Segmented Linear Mixed Model Analysis Reveals Association of the APOE ε4 Allele with Faster Rate of Alzheimer’s Disease Dementia Progression

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Abstract.

Background: APOE ε4 allele carriers present with an increased risk for late-onset Alzheimer’s disease (AD), show cognitive symptoms at an earlier age, and are more likely to transition from mild cognitive impairment (MCI) to dementia but despite this, it remains unclear whether or not the ε4 allele controls the rate of disease progression.

Objective: To determine the effects of the ε4 allele on rates of cognitive decline and brain atrophy during MCI and dementia stages of AD.

Methods: A segmented linear mixed model was chosen for longitudinal modeling of cognitive and brain volumetric data of 73 ε3/ε3, 99 ε3/ε4, and 39 ε4/ε4 Alzheimer’s Disease Neuroimaging Initiative participants who transitioned during the study from MCI to AD dementia.

Results: ε4 carriers showed faster decline on MMSE, ADAS-11, CDR-SB, and MoCA scales, with the last two measures showing significant ε4 allele-dose effects after dementia transition but not during MCI. The ε4 effect was more prevalent in younger participants and in females. ε4 carriers also demonstrated faster rates of atrophy of the whole brain, the hippocampus, the entorhinal cortex, the middle temporal gyrus, and expansion of the ventricles after transitioning to dementia but not during MCI.

1Data used in preparation of this article were obtained from the Alzheimer’s Disease Neuroimaging Initiative (ADNI) database (adni.loni.usc.edu). As such, the investigators within the ADNI contributed to the design and implementation of ADNI and/or provided data but did not participate in analysis or writing of this report. A complete listing of ADNI investigators can be found at: http://adni.loni.usc.edu/wp-content/uploads/how_to_apply/ADNI_Acknowledgement_List.pdf

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Conclusion: Possession of the e4 allele is associated with a faster progression of dementia due to AD. Our observations support the notion that APOE genotype not only controls AD risk but also differentially regulates mechanisms of neurodegeneration underlying disease advancement. Furthermore, our findings carry significance for AD clinical trial design.

Keywords: Alzheimer disease, APOE, cognitive decline, linear mixed model, MRI

INTRODUCTION

Alzheimer’s disease (AD) is the most prevalent form of dementia. Its early pathogenesis is linked to the accumulation of amyloid-β (Aβ) in the brain, which gives rise to neurofibrillary pathology producing neuronal and synaptic loss [1]. The burden of neurofibrillary lesions correlates with brain atrophy, disease staging, and the intensity of clinical symptoms [2, 3]. Infrequent, early-onset AD is associated with 100% penetrant, autosomal dominant mutations in genes encoding presenilin 1 and 2, or the amyloid-β protein precursor. These mutations result in either total Aβ overproduction or a shift in the Aβ40:Aβ42 ratio, with the latter Aβ species being particularly prone to self-aggregation and toxicity [4]. Far more prevalent late-onset AD is a sporadic disease, where odds ratio (OR) is largely controlled by the APOE genotype [5]. There are six APOE genotypes with unequal distribution in the general population: ε3/ε3 (59%), ε3/ε4 (24%), ε3/ε2 (12%), ε4/ε2 (2.5%), ε4/ε4 (2.0%), and ε2/ε2 (0.5%) [6, 7]. AD risk is increased by ~3-fold among a single e4 allele carriers, and by ~15-fold in ε4/ε4 homozygotes compared to ε3/ε3 homozygotes [7]. The least common e2 allele reduces the AD OR but only among ε4 non-carriers. The association between the ε4 allele and increased AD risk has been explained mainly through greater propensity of ε4 carriers to develop Aβ pathology [2]. Encoded by the ε4 allele, the apolipoprotein E4 isoform adversely affects the clearance of soluble Aβ peptides from the brain [8] and more effectively catalyzes assembly of Aβ peptides into oligomeric and fibrillar aggregates [9, 10], eventually promoting Aβ deposition and toxicity disproportionally to other isoforms. There also is evidence for a greater susceptibility of ε4 carriers to the loss of the blood-brain barrier integrity during aging [11], which compromises the Aβ brain to plasma clearance [12]. Despite viewing the ε4 allele as the main factor that controls disease risk, it remains unclear whether it is independently involved in the propagation of AD pathogenesis downstream to Aβ accumulation and therefore linked to an accelerated form of the disease. This hypothesis has been explored without satisfactory resolution. Prevailing numbers of previous studies utilizing both longitudinal and cross-sectional designs found that among individuals with AD dementia, ε4 carriers neither show faster rate of cognitive decline nor significantly lower cognitive scores compared to non-carriers [2, 13–21]. There are few analyses that in fact suggest accelerated tempo of cognitive decline among ε4 carriers [22–24], but those that do are at odds with studies proposing a more indolent disease course in ε4 individuals [25–28].

Mild cognitive impairment (MCI) is a clinical syndrome, which presents with an increased individual risk for AD dementia. Although possession of the ε4 allele has been recognized as a risk factor for the transition from MCI to dementia [29–33], there are no studies that have investigated how the ε4 allele affects the rate of progression of cognitive metrics during MCI. Since AD modifying therapeutics are now being widely tested in MCI subjects with underlying AD pathology, identifying this relationship bears clear significance for clinical trial design [34, 35].

There are multiple methodological reasons why previous exploits have failed to clarify the association between the ε4 allele and the clinical course of AD. This includes lacking precise control for dementia onset and duration, limited accuracy of clinically based AD diagnosis, cross-sectional design, limited periods of longitudinal follow up, and not considering variabilities in the individual trajectories of cognitive decline that may obfuscate the group effect specific to a given APOE genotype. Therefore, in this study we decided to interrogate the Alzheimer’s Disease Neuroimaging Initiative (ADNI) database, which includes longitudinal cognitive and volumetric brain data from over 1,000 individuals with MCI, AD dementia, and normal age-matched controls [36, 37]. We only analyzed data from participants who during ADNI transitioned from MCI to dementia and were given AD diagnosis, and who did not revert the diagnosis to MCI or normal at any point. There was a three-fold justification for this prerequisite: firstly, it increases the validity of clinically based AD diagnoses, secondly it allows us to precisely control for dementia onset, and thirdly it permits separate comparisons between MCI and AD dementia stages,
which may differ in the rate of cognitive decline and brain atrophy. All comparisons were made across $e3/e3$, $e3/e4$, and $e4/e4$ genotypes as the prevalence of the $e2$ allele among MCI to AD converters in the ADNI cohort was limited, hence its potentially protective effect could not be properly ascertained [38]. Multilevel statistical modeling of longitudinal data was used as both cognitive and brain volumetric measures were assumed to vary at individual and group levels.

