Molecular ordering of glycine on Cu(100): the p(2 × 4) superstructure

Zhi-Xin Hu, Wei Ji, and Hong Guo

1Department of Physics, Renmin University of China, Beijing 100872, China
2Centre for the Physics of Materials and Department of Physics, McGill University, Montreal, Quebec, Canada H3A 2T8

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Glycine molecules deposited on Cu(100) surface give rise to an anisotropic free-electron-like (FEL) electronic dispersion in its p(2×4) superstructure, as reported in recent experiments [Phys. Rev. Lett. 99, 216102 (2007); J. Am. Chem. Soc. 129, 740 (2007)]. Using density functional theory and exhaustively calculating sixteen possible structures, we have determined the molecular arrangement that can give the experimentally observed FEL behavior. Eight configurations, among the sixteen, were not investigated before in the literature and one of them (denoted Str-3) is able to provide the FEL behavior in excellent agreement with the experiments. In addition, the particular configuration Str-3 satisfies other criteria of the observed p(2×4) superstructure, e.g. chirality and cleavable orientation.

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I. INTRODUCTION

It was demonstrated that nearly free electron surface states in low-index surfaces of noble metal, e.g. Cu, Ag, and Au, can be tuned by surface adsorbates, step edges, artificial nanostructure, confinement along certain dimension, and among the others. Molecules, due to its unique capability of building various nanostructures, e.g. nano-gratings, and nano-mesh, were employed to manipulate the surface electronic states of metals. Recently, free-electron-like (FEL) behavior was discovered on the molecular nanostructures supported by metal surfaces, rather than on the metal surfaces themselves, which has attracted great interest in both physics and chemistry communities. The FEL states appear to show different electron effective masses depending on the material detail, demonstrating that surface electronic structure can be engineered to produce desired carrier mobility in molecular systems. As an example, a FEL behavior was observed in perylene-3,4,9,10-tetracarboxylic-3,4,9,10-dianhydride (PTCDA) molecular islands on Ag(111), which was explained as a confined 2D interface state hybridized by the lowest unoccupied molecular state of PTCDA and the surface state of Ag underneath.

More recently, an anisotropic FEL behavior in a p(2×4) superstructure of glycine molecules (in the form of glycinate) on isotropic Cu(100) surface was reported. This suggests a potential ability to modulate electronic structure and especially the electron effective mass of molecular monolayers or molecule-metal interfaces by using organic molecules. A key question concerning this interesting molecular surface is why electrons move with different speeds in two different directions. In other words, why an isotropic Cu(100) surface produces an anisotropic FEL behavior after glycine molecules are deposited. Clearly, the geometric structure of the molecular system plays an essential role in generating such an anisotropic FEL behavior. However, so far the issue of geometric structure has not been adequately addressed in the literature. It is the purpose of this paper to report a systematic investigation which reveals the proper molecular configuration that supports the anisotropic FEL dispersion.

About a decade ago, scanning tunneling microscopy (STM) was employed to investigate glycine/Cu(100) by Yang & Zhao’s group. Two superstructures were found and their atomic structures were proposed on the basis of the STM images and an understanding of hydrogen bonds. One is a c(2×4) homochiral superstructure (denoted Str-g), as shown in Fig. 1(a). The edges of its molecular islands on the surface are along the < 310 > direction, as schematically indicated by the red solid line. Another superstructure is a p(2×4) heterochiral superstructure (denoted Str-h, see Fig. 1(b)), in which the edges of its molecular islands are along the < 100 > direction. Spots in the associated low energy electron diffraction (LEED) study indicate that these two phases co-exist. Profiting from well developed fabrication techniques, the recently observed STM images clearly show that the preferred edge orientation, i.e. cleavable orientation, of p(2×4) molecular islands is also along < 310 >. (Here we define the “< 310 > rule” as that the cleavable orientation of molecular islands is along < 310 >.) The STM result indicates that the p(2×4) structure proposed by Yang & Zhao’s group, in which the molecular islands cannot be cleaved in the < 310 > direction, appears to be inconsistent with the recent observation.

