Healthcare utilization and economic burden of myopia in urban China: A nationwide cost-of-illness study

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Background China contributes to a significant proportion of the myopia in the world. The study aims to investigate the utilization of various correction methods and health service in urban China, and to estimate the cost of myopia treatment and prevention. In addition, we aimed to estimate the cost of productivity loss due to myopia.

Methods The study was a cross-sectional investigation carried out in urban areas in three provinces located in the east (Shanghai), middle (Anhui) and west part (Yunnan) of China, in 2016. A total of 23819 people aged between 5 to 50 years were included. Health utilization and the cost of myopia were analyzed from patients’ perspective.

Results The total number of people with myopia in the urban China was estimated to be 143.6 million. The correction rate was 89.5%, 92.1%, and 92.7% for Anhui, Shanghai, and Yunnan ($\chi^2 = 19.5, P<0.01$). Over the recent year, 20.6%, 16.8%, and 28.8% of myopic subjects visited hospital due to myopia, in Anhui, Shanghai and Yunnan. The annual cost of treatment and prevention of myopia was 10.1 billion US dollar (US$, floating from 9.2 to 11.2 billion US$), and the cost per person was 69US$. The annual cost of loss of productivity was estimated to be 6.7 billion US$ for those with mild to moderate visual impairment (floating from 6.1 to 7.4 billion US$), and 9.4 billion US$ (floating from 8.5 to 10.4 billion US$) for those with severe visual impairment to blindness. Therefore, the total economic burden of myopia was estimated as 173.6 billion CNY (26.3 billion US$).

Conclusions The present study shows that myopia leads to substantial economic burden in China. The loss of productivity caused by myopia is an important part of the disease burden compared to the cost of correction and treatment paid by individuals. Therefore, the focus of myopia prevention and control should be to decrease the myopia prevalence, and prevent the uncorrected refractive errors and the irreversible damage of visual acuity by high myopia.
Myopia has become an important public health issue. In 2010 there were 1950 million (prevalence of 28.3%) myopic people worldwide, and it is estimated that this number will increase to 4758 million (prevalence of 49.8%) in 2050 [1]. The costs of correcting myopia, treating the progression of the disease and its complications could bring heavy economic burden to both individuals and society. Meanwhile, myopia accounts for the major proportion of uncorrected refractive error, which is one of the leading causes of blindness and low vision [2]. Additionally, complications resulting from myopia and high myopia, such as glaucoma, retinopathy, and retinal detachment, are also major causes of blindness and low vision [3]. It was estimated that the global potential productivity loss associated with the burden of myopia related visual impairment in 2015 was about 250 billion US dollar (US$) [4].

The utilization of correction methods, health service, and the composition of the cost in China have been unidentified. Spectacles, contact lenses and surgery, are the most common tools for correcting the myopia; and their cost varies widely. The use of orthokeratology or rigid gas permeable (RGP) lenses has shown to be effective in slowing the progression of myopia [5,6]; nevertheless, with the expensive costs and frequent follow-up, the utilization rate of this tool remains undefined. Compared with soft contact lenses, laser surgery has proven to be cost-effective in correcting myopia in adults in developed countries [7,8]; yet, the surgery rate in the myopic population in developing countries such as in China, remains undefined. For myopic children, the refraction measurement usually requires cycloplegia; however, some patients chose to use spectacle stall instead of going to hospital, thus not fully utilizing the medical health service. Moreover, prevalence of myopia is especially high in young Chinese children [9], who need to be accompanied when visiting the clinic or spectacle stalls. The exact indirect costs associated with accompany by parents or relative compared with direct costs remains undefined. Identifying all these issues related to the burden of myopia could help decision makers to judge the rationality of the utilization and the allocation of the resources and to formulate policies that would improve the current situation, thus relieving the burden of myopia.

In addition, there are limited reports on the economic burden of myopia. In Singapore, calculation has indicated that the approximate annual cost for treating myopia is 755 million US$ [10,11]. In the United States, the annual direct cost of correcting distance vision caused by refractive errors including myopia goes from 3.8 billion to 7.2 billion US$ [12]. In developed countries, the economic burden of myopia is relatively heavy no matter the prevalence of myopia is high, eg, in Singapore, or low, eg, United States. In developing countries with high prevalence of myopia, such as China, the economic burden remains unknown. In some urban areas of China, the prevalence of myopia is higher than 80% in the 18-year-olds [13]. Considering the large population of China, the economic burden caused by myopia could be relatively heavy; however, there is no literature on specific costs related to correcting or treating myopia or economic burden of myopia in China.

Based on the existing literature, we assume that myopia has caused a huge disease burden in China, and the indirect disease burden caused by myopia may account for a large part of the proportion. The present study aims to evaluate utilization and costs of various correction methods, health service in and outside the hospital, prevention measures and loss of productivity associated with myopia, and to calculate disease related annual cost in the urban China from the patient perspective.

**METHODS**

**Study time and place**

The study was carried out during November and December in 2016. According to the distribution of natural resources and the level of economic and social development of the provincial administrative regions, China is roughly divided into three economic zones: the east, the middle, and the west, representing the upper, medium and lower social economic status [14]. Considering possible influencing factors for disease economic burden, three provinces were randomly selected from the three economic zones. Shanghai in the east, Anhui in the middle and Yunnan in the west were included in the study.

**Study population**

The study population were Chinese people aged 5 to 50 years in the urban areas of China, including myopic and non-myopic individuals. This population was chosen due to: 1) the prevalence of myopia in children younger than 5 years is relatively low (usually below 5% [9]); 2) people >50 years old are more commonly af-
affected by presbyopia, which might confound the cost of spectacles for correcting myopia; 3) compared with people in the urban areas, people in the rural areas usually lack medical service and uncorrected or under-corrected for refractive error [15]. In addition, the prevalence of myopia is much higher in the urban areas than in the rural areas in China [16].