**MATERIALS AND METHODS**

**ADNI participant selection**

To date the ADNI has included four successive studies: ADNI-1 (October 2004-August 2009), ADNI-GO (September 2009-August 2011), ADNI-2 (September 2011-August 2016), and ADNI-3 (September 2016-ongoing) [36, 37]. ADNI emphasizes rollover of participant between the studies with additional recruitment goals separately set for each study. Complete information regarding the ADNI inclusion and exclusion criteria can be accessed at: https://adni.loni.usc.edu/methods/documents/ while the information about type of data collected, data collection schedule, and methodology of collection is available at: http://adni.loni.usc.edu/data-samples//clinical-data/. Data analyzed in this study were retrieved from the ADNI database on September 3, 2020. The following selection criteria for participants were used: 1) at least three consecutive ADNI evaluations during which participants received diagnosis of AD; 2) transition from MCI to AD dementia during ADNI; and 3) no reversion of the diagnosis from AD dementia to MCI or cognitively normal at any point. 223 participants from ADNI-1, ADNI-GO, and ADNI-2 were identified using these criteria. There were 73 $e3/e3$ homozygotes, 99 $e3/e4$ heterozygotes, and 39 $e4/e4$ homozygotes (total = 211) (Table 1). The remaining 12 participants who transitioned from MCI to AD dementia were either of $e2/e3$ or $e2/e4$ genotype and were excluded because the low incidence of the $e2$ allele precluded a reliable analysis of its possible protective effect [24, 38]. All ADNI studies were approved by the Institutional Review Boards of all of the participating institutions. Informed written consent was obtained from all participants at each site.

**Cognitive measures**

In the ADNI, participants receive diagnostic and cognitive assessments during their baseline visit, 6 and 12 months after the baseline visit, and then annually. The effect of the $e4$ allele on the rate of cognitive decline quantified by the following neuropsychological scales was analyzed: Mini-Mental State Examination (MMSE) (ranges from 0 to 30, decreased score indicating worse cognition) [39], Clinical Dementia Rating Scale Sum of Boxes (CDR-SB) (ranges from 0 to 18, increased score indicating worse cognition) [40], Alzheimer’s Disease Assessment Scale-Cognitive Subscale (ADAS-Cog a.k.a. ADAS-11) (ranges from 0 to 70, increased score indicating worse cognition) [41], and the Montreal Cognitive Assessment (MoCA) (ranges from 0 to 30, decreased scores indicating worse cognition) [42]. MoCA was administered only during the ADNI-2 study.

**Brain volumetric measures**

Longitudinal brain volumetric data from the selected participants were retrieved from the ADNI

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**Table 1**

Demographic and clinical data by APOE genotype in analyzed ADNI participants

| Parameter             | All (n = 211) | $e3/e3$ (n = 73) | $e3/e4$ (n = 99) | $e4/e4$ (n = 39) |
|-----------------------|--------------|-----------------|-----------------|-----------------|
| Years followed        | 5.58 (2.74)  | 5.68 (2.87)     | 5.51 (2.67)     | 5.58 (2.71)     |
| Number of visits      | 11.20 (5.47) | 11.37 (5.74)    | 11.01 (5.34)    | 11.15 (5.43)    |
| Baseline age          | 73.84 (6.99) | 75.63 (7.42)*   | 73.25 (6.46)    | 71.89 (6.66)*   |
| Transition age        | 76.07 (7.20) | 78.08 (8.25)*   | 75.44 (6.13)    | 73.88 (6.85)*   |
| Years of education    | 15.82 (2.77) | 16.14 (2.89)*   | 15.76 (2.63)    | 15.41 (2.88)    |
| % Male                | 58.8%        | 58.9%           | 57.6%           | 61.5%           |
| % White               | 92.7%        | 95.9%           | 94.9%           | 97.4%           |
| % Black               | 2.4%         | 1.4%            | 3.0%            | 2.6%            |
| % Asian               | 1.4%         | 1.4%            | 2.0%            | 0%              |
| % Hispanic/Latino     | 3.3%         | 5.5%            | 2.0%            | 2.6%            |

Data are presented as mean values or total counts and standard deviation in parentheses or as a percentage. “Baseline age” denotes the age the participants were initially enrolled in the ADNI with MCI diagnosis while the “Transition age” is the age they transitioned from MCI to AD dementia. $p<0.0001$ (one-way analysis of variance) for differences in the Baseline age and Transition age across the genotypes; *$p<0.05$ $e3/e3$ versus $e4/e4$ (Least Significant Difference post-hoc test).
database. Each ADNI participant received a brain MRI scan yielding volumetric analysis along the same schedule as cognitive testing. To analyze the effect of the e4 allele on the atrophy rate during MCI and AD, raw volumetric data were converted to percentages using the data set from the baseline visit MRI scan as 100%. In addition to the whole brain volume, longitudinal volumetric data of the hippocampus, the entorhinal cortex, the fusiform gyrus, the middle temporal gyrus, and the ventricles were subjected to multilevel statistical modeling.

**Statistical analyses**

All cross-sectional and longitudinal data were analyzed across the e3/e3, e3/e4, and e4/e4 genotypes. One-way analysis of variance (ANOVA) followed by Least Significant Difference (LSD) test were used to test between-group differences of clinical and demographic data presented in Table 1.

