Multi-Stage Framework with Refinement based Point Set Registration for Unsupervised Bi-Lingual Word Alignment

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Abstract

Cross-lingual alignment of word embeddings are important in knowledge transfer across languages, for improving machine translation and other multi-lingual applications. Current unsupervised approaches relying on learning structure-preserving transformations, using adversarial networks and refinement strategies, suffer from instability and convergence issues. This paper proposes BioSpere, a novel multi-stage framework for unsupervised mapping of bi-lingual word embeddings onto a shared vector space, by combining adversarial initialization, refinement procedure and point set registration. Experiments for parallel dictionary induction and word similarity demonstrate state-of-the-art unsupervised results for BioSpere on diverse languages – showcasing robustness against variable adversarial performance.

1 Introduction and Background

Distributed word representations like Word2Vec (Mikolov et al., 2013), GloVe (Pennington et al., 2014) and FastText (Bojanowski et al., 2017) capture rich semantic meaning, and is used for a range of NLP tasks. Cross-lingual word embeddings (CLWE) entails mapping vocabularies of different languages onto a shared vector space for capturing semantic similarity across languages (Upadhyay et al., 2016) – for machine translation (Artetxe et al., 2018a; Lample et al., 2018a,b), POS tagging (Zhang et al., 2016; Ahmad et al., 2019), & named entity recognition (Tsai and Roth, 2016; Xie et al., 2018; Chen et al., 2019).

Linguistic Correlation. This work is based on the observation that, monolingual representation spaces learnt independently for different languages tend to exhibit similarity in terms of geometric properties and orientations (Mikolov and Sutskever, 2013) 1. Further, the frequency of words across languages have also been shown to follow the Zipf’s distribution 2, with an overlap of nearly 70% for the most frequent words (Aldarmaki et al., 2018) and 60% for synonyms (Dinu et al., 2015) across language pairs. Existing techniques for extracting cross-lingual word correspondences rely on above inter-dependencies to learn transformations across monolingual embedding spaces.

State-of-the-art & Challenges. Early approaches for obtaining multi-lingual word embeddings used parallel or comparable corpora (Gouws et al., 2015; Mogadala and Rettinger, 2016; Vulić and Moens, 2016). However, such methods are not scalable as parallel datasets, especially for low-resource languages, are scarce. Linear transformations between two monolingual embedding spaces (via optimization formulation (Schönemann, 1966)) using small manually created bi-lingual dictionaries were thus proposed (Mikolov and Sutskever, 2013; Artetxe et al., 2016). Words having similar surface forms across languages were used to induce seed dictionaries for semi-supervised approaches (Artetxe et al., 2017; Zhou et al., 2019; Doval et al., 2018). Rigid transformation based point set registration Cao and Zhao (2018), supervised cross-lingual alignment, joint training (Joulin et al., 2018; Jawanpuria et al., 2019; Alaux et al., 2019; Wang et al., 2020) with feedback-based learning (Yuan et al., 2020) were also studied. Unsupervised bi-lingual word alignment using adversarial training (Barone, 2016; Zhang et al., 2017a,b) were shown to produce good results in MUSE (Conneau et al., 2018). Inverted softmax (Smith et al., 2017) approach was shown to tackle the “hubness problem” (Radovanović et al., 2010) caused by dense vector space regions (called

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to train a model \( D_Y \) (discriminator) to discriminate between generated synthetic target embeddings \( Y_{syn} = FX = \{F(x_n)\}_{n=1}^N \), and the original embeddings \( Y \). Similarly, we train another discriminator, \( DX \), in the opposite direction to discriminate between synthetic source embeddings \( X_{syn} = GY = \{G(y_m)\}_{m=1}^M \) and the original \( X \).

The adversarial loss formulates matching the distribution of synthetic embeddings to the real distribution. Thus, for forward generator \( F : X \rightarrow Y \) and its discriminator model \( D_Y \), the loss is: \( L_{adv}(F, D_Y, X, Y) = \mathbb{E}_{y \sim p_{data}(y)}[\log D_Y(y)] + \mathbb{E}_{x \sim p_{data}(x)}[\log(1 - D_Y(F(x)))] \) (refer Appendix B).

A similar loss \( L_{adv}(G, D_X, Y, X) \) is used for backward generator \( G : Y \rightarrow X \) and discriminator \( D_X \).

