Brain 5-HT Deficiency Prevents Antidepressant-Like Effects of High-Fat-Diet and Blocks High-Fat-Diet-Induced GSK3β Phosphorylation in the Hippocampus

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Obesity is associated with an increased risk of depression and anxiety disorders, but the nature of the relationship(s) between obesity and mental illness remains highly controversial. Some argue that depression and anxiety lead to increased consumption of “comfort foods,” the intake of which reduces negative affect and promotes obesity. In contrast, others have theorized that negative affect results from chronic excessive consumption of highly palatable foods. The brain serotonin (5-HT) system has long been implicated in both the development and treatment of mental illness. Preclinical studies have shown that low brain 5-HT exacerbates depression- and anxiety-like behaviors induced by stress and blocks reductions in depression-like behavior induced by antidepressants, but the effects of brain 5-HT deficiency on responses to high-fat diet (HFD) have not been explored. The current work used genetically modified mice to evaluate the effects of low 5-HT on behavioral and molecular alterations induced by chronic exposure to HFD. Our results reveal that HFD decreases depression-like behavior and increases some anxiety-like behaviors in wild-type (WT) mice. However, genetic brain 5-HT deficiency blocks HFD-induced reductions in forced swim immobility and prevents HFD-induced increases in hippocampal GSK3β phosphorylation despite having no significant effects on HFD-induced changes in body weight or anxiety-like behavior. Together, our results suggest that brain 5-HT deficiency significantly impacts a subset of behavioral and molecular responses to HFD, a finding that could help explain the complex relationships between obesity and mental illness.

Keywords: serotonin, depression, anxiety, mouse model, high fat and calories diet

INTRODUCTION

Major depressive disorder is currently ranked by the Global Burden of Disease Report as the second leading cause of worldwide disability (Ferrari et al., 2014), and anxiety disorders are debilitating conditions that affect approximately 20% of the US population (Kessler et al., 2012). Although there are numerous factors that likely contribute to the development of these
mental illnesses, accumulating data indicate that obesity can increase the risk of both depression (Luppono et al., 2010; Li et al., 2017) and anxiety disorders (Gariepy et al., 2010). Despite the high degree of comorbidity between obesity and affective disorders (Faith et al., 2002; Stunkard et al., 2003), the effects of obesity on depression- and anxiety-like behaviors remain debated. Several recent preclinical studies have reported that high-fat diet (HFD) can increase anxiety- and depression-like behaviors across a range of species, including nonhuman primates (Sullivan et al., 2010), mice (Sharma and Fulton, 2013; Strekalova et al., 2015; Almeida-Suñé et al., 2017; Bridgewater et al., 2017; Kurhe et al., 2017; Wu et al., 2018; Xu et al., 2018; Ogrodnik et al., 2019), and rats (Abildgaard et al., 2011; Aslani et al., 2015; Dutheil et al., 2016; Rebollo-Solleiro et al., 2017; Alonso-Caraballo et al., 2019). However, multiple other reports have noted reductions in depression- and/or anxiety-like behavior following chronic consumption of HFD (Maniam and Morris, 2010a,b; Finger et al., 2011; Dornellas et al., 2018). Although the reasons for these discrepant findings are currently unknown, it is likely that genetic factors could influence behavioral responses to HFD. To evaluate the impact of genetically induced brain 5-HT deficiency on changes in body weight and depression- and anxiety-like behaviors following chronic HFD, the current work examined the tryptophan hydroxylase 2 (Tph2) R439H knock-in (KI) mouse line, which harbors a partial loss-of-function mutation in the brain 5-HT synthesis enzyme, Tph2 (Beaulieu et al., 2008). Homozygous KI animals from this line have 60–80% less brain 5-HT than their homozygous wild-type (WT) littermates (Beaulieu et al., 2008; Jacobsen et al., 2012). These animals have been shown to exhibit increased susceptibility to anxiety- and depression-like behavior induced by stress (Sachs et al., 2015), but whether low levels of brain 5-HT alter behavioral responses to other potential environmental risk factors for mental illness (such as HFD) has not been established.

