Soluble DNAM-1, as a Predictive Biomarker for Acute Graft-Versus-Host Disease

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Abstract

Acute graft-versus-host disease (aGVHD) is a major complication of allogeneic hematopoietic stem cell transplantation (allo-HSCT). Because diagnosis of aGVHD is exclusively based on clinical symptoms and pathological findings, reliable and noninvasive laboratory tests for accurate diagnosis are required. An activating immunoreceptor, DNAM-1 (CD226), is expressed on T cells and natural killer cells and is involved in the development of aGVHD. Here, we identified a soluble form of DNAM-1 (sDNAM-1) in human sera. In retrospective univariate and multivariate analyses of allo-HSCT patients (n = 71) at a single center, cumulative incidences of all grade (grade I–IV) and sgrade II–IV aGVHD in patients with high maximal serum levels of sDNAM-1 (>30 pM) in the 7 days before allo-HSCT were significantly higher than those in patients with low maximal serum levels of sDNAM-1 (<30 pM) in the same period. However, sDNAM-1 was not associated with other known allo-HSCT complications. Our data suggest that sDNAM-1 is potentially a unique candidate as a predictive biomarker for the development of aGVHD.

Introduction

Allogeneic hematopoietic stem cell transplantation (allo-HSCT) is an important therapeutic option for a variety of hematologic malignancies. Acute graft-versus-host disease (aGVHD) is the most critical complication of allo-HSCT [1]. Despite significant advances in understanding...
of the pathophysiology of aGVHD, glucocorticoid therapy is still the gold standard for treat-
ment of aGVHD [2]; however, the overall outlook of steroid-refractory aGVHD is generally
poor, in that long-term survival is rare [3]. Diagnosis of aGVHD is exclusively based on clinical
symptoms and histological analysis of biopsy specimens of aGVHD target organs. However,
since clinical symptoms are not objective in some cases and biopsy is invasive for patients,
accurate diagnosis of aGVHD is not always easy. Therefore, noninvasive and reliable labora-
tory tests for diagnosis of aGVHD is urgently needed.

Acute GVHD biomarkers have been identified and validated as promising tools for diagno-
sis, assessment, and prediction of response to therapy and prognostic risk [4, 5]. Several bio-
markers have been identified by the University of Michigan Blood and Marrow Transplant
group by using proteomics approach [5]. This approach identified a four-protein biomarker
panel for aGVHD diagnosis [6]. In addition, several groups have identified organ-specific
aGVHD biomarkers, such as elafin for skin aGVHD, regenerating islet-derived 3-alpha
(Reg3-alpha) for gastrointestinal tract aGVHD, and hepatocyte growth factor (HGF) and cyto-
keratin fragment 18 (KRT18) for liver aGVHD, by using liquid chromatography–tandem mass
spectrometry [7–10]. Recent reports demonstrated soluble suppression of tumorigenicity 2
(ST2) and the plasma microRNA signature as predictive indicators of aGVHD resistance to
systemic steroid therapy and survival of allo-HSCT patients with aGVHD [10, 11].

The leukocyte adhesion molecule DNAX accessory molecule-1 (DNAM-1, also known as
CD226) is a member of the immunoglobulin superfamily and is constitutively expressed on
most CD4⁺ T cells, CD8⁺ T cells, natural killer (NK) cells, and monocytes [12, 13]. The ligands
for DNAM-1 are CD155 (also known as poliovirus receptor or necl-5) and CD112 (nectin-2)
[14, 15], which are broadly distributed on hematopoietic and non-hematopoietic cells, includ-
ing epithelial and endothelial cells. Interestingly, expression of CD155 and CD112 is upregu-
lated by the DNA damage response pathway in response to chemotheraphy [16]. Interaction
between DNAM-1 on CD8⁺ T cells and NK cells and its ligand on target cells augments cell-
mediated cytotoxicity [12, 17]. Moreover, DNAM-1 plays a pivotal role in CD4⁺ helper T cell
differentiation [18]. Thus, DNAM-1 is involved in a variety of T cell functions. Recently, we
and other groups independently showed a critical role for DNAM-1 in the development of
aGVHD in mice [19, 20].

