NEUROLOGICAL COMPLICATIONS OF PANDEMIC COVID-19: What have we got so far?

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Abstract:
The recently emerged coronavirus named Severe Acute Respiratory Syndrome Coronavirus 2 (SARS-CoV-2) is the newest threat to human health. It has already infected more than half a million people worldwide, leading to a lot of deaths. Although it causes mild flu-like disease in most patients, lethality may increase to more than 20% in elderly subjects, especially those with comorbidities, like hypertension, diabetes or lung and cardiac disease, and the mechanisms are still elusive. Common symptoms at the onset of illness are fever, cough, myalgia or fatigue, headache, and diarrhea or constipation. Interestingly, respiratory viruses have also placed themselves as relevant agents for CNS pathologies. Here we discuss several CNS related features, referred by several patients, especially at the beginning of the disease. Thus, we also discuss the possibility by which SARS-CoV-2 may affect the olfactory system of patients, either directly or indirectly.
Abbreviations

SARS-CoV-2 = Severe Acute Respiratory Syndrome Coronavirus 2
COVID-19 = Coronavirus Disease 2019
SARS-CoV = Severe Acute Respiratory Syndrome Coronavirus
SARS = Severe Acute Respiratory Syndrome
MERS = Middle-East Respiratory Syndrome
CNS = central nervous system
hRSV = human respiratory syncytial virus
hMPV = human metapneumovirus
SP or S protein = spike proteins
ACE2 = angiotensin-converting enzyme 2
DPP4 = dipeptidyl peptidase 4
CD147 = CD147-spike protein
CoVs = coronaviruses
HCoV-OC43 = human coronavirus OC43
MHV = Murine Hepatitis Virus
RBD = receptor-binding domain
CTSB = Cathepsin B
CTSL = Cathepsin L
OSN = Olfactory Sensory Neurons
GBC = Globose Basal Cells
HBC = Horizontal Basal Cells
PNS = peripheral nervous system
CSF = cerebrospinal fluid
ADEM = acute disseminated encephalomyelitis
Background

Viral respiratory diseases are among the most critical problems in public health, as every year they are responsible for high rates of morbidity and mortality (Bohmwald et al. 2018). The recently emerged coronavirus named Severe Acute Respiratory Syndrome Coronavirus 2 (SARS-CoV-2) is the newest threat to human health. It has already infected more than half a million people worldwide, leading to around 90,000 deaths. Since December of 2019, the first cases of pneumonia started to be documented in Wuhan - China (Bohmwald et al. 2018; Guan W, et al. 2020). SARS-CoV-2 is an enveloped non-segmented positive-sense RNA virus that belongs to the Coronaviridae family (Huang C, et al. 2020), closely related to previous coronaviruses of medical relevance, as SARS-CoV and MERS-CoV. Due to the fast spread and lethality, the World Health Organization (WHO) officially declared State of Public Health Emergency of International Concern in February 2020 due to the Coronavirus Disease 2019 (COVID-19) (Baig A, et al. 2020; Qin C, et al. 2020).

Although it causes mild flu-like disease in most patients, lethality may increase to more than 20% in elderly subjects, especially those with comorbidities, like hypertension, diabetes or lung and cardiac disease (Sun D, et. al 2020), and the mechanisms are still elusive (Pinto B, et al. 2020). Viral replication in lung tissue leads to both direct as well as indirect pathology, mainly due to an exacerbated immune response and the cytokine storm produced (Qin C, et al. 2020). Common symptoms at the onset of illness are fever, cough, myalgia or fatigue, headache, and diarrhea or constipation. (Huang C. et al. 2020; Sun D, et. al 2020). Severe cases rapidly evolve to pneumonia with ground-glass opacity observed after lung imaging, evidencing lung infiltration and edema.

Interestingly, respiratory viruses have also placed themselves as relevant agents for central nervous system (CNS) pathologies, such as the human respiratory syncytial virus (hRSV) (Morichi S, et al. 2009) or the human metapneumovirus (hMPV) (Schildgen O, et al. 2005). In fact, several studies have described the association between respiratory viral infections with neurological symptoms, as febrile or afebrile seizures, status epilepticus, encephalopathies, and encephalitis. (Bohmwald et al. 2018).

