Risk of developing severe sepsis after acute kidney injury: a population-based cohort study

Tai-Shuan Lai1,2, Cheng-Yi Wang3, Sung-Ching Pan4, Tao-Min Huang5, Meng-Chun Lin4, Chun-Fu Lai4, Che-Hsiung Wu6, Vin-Cent Wu4*, Kuo-Liong Chien2* and on behalf of the National Taiwan University Hospital Study Group on Acute Renal Failure (NSARF)

Abstract

Introduction: Sepsis has been a factor of acute kidney injury (AKI); however, little is known about dialysis-requiring AKI and the risk of severe sepsis after survival to discharge.

Methods: We conducted a population-based cohort study based on the Taiwan National Health Insurance Research Database from 1999 to 2009. We identified patients with AKI requiring dialysis during hospitalization and survived for at least 90 days after discharge, and matched them with those without AKI according to age, sex, and concurrent diabetes. The primary outcome was severe sepsis, defined as sepsis with a diagnosis of acute organ dysfunction. Individuals who recovered enough to survive without acute dialysis were further analyzed.

Results: We identified 2983 individuals (mean age, 62 years; median follow-up, 3.96 years) with dialysis-requiring AKI and 11,932 matched controls. The incidence rate of severe sepsis was 6.84 and 2.32 per 100 person-years among individuals with dialysis-requiring AKI and without AKI in the index hospitalization, respectively. Dialysis-requiring AKI patients had a higher risk of developing de novo severe sepsis than the non-AKI group. In subgroup analysis, even individuals with recovery from dialysis-requiring AKI were at high risk of developing severe sepsis.

Conclusions: AKI is an independent risk factor for severe sepsis. Even patients who recovered from AKI had a high risk of long-term severe sepsis.

Introduction

Sepsis can cause multiorgan dysfunction, especially of the heart, lung and kidney, causing high morbidity and mortality in hospitalized patients [1]. Severe sepsis, defined as sepsis with organ dysfunction, hypoperfusion or hypotension, occurs in about 11% to 27% of ICU patients, with a mortality rate of about 18% to 55% [2-4]. The incidence and severity of sepsis has increased in recent years and has contributed substantially to the disease burden over time [5].

AKI is a common complication, especially in critically ill patients, occurring in 8% of hospitalized patients and in approximately 50% of ICU patients [6]. Sepsis is the most common cause of AKI. Severe sepsis, including septic shock, accounts for almost half of all cases of AKI in the ICU [7,8]. In humans, extrarenal organ dysfunction frequently coexists with AKI, potentiating the already high rates of AKI-associated morbidity and mortality. Unique systemic inflammatory patterns have also been observed for different mechanisms of AKI [9], as the deleterious interaction arises, at least in part, from systemic inflammatory changes, activation of proapoptotic pathways, increases in leukocyte trafficking and dysregulated channel expression [10]. These in turn can lead to long-term sepsis. The aim of this study was to evaluate the incidence of severe sepsis after hospitalization in patients with or without AKI requiring dialysis in a large-scale, population-based administration database in Taiwan. We hypothesized that AKI might be an independent risk factor for developing severe sepsis, regardless of recovery of renal function recovery.
Materials and methods

Data sources
The National Health Insurance (NHI) is a nationwide, compulsory, comprehensive health system in Taiwan. Patients were drawn from the NHI Research Database (NHIRD), which was released for research purposes by the National Health Research Institutes, Taipei, Taiwan [11]. The NHIRD, one of the largest databases in the world, covers nearly all (99%) inpatient and outpatient claims for its population of more than 22 million people, and it has been used extensively in previous studies [5,12]. The NHIRD provides encrypted patient identification numbers; age; sex; dates of hospital admission and discharge; medical institutions providing services; International Classification of Diseases, Ninth Edition, Clinical Modification (ICD-9-CM), codes of diagnoses and procedures; and outcome at hospital discharge (recovered, died or transferred out).

