A DNA sensor based on upconversion nanoparticles and two-dimensional dichalcogenide materials

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Abstract We demonstrate the fabrication of a new DNA sensor that is based on the optical interactions occurring between oligonucleotide-coated NaYF₄:Yb⁺³; Er³⁺ upconversion nanoparticles and the two-dimensional dichalcogenide materials, MoS₂ and WS₂. Monodisperse upconversion nanoparticles were functionalized with single-stranded DNA endowing the nanoparticles with the ability to interact with the surface of the two-dimensional materials via van der Waals interactions leading to subsequent quenching of the upconversion fluorescence. By contrast, in the presence of a complementary oligonucleotide target and the formation of double-stranded DNA, the upconversion nanoparticles could not interact with MoS₂ and WS₂, thus retaining their inherent fluorescence properties. Utilizing this sensor we were able to detect target oligonucleotides with high sensitivity and specificity whilst reaching a concentration detection limit as low as 5 mol·L⁻¹, within minutes.

Keywords upconversion nanoparticles, DNA sensor, two-dimensional materials, MoS₂, WS₂

1 Introduction

There is an ongoing interest towards the development of sensors for the detection of biomolecules [1–4]. Amongst various biomolecules, the detection of DNA and RNA has been of particular interest due to their involvement in a plethora of biological processes including gene regulation and protein production as well as various diseases such as cancer [5–7].

Different types of biosensors have been designed capable of detecting DNA or RNA targets utilizing electrochemical, mass-based or optical methods [8]. For example, electrochemical sensors monitor variations in current in a solution containing the target [9]. While these sensors have significant advantages like durability, cheap thin-film applications, small-size dimensions and real-time monitoring, their weakness of being easily affected by temperature changes [10] and their lower sensitivity compared to other biosensors [11,12], limit their widespread availability. Surface acoustic wave sensors are mass-based biosensors that can detect acoustic waves generated by mass loading on their surface [13]. They represent a significant alternative for detection of biomolecules as they are rapid and label-free [14]. However, they present major disadvantages, which include mechanical instability and fragility [15,16]. Optical DNA or RNA sensors are based on the interaction of the optical field with nucleic acids [17]. They are broadly used because of their high sensitivity and specificity [18]. The most common types of optical sensors are: label-free systems that rely on plasmon resonance or optical resonance [19], and label-based systems involving the use of fluorophores, enzymes or nanoparticles [20]. Surface plasmon resonance detection is based on the measurement of binding-induced refractive index changes in a sample region. This type of detection allows for quantitative and kinetic measurement of molecular interactions in real-time [21]. However, it is relatively challenging to develop surface plasmon resonance sensors for small molecules at low concentrations as the molecular weight of the target must be large enough to generate a measurable signal change [22]. Other common optical biosensors that involve the use of organic dyes, rely on the presence of an energy transfer pair in close proximity where the fluorescence of a donor is quenched
by an acceptor — a process also called Förster resonance energy transfer (FRET) [23]. However, the use of organic dyes presents several limitations, such as the lack of stability due to photo-bleaching and photo-blinking [24]. Moreover, the typical photo-excitation of organic dyes in the UV-visible wavelength range limits their use in complex biological environments due to the presence of undesired background autofluorescence deriving from proteins, cells and other biomolecules [25].

To overcome the aforementioned obstacles, lanthanide doped upconversion nanoparticles (UCNPs) have emerged as reliable alternatives to standard organic fluorophores. The main characteristic of UCNPs is that they can convert near-infrared excitation radiation into visible light. The use of near-infrared light into visible light efficiently than visible light due to the optical transparent window of the electromagnetic spectrum in biological tissues [26]. UCNPs show high resistance to photo-bleaching or photo-blinking and they have narrow emissions in the visible area, which renders their fluorescent signal stable and easily detectable in multiplexing biosensors [27,28]. For these reasons, the production of highly efficient UCNPs is employed in a wide range of biological applications [29–31].