Conversely, during the recent SARS-CoV-2 epidemic, several patients have referred to the loss of the sense of smell and taste during hospitalization. This may be an important feature of the COVID-19, but it is still poorly understood. Thus, here we discuss the possibility by which SARS-CoV-2 may affect the olfactive system of patients, either directly or indirectly.

SARS-CoV-2 and Nervous System

Coronaviruses invade host cells through the interaction of spike proteins (SP) with membrane receptors as angiotensin-converting enzyme 2 (ACE2) (Hoffmann M, et al. 2020), dipeptidyl peptidase 4 (DPP4) (Raj V, et al. 2013) and most recently, CD147 (Wang K, et al. 2020). After attachment, virus
particles are internalized, fused with the cell membrane and RNA genome is released within the cytoplasm for protein translation and replication. In this context, viral tropism intimately correlates with the expression of the aforementioned receptors throughout the body (Baig A, et al. 2020).

Conversely, coronaviruses (CoVs) are not always confined to the respiratory tract, as they may also invade intestine (Leung W, et al. 2003), heart tissue (Dimitrov D, 2003; Gu J, 2007; Oudit G, et al. 2009), and central nervous system (Ding Y, et al. 2004; Xu J, et al. 2005; Gu J, et al. 2005). It is already known, for example, that the human coronavirus OC43 (HCoV-OC43), manages to gain access to the CNS through axonal transport and neuron-to-neuron propagation in experimental models (Dubé M, et al. 2020). Interestingly, HCoV-43 viral loads in the brain of C57Bl/6 mice reached the same levels when intra-cranioventricular and intranasal delivery were compared. The inoculation of $10^4$ TCID$_{50}$ led to a time-dependent increase in brain viral load. Moreover, viral N proteins were detected by immunofluorescence, evidencing viral migration through the neurons. Noteworthy, viral proteins were detected until 5 days after infection (Dubé M, et al. 2020).

It is believed that SARS-CoV may reach the Central Nervous System via general circulation (through blood-brain barrier) or via olfactory bulb (anatomic related to the cribriform plate and the CNS), as previously demonstrated in mice by Bleau C, et al. (2015) and Netheland J, et al. (2008) (Baig A, et al. 2020; Bleau C, et al. 2015; Netheland J, et. al. 2008). Additionally, it has been shown that Murine Hepatitis Virus (MHV), a type of coronavirus, may reach the SNC after intranasal delivery. Moreover, the ablation of the olfactory nerve cells abrogated CNS infection after nasal inoculation of the murine hepatitis virus (MHV) (Perlman S, et al. 1990). Subsequently, endothelial damage can also facilitate virus access (Baig A, et al. 2020).

Spike protein (S protein) is the portion of SARS-CoV that interacts with high affinity to human ACE2 on target cells through its receptor-binding domain (RBD) (Baig A, et al. 2020; Hulswit R, 2016; Li W, et al. 2005). S protein is divided in S1 subunit, which is involved in receptor recognition, and S2, which is involved in membrane fusion (Hulswit R, 2016; Li W, et al. 2005); and must be cleaved to properly interact with ACE2, which usually happens by TMPRSS2 protease, Cathepsin B (CTSB) or Cathepsin L (CTSL) (Brann D, et al. 2020). SARS-Cov-2 interaction with ACE2 receptors in neurons leads to neuronal damage without wide inflammation (Baig A, et al. 2020).

The olfactory epithelium is mainly composed by Olfactory Sensory Neurons (OSN; responsible for odor detection and transmission to the brain (Brann D, et al. 2020), Globose Basal Cells (GBC; responsible for neurogenesis, renewing olfactory epithelium and neurons (Choi R, et. al. 2018; Fletcher R, et. al 2017), Horizontal Basal Cells (HBC; quiescent cells, stem cells reservoir (Fletcher R, et. al 2017)) and sustentacular cells (structural support for olfactory sensory neurons) (Brann D, et al. 2020). Olfactory Sensory Neurons axons synapse in the olfactory bulb, passing through the cribriform plate (Choi R, et al. 2018).
As demonstrated by Brann et. al, ACE2 and TMPRSS2 are not expressed in mature Olfactory Sensory Neurons; but in sustentacular cells and HBCs instead, which are believed to be the target cells of CoV-2 infection (Brann D, et al. 2020). Thus, infection of sustentacular cells and HBCs may damage OSNs and impair neurogenesis, resulting in anosmia.

