A comprehensive analysis of prognosis prediction models based on pathway-level, gene-level and clinical information for glioblastoma

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Abstract. The present study aimed to develop a pathway-based prognosis prediction model for glioblastoma (GBM). Univariate and multivariate Cox regression analysis were used to identify prognosis-related genes and clinical factors using mRNA-seq data of GBM samples from The Cancer Genome Atlas (TCGA) database. The expression matrix of prognosis-related genes was transformed into pathway deregulation score (PdS) based on the Gene Set Enrichment Analysis (GSEA) repository using Pathifier software. With PdS scores as input, L1-penalized estimation-based Cox-proportional hazards (PH) model was used to identify prognostic pathways. Consequently, a prognosis prediction model based on these prognostic pathways was constructed for classifying patients in the TCGA set or each of the three validation sets into two risk groups. The survival difference between these risk groups was then analyzed using Kaplan-Meier survival analysis and log-rank test. In total, 148 genes were discovered to be associated with prognosis. The Cox-PH model identified 13 prognostic pathways. Subsequently, a prognostic model based on the 13 pathways was constructed, and was demonstrated to successfully differentiate overall survival in the TCGA set and in three independent sets. However, the gene-based prognosis model was validated in only two of the three independent sets. Furthermore, the pathway-clinic factor-based model exhibited better predictive results compared with the pathway-based model. In conclusion, the present study suggests a promising prognosis prediction model of 13 pathways for GBM, which may be superior to the gene-level information-based prognostic model.

Introduction

Glioblastoma, namely glioblastoma multiforme (GBM), is the most fatal cancer developed within the brain, which is characterized by rapid progression, common therapeutic resistance and a high probability of recurrence (1,2). The mean survival time of patients is 12 to 15 months following diagnosis, with a small portion of patients surviving longer than five years (3). Disappointingly, small improvement has been made in GBM patients’ prognosis over the years (4). Therefore, powerful prognostic model based on molecular biomarkers are needed to facilitate accurate prediction of GBM prognosis.

Identifying prognostic biomarkers for GBM has attracted increasing attention. For instance, gene signature-based survival models for prognosis prediction with high-throughput data have been investigated in multiple studies (5,6). Sana et al (7) have suggested a six-microRNA (miRNA) signature-based risk score model as an independent prognostic predictor of GBM. Based on the observation that closely correlated genes are involved in the same biological processes, incorporating higher-order representative features, such as pathways, is thought to yield more stable and robust prognosis prediction (8). In addition, alterations in multiple pathways have important roles in cancer initiation and progression (9,10). Hence, characterization of pathway-level information is crucial for improving patient survival and developing individualized cancer therapies. Pathifier is an algorithm for pathway analysis of high-throughput data, which could quantify deviation of each pathway from normal behavior in a context-specific manner by using pathway deregulation score (PDS) (11). Pathway-based transcriptomic information of breast cancer has been used for prognosis prediction (12). For GBM, the pathways significantly associated with survival have been explored with Pathifier (11). However, prognosis stratification models based on pathway-level information have not been studied in GBM.

In the present study, based on The Cancer Genome Atlas (TCGA) data of GBM patients, a pathway-based prognosis prediction model was constructed using a combination of univariate and multivariate Cox regression analysis, PDS
calculation by Pathifier, and L1-penalized estimation-based Cox-proportional hazards (Cox-PH) model. Additionally, the risk differentiating power of pathway-based model was successfully validated in three independent sets. The pathway-based model was compared to a gene-based model for predictive robustness. Furthermore, the pathway-based information was integrated with clinical features to build a pathway-clinic factor-based model, with the aim of improving the prognostic performance of the pathway-based model. These findings may have important implications for GBM prognosis and may hold promising potential for personalized therapeutic intervention.