Photoemission diffraction (PhD) technique provides vertical atomic information rather than local density of states as provided by STM. In a PhD experiment, a joint European group proposed another p(2×4) heterochiral superstructure, i.e. the (2×4)-pg (denoted Str-h) shown in Fig. 1(c). It was found that this structure, as a predominant structure, may co-exist with the c(2×4).

Reference also indicates that the (2×4)-pg structure satisfies the < 310 > rule, which thus appears to be con-
FIG. 1. (Color online) Four molecular configurations. (a) to (c) are the configurations previously proposed and investigated, i.e. c(2x4)(Str-g in this work, (a)), p(2x4) (Str-d, (b)), and p(2x4)-pg (Str-h, (c)). (d) is a new configuration proposed in this work, in which the in-plane molecular orientation is roughly perpendicular to that in (a) to (c). The red solid line in (a) denotes [3\(\bar{3}\)], a direction included in < 3\(\bar{3}\) > direction.

sistent with the recent STM observation\[13\].

Attempting to clarify the situation, Mae and Morikawa\[25\] performed a density functional theory (DFT) calculation on the three structures proposed by the two experimental groups\[21,24\]. It was found that the total energy of the proposed c(2x4) (Str-g) and p(2x4) (Str-d) structures are energetically very close to each other, while that of (2x4)-pg (Str-h) is significantly higher. Structure (2x4)-pg (Str-h) was thus deemed to be unlikely. In other words, the DFT calculation reported in Ref.\[25\] poses a challenge to the community that none of the proposed p(2x4) structures could satisfy all previous experimental\[12,13,21–24\] and theoretical\[25\] results.

It was recently reported that the electronic structure of p(2 x 4) shows an anisotropic FEL behavior as measured by scanning tunneling spectroscopy (STS), which provides a new avenue for solving the above discussed discrepancy. Particularly, the measured electronic structure, i.e. the electron effective mass (\(m^*\)) and energy levels at certain k-points, can be adopted as criteria to assess all the proposed molecular configurations, finding or verifying the configuration of the observed p(2 x 4) superstructure.

Based on this idea, we therefore relaxed the atomic structure and systematically calculated the total energy of 16 configurations including eight newly proposed ones (see Fig. 2). For those fully relaxed configurations that show particularly strong stability, we calculated their electronic structures. The calculated band structure of configuration Str-3, proposed in this work (atomic structure shown in Fig. 1(d)), is impressively consistent with the STS results in both surface directions. It is remarkable that the geometry of Str-3 is highly consistent with both the < 3\(\bar{3}\) > rule and the observed chirality. Configuration Str-3 is also preferred in the theory-experiment comparison of STM images. All these consistencies with experimental observations that other configurations cannot offer, strongly suggest that Str-3 is most likely the configuration describing the experimentally observed hetero-chiral p(2x4) superstructure in the recent STM and STS measurements.

FIG. 2. (Color online) According to O-O orientation, O-N direction, the relative position of equivalent atoms, and the chirality, there are 2\(4^4\) = 16 configurations that should be considered, including eight previously considered configurations (Str-a to Str-h) and eight newly proposed configurations (Str-1 to Str-8).

The rest of the paper is organized as follows. In Section II, we present computational details including the molecular configurations being considered and investigated. Section III presents results and discussion. The last section provides further discussion and a summary.

II. COMPUTATIONAL DETAILS

As discussed in Section I, the main issue is concerning the p(2 x 4) superstructure. We thus only focus on this structure in the rest of the paper. In particular, the atomic structure of c(2x4) can be easily derived once the structure of p(2 x 4) is determined. It was reported that the carboxylic acid group (COOH) in glycine molecules is dissociated on Cu(100), giving glycinate molecules that will be discussed in this paper. Notice that the line jointing the two O atoms in a glycine (the dashed line in Fig. 1(a)) is roughly parallel to the [110] direction (the shorter edge of a (2x4) lattice, solid rectangle, Fig. 1(a)) in all previously proposed and studied configurations. Never-
TABLE I. Calculated total energy and summarized information (Chirality, cleavable orientation, and the type of lattice) of all possible configurations.

