Red Queen Coevolution on Fitness Landscapes

Ricard V. Solé and Josep Sardanyés

Abstract Species do not merely evolve, they also coevolve with other organisms. Coevolution is a major force driving interacting species to continuously evolve exploring their fitness landscapes. Coevolution involves the coupling of species fitness landscapes, linking species genetic changes with their inter-specific ecological interactions. Here we first introduce the Red Queen hypothesis of evolution commenting on some theoretical aspects and empirical evidences. As an introduction to the fitness landscape concept, we review key issues on evolution on simple and rugged fitness landscapes. Then we present key modeling examples of coevolution on different fitness landscapes at different scales, from RNA viruses to complex ecosystems and macroevolution.

1 Introduction: the Red Queen

Coevolution pervades evolutionary change on multiple scales. It is not exaggerated to say, changing a little the classical Dobzhansky’s statement, that nothing makes sense in biology except in the light of coevolution. Darwin himself recognized this when referring to what he called the entangled bank [9]: "It is interesting to contemplate an entangled bank, clothed with many plants of many kinds, with birds singing

Ricard V. Solé
1ICREA-Complex Systems Lab, Universitat Pompeu Fabra, Parc de Recerca Biomèdica de Barcelona (PRBB), Dr. Aiguader 88, 08003 Barcelona, Spain. 2Institut de Biologia Evolutiva (CSIC-Universitat Pompeu Fabra), Passeig Marítim de la Barceloneta 37, 08003 Barcelona, Spain. 3Santa Fe Institute, 1399 Hyde Park Road, Santa Fe NM 87501, USA. e-mail: ricard.sole@upf.edu

Josep Sardanyés
1ICREA-Complex Systems Lab, Universitat Pompeu Fabra, Parc de Recerca Biomèdica de Barcelona (PRBB), Dr. Aiguader 88, 08003 Barcelona, Spain. 2Institut de Biologia Evolutiva (CSIC-Universitat Pompeu Fabra), Passeig Marítim de la Barceloneta 37, 08003 Barcelona, Spain. e-mail: josep.sardanes@upf.edu
on the bushes, with various insects flitting about, and with worms crawling through
the damp earth”. Indeed, ecosystems need to be seen as collectives of interacting
species whose evolutionary fate is necessarily intermingled in complex ways. Such
complex networks pervade ecosystems and their evolutionary dynamics [48].

The rationale of the previous statements is simple. As any species changes over
time, it inevitably triggers co-evolutionary responses in those partners directly af-
fected by their interdependencies. A prey running faster than its predator will require
changes in the later to cope with the change. Running fast is one option, hiding in
the appropriate place another. If we move ourselves into the microcosmos of host-
pathogen interactions, including cells and viruses (or bacteria) as main examples of
coevolving players, a faster rate of change in the parasite might need a faster re-
sponse from the host. Changing attributes might be an unavoidable consequence of
entangled ecosystems and not changing might possibly be lethal. This view matches
a dynamically unstable scenario where changes keep happening all the time and, as
in Lewis Carroll’s Through the looking glass, species need -as Alice does- to con-
tantly run to remain in the same place. Such picture was early supported by Leigh
Van Valen’s work, and is known as the Red Queen hypothesis.

The Red Queen model was introduced by Leigh Van Valen in 1973 [87]. It was
conceived as a theoretical explanation for the observation that the extinction prob-
ability of a species is approximately independent of its length of existence [87, 4].
Accordingly with this view, Van Valen observed that the vast majority of taxonomic
groups analyzed displayed exponentially decaying survivorship curves. This result
implied constancy in the probability of extinction of the taxa, regardless of their
previous duration. That is, both data from the fossil record and from extant species
suggested that a given species may disappear at any time, irrespective of how long
has already existed. This unexpected phenomenon, termed the Law of Constant Ex-
tinction, can be formulated, in a simple way, as follows. If \(N(t)\) indicates the number
of species at a given time and we follow their presence over time (ignoring other
events) we would observe an exponential decay law, namely:

\[
\frac{dN}{dt} = -\delta(t)N,
\]

where \(\delta(t)\) indicates a time-dependent extinction rate. If \(N_0\) is the original number,
this differential equation is easily solved, and gives:

\[
N(t) = N_0 \exp \left[ -\int_0^t \delta(t) dt \right].
\]

Despite the seemingly obvious assumption that \(\delta\) depends on \(t\), the surprising ob-
ervation is that the observed curves fit very well a constant decay rate \(\delta\), i.e., a
solution:

\[
N(t) = N_0 e^{-\delta t},
\]

where \(\delta\) is the extinction probability of a species (per millions of years, Myr). This
law is essentially correct on average, despite the fine-scale pattern is much more
Fig. 1 Extinction and the fossil record of life. (a) Successive cohort survivorship curves for 2,316 extinct marine families during the Phanerozoic (redrawn after [57]). Notice that, together with an average exponential tendency to decline (which would give straight lines in a linear-log plot), discrete and punctuated events are found in the dynamics of survivorship. This pattern is well appreciated in (b) where we display the number of ammonoid genera surviving over geologic time.

episodic [56], as depicted in figure 1(a). Here we display the surviving sets of families found in the marine fossil record (the so called pseudocohorts) through time. A roughly exponential decay can be identified, together with sharp extinctions events (see also figure 1(b)).

Our intuition, guided by Darwin’s theory of natural selection, would have expected species within any group to become longer lived along time: if adaptation improves species progressively through time, a decreasing probability of extinction should be expected. That is, older species should last longer. However, careful analysis revealed that species of modern mammals are likely to become extinct as were their ancestors living 200 Myr ago [4]. If evolution leads to improvement through adaptation, why modern mammals have the same extinction probabilities as their ancestors? Van Valen’s interpretation is simple but counterintuitive: species do not evolve to become any better at avoiding extinction. Van Valen suggested that constant extinction probability would arise in an always changing biotic community, with species continually adapting to each other’s changes. The name for his conjecture alludes to the Red Queen’s remark in Lewis Carroll’s Alice Through the Looking Glass: “here, you see, it takes all the running you can do, to keep in the same place”. Van Valen’s view of evolution is that species change just to remain in the evolutionary game and extinction occurs when no further changes are possible. Actually, the Red Queen hypothesis is profoundly Darwinian, in that it puts emphasis mostly on biotic interactions rather than on abiotic factors [29]. Van Valen further elaborated this concept in much more detail in subsequent articles, showing that his theory was compatible with the classic population-genetic view of species evolution [85][86].

To test the plausibility of the Red Queen hypothesis, Maynard Smith and co-workers (see [72] and references therein) developed a theoretical model describing continuous (co-)evolution of species in a constant environment. Such model considered a fixed number of $S$ interacting species, defining some fitness measure $\phi$, and a maximum fitness $\phi^*$ was supposed to exist for each species in a given fixed, ex-
ternal biotic environment. At a given time, the fitness $\phi_i$ and the maximum $\phi^*_i$ took different values, and each species “tried” to reduce the so called lag load, defined as:

$$L_i = \frac{\phi_i - \phi^*_i}{\phi_i}; \quad i = 1, \ldots, S.$$  

If $\beta_{ij}$ is the change in the lag load $L_i$ due to a change in $L_j$, then a mean-field equation for the average lag load $\langle L \rangle = \sum_i (L_i)/S$ can be derived. This is done by first separating, for each species, changes due to “microevolution of coexisting species” from those linked with its own microevolution [79]. The whole equation for the lag load variation in a given species is:

$$\delta L_i = \delta c L_i - \delta g L_i,$$

which simply says that it typically increases due to changes in the other species and decreases due to microevolutionary changes in the species under consideration i.e., $\delta c L_i$ is the increase in the lag of the $i$th species caused by evolutionary changes in others, and $\delta g L_i$ is the reduction in lag caused by changes in species $i$ itself. This can be written in the following way,

$$\delta L_i = S \sum_{j=1}^S \beta_{ij} \delta g L_j - \delta g L_i,$$

where $\beta_{ij}$ (with $\beta_{ii} = 0$) is the increase in $L_i$ due to a (unit) change in $L_j$. Assuming that most species are close to their adaptive peaks, any evolutionary change in one species will have a deleterious effect on the other species. The deterministic, time-continuous equivalent model can be formulated with:

$$\frac{dL_i}{dt} = \sum_{j=1}^S \beta_{ij} k_j L_j - k_i L_i. \quad (1)$$

By taking the average in both sides of Eq. (1), the evolution of the average lag load is given by:

$$\frac{d\langle L \rangle}{dt} = \frac{1}{S} \sum_{j=1}^S \left\{ \sum_{i=1}^S \beta_{ij} k_j L_j - k_i L_i \right\}.$$

Assuming now that $k_i = k$ for all $i = 1, \ldots, S$, the average lag load equation can be written as:

$$\frac{d\langle L \rangle}{dt} = \frac{k}{S} \sum_{j=1}^S (\Psi_j - 1) L_j,$$

and it has a steady state solution if $\Psi_j = 1$ for all $j = 1, \ldots, S$. In other words, if:

$$\Gamma \equiv \sum_{i=1}^S \beta_{ij} = 1; \quad \forall j.$$

Otherwise, it can be shown that $\langle L \rangle$ will decrease (increase) for $\Gamma < 1$ ($\Gamma > 1$). The previous identity is telling us that the equilibrium state of this system is reached through a balance between the reduction of the individual lag load of each species and the increases due to coevolutionary changes in the remaining partners. The main
result of this model is that evolution of species proceeds at an approximately steady rate even in the absence of external or environmental changes [79]. At the end of this chapter we will present a dynamic model of Red Queen dynamics where evolving networks interactions are made explicit.

