Momentum dependence of the $\phi$-meson nuclear transparency

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The production of $\phi$ mesons in proton collisions with C, Cu, Ag, and Au targets has been studied via the $\phi \rightarrow K^0\bar{K}^0$ decay at an incident beam energy of 2.83 GeV using the ANKE detector system at COSY. For the first time, the momentum dependence of the nuclear transparency ratio, the in-medium $\phi$ width, and the differential cross section for $\phi$ meson production at forward angles have been determined for these targets over the momentum range of 0.6–1.6 GeV/c. There are indications of a significant momentum dependence in the value of the extracted $\phi$ width, which corresponds to an effective $\phi N$ absorption cross section in the range of 14–21 mb.

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INTRODUCTION

The study of the effective masses and widths of light vector mesons $\rho$, $\omega$, $\phi$ in a nuclear medium, through their production and decay in the collisions of photon, hadron and heavy–ion beams with nuclear targets, has received considerable attention in recent years (see, for example, [1–4]). This interest in the in-medium properties was triggered by the hypothesis of universal scaling of Brown and Rho [4], as well as by the QCD-sum-rule–based prediction of Hatsuda and Lee [4] that the masses of these mesons should be lower in nuclear matter due to the partial restoration of chiral symmetry in hot and dense nuclear matter. This is a fundamental symmetry of QCD in the limit of vanishing quark masses and its restoration would be characterized by a reduction of the scalar quark condensate in the medium compared to its magnitude in vacuum.

The natural width of the $\phi(1020)$ meson is only 4.3 MeV/$c^2$, which is narrow compared to other nearby resonances. It is therefore the optimal probe for the investigation of medium modifications because small effects should be experimentally observable. However, this meson is of interest for other reasons. Since the $\phi$ is an almost pure $s\bar{s}$ state, the Okubo-Zweig-Iizuka (OZI) rule [6] suppresses quark exchange in its interaction with ordinary (non-strange) baryonic matter. Gluon exchange, which plays a substantial role in high-energy interactions between hadrons, is therefore expected to dominate $\phi N$ scattering at all energies [7]. The $\phi$ might therefore be
considered as a clean system for the study of gluonic exchange which, at low energies, should manifest itself as an attractive QCD van der Waals force, which could even lead to the formation of $\phi N$ bound states. Secondly, owing to the small energy release in the dominant $K\bar{K}$ decay channel, any changes in the $\phi$ properties are very sensitive to possible in-medium modifications of kaons and antikaons, a subject which is also of great current interest.

The main modification of the $\phi$ properties in nuclear matter is expected to be a broadening of its spectral function, while its mass should be hardly shifted. Dileptons from $\phi \rightarrow e^+e^-/\mu^+\mu^-$ decays experience no strong final-state interactions in a nucleus. Any broadening of the $\phi$ line-shape in the nuclear matter should be directly testable by examining dilepton mass spectra produced by elementary or heavy-ion beams, provided that the necessary cuts are applied at low $\phi$ momenta. However, the measurement of such spectra is difficult due to the low branching ratios for leptonic decays. Furthermore, their sensitivity to in-medium modifications will be reduced by the profile of the nucleus spanning all densities from zero to normal nuclear matter density $\rho_0 = 0.16$ fm$^{-3}$, which smears out any density-dependent signal. The KEK-PS-E325 collaboration measured $e^+e^-$ invariant mass distributions in the $\phi$ region in proton-induced reactions on carbon and copper at 12 GeV/c and reported a mass shift of 3.4% and a width increase by a factor of 3.6 at density $\rho_0$ for $\phi$ momenta around 1 GeV/c.

An alternative way to determine the in-medium broadening of the $\phi$ meson has been proposed and adopted. Here, the variation of the $\phi$ production cross section (or nuclear transparency ratio) with atomic number $A$ has been studied both experimentally and theoretically. The big advantage of this method is that one can exploit the dominant $K^+\bar{K}^-$ branching ratio ($\approx 50\%$). The $A$-variation depends on the attenuation of the $\phi$ flux in the nucleus which, in turn, is governed by the imaginary part of the $\phi$ in-medium self-energy or width. In the low-density approximation, this width can be related to an effective $\phi N$ absorption cross section $\sigma_{\phi N}$, though this is less obvious at higher densities where two-nucleon effects might be significant.

