Surface solitons in quasiperiodic nonlinear photonic lattices

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We study discrete surface solitons in semi-infinite, one-dimensional, nonlinear (Kerr), quasiperiodic waveguide arrays of the Fibonacci and Aubry-André types, and explore different families of localized surface modes, as a function of optical power content (‘nonlinearity’) and quasiperiodic strength (‘disorder’). We find a strong asymmetry in the power content of the mode as a function of the propagation constant, between the cases of focussing and defocussing nonlinearity, in both models. We also examine the dynamical evolution of a completely-localized initial excitation at the array surface. We find that in general, for a given optical power, a smaller quasiperiodic strength is required to effect localization at the surface than in the bulk. Also, for fixed quasiperiodic strength, a smaller optical power is needed to localize the excitation at the edge than inside the bulk.

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I. INTRODUCTION

Optical waveguide arrays and photonic lattices have proven particularly useful to the study and observation of the general phenomenology of wave localization arising from the interplay of nonlinearity, periodicity (or lack of it), disorder, boundary effects, geometry and dimensionality. Among other phenomena, it has permitted the direct observation of Anderson localization [1], Tamm states in truncated optical lattices [2] and boundary effects on Anderson localization [3]. Regarding the effects of quasiperiodicity in photonic systems, low-loss resonant modes have been predicted in aperiodic nanoporous waveguides [4], and localization of interface modes at the boundary between metal and Fibonacci quasiperiodic structure have been recently studied [5]. Also, for a one-dimensional quasiperiodic waveguide array known as the Aubry-André (AA) model, a localization transition was experimentally observed [6] in agreement with the earlier prediction [7]. Moreover, and in contrast to periodic systems, quasiperiodic lattices support the existence of linear localized surface modes [8] and a rich hierarchical band-gap structure [9]. For this reason the region of existence (in parameter space) of surface gap solitons is expected to be larger in the quasiperiodic case than in the periodic case.

Concerning the interplay of boundary and disorder, Szameit et. al.[3] observed experimentally that, in a truncated disordered waveguide array, localization due to disorder is weakened near the boundary of the truncated array. This result, in addition to the well-known fact that nonlinear localization at the edge of a truncated periodic nonlinear (Kerr) lattice is also weakened by the presence of a boundary [10], reinforces the idea that in one dimension, the boundaries are “repulsive”. The whole story is not that simple, however. A recent work [11] extended Szameit’s studies by exploring the interplay of boundaries, disorder and nonlinearity, finding that the boundary is “repulsive” or not depending on the relative strength of nonlinearity and disorder: For weak nonlinearity and moderate disorder, localization is weaker at the surface than in the bulk, in agreement with Szameit’s results. However, for relatively strong disorder and/or nonlinearity it is as easy to localize an excitation at the edge as in the bulk.

In this work we explore another side of these issues by replacing in these studies the presence of uncorrelated disorder by the much weaker loss of periodicity, produced by quasiperiodicity. That is, we examine the interplay of boundary effects, quasiperiodicity and nonlinearity, in two different semi-infinite nonlinear optical waveguide arrays. In one of them, the linear index of refraction follows the Fibonacci sequence, while in the other one, the index of each fiber varies in space in an incommensurate manner, according to the AA model. The strength of quasiperiodicity is varied by adjusting the relative refractive index contrast of the guides, while nonlinearity is regulated by the power content of the input beam.

We find different families of discrete solitons with different power thresholds: High power solitons associated to total internal reflection (TIR) gaps with finite power threshold, and low power solitons associated to Bragg reflection (BR) gaps where the threshold value depend on the symmetry of linear modes and their propagation constants. Contrary to what happens in periodic systems, here we have localized linear modes and extended asymmetric linear modes that can originate discrete solitons with zero power threshold. For a fixed distribution of refractive indices, we find a strong asymmetry between the focussing and defocussing cases, when the quasiperiodicity of the array is diagonal, i.e., when it affects the index of refraction of the guides but not their mutual coupling. We also examine the dynamical evolution of a completely-localized initial excitation at the array surface. We find that in general, for a given power content, a smaller quasiperiodic strength is required to effect localization at the surface than in the bulk. Also, for a fixed quasiperiodic strength, a smaller power is needed to localize the excitation at the edge than inside the bulk. This behavior is in marked contrast to the case of uncorrelated disorder and weakens the usual assumption that a surface acts in a ‘repulsive’ manner [3] [10].
This paper is organized as follows. In Sec. II we describe the two kinds of quasiperiodicity (substitutional and incommensurate arrays) and we discuss the appropriate adimensionalization in each case. In Sec. III we study the different families of surface localized modes and their linear stability. In Section IV we examine the interplay between quasiperiodicity, boundary and nonlinear effects on the dynamical evolution of an initially localized input beam and we compared the results obtained for surface and bulk excitation. In section V we discuss briefly the nondiagonal quasiperiodic case and finally, section VI concludes the paper.

