Recognition of handwritten Arabic (Indian) numerals using skeleton matching

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ABSTRACT

This paper brings into discussion the problem of recognizing Arabic numbers using a monocular camera as the only sensor. When a digital image is presented, optical character recognition (OCR) can be exploited to comprehend numerical data. However, there has been a limited success when applied to the handwritten Arabic (Indian) numbers. This study aims to overcome this limitation and introduces an optical character recognition system based on skeleton matching. The proposed approach is used for handwritten Arabic numbers only. The performance results indicate the effectiveness of the proposed optical character recognition system even for numbers written in some worst cases. The proposed system achieves a recognition rate of 99.3%.

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1. INTRODUCTION

Recognition of handwritten characters which is also known as optical character recognition (OCR) has been a significant problem in the field of digital image processing. OCR is the process of autonomous recognition and identification of characters extracted from digital images. When the image contains handwritten symbols, it becomes very vital to comprehend such writing especially in case of recognizing numerals. Numerals recognition is used in multiple applications such as identity authentication, bank check processing in auto teller machines (ATMs), data entry, etc. [1]. For such applications, the performance is measured in terms of accuracy and speed. Flawless computer recognition of these numbers and characters is the key factor when designing the system. The problem of recognizing handwritten digits is also considered as one of the most important problems facing pattern recognition, pattern classification and digital image processing especially for Arabic numbers. Due to the increasing usage of handwritten Arabic numbers in many businesses worldwide, it has become essential to computers to understand such numerals.

Many researchers have discussed and introduced computational intelligent techniques to accurately recognize handwritten Arabic text and numbers. Multiple OCR approaches have been introduced in the literature, including those based on Neural Networks (NN) [2, 3], fractal code [4], fuzzy-logic [5], profiles [6], SVM’s [7, 8], and Hidden Markov Models (HMMs) [9]. Other methods have been considered novel for the area of recognizing characters using template matching such as in [10]. Other authors have investigated and proposed different solutions of OCR using feature extraction as in [8, 9], windowing [11], centralized moments [12], and discrete wavelet transform [13, 14]. Al-Omari [15] for instance, used a template matching scheme after applying an average filter for Arabic numerals. He also discussed the use of feature vectors which represent a set of boundary distances from a pivot point called the center of gravity (COG) of the
number. HMM technique is used by the authors in [16]. They proposed a technique that takes advantage of a sliding window method in the stage of feature extraction. The window is basically a region that changes its size and position to be able to move and adjust itself to the written line which contains the distributed Arabic words and characters.

Character recognition methods that use support vector machine (SVM) were also investigated by several authors. Takruri et al. [17] came up with an approach that uses multiple SVMs being run in parallel to classify characters and numerals. The results were then categorized into digits (0-9) and characters (A-Z). The two groups are then fed into another set of SVMs to individually classify each object. A recognition rate of 97.2% was achieved. However, because large numbers of SVMs were used in their system, the performance was significantly degraded in terms of speed. On the other hand, Mahmoud and Al-Khatib [18] used Gabor-based filters to extract features before feeding the image to a k-nearest neighbor (KNN) and SVM classifiers. They achieved the highest two average recognition rates: 99.95% and 98.75%. However, those figures drop dramatically when noise is presented in the image.

Segmentation is an important stage when recognizing objects in a digital image. Fuzzy C-Means (FCM) algorithm [19] is one of the most powerful fuzzy clustering methods which have been used in image segmentation. FCM is considered to be robust to ambiguity and can obtain much extensive data about objects than regular segmentation methods [20]. An asymmetrical segmenting pattern recognition method to retain a feature vectors for the handwritten Arabic numbers was presented in [21]. The feature vectors were fed into a Neural Network classifier with a reported recognition rate of 97.6 %. Also, a novel three-level approach for classification of isolated handwritten Arabic numerals was introduced in [5]. After being cropped, resized and vectorized, the binary image vector of the handwritten numeral was fed to an FCM classifier stage followed by an SVM classifier stage to decrease the misclassification rate of the FCM.

Ghaleb et al. [22] calculated the occurrences of digits using horizontal and vertical weighted centroid moments and minimum distance classifier. The authors in [9] proposed a multiple feature/resolution scheme for recognizing Arabic numbers using Hidden Markov Models (HHMs). The proposed method uses scale and translation invariant affine transformations combined with HMM classifiers to recognize handwritten Arabic numbers. They proposed multi-resolution feature extraction approach that generates a set of GSC (gradient, structure, and concavity) features out of each character. The multi-resolution features extraction was very helpful in recognizing common patterns when numbers are handwritten such as edge curvature in a neighborhood of pixels, short strokes types over several pixels and certain concavities which may exist across the image. However, adding complexity to the recognition system often cause performance issues.

