Pretreatment diagnosis factors associated with overtreatment with surgery in patients with differentiated-type early gastric cancer

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This study aimed to clarify the pretreatment factors associated with overtreatment with surgery in patients with differentiated-type early gastric cancer. This single-centre, retrospective study included 781 patients with differentiated-type early gastric cancer treated by surgical resection between April 2005 and May 2017. Postoperative pathological results were used to divide patients into the accurate surgical indication group and overtreatment with surgery group; the groups were compared with respect to accurate diagnosis and misdiagnosis based on tumour diameter (≤30 mm or >30 mm), diagnosis of depth, diagnosis of ulcerative findings, and diagnosis of main histology. There were 224 patients in the overtreatment with surgery group. Multivariate analysis revealed significant differences in misdiagnosis of tumour diameter, misdiagnosis of depth, misdiagnosis of ulcerative findings, and misdiagnosis of main histology between the accurate surgical indication group and overtreatment with surgery group. Significant factors for pretreatment misdiagnosis leading to overtreatment in differentiated-type early gastric cancer were tumour diameter, depth, and main histology. It may be acceptable to perform endoscopic resection for patients with pretreatment tumour diameter ≤30 mm, mucosal invasion of pretreatment depth, and undifferentiated-type cancers containing differentiated-type components of pretreatment histology because this reduces overtreatment with surgery.

Since the development of endoscopic submucosal dissection (ESD) for early gastric cancer (EGC)1-4, gastric cancer lesions that previously required surgical treatment can be resected by less invasive endoscopic procedures. However, the indications for ESD are limited. For differentiated-type EGC, the indication for ESD is intramucosal carcinoma without an ulcer or with an ulcer measuring ≤30 mm in diameter by endoscopic diagnosis5,6. For undifferentiated-type EGCs, ESD is only indicated for intramucosal carcinoma with an ulcer measuring ≤20 mm in diameter by endoscopic diagnosis7. Therefore, lesions that do not meet these indications for ESD are treated surgically. The factors involved in pretreatment diagnosis (histological type, tumour diameter, invasion depth, and ulcerative findings) are important for selecting appropriate treatment.

Generally, the histological type is determined by pretreatment biopsy5,8,9. Additionally, mixed histological-type gastric cancers are defined as either differentiated-type EGC containing undifferentiated-type components (M-DT) or undifferentiated-type EGC containing differentiated-type components (M-UDT)5. Depending on the site of the pretreatment biopsy, M-DT may be diagnosed as M-UDT or pure undifferentiated-type (P-UDT) EGC based on pretreatment biopsy findings8.

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If undifferentiated-type lesions are preoperatively diagnosed as differentiated-type, overtreatment with surgery by pretreatment misdiagnosis of the histological type does not occur because the indications for ESD in patients with undifferentiated-type are more rigorous than those for ESD in patients with differentiated-type. However, if differentiated-type lesions are preoperatively diagnosed as undifferentiated-type, overtreatment with surgery by misdiagnosis of the histological type may occur. Therefore, in differentiated-type EGC, pretreatment histological diagnosis is especially important.

Moreover, with regard to tumour diameter, depth, and presence of an ulcer, diagnosis is mainly made by endoscopy in routine clinical practice. The pretreatment diagnosis is not necessarily consistent with the postoperative diagnosis. However, it is unclear which pretreatment factors are associated with overtreatment with surgery. Therefore, we aimed to clarify the pretreatment factors that are associated with overtreatment with surgery in patients with differentiated-type EGC.

**Results**

In total, 881 patients with differentiated-type EGCs underwent surgery as the initial treatment in our hospital between April 2005 and May 2017. One hundred patients were excluded (17 who desired surgery regardless of ESD findings, 10 with suspected lymph node metastasis by preoperative computed tomography, 31 for whom ESD was considered technically difficult because of remnant and gastric tube cancers, 7 that underwent simultaneous resection for other cancers, 9 who underwent chemotherapy before surgery, 4 with no preoperative biopsy in our hospital, 6 with remnant or recurrent gastric cancer after endoscopic treatment in other hospitals, and 16 with multiple cancers) and 781 differentiated-type EGCs were included in the study (Fig. 1). Patients’ background characteristics and pretreatment diagnoses are shown in Table 1. Postoperative pathological results are shown in Table 2. Among the surgical patients (781 patients), 224 (28.7%) were in the overtreatment with surgery group.