| Energy (eV) | Chirality | Orientation | X(2 × 4) |
|------------|-----------|-------------|---------|
| 1.03       | Y         | Y           | p       |
| 0.60       | Y         | Y           | p       |
| 0.32       | N         | N           | p       |
| 0.43       | Y         | Y           | p       |
| 0.55       | N         | Y           | p       |
| 0.83       | Y         | Y           | c       |
| 0.40       | N         | N           | p       |
| 0.22       | Y         | N           | p       |
| 0.80       | 0.40      | Y           | p       |
| 0.23       | 0.00      | 0.98        | p       |
| 0.04       | 0.29      | 0.70        | p       |
| 0.40       | 0.23      | 0.80        | p       |
| 0.22       | 0.00      | 0.98        | p       |
| 0.70       | 0.40      | 0.23        | 0.80    |
| 0.40       | 0.23      | 0.80        | 0.40    |
| 0.22       | 0.00      | 0.98        | 0.70    |
| 0.70       | 0.40      | 0.23        | 0.80    |
| 0.40       | 0.23      | 0.80        | 0.40    |
| 0.22       | 0.00      | 0.98        | 0.70    |
| 0.70       | 0.40      | 0.23        | 0.80    |
| 0.40       | 0.23      | 0.80        | 0.40    |
| 0.22       | 0.00      | 0.98        | 0.70    |
| 0.70       | 0.40      | 0.23        | 0.80    |
| 0.40       | 0.23      | 0.80        | 0.40    |
| 0.22       | 0.00      | 0.98        | 0.70    |
| 0.70       | 0.40      | 0.23        | 0.80    |
| 0.40       | 0.23      | 0.80        | 0.40    |
| 0.22       | 0.00      | 0.98        | 0.70    |
| 0.70       | 0.40      | 0.23        | 0.80    |
| 0.40       | 0.23      | 0.80        | 0.40    |

TABLE II. Calculated total energy and summarized information (Chirality, cleavable orientation, and the type of lattice) of all possible configurations.

III. RESULTS AND DISCUSSION

A. Molecular configurations

Table I shows the calculated relative total energies of all configurations with respect to the lowest energy which is the total energy of Str-d. Configurations Str-1 to Str-8 were not considered before in the literature. Letter “Y” (“N”) in row “Chirality” indicates that the corresponding configuration is (not) chiral. The next row shows whether or not the configuration can be perfectly cleaved along < 3T0 > direction (the < 3T0 > rule). Here, term “perfect cleaving” of a configuration along a certain direction means that a Cu mono-atomic step along such direction can be and only be covered by glycine molecules in the molecular arrangement of that configuration. Row “X(2 × 4)” shows the type of lattice of all configurations, in which Str-4 and Str-g have a c(2 × 4) lattice, the lattice of Str-8 and Str-c degrades into a (2 × 2) one, and the others is p(2 × 4).

It was found that the relative total energies of Str-8 and Str-c are well consistent, which implies a good convergence of relative energies between different molecular orientations to less than 0.01 eV in our calculation. Since Str-8 and Str-c degrade into a (2 × 2) structure and are not observable in all experiments, they are thus excluded from further discussion. From a purely energetic point of view, Str-d and Str-g are the two suggested configurations which were also preferred in the literature. The energy difference between them is 0.04 eV, consistent with the previous DFT calculation.