Here, we identified a soluble form of DNAM-1 (sDNAM-1) in human serum. We examined
the relationship between serum levels of soluble DNAM-1 and the development of aGVHD in
allo-HSCT patients. The results indicated that sDNAM-1 is a strong candidate as a predictive
biomarker for the development of aGVHD.

**Materials and Methods**

**Patients and samples**

Serum samples were obtained from 78 patients up to three times a week during the 7 days
before and 28 days after allo-HSCT at Tokyo Metropolitan Cancer and Infectious Diseases
Center, Komagome Hospital, Japan between March 2009 and November 2011. Written
informed consent was obtained from each patient and healthy volunteers in accordance with
the Declaration of Helsinki. This study was approved by the ethics committee of the University
of Tsukuba, Japan and Tokyo Metropolitan Cancer and Infectious Diseases Center, Komagome
Hospital. Patient characteristics are listed in Table 1. The data center of the Tsukuba Critical
Path Research and Education Integrated Leading (CREIL) Center handled the data compila-
tion and management with source validation. Blood samples were collected into Venoject-II,
VP-AS109K blood collection tubes (Terumo, Tokyo, Japan), and then serum samples were iso-
lated and preserved in a deep freezer at -80°C until use.
Transplantation procedures

The myeloablative conditioning regimen included a combination of cyclophosphamide with or without cytarabine and either total body irradiation (12 Gy) or busulfan. Fludarabine-based reduced-intensity conditioning regimens, such as fludarabine with or without low-dose total body irradiation (2–4 Gy) combined with busulfan or melphalan, were used in elderly patients (≥55 y.o.) Anti-thymoglobulin was not used in the conditioning regimen. Acute GVHD prophylaxis consisted of the continuous infusion of cyclosporin A or tacrolimus combined with short-term methotrexate, which was administered at a dose of 10 mg/m² on day 1, and 7 mg/m².
on days 3, 6, and 11. Acute GVHD was graded as previously described [21]. Prophylaxis against bacterial, fungal, and Pneumocystis jiroveci infections consisted of fluoroquinolones (either fluconazole or itraconazole) sulfamethoxazole, and trimethoprim. Cytomegalovirus was monitored weekly by a cytomegalovirus antigenemia assay; patients with positive antigenemia, defined as ≥1 cell/50 000 cells, were treated with ganciclovir.

**Definition of disease status and transplant-related complications**

Acute leukemia in first or second remission, chronic myeloid leukemia in first or second chronic phase, and myelodysplastic syndrome or myeloproliferative neoplasm without leukemic transformation were defined as standard-risk diseases, and others were defined as high-risk diseases [22]. These definitions are based on previous Japanese study [23]. Diagnosis of documented infections was based on clinical or radiological findings or both [24].

**Antibodies and reagents**

Anti-human DNAM-1 monoclonal antibody (mAb) TX25 (mouse IgG1) was generated in our laboratory, and mouse anti-human DNAM-1 polyclonal antibody was purchased from R & D Systems (Minneapolis, MN). In this manuscript, anti-DNAM-1 mAb refers to TX25 unless otherwise stated. Anti-CD3, anti-CD4, anti-CD8, anti-CD14, anti-CD25, anti-CD28 and control IgG1 antibodies and recombinant human interleukin (IL)-2 were purchased from BD Pharmingen (San Diego, CA). Phorbol 12-myristate 13-acetate and ionomycin were purchased from Sigma-Aldrich (St. Louis, MO). Matrix metalloproteinase (MMP) inhibitors, GM6001 and tumor necrosis factor-alpha protease inhibitor (TAPI)-1, were purchased from Merck-Calbiochem (Darmstadt, Germany).