As we previously discussed, the Red Queen hypothesis provides a plausible explanation of the fossil data record, but it turned to have more implications. For instance, one suggested implication of the Red Queen hypothesis is that coevolving pathogens may facilitate the persistence of outcrossing despite its costs. Specifically, coevolutionary interactions between hosts and pathogens might generate ever-changing conditions and thus favor the long-term maintenance of outcrossing relative to self-fertilization [2] or asexual reproduction [35, 26] (see also [27] for a review). Outcrossing (mating between different individuals) involves the introduction of unrelated genetic material into a breeding line, thus increasing genetic diversity. The previous statements are supported by evidences from nature. For instance, experimental studies on the coevolution of a nematode with a bacterial pathogen [49] revealed that the action of parasites caused an increase of outcrossing in mixed mating populations. Interestingly, these experiments also revealed that coevolution with the pathogen caused extinction in populations without outcrossing, whereas outcrossing populations persisted through reciprocal coevolution. Studies in natural snails populations also revealed that sexual reproduction is more common when parasites are abundant and adapted to infect local host populations [43, 40]. Coevolution and Red Queen dynamics were also identified for the crustacean genus *Daphnia* and its parasites in pond sediments [10].

2 Red Queen on a Lattice: a toy model

Before we get into the more formal approaches taken to describe and simulate the evolution and coevolution of species on fitness landscapes, let us consider a simple toy model that illustrates the basic idea behind van Valen’s metaphor. Imagine a world where our species can move on the surface of a sphere. To make things simpler, consider a discretized surface, like a mesh covering the sphere [1].

To simulate such a system we used the so-called cellular automata (CA) models [32]. CA models are a common tool to investigate interacting agents in a physical space, which, for our case, will be a surface. Each point in this mesh is a site, which can be either empty or instead occupied by an individual of a given type. Let us start with a simple "ecosystem" formed by a species exhibiting two phenotypic traits. Let us indicate as $\Sigma = \{0, 1\}$ the two possible "genotypes" which can be understood as two alleles of a given gene.

In our idealized model, 0 and 1 are the only two genotypes, each one associated to a set of parameters defining the underlying phenotype. For simplicity, we

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1 Specifically, we start from a lattice, whose surface has been discretized using a mesh, and then we perform a projection of this mesh on a surface by properly deforming the initial coordinates using a so called icosahedron-based pixelization. For details, see [80].
will consider completely symmetric sets. In other words, we have a neutral change when moving from 0 to 1 and vice versa. These transitions occur proportionally to mutation probability $\mu \in [0, 1]$.

We can use the previous system to simulate host-parasite interactions with matching alleles (MA, see Section 4 for further details) interactions. Our CA is thus given by a two-dimensional state space, $\Omega(i, j)$, with spatial coordinates $(i, j)$. The states, $S$, of the automaton at time $t$ are given by $S(i, j; t) \in \Sigma = \{H_k, P_k, E\}$, where $H$ and $P$ denote, respectively, hosts and parasites defined as 1-bit strings (i.e., with $k = 0, 1$). $E$ indicates empty sites in the state space $\Omega$.

CA models include dynamics by means of the state-transition rules, which determine the changes of the states according to the current states in a given site together with its neighboring states. For this particular system we used the so-called Moore neighborhood, which considers the eight nearest neighbors. The model rules are summarized in Box 1.
Fig. 3 Red Queen dynamics in host-parasite (prey-predator) coevolution. Here we have added to the only-host system displayed in the previous figure an evolving 1-bit parasite. Parasites propagate through space provided they find the same host genotype. Here two levels need to be considered: (a) defined by the local arrangement of individuals and the required genotypic matching. (b) Schematic diagram of the two populations (upper and lower layers) with back and forth mutations (arrows) between genotypes and the requirement of allele matching (vertical lines). The plots in (c) show the host populations (as before) which now keep changing. Similar plots would be observed (although having less dense patches) for parasites. Here we used, as before, $\mu_H = 10^{-4}$, $\delta_H = 10^{-3}$, $r_H = 0.35$; and $\mu_P = 10^{-4}$, $\delta_P = 0.2$, and $r_P = 0.1$.

Box 1. State transition rules of the CA model for host-parasite matching allele interactions with 1-bit genotypes.

1. **Death**: hosts and parasites decay with probabilities $\delta_H$ and $\delta_P$ respectively, according to (recall $k = 0, 1$):

$$H_k \xrightarrow{\delta_H} E,$$
$$P_k \xrightarrow{\delta_P} E.$$ 

2. **Birth of new hosts**: hosts can replicate with probability $r_H$, without and with mutations, following the reactions:

$$H_k + E \xrightarrow{r_H(1-\mu_H)} 2H_k,$$
$$H_k \xrightarrow{r_H\mu_H} H_k + H_{1-k},$$

where $\mu_H$ is hosts mutation rate.
3. **Predation**: Predator genotypes predate on hosts same genotype (assuming a perfect MA interaction), with rate $r_P$. In other words, they can reproduce only under the presence of the same host genotype in the neighborhood. If such condition is met, the new reactions follow:

\[
P_k + H_k \xrightarrow{r_P(1-\mu_P)} 2P_k,
\]
\[
P_k + H_k \xrightarrow{r_P\mu_P} P_k + P_{1-k},
\]

introducing again mutational changes proportionally to parasites mutation rate $\mu_P$. Because of the H-P interaction, hosts experience a parasite-driven mortality.

What is the dynamics of this simple model when no parasites are present? In figure 2 we see an example of the time evolution of this model, starting from an initial condition where we scatter a small population of each genotype over the sphere. The empty, available space is indicated in gray, whereas the two alternative genotypes are shown as black and white squares. After a short transient, where both variants expand with no special constrains, available sites become scarce and the expanding patches grow and develop rugged boundaries. Such pattern stabilizes in the long run, where we observe large domains of each class. This phenomenon is due to the local exclusion of our identical competitors [71] that allows global coexistence to occur.

The previous scenario shows that competing populations end up in a predictable community structure with no further (global) changes. However, when an additional component - parasites (or predators) - is added in the same system, it immediately triggers the emergence of an unstable dynamical state. This is shown in another example in figure 3, where we again represent the host populations, now starting with the same condition as in figure 2 but adding also some randomly distributed predators.

At any step, the spatial distribution changes rapidly and complex waves of expansion and contraction, affecting both genotypes, are observable. Sometimes, the extinction of the parasites returns our system to the previous conditions without parasite (and a spatial segregation pattern). This occurs for example when the mutation rate of the parasite is too small or its death rate too high. Sometimes, the pressure of the parasites is so strong that they cause the extinction of the hosts and the eventual collapse of the whole host-parasite system. The interesting dynamics occurs when parasites are able to reliably match their preys and reproduce at a reasonable pace. Similarly, mutation rates need to be high enough to react to depleted host populations and at the same time allow for a conservation of genetic information, thus avoiding undesirable drift (see Section 5.1). In other words, intermediate rates of parasite pressure end up in Red Queen evolution.

Here the system constantly changes as a consequence of the hide-and-run effect induced by the parasitic species. Each time a parasite finds a suitable host sharing...
the same bit, it replicates and will keep expanding provided that it finds additional hosts in the neighborhood. The end result is a spatial pattern of propagating patches and constantly changing distributions of the two available genotypes.

3 Fitness Landscapes

Adaptive or fitness landscapes are a very useful tool and an important concept in evolutionary biology. They are used to map, represent or visualize the relationship between genotypes (or phenotypes) and reproductive success or fitness. Fitness landscapes were first introduced by Sewall Wright and were then extended by other authors (see [38, 54] and references therein). Fitness landscapes assume that each genotype has a well-defined fitness value, which is represented with a height or peak in the landscape (see figures 5 and 8). A landscape simply means a single-valued scalar function, \( F(x) \), of the state or configuration \( x \) of a system. The variable \( x \) typically has very many dimensions, and thus may be often written like a multi-dimensional vector, as a set of \( N \) components \( x_i \):

\[
    x = (x_1, x_2, \ldots, x_N).
\]

The term landscape is inspired from the geographic landscapes in which the height \( h \) above sea level is a simple function \( h = F(x, y) \), of the two-dimensional location \( x = (x, y) \). In the field of biology, fitness landscapes are generically representing the fitness of a given biological entity as a function of its genotype or phenotype. As biological entity we refere to a given organism or a to particular macromolecule or cell of that individual.

Fitness is a relative measure, since it may depend on the environment and on other interacting organisms [54]. Fitness can be given by several properties, or by a combination of them. For instance, we can use replication or reproduction success as a measure of fitness. Properties like infectivity, migration capacity, ability to cooperate, among others, can also define a fitness which may facilitate survival and adaptation. As Jacob [33, 34] stated, adaptation typically progresses through small changes involving a local search in the space of possibilities (e.g., sequences space). The paradigm is a hill-climbing process via fitter mutants which "move" towards a global or local optimum in the fitness landscape (see figure 4(A)). The hill-climbing framework was originally proposed by Wright [90, 91], who introduced the concept of space of possible genotypes. Under this framework, each genotype has a given fitness, being the distribution of fitness values over the space of genotypes a fitness landscape. Depending upon the distribution of fitness values, the fitness landscape will become more or less mountainous. The behavior of an adapting population will depend on how rugged the fitness landscape is, on the size of the population, and on the mutation rate which moves a population from one genotype to another in sequence space. The motion of a population over a fitness landscape also depends on whether the population reproduces asexually or sexually. The latter reproduction in-
volves mixing of genotypes which can involve to reach more distant points in fitness landscape, compared to asexual reproduction [38].

