Hierarchy problem and dimension-6 effective operators

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June 25, 2020

Abstract

Without any mechanism to protect its mass, the self-energy of the Higgs boson diverges quadratically, leading to the hierarchy or fine-tuning problem. One bottom-up solution is to postulate some yet-to-be-discovered symmetry which forces the sum of the quadratic divergences to be zero, or almost negligible; this is known as the Veltman Condition. Even if one assumes the existence of some new physics at a high scale, the fine-tuning problem is not eradicated, although it is softer than what it would have been with a Planck scale momentum cut-off. We study such divergences in an effective theory framework, and construct the Veltman Condition with dimension-6 operators. We show that there are two classes of diagrams, the one-loop and the two-loop ones, that contribute to quadratic divergences, but the contribution of the latter is suppressed by a loop factor of \(1/16\pi^2\). The Wilson coefficients of these higher-dimensional operators that contribute to the former class play an important role towards softening the fine-tuning problem. We find the parameter space for the Wilson coefficients that satisfies the extended Veltman Condition, and also discuss why one need not bother about the \(d > 6\) operators. The parameter space is consistent with the theoretical and experimental bounds of the Wilson coefficients, and should act as a guide to the model builders.

1 Introduction

The title of this paper may appear to be an oxymoron for two reasons. First, effective theories are known to be valid up to a certain energy scale, so why should one talk about the hierarchy problem, which essentially is a manifestation of extreme weakness of gravity, or the extremely high value of the Planck scale \(\sim 10^{19}\) GeV? Second, any calculation of the scalar self-energy involves the evaluation of loop contributions to the self-energy, and how may one evaluate a loop in an effective theory with higher-dimensional operators?

Both these problems can be easily surmounted (see, e.g., Ref. [1]). In a sense, it is subjective, depending on what level of fine-tuning one is comfortable with. If the cutoff scale of the theory be \(\Lambda\) and the Higgs vacuum expectation value (VEV) be \(v\), the typical fine-tuning is of the order of \(v^2/\Lambda^2\). One can, however, be more quantitative. One may write the physical Higgs mass, \(m_h\), in terms of a bare mass term \(m_{h,0}\) and higher-order self-energy corrections:

\[
m_h^2 = m_{h,0}^2 + \delta m_h^2,
\]

where \(\delta m_h^2\) is some function of the masses, \(v\), and \(\Lambda\). In this case, \(m_h^2/|\delta m_h^2|\) may be taken as an approximate measure of fine-tuning. For \(\Lambda = 2\) TeV, just outside the reach of the Large Hadron Collider (LHC), this is about one or a few per cent, not at all uncomfortable, but higher values of \(\Lambda\) definitely brings back the fine-tuning problem, maybe in a softened way. Also, if one has a renormalisable theory

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below $\Lambda$, loop calculations do not pose any problem, with the understanding of a momentum cut-off at $\Lambda$. Cut-off regularisation is not Lorentz invariant, but it is undoubtedly the best way to feel the badness of a divergence.

Very briefly, the hierarchy of fine-tuning problem is why the Higgs mass is at the electroweak scale and not at the Planck scale, when it is not protected by any symmetry. If we use a cut-off regularisation, the Higgs self-energy diverges as $\Lambda^2$ while the fermion and gauge boson masses diverge only logarithmically. Thus, to get a Higgs mass of the order of $v$ from a quantum correction of the order of $\Lambda$, one needs a fine-tuning between the bare mass term and the quantum corrections.

In this paper, we will not talk about any possible ultraviolet complete (UVC) theory, like supersymmetry, that may solve the hierarchy problem. We will, rather, demand that perhaps due to some yet-to-be-discovered symmetry, the quadratically divergent contributions to the Higgs mass add up to zero, or a very small value. This is known as the Veltman Condition (VC) \cite{2}.

