Kiloparsec-scale Imaging of the CO(1-0)-traced Cold Molecular Gas Reservoir in a z ∼ 3.4 Submillimeter Galaxy

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Abstract

We present a high-resolution study of the cold molecular gas as traced by CO(1-0) in the unlensed z ∼ 3.4 submillimeter galaxy SMM J13120+4242, using multiconfiguration observations with the Karl G. Jansky Very Large Array (JVLA). The gas reservoir, imaged on 0′′.39 (∼3 kpc) scales, is resolved into two components separated by ∼11 kpc with a total extent of 16 ± 3 kpc. Despite the large spatial extent of the reservoir, the observations show a CO(1-0) FWHM linewidth of only 267 ± 64 km s⁻¹. We derive a revised line luminosity of \( L_{\text{CO}(1-0)} = (10 ± 3) \times 10^{10} \text{K} \text{km} \text{s}^{-1} \text{pc}^2 \) and a molecular gas mass of \( M_{\text{gas}} = (13 ± 3) \times 10^{10} \, \alpha_{\text{CO}} / 1 \, M_\odot \). Despite the presence of a velocity gradient (consistent with previous resolved CO(6-5) imaging), the CO(1-0) imaging shows evidence for significant turbulent motions that are preventing the gas from fully settling into a disk. The system likely represents a merger in an advanced stage. Although the dynamical mass is highly uncertain, we use it to place an upper limit on the CO-to-H₂ mass conversion factor \( \alpha_{\text{CO}} \) of 1.4. We revisit the SED fitting, finding that this galaxy lies on the very massive end of the main sequence at \( z = 3.4 \). Based on the low gas fraction, short gas depletion time, and evidence for a central AGN, we propose that SMM J13120 is in a rapid transitional phase between a merger-driven starburst and an unobscured quasar. The case of SMM J13120 highlights how mergers may drive important physical changes in galaxies without pushing them off the main sequence.

Unified Astronomy Thesaurus concepts: Galaxy evolution (594); High-redshift galaxies (734); Starburst galaxies (1570); Interstellar medium (847)

1. Introduction

The evolution of the cosmic star formation rate density (SFRD) has now been well characterized as far back as the epoch of reionization. It increased from the early stages of the universe until it peaked at \( z \sim 1 – 3 \), and then decreased until the present day (Madau & Dickinson 2014). Parallel to these optical and near-IR studies, powerful radio and submillimeter interferometers such as the Karl G. Jansky Very Large Array (VLA), the Atacama Large Millimeter/submillimeter Array (ALMA), or the NORehnden Extended Millimeter Array (NOEMA) have enabled the first deep blind emission line surveys (ASPECS, at \( z \sim 1 – 4 \) (Walter et al. 2016), and COLDz, at \( z \sim 2.5 – 6 \) (Pavesi et al. 2018)). These have begun to unveil the evolution of the cosmic molecular gas mass density out to \( z \sim 6 \), revealing a very good agreement with the cosmic SFRD. Along with progress in state-of-the-art hydrodynamical simulations, e.g., SIMBA (Davé et al. 2019) and IllustrisTNG (Diemer et al. 2019; Popping et al. 2019), these studies add to the growing consensus that the molecular gas reservoirs of galaxies are key drivers of the observed cosmic SFRD evolution, rather than changes in star-forming efficiency (Scoville et al. 2016; Tacconi et al. 2018; Riechers et al. 2019; Decarli et al. 2020; Walter et al. 2020). Understanding the molecular gas properties of galaxies over cosmic time is therefore crucial for obtaining a complete picture of how galaxies form and evolve (Kennicutt & Evans 2012; Carilli & Walter 2013; Hodge & da Cunha 2020; Tacconi et al. 2020).

CO (hereafter CO), the second most abundant molecule in galaxies after molecular hydrogen (H₂), has been traditionally used to trace the total molecular gas content of galaxies. The low excitation temperature \( T_{\text{ex}} = 5.5 \text{ K} \) of the rotational ground state \( (J = 1 – 0) \) of CO means that this molecule is easily excited in a variety of galactic environments, tracing the bulk
of the cold molecular gas. The CO(1-0) luminosity can be directly related to the cold molecular gas mass via a conversion factor $\alpha_{CO}$ (see Bolatto et al. 2013, for a review). Due to the intrinsic faintness of the line and the great distances involved, large time investments are needed to detect this transition at high redshift, so mid- and high-J CO transitions such as $J = 4 - 3$ and $J = 6 - 5$ are often used instead. These transitions trace denser, actively star-forming gas, and are typically brighter and thus easier to detect than the ground state transition. Relating their line luminosities to the CO(1-0) line luminosity, however, requires additional assumptions about line excitation, with conversion factors spanning a wide range of values over the high-$z$ galaxy population (Carilli & Walter 2013; Narayanan & Krumholz 2014; Sharon et al. 2016; Yang et al. 2017; Riechers et al. 2020). Furthermore, higher-J CO transitions have been shown to miss a significant fraction of the lower-excitation gas, and might therefore not be representative of the total molecular gas content of the galaxies. This would in turn lead to an underestimation of the dynamical mass and gas fraction, among other properties (Ivison et al. 2011; Riechers et al. 2011; Cañameras et al. 2018).

