The Illumination of Thunderclouds by Lightning: 3. Retrieving Optical Source Altitude

Michael Peterson1, Tracy E. L. Light1, and Douglas Mach2

1ISR-2, Los Alamos National Laboratory, Los Alamos, NM, USA, 2Science and Technology Institute, Universities Space Research Association, Huntsville, AL, USA

Abstract  Optical space-based lightning sensors such as the Geostationary Lightning Mapper (GLM) detect and geolocate lightning by recording rapid changes in cloud top illumination. While lightning locations can be determined to within a pixel on the GLM imaging array, these instruments are not individually able to natively report lightning altitude. It has previously been shown that thunderclouds are illuminated differently based on the altitude of the optical source. In this study, we examine how altitude information can be extracted from the spatial distributions of GLM energy recorded from each optical pulse. We match GLM “groups” with Lightning Mapping Array (LMA) source data that accurately report the 3-D positions of coincident Radio-Frequency (RF) emitters. We then use machine learning methods to predict the mean LMA source altitudes matched to GLM groups using metrics from the optical data that describe the amplitude, breadth, and texture of the group spatial energy distribution. The resulting model can predict the LMA mean source altitude from GLM group data with a median absolute error of <1.5 km, which is sufficient to determine the location of the charge layer where the optical energy originated. This model is able to capture changes to the source altitude distribution in response to convective processes in the thunderstorm, and the GLM predictions can reveal the vertical structure of individual flashes - enabling 3-D flash geolocation with GLM for the first time. Future work will account for differences in thunderstorm charge/precipitation structures and viewing angle across the GLM Field of View.

Plain Language Summary  Lightning is detected from space by monitoring the Earth for rapid changes in cloud top illumination. We can determine where the lightning occurred from the location of the pixel that was triggered. However, since we are looking down at the Earth from above the cloud tops, there is no simple way to determine the altitude of the lightning flash with this kind of instrument, and this is a significant limitation of sensors like the Geostationary Lightning Mapper (GLM). This study uses machine learning methods to attempt to predict lightning altitude from the spatial distribution of energy across the cloud illuminated by each optical pulse. We find that it is possible to predict source altitude well enough to determine which charge layer an optical pulse originated from, and also identify changes in storm structure over time and the vertical development of individual flashes. While these results are still preliminary and come from a single thunderstorm, they demonstrate that altitude prediction is possible with GLM and additional work could result in a general prediction model for all observations by GLM-like sensors.

1. Introduction

The optical lightning imagers that have been operated in Low Earth Orbit (LEO) by NASA and geostationary orbit (GEO) by NOAA record rapid changes in cloud top illumination caused by lightning within the cloud medium (Christian et al., 2000). As these instruments are pixelated, the horizontal extent of lightning can be determined by projecting the footprint of each pixel on the imaging array to an ellipsoid above the Earth’s surface. The chosen ellipsoid should correspond to the upper boundary of the cloud that the optical emissions transmit through, otherwise parallax will be introduced into the Geostationary Lightning Mapper (GLM) measurements (Virts & Koshak, 2020). However, these optical measurements are only a composite two-dimensional view of lightning that describes its geospatial distribution across the Earth (Albrecht et al., 2016; Cecil et al., 2014; Christian et al., 2003) and the horizontal extent of individual flashes (Lyons et al., 2020; Peterson et al., 2018, 2020). The third dimension, source altitude, is not resolved natively by these instruments, and this is considered one of their primary shortcomings compared to certain ground-based lightning measurements.

Lightning source altitude is an important parameter because it provides unique insights into the intensity of convective systems and how thunderstorm kinematics organize charge regions within the thunderstorm (Bruning...
et al., 2010; Carey et al., 2005; Ely et al., 2008; Stolzenburg & Marshall, 2008; Williams, 1989). Noninductive Charging (NIC: Bruning et al., 2014; Jayaratne et al., 1983; Mansell et al., 2005; Reynolds et al., 1957; Saunders & Peck, 1998; Saunders et al., 1991; Takahashi, 1978; Takahashi & Miyawaki, 2002) is considered to be a primary mechanism for creating the charge separation in thunderstorms that leads to lightning activity. Under the NIC model, collisions between different species of ice particles within the updraft cause a net transfer of charge (usually from small ice particles depositing electrons on larger graupel pellets rimed with supercooled liquid water). These ice particles are then sorted according to their masses with the smaller ice particles lofted by the updraft toward the cloud top while the heavier graupel remains in the midlevels of the storm. Over time, accumulation of charged ice particles at different altitudes produces a strong electric field that can overcome the electrical impedance of the air to generate a lightning discharge.

If we can resolve the vertical profile of lightning sources, then we can determine the altitudes of these charge regions and track how they evolve over time. Presently, lightning is related to convective intensity and thunderstorm microphysics through lightning rates (Blyth et al., 2001; Cecil et al., 2005; Liu et al., 2011, 2012; Peterson & Liu, 2011; Prigent et al., 2005; Takayabu, 2006; Xu et al., 2010) because this information is widely available across broad geospatial domains. Altitude information is only reported on local or regional scales by dense networks of ground-based instruments that detect Radio-Frequency (RF) lightning emissions. The most accurate three-dimensional source information is provided by Lightning Mapping Arrays (LMAs: Rison et al., 1999) whose effective range is limited to just a few hundred kilometers. The only truly global lightning network that attempts to resolve altitude is the Earth Networks Global Lightning Network (ENGLN: Zhu et al., 2017), but their intracloud (IC) altitude parameter is not well refined, leading to highly inaccurate results (Peterson et al., 2021a).

