Applying World Dental Federation Theoretical Framework for Oral Health in a General Population

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ABSTRACT

Introduction: The World Dental Federation (FDI) has recently proposed a new definition and theoretical framework of oral health. The theoretical framework includes 4 main components and describes the relationships amongst them. In 2020, an international work group proposed the minimum Adult Oral Health Standard Set (AOHSS) of variables to measure oral health, which was mapped onto the FDI’s theoretical framework. By using an empirical data set, the proposed variables in the AOHSS and the potential interactions amongst the components of the FDI’s theoretical framework can be tested. The purpose of this research was to investigate structural relations of the components of the FDI’s theoretical framework of oral health based on data from a general adult population.

Methods: Data from a previously conducted Swedish cross-sectional study focusing on oral health were utilised (N = 630; women, 55.2%; mean age, 49.7 years [SD, 19.2]). Variable selection was guided by the AOHSS. Structural equation modeling was used to analyse relationships amongst the components of the FDI’s theoretical model (core elements of oral health, driving determinants, moderating factors, and overall health and well-being).

Results: The Oral Health Impact Profile (OHIP)-14, xerostomia, and aesthetic satisfaction had statistically significant direct effects on overall health and well-being \( (p < .05) \). Driving determinants and moderating factors had statistically significant direct effects on all core elements of oral health \( (p < .05) \) except aesthetic satisfaction \( (p = .616) \). The predictors explained 24.1% of the variance of the latent variable overall health and well-being. Based on several indices, the proposed model showed acceptable model fit.

Conclusions: The FDI’s theoretical framework can be used to describe different components of oral health and the relationship amongst them in an adult general population. Further research based on the FDI’s theoretical framework in other populations and settings is needed to explore complex interactions and possible relationships that form oral health and to investigate other or additional important social determinants.

Key words:
Adult
General population
Oral health
Structural equation modeling
Theoretical framework

Introduction

Oral diseases are a significant public health concern, affecting over 3.5 billion individuals globally.1,2 Furthermore, the social gradient of oral health is strong and persistent.3 In order to describe oral health and explore pathways and inequalities of oral health, several frameworks have been developed. Instead
of a biomedical approach focusing on oral diseases and treatment; frameworks reflecting more complex interactions, including a biopsychosocial perspective, have been suggested.

The World Dental Federation’s (FDI’s) theoretical framework of oral health builds on the World Health Organisation’s Commission on Social Determinants of Health report and includes individual, environmental, and social determinants of oral health through a life course. A central part of the FDI’s framework, the core elements of oral health, refers to progression, impact, and severity of diseases and conditions as well as the abilities, functions, and capacities related to oral health. In addition, the framework includes these components: overall health and well-being, moderating factors, and driving determinants (Figure 1). Altogether, the framework describes oral health status, the connection to overall health and well-being, and elements that can determine or affect how an individual scores their oral health, as well as factors that can affect it.

Only a few studies have explored potential clinician- and patient-reported measures to reflect the components of the FDI’s theoretical framework. A collaboration between the International Consortium for Health Outcomes Measurements and the FDI presented the minimum Adult Oral Health Standard Set (AOHSS) of variables to describe oral health. It includes perspectives from both oral health professionals and patients and contains 31 patient-centred outcome and case mix concepts reflecting the FDI’s multifaceted definition and framework. The AOHSS is intended to be used in different settings and geographic locations for both clinical dentistry and in research, which allows flexibility in data collection whilst maintaining the possibility of comparisons amongst groups. To date, the AOHSS has not been tested with empirical data regarding potential interactions amongst the components of the FDI’s framework. Ahonen et al. explored potential measures which could be used to describe the core elements of oral health, and the results were in line with the AOHSS. By using structural equation modeling (SEM), it is possible to investigate relationships amongst the components of the FDI’s framework, as SEM provides a possibility to investigate multiple complex interrelationships amongst variables simultaneously. The aim of this study was to investigate structural relations of the components of the FDI’s theoretical framework of oral health based on data from a general Swedish adult population. The following relationships were hypothesised:

a. Driving determinants and moderating factors have a direct effect on the core elements of oral health.
b. Core elements of oral health have a direct effect on overall health and well-being.
c. Driving determinants and moderating factors have a direct effect on overall health and well-being.

