Numerical study of magnetization processes in rare-earth tetraborides

Pavol Farkašovský, Hana Čenčaríková and Slavomír Maťaš
Institute of Experimental Physics, Slovak Academy of Sciences, Watsonova 47, 040 01 Košice, Slovakia
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We present a simple model for a description of magnetization processes in rare-earth tetraborides. The model is based on the coexistence of two subsystems, and namely, the spin subsystem described by the Ising model and the electronic subsystem described by the Falicov-Kimball model on the Shastry-Sutherland lattice (SSL). Moreover, both subsystems are coupled by the anisotropic spin-dependent interaction of the Ising type. We have found, that the switching on the spin-dependent interaction \( J_s \) between the electron and spin subsystems and taking into account the electron hopping on the nearest \( (t) \) and next-nearest \( (t') \) lattice sites of the SSL leads to a stabilization of new magnetization plateaus. In addition, to the Ising magnetization plateau at \( m_s^p/m_s^s = 1/3 \) we have found three new magnetization plateaus located at \( m_s^p/m_s^s = 1/2, 1/5 \) and \( 1/7 \) of the saturated spin magnetization \( m_s^p \). The ground-states corresponding to magnetization plateaus have the same spin structure consisting of parallel antiferromagnetic bands separated by ferromagnetic stripes.

I. INTRODUCTION

The Shastry-Sutherland lattice (SSL) was considered more than 20 years ago by Shastry and Sutherland \(^1\) as an interesting example of a frustrated quantum spin system with an exact ground state. It can be described as a square lattice with antiferromagnetic couplings \( J \) between nearest neighbors and additional antiferromagnetic couplings \( J' \) between next-nearest neighbors in every second square (see Fig. 1). This lattice attracted much attention after its experimental realization in the \( \text{SrCu}_2(BO_3)_2 \) compound \(^2\). The observation of a fascinating sequence of magnetization \( (m/m_s = 1/2, 1/3, 1/4 \) and \( 1/8 \) of the saturated magnetization \( m_s \)) in this material \(^2\) stimulated further theoretical and experimental studies of the SSL \(^2, 3\).

As another realization of the SSL the rare-earth tetraborid \( \text{TmB}_4 \) has recently been studied in finite magnetic fields \(^5\). Since fully polarized state can be reached for experimentally accessible magnetic fields, this compound allows exploration of its complete magnetization process. It was found that the magnetization diagram of \( \text{TmB}_4 \) consists of magnetization plateaus located at small fractional values of \( m/m_s = 1/7, 1/8, 1/9 \ldots \) of the saturated magnetization, followed by the major magnetization plateau located at \( m/m_s = 1/2 \). Note that, due to large total magnetic moments of the magnetic ions, this compound can be considered as a classical system. Moreover, because of strong crystal field effects, the effective spin model for \( \text{TmB}_4 \) has been suggested to be described by the spin-1/2 Shastry-Sutherland model under strong Ising (or easy-axis) anisotropy \(^5\). From this point of view it was natural to begin a description of magnetization process in the \( \text{TmB}_4 \) material from the Ising limit on the SSL that can be, in the presence of a finite magnetic field \( h \), expressed as follows

\[
H_{J,J'} = J \sum_{\langle i,j \rangle} S_i^z S_j^z + J' \sum_{\langle\langle i,j \rangle\rangle} S_i^z S_j^z - h \sum_i S_i^z, \quad (1)
\]

where \( S_i^z = \pm 1/2 \) denotes the \( z \)-component of a spin-1/2 degree of freedom on site \( i \) of a square lattice and \( J, J' \) are the antiferromagnetic exchange couplings between all nearest neighbor bonds \( (J) \) and next-nearest neighbor bonds in every second square \((J')\), as indicated in Fig. 1.

In spite the relative simplicity of the model Hamiltonian \((1)\), fully different conclusions have been obtained for the magnetization curve of this model within various approaches. For example, the authors of Ref. \(^5\) found, analyzing a finite system consisting of 16 spins, a single magnetization plateau at \( 1/2 \) of the saturated magnetization in accordance with experimental data in \( \text{TmB}_4 \). However, numerical simulations obtained within the Monte-Carlo and tensor renormalization group methods on much larger systems \(^6, 7\) did not confirm this conclusion. In contrast to previous results they showed that the Ising model on the SSL exhibits in the presence of the magnetic field the magnetization plateau only at \( 1/3 \) of the saturated magnetization. Thus the different conclusion of Ref. \(^5\) appears to be due to the usage of inappropriate finite lattice sizes.