II. MODEL

Let us consider a semi-infinite, weakly-coupled, one-dimensional quasiperiodic array of nonidentical nonlinear optical single-mode waveguides. In the coupled-mode framework, the electric field $E(x)$ propagating along the array can be presented as a superposition of the modes of each waveguide, $E(x) = \sum_n E_n \phi_n(x)$, where $E_n$ is the amplitude of the (single) guide mode $\phi_n(x)$ centered on the $n$th site. The evolution equations for the modal amplitudes $E_n$ take the form

$$i \frac{d}{dz} E_n + V(E_{n+1} + E_{n-1}) + \gamma |E_n|^2 E_n = 0 \quad (1)$$

where $n$ denotes the position of a guide center, $z$ is the longitudinal propagation coordinate, $\gamma$ is the coupling between nearest-neighbor guides and $\epsilon_n$ is the refractive index of the $n$th guide. Equation (1) is known as the Discrete Nonlinear Schrödinger (DNLS) equation and is also found in the study of intrinsic localized modes [12], molecular crystals [13], biopolymers [14], arrays of Josephson junctions [15], Bose-Einstein condensates in magneto-optical traps [16], among others.

In this work the sequence $\{\epsilon_n\}$ will not be random but aperiodic. We will consider two kinds of quasiperiodic arrays: substitutional (Fibonacci) and incommensurate (AA).

A. Substitutional arrays

The first case corresponds to a substitutional array formed by the successive application of the Fibonacci substitutional rule: $\{\epsilon_0 \rightarrow \epsilon_a, \epsilon_b, \epsilon_b \rightarrow \epsilon_a\}$, starting from $\epsilon_0 = \epsilon_a$. This leads to an aperiodic sequence of propagation constants: $\epsilon_a \epsilon_b \epsilon_a \epsilon_b \epsilon_a \epsilon_b \ldots$, where the first value corresponds to the value at the boundary of our semi-infinite array. We can redefine $\epsilon_a$ and $\epsilon_b$ using an appropriate adimensionalization in term of a single parameter $\epsilon$ (see Sec.II.C.). In practice, since our array is of finite length $N \gg 1$, we only need to apply the substitutional rule a finite number of times. In the absence of nonlinearity ($\gamma = 0$), the linear modes are described by $A_n(z) = A_n e^{i \lambda x}$, reducing Eq.(1) to an eigenvalues problem. In the limit $N \rightarrow \infty$, the linear spectrum converges to a fractal structure, with two main bands (Fig.1), each of which is in turn endowed with an internal, self-similar structure of bands and gaps [17]. The eigenstates have a self-similar structure too in accordance with the aperiodicity of the array. Among these states, there are an infinite number of surface localized modes. For a finite array, and depending on the value of $N$, the number of such linear surface states is finite, appearing as isolated levels of the linear spectrum. It is not easy to determine how many of these linear surface states exist for a given $N$. For instance, for an array with $N = 89$ waveguides, we find at least one surface localized mode (Figs. 1a,2b).

B. Incommensurately modulated arrays

Another way to generate a linear quasiperiodic array is by means of a spatial modulation of the refractive index that is incommensurate with the natural period of the array. An interesting example of this is the Aubry-André (AA) model [7], where the propagation constant of each waveguide is given by

$$\epsilon_n = \epsilon_0 + \eta \cos(2\pi \chi), \quad (2)$$

where $\eta$ is the parameter of modulation.
where $\epsilon_0$ is the background value, $\eta$ is the strength of the modulation, and $\chi$ is the ratio between the period of the modulation and the natural lattice period. Thus, the array is incommensurate when $\chi$ is an irrational number. The linear ($\gamma = 0$) AA model displays a sharp localization transition at $|\eta/V| = 2$: For $|\eta/V| < 2$, all eigenstates are extended, while for $|\eta/V| > 2$, they are all localized (see Fig. 2). Moreover, in the localized regime, the eigenvalue spectrum is composed by isolated points forming a fractal structure such that its complement is a dense set [18].