Submillimeter galaxies (SMGs) are one of the main sites of star formation in the high-$z$ universe (Casey et al. 2014; Hodge & da Cunha 2020). These dusty, high infrared luminosity ($L_{IR} > 10^{12} L_\odot$; Magnelli et al. 2012a) systems are found predominantly at high redshift (Chapman et al. 2005; Danielson et al. 2017). They have star formation rates up to, and even in excess of, $\sim 1000 M_\odot$ yr$^{-1}$, fed by large molecular gas reservoirs of $10^{10} - 11 M_\odot$ (Greve et al. 2005; Tacconi et al. 2008; Bothwell et al. 2013; Birkin et al. 2021). Such intense starburst episodes are thought to be mainly triggered by major mergers, interactions with neighboring galaxies or through accretion of cold gas from the intergalactic medium. Obtaining a clear picture of the physical conditions and structure of their interstellar medium (ISM), as well as the processes regulating their star formation, is critical to our understanding of the history of cosmic star formation. However, despite their extreme properties, resolved studies of the low-J CO emission in high-redshift SMGs on kpc/subkpc scales remain a technical challenge, even for the few extremely bright (e.g., GN20 (Hodge et al. 2012)) and/or strongly lensed systems (e.g., HLSJ091828.6+514223 (Rawle et al. 2014) and SDSS J0901 +1814 (Sharon et al. 2019)) in which they have been carried out. In this paper, we extend these to SMM J13120+4242 (hereafter SMM J13120).

SMM J13120 is a $z = 3.4$, nonlensed SMG that was first detected in the Hawaii Deep Field SSA 13 (see Table 1 for detailed properties). This SMG has an active galactic nucleus (AGN), revealed by optical emission lines (Si IV, C IV, and O III; see Chapman et al. 2005), point-like X-ray imaging (Wang et al. 2016) and the need to include an AGN component to accurately fit the SED (Section 3). CO(1-0) observations with the GBT by Hainline et al. (2006) originally suggested a very broad line of FWHM $\sim 1000$ km s$^{-1}$, implying an ongoing merger. This scenario was further supported by resolved observations of CO(6-5) showing a disturbed structure and velocity distribution (Engel et al. 2010). Subsequent CO(1-0) imaging with the VLA revealed a very massive ($M_{gas} = 1.9 - 6.9 \times 10^{11} M_\odot$), extended (15 kpc) low-excitation gas reservoir (Riechers et al. 2011, hereafter R11). In this work, we present high-resolution (0'039) JVLA CO(1-0) imaging of J13120, spatially and kinematically resolving the cold molecular gas reservoir in this unlensed galaxy.

This paper is organized as follows. In Section 2, we describe the observations and data reduction. The main results are presented in Section 3, where we include a reevaluation of the evidence for a broad CO(1-0) linewidth, source size estimation, and revisit the SED fitting. In Section 4, we present the analysis of this data, including estimates of the gas fraction and gas depletion time (Section 4.1), the dynamical mass and CO-to-H$_2$ conversion factor (Section 4.2), and the revised gas excitation modeling (Section 4.3). In Section 5, we place the extended gas reservoir seen in SMM J13120 in context with the literature (Section 5.1), and we further discuss the fate of this galaxy given the analysis presented here (Section 5.2). We end with our conclusion in Section 6.

A cosmology of $H_0 = 67.4$ km s$^{-1}$ Mpc$^{-1}$, $\Omega_M = 0.315$, and $\Omega_{\Lambda} = 0.685$ is assumed throughout this paper (Planck Collaboration et al. 2020). At a redshift of $z = 3.408$, this corresponds to an angular scale of 7.56 kpc arcsec$^{-1}$ and a luminosity distance of 30.3 Gpc.

## 2. Observations and Data Reduction

### 2.1. JVLA K-Band

We used the JVLA to observe the CO(J = 1-0) emission (rest-frame frequency: $\nu_{rest} = 115.27$ GHz) from SMM J13120 (VLA project ID: 15A-405 and 17B-108, PI: Hodge). At $z = 3.408$, the line is redshifted to 26.15 GHz. We used the K-band receivers in combination with the WIDAR correlator configured to 8-bit sampling mode to observe a contiguous bandwidth of 2.048 GHz (full polarization) covering the 24.446–26.342 GHz frequency range at 2 MHz spectral resolution (23 km s$^{-1}$ at 26.15 GHz). Four of the allocated 50 hr were observed in 2015 March (B configuration). The remaining 46 hr were observed between 2018 October and December (B and C configurations). In total, 39.9 hr were spent in B configuration and 9.96 hr in C configuration. The data were combined with previous observations taken between 2010 May (C and D configurations) and 2011 January (CnB configuration).
configuration) (Program ID: AR708, PI: Riechers). This resulted in a combined integration time of 57.6 hr. After accounting for calibration overheads and flagging, the total on-source integration time was 30.9 hr.

The quasar 3C 286 was used to determine the absolute flux density and for bandpass calibration. The VLA calibrator J1242 +3751 was observed every 3.5 minutes for phase and amplitude calibration. Observations were taken in full-polarization mode.

All data were reduced using the Common Astronomy Software Application (CASA) package version 5.6.0-60 (McMullin et al. 2007). Several antennas and two spectral windows were manually flagged after inspecting the calibration output. In K-band, the largest angular scale is 7\textquotesingle 9 (59.5 kpc at $z \sim 3.4$) for the B configuration and 66\textquotesingle (497.6 kpc) for the C and D configurations at 22 GHz, the center of the band.

We imaged the data using the CLEAN algorithm, and cleaned down to 2$\sigma$ in a 5\textquotesingle 0 × 4\textquotesingle 3 clean box around SMM J13120+4242. We used natural weighting, which resulted in a synthesized beam FWHM of 0\textquotesingle 39 × 0\textquotesingle 34 at a PA = −81°. We further performed synthesis imaging of the data using the multiscale version of the WS-Clean algorithm introduced by Offringa et al. (2014) and Offringa & Smirnov (2017), but found no quantitative or qualitative improvement over the imaging performed with CASA. The resulting rms value achieved was 27.2 $\mu$Jy beam$^{-1}$ channel$^{-1}$, with channels of width 46 km s$^{-1}$. At a tapered resolution of 0\textquotesingle 9, we achieve an rms of 35 $\mu$Jy beam$^{-1}$ channel$^{-1}$, in comparison to the 70 $\mu$Jy beam$^{-1}$ channel$^{-1}$ depth of R11 for an equal channel width and spatial resolution.

