Dyssynchrony Assessment with Tissue Doppler Imaging and Regional Volumetric Analysis by 3D Echocardiography Do Not Predict Long-Term Response to Cardiac Resynchronization Therapy

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1. Introduction

Cardiac resynchronization therapy (CRT) improves functional capacity, quality of life, and reduces heart failure (HF) symptoms. CRT alone without ICD was also shown to reduce mortality in patients with severe HF [1]. Based on large clinical trials [2, 3], the current recommendations to select patients for CRT focus on electrical dyssynchrony, such as prolonged QRS duration of >120 milliseconds [4]. However, 30–40% of patients with electrical dyssynchrony do not exhibit mechanical dyssynchrony and remain as nonresponders to CRT [5]. Several studies have been done to find an echocardiographic technique that could predict a favorable response to CRT [6–10]. The goal of these studies has been to find a feasible, inexpensive, and reliable method to identify potential responders to CRT before undergoing the surgical procedure.

Mechanical dyssynchrony studied by tissue Doppler imaging (TDI) has been shown to be a reliable predictor of response after CRT in several studies [8, 11–13]. However, the results of the recent trials, including PROSPECT (predictors of response to CRT), which studied 12 different 2D transthoracic (2DTE) echocardiographic dyssynchrony parameters, did not find any reliable parameters in predicting the response to CRT at 6 months [14, 15]. Three-dimensional transthoracic echocardiography (3DTE) [16–20] with regional volumetric analysis is emerging as a new technique and feasible to assess dyssynchrony. Although realtime 3DTE has been used to assess dyssynchrony, this technique has not been compared to TDI in predicting.
the response to CRT [21]. We conducted this study to compare dyssynchrony measurements by TDI and regional volumetric analysis by 3DTTE and examine if these methods are efficient and reliable in predicting the long-term clinical and echocardiographic response to CRT.

2. Methods

We conducted a single-center, prospective, nonrandomized study comprising 38 consecutive patients who underwent CRT at Stanford University, California, as per recommended guidelines. All the patients signed an informed consent form and a health insurance portability and accountability act (HIPAA) form for the study. Patients with mechanical heart valve(s), pacemaker dependence, and those with technically inadequate echocardiographic images were excluded from the study. Patients underwent clinical and echocardiographic assessment prior to CRT and after 6–12 months of CRT. The clinical parameters included New York Heart Association (NYHA) functional class, 6-minute walk distance (MWD) and Minnesota Living with Heart Failure questionnaire score (MNHFQ). These patients were implanted with Medtronic (n = 29) (Insync Sentry, Medtronic Inc.) and St. Judes (n = 4) pacemaker devices. After biventricular pacemaker placement, A-V optimization was done by Ritter’s method to achieve maximal end-diastolic filling duration, followed by post-CRT imaging within 24 hours of implantation. Clinical response was defined as an improvement in NYHA functional class by one class, and echocardiographic response. Correlations between different dyssynchrony parameters were done using analysis of variance (ANOVA). Receiver-operating characteristics curves (ROC) were generated, and the area under the curve represented the ability of the parameter to predict clinical or echocardiographic response. Correlations between different dyssynchrony measurement techniques were examined by Pearson correlation test. A P-value of ≤.05 was considered statistically significant.

2.1. Transthoracic 2D Echocardiography. A Philips Sonos 5500, M2428A 2D Ultrasound system with an S3 transducer was used to obtain LV end-systolic and end-diastolic dimensions. Apical 4-chamber view was obtained to calculate LVESV and LV end-diastolic volume (LVEDV) to give a LVEF by Simpson’s method (n = 29) (Insync Sentry, Medtronic Inc.) and St. Judes (n = 4) pacemaker devices. After biventricular pacemaker placement, A-V optimization was done by Ritter’s method to achieve maximal end-diastolic filling duration, followed by post-CRT imaging within 24 hours of implantation. Clinical response was defined as an improvement in NYHA functional class by one class, and echocardiographic response was defined as a reduction in LV end-systolic volume (LVESV) of ≥15% after 6–12 months of CRT.

