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Mixture of Inference Networks for VAE-based Audio-visual Speech Enhancement

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Abstract—In this paper, we are interested in unsupervised (unknown noise) speech enhancement, where the probability distribution of clean speech spectrogram is simulated via a latent variable generative model, also called the decoder. Recently, variational autoencoders (VAEs) have gained much popularity as probabilistic generative models. In VAEs, the posterior of the latent variables is computationally intractable, and it is approximated by a so-called encoder network. Motivated by the fact that visual data, i.e. lip images of the speaker, provide helpful and complementary information about speech, some audio-visual VAE architectures have been recently proposed. The initialization of the latent variables at test time is crucial as the overall inference problem is non-convex. This is usually done by using the output of the encoder where the noisy audio and clean visual data are given as input. Current audio-visual models do not provide an effective initialization because the two modalities are tightly coupled (concatenated) in the associated architectures. To overcome this issue, we introduce the mixture of inference networks variational autoencoder (MIN-VAE), inspired by mixture models. Two encoder networks input, respectively, audio and visual data, and the posterior of the latent variables is modeled as a mixture of two Gaussian distributions output from each encoder network. The mixture variable is also latent, and therefore the inference of learning the optimal balance between the audio and visual inference network is unsupervised as well. By training a shared decoder, the overall network learns to adaptively fuse the two modalities. Moreover, at test time, the visual encoder, which takes (clean) visual data, is used for initialization. A variational inference approach is derived to train the proposed generative model. Thanks to the novel inference procedure and the robust initialization, the proposed audio-visual VAE exhibits superior performance on speech enhancement than using the standard audio-only as well as audio-visual counterparts.

Index Terms—Audio-visual speech enhancement, generative models, variational auto-encoder, mixture model.

I. INTRODUCTION

Speech enhancement, or removing background noise from noisy speech [1], [2], is a classic yet very important problem in signal processing and machine learning. Traditional solutions to this problem are based on spectral subtraction [3] and Wiener filtering [4], targeting noise and/or speech power spectral density (PSD) estimation in the short-time Fourier transform (STFT) domain. The recent impressive performance of deep neural networks (DNNs) in computer vision and machine learning has paved the way to revisit the speech enhancement problem. DNNs have been widely utilized in this regard, where a neural network is trained to map a noisy speech spectrogram to its clean version, or to a time frequency (TF) mask [5]–[7]. This is usually done in a supervised way, using a huge dataset of noise and clean speech signals for training. As such, the performance of a supervised speech enhancement technique often degrades when dealing with an unknown type of noise.

Unsupervised techniques provide another procedure for speech enhancement that does not use noise signals for training. A popular unsupervised method is based on nonnegative matrix factorization (NMF) [8]–[10] for modeling the PSD of speech signals [11], which decomposes PSD as a product of two non-negative low-rank matrices (a dictionary of basis spectra and the corresponding activations). An NMF-based speech enhancement method consists of first learning a set of basis spectra for clean speech spectrograms at training phase, prior to speech enhancement [9], [12], [13]. Then, by decomposing the noisy spectrogram as the sum of clean speech and noise spectrograms, the corresponding clean speech activations as well as the NMF parameters of noise are estimated. While being computationally efficient, this modeling and enhancement framework cannot properly explain complicated structure of speech spectrogram due to the limited representational power dictated by the two low-rank matrices. A deep autoencoder (DAE) has been employed in [14] to model clean speech and noise spectrograms. A DAE is pre-trained for clean speech spectrograms, while an extra DAE for noise spectrogram is trained at the enhancement stage using the noisy spectrogram. The corresponding inference problem is under-determined, and the authors proposed to constrain the unknown speech using a pre-trained NMF model. As such, this DAE-based method might encounter the same shortcomings as those of the NMF-based speech enhancement [15].

Deep latent variable models offer a more sophisticated and efficient modeling framework than NMF and DAE, gaining much interest over the past few years [15]–[21]. The first and main step is to train a generative model for clean speech spectrogram using a variational auto-encoder (VAE) [22], [23]. VAE provides an efficient way to estimate the parameters of a non-linear generative model, also called the decoder. This is done by approximating the intractable posterior distribution of the latent variables using a Gaussian distribution parameterized by a neural network, called the inference (encoder) network. The encoder and decoder are jointly trained to maximize a variational lower bound on the marginal data log-likelihood. At test time, the trained generative model is combined with a noise model, e.g. NMF. The unknown noise parameters and clean speech are then estimated from the observed noisy
speech. Being independent of the noise type at training, these methods show better generalization than the supervised approaches [15], [16].

Motivated by the fact that the visual information, when associated with audio information, often helps improve the performance in various tasks [24]–[26], and in particular the quality of speech enhancement [27]–[29], an audio-visual latent variable generative model has recently been proposed in [30]. Within this model, the visual features corresponding to the lips region of the speaker are also fed to the encoder and decoder networks of the VAE. The effectiveness and superior performance of the audio-visual VAE (AV-VAE) compared to the audio-only VAE (A-VAE), as well as the supervised deep learning based method of [29] has been experimentally verified in [30]. To deal with noisy visual data at test time, e.g. non-frontal or occluded lips images, a robust method has been proposed in [31], which was later improved and extended in [32]. In the proposed approach, a mixture of trained A-VAE and AV-VAE is used as the clean speech model during speech enhancement. Because of that, the deteriorating effects associated with missing/noisy visual information are avoided as the algorithm switches from AV-VAE to A-VAE in these cases [31]. Besides AV-VAE, a video-only VAE (V-VAE) has also been introduced in [30], where the posterior parameters of the latent variables, that is, the encoder parameters, are trained using only visual information. As such, the latent variables governing the generative process of clean speech spectrogram are inferred from visual data only. V-VAE has been shown to yield much better speech enhancement performance than A-VAE when the (acoustic) noise level is high [30].