Locally estimated scatterplot smoothing (LOESS) regression, traced with 70% smoothing and uniform distribution as pre-set parameters, was used for non-parametric, graphical representation of time and the e4 allele dependent trends in analyzed serial cognitive and volumetric measures. They also motivated the segmented linear mixed model (LMM) analysis [43] on the data taken before participants transitioned to AD dementia (i.e., when they carried an MCI diagnosis) and on the data taken on and after the transition to explicitly adjust for AD-dementia onset and account for the overall nonlinearity in time. All serial pre and post transition data sets were assessed for linearity (Supplementary Tables 1 and 2). The majority of volumetric and cognitive data sets revealed a linear relationship with time during each of the MCI and AD dementia segments, justifying the selection of LMM. Segmented LMM analysis exemplifies a multilevel modeling approach, and considers the data collected during repeated visits of each subject as a cluster allowing for comparison between rates of change even if subjects had different numbers of visits or were missing individual data points. Within each segment, the LMM also reduces non-random attrition bias and models random intercepts, and thus values of the dependent variable for each individual measure are predicted by the fixed effects including the intercept that varies across groups. A significant main effect of time in the LMM analysis would indicate that a given cognitive or volumetric measure changed significantly over time in all participants adjusted for demographics: sex, ADNI baseline visit age (for pre-transition analysis; MCI), transition age (for post-transition analysis; AD), and years of education. A significant main effect of the e4 allele would indicate that a baseline data set for a given measure varied significantly across e3/e3, e3/e4, and e4/e4 genotypes. The baseline data sets used for the pre-transition analysis were the data collected during the ADNI baseline visit, while the baseline data sets used for post-transition analysis were the data collected during the visit when a participant was diagnosed with AD dementia. A significant interaction between time and the e4 allele would indicate that the rate of cognitive decline or brain atrophy varied as a function of the e4 allele. This interaction would determine not only the overall magnitude of an e4 effect but also the allele-specific dose dependency pattern by directly comparing e3/e4 and e4/e4 genotypes. Additionally, stratified LMM analyses of cognitive measures were conducted on data collected after AD transition by stratifying the participants by median age of the transition (<76.1 years versus ≥ 76.1 years), sex, education (<16 years versus ≥ 16 years), and the ADNI study they were originally enrolled (ADNI-1 versus ADNI-GO/2). Race and ethnicity were excluded from the stratified analysis because of the low number of non-Whites (n < 10). These stratified LMM analyses tested interactions between the main effect of time and e4 allele separately for e3/e4 and e4/e4 genotypes with e3/e3 as the reference group. For each LMM analysis the p value and the regression coefficient (β) ± standard error (SE) were calculated.

A multiple linear regression model was used to compute yearly rates of change for all analyzed cognitive and volumetric measures in each genotype. Parameter estimates from LMM analysis were used as the dependent regression variables and time as the independent variable. Separate analyses were performed for all measures pre and post transition to AD dementia.

All statistical analyses were performed using IBM® SPSS® Statistics 25 (IBM Corp., Armonk, NY).

**RESULTS**

**Descriptive statistics**

Participants included in our analysis were in ADNI for an average of 5.6 ± 2.7 years (mean ± standard deviation) during which they had an average of 11 ± 5 visits (Table 1). 58.8% were males, 95.7% were whites and the average length of education was...
15.8 ± 2.8 years. ANOVA analysis revealed no significant differences in the length of follow up, years of education, and sex and ethnic composition across ε3/ε3, ε3/ε4, and ε4/ε4 genotype groups. However, statistically significant differences were found in respect to the baseline visit age ($F = 46.42, p = 0.000, \text{df} = 2, \text{mean square} = 2176.37$) and the age of MCI to AD dementia transition ($F = 76.62, p = 0.000, \text{df} = 2, \text{mean square} = 3913.97$). In the ε3/ε3 group the baseline visit age and the transition age were on average 75.6 years (± 7.4 years) and 78.1 years (± 8.3 years), respectively; while in the ε4/ε4 group they were 71.9 years (± 6.7 years) ($p < 0.05$; LSD post-hoc test versus ε3/ε3) and 73.9 years (± 6.9 years) ($p < 0.05$), respectively. In the ε3/ε4 group the baseline visit age and the transition age were 73.3 years (± 6.5 years) and 75.4 years (± 6.1 years), respectively; and although they fell between the values for ε3/ε3 and ε4/ε4 groups, they did not demonstrate statistically significant differences in the post-hoc analysis.

APOE e4 shows allele-dose effect on the rate of cognitive decline in AD dementia

From inspection of the LOESS regression and the graphical representation of data in Figs. 1 and 2, it

![Fig. 1. The effect of the APOE genotype on decline in cognitive measures before and after transition to AD dementia. Shown are individual data points and locally estimated scatterplot smoothing (LOESS) regression with 70% smoothing and uniform distribution for the following cognitive measures: Mini-Mental State Examination (MMSE) (A), Clinical Dementia Rating Sum of Boxes (CDR-SB) (B), Alzheimer’s Disease Assessment Scale-Cognitive Subscale (ADAS-11) (C), and Montreal Cognitive Assessment (MoCA) (D). Negative and positive values on the abscissa depict number of years before and after transition from MCI to AD dementia.](image)
Fig. 2. The effect of the APOE genotype on brain volumetric measures before and after transition to AD dementia. Shown are individual data points and locally estimated scatterplot smoothing (LOESS) regression with 70% smoothing and uniform distribution for the following volumetric measures: the whole brain (A), the ventricular system (B), the hippocampus (C), the entorhinal cortex (D), the middle temporal gyrus (E), and the fusiform gyrus (F). Negative and positive values on the abscissa depict number of years before and after transition from MCI to AD dementia. Values on the ordinate represent percent of the baseline volume calculated at the initial ADNI enrolment visit.
appears that decline in both serial cognitive and volumetric measures is progressive and shows ε4 allele-dependent trends. The nonparametric LOESS curves also indicated piecewise linear patterns pre- and post-AD transition for each of the analyzed APOE genotype, which was further confirmed by piecewise linearity analysis. These initial observations provided us with motivation and rationale to conduct segmented LMM analysis. All LMM modeled cognitive measures evidenced progressive decline pre- and post-AD dementia transition (statistically significant main effect of time), with the exception of pre-transition MoCA scores (Table 2, Fig. 1). There was no significant main effect of the ε4 allele on the baseline data sets for either pre- or post-transition analyses. Segmented LMM analysis showed no statistically significant interaction between main effects of time and ε4 allele for any cognitive measure before transition to AD dementia, i.e., during the MCI stage (Table 2). In stark contrast, post-transition analyses revealed a robust effect of the ε4 allele on the rate of cognitive decline. Highly significant interactions between main effects of time and ε4 allele were noted for all cognitive measures: MMSE (ε3/ε3 versus ε3/ε4 p = 0.000; ε3/ε4 versus ε4/ε4 p = 0.000), CDRSB (ε3/ε3 versus ε4/ε4 p = 0.000; ε3/ε4 versus ε4/ε4 p = 0.000).