A large in-medium $\phi N$ absorption cross section of about 35 mb was inferred in a Glauber-type analysis by the LEPS collaboration from measurements of $K^+\bar{K}^-$ pairs photoproduced on Li, C, Al and Cu targets at SPring-8 for average $\phi$ momenta $\approx 1.8$ GeV/c. Similar considerations were given in [18]. This large $\phi N$ cross section was confirmed in BUU transport model calculations [19]. In the low-density approximation, this implies an in-medium $\phi$ width of about 110 MeV/$c^2$ in its rest frame at density $\rho_0$ for the conditions of the KEK measurements. This is clearly incompatible with the width reported in the KEK experiment. This value of $\sigma_{\phi N}$ is also significantly larger than the $\approx 10$ mb obtained from both photoproduction data on hydrogen, using the vector-meson dominance model in the photon energy range $E_\gamma < 10$ GeV, and the additive quark model.

Values of the in-medium $\sigma_{\phi N}$ were also determined by the CLAS collaboration from transparency ratio measurements at JLab. In this experiment, the $\phi$ mesons were photoproduced on $^3$H, C, Ti, Fe, Pb targets and detected via their $e^+e^-$ decay mode. From an analysis of the transparency ratios normalized to carbon within a Glauber model, values of $\sigma_{\phi N}$ in the range of 16-70 mb were extracted for an average $\phi$ momentum of $\approx 2$ GeV/c. These are to be compared to the free value of $\approx 10$ mb.

The SPring-8 and JLab results are consistent with the JLab measurements of coherent $\gamma N \rightarrow \phi N$ and incoherent $\phi$ photoproduction from deuterium. The coherent data suggest that $\sigma_{\phi N} \approx 30$ mb together with a larger slope for elastic $\phi N$ scattering compared to $\gamma N \rightarrow \phi N$. A combined analysis of coherent and incoherent $\phi$ meson photoproduction from deuterium favors $\sigma_{\phi N} > 20$ mb.

Whereas medium modifications of $\sigma_{\phi N}$ might offer a plausible explanation of the SPring-8 and JLab data, they can hardly account for the large value found in deuterium. Nuclear density effects are here minimal and other mechanisms, beyond medium modifications, could be more important. In this context it should be noted that the LEPS collaboration recently studied incoherent $\phi$ photoproduction from deuterium at forward angles for $E_\gamma = 1.5 - 2.4$ GeV. The nuclear transparency ratio, extracted as a function of $E_\gamma$, shows a significant 25 - 30% reduction, which is consistent with that previously deduced by the same collaboration from the $A$-dependence of this ratio for heavier nuclear targets. On the other hand, very recent data on incoherent $\phi$ photoproduction on deuterium, taken by CLAS collaboration in a similar photon energy range but over a region of larger momentum transfers, are inconsistent with the LEPS results.

The divergent conclusions drawn from the various experiments emphasize the need to improve our understanding of the $\phi N$ interaction in nuclei. With the aim of furthering these studies, we have measured the inclusive production of $\phi$ mesons at forward angles in the collisions 2.83 GeV protons with C, Cu, Ag, and Au targets. The meson was detected via the $\phi \rightarrow K^+K^-$ decay using the ANKE-COSY magnetic spectrometer. Values of the nuclear transparency ratio normalized to carbon, $R = (12/A)\sigma(A)/\sigma(C)$, were deduced, averaged over the $\phi$ momentum range 0.6 - 1.6 GeV/c. Here $\sigma(A)$ and $\sigma(C)$ are inclusive cross sections for $\phi$ production in $pA$ ($A = C, Cu, Ag, Au$) and $pC$ collisions in the angular cone $\theta_\phi < 8\text{°}$. The comparison of the ratio with model calculations [20-28] yielded an in-medium $\phi$ width of 33 - 50 MeV/$c^2$ in

\begin{math}\[ \sigma_{\phi N} \approx 10 \text{ mb}. \] \end{math}
the nuclear rest frame for an average \( \phi \) momentum of 1.1 GeV/c for normal nuclear density \( \rho_0 \).

