Low HbA1c and Increased Mortality Risk—is Frailty a Confounding Factor?

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ABSTRACT: Diabetes mellitus is increasingly becoming an older person disease due to the increased survival and aging of the population. Previous studies which showed benefits of tight glycemic control and a linear relationship between HbA1c and mortality have largely included younger patients newly diagnosed with diabetes and with less comorbidities. Recent studies, which included older population with diabetes, have shown a U-shaped relationship of increased mortality associated with low HbA1c. The mechanism of such relationship is unclear. There was no direct causal link between low HbA1c and mortality. It appears that malnutrition, inflammation and functional decline are characteristics shared by the populations that showed increased mortality and low HbA1c. In these studies functional status, disability or frailty was not routinely measured. Therefore, although adjustment for comorbidities was made there may be a residual confounding by unmeasured factors such as frailty. Thus, frailty or decline in functional reserve may be the main confounding factor explaining the relationship between increased mortality risk and low HbA1c.

Key words: Frailty, Mortality, Diabetes, Low HbA1c

The evidence suggesting that patients with type 2 diabetes should have their HbA1c less than 7% is largely based on the findings from the United Kingdom Prospective Diabetes Study (UKPDS) which showed that intensive glycemic control (HbA1c 7.0%) reduced the rate of microvascular complications by 25% compared with less tight control (HbA1c 7.9%) although reduction of cardiovascular disease did not reach statistical significance (p=0.052) [1]. An observational analysis of the UKPDS data found that each 1% reduction of HbA1c reduces all-cause mortality and myocardial infarction by 14% establishing the concept of “the lower the better” [2]. However, subsequent evidence from randomised clinical trials was unable to confirm that tight glycemic control (HbA1c <6.5%) reduces cardiovascular events and the Action to Control Cardiovascular Risk in Diabetes (ACCORD) study has shown an increased risk of mortality [3-5]. The UKPDS was a trial of younger population excluding those above the age of 65 years and included only newly diagnosed patients with type 2 diabetes who have less comorbidities and in whom vascular damage from long-term exposure to hyperglycemia may not yet have occurred. In younger patients with less comorbidities, elevated HbA1c may act as a risk factor for long term adverse outcomes but in older patients with multiple comorbidities low HbA1c may be associated with increased mortality. We hypothesise that frailty may be unmeasured or less...
recognised factor associated with both the predictor (low 
HbA1c) and the outcome (increased mortality), in other 
word a confounding factor. Therefore, this article 
investigates the changes in diabetes epidemiology since 
the publication of the UKPDS in 1998, reviews the 
observational clinical studies that showed increased 
mortality with low HbA1c and explores the increased 
prevalence of comorbidities and the emergence of frailty 
in older people with diabetes and its impact on the 
relationship between low HbA1c and risk of mortality.

Methods

We have performed a search of Medline and Embase for 
observational studies from January 1969 to April 2014 
using keywords relating to low HbA1c or intensive 
glycemic control and mortality risk in patients with type 
2 diabetes. Only English language studies were selected. 
Articles were reviewed for relevance by abstract. A 
manual review of citations in retrieved articles was 
performed in addition to the electronic literature search. 
The final list of cited studies was chosen on the basis of 
relevance to the topic of this review.

The comorbidity burden

Diabetes in old age is associated with comorbid chronic 
diseases and as many as 40% of older people with 
diabetes have at least three comorbid conditions [13]. 
The comorbidity burden is even higher in care home 
residents with diabetes. For example, they have more 
cardiovascular disease, visual problems, pressure sores, 
limb amputations and kidney failure than residents 
without diabetes [14]. In a retrospective case note review 
of 75 nursing home residents with diabetes in the UK, 
very significant levels of disability has been shown in 
areas of continence, feeding, mobility and 
communication. Each individual had a mean of four 
comorbidities (range 1-8) [15]. In another study, 
residents with diabetes had a greater comorbidity burden, 
more prescribed medications and experienced more 
hospitalizations (37% vs 18%) than residents without 
diabetes. Geriatric syndromes such as cognitive and 
physical dysfunction, depression, falls and urinary 
incontinence are common in older people with diabetes. 
Due to the effect of diabetes on physical function, the 
risk of disability in performing activities of daily living 
is increased by two fold compared to those without 
diabetes [16]. Diabetes is also associated with reduced 
 muscle strength, poor muscle quality, accelerated loss of 
lower extremity strength and muscle mass contributing 
further to physical limitation [17].
Frailty burden

