Theory of the microwave-induced photocurrent and photovoltage magnetooscillations in a spatially non-uniform 2D electron gas

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Recent experiments [S.I. Dorozhkin et al., Phys. Rev. Lett. 102, 036602 (2009)] on quantum Hall structures with strongly asymmetric contact configuration discovered microwave-induced photocurrent and photovoltage magnetooscillations in the absence of dc driving. We show that in an irradiated sample the Landau quantization leads to violation of the Einstein relation between the dc conductivity and diffusion coefficients. Then, in the presence of a built-in electric field in a sample, the microwave illumination causes photo-galvanic signals which oscillate as a function of magnetic field with the period determined by the ratio of the microwave frequency to the cyclotron frequency, as observed in the experiment.

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I. INTRODUCTION

Recent developments in the theory of nonequilibrium magnetotransport of a two-dimensional electron gas (2DEG) in high Landau levels are motivated by the discovery of several novel kinds of quantum magnetooscillations induced by microwave radiation$^{3,22}$ by direct current$^{3,32,33,34,35,36,37}$ or by phonons$^{28,39,40,41}$. A particular attention has been attracted by the microwave-induced resistance oscillations (MIRO) governed by the ratio $\omega/\omega_c$ of the circular radiation frequency $\omega$ and the cyclotron frequency $\omega_c = eB/mc$ (here $B$ is the magnetic field and $m$ is the effective electron mass). Further experiments on MIRO led to spectacular observation of the “zero resistance states” (ZRS) in which the dissipative components of both the resistance and conductivity tend to zero$^{3,4,5,6,7}$. These states were explained in Ref. [42] as a result of instability leading to formation of domains carrying non-dissipative Hall current.

Initially MIRO were attributed to the “displacement” mechanism which accounts for spatial displacements of semiclassical electron orbits due to radiation-assisted scattering off disorder$^{3,4,4,5,46}$. Due to Landau quantization leading to periodic modulation in the density of states (DOS) $\nu(\varepsilon) \approx \nu(\varepsilon + \omega_c)$, the preferred direction of such displacements with respect to symmetry-breaking dc field oscillates with $\omega/\omega_c$. This results in MIRO with the phase and period observed in Refs. [123,14,15]. Later it was realized that the dominant contribution to MIRO in Refs. [123,14,15] is due to “inelastic” mechanism associated with radiation-induced changes in occupation of electron states$^{6,47,48,49}$ while the displacement mechanism can be relevant at higher temperatures and only if sufficient amount of short-range impurities is present in the system$^{31,46,50}$ or else, at a very strong dc field$^{46}$ or microwave power$^{49}$.

So far, the theoretical research on nonequilibrium magnetooscillations in high Landau levels has been concentrated on the properties of systems which are spatially homogeneous on the macroscopic scale$^{43–63}$. Here we develop more general transport theory applicable also for nonuniform carrier and field distributions. From the experimental side, the present study is motivated by recent experiment$^{64}$ which discovered alternating-sign magnetooscillations of photocurrent and photovoltage induced by microwaves in the absence of dc driving. The magnetooscillations with a phase and period similar to MIRO were observed in a 2DEG with a strongly asymmetric contact configuration. The effect was related to the existence of built-in electric fields in a sample in thermodynamic equilibrium, in particular, in vicinity of doped contacts. As we show below, in an irradiated sample the Landau quantization leads to violation of the Einstein relation between the dc conductivity and diffusion coefficient. Then a finite photocurrent is driven by a built-in electric field even in the sample at a constant electrochemical potential. In an open circuit, a photovoltage is produced. Both these photo-galvanic signals oscillate around zero as a function of magnetic field as observed in the experiment. Another motivation for the present study is the physics of ZRS where the uniform charge and field distributions become electrically unstable, and the knowledge of the transport properties of inhomogeneous system is of central importance for determination of the configuration and dynamics of the current domains$^{65,66,67,68}$.

The paper is organized as follows. In next section we formulate an approach applicable for description of the electron kinetics in the presence of non uniformly varying potentials. In Sec. [III] we discuss the steady state distributions and current in the absence of the microwave illumination for different experimental setups. In Sec. [IV] the microwave-induced magnetooscillations in the local transport coefficients are calculated. In Sec. [V] we establish the relation between the local transport coefficients and the photocurrent or photovoltage oscillations observed in the experiments. Main findings are summarized in Sec. [VI].
II. ELECTRON KINETICS IN COORDINATE AND ENERGY SPACE

We consider a 2DEG in a classically strong magnetic field \( (\omega, \tau_{tr} \gg 1) \), where \( \tau_{tr} \) is the transport scattering time, and in high Landau levels (chemical potential \( \mu \gg \omega_c \)). Hereafter we put \( \hbar = 1 \). Transport of electrons in such system is most conveniently formulated in terms of migration of the guiding center \( \mathbf{R}(t) \) of the cyclotron orbits. The dissipative component of the guiding center shift, factor 2 accounts for elastic \( \Gamma_{(el)}^{\varphi \varphi'} \) and inelastic \( \Gamma_{(ph)}^{\varphi \varphi'} \) scattering off disorder are given by

\[
\Gamma_{(el)}^{\varphi \varphi'} = \frac{1}{\tau_{\varphi \varphi'} - \frac{P_{\varphi \varphi'}}{\tau_{\varphi \varphi'}} \sin^2 \frac{\varphi - \varphi'}{2},
\]

\[
\Gamma_{(ph)}^{\varphi \varphi'} = \frac{P_{\varphi \varphi'}}{2\tau_{\varphi \varphi'}} \sin \frac{\varphi - \varphi'}{2}.
\]

