Spectroelectrochemical analysis of the mechanism of (photo)electrochemical hydrogen evolution at a catalytic interface

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Multi-electron heterogeneous catalysis is a pivotal element in the (photo)electrochemical generation of solar fuels. However, mechanistic studies of these systems are difficult to elucidate by means of electrochemical methods alone. Here we report a spectroelectrochemical analysis of hydrogen evolution on ruthenium oxide employed as an electrocatalyst and as part of a cuprous oxide-based photocathode. We use optical absorbance spectroscopy to quantify the densities of reduced ruthenium oxide species, and correlate these with current densities resulting from proton reduction. This enables us to compare directly the catalytic function of dark and light electrodes. We find that hydrogen evolution is second order in the density of active, doubly reduced species independent of whether these are generated by applied potential or light irradiation. Our observation of a second order rate law allows us to distinguish between the most common reaction paths and propose a mechanism involving the homolytic reductive elimination of hydrogen.

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Multi-electron heterogeneous redox catalysis is of increasing importance for the development of sustainable energy systems. These include for example the electrolysis or photoelectrolysis of water to release molecular hydrogen, and its utilization for electrical power generation, as well as strategies for CO₂ reduction. Determination of the mechanisms of such heterogeneous catalytic processes is often challenging. This is particularly the case for multi-electron processes, which may require the accumulation of multiple reduction or oxidizing species to drive the desired transformation. The determination of the rate laws for such reactions in photoelectrochemical systems, and in particular the dependence of the reaction rate upon the density of reduced/oxidized species, can provide powerful insights into the reaction mechanism. However, such rate law analyses are often difficult to elucidate from conventional electrochemical measurement strategies, except for ideal systems. In the study reported herein, we employ a combined optical/electrochemical approach to access and compare the rate law of proton reduction to H₂ under conditions of dark and light electrolysis.

Conventionally, precious metals that exhibit near ideal catalytic behaviour such as platinum have been employed as electrocatalysts for the hydrogen evolution reaction (HER). Recently, a new generation of electrocatalysts based on nanostructured metal oxides and sulfides, as well as molecular catalysts, have emerged as potentially lower-cost alternatives. The work reported herein, we have chosen to focus on a well-established HER electrocatalyst: RuOₓ (ref. 18). This electrocatalyst is based upon a nanostructured, amorphous, highly porous RuOₓ that is herein deposited onto FTO (fluorine-doped tin oxide) and onto a multilayer Cu₂O-based photoelectrode (Fig. 1). Such photocathodes, where the Cu₂O is protected against photocorrosion by thin Al:ZnO (AZO) and TiO₂ overlayers, have achieved remarkable solar-to-hydrogen yields and large (near 100%) faradaic efficiencies for solar-driven water splitting. This Cu₂O/AZO/TiO₂/RuOₓ (referred herein as [Cu₂O]/RuOₓ) assembly can be considered an example of a ‘buried junction’ photoelectrode, in which the generation and separation of photogenerated charges in the Cu₂O/AZO layers is at least partially decoupled from the catalytic function by the catalyst overlayer. As such, these systems provide an attractive model for the study of the HER catalysis, as well as enabling a direct comparison of the catalytic function under conditions of dark electrochemical and irradiated photoelectrochemical proton reduction.

In this study, we are concerned with addressing the (photo-)electrocatalytic function of FTO/RuOₓ and [Cu₂O]/RuOₓ. In electrochemical systems, the first step toward the determination of a reaction mechanism is the analysis of its current/potential characteristics, often referred to, for metallic electrodes, as a ‘Tafel analysis’.

Potential dependence (J-E) of the free energy offset driving the reaction and can provide information about the nature of the rate-determining step. However, for non-ideal semiconducting or metallic electrodes with multiple redox states, such as the RuOₓ studied herein, the interpretation of Tafel analyses is non-trivial and is hampered by the presence of surface and/or intraband states. For photoelectrochemical systems, the interpretation of current/potential data in terms of catalytic function is even more challenging due to the voltage dependence of electron/hole recombination, which can provide a further modulation of flux of charge carriers to the surface as a function of applied potential. As such, for many (photo)electrocatalytic systems, determination of rate laws for multi-redox reactions is a non-trivial challenge, with only limited studies reported in the literature to date.

