Catalytic Generation of Carbanions through Carbonyl Umpolung

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In memory of Professor Klaus Hafner
Carbonyl Umpolung is a powerful strategy in organic chemistry to construct complex molecules. Over the last few years, versatile catalytic approaches for the generation of acyl anion equivalents from carbonyl compounds have been developed, but methods to obtain alkyl carbanions from carbonyl compounds in a catalytic fashion are still at an early stage. This Minireview summarizes recent progress in the generation of alkyl carbanions through catalytic carbonyl Umpolung. Two different catalytic approaches can be utilized to enable the generation of alkyl carbanions from carbonyl compounds: the catalytic Wolff–Kishner reaction and the catalytic single-electron reduction of carbonyl compounds and imines. We discuss the reaction scope, mechanistic insights, and synthetic applications of the methods as well as potential future developments.

1. Introduction

The concept of Umpolung, first introduced by Wittig[1] and then popularized by Seebach, describes the polarity inversion of a particular functional group in organic chemistry.[2] Umpolung reactions create new reactivity by inverting the natural polarity of common organic functionalities and consequently enable the development of unconventional bond-forming strategies in organic synthesis. With Umpolung tactics, retrosynthetic disconnections can be performed in an inverted manner, permitting the assembly of a target molecule from synthons with both normal and reversed polarities. Over the past few decades, the successful development of numerous C–C bond-forming Umpolung reactions has greatly expanded the repertoire of methods for organic synthesis.

In the case of the carbonyl (C=O) functionality, the electronegativity of the oxygen atom renders the carbon atom of the carbonyl group partially positively charged, which can be attacked by nucleophilic species. In a typical carbonyl Umpolung, the electronic nature of the carbonyl carbon atom is inverted from electrophilic to nucleophilic. This allows chemists to construct new chemical bonds by reacting the newly obtained carbon nucleophiles with various electrophiles. Two types of synthetically valuable anionic synthons are obtained through carbonyl Umpolung: acyl anion equivalents and alkyl carbanionic intermediates (Scheme 1).

Research interest in the generation of acyl anion synthons by carbonyl Umpolung dates back to the cyanide-catalyzed benzoin reaction reported in 1832.[3] However, systematic and more vigorous Umpolung research started with Corey and Seebach, who described the use of dithianes to access acyl anion synthetic equivalents from aldehydes in the 1960s.[4] The development of N-heterocyclic carbene (NHC) catalysis provides another valuable and important addition to the chemistry of acyl anion equivalents, with great efforts devoted to the design and synthesis of novel NHC catalysts that catalyze the carbonyl Umpolung reactions.[5] The three abovementioned Umpolung strategies constitute the most widely used approaches to access acyl anion species from carbonyl compounds. These seminal discoveries mark true breakthroughs in synthetic method development in the past decades and they have stimulated significant activity in designing efficient catalysts for carbonyl Umpolung.

On the other hand, Umpolung strategies can be applied for the generation of alkyl carbanionic species from a C=O moiety. In comparison to its well-explored acyl anion counterpart, the generation of alkyl carbanions by carbonyl Umpolung has received considerably less attention. This type of carbonyl Umpolung holds great synthetic potential considering that the generated alkyl carbanion is a versatile intermediate for the construction of C–C bonds on reacting with electrophiles. The earliest example of this Umpolung dates back to the Wolff–Kishner (WK) reaction, in which carbonyl functionalities are converted into methylene groups.[6] After more than one century since its discovery, the WK reaction and related modified reactions remain an important carbonyl deoxygenation method in modern synthesis.[6a,7] Recent developments have provided new routes for the catalytic generation of carbanionic intermediates through the merger of the WK process and other catalytic reaction systems, such as transition-metal catalysis and photoredox processes.

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Scheme 1. Catalytic generation of carbanions by carbonyl Umpolung.
catalysis. These advances have greatly expanded the application of this century-old reaction and, therefore, have received increasing research interest.