We also incorporate the objective used in Mohiuddin and Joty (2020) (considering word translations are symmetric in general) – the learned generators should not contradict each other, but should be cycle-consistent. That is, given a source embedding \( x \), the forward translation cycle should attempt to produce an output that coincides with \( x \), i.e., \( G(F(x)) \approx x \); and vice-versa for the backward translation cycle. Thus, we have:

\[
  L_{cyc}(F, G) = \mathbb{E}_{x \sim p_{data}(x)}\|G(F(x))\|_2 + \mathbb{E}_{y \sim p_{data}(y)}\|F(G(y))\|_2
\]

Following Conneau et al. (2018), to preserve dot product and \( L_2 \) distances from the monolingual space, we ensure \( F \) and \( G \) remain roughly orthogonal during training by alternating parameter update with \( F \leftarrow (1 + \beta)F - \beta(FF^T)F \) (and analogously for \( G \)). This corresponds to CycleGAN (Zhu et al., 2017), a generative adversarial network (used in our Align module), to provide an initial aligned embedding space, \( X_A = F(X) \) and \( Y_A = G(Y) \).

### Correspond Module

The above alignment obtained based on cyclic loss, might suffer from adversarial network convergence instability. To address this issue, the Correspond module performs a refinement step based on symmetric re-weighting, shown to be effective for alignment (Artetxe et al., 2018a, 2016, 2017; Mohiuddin and Joty, 2020).

A synthetic seed parallel dictionary, \( D \), is thus induced by computing the mutual nearest neighbour (in both directions) across the aligned embeddings \( X_A \) and \( Y_A \), as: \( \sigma_{nm} = \delta(F(x_n), Y_m) + \delta(x_n, G(y_m)) \), where \( \delta \) is a distance measure in both \( X_A \) and \( Y_A \).

As in Conneau et al. (2018), we adopt the cross-domain similarity local scaling (CSLS) measure, which addresses the “hubness” problem. Observe, \( \sigma_{nm} \) also uses bi-directional similarity computation. In our experiments, the dictionary induction

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**Contributions.** This paper proposes BioSperE (Bi-Lingual Word Translation via Point Set Registration and Refinement), a novel approach for unsupervised bi-lingual word correspondence induction. Our key contributions are as follows:

- **BioSperE**, an unsupervised multi-stage framework for learning bi-lingual word alignment, by using a combination of adversarial training, refinement procedure, and point set registration;
- Unsupervised criterion using cycle-loss consistency for adversarial model choice;
- Experiments on diverse language pairs showing improved accuracy on different tasks; and,
- **Robustness** to hubness and convergence issues.

We next describe the detailed working of the different modules in the BioSperE framework.

### 2 BioSperE Framework

Consider, two monolingual word embedding spaces, \( X = \{x_n\}_{n=1}^N \) and \( Y = \{y_m\}_{m=1}^M \), trained independently, to be provided as source and target language representations, respectively. BioSperE aims to map a word in the source language to its translation (or semantically similar word) in a target language, without cross-lingual supervision (Zhang et al., 2019). BioSperE consists of 4 modules – \textbf{Align, Correspond, Transform} and \textbf{Generate} (shown in Figure 1), as discussed next.

- **Align Module** – The Align module uses an adversarial training (Ganin et al., 2016) to estimate an initial mapping between the words across the languages, by learning an rotational transformation between the input embeddings spaces. Assuming \( x \sim p_{data}(x) \) and \( y \sim p_{data}(y) \) to be the input data distributions, we learn two linear mappings \( F : X \rightarrow Y \) and \( G : Y \rightarrow X \), referred to as \textit{forward} and \textit{backward} generators, respectively. A generative adversarial network is used
is on 25K most frequent words (out of 200K words) from source and target languages. Symmetric re-weighting is now performed via 3 steps:

(i) Whitening: makes the embedding dimensions uncorrelated with unit variance using spherical transformation. We use ZCA whitening, wherein the original embeddings $X$ and $Y$ are normalized and mean-centered, followed by a linear transformation via matrices $W_x = (X^T X)^{-1/2}$ and $W_y = (Y^T Y)^{-1/2}$, to obtain $X_w = X W_x$ and $Y_w = Y W_y$.

