When do rock glacier fronts fail? Insights from two case studies in South Tyrol (Italian Alps)

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Abstract

The fronts of two rock glaciers located in South Tyrol (Italian Alps) failed on 13 August 2014, initiating debris flows in their downslope channels. A multimethod approach including climate, meteorological, and ground temperature data analysis, aerial image correlation, as well as geotechnical testing and modeling, led to the reconstruction of the two events. An integrated investigation of static predisposing factors, slowly changing preparatory factors, and potential triggering events shed light on the most likely reasons for such failures. Our results suggest that the occurrence of front destabilization at the two rock glaciers can only partly be explained by the occurrence of heavy rainfall events. Indeed, antecedent hydrological and thermal ground conditions were characterized by a saturated active layer favored by a snow-rich winter and extensive precipitation in late spring and summer. Also, the rising trend of air temperature during spring and summer months since 1950s might explain the concurrent marked displacement of the two rock glaciers. Indeed, geotechnical investigations have provided strong indications that one of the investigated rock glacier fronts was at a marginally stable state prior to 2014. As rainfall events more intense than the one that occurred in August 2014 were previously recorded in the same area without resulting failures at the studied rock glaciers, we propose that both predisposing and preparatory destabilizing factors have played a key role in the 2014 rock glacier front failures.

1 | INTRODUCTION

Climate change is strongly affecting the global cryosphere and leads to an increase in permafrost temperatures (IPCC, 2019). Permafrost influences livelihoods in Arctic and high mountain areas worldwide, and its thawing has already caused negative impacts in terms of an increased occurrence of geohazards and a deteriorating quality and supply of water (GAPHAZ, 2017; Hock et al., 2019; Jones et al., 2018; Schlögel et al., 2020; Thies et al., 2013). Although permafrost exists beneath larger areas than the area of glaciers in many countries, it has received substantially less attention mainly because it is a largely invisible phenomenon (Gruber et al., 2017). This may also explain why rock glaciers, one of the few landforms that testify to the presence of permafrost in mountain areas, increasingly came into the spotlight of scientific research (Barsch, 1996; Berthling, 2011; Haeberli et al., 2006).

Although the effect of atmospheric warming on rock glaciers is not as immediate as on glaciers, they too are affected, as recent findings confirm (Delaloye et al., 2010; Jones et al., 2018). Observations show a link between long-term trends of permafrost temperatures and rock glacier displacement (PERMOS, 2019). Two internal processes determine the downslope movement of a rock glacier, namely plastic deformation of its frozen core and shearing along a distinct shear horizon (Buchli et al., 2018; Cicoira et al., 2019b; Kenner et al., 2017, 2019). Both processes are controlled by external environmental as well as internal, material-related parameters. The volumetric ice content and the temperature of the rock glacier material primarily control plastic deformation, while shearing deformation is mainly...
driven by pore pressure induced by the presence of liquid water in the shear horizon (Arenson & Springman, 2005; Cicoira et al., 2019b). The presence of “warm” ice-rich material in rock glaciers therefore may translate into an acceleration of their displacement (Avian et al., 2009; Delaloye et al., 2013; Scotti et al., 2017; Vivero & Lambiel, 2019).

Mass wasting at rock glacier fronts mainly affects the unfrozen domain of the landform, but it should not be viewed as process disconnected from its internal ice-driven deformation. Due to the advancing of the rock glacier body, a creep pressure is induced to its front, causing an oversteepening and subsequent adjustment of the slope once a critical inclination is reached. In flat terrain, this continuous reworking of the front slope creates a layer of coarse sediment at the rock glacier’s toe, which opposes the creep pressure through frictional resistance (Haebeli et al., 1998; Springman et al., 2012). If the terrain steepness at a rock glaciers toe favors the removal of such loose material, the latter may be transported downhill as debris flow, debris slide, or rock fall (Krainer et al., 2012; Kummert & Delaloye, 2018; Kummert et al., 2017; Lugon & Stoffel, 2010; Marcer et al., 2020).

Parts of the front slopes of two active rock glaciers—Hintergrat and Similaugrube—failed nearly simultaneously on 13 August 2014. The rock glaciers are about 50 km apart within the Vinschgau/Venosta Valley (South Tyrol, northern Italy) (Figure 1). Both slope failures developed into debris flows that affected downstream infrastructure. Field visits were carried out immediately after the occurrence of the events and revealed exposed ice at the detachment scarps, indicating a role of permafrost degradation among the causing factors of the two detachments (Figure 2). Besides these two rock glacier front failures, 25 other events that required an intervention of civil protection authorities occurred on the same day, including debris flows, debris floods, and floods (Figure 1). Civil protection authorities identified glaciers to be the origin of at least three debris flows.

In this paper, we aim to identify the factors controlling rock glacier front stability, quantify their magnitude and put them into an Alpine-wide context, specifically addressing the two front failures that occurred in South Tyrol in 2014. Besides the short-term triggering rainfall event, we examine medium-term changes in climate and ground temperature variables, surface displacement rates, as well as static predisposing geotechnical and topographic characteristics of our study objects, and a reference site. A multimethod approach including aerial image correlation, material testing, and slope stability modeling, as well as borehole, precipitation, and climate data analysis supports the testing of hypotheses on slope failure mechanisms. These hypotheses are stated in section 3.1, after relevant details about the sites and events have been presented. Finally, an integrated view on rock glacier front stability is provided by comparing our results with findings on comparable sites in the European Alps.

2 | STUDY SITES

The present study was conducted in the Autonomous Province of Bolzano-Bozen (South Tyrol), a region located in the Eastern Italian Alps, bordering with Austria and Switzerland. The two rock glaciers affected by front failure—Hintergrat (HIN) and Similaugrube (SIM)—are located in the Vinschgau/Venosta Valley, which is located in the western part of the province (Figure 1). Both the rock glacier inventory by Bollmann et al. (2012) and the modeling results by Kofler et al. (2020) suggest the presence of permafrost ice in both studied rock glaciers. Figure 1 shows also the location of the near Lazaun rock glacier (LAZ), which served as reference site in this study. Ground temperature (GT, accuracy 0.1°C) was measured in this latter rock glacier in two 30- and 40-m-deep boreholes (Krainer et al., 2015).