The mechanisms through which HFD might influence depression- and anxiety-like behaviors are not completely understood, but preclinical work has suggested a potential role of HFD-induced alterations in GSK3β signaling (Papazoglou et al., 2015; Wakabayashi and Kunugi, 2019) and brain inflammation (Dutheil et al., 2016; Wu et al., 2018). In particular, the upregulation of several pro-inflammatory cytokines in the brain, including interleukin-1β (IL-1β; Almeida-Suñé et al., 2017) and interleukin-6 (IL-6; Wakabayashi and Kunugi, 2019), has been implicated in murine behavioral responses to HFD. Dysregulation of GSK3β (Jope, 2011; Karege et al., 2012; Ren et al., 2013; Ronai et al., 2014; Chen et al., 2015) and inflammation (Syed et al., 2018; Giridharan et al., 2019; Opel et al., 2019; Osimo et al., 2019) have both been identified in clinical studies examining psychiatric patients as well, thus supporting their likely importance in behavioral dysfunction. Given that both brain inflammation (Lu et al., 2017; Khodanovich et al., 2018) and GSK3β activity (Li et al., 2004; Beaulieu et al., 2008) are known to be influenced by brain 5-HT levels, the current work examined whether low 5-HT impacts the effects of HFD on GSK3β phosphorylation or the mRNA expression of several genes involved in inflammation. Although 5-HT could influence HFD responses through both peripheral and central mechanisms, the use of Tph2KI mice limits the present study’s focus on central mechanisms. Although the inhibition of peripheral 5-HT synthesis has been shown to lead to resistance to HFD-induced obesity (Crane et al., 2015) and can attenuate HFD-induced depression-like behavior (Pan et al., 2019), the current study is the first to evaluate the impact of genetically induced brain 5-HT deficiency on behavioral and molecular responses to HFD.

**METHOD**

**Animals**

The male homozygous WT and homozygous KI animals from the Tph2R439H mouse line used for this study were generated via heterozygous breeding at Villanova University. This line has been backcrossed to the C57BL/6 line for 10 generations, and littermates were used as controls. Adult mice were used for all experiments, and HFD exposure began when mice were 2–4 months of age. There were no differences in the average age of mice in any of the treatment groups. All studies were performed in accordance with protocols that were approved by the Institutional Animal Care and Use Committee (IACUC).

**Diet and Housing**

All mice were fed Envigo’s Teklad Global Diet (standard natural ingredient diet: ID #2019, 19% protein, 9% fat, and 3.3 kcal/g) from the time of weaning until the start of HFD exposure. The HFD groups were fed Envigo’s Teklad Custom Diet (adjusted fat diet: ID #95217, 18.8% protein, 39.7% fat, and 4.3 kcal/g) for a total of 22 weeks, whereas the standard diet (SD) groups continued receiving Envigo’s #2019 diet throughout the study. Food and water were available ad libitum, and the animals were housed in a temperature- and humidity-controlled room. Mice were group housed (three to five per cage), maintained on a 12-h light-dark cycle, and weighed weekly throughout the course of the experiment. Behavioral testing began after the mice had been on HFD (or SD) for 22 weeks. The order of testing was as follows: open field test (OFT), week 20, Friday; elevated plus maze (EPM), week 21, Monday; and forced swim test (FST), week 21, Friday. Behavioral testing was conducted during the light phase of the light-dark cycle, typically starting ~5 h prior to the start of the dark phase.

Separate cohorts of WT and KI mice were singly housed to allow for measurements of food intake. Twenty-four-hour consumption of SD and HFD was measured once weekly.

**Open Field Test**

For the OFT, exploration and locomotor activity were assessed in 40 cm × 40 cm plexiglass ANY-box activity chambers (Stoeling, Wood Dale, IL, USA), with a center square measurement of 20 cm × 20 cm. Each mouse was placed into a corner of the open field, and its movement and location were recorded for 20 min with overhead digital cameras. The total distance traveled,
the distance traveled in the center, the number of entries to the center, and the time spent in the center were all calculated using ANY-maze software.

**Elevated Plus Maze**

For the EPM, the location and activity of mice in the apparatus were measured using ANY-maze animal tracking software. The time spent and distance traveled in the open arms, the closed arms, and entire apparatus were determined for each mouse.