The fitness landscape concept has been widely used in both evolutionary and coevolutionary biology. Organisms do not merely evolve, they coevolve with other organisms. As a difference from evolution, that can be roughly characterized as an adaptive search on a "potential surface", in coevolution there may typically be no such potential surface, being the process far more complex [38]. Actually, in coevolutionary processes the adaptive landscape of one organism can deform and heave as the other organisms make their own adaptive moves. Under this perspective, one can interpret coevolution as both dynamical and evolutionary processes occurring in coupled fitness landscapes (see figures 3(b), 4, 5, 8 and 10(a)).

For the sake of clarity, before accounting for coevolution, we will introduce, in Sections 3.1. and 3.2., some information about evolution on fitness landscapes. First, we will describe evolutionary phenomena in simple fitness landscapes also presenting a theoretical body to model evolution in these types of landscapes. Then, we will extend our explanations to more complex, rugged fitness landscapes. From Section 3.3. onwards (together with the Introduction Section above) we will strictly focus on coevolutionary phenomena. As an example of coevolution at small scales, Section 3.4. includes the view of RNA virus evolution from the perspective of the Red Queen hypothesis. The remaining sections will deal with some examples and models in higher biological scales, from complex ecosystems to macroevolution.

3.1 Simple versus coupled fitness landscapes

Models on evolution have considered different theoretical and computational frameworks to characterize several levels of complexity. One of the most successful approaches to address evolutionary phenomena (as well as coevolution as we will discuss later) on fitness landscapes is given by the digital genomes approach [21]. Under this approach, using as an example the evolution of RNA genomes, we can develop a mapping between RNA sequences (defined as a chain of nucleotides involving a four-letter alphabet Ω) and binary sequences, according to:

\[ F : \Omega = \{U, G, A, C\} \rightarrow \Sigma = \{0, 1\}. \]

Alternatively, one can use another Boolean representation using spins instead of bits:

\[ F_s : \Omega = \{U, G, A, C\} \rightarrow \Sigma = \{+1, -1\}. \]

Both approaches are equivalent because the mapping has the same nature. However, the spins approach exploits some advantages of considering "up" and "down" configurations to describe the microscopic dynamics.

Let us define the \( i \)th string of the population, \( S_i = (S_{i1}, \ldots, S_{i\nu}) \), as digital genomes (i.e., sequences of purines and pyrimidines only incorporating the linear information encoded by the string) of length \( \nu \). In order to determine the functional relevance of
these sequences, we need to map them to a sequence-fitness measure, which can be defined as:

\[ f : S_i \in \Sigma^\nu \rightarrow f(S_i). \]

This functional relation can be generically divided into two types: (i) the different bits of a string play an independent role in fitness; or (ii) some bits of the string influence others in a nontrivial way. Case (ii) corresponds to what is known as epistasis. Epistasis occurs when the phenotypic effect of a mutation depends on the presence of other mutations in the genome. Epistasis becomes especially important in highly-compacted genomes that are expected to contain multifunctional proteins or overlapping genes. In this sense, epistasis has been studied and characterized for RNA viruses, both experimentally and theoretically (see [13] for a review and [64, 65]). In a more general way, epistatic interactions play an important role in evolutionary genetic systems almost whenever multi locus genetics matters and plays a central role in the evolution of genetic systems such as sex and recombination, ploidy, genomic segmentation and modularity, genetic incompatibility and speciation, mechanisms of mutational robustness, mutational load for deleterious mutations through genetic drift, and the rate of adaptive evolution [12].

The digital genomes approach allows us to use an abstract, multidimensional representation of the potential set of states accessible to a \( \nu \)-bits digital genome. This set or space is given by a sequence space in the form of a hypercube, \( \mathcal{H}^\nu = \Sigma^\nu \), which can provide, at low dimensions, some intuitions about the behavior of strings under selection-mutation pressures (see figure 4). If only small mutation rates are considered, transitions between sequences will take place only involving nearest neighbors in sequences space, thus differing only in one bit.

In general, for a given mutation rate, \( \mu \), two sequences \( S \) and \( S' \) will be obtained from each other with a given probability, given by:

\[ W_\mu(S \rightarrow S') = \mu^{d_H(S,S')} (1 - \mu)^{\nu - d_H(S,S')}, \]

where \( d_H(S,S') \) is the Hamming distance among the two sequences (i. e., the number of different bits), with:

\[ d_H(S,S') = \sum_{i=1}^{\nu} \left( 1 - \delta_{S_i S'_i} \right), \]

where \( \delta_{i,j} \) is Kronecker’s delta with \( \delta_{i,j} = 1 \) if \( i = j \) and \( \delta_{i,j} = 0 \) if \( i \neq j \). Here \( W_\mu \) can be interpreted in probabilistic terms: it is the probability of having exactly \( d_H \) differences between the two digital genomes. This function allows to introduce the dynamics associated to mutations as transition probabilities. For the spin mapping, the transition probabilities can be expressed as:

\[ W_\mu(S \rightarrow S') = N \exp \left( -\beta \sum_{i=1}^{\nu} S_i S'_i \right), \]
Fig. 4 (A) Schematic representation of a fitness landscape with three peaks. Depending on the initial condition in the genotype space, the population will evolve towards different maxima due to mutations which result in motions in the landscape. (B) Fitness landscapes can also be represented in the sequences spaces (here using digital genomes). Such systems allow defining trajectories followed through string evolution. Four standard cases of 3-dimensional sequences space (where the size of the nodes denotes each string fitness value) are also displayed: (a) flat, (b) Fujiyama, (c) Swetina-Schuster (single peak), and (d) rugged fitness landscapes.

where the β term, defined as β = log(μ/(1 − μ))/2, can be interpreted in terms of a temperature, being $\mathcal{H}$ a normalization constant.

Once we define the fitness function associated to each vertex of the hypercube, we can characterize the dynamics.

If $N(S,t)$ indicates the fraction of strings having a given sequence $S \in \Sigma^\nu$ at time $t$, Eigen’s formulation [17] describes the population dynamics as a set of nonlinear differential equations, given by:

$$\frac{dN(S,t)}{dt} = \sum_{S'} W_\mu(S' \rightarrow S) f(S') N(S',t) - \left( \sum_{S'} f(S') N(S',t) \right) N(S,t) \quad (2)$$

The first term on the right-hand site of Eq. (2) corresponds to positive contributions to the abundance of $S$ due to mutation transitions from other strings of the sequence space. The second term includes all the reverse events leaving the node occupied by $S$. Figure 4B illustrates the information described in Eq. (2) for 3-bits strings (i.e., $\nu = 3$). The nodes of the hypercube indicate the population size and the three potential transitions from 000 to other strings differing in one, two or all bits are indicated by arrows of different colors (see [18] for the extension of the previous results to discrete dynamical systems).
Simple fitness landscapes can be defined from our previous definitions. Roughly, a sequences space is a discrete space including all sequence combinations of a given sequence, which are connected to neighboring sequences differing in one bit. This space thus results in a set of nodes or vertices (sequences) which are connected by single-point mutations (see figure 4(a-d)). For a given sequence of length ν, the dimension of the sequences space is given by $H^ν$. For DNA or RNA sequences, the total number of nodes in the sequences space will be $4^ν$, which results in an astronomic number. As we saw before, this inherent complexity can be reduced using digital genomes defined as bit strings or as "up" and "down" spins. The bit-strings approach allows one to simulate the processes of (co-)evolution and selection under different types of rules or interactions describing different processes in biological systems. For instance, in cancer dynamics [69], in RNA viruses [64 70 41 18 65 66 68], and, in the context of coevolution in matching-alleles dynamics (see Section 4), among others. As a simple, illustrative example, figure 4 shows four different simple fitness landscapes. The sequences space in figure 4(a) is a flat fitness landscape, where all the sequences have the same fitness $f_0$, according to $H(S_i) = f_0$. If the sequences space has a least fit sequence (e.g., 0) and then the fitness increases at increasing number of mutations, we have the so-called Fujiyama fitness landscape, shown in figure 4(b), being its fitness given by $H(S_i) = f_0 + \sum_{k=1}^{\nu} S_{ik}$ (see [55] for the application of the Fujiyama landscape to RNA viral populations). Another widely studied case is the so-called single-peak fitness landscape, which is shown in figure 4(c). For this landscape, the fitness can be defined as $H(S_i) = f_0\delta_{S_i,1} + f_1(1 - \delta_{S_i,1})$, with $f_0 > f_1$ (see [68] [65] [46] for some examples and applications of the single-peak fitness landscape).

### 3.2 Evolution on rugged fitness landscapes

The sharp, single-peak fitness landscape cited at the end of the previous section defines an extreme in a hierarchy of models introducing different levels of dependencies among genes. A different approximation deals with landscapes in a much more general way, by allowing them to display a given number of local maxima generating a mountainous landscape. Together with the simple fitness landscapes we display a rugged fitness landscape in figure 4(d). For this landscape, where each sequence has a different fitness resulting in as many peaks as sequences, the fitness can be given by $H(S_i) = \frac{1}{N} \sum_{k=1}^{\nu} \xi_{ik}$, being $\xi_{ik} \in [0,1]$, a random number. The best known model for the evolution on rugged fitness landscapes is Kauffman’s NK model [38][37], which is also defined on a hypercube. It was originally proposed as a representation of haploid genomes involving two alleles per locus with additive contributions to fitness from different loci.