Patient selection and definition
The NHIRD consists of all the original claim data and registration files of one million individuals from 1999 to 2008. These one million individuals were randomly sampled from the 2000 Registry for Beneficiaries of the Taiwan NHI program and screening comorbidities by using the medical records for one year preceding admission. We linked to diagnostic codes through the hospitalization claims data to identify all episodes of AKI and the corresponding order codes used for the study subjects. We defined the first AKI hospitalization requiring dialysis as the index hospitalization for each individual. Participants who received dialysis during the index hospitalization were included. We excluded individuals who had a previous diagnosis of AKI, had received a kidney transplant or any form of dialysis preceding the index hospitalization AKI (n = 6,420), were hospitalized for more than 180 days with AKI (n = 36), died during the index hospitalization AKI (n = 718) or withdrew from the NHI within three months after discharge (n = 1,382). Each AKI patient was then matched with four hospitalized patients without AKI according to age, sex and history of diabetes (Figure 1). Informed consent was originally obtained by the NHRI, and, since patients were anonymous in the present study, informed consent was not required. Also, since the identification numbers of all individuals in the NHRID were encrypted to protect the privacy of the individuals, this study was exempt from a full ethical review by the institutional ethics review board of National Taiwan University Hospital (reference no. 201212021RINC).

Ascertainment of study outcome
Patients were tracked for outcomes beginning 90 days after discharge and ending at 31 December 2009. The main study outcome was severe sepsis. The method of identification of patients with severe sepsis was similar to that used by Angus et al. [3], who selected all acute care hospitalizations with ICD-9-CM codes for both a bacterial or fungal infection process and a diagnosis of

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Figure 1 Flow diaphragm of the study population. AKI: acute kidney injury.
acute organ dysfunction. We used codes for acute organ dysfunction as modified by Shen et al. [5] (listed in Table 1). Sepsis was defined according to the American College of Chest Physicians/Society of Critical Care Medicine (ACCP/SCCM) as systemic inflammatory syndrome in response to infection, which, when associated with acute organ dysfunction, is said to be severe [2]. For patients with more than one hospital admission for severe sepsis during the study period, the first episode of sepsis was included.

| Table 1 Acute organ dysfunction code for severe sepsis |
|---|---|---|
| Organ dysfunction | Codes | Code description |
| Cardiovascular | 458.0 | Hypotension, postural |
| | 458.8 | Hypotension, specified type, not elsewhere classified |
| | 458.9 | Hypotension, arterial, constitutional |
| | 785.5 | Shock |
| | 785.51 | Shock, cardiogenic |
| | 785.59 | Shock, circulatory or septic |
| | 796.3 | Hypotension, transient |
| Respiratory | 518.81 | Acute respiratory failure |
| | 518.82 | Acute respiratory distress syndrome (ARDS) |
| | 518.85 | ARDS after shock or trauma |
| | 786.09 | Respiratory insufficiency |
| | 799.1 | Respiratory arrest |
| | 96.7 | Ventilator management |
| | (96.71, 96.72) | |
| | 96.04 | Endotracheal intubation (emergency procedure) |
| | 93.9 | Continuous positive airway pressure |
| Renal | 580.x | Acute glomerulonephritis |
| | 584.x | Acute renal failure |
| | 586 | Renal shutdown, renal failure unspecified |
| | 39.95 | Hemodialysis |
| Hepatic | 570 | Acute hepatic failure or necrosis |
| | 572.2 | Hepatic encephalopathy |
| | 573.3 | Hepatitis (septic and not elsewhere classified) |
| | 573.4 | Hepatic infarction |
| Neurologic | 293 | Transient organic psychosis |
| | 348.1 | Anoxic brain injury |
| | 348.3 | Encephalopathy, acute |
| | 780.01 | Coma |
| | 780.09 | Altered consciousness, unspecified |
| | 80.14 | Electroencephalography |
| Hematologic | 286.2 | Disseminated intravascular coagulation |
| | 286.6 | Purpura fulminans |
| | 286.9 | Coagulopathy |
| | 287.3-5 | Thrombocytopenia, primary, secondary or unspecified |
| | 790.92 | Abnormal coagulation profile |
| Metabolic | 276.2 | Acidosis, metabolic or lactic |