2.2. Plateau De Bure Interferometer

Observations of the CO J = 6-5 transition were carried out in winter 2007/2008 with the IRAM Plateau de Bure interferometer in the extended A configuration. At $z = 3.4$, the CO(6-5) transition is redshifted into the 2 mm band. The data were presented in Engel et al. (2010). We reuse the imaging products created in the MAPPING environment of GILDAS (Engel & Davies, private communication). The rms value is 0.64 mJy beam$^{-1}$ channel$^{-1}$ (channel width of 20 km s$^{-1}$), with a beam size of 0\textquotesingle 59 × 0\textquotesingle 47 at a P.A. = 50\textquotesingle 9.

3. Results

3.1. CO(1-0) Line Detection

The CO(1-0) spectrum of SMM J13120 is shown in Figure 1. The spectrum was extracted using a circular aperture of 1.75\textquotesingle diameter centered on the source, chosen to maximize the recovered flux. We fit the line with a single Gaussian profile that yields a peak flux density of 0.67 ± 0.14 mJy, with a FWHM of 267 ± 64 km s$^{-1}$ and integrated flux of 0.19 ± 0.06 Jy km s$^{-1}$ (see Table 1). The line is consistent with the central peak that was detected at a 4$\sigma$ level in the earlier VLA observations by R11 (see their Figure 2, bottom), which we use as our short spacings here.

Channel maps of 46 km s$^{-1}$ width are shown in Figure 2. Emission first appears at 131 km s$^{-1}$, and disappears at -283 km s$^{-1}$. The data cube was tapered to 0\textquotesingle 6 and 0\textquotesingle 9 to search for additional extended emission that might be resolved out at native resolution (see Appendix), but no significant difference in total flux density was found.

Ancillary data for SMM J13120 previously suggested a broader CO line FWHM. Greve et al. (2005) detected unresolved CO(4-3) emission with PdBI with a velocity width of 530 ± 50 km s$^{-1}$. Engel et al. (2010) reported a broad ~900 km s$^{-1}$ FWHM for the CO(6-5) emission. Upon examination of the CO(6-5) data cube (Engel et al. 2010, private communication; see Figure 7), we found that the S/N of the data and the narrow total bandwidth of the cube (1800 km s$^{-1}$) do not allow a robust continuum subtraction, so it is possible that continuum emission is causing an artificial widening of this line.

The earlier spectra of SMM J13120 from the GBT (using the Autocorrelation Spectrometer; Hainline et al. 2006) and VLA (R11) appeared to show evidence for CO(1-0) emission as well across a broader, >1000 km s$^{-1}$ velocity range, albeit at limited S/N for both instruments. This naturally raises a question about whether the new high-resolution VLA data are missing more extended emission at large velocities, such as has been reported in some follow-up studies of high-redshift sources with the GBT (e.g., Frayer et al. 2018). However, the new data disfavor the presence of such a broad component at a flux level consistent with the uncertainties on the earlier VLA data (see Appendix). Moreover, despite the doubled sensitivity of the combined data set even when tapering to the resolution of the initial data set, we do not find evidence for emission above 2$\sigma$ significance beyond the central emission component. We note that, despite the narrow linewidth we find here, we still find evidence for a spatially extended CO(1-0) reservoir (Section 3.2) and a diffuse low-excitation gas component (Section 4.3). A deep integration with a single-dish telescope would be required in order to investigate if an even lower surface brightness extended component is present in this source.

3.2. Moment Maps

The task IMMOMENTS in CASA was used to obtain the integrated-intensity map (moment 0) and intensity-weighted velocity field (moment 1). Both moment maps were extracted by collapsing the data cube over the channels where emission is present, and the moment 1 map was further clipped at two times the rms noise per channel. The maps are shown in
Figure 3. The moment 0 map reveals a source elongated in the SE to NW direction, at a PA of 127°. We detect two different components separated by 1″ (≈11 kpc). The central component carries most of the CO emission, with a luminosity ratio of 5:1. The secondary (NW) component is located at ΔR.A. = -0″125 (≈0.9 kpc) and Δ Dec = 0″4 (3 kpc) with respect to the main component.

The moment 1 map shows a complex velocity field, with most of the emission arising at the systemic velocity. There is no apparent structure in the velocity field of the NW component. No significant emission is seen outside this velocity range, which is consistent with the line profile discussed below. We do not detect any rest-frame 2.6mm continuum emission from either component down to a 3σ limit of 10.6 μJy beam⁻¹.

3.3. Source Size Estimation

We fit a 2D Gaussian to the zeroth-moment map using the task IMFIT in CASA, finding a deconvolved size of 2″1 ± 0″5 × 1″0 ± 0″2 (16 ± 3 × 8 ± 2 kpc). For the two spatially resolved components seen in the moment 1 map, we find respective sizes of 1″3 ± 0″3 × 0″7 ± 0″2 (10 ± 2 × 5 ± 1 kpc) and 0″6 ± 0″2 × 0″3 ± 0″2 (5 ± 1 × 2 ± 2 kpc) for the central and the NW components.

We further derive the size of the molecular gas reservoir from the UV data directly, to avoid possible biases introduced by the imaging process. We extract the visibilities corresponding to the channels within the full width at zero intensity of the line from the cube. We then average the data in radial bins of 15 kλ and fit a Gaussian profile to the data, as shown in Figure 4. The resulting half-light radius is 1.20 ± 0″15 (9.1 ± 1.1 kpc), consistent with our estimation from the moment 0 map fitting. At 150kλ, there is tentative evidence that may indicate the presence of unresolved structure not captured by our Gaussian model.