If accurate lightning altitudes could be provided across large swaths of the Earth, it would add a new dimension to the discussions of the connection between lightning and impactful weather. Convective invigoration has been linked to the onset of severe weather (such as hail, tornadoes, derechos) (Gatlin & Goodman, 2010; Schultz et al., 2009), and is also considered important for hurricane Rapid Intensification (RI) (DeMaria et al., 2012; Fierro et al., 2018; Jiang & Ramirez, 2013). These studies look for convective invigoration by tracking how flash rates change as the storm develops over time. Rapid increases in source altitude would provide an alternate means to identify strengthening updrafts that could either confirm the flash rate trend or potentially catch events that are missed due to poor instrument performance. Geostationary Lightning Mapper (GLM: Goodman et al., 2013; Rudlosky et al., 2019) total flash rates are adversely affected by attenuation from optical sources transmitting through thick cloud layers, over-clustering in high flash rate compact thunderstorms, and artificial flash splitting in non-convective flashes. The first and third issues can also be amplified by a high instrument threshold, as we saw in Part 2 of this study (Peterson et al., 2021b). However, none of these issues would prevent the highest-altitude sources from being resolved from space.

We propose that altitude information can be extracted from GLM measurements of how the surrounding thunderclouds are illuminated by lightning. Our previous modeling work (Peterson, 2020a) demonstrated that low-altitude sources result in different spatial radiance patterns than high-altitude sources regardless of cloud geometry, and this was confirmed with GLM observations in and Part 1 of this series (Peterson et al., 2021a). Our discussion of “optical repeater” flashes in Peterson et al. (2021a) and previous analyses of groups with complex spatial radiance distributions (Peterson, 2020b) further showed that radiance patterns were consistent between subsequent illuminations of the same cloud layer. However, these pictures of cloud illumination would change if the flash moved into a different layer, for example, during cases in Peterson et al. (2021a) where the LMA sources developed vertically.

In this third part of our thundercloud illumination study, we investigate whether the link between source altitude and the spatial radiance patterns recorded by GLM is sufficiently robust that we might predict the altitudes of the optical sources responsible for arbitrary GLM groups that consist of multiple events. To accomplish this, we will construct a new set of group metrics that describe the spatial distribution of GLM-recorded energy and then use a random forest generator to construct a machine learning model to predict the mean altitude of coincident LMA sources matched with each group. These predictions will be analyzed to determine whether GLM-retrieved altitudes can resolve the major features of the LMA source altitude distribution and the vertical development of individual flashes mapped by both GLM and the LMA. We limit our analysis to a single thunderstorm case (the Colombia case from Peterson et al., 2021a and Peterson et al., 2021b) to demonstrate the feasibility of this approach, and leave validation across multiple storm types for future work.
2. Data and Methodology

This third part of our thundercloud illumination study will leverage the combined Geostationary Operational Environmental Satellites (GOES)-16 GLM and ground-based Colombia LMA (COLLMA: López et al., 2016; Aranguren et al., 2018) data generated in Part 1 (Peterson et al., 2021a) and the random forest regressor in the Python scikit-learn machine learning module (Pedregosa et al., 2011) to generate a random forest model for predicting the mean LMA source altitude associated with each multi-event GLM group from a thunderstorm of interest. Section 2.1 discusses the lightning measurements that we will consider. Section 2.2 describes how the feature and label data that will be input into the machine learning model are generated. Finally, Section 2.3 documents the random forest regression.

2.1. Combined LMA/GLM Measurements of a Colombia Thunderstorm

In the first two parts of this study (Peterson et al., 2021a, 2021b), we examined a thunderstorm on 01 November 2019 that occurred in the vicinity of Barrancabermeja in central Colombia that was measured by both the COLLMA and GLM. This storm is noteworthy because it contained a diverse collection of convective and non-convective lightning, was located near the GOES-16 satellite subpoint, and was subject to particularly low GLM instrument thresholds (∼0.7 fJ) that allowed GLM to resolve more detail from its flashes and their illumination of the surrounding clouds than thunderstorms elsewhere in the GLM Field of View (FOV).

2.1.1. Colombia Lightning Mapping Array (COLLMA) Data

COLLMA is a six-sensor LMA network that was moved to Barrancabermeja from Santa Marta in 2018. Part 1 (Peterson et al., 2021a) describes how the LMA source data were handled, which we will summarize here. LMA sources collected by the COLLMA on 01 November 2019 were provided over a 1.7° longitude (74.5°W–72.8°W) by 1° latitude (6.5°N–7.5°N) box within the LMA domain for comparison with GLM. The source data were first processed using the flash clustering and noise reduction algorithms developed by van der Velde and Montanyà (2013). These algorithms identify noise sources based on their density in 3D space-time boxes with sides corresponding to the horizontal distance (XY), vertical distance (Z), and time difference (T). We only consider LMA sources that meet the threshold values.

2.1.2. Earth Networks Global Lightning Network (ENGLN) Data

The COLLMA source data is augmented with ENGLN detections of CG strokes during the thunderstorm of interest. ENGLN combines observations from the Earth Networks Total Lightning Network (ENTLN: Zhu et al., 2017) and the World-Wide Lightning Location Network (WWLLN: Lay et al., 2004; Hutchins et al., 2012; Jacobson et al., 2006; Rodger et al., 2006) to detect and geolocate both CG and IC lightning. However, since we have the LMA for IC sources, we do not consider ENGLN ICs.

2.1.3. Geostationary Lightning Mapper (GLM) Data

GLM is the first lightning imager to be operated in geostationary orbit. It builds on the legacy of NASA’s Optical Transient Detector (OTD: Christian et al., 2003) and Lightning Imaging Sensor (LIS: Christian et al., 2000; Blakeslee et al., 2020) that have been flown in LEO over the past 25 years. These instruments consist of a Charge Coupled Device (CCD) imaging array behind the instrument optics, which includes a narrowband filter centered on the 777.4 nm Oxygen emission line triplet. The dissociation, excitation, and recombination experienced by the atmospheric constituent gasses in response to the intense heating of the lightning channels cause strong emissions at 777.4 nm, which permits lightning to be detected at all times of day, albeit with decreased sensitivity under sunlit conditions.