In addition, indirect effects of driving determinants and moderating factors on overall health and well-being mediated by core elements of oral health were hypothesised.

**Methods**

**Description of data**

Data for this study were obtained from a previously conducted Swedish project on oral health in the population over time. Data were collected every 10 years from 1973 by using a repeated cross-sectional design. In each data collection wave, a random selection of 130 participants in each age group (5, 10, 15, 20, 30, 40, 50, 60, 70, and 80 years) from one region were invited to participate. The participants were invited to participate in a clinical and a radiographic examination as well as to respond to a questionnaire. Information regarding the previous project has been reported elsewhere. In this study, data from the 2013 wave were utilised and only the adult age groups (≥20 years of age) were included (N = 630).

**Variables**

The selection procedure was guided by the AOHSS and a study by Ahonen et al. As Ni Riordain et al. mapped the measures in the AOHSS (eg, dental caries, sugar...
consumption, and age) into 3 of the components of the FDI’s framework.10 This illustration guided the selection. Variables in the current data set and the AOHSS were compared for optimal matching. Some variables had to be modified to fit the model (Table 1). The final selection represents the 4 components of the FDI’s theoretical framework.

The Patient-Reported Outcome Measurement (PROM) included was the abbreviated Oral Health Impact Profile (OHIP-14), which previously has demonstrated good validity and reliability.16,17 The additive score for OHIP-14 was used. Periodontal disease staging was classified into 5 stages.18 Carries staging23 and missing teeth were included as continuous measures. The data set included 10 questions to reflect the current aesthetic satisfaction, and an additive score was obtained by summarising the response for each item. Age groups corresponded to the date of birth given in the AOHSS.

Visible plaque index (PLI; %) was included as a continuous variable.19 Sugar consumption was reflected by 2 questions. Three questions were merged into interdental cleaning. Level of education was based on the highest level of completed education, fairly comparable to the International Standardized Classification of Educations (ISCED 2011) education programmes.20

To reflect the question and response options in the AOHSS, the free-text response from the question “Which disease/condition do you have?” was used. The response options were divided into the proposed medical conditions in the AOHSS, and 2 response options were added. Three additional measures from the data set were included: “Do you think your state of health prevents you from doing things you want to do?” “How do you assess your overall state of health?” “How do you perceive your health compared to others of your age?” (See Table 1.)

**Description of statistical analysis**

By using the FDI’s theoretical framework8 as a basis for hypothesised relationships, we used SEM to assess direct and possible mediating effects.

The model comprised 6 observed and 2 latent variables. The observed variables were aesthetic satisfaction, decayed filled surfaces (DFS), xerostomia, missing teeth, periodontal classification, and OHIP-14. The 2 latent variables were (1) overall health and well-being (indicators: chronic conditions, perceived health, perceived health compared to other of same age, and state of health prevents you from doing things you want) and (2) driving determinants and moderating factors (indicators: age, education, regularly interdental cleaning, PLI, drinking sugar-containing beverages between meals, and eating or drinking between meals). We estimated the sample size based on Westland’s24 sample size for SEM based on anticipated effect size (.03) and number of latent variables (n = 2) and indicators (n = 10). The recommended sample size for power 0.9 with probability level α = 0.05 was N = 119. It was decided that all cases would be considered for inclusion, but as some participants did not respond to the questions regarding sex (n = 9) and/or age (n = 2), they were removed (Table 2). Before the SEM analysis, data were controlled regarding missing values. Little’s missing completely at random (MCAR) test showed that data were not missing completely at random, χ² = 35.0, df = 14, p < .01. We assumed the data were missing at random, as it could be presumed that missing data could be related to other observed variables, and not due to factors such as unwillingness to respond.25 Missing data were then imputed by using the full information maximum likelihood (FIML) method. Before constructing the model, the correlation coefficients (Pearson’s r and Spearman’s Rho) were estimated, and variables with a statistically significant correlation coefficient r/rho > .20 were considered for inclusion.23

The model was estimated using the FIML method. To investigate direct and indirect effects (mediation) and as the multivariate assessment of normality showed non-normal distribution, bootstrap analysis was performed using 5000 bootstrap samples, with 95% confidence intervals.11 The following indices were used to evaluate model fit: the absolute fit index R²; the goodness-/badness-of-fit indices root mean square error of approximation (RMSEA) and standardised root mean square residual (SRMR); and the incremental fit indices: comparative fit index (CFI) and Tucker-Lewis index (TLI). Due to the χ² test tendency to be oversensitive in larger samples, the χ² test was presumed to be significant. The relative χ² value was therefore estimated (satisfactory if value of <3).11,24 A satisfactory model fit was considered if CFI and TLI > .90, RMSEA < .08 (confidence interval between .05 and .08), and SRMR < .09.11,24 The statistical significance level was p < .05.

