Adherence to COVID-19 protective behaviours in India from May to December 2020: evidence from a nationally representative longitudinal survey

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ABSTRACT
Objectives Since the onset of the COVID-19 pandemic, behavioural interventions to reduce disease transmission have been central to public health policy worldwide. Sustaining individual protective behaviour is especially important in low-income and middle-income settings, where health systems have fewer resources and access to vaccination is limited. This study seeks to assess time trends in COVID-19 protective behaviour in India.

Design Nationally representative, panel-based, longitudinal study.

Setting We conducted a panel survey of Indian households to understand how the adoption of COVID-19 protective behaviours has changed over time. Our data span peaks and valleys of disease transmission over May–December 2020.

Participants Respondents included 3719 adults from 1766 Indian households enrolled in the Harmonised Diagnostic Assessment of Dementia for the Longitudinal Ageing Study in India.

Analysis We used ordinary least squares regression analysis to quantify time trends in protective behaviours.

Results We find a 30.6 percentage point (95% CI (26.7 to 34.5); p<0.01) decline in protective behaviours related to social distancing over the observation period. Mask wearing and handwashing, in contrast, decreased by only 4.3 percentage points (95% CI (0.97 to 7.6); p<0.05) from a high base. Our conclusions are unchanged after adjusting for recorded COVID-19 caseload and nationwide COVID-19 containment policy; we also observe significant declines across socioeconomic strata spanning age, gender, education and urbanicity.

Conclusion We argue that these changes reflect, at least in part, ‘COVID-19 fatigue,’ where adherence to social distancing becomes more difficult over time irrespective of the surrounding disease environment.

INTRODUCTION
Throughout the COVID-19 pandemic, governments around the world have implemented non-pharmaceutical policies aimed at blunting disease spread. Although policies have shifted over time—changing in scope and stringency—a common aim has been to drastically reduce the mobility of, and social contact among, people. Critical in assessing the efficacy of these policies, and thus how to improve them, is understanding how distancing behaviour changes or persists in the face of easing restrictions and evolving disease environments.

Much of the existing research in this space leverages cellphone data (most notably, open-source mobility datasets like Google’s COVID-19 Community Mobility Reports) to characterise movement patterns.1,2 Cellphone-based mobility data, however, fail to fully capture important facets of behaviour that matter for disease transmission. For example, such data cannot record maintaining physical distance, avoiding large crowds or wearing masks, all of which are common components of containment policies, and evidence suggests that adherence to these types of behaviours

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Strengths and limitations of this study
- Our study leverages data from a nationally representative panel survey in India to study changes in COVID-19 protective behaviour between May and December 2020.
- We link our survey data to contextual data measuring COVID-19 caseload and national COVID-19 policy, allowing us to assess the robustness of our main results to the disease and policy environments.
- We study how time trends in protective behaviour vary among key demographic groups.
- Our surveys were conducted over the phone, which runs the risk of under-representing India’s most socioeconomically disadvantaged households.
- Our measures of protective behaviour do not capture frequency or intensity within the lookback period.

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may be more useful for forecasting disease trajectory than measurements of movement alone. In addition, macro-level mobility analyses that rely on data captured from mobile phones run the risk of concealing deep disparities in both adherence and impact. These data limitations resonate particularly in low-income and middle-income countries (LMICs), where smartphone usage remains far from universal and survey data remain scarce. Understanding the ability of LMIC populations to maintain social-distancing practices over an extended period of time is especially pressing given (1) concerns that COVID-19 will disproportionately harm those living in LMICs, and (2) the fact that LMICs continue to lag in vaccine acquisition and administration, and, thus, may need to rely predominantly on non-pharmacological interventions for an extended period of time.

