Accuracy of Robot-Assisted Percutaneous Pedicle Screw Placement Under Regional Anaesthesia: A Comparative Cohort Study

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Abstract

Background: Robot-assisted pedicle screw placement is usually performed under general anaesthesia to keep the body still. The aim of this study was to compare the accuracy of the robot-assisted technique under regional anaesthesia with conventional fluoroscopy-guided percutaneous pedicle screw placement under general anaesthesia in minimally invasive lumbar fusion surgery.

Methods: Patients who underwent robot-assisted percutaneous endoscopic lumbar interbody fusion (PELIF) or fluoroscopy-guided minimally invasive transforaminal lumbar interbody fusion (MIS-TLIF) from December 2017 to February 2020 in a single centre were included. Based on the method of percutaneous pedicle screw placement used, patients were divided into the robot-assisted under regional anaesthesia (group RE-RO) and fluoroscopy-guided under general anaesthesia (group GE-FLU) groups. The primary outcome measures were screw accuracy and the incidence of facet joint violation (FJV). Secondary outcome measures included X-ray exposure and intraoperative adverse events.

Results: Eighteen patients were included in group RE-RO, and 23 patients were included in group GE-FLU. The percentages of clinically acceptable screws (Gertzbein and Robbins grades A and B) were 94.4% and 91.5%, respectively. There was no significant difference in the percentages of clinically acceptable screws (p=0.44) or overall Gertzbein and Robbins screw accuracy grades (p=0.35). Only the top screws were included in the analysis of FJVs. The percentages of FJV (Babu grades 1, 2 and 3) were 5.6% and 28.3%, respectively. This difference was statistically significant (p=0.01). Overall, the FJV grades in group RE-RO were significantly better than those in group GE-FLU (p=0.009). The mean fluoroscopy time for each screw in group RE-RO was significantly shorter than that in group GE-FLU (group RE-RO, 5.4±1.9 seconds, group GE-FLU, 6.8±2.0 seconds; P=0.03). The intraoperative adverse events included 1 case of registration failure and 1 case of guide-wire dislodgment in group RE-RO as well as 2 cases of screw misplacement in group GE-FLU. No complications related to anaesthesia were observed.

Conclusion: Robot-assisted pedicle screw placement under regional anaesthesia can be performed effectively and safely. The accuracy is comparable to the conventional technique. Moreover, this technique has the advantage of fewer FJVs and a lower radiation time.

Background

Pedicle screw fixation, a rigid surgical technique, has been widely used in spine surgery since the 1970s [1] and has been shown to stabilize the spine in a variety of spinal diseases, such as trauma, tumours, degeneration, and deformities. With the imaging guidance from fluoroscopy, freehand pedicle screw placement has been performed with high levels of accuracy. However, complications related to misplacement, such as nerve and vascular injuries, still persist. In addition, percutaneous pedicle screw implantation is associated with a high incidence of iatrogenic facet joint violation (FJV), which is an independent risk factor for adjacent segment disease (ASD) [2-4]. In addition to these patient-related disadvantages, the surgeon's intraoperative radiation exposure is becoming increasingly concerning [5-7].
Previous studies have shown that spinal surgical robots may be able to offer solutions to both of these concerns [8,9].

Robot-assisted pedicle screw placement is usually performed under general anaesthesia to keep the body still and improve screw placement accuracy. However, general anaesthesia may be associated with high percentages of perioperative complications and medical costs, especially for elderly patients [10,11]. In addition, some spine surgeons prefer patient feedback to reduce the possibility of nerve injury in some special surgeries, such as percutaneous endoscopic lumbar discectomy (PELD) and percutaneous kyphoplasty (PKP) [12,13]. Regional anaesthesia has been suggested to be comfortable and safe in some open and minimally invasive spine surgeries [14].

Our medical team found that patients could remain motionless and painless during fluoroscopy-guided percutaneous pedicle screw placement under regional anaesthesia in percutaneous endoscopic lumbar interbody fusion (PELIF) surgery. We predict that accurate, robot-assisted placement of pedicle screws in this patient state may also be achieved. Therefore, we attempted to use a spine robot instead of fluoroscopy to guide pedicle screw placement. To the best of our knowledge, no previous study has been reported focusing on robot-assisted pedicle screw accuracy under regional anaesthesia. This study therefore aimed to evaluate the accuracy and safety of robot-assisted pedicle screw placement under regional anaesthesia in lumbar fusion surgery.