Because of the large number of reconstructed \( \phi \) mesons for each target (7000-10000), the data could be put in bins in order to obtain differential distributions. This allows us to carry out more detailed investigations, in particular of the momentum dependence of the parameters. In this paper we report on the results of further analysis of the data collected in our experiment [22, 29].

EXPERIMENT AND RESULTS

A series of thin and narrow C, Cu, Ag, and Au targets was inserted in a beam of 2.83 GeV protons, circulating in the COSY Cooler Synchrotron/storage ring of the Forschungszentrum Jülich, in front of the main spectrometer magnet D2 of the ANKE system (see [30, 31]). The ANKE spectrometer has detection systems placed to the right and left of the beam to register positively and negatively charged ejectiles which, in the case of \( \phi \) meson production, are the \( K^+ \) and \( K^- \). Although only used here for efficiency studies, forward-going charged particles could also be measured in coincidence. The positively charged kaon was first selected using a dedicated detection system that can identify a \( K^+ \) against a pion/proton background that is \( 10^5 \) more intense (compare Fig. 2 in [29] and [31, 32]). The coincident \( K^- \) was subsequently identified from the time-of-flight difference between the stop counters in the negative and positive subare were fitted by the incoherent sum of a Breit-Wigner function with the natural resonance parameters. In this paper we report on the results of further analysis of the data collected in our experiment [25, 29].

The track reconstruction efficiency of \( K^+ K^- \) pairs \( \varepsilon_{tr} \) is determined from the experimental data. The correction for kaon decay in flight and acceptance \( \langle \varepsilon_{acc} \rangle \) is determined as a function of the laboratory momentum and the laboratory polar angle of the \( \phi \) meson, using simulations and assuming an isotropic \( \phi \) decay in its rest frame. The range-telescope efficiency \( \varepsilon_{tel} \) is extracted from calibration data on \( K^+ p \) coincidences. Finally, \( \varepsilon_{BR} \) represents the branching ratio of the \( \phi \to K^+ K^- \) decay mode.

The integrated luminosity \( L_{\text{int}}^A \) is calculated using the measured flux of \( \pi^+ \) mesons with momenta \( \approx 500 \) MeV/c produced at small laboratory angles. Values of the \( \pi^+ \) production cross sections used at 2.83 GeV are 59.8 \( \pm \) 7.2 for carbon, 113 \( \pm \) 15 for copper, 138 \( \pm \) 19 for silver and 174 \( \pm \) 24 mb/(sr GeV/c) for gold (the details are given in [33]).

The measured double differential cross section for \( \phi \) production for the four targets is given in Table I. The statistical uncertainties are about 5\% for each momentum bin and nucleus. The overall systematic uncertainties are typically 20\%, rising to 23\% for the first and last...
FIG. 1: Invariant mass distributions of $K^+K^-$ pairs produced in $p\text{C}$ and $p\text{Au}$ collisions in the $\phi$ momentum bin noted in GeV/$c$. Fits to the uncorrected experimental data in terms of an expected $\phi$ shape and a physical background are shown by the solid lines. The dashed lines are third order polynomial parameterizations of the backgrounds in the region of the $\phi$ peak.

To interpret the data presented here, a reaction model is essential and, in the subsequent discussion, we consider three approaches.

Model 1: The eikonal approximation of the Valencia group uses the predicted $\phi$ self-energy in nuclear medium both for the one-step ($pN \rightarrow pN\phi$) and two-step $\phi$ production processes, with nucleon and $\Delta$...
TABLE I: The measured transparency ratio $R$ in the acceptance window of the ANKE spectrometer for six momentum bins. The first errors are statistical and the second systematic, which are mainly associated with the fit quality. In addition there are overall systematic uncertainties in the ratios of about 5–6%, coming principally from the relative normalizations.

