MAPPING DIFFERENTIAL REDDENING IN THE INNER GALACTIC GLOBULAR CLUSTER SYSTEM*

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ABSTRACT

A serious limitation in the study of many globular clusters—especially those located near the Galactic center—has been the existence of large and differential extinction by foreground dust. In a series of papers, we intend to map the differential extinction and remove its effects, using a new dereddening technique, in a sample of clusters in the direction of the inner Galaxy, observed using the Magellan 6.5 m telescope and the Hubble Space Telescope. These observations and their analysis will let us produce high-quality color–magnitude diagrams of these poorly studied clusters that will allow us to determine these clusters’ relative ages, distances, and chemistry and to address important questions about the formation and the evolution of the inner Galaxy. We also intend to use the maps of the differential extinction to sample and characterize the interstellar medium along the numerous low-latitude lines of sight where the clusters in our sample lie. In this first paper, we describe in detail our dereddening method along with the powerful statistics tools that allow us to apply it, and we show the kind of results that we can expect, applying the method to M62, one of the clusters in our sample. The width of the main sequence and lower red giant branch narrows by a factor of two after applying our dereddening technique, which will significantly help to constrain the age, distance, and metallicity of the cluster.

Keywords: dust, extinction – Galaxy: bulge – globular clusters: general – globular clusters: individual (NGC 6266, M62) – methods: statistical

Online-only material: color figures

1. INTRODUCTION

The age, chemical, and kinematic distributions of Galactic stellar populations provide powerful constraints on models of the formation and evolution of the Milky Way. The Galactic globular clusters (GGCs) constitute an especially useful case because the stars within individual clusters are coeval and spatially distinct, but uncertainties in the determination of distances to GGCs, along with uncertainties in the determination of their physical characteristics such as age or metallicity, can result when the reddening produced by interstellar dust is not properly taken into account (Calamida et al. 2005). In this context, differential reddening across the field of the cluster has proven to be difficult to map, and the properties of GGCs that suffer high and patchy extinction, especially those in the direction of the inner Galaxy, have not been accurately measured (Valenti et al. 2007).

Several authors have used different methods to try to map differential extinction in GGCs before: Piersimoni et al. (2002) have used colors of variable RR Lyrae stars to create the reddening maps; Melbourne & Guhathakurta (2004) and Heitsch & Richtler (1999) have used photometric studies of the stars in the horizontal branch (HB); von Braun & Mateo (2001) and Piotto et al. (1999b) have used photometric studies of main sequence (MS), subgiant branch (SGB), and red giant branch (RGB) stars.

In this paper, we describe a new dereddening technique, based on this last approach, but with the improvement of calculating the extinction not on a predetermined grid but on a star by star basis. In Section 2, we extensively explain this dereddening method and in Section 3 we apply it to an example cluster, M62. In subsequent papers in this series, we plan to apply this dereddening method to a sample of clusters in the direction of the inner Galaxy that in principle can be affected by this effect, and analyze the obtained results. In Paper II, we will present a new photometric database consisting of a sample of 25 inner GGCs observed using the Magellan 6.5 m telescope and the Hubble Space Telescope (HST), describe the processes we follow to obtain an accurate astrometric and photometric calibration of the stars in these clusters, and, after applying the dereddening technique, give the extinction maps along the field of the clusters in the sample, along with new cleaner, differentially dereddened color–magnitude diagrams (CMD) of these GGCs. In Paper III, we will provide an analysis of the stellar populations of these clusters based on their dereddened CMDs. Finally, in Paper IV, we will characterize the interstellar medium along the low-latitude lines of sight where the clusters lie, based on the properties of the extinction maps we derived.

2. DEREDDENING TECHNIQUE

Our dereddening technique is composed of five iterative steps (see Figure 1).

1. We assign a probability to the stars in our observed regions to belong to the cluster or to the Galactic field depending on their positions in the sky and in the CMD (see Section 2.1).
2. We build a ridgeline for the stellar population of the observed cluster. The ridgeline represents the evolutionary...
path that a given star is going to follow in the CMD (see Section 2.2).

3. We assign an individual extinction value to every star, based on its displacement from the ridgeline along the reddening vector (see Section 2.3).

4. We smooth the different individual color excesses over the observed field to generate an extinction map (see Section 2.4).

5. We apply the extinction values from the map to the stars in our observations to construct a dereddened CMD (see Section 2.5).

We repeat these steps iteratively. In every iteration the ridgeline is more accurately defined, and therefore the extinction map and the dereddened CMD are also more precise. We end up the process when there is a convergence in the calculated ridgeline.

This technique is based on work by von Braun & Mateo (2001) and Piotto et al. (1999b), and pioneered by Kaluzny & Krzeminski (1993), but unlike them, we do not divide the field in a grid of well-established subregions a priori, but use a non-parametric approximation to smooth the information about the reddening in the field provided by every star, without such hard edges.

The success of this analysis depends critically on the assumption that the stellar populations are uniform within individual GCs. Recent studies tend to suggest that this is not strictly true, especially in the most massive GCs and GCs with an extreme blue horizontal branch (EBHB; Bedin et al. 2004; Piotto et al. 2007). In the cases discovered, it is conjectured that the spread in helium content between stars in the cluster is important, but the spread in age and metallicity seems to be small (D’Antona & Caloi 2008), making the spread of the stars in the SGB and upper RGB regions of the CMD also small. Since most of the information in our method comes from stars in these regions of the CMDs, our technique should not be significantly affected. What is more, in our method, variations in the reddening must be spatially related, which should not be the case if there are multiple helium-enriched populations within the cluster. Because of these reasons and since our sample only includes a few massive and EBHB clusters, we expect the differential population effects to be comparatively minor and to not significantly affect our dereddening approach.

Also it is important to realize that our dereddening method does not establish the absolute extinction toward a target cluster, so to estimate the absolute extinction in each case we need to use other methods, e.g., comparison of our differentially dereddened CMDs with isochrone models, or use of reddening estimates from RR Lyr stars in our fields.

### 2.1. Field-cluster Probability Assignment

From our photometric studies we can assign to the stars in our observations probabilities that they belong to the clusters, based on their position in the sky with respect to the center of the cluster, and also on their color and magnitude position on the CMD. These probabilities will be used in the next steps of our technique to give a higher weight to the information provided by stars with high probabilities of being cluster members.