The NK model is a simple model of random epistatic interactions. In this model $N$ is the number of parts of a system (e.g., genes in a genotype or amino acids in a protein). Each part makes a fitness contribution which depends upon that part as well as upon $K$ other parts among the $N$. Thus, $K$ reflects how richly cross-coupled
the system is indicating how many other genes influence a given gene i.e., the richness of epistatic interactions among the genes. Such a model, under parameters alteration, generates a family of increasingly rugged multi peaked landscapes. Once again a fitness function is introduced, \( f = f(S_{i1}, \ldots, S_{i\nu}) \), and changes in the traits are assumed to occur by means of single, one-bit steps (i.e., single-point mutations). This single-chain events are consistent with our assumption of small mutation rates. In this way, a given string obtained by inaccurate replication allows to perform a random adaptive walk from a given node towards one of its \( \nu \) nearest neighbors if this leads to an increase in fitness. A direct consequence of this process is that once a local peak is reached, no further changes are allowed to occur. This is completely different from the assumptions made above, which assume the presence of a preferred sequence around which other sequences have a lower fitness value. In the context of NK landscapes, a local peak is very simply defined: if all nearest neighbors in the hypercube are less-fit, we have a fitness local maximum.

How can we construct a system displaying a NK landscape? Kauffman suggested a simple approach using fitness tables: for each element \( S_{ij} \), if it is influenced by \( K \) other elements, each element contributes in an additive way to the overall fitness. In other words, if we consider the two-locus model and assume that a given locus \( i \) contributes to the global fitness associated to \( S \) by an amount \( f_i(S) \in [0,1] \), the global fitness is given by the average value:

\[
f(S) = \frac{1}{\nu} \sum_{i=1}^{\nu} f_i(S).
\]

As \( K \) grows, the ruggedness of the landscape increases, since more complex interactions are allowed to occur.

An interesting feature of the NK model is that, because of its simplicity, it allows the prediction of some evolutionary dynamical patterns. As an example, let us consider that fitness values are random and uncorrelated, i.e., \( f(S_{i1}, \ldots, S_{i\nu}) = \xi \), where \( \xi \in [0,1] \) is a random number with uniform distribution. This random fitness landscape has many local fitness peaks. This number \( M_L \) is very large:

\[
M_L(\nu) = \frac{2^\nu}{\nu + 1},
\]

and thus our digital sequences can get trapped in a very large number of optima. To see this, let us consider the number of neighbors of a given node and compute the probability that this node is a local maximum. The chance that it is the fittest among its \( \nu \) neighbors and itself, given the random choice of values, is simply \( P_1 = 1/(\nu + 1) \). Since there are \( 2^\nu \) possible strings, the fraction of those who are local maxima is \( M_L(\nu) = 2^\nu P_1 \). An extension of this model can be easily introduced by means of the so-called Fujiyama landscape (see previous Section), where a fitness function is defined now as follows:

\[
f(S_i) = \frac{1}{z} (1 - s)^k,
\]
with \( k = \nu - \sum_{l=1}^{\nu} S_{il} \), being \( z = \sum_{j=1}^{\nu} (1-s)^j \) a normalization factor. The parameter \( s \) weights the steepness of the peak.

Similarly, the presence of epistatic interactions can be introduced using the sequence-dependent fitness:

\[
f(S_i) = \frac{1}{2} \left[ \left( \frac{1}{2} \right)^{d_H(S_i,S_n)^2} + 1 \right],
\]

where \( \xi > 0 \) defines the degree and type of epistasis (for \( \xi < 1 \) we would have antagonistic interactions whereas \( \xi > 1 \) defines synergistic epistasis (see [18] and references therein).

### 3.3 Coevolution on rugged fitness landscapes

The previous sections have been developed to provide the reader with a broad framework that will be, from now on, extended to the subject of coevolution. As we already focused on evolution on rugged fitness landscapes, let us start with coevolution on these landscapes (in following sections we will analyze coevolutionary dynamical models in simpler fitness landscapes). In the context of rugged fitness landscapes, the NK model can be modified to analyze evolution between many interacting species, by means of the so-called NKC model [37]. This model introduces a new parameter, named \( C \), which denotes the number of couplings between different species (also represented as strings). Now, the fitness of the NK model needs to be modified in order to introduce the coupling effects where each trait receives inputs from other \( C \) other traits from different species. These traits are randomly chosen between the \( S \) species of the ecosystem. The NKC model includes three main parameters describing: (a) the number of traits required to characterize a given species (\( N \)), (b) the number of so-called epistatic interactions among genes in the same species (\( K \)), and (c) the number of interactions among traits of different species (\( C \)), which introduce coevolution.

Figure 5 illustrates this approach for \( N = 3 \) traits of two interacting species. In the figure, the local peaks are indicated by black circles. Each species is defined by a set of traits, which are coded by bit-strings. Such traits are connected among the different species. In figure 5(a), species 1 is not located in a local peak. As a result of an adaptive walk, it will reach the local peak by mutation. However, as a result of the change in species 1, species 2 is now not located in a fitness peak. The landscape of species 2 has been modified by the adaptive motion of species 1.

The NKC model was analyzed computationally by Kauffman and Johnsen [37], and they showed that this system was dynamically very rich. They identified a chaotic phase, where the ecosystem is always changing and species never end up in a particular configuration (i.e., species do not stop at a given local peak). As we will discuss later, many other different models suggest that chaos can be found in Red Queen dynamics. They also identified a frozen phase, where all species settle
Fig. 5 Coevolutionary dynamics in the NKC model. Here only two species ($S_1$ and $S_2$) are considered, each one described by $N = 3$ traits, which are represented in cubic sequences spaces. Black circles in the hypercube nodes indicate the fitness associated to each string, and current states are highlighted with open circles in (a). Each bit is assumed to interact with a number of different bits from the other string (genome) as indicated in the lower diagrams. Once the first species changes by climbing to a local optimum, the landscapes of both species get modified. The second species now will be forced to change too, since it is now placed in a low-fit state and will next shift to another local peak (here indicated with a dashed circle in (b)). If no such movement is possible, extinction can take place.

down to local peaks. Interestingly, for finite systems at the boundary between the chaotic and the frozen phase in the parameters space, small perturbations generate a coevolutionary avalanche of changes through the system. Typically occurring at critical states, the distribution of such avalanches was shown to follow a power-law. Kauffman and Johnsen mapped these avalanches into extinction events, suggesting that the number of changes in species was proportional to the extinction of less-fit variants. Such a result did not fit the predictions of fossil record extinctions. However, a variation of this model by Newman and Palmer [50], which allowed changes in the parameters, gave an exponent which agreed with fossil record data (see also Section 6).

The two phases of the NKC model can be derived from simple theoretical arguments setting $K = N - 1$ [3]. It is known that a given species, in order to reach a local fitness, needs a number of walks $L_w$, which is on average $L_w \approx \ln(N)$. If we assume
that all species are at local peaks and one of them, named \(a\), is perturbed (i.e., is randomly positioned on the fitness landscape), then \(a\) will start again to climb some other local peak. If \(C\) is large enough (i.e., interactions among species are important), the other species except \(a\) can see their landscape modified also starting to change. Following this idea, where each adaptive walk involves a change in a given trait, which can in turn affect other species traits, we can determine a critical condition given by a combination of \(N\) and \(C\) able to trigger a chain reaction able to percolate through the system. More concretely, the probability that a given trait in a random species depends on species \(a\) is \(C/N\). The critical condition is that at least one change in a species occurs. This actually means:

\[
L_w \frac{C_c}{N} = 1,
\]

being \(C_c = N/\ln(N)\). That is, when, on average, one out of \(C\) randomly chased genes is among the \(L_w\) changed genes. In other words, when the number of traits is such that \(C > C_c\), interactions among different genotypes constantly modify the underlying fitness landscapes, scenario under which coevolutionary avalanches take place.

### 3.4 Red queen dynamics in RNA virus

Let us first consider a very simple example of coupled fitness landscapes and two identical populations climbing and competing on them. The Red Queen hypothesis of evolution has been widely discussed within the context of RNA viruses [55, 70], where the dynamics of viral populations can be interpreted as a dynamical process of growth, competition and selection taking place in the sequence space. The fitness landscape for a virus is usually defined in terms of replication rate or infectivity or transmission. The landscape appears as a multipeaked surface, where the local maxima represent optimal fitness values which can be reached by mutation. Here, the initial condition plays an important role since depending on where the quasispecies [2] is located in the sequences space, the population will evolve by exploring near genotypes by mutation. Competition experiments between several clonal viral populations [8, 55] provided a good illustration of two basic principles of evolutionary ecology: the Red Queen dynamics and the principle of competitive exclusion.

Experimental results were carried out with two clones of Vesicular stomatitis virus (VSV, see figure 6). Such experiments involved the mixing of two clonal populations of VSV of equal fitness. Passage experiments allowing these populations to grow and compete were performed using standard virus plaque assays. More specifically, genetically marked monoclonal antibody-resistant (MARM) clones of equal

---

2 The term *quasispecies* is used to define the heterogeneous population of viral genomes in RNA viruses.
fitness to the wild-type VSV were used and their relative frequencies were monitored along passages.