**Forced Swim Test**

The FST was performed essentially as we have previously reported (Sachs et al., 2014a). Briefly, mice were placed in a 4-L beaker filled with approximately 2,500 ml of 25°C water, where they remained for 6 min. The behavior of each mouse was recorded from above using a camera suspended above the beaker. ANY-maze software was used to measure the amount of time that each mouse spent immobile and the number of immobile episodes.

**Gene Expression Analysis**

One week following the FST, mice were killed by cervical dislocation and decapitation, after which the brains were removed and sectioned into 1-mm-thick sections using a brain matrix. Bilateral tissue punches (1.5 mm in diameter and 1 mm in thickness) were taken from the hippocampus (centered approximately ±1.0 mm medio-lateral (ML), −2.0 mm anterior–posterior (AP), −1.7 mm dorso-ventral (DV) relative to Bregma) and immediately frozen on dry ice and transferred to a −70°C freezer until further processing. RNA was isolated from the tissue samples collected from the hippocampus of the left hemisphere using the Ambion PureLink RNA Mini Kit, according to the manufacturer’s instructions. RNA was frozen at −70°C until further processing.

Reverse transcriptions were performed using the Thermo Scientific Maxima First Strand cDNA Synthesis Kit, according to the manufacturer’s instructions. Real-time polymerase chain reaction (RT-PCR) was performed as we have described previously (Sachs et al., 2018) using the PowerUp Sybr Green Master Mix rt-PCR kit (Applied Biosystems, Foster City, CA, USA) according to the manufacturer’s instructions. Primer sequences were selected from PrimerBank (Wang et al., 2012): GAPDH forward: 5’-CATGTTCCAGTATGACTC CACTG-3’; GAPDH reverse: 5’-GGGCTCACCCCATTTGAT GT-3; complement C4A forward: 5’-GATGCAAAGACGTGA GTGTC-3; complement C4A reverse: 5’-CCCTTTAGGCCAC AATTTCAGG-3; IL-1β forward: 5’-TCTAGGCGAGGCGAT ATCAGT-3; IL-1β reverse: 5’-GAAGTCCAGGGGAAAGA CAC-3; IL-6 forward: 5’-TCTATACTTCACAAGTGG A-3; IL-6 reverse: 5’-GATTGCCATTGCCAACACTCTTT-3; ionized calcium binding adaptor molecule 1 (IBA1) forward: 5’- ATGGAACAGGAGGATCCGGATG-3; IBA1 reverse: 5’-CA GCATTGGCTTCAAGGACATA-3; GSK3β forward: 5’-ACAG GCCACAGGAGTCACT-3; GSK3β reverse: 5’-GATGGCACA CCAGTTTCTCCAG-3.

**Western Blotting**

Hippocampal tissue punches from the right hemisphere were processed for Western blotting essentially as we have described previously (Sachs et al., 2014b). Briefly, samples were lysed in ice-cold lysis buffer (1% Triton, 1 mM of EDTA, 150 mM of NaCl, and 20 mM of Tris–HCl) with protease and phosphatase inhibitors added. A small aliquot was used for protein determinations using bichinonic acid (BCA) assay kits (Boston Bio Products, Ashland, MA, USA). Equal amounts of protein were loaded onto TGX gels for electrophoresis. Proteins were then transferred to polyvinylidene difluoride (PVDF) membranes, and Western blotting was performed using the following antibodies: rabbit anti-phosphorylated GSK3β (Cell Signaling, #9323, 1:300 dilution), mouse anti-total GSK3β (Cell Signaling, #9832, 1:300 dilution), and rabbit-anti-GAPDH as a loading control (Cell Signaling, #5174, 1:300 dilution).

**Statistical Analysis**

For weight gain, SPSS software was used to conduct a three-factor ANOVA with two between-subjects factors (i.e., genotype and diet) and one within-subjects repeated-measures factor (i.e., time). All other data were analyzed by two-way ANOVA with genotype and diet as factors using JMP software.

