Encoding neural memory

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Abstract

In the interest of elucidating neural processes underlying memory, many have looked to computer information-theory and code, despite that these are inherently "demotive" i.e. lacking emotive qualities that render "meaning" or "value" to conscious experience. We review various textual coding schemes (i.e. Morse, Bar, QR) and consider their relevance to neural coding of "memory".

We employ a psycho-chemical approach to describe the emotive neural memory code. The proposed tripartite mechanism and iconography involves the interactions of neurons with their surrounding extracellular matrix (nECM). Incoming perceptions are encoded into the nECM by neurons ejecting trace metal cations and neurotransmitters (NTs). They combine within local "addresses" in the nECM to form metal-centered complexes i.e. cognitive units of information (cuinfo). Neurotransmitter (NT) binding thereto confers emotive qualities to the cuinfo.

In short, the neuron employs chemo-affinity processes to encode (emotive) cognitive information. The nECM is the "library" while the metal cations and the NTs are the "dopants". NTs are physiologic encoding elements, the molecular signifiers of emotive states.

Such a neuro-chemical code for memory has many credible features. Namely it:
• Employs the nECM surrounding neurons as a "library" of coding "addresses".
• Employs dopants (metal cations and NTs) available to neurons, to encode units of memory.
• Encodes emotive (affective) states with NTs.
• Presents a multi-code (n>100), more complex than the binary code (n=2) of the computer.
• Entangles emotive memory with physiologic reactions.
• Is phylogenetically conservative. It starts with bacterial signaling and evolves with neural nets which ultimately achieves a phase change of "metabolic energy" into "mentality".

We schematically represent the evolving chemo-affinity neural code as a phase transition of emerging mental talents of neural circuits.

Background

In the interest of elucidating the neural processes that underlie memory and learning, many have looked to the computer and its Information Theory. But there are pitfalls.

"It is probably dangerous to use this theory of information in fields for which it was not designed, but I think the danger will not keep people from using it" [1].

Here, we review various coding schemes and consider their relevance to neural signaling that results in memory. To begin, we define terms used to discuss both computer and neural memory.

Computer “Data” and “Information” (info)

Some aspire to describe neural memory and mentation in “objective” mathematical or algorithmic terms.

"Computational systems are useful to describe brain processes mathematically".

[2]. One could say that "data" is a quantifiable unit of knowledge, whereas "information" is vaguer. The single datum is the smallest unit of knowledge (input) which is physically represented in the chip (i.e. dopants distributed in a matrix [3,4]. By itself, an individual datum or a "bit", is meaningless. But a set of bit data that integrates into a meaningful pattern is "information" (info). Thus, "information" derives from a material pattern encoding of "data", which also requires the participation of a recognizing observer.

Equation 1.

Datum (singular) \rightarrow Data (plural) \rightarrow Pattern \rightarrow Info (meaning) recognition

For example, Hartley's description [5,6] of the amount of information (info) in a message of length "L" using an alphabet with "s" symbols is:

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Equation 2.

\[ \text{[Info]} = s^L \]

For a binary bit system where \( s = 2 \), one could calculate:

Equation 3.

\[ \text{[Info]} = 2^L \]

But this approach divorces ‘info’ from ‘meaning’, as it is incapable of encoding the subjective import of stimuli. There is no binary calculus for emotions or ‘value’ or ‘meaning’.

Neural net “Cognitive information” (cog-info)

There have been many attempts to clarify how neurons encode memory. Many focused on an electrodynamic neural code subsumed under the terms "synaptic plasticity", "long-term potentiation" and "action potentials (spikes)" [6-10]. Some attempted to combine electrodynamic processes with chemodynamic coding based on glutamate receptors (AMPARs) as underlying various forms of synaptic plasticity [11]. But these approaches did not entirely succeed in identifying the putative neural code.

It is the peculiarity of the neural system that sensations and memory are not described by mathematical formulae or by binary codes as in computers [4,12-16]. Some have argued that based on a computational model of the hippocampus, "top-down" expectation appear to interact with "bottom-up" input expectations [17].

