Calculating the linear response functions of non-interacting electrons by the time-dependent Schroedinger equation

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Abstract

An O(N) algorithm is proposed for calculating linear response functions of non-interacting electrons. This algorithm is simple and suitable to parallel- and vector-computation. Since it avoids $O(N^3)$ computational effort of matrix diagonalization, it requires only $O(N)$ computational efforts where $N$ is the dimension of the statevector. The use of this $O(N)$ algorithm is very effective since otherwise we have to calculate large number of eigenstates, i.e., the occupied one-electron states up to the Fermi energy and the unoccupied states with higher energy. The advantage of this method compared to the Chebyshev polynomial method recently developed by Wang (L.W. Wang, Phys. Rev. B 49, 10154 (1994); L.W. Wang, Phys. Rev. Lett. 73, 1039 (1994)) is that our method can calculate linear response functions without any storage of huge statevectors on external storage.

1 Introduction

Computing the linear response functions (and the density of states) of large systems with thousands of atoms by using conventional methods requires to calculate the eigenvalues and eigenvectors of $N \times N$ Hamiltonian matrices ($N \gg 10^6$) from the lowest state to the Fermi energy and beyond. The standard diagonalization routines are too much time-consuming in treating these problems because their computing time is proportional to $N^3$. Therefore efficient numerical algorithms, such as recursive Green’s function methods [1, 2], the Lanczos methods [3, 4, 5, 6], the Chebyshev polynomial expansion [7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17], and conjugate gradient methods [7, 8] have been developed and applied to various problems.

In this paper, we present an efficient method for calculating the linear response functions of large quantum system. We give up the calculation of each exact eigenstates, instead we compute linear response functions by integrating the time-dependent Schrödinger equations for a finite period determined by the required energy resolution. Since it avoids $O(N^3)$ computational efforts of matrix diagonalization, it requires only $O(N)$ computational efforts for sparse Hamiltonian matrices. To realize this scheme, we exploit several numerical techniques such as the Chebyshev polynomial expansion of matrix functions [3, 4, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17], random state vectors [7, 8, 9, 10], Hamiltonian matrix discretized in real space [20, 21], the time-dependent
Schroedinger equation discretized in real time [22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35].

2 Time-dependent methods

In this section, we describe how we reached the conclusion that we can calculate efficiently the linear response functions of large quantum systems by using the time-dependent homogeneous Schroedinger equations.

2.1 Diagonalize or not diagonalize?

Let us compare the computational efforts of the conventional diagonalization method and the time-dependent method by counting the number of floating point multiplications as a function of matrix dimension \( N \), and show that time-dependent method is more efficient when large number of eigenstates are involved.

First, we review the relation between the eigenstate representation and the time-dependent representation of linear response functions. The linear response function \( \chi_{BA}(\omega + i\eta) \) of an observable \( B \) due to a monochromatic perturbation \( H^{ex} = e^{-i(\omega + i\eta)t}A \) is calculated by time-dependent perturbation theory [30],

\[
\chi_{BA}(\omega + i\eta) = (-i) \int_0^\infty dt e^{+i(\omega + i\eta)t} \left\{ \langle E_g | e^{+iHt} B e^{-iHt} A | E_g \rangle - c.c. \right\} \tag{1}
\]

\[
\approx 2 \int_0^T dt e^{+i(\omega + i\eta)t} \text{Im} \left\{ \langle E_g | B e^{-iHt} A | E_g \rangle e^{+iE_g t} \right\} \tag{2}
\]

where \( |E_g\rangle \) and \( E_g \) are the groundstate of the many electron system and its energy, respectively; \( \omega \) and \( \eta \) are the frequency and its resolution, respectively; \( T \gg 1/\eta \) is the integration time. We use atomic units (a.u.), and indicate complex conjugate by “c.c.”. In numerical calculation of (2), we have to discretize it in time, e.g.,

\[
\chi_{BA}(\omega + i\eta) = 2 \sum_{m=0}^M \Delta t e^{+i(\omega + i\eta)m\Delta t} \text{Im} \left\{ \langle E_g | B e^{-iHm\Delta t} A | E_g \rangle e^{+iE_g m\Delta t} \right\} \tag{3}
\]

where \( M = T/\Delta t \) is the number of timesteps, \( T \) is the integration time in (4), and \( \Delta t \) is the width of timestep. On the other hand, we obtain the
eigenstate representation by inserting $I = \sum_{m=1}^{N} |E_m\rangle\langle E_m|$ into (1),

$$\chi_{BA}(\omega + i\eta) = \sum_{m=1}^{N} \frac{\langle E_g|B|E_m\rangle \langle E_m|A|E_g\rangle}{(\omega + i\eta) - (E_m - E_g)} - \sum_{m=1}^{N} \frac{\langle E_g|A|E_m\rangle \langle E_m|B|E_g\rangle}{(\omega + i\eta) + (E_m - E_g)},$$