![Figure 1](https://example.com/figure1.png)

**FIGURE 1** | Body weights of wild-type (WT) and knock-in (KI) mice on standard diet (SD) and high-fat diet (HFD). (A) Quantification of average body weights for each group over time. (B) Calculation of average percent body weight gain over the 21-weeks period for each group. (C) Average daily food intake in mice on SD or HFD. Results are expressed as the mean, and error bars indicate standard error. ** Indicates main effect of diet by two-way ANOVA, p < 0.05. N = 6–11 per group.
RESULTS

High-Fat-Diet-Induced Weight Gain

A between-subjects two-by-two ANOVA with diet and genotype as factors revealed a significant effect of diet on weight ($F_{(1,30)} = 19.6, p < 0.0001$, Figure 1A) but no effect of genotype ($F_{(1,30)} = 0.001, p = 0.97$, Figure 1A). A within-subjects repeated-measures analysis revealed a significant effect of time on body weight ($F_{(21,10)} = 69.2, p < 0.0001$, Figure 1A) and a significant time by diet interaction ($F_{(21,10)} = 15.0, p < 0.0001$, Figure 1A). Chronic exposure to HFD led to a significantly greater increase in body weight in both WT and KI animals than did continued exposure to SD, but no significant genotype differences in body weight were observed at any time point (Figure 1A). As expected, animals treated with HFD increased their weights by a significantly higher percentage than SD-fed mice over the course of the experiment ($F_{(1,30)} = 34.98, p < 0.0001$, Figure 1B). Comparisons of 24-h food intake in WT and KI mice consuming either SD or HFD revealed no significant genotype differences in consumption, but mice ate significantly more HFD per body weight compared with SD (main effect of diet by two-way ANOVA, $F_{(1,24)} = 5.88, p = 0.023$, Figure 1C).

Open Field Test

For the OFT, there was a main effect of diet, with HFD decreasing the distance traveled in the center of the field (main effect of diet; $F_{(1,35)} = 5.58, p = 0.024$, Figure 2A) and decreasing the number of entries made to the center of the field (main effect of diet; $F_{(1,35)} = 6.29, p = 0.019$, Figure 2B). However, there was no significant overall effect of diet on locomotor activity ($F_{(1,35)} = 1.20, p = 0.28$, Figure 2C), suggesting that the observed effects on distance in the center and the number of entries to the center are not solely the result of decreased locomotor activity. Despite the reductions in entries to the center and distance in the center, there was no significant effect of diet on the amount of time spent in the center of the open field ($F_{(1,35)} = 2.86, p = 0.099$, Figure 2D). No significant effects of genotype and no genotype by diet interactions were observed.

Elevated Plus Maze

In the EPM test, KI mice traveled a shorter distance overall than did WT animals (main effect of genotype; $F_{(1,35)} = 13.09, p = 0.0009$, Figure 2E). KI mice also traveled a shorter distance in the closed arms of the maze (main effect of genotype; $F_{(1,35)} = 4.33, p = 0.045$, Figure 2F) and a shorter distance in the open arms of the maze (main effect of genotype; $F_{(1,35)} = 9.83, p = 0.004$, Figure 2G) than did WT. However, there was no significant genotype difference in the amount of time spent in the open arms ($F_{(1,35)} = 3.13, p = 0.086$ for effect of genotype, Figure 2H). No significant effects of diet and no genotype by diet interactions were observed.

Forced Swim Test

For the FST, no significant main effects of diet or genotype were observed. However, there were significant genotype
FIGURE 3 | Effects of chronic HFD on WT and KI mice on the expression of inflammation-related genes in the hippocampus. (A) Hippocampal expression of interleukin-1β (IL-1β). (B) Hippocampal expression of interleukin-6 (IL-6). (C) Hippocampal expression of IBA1. (D) Hippocampal expression of C4a. All data are normalized to GAPDH. Results are expressed as the mean, and error bars indicate standard error of the mean. “∆” indicates a main effect of genotype, “*” Indicates a main effect of diet by two-way ANOVA. N = 9–11 per group.

by diet interactions for the number of immobile episodes (F(1,35) = 6.91, p = 0.013, Figure 2I) and for the time spent immobile (F(1,35) = 6.31, p = 0.017, Figure 2J). Post hoc t-tests revealed that HFD led to a significant reduction in immobile episodes in WT mice but had no significant effect in KI animals (Figure 2I). There were no significant genotype differences in FST behavior in mice on SD, but KI mice fed HFD exhibited significantly more immobile episodes and significantly more time spent immobile than did WT mice fed HFD (Figures 2I,J).