Furthermore, in recent years, new techniques for the catalytic generation of carbanionic species through the single-electron reduction of imines and carbonyl compounds have emerged. Classically, the reduction of imines or carbonyl compounds requires the use of stoichiometric reactants (e.g., low-valent metals)\[8\] or electrochemical methods\[9\] for the reduction. Recent advances have demonstrated that photocatalysis can not only serve as an ideal replacement for the classic reaction system for the $\text{C}=$O/N moiety reduction, but also generate new carbanionic reactivity from carbonyl Umpolung.\[10,11\]

We summarize and discuss in the following sections the catalytic generation of alkyl carbanionic species from carbonyl Umpolung, particularly emphasizing emerging new technologies and trends. We structure our discussion along the two major reaction pathways for the generation of alkyl carbanions from carbonyl compounds: the catalytic WK process (Scheme 1, pathway A) and the catalytic single-electron reduction of imines and carbonyl compounds (Scheme 1, pathways B and C).

### 2. Catalytic Methods for the Generation of Alkyl Carbanions from Carbonyl Compounds

#### 2.1. Catalytic Wolff–Kishner Reaction—Pathway A

Before discussing the catalytic WK process, it is worthwhile to first give an overview of the WK reaction mechanism. In a regular WK process, the reaction proceeds through the formation of hydrazones from a carbonyl compound and hydrazine. A subsequent deprotonation-tautomerization sequence occurs to give the diazene intermediate from the hydrazone. After a second deprotonation, this intermediate rapidly loses molecular nitrogen to give the carbanion, which is then protonated to yield the alkane product (Scheme 2A).\[6a\] The formation of the two key intermediates—a hydrazone and the diazene anion—can considerably influence the course of a WK process. Many elegant modifications, including the well-known Huang-Minlong,\[12\] Barton,\[13\] Cram,\[14\] and Henbest\[15\] procedures, have focused on a more efficient formation of the hydrazone by removal of water from the reaction medium, whereas Caglioti\[16\] and Meyers\[17\] have utilized substituted hydrazones as alternatives to the classical WK reaction, thereby allowing the facile generation of the diazene intermediate. In particular, the use of sulfonyl hydrazone in the Caglioti modification not only allows the WK reduction to occur under mild conditions but also inspired the generation of substituted carbanions by reacting sulfonyl hydrazones with organometallic reagents (e.g., $\text{RLi, RMgBr}$; Scheme 2B).\[18\] This reaction pathway represents one of the earliest pathways for the utilization of the WK process for construction of a $\text{C}/\text{C}$ bond.

Despite being a very effective way of producing carbanions, synthetic applications of the WK reaction have been mainly limited to carbonyl deoxygenation.\[6a\] Only a few attempts have been made over the past few years to develop new catalytic systems, namely catalytic variants of the WK reaction for the generation and/or trapping of the generated alkyl carbanions, thus forging $\text{C}/\text{C}$ bonds. However, the transformation bears the potential for a plethora of carbanion-based transformations by merging the WK process with other catalytic systems (e.g., transition-metal catalysis, photo-
redox catalysis: Scheme 2C,D) that wait to be further discovered.