Materials and methods

Data source and preprocessing. The mRNA-seq data of 154 GBM tissue samples which were acquired from the TCGA repository (https://gdc-portal.nci.nih.gov/; platform: Illumina HiSeq 2000 RNA Sequencing) were considered as a training set in the current study. An additional three validation sets were used in the study: The gene expression profiles of 128 GBM samples numbered ‘Part A’ (13,14), which were downloaded from the Chinese Glioma Genome Atlas database (CGGA, http://cgga.org.cn/); the GSE13041 dataset (platform, GPL96; Affymetrix Human Genome U133 Array) including gene expression data of 191 GBM samples downloaded from Gene Expression Omnibus (GEO; https://www.ncbi.nlm.nih.gov/geo/); and the GSE74187 dataset (platform, GPL6480; Agilent-014850 Whole Human Genome Microarray 4x44K) of 60 GBM samples downloaded from GEO (https://www.ncbi.nlm.nih.gov/geo/). The clinical characteristics of all these four sets are listed in Table 1.

Raw data (CEL files) in GSE13041 (platform, GPL96) were processed for background correction and normalization by oligo package (15) (http://www.bioconductor.org/packages/release/bioc/html/oligo.html) in R language (version 3.4.1). With regard to the CGGA and GSE74187 datasets downloaded in the GPL6480 platform, probes were annotated to genes according to platform annotation profiles. By using the limma package (16) (https://bioconductor.org/packages/release/bioc/html/limma.html) in R language (version 3.4.1), data was log2 transformed to achieve normal distribution, and standardized using median normalization.

Identification of differentially expressed genes (DEGs). In the TCGA set, the patients that died within 6 months following diagnosis were classified as bad prognosis, and the patients with survival time >12 months were considered as good prognosis. The DEGs between the bad prognosis and good prognosis patients were screened using edgeR package in R language (version 3.4.1) with the thresholds of log fold change expression level of gene i >0.585 and false discovery rate (FDR) <0.05.

Screening for prognosis-related genes and clinical features. Using the survival package in R language (version 3.4.1; http://bioconductor.org/packages/survival/html/) univariate Cox regression analysis (17) was performed to reveal the DEGs and clinical features that are significantly associated with survival. The genes and clinical characteristics with log-rank P-value <0.05 were further subjected to multivariate Cox regression analysis to identify the prognosis-related genes (17). According to the expression levels of the prognosis-related genes, two-way hierarchical clustering analysis based on centered Pearson correlation algorithm (18) was conducted with the pheatmap package (19) in R language (https://bioconductor.org/packages/release/bioc/html/pheatmap.html; version 3.4.1).

Constructing a pathway-based prognosis prediction model. The Gene Set Enrichment Analysis (GSEA; http://www.broadinstitute.org/gsea/) software (20) is a freely available tool for analysis of microarray data at the gene-level, including 217 Biocarta pathways and 186 Kyoto Encyclopedia of Genes and Genomes (KEGG) pathways. In order to evaluate pathway deregulation associated with GBM, the Pathifier package (8) (http://bioconductor.org/packages/pathifier/) in R language (version 3.4.1) was applied to calculate a PDS for each pathway in each sample based on the expressions of the prognosis-related genes in the TCGA set. The PDS score was indicative of the degree of deviation in the activity of a pathway in GBM compared to the activity in normal tissue.

The PSD matrix was inputted, and least absolute shrinkage and selection operator (LASSO) estimation-based Cox-PH model was then used to identify the specific predictive pathways of prognosis by penalized package in R language (version 3.4.1). The optimal parameter ‘lambda’ was determined by running 1,000 simulations through cross-validation likelihood. Consequently, a pathway-based prognostic model was constructed with the predictive pathways and their Cox-PH coefficients and PDS scores. The prognosis index was calculated as follows: Prognosis Index (PI)=\sum_{i=1}^{n}CoeffPi x PDSPi; where CoefPi stands for the Cox-PH coefficient of pathway i; and PDSpi stands for the PDS score of pathway i.

All samples in the training set were divided into high-risk group (above median PI) or low-risk group (below median PI). The overall survival time of the two groups was compared using Kaplan-Meier survival analysis (21) and log-rank test. Similarly, all samples in each validation set (CGGA set, GSE13041 and GSE74187) were classified by PI into two risk groups, followed by comparison of overall survival time between the two groups. Receiver operating characteristic (ROC) curve analysis was conducted to compare the sensitivity and specificity of the prognosis prediction model. The area under the curve (AUC) was calculated as well. For log-rank test and ROC analysis, significance level was set at P<0.05.