Statistical analyses were performed in IBM SPSS Statistics for Windows25 and IBM SPSS AMOS26 version 27, respectively.

**Ethical considerations**

The study from which data were obtained13,14 was approved by the Regional Ethical Board in Linköping, Sweden, prior to data collection (ref. no. 2012/191-31). The rules of the Declaration of Helsinki27 were applied.

**Results**

Data from 621 adults were included in the SEM analysis. The mean age was 49.7 (SD, 19.2), and 343 (55.2%) were female. Most of the participants had an educational level of completed primary and secondary school or higher (79.2%; Table 2).

One model was constructed based on the FDI’s theoretical framework (Figure 2). The model showed acceptable fit except for the χ² test, but the relative χ² was considered acceptable. The effects of all predictors on overall health and well-being and core elements of oral health were examined with both statistically significant and nonsignificant results. Together, the predictors explained 24.1% of the variance of the latent variable overall health and well-being. Three of the core elements of oral health (OHIP-14, xerostomia, and aesthetic satisfaction) had statistically significant direct effects on overall health and well-being, −.321 (p < .001), .209 (p < .001), and −.312 (p = .023), respectively (Table 3).

Except for aesthetic satisfaction (p = .616), the latent variable driving determinants and moderating factors had statistically significant direct effects of all core elements of oral
Table 1 – Summary of included measures regarding differences and modifications of questions and response options and reporting source.

| AOHSS outcomes/case mix concepts | Item in data set | Response options in data set | Alteration | Reporting source |
|----------------------------------|------------------|-----------------------------|------------|-----------------|
| Core elements of oral health     |                  |                             |            |                 |
| Food alteration                  | OHIP-14          | Very often, fairly often, Sometimes, hardly ever, Never | Additive score, continuous | Patient |
| Ability to speak                 |                  |                             |            |                 |
| Productivity                     |                  |                             |            |                 |
| Self-confidence                  |                  |                             |            |                 |
| Social participation             |                  |                             |            |                 |
| Oral pain                        |                  |                             |            |                 |
| Ability to eat                   |                  |                             |            |                 |
| Caries staging                   | DFS              | Continuous, n               |            | Clinician       |
| Number of missing teeth          |                  | Continuous, n               |            | Clinician       |
| Periodontal disease staging     | Classification according to the severity of periodontal diseases experience | Score, 1-5 |            | Clinician       |
| Dry mouth experience             | Do you feel dry in your mouth? | Never, occasionally, often, always |            | Patient         |
| Aesthetic satisfaction           | Appearance of your face | Score from 0–10 | Additive score, continuous | Patient |
|                                  | Appearance of your profile | Score from 0–10 |            | Patient         |
|                                  | Appearance of your mouth | Score from 0–10 |            | Patient         |
|                                  | Appearance of your teeth | Score from 0–10 |            | Patient         |
|                                  | Shape of your teeth | Score from 0–10 |            | Patient         |
|                                  | Colour of your teeth | Score from 0–10 |            | Patient         |
|                                  | Appearance of your gums | Score from 0–10 |            | Patient         |
|                                  | Whole appearance of your face, mouth, and teeth | Score from 0–10 |            | Patient         |
| Moderating factors               | Date of birth     | Age group: 20, 30, 40, 50, 60, 70, or 80 years |            | Patient         |
| Driving determinants             | Level of education | Which educational level applies to you? | Score, 1–6⁵ | Patient         |
| Sugar consumption                | How many times/day do you eat or drink something between your main meals? | 0-9, more than 10 |            | Patient         |
|                                  | Do you drink a soft drink, energy drink, or lemonade/juice between meals? | Every day, often (several times a week), sometimes, never |            | Patient         |
| Oral hygiene                     | Do you regularly (every day) use interdental brushes? | Yes, no | Categorised: 1-8³ | Patient |
|                                  | Do you regularly (every day) use toothpicks? | Yes, no |            | Patient         |
|                                  | Do you regularly (every day) use dental floss? | Yes, no |            | Patient         |
| Visible plaque                   | PLI               | Continuous, %               |            | Clinician       |
| Overall health and well-being    |                  |                             |            |                 |
| Chronic medical condition        | Do you think your state of health prevents you from doing things you want to do? | Not at all, partly, greatly |            | Patient         |
|                                  | How do you assess your overall state of health? | Good, average, bad |            | Patient         |
|                                  | How do you perceive your health compared to others of your age? | Better, similar, worse |            | Patient         |
|                                  | Which disease or condition? | Free text | Categorised: 1-7⁷ | Patient |