The microwave field (screened by the 2D electron) is taken in the form

\[
E_\omega(t) = E_\omega \sum_{\pm} \text{Re} \left[ s_{\pm} e^{\pm i\omega t} \right],
\]

where \( 2^{1/2} e_{x\pm} = e_x \pm i e_y \) and the complex vector \( (s_+, s_-) \) of unit length characterizes the polarization. The dimensionless power \( P_\theta \) is

\[
P_\theta = \mathcal{P} - 2 \text{Re}[\mathcal{E}_+ \mathcal{E}^* e^{i\theta}],
\]

\[
\mathcal{P} = |\mathcal{E}_+|^2 + |\mathcal{E}_-|^2,
\]

\[
\mathcal{E}_\pm = s_{\pm} e^{\mp i\mathbf{E}_\omega^{-1}(\omega \pm \omega_c)}^{-1}.
\]

Apart from the modification of the scattering integral, the microwave illumination leads to a nonequilibrium energy distribution \( f_{xx} \) of electrons, which is controlled by inelastic relaxation. The corresponding balance equation reads

\[
\frac{f_{xx} - f_{xx}^{(T)}}{\tau_{in}} = \langle \tilde{v}_{xx} \Gamma_{(ph)}^{\varphi \varphi'} \rangle \sum_{\pm} \left( M_{x'x}^{\pm \omega} \mathcal{E} \rangle M_{x'x}^{\pm \omega} \right) \varphi \varphi',
\]

where \( \tau_{in} \sim T^{-2} \mu \) is the energy relaxation time due to electron-electron interaction. \( M \) is defined in Eq. 3, \( x' = x + \Delta X_{\varphi \varphi'} \), and \( f^{(T)} \) is an equilibrium distribution function. As we will see below, Eqs. 3 and 12 describe both the displacement and the inelastic contributions to photovoltage (or photocurrent) oscillations.

III. DARK STEADY STATE

A. Infinite 2DEG in a constant electric field

Equations similar to Eqs. 3 and 12 were used in Refs. 45, 46, 47, 48, 49, 50 for analysis of MIRO in a homogeneous case of a constant electric field \( E \) in an infinite 2DEG. In this case, the dark (nonequilibrium due to dc current) distribution

\[
f^{(T)}(x) = \left( \frac{\exp \left( \frac{\varepsilon - \eta(x)}{T} \right) + 1}{T} \right)^{-1},
\]

\[
\eta(x) = \mu(x) + e\phi(x)
\]

is characterized by a coordinate-independent local chemical potential \( \mu = \text{const}(x) \). The occupation of all states having equal kinetic energy \( \varepsilon = e\phi(x) = \varepsilon = eE_x \) is the same, see Fig. 1.
B. Inhomogeneous equilibrium state

Let us now consider an equilibrium 2DEG in the absence of the microwave field but in the presence of a built-in static electric field. In the absence of external voltage applied to the sample, this static field can be created, for instance, by a metallic contact, as in Fig. 1b. The distribution function \( f_{x}(T) \) in this inhomogeneous equilibrium case is characterized by a position-independent electrochemical potential

\[
\eta = \text{const}(x),
\]

while both electron concentration and potential vary with \( x \). By contrast to the previous case of a constant electric field, here all states having equal total energy \( \varepsilon \) are equally occupied, \( f_{x}(T) = f_{x}^{(T)} \).

In the absence of the microwave field, \( E_{\nu} = 0 \), the inelastic part \( \Gamma^{(\text{ph})}_{\phi\phi_{2}} = 0 \) of the total current \( j^{(T)} \) is absent while the elastic part proportional to \( M_{x}^{(e)}\varepsilon - M_{x}^{(e)}\varepsilon' \) vanishes as \( f_{xx'} = f_{xx} \), see Eq. 5. We arrived at the trivial result that the current does not flow when the 2DEG is in the equilibrium state characterized by a given temperature \( T \) and electrochemical potential \( \eta \).

C. Electrical current and diffusion; Einstein relation

Vanishing of electrical current in an equilibrium state of inhomogeneous system can be equivalently formulated as the Einstein relation between the linear–response conductivity and diffusion coefficient. It is instructive to derive this relation by considering weak perturbations of a spatially homogeneous equilibrium system with a fixed concentration of electrons \( n = \text{const}(x) \) [and, therefore, \( \mu = \text{const}(x) \)].

According to Eq. 3, the electric current induced in this system by the infinitesimally small electric field \( E = -\nabla \phi \) reads

\[
j^{(\text{dark})}|_{\n=0} = -2\sigma^{(\text{dark})}\nabla \phi, \tag{16}
\]

\[
\sigma^{(\text{dark})} = -\sigma_{D} \int d\varepsilon \varepsilon^{2} \partial_{\varepsilon} f^{(T)}, \tag{17}
\]

Here \( \sigma_{D} = e^{2}\nu_{0}R^{2}_{2}/2\tau_{rr} \) is the classical Drude conductivity per spin orientation in a strong \( B \) (\( \omega_{\nu} \tau_{rr} \gg 1 \)), the transport relaxation time \( \tau_{rr} \) is expressed in terms of the moments \( \tau_{n} \), Eq. 2, as \( \tau_{rr} = (\tau_{0}^{-1} - \tau_{1}^{-1})^{-1} \), and the superscript “(dark)” refers to the equilibrium state in the absence of microwaves.

Now we put \( E = -\nabla \phi = 0 \) and calculate the diffusion current, i.e. the linear response to a small gradient \( \nabla n \) of the concentration

\[
n(x) = 2\nu_{0} \int d\varepsilon \varepsilon f_{xx}. \tag{18}
\]

The diffusion current

\[
j^{(\text{dark})}|_{\n=0} = -eD^{(\text{dark})}\nabla n, \tag{19}
\]

defines the dark diffusion coefficient

\[
D^{(\text{dark})} = 2\nu_{0}\sigma^{(\text{dark})}/\chi^{(\text{dark})}. \tag{20}
\]

Using \( n \) given by Eq. 18, we express the dark compressibility as

\[
\chi^{(\text{dark})} = \partial n/\partial \mu = -2\nu_{0} \int d\varepsilon \varepsilon \partial_{\varepsilon} f(T). \tag{21}
\]