We showed accurate diagnosis and misdiagnosis by comparing pretreatment diagnosis and postoperative pathological result (Table 3). Misdiagnosis of tumour diameter was present in 41 patients (5.1%). Misdiagnosis of depth was present in 318 patients (40.7%). Misdiagnosis of ulcerative findings was present in 387 patients (49.6%). Misdiagnosis of main histological type was present in 115 patients (14.7%).

We compared the accurate diagnosis and misdiagnosis for diagnosis of tumour diameter, diagnosis of depth, diagnosis of ulcerative findings, and diagnosis of main histology between the accurate surgical indication group and overtreatment with surgery group (Table 4). Univariate analysis revealed significant intergroup differences that were dependent on diagnosis of tumour diameter, diagnosis of depth, diagnosis of ulcerative findings, and diagnosis of main histology. Our findings showed that a significantly greater number of patients had misdiagnosis of tumour diameter (14.7% vs. 1.3%), misdiagnosis of depth (75.9% vs. 26.6%), and misdiagnosis of main histology (24.1% vs. 11.0%) in the overtreatment with surgery group than in the accurate surgical indication group. For multivariate analysis of these factors (Table 5), a significantly greater number of patients had misdiagnosis of tumour diameter (odds ratio, 19.8; \( P < 0.0001 \)), misdiagnosis of depth (odds ratio, 15.0; \( P < 0.0001 \)), and misdiagnosis of main histology (odds ratio, 6.1; \( P < 0.0001 \)) in the overtreatment with surgery group than in the accurate surgical indication group.
Based on the results of the multivariate analysis, we stratified the misdiagnosis of main histology in both groups (Table 6). Pretreatment diagnosis of patients in the overtreatment with surgery group showed that mucosal invasion and tumour diameter \( \leq 30 \text{ mm} \) were present in 50.4% of patients (histology: M-UDT 20.4%, P-UDT 29.6%). Pretreatment diagnosis of patients in the accurate surgical indication group showed that submucosal invasion was present in 72.1% of patients; mucosal invasion and tumour diameter \( \leq 30 \text{ mm} \) were present in only 1.6% of patients.

**Discussion**

Overtreatment with surgery occurs in patients with differentiated-type EGC. However, the factors of pretreatment misdiagnosis that are associated with overtreatment with surgery in such patients are unclear. To the best of our knowledge, this is the first study to assess these factors.

The proportion of patients who experience overtreatment with surgery is thought to be related to the curative resection rate of ESD for undifferentiated-type EGC practised in each institution. If ESD is positively enforced for patients in whom it is difficult to determine the ESD indication, the curative resection rate of ESD will be low, but the proportion of patients who experience overtreatment with surgery will decrease. In patients undergoing ESD for undifferentiated-type EGC in other institutions, the curative resection rate ranged from 63.9% to 79.3%10–15. In our hospital, the ESD curative resection rate of patients with undifferentiated-type EGC from April 2005 to May 2017 was 74.9% (265/354), which is similar to that in other institutions.

First, we found a significantly greater number of patients who had misdiagnosis of tumour diameter in the overtreatment with surgery group than in the accurate surgical indication group. This is likely because the pretreatment diagnosis of tumour diameter (\( \leq 30 \text{ mm} \) or > 30 mm) is the criterion for determining treatment (surgical operation or ESD) for intramucosal ulcerative findings. Therefore, the tumour diameter did not contribute to the finding of overtreatment with surgery in patients with ulcerative findings.