### Sampling method, inclusion and exclusion criteria

In urban areas of each province, at least two primary schools, 2 middle schools, 2 high schools or schools of equivalent educational level, and two universities or schools of equivalent educational level were selected by random cluster sampling method. For children in the primary schools, middle schools and high schools, all children and their guardians were invited to participate in the investigation. Students and parents were included in the study, if their parents signed the written informed consent under the agreement with their children. For university students, all students were invited, and the students were included if they signed the written informed consent themselves.

### Sample size

Sample size was calculated according to prevalence of myopia at different age intervals, considering the cluster design effect of 2.0 and response rate of 85%, to attain 95% confidence intervals ($\alpha = 1.96$) with a precision of 0.05 ($\delta$). According to the formula $N = \frac{U_\alpha^2 \cdot P \cdot (1 - P)}{\delta^2}$, at least 760, 906, 760, and 760 people were required for primary school children (with an estimated myopia prevalence (P) of 30%), middle and high school children (50% prevalence), university students (70% prevalence) and the parents (30% prevalence), respectively, in each province.

### Questionnaire and its reliability

For primary school, middle school and high school students, the questionnaire was composed of three sections, ie, children, father and mother section. For university students, only one section was provided. The questionnaire consisted of 6 to 7 parts in each section. For students of primary school, middle school and high school, sections included myopia status, corrections methods, myopia treatment within the hospital, myopia treatment outside hospital, prevention and others. For university students and parents, additional sections including myopia surgery and family information were requested.

To verify the reliability of the questionnaire, before the study, we randomly selected two classes in a primary school and 2 classes in a middle school. A total of 96 students were included. The students and parents were asked to fill the questionnaire and repeated after 2 weeks. Total costs of myopia were calculated for each student and the parent, and the Pearson correlation coefficients were 0.98 ($P < 0.01$) and 0.96 ($P < 0.01$) for the student questionnaire and the parents questionnaire, respectively.

### Quality control

To guarantee the quality of completing the questionnaire, the investigators in each province were trained uniformly according to the self-written guide manual. Before filling the questionnaire, the investigators were required to fully explain the purpose and the methods of the study, and detailed information about how to fill the questionnaire. For university students, the investigators distributed the questionnaire and explained. The students filled the questionnaire on the spot; if they had any questions, they consulted the investigators. For students in the primary schools, middle schools and high schools and their parents, the questionnaire was filled by the parents. The investigators organized a parent’s meeting to explain the purpose of the study, and they established an online group to answer questions. If the parents were not able to participate, other guardians of the children were asked to fill the questionnaire.

The investigators randomly selected 10 questionnaires in every class to check the quality. If more than 10% of the items were missing or were not in accordance with logic or reality, the questionnaire was defined as unqualified and was returned to the students, which were kindly asked to fill the form once again. If more than two unqualified questionnaires were found in a class, another 10 were chosen and checked. The whole class was required to start over, if once again, more than 2 unqualified questionnaires were found.

Data from the questionnaire were entered into EpiData version 3.1 (The EpiData Association, Odense, Denmark), which could be checked automatically while entering the data. After entering the data, quality was assessed by checking the data entry variables against the original entries in the questionnaire. About 10% of the questionnaires was randomly selected for assessing the quality of data entry.
Calculation of costs and sensitivity analyses

To avoid recall bias, if the spectacles and orthokeratology or RGP contact lenses were purchased more than 5 years ago, the cost was not included in the calculation for the cost per pair. The cost per pair was discounted if the spectacles or lenses were not purchased within the last year. Other items, such as frequency of replacement, and annual running cost were also calculated for all the people who response the questions. Annual running cost and annual cost for soft contact lenses were not discounted, since they were collected as cost generated during the most recent year. Utilization and cost for treating myopia or correcting myopia in institutions outside hospital, such as spectacles stores and private eye care institutions were also collected.

Costs for myopic laser surgery were calculated separately. The questionnaire items about myopic laser surgery were set for university students and parents, since in China, the surgery is only permitted for those aged 18 years and older. Those who underwent surgery within the recent 5 years were included to calculate the annual surgical rate and the average cost of surgery. The cost of surgery was discounted if it was not consumed in the most recent year. The annual cost of surgery was then calculated as the number of myopia multiple by the annual surgical rate and the average cost of surgery. Cost for prevention was calculated only in non-myopic children of primary school, middle school and high school.

Cost was calculated from patient’s perspective. We divided the total cost into two parts: 1) the cost of treatment and prevention of myopia, which consisted of both direct and indirect cost; and 2) the cost of loss of productivity caused by myopia, which only consisted of indirect cost.

To calculate the cost of treatment and prevention of myopia, the direct cost consisted of: 1) cost of correction, 2) medical cost created in hospital and transportation cost, 3) cost of myopia surgery and relevant medical cost, 4) cost created outside hospital for treating or correcting myopia and transportation cost, and 5) cost for preventing myopia, such as buying eye-protection lamp, pencil grip, table and chair with sitting posture correction function. The indirect cost included: 1) cost of working time loss and cost of accompany by relatives because of myopia treatment in hospital and outside hospital, 2) cost of working time loss because of myopia surgery. Cost generated by working time loss was calculated as the hours off from work multiplied for the average wages per hour in 2016 in each province. Accompanying cost was calculated as the hours off from work multiplied for the average wages per hour in 2016 in each province and the number of accompanying people.

In the baseline analysis, the cost was discounted for 3% to obtain the real value in 2016.