(4)

Next, we show the estimated computational efforts in Table 1. The diagonalization method for $N \times N$ Hamiltonian matrix requires memory space of $O(N^2)$ and computational effort of $O(N^3)$. On the other hand, the time-dependent method requires memory space of $O(N^2)$ and computational effort of $O(MN^2)$ where $M$ is the number of timesteps determined by the required energy resolution (See section 2.3). By choosing an appropriate basis set, we can make the Hamiltonian a sparse matrix having only $O(N)$ non-zero matrix elements [20, 25]. As the result, the computational effort and the memory space of the time-dependent method are reduced to $O(MN)$ and $O(N)$, respectively. Thus the time-dependent method can be more efficient than diagonalization method in large $N$ limit.

### 2.2 Newton or Schroedinger?

Table 2 classifies various time-dependent methods in terms of kind of equation and homogeneity. Though the Newton equations of harmonic oscillators [37, 38, 39, 10, 11] are mathematically equivalent to the Schroedinger equations in the eigenstate representation, use of the Schroedinger equation [22, 23, 24, 26, 27, 28, 29, 31, 32, 33, 34, 35] has advantage that we can exploit well developed concepts and formalism of quantum theory. It is true especially when we want to deal with quantum systems. Therefore, in this article, we study only the Schroedinger equations.

### 2.3 Homogeneous or inhomogeneous?

In this subsection we show that inhomogeneous time-dependent equations are more inefficient than homogeneous ones. This conclusion is valid not only for the Schroedinger equation (Particle Source Method [28]) but also for the Newton equation (Forced Oscillator Method [37, 38, 39, 10, 11]) because both equations are equivalent in the eigenstate representation.
Let us define the computational effort of the time-dependent method by the number of timesteps \( M = T/\Delta t \). Then the computational effort \( M \) is determined by the integration time \( T \), because the maximum width of timestep is limited by the sampling theorem [9] independent of the detail of the method we use. The timestep should be much smaller than the inverse of band width, \( \pi/E_B \), to reproduce the correct spectrum since, otherwise, according to (3) we cannot distinguish the eigenvalues [30]

\[
E_k = E + \frac{2\pi k}{\Delta t} \quad (k = 1, 2, \cdots). \tag{5}
\]

In the following, we evaluate \( T \) for homogeneous and inhomogeneous Schrödinger equations to calculate the real-time Green’s functions at many frequencies, \( \omega_l = l\Delta \omega, \ l = 0, \pm 1, \pm 2, \cdots \), within a required relative accuracy \( \delta \). It turns out that \( T \) of inhomogeneous equations can be much longer than that of homogeneous equations. This conclusion applies to the linear response functions, too.

First let us try to calculate the Green’s function by solving the homogeneous equation,

\[
i \frac{d}{dt} |\phi; t\rangle = H |\phi; t\rangle \tag{6}
\]

with the initial condition \( |\phi; t = 0\rangle = |j\rangle \). The auxiliary vectors are calculated as

\[
|\tilde{\phi}; T\rangle = (-i) \int_0^T dt' |\phi; t'\rangle e^{i(\omega_l + i\eta)t'}
= (-i) \int_0^T dt' e^{-iHt'} |j\rangle e^{i(\omega_l + i\eta)t'}
= \frac{1}{\omega_l + i\eta - H} \left(1 - e^{i(\omega_l + i\eta - H)T}\right) |j\rangle
\approx \frac{1}{\omega_l + i\eta - H} |j\rangle
= G(\omega_l + i\eta)|j\rangle \tag{11}
\]

where we have neglected the second term of (9) by assuming \( T \) is large enough so that \( e^{-\eta T} < \delta \). Therefore we estimate \( M \) for the homogeneous equation as