**Enzyme-linked immunosorbent assay**

Soluble DNAM-1 in sera was measured by sandwich enzyme-linked immunosorbent assay (ELISA). In brief, 96-well plates were coated with anti DNAM-1 mAb (8 μg/mL, 100 μL/well) for 2 h at room temperature, blocked with blocking buffer (10% FBS in PBS, 100 μL/well) for 2 h at room temperature, and then washed three times with washing buffer (0.05% Tween 20). Human DNAM-1-Fc fusion protein (as a standard) and serum samples were plated at 100 μL/well and then incubated overnight at 4°C. After washing, biotinylated rabbit anti-human DNAM-1 polyclonal antibody was reacted (0.6 μg/mL, 100 μL/well) for 1 h at room temperature. The plates were then washed and incubated with ExtraAvidin peroxidase (Sigma-Aldrich) (1:250 in washing buffer, 100 μL/well) for 30 min at room temperature. Following another washing step, the plates were reacted with OPD (o-phenylene diamine dihydrochloride) tablet (Sigma-Aldrich) for 20 min at room temperature. We stopped the reactions with 12.5 μL of 6N HCl and measured absorbance at 490 nm by using a Spectra Max Microplate reader (Molecular Devices, Sunnyvale, CA). All values were determined in triplicate. All the samples during observation period in each patient were analyzed in one setting at least twice.

**Western blotting**

Peripheral blood mononuclear cells (PBMCs) were stimulated by anti-CD3 mAb and anti-DNAM-1 mAb, and then the lysates were immunoprecipitated with anti-DNAM-1 mAb. The immunoprecipitates were immunoblotted with anti-DNAM-1 mAb, as described previously [12].
Statistical analysis

Groups of experimental data were compared by using the two-tailed Mann–Whitney U-test, and groups of categorical clinical data were compared by using the chi-square test of independence. The log-rank test was used to assess differences in cumulative incidence of aGVHD among patient groups, and a Cox proportional hazards model was used to assess the impact of multiple variables on cumulative incidence of aGVHD. For all tests, \( P \) values of less than 0.05 were considered statistically significant. All statistical analyses were performed with IBM SPSS Statistics version 21 (SPSS/IBM, Armonk, NY) and GraphPad Prism 5 (GraphPad Software, San Diego, CA). A biostatistics analyst supported the choice of statistical methods and the statistical interpretation of the data.

Results

Identification of soluble DNAM-1

To examine whether a soluble form of DNAM-1 (sDNAM-1) exists in human sera, we established a sandwich ELISA system and evaluated concentrations of sDNAM-1 in 38 healthy volunteers. The mean concentration of sDNAM-1 was 67.5 pM ± 88.9 (SD) and the median was 30.0 pM (Fig 1A). Biochemical analysis of sera by immunoprecipitation and immunoblotting with anti-DNAM-1 mAb (TX25) revealed that the molecular weight of sDNAM-1 was ~45 kDa (Fig 1B), which corresponds to the molecular weight of the extracellular domain of DNAM-1, suggesting that sDNAM-1 might be generated by proteolytic shedding. Furthermore, we never detected shorter than full-length CD226 mRNA species by RT-PCR analysis, indicating that it is unlikely that sDNAM-1 is encoded by an alternatively spliced isoform of CD226 mRNA.

To examine how sDNAM-1 was generated, PBMCs obtained from healthy volunteers were stimulated with IL-2 together with either phorbol 12-myristate 13-acetate, anti-CD3 mAb plus anti-CD28 mAb, or anti-CD3 mAb plus anti-CD18 mAb, and the culture supernatants were analyzed for sDNAM-1 by ELISA. Although none of these stimuli significantly increased sDNAM-1 in the culture supernatant compared with the negative control (IL-2 alone), we found that stimulation with IL-2 together with anti-CD3 mAb plus anti-DNAM-1 mAb significantly increased sDNAM-1 (Fig 1C). To address whether sDNAM-1 was generated by proteolytic shedding, we added MMP inhibitors, GM6001 or TAPI-1, into the cultures and found that both MMP inhibitors significantly suppressed the production of sDNAM-1 (Fig 1D). These MMP inhibitors did not affect cell proliferation or activation of T cells after stimulation with anti-CD3 mAb plus anti-DNAM-1 mAb (data not shown), suggesting that the MMP inhibitors specifically inhibited MMP activity. Moreover, in RT-PCR analysis, we did not detect any alternatively spliced isoforms of mRNA encoding sDNAM-1 (data not shown). Together, these results suggest that sDNAM-1 is likely generated by proteolytic shedding of membrane-bound DNAM-1 on T cells.

