Formation rates of star clusters in the hierarchical merging scenario

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ABSTRACT
Stars form with a complex and highly structured distribution. For a smooth star cluster to form from these initial conditions, the star cluster must erase this substructure. We study how substructure is removed using N-body simulations that realistically handle two-body relaxation. In contrast to previous studies, we find that hierarchical cluster formation occurs chiefly as a result of scattering of stars out of clumps, and not through clump merging. Two-body relaxation, in particular within the body of a clump, can significantly increase the rate at which substructure is erased beyond that of clump-merging alone. Hence the relaxation time of individual clumps is a key parameter controlling the rate at which smooth, spherical star clusters can form. The initial virial ratio of the clumps is an additional key parameter controlling the formation rate of a cluster. Reducing the initial virial ratio causes a star cluster to lose its substructure more rapidly.

Key words: methods: N-body simulations — stars: formation — galaxies: star clusters

1 INTRODUCTION
The vast majority of stars do not form alone. They appear to form in a hierarchy in structures of tens to tens of thousands of stars (Testi et al. 2000; Gutermuth et al. 2005; Sánchez et al. 2007; Andrés et al. 2007; Goldsmith et al. 2008; Andrés et al. 2010; Bressert et al. 2011; di Francesco et al. 2010; Gutermuth et al. 2009; Gouliermis et al. 2010). Such structures are a natural consequence of the gravito-turbulent model of star formation (e.g. Klessen & Burkert 2000; Bonnell et al. 2001; Boily et al. 1999; Kroupa & Bouvier 2003; Goodwin & Whitworth 2003; Bate et al. 2003; Bonnell et al. 2003a; Bate 2004; Offner et al. 2004). Hierarchical distributions are not in equilibrium, and will rapidly dynamically evolve into dense star clusters or loose associations (e.g. Aarseth & Hills 1972; Goodwin 1998; Bate et al. 1998; Boily et al. 1999; Kroupa & Bouvier 2003; Goodwin & Whitworth 2004; Allison et al. 2003; 2010; Moeckel & Bonnell 2009; Fellhauer et al. 2009; Gieles et al. 2011). Such evolution is especially violent if the stars are initially dynamically cool (see Allison et al. 2009, 2010) as many observations suggest they are (e.g. Walsh et al. 2004; di Francesco et al. 2004; Peretto et al. 2006; Walsh et al. 2007; 2008; André et al. 2007; Kirk et al. 2007; Gutermuth et al. 2008).

In a clustered phase many interesting processes may occur such as rapid dynamical mass segregation (Allison et al. 2009, 2010), binary disruption and modification (Heggie 1974; Kroupa 1993; Parker et al. 2009), the formation of high-order multiples like the Trapezium system (Aarseth et al. 1974; Zinnecker 2008; Allison et al. 2010), and star-disc interactions affecting planetary system formation (Boffin et al. 1998; Watkins et al. 1998; Pfalzner et al. 2004; Thies et al. 2004, 2010). Therefore an understanding of the collapse of hierarchical distributions is important to understand the formation of star clusters and the possible importance of these effects.

The evolution of initially substructured stellar distributions into smooth star clusters has been studied by many authors (e.g. Aarseth & Hills 1972; Goodwin 1998; Boily et al. 1999; Kroupa & Bouvier 2003; Goodwin & Whitworth 2004; Allison et al. 2003; 2010; Fellhauer et al. 2003; Moeckel & Bonnell 2009; Smith et al. 2011). In particular, Fellhauer et al. (2009) attempted to quantify how rapidly an initially clumpy distribution could evolve into a smooth star cluster.

In this paper we particularly extend the work of Fellhauer et al. (2003) and Smith et al. (2011) to investigate how a collapsing clumpy distribution in a static gas potential is able to erase its substructure and form a smooth cluster. Fellhauer et al. (2004) presented a semi-analytic model for the erasure of substructure, but they did not properly...
account for two-body effects in their simulations. Here we revisit their analysis with an accurate N-body code.

