Cloze Distillation: Improving Neural Language Models with Human Next-Word Predictions

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Abstract

Contemporary autoregressive language models (LMs) trained purely on corpus data have been shown to capture numerous features of human incremental processing. However, past work has also suggested dissociations between corpus probabilities and human next-word predictions. Here we evaluate several state-of-the-art language models for their match to human next-word predictions and to reading time behavior from eye movements. We then propose a novel method for distilling the linguistic information implicit in human linguistic predictions into pre-trained LMs: Cloze Distillation. We apply this method to a baseline neural LM and show potential improvement in reading time prediction and generalization to held-out human cloze data.

1 Introduction

Modern language models (LMs) demonstrate outstanding general-purpose command over language. The majority of these models acquire language by maximizing the in-context probability of each word in their training corpus (Figure 1), typically with a self-supervised objective. This simple corpus probability matching has resulted in models that learn impressive powers of both psychometric prediction (Frank and Bod, 2011; Fossum and Levy, 2012; Frank et al., 2015; Goodkind and Bicknell, 2018; Hale et al., 2018; van Schijndel and Linzen, 2018; Warstadt and Bowman, 2020; Wilcox et al., 2020) and language more generally (Devlin et al., 2019; Radford et al., 2019).

In humans, prediction may underlie both learning (Kuhl, 2004; Huang and Snedeker, 2013) and processing (Ryskin et al., 2020; Levy, 2008; Clark, 2013). Human linguistic prediction can be understood as not only lexical but also as taking place both above and below the word level (Federmeier and Kutas, 1999; Federmeier et al., 2002); parallel, i.e., predictive commitments are maintained over several linguistic units at once (Levy, 2008); and graded, i.e., commitment is licensed to varying degrees based on features of the linguistic unit being predicted. Rather than placing bets (Jackendoff, 1987) on which single word will come next, humans make many diffuse bets at multiple linguistic levels (e.g., syntactic, orthographic, lexical, etc.).

Surprisal theory (Hale, 2001; Levy, 2008) describes the utility of the approach taken by the human language processor, as lexical prediction is often an ill-constrained classification problem — for agents with very large vocabularies (LMs, humans), context is often not sufficiently constraining for high accuracy multiple, thousand-way classification decisions, but is typically constraining enough to accurately infer next-word features (such as part of speech, and semantic category). A large body of evidence demonstrates that these graded next-word predictions are reflected in human processing times (Ehrlich and Rayner, 1981; Demberg and Keller, 2008; Smith and Levy, 2013; Luke and Christianson, 2016) as well as neural responses (Kutas and Hillyard, 1980; Frank et al., 2015).

Corpus data are (imperfect) samples from the linguistic environment of a native speaker, and psycholinguistic data indicate that accurate prediction is important to efficient language comprehension. Under the principle of rational analysis (Anderson, 1990), it is thus to be expected that artificial language models trained on corpus data would correlate with human linguistic predictions and thus have good psychometric predictive accuracy. Nevertheless, past work (Smith and Levy, 2011) has suggested dissociations between corpus probabilities and human next-word estimates. Here, we further investigate this relationship using artificial language models and the most extensive corpus of sequential cloze completions that we are aware of: the Provo Corpus (Provo henceforth; Luke and
Christianson, 2018).

First, we use Provo to test the psychometric performance of three state-of-the-art Transformer-based (Vaswani et al., 2017) LMs — XLNet (Yang et al., 2019), Transformer-XL (Dai et al., 2019), and GPT-2 (Radford et al., 2019) — alongside a smaller 2-layer LSTM (Hochreiter and Schmidhuber, 1997) trained on wikitext-103 (Merity et al., 2016), and a 5-gram LM baseline (Stolcke, 2002).

We find that, while the Transformer models achieve the lowest perplexity on Provo and the best fit to the cloze data, the LSTM model provides the best account of reading times in terms of raw correlation. These findings show a dissociation between recapitulating corpus statistics and mimicking human language processing, operationalized here with reading times. That is, models that minimize perplexity on next-word prediction do not necessarily provide the best account of reading times. Second, based on these findings, we propose Cloze Distillation: a novel method for distilling linguistic information implicit in human cloze completions into pre-trained LMs. We apply this method to the LSTM model and show substantial improvement in reading time prediction and word frequency estimation, in addition to generalization to held-out human cloze data.