As above mentioned, a possible pathway for viruses to infect the CNS is through the olfactory bulb (Durrant D, et al. 2016; Doty R, et al. 2019; Wen P, et al. 2019). Olfactory Sensory Neurons connect the nasal cavity to the CNS by the axons, which terminate in the olfactory bulb, passing through the cribriform plate (Durrant D, et al. 2016). On the other hand, Olfactory Bulb receives dense innervation from higher brain areas and process odor information (Wen P, et al. 2019); and is possibly infected by coronaviruses (Brann D, et al. 2020). Therefore, as mentioned by Brann D, et. al 2020 the olfactory deficits may occur due to other mechanisms than olfactory epithelium damage; such as higher-order olfactory structures affection (Brann D, et al. 2020).

Although there is no evidence of the presence of SARS-CoV-2 in CNS tissue of affected patients due to lack of a necropsy study evaluating brain tissue, there is evidence of CNS presence of SARS-CoV in brain tissue of patients presenting with SARS in the early 2000s (Ding Y, et al. 2004; Xu J, et al. 2005; Gu J, et al. 2005). Gu J and colleagues (2005) documented remarkable findings: virus RNA of SARS-CoV infection in the brain of all the 8 autopsies patients they studied; 6 out of this 8 was also found scattered red degeneration and edema on this patients neurons, the findings were restricted to the cytoplasm of neurons on the hypothalamus and cortex (Gu J, et al. 2005).

In addition, Xu J et. al (2005) reported a case of a 39-year-old doctor that was in contact with SARS-CoV patients and started to experience fever, chills, malaise, dizziness, myalgia when he was admitted to the hospital. After 35 days of illness onset, he passed away due to multiple organ failure and brain herniation. The autopsy revealed SARS-CoV DNA in the patient brain (Xu J, et al. 2005). Ding and colleagues (2004), while examining tissue samples from four SARS-Cov patients autopsy, found in all of their four patients evidence of virus infection on the cerebrum, pituitary gland, but not in the cerebellum (Ding Y, et al. 2004).

Besides these, we reiterate that there are still other shreds of evidence of human coronavirus in the human brain. Arbour N, et al. (2000) described the presence of coronaviruses RNA in autopsied brain samples from patients with multiple sclerosis, and other neurological diseases (Alzheimer’s, Parkinson’s, schizophrenia, depression, and meningoencephalitis) (Arbor N, et al. 2000).

Neurological Manifestations of COVID-19
The manifestations of neurological symptoms in patients with COVID-19 involve the CNS, peripheral nervous system (PNS) and the skeletal muscles. Severe patients commonly had neurologic symptoms manifested as acute cerebrovascular diseases, consciousness impairment, and muscle injury, leading to a poor prognosis. (Mao L., et al. 2020). In a study carried by Chen and colleagues 22% of those who died presented with impaired consciousness compared with only 1% of the patients that survived (Chen T, et al. 2020).

Central Nervous System symptoms, such as headache, dizziness, impaired consciousness, ataxia, acute cerebrovascular disease, and epilepsy, were the main form of neurological injury in patients with COVID-19 appearing in 53 out of 218 (24.8%) patients in a Chinese cohort (Mao L., et al. 2020). Interestingly, patients presenting CNS involvement were associated with a more severe course of the disease (Mao L., et al. 2020). On the other hand, PNS involvement occurs in 19 patients (8.9%) and hyposmia and dysgeusia were the most common symptom affecting 11 (5.1%) and 12 (5.6%) respectively; no laboratory differences were found in patients with or without PNS involvement (Mao L., et al. 2020).