But without memory, there can be no expectation. Thus, the argument is circular and the physiology of emotive memory remains vague. One could consider that the neuron employs a molecular code with neurotransmitters (NTs) [18,19] to confer "meaning" or "value" to the emotive states elicited by sensations, as summarized in Table 1.

New Dimension

One could consider that the neural net instigates a new dimension of biologic energy, a mental state of psychic proportions, recalled as memory. The transformation of sensation to cognitive information (cog-info) could be formulated as:

Equation 4.

\[ \text{Sensum} \rightarrow \text{Sensation} \rightarrow \text{Emotive quality} \rightarrow \text{Cog-info} \]

NTs

where analogous to Equ.3 of info, one can formulate cog-info as:

Equation 5.

\[ [\text{Cog-info}] = 100^L \]

The emotive content of stimuli is a key difference between the binary info of the computer chip and the "multinary" cog-info of the neuron. In that the messages (perceptions) experienced by neural nets are not discrete but continuous, the term \( L \) used above (equations 3 and 5) is somewhat pointless. But it reveals the complexity of the neural experience. Bottom line.

Equation 6.

\[ \text{[Info]} \neq [\text{Cog-info}] \]

Indeed, trying to rationalize the psychic dimensions of neural experience with text or mathematical algorithms is reminiscent of 2D shapes (circles) trying to comprehend a 3D reality (spheres), as parodied in "Flatland" more than 100 years ago [20]. On a more theologic tack, unwillingness to rationalize mental states as physiologic events may incline homo religiosus to cast mentality in terms of "Spirit" couched in Talmudic dielectics [21-23]. It may also underlie the paradoxical

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**Table 1.** Neurotransmitters (NTs), which elicit both physiologic reactions and psychic states

| Neurotransmitter (NT) | Physiologic reactions * (perceptions, feelings) | Emotive states |
|-----------------------|-----------------------------------------------|----------------|
| Biogenic amines (8)   | Breathing                                      | Anxiety        |
| Amino acids (>10)     | Blinking                                       | Aggression     |
| Neuropeptides (>70)   | Blood pressure                                 | Awareness      |
| Acetylcholine (1)     | Blood coagulation                              | Craving        |
| NO (1)                | Cold (feel)                                    | Curiosity      |
| Endocannabinoids (>10)| Contraction of muscles                         | Depression     |
| (trace metals; >10)   | Coughing                                       | Desire         |
|                      | Cramps                                         | Dread          |
|                      | Crying                                         | Dreams         |
|                      | Defecation                                     | Fantasy        |
|                      | Dilation of muscles                            | Fear           |
|                      | Dilation of pupil                              | Hate           |
|                      | Drooling                                       | Joy            |
|                      | Evacuation                                     | Love           |
|                      | Fever                                          | Paranoia       |
|                      | Goose bumps                                    | Sadness        |
|                      | Heat (feel)                                    | Sex drive      |
|                      | Immune reactions                               | Sociability    |
|                      | Itching                                        |                |
|                      | Pain                                           |                |
|                      | Retching                                       |                |
|                      | Seething                                       |                |
|                      | Shivering                                      |                |
|                      | Smelling                                       |                |
|                      | Thirst (feel)                                  |                |
|                      | Touching                                       |                |
|                      | Vomiting                                       |                |
|                      | * No memory required.                          |                |

* Emotions requiring memory
position of chemically-minded scientists who describe reproduction and life processes as involving transition metal complexes, but who hesitate to ascribe the emergence of mental states to similar biochemical reactions [26-27].

Indubitably, neural processes are emotive with affective, as well as objective, qualities. For example, pain is perceived with an attendant psychic state that is remembered to avoid (See conditioning a la Pavlov, Skinner). To date, no-one has written a mathematical formulation for pain, love, fear, etc. Artificial intelligence (AI) [28-31] provides no codes, formulae or algorithms that simulate an emotive state experienced by a neural creature; for humans, these are expressed in poems, plays, music and prayers. Rather than look to the Information Theory derived from Babbage, Boole, Turing, von Neumann, Shannon, Wiener and their like [29,30], one must employ physiologically relevant descriptions of an evolved, chemo-affinity neural code [31,32] aware that like a Russian doll, mentation by a brain operates at various scales of biological organization (anatomic, cellular, membranal), but with all compartments ultimately operating on a biochemical (molecular) scale.

