On positive geometry and scattering forms for matter particles

Aidan Herderschee, a Song He, b,c Fei Teng d and Yong Zhang b,c,e

a Leinweber Center for Theoretical Physics, Randall Laboratory of Physics, Department of Physics, University of Michigan, Ann Arbor, MI 48109, U.S.A.
b CAS Key Laboratory of Theoretical Physics, Institute of Theoretical Physics, Chinese Academy of Sciences, Beijing 100190, China
c School of Physical Sciences, University of Chinese Academy of Sciences, No. 19A Yuquan Road, Beijing 100049, China
d Department of Physics and Astronomy, Uppsala University, 75108 Uppsala, Sweden
e Perimeter Institute for Theoretical Physics, Waterloo, ON N2L 2Y5, Canada

E-mail: aidanh@umich.edu, songhe@itp.ac.cn, fei.teng@physics.uu.se, yongzhang@itp.ac.cn

ABSTRACT: We initiate the study of positive geometry and scattering forms for tree-level amplitudes with matter particles in the (anti-)fundamental representation of the color/flavor group. As a toy example, we study the bi-color scalar theory, which supplements the bi-adjoint theory with scalars in the (anti-)fundamental representations of both groups. Using a recursive construction we obtain a class of unbounded polytopes called open associahedra (or associahedra with certain facets at infinity) whose canonical form computes amplitudes in bi-color theory, for arbitrary number of legs and flavor assignments. In addition, we discuss the duality between color factors and wedge products, or “color is kinematics”, for amplitudes with matter particles as well.

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1 Invitation: bi-color scalars and open associahedra

In [1], a novel geometric understanding, which resembles the amplituhedron for the planar $\mathcal{N} = 4$ SYM [2, 3], has been proposed for scattering amplitudes in various massless theories in general spacetime dimension. The key idea is, instead of considering amplitudes as functions, they are most naturally thought of as differential forms on the kinematic space spanned by Mandelstam variables. In particular, tree-level amplitudes of bi-adjoint $\phi^3$ theory are given by the canonical form [4] of a classic polytope — the associahedron, living naturally in a subspace of the kinematic space. In this so-called ABHY (Arkani-Hamed, Bai, He, Yan) realization of the kinematic associahedron, the usual Feynman-diagram
expansion in terms of planar cubic tree graphs corresponds to a particular triangulation, and the geometric picture allows us to see hidden symmetry and obtain new formula, even for planar $\phi^3$ amplitudes [5].

More generally, for tree amplitudes in any massless theories with adjoint particles, such as Yang-Mills theory (YM) or $U(N)$ non-linear sigma models (NLSM), the scattering forms on kinematic space are dual to the fully color-dressed amplitudes despite having no explicit color factors. This is due to the fact that wedge products of Mandelstam variables in the scattering forms satisfy the same Jacobi relations as color factors, a statement dubbed “Color is Kinematics”; relatedly, the usual trace decomposition was shown to be equivalent to pullback of scattering forms to subspace for the associahedron of a planar ordering. All these scattering forms are $d\log$ forms for $\phi^3$ diagrams dressed with kinematic numerators, and requiring them to be well-defined on the projectivized kinematic space leads to a geometric origin for color-kinematics duality.

A natural question is how general is this geometric picture for scattering amplitudes. For example, can it be extended to more general theories, such as QCD, which have particles in the (anti-)fundamental representations? Since we work in general dimensions where mass can be obtained via dimensional reduction, it is convenient to restrict to the massless case. Recently there has been progress in the study of QCD amplitudes in the context of color-kinematics duality and double copy of Bern-Carrasco-Johansson (BCJ) [6]. In particular, a generalization of bi-adjoint $\phi^3$ theory has been proposed, which includes scalars in the (anti-)fundamental representations of both groups, e.g., $U(N) \times U(N')$ [7]. We use $[T^a, T^b] = \tilde{f}^{abc}T^c$ and $\text{tr}(T^aT^b) = \delta^{ab}$ as the normalization of Lie algebra generators and structure constants. The Lagrangian reads:

$$L_{\phi^3} = \frac{1}{2} \partial_\mu \phi^a A^\mu \phi^a A^\mu + \frac{\lambda}{6} \tilde{f}^{abc} \tilde{f}^{ABC} \phi^a \phi^b \phi^c + \sum_{r=1}^{n_f} \left[ \partial_\mu (\varphi_r) \partial^\mu (\varphi_r^*) I^2 + \lambda \phi^a A^\mu (\varphi_r^*) I^2 (T^a)_{\mu}^\nu (T^a)_{\nu}^\rho (\varphi_r)_{\rho} \right],$$

where $\lambda$ is an arbitrary coupling constant, $r$ is the flavor index of the complex scalar $\varphi$, and $n_f$ is the total number of flavors. The real scalar $\phi$ carries adjoint representation indices $(a, A)$ of the symmetry group $U(N) \times U(N')$, while $\varphi$ and $\varphi^*$ carry fundamental representation indices $(i; I)$ and anti-fundamental ones $(\bar{i}; \bar{I})$ respectively. More details will be given in section 2.4. Among other things, amplitudes of such bi-color scalars play an important role for KLT-type double copy relations for QCD amplitudes [8, 9], just as the original KLT relations have the bi-adjoint $\phi^3$ amplitudes as the central object [10, 11]. In this paper, we initiate systematic studies of a geometric picture for amplitudes with (anti-)fundamental particles by considering tree amplitudes of the bi-color scalar theory. There is a direct generalization of the kinematic associahedron, which computes bi-color scalar amplitudes, and the statement “color is kinematics” also extends to these cases.

Recall that for any assignment of adjoint and (anti-)fundamental particles, flavor decomposition of the amplitude for both $U(N)$ and $U(N')$ lead to a matrix of bi-color scalar

\footnote{More precisely, the results in this paper will not change if fundamental representation particles acquire mass from a dimensional reduction.}
(double-partial) amplitudes $m[\alpha|\beta]$, where $\alpha$ and $\beta$ denote the orderings; $m[\alpha|\beta]$ is given by a sum of trivalent tree graphs, which are determined by the collection of all poles compatible with both orderings and the flavor structure for particles in the (anti-)fundamental. For simplicity, we restrict ourselves to the diagonal case with $\alpha = \beta = (12 \cdots n)$, $A_n := m[12\cdots n | 12\cdots n]$, since more general cases can be viewed as the intersection of diagonal cases [8, 11–13]. In the special case with only bi-adjoint particles, the amplitude is given by the sum of all (Catalan number of) planar cubic tree graphs, which contain all $n(n-3)/2$ planar variables since there is no restriction from flavor structures. As we will review shortly, the bi-adjoint $\phi^3$ amplitude is given by the canonical form of a $(n-3)$-dim associahedron in kinematic space; the latter is the intersection of (1) the top-dimensional cone with all planar variables being positive, and (2) an $(n-3)$-dim subspace given by all non-adjacent $s_{i,j}$ with $1 \leq i < j < n$ being negative constants $(n(n-3)/2 - (n-3) = (n-2)(n-3)/2$ constraints in total) [1].

As a main result of this paper, which will be presented in section 3, we obtain any general bi-color amplitude $A_n$ as the canonical form of an open associahedron, i.e. an associahedron with faces sent to infinity. In fact, such open associahedra already appear for off-diagonal $m[\alpha|\beta]$ for bi-adjoint cases [1], and our results are a generalization in the presence of $k$ pairs of (anti-)fundamental particles with distinct flavors. Out of all the $n(n-3)/2$ planar poles, only $\mathfrak{R}$ of them are allowed by the flavor structure and color ordering while the remaining ones are set to positive constants. Almost identical to the bi-adjoint case, we can construct any open associahedron as the intersection of an $\mathfrak{R}$-dim positive cone with $\mathfrak{R} \leq n(n-3)/2$ and a $(n-3)$-dim subspace given by $\mathfrak{R} - (n-3)$ constraints that are still in the form of setting certain Mandelstam variables to negative constants. Very interestingly, these constraints can be given by a recursive procedure that generalizes the “inverse soft construction” of the bi-adjoint associahedra. We can fix the entire open associahedra through the analysis of two factorization channels (facets) at each step. The basic observation we have is that the pullback of planar scattering form to the subspace gives the canonical form of open associahedron, which consists of only those Feynman diagrams allowed by the flavor structure.

Let’s present a few explicit examples to illustrate the simplicity of our construction, and the detailed discussion will be given in section 3.2. We put labels $1, 2, \ldots, n$ on the boundary of a disk, and represent the flavor structure by connecting each pair of particles in the (anti-)fundamental by a directed line. For example, for $n = 4$ we have three possibilities with $k = 0, 1, 2$. Note that $k = 1$ case is identical to the bi-adjoint ($k = 0$) case, and both consist of two Feynman diagrams with $s = s_{1,2}$, $t = s_{2,3}$ channels,

$$A_4 \left[ \begin{array}{c} 2 \\ 1 \\ 3 \\ 4 \end{array} \right] = \frac{1}{s} + \frac{1}{t}, \quad A_4 \left[ \begin{array}{c} 2 \\ 1 \\ 3 \\ 4 \end{array} \right] = \frac{1}{s} + \frac{1}{t}. \quad (1.2)$$

The disk graphs stand for the sum of all Feynman diagrams with cyclically ordered fundamental, anti-fundamental, and adjoint states. The corresponding associahedron is an
interval given by:

\[
\mathcal{A}_4 \begin{bmatrix} 2 & 3 \\ 1 & 4 \end{bmatrix} = \mathcal{A}_4 \begin{bmatrix} 2 & 3 \\ 1 & 4 \end{bmatrix} = \{ s > 0, t > 0, u = -s - t = -c \}, \tag{1.3}
\]

where \(c\) is a positive constant. The pullback of the planar form \(d \log \frac{s}{t}\big|_{s+t=c} = ds\left(\frac{1}{s} + \frac{1}{t}\right)\) is the canonical form of the interval, which gives the amplitude. However, for the \(k = 2\) case with e.g. \(\{1,2\}\) and \(\{3,4\}\) as two pairs of bi-fundamental scalars, the \(t\)-pole is forbidden by conservation of flavors and there is only one Feynman diagram left,

\[
\mathcal{A}_4 \begin{bmatrix} 2 & 3 \\ 1 & 4 \end{bmatrix} = \frac{1}{s}, \quad \mathcal{A}_4 \begin{bmatrix} 2 & 3 \\ 1 & 4 \end{bmatrix} = \{ s > 0, t = b > 0 \}. \tag{1.4}
\]

Here we see the simplest example of open associahedron, a half line from \(s = 0\) to infinity, which corresponds to setting the other boundary \(t = 0\) to infinity. The pullback of \(d \log s/t\) to the space gives the canonical form \(d \log s = ds/s\) (and the correct amplitude). In this case, the forbidden pole \(t\) is set to a positive constant \(b\), and we have only \(9\) = 1 pole \(s\) so there is no need to further specify a subspace. This amplitude and open associahedron are identical to those for the bi-adjoint off-diagonal case \(m\)\{1234\}\{1243\} \cite{1}.

To give further examples of our results, we need to introduce some notations. Throughout the paper, we use the following definition of Mandelstam variables:

\[
s_{i,j,k,l,\ldots} := (p_i + p_j + p_k + p_l + \ldots)^2. \tag{1.5}
\]

The subscript can also be sets:

\[
s_{A,B,C,D,\ldots} := \left( \sum_{i \in A \cup B \cup C \cup D \cup \ldots} p_i \right)^2. \tag{1.6}
\]

The planar Mandelstam variable \(X_{i,j}\) is defined as

\[
X_{i,j} := s_{i,i+1,\ldots,j-1} = (p_i + p_{i+1} + \ldots + p_{j-1})^2, \tag{1.7}
\]

such that on the support of momentum conservation \(X_{i,j} = X_{j,i}\).

As we will see shortly, it is convenient to first consider amplitudes with only bi-fundamental scalars, i.e., the case with \(n = 2k\). These represent the most non-trivial part of our construction, with adding bi-adjoint scalars relatively easier. Among such pure bi-fundamental scalar amplitudes, the simplest one correspond to the case where the \(k\) pairs of particles form parallel lines. As shown in eq. (1.8), they correspond to two pairs at two ends, \((1, 2), (k+1, k+2)\), and \(k-2\) pairs in between, \((i, n+3-i)\) for \(i = 3, \ldots, k\). It is straightforward to see that the flavor structure allows \(k-1\) propagators of the bi-adjoint.
type and $2(k-2)$ ones of the bi-fundamental type ($\mathcal{N} = 3k-5$ poles in total); there are $2^{k-2}$ Feynman diagrams as follows:

$$A_n\begin{bmatrix} 2 & k \hline k+1 \end{bmatrix} = \frac{1}{X_{1,3}} \prod_{i=3}^{k} \left( \frac{1}{X_{i,n+3-i}} + \frac{1}{X_{i+1,n+4-i}} \right) \frac{1}{X_{i+1,n+3-i}}.$$ \hspace{1cm} (1.8)

In other words, the amplitude factorizes as the product of $k-1$ factors of $\frac{1}{s}$ and $k-2$ factors of $\frac{1}{s} + \frac{1}{s}$. The corresponding open associahedron must be the direct product of $k-1$ half-lines and $k-2$ intervals. It is nice that the subspace is given by setting the $k-2 = \mathcal{N} - (n-3)$ Mandelstam variables for the non-adjacent pairs, i.e. $s_{i,n+3-i}$, to negative constants:

$$H_n\begin{bmatrix} 2 & k \hline k+1 \end{bmatrix} = \bigcup_{i=3}^{k} \left\{ -s_{i,n+3-i} = c_{i,n+3-i} > 0 \right\}.$$ \hspace{1cm} (1.9)

Requiring the $\mathcal{N}$ allowed poles to be positive, together with these subspace constraints, gives the open associahedron whose canonical form is the correct amplitude.

For $n = 2k$, the situation gets more interesting when we go beyond the parallel case, and the first such example is with three adjacent bi-fundamental pairs for $n = 6$, where we have $\mathcal{N} = 6$ allowed poles and four Feynman diagrams

$$A_6\begin{bmatrix} 2 & k \hline k+1 \end{bmatrix} = \frac{1}{X_{1,3}X_{3,5}X_{1,5}} + \frac{1}{X_{1,3}X_{3,5}X_{3,6}} + \frac{1}{X_{1,3}X_{1,4}X_{1,5}} + \frac{1}{X_{3,5}X_{2,5}X_{1,5}}.$$ \hspace{1cm} (1.10)

Remarkably, the open associahedron is given by the subspace with $N - 3 = 3$ constraints, which set three-particle Mandelstam variables to negative constants:

$$H_6\begin{bmatrix} 2 & k \hline k+1 \end{bmatrix} = \{ s_{2,5,6}, s_{3,5,6}, s_{3,4,6} \text{ set to negative constants} \}.$$ \hspace{1cm} (1.11)

The construction for open associahedron becomes more complicated as $k$ and $n$ increase. However, there exists a nice recursive procedure that allows us to insert a bi-adjoint scalar or an adjacent pair of bi-fundamental scalars. For example, for the following configurations with $n = 7$ and $n = 8$, we have $\mathcal{N} = 11$ and $\mathcal{N} = 14$ allowed poles respectively,
are positive. The remaining objective is to find a ($n$-dimensional hyperplane) whose intersection with the cone gives the associahedron. We will show in section 3 how to derive these constraints from the recursive procedure.

As our last example in the introduction, here we present a more involved case for $n = 11$, $k = 4$, which has $9 \mathcal{R} = 22$ allowed poles, $\{X_{1,3}, X_{1,4}, X_{1,6}, X_{1,7}, X_{1,8}, X_{1,9}, X_{1,10}, X_{2,8}, X_{3,6}, X_{3,7}, X_{3,8}, X_{3,9}, X_{3,10}, X_{3,11}, X_{4,6}, X_{4,7}, X_{4,8}, X_{5,7}, X_{6,8}, X_{8,10}, X_{8,11}, X_{9,11}\}$, and the subspace is given by 14 constraints:

\[
H_{11} = \begin{bmatrix}
1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 10 & 11
\end{bmatrix} = \left\{ \begin{array}{c}
s_3, 6, s_4, 6, s_3, 7, s_4, 5, 7, s_8, 10, s_2, 8, 9, 10, 11, s_3, 8, 9, 10, 11, \\
s_4, 5, 8, 9, 10, 11, s_6, 8, 9, 10, 11, s_3, 4, 5, 6, 7, 11, s_8, 11, s_9, 11, \\
s_3, 4, 5, 6, 7, 9, s_3, 4, 5, 6, 7, 10
end{array} \right\}.
\] (1.14)

The outline of this paper is as follows. We first review kinematic associahedron and bi-color theory in section 2. Then we derive the subspace for above examples, ending up with the constructions of subspace for most general cases of bi-color theory in section 3. Some deformed versions of subspace and explicit factorization examples are put in appendix A and B. In section 4, we show how “color is kinematic” for the color-dressed amplitudes of bi-color theory, with some details put in appendix C and D.