Before going any further, it is convenient to explain the notation that we are going to use, and to remember the basic rules of probability calculation. The marginal or unconditional probability of an event A happening is expressed as $P(A)$. The joint probability of an event $A$ and an event $B$ happening at the same time is expressed as $P(A, B)$. Finally, the conditional probability of an event $A$ happening given the occurrence of some other event $B$ is expressed as $P(A|B)$ and can be written as

$$P(A|B) = \frac{P(A, B)}{P(B)}$$  \hspace{1cm} (1)

or, using the Bayes theorem, as

$$P(A|B) = \frac{P(B|A)P(A)}{P(B)}.$$  \hspace{1cm} (2)

Also, note that in many parts of our analysis it is going to be more convenient to use probability density functions, $\rho(x)$, to express the probability. These functions describe the relative density of some other event $B$ happening given the occurrence $P(X = 1)$. The probability density function is defined as

$$P(x) = \frac{dx}{\rho(x)}.$$  \hspace{1cm} (3)

For all $x: \rho(x) \geq 0$  \hspace{1cm} (4)

$$\int_{\Omega} \rho(x)dx = 1.$$  \hspace{1cm} (5)

Whenever needed in this work, the probability density functions are calculated non-parametrically using locfit. **Locfit** (Loader 1999) is a local likelihood estimation software implemented in the R statistical programming language (see the Appendix). **Locfit** does not constrain the probability density functions globally, i.e., it is non-parametric, but assumes that in a local window around a certain point in the sample space, the functions can be well approximated by a polynomial. Hence, the main input that **locfit** requires are the positions of the observed elements in the sample space, and a parameter to define the local window where the function is approximated parametrically, i.e., a smoothing factor given by the maximum of two elements: a bandwidth generated by a nearest neighbor fraction, and a constant bandwidth.

Now, if we suppose the variable $X$ indicates membership in the cluster ($X = 1$ indicates observation of a member, and $X = 0$ indicates observation of a non-member), in the next paragraphs we calculate $P(X = 1|r, c, m)$, the conditional probability of the stars being members of the cluster, given their position in the sky and in the CMD. Actually, it is more convenient for us to first calculate $P(X = 1|r)$ and $P(X = 1|c, m)$, the conditional probabilities of the stars belonging to the cluster given just their position in the sky and just their position in the CMD, and only after doing this do we attempt to calculate $P(X = 1|r, c, m)$.

The conditional probability of the stars being members of the cluster, given just their position in the sky as a function of the distance to the center of the cluster, $P(X = 1|r)$, can be written, using the Bayes theorem, as

$$P(X = 1|r) = \frac{\rho(r|X = 1)P(X = 1)}{\rho(r)}.$$  \hspace{1cm} (6)

For any given cluster, both $\rho(r|X = 1)$ and $P(X = 1)$ are unknown, but since $P(X = 1)$ is the ratio of the observed cluster stars to the total observed stars, we can rewrite Equation (6) as

$$P(X = 1|r) = \frac{s_{\text{mem}}(r)}{s_{1}(r)},$$  \hspace{1cm} (7)
where \( s_{\text{mem}}(r) \) is the number of observed member stars as a function of the distance to the cluster center, and \( s_{i}(r) \) is the total number of observed stars as a function of the distance to the cluster center. We still do not know \( s_{\text{mem}}(r) \), but if we divide both numerator and denominator in Equation (7) by \( A(r) \), the area coverage at a given \( r \) of the field of view (FOV) in our observation of the cluster, we can rewrite Equation (7) as

\[
P(\mathbf{X} = 1 | r) = \frac{f_{\text{mem}}(r)}{f_{i}(r)},
\]

where \( f_{\text{mem}}(r) \) is the surface density of the observed member stars as a function of the distance to the cluster center, and \( f_i(r) \) is the total surface density of the observed stars as a function of the distance to the cluster center. Now, if we assume an empirical King profile (King 1962) for the cluster, we can write the surface density distribution of member stars as

\[
f_{\text{mem}}(r) = kK(r) = k \left[ \frac{1}{(1 + \frac{r}{r_c})^{3/2}} - \frac{1}{(1 + \frac{r}{r_t})^{3/2}} \right] \text{ if } r \leq r_t
\]

\[
f_{\text{mem}}(r) = 0 \text{ if } r > r_t
\]

and we have the following functional form for the total surface density distribution of stars observed in our FOV:

\[
f_i(r) = kK(r) + c
\]

and, according to Equation (8), we can write the conditional probability of the stars being members of the cluster, given their position in the sky, as

\[
P(\mathbf{X} = 1 | r) = \frac{kK(r)}{kK(r) + c}
\]

or, alternatively,

\[
P(\mathbf{X} = 1 | r) = 1 - P(\mathbf{X} = 0 | r) = 1 - \frac{c}{kK(r) + c}.
\]

Therefore, to calculate \( P(\mathbf{X} = 1 | r) \) we need to find the coefficients \( k \) and \( c \), since \( K(r) \) can be obtained taking the values for \( r_c \) and \( r_t \) for every cluster in our sample provided in the Harris catalog (Harris 1996). These two remaining coefficients, \( k \) and \( c \), can be easily found by a least-squares fit of \( K(r) \) to the observed surface density of stars \( f_i(r) \) (see Equation (11), Figure 2, and Table 1). To find the observed \( f_i(r) \), we need to first calculate the number of stars \( s_i(r) \) and then divide it by the area coverage \( A(r) \). As we mentioned when passing from Equation (6) to Equation (7), the number of stars \( s_i(r) \) is just the probability density \( \rho(r) \) of stars in our observation, multiplied by the total number of observed stars. We calculated the probability density \( \rho(r) \) of stars by feeding \textit{loclip} with the radial positions of the stars and a smoothing factor with a constant bandwidth of 0.25 arcmin. The surface coverage \( A(r) \) in our observation is not trivial to find, since our FOV is a square not centered in the cluster center. In order to quickly calculate this area, we create a grid of points equally spaced over our FOV, calculate the probability density \( \rho(r) \) of points, and then multiply by the area of the FOV. We calculated the probability density \( \rho(r) \) of points by feeding \textit{loclip} with the radial positions of the points in the grid and a smoothing factor with a constant bandwidth of 0.25 arcmin.