In the speech enhancement phase, because of the non-linear generative model, the posterior of the latent variables does not admit a closed-form expression. Two approaches are often used to get around this problem. The first solution is based on the Markov Chain Monte Carlo (MCMC) method [33], in which a sampling technique, e.g. the Metropolis-Hastings algorithm [33], is used to sample from the posterior [15], [16]. The obtained samples are then used to approximate the expectations using a Monte-Carlo average. The second approach makes use of optimization techniques to find the maximum a posteriori estimation of the latent variables [20]. In either case, the initialization plays an important role, as the associated problems are highly non-convex. In practice, the trained encoder is used to initialize the latent variables by giving the noisy speech spectrogram as the input and taking the posterior mean at the output. This can partly explain why V-VAE performs better than A-VAE at high noise levels. In fact, the latent variable initialization in V-VAE is based on visual features, whereas in A-VAE, it is based on the noisy speech. As a result, V-VAE provides a better initialization, because it uses noise-free data (visual features) [30].

The original contribution of this paper is to optimally exploit the complementarity of A-VAE and V-VAE, without systematic recourse to simultaneously using audio and visual features, i.e. via simple concatenation (tight fusion) as done in AV-VAE. Indeed, we aim to bridge the performance gap between A-VAE and V-VAE by designing a mixture of audio and visual inference (encoder) networks, called mixture of inference networks VAE (MIN-VAE). The inputs to audio and visual encoders are speech spectrogram frames and the corresponding visual features, respectively, thus training MIN-VAE to select the best combination of the audio and visual information. A variational inference approach is proposed to train the mixture of the two encoders jointly with a shared decoder (generative) network. This way, the decoder reconstructs the input audio data using the optimal combination of the audio and visual latent samples. At test time, the latent variables are initialized using the visual encoder, thus providing a robust initialization. Our experiments show that MIN-VAE yields much better performance than previous methods, i.e. A-VAE, V-VAE, and AV-VAE.

It should be noted that there are some fundamental differences between our proposed MIN-VAE and the mixture model introduced in [31]. While the purpose of our work is to combine an A-VAE with a V-VAE to take advantage of the both in terms of robust initialization and an improved generative model, the work in [31] addresses robust audio-visual speech enhancement. We achieve our goal by proposing a VAE architecture with a single decoder but a mixture of audio- and visual-based encoders. A new inference method is also derived to train the proposed VAE. In [31], the robustness is achieved by considering a mixture of an A-VAE’s decoder and an AV-VAE’s decoder at test phase. Both the decoders have been trained separately (using standard A-VAE and AV-VAE), and no particular VAE architecture is trained. In contrast to our present work, the architecture proposed in [31] does not provide robustness to latent initialization as it uses a VAE architecture where the audio and visual modalities are tightly fused.

The rest of the paper is organized as follows. In Section II, we review clean speech modeling using already proposed VAE architectures. Next, Section III introduces our proposed MIN-VAE modeling and the associated speech enhancement strategy. Experimental results are then presented in Section IV.

II. VAE-BASED SPEECH MODELING

In this section, audio-only, visual-only and audio-visual clean speech modeling based on VAE is reviewed. Roughly speaking, this consists in defining a latent variable generative model for each time frame of clean speech spectrogram. A parametric Gaussian distribution is used to define the conditional distribution of a spectrogram time frame given its associated latent variable (and, depending on the choice, the visual feature vector). The parameters of the distribution are modeled by DNNs. Assuming a standard Gaussian prior distribution for the latent variables, the model (DNN) parameters are then learned from a collection of clean training data using variational inference. To do so, the posterior distribution of the latent variables is approximated by a Gaussian distribution parametrized by a DNN, called the encoder network. In what follows, three VAE-based modeling frameworks are reviewed.

A. Audio-only VAE

Let $s_n \in \mathbb{C}^k$ denote the vector of speech STFT coefficients at time frame $n$, for $n \in \{0, ..., N - 1\}$, which is assumed
to be generated according to the following latent variable model [15, 16]:

\[ s_n \mid z_n \sim \mathcal{N}_c(0, \text{diag}(\sigma_s(z_n))) \]

\[ z_n \sim \mathcal{N}(0, \mathbf{I}) \]

where \( z_n \in \mathbb{R}^L \), with \( L \ll F \), is a latent random variable, \( \mathcal{N}_c(0, \Sigma) \) denotes a zero-mean complex proper Gaussian distribution with covariance matrix \( \Sigma \), and \( \mathcal{N}(0, \mathbf{I}) \) stands for a zero-mean Gaussian distribution with an identity covariance matrix. Moreover, \( \sigma_s(\cdot) : \mathbb{R}^L \mapsto \mathbb{R}_+^L \) is modeled with a neural network parameterized by \( \theta \), which is called the decoder.

In order to estimate the set of parameters, \( \theta \), the VAE formalism proposes to approximate the intractable posterior distribution \( p(z_n|s_n) \) by a variational distribution parameterized by a neural network, called the inference (encoder) network [23]. This variational distribution writes:

\[ q(z_n|s_n; \phi_a) = \mathcal{N}_c(\mu_{z}(s_n), \text{diag}(\sigma_{z}(s_n))) \]

where \( \mu_{z}(\cdot) : \mathbb{R}_+^L \mapsto \mathbb{R}^L \) and \( \sigma_{z}(\cdot) : \mathbb{R}_+^L \mapsto \mathbb{R}_+^L \) are neural networks, with parameters denoted \( \phi_a \), taking \( s_n \triangleq (|s_{0n}|^2 \ldots |s_{Fn-1n}|^2)\top \) as input. Given a sequence of STFT speech time frames \( s = \{s_n\}_{n=0}^{N_{tr}-1} \) as training data, with \( z = \{z_n\}_{n=0}^{N_{tr}-1} \) being the associated latent variables, the parameters \( \{\theta, \phi_a\} \) are then estimated by maximizing a lower bound on the data log-likelihood \( \log p(s; \theta) \). Note that,