AOHSS, Adult Oral Health Standard Set; OHIP-14, Swedish version of the abbreviated Oral Health Impact Profile; DFS, decayed filled surfaces; IDB, interdental brushes; TP, toothpicks; DF, dental floss; PLI, plaque index; CVD, cardiovascular disease; DM, diabetes mellitus.

⁵ Academic degree, upper secondary school (3-4 years), upper secondary school (2 years), folk high school, elementary/grade school, elementary/grade school (<6 years).

³ Yes (IDB, TP, & DF), yes (TP & DF), yes (IDB & DF), yes (IDB & TP), yes (IDB), yes (TP), yes (DF), no.

⁷ No reported chronic condition, CVD, DM, respiratory disease, cancer, other, 2 chronic conditions, >2 chronic conditions.
Table 2 – Description of characteristics of study population.

| Measure                                                                 | Total (N = 621) |
|-------------------------------------------------------------------------|-----------------|
| Age (missing n = 2), M (SD)                                             | 49.7 (19.2)     |
| Education, n (%)                                                        |                 |
| Academic degree                                                         | 213 (34.3)      |
| Upper secondary/vocational school (3–4 years)                          | 181 (29.1)      |
| Upper secondary/vocational school (2 years)                            | 82 (13.2)       |
| Independent adult education                                            | 16 (2.6)        |
| Primary and secondary school                                           | 65 (10.5)       |
| Primary school                                                         | 56 (9.0)        |
| Primary school (<6 years)                                              | 8 (1.3)         |
| OHIP-14 (missing n = 125), M (SD)                                       | 65.7 (6.5)      |
| Dry mouth experience, n (%)                                            |                 |
| Never                                                                  | 209 (33.7)      |
| Occasionally                                                           | 348 (56.0)      |
| Often                                                                  | 52 (8.4)        |
| Always                                                                 | 12 (1.9)        |
| Aesthetic satisfaction (missing n = 107), M (SD)                        | 54.5 (14.4)     |
| Periodontal staging (missing n = 8), n (%)                              |                 |
| Healthy/almost healthy                                                  | 192 (30.9)      |
| Gingivitis                                                             | 176 (28.3)      |
| Alveolar bone loss <1/3                                                | 174 (28.0)      |
| Alveolar bone loss 1/3–2/3                                             | 52 (8.4)        |
| Alveolar bone loss >2/3 and furcation involvement                      | 19 (3.1)        |
| and/or angular bony defects                                            |                 |
| DFS, M (%)                                                             | 29.3 (24.1)     |
| Missing teeth, M (%)                                                   | 3.05 (5.3)      |
| Eating/drinking between meals (missing n = 29), M (SD)                 | 2.9 (1.6)       |
| Drinking sugar-containing beverages between meals, n (%)               |                 |
| Never                                                                  | 259 (41.7)      |
| Sometimes                                                              | 299 (48.1)      |
| Often (several times a week)                                           | 44 (7.1)        |
| Every day                                                              | 19 (3.1)        |
| Visual plaque (PLI), M (%)                                             | 15.7 (8.9)      |
| Interdental cleaning (missing n= 24), n (%)                            |                 |
| Healthy/almost healthy                                                  | 284 (47.6)      |
| Dental floss                                                           | 192 (30.9)      |
| No regular interdental cleaning                                        | 131 (21.4)      |
| State of health prevents you from doing things you want, n (%)         |                 |
| Not at all                                                             | 444 (71.5)      |
| Partly                                                                 | 151 (24.3)      |
| Greatly                                                                | 26 (4.2)        |
| Assessment of overall state of health, n (%)                           |                 |
| Good                                                                   | 460 (74.1)      |
| Average                                                                | 142 (22.9)      |
| Bad                                                                    | 19 (3.1)        |
| State of health compared to others your age, n (%)                     |                 |
| Better                                                                 | 195 (31.4)      |
| Similar                                                                | 379 (61.0)      |
| Worse                                                                  | 47 (7.6)        |
| Reported disease or condition, n (%)                                    |                 |
| No reported chronic condition                                          | 415 (66.8)      |
| Cardiovascular disease                                                 | 58 (9.3)        |
| Diabetes mellitus                                                       | 24 (3.9)        |
| Respiratory disease                                                     | 24 (3.9)        |
| Cancer                                                                 | 17 (2.7)        |
| Other                                                                  | 70 (11.3)       |
| 2 chronic conditions                                                   | 11 (1.8)        |
| More than 2 chronic conditions                                         | 2 (0.3)         |