The conditional probability of the stars being members of the cluster, given \textit{just} their position in the CMD, \( P(\mathbf{X} = 1 | c, m) \), can be written as, using the Bayes theorem,

\[
P(\mathbf{X} = 1 | c, m) = \frac{\rho(c, m)P(\mathbf{X} = 1)}{\rho(c, m)}.
\]

For any given cluster, we do not know the true distribution in magnitude and color in our observations of genuine GC.
members, but we can model the distribution of the field, non-member stars. We use the model of the Galaxy described in Robin et al. (2003), from now on referred as the "Besançon model," to obtain $\rho(c, m|X = 0)$. We can now rewrite Equation (14) as

$$P(X = 1|c, m) = 1 - P(X = 0|c, m) = 1 - \frac{\rho(c, m|X = 0)P(X = 0)}{\rho(c, m)}, \quad (15)$$

where $\rho(c, m|X = 0)$ is obtained by feeding locfit with the position in the CMD of the Galactic field stars provided by the Besançon model\(^6\) and a smoothing factor with a nearest neighbor ratio of 0.01, $\rho(c, m)$ is obtained by feeding locfit with the position in the CMD of the stars in our observations and a smoothing factor with a nearest neighbor ratio of 0.01, and $P(X = 0)$ is just the ratio of the total number of modeled non-member stars for an area equal to the FOV of the observation, to the total number of observed stars, which include field and cluster stars. To account for the different ranges in color and magnitude for the stars in the CMD, a scale (see the Appendix) of 1 in color to 5 in magnitude is also provided to locfit as an input parameter to calculate $\rho(c, m|X = 0)$ and $\rho(c, m)$. In Figure 3, we can see the different $P(X = 1|c, m)$ for the stars in M62, one of the clusters in our sample. Similar methods to calculate $P(X = 1|c, m)$ have been employed by Hughes et al. (2007) based on calculations by Hughes & Wallerstein (2000) and Mighell et al. (1998), and also by Law et al. (2003) based on calculations by Odenkirchen et al. (2001) and Grillmair et al. (1995). In contrast to these other studies, we do not eliminate stars from our analysis based on these probabilities, but downweight them. Also, as we show at the end of this section, we do not just use $P(X = 1|c, m)$, but $P(X = 1|r, c, m)$ in our following analysis.

Given the importance of the Besançon model (and the CMDs it provides) in analyzing the Galactic field component of our observations and calculating $\rho(c, m|X = 0)$, we describe here in more detail the input parameters that we use in the web interface of the Besançon group to model the non-member stellar populations in the vicinity of the sampled clusters.

1. Field of view. We use the small field option from the Web interface, in which the modeled stars are all supposed to be at the same coordinates (see Table 2), implicitly assuming that the field star density gradient across the FOV is negligible. The same assumption was made in the calculation of $f_{\text{norm}}(r)$ (see Equation (10)). To obtain higher statistical significance in the models, we can increase the selection area to obtain a larger number of stars in the model. $\rho(c, m|X = 0)$ is independent of the area and the number of stars used, since it is normalized (see Equation (5)). In practice, we set this parameter to select $\sim 300,000$ stars (see Table 2). We have to note though that when calculating $P(X = 0)$ in Equation (15), we need to normalize the number of stars in the model to the FOV.

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\(^6\) These CMDs can be easily obtained via the Web interface provided at http://model.obs-besancon.fr/.

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### Table 1

Summary of the Parameters Used to Obtain and Fit the King Models for M62

| Parameter | Value |
|-----------|-------|
| Core radius (according to most updated Harris catalog) | 0.18 arcmin |
| Tidal radius (according to most updated Harris catalog) | 8.97 arcmin |
| $k$ constant from the King profile (see Equation (11)) | 12264.7 stars arcmin$^{-2}$ |
| Surface density of non-member field stars from the fit (see Equation (11)) | 226.4 stars arcmin$^{-2}$ |
| Surface density of non-member field stars according to the Besançon model | 216.6 stars arcmin$^{-2}$ |

### Table 2

Summary of the Parameters used to Obtain the Besançon Model for the Non-cluster Stars in the M62 Region

| Parameter | Value |
|-----------|-------|
| FOV | Small field |
| Field | $l = 353.57; b = 7.32$ |
| Solid angle | 0.190 deg$^2$ |
| Extinction law | Diffuse extinction: 0.0 mag kpc$^{-1}$ |
| Discrete clouds | $A_v = 1.36$; distance = 0 pc |
| Selection on intervals of magnitude | $15.75 \leq B \leq 25.14$ |
| | $15.56 \leq V \leq 23.71$ |
| | $14.75 \leq I \leq 22.44$ |
| Photometric errors | Error function: exponential |
| Band = B; A = 0.006, B = 22.68, C = 0.866 |
| Band = V; A = 0.005, B = 23.22, C = 0.899 |
| Band = I; A = 0.009, B = 30.12, C = 1.238 |

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Figure 3. On the left, CMD from the Besançon model of the Galactic stars in the field centered in the M62 cluster position (see Table 2 for the parameters used to build the model). On the right, our observed CMD, with the cluster member probabilities as a function of color and magnitude, $P(X = 1|c, m)$, represented by the different colors of the stars as indicated in the color bar. Note that we restrict our analysis to stars brighter than the completeness limit shown in Table 3. (A color version of this figure is available in the online journal.)
2. Extinction law. We apply locfit to map $\rho(c, m)$ as a function of $c$ and $m$ using the CMDs from our observations. From the modeled stars, we can also use locfit to map $\rho(c, m | X = 0)$ as a function of $c$ and $m$, realizing that this map changes depending on what extinction $A_V$ we assumed for our modeled data. So if we define $g_{\text{ext}}$ as
\[
g_{\text{ext}} = \sum_{c,m} [\rho(c, m) \rho(c, m | X = 0)], \tag{16}
\]
we need to realize that this function also depends on the extinction assumed for the modeled CMDs, i.e., $g_{\text{ext}}(A_V)$. For the fields studied, we choose the modeled stars to simulate no interstellar extinction in the beginning. Then we move the density maps of the models along the reddening vector in increments of extinction $\Delta A_V = 0.01$, calculating $g_{\text{ext}}$ for every increment. The value of $A_V$, where $g_{\text{ext}}$ is a maximum, is the value we choose for the absolute extinction of our observation. This $A_V$ is generally equal to or within a few hundredths of a magnitude of the one provided in Harris (1996). The extinction is then simulated in the model as a cloud with an extinction equal to this $A_V$ at a distance of 0 pc (see Table 2).