2.1 | Hintergrat rock glacier (HIN)

This rock glacier lies on the eastern flank of Ortler/Ortles (3,905 m a.s.l.), South Tyrol’s highest peak, located in the Sulden/Solda Valley, a lateral valley of the Vinschgau Valley. The rock glacier is oriented along a northeast–southwest extending crest adjacent to the Ende-der-Welt glacier, a glacier mostly covered by debris. The rock glacier setting is quite peculiar both in terms of topography and geology. Regarding the former, well-developed furrows and ridges on the upper part of the rock glacier indicate a flow line along the crest. The front is connected downslope to a steep channel, which makes HIN a type B rock glacier according to the classification of Kummert et al. (2017) (Figures 1 and 2). In terms of geology, HIN lies on two geological units, the Ortles nappe (dolomitic rocks) and the Campo nappe (metamorphic rocks) (Mair et al., 2007; Stengl & Maïr, 2005). However, the sediment found within the rock glacier derives from the Ortles nappe only and consists partially of till (Table 1).

On 13 August 2014, during a rainfall event, a lateral part of the rock glacier front failed forming a debris flow in the Schrei creek channel. Within the channel, the debris flow entrained morainic and colluvial sediments and severely damaged hiking trails. The debris flow mostly deposited on the Schrei Creek fan, but part of the volume reached the Sulden/Solda River near the Village of Sulden (about 1,900 m a.s.l.). Post-event surveys were carried out by the local Civil Protection Agency and revealed a deposited volume of approximately 11,000 m³, with boulders up to 3.5 m in diameter. Figure 2 shows the HIN rock glacier front with the clearly visible detachment scar on the left side, where patches of clean ice are visible. Signs of erosion were visible at the failure zone on the eastern flank of the front slope prior to 2014. Apparently, water outflow at the rock glacier snout was concentrated at this pre-existing channel. The slope failure was followed by two minor events on 8 June 2015 and 12 July 2016.

2.2 | Similaugrube rock glacier (SIM)

The Similaugrube (SIM) rock glacier is located in a southwest-oriented cirque below the Similaun peak (3,599 m a.s.l.), which lies along the main Alpine ridgeline. Similarly to HIN, SIM is also a type B rock glacier, according to Kummert et al. (2017), as its front is connected to a steep channel (Figures 1 and 2). The rock glacier is mainly nourished by talus derived from the adjacent rock cliffs characterized by metamorphic rocks including schists belonging to the Ötztal-Stubai basement (Table 1). Morphologically, SIM features well-defined ridges and furrows.

On 13 August 2014, and nearly simultaneously with the triggering event at HIN, part of the SIM front slope failed. The detachment was clearly visible as a longitudinal scar in the front slope, about 190 m long.
and 45 m wide. The detached material formed a debris flow along the Tisental Creek, eventually stopping into the Vernagt/Vernago hydropower reservoir. Image “SIM B” of Figure 2 was taken immediately after the failure event. Two layers of ice-rich debris are visible at the detachment scarp, and the ice-rich permafrost body below the debris mantle of the rock glacier can also be seen. While the failure zone of 2014 apparently was unaffected by previous detachments, scarps of smaller debris slides were visible on the northern part of the front. The only additional event present in the regional inventory of geo-hydrological hazards is a small debris flow on 29 July 2014.

3  |  DATA AND METHODS

3.1  |  Selection of destabilizing factors and development of hypotheses

A set of potentially destabilizing factors was identified based on previous findings in literature (see introduction). We differentiated between temporally constant (predisposing), slowly changing (preparatory), and rapidly varying (triggering) destabilizing factors (Glade & Crozier, 2005; Steger, 2017). This concept was recently used to discuss causes for collapses of rock glaciers (Bodin et al., 2017) and their front slopes (Marcer et al., 2020) in the French Alps. Within the context of this approach, we aim to test three hypotheses on interactions of destabilizing factors:

Hypothesis 1: Predisposing sedimentary composition and the triggering rainfall event were the causes for the failure events.

Hypothesis 2: Medium-term climatic and meteorological destabilizing preparatory factors affected the subsurface thermal and hydrological conditions of the study rock glaciers, in addition to the sedimentary composition and the triggering rainfall event.

Hypothesis 3: Rock glacier displacement over the previous years increased the predisposition to failure of the front slopes and acted together with the processes listed for hypothesis 2.
A geotechnical study including material testing and slope stability modeling as well as a reconstruction of rainfall conditions during the failure events were conducted to test hypothesis 1 (section 3.3.2 and 3.4). An analysis of ground temperature (GT) and key climate variables such as air temperature, precipitation and snow water equivalent (SWE) was carried out to test hypothesis 2 (section 3.3.1 and 3.5). Hypothesis 3 was tested by reconstructing surface movement of both sites based aerial image correlation (section 3.2).

### 3.2 | Rock glacier movement

Orthoimages were used to calculate horizontal movement of both studied rock glaciers over 14 years for HIN (2006–2019) and 15 years for SIM (2000–2014). The orthoimages taken in the years 2000, 2006, 2008, and 2014 are freely available on the WebGIS portal of the South Tyrolean provincial government. The 2016 and 2019 products were instead taken during ad hoc photogrammetric and LiDAR (light detection and ranging) flights, respectively. Rock glacier movement was reconstructed by extracting 2D displacement vectors between image-pairs of orthoimages. The image-pairs were firstly manually co-registered in ArcGIS 10.7.1. The IMCORR algorithm (Bernstein, 1983), implemented in the open-source software package SAGA GIS 6.3.0 (Conrad et al., 2015), was deployed within the statistical computing software R (R Core Team, 2017). The RSAGA package (Brenning, 2008) was then used to compute surface displacement rates between two subsequent images. The threshold of detectable movement was identified by calculating the mean surface displacement rate within a manually mapped flat, presumably stable bedrock area. The obtained dataset was cleaned by removing vectors below the lower threshold of detection, over snow patches, shaded areas or those with an unlikely large displacement rate or direction (e.g., uphill). A mean annual displacement rate in m year\(^{-1}\) was computed by averaging movement vectors for various sub-areas of HIN and SIM. A continuous dataset of absolute displacement rates and direction was created for each period by interpolating the relative variables using the “Ordinary Kriging” method.