2.1.1. Metal-Assisted Trapping of Carbanions

The Li group made significant progress in the catalytic trapping of the carbanion in a WK process. In 2017, they first described a ruthenium-catalyzed strategy for the facile capture of the carbanionic species generated in a WK process from carbonyl compounds (ketone and aldehydes) to give the desired Grignard-type products.\[^{19}\] The reaction demonstrates broad functional-group tolerance for both the hydrazone and carbonyl compounds with different substitution patterns. Notably, the reaction system is compatible with functional groups (including ester, amide, as well as tertiary alcohols), which are normally incompatible with classical Grignard reactions. In their mechanistic proposal, ruthenium complex I was initially generated from the association of hydrazone with the ruthenium catalyst. The resulting Ru complex I interacts with carbonyl compounds via a Zimmerman–Traxler transition state II followed by its rearrangement to give intermediate III. The Grignard-type addition product was released from III, concomitant with regeneration of the Ru catalyst. The coordination of the ruthenium complex with the substrate is believed to promote the decomposition of hydrazone,\[^{20}\] thereby allowing N₂ extrusion from hydrazone under mild conditions. This new catalysis opens new possibilities to harness the carbanions generated from readily available carbonyl compounds in the WK reaction for catalytic C–C bond-forming reactions. The Ru catalytic system was later extended and the carbanionic species reacted with a broad range of electrophiles, including imines,\[^{21}\] alkenes,\[^{22,23}\] CO₂,\[^{24}\] dienes,\[^{25}\] multi-fluoro arenes,\[^{26}\] and carbonyl compounds (for C=C bond construction),\[^{27}\] and in situ generated carbyl compounds (Scheme 3).\[^{28}\]

In addition, the Li group and others have demonstrated that other transition-metal catalysts, including Ni, Pd, and Fe,\[^{29}\] could efficiently be merged with the WK processes to realize different mechanistic pathways (Scheme 4). This results in a vastly expanded set of accessible coupling partners, such as alkyl halides,\[^{30}\] dienes,\[^{31}\] styrenes,\[^{32}\] phenol derivatives,\[^{33}\] and aryl halides\[^{34}\] in Ni catalysis and methylencyclopropanes,\[^{35}\] alkynes,\[^{36}\] allylic acetates,\[^{37}\] and gem-difluorocyclopropanes\[^{38}\] in Pd catalysis. Several plausible reaction pathways for the different catalytic systems were postulated, as shown in Scheme 4. When styrenes and alkynes were employed as coupling partners, the authors proposed six-membered intermediates, analogous to the Zimmerman–Traxler transition state proposed for the Ru-catalyzed Grignard-type reaction, as the key species for the construction of new bonds. Ligand exchange between the deprotonated hydrazone (C-nucleophile or N-nucleophile) and the metal complex (Ni or Pd) was proposed as the key step in the catalytic cycle in the case when (pseudo)halides were used as electrophiles. Combining transition-metal catalysis with the WK reaction creates unprecedented reactivity for widespread use in organic synthesis.

2.1.2. Carbanion Generation by Radical Addition to Sulfonyl Hydrazones

Nucleophilic addition of organometallic reagents to C=N bonds of sulfonyl hydrazones leads to the generation of substituted carbanions (Scheme 2B).\[^{18,39}\] However, this approach has a limited functional-group compatibility, and competing side reactions may occur. Moreover, the number of nucleophiles that can be engaged in such a modified WK process is limited. Aiming to overcome these limitations, strategies for the addition of radical species to sulfonyl hydrazone derivatives were developed.

The earliest example which invokes such a radical-initiated WK reaction pathway was reported by Kim and Cho as

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\[^{19}\] An example of a Ru-catalyzed trapping of carbanionic species generated in the WK process.

\[^{20}\] An example of metal-catalyzed trapping of carbanionic species generated in the WK process.

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**Scheme 3.** Ru-catalyzed trapping of carbanionic species generated in the WK process.

**Scheme 4.** Metal-catalyzed trapping of carbanionic species generated in the WK process.
early as 1992. In their report, the intramolecular addition of alkyl or vinyl radicals, generated from alkyl or vinyl bromides under AIBN/nBuSnH conditions, to a C=N bond affords a cyclized diazene intermediate. The alkyl diazene forms a cyclized alkane as product, likely via a carbanion intermediate, whereas its allylic diazene counterpart undergoes a pericyclic rearrangement to give an endocyclic alkene product after N₂ extrusion (Scheme 5).

Although this reaction could be deemed as a representative radical-initiated WK process for the versatile formation of diazene species, this chemistry has been little explored over the past few decades, with only a few scattered examples reported. However, this reaction sequence needs to be revisited in view of reports of radical addition to other hydrazone acceptors (e.g. N,N-dialkyl hydrazones) under transition-metal or photoredox catalysis (Scheme 6A).

