Optical properties of amorphous carbon dust around C-stars: new constraints from 2MASS and Gaia observations

Ambra Nanni$^{1,2}$

$^1$ Dipartimento di Fisica e Astronomia Galileo Galilei, Università di Padova, Vicolo dell’Osservatorio 3, I-35122 Padova, Italy
$^2$ Aix Marseille Univ, CNRS, CNES, LAM, Marseille, France

Accepted 2018 November 06. Received 2018 November 04; in original form 2018 September 19

ABSTRACT

In this work the optical properties of amorphous carbon (amC) dust condensed around carbon(C)-stars are constrained by comparing the observations for the Large Magellanic Cloud C-stars from the Two Micron All Sky Survey (2MASS) and from the Gaia data release 2 (DR2) with the synthetic photometry obtained by computing dust growth and radiative transfer in their circumstellar envelopes. The set of optical constants of amC dust considered have been pre-selected according to their ability to reproduce the infrared colour-colour diagrams in the Small Magellanic Cloud. Only two combinations of the optical data set and grain size are able to reproduce the infrared photometry and the Gaia observations simultaneously. The analysis presented provides information about the properties of amC dust grains that might be characterized by a diamond-like structure, rather than a graphite-like one, at least around the most dust-enshrouded C-stars, or be composed of “small” grains of size less than 0.04 µm. The selected data sets will be adopted to compute grids of spectra as a function of the stellar parameters that will be employed to estimate the dust return and mass-loss rates of C-stars by fitting their spectral energy distribution, and to study the resolved stellar populations of nearby objects.

Key words: Magellanic Clouds: galaxies - stars: AGB and post-AGB - stars: carbon - stars: mass-loss - stars: winds, outflows - stars: circumstellar matter

1 INTRODUCTION

In low-metallicity galaxies, such as the Magellanic Clouds (MCs), a large fraction of the thermally pulsing asymptotic giant branch (TP-AGB) stars are carbon(C)-rich. C-stars lose mass at high rates, returning metals and dust into the interstellar medium, and shaping the resolved stellar populations in the infrared bands.

Among the different dust species condensed in circumstellar envelopes (CSEs) of C-stars, amorphous carbon (amC) is normally the most abundant one. Since this dust species is very opaque to the stellar radiation, it largely affects the spectral energy distribution (SED) of C-stars. In order to study such stars, it is therefore necessary to compute the dust radiative transfer through their CSEs. In such a calculation the optical data set and grain size distribution of amC dust need to be chosen a priori. The optical properties of amC dust depend on the internal grain structure (more graphite-like or diamond-like), and several optical data sets are available in the literature. (Hanner 1988; Rouleau & Martin 1991; Zubko et al. 1992; Jager, Mutschke & Henning 1998). The choice of different combinations of the optical data set and grain size produce a sizeable effect in the emerging spectra and colours, especially for the most dust-enshrouded stars (Nanni et al. 2016).

In Nanni et al. (2016) the combinations of optical data sets and grain sizes that simultaneously reproduce the observed colour diagrams (CCDs) of the Small Magellanic Cloud (SMC) in the infrared were selected. The synthetic photometry has been compared with the observations from the Two Micron All Sky Survey (2MASS; Skrutskie et al. 2006) and from the Spitzer Survey of the SMC (S’SMC; Bolatto et al. 2007). The calibration of the optical constants of amC dust is also valid for the Large Magellanic Cloud (LMC), since the trends in the infrared CCDs are similar to the ones in the SMC (Boyer et al. 2011).

Recently, Lebzelter et al. (2018) have developed a new technique to identify C-stars, by using a combination of Wesenheit functions in the near-infrared for the $J$ and $K_s$ bands of 2MASS, and in the optical, for the $G_{BP}$ and $G_{RP}$ bands of the Gaia survey. In the work here presented, the diagram introduced by Lebzelter et al. (2018) is employed as a tool to further constrain the optical properties of amC dust condensed around C-stars, by comparing the observations with the synthetic photometry. Constraining dust optical properties is relevant for interpreting different observations. Indeed, the spectra reprocessed by dust are adopted to simulate resolved stellar populations (Marigo et al. 2008, 2017), and to estimate the mass-loss rates of individual stars, by fitting their SED (Groenewegen et al. 2009; Riebel et al. 2013; Srinivasan et al. 2016; Nanni et al. 2018).
2 METHOD