Constructing a gene-based prognosis prediction model. In order to establish a prognosis prediction model based on gene expression, the prognostic genes for GBM were identified by LASSO estimation-based Cox-PH model, with expression matrix of the prognosis-related genes as input. Expression data of these prognostic genes and their Cox-PH coefficients were used to develop a gene-based prognosis prediction model as follows: PI=\sum_{i=1}^{n}Coeffgenei x expgenei; where CoefPi denotes the Cox-PH coefficient of gene i; and expgenei denotes expression level of gene i.

By using this model, all samples in the TCGA set, CGGA set, GSE13041, or GSE74187 were classified by PI into a high-risk group and a low-risk group, separately. The overall survival time of the two risk groups was compared by Kaplan-Meier survival analysis and log-rank test for each set, separately, followed by ROC analysis.
Developing a pathway+clinic factor-based model. In order to investigate whether clinical features could improve the predictive performance of the pathway-based model, the significant clinical features extracted from multivariate Cox regression analysis were combined with prognostic pathways into the LASSO penalized step to construct a pathway+clinic factor-based model. Similarly, samples were separated into high-risk group and low-risk group by PI for TcGA set, cGGA set, GSE13041, and GSE74187, separately. The model performance was evaluated similarly to that of the pathway-based model.

Results

DEGs in TCGA set. There were 38 bad prognosis samples and 38 good prognosis samples in TCGA set. A total of 402 DEGs were identified between the good and bad prognosis samples, including 84 downregulated DEGs and 318 upregulated DEGs (Fig. 1A). Two-way hierarchical clustering analysis of these DEGs revealed that the good prognosis samples were distinguished from the bad prognosis samples based on expression pattern of these DEGs (Fig. 1B).

Selection of prognosis-related genes and clinical characteristics. The 402 DEGs and clinical characteristics were subjected to univariate Cox regression analysis. Three clinical features, including age, chemotherapy and pharmaceutical therapy were significantly associated with overall survival by univariate cox regression analysis (P<0.05, Table II). In addition, multivariate cox regression analysis revealed that 148 genes and pharmaceutical therapy were independent biomarkers for prognosis in GBM (Table II).

As illustrated in Fig. 2A, two-way hierarchical clustering analysis demonstrated that TCGA samples were classified into two groups based on expression data of the 148 prognosis-related genes. Group 1 included 8 patients that received pharmaceutical therapy and 64 patients that did not receive pharmaceutical therapy. Chi-square test revealed that the two groups clustered by the 148 prognosis-related genes had a significant correlation to pharmaceutical therapy (χ²=48.149, P=3.951x10⁻¹²). In addition, Kaplan-Meier survival analysis revealed that group 2 had significantly improved survival compared with group 1 (P=1.663x10⁻⁰⁴, data not shown). According to Kaplan-Meier survival analysis, the patients with pharmaceutical therapy had significantly improved survival than the patients without pharmaceutical therapy in the TCGA set (P=4.789x10⁻⁰⁶, Fig. 2B). These results indicate that the pharmaceutical therapy is closely associated with prognosis.

Identification of prognostic pathways. The expression matrix of the 148 prognosis-related genes was transformed into PDS matrix, which was then used as initial input for LASSO estimation-based Cox-PH model. When the maximal cross-validation likelihood was -490.999, the optimal lambda value reached 19.700. Consequently, 13 pathways were selected to be prognostic pathways (Table III). These pathways involved 19 prognosis-related genes (Table IV).

As illustrated in Fig. 3, two-way hierarchical clustering analysis based on the 13 prognostic pathways PDS scores categorized all samples of TCGA set into two groups: Group I (n=69) and group II (n=85). There were 35 samples with pharmaceutical therapy and 25 samples without pharmaceutical therapy in Group I. Group II had 30 samples with pharmaceutical therapy and 49 samples without pharmaceutical therapy. The proportion of pharmaceutical therapy was significantly different between the two groups (χ²=4.899, P=0.027), indicating that this grouping approach had a significant association with pharmaceutical therapy. These results indicate that pharmaceutical therapy is an important prognostic clinical feature for GBM.