3. Characteristics of the stars used in the model. We allow all ages, Galactic components, and spectral types provided by the Besançon model. We provide a limit only in the intervals of magnitudes, equal to those in our observations (Table 2). We also model our observational errors $\sigma_{\text{ph}}$ with an exponential function of the apparent magnitude $m$ in each band:
\[
\sigma_{\text{ph}} = A + \exp(Cm - B), \tag{17}
\]
so the models will take the photometric error into account providing a more realistic approximation (see Table 2).

Certain problems arise in this procedure of calculating the conditional probabilities $P(X = 1 | r)$ and $P(X = 1 | c, m)$ including the following.

1. We have to take into account the completeness factor of our photometric observations. In general, completeness decreases with the magnitude somewhat smoothly at the faint end, but suddenly at the saturation limit. Because of this, and since we are trying to calculate the probabilities comparing our observations with models (King profile and Besançon model), we need to find where the completeness factor starts to decrease at the faint end. Usually, this is done with artificial star tests by injecting stars in the CMD and analyzing the amount and magnitudes of the ones recovered (Piotto et al. 1999a), but our large sample and large cluster star density gradients within individual fields make artificial star tests highly inefficient, so we explore another method that is well suited to our specific fields, and also much more efficient to implement. From the Besançon model we can calculate the number of non-member stars per area in the field that we have in a given magnitude range, and from our data we can also find the number of non-member stars per area in the field in a given magnitude range just by fitting Equation (11) in that magnitude range. Thus, we iteratively calculate both numbers making the magnitude range smaller, taking away stars in the 0.1 mag fainter end every time we perform the calculation, repeating the process until we are able to get the cumulative luminosity functions (LFs) for the seven fainter magnitudes for the non-member stars from every one of our pointings. Then we can compare the modeled and observed LFs to see where the functions deviate one from the other. Unfortunately this approximation does not prove to be very accurate, especially in cases in which the number of non-member stars in the field is small. Instead we explore yet another approximation. We note that the LF in the Besançon model is always a concave function, while the observed LF of the non-member stars in the field becomes a convex function at the faint end. We can then identify the limit where the completeness factor starts to decrease as the inflection point where the observational cumulative LF changes from concave to convex. The inflection point is the point where the derivative of the LF has an extreme, which is a minimum in our cases. The derivative is easily found using locfit to non-parametrically calculate the LF and its derivative (see the Appendix). The completeness limit is usually located in our observations at ~2 mag from the faint end in $V$ (see Table 3). In the next steps of our dereddening method, we only use stars brighter than this limit.

2. The completeness factor also depends on the distance to the center of the cluster, strongly so for the more crowded cases. Close to the cluster center we are not only missing stars at the faint end of the magnitude distribution, but at all magnitudes, since the lower completeness factor in this region is mostly caused by masking saturated regions by DoPHOT (Schechter et al. 1993), the program used to perform the photometric analysis of the images. From the calculation of the $P(X = 1 | r)$, we can see that this reduced completeness factor reveals itself as a deviation from the model fit shown in Equation (11) at distances close to the center of the cluster (see Figure 2). If we assume that we are missing an equal percentage of stars from the cluster and from the field, we can calculate its effect as the ratio between the observed and modeled $f_i(r)$. In the CMD, this lower completeness factor can lead to a miscalculation of $P(X = 1 | c, m)$ if the FOV is small. However, since the area of the regions whose extinction we are able to map are much bigger than the areas where the reduced completeness factor is an issue, the comparison of our observations with the Besançon model is not significantly affected. The only appreciable consequence is that the calculated $P(X = 0)$ is a little higher than the actual $P(X = 0)$, but this high value for $P(X = 0)$ can be corrected if, when we calculate the number of stars, we take into account the deviation of the observation from the model fit as a function of the distance to the center of the cluster, as we mentioned before.

3. $P(X = 1 | r)$ is obtained using the values for the core and tidal radii provided in the Harris catalog (Harris 1996) for every cluster in our sample. Note that these values are not all of equal accuracy. Small variations in the initially adopted $r_c$ and $r_t$ could produce small changes in the individual $P(X = 1 | r)$ values, but they will not alter the general trend (stars closer to the center of the cluster have higher $P(x = 1 | r)$ than stars located further away), and therefore they will not significantly affect the result of our dereddening technique.

4. $P(X = 1 | c, m)$ is obtained comparing a real observation with a model, where photometric errors are incorporated by mimicking the real photometric errors by the exponential function described above. In general, we observe that stars from the models tend to be a little more concentrated along the different field evolutionary sequences in the CMDs than stars from real observations. Therefore, for a given
Figure 4. Our observed dereddened CMD at four different distances from M62 the cluster center, with the probability of every star to belong to the cluster as a function of position in the sky, color, and magnitude, $P(X = 1|r, c, m)$, represented by the different colors of the stars as indicated in the color bar. Note that we restrict our analysis to stars brighter than the completeness limit shown in Table 3.

(A color version of this figure is available in the online journal.)

Now we are in a position to calculate $P(X = 1|r, c, m)$, the conditional probability of the stars being members of the cluster given both their position in the sky and in the CMD. According to the Bayes theorem,

$$P(X = 1|r, c, m) = 1 - \frac{\rho(r, c, m|X = 0)\rho(c, m|X = 0)P(X = 0)}{\rho(r, c, m)}$$

(18)

and since the positions in the sky and in the CMD for non-member stars are independent\(^7\)

$$P(X = 1|r, c, m) = 1 - \frac{\rho(r|X = 0)\rho(c, m|X = 0)P(X = 0)}{\rho(r, c, m)}$$

(19)

and using the Bayes theorem again (see Equation (6)) we can write

$$P(X = 1|r, c, m) = 1 - \frac{\rho(c, m|X = 0)\rho(r)P(X = 0|r)}{\rho(r, c, m)}$$

(20)

$P(X = 0|r)$, $\rho(r)$, and $\rho(c, m|X = 0)$, the three elements in the numerator, have been calculated previously, so now we just need to find the denominator $\rho(r, c, m)$, the probability density of stars in our observation as a function of both position in the sky and in the CMD. To calculate it we need to feed \textit{locfit} with the position in the sky and in the CMD of the stars in our observations and a smoothing factor with a nearest neighbor ratio of 0.1. Since the units of the three physical magnitudes $r, c, m$ are different, we need to feed \textit{locfit} with a scale factor (see the Appendix) to calculate the distance between neighbors in the $r, c, m$ space. Experimentally, we found that a scale of 1 mag in color to 5 mag in brightness and 10 arcmin in distance to the GC center provides good results, and it is the one we used as an input parameter of \textit{locfit} to calculate $\rho(r, c, m)$. The results of the $P(X = 1|r, c, m)$ calculation are shown in Figure 4. We can observe that the