In a catalytic radical functionalization approach, aminyl radical intermediate V is first generated from radical addition to the C=N moiety of an N-sulfonyl hydrazone (Scheme 6B). The resulting N-centered radical undergoes β-fragmentation to release a sulfonyl radical, thereby affording the diazene intermediate VI. This diazene then enters a WK reaction sequence to afford the desired carbanion VII after N₂ extrusion. There are several distinct advantages in introducing new catalysis to this reaction sequence: milder reaction conditions to access carbanion equivalents and the facile generation of functionalized carbanions using different radicals.

In this context, Baran and co-workers described that carbon radicals formed in the reaction of an intermediate [Fe-H] species with an alkene partner can couple efficiently with formaldehyde-derived n-octylsulfonylhydrazone to give a hydromethylation product (Scheme 7). This reaction sequence could be initiated by using a stoichiometric or catalytic amount of Fe(acac)₃, with excess B(OMe)₃ being an essential additive. Alkyl hydrazide X was observed as the key intermediate, which decomposes upon heating to 60°C in MeOH to eliminate sulfinate and nitrogen to yield the hydromethylated product, presumably by an ionic pathway.

In contrast to the organometallic-functionalization method shown in Scheme 2B, this radical-based reaction tolerates base- and nucleophile-sensitive functional groups, such as free alcohols, halides, azides, and esters. The iron catalytic system was further extended by Bradshaw and co-workers to the alkylation of non-activated alkenes by using N-tosylhydrazones as radical acceptors.

Over the last few decades, photocatalysis has emerged as a powerful tool to access radicals for use in a broad range of chemical transformations through single-electron transfer between the excited state of a photocatalyst and the substrates. In the context of our ongoing research on developing photoredox catalytic approaches for carbanion generation, we questioned if a photo-Wolff–Kishner process could be realized by adding photogenerated radicals to sulfonyl hydrazones. The envisioned reaction process was initiated by single-electron-transfer oxidation of a suitable substrate by the excited photocatalyst to afford the radical and the reduced photocatalyst. Subsequent radical addition to
the N-sulfonylhydrazone produces an aminyl radical species \( \text{XI} \), which undergoes \( \beta \)-sulfonyl radical fragmentation to generate a functionalized diazene intermediate \( \text{XII} \). The resulting diazene enters the \( \text{WK} \) process to give the key carbanion species \( \text{XIII} \), which is capable of reacting with various electrophiles in a polar fashion. Finally, electron transfer between the reduced photocatalyst and the sulfonyl radical regenerates the catalyst, thus rendering the overall transformation redox-neutral. When thiols were used as the radical precursors with an \( \text{Ir} \)-based catalyst, the \( \alpha \)-substituted carbanions were efficiently produced, then trapped by \( \text{CO}_2 \) or aldehydes. In the case of a \( \text{CF}_3 \) radical, \( \text{gem} \)-difluoroalkenes were produced as the final product after \( \text{E1cB} \) elimination of a fluoride anion from the resulting \( \alpha \)-\( \text{CF}_3 \) carbanions (Scheme 8). This procedure shows that the merger of photo-catalysis and a classical \( \text{WK} \) process leads to the efficient generation of a carbanion and broadens the available scope of electrophiles for carbanion trapping.