\[ \log p(s; \theta) = \log \int p(s|z; \theta)p(z)dz \]

\[ \geq \mathbb{E}_{q(z|s; \phi_a)} \left[ \log \frac{p(s|z; \theta)p(z)}{q(z|s; \phi_a)} \right] \triangleq \mathcal{L}(\theta, \phi_a) \]

where, the Jensen’s inequality has been used, as it is classically done, see [23]. The function \( \mathcal{L}(\theta, \phi_a) \) is called the evidence lower bound (ELBO) [23], because it provides a lower bound on \( \log p(s; \theta) \). The ELBO can be decomposed as:

\[ \mathcal{L}(\theta, \phi_a) = \mathbb{E}_{q(z|s; \phi_a)} \left[ \log p(s|z; \theta) \right] - \mathcal{D}_{KL}(q(z|s; \phi_a) \parallel p(z)) \]

where, \( \mathcal{D}_{KL}(q \parallel p) \) denotes the Kullback-Leibler (KL) divergence between \( q \) and \( p \). The first term in the right-hand side of (5) evaluates the reconstruction quality of the decoder, and the second one is a regularization term encouraging the variational posterior to remain close to the prior. As the expectation in (5) is computationally intractable, it is usually approximated by a single sample drawn from \( q(z|s; \phi_a) \) [23]. Employing a so-called re-parameterization trick, the set of parameters \( \{\theta, \phi_a\} \) is estimated by a stochastic gradient descent ascent algorithm [23]. Since all the parameters are inferred using only audio data, the
above model is called A-VAE [30]. The associated architecture is shown in Fig. 1 (top).

**B. Visual-only VAE**

A visual VAE (V-VAE) is proposed in [30], assuming the same generative model as in (1) and (2). The difference with the A-VAE is that, here, the posterior \( p(z_n|s_n) \) is approximated using visual-data only:

\[
q(z_n|v_n, \phi_v) = \mathcal{N}(\mu^v_z(v_n), \text{diag}(\sigma^v_z(v_n))),
\]

where, \( v_n \in \mathbb{R}^M \) is an embedding for the image of the speaker lips at frame \( n \), and \( \mu^v_z() : \mathbb{R}^M \mapsto \mathbb{R}^L \) and \( \sigma^v_z() : \mathbb{R}^M \mapsto \mathbb{R}^L_+ \) denote neural networks with parameters \( \phi_v \). Hence, V-VAE attempts to reconstruct clean speech using latent variables inferred from the lips images. The set of parameters, \( \{\theta, \phi_v\} \), is estimated in the same way as A-VAE. Figure 1 (middle) depicts the architecture of a V-VAE.

**C. Audio-Visual VAE**

An audio-visual VAE, called AV-VAE, is also presented in [30] for speech modeling. The rationale of the AV-VAE is to exploit the complementary between audio and visual modalities. The associated generative model is defined as:

\[
s_n|z_n,v_n \sim \mathcal{N}_c(0, \text{diag}(\sigma_s(z_n,v_n))),
\]

\[
z_n|v_n \sim \mathcal{N}(\mu^v_z(v_n), \text{diag}(\sigma^v_z(v_n))),
\]

where, \( \sigma_s(...) : \mathbb{R}^L \times \mathbb{R}^M \mapsto \mathbb{R}^L_+ \) is a neural network taking \((z_n,v_n)\) as input. Furthermore, \( \mu^v_z(...) : \mathbb{R}^M \mapsto \mathbb{R}^L \) and \( \sigma^v_z(...) : \mathbb{R}^M \mapsto \mathbb{R}^L_+ \) are neural networks parameterizing the mean and variance of the prior distribution of \( z_n \) using \( v_n \) as the input. Note that throughout the paper, \( v_n \) is treated as a deterministic piece of information, and so we do not model its generative process. The variational approximation to \( p(z_n|s_n,v_n) \) takes a similar form as (3), except that \( v_n \) is also fed to the associated neural network. The architecture of an AV-VAE is shown in Fig. 1 (bottom).

**III. THE MIXTURE OF INFERENCE NETWORKS VAE**

In this section, we aim to devise a framework able to choose the best combination between the auditory and visual modalities in the encoder, as opposed to systematically using both encodings through tight fusion, as done in AV-VAE. To achieve this goal, we propose a probabilistic mixture of an audio and a visual encoder, and name it mixture of inference networks VAE (MIN-VAE). Intuitively, the model learns to infer to which extent the approximate posterior of \( z_n \) should be audio- or visual-based. The overall architecture is depicted in Fig. 2. In the following, we introduce the mathematical formulations associated with the proposed MIN-VAE. The generative model is presented in Subsection III-A, which uses a mixture of two different Gaussian distributions for the prior of the latent variables, as opposed to standard VAE and to the models in the previous section that use a standard Gaussian distribution. This innovative choice is motivated to ease the task of the generative model. Indeed, by modeling two different prior distributions, the decoder network can easily understand if the sample is audio-based or visual-based. Subsection III-B proposes a variational distribution to approximate the intractable posterior of the latent variables. This variational distribution is then used in Subsection III-C to derive the training algorithm for the overall MIN-VAE. Finally, noise modelling for speech enhancement at test time is discussed in Subsection III-D.

**A. The Generative Model**

We assume that each latent code is generated either from an audio or from a visual prior. We model this with a mixing variable \( \alpha_n \in \{0, 1\} \) describing whether the latent code \( z_n \) corresponds to the audio or to the visual prior. Once the latent code is generated from the corresponding prior, the speech frame \( s_n \) follows a complex Gaussian distribution with the variance computed by the decoder. We recall that the variance is a non-linear transformation of the latent code.