The basic unit of OTD/LIS/GLM detection is the “event,” which is defined as a single pixel on the imaging array that exceeds the instrument threshold during a single integration frame. Events are clustered by the GLM Lightning Cluster Filter Algorithm (LCFA: Goodman et al., 2010) into “group” features that describe simultaneous emission over a contiguous area on the imaging array, and “flash” features that use tight spatial and temporal group proximity to approximate complete and distinct single lightning flashes. We further define a feature level between groups and flashes to document persistent illumination over multiple quasi-sequential integration frames called “series” features (Peterson & Rudlosky, 2019). Our reprocessed data that includes these features and other improvements are available at Peterson (2021a).
2.1.4. Matching RF Data to GLM Groups and Flashes

The matching scheme that we employ in this study is based on the GLM/ENGLN matching algorithm used in Peterson and Lay (2020). It works under the assumption that all RF emissions within the footprint of a GLM group contribute optical energy to that group. Thus, these RF sources can be considered “events” in the GLM sense and clustered into the GLM data hierarchy as children of groups. Groups are nominally assigned the contemporary LMA sources or ENGLN CG strokes that occur within their footprint. However, this approach is subject to the three important caveats discussed below.

The first caveat is due to what groups actually represent. While groups are intended to describe individual optical pulses, this association is far from perfect. Optical pulses are generally quick and localized, with durations shorter than a millisecond and extents smaller than an 8-km GLM pixel. In Peterson et al. (2021a), we saw that the active portions of the lightning channel as mapped by the LMA were typically around 2–3 km in lateral extent. Yet, multievent groups are common, with the largest groups even illuminating cloud areas exceeding 10,000 km² (Peterson et al., 2017). Sources located near pixel boundaries (Appendix B in Zhang & Cummins, 2020) explains how GLM groups are larger than LMA source extents in certain scenarios, but it does not explain how GLM flash footprints can exceed the LMA flash extent or encapsulate cloud regions that do not appear to be electrified. These oddities in the GLM data result from scattering in the cloud medium. Multiple scattering causes the optical emissions, even from a point source, to be spread laterally throughout the surrounding thunderclouds (Peterson, 2020a). This causes the resulting GLM group footprints to overestimate the physical extent of the source. At the same time, radiative transfer effects can also cause groups to underestimate the scale of the lightning source if the cloud is able to block radiant energy from reaching orbit. In extreme cases, particularly opaque clouds generate “holes” in the group footprint where the cloud regions surrounding the poorly transmissive cloud are illuminated while its center remains dark and free of events (Peterson, 2020b).

Of these two possibilities, groups underestimating the extent of the optical sources involved is the primary concern for this work. In these cases, we might not have a full picture of the altitudes of the charge layers that contributed optical energy to the group. We saw in Peterson et al. (2021a) that even in the larger groups, the extent of LMA sources within their footprints were either of comparable size to a GLM pixel or smaller. To include RF sources in the vicinity of GLM groups that do not occur within their footprints, we add a 10-km buffer to the group assignment criteria. RF events are assigned to a GLM group if they occur within 10 km of any event that comprised that group.

The second caveat is that the RF sources might not be precisely aligned in time with the parent GLM groups. This can happen if the source occurs at the end of a 2-ms GLM integration frame, causing the optical energy to be split between two adjacent frames, or in long-lasting processes such as return stroke Continuing Current (CC) or in-cloud K-changes (Bitzer, 2017). The LMA might not even register impulsive sources if the channel remains ionized during one of these long-duration processes since RF emissions describe changes in current rather than current, directly. Thus, the reported time of the RF event might be separated from the time of peak optical emission by a few milliseconds. Moreover, in these cases, there might be multiple GLM groups that the RF events could be assigned to. In these scenarios, we attempt to assign RF events to the peak of the light curve recorded by GLM. All GLM groups that meet the spatial matching criteria for the RF event and occur within 10 ms of the event are identified, and the brightest GLM group is selected for assignment.

The third and final caveat is related to the limited domain of the available LMA data. Because the LMA data were provided over a latitude/longitude box, there are cases of GLM flashes along the edges of the LMA box where some groups contain LMA matches, while others do not. As in the previous parts of this study, we limit our analyses to flashes whose groups were entirely within the LMA box to mitigate biases from partial matches at the edges of the LMA domain. The end result is a combined GLM/RF data set consisting of 2154 GLM flashes and 56,399 groups. Of these flashes, 471 (21.9%) contained ENGLN strokes and 90.1% were matched with LMA sources. Of these groups, 631 (1.1%) were matched with ENGLN strokes and 22,681 (40.2%) were matched with LMA sources.

2.2. Generating Machine Learning Feature (Input) and Label (Prediction) Data

We propose that the first GLM caveat listed above—of groups primarily describing thundercloud illumination rather than the geometry of the optical source—is key to retrieving altitude information optically. As optical
signals traverse the cloud medium to the satellite, they become modified through absorption and scattering in the cloud. Even identical optical sources located at different altitudes would take on a different appearance to GLM based on the optical characteristics of the cloud medium along the paths their emitted photons traveled to the instrument. By interpreting the spatial energy distributions of GLM groups (termed “radiance patterns”), we are attempting to decode the cloud attributes contained within the optical lightning signals.

### 2.2.1. Radiance Patterns From High-Altitude and Low-Altitude Sources

The key mechanism behind the differences in appearance between low-altitude sources and high-altitude sources is the number of scattering interactions that the optical emissions encounter before reaching the satellite. The emissions from low-altitude sources experience more scattering events than high-altitude sources, which permit the optical energy to be spread over a larger area. As a result, the radiance patterns from modeled sources (Peterson, 2020a) are broader with a lower amplitude in low-altitude cases, and brighter and more concentrated when the source is placed at high altitudes near the cloud top.

We can see these trends in groups observed by GLM. Figures 1 and 2 show two examples of GLM groups from the Colombia thunderstorm that the COLLMA determined to be comprised of primarily low-altitude sources between 5 and 10 km (Figure 1), and high-altitude sources around 15 km (Figure 2). Both figures are formatted following the convention of Figures 10–12 in Peterson et al., 2021a with a central panel (d) showing the normalized group radiance pattern (dark indicating low energy, light indicating high energy) with LMA sources (green boxes) and ENGLN strokes (asterisks where blue denotes −CGs and red denotes +CGs) overlaid. Plus symbols (+) also indicate the locations of events to clarify which pixels are illuminated. The upper panels show the longitude-altitude LMA source distribution in (c) and GLM energy distribution by longitude in (a). The bars in (a) denote totals, while plus symbols describe individual events. The panels to the right of the plan view in (d) repeat these two plots for latitude. The bottom two plots show timeseries of LMA altitude (g) and GLM group energy (i) along with a LMA altitude distribution for the full 15-min period that contained the flash (h). Finally, the upper right panel (b) shows the GLM group area/group maximum event energy distribution for the flash with a polynomial fit overlaid and its reduced chi² value listed. Groups are color coded in (i) and (b) according to their order in the flash (dark: early, light: late) and the current group is indicated with a dashed line in the timeseries and as a red symbol in the energy/area distribution.

