Active Learning Based Corpus Annotation

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Abstract

Opinion Mining aims to automatically acquire useful opinioned information and knowledge in subjective texts. Research of Chinese Opinioned Mining requires the support of annotated corpus for Chinese opinioned-subjective texts. To facilitate the work of corpus annotators, this paper implements an active learning based annotation tool for Chinese opinioned elements which can identify topic, sentiment, and opinion holder in a sentence automatically.

1 Introduction

Opinion Mining is a novel and important research topic, aiming to automatically acquire useful opinioned information and knowledge in subjective texts (Liu et al, 2008). This technique has wide and many real world applications, such as e-commerce, business intelligence, information monitoring, public opinion poll, e-learning, newspaper and publication compilation, and business management. For instance, a typical opinion mining system produces statistical results from online product reviews, which can be used by potential customers when deciding which model to choose, by manufacturers to find out the possible areas of improvement, and by dealers for sales plan evaluation (Yao et al, 2008).

According to Kim and Hovy (2004), an opinion is composed of four parts, namely, topic, holder, sentiment, and claim, in which the holder expresses the claim including positive or negative sentiment towards the topic. For example, in the sentence I like this car, I is the holder, like is the positive sentiment, car is the topic, and the whole sentence is the claim.

Research on Chinese opinion mining technology requires the support of annotated corpus for Chinese opinioned-subjective text. Since the corpus includes deep level information related to word segmentation, part-of-speech, syntax, semantics, opinioned elements, and some other information, the finished annotation is very complicated. Hence, it is necessary to develop an automatic tool to facilitate the work of annotators so that the efficiency and accuracy of annotation can be improved.

When developing the automatic annotation tool, we find it is most difficult for the tool to annotate opinioned elements automatically. Because unlike other elements such as part-of-speech, and dependency relationship that needed to be annotated in the corpus, there is no available tool that can identify opinioned elements automatically. Special classifiers should be constructed to solve this problem.

In traditional supervised learning tasks, training process consumes all the available annotated training instances, so a classifier with high classification accuracy might be constructed. When training a classifier for opinioned elements, it is very expensive and time-consuming to get annotated instances. On the other hand, unannotated instances are abundant in this case, because all the texts in the corpus can be regarded as unannotated instances before being annotated. This scenario is very appropriate for active learning application. An active learning algorithm picks up the instances which will improve the performance of the classifier to the largest extent into the training set, and often produce classifier with higher accuracy using less training instances.

Active learning algorithm is featured with smaller training set size, less influence from unbalanced training data and better classification performance comparing to classical learning algorithm. This paper experimentally demonstrates the validity of active learning algorithm when used for opinioned elements identification and proposes a computational method for overall system performance evaluation which consists of F-measure, training time, and number of training instances.
2 Related Work

Common active learning algorithms can be divided into two classes, membership query and selective sampling (Dagan and Engelson, 1995). For membership query, algorithm constructs learning instances by itself according to the knowledge learnt, and submits the instances for human processing (Anglin, 1988) (Sammut and Banerji, 1986) (Shapiro, 1982). Although this method has proved high learning efficiency (Dagan and Engelson, 1995), it can be applied in fewer scenarios. Since constructing meaningful training instance without the knowledge of target concept is rather difficult. As to selective sampling, algorithm picks up training instances which can improve the performance of the classifier to the largest extent from a large variety of available instances. Algorithm in this class can be further divided into stream-based algorithm and pool-based algorithm according to how instances are saved (Long et al, 2008). For stream-based algorithm (Engelson and Dagan, 1999) (Freund et al, 1997), unannotated instances are submitted to the system successively. All the instances not selected by the algorithms will be discarded. As to pool-based algorithm (Muslea et al, 2006) (McCallum and Nigam, 1998) (Lewis and Gail, 1994), the algorithm choose the most appropriate training instances from all the available instances. Instance not selected might have chance to be picked up in the next round. Though its computational complexity is higher, selective sampling is widely used as an active learning method for no prior knowledge of the target concept is required.

Although much research has been made in the field, we found no case which deals with multi-classification problem in active learning. Besides, there is no available method to evaluate the performance of active learning in information extraction.

3 Active Learning Based Corpus Annotation

3.1 System Structure

The pool-based active learning algorithm is composed of two main parts: a learning engine and a selecting engine (Figure 1). The learning engine uses instances in the training set to improve the performance of the classifier. The selecting engine picks up unannotated instances according to preset rules, submits these instances for human annotation, and incorporates these instances into the training set after the annotation is completed. The learning engine and the selecting engine work in turns. The performance of the classifier tends to improve with the increasing of the training set size. When the preset condition is met, the training process will finish.

