We show the rigid singularity theorem, that is, a globally hyperbolic spacetime satisfying the strong energy condition and containing past trapped sets, either is timelike geodesically incomplete or splits isometrically as space × time. This result is related to Yau’s Lorentzian splitting conjecture.

30 years ago, Penrose-Hawking have shown that spacetimes are geodesically incomplete under some physically reasonable conditions [1, 2, 3, 4]. The generic condition is the key assumption to induce singularities rigidly. Geroch improved these theorems with “no observer horizon” condition in place of the generic condition for the spatially closed universe [5, 6, 7]. Here, the “no observer horizon” condition is that for some \( p \in M \), the set \( M \setminus [I^+(p) \cap I^-(p)] \) is compact (This definition is what Bartnik improved the original Geroch’s definition [8]). Under this assumption Geroch proved that a globally hyperbolic spacetime which has compact acausal hypersurfaces without edge (i.e. compact Cauchy surfaces) is geodesically incomplete or Ricci flat.

In this statement flatness implies that the spacetime is static, i.e. a timelike Killing vector field is orthogonal to a family of spacelike hypersurfaces, (of course, there exists a static and non-flat spacetime, e.g. the Einstein static universe). Unfortunately, The Geroch’s proof is incomplete since it relies on erroneous assertions of Avez. The correction for the error was established by Bartnik [9]. Galloway modified Geroch’s theorem [10]. He investigated various equivalent forms of a “no observer horizon” type condition. Bartnik conjectured that the spacetime splits isometrically as space × time, i.e. static if spacetimes, which are timelike geodesically complete and globally hyperbolic with compact Cauchy surfaces, satisfy the timelike convergence condition (the strong energy condition). Eschenburg and Galloway proved the Bartnik’s conjecture, since the former assumes the existence of a timelike line whereas the latter assumes the existence of a compact spacelike hypersurface.

The purpose of this paper is to show the rigid singularity theorem in globally hyperbolic spacetimes, that is:

**Theorem 1** A spacetime \((M, g)\), which is timelike geodesically complete or globally hyperbolic, obeys the timelike convergence condition (the strong energy condition) and has a complete timelike line as well, splits as an isometric product \((\mathbb{R} \times V, -dt^2 \oplus h)\). \(\Box\)

(The Lorentzian splitting theorem differs slightly from Bartnik’s conjecture, since the former assumes the existence of a timelike line whereas the latter assumes the existence of a compact spacelike hypersurface. The advantage of Eschenburg and Galloway’s theorem [10] is that there always exists a line under the modified “no observer horizon” condition if there exists a compact spacelike hypersurface.)

The purpose of this paper is to show the rigid singularity theorem in globally hyperbolic spacetimes, that is:

**Theorem 2** Let \((M, g)\) be a spacetime which has the following properties: (A) \((M, g)\) is globally hyperbolic, (B) \((M, g)\) satisfies the timelike convergence condition, i.e., \(\mathcal{R}_{ab}K^aK^b \geq 0\) for all timelike vector \(K^a\), and (C) for each closed set \(C \subset S\), \(E^-(C)\) is compact where \(S\) is a Cauchy surface. Then \((M, g)\) either is timelike geodesically incomplete or splits into the Lorentzian product of \((\mathbb{R}, -dt^2)\) and \((S, h)\), where \(S\) is a smooth compact spacelike hypersurface and \(h\) is the induced metric on \(S\).

**Remark.** Condition (C) has two physical properties. One of them is that, with condition (A), the spacetime is spatially closed, i.e. there exist compact Cauchy surfaces (shown in Lemma 1). The other is that the spacetime has no past observer horizons. This condition is weaker than Bartnik’s condition since it requires both past and future.

Our notation and fundamental definitions (such as \(I^\pm, J^\pm, E^\pm\)) are the same as those of Hawking and Ellis [4], and Beem et al. [11] unless otherwise mentioned. Let \(M\) be a timelike geodesically complete, time-oriented Lorentzian manifold. A spacetime \(M\) is said to be globally hyperbolic if the strong causality condition holds on \(M\) and if for any two points \(p, q \in M\), \(J^+(p) \cap J^-(q)\) is compact. Global hyperbolicity of \(M\) implies the existence of a Cauchy surface for \(M\). The Cauchy surface is a subset of \(M\) which every inextendible nonspacelike curves intersect exactly once.

In order to prove the rigid singularity theorem we need a trapped set. We call an achronal set \(S\) as future (or past) trapped if \(E^+(S)\) (or \(E^-(S)\)) is compact. In spacetimes satisfying the strong energy condition, one of the following conditions implies the existence of a trapped
set. These are the existence of (1) a compact achronal set without edge, (2) a closed trapped surface and (3) a point \( p \) such that on every past (or future) null geodesic from \( p \) the expansion of the null geodesics from \( p \) becomes negative. This means that in the universe gravitational attraction by the matter surpasses repulsion. To apply the nature of trapped sets to our aim, the next proposition is useful.

**Proposition 1** (Proposition 6.3.1 of Hawking and Ellis [1]) If \( S \) is a future set then \( \tilde{S} \) is a closed, imbedded, achronal three dimensional \( C^1 \) submanifold. \( \square \)

In order to prove our main theorem we need to derive following two lemmas.

**Lemma 1** A globally hyperbolic spacetime \((M, g)\) contains a compact acausal hypersurface without edge (i.e. a compact Cauchy surface) if the spacetime \((M, g)\) contains a future (or past) trapped set \( T \).