To evaluate the total annual cost of myopia treatment and prevention in the urban areas of China, we extrapolated the sample cost to the national wide population size. Using the sixth national census data of people aged 5 to 50 years in the urban China [17], and age specific prevalence of myopia reported in the present study, the amount of myopia and non-myopia people were obtained. To calculate the national-wide total cost, the number of people in each age interval was multiplied by the age specific utilization rate (eg, utilization rate of correction, medical health service, etc.), and multiplied for the median value of the corresponding cost. The total cost was analyzed using the following formula:

$$\text{Total cost} = \sum \left( \Sigma_{\text{age-group specific}} \left( \Sigma_{\text{age-group specific regional myopia population}} \times R_{\text{age-group specific regional myopia population}} \times C_{\text{age-group specific regional myopia population}} \right) + \Sigma_{\text{age-group specific regional non-myopia population}} \times R_{\text{age-group specific regional non-myopia population}} \times C_{\text{age-group specific regional non-myopia population}} \right)$$

where $N_{\text{age-group specific regional myopia population}}$ indicates age-group-specific regional myopia population, $R_{\text{age-group specific regional myopia population}}$ represents age-group-specific utilization rate of one health service for myopia treatment (eg, correction, out-patient and etc.), $C_{\text{age-group specific median value of one health service for myopia treatment (eg, correction, out-patient, etc.)}}$ represents age-group-specific median value of one health service for myopia treatment (eg, correction, out-patient, etc.), $N_{\text{age-group specific regional non-myopia population}}$ stand for age-group-specific regional non-myopia population, $R_{\text{age-group specific regional non-myopia population}}$ represents age-group-specific utilization rate of one health service for myopia prevention, and $C_{\text{age-group specific median value of one health service for myopia prevention}}$ indicates age-group-specific median value of one health service for myopia prevention.

To calculate the cost of loss of productivity caused by myopia, presenting distance visual acuity (PDVA) of the better eye collected by the questionnaire was extracted. The myopia subjects were defined as no visual impairment (PDVA≥ 0.6), mild visual impairment (PDVA≥ 0.3 and <0.6), moderate visual impairment (PDVA≥ 0.1, and <0.3), severe visual impairment (PDVA< 0.05, and <0.1), and blindness (PDVA<0.05). The proportions of the various types of visual impairment and blindness in myopia population were calculated for different provinces and age groups. Then, the number of people with various types of visual impairment and blindness were estimated from the number of people with myopia. Since the participants aged 5 to 20 years are mainly students and do not produce substantial social productivity, only those aged 20 to 50 years were included to estimate productivity loss. The productivity loss of patients with mild to moderate visual impairment is mainly caused by the inability to participate in labor, so we estimate...
their cost of productivity loss = number of patients with severe visual impairment or blindness × labor withdrawal rate × per capita annual income loss. We used the same labor participation/withdrawal rate as in the Professor Li’s research, as well as the same per capita annual income loss, and per capita annual income loss [18]. Sensitivity analyses were carried out by increasing and decreasing 1) the prevalence of myopia by 10%, 2) the per capita annual income loss, and per capita annual income loss by 10%, and 3) floating discount rate from 0% to 5%. χ² test and ANOVA test were used for comparing the differences of utilization rates and costs among the three provinces. Statistical analyses were performed using SAS 9.4 (SAS Institute, Inc, Cary, NC, USA). Costs are expressed in Chinese yuan (CNY) and US dollars (US$) using an exchange rate of US$ 1 = 6.6 CYN (as of June 1st 2016) [19].

Patient and public involvement

All the participants were informed of the aim and potential benefit of the study for the public. The results of the present study will be sent to the government to formulate policies for myopia control in China.

Ethics approval and consent to participate

The study was approved by the Shanghai General Hospital Ethics committee and adhered to the tenets of the Declaration of Helsinki. For students of primary school, middle school and high school, the parents signed the written informed consent under the agreement with their children. For university students, the students signed the written informed consent themselves.

RESULTS

Study population and prevalence of myopia

A total of 23 819 subjects, composed of 7206 subjects in Shanghai, 8430 in Anhui, and 8183 in Yunnan were invited. Subjects who did not sign the written informed consent, who were out of the study age span, or who didn’t fill the questionnaires effectively (who left more than 10% required filling items blank) were excluded from the analyses. Finally, a total of 22 097 (92.8%) subjects were included in the study. The basic characteristics of the study population were listed in Table 1.

| Table 1. Basic characteristics of the study population | ANHUI | SHANGHAI | YUNNAN | TOTAL | P-VALUE† |
| Age (years) | Male | Female | Male | Female | Male | Female | Male | Female | Male | Female | Male | Female | Male | Female | P-VALUE† |
| 5-9 | 399 (5.0) | 347 (4.4) | 362 (5.6) | 343 (5.3) | 282 (3.7) | 324 (4.3) | 1043 (4.8) | 1014 (4.6) | 0.04 |
| 10-14 | 535 (6.7) | 461 (5.8) | 391 (6.1) | 347 (5.4) | 489 (6.5) | 521 (6.9) | 1415 (6.5) | 1329 (6.1) | 0.04 |
| 15-19 | 562 (7.1) | 563 (7.1) | 429 (6.7) | 459 (7.2) | 335 (4.7) | 609 (8.1) | 1346 (6.1) | 1631 (7.4) | <0.01 |
| 20-24 | 220 (2.8) | 277 (3.5) | 211 (3.3) | 228 (3.6) | 206 (2.7) | 221 (2.9) | 637 (2.9) | 726 (3.3) | 0.38 |
| 25-29 | 102 (1.3) | 136 (1.7) | 164 (2.6) | 127 (2.0) | 101 (1.3) | 159 (2.1) | 367 (1.7) | 422 (1.9) | <0.01 |
| 30-34 | 295 (3.7) | 482 (6.1) | 163 (2.5) | 261 (4.1) | 227 (3) | 471 (6.2) | 685 (3.1) | 1214 (5.5) | 0.05 |
| 35-39 | 741 (9.3) | 786 (9.9) | 452 (7.0) | 677 (10.6) | 666 (8.8) | 766 (10.3) | 1859 (8.5) | 2229 (10.2) | <0.01 |
| 40-44 | 783 (9.9) | 691 (8.7) | 550 (8.6) | 573 (8.9) | 847 (11.2) | 672 (8.9) | 2180 (1.0) | 1936 (8.8) | <0.01 |
| 45-50 | 340 (4.3) | 217 (2.7) | 424 (6.6) | 257 (4) | 416 (5.5) | 233 (3.1) | 1180 (5.4) | 707 (3.2) | 0.54 |
| Total | 3977 (50.1) | 3960 (49.9) | 3146 (49.0) | 3272 (51.0) | 3589 (47.4) | 3976 (52.6) | 10712 (48.9) | 11208 (51.1) | <0.01 |