Frailty is a condition characterized by a reduction in physiological reserve and in the ability to resist physical or psychological stressors [18]. Its definition is largely based on the presence of three or more phenotypes (weight loss, weakness, decreased physical activity, exhaustion and slow gait speed) [19]. The rate of progression of frailty increases with age and is likely due to diminishing physiologic reserve with age however, the presence of brain pathologies such as cerebrovascular and Alzheimer’s diseases accelerates the rate of progression [20]. The biological processes that underlie frailty are still unclear and likely to be complex and multifactorial. The physiological systems believed to be associated with frailty phenotype are the cardiovascular, neuroendocrine, metabolic, immune, and nervous systems [21]. Frailty has also been shown to be associated with exaggerated glucose and insulin responses after 2-hour oral glucose tolerance test in individuals without diabetes which suggests that these individuals have reduced physiologic reserve and dysregulation of glucose challenge is a component of the physiologic vulnerability associated with frailty [22]. Frailty is viewed as a wasting disease with weight loss being one of its criteria. Under nutrition which is very common in older people, seems to be a risk factor for frailty. In the US, about 16% of elderly persons living in the community are undernourished. These figures rise to 59% in long-term care institutions and 65% in acute care hospitals [23]. Sarcopenia or muscle mass loss is a component of frailty which seems to be accelerated when diabetes is present. In a community study of 3,153 participants ≥65 years of age, appendicular lean mass loss in men with diabetes was twice that of men without diabetes (3.0% vs 1.5%) and in women with diabetes was 1.8 times that of those without diabetes (3.4% vs 1.9%) over 4 years of follow up. The mechanisms explaining these results may be related to reduced muscle protein synthesis due to lower testosterone and insulin like growth factor 1 and increased muscle protein breakdown due to a higher rate of inflammation [24]. Diabetes also causes sarcopenia due to the catabolic effect of insulin deficiency and by increasing intramyocellular lipid accumulation [25]. In another study, older persons with type 2 diabetes had accelerated decline in leg lean mass, muscle strength, and longer sit-to-stand time compared to normoglycemic control subjects [26]. Other factors may be related to the oral health of older people with diabetes. For example optimal nutrition may not be maintained due to poor dentition, a dry mouth, reduced taste sensation, palatability and appetite change with increasing age [27].