The group shown in Figure 1 corresponded to the second ENGN-CG from the flash. The GLM radiance pattern was broad, with events exceeding 10% of the maximum event energy occurring in 7 of the 8 columns and 6 of the 7 rows on the portion of the GLM CCD array spanned by the group footprint. The group area/max. energy curve in Figure 1b also shows that subsequent groups illuminated the surrounding cloud in the same way, such that group area could be predicted from maximum event energy following the polynomial fit. By comparison, the energy from the group in Figure 2 is highly concentrated in the single brightest event. Despite being a large group (half the size of the group in Figure 1), the peak energy of the high-altitude group in Figure 2 reached 200 fJ (compared to 30 fJ in Figure 1) and only two other events in the group (immediately to the north and west of the brightest group) exceeded 10% of the maximum event energy. This is the same behavior that we saw previously during GLM flashes that produced Gigantic Jets (GJ) (Boggs et al., 2019), which extend upward from the cloud top. The GLM energy was not only concentrated in a single pixel co-located with the GJ, but this pixel remained illuminated over many frames.

### 2.2.2. Selecting the Prediction Altitude

The flash case in Figure 1 demonstrates a key challenge for predicting the source altitude: even though the flash acts like a confined feature in how it illuminates the cloud (Figure 1d), the LMA source altitudes associated with individual groups range from 5 to 10 km (or from the ground in the case of the −CGs). Assigning a single altitude to optical sources that have a finite vertical dimension is a difficult proposition. Any altitude that we select for this type of optical source will be subject to biases from our assumptions of where the peak currents are located and how we quantify GLM’s detection advantage for higher-altitude sources. For example, we might assume that peak emission occurs where the branches come together near the ground in this −CG case, and thus the minimum LMA altitude would be the best choice. Or we might assume that low-altitude sources are severely attenuated based on the previous modeling work in Peterson (2020a), so the in-cloud emissions described by either the mean or maximum LMA source altitude better represent the optical source altitude. We know from Peterson et al. (2021a) that GLM favors detecting sources near the cloud top in the Colombia thunderstorm, and this can be
verified by comparing the vertical distributions of all LMA sources in Figure 3a to the distribution of mean LMA altitude for all sources matched to a GLM group in Figure 3b over the thunderstorm duration. These two panels show that GLM has difficulty detecting optical emissions from low-altitude sources (<7 km), particularly around 09:00 UTC and in the 10:00 UTC hr. If GLM does not detect these low-altitude sources, then we will not be able to include them in the retrieved GLM altitude distributions. Even if the algorithm performs very well, there will still be biases in the GLM-derived vertical altitude distributions from these missed events. As this is a particularly complex issue that requires further investigation, we will choose to predict the LMA mean altitude for the groups that were detected and accept biases from poor characterization of low-altitude sources as a potential source of...
error. A different method to derive the prediction altitude or normalization strategies to account for missed events can always be considered in future studies to mitigate this issue.

The other key challenge for predicting source altitude with GLM is that these altitudes are determined by top-down measurements of cloud illumination rather than the ground-up view provided by the LMA. Thus, the appearance of the group will depend on the cloud layers between the optical source and satellite, making it sensitive to the local cloud top height. This is not a new issue for GLM, whose observations are commonly interpreted under the assumption that the optical illumination is contained within the boundaries of the thunderstorm core where the local cloud-tops approximately reach the height of the tropopause (Virts & Koshak, 2020). The true “detection altitude,” where the light escapes the cloud might be taller or shallower than the prescribed ellipsoid altitude, and this results in parallax errors in GLM geolocations (Virts & Koshak, 2020). Thundercloud illumination as viewed from space depends on the depth of cloud between the source altitude and the detection altitude. If we attempted to directly predict the altitude of the LMA measurements or predict an altitude normalized to the GLM ellipsoid, the resulting predictions would be subject to similar biases. These predictions might be

Figure 2. As in Figure 1, but for the largest Geostationary Lightning Mapper (GLM) group in an example high-altitude Lightning Mapping Array (LMA) flash.
reasonable for periods of intense convection, but performance is expected to suffer outside of these periods or outside of the convective core.

This issue can be addressed by normalizing the LMA source altitudes to the local cloud top height. The Advanced Baseline Imager (ABI) Cloud Top Height (CTH) product is an attractive choice because ABI is on the same satellite as GLM and has a similar FOV. However, relying on ABI CTH data introduces a number of additional caveats. The ABI Cloud Height Algorithm (ACHA) is an operational algorithm based on joint measurements from the ABI infrared bands (CH14: 11.2 μm, CH15: 12.3 μm, and CH16: 13.3 μm), and its CTH estimates are subject to the uncertainties described in its Algorithm Theoretical Basis Document (ATBD) (Heidinger, 2012) and the less frequent sampling interval of ABI (10 min) relative to GLM (20 s). Perhaps the largest uncertainty for our application is its reliance on linear interpolations of temperature profiles supplied by Numerical Weather Prediction (NWP) models. These errors are then compounded by any parallax or location uncertainty between ABI and the LMA (or biases from LMA noise sources that are not filtered out) in regions where large CTH gradients exist.