Association between sDNAM-1 levels and development of aGVHD

Because the interaction between DNAM-1 and its ligand plays an important role in the development of aGVHD in mouse models [20, 21], we hypothesized that sDNAM-1 levels increase during development of aGVHD. To examine this idea, we obtained peripheral blood samples from 79 patients undergoing allo-HSCT for the treatment of hematological malignancies up to three times a week for five consecutive weeks around the day of transplantation (days -7 to 28, where day 0 is the day of allo-HSCT) to measure serum sDNAM-1 levels. Eight patients with graft failure were excluded from the analyses due to inadequate observation period. The patients’ profiles
are shown in Table 1. We determined the maximal values of sDNAM-1 (max-sDNAM-1) during day -7 to day 28, day -7 to day 0, and day 1 to day 28. The max-sDNAM-1 in each period was significantly higher in aGVHD patients (n = 48) than in non-aGVHD patients (n = 23) (Fig 2). The max-sDNAM-1 of 27 patients with aGVHD at grade II–IV (grade II; n = 22, grade III; n = 4, grade IV; n = 1), but not those with aGVHD grade I (n = 21), was significantly higher than that of non-GVHD patients in each of the observation periods (Fig 2). We also found that the max-sDNAM-1 values in patients with skin aGVHD (n = 43) and gastrointestinal aGVHD (n = 14), but not those with liver aGVHD (n = 4), were significantly higher than that in
non-aGVHD patients (Fig 2). These results suggest that the max-sDNAM-1 in the serum is associated with the development of aGVHD.

We then analyzed the diagnostic ability of the max-sDNAM-1 during day -7 to day 28, day -7 to day 0, and day 1 to day 28 for aGVHD by conducting receiver operator characteristic (ROC) analyses. ROC curves for max-sDNAM-1 in these periods distinguished aGVHD from non-aGVHD with AUC (area under the curve) values of 0.710, 0.668 and 0.681, respectively (Fig 3). These results suggest that the max-sDNAM-1 can be a unique diagnostic biomarker for aGVHD.
When we used the criterion of max-sDNAM-1 of 30 pM (median in healthy volunteers) or greater in the period day -7 to day 28 period as a predictor of the development of aGVHD, the sensitivity and specificity were 69.5% and 75.0%, respectively (Table 2). Similar results were obtained for the same criterion for day -7 to day 0 and day 1 to day 28 periods (Table 2). When the data was stratified, max-sDNAM-1 of 30 pM or greater was significantly associated with the development of skin GVHD and gastrointestinal GVHD, but not liver GVHD (Table 3). The positive predictive value of max-sDNAM-1 of 30 pM or greater for aGVHD at grade II–IV was 0.58 and the negative predictive value of max-sDNAM-1 of 30 pM or less for aGVHD at grade I and non-aGVHD was 0.72 (Table 4). The log-rank test showed that cumulative incidences of both all grades and grade II–IV aGVHD were significantly higher in patients

Table 2. Sensitivity and specificity of soluble DNAM-1.

| Cut off: 30 pM | Sensitivity (acute GVHD) | Specificity (acute GVHD) |
|---------------|---------------------------|--------------------------|
| Days -7 to 28 | 69.50%                    | 75.00%                   |
| Days -7 to 0  | 43.80%                    | 82.60%                   |
| Days 1 to 28  | 68.80%                    | 69.60%                   |