2 Review

2.1 Kinematic associahedron

A prime example of an amplitude that is the canonical form of a polytope is the case of the associahedron for bi-adjoint scalar theory, as discovered in \cite{[1]}. Here we give a brief review of it. In large enough spacetime dimensions, the kinematic space of $n$ massless particles, $\mathcal{K}_n$, can be spanned by all independent $s_{i,j}$’s, thus it has dimension $d = n(n-3)/2$. Since there are $n(n-3)/2$ planar poles $X_{i,j}$ with $i + 1 < j$ in a cyclic ordering in the bi-adjoint scalar amplitude $m[12 \cdots n | 12 \cdots n]$, we can start with the top cone where all planar poles are positive. The remaining objective is to find a $(n-3)$-dimension hyperplane whose intersection with the cone gives the associahedron.
The hyperplane can be expressed by $d - (n - 3) = (n - 2)(n - 3)/2$ constraints. As described in [1], one way to construct the hyperplane is to set all $-s_{i,j} = X_{i,j} + X_{i+1,j+1} - X_{i,j+1} - X_{i+1,j}$ with $1 \leq i < i + 1 < j \leq n - 1$ to positive constants. For example, for the four-point case (1.2), the 2-dim cone is $\{s > 0, t > 0\}$ and the 1-dim subspace is $\{u = -s - t = -c\}$. Their intersection is an interval, which is a 1-dim associahedron.

In the following paper, we will often see the intersection of a subspace and a cone or another subspace,

$$ P = Q \cap R , $$

where $P, Q, R$ can be described by sets of constraints. In geometry, polytope $P$ is indeed an intersection of two others. However, algebraically, we can say the set of constraints $P$ for the polytope $P$ is the union of those of $Q$ and $R$,

$$ P = Q \cup R . $$

For example, for the 4-point case (1.2), we have

$$ \mathcal{A}_4 = \{s > 0, t > 0\} \cup \{u = -s - t = -c\} . $$

The cone in the construction ensures the boundaries of the associahedron correspond to some vanishing planar poles. Furthermore, the choice of the constraints for the subspace makes sure that the associahedron factorizes correctly, which means each codim-1 boundary of the associahedron is a direct product of two lower dimension associahedra, as proved in the same paper [1].

The canonical form of the associahedron, which gives the bi-adjoint scalar amplitude $m[12 \ldots n]$, can be obtained by the pullback of the planar scattering form

$$ \Omega_n[1, 2, \ldots, n] := \sum_{\text{planar } g} \text{sign}(g) \prod_{a=1}^{n-3} d \log X_{i_a,j_a} , $$

where the summation is over all the planar cubic graphs following the ordering $[1, 2, \ldots, n]$, and $X_{i_a,j_a}$’s are the propagators of the graph $g$ which become facets of the associahedron. The sign function $\text{sign}(g)$ can be uniquely fixed (up to an overall $\pm$) by requiring the planar scattering form being locally projective. We refer the readers to [1] for a more detailed definition. In addition to the four-point form $d \log \frac{t}{T}$ discussed in the introduction, at five points we have

$$ \Omega_5[1, 2, 3, 4, 5] = d \log X_{1,4} \wedge d \log X_{1,3} + d \log X_{1,3} \wedge d \log X_{3,5} + d \log X_{3,5} \wedge d \log X_{2,5} + d \log X_{2,5} \wedge d \log X_{2,4} + d \log X_{2,4} \wedge d \log X_{1,4} . $$

Similarly, we can define the $\alpha$-planar scattering form as

$$ \Omega_n[\alpha] := \sum_{\alpha-\text{planar } g} \text{sign}(g|\alpha) \prod_{a=1}^{n-3} d \log X_{\alpha(i_a), \alpha(j_a)} $$





which can be obtained from eq. (2.4) by a permutation $\alpha$. Locality and unitarity is manifest in the associahedron. In addition, “color is kinematics” in this representation, as we will review next.

2.2 Scattering forms and “color is kinematics”

Now we review the definition of the full scattering form, which is the natural generalization of eq. (2.6). The full scattering form encodes nontrivial kinematic numerators and all the color orderings. For convenience, we denote the collection of all cubic tree Feynman diagrams with $n$ external legs as $\Gamma_n$. Each $g \in \Gamma_n$ is specified by $n-3$ mutually compatible propagators. We denote them as $s_I$, where $I \in g$ are associated with the internal propagators. We define their wedge product as:

$$W(g|\alpha_g) := \text{sign}(g|\alpha_g) \wedge d s_I$$

(2.7)

where $\alpha_g$ is a color ordering compatible with $g$. The overall sign depends on ordering of the $d s$’s. Both $W(g|\alpha_g)$ and sign$(g|\alpha_g)$ satisfy the mutation and vertex flip rule [1].

The full scattering form is defined as an $(n-3)$-form in $\mathcal{K}_n$: a linear combination of $d\log$’s of propagators for each diagram,

$$\Omega_n[N] := \sum_{g \in \Gamma_n} N(g|\alpha_g) W(g|\alpha_g) \prod_{I \in g} \frac{1}{s_I},$$

(2.8)

where for any three graphs as in figure 1, we require their numerators satisfy

$$N(g_S|I_1I_2I_3I_4) + N(g_T|I_1I_4I_2I_3) + N(g_U|I_1I_3I_4I_2) = 0.$$  

(2.9)

This requirement guarantees that the scattering form is projective [1], i.e. it is invariant under a GL(1) transformation $s_I \rightarrow \Lambda(s)s_I$ for all subsets $I$ (with $\Lambda(s)$ depending on $s$).\footnote{Here the GL(1) transformation will not be applied to the numerators $N(g|\alpha_g)$. A restrict description is to use another kind of variables in the so-called big kinematic space [1]. We postpone it to section 4.1.}

An explicit example of the scattering form (2.8) is given by eq. (2.6) where the numerators are simply sign$(g|\alpha_g)$ if the diagram is compatible with $\alpha$ and 0 otherwise. Its pullback to a subspace is the canonical form of an associahedron. More examples of differential forms whose pullback are the canonical form of polytopes are given in [1, 14, 15]. Note that a linear combination of scattering forms is still a scattering form. For example, one can construct the scattering forms for YM and NLSM this way [1].

For any triplet $g_S, g_T, g_U$ of graphs that differ only by one propagator, as shown in figure 1, there is a so-called seven-term identity implied by momentum conservation,

$$s_{I_1,I_2} + s_{I_2,I_3} + s_{I_1,I_3} = s_{I_1} + s_{I_2} + s_{I_3} + s_{I_4},$$

(2.10)

where the four propagators connecting to the four subgraphs are denoted as $s_{I_1}, \ldots, s_{I_4}$. This leads to an identity that their wedge products satisfy the Jacobi identity that is equivalent to those of color factors,

$$W(g_S|I_1I_2I_3I_4) + W(g_T|I_1I_4I_2I_3) + W(g_U|I_1I_3I_4I_2) = \cdots \wedge (dS + dT + dU) \wedge \cdots = 0,$$

(2.11)
where the distinct Mandelstam variables are $S, T, U$, respectively, and “…” denotes the wedge products of the remaining $n-4$ propagators shared by the three graphs. Eq. (2.11) implies a duality between color factors and differential forms on kinematic space $K_n$:

$$C(g|\alpha_g) \leftrightarrow W(g|\alpha_g).$$

(2.12)

Hence “color is kinematics”. Considering a color-dressed amplitude $M_n$,

$$M_n[N] = \sum_{g \in \Gamma_n} N(g|\alpha_g)C(g|\alpha_g)\prod_{\ell \in g} \frac{1}{s_\ell};$$

(2.13)

the duality (2.12) leads naturally to a duality between color-dressed amplitudes and scattering forms,

$$M_n[N] \leftrightarrow \Omega_n[N].$$

(2.14)

In addition, a color-ordered amplitude can be obtained by pulling back the scattering form $\Omega_n$ onto an appropriate subspace [1].

### 2.3 Color ordered amplitudes with both adjoint and fundamental particles

A color ordered amplitude $A_n[\alpha]$ only receives contributions from the Feynman diagrams that contain no crossing edges when the external particles are put on a circle according to the ordering $\alpha$. In particular, each particle in fundamental (f) representation is connected to its anti-fundamental (af) partner by a directed line representing the color flow. For example, we have

$$A_6[1, 2, \overline{3}, 4, 5, \overline{6}] = A_6$$

$$A_8[1, 2, \overline{3}, 4, \overline{5}, 6, 7, \overline{8}] = A_8.$$
where we use underscores (bars) to denote $f$ (af) particles. We further require that the $f$ particles always come before their af partners in $\alpha$. This is because we can always use these color ordered amplitudes to linearly expand those with flipped pairs [16]. For most parts of this paper, we assume each $f$-af pair carries a distinct flavor. The single flavor case can be recovered by averaging over different flavor assignments.

By associating each $f$ particle with an open parenthesis and each af one with a closed parenthesis, we can convert an ordering $\alpha$ into a Dyck word with adjoint-representation ($\alpha\bar{\alpha}$) particle insertions, the whole set of which is denoted as Dyck$_{n,k}$ for $n$ external particles and $k$ f-af pairs. We call an f-af pair $(l,r)$ adjacent in $\alpha$ if there exists an $i$ that $\alpha(i) = l$ and $\alpha(i+1) = r$. The orderings given in eq. (2.15) can thus be written as $[(1, 2, 3), (4, 5, 6)]$ and $[(1, (2, 3), 4, 5), (6, 7, 8)]$. In the second ordering, the f-af pair $(2, 3)$ is adjacent. In this work, we study the color orderings that are given by Dyck$_{n,k}$ modulo cyclicity:

$$M_{n,k} = \text{Dyck}_{n,k}/\{\text{cyclic permutations}\},$$

(2.16)

namely, two color orderings are considered the same if they differ by a cyclic permutation that respects the parenthesis structure. For example, both orderings in eq. (2.15) have two equivalent representations:

$$[(1, 2, 3), (4, 5, 6)] \sim [(4, 5, 6), (1, 2, 3)],$$

$$[(1, (2, 3), 4, 5), (6, 7, 8)] \sim [(6, 7, 8), (1, (2, 3), 4, 5)].$$

(2.17)

At $k = 0$, $M_{n,k}$ returns to the usual trace basis for a0j particles. The size of $M_{n,k}$ is $(n-1)!/k!$ [17].

As a basis, $M_{n,k}$ is redundant since there exist linear relations between color ordered amplitudes. If there are no f-af pairs, the minimal basis under the color Lie algebra is the Del Duca-Dixon-Maltoni (DDM) basis [18], given by $A_n[1, 2, \sigma]$ with $\sigma$ a permutation of the rest $n-2$ particles. For $k \geq 1$, we can by convention label one f-af pair as $(1, 2)$. The minimal basis under the color Lie algebra is the Melia basis, in which the pair $(1, 2)$ always comes first [16, 19, 20]:

$$\{ \alpha = [(1, 2), \sigma] | \sigma \in \text{Dyck}_{n-2,k-1} \}.$$
where each $B_{i\ell}$ is again a block, but for future convenience we call it a *sub-block* of $B_i$. The definition of a block is thus recursive and it terminates when we reach an $a\bar{d}|\bar{f}$ block or an adjacent $\bar{f}|\bar{f}$ pair. We define $\text{sub}[B_i]$ as the collection of all the sub-blocks of $B_i$. For example, if $B_i$ is given by eq. (2.20), we have

$$\text{sub}[B_i] = \{B_{i_1}, B_{i_2}, \ldots, B_{i_s}\}.$$  \hspace{1cm} (2.21)

It is also convenient to view an $a\bar{d}|\bar{f}$ block $B_i = g_i$ as a degenerate limit of an $\bar{f}|\bar{f}$ block, in which $l_i = r_i = g_i$ and $\text{sub}[B_i] = \emptyset$. Pictorially, a block $B_i$ is represented by all the structures bounded by the line $(l_i, r_i)$.

### 2.4 Bi-color $\phi^3$ amplitudes

The above discussion applies to generic color ordered amplitudes, for example, QCD. Now we move on to some features peculiar to the amplitudes of the bi-color scalar theory whose Lagrangian are given by eq. (1.1). We introduce a flavor function that returns the abstract flavor symbol of the fields:

$$f(\varphi_r) = f_r, \quad f(\varphi^*_r) = -f_r, \quad f(\phi) = 0,$$

where each $f_r$ is non-numeric and distinct in the sense that $f_a \neq f_b$ with $a \neq b$ is kept unevaluated. With the help of this flavor function, we can define

$$\vartheta_I = \begin{cases} 1 & \text{if } \sum_{s \in I} f(s) = 0 \text{ or a single term } \pm f_r \\ 0 & \text{otherwise} \end{cases}, \hspace{1cm} (2.23)$$

such that a propagator $1/s_I$ is allowed by flavor conservation if and only if $\vartheta_I = 1$.

We can expand the full color-dressed amplitude of the theory (1.1) by doubly color-ordered amplitudes $m[\alpha|\beta]$. In this paper, we will mainly study the diagonal component $A_n[\alpha] := m[\alpha|\alpha]$. The major simplification in this scalar theory is that the kinematic numerator is trivial. As a result, color ordered amplitudes do not distinguish particles and anti-particles, for example, $A_6[1, 2, 3, 4, 5, 6] = A_6[\bar{T}, 3, 4, 5, 6]$, although the color factors of these two cases are different. While this feature does not change the size of $M_{n,k}$, and the minimal Melia basis is still the same, each ordering in $M_{n,k}$ potentially gets more equivalent representations. This is because we can flip the parentheses if necessary. For example, we now have

$$[(1, 2, 3), (4, 5, 6)] \sim [2, (3, (4, 5, 6), 1)], \text{ etc},$$

$$[(1, (2, 3), 4, 5), (6, 7, 8)] \sim [(2, 3), 4, (5, (6, 7, 8), 1)], \text{ etc},$$

both of which are not valid for generic color ordered amplitudes like QCD. Nevertheless, for the bi-color scalar theory (1.1), We can use this enlarged cyclic freedom to represent any $\alpha \in M_{n,k}$ as

$$\alpha = [B_1, B_2, B_3, \ldots, B_m], \text{ where either } B_1 = (l_1, r_1) \text{ or } B_1 = g_1.$$ \hspace{1cm} (2.25)
The $B_1 = g_1$ case is always possible if $adj$ particles are present. Otherwise, there must exist at least one adjacent $f$-$af$ pair, which we can identify as $B_1 = (l_1, r_1)$. If all $f$-$af$ pairs are adjacent in $\alpha$, then for each block $B_i$ in $\alpha$ we either have $B_i = (l_i, r_i)$ or $B_i = g_i$. For generic configurations, the representation is usually not unique, but any one of the equivalence class will work for our purpose.