\[
M_1 \approx \frac{T}{\Delta t} = -\frac{\log \delta}{\eta \Delta t}.
\]
Next let us calculate the Green’s function by solving the inhomogeneous Schrödinger equation,
\[ i\frac{d}{dt} |\phi; t\rangle = H |\phi; t\rangle + |j\rangle \left( \sum_{l=-L}^{L} e^{-i(\omega_l + i\eta)t} \right) \theta(t) \] (12)
with the initial condition \(|\phi; t = 0\rangle = 0\). The solution at large \(T\) becomes
\[ |\phi; T\rangle \approx \sum_l G(\omega_l + i\eta) |j\rangle e^{-i(\omega_l + i\eta)T} \] (13)
where \(T\) satisfies \(e^{-\eta T} \ll \delta\). Then the auxiliary vectors \(|\tilde{\phi}_l; T_2\rangle\) are defined as
\[
|\tilde{\phi}_l; T_2\rangle = \frac{1}{T_2} \int_0^{T_2} dt' |\phi; t\rangle e^{-i(\omega_l + i\eta)t'}
= \frac{1}{T_2} \int_0^{T_2} dt' \sum_l G(\omega_l + i\eta) |j\rangle e^{-i(\omega_l - \omega_l')t'}
= G(\omega_l' + i\eta) |j\rangle
+ \sum_{l \neq l'} G(\omega_l + i\eta) |j\rangle \frac{i(e^{-i(\omega_l + \omega_l')T_2} - 1)}{T_2(\omega_l - \omega_l')}
\approx G(\omega_l' + i\eta) |j\rangle
\] (14)
where we have neglected the second term of (13) by assuming that \(T_2\) is large enough so that \(T_2 \Delta \omega \gg 1/\delta\). Therefore \(M\) becomes
\[ M_2 \approx \frac{1}{\Delta \omega \Delta t \delta} \] (17)
which can be much larger than \(M_1\) when \(\Delta \omega\) is small.

3 Non-interacting Electrons

In this section, we apply the time-dependent homogeneous Schrödinger equation to calculate efficiently the linear response functions and density of states of non-interacting electron systems, since it is well known that there exist wide and practically important areas in condensed matter physics where
non-interacting electron models are useful to predict various physical properties. Hereafter we assume that the system is described by the one-electron Hamiltonian,

\[ H = \frac{1}{2} \vec{p}^2 + V(\vec{r}). \]  

(18)

### 3.1 Linear response function

For non-interacting electrons, the linear response function (4) can be rewritten by using one-particle eigenstates as [36]

\[
\chi_{BA}(\omega) = \sum_{E_i \leq E_f, E_j > E_f} \frac{\langle i|B|j\rangle \langle j|A|i \rangle}{(\omega + i \eta) - (E_j - E_i)} - \sum_{E_i \leq E_f, E_j > E_f} \frac{\langle i|A|j\rangle \langle j|B|i \rangle}{(\omega + i \eta) + (E_j - E_i)}
\]

(19)

where \( E_f \) is the Fermi energy, and \( |i\rangle \) and \( |j\rangle \) are the occupied and empty one-particle states, respectively. This formula can be again rewritten in time-dependent representation as

\[
\chi_{BA}(\omega + i \eta) = (-i) \int_0^T dt \sum_{E_i \leq E_f \atop E_j > E_f} e^{i(\omega + i \eta)t} \left\{ \langle i|e^{iHt}Be^{-iHt}|j\rangle \langle j|A|i \rangle - c.c. \right\}
\]

(20)

\[
= (-i) \int_0^T dt \sum_{E_i \leq E_f \atop E_j > E_f} e^{i(\omega + i \eta)t} \times
\left\{ \langle i|\theta(E_f - H)e^{iHt}Be^{-iHt}\theta(H - E_f)|j\rangle \langle j|A|i \rangle - c.c. \right\}
\]

(21)

\[
= \left\langle \left\langle \int_0^T dt e^{i(\omega + i \eta)t} K(t) \right\rangle \right\rangle
\]

(22)

where the double brackets indicate the statistical average over random vectors \( |\Phi\rangle \), and \( K(t) \) is the time correlation function defined by

\[
K(t) = 2\text{Im} \langle \Phi | \theta(E_f - H)e^{iHt}Be^{-iHt}\theta(H - E_f)|A|\Phi \rangle.
\]

(23)

Equations (23) and (24) are the main result of this paper. Note that calculating the trace over the initial states \( |i\rangle \) by using random vectors reduces
the computational effort by a factor of $N$. As the result, the computational effort still remains $O(N)$ in spite of the double summation in (19).