$\varepsilon_0$}

C. Adimensionalization

For simplicity, we render Eq. (1) dimensionless by defining $E_n(z) = \sqrt{V/\gamma} A_n(\xi)e^{i\phi}$, with $\xi = V z$. Equation (1) reads now

$$i \frac{d}{d\xi} A_n + (A_{n+1} + A_{n-1}) + \delta_n A_n + \text{sgn}(\gamma)|A_n|^2 A_n = 0 \quad (3)$$

where $\delta_n = (\epsilon_n/V) - \phi$ and positive (negative) $\gamma$ denotes the focussing (defocussing) nonlinearity case. By a judicious choice of $\phi$, one can describe both, The Fibonacci model and the AA model. For the Fibonacci model we define $\phi$ as $\epsilon_n/V$ obtaining $\delta_n = 0$ or $\epsilon$ and, for AA model we define $\phi = \epsilon_0/V$ obtaining $\delta_n = \epsilon \cos(2\pi n\lambda)$, with $\epsilon = (\epsilon_0 - \epsilon_b)/V$ and $\epsilon = \eta/V$, as the relevant control parameters for the Fibonacci and AA models, respectively. The value of these parameters measure the strength of the quasiperiodic potential.

The power content $P = \sum_n |A_n|^2$, is a conserved quantity in both models, and useful to characterize the different families of nonlinear modes.

III. SURFACE SOLITONS

We look for stationary localized surface mode solutions of (3). We pose the ansatz $A_n(z) = A_n e^{i\Lambda z}$, obtaining the coupled system of algebraic equations

$$-\lambda A_n + (A_{n+1} + A_{n-1}) + \delta_n A_n + \text{sgn}(\gamma)|A_n|^2 A_n = 0 \quad (4)$$

where $A_n$ is real. Equation (4) possesses the useful staggered-unstaggered symmetry $(\lambda, \gamma, \epsilon, A_n) \rightarrow (-\lambda, -\gamma, -\epsilon, (-1)^n A_n)$. This means that only a half of the $\lambda - \epsilon$ space needs to be explored. The regions in parameter space where the nonlinear modes are equivalent are: $\{ \gamma > 0, \epsilon > 0 \} \cup \{ \gamma < 0, \epsilon < 0 \}$ which we denote as Type I nonlinear modes, and $\{ \gamma > 0, \epsilon < 0 \} \cup \{ \gamma < 0, \epsilon > 0 \}$, denoted as Type II nonlinear modes. For each type, regions with $\gamma > 0$ and $\lambda > 0$ are associated to unstaggered modes, while regions with $\gamma < 0$ and $\lambda < 0$ are associated to staggered modes. In the remaining of this section, we will fix $\epsilon > 0$ and both signs of $\gamma$ to examine the nonlinear surface modes present in the system. Equations (4) are solved by a straightforward implementation of a multi-dimensional Newton-Raphson method [10], using the uncoupled (anticontinuum) limit to start the iteration process. The linear stability of each mode is examined in the usual manner, by introducing a perturbed solution of the kind $A_n(\xi) = (A_n + \psi_n(\xi)) e^{i\Lambda \xi}$, with $|\psi_n| \ll 1$, where $\psi_n$ is the (complex) perturbation. After replacing in Eq. (4) and after linearizing in $\psi_n$, we obtain the evolution equation for the linear perturbation:

$$i \frac{d}{d\xi} \psi_n = (\lambda - \delta_n) \psi_n - (\psi_{n+1} + \psi_{n-1}) - \text{sgn}(\gamma)|A_n|^2 (2\psi_n + \psi_n^*) \quad (5)$$

Next, the eigenvalue spectrum for perturbations of the type $\psi_n(\xi) = \psi_n e^{i\Omega \xi}$ is examined, and the stability (instability) of the mode is inferred from the absence
For Type I modes, this minimum power content $P$ decreases monotonically with an increase in $|\epsilon|$, reaching a minimum at $|\epsilon| \approx 2.59$, where the minimum threshold is $P_{th} \approx 1.25$, and increasing monotonically with $|\epsilon|$ afterwards (Fig.5).

A linear stability analysis reveals that all these TIR modes undergo a stability change when $\partial P/\partial \lambda = 0$, where the bifurcation occurs. The stability of the modes seems also to be associated with a different position of its ‘center of mass’: In stable modes (Fig.3a,b,d,e), the center of mass is closer to the surface, while unstable modes have their center of mass about one layer below the surface.