3 Open associahedra in kinematic space

We now construct the kinematic polytope associated to the tree-level $\phi^3$ amplitudes of the bi-color theory (1.1). The discussion here applies to amplitudes with a pair of the same ordering $2$. To start with, we define $n$ as a positive region in kinematic space where all the $\alpha$-planar variables forbidden by the flavor assignment are set to positive constants. It can be written as $n = P_n \cap F_n$, where the subspace $P_n$ and $F_n$ are given by the constraints

\begin{align}
\text{(positivity constraints)} & \quad P_n[\alpha] = \{ X_{\alpha(i),\alpha(j)} \geq 0 \text{ for all } 1 \leq i < j \leq n \} , \\
\text{(flavor constraints)} & \quad F_n[\alpha] = \{ X_{\alpha(i),\alpha(j)} = b_{\alpha(i),\alpha(j)} > 0 \text{ if } \theta_{\alpha(i),\ldots,\alpha(j-1)} = 0 \} ,
\end{align}

and $\theta$ is given in eq. (2.23). The constraints that carve out the region $\Delta_n[\alpha]$ are given by $\Delta_n[\alpha] = P_n[\alpha] \cap F_n[\alpha]$. The flavor constraints, present when there are more than two $f$-$af$ pairs, remove all the Feynman diagrams that violate the flavor conservation from the $\alpha$-planar scattering form (2.6). These forbidden poles can easily be visualized in the polygon dual to Feynman diagrams. For each $f$-$af$ pair $(\alpha(i), \alpha(j))$, we draw a line connecting the edge $E_{\alpha(i),\alpha(i+1)}$ and $E_{\alpha(j),\alpha(j+1)}$, called a flavor line. Then a pole $X_{a,b}$ is forbidden by flavor conservation if the diagonal $(a, b)$ crosses two or more flavor lines. Two examples are given in figure 2. The dimension of $\Delta_n[\alpha]$ is

$$n - 3 \leq \dim \Delta_n[\alpha] \leq \frac{n(n-3)}{2} - \frac{k(k-1)}{2} ,$$

where $k$ is the number of $f$-$af$ pairs. The first equality only holds when $n=4$ and $k=2$. The maximal dimension of $\Delta_n[\alpha]$ is reached

$$\text{if all the } f \text{-af pairs are adjacent in } \alpha: \quad \dim \Delta_n[\alpha] = \frac{n(n-3)}{2} - \frac{k(k-1)}{2} .$$

To obtain the $(n-3)$-dimensional kinematic polytope $A_n[\alpha]$, we need to further restrict $\Delta_n[\alpha]$ to a subspace $H_n[\alpha]$: \[
\begin{align}
\text{(subspace)} & \quad A_n[\alpha] = H_n[\alpha] \cap \Delta_n[\alpha] , \\
\text{(set of constraints)} & \quad A_n[\alpha] = H_n[\alpha] \cup \Delta_n[\alpha] .
\end{align}
\]

For $k = 1$, since the amplitude is the same as the pure bi-adjoint one, the subspace $H_n[\alpha]$ is also the same, given by the constraints:

$$H_n[(1, 2), 3, \ldots, n] = \{ -s_{i,j} = c_{i,j} > 0 \text{ for non-adjacent pairs in } 2 \leq i < j \leq n \} .$$
The resultant kinematic polytope $\mathcal{A}_n[\alpha]$ is just the associahedron [1]. The restriction equations for the original ABHY associahedra can be derived in a number of ways, each with their own generalizations [1, 21, 22]. We will focus on the inverse soft construction of associahedra [14], as this construction has a natural generalization for open associahedra. Although it was originally derived by considering how CHY integrands behave under soft limits, the inverse-soft construction is still applicable to bi-color amplitudes with both adjoint and (anti-)fundamental states, which currently lack a clear CHY picture, due to its geometric interpretation. Starting from $H_{n-1}$, the original inverse-soft construction for closed associahedra fixes $H_n$ by imposing

- The facet of the $X_{1,n-1} = s_{n-1,n} \rightarrow 0$ factorization channel of $\mathcal{A}_n$ must be $\mathcal{A}_{n-1}$. This can be done by inheriting all the constraints in $H_{n-1}$.
- Additional constraints in $H_n$ must ensure that the facet $X_{1,n-1}$ does not intersect the facets of the channels incompatible to it. This can be done by setting the non-planar Mandelstam variables in certain seven-term identities to a negative constant.

In the case of closed associahedra, the inverse soft construction yields [14]

$$H_n := H_{n-1} \cup \{-s_{i,n} = c_{i,n} > 0 \mid 2 \leq i \leq n - 2\}. \tag{3.6}$$

Together with $H_3 = \emptyset$ it is enough to fix the restriction equations of $\mathcal{A}_n$. Using the explicit forms of the restriction equations, one can directly prove that $\mathcal{A}_n$ has the correct structure.

Since we are now working with (anti-)fundamental states and open associahedra, the inverse soft construction of subspaces requires a generalization. If state $n$ is an adj state, the inverse soft factorization condition is the same. If we instead want to add an f-af block $B_m$, the new inverse soft factorization conditions are:

- The facet of the $X_{l_1,l_m} = P_m^2 \rightarrow 0$ and $X_{l_m,r_m} = L_m^2 \rightarrow 0$ factorization channels of $\mathcal{A}_n$ must be a direct product of

  $$\mathcal{A}[B_1, \ldots, P_m] \times \mathcal{A}[-P_m, B_m] \text{ and } \mathcal{A}[B_1, \ldots, (L_m, r_m)] \times \mathcal{A}[-(L_m, l_m), \ldots]$$

  respectively, where in $\mathcal{A}[(-L_m, r_m), \ldots]$ the “…” are the sub-blocks of $B_m$.

- Additional constraints in $H_n$ must ensure that the facets of the channels incompatible to $X_{l_1,l_m}$ and $X_{l_m,r_m}$ do not intersect them. We assume that these constraints are still given by setting the non-planar Mandelstam variable in certain seven-term identities to a negative constant.\footnote{Since incompatible factorization channels must overlap, we can always write them as $s_{1_1,l_2}$ and $s_{1_2,l_3}$, where $l_2$ is the overlap. Then setting the non-planar Mandelstam variable $s_{1_1,l_2} = -c_{1_1,l_2} < 0$ can forbid $s_{1_1,l_2}$ and $s_{1_2,l_3}$ being zero at the same time, since the right hand side of the seven-term identity (2.10) is manifestly positive in the subspace.}

Remarkably, we again find that $\mathcal{A}_n$ has the correct form when these conditions are imposed! It is very interesting that analysis of only two factorization channels seems to be enough to recursively enforce good behavior of the entire open associahedra.
in the positive region. One can check that the following two constraints can do the job:

\[ X_{2} \text{ constraints need to ensure that the facets} \]

\[ \text{HHH constraints in} \]

\[ \text{which makes} \]

\[ \text{HHH is already one dimensional, no more constraints are needed so} \]

\[ H_{4} = \emptyset \text{ and} \]

\[ H_{4} \text{ is simply} \]

\[ \text{the full two-dimensional plane} \]

\[ \text{spanned by} s \text{ and} \]

\[ \text{One can easily show that indeed,} \]

\[ \Omega_{4}[1,2,3,4] \bigg|_{A_{4}[(1,2),3,4]} = \frac{1}{s} + \frac{1}{t} ds = A_{4}[(1,2),3,4]ds, \]

\[ \Omega_{4}[1,2,3,4] \bigg|_{A_{4}[(1,2),3,4]} = \frac{ds}{s} = A_{4}[(1,2),3,4]ds. \]

Starting from eq. (3.7), we show that certain five-point subspaces can be obtained by an inverse soft construction. While the subspace for the ordering [(1, 2), 3, 4, 5] is given in eq. (3.6), we begin with [(1, 2), (3, 4), 5] as an example. Adding the adj particle 5 to [(1, 2), (3, 4)] does not lead to any new flavor constraints, so we have

\[ F_{5}[(1,2),(3,4),5] = F_{4}[(1,2),(3,4)] = \{s_{2,3} = b_{2,3} > 0\}, \]

which makes \( \Delta_{5}[(1,2),(3,4),5] \) a four dimensional subspace. We thus need two more constraints in \( H_{5} \) to make \( \Delta_{5} \) a two dimensional kinematic polytope in which the facet \( X_{1,4} = 0 \) gives the previous four-point sub-geometry \( \{X_{1,3} > 0\} \). Thus the two additional constraints need to ensure that the facets \( X_{2,5} = 0 \) and \( X_{3,5} = 0 \) do not intersect \( X_{1,4} = 0 \) in the positive region. One can check that the following two constraints can do the job:

\[ -c_{2,5} + X_{2,5} + X_{1,3} = X_{3,5}, \]

\[ -c_{3,5} + X_{3,5} + X_{1,4} = X_{1,3}. \]
Now we have already reduced the dimension of the subspace to two, no more constraints can be added. We thus have

$$H_5[(1, 2), (3, 4), 5] = \{-s_{2,5} = c_{2,5} > 0, -s_{3,5} = c_{3,5} > 0\}$$

$$= H_4[(1, 2), (3, 4)] \cup C_1[(1, 2), (3, 4), 5].$$ \hspace{1cm} (3.11)

Since $H_4[(1, 2), (3, 4)] = \emptyset$, the set $C_1[(1, 2), (3, 4), 5]$ is just the right hand side of the first line. If we choose $X_{1,3}$ and $X_{1,4}$ as the basis, the kinematic polytope $A_5[(1, 2), (3, 4), 5]$ is bounded by the following inequalities:

$$X_{1,3} > 0, \quad X_{2,5} = -X_{1,4} + c_{2,5} + c_{3,5} > 0,$$

$$X_{1,4} > 0, \quad X_{3,5} = X_{1,3} - X_{1,4} + c_{3,5} > 0. \hspace{1cm} (3.12)$$

One can verify that by pulling the five-point planar scattering form (2.5) back to the subspace $A_5[(1, 2), (3, 4), 5]$, we get the correct amplitude:

$$\Omega_5[1, 2, 3, 4, 5] \big|_{A_5[(1, 2), (3, 4), 5]} = \left( \frac{1}{X_{1,3}X_{1,4}} + \frac{1}{X_{1,3}X_{3,5}} + \frac{1}{X_{2,5}X_{3,5}} \right) d^2X$$

$$= A_5[(1, 2), (3, 4), 5] d^2X. \hspace{1cm} (3.13)$$

The construction of (3.11) follows the recursive inverse soft pattern (3.6). The shape of $A_5[(1, 2), (3, 4), 5]$ is shown in the left panel of figure 3.

In fact, the above calculation is a special case of a more general result: if $\alpha \in M_{n-1,k}$ does not contain nested $\mathbf{f} \mathbf{-} \mathbf{a} \mathbf{j}$ pairs, then $H_n[\alpha, n]$ is given by:

$$H_n[\alpha, n] = H_{n-1}[\alpha] \cup C_1[\alpha, n],$$

$$C_1[\alpha, n] = \{-s_{\alpha(i), n} = c_{\alpha(i), n} > 0 \text{ for } 2 \leq i \leq n-2\}. \hspace{1cm} (3.14)$$

In other words, we require that the $\mathbf{f} \mathbf{-} \mathbf{a} \mathbf{j}$ blocks in $\alpha$ have only $\mathbf{a} \mathbf{d} \mathbf{j}$ sub-blocks. We can understand this result by a simple factorization analysis. In the kinematic polytope $A_n[\alpha, n]$ carved out by the constraints $H_n[\alpha, n] \cup F_n[\alpha, n]$, the facet $X_{\alpha(1), \alpha(n-1)} = 0$ should reduce to the $(n-1)$-point kinematic polytope $A_{n-1}[\alpha]$. This can be achieved if $H_{n-1}[\alpha]$ is included in $H_n[\alpha, n]$ while the additional constraints $C_1[\alpha, n]$ are automatically satisfied on the facet $X_{1,n-1} = 0$. On the other hand, if $\alpha$ contains no nested $\mathbf{f} \mathbf{-} \mathbf{a} \mathbf{j}$ pairs, there are $n-3$ more constraints in $H_n[\alpha, n]$ than $H_{n-1}[\alpha]$, namely, $|C_1[\alpha, n]| = n-3$. It is thus natural to devote them to ensure that the $n-3$ facets $X_{\alpha(i), n} = 0$ with $2 \leq i \leq n-2$, which correspond to the factorization channels incompatible with $X_{\alpha(1), \alpha(n-1)} = 0$, do not intersect the facet $X_{\alpha(1), \alpha(n-1)} = 0$ in the positive region. Indeed, the $C_1[\alpha, n]$ in eq. (3.14) can do the job. We can rewrite them as

$$X_{\alpha(i), n} + X_{\alpha(1), \alpha(n-1)} = X_{\alpha(1), \alpha(i)} + \sum_{k=\alpha(i)}^{\alpha(n-2)} c_{k,n}, \quad 2 \leq i \leq n-2, \hspace{1cm} (3.15)$$

which guarantee that $X_{\alpha(i), n}$ is strictly positive if $X_{\alpha(1), \alpha(n-1)} = 0$. One can then recursively go to lower points until the last block turns out to be an $\mathbf{f} \mathbf{-} \mathbf{a} \mathbf{j}$ block.
We now further restrict ourselves to the case that all the \( f \cdot \bar{f} \) pairs in \( \alpha \) are adjacent, namely, no substructures are allowed in an \( f \cdot \bar{f} \) block. We start with some special cases of the form \([\alpha, (n-1, n)]\). The most trivial one is of course \([(1, 2), (3, 4)]\) as we have studied in eq. \((3.7)\) and \((3.8)\). We now move on to \( n = 5 \), considering \([(1, 2), 3, (4, 5)]\). Flavor conservation sets \( s_{1, 5} = b_{1, 5} > 0 \), and we need two additional constraints in \( H_5 \). We can obtain this ordering by gluing a three-point vertex \([-q, (4, 5)]\) to \([(1, 2), 3, q]\). On the facet \( X_{1, 4} = 0 \) the subspace should return to that of \([(1, 2), 3, q]\), which is given by \(-s_{2, q} = \text{const}\). We thus get the first constraint \(-s_{2, q} \rightarrow -s_{2, 4, 5} = \text{const}\) for \( H_5 \) from this “inverse factorization” consideration. The second constraint needs to guarantee that the facet \( X_{3, 5} = 0 \) does not intersect \( X_{1, 4} = 0 \), which can be achieved by \(-s_{3, 5} = c_{3, 5} > 0\). Therefore, we have

\[
H_5[(1, 2), 3, (4, 5)] = \{-s_{2, 4, 5} = c_{2, 4, 5} > 0, -s_{3, 5} = c_{3, 5} > 0\}
\]

\[
F_5[(1, 2), 3, (4, 5)] = \{s_{1, 5} = b_{1, 5} > 0\}.
\]

If we still choose \([X_{1, 3}, X_{3, 5}]\) as the basis, the kinematic polytope \( A_5[(1, 2), 3, (4, 5)]\) is bounded by the following inequalities:

\[
X_{1, 3} > 0, \quad X_{3, 5} = X_{1, 3} - X_{1, 4} + c_{3, 5} > 0, \\
X_{1, 4} > 0, \quad X_{2, 4} = X_{1, 4} - X_{1, 3} + c_{2, 4, 5} > 0.
\]

It is then straightforward to check that the pullback of the form \((2.5)\) indeed gives the correct amplitude:

\[
\Omega_5[1, 2, 3, 4, 5] \big|_{A_5[(1, 2), 3, (4, 5)]} = \left( \frac{1}{X_{1, 3} X_{1, 4}} + \frac{1}{X_{1, 4} X_{2, 4}} + \frac{1}{X_{1, 3} X_{3, 5}} \right) d^2X
= A_5[(1, 2), 3, (4, 5)] d^2X.
\]

The shape of \( A_5[(1, 2), 3, (4, 5)]\) is shown in the right panel of figure 3.

We can generalize the above calculation to the following statement: if all the \( f \cdot \bar{f} \) pairs are adjacent in \( \alpha \in M_{n-2, k} \), then \( H_n[\alpha, (n - 1, n)] \) is given by:

\[
H_n[\alpha, (n - 1, n)] = H_{n-1}[\alpha, q] \big|_{s_{q, \ldots, s_{n-1, n}, \ldots}} \cup C_2[\alpha, (n - 1, n)]
= H_{n-2}[\alpha] \cup C_1[\alpha, (n - 1, n)] \cup C_2[\alpha, (n - 1, n)].
\]
Figure 4. A visualization of the $A[(1,2),(3,4),(5,6)]$ open associahedra. It can be interpreted as an infinite cone with additional structure. The geometry is unbounded and the thick gray lines correspond to the edges.

The second equality holds since we can write $H_{n-1}[\alpha,q] = H_{n-2}[\alpha] \cup C_1[\alpha,q]$, and the $q$ only appears in $C_1$. Thus the replacement $s_{q,...} \rightarrow s_{n-1,n,...}$ only affects $C_1$:

$$C_1[\alpha,(n-1,n)] = C_1[\alpha,q]$$

It ensures that on the facet $X_{\alpha(1),n-1} = q^2 = 0$, the constraints land back on $H_{n-1}[\alpha,q]$.