Statistical analyses

For individuals with and those without AKI and dialysis, continuous variables were compared using an unpaired t-test and are expressed as mean values with SD. Categorical variables were compared using a χ² test and expressed as a percentage. The person-years were calculated from enrollment to outcome, death or the end of the study period. Incidence rates of severe sepsis were calculated for participants with and those without AKI and dialysis, respectively.

Each acute dialysis patient was matched with four non-AKI individuals according to age, sex and history of diabetes. Crude hazard ratios (HRs) with 95% confidence intervals (CIs) were derived from Cox proportional hazards models, and matched individuals without AKI or dialysis constituted the reference group. A Kaplan–Meier curve was generated from unadjusted hazards models. We further adjusted for age, sex, comorbidities, procedure codes and a propensity score in the multivariate models. Subsequent advanced chronic kidney disease (CKD) after discharge was evaluated for its effect on long-term severe sepsis using a time-dependent Cox analysis. The adjusted HR values for severe sepsis were further stratified according to comorbidities.

We used a propensity score approach to account for baseline differences between the AKI groups. Multiple logistic regressions were used to generate propensity scores, which were the predicted probabilities of AKI requiring dialysis. Covariates that were statistically significant in the unadjusted analysis were fit into the model, including age; ICU admission during the index hospitalization; admission frequency; use of mechanical ventilation; comorbidities before admission, such as diabetes mellitus, tumor with metastasis, CKD, chronic obstructive pulmonary disease and congestive heart failure; and comorbidities during index hospitalization, such as those of renal, metabolic, pulmonary or cardiovascular origin. We incorporated this score into a Cox proportional hazards model as a covariate.

In subgroup analysis, each acute dialysis patient with recovery from dialysis was matched with patients without AKI according to age, sex and history of diabetes. A two-sided P value less than 0.05 was considered statistically significant. All data were analyzed using R statistical software (version 2.14.1; Free Software Foundation, Inc, Boston, MA, USA).
Results
A total of 2,983 individuals with AKI requiring dialysis who survived at least 90 days after hospital discharge were identified, and 11,932 individuals without dialysis or AKI were matched. Baseline characteristics between individuals with or without AKI acute dialysis are listed in Table 2. The acute dialysis group had more macrovascular and microvascular disease than the non-AKI group.

Table 2 Baseline characteristics of study population stratified by acute kidney injurya