2 Human Cloze Predictions

The objective for most modern LMs is to compute a probability distribution over the model’s vocabulary \( V \) for the likely next-word \( x \in V \) at position \( i \) given the context \( x_{<i} \) consisting of the sequence of preceding words in the document. Similarly, as humans process language, they make constant and implicit linguistic predictions.

One commonly used measure of these predictions in humans is the Cloze task. In its original form (Taylor, 1953), the task involved masking a word or words in a source text passage and asking participants to provide words for the masked elements that would make the passage “whole again”, a task structure adopted by contemporary masked language models (Devlin et al., 2019). In experimental psycholinguistics, however, the most common version of the Cloze task has involved presenting the beginning, or prefix, of a passage and having participants either complete it or provide the word that they think comes next (Figure 1), a task more closely matching that of autoregressive language models (Radford et al., 2019). In this paper, we focus on this latter type of Cloze task, which elicits samples from comprehenders’ subjective next-word probability distributions (DeLong et al., 2005; Staub et al., 2015). For any given prefix, we can estimate the cloze distribution of a typical native speaker from pooled cloze responses across a large number of participants (Luke and Christianson, 2018), similar to how the fundamental output of an autoregressive language model is a vector of next-word probabilities.

2.1 The Provo Corpus

We use the Provo Corpus (Luke and Christianson, 2018) as our source of paired cloze completion and reading time data. The Provo Corpus derives from 55 paragraphs of text taken from sources including online news articles, popular science, and fiction. For each paragraph \( p \), next-word cloze completions were elicited for each prefix \( x_{<i} \) for \( i = 2, \ldots |p| \) (2,689 sentence prefixes total). Prefixes were presented to participants \((N = 470)\) as a continuous multi-line text (Figure 1). This resulted in an average of 40 cloze responses with 15 unique continuations per prefix.

Additionally, Luke and Christianson (2018) collected eye movement data from eighty-four native speakers of American English as they read these 55 text passages, using a high-resolution SR Research EyeLink 1000 eye tracker.

The Provo cloze data, eye movement data, and the relationship between them are analyzed in detail in (Luke and Christianson, 2016). Luke and Christianson (2016) point out that while context is rarely constraining enough to facilitate exact next-word prediction, modal cloze responses often constitute partial matches to the target words. For example, given the prefix With schools still closed, cars still buried and streets still ..., the true continuation, blocked, has a cloze probability of only 0.07. But the overwhelming majority of cloze responses are partial fits to the correct word: 79% of the responses are verbs, and 72% are inflectional matches (ended with -ed), with the two most frequent responses being closed and covered (example from Luke and Christianson, 2018). In addition, they showed that cloze probabilities are highly predictive of reading times, adding to prior work showing a word’s reading time is a function of its predictability in context (e.g., Smith and Levy, 2013).
3 Testing Language Models on Provo

The findings of Luke and Christianson (2016) highlight cloze as a useful test-bed for LMs. Specifically, a LM that employs predictions similar to those that underlie human language processing is expected to be a good model of human cloze responses. Therefore, we evaluate here a suite of LMs on their ability to match human cloze distributions. Additionally, we use the LMs’ ability to predict reading times as a second measure of fit to human expectations, extending past work using LMs to predict reading times (Frank and Bod, 2011; Wilcox et al., 2020).

3.1 Models

We consider in our analysis the following LMs:

1. 5-gram: N-gram model using a window size of 5 with Kneser-Ney smoothing, obtained via the SRILM language modeling toolkit (Stolcke, 2002).

2. LSTM: A standard 2-layer LSTM RNN implemented in PyTorch (Paszke et al., 2017), used here with 256 hidden units and word embedding size of 256, and trained on the wikitext-103 corpus (Merity et al., 2016) via a next-word prediction task (40 epochs, batch size = 40, learning rate = 20).