To reach an $(n-3)$-dimensional kinematic polytope, a simple counting from eq. (3.3) shows that we need $|C_2[\alpha,(n-1,n)]| = n-k-2$. The additional constraints should be automatically satisfied on $X_{\alpha(1),n-1} = 0$. Therefore, we use them to ensure that the $n-k-2$ incompatible factorization channels at $X_{\alpha(1),n-1} = 0$,

$$X_{\alpha(i),n} \text{ for each } a\bar{d}\bar{j} \text{ particle } i \text{ or each } f\bar{a}\bar{f} \text{ pair } (i,i+1) \text{ with } 3 \leq i \leq n-2,$$

cannot reach zero. Since all these factorization channels carry the flavor $f_{n-1}$, they must contain other $f\bar{a}\bar{f}$ pairs as a whole due to flavor conservation. We can thus effectively treat the pairs in $\alpha$ as a single off-shell $a\bar{d}\bar{j}$ particle and write

$$C_2[\alpha,(n-1,n)] = \begin{cases} -s_{\alpha(i),n} = c_{\alpha(i),n} > 0 & \text{a}\bar{d}\bar{j} \text{ particle } \alpha(i), \\ -s_{\alpha(i),\alpha(i+1),n} = c_{\alpha(i),\alpha(i+1),n} > 0 & \text{f}\bar{a}\bar{f} \text{ pair } (\alpha(i),\alpha(i+1)) \end{cases},$$

for $3 \leq i \leq n-2$. After rewriting these constraints into the form

$$X_{\alpha(i),n} + X_{\alpha(1),n-1} = X_{\alpha(1),\alpha(i)} + \sum_{k=1}^{n-2} \begin{cases} c_{\alpha(k),n} & \text{a}\bar{d}\bar{j} \text{ particle } \alpha(k), \\ c_{\alpha(k),\alpha(k+1),n} & \text{f}\bar{a}\bar{f} \text{ pair } (\alpha(k),\alpha(k+1)) \end{cases},$$

one can clearly see that the requirement (3.21) is satisfied.
Using eq. (3.14) and (3.19), we can recursively generate the subspace for all the orderings in $M_{n,k}$ in which the $\mathfrak{f}$-$\mathfrak{a}$-$\mathfrak{j}$ pairs are adjacent. We now give more examples with higher multiplicities. Starting from eq. (3.11) and following eq. (3.19), we reproduce eq. (1.11),

$$H_6[(1, 2), (3, 4), (5, 6)] = H_5[(1, 2), (3, 4), q]_{s_9, \ldots \to s_{5,6, \ldots}} \cup \{-s_{3,4,6} = c_{3,4,6} > 0\}$$

$$= \{-s_{2,5,6} = c_{2,5,6} > 0, -s_{3,5,6} = c_{3,5,6} > 0, -s_{3,4,6} = c_{3,4,6} > 0\}.$$  

This polytope is shown in figure 4. If we add another $\mathfrak{a}$-$\mathfrak{j}$ particle following eq. (3.14), we get eq. (1.12),

$$H_7[(1, 2), (3, 4), (5, 6), 7] = H_6[(1, 2), (3, 4), (5, 6)] \cup \{-s_{i,7} = c_{i,7} > 0 \text{ for } 2 \leq i \leq 5\}$$

$$= \left\{ s_{2,5,6}, s_{3,5,6}, s_{3,4,6}, s_{2,7}, s_{3,7}, s_{4,7}, s_{5,7}, \text{ set to negative constants} \right\}. \quad (3.25)$$

Finally, we can turn the last $\mathfrak{a}$-$\mathfrak{j}$ particle into a $\mathfrak{f}$-$\mathfrak{a}$-$\mathfrak{j}$ pair $(7, 8)$ using eq. (3.19), which leads to eq. (1.13),

$$H_8[(1, 2), (3, 4), (5, 6), (7, 8)]$$

$$= H_7[(1, 2), (3, 4), (5, 6), q]_{s_9, \ldots \to s_{7,8, \ldots}} \cup \left\{ s_{3,4,8}, s_{5,6,8}, \text{ set to negative constants} \right\}$$

$$= \left\{ s_{2,5,6}, s_{3,5,6}, s_{3,4,6}, s_{2,7,8}, s_{3,7,8}, s_{4,7,8}, s_{5,7,8}, s_{3,4,8}, s_{5,6,8}, \text{ set to negative constants} \right\}. \quad (3.26)$$

We have checked up to $n = 20$ and ten adjacent $\mathfrak{f}$-$\mathfrak{a}$-$\mathfrak{j}$ pairs that the algorithm indeed generates the correct subspace.

### 3.2 Generic recursive construction

We now consider a generic $\alpha \in M_{n,k}$, which can be put in the form of (2.25) by the enlarged cyclic freedom. We can then read off the last block $B_m$ and write

$$\alpha = [B_1, B_2, \ldots, B_{m-1}, B_m] = [\beta, B_m], \text{ where } B_1 = (l_1, r_1) \text{ or } g_1. \quad (3.27)$$

Suppose we already know the subspace constraints for the lower-point ordering $\beta$, our goal is to obtain the constraints $H_n[\alpha]$ through a recursion. The examples in the previous subsection illustrate the idea for a more general construction.

We first study the case that $B_m = n$ is a single $\mathfrak{a}$-$\mathfrak{j}$ particle and try to generalize the inverse soft construction (3.14). When adding an $\mathfrak{a}$-$\mathfrak{j}$ particle $n$ to an $(n-1)$-point ordering $\beta$, we expect to write the result as

$$H_n[\alpha] = H_n[\beta, n] = H_{n-1}[\beta] \cup C_1[\beta, n], \quad (3.28)$$

where $H_{n-1}[\beta]$ is the set of constraints for the kinematic polytope $A_{n-1}[\beta]$. Comparing with eq. (3.14), the difference is that each block of $\beta = [B_1, B_2, \ldots, B_{m-1}]$, except for
\(B_1\), may contain \(f\)-adj sub-blocks as well as \(a\tilde{a}\) ones. On the facet \(X_{l_1,r_{m-1}} = 0\), the constraints should reduce to \(H_{n-1}[\beta]\), while those in \(C_1[\beta, n]\) are automatically satisfied in the positive region of the \((n-1)\)-point kinematic subspace \(K_{n-1}\) by the strict positivity of the incompatible channels. Very crucially, these incompatible factorization channels are all of the form \{\(X_{l_i,n}, X_{r_i,n}, X_{h,n}\)\} for each \(B_i\) and \(l \in \text{sub}[B_i]\), where \(l_i\) is the first particle in the sub-block \(l\). For a single \(B_i\), they are depicted in figure 5. In other words, the particle \(n\) does not “see” any further substructures in \(l\). The reason is that a planar propagator \(X_{j,n}\) with \(j \in l \in \text{sub}[B_i]\) must cross the flavor line of \((l_i, r_i)\) and thus carry its flavor charge. Consequently, it is not allowed to cross any other flavor lines, which means we have to include the entire set \(l\) into the propagator and thus we must have \(j = l_i\). Therefore, we can treat each sub-block of \(B_i\) as if it were an \(a\tilde{a}\) particle (albeit off-shell), and write the constraints as the following set of Mandelstam variables being set to negative constants:

\[
C_1[\beta, n] = \bigcup_{i=1}^{m-1} \bigcup_{l \in \text{sub}[B_i]} \left\{ -s_{n,l_i} = c_{n,l_i} > 0 \right. \\
\left. -s_{n,r_i} = c_{n,r_i} > 0 \right. \\
\left. -s_{n,l_i} = c_{n,l_i} > 0 \right\} \bigcup \left\{ s_{n,l_i}, s_{n,r_{m-1}} \right\},
\]  

(3.29)

where the constraints on the planar Mandelstam variable \(s_{n,l_i}\) and \(s_{n,r_{m-1}}\) have to be deleted by hand. For \(B_1 = (l_1, r_1)\) (or \(B_1 = g_1\)), this prescription ensures that \(l_1\) (or \(g_1\)) does not appear in the Mandelstam variables set to constants by \(C_1\). Had all the sub-blocks \(l\) been \(a\tilde{a}\) particles, eq. (3.29) would become exactly eq. (3.14).

The constraints in eq. (3.29) must one-to-one correspond to the incompatible channels \{\(X_{l_i,n}, X_{r_i,n}, X_{h,n}\)\} to ensure that these facets do not intersect \(X_{l_1,r_{m-1}}\) in the positive region. To show this, one can rewrite these constraints into \(X_\bullet,n + X_{l_1,r_{m-1}} = X_{l_\bullet} + C\) where \(\bullet \in \{l_i, l_1, r_i\}\) and \(C\) is a positive constant. They do not contribute to any boundaries when \(X_{l_1,r_{m-1}} = 0\), since the incompatible factorization channels \{\(X_{l_i,n}, X_{r_i,n}, X_{h,n}\)\} cannot reach zero. Therefore, we can drop the \(C_1\) part and the constraints (3.28) give correct factorization behavior

\[
H_n[\alpha] = H_n[\beta, n] \to H_{n-1}[\beta]_{r_{m-1} \to q} \cup H_3 \left[ \begin{array}{c}
-q,r_{m-1},n \\
r,q,r_{m-1},n
\end{array} \right] = H_{n-1}[\beta]_{r_{m-1} \to q},
\]  

(3.30)

depending on whether \(B_{m-1}\) is an \(f\)-adj block or an \(a\tilde{a}\) particle. Since the three-point kinematic space is zero-dimensional, we have \(H_3 = 0\) for both cases.

Next, we consider the case that \(B_m = (l_m, r_m)\) is an adjacent \(f\)-adj pair. Generalizing from eq. (3.19), we expect the result to be

\[
H_n[\alpha] = H_n[\beta, (l_m,r_m)] = H_{n-2}[\beta] \cup C_1[\beta, (l_m,r_m)] \cup C_2[\beta, (l_m,r_m)],
\]  

(3.31)

where \(H_{n-2}[\beta]\) is the set of constraints for the kinematic polytope \(A_{n-2}[\beta]\). Following the same reasoning for eq. (3.20), we require that the constraints land back on \(H_{n-1}[\beta, q]\) = \(H_{n-2}[\beta] \cup C_1[\beta, q]\) on the facet \(X_{l_1,l_m} = q^2 = 0\), which naturally leads to the following replacement on the \(C_1\) in eq. (3.29):

\[
C_1[\beta, (l_m,r_m)] = C_1[\beta, q]_{s_{q...s_{l_m,r_m}}...}. 
\]  

(3.32)
Figure 5. For a given $B_i$, the diagonals $\{X_{l_i,n}, X_{r_i,n}, X_{l_i,n}\}$, shown in red dashed lines, are allowed by flavor conservation but incompatible with $X_{l_i,r_{m-1}}$ (red solid line). Flavor lines are shown in gray and each shaded region represents a sub-block $B_{l_i}$ of $B_i$. Planar propagators are allowed by flavor conservation if they only cross as most one flavor line.

The constraints $C_2$, on the other hand, have to be automatically satisfied when $X_{l_i,l_m} = 0$ due to the positivity of incompatible factorization channels $\{X_{l_i,r_m}\}$ with $2 \leq i \leq m-1$. Again, flavor conservation requires that if $X_{j,r_m}$ with $j \in B_i$ is an admissible planar propagator, we must have $j = l_i$ since $X_{j,r_m}$ already carries the flavor of the pair $(l_m, r_m)$. In other words, the particle $r_m$ only “sees” other blocks $B_i$ as a single off-shell adj particle. This leads to the generalization from eq. (3.22):

$$C_2[\beta, (l_m, r_m)] = \bigcup_{i=2}^{m} \{-s_{B_i, r_m} = c_{B_i, r_m} > 0\}.$$  \hspace{1cm} (3.33)

We note that the leg $l_m$ does not appear in the Mandelstam variables set to constants by $C_2$. There are $m-2$ constraints in $C_2$, which equals to the number of incompatible channels $\{X_{l_i,r_m}\}$. If all the $B_i’s$ are either adjacent $f$-$\bar{f}$ pairs or adj particles, these constraints reduce to eq. (3.22). By rewriting eq. (3.33) as

$$X_{l_i,r_m} + X_{l_i,l_m} = X_{l_i,l_i} + \sum_{k=i}^{m-1} (X_{l_k,l_{k+1}} + c_{B_k,r_m}), \hspace{1cm} 2 \leq i \leq m - 1,$$  \hspace{1cm} (3.34)

one can easily see that when $X_{l_i,l_m} = 0$, they do not carve out any boundary in the positive region of the kinematic space $\mathcal{K}_{m-2}$ since $X_{l_i,r_m}$ cannot reach zero, such that they can simply be dropped. Therefore, we have proved that the constraints (3.31) have the correct factorization behavior at $X_{l_i,l_m} = q^2 = 0$,

$$H_n[\alpha] = H_n[\beta, (l_m, r_m)] \rightarrow H_{n-1}[\beta, q] \cup H_3[-q, (l_m, r_m)] = H_{n-1}[\beta, q],$$  \hspace{1cm} (3.35)

where we have used $H_3 = \emptyset$.

We now generalize eq. (3.31) and consider $B_m$ be an $f$-$\bar{f}$ block with substructures $B_m = (l_m, B_{m_1}, \ldots, B_{m_s}, r_m)$. Similar to the previous special cases, we can obtain a recursive construction by studying the factorization involving the last block. We first consider
\[ X_{l_m, r_m} = L_m^2 = 0, \] on which the amplitude should factorize as
\[ A_n[\alpha] = A_n[\beta, B_m] \rightarrow A^L[\beta, (L_m, r_m)] \frac{1}{X_{l_m, r_m}} A^R((-L_m, l_m), B_{m_1}, \ldots, B_{m_s}), \tag{3.36} \]
where \( L_m \) satisfies \((L_m + r_m)^2 = s_{B_m} = X_{l_1, l_m} \). We thus require that the constraints \( H_n[\alpha] \) reduce to those for \( A^L \) and \( A^R \) on the facet \( X_{l_m, r_m} = 0 \). It is natural to include the subspace constraints for the left and right sub-amplitudes into \( H_n[\alpha] \) and write
\[ H_n[\alpha] = H_n[\beta, B_m] = H_{n-[B_m]+2}[\beta, (L_m, r_m)] \cup C_3[\beta, B_m] \]
\[ \cup H_{[B_m]}([-L_m, l_m], B_{m_1}, \ldots, B_{m_s}). \tag{3.37} \]

While the first and last piece of \( H_n[\alpha] \) are defined recursively, the \( C_3 \) part contains the constraints that automatically drop out when \( X_{l_m, r_m} = 0 \) due to the strict positivity of the incompatible channels \( \{X_{l_i, l_m}\} \) with \( 1 \leq i \leq m - 1 \) and \( l \in \text{sub}[B_m] \). These incompatible channels are shown in the left panel of figure 6. The number of constraints in \( C_3 \) should equal to the number of these incompatible channels.

On the other hand, we can write the recursion (3.37) into a form that better fits to the factorization channel \( X_{l_1, l_m} = P_m^2 = 0 \), on which the amplitude behaves as
\[ A_n[\beta, B_m] \rightarrow A^L[\beta, P_m] \frac{1}{X_{l_1, l_m}} A^R([-P_m, B_m]). \tag{3.38} \]
Starting from eq. (3.37), we use eq. (3.31) to further expand \( H_{n-[B_m]+2}[\beta, (L_m, r_m)] \),
\[ H_{n-[B_m]+2}[\beta, (L_m, r_m)] = H_{n-[B_m]}[\beta] \cup C_1[\beta, (L_m, r_m)] \cup C_2[\beta, (L_m, r_m)]. \tag{3.39} \]
Using \((L_m + r_m)^2 = P_m^2\), we can rewrite the \( C_1 \) part as
\[ C_1[\beta, (L_m, r_m)] = C_1[\beta, (L_m, r_m)] \big|_{s_{l_m, r_m}, \ldots, s_{P_m}, \ldots} = C_1[\beta, P_m], \tag{3.40} \]
such that the combination \( H_{n-[B_m]}[\beta] \cup C_1[\beta, (L_m, r_m)] \) becomes
\[ H_{n-[B_m]}[\beta] \cup C_1[\beta, (L_m, r_m)] = H_{n-[B_m]}[\beta] \cup C_1[\beta, P_m] = H_{n-[B_m]+1}[\beta, P_m]. \tag{3.41} \]
The amplitude \( A^R([-P_m, B_m]) \) is given by \( H_{[B_m]+1}[-P_m, B_m] \). By using recursively eq. (3.37), we can write it as
\[ H_{[B_m]+1}[ -P_m, B_m ] = C_3[-P_m, B_m] \cup H_{[B_m]}([ -L_m, l_m], B_{m_1}, \ldots, B_{m_s}). \tag{3.42} \]
where \( C_3[-P_m, B_m] \) must be a subset of \( C_3[\beta, B_m] \) that have support in \( K_{[B_m]+1} \). Therefore, it consists of the constraints that automatically drop out when \( X_{l_m, r_m} = 0 \) due to the strict positivity of \( \{X_{l_i, l_m}\} \), since these channels are incompatible to \( X_{l_m, r_m} \) but live in \( K_{[B_m]+1} \). We can thus divide \( C_3 \) into two parts,
\[ C_3[\beta, B_m] = C_3^0[-P_m, B_m] \cup C_3^0[\beta, B_m], \tag{3.43} \]
where \( C_3[-P_m, B_m] = C_3^0[-P_m, B_m] \) and \( C_3^0[-P_m, B_m] = 0 \). The constraints in \( C_3^0 \) automatically drop out at both \( X_{l_m, r_m} = 0 \) and \( X_{l_1, l_m} = 0 \) due to the strict positivity of \( \{X_{l_i, l_m}\} \)
The joint effect of eq. (3.39) to (3.43) rearranges the recursion (3.37) into the following equivalent form,

\[
H_n[\beta, B_m] = H_{n-[|B_m|+1][\beta, P_m]} \cup C_2[\beta, (L_m, r_m)] \cup C_3^b[\beta, B_m] \\
\cup H_{|B_m|+1[-P_m, B_m]},
\]
(3.44)

where both \( C_2 \) and \( C_3^b \) drop out automatically when \( X_{l_1,l_m} = 0 \).