The MARM clones only differed in a single mutation with neutral affects not changing viral fitness. These experiments revealed that both competing populations grew up showing steady increases of fitness, but, at some point, one of the two populations suddenly excluded the other one. The winner of this competition process was not always the same (see figure 6). Although the time scale of the divergence seemed highly predictable. The simultaneous increase of fitness of the two populations and their predictable divergence was suggested to be a product of the Red Queen effect [70]. In the context of RNA viruses, newly arising mutants with higher fitness were able to outcompete lower-fitness ones. At the level of viral genomes or sequences, a favorable mutation within one quasispecies triggers evolutionary responses in the second one, forcing it to evolve. Overlapped with this evolutionary process, and related to the dynamics, the principle of competitive exclusion is also at play. This principle states that when two species are strongly competing for the same finite resources, the fitter one asymptotically outcompetes the least fit.

The previous experiments were modeled by Solé and collaborators [70] using different approaches. The simplest one was a bit-string model that considered a population of \( N \) bit-strings, named \( S_i \), with sequences: 
\[
S_i = S_i^1 \ldots S_i^{\nu};
\]
with \( i = 1, 2, \ldots, N \) and \( S_i^j \in \{0, 1\} \). At each time generation (passage), the algorithm repeated \( N \) times the following rules: a random string, say \( S_i \), of the population was chosen for replication. Replication, proportional to replication probability \( r(S_i) \), took place by replacing one of the strings of the population (also randomly chosen), say \( S_j \), by a copy of \( S_i \). Replication presented error, at a rate (per bit and replication cycle) \( \mu \). So the probability to copy exactly the same bit was \( 1 - \mu \). The mapping between sequence composition (genotype) and replication rate (phenotype) was done using the Fujiyama fitness landscape (see figure 4(b)), involving the linear relation:
Fig. 7 (Upper) Dynamics of the bit strings model using a population with $N = 3000$ strings of length $v = 16$ using $\mu = 10^{-3}$. The main plot shows the time dynamics of both populations. The inset shows the mean fitness, $\langle r \rangle$, also for both populations along time (see [70]). The observed changes can be easily interpreted in terms of a parallel climbing of both species on their Fujiyama landscapes together with ongoing competition for resources. Below we illustrate this by means of a small, three-bit landscape. Initially both species (their populations are indicated with open circles) grow slowly and competition is weak. As they climb up and increase their replication rates, competition become strong and symmetry breaking occurs (see text).
As we previously explained, this fitness landscape ignores epistatic interactions. Simulations revealed the same behavior obtained with the experiments with VSV. Figure 7 displays the outcome of the model for a population of $N = 3000$ strings of length $\nu = 16$. The populations were initialized in such a way that the initial fitness of both populations was low, also keeping equal their mean replication rates. During the simulations, the strings were competing for the available space (i.e., $N$ available sites). The upper panel of figure 7 shows the total population size of each population, which was maintained roughly constant along time. However, after approximately $t \approx 20$ passages, one of the two populations started outcompeting the other one, that finally disappeared. Once ecological competition became tight, selection pressure became stronger and the initial parallel growth in fitness for both populations was no longer sustainable. This dynamical divergence was a direct outcome of a "symmetry breaking" phenomenon which explained the VSV experiments (see [55, 70] for details).

4 Gene-for-gene and Matching Alleles Models of Coevolution

Coevolution is the change of a biological object triggered by the change of a related object. Coevolution can occur at many biological scales: at the molecular level as correlated mutations between amino acids in a protein [92], or at the macroscopic scale as covarying traits between different interacting species in an environment. In coevolution, each entity exerts selective pressures on the other, thereby affecting each other’s evolution. This process is schematically illustrated in figure 8(d). Here we show two fitness landscapes for preys and predators. Imagine preys are viruses (or cells infected by viruses) and predators are cytotoxic lymphocytes (cells of the immune system that kill infected cells) that act upon the activation of the adaptive immune response. If the virus, located in the peak with the green dot is able to mutate, visiting the highest peak, the immune system will not be able to recognize and remove it. However, if the virus moves towards the lower peak, which is recognized by dendritic cells or macrophages, able to trigger the immune response, virus populations with this genotype will be impaired in terms of number of particles due to the action of cytotoxic lymphocytes, that will remove infected cells. This simple example illustrates how the evolution of one of the partners influences the evolution of the other, and vice versa, in a coevolutionary arms race. Broadly speaking, coevolutionary interactions can be antagonistic or mutualistic. The former involve negative interactions such as predation or parasitism. The latter being found when two or more species coevolve by means of cooperation. Coevolution can occur for two interacting species (pairwise coevolution) or can involve a number of different species, which are evolving in responses to another set of species (diffuse coevolution).
There are at least about six proposed forms of coevolution between species, some involving reciprocal adaptation and others a combination of adaptation and speciation [81, 82, 83]. In the context of coevolution between hosts and parasites, some of them had a particular importance in this type of interactions. One is Ehrlich and Ravens [16] hypothesis of how the evolution of defence and counterdefence in host-parasite interactions may lead to the radiation of species through the process of escape-and-radiation coevolution. The genetic basis of infection in real ecosystems has been also represented by two major models: the so-called gene-for-gene (hereafter GFG) and matching alleles (hereafter MA) models. The GFG model is based on data from plant-pathogen interactions, especially in the field of crop plants [20]. Interestingly, the first mathematical model of coevolution was explicitly based on assumptions of a GFG interaction [47]. Later, a multitude of real examples on GFG coevolution were identified between plants and pathogens, mainly between plants and fungi, bacteria and viruses (see [84] for a review). The GFG hypothesis states that "for each gene that conditions reaction in the host there is a corresponding gene that conditions pathogenicity in the parasite" [84, 39]. The key feature of this model is that one parasite genotype can infect all host genotypes.

As a difference, in the MA model, favored by invertebrate zoologists [23], an exact genetic match is required for infection (figure 8(a-c)). MA models underlie most of the theory constructed to understand the effects of host-parasite coevolution on sex and recombination [27, 30]. Parker [52] pointed out the importance of MA
models for the study of sexual reproduction, suggesting it may hamper the generality of the Red Queen theory for sex. It has been argued that both GFG and MA models are not totally disconnected: they are two ends of a continuum (see [1] for further details).

In the following sections, we will introduce and review recent models and results about coevolving replicators with antagonistic interactions, mainly focusing in MA models. These models, although being suitable to analyze coevolution at small scales (e.g., immune system-viruses) provide good intuitions in larger scales, such as in spatially-extended ecosystems (Section 5.1.). In section 5.2, we will develop some theory aimed to describe the dynamics arising from MA predator-prey interactions. Finally, we will explore large scale coevolution by means of a complex network model reproducing the extinction pattern found in the fossil record data discussed in the Introduction Section.

5 Minimal Coevolutionary Systems

Coevolutionary phenomena can be studied considering minimal models. Such models can help us to understand fundamental phenomena arising from species interactions and evolution. We notice that coevolution is a highly nonlinear phenomena, since species interactions give place to nonlinear couplings that can result in very rich and complex dynamics. In this section we will first introduce a minimal system of replicators with matching alleles (MA) dynamics moving, replicating and evolving on a surface. Then, we will develop a general mathematical model describing MA interactions for haploid genotypes, assuming well-mixed populations thus ignoring spatial correlations. As the reader will see, the dynamics of such small and simple systems can indeed be very complex.

5.1 Spatial Red Queen dynamics

At the beginning of this chapter we have illustrated the idea of coevolution with a very simple model simulating replicator spatial dynamics with MA interactions. Together with such model, other approaches have focused on the same subject by considering further complexity, such as spatial diffusion of replicators or larger sequences spaces. Recently, Sardanyés and Solé [59] explored a similar system simulating coevolution for host-parasite (prey-predator) replicators also using cellular automata (CA) models.

The authors explored the spatio-temporal dynamics for three different host-parasite systems considering 1-bit, 2-bits and 3-bits strings [the corresponding coupled hypercubes are displayed in figure 8(a-c)]. Thus, one of the aims of their work was to analyze the effects of increasing the size of the sequences space in the spatio-temporal dynamics for MA interactions.

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Fig. 9 Population equilibria for hosts (plain surfaces) and parasites (gridded surfaces) replicators in the parameters space \((r_h, r_p)\) for the spatially-extended model of coevolution with diffusion using \(\mu_h = \mu_p = 10^{-2}\). Three different systems were analyzed, with: \(\nu = 1\) (a), \(\nu = 2\) (b) and \(\nu = 3\) (c) (see [59] for further details).

The model considered two populations of replicators given by host (prey) strings of size \(\nu\): \(S^i_h = (s^i_{1h},...,s^i_{\nu h})\); and by parasite (predator) strings of the same size, \(S^j_p = (s^j_{1p},...,s^j_{\nu p})\) with \(S^i_h, S^j_p \in \{0,1\}\) where \(i = 1,...,N\), being \(N\) the number of different genotypes \((N = 2^\nu)\). Both populations reproduced and evolved on a two-dimensional space with toroidal boundary conditions. For this model we used the so-called von Neumann neighborhood, which considers interactions with the four nearest neighbors. Specifically, the state-transition rules of the CA considered self-replication with mutation, decay and spatial motion of strings. Rules implemented are shown in Box 2.