![Figure 1 System Workflow](image)

For our active learning based annotation tool, the workflow is as follows.

1. Convert raw texts into the format which the algorithm can deal with.
2. Selecting engine picks up instances which are expected to improve the performance of the classifier to the largest extent.
3. Annotate these instances manually.
4. Learning engine incorporate these annotated instances into the training set, and use the new training set to train the classifier.
5. Find out whether the performance of the classifier satisfies the preset standard. If not, go to step 2.
6. Use the classifier to identify the opinioned element in the unannotated dataset.
7. Convert the result into the required format.
3.2 Learning Engine

The learning engine maintains the classifier by iteratively training classifiers with new training sets. The classifier adopted determines the upper limit of the system performance. We use Support Vector Machine (SVM) (Vapnik, 1995) (Boser et al, 1992) (Chang and Lin, 1992) as the classifier for our system for its high generalization performance even with feature vectors of high dimension and its ability to manage kernel functions that map input data to higher dimensional space without increasing computational complexity.

3.3 Selecting Engine

In our system, selecting engine picks up instances for human annotation, and puts the annotated instance into the training set. The strategy adopted when selecting training instance is critical to the overall performance of the active learning algorithm. A good strategy will more likely to produce a classifier with high accuracy from less training instances.

The strategy we adopted here is to choose the instances which the classifier is most unsure about which class they belong to. For a linear bi-classification SVM, these instances are the ones closest to the separating hyper plane. That means, the selecting engine will choose training instances according to their geometric distances to the hyper plane. The instance with least distance will be selected as the next instance to be added into the training set while the other instances will be saved for future reference.

The computational complexity of getting the distance between an instance and the hyper plane is low. However, this method can not be applied to SVM with non-linear kernel for geometric distances are meaningless in these cases. We use radial basis function, which is non-linear, as the kernel function in our system for it outperforms linear kernel in the experiment. Hence, we must find another method to pick up training instances.

Non-linear SVM decides the class an instance belongs to according to its decision function value.

\[
y(\tilde{x}) = \sum_{i=1}^{N} \alpha_i y_i K(\tilde{x} \cdot \tilde{x}_i) + b
\]

(1)

The instance will be classified into one certain class if \( y(\tilde{x}) > 0 \), or the other class if \( y(\tilde{x}) < 0 \). However, it will be difficult to classify the instance according to SVM theory if \( y(\tilde{x}) = 0 \). Hence, we may deduce that SVM is most unsure when classifying an instance with least absolute decision function value.

We define the Predict Value (PV) as the value based on which selecting engine picks up training instances.

For bi-classification SVM, we have PV equals to the absolute decision function value, namely,

\[
PV(\tilde{x}) = |y(\tilde{x})| \quad (2)
\]

Instances with the minimum PV will be selected into the training set before other instances.

For example, if we want to identify all the topics in the sentence,

*I like this car very much, but the price is a little bit too high.*

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For example, if we want to identify all the topics in the sentence,

*I like this car very much, but the price is a little bit too high.*

The PV of each instance in the sentences are listed in Table 1. They are calculated from the decision function of the SVM gained from the last round of iteration.

| Instances | PV       |
|-----------|----------|
| 我        | 0.260306643320642 |
| very      | 0.553855024703612 |
| like      | 0.427269428974918 |
| this      | 0.031682276068012 |
| type      | 0.366598504697780 |
| car       | 0.095961213527654 |
| but       | 0.178634484784979 |
| price     | 0.092571306234562 |
| 有点      | 0.052164989563922 |
| 点 a little bit | 0.539913276317129 |
| 辅助词     | 0.428036120580422 |
| !         | 0.375263535139242 |

Table 1 Example of 2-Classification SVM Predict Value

Suppose all the instances in this sentence have not been added into the training set. *This (0.0316), price (0.0521), and but (0.0925)* will be selected into the training set successively for they have the minimal PVs.

For multi-classification SVM, it will be more complicated to find the training instances. Because common multi-classification SVM is implemented by voting process (Hsu and Lin, 2002),
there are \( \frac{1}{2} \cdot (t-1) \) decision function values in \( t \)-classification SVM.