Prognostic performance of the pathway-based model. The pathway-based model calculated a PI for each sample with Cox-PH coefficients of the 13 prognostic pathways, and the TCGA samples were then separated by PI into the high-risk
group (n=77) and the low-risk group (n=77). According to Kaplan-Meier survival curves (Fig. 4A), the high-risk group had significantly shorter overall survival time compared with the low-risk group (10.38±8.68 vs. 13.74±11.71 months, respectively; P=0.005). The AUC was 0.987 (Fig. 4F), suggesting that the pathway-based model could predict the survival outcome of GBM patients.

The robustness of this pathway-based model was validated in the cGGA, GSE13041 and GSE74187 sets. All samples in each set were classified into high-risk group or low-risk group with the threshold of median PI. For cGGA set, improved survival was observed in the low-risk group compared with the high-risk group (16.69±8.35 vs. 12.28±7.16 months, respectively; P=0.005). The ROC value was 0.969 (Fig. 4F). The pathway-based model also exhibited good predictive power with P=0.004 (22.56±21.47 vs. 16.21±16.65 months, respectively; Fig. 4C) and AUC of 0.929 (Fig. 4F) in the GSE13041 set. In the GSE74187 set, the low-risk patients (n=30) had markedly longer overall survival time (23.40±11.56 vs. 14.89±7.54 months; P=1.635x10⁻⁴; Fig. 4D) and progress-free survival (PFS) time (19.23±12.01 month vs. 10.66±6.68; P=0.0003841; Fig. 4E). Furthermore, the ROC curve demonstrated an AUC value of 0.984 for overall survival, and 0.961 for PFS (Fig. 4F). These results indicate that the prognostic power of the pathway-based model is successfully validated in all the three independent sets.

**Prognostic performance of the gene-based model.** Based on expression data of the 148 prognosis-related genes, LASSO estimation-based Cox-PH model uncovered 22 genes that were significantly associated with survival (Table V). The 22-gene signature-based model calculated a PI for each sample as described above. All patients of the TCGA set, improved survival was observed in the low-risk group compared with the high-risk group (16.69±8.35 vs. 12.28±7.16 months, respectively; P=0.007; Fig. 4B), with an AUC of 0.969 (Fig. 4F). The pathway-based model also exhibited good predictive power with P=0.004 (22.56±21.47 vs. 16.21±16.65 months, respectively; Fig. 4C) and AUC of 0.929 (Fig. 4F) in the GSE13041 set. In the GSE74187 set, the low-risk patients (n=30) had markedly longer overall survival time (23.40±11.56 vs. 14.89±7.54 months; P=6.166x10⁻¹¹; Fig. 5A). The ROC value was
0.989 (Fig. 5F), consistent with the results of the Kaplan-Meier curve analysis.

The CGGA, GSE13041 and GSE74187 sets were then used to validate the pathway-based model. The gene-based model gave a P-value of 0.071 (13.49±7.41 vs. 15.48±8.59 months; Fig. 5B) and an AUC value of 0.94 (Fig. 5F) for the CGGA set, and a P-value of 0.011 (16.59±18.08 vs. 22.18±20.38 months; Fig. 5C) and an AUC value of 0.911 (Fig. 5F) for GSE13041. Additionally, there were obvious differences in both overall survival time (14.94±9.08 vs. 23.36±10.42 months; P=0.001; Fig. 5D) and PFS time (9.41±7.16 vs. 20.06±11.90 months; P=4.831x10^-6; Fig. 5E) between the different risk groups in GSE74187, with an AUC value of 0.987 for overall survival and 0.963 for PFS time (Fig. 5F). These results demonstrated that the gene-based model could successfully classify patients into two risk groups with significantly different overall survival time in GSE13041 and GSE74187. However, poor risk differentiation power was observed for the gene-based model in the CGGA set (P=0.071; Fig. 5B). Thus, the gene-based model was inferior to the pathway-based model for prognosis prediction in GBM.