In Section 2 we present our initial conditions, in Section 3 we study the erasure of substructure before examining the rates at which substructure is erased in Section 4. Finally we discuss our results in Section 5, and draw our conclusions in Section 6.

2 INITIAL CONDITIONS

We perform our N-body simulations using the direct N-body integration code NBODY6 [Aarseth 2003]. The advantage of NBODY6 is that it is able to rapidly and accurately model stellar dynamics, and two-body encounters in particular.

Our initial conditions are similar to those of Fellhauer et al. [2009] (hereafter F09). Our young star forming regions have a total mass of $1000 M_\odot$. We assume they convert gas to stars with an efficiency $\eta$ ranging between 0.1 and 0.8. Therefore the mass of stars is $\eta \times 1000 M_\odot$, and the mass of gas $(1 - \eta) \times 1000 M_\odot$.

We simulate the gas with a static background Plummer potential with a Plummer scale radius of $R_{pl}$ which ranges between 0.02 and 1 pc. We set a limiting cutoff radius for the gas potential of $5 \times R_{pl}$. We acknowledge that this is not ideal, as the gas will also dynamically evolve. However, it is presently impossible to simply model live background gas and so we follow previous studies in including a static background (e.g. Moekel & Bonnell 2009, F09, Smith et al. 2011).

The stars are distributed within the gas potential in $N_0$ subclumps which follow the underlying gas Plummer distribution. $N_0$ ranges from 4 to 32 resulting in a mass per clump of $M_{clump} = 6 \to 80 M_\odot$ (where the clump stellar mass is $M_{clump} = \eta \times 1000 / N_0$).

Subclumps are distributed within the Plummer sphere according to the prescription of Aarseth et al. (1974). Their bulk velocities are then scaled to a desired virial ratio $Q_i = T_i / \Omega_i$ (where $T_i$ is the total kinetic energy and $\Omega$ the total potential energy) where $Q_i = 0.5$ is virial equilibrium and our scaling ranges between $Q_i = 0$ and 0.5.

Each clump is assumed to be a virialised Plummer sphere with a Plummer scale radius of $R_{pl} = 0.01$ pc and a cut-off radius beyond which no stars are placed of $R_{cut} = 5 R_{pl} = 0.05$ pc. We assume that sub-clumps are virialised initially as their relaxation time is so short that they will rapidly virialise (but this process is also effective at destroying clumps as we shall see).

We take equal-mass stars of mass $0.5 M_\odot$ (roughly the average mass of a star from a standard IMF). This means that our clumps contain from 12 to 160 stars depending on the values of $\eta$ and $N_0$. Again we acknowledge that equal-mass stars are not realistic. In particular, differences in stellar masses will have a significant effect on two-body encounters which we are attempting to examine in particular detail. However, introducing a range of stellar masses would significantly increase stochasticity and add another free parameter, so we choose to ignore it for now.

Therefore the important parameters are:

- star formation efficiency $\eta$;
- gas Plummer scale radius $R_{pl}$;
- number of subclumps $N_0$;
- virial ratio of the stellar distribution $Q_i$.

From these parameters it is possible to calculate a number of very useful quantities.

The filling factor, $\alpha$, is the fraction of the volume which contains subclumps

$$\alpha = \frac{R_{pl}}{R_{pl}}$$

The crossing time of the whole system, $T_{cr}^{\infty}$, or of an individual clump, $T_{cr}$, is the typical time taken to cross the whole system or individual clump (the typical size divided by the typical speed).

The two-body relaxation time is a measure of how rapidly the internal velocities of a clump will change by order their own magnitude and is given by

$$t_{relax} = 0.1 \frac{N_{part}}{\ln(N_{part})} t_{cr},$$

where $N_{part}$ is the total number of particles in the system, and $t_{cr}$ is the crossing-time of the system.

A list of all the simulations and their parameters can be seen in Table 1. Three different random realisations of each parameter set are performed.