Patients And Methods

This study was approved by the Ethics Committee of the Hebei General Hospital before data collection and analysis. It was a prospective study. Patients with lumbar degenerative disease who underwent robot-assisted PELIF or fluoroscopy-guided minimally invasive transforaminal lumbar interbody fusion (MIS-TLIF) from December 2017 to February 2020 were enrolled. The patients were divided into two groups according to the pedicle screw implantation method: the robot-assisted under regional anaesthesia (group RE-RO) and fluoroscopy-guided under general anaesthesia (group GE-FLU) groups.

The inclusion criteria were as follows: 1. scheduled 1- or 2-level PELIF or MIS-TILF surgery with either robot-assisted or fluoroscopy-guided percutaneous pedicle screw placement as the internal fixation technique; and 2. postoperative computed tomography (CT) scans taken before discharge with images meeting the measurement requirements. The exclusion criteria were as follows: 1. patients with a degree of lumbar spondylolisthesis or lumbar spondyloysis of II or higher; and 2. patients with infection, tumours or scoliosis of the spine.

Surgical technique

Group RE-RO

All procedures were performed by the same senior spine surgeon who had performed more than 20 cases of robot-assisted surgery. The patients’ CT data of the lumbar vertebrae (continuous scanning, ≤1-mm
cuts) were copied from the inspection equipment and input into the robotic surgical plan workstation (Mazor Renaissance Surgical Technologies, Caesarea, Israel) for preoperative planning. During surgery, the patient was placed in a comfortable prone position on the operating table, with oxygen inhalation and ECG and vital sign monitoring. Dexmedetomidine (4 µg/ml) was pumped at a rate of 3-8 ml/h. The administration of epidural anaesthesia was performed using the loss-of-resistance technique though the interlaminar space of the operated segments (Fig 1). The anaesthetic drug for the injection was a mixture of 0.5% lidocaine and 0.25% ropivacaine. The dose was 10 ml. In this anaesthetic state, patients had hypoesthesia rather than loss of sensation in the operative region and lower extremities. Motion of the lower limbs persisted. The surgical procedure was performed as follows. First, the working platform was installed. The Hover-T frame platform was used for all operations in this group. After local infiltration anaesthesia (1% lidocaine), three needles were inserted into the spinous process of the upper lumbar spine and bilateral posterior superior iliac spines to fix the frame (Fig 2). After image acquisition, registration, and robot motion, local infiltration anaesthesia was administered to the skin and around the facet joints before incision and drilling (Fig 3). To minimize deviations caused by spine movement, drilling was carried out in a painless state. Otherwise, additional local anaesthesia was administered, as pedicle screw insertion could aggravate the patient’s pain. It was essential to increase the speed of drug pumping in advance. Details of the robot-assisted procedure have been described in previous articles [8,15]. After screw (Minimally Invasive Spinal System; WEGORTHO Paedic Device CO., LTD; Weihai, China) placement, decompression and interbody fusion were performed (Fig 4).

**Group GE-FLU**

The pedicle screw placement procedures were completed by two senior spine surgeons who both had performed more than 50 cases of fluoroscopy-guided pedicle screw insertion. After general anaesthesia, the patient was placed in a prone position. A C-arm was used to locate the targeted vertebral pedicles and plan the screw route. A puncture needle was inserted through a 1.5-cm incision with fluoroscopy guidance. After a final fluoroscopy check on AP and lateral views, the puncture needle was replaced with a spacer. Screw (Minimally Invasive Spinal System; WEGORTHO Paedic Device CO., LTD; Weihai, China) placement was performed after decompression and interbody fusion.

**Outcome evaluation**

The primary outcome measures were screw accuracy and the incidence of FJV. All patients underwent thin-slice CT scans (≤1.2-mm slices) of the lumbar spine postoperatively. Screw accuracy was evaluated using the Gertzbein and Robbins criteria [16]: grade A: completely within the pedicle, grade B: < 2 mm cortical breach, grade C: 2-4 mm cortical breach, grade D: 4-6 mm cortical breach, and grade E: > 6 mm cortical breach. Screw grades A and B were considered clinically acceptable [17-19]. Differences in the screw accuracy grades between the two groups and the proportions of clinically acceptable screws were assessed as the accuracy comparison parameters. FJV was evaluated only for the upper pedicle screws because of the related clinical significance using the Babu classification system [20]: grade 0: the screw does not violate the facet joint, grade 1: the screw violates the lateral facet, grade 2: the screw penetrates
the articular facet by 1 mm, and grade 3: the screw lies within the articular facet surface. Differences in violation grades and the percentages of violating screws (grades 1, 2, and 3) were assessed as the FJV comparison parameters. The data were measured independently by two spinal graduate students using a Picture Archiving and Communication System (PACS) (Neusoft Medical image diagnostic reporting system; Neusoft Co., Ltd., Shenyang, China) who were not aware of the purpose of the study in advance. If there was a discrepancy between the results, the worse result was adopted.