Prognostic performance of the pathway+clinic factor-based model. In order to improve the prognostic performance of the pathway-based model, a prognosis prediction model of the 13 prognostic pathways combined with pharmaceutical therapy was constructed in the present study. Based on the PI calculated by this pathway+clinic factor-based model, all patients in the TCGA set were classified into high-risk group (n=77) and low-risk group (n=77). Significantly different overall survival time was observed between the high-risk and low-risk group (n=77) and low-risk group (n=77). Significantly different overall survival time was observed between the high-risk and low-risk group (n=77) and low-risk group (n=77). Significantly different overall survival time was observed between the high-risk and low-risk group (n=77) and low-risk group (n=77). Significantly different overall survival time was observed between the high-risk and low-risk group (n=77) and low-risk group (n=77).
of the pathway+clinic factor-based model was markedly more significant compared with the pathway-based model (2.951x10^{-12} vs. 0.004786). In addition, the AU c value of the pathway+clinic factor-based model was larger compared with the pathway-based model (0.990 vs. 0.985; Fig. 6C). These results demonstrated that the pathway+clinic factor-based model had better performance than the pathway-based model for risk assessment of GBM.

Discussion

GBM, the most common malignancy in brain, which is characterized by inevitable recurrence and dismal prognosis results in decreased health-related quality of life (22). It has been hypothesized that the prognosis models based on higher-order representative relationships with genes, such as pathways and network modules, have more stable and accurate results (23-25). Therefore, the present study focused on exploring prognosis models based on the degree of pathway dysregulation caused by GBM, in combination with Cox-PH model and LASSO estimation. A total of 148 genes were identified to be significantly associated with prognosis by Cox regression analysis. Based on the expression matrix of the 148 prognosis-related genes, LASSO estimation-based Cox-PH model identified 13 prognostic pathways. A pathway-based model was constructed with the Cox-PH coefficients and the PdS scores of these pathways. The pathway-based model was trained on the TCGA set, and tested on three independent sets (cGGA, GSE13041, and GSE74187) of different sample sizes, which were downloaded from different platforms. The results demonstrated that the pathway-based model could successfully classify patients in each set into two risk groups with significantly different survival outcome. In addition, the present study also constructed a gene-based prognosis prediction model with the expression matrix and the Cox-PH coefficients of the 22 prognostic genes. The prognostic power of this gene-based model was validated in only two of the three validation sets, suggesting that the pathway-based model performed better than the gene-based model in terms of outcome prediction. Therefore, the prognostic performance of the pathway+clinic factor-based model was evaluated next, since the pathway-based model was superior to the gene-based model for prognosis prediction in GBM.

It has been demonstrated that models using genomic data combined with clinical data exhibit more accurate prognosis prediction compared with models using genomic data or clinical data alone (26). Cheng et al (27) have demonstrated that combined clinical and genomic model is superior over models based on either data type in terms of prognostic accuracy. Additionally, Huang et al (12) have provided strong evidence that integration of clinical and genomic information could greatly improve prognosis prediction compared with using only one type of information. In order to further improve the prediction power of this pathway-based model, a pathway+clinic factor-based prognostic model was developed.
Figure 3. Two-way hierarchical clustering analysis of TCGA samples based on pathway dysregulation score of the 13 prognostic pathways. TCGA samples were clustered into two groups (group 1 and group 2). Chi-square test revealed that the two groups were significantly different in the proportion of pharmaceutical therapy (P=0.02703). TCGA, The Cancer Genome Atlas.

Figure 4. Prognosis performance of the pathway-based model. Samples in (A) TCGA set, (B) CGGA set, (C) GSE13041, and (D and E) GSE74187 were dichotomized by PI into a high-risk group and a low-risk group. The two groups were compared by Kaplan-Meier curves, and the P-value was calculated by log-rank test.