Like the computer which encodes "info" as physical bits, the neural net too encodes "cog-info" physically dare one say, chemically. Here, we review various coding systems and consider how they might apply to neural net signaling which results in memory.

Synthetic codes and ciphers

The term "code" has been applied in jurisprudence to refer to to laws that define acceptable social behavior (i.e. Napoleonic code). For signaling purposes, other meanings apply i.e:

- A code involves replacing one letter or word or phrase for another.
- A process of instructions for treating binary, octal, or hexadecimal formatted data to be deciphered and executed by a computer, subsequently translated into text [33-35].

Alphanumeric code: employed to relay secret text messages or to encode passwords. Examples are the famous Caesar code, which was based on the rote displacement substitution of letters. More complicated codes have been devised (see the German Enigma machine of WWII, whose code was broken by Marian Rejewski (a Pole) and Alan Turing (an Englishman)). But they are essentially linguistic manipulations (Equation 7) to decipher a cryptic textual code into plain meaning text (Keyence manual) and do not relate to neural signaling.

Equation 7. "Enigma" cryptography process:

text \rightarrow \text{TEXT} \rightarrow \text{text cracked}

plain \rightarrow \text{cryptic} \rightarrow \text{plain}

The morse code: (Figure 1) Originally developed for the telegraph to convert electrical signals to text, as a binary code (dots, dash), is formatted with 5 characters separated by a space (pentad code). The dot-dash-space coding convention permits representations of 36 letters and numbers.

Equation 8.

Morse binary (pentad) coding options = \( b^1 + b^2 + b^3 + b^4 + b^5 = 62 \)

where \( b = 2 \) (dot/dash or binary 0 1).

Any code that employs more than 2 effectors (i.e. dot, dash) can be considered as multiplexed. For example, if one were to employ a multitude of effectors (i.e. \( b = 10 \)) to encode a 5-digit message of Equation 8, one would obtain many text coding options.

Equation 9.

Multinary (pentad) coding options = \( b^1 + b^2 + b^3 + b^4 + b^5 = 111,110 \)

where \( b = 10 \).

Clearly, increasing the number of coding effectors for text messages exponentially increases the encoding options.

Bar code: A liner bar code (1D) contains only about 30 characters to express product information. This bar code is machine readable and widely used for identifying inventory, tickets and luggage. But is "demotive" in that it cannot encode an emotive state (Figure 2).

QR code: In response to the need for greater coding capacity, the 2D QR matrix code was developed, which has up to a hundred times the data capacity, even though it is over ten times smaller [36-38]. The more complex QR codes were initially developed by Japanese industry and later adapted to a large range of uses. For many applications, QR icons (Figure 3), which are read by laser scanners, encode in 4 modes (numeric, alphanumeric, binary and kanji), comprising small squares arranged in a square grid.

Biologic codes

DNA/RNA triplet codon code

Involves a slow read/write process that requires high energy and a complex molecular translation process. The quadro-triplet genetic information of DNA (i.e. triplets of 4 bases) can be transferred or even synthesized in vitro and is not necessarily lost by the host's death (practiced as 'molecular biology' [24]. While it can code for the 64 amino acids for the biosynthesis of proteins or for small sequences to perform as switches in biologic processes, it is limited in that it cannot signify the complex emotive quality of neural memory.

![International Morse Code](image)

Figure 1. Morse code translates dots and dashes into alpha-numeric text
Marx G (2020) Encoding neural memory underlying the synaptic plasticity (SP) that many contemporary states are encoded. Persistence of memory and the coding details, particularly how emotive loops and reversible/irreversible reactions, they remain vague on the signaling pathways may be stored within intracellular biochemical reactions that comprise suggested It has been as summarized in a sampling below: Signaling pathways:

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**Immunologic code**

The recognition of antigens by antibodies and cell sensors of such are the basis of immunologic monitoring of the body. The antigen/antibody system can be considered to "remember" previous insults; there are specialized lymphocytes that remember these [37]. Though it can instigate pain, discomfort and organ rejection, the antigen/antibody code does not translate into mentality or conscious memory.

