMT and CSCs. Therefore, the current study demonstrates that the exclusion of dead cells prior to FACS is an appropriate model system to study CSCs.

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Figure 6. The co-expression of ALDH and EMT key regulators. (A) Laser confocal analysis confirming the presence of ALDH and the EMT key regulator vimentin in ALDH\textsuperscript{high} CSCs. Nuclei were stained with DAPI. Scale bar=25 \( \mu \)m; magnification, x400. (B) Levels of E-cadherin mRNA were decreased in ALDH\textsuperscript{high} CSCs, whereas levels of the mesenchymal molecules vimentin, snail and slug were highly expressed in ALDH\textsuperscript{high} CSCs. All data are presented as the mean ± standard deviation of three independent experiments. *P<0.05 vs. ALDH. EMT, epithelial-mesenchymal transition; ALDH\textsuperscript{high}, high aldehyde dehydrogenase; CSCs, cancer stem cells; DAPI, 4',6-diamidino-2-phenylindole.
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