**Box 2.** State transition rules of the host-parasite CA model with matching allele interactions [59]:

1. **Self-replication:** If a host and a parasite occupy the same spatial position and have the same sequence of bits (i.e., perfect MA), the parasite eliminates the host and replicates, with probability \(r_p\), to a random neighbor provided it is empty. If only the host lives in the cell, it replicates with probability \(r_h\) to a neighbor cell provided it is not occupied by another host string. Replication involves point mutations for host and parasites, with mutation probabilities \(\mu_h\) and \(\mu_p\), respectively. These rules can be represented by the following set of reactions:

\[
S^i_h + \vartheta \xrightarrow{r_h(1-\mu_h)^{\nu}} 2S^i_h, \quad (3)
\]

\[
S^i_h + \vartheta \xrightarrow{r_h W^i_h} S^i_h + S^{j\neq i}_h. \quad (4)
\]

Reactions (3) and (4) denote, respectively, error-free and erroneous host replication.

\[
S^i_h + S^j_p + \vartheta \xrightarrow{\delta_{j/p}(1-\mu_p)^{\nu}} 2S^j_p, \quad (5)
\]

\[
S^i_h + S^j_p + \vartheta \xrightarrow{\delta_{j/p} W^j_p} S^j_p + S^{l\neq j}_p. \quad (6)
\]

23
Similarly, reactions (5) and (6) represent, respectively, error-free and erroneous parasites replication, which is nonlinear due to the density-dependence of the antagonistic interaction. The parameter $\delta_{ij}$ is again the Kronecker $\delta$ function where $\delta_{ij} = 1$ if $i = j$ and 0 otherwise; and $\vartheta$ indicate some available building blocks (i.e. mononucleotides) needed to built new strings. The terms $W_{ij}^k$, with $k = \{h, p\}$, correspond to the probabilities of erroneous replication for hosts ($h$) and parasites ($p$), and are given by:

$$W_{ij}^k = (1 - \mu_k) \nu^{-d_H[S^h_i, S^h_j]} \cdot \mu_k ^{d_H[S^p_i, S^p_j]},$$

being $d_H[S^h_i, S^h_j]$ the Hamming distance between two sequences:

$$d_H[S^h_i, S^h_j] = \sum_{i=1}^{\nu} |s^h_i - s^h_i|.$$  \hspace{1cm} (7)

Equation (7) is a function returning the number of different bits when comparing two sequences. Such a function is also used to determine the matching allele interaction between both host ($S^h_i$) and parasite ($S^p_i$) sequences, now with $d_H[S^h_i, S^p_i] = \sum_{i=1}^{\nu} |s^h_i - s^p_i|$, where $s^h_i$ and $s^p_i$ represent the bit value (0 or 1) in the $i$th position in both strings. A perfect matching allele interaction will occur when $d_H[S^h_i, S^p_i] = 0$.

2. **Molecular decay**: Host and parasite strings decay with probability $\delta_h$ and $\delta_p$, respectively, according to:

$$S^h_i \xrightarrow{\delta_h} \vartheta,$$  

$$S^p_i \xrightarrow{\delta_p} \vartheta,$$

3. **Local diffusion**: Host and parasite strings move, independently and randomly, to empty neighbor cells with diffusion probabilities $D_h$ and $D_p$, respectively.

To simplify the analysis, all the simulations were run with maximum diffusion constants $D_h = D_p = 1$, also setting $\delta_h = \delta_p = 10^{-2}$. The lattice was randomly inoculated by either host and parasites random sequences.

As we previously mentioned, the rules were implemented for three different systems with different strings’ lengths: $\nu = 1$, $\nu = 2$, $\nu = 3$. For all three different values of $\nu$, the system underwent the same three types of asymptotic dynamics: (i) stable coexistence of hosts and parasites with sustained fluctuations; (ii) hosts survival and parasites extinction; and (iii) both hosts and parasites extinction (i.e., coextinction). The visualization of the populations trajectories in phase space revealed the presence of chaotic coevolutionary dynamics [59] (see also [60]). In or-
der to characterize the importance of these three possible asymptotic states listed above, we built parameter spaces considering two key evolutionary parameters of hosts and parasites coevolution: self-replication and mutation rates. Figure 9 illustrates the outcome of some simulations in the parameters space \((r_h, r_p)\) showing the average population numbers for both global populations\(^3\) after some transient was removed for \(v = 1\) (figure 9(a)); \(v = 2\) (figure 9(b)); and \(v = 3\) (figure 9(c)). A key result was that the increase of the length of the replicators (i.e., increase of the size of the sequences space) promoted stable coevolution, as shown by the reduction of host-parasite coextinctions (figure 9).

Moreover, for each of the genotype lengths we simulated three different scenarios, characterized by different values of hosts and parasites mutation rates. The simulations revealed that asymmetries in mutation rates between hosts and parasites had an important effect in the population dynamics: hosts were only able to escape from parasites (causing parasites extinction) if they mutated much faster. Under this condition, the scenario of host’s survival and parasites extinction was found for extremely low values of hosts’ self-replication rates. On the contrary, when \(\mu_p > \mu_h\), the region of parameters space with host and parasite extinction increased for the three hypercubes analyzed, indicating that when parasites evolved faster than hosts they were more efficient in catching hosts thus increasing coextinction phenomena.

5.2 Dynamics of small replicators with matching-allele interactions

The previous computational models considered antagonistic populations of bit-strings replicating and mutating on a surface. The same system can be investigated with a mathematical model by assuming no spatial correlations (i.e., infinite diffusion). A general model describing predator-prey matching-alleles (MA) interactions can be formulated using a time-continuous deterministic model. Assuming a perfect MA [see figure 8 and figure 10(a)], where each predator genotype can predate only on its homologous prey genotype (i.e., predator genotype \(i\) predates on prey genotype \(i\), with \(i \in \{0, 1\}\), the model is given by the following system:

\[
\dot{x}_i = k^h_i x_i \left(1 - \sum_{j \neq i}^v x_j \right) - A_i \xi(x_i, y_i) + \frac{\mu_h}{v} \left( \sum_{j \neq i} x_j - x_i \right) - \epsilon^h_i x_i, \\
\dot{y}_i = \xi(x_i, y_i) + \frac{\mu_p}{v} \left( \sum_{j \neq i} y_j - y_i \right) - \epsilon^p_i y_i,
\]

with:

\(^3\) by global populations we mean the sum of all possible genotypes for a given population i.e., hosts or parasites.
\[ \xi(x_i, y_i) = \frac{k^p_i y_i x_i}{C_i + x_i}, \] 

(10)

The state variables \( x_i \) and \( y_i \) indicate, respectively, the concentration or population numbers of the \( i \)th prey and of the \( i \)th predator genotype (with \( i = 1 \ldots 2^\nu \)), which define a \( \nu \)-dimensional sequences space \( \mathcal{H}^\nu \).

Note that prey genotypes have a logistic-like growth constraint in self-replication indicated in the first term in parenthesis of Eq. (8), where \( \sum_{j \in \mathcal{H}^\nu} x_j \) is the total prey population and \( \mathcal{H} \) the carrying capacity of the prey genotypes. The logistic term involves exponential growth for small population numbers and saturation as population values approach the carrying capacity. Moreover \( \frac{1}{A_i} \) is the yield coefficient of prey genotype \( i \) to predator genotype \( i \). Equation (10) is a Holling “type II” functional response \([6, 28]\), where predation rate is a saturating function of prey density. \( C_i \) and \( k^p_i \) are constants parametrizing the saturating functional response. The constant \( k^p \), which describes the maximal predation rate, can also be interpreted as the predator’s maximal self-replication or intrinsic growth rate.

Both terms \( \sum_{j \geq i} x_j - x_i \) and \( \sum_{j \geq i} y_j - y_i \), denote genetic diffusion by mutation among neighboring genotypes for both prey and predator genotypes, which are proportional to \( \mu^h \) and \( \mu^p \), respectively. Moreover, \( k^h_i \) denotes self-replication constant (intrinsic growth rates) for prey genotypes; \( \varepsilon^h_i \) and \( \varepsilon^p_i \) are decay rates which can be interpreted as spontaneous hydrolysis rates as well as density-independent death rates. If only a single genotype is present in each species, equations (8) and (9) are close to the well-known Rosenzweig-MacArthur model \([89]\).

As the reader will see, since the dimension of the dynamical system described by Eqs. (8)-(9) depends upon the length of the sequences, one may expect different types of dynamics for different values of \( \nu \). As we will discuss in the following sections, were we review results for \( \nu = 1 \) \([61]\) (figure 10(a)) and \( \nu = 3 \) \([62]\) (figure 8(c)), this is the case. The fitness landscape for this predator-prey system using \( \nu = 1 \) is shown in figure 10(a). Actually, this system was studied for two different fitness landscapes, given by a flat or neutral fitness landscape, with \( k^h_i \equiv k^h \) and \( k^p_i \equiv k^p \), \( \forall i \) (i.e., all genotypes share the same fitness values) and for an asymmetric fitness landscape with \( k^p < K^p \) or \( k^p > K^p \) (i.e., one of predator’s genotypes has a higher fitness in terms of populations growth). For this latter case, a predator with a higher fitness actually means that a given genotype is more efficient in catching its preferred prey. For both scenarios we also assumed that mutation rates for both prey and predator genotypes were equal i.e. \( \mu^h \equiv \mu^h \) and \( \mu^p \equiv \mu^p \), \( \forall i \) (see \([61]\) for further details).