In order to cope with previous drawbacks, smaller vector sizes have been used for segmentation of an image. Ahmad and Maen [23] represented a structural online approach for the Arabic digits recognition. The shape-based features are extracted by vectoring the primary and secondary sub-patterns through identifying the changes in the slope sign, and then matching has been done using their special grammar which compares the string of primitives to the input digit. Das et al. [24] combined PCA/MPCA (Principal Component Analysis/Modular PCA) and QTLR (Quad-tree based hierarchically derived Longest-Run) for extracting the features of handwritten numerals of five popular scripts including Arabic. They used SVM classifier on database of CMA THER db 3.4.1 which consists of 3000 samples. The KHATT database [25] is a comprehensive offline handwritten Arabic text database that is designed to be used in Arabic text recognition research, writer identification and verification research and Arabic forms analysis research.

However, as mentioned above, several researchers have introduced algorithms for Arabic and Persian numbers recognition. The real challenge in this context is successfully recognizing handwritten numbers as opposed to machine printed numbers. A typical OCR system consists of the following stages: acquisition, preprocessing, segmentation, feature extraction, and classification [26]. These phases are also the fundamental building blocks of machine vision system. Preprocessing stage involves converting the RGB image into gray scale digital one and some enhancements such as noise removal. In the segmentation phase, partitioning the monochrome image into its main components such as the related foreground objects and the background is accomplished. Segmentation also produces several labeled regions or sub-images. Arabic language is a cursive based language where letters in each word are connected and attached to each other in a continuous form. While both printed and handwritten Arabic text is a cursive one, Arabic (Indian) numerals are not cursive. Therefore, the process of recognizing numbers using computers is simplified. More specifically, the part where segmentation is required will be eliminated unlike the case with Arabic text.

Feature extraction phase converts the digital image into a set of numerical vectors using algorithms responsible for measuring the color (intensity), the texture and the shape of the segmented objects to assist in the classification process. Feature extraction also involves adjustment operations for truncation and normalization. It also includes operations such as eliminating the gaps that surround the objects inside the image using methods such as binarization, and skeleton generating. In our case, once the major features of the image and its relevant objects (features of numerals) are extracted and encoded into a feature vector,
the skeleton matrices will be generated and will be fed into the pattern classification stage. At this point, image processing reaches its final phase which aims to identify each individual number via assigning a label to it. The set of produced labeled numbers will be further used in the original application. Table 1 presents the Arabic numbers which are also known as old Indian numbers. Also, Figure 1 presents the number "TWO" written in two different common Arabic writing styles.

| Arabic Number | Corresponding English number |
|---------------|-----------------------------|
| 0             | 0                           |
| 1             | 1                           |
| 2             | 2                           |
| 3             | 3                           |
| 4             | 4                           |
| 5             | 5                           |
| 6             | 6                           |
| 7             | 7                           |
| 8             | 8                           |
| 9             | 9                           |

Table 1. Arabic digits and its Equivalent English digits

![Figure 1](image)

Figure 1. Number “TWO” in Arabic, written by Hand in Two different common writing styles

However, Arabic numbers have a great importance in regions where Arabic language is the official language. Arabic numbers are mainly used to express numeral values in any context in the Middle East and part of Asia. The wide use of such numbers encourages inventing computer-based systems that can read and recognize handwritten numbers or machine printed ones. In view of the fact that handwritten Arabic alphabets and numerals have no wide research yet. This study proposes an innovative technique for precise automated recognition of Arabic handwritten numerals. This technique adapted the OCR Skeleton matching method which was introduced by [27]. The proposed system achieves a recognition rate of 99.3 %. This approach involved text acquisition and filtering method, thinning algorithm design, segmentation technique, feature extraction and classification strategy. In addition, this study focus on recognizing the numbers that most likely appear in a multi-digit number format as shown in Figure 2.

![Figure 2](image)

Figure 2. Examples of multi-digit Arabic number series
2. RESEARCH METHOD AND PROPOSED SYSTEM

As mentioned earlier, the OCR System Skeleton matching introduced by [27] has been adapted in this study. The main stages of the Skeleton processes can be categorized as preprocessing, recognition, self-learning and post-processing. The main components of the system are shown in Figure 3. Skeletonization has been modified to include a three-steps approach. However, before the proposed approach is applied on the input image, a thinning process is executed on the image. The application of the thinning process uncovers the connected contours of each scanned object until it reaches the most medial one-pixel width. The efficiency of the thinning method is measured by the extent to which the skeleton has been extracted while preserving the overall topology of the objects’ shape without many unavoidable imperfections.

![Figure 3. Architecture of the OCR system [27]](image)

This research assume that the input image should be in RGB format. The input image will be converted into gray scale format. Then, the produced image will be converted into binary image using a binary threshold equation. The following procedure shows the steps of the proposed technique. Algorithm 1 is responsible for importing and filtering the input image. This step uses a page scanner to transfer the original text image into a bitmap file or a pixel matrix. Then, text analysis techniques will be performed to partition the image into lines and digits, and the position of each digit will be recorded in order to rebuild the image file.