### Table 1 | Basic information regarding position, elevation, geometry, and bedrock geology of the two studied sites and their contributing areas

|       | HIN          | SIM          |
|-------|--------------|--------------|
| Location | 46°30.469'N/10°34.418'E | 46°45.286'N/10°51.645'E |
| Front elevation [m a.s.l.] | 2,700 | 2,750 |
| Aspect [-] | NE | 9W |
| Length [m] | 290 | 780 |
| Width [m] | 145 | 400 |
| Rock glacier area [m²] | 33,300 | 282,400 |
| Contributing area [m²] | 117,400 | 1,633,200 |
| Bedrock material [-] | Dolomite | Paragneiss |

A geotechnical study including material testing and slope stability modeling as well as a reconstruction of rainfall conditions during the failure events were conducted to test hypothesis 1 (section 3.3.2 and 3.4). An analysis of ground temperature (GT) and key climate variables such as air temperature, precipitation and snow water equivalent (SWE) was carried out to test hypothesis 2 (section 3.3.1 and 3.5). Hypothesis 3 was tested by reconstructing surface movement of both sites based aerial image correlation (section 3.2).
algorithm, also available in SAGA GIS. The quality of each interpolated map was evaluated based on a k-fold cross validation (CV) and expressed in terms of a root mean square error (RMSE). Rock glacier movement and flow direction over the whole period were obtained by merging the gridded maps of the single periods.

### 3.3 Climate and meteorological parameters

#### 3.3.1 Climate trends

Spatially interpolated datasets (250 m) of air temperature ($T_a$) and precipitation (P) at a monthly and daily temporal resolution based on the meteorological station network of South Tyrol and its neighboring regions were available for this study (Crespi et al., 2021). The gridded monthly datasets of $T_a$ and P cover the periods 1956–2018 and 1950–2018, respectively. The daily datasets of both $T_a$ and P range from 1980 to 2018. Time series of monthly and daily P and $T_a$ values were extracted from the gridded datasets at the locations of HIN, SIM, and the nearby LAZ rock glacier (Figure 1). Snow water equivalent (SWE) was reconstructed for HIN, SIM, and LAZ with a degree-day model implemented in the hydrological modeling infrastructure GeoFrame (Formetta et al., 2011, 2014). Details about the modeling process can be found in the additional material and in Table S1-S3 and Figure S1.

A Mann–Kendall test was applied on seasonal aggregates of the monthly time series of $T_a$ and P to study whether they have significantly changed since the 1950s (Kendall, 1957; Mann, 1945). The Theil–Sen estimator was used to compute the slope, that is, an annual change rate of the trend component (Sen, 1968; Theil, 1950). Derivatives of $T_a$, P, and SWE were computed to provide an enhanced comprehension of the climatic conditions that led to the failures. The positive degree day sum from the beginning of the year until the failure date (1 January to 13 August, PDD) for the daily time series 1980–2018 was computed for HIN and SIM to approximate the available energy for melting per year until the failure date (cf. Hartl et al., 2016). The cumulated precipitation for the months of June, July, and August ($P_{6\text{-}8}$) from 1950 to 2018 was computed to study the effect of liquid precipitation input during later spring and summer into the rock glacier system. The maximum SWE (SWE$_{\text{max}}$) for each winter season from 1980 to 2018 was extracted from the daily time series, beside the number of days until zero SWE (SWE$_{0}$) was reached. To approximate the intensity of melt water input, an average melting rate (MLT) in mm d$^{-1}$ for the period between SWE$_{\text{max}}$ and SWE$_{0}$ was computed.

#### 3.3.2 Rainfall depth and intensity prior to failure

Sub-hourly rainfall data was gathered from the meteorological stations Madritsch (MAD) and Vernagt (VER, Figure 1). Madritsch is the closest station to the HIN rock glacier (~3.5 km) and is located at 2,825 m a.s.l. About 2.6 km separates the SIM rock glacier from Vernagt, which is located at 1,700 m a.s.l. Both stations record precipitation at 5-min intervals. The continuous time series of precipitation records at both stations were discretized heuristically into single rainfall events. The criteria to separate two events were: (i) a cumulative amount of rainfall greater or equal to 0.5 mm and (ii) 6 h of rainfall smaller than 1 mm in between. Rainfall depth E (mm) and mean intensity I (mm h$^{-1}$) were computed for each event and plotted against its duration D (h). A threshold separating the 50% and 95% cumulative frequency of all events was calculated with the frequentist approach presented by Brunetti et al. (2010). Existing intensity-duration (ID) and event-duration (ED) curves for debris flow initiation in South Tyrol and the neighboring province Trentino were shown for comparison (Marra et al., 2016; Nikolopoulos et al., 2015).

### 3.4 Geomorphological and geotechnical characteristics

Geotechnical characteristics of the rock glacier fronts were studied to infer their stability. Sediment samples were taken at HIN (August 2018), SIM (September 2019), and LAZ (October 2018) to derive key geotechnical parameters such as grain size distribution (GSD), internal friction angle ($\phi$), and apparent cohesion ($c'$). GSD of the coarse debris layer on top of the rock glaciers was obtained by performing in-situ grid counts (Bunte & Abt, 2001). Fine sediment was extracted from about 1-m-deep trenches dug at the front (HIN, SIM, and LAZ) and lateral (HIN and LAZ) slopes of the rock glaciers (Figure 6). After sieving, direct shear tests were conducted to determine $c'$ and $\phi$ of the sediment samples (cf. Curry et al., 2009; Rathbun et al., 2008). Two tests were performed for each sample with a varying range of normal stresses ($\sigma_N$); during the first test the range of $\sigma_N$ varied between 0 and 100 kPa, while during the second test $\sigma_N$ varied between 45 and 200 kPa. The values for $c'$ and $\phi$ were obtained by applying the Mohr–Coulomb failure criterion of linearity between $\sigma_N$ and the measured peak shear strength $t_{\text{max}}$.

To complement our set of geotechnical parameters, we firstly conducted a literature research on direct shear tests of material derived from glacial and periglacial landforms (moraines, rock glaciers, and scree slopes). Second, we performed a probabilistic back-analysis of the failure events (cf. Lacasse et al., 2017; Tang, 1984; Zhang et al., 2010). The sliding surface relative to the August 2014 events had to be reconstructed first. For this, we extracted a reference- and a post-failure cross section from a set of multitemporal digital surface models (DSMs) taken in 2006 and 2016. The former DSM derives from an airborne LiDAR survey of the entire province of South Tyrol, and features a geometric resolution of 2.5 m and a vertical accuracy of 0.55 m. The 2016 DSM was photogrammetrically generated over the glacial areas of South Tyrol and has a geometric resolution of 0.5 m and a vertical accuracy of 0.1 m. We computed a rock glacier thinning rate (m year$^{-1}$) by subtracting the 2006 DSM from the 2016 DSM for central areas of HIN and SIM (i.e., by excluding frontal and rooting zones) and dividing the elevation loss by the number of years (11) between the acquisition of the DSMs. The calculated annual thinning rate was then applied to the reference and post-failure cross section. Model parameters $c'$, $\phi$, and pore pressure were treated as random variables, characterized by a mean and standard deviation (e.g., Nadim, 2007).