An effective quencher for UCNPs is graphene oxide. In previous studies, we have shown that UCNPs functionalized with single-stranded DNA can adsorb to the graphene oxide surface via π–π stacking generated interactions between the DNA strand and graphene oxide. Thus the close proximity of the UCNPs to the surface of graphene oxide resulted in the quenching of their fluorescence [32]. In contrast, double-stranded DNA coated UCNPs did not interact with the graphene oxide surface as the nucleobases were efficiently shielded within the negatively charged phosphate backbone of double-stranded DNA [33]. Thus, in the presence of the complementary oligonucleotide sequence the UCNPs did not adsorb to the graphene oxide surface retaining their fluorescence [34]. This methodology was utilized by us to construct sensors for the detection of messenger RNA biomarkers, relevant to Alzheimer’s disease and prostate cancer, in complex media such as blood plasma and cell lysate. Furthermore, we demonstrated the fabrication of a portable sensor for the field detection of messenger RNA biomarkers related to crops’ nutritional deficiencies [35,36]. Huang et al. also made a sensor for the detection of endonucleases using DNA-coated UCNPs and graphene oxide as a FRET pair. The resulting biosensor exhibited high sensitivity with a limit of detection of 1 × 10^{-4} \text{U} \cdot \text{mL}^{-1} for S1 nuclease [37].

Analogous materials as graphene oxide with special optical and electronic properties have recently been exploited for the fabrication of FRET assays. Two-dimensional dichalcogenide materials have emerged as ideal energy transfer acceptors due to their large surface area, ease of synthesis of large single sheets and their increased affinity towards biomolecules. Luminescent two-dimensional materials such as MoS2 and WS2 have also proven to be excellent quenchers in the area of optical biosensors [38]. Single-stranded DNA can adsorb onto the MoS2 and WS2 surface via van der Waals forces rather than π–π stacking interactions [39]. Liu’s group studied the adsorption/desorption behavior of single-stranded DNA with these materials by varying the ionic strength, the denaturing agents and the DNA length or sequence. They concluded, that compared to MoS2 and WS2, graphene oxide exhibited the highest affinity for DNA detection whilst the detection limit of these three sensors was quite similar when the same fluorescent DNA probe was used [39]. To greater extent, two-dimensional materials are shown to be very good quenchers in the area of optical aptamer-based sensors. Recently, Kenny and co-workers presented the fluorescence detection of a highly expressed malarial biomarker, *Plasmodium* lactose dehydrogenase protein, by using single-layer MoS2 nanosheets and single-stranded aptamer probe labeled with the fluorescent dye, fluorescein. This aptamer-nanosheet sensing platform was capable of distinguishing the target *Plasmodium* lactose dehydrogenase protein in a heterogeneous mixture of proteins [40]. Lv et al. developed a strategy for the detection of microcystin-LR, a representative toxin released by *Cyanobacteria* in water, using DNA coated UCNPs and MoS2. This aptamer-based sensor was proven to work efficiently even in ‘real’ samples such as tap and lake water [41]. An aptamer-based sensor was also developed by Yuan et al. Their study focused on the use of MoS2 and UCNPs as a FRET pair for the detection of the tumor marker VEGF165 [42].

In this work, we show how MoS2 and WS2 can be utilized for sensing of a synthetic oligonucleotide target. We demonstrate the detection of a poly-A DNA target in phosphate buffer saline by exploiting the interactions occurring between single-stranded DNA coated UCNPs and MoS2/WS2 in solution. Scheme 1 depicts the working mechanism of the biosensor. In the presence of MoS2 or WS2, the single-stranded DNA coated UCNPs adsorb onto the surface of the dichalcogenide resulting in fluorescence quenching of the UCNPs. However, when the complementary DNA sequence is present the double-stranded DNA coated UCNPs do not adsorb onto the dichalcogenide surface thus retaining their fluorescent signal. On the other hand, in the presence of a non-complementary sequence, the fluorescence of the UCNPs is quenched and no fluorescent signal is detected, demonstrating the specificity of the sensor.