3. GPT-2: A Transformer-based LM trained on the WebText corpus (Radford et al., 2019).

4. Transformer-XL (TXL; Dai et al., 2019): A Transformer-based LM with a segment level recurrence mechanism and relative positional embeddings trained on wikitext-103.

5. XLNet (Yang et al., 2019): A Transformer-based LM trained with a permutation language modeling objective as well as a segment level recurrence mechanism and relative positional embeddings. Training data consists of ~30 billion tokens across 6 different corpores.

We use the LMzoo python package (Gauthier et al., 2020) to access the 5-gram model, and the HuggingFace transformers python package (Wolf et al., 2019) for accessing Transformer models (gpt2-large, transfo-xl-wt103, and xlnet-large-cased respectively). These Transformer models use subword tokens (Sennrich et al., 2016); we defined word probabilities for these models as the joint probability of the subword tokens comprising the word given the context.
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to address this, we also consider Kendall’s Tau

effects. Specifically, we consider Kendall’s Tau

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To further evaluate the models’ ability to mimic
cloze responses and to control for the sparsity of
the human cloze data, we simulated a cloze task

We use gaze duration during first-pass reading as
our measure of reading times, which is the amount
of time a reader’s eyes spend on a word the first
time they fixate it (Rayner, 1998; if a reader fixes a
word to the right before fixating the word in ques-
tion, the word has been “skipped” and there is no
valid gaze duration). It is well established that gaze
duration captures a wide variety of cognitive pro-
cesses during real-time language-comprehension,
including the relationship between a word and the
context in which it appears (Staub, 2011).

We evaluate the ability of a LM to account for
human reading times based on their predicted sur-
prisal values,

as it has been previously shown to capture sev-
eral characteristics of human language compre-
prehension and pattern with reading times (Smith
and Levy, 2013; Wilcox et al., 2020). Similarly,
we define cloze surprisals by taking the nega-
tive log of the empirical cloze probabilities3, i.e.,

\[ S_i = -\log_2 P_{\text{model}}(x_i | x < i) \]  

3We generated 40 responses because most prefixes in
Provo had at least 40 responses provided by participants.

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Christianson (2018)’s ‘Orthographic Match Model’ – a logit
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Table 1: Evaluation of LMs on Provo reveals a dissociation between performance on next-word prediction and psychometric measures that reflect human language processing. \( F_{\text{intr}} \) and \( F_{\text{base}} \) show the F-test statistics (Section 3.2.2) against various baseline predictors. \( \rho_{gaze} \) and \( \rho_{freq} \) show correlation with gaze and frequency respectively (Pearson’s \( \rho \)). \( \langle D_i \rangle \) is average KL-divergence between the empirical cloze distribution and the LM’s distributions; \( \langle \tau_i \rangle \) is rank correlation between down-sampled model surprisals and surprisal values based on the empirical cloze probabilities; \( \langle S_i \rangle \) is average surprisal over the text in Provo; all standard deviations are computed by paragraph.

| Model | \( \langle D_i \rangle \) | \( \langle \tau_i \rangle \) | \( \langle S_i \rangle \) | \( F_{\text{intr}} \) | \( F_{\text{base}} \) | \( \rho_{gaze} \) | \( \rho_{freq} \) |
|-------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|
| Cloze | NA              | NA              | 3.99 ± 2.60     | 198.10          | 30.90           | 0.36            | -0.43          |
| GPT-2 | 2.30 ± 1.57     | -0.57 ± 0.004   | 6.11 ± 5.00     | 252.70          | 46.11           | 0.40            | -0.46          |
| XLNet | 2.39 ± 1.68     | -0.58 ± 0.005   | 6.39 ± 5.70     | 260.50          | 46.08           | 0.41            | -0.48          |
| TXL   | 3.27 ± 1.92     | -0.47 ± 0.005   | 8.09 ± 5.50     | 238.30          | 30.54           | 0.39            | -0.50          |
| LSTM  | 3.74 ± 1.86     | -0.39 ± 0.006   | 8.58 ± 4.90     | 361.20          | 41.47           | 0.47            | -0.63          |
| 5-gram| 3.89 ± 1.84     | -0.20 ± 0.007   | 12.48 ± 7.00    | 161.00          | 16.72           | 0.31            | -0.41          |
− log₂ \( P_{\text{cloze}}(x_i|x_{<i}) \). We then measure Pearson’s correlation \( \rho \) between reading times and surprisal values. In addition, we use ANOVA tests to measure the models’ predictive capacities beyond standard baseline predictors of reading time (Howes and Solomon, 1951; Kliegl et al., 2006; Leyland et al., 2013) — log word frequency and word length. That is, for each model (either an LM or the cloze distribution), we enter its surprisal values into a linear mixed-effects model (LME) along with the baseline predictors, and measure their contribution by computing the F-test statistic between the full LME and an LME where model surprisal values are ablated out. In the case of \( F_{\text{base}} \), the baseline predictors were frequency, length, and their interaction. In the case of \( F_{\text{intr}} \), the baseline predictors were simply random by-word intercepts. We use both word frequencies estimated from the Corpus of Contemporary American English (COCA; Davies, 2010) and from wikitext-103 (Merity et al., 2016) in our analysis. As the results of our analyses were qualitatively the same in both conditions we report only results from COCA in the analyses to follow.