3.4. SED Fitting

In order to perform a consistent analysis of SMM J13120, we re-examine the physical properties of the galaxy derived through SED fitting. Although previous estimates have been reported in the literature (Hainline et al. 2006; Michałowski et al. 2010), we include new photometric data available from radio to X-ray wavelengths (see Table 2). In particular, a Chandra detection of this source (Wang et al. 2016) reported an X-ray luminosity of log(L0.5–8keV) ≈ 44, associated with an AGN (an unphysical SFR ≈ 10⁵ M_☉ yr⁻¹ would be required to explain this emission from star formation, according to the L_X–SFR relation by Mineo et al. (2014)), which is furthermore supported by emission line properties characteristic of AGN (Chapman et al. 2005).

To account for the contribution of the AGN to the multiwavelength SED, we use the most recent version of the MCMC-based code AGNfitter (Calistro Rivera et al. 2016). Given the unique data coverage for this source, we use the
The zeroth-moment map contours are shown in steps of 50 km s\(^{-1}\) and increase in steps of \(\sigma\). We find a half-light radius of 1.20 ± 0.15 arcseconds, ±2 \(\sigma\). We modeled the SEDs of the host galaxy and the AGN emission, from radio to X-ray wavelengths. Our SED modeling approach yields an SFR\textsubscript{IR} = 948\(^{+420}_{-418}\) \(M_\odot\) yr\(^{-1}\) and a stellar mass of \(\log M_{\star}[M_\odot] = 11.81^{+0.15}_{-0.25}\) (see Table 1). These estimates differ from those reported in the literature: Hainline (2008) found \(\log(M_*) = 10.96 ± 0.04\) and SFR\textsubscript{IR} = 890 \(M_\odot\) yr\(^{-1}\), whereas Michałowski et al. (2010) found \(\log(M_*) = 11.36\) (no uncertainties were reported) and 3700 \(M_\odot\) yr\(^{-1}\). The advantage of the new estimates lies in the improved modeling approach used, and the inclusion of the most recent additional data points in the fit (HST-WFC3 F160W and Chandra). Several tests were completed to assess the robustness of the derived values. Included within these were the use of restrictive and flexible energy balance (in which the luminosity of the cold dust is equal or allowed to be greater than the total luminosity attenuated by dust, respectively), setting the AGN component to zero and deactivating all the priors. All the tests that allow an AGN component return stellar masses agreeing within the error bars. We note that the stellar masses inferred were not directly affected by the AGN component, but rather by the additional photometric data.

Figure 3. CO(1-0) zeroth (top) and first (bottom) moment map of SMM J13120 at a resolution of 0.39\(^{\prime\prime}\). The zeroth-moment map contours start at \(±2\sigma\) and increase in steps of \(\sigma = 4.4\) mJy km s\(^{-1}\). The first-moment map was clipped at two times the rms noise per channel \((\sigma = 9\) mJy beam\(^{-1}\)), and contours are shown in steps of 50 km s\(^{-1}\). We fit a 2D elliptical Gaussian to the zeroth-moment map to determine the peak position of the emission, shown by the cross, corresponding to the white cross in Figure 2.

Figure 4. Visibilities (UV) profile of J13120. The UV data were extracted from the channels within the full width at zero intensity of the line from the cube and averaged in bins of 15 kHz. We fit the profile with a single Gaussian to estimate the intrinsic source size, finding a half-light radius of 1.20 ± 0.15 arcseconds, consistent with the 2D elliptical Gaussian fit to the zeroth-moment map.

Table 2

| Wavelength (\(\mu\)m) | Flux (\(\mu\)Jy) |
|---------------------|-----------------|
| (0.15-4) \times 10^{-3}\(\mu\)m | 0.00020 ± 0.000043 |
| 0.428\(^a\) | 0.302 ± 0.027 |
| 0.630\(^c\) | 0.649 ± 0.057 |
| 0.656\(^a\) | 0.912 ± 0.08 |
| 0.767\(^a\) | 1.4 ± 0.075 |
| 0.92\(^c\) | 1.535 ± 0.135 |
| 1.25\(^c\) | 2.138 ± 0.455 |
| 1.60\(^a\) | 2.58 ± 0.19 |
| 2.17\(^d\) | 4.258 ± 0.749 |
| 3.6\(^c\) | 10.6 ± 1.1 |
| 4.5\(^c\) | 15.1 ± 1.6 |
| 5.8\(^d\) | 24.1 ± 3.7 |
| 8.0\(^d\) | 29.3 ± 4.1 |
| 350\(^e\) | 21100 ± 7700 |
| 850\(^e\) | 6200 ± 1200 |
| 214000\(^e\) | 49.1 ± 6 |

Note. Superscript letters indicate references as follows:

\(^a\) (a) Yang et al. 2017.
\(^b\) Chapman et al. 2005.
\(^c\) Fomalont et al. 2006.
\(^d\) Smail et al. 2004.
\(^e\) Swinbank et al. 2010.
\(^f\) Hainline 2008.
\(^g\) Kovács et al. 2006.

updated version of the code, AGNfitter-rX (L. N. Martinez-Ramirez, in preparation), which is the first Bayesian SED-fitting routine that consistently models the SEDs of the host galaxy and the AGN emission, from radio to X-ray wavelengths. The AGNfitter model we used here consists of six different physical components: the X-ray corona, the accretion disk emission (Richards et al. 2006), the hot dust torus emission (Stalevski et al. 2016), the stellar populations (Bruzual & Charlot 2003, with a Chabrier IMF and exponentially declining star formation history), the cooler dust heated by star formation (Schreiber et al. 2016), and the radio emission component (see Figure 5).
4. Analysis

4.1. Molecular Gas Mass, Gas Fraction, and Depletion Time

The line flux was converted into line luminosity following Solomon & Vanden Bout (2005):

\[ L'_\text{CO} = 3.25 \times 10^7 I_{\text{CO}} \nu_{\text{obs}}^{-2} D_L^2 (1 + z)^{-3} \text{ K km s}^{-1} \text{ pc}^2, \]

where \( I_{\text{CO}} \) is the integrated line flux from the Gaussian fit in Jy km s\(^{-1}\), \( \nu_{\text{obs}} \) is the observed frequency of the line, and \( D_L \) is the luminosity distance in Mpc. We obtain a line luminosity of \( L'_{\text{CO}(1-0)} = (10 \pm 3) \times 10^{10} \text{ K km s}^{-1} \text{ pc}^2 \). This value falls within the scatter of the correlation between \( L'_{\text{CO}} \) and FWHM found for high-redshift (\( z \sim 1-6 \)) SMGs both with direct CO(1-0) detections and based on high-J CO transitions (Wu et al. 2019; Birkin et al. 2021).

