An improving failure mode and effect analysis method for pallet exchange rack risk analysis

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Abstract
In order to enhance quality and reliability of mechanical and electrical products, the methods of taking corresponding corrective measures to eliminate or alleviate product failure in advance have been widely concerned. Failure mode and effects analysis (FMEA) is a typical prevention reliability analysis method. However, there are some drawbacks in traditional FMEA method. To overcome these drawbacks, we propose a hybrid risk evaluation method, which combines picture fuzzy sets (PFSs), the PF-linear programming model (PF-LPM) method and the PF-weighted aggregated sum product assessment (PF-WASPAS) method. We adopt PFSs to evaluate risks of products. In order to overcome drawback of the traditional distance between PFSs, some new distance measures between PFSs based on the Dice similarity and the Jaccard similarity are proposed by us. The PF-LPM method which considers the subjective weights of risk factors and calculates synthetical deviation with the Dice similarity-based distance is utilized to calculate the weights of risk factors. Moreover, the PFWA operator and the PFWG operator are used by us to fuse experts’ evaluation information. Then, the PF-WASPAS method is utilized to rank failure modes. Finally, an illustrative example with respect to pallet exchange rack is introduced, and the rationality, effectiveness and applicability of the proposed method are verified by a discussion and comparison.

Keywords Failure mode and effects analysis · Picture fuzzy sets · PFWA operator · PFWG operator · WASPAS

1 Introduction

As one of the six key quality characteristics (reliability, precision, precision life, maintainability, availability and precision stability) of CNC machine tools, reliability plays an important role in the quality improvement of CNC machine tools (Chen et al. 2021). Reliability refers to the ability or possibility of a component, product or system to perform a specified function without failure under a certain period of time and certain conditions (Jin et al. 2020). In order to reduce the failure probability of products and improve reliability of products, many reliability improvement techniques have been proposed. For instance, failure mode and effects analysis (FMEA) (Boral et al. 2020a; Fang et al. 2020), fault tree analysis (FTA) (Hu et al. 2020a), event tree analysis (ETA) (Purba et al. 2020), hazard and operability studies (Marhavilas et al. 2020) and root cause analysis (RCA) (Velasquez 2020). Among these methods, the FMEA is the most representative one, because it’s main feature is taking actions to prevent the occurrence of failure in advance, rather than improving reliability through post-mortem testing. The implementation process of traditional FMEA method mainly includes six steps: identifying all potential failure modes of products according to failure data, analyzing the causes and effect of each failure mode based on experience, inviting experts to rate risks by utilizing crisp value, calculating risk priority numbers (RPNs) of each failure mode, ranking failure modes based on RPN values and taking corresponding corrective measures to eliminate or alleviate them (Li et al. 2019a; Lo et al. 2019; Liu et al. 2019a).
The ease of use of FMEA technique has let to the extension of its application in different fields, for example, risk assessment of maritime autonomous surface ships (Chang et al. 2021), failure analysis of diesel engine piston in transport utility vehicles (Deulgaonkar et al. 2021), risk evaluation and mitigation of sustainable road freight transport operation (Dadsena et al. 2019) and risk analysis of sequential processes in food industry (Rezaee et al. 2018) and so on. However, the traditional FMEA method does not have absolute advantages in the efficiency and effectiveness of risk evaluation. The reasons for this problem mainly include the following aspects (Zheng and Tang 2020; Boral et al. 2020b): (1) Rating risks by using crisp value, which ignores the hesitation and uncertainty of experts’ evaluation information; (2) The weights of the three risk factors (S, O and D) are equal. It is not irrational, for different risk analysis cases, their weights should not be equal; (3) The mathematical form (i.e., multiplication) adopted for calculating the RPN value is irrational, because it is strongly sensitive to variations in criticality factor evaluations; (4) The same RPN value may indicate totally different risk implications.

In order to overcome the above drawbacks and improve the effectiveness and efficiency of the traditional FMEA method, in this paper, we propose a new risk evaluation method based on the PF-LPM and the PF-WASPAS. Moreover, in order to extend the traditional distance measures between two PFSs, some new distance measures based on Dice similarity and Jaccard similarity are proposed by us. The proposed FMEA method mainly includes three parts: risk evaluation, calculating the weights of risk factors with PF-LPM and prioritizing risks with the PF-WASPAS method. In risk revaluation, taking the hesitation and uncertainty of experts’ evaluation information into account, PFSs are utilized to rate risks. In calculating the weights of risk factors with PF-LPM, we consider the subjective weight of risk factors, and adopt the Dice similarity-based distance to calculate synthetical deviation. In order to obtain more consistent expert evaluation information, the PFWA operator and the PFWG operator are utilized to fuse experts’ evaluation information. Finally, the weights of risk factors are calculated with PF-LPM. For prioritization of risk factors, the PF-WASPAS method is utilized to rank failure modes.

Based on the above analysis, we can sum up the main motivation of this paper as follows: (1) In risk revaluation, under time pressure and limited knowledge and data, for some complex products or systems, experts may not be so focused, and different experts have different information processing capabilities. Therefore, the obtained experts’ evaluation information may be uncertain and hesitant. However, the PFSs is of great help in solving this problem. Its parameters include positive degree of membership, neutral degree of membership, negative degree of membership and refusal degree of membership, which can express the hesitation and uncertainty of experts’ evaluation information; (2) the traditional distance measure between PFSs fails to satisfy the axiomatic requirements of being PF distance measures, and may produce counterintuitive results in calculating the distance between different PFSs. Therefore, it is necessary for us to develop new distance measures between PFSs to solve this problem. The distance measure based on Dice similarity and Jaccard similarity can solve this problem well; (3) because experts come from different fields and have different knowledge background, the experts’ evaluation information may be inconsistent. In order to reach a consensus, we need to fuse the experts’ evaluation information under PFSs environment. PFSs provide a parameterized family of aggregation operators such as the PFWA operator and the PFWG operator; (4) in the traditional FMEA method, the risk factors are equally weighted. However, for different risk evaluation cases, the weights of risk factors should not be equal. Moreover, the subjective weights of risk factors should be taken into account. The PF-LPM method can solve these problems well, which calculates synthetical deviation with the Dice similarity-based distance, and calculates the weights of risk factors by establishing a linear programming model; (5) the ranking mechanism of traditional FMEA method is irrational. However, the PF-WASPAS method is simple and direct, and can yield reasonable, acceptable and relatively accurate results in ranking failure modes.

Therefore, the major contributions of this paper are as follows: (1) rating risks by using PFSs, which overcomes the drawback that the traditional FMEA method rates risks by crisp values and cannot express the hesitation and uncertainty of experts’ evaluation information; (2) developing some new distance measures based on Dice similarity and Jaccard similarity, which extends traditional distance measure between PFSs, and overcomes the drawback that the traditional distance measure fails to satisfy the axiomatic requirements of being PF distance measures, and may produce counterintuitive results in calculating the distance between different PFSs; (3) fusing experts’ evaluation information by using the PFWA operator and the PFWG operator, which can make experts’ evaluation information more consistent; (4) calculating the weights of risk factors with the PF-LPM, which can overcome the drawback that the risk factors are weighted equally in the traditional FMEA method; (5) ranking failure modes with the PF-WASPAS method, which can overcome the drawback that the ranking mechanism of the traditional FMEA method is irrational.

The organization of the rest paper is as follows: The literature review is synthesized in Sect. 2. In Sect. 3, some
basic knowledge of the PFSs, the PFWA operator and the PFWG operator is briefly reviewed. Some new distance measures between PFSs are introduced in Sect. 4. Section 5 presents the proposed FMEA method, which includes risk evaluation, calculating the weights of risk factors with PFWA and prioritizing risks with the PF-WASPAS method. In Sect. 6, an illustrative example with respect to the pallet exchange rack is introduced. A discussion and comparison process are reported in Sect. 7, and conclusions are finally drawn in Sect. 8.