Hwang C described, in 2006, a case of complete anosmia three weeks after the onset of the first symptoms of SARS-CoV infection. The patient was a 27 years-old woman who presented with fever, cough, headache, myalgia, and diarrhea. Three weeks later, after upper respiratory tract improvement, the patient had complete anosmia, for all kinds of smell, on both sides of the nasal cavity. Although no abnormal findings that might cause anosmia were found on physical examination or brain MRI, this symptom persisted for the two years follow-up without changes (Hwang C, 2006). As far as we know, this is the first case report of persisting anosmia after coronavirus infection. Further investigation and patients follow up are necessary to confirm this hypothesis.

Hyposmia is also gaining the attention of the Media and the Medical Community (Stone J., 2020; Hopkins C, 2020). A recently studied conducted by Leichien and colleagues (2020) described olfactory (85.6%) and gustatory (88%) dysfunctions of 417 patients with mild to moderate disease. Among these patients that suffer from olfactory alteration, 12.6% had phantosmia, 32.4% had parosmia; from the 76 patients that did not suffer from nasal obstruction or rhinorrhea 79.7% presented anosmia or hyposmia (Lechien J, et al. 2020). It suggests that olfactory neuropathy may play a role in olfactory dysfunction. The short term recovery rate from anosmia or hyposmia was 44% in 59 cured patients of SARS-CoV-2 infection (Lechien J, et al. 2020).

Patients with SARS-CoV-2 infection can also present with encephalopathy and other changes in their level of consciousness. Recently, three cases of encephalitis associated with SARS-CoV-2 have been described. A study by the Beijing hospital was the first to find the SARS-CoV-2 in a patient’s cerebrospinal fluid (CSF). This was a 56-year-old patient, whose genetic sequencing confirmed the
presence of SARS-CoV-2 in the CSF (Zhou L, et al. 2020). In another case, the patient had encephalopathy and was positive for SARS-CoV-2, although no evidence of the virus particles was found in the CSF (Filatov A, et al 2020).

Newly, Moriguchi T and colleagues (2020) reported a case of Meningitis/Encephalitis, in which the specific SARS-CoV-2 RNA was not detected in the nasopharyngeal swab but was detected in a CSF sample. This was a 24-year-old man with no history of travel. The suspicion of COVID-19 was made due to the patient's poor general condition and altered blood count, as well as a chest CT scan showing a small ground-glass opacity on the right superior lobe and both sides of the inferior lobe. The disease was confirmed by means of the RT-PCR test for SARS-CoV-2, using the nasopharyngeal swab and CSF: samples came negative for nasopharynx and positive for CSF, justifying the condition of the patient (Moriguchi T, et al. 2020). Neurological findings of coronavirus infections also include cases of acute disseminated encephalomyelitis (ADEM) (Ann Yeh E, et al. 2003).

Chronic complications have already been described in SARS patients, which presented with chronic myalgia, mood and sleep disorders (Moldofsky H, et al. 2011). Organic neurological damage was not described in these patients whatsoever. Chronic complications of coronavirus infection in CNS have already been studied in murine models involving MHS and human coronavirus (HCoV-OC43) (Hosking M, 2010; Jacomy H, et al. 2006).

Most recently, Zhao H and colleagues (2020) described the first association of Guillain-Barré syndrome (GBS) & SARS-CoV-2 infection. This was a 61-year-old woman with a complaint of acute weakness in both legs and severe fatigue. Despite the travel history for Wuhan, no respiratory symptoms were reported. These appeared only 7 days after the onset of Guillain-Barré syndrome symptoms. Oropharyngeal swabs were positive for SARS-CoV-2 by RT-PCR assay (Zhao H, et al. 2020). Hence, COVID-19 appears to assume a parainfectious profile, in which GBS and viral infection occur concurrently, instead of the classic postinfectious profile. Curiously, a similar situation has already been described with GBS & Zika-virus (Siu R, et al. 2016; ).

Conclusions

Although COVID-19 is mostly described as lung disease, causing pneumonia, several reports have indicated that patients may also display other symptoms. Here we discuss several CNS related features, referred by several patients, especially at the beginning of the disease. Noteworthy the fact that many patients develop both olfactory and taste loss. This evidences that SARS-CoV-2 is not always confined to the respiratory tract and can also invade the central nervous system, inducing neurological diseases (table 1).
The involvement of the central nervous system was associated with more severe disease when compared with patients that hadn’t CNS involvement (Mao L., et al. 2020). It is known that the neurotropism characteristic involves other human coronaviruses like SARS-CoV (Ding Y, et al. 2004; Xu J, et al. 2005) and HCoV OC43 (Jacomy H, et al. 2006; Ann Yeh E, et al. 2020).