The quantity \( D^{(\text{dark})} \) has the dimensionality of the diffusion coefficient \( D^{(\text{dark})} \) and is defined through the relation

\[
j^{(\text{dark})}|_{\n=0} = -2e\nu_{0}D^{(\text{dark})}\nabla \mu(x) \tag{22}
\]

as the current response to the gradient of the chemical potential \( \nabla \mu(x) \). Calculation using Eq. 4 gives

\[
D^{(\text{dark})} = -\frac{R^{2}}{2\tau_{rr}} \int d\varepsilon \varepsilon^{2} \partial_{\varepsilon} f(T). \tag{23}
\]

If we now allow for a generic weak perturbation of a homogeneous equilibrium system, the local current takes the form

\[
j^{(\text{dark})} = -2\sigma^{(\text{dark})}\nabla \phi(x) - eD^{(\text{dark})}\nabla n(x). \tag{24}
\]

According to Eqs. 16, 23, the diffusion coefficient \( D^{(\text{dark})} \) and conductivity \( 2\sigma^{(\text{dark})} \) are related as

\[
2\sigma^{(\text{dark})} = e^{2}\chi^{(\text{dark})}D^{(\text{dark})}. \tag{25}
\]

The Einstein relation 25 ensures the absence of the electron flow in the equilibrium state 13 with

\[
\nabla \eta = \nabla \mu + e\nabla \phi = (\chi^{(\text{dark})})^{-1}\nabla n + e\nabla \phi = 0. \tag{26}
\]
Related to Eq. (24) is a simpler identity
\[ \sigma^{\text{dark}} = e^2 \nu_0 D^{\text{dark}}, \]
which does not involve the compressibility.

An important consequence of the Einstein relation is that the current response of any equilibrium system can be represented as
\[ j^{\text{dark}} = -2e \nu_0 D^{\text{dark}} \nabla \eta(x), \]
i.e., the current is proportional to the gradient of the chemical potential with respect to Landau levels.

In this limit, the nonequilibrium steady state the Einstein relation (27) between the dc conductivity \( \sigma \) and diffusion coefficient \( D \) implies energy averaging over the \( \omega_c \)-periodic DOS oscillations. In high-\( T \) limit, the dark compressibility (21) reduces to \( \chi^{\text{dark}} = 2 \nu_0 \langle \tilde{\nu} \rangle \), \( 2 \pi^2 T / \omega_c \gg 1 \). (29)

Here \( \langle \ldots \rangle \) implies energy averaging over the \( \omega_c \)-periodic DOS oscillations. In high-\( T \) limit, the dark compressibility (21) reduces to \( \chi^{\text{dark}} = 2 \nu_0 \langle \tilde{\nu} \rangle \), \( 2 \pi^2 T / \omega_c \gg 1 \). (30)

A simple linear relation \( \eta(x) = 2 \nu_0 \mu(x) \) makes two definitions of the diffusion current (19) and (22) identical,
\[ D^{\text{dark}} = D^{\text{dark}} = \frac{1}{2 \tau_{\text{tr}}} \langle \tilde{\nu} \rangle \), \( 2 \pi^2 T / \omega_c \gg 1 \). (31)

In the presence of microwaves, however, the two definitions are not fully equivalent in view of the microwave-induced magnetos oscillations in the compressibility (MICO), see discussion in Sec. IV C and in Sec. V A.

IV. LOCAL CONDUCTIVITY AND DIFFUSION COEFFICIENT IN ILLUMINATED 2DEG

A. Nonequilibrium current flow

We now turn to evaluation of the transport properties in the presence of microwave radiation. The key observation is that in the nonequilibrium steady state the Einstein relation (27) between the dc conductivity \( \sigma \) and diffusion coefficient \( D \) does not hold anymore, \( \sigma \neq e^2 \nu_0 D \). In other words, the current cannot be represented in the form of Eq. (28) with some modified transport coefficient and electrochemical potential. According to our calculation based on Eqs. (3) and (12), the nonequilibrium dc current
\[ j_x = -2 \sigma_0 \nabla \phi - 2e \nu_0 D \nabla \eta. \]
necessarily contains an extra "anomalous term" \(-2 \sigma_0 \nabla \phi \) violating the Einstein law. In these terms, the total conductivity \( \sigma \), which defines the dc current \( j = 2 \sigma E \) in a homogeneous system (as in the case of MICO, see Sec. III A), is given by
\[ \sigma = \sigma_\epsilon + e^2 \nu_0 D, \]
while the diffusion coefficient \( D \) entering the current \( j|_{E=0} = -e D \nabla \eta \) at \( E = 0 \), is expressed through the nonequilibrium compressibility \( \chi^{\text{2D}} \)
\[ D = 2 \nu_0 \chi^{-1} \]
similar to Eq. (20). In next two subsections we calculate the anomalous conductivity \( \sigma_\epsilon \), the photoinduced part of the diffusion coefficient to the minimal order \( E^2 \omega^{-2} \).

B. Anomalous component of conductivity

In this subsection we calculate the anomalous component \( \sigma_\epsilon \) of the conductivity. For that purpose we put \( \nabla \eta = 0 \) and use Eqs. (3) and (12) with the position-independent dark distribution,
\[ f_{e}^{\text{(T)}} = \left[e^{\left(\varepsilon - \eta \right)/T} + 1 \right]^{-1}, \quad \eta = \text{const}(x). \]

Similar to the dark case, Sec. III B the microwave correction (6) to the elastic scattering rate gives no contribution to the current (3) due to cancellation \( M_{\text{el}} \langle \varepsilon - \varepsilon \rangle \). Therefore, the current \( \propto E^2 \omega^{-2} \) can be either due to (i) the microwave-assisted scattering off disorder (represented by the second term \( \Gamma^{\text{(ph)}} \) in Eq. (3) with unperturbed \( f = f_{e}^{\text{(T)}} \), displacement mechanism) or due to (ii) the position dependence of the microwave-induced nonequilibrium distribution (12) [modifying the elastic term in the total current (3)], inelastic mechanism. Correspondingly, the anomalous conductivity \( \sigma_\epsilon \) is a sum of the displacement and inelastic contributions
\[ \sigma_\epsilon = \sigma_\epsilon^{\text{(dis)}} + \sigma_\epsilon^{\text{(in)}} \]