\(^7\) Note that in the denominator $\rho(r, c, m)$, the variables are not independent, i.e., the position in the CMD for a star in our observation is related to its spatial position in the sky, due to the mixture of cluster and field populations. Hence, $\rho(r, c, m) \neq \rho(r)\rho(c, m)$. 

magnitude, $\rho(c, m|X = 0)$ peaks higher and decreases faster in the model than in the real observation, producing two main errors in our calculations of $P(X = 1|c, m)$. The first error is that we mistakenly assign a probability of being in the field which is too low, and therefore a probability of being cluster members which is too high, to stars in the regions where the observed color is an extreme for a given magnitude (see Figure 3). Since there are not many stars in these regions and they usually have higher photometric errors in color than our functions describe, they are downweighted or removed from our calculations later on (see Section 2.4). More important is the second error, the case where $\rho(c, m|X = 0)$ peaks higher in the model than in reality, making the probability of the star being a non-member in the field too high, and $P(X = 1|c, m)$ too low, lower than 0. In order to avoid these non-physical cases, and even too extreme cases that can give too low a weight value to the information coming from those stars, we set the hard limit $P(X = 1|c, m) \geq 0.1$ (see Figure 3).
Figure 5. CMD of the example GC, M62, with the ridgeline provided after the first (upper left), second (upper right), and third (lower left) iterations of our method. Warmer (redder) colors mean higher density of stars in a region of the CMD. On the lower right, the HB ridgeline is also shown along the different parts of the CMD morphology: main sequence (MS), turnoff point (TO), subgiant branch (SGB), red giant branch (RGB), and horizontal branch (HB).

(A color version of this figure is available in the online journal.)

same problems that were previously mentioned as affecting the calculation of $P(X = 1|r)$ and $P(X = 1|c, m)$ are present here, but for most of the stars observed, this formalism allows a more precise way to discriminate between field and cluster stars than looking to just $P(X = 1|r)$ or just $P(X = 1|c, m)$.

2.2. Building the Ridgeline

Stars that are still alive in globular clusters evolve along the CMD following a well-determined path. They spend most of their lives in the MS burning hydrogen in their core. They leave the MS at the turnoff point (TO) growing in size and burning hydrogen in a shell as they move across the SGB and the RGB. Then they move to the HB where they burn helium in the core and hydrogen in a shell. They leave the HB moving across the asymptotic giant branch (AGB) burning helium and hydrogen in a shell, then moving rapidly across the post-AGB phases to finish their lives as white dwarfs. The path of this standard evolutionary sequence in the CMD of a globular cluster is shown in Figure 5.

We try to model the first stages (MS, SGB, and RGB) of the evolutionary path followed by the stars in a GC building, the ridgeline for the CMDs of the GGCs, using locfit\textsuperscript{8} to construct a univariate non-parametric regression of the color of the stars as a function of the magnitude. This process is composed of three iterations.

In the first iteration, we carry out a non-parametric regression in the whole CMD to obtain a first estimation of the cluster ridgeline as a function of magnitude. We feed locfit with the magnitudes and colors of the stars and a smoothing parameter with a constant bandwidth of 0.2 mag. Also, we give locfit preliminary weights for the stars

$$W = P(X = 1|r, c, m)w_{ph},$$

where $P(X = 1|r, c, m)$ is the membership probability calculated in the previous subsection and $w_{ph}$ is a photometric weight equal to the inverse of the square of the Poisson error of the photometric magnitude $w_{ph} = 1/\sigma^2_V$. These preliminary weights $W$ are calculated to favor the magnitude and color information provided by stars with more accurate photometry and a higher probability of being cluster members according to both their position in the sky and in the CMD. The smoothing parameter values used have proven experimentally to be adequate for describing the MS and part of the RGB, but the regression fails to describe the SGB, and the stars in the HB create problems when trying to model the whole RGB. Still, they produce a reasonable first approximation (see the upper left plot in Figure 5) and let us identify the TO point, which we define as the bluest point of our ridgeline. We should note here that the MS is defined not to the faintest magnitude limit of the data, but to the completeness limit defined in Section 2.1.

In the second iteration, we try to get a less noisy whole ridgeline, along with a better defined RGB ridgeline. As a first step, we divide the initial ridgeline in three regions: the MS region, where the ridgeline magnitudes are $m > m_{TO}$, the SGB region, where the ridgeline magnitudes are $m_{TO} - 1 < m \leq m_{TO}$, and the RGB region, where the ridgeline magnitudes are $m < m_{TO} - 1$. To smooth out the approximation for the

\textsuperscript{8} Locfit also calculates regressions non-parametrically when it is fed with the positions of two sets of related observations (see the Appendix).
The thickness of the HB, difference between these two points provides information about the slope of the RGB. The bluest point brighter than the point locate first where the HB is. We look for a change in the sign of the calculated RGB ridgeline. To eliminate its effect we have to locate first where the HB is. We look for a change in the sign of the slope of the RGB. The bluest point brighter than the point where the slope sign change is shows where the HB is and the difference between these two points provides information about the thickness of the HB, \( m_{\text{HB thickness}} \). Once the HB is located, we repeat the analysis done before for the RGB, with the same smoothing parameter and weights, but now omitting points in the interval \( m_{\text{HB thickness}} \leq m \leq m_{\text{HB thickness}} + m_{\text{HB thickness}} \). That way we have a better ridgeline for the upper and lower regions of the RGB. To get a ridgeline for the whole RGB, smoothing any noisy part, we follow a similar process to what we do in the beginning of this second iteration. We take the points in the description of the upper and lower RGB ridgeline, and use locfit again, but now with a nearest neighbor ratio of 0.7, no preliminary weights or constant bandwidth requirements. The ridgeline is much better now, but still we are a little off in the description of the SGB (see the upper right plot in Figure 5).