3 RESULTS

First we shall examine in detail our 'standard model'. This is a virialised $Q_i = 0.5$ cluster with a star formation efficiency of $\eta = 0.32$ and $N_0 = 16$. This cluster has a stellar mass of $320 M_\odot$ in 16 $20 M_\odot$ clumps (40 equal-mass stars per clump). The cluster has a filling factor of $\alpha = 0.05$ and a total crossing time of 260 kyr. Each clump has a crossing-time of 20 kyr, and a relaxation time of 22 kyr.

Figure 1 shows the evolution of the standard model for 10 crossing times (2.6 Myr). Initially (t=0 Myr) the stars have a highly clumpy and sub-structured distribution. By 0.5 Myr the initial structure is already less obvious, and by > 1 Myr the cluster has a fairly smooth appearance with very little evidence of the initial clumps.

It is expected that the erasure of substructure would be due to one, some, or all of the following mechanisms.

(i) Internal scattering and the ejection of stars from a clump by internal two-body interactions.
(ii) Tidal encounters between clumps.
(iii) Tidal destruction of clumps or the gas potential.
(iv) Collisions between clumps or stars.

An examination of Figure 1 suggests that internal scattering is a crucial factor in the erasure of substructure. By 0.5 Myr, the appearance of the cluster is already quite smooth (we shall return later to quantify the erasure of substructure, but for now we will use a 'by eye' examination). In only two system crossing times interactions between clumps cannot have been important. The high density of the initial clumps also suggests that tidal stripping by other clumps or the gas potential can not have been responsible for the smoothness. The only process that works so rapidly on clumps is internal relaxation as the clumps are 20 internal relaxation times old by 0.5 Myr.
Figure 1. An xyz-plot of evolution of substructure in a standard model simulation. The upper-left panel shows the initial stellar distribution (t=0.0 Myr). Stars are initially distributed in well defined clumps. The standard model star-forming region has a crossing-time $T_{cr} = 260$ kyr. As the simulation evolves, we show snapshots at 2 (upper-right panel), 4 (lower-left panel), and 10 (lower-right panel) crossing-times. Individual clumps ‘puff-up’ significantly in less than 2 crossing-times. By 4 crossing-times, only a few clearly defined clumps are visible, and by 10 crossing-times almost all substructure has been erased.

The effect of internal relaxation is to eject stars from the clumps forming a smooth background of stars, and also to increase the size of the clumps (‘puffing-up’). The puffing-up of clumps is obvious in Figure 1 where even isolated clumps at 0.5 Myr are clearly larger than initially. Binary formation within clumps is observed. This likely plays a significant role in enhancing scattering and ejection of stars from clumps.

As well as introducing a background of ejected stars, puffing-up has two effects which further increase the rate of clump mergers. As clumps are larger they are more susceptible to tidal stripping, and the filling factor increases – significantly increasing the rate of clump collisions.

In the clump merger simulations of F09 these effects arising from two-body encounters were missed as the superbox code used for these simulations damps two-body encounters entirely. This is illustrated in Figure 2 showing two simulations with the same initial conditions having evolved for 0.7 Myr (2.7 crossing-times). Therefore it is worth revisiting the results of F09 when applied to star clusters in light of this vitally important physical process. We note that the F09 results apply to situations where two-body encounters can be considered negligible.

3.1 Clump counting with a Minimum Spanning Tree

A minimum spanning tree (MST) is the shortest path linking a set of $n$ points with no closed loops. The MST is useful in that it always has $n - 1$ connections (edges) with a unique total length (see e.g. Cartwright & Whitworth (2004) or Allison et al. (2009) for uses of the MST in astronomy). We use the algorithm described in Allison et al. (2009) to construct an MST for a simulation at some point in time.