The prevalence of myopia calculated by self-reported questionnaire was listed in Table 2. All the three provinces showed a similar trend of myopia prevalence, which increased to over 80% in the 20-24 years of age, and then gradually decreased.

Utilization of spectacles and contact lenses to correct myopia and the cost

Utilization of spectacles, orthokeratology or rigid gas permeable (RGP) lenses and soft contact lenses to correct myopia by age groups in the three provinces are shown in Figure 1. The percentage of person who reported to use any of the methods to correct myopia was 89.5%, 92.1%, and 92.7% in Anhui, Shanghai, and Yunnan, respectively (χ² = 19.5, P < 0.01). The percentage was the lowest in children aged 5 to 9 years, being 69.1%, 71.5%, and 60% in Anhui, Shanghai, and Yunnan (χ² = 1.53, P = 0.47). Using spectacles was the most popular way to correct myopia compared with the other two ways.
Table 2. Prevalence of myopia in the study population

| Age (years) | Myopia, No. (%)* | Sample Size | Myopia, No. (%)* | Sample Size | Myopia, No. (%)* | Sample Size | Myopia, No. (%) | Sample Size | P-VALUE† |
|-------------|------------------|-------------|------------------|-------------|------------------|-------------|-----------------|-------------|---------|
| 5-9         | 81 (10.8)        | 750         | 130 (18.4)       | 706         | 30 (4.9)         | 607         | 241 (11.6)      | 2063        | <0.01   |
| 10-14       | 361 (36.0)       | 1002        | 341 (45.7)       | 747         | 309 (30.5)       | 1012        | 1011 (36.6)     | 2761        | <0.01   |
| 15-19       | 822 (72.6)       | 1133        | 637 (70.7)       | 901         | 620 (63.9)       | 971         | 2079 (69.2)     | 3005        | <0.01   |
| 20-24       | 423 (83.8)       | 505         | 366 (83.4)       | 439         | 446 (82.0)       | 544         | 1235 (83.0)     | 1488        | 0.72    |
| 25-29       | 112 (47.1)       | 238         | 213 (72.2)       | 291         | 110 (41.4)       | 261         | 433 (54.8)      | 790         | <0.01   |
| 30-34       | 187 (24.1)       | 777         | 184 (43.4)       | 424         | 111 (15.9)       | 698         | 482 (25.4)      | 1899        | <0.01   |
| 35-39       | 339 (22.2)       | 1527        | 547 (48.5)       | 1129        | 266 (18.6)       | 1432        | 1152 (28.2)     | 4088        | <0.01   |
| 40-44       | 329 (22.3)       | 1474        | 488 (43.5)       | 1123        | 294 (19.4)       | 1519        | 1111 (27.0)     | 4116        | <0.01   |
| 45-50       | 115 (20.7)       | 557         | 269 (39.5)       | 681         | 149 (23.0)       | 649         | 533 (28.3)      | 1887        | <0.01   |
| All         | 2769 (34.8)      | 7963        | 3175 (49.3)      | 6441        | 2333 (30.3)      | 7693        | 8277 (37.5)     | 22 097      | <0.01   |

*Myopia prevalence increased to over 80% in the 20-24 y of age, and then gradually decreased in all the three sampling areas.
†χ² test of myopia prevalence of sample population among three provinces.

Table 3 shows the calculation of the annual cost per person for spectacles, orthokeratology and RGP lenses, and soft contact lenses. The annual cost was the highest for the use of orthokeratology and RGP lenses, and the lowest for the use of spectacles. The mean of cost per pair of spectacles (F = 67.26, P < 0.01), frequency of replacement of spectacles (F = 19.72, P < 0.01), annual cost per person of spectacles (F = 34.53, P < 0.01), and annual cost per person of contact lenses (F = 8.8, P < 0.01) were significantly different among the three provinces.