The evidence shift

Since the publication of the UKPDS, the concept of low is better was the norm. However, with the epidemiologic shift of diabetes towards older age and its associated comorbidities there appears to be a shift in the evidence with tighter glycemic control seems to be associated with increased mortality. Three studies were reported from Kaiser Permanente cohorts. The first was an observational study of 26,673 patients with type 2 diabetes which showed increased all-cause mortality among those with a mean HbA1c <6.0% (17.5 per 1,000 person-years, 95% CI 15.8 to 19.4) and those with a mean HbA1c 6.0% to 6.4% (13.1 per 1,000 person-years, 95% CI 12.0 to 14.3) compared to those with HbA1c 7.0% to 7.4% adjusted for demographic and clinical characteristics after 6 years of follow up [28]. The second was a retrospective study of 71,092 patients with type 2 diabetes, mean (SD) age 71.0 (7.4) and 14.6% were >80 years, which showed lower mortality risk for HbA1c levels between 6.0 and 9.0% compared with HbA1c <6.0% after a mean of 3.1 years of follow up [29]. The third was a case control study of 44,628 control subjects matched to 11,157 cases for age and gender, mean (SD) age 65.5 (10.5). Patients with the lowest HbA1c levels (median 6.4%) were at a 52% increased risk for all-cause mortality relative to patients with a median HbA1c 7.5% after 3 years of follow up [30]. Two studies of patients with diabetes and heart disease reported similar results. The first was a prospective study for patients undergoing heart surgery which showed that low HbA1c (<5.7%) was associated with increased late postoperative mortality only in patients with heart failure but not in those without [31]. The second was a retrospective study of 5,815 veterans with heart failure and diabetes which showed that the lowest quintile (HbA1C ≤6.4%) had significantly increased risk of mortality when compared with the middle quintile (7.1% < HbA1c ≤7.8%), hazard ratio (HR) 1.37, 95% CI 1.14 to 1.64, p = 0.001 adjusted for potential confounders after 2 years of follow up [32]. Two other studies of patients with diabetes and renal disease had the same conclusion. The first was a population based cohort study of 23,296 patients with diabetes and chronic kidney disease, defined as glomerular filtration rate <60.0 mL/min/1.73m², which showed that low HbA1c (<6.5%) was associated with increased mortality [33]. The second was a cohort study of 54,757 patients with diabetes on maintenance hemodialysis, mean (SD) age 63 (13) years, which showed that adjusted HR for mortality was inversely related to HbA1c levels. For HbA1c 6.0–6.9%, HR was 1.05 (95% CI 1.01 to 1.08), HbA1c 5.0–5.9% (1.08, 1.04 to 1.11) and HbA1c ≤5% (1.35, 1.29 to 1.42) compared
with HbA1c 7.0–7.9%. Low HbA1c was related to markers of poor nutrition. The likelihood of having low baseline HbA1c<6% was associated with lower values for body mass index, albumin, creatinine, and normalized protein catabolic rate (nPCR) levels, indicating a link between HbA1c level and malnutrition and inflammation burden. Each g/dL increase in serum albumin (OR 0.90, 95% CI 0.86 to 0.94) and blood hemoglobin level (0.87, 0.86 to 0.88), each g/Kg/day increase of nPCR (0.55, 0.51 to 0.59), and each Kg/m² increase in BMI level (0.93, 0.92 to 0.94) translated into a 10, 13, 45, and 7% lower risk of HbA1c level <6%, respectively [34]. Other studies of general diabetes population mirror the same results. In a retrospective British general practice study of 47,970 patients (≥50 years old) with type 2 diabetes, all-cause mortality was higher for low HbA1c (median 6.4%, IQR 6.1–6.6%) compared to patients with HbA1c decile with the lowest hazard (median HbA1c 7.5%, IQR 7.5–7.6%, adjusted HR 1.52, 95% CI 1.32 to 1.76) [35]. In a case control study of 16,585 mortality cases of type 2 diabetes matched for age and gender to a similar number of living controls, low HbA1c (<6.5%) values were associated with increased odds of all-cause mortality (OR 1.22, CI 1.11 to 1.34) in comparison to normal HbA1c levels (6.5% to 9%) after adjustment for study confounders after a median follow up of 3.7 years [36]. In another case control study of 427 mortality cases of type 2 diabetes matched for age, gender, body mass index, comorbidities and duration of diabetes to an equal number of living controls lower HbA1c (<6.5%) was associated with a higher risk of mortality but it did not reach statistical significance possibly due to small sample size [37]. The shift of evidence was similar in even subjects without diabetes. In the National Health and Nutrition Examination Survey III of 14,099 participants without diabetes, an HbA1c <4.0% versus 5.0% to 5.4% was associated with an increased risk of all-cause mortality (HR 3.73; 95% CI 1.45 to 9.63) after a median follow-up of 8.8 years [38]. Although frailty was not directly measured in these studies, the cohorts of patients showing increased mortality with lower HbA1c were consistently older with high prevalence of multiple comorbidities, weight loss and malnutrition (Table 1).