2 Experimental

2.1 Materials

All chemical reagents were used as received without further purification and were obtained from commercial
sources. Yttrium(III) chloride hexahydrate (98%), ytterbium(III) chloride hexahydrate (99.9%), erbium(III) chloride hexahydrate (99.9%), ammonium fluoride (98%), methanol (99.9%), n-hexane (95%), poly(acrylic acid) (PAA) (MW ≈ 1.8 kDa), 2-(N-morpholino)ethanesulfonic acid, 4-morpholineethanesulfonic acid, sodium borate, sodium chloride, 1-octadecene (90%), oleic acid (90%), N-(3-(dimethylamino)propyl)-N'-ethylcarbodiimide hydrochloride (EDC) (99%) and N-hydroxysulfosuccinimide sodium salt (sulfo-NHS) (98%) were purchased from Merck. Tetrahydrofuran, ethanol and hexane were purchased from Thermo Fisher Scientific in laboratory grade. MoS$_2$ and WS$_2$ (black powders of monolayer sheets dispersed in phosphate buffer saline before use) were purchased from ACS Material. MoS$_2$ monolayers exhibit a diameter between 1–3 µm, with a thickness of ~1 nm and a monolayer ratio ≥90% while WS$_2$ monolayers exhibit a diameter between 0.1–4 µm, a thickness of ~1 nm and a monolayer ratio of ≥90%.

2.2 Methods

Transmission electron microscopy samples were prepared by depositing a drop of a diluted nanoparticle solution on a 400 mesh formvar coated copper grid and left in air to dry. Nanoparticles were observed on a Hitachi HT7700 Transmission Electron Microscope operating at an accelerating voltage of 100 kV. The size distribution of the core-shell UCNPs after annealing treatment was determined to be 27.4±0.1 nm by counting over 200 nanoparticles using ImageJ software (National Institutes of Health, USA). The upconversion fluorescence measurements were performed using an aligned setup which consisted of a 300 mW, 980 nm diode laser (Thorlabs LTD, UK) as an excitation source and a short pass IR-blocking filter (Schott KG3) in order to suppress scattered excitation light and select only the fluorescence emission. The detector was a SpectraSuite Spectrometer (OceanOptics, USA) where the emitted fluorescence was collected perpendicular to the excitation beam using a 35 mm focal length lens. By illuminating a cuvette filled with the appropriate solvent with the same laser beam, the blank for each measurement was determined. All measurements were performed under 1000 ms of integration time and 10 scans to average. The fluorescent experiments were repeated three times and the fitted data corresponded to the mean value±standard error of the mean.

2.3 Synthesis of core UCNPs

The synthesis of core UCNPs was carried out following a published protocol with some modifications [43]. The rare earth salts, YCl$_3$·6H$_2$O (236 mg, 0.78 mmol), YbCl$_3$·6H$_2$O (77.5 mg, 0.20 mmol), and ErCl$_3$·6H$_2$O (7.63 mg, 0.02 mmol) were put in a 100 mL round-bottom flask together with 6 mL of oleic acid and 15 mL of 1-octadecene. The solution was heated up to 150 °C under the presence of argon flow and left at this temperature for 1 h and 30 min. Then, the reaction mixture was cooled down to room temperature. A solution of NaOH (100 mg, 2.5 mmol) and NH$_4$F (148.16 mg, 4 mmol) dissolved in 10 mL of dry methanol was injected dropwise to the reaction mixture under vigorous stirring. After 45 min of stirring at room temperature, the solution was gradually heated up to 100 °C under argon for an additional 30 min to
assist with the evaporation of methanol. Then, the reaction mixture was stirred under vacuum for 30 min in order to ensure the complete evaporation of the methanol. After, the temperature was increased at 310 °C under argon and the solution was left under stirring for 1 h 20 min to form the particles. Finally, the particles were left to cool down to room temperature. The solution was rinsed with ethanol (20 mL) and centrifuged (5000 r·min⁻¹, 15 min) three times for particles' purification. Each time UCNPs were re-dispersed in ethanol (20 mL). The nanoparticles' pellet was left to dry for several hours, weighted and stored for further experiments.