Table 2
Segmented linear mixed models examining the predictive value of the ε4 allele for the yearly rate of cognitive decline in ADNI participants before and after transition from MCI to AD dementia (adjusted for time and demographics: sex, age at baseline, and years of education)

| Cognitive Measure | Factor | MCI β (SE) p | AD dementia β (SE) p |
|------------------|--------|--------------|---------------------|
| MMSE             | Time (y) | –0.454 (0.193) 0.019 | –2.021 (0.009) 0.000 |
|                  | ε3/ε4 versus ε3/ε3 | –0.244 (0.331) 0.461 | –0.079 (0.658) 0.904 |
|                  | ε4/ε4 versus ε3/ε3 | –0.178 (0.462) 0.701 | –1.253 (0.850) 0.142 |
|                  | ε4/ε4 versus ε3/ε4 | –0.066 (0.447) 0.882 | –1.174 (0.798) 0.142 |
|                  | ε3/ε4 versus ε3/ε3 x Time | –0.192 (0.115) 0.093 | –0.914 (0.165) 0.000 |
|                  | ε3/ε4 versus ε3/ε4 x Time | –0.140 (0.204) 0.493 | –1.222 (0.203) 0.000 |
|                  | ε4/ε4 versus ε3/ε3 x Time | –0.052 (0.214) 0.807 | –0.309 (0.193) 0.111 |
| CDR-SB           | Time (y) | 0.466 (0.095) 0.000 | 1.676 (0.089) 0.000 |
|                  | ε3/ε4 versus ε3/ε3 | 0.075 (0.174) 0.668 | 0.366 (0.407) 0.370 |
|                  | ε4/ε4 versus ε3/ε3 | 0.035 (0.241) 0.884 | 0.562 (0.524) 0.285 |
|                  | ε4/ε4 versus ε3/ε4 | –0.040 (0.232) 0.864 | 0.196 (0.490) 0.690 |
|                  | ε3/ε4 versus ε3/ε3 x Time | –0.044 (0.056) 0.428 | 0.633 (0.099) 0.000 |
|                  | ε3/ε4 versus ε3/ε4 x Time | –0.167 (0.100) 0.095 | 0.914 (0.119) 0.000 |
|                  | ε4/ε4 versus ε3/ε3 x Time | –0.123 (0.105) 0.243 | 0.281 (0.107) 0.009 |
| ADAS-11          | Time (y) | 0.924 (0.422) 0.029 | –4.053 (0.321) 0.000 |
|                  | ε3/ε4 versus ε3/ε3 | –1.351 (0.763) 0.078 | –0.248 (1.373) 0.857 |
|                  | ε4/ε4 versus ε3/ε3 | 0.924 (1.058) 0.390 | –1.301 (1.773) 0.464 |
|                  | ε4/ε4 versus ε3/ε4 | 0.441 (1.022) 0.666 | –1.053 (1.664) 0.527 |
|                  | ε3/ε4 versus ε3/ε3 x Time | –0.432 (0.248) 0.082 | 2.159 (0.325) 0.000 |
|                  | ε3/ε4 versus ε3/ε4 x Time | –0.247 (0.445) 0.579 | 2.408 (0.404) 0.000 |
|                  | ε4/ε4 versus ε3/ε4 x Time | 0.184 (0.468) 0.694 | 0.249 (0.385) 0.518 |
| MoCA             | Time (y) | –0.708 (0.677) 0.298 | –2.432 (0.233) 0.000 |
|                  | ε3/ε4 versus ε3/ε3 | –0.476 (0.862) 0.582 | –0.483 (1.030) 0.639 |
|                  | ε4/ε4 versus ε3/ε3 | 0.273 (1.199) 0.821 | –2.153 (1.289) 0.097 |
|                  | ε4/ε4 versus ε3/ε4 | –0.204 (1.148) 0.859 | –1.669 (1.230) 0.176 |
|                  | ε3/ε4 versus ε3/ε3 x Time | –0.157 (0.308) 0.611 | –0.844 (0.234) 0.000 |
|                  | ε3/ε4 versus ε3/ε4 x Time | 0.412 (0.702) 0.559 | –1.543 (0.288) 0.000 |
|                  | ε4/ε4 versus ε3/ε4 x Time | 0.255 (0.717) 0.723 | –0.699 (0.283) 0.014 |

(SE), standard error. MoCA scores were available only for 136 participants.

Table 3
Rates of yearly cognitive decline per APOE genotype before and after transition from MCI to AD dementia. Values are derived from a segmented multiple linear regression model and represent an average change in a given cognitive measure per year

| Cognitive Measure | MCI ε3/ε3 | MCI ε3/ε4 | MCI ε4/ε4 | AD dementia ε3/ε3 | AD dementia ε3/ε4 | AD dementia ε4/ε4 |
|-------------------|-----------|-----------|-----------|-------------------|-------------------|-------------------|
| MMSE              | –0.268 (0.042) | –0.342 (0.043) | –0.147 (0.110) | –0.656 (0.084) | –1.636 (0.074) | –1.899 (0.092) |
| CDR-SB            | +0.236 (0.021) | +0.192 (0.025) | +0.315 (0.059) | +0.493 (0.053) | +1.301 (0.043) | +1.464 (0.059) |
| ADAS-11           | +0.713 (0.096) | +0.625 (0.105) | +0.624 (0.255) | +1.333 (0.178) | +3.467 (0.163) | +3.420 (0.211) |
| MoCA              | –0.271 (0.067) | –0.139 (0.084) | –0.405 (0.409) | –0.755 (0.126) | –1.523 (0.095) | –2.274 (0.117) |

Values in parentheses indicate standard error.
Table 4
Stratified linear mixed models examining the effect of a single and double e4 allele for the rate of yearly cognitive decline after transition from MCI to AD dementia. For all comparisons the reference group was e3/e3.