The existence of the magnetization plateau at only \( 1/3 \) of the saturated magnetization and its absence at \( 1/2 \) indicates that it is necessary to go beyond the classical
Ising limit to reach the correct description of the magnetization process in $TmB_4$ and other rare-earth tetraborides. The first such an attempt has been done by Meng and Wessel [3] who studied the spin-1/2 easy-axis Heisenberg model on the SSL with ferromagnetic transverse spin exchange using quantum Monte-Carlo and degenerate perturbation theory. Besides the magnetization plateau at 1/3 of the saturated magnetization they found a further plateau at 1/2, which persists only in the quantum regime. The same results have been obtained by Liu and Sachdev analyzing the perturbative effects of the transverse fluctuations on the SSL spin multiplets with large easy-axis anisotropy [8].

It should be noted that a similar behavior as for $TmB_4$ has been also observed for other rare-earth tetraborides. For example, for $ErB_4$ the magnetization plateau has been found at $m/m_s = 1/2$ [3, 10], for $TbB_4$ at $m/m_s = 1/2, 4/9, 1/3, 2/9$ and $7/9$ [11] and for $HoB_4$ at $m/m_s = 1/3, 4/9$ and $3/5$ [10].

II. MODEL

In the current paper we present an alternative model of stabilization the magnetization plateaus in the rare-earth tetraborides based on the fact that these materials, in contrast to $SrCu_2(BO_3)_2$, are metallic. Thus for a correct description of ground-state properties of rare-earth tetraborides one should take into account both spin and electron subsystems as well as the coupling between them. Supposing that electron and spin subsystems interact only via the spin dependent Ising interaction $J_z$, the Hamiltonian of the system can be written as

$$H = \sum_{ij\sigma} t_{ij} d_{i\sigma}^\dagger d_{j\sigma} + J_z \sum_i (n_{i\uparrow} - n_{i\downarrow}) S_i^z - h \sum_i (n_{i\uparrow} - n_{i\downarrow}) + H_{J_z},$$

where $d_{i\sigma}^\dagger, d_{i\sigma}$ are the creation and annihilation operators of the itinerant electrons in the $d$-band Wannier state at site $i$ and $n_{i\sigma} = d_{i\sigma}^\dagger d_{i\sigma}$. The first term of (2) is the kinetic energy corresponding to quantum-mechanical hopping of the itinerant $d$ electrons between sites $i$ and $j$. These intersite hopping transitions are described by the matrix elements $t_{ij}$, which are $-t$ if $i$ and $j$ are the nearest neighbors, $-t'$ if $i$ and $j$ are the next-nearest neighbors from the SSL and zero otherwise. The second term represents the above mentioned anisotropic, spin-dependent interaction $J_z$ as well as nearest and next-nearest neighbor hopping integrals $t$ and $t'$. The complete list of the ground-state spin arrangements (for $0 < m^{sp}/m_s < 1$)

![FIG. 2: The complete list of the ground-state spin configurations (for $0 < m^{sp}/m_s < 1$) that are stable on finite intervals of $h$ for $L = 8 \times 8$, $L = 10 \times 10$ and $L = 12 \times 12$. The big (small) dots correspond to the up (down) spin orientation.](image-url)
that are stable on finite intervals of magnetic field values are depicted on Fig. 2. The second important observation is that the width $w$ of the antiferromagnetic bands cannot be arbitrary, but fulfill severe restrictions. Indeed, we have found that with exception the case $m^{sp}/m_{sp}^{p} = 1/2$, in all remaining cases the permitted width of the antiferromagnetic band is only $w$ or $w + 2$, where $w$ is the even number. This fact is very important from the numerical point of view since it allows us to perform the numerical calculations on much larger clusters with the extrapolated set of configurations of the above described type. The resulting magnetization curves obtained on the extrapolated set of ground-state spin configurations consisting of parallel antiferromagnetic bands of width $w$ ($w$ and $w + 2$) separated by ferromagnetic stripes are shown in Figs. 3-5 for selected values of model parameters, that represent the typical behavior of the model.