2.2. Tissue Doppler Imaging. Dyssynchrony index by pulse-wave TDI was measured as SD of the time from beginning of QRS to the peak systolic velocity in 12 LV segments, 6 basal and 6 mid segments of inferolateral, inferoseptal, anterior, posterior, anterosesal, and lateral walls with both CRT-on and CRT-off modes. TDI parameter was measured in 3 separate heart beats and averaged for each segment. Then SD for the 12 segments was calculated to derive a dyssynchrony index as 2 SD from the mean. An index of >32 ms from the mean was considered as significant dyssynchrony [13]. We also calculated the difference in time to peak systolic velocity between the fastest (Tf) and slowest (Ts) of 6 basal LV segments (Tf-Ts) [12] and calculated septal-lateral delay. A score of ≥65 ms was considered as significant dyssynchrony [11].

2.3. Transthoracic 3D Echocardiography. A transthoracic full-volume acquisition from apical view using Philips iE33 (Andover, MA, USA) with an X3-1 matrix array transducer was performed with CRT-on and off modes. With 2 perpendicular planes through the LV, a 3-D model of the LV was obtained, and it was subdivided into 17 volumetric segments. Sequence analysis generated the time-volume curves showing the time to the point of minimal systolic volume (TmSV) for each segment. Q-lab program was run to derive the dyssynchrony index as SD of TmSV of 12 (6 basal and 6 mid) and 16 (6 basal, 6 mid, and 4 apical) LV segments. It also provided the SD of TmSV of the 12 and 16 segments as a percentage of the R-R interval.

3. Statistics

Continuous variables are presented as mean ± SD, and dichotomous data are presented as numbers and percentages. The clinical and echocardiographic parameters in responders and nonresponders at baseline, immediately after CRT and at 6–12 months after CRT were compared using Student’s paired and unpaired t-tests, respectively. Categorical variables were compared with Chi-square test, and comparisons between the clinical and echocardiographic endpoints with different dyssynchrony parameters were done using analysis of variance (ANOVA). Receiver-operating characteristics curves (ROC) were generated, and the area under the curve represented the ability of the parameter to predict clinical or echocardiographic response. Correlations between different dyssynchrony measurement techniques were examined by Pearson correlation test. A P-value of ≤.05 was considered statistically significant.

4. Results

Of the 38 patients who underwent CRT, 2 patients had failed biventricular pacing, and 3 patients did not return for followup. After a median followup period of 7 months (7.86 ± 2.27 months) in 33 patients, symptoms improved in 24 (73%) patients, unchanged in 7 (21%) patients, worsened in one patient after CRT requiring cardiac transplantation, and one died and these were included as nonresponders (n = 9). Of the 24 clinical responders, 18 (55%) were also echocardiographic responders, 5 were clinical responders but not echocardiographic responders, and 1 patient had clinical evaluation but did not get followup echocardiogram. One patient had echocardiographic response without clinical response.

The baseline characteristics and echocardiographic measurements pre-CRT and 6–12 months after CRT are shown in Table 1. There was no significant difference in clinical response in males or females and in patients with nonischemic and ischemic CM (77% versus 57%, P = NS). There was a trend towards higher echocardiographic response in nonischemic CM than in ischemic CM (68% versus 29%, P = .06). Both clinical and echocardiographic responders had longer 6-MWD as compared to nonresponders before CRT, and it remained significant after long-term CRT.
4.1. Immediate Response to CRT. After initiation of CRT and AV optimization, there was no change in clinical symptoms, but there was a significant reduction in LV dyssynchrony indices measured by TDI (44.2 ± 14.1 versus 33.5 ± 13.5, \( P < .05 \) in 12 LV segments) and 3DTTE (46.2 ± 31.0 versus 28.3 ± 19.0, \( P < .05 \) in 12 LV segments). Analysis of the SD of Tmsv as a function of the R-R interval in 12 segments (5.8 ± 4.2% versus 4.5 ± 3.7%, \( P = \text{NS} \)) and 16 segments (7.0 ± 4.1% versus 5.6 ± 4.2%, \( P = \text{NS} \)) by 3DTTE did not show significant improvement. The immediate reduction in dyssynchrony score by TDI and 3DTTE did not correlate with the clinical or echocardiographic response at 6–12 months.