The key challenge in undertaking purely rate law analyses of heterogeneous redox catalysis is the difficulty in determining the density of reducing species from electrochemical methods alone. In this study, we avoid this limitation by using optical absorbance difference spectroscopy to assay directly the densities of reduced RuOₓ species under conditions of both electrochemical and photoelectrochemical proton reduction. This approach opens the possibility to study (photo)electrocatalysis in heterogeneous redox catalysts that are otherwise difficult to study by electrochemical methods alone. Recently, we have shown that it is possible to use such an optical assay to study the photoelectrochemical water oxidation on α-Fe₂O₃ and BiVO₄ photoanodes with high surface areas. However, it has not been applied to determine rate laws of electrocatalytic reactions. Employing this approach, we compare the behaviour of RuOₓ, when it is used as an electrocatalyst and as a part of a Cu₂O-based multi-junction photocathode. Taking advantage of the high internal surface area of the RuOₓ, we demonstrate that we are able to monitor separately the densities of singly and doubly reduced RuOₓ, and how these densities correlate with the current density for proton reduction. These measurements are used to determine the rate law for both electrochemical and photoelectrochemical proton reduction and thus gain insights into the catalytic mechanism of the HER.

Results

Current/potential characteristics. We start by comparing the current/potential (J-E) characteristics of the RuOₓ electrocatalyst in the dark (on FTO) and on the multilayer [Cu₂O] photocathode under irradiation (Fig. 2a). The negative currents observed under reducing bias for both systems are assigned to the
The measured currents for all applied potentials are shown in Supplementary Figs 3 and 4. For both device types, the negative species. For $(E_{\text{onset}} = 0 \text{ V versus RHE})$, the spectra are plotted relative to that at this onset potential. For the $[\text{Cu}_2\text{O}]$ photocathode, at all potentials $\Delta A$ is plotted as the difference between light on and light off. For reference, the $\Delta A$ with respect to the absorbance at OCP and the simultaneously measured currents for all applied potentials are shown in Supplementary Figs 3 and 4. For both device types, the negative $\Delta A$ signals observed for $E > E_{\text{onset}}$ are assigned to RuO$_2$ reduction to RuO$_x$($-1$), and the positive $\Delta A$ signals observed for $E < E_{\text{onset}}$ are assigned to the further reduction to RuO$_x$($-2$) species. For (b) the irradiation conditions were ~5–6 s (25 s for back irradiation), 365 nm illumination (~1.5 mWcm$^{-2}$). See Methods for experimental details.

HER deriving from proton reduction$^{19}$. The $J-E$ response reveals an approximate 0.5 V difference in the onset of proton reduction catalysis between the electrocatalytic $(E_{\text{onset}} \sim 0 \text{ V versus RHE})$ and the photocatalytic systems $(E_{\text{onset}} \sim 0.5 \text{ V versus RHE})$ in agreement with the photovoltage provided by the buried $p$-$\text{Cu}_2\text{O}/n$-$\text{Al:ZnO}$ junction$^{26}$. It is apparent that the shape of the $J-E$ curve of the dark and light-driven systems are qualitatively different despite tracking the same catalytic reaction (HER on RuO$_x$); in particular, the current onset is much sharper for the dark, electrochemically driven reaction. In addition to this, we also observe a small negative current at potentials positive to $E_{\text{onset}}$ for both systems. This is also present as a quasi-reversible wave in cyclic voltammogram of RuO$_x$ (Supplementary Fig. 1), and has previously been assigned to the first reduction of the catalyst from RuO$_2$, most probably to Ru(OH)$_3$$^x$, (herein referred for simplicity as RuO$_x$(–1))$^{27,28}$.

Figure 2b shows the $J-E$ behaviour plotted on a log/linear graph as typically employed for analyses of catalytic function. The dark electrocatalytic current density of RuO$_x$ displays near-linear dependence of log $[J]$ versus $E$, over the limited potential range shown, with a Tafel slope of 140 mV dec$^{-1}$. As discussed above and elsewhere, the RuO$_x$ studied herein consists of a porous, non-stoichiometric electrocatalytic layer with multiple redox/protonation states, therefore, the direct interpretation of this Tafel slope is complex$^{29,30}$. Moreover, the current density of $[\text{Cu}_2\text{O}]/$RuO$_x$ does not show a logarithmic dependence on the applied potential, assigned below to the potential dependence of photoinduced charge recombination. As such, we focus herein on an alternative spectroelectrochemical analysis of these (photo)electrodes.