| Stratification   | n   | e3/e4 | e4/e4 | e3/e4 | e4/e4 | e3/e4 | e4/e4 | e3/e4 | e4/e4 | e3/e4 | e4/e4 | e3/e4 | e4/e4 | e3/e4 | e4/e4 | e3/e4 | e4/e4 | e3/e4 | e4/e4 |
|------------------|-----|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
|                  |     | MMSE  | CDR-SB| ADAS-1| MoCA  | MMSE  | CDR-SB| ADAS-1| MoCA  | MMSE  | CDR-SB| ADAS-1| MoCA  | MMSE  | CDR-SB| ADAS-1| MoCA  | MMSE  | CDR-SB| ADAS-1| MoCA  |
| Age ≤ 76.1 y     | 106 | -0.914 (0.165) | 0.000 | 0.633 (0.099) | 0.000 | 2.159 (0.325) | 0.000 | -0.844 (0.234) | 0.002 | -1.222 (0.203) | 0.000 | 0.914 (0.119) | 0.000 | 2.408 (0.404) | 0.000 | -1.543 (0.288) | 0.000 |
| Age > 76.1 y     | 105 | -0.150 (0.240) | 0.532 | 0.120 (0.140) | 0.391 | 0.640 (0.438) | 0.145 | -0.108 (0.329) | 0.745 | 0.187 (0.365) | 0.608 | 0.272 (0.242) | 0.262 | 0.355 (0.646) | 0.583 | 0.035 (0.490) | 0.943 |
| Female           | 87  | -1.070 (0.241) | 0.000 | 0.972 (0.139) | 0.000 | 2.576 (0.441) | 0.000 | -1.191 (0.311) | 0.000 | -2.306 (0.353) | 0.000 | 1.655 (0.223) | 0.000 | 5.121 (0.702) | 0.000 | -2.410 (0.478) | 0.000 |
| Male             | 124 | -0.757 (0.225) | 0.001 | 0.253 (0.141) | 0.073 | 1.684 (0.466) | 0.000 | -0.478 (0.349) | 0.173 | -0.603 (0.249) | 0.016 | 0.496 (0.146) | 0.001 | 0.967 (0.514) | 0.061 | -0.961 (0.379) | 0.012 |
| < 16 y of education | 76  | -1.061 (0.225) | 0.000 | 0.849 (0.142) | 0.000 | 2.718 (0.423) | 0.000 | -0.719 (0.329) | 0.031 | -1.211 (0.298) | 0.000 | 1.228 (0.186) | 0.000 | 3.222 (0.565) | 0.000 | -1.154 (0.465) | 0.014 |
| ≥ 16 y of education | 135 | -0.684 (0.240) | 0.005 | 0.318 (0.143) | 0.026 | 1.396 (0.473) | 0.003 | -0.675 (0.349) | 0.054 | -0.868 (0.240) | 0.000 | 0.545 (0.160) | 0.001 | 1.450 (0.559) | 0.010 | -1.395 (0.392) | 0.000 |
| ADNI-1           | 152 | -0.982 (0.180) | 0.000 | 0.688 (0.108) | 0.000 | 2.287 (0.360) | 0.000 | -1.414 (0.327) | 0.000 | -1.088 (0.280) | 0.000 | 0.545 (0.160) | 0.001 | 1.450 (0.559) | 0.010 | -1.395 (0.392) | 0.000 |
| ADNI-GO/2        | 59  | -0.741 (0.376) | 0.050 | 0.459 (0.228) | 0.046 | 1.841 (0.732) | 0.013 | -0.134 (0.355) | 0.708 | -0.191 (0.214) | 0.000 | 0.791 (0.128) | 0.000 | 2.324 (0.436) | 0.000 | -2.034 (0.358) | 0.000 |
|                  |     | e3/e4 | e4/e4 | e3/e4 | e4/e4 | e3/e4 | e4/e4 | e3/e4 | e4/e4 | e3/e4 | e4/e4 | e3/e4 | e4/e4 | e3/e4 | e4/e4 | e3/e4 | e4/e4 | e3/e4 | e4/e4 | e3/e4 | e4/e4 |

(SE), standard error; y, years.
Demographically stratified analysis suggests that APOE e4 allele effect is more prevalent in younger and in female participants

Demographically stratified analyses were conducted on cognitive data taken after transition from MCI to AD dementia. Table 4 details the interaction between main effect of time and e4 allele (separately for e3/e4 heterozygotes and e4/e4 homozygotes) in participants stratified by age, sex, education level, and the ADNI study they originally enrolled. For age stratification we arbitrarily used the average transition age of the entire analyzed cohort, to separate younger and older participants. For participants who were younger than 76.1 years at the transition to AD dementia there was a strong significant interaction between time and both e3/e4 and e4/e4 genotypes for all analyzed cognitive measures. In contrast, participants who were older than 76.1 years at the age of dementia transition, no significant interaction between the main effects for any of cognitive measures was observed. Also, a strongly significant main effect interaction for all analyzed cognitive measures was detected in female e3/e4 and e4/e4 participants, while in male participants the significant interaction between time and both e3/e4 and e4/e4 genotypes was seen only for MMSE. In males, there also was a significant interaction between time and the e3/e4 genotype for ADAS-11 and between time and the e4/e4 genotype for CDR-SB and MoCA. Stratification by the number of years of education showed no fundamental differences in e4 allele-associated effects. Both in participants with less than 16 years of education and those with 16 years or more, all analyzed cognitive measures showed a significant interaction between time and e4 allele, except for the e3/e4 genotype on the MoCA scores in the latter group. The e4 allele-associated effect were somewhat more prevalent among participants recruited during ADNI-1 than ADNI-GO/2 studies. In the former, a highly significant interaction between time and both e3/e4 and e4/e4 genotypes was appreciated for all cognitive measures, while in the latter it was not significant on the MMSE scores for the e3/e4 genotype and on the MoCA scores for both genotypes.

APOE e4 allele is associated with higher rates of brain atrophy after transition to AD dementia

Modeling of longitudinal volumetric data before transition to AD did not reveal a consistently significant atrophy pattern across the APOE genotypes. In contrast, modeling of the data collected on and after the AD transition showed a statistically significant main effect of time on the atrophy of all analyzed brain structures (Fig. 2, Table 5), while the main effect of the e4 allele on the baseline data set for the post-transition analysis was not significant. A significant interaction between main effects of time and e4 allele indicating increased atrophy rates among e4 carriers was detected for the serial volumetric data of the whole brain (e3/e3 versus e3/e4, \( p = 0.000 \); e3/e3 versus e4/e4, \( p = 0.001 \)), the hippocampus (e3/e3 versus e3/e4, \( p = 0.000 \); e3/e3 versus e4/e4, \( p = 0.042 \)), the middle temporal gyrus (e3/e3 versus e3/e4, \( p = 0.000 \); e3/e3 versus e4/e4, \( p = 0.027 \)), and the ventricles (e3/e3 versus e3/e4, \( p = 0.000 \); e3/e3 versus e4/e4, \( p = 0.000 \) ) (Table 5). The yearly rate of whole brain atrophy determined from the multiple regression model was 1.8-fold greater among e3/e4 participants and 1.9-fold greater among e4/e4 participants compared to e3/e3 participants (Table 6). Atrophy rates of the hippocampus were 1.7-fold and 1.5-fold greater in e3/e4 and e4/e4 participants than in e3/e3 participants, respectively. For the middle temporal gyrus, the yearly atrophy rate was increased 3.1-fold in e3/e4 participants and 2.3-fold in e4/e4 participants compared to e3/e3 participants, while the yearly rate of the ventricular system expansion was 2.4-fold greater in e3/e4 participant and 2.3-fold greater in e4/e4 participants than in e3/e3 participants. There was no significant interaction between the main effect of time and the number of e4 allele copies indicating no added effects of the second e4 allele on the brain atrophy progression.
Table 5
Segmented linear mixed models examining the predictive value of the e4 allele for the yearly rate of change in brain volume in ADNI participants before and after their transition from MCI to AD dementia (adjusted for time and demographics: sex, age at baseline, and years of education)