Understanding trends in distancing and other protective behaviours in India is significant, as it is the world’s second largest LMIC and its population is uniquely vulnerable given the country’s high population density, large share of multigenerational households and substantial population of individuals with COVID-19 risk factors like hypertension and diabetes. This vulnerability was evident as the country experienced one of the world’s deadliest waves of COVID-19, which began in April 2021. Various reasons have been cited for this resurgence, including the emergence of more contagious variants, a poorly coordinated, too-lax containment approach left in large part up to states and a lagging vaccine campaign. Critically, little rigorous data exist on the extent to which distancing behaviours were adopted and retained during the initial lockdown in 2020, or on how those behaviours changed during subsequent periods of reopening. Such insights could prove crucial to understanding the differing contexts of India’s COVID-19 waves and their severity.

To help fill this information gap, we designed and fielded a nationally representative, high-frequency phone survey of Indian households to monitor knowledge, attitudes and behaviours related to COVID-19. The survey, which also tracks the economic and health conditions of households, has been conducted bimonthly since India’s nationwide lockdown in March 2020. This initiative allows us to construct representative estimates of COVID-19 protective behaviours in India over time and to characterise how these behaviours differ across key socioeconomic groups. Unique in its scope, detail and coverage, our study is a novel contribution to the existing literature, which has focused on adherence to COVID-19 protective behaviours in specific regions or on specific populations, or used cellphone data to understand broad trends in mobility patterns.

**METHODS**

**Background: COVID-19 containment in India**

India’s central government reacted to the hastening spread of COVID-19 with an initial lockdown on 25 March 2020, implemented with less than 24 hours’ notice. Although initially meant to be in effect for 1 week, the directive was subsequently extended four times and ultimately lasted more than 2 months. The restrictions immediately halted public transportation, mandated mask wearing, closed all non-essential businesses and banned many social gatherings.

After the national lockdown ended on 31 May 2020, the central government initiated reopening through various ‘unlock’ phases while ceding future control over lockdowns and closures to individual states. Although decisions to reopen economically varied across geographies, protective behaviours—like maintaining social distance, avoiding unnecessary travel and wearing masks—remained widely encouraged; for a more in-depth look at India’s initial lockdown timeline, refer to previous work. During the unlock phases, caseloads remained low; however, the country subsequently experienced a spike in cases late in the summer and early fall of 2020. Following a lull in cases during the winter, infections again began to grow at an alarming rate starting in March 2021; by 15 April 2021, India had clearly entered a second COVID-19 surge unparalleled in the rest of the world, with nearly every state reporting a rapid growth in infections. Online supplemental figure S1 graphs the Indian COVID-19 caseload and an index capturing the stringency of India’s national policy response against our survey waves, described in detail as follows.

**The data**

We leveraged an existing study called the Harmonised Diagnostic Assessment of Dementia for the Longitudinal Ageing Study in India (LASI-DAD), a nationally representative study that aims to understand patterns in cognition and dementia among older Indians. Out of the 3316 LASI-DAD households, we contacted all 2704 who had valid phone numbers in May 2020 to invite them to participate in a bimonthly phone survey that covered various topics related to household well-being and COVID-19-related knowledge, attitudes and behaviour. All households contained at least one individual over the age of 60.

The analyses presented in this paper use four waves of survey data: Wave 1 took place from May 5 through 25 June 2020; Wave 2 took place from 7 July through 26 August 2020; Wave 3 took place from 7 September through 23 October 2020; and Wave 4 took place from 9 November 2020 through 4 January 2021. Most of the Wave 1 survey occurred while the nation was still under the initial mandatory lockdown. Additional waves of data collection are scheduled to continue through December 2021.

During Wave 1, two randomly selected household members over the age of 18 (one male and one female, if possible) were invited to participate. (Names were drawn from a household roster collected as part of the earlier LASI-DAD survey.) In subsequent waves, we aimed to maintain continuity in the interviewed household members: if an enrolled individual could not be reached, the enumerator scheduled an appointment for
a future time; if this follow-up was unsuccessful, another adult household member was selected to participate in that wave instead. In Wave 3, we attempted to enrol all primary LASI-DAD respondents (individuals over the age of 60 who had participated in prior in-person waves of data collection during 2017 through 2019). Each wave targeted all individuals who had ever participated in a past wave. As a result, some households have up to four individuals interviewed in some waves. By collecting these data at a relatively high frequency, we were able to capture behaviour changes made in the face of fast changing and dynamic policy and disease environments. The panel nature of our data also allows us to estimate within-person changes in distancing behaviour, a useful way of ensuring our results are not driven by changes in sample composition/selected survey response.