3.3 Results

The main results of evaluating the LMs on Provo are summarized in Table 1. First, averaging the KL divergence and surprisal values over word positions \( i \) in Provo (that is, \( \langle D_i \rangle \) and \( \langle S_i \rangle \) respectively), shows that the ability of LMs to predict human cloze responses tracks with their language modeling performance. This pattern is also reflected in Kendall’s \( \tau \) correlation between model surprisals and surprisals constructed from the human cloze distribution. At the same time, Table 1 reveals a dissociation between next-word prediction, reflected by \( \langle S_i \rangle \), and human language processing, as reflected in reading times. Specifically, the LSTM model, which does not perform as well as the Transformer-based LMs in next-word prediction on Provo, as reflected in its higher \( \langle S_i \rangle \), exhibits superior ability in predicting reading times, as measured in \( \rho_{\text{gaze}} \) and \( F_{\text{intr}} \). This result is similar to that of Merkx and Frank (2020), who found that Gated Recurrent Unit networks outperformed Transformer models with lower perplexity in predicting gaze duration.

We note that when predicting reading times not only from the model’s surprisal values, but also using the baseline predictors (word frequency and length), the LSTM model no longer outperforms the Transformer-based models (Table 1, \( F_{\text{base}} \)). Nonetheless, it is striking that the LSTM model, which is much smaller than the Transformer-based models and was trained on much less data, achieves the best performance in predicting reading times without the baseline predictors.

3.4 Intermediate Conclusions

Past work shows that human predictions systematically diverge from corpus probabilities (Smith and Levy, 2011). Our analysis extends these findings by testing current state-of-the-art LMs trained on much larger datasets, and showing that, while better estimates of corpus probabilities may yield better models of human next-word predictions, there does not seem to be a strict positive correlation between the ability to approximate corpus probabilities and the ability to predict human reading times, as evidenced by models with higher \( \langle S_i \rangle \) being on-par and even better at predicting reading times compared to models with lower \( \langle S_i \rangle \).

Recent studies (Ettinger, 2020; Hao et al., 2020; Jacobs and McCarthy, 2020) have found similar trends when comparing LMs to cloze data. Hu et al. (2020) also found only a loose relationship between perplexity (a monotonic function of \( \langle S_i \rangle \)) and syntactic generalization, adding to a growing body of evidence suggesting that while optimizing for corpus probabilities can create somewhat psycholinguistically-enabled language models (Linzen et al., 2016; Futrell et al., 2019; Hu et al., 2020), there may be a dissociation between corpus probabilities and human expectations.

4 Cloze Distillation

Here, we show how to leverage these findings to improve the ability of LMs to match human expectations, providing more appealing neural language models for human language processing. To this end, we propose Cloze Distillation: a method for using human next-word predictions as learning targets together with corpus statistics within a knowledge distillation framework.