B. Bragg Reflection(BR) modes

These modes reside in deeper internal gaps in-between mini-bands, where Bragg reflection effects predominate. In these regions, localization is the combined result of nonlinearity plus the scattering produced by the aperiodic structure. These modes are less localized than the TIR modes (see Fig.3c,f), and they do not necessarily need a power threshold to excite them. Modes with no threshold bifurcate from two types of linear modes: Those localized at the surface and associated to isolated points of the linear spectrum (Fig.1a), or those extended asymmetric modes where its envelope decreases away from the surface (Fig.1b). In any case, the presence of nonlinearity shifts the propagation constant of the linear mode outside the mini-band, giving rise to a nonlinear surface mode.

In the linear Fibonacci case, and for a relatively large number of sites there are a finite number of linear modes localized at the surface. This number is a function of the total number of sites, $N$. In particular, for $N = 89$
there is a single localized linear surface mode (Fig.1a), which gives rise to the families of Type I and II shown in Fig.2. On the other hand, for the Aubry-André case, all of the linear eigenfunctions are extended for $|\epsilon| < 2$ and localized for $|\epsilon| > 2$, and some of them are asymmetric with maxima at or near the surface(Fig.1b). After the localization transition, these modes do not necessarily become localized at the surface (Fig.1c), but there are other linear modes that will become localized at the surface. When nonlinearity is present, we note that solitons residing in the internal gaps, between internal mini-bands, suffer a kind of oscillatory instability when their propagation constant approaches the edge of a mini-band, and their power content increases. This is due to a resonance between a linear mode at the edge of the band and the tail of the nonlinear mode, leading to a delocalization of the nonlinear mode and to a synchronization of its phase with the one of the linear mode. Similar behavior has been reported in continuous systems with periodic potentials [20], and also in discrete binary systems [19, 21].

IV. DYNAMICS

We look now into the effects of quasiperiodicity on the dynamical evolution of an initially localized input beam at the edge of the array and also inside the bulk, for comparison purposes. We solve Eq.(3) numerically with an initial condition of $A_n(0) = \sqrt{P} \delta_{n,n_0}$, with $n_0 = 1$ for the edge excitation. For the bulk case, we have different local ‘environments’ around the initial site $n_0$ depending on the specific chosen site, we average the selftrapped fraction obtained for three different initial sites.

For a given $\epsilon$ and $P$, the space-averaged fraction of power remaining at the initial waveguide $f$, after a longitudinal propagation distance $\xi_{\max}$ is defined as $f = (P \xi_{\max})^{-1} \int_0^{\xi_{\max}} |A_1(\xi)|^2 d\xi$. Results for $f$ as a function of $\epsilon$ and $P$ with $\gamma > 0$ for both models, are shown in Fig.6 in the form of a density plot.

The first thing we notice from Fig.6 is that both, Fibonacci and AA models, show a qualitative similar selftrapped fraction as a function of the aperiodic strength. The most interesting feature, however, is that the threshold power to excite localized modes is actually lower at the surface than at the bulk. More specifically, we note that for a given power content, a smaller quasiperiodic strength is required to effect localization at the surface than in the bulk. And for fixed quasiperiodic strength, a smaller power is needed to localize the excitation at the surface than inside the bulk. This is in marked contrast with the behavior observed in periodic systems.

Coming back to the surface excitation case, we note a correspondence between the threshold power to excite a discrete surface soliton dynamically, with the thresholds for the stationary modes (white line) of the previous section. The dynamical thresholds are always larger. It is also interesting the marked asymmetry between the region to the left of $\epsilon = 0$ (Type I nonlinear modes) with the region to the left of $\epsilon$ (equivalent to Type II nonlinear modes). This asymmetry mirrors the one found for stationary surface modes. As expected, in the region where nonlinearity dominates, the output power fraction at the surface guide is close to unity, while at low input powers and high quasiperiodic strength, there is a sort of weak localization near the surface. At very small input power, the beam tends to diffract below certain quasiperiodic strength. Conversely, when quasiperiodicity is very weak, the beam diffracts below a certain minimum input power. Different localization regimes are separated by regions where it is not possible to localize the wave function. Eventually, when the power or the strength of the quasiperiodic potential $\epsilon$ is sufficiently high, most of the optical power remains trapped.