2 Literature review

2.1 The applications of PFSs

The concept of PFSs was first proposed by Coung in 2014. It emerged from IFSs, but compared with IFSs, it is more powerful and suitable to deal with cases requiring human opinion involving more answers of types: yes, abstain, no and refusal (Xu et al. 2019). The ease of use of the PFSs has let to the extension of its application in different fields. For example, Luo et al. (2020) adopted PFSs to indicate the subjective evaluation information in evaluating the thermal comfort of underground mines. In order to select the optimal electric vehicle charging station (EVCS) site from all the feasible sites in Beijing, the PFSs was utilized by Ju et al. (2019) to rate EVCS sites. In the same vein, to select an optimal emergency alternative from four feasible emergency alternatives, Li et al. (2019b) adopted PFSs to rate emergency alternatives. Wang et al. (2018a) adopted PFSs to determine whether the risks are prioritized to guarantee the quality, safety, and timely completion of the construction project. In addition, the PFSs were also utilized in medical diagnosis and pattern recognition (Zeng et al. 2019), risk evaluation of flood disaster (Singh et al. 2018), multiple attribute decision making problems (Van Dinh and Xuan Thao 2018), building materials recognition (Wei and Gao 2018), and so forth. However, to the best of our knowledge, there is no study that rates risks by using PFSs in FMEA.

2.2 Distance measures of PFSs

In order to describe difference between two PFSs, many distance measure methods have been proposed. For instance, Dinh et al. (2018) proposed some new distance measures between PFSs and adopted it in the Dinah pattern recognition problem. Some new PF distance measures were proposed by Dutta et al. (2018) to solve medical diagnosis problem. Singh et al. (2018) used the distance measures between PFSs to determinate the flood disaster risk in the South region of India. Son et al. (2017) introduced some new distance measures between PFSs and adopted them in the clustering analysis. Khan et al. (2020) proposed a B-axiomatic requirements of being distance measures widely used, these distance measures cannot satisfy the PFSs and LPM method to calculate the weights of risk factors. Additionally, data envelopment analysis was also utilized to calculate the weights of risk factors. In this paper, we combine PFSs and LPM method to calculate the weights of risk factors, and take the subjective weights of risk factors into account. For LPM method, it is also widely used in different fields. For example, to highlight the overall difference among the performance values of alternatives, Yi
et al. (2019) adopted LPM method to calculate indicator weights. Under condition that the weights may be partially known or even completely unknown, Wu et al. (2020) adopted LPM method to determine the weights of customer requirements. Li et al. (2019c) built the LPM method in dynamic situation to calculate indicator weights with the purpose of widening the overall difference of the development of the cities.

In traditional FMEA method, failure modes are ranked based on RPN values. According to Introduction, we can obtain that this ranking mechanism is irrational. To this end, Luo et al. (2019) proposed the multi-attribute border approximation area comparison (MABAC) method based on likelihood to rank roadway support schemes. In Nie et al. (2018), an alternative FMEA model which combines best–worst, maximizing derivation and COPRAS methods was utilized to evaluate the risk of a supercritical water gasification system. Chen et al. (2018) proposed a new FMEA model which combined gray relational projection (GRP) method and dempster-shafer theory to evaluate the risks of a turbine aircraft rotor blades. In order to improve the capabilities of the traditional FMEA method, Zhao et al. (2016) proposed a modified MULTIMOORA approach based on interval-valued intuitionistic fuzzy sets and continuous weighted entropy. Shin et al. adopted the DEMATEL approach to identify and prioritize failure modes of a software development process. Wang et al. (2018b) proposed a risk evaluation method based on prospect theory and Choquet integral, which considered decision-maker’s psychological behavior and risk factors’ interaction relationships simultaneously. Hu et al. (2020b) proposed a FMEA model based on multi-granularity linguistic terms and the dempster-shafer evidence theory, An integrated robust DEA-FMEA approach was proposed by Yousefi et al. (2018) to evaluate and prioritize safety and environment risks, which considered two extra risk factors: cost and duration of treatment. In this paper, the PF-WASPAS method is utilized by us to rank failure modes, which combine PFSs and the WASPAS method. For the WASPAS method, Mardani et al. (2020) adopted the WASPAS method to rank digital technologies (DTs) under hesitant fuzzy sets. A hybrid fuzzy multi-criteria decision-making method based on level based weight assessment-WASPAS-Heronian (LBWA-WASPAS-H) model was proposed by Pamucar et al. (2020) to select ground access mode. To the best of our knowledge, there is no study that weights risk factors with the PF-LPM method and ranks failure modes with the PF-WASPAS method.

### 3 Preliminaries

In this section, some basic knowledge about PFSs that will be utilized in the subsequent research is briefly reviewed.

#### 3.1 Picture fuzzy sets

As an extension of FSs and IFSs, PFSs can express the evaluation information more accurately and comprehensively than FSs and IFSs when experts face opinions involving four types of answers. It is defined as following.

**Definition 1** Tian et al. (2019) A picture fuzzy set $T$ over the universe of discourse $X$ is interpreted as

$$ T = \{ (a_T(x), b_T(x), c_T(x)) | x \in X \}, $$

where positive degree of membership $a_T(x) : X \rightarrow [0, 1]$, neutral degree of membership $b_T(x) : X \rightarrow [0, 1]$ and negative degree of membership $c_T(x) : X \rightarrow [0, 1]$ in a fuzzy set $T$ such that for every $x \in X$. Also, the degree of refusal is defined for $x$ as $\pi_T(x) = 1 - a_T(x) - b_T(x) - c_T(x)$. In particular, when only one element exists in $X$, the PFS can be degraded to a picture fuzzy number (PFN). For the sake of convenience, we call $T = (a, b, c)$ a PFN.

Then, some operational rules with respect to PFSs are introduced.

**Definition 2** (Tian et al. 2019) Let $T_1 = (a_1, b_1, c_1)$, $T_2 = (a_2, b_2, c_2)$ and $T = (a, b, c)$ be three PFNs, their operational rules contain

1. $T = (c, b, a)$,
2. $T_1 \oplus T_2 = (a_1 + a_2 - a_1a_2, b_1b_2, c_1c_2)$,
3. $T_1 \otimes T_2 = (a_1a_2, b_1 + b_2 - b_1b_2, c_1 + c_2 - c_1c_2)$,
4. $T^j = (a^j, 1 - (1 - b)^j, 1 - (1 - c)^j)$,
5. $iT = (1 - (1 - a)^i, b^i, c^i)$.

In order to compare two PFNs, Jana et al. introduced the concepts of the score function and the accuracy function of a PFN.

**Definition 3** Jana et al. (2019) For a PFN $T = (a, b, c)$, its score function and accuracy function can be defined as

$$ S(T) = a - c \quad (1) $$
$$ A(T) = a + b + c \quad (2) $$

**Definition 4** Jana et al. (2019) Suppose $T_1 = (a_1, b_1, c_1)$ and $T_2 = (a_2, b_2, c_2)$ are two arbitrary PFNs. The preference relations between them can be determined by the following principles:

1. When $S(T_1) < S(T_2)$, then $T_1 < T_2$;
2. When $S(T_1) = S(T_2)$, if $A(T_1) < A(T_2)$, then $T_1 < T_2$;
3. if $A(T_1) = A(T_2)$, then $T_1 \sim T_2$. 

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To measure the deviation or difference between two PFNs, the normalized Hamming distance of PFSs was proposed by Zhang et al. (2020).

**Definition 5** Suppose \( T_1 = (a_1, b_1, c_1) \) and \( T_2 = (a_2, b_2, c_2) \) are two arbitrary PFNs, then the normalized Hamming distance between \( T_1 \) and \( T_2 \) can be obtained as follows:

\[
d(T_1, T_2) = \frac{1}{3} (|a_1 - a_2| + |b_1 - b_2| + |c_1 - c_2|)
\]

(3)

### 3.2 PFWA operator and PFWG operator

To fuse experts’ evaluation information, the picture fuzzy weighted averaging (PFWA) operator and the picture fuzzy weighted geometric mean (PFWG) operator of PFSs are defined.

**Definition 6** Wei (2017) Let \( T_j = (a_j, b_j, c_j) \) \((j = 1, 2, \ldots, n)\) be a collection of PFNs. The PFWA operator is a mapping \( P^n \to P \) such that

\[
PFWA(T_1, T_2, \ldots, T_n) = \frac{1}{n} \left( a_j T_j \right) = \left( \prod_{j=1}^{n} \frac{a_j}{1 - \prod_{j=1}^{n} (1 - a_j)^{\omega_j} + \prod_{j=1}^{n} (1 - c_j)^{\omega_j}} \right)
\]

(4)

where \( \omega = (\omega_1, \omega_2, \ldots, \omega_n)^T \) is the weight vector of \( T_j \) \((j = 1, 2, \ldots, n)\), it can be calculated by a weighted generation method based on the normal distribution (Chen et al. 2020), and \( \omega_j > 0, \sum_{j=1}^{n} \omega_j = 1 \).