In order to identify clumps we introduce a cutting length $l_{cut}$. If an edge has a length greater than $l_{cut}$ then it is removed and we examine the subset of connections remaining after cutting the longest edges. If a subset contains more than one-third of the stars initially within a subclump then we define it as a clump. (Note that Gutermuth et al. (2009) use a similar method, as do many friends-of-friends

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1 It should be noted that the superbox runs have $10^5$ much lower-mass particles initially in each clump and here we display only 40 for a fair comparison.
A complete list of the parameters of all the simulations in our parameter study. The table is split by horizontal lines into sets of simulations illustrated in Figure 2. The solid lines shows the nbody6 length (Gutermuth et al. (2009) propose a way of selecting clump finders.) However, we introduce an extra element as our clumps are not just close in physical space, but in phase space. Therefore we build our MST in 6D phase space before applying the cut. A significant problem with clump finding is that it can be very sensitive to the cutting length used. In our initial conditions we have the luxury of knowing what clumps are present and where they are. This allows us to fine tune our cutting length to get the right answer initially (a bad choice of cutting length can result in garbage). We also check a number of simulations by eye to see that the structures selected as clumps are indeed clumps, and that no structure have been missed. This is not ideal, but the best we can do at the moment with no way of properly selecting a cutting length (Gutermuth et al., 2000) propose a way of selecting the cutting length, but this only works well when structures are distinct).

An illustration of the method is given in Figure 3 which quantifies the evolution of the NBODY6 and SUPERBOX simulations illustrated in Figure 2. The solid lines shows the rapid decrease in the number of clumps in the NBODY6 simulation compared to those in the SUPERBOX simulation in the dashed line. This agrees with the by eye assessment of the far more rapid erasure of substructure in the former simulation (Figure 4).

4 A PARAMETER STUDY OF CLUSTER FORMATION RATES

With a quantitative measure of the evolution of the substructure and a better understanding and modelling of the physical processes behind this erasure, we can revisit the study of F09 to quantify the rate at which structure is erased.

F09 suggested that the rate of erasure of substructure measured by the number of clumps at time \( \tau \), \( N(\tau) \) compared to the initial number of subclumps \( N_0 \) could be well fitted by an equation of the form

\[
N(\tau) = (N_0 - 1) \exp(-\eta \tau) + 1,
\]

where \( \eta \) is a free parameter that depends on the initial conditions of the simulation. A large value of \( \eta \) corresponds to a rapid loss of substructure and the rapid appearance of a smooth cluster. Therefore we refer to \( \eta \) as the ‘cluster formation rate’.
Figure 2. The resulting stellar distribution after 0.7 Myr of evolution from the standard model initial conditions in; an \textsc{nbody6} simulation (left panel), and a \textsc{superbox} simulation (right panel). Substructure has been erased more rapidly in the \textsc{nbody6} simulations as a result of a realistic handling of two-body relaxation.

Figure 3. A graph of evolution of number of clumps $N$ with time $\tau$ (in units of the star-forming region crossing time). Both curves are results for simulations with the standard model initial conditions. The solid (black) line is the results for the \textsc{nbody6} run as measured using a minimum spanning tree based clump finder. The dashed (red) line is the results for the \textsc{superbox} run. As can be qualitatively assessed by eye in Figure 2, substructure is more quickly erased in the \textsc{nbody6} simulations as a result of a realistic handling of two-body relaxation. Equivalently, the \textsc{nbody6} star cluster forms more rapidly.

As shown in Figure 3, we agree with F09 that an exponential decay is indeed a good fit to the rate at which substructure is lost.

4.1 Results of the parameter study on cluster formation rate

In Figure 4 we show the variation of the cluster formation rate $\eta$ with different parameters in our study (see Table 1). Please note the differing y-axis scales in the panels of Figure 4.
4.2 The initial Virial ratio $Q_i$

The upper-left panel of Figure 5 shows how cluster formation rate depends on the initial virial ratio. As the initial virial ratio becomes increasingly sub-virial (cool), the cluster formation rate steadily increases. This occurs as a result of increased clump-clump interactions - for sub-virial initial conditions clumps tend to fall into a more compact configuration within the gas potential. Cluster formation rate steeply rises as $Q_i$ falls below 0.1. In this case, clumps tend to fall towards the centre of the gas potential well on timescales $\sim 1$ free-fall time, resulting in multiple simultaneous clump-clump collisions. The initial Virial ratio $Q_i$ is a key parameter controlling the cluster formation rate.