As secondary outcome measures, we compared the X-ray exposure and intraoperative adverse events related to the screw placement procedure as well as to anaesthesia. X-ray exposure measurements were determined by the fluoroscopy time for each screw (sum of exposure times of screw implantation and rod connecting procedures/number of screws inserted).

**Statistical analysis**

Fisher's exact test and Pearson's chi-squared test were used for group comparisons of sex and screw location as well as the percentages of clinically acceptable screws and facet violation screws. Two-sample t tests were used for group comparisons of age, body mass index (BMI) and fluoroscopy time for each screw. The Mann-Whitney U test was used for group comparisons of accuracy and FJV grades. The statistical significance of these parameters was set at $P < 0.05$, and statistical analyses were performed using IBM SPSS Statistics for Windows, version 23.0 (IBM Corp, Armonk, NY, USA).

**Results**

Ninety-four consecutive patients who underwent PELIF or MIS-TLIF surgery from December 2017 to February 2020 were initially included in the study. Because of the requirement of postoperative CT results and other disease-related criteria, only 41 patients (22 women and 19 men) satisfied the inclusion criteria. Eighteen patients (10 women and 8 men) who underwent PELF were included in group RE-RO. Twenty-three patients (12 women and 11 men) were included in group GE-FLU, of whom 20 patients (9 women and 11 men) underwent MIS-TLIF and 3 women underwent PELIF. The baseline characteristics of age, sex distribution, BMI and screw location did not differ between group RE-RO and group GE-FLU (Table 1).
Table 1
Baseline characteristics

| Characteristic      | Group EP-RO | Group GE-FLU | Overall | p Value |
|---------------------|-------------|--------------|---------|---------|
| NO. of patients*    | 18          | 23           | 41      |         |
| Female sex(%)*      | 55.6        | 52.2         | 53.7    | 0.83    |
| Age (yrs)          | 61.6 ± 7.1  | 62.4 ± 6.1   | 62.1 ± 6.5 | 0.71    |
| Mean BMI (kg/m²)□   | 26.0 ± 3.6  | 25.5 ± 2.9   | 25.7 ± 3.2 | 0.58    |
| Location of screws* |             |              | 0.98    |         |
| L3                  | 3           | 4            | 7       |         |
| L4                  | 14          | 19           | 33      |         |
| L5                  | 16          | 20           | 36      |         |
| S1                  | 4           | 4            | 8       |         |

*Values are the number or the number(%) of patients.
□Values are presented as the mean ± SD.
BMI indicates Body Mass Index.

A total of 168 screws were placed across patients in 4 vertebrae. Among them, 74 were implanted using the robot-assisted technique under regional anaesthesia (group RE-RO), and 94 were implanted using the fluoroscopy-guided technique under general anaesthesia (group GE-FLU). The incidence of pedicle breach (grades B, C, D, and E) in the two groups was 10.8% (8/74) and 20.2% (19/94), respectively. There was no significant difference in the incidence of clinically acceptable screws (grades A and B), with percentages of 94.4% and 91.5% for groups RE-RO and GE-FLU, respectively (p = 0.44). The difference in the Gertzbein and Robbins screw accuracy grades was also not statistically significant (p = 0.35). Considering the relationship between FJV and ASD as well as the surgeon’s level of concern during insertion in different segments, only the 82 top screws were included in the analysis. In group RE-RO, 5.6% of the 36 screws analysed violated the facet joint (grades 1, 2, and 3). In group GE-FLU, the incidence was 28.3%. This difference was statistically significant (p = 0.01). The FJV grades in group RE-RO were significantly better than those in group GE-FLU (p = 0.009). A detailed list of the pedicle screw accuracy grades is presented in Table 2.
Table 2
Comparison of pedicle screw placement accuracy, FJV and fluoroscopy time