| Volumetric measure | Factor | MCI | \(\beta\) (SE) | p | AD dementia | \(\beta\) (SE) | p |
|--------------------|--------|-----|-------------|--|-------------|-------------|---|
| Whole Brain        | Time (y) | -0.565 (0.198) | 0.004 | -1.869 (0.170) | 0.000 |
|                    | e4/e4 versus e3/e3 | 0.664 (0.351) | 0.060 | 0.779 (0.517) | 0.133 |
|                    | e4/e4 versus e3/e3 | -1.307 (0.485) | 0.007 | -1.127 (0.662) | 0.090 |
|                    | e4/e4 versus e3/e4 | -0.643 (0.467) | 0.170 | -0.348 (0.607) | 0.566 |
|                    | e3/e4 versus e3/e4 x Time | 0.062 (0.119) | 0.604 | -0.650 (0.177) | 0.000 |
|                    | e4/e4 versus e3/e3 x Time | -0.248 (0.211) | 0.240 | -0.711 (0.222) | 0.001 |
|                    | e4/e4 versus e3/e4 x Time | -0.186 (0.220) | 0.399 | 0.062 (0.200) | 0.758 |
| Hippocampus        | Time (y) | -2.549 (0.504) | 0.000 | -3.783 (0.357) | 0.000 |
|                    | e3/e4 versus e3/e3 | -1.184 (1.122) | 0.293 | -1.294 (1.270) | 0.039 |
|                    | e4/e4 versus e3/e3 | -1.351 (1.487) | 0.365 | 0.257 (1.598) | 0.872 |
|                    | e4/e4 versus e3/e4 | -2.535 (1.385) | 0.069 | -1.037 (1.420) | 0.466 |
|                    | e3/e4 versus e3/e4 x Time | -1.310 (0.310) | 0.000 | -1.529 (0.372) | 0.000 |
|                    | e4/e4 versus e3/e4 x Time | 0.224 (0.546) | 0.682 | -0.966 (0.472) | 0.042 |
| Fusiform Gyrus     | Time (y) | -0.646 (0.425) | 0.129 | -2.815 (0.443) | 0.000 |
|                    | e3/e4 versus e3/e3 | -2.743 (0.740) | 0.000 | -2.193 (1.263) | 0.084 |
|                    | e4/e4 versus e3/e3 | 1.031 (1.004) | 0.306 | -0.047 (1.645) | 0.977 |
|                    | e4/e4 versus e3/e4 | -1.713 (0.928) | 0.066 | -2.241 (1.445) | 0.123 |
|                    | e3/e4 versus e3/e4 x Time | -0.930 (0.279) | 0.000 | -1.178 (0.470) | 0.013 |
|                    | e4/e4 versus e3/e4 x Time | 0.416 (0.469) | 0.375 | -0.629 (0.587) | 0.285 |
|                    | e4/e4 versus e3/e4 x Time | -0.514 (0.469) | 0.274 | -0.549 (0.519) | 0.291 |
| Entorhinal Cortex  | Time (y) | -0.915 (1.118) | 0.413 | -5.163 (1.016) | 0.000 |
|                    | e3/e4 versus e3/e3 | -1.226 (1.919) | 0.524 | -2.442 (2.655) | 0.359 |
|                    | e4/e4 versus e3/e3 | 0.278 (2.609) | 0.915 | -3.455 (3.448) | 0.318 |
|                    | e4/e4 versus e3/e4 | -0.948 (2.414) | 0.695 | -5.898 (3.034) | 0.053 |
|                    | e3/e4 versus e3/e4 x Time | -0.534 (0.733) | 0.467 | -2.551 (1.074) | 0.018 |
|                    | e4/e4 versus e3/e4 x Time | 0.211 (1.233) | 0.865 | -3.079 (1.346) | 0.023 |
|                    | e4/e4 versus e3/e4 x Time | -0.324 (1.233) | 0.793 | -0.528 (1.188) | 0.657 |
| Middle Temporal Gyrus | Time (y) | -0.671 (0.403) | 0.097 | -3.331 (0.431) | 0.000 |
|                    | e4/e4 versus e3/e3 | -1.648 (0.700) | 0.019 | 0.358 (1.393) | 0.797 |
|                    | e4/e4 versus e3/e4 | -0.185 (0.950) | 0.846 | -2.239 (1.820) | 0.220 |
|                    | e4/e4 versus e3/e4 x Time | -1.834 (0.879) | 0.038 | -1.881 (1.600) | 0.240 |
|                    | e3/e4 versus e3/e4 x Time | -0.934 (0.265) | 0.000 | -2.228 (0.459) | 0.000 |
|                    | e4/e4 versus e3/e4 x Time | 0.422 (0.445) | 0.343 | -1.269 (0.572) | 0.027 |
|                    | e4/e4 versus e3/e4 x Time | -0.512 (0.445) | 0.250 | -0.960 (0.506) | 0.059 |
| Ventricles         | Time (y) | 8.863 (1.090) | 0.000 | 13.276 (0.985) | 0.000 |
|                    | e3/e4 versus e3/e3 | 3.815 (2.791) | 0.173 | 2.826 (5.540) | 0.611 |
|                    | e4/e4 versus e3/e3 | 0.380 (3.693) | 0.918 | 4.945 (7.062) | 0.485 |
|                    | e4/e4 versus e3/e4 | 4.195 (3.520) | 0.234 | 2.119 (6.454) | 0.743 |
|                    | e3/e4 versus e3/e4 x Time | 5.024 (0.661) | 0.000 | 6.983 (1.064) | 0.000 |
|                    | e4/e4 versus e3/e4 x Time | 2.749 (1.156) | 0.018 | 5.470 (1.307) | 0.000 |
|                    | e4/e4 versus e3/e4 x Time | 2.275 (1.215) | 0.062 | 1.513 (1.169) | 0.196 |

(\(SE\), standard error.