Figure 3. Timeseries of Lightning Mapping Array (LMA) source altitude (a) and the mean altitudes of LMA sources matched to Geostationary Lightning Mapper (GLM) groups (b–e). Measured LMA altitudes are shown for all matched GLM groups in (b) and for groups with >5 events in (d), while LMA altitudes normalized to the local ABI Cloud Top Height (CTH) are shown in (c) for all groups and (e) for groups with >5 events.
The effect of these uncertainties on the LMA CTH normalization is shown in the timeseries of GLM-matched mean LMA source altitude in Figures 3b–3e that span the duration of the Colombia thunderstorm. Figures 3b and 3d show the LMA measured altitudes, while Figures 3c and 3e show the CTH normalizations. Figures 3b and 3c contain all matched GLM groups while Figures 3d and 3e examine only the larger groups that consist of >5 GLM events. Both normalized timeseries contain activity above the ABI CTH (100%), and this activity is particularly common early in the storm (02:15 UTC–07:30 UTC). As we showed in Peterson et al., 2021a (i.e., Figure 1), this time period corresponded to the thunderstorm moving into the area. As a result, much of the activity contained within the LMA data domain occurred at the edge of the encroaching ABI cold cloud feature (CH14 < 234 K) where strong gradients in ABI CTH exist.

If the optical emissions are able to more easily illuminate the storm edge than the dense convective core, the group centroids in these edge cases can be located within the CTH gradient region. While the LMA sources within the thunderstorm core might still be below their local ABI CTH, the group centroid displaced toward the edge of the storm could be above its local ABI CTH. This effect is particularly important with the most opaque thunderstorms where only edge illumination is resolved by GLM (as in some cases noted in Peterson et al., 2021a from the Colorado thunderstorm). Thus, while these apparent “above-cloud” sources might not make intuitive sense, they are still a valuable inclusion in the data set for representing this scenario that is frequently encountered with GLM measurements.

### 2.2.3. Describing Radiance Patterns With Group-Level Metrics

A key strength of machine learning is that it can help to determine which combinations of input parameters (features) best predict the parameters of interest (labels). In total, we have devised 16 parameters in Table 1 that could be important for predicting altitude – 14 metrics that describe the groups, and two series/flash level metrics that describe the context in which they occur. The example groups in Figures 1 and 2 provide guidance on some of the ways that recorded radiance patterns from low-altitude sources and high-altitude sources differ, but these differences could be quantified in many ways. We could focus on the spatial concentration of energy or on the relationship between group area/energy (as discussed in Peterson et al., 2021a). Alternatively, radiance anomalies including “holes” in GLM groups might provide better predictors of source altitude.

| Parameter name | Units | Description |
|----------------|-------|-------------|
| GROUP_ENERGY   | fJ    | Group total energy |
| GROUP_MAX_EVENT_PCT* | %     | Percent of group energy in brightest event |
| GROUP_AREA*    | km²   | Group footprint area |
| GROUP_CONVEX_AREA | km²  | Area of convex hull around all events in the group |
| GROUP_MAX_LOC_DIS* | km   | Distance between group centroid and brightest event location |
| GROUP_EVENT_MAX_SEPARATION | km | Maximum great circle distance between events |
| GROUP_HWHM     | km    | Half Width of Half Maximum of constituent event energy |
| GROUP_ELONGATION | ratio | Group elongation factor (major axis length/minor axis length) |
| GROUP_EVENT_COUNT | #    | Number of events in the group |
| GROUP_N50      | #     | Min. number of events to capture 50% of the group energy |
| GROUP_N75      | #     | Min. number of events to capture 75% of the group energy |
| GROUP_N90      | #     | Min. number of events to capture 90% of the group energy |
| GROUP_LOCAL_MAX_COUNT | #   | Number of local maxima in the group footprint |
| GROUP_HOLE_COUNT | #    | Number of holes (pixels with no events) in the group footprint |
| SERIES_GROUP_MAX_SEPARATION* | km  | Maximum separation of groups in the parent series feature |
| FLASH_THRESHOLD_APPROX* | fJ  | Approximation of the GLM threshold for the parent flash |

Note. Entries with an asterisk symbol were used in the final model.
Intuition based on data is an important place to start determining which parameters should be used. For example, Figure 4 compares the percent of the group energy in the brightest event (GROUP_MAX_EVENT_PCT) with the overall group energy (GROUP_ENERGY). A two-dimensional histogram of GLM/LMA matches is shown in (a), the mean LMA altitude is shown in (b), the number of matches that describe ENGLN strokes is shown in (c), and the percent of all matches that originate at high altitudes (>10 km) is plotted in (d). These plots show a clear distinction in source altitude with low-altitude sources at GROUP_MAX_EVENT_PCT <25% and source altitudes increasing with GROUP_ENERGY and GROUP_MAX_EVENT_PCT. Most of the ENGLN strokes that occur in the matched GLM/LMA groups are also located along the bottom of the 2-D histogram (i.e., the lowest GROUP_MAX_EVENT_PCT for each GROUP_ENERGY) due to their low altitudes.

Machine learning provides an efficient framework for assessing how well different subsets of the parameters in Table 1 can predict the mean LMA altitudes associated with the diverse collection of GLM groups from the Colombia thunderstorm. We collect all of these GLM group metrics into a feature data set and train random forest models from unique subsets of the parameters from Table 1 following the methods described in the next section. The top model from these tests will be used to analyze the Colombia thunderstorm in Section 3.

2.3. Scikit-Learn Random Forest Regression

Constructing machine learning models requires dividing the feature and label data into training and testing datasets. While we have 22,681 GLM groups matched to LMA sources, this sample of matches is not representative of generic GLM data for three reasons:

1. The matching scheme prioritizes assigning LMA sources to the brightest groups in a series rather than the nearest group in time.
2. The LMA sources are not distributed uniformly through the cloud depth, but rather are concentrated in the primary charge layers of the Colombia thunderstorm.

Figure 4. Lightning Mapping Array (LMA)/ENGLN attributes of matched Geostationary Lightning Mapper (GLM) groups with varying group energy and brightest event percent of group energy values. (a) Two-dimensional histogram of LMA matches. (b) Average LMA source altitude contour plot. (c) Two-dimensional histogram of ENGLN CG matches. (d) Fraction of high-altitude (>10 km) LMA matches in each bin.
3. The GLM groups were measured under a low instrument threshold that is not representative of thunderstorms elsewhere, particularly during the day.