We next show that the following definitions of \( C_3^a \) and \( C_3^b \) guarantee the correct factorization behavior at both \( X_{l_m,r_m} = 0 \) and \( X_{l_1,l_m} = 0 \),

\[
C_3^a[-P_m, B_m] = \left\{ -s_{l_m,r_m} = c_{l_m,r_m} > 0 \right\} \bigcup_{l \in \text{sub}[B_m] \setminus B_{m_a}} \left\{ -s_{l_1,r_m} = c_{l_1,r_m} > 0 \right\},
\]

\[
C_3^b[\beta, B_m] = \bigcup_{i=2}^{m-1} \bigcup_{l \in \text{sub}[B_m]} \left\{ -s_{l_i,l} = c_{B_i,l} > 0 \right\}.
\]
(3.45)

Together with eq. (3.31), this completes the generic recursive construction (3.37). To show that the \( C_3 \) part indeed drops out when \( X_{l_m,r_m} = 0 \), we first rewrite them into

\[
C_3^a: \quad X_{l_1,l_1} + X_{l_m,r_m} = X_{l_1,r_m} + X_{l_1,l_m} + \sum_{l_m \leq j < l} (c_{J,r_m} + X_{l_j,l_{j+1}}),
\]
(3.46a)

\[
C_3^b: \quad X_{l_1,l_1} + X_{l_m,r_m} = X_{l_m,l_1} + X_{l_1,r_m} + \sum_{j=1}^{m-1} \sum_{l \in J \subseteq B_{m_a}} (c_{B_{j,l}} + X_{l_j,l_{j+1}} + X_{l_{j+1},l_1}),
\]
(3.46b)

where \( J+1 \) denotes the sub-block coming right after \( J \) and \( l_{j+1} \) its first particle. If \( J = B_{m_a} \) is the last sub-block, then \( l_{j+1} := r_m \). In both equations, we have \( l \in \text{sub}[B_m] \). The summation in eq. (3.46a) is over all the sub-blocks before \( l \), including \( l_m \). In eq. (3.46b),
we have $2 \leq i \leq m-1$, and the second summation is over all the sub-blocks between $l$ and the last sub-block $B_{m,s}$. At $X_{i,m,r_m} = L_m^{2n}$, neither eq. (3.46a) nor (3.46b) impose any boundaries in the positive kinematic subspace since $X_{l_1,l_i}$ and $X_{l_1,l_i}$ cannot reach zero. We can thus drop the $C_3$ part and arrive at the desired factorization behavior

$$H_n[\alpha] |_{X_{i,m,r_m}=0} \rightarrow H^{L}_{n-|B_m|+2}[\beta, (L_m, r_m)] \cup H^{R}_{|B_m|}[-L_m, l_m), B_{m_1}, \ldots, B_{m_s}],$$

where $H^L$ and $H^R$ only depend on the left and right kinematic subspace respectively. This implies that the facet $X_{i,m, r_m} = 0$ of the polytope $A$ is a direct product of $A^L$ and $A^R$. The canonical form thus factorizes as $\Omega(A) \rightarrow \Omega(A^L) \wedge \Omega(A^R)$ and the amplitude as eq. (3.36).

Similarly, to show that in eq. (3.44) the constraints in $C_2$ and $C_3$ drop out automatically when $X_{l_1,l_m} = 0$, we rewrite them as

$$C_2 : X_{l_1,l_m} = X_{l_1,l_i} + X_{l_1,l_i} + \sum_{j=1}^{m-1} (c_{B_j, r_m} + X_{l_1,l_{j+1}}),$$

$$C_3 : X_{l_1,l_i} = X_{l_1,l_i} + X_{l_1,l_i} + \sum_{j=1}^{m-1} (c_{B_j, r_m} + X_{l_1,l_{j+1}}) + \sum_{j=1}^{m-1} \sum_{l \in J \in B_m} (c_{B_j, l} + X_{l_1,l_{j+1}} + X_{l_1,l_{j+1}}),$$

where $2 \leq i \leq m-1$ and $l \in \text{sub}[B_m]$. When $X_{l_1,l_m} = 0$, clearly $X_{l_1,r_m}$ and $X_{l_1,l_i}$ cannot reach zero such that they do not give rise to any constraints. These incompatible channels are also given in figure 6. Therefore, we get

$$H_n[\beta, B_m] |_{X_{l_1,l_m}=0} \rightarrow H^{L}_{n-|B_m|+1}[\beta, P_m] \cup H^{R}_{|B_m|}[P_m, B_m],$$

which is the desired factorization behavior that leads to a direct product geometry $A^L \times A^R$ on the facet $X_{l_1,l_m} = 0$ of $A$.

We close this section by the explicit example of constructing the subspace constraints for $\alpha = [(1, 2), (3, (4, 5), 6, 7), (8, 9, 10, 11)]$. Since the last block $(8, 9, 10, 11)$ is an $f$-af block with substructures, we use eq. (3.37) to write

$$H_{11}[\beta, (8, 9, 10, 11)] = H_9[\beta, (P_{8,9,10, 11})] \cup C_3[\beta, (8, 9, 10, 11)] \cup H_4[(-P_{8,9,10}, 8, 9, 10)],$$

where $\beta = [(1, 2), (3, (4, 5), 6, 7)]$. The $C_3$ part is given by eq. (3.45):

$$C_3[\beta, (8, 9, 10, 11)] = \left\{ s_{8,11}, s_{9,11}, s_{3,4,5,6,7,9}, s_{3,4,5,6,7,10} \right\},$$

where the constraints on $s_{8,11}$ and $s_{9,11}$ come from $C_3^a$ and the rest from $C_3^b$. Using eq. (3.31), we can write $H_9[\beta, (P_{8,9,10, 11})]$ as

$$H_9[\beta, (P_{8,9,10, 11})] = H_7[\beta] \cup C_1[\beta, (P_{8,9,10, 11})] \cup C_2[\beta, (P_{8,9,10, 11})],$$

with
Applying eq. (3.37) to where

\[ C_1[\beta, (P_{8,9,10,11})] = \left\{ s_{2,8,9,10,11}, s_{3,8,9,10,11}, s_{4,5,8,9,10,11}, s_{6,8,9,10,11} \right\}, \]

\[ C_2[\beta, (P_{8,9,10,11})] = \{ s_{3,4,5,6,7,11} \text{ set to negative constant} \}. \]

(3.53)

Applying eq. (3.37) to \( H_7[\beta] \) and \( H_4[(-P_{8,9,10,8}), 9, 10] \), we get

\[ H_7[(1, 2), (3, (4, 5), 6, 7)] = \left\{ s_{3,6,8,4,6,8,4,5,7}, \text{ set to negative constants} \right\}, \]

\[ H_4[(-P_{8,9,10,8}), 9, 10] = \{ s_{8,10} \text{ set to negative constant} \}. \]

(3.54)

where we have used eq. (3.28), or for this case equivalently eq. (3.14), to obtain \( H_5 \). Thus \( H_{11}[(1, 2), (3, (4, 5), 6, 7), (8, 9, 10, 11)] \) is given by the union of all the constraints in eq. (3.51), (3.53) and (3.54). The result agrees with eq. (1.14).

### 3.3 Remarks

We have checked up to \( n = 20 \) and a variety of block structures that if we pull-back the planar scattering form \( \Omega_n[\alpha] \) to the polytope \( \mathcal{A}_n[\alpha] \) given by the constraints \( \mathcal{A}_n[\alpha] = H_n[\alpha] \cup \Delta_n[\alpha] \), where \( H_n[\alpha] \) is constructed from eq. (3.37) and \( \Delta_n[\alpha] \) is the union of \( P_n[\alpha] \) and \( F_n[\alpha] \) given in eq. (3.1), we get the correct amplitude,

\[ \Omega_n[\alpha]|_{\mathcal{A}_n[\alpha]} = A_n[\alpha] d^{n-3} X. \]

(3.55)

There are several interesting features in this open kinematic polytope that do not appear in the bi-adjoint case. Although the facet geometry of \( X_{l,m,r} = 0 \) and \( X_{l,l,m} = 0 \) is by construction a direct product of lower-dimensional polytopes carved out by the same process as eq. (3.37), it is generally not true for other facets. When restricted to a generic facet \( X_{i,j} = 0 \), we actually have

\[ \mathcal{A}_n[\alpha]|_{X_{i,j}=0} \cong A^L[\alpha^L; a] \times A^R[\alpha^R]. \]

(3.56)

While \( A^R[\alpha^R] \) is given by eq. (3.37), \( A^L[\alpha^L; a] \) is deformed by some linear combinations of the planar Mandelstam variables in the left kinematic subspace, where \( a \) is a formal collection of the deformation parameters. They change the shape of the polytope but leave the expression of the canonical form unchanged after pull-back,

\[ \Omega[\alpha^L]|_{A[\alpha^L; a]} = A^L[\alpha^L] d^{n-3} X. \]

(3.57)

In appendix A, we will present a special class of such deformations on \( H_n[\alpha] \). Moreover, the facet geometry is a \textit{semi-direct product} “\( \kappa \)” between \( A^L[\alpha^L; a] \) and \( A^R[\alpha^R] \): the \( c \) constants in the constraints for \( A^L[\alpha^L; a] \) receive a linear shift by the planar variables in \( A^R \). The canonical form still factorizes nicely despite this shift,

\[ \text{Res}_{X_{i,j}=0} \Omega_n[\alpha, \mathcal{A}_n] = \Omega_n[\alpha, \mathcal{A}_n|_{X_{i,j}=0}] = \Omega_n[\alpha, A^L \times A^R] = \Omega[\alpha^L, A^L] \land \Omega[\alpha^R, A^R], \]

(3.58)

since the top form \( \Omega[\alpha^R, A^R] \) removes all the linear shifts in the \( c \) constants. We will give a few concrete factorization examples that manifest these features in appendix B, and leave the detailed factorization analysis to a future work.
4 “Color is kinematics” for (anti-)fundamental states

We now turn to the positive geometry interpretation of full, color-dressed amplitudes with (a)f states in more generic theories, not just partial amplitudes for scalar theories. We find a natural extension of the “color is kinematics” philosophy of [1] to (anti-)fundamental amplitudes. In section 4.1, we first discuss a natural generalization of small kinematic space for (anti-)fundamental scattering amplitudes which is necessary for (anti-)fundamental color-kinematics duality. In section 4.2, we show how the duality between differentials forms in kinematic space and color factors extends to (anti-)fundamental scattering forms. In section 4.3, we focus on connections between BCJ numerator relations and projectivity for (anti-)fundamental scattering forms. In section 4.4, we show how Melia decomposition is dual to pulling back the scattering form to a specific sub-space, $H^T_n[a]$. Interestingly, the $k > 1$ planar scattering form does not need to be a top-form like in the bi-adjoint case.

Since we will be dealing with (a)f states without a definite ordering, we introduce a minor notation change from section 3. When referring to (a)f states, we will use capital letters, where an underline (bar) indicates a f (af) state.

4.1 (Anti-)fundamental small kinematic space

We now define (anti-)fundamental kinematic space, $K^k_n$. We start with big kinematic space, $K^k_n$, which is the same for (anti-)fundamental and adjoint amplitudes. Big kinematic space is defined as a vector space spanned by $S_I$ variables, which are indexed by subsets, $I \subset \{1, 2, \ldots, n\}$, and obey

- $S_\bar{I} = S_I$ where $\bar{I}$ is the complement of $I$,
- $S_I = 0$ for $|I| = 0, 1, n - 1, n$.

The dimension of big kinematic space is

$$\dim(K^*_n) = 2^{n-1} - n - 1.$$  \hspace{1cm} (4.1)

As reviewed in section 2.2, the reduction to small kinematic space for $k = 0$ is done by imposing the seven-term identity on all four-point sub-graphs.

For adjoint amplitudes, one can show that upon imposing the seven-term identity on all sub-graphs, any variable $s_I$ can be written as a sum of $s_{i,j}$, which can be identified as

Figure 7. A four-set partition $I_1 \cup I_2 \cup I_3 \cup I_4$ of the external labels and the three corresponding channels. The three graphs $gs, gt, gu$ are identical except for a 4-point subgraph. This is the same as figure 1, but reproduced here for the reader’s convenience.
Mandelstam variables. $\mathcal{K}_{n=0}^k$ is therefore spanned by Mandelstam variables, $s_{i,j}$, implying that the seven-term identity is equivalent to imposing momentum conservation and that the dimension of the space is

$$\text{dim}(\mathcal{K}_{n=0}^k) = \frac{n(n - 3)}{2}. \tag{4.2}$$

The process of reducing from $\mathcal{K}_{n}^k$ to $\mathcal{K}_{n}^k$ when $k \neq 0, 1$ is slightly modified. As in section 3, internal propagators which violate flavor conservation are truncated from the vector space, which leads to the 

$$S_I = b_I, \quad \text{if } \bar{I} = 0. \tag{4.3}$$

To further reach the (anti-)fundamental small kinematic space $\mathcal{K}_{n}^k$, the seven-term identity is then imposed on all sub-graphs except those where all $I_i$ correspond to $(a)f$ states,

$$S_{1,2} + S_{2,3} + S_{1,3} = S_{1} + S_{2} + S_{3} + S_{4}. \tag{4.4}$$

This mirrors the color structure of tree graphs with (anti-)fundamental states, as visualized in figure 8. We can interpret this generalization of kinematic space as a natural abstraction of momentum conservation where the seven-term identity is treated as fundamental. Due to the truncation procedure and fewer number of relations between remaining $S_I$, (anti-)fundamental small kinematic space has more non-trivial combinatorial structure than adjoint small kinematic space. For example, the dimension of (anti-)fundamental small kinematic space is

$$\text{dim}(\mathcal{K}_{n}^k) = \frac{n(n - 3) - k(k - 1)}{2}. \tag{4.5}$$

Eq. (4.5) is derived in appendix C. In the small kinematic space, we identify $S_I$ with the Mandelstam variable $s_I$ for each $I$. Furthermore, the two-particle Mandelstam variables $s_{i,j}$ do not always provide a complete basis for $\mathcal{K}_{n}^k$ after the truncation of those forbidden by the flavor structure. To see this, consider amplitudes with only (anti-)fundamental external states. There are only $k$ Mandelstam variables not forbidden by flavor conservation, which is smaller than the dimension of the space for $k > 3$. Instead, a more natural basis is the planar variables of some ordering, $\alpha$, where all $f$-$af$ pairs are adjacent. The number of planar propagators for such orderings matches eq. (4.5).

As a simple example, consider the four-point amplitude $M[A, \bar{A}, B, \bar{B}]$. Big kinematic space is the same as the adjoint case:

$$\mathcal{K}_{n}^k = \{s_{A,\bar{A}}, s_{\bar{A}, A}, s_{A,B}, s_{B,A}\}. \tag{4.6}$$

To reduce to small kinematic space, the propagators forbidden by flavor conservation, $s_{\bar{A}, B}$ and $s_{A,\bar{B}}$, are truncated from the spectrum. Since the only possible four-point subgraph corresponds to four external $(a)f$ states, there are no seven-term identities to impose. Therefore, (anti-)fundamental small kinematic space is

$$\mathcal{K}_{n=4}^{k=2} = \{s_{A,\bar{A}}\} = (\mathcal{K}_{n=1}^{k=2})^*. \tag{4.7}$$

Note that the dimension of $\mathcal{K}_{n=1}^{k=2}$ matches eq. (4.5).
Now consider the amplitude $M[A,A,\bar{B},\bar{B},\bar{C},\bar{C}]$. To find small kinematic space, all forbidden propagators are first truncated from the spectrum, leaving:

$$ (K_{k=3}^{n=6})^* = \left\{ S_{\bar{A}\bar{A}}, S_{\bar{B}\bar{B}}, S_{\bar{C}\bar{C}}, S_{\bar{A}\bar{B}\bar{B}}, S_{\bar{B}\bar{C}\bar{C}}, S_{\bar{C}\bar{A}\bar{A}}, S_{\bar{A}\bar{B}\bar{A}}, S_{\bar{B}\bar{C}\bar{A}}, S_{\bar{C}\bar{A}\bar{B}} \right\} \quad (4.8) $$

The seven-term identity is then imposed on all valid four-point sub-graphs, of which there are only three:

$$ S_{I_1,I_2} + S_{I_2,I_3} + S_{I_3,I_4} = S_{I_1} + S_{I_2} + S_{I_3} + S_{I_4}, $$

with $I_1 = \{\bar{A}\}$, $I_2 = \{\bar{A}\}$, $I_3 = \{\bar{B},\bar{B}\}$, $I_4 = \{\bar{C},\bar{C}\}$ \quad (4.9)

The (anti-)fundamental small kinematic space is therefore six dimensional, which again matches eq. (4.5) for $k = 3, n = 6$.