The final sample includes 3719 individuals from 1766 households; 1019 of these individuals and 665 of the households participated in all four waves (refer to online supplemental figure S2 for a breakdown of the final sample). Prior to each wave of data collection, all participants were required to provide informed, verbal consent, following protocols as approved by the Institutional Review Board (IRB) at both the University of Southern California (USC; study number UP-2000277) and the All India Institute of Medical Sciences (AIIMS; study number RP-29/2020). We use sample weights to ensure estimates are nationally representative. The Weight construction section provides additional detail. Online supplemental table S1 provides summary statistics for our sample; column 5 includes weighted statistics for individuals who participated in all four waves, while column 6 contains the unweighted statistics. Our sample over-represents older individuals (60+), as expected given our initial sample and the focus on interviewing LASI-DAD respondents. The sample also over-represents those with higher levels of education, which may reflect the fact that our survey is phone-based and phone ownership is correlated with higher education and socioeconomic status in India. The analyses herein employ weights, so they can be interpreted as nationally representative, and include all individuals from each wave. Online supplemental figure S3 shows the geographical scope of our sample. Although our study sample is mostly rural, reflecting the population distribution of the country, we also cover some of India’s megacities, including Mumbai and Delhi, which to date have experienced the country’s worst COVID-19 outbreaks.24,25

We use information on district of residence and survey date to attach contextual data on COVID-19 caseload in the preceding 2 weeks to each interview. Caseload, quantified as the daily number of new confirmed cases, was obtained from Covid19india.org, a crowd-sourced initiative that compiles daily statistics on COVID-19. (Covid19india.org collates state-level and district-level data from official bulletins and Twitter handles. Data are validated by a group of volunteers before release. For a full list of their source sites, refer to Covid19india.org.) Due to delays in the processing and reporting of test results, we chose to smooth these estimates by taking a caseload average across the 14 days prior to the survey date. Finally, using total district-level population estimates from the 2011 Census of India, we calculated the number of cases per 10,000. District-level caseload statistics were not available in Assam, Telangana and Delhi; thus, state-level statistics were used for these states. (Delhi is classified as a union territory rather than a state. However, we use the term ‘state’ to refer to both states and union territories throughout the text to simplify exposition.)

Finally, we account for national COVID-19 containment policy by using the ‘Government Response Index’ from the Oxford COVID-19 Government Response Tracker, which aggregates indicators of containment and health policy (such as school and workplace closings, restrictions on movement), economic policy (income support and debt relief) and health system policy (including facial covering policy and contract tracing). The index ranges from 0 to 100, with higher values indicating more aggressive policy action. Additional detail on index components and methodology is available in previous work.26 We use data on survey date to attach the average value of the index in the 2 weeks prior to interview onto each survey record.

### Patient and public involvement

Survey respondents were not directly involved in the study design, including the development of research questions, survey design or recruitment. There are no plans to directly disseminate the results to survey participants.