The line luminosity can be transformed into a molecular gas mass through the conversion factor:

\[ \alpha_{\text{CO}} = \frac{M_{\text{gas}}}{L'_{\text{CO}}} M_\odot K^{-1} \text{ km s}^{-1} \text{ pc}^2. \]

It is common to apply different values for \( \alpha_{\text{CO}} \), depending on the galaxy type (see Bolatto et al. 2013 for a review). Normal star-forming galaxies show the standard Milky Way value \( \alpha_{\text{CO}} = 4.3 \ M_\odot \text{ K}^{-1} \text{ km s}^{-1} \text{ pc}^2 \), while studies of local ULIRGS and high-redshift starburst galaxies often assume \( \alpha_{\text{CO}} = 0.8 \ M_\odot \text{ K}^{-1} \text{ km s}^{-1} \text{ pc}^2 \). Theoretical studies have shown that the value of \( \alpha_{\text{CO}} \) depends on a range of ISM conditions, such as metallicity and dust temperature (Narayanan et al. 2012; Magnelli et al. 2012b; Gong et al. 2020).

There are a growing number of studies in high-z SMGs that point toward the use of \( \alpha_{\text{CO}} \sim 1 \) (e.g., Hodge et al. 2012; Calistro Rivera et al. 2018; Birkin et al. 2021; Riechers et al. 2021), so we adopt this value to estimate the molecular gas mass of SMM J13120 (as we show in Section 4.2, our data excludes \( \alpha_{\text{CO}} > 1.4 \)). Multiplying by \( \times 1.36 \) to account for helium, we obtain \( M_{\text{gas}} = (13 \pm 3) \times 10^{10} \ (\alpha_{\text{CO}}/1.0) \ M_\odot \), a factor of two higher than the median value obtained for the latest compilation of SMGs in Birkin et al. (2021) of \( M_{\text{gas}} = (6.7 \pm 0.5) \times 10^{10} \ M_\odot \). Our gas mass estimate is also several times higher than those of the ALESS sample (Swinbank et al. 2013) and the compilation of more than
We attempted to model the kinematics of SMM J13120 using the Bayesian Markov Chain Monte Carlo tools GalPaK3D (Bouché et al. 2015) and qubefit (Neleeman & Prochaska 2021). As noted above, however, the velocity gradient is aligned with the minor axis rather than the major axis. Together with the disturbed global morphology and limited S/N per channel, this precluded dynamically modeling the data as a rotating disk.

Instead, we estimate the enclosed dynamical mass of SMM J13120 within the half-light radius through the measured CO(1-0) linewidth. We adopt the virial estimator (Tacconi et al. 2008; Engel et al. 2010), which relates the linewidth, radii, and mass of the system through the equation:

$$M_{\text{dyn}}(<r_{1/2}) = 2.8 \times 10^5 \Delta v^2 R_{1/2} M_\odot,$$

where $\Delta v$ is the line FWHM in km s$^{-1}$ and $R_{1/2}$ is the gas half-light radius in kpc. The scaling factor adopted assumes the gas to be dispersion-dominated and is consistent with results derived from detailed Jeans modeling for high-$z$ galaxies (Cappellari et al. 2009). We consider this assumption to be appropriate given the chaotic velocity field in SMM J13120. We note that the scaling factor for a rotating disk at an average inclination would be a factor of $\sim 1.5$ smaller. Taking the half-light radius as half of the semimajor axis FWHM (8 kpc; see Section 3), we derive a value of $M_{\text{dyn}} = (1.6 \pm 0.9) \times 10^{11} M_\odot$.

This value is hard to reconcile with the stellar and gas mass estimates ($6.45 \times 10^{11} M_\odot$ and $1.3 \times 10^{11} M_\odot$, respectively), highlighting the highly uncertain nature of the dynamical mass estimate due to the clear presence of non-gravitationally supported motions. Evidence of this comes from our inability to dynamically model the system, which suggests the CO(1-0) is dominated by turbulent motions that prevent the gas from settling into a disk. Nevertheless, we can attempt to put constraints on the $\alpha_{\text{CO}}$ conversion factor by taking the 2σ values of the quantities considered and assuming no dark matter. Taking the respective 2σ values of $M_{\text{dyn}}$ and $M_g$ to be $3.4 \times 10^{11}$ and $1.5 \times 10^{11}$, we find $\alpha_{\text{CO}} < 1.4$. If we instead consider $M_{\text{dyn}} = 2.5 \times 10^{11} (\pm 1\sigma)$, we find $\alpha_{\text{CO}} < 0.7$. Even when making these liberal assumptions, we find that $\alpha_{\text{CO}}$ cannot be higher than 1.4, consistent with recent work on SMGs at high redshift (Calistro Rivera et al. 2018; Birkin et al. 2021). This supports the choice of a low, ULIRG-like $\alpha_{\text{CO}}$ value as discussed in Section 4.1.