Purely energetic aspect aside, there are two additional factors which may influence the determination of the observed configuration, i.e. the chirality and the cleavable orientation. The energy cut-off for the plane waves is set to 400 eV. In structural relaxation, all atoms except for the bottom three layers were fully relaxed until the net force on every atom is less than 0.02 eV/Å.
TABLE II. Structural properties obtained from the DFT calculation in comparison to experimental data. \( Z_{N\langle O\rangle} \) stands for the difference in z direction between N (O) and the first layer Cu underneath; while \( Z_{12\langle N\langle O\rangle\rangle} \) means that between first and second layer Cu atoms under N(O). \( d_{Cu-N\langle O\rangle} \) shows the bond length of Cu and N(O). \( \theta_{N\langle O\rangle} \) is the angle between the vector perpendicular to the surface and the one formed by Cu and N(O). There are two O atoms in each molecule, so that two values are listed in the table.

|       | Str-d | Str-h | Str-3 | Exp   |
|-------|-------|-------|-------|-------|
| \( Z_{N1} \) (Å) | 2.07  | 2.08  | 2.07  | 2.04±0.02 |
| \( d_{Cu-N} \) (Å) | 2.10  | 2.08  | 2.09  | 2.05±0.02 |
| \( Z_{12} \) (N) (Å) | 1.89  | 1.89  | 1.90  | 1.80±0.09  |
| \( \theta_{N} \) (°) | 8.8   | 4.6   | 9.7   | 5±4 |
| \( Z_{O1} \) (Å) | 2.06 (2.06) | 2.05 (2.09) | 2.03 (2.13) | 2.02±0.02 |
| \( d_{Cu-O} \) (Å) | 2.08 (2.18) | 2.10 (2.20) | 2.06 (2.23) | 2.05±0.02 |
| \( Z_{12} \) (O) (Å) | 1.81 (1.81) | 1.81 (1.83) | 1.82 (1.83) | 1.79±0.06 |
| \( \theta_{O} \) (°) | 7.2 (18.1) | 12.3 (17.9) | 10.9 (16.1) | 9±2 |

B. Atomic structures

Structural properties that the associated experimental data are available for configurations Str-d, Str-h, and Str-3, are summarized in Tab. II in which the values for Str-d are the same as the theoretical result in Ref. 29. Theoretical values of each configuration are consistent with the corresponding values measured by photo-diffraction 24, hence it is difficult to assign the preferred configuration according to such experiment. The table shows that the differences in distances and angles between these three configurations at the single molecular level are rather small, implying that the energetic difference is likely not primarily due to the tiny structural.

The intermolecular interaction, i.e. hydrogen bonding, is therefore involved in discussion. Each configuration contains four categories of hydrogen bonds including three intermolecular bonds and one intramolecular bond, as marked in Fig. 1(b). The shortest bond length in Str-d is 2.00 Å which almost approaches the shorter limit of a typical hydrogen bond, indicating a stronger strength of this bond. Although the difference between the shortest and longest bonds in Str-d is somewhat large, i.e. 0.68 Å, all four bonds can be reasonably considered as actual hydrogen bonds. In difference from Str-d, the bond lengths in Str-h are distributed in a fairly wide range, from 1.70 Å to 3.64 Å. It therefore leads to a higher total energy of Str-h compared with Str-d due to the absence of a bond, although the interaction strength of the 1.70 Å and 1.91 Å bonds are rather strong. In terms of Str-3, its bond lengths are very close to each other, in the range from 2.12 Å to 2.58 Å. The quadrangle formed by these four bonds is rather similar to a square, indicating a good balance of hydrogen bonding strength in both directions. It is still inconclusive which configuration is the one that was observed in the experiments showing an anisotropic FEL behavior, after atomistic structures of those configurations were considered. It calls for a further investigation to distinguish these configurations.