4.2. Predictors of Clinical Response. After CRT of 7.86 ± 2.27 months, 24 (73%) were clinical responders with improvement in NYHA class. In these patients, there was a significant improvement in 6-MWD (\( \Delta 71 \) m versus \( \Delta 22 \) m, \( P < .05 \)) and in MNHFQ score (\( \Delta 22 \) versus \( \Delta 12 \), \( P < .05 \)) as compared to nonresponders.

Clinical responders were identified with 75% sensitivity and 38% specificity (ROC = 0.69) with pre-CRT QRS duration of 150 ms. Dyssynchrony score of 32 ms with TDI identified responders with a sensitivity of 75% and a specificity of 12%. The clinical response was not significantly different in those who met these cut-off values as compared to those who did not (Table 2). Echocardiographic improvement in LVESV >15% identified clinical responders (ROC = 0.88, \( P < .003 \)) with 84% sensitivity and 86% specificity. Pre-CRT LVESV did not predict clinical response. There was no significant difference in LV dyssynchrony delta change values in clinical responders and nonresponders (Table 2).

4.3. Predictors of Echocardiographic Response. After long-term CRT, the average LVESV decreased by 27.5 ± 21.9%. There were 19 (59%) echocardiographic responders with reduction in LVESV of ≥15%, which correlated with reduction in LV end-diastolic diameter (\( r = 0.6, P < .05 \));
and slowest L V segments, T msv: difference in time to peak systolic velocity between the fastest and slowest LV segments, Tmsv: difference in longest and shortest time to minimal systolic volume in LV segments, SD: standard Deviation.

ROC = 0.56 for LV diameter of 5 millimeters). As compared to the echocardiographic nonresponders, the responders had significant improvement in LV volumes, ejection fraction, and LV dimensions. Overall, there was significant improvement in dyssynchrony values after CRT (Table 1); however, the absolute delta changes in LV dyssynchrony values were similar in echocardiographic responders and nonresponders (Table 3). Echocardiographic responders were identified with 77% sensitivity and 34% specificity (ROC = 0.67) with pre-CRT QRS duration of 150 ms. Dyssynchrony score of 32 ms with TDI identified responders with a sensitivity of 73% and a specificity of 15%. The echocardiographic response was not significantly different in those who met this cut-off value of dyssynchrony as compared to those who did not (Table 4).

4.4. Correlation between Dyssynchrony Indices by QRS duration, TDI, and 3D Volumetric Analysis. The pre-CRT QRS duration had significant correlation with dyssynchrony score by TDI in 12 segments (r = 0.7, P = .009) and with Tfi-Ts in 6 basal segments (r = 0.6, P = .01). The dyssynchrony score by TDI in 12 LV segments correlated with septolateral delay (r = 0.6, P < .05) and with Tfi-Ts in 6 basal segments (r = 0.8, P < .05). Similarly, dyssynchrony scores by 3D volumetric analysis in 12 LV segments and 16 segments expressed as percentage of cardiac cycle correlated significantly (r = 0.8, P < .05). However, there was no correlation between TDI and 3D measurements after CRT; however, these did not help to identify responders before the procedure. The dyssynchrony scores by these techniques did not correlate with each other.

As seen in prior studies, there were more clinical responders than echocardiographic responders to CRT [6]. Subjective improvement in NYHA functional class was associated with objective improvement in 6-MWD as reported before [1–3, 23]. The remaining patients with only clinical response without a reduction in LVEF probably had a placebo effect after pacemaker implantation or had some beneficial effect on LV mechanics without evident significant reverse remodeling. CRT was implanted in 5 (13%) patients with NYHA functional class II symptoms, although their quality of life was significantly affected compared to their baseline and could be classified as IIIa, and opted to undergo CRT. The recent MADIT-CRT trial conducted in patients with mild heart failure symptoms showed a beneficial effect of CRT [24]. As reported in MADIT-CRT and in CARE-HF trials [25], our study also showed similar clinical and echocardiographic response in ischemic and nonischemic etiology of cardiomyopathy [26].