2.4 Synthesis of core-shell UCNPs

The synthesis of core-shell nanocrystals was performed based on a previously published protocol with some modifications [44]. In more detail, YCl₃·6H₂O (151.68 mg, 0.5 mmol) was dissolved in a solution of 1-octadecene (15 mL) and oleic acid (6 mL) and stirred for 1 h under argon at 150 °C. The solution was left to cool down to 80 °C under a steady flow of argon. A solution of PAA (0.25 g, MW ≈ 1,18 kDa) was added (poly-T, 22 µL, 236 µmol) dissolved in dry methanol (5 mL) was added dropwise to the reaction mixture and was stirred for other 30 min. After, the temperature increased gradually up to 130 °C under argon and the solution was stirred for 30 min. For ensuring the complete evaporation of methanol, it was stirred for other 30 min at 130 °C under vacuum. Finally, the temperature was increased at 310 °C under argon and the mixture was left under stirring for 1 h 20 min to form the core-shell particles. After completion of the reaction, the nanoparticles were left to cool down to room temperature. The solution was rinsed with ethanol (20 mL) and centrifuged (5000 r·min⁻¹, 15 min) three times in order to purify the particles. Each time UCNPs were re-dispersed in ethanol (20 mL). The core-shell UCNPs' pellet was collected and re-dispersed in tetrahydrofuran.

2.5 Ligand exchange on core-shell UCNPs

A ligand exchange protocol was followed to coat the nanoparticle surface with PAA in order to bring the UCNPs in water [45]. A solution of PAA (0.25 g, MW ≈ 1.8 kDa) dissolved in tetrahydrofuran (3 mL) was added to the core-shell UCNPs coated with oleic acid and re-dispersed in 7 mL tetrahydrofuran. The mixture was left stirring for 48 h at room temperature to allow the ligand exchange to happen. The final solution was centrifuged (5000 r·min⁻¹, 15 min) and washed with ethanol (20 mL) twice. The particles' pellet was dried and re-suspended in sterile DNAse/RNase free Milli-Q water and stored at 4 °C.

2.6 Synthesis and characterizations of single-stranded DNA PAA coated core-shell UCNPs

The amino-modified oligonucleotides were covalently attached to the surface of the PAA coated core-shell UCNPs via the carboxylic groups on the PAA ligand using EDC amino-coupling chemistry. A solution of EDC (20 µL, 0.3 mol·L⁻¹) and sulfo-NHS (20 µL, 0.3 mol·L⁻¹) in 4-morpholineethanesulfonic acid buffer (pH 5.5, 0.1 mol·L⁻¹) was added to PAA coated core-shell UCNPs (0.5 mg·mL⁻¹) suspended in borate buffer (pH 8.5, 0.01 mol·L⁻¹). The mixture was sonicated (10 min) thus the desired amino-terminated oligonucleotide sequence was added (poly-T, 22 µL, 236 µmol·L⁻¹). The reaction was stirred overnight, and the particles were purified by centrifugation (16400 r·min⁻¹, 4 °C, 10 min) three times. The functionalized with single-stranded DNA core-shell UCNPs were re-suspended in phosphate buffer saline and stored at 4 °C.

2.7 Sensor calibration

In order to accurately calibrate the sensor, increasing concentrations of two-dimensional materials (0.1–1.3 mg·mL⁻¹) were added to a solution containing a fixed concentration of functionalized UCNPs (0.5 mg·mL⁻¹) dispersed in phosphate buffer saline. The corresponding fluorescence spectra of the DNA coated UCNPs were monitored in order to determine the concentration of the two-dimensional materials that would result in optimum fluorescence quenching.

2.8 Targeted DNA detection using DNA coated UCNPs

In order to prevent the interaction between the single-stranded DNA coated UCNPs and the two-dimensional materials, the single-stranded DNA was hybridized with its complementary sequence before incubating with the two-dimensional material. To this purpose, 0.5 mg·mL⁻¹ of single-stranded DNA coated UCNPs were incubated in phosphate buffer saline with various concentrations of the complementary DNA strand (ranging from 5 fmol·L⁻¹ to 50 nmol·L⁻¹) overnight while shaking. After this, a solution of MoS₂ or WS₂ dispersed in phosphate buffer saline was added and left incubating for 10 min prior to performing the fluorescence measurements.