To account for these biases, we take a judicious approach toward constructing the testing and training datasets. We limit the effect of the group matching preference in (1) by only including the brightest group in each unique series in the testing/training data. We reduce charge layer bias in (2) by adjusting the number of matches taken from each CTH-normalized vertical level as measured by the LMA to ensure nearly equal contributions from each vertical layer (through, smaller numbers of sources near the top and bottom of the cloud are still allowed). Finally, we address the threshold concerns in (3) by recalculating the group parameters after imposing artificial thresholds between 1 and 10 fJ (as in Peterson et al., 2021b), and then adding the surviving groups at each threshold to the testing/training data. Thus, the random forest model is sensitive to how group characteristics change under varying instrument thresholds.

Once the feature and label data are compiled, we divide the matched groups into training (75%) and testing (25%) samples and begin the scikit-learn random forest regressor for various combinations of features. Note that in addition to the designated testing sample consisting of the brightest groups per series, we can also test the model with groups that had LMA matches but were not the brightest groups in their parent series as a separate data set because this much larger sample is not used for training. We find that many of the 16 parameters that we devised in Table 1 were not useful for predicting altitude because they provided redundant information. For example, both the group energy Half Width of Half Max (GROUP_HWHM) and the percent of the group energy in the brightest event (GROUP_MAX_EVENT_PCT) describe the breadth of the spatial energy distribution for the group. While these parameters might provide some unique information in certain situations, the model assigns an importance score of 0 on a scale from 0 (not important) to 1 (the only important metric) to one of these parameters if the other is included as a feature. Moreover, these parameters have vastly different computational costs. While GROUP_MAX_EVENT_PCT is based on a simple sum of event energies, GROUP_HWHM requires modeling the radiance fall-off with distance from the brightest event in the group and then finding where this model falls below 50% of the maximum energy. As having both metrics does not improve the model, there is simply no benefit to using GROUP_HWHM. Other examples include group area/group event count, group area/convex hull area, and even group area/group energy.

This exercise revealed a set of five features that had considerable skill in predicting the LMA mean source altitude for the matched GLM groups: the maximum separation in the parent series (SERIES_GROUP_MAX_SEPARATION: importance: 0.39), which describes the horizontal extent of the lightning process that generated the group of interest; the percent of the group energy in the brightest event (GROUP_MAX_EVENT_PCT: importance: 0.23), which was shown in Figure 4; the distance between the group centroid and brightest event location (GROUP_MAX_LOC_DIS: importance: 0.16), which is sensitive to radiance anomalies in the group footprint; group footprint area (GROUP_AREA: importance: 0.15); and the approximate GLM threshold for the parent flash (FLASH_THRESHOLD_APPROX: importance: 0.06). We ran the random forest regressor with only these parameters included as features and then used the resulting machine learning model to predict the source altitudes for the GLM groups that were detected in the Colombia thunderstorm.

3. Results

This section will evaluate the GLM source altitudes retrieved by the random forest model. We will first evaluate model performance using the testing sample of matched GLM groups/LMA sources in Section 3.1. Then, Section 3.2 will compare GLM and LMA altitude trends within individual flashes and at the storm level over the duration of the Colombia thunderstorm.

### 3.1. GLM Source Altitude Model Performance With Testing Data

Histograms of LMA mean altitude, GLM predicted altitude, and the altitude difference between the LMA measurements and GLM predictions for the matched groups in the testing data set are shown in Figure 5. Note that we do not include single-event groups in these analyses because they lack sufficiently unique information for sources at different altitudes to be distinguished. The model mostly assigns these single-event detections to a single layer, which is not useful.
The rows in Figure 5 correspond to various artificial thresholds that have been applied. No threshold is applied in Figures 5a–5c, a 2 fJ threshold is imposed in Figures 5d–5f, a 4 fJ threshold is applied in Figures 5g–5i, and a 6 fJ threshold is applied in Figures 5j–5l. While the initial sample of LMA mean source altitudes in Figure 5a has a nearly equal number of sources between 40% and 100% of the ABI CTH, this near parity is not maintained.

Figure 5. Comparisons between Lightning Mapping Array (LMA) measured altitudes (a, d, g, j) and Geostationary Lightning Mapper (GLM) predicted altitudes (b, e, h, k) in the model testing data set. Model errors are shown in (c, f, i, l). Each row corresponds to a different imposed threshold on the GLM groups: 0 fJ (a–c), 2 fJ (d–f), 4 fJ (g–i), or 6 fJ (j–l).
at higher thresholds (Figures 5d–5j). The same sample of group data from Figure 5a is used to generate these higher-threshold samples, but groups associated with LMA sources outside of the primary charge layer (∼70% ABI CTH) preferentially fall below the higher imposed thresholds.

Similar biases can be found in the GLM predictions in Figures 5e–5i. Despite matched groups being chosen to ensure the LMA mean source altitudes were evenly distributed between vertical layers, the illumination of the surrounding clouds leads to group radiance patterns that the model suggests come from the primary charge layer at 70% ABI CTH rather than elsewhere in the vertical profile. This could be an indication that the input data is not sufficiently robust to account for certain group radiance patterns, as the filters described in Section 2 leave only on the order of 100 groups in each vertical level. If this is the case, then adding matched LMA-GLM data from additional thunderstorms might improve the model, particularly if the matched data is supplied from multiple LMAs across the GLM FOV and represent a diverse collection of thunderstorm charge structures. Another likely cause of this bias in the predictions is that our choice of estimating the optical source altitude from the mean LMA source altitude is not properly representing sources with finite vertical extents (as we saw with the example flash in Figure 1). Rather than taking the mean or maximum LMA source altitude, a normalization scheme to account for GLM’s detection advantage for high-altitude sources developed from Monte Carlo radiative transfer modeling could improve the agreement with observations.

Despite this apparent bias, the model errors in Figures 5c–5i remain low. With no artificial threshold imposed, the median absolute error is 9.7% of the ABI CTH, or 1.33 km. Generating similar plots from LMA-matched groups that were not the brightest in their series yields similarly low errors. Histograms for the groups not included in the training or testing data are shown in Figure S1. The median absolute errors for these predictions range from 6.62% (0.95 km) for >1 event groups to 4.18% (0.60 km) for >7 event groups.