**Definition 7** Wei (2017) Let \( T_j = (a_j, b_j, c_j) \) \((j = 1, 2, \ldots, n)\) be a collection of PFNs. The PFWG operator is a mapping \( P^n \to P \) such that

\[
PFWG(T_1, T_2, \ldots, T_n) = \frac{1}{n} \left( T_j \right)^{\omega_j} = \left( \prod_{j=1}^{n} \frac{(a_j)^{1 - \prod_{j=1}^{n} (1 - a_j)^{\omega_j} + \prod_{j=1}^{n} (1 - c_j)^{\omega_j}}}{1 - \prod_{j=1}^{n} (1 - b_j)^{\omega_j} + \prod_{j=1}^{n} (1 - c_j)^{\omega_j}} \right)
\]

(5)

where \( \omega = (\omega_1, \omega_2, \ldots, \omega_n)^T \) is the weight vector of \( T_j \) \((j = 1, 2, \ldots, n)\), it can be calculated by a weighted generation method based on the normal distribution (Chen et al. 2020), and \( \omega_j > 0, \sum_{j=1}^{n} \omega_j = 1 \).

### 4 New distance measures between PFSs

In this section, some new distance measures between PFSs based on the Dice similarity and Jaccard similarity are proposed to overcome drawback that information loss may be caused in traditional distance measure.

#### 4.1 The Dice similarity-based distance measure

The concept of the Dice similarity was first proposed by Dice (1945), it is defined as follows:

**Definition 7** Let \( T_1 = (a_1(x_i), b_1(x_i), c_1(x_i)) \) and \( T_2 = (a_2(x_i), b_2(x_i), c_2(x_i)) \) be two PFSs in a given finite set \( X = \{x_1, x_2, \ldots, x_n\} \). Then, the Dice similarity between \( T_1 \) and \( T_2 \) can be obtained as follows:

\[
S_D(T_1, T_2) = 1 - \frac{2 \sum_{i=1}^{n} (a_1(x_i)a_2(x_i) + b_1(x_i)b_2(x_i) + c_1(x_i)c_2(x_i))}{\sum_{i=1}^{n} a_1^2(x_i) + b_1^2(x_i) + c_1^2(x_i) + \sum_{i=1}^{n} a_2^2(x_i) + b_2^2(x_i) + c_2^2(x_i)}
\]

(6)

Then, the Dice similarity-based distance measure between PFSs is proposed by us to extend traditional distance measure, it is defined as follows:

**Definition 8** Let \( T_1 = (a_1(x_i), b_1(x_i), c_1(x_i)) \) and \( T_2 = (a_2(x_i), b_2(x_i), c_2(x_i)) \) be two PFSs in a given finite set \( X = \{x_1, x_2, \ldots, x_n\} \). Then, the Dice similarity-based distance measure between \( T_1 \) and \( T_2 \) can be obtained as follows:

\[
d_{DS}(T_1, T_2) = 1 - S_D(T_1, T_2) = 1 - \frac{2 \sum_{i=1}^{n} (a_1(x_i)a_2(x_i) + b_1(x_i)b_2(x_i) + c_1(x_i)c_2(x_i))}{\sum_{i=1}^{n} a_1^2(x_i) + b_1^2(x_i) + c_1^2(x_i) + \sum_{i=1}^{n} a_2^2(x_i) + b_2^2(x_i) + c_2^2(x_i)}
\]

(7)

**Property 1** Based on the above definition, we can obtain that:

1. \( 0 \leq d_{DS}(T_1, T_2) < 1 \);
2. \( d_{DS}(T_1, T_2) = d_{DS}(T_2, T_1) \);
3. \( d_{DS}(T_1, T_2) = 0 \) if and only if \( T_1 = T_2 \).

**Proof**

1. According to the definition of PFSs, \( a(x) \in [0, 1], b(x) \in [0, 1] \) and \( c(x) \in [0, 1] \), then, \( d_{DS}(T_1, T_2) \geq 0 \). Because \( a^2 + b^2 \geq 2ab \), we have \( 2a_1(x)a_2(x) + b_1(x)b_2(x) + c_1(x)c_2(x) \leq a_1^2(x) + b_1^2(x) + c_1^2(x) + a_2^2(x) + b_2^2(x) + c_2^2(x) \), then, \( 0 \leq d_{DS}(T_1, T_2) < 1 \).
2. According to the definition, we can obtain that the Dice similarity-based distance measure satisfies the second condition.
3. If \( T_1 = T_2 \), then \( a_1(x_i) = a_2(x_i), b_1(x_i) = b_2(x_i) \) and \( c_1(x_i) = c_2(x_i) \). Therefore, we can obtain that \( d_{DS}(T_1, T_2) = 0 \). If \( d_{DS}(T_1, T_2) = 0 \), there is

\[
2a_1(x_i) + b_1(x_i)b_2(x_i) + c_1(x_i)c_2(x_i) = 0
\]
\[ a_1^2(x_i) + b_1^2(x_i) + c_1^2(x_i) + a_2^2(x_i) + b_2^2(x_i) + c_2^2(x_i). \]

then, \[ (a_1(x_i) - a_2(x_i))^2 + (b_1(x_i) - b_2(x_i))^2 + (c_1(x_i) - c_2(x_i))^2 = 0. \]
Thus, \[ a_1(x_i) = a_2(x_i), \]
\[ b_1(x_i) = b_2(x_i) \]
and \[ c_1(x_i) = c_2(x_i), \]
that is, \[ T_1 = T_2. \]
This completes the proof.

\[ S_{JS}(T_1, T_2) = \frac{1}{n} \sum_{i=1}^{n} \left( \frac{a_1(x_i)a_2(x_i) + b_1(x_i)b_2(x_i) + c_1(x_i)c_2(x_i)}{(a_1^2(x_i) + b_1^2(x_i) + c_1^2(x_i)) + (a_2^2(x_i) + b_2^2(x_i) + c_2^2(x_i))} \right) \]

\[ d_{DS}(T_1, T_2) = 1 - S_{DS}(T_1, T_2) = 1 \]
\[ - \frac{1}{n} \sum_{i=1}^{n} \left( \frac{2(a_1(x_i)a_2(x_i) + b_1(x_i)b_2(x_i) + c_1(x_i)c_2(x_i))}{(a_1^2(x_i) + b_1^2(x_i) + c_1^2(x_i)) + (a_2^2(x_i) + b_2^2(x_i) + c_2^2(x_i))} \right) \]

\[ d_{JS}(T_1, T_2) = 0 \text{ if and only if } T_1 = T_2. \]

**4.2 The Jaccard similarity-based distance measures**

The concept of the Jaccard similarity was first proposed by Jaccard in 1901 (Jaccard 1901), it is defined as follows.

\[ 2(a_1(x_i)a_2(x_i) + b_1(x_i)b_2(x_i) + c_1(x_i)c_2(x_i)) \leq a_1^2(x_i) + b_1^2(x_i) + c_1^2(x_i) + a_2^2(x_i) + b_2^2(x_i) + c_2^2(x_i) \]
\[ \Rightarrow a_1(x_i)a_2(x_i) + b_1(x_i)b_2(x_i) + c_1(x_i)c_2(x_i) \leq (a_1^2(x_i) + b_1^2(x_i) + c_1^2(x_i)) + (a_2^2(x_i) + b_2^2(x_i) + c_2^2(x_i)) \]
\[ - a_1(x_i)a_2(x_i) + b_1(x_i)b_2(x_i) + c_1(x_i)c_2(x_i) \]
\[ \Rightarrow \frac{a_1(x_i)a_2(x_i) + b_1(x_i)b_2(x_i) + c_1(x_i)c_2(x_i)}{(a_1^2(x_i) + b_1^2(x_i) + c_1^2(x_i)) + (a_2^2(x_i) + b_2^2(x_i) + c_2^2(x_i))} \leq 1 \]
\[- a_1(x_i)a_2(x_i) + b_1(x_i)b_2(x_i) + c_1(x_i)c_2(x_i) \]