4.1 Knowledge Distillation

Knowledge distillation (Buciluundefined et al., 2006; Ba and Caruana, 2014; Hinton et al., 2015) is a technique of imbuing knowledge from a teacher model into a student model by training the student to make the same predictions as the teacher. Typ-
ically deployed as a form of model compression, knowledge distillation is useful for those looking to deploy insights from one or more complicated models into a single smaller model. Recently, knowledge distillation has also proven useful to cognitive scientists in creating low-dimensional neural network cognitive models (Schaeffer et al., 2020). When humans are used as the ‘teacher’ this can be seen as a specific case of a more general cognitive modeling strategy, task-based modeling.

4.2 The Cloze Distillation Objective

Knowledge distillation has proven its usefulness in NLP where researchers have distilled knowledge from very large and/or syntactically aware language models into naive models showing it is possible to transfer even subtle linguistic preferences from teacher to student (Kim and Rush, 2016; Kuncoro et al., 2019; Sanh et al., 2020; Kuncoro et al., 2020).

We take inspiration from this work and leverage the general framework both as a method for distilling knowledge from a ‘teacher’ with desirable linguistic biases (humans in our case) and as a tool for cognitive modeling by using empirical cloze distributions \( P_{\text{cloze}} \) as target distributions in a knowledge distillation framework.

We follow this approach to arrive at the following loss function for Cloze Distillation (CD):

\[
L_i = \alpha D_i - (1 - \alpha) S_i .
\]

That is, for each context \( x_i \) we compute the CD loss by linearly interpolating \( D_i \), the KL divergence between the distributions of the human teacher and the student model as defined in equation (1), with an autoregressive language modeling objective that places unit probability mass on the true next-word, formally defined by \( S_i \) in equation (2). Thus, CD fine-tunes LMs to predict the next word in the document while simultaneously producing a distribution over next-words that mirrors the empirical human cloze distribution for that context. This process is illustrated in Figure 1.

To evaluate the utility of the human cloze data, we vary the values of \( \alpha \) from \( \alpha = 0 \), which corresponds to pure next-word prediction driven fine-tuning, to \( \alpha = 1 \), which corresponds to pure cloze-prediction based fine-tuning.

4.3 Cloze-Distilled LSTM

To begin to evaluate the CD paradigm, we apply it to the LSTM from Section 3 by fine-tuning this model using the CD objective over Provo. To test generalization and utilize the full corpus, we use a \( k \)-fold cross-validation scheme with \( k = 55 \), the number of paragraphs in Provo where humans are provided the full preceding paragraph as context. That is, each fold consists of data from one paragraph in the Provo dataset. We use 100 epochs for training. We provide our LM with the same context as humans, up to the beginning of the current paragraph.

Additionally, we vary \( \alpha \) to test the utility of our cloze data and cross-validated separately for each value of \( \alpha \) in the range \([0, 1] \), sampled at intervals of 0.05. This resulted in 1,155 unique models for testing. We wish to emphasize that even utilizing the entire Provo corpus via cross-validation, we are left with only 2685 training samples, which is minuscule with respect to the model’s pre-training data (roughly 100 million samples). We refer to the resultant model as cloze-distilled LSTM (CD-LSTM).

4.4 Results

After fine-tuning on the CD objective, we note several interesting adaptions in model behavior. These mainly include significant improvement over the standard LSTM baseline in predicting human reading times and cloze distributions (Figure 2). We also discuss improvements in next-word prediction performance over Provo (Figure 3).

4.4.1 Reading times

Psychometric predictive capacity is starkly improved with Cloze Distillation, and the strength of the effect scales with \( \alpha \). This can be seen in Figure 2, which shows the statistical comparison of the CD-LSTM for varying levels of \( \alpha \). We add another model comparison designed to isolate the ability of CD-LSTM to predict reading times above the standard LSTM (Figure 2a). Specifically, we enter CD-LSTM’s surprisals into an LME along with baseline predictors and surprisals from the standard LSTM and compute the F-test statistic against a LME with CD-LSTM surprisal ablated out.