| Characteristic                  | Group EP-RO | Group GE-FLU | Total | p Value |
|--------------------------------|-------------|--------------|-------|---------|
| NO. of screws*                 | 74          | 94           | 168   |         |
| Accuracy grade* (n%)           |             |              |       | 0.102   |
| Grade A                        | 66 (89.2%)  | 75 (79.8%)   | 141 (83.9%) |   |
| Grade B                        | 4 (5.4%)    | 11 (11.7%)   | 15 (8.9%) |   |
| Grade C                        | 4 (5.4%)    | 5 (5.3%)     | 9 (5.4%) |   |
| Grade D                        | -           | 2 (2.1%)     | 2 (1.2%) |   |
| Grade E                        | -           | 1 (1.1%)     | 1 (0.6%) |   |
| Clinically acceptable* (grade A + B) | 70 (94.6%)  | 86 (91.5%)   | 156 (92.8%) | 0.44 |
| NO. of screws for FJV comparison* | 36          | 46           | 82    |         |
| FJV grade* (n%)                |             |              |       | 0.009   |
| Grade 0                        | 34 (94.4%)  | 33 (71.7%)   | 67 (81.7%) |   |
| Grade 1                        | 1 (2.8%)    | 8 (17.4%)    | 9 (11.0%) |   |
| Grade 2                        | 1 (2.8%)    | 2 (4.3%)     | 3 (3.7%) |   |
| Grade 3                        | -           | 3 (6.5%)     | 3 (3.7%) |   |
| Violating screws* (Grade 1 + 2 + 3) | 2(5.6%)     | 13(28.3%)    | 15(18.3%) | 0.01 |
| Fluoroscopy time per screw (secs) | 5.4 ± 1.9   | 6.8 ± 2.0    | 6.2 ± 2.0 | 0.03 |

*Values are the number or the number (%) of patients.

Values are presented as the mean ± SD.

FJV indicates Facet Joint Violation.

The mean fluoroscopy time for each screw in group RE-RO was significantly shorter than that in group GE-FLU (group RE-RO, 5.4 ± 1.9 seconds, group GE-FLU, 6.8 ± 2.0 seconds; P = 0.03). No patients suffered from neurovascular complications postoperatively or underwent revision surgery due to screw
misplacement. No cases of cerebrospinal fluid (CSF) leakage or surgical site infection were observed. Other adverse events of the screw placement procedure were as follows: 1 case of registration failure in group RE-RO, 1 case of guide wire dislodgment in group RE-RO, and 2 cases of screw misplacement in group GE-FLU, which were realized intraoperatively after an X-ray check. There were no complications related to anaesthesia in either group.

**Discussion**

In this study, we showed that robot-assisted percutaneous pedicle screw placement under regional anaesthesia has a high accuracy of 94.6%. The accuracy reported under general anaesthesia is 85%-99% [8, 21–23]. Although the difference was not significant, group RE-RO showed higher percentages in both overall grade and clinically acceptable grade than group GE-FLU. This outcome is clinically satisfactory. Robot-assisted screw accuracy is closely related to spine movement because of its fundamental mechanism and working principles [24]. Regional anaesthesia has been proven to be a safe and effective anaesthetic technique, under which patients can be stable and pain-free. Kang et al. [14] reported on 111 patients who underwent open lumbar spinal decompression, endoscopic decompression and open posterior fusion surgery under regional anaesthesia. The anaesthetic effect was satisfactory. Xu et al. [12] revealed an intraoperative mean visual analogue scale (VAS) score of low back pain of 1.25 under epidural anaesthesia during PELD surgery. According to our experience, spine movement mainly affects screw accuracy by movement of the robotic arm and screw passage drilling steps. The first step takes a short time, and cooperative patient immobility is feasible. Additional local infiltration anaesthesia around the facet joints can reduce discomfort during the drilling procedure. However, once spine movement is detected, reregistration is required.

In the robot-assisted cohort, the robotic platform was a Hover-T frame, which is designed for minimally invasive surgery. The frame is fixed on the spine and pelvis during the whole insertion procedure. Relative resting of the body and platform can reduce the influence of accidental body motion on screw accuracy. Ringel et al. [22] reported a lower screw accuracy of 85% by using a “bed mount” platform (platform fixed on the edge of the operating bed) and attributed the inaccuracy to the inappropriate platform choice. The relative movement of the robot to the patient may be slightly larger with this method.