In our system, we need to classify instances into 4 classes, namely, topic, holder, sentiment and other. So a 4-classification SVM is adopted. Suppose for an instance, we get 6 Decision Function Values from 6 bi-classification SVMs as in Table 2.

| No. | Classification   | Decision Function Value | Result |
|-----|------------------|-------------------------|--------|
| 1   | Class 0 Vs Class 1 | 1.00032792289507        | 0      |
| 2   | Class 0 Vs Class 2 | 0.999999993721249       | 0      |
| 3   | Class 0 Vs Class 3 | 1.00032792289507        | 0      |
| 4   | Class 1 Vs Class 2 | 0.10639804825973        | 1      |
| 5   | Class 1 Vs Class 3 | -5.20417042793042E-18   | 3      |
| 6   | Class 2 Vs Class 3 | -0.10639804825973       | 3      |

Table 2 Example of 4-Classification SVM Decision Process

For each bi-classification SVM, the class instance belongs to is determined by whether the decision function value is greater than or less than zero. The instance in Table 2 belongs to Class 0 since there 3 votes out of 6 votes for Class 0. When deciding which class an instance belongs to, only the decision function values from bi-classification SVMs with correct votes will work on the certainty of the final result. Hence, we define Predict Value for multi-classification SVMs as the arithmetic mean value of the absolute decision function value of every bi-classification SVM with correct vote,

\[
p(y|\mathbf{x}) = \frac{1}{k} \sum_{i \in L_y} |y_i(x)|
\]  

(3)

For the instance in Table 2, the value is calculated from the decision function values from bi-classification SVMs numbered 1, 2, and 3.

3.4 Experiments

To prove the validity of active learning algorithm and find out the relations between the performance of the classifiers and the way the classifiers are trained, we carried out batches of experiments.

In most information extraction tasks, a word and its context are considered a learning sample, and encoded as feature vectors. In our experiments, context data includes the part-of-speech tag, dependency relation, word semantic meaning, and word disambiguation information of the word being classified, its neighboring words and its parent word in dependency grammar. Part-of-speech tag and dependency relation are common features for Chinese Natural Language Processing (NLP) tasks\(^1\). We get word semantic meaning from HowNet, which is an online commonsense knowledge base unveiling inter-conceptual relations and inter-attribute relations of concepts as connoting in lexicons of the Chinese and their English equivalents (Zhendong Dong and Qiang Dong, 1999). Given an occurrence of a word in natural language text, word sense disambiguation is the process of identifying which sense of the word is intended if the word has a number of distinct senses. According to Song and Yao (2009), this information may help in Chinese NLP tasks such as topic identification.

Lack of explicit boundary between training instances and testing instances is a great difference between common machine learning algorithm and learning algorithm designed for corpus annotation. For common machine learning algorithm such as human face recognition, the quantity of training instances is limited while the testing instances could be infinite. It is unnecessary and impossible to annotate all the testing instances. However, when annotating a corpus, all the texts need to be annotated are decided beforehand. Although tools automated part of the annotation process, the results still need to be reviewed for several times to ensure the quality of annotation. That means in an annotation scenario, all the data to be processed are available during the training stage.

The raw texts used in our experiments are taken from forums of chinacars.com. These texts include explicit subjective opinion and informal network language, which are necessary for opinion mining research. Most of them are comments composed of one or more sentences on certain type of vehicle. The detailed opinion elements distributions are showed in table 3.

We use all the texts as testing data set and a subset of it as a training data set. First of all, we pick up 10 instances for each class, and train a simple classification model with them. Then, the baseline system picks up \( k \) instances in sequence and adds them into the training data set to train a new classification model iteratively until the training data set is as large as the testing data set.

\(^1\) We use Language Technology Platform (LTP), developed by Center for Information Retrieval, Harbin Institute of Technology, for part-of-speech tagging, dependency relationship analysis and word sense disambiguation in our experiment.
while the active learning system picks up instances according to the strategy in Chapter 3.3.

| Type   | No. of Instances |
|--------|------------------|
| Topic  | 638              |
| Sentiment | 769             |
| Holder | 46               |
| Other  | 1500             |
| Total  | 2953             |

**Table 3** Detailed Information of the Data Set

We use three bi-classification model to test the performance of the active learning system on topic, sentiment, and holder identification separately and a four-classification model to identify the three opinion elements simultaneously. The results of the experiments are illustrated in Figure 2, 3, 4, and 5 respectively. Table 4, 5, and 6 provide the detailed F-measure trends while different numbers of instances are added into the training data set in each rounds. For each experiment, we try to compare the performances when we add different number of instances into the training data set in each round of iteration.