Utilization of medical health service and the cost

Over the recent year, 20.6% (577/2796), 16.8% (553/3175), and 28.8% (673/2333) visited the hospital due to refraction measurement, contact lenses-induced infection, treatment with atropine and other treatment because of myopia, in Anhui, Shanghai and Yunnan province. In Anhui, the utilization rate was 64.2% for children aged 5 to 9 years, 44.6% for children aged 10 to 14 years, 23.8% for children aged 15 to 19 years, while it was 7.0%-13.7% for people of other age intervals. In Shanghai, the utilization rate was 81.5% for children aged 5 to 9 years, 55.4% for children aged 10 to 14 years, 16.5% for children aged 15 to 19 years, while it was 6.0%-9.8% for those of other age intervals. In Yunnan, the utilization rate was 73.3% for children aged 5 to 9 years, 66.7% for those aged 10 to 14 years, 37.4% for those aged 15 to 19 years, while it was 6.5%-20.1% for those in other age intervals.
Table 3. Costs and frequency of replacement of spectacles and contact lenses to correct myopia

| Province | Variable                  | No.   | Mean (CNY) | SD    | Median (CNY) | Percentile (CNY) |
|----------|---------------------------|-------|------------|-------|--------------|------------------|
|          | Spectacles                | 1415  | 238.2      | 284.7 | 159.1        | 103.0-265.2      |
|          | Frequency of replacement  | 1968  | 2.1        | 1.6   | 2.0          | 1.0-3.0          |
|          | Annual cost per person    | 1261  | 153.4      | 210.3 | 100.0        | 51.5-200.0       |
| Anhui    | OK and RGP lenses         | 11    | 4673.4     | 2546.3| 4500.0       | 1500.0-6500.0    |
|          | Frequency of replacement  | 13    | 1.2        | 0.8   | 1.0          | 1.0-1.5          |
|          | Annual cost for lenses    | 7     | 3101.7     | 1632.1| 3000.0       | 1500.0-4500.0    |
|          | Annual running cost       | 9     | 1726.7     | 1264.6| 2100.0       | 480.0-2100.0     |
|          | Annual cost per person    | 5     | 3762.0     | 2384.5| 4500.0       | 1680.0-5100.0    |
|          | Soft contact lenses       | 128   | 950.6      | 1166.7| 480.0        | 180.0-1500.0     |
|          | Spectacles                | 2135  | 555.4      | 1077.7| 250.0        | 150.0-424.4      |
|          | Frequency of replacement  | 2330  | 2.4        | 1.8   | 2.0          | 1.0-3.0          |
|          | Annual cost per person    | 1878  | 314.3      | 706.6 | 128.0        | 68.3-250.0       |
|          | OK and RGP lenses         | 57    | 5902.4     | 3511.0| 5665.0       | 2575.0-8500.0    |
|          | Frequency of replacement  | 53    | 1.8        | 1.1   | 2.0          | 1.0-2.0          |
|          | Annual cost for lenses    | 45    | 4189.3     | 4042.3| 3250.0       | 1500.0-4500.0    |
|          | Annual running costs      | 56    | 1610.4     | 1093.2| 1500.0       | 720.0-2100.0     |
|          | Annual cost per person    | 40    | 5822.1     | 4504.4| 4870.0       | 2393.8-7700.0    |
|          | Soft contact lenses       | 413   | 1740.2     | 2355.5| 1500.0       | 480.0-2100.0     |
|          | Spectacles                | 1627  | 470.9      | 690.6 | 273.2        | 200.0-412.0      |
|          | Frequency of replacement  | 1645  | 2.3        | 1.8   | 2.0          | 1.0-3.0          |
|          | Annual cost per person    | 1308  | 303.0      | 550.8 | 152.3        | 77.3-300.0       |
|          | OK and RGP lenses         | 38    | 6465.6     | 2739.8| 5665.0       | 4635.0-8500.0    |
|          | Frequency of replacement  | 39    | 1.6        | 0.9   | 2.0          | 1.0-2.0          |
|          | Annual cost for lenses    | 30    | 4007.5     | 2344.7| 3390.4       | 2317.5-5500.0    |
|          | Annual running costs      | 47    | 1703.0     | 1194.2| 1500.0       | 720.0-2700.0     |
|          | Annual cost per person    | 27    | 5669.7     | 3059.5| 6132.5       | 2853.5-8050.0    |
|          | Soft contact lenses       | 156   | 1268.1     | 1462.6| 1020.0       | 480.0-1500.0     |

OK – orthokeratology, RGP – rigid gas permeable, CNY – Chinese yuan, SD – standard deviation.

Annual cost for using medical health services are listed in Table 4. Compared with the indirect cost, such as accompanying cost, the direct cost, including direct medical cost and traffic cost were relatively small in this section. The mean of direct medical cost (F = 7.22, P < 0.01), direct traffic cost (F = 8.11, P < 0.01), and cost of working time loss (F = 3.69, P = 0.03) were significantly different among the three provinces.

In Anhui, Shanghai and Yunnan, a total of 18, 17 and 16 myopic persons underwent myopic laser surgery in the most recent 5 years. The annual surgery rate was 0.19%, 0.15% and 0.21% in Anhui, Shanghai and Yunnan, respectively using the number of myopic persons in the university students and the parents’ population as the denominator.

Table 4. Annual cost for using medical health service in hospital*

| Province | Variable                  | No.   | Mean (CNY) | SD    | Median (CNY) | Percentile (CNY) |
|----------|---------------------------|-------|------------|-------|--------------|------------------|
| Anhui    | Medical cost              | 577   | 109.5      | 246.1 | 4             | 0-80             |
|          | Traffic cost              | 577   | 37.1       | 181.1 | 0             | 0-15             |
|          | Cost of working time loss | 541   | 38.0       | 138.2 | 0             | 0-25             |
|          | Cost of accompanying      | 505   | 263.2      | 1026.9| 75            | 25-150           |
| Shanghai | Medical cost              | 553   | 154.8      | 281.7 | 40            | 0-170            |
|          | Traffic cost              | 553   | 22.1       | 56.8  | 4             | 0-20             |
|          | Cost of working time loss | 526   | 71.0       | 308.8 | 0             | 0-60             |
|          | Cost of accompanying      | 498   | 484.0      | 3245.5| 180           | 60-360           |
| Yunnan   | Medical cost              | 673   | 170.2      | 325.9 | 20            | 0-180            |
|          | Traffic cost              | 673   | 59.5       | 203.3 | 2             | 0-50             |
|          | Cost of working time loss | 638   | 89.1       | 430.4 | 0             | 0-30             |
|          | Cost of accompanying      | 590   | 512.5      | 1486.5| 150           | 60-360           |

*Cost of working time loss were calculated as the hours off from work multiply the average wages per hour in 2016 in each province. For participants who underwent myopia surgery in the most recent year, the cost of using medical health service filled in this section was not calculated to avoid double calculation. For students of primary school, middle school and high school, cost of working time loss was not calculated. Cost of accompanying was calculated as the hours off from work multiply the average wages per hour in 2016 in each province and the number of people accompanying.
The cost for myopic laser surgery was presented in Table 5. The medical cost included the cost for surgery, preoperative examinations, eye drops, follow-up and treating complications. No significant differences of the costs were found among the three provinces.