Echocardiographic responders showed LV reverse remodeling without significant change in dyssynchrony as compared to nonresponders. Reverse remodeling of LV with improvement in LV volumes, dimensions, and LVEF with CRT has been reproduced in several studies [3, 8, 24, 27]. LVEF is a reliable indicator of LV reverse remodeling, and it correlated with the clinical improvement in symptoms. However, pre-CRT LVEF did not identify CRT responders before implantation. The additional information on dyssynchrony by different methods did not seem to identify responders or improve the selection criteria. It is intuitive that correction of dyssynchrony is probably necessary to facilitate reverse remodeling and clinical improvement [28], but the available methods to

5. Discussion

In our study, we noted improved LV systolic function and reduced LV volumes and dimensions after long-term CRT signifying reverse remodeling of LV. There was also reduction in LV dyssynchrony scores by several TDI and 3DTTE measurements after CRT; however, these did not help to identify responders before the procedure. The dyssynchrony scores by these techniques did not correlate with each other.

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Table 3: Difference in left ventricular function and dyssynchrony parameters in echocardiographic responders versus nonresponders after long-term CRT.

| Variable                              | Echocardiographic responders (n = 19) Delta change 6-months after CRT | Echocardiographic nonresponders (n = 13) Delta change 6-months after CRT | P-value |
|---------------------------------------|-----------------------------------------------------------------------|-----------------------------------------------------------------------|---------|
| LV end-diastolic volume (mL)          | 71 ± 60                                                               | 18 ± 26                                                               | .01     |
| LV end-systolic volume (mL)           | 82 ± 58                                                               | 8 ± 7                                                                | .0009   |
| LV ejection fraction (%)              | 15 ± 9                                                                | 6 ± 7                                                                | .01     |
| LV end-diastolic diameter (cm)        | 0.7 ± 0.8                                                             | 0.03 ± 0.6                                                           | .03     |
| LV fractional shortening (%)         | 0.06 ± 0.1                                                            | 0.03 ± 0.07                                                          | .3      |
| TDI: Tf-Ts 6 basal segments (ms)      | 37 ± 34                                                               | 15 ± 17                                                              | .08     |
| TDI: Tf-Ts 12 segments (ms)           | 14 ± 12                                                               | 10 ± 8                                                               | .36     |
| TDI: septal-to-lateral delay (ms)     | 31 ± 27                                                               | 41 ± 24                                                              | .43     |
| 3D: SD of Tmsv in 6 basal segments (ms) | 45 ± 35                                                          | 68 ± 68                                                              | .30     |
| 3D: SD of Tmsv in 12 segments (ms)    | 14 ± 13                                                               | 27 ± 25                                                              | .13     |
| 3D: SD of Tmsv in 16 segments (ms)    | 35 ± 37                                                               | 42 ± 33                                                              | .61     |
| 3D: SD of Tmsv in 12 segments as % of R-R interval | 3.5 ± 3.0%           | 3.5 ± 4.0%                                                          | .97     |
| 3D: SD of Tmsv in 16 segments as % of R-R interval | 3.9 ± 4.6%           | 4.7 ± 4.0%                                                          | .61     |

*P < .05, CRT: cardiac resynchronization therapy, LV: left ventricle, TDI: tissue Doppler imaging, Tf-Ts: difference in time to peak systolic velocity between the fastest and slowest LV segments, Tmsv: difference in longest and shortest time to minimal systolic volume in LV segments, SD: standard Deviation.