As are illustrated in the figures, the active learning system can always achieve better or at least no worse performance than baseline system. For example, when adding 200 instances in each round for topic identification task (Figure2 and Table 4), the active learning system reaches its peak value in F-measure (0.8644) with only 600 training instances. This F-measure value is even higher than the value the baseline system get (0.8604) after taking all the 2953 training instances.

The active learning system outperforms the baseline system greatly especially when dealing with unbalanced data set (Figure 4 and Table 4). In opinion holder identification task, the baseline system can not find any holder until 1600 training instances are taken while the active learning system reaches its peak F-measure value (0.8810) with only 600 training instances. That means when using active learning algorithm, it is possible for us to save some time for optimizing the parameters when dealing with unbalanced data.

The number of instances added to the training data set in each round (k) influences the performance of the active learning algorithm in a large extent. When a smaller value is assigned to k, the active learning system will tend to achieve better F-measure (Table 4) with less training instances comparing to the baseline system. Advantages of the active learning system will be diminished by the increase in k (Table 6).
4 Evaluation of Active Learning Algorithm

For active learning algorithm based on membership query, its training process will probably take longer time by the time the optimum classifier is found, since the training process consists of several rounds of iteration. At the beginning of the iteration, the classification speed of the model is much faster due to less training instances are used and the model is simple. With more and more training instances are added into the training data set, the model will become more complex and more time will be needed for classification.

| No. of Instances | Topic | Sentiment | Holder | All Three Elements |
|------------------|-------|-----------|--------|-------------------|
|                  | Baseline | Active Learning | Baseline | Active Learning | Baseline | Active Learning | Baseline | Active Learning | Baseline | Active Learning | Baseline | Active Learning |
| 200              | 0.7118 | 0.6221     | 0.6481 | 0.0103 | 0.0000 | 0.0000 | 0.6968 | 0.3874 |
| 400              | 0.8072 | 0.8287     | 0.7344 | 0.6239 | 0.0000 | 0.0000 | 0.7691 | 0.7336 |
| 600              | 0.8237 | **0.8644** | 0.7845 | 0.7860 | 0.0000 | **0.8810** | 0.7907 | 0.7979 |
| 800              | 0.8250 | 0.8625     | 0.7876 | 0.8133 | 0.0000 | 0.8810 | 0.8020 | 0.8240 |
| 1000             | 0.8386 | 0.8613     | 0.7878 | **0.8189** | 0.0000 | 0.8810 | 0.8101 | 0.8378 |
| 1200             | 0.8389 | 0.8588     | 0.7992 | 0.8153 | 0.0000 | 0.8810 | 0.8128 | 0.8377 |
| 1400             | 0.8489 | 0.8588     | 0.8011 | 0.8141 | 0.0000 | 0.8810 | 0.8178 | 0.8471 |
| 1600             | 0.8450 | 0.8581     | 0.8033 | 0.8150 | 0.0426 | 0.8810 | 0.8211 | 0.8468 |
| 1800             | 0.8521 | 0.8581     | 0.8059 | 0.8183 | 0.1224 | 0.8810 | 0.8271 | 0.8479 |
| 2000             | 0.8528 | 0.8585     | 0.8169 | 0.8197 | 0.6857 | 0.8810 | 0.8348 | **0.8481** |
| 2200             | 0.8560 | 0.8583     | 0.8109 | **0.8200** | 0.8101 | 0.8810 | 0.8372 | 0.8468 |
| 2400             | 0.8592 | 0.8592     | 0.8186 | 0.8195 | 0.8395 | 0.8810 | 0.8404 | 0.8474 |
| 2600             | 0.8620 | 0.8610     | 0.8165 | 0.8205 | 0.8675 | 0.8810 | 0.8440 | 0.8463 |
| 2800             | 0.8578 | 0.8610     | 0.8138 | 0.8177 | 0.8810 | 0.8810 | 0.8464 | 0.8443 |
| 2953             | **0.8604** | **0.8604** | **0.8183** | **0.8183** | **0.8810** | **0.8810** | **0.8446** | **0.8446** |