(ii) Orthogonal Transformation: provides a transformation of the whitened embeddings onto a common space. $U$, $\Sigma$, and $V^T$ are obtained via singular value decomposition of $(X^D_w)^T Y^D_w$, where $X^D_w$ and $Y^D_w$ are whitened embeddings from the seed dictionary $D$. The transformation is computed as $X_\theta = X_w U \Sigma^{1/2}$ and $Y_\theta = Y_w V \Sigma^{1/2}$.

(iii) De-Whitening: restores the original variance in the embedding dimensions of the transformed vectors – computes a refined vector embedding as: $X_C = X_\theta U^T (X^T X)^{1/2} U$ and $Y_C = Y_\theta V^T (Y^T Y)^{1/2} V$.

- **Transform Module** – The Transform module performs a further refinement on the embeddings $X_C$ and $Y_C$ using the concept of point set registration. Specifically, we use the Coherent Point Drift (CPD) algorithm (Myronenko and Song, 2010), an unsupervised probabilistic framework which assigns point-to-point correspondence between two sets of points, akin to finding word translation pairs in our setting. Here, the task of aligning two embedding spaces is performed using a density estimation problem based on the Gaussian Mixture Model (GMM). We direct interested readers to the details of CPD algorithm provided by Myronenko and Song (2010), and briefly in Appendix A.

The use of CPD provides the following advantages – (i) GMM enables BioSpere to tackle the “hubness” problem (shown in Zhou et al. (2019)), and (ii) CPD imposes Motion Coherence Theory (MCT) (Yuille and Grzywacz, 1988) to force the GMM centroids to move coherently as a group, preserving the underlying topological structure.

We use affine CPD transformation, providing a higher degree of freedom compared to the rigid procedure of (Cao and Zhao, 2018) and Procrustes, to compute the modified source embeddings as: $X_T = (RX^D_s \ast s + t)^T$, where $R$ is a rotation matrix, $t$ is a translation vector, and $s$ is a scaling constant. We run CPD twice for each language pair, once in each direction, generating the transformed source and target language embeddings $X_T$ and $Y_T$.

- **Generate Module** – The Generate module iterates between the above correspond and transform steps until convergence is reached. Equipped with the final aligned $X_T$ and $Y_T$ embedding spaces, the resultant parallel dictionary is computed using the bi-directional CSLS measure, similar to the construction of the intermediate dictionary in the Correspond module. For convergence of the iterative symmetric re-weighting refinement and CPD, we adopt the criteria of Artetxe et al. (2018b); Mohiuddin and Joty (2020). The generated word pairs are compared with ground-truth parallel dictionaries to compute the accuracy of BioSpere.

**Overview.** Intuitively, the interactions across the different components in BioSpere are as: The adversarial module provides an initial embedding space alignment, but might be prone to convergence issues. The refinement stage then provides robustness against such training losses. However, the refinement process being a supervised approach by definition, errors in intermediate synthetic dictionary construction might propagate, degrading the efficacy. The final point correspondence CPD step,
We evaluate BioSperew on mapping semantically similar words across languages, for bi-lingual dictionary induction, word similarity and sentence translation retrieval tasks across diverse languages.

Dataset. We follow the setup of Conneau et al. (2018), and use FastText monolingual vector embeddings (with 300 dimensions) (Bojanowski et al., 2017) for the top 200K most frequent words of each language as input vocabulary. We consider 8 different language pairs (including morphologically rich) – English (en), German (de), French (fr), Spanish (es), Italian (it), Russian (ru), Hebrew (he), Finnish (fi), and Romanian (ro) – a mix of isolating, fusional and agglutinative languages with dependent and mixed marking (Søgaard et al., 2018).

Evaluation. On word translation (dictionary induction), we use the gold dictionary with 1,500 source test words (and 200K target vocabulary) (github.com/facebookresearch/MUSE), while sentence translation retrieval uses Europarl corpus containing 2,000 source and 200K target sentences. We report Precision@1 (P@1) based on CSLS criteria (Conneau et al., 2018). For word similarity on SemEval 2017 data (Camacho-Collados et al., 2017) we report the Pearson’s correlation.