(F) comparison of ROC curves between different sets. ROC curves were generated with PI values as predictions compared to overall survival or progression-free survival. TCGA, The Cancer Genome Atlas; CGGA, Chinese Glioma Genome Atlas; PI, prognosis index; ROC, receiver operating characteristic.
Figure 5. Prognosis performance of the gene-based model. Samples in the (A) TCGA set, (B) CGGA set, (C) GSE13041, and (D and E) GSE74187 were divided by PI into a high-risk group and a low-risk group. Differences in overall survival or progression-free survival between the two groups were analyzed by Kaplan-Meier curves. P-values were produced by log-rank test. (F) ROC curves and AUC analysis. ROC curves were generated for PI-based classification for each set. TCGA, The Cancer Genome Atlas; CGGA, Chinese Glioma Genome Atlas; PI, prognosis index; ROC, receiver operating characteristic; AUC, area under the curve.

Figure 6. Comparison of the pathway-based model and the pathway+clinic factor-based model in TCGA set. (A) Kaplan-Meier curve of the two risk groups dichotomized by the pathway-based model. (B) Kaplan-Meier curve of the two risk groups dichotomized by the pathway+clinic factor-based model. (C) Comparison of the two prognostic models for AUC. TCGA, The Cancer Genome Atlas; AUC, area under the curve.
with the 13 prognostic pathways and a significant clinical factor (pharmaceutical therapy) identified by Cox regression analysis. The pathway-clinic factor-based model exhibited improved performance compared with the pathway-based model and the gene-based model in the TCGA set, with improved P-values (2.951e-12 vs. 0.004786 vs. 6.166e-11) and AUC values (0.999 vs. 0.985 vs. 0.989). In addition, this combined model displayed considerably better prognostic performance compared with the prognostic three-gene signature for patients with MGMT promoter-methylated GBM, as described in the study of Wang et al (P=0.0033) (28).

In the present study, the pathway-based predictive model was based on 13 prognostic pathways, such as endocytosis pathway, insulin signaling pathway, ubiquitin-mediated proteolysis pathway, focal adhesion and cell adhesion pathways. These pathways might have great biological relevance to GBM prognosis. The endocytosis pathway is an active transport form, which is strengthened in cancer (29). The insulin signaling pathway is critical for glucose metabolism. Abnormal glucose metabolism has an important role in GBM growth and chemoresistance, suggesting glucose metabolism might be a promising target for developing GBM therapies (30). There is indeed evidence that the total lesion glycolysis in hypoxia (hiTLG) representing hypoxic glucose metabolism is a significant prognostic factor for GBM (31). Ubiquitin-mediated proteolysis is a complex protein degradation process. Deregulation of the ubiquitin system in the ubiquitin-mediated proteolysis pathway has been demonstrated to be a causative factor of several types of cancer (32). Focal adhesion and cell adhesion molecules are critical determinants in cancer cell resistance to therapy (33).

There are several potential limitations in the present study. Firstly, the CGGA, GSE13041 and GSE74187 datasets do not have information concerning pharmaceutical therapy. Hence, the pathway-clinic factor-based prognostic model was not validated in these sets. Therefore, further analysis with more datasets is necessary to fully test the robustness of the pathway-clinic factor-based model. Additionally, the study only analyzed gene-level information of 403 pathways in the GSEA repository, and some gene information may be inevitably lost. The pathway-based prognostic model will be applied to larger groups of GBM patients in future studies, in order to further validate its prognostic significance.

In conclusion, the present in silico study presents a promising prognosis prediction model based on 13 pathways, which is constructed by a combination of PDS-based Pathifier method and LASSO estimation-based Cox-PH model. The pathway-based model exhibited stronger prognostic power compared with the gene-based model. Furthermore, incorporating the clinical information of pharmaceutical therapy to the pathway-based model resulted in improved prognostic performance. Application of these pathway-based prognostic models might improve stratification of GBM patients and offer considerable potential for individualized GBM management.

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Availability of data and materials

The analyzed datasets generated during the study are available from the corresponding author on reasonable request.

Authors' contributions

RL and MW performed the data analysis and wrote the manuscript. GZ, HZ and YZ contributed to the data analysis and manuscript revision. ZS conceived and designed the study. All authors read and approved the final manuscript.

Ethics approval and consent to participate

Not applicable.

Patient consent for publication

Not applicable.

Competing interests

The authors declare that they have no competing interests.

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