OHIP-14, Swedish version of the abbreviated Oral Health Impact Profile; DFS, decayed filled surfaces; PLI, plaque index.

Discussion

This study aimed to investigate structural relations of the components of the FDI’s theoretical framework of oral health by utilizing data from a general adult Swedish population. At present, this is the first time the FDI’s framework has been tested with empirical data from a general population, as far as is known. The proposed model shows the possibility and the importance of including both clinician-and patient-reported measures. The predictors explained 24.1% of the variance of the latent variable overall health and well-being, showing that the included variables are important but also that other factors contribute to a great extent. The model showed acceptable fit, and by that the possibility to use the FDI’s definition and framework to describe components of oral health and the relationship amongst them in this population and setting. The final model also corresponded to Listl’s 7 tentative key outcomes regarding perceived and physical oral health.28

Relationships amongst driving determinants and moderating factors and core elements of oral health

Both clinician- and patient-reported measures were included as indicators for the latent variable driving determinants and moderating factors. Except for aesthetic satisfaction, this latent variable had statistically significant small to strong direct effects on all variables representing core elements of oral health. The hypothesised relationships in the proposed model could therefore be argued to be confirmed.14 In relation to a life course perspective of oral health, the cumulative effect of social determinants and progression of oral disease probably has an impact on the oral health-related quality of life to a great extent.29 Therefore, age becomes an important factor for the outcome of oral health.

As a part of socioeconomic position,30,31 educational level can be considered an important social determinant of oral health. The suggested case mix concept in the AOHSS “financial burden of care” would be relevant to include as it considers whether the individual had to put off dental care due to financial reasons.12 However, this question was not covered in the data set. It would have been optimal to include several measures to capture more structural, socioeconomic, or environmental determinants to further elucidate the importance of other than individual factors related to behaviour, for example, dietary habits and oral hygiene. As oral health has been neglected in the process of improving health for all,1,32,33 comparing oral health amongst or within populations on different levels could be a way to highlight the importance of oral health for both patients and stakeholders outside the dental community.
Relationships amongst core elements of oral health and overall health and well-being

Three of the included core elements of oral health (xerostomia, aesthetic satisfaction, and OHIP-14) had statistically significant strong to medium-sized direct effects on overall health and well-being. OHIP-14 was used to provide PROMs related to oral function, orofacial pain, and psychosocial impact, which previously have been proposed to be of importance for value-based oral health care. Aesthetic satisfaction could be regarded as a reflection of the psychosocial part of oral health, and thus it also affects the perception of one's health. Previously, aesthetic satisfaction was reported to be of importance both regarding interaction with others and the relationship with function. Furthermore, education, age, and sex were reported to affect the attitude towards the aesthetic aspect. Self-reported experience of xerostomia had a direct effect on overall health and well-being, which is consistent with previous research. As studies have shown that the type of medication used and the number of medications can impact the individual's experience of xerostomia, medication use could be relevant to investigate further. For both aesthetic satisfaction and dry mouth, having a single question in a clinical setting to highlight the patient's experience to complement a clinical examination could enable further discussion and investigation, if needed.