Thus, if we confine ourselves to one-loop diagrams only, the renormalised Higgs mass squared is given by

$$m_h^2 = m_{h,0}^2 + \delta m_h^2 = m_{h,0}^2 + \frac{1}{16\pi^2} f(g_i)\Lambda^2 + \cdots,$$

where the ubiquitous coefficient of $1/16\pi^2$ comes from the evaluation of the loop, and $f(g_i)$ is a function of relevant scalar, Yukawa, and gauge couplings. The VC demands that $f(g_i)$ should be zero, or extremely tiny, so that $m_{h,0}$ is not too much away from the electroweak scale. The logarithmically divergent as well as the finite terms coming from the loop diagrams have been neglected, and denoted by the trailing ellipses.

One may argue that $f(g_i)$ need not be exactly zero; in fact, $f(g_i) \sim 16\pi^2 m_h^2/\Lambda^2$ should be perfectly acceptable. However, with all the masses known, the VC fails badly for the SM \cite{3,4}. There are numerous attempts in the literature to make $f(g_i) \approx 0$ by introducing more particles, like extra scalars or fermions \cite{5–11}. While these attempts were more or less successful and provided some important constraints on the parameter space, the VC could hardly be stabilised over the entire energy scale from $v$ to $\Lambda$ if one considers the renormalisation group (RG) evolution of the couplings. This remains one of the major shortcomings of the bottom-up approach.

We will take the bottom-up approach to its extreme limit. For us, whatever New Physics (NP) exists there at the high energy scale can be effectively integrated out at the scale $\Lambda$ to give us the SM, plus some effective operators involving only the SM fields, which is known as the SM Effective Field Theory (SMEFT). We will not venture to investigate the possible nature of the UVC theory; rather, all the UVC information will be clubbed in the Wilson coefficients (WC) of the effective operators.

In SMEFT, the first interesting higher dimensional operators come at $d = 6$ (the $d = 5$ Weinberg operators is not relevant for scalar self-energies). There are many equivalent bases to express the complete set of $d = 6$ operators. We will use the basis given in Ref. \cite{12}. Only a handful among the 59 dimension-6 operators contribute to the quadratically divergent part of the scalar self-energy.

An $n$-dimensional operator can at most result in a divergence in Higgs self-energy that goes as $\Lambda^{n-2}$. As these operators are suppressed by $\Lambda^{n-4}$, one expects contributions to $f(g_i)$ from all orders. What, then, is the rationale to consider only $d = 4$ and $d = 6$ operators? We have tried to answer this question in Section \ref{sec:2}.

Thus, we will focus only on an effective theory with a schematic Lagrangian

$$\mathcal{L} = c_{4i}O_i^{d=4} + \frac{1}{\Lambda^2} c_{6i}O_i^{d=6},$$

(3)
where \(c_{4i}\) and \(c_{6i}\) are dimensionless constants. The VC now takes the form

\[
F(c_{4i}, c_{6i}) \approx 0.
\]  
(4)

Our aim will be to find out the parameter space for the \(c_{6i}\) coefficients.

In Section 2, we discuss why it is enough to take into account only the dimension-6 operators. In Sections 3 and 4, we discuss the VC in the SM (with dimension-4 operators) and in SMEFT with dimension-6 operators. In Section 5, we show the allowed parameter space for the \(c_{6i}\) coefficients and discuss our results. Section 6 concludes the paper.

## 2 Why we can neglect \(d = 8\) operators

The \(d = 6\) SMEFT has been well-explored, and there are several equivalent bases to express all the \(d = 6\) operators. While the \(d = 8\) operators are not that well-investigated, it is known [13] that there are 993 such operators with one generation and 44807 operators with three generations. A list of the relevant bosonic operators can be found in Ref. [14].

For \(d = 6\) operators, there are two types of diagrams that come with a \(\Lambda^4\) divergence. First are the two-loop diagrams, like the one from \((\Phi \Phi)^3\), where \(\Phi\) is the SM doublet Higgs field. The second class consists of one-loop diagrams but momentum-dependent vertices, like the one coming from \((D\Phi)^\dagger(D\Phi)\Phi\Phi\). If the derivatives act on the internal scalar lines, the vertex has a momentum-dependence \(\sim k^2\), where \(k\) is the loop momentum to be integrated over, and the resulting divergence is again quartic. However, there is a crucial difference: the first set comes with \((16\pi^2)^{-2}\), and the second set only with \((16\pi^2)^{-1}\), similar to the \(d = 4\) operators. Therefore, it is the one-loop diagrams that should be the most relevant in calculating the VC. One can have a similar conclusion with operators involving the gauge field tensors.