C. Band Structures

We have calculated the band structures of configurations Str-3, Str-d, and Str-h along the two directions from point G (Γ) to X and Y, respectively, as shown in Fig. 3. The reciprocal lattice vectors of the p(2 \( \times \) 4) supercell is shown in the inset of Fig. 3 (left panel). The k-vector \( G^X \) corresponds to the [110] direction in real space (in blue for all panels) and \( G^Y \) represents the [\( \overline{1} \)0] direction in real space (in red for all panels). Both colors are con-
sistent with experimental plots, i.e. red for the \([110]\) and blue for the \([110]\) direction. In order to compare with the experimental measurement\[22\], the band-structure figures only show the dispersion relations from \(\Gamma\) to the points away from \(\Gamma\) by \(0.2 \rightarrow GX\) and \(0.4 \rightarrow GY\), respectively (roughly 0.12 Å\(^{-1}\) in length). Red and blue shaded areas in Fig. 3 present the experimentally measured curves with a broadening of 0.04 eV. In the experiment\[12\], a FEL state in the \([110]\) direction (in red, \(\rightarrow GY\) in \(k\)-space), with an electron effective mass \(m_e^* \sim 0.6\), was observed around 0.11 eV above the \(E_{\text{Fermi}}\) at \(\Gamma\). Another FEL state, starting from the same energy at the \(\Gamma\), with an \(m_e^* \sim 0.06\), was detectable only in the \([110]\) direction (\(GX\) in \(k\)-space, in blue).

The calculated band-structure of every configuration shows two perfect parabolic bands starting from roughly -0.05 eV at the \(\Gamma\), which are observable in both directions (both in red and blue). These two bands are assigned as surface states of the bare Cu surface\[31\] at the bottom of the slab. It differs within 0.01 eV for the calculated values among the three molecular configurations, indicating again that the energy resolution of our calculation is better than 0.01 eV.

According to the band-structure of Str-d (left panel of Fig. 3), except the state located at -0.05 eV, only one band in red shows a FEL dispersion relation with \(m_e^* \sim 0.6\), which resides 0.03 eV above the \(E_{\text{Fermi}}\). Another band, with a \(m_e^* \sim 0.06\), has almost the same eigenvalue at the \(\Gamma\) and reaches 0.35 eV at roughly 0.075 Å\(^{-1}\), although there is a band-crossing induced gap opening from 0.05 eV to 0.13 eV (actual gap from 0.09 eV to 0.11 eV). Even if the gap opening is not a problem for STM-detection, the energy of these two bands at the \(\Gamma\) point, in between 0.03 eV and 0.04 eV, still cannot offer a comparable result with the experimental observation. We therefore can rule out configuration Str-d as that observed experimentally.

The result of Str-h, as shown in Fig. 3 (middle panel), is even further away from the experimental result than that of Str-d. Two bands hybridized by the Cu surface states and the molecular states of glycine are located at 0.06 eV above the \(E_{\text{Fermi}}\). Apparently, it shows an anisotropic FEL behavior with a very small gap opening in the direction shown in blue. However, the effective masses of these two bands, i.e. smaller (larger) mass for the red (blue) band, are opposite to the experiment (smaller mass for the blue). We therefore have to exclude Str-h as a likely candidate for the \(p(2 \times 4)\) superstructure measured by STS.

Finally, the right panel of Fig. 3 shows the calculated band structure of Str-3. It was found that two bands sitting around 0.13 eV at the \(\Gamma\) point are capable of showing the anisotropic FEL behavior. Both bands show an impressive consistency with the experimental measurements, though slight gap openings appear in the blue curves, i.e. at around 0.02 Å\(^{-1}\) (wave number) / 0.17 eV (energy) for the blue band (smaller \(m_e^*\)). In particular, the calculated eigenvalues at different \(k\) points of both bands match the measured data fairly well in both directions, notwithstanding the theoretical band bottoms are 0.02 eV higher than the experimental value. The theory-experiment agreement is quite impressive because the small difference, i.e. 0.02 eV, almost reaches the energy resolution of STS measurements and DFT calculations.