Pore pressure was expressed as a fraction of vertical stress exerted by the soil column on the sliding plane and denoted as $r_s$ (Bishop & Morgenstern, 1960; El-Ramly et al., 2005). Slope stability was computed through a 2D limit-equilibrium method available in the software package “Slide2” (Rocscience, 2019). Bishop's simplified method was applied to model the slope failure (Bishop, 1955). Random parameter sets (n = 1,000) were generated with a Latin hypercube sampler. A global minimum slip surface was identified firstly by a
deterministic slope stability analysis. Then, a probabilistic analysis was carried out on the global minimum slip surface, using the randomly generated parameter samples. Four model simulations featuring different configurations of $c'$, $\varphi$, and $r_u$ were performed to determine their relevance for the stability of the examined slopes:

1. A dry and cohesionless sediment characterized only by $\varphi$ was modeled. We assumed $\varphi$ to be normally distributed with its maximum measured value as mean.

2. An additional strength-component was included by adding a range of $c'$ to the properties of the displaced material. We represented $c'$ by a lognormal distribution to provide a higher frequency of low-cohesion values to the model, with the purpose to better represent the unconsolidated nature of sediments at rock glaciers' fronts.

3. Pore pressure was added to the material of configuration 1 by including a normal distribution for $r_u$.

4. Pore pressure was added to the material of configuration 2 by including a normal distribution for $r_u$.

For each configuration, we computed the mean factor of safety (FS) and the probability of failure (PF), that is, the fraction of model runs that resulted in a FS < 1.

3.5 | Ground thermal conditions

We inferred pre-failure subsurface conditions at HIN and SIM by analyzing data collected at the nearby LAZ rock glacier (borehole 1). This site is fed by talus from paragneiss and micaschist bedrock. In summer 2010, the active layer in borehole 1 (the one considered in this study) was found to be 2.8 m thick. The active layer and the upper sections of the permafrost body consist mainly of coarse-grained material with maximum particle diameters of up to 30 cm (Krainer et al., 2015). Ground temperatures (GT) of LAZ at 1, 2, 5, and 15 m depth were compared with $T_a$ (daily values and monthly trend) as well as the modeled SWE at the borehole location. In addition, the autumn and spring zero-curtains were identified. The zero-curtain is defined as the period of constant active layer GT at or slightly below 0°C, which is observed in spring and autumn. This phenomenon occurs due to the release of latent heat generated during the freezing and melting process of water present in the active layer (French, 2007). Hence, an extensive zero-curtain can be indicative for a high moisture content in the active layer (Hoelzle et al., 2003; Kenner et al., 2019; Zenklusen Mutter & Phillips, 2012).

4 | RESULTS

4.1 | Rock glacier movement

The orthoimage pairs 2006–2008, 2008–2016, and 2016–2019 were used to compute the HIN rock glacier movement, whereas for SIM the orthoimages taken in 2000, 2006, 2008, and 2014 were used. Orthoimages of 2000 and 2014 were not reliable for HIN due to the extensive snow cover, and 2016 and 2019 products were not available for SIM. The minimum detectable displacement rate varied between 1.08 m (SIM, 2006–2008) and 0.39 m (HIN, 2016–2019). The cross validation revealed a linear model to best interpolate movement vectors, providing RMSEs ranging from 0.09 (HIN, 2006–2008) to 0.57 (SIM, 2008–2014). Both rock glacier movement maps show the largest values at the frontal parts of the rock glacier (Figure 3). A movement of 25.6 m over 15 years was found for Zone 2 of SIM.

![Figure 3](https://wileyonlinelibrary.com)
Surface displacement rates decrease nearly concentrically with increasing distance from this “hotspot.” Additionally, zone 2 underwent a deceleration phase from 2000 to 2006 to 2006–2008 from nearly 1 m year\(^{-1}\) to 0.75 m year\(^{-1}\), whereas the rest of the landform accelerated nearly uniformly. From 2008 on, zone 2 was found to increase flow velocity again to over 1 m year\(^{-1}\), in contrast to the other zones, which slowed down. Analogously, also at HIN the highest displacement rates were found at zone 1, which represents the steeper, frontal part. This part of HIN moved by 10.8 m over 14 years. At HIN rock glacier, both zones accelerated between 2008 and 2016. Then, from 2016 the movement of both parts of the rock glacier decreased, zone 1 to about 0.35 m year\(^{-1}\) (Figure 3). In summary, although for both sites no clear temporal trends of annual displacement rates could be observed, their frontal parts showed higher absolute surface displacements over the considered periods with respect to the rest of the landforms.

### 4.2 | Climate and meteorological parameters

#### 4.2.1 | Climate trends

In contrast to the absence of a clear temporal trend in rock glacier movement pattern, a statistically significant increase in average air temperature (\(T_a\)) for the winter, spring, and summer months for Hintergrat and for spring and summer for Similaungrube is statistically detected (Table 2). Instead, no statistically significant change in average precipitation (\(P\)) is evident. The positive degree-day sum (PDD) in 2014 was around the long-term average at both sites (i.e., 408°C/64th percentile at HIN and 164°C/42nd percentile at SIM). The cumulated precipitation for the months of June, July, and August (\(P_{\text{JJA}}\)) was apparently higher in summer 2014 (326 mm/86th percentile at HIN and 353 mm/90th percentile at SIM). In the winter season 2013–2014, \(\text{SWE}_{\text{max}}\) was high on both HIN and SIM. At HIN, hydrological model results show 594 mm (on March 3 2014), which corresponds to the 95th percentile, while at SIM the highest amount of the time series was modeled (787 mm on 4 June 2014). In the winter season 2013–2014, the average melting rate (MLT) was lower at HIN (7.3 mm day\(^{-1}\)/32nd percentile of the time series) than at SIM (19.7 mm day\(^{-1}\)/84th percentile of the time series). The low MLT at HIN is mainly due to the long period of 75 days between \(\text{SWE}_{\text{max}}\) and \(\text{SWE}_{\text{eq}}\), which is the longest melt period from 1980 to 2018 (Figure 4).