**Definition 10** Let \[ T_1 = (a_1(x_i), b_1(x_i), c_1(x_i)) \]
and \[ T_2 = (a_2(x_i), b_2(x_i), c_2(x_i)) \]
be two PFSs in a given finite set \[ X = \{x_1, x_2, \ldots, x_n\}. \]
Then, the Jaccard similarity between \[ T_1 \]
and \[ T_2 \]
can be obtained as follows:

\[ d_{JS}(T_1, T_2) = 1 - S_{JS}(T_1, T_2) = 1 \]
\[ - \frac{1}{n} \sum_{i=1}^{n} \left( \frac{a_1(x_i)a_2(x_i) + b_1(x_i)b_2(x_i) + c_1(x_i)c_2(x_i)}{(a_1^2(x_i) + b_1^2(x_i) + c_1^2(x_i)) + (a_2^2(x_i) + b_2^2(x_i) + c_2^2(x_i))} \right) \]

**Property 2** Similarly, the Jaccard similarity-based distance measure between PFSs satisfies:

\( (1) \quad 0 \leq d_{JS}(T_1, T_2) \leq 1; \)
\( (2) \quad d_{JS}(T_1, T_2) = d_{JS}(T_2, T_1); \)
\( (3) \quad d_{JS}(T_1, T_2) = 0 \text{ if and only if } T_1 = T_2. \)

**Proof**

\( (1) \quad \text{Since } a^2 + b^2 \geq 2ab, \text{ we can obtain that} \)
Thus, 0 ≤ d_{JS}(T_1, T_2) < 1.

(2) It is obvious that d_{JS}(T_1, T_2) = d_{JS}(T_2, T_1).

(3) If T_1 = T_2, then d_{JS}(T_1, T_2) = 0. If d_{JS}(T_1, T_2) = 0, we can obtain that

\[
\frac{a_1(x_i)a_2(x_i) + b_1(x_i)b_2(x_i) + c_1(x_i)c_2(x_i)}{(a_1^2(x_i) + b_1^2(x_i) + c_1^2(x_i) + a_2^2(x_i) + b_2^2(x_i) + c_2^2(x_i))} = 1
\]

- \( a_1(x_i)a_2(x_i) + b_1(x_i)b_2(x_i) + c_1(x_i)c_2(x_i) \)

\[\Rightarrow (a_1(x_i) - a_2(x_i))^2 + (b_1(x_i) - b_2(x_i))^2 + (c_1(x_i) - c_2(x_i))^2 \]

\[= 0\]

Thus, \( T_1 = T_2 \). This completes the proof.

Then, we introduce the weights of PFSs into the Jaccard similarity-based distance measure, and define the concept of the Jaccard similarity-based weighted distance measure between PFSs.

**Definition 12** Let \( T_1 = \langle a_1(x_i), b_1(x_i), c_1(x_i) \rangle \) and \( T_2 = \langle a_2(x_i), b_2(x_i), c_2(x_i) \rangle \) be two PFSs in a given finite set \( X = \{x_1, x_2, \ldots, x_n\} \). Then, the Jaccard similarity-based weighted distance measure between \( T_1 \) and \( T_2 \) can be obtained as follows:

\[
d_{JS}(T_1, T_2) = 1 - S_J(T_1, T_2) = 1
\]

\[
1 \sum_{i=1}^{n} \alpha_i \left( \frac{a_1(x_i)a_2(x_i) + b_1(x_i)b_2(x_i) + c_1(x_i)c_2(x_i)}{(a_1^2(x_i) + b_1^2(x_i) + c_1^2(x_i) + a_2^2(x_i) + b_2^2(x_i) + c_2^2(x_i))} \right)
\]

- \( a_1(x_i)a_2(x_i) + b_1(x_i)b_2(x_i) + c_1(x_i)c_2(x_i) \)

\[\Rightarrow (a_1(x_i) - a_2(x_i))^2 + (b_1(x_i) - b_2(x_i))^2 + (c_1(x_i) - c_2(x_i))^2 \]

\[= 0\]

Similarly, the Jaccard similarity-based weighted distance measure between PFSs also satisfies: (1) \( 0 \leq d_{JS}(T_1, T_2) < 1 \); (2) \( d_{JS}(T_1, T_2) = d_{JS}(T_2, T_1) \); (3) \( d_{JS}(T_1, T_2) = 0 \) if and only if \( T_1 = T_2 \).

## 5 The proposed FMEA method

In this paper, we proposed a new risk evaluation method based on the PF-LPM and the PF-WASPAS. The concrete steps of the proposed FMEA method are shown in Fig. 1. According to Fig. 1, we can obtain that the proposed FMEA method mainly includes three steps: risk evaluation, calculating the weights of risk factors with the PF-LPM and prioritizing risks with PF-WASPAS method. In risk evaluation, PFSs is utilized to evaluate risks. In calculating the weights of risk factors with the PF-LPM, the proposed Dice similarity-based distance of PFSs is used to calculate synthetical deviation. The PFWA operator and PFWG operator are utilized to fuse experts’ evaluation information, and the weights of risk factors are calculated with PF-LPM method. In prioritizing risks with the PF-WASPAS method, the failure modes are ranked by using the PF-WASPS method. Next, we will illustrate each step of the proposed FMEA method in detail according to Fig. 1.

### 5.1 Risk evaluation

In traditional FMEA method, we determine all potential failure modes of products based on experts’ experience and evaluate risks by using crisp values. However, on one hand, because experts’ evaluation information is subjective, some key failure modes may be lost in risk evaluation process. To solve this problem, the failure tree of product is constructed to determine potential failure modes based on failure data collected. On other hand, in real life, it is impossible to describe the exact risk level with specific numbers owing to time pressure and limited knowledge or data, and various hesitation and uncertainty will appear in the experts’ subjective evaluation. In this case, given that PFSs have an inherent superiority in solving this problem, we can adopt PFSs to rate risks. The concrete risk evaluation process includes the following three steps.

**Step 1** Determine the failure modes and define risk factors.

Before conducting a risk evaluation, it is necessary for us to form a technical team and an expert team. The technical team is composed of fifteen basic technical staffs in the company, who come from five different departments: design, manufacturing, technical service, business and production management, each with 3–5 years of experience in CNC machine tool manufacturing industry. The expert team is composed of seven senior technical staffs in the company, who come from five different team too, but each with more than 15 years of experience.

In order to determine potential failure modes of product, we invite staffs in the technical team to collect product data, which includes design drawings, design specification and fault data, and to establish failure tree based on product data collected. Then, based on failure tree constructed, we invite \( I \) experts \( e_k(k = 1, 2, \ldots, I), I \in [0, 7] \) to determine potential failure modes of product that cause system failure, denoted by \( FM = \{FM_1, FM_2, \ldots, FM_m\} \), where \( FM_i(l = 1, 2, \ldots, m) \) indicates the \( i \)th failure mode. Based on traditional RPNN method, we can obtain that risk factors include S, O and D.
Step 2 Evaluate risks by linguistic terms and construct PFNs decision matrices.

In the real life, due to time pressure and lack of knowledge or data, there may be hesitation or uncertainty about experts’ evaluation information. In this paper, we define that seven grades of experts’ evaluation information for rating failure modes are expressed as linguistic terms. Linguistic terms and corresponding picture fuzzy numbers are shown in Table 1. In order to rate risks, three of seven experts in expert team are invited to provide their assessments over failure modes using linguistic terms to establish linguistic terms decision matrices, denoted by $T^k_{ij}$ where $T^k_{ij}$ indicates a linguistic term information of failure mode $FM_I$ with respect to risk factor $RF_j$ given by expert $e_k$.

After converting linguistic terms information into corresponding PFNs based on Table 1, the PF evaluation information of the $i$th failure mode with respect to the $j$th risk factor provided by expert $e_k$ can be denoted as $X^k_{ij} = (a^k_{ij}, b^k_{ij}, c^k_{ij})$ where $k = 1, 2, \ldots, p; i = 1, 2, \ldots, n$ and $p$ is the number of risk factors. PFNs decision matrices can be established as $X^k = (X^k_{ij})_{n \times p}$.