With respect to avoiding FJVs, the robot-assisted technique was better than the fluoroscopy-guided technique. This finding is consistent with previous studies [8, 25, 26]. We only analysed the top two pedicle screws in each patient to measure the incidence of FJV for two reasons. First, only FJV from the top screws is related to ASD and even reoperation. Second, accurate measurement was difficult in the lower segments because facetectomy was performed for decompression. Furthermore, offset from the operator might exist. To reduce the probability of FJV, surgeons should pay more attention to the entry points of the top screws during preoperative planning or intraoperative localization. Different from the robot’s one-time drilling, the fluoroscopy-guided technique requires adjustment of the entry point a few times. Joint capsule injury may occur during this step. We deduce that it may aggravate the degeneration of the facet joint and cause ASD. No research has described this phenomenon.
Because of the different decompression methods, we only compared the radiation exposure time during the screw placement procedure. The results showed that the robot performed significantly better in minimizing this time. The advantage of the short radiation exposure time is more remarkable as the number of screws increases, especially in some spinal deformity surgeries. This is because most of the radiation exposure in robotic surgery occurs during the registration and platform process, which only needs to be performed once per operation. Fan et al. [18] compared the radiation dose among robots, novel guided templates and CT-based navigation in adult degenerative scoliosis. The robot-based surgeries exhibited the lowest intraoperative radiation dose. In our study, we included the exposure time involved in connecting the percutaneous rod. The robot can preoperatively plan a better screw order, which can reduce operation times and radiation exposure. This may be one of the reasons for the low radiation exposure time.

Intraoperative adverse events were equal in the two techniques. However, it seems that the complications in the robot group are less likely to cause serious consequences. Keric et al. [27] also found no significant differences between robotic-assisted and fluoroscopy-guided screw placement with regard to intraoperative complications. The identification of additional adverse events requires studies with larger sample sizes.

The findings of our study provide a new anaesthesia method for the clinical application of spine robots. We expect that some minimally invasive operations, such as PELD, bone biopsy and PKP, can be performed under regional anaesthesia. Medical costs and recovery periods can be reduced accordingly. There are some limitations to this study. First, this was a single-centre retrospective study, and only 41 patients were included; thus, selection bias may exist. Second, the postoperative screw position and that from the preoperative plan were not compared for technical reasons.

**Conclusion**

Robot-assisted pedicle screw placement under regional anaesthesia can be performed effectively and safely. The accuracy is comparable to that of the fluoroscopy-guided technique. Moreover, this technique has the advantage of fewer FJVs and a lower radiation time.

**Abbreviations**

PELIF: percutaneous endoscopic lumbar interbody fusion; MIS-TLIF: minimally invasive transforaminal lumbar interbody fusion; RE-RO: robot-assisted under regional anaesthesia; GE-FLU: fluoroscopy-guided under general anaesthesia; FJV: facet joint violation; ASD: adjacent segment disease; PELD: percutaneous endoscopic lumbar discectomy; PKP: percutaneous kyphoplasty; PELIF: percutaneous endoscopic lumbar interbody fusion

**Declarations**
Acknowledgments

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Authors’ contributions

Shangju Gao and Wenyi Li: Study Design, Statistical Analysis, and Manuscript Preparation. Jingchao Wei: Data Collection, Literature Search and Manuscript Preparation. Long Zhang: Data Collection and Statistical Analysis. Can Cao, Jinshuai Zhai and Bo Gao: Data Collection and Literature Search. All authors read and approved the final manuscript.

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Availability of data and materials

All data generated or analyzed during this study are included in this published article.

Ethics approval and consent to participate

This study was approved by the Ethics Committee of Hebei General Hospital (no. 202029) and was conducted in accordance with the Declaration of Helsinki. As this was a prospective study and all patient information was deidentified before analysis, informed consent was only required for the patients whose radiological images were selected for publication.

Consent for publication

Written informed consent was obtained from the participants whose radiological data were selected for publication in the journal.

Competing interests

The authors declared no potential conflicts of interest for the research, authorship, and publication of this article.

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Figures

Figure 1

Epidural anaesthesia before the operation.

Figure 2

Local infiltration anaesthesia on the posterior superior iliac spine before insertion of the fixing needles.

Figure 3

Local infiltration anaesthesia around the facet joints.

Figure 4

Percutaneous endoscopic lumbar interbody fusion following robot-assisted percutaneous pedicle screw implantation.