| Patient characteristics                  | Non-AKI group | AKI group | P-value |
|-----------------------------------------|---------------|-----------|---------|
| Mean age (years)                        | 62.03 ± 14.84 | 62.03 ± 14.84 | 0.995   |
| Males (%)                               | 6,004 (50.3%) | 1,501 (50.3%) | 0.999   |
| Admission frequency                     | 0.57 ± 1.61   | 2.28 ± 2.75  | <0.001  |
| Charlson Comorbidity Index score        | 1.67 ± 1.72   | 3.66 ± 2.17  | <0.001  |
| Comorbidities (before admission)        |               |            |         |
| Myocardial infarction                   | 122 (1%)      | 89 (3%)    | <0.001  |
| Congestive heart failure                | 426 (3.6%)    | 549 (18.4%)| <0.001  |
| Peripheral vascular disease             | 161 (1.3%)    | 102 (3.4%) | <0.001  |
| Cerebrovascular disease                 | 1,117 (9.4%)  | 477 (16%)  | <0.001  |
| Dementia                                | 204 (1.7%)    | 87 (2.9%)  | <0.001  |
| COPD                                    | 1,854 (15.5%) | 497 (16.7%) | 0.137   |
| Rheumatologic disease                   | 158 (1.3%)    | 55 (1.8%)  | 0.038   |
| Peptic ulcer                            | 2,182 (18.3%) | 786 (26.3%) | <0.001  |
| Moderate or severe liver disease        | 1,288 (10.8%) | 285 (9.6%)  | 0.049   |
| Diabetes mellitus                       | 5,973 (50.1%) | 1,494 (50.1%) | 0.984 |
| Hemiplegia                              | 147 (1.2%)    | 68 (2.3%)  | <0.001  |
| Chronic kidney disease                  | 845 (7.1%)    | 2,248 (75.4%) | <0.001 |
| Solid tumor                             | 657 (5.5%)    | 193 (6.5%)  | 0.047   |
| Tumor with metastasis                   | 198 (1.7%)    | 40 (1.3%)  | 0.252   |
| Comorbidities (during index hospitalization) |           |            |         |
| Cardiovascular                          | 89 (0.7%)     | 84 (2.8%)  | <0.001  |
| Respiratory                             | 100 (0.8%)    | 286 (9.6%) | <0.001  |
| Hepatic                                 | 96 (0.8%)     | 36 (1.2%)  | 0.048   |
| Neurologic                              | 18 (0.2%)     | 48 (1.6%)  | <0.001  |
| Hematologic                             | 56 (0.5%)     | 29 (1%)    | 0.002   |
| Metabolic                               | 5 (0%)        | 98 (3.3%)  | <0.001  |
| Operative categories                    |               |            |         |
| Cardiothoracic                          | 78 (0.7%)     | 51 (1.7%)  | <0.001  |
| Upper GI                                | 72 (0.6%)     | 12 (0.4%)  | 0.219   |
| Lower GI                                | 128 (1.1%)    | 20 (0.7%)  | 0.049   |
| Hepatobiliary                           | 197 (1.7%)    | 13 (0.4%)  | <0.001  |
| ICU admission during index hospitalization | 898 (7.5%) | 948 (31.8%) | <0.001  |
| Mechanical ventilation                  | 376 (3.2%)    | 527 (17.7%)| <0.001  |
| Mortality                               | 2603 (21.8%)  | 1,386 (46.5%) | <0.001 |
| Severe sepsis                           | 1,250 (10.5%) | 675 (22.6%) | <0.001  |

*AKI: acute kidney injury; COPD: chronic obstructive pulmonary disease; GI: gastrointestinal.
hospitalization, including cardiovascular, peripheral vascular and cerebrovascular disease. Participants with acute dialysis had a much higher prevalence of underlying CKD (75.4% vs. 7.1%) than did non-AKI patients. During their hospitalization, patients with acute dialysis were more likely to receive mechanical ventilation (17.7 vs. 3.2%; \(P < 0.001\)), had higher long-term mortality (46.5 vs. 21.8%; \(P < 0.001\)) and developed severe sepsis more often (22.6 vs. 10.5%) than did patients without AKI. The respective rates for severe sepsis were 22.6% and 10.5%.

After a median follow-up period of 3.96 years, the incidence rates of severe sepsis were 6.84 and 2.32 per 100 person-years among individuals with and those without AKI and dialysis in the index hospitalization, respectively, resulting in a HR of 2.87 (95% CI: 2.62 to 3.16; \(P < 0.001\)). The propensity score–adjusted HR was 1.83 (95% CI, 1.57 to 2.13; \(P < 0.001\)). After multivariable adjustment, patients with AKI requiring dialysis were at a higher risk than patients in the non-AKI group for developing de novo severe sepsis (HR: 1.99 (95% CI: 1.71 to 2.31); \(P < 0.001\)) (Table 3). This model had good validity with a C-index concordance statistic of 0.79 and an adjusted generalized \(R^2\) of 0.12. The Cox proportional hazards model is plotted in Figure 2. In time-dependent analysis, the AKI group requiring dialysis had a higher risk of developing severe sepsis than the non-AKI group in the first year and decreased gradually with time (one-year HR: 3.44 (95% CI: 2.59 to 4.56), two-year HR: 2.26 (95% CI: 1.83 to 2.78) and three-year HR: 2.05 (95% CI: 1.70 to 2.48), respectively) (Table 4). For participants with and those without recovery from AKI and dialysis, the tendency for the development of severe sepsis did a 95% CI of 0.9 to 1.34 (\(P = 0.457\)). The increased risk of developing long-term severe sepsis following hospitalization complicated by AKI requiring dialysis was consistent across patient subgroups, including cerebrovascular disease, chronic obstructive pulmonary disease, hemiplegia and diabetes mellitus (Figure 3).