| $p_\phi$ [GeV/c] | $R$(Cu/C) | $R$(Ag/C) | $R$(Au/C) |
|-----------------|-----------|-----------|-----------|
| 0.600–0.825     | 0.49 ± 0.03 ± 0.03 | 0.48 ± 0.03 ± 0.03 | 0.34 ± 0.02 ± 0.02 |
| 0.825–0.950     | 0.49 ± 0.03 ± 0.04 | 0.40 ± 0.03 ± 0.03 | 0.30 ± 0.02 ± 0.02 |
| 0.950–1.075     | 0.48 ± 0.03 ± 0.04 | 0.39 ± 0.03 ± 0.03 | 0.31 ± 0.02 ± 0.02 |
| 1.075–1.200     | 0.49 ± 0.03 ± 0.04 | 0.40 ± 0.03 ± 0.03 | 0.30 ± 0.02 ± 0.02 |
| 1.200–1.325     | 0.42 ± 0.03 ± 0.03 | 0.35 ± 0.02 ± 0.02 | 0.27 ± 0.02 ± 0.01 |
| 1.325–1.600     | 0.41 ± 0.02 ± 0.02 | 0.31 ± 0.02 ± 0.02 | 0.24 ± 0.01 ± 0.01 |

TABLE II: The measured double differential cross section $d^2\sigma_\phi^N/\langle dpd\Omega \rangle$ [mb/(sr GeV/c)] for $\phi$ production at small angles ($\theta_\phi \leq 8^\circ$) for different momentum bins and nuclei. The first errors are statistical and the second systematic, which are associated with the fit quality and include the uncertainty in the average detection efficiency $\langle \varepsilon_\phi \rangle$. In addition there are overall systematic uncertainties of about 20–23%. For the details, see the text.

| $p_\phi$ [GeV/c] | C | Cu | Ag | Au |
|-----------------|---|----|----|----|
| 0.600–0.825     | 9.9 ± 0.4 ± 0.9 | 26.2 ± 1.3 ± 2.7 | 43.3 ± 2.0 ± 4.3 | 58.0 ± 2.4 ± 5.2 |
| 0.825–0.950     | 13.3 ± 0.6 ± 0.8 | 34.4 ± 1.7 ± 2.4 | 46.6 ± 2.3 ± 4.0 | 72.0 ± 3.0 ± 4.0 |
| 0.950–1.075     | 14.5 ± 0.6 ± 1.0 | 37.3 ± 1.8 ± 2.6 | 51.0 ± 2.3 ± 4.0 | 76.8 ± 3.0 ± 4.9 |
| 1.075–1.200     | 15.3 ± 0.7 ± 1.5 | 40.3 ± 1.8 ± 3.2 | 55.8 ± 2.7 ± 4.4 | 76.7 ± 3.1 ± 6.0 |
| 1.200–1.325     | 18.1 ± 0.8 ± 1.0 | 40.9 ± 2.1 ± 2.6 | 57.8 ± 2.8 ± 3.4 | 83.5 ± 3.8 ± 3.4 |
| 1.325–1.600     | 18.7 ± 0.7 ± 0.9 | 41.4 ± 1.9 ± 1.8 | 53.1 ± 2.4 ± 2.2 | 77.2 ± 3.4 ± 2.9 |

FIG. 2: Momentum dependence of the transparency ratio $R$, normalized to carbon, for Cu, Ag, and Au targets.

Intermediate states.
Model 2: Paryev [27] developed the spectral function approach for $\phi$ production in both the primary proton-nucleon and secondary pion-nucleon channels.
Model 3: The BUU transport calculation of the Rossendorf group [28] accounts for a variety baryon-baryon and meson-baryon $\phi$ production processes. In contrast to models 1 and 2, where $\phi$ absorption is governed by its width, $\Gamma_\phi$, model 3 describes it in terms of an effective in-medium $\phi N$ absorption cross section $\sigma_{\phi N}$ that can be related to the $\phi$ width $\Gamma_\phi$ within the low-density approximation.

One of the major differences between the three models is in the treatment of the secondary $\phi$ production processes. In addition, in contrast to model 2, in model 3 a $\phi$ mass shift of $-22$ MeV/c$^2$ at density $\rho_0$ is considered. This results in a modest increase of the $\phi$ production cross section in the range of 0.6–1.6 GeV/c.

The results of the calculations of the transparency ratio as functions of the $\phi$ momentum are presented separately in the three columns of Fig. 2 for the different models. Curve 1 of model 1 results from using the predictions [12] for the imaginary part of the $\phi$ self-energy in nuclear matter. The other curves, corresponding to calculations with this self-energy multiplied by factors of 0.5, 2, and 4, demonstrate the sensitivity of $R$ to the value of the $\phi$ width. Calculations within model 2 shown in the central panel were performed with different values of a momentum-independent width $\Gamma_\phi$ of the $\phi$ meson in its rest frame at density $\rho_0$. These values are noted (in MeV/c$^2$) next to the curves. Calculations in model 3 presented in the right panel were produced using the absorption cross section $\sigma_{\phi N}$ (in mb) indicated. For comparison, the values of the experimental transparency ratios are also shown, but without error bars.