In the final iteration, we try to calculate a better ridgeline for the SGB. In order to do that, we follow Marín-Franch et al. (2009), where they use rotated histograms to recalculate the ridgeline for the GC in their sample, using only stars perpendicular to the ridgeline in the calculation. Although our approximation is similar, the implementation is a little different. We calculate the slope of the curve at every point of the previously calculated ridgeline using locfit to get the derivative of the slope at a given point of the ridgeline. Once we have this, we rotate the coordinate system of every star at every magnitude with the angle \( \alpha_i \), of the slope of the ridgeline at that magnitude, and centered at the ridgeline. Once this is done, we can get a ridgeline in the new coordinate system using locfit again. This new ridgeline should be a straight line along the \( Y \)-coordinate with a value of 0 in the \( X \)-coordinate. Any deviation from that means that the ridgeline in the original color–magnitude coordinate system requires a more accurate calculation. Note that in order for the new coordinate system to consistently show these deviations, we need the range of both coordinates to be similar in the old coordinate system. This is not the case for the range of colors and magnitudes presented in a CMD. To try to get them to a similar scale we multiply the color by a factor of five before doing the rotation. In the calculation of the ridgeline in the new coordinate system, the preliminary weights and smoothing factor used for locfit are the same as in the first iteration. We observe that the \( X \)-coordinate of the ridgeline in the new reference system does not deviate significantly from 0 in the region of the MS stars, but does so in the SGB and upper RGB region. In the RGB region, it is expected due to the scarcity of the population there. We took care of that in the previous iteration, so we concentrate our attention in the SGB region. We derotate the ridgeline in that region (the part where the original magnitudes are in the range \( m_{\text{TO}} - 1 < m < m_{\text{TO}} \)) to get their coordinates in the color–magnitude coordinate system, and after smoothing out any noise using locfit with a nearest neighbor ratio of 0.7, we put together the different parts of the ridgeline (see the lower left plot in Figure 5). The new ridgeline seems to accurately follow the different evolutionary sequences present in the GCs and serves as the basis of our subsequent analysis.

In Section 2.4, we discuss how we can use stars in the HB to test the accuracy of our method. To carry this test, we need to model a ridgeline for this region too. The process to find the ridgeline here is a little more interactive than for the other regions of the CMD. First, we have to decide by visual inspection of the CMD if we are dealing with a cluster that has only blue, only red, or both sections of the HB. If the cluster shows only a blue HB, we carry out a non-parametric regression on the stars bluer than the TO to obtain an estimate of the cluster HB ridgeline as a function of magnitude. We feed locfit with the magnitudes and colors of the HB, and a constant bandwidth of 0.2 mag and a nearest neighbor ratio of 0.1 to calculate the smoothing parameter. If only a red HB is present instead, the non-parametric regression is performed on stars in the interval \( m_{\text{HB}} - m_{\text{HB thickness}} \leq m \leq m_{\text{HB}} + m_{\text{HB thickness}} \) to obtain an estimate of the cluster HB ridgeline as a function of color. The smoothing parameter is calculated by locfit with the same parameters as for the blue HB. Finally, if the HB shows red and blue sections, we calculate both independently following the methods previously described, and then we smooth the result as a function of color, feeding locfit with the magnitudes and

![Figure 6](https://example.com/figure6.png)
colors of the points in the description of the blue and red HB ridgeline, and a nearest neighbor ratio of 0.25, no preliminary weights or constant bandwidth requirements (see the lower right plot in Figure 5).

2.3. Calculating an Extinction for Every Star

To calculate an extinction for every star we move the stars along the reddening vector until they intersect the ridgeline at an astrophysically reasonable location (see Figure 6). The reddening vectors are described by the equations

\[ A_V/E(B-V) = 3.317 \]  

and

\[ A_V/E(V-I) = 2.411 \]

as given in Schlegel et al. (1998), which are evaluated using the \( R_V = 3.1 \) extinction laws of Cardelli et al. (1989) and O’Donnell (1994).

The HB ridgeline and therefore also stars in the range \( \mu_{HB} \) thickness \(< m < \mu_{HB} + \mu_{HB} \) thickness are not used in this calculation, since we want to use them as an independent test of the accuracy of our method (see Section 2.4). We do not use stars dimmer than the completeness limit defined in Section 2.1 either. These stars and the stars that do not intersect with the ridgeline are given a weight of 0 in the calculation of the extinction map described in the next section.

To calculate the error of these shifts we follow the analysis in von Braun & Mateo (2001).

1. We created an error ellipse for every star defined by the Poisson error in its color, \( \sigma_c \), and magnitude, \( \sigma_m \).

2. Since the color and magnitude errors are correlated, the error ellipse is tilted. The tilt angle and the length of the semi-major and semi-minor axes of the error ellipse are functions of the error in color and magnitude:

\[ \tan(\theta) = \frac{2\sigma_{cm}}{\sigma_c^2 + \sigma_m^2} \]  

\[ \sigma_c^2 = \frac{\sigma_c^2 + \sigma_m^2}{2} + \left[ \frac{(\sigma_c^2 - \sigma_m^2)^2}{4} + \sigma_{cm}^2 \right]^{1/2} \]  

\[ \sigma_m^2 = \frac{\sigma_c^2 + \sigma_m^2}{2} - \left[ \frac{(\sigma_c^2 - \sigma_m^2)^2}{4} + \sigma_{cm}^2 \right]^{1/2} \]

where \( \theta \) is the tilt angle of the error ellipse, \( \sigma_c \) and \( \sigma_m \) are the semi-major and semi-minor axes of the tilted ellipse, respectively, and \( \sigma_{cm} \) is the covariance of the color and magnitude.

3. For every star, we move the error ellipse along the reddening vector. The point of first contact (pfc), i.e., the point where the ellipse touches the ridgeline for the first time, and the point of last contact (plc), where the ellipse touches the ridgeline for the last time, represent the 1\( \sigma \) deviation points (see Figure 6).