2.2. Generation of Carbanions by Catalytic Single-Electron Reduction of Imines—Pathway B

The generation of imine radical anions through single-electron reduction is well-documented and has been employed for many transformations utilizing stoichiometric reductants.\(^{[48]}\) In recent years, new photocatalytic strategies have been developed as desirable alternatives for the single-electron reduction of imine derivatives.\(^{[10]}\) Imine radical anions can show \( \text{C} \)-centered and \( \text{N} \)-centered radical reactivity depending on the precursor and the reaction conditions. The \( \text{C} \)-centered radical species have been shown to engage in various radical coupling reactions,\(^{[10,11a,e]}\) while the \( \text{N} \)-centered radical can abstract hydrogen atoms from the reaction medium to give an \( \alpha \)-amino carbanion. This intermediate is capable of reacting with various electrophiles in an ionic fashion, as pioneered by Fan and Walsh (Scheme 9).

\[ \text{Scheme 8. Photo-WK reaction for the catalytic difunctionalization of sulfonylhydrazones.} \]

In 2018, Fan, Walsh et al. reported a photoredox catalytic procedure for the hydrocarboxylation of \( \text{N} \)-alkyl and \( \text{N} \)-aryl imines with \( \text{CO}_2 \) by using \( \text{Ir(ppy)_2(dtbbpy)} \)PF\(_6 \) as a photocatalyst and Cy\(_2\)NMe as the terminal reductant.\(^{[49]}\) Their mechanistic proposal commences with the reductive quenching of the photoexcited \( \text{Ir}^3^+ \) species by \( \text{Cy}_2\)NMe to generate the reducing \( \text{Ir}^2^+ \) species and the amine radical cation. Subsequent single-electron transfer between the imine and the \( \text{Ir}^2^+ \) species gives an amine radical cation and generates \( \text{Ir}^{3+} \). The generated \( \text{N} \)-centered radical anion reacts with the amine radical cation in a HAT process to provide the key carbanion intermediate, which was then trapped by \( \text{CO}_2 \) to afford a carboxylic acid as the product after protonation. Furthermore, they found that the carbanions generated in the reaction are efficiently trapped by protons,\(^{[50]}\) aldehydes,\(^{[51]}\) or isocyanate\(^{[52]}\) (Scheme 10).

\[ \text{Scheme 9. Photoredox catalytic generation of } \alpha \text{-amino carbanions—Fan/Walsh pathway.} \]

A similar photoredox catalytic procedure for the hydrocarboxylation of enamides and aromatic imines with \( \text{CO}_2 \) (1 atm) was independently discovered by Yu and co-workers (Scheme 11).\(^{[53]}\) The reaction relies on the photochemical
reduction of the imine using a tertiary amine (DIPEA) as a sacrificial reductant to generate the α-amino carbanion XIV as the key intermediate for CO₂ trapping. The authors conducted a series of control experiments and deuterium-labeling studies to prove the existence of the carbanion intermediate in the reaction. However, a specific pathway for the generation of α-amino carbanion XIV has not been provided. From a mechanistic point of view, this species could be produced through a SET/HAT mechanism as shown in Scheme 9 or a photocatalytic aza-Bouveault-Blanc reduction process, which will be discussed in Section 2.3.

2.3. Generation of Carbanions by Catalytic Sequential Single-Electron Reduction of a Carbonyl Compound—Pathway C

Single-electron reduction of carbonyl groups results in the formation of a ketyl radical, a reactive intermediate that can participate in a wide range of chemical transformations. Although the resonance structure of a ketyl radical has carbon radical and carbon anion character, the most explored reactions, such as radical–radical coupling and radical addition to double bonds, arise from its carbon radical nature.[48c] In contrast to imine radical anions, the generation of a carbanion from a carbonyl group requires a second single-electron reduction process of the ketyl radical. This pathway dates back to the well-known Bouveault-Blanc reduction, wherein an α-hydroxy carbanion is produced as a key intermediate through two sequential single-electron reduction steps of esters by sodium metal (Scheme 12A).[54] Classic methods to induce the carbonyl reduction rely on strong reductants such as alkali metals,[8i, 55] vanadium,[8h] titanium,[56] tin,[57] zinc,[58] and samarium diiodide (SmI₂).[48c,59] In this context, visible-light-induced photocatalysis has been developed as an ideal alternative to access ketyl radicals from carbonyl compounds by leveraging the reduction power of photocatalysis. As a consequence, a wide range of C–C bond-coupling reactions have been discovered by reacting ketyl radicals with C-centered radicals or unsaturated bonds (Scheme 12B). The advances in exploiting the reactivity of ketyl radicals for C–C bond construction have been summarized in several recent reviews.[11] We will, therefore, focus on the catalytic generation of carbanions by the sequential reduction of carbonyl compounds (Scheme 12C).