It is seen from Fig. 2 that, for an almost momentum-independent $\phi$ self-energy, model 1 predicts a steady rise of the transparency ratios with laboratory $\phi$ momentum, which is inconsistent with the steady fall in the data.
FIG. 3: (Color online) The transparency ratio $R$ for the three different nuclear combinations as a function of the $\phi$ laboratory momentum. The experimental data, shown in red, are connected with lines to guide the eye. The predictions of the three theoretical approaches are shown for model 1 (left), model 2 (center), model 3 (right). For the rest of the notations, see the text.

Any momentum dependence in the results of model 2 is much more moderate but it is clear that in neither case can the variation of the Cu/C, Ag/C and Au/C transparency ratios be described with a single value of the $\phi$ width. The results from model 3 are more promising in this respect, since the steady fall in the data can be reproduced with a constant $\phi N$ absorption cross section of about 15-20 mb.

By comparing the calculated and measured values of the transparency ratio for the three target combinations, it is possible to determine the weighted average of the $\phi$ width $\Gamma_\phi$ in the nuclear rest frame for density $\rho_0$ for each momentum bin. The results of applying this procedure are shown in Fig. 4(a) for both model 1 and 2. Model 3, as well as the SPring-8 [15] and JLab [16] data, are directly sensitive to the values of the $\phi N$ absorption cross section that are noted in Fig. 4(b). The values of $\Gamma_\phi$ shown in Fig. 4(a) were, in these cases, deduced in the low-density approximation, $\Gamma_\phi = p_\phi \rho_0 \sigma_{\phi N} / E_\phi$.

Figure 5 shows the measured differential cross sections for $\phi$ production as functions of $p_\phi$. The result on the light C nucleus increases much faster with the $\phi$ momentum than for heavier targets, and this is reflected in the variation of the transparency ratio in Fig. 2. The experimental results are compared with the predictions of the models 2 and 3 that use the values of the $\phi$ width and $\phi N$ absorption cross section shown in Fig. 4. The agreement of both models with the data generally improves for larger $p_\phi$ though, in the highest momentum bin, the results of model 3 lie closer to experiment. One possible reason for this is the introduction of a greater number of $\phi$ production channels in this model. On the other hand, both models underestimate strongly the experimental data at low $p_\phi$.

The models are, of course, sensitive to the relative strength of $\phi$ production in $pp$ and $pn$ collisions [25].
collisions being about four times larger than in pp for the high momentum components.

This point is particularly significant in-medium, whereas for models 1 and 2 it is deduced from the cross section. In the case of model 3, this is the parameter that is directly determined from the comparison with experiment, whereas for models 1 and 2 it is deduced from the in-medium φ widths within the low-density approximation. The SPring-8 [15] and JLab [16] values of the cross section are also shown.

This is experimentally uncertain and a theoretical estimate of the ratio [34] was used within the models. This corresponds to the cross section for φ production in pn collisions being about four times larger than in pp collisions at 2.83 GeV. This point is particularly significant for the high momentum components.

An alternative way to estimate the in-medium φ width or σφN would be through a direct fit of the absolute cross sections within the framework of either model 2 or 3. It is clear that the uncertainties in the resulting parameters would be larger than those that use the transparency ratio because there are then no cancelations, either theoretical or experimental. However, calculations within these models show that, for pφ > 1 GeV/c, the production cross sections can be described with a φ width of about 40 MeV/c² at density ρ₀, i.e., with a φN absorption cross section of 15–20 mb. These values are consistent with those shown in Fig. 2. At lower momenta, the calculations in both models underestimate the data even if one takes the free value of the φ width or a vanishing value for the φN absorption cross section.

The above inconsistencies suggest that some processes, whose contributions to the φ production cross sections increase for lower φ momenta and with the size of the nucleus, are not present in the models. The inclusion of additional secondary production reactions, involving for example ωN → φN [18], as well as processes where the φ slows down during its propagation through the nucleus through elastic and inelastic collisions [32], would enhance the low-momentum part of the φ spectrum. Unfortunately, the cross sections for such processes are not known experimentally and so cannot be introduced reliably.