4.3. Gas Excitation

We can build the CO SLED of SMM J13120 using the CO(4-3) and CO(6-5) line luminosities (Greve et al. 2005; Engel et al. 2010). We obtain line luminosity ratios of $r_{43/10} = 0.56 \pm 0.20$ and $r_{65/10} = 0.37 \pm 0.12$. Figure 8, top, shows the CO SLED in comparison with high-redshift systems GN20 (Carilli et al. 2010) and the QSO Cloverleaf (Bradford et al. 2009). We also show the statistical SLEDs derived for high-$z$ SMGs in Birkin et al. (2021) and for 1.4 mm-selected dusty star-forming galaxies in Spilker et al. (2014). The excitation in SMM J13120 agrees well with the general SMG population up to $J = 4$, with $r_{33/10}$, $r_{51/10}$, and $r_{65/10}$ all consistent with the average values $r_{33/10}$, $r_{51/10}$, and $r_{65/10}$.

The shape of the CO SLED can give us information on the gas density and temperature distributions. Therefore, we redo
the Large Velocity Gradient (LVG) modeling of R11 with the new CO(1-0) line flux. We calculate the brightness temperatures for the observed CO transitions on a grid of kinetic temperature and H$_2$ density spanning 10-200 K and 10$^{2.6}$ - 10$^{6.1}$ cm$^{-3}$. We fix the H$_2$ ortho-to-para ratio at 3:1 and set the CMB temperature to 12.03 K. We adopt a CO–H$_2$ abundance ratio per velocity gradient of $1 \times 10^{-5}$ pc (km s$^{-1}$)$^{-1}$ and use the Flower (2001) CO collision rates. We then convert the Rayleigh–Jeans temperature to line intensities and run an MCMC code to obtain the optimal values with uncertainties for the gas kinetic temperature, H$_2$ density and source filling factor. We find that the data is fit well by a two-component LVG model, represented by a diffuse, low-excitation component with a kinetic temperature of $T_{\text{kin}} = 25 \pm 5$ K and a gas density of $\rho_{\text{H}_2} = 10^{2.3 \pm 0.1}$ cm$^{-3}$, and a high-excitation component with $T_{\text{kin}} = 40 \pm 5$ K and $\rho_{\text{H}_2} = 10^{4.1 \pm 0.3}$ cm$^{-3}$ (comparable to the dust temperature of 40 K found by Kovács et al. 2006).\(^{17}\)

Despite the significantly revised CO(1-0) luminosity and linewidth, these parameters are almost identical to those found by R11, with the only difference being the density of the warm component, where we find $10^{2.1}$ cm$^{-3}$ compared to $10^{4.3}$ cm$^{-3}$ in R11.

### 5. Discussion

#### 5.1. Comparison with the Literature

CO lines from levels higher than $J = 2$ are poor tracers of the total molecular gas content in galaxies, due to their excitation requirements. Some studies of SMGs (including SMM J13120) have found evidence for very extended CO(1-0) reservoirs and/or broad linewidths in some cases (Ivison et al. 2011, R11), which are not observed in the higher-$J$ CO transitions. Meanwhile, observations sensitive to low-surface-brightness emission on even larger angular scales have uncovered such massive gas reservoirs with radii of tens of kiloparsecs in a range of systems at high-$z$, from the Spiderweb Galaxy (Emonts et al. 2016) to dusty star-forming galaxies and hyper-LIRGs (Frayer et al. 2018; Emonts et al. 2019). These studies suggest the existence of a diffuse, low-excitation gas component that is not apparent from mid/high-$J$ observations.

Despite its narrow linewidth, the total cold gas reservoir inferred from the CO(1-0) emission in SMM J13120 has a mass of $(13 \pm 3) \times 10^{10}$ $M_\odot$, and is extended over $\sim 16$ kpc in diameter. Although the revised CO(1-0) luminosity is significantly lower than previous estimates (R11), we additionally find that the CO SLED still suggests the presence of two gas components to account for all the recovered CO(1-0) emission, including a diffuse component with $T_{\text{kin}} = 25 \pm 5$ K and a warmer, denser component with $T_{\text{kin}} = 40 \pm 5$ K. These findings are in line with other studies of high-$z$ systems with available low-$J$ CO detections (see, e.g., Ivison et al. 2011; Riechers et al. 2011; Hodge et al. 2013, for unlensed galaxies.

\(^{17}\) We explored the case where the CO(6-5) line luminosity is contaminated by continuum emission by up to 50%. In the most extreme case, both the Akaike and Bayesian information criteria favor a one-component model.
and Yang et al. 2017; Cañameras et al. 2018; Harrington et al. 2021, for lensed sources), reinforcing the importance of low-J CO observations for establishing the nature of the molecular gas reservoirs in high-z systems and their role in early galaxy evolution.

Due to the difficulty of detecting and spatially resolving CO(1-0) at high redshift, there are few other resolved observations of low-J CO transitions in SMGs in the literature. The most direct comparison can be made with GN20 (Carilli et al. 2010; Hodge et al. 2012, 2015), an unlensed, z = 4.05 SMG, and the only other such source with kpc-scale low-J CO imaging. In particular, extensive high-resolution (6′′/1.3 ∼ kpc) observations with the JVLA in CO(2-1) revealed a clumpy, almost equally extended cold molecular gas reservoir (∼14 kpc in diameter; see Hodge et al. 2012). However, contrary to the study presented here, a dynamical analysis of GN20 found evidence of ordered disk rotation. We note that wet mergers have been shown to rapidly relax into smoothly rotating disks and/or be observationally indistinguishable from rotationally supported disks (e.g., Robertson et al. 2006; Robertson & Bullock 2008; Bournaud et al. 2009), and thus the presence of ordered rotation alone does not automatically preclude a major merger origin. Nevertheless, the present high-resolution CO(1-0) observations of SMM J13120 stand in stark contrast to the disk-like rotation revealed by the high-resolution CO(2-1) imaging of GN20, implying that we may have caught SMM J13120 in a distinct and potentially short-lived evolutionary stage, as discussed further in the following section.