A key difference in the electrochemical response between electrocatalysts and photocatalysts is the impact of potential-dependent charge separation or recombination in light-driven systems$^{31,32}$. Such recombination losses can be observed in the photocurrent $(J_{ph})$ response of the photocathode as sharp cathodic and anodic photocurrent spikes when the light is turned on and off, respectively (Supplementary Fig. 2). Analogous current transients have been reported in other photoelectrodes, and have been assigned to the recombination of charges accumulated at the semiconductor/liquid interface competing with the catalytic reaction$^{33,34}$. The magnitude of these current transients is reduced upon the application of stronger negative potentials, indicating that these partially suppress recombination losses. The surface recombination current transients are even more dominant for the $[\text{Cu}_2\text{O}]$ photocathode alone in the absence of RuO$_x$, indicating that the deposition of
RuO\(_x\) results in the partial supression of these recombination losses. As such, it is apparent that for [Cu\(_2\)O]/RuO\(_x\), the \(J-E\) behaviour shown in Fig. 2 is primarily determined by the potential dependence of recombination losses, and therefore cannot be used as direct assay of the catalytic behaviour of the RuO\(_x\) layer in this system.

**Spectroelectrochemical characteristics.** We turn now to an optical spectroscopy investigation of the RuO\(_x\) functioning as a dark HER electrocatalyst on FTO. Figure 3a shows the spectroelectrochemical data for this electrode, plotted as absorbance difference (\(\Delta A\)) against wavelength at different applied potentials. At potentials negative of the electrode open circuit potential (OCP, 0.78 V\(_{\text{RHE}}\)), a broad negative change in absorbance (bleaching of the absorbance at OCP) is observed across the visible/near-IR region. This loss in absorbance increases with increasing reducing potential until 0 V\(_{\text{RHE}}\), which corresponds to the visible/near-IR region. Following our discussion of the electrochemical data above, we assign the negative absorbance at potentials between OCP and \(E_{\text{onset}}\) to the reduction of RuO\(_x\) to its singly reduced species, RuO\(_x\)(1\(-\)) and the positive absorbance at potentials negative of \(E_{\text{onset}}\) to formation of further reduced catalytic species herein referred as RuO\(_x\)(2\(-\)). Comparison of the amplitudes of these two optical absorbance signals with the steady state catalytic current assigned to the HER suggests that there is correlation between the second reduction of the electrocatalyst to RuO\(_x\)(2\(-\)) and the electrocatalysed HER (Fig. 4). Our optical data indicate that formation of RuO\(_x\)(2\(-\)) is only observed once the first reduction of the electrocatalyst to RuO\(_x\)(1\(-\)) is saturated (see Fig. 4 and also Supplementary Fig. 3). Following literature data, the reduction of RuO\(_x\) to RuO\(_x\)(1\(-\)) is most probably associated with Ru\(^{IV}\) reduction to Ru\(^{III}\) hydroxo species coupled with a lattice expansion\(^{27,28,32}\), and the second reduction to RuO\(_x\)(2\(-\)) is probably associated with formation of ruthenium hydrides (see ‘Discussion’ section), although we note that the specific nature of these states is not the primary focus of the study herein.

Next we focus on the spectroelectrochemical analysis of [Cu\(_2\)O]/RuO\(_x\), enabled by its recent adaptation as a transparent photocathode for tandem operation\(^{34}\). In this case, current generation, and therefore RuO\(_x\) reduction, is both light and voltage dependent. We focus on the change in the absorbance (\(\Delta A\)) induced by UV (365 nm) irradiation, measured at different applied potentials (Fig. 3b), with control data in the absence of Cu\(_2\)O showing negligible signals (Supplementary Fig. 5). The resulting data show qualitative similarities to the dark spectroelectrochemical of RuO\(_x\) alone (Fig. 3a). At potentials positive of the onset of photocurrent (>0.4 V\(_{\text{RHE}}\)), a broad negative change in absorbance is observed; for more negative potentials, where HER photocurrent is monitored, a positive absorbance change is observed. The striking similarity between the absorbance changes induced electrochemically for bare RuO\(_x\) and photocurrently for [Cu\(_2\)O]/RuO\(_x\) suggest that in both cases we are primarily monitoring the same species of RuO\(_x\)(1\(-\)) and RuO\(_x\)(2\(-\)). Further evidence that RuO\(_x\) dominates the optical signals is found upon back illumination of [Cu\(_2\)O]/RuO\(_x\) under moderate bias (0.3 V\(_{\text{RHE}}\)). Under these conditions in which negligible photocurrent is obtained (Supplementary Fig. 6), only the negative absorbance change assigned to singly reduced, non-catalytically active RuO\(_x\)(1\(-\)) is monitored (Fig. 3b).