In a subgroup analysis, we compared individuals who recovered from AKI requiring dialysis with non-AKI individuals. The crude HR and propensity score–adjusted HRs were 2.80 (95% CI: 2.34 to 3.34; \(P < 0.001\)) and 1.48 (95% CI: 1.06 to 2.06; \(P = 0.02\)), respectively. The recovery group was at a higher risk for severe sepsis than the non-AKI group after multivariable adjustment for the covariates listed in Table 3 (HR: 1.58 (95% CI: 1.15 to 2.16); \(P < 0.001\)). This model also showed good validity, with a C-index concordance statistic of 0.78 and an adjusted generalized \(R^2\) of 0.15.

### Discussion

AKI is an independent predictor of increasing mortality in critically ill patients [13,14]. Our study demonstrates that AKI requiring dialysis is associated with subsequent long-term severe sepsis. After a median follow-up of 3.96 years, the incidence of severe sepsis in the AKI group was about threefold the incidence of severe sepsis in the non-AKI group. In a subgroup analysis, we found that, even in individuals who recovered from AKI and dialysis, the incidence of severe sepsis was 58% higher than that in individuals without AKI, suggesting that

### Table 3

Crude and adjusted hazard ratios of severe sepsis for acute kidney injury status

| Analysis model | AKI vs. non-AKI | Recovery vs. non-AKI |
|----------------|-----------------|---------------------|
|                | HR (95% CI)     | \(P\)-value         | HR (95% CI)     | \(P\)-value         |
| Univariate     | 2.87 (2.62 to 3.16) | <0.001              | 2.80 (2.34 to 3.34) | <0.001              |
| Multivariate   |                 |                     |                   |                     |
| Model 1        | 1.83 (1.57 to 2.13) | <0.001              | 1.48 (1.06 to 2.06) | 0.02                |
| Model 2        | 1.99 (1.71 to 2.31) | <0.001              |                   |                     |
| Model 3        | 1.58 (1.15 to 2.16) | <0.001              |                   |                     |
| Model 4        | 1.95 (1.67 to 2.28) | <0.001              |                   |                     |

*AKI: acute kidney injury; HR: hazard ratio. Model 1: Adjusted for age, sex and propensity score. Model 2: Adjusted for age, sex, propensity score, ICU admission, admission frequency, Charlson Comorbidity Index score, comorbidities before index hospitalization (cerebrovascular disease, dementia, chronic obstructive pulmonary disease, hemiplegia and metastatic tumor) and respiratory and hematologic comorbidities during index hospitalization. Model 3: Adjusted for age, sex, propensity score, admission frequency, Charlson Comorbidity Index score, comorbidities before index hospitalization (peptic ulcer and diabetes mellitus) and cardiovascular and respiratory comorbidities during index hospitalization. Model 4: Model 2 adjusted with subsequent advanced CKD after hospital discharge as a time-varying covariate. Advanced CKD is defined as patients with serum creatinine levels above 6 mg/dl and a concomitant erythropoiesis-stimulating agent prescription.
AKI per se is an independent risk factor for developing severe sepsis, regardless of recovery from AKI. The prevalence rate of severe sepsis was 2.32 per 100 person-years in the non-AKI group, which is consistent with the national estimate of 2.26 per 100 person-years in hospital patients in the United States [3].