**Low HbA1c and mortality**

**Causal link**

Decreased survival in patients achieving low HbA1c levels might be related to hypoglycemia which is a common complication of intensive blood glucose control. In the Veterans Affairs study, more than one episode of severe hypoglycemia was associated with an 88% rise in relative risk for sudden death [39]. In the intensive control arm of the ACCORD study mortality was higher among participants who experienced at least one episode of serious hypoglycemia (2.8%) compared to those with no episodes (1.2%), adjusted HR 1.41, 95% CI 1.03 to 1.93. A similar pattern was observed in the conventional treatment arm (3.7% vs 1%) adjusted HR 2.30, 95% CI 1.46 to 3.65. However, among participants who experienced at least one episode of hypoglycemia requiring medical assistance the risk of death was significantly lower in such participants in the intensive arm than in the standard arm (adjusted HR 0.55, 95% CI 0.31 to 0.99). This confirms that low HbA1c does not appear to be a direct cause of mortality as symptomatic severe hypoglycemia did not appear to account for the difference in mortality between the two study arms of the ACCORD study. Other unmeasured factors could be related to such increased mortality. One explanation is that severe hypoglycemia may have been a potent marker of underlying illness or frailty in the standard treatment arm, whereas in the intensive treatment arm severe hypoglycemia was instead likely to be the result of excessive hypoglycemic treatment. These findings raise the possibility that hypoglycemic episode may not be an immediate contributor to death but susceptibility to hypoglycemia may be a marker for an underlying disorder such as frailty that increases the risk for death in patients with diabetes [40]. In the Preterax and Diamicron Modified Release Controlled Evaluation (ADVANCE) study, hypoglycemia was strongly associated with increased risks of cardiovascular and non cardiovascular mortality. This association was independent of tight glycemic control. It is likely that hypoglycemia was related to the negative effects of the associated comorbidities and was a marker of an increased vulnerability to adverse clinical outcomes rather than a direct cause of the events. The risk estimates were markedly attenuated after adjustment for the associated comorbidities suggesting that the effects of confounding factors were substantial. It is possible that the presence of coexisting comorbidities could increase a patient's vulnerability to both hypoglycemia and an adverse outcome in the absence of a direct causal link between the two [41]. However, a recent meta-analysis suggests that severe hypoglycemia is associated with twice the risk of cardiovascular events and this association is not entirely explained by the associated comorbidities but could be related to unmeasured factors [42]. The possibility that factors other than tight glucose control may explain the association of low HbA1c with increased mortality risk is also supported by the fact that a U-shaped relationship of mortality and HbA1c was observed in non diabetic population [43].
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Table 1: Summary of observational studies reporting increased mortality with low HbA1c

| Study            | Design  | Population               | Cut off HbA1c* | Characteristics of patients with low HbA1c                                      |
|------------------|---------|--------------------------|----------------|-----------------------------------------------------------------------------------|
| Engoren et al, 2013 | Prospective | Diabetics undergoing heart surgery | 5.7% | Older, having myocardial infarctions, smokers, needed blood transfusions, and concomitant valve surgery. |
| Aguilar et al, 2009 | Retrospective | Diabetics with heart failure | 6.4% | Older, have chronic kidney disease, anemia and low cholesterol                     |
| Nichols et al, 2013, US | Prospective | Diabetics with heart failure | 6%  | Older, more macrovascular complications, lower body mass index and lower blood pressure. |
| Currie et al, 2010, UK | Retrospective | Diabetics | 6.4% | Insulin treated, older, more comorbidities and longer duration of diabetes.          |
| Nicholas et al, 2011 | Case-control | Diabetics | 6.5% | More prevalence of vascular disease, renal failure, malnutrition, smoking and weight loss. |
| Monami et al, 2013 | Case-control | Diabetics | 6.5% | Insulin-treated, older, longer duration of diabetes and more comorbidity burden.     |
| Colayco et al, 2011 | Case-control | Diabetics | 6.4% | More prevalence of cardiovascular comorbidities.                                   |
| Carson, et al 2010 | Prospective | General population | 4.0% | Low cholesterol, high ferritin, low blood pressure and abnormal liver function.     |
| Shurrraw, et al 2011 | Prospective | Diabetics with CKD | 6.5 | Older, high level of heart, lung, liver diseases, malignancy and dementia.          |
| Ricks et al, 2012 | Prospective | Diabetics on hemodialysis | 6.9 | Low body weight and increased markers of malnutrition and inflammation.            |