Step 3 Standardize PFNs decision matrices.
In order to make experts’ evaluation information more realistic, we need to standardize PFNs decision matrices as follows:

$$x^k_{iy} = (a_{iy}, b_{iy}, c_{iy}) = \left( \begin{array}{c} x^k_{iy} \\ \rho \\ y \\ S \\ D \end{array} \right)$$

### 5.2 Calculating the weights of risk factors

with PF-LPM

In order to overcome drawback that three risk factors (S, O and D) are equally weighted in the traditional FMEA method, we propose a new method of weighting risk factors, called PF-LPM, which takes into account subjective weights of risk factors and constructs maximizing deviation by using the Dice similarity-based distance. The concrete process includes the following seven steps.

- **Step 1** Construct subjective weight matrices of risk factors.

  Similar to Step 2 in Sect. 5.1, the three of seven experts in expert team are invited to rate weight of risk factors, and construct subjective weight matrices of risk factors

$$\omega^s = (\omega_{sk})_{1 \times p}, k = 1, 2, \ldots, l$$

where \( \omega_{sk} \) indicates subjective weight of yth risk factors given by expert \( e_k \).

- **Step 2** Calculate syncretic subjective weight matrix.

  In order to obtain more consistent evaluation results, the PFWA operator is utilized to fuse experts’ evaluation information. So syncretic subjective weight matrix

$$\omega^s = (x_y)_{1 \times p}$$

can be calculated as follows:

$$x_y = PFWA(\omega^{s1}_y, \omega^{s2}_y, \omega^{s3}_y) = \begin{array}{l} \omega_{sk} \end{array} \begin{array}{l} \omega_{sk} \end{array} \begin{array}{l} \omega_{sk} \end{array} \begin{array}{l} \omega_{sk} \end{array} \begin{array}{l} \omega_{sk} \end{array}$$

where \( \omega^{sk} = (a^{sk}_y, b^{sk}_y, c^{sk}_y) \) and \( w = (w_1, w_2, w_3)^T \) is weight vector of experts, it can be calculated by a weighted generation method based on the normal distribution. Moreover, \( w_k > 0 \) and \( \sum_{k=1}^{3} w_k = 1 \).

- **Step 3** Determine subjective weight vector of risk factors.

  Based on score function of PFNs, we can determine subjective weight vector of risk factors as follows:

$$\omega^s = \frac{|S(x_y)|}{\sum_{y=1}^{p} |S(x_y)|}$$

where \( \omega^s \) indicates the subjective weight of yth risk factor.

- **Step 4** Calculate syncretic decision matrix.

  In order to obtain more consistent evaluation results, the PFWG operator is utilized to fuse standardized PFNs decision matrices. So syncretic decision matrix \( X = (x_{iy})_{m \times p} \) can be calculated as follows:

$$x_{iy} = PFWG(x^{1}_{iy}, x^{2}_{iy}, x^{3}_{iy}) = \begin{array}{l} \omega_{sk} \end{array} \begin{array}{l} \omega_{sk} \end{array} \begin{array}{l} \omega_{sk} \end{array} \begin{array}{l} \omega_{sk} \end{array}$$

where \( x^k_{iy} = (a^k_{iy}, b^k_{iy}, c^k_{iy}) \).

- **Step 5** Establish linear programming model.

  For a risk evaluation process, if the evaluation values of all failure modes under a risk factor are more different, which indicates this risk factor has a significant contribution to the final ranking of failure modes. Conversely, if the evaluation values of all failure modes under a risk factor have few differences, which indicates that this risk factor has a lower contribution to the final ranking of failure modes. Therefore, for the risk factor that has large differences in evaluation values, it should be assigned a greater weight. Otherwise, it should be assigned a smaller weight. In order to describe these differences, the concept of synetical deviation is introduced based on the Dice similarity-based distance. It is defined as follows:

$$D(\omega^y) = \frac{1}{m-1} \sum_{y=1}^{p} \sum_{i=1}^{m} \sum_{h=1 \text{, } h \neq i}^{m} d_{DS}(x_{iy}, x_{ih}) \omega^y$$

where \( \omega^y, y = 1, 2, \ldots, p \) is objective weight of yth risk factors, and \( d_{DS}(x_{iy}, x_{ih}) (i, h = 1, 2, \ldots, m) \) is the Dice similarity-based distance between the evaluation values on failure mode \( F_{M_i} \) and \( F_{M_h} \) with respect to risk factor \( R_{R_j} \).

Based on the fact that the larger the synthetic deviation is, the more reasonable the weights of risk factors are, a linear programming model is established by us to calculate objective weights of risk factors:

$$\begin{array}{l}
\text{Max}D(\omega^y) = \frac{1}{m-1} \sum_{y=1}^{p} \sum_{i=1}^{m} \sum_{h=1 \text{, } h \neq i}^{m} d_{DS}(x_{iy}, x_{ih}) \omega^y \\
\text{Subject to } \sum_{y=1}^{p} \omega^y = 1, \omega^y \geq 0, y = 1, 2, \ldots, p
\end{array}$$

- **Step 6** Determine objective weight vector of risk factors.

  The solution of the above linear programming model is the objective weight vector of risk factors. Therefore, by solving the above model, we can get the objective weight vector of risk factors \( \omega^y = (\omega^y)_{1 \times p} \).
Step 7 Determine synethetical weight vector of risk factors.

In the end, the synethetical weight vector of risk factors \( \omega = (\omega_y)_{1 \times p} \) can be calculated as follows:

\[
\omega_y = \beta \omega^S_y + (1 - \beta)\omega^O_y
\]  

(18)

where \( \beta \in [0, 1] \) is the importance coefficient of subjective weights.

5.3 Prioritizing risks with PF-WASPAS method

In this section, we propose a new extension to WASPAS method under PFSs environment to rank failure modes. It overcomes drawback that ranking mechanism is irrational in the traditional FMEA method. Similar to the VIKOR ranking method, the WASPAS method is a compromise solution method that is based on the idea of weighted sum method (WSM) and weighted product method (WPM). Combined with PFSs, the PF-WASPAS method have an innate superiority in ranking failure modes, because it has many advantages, for instance, it has simple and straightforward process, and follows joint optimality concept for obtaining the final rank of failure modes. Moreover, it determines the final ranking order based on the linear combination of WSM and WPM and also uses PFSs to describe uncertain preference information. The concrete process includes the following five steps.

Step 1 Determine syncretic decision matrix and weights of risk factors.

According to Sect. 5.2, we can obtain syncretic decision matrix \( X = (x_{iy})_{m \times p} \) and weight vector of risk factors \( \omega = (\omega_y)_{1 \times p} \).

Step 2 Calculate the weighted sum value for each failure modes.

The weighted sum value of \( i \)th failure mode can be calculated as follows:

\[
WSM_i = \sum_{y=1}^{p} \omega_y x_{iy}
\]  

(19)

Step 3 Calculate the weighted product value for each failure modes.

The weighted product value of \( i \)th failure mode can be calculated as follows:

\[
WPM_i = \prod_{y=1}^{p} (x_{iy})^{\alpha_y}
\]  

(20)

Step 4 Calculate the linear combination value of WSM and WPM.

In order to obtain joint optimal solution, we need to calculate the linear combination value of WSM and WPM.

\[
QA_i = \lambda WSM_i + (1 - \lambda)WPM_i
\]  

(21)

where \( QA_i \) indicates the obtained linear combination value of \( i \)th failure mode, and \( \lambda \in [0, 1] \) is importance coefficient of the weighted sum value.

Step 5 Rank failure modes.

In order to rank failure modes, it is necessary for us to calculate score function value \( Q_i \) of the linear combination value of each failure mode.

\[
Q_i = S(QA_i)
\]  

(22)

If any failure modes have the same \( Q_i \) values, we need to calculate accuracy function value, and based on Definition 4, the values are assigned in descending order, that is, the failure mode with maximum value is ranked first. For critical failure modes, it is necessary for us take the corresponding corrective measures to eliminate them.