In the analysis presented, the Wesenheit function employed for the 2MASS filters are taken from Szosynski et al (2009):

\[ W_{Ks,J-Ks} = K_s - 0.686 \times (J - K_s). \]  

For the Gaia data release 2 (DR2) bands the Wesenheit function has been obtained by Lebzelter et al (2018) from the interstellar extinction data provided by Schlegel, Finkbeiner & Davis (1998):

\[ W_{BP,RP} = G_{BP} - 1.3 \times (G_{RP} - G_{BP}). \]

The observed \( K_s \) versus \( W_{BP,RP} - W_{Ks,J-Ks} \) index of C-stars in the LMC introduced by Lebzelter et al. (2018) is compared with the synthetic photometry.

A dust-free stellar isochrone with metallicity of \( Z = 0.006 \), suitable for the C-stars in the LMC, and log(Age/yr) = 8.8 is computed with the code TRILEGAL (Girardi et al. 2005), using the public web interface http://stev.oapd.inaf.it/cgi-bin/cmd

In TRILEGAL the isochrones are computed from the stellar tracks calculated by the PARSEC code from the pre-main sequence up to the first thermal pulse (Bressan et al. 2012), and by the colibri code during the TP-AGB (Marigo et al. 2013). The input and output quantities of the dust growth code are listed in table 1. The input parameters are the stellar quantities, the seed particle abundance, \( \epsilon_C \), which affects the final grain size (Nanni et al. 2018) and the dust optical constants. Consistently with the previous works (Nanni et al. 2013, 2014, 2016, 2018), the seed particle abundance is assumed to be proportional to the excess of carbon atoms with respect to the oxygen ones,

\[ \epsilon_C \propto \epsilon_s \times (C - O), \]

where \( \epsilon_s \) is an adjustable parameter. Scaled-solar abundances for the elements are adopted in these calculations, with the exception of carbon, where it is determined by the number of carbon over the number of oxygen atoms in the stellar atmosphere (C/O). For each model in the isochrone, the photospheric spectrum is interpolated in effective temperature and in C/O between the ones of the comarcs grid (Aringer et al. 2009, 2016). The metallicity of the photospheric spectra is about one third solar which is the value in the grid closest to the one adopted for the isochrone. Be-

sides amC dust, grain growth is also computed for silicon carbide (SiC) and metallic iron. However, these two latter dust species are not expected to have a strong influence on the synthetic photometry considered in this work. Indeed, the amount of SiC and iron dust is relevant only for low values of the C/O ratio (C/O < 1.18). For C/O > 1.18 the dust mass fraction of SiC is up to \( \approx 10\% \), while iron dust is always \( < 1\% \).

The combinations of optical constants for the amC dust and \( \epsilon_s \) values in equation [3] are listed in table 2 together with the size of amC dust grains obtained from the dust growth calculation applied to the isochrone. A complete description of the optical data sets is provided in Nanni et al. (2016). These combinations simultaneously reproduce the main CCDs for C-stars in the SMC in the infrared, and have been adopted to fit their SEDs and estimate their dust-production rates (Nanni et al. 2016, 2018). The optical data sets for SiC and metallic iron are instead taken from Pegourie (1988) and Leksin & Penkina (1967), respectively.

The dust absorption and scattering coefficients are computed assuming spherical grains, by means of the Mie code mpi by Bohren, Huffman & Ken (1983).

Some of the quantities in table [1] are used as input for the radiative transfer calculation through the CSE performed with the code DUSTY (Ivezić & Elitzur 1997). The input quantities for DUSTY are the effective temperature, the photospheric spectrum, the scattering and absorption efficiencies (\( Q_{sc}, Q_{abs} \)), the dust-density profile \( \rho_d(r) \), the optical depth at a given wavelength \( \tau_\lambda \) and the dust temperature at the inner boundary of the shell. All these quantities are consistently computed for the dust mixture and the dust-to-gas ratio calculated by means of the dust growth code. This approach is different from the standard one in the literature, where the dust-to-gas ratio and dust chemical composition are fixed to a single value (Groenewegen 2006; Groenewegen et al. 2007, 2009; Guillierszik et al. 2012; Bover et al. 2012; Srinivasan et al. 2016). Moreover, in this work the dust density profile is not simply inverse-square as assumed in other works (Groenewegen et al. 2007; Srinivasan, Sargent & Meixner 2011), but is computed taking into account the outflow acceleration (see table [1]). In particular, the expansion velocity profile of the outflow is not constant as instead assume in other works (Groenewegen et al. 2007, 2009; Bover et al. 2012; Riebel et al. 2012), but is derived from the calculation of dust growth coupled with the stationary wind (see also table [1]). The emerging spectra reprocesssed by dust obtained from the code DUSTY are normalized to their total luminosities (Ivezić, Nenkova & Elitzur 1999). The spectra are then convoluted with the 2MASS and Gaia DR2 transmission curves (Cohen, Wheaton & Megeath 2003; Evans et al. 2018) in order to obtain the synthetic photometry and the Wesenheit functions. The distance for the LMC is assumed to be \( \approx 50 \) kpc (Ngew & Kanbur 2008).