**Frailty as a confounder**

Studies of patients with type 2 diabetes which showed a linear relationship between HbA1c and mortality, without an increased risk in the lowest HbA1c categories, have enrolled younger patients with a shorter duration of diabetes and a lower comorbidity burden [44, 45]. On the other hand, patients in the studies which showed increased risk of mortality in the lower HbA1c categories were consistently older and had more prevalent comorbidities than patients in the higher HbA1c categories. Although studies have adjusted for the associated comorbidities, it is still not clear whether there is an underlying biological process that could explain the association between low HbA1c and all-cause mortality. Patients in the lower HbA1c categories who showed high mortality may be exhibiting a different “phenotype” of type 2 diabetes. A phenotype which is likely to be frail. Frailty is a condition characterized by a reduction in physiological reserve and in the ability to resist physical or psychological stressors [18]. Frailty is viewed as a wasting disease with weight loss being one of its criteria [19]. Although frailty was not directly measured in these studies, markers of inflammation such as elevated ferritin level and markers of malnutrition such as low cholesterol, low serum albumin and weight loss were prevalent in patients with low HbA1c which may suggest poor general health and underlying frailty. Also the association between low HbA1c and increased mortality were demonstrated in frail populations such as those with end stage renal disease and heart failure. Therefore, the risk of mortality in older people with diabetes could be more related to frailty than to diabetes itself or the associated comorbidity. In a cohort of 2305 individuals, aged ≥70 years, of the Canadian Study of Health and Aging diabetes was more likely to be associated with medium-term mortality (HR 1.42, 95% CI 1.20 to 1.69) than comorbidity (1.03, 1.01 to 1.05). Frailty most strongly predicted death (HR 2.72, 95% CI 2.34 to 3.16) after 5 years of follow up. Frail older adults were 2.62 times (95% CI 1.36 to 5.06) more likely to have a complication of diabetes, independent of age, sex and duration of diabetes [46]. This has also been demonstrated in another prospective study which showed that frailty significantly predicts mortality in subjects with diabetes compared to those without particularly in men. Frailty increased the risk of long-term mortality by 14% (HR 1.14, 95% CI 1.10 to 1.18, P=0.01) for each unit increase in frailty score in women and by 60% in men (1.60, 1.21 to 2.12, P<0.001) in the absence and by
31% (1.31, 1.03 to 1.85, P<0.03) in women and by 60% in men (1.99, 1.75 to 3.05, P<0.001) in the presence of diabetes, respectively [47]. Older patients with lower HbA1c levels may suffer from poor nutritional status, frailty, or sarcopenia, each of which may contribute to an elevated mortality risk. Patients with diabetes have three times higher risk of sarcopenia than those without diabetes (OR 3.06, 95% CI 1.42 to 6.62) and prevalence of sarcopenia in patients with diabetes increases significantly after the age of 60 years. Patients with diabetes have decreased lean body mass and increased body fat mass, even though they had similar BMI compared with non diabetic individuals. Also diabetic patients have weaker muscle strength even if they have normal muscle mass compared to non diabetic persons [48]. In overweight or obese (median BMI 34.0 kg/m², range 24.8–65.1 kg/m²) older people (median age 62 years, range 51–86 years) with diabetes, low HbA1c (≤6.4%) was not associated with increased risk of all cause mortality which may suggest that weight loss or frailty could be a crucial contributing factor to mortality demonstrated with low HbA1c in other studies [49]. The beneficial effect of low HbA1c level seems to be limited to patients with low comorbidity and a long life expectancy. In an observational study of 2613 patients with type 2 diabetes to determine whether low HbA1c (≤6.5% or ≤7.0%) provides differential benefits for patients with high versus low levels of comorbidity using Total Illness Burden Index, low HbA1c was associated with lower 5-year incidence of cardiovascular events in the low comorbidity but not in the high comorbidity subgroup. Adjusted HR was 0.60 (95% CI 0.42 to 0.85, p=0.005 for low comorbidity compared to 0.92 (0.68 to 1.25, p=0.61) for high comorbidity subgroup for HbA1c ≤6.5% and 0.61 (0.44 to 0.83, p=0.001) vs 0.88 (0.66 to 1.17, p=0.38) for HbA1c ≤7.0% respectively [50]. Low HbA1c is likely to be a marker of poor nutrition and can be seen as a biochemical marker of frailty rather than a direct cause of adverse outcomes. Similarly, other biochemical marker of under nutrition such as low albumin is a recognized predictor of frailty and poor outcomes. Low albumin (<3.0g/dL) has independently predicted the development of new onset disability at discharge amongst 885 patients ≥ 70 years old who had been independent at hospital admission [51]. Also, albumin correlated inversely with the Frailty Index (r=−0.545, P < 0.01) in another study [52]. Therefore, frailty or decline in functional reserve may be an intermediate stage between poor nutrition, manifested by low HbA1c or low albumin, and poor outcomes in older people regardless of diabetes status (Figure 1).