Formally, each STFT time frame \( s_n \) is modeled as:

\[
s_n|z_n,v_n \sim \mathcal{N}_c(0, \text{diag}(\sigma_s(z_n,v_n))),
\]

\[
z_n|\alpha_n \sim \left[ \mathcal{N}(\mu_{\alpha_n}, \sigma_{\alpha_n}) \right]^{\alpha_n} \cdot \left[ \mathcal{N}(\mu_v, \sigma_v) \right]^{1-\alpha_n},
\]

where the audio and visual priors are parametrized by \( (\mu_{\alpha_n}, \sigma_{\alpha_n}) \) and \( (\mu_v, \sigma_v) \) respectively, and \( \alpha_n \) is assumed to follow a Bernoulli distribution with parameter \( \pi \). We propose two versions of this architecture, namely: MIN-VAE-v1 where the decoder (9) takes the same form as (7) and uses explicitly visual information (see Fig. 2), and MIN-VAE-v2 where the decoder (9) takes the same form as (1) and does not use explicitly visual information. In both cases the parameters of the decoder are denoted by \( \theta \). The derivations will be done for the general case, that is MIN-VAE-v1.

**B. The Posterior Distribution**

In order to estimate the parameters of the generative model described above, i.e. \( \psi = \{\mu_v, \mu_{\alpha_n}, \sigma_v, \sigma_{\alpha_n} \} \), \( \theta \), and \( \pi \), we follow a maximum likelihood procedure. To derive it, we need to compute the posterior of the latent variables:

\[
p(z_n, \alpha_n|s_n; v_n) = p(z_n|s_n, \alpha_n; v_n) \cdot p(\alpha_n|s_n; v_n).
\]

The individual factors in the right-hand side of the above equation cannot be computed in closed-form, due to the non-linear generative model. As similarly done in VAE, we pursue an amortized inference approach to approximate \( p(z_n|s_n, v_n, \alpha_n) \) with a parametric Gaussian distribution defined as follows:

\[
q(z_n|s_n, \alpha_n; v_n, \phi) = \begin{cases} q(z_n|s_n; \phi_a) & \text{if } \alpha_n = 1, \\ q(z_n|v_n; \phi_v) & \text{if } \alpha_n = 0, \end{cases}
\]

in which, \( \phi = \{\phi_a, \phi_v\} \), and \( \phi_a \) and \( \phi_v \) denote the parameters of the associated audio and visual inference neural networks, taking the same architectures as those in (3) and (6), respectively. For the posterior of \( \alpha_n \), i.e. \( p(\alpha_n|s_n; v_n) \), we resort to a variational approximation, denoted \( r(\alpha_n) \). Put it all together, we have the following approximate posterior:

\[
q(z_n|s_n, \alpha_n; v_n, \phi) \cdot r(\alpha_n) \approx p(z_n, \alpha_n|s_n; v_n).
\]
Fig. 2: Architecture of the proposed mixture of inference networks VAE (MIN-VAE). A mixture of an audio- and a visual-based encoder is used to approximate the intractable posterior distribution of the latent variables.

C. Training the MIN-VAE

In order to train the MIN-VAE, we devise an optimization procedure alternating between estimating \( \Theta = \{\phi, \theta, \psi, \pi\} \) and updating the variational posterior \( r \). To do so, we first need to give an expression of the exact posterior distribution, so as to write the optimization function, namely the KL-divergence between the approximate variational posterior and the true posterior. To write the exact posterior, we will use the decomposition of the generative model in equations (9) – (11), and recall the definition \( s = \{s_n\}_{n=1}^N \), and \( z \), and define \( \alpha \) and \( v \) analogously. The full posterior of the latent variables writes:

\[
p(z, \alpha; s, v, \theta) = \frac{p(s, z, \alpha; v, \theta)}{p(s; v, \theta)} = \frac{p(s; z; \theta)p(z|\alpha)p(\alpha)}{p(s; v, \theta)}.
\]

We then target the KL-divergence between the approximate posterior and the true posterior which reads:

\[
\mathcal{D}_{KL}\left( q(z|s, \alpha; v, \phi) \mid \mid p(z, \alpha; s, v, \theta) \right) = \sum_{\alpha} \int_{\mathcal{Z}} q(z|s, \alpha; v, \phi) r(\alpha) \log \frac{q(z|s, \alpha; v, \phi)r(\alpha)p(s; v, \theta)}{p(s|z; \theta)p(z|\alpha)p(\alpha)} d\mathcal{Z} = -\mathcal{L}(\Theta, r) + \log p(s; v, \theta) \geq 0,
\]

where

\[
\mathcal{L}(\Theta, r) = \sum_{\alpha} \int_{\mathcal{Z}} q(z|s, \alpha; v, \phi) r(\alpha) \log \frac{p(s|z; \theta)p(z|\alpha)p(\alpha)}{q(z|s, \alpha; v, \phi)r(\alpha)} d\mathcal{Z}.
\]

From (16) we can see that \( \log p(s; v, \theta) \geq -\mathcal{L}(\Theta, r) \). Therefore, instead of maximizing the intractable data log-likelihood \( \log p(s; v, \theta) \), we maximize its lower-bound, i.e. \( \mathcal{L}(\Theta, r) \), or equivalently:

\[
\Theta^*, r^* = \arg\min_{\Theta, r} -\mathcal{L}(\Theta, r)
\]

subject to the constraint that \( r \) integrates to one. We solve this problem by alternately optimizing the cost over \( r \) and \( \Theta \). In the following, the two optimization steps are discussed.