In most cases, we can at least correctly predict the charge layer within the Colombia thunderstorms that the optical emissions originated from. Interestingly, imposing a higher threshold actually improves these error statistics. This could be an effect of the increasing concentration of sources in the layer centered at 70% CTH, or it could signify that removing the fainter events along the periphery of the GLM groups by imposing a higher threshold improves the altitude estimate by limiting the cloud-edge illumination that results in CTH uncertainty.

To test if these reduced errors under higher thresholds are physical, we construct new altitude histograms based on event count under a 6 fJ threshold in Figure 6. As we saw in Peterson et al., 2021a, the altitude profiles depend on group size with single-pixel groups primarily originating from near the top of the cloud and large multi-pixel groups originating from low altitudes. These trends are expected to be amplified under a high threshold. Indeed, while the peak in the altitude distribution for all >1 event groups (Figures 6a–6c) is at 70% ABI CTH, increasing the event count to >3 events in Figures 6d–6f, >5 events in Figures 6g–6i, and >7 events in Figures 6j–6l causes the peak to descend in altitude. Meanwhile, the median absolute errors in Figures 6c–6i decrease from 4.56% (0.64 km) to 3.83% (0.54 km), 3.45% (0.51 km), and 1.89% (0.3 km) as the groups increase in size and the peak becomes displaced vertically from the primary charge layer in the thunderstorm. Thus, higher thresholds probably do improve the altitude estimates. However, these improvements come at the cost of limiting the number of predictions that can be made, as the abundant dim groups most quickly fall below threshold.

### 3.2. GLM Source Altitude Model Predictions of Flash Structure/Thunderstorm Trends

The GLM source altitude prediction model is next applied to all GLM groups from the Colombia thunderstorm, regardless of whether they match any LMA sources. Applying the model generally will allow us to examine how well it captures major LMA altitude trends at the flash and thunderstorm level.

We begin by using the LMA-matched data to reproduce the altitude timeseries from Figures 3b–3e with GLM predictions in Figure 7. Figures 7a and 7c are identical to Figure 3, while Figures 7b and 7d replace the ABI CTH timeseries with GLM-retrieved altitude timeseries. Note that these GLM altitudes have been converted back to units of kilometers using the local ABI CTH at each group centroid for direct comparison with Figures 7a and 7c. As before, the first two panels consider all matched groups (including single-event groups) while the last two panels consider only groups with >5 constituent events.

Despite the expected uncertainty from ABI CTH gradients and the use of LMA mean source altitudes as a proxy for the optical source altitude, the GLM predictions are able to reproduce the primary features in the LMA
altitude distribution over the thunderstorm duration, including periods of intensification leading to increases in source altitude at 07:00 UTC, 09:00 UTC, and 10:00 UTC and maturation causing source altitudes to decrease after 11:00 UTC. Still, the GLM altitude timeseries for all groups (Figure 7b) and >5 event groups (Figure 7d) over-estimate the peak source altitudes during periods of intensification. This appears to be due to the ABI CTH
normalization. The group radiance profile suggests that the source is above the local ABI CTH value, but the ABI CTH is high enough that the altitudes retrieved from the GLM data are predicted to be between 17 and 20 km. If we re-run the model without the normalization (not shown for brevity), these 17–20 km predicted altitudes disappear, but the model then over-estimates the altitudes of low-altitude sources that are embedded in shallower clouds. A 90th percentile altitude product or something similar applied to the ABI CTH normalized data might preserve these low sources while still permitting changes in source altitudes to be tracked.

GLM-retrieved altitudes could also be used to generate new GLM gridded products (Bruning et al., 2019). Figure 8 examines the spatial distributions of these LMA measured and GLM predicted altitudes by computing a Mean Source Altitude (MSA) grid over 1.5 hr intervals between 07:30 UTC and 12:00 UTC. LMA measurements of MSA are shown in the left column (Figures 8a, 8e, 8i and 8m) and the LMA vertical profile is shown in the second column (Figures 8b, 8f, 8j and 8n). These plots are then repeated for the GLM predicted altitudes in the right two columns. The MSA grid at 07:30 UTC contains a single concentrated feature with high source altitudes surrounded by a small number of matched groups around its edges. This MSA feature describes an isolated thunderstorm that was active during this period before the larger and more mature storm system moved into the LMA data domain. As we saw in Figure 7b, the GLM predictions overestimate the tallest LMA source altitudes at this point in time, though the peak in the altitude profile (Figure 8d) is nearly identical to the LMA (Figure 8b). The isolated matched groups around the storm edges are also at low altitudes (3–6 km) in both the LMA and GLM plots. Normalizing by ABI CTH allows the GLM predictions to pick up on these lower edge sources.

Figure 7. Timeseries of the mean altitudes of Lightning Mapping Array (LMA) sources matched to Geostationary Lightning Mapper (GLM) groups (a,c) and GLM predicted altitudes from matched groups (b,d). As in Figure 3, (a) and (c) include all matched groups while (b) and (d) only consider groups with >5 events.
The MSA grids become more complex by 09:00 UTC (Figures 8e–8h) with multiple lightning centers containing flashes at different altitudes. By this point in the storm, the larger and more mature thunderstorm feature had moved into the LMA domain and was generating low-altitude propagating flashes. These horizontal flashes occurred between 5 and 9 km in the LMA data (Figure 8e) and the GLM predicted altitudes largely agree (Figure 8g). The key difference between the LMA measurements and GLM predictions here is in the quantity of low-altitude sources (Figures 8f and 8h), not the average source altitudes.

The previous trends for 07:30 UTC and 09:00 UTC persist to the 10:30 UTC time step (Figures 8i–8l). The GLM predictions are occasionally higher than the LMA measurements, but the peak of the distribution is identical and both MSA grids show the same trends of higher sources in the eastern convective feature while low-altitude sources dominate the western flank of the storm. Finally, by 12:00 UTC (Figures 8m–8p), the low-altitude propagating flashes overtake the higher-altitude convective flashes, causing both the LMA and GLM altitude profiles to peak at just 7 km altitude.