#### 4.2.2 | Rainfall depth and intensity prior to failure

In addition to the very high cumulative rainfall in summer 2014, high-magnitude precipitation events immediately prior to failure were recorded at the Madritsch and Vernagt rain gauges. The former registered a mean rainfall intensity of 2.9 mm h\(^{-1}\) and a rainfall depth of 48.5 mm over a duration of 16.8 h. This event lies above the 95% cumulated frequency of all the storm events since January 2009. Also, this event exceeds the thresholds for debris flow initiation in the provinces of Trentino and South Tyrol proposed by Nikolopoulos et al. (2015) and Marra et al. (2016). At Vernagt, a mean rainfall intensity of 2.3 mm h\(^{-1}\) and a rainfall depth of 35.3 mm for 15.3 h were measured. Hence, the event falls slightly below the 95% cumulated frequency again considering all storms since September 2003. However, here the threshold for debris flow initiation by Nikolopoulos et al. (2015) is exceeded, whereas the one by Marra et al. (2016) is not (Figure 5).

### 4.3 | Geomorphological and geotechnical characteristics

The failure at HIN apparently removed a lateral part of the active layer consisting of pebble-sized clasts embedded in a matrix of fine material. As the exposed ice patch appears to be smooth, without visible signs of rupture, we excluded a failure of the frozen core (Figure 2). The actual thickness of the partially exposed ice core of HIN could not be determined. As visible in Figure 2, the lithology of the surrounding hillslopes of the rock glacier terminus is metamorphic, which confirms that HIN has advanced from the “Ortler” nappe onto the “Campo” basement. Therefore, it is reasonable to assume that the coarse-sized, probably in-situ generated metamorphic sediments adjacent to the rock glacier front might also be present below the finer grained, dolomitic rock glacier material (Figure 6). At SIM, the upper end of the detachment scar coincides with a spring outlet, which most likely marks the interface between the permafrost table and the partially removed active layer. The outcrop of two ice-debris layers further below is further evidence of the presence of ground ice. Hence, the most likely failure mechanism at SIM was a partial detachment of the active layer along a sliding plane of debris-laden ice. As for HIN, the true extension of the ground ice body is unknown (Figure 6).

The GSD curves of samples taken at the front and lateral slopes indicate a well graded, unconsolidated, and mainly non-cohesive material. The main grain fraction of the front slope material resulted to be coarse to medium gravel for SIM, and fine to medium gravel for HIN. A substantial amount (i.e., 19.3%) of fine material was found in the lateral slope of HIN (Figure 7). We measured friction angles \(\phi\) of 36.6° (low normal stress \(\sigma_N\) and 30.9° (high \(\sigma_N\) for HIN and 38.8° (low \(\sigma_N\) and 33.0° (high \(\sigma_N\) for SIM (Figure 8). Figure 6 shows a comparison of the slope angles at the terminal parts of the study objects with the obtained relative maximum \(\phi\) (i.e., 36.6° for HIN and 38.3°

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**Table 2** Yearly change rate for seasonal aggregates of P and \(T_a\) for HIN and SIM obtained by the Theil–Sen estimator and significance level according to the Mann-Kendall test; (*) \(p < 0.1\), (**) \(p < 0.05\), (***) \(p < 0.01\). (−) indicates no significant trend. For a visual comparison of the trends, see Figure 4.

| Variable | Site | DJF | MAM | JJA | SON |
|----------|------|-----|-----|-----|-----|
| \(T_a\) [\(^{\circ}\text{C} \text{year}^{-1}\)] 1956–2018 | HIN | 0.007*** | 0.014*** | 0.015*** | - |
| | SIM | - | 0.009* | - | - |
| \(P\) [mm year\(^{-1}\)] 1950–2018 | HIN | - | - | - | - |
| | SIM | - | - | - | - |
The front slope at HIN is generally less steep than $\phi$, except the lateral flank where the failure occurred. SIM in contrast, was characterized by large portions of front slope angles steeper than $\phi$. After the events, slope inclination in the failure area of both sites corresponded more or less uniformly to $\phi$. The high-slope angles in the post-failure area of SIM indicate the upper and lateral detachment scarps.

**FIGURE 4** Long-term trends of P, SWE and TA for HIN and SIM generated by the “STL” algorithm (Cleveland et al., 1990) (a–c). Yearly values of PDD, $P_{\text{JJA}}$, SWE$_{\text{max}}$ and MLT for HIN and SIM. X-axis labels of snow-related variables (SWE$_{\text{max}}$ and MLT) denotes the second half of winter season (e.g., “1986” refers to the winter season 1985–1986) (d–g). Gray dashed vertical lines indicate failure date 13 August 2014. See Table 2 for Mann-Kendall trend analysis of TA and P [Color figure can be viewed at wileyonlinelibrary.com]

**FIGURE 5** ID relationships for Madritsch (MAD) and Vernagt (VER), 50% and 95% cumulated frequency of all registered events and threshold of 5% exceedance probability of summer debris flow initiation for Trentino and South Tyrol of Nikolopoulos et al. (2015) (upper row). ED relationships for MAD and VER, 50% and 95% cumulated frequency of all registered events and threshold of 5% exceedance probability of debris flow initiation for Trentino and South Tyrol of Marra et al. (2016) (lower row). Data refers to period 1 January 2009 to 9 September 2018 (MAD) and 1 August 2003 to 31 December 2018 (VER). Rainfall events related to studied slope failures are highlighted with black circles [Color figure can be viewed at wileyonlinelibrary.com]
Direct shear tests resulted in $c'$ equal to 14.9 kPa (low $\sigma_N$) and 19.1 kPa (high $\sigma_N$) for SIM, and 0 kPa (low $\sigma_N$) and 23.1 kPa (high $\sigma_N$) for HIN (Figure 8). This latter value is surprisingly high considering the grain size distribution of the material. However, we attribute the high $c'$ values not to the properties of the sampled material but to the geotechnical testing procedure. Our samples were tested under the Mohr-Coulomb assumption of linearity between shear strength $\tau_f$ and normal stress $\sigma_N$, where $\tan(\phi)$ defines the slope and $c'$ the $y$-axis intercept of the fitted linear function. However, it is known that this relationship might be also non-linear and tends to overestimate slope stability due to a too high $c'$ (e.g., Charles & Soares, 1984). Consequently, the parameter range for geotechnical modeling was further constrained by additionally considering literature values of geotechnical parameters measured for rock glaciers, moraines and talus slopes (Figure 8). Maximum $\phi$ obtained by direct shear testing was set as mean and literature values defined the parameter range. Values for $c'$ were instead entirely assigned based on literature values (Figure 8, Table 3).