**Neural Codes/Signals**

By contrast to synthetic codes, neural coding/signaling is not at all linguistic, but "experimental". Thus, for neurons interacting within a circuit, one must expand the meaning of "code" to include "signals" with whole-body reactions associated with mental states (Table 1). Many workers assume that the neural code refers to the timing or magnitude of electrodynamic signals (spikes) [34,38,39].

It may be that attempts to describe emotive states analytically/linguistically are doomed to fail, nalogous to Kurt Godel's demonstration that even some mathematical statements are beyond the reach of logical descriptions [3,36,40,41]. Stoo, emotive states are beyond the reach of logical descriptions. To be applicable to all neural creatures that exhibit the talents of emotions and recall [42], one needs to describe a biochemically credible mechanism and identify relevant signalling effectors.

While most attention has been directed at synaptic electrodynamic signaling between neurons [43-47], non-synaptic chemical signaling cannot be ignored but must be accounted for in any complete description of neural signaling [32,48,49]. Numerous efforts have been expended on trying to conceptualize the code that neurons employ to effect memory, as summarized in a sampling below: Signaling pathways: It has been suggested that "information for learned behavior of biological systems may be stored within intracellular biochemical reactions that comprise signaling pathways." [50].

While they discuss rate and equilibria constants, as well as signaling loops and reversible/irreversible reactions, they remain vague on the persistence of memory and the coding details, particularly how emotive states are encoded.

**Long-term potentiation (LTP)** has been forwarded as a process underlying the synaptic plasticity (SP) that many contemporary neuroscientists believe is a core component in the mechanism of memory. Its roots lie in the work of Cajal and later Hebb (1911 and 1949 respectively) [5,53]. But such analysis is incomplete, requiring further consideration of the meaning of neural morphology and immediate surroundings, the kinetics of morphologic changes related to learning and how they impact on the plasticity of synaptic functionality.

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**Evolving chemical signalling**

Morphogenesis and synaptic connections say little about how neural nets evolved to achieve psychic states. One could consider that neural signaling evolved from bacterial signaling and still uses the same molecules i.e. biomodulators now called neurotransmitters (Table 1). A recent review summarized the difficulties of ascribing cognitive function, such as emotive memory, to electrodynamically connected sets of neurons [52].

**Sugar code**

It has been recognized that poly-sugars, as glycan-based interactions might serve as a coding system which exquisitely regulates basal nerve processes such as axon growth, neuron, differentiation and synaptic signaling [55,56]. Most work focused on biosynthesis, development and morphogenesis, but little on a neural signaling code.

**Sulfation patterns**

Sulfation patterns of heparan sulfate (HS). Some [55] seek to understand how cell type and development are related to specific HS sulfation patterns. For neurons, it seems apparent that the degree and distribution of sulfate groups in GAG could affect transition metal cation binding. But its relevance to neural encoding of memory remain to be explored.

**A quantum physics**

A quantum physics approach has been suggested as having a direct impact on, or being directly responsible for, cognitive function [55-57]. However, quantal effects are "demotive" and it is hard to consider them at a physiologic level. Though both quantum mechanics and cognitive psychology are awash in uncertainties and entanglements, they are not causally related phenomena and do not explain one another [58].

**Mathematics**

The pioneering ideas of mathematical biologists [58-62], notably Alfred Lotka [61]. "A state of consciousness (i.e. memory) can be described either in terms of its "contents" or in terms of the disposition of the molecules etc of the brain, just as a magnetic field might be described either in terms of an intensity chart or the position of a number of magnets."

"Consciousness has evolved... spun into a continuous thread by a faculty of memory".

One cannot really object to these phrases which were written a generation ago. But they are inadequate to a modern neuroscientist looking for a physiologically relevant causal mechanism that results in neural memory rooted in evolved biology.

**Axiology**

Axiology is a direct, multi-faceted description of a structure and function of the human language. It has been suggested that it encapsulates a "G code" which defines how the brain works to generate language [26,60].
Comment

Though language might be considered as a code to remember and to emote, it applies only to humans. Linguistic analysis does not clarify the universal, physiologic mechanism underlying neural memory or reveal its phyto-evolutionary roots. Psycho-linguistic analysis, namely the retrieving, parsing and processing of a patient's discourse to generate an "axiologic" value of a patient's mood state does not clarify how a mood state is encoded or remembered.