3 Results and discussion

3.1 Synthesis and characterization of oligonucleotide functionalized UCNPs

In order to investigate the interactions occurring between
single-stranded DNA coated UCNPs and MoS2/WS2, we firstly synthesized hexagonal phase NaYF4:Yb3+ (18%); Er3+ (2%)@NaYF4 core-shell NPs based on a modified solvothermal method [46]. Our synthesis yielded highly monodisperse hexagonal shaped core-shell UCNPs with an average nanocrystal size of 27.4±0.1 nm (see Fig. S1, cf. Electronic Supplementary Material, ESM). X-ray diffraction measurements confirmed that the hexagonal phase of the UCNPs was retained after core-shell formation (see Fig. S2, cf. ESM). The presence of the NaYF4 shell accounted for a decrease of defects on the UCNPs crystal surface thus improving their fluorescent emission in water where the upconversion processes can be strongly affected by vibrational scattering of water molecules adsorbed onto the crystal surface [47,48]. Following our previously established protocols, a ligand exchange procedure was further performed where the original oleic acid ligands on the UCNP surface were replaced by PAA to enable nanoparticle solubility in water and further facilitate their functionalization with amino-modified single-stranded DNA sequences [35,36]. An EDC coupling reaction was utilized in order to attach the amino-modified synthetic oligonucleotides to the carboxylic group of the PAA ligands on the UCNPs surface. The successful coupling was firstly confirmed by zeta potential measurements where a decrease in the net charge was observed (see Fig. S4, cf. ESM) and Fourier-transform infrared spectroscopic analysis where the characteristic peak of the carboxyl group of the PAA disappeared (see Fig. S5, cf. ESM).

3.2 Quenching of UCNPs’ fluorescence by MoS2 and WS2

Following successful synthesis and characterization of single-stranded DNA coated UCNPs we evaluated the ability of MoS2 and WS2 (see Fig. S6 (cf. ESM), for characterization of MoS2 and WS2) to quench the emission of the functionalized NPs. Figure 1 shows the recorded fluorescence spectra of single-stranded DNA coated UCNPs (0.5 mg·mL⁻¹) in the presence of various concentrations of MoS2 and WS2. Upon increasing concentrations of two-dimensional materials, whilst maintaining the same concentration of single-stranded DNA coated UCNPs (0.5 mg·mL⁻¹), a steady decrease in the fluorescence intensity of the UCNPs was observed. This is a strong indication that the interactions between the two-dimensional materials and single-stranded DNA coated UCNPs were within the distance required for a nonradiative energy transfer, which resulted in fluorescence quenching [49].

To further investigate the nature of the interactions occurring between the single-stranded DNA coated UCNPs and the two-dimensional dichalcogenides we correlated the intensity at lambda max for each UCNP emission peak observed in the fluorescence spectra with the quenching ability of MoS2 and WS2. Figure 2 shows the analysis of fluorescence quenching of single-stranded DNA coated UCNPs at wavelengths of 540 and 655 nm as a function of MoS2 and WS2 concentration. Indeed, a quenching ability of more than 94% was observed upon addition of 1.2 mg·mL⁻¹ of MoS2 and 1.3 mg·mL⁻¹ of WS2 respectively. This degree of quenching correlates well with the quenching effect previously observed for graphene oxide against the UCNPs’ emitted fluorescence as a result of the FRET process occurring between the donor (UCNPs) and the acceptor (two-dimensional material) [34,49]. In this study, the UCNPs fluorescence quenching followed the same trend, which indicates that this quenching is due to a FRET process.

3.3 Oligonucleotide target detection

In order to fully investigate the ability of single-stranded DNA coated UCNPs to induce an interaction with the chosen two-dimensional materials we incubated MoS2 and WS2, at concentrations previously determined to induce

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**Fig. 1** Representative fluorescence emission spectra from single-stranded DNA coated UCNPs (0.5 mg·mL⁻¹) in the presence of increasing concentrations of (a) MoS2 or (b) WS2.
the most effective quenching with functionalized UCNPs. 