The first modeling configuration resulted shows marginally stable conditions for the HIN rock glacier, with mean $FS = 1.00$ and $PF = 50.6\%$. $PF$ equals 100\% below $36^\circ$, and gradually decreases
between 36° and 38°. For the SIM rock glacier, the first configuration resulted in more stable conditions, with PF = 100% only if \( \phi \) is < 34° (i.e., FS = 1.14 and PF of 11.5%) (Table 4, Figure 9). The second model simulations led to a stabilization of HIN with a mean FS = 1.16 and a PF = 8.7%. Already a \( c' = 1.5 \) kPa caused a decrease of PF to by 20%. The stabilizing effect of \( c' \) was found to be even more pronounced at SIM, where the mean FS rose to 1.23 and PF dropped to 1.2%. Over the entire range of \( c' \), no substantially high PF was obtained. Adding pore pressure to the model led to a remarkable destabilization of both slopes (model configuration 3); the mean FS of HIN dropped to 0.76 and PF rose to 96.5%. PF did not lower significantly for the whole range of \( \phi \). Also for SIM, the presence of pore pressure resulted in a FS < 1; a \( \phi \) beyond 42° would lower FS by around 20%. The fourth model setup including \( \phi, c' \) and \( r_u \) resulted in a mean FS for HIN = 0.92 and an overall PF = 75.7%. Already at a \( r_u \) between 0.08 and 0.1 PF is > 50%. The last simulation provides overall unstable conditions also for SIM, with FS = 0.97 and PF = 62.6%. However, PF over the range of \( r_u \) is generally lower compared to HIN (Table 4, Figure 9). In summary, geotechnical investigations suggest a more failure-prone material for HIN, where particle cohesion may have provided additional strength. SIM was found to be characterized by a coarser material with a higher friction angle allowing for a steeper slope setting.

### Table 3: Input parameter range for geotechnical modeling

| Parameter | Distribution | Site | Mean (μ) | Standard deviation (σ) | Value range |
|-----------|--------------|------|----------|------------------------|-------------|
| \( \phi \) [°] | Normal | HIN | 36.6 | 3 | 28-54.1 |
| | | SIM | 38.3 | 3 | 28-54.1 |
| \( c' \) [kPa] | Lognormal | HIN | 3 | 1 | 0-10 |
| | | SIM | 3 | 1 | 0-10 |
| \( r_u \) [-] | Normal | HIN | 0.15 | 0.05 | 0-0.3 |
| | | SIM | 0.15 | 0.05 | 0-0.3 |

### Table 4: Probability of failure (PF) and mean factor of safety (FS) for modeling configurations 1–4 for HIN and SIM

| Site | Model configuration | HIN FS | PF | SIM FS | PF |
|------|---------------------|--------|----|--------|----|
|      | 1                   | 1.00   | 50.9% | 1.14 | 11.5% |
|      | 2                   | 1.16   | 8.7% | 1.23 | 1.2% |
|      | 3                   | 0.76   | 96.5% | 0.89 | 81.0% |
|      | 4                   | 0.92   | 75.7% | 0.97 | 62.6% |

### 5 | DISCUSSION

#### 5.1 | Triggers of the rock glacier front failures and their regional context

The studied rock glacier front slope failures occurred in response to precipitation events on 13 August 2014. Both rainfall depth-duration (ED) and intensity-duration (ID) analysis revealed these events to be around the 95% cumulated frequency of all the rainfall events.
recorded in the period 2009–2018 and 2003–2018 at the available rain gauges of Madritsch and Vernagt, respectively (Figure 5). Our findings are in line with Kummert et al. (2017), who identified infrequently high amounts of rainfall as triggers for retrogressive erosion on rock glacier fronts in the Swiss Mattertal. The clear “tipping” role of low-frequency, high-magnitude rainfall events contrasts to the findings by Marcer et al. (2020), who identified many small- and medium-intensity rather than one high-intensity rainfall event as the cause of the Lou rock glacier slope failure (Table 6).

Several additional natural hazard events were registered on 13 August 2014. It is remarkable that a large portion of debris flows and debris floods occurred in high-elevation catchments (i.e., Sulden Valley, Schnals Valley and Ridnaun/Ridanna Valley), and for quite a few of them a direct glacial or periglacial origin was identified (cf. section 2.3, Figure 1). We argue that this points out the role of destabilizing preparatory factors which have affected the frozen ground at these sites. Indeed, the high number of registered events over a large area also shows that the strong precipitation was not a local anomaly.

### 5.2 Preparation of slope failures

In the context of the investigated rock glacier front failures, air temperature might not have been a major driving force as average values
for the positive degree-day sum in 2014 have shown. Moderate temperatures in 2014 were not surprising since cumulated precipitation for the summer months revealed June, July, and August 2014 to be exceptionally wet. The maximum snow water equivalent (SWE) values in the hydrological year of 2014 were among the highest over the entire time series of 1980–2018, which indicated an important role of snow melting and snow cover (Figure 4, Table 2). Again, our findings are congruent with results of Kummert et al. (2017), who identified phases of intense snow melting as drivers for erosion occurring at rock glacier fronts (Table 6). In analogy to the abundant SWE, ground temperatures in the borehole of the Lazaun (LAZ) rock glacier were higher compared with the previous winter seasons (Figure 10). For comparison, GT in the winter season 2011–2012 was low, and we attribute this fact to the late and thin snow cover that has enabled a throughout cooling of the active layer. The spring zero-curtain in 2012 then was shorter than in the following years due to the reduced melting period of snow and the lower input of melt water. Then, during the winter season 2013–2014, an opposite situation to 2011–2012 was observed, as the insulating effect of the abundant snow cover apparently led to higher ground temperatures (Table 5). Finally, the 2014 melting season initiated under moist ground conditions. The melting of the extensive snowpack as well as the anomalously abundant spring and summer rainfalls have likely shifted the rock glacier slopes even closer to their marginal stability. Melt rate, if any, played a role only on SIM, while on HIN the snow cover decreased over a relatively long period, which can be explained by the average values of TA in 2014 (Figure 4). Marcer et al. (2020) again reported a contrary situation preceding the front slope failures at the Lou rock glacier in August 2015. There, a snow-poor winter followed by a heat wave between mid-June and mid-July were thought to have favored a substantial ground warming.