1) Optimizing w.r.t. \( r(\alpha) \): With \( \Theta \) being fixed to its current estimate, solving (18) boils down to:

\[
\min_r \sum_{\alpha_n} r_n(\alpha_n) \left[ \log \frac{r_n(\alpha_n)}{p(\alpha_n)} + J_n(\alpha_n) \right], \forall n,
\]

meaning that the optimal \( r \) is separable on \( n \), where,

\[
J_n(\alpha_n) = \int_{\mathcal{Z}} q(z_n|s_n, \alpha_n; v_n, \phi) \log \frac{q(z_n|s_n, \alpha_n; v_n, \phi)}{p(s_n|z_n; v_n, \theta)p(z_n|\alpha_n)} dz_n = \mathcal{D}_{KL}\left( q(z_n|s_n, \alpha_n; v_n, \phi) \mid \mid p(z_n|\alpha_n) \right) - E_{q(z_n|s_n, \alpha_n; v_n, \phi)} \left[ \log p(s_n|z_n; v_n, \theta) \right].
\]

Using calculus of variations, we find that \( r_n(\alpha_n) \propto p(\alpha_n) \exp \left( -J_n(\alpha_n) \right) \), which is a Bernoulli distribution. To find the associated parameter, we need to compute \( J_n(\alpha_n) \). Since the expectation involved in (20) is intractable to compute, we approximate it using a single sample denoted \( z_{n}^{*} \) drawn from \( q(z_n|s_n, \alpha_n; v_n, \phi) \), obtaining:

\[
\tilde{J}_n(\alpha_n) = \mathcal{D}_{KL}\left( q(z_n|s_n, \alpha_n; v_n, \phi) \mid \mid p(z_n|\alpha_n) \right) - \log p(s_n|z_{n}^{*}; v_n, \theta),
\]

The parameter of the Bernoulli distribution then takes the following form:

\[
\pi_n = g \left( \tilde{J}_n(\alpha_n = 0) - \tilde{J}_n(\alpha_n = 1) + \log \frac{\pi}{1 - \pi} \right),
\]

where \( g(x) = 1/(1 + \exp(-x)) \) is the sigmoid function. Computations of the KL divergence terms are provided in Appendix A.
2) Optimizing w.r.t. $\Theta$: With $r$ being fixed to its current estimate, from (18), we can write the optimization over $\Theta$ as:

$$
\min_{\Theta} \sum_{n=0}^{N_r} \pi_n \left( \mathcal{D}_KL \left( q(z_n|s_n; \phi_{\alpha}) \left| \| \right. \right) p(z_n|\alpha_n = 1) \right) - \\
\mathbb{E}_{q(z_n|s_n; \phi_{\alpha})} \left[ \log p(s_n|z_n; v_n, \theta) \right] + \\
(1 - \pi_n) \left( \mathcal{D}_KL \left( q(z_n|v_n; \phi_{\psi}) \left| \| \right. \right) p(z_n|\alpha_n = 0) \right) - \\
\mathbb{E}_{q(z_n|v_n; \phi_{\psi})} \left[ \log p(s_n|z_n; v_n, \theta) \right] + \mathcal{D}_KL \left( r(\alpha_n) \| p(\alpha_n) \right).
$$

As before, the expectations involved in the above equation are approximated with a single sample drawn from the associated posteriors. After computing the cost function, the parameters are updated using a re-parametrization trick along with a stochastic gradient descent algorithm, e.g. the Adam optimizer. Finally, optimizing (23) over $\pi$ leads to minimizing the following KL-divergence:

$$
\mathcal{D}_KL \left( r(\alpha_n) \| p(\alpha_n) \right) = \pi_n \log \frac{\pi_n}{\alpha_n} + (1 - \pi_n) \log \frac{1 - \pi_n}{\alpha_n},
$$

yielding

$$
\pi = \frac{1}{N_{tr}} \sum_{n=1}^{N_{tr}} \pi_n. \tag{25}
$$

Now, with the derived variational inference formulas, we obtain the inference mixture for the MIN-VAE:

$$
p(z_n|s_n; v_n) = \pi_n \mathcal{N} \left( \mu_{\mathcal{N}}(s_n), \text{diag} \left( \sigma^2_{\mathcal{N}}(s_n) \right) \right) \tag{26}
$$

$$
+ (1 - \pi_n) \mathcal{N} \left( \mu_{\mathcal{N}}(v_n), \text{diag} \left( \sigma^2_{\mathcal{N}}(v_n) \right) \right). \tag{27}
$$

The overall training algorithm then consists of alternating the variational distribution update of $\alpha_n$ via (22), the update of $\phi$, $\theta$, and $\psi$ via stochastic gradient descent of (23), and the update of $\pi$ using (25).

D. Noise Modeling

At test time, once the MIN-VAE is trained, the STFT time frames of the observed noisy speech are modeled as $x_n = s_n + b_n$, for $n = 0, \ldots, N - 1$, with $b_n$ denoting noise STFT time frame. For the probabilistic modeling of $s_n$, we use the generative model trained on clean data (i.e. the previous section). For $b_n$, the following NMF based model is considered [16]:

$$
b_n \sim \mathcal{N} \left( 0, \text{diag} \left( W_h n \right) \right), \tag{28}
$$

where, $W \in \mathbb{R}^{K \times R_n}$, and $h_n$ denotes the $n$-th column of $H \in \mathbb{R}^{K \times N}$. The parameters, i.e. $\{W, H\}$, as well as the unknown speech are then estimated following a variational inference method [33]. This strategy is inspired by the recent literature [16], [31]. The details are provided in Appendix B.

IV. EXPERIMENTS

In this section, we aim to evaluate the speech enhancement performance of different VAE architectures, including A-VAE [16], V-VAE [30], AV-VAE [30], and the proposed MIN-VAE. To measure the performance, we use standard scores, including the signal-to-distortion ratio (SDR) [34], the perceptual evaluation of speech quality (PESQ) [35], and the short-time objective intelligibility (STOI) [36]. SDR is measured in decibels (dB), while PESQ and STOI values lie in the intervals $[-0.5, 4.5]$ and $[0, 1]$, respectively (the higher the better). For each measure, we report the averaged difference between the output value (evaluated on the enhanced speech signal) and the input value (evaluated on the noisy/unprocessed mixture signal). The average values of SDR, PESQ, and STOI computed on the input noisy speech signals are reported in Table II.