In the above equations, we have introduced several numerical techniques. Firstly, the time-dependent statevectors,

$$e^{-iHt}\theta(H - E_f)A|\Phi\rangle$$
$$e^{-iHt}\theta(E_f - H)|\Phi\rangle$$

are calculated by the leap frog method [22, 23, 24, 25, 28]

$$|\phi; t + \Delta t\rangle = -2i\Delta tH|\phi; t\rangle + |\phi; t - \Delta t\rangle$$

(26)

where the Hamiltonian matrix is discretized by finite difference [20, 21]

$$\frac{\partial^2 \phi}{\partial x^2} = \sum_{n=-N_{diff}}^{N_{diff}} \frac{1}{\Delta x^2} C_n^{(2)} \phi(x + n\Delta x, y, z) + O(\Delta x^{2N_{diff}}).$$

(27)

Due to this discretization, the Hamiltonian matrix becomes sparse and the matrix vector multiplication in (26) can be done with $O(N)$ computational complexity. We use $N_{diff} = 4$ formula in this paper.

Secondly, the matrix step function for a normalized hermitian matrix $X$ whose eigenvalues $X_i$ are in the range $[-1, 1]$ is defined in its eigenstate basis

$$\theta(X) = \sum_{X_i} |X_i\rangle \theta(X_i) \langle X_i|.$$

(28)

By using this step function, we can avoid the difficulties in the partial sum in (24). Operation of this function on an arbitrary vector $|\phi\rangle$ is numerically approximated by the Chebyshev polynomial expansion [9, 10, 11, 12, 13, 14, 15, 16, 17],

$$f(X)|\phi\rangle \approx \sum_{k=1}^{K} c_k T_{k-1}(X)|\phi\rangle$$

(29)

where each term of the right hand side is calculated by vector recursion formulae

$$T_0(X)|\phi\rangle = |\phi\rangle$$
$$T_1(X)|\phi\rangle = X|\phi\rangle$$
$$T_{n+1}(X)|\phi\rangle = 2XT_n(X)|\phi\rangle - T_{n-1}(X)|\phi\rangle \quad n \geq 1.$$

(30)

(31)

(32)
To use this matrix function in (25), we should normalize the Hamiltonian matrix so that $X = (H - E_f)/E_{\text{norm}}$ has eigenvalues in the range $[-1, 1]$.

Thirdly, we define random vectors with random phase by

$$|\Phi\rangle = \sum_{n=1}^{N} |n\rangle e^{i\phi_n}$$

Equation (33) shows that each random vector is normalized to $N$, the number of one-particle eigenstates. Equation (34) shows that random vectors have normalized completeness. Equation (35) shows that the expectation value of an operator by random vectors gives the trace of the operator. We used this identity to calculate the trace over $|i\rangle$ in (23) and (24). These random vectors with random phase are more useful in calculating expectation values than random vectors with random amplitude since each random vectors are automatically normalized.

Finally the formula for numerical calculation of polarizability function $\chi_{\beta\alpha}(\omega)$ with $\alpha, \beta = x, y, z$ becomes

$$\chi_{\beta\alpha}(\omega) \approx \left\langle\left\langle \int_0^T dt e^{-\eta t} \left( e^{+i\omega t} - \delta_{\beta\alpha} \right) K(t) \right\rangle\right\rangle$$

$$K(t) = \frac{-2}{V(\omega + i\eta)^2} \text{Im} \langle\Phi|\theta(E_f - H) e^{+iHt} \times p_{\beta} e^{-iHt} \theta(E_{\text{cut}} - H) \theta(H - E_f) p_{\alpha} |\Phi\rangle$$
where $V$ is the volume of the supercell, and the dipole moment operators

$$
\langle j|A|i \rangle = \langle j|x_\alpha|i \rangle \quad (39)
$$

$$
\langle i|B|j \rangle = -\frac{1}{V} \langle i|x_\beta|j \rangle , \quad (40)
$$

are modified to momentum operators by partial integration. We also inserted a low energy projection operator $\theta(E_{\text{cut}} - H)$ into (38) to eliminate unphysical high energy components of the random vectors. This filter is much more effective than the quadratic filter used in [14]. In calculating very large systems, we need only few random vectors for statistical averaging, since the fluctuation becomes smaller as the system size $N$ becomes larger [28].