Utilization of institutions outside hospital and the cost

Within the last year, 24.0% (671/2796), 11.8% (376/3175), and 24.6% (575/2333) visited other institutions (outside the hospital) due to myopia problems, in Anhui, Shanghai, and Yunnan, respectively.

The annual cost was listed in Table 6. Indirect cost, which consisted of cost of working time loss and cost of accompanying, were higher than direct cost in all the three provinces. The mean of direct cost (F = 11.67, P < 0.01) and cost of working time loss (F = 14.72, P < 0.01) were significantly different among the three provinces.

Prevention of myopia and the cost

In Anhui, the proportion of children who spent money for prevention of myopia in the most recent year was 14.8% (99/669), 8.0% (51/641) and 5.3% (12/225) in children aged 5-9, 10-14 and 15-19 years, respectively. In Shanghai, the proportion was 15.3% (88/576), 7.6% (31/406) and 4.0% (9/223) in children aged 5-9, 10-14 and 15-19 years, respectively. In Yunnan, the proportion is 2.8% (16/577), 5.8% (41/703) and 5.1% (17/331) in 5-9, 10-14 and 15-19 years, respectively. The average annual costs for preventing myopia were 424.6 CNY (standard deviation (SD) = 376.8 CNY), 568.2CNY (SD = 407.5 CNY) and 386.9 CNY (SD = 386.6 CNY) in Anhui, Shanghai, and Yunnan respectively. Most of the students purchased eye protection lamp and equipment to correct seating posture.

Annual cost of treatment and prevention of myopia in urban areas of China

The cost was extrapolated for those 5 to 50 years old in the urban areas of China to explore the economic burden. It was estimated that there were 96.1 million, 31.7 million, and 15.8 million persons with myopia in the East, Middle, and West of urban China; while there were 74.7 million, 47.8 million, and 28.2 million persons without myopia in the East, Middle, and West of urban China. The national annual cost of myopia treatment and prevention classified by the middle, east and west part are shown in Table 7. Cost of correction accounted for the largest percentage (83.5%) in total cost of treatment and prevention. Cost of soft contact lenses composed the largest percent (49.6%) in the cost of correction, which was 41.4% of the to-
tual cost of treatment and prevention. The direct cost accounted for 90.5% of the total cost of treatment and prevention. The cost in the east part of China accounted for 77.6% of the total cost of treatment and prevention in the urban China (Table 7).

Linear regression analysis showed that the total direct cost of treatment of myopia, including the cost of correction, the direct cost of treatment of myopia in medical institution and in non-medical institution, was associated with province, gender and family income (all $P<0.0001$), but not associated with family size ($P=0.18$). Compared with Anhui Province, the total direct cost of treatment of myopia in Shanghai and Yunnan Province increased by 270.5 CNY (95% CI = 209.0 to 332.1, $P<0.0001$) and 257.7 CNY (95% CI = 194.5 to 320.9, $P<0.0001$), respectively. The cost for male was 193.2 CNY (95% CI = -242.6 to -143.9, $P<0.0001$) lower than that for female. Each year, the increase in age resulted in reduction of the annual cost by 13.7 CNY (-20.0 to -7.4, $P<0.0001$). The higher the total family income was associated with the higher the annual cost ($P<0.0001$).

The total annual cost of correction and treatment (except for the cost of prevention) divided by the total myopia population in urban China, indicates that the annual cost per person is 458 CNY (69US$) for person with myopia. For non-myopic children, the annual cost per person is 35 CNY (5US$), based on the value of total cost of prevention divided by the population of non-myopia.

### Table 7. Annual cost of myopia treatment and prevention in the urban areas of China *

|                      | Middle | East   | West   | Total | Proportion (%) |
|----------------------|--------|--------|--------|-------|----------------|
| Correction           |        |        |        |       |                |
| Spectacles           | 2 785 861.946 | 10 770 782.5 | 2 161 653.36 | 15 718 295.734 |
| OK or RGP            | 1 026 824.618 | 9 297 406.19 | 2 107 280.59 | 12 431 511.40 |
| Soft lens            | 1 531 867.470 | 24 502 435.20 | 1 686 764.53 | 27 703 067.20 |
| Hospital             |        |        |        |       |                |
| Direct               | 25 034 650 | 1 049 212.38 | 26 198 668 | 51 632 530 |
| Indirect             | 500 069.009 | 44 570 621.64 | 457 364.587 | 597 698.137 |
| Total                | 5 257 272.59 | 5 257 272.59 | 6 798 092 | 18 333 627 |
| Surgery              |        |        |        |       |                |
| Direct               | 269 846.285 | 21 068.608 | 27 728 019 | 31 076 856 |
| Indirect             | 498 624.771 | 7 743 912.75 | 4 296 898.34 | 12 231 237 |
| Total                | 7 743 912.75 | 7 743 912.75 | 17 045 311 | 32 533 145 |
| Outside hospital     |        |        |        |       |                |
| Direct               | 3 842 138.00 | 498 624.77 | 3 484 276.97 | 1 187 941 |
| Indirect             | 5 022 307.00 | 7 743 912.75 | 4 296 898.34 | 12 294 491 |
| Total                | 8 064 435.00 | 11 604 345.75 | 8 041 635 | 26 710 405 |
| Prevention           |        |        |        |       |                |
| Direct               | 2 323 333.04 | 4 757 110.79 | 6 735 636.20 | 13 815 180 |
| Indirect             | 10 492 312.37 | 4 311 076.77 | 9 882 256.53 | 24 665 645 |
| Total                | 12 815 645.38 | 11 058 187.56 | 16 617 913 | 40 538 745 |

OK – orthokeratology, RGP – rigid gas permeable
*All presented in Chinese yuan (CNY).