The analysis of the qualitative behavior of Eqs. (8)-(9) was performed assuming a neutral fitness landscape with \( \varepsilon^h_i = \varepsilon^p_i = \varepsilon \). This system was shown to have three fixed points, given by: \( (x'_0, y'_0, 0, 0) \), \( (x'_0, x'_1, 0, 0) \), and \( (x'_0, x'_1, y'_0, y'_1) \). The first fixed point, if stable, involved predator-prey extinction. The second equilibrium, involved prey survival and predator’s extinction whereas the third fixed point involved predator-prey coexistence, and thus it was the potential state of Red Queen dynamics. This third fixed point, under symmetry conditions, named \( (x^*, y^*) \), was given by:
Fig. 10  (a) Minimal predator-prey system with matching alleles interaction (vertical dashed lines) modeled with Eqs. (8)-(9) for \( v = 1 \). Upper and lower marbles correspond, respectively, to predator (y) and prey (x) genotypes, which reproduce at rates \( k_p \) and \( k_h \) (circular arrows), and mutate at rates \( \mu_p \) and \( \mu_h \) (straight arrows), respectively. We show an asymmetric fitness landscape for predators, with larger replication rates for predator genotype 1 (i.e., \( K_1 > k_2 \)). (b) Bifurcation diagram for predators with genotype 0, using mutation rates (\( \mu^h \) and \( \mu^p \) are represented with thick and thin lines, respectively) as control parameters for the neutral fitness landscape (i.e., \( K_p = k_p \)). The system undergoes a Hopf bifurcation resulting in a permanent oscillatory behavior governed by a periodic orbit [61].

\[
\begin{align*}
x^* &= \frac{\varepsilon^p}{k_p - \varepsilon^p}, \\
y^* &= \frac{(1 + x^*)(k^h - 2k^h x^* - \varepsilon^h)}{k^p}.
\end{align*}
\]

To study the stability of this fixed point it was further assumed that \( k^h = k^p = 1 \), also considering symmetry in decay rates i.e., \( \varepsilon^h = \varepsilon^p = \varepsilon \). Under this conditions, the fixed point reads:

\[
\begin{align*}
x^* &= \frac{\varepsilon}{1 - \varepsilon}, \\
y^* &= \frac{(1 - 4\varepsilon + \varepsilon^2)}{(1 - \varepsilon)^2}.
\end{align*}
\]

After some algebra, and after fixing \( \mu^h = \mu^p = \mu \), a critical mutation rate causing a Hopf bifurcation was identified at:

\[
\mu_c = \frac{\varepsilon(1 - 4\varepsilon + \varepsilon^2)}{4(1 - \varepsilon)}.
\]

Such a bifurcation, which involves the creation of a periodic orbit causing sustained, periodic oscillations, was confirmed by numerical simulations (figure 10 b)). Interestingly, the same bifurcation was also numerically found for the fitness landscape with asymmetries in predator’s replication rates. Counterintuitively, the asymmetric fitness landscape revealed that the most efficient predator genotypes achieved
lower population equilibria (see [61] for details). Our results identifying periodic Red Queen dynamics were in agreement with other mathematical models on coevolution (see [13][11]).

5.3 Chaotic Red Queen attractors

So far, we have discussed the dynamical behavior of Eq. (8)-(9) for $\nu = 1$, which can be governed by stable fixed points as well as by a periodic orbit causing sustained and regular oscillations of predator-prey genotypes populations. The same model, analyzed for $\nu = 3$, revealed much richer dynamics: under some parameter regions, both populations behave chaotically. Hence, similarly to what is known as diffusion-induced chaos $[53]$, it was found that the simplest system (with $\nu = 1$), governed by a periodic orbit, could be governed by chaotic attractors at increasing the number of available alleles (more available nodes in sequences space).

It is known that dynamical systems governed by a periodic orbit can become unstabilized to chaos when spatial correlations and diffusion are included. Actually, some decades ago a great deal of attention was paid to self-organization processes in reaction-diffusion systems, and their relevance in chemistry, physics and biology was repeatedly stressed $[51][24][88]$. In this sense, numerical investigations of the spatially-extended Belousov-Zhabotinsky chemical reaction showed the presence of chaotically oscillating structures. Moreover, diffusion-induced chaos has also been discussed in the context of spatial ecological dynamics $[53]$. We notice that MA chaotic dynamics can be also interpreted from the perspective of dynamical unstabilization due to diffusion in space. That is, each sequence of the sequences space can be interpreted as a patch, and populations can diffuse between patches because of mutation (i.e., diffusion in sequences space). Under this view, the oscillatory behavior of variables $x_i$ and $y_i$ in Eqs. (8)-(9) for $\nu = 1$, becomes unstabilized to chaos for $\nu = 3$.

Figure 11 shows the chaotic coevolutionary dynamics for the 3-bits sequences modeled with Eqs. (8)-(9). The time series in figure 11(a) are represented for the global populations of predators ($y$) and preys ($x$). In figure 11(a) and (b) we show, respectively, the chaotic attractors for global host-parasite populations (represented in the phase space $(x,y)$) as well as the attractor for parasite genotypes 010, 111, and 100 (see [62] for further details).

Our previous results suggested that Red Queen dynamics can be chaotic even for small haploid replicator systems with MA interactions. It was previously shown that large networks with host-parasitoid replicators can also behave chaotically. More specifically, Kaneko and Ikegami $[31][36]$ characterized the so-called homeochaos in multi-species models with antagonistic interactions and evolution. They suggested that chaos, more than a destabilizing behavior $[5]$, could involve stability in multi-species ecosystems through a weak, chaotic state arising in high-dimensional dynamical systems. Roughly, homeochaos was suggested to suppress strong chaos causing large fluctuations that could near populations to extinction. Homeochaos is
characterized by many positive, but close to zero Lyapunov exponents (i.e., a type of hyperchaos). Such a property of the spectrum of Lyapunov exponents involves narrow chaotic fluctuations with small amplitude, which are able to keep population numbers far away from attractors involving extinction. The concept of homeochaos was later extended to low-dimensional systems, and its role was discussed in both deterministic and stochastic host-parasitoid models with discrete time generations [60].

Chaotic evolutionary dynamics have been found in other theoretical studies of genetic polymorphisms under frequency-dependent selection (see for example [45, 67, 19]). Moreover, Dercole and colleagues [11] recently showed that predator-prey coevolutionary models governed by periodic fluctuations became chaotic when the system is embedded in a three-species food chain model by the addition of a superpredator able to coevolve. These authors argued that over space, genetically-driven chaos may cause evolutionary divergence of local metapopulations, even under the absence of environmental change, thus promoting genetic diversity among ecological communities over long evolutionary time.
6 Large Scale Coevolution on Complex Networks

Our last example deals with the large-scale evolutionary dynamics. The study of large scale coevolution was also performed in multi-species models using complex networks theory. A very simple model of large-scale evolution involving a set of $N$ interacting species can be easily defined \cite{72,73,74,75}. In this model, species interactions are introduced by means of a $N \times N$ connectivity matrix $W = (W_{ij})$. Evolution for this system is introduced through changes in its elements. Similarly to some of the models previously presented, here the "state" of each species is described by a binary variable $S_i \in \{0, 1\}$ ($i = 1, 2, ..., N$), for the $i$-th species, with $S_i = 1$ or $S_i = 0$ if the species is alive or extinct, respectively. So the whole ecosystem is described in terms of a simple directed graph where the connections are initially set to random values. Each species receives either positive or negative inputs from other species. These signs indicate that the given species is favored or harmed by the species which send the input. For instance, a negative input would correspond to the interaction with a predator or with a parasite. Alternatively, a positive input would correspond to mutualism or symbiosis. Such a model, in its simplest form, can be formulated in terms of a set of rules displayed in Box 3.

Box 3. Rules of the network coevolution model (illustrated in figure 12(a-d)):

1. **Random changes in the connectivity matrix.** At each generation, we select one input connection for each species and assign it to a new, random value without regard for the previous state of the connection. This rule introduces changes into the web, which can be due to evolutionary responses or to environmental changes of some sort. In other words, changes derived from coevolution among two species, innovation at the species level and/or environmental-driven changes are lumped together within this rule.

2. **Extinction.** Changes in the connectivity will eventually lead to extinctions. Extinction events are decided by computing the total sum of the inputs for each species. This sum, if negative will involve the extinction of the species ($S_i = 0$), and all its connections are removed. Otherwise, nothing happens ($S_i = 1$). Hence, the state of the $i$-th species is updated following the following dynamical equation:

$$S_i(t+1) = \Phi \left[ \sum_{j=1}^{N} W(i,j) \right]$$

where $\Phi(z) = 1$ for positive $z$ and zero otherwise.

3. **Diversification.** A number of species can disappear due to the extinction rule, leaving empty sites. These sites will be refilled by diversification: each
extinct species is replaced by a randomly chosen survivor. The replacement is made by simply copying the connections of the survivor into the empty site.

This model shows a strongly nonlinear behavior with avalanches of extinction as well as the correct power law distribution of extinction sizes \([72, 74, 44, 78]\) (although with an exponent typically close but higher than \(\alpha = 2\), see also \([15]\)). The outcome of the model was that both small and very large events were generated by the same dynamical rules. Most of the times, the extinction of a given species had no consequences for the other species. But from time to time, a given (keystone) species with positive inputs to others disappeared. The removal of this species was suggested to have a destabilizing effect on others, able to cause further propagation leading to mass extinction events.