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Table 3. Acute GVHD characteristics (univariate analysis).

| Total (n = 71) | Soluble DNAM-1 (≥30 pM (%)) days -7 to 0 | Odds ratio | P-Value |
|----------------|-----------------------------------------|------------|---------|
| No GVHD        | 23 (33%)                                | 3 (13%)    | Ref     | -       |
| All acute GVHD | 48 (67%)                                | 21 (44%)   | 5.181 (1.357–20.000) | 0.010 |
| Grade I acute GVHD | 21 (30%)                              | 7 (34%)    | 0.971 (0.330–2.857)  | 0.957 |
| Grade II–IV acute GVHD | 27 (38%)                              | 14 (52%)   | 3.662 (1.303–10.287) | 0.012 |
| Skin GVHD      | 43 (61%)                                | 19 (44%)   | 3.636 (1.166–11.363) | 0.022 |
| Gastrointestinal GVHD | 14 (20%)                            | 9 (64%)    | 5.051 (1.456–17.544) | 0.007 |
| Liver GVHD     | 4 (6%)                                  | 2 (50%)    | 1.568 (0.063–6.500)  | 1.000 |

Ref indicates reference
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Table 4. Multivariate analysis for acute GVHD.

| Variable                | Category | Hazard ratio (All GVHD) | All GVHD | Hazard ratio (Grade II–IV) | P-value (Grade II–IV) |
|------------------------|----------|-------------------------|----------|---------------------------|-----------------------|
| Soluble DNAM-1 (days -7 to 0) | < 30 pM | Ref                      | -        | Ref                       | -                     |
|                        | ≥ 30 pM | 2.475 (1.346–4.567)     | 0.004    | 2.976 (1.348–6.579)       | 0.007                 |
| Age                    | < 50     | Ref                      | -        | -                         | -                     |
|                        | ≥ 50     | 0.457 (0.252–0.830)     | 0.010    | -                         | 0.461                 |
| Sex                    | Male     | Ref                      | -        | Ref                       | -                     |
|                        | Female   | 3.336 (1.826–6.094)     | 0.000    | 3.146 (1.417–6.983)       | 0.027                 |
| Disease status         | Standard | -                        | -        | -                         | -                     |
|                        | High     | -                        | 0.631    | -                         | 0.188                 |
| Conditioning           | MAC      | -                        | -        | Ref                       | -                     |
|                        | RIC      | -                        | 0.971    | 0.303 (0.090–1.021)       | 0.054                 |

MAC indicates myeloablative conditioning; RIC, Reduced intensity conditioning; and Ref, reference
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with max-sDNAM-1 of 30 pM or greater when compared to the other patients in any observation period (Fig 4).

**Soluble DNAM-1 as a predictive aGVHD biomarker**

In a multivariate Cox proportional hazards analysis, age (≥ 50 years), sex (female), and max-sDNAM-1 (≥ 30 pM) in the period before allo-HSCT (day -7 to day 0) were significantly positively correlated with all grade aGVHD (Table 4). Furthermore, sex (female) and max-sDNAM-1 (≥ 30 pM; day -7 to day 0) were also significantly positively correlated with grade II–IV aGVHD (Table 4) in a multivariate analysis.

To investigate the specificity of max-sDNAM-1 as a predictor of aGVHD, we performed univariate analyses for other allo-HSCT complications such as sinusoidal obstruction syndrome, thrombotic microangiopathy, and various infections. In these analyses, max-sDNAM-1 (≥ 30 pM; day -7 to day 0) was not significantly correlated with the other allogeneic stem cell transplantation complications (S1 Table).