3 SELECTED SAMPLE OF STARS

The \( J \) and \( K_s \) photometry is taken from the list of TP-AGB candidates provided by Riebel et al. (2012). In this catalogue, stars are classified as C- or oxygen-rich according to their location on the \( K_s \) versus \( J - K_s \) colour–magnitude diagram (Cioni et al. 2006). The most extreme (X-) dust-enshrouded stars are classified by employing the observations in the mid-infrared bands from the Spitzer surveys of the LMC (SAGE; Blum et al. 2006). The majority of the X-stars are probably carbon-rich (van Loon et al. 1997, 2008, 2009; Matsura et al. 2009).

The list of TP-AGB candidates by Riebel et al. (2012) is matched within 1″ with the catalogues of spectroscopically classified sources by Jones et al. (2017) and Groenewegen & Sloan (2018). Matches are found for 246 sources (151 C-stars) in the catalogue by Jones et al. (2017) and for 227 sources (159 C-stars) in Groenewegen & Sloan (2018). The stars spectroscopically identified as C-stars by Groenewegen & Sloan (2018) or, in case this is not available, by Jones et al. (2017), are included in the sample together with the ones classified as C- or X-stars by Riebel et al. (2012) that lack a spectroscopic identification. The stars spectroscopically classified as oxygen-rich are excluded from the sample. In the catalogue by Riebel et al. (2012) 6709 sources are photometrically classified as C-stars and 1352 as X-stars, for a total
number of 8061 stars. Among this sample, 4 and 12 sources identified as C- and X-stars respectively by Riebel et al. (2012) are spectroscopically classified as oxygen-rich according to the criteria employed. On the other hand, 5 sources classified as oxygen-rich by Riebel et al. (2012) are instead C-stars on the basis of the spectroscopic classification. However, these latter stars do not have valid photometry in the J and Ks bands in both the catalogues by Riebel et al. (2012) and Jones et al. (2017). Furthermore, 27 sources spectroscopically classified as carbon-rich stars are not included in the catalogue by Riebel et al. (2012). However, these sources do not have valid 2MASS photometry in the catalogue by Jones et al. (2017) and consequently they are not studied.

The sample selected is composed by 8045 stars, of which 6705 are C-stars and 1340 are X-stars. For 11 X-stars the 2MASS photometry is not listed in the catalogue by Riebel et al. (2012) and the one from Jones et al. (2017) is selected.

The sample obtained is cross-matched within 1″ with the Gaia photometry from DR2 (Gaia Collaboration et al. 2016, 2018; Riello et al. 2018; Evans et al. 2018) by employing the tool available at http://gea.esac.esa.int/archive/ The final sample of stars with valid photometry in both the 2MASS and Gaia bands is composed by 6677 C-stars and 894 X-stars which represent 99.5% and 66.7% of the two classes of stars selected from Riebel et al. (2012) as previously described.