Atmospheric temperatures control the thermal regime of rock glaciers through heat conduction (Cicoira et al., 2019a; Haebeli et al., 2006). Consequently, permafrost temperatures within HIN and SIM probably have risen as the trend of a multiannual increase of TA during the winter season 2013–2014, which can be explained by the average values of TA in 2014 (Figure 4). Marcer et al. (2020) again reported a contrary situation preceding the front slope failures at the Lou rock glacier in August 2015. There, a snow-poor winter followed by a heat wave between mid-June and mid-July were thought to have favored a substantial ground warming.

5.3 | Predisposing factors

The predisposition for failure of both slopes was essentially driven by local topographic and sedimentary conditions. In fact, HIN and SIM are connected in terms of sediment fluxes to their respective downstream channel (Figure 2). This connection has been enabling the removal of sediments at the rock glacier front and thus prevented the creation of a stabilizing, buttressing layer of coarse debris at their toes. The stability of the investigated rock glacier snouts therefore was provided only by the geotechnical properties of the fine-grained front slope material. The first modeling experiment has shown that HIN is marginally stable if ψ corresponds approximately to the slope angle. The same experiment resulted in a substantially lower failure probability (PF) of SIM, indicating that ψ alone can provide a reasonable degree of stability. The fact that slope portions of HIN and SIM were found to be steeper than the measured ψ might stem from several reasons (Figure 9). Firstly, the size of our geotechnical samples was rather small, and some slope portions may have a higher ψ than what we have measured. Secondly, it is reasonable to assume that a complete absence of c’ was not the case. Studies conducted on steep, partially saturated moraine slopes—with a comparable GSD—showed that negative, suction-driven pore pressure could increase soil apparent cohesion and therefore its shear strength (Springman et al., 2003; Teyssiere, 2006). Indeed, the second modeling configuration for HIN shows that little values for c’ (i.e., 1.5 kPa) may lower PF substantially (Figure 9, Table 4). As the third modeling simulations did not consider c’, this might be the most representative of the real conditions occurred during the failure, as suction-derived cohesive strength disappears once soil approach saturation. The high

| Hydrological year (01.10–30.09) | SWE max [mm] | Snow cover period | GT min [°C] | Zero curtain duration [d] | Zero curtain period |
|--------------------------------|--------------|-------------------|-------------|--------------------------|-------------------|
| 2011–2012                      | 304.5        | Nov. 7 - May 30   | −4.2        | Autumn 42; Spring 22     | May 20–July 1     |
| 2012–2013                      | 524.9        | Oct. 26 - June 20 | −2.8        | Autumn 22; Spring 66     | May 6–July 11     |
| 2013–2014                      | 592.3        | Oct. 10 - June 18 | −1.4        | Autumn 97; Spring 53     | May 23–July 15    |
| Site | Hintergrat (I) | Similaungrube (I) | Dirnu (CH) | Gugla (CH) | Tsarmin (CH) | Ritigraben (CH) | Lou (F) |
|------|---------------|------------------|-----------|------------|-------------|----------------|--------|
| Failure type | Active layer failure | Active layer failure | Erosion | Erosion | Erosion | Erosion, active layer failure | Linear regressive erosion, active layer detachment |
| Process type | Debris flow | Debris flow | Rock fall, debris slide, superficial and concentrated flow | Rock fall, debris slide, superficial and concentrated flow | Rock fall, debris slide, superficial and concentrated flow | Debris flow | Debris flow |
| Frequency of events | Isolated events | Isolated events | Continuous low magnitude vents, occasional high magnitude events (concentrated flow) | Continuous low magnitude vents, occasional high magnitude events (concentrated flow) | Continuous low magnitude vents, occasional high magnitude events (concentrated flow) | Occasional debris flows | Isolated events |
| Predisposition | | | | | | | |
| Altitude | 2,700 | 2,750 | 2,530 | 2,620 | 2,460 | 2,550 | 2,800 |
| Exposure | NE | SW | W | W | W | W | N |
| Surface and subsurface composition | - Pebble surface layer embedded in fine matrix | - Bouldery surface layer | - Presumably debris-laden ice | - Bouldery | - Fine grained at front | - Presumably high interstitial ice content | - Bouldery | - Three superimposed shear horizons | - Probably low ice content | - Openwork boulders | - Shear horizon 15 m below front line | - High ice content excluded | - Coarse blocky | - Ice-rich | - Temperate, water-rich permafrost | - Talik at ca. 12 m depth | - Pebble surface layer | - Ice content varying from massive to low |
| Preparatory | | | | | | | |
| Displacement rate [m year⁻¹] | 0.1 – 1 | 0.1 – 1 | 1 – 10 | 1 – 10 | 1 – 10 | 0.1 – 10 | 0.1 – 10 |
| Antecedent snow conditions | - Thick snow cover | - Thick snow cover | - Intense snow melt | - Intense snow melt | - Intense snow melt | Thin snow cover | |
| - High SWE | - High SWE | - Heavy or repeated rainfall⁵ | - Heavy or repeated rainfall⁵ | - Heavy or repeated rainfall⁵ | - Warm and wet summers | Drought period | |
| Antecedent atmospheric conditions | - Average Tₑ | - Average Tₑ | - Intense snow melt | - Intense snow melt | - Intense snow melt | Advecitve and convective rainfall | High frequency – low magnitude |
| - High cumulative rainfall | - High cumulative rainfall | - Heavy or repeated rainfall⁵ | - Heavy or repeated rainfall⁵ | - Rain-on-snow | |
| Triggering rainfall | Low frequency – high magnitude | Low frequency – high magnitude | | | | | | |
| Reference | present work | present work | Kummert et al., 2017; Kummert & Delaloye, 2018 | Kummert et al., 2017; Kummert & Delaloye, 2018 | Kummert et al., 2017; Kummert & Delaloye, 2018 | Kenner et al., 2017; Lueth et al., 2017; Lugon & Stoffel, 2010 | Marcer et al., 2020 |
sensitivity of the model relative to the pore pressure coefficient $r_u$ can be explained by the nature of this parameter; as shown in modeling simulation 1, the ratio between shear strength and stress results in a FS close to 1 or slightly above. Adding a fraction of the vertical stress induced by the weight of the soil column as pore pressure to shear strength, results in its reduction and consequently lowers FS.