A. Experimental Set-up

a) Dataset: We use the NTCD-TIMIT dataset [37], which contains audio-visual (AV) recordings from 56 English speakers with an Irish accent, uttering 5488 different TIMIT sentences [38]. The visual data consist of 30 FPS videos of lips region of interests (ROIs). Each frame (ROI) is of size 67x67 pixels. The speech signal is sampled at 16 kHz, and the audio spectral features are computed using an STFT window of 64 ms (1024 samples per frame) with 47.9% overlap, hence $F = 513$. The dataset is divided into 39 speakers for training, 8 speakers for validation, and 9 speakers for testing, as proposed in [37]. The test set includes about 1 hour noisy speech, along with their corresponding lips ROIs, with six different noise types, including Living Room (LR), White, Cafe, Car, Babble, and Street, with noise levels: $\{-15, -10, -5, 0, 5, 10\}$ dB.

b) Architecture and training details: The generative networks (decoders) of A-VAE and V-VAE consist of a single hidden layer with 128 nodes and hyperbolic tangent activations. The dimension of the latent space is $L = 32$. The A-VAE encoder has a single hidden layer with 128 nodes and hyperbolic tangent activations. The V-VAE encoder is similar to the A-VAE encoder, except for extracting visual features, embedding lip ROIs into a feature vector $v_n \in \mathbb{R}^M$, with $M = 128$. This is composed of two fully-connected layers with 512 and 128 nodes. The dimension of the input corresponds to a single vectorized frame, namely $4489 = 67 \times 67$. AV-VAE combines the architectures of A-VAE and V-VAE as illustrated in Fig. 1. The audio and the visual encoders in Fig. 2 share also the same architectures as those of A-VAE and V-VAE encoders, respectively.

To have a fair comparison, we fine-tuned the A-VAE and V-VAE of [30], which have been trained with a standard Gaussian prior for the latent variables, by using a parametric Gaussian prior, as the ones in (10). The decoder parameters of MIN-VAE-v1 and MIN-VAE-v2 (see Section III-A) are initialized with those of the pretrained AV-VAE and A-VAE, respectively. The parameters of the audio and the visual encoders are also initialized with the corresponding parameters in the pretrained A-VAE and V-VAE encoders. Then, all the parameters are fine-tuned using the Adam optimizer [39] with
TABLE I: Description of the proposed VAE networks.

| Name         | Description                                                                 |
|--------------|-----------------------------------------------------------------------------|
| MIN-VAE-v1   | The architecture shown in Fig. 2.                                            |
| MIN-VAE-v2   | Same as MIN-VAE-v1 but without using visual modality in the decoder.        |
| MIN-VAE-v3   | Alternately training an A-VAE and a V-VAE with a shared decoder.             |

**c) Speech enhancement parameters:** For all the methods, the rank of $W$ and $H$ in the noise model (28) is set to $K = 10$, and these matrices are randomly initialized with non-negative entries. At the first iteration of the inference algorithms, the Markov chain of the Metropolis-Hastings algorithm (see Section B-A2 in Appendix B) is initialized by using the noisy observed speech and the visual features as input to the associated encoders, and taking the posterior mean as the initialization of the latent codes. For the proposed VAE architectures, i.e. MIN-VAE-v1, MIN-VAE-v2, and MIN-VAE-v3, the visual-encoders are used.

**B. Results and Discussion**

Figure 3 summarizes the results of all the VAE architectures, in terms of SDR, PESQ, and STOI. The top row of this figure reports the averaged results versus different noise levels, whereas the bottom row shows the averaged results in terms of noise type. From this figure we can see that V-VAE performs pretty well at high noise levels. However, the intelligibility improvements in terms of STOI are not as good as those of the other algorithms. MIN-VAE-v3 outperforms other methods in terms of SDR and PESQ. Nevertheless, its intelligibility improvement is not satisfactory. The proposed MIN-VAE methods also outperform A-VAE, especially at
high noise levels. As explained earlier, this might be due to the facts that the proposed networks efficiently make use of the robust initialization provided by the visual data, and also by the richer generative models (decoders) which are trained using both audio and visual latent codes. At high noise levels, MIN-V AE-v1 outperforms MIN-V AE-v2, implying the importance of using visual modality in the decoder when the input speech is very noisy. A related observation is that MIN-V AE-v2 outperforms both MIN-V AE-v1 and AV-AE when the level of noise is low, implying that the visual features in the generative model contribute mainly in high noise regimes.

The average posterior probability of the \( \alpha_n \) variable given in (22) is 0.96, implying that the contribution of the audio encoder in generating the latent code is 96%. Part of the worse performance of AV-AE could be explained by the way the latent codes are initialized, which is based on concatenation of noisy audio and clean visual data. It is worth mentioning that in the low noise regime, the amount of performance improvement is decreasing for all the methods, as the speech signals are already clean enough.

Regarding noise type, we see that the algorithms perform very differently. The Babble noise is the most difficult noise environment according to the bottom row of Fig. 3. In terms of SDR, all the methods show their best performance for the Car noise, with a very large improvement achieved by the audio-visual based methods. In terms of PESQ, the White noise is the easiest one for all the methods, especially MIN-V AE-v3 that shows the best performance. Finally, in terms of STOI, MIN-V AE-v2 achieves the best performance for the Car noise.