5.2. The Fate of SMM J13120

With the wealth of data available for SMM J13120, we are now able to speculate about the evolutionary state of this massive, gas-rich galaxy. Previous authors have suggested that a late-stage merger could be the most likely explanation for the morphology and velocity field structure in SMM J13120 (Hainline et al. 2006; Engel et al. 2010; Riechers et al. 2011). In the light of our data, we re-examine the evidence supporting this scenario.

SMM J13120 shows a complex and discrepant morphology in CO(1-0) and CO(6-5) emission. The CO(1-0) zeroth-moment map (Figure 3, top) shows two distinct components separated by ∼11 kpc. The elongated shape of the main component could be indicative of molecular gas being redistributed around a central potential well. The CO(6-5) emission shows flattening almost perpendicular to CO(1-0), indicating a significant difference in the distribution of diffuse and dense molecular gas.

The narrow linewidth seems at odds with other mergers, which report FWHM ∼1000 km s^{-1} (e.g., Oteo et al. 2016), and might be a priori taken as a signature of a dynamically cold, ordered system. However, the PV diagram (Figure 6) and the resolved velocity maps (Figure 3), as well as the low dynamical mass estimate, indicate that the source is viewed close to face-on. In particular, the first-moment maps show that the velocity gradient follows the minor axis of CO(1-0) emission. The velocity gradient can also be observed in the PV diagram of the CO(6-5) emission. This kinematic structure in the CO(1-0) emission rules out a circular, regularly rotating disk model for the cold molecular gas reservoir, and lends further support to the merger scenario.

These velocity gradients could indicate, however, that the molecular gas, which is at this point mostly concentrated in the main component, is starting to settle into a rotating disk. Simulations have shown that rotating discs of molecular gas can reform on very short timescales after a merger (Robertson et al. 2004; Springel & Hernquist 2005; Robertson et al. 2006; Hopkins et al. 2009). If SMM J13120 is indeed a late-stage merger, the process of resettling could have already started. The fact that the velocity gradient is aligned with the minor axis is harder to explain. However, CO(6-5) emission is rotated ∼70° with respect to CO(1-0), such that the observed velocity gradient (pictured at 47°) more closely corresponds to the major axis of the CO(6-5) emission. This suggests that the disturbed global CO(1-0) morphology may be due to gas that is still being redistributed, which affected the derivation of the major and minor axis beyond what would be expected from a classical disk.

Finally, the merger scenario is supported by a comparison between the CO(1-0) and CO(6-5) line emission and near-IR imaging. Figure 9 shows the near-IR HST/ACS F160W (rest-frame λ ~ 0.4 μm) image of SMM J13120. We updated the astrometry of the F160W image by fitting the sources detected in the same field with elliptical Gaussians and matching the peak flux positions with those listed in the Hubble Source Catalogue.\footnote{https://catalogs.mast.stsci.edu/hsc/} The CO(6-5) and CO(1-0) emissions are extended on similar scales, and the peak emissions of both lines are coincident with the stellar emission in SMM J13120. The NW component seen in CO(1-0) is not detected in CO(6-5) and near-IR. The compact stellar distribution (r = 1.3 ± 0.4 kpc; see Swinbank et al. 2010) suggests that the merger is in a late stage.

In addition to its large submillimeter flux and extended cold gas reservoir, SMM J13120 shows emission lines in the optical spectrum indicative of the presence of an AGN (Chapman et al. 2005). This system appears thus to present characteristics of both the SMG and AGN populations. According to the current

\[ \text{Figure 9. HST-NICMOS F160W-band image with CO(1-0) (white) and CO(6-5) (red) contours overplotted on top. Contours start at ±2σ and increase in steps of σ = 4.4 and 93.8 μJy beam}^{-1} \text{ km s}^{-1}. \text{ The beam sizes are 0.39″ and 0.6″ for CO(1-0) and CO(6-5), respectively. The stellar emission is concentrated and its peak is coincident with the CO(1-0) and CO(6-5) emission peaks. The CO(6-5) and CO(1-0) emissions are equally extended, and the semimajor axis of CO(6-5) appears to be almost perpendicular to that of CO(1-0).} \]
paradigm of galaxy evolution (Sanders et al. 1988; Hopkins et al. 2008; Stacey et al. 2018), a fraction of the AGN population at high $z$ is transitioning from merger-driven SMGs to unobscured quasars. This transitioning population would retain high rates of dust-obscured star formation, thus being luminous in the far-infrared to mm regime. SMM J13120 presents all the characteristics expected for this population. It is thus possible that SMM J13120 is currently transitioning between an SMG and unobscured QSO. The low depletion timescale suggests a phase of rapid star formation that will quickly deplete its gas reservoir, leaving the SMG phase and becoming an AGN.

Our SED fitting reveals that, with SFR $= 948^{+420}_{-340} M_{\odot}$ yr$^{-1}$ and $M_\ast = 6.5^{+2.4}_{-1.5} \times 10^{11} M_{\odot}$, SMM J13120 sits on the massive end of the main sequence at $z = 3.4$ (Speagle et al. 2014). However, the depletion time of 137 Myr is a factor of 2–3 lower than those observed in the general SMG population at this redshift (200–300 Myr, Bothwell et al. 2013; Tacconi et al. 2018; Dudzevičiūtė et al. 2020; Birkin et al. 2021). With a gas fraction also four times lower than expected from empirical scaling relations (Tacconi et al. 2018), this main-sequence galaxy presents characteristics typical of starbursts. Elbaz et al. (2018) found four $z \sim 2$ Herschel-selected MS galaxies to be starbursts “hidden” in the main sequence, with short depletion times, low gas fractions, and a spheroid-like morphology in the H-band, attributes we also find in SMM J13120.