Second, we found a significantly greater number of patients with misdiagnosis of depth in the overtreatment with surgery group than in the accurate surgical indication group. However, the overall proportion of patients who had accurate diagnosis of depth was low (59.2%). Pretreatment diagnosis of tumour diameter contributed to overtreatment with surgery solely in patients with intramucosal ulcerative findings, whereas, pretreatment

| Gender          | Male   | Female  |
|-----------------|--------|---------|
| Gender          | 566 (72.5) | 215 (27.5) |
| Age (years)     | 68 (61-75) [25-98] |

Table 1. Patients’ background characteristics and pretreatment diagnosis (n = 781). Data are presented as number (%). *Age is expressed as median (interquartile range) [range].
diagnosis of depth contributed to overtreatment with surgery in all patients. Therefore, pretreatment diagnosis of depth is more influential than pretreatment diagnosis of tumour diameter.

Generally, when ulcerative findings are complicated, the diagnosis of depth becomes difficult. Compared to the findings of the present study, Choi et al. reported a higher proportion of patients (78.0%) with an accurate diagnosis of depth for differentiated-type EGC. Moreover, Choi et al. reported that 29.2% of patients had

| Pretreatment diagnosis | Postoperative pathology | n = 781 |
|------------------------|-------------------------|---------|
| **Tumour diameter** |
| ≤30 mm | ≤30 mm (Accurate) | 241 (30.9) |
| | >30 mm (Misdiagnosis) | 3 (0.4) |
| >30 mm | ≤30 mm (Misdiagnosis) | 37 (4.7) |
| | >30 mm (Accurate) | 500 (64.0) |
| **Depth** |
| Mucosal invasion |
| Mucosal invasion or submucosal invasion <500μm (Accurate) | 126 (16.1) |
| Submucosal invasion ≥500μm (Misdiagnosis) | 33 (4.2) |
| Submucosal invasion |
| Mucosal invasion or submucosal invasion <500μm (Misdiagnosis) | 285 (36.5) |
| Submucosal invasion ≥500μm (Accurate) | 337 (43.1) |
| **Ulcerative findings** |
| Absence |
| Absence (Accurate) | 196 (25.1) |
| Presence (Misdiagnosis) | 317 (40.6) |
| Presence |
| Absence (Misdiagnosis) | 70 (9.0) |
| Presence (Accurate) | 198 (25.4) |
| **Main histology** |
| Differentiated type |
| Differentiated type (Accurate) | 666 (85.3) |
| Undifferentiated type |
| Differentiated type (Misdiagnosis) | 115 (14.7) |

**Table 3.** Accurate diagnosis and misdiagnosis by correspondence of pretreatment diagnosis and postoperative pathological result. Data are presented as number (%).
ulcerative findings in postoperative pathological results, whereas, the present study showed that 65.9% of patients had ulcerative findings in postoperative pathological results. Therefore, because there were more patients with ulcerative findings in the present study than in the previous report, it may have been difficult to diagnose depth in the present study.

If the proportion of patients with accurate diagnosis is improved, the number of patients who experience overtreatment with surgery will be reduced. However, the presence of an ulcer causes difficulty in determining the depth of the tumour16, although endoscopic ultrasonography is reportedly useful when combined with

| Diagnosis of tumour diameter | Accurate surgical indication (n = 557) | Overtreatment with surgery (n = 224) | P-value |
|-----------------------------|--------------------------------------|------------------------------------|---------|
| Accurate diagnosis          | 550 (98.7)                          | 191 (85.3)                         | <0.0001 |
| Misdiagnosis                | 7 (1.3)                             | 33 (14.7)                          |         |

| Diagnosis of depth          |                                            |                                    |         |
|-----------------------------|---------------------------------------------|-----------------------------------|---------|
| Accurate diagnosis          | 409 (73.4)                                  | 54 (24.1)                         | <0.0001 |
| Misdiagnosis                | 148 (26.6)                                  | 170 (75.9)                        |         |