To encourage the proposed MIN-V AE networks to make use of the visual data in the encoder more efficiently, we added some uniformly distributed noise, with the SNR being about 0 dB and fixed during training, to about one-third of speech spectrogram time frames that are fed to the audio encoders. We also added noise to the audio encoder’s inputs of A-V AE and AV-AE. However, no performance improvements were observed. The amount of noise and percentage of noisy frames have been found empirically. Figure 4 presents the results of this experiment. A clear performance improvement is observed compared to Fig. 3, especially for MIN-V AE-v2. With this new training, the proposed algorithms outperform AV-AE in all noise levels. The SDR improvements for high noise levels, however, are very close. Regarding the improvement margin, we see that on average, MIN-V AE-v2 outperforms AV-AE, about 1dB in terms of SDR (at high SNRs), more than 0.1 in terms of PESQ, and about 0.03-0.04 in terms of STOI. The PESQ and STOI improvements remain almost stable for different values of SNR. Overall, even if different MIN-V AE strategies may obtain the best improvement over AV-AE depending on the measure and on the SNR, the superiority of MIN-V AE w.r.t. the AV-AE is clear. Finally, the best performing algorithm turns out to be MIN-V AE-v2, outperforming MIN-V AE-v3, especially at low levels of noise. The average posterior probability of the \( \alpha_n \) variable given in (22) is now 0.80, which is the best-performing value according to our experiments. Some audio examples are available at https://team.inria.fr/perception/research/min-vae-se/.
V. CONCLUSIONS

Inspired by the importance of latent variable initialization for VAE-based speech enhancement, and as another way than simple concatenation to effectively fuse audio and visual modalities in the encoder of VAE, we proposed a mixture of inference (audio and visual encoders) networks, which are jointly trained with a shared generative network. The overall architecture is named MIN-VAE. A variational inference approach was proposed to estimate the parameters of the model. At test phase of the speech enhancement, the initialization of the latent variables, as required by the MCEM inference method, is based on the visual modality, which is assumed to be clean in contrast to audio data. As such, it provides a better performance than initializing with noisy audio data. This is confirmed by our experiments, comparing different VAE architectures.

For future works, dynamical VAE architectures [40] will be investigated, which take into account the temporal correlation between audio and visual frames. This is expected to better handle visual modality, thus achieving superior performance compared to audio-only VAE. Furthermore, we will consider robustifying the proposed algorithms to noisy visual data, e.g. by using the mixture idea developed in [32]. Finally, reducing the computational complexity of the inference will be another promising research direction.

APPENDIX A

KL DIVERGENCE COMPUTATION

The KL divergence between two Gaussian distributions is given by the following lemma:

**Lemma 1.** Let $p_1(x; \mu_1, \Sigma_1)$ and $p_2(x; \mu_2, \Sigma_2)$ be two multivariate Gaussian distributions in $\mathbb{R}^n$. Then, the KL divergence between $p_1$ and $p_2$ reads:

$$D_{KL}(p_1 \| p_2) = \frac{1}{2} \left( \log \det \Sigma_2 - \log \det \Sigma_1 - n + \text{tr} (\Sigma_2^{-1} \Sigma_1) + (\mu_2 - \mu_1)^T \Sigma_2^{-1} (\mu_2 - \mu_1) \right).$$ (29)

Utilizing the above lemma, we can write the KL divergence term in (21) (for $\alpha_n = 1$) as follows:

$$D_{KL} (q(z_n|s_n; \phi_a) \| p(z_n|\alpha_n)) = \frac{1}{2} \log \left( \frac{\sigma^L_a}{\text{det}(\Sigma_a)} \right) - \text{tr} \left( \text{diag}(\sigma^{a}_z(s_n)) \right) + \frac{\|\mu^a_z(s_n) - \mu_a\|^2}{2\sigma_a}.$$ (30)

and analogously for the vision-based term ($\alpha_n = 0$).

APPENDIX B

**Speech enhancement**

The generative model is given in (9) – (11), where all the parameters except $\pi$ have already been trained on clean audio and visual data. The observations are noisy STFT frames $x = \{x_n\}_{n=0}^{N-1}$, as well as the visual data $v = \{v_n\}_{n=0}^{N-1}$. The latent variables of the model are $s = \{s_n\}_{n=0}^{N-1}$, $z = \{z_n\}_{n=0}^{N-1}$, and $\alpha = \{\alpha_n\}_{n=0}^{N-1}$. Furthermore, the parameters of the model are $\Theta = \{W, H, \pi\}$.

A. Parameters Estimation

The full posterior is written as:

$$p(s_n, z_n, \alpha_n|x_n, v_n, \Theta) \propto p(x_n, s_n, z_n, \alpha_n; v_n, \Theta) = p(x_n|s_n, \Theta) \times p(s_n|z_n; v_n) \times p(z_n|\alpha_n) \times p(\alpha_n)$$ (31)

To estimate the parameter set, we develop a variational inference method [33], where in the variational expectation step (VE-step), the above intractable posterior is approximated by a variational distribution $r(s_n, z_n, \alpha_n)$, as similarly done in [31]. The maximization step (M-step) performs parameters update using the obtained variational distributions. We assume that $r$ factorizes as follows:

$$r(s_n, z_n, \alpha_n) = r(s_n) \times r(z_n) \times r(\alpha_n).$$ (32)

where for notational convenience, we have omitted the dependence on $\Theta$. Denoting the current estimate of the parameters as $\Theta^{old}$, the VEM approach consists of iterating between the VE-steps and the M-step, which are detailed below.