4.3 The filling factor $\alpha$

The upper-right panel of Figure 5 shows how cluster formation rate depends on the filling factor $\alpha$. For $\alpha < 0.2$, the cluster formation rate is fairly constant as it is dominated by the ejection of stars from clumps rather than clump-clump interactions. However for very high filling factors ($\alpha \sim 0.5$) the cluster formation rate becomes high. When filling factor is high, clumps are almost overlapping in the initial conditions, and this is enhanced by clumps puffing-up, hence rapid merging occurs.

4.4 The initial number of clumps $N_0$ and star formation efficiency $\epsilon$

The middle-left panel of Figure 5 shows how the cluster formation rate depends on the initial number of clumps $N_0$, and the middle-right panel shows how cluster formation rate depends on star formation efficiency $\epsilon$. At a first glance, the cluster formation rate appears to depend on both $N_0$ and $\epsilon$. A trend towards increasing cluster formation rate for increasing $N_0$ is visible in the middle-left panel. Meanwhile, as star formation efficiency falls, cluster formation rate increases. This trend is the reverse of what is seen in the F09 simulations.

However by varying $N_0$ and $\epsilon$ we vary the initial number of stars in a clump. This results in a variation in the relaxation time $t_{\text{relax}}$ of any individual clump and hence the speed at which clumps puff-up (see Set 3 and Set 4 of Table 1). As $N_0$ increases, $t_{\text{relax}}$ falls. Meanwhile as $\epsilon$ increases, $t_{\text{relax}}$ grows. Therefore it is difficult to separate the additional effects of varying $t_{\text{relax}}$ on cluster formation rate.

4.5 A fixed clump relaxation time $t_{\text{relax}}$

In the bottom left panel of Figure 5 we show how the cluster formation rate varies for clumps with a fixed internal relaxation time (selecting values of $N_0$ and $\epsilon$ such that the relaxation time is 22.9 Kyr). For a constant $t_{\text{relax}}$, the cluster formation rate is roughly constant. This strongly suggests that it is the internal relaxation of clumps - the ejection of stars to make a background and the puffing-up of clumps to enhance clump-clump collisions and tidal interactions that are the crucial physical parameters.

4.6 The relaxation time of individual clumps

This conclusion is further supported by the bottom-right panel of Figure 5 where the cluster formation rate can be seen to decrease with greater clump internal relaxation time. Note that these simulations are all for an initially virialised clump distribution, and we also exclude the $\alpha = 0.5$ filling factor simulation as the cluster formation times in these simulations are dominated by other effects. It appears that the clump relaxation time is a key parameter controlling the cluster formation rate.

5 DISCUSSION

As discussed in the introduction, star formation is a messy and complex process that does not initially produce a smooth, relaxed star cluster. To give the roughly spherical, smooth star clusters that we often observe, sub-structure must be erased (see also Aarseth & Hills 1972; Goodwin 1998; Boily et al. 1999; Kroupa & Bouvier 2003; Goodwin & Whitworth 2004; Allison et al. 2009; Fellhauer et al. 2009). The speed at which a cluster can erase its sub-structure depends on its initial virial ratio (see also Goodwin & Whitworth 2004), but also critically on the rate at which sub-structure evolves internally from its initial state. Dense, low-$N$ clumps have a short internal relaxation time and will disperse rapidly (see also Kroupa & Bouvier 2003). Two-body encounters within a clump eject stars, forming a general stellar background, as well as causing clumps to increase significantly in size, making clump-clump interactions more likely, and making them more susceptible to tidal stripping. However, these effects are secondary to internal relaxation.

By considering the middle-right panel of Figure 5 we can gauge the importance of clump merging in our simulations. The data points are from Set 3 and Set 4 of our parameter study. By increasing the star formation efficiency, we additionally increase the mass of the clumps. For clumps to merge, their relative impact velocities must be of order the velocity dispersion of the clumps. Therefore more massive clumps should merge more easily as seen in the corresponding F09 simulations. Instead we see the opposite - a decreasing cluster formation rate with increasing clump mass. This indicates that merging plays a minor role in the cluster formation process. Instead it is dominated by the effects of inter-clump two-body encounters. For higher star formation efficiencies, the initial number of stars within a clump increases. Thus two-body encounters occur less frequently and consequently the cluster formation rate falls (as seen in the middle-right panel of Figure 5).