6 An illustrative example

6.1 Background

In order to explain the implementation process of the proposed FMEA method, in this paper, we take the pallet exchange rack of a horizontal machining center produced by a large CNC machine tool manufacturing company in China as research object. The pallet exchange rack is an important functional part of the horizontal machining center. Its main function is to realize the position exchange between the processed workpiece and the blank to be processed, so as to send the blank to be processed to the processing position, and realize the automatic positioning of the workpiece. At the same time, the processed workpiece is sent out of the processing position. The structure of the pallet exchange rack is presented in Fig. 2, which mainly includes pallet lifting mechanism driven by the hydraulic lifting cylinder and pallet rotating mechanism driven by the hydraulic rotating cylinder. When working, the bracket 4 is lifted by the hydraulic lifting cylinder first. Then, the rack is driven by the rotating hydraulic cylinder, and is meshed with gear shaft 5 to realize the rotation of the bracket 4. There are two pallets, the included angle between the two pallets is 180 degrees, and they can rotate around the mandrel 3. The two pallets are symmetrically arranged with the mandrel 3 as the center, and when working, the blank and the processed workpiece are,
reliability of pallet exchange rack. According to Fig. 1, we conduct a risk evaluation process based on the proposed FMEA method to find out key risks of pallet exchange rack and take corresponding corrective measures to eliminate them, thus improve quality and reliability of the horizontal machining center. Therefore, in order to improve quality and reliability of pallet exchange rack, it is necessary and interesting for us to conduct a FMEA for pallet exchange rack, find out the key failure modes of pallet exchange rack and take corresponding corrective measures to eliminate them.

6.2 Implementation

In this section, we conduct a risk evaluation process based on the proposed FMEA method to find out key risks of pallet exchange rack and take corresponding corrective measures to eliminate them, thus improve quality and reliability of pallet exchange rack. According to Fig. 1, we can obtain that the proposed FMEA method includes three main processes: risk evaluation, calculating the weights of risk factors, and prioritizing risks. Then, we will introduce the whole implementation process in detail.

Step 1 Risk evaluation.

Considering that this horizontal machining center is produced by a large CNC machine tool manufacturing company in China, so the members of the technical team and the expert team are selected from the employees of this company. For the technical team, it is composed of fifteen basic technical staffs in the company, who come from five different departments: design, manufacturing, technical service, business and production management, each with 3–5 years of experience in CNC machine tool manufacturing industry. For the expert team, it is composed of seven senior technical staffs in the company, who come from five different teams too, but each with more than 15 years of experience.

After establishing the technical team and the expert team, the staffs in the technical team are invited to collect product data, which includes design drawings, design specification and fault data of pallet exchange rack, and to establish failure tree based on product data collected, as shown in Fig. 3. After that, the traditional FMEA of pallet exchange rack is carried out by the technical team, the results are presented as Table 2. Based on Fig. 3 and Table 2, three of seven experts are invited to determine potential failure modes that cause system failure and risk factors of pallet exchange rack. The pallet exchange rack has seven potential failure modes, denoted by $FM = \{FM_1, FM_2, \ldots, FM_m\}, m = 7$, and three risk factors, denoted by $RF = \{RF_1, RF_2, \ldots, RF_p\} = \{S, O, D\}, p = 3$.

As core part of horizontal machining center, the reliability of pallet exchange rack has a great impact on the reliability of the horizontal machining center. Therefore, in order to improve quality and reliability of horizontal machining center, it is necessary and interesting for us to conduct a FMEA for pallet exchange rack, find out the key failure modes of pallet exchange rack and take corresponding corrective measures to eliminate them.

In the same vein, we invite three of seven experts from expert team to rate risks based on Table 1, and establish linguistic terms decision matrices $T^k = \left( T^k_{iy} \right)_{7 \times 3}, k = 1, 2, 3; i = 1, 2, \ldots, 7; y = 1, 2, 3$. The evaluation results are shown in Table 3. For example, the evaluation information of failure mode $FM_1$ with respect to risk factor $S$ given by expert $e_1$ is VVH. Then, according to Table 1, all linguistic terms will be translated into corresponding PFNs, and we can obtain PFNs decision matrices. For instance, $VVH \rightarrow (1, 0, 0)$. Because risk factors have two types, benefit type (D) and cost type (S and D), we need to standardize PFNs decision matrices. Based on Eq. (12), we can obtain standardized PFNs decision matrices $X^k = \left( X^k_{iy} \right)_{7 \times 3}, k = 1, 2, 3$, the results are presented in Table 4. For example, $H \sim (0.8, 0.1, 0.05) \rightarrow H \sim (0.05, 0.1, 0.8)$.

Step 2 Calculating the weights of risk factors with PF-LPM.

In this section, we adopt PF-LPM to calculate weights of risk factors. It mainly includes calculating subjective weights and calculating objective weights. In the calculation process of subjective weights, similar to Step 1, we invite three of seven experts to rate risk factors, the
evaluation results are shown in Table 5. For example, the evaluation information of risk factor $S$ given by experts $e_1$ is $H$. Then, all linguistic terms will be translated into corresponding PFNs, so the subjective weight matrices $x_{Sk} = \frac{(x_{Sk})_1}{C_{k}^{2}}, k = 1, 2, 3$ can be obtained.

Based on Eq. 13, the experts’ evaluation information is fused by PFWA operator. The weights of experts are $w = (0.2, 0.45, 0.35)$, which can be calculated by a weighted generation method based on the normal distribution. Then, we can obtain syncretic subjective weight matrix of risk factors $\omega^S = (x_{Sj})_3$, the fused results are presented in Table 5. For instance, $x_1 = PFWA(\omega^{1}_{1}, \omega^{1}_{2}, \omega^{1}_{3}) = PFWA((0.8, 0.1, 0.05), (0.9, 0.05, 0), (0.9, 0.05, 0)) = (0.8851, 0.0574, 0)$.

According to Eq. (14), the subjective weights vector of risk factors can be obtained, that is, $\omega^S = (0.5458, 0.0978, 0.3564)$. For example, $\omega^S_1 = \frac{|S(x_1)|}{\sum_{i=1}^{3}|S(x_1)|} = \frac{0.8851 - 0.3241}{0.8851 - 0.3241 + 0.0574 + 0.3564} = 0.5458$.

In the calculation process of objective weights, firstly, we need to fuse experts’ evaluation information based on
Table 3  Linguistic terms decision matrices

| ε     | Risk factors | Failure modes |
|-------|--------------|---------------|
| $e_1$ | $S$          | VVH           |
|       | $O$          | F             |
|       | $D$          | $H$           |
| $e_2$ | $S$          | VVH           |
|       | $O$          | F             |
|       | $D$          | $H$           |
| $e_3$ | $S$          | VVH           |
|       | $O$          | F             |
|       | $D$          | $H$           |

Table 4  Standardized PFNs decision matrices

| ε     | Risk factors | Failure modes |
|-------|--------------|---------------|
| $e_1$ | $S$          | (0, 0.1)      |
|       | $O$          | (0.45, 0.1)   |
|       | $D$          | (0.05, 0.1)   |
| $e_2$ | $S$          | (0.9, 0.05)   |
|       | $O$          | (0.45, 0.4)   |
|       | $D$          | (0.05, 0.9)   |
| $e_3$ | $S$          | (0.9, 0.05)   |
|       | $O$          | (0.45, 0.4)   |
|       | $D$          | (0.05, 0.9)   |

Table 5  The subjective weight matrices and syncretic subjective weight matrix of risk factors

| Experts | Risk factors | S    | O    | D    | S    | O    | D    |
|---------|--------------|------|------|------|------|------|------|
| $e_1$   | H            | L    | F    | (0.8, 0.1, 0.05) | (0.2, 0.45, 0.25) | (0.45, 0.4, 0.1) |
| $e_2$   | VH           | F    | H    | (0.9, 0.05, 0)   | (0.45, 0.4, 0.1)  | (0.8, 0.1, 0.05) |
| $e_3$   | VH           | L    | F    | (0.9, 0.05, 0)   | (0.2, 0.45, 0.25) | (0.45, 0.4, 0.1) |
| $z_1$   | (0.8851, 0.0574, 0) | (0.3241, 0.4268, 0.1655) | (0.6511, 0.2144, 0.0732) |
| $\omega_1^x$ | 0.5458 | 0.0978 | 0.3564 |
Step 3 Prioritizing risks with PF-WASPAS method.