Table 4: Assessment of QRS duration and echocardiographic dyssynchrony parameters validated in other trials to predict long-term clinical and echocardiographic response.

| Pre-CRT dyssynchrony parameters | Total (n = 33) | Clinical responders (n = 24) | P-value | Echocardiographic responders (n = 19) | P-value |
|----------------------------------|----------------|-----------------------------|---------|-------------------------------------|---------|
| QRS (ms)                         |                |                             |         |                                     |         |
| <150                             | 9              | 6                           | 0.6     | 6                                   | 0.7     |
| ≥150                             | 24             | 18                          |         | 13                                  |         |
| TDI septal-lateral delay (ms)     |                |                             |         |                                     |         |
| <65                              | 20             | 17                          | 0.1     | 13                                  | 0.2     |
| ≥65                              | 13             | 8                           |         | 6                                   |         |
| TDI (Tf-Ts) 12 LV segments (ms)   |                |                             |         |                                     |         |
| <32                              | 6              | 5                           | 0.5     | 4                                   | 0.6     |
| ≥32                              | 27             | 19                          |         | 15                                  |         |
| TDI (Tf-Ts) 6 basal LV segments (ms) |            |                             |         |                                     |         |
| <83                              | 10             | 7                           | 0.8     | 7                                   | 0.5     |
| ≥83                              | 23             | 17                          |         | 12                                  |         |

CRT: cardiac resynchronization therapy, LV: left ventricle, TDI: tissue Doppler imaging, Tf-Ts: difference in time to peak systolic velocity between the fastest and slowest LV segments.

assess dyssynchrony are inadequate and time consuming. Until we have reliable techniques, QRS duration remains the simple choice to select patients for CRT. Although the cut-off value for selection of patients for CRT was 130 ms, a cut-off value of 150 ms was used to identify responders as patients with wider QRS had better response to CRT in a large, randomized trial such as CARE-HF, and most of the patients enrolled had QRS 150 ms [1].

In our study, on applying the cut-off values based on prior trials, the responders did not show more dyssynchrony as compared to nonresponders prior to CRT [8, 9, 11–13, 29]. The PROSPECT trial evaluated seven TDI-based dyssynchrony scores and reported variable predictive values and concluded that no single echocardiographic measure was reliable in identifying responders. Interestingly, this study also highlighted the limitations of obtaining reliable images done at different centers [14], which is currently a problem in low-volume practices, not involved in research. Despite our study being conducted in a single university setting with a high volume of CRT insertions and echocardiographers with
an expertise in obtaining and interpreting TDI and 3D echo images, similar limitations were encountered.

A recent study by Marsan et al. showed good correlation in dyssynchrony scores by regional volumetric analysis by 3DTTE and gated myocardial perfusion single-photon emission computed tomography [17]. Kleijn et al. compared TDI and real-time 3DTTE and reported nonagreement between the two techniques when current dyssynchrony cut-off values were applied [21]. In our study, except for the QRS and TDI values, the TDI and 3DTTE dyssynchrony measurements did not correlate significantly, consistent with our observation in previous study [19]. The likely reason may be that TDI and 3DTTE measure different events like time-to-peak systolic velocity by TDI and time-to-minimal-systolic volume by 3DTTE, and these timings may not correlate, especially in dyssynchronous LV segments.

Early improvement in LV dyssynchrony has been shown to be predictive of long-term favorable response to CRT in prior studies [26, 27, 30] unlike our study. But the goal is to identify the responders before a patient undergoes CRT procedure. Soliman et al. showed that 3DTTE dyssynchrony scores before CRT were useful in identifying long-term echocardiographic responders to CRT [20]. Multicenter studies are needed to see if this technique can reproduce similar results.

6. Limitations

The main limitation of our study is the small sample size, although studies with a larger sample such as PROSPECT yielded similar results. There are several other dyssynchrony parameters used in various studies, but we did not assess all of them. The median followup was limited to 7 months as the results of the study did not contribute to clinical decision making and were time consuming.

7. Conclusion

CRT leads to improved functional status, LV reverse remodeling, and improved synchrony between LV segments in patients with systolic HF. The dyssynchrony measurements by TDI and 3DTTE volumetric analysis do not correlate well with each other, and do not appear to be significantly related to measure of outcomes.

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