The only analyzed brain structure where a significant interaction between main effects of time and e4 allele was not detected was the fusiform gyrus.

**DISCUSSION**

Though the e4 allele is the foremost recognized factor controlling the risk of late onset AD and conversion from MCI to AD dementia, it remains unclear whether it also independently affects the rate of disease progression. Our segmented LMM modeling of the longitudinal cognitive data from ADNI participants who during the study transitioned from MCI to dementia and received an AD diagnosis, revealed significant associations between the e4 allele and accelerated rates of decline in MMSE, CDR-SB, ADAS-11 and MoCA scales during the dementia stage of AD, with CDR-SB and MoCA showing e4 allele-dose dependency. These e4 allele-associated effects were verified to be stable and reproducible through bootstrap-based stability analysis performed on all segmented LMM analyses yielding \(p < 0.05\).
Table 6
Rates of yearly volumetric changes in the entire cohort (All) and by APOE genotype before and after transition from MCI to AD dementia. Values are derived from a segmented multiple linear regression model and represent an average change in a given volumetric measure per year. Values in parentheses indicate standard error.

| Volumetric Measure       | All       | ε3/ε3     | ε3/ε4     | ε4/ε4     | All       | ε3/ε3     | ε3/ε4     | ε4/ε4     |
|--------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Whole Brain              | -0.083 (0.029) | -0.230 (0.045) | +0.067 (0.046) | -0.146 (0.067) | -1.634 (0.038) | -1.014 (0.068) | -1.865 (0.055) | -1.879 (0.054) |
| Hippocampus              | -0.222 (0.101) | -0.245 (0.140) | -0.231 (0.184) | -0.715 (0.213) | -3.960 (0.094) | -2.706 (0.152) | -4.483 (0.135) | -4.052 (0.105) |
| Fusiform Gyrus           | -0.102 (0.049) | +0.048 (0.065) | -0.060 (0.079) | -0.433 (0.105) | -2.909 (0.090) | -1.687 (0.184) | -3.476 (0.098) | -2.850 (0.167) |
| Entorhinal Cortex        | +0.363 (0.111) | +0.207 (0.168) | +0.694 (0.185) | -0.091 (0.293) | -4.135 (0.158) | -1.173 (0.323) | -4.703 (0.161) | -5.981 (0.314) |
| Middle Temporal Gyrus    | +0.048 (0.047) | +0.175 (0.056) | -0.024 (0.084) | -0.343 (0.104) | -3.374 (0.113) | -1.417 (0.188) | -4.348 (0.141) | -3.190 (0.184) |
| Ventricles               | +0.472 (0.292) | +0.866 (0.308) | -0.219 (0.649) | +1.487 (0.641) | +12.091 (0.466) | +6.060 (0.557) | +14.336 (0.794) | +13.688 (0.585) |

As indicated in the Introduction, previous analyses examining the effect of the ε4 allele on clinical progression of AD have yielded widely inconsistent findings. These past studies varied in their selection of cognitive metrics, cross-sectional versus longitudinal designs, and in their choice of statistical approaches [2, 11–26]. They also recruited participants with previously established AD diagnoses, which disallowed controlling for disease onset and over-relied on clinical criteria without biomarker aid. Only recently, an LMM analysis of 10-year CDR-SB longitudinal data in 592 CSF biomarker-confirmed AD subjects was published demonstrating a significant effect of the ε4 allele but not that of ε4 allele-dose on the rate of CDR-SB decline [23]. As CSF biomarkers were available only for some ADNI participants, we used the absence of diagnostic reversion as an additional criterion to validate AD diagnosis. Our segmented LMM modeling of longitudinal cognitive data explicitly adjusted for disease onset showed evidence to date that possession of the ε4 allele is associated with a more aggressive clinical outcome during the dementia stage of AD.

The stratified analyses revealed that the ε4 allele effect was more prevalent in younger participants and females. This finding remains consistent with previously reported observations of accelerated rates of brain atrophy in regions particularly susceptible to deposition of neurofibrillary tangles and neuronal loss in younger AD patients who possess the ε4 allele [46]. In addition, ε4 carriers are known to experience greater degrees of age-related cognitive decline, hence by virtue of diminished brain reserve they are more susceptible to the clinical manifestations of AD pathology [47–51].

On the other hand, we found that a sub-cohort of ε4 carriers who develop AD at an older age feature a more indolent disease course. One can hypothesize these individuals may benefit from the presence of additional disease-related genes or environmental factors.
genetic covariates attenuating the deleterious effects of e4 allele. A recently identified example of such a genetic covariate with protective properties against the e4 effect is Klotho VS heterozygosity [52]. For the purpose of our analysis, we separated younger and older participants using the mean age of AD transition for the entire analyzed cohort, which was 76.1 years. As this was an arbitrary assumption, we do not intend to imply that the interaction between age and the e4 allele ceases at this particular age. Whether this interaction, as most biological processes do, transitions gradually or in fact changes at a sharply demarcated time point would require exploration of a larger cohort. While women are recognized as having a greater chance of developing AD than men [53], there are recent imaging data that female AD patients also experience a more aggressive disease course underscored by faster progression of brain atrophy [54], greater tau accumulation [55], and lower resilience to tau pathology suggested by reduced fluorodeoxyglucose uptake within the entorhinal cortex [56]. There also are clinical cross-sectional studies comparing cognitive scores of men and women carrying MCI diagnosis, which showed the cognitive scores to be significantly lower in females [57–59]. Biological reasons for increased susceptibility of women to AD, and more aggressive disease course, are yet unclear but likely multifactorial. Recently published results of multimodal brain imaging studies interrogating sex differences in the development of the AD endophenotype imply that the preclinical AD phase starts in women earlier than in men and coincides with the perimenopausal endocrine transition [60]. The perimenopausal endocrine transition is also associated with metabolic changes including an increased dependence of the brain metabolism on fatty acid, which has been linked to an increased susceptibility to neurodegeneration particularly among e4 carriers [61]. In fact, our longitudinal modeling of ADNI data reveals more robust effect of the e4 allele on the tempo of cognitive decline in female participants than in male participants. Since women live statistically longer than men one can suggest older age as the main factor underlying increased disease risk and greater susceptibility to AD pathology in females. To probe this notion, we compared the average age of MCI to AD transition between female and male participants, which was 74.7 ± 8.2 years and 77.0 ± 6.3 years (t(209) = 2.2, p = 0.03), respectively. This observation suggests that the association between female sex and higher AD risk is not simply from greater longevity in females. There was no meaningful differences when the participants were stratified by median education level, which in this study was 16 years. Although higher education level is considered protective against AD symptoms, in ADNI most of the enrollees appear to hold undergraduate or graduate degrees, which likely provides similar levels of protection against the disease. Lastly, we found the e4 effect to be more prevalent among the participants enrolled in ADNI-1 than among those enrolled during ADNI-GO/2. This difference can be explained by a significantly higher number of participants and associated data points selected to this analysis from the former than from the latter study (1,649 ADNI-1 visits versus 536 ADNI-GO/2 visits).