Hippocampal Gene Expression

HFD significantly increased the hippocampal expression of IL-1β gene (main effect of diet, F(1,32) = 5.3, p = 0.028, Figure 3A) but had no significant effects of genotype, and no interactions were observed. In contrast, no significant main effects of diet or genotype and no significant interactions were observed for IL-6 (Figure 3B) or IBA1 (Figure 3C) expression. Gene expression analysis revealed that HFD led to a significant increase in the hippocampal expression of the complement 4A (C4A) gene (main effect of diet, F(1,32) = 6.99, p = 0.013, Figure 3D). In addition, KI mice were observed to exhibit significantly lower levels of C4A than were WT mice (main effect of genotype, F(1,32) = 4.33, p = 0.046, Figure 3D), but no interactions were observed.

Hippocampal GSK3β Signaling

No significant main effects of genotype or diet were observed on the phosphorylation of GSK3β in the hippocampus. However, a significant genotype by diet interaction was observed on the hippocampal level of phosphorylated GSK3β relative to total GSK3β (F(1,30) = 4.74, p = 0.0375, Figure 4A). Post hoc analyses revealed that HFD led to a significant increase in GSK3β phosphorylation in WT mice, but not Tph2KI animals. No significant alterations in the mRNA levels of GSK3β in the hippocampus were observed (Figure 4B).

DISCUSSION

The current study shows that HFD led to a significant reduction in immobile episodes in WT mice in the FST, a finding that is consistent with prior studies showing that HFD can lead to therapeutic-like effects (Maniam and Morris, 2010a,b; Finger et al., 2011; Dornellas et al., 2018). The fact that KI animals did not exhibit this response suggests that brain 5-HT may be required for some antidepressant-like effects of HFD. This result is generally consistent with the idea that brain 5-HT plays an important role in antidepressant-like responses, which has also been supported by our previous studies demonstrating impaired fluoxetine responses in Tph2KI mice (Sachs et al., 2013, 2015). Although HFD tended to decrease immobility in WT mice and increase immobility in KI animals, the only HFD-induced alteration in FST behavior that was significant by post hoc testing was the reduction in immobile episodes in WT mice. Because HFD-fed KI mice did not display significantly increased immobility than did either genotype of SD-fed animals, it appears that HFD-fed
KI mice do not exhibit a “depression-like” state. Rather, they simply fail to experience an antidepressant-like response. Importantly, the validity of the FST as a depression-like behavior remains an area of open debate. Indeed, some have argued that immobility time is a more accurate reflection of an acute stress coping strategy than it is a measure of behavioral despair (Commons et al., 2017). Regardless, 5-HT deficiency-induced alterations in either stress coping or behavioral despair following exposure to HFD could have important implications for mental health.

Although several articles have reported increased immobility in the tail suspension test in KI mice compared with WT (Beaulieu et al., 2008; Dzirasa et al., 2013), we have previously shown that KI animals do not exhibit increased immobility in the FST (Sachs et al., 2014a). The reasons underlying these different effects in these closely related tests remain unclear. However, research using Tph2 knock-out mice, which display even more dramatic reductions in brain 5-HT than Tph2KI mice, has shown that knock-out animals do not exhibit increased immobility in either of these tests (Angoa-Pérez et al., 2014).

The current finding that HFD significantly reduced locomotor activity in the center of the open field and the number of center entries in the OFT, but had no significant effects in the EPM, is similar to prior work by Duthell et al. (2016) who also reported significantly increased anxiety in the OFT, but not the EPM, in rats exposed to 16 weeks of HFD. Our EPM results did reveal a nearly 40% reduction in open arm time in WT mice following HFD, suggesting that HFD may not have been completely without effect in this test, but this result did not reach statistical significance by two-way ANOVA. Brain 5-HT deficiency led to significant reductions in the distance traveled in all compartments of the EPM, likely reflecting an overall reduction in exploratory drive in this test. However, this may also reflect an increase in anxiety-like behavior in 5-HT-deficient animals, as distance traveled was affected more in the open arms (≈55% reduction) than in the center area (≈29% reduction) and the closed arms (≈17% reduction). In the OFT, brain 5-HT deficiency did not influence the anxiogenic effect of HFD, and no main effects of genotype were observed. Overall, our behavioral results reveal that HFD tends to promote anxiety-like behavior and reduce depression-like behavior in WT mice and that low 5-HT blocks antidepressant-like responses to HFD.