Thus, among the \(d = 8\) operators, one should look only for those operators that come with four derivatives, \(i.e., D^4\). There are only three such operators [14], and all of them have a generic structure of \((D\Phi)^\dagger(D\Phi)(D\Phi)^\dagger(D\Phi)\). As two of the derivatives act on the external leg fields and hence give the square of the external leg momentum, the vertex factor can only have a \(k^2\) dependence, and the divergence remains only \(\Lambda^4\) and not \(\Lambda^6\). Similarly, operators of the form \(D^2(\Phi^2W^2)\), where \(W\) is the generic gauge tensor, do not generate any \(\Lambda^6\) divergence. Thus, it is enough, within the limits of uncertainty, to consider only the \(d = 6\) operators. However, one may note that the argument is not watertight; the huge number of \(d = 8\) operators may offset the extra suppression coming from \(1/16\pi^2\).

## 3 Veltman Condition with dimension-4 operators

We start from the SM Higgs potential with only \(d \leq 4\) terms:

\[
V(\Phi) = -\mu^2\Phi^\dagger\Phi + \lambda(\Phi^\dagger\Phi)^2
\]  
(5)

where \(\Phi\) is the SM doublet, with \(\langle \Phi \rangle = v/\sqrt{2}\). The Higgs self-energy receives a quadratically divergent correction

\[
\delta m_h^2 = \frac{\Lambda^2}{16\pi^2} \left( 6\lambda + \frac{3}{4} g_1^2 + \frac{9}{4} g_2^2 - 6g_1^2 \right),
\]  
(6)
where \(g_1\) and \(g_2\) are the \(U(1)_Y\) and \(SU(2)_L\) gauge couplings, and \(g_t = \sqrt{2}m_t/v\) is the top quark Yukawa coupling. All other fermions are treated as massless. Dimensional regularisation does not differentiate between quadratic and logarithmic divergences, and we get a slightly different correction \[4\]:

\[
\delta m_h^2 \propto \frac{1}{\epsilon} \left( 6\lambda + \frac{1}{4}g_1^2 + \frac{3}{4}g_2^2 - 6g_t^2 \right).
\]

As our goal is to cancel the strongest divergence, we will use the cut-off regularisation. Two- and higher-loop diagrams can also contribute to the quadratic divergence, but they are suppressed from the one-loop contributions by a factor of \(\ln(\Lambda/\mu)/16\pi^2\) or more, where \(\mu\) is the regularisation scale. We will, therefore, not consider anything beyond one loop.

At this point, let us make some comments on the gauge dependence of the VC. They are gauge-independent, as can be explicitly checked by working out the quadratic divergences in Landau and 't Hooft-Feynman gauge. However, one may ask what happens in the unitary gauge, as the gauge propagator has a leading momentum dependence of \(k_0\). While one may question the justification to use the unitary gauge as the VC is relevant only for \(\Lambda \gg v\) where the electroweak symmetry is still unbroken, all particles are massless, and the condition is formulated in terms of the couplings only, it would nevertheless be satisfactory to see that nothing catastrophic happens in the unitary gauge. For example, one may think of having a quartic divergence, \(\sim \Lambda^4\), coming from the gauge loop, as the gauge propagator is not momentum suppressed. At the same time, one has to remember that the electroweak symmetry is broken, and there are generic Higgs-gauge-gauge vertices in the theory. One can have a self-energy contribution with two such vertices, which again is quartically divergent. We have explicitly checked that these quartic divergences cancel; for the \(W\)-loop, the amplitude with the four-point vertex gives \(g^2\Lambda^4/(128\pi^2m_W^2)\), which is exactly cancelled by the amplitude with two three-point vertices. The latter also gives a quadratic divergence, which is needed to restore the gauge invariance.