Figure 2 shows that the distinct fluorescence emission of UCNPs was significantly quenched when coated with a monolayer of single-stranded DNA indicating that the main driving forces rely on van der Waals interactions between the single-stranded DNA and the two-dimensional surface as also reported by others [39, 49]. To further investigate the presence of such interactions, single-stranded DNA coated UCNPs were hybridized to their complementary sequences (see Table S1 (cf. ESM) for oligonucleotide sequences) and then MoS\textsubscript{2} or WS\textsubscript{2} were added. Figure 3 shows results obtained upon hybridization with an increasing concentration of complementary DNA target for 30 min. As the concentration of the target was increased from 5 fmol·L\textsuperscript{-1} to 50 nmol·L\textsuperscript{-1}, a decrease in the quenching efficiency of MoS\textsubscript{2} (Fig. 3(a)) and WS\textsubscript{2} (Fig. 3(b)) over both characteristic peaks was observed. This is due to the conformational change taking place upon DNA duplex formation, which prevented adsorption of the double-stranded DNA coated UCNPs onto the MoS\textsubscript{2} or WS\textsubscript{2} surface thus retaining their fluorescence properties.

Figure 4 shows the maximum intensity recorded for each peak at wavelengths of 540 and 655 nm as a function of the target concentration. By plotting a semi-log graph, a trend was observed where a pronounced effect in fluorescence recovery was observed at a concentration of 50 and 5 nmol·L\textsuperscript{-1} for MoS\textsubscript{2} (Fig. 4(a)) and WS\textsubscript{2} (Fig. 4(b)) respectively. For lower target concentrations, fluorescence recovery was less efficient due to potential lower number of hybridization events occurring. Thus quenching by MoS\textsubscript{2} and WS\textsubscript{2} due to adsorption of single-stranded DNA coated UCNPs onto the surface was still observed at such complementary DNA concentrations demonstrating that fluorescence intensity is dependent on target detection. The specificity of this system was further confirmed by performing a control experiment where single-stranded

![Fig. 2](image)

Correlated fluorescence emission spectra from single-stranded DNA coated UCNPs (0.5 mg·mL\textsuperscript{-1}) showing the decreasing fluorescence emission of the $\lambda_{\text{max}}$ of the two typical peaks of UCNPs (655 nm, red points; 540 nm, cyan points) in the presence of increasing concentration of (a) MoS\textsubscript{2} or (b) WS\textsubscript{2} as indicated in the graph.

![Fig. 3](image)

Representative fluorescence spectra of single-stranded DNA coated UNCPs (0.5 mg·mL\textsuperscript{-1}) in the presence of increasing concentrations of complementary DNA targets for (a) MoS\textsubscript{2} and (b) WS\textsubscript{2}.
DNA coated UCNPs (0.5 mg·mL⁻¹) were incubated with a non-complementary target sequence prior to addition of MoS₂ and WS₂. Figure 5 shows the fluorescence emission spectra recorded following incubation with DNA concentrations ranging from 5 to 1000 nmol·L⁻¹. In both cases no fluorescence was observed (Fig. 5) regardless of the concentration of the non-complementary DNA target initially added. This indicated the predominant existence of single-stranded DNA on the UCNP surface, which permitted their adsorption onto the surface of MoS₂ or WS₂ respectively, thus rendering their fluorescence quenched.

4 Conclusions

In summary, we showed the development and use of an optical DNA sensor made from single-stranded DNA coated UCNPs and two-dimensional dichalcogenides (MoS₂ and WS₂). The well-developed concept of a sensor, which combines the emissive properties of UCNPs and the quenching ability of graphene oxide, has been herein implemented by considering alternative two-dimensional materials. The working principle of the sensor is based on the property of dichalcogenides to adsorb single-stranded DNA to their surface via van der Waals interactions. In the absence of a target DNA, single-stranded DNA coated UCNPs were adsorbed onto the surface of MoS₂ or WS₂ and their fluorescence was quenched. In the presence of a complementary target DNA hybridized to the oligonucleotides on the surface of the UCNPs, the particles could no longer adsorb to the surface of the dichalcogenides and their fluorescence was retained. Utilizing this sensor, we were able to detect target DNAs within few minutes at the 5 fmol·L⁻¹ range. Our results pave the way towards the development of oligonucleotide sensors for related biomedical applications. Future research could also involve other dichalcogenide such as TaS₂ and TiS₂, which have
already been found to serve as suitable energy transfer acceptors upon their interaction with dye-modified oligo-nucleotides [50].

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