4. Although these two contact points are not necessarily symmetric about the reddening value of the star, i.e., the center of the error ellipse, we are going to define the error in the shift as

\[ \sigma_{ellipse} = 0.5(pfc + plc) - \text{center}. \]  

2.4. Creating the Extinction Map

We now take all the color excesses for the individual stars and smooth them using \texttt{locfit} to build a bivariate non-parametric regression of the extinction as a function of the spatial coordinates of right ascension and declination, up to a distance from the center of the cluster equal to where \( P(X = 1) = 0.1 \) or the limit of our observations, whichever comes first (see Table 3). This limit is adopted after preliminary application of our method to the clusters in our sample with looser restrictions, and not observing an improvement in the dereddened CMDs (see Section 2.5) for stars located beyond these ranges. Stars that were given zero weight in the previous subsections (stars in the HB region, stars dimmer than the completeness limit, stars that do not intersect with the ridgeline after being moved along the reddening vector) are not taken into account for the calculation of the map, although, after the map is built, a reddening correction is applied to all of them. Preliminary weights are assigned in \texttt{locfit} to all stars as they were calculated in previous subsections, but now \( u_{ph} = 1/\sigma_{ellipse} \). The spatial smoothing kernel in this case has a constant bandwidth of \( 0.5 \times 0.5 \) or a nearest neighbor ratio of 0.03, whichever is bigger. These values for the smoothing parameter are used after experimentally checking in a few of our clusters in which the kernel provides us with a tighter HB, in the sense of a smaller standard deviation of the stars from the ridgeline of this region (see Section 2.2) after generating the dereddened CMD (see Section 2.5). This is an independent test to assess the quality of our dereddening method, since our technique does not use stars in the HB to calculate the extinction map. The tests gave similar results for a range of parameters. Since the tests were not highly conclusive on choosing a particular set of parameters, the set used is an average of the best values obtained for the different clusters tested.

Along with the extinction map, an extinction precision map is also calculated. This is done assuming that the precision of the extinction map is a function of the spatial coordinates. Hence, we can write

\[ Y_i = r(\alpha_i, \delta_i) + \sigma(\alpha_i, \delta_i)\epsilon_i, \quad \mathbb{E}(\epsilon_i) = 0, \quad \mathbb{V}(\epsilon_i) = 1, \]

where \( Y_i \) is the calculated extinction for the \( i \)th star and \( r(\alpha_i, \delta_i) \) is the value for the extinction provided by the regression function at the coordinates \((\alpha, \delta)\) of the \( i \)th star. Therefore, directly regressing the square residuals \((Y_i - r(\alpha_i, \delta_i))^2\) provides us with an estimate \( \hat{\sigma}^2(\alpha_i, \delta_i) \) of the variance and its square root allows us to plot a map of the standard deviation \( \hat{\sigma} \) as a function of the spatial coordinates. This precision map provides us with a tool to improve our extinction map. We iteratively repeat the whole process of the calculation of extinction maps, but each time using only stars that have extinctions that are no more than \( 3\hat{\sigma} \) away from the value that our previous extinction map gives for those coordinates. Preliminary weights and smoothing parameters are the same in every calculation. We repeat the process until it converges, i.e., until the number of stars used in the calculation of the extinction maps changes by less than 1% with respect to the previous iteration. This way, for every cluster we are able to provide an extinction map (see the left plot of Figure 7), a precision map for this extinction map (see the right plot of Figure 7), and a resolution map with the bandwidth that we have used in a certain region to calculate the extinction map there (see the middle plot of Figure 7).
Figure 7. Extinction map for the M62 cluster (left), along with its resolution (middle), and its precision (right), as provided by our technique. The x marks the position of the center of the cluster. The color code gives the color excesses $E(B-V)$ for the extinction map, the bandwidths used in the resolution map, and the standard deviation $\sigma$ of the color excesses in the precision maps. Note that the adopted reddening zero point is defined by where our ridgeline lies and has to be established from other methods (see the text).

(4 color version of this figure is available in the online journal.)

2.5. Creating the Dereddened CMD

All the observed stars are given a reddening correction from the extinction map and a dereddened CMD is constructed (see Figure 8). This dereddened photometry is the input on the next iteration to calculate the cluster membership probabilities, and to build a new improved ridgeline (see Figure 1). Note though that the input on the calculation of the individual reddenings to generate the extinction maps is the original photometry in every iteration (see Figure 1).

We iteratively repeat this process until there is a convergence in the calculated ridgeline (see Figure 1), which results in good convergence of the reddening values of the extinction map. For our data set, convergence usually occurs after just two or three iterations.

3. EXAMPLE: DEREDDENING M62

The method will be extensively applied to a sample of 25 globular clusters in the following papers. Here, as an example, we applied the method to one of those clusters, M62.

M62 (NGC 6266) is a moderately reddened, $E(B-V) = 0.47$ cluster, located only 1.7 kpc from the Galactic center (Harris 1996), with a patchy extinction (Contreras et al. 2005, 2010). The observation, reduction, and calibration processes will be explained in detail in Paper II. Suffice it to say here that $B, V,
and $I$ data for this cluster were obtained with the IMACS camera in the Magellan 6.5 m telescope, and, in $V$ and $I$ for the inner part of the cluster with the WFPC2 camera on the HST. After applying fairly standard reduction and calibration processes to these data, we merged the databases from the two telescopes to obtain accurate astrometry ($\sigma \sim 0\!.25$ dispersion) and accurate photometry ($\sigma \sim 0.2$ mag dispersion) in $B$, $V$, and $I$ for the stars in a field of $15\!\times\!15'$ centered in the cluster. In Figure 8, we can see the resulting CMDs of this cluster.

The first step of our dereddening method is to calculate the probabilities $P(X = 1|r, c, m)$ for the observed stars to belong to the cluster. To do that, we use the probability densities of the stars as functions of distance to the center of the cluster and of color and magnitude, a King profile plus constant field model, and a Besançon model for the field, as explained in Section 2.1 (see Figures 2, 3, and 4). The different parameters used to build the King and Besançon models for this calculation are shown in Tables 1 and 2. Note that we restrict our analysis to stars in the limits shown in Table 3, because of the reasons mentioned in the previous sections.

Once the probabilities of belonging to the cluster have been calculated, we need to build the ridgeline. Following the three-step recipe explained in Section 2.2, we get the ridgelines in the two available colors (see Figure 5). After that, we move the stars along the reddening vector until they intersect the ridgeline (see Figure 6) and smooth the resulting individual color excesses, as explained in Sections 2.3 and 2.4. We obtain the extinction map shown in Figure 7. From this map, we take the relative extinction that corresponds to every star observed. We then plot the CMD of the stars in our observation after having been corrected for the differential reddening and use it as the input for the next iteration. The process converges for both colors after three iterations and we generate the dereddened CMDs that we can see in Figure 8.