Displacement contribution \( \sigma_\epsilon^{\text{(dis)}} \). Using the position-independent distribution (34), the second term of Eq. (34) can be represented as
\[ j_\epsilon^{\text{(dis)}} = 2e \nu_0 \sum_{\pm} \int dx \left(f_{e}^{\text{(T)}} - f_{x \pm \omega}^{\text{(T)}} \right) (\Theta(\Delta)) \Gamma^{\text{(ph)}} \]
\[ \times \int dx' \tilde{\nu}(\varepsilon - e \phi(x')) |\tilde{\nu}(\varepsilon \pm \omega - e \phi(x' + \Delta))| \]

where the Heaviside function \( \Theta(\Delta) \) imposes the condition \( \Delta \equiv \Delta(X_{\phi_{x'}} > 0) \), see Eq. (3). The electric field enters this expression only through the position dependence of the local DOS \( \tilde{\nu}_{xx} = \tilde{\nu}(\varepsilon - e \phi(x)) \). In the absence of the local electric field \( E = -\nabla \phi(x) \), two terms of Eq. (37) corresponding to \( \varepsilon \pm \omega \) exactly cancel each other. The
terms linear in \( E \) produce the displacement contribution to the current \( j_\eta^{\text{(dis)}} = \sigma_\eta^{\text{(dis)}} E \) under condition (15):

\[
\sigma_\eta^{\text{(dis)}} = \sigma_D \frac{\tau_{\text{tr}}}{4\tau_c} (P - \text{Re}[\mathcal{E}_+ \mathcal{E}_-^*]) R_1(\omega),
\]

(38)

\[
R_1(\omega) = \omega \partial_\omega (\hat{v}_\omega \hat{v}_{\omega + \omega})_\epsilon.
\]

(39)

Here we used \( 2\pi^2 T/\omega_c \gg 1 \), and

\[
\tau_\epsilon^{-1} = 3\tau_0^{-1} - 4\tau_1^{-1} + \tau_2^{-1}
\]

(40)
in terms of Eq. (2). Function \( R_1(\omega) \) oscillating with the ratio \( \omega/\omega_c \) is specified in Sec. IV.D.1 for two limits of strongly overlapping and well separated Landau levels, together with similar oscillatory functions entering Eqs. (13) and (47).

Inelastic contribution \( \sigma_\eta^{\text{(in)}} \). The inelastic contribution is due to microwave-induced changes \( \delta f \) in the distribution function. To the leading order \( E_\omega^2 \) and in the limit \( \nabla \phi(x) \to 0 \), Eqs. (12) and (15) give

\[
\delta f_{xx} = R_\eta \frac{\tau_{\text{in}}}{4\tau_{\text{tr}}} \sum \pm (f_{xx}(e) - f_{xx}(\epsilon)) \hat{v} \delta [\epsilon \pm \omega - \epsilon \phi(x)].
\]

(41)

In contrast to the spatially independent \( f_{xx}(T) \), the microwave-induced part \( \delta f \) of the electronic distribution oscillates in coordinate space at a fixed total energy \( \epsilon \) due to spatial oscillations of DOS \( \hat{v}_\epsilon \) in Landau levels tilted by the electric field. As a result, the elastic contribution to the current (3) does not vanish, \( M_{xx, \epsilon} \neq M_{xx, \epsilon} \). Substitution of Eq. (11) for \( f_{xx} \) in the elastic term of Eq. (3) with \( \Gamma_{\varphi\varphi'}^{(el)} = \tau_\epsilon^{-1} \) produces inelastic contribution to the current,

\[
\langle j_\eta^{\text{(in)}} \rangle = 2e\nu_0 \int d\epsilon \left\langle \Delta \Theta(\Delta) \int_{-\Delta}^{\varphi_\varphi'} dx' \frac{\hat{v}_{\epsilon x}^2 \nabla_{\epsilon x} \delta f_{xx}}{\varphi_\varphi'} \right\rangle,
\]

(42)

where \( \Delta = \Delta X_{\varphi\varphi'} > 0 \) as above in Eq. (37). Assuming \( 2\pi^2 T/\omega_c \gg 1 \) and keeping the linear term \( \propto \nabla \phi(x) \), we obtain \( \langle j_\eta^{\text{(in)}} \rangle = \sigma_\eta^{\text{(in)}} E \) with

\[
\sigma_\eta^{\text{(in)}} = \sigma_D \frac{\tau_{\text{in}}}{4\tau_{\text{tr}}} \mathcal{P} R_2(\omega),
\]

(43)

\[
R_2(\omega) = \omega \partial_\omega (\hat{v}_\omega \hat{v}_{\omega + \omega})_\epsilon.
\]

(44)

It is worth mentioning that both \( \sigma_\eta^{\text{(dis)}} \) and \( \sigma_\eta^{\text{(in)}} \) originate from the spatial dependence of the DOS which requires both the Landau quantization and the presence of electric field. In the absence of Landau quantization, \( \hat{v} = 1 \), functions \( R_1(\omega) \) and \( R_2(\omega) \) entering Eqs. (39) and (44) vanish (see Sec. IV.D). Therefore, within our model the Einstein relation of the dc conductivity and diffusion coefficient is restored in the classical limit \( \omega_c \tau_\eta \to 0 \);

\[
\sigma_{\text{et}} = \sigma_{\text{et}}^{\text{(dis)}} + \sigma_{\text{et}}^{\text{(in)}} = 0,
\]

see Eqs. (32), (30), (38), (43), and (51).