### 4.2 Duality between differential forms and color factors

The notion of a scattering form, which corresponds to the full, color-dressed amplitude, has a natural application to amplitudes with (a)f states. Without losing any information, we can pullback the scattering form (2.8) to the (anti-)fundamental big/small kinematic
space, which leads to the (anti-)fundamental scattering form

$$\Omega^N_{m}[N] = \sum_{g \in \Gamma_n} N(g|\alpha_g)W(g|\alpha_g) \prod_{I \in g} \frac{\vartheta_I}{s_I},$$

where the summation is effectively over all cubic graphs that respect flavor conservation since $\vartheta_I$ defined in eq. (2.23) kills those graphs that violate it, and $W$ is a differential form

$$W(g|\alpha_g) = \text{sign}(g|\alpha_g) \bigwedge_{g \in I} ds_I.$$  

Unlike the adjoint scattering forms, which live in $\mathcal{K}_{n}^{k=0}$, the (anti-)fundamental $d \log$ forms live in (anti-)fundamental small kinematic space, $\mathcal{K}_{n}^{k}$.

The duality between differential forms and color factors generalizes to (anti-)fundamental scattering forms. In the (anti-)fundamental case, the color factor is a product of structure constants and fundamental representation matrices. Relations between color factors arise from both Jacobi and commutation identities

$$f_{abc} f_{dce} + f_{bce} f_{fde} + f_{cae} f_{fbd} = 0,$$

$$\left(T^a T^b\right)_i^j - \left(T^b T^a\right)_i^j - f_{abc} (T^c)_i^j = 0.$$  

Unlike the adjoint case, the Jacobi-like identities for color factors in eq. (4.12) do not apply to all four-point sub-graphs. When all external states are $(a)f$ states, the color factors are

$$C_s \propto (T^a)_i^j (T^a)_i^j, \quad C_t \propto (T^a)_i^j (T^a)_i^j. \quad C_u = 0,$$

which clearly do not obey a Jacobi-like relation. The relation

$$C(g_S|I_1 I_2 I_3 I_4) + C(g_T|I_1 I_4 I_2 I_3) + C(g_U|I_1 I_3 I_4 I_2) = 0,$$

therefore applies to all four-point sub-graph except those where all $I_i$ correspond to $(a)f$ states. We now argue that the set of identities in eq. (4.14) exactly mirror the seven-term identity. Taking the differential of eq. (4.4) and repeating the argument in section 2.2, we see that for any triplet of graphs corresponding to eq. (4.14):

$$(ds_{I_1 J_2} + ds_{I_1 J_3} + ds_{I_1 J_4}) \wedge \bigwedge_{b=1}^{n-4} ds_{J_b},$$

where $s_{J_b}$ denotes the propagators shared by the triplet of graphs. It follows that,

$$W(g_S|I_1 I_2 I_3 I_4) + W(g_T|I_1 I_4 I_2 I_3) + W(g_U|I_1 I_3 I_4 I_2) = 0,$$

only applies to desirable four-point sub-graphs and is therefore dual to eq. (4.14).

Consider the four-point amplitude $M[A^A B^B C^C D^D]$ with two pairs of (anti-)fundamental particles. Here, we explicitly display the (anti-)fundamental representation indices of the particles. There is only a single Feynman diagram contribution, so there is only one color-factor dual to a one-form:

$$C_s = (T^a)_i^j (T^a)_i^j \leftrightarrow ds.$$  

There is no $U$-channel graph, because it is forbidden by flavor charge conservation.
Now consider the four-point amplitude \( M[A_i A_j a b] \) in which \( a \) and \( b \) are two adjoint representation particles. There are three color factors dual to one forms:

\[
C_s = f^{abc} (T^c)_{ij}^5 \leftrightarrow ds, \\
C_t = (T^b T^a)_{ij}^5 \leftrightarrow dt, \\
C_u = -(T^a T^b)_{ij}^5 \leftrightarrow du.
\]

The duality holds because there exists a valid seven-term identity that leads to the linear relation between the differentials,

\[
ds + dt + du = 0, \tag{4.19}
\]

which corresponds to the second line of eq. (4.12).

### 4.3 (Non-)projectivity of the flavored scattering form

In this sub-section, we connect projectivity of the (anti-)fundamental scattering without flavor structure to generalized Jacobi identities for kinematic numerator factors. We review projectivity of the adjoint scattering form before examining two generalizations: scattering forms where all \( f\)-af pairs have different flavor and scattering amplitudes where all \( f\)-af pairs have the same flavor.

We now review implications of projectivity for adjoint scattering forms. We consider the usual scattering form (2.8) in big kinematic space \( K^n \). Projectivity of a differential form is defined as invariance under a local GL(1) transformation \( S_I \rightarrow \Lambda(S)S_I \). Projectivity of the scattering form in \( K^n \) could be used to derive Jacobi identities for kinematic numerator factors [1]. To see this, note that the \( \Lambda \)-dependence of the scattering form under a local GL(1) transformation is

\[
[N(g_S|I_1I_2I_3I_4) + N(g_T|I_1I_4I_2I_3) + N(g_U|I_1I_3I_4I_2)] d \log(\Lambda) \wedge \left( \bigwedge_{b=1}^{n-4} d \log(S_{I_b}) \right) + \ldots,
\]

where \( S_{I_b} \) denote the \((n-4)\) propagators shared by a triplet of graphs and the “…” denotes the same expression for all other triplet. It is clear that the \( \Lambda \)-dependence only vanishes if the kinematic numerators obey Jacobi identities. It is key that we are only considering projectivity in big kinematic space, as the \( d \log(s_I) \) factors would be related by linear relations in small kinematic space. Such linear relations could lead to cancellations between triplets.

In small kinematic space, projectivity of the adjoint scattering form implies BCJ relations between different partial amplitudes. Importantly, while the numerator Jacobi identity implies BCJ relations, BCJ relations do not imply the Jacobi numerator identity. In the language of positive geometry, while projectivity in big kinematic space implies projectivity in small kinematic space, projectivity in small kinematic space does NOT imply projectivity in big kinematic space. The corresponding generalizations of the Jacobi kinematic identity which obey BCJ relations were explored in [23]. To derive BCJ relations

\footnote{Note that the scattering form in eq. (2.8) is defined in small kinematic space, \( K^n \), while we are now defining the same form in big kinematic space, \( K^n \).}
from projectivity in $K_n$, one takes a $GL(1)$ transformation of the scattering form in $K_n$, finding an undesirable term proportional $d \log(\Lambda)$. One then pulls back this differential form back to various $(n - 4)$-dimensional sub-spaces, finding what condition the vanishing of the pull-back imposes. For example, consider the $n = 4, k = 0$ scattering form:

$$
\Omega_{n=4}^{k=0}[N] = N_s \frac{ds}{s} + N_t \frac{dt}{t} + N_u \frac{du}{u},
$$

$$
= -\left( -\frac{N_t}{t} + \frac{N_s}{s} \right) dt + \left( \frac{N_u}{u} - \frac{N_s}{s} \right) du, \quad (4.21)
$$

Under a $GL(1)$ transformation, $\Omega_{n=4}^{k=0}[N]$ transforms as

$$
\Omega_{n=4}^{k=0} \rightarrow \Omega_{n=4}^{k=0} + (-t A_4[1, 2, 3, 4] + u A_4[1, 2, 4, 3]) d \log(\Lambda). \quad (4.22)
$$

Since the term proportional to $d \log(\Lambda)$ is a scalar, there is no need to pull it back to any subspace. We simply find that projectivity implies the BCJ relation,

$$
0 = -t A_4[1, 2, 3, 4] + u A_4[1, 2, 4, 3]. \quad (4.23)
$$

This argument generalizes to larger $n$. We now turn to generalizations of the above arguments for theories with flavor.

We first discuss the scattering forms where all $f$-$af$ pairs have different flavor. Unlike the adjoint case, the (anti-)fundamental scattering form (4.10) with $k > 1$ distinct flavor $f$-$af$ pairs cannot be projective, in either $K^*_n$ or $K^k_n$, even if the kinematic numerators obey color-kinematic duality. This is obvious already at four-points. A generic $k = 2, n = 4$ scattering form takes the form

$$
\Omega_{n=4}^{k=2}[N] = N(g_s A A B B) d \log(s), \quad (4.24)
$$

which clearly cannot be invariant under a local $GL(1)$ transform.\footnote{Importantly, the lack of projectivity of the scattering form does not imply that the flavored scattering amplitudes do not obey color-kinematics duality, as seen in \cite{8, 20}. Given a flavored scattering amplitude with color-factors, one can still replace the color-factors with kinematic numerator factors to find the corresponding amplitude in some gravitational theory:

$$
A = \sum_{g \in \Gamma} \frac{C(g | \alpha_s) N(g | \alpha_s)}{\prod_{I \in g} s_I} \rightarrow M = \sum_{g \in \Gamma} \frac{N(g | \alpha_s) N(g | \alpha_s)}{\prod_{I \in g} s_I}. \quad (4.25)
$$
}

The flavored scattering form’s lack of projectivity under $GL(1)$ transformation in $K^k_n$ implies that the flavored scattering forms do not obey generic BCJ relations. Unlike the $k = 0$ scattering forms, the term proportional to $d \log(\Lambda)$ does not vanish for generic $GL(1)$ transformation. However, although the flavored scattering forms do not obey all BCJ relations, they do obey some BCJ relations when $n > 2k$:

$$
\sum_{i=2}^{n-1} \left( \sum_{j=2}^{i} s_{i,n} \right) A[1, 2, \ldots, i, n, i + 1, \ldots, n - 1] = 0. \quad (4.25)
$$
where $n$ is restricted to an $\text{adj}$ state. We suspect that flavored scattering forms should obey a weaker condition than projectivity which is equivalent to eq. (4.25).

We now turn to the case where $f$-$\bar{a}$ pairs states transform in the same flavor representation. Although we have not studied the positive geometry of these scalar amplitudes, their scattering forms are interesting enough to bear mentioning. We will focus on projectivity of the scattering form in $K_n$. Interestingly, if all $(a)$ states transform in the same flavor representation, the scattering form is projective. Consider the four-point example again, except now all states transform in the same flavor representation:

$$n_f = 1; k = 2, n = 4$$

$$N = (N(g_s|AB\bar{A}\bar{B}) + N(g_T|AB\bar{A}\bar{B}))d\log(S_1, 2) + N(g_T|AB\bar{A}\bar{B})d\log(S_2, 3).$$

(4.26)

The $f$-$\bar{a}$ pairs $A$ and $B$ now have the same flavor so $s_{\bar{A}\bar{B}}$ is not forbidden by flavor conservation. Under a local GL(1) transformation, the scattering form transforms as

$$\Omega_{n=4}^{n_f=1, k=2}[N] \rightarrow (N(g_s|AB\bar{A}\bar{B}) + N(g_T|AB\bar{A}\bar{B}))d\log(\Lambda) + \Omega_{n=4}^{n_f=1, k=2}[N].$$

(4.27)

Therefore, the requirement that the scattering form is projective imposes that

$$N(g_s|AB\bar{A}\bar{B}) + N(g_T|AB\bar{A}\bar{B}) = 0.$$

(4.28)

This relation generalizes to higher points, where projectivity of the $n_f = 1$ scattering form in $K_n^*$ implies

$$N(g_s|I_1 I_2 I_3 I_4) + N(g_T|I_1 I_4 I_2 I_3) + N(g_U|I_1 I_3 I_2 I_4) = 0,$$

(4.29)

for all sub-graphs which do not violate charge conservation. For sub-graphs corresponding to all $f$-$\bar{a}$ external states, the kinematic factor associated with the propagator that violates charge conservation is simply zero and eq. (4.29) reduces to a two-term identity.

Eq. (4.29) is interesting for a number of reasons. For example, for sub-graphs corresponding to all $f$-$\bar{a}$ external states, eq. (4.29) does not correspond to any relationship that color factors obey as eq. (4.14) does not apply to sub-graphs corresponding to all $f$-$\bar{a}$ external states. Instead, we see that eq. (4.29) can be considered a natural generalization of color-kinematics duality that emerges from requiring the scattering form to be projective. These two-term identities were noted in [24], but not expanded on further as they were not necessary for their double-copy prescription. In addition, the applicability of eq. (4.29) to ALL sub-graphs implies that the original KLT relations can be applied to $n_f = 1$ (anti-)fundamental amplitudes [25–27]. The only difference between $n_f = 1$ (anti-)fundamental amplitudes and adjoint amplitudes is that many of the kinematic numerator factors in the (anti-)fundamental amplitudes are trivially zero due to violating charge conservation. Finally, a natural direction for further research is studying what conditions the scattering form must obey to be projective in $K_n^k$, not $K_n^*$, when all $f$-$\bar{a}$ pairs have the same flavor.

### 4.4 Melia decomposition dual to pullback

We now further explore the color-kinematics duality by examining how the Melia decomposition of the amplitude is dual to pulling back the scattering form to an appropriate
subspace, \( H^T_n[\alpha] \). Unlike the adjoint case, this sub-space generally has higher dimension than \((n-3)\), but the pulled-back scattering form only depends on the coordinates of the \((n-3)\)-dimensional subspace, \( H_n[\alpha] \). We will simply state the qualitative results here, leaving the technical details to appendix D.

In the case of (anti-)fundamental color-dressed amplitudes, the color-dressed amplitude can be decomposed into a sum of partial amplitudes using eq. (4.12) and requiring that the kinematic numerators, \( N(g|\alpha) \), obey the same relations as their associated color factors \([20]\). The Melia decomposition of the amplitude is

\[
M_n[N] = \sum_{\sigma \in \text{Melia basis}} C'((1,2),\sigma) M[N;(1,2),\sigma],
\]

where the sum is over all valid Melia basis orderings. The color constants \( C'((1,2),\sigma) \) are non-trivial color constants given explicitly in \([20]\) and \( M_n[N;(1,2),\sigma] \) is the color-stripped partial amplitude:

\[
M_n[N;\alpha] = \sum_{\alpha\text{-planar} \ g} N(g|\alpha_g) \prod_{l \in g} \frac{\partial_l}{s_l}. \tag{4.31}
\]

For the dual scattering form, we claim that Melia decomposition of the partial amplitude is dual to pulling back the scattering form to a specific subspace. The partial amplitude, eq. (4.31), can be obtained by pulling back the scattering form, eq. (4.10), to a subspace \( H^T_n[\alpha] \),\(^7\) where

\[
W(g|\kappa)_{H^T_n[\alpha]} = \begin{cases} 
(-1)^{\text{flip}(\kappa,\alpha)} dV[\alpha] & \text{if } g \text{ is compatible with } \alpha \\
0 & \text{otherwise}
\end{cases} \tag{4.32}
\]

where \( \text{flip}(\kappa,\alpha) \) is the number of vertex flips that relates \( \kappa \) and \( \alpha \). Moreover, here \( \kappa \) can be any ordering of external states, unlike \( \alpha \), for which the first two states must be a \( f-af \) pair. Unlike the adjoint case, the (anti-)fundamental scattering form after the pullback is not a top-form of \( H^T_n[\alpha] \) but only depends on the coordinates of the \((n-3)\)-dimensional subspace \( H_n[\alpha] \subset H^T_n[\alpha] \). The measure \( "dV[\alpha]" \) is a volume form of \( H_n[\alpha] \), not \( H^T_n[\alpha] \). This phenomena is a direct consequence of the fact that the planar scattering form is not a top-form of \( K^*_k \).