1) **VE-step** ($r(s_n)$): The variational distribution of $s_n$ is computed as [33]:

$$r(s_n) \propto \exp \left( \mathbb{E}_{r(z_n)} \{ \log p(x_n, s_n, z_n, \alpha_n; v_n, \Theta^{old}) \} \right)$$

$$= \exp \left( -\sum_{f} \left( ||f_n x - s_{fn} ||^2 + \frac{||s_{fn} ||^2}{\gamma_{fn}} \right) \right),$$ (33)

where,

$$\gamma_{fn}^{-1} = \mathbb{E}_{r(z_n)} \left[ \frac{1}{\sigma_{s,f}(z_n^{(d)}, v_n)} \right] \approx \frac{1}{D} \sum_{d=1}^{D} \frac{1}{\sigma_{s,f}(z_n^{(d)}, v_n)},$$ (34)

and $\{z^{(d)}_n\}_{d=1}^{D}$ is a sequence sampled from $r(z_n)$. From (33), we can see that $r(s_{fn}) = N_c(m_{fn}, \nu_{fn})$, where:

$$m_{fn} = \frac{\gamma_{fn} \gamma_{fn} + (WH)_{f}^{t} \cdot x_{fn}}{\gamma_{fn} \gamma_{fn} + (WH)_{f}^{t} (WH)_{f}}$$

$$\nu_{fn} = \frac{\gamma_{fn} \gamma_{fn} + (WH)_{f}^{t} \cdot v_{fn}}{\gamma_{fn} \gamma_{fn} + (WH)_{f}^{t} (WH)_{f}}.$$ (35)

2) **VE-step** ($r(z_n)$): The variational distribution of $z_n$ can be computed by the following standard formula:

$$r(z_n) \propto \exp \left( \mathbb{E}_{r(s_n)} \{ \log p(x_n, s_n, z_n, \alpha_n; v_n, \Theta^{old}) \} \right)$$

$$\propto \exp \left( \sum_{f} - \log \left( \sigma_{s,f}(z_n, v_n) \right) - \frac{||m_{fn} ||^2 + \nu_{fn} ||}{\sigma_{s,f}(z_n, v_n)} \right) + \sum_{\alpha_n \in [0,1]} E_{r(z_n)} \{ \log p(z_n|\alpha_n) \} \approx \tilde{r}(z_n).$$ (36)

This gives us an unnormalized distribution $\tilde{r}(z_n)$ whose normalization constant cannot be computed in closed-form, due to the non-linear terms. However, we use the Metropolis-Hastings algorithm [33] to sample from it. To that end, we need to start with an initialization, $z^{(0)}$. At the beginning of the inference, $z^{(0)}$ is set to be the posterior mean in the output of the visual-encoder, i.e. the bottom-left network in Fig. 2, where $v_n$ is given as the input. Then, a candidate sample denoted $z^{(c)}$
is obtained by sampling from a proposal distribution, usually chosen to be a Gaussian:

$$z^{(c)} | z^{(0)} \sim N(z^{(0)}, \epsilon I),$$  \hspace{1cm} (37)$$

where, $\epsilon > 0$ controls the speed of convergence. Then, if $u < p$, the sample is accepted and $z^{(1)} = z^{(c)}$. Otherwise, it is rejected and $z^{(1)} = z^{(0)}$. This procedure is repeated until the required number of samples is achieved. The first few samples are usually discarded, as they are not so reliable.

3) $VE$-$r(\alpha_n)$ step: The variational distribution of $\alpha_n$ is computed as:

$$r(\alpha_n) \propto \exp \left( \mathbb{E}_{r(z_n)} \left[ \log p(x_n, s_n, z_n, \alpha_n; \Theta^{old}) \right] \right)$$

$$\propto p(\alpha_n) \times \exp \left( \mathbb{E}_{r(z_n)} \left[ \alpha_n \cdot \log p(z_n | \alpha_n = 1) \right] \right)$$

$$+ (1 - \alpha_n) \cdot \log p(z_n | \alpha_n = 0) \right)$$  \hspace{1cm} (39)$$

which is a Bernoulli distribution with the following parameter:

$$\pi_n = g \left( \mathbb{E}_{r(z_n)} \left[ \log \frac{p(z_n | \alpha_n = 1)}{p(z_n | \alpha_n = 0)} \right] + \log \frac{\pi}{1 - \pi} \right),$$  \hspace{1cm} (40)$$

with $g(.)$ being the sigmoid function.

4) $M$-step: After updating all the variational distributions, the next step is to update the set of parameters, i.e. $\Theta = \{W, H, \pi\}$. To do so, we need to optimize the complete-data log-likelihood which reads:

$$Q(\Theta; \Theta^{old}) = E_{r(s, r(z))} \left[ \log p(x, s, z, \alpha; v, \Theta) \right]$$

$$= \sum_{f,n} - \frac{|x_{fn} - m_{fn}|^2 + \nu_{fn}}{(WH)^{f,n}} - \log(\text{det}(WH))_{f,n}$$

$$+ \pi_n \log \pi + (1 - \pi_n) \log(1 - \pi)$$  \hspace{1cm} (41)$$

The update formulas for $W$ and $H$ can be obtained by using standard multiplicative updates [41]:

$$H \leftarrow H \odot \frac{W^T (V \odot (WH)^{\odot -2})}{W^T (WH)^{\odot -1}},$$  \hspace{1cm} (42)$$

$$W \leftarrow W \odot \frac{(V \odot (WH)^{\odot -2}) H^T}{(WH)^{\odot -1} H^T},$$  \hspace{1cm} (43)$$

where $V = \left[ |x_{fn} - m_{fn}|^2 + \nu_{fn} \right]_{f,n}$, and $\odot$ denotes element-wise operation. Optimizing over $\pi$ leads to a similar update formula as in (25):

$$\pi = \frac{1}{N} \sum_{n=1}^{N} \pi_n, $$  \hspace{1cm} (44)$$

B. Speech Estimation

Let $\Theta^* = \{W^*, H^*, \pi^*\}$ denote the optimal set of parameters found by the above VEM procedure. An estimation of the clean speech is then obtained as the variational posterior mean $(\forall f,n)$:

$$\hat{s}_{fn} = \mathbb{E}_{r(s_{fn})} \left[ \hat{s}_{fn} \right] = \frac{\gamma_{fn}^*}{\gamma_{fn}^* + (W^*H^*)_{f,n}} \cdot x_{fn},$$  \hspace{1cm} (45)$$

where, $\gamma_{fn}^*$, defined in (34), is computed using the optimal parameters.

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