Baselines. The performance of BioSperew is compared against the following unsupervised methods:

1) MUSE (Conneau et al., 2018) – Uses GAN (Goodfellow et al., 2014) to learn transformations with Procrustes (Schönemann, 1966) ;

2) Adv-Auto (Mohiuddin and Joty, 2020) – State-of-the-art using adversarial auto-encoder to create synthetic dictionary, refined by symmetric re-weighting & Procrustes strategies ;

3) VecMap (Artetxe et al., 2018a) – Self-learning iterative algorithms exploiting structural similarities between embedding spaces for alignment ;

4) SinkHorn (Xu et al., 2018): GAN trained on cyclic loss and Sinkhorn distance (Cuturi, 2013);

5) Non-Adv (Hosken and Wolf, 2018) – Uses dimensionality reduction with Iterative Closest Point (Besl and McKay, 1992) algorithm;

6) Was-Proc (Grave et al., 2019) – Computes bi-stochastic matrix for assignment by jointly optimizing Wasserstein dist. (Mémoli, 2011) & Procrustes;

7) GW-Proc (Alvarez-Melis and Jaakkola, 2018) – Formulates optimal flow across domains using Gromov-Wasserstein distance (Mémoli, 2011); and

8) UMH (Alaux et al., 2019) – Uses language correlation for learning via constraint optimization.

We also report the supervised approaches:

1) RCSLS (Joulin et al., 2018): Optimizes CSLS criteria for learning (Conneau et al., 2018);

2) GeoMM (Jawanpuria et al., 2019): Language specific geometric rotations are learnt to align; and

3) DeMa-BME (Zhou et al., 2019): Weakly-supervised approach for learning Gaussian Mixture Model between embeddings spaces.

Unsupervised Model Selection. For choosing the best performing model setting during adversarial training and convergence (a challenge in unsupervised setting), we follow Conneau et al. (2018) and use CSLS measure (denoted as DMC) to quantify the closeness of source and target mapped embedding spaces. However, adopting cyclic-consistency, we extend CSLS (termed as DualDMC) to measure the average bi-directional cosine similarity between source and target spaces (as in Correspond module), for model selection.

Parameter Setting. For a robust framework, we did not perform extensive parameter search, and most parameters were set to fixed values, or selected via two successive degradation of the unsupervised

| Algorithm | en-es | en-de | en-fr | en-ru |
|-----------|-------|-------|-------|-------|
| Non-Adv   | 81.3  | 85.4  | 77.9  | 75.6  |
| DeMa-BME  | 82.1  | 84.1  | 74.1  | 72.4  |
| GeoMM     | 81.7  | 80.4  | 76.8  | 75.1  |
| RCSLS     | 84.1  | 86.3  | 79.1  | 76.5  |

Table 1: CSLS@1 word translation results on the dataset of Conneau et al. (2018).
performed DualDMC criteria. Following Conneau et al. (2018), we fed the adversarial discriminator with the 50K most frequent words, and the discriminator had an input dropout layer with a rate of 0.1. In our experiments, we only tuned the weight assigned to the cyclic loss between 5 and 10, and ran the framework under different random seeds, picking the best model using unsupervised DualDMC.

### 3.1 Experimental Results

**Word Translation** – involves the retrieval of a source word translation to a target language for parallel dictionary construction. We use the ground-truth dictionaries of Conneau et al. (2018). From Table 1, we observe that BioSpere provides better translation results in nearly all of the four language pairs (across unsupervised methods). We achieve better results compared to even supervised methods like Non-Adv and DeMa-BME, and are comparable to RCSLS (e.g., en → es and en → fr). Since, MUSE, VecMap, and Adv-Auto consistently perform well, they are selected as competing baselines for the remaining experiments. We also explore the performance on “difficult” morphologically rich languages like Finnish, Hebrew and Romanian (Søgaard et al., 2018). Table 2(a) shows that BioSpere is efficient in such settings, outperforming existing approaches, across the languages.

**Semantic Word Similarity** – evaluates the quality of cross-lingual word alignment based on the correlation between cosine similarity between words in different languages and human-annotated word similarity scores. Table 2(b) shows that BioSpere achieves a better Pearson’s correlation to human-annotated scores across languages (except it) – providing better alignment across languages.

**Sentence Translation Retrieval** – studies sentence translation retrieval on Europarl corpus. Similar to Conneau et al. (2018), a sentence is represented as a bag-of-words and the idf-weighted average of word embeddings is considered as its encoding. For each source sentence, the closest sentence from the target language is returned as its translation. Table 2(c) depicts that BioSpere provides better sentence translation retrieval accuracy with up to 1.5% P@1 score improvements.