### Measures of COVID-19 protective behaviour

Non-pharmacological measures to curb the spread of COVID-19 have used a combination of mandates and public health messaging to minimise social contact across households and highlight the importance of personal hygiene. To understand the extent to which individual behaviours are aligned with these initiatives, we group behaviours tracked in our survey into three broad categories: market-based distancing behaviours, protective behaviours and social-distancing behaviours. The recall period for each individual behaviour is 7 days. Market-based behaviours include activities that may not be fully discretionary—that is, they may reflect maintaining a person’s livelihood, either through work or buying food. These activities include attending a gathering with 10 or more people, having close contact (described to respondents as ‘two arms’ lengths’) with non-household members, travelling for work and going shopping. We classify an individual as ‘market distancing’ if she/he does not report any of the aforementioned behaviours. The second group is protective behaviours, which includes the two main hygiene behaviours consistently cited as key mechanisms for decreasing disease spread: handwashing and wearing a face mask.27 We classify an individual as engaging in protective behaviour if she/he reports having done both during the recall period. Finally, social-distancing behaviours include activities that reflect individuals’ voluntary choices to gather for social reasons: visiting other households and having visitors over to one’s own household.

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are classified as ‘social distancing’ if they do not report either of these behaviours. If data for a given outcome are missing, for example, because the respondent refused to answer the question, the observation is dropped from the relevant regression.

We acknowledge that the lines between these categories are not always clear; the purpose for each behaviour was not explicitly stated, except for the question about work travel. Therefore, what we classify as market distancing may actually reflect social distancing and vice versa. To address this concern, we show that our main results are robust to recategorising some of the more ambiguous behaviours (attending 10+ person gatherings and having close contact with non-household members) either in the social-distancing or market-distancing indicator (see online supplemental table S2).

Another potential concern is that fulfilling the criteria of social-distancing or protective behaviours may be more likely because they only encompass two behaviours each, while the market-distancing indicator encompasses four. Online supplemental table S3 shows that our main results are robust to using fractional outcomes rather than binary outcomes. In addition, online supplemental tables S4-S6 provide estimates for each individual behaviour within the protective, market-distancing and social-distancing indicators, respectively.

**Weight construction**

Weights were constructed in two steps. First, we created base weights to account for the probability of selection of a household, which is determined by the probability of selection of each LASI-DAD participant and the probability of selection of household members, calculated separately for men and women (as one over the number of adult men and women, respectively). Second, we implemented a raking algorithm to obtain post-stratification weights. For this purpose, we used the following raking factors: gender (male/female)×age (18–39/40–59/60–69/70+), gender×education (no school/primary or less/middle/secondary or higher/graduate) and a rural/urban indicator. Thus, the final weights allow us to match the sample distributions of these variables with their population counterparts while also reflecting differential probabilities of selection of survey participants. Population benchmark distributions were obtained from the 2011 Indian Census for individuals aged 18 and older.

**Empirical approach**

To estimate time trends in COVID-19 protective behaviours, we use ordinary least squares regressions of the following form:

\[ y_{it} = \beta_0 + \beta_1 \text{wave}_2 + \beta_2 \text{wave}_3 + \beta_3 \text{wave}_4 + \epsilon_{it}, \]  
\[ (1) \]

where \( y_{it} \) is the distancing outcome for individual \( i \) measured at time \( t \) and \( \text{wave}_2-\text{wave}_4 \) are survey wave dummies, which identify changes in distancing behaviour relative to Wave 1.

In addition to this basic equation, we also assess whether our estimates are robust to the inclusion of individual fixed effects using the following specification:

\[ y_{it} = \beta_0 + \beta_1 \text{wave}_2 + \beta_2 \text{wave}_3 + \beta_3 \text{wave}_4 + \delta_i + \epsilon_{it}. \]  
\[ (2) \]

Finally, we present results that additionally control for COVID-19 caseloads and the Government Response Index:

\[ y_{it} = \beta_0 + \beta_1 \text{wave}_2 + \beta_2 \text{wave}_3 + \beta_3 \text{Caseload}_it + \beta_4 \text{GovtResp}_it + \delta_i + \epsilon_{it}. \]  
\[ (3) \]

where \( \text{Caseload}_it \) is the average number of positive COVID-19 cases reported in the district over the 2 weeks prior to survey date (per 10000 people) and \( \text{GovtResp}_it \) is the average value of the Government Response Index in the 2 weeks prior to survey date.