We leave the detailed examination and analysis of the physical characteristics of the cluster to Paper III, but we mention here how much better the definition of the different parts of the cluster is. The width of the MS narrows by a factor of two after being dereddened (see Figure 9). This improvement will help us to obtain better constraints on the cluster age and distance when compared to theoretical isochrone models. The RGB is also narrowed by a factor of two (see Figure 9), which will help when trying to better constrain the metallicities.

As a reference, we compare the final reddening map obtained using our technique with maps and extinction values within the covered region available in the literature (see Figure 10). We found a general agreement in the identification of regions of high and low extinctions, although our map shows sharper features than the one presented by Schlegel et al. (1998), perhaps the result of their mapping the interstellar extinction in the foreground and also in the background of the cluster (upper plots in Figure 10), and smoother values than those by Contreras et al. (2010), the result of our spatial smoothing of the extinction values (lower plots in Figure 10). As we have already mentioned, the technique explained in the paper does not calculate the absolute extinction toward a target cluster. Comparisons like the ones shown in Figure 10 will allow us to find the extinction of the adopted reddening zero point in our dereddening method.

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APPENDIX

NON-PARAMETRIC ANALYSIS USING locfit

In our work, we have extensively used non-parametric statistics to estimate probability density and regression functions directly, without reference to a specific form. This differs from other approaches in which probability density and regression functions are expressed in a parametric way, where the function used to describe them can be written as a mathematical formula which is fully described by a finite set of parameters that we have to find.

In our analysis, we have used locfit, a local likelihood estimation software implemented in the $R$ statistical programming language. Locfit is extensively explained in Loader (1999), so here we give a general overview of how it works and the main tuning parameters that we have chosen in our analysis. Locfit does not constrain the functions globally, i.e., it is

| Table 3 |
| Limits for the Stars Used in Our Analysis of M62 |

| V magnitude where the completeness limit is reached | 21.51 |
| $\Delta V$ between the completeness limit and the dimmest star in our ground observation | 2.2 |
| Distance where the ratio of GC stars to total number of stars drops to 0.1 | 6.20 arcmin |

Figure 9. Distribution of stars in the $B - V$ color around the calculated ridgeline for two different magnitude cuts (1.5 mag above and 0.5 mag below the TO) for the stars in M62, before (blue) and after (red) differentially deredden the CMD. The FWHM of the density distributions at the two magnitude cuts narrows by more than a factor of two after we have differentially dereddened the CMD (for the MS cut, FWHM = 0.262 mag before and FWHM = 0.115 mag after; for the RGB cut, FWHM = 0.267 mag before and FWHM = 0.103 mag after). The profiles also look more unimodal.

(A color version of this figure is available in the online journal.)
Figure 10. On the top, a comparison of the extinction map for M62 provided by our technique (left) with the extinction map created from the dust temperature maps by Schlegel et al. 1998 (right). We have degraded the resolution of our map to make it equal to the Schlegel map. We have found an extinction zero-point offset between both maps of $E(B-V) = 0.47$ that we have added to our map. On the bottom, a comparison between the extinction values provided by Contreras et al. (2010) from the analysis of a set of RR Lyrae and the extinction values at the same positions provided by our map; on the left, the subtraction of both sets of extinction values allows to find the extinction zero point for our map, $E(B-V) = 0.55$, as the weighted average of the subtracted values (green line); on the right, comparisons of both sets of values (Contreras et al. 2010, as red crosses; this paper, as blue circles, after adding the extinction zero point).

(A color version of this figure is available in the online journal.)

non-parametric, but assumes that locally, around a certain point $x$, the function can be well approximated by a member of a simple class of parametric functions. *Locfit* defines a local window around a point, weighting the observations according to their distance to that point:

$$w_i(x) = \begin{cases} W \left( \frac{x_i - x}{h(x)} \right) & \text{if } x_i < |x + h(x)| \\ 0 & \text{if } x_i \geq |x + h(x)| \end{cases}$$

and inside this local window, the function is approximated by a polynomial, using not the usual local least-squares criterion but a local log likelihood criterion. We have to choose the weighting function and the order of the polynomial. For both cases, we take the default given by the program: a polynomial of order two with a tricube weight function $W(u) = (1 - |u|^3)^3$. Still we are left with one last argument to choose, the smoothing parameter that controls the bandwidth $h(x)$. This smoothing parameter is defined by the maximum of two elements: a bandwidth generated by a nearest neighbor fraction $0 < \alpha < 1$ and a constant bandwidth. The nearest neighbor bandwidth is computed in two steps, first computing the distances $d(x, x_i) = |x - x_i|$ for all the data points and then choosing $h(x)$ to be the $k$th smallest distance, where $k = \lfloor n \alpha \rfloor$.

Also the likelihood criterion can be chosen, and for the regression we choose the family *qrgauss*, which is equivalent to a local robust least-squares criterion where outliers are iteratively identified and downweighted, similar to the *lowess* method (Cleveland 1979).

If we want to give more weight to some points than others, *locfit* allows preliminary weights to be given to all observations. A scale factor can be applied to the different variables in multivariate fitting, when variables are measured in non-comparable units, or when we want to give more importance to one of them such as in the determination of the densities of stars.
in our CMD, where the range in color for the stars is smaller than the range in magnitudes.

In addition to calculating the non-parametric function that fits the data, *locfit* can also calculate the derivative of that function.

Finally, a word about the evaluation structures in *locfit* is in order. *Locfit* does not perform local regression directly in every point, but selects a set of evaluation points obtaining the fit there and interpolating later elsewhere. This is done for efficiency; it is much faster to evaluate the structure in a small number of points and then interpolate for the rest, but we should make sure that we do not lose information on the process. In order to achieve this, *locfit* uses, by default, a growing adaptive tree, which is the evaluation structure that we use in our analysis. A growing adaptive tree is a grid of points. One begins by bounding the data in a rectangular box and evaluating the fit at the vertices of the box. One then recursively splits the box into two pieces, then each subbox into two pieces, and so on. For this kind of structure, an edge is always split at the midpoint and the decision to split an edge is solely based on the bandwidths at the two ends of the edge, depending on the score $\delta_{ij} = d_{ij}/\min(h_i, h_j)$ where $d_{ij}$ is the distance between the two vertices of an edge, and $h_i$ and $h_j$ are the bandwidths used at the vertices. Any edge whose score exceeds a critical value $c$ ($c = 0.8$ by default) is split.

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