| Diagnosis of ulcerative findings | Accurate diagnosis | Overtreatment with surgery (n = 224) | P-value |
|---------------------------------|--------------------|-------------------------------------|---------|
| Accurate diagnosis              | 264 (47.4)         | 130 (58.0)                          |         |
| Misdiagnosis                    | 293 (52.6)         | 94 (42.0)                           |         |

| Diagnosis of main histology    | Accurate diagnosis | Overtreatment with surgery (n = 224) | P-value |
|--------------------------------|--------------------|-------------------------------------|---------|
| Accurate diagnosis             | 496 (89.0)         | 170 (75.9)                          |         |
| Misdiagnosis                   | 61 (11.0)          | 54 (24.1)                           |         |

Table 4. Univariate analysis of diagnosis of tumour diameter, diagnosis of depth, diagnosis of ulcerative findings, and diagnosis of main histology, compared between the accurate surgical indication group and overtreatment with surgery group. Data are presented as number (%).

| Diagnosis of tumour diameter | Odds ratio | P-value | 95% CI |
|-----------------------------|------------|---------|--------|
| Accurate diagnosis          | 1          | <0.0001 |        |
| Misdiagnosis                | 19.8       | 7.5–52.2|        |

| Diagnosis of depth          | Odds ratio | P-value | 95% CI |
|-----------------------------|------------|---------|--------|
| Accurate diagnosis          | 1          | <0.0001 |        |
| Misdiagnosis                | 15.0       | 9.6–23.8|        |

| Diagnosis of ulcerative findings | Odds ratio | P-value | 95% CI |
|---------------------------------|------------|---------|--------|
| Accurate diagnosis              | 1          | <0.0001 |        |
| Misdiagnosis                    | 0.6        | 0.4–0.8 |        |

| Diagnosis of main histology    | Odds ratio | P-value | 95% CI |
|--------------------------------|------------|---------|--------|
| Accurate diagnosis             | 1          | <0.0001 |        |
| Misdiagnosis                   | 6.1        | 3.5–10.6|        |

Table 5. Multivariate analysis of diagnosis of tumour diameter, diagnosis of depth, diagnosis of ulcerative findings, and diagnosis of main histology, compared between the accurate surgical indication group and overtreatment with surgery group. *CI: confidence interval.

| Pretreatment depth | Pretreatment tumour diameter | Pretreatment ulcerative findings | Pretreatment histology | Overtreatment with surgery (n = 54) | Accurate surgical indication (n = 61) |
|--------------------|-----------------------------|---------------------------------|-----------------------|------------------------------------|--------------------------------------|
|                    | P-UDT                       | M-UDT                           |                       |                                    |                                      |
| Submucosal invasion| ≤30 mm                      | P-UDT                           | 8 (14.8)              | 10 (16.4)                          |                                      |
|                    | M-UDT                       |                                 | 8 (14.8)              | 34 (55.7)                          |                                      |
|                    | >30 mm                      | P-UDT                           | 16 (29.6)             | 1 (1.6)                            |                                      |
|                    | M-UDT                       |                                 | 11 (20.4)             | 0                                  |                                      |
| Mucosal invasion   | ≤30 mm                      | P-UDT                           | 5 (9.3)               | 3 (4.9)                            |                                      |
|                    | M-UDT                       |                                 | 0                     | 6 (9.8)                            |                                      |
|                    | >30 mm                      | P-UDT                           | 1 (1.9)               | 2 (3.3)                            |                                      |
|                    | M-UDT                       |                                 | 5 (9.3)               | 5 (8.2)                            |                                      |

Table 6. Stratification of misdiagnosis of main histology in both groups. Data are presented as number (%). P-UDT: pure undifferentiated-type early gastric cancer. M-UDT: Undifferentiated-type early gastric cancer containing differentiated-type components.
conventional endoscopy for determining the depth of the tumour\textsuperscript{18,19}. Additionally, because the overall proportion of patients with accurate diagnosis of ulcerative findings was low (50.4%), we believe that the significant differences noted in the diagnosis of ulcerative findings are not reliable. In the future, the development of new diagnostic modalities or methods is expected to improve diagnosis of ulcerative findings.