Figure 1 shows the dielectric function $\epsilon_{xx}(\omega) = 1 + 4\pi \chi_{xx}(\omega)$ of four electrons in three dimensional harmonic potential

$$
V(\mathbf{r}) = \frac{(\omega_0 r)^2}{2} \quad (41)
$$

calculated with $32^3$ cubic meshes, $\omega_0 = 0.1$, $\eta = 10^{-4}$. Three random vectors are used. The analytical result [42]

$$
\epsilon_{xx}(\omega) = 1 + \frac{4\pi N_e}{V} \frac{1}{\omega_0^2 - \omega^2 - i\omega\eta} \quad (42)
$$

is also shown for comparison, where $N_e$ is the number of electrons in the supercell of volume $V$. The deviation from the exact result near $\omega = 0$ is due to finite $\eta$. The result shows that our method works very well for $\omega \gg \eta$.

Figure 2 shows the dielectric function with energy resolution $\eta = 0.05 (eV)$ of silicon crystal consisting of $2^{15}$ Si atoms in a cubic supercell of $16^3$ unit cells. Each unit cell is divided into $8^3$ cubic meshes. One random vector is used. We used the empirical local pseudopotential in reference [43]. The result agrees with experimental results and other theoretical calculations [44, 45].

In some cases we may want to ask which part of the real space the electrons contributing to the linear response function come from. We can answer to this question by calculating the linear response function by restricting the range of the trace in (37) within a real space domain $D$. This can be done by replacing $|\Phi\rangle$ by $|\Phi\rangle = P_D|\Phi\rangle$ where $P_D = \sum_{n \in D} |n\rangle \langle n|$ is the real space projection operator onto $D$. 
3.2 Density of states

The density of states of the system can be calculated as
\[ \rho(\omega) = \frac{-1}{\pi} \sum_n \text{Im} G_{nn}(\omega + i\eta) = \frac{-1}{\pi} \text{Im} \left( \text{tr} [G(\omega + i\eta)] \right) \]  \hspace{1cm} (43)

by combining (11) and (36).

Figure 3 shows the numerical and analytical results of the density of states in 3D harmonic potential with $32^3$ cubic meshes, $\omega_0 = 0.1$, and $\eta = 10^{-3}$. Three random vectors are used. Figure 4 shows the density of states of silicon crystal consisting of $2^{15}$ Si atoms in a cubic supercell of $16^3$ unit cells. Each unit cell is divided into $8^3$ cubic meshes. The energy resolution is $\eta = 0.05 (eV)$. Three random vectors are used.

We can also calculate the local density of states integrated in a given domain $D$ by using the real space projection operator $P_D$ to restrict the summation in (33) within $D$,
\[ \rho_D(\omega) = \frac{-1}{\pi} \sum_{n \in D} \text{Im} G_{nn}(\omega + i\eta) = \frac{-1}{\pi} \text{Im} \left( \text{tr} [P_D G(\omega + i\eta)] \right) \]  \hspace{1cm} (44)

Photonic band structures in two-dimensional periodic structure of dielectric material [46, 47, 48] can also be calculated by using (43) or (44) since the Maxwell equations of this system are reduced to the Schrödinger equation with position dependent mass, i.e.,
\[ \mathcal{H} H_z(x, y) = \frac{\omega^2}{c^2} H_z(x, y) = EH_z(x, y) \]  \hspace{1cm} (45)

\[ \mathcal{H} = -\frac{1}{\epsilon(x, y)} \frac{\partial}{\partial x} \left( \frac{1}{\epsilon(x, y)} \frac{\partial}{\partial x} \right) + \frac{1}{\epsilon(x, y)} \frac{\partial}{\partial y} \left( \frac{1}{\epsilon(x, y)} \frac{\partial}{\partial y} \right) \]  \hspace{1cm} (46)

for $H$-mode where $H_z$ is the $z$-component of the magnetic field, and
\[ \mathcal{H} E_z(x, y) = \frac{\omega^2}{c^2} E_z(x, y) = EE_z(x, y) \]  \hspace{1cm} (47)

\[ \mathcal{H} = -\frac{1}{\epsilon(x, y)} \left\{ \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right\} \]  \hspace{1cm} (48)

for $E$-mode where $E_z$ is the $z$-component of the electric field.