One can see that the switching on the spin-dependent interaction $J_2$ between the electron and spin subsystems and taking into account the electron hopping on the nearest ($t$) and next-nearest ($t'$) lattice sites of the SSL leads to a stabilization of new magnetization plateaus. In addition to the Ising magnetization plateau at $m^{sp}/m_{sp}^{p} = 1/3$ we have found two new magnetization plateaus located at $m^{sp}/m_{sp}^{p} = 1/2$ and $m^{sp}/m_{sp}^{p} = 1/5$. The ground-state spin arrangements corresponding to these magnetization plateaus have the same structure consisting of parallel antiferromagnetic bands of a width $w$ (where $w = 1$ for $m^{sp}/m_{sp}^{p} = 1/2$, $w = 2$ for $m^{sp}/m_{sp}^{p} = 1/3$ and $w = 4$ for $m^{sp}/m_{sp}^{p} = 1/5$) separated by ferromagnetic stripes. Thus, our numerical results show that besides the pure spin mechanism (e.g., the easy-axis Heisenberg model on the SSL [6]) of stabilization the magnetization plateaus in rare-earth tetraborides, there exists also an alternative mechanism based on the coexistence of electron and spin subsystems that are present in these materials. From this point of view it is interesting to compare in detail the ground states obtained within these two different approaches. For $m^{sp}/m_{sp}^{p} = 1/3$ our results are identical with ones obtained within the Ising [2, 7] as well as easy-axis Heisenberg [6, 8] model on the SSL. The accordance between our and the easy-axis Heisenberg solution [6] is found surprisingly also for $m^{sp}/m_{sp}^{p} = 1/2$. In this case both approaches predict the sequence of parallel antiferromagnetic and ferromagnetic stripes. For $m^{sp}/m_{sp}^{p} = 1/5$ our results postulate a new type of spin ordering.

While the magnetization plateaus at $m^{sp}/m_{sp}^{p} = 1/2$ and $1/3$ have been really found in the rare-earth tetraborides [6, 8, 11], the 1/5-magnetization plateau in these compounds absent. Instead the 1/5-magnetization plateau there have been observed magnetization plateaus at smaller values of $m^{sp}/m_{sp}^{p}$, and namely, at $m^{sp}/m_{sp}^{p} = 1/7$, $1/9$ and $1/11$ (TmB$_4$ [6]). Since the sizes of selected clusters ($60 \times 60$ and $120 \times 120$) are not dividable by 7, 9 and 11 the absence of magnetization plateaus at $1/7$, $1/9$ and $1/11$ is nothing surprising. To verify the possibilities of existence the magnetization plateaus at $m^{sp}/m_{sp}^{p} = 1/7, 1/9$ and $1/11$ one has to examine much larger lattices. Unfortunately, due to the numerical limi-
tations we are able to study clusters only slightly higher than 120 sites. Such cluster sizes (e.g., \( L = 140 \times 140 \)) are sufficient for investigating the stability of \( m^{sp}/m^{el}_{sp} = 1/7 \) magnetization plateau, but they are too small for verification the magnetization plateaus at \( m^{sp}/m^{el}_{sp} = 1/9 \) and \( 1/11 \). In Fig. 6 we present magnetization curves obtained on clusters consisting of \( 70 \times 70 \) and \( 140 \times 140 \) sites together with the magnetization curve for \( L = 120 \times 120 \). Comparing these results one can see that a new magnetization plateau at \( m^{sp}/m^{el}_{sp} = 1/7 \) is formed and that the region of its stability is practically independent of \( L \).

The magnetization process of the electron subsystem is very similar to one described above for the spin subsystem, but only in the limit \( m^{sp}/m^{el}_{sp} \leq 0.5 \) (see Insets in Figs. 3-5). Indeed, we have found that for \( m^{sp}/m^{el}_{sp} \leq 0.5 \) the magnetization curves of electron and spin subsystems fully coincide for the strong coupling \( (J_{z} = 4) \) between electron and spin subsystems, and small deviations are observed only for the intermediate coupling \( (J_{z} = 2) \). However, a different picture of magnetization processes of electron and spin subsystems is observed in the limit \( m^{sp}/m^{el}_{sp} > 0.5 \). In this limit the spin subsystem is already fully saturated while the magnetization of the electron subsystem changes continuously from \( m^{el}/m^{el}_{sp} = 0.5 \) to \( m^{el}/m^{el}_{sp} = 1 \).

In summary, we have presented an alternative model of stabilization the magnetization plateaus in rare-earth tetraborides based on the coexistence of spin and electron subsystems (coupled by the anisotropic spin-dependent interaction of the Ising type) in these materials. It was shown that the switching on the spin-dependent interaction between the electron and spin subsystems and taking into account the electron hopping on the nearest and next-nearest lattice sites of the SSL leads to a stabilization of magnetization plateaus at \( m^{sp}/m^{el}_{sp} = 1/2, 1/3, 1/5 \) and \( 1/7 \) of the saturated spin magnetization. The ground states corresponding to these magnetization plateaus have the same structure consisting of parallel antiferromagnetic bands of width \( w = 1, 2, 4 \) and 6 separated by ferromagnetic stripes. These results indicate that the electron subsystem and its interaction with the spin subsystem can play the crucial role in the correct description of magnetization processes in rare-earth tetraborides. In our future work we plan to generalize this simple model by including the long-range interactions (it was shown that such interactions suppress the stability of the \( 1/3 \) phase \([15]\)) and considering the Heisenberg spins instead of the Ising ones.

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