We now consider the quantitative correlation between the density of RuO\(_x\)(2\(-\)) species and the HER current. For dark electrocatalysis, this is provided by the optical
and current density data as a function of applied potential (Fig. 4). However, for the photocathode, the potential dependence of recombination losses complicates the interpretation of the equivalent data. We avoid this by measuring the change in absorbance upon light pulses of different intensities at a fixed, moderate reducing potential (0.1 V_{HER}). Figure 5 shows the absorbance change at 900 nm and the associated photocurrent measured as a response to 6 s light pulses. As expected, an increase in the light intensity results in larger steady state photocurrents and larger absorbance changes corresponding to the accumulation of more catalytic RuO_2(2−) species. The decay of the absorbance signal following light off is assigned primarily to the decay of RuO_2(2−) species due to proton reduction, indicating a 0.1s–1s timescale for this reaction (see also Supplementary Fig. 7).

**Rate law analysis.** Figure 6 summarizes our different experimental assays of the catalytic function of RuO_2 and Cu_2O/RuO_2 plotted as the log [−J] (or −p^0) against the optical absorbance increase (log [ΔA]) measured at 900 nm, (that is, RuO_2(2−)). For RuO_2, this log/log representation of the current flow shows a linear dependence with a slope of 2.1 (Fig. 6a). For Cu_2O/RuO_2, the photocurrent also shows a similar linear dependence on the change in absorbance with a gradient of 1.9 (Fig. 6b). These data provide a clear indication that for both systems, the catalytic current is proportional to approximately the square of the density of RuO_2(2−). Furthermore, the two plots overlay almost exactly, indicating that for both systems, the HER current density depends indistinguishably upon the density of RuO_2(2−). This agreement is particularly striking given the different experimental methods employed—analysis of the dark current as a function of applied bias and of the photocurrent as a function light intensity, and provides confirmation of the validity of the experimental approaches employed herein.

**Discussion**

The experimental approach employed herein is based upon the use of an optical assay of the density of doubly reduced RuO_2 species (RuO_2(2−)) and its correlation with electrocatalytic and photocatalytic proton reduction to hydrogen on FTO/RuO_2 and Cu_2O/RuO_2, respectively. For both systems, this optical absorbance signal is only observed for applied potentials negative of the onset of catalytic HER current; for less reducing potentials, a broad, negative absorbance change is observed, assigned to the single reduction of RuO_2 to a pre-catalytic RuO_2(1−) state. Our approach therefore provides a direct way to relate the dependence of the current to RuO_2(2−). Strikingly, our plots of log[−J] versus log [ΔA] for electrochemical and photoelectrochemical proton reduction reveal an identical dependence of the current on RuO_2(2−). This observation contrasts with the different J-E responses of these systems (Fig. 2). This difference in J-E between the electrocatalytic and photocatalytic systems results primarily from the voltage dependence of photoinduced charge separation and recombination in the Cu_2O/RuO_2 photocathode. For the dark RuO_2 electrocatalyst, the electrochemical J-E response shown in Fig. 2b shows a linear relationship between log [−J] and E, typical of many electrochemical reactions. For metals, such behaviour is usually interpreted in terms of differences in reaction overpotential as a function of applied potential. In contrast, our optical assay of RuO_2(2−) reveals that the concentration of this catalytically active species increases approximately linearly with applied potential above the E_{onset} (see Fig. 4), clearly distinct from ideal metallic or semiconductor behaviour. Such non-ideal behaviour, which may result from multiple reaction intermediates, the protonation of the surface states or other origins of state inhomogeneity, makes direct interpretation of electrochemical data alone very challenging. In contrast, our spectroelectrochemical analysis allows us to correlate the observed (photo)electrocatalytic HER current with the density of reduced, catalytic RuO_2(2−), facilitating analysis of RuO_2 function. Moreover, this analysis allows us to compare directly the light and dark electrochemistry bypassing the effect of carrier recombination in the J-E response.