In this section, the PF-WASPAS method will be utilized to ranking failure modes of pallet exchange rack. According to the above calculation, we have obtained the syncretic decision matrix $X = (x_{iy})_{7 \times 3}$ and the synthetical weight vector of risk factors $\omega^y = (0.4623, 0.3054, 0.2323)$. Then, based on Eqs. (19) and (20), we can calculate the weighted sum value and the weighted product value of each failure mode, for instance,

$$WSM_1 = \frac{1}{3} \sum_{y=1}^{3} \omega_y x_{1y} = 0.4623(0.9192, 0.0402, 0) + 0.3054(0.583, 0.2799, 0.0778) \odot 0.2323(0, 0.0602, 0.8851) = (0.7607, 0.0799, 0),$$

$$WPM_1 = \frac{1}{3} \sum_{y=1}^{3} (x_{1y})^{\omega_y} = (0.9192, 0.0402, 0)^{0.4623} \odot (0.2323, 1) = (0, 0.1251, 0.4099).$$

The calculated results are shown in Table 9 of Appendix A. Based on Eq. (21), we can assume that $\lambda = 0.5$, and obtain the linear combination value of WSM and WPM. For instance,

$$QA_1 = 0.5(0.7607, 0.0799, 0) + (1 - 0.5)(0, 0.1251, 0.4099) = (0.5108, 0.1, 0).$$

The calculated results of other failure modes are shown in Table 9 of Appendix A.

At last, the score function value of the linear combination value of each failure mode can be calculated based on Eq. (22), and based on these values, the failure modes are assigned in descending order, that is, the failure mode with maximum value is ranked first. Therefore, the final ranking results of all failure modes are $FM_1 > FM_7 > FM_6 > FM_5 > FM_4 > FM_3$. According to the ranking results, we can obtain that failure mode $FM_1$ should be given the highest priority for risk mitigation and safety improvement. Based on Table 2, the reasons that cause failure mode $FM_1$ mainly include the pallet did not lift to the correct position and the pallet did not rotate to the correct position. The rotation and lifting of the exchange rack are controlled by the hydraulic system and the limit switch, therefore, we can take the following measures to eliminate it: (1) Checking the limit switch regularly; (2) Checking the solenoid valve regularly and pipeline, and timely replace the defective pipeline; (3) Debugging the program before machining; (4) Ensuring accurate engagement of gear and rack.

7 Discussion and comparison

In this section, a discussion and comparison process is carried out by us to verify the rationality and effectiveness of the proposed method, mainly includes three parts: sensitivity analysis of different distance measures, comparison analysis of different ranking methods, and managerial implications.

7.1 Sensitivity analysis of different distance measures

According to Sect. 2, we know that many distance measure methods have been proposed to describe the differences between two PFSs. When different distance measures are utilized to calculate the synethetical deviation, we can obtain different weights of risk factors, which may lead to different ranking results. Therefore, it is necessary and interesting for us to explore the influence of different distance measures on failure modes’ ranking.

In order to conduct sensitivity analysis of different distance measures, the traditional distance, the Ganie’s distance, the Singh’s distance and the proposed Dice similarity-based distance are used by us to calculate weights of risk factors, and rank failure modes based on the above case. The concept of the Ganie’s distance was first proposed by Ganie in (2021), it is defined as follows:

**Definition 13** Let $T_1 = \langle a_1(x_i), b_1(x_i), c_1(x_i) \rangle$ and $T_2 = \langle a_2(x_i), b_2(x_i), c_2(x_i) \rangle$ be two PFSs in a given finite set $X = \{x_1, x_2, \cdots, x_n\}$. Then, the Ganie’s distance measure between $T_1$ and $T_2$ can be obtained as follows:

$$D_G(T_1, T_2) = 1 - \frac{1}{4n} \sum_{i=1}^{n} \left[ 3\sqrt{a_1(x_i)a_2(x_i)} + 3\sqrt{b_1(x_i)b_2(x_i)} + 3\sqrt{c_1(x_i)c_2(x_i)} + 3\sqrt{\pi_1(x_i)\pi_2(x_i)} \right]
+ \sqrt{(1 - a_1(x_i) - b_1(x_i))(1 - a_2(x_i) - b_2(x_i))}
+ \sqrt{(1 - a_1(x_i) - c_1(x_i))(1 - a_2(x_i) - c_2(x_i))}
+ \sqrt{(1 - b_1(x_i) - c_1(x_i))(1 - b_2(x_i) - c_2(x_i))}$$

$$= 0$$

$$= 1$$
The concept of the Singh’s distance was proposed by Singh in 2018 (Singh et al. 2018), it is defined as follows:

**Definition 14** Let \( T_1 = (a_1(x_i), b_1(x_i), c_1(x_i)) \) and \( T_2 = (a_2(x_i), b_2(x_i), c_2(x_i)) \) be two PFSs in a given finite set \( X = \{x_1, x_2, \ldots x_n\} \). Then, the Singh’s distance measure between \( T_1 \) and \( T_2 \) can be obtained as follows:

\[
D_S(T_1, T_2) = 1 - \left[ \frac{1}{4n} \sum_{i=1}^{n} \max \left( |a_1(x_i) - a_2(x_i)|, |b_1(x_i) - b_2(x_i)|, |c_1(x_i) - c_2(x_i)|, |\pi_1(x_i) - \pi_2(x_i)| \right) \right]^2
\]

(24)

The final ranking results of these four distance measures are shown in Table 6. In order to provide a more visual comparison, a line chart is utilized by us to describe the final ranking results, as shown in Fig. 4.

According to Table 6 and Fig. 4, we can obtain that the ranking results of the proposed Dice similarity-based distance are highly related with those of the Ganie’s distance and the Singh’s distance, but have great difference with those of the traditional distance. For example, except for FM3 and FM5, the ranking results of the Ganie’s distance and the proposed Dice similarity-based distance are identical. However, for the traditional distance, except for FM5 and FM7, the ranking results of the traditional distance and the proposed Dice similarity-based distance are completely different. Moreover, the first-ranked and the last-ranked failure modes of the proposed Dice similarity-based distance are consistent with traditional RPN method, that is FM1 and FM3. Therefore, based on above analysis, we can obtain that the proposed Dice similarity-based distance is better than the Ganie’s distance and the Singh’s distance, and these three distance measures are better than the traditional distance.

### 7.2 Comparison analysis of different ranking methods

When different ranking methods are utilized to rank failure modes of the pallet exchange rack, we can obtain different ranking results. Some of these ranking results are quite different, and some are almost consistent. In order to verify the rationality and effectiveness of the proposed method, in this section, a comparison analysis with respect to the traditional RPN, the intuitionistic fuzzy-linear programming model-multi-attributive border approximation area comparison (IF-LPM-MABAC) method which calculates weights of risk factors with LPM, and ranks failure modes with MABAC method (Liu et al. 2019c), the spherical fuzzy-WASPAS (SF-WASPAS) method (Aydogdu and Gul 2020) which calculates weights of risk factors with entropy measure, and ranks failure modes with MABAC method and the proposed FMEA method is conducted. Based on the above case, the ranking results of these four methods are shown in Table 7. Similar to Sect. 7.1, a line chart is utilized by us to describe the final ranking results, as presented in Fig. 5.

The comparison of the ranking results mainly includes three aspects. Firstly, in order to find the statistical significance of the difference between the ranking results obtained using the proposed FMEA method and the ones obtained from the traditional RPN method, the IF-LPM-MABAC method and the SF-WASPAS method, the Spearman rank correlation coefficient (SRCC) is utilized by us. It was first proposed by Raju in 1999, and was defined as follows:

| Failure modes | The traditional distance | The Ganie’s distance | The Singh’s distance | The Dice similarity-based distance |
|---------------|-------------------------|---------------------|---------------------|----------------------------------|
|               | \( Q_i \) Ranking       | \( Q_i \) Ranking   | \( Q_i \) Ranking   | \( Q_i \) Ranking               |
| FM1           | 0.0231 3               | 0.6352 1            | 0.6237 2            | 1 1                               |
| FM2           | -0.5581 7             | 0.0024 5            | 0.2206 5            | 0.0018 5                         |
| FM3           | 0.6328 1              | 0.1524 4            | -0.0926 7           | -0.3162 7                        |
| FM4           | -0.2891 5             | -0.2475 6           | 0.0045 6            | -0.1215 6                        |
| FM5           | -0.1287 4             | -0.3377 7           | 0.3521 4            | 0.1403 4                         |
| FM6           | -0.3872 6             | 0.2647 3            | 0.4375 3            | 0.5108 3                         |
| FM7           | 0.3219 2              | 0.4926 2            | 0.8457 1            | 0.5834 2                         |
\[ R = 1 - \frac{6 \sum_{i=1}^{m} D_i^2}{m(m^2 - 1)} \]  

where \( D_i \) is the difference of \( i \)th failure mode’s ranking in these two methods and \( R \) indicates the Spearman rank correlation coefficient value.