One can say that the quadratic divergence is under control if \(|\delta m_h^2| \leq m_h^2\), which translates into\(^1\)

\[
|m_h^2 + 2m_W^2 + m_Z^2 - 4m_t^2| \leq \frac{16\pi^2 v^2}{3} \frac{\Lambda^2}{m_h^2},
\]

This inequality is clearly not satisfied in the SM for \(v^2/\Lambda^2 \leq 0.1\), or \(\Lambda \geq 760\) GeV, and onset of NP at such a low scale is already ruled out by the LHC. Thus, one needs extra degrees of freedom, like more scalars or fermions. There are a number of such studies in the literature; we refer the reader to, \(e.g.,\) Refs. \[5,8–11\].

### 4 Veltman Condition with dimension-6 operators

We will use the SMEFT basis as in Ref. \[12\]. Keeping in mind that only operators with two or more Higgs fields are relevant and the divergence should be quartic, the relevant operators are as follows:

\[
\begin{align*}
O_{WW} &= \Phi^\dagger \tilde{W}_{\mu\nu} \tilde{W}^{\mu\nu} \Phi, \quad O_{BB} = \Phi^\dagger \tilde{B}_{\mu\nu} \tilde{B}^{\mu\nu} \Phi, \quad O_{GG} = \Phi^\dagger \Phi G_{\mu\nu} G^{\mu\nu}, \\
O_W &= (D_\mu \Phi)^\dagger \tilde{W}^{\mu\nu} (D_\nu \Phi), \quad O_B = (D_\mu \Phi)^\dagger \tilde{B}^{\mu\nu} (D_\nu \Phi), \quad O_{\phi,1} = (D_\mu \Phi)^\dagger \Phi (D^\mu \Phi), \\
O_{\phi,2} &= \frac{1}{2} \partial^\mu (\Phi^\dagger \Phi) \partial_\mu (\Phi^\dagger \Phi), \quad O_{\phi,3} = \frac{1}{3} (\Phi^\dagger \Phi)^3, \quad O_{\phi,4} = (D_\mu \Phi)^\dagger (D^\mu \Phi) (\Phi^\dagger \Phi),
\end{align*}
\]

\(^1\)The VC can be expressed in terms of the masses only after the electroweak symmetry is broken.
where
\[
\widetilde{B}_{\mu\nu} = \frac{ig'}{2} B_{\mu\nu}, \quad \widetilde{W}_{\mu\nu} = \frac{i}{2} g' \sigma^a W^a_{\mu\nu}, \quad \widetilde{G}_{\mu\nu} = \frac{ig}{2} \lambda^a G^a_{\mu\nu},
\]
(10)
g, \ g' being the SU(2)$_L$ and U(1)$_Y$ gauge couplings respectively, and \(\lambda^a, \ \sigma^a\) are the Gell-Mann and Pauli matrices. Note that the mixed gauge operator \(O_{BW} = \Phi^\dagger \widetilde{B}_{\mu\nu} \widetilde{W}^{\mu\nu} \Phi\) cannot generate a self-energy amplitude, either at one- or at two-loop.