C. Microwave-induced oscillations of the diffusion coefficient

Now we assume \( \nabla \phi = 0 \) and \( \nabla \mu = \nabla \eta \neq 0 \), and calculate the microwave-induced correction \( D_{\text{ph}} = D - D^{(\text{dark})} \) to the diffusion coefficient, see Eqs. (42) and (44). In contrast to the previous subsection, now the DOS is position independent, while the dark distribution varies in space. Using the linear approximation \( f_{xx}(T) - \delta x \nabla \mu \partial_\epsilon f_{xx}(\epsilon) \) in Eq. (3), we obtain \( j_x = -2e\nu_0 \nabla \mu \) with

\[
D = \int d\epsilon \left\langle [\partial_\epsilon f_{xx}(\epsilon)] (\Theta(\Delta X_{\varphi\varphi'}) \Delta X_{\varphi\varphi'}^2 \right\rangle
\]

\[
\times \left\langle [\hat{v}_\omega^2 \Gamma_{\varphi\varphi'}^{(el)} + \hat{v}_\omega (\hat{v}_{\omega - \omega} + \hat{v}_{\omega + \omega}) \Gamma_{\varphi\varphi'}^{(ph)})] \right\rangle \varphi_{\varphi'}.
\]

(45)

Performing the angular and thermal averaging for \( 2\pi^2 T/\omega_c \gg 1 \), we get, similar to Eqs. (35)–(41),

\[
D_{\text{ph}} = \frac{R_\mu^2}{8\tau_\mu} (P - \text{Re}[\mathcal{E}_+ \mathcal{E}_-^*]) R_3(\omega),
\]

(46)

\[
R_3(\omega) = \langle \hat{v}_\omega (\hat{v}_{\omega - \omega} + \hat{v}_{\omega + \omega}) \rangle_\epsilon.
\]

(47)

where \( D_{\text{ph}} = D - D^{(\text{dark})} \) and \( D^{(\text{dark})} \) is given by Eq. (41).

In Eqs. (45) and (49), the microwave-induced changes in the distribution function (41) were not taken into account. The reason is that in the limit \( 2\pi^2 T/\omega_c \gg 1 \) the corresponding contribution to the diffusion coefficient is exponentially suppressed. The inelastic contribution \( D_{\text{ph}}^{(\text{in})} \), obtained from Eqs. (41) and (42) using \( \nabla \phi = 0 \) and \( \nabla \mu \to 0 \), reads

\[
D_{\text{ph}}^{(\text{in})} = \frac{D_{\text{ph}}^{(\text{dis})}}{8\tau_\mu^2} \int d\epsilon \hat{v}_\epsilon^2 \hat{v}_{\epsilon \omega} \partial_\epsilon [f_{xx}(T) - f_{xx}^{(T)}]_\epsilon.
\]

(48)

In the limit \( 2\pi^2 T/\omega_c \gg 1 \), this expression vanishes similar to the Shubnikov-de Haas oscillations. Therefore, the microwave-induced oscillations in the diffusion function (41) produce the contribution (13) to the anomalous conductivity \( \sigma_\eta \) only, while the displacement mechanism provides similar oscillations both in \( \sigma_\eta \), Eq. (38), and in \( D \), Eq. (46).

While the dark quantities \( D^{(\text{dark})} \) and \( D^{(\text{dark})} \) are identical at \( 2\pi^2 T/\omega_c \gg 1 \), see Eq. (31), in the presence of microwaves they are not equivalent in view of the microwave-induced compressibility oscillations (MICO). MICO do not enter the quantity \( D \) since it is defined through \( \nabla \mu \), but modify the diffusion coefficient \( D \) defined through \( \nabla n = \nabla \mu \), which gives

\[
D_{\text{ph}} \equiv D - D^{(\text{dark})} = 2e\nu_0 \chi^{-1} \nabla \mu.
\]

(49)

However, since we are interested in linear-in-\( E_\omega^2 \) corrections to \( D^{(\text{dark})} \) and \( D^{(\text{dark})} \) in the present work, we can approximate the compressibility by its dark value \( \chi^{(\text{dark})} = 2e\nu_0 \) (thus neglecting MICO that lead to terms \( \propto E_\omega^2 \) in \( D_{\text{ph}} \)). Moreover, even at high orders in \( E_\omega \), the compressibility can be approximated as \( \chi = \partial n/\partial \mu = \chi^{(\text{dark})} = 2e\nu_0 \).
2ν₀ assuming spatial variations of n(x) are smooth on a scale of the inelastic length. At shorter length scales, MICO can be strong (of order ν₀). This situation arises, in particular, in the regime of ZRS,²² see Sec. V A.

So far we considered the two cases ∇η = 0 and ∇φ = 0 which give, correspondingly, the anomalous conductivity σₐ and the photoinduced correction to the diffusion coefficient D = Dₜₐ × Dₚ. The sum σₚ = σₐ + e²ν₀Dₚ, given by Eqs. (36), (38), (43), and (46), reproduces the results obtained earlier for the homogeneous case of the MIRO.¹²³,¹²⁵,¹²⁶ which corresponds to ∇μ = 0 and to the constant −∇φ = −∇η/e = E, see also Sec. V C.

D. Form of the oscillations for overlapping and separated Landau levels

The form and the phase of the magnetooscillations in the anomalous conductivity σₐ and in the diffusion coefficient D, Eqs. (49), (51), and (57), as well as the quantum correction to the dark conductivity, Eqs. (29), are expressed through the certain energy averages Rₙ(ω) over the period ω of the DOS oscillations,

\[ R₀ = 〈n²/2ε〉, \]
\[ R₁(ω) = ω∂ₖ/ω(μ/ω + 2ε/ω), \]
\[ R₂(ω) = ω∂ₖ/ω(μ/ω + 2ε/ω), \]
\[ R₃(ω) = (n²/2ε) - (μ/ω + 2ε/ω). \] (50)

Here we specify these functions in two limits of strongly overlapping and of well-separated Landau levels (LLs) within the self-consistent Born approximation (SCBA). At high LLs, εₙ ≫ ω, ωₜ, disorder can be treated within the SCBA provided the disorder correlation length satisfies d ≪ lₓ and d ≪ εₙτₓ, where lₓ = (e²/εB)¹/² is the magnetic length and τₓ the quantum relaxation time [τₓ ≡ τₓ in terms of the moments τₓ, Eq. (2)].⁴⁵,⁴⁶,⁴⁷,⁷₄