When a viral agent such as SARS-CoV-2, which spreads rapidly and has a potential for neuroinvasion, begins to infect the population worldwide, it is important that the medical and research community be aware of chronic neurological complications; be prepared to respond to previously unexpected complications, as happened in post-epidemic complications of the Zika virus (Nunes M, et al. 2016; Cugola F, et al. 2016; Figueredo C, et al. 2019) and von Economo’s famous encephalitis lethargy followed by parkinsonism symptoms in those who survived after Spanish flu pandemic (Lutters B, et al. 2018).

Thus, it is important to prioritize and to individualize the treatment protocols based on the severity of the disease and predominant organ involvement. We recommend that in the presence of ataxia, loss of consciousness, convulsion, status epilepticus, encephalitis, myelitis or neuritis, (Bohmwald et al. 2018; Mao L., et al. 2020) differential diagnosis of COVID-19 should be considered, especially in this moment of “Pandemic Status”.

The COVID-2019 outbreak has spread worldwide, so careful surveillance is essential to monitor the disease. The clinical conditions of the patients can worsen really fast, and patients can quickly experience respiratory failure. Symptomatic and respiratory support are the treatment modalities available for COVID-19. Moreover, besides the fact that anosmia may indicate a poor prognosis, whether a long-lasting impairment is observed, it needs to be further addressed. Therefore, a fast and accurate diagnosis is necessary.
| PATHOGENS | CLINICAL MANIFESTATIONS | BIBLIOGRAPHY |
|-----------|-------------------------|--------------|
| **Coronavirus** (HCoV-229E, HCoV-OC43, SARS-CoV and HCoV-OC43) | • **Acute**: Febrile seizures; Convulsions; Loss of consciousness; Ataxia; Anosmia or Hyposmia; Encephalomyelitis; Encephalitis; Myelitis; Neuritis. Acute Disseminated Encephalomyelitis (ADEM).  
• **Chronic**: myalgia, mood, and sleep disorders. | *Bohmwald et al. 2018; Hwang C, 2006; Ann Yeh E, et al. 2003.* |
| **COVID-19** (SARS-CoV-2) | • **CNS**: headache, dizziness, impaired consciousness, ataxia, acute cerebrovascular disease, and epilepsy.  
• **PNS**: hypogeusia, anosmia or hyposmia, and neuralgia, mainly.  
• **Muscle injury**  
Encephalopathy with1,3 and without2 evidence of the virus in the CNS | *Mao L., et al. 2020  
Lechien J, et al. 2020* |
| | Guillain-Barré syndrome | *Zhao H, et al. 2020* |

**Table 1**: Summary of neurological manifestations found in coronaviruses infections. In the first line, the general findings of other coronaviruses that infect humans. In the second line, the specific findings of SARS-CoV-2, responsible for COVID-19, which were previously mentioned in this paper.
Appendix 1 - Authors:

| NAME                  | LOCATION                                  | CONTRIBUTION                                                                                                                                                                                                                                                                                                                                 | CONTACT AT:                               |
|-----------------------|-------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
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| Marco Sch-lindwein    | Universidade da Região de Joinville       | Research project conception, design, organization, and execution. Acquisitions, analysis or interpretation of the data                                                                                                                                                                                                                  | marcoschlindwein02@gmail.com             |
| Leticia Caroline Breis| Universidade da Região de Joinville       | Research project conception, design, organization, and execution. Acquisitions, analysis or interpretation of the data                                                                                                                                                                                                                  | breisleticia@gmail.com                   |
| Jean Pierre Schatzmann| Universidade de São Paulo (ICB-USP)       | Interpreted the data; revised the manuscript for intellectual content                                                                                                                                                                                                                                                                   | jeanpierre@usp.br                        |
| Marcus Gonçalves      | Universidade da Região de Joinville       | Interpreted the data; revised the manuscript for intellectual content                                                                                                                                                                                                                                                                   | marcusribeirao@yahoo-o.com.br            |
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