All our equations use sampling weights to ensure our estimates are nationally representative. We cluster SEs at the household level because multiple individuals per household are surveyed in any given wave.

We use the following equation to test for heterogeneity in behaviour outcomes:

\[ y_{it} = \beta_0 + \beta_1 \text{Demo}_it + \sum_{k=2}^{4} \left[ \beta_k \text{Wave}_k + \beta_{k,3} \text{Wave}_k \times \text{Demo}_i \right] + \epsilon_{it}, \]  
\[ (4) \]

where \( y_{it} \) is one of three behaviour outcomes (market-distancing, social-distancing or protective behaviours), \( \text{Wave}_k \) is a wave dummy, \( \text{Demo}_i \) represents one of four dummy demographic cuts (gender, urbanicity, age older than vs younger than 60, or highest level of education in the household is primary or less vs middle school or higher). All estimates are weighted, and SEs are clustered at the household level.

**RESULTS**

**Overall time trends**

Figure 1 shows that initial adherence to protective and social-distancing behaviours was quite high (89.9% and 87.7%, respectively), which likely reflects that much of Wave 1 occurred either during or immediately after India’s mandatory national lockdown. However, only 37.4% of individuals reported market distancing during this time, suggesting that most Indians were still engaging in some economic activities during the strictest periods of the lockdown. Figure 1 also highlights declining vigilance over time. Patterns of decline differ in important ways by behaviour type. Protective behaviours, the most stable of the four categories, saw a slight dip in Wave 2 and another in Wave 4 (declining by 3.2 and 4.3 percentage points, respectively). Social distancing, however, has seen significantly larger decreases, with a 30.6 percentage point decline by Wave 4. Finally, market-based distancing remained essentially steady between Waves 1 and 2, before dropping in Wave 3. By Wave 4, only 26.5% of individuals reported avoiding all the market-based behaviours we measure.
The first column for each behaviour in Table 1 presents results in regression form; weighted differences in behaviour for Waves 2–4 are presented relative to Wave 1. The second column assesses robustness to changes in sample composition by exploiting the panel nature of our data and using within-person variation to identify time trends. If adding fixed effects substantively changes the estimates, this would indicate that individuals who regularly responded to the survey are different from those who sporadically responded, which would raise a concern about sample composition. The final column adds controls for the COVID-19 caseload and the Government Response Index in the previous 2 weeks as a simple way to test whether relaxing behavioural restrictions reflects a shifting disease or policy environment: to the extent that behaviour simply tracks these variables with a lag, controlling for them should attenuate our initial time trend estimates. It is not appropriate to interpret the coefficients on the caseload and policy indicators as causal, however, because the direction of causality is unclear (behaviour could respond to these factors, but both caseloads and policy undoubtedly change in response to behaviour). Moreover, we are not able to control for state and local policy, which may have varied more than the national response during this time. Estimated time trends are generally robust to adding these environmental controls. While time trends in protective behaviour lose statistical significance, these coefficients were small in magnitude initially and do not change...
much. The time trends for social distancing are virtually unchanged, and the decline in market-based distancing becomes even more pronounced. Higher caseloads are associated with more protective behaviour (in line with a behavioural response to underlying disease risk), but less market-based distancing. The latter relationship could reflect increased disease transmission following the reopening of the economy. There is no significant correlation between the Government Response Index and our behavioural measures. We prefer not to overinterpret this result, as this coefficient is identified using within-survey-wave variation in the response index—if individuals take time to adjust to shifting government policy, our empirical strategy could understate the import of this variable.

Investigation of disparities
Vulnerable groups in Indian society are susceptible to disproportionate effects from the pandemic for many reasons: less-educated individuals typically do not hold jobs that can be done remotely, older individuals living with children may not be able to avoid exposure to household visitors and individuals living in densely populated cities may have a more difficult time avoiding contact with others. Behaviour may also vary by gender, given the mobility restrictions and caregiving expectations faced by many Indian women. In this subsection, we quantify how behavioural changes vary based on age, gender, urbanicity and household education.