The electron effective mass of the two bands in the two directions were fitted for configuration Str-3. In the red direction ([\(110]\]), the fitted \(m_e^*\) is 0.54, which is 0.07
FIG. 4. (Color online) Experimental STM image of the p(2 × 4) superstructure (Fig. 2(e) in Ref. 13) (left) and simulated STM images for configurations Str-3 (middle left), Str-d (middle right) and Str-h (right), respectively. The sample bias in the simulation is -100 mV, the same as that in the experiment.

smaller than the measured value$^{12}$ - if all energy points in the band are used in the fitting. In the experimental paper$^{12}$, however, the $k$ value of the first data point is around 0.04 Å$^{-1}$. Therefore, we re-fit the theoretical data by removing energy points for $k$ in between 0.00 and 0.04 Å$^{-1}$ and the obtained $m^*_e$ is 0.60, which is only 0.01 smaller than the measured value of 0.61$^{12}$. In another direction, the fitted $m^*_e$ is 0.07, which only differs by 0.01 from the experimental value of 0.06$^{12}$. Notice that the fitted effective mass is somewhat sensitive to the points near the $\Gamma$ point which were not given in the experimental papers$^{12,13}$. Our results strongly suggest that configuration Str-3 is the one showing the STS measured anisotropic FEL behavior.

D. STM simulation

The simulated STM images of configurations Str-3, Str-d, Str-h were compared with an available experiment. Figure 4 shows an experimental STM image of the p(2 × 4) superstructure, together with the simulated STM images for Str-3, Str-d and Str-h, respectively. The simulation was performed using the Tersoff-Hamann approximation. According to the experimental conditions, e.g. -100 mV sample bias$^{13}$, the sample charge density was integrated from the states that their energy is in the range from -100 meV to the Fermi level (0meV). An isosurface of charge density at 0.002e/Å$^3$ is plotted in each panel.

Each simulated image contains several bright spots that are nearly centered around the upward H atoms connected with C atoms, as indicated by the atomic structure overlaid on the image. The image of Str-h shows a hexagonal structure, similar to the corresponding result reported in Ref. 25 but which is completely different from that of the experiment. In terms of the position of the bright spots solely, the image of Str-d appears similar to that of Str-3. Both of them more or less reproduce the experimental observation and can hardly be distinguished. Notice that the experimental image also presents a ribbon-like feature, which is offered by Str-3 but not by Str-d. Although the STM image may be distorted by the subtle interaction between the tip and the upward H atom, the consistency of the ribbon-like feature between the experiment and the simulation of Str-3 suggests again that Str-3 is the most likely candidate for the p(2 × 4) superstructure observed in Ref. 13.

IV. FURTHER DISCUSSION AND CONCLUSION

There is an interesting issue that the STM/STS experiments are consistent with that of Str-3 but not with that of Str-d, although Str-d is energetically more favored than Str-3. Kinetic effect could most likely be responsible for the stabilization of configuration Str-3 against Str-d, according to a preliminary DFT calculation$^{30}$. As a result, when the growth/evaporation rate is low, e.g. as that in molecular beam epitaxy, kinetic effect dominates. Therefore, Str-3 is kinetically favored, resulting in the formation of molecular islands in the Str-3 configuration.

Notice that the atomic arrangement of N and O of Str-3 is the same as that of Str-h. It means Str-3 also satisfies the PhD experimental observations$^{24}$. Our analysis presented above suggests that the experimental preparation conditions in Refs. 12, 13, and 24 should be similar, but they may substantially differ from that of Refs. 21–23, thereby leading to two observed p(2×4) superstructures, i.e. the kinetically favored Str-3 and the energetically favored Str-d.

In summary, according to various experimental and theoretical results, the long-standing discrepancy regarding the exact p(2×4) structure of glycine on Cu(100) has been elucidated. The exact configuration of the STS measured p(2×4) superstructure is suggested Str-3, a new configuration not considered before, in which the O-O line in a glycine is oriented roughly parallel to the longer edge of the p(2×4) supercell. This configuration has chirality, satisfies the $<3\pi>10$ rule, and also shows a relative good thermal stability. Its calculated band structure shows impressive agreements with the measured data, while other configurations cannot offer such agreements. Given the revealed configurations, interesting electronic behavior of this system can be fur-
ther investigated. Finally, the Str-d configuration proposed in Ref. [21] and verified by DFT[25] is expected to be observable after an annealing treatment applied to a low-rate grown sample where Str-3 is predominant.

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[1] wji@ruc.edu.cn
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