Network

The neural electrodynamic signaling code has the form of structured net fragments that are formed by network self-organization i.e. "excitory connections between neurons". This neural network concept raised two important questions:

What comprises the network, whose "structural fragments" are essential for the elicitation of a state of mind?

What is the mechanism through which experience forms memory?

The questions were valid but remained unanswered. Thus, graphic representations of 2-dimensional sheets of dots connected by lines or as tracings of spiking patterns do not satisfy the need for a physiologically relevant accounting of neural signaling which results in mentality. Moreover, these approaches cannot identify the means by which emotive states are encoded or recalled. Similarly, the problem of producing man-made "machine" consciousness and "artificial thought" including memory, was considered as a matter of duplicating all levels of the operational architectonics of brain electromagnetic signaling. Though purported to be "neurophysiological", it focused entirely on the electrodynamic activities of the brain, and ignored all the chemical signaling performed by active neurons. Neither did it address the issue of "emotive states" experienced by biological neural nets.

Population code

In hypothesizing how groups of neurons process sensorial information, some neuroscientists have adopted questionable terms and concepts. For example, neurons do not "compute". They do not process information (info) in binary terms (01). Rather, they "mentate" cognitive information (cog-info) with emotive qualities (discussed below).

With regard to "population coding", a "thesaurus" of a neural population code that serves to encode information by eliminating neural network noise, was suggested and supported by the interactions of neurons with their surrounding extracellular matrix (nECM). Though neurons are not "naked", but enshrouded in a web of glycoaminoglycans which serve as its extracellular matrix, the neuron's "memory material". Incoming perceptions are encoded with trace metals + neurotransmitters (NTs) to form metal-centered cognitive units of information (cuinto). In conjunction with whole body effects, the NTs are considered to be the effectors and signifiers of emotive states achieved by stimulated neural nets. Alternatively phrased, the NTs are the coding "alphabet" of emotive states and the physiologic reactions are the "syntax". Table 1 summarizes the entangled physiologic and psychic effects of NTs.

The chemographic notation in Figure 5 encapsulates the general coding features of the tripartite mechanism. It remains cryptic in that it does not specify how specific NTs elicit various emotions. But it identifies the salient components of neural signaling system that entangles psychic states with physiologic reactions.

Thus, the tripartite mechanism, which describes a chemical "code" for psychic states, is not accessible to synthetic devices or simulations. The cuinfo are the molecular correlates of a memory, units (also referred to as engrams) that render the synaptic connections operative. Neural signaling is based on molecular interactions that are instigated by neurons interacting with their surrounding nECM. Essentially, the entire "edifice" of memory rests on the proper functioning of a chemically-signalized process involving metal-centered complexes within the nECM, the neuron's "memory material".
Discussion

The metaphor of the computer for the brain is flawed. Artificial intelligence researchers have periodically considered adding emotions to computers and robots so as to prioritize and direct their processing [27,29]. But faced with inability to describe emotive cognitive information with a binary code or a mathematical algorithm, this approach has come to naught [41]. According to the laws of quantum mechanics, information may never be destroyed. But the cognitive information of most neural creatures disappears when they die. It is not inherited or genetically transmitted to their offspring via the DNA “code”. The special case of humans relates to the inheritance of some cognitive information in the form of literature, poems, music, paintings and media (movies, YouTube etc.). Some have suggested that the capability to integrate information is the basis for neural consciousness [74,75]. We point out that the “sense” or “meaning” of computer data is determined by the programmer who establishes the way in which data is organized into valued information.

Q: How is a pattern recognized as information? Who does the valuation?

Another aspect that needs to be considered is that decrypting the “neural code” (if it can be so called) is not like decrypting linguistic codes/ciphers (i.e. monoalphabetic substitution cipher, or the homophonic substitution cipher, or the polyalphabetic cipher, or the Vigenere cipher, or the Enigma code [33]. All these essentially translate known text into hidden text, or vice versa. However, the neural signaling code is not linguistic but physiologic and biochemical. It relates to signals which elicit (emotive) mental states of being, for which there are no words or textual equivalents, only manifest as other dimensions of experience.