Figure 5 shows a typical structure of two-dimensional photonic crystal cavities used in our calculation, and Fig. 6 shows the calculated density of states as a function of frequency and wave number.
4 Summary

In this article we proposed a new numerical method suitable for calculating the linear response functions (and the density of states) of non-interacting electrons, in which the sum over the initial one-particle states are efficiently calculated by using random vectors. The advantage of this method compared to the Chebyshev polynomial method by Wang to calculate optical absorption of non-interacting electrons [14] is that our method can calculate not only the imaginary part but also the real part of the linear response functions at the same time, and that it can calculate them without any input-output of statevectors on external storage. As the result, our method can calculate much larger systems than Wang’s method. The Chebyshev polynomial method of degree \( M \) should store \( O(M) \) statevectors of size \( O(N) \) on external storage to make the table of \( O(M^2) \) generalized Chebyshev moments \( \Lambda_{m,m'} \) and may take very long I/O time.

The application of this method to photonic band structures, silicon nanocrystals, and periodic structures of chaotic systems will be presented elsewhere [49, 50, 51].

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χ_{BA}(ω + iη) = \sum_{m=1}^{N} \langle E_g | B | E_m \rangle \langle E_m | A | E_g \rangle - \sum_{m=1}^{N} (\omega + iη) - (E_m - E_g) - \sum_{m=1}^{N} (\omega + iη) + (E_m - E_g)

| Calculation | Dense Matrix | Sparse Matrix |
|-------------|--------------|---------------|
| \langle E_g | B | E_m \rangle | N^3 \quad N^2 | N^3 \quad N^2 |
| \langle E_g | A | E_m \rangle | N^2 \quad N | N \quad N |
| \sum_m | N \quad N | N \quad N |

χ_{BA}(ω + iη) = 2 \sum_{m=0}^{M} \Delta t e^{i(ω+E_g+iη)\Delta t} \text{Im} \langle E_g | B e^{-iHm\Delta t} A | E_g \rangle

| Calculation | Dense Matrix | Sparse Matrix |
|-------------|--------------|---------------|
| e^{-iHm\Delta t} B | M N^2 \quad N^2 | M N \quad N |
| \langle E_g | A e^{-iHm\Delta t} B | E_g \rangle | N^2 \quad N | N \quad N |
| \sum_{m=0}^{M} | M \quad 1 | M \quad 1 |

Table 1: Comparison of diagonalization method and time-dependent method
|                      | equation | homogeneous               | inhomogeneous                      |
|----------------------|----------|---------------------------|------------------------------------|
| classical mechanics  | Newton   | harmonic oscillator       | forced oscillator                  |
|                      |          |                           | [37, 38, 39, 40, 41]               |
| quantum mechanics    | Schroedinger | TDSE                     | particle source                    |
|                      |          |                           | [22, 23, 24, 25, 26, 27]           |
|                      |          |                           |                                    |
|                      |          |                           |                                    |
| **Table 2**          |          |                           | **Comparison of time-dependent equations** |
Figure 1: $\epsilon_{xx}(\omega)$ of four electrons in a three dimensional harmonic oscillator calculated with $32^3$ cubic meshes, $\omega_0 = 0.1$, $\eta = 10^{-4}$; (a) real part, (b) imaginary part.

Figure 2: $\epsilon_{xx}(\omega)$ of silicon crystal consisting of $2^{15}$ Si atoms in a cubic supercell of $16^3$ unit cells. Each unit cell is divided into $8^3$ cubic meshes. The energy resolution is $\eta = 0.05(eV)$. We used the empirical local pseudopotential in reference [14]. (a) real part, (b) imaginary part.

Figure 3: Density of states of 3D harmonic oscillator calculated with $32^3$ cubic meshes, $\omega_0 = 0.1$, and $\eta = 10^{-4}$, and analytical result.

Figure 4: Density of states of silicon crystal consisting of $2^{15}$ Si atoms in $16^3$ unit cells. Each unit cell is divided into $8^3$ cubic meshes. The energy resolution is $\eta = 0.05(eV)$.

Figure 5: A typical structure of two-dimensional photonic crystal cavities used in our calculation

Figure 6: The calculated density of states as a function of frequency and wave number
Re $\varepsilon(\omega)$

(a)

numerical
exact

Fig. 1a T. Iitaka ()
Fig. 1b T. Itaka ()
Re $\varepsilon(\omega)$

$\omega$ (eV)

Fig. 2a T.litaka()
Fig. 2b T. Itaka()
Fig. 3 T. Itaka ()
Fig. 4 T. Iitaka()
Fig. 5 Iitaka et al.
GaAs rod $r = 0.15a$

Without Phase Shift

With Phase Shift $d = 0.35a$

Normalized Frequency $\omega a/2\pi c$

Photonic Bandgap

Resonant Mode

Fig. 6 I itaka et al