### Annual cost of productivity loss by myopia

There was no statistical difference in the proportion of visual impairment between the age groups in Anhui ($\chi^2 = 29.2, P = 0.21$) and Yunnan ($\chi^2 = 28.4, P = 0.24$), while there was a statistical difference in the proportion of visual impairment between the age groups in Shanghai ($\chi^2 = 132.4, P < 0.01$). There was significant difference in the proportion of visual impairment among the three provinces ($\chi^2 = 72.1, P < 0.01$) (Figure 2). Combining the number of myopia patients calculated above, among urban residents aged 20 to 50 years in the Middle urban China, the number of patients with the mild to moderate visual impairment caused by myopia was 7.9 million, and the number of patients with severe visual impairment to blindness was 1.3 million. In the East, the number of patients with the mild to moderate visual impairment caused by myopia was 19.1 million, and the number of patients with severe visual impairment to blindness was 3.6 million. In the West the number of patients with the mild to moderate visual impairment caused by myopia was 3.9 million, and the number of patients with severe visual impairment to blindness was 0.4 million. Furthermore, in the population aged 20 to 50 years in urban China, the number of patients with the mild to moderate visual impairment caused by myopia was 24.8 million, and the number of patients with severe visual impairment to blindness was 4.1 million. The cost of loss of productivity was estimated to be 44.5 billion CNY for those with mild to moderate visual impairment, and 62.2 billion CNY for those with severe visual impairment to blindness.
Total annual cost of myopia in urban China

Combing the annual cost of treatment and prevention of myopia and the annual cost of loss of productivity, the total annual cost of myopia was 173.6 billion CNY for urban Chinese people aged 5 to 50 years, accounting for about 0.2% of the country’s gross domestic product in 2016. The composition of the total annual cost of myopia was presented in Figure 3. The cost of productivity loss accounted for the largest percentage (61.5%) in the total annual cost, among which, the cost of productivity loss by severe visual impairment to blindness accounted for 35.8%, and the cost of productivity loss by mild to moderate visual impairment accounted for 25.6%. The cost of myopia correction accounted for 32.2% of the total cost.

Sensitivity analyses

After increasing and decreasing the prevalence of myopia by 10%, the annual cost of treatment and prevention of myopia changed from 60.4 billion CNY (9.2 billion US$) to 74.0 billion CNY (11.2 billion US$); the annual cost of loss of productivity by mild to moderate visual impairment changed from 40.0 billion CNY (6.1 billion US$) to 48.9 billion CNY (7.4 billion US$); and the annual cost of loss of productivity by severe visual impairment to blindness changed from 55.9 billion CNY (8.5 billion US$) to 68.4 billion CNY (10.4 billion US$). Floating the per capita annual income loss1 by 10% changed the annual cost of loss of productivity by mild to moderate visual impairment from 40.0 billion CNY (6.1 billion US$) to 48.9 billion CNY (7.4 billion US$). Floating the per capita annual income loss2 by 10% varied the annual cost of loss of productivity by severe visual impairment to blindness from 55.9 billion CNY (8.5 billion US$) to 68.4 billion CNY (10.4 billion US$). Altering discount rate to 0% and 5%, the cost varied from 66.5 billion CNY (10.1 billion US$) to 67.3 billion CNY (10.2 billion US$). Therefore, the sensitivity analyses suggested the cost could float from 156.3 billion CNY (23.7 billion US$) to 191.3 billion CNY (29.0 billion US$). Myopia prevalence was the most important factor affecting the economic burden (Figure 4).
DISCUSSION

The extrapolated annual cost of myopia in urban China is 173.6 billion CNY (26.3 billion US$) in the 5- to 50-year-olds. The annual cost is 35 times the annual cost of myopia in the whole Singapore (755 million US$) [10,11] and is still higher than the annual cost of correction for distance vision in all Americans aged 12 years and older (3.9-7.2 billion US$) [12]. In 2016, the annual cost of myopia in urban China accounted 0.23% of the gross domestic product [19]. Compared with other common diseases, the economic burden of myopia could rank in the top of the list in Mainland China [20-23]. Since we only calculated the cost in urban China, the cost of myopia in whole China could be much larger than the presented value, if it would include the cost of rural areas as well.

Despite the extensive total cost, the personal cost was quite trivial. The annual cost of myopia treatment and prevention per person is 458 CNY (69US$) for those with myopia and 35 CNY (5US$) for non-myopic children. In 2016, the annual income is 23821 CNY in Mainland China, and 33616 CNY in urban areas [19]. The cost per capita in urban China is relatively low compared with that of Singapore (83US$ for myopic children and adolescents, and 709US$ for adults older than 40 years) [10,11]. In the United States, the median cost of a pair of spectacles was 92 to 180US$ [12]. Based on the present data, the estimated population with myopia is 31.7 million, 96.1 million and 15.8 million in the urban areas of middle, east and west part of China, respectively. Therefore, the higher annual cost in the urban China could resulted from the large size of population with myopia, which also explained the large percentage (77.6%) of the total cost in east urban China.