With these set of nine operators, we can write the dimension-6 part of Eq. (3) as
\[
\mathcal{L}_6 = \frac{1}{\Lambda^2} \sum_{i=1}^{9} c_i O_i,
\]
(11) and Eq. (6) takes the form
\[
\delta m^2_h = \frac{\Lambda^2}{16\pi^2} \left( 6\lambda + \frac{3}{4} g_1^2 + \frac{9}{4} g_2^2 - 6g_1^2 \right) + \frac{\Lambda^2}{16\pi^2} \sum_i f_i + \frac{\Lambda^2}{(16\pi^2)^2} \sum_i g_i,
\]
where \(f_i\) and \(g_i\) terms come respectively from the one-loop and two-loop quartic divergences with the insertion of the operator \(O_i\), any of the nine dimension-6 operators listed above. The contributions are given by
\[
\begin{align*}
f_{\phi,1} &= -3c_{\phi,1}, & g_{\phi,1} &= -\frac{9}{2} \left( g^2 + 3g_2^2 \right) c_{\phi,1}, \\
f_{\phi,2} &= -6c_{\phi,2}, & g_{\phi,2} &= 0, \\
f_{\phi,3} &= 0, & g_{\phi,3} &= 18c_{\phi,3}, \\
f_{\phi,4} &= -3c_{\phi,4}, & g_{\phi,4} &= \frac{9}{2} \left( g^2 + 3g_2^2 \right) c_{\phi,4}, \\
f_{WW} &= -\frac{9}{4} g^2 c_{WW}, & g_{WW} &= -27 g^4 c_{WW}, \\
f_{BB} &= -\frac{3}{4} g_1^2 c_{BB}, & g_{BB} &= 0, \\
f_{GG} &= -6g_2^2 c_{GG}, & g_{GG} &= -72g_2^4 c_{GG}, \\
f_{W} &= \frac{9}{4} g^2 c_{W}, & g_{W} &= -\frac{27}{2} g^4 c_{W}, \\
f_{B} &= \frac{3}{4} g_1^2 c_{B}, & g_{B} &= 0.
\end{align*}
\]
(13)
Eqs. (12) and (13) are the central results of this paper. The extra \(1/16\pi^2\) suppression tells us that we may neglect the \(g_i\) terms (and thus will be justified to neglect the dimension-8 and other higher-dimensional operators), unless we deal with pathological cases like \(\sum f_i \approx 0\), or all the WCs being zero except \(c_{\phi,3}\).

With only the \(f_i\) terms, the modified VC reads
\[
\left( 6\lambda + \frac{3}{4} g_1^2 + \frac{9}{4} g_2^2 - 6g_1^2 \right) + \sum_i f_i \approx 0,
\]
(14) which immediately tells us that at least one, or perhaps more, WCs should be negative. As the operators do not contain strongly interacting fields (except \(O_{GG}\)), the running between \(\Lambda\), which is the matching scale, and the electroweak scale, are controlled by electroweak radiative corrections only (at the leading order).

Before we go into the next Section, let us enlist once more a couple of important points.
• If we assume the WCs at the matching scale are of order unity (which is expected if the UVC is perturbative in nature), we can safely neglect the two-loop $g_i$ terms, as they are suppressed by at least two orders of magnitude coming from $1/16\pi^2$. This also ensures that we do not have to worry about $d > 6$ operators.

• At what scale should the VC be satisfied? Obviously, it should be at the matching scale $\Lambda$. Eq. (8) shows that the cancellation need not be exact, it should be of the order of $v^2/\Lambda^2$. Thus, it is meaningless to talk about the fine-tuning problem if $\Lambda = 1$ TeV, and anyway we already know that there is no new physics (at least strongly interacting) at that scale, thanks to LHC. $\Lambda = 100$ TeV makes the fine-tuning problem come back in a softened avatar, so this should be the correct ballpark to study the issue. Even higher values, like $\Lambda = 10^6$ TeV, makes the fine-tuning problem seriously uncomfortable.

5 Result

Following what has been said just now, we will study the VC for two values of $\Lambda$, namely, 100 TeV and 10$^6$ TeV. To start with, let us assume that only one of the eight SMEFT operators (neglecting $O_{\phi, 3}$ which does not contribute to $f_i$) is present at the matching scale. We also need to evolve the SM couplings to that scale, for which we use the package SARAH v4.14.1 [16], with two-loop RG equations.