The cuinfo (Figure 5) are the “chemo-affinity” inscriptions of perceptions. Even so, identifying the chemical “script” does not mean that one has “cracked” the “neural code”. That is, even graphic representations cannot penetrate subjective, psychic experience. Like the physicists’ inability to approach a black hole closer than its “event horizon” [76,77], the neuroscientist may not be able to render a rational account of the mental states achieved by neural nets, which are beyond words, numbers and graphs, but not of drugs (i.e. Prozac, cocaine, etc).

Consider the meaning of “meaning”. From where does “meaning” of a computer message derive? In that the bit information message is inherently “demotive”, it cannot convey an emotional state or valuation. Rather, the value of a message arises only in the brain of the recipient neural creature, whose very existence depends on its ability to render judgment as to message “worth” or “meaning”, ultimately based on emotive valuation.

Conclusion

The gap between “information” and “knowledge” is wide. The former lies in the realm of computers and mathematics; the latter can only be achieved by neural creatures driven to make value judgements to survive the “experiential phase” of life and to reproduce. But both activate a recall function called “memory”, considered to be “the intersection of mind and matter” [78]. But details are lacking and open to philosophic conjectures i.e. as by Bergson or Husserl, in [80.81]). It has been posited that “information” recognized by “mind” is necessarily physical, as a pit, hole, spin, dopant etc. Analogously, we propose that “cognitive information” can be chemographically represented chemically, as in Figure 5.

Mind from matter

Hopefully, we avoid Ryle’s “category error” (a logical fallacy that occurs when one makes an argument against Mind/Body dualism [80-82] by assigning a physical (chemical) process to an immaterial talent, namely neural memory. The neuro-chemical code we propose has many credible features lacking in others, namely:

a. Provides a multi-code (n>100) distinct from the binary code (n=2) of the computer.

b. Identifies a means for encoding emotive states with NTs.

c. Employs NTs to entangle physiologic reactions with psychic states.
The emergence of memory and the evolution of neural systems with higher cognitive talents from simpler cells can be considered as a phase transition, with the emergence of mental talents related to the number of neurons in the brain [83-86], as schematically represented in Figure 6.

**Heuristic implications of the tripartite mechanism**

Where do we go from here? It has been pointed out that the value of a new scientific thesis is that it suggests new experimental approaches to the subject under consideration. For us, the subject is the mental talent of neural memory, for which we have proposed a tripartite mechanism. Thus, we have undertaken to experimentally test some aspects of this mechanism by evaluating the effects of coating impedance electrodes with components suggested by the tripartite mechanism, namely neurotransmitters (NTs) and glycosaminoglycan (GAG) analogues of the ECM components that surround neurons.

Results with oxytocin-coated electrodes were positive for detecting low levels of trace metals, as recently reported (Figure 7) [85]. Electrodes were also coated with sulfated tetra-saccharide analogues [87,88] of hyaluronate, exhibited unique sensitivity to different trace metal cations [86].

The brain performs much better than a computer in many respects. It may be slower at arithmetic processing but it comprehends existential circumstances far beyond the ken of the computer. The lack of emotive coding effectors prevents the computer from encoding emotive algorithms. It can only encode with 0 or 1, with no emotive significance. Recognizing a face or a voice does not make the computer affective [30]. It may have been programmed to identify emotive states in man, but that is not equivalent to an emotive experience. It is apparent that neural sensibility which is inherently emotive, addresses a dimension not accessible to computers. Possibly, such a possibility will expand the computer horizons beyond the limitations of Moore’s Law [87]. Such experimental techniques go a long way to buttress the tripartite concept and lend credibility to this biochemical approach to a heretofore unapproachable mental process [86-88].

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**Conflict of interest**

GM is a founder of MX Biotech Ltd., with the commercial goal to develop new “memory materials” and devices. CG is emeritus professor at the Institute of Chemistry, The Hebrew University of Jerusalem. He is active in developing technologies for the conversion of peptides and active regions of proteins into orally available drug leads is active in developing and patenting peptide-based tools for surgery and pharmacology. Notwithstanding, the ideas forwarded here are scientifically genuine and presented in good faith, without commercial clouding of the concepts expressed here.

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