The pullback to \( H^T_n[\alpha] \) can be understood as follows. We consider the planar scattering form, \( \Omega[N;\alpha] \),

\[
\Omega[N;\alpha] := \sum_{g \in \Gamma[\alpha]} N(g|\alpha_g) W(g|\alpha_g) \prod_{l \in g} \frac{\partial_l}{s_l}, \tag{4.33}
\]

where \( \Gamma[\alpha] \subset \Gamma_n \) is the set of all the graphs compatible with the planar ordering \( \alpha \). According to eq. (4.32), only the planar scattering form should survive upon pullback of the full scattering form, eq. (3.37), to \( H^T_n[\alpha] \). However, unless all \( f-af \) pairs are adjacent in

\(^7\) We focus on Melia decomposition, and not color-trace decomposition, because the duality between color factors and differentials is applicable to theories transforming in any gauge group, such as \( \text{Sp}(N) \), and naive color trace decomposition is not. To see this, note that our derivation in the previous section only relied on the definition of the structure constants and commutation relations. We did not use any properties unique to \( \text{SU}(N) \) or \( \text{U}(N) \) groups.
\( \alpha \), the planar variables associated with \( \Omega[N;\alpha] \) do not span \( \mathcal{K}^k_n \). Therefore, since \( \Omega[N;\alpha] \) only depends on the coordinates of the planar variables, we can say that \( \Omega[N;\alpha] \) only has support on the affine subspace \( \mathcal{K}^k_n[\alpha] \) in \( \mathcal{K}^k_n \). We denote the orthogonal complement to \( \mathcal{K}^k_n[\alpha] \) as \( \mathcal{D}^k_n[\alpha] \):

\[
\mathcal{D}^k_n[\alpha] = (\mathcal{K}^k_n[\alpha])^\perp.
\]

The full relationship between \( \mathcal{D}^k_n[\alpha] \), \( \mathcal{K}^k_n[\alpha] \), and \( \mathcal{K}^k_n \) is

\[
\mathcal{K}^k_n = \mathcal{K}^k_n[\alpha] \otimes \mathcal{D}^k_n[\alpha].
\]

The planar scattering form only has support in \( \mathcal{K}^k_n[\alpha] \), but the full scattering form \( \Omega^{n-3}[N] \) has support in \( \mathcal{D}^k_n[\alpha] \) as well. Based on eq. (4.35), we can decompose \( H^T_n[\alpha] \) as

\[
H^T_n[\alpha] = H_n[\alpha] \otimes H^A_n[\alpha], \quad H_n[\alpha] \subset \mathcal{K}^k_n[\alpha], \quad H^A_n[\alpha] \subset \mathcal{D}^k_n[\alpha],
\]

where \( \dim(H_n[\alpha]) = (n-3) \) and \( \dim(H^A_n[\alpha]) \geq 0 \) for the auxiliary space \( H^A_n[\alpha] \). The space \( H_n[\alpha] \) is simply given by the restrictions \( H_n[\alpha] \) in eq. (3.37) from section 3.2. This automatically validates the first line of eq. (4.32) by construction. However, the restrictions from \( H_n[\alpha] \) are not enough to get rid of all incompatible graphs for generic orderings with \( k > 3 \). This is unsurprising as the incompatible graphs for generic \( \alpha \) have support in \( \mathcal{D}^k_n[\alpha] \) as well as \( \mathcal{K}^k_n[\alpha] \), so additional restrictions from \( H^A_n[\alpha] \) are necessary to remove these unwanted contributions. Due to the complexity of \( H^A_n[\alpha] \), we leave computational results to appendix D, where a closed form for \( H^A_n[\alpha] \) is provided in eq. (D.11).

5 Conclusion

In this paper we initiate the study of positive geometry and scattering forms for amplitudes with matter particles, i.e. particles flavored in (anti-)fundamental representations. The original paper [1], which treats scattering amplitudes as differential forms in kinematic space, has focused on amplitudes with particles purely in adjoint representation; here we pinpoint the new ingredients to include matter particles in this geometric picture. As a toy model, we find that a class of the so-called open associahedra, i.e. associahedra with certain faces sent to infinity, underpin all tree-level amplitudes of the bi-color scalar theory, where the bi-adjoint scalars and bi-fundamental ones play the role of “gluons” and “quarks”, respectively. For any flavor assignment and a given planar ordering, we obtain an open associahedron which is determined by a \((n-3)\)-dim subspace in the kinematic space; the canonical form then gives the corresponding amplitudes, with forbidden poles sent to infinity. Moreover, we discuss “color is kinematics”, i.e. the duality between color factors and wedge-products for cubic diagrams now in the presence of matter particles, and the projectivity of the scattering forms when there is only a single flavor.

There are many open questions suggested by our preliminary discussions. First, we would like to study further the construction of subspaces for bi-color amplitudes, e.g.

---

\( ^8 \)Our construction of \( \mathcal{K}^k_n[\alpha] \) is very similar to the construction of the affine subspace \( \mathcal{Y}[N;Z] \) from general twistor space in section 9 of [3]. The planar coordinates that form a complete basis for \( \mathcal{K}^k_n[\alpha] \) are analogous to the \( y^a_\alpha \) that span the affine subspace \( \mathcal{Y}[N;Z] \).
how the inverse soft construction etc. can be generalized, and how to obtain other open polytopes which are relevant for scattering amplitudes such as the Cayley polytopes [14, 15]. Moreover, it is straightforward to generalize our construction to off-diagonal bi-color amplitudes, $m[\alpha|\beta]$ for $\alpha \neq \beta$; the latter is given by the intersection of the diagonal cases with $\alpha$ and $\beta$ ordering [12, 13]. We similarly conjecture that the bi-color amplitude can be obtained as the volume of intersection of the corresponding dual open associahedra. A related open question is how to obtain an inverse matrix which can be used as the KLT matrix for QCD amplitudes [8, 9].

An alternative direction is considering different triangulations of the canonical forms of open associahedra, which would in turn yield recursion relations for bi-color theory. Due to facets at infinity, the recursions given in [1, 5] initially seem somewhat impractical for efficient calculations. It would be interesting to see if the triangulation in [28, 29] could be generalized to open associahedra, yielding a BCFW-like recursion for bi-color amplitudes. It is possible that the inverse soft construction of the amplitude would be intimately tied to any such recursion. Another approach is considering triangulations of the dual polytope that are not trivially equivalent to the Feynman diagram expansion. It would also be interesting to study possible connections to CHY-like formulas for QCD amplitudes in four dimensions [30].

The construction we proposed reveal rich structures underlying such positive geometry which deserve further investigations by themselves. In [31] the ABHY associahedron is generalized to polytopes for other finite-type cluster algebra, where the classical cases correspond to bi-adjoint $\phi^3$ amplitudes through one loop. It would be interesting to extend that construction to open cases with facets at infinity. We note that the factorization channels used in our construction are similar to those for constructing multiquark color decomposition [32].

Throughout the paper we have not discussed the worldsheet perspective (string theory and CHY) for bi-color amplitudes and open associahedra. It is not difficult to come up with CHY formulas for such amplitudes, and some of them coincide with CHY formulas for Cayley polytopes [15]. However, for general case the one-to-one map from moduli space to kinematic space and pushforward for scattering forms are still missing. The proper framework to proceed is the stringy canonical forms of [33], and it would be highly desirable to find such string-like integrals where the so-called Minkowski sum of Newton polytopes gives an open associahedron. We remark that this new picture leads to new, CHY-like formulas for bi-color amplitudes, and we leave it to future investigations.

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A Possible deformations on constraints

The subspace constraints $H_n[\alpha]$ in eq. (3.37) are all of the form $-s_{A,C} = c_{A,C} > 0$ with non-adjacent sets $A$ and $C$. However, we can introduce certain deformations to $H_n[\alpha]$, and thus the polytope, while keep the canonical form unchanged. In fact, certain facets of the kinematic polytope given by $H_n[\alpha]$ are characterized by deformed constraints. Thus deformations are essential to understand generic factorization behavior of the polytope. Here, we provide a special class of such deformations, and leave more generic discussions to a following work [34].

For a given block $B_i$, we rewrite the constraints on $s_{L_i,r_j,r_i} = s_{B_j,r_i}$ with $j \geq i+2$ in favor of those on $s_{B_j,B_i}$. These constraints come from the $C_1$ part in the recursive process when the block $B_j$ is added. We first use the seven-term identity (2.10) to write

$$-s_{B_i,B_j} = -s_{B_j,r_i} + X_{l_i,l_j} - X_{r_i,l_k} + X_{l_{j+1},r_i} - X_{l_{j+1},l_i} - X_{l_i,l_{i+1}}. \quad (A.1)$$

With the help of the constraints on $\{s_{B_j,l_i}, s_{B_j,l} | l \in \text{sub}[B_i]\}$ also generated in $C_1$, the right hand becomes

$$-s_{B_i,B_j} = c_{B_j,r_i} + c_{B_j,l_i} + X_{l_j,l_{j+1}} - X_{l_i,l_{i+1}} + \sum_{l \in \text{sub}[B_i]} (c_{B_j,l} + X_{l_i,l_{i+1}} + X_{l_j,l_{j+1}})$$

$$= c_{B_j,r_i} + c_{B_j,l_i} + (d_i + 1)X_{l_j,l_{j+1}} - X_{l_i,l_{i+1}} + \sum_{l \in \text{sub}[B_i]} (c_{B_j,l} + X_{l_i,l_{i+1}}), \quad (A.2)$$

where $d_i$ counts the number of sub-blocks in $B_i$. If $l$ is the last sub-block, then $l_{i+1} = r_i$. The constraint (A.2) is completely equivalent to $-s_{B_j,r_i} = c_{B_j,r_i} > 0$. We can thus use the former instead of the latter in $H_n[\alpha]$ and the resultant polytope $A_n[\alpha]$ is unchanged. Now suppose $B_i$ actually contains $a$ additional sub-blocks but all of which are taken soft, one can check that we recover most of the constraints in $H_n[\alpha]$ while the only trace of these soft sub-blocks is in eq. (A.2): $d_i$ gets shifted by $a$,

$$-s_{B_i,B_j} = c_{B_j,r_i} + c_{B_j,l_i} + (d_i + a + 1)X_{l_j,l_{j+1}} - X_{l_i,l_{i+1}} + \sum_{l \in \text{sub}[B_i]} (c_{B_j,l} + X_{l_i,l_{i+1}}). \quad (A.3)$$

If $B_i = g_i$ is an odd particle, then we can start with a generic block and take all the sub-blocks, including the pair $(l_i, r_i)$, to be soft, which leads to a similar formula:

$$-s_{g_i,B_j} = c_{g_i,B_j} + aX_{l_j,l_{j+1}}. \quad (A.4)$$
Switching back to the constraint on $s_{B_j,r_i}$ amounts to cast the shift $aX_{l_j,l_{j+1}}$ onto the constant $c_{B_j,r_i}$ for $i+2 \leq j \leq m$:

$$H_n[\alpha; a] = H_n[B_1, \ldots, B_i, \ldots, B_m; a] = H_n[\alpha] \left\{ -s_{B_j,r_i} = c_{B_j,r_i} \rightarrow -s_{B_j,r_i} = c_{B_j,r_i} + aX_{l_j,l_{j+1}} \right\} \text{ for } i+2 \leq j \leq m.$$  

(A.5)

We note that $B_i = g_i$ being an adj particle is also covered by the above replacement because we identify $l_i = r_i = g_i$. If all the blocks are adj particles, these shifts vanish identically since $X_{l_j,l_{j+1}} = 0$ for all cases. For example, the constraints

$$H_7[(1,2),q,(5,6),(7,8)] = \left\{ s_{2,5,6}, s_{5,6,8}, s_{q,6}, s_{q,8}, s_{2,7,8}, s_{8,7,8}, s_{q,8} \right\} \text{ set to negative constants}$$

(A.6)

allow the following deformation on $s_{q,7,8}$ according to eq. (A.5),

$$H_7[(1,2),q,(5,6),(7,8);a] = \left\{ s_{2,5,6}, s_{5,6,8}, s_{q,6}, s_{q,8}, s_{2,7,8}, s_{8,7,8} \right\} \text{ set to negative constants}$$

$$\cup \left\{ -s_{q,7,8} = c_{q,7,8} + aX_{1,7} \right\}.$$  

(A.7)

This deformation is relevant to the $X_{3,5} = q^2 = 0$ facet of $A_8[(1,2), (3,4), (5,6), (7,8)]$, as we will see in the next appendix.

We denote the polytope obtained from $H_n[\alpha; a]$ as $A_n[\alpha; a]$. We have checked a variety of examples to high enough multiplicity that the canonical form after the pullback has the same expression,

$$\Omega_n[\alpha] \big|_{A_n[\alpha]} = A_n[\alpha]d^{n-3}X, \quad \Omega_n[\alpha] \big|_{A_n[\alpha,a]} = A_n[\alpha]d^{n-3}X, \quad (a \geq 0).$$  

(A.8)

Therefore, we have a continuous equivalent class of subspaces that yields the same canonical form. The soft limit argument is a physical way to understand why this equivalence class exists.

We can also perform an additional check that the canonical form remains unchanged using the dual polytope picture. Instead of directly calculating the canonical form, we calculate the canonical rational function,

$$A_n = \Omega[A] = \text{vol}[A^*],$$  

(A.9)

where $A^*$ is the dual polytope. The canonical rational function associated with given facet vectors can be calculated using a vertex expansion of the rational function:

$$\Omega = \sum_{v \in \text{vertices}} \left( \frac{\langle \Pi I \in v W_I \rangle}{(Y \cdot W^*)\prod I \in v((Y \cdot W_I))} \right),$$  

(A.10)

where $\langle \ldots \rangle$ denotes a determinant. To derive eq. (A.10), first remember that the canonical rational function is equivalent to the volume of the dual polytope and each vertex in the original polytope is mapped to a facet in the dual polytope. Each term in eq. (A.10) corresponds to the volume of a simplex in the dual polytope bounded by a facet and reference
vector, $W^*$. If $W^* = (1, 0, \ldots, 0)$, one finds $\langle \Pi_{I \in V} W_I \rangle = \pm 1$ and that this expansion is equivalent to the Feynman diagram expansion of the canonical rational function. To show that the Feynman diagram is still equivalent after some deformation, one must show that the numerator, $\langle \Pi_{I \in V} W_I \rangle$, is unchanged. We checked a number of examples and found this property held under the deformation in eq. (A.4).

## B Explicit factorization examples

As we have briefly discussed in section 3.3, the facets of the kinematic polytope $A_n[\alpha]$ given by the constraints $H_n[\alpha]$ following the procedure (3.37) are in general a semi-direct product of lower dimensional polytopes bounded by a deformed version of constraints.

In this appendix, we study the polytope $A_8[(1, 2), (3, 4), (5, 6), (7, 8)]$ as a concrete example. It is carved out by the constraints (3.26), which can be written in terms of the planar Mandelstam variables as

\begin{align}
  c_{2,5,6} &= X_{3,7} + X_{2,5} - X_{5,7} - X_{3,5} - X_{2,7} , \quad c_{3,5,6} = X_{4,7} + X_{3,5} - X_{5,7} - X_{3,7} , \\
  c_{3,4,6} &= X_{3,6} + X_{5,7} - X_{3,5} - X_{3,7} , \quad c_{2,7,8} = X_{2,7} + X_{1,3} - X_{1,7} - X_{3,7} , \\
  c_{3,7,8} &= X_{3,7} + X_{1,4} - X_{1,7} - X_{4,7} - X_{1,3} , \quad c_{4,7,8} = X_{4,7} + X_{1,5} - X_{1,7} - X_{5,7} - X_{1,4} , \\
  c_{5,7,8} &= X_{1,6} + X_{5,7} - X_{1,7} - X_{1,5} , \quad c_{3,4,8} = X_{3,8} + X_{1,5} - X_{3,5} - X_{5,8} - X_{1,3} , \\
  c_{5,6,8} &= X_{1,7} + X_{5,8} - X_{5,7} - X_{1,5} .
\end{align}

We first show that the facet $X_{3,5} = p_{34}^2 = 0$ is given by the deformed constraints (A.7),

\begin{align}
  A_8[(1, 2), (3, 4), (5, 6), (7, 8)] \big|_{X_{3,5} = 0} = A_7[(1, 2), p_{34}, (5, 6), (7, 8); a = 1] ,
\end{align}

Following eq. (A.2), we can replace the constraint $c_{3,4,8}$ by

\begin{align}
  -s_{3,4,7,8} = c_{3,7,8} + c_{4,7,8} + X_{1,7} - X_{3,5} .
\end{align}

When $X_{3,5} = p_{34}^2 = 0$, it becomes

\begin{align}
  -s_{p_{34}^2, 8} = c_{3,7,8} + c_{4,7,8} + X_{1,7} = c_{p_{34}, 7, 8} + X_{1,7} ,
\end{align}

which reproduces the second line of eq. (A.7) with $a = 1$. For the rest of eq. (B.1), the constraint $c_{3,5,6}$ and $c_{3,7,8}$ are automatically satisfied when $X_{3,5} = 0$ and thus drop out, while all the others are trivially inherited by eq. (A.7).