To evaluate the performance of the GLM altitude prediction model at the flash level, we repeat the analyses in Figures 1 and 2, while adding a new overlay to represent the GLM predicted altitude for every multievent group during the flash of interest. GLM altitude predictions for the low-altitude flash in Figure 1 are shown in Figure 9.
While the predicted altitudes from the high-altitude flash in Figure 2 are shown in Figure 10. These new GLM altitude overlays are added to the longitude/altitude cross sections (Figures 9c and 10c), latitude/altitude cross sections (Figures 9e and 10e), and altitude timeseries (Figures 9g and 10g) in the same style as GLM groups in the plan view (Figures 9d and 10d) and area/energy distribution (Figures 9b and 10b). The GLM groups are depicted with greyscale box symbols whose color corresponds to the time-ordered group index. GLM predicted altitude histograms are also added to Figures 9h and 10h.

As with the previous thunderstorm trends, the GLM predicted altitudes from the low-altitude flash in Figure 9 are largely consistent with the vertical range of LMA source altitudes (Figure 9h). While differences arise between GLM and the LMA for individual groups, much of this can be attributed to the vertical extent of LMA sources involved in each match. GLM, likewise, correctly predicts that the LMA sources in the high-altitude flash in Figure 10 occur around 15 km altitude. However, GLM adds more detail to this flash case, as the LMA only recorded one source before 550 ms into the GLM flash (which could be noise due to its low altitude and horizontal

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**Figure 9.** As in Figure 1, but with Geostationary Lightning Mapper (GLM) predicted source altitudes (greyscale boxes) added to (c), (e), and (g). Box colors are identical to (b) or (i), but single-event groups are not shown. Lightning Mapping Array (LMA) source (green) and GLM group (gray) altitude profiles for the specific flash in question are added to (h).
separation from the other sources). All of the GLM predicted source altitudes are above 10 km in this case, which is consistent with the LMA flash.

Figure 11 performs the same analysis as Figures 9 and 10 for the ascending flash discussed in Peterson et al., 2021a. This flash produced LMA sources primarily in the 5 km layer early on and generated two ENGLN-CGs before developing upward into the 10 km layer between 300 and 400 ms into the GLM flash. We see the same behavior in the GLM predictions in Figure 11g. There were five groups in the early portion of the flash (before 300 ms), and the model predicted that four were located in the 5 km layer. The later development into the upper layer was accompanied by sustained optical illumination, and the GLM-predicted source altitudes during this period likewise ascend into the upper layer. As discussed in Peterson et al. (2021a), the upward development of the flash causes the group area/energy distribution to have a “forked” appearance due to the low-altitude sources producing a different area/energy relationship than high-altitude sources. This can be seen in Figure 11b here. These differences in how clouds are illuminated by sources at different altitudes are key to being able to predict source altitude with GLM.
The final flash that we examine in Figure 12 is the case of a long horizontal lightning flash that descended in altitude as it developed from the rear of the convective line into the stratiform region. This flash spawned a single ENGLN +CG and was unique from a GLM perspective for generating large, elongated groups that traced significant fractions of the existing lightning channel. Despite the limited quantities of stratiform flashes in the testing/training datasets, the GLM predictions are able to map the descent of the LMA flash from 14 km altitude at its origin in the northeast down to 5 km as it traversed the electrified stratiform region. The longitude/altitude (Figure 12c), latitude/altitude (Figure 12e), and timeseries (Figure 12g) all show reasonable matches between the LMA measurements and GLM predictions until the end of the flash (beyond 1,500 ms). After this point, GLM predicts vertical development to high altitudes (10–15 km). While LMA sources are not present at this point to confirm or refute these GLM altitudes, we do see this behavior with the LMA sources earlier in the flash around the time of the +CG.

The storm-level analyses in Figures 7 and 8, and the flash-level analyses in Figures 9–12 demonstrate that the GLM altitude prediction model is able to resolve the temporal and spatial variations in LMA altitude that result

Figure 11. As in Figure 9, but showing the Geostationary Lightning Mapper (GLM) predicted altitudes following the ascent of Lightning Mapping Array (LMA) sources in the upward-developing LMA flash that was discussed in Peterson et al., 2021a.
from changes in the kinematics of the Colombia thunderstorm and are consistent with the physical structure of the flashes mapped by the LMA. The ability of the model to predict storm-scale and flash-scale trends in the LMA data that are not supplied as training data to the random forest regressor confirms that its skill does not come from overfitting the data, but instead that altitude information can be extracted from GLM measurements of thundercloud illumination.

4. Conclusion

In this third part of our thundercloud illumination study, we use machine learning methods to determine whether source altitude information can be retrieved from the spatial energy distributions of GLM groups. To do this, we find the LMA sources that match the GLM groups recorded from a thunderstorm in Colombia, construct group-level metrics to describe attributes of their radiance patterns that are relevant to thundercloud illumination, and then use the Python scikit-learn random forest regressor to construct a model for predicting mean LMA source altitude (normalized by ABI Cloud Top Height) from these group-level metrics.
We find that the machine learning model can retrieve source altitudes in the testing data set (and also in data not used for testing or training) well enough to determine which charge layer the optical emissions originated from (median absolute error: 1.33 km). The model also has skill in capturing changes to the LMA source altitude distributions from the thunderstorm in response to convective invigoration or maturation and in resolving the vertical extent of individual lightning flashes, including cases where the flash ascends or descends in the cloud.

Additional work is needed to expand these methods into a general source altitude retrieval algorithm that can work with arbitrary measurements. Future work will expand our collection of matched GLM-LMA data to enable the construction of such a retrieval. The eventual goal is to be able to derive flash-level, storm-level, and climatological lightning altitude trends over the full 25-year global lightning data set provided by ODT, LIS, GLM, and other similar instruments. Currently, these analyses are only possible with a reasonable accuracy over limited regional domains (for example, within ~300 km of an LMA). Adding this capability to all of the lightning imagers will provide an unparalleled view of the three-dimensional extent of global lightning and its response to a changing climate.

Data Availability Statement
Open Research: The GLM/LMA matched data used in the study are available at the Harvard Dataverse and may be accessed via the DOI listed in Peterson (2021b). The machine learning models developed in this study are also available at the Harvard Dataverse and may be accessed via the DOI listed in Peterson (2021c).

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