Previous studies showed that rock glacier discharge follows mainly seasonal pattern of snow melt and rainfall, while only a little fraction of it is provided by permafrost ice melting (e.g., Krainer et al., 2015; Krainer & Mostler, 2000; Wagner et al., 2020). Hence, topography and composition of the catchments draining to the study rock glaciers were assumed to play an important role in controlling the slope failures (cf. Groh & Blöthe, 2019). In contrast to SIM, the relatively small, elongated catchment area for HIN is considered to be unfavorable with respect to the delivery of runoff, snow and sediments to the rock glacier (Figure 1, Table 1). We argue that the relatively fine-grained sediment composition at HIN plays an important role as it brings about a slower dissipation of pore pressures due to a reduced seepage through the sediment layer. In contrast, a nearly immediate dissipation of pore pressures may be assumed in the coarser grained SIM front, where its open-work surface may lead to very high infiltration rates. For both sites it may be reasonable to assume that the presence of an impermeable ice layer has favored rapid water concentration near the rock glacier front (Figure 6). Therefore, the failure at SIM might have originated near the ice-debris outcrop, on which water runoff is visible in Figure 2. A similar failure mechanism was reported recently by Marcer et al. (2020) concerning the failure of the eastern frontal part of the Lou rock glacier.

5.4 | A word of caution on the inclusion of Lazaun borehole data

We included Lazaun in our study with the aim to gain insight into ground thermal and hydrological conditions of our study objects prior to failure. Subsurface conditions in high mountain environments however may be very heterogeneous also within small spatial distances, which hinders a simple comparison between sites (cf. Rist & Phillips, 2005; Schmid et al., 2012; Wagner et al., 2019). Consequently, a comparison of external environmental properties between LAZ and the study objects was undertaken to infer on their subsurface conditions. The borehole II of LAZ is located at 2,538 m a.s.l. with permafrost mostly in cold conditions,” according to the Alpine Permafrost Index Map (APIM) of Boeckli et al. (2012a, 2012b). Cold conditions on LAZ are mainly assured by a reduced incoming direct solar radiation due to its northeastern orientation and the cirque-shaped surrounding topography as well as its coarse blocky surface composition, which is known to favor lower GT through cooling effects (Gorbunov et al., 2004; Gruber & Hoelzle, 2008; Hoelzle et al., 2003; Juliussen & Humlum, 2008). The frontal part of SIM is located approximately 200 m higher than LAZ but is oriented towards southeast. Similarly to LAZ, APIM suggests “permafrost mostly in cold conditions,” which for SIM are provided by a similar coarse openwork surface composition than LAZ, as aerial photographs suggest. In summary, a comparison of topographical and sediment characteristics suggests GT conditions of SIM being similar to LAZ. The frontal part of HIN is substantially higher than borehole II of LAZ (ca. 200 m) and the rock glacier is northeast-exposed. Not surprisingly, APIM suggests “permafrost in nearly all conditions” for this site. Given the more favorable topographic setting for permafrost presence, a lower GT compared with LAZ was expected, although the surface and surface-near structure of HIN is dominated by fine-grained sediment, which indicates an absence of cooling effects observed on coarse blocky surfaces.

6 | CONCLUSIONS

The slope stability analysis for Hintergrat (HIN) confirmed a marginal stability of the rock glacier front slope if shear strength was provided only by friction angle. However, the substantial amount of fine material in the sample taken at the lateral slope of HIN, slope portions steeper than the measured angle of internal friction as well as probabilistic modeling results suggest the presence of an additional strength component, e.g., apparent cohesion in unsaturated material. In contrast, the front slope of Similaunrube (SIM) was composed of coarser sediment and consequently had a higher friction angle, and particle cohesion is not necessary to provide stability. The triggering event at both rock glaciers was a high-intensity rainstorm, estimated to be around the 95th percentile of all the recorded storms over the last 15 (Vernagt) and 11 (Madritsch) years. The fact that no or only minor previous or subsequent failure events were registered at both sites indicates that even more intensive rainstorms did not result in a slope failure (assuming that the event catalog is complete for the studied sites). We therefore conclude that the sedimentary properties and the triggering rainfall event alone cannot explain the occurrence of the slope failures. Consequently, hypothesis 1 is rejected.

The simultaneous occurrence of several other events on 13 August 2014 originating in glacial or periglacial areas let us assume a prominent role of ice melting in high-altitude slopes besides the ones under investigation. Hence, the involvement of destabilizing factors acting on a timescale beyond the short-term trigger was considered as very likely. Our data suggests that destabilization is to be expected if medium-term antecedent conditions are characterized by snow-rich and rainy conditions. The abundant 2013–2014 winter snowpack and the abnormally wet spring and summer in 2014 have probably thickened and saturated the active layer of both rock glaciers. The high-magnitude rainfall event was then the tipping point towards slope failure. Hence, hypothesis 2 cannot be rejected.

Air temperature was found to have increased significantly from the 1950s onwards during the spring and summer months. A subsequent increased presence of liquid water within the rock glaciers, as well as their topographic setting, may explain their higher movement at the marginal slopes. Unfortunately, the coarse temporal resolution of the movement analysis did not allow us to obtain accurate indications on the effect of rock glacier creep on the investigated slope failures. Therefore, Hypothesis 3 could not be properly tested and thus cannot be reliably rejected. However, a connection to rising atmospheric temperatures is probable as rock glacier creep rates have increased in the last decades over the entire European Alpine arc (e.g., Kellerer-Pirklbauer et al., 2018).

Finally, it is worth mentioning how additional data on the internal structure and thermal conditions of the two studied rock glaciers...
would have been desirable, rather than using a different site nearby to derive such information. Unfortunately, there are only very few instrumented rock glaciers throughout the Alps, and our study highlights the extreme value of such monitoring activities, also in light of mitigation towards mountain geohazards.

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CONFLICTS OF INTEREST

The authors declare no conflicts of interest.

DATA AVAILABILITY STATEMENT

Data can be made available upon request to the corresponding author.

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