In moderate magnetic field, δ = exp(−π/ωₜτₓ) ≪ 1, LLs strongly overlap and the DOS is only weakly modulated by the magnetic field, 〈μ/ω + 2ε/ω〉 ≈ 1. In this limit

\[ R₀ = 1 + 2δ², \]
\[ R₁ = -2δ² 2πω/ωₜ sin(2πω/ωₜ), \]
\[ R₂ = -8δ² 2πω/ωₜ sin(2πω/ωₜ), \]
\[ R₃ = 4δ² sin²(2πω/ωₜ). \] (51)

In the limit of separated LLs, ωₜτₓ ≫ 1, the DOS is a sequence of semicircles of width 2Γ = 2(2ωₜ/πτₓ)¹/² ≪ ωₜ, i.e., 〈μ/ω + 2ε/ωₜ〉 ≈ 1. In this limit, calculation yields

\[ R₀ = 16ωₜ/3π²Γ, \] (52)
\[ R₁ = R₀ 2π/π₂ (sgn(Ωₙ)H₂(Ωₙ)), \] (53)
\[ R₂ = -R₀ 4ωₜωₚ/π₂ (sgn(Ωₙ)H₃(Ωₙ)), \] (54)
\[ R₃ = R₀ 1 - n H₁(Ωₙ). \] (55)

The parameterless functions of Ωₙ = (ω − nωₜ)/Γ are nonzero at 0 < |Ωₙ| < 2, where they are expressed as

\[ H₁(x) = (2 + x) [(4 + x²)E(x) - 4xK(x)]/8, \] (56)
\[ H₂(x) = 3x [(2 + x)E(x) - 4K(x)]/8, \] (57)
\[ 4πR₃(x) = 3x arccos(x - 1) - x(1 + x)√(2 - x). \] (58)

Here X ≡ (2 − x)/(2 + x)² and the functions E and K are the complete elliptic integrals of the first and second kind, respectively. Graphical representation of the functions (55) can be found in Ref. 73.

In the crossover magnetic field, ωₜτₓ ≈ 1, functions (50) obtained using analytical expressions for the DOS become very cumbersome. In this crossover region, the form of the oscillations can be obtained using numerical solution of the SCBA equations.²² In particular, such numerical solution is used in the calculation illustrated in Fig. 2b, below.

V. PHOTOCURRENT AND PHOTOVOLTAGE OSCILLATIONS

In this section, we use the obtained local transport coefficients for calculation of the electrical current in different experimental situations. The effects related to microwave-induced modifications of the spatial distribution of carriers and fields are considered in Sec. V A. The current-voltage characteristics (CVC) of an infinite 2DEG stripe between two metallic contacts are obtained in Sec. V B. Using these CVC, in Sec. V C we calculate the photocurrent and photovoltage and compare our findings with the experiment of Ref. 64. In Sec. V D we also reproduce the theory.

A. Photo-induced changes in the field and charge distribution

In the presence of nonuniform carrier and field distributions, as in Fig. 1b, the local transport coefficients σₐ and D entering the local current (52) do not completely determine the transport. The full theory should include a self-consistent solution of the Poisson and continuity equations for a given experimental setup. Indeed, the photoinduced current density, given by Eq. (52) with the dark profile of the electrostatic potential φₜ(dark) and...
with \( \nabla \eta = 0 \), is \( j_x = -2\sigma_n \nabla \phi^{(\text{dark})} \). In general, such \( j_x \) does not satisfy the continuity equation \( \nabla j_x = 0 \) in view of a nonlinear spatial variation of \( \phi^{(\text{dark})} \), as, for instance, in Fig. 1. Therefore, the photoinduced variation of the electron density \( \delta n(x) = n - n_{\text{dark}} \) (we assume \( |\delta n(x)| \ll n \)) and of the electrostatic potential \( \delta \phi(x) = \phi - \phi^{(\text{dark})} \) should be taken into account. The latter are related to each other by the inverse capacitance matrix \( \hat{W} \) as

\[
\delta \phi_x = e \int dx W_{xx'} \delta n_{x'}. \tag{59}
\]

Using the relation

\[
\delta \eta = e\delta \phi + \chi^{-1} \delta n \tag{60}
\]

valid at \( 2\pi^2 T/\omega_c \gg 1 \), we represent the Poisson equation in the form

\[
\delta \eta = [1 + (e^2 \hat{W})^{-1}] e \delta \phi. \tag{61}
\]

Using Eqs. (32) and (61) for a fixed current density \( j_x = j = \text{const}(x) \), one arrives to a formal solution for the local variation \( \delta \eta(x) \) of the electrochemical potential,

\[
\delta \eta = -e \left\{ 2\sigma_n \nabla[1 + (e^2 \hat{W})^{-1}]^{-1} + 2e^2 \nu_0 D \nabla \right\}^{-1} 
\times (j + 2\sigma_n \nabla \phi^{(\text{dark})}). \tag{62}
\]

Solution of the above non-local equation is required if the amplitude of oscillations in \( \sigma_\eta \) becomes of order \( \sigma^{(\text{dark})} = e^2 \nu_0 D^{(\text{dark})} \) [otherwise one can neglect the photoinduced changes \( \delta n(x) \) in view of the smallness of \( \sigma_\eta \approx E_\sigma^2 \)]. In conventional magnetoresistivity experiments this corresponds to the regime where the zero-resistance states are formed \([2, 65, 66, 67, 68, 72]\) [both theory and experiments show that the ZRS appear still in the linear regime in the microwave power where Eqs. (60), (63), (63), and (66) still apply]. According to the theory of Ref. [2], the ZRS is a manifestation of a spontaneous symmetry breaking of a homogeneous state with negative resistivity leading to the formation of the current domains. In this picture, the residual resistivity in the ZRS, which is observed in part of experiments, is due to the electron transport across the domain walls and near the boundary of the 2DEG. Inside the domains, the transport is dissipationless.

The boundaries of the domains are characterized by strongly nonuniform carrier and field distributions. Therefore, the results of the present work, in particular, the violation of the Einstein relation and the appearance of the anomalous component of conductivity \( \sigma_\eta \), should play an important role for development of microscopic theory of transport in the ZRS regime.