**Proof:** \( E^+(T) \) is a compact since \( T \) is a trapped set. Also \( E^+(T) = \hat{I}^+(T) \) because of the spacetime being globally hyperbolic, i.e. necessarily causally simple. Furthermore, \( \hat{I}^+(T) \) is edgeless since it is the full boundary of the future of \( T \) and, by Proposition 1, \( \hat{I}^+(T) \) is a closed, imbedded, achronal three-dimensional \( C^1 \) submanifold. Thus, \( E^+(T) \) is a compact achronal hypersurface without edge. Let \( S \) be a Cauchy surface in \( \hat{I}^-(E^+(T)) \). \((M, g)\) admits a past directed \( C^1 \) timelike vector field. Each integral curve of the field intersects \( S \) and \( E^+(T) \) at most once. Therefore, we can define a continuous one-to-one map \( \phi : E^+(T) \to S \). Since \( E^+(T) \) is compact, its image \( \phi(E^+(T)) \) is also compact and homeomorphic to \( E^+(T) \). Thus, \( \phi(E^+(T)) \) is a three-dimensional manifold without boundary. If \( S \) is non-compact, \( \phi(E^+(T)) \) must have a boundary in \( S \). This leads to contradiction. Thus, \( S \) is a compact Cauchy surface. \( \square \)

**Remark.** Lemma 1 closely relates to corollary 1 in Galloway’s paper [16]. He has shown that a compact connected locally achronal edgeless subset is a Cauchy surface if the spacetime is globally hyperbolic. \( \square \)

**Lemma 2** Let \((M, g)\) be a spacetime which is timelike geodesically complete and satisfies the conditions \((A)\) and \((C)\) in Theorem 2. Then \((M, g)\) contains a timelike line.

**Proof:** From Lemma 1 there exists a compact Cauchy surface \( S \). Then, by standard arguments [17] one can construct a timelike or null line \( \mu \). Let \( \mu \) be a null line and meet \( S \) at \( p \). The portion \( \tilde{\mu} \) of \( \mu \) to the past of \( p \) is contained in \( I^-(p) \) or in \( E^-(p) \). If \( \tilde{\mu} \) is in \( I^-(p) \), the point \( p \) would connect with a point on \( \tilde{\mu} \) by timelike curves. This contradicts the statement that \( \mu \) is a line.

If \( \tilde{\mu} \) is in \( E^-(p) \), \( \mu \) is past imprisoned in a compact set \( E^-(p) \) as condition \((C)\). This contradicts the condition that the spacetime is strongly causal. Thus \( \mu \) must be timelike. \( \square \)

By above lemmas we can prove the rigid singularity theorem in globally hyperbolic spacetimes.

**Proof of theorem 2:** Suppose that the spacetime is timelike geodesically complete. By Lemma 2, there exists a timelike line. Since condition \((B)\) holds on \((M, g)\), by Theorem 1, the spacetime splits as an isometric product \((R \times S, -dt^2 + h)\). Thus, the proof is complete. \( \square \)

In summary, we would like to comment on condition \((C)\) which is one of several “no observer horizon” conditions. At present, the weakest one is Galloway and Horta’s condition [5]. Ours is derived from Eschenburg and Galloway’s condition [4] which is stronger than Galloway and Horta’s one. Therefore, we do not yet succeed to generalize previous works. The advantage of ours is, however, that we have proved the existence of a compact Cauchy surface from condition \((C)\). (Other authors have assumed the existence of it. Several authors of them think that this assumption is perfectly natural.) Therefore, two assumptions, the existence of a compact spatial section and no observer horizon, are not independent each other, since they are directly derived from condition \((C)\). This suggests that condition \((C)\) is the prime condition to prove the rigid singularity theorem. The present study takes a step forward as compared with the previous results [4], [5], [16], but we are still far from the complete proof of Bartnik’s conjecture. To do this, probably, the energy condition will play an important role since we must exclude asymptotically de Sitter-like spacetimes.

---

1. R. Penrose, Phys. Rev. Lett. **14**, 57 (1965).
2. S. W. Hawking, Phys. Rev. Lett. **17**, 444 (1966).
3. S. W. Hawking and R. Penrose, Proc. Roy. Soc. Lond. **A314**, 529 (1970).
4. S. W. Hawking and G. F. R. Ellis, *The large scale structure of space-time* (Cambridge University Press, Cambridge, 1973).
5. R. Geroch, Phys. Rev. Lett. **17**, 445 (1966).
6. R. Geroch, In: *Relativity*, eds. M. Cameli, S. Fickler and L. Witten (Plenum Press, New York, 1970), P.259.
7. R. Bartnik, Commun. Math. Phys. **117**, 615 (1988).
8. G. J. Galloway, Commun. Math. Phys. **96**, 423 (1984).
9. J.-H. Eschenburg and G. J. Galloway, Commun. Math. Phys. **148**, 209 (1992).
10. G. J. Galloway and A. Horta, Trans. Amer. Math. Soc. **348**, 2063 (1996).
[11] S. -T. Yau, In: *Seminar in differential geometry, Ann. of Math. Stud., Vol.102*, ed. S. -T. Yau (Princeton University Press, Princeton, 1982), p.669.

[12] J. -H. Eschenburg, J. Differ. Geom. **27**, 477 (1988).

[13] G. J. Galloway, J. Differ. Geom. **29**, 373 (1989).

[14] R. P. A. C. Newman, J. Differ. Geom. **31**, 163 (1990).

[15] J. K. Beem, P. E. Ehrlich and K. L. Easley, *Global Lorentzian Geometry: Second Edition* (Marcel Dekker, New York, 1996).

[16] G. J. Galloway, Illinois J. Math. **29**, 1 (1985).

[17] P. E. Ehrlich and G. J. Galloway, Class. Quantum Grav. **7**, 297 (1990).