We next show that the facet $X_{3,7} = p_{3456}^2 = 0$ is a semi-direct product,

\begin{align}
  A_8[(1, 2), (3, 4), (5, 6), (7, 8)] \big|_{X_{3,7} = 0} = A^L[(1, 2), p_{3456}, (7, 8)] \times A^R[-p_{3456}, (3, 4), (5, 6)] .
\end{align}

Among the original constraints shown in eq. (B.1), $c_{3,5,6}$ and $c_{3,4,6}$ together give $A^R$, which is the same as obtained from eq. (3.37). We can rewrite the constraint $c_{3,4,8}$ into

\begin{align}
  -s_{3,4,5,6, 8} = c_{3,4,8} + c_{5,6,8} + X_{3,5} + X_{5,7} - X_{3,7}.
\end{align}
such that on the facet \( X_{3,7} = p_{3456}^2 = 0 \) it reduces to
\[
-s_{p_{3456}.8} = c_{3,4,8} + c_{5,6,8} + X_{3,5} + X_{5,7} = c_{p_{3456}.8} + X_{3,5} + X_{5,7}.
\] (B.7)
Together with the constraint \( c_{2,7,8} \), it carves out \( A^L \). We may view eq. (B.7) as
\[
-s_{p_{3456}.8} = c_{p_{3456}.8}
\]
but the constant \( c \) is linearly shifted by variables in \( A^R \), and hence the semi-direct product.

The linear shift does not affect the factorization of the canonical form since \( (A^R) \) is always a top form.

C Derivation of \( \text{dim}(\mathcal{K}^k_n) \)

In this section, we show that the dimension of \( \mathcal{K}^k_n \) is
\[
\text{dim}(\mathcal{K}^k_n) = \frac{n(n-3)}{2} - \frac{k(k-1)}{2}.
\] (C.1)
We argue that \( \mathcal{K}^k_n \) is spanned by the planar variables of some ordering \( \alpha \) where all \( \text{f-af} \) pairs are adjacent. We will assume without proof that the planar variables are themselves orthogonal like in the \( k = 0 \) case. By orthogonal, we mean that no planar variable of a given ordering, \( \alpha \), can be written as a linear combination of the other planar variables of the same ordering. Therefore, since the number of planar variables for such an ordering is eq. (C.1), this implies the dimension of the space is eq. (C.1).

Using the 7-term identity, one can directly prove that any \( s_I \) with \( p \leq 4 \) can either be written as a sum of \( X_{i,j} \) variables or \( s_{I'} \) variables with \( |I'| < |I| \). Such a direct proof is tedious, but straightforward, so we will not reproduce it here. We now prove that any \( s_I \) with \( p = |I| > 4 \) can be written as a sum of planar variables and \( s_{I'} \), with \( p' = |I'| < p \). Given any \( s_I \), we isolate two elements \( I_1 \) and \( I_2 \), where \( I_i \) is either a single \( \text{a0j} \) state or a \( \text{f-af} \) pair. We then define \( K := I \setminus \{I_1, I_2\} \) and use the 7-term identity to write
\[
S_I = S_{I_1, I_2} + S_{I_1, K} + S_{I_2, K} - S_K - S_{I_1} - S_{I_2},
\] (C.2)
where every term on the right hand side takes the form of an \( S_{I'} \) with \( p' = |I'| < p \). It is always possible to do this if \( p > 4 \), which is why direct proofs for \( p \leq 4 \) are necessary. Therefore, by induction, we can write any \( S_I \) as \( S_I = \sum X \) where the summation is over the planar variables of an ordering where all \( \text{f-af} \) pairs are adjacent.

D Explicit form of \( H_n^A[\alpha] \)

In this appendix, we will first provide a number of examples before giving the explicit form of \( H_n^A[\alpha] \). For convenience, general \( \text{f-af} \) blocks will now be denoted using the positions of the \( i_i \) and \( r_i \) states: \( B_{i_i, r_i} \).

We first examine two examples where \( H_n^A[\alpha] = \emptyset \). Consider a 6-point partial amplitude with the ordering \( \alpha = [A, \bar{A}, B, \bar{B}, C, \bar{C}] \). Since all \( \text{f-af} \) pairs are adjacent in \( \alpha \), we find that
Therefore, $\mathcal{D}_n^k[\alpha] = \mathcal{K}_n^k$. Therefore, $\mathcal{D}_n^k[\alpha]$ is the null set and only restriction equations from $H_n[\alpha]$ are necessary to remove all incompatible diagrams:

$$H[\alpha] = \left\{ -s_{B,C} = c_{B,C}, \quad -s_{A,C} = c_{A,C}, \quad -s_{B,B,C} = c_{B,B,C} \right\}. \quad (D.1)$$

For example, consider the diagram associated with the dlog form:

$$W(g[\kappa]) = ds_{\Delta,\Delta} \wedge ds_{\Delta,\Delta,\Delta} \wedge ds_{\Delta,\Delta,\Delta,\Delta} \wedge ds_{\Delta,\Delta,\Delta,\Delta}. \quad (D.2)$$

The graph associated with eq. (D.2) is clearly inconsistent with $\kappa = [\Delta, \Delta, \Delta, \Delta, \Delta, \Delta]$, so the associated differential form must go to 0 on the pullback. On the pull-back defined by eq. (D.1), we see that $ds_{\Delta,\Delta,\Delta} = d(c_{\Delta,\Delta}) = 0$, so eq. (D.2) goes to 0 as expected.

As a more non-trivial example, now consider a 6-point partial amplitude with ordering $\alpha = [\Delta, \Delta, \Delta, \Delta, \Delta, \Delta]$. The planar scattering form is no longer top dimensional so $\mathcal{D}_n^k \neq 0$. Since $\dim(\mathcal{K}_n^k[\alpha]) = 4$, $H_n$ only contributes one restriction equation,

$$H_n[\alpha] = \left\{ -s_{B,B} = c_{B,B} \right\}. \quad (D.3)$$

Although $\mathcal{D}_n^k[\alpha] = \emptyset$, $H_n^A[\alpha]$ does not need to contribute any constraints for eq. (4.32) to hold. For example, the differential corresponding to one incompatible diagram is

$$dW = ds_{\Delta,\Delta} \wedge ds_{\Delta,\Delta,\Delta} \wedge ds_{\Delta,\Delta,\Delta} \wedge ds_{\Delta,\Delta,\Delta}. \quad (D.4)$$

which is 0 as $ds_{\Delta,\Delta,\Delta} = d(c_{\Delta,\Delta}) = 0$. In fact, the corresponding $W(g[\alpha])$ factor of every incompatible diagram includes a factor of $ds_{\Delta,\Delta,\Delta}$, which goes to 0 on the support of eq. (D.3).

For $k > 3, n > 6$, we need additional restrictions from $H_n^A[\alpha]$. Consider a partial amplitude with ordering $\alpha = [\Delta, \Delta, \Delta, \Delta, \Delta, \Delta]$. Since not all f-f pairs are adjacent, $\mathcal{K}_n^k[\alpha]$ is not top dimensional. The restriction equations of $H_n[\alpha]$ are

$$H_n[\alpha] = \left\{ -s_{B,B} = c_{B,B}, \quad -s_{C,C} = c_{C,C} \right\}. \quad (D.5)$$

Some incompatible diagrams survive the pullback to $H_n[\alpha]$, showing that these restriction equations are not enough to remove all incompatible Feynman diagrams. The differentials corresponding to these surviving incompatible diagrams are

$$dW_{\Delta} = ds_{\Delta,\Delta} \wedge ds_{\Delta,\Delta,\Delta} \wedge ds_{\Delta,\Delta,\Delta,\Delta} \wedge ds_{\Delta,\Delta,\Delta,\Delta,\Delta} \wedge ds_{\Delta,\Delta,\Delta,\Delta}. \quad (D.6)$$

To get rid of these incompatible diagrams, one additional restriction from $H_n^A[\alpha]$ is necessary:

$$H_n^A[\alpha] = \left\{ -s_{D,D,B} = c_{D,D,B} \right\}. \quad (D.7)$$

The first and third differential vanish as

$$ds_{\Delta,\Delta,\Delta,\Delta,\Delta} = -d(c_{\Delta,\Delta,\Delta}) = 0. \quad (D.8)$$
It is less obvious that the second and fourth differentials vanish under the support of eq. (D.7), but they do nonetheless.\(^9\)

We now consider the general form of \(H^A_n[\alpha]\). The first type of restriction takes the form

\[
-s_{B,B'} = c_{B,B'},
\]

where \(B\) and \(B'\) are f-af blocks or adj states.\(^10\) We impose the additional restrictions that \(B \cap B' = \phi\) and that \(B\) and \(B'\) are separated by at least two flavor lines. The number of flavor lines separating \(B\) and \(B'\) is the number of flavor lines that cross a line connecting \(l_i \in B_{l_i,r_i}\) to \(l'_j \in B'_{l'_j,r'_j}\).\(^11\) The second class of restrictions take the form

\[
-s_{B,r} = c_{B,r},
\]

where \(r\) is any af state except for \(r = 2\). We again impose the restriction that \(B \cap r = \phi\). We impose the restriction that the line connecting the vertex associated with the \(r\) state and the vertex associated with \(l_i \in B_{l_i,r_i}\) must cross at least one flavor line other than the flavor line associated with the \(r\) state. Imposing that \(B\) and \(r\) (\(B'\)) are separated by at least 2 (1) flavor lines ensures that these restrictions are orthogonal to planar variables. These restraints can be summarized as:

\[
H^A_n[\alpha] := \{s_{B,Y} = -c_{B,Y}, \quad Y = B' \text{ or } r \mid \text{when } \emptyset = B \cap Y,
\]

\[
\quad \text{If } Y = B', \ B \text{ and } B' \text{ are separated by at least two flavor lines,}
\]

\[
\quad \text{If } Y = r, \ B \text{ and } r \text{ are separated by at least one flavor line other than the flavor line associated with } r,
\]

\[
\quad \text{and } B,B' \neq B_{1,2}, \ r \neq 2\}.
\]

Eq. (D.11) was numerically checked for all possible orderings up to \(n = 10\). After providing some examples for \(H^A_n[\alpha]\) below, we sketch a proof for eq. (D.11). A more rigorous proof, which requires a more systemic analysis of factorization channels, will be presented in \([34]\).

Due to the complexity of eq. (D.11), we consider some examples of how to calculate \(H^A_n[\alpha]\). First, consider the ordering

\[
H^A_n[(1, 2), (3, (4, (5, 6), 7), 8)] .
\]

The set of all relevant blocks is

\[
\{B_{3,8}, B_{4,7}, B_{5,6}\}
\]

and the set of relevant af states is

\[
\{6, 7, 8\} .
\]

---

\(^9\)To check this, first write out the \(ds_i\) variables using a complete basis of \(H^T_n[\alpha]\). For example, planar variables of an ordering where all f-af pairs are adjacent form a complete basis. We then write each \(ds_i\) variable as a vector in this basis. Checking that the differential vanishes amounts to showing that these vectors are not linearly independent.

\(^{10}\)By f-af blocks, we are also including blocks at all levels in \(\alpha\).

\(^{11}\)In the case that \(B\) and/or \(B'\) is an adjoint state, \(l\) is the adjoint state.
Note that we have not included the $B_{1,2}$ block or $r = 2$. Furthermore, we have included all sub-blocks in eq. (D.12). The set of all $s_{B,Y}$ with $\emptyset = B \cap A$ is
\begin{equation}
\{s_{B_{5,6,7}}, s_{B_{5,6,8}}, s_{B_{4,7,8}}\}.
\end{equation}
We now subtract all $s_{B,Y}$ which are not separated by enough flavor lines. A visualization of the surviving constraints is provided in figure 9.
\begin{equation}
H^A_n[(1, 2), (3, (4, (5, 6), 7), 8)] = \{s_{B_{5,6,8}} = c_{B_{5,6,8}}\}.
\end{equation}
As a slightly more non-trivial example, consider the ordering
\begin{equation}
H^A_n[(1, 2)(3, (4, (5, 6), 7), 8), (9, 10)].
\end{equation}
The set of all blocks relevant for eq. (D.11) is now
\begin{equation}
\{B_{3,8}, B_{4,7}, B_{5,6}, B_{9,10}\}
\end{equation}
and the set of relevant $af$ states is
\begin{equation}
\{6, 7, 8, 10\}.
\end{equation}
The set of all $s_{B,Y}$ with $\emptyset = B \cap Y$ is
\begin{equation}
\{s_{B_{3,8},B_{9,10}}, s_{B_{4,7},B_{9,10}}, s_{B_{5,6},B_{9,10}}, s_{B_{5,6,7}}, s_{B_{5,6,8}},
\begin{array}{l}
s_{B_{5,6,10}}, s_{B_{4,7,8}}, s_{B_{4,7,10}}, s_{B_{3,8,10}}, s_{B_{9,10,6}}, s_{B_{9,10,7}}, s_{B_{9,10,8}}\end{array}
\end{equation}
Removing the $s_{B,Y}$ which are not separated by enough flavor lines leaves
\begin{equation}
H^A_n[(1, 2)(3, (4, (5, 6), 7), 8)(9, 10)] = \left\{ \begin{array}{l}
s_{B_{5,6,8}} = c_{B_{5,6,8}},
- s_{B_{5,6,10}} = c_{B_{5,6,10}},
- s_{B_{9,10,6}} = c_{B_{9,10,6}},
- s_{B_{9,10,7}} = c_{B_{9,10,7}},
- s_{B_{4,7,10}} = c_{B_{4,7,10}}, s_{B_{5,6,8}} = c_{B_{5,6,8}}\end{array} \right\}.
\end{equation}
A visualization of the surviving constraints in eq. (D.19) is provided in figure 9. Note that $-s_{B3,8,10} = c_{B3,8,10}$ is NOT a constraint although the associated line intersects a flavor line, because the intersecting flavor line is associated with the $r = 10$ state.

We now sketch a general argument of eq. (4.32). The goal of the argument is to show by induction that

$$W(g|\kappa)|_{H^l_n[a]} = 0$$

(D.20)

if $W(g|\kappa)$ is inconsistent with $\alpha$. The strategy will be to manipulate $W(g|\kappa)$ of an incompatible graph and ordering into the form

$$W(g|\kappa) = ds_I \wedge W(g'|\kappa')$$

(D.21)

where $s_I$ is a constant if $I$ is not compatible with $\alpha$. Therefore, for $W$ to not immediately vanish, $I$ must be compatible with $\alpha$. We can then apply inductive arguments to $W'$, which corresponds to the differential of a reduced graph where states in $I$ are combined into a single state. The relevant ordering and restriction equations are now $\kappa'$ and $H[\alpha']$, which correspond to the factorization channel $s_I \to 0$.

Given an arbitrary diagram, there must be at least one propagator of the form

$$s_{l_jr_j}, \quad s_{i,k}, \quad s_{i,r_j}, \quad s_{i_1,i_2...i_m,l_j}$$

(D.22)

where $l_j, r_j \neq 1, 2$ in $\alpha$. For the first 3 propagators in eq. (D.22), $s_I$ = constant unless $I$ is consistent with $\alpha$. Therefore, $W(g|\kappa)$ can be written as

$$W(g|\kappa) = ds_I \wedge W(g'|\kappa')$$

(D.23)

where $W'$ corresponds to the reduced graph where states in $I$ are combined into a single external state. The case where $I = \{i_1, \ldots, i_m, l_k\}$ requires additional analysis as it is not immediately obvious that $s_I$ is a constant if $I$ is inconsistent with $\alpha$. In the original graph, we assume that the $m$ adjacent states directly coupled to the $l_j$ flavor line. If any of the adjacent states have self-couplings, we can simply apply the inductive argument for $s_{i,j}$. We then apply the seven-term identity to the 4-point sub-graph with internal propagator $s_{i_1...i_m,l_k}$. This leads to two differentials corresponding to two graphs: one with a propagator of the form $s_{i_m,r_j}$ and the other with a propagator $s_{i_1,i_2...i_m,l_j}$. Either both of the new diagrams are consistent with $\alpha$ or neither of them are. If both diagrams are consistent with $\alpha$, then $ds_{i_m,r_j}|_{H^l_n[a]} = -ds_{i_1,i_2...i_m-1,l_j}|_{H^l_n[a]}$ and the two terms cancel. Otherwise, we apply the previous argument to the diagram with the propagator $s_{i_m,r_j}$, so it vanishes. Therefore, we are left with the differential associated to a diagram containing the propagator $s_{i_1,i_2...i_m-1,l_j}$, which is again inconsistent with $\alpha$. We apply this procedure repeatedly, until the differential vanishes or we eventually have a differential of the form

$$W' = ds_{l_jr_j} \wedge W''$$

where $W'$ is the differential of a diagram inconsistent with $\alpha$ ordering. We then apply the inductive assumption for $W''$, concluding the argument.
There are a number of holes in the above argument. For example, we have not proven that restrictions on the factorization channel, \( H_n^T [α'] \), have the same properties as \( H_n^T [α] \). This point was trivial in the bi-adjoint case, as the factorization channels were simply a direct product of closed associahedra. However, as discussed in section 3.3, the geometry associated with factorization channels is more complicated for open associahedra. A more rigorous proof, with the required analysis of factorization channels, will be presented in [34].

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