**Discussion**

Previous reports showed that serum biomarkers, such as HGF, Reg3-alpha, IL-6, T cell immunoglobulin and mucin domain 3 (TIM3), soluble tumor necrosis factor receptor 1 (sTNFR1), ST2, are useful for diagnosis of aGVHD and prediction of prognosis of aGVHD after therapy including high-dose systemic glucocorticoid treatment [5, 25]. In addition, danger-associate molecular patterns, such as ATP [26] and uric acid [27], produced after conditioning therapy exacerbate aGVHD. These biomarkers were evaluated at the onset of aGVHD. In contrast,
here we demonstrated that concentration of serum sDNAM-1 before allo-HSCT was associated with the development of aGVHD, suggesting that sDNAM-1 is potentially a unique biomarker for prediction of the development of aGVHD. However, since the AUC value (0.668) determined by ROC curves for max-sDNAM-1 before allo-HSCT and the PPV had only a mild impact, sole max-sDNAM-1 before allo-HSCT may not be enough for accurate prediction of aGVHD development. Combination of another predictive biomarker, if any, with max-sDNAM-1 before allo-HSCT would help us to treat allo-HSCT patients with additional preemptive therapy for prevention of aGVHD.

Although our results suggest that sDNAM-1 is produced by MMP-mediated proteolytic shedding, the exact mechanism of sDNAM-1 generation remains unclear. In addition, it is also unclear why healthy people showed a broad range of serum sDNAM-1 levels; whether this range in levels is caused by variability in MMP activities among individuals is unknown. Nonetheless, we found that high max-sDNAM-1 in patients was significantly associated with the development of aGVHD. Previous reports demonstrated that MMP activity is critical in the development of aGVHD [28]. Therefore, one of our hypotheses is that recipients with high MMP activity, who might show high serum levels of sDNAM-1, have a high risk of developing aGVHD after allo-HSCT. Alternatively, it may also be possible that sDNAM-1 binds to CD155 on antigen presenting cells or tissues of target organs. Since DNAM-1 shares the ligand CD155 with T cell immunoreceptor with immunoglobulin and ITIM domains (TIGIT) and CD96 [29], it might interfere interaction of CD155 with TIGIT and CD96. In contrast to DNAM-1, TIGIT and CD96 mediate inhibitory signals in T cells and suppress the activation of effector T cells. Therefore, binding of sDNAM-1 to CD155 would cancel the inhibitory signals mediated by TIGIT and CD96 in T cells, resulting in augmentation of T cell activation and exacerbation of aGVHD. In fact, CD155-deficient mice that had received allogeneic hematopoietic transplantation showed increased development of aGVHD [30]. Future studies are required to fully understand the mechanism of generation of sDNAM-1 and its relationship with the development of aGVHD.

The current study has several limitations. First, this study was a single-center retrospective observational study. In this study, female recipient was a risk factor for the development of aGVHD in multivariate analysis, which is contrary to the general consensus. Therefore, the possibility that our study might contain some bias cannot be excluded. To evaluate the reproducibility of the current study, a multicenter, prospective study is required. Second, the physiological function of sDNAM-1 is not well understood. DNAM-1 interaction with the ligands CD155 or CD112 or both plays an important role in a wide array of immune responses in various diseases, including aGVHD [19, 20], tumor immunity [31], infections [13], and autoimmune diseases [32]. sDNAM-1 might affect the interaction between DNAM-1 and these ligands, resulting in modulation of DNAM-1-mediated immune responses.

In conclusion, we identified sDNAM-1 in the sera of healthy people and allo-HSCT patients. Our study showed that sDNAM-1 can be potentially a useful biomarker for prediction of the development of aGVHD. Further investigation is required to elucidate the physiological and pathological functions of sDNAM-1. In addition, a larger prospective study is required to generalize the significance of sDNAM-1 as a aGVHD biomarker. Nevertheless, our concept provides an important framework for developing a method for prediction and evaluation of aGVHD.

Supporting Information

S1 Table. Univariate analysis for other allo-HSCT complications.

(DOCX)
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Author Contributions

Conceived and designed the experiments: AS HS MI TT HK. Performed the experiments: M. Kanaya KS RH M. Kanemoto KO YC. Analyzed the data: M. Kanaya MO YW AS. Wrote the paper: M. Kanaya AS.

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