Table 4 F-measure Trends when \( k = 200 \)

| No. of Instances | Topic | Sentiment | Holder | All Three Elements |
|------------------|-------|-----------|--------|-------------------|
|                  | Baseline | Active Learning | Baseline | Active Learning | Baseline | Active Learning | Baseline | Active Learning | Baseline | Active Learning |
| 1000             | 0.8198 | 0.7730     | 0.7616 | 0.1369 | 0.0000 | 0.0000 | 0.7831 | 0.5173 |
| 1500             | 0.8363 | 0.8508     | 0.7878 | 0.7566 | 0.0000 | **0.8837** | 0.8101 | 0.7776 |
| 2000             | 0.8468 | 0.8592     | 0.8039 | 0.8175 | 0.0833 | 0.8810 | 0.8194 | 0.8398 |
| 2500             | 0.8528 | **0.8610** | 0.8169 | **0.8183** | 0.6857 | 0.8810 | 0.8348 | **0.8484** |
| 2953             | **0.8604** | **0.8604** | **0.8183** | **0.8183** | **0.8810** | **0.8810** | **0.8446** | **0.8446** |

Table 5 F-measure Trends when \( k = 500 \)

| No. of Instances | Topic | Sentiment | Holder | All Three Elements |
|------------------|-------|-----------|--------|-------------------|
|                  | Baseline | Active Learning | Baseline | Active Learning | Baseline | Active Learning | Baseline | Active Learning | Baseline | Active Learning |
| 1000             | 0.8386 | 0.8335     | 0.7878 | 0.3514 | 0.0000 | 0.0000 | 0.8101 | 0.7534 |
| 2000             | 0.8528 | 0.8581     | 0.8169 | 0.8170 | 0.6857 | **0.8810** | 0.8348 | 0.8376 |
| 2953             | **0.8604** | **0.8604** | **0.8183** | **0.8183** | **0.8810** | **0.8810** | **0.8446** | **0.8446** |

Table 6 F-measure Trends when \( k = 1000 \)
tion. On account of the features of active learning algorithm, we believe it is necessary to find a way to balance the performance of the classifier and the time it takes in training process for a thorough evaluation of the algorithm.

We define the measurement for time as:

$$T = \frac{k}{C}$$ (4)

where $C$ is the number of all the possible training instances available, $k$ is the number of training instances added into the training data set in each round of iteration. $T$ is the approximate value of the inverse ratio of the time it takes for training process. $T$ will have a greater value if the training process takes less time. Its range is (0, 1] just similar to F-measure.

We define the measurement for the training instances used as:

$$K = (1 - \frac{n}{C})$$ (5)

where $n$ is the number of the training instances actually used. $K$ will have a greater value if less training instances are used in the training process. The range of $K$ is [0, 1).

To judge the overall performance of an active learning algorithm, we consider the F-measure ($F$) of the classifier, the time it takes during the training process, and the training instances used. We define the Active Learning Performance ($ALP$) as the harmonic mean of the three aspects:

$$ALP = \frac{1}{\frac{\alpha}{F} + \frac{\beta}{T} + \frac{\gamma}{K}}$$

$$= \frac{F \cdot k \cdot (C - n)}{\alpha \cdot F \cdot C \cdot k + \beta \cdot k \cdot (C - n) + \gamma \cdot F \cdot C \cdot (C - n)}$$ (6)

where $\alpha + \beta + \gamma = 1$, and $\alpha, \beta, \gamma \in [0, 1]$. They are the weights for the three measurements. The greater the value of a certain weight is, the more important the measurement is in the overall performance. The greater the value of the $ALP$ is, the better the performance of the active learning algorithm. For instance, when training a classifier for sentiment identification using active learning algorithm, we get a classifier with F-measure of 0.8189 using 1000 training instances and a classifier with F-measure of 0.8200 using 2200 training instances (Table 4). Suppose $\alpha = \beta = \gamma = \frac{1}{3}$, we calculate the value of $ALP$ for the two cases according to equation (6) and get 0.1714 and 0.1507 as results respectively. That means a people with no preference among F-measure, the number of training instances adopted and the time used during training process will choose to get a classifier with less training instances, less training time and less F-measure value.

5 Conclusion

This paper experimentally demonstrates the validity of active learning algorithm when used for opinioned elements identification and proposed a computational method for overall system performance evaluation which consists of F-measure, training time, and number of training instances. According to our tests, active learning algorithm outperforms the base line system in most of the cases especially when fewer instances are added into the training data set in each round of iteration. However, the method could extent the training time in a large scale. To balance the pros and cons of active learning algorithm, it might be helpful to adjust the number of training instances added in each round dynamically in the training process. For instance, add less training instances at the beginning of the training process to ensure a high peak value of F-measure could be achieved and add more training instances later so that time spent on training process could be reduced.

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