Our analysis of the dark RuO_2/FTO and photo-driven Cu_2O/RuO_2 systems under steady state conditions (Fig. 6) reveals both systems exhibit the same second-order dependence of HER current density upon RuO_2(2−). This observation provides evidence that for this catalyst, the catalytic function is independent of substrate (that is, FTO or Cu_2O/AZO/TiO_2—buried junction), of the mechanism driving the reaction (that is, electrochemical or photoelectrochemical), and of the means by which the density of RuO_2(2−) is varied (that is, variation of applied potential or light intensity). Furthermore, the analysis indicates that in terms of catalytic function, the Cu_2O/RuO_2 can indeed be considered a buried junction device, where the catalytic function of the RuO_2 is independent of the Cu_2O underlayers. However, we note that the RuO_2 also appears to have an additional function reducing charge recombination losses in the photocathode (Supplementary Fig. 2), such that function of the Cu_2O/AZO/TiO_2 junction is dependent upon the presence of the surface catalytic layer.

We consider now the implications of our results for the mechanism of the HER on RuO_2. The HER is often described to occur through a homolytic or a heterolytic path involving the formation of metal-hydride intermediates ([M^p^+^−^1^-H]) which may result from multiple reaction intermediates, the protonation of the surface states or other origins of state inhomogeneity. In the homolytic mechanism, the H_2 evolution step involves the interaction of two hydrides and the reductive elimination of H_2 (equation 1). On the other hand, in the heterolytic mechanisms, the hydride intermediate undergoes further reduction and protonation to generate H_2 (equation 2).

\[2 \left[M^p^+^−^1^-H\right] \rightarrow 2M^p^+^+H_2 \]  

\[M^p^+^−^1^-H + e^- + H^+ \rightarrow M^p^+^+H_2 \]  

Previous studies of HER on RuO_2 have proposed a heterolytic mechanism on the basis of electrochemical Tafel analyses. However, other literature on electrocatalytic proton reduction on other electrocatalysts has tended to favour homolytic reaction pathways. The interpretation of analyses that rely exclusively on J-E characteristics is complex for systems with multiple redox intermediates, where the surface behaviour differs from that of a metal, as discussed above. In contrast, our electro-optical analyses of the rate-determining step of the dark electrochemical and light-driven photoelectrochemical HER on RuO_2 reveals a second-order reaction with respect to the concentration of RuO_2(2−). Such behaviour is characteristic of bimolecular processes and thus is indicative of a homolytic rather than heterolytic reaction path involving two RuO_2(2−) species.

On the basis of this observation and previous literature, we propose a tentative mechanism in which initially, before E_{onset}, RuO_2(x≤2) undergoes a one electron reduction forming Ru(OH)_3(RuO_2(1−)), as previously characterized. Following this pre-catalytic step, a further reduction of Ru(OH)_3 occurs to generate the active species herein referred as RuO_2(2−). Finally, two active species undergo the reductive elimination of H_2 regenerating the pre-catalytic state (equation 2). The specific nature of RuO_2(2−) is beyond the
Involving two doubly reduced RuO$_x$ species. We find that this process occurs on the 0.1s–1s timescale via a bimolecular mechanism.

**Methods**

**Electrochemical set-up.** All measurements have been performed in a three-electrode electrochemical cell. The cell was filled with ~10 mL of a combined phosphate 0.1-Na$_2$SO$_4$ 0.4M electrolyte adjusted at pH 5 and platinum gauze was used as a counter electrode. The pH was controlled during the experiment with a Hanna HI 83141 pH-meter. The sample was irradiated at the electrolyte/semiconductor interface. Potentials were applied against a silver/silver chloride electrode. The pH was controlled throughout the experiment.

**(Photo)electrochemical set-up.** Photocurrent transients were measured using a PGSTAT101 potentiostat (Metrohm Autolab). The absorbances were measured when the current density attained steady state.

**Data availability.** The data of this study is available upon request.

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E.P. and F.L.F. developed the experimental set-up and conducted the experiments. J.R.D. designed the experiments. M.T.M. and S.D.T. prepared the samples. E.P. and J.R.D. wrote the paper, with the help of F.L.F., M.T.M., S.D.T., L.F., C.A.M. and M.G.

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