In addition to our behavioral findings, our study also identified genotype differences in GSK3β signaling following HFD. Specifically, HFD increased phosphorylation of GSK3β only in WT mice, not KI animals, and unlike many kinases, phosphorylation of GSK3β is known to inhibit the enzyme. Interestingly, GSK3β inhibition has been shown to lead to antidepressant-like effects in rodents (Gould et al., 2004), including Tph2KI mice (Beaulieu et al., 2008). In addition, GSK3β inhibition has been shown to be required for some responses to antidepressants (Eom and Jope, 2009) and for antidepressant-like responses to ketamine (Beurel et al., 2011). It is important to note that the current data do not directly demonstrate that HFD-induced hippocampal GSK3β inhibition in WT mice is responsible for the antidepressant-like effect observed here. Indeed, whether the GSK3β alterations are related to the behavioral differences would require additional experimentation. Importantly, the effects of 5-HT on GSK3β have been shown to be brain region and context specific. For example, brain 5-HT deficiency has been reported to lead to a baseline reduction in GSK3β phosphorylation in the frontal cortex, but not hippocampus (Beaulieu et al., 2008). Despite the lack of baseline GSK3β phosphorylation changes in the hippocampus, brain 5-HT deficiency has been shown to block the effects of ethanol on GSK3β phosphorylation in this brain region (Sachs et al., 2014b), an effect that is similar to the blockade of HFD-induced increases in GSK3β phosphorylation observed here. Given the importance of brain GSK3β signaling in the context of mental health (Jope and Johnson, 2004; Gould et al., 2006; Shapira et al., 2007; Jope, 2011), future studies should evaluate the combined effects of HFD and 5-HT deficiency in other brain regions and should evaluate the functional role of GSK3β in mediating behavioral consequences of low 5-HT and HFD.

No significant genotype by diet interactions were observed in the expression of inflammation-related genes in the hippocampus. However, HFD was observed to increase IL-1β levels, which is in keeping with previous work (Almeida-Sunett et al., 2017). HFD also increased the expression of complement C4A, which brain 5-HT deficiency was shown to reduce. The complement system is perhaps best known for its role in innate immunity (Ricklin et al., 2016), but it has also been shown to play a major role in synapse elimination (Stevens et al., 2007) and has been implicated in mental illness, most notably schizophrenia (Sekar et al., 2016; Nimgaoankar et al., 2017; Sellgren et al., 2019). Although no genotype by diet interaction was observed for C4A expression, it is possible that the combination of main effects of 5-HT-deficiency and diet on C4A expression could influence complement-mediated pruning and play an important role in the behavioral responses of Tph2KI mice to HFD, but future research would be required to evaluate this. Importantly, mRNA analyses do not represent a completely comprehensive analysis of brain inflammation, and thus, it is still possible that brain 5-HT deficiency impacts inflammatory responses in the brain, but future research would be required to test this possibility.

A limitation of the current study is that it was conducted exclusively in male animals. Given that we have previously reported sex differences in susceptibility to the effects of stress and brain 5-HT deficiency (Sachs et al., 2014a), future research should evaluate the behavioral consequences of chronic HFD in females. Another limitation is that we do not currently know whether fat content itself is driving the HFD-induced molecular and behavioral changes. Whether other types of highly palatable diets, such as those high in sugar, would lead to similar behavioral changes is not known. Similarly, given that mice consumed more HFD than they did SD, it is also possible that increased consumption of SD would lead to similar effects. Future research examining other diets and pair-fed controls
will be required to provide insight into these remaining issues.

**DATA AVAILABILITY STATEMENT**

The datasets generated for this study are available on request to the corresponding author.

**ETHICS STATEMENT**

The animal study was reviewed and approved by Villanova University’s IACUC committee.

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**AUTHOR CONTRIBUTIONS**

MMK, SG and BS designed the experiments. MMK, MDK, BB, ND, SG and BS performed the experiments. MMK, BB, ND and BS analyzed the data. MMK, MDK, BB and BS wrote the manuscript. All authors approved the final version.

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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