APOE e4 carriers who present with MCI symptoms are at increased risk of conversion to AD dementia compared to non-carriers [29–33]. Despite this well-established fact, an association between the e4 allele and the rate of decline in cognitive metrics during MCI was not found by this study on any of the analyzed cognitive measures. It is possible that the diminutive effect of the e4 allele in MCI is from a smaller number of data points (859 MCI visits versus 1,326 AD dementia visits), a shorter period of follow up, and generally slower rates of cognitive decline during the MCI stage compared to the AD dementia stage. Relative insensitivity of psychometric scales to track progression of cognitive decline during MCI also may play a role here and likewise constitute a recognized concern in the design of clinical trials focused on MCI population [34]. Thus, new cognitive measures providing more reliable and precise quantification of cognitive decline rate during MCI are being developed and validated [62, 63]. As the ADNI study progresses and accumulates more data in MCI participants, the analysis of e4 effect on the rate of cognitive decline during MCI shall be reexamined.

Consistent with a steeper decline in longitudinal cognitive data, our segmented LMM analyses also revealed that e4 carriers experience faster tempo of brain atrophy after the transition to AD dementia. Although differences in the brain volume between e4 carriers and non-carriers have been shown before, cross-sectional designs utilized by most of the past studies precluded drawing direct conclusions about the relationship between the e4 allele and the tempo of atrophy progression. Previous cross-sectional analyses found particularly strong differences in the degree of atrophy concerning the mesial temporal lobe [64] and discrete areas of the neocortex [65] when comparing e4 allele carriers to non-carriers.
Our segmented LMM modeling of ADNI longitudinal volumetric data additionally revealed significant associations between the possession of the e4 allele and the atrophy rate of the hippocampus, the entorhinal cortex, and the middle temporal gyrus. However, the strongest predictors of the e4 effect in our study were found to be the atrophy of the whole brain and the volume of the ventricular system. Interestingly, we found that although possession of the e4 allele predicts accelerated atrophy in most of the analyzed brain structures, this effect did not differ between carriers of a single versus two e4 alleles. Like the segmented LMM analysis of cognitive metrics, the segmented LMM analysis of longitudinal volumetric data during MCI did not demonstrate a consistent effect of the e4 allele on the rate of atrophy in either of the analyzed structures. However, the main effect of time on the volumetric changes in the pre-transition analyses was less conspicuous than that in the post-transition analyses, with some structures even presenting temporal increase in volume before they reverted to atrophy. This transient volume increase during MCI has been reported before and its reversion to atrophy coincides with the timing of massive tau deposition [66].

Overall results of our study support a hypothesis that the e4 allele may promote AD pathogenic mechanisms downstream to Aβ deposition, which include tauopathy, neuroinflammation, and the adaptive plasticity response of neuronal networks. There have been recent clinical reports implicating the e4 allele in propagating development of neurofibrillary pathology. Several positron emission tomography studies utilizing tau specific ligands have directly correlated the e4 allele with an increased ligand retention [67, 68], and this effect was shown to be further potentiated by the interaction between the e4 allele and female sex [69]. Likewise, neuropathological analysis of primary tauopathies have suggested that possession of an e4 allele exacerbates regional neurodegeneration [23]. Recently, the promoting effect of the e4 allele on neurofibrillary pathology was experimentally reproduced in a PS19 transgenic tauopathy model mice, where targeted replacement of the murine Apoe gene for the human e4 allele increased tau accumulation compared to mice expressing e2 or e3 alleles [23]. Interestingly, PS19 mice expressing the e4 allele also exhibit pronounced atrophy of the whole brain, the hippocampus, and expansion of the ventricular system akin to the findings reported by this study. Further evidence from these animal models have shown that the e4 allele promotes inflammatory microglia activation [23, 70], and that hyperactive microglia contribute importantly to tissue damage and exacerbates tau mediated neurodegeneration [71]. While in homeostatic microglia APOE expression is dormant, the transcriptomic profile of neurodegenerative phenotype microglia, isolated from the brains of AD subjects and AD transgenic model mice, evidences greatly elevated APOE expression [72–74]. The APOE genotype was shown to differentially regulate the microglial neurodegenerative phenotype, and the e4 allele was found to exert a strong proinflammatory effect [23, 71, 75]. Furthermore, the contribution of chronic, low-grade peripheral inflammation to the risk of AD through the interaction with inflammation-prone, aging microglia has been proposed [76–78] and particularly strong clinical evidence for this association has been found among e4 allele carriers [79]. In addition, there is a well-recognized involvement of apoE in the mechanisms underlying the long-term plasticity of neuronal circuits. This effect also is differentially modulated by the APOE genotype and carriers of the e4 allele show diminished adaptive plasticity during normal aging and AD [7, 47]. The interplay between neuroplasticity and neurodegeneration appears to be critical during the transition from MCI to AD dementia. The two negative findings of this study, i.e., the lack of a significant e4 effect on the rate of cognitive decline and brain atrophy during the MCI stage seem to support this notion. During MCI, the neuroplasticity response in e4 carriers may still operate within an acceptable range, but it easily decompensates when challenged by e4-driven neurodegeneration during the late MCI phase. The relative contribution of various e4-related mechanisms to AD progression shall be elucidated by further studies taking into account the disease stage and the e4 allele-dose dependency. Transgenic mouse models, which express human apoE isoforms can be used to study e4-dependent effects on Aβ deposition, tauopathy, neuroinflammation, and neuroplasticity [23]. Findings of our study also suggest that the APOE genotype should be taken into consideration when designing AD research studies and especially clinical trials of disease modifying therapeutics.

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SUPPLEMENTARY MATERIAL

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