The calculated results are presented in Table 7. Based on Table 7, we can obtain that the proposed FMEA method is highly related with the IF-LPM-MABAC method (0.897) and the SF-WASPAS method (0.856), but have great difference with the traditional RPN method (0.427). Moreover, in these four ranking methods, the first-ranked and the last-ranked failure modes are same, that is, FM1 and FM3. Therefore, based on the above rough comparison, we can obtain that the proposed FMEA method is rational.

Secondly, by comparing ranking results of the proposed FMEA method and the traditional RPN method, we can obtain the proposed FMEA method have innate superiority in overcoming the drawbacks of the traditional RPN method, which is mainly reflected in the following two aspects: (1) In the traditional RPN method, the ranking of failure modes FM2 and FM7 are identical, because they have the same RPN value 168. However, in real life, FM7 should be given higher priority than FM2, that is because the main power of pallet exchange rack comes from hydraulic system, the hydraulic system failure will paralyze the whole pallet exchange device. While the speed of pallet exchange was too fast or too slow have little influence on the lifting and rotating motion of the exchange rack. This problem is solved by the proposed FMEA method perfectly. Similarly, FM6 should be given higher priority than FM4; (2) In the traditional FMEA method, the failure mode FM5 ranks the sixth, the failure mode FM2 ranks the second. However, in the proposed FMEA method, the failure mode FM5 ranks the fourth, the failure mode FM2 ranks the fifth, which indicates that FM5 should be given higher priority than FM2, and this ranking result is consistent with those of the other two methods.

**Table 7** Comparison analysis results

| Failure modes | The traditional RPN | IF-LPM-MABAC | SF-WASPAS | The proposed method |
|---------------|----------------------|--------------|-----------|---------------------|
|               | S | O | D | RPNi | Ranking | RPVi | Ranking | Qi | Ranking | Qi | Ranking |
| FM1           | 8 | 6 | 7 | 336  | 1       | 0.798 | 1       | 0.7665 | 1 | 0.798 | 1       | 1 |
| FM2           | 4 | 7 | 6 | 168  | 2       | 0.015 | 5       | 0.0017 | 6 | 0.0018 | 5       |
| FM3           | 8 | 3 | 3 | 72   | 7       | 0.337 | 7       | 0.3276 | 7 | 0.3162 | 7       |
| FM4           | 6 | 4 | 6 | 144  | 4       | 0.200 | 6       | 0.4912 | 3 | 0.1215 | 6       |
| FM5           | 5 | 4 | 6 | 120  | 6       | 0.516 | 3       | 0.1107 | 4 | 0.1403 | 4       |
| FM6           | 4 | 6 | 6 | 144  | 4       | 0.359 | 4       | 0.0328 | 5 | 0.5108 | 3       |
| FM7           | 6 | 7 | 4 | 168  | 2       | 0.687 | 2       | 0.5487 | 2 | 0.5834 | 2       |
| \( K \)       | 0.427 | \( 0.897 \) | \( 0.856 \) | \( 1 \) |

**Fig. 4** Sensitivity analysis results

**Fig. 5** Comparison analysis results
Finally, compared with the IF-LPM-MABAC method and the SF-WASPAS method, by comparing the IF-LPM-MABAC method and the proposed FMEA method, based on Table 7 and Fig. 5, we can obtain that the ranking results of the proposed FMEA method are consistent with the IF-LPM-MABAC method, except for FM5 and FM6. The reasons that lead to this phenomenon mainly include the following three aspects: (1) IFSs and PFSs are utilized to rate risks in the IF-LPM-MABAC method and the proposed FMEA method, and PFSs is an extension of FSs and IFSs, which can overcome the drawback that the risks are rated with crisp values, which cannot express the uncertainty and hesitation of experts' evaluation information in traditional RPN method; (2) The LPM method is utilized to calculate the weights of risk factors in these two methods, which can overcome the drawback that three risk factors are equally weighted in the traditional RPN method; (3) The ranking mechanism of these two methods are different, one is MABAC method, the other is WASPAS method, both theses two ranking methods can overcome drawback that the ranking mechanism is irrational in the traditional RPN method. In the same vein, by comparing the SF-WASPAS method and the proposed FMEA method, based on Table 7 and Fig. 5, we can obtain that the ranking results of these two methods have slight differences, for instance, FM2, FM4 and FM6. The reasons that lead to this phenomenon mainly include the following three aspects: (1) SFSs and PFSs are utilized to rate risks in the SF-WASPAS method and the proposed FMEA method; (2) The LPM method is utilized to calculate the weights of risk factors in the proposed FMEA method, while the SF-WASPAS method calculates weights of risk factors with entropy measure; (3) The WASPAS method is utilized to rank failure modes in these two methods. The above mentioned aspects can overcome the drawbacks of the traditional RPN method, too. Therefore, based on the above comparison analysis, we can obtain the proposed FMEA method is rational and effective in ranking failure modes and overcome the drawbacks of the traditional RPN method.

7.3 Managerial implications

In order to let the proposed FMEA method be better implemented in the enterprise, for the managers and employees of the company, we give the following five suggestions:

1. Conducting a training in the company to improve the quality and reliability awareness of employees. For an enterprise, only when the quality and reliability awareness of each employee is improved, the quality and reliability of products can be improved. Therefore, it is necessary for managers to conduct a training with respect to the quality and reliability of products.

2. Establishing a professional quality and reliability team in the company. In the proposed FMEA method, we need to form a technical team and an expert team to rate risks. To facilitate implementation, the members of the technical team and expert team can be selected from the quality and reliability team.

3. Arranging the staff to formulate corresponding corrective measures to eliminate or alleviate failure of products based on the ranking results and the actual situation of the company, and invite professional technicians to carry out these formulated corrective measures.

4. For the important links in the production process, the quality and reliability warning or early warning should be set up. The establishment of quality and reliability warning or early warning can remind employees to pay attention to important links in the production process.

5. Regularly carrying out quality and reliability communication activities in the company. The improvement of the quality and reliability of a product is the result of the cooperation of various departments, so carrying out such activities is conducive to the communication between various departments.

Therefore, the proposed FMEA method is not only rational and effective, but also applicable. As long as managers manage properly, this method has innate advantages in improving the quality and reality of products and enterprise competitiveness.
8 Conclusions

In this paper, we propose a new risk evaluation method based on PF-LPM and PF-WASPAS. Moreover, in order to calculate the difference between two PFSs, some new distance measures based on the Dice similarity and Jaccard similarity are proposed. In the proposed FMEA method, firstly, PFSs are utilized to rate risks. Secondly, the PFWA operator and PFWG operator are utilized to fuse experts’ evaluation information. The synthetical deviation of evaluation information is calculated by using the Dice similarity-based distance, and the weights of risk factors are calculated with the PF-LPM method. Then, the failure modes are ranked with the PF-WASPAS method. At last, we take the pallet exchange rack of a horizontal machining center produced by a large CNC machine tool manufacturing company in China as research object to explain the implementation process of the proposed FMEA method.

In order to verify the rationality and effectiveness of the proposed method, a discussion with respect to sensitivity analysis of different distance measures, comparison analysis of different ranking methods and managerial implications is conducted. The results of sensitivity analysis indicate that the proposed Dice similarity-based distance is better than the Ganie’s distance and the Singh’s distance, and these three distance measures are better than the traditional distance. For comparison analysis of the traditional RPN method, the IF-LPM-MABAC method, the SF-WASPAS method and the proposed FMEA method, the comparison results indicate that the proposed FMEA method is rational and valid in ranking failure modes. In order to let the proposed FMEA method be better implemented in the enterprise, we give a managerial implications process. In the future, we will explore interval valued form of PFSs and use them to rate risks. Moreover, we will explore the combination of interval value form of PFS with other weight calculation methods and ranking methods.

Appendix A

See Tables 8 and 9.
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Declarations

Conflict of interest The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Ethical approval This article does not contain any studies with human participants or animals performed by any of the authors.

Informed consent Informed consent was obtained from all individual participants included in the study.

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