### Table 1. Input and output quantities of the dust growth calculations [Nanni et al. 2013, 2014, 2016, 2018].

| Input | Output |
|-------|--------|
| Mass-loss rate (M) | Dust temperature at the inner boundary of the dust zone |
| Current mass | Grain size, dust-to-gas ratio, dust mass-loss of each dust species, i (a_i, δ_i, M_i = M δ_i) |
| Effective temperature | Total dust-to-gas ratio (∑ δ_i) |
| Stellar luminosity | Scattering, absorption and extinction efficiency for the dust mixture (Q_{gas}, Q_{dis}, Q_{ext}) |
| Elemental abundances at the photosphere | Outflow expansion velocity profile (v_{exp}(r)) |
| Photospheric spectrum | Gas- and dust-density profiles [ρ(r), δ(r)] |
| Seed particle abundance (equation [3]) | Condensation radius (R_c) |
| Set of optical constants for each dust species | Optical depth as a function of the wavelength (τ_d) |

### Table 2. Combination of optical data sets and ε_i values (see equation [3]) selected on the basis of Nanni et al. (2016). The corresponding grain sizes for amC dust (a_{amC}) are the ones obtained by applying the dust growth calculation to the models in the stellar isochrone.

| Optical data set | ρ_{amC} [g cm\(^{-3}\)] | log(ε_i) | a_{amC} [μm] | Denomination |
|-----------------|-----------------|----------|--------------|--------------|
| Rouleau & Martin (1991) | 1.85 | −12 | 0.004−0.08 | R12 |
| Rouleau & Martin (1991) | 1.85 | −13 | 0.004−0.17 | R13 |
| Jager, Mutschke & Henning (1998) (T=4000°C) | 1.435 | −12 | 0.001−0.08 | J400 |
| Jager, Mutschke & Henning (1998) (T=10000°C) | 1.988 | −12 | 0.004−0.07 | J1000 |
| Hanner (1988) | 1.85 | −11 | 0.004−0.04 | H11 |
| Zubko et al. (1996) (ACAR sample) | 1.87 | −12 | 0.004−0.08 | Z12 |

Both the observed stars and simulated photometry are colour-coded according to their J − Ks value.

For the observed C-stars, the W_{RPBP-RP} − W_{Ks-J-Ks} index becomes redder for increasing values of the J − Ks colour. On the other hand, the synthetic models show two distinct behaviours: either they move to the left or to the right part of the plot, as they become more dust-enshrouded. Only the isochrones derived from the H11 and J1000 optical data sets move to the right, in agreement with the observed trends. The J − Ks colour of the model highlighted in the plot is between 2.3 and 3.3 mag, depending on the selected optical data set. For the H11 and the J1000 such a model is located around W_{RPBP-RP} − W_{Ks-J-Ks} ∼ 3 mag and W_{RPBP-RP} − W_{Ks-J-Ks} ∼ 4 mag, respectively. For the H11 and the J1000 optical data sets, the J − Ks colour is in fairly good agreement with the ones of the observed stars that are placed in the same area of the diagram.

For R13, R12 and Z12 the simulated star is located around W_{RPBP-RP} − W_{Ks-J-Ks} ∼ 2 mag, while for J400, W_{RPBP-RP} − W_{Ks-J-Ks} ∼ 1 mag. The model computed for the Z12 and for the J400 data sets is located in a region of the plot corresponding to mildly dust-enshrouded stars, and its J − Ks colour is not in good agreement (too red) with the ones observed in the same area of the diagram. On the other hand, the J − Ks colours computed with the R12 and the R13 data sets, are only slightly redder than the ones observed in the same area of the diagram, but the isochrone never becomes red in W_{RPBP-RP} − W_{Ks-J-Ks}, as it is instead observed.

In order to understand why different combinations of optical constants and grain sizes behave differently, the W_{RPBP-RP} index has been decomposed in three components: −1.3 × (G_{BP} − G_{RP}), +0.686 × (J − Ks) and G_{RP} − Ks, as done in Lebzelter et al. (2018). The results are shown in figure 2 for all the selected optical constants. The same dust-enshrouded star as in figure 1 is shown in the plot. The model highlighted lies in the observed sequences given by Ks versus +0.686 × (J − Ks) and by Ks versus G_{RP} − Ks for all the optical constants. The values of 