### B. Boundary conditions and current-voltage characteristics

We now consider the photocurrent and photovoltage oscillations in a 2DEG with metallic contacts. As we show below, specific boundary conditions \([61]\) at the interface with metallic contacts make the details of the potential and carrier distributions inside the sample irrelevant [thus, one need not solve a complicated electrostatical problem \([62]\)]. More precisely, as long as simple 1D or Corbino geometry is considered (see Fig. 5) and the built-in electric field is not too strong, the current and voltage between the contacts are fully determined by the difference of the work functions of the contacts and by the local transport coefficients \( \sigma_\eta \) and \( D \), see Eq. (65) below.

Indeed, using the fact that in the linear approximation with respect to the dc field not only \( j_x \) but also \( \sigma_\eta \) and \( D \) are position independent, and integrating both parts of Eq. (62) along a contour connecting two contacts at \( x = 0 \) and \( x = L \), we obtain the relation

\[
j L = 2\sigma_\eta [\phi(0) - \phi(L)] + 2e \nu_0 D [\eta(0) - \eta(L)]. \tag{63}
\]

It is natural to assume that microwave radiation does not change the electron concentration on the metallic side of the interfaces due to a huge density of states there. Since both the electrochemical and electrostatic potentials are continuous at the interface, this fixes the chemical potential in the 2DEG near the interfaces. Introducing the voltage \( V = [\eta(0) - \eta(L)]/e \) and the difference of the work functions of the two contacts \( e \mathcal{U}_c \), we write the boundary condition in the form

\[
\phi(0) - \phi(L) - V = [\mu(L) - \mu(0)]/e \equiv \mathcal{U}_c. \tag{64}
\]

Equations (63) and (64) yield the desired current-voltage characteristics (CVC),

\[
j L = 2\sigma_\eta \mathcal{U}_c + 2e \mathcal{V}, \tag{65}
\]

where \( 2\sigma = 2\sigma_\eta + 2e^2 \nu_0 D \) is the total conductivity. We emphasize that the CVC retains the form (65) for arbitrary microwave power (provided the transport coefficients \( \sigma_\eta \) and \( D \) are calculated to all orders in \( \mathcal{P} \)). Also, Eq. (65) is applicable in the case when the microwave-induced redistribution of carriers is significant, \( \sigma_\eta \sim \sigma^{(\text{dark})} \), see Eq. (62) [provided the relative change of the electron density across the sample remains small]. The CVC (65) is modified only when the linear approximation with respect to the dc field breaks down. For such strong dc fields, the transport coefficients \( \sigma_\eta \) and \( D \) become field- and coordinate-dependent (and, therefore, are no longer uniquely defined). Only such strong dc field makes important the details of the electrochemical and electrostatic potential distribution in the interior of the sample, which necessitates the full solution of the Poisson and continuity equations with the boundary conditions (61).

### C. Photocurrent and photovoltage

If the geometry of two contacts is identical and the difference of the contact potentials is zero, \( \mathcal{U}_c = 0 \), the CVC
reproduces the Ohm law in the bulk, \( j = 2\sigma V/L \). Here \( \sigma \) contains the displacement and inelastic contributions to the MIRO, Eqs. (30), (38), (43), and (46), reproducing the results of previous calculations.\(^{45,46,47,48,49,50}\) An asymmetric contact configuration results in a non-zero average electric field \( \overline{E} = U_c/L \) inside the sample in the absence of the bias voltage, \( V = 0 \). In the presence of the microwave induced anomalous conductivity, \( \sigma_\eta \neq 0 \), the built-in electric field \( \overline{E} = U_c/L \) leads to the photocurrent at zero bias voltage,

\[
j_{ph} \equiv j|_{V=0} = 2\sigma_\eta U_c/L, \tag{66}\]

or, in the open circuit, to the photovoltage

\[
V_{ph} \equiv V|_{j=0} = -\frac{\sigma_\eta}{\sigma}U_c, \tag{67}\]

as observed in the experiment.\(^{64}\) Two experimental traces of the photocurrent for different temperatures are shown in Fig. 2a. Figure 2b illustrates the inelastic contribution\(^{45,46}\) to the anomalous conductivity (30), which demonstrates an excellent agreement between the theory and experiment. A typical small shift of the zeros of the photocurrent from the integer and half-integer values of \( \omega/\omega_c \) in experimental traces is similar to observations\(^{65}\) for MIRO and can be attributed\(^{66}\) to a slight deviation of the electron effective mass from the standard value \( m = 0.067m_0 \) used in Fig. 2a.

In the case of overlapping LLs, the phase and the form of the photocurrent oscillations is identical for the displacement and inelastic contributions to \( \sigma_\eta \), see Eqs. (66), (68), (43), and (51). Therefore, one can distinguish between them only owing to a strong temperature dependence of the inelastic scattering rate. The temperature dependence in Fig. 2a shows that the inelastic contribution to the anomalous conductivity \( \sigma_\eta \) is substantial. At the same time, this dependence is weaker than \( \sigma_\eta \propto T^{-5} \) predicted by the theory\(^{45,46}\) at the leading order in both the dc and microwave fields, see Eq. (43). The weaker T-dependence can be attributed either to a strong admixture of the displacement contribution\(^{45,46,48,49}\) at \( T = 1.5K \) or to nonlinear effects\(^{45,46,48,49}\) (in the microwave power or in the dc field). Alternatively, it can be the manifestation of a noticeable heating of the electron gas\(^{49,73}\) at \( T = 0.5K \), since the inelastic scattering time is a function of the electron temperature rather than the bath (phonon) temperature.