Some examples of the detailed dynamical evolution in different regions of Fig.6 for surface excitations, is shown in Fig.7. We see different types of localized surface solutions:

(a) High-power solutions that are strongly localized at the surface and are associated to the TIR gap (Fig.6a and 6c). Here, localization increases with power.
(b) Surface solutions that oscillate persistently between two sites, with a propagation constant that depends on the value of $\epsilon$ (Fig.6b and 6h). We have computed the Fourier transform of these solutions and found that the propagation constant associated to the transversal dynamics (secondary propagation constant) is smaller than the propagation constant associated to the longitudinal dynamic (primary propagation constant), which dominates the dynamics. An increase in $|\epsilon|$ render these type of solutions more localized and the secondary propagation constant becomes negligible. However, their power content is smaller than the power associated with the TIR modes. This type of solution is similar to the ones described in Ref.[22] for a lattice with alternating site energies, where stable dynamic exists with the power oscillating between two sites.

(c) Low-power solitons that show oscillations with two different propagation constants (Figs. 6c, 6d and 6g): The first oscillation is fast and occurs between two nearby sites (as in case (b)); the second oscillation is slower and involves sites farther away from the surface (about 5 sites).

(d) Chaotic oscillations (Fig. 6f), where the oscillations do not seem to follow a well-defined pattern. These solitons reside at the very boundary between weak and strong selftrapped output fraction in the $P_0 - \epsilon$ diagram in Fig[6]

V. NONDIAGONAL QUASIPERIODICITY

For completeness, we also report here the results obtained for a variant of the Fibonacci model, where the aperiodic sequence occurs for the coupling between nearest-neighbor guides, and the individual refractive index contrast of the guides are taken as identical. In that case, it can be absorbed as a phase factor in the solution, which we take equal to zero, without loss of generality. The equations of motion are:

$$i \frac{d}{d\xi} A_n + V_{n+1}A_{n+1} + V_n A_{n-1} + \gamma |A_n|^2 A_n = 0 \quad (6)$$

where $V_n$ denotes the coupling between guides $n - 1$ and $n$. According to the Fibonacci substitutional rule, The $\{V_n\}$ sequence is $V_a V_b V_a V_a V_b V_a V_b \ldots$

The results obtained (not shown here) are qualitatively similar to the previous case of quasiperiodicity in the refractive index (diagonal quasiperiodicity), with a linear spectrum whose structure is composed of bands and gaps, with each band in turn, decomposed into smaller mini-bands and gaps. As in the previous case, nonlinear surface modes of the TIR and BR type can also be excited. For this diagonal quasiperiodic case however, the usual staggered-unstaggered symmetry holds: $(\lambda, \gamma, A_n) \rightarrow (-\lambda, -\gamma, (-1)^n A_n)$ as in the usual DNLS equation. This implies that the nonlinear surface modes for the focussing and defocussing cases are now equivalent.

VI. DISCUSSION

We have examined the interplay among nonlinearity, quasiperiodicity and surface effects in a semi-infinite, one-dimensional array of weakly-coupled, nonlinear (Kerr) optical waveguides. We have considered two implementations of quasiperiodicity, namely, the Fibonacci and the Aubry-André model, and have found different families of surface localized modes, as well as the dynamical evolution of an initial surface input beam.
Both models show qualitatively similar behavior in the nonlinear regime, characterized by high-power solitons (TIR gap) strongly localized at the edge of the array, and lower-power solitons (BR gap) that oscillate persistently between some few sites close to the edge. Perhaps the main result is the strong asymmetry observed between the focussing and defocussing cases for the same distribution \(\{\epsilon_n\}\), that could be measured experimentally using techniques similar to the ones used in ref. [9]. Thus, for a nonlinear focussing medium, the defocussing case could also be explored by a judicious choice of the aperiodic strength parameter \(\epsilon\). For instance, in the Aubry-André model, the modulation of the lattice is given by \(\eta \cos(2\pi n \xi)\), if the choice \(\{\eta > 0, \gamma > 0\}\) gives rise to focussing modes, then to explore defocussing ones, is enough to change \(\eta \rightarrow -\eta\), which in turn, is equivalent to explore the modes in the defocussing regime \(\{\eta > 0, \gamma < 0\}\). A comparison of the dynamical evolution of excitations initially localized at the surface and at the bulk, revealed that for a fixed degree of quasiperiodic strength, a smaller amount of optical power is required to selftrap the excitation at the surface than at inside the bulk. Conversely, for a fixed optical power, a larger quasiperiodic strength is required to selftrap the excitation inside the bulk than at the surface. To summarize, it is easier to localize an excitation at the edge than inside the bulk. This is in marked contrast with the behavior observed for periodic photonic lattices, and cast a note of caution on the widespread notion that a one-dimensional surface acts in a ‘repulsive’ manner.

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