Finally, we found a significantly greater number of patients with misdiagnosis of main histology in the overtreatment with surgery group than in the accurate surgical indication group. Misdiagnosis of main histology led to overtreatment with surgery because differentiated-type and undifferentiated-type EGC had different diagnostic criteria for indication of treatment. This finding is likely because biopsy of the undifferentiated-type component is performed for patients with M-DT. Komatsu et al. reported that the detection rate of differentiated-type cancers by pretreatment biopsy in P-DT was 87.2\%, whereas that in M-DT was 78.1\%\textsuperscript{20}. The results of the present study showed that histological diagnosis by pretreatment biopsy alone may have limited effectiveness. Therefore, it is necessary to include another modality for pretreatment histological diagnosis to reduce the number of patients who experience overtreatment due to misdiagnosis of histological type.

In contrast, in gastric cancer, it is possible to diagnose both differentiated-type\textsuperscript{21} and undifferentiated-type\textsuperscript{22–24} based on characteristic findings of magnifying endoscopy with narrow-band imaging (ME-NBI). The entire lesion should be examined using ME-NBI to determine whether undifferentiated-type or differentiated-type characteristics are present. Although the biopsy result enables the diagnosis of a portion of the lesion, the endoscopic result can be used to diagnose the entire lesion. However, there has been no report regarding fixed endoscopic findings of mixed histological type. A prospective study that investigates the extent of agreement between the histological type diagnosed by endoscopic diagnosis based on ME-NBI and that diagnosed by postoperative histology should be conducted in the future. At present, it is difficult to improve the pretreatment diagnosis of depth and ulcerative findings; as well as to determine postoperative histology, as diagnostic modalities or methods is expected to improve diagnosis of ulcerative findings.

We investigated the background of patients, pretreatment diagnosis (tumour diameter, depth, ulcerative findings, and histological type), and postoperative pathological result. The cutoff value of tumour diameter was set at 30 mm because the pretreatment diagnosis of tumour diameter \((\leq 30\text{ mm or } > 30\text{ mm})\) is the criterion for determining treatment (surgical operation or ESD) for patients with intramucosal ulcerative findings. We investigated accurate diagnosis and misdiagnosis by assessing the relationship between pretreatment diagnosis and postoperative pathological result. Accurate diagnosis was defined as patients for whom pretreatment diagnosis and postoperative pathological result were consistent. Misdiagnosis was defined as patients for whom pretreatment diagnosis and postoperative pathological result were inconsistent. With respect to depth, patients with accurate diagnosis included those with combination pretreatment mucosal invasion and postoperative pathological mucosal
invasion or submucosal invasion <500 μm, and those with combination pretreatment submucosal invasion and postoperative pathological submucosal invasion ≥500 μm. We categorised the patients into two groups according to postoperative pathological results: accurate surgical indication group and overtreatment with surgery group. The overtreatment with surgery group was defined as patients that satisfied the following conditions, based on the criteria for curative resection of ESD for differentiated-type EGC in the Japanese gastric cancer treatment guidelines⁵, with respect to postoperative pathological results.

1. Tumour diameter ≤30 mm, mucosal invasion or submucosal invasion <500 μm, and presence of ulcerative findings or tumour diameter >30 mm, mucosal invasion, and absence of ulcerative findings
2. Absence of lymphatic invasion, vascular invasion, and lymph node metastasis
3. Absence of undifferentiated component of >20 mm in intramucosal lesions
4. R0 resection
5. Pretreatment biopsy specimens were obtained from three to five areas of the lesion, and the predominant histological type was considered the pretreatment histological type. For example, among three biopsies in which two were diagnosed as differentiated-type and one as P-UDT, the histological type was M-DT and the main histological type was differentiated-type. When all biopsy results were negative and the diagnosis of the dominant histological type could not be identified, the biopsy was repeated. All patients were classified as P-DT and M-DT according to the postoperative pathological results. A P-DT patient was defined as a patient who only had the differentiated-type component. An M-DT patient was defined as a patient in whom the differentiated-type component exceeded 50% of the lesion.