4 RESULTS

In figure 1 the Ks against the W_{RPBP-RP} − W_{Ks-J-Ks} index is shown for the C-stars in the LMC. The synthetic photometry in the isochrone computed for the six optical data sets in table 2 is overlaid onto the observations. A selected model with mass-loss rate of ~ 4.6 × 10^{-6} M_☉ yr^{-1} and C/O ∼ 4.9 is also highlighted with a star-like symbol.
The behaviour of the different components of the Wesenheit function for the model selected in the isochrone reflects the differences in the spectra computed with different optical data sets, as shown in figure 3. The emerging spectra obtained for the model highlighted in figures 1 and 2 by employing the different optical data sets are plotted in the upper panel of figure 3. The response curves for the 2MASS and Gaia filters used in this work are also shown. In the lower panel of the same figure the corresponding $\tau_j$ are plotted. The shape of $\tau_j$ is determined by the absorption and scattering efficiencies and by the grain size, while the dust-density profile sets its absolute value (see the corresponding equation in table 1). The quantity $\tau_j$ mainly determines the emerging spectra reprocessed by dust. As an example, the spectra computed for the J400 and for the H11 data sets, for which the model in the isochrone shows opposite trends in figure 4 are discussed. The ratio between the fluxes in the $G_B$ and $G_R$ bands is lower for the J400 data set, and therefore its $-1.3 \times (G_R - G_B)$ colour is bluer than the one obtained with the H11. On the other hand, the flux in the $K_s$ band is approximately the same for the two data sets, but it is larger in the $J$ and in the $G_B$ bands for the J400. As a consequence, the J400 model is slightly bluer than the H11 one in the $+0.686 \times (J-K_s)$ and $G_B-K_s$ colours in figure 4. With similar arguments is possible to explain the synthetic colours of the model shown in figure 4 for all the optical constants employed in the calculations presented here.

By analysing the two sets of optical constants that satisfactorily reproduce the observations, the J1000 and the H11, it is possible to derive relevant information about the amC dust properties.

- **J1000**, Jager, Mutschke & Henning (1998) have measured the optical constants of different amC substrates, obtained by pyrolyzing cellulose material at different temperatures. The J1000 and the J400 optical data sets have been obtained from the measurements of the optical constants of material pyrolyzed at 1000°C and at 400°C, respectively. The substrates obtained are characterized by different internal structures: more diamond-like or graphite-like, according to their pyrolyzation temperatures. In particular, the material becomes more diamond-like for increasing pyrolyzation temperatures. The J1000 data set with grain sizes smaller than 0.07 μm is in agreement with the infrared observations, and produces good results also in the $K_s$ versus $W_{RBP-RP} - W_{K_s,J-Ks}$ plane. This outcome might indicate that amC dust grains formed in CSEs of dust-enshrouded C-stars are characterized predominantly by a diamond-like structure. This result is in agreement with the findings by Nanni et al. (2016), in which a better agreement with the infrared observations of the most dust-enshrouded C-stars was obtained by employing the J1000 data set rather than the J400 one.

- **H11**. The H11 optical data set from Hanner (1988) is based on laboratory measurements of the optical constants of glassy amC by Eder (1983). For the H11 data set the grain sizes, $\lesssim 0.04 \, \mu m$, are the smallest ones obtained from the analysis by Nanni et al. (2016). This result might indicate that the typical grain size of amC dust grains around C-stars can be at least 2.5 times smaller than the value usually adopted in radiative transfer calculations, which is 0.1 μm (Groenewegen et al. 2009; Srinivasan, Sargent & Meixner 2011).

Some degeneracy in these results related to the grain size and/or internal structure of amC dust might be possible when different grain configurations are considered, i.e. hollow spheres or core-mantle grains. However, this analysis is beyond the scope of this work and can be the subject of future investigations.

## 5 CONCLUSIONS

The analysis presented in this work allows to better constrain on the optical properties of amC dust, by employing the observations in the optical bands from Gaia DR2. The optical data sets considered in this work are the ones able to reproduce the observations in the infrared bands (Nanni et al. 2016). In the current investigation, the 2MASS and Gaia photometry are combined to provide in a specific diagram, $K_s$ versus $W_{RBP-RP} - W_{K_s,J-K_s}$, introduced by Lebzelter et al. (2018), that is compared with the synthetic photometry calculated for a specific isochrone. The theoretical isochrones show two different behaviours in $K_s$ versus $W_{RBP-RP} - W_{K_s,J-K_s}$ plane: either they move to the right or to the left part of the diagram when they become more dust-enshrouded. Since the observed C-stars become redder in the $W_{RBP-RP} - W_{K_s,J-K_s}$ index as they become more dust-enshrouded, only the models that move to the right part of the diagram are in agreement the observations.