In 1983, Pac and co-workers reported the first example of a photoredox catalytic reduction of carbonyl compounds in the presence of Ru(bpy)₂Cl₂ as the photocatalyst and N-benzyl-1,4-dihydronicotinamide (BNAH) as the reductant (Scheme 13A).[60] In the proposed mechanism, the excited state of Ru(bpy)₂⁺ was quenched by BNAH to produce Ru(bpy)₂⁺ and BNA⁻ (XVI) after deprotonation. Single-electron transfer occurred between Ru(bpy)₂⁺ and the carbonyl group to yield a ketyl radical and regenerate the photocatalyst. The resulting ketyl radical can undergo either a single-electron transfer process with BNA⁻ to give an

Scheme 11. Photoredox catalytic hydrocarboxylation of imines developed by Yu and co-workers.

Scheme 12. Generation of carbanions by the sequential reduction of carbonyl compounds.

Scheme 13. Photoinduced Ru-catalytic generation of carbanions by the sequential reduction of carbonyl compounds.
alcohol or radical–radical coupling with BNA to form compound XVII. The interaction between the ketyl radical and XVI was dependent on the electronic properties and structure of the carbonyl compounds, and it was found that the existence of electron-withdrawing substituents and steric hindrance favors electron transfer between the two species. Later, a similar photoredox catalytic system for the reduction of activated ketones, including benzil and ethyl benzoyleformate, was reported by Willner et al. with Ru(bpy)3Cl2 as the photocatalyst and Et3N as the reductant.[61] The reaction involves two successive photoinduced SET processes to generate the α-hydroxy carbanion XVIII, which was protonated to afford the hydrogenated product (Scheme 13B).

Recently, Jiang and co-workers demonstrated a unique dual photo/asymmetric Brønsted acid catalysis system for the enantioselective hydrogenation of 1,2-diketones (Scheme 14).[62] The catalytic system consists of an organic photocatalyst (DPZ), a chiral guanidinium salt catalyst 1, a borate additive NaBArF, and an electron donor N-2-naphthyl tetrahydroisoquinoline (THIQ). Noncovalent bonding between the Brønsted acid and the ketyl intermediate was found to be crucial for the reaction process. The second reduction of intermediate XIX was accomplished by THIQ to afford carbanion XX, which was poised for the subsequent enantioselective protonation process. The authors noted that the reaction occurs in the absence of DPZ through an electron-donor-acceptor mechanism, reaching comparable yields and enantioselectivities to those obtained in the catalytic photoredox system. The photocatalytic system was further applied for the asymmetric hydrogenation of α-keto ketimines to produce α-amino ketones with broad substrate scope and a high level of functional-group tolerance. Based on the in situ NMR studies and experimental results, we propose the following reaction mechanism: reductive quenching of the excited IrIII complex by thiolate affords a thiyl radical and the reduced photocatalyst IrII. The single-electron transfer between the IrII species and benzaldehyde regenerates the photocatalyst and yields a ketyl radical XXI, which participates in a radical borylation-“bora-Brook” rearrangement sequence to give α-oxyboryl carbanion XXIV and a base-bound boryl radical anion XXII. Reduction of the thyl radical by XXII regenerates the thiolate. The α-oxyboryl
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Catalytic Generation of Carbanions through Carbonyl Umpolung

Novel catalytic strategies for the generation of functionalized carbanion species by carbonyl Umpolung are discussed in this Minireview. The application of metal catalysis and photocatalysis significantly facilitates the generation and conversion of carbanionic intermediates starting from carbonyl compounds.