**Ablation Study** – Table 3 tabulates the results for varying components of BioSpere. CycleGAN (using cycle-loss consistency) performs better than MUSE GAN, while the refinement procedures of symmetric re-weighting (SR) and Procrustes seem to perform similarly (SR being slightly better for morphologically rich languages). As discussed previously, we observe that higher degrees of translational freedom provided by affine CPD performs better than rigid CPD (of Cao and Zhao (2018)). To study the robustness of BioSpere to adversarial convergence issues, we intentionally select a sub-optimal CycleGAN model from the Align module, denoted as Bad-GAN in Table 3. We observe that SR refinement recovers from such convergence issues (better than Procrustes) – providing an accuracy comparable to a properly trained model (selected using DualDMC). Specifically, for fi → en, the performance of Bad-GAN is around 12% worse than the best CycleGAN model. However, the final accuracy of BioSpere differs by only 1% (in Table 3) even with Bad-GAN initialization.

### 4 Conclusion

This paper proposed BioSpere, a multi-stage unsupervised cross-lingual word embedding alignment framework – based on the novel coupling of generative adversarial training, refinement procedure and point set registration. Experiments with diverse tasks on multiple languages demonstrate improved results over existing methods, and also depict robustness to hubness and adversarial performance.
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Point Set Registration algorithms aim to compute the correspondences for aligning two input point sets. Rigid transformation involving rotation, translation and reflection, were used in Iterative Closest Point (ICP) algorithm (Besl and McKay, 1992) and other variants (Rusinkiewicz and Levoy, 2001) for probabilistic alignment. spectral methods (Scott and Longuet-Higgins, 1991) and closed-form solution for rigid probabilistic registration in multi-dimensional cases was presented in Myronenko and Song (2010). In addition to the rotation, translation and reflection, affine transformation also considers scaling, homothety, similarity and shear – providing more degrees of freedom for better point set registration (Ho et al., 2007). Non-rigid transformations are based on Gaussian Mixture model and filters (Hinton et al., 1992; Gao and Tedrake, 2019), Bayesian modeling (Hirose, 2020) or Thin Plate Spline (TPS) parameterization (Bookstein, 1989). Recent developments use convolutional neural networks (Huang et al., 2017) and other learning frameworks (Yew and Lee, 2018). An extensive literature survey can be found in Tam et al. (2013). We adopt Coherent Point Drift (CPD) (Myronenko and Song, 2010) combining Gaussian Mixture Model and Motion Coherence Theory.

**BioSpere Transform Module.** The Transform module performs a refinement on the transformed embeddings \( X_C \) and \( Y_C \) (obtained from the `Correspond` module) using the concept of point set registration. Specifically, we uses the Coherent Point Drift (CPD) algorithm (Myronenko and Song, 2010), an unsupervised probabilistic framework which assigns point-to-point correspondence between two sets of points, akin to finding word translation pairs in our setting. The idea here is to consider the task of aligning the two embedding spaces as a density estimation problem based on the Gaussian Mixture Model (GMM). This considers word embeddings of one language as GMM centroids, and the other embedding space to represent data points. The centroids are then fitted to data points by maximizing the likelihood, and at optimum point correspondences are obtained using GMM posterior probabilities.

Thus, we consider the target embeddings \( Y_C \) as...
the centroids and the source embedding space $X_C$ as data points, to have been generated by the GMM probability density function. The centroid locations are estimated by Expectation Maximization (EM) algorithm (Dempster et al., 1977).

B Related Background

**Generative Adversarial Networks** (GANs) couples the training of machine learning architecture between a *generative* and a *discriminative* network that work in tandem for “indirect” training in an unsupervised manner (Goodfellow et al., 2014). GANs have been shown to achieve impressive results in the domain image processing (Zhu et al., 2017), representation learning (Radford et al., 2016) and reinforcement learning (Ho and Ermon, 2016). The task of supervised image-to-image translation involves learning the transformation from an input image to an output image (Long et al., 2015). Unsupervised image-to-image translation approach, Co-GAN (Liu and Tuzel, 2016) was proposed based on weight sharing scheme. Removal of dependencies on task-specific similarity functions and low-dimensionality in this aspect was proposed by Zhu et al. (2017), and was shown in visual tracking by enforcing forward-backward consistency (Kalal et al., 2010). Improving translations via “back translation and reconciliation” is used by human translators (Brislin, 1970). We thus adopt the unsupervised CycleGAN (Zhu et al., 2017) adversarial training based on cycle-consistency loss.