**Algorithm 1. Importing and Filtering**

1. \textbf{procedure} ImportAndFilter (I, M)
2. \hspace{1em} \textbf{Input:} I = \langle i1, i2, ..., in \rangle
3. \hspace{1em} \textbf{Output:} M = m1, m2, ..., mn.
4. \hspace{1em} \textbf{for} each i in I \textbf{do}
5. \hspace{2em} if (i not GRAY) then
6. \hspace{3em} Convert it to GRAY
7. \hspace{2em} End if
8. \hspace{1em} ri ← read image (i)
9. \hspace{1em} imagezi ← convert image size (ri)
10. \hspace{1em} imagem ← convert image to image matrix(imagezi)
11. \hspace{1em} Mi← change all the image matrix corner to white(imagem)
12. \hspace{1em} end for
13. \hspace{1em} return M
14. \textbf{end procedure}
The above procedure considers I and M as the input and the output matrix representations, respectively. Number recognition is based on previously generated data set which contains the important features associated with the numbers which are recognized earlier. Algorithm 2 extracts the features of the input numbers and compares these features with those recorded in the data set used by the recognition process. If the features match or closely match, the input number will be classified into a class which contains all the numbers that have these common features.

Algorithm 2. Recognition

1. procedure Recognition (M,L)
2. Output: L = P1, P2, …, Pn.
3. for each m_i in M do
4. while we have contour pixels that are not skeletal do
5. remove contour pixels
6. end while
7. find the GRAY (m_i)
8. for each line m_i do
9. find GRAY level m_i
10. detect the edge label (m_i)
11. l_i ← store BW matrix
12. end for
13. end for
14. return L
15. end procedure

The purpose of this algorithm (lines 4-6) is to create the skeleton of a set of pixels by determining the skeletal pixels and the contour pixels, and then removing all the contour pixels that are not skeletal. This process will be repeated until a set which consists of only skeletal pixels is created. This set of templates will be used by a final classifier which is based on skeleton matching to find out which of them is the final result. In the final phase, Algorithm 3 compares the label with the data set to find the best matching number and saves it in a text file.

Algorithm 3. Post processing

1. procedure post_processing (L,O)
2. Output: recognition text.
3. for each i ∈ L DO
4. di ← compare i with data set
5. Oi ← find the best matching number (di)
6. end for
7. end procedure

MATLAB has been used to implement the proposed system. MATLAB integrates mathematical computing and visualization and is considered a powerful software which provides a flexible environment for technical computing. According to the example shown in the Figure 4, when the user uploads image contains handwritten Arabic numbers, the system follows the previous process to display text file containing the recognized numbers as portrayed in Figure 5.

Figure 4. Sample of Arabic Handwritten numerals
3. PERFORMANCE EVALUATION

The data set that is being used in order to perform the experiments and to estimate the performance of the proposed system consists of 1000 different samples which contain multi-digit numbers. These samples were formed by collecting optically scanned samples of handwritten Arabic numerals. Each number consists of 1 to 20 digits in the range from 0 to 9. These samples were recorded manually by 100 different persons of different age groups and sexes.

A page scanner is used to transfer the original text image into a pixel matrix. All these samples are converted to pixel images first and then converted to binary images. Text analysis techniques are applied next to partition the image into lines and digits. The position of each digit is recorded in order to rebuild the image file. Number recognition is based on previously generated data set which contains the important features related to the numbers which are known already. The recognition performance results on the test data sets for every single number are given in Table 2.

| Arabic Number | Testing Accuracy of the Number |
|---------------|--------------------------------|
| 0             | 99%                            |
| 1             | 99%                            |
| 2             | 98%                            |
| 3             | 99%                            |
| 4             | 100%                           |
| 5             | 100%                           |
| 6             | 99%                            |
| 7             | 100%                           |
| 8             | 100%                           |
| 9             | 99%                            |
| Average recognition | 99.3%                        |

After analyzing and evaluating the results of our approach using the test samples and validation accuracy, it's obvious that this approach can easily detect the image features more accurately, making the accuracy 99.3 percent. This accuracy score exceeds the highest recorded accuracy for Arabic handwritten digit recognition.

4. CONCLUSION AND FUTURE WORK

In this study, an innovative technique based on OCR Skeleton matching method for automated recognition of Arabic handwritten numerals is presented. This approach involved image acquisition and filtering method, thinning algorithm design, segmentation technique, feature extraction and classification strategy. Also, the various methodologies used in OCR by recent research was reviewed in this research. This study aims to provide a useful guidance for new readers and researchers in the field of numeral recognition system. Experiments were performed to observe the system performance. A data set of 1000 multi-digit samples written by 100 persons is used in the experimentation. An average recognition rate of 99.3 % were obtained.

In future, we aim to develop a system which combine other approaches like contour analysis to increase the recognition rate of numeral digits. Although our system can deal with overlapped numbers, we aim to treat joined and overlapped numbers more seriously and propose further enhancements to separate them.
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