We compared the accurate surgical indication group and overtreatment with surgery group in terms of accurate diagnosis and misdiagnosis, regarding diagnosis of tumour diameter, diagnosis of depth, diagnosis of ulcerative findings, and diagnosis of main histology. Moreover, we stratified the misdiagnosis of main histology in both groups to explore the possibility of reducing the numbers of overtreatment with surgery.

In our hospital, with respect to pretreatment biopsy results, the predominant histological type was defined as the pretreatment main histological type, in accordance with the guideline⁷. Pretreatment biopsy specimens were obtained from three to five areas of the lesion, and the predominant histological type was considered the pretreatment histological type. For example, among three biopsies in which two were diagnosed as differentiated-type and one as P-UDT, the histological type was M-DT and the main histological type was differentiated-type. When all biopsy results were negative and the diagnosis of the dominant histological type could not be identified, the biopsy was repeated. All patients were classified as P-DT and M-DT according to the postoperative pathological results. A P-DT patient was defined as a patient who only had the differentiated-type component. An M-DT patient was defined as a patient in whom the differentiated-type component exceeded 50% of the lesion.

All patients with differentiated-type EGCs who were treated by surgery underwent pretreatment conventional endoscopy, dye-spraying endoscopy, and ME-NBI to determine the extent and depth of the tumour. Additionally, endoscopic ultrasonography was enforced when the diagnosis of depth was difficult. If the endoscopic ultrasonography and conventional endoscopic diagnoses differed, the former was regarded as the final diagnosis. We determined pretreatment diagnosis at the conference conducted by our group performing endoscopic diagnosis. Therefore, we extracted the record of the decision of pretreatment diagnosis from the electronic medical records.

For each surgically resected specimen, sections were prepared at 5-mm intervals for pathological evaluation. All pathological examinations were performed by pathologists who specialised in gastrointestinal pathology. Endpoints were maximum tumour diameter, invasion depth, histological type, presence of an ulcer, lymphovascular invasion, oral margin, anal margin, and presence or absence of lymph node metastasis. R0 surgical patients were considered curative resection patients, in accordance with the Japanese guidelines⁵.

Before treatment, the advantages and disadvantages of the operations were fully explained to each patient, and informed consent (comprehensive consent for the procedure and for the use of samples and data in any study) was obtained. A consensus was established in our hospital to expand the application of operations to lesions. This study was approved by the institutional review board (IRB) of the Cancer Institute Hospital (IRB number: 2017-1204), and conforms to the provisions of the Declaration of Helsinki (as revised in Fortaleza, Brazil, October 2013).

Statistical analysis. Fisher’s exact probability test was used to compare data between the two groups. The mean values and standard deviations for age and tumour diameter were calculated and analysed by the t-test and F-test. The Mann-Whitney U test was used to analyse non-parametric variables, which are expressed as median, interquartile range, and overall range. Multivariate analysis was performed for the comparison of the accurate surgical indication group and overtreatment group. In addition, multivariate analysis was performed on items that showed significant differences in univariate analysis, and the odds ratio and 95% confidence interval were calculated. Statistical significance was set at P < 0.05 for both univariate and multivariate analyses. JMP version 13.2 (SAS Institute, Cary, NC, USA) was used for statistical analyses.

Data availability
The data are not available for public access because of patient privacy concerns, but are available from the corresponding author on reasonable request.

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**Author contributions**

Y.H. designed the study and wrote the initial draft of the manuscript. Y.H., J.F., N.Y. and S.I. contributed to the analysis and interpretation of data, and assisted in the preparation of the manuscript. All other authors contributed to data collection and interpretation, and critically reviewed the manuscript. All authors approved the final version of the manuscript, and agree to be accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

**Competing interests**

The authors declare no competing interests.

**Additional information**

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