The theory of clump merging developed in Fellhauer et al. (2002) and F09 is therefore not applicable in low-$N$ ($N \sim 1000$) systems such as in the star-forming regions modelled in this study. However, in high-$N$ systems such as mergers of clusters within cluster complexes, the effects of two-body encounters are far less
Cluster formation rates

Figure 5. Plots of the dependency of the cluster formation rate parameter $\eta$ (y-axis) versus the parameters investigated in the parameter study (x-axis): initial Virial ratio $Q_i$, filling factor $\alpha$ (upper-right), the initial number of clumps $N_0$ (middle-left panel), region star formation efficiency $\epsilon$ (middle-right panel), region star formation efficiency $\epsilon$ for fixed clump relaxation time $t_{\text{relax}}$ (bottom-left panel), and clump relaxation time $t_{\text{relax}}$ (bottom-right panel). A description and discussion of these results is provided in the text (see Section 4.1). Please note varying scale on y-axis. The two upper panels have a matching y-scale to each other. The four panels beneath them, all have matching y-scale to each other.
important. In these scenarios, the F09 theory should remain valid.

An important conclusion to draw from this analysis is that clusters can change their appearance rapidly when they are young. A cluster that appears smooth and relaxed at an age of a few Myr may well not have formed that way. A number of authors have recently emphasised that clusters evolve rapidly and that current conditions are not always a good indicator of past conditions (e.g. Bastian et al. 2008; Allison et al. 2009).

6 SUMMARY & CONCLUSIONS

Both observations and theory agree that stars form with a complex clumpy distribution within a star forming region. Small clumps containing ~ 10’s of stars form embedded within the envelope of molecular gas from which they formed. However, observations of star clusters of > a few Myr in age often show smooth, relaxed distributions (e.g. the Orion Nebula Cluster at ~ 3 Myr). In order for a smooth spherical star cluster to form, the star-forming region must erase its initial substructure.

We investigate the mechanisms by which substructure is erased by modelling star forming regions using the NBODY6 code. Our stars are initially distributed in clumps, and embedded in a static potential to mimic the gravitational influence of the gas envelope on stellar dynamics. We conduct a parameter study to investigate the key parameters affecting the rate at which substructure is erased. Key parameters include the initial Virial ratio of the clumps within the gas potential, the filling factor of clumps within the gas, the initial number of clumps, and the star formation efficiency of the total star-forming region.

We find a number of new and different results, than of those presented in a similar study in Fellhauer et al. 2009 (F09). These differences arise predominantly due to the proper treatment of two-body encounters in our simulations. Our key results may be summarised in the following:

(i) Clusters form predominantly from stars that are scattered out of clumpy substructure, and not by clump merging.

(ii) As a result, the rate at which a cluster forms is a strong function of the relaxation time within the clumps. Unlike in F09, the star formation efficiency of the region does not effect the cluster formation rate.

(iii) The initial Virial ratio of the clumps is also a key parameter controlling the rate at which a cluster forms. The lower the initial Virial ratio, the more rapidly substructure is erased and a cluster forms.

As inter-clump scattering has been demonstrated to be of such importance to cluster formation, it is vital that models considering the stellar dynamics of star-forming regions do so correctly. The use of softened gravity between stars will result in suppression of two-body encounters, and as such a key channel by which clusters form will be missed.

Furthermore, if star clusters form by scattering of stars from clumps, there is an increased likelihood that a moving subclump can leave a trail of stars which maintain a velocity signature of the clump from which they originated. Similar trails are reported in simulations of massive stars clusters within a dark matter halo [Assmann et al. 2010]. Such velocity structures may be observable in young clusters with the advent of Gaia. If so, we anticipate that these observations could provide strong constraints on the recent formation history of young clusters. We defer a detailed study of this topic to a latter paper [Smith et al. 2011 in prep.).

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