In our model, one classification of periodontal disease and DFS was used based on the available data set, but there are other or new classification systems to capture the progression or severity of disease. However, together with missing teeth, the included measures were regarded as sufficient. Even if tooth loss has previously been reported as an important oral health outcome, a relationship between missing teeth and overall health and well-being could not be seen. However, the number of missing teeth was relatively low in this population, and amongst those aged 40 to 70 years, only 0.3% were previously reported to be edentulous. Affected chewing ability or a visible tooth gap could also influence patients' perspective on missing teeth. Even if the clinician-reported measures did not show direct effects, they are important for planning or evaluating, for example, preventive oral health care or treatments. A combination of clinician- and patient-reported outcomes could possibly better capture the relationship between oral and overall health and well-being. The multifaceted nature of oral health underlines the importance of bringing in the patient's perspective, which has been highlighted in relation to value-based oral health care. A set of core items can strengthen patient-centred and prevention-oriented oral health care. Together, a combination of clinician-and patient-reported outcomes could enable discussions with a more person-centred approach.

Fig. 2 – Proposed model (error terms omitted); see Table 2 for more details.
Limitations

Some reflection must be offered related to the study population and the generalisability of the results. In Sweden, oral health has improved to a great extent during the last 40 years,13 even if there still are differences in oral health within Sweden. Based on the tax-financed dental system, the accessibility of care is generally good,41 which probably had an impact on oral health for almost all participants. Furthermore, the participants in the current study were derived from a generally healthy and well-educated population. It is therefore of importance to evaluate the framework in other populations and contexts.

The model showed an acceptable but not perfect fit. Furthermore, not all effects were statistically significant, even if theoretically possible or of clinical relevance, and only one model was derived. The associations that were found to be statistically significant in the present study could be regarded to be of clinical relevance, given the strength of the estimated associations; however, they should be interpreted with consideration of the limitations presented in the study. Notably, the TLI value was somewhat low but was considered acceptable. The possible bidirectional effect between overall health and well-being and driving determinants or core elements of oral health was not investigated, as nonrecursive models are not recommended when using cross-sectional data.12 Furthermore, model respecification was based on theory and used to a minimum, as it can affect the generalisability of the model.12 In this sample, the level of current tobacco use was relatively low (<8%) and was not included due to low correlation (<.20). In another context, tobacco use should be considered for inclusion due to the impact on oral health.42 Other measures should also be considered, especially considering contextual differences amongst countries, for example, educational level and oral health care organisation. Altogether, there is a possibility that alternative models could be more plausible. Measures such as income, access to dental care, and living conditions have previously been highlighted as important social determinants30 and should therefore be considered in future studies. The model should be viewed as an initial step, and further exploration of the FDI’s theoretical framework is suggested.

Implications

This study shows the possibility to use empirical cross-sectional data from a general population to investigate the components and relationships in the FDI’s theoretical framework.
Furthermore, it shows that both clinician- and patient-reported measures are relevant to describe the components of the FDI’s framework, and variables from the AOHSS can be used to describe oral health. It is suggested that further testing of the framework should be performed using longitudinal data, qualitative methods or, in specific populations, for example, specific general diseases or disorders, with an established (eg, diabetes mellitus) or potential (eg, obstructive sleep apnea) association with oral health.

For clinical settings, this study shows that empirical measures based on expert knowledge and the patient perspective are possible and relevant to include to enable more person-centred oral health care. To understand a person's oral health, a biopsychosocial approach including the social environment and contextual factors in a person's life should be considered.7,43 It is suggested that the FDI's framework and the AOHSS are further explored in clinical settings to identify additional important factors of clinical relevance in other populations and settings.

Conclusions

Based on the overall acceptable model fit, it was concluded that the model showed that the FDI's theoretical framework can be used to describe different components of oral health and the relationship amongst them in a Swedish general adult population. OHIP-14, experience of xerostomia, and aesthetic satisfaction had direct effects on overall health and well-being, and driving determinants and moderating factors had direct effects on all core elements of oral health except aesthetic satisfaction. This study supports further research based on the FDI’s framework to explore the complex interactions and possible bidirectional relationships that form oral health to enable the inclusion of other or additional important social determinants.

Conflict of interest

None disclosed.

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