Figure 1. Frailty and comorbidities as confounders and low HbA1c and other biochemical factors as markers of increased mortality.
Reversal of Metabolism

An explanation of the paradoxical relationship between HbA1c and mortality may include the concept of “reversal of metabolism”. This concept refers to the observation that the power of traditional cardiovascular risk factors such as hypertension, high cholesterol and high blood glucose to predict risk of cardiovascular disease seems to diminish with advancing age. In the general population of older people (>85 years) with no history of cardiovascular disease, classic risk factors included in the Framingham risk score did not predict risk of cardiovascular mortality [53]. Also the utility of the metabolic syndrome concept failed to predict mortality in the elderly and paradoxically predicted survival. In a study of 331 very old patients, mean (SD) age 85 (7) years, low BMI, low diastolic blood pressure, low total cholesterol and high insulin sensitivity predicted total mortality, indicating a “reversal of metabolic syndrome” that is probably attributable to malnutrition and/or chronic disorders which have a negative impact on survival [54]. Low albumin (a marker of malnutrition) and high C-reactive protein (a marker of inflammation) were associated with these cardiometabolic factors limiting their prognostic value in predicting cardiovascular risk in older people [54]. Although there are several potential causes for this risk factor pattern, the more commonly proposed explanations include the association of lower weight and lower cholesterol with increased protein energy malnutrition and an increased inflammatory syndrome associated with frailty [55, 56]. Another explanation is that reversal metabolism may be related to the natural physiology of the aging process itself. The risk factors may change as an organism physiologically ages, such that a biomarker that is predictive earlier in life may not be as predictive in later life. For example, with increasing age there is a tendency towards increased blood pressure, body weight and glucose intolerance. However, when very old age is achieved there may be a metabolic shift with a tendency of these risk factors to decline in the opposite direction. In other words, there may be different connections between risk factors and mortality at different ages, with a turning point for these risk factors from unfavorable to favorable for survival outcome at older age [57].

Conclusions

The general population is aging and it appears that the epidemiology of diabetes is shifting towards older age with more than 50% of persons with diabetes are above the age of 65 years. The benefits of tight glycemic control and the linear relationship of HbA1c and mortality risk were mostly seen in younger populations with less comorbidities. However, with the demographic shift towards older age and its associated comorbidities along with the emergence of frailty, the evidence appears to shift from a linear to a U-shaped relationship between cardiovascular risk factors including HbA1c and mortality. Therefore, the nature of the relationship between HbA1c and mortality may be different in different populations, it may change over time and it may be modified by other risk factors. Such factors are likely to include frailty as a major confounder as the characteristics of patients across the studies which showed increased mortality risk with low HbA1c were consistently older and had high prevalence of multiple comorbidities. In addition, frailty was not directly adjusted for in any of these studies raising the possibilities of confounding effect. Therefore, more research is needed to explore the role of frailty as a direct cause for increased mortality associated with low HbA1c.

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