The prevalence of sepsis after AKI has been underestimated, and, until now, only a few studies have emphasized the effect of AKI on the incidence of sepsis. For example, a cohort study of contrast-induced AKI illustrated that 45% of patients developed sepsis subsequently during hospitalization, but the long-term development of sepsis was not reported [15]. Another study retrospectively investigated the incidence of infection in critically ill patients with AKI and found that 80% of critical ill patients with AKI developed infections during hospitalization [16]. Of all episodes of infection, 46.2% occurred before, 40.3% during and 13.4% after discontinuation of renal replacement therapy. Single-center enrollment or a small sample size limits the robustness of studies, however, and the definition of sepsis is often overestimated. Recently, in a multicenter, observational study, Mehta et al. analyzed data from 618 critically ill patients in the ICU and examined the relationship between sepsis and AKI [17]. The mortality rate for patients with who developed sepsis after AKI was higher than that for sepsis-free patients (44% vs. 21%; P < 0.001) and similar to that for patients with sepsis preceding AKI (48% vs. 44%; P = 0.41). By using comprehensive administrative data in Taiwan in our present study, we have provided strong evidence that AKI is an independent risk factor for developing severe sepsis, even when it occurs a long time after index hospitalization. Furthermore, although dialysis was an important predictor of sepsis after AKI diagnosis, our results show that patients who recovered from dialysis still had a higher risk of subsequent severe sepsis, suggesting that chronic dialysis itself is not the crucial source of post-AKI sepsis in these patients.

Patients in the ICU are dying as a result of AKI, but not simply from AKI. Experimental and small observational studies have shown that AKI negatively affects immunity and is associated with higher rates of infection [18]. AKI patients frequently develop a vicious cycle of immune dysfunction, sepsis and multiorgan failure. Indeed, severe sepsis is currently the major cause of AKI in the United States [19]. The host response to sepsis involves an inflammatory response which activates innate immunity. If this persists, the immune response will lead to a release of a multitude of proinflammatory products, which frequently cause organ dysfunction, including kidney failure [20–26]. Our finding that patients with AKI were fragile and were more likely to develop severe sepsis reinforces the fact that acute renal dysfunction plays a crucial immunomodulatory role in individuals in stressed states. Also, AKI has been proved to facilitate organ cross-talk in the heart, brain, lungs, liver and other organs via the relevant biological pathways [27,28]. Multiple end-stage organ injury after AKI may worsen the severity of infection in severe sepsis.

Though renal function has been thought to be reversible after AKI, recent studies have provided evidence that AKI increases the risk of CKD and end-stage renal disease requiring dialysis [7,29,30]. A systematic review and meta-analysis showed a higher risk of CKD and chronic dialysis in patients after AKI [31]. Clinical studies have also demonstrated that CKD attenuated immunity and subsequently increased the risk of pneumonia, influenza and associated sepsis [32]. Thus, increased risk of severe sepsis, even among the recovery group, with subsequent CKD and impaired immunity is reasonable. In our analysis, we further adjusted for advanced CKD status represented by erythropoietin use, and we found that the AKI group requiring dialysis was still at a higher risk for developing severe sepsis (HR: 1.95 (95% CI: 1.67
to 2.28); $P < 0.001$), suggesting that patients with AKI are still at risk of developing severe sepsis after removal of the uremia effect.

Our study has several limitations. First, when using administrative databases, the diagnosis of AKI, severe sepsis and other comorbidities are totally based on ICD and procedure codes, so misclassification inevitably occurs. However, the misclassification is often nondifferential and the outcome difference is toward null. In a recent validation study, a diagnostic code for AKI plus a procedure code for dialysis had a sensitivity and specificity of more than 90% for capturing patients with AKI requiring dialysis [33]. Furthermore, the NHIB in Taiwan has randomly reviewed medical charts and audited all medical charges [34]; hence, the data quality is trustworthy. Second, individual information such as smoking, drinking and site of infection was not available through administrative data in the NHIRD. We were also unable to obtain detailed laboratory data, such as serum creatinine level or urinary protein excretion. There may be residual confounding factors caused by these unknown data. However, these factors have less effect on our outcome than the factors we adjusted for, and the robustness of the association between AKI and long-term severe sepsis is largely unaffected.