Figure 2 shows trends in protective behaviour by age (older than vs younger than age 60), urbanicity, gender and highest level of education in the household (primary or less vs middle school or higher). At the beginning of the pandemic (survey Wave 1), we see minimal differences across groups, except that women—who are more likely to be homebound due to gender norms—are less likely to report engaging in both protective behaviours. (Consistent with the norms hypothesis, gender differences in handwashing are minimal, while differences in mask wearing are larger and significant.) Adherence among men declines over time, diminishing the gender gap. In contrast, we see a divergence in protective behaviour by age, urbanicity and education. Older individuals (60+) are much more likely to report declining protective behaviour over time, which is worrisome for a cohort that is more vulnerable to severe illness if infected. A decline is also more pronounced among rural dwellers (who have seen persistently lower caseloads) and less educated individuals, signalling higher vulnerability to future waves of infection.

Figure 3 reports trends in market-based distancing by group. During Wave 1, women and older individuals were significantly more likely to report this type of distancing, consistent with their lower levels of economic engagement. In contrast, there is virtually no difference in market-based distancing by urbanicity or education. Gender gaps remain large over time, while age gaps grow in subsequent waves, potentially driven by a return to work among younger cohorts. Finally, figure 4 reports differences in social distancing. We see high levels of social distancing in all groups during Wave 1, which decline significantly over time. Older individuals, women and urban dwellers maintain slightly higher levels of distancing in subsequent survey waves.
One limitation of our research is that it was conducted over the phone. Although mobile phone ownership is high in India, with 93 per cent of households owning a phone according to the nationally representative 2015–2016 National Family Health Survey, there are significant gaps by gender, wealth and other indicators of socioeconomic status; thus, it is possible that vulnerable households without reliable access to phones may be under-represented in our study.\(^{30,31}\) Initial evidence also suggests that in India, poorer households have suffered greater economic consequences from the lockdown,\(^{32}\) although it is less clear how this would translate into the behaviours measured in our paper; for example, market-distancing may be less common among phoneless households if they were financially unable to change work behaviour, or market distancing may be more common if this group faced higher rates of job loss. In addition, our binary measures of protective behaviour cannot capture the intensity of adherence (eg, respondents who socially distance half the time would still qualify as social distancers per our definition), which could have significant implications in terms of risk of disease exposure and spread. Finally, while we argue that our observed changes in behaviour are suggestive of growing COVID-19 fatigue, we cannot fully assess the extent to which changes in behaviour reflect personal preferences versus changes in the economic and policy environment as we lack suitable data to completely control for the underlying economic, disease and policy context. For example, reduced market-based distancing could reflect both the reopening of the economy and businesses, as well as a reduced desire to adhere to protective behaviours.

Generalisability of our findings may be limited due to how varied government responses to the pandemic have been, and particularly how stringent and immediate India’s early policy response to COVID-19 was. However, this paper also provides important context in terms of how people were or were not following best practices to reduce disease spread very shortly before March 2021, one of the deadliest outbreaks to-date in India. For example, the decline in protective behaviours we observe could have accelerated disease spread and contributed to the high rates of COVID-19-related morbidity and mortality that started shortly after our last round of data collection. Additional research is needed to rigorously estimate the causal effect of observed behaviour transmission on the trajectory of the pandemic. Additional descriptive research is also essential, as monitoring adherence to distancing guidelines and assessing how public health messaging can be optimised to ensure continued adherence over time will be essential components of India’s ongoing battle against COVID-19.

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**Figure 4** Heterogeneity in social-distancing behaviours across key demographics. Notes: Figures depict the regression coefficients of Wave×demographic interaction terms. Data are weighted, and SEs are clustered at the household level. Whiskers denote 95% CIs. Individuals are considered to be social distancing if they did not report visiting other households or having visitors to their own households. ‘Don’t know’ responses and refusals coded to missing.
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