What is the origin of such extinction patterns? We first need to see how a given species can shift towards a negative sum of inputs. The reason is easily understandable from rule 1 above.

Since changes of links among species are random and the new values are chosen from a uniform distribution an expected consequence is that, in the long run, the sum of inputs will decay to zero. If we look at the sign of the links, so that the probability of finding positive links, \(P(W^+) = P[W_{ij} > 0]\); and the probability of finding negative links \(P(W^-) = P[W_{ij} < 0]\). The time evolution of the positive links can be described in terms of a master equation, given by:

\[
\frac{dP(W^+, t)}{dt} = w(W^- \rightarrow W^+)P(W^+) - w(W^+ \rightarrow W^-)P(W^-),
\]

where \(P(W^+) + P(W^-) = 1\), starting for example from an initial condition \(P(W^+, 0) = P_0\). Since, from the first rule, we have \(w(W^- \rightarrow W^+) = w(W^+ \rightarrow W^-) = 1/2N\), the master equation reads:

\[
\frac{dP(W^+, t)}{dt} = \frac{1}{2N} \left[1 - P(W^+)\right],
\]

and an exponential decay is obtained:

\[
P(W^+, t) = \frac{1}{2} \left[1 + (2P_0 - 1)e^{-t/N}\right].
\]

As a consequence, the sum of inputs \(F_i = \sum_j W_{ij}\) will also decay exponentially: \(F_i(t) \sim e^{-t/N}\), predicting an exponential decay in the probabilities of survival, as expected from the Red Queen hypothesis (see Introduction). This rule actually introduces the basic ingredients of Van Valen’s theory. All species in the system keep changing all the time (either due to biological or environmental causes) eventually reaching extinction. The ultimate fate of all species is to get extinct, and so an exponential decay in the survival probability will be observed. Here, however, there is
no intrinsic, species-level variability (in terms of a genotype) and the fate of a given species will be dominated by network responses and chance. Ecological-driven phenomena are the key forces in the long run, although small-scale events are taking place all the time. The nature of the decay turns to be exponential on average but episodic when looking at pseudocohorts (see figure 1), consistently with Raup’s analysis commented on in the Introduction of this chapter (compare figures 1 and 12).

An analytic study of the previous model is rather difficult because of the random nature of the interaction matrix. The model can, however, be simplified by mapping the set of rules into a linear model [44, 78], giving place to a mean field approach to the network model (see Box 4).

**Box 4.** Consider a set of $N$ species, characterized by a single integer quantity $\phi_i (i = 1, 2, ..., N)$. This quantity will play the role of the internal field. Each species is now represented by this single (integer) number $\phi_i \in \{-N, -N+1, ..., -1, 0, 1, ..., N-1, N\}$, which represents the sum of inputs from other species. The dynamics consists of three steps: (a) with probability $P = 1/2$,
\( \phi_i \rightarrow \phi_i - 1 \), otherwise no change occurs (this is equivalent to the randomization rule in the network model); (b) all species with \( \phi_i < \phi_c \) (below a given threshold) are extinct. Here we use \( \phi_c = 0 \) but other choices give the same results. The number of extinct species, \( 0 < E < N \), gives the size of the extinction event. All \( E \) extinct species are replaced by survivors. Specifically, for each extinct site (i.e. when \( \phi_j < \phi_c \)) we choose one of the \( N - E \) survivors \( \phi_k \) and update \( \phi_j \) to: \( \phi_j = \phi_k \); (c) after an extinction event, a wide reorganization of the web structure occurs \[75\]. In this simplified model this is introduced as a coherent shock. Each of the survivors are updated as \( \phi_k = \phi_k + q(E) \), where \( q(E) \) is a random integer between \(-E\) and \( +E \). This mean-field approach defines a three-step process. If \( N(\phi) \) indicates the frequency of species having a local field \( \phi \), we have:

\[
N(\phi, t + 1/3) = \frac{1}{2} N(\phi, t) + \frac{1}{2} N(\phi + 1, t),
\]

\[
N(\phi, t + 2/3) = N(\phi, t + 1/3) + N(\phi, t + 1/3) \sum_m \frac{m}{N - m} P(m),
\]

if \( \phi > 0 \) and zero otherwise. Finally:

\[
N(\phi, t + 1) = N(\phi, t + 2/3) - N(\phi, t + 1/3) + \sum_{q > 0} N(\phi + q, t + 1/3) P(q),
\]

from these equations, the full master equation for the dynamics reads:

\[
N(\phi, t + 1) = \frac{1}{2} \sum_{q=-\infty}^{+\infty} \sum_m \frac{P(m)}{2m+1} \theta(m - |q|) \left[ N(\phi + q, t) - N(\phi + q + 1, t) \right] + \frac{1}{2} \left[ N(\phi, t) + N(\phi + 1, t) \right] \sum_m \frac{m P(m)}{N - m}.
\]

Where two basic statistical distributions, which are self-consistently related, have been used. These are:

\[
P^*(q) = \sum_m \frac{P_c(m)}{2m+1} \theta(m - |q|),
\]

which is an exact equation giving the probability of having a shock of size \( q \). The second is \( P_c(m) \), the extinction probability for an event of size \( m \). We have a mean-field approximation relating both distributions:

\[
P_c(m) = \sum_q P^*(q) \delta \left[ \sum_{\phi=1}^{m-1} N(\phi) - m \right].
\]
The last equation introduces the average profile $N(\phi)$, i.e. the time-averaged distribution of $\phi$-values. For the mesoscopic regime $1 \gg q \gg N$, by applying a Taylor expansion to the master equation, i.e. 

$$
N(\phi) = \frac{1}{2} \sum_{q=-N}^{N} \sum_{m} P(m) \left[ 2N(\phi + q) + \frac{\partial N}{\partial \phi} \bigg|_{\phi+q} + \frac{1}{2} \frac{\partial^2 N}{\partial \phi^2} \bigg|_{\phi+q} + \cdots \right] + 
$$

and using a continuous approximation, it is easy to see that the previous equation reads:

$$
\frac{1}{2} \int dm \int_{-m}^{m} P(m) \left[ 2N(\phi + q) - N(\phi) - 1 \right] + \frac{\partial \ln N}{\partial \phi} \bigg|_{\phi+q} + \cdots \right] + 
$$

$$
+ \frac{1}{2} \left\{ 2 + \frac{\partial \ln N}{\partial \phi} + \cdots \right\} \int_{-m}^{m} N - m \, dm = 0.
$$

Assuming that $N(\phi)$ decays exponentially, i.e. $N(\phi) = \exp(-c\phi/N)$, we can integrate each part of the last equation, using $N(\phi + q)/N(\phi) = \exp(-cq/N) \approx 1 - cq/N$. The first term cancels exactly, the second gives $-2c/N$ and the third scales as $(1 - O(1/N)) N^{1-\tau}$. So the previous equation leads to:

$$
- \frac{2c}{N} + N^{1-\tau} G \left[ 1 - O \left( \frac{1}{N} \right) \right] = 0,
$$

in order to satisfy this equality, we have $\tau = 2$, which gives us the scaling exponent for the extinction distribution. Hence, in agreement with Burlando’s analysis, the taxonomy that emerges from this model also displays fractal behavior (with an exponent $\alpha_b \approx 2$).

These models, able to reproduce observed patterns, can have important implications for evolutionary theory. An intense debate over the last decades has concerned the basic mechanisms operating at different temporal scales. Some authors (especially in the field of population genetics) suggested that the rules operating at small scales (i.e., microevolutionary events) can be directly translated into the process of macroevolution [25, 14, 42]. However others authors like Stephen Jay Gould, claimed that different processes are at work in evolution at different scales [22], although no well-defined mechanism for such decoupling was proposed. We want to notice that the network organization of ecologies, changing in a coevolving landscape, suggests a possible source of decoupling the micro- and macro scales. Moreover, these models can also help understanding the complex dynamical behavior of large extinctions and their aftermath [76, 77, 58, 7].
7 Conclusions

Coevolutionary dynamics introduces an additional layer beyond single-species evolution. Coevolution pervades biology on multiple scales but its role and impact—as illustrated by our previous examples—is rather different at each scale. In microorganisms, the changes that couple diverse species (as hosts and pathogens) within a given ecosystem are associated to many different molecular events related to membrane receptors, production and pumping of toxins, development of aggregates or resistance to antibiotics, to cite just a few. Arm races are known to occur and take place on short time scales.

Coevolution occurs in other systems, including technological ones. Coevolution between predators and prey predate at least part of the evolutionary events that triggered the emergence of complex animals at the base of the Cambrian explosion. It is likely that the so called Ediacaran fauna, dominated by simple, filtering organisms with small developmental complexity became replaced by the well known, Burghess-Shale pattern as a consequence of predator-prey arm races. Many challenges lie ahead in our understanding of how coevolution shaped biological complexity and how to properly approach it from a theoretical perspective. Among other questions, we still need to understand how to connect ecological networks and coevolving landscapes, how to place these landscapes in the middle of the multidimensional space involving development, ecology and the environment, and what universal trends are to be found in their structure and dynamics. The previous examples only provide a glimpse of the richness and complexity, but they also illustrate the power of simple models able to address relevant questions.

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