**Conclusions**

In this study, we have demonstrated that AKI is an independent risk factor for the development of long-term severe sepsis. The incidence of severe sepsis is threefold in patients with AKI requiring dialysis compared with non-AKI patients. Our study further indicates that individuals who recovered from AKI and dialysis still had a higher risk than non-AKI patients of developing severe sepsis. Therefore, all AKI patients requiring dialysis, regardless they recover, should be considered at risk for severe sepsis and should be aggressively managed for infection prevention. Because of the high mortality in severe sepsis, awareness of the high risk of severe sepsis after AKI should be raised in outpatient clinical practice.

**Key messages**

- Sepsis has been a factor in patients with AKI; however, the long-term risk of severe sepsis in patients after AKI requiring dialysis may be overlooked.
- We have revealed that AKI is an independent risk factor for the development of long-term severe sepsis. The incidence of severe sepsis in the AKI group was about threefold the incidence of severe sepsis in the non-AKI group after a median of four years follow-up.
- We also revealed that even patients who recovered from AKI had a high risk of long-term severe sepsis. Therefore, we should view AKI as not only a self-limiting acute disease but also a long-lasting immunomodulatory disorder.
- We need to raise the awareness of the high risk of severe sepsis after AKI in outpatient clinical practice. All AKI patients requiring dialysis, regardless of whether they recover, should be aggressively managed for infection prevention.
Abbreviations
ACCP/SCCM: American College of Chest Physicians/Society of Critical Care Medicine; AKI: Acute kidney injury; CI: Confidence interval; CKD: Chronic kidney disease; COPD: Chronic obstructive pulmonary disease; DM: Diabetes mellitus; HR: Hazard ratio; ICD-9-CM: International Classification of Diseases, Ninth Edition, Clinical Modification; NHI: National Health Insurance; NHIRD: National Health Insurance Research Database.

Competing interests
The authors declare that they have no competing interests.

Authors’ contributions
TSL conceived the study, participated in data collection, performed statistical analysis, interpreted the results and wrote the manuscript; CYW, SCP, TMH, CFL and VCW participated in data collection and manuscript revision. MCL and CHW participated in data collection. VCW and KLC conceived the study and participated in manuscript revision. All authors read and approved the final manuscript.

Authors’ information
The National Taiwan University Hospital Study Group for Acute Renal Failure (NSARF) includes Wen-Je Ko, Vin-Cent Wu, Chun-Fu Lai, Tao-Min Huang, Tai-Shuan Lai, Yung-Ming Chen, Chih-Chung Shiao, Wei-Shun Yang, Wei-Jie Wang, Likwang Chen, Cheng-Yi Wang, Pei-Chen Wu, Pi-Ru Tsai, Yu-Chang Yeh, Fu-Chang Hu and Kwan-Dun Wu.

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Author details
1Department of Internal Medicine, National Taiwan University Hospital, Bei-Hu Branch, 87 Neijiang St, Taipei 108, Taiwan. 2Institute of Epidemiology and Preventive Medicine, College of Public Health, National Taiwan University, 17 Xu-Zhou Rd, Taipei 100, Taiwan. 3Department of Internal Medicine, Cardinal Tien Hospital, 362 Zhonghe Rd, New Taipei City 231, Taiwan. 4Department of Internal Medicine, National Taiwan University Hospital, 7 Chung-Shan S Rd, Taipei 100, Taiwan. 5Department of Internal Medicine, National Taiwan University Hospital, Yun-Lin Branch, 579 Sec 2, Yunlin Rd, Douliou City, Yunlin County 640, Taiwan. 6Department of Internal Medicine, Buddhist Tzu Chi General Hospital, 289 Jianguo Rd, New Taipei City 231, Taiwan.

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