Two of the data sets among those well fit the CDDs in the infrared are also able to reproduce the observed trends in the $K_s$ versus $W_{RBP-RP} - W_{K_s,J-K_s}$ diagram:

- The J1000 optical data set by Jager, Mutschke & Henning (1998) with grains of size $\lesssim 0.07 \, \mu m$. This result suggests that amC dust condensed around dust-enshrouded C-stars might be characterized by a diamond-like structure, in agreement with the analysis by Nanni et al. (2016). The J1000 data set with grain sizes smaller than 0.07 μm is in agreement with the infrared observations, and produces good results also in the $K_s$ versus $W_{RBP-RP} - W_{K_s,J-K_s}$ plane. This outcome might indicate that amC dust grains formed in CSEs of dust-enshrouded C-stars are characterized predominantly by a diamond-like structure. This result is in agreement with the findings by Nanni et al. (2016), in which a better agreement with the infrared observations of the most dust-enshrouded C-stars was obtained by employing the J1000 data set rather than the J400 one.

- The H11 data set by Hanner (1988) with grains of size $\lesssim 0.04 \, \mu m$. The maximum grain size achieved by these models is at least 2.5 times smaller than the value usually assumed in the literature, which is 0.1 μm (Groenewegen et al. 2009; Srinivasan, Sargent & Meixner 2011).

The two aforementioned optical data sets will be employed to calculate large grids of spectra for C-stars as a function of the stellar parameters that will be adopted to fit the SED of the C-stars in the MCs. This will allow to estimate their dust-production rate as well as their mass-loss rates, as already done in Nanni et al. (2018). Restricting the analysis to two optical data sets will allow to provide a better constraint on the total dust-production rate of C-stars in the MCs (Nanni et al. 2018).

Since the set of optical constants selected affects the wind dynamics, the calibration presented here will allow to interpret the wind speeds derived for C-stars from CO line measurements both in the Milky Way (Ramstedt & Olofsson 2014; Danilovich et al. 2015), after testing the method at solar metallicity, and in the LMC, for which the wind speed measurements have been performed with the Atacama Large Millimeter Array (Groenewegen et al. 2016). The grids of spectra can also be employed to simulate resolved stellar populations in order to interpret the most recent observations from Gaia DR2.
Constraining amC dust with 2MASS and Gaia

Figure 1. $K_s$ from 2MASS as a function of the $W_{\text{BP-BP}} - W_{\text{J-K_s}}$ index (small dots). The synthetic photometry computed for a selected isochrone by employing the different optical constants listed in table 2 is overlaid onto the observations (solid-black line). The same model in the isochrone is indicated with a star-like symbol in the different panels. The observations and the selected model are colour-coded according to their $J-K_s$ value. The vertical dashed line indicates the separation between C- and X-stars according to Lebzelter et al. (2018).

Acknowledgements

This work was supported by the ERC Consolidator Grant funding scheme (project STARKEY, G.A. n. 615604) and by the Centre National d’Études Spatiales (CNES).

I thank all the members of the STARKEY group and Professor Alessandro Bressan for the fruitful discussions. I thank the anonymous referee for carefully reading the manuscript and for the useful suggestions that improved this work.

This work has made use of data from the European Space Agency (ESA) mission Gaia (https://www.cosmos.esa.int/gaia), processed by the Gaia Data Processing and Analysis Consortium (DPAC, https://www.cosmos.esa.int/web/gaia/dpac/consortium). Funding for the DPAC has been provided by national institutions, in particular the institutions participating in the Gaia Multilateral Agreement.

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Figure 2. $K_s$ from 2MASS as a function of the $W_{BP-RP}-W_{K_s,J-K_s}$ index (small dots) decomposed in three components: $-1.3 \times (G_{BP}-G_{RP})$, left sequence in magenta, $+0.686 \times (J-K_s)$, central sequence in orange and $G_{RP}-K_s$, right sequence in red. The same isochrone and the same model of figure 1 computed by employing the optical data sets in table 2 are plotted with a solid-black line and a star-like symbol, respectively.

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Figure 3. Upper panel: spectra of the same model in the isochrone indicated with a star-like symbol in figures 1 and 2 computed by employing the different optical data sets. Different colours and styles in the legend indicate the set of optical constants adopted for each calculation. The transmission curves divided by one thousand for the Gaia DR2 and 2MASS filters used in this work are also shown. Lower panel: optical depth as a function of the wavelength (the same colour code is adopted).

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