Taking $\Lambda = 100$ TeV, one gets, for exact cancellation of the quadratic divergence,

$$
100 \text{ TeV} \mid c_{\phi, 1} = c_{\phi, 4} = 2c_{\phi, 2} = -1.15, \ c_{BB} = c_B = -21.5, \ c_{WW} = c_W = -4.13, \ c_{GG} = -0.78,
$$

and for $\Lambda = 10^6$ TeV

$$
10^6 \text{ TeV} \mid c_{\phi, 1} = c_{\phi, 4} = 2c_{\phi, 2} = -1.03, \ c_{BB} = c_B = -17.3, \ c_{WW} = c_W = -4.20, \ c_{GG} = -1.11.
$$

Of course, one may relax these numbers a bit if exact cancellation is not warranted. Note the large values for the weak gauge WCs, they stem from the definition of the corresponding $f_i$'s in Eq. (13) which contain $g^2$ or $g'^2$; the UVC need not be non-perturbative. On the other hand, if we take $\Lambda = 2$ TeV only, the corresponding exact-cancellation values are

$$
2 \text{ TeV} \mid c_{\phi, 1} = c_{\phi, 4} = 2c_{\phi, 2} = -1.34, \ c_{BB} = c_B = -26.2, \ c_{WW} = c_W = -4.53, \ c_{GG} = -0.66.
$$

This change is entirely due to the running of the SM couplings.

However, there is hardly any UVC theory that generates only one of these eight operators at the matching scale. As the sign of the WCs can be either positive or negative, the eight free parameters do not even give a closed hypersurface in the 8-dimensional plot, and therefore marginalisation is of very limited use. We thus show in Fig. 1, two distinct cases where only a pair of WCs are nonzero at $\Lambda$. For the left panel of Fig. 1, we take $c_{\phi, 2}, c_{\phi, 4} \neq 0$, while for the right panel, $c_{WW}$ and $c_{BB}$ are taken to be nonzero (an identical plot is obtained for $c_W$ versus $c_B$).

The narrow lines, as shown in the plot, are obtained with the demand of an exact cancellation. They broaden out to bands if we allow a finite amount of fine-tuning, the bands getting narrower for higher values of $\Lambda$.

The SMEFT operators contribute to anomalous trilinear and quartic gauge-gauge and gauge-Higgs couplings, as well as modified wavefunction renormalisation for the bosonic fields. It is indeed heartening to note that the parameter space that we obtain is consistent with all other theoretical and experimental
Figure 1: The parameter space for $c_{\phi,2}$ and $c_{\phi,4}$ (left), and $c_{WW}$ and $c_{BB}$ (right) that is needed for an exact cancellation of the quadratic divergence at the scale $\Lambda$. The red solid line is for $\Lambda = 100$ TeV, while the blue dashed line is for $\Lambda = 10^6$ TeV. For the left plot, the two lines almost coincide.

constraints [17]. For other collider signatures of these $d = 6$ operators, like vector boson scattering and Higgs pair production at the LHC, we refer the reader to, e.g., Refs. [18] and [19].

6 Conclusion

In this paper, we have discussed the Veltman condition leading to the cancellation of the quadratic divergence of the Higgs self-energy in the context of an SMEFT framework. In other words, we assume the existence of a cut-off scale $\Lambda$, below which we have the SM, while the theory above $\Lambda$ introduces higher-dimensional operators in the low-energy domain. If $\Lambda$ is large enough, the low-energy theory is still plagued by the $\sim \Lambda^2$ divergence, even if it is not as uncomfortable as what one gets with a desert up to the Planck scale.

We show that the higher dimensional operators lead to quadratic divergences too, but there are two distinct sources of them. For example, with $d = 6$ operators, such divergences can come from one-loop diagrams with momentum-dependent vertices, or two-loop diagrams with momentum-independent vertices. The latter, however, are suppressed by an extra loop factor of $1/16\pi^2$ and hence can be neglected as a first approximation. The same logic leads to the important point that only $d = 6$ operators are relevant for such one-loop quadratic divergences. (There is a caveat, though: the number of relevant effective operators increases almost exponentially with $d$, and the loop suppression may just be compensated by the large number of such amplitudes.)

We find that there are only eight operators that contribute to the Veltman condition. It turns out that at least one of the WCs has to be negative, but they are all consistent with a high-scale perturbative theory. The parameter space that we find is compatible with other theoretical and experimental constraints. Thus, this study should set a benchmark for the model builders.

Acknowledgements — A.K. acknowledges the support from the Science and Engineering Research Board, Govt. of India, through the grants CRG/2019/000362, MTR/2019/000066, and DIA/2018/000003.
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