CD-LSTM exhibits a significant improvement with \( \alpha \) in its ability to predict reading times above the non-fine-tuned model (Figure 2a), as well as
improvements over an intercept-only model (Figure 2b) and baseline-only (Figure 2c). Correlation with reading time and CD-LSTM’s surprisal also steadily increases with $\alpha$ (Figure 2d). These findings suggest that, as we postulate, Cloze Distillation is a useful paradigm for extracting the information about human linguistic expectations that is implicit in human cloze predictions and incorporating it into LMs.

### 4.4.3 Language modeling

In addition to improved performance on our human language processing benchmarks, we see a robust increase in language modeling performance for most values of $\alpha$, as evidenced by average surprisal over Provo (Figure 3). We note, the standard deviation in $\langle S_i \rangle$ for our LSTM over Provo was 1.86 bits (Table 1). The improvements we see are less than this deviation, and are thusly below the level of significance, though we do see a consistent trend in $\alpha$. This effect is most substantial for intermediate values of $\alpha$, suggesting that a combination of human knowledge and next-word prediction improves relative to either one of these factors on its own. This indicates that both parts of the loss function (ground truth next-words, human cloze) provide useful information for predicting text that is not entirely overlapping.

This is interesting given the low $\langle S_i \rangle$ of human cloze data. The fact that humans can contend with large language models trained explicitly on next-word prediction even on subsets of text, together with our Cloze Distillation results suggests there is linguistic information in human cloze that can be harnessed by LMs to subserve general language...
modeling and is disjoint from the information accessible in corpus probability (Smith and Levy, 2011).

4.4.4 Frequency
We also note that as $\alpha$ increases, the CD-LSTM next-word predictions exhibit increased correlation with frequency (Figure 2e), suggesting that cloze distilled LMs may learn to better predict frequent words. This is interesting as a proof of concept that Cloze Distillation distills information implicit in cloze into language models as previous work (Smith and Levy, 2011) has shown human cloze is skewed toward more frequent words, relative to corpus probability.

5 Conclusion
Our analyses provide further evidence of a misalignment between language model estimates and human expectations. The method we provide: Cloze Distillation, demonstrates that shifting training incentives away from corpus probability toward psycholinguistic task-based modeling can result in better cognitive models and better language models. Still, given several of our models predict reading times beyond the cloze data collected in Provo (Table 1) there are several possible explanations for the effect Cloze Distillation has on language model performance.

One is that the Cloze task produces data that are a more faithful reflection of the expectations deployed in human reading and are thus able to guide the models toward a fundamentally more human-like set of expectations – despite being under-sampled. If this is true and human subjective next-word estimates also provide signal about next-word probabilities across corpora (reflecting the implicit knowledge speakers have learned about the statistics of their language), this would explain why Cloze Distillation improves next-word prediction accuracy on a new corpus (Provo).

Another possibility is that the models we survey are fundamentally better than the cloze data at capturing the human expectations deployed in reading. Though this would not explain the boost in performance we see in reading time prediction with Cloze Distillation, because several of our models predict reading times better than the cloze data itself, this can not yet be ruled out. We leave the further exploration of this to future work as larger-scale collection of human cloze data allows.

That said, the fact that we were able to induce appreciable adaptions in model behavior with such little data highlights the richly orienting information available in even noisy human predictions. Though it is unclear how language users learn to make such sophisticated predictions (we provided this information to our model with direct supervision), our model’s ability to learn from such small scale data highlights the potential utility of such predictions in a language acquisition setting — it seems that human predictions are strong enough to significantly bolster the signal in raw linguistic input abetting extensive adaption from relatively little data.

As of now, the current dataset’s scale restricts Cloze Distillation to use as a fine-tuning method. Furthermore, we use simple LSTMs to perform a detailed analysis of Cloze Distillation with dense sampling in $\alpha$ and thorough cross-validation. It is possible that deploying Cloze Distillation during pre-training in large models (e.g., Transformers) could result in models better able to learn the word features humans demonstrate knowledge of in their cloze responses and we leave the exploration of this to future work as well.

Methods such as Cloze Distillation provide an avenue forward for psycholinguists interested in taking LMs seriously as candidate models of human language processing and to natural language processing researchers interested in reverse engineering and deploying insights from human sentence processing. Cloze Distillation highlights these goals as potentially mutually-reinforcing.
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