The case of SMM J13120 therefore raises two important, connected points. First, it reinforces previous findings that mergers may drive important physical transformations of galaxies without pushing them off the main sequence, although most of the currently available work has been done on the most massive galaxies of the main sequence ($M_\ast > 10^{11} M_{\odot}$; see Fensch et al. 2017; Silva et al. 2018; Cibinel et al. 2019; Puglisi et al. 2019; Drew et al. 2020). Second, it demonstrates that the massive end of the main sequence hosts a variety of star formation modes, rather than solely “typical star-forming galaxies” driven by secular evolution.

6. Conclusion

We have presented high-resolution (0″39) multiconfiguration VLA observations of the $z = 3.4$ SMG SMM J13120 +4242 in the CO(1-0) emission line. We derive a gas mass of $M_{\text{gas}} = (13 \pm 3) \times 10^{10} (\alpha_{\text{CO}}/1.0) M_{\odot}$. The molecular gas reservoir, imaged on ~3 kpc scales, extends over >16 kpc, in agreement with previous measurements. The spectral line profile reveals an FWHM of 267 ± 64 km s$^{-1}$. The deeper data presented in this study are quantitatively consistent with previous, less sensitive VLA CO(1-0) observations, and rule out the presence of a broader linewidth component that appeared previously at low S/N.

We use a virial estimator to estimate a dynamical mass of $M_{\text{dyn}} = (1.6 \pm 0.9) \times 10^{11} M_{\odot}$. This value is lower than the stellar mass derived from SED fitting ($6.45 \times 10^{11} M_{\odot}$), highlighting the impact of the non-gravitationally supported motions seen here on the derivation of the dynamical mass estimate. We thus treat it as a lower limit and use it in combination with the stellar mass to put an upper limit on the CO-to-H$_2$ mass conversion factor, $\alpha_{\text{CO}} < 1.4 M_\ast$ K$^{-1}$ km$^{-1}$ s pc$^{-2}$, consistent with a ULIRG-like value and comparable with the values derived for other high-$z$ SMGs.

The massive, extended molecular gas reservoir, with >16 kpc diameter, shows a complex velocity field with evidence for a velocity gradient. However, the velocity gradient aligns approximately with the minor axis of the CO(1-0) reservoir, precluding the modeling of the velocity field as a circular rotating disk. Using previous observations of CO(6-5), we confirm that the observed velocity gradient is seen in both transitions, but appears to align with the major axis of the CO(6-5) emission. This suggests that the perturbed gas may have already started settling into a disk but has not yet circularized. A late-stage intermediate (5:1) merger is the most likely cause for the disturbed morphology, chaotic velocity field, and intense burst of star formation taking place in SMM J13120.

The CO excitation ladder of the system is fit well by a two-component ISM model, with a cold, diffuse component and a warm, denser component, as is characteristic of the average SMG population. The properties presented in this paper suggest that SMM J13120 could be in a transitioning phase between a merger-driven starburst and an unobscured QSO. Given its low depletion timescale (~100 Myr), SMM J13120 is expected to quickly deplete its gas reservoir, eventually turning into an unobscured, gas-poor QSO.

We highlight the importance of high-resolution, low-J CO studies for characterizing the ISM in high-$z$ SMGs. Although resolved imaging of CO(1-0) at high $z$ requires significant time investments, our case study of SMM J13120 demonstrates that CO(1-0) is key to accurately characterizing the dynamical state of the cold molecular gas. High-resolution kinematic studies like this one are also crucial to better understanding differences between gas phases of different excitation. Future surveys of the CO(1-0) gas in larger samples of SMGs and their high-resolution follow-up will shed light on the typical molecular gas conditions in these sources.

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Appendix

With the earlier VLA CO(1-0) data set used in R11, the CO(1-0) spectrum indicated a very broad linewidth of ~1000 km s$^{-1}$, similar to the GBT single-dish spectrum from Hainline et al. (2006). After independently reanalyzing the R11 data, we recover the seemingly extended line emission. However, the deeper multiconfiguration data presented here (including the R11 data) reveal a much narrower line FWHM. Figure 10 shows the CO(1-0) spectrum of SMM J13120 binned in channels of 138 km s$^{-1}$ to increase the S/N. We do not recover any such broad component, despite the fact that the
combined data are two times more sensitive at matched resolution.

To further search for this broad component in the new data, we tapered both sets to the same resolution of 0″94. The tapered channel maps do not show further emission with respect to the native resolution channel maps (Figure 11). We then made zeroth-moment maps collapsed over the negative (−800 to −600 km s$^{-1}$) and positive (400 to 600 km s$^{-1}$) velocity offsets where emission was originally apparent in the spectrum in R11. The zeroth-moment maps are shown in Figure 12. We compare emission seen in the earlier VLA data R11, the new data set, and the combined data sets. We do not recover any emission above 2σ significance in the new and combined data sets. Moreover, the flux densities we measure are consistent within 2σ with the earlier R11 data, highlighting the uncertainty in the original data set.
Figure 11. CO(1-0) emission maps in SMM J13120 in 46 km s$^{-1}$ channels. The data were tapered to a resolution of 0"94, to search for faint extended emission that could have been missed at higher resolution. The shape of the beam is displayed in each channel. The white cross corresponds to the best-fit peak position of a 2D elliptical Gaussian to the zeroth-moment map. Contours start at ±2σ and increase in steps of σ = 35 µJy beam$^{-1}$. 
Figure 12. Zeroth-moment maps collapsed over the negative and positive velocity offsets where emission was present in the spectrum in R11. The top and bottom rows show the emission at negative (−800 to −600 km s$^{-1}$) and positive (400–600 km s$^{-1}$) velocity offsets, respectively. The first, second, and third columns correspond to the earlier VLA data set (R11), the new data set presented here, and the concatenated data, respectively. The flux density was extracted from the same circular aperture. The new and concatenated data sets do not show emission above the 2σ noise, and the flux density is consistent with the earlier VLA data within the errors, highlighting the uncertainty in the original data set.

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