It can be argued that the transparency ratio is less sensitive to nuclear effects and secondary production processes than the production cross section. This may provide some justification for using the models to extract the φ width from the experimental transparency ratio over the full momentum range studied. In fact, model 1 allows one to deduce values of Γφ from the A-dependence of R, while not making any predictions for the φ production cross sections.

As can be seen from Fig. 4, the application of the three models yields broadly consistent results. The differences come mainly from the divergent descriptions of the secondary φ production processes. Our findings are not inconsistent with the KEK result, taking into account the uncertainties in both the experiment and in the model-dependent analysis. The observed growth of Γφ with pφ is supported by the SPring-8 and JLab data. Note that the values of Γφ extracted within the three models agree quite well with those predicted by the Valencia group [12] at φ momenta between 0.6 and 0.825 GeV/c but deviate strongly from them at higher pφ, reaching a magnitude of about 50–70 MeV/c² at pφ ≈ 1.5–1.6 GeV/c. It is worth noting that, taken together, the latest results by the CBELSA/TAPS [35] and CLAS/JLab [16] collaborations suggest an increase also of the in-medium ω meson width with pω.

Our data shows evidence for a momentum dependence of σφN and, as a consequence, our findings are not inconsistent with the results from SPring-8 and JLab. The absorption cross section is between 14 and 21 mb in the pφ range of 0.6–1.6 GeV/c. This is also in line with the value σφN > 20 mb deduced by the CLAS Collaboration.

![FIG. 4: (a) In-medium width of the φ meson in the nuclear rest frame at saturation density ρ₀ as a function of the φ momentum. The points have been evaluated by comparing the data of Fig. 3 with the results of the three model calculations shown in Fig. 2 (model 1 – full squares, model 2 – full circles and model 3 – open triangles). Also shown are the results from the other experiments noted [13, 15, 16]. The solid line represents the Γφ calculated on the basis of the predicted φ self-energy in nuclear matter [12]. (b) The φN absorption cross section. In the case of model 3, this is the parameter that is directly determined from the comparison with experiment, whereas for models 1 and 2 it is deduced from the in-medium φ widths within the low-density approximation. The SPring-8 [15] and JLab [16] values of the cross section are also shown.](image-url)
from a combined analysis of coherent and incoherent \( \phi \) production from deuterium\(^{22}\).

![Graphs showing double-differential cross sections for \( \phi \) production at small angles, \( \theta_\phi < 8^\circ \), in the collisions of 2.83 GeV protons on C, Cu, Ag, and Au targets as functions of the \( \phi \) laboratory momentum (full squares). The errors shown are those from Table II added in quadrature. The experimental data are compared with the predictions of model 2 (dashed lines) and model 3 (solid lines) using, respectively, the central values of the \( \phi \) width and effective \( \phi N \) absorption cross section shown in Fig. 4.](image)

**CONCLUSIONS**

The differential cross sections for the forward production of \( \phi \) mesons by 2.83 GeV protons incident on nuclear targets have been measured at the ANKE-COSY facility. The dependence of the transparency ratio on the \( \phi \) momentum was determined over the range 0.6–1.6 GeV/c. Values of the \( \phi \) width in nuclear matter were extracted by comparing these data with calculations carried out within the available models. Independent of the model used for the analysis, the results show evidence for an increase of the \( \phi \) meson with its momentum. This was completely unexpected and represents possibly a significant result.

Sizable excesses have been observed in the numbers of \( \phi \) mesons produced with momenta below 1 GeV/c. These are not reproduced by the models employed and might suggest some enhancement in the low mass \( \phi N \) systems. In order to get a deeper insight into the momentum dependence of the \( \phi \) meson in-medium width, a better understanding of both the \( \phi \) production mechanism and its propagation through nuclear matter is crucial.

In general, \( \phi \) meson production on hydrogen with elementary probes is not completely understood at the energy of our experiment\(^{34}^{38}\) and this should certainly be improved. It might be interesting to note in this context that strangeness production in closely related channels might have some influence here\(^{34}^{41}\).

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