In urban areas, for myopic person, the correction rate was over 85%, which was relatively high compared with that in rural areas [15,24]. However, utilization of medical service is not relatively low. About 23.8%, 16.5%, and 37.4% of myopic children went to visit hospitals in the past one year of the study period in Anhui, Shanghai and Yunnan. Use of OK lenses and RGP lenses were also small proportions, ranging from 0%-9.1% in people younger than 20 years. Wearing orthokeratology lenses could retard the progression of myopia compared with wearing spectacles [23], hence preventing future incidence of high myopia. The data indicated that most myopia have only corrected the refractive error without go to doctors for treatment to retard the progression of myopia. The medical services have not been thoroughly used to control the myopia progression, which could result in the increasing prevalence of high myopia [26].

In the present study, the most economical way to correct myopia could be using spectacles. The annual cost per person was cheaper compared with using orthokeratology or RGP lenses and using soft contact lenses. In European countries, economic evaluation has shown that compared with contact lenses, myopia surgery is cost-effective technology to correct myopia in a long run [7,8]. In the present study, the mean cost of myopia surgery ranged from 10759 CNY to 16570 CNY, the mean cost of using spectacles ranged from 155 CNY to 314 CNY, and the mean cost of wearing soft contact lenses ranged from 951 CNY to 1740 CNY. Using the median value to calculate, the cost of myopia surgery equals to about 10 years of using soft contact lenses and 58 years of using spectacles.

In addition to calculating the cost of treatment and prevention of myopia, our study also calculated the cost of loss of productivity caused by the impaired vision associated with myopia, and confirmed that the cost incurred by the latter was much more serious than the former. It was estimated that the global potential productivity loss associated with the burden of myopia related visual impairment in 2015 was about 250 billion US$ using review, meta analyses, and modeling, however, the study didn’t calculate the direct cost by myopia[4]. The present study estimated that the annual cost of loss of productivity was 16.1 billion US$, accounting for the 61.5% of the total annual cost. Although using different calculation methods and the different investigation population, the results of our study cannot be directly compared with the previous study [4], the present study verified the hypothesis that the cost by loss of productivity could be substantially larger than the cost of treatment and prevention of myopia. Therefore, the focus of myopia prevention and control should be the prevention of visual impairment caused by myopia, including uncorrected refractive error, and irreversible visual impairment caused by high myopia. How to prevent myopia, how to improve the myopia correction rate and how to control the development of myopia into high myopia should be the key issues to decrease the myopia disease burden.
This is the first nationwide study to calculate the burden of myopia in mainland China. The study collected both direct and indirect costs, and compared their compositions. Meanwhile, it is also the first investigation on the utilization of myopia medical services. The results provided an important basis for the formulation of China’s myopia prevention and control policy, and point out the main focus of myopia prevention and control. In addition, the results also have certain reference value for the prevention and control of global myopia, especially for East Asia or other countries with similar level of economic development as China. Areas with low prevalence of myopia should also beware of the increase in the prevalence of myopia and control its prevalence in time, because the disease burden of myopia to both society and individuals is tremendous.

There are some limitations in the present study. First, the study population was younger than 50 years, which is younger than the common age of onset of high myopia related complications [27], and thus the related costs were not calculated. The complications caused by high myopia usually lead to severe visual impairment and even blindness, and potentially large treatment cost, such as cost for anti-VEGF injections, vitrectomy surgery and low vision rehabilitation services [28,29]. The global annual cost of productivity loss due to myopic macular degeneration, one of the visually impaired complications of high myopia, was estimated to be 6 billion US$ [4]. Therefore, the present study underestimated the economic burden of myopia if calculated in the general population. Second, the self-reported questionnaire cannot avoid false declaration of utilization and cost, despite that quality control was done while filling the questionnaires and was checked again upon the completion of the questionnaires. Also, the self-reported myopia will cause some errors in the estimation of the prevalence of myopia and visual impairment, since the gold standard for diagnosing myopia is cycloplegic refraction [30]. Our study has possibly underestimated the prevalence of myopia, especially for children [31,32], since the awareness rate of myopia was only about 60% in Chinese parents [33]. However, the lower self-reported myopia prevalence may not have substantial influence on the calculation of cost. Since if the parents don’t know their children have myopia, cost associated with myopia correction and treatment may not incur. In the sensitivity analyses, we increased the prevalence by 10%, and the total cost increased by 10% as well. With the increasing prevalence of myopia, the disease burden of myopia could become heavier and heavier. Third, for the population older than 24 years, the study included the students’ parents, which could cause bias in the results. However, there is no evidence that family with children could differ in the use of health service from those without children. In addition, because of the availability of data, we only collected the cost of myopia for the urban population aged 5-50 years, without collecting the rural population. This part of the population may cause more economic losses due to uncorrected refractive errors. Last but not the least, despite that the random cluster sampling method was used to obtain representative sample, and the national census data was used to correct the diverse population structure in different parts of China, potential bias may also exist when extrapolating the results to the whole urban China.

CONCLUSIONS

The present study presented a large economic burden of myopia in urban China. The major reason for the burden is the large population of myopia person. The main composition of the cost came from the cost of productivity loss due to myopia, and the cheapest way to correct myopia is using spectacles. Therefore, strategies are in urgent need to control the prevalence of myopia and visual impairment caused by myopia. Children who are already myopic should be encouraged to accept formal medical diagnosis and treatment, such as atropine drops and orthokeratology lenses, to retard progressing into high myopia. Government needs to consider strategies to rationally allocate the medical resources to decrease the myopia related burden.

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