Let us emphasize that the analysis of the 1D geometry considered above (Fig. 3b) is directly applicable to the case of the Corbino geometry (Fig. 3b) for which the Hall conductivity does not enter the local relation \( \eta \). In the case of Corbino geometry, the current density \( j(r) \propto r^{-1} \) is inversely proportional to the distance from the center, so that the total current \( J = 2\pi r j(r) \) is conserved. Therefore, integrating both parts of Eq. (62) along a contour connecting two contacts at \( r = a \) and \( r = b \) one obtains a modified CVC in the form

\[
J \frac{1}{2\pi} \ln \frac{b}{a} = 2\sigma_\eta U_c + 2\sigma V. \tag{68}\]

Comparison of Eqs. (68) and (65) shows that results for 1D geometry (Fig. 2a) transform into results for Corbino geometry (Fig. 2b) after replacement \( jL \rightarrow jL \frac{1}{r} \ln \frac{r}{b} \). In both cases of 1D and Corbino geometry, the photogalvanic signals result from non-zero average built-in electric field which requires either different work functions of metallic contacts or different electron densities under capacitively coupled gated probes.\(^{64}\) A further possible source of the asymmetry is different geometry of the contacts, e.g. the Corbino-like geometry of the internal contact and the strip-like geometry of the external contact located on the perimeter of the sample, see Fig. 2. As in the part of the experiment of Ref. 64 that utilized heavily doped ohmic contacts. For such geometry, the
photo-galvanic signals were shown\textsuperscript{64} to be formed in the vicinity the internal Corbino-like contact and the above consideration should be valid if one puts the difference of work functions of the doped internal contact and 2DEG instead of $U_c$ in Eqs. (55), (60), and (67).

D. Nonlinear effects in the photovoltage; Photoresistance

The magnetooscillations in the photocurrent\textsuperscript{60} are fully determined by the anomalous conductivity $\sigma_\eta$ and, therefore, the oscillations are symmetric with respect to the average value $j = 0$, see Fig. 2. By contrast, the experimental traces of the photovoltage oscillations showed\textsuperscript{64} a strong asymmetry with respect to $V_{ph} = 0$ value. This asymmetry is due to additional microwave-induced oscillations in the denominator $\sigma = \sigma^{(dark)} + \sigma_\eta + e^2 v_0 D_{ph}$ of Eq. (67). From previous studies of the MIRO\textsuperscript{45-48} it is known that contributions to $\sigma_\eta$ of second order in the microwave power are still small when the magnitude of the first-order terms approaches the dark conductivity $\sigma^{(dark)}$. This legitimates the use of Eq. (67) in the nonlinear regime. Neglecting inessential correction $D_{ph}$ which is a factor $\sim \pi \omega / \omega_c$ smaller than the displacement contribution\textsuperscript{38} to $\sigma_\eta$ even in the absence of the inelastic contribution\textsuperscript{113}, one can rewrite Eq. (67) as

$$V_{ph} \simeq \frac{-1}{1 + \sigma^{(dark)}/\sigma_{\eta}} U_c.$$  

Equation (69) explains a strong asymmetry of the photovoltage oscillations observed in the experiment\textsuperscript{64} Further, the nonlinearity of Eq. (69) makes possible the experimental determination of the value of the contact potential difference $U_c$, since in the formal limit $|\sigma_{\eta}| \gg \sigma^{(dark)}$ one has simply $V_{ph} = -U_c$.

Apart from the photocurrent and photovoltage, one can measure the two-point differential photoresistance $R_{ph} = \partial V / \partial I$ by driving a small current through the sample. Such measurements were also done in Ref.\textsuperscript{64} and the results were compared to the ratio $V_{ph}/I_{ph}$ taken from two independent measurements of $V_{ph}$ and $I_{ph}$. The comparison demonstrated very good agreement, as can be expected from Eq. (66) giving

$$\frac{\partial V}{\partial j} = \frac{L}{2\sigma},$$  

and Eqs. (66) and (67) yielding

$$-\frac{V_{ph}}{I_{ph}} = \frac{L}{2\sigma}. \quad (71)$$

The measured photoresistance showed clear magnetooscillations with the phase opposite to the MIRO. The phase shift of oscillations by $\pi$ is in agreement with Eqs. (66), (71) predicting $R_{ph} \propto \sigma^{-1}$, which should be compared with $\rho_{xx} \propto \sigma$ in conventional magnetoresistivity measurements of the MIRO\textsuperscript{45-48}.

VI. CONCLUSION

Summarizing, we have presented a quantum transport theory for a 2DEG in high Landau levels illuminated by the microwave radiation in the presence of a spatially inhomogeneous dc electric field. The theory explains the microwave-induced photocurrent and photovoltage oscillations observed in the recent experiment\textsuperscript{64}.

We have shown that in an irradiated sample the Landau quantization leads to violation of the Einstein relation between the dc conductivity and the diffusion coefficient. As a result, a non-zero average electric field leads to the electric current which is not compensated by the diffusion flow even for the electrochemical potential remaining constant in space. The experimental observation of the effect requires an asymmetry (for instance, in contact geometry, as in Ref.\textsuperscript{64} or in material composition of two contacts) which determines the direction of the current. At the same time, the obtained current-voltage characteristics are shown to be independent of detailed potential profile in the sample provided the relative change in the electron density across the sample remains small.

The effects discussed in this work should also play an essential role for the transport in the zero resistance states\textsuperscript{3,4,5,6}. In this regime, the uniform charge and field distributions become electrically unstable\textsuperscript{22}. The system breaks into current domains and peculiarities of the transport properties of the inhomogeneous system become of central importance.
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Well-defined quantities in the out-of-equilibrium steady state are the local electron density \( n(x) \) and the electrostatic potential \( \phi(x) \). In the high-\( T \) limit, \( 2\pi^2T/\omega_c \gg 1 \), the influence of the Landau quantization on the local chemical potential can be neglected, \( \mu(x) = (2\nu_0)^{-1}n(x) \) (for smooth variations of \( \mu(x) \) and \( n(x) \), see Sec. IV.C). Since \( \mu/n = \text{const} \), we define the local electrochemical potential as \( \eta(x) \equiv \mu(x) + e\phi(x) = (2\nu_0)^{-1}n(x) + e\phi(x) \) and use this definition for a weakly nonequilibrium 2DEG.

As long as corrections to the Hall conductivity are not considered, contributions to the photocurrent involving higher temporal and angular harmonics of the distribution function can be neglected. \(^{29,20} \)

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