The Use of Parallel and Comparable Data for Analysis of Abstract Anaphora in German and English

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Abstract
Parallel corpora — original texts aligned with their translations — are a widely used resource in computational linguistics. Translation studies have shown that translated texts often differ systematically from comparable original texts. Translators tend to be faithful to structures of the original texts, resulting in a “shining through” of the original language preferences in the translated text. Translators also tend to make their translations most comprehensible with the effect that translated texts can be more explicit than their source texts. Motivated by the need to use a parallel resource for cross-linguistic feature induction in abstract anaphora resolution, this paper investigates properties of English and German texts in the Europarl corpus, taking into account both general features such as sentence length as well as task-dependent features such as the distribution of demonstrative noun phrases. The investigation is based on the entire Europarl corpus as well as on a small subset thereof, which has been manually annotated. The results indicate English translated texts are sufficiently “authentic” to be used as training data for anaphora resolution; results for German texts are less conclusive, though.

Keywords: Abstract anaphors, comparable corpora, parallel corpora

1. Introduction
This paper presents a validation of parallel texts in comparison to comparable texts in the Europarl corpus (Koehn, 2005). Parallel texts refer to bi-texts in a source language (Ls, the original language) and its translation to a target language (Lt), which have been aligned at the sentence level. Comparable texts are texts in different languages or varieties that deal with the same overall topic.

Our domain of application is the resolution of abstract anaphora. We address the question whether translated texts (e.g., translations into English: EN) are sufficiently similar to original texts of the same language (EN) to be used as empirical evidence for feature induction in this domain, or whether original texts only should be used for this purpose.

Abstract anaphora denote anaphoric relations between some anaphoric expression and an antecedent that refers to an abstract object like an event, fact or proposition (cf. Asher (1993)). In the classical example by Byron (2002), the pronoun it (underlined in (1a)) refers to an event: the migration of penguins to Fiji. In (1b), the demonstrative pronoun that refers to the fact that penguins migrate to Fiji in the fall.

(1) a. Each Fall, penguins migrate to Fiji. It happens just before the eggs hatch.
   b. Each Fall, penguins migrate to Fiji. That’s why I’m going there next month.

In (1), the anaphoric elements are pronouns. In this paper, we mainly consider anaphoric noun phrases, as in (2), which is taken from the Europarl corpus. In this example, the NP this task refers to the activity (a specific type of event) of investigating the best ways of promoting a system.

(2) The Commission will investigate the best ways of promoting this system across the Community and will involve the European Parliament in this task.

This study is motivated by a larger project of analyzing abstract anaphora (Dipper and Zinsmeister, 2010; Dipper and Zinsmeister, to appear; Dipper et al., 2011; Zinsmeister et al., submitted), which pursues an approach of bootstrapping annotation from German to English and vice versa.

The paper is organized as follows. Section 2 provides the background of our study: we define the core concepts of parallel and comparable data, address findings of translation studies on how translated texts differ from comparable original data, and present related work on the use of parallel and comparable corpora. Section 3 introduces our corpora, and Section 4 presents results from comparing general and anaphora-related properties. Section 5 concludes the paper.

2. Background
2.1. Parallel and comparable data
Our study aims at contributing to the debate whether translated text can be used as (training) data in the same way as original text, as is commonly done in computational linguistics. Before going into the details of our approach, we define the relations that hold between different types of text. A multilingual corpus, such as the Europarl corpus, often consists of texts in various source languages and translations of them into multiple other languages. In our study, we concentrate on texts in German and English. Fig. 1 shows the four subcorpora that we deal with: DE (original German texts), DE (German texts translated from English), EN (original English texts) and EN (English texts translated from German).
The subcorpora DE₀ and EN₁ (and, similarly, EN₀ and DE₁) are parallel corpora, i.e. original texts along with their translations. On the other hand, the subcorpora DE₀ and EN₀ (and DE₁ and EN₁) are comparable corpora, i.e. corpora in different languages that deal with the same overall topic and are from the same overall register. This notion of comparable corpora is usually used in corpus-linguistic research. Hence, we call this type of relation comparable_{corp}.

Finally, the subcorpora DE₀ and DE₁ (and EN₀ and EN₁) are also comparable corpora, in that they represent varieties of the same language. Translation studies usually refer to such corpora as comparable, hence we call this type of relation comparable_{trans}.

2.2. Translation effects

Using parallel texts for cross-linguistic investigations obviously benefits from the fact that the aligned units convey the same meaning and allow for direct comparison of how this meaning is encoded in the two languages. However, cross-linguistic use of parallel texts also has its limitations due to various factors.

First, translated texts can differ systematically from their source texts due to language-inherent reasons, e.g., see Vinay and Darbelnet (1995), Dorr (1994). Klaudy (2008) lists stylistic preferences and cultural differences as further factors that can result in language-specific differences in translations.

Second, the translation process itself has been shown to have an impact on the translated text, i.e., there can be a translation bias (Baker, 1993; Ćulo et al., 2008). The translated text might be affected, e.g., by the shining through of source language preferences if the translation is too faithful to the source text, cf. Teich (2003). Another effect is described by the explicitation hypothesis, which assumes that translators usually strive to make their translations as comprehensible as possible. As a consequence, the translation might make explicit what was implicit in the source text (Vinay and Darbelnet, 1995; Blum-Kulka, 1986).¹ For our study, we expect factors of the first type to result in differences between languages, i.e., in parallel and comparable_{corp} corpora. Factors of the second type (shining through and the explicitation hypothesis) should show up as differences between original and translated texts, i.e., in comparable_{trans} texts.

2.3. Related work

The exploitation of parallel corpora in Natural Language Processing has been growing in recent years.² One reason is annotation projection for under-resourced languages, in which linguistic annotation is transferred from one language to another, when relevant resources and tools are only available in the former language (e.g., Bentivogli and Pianta (2005)).

There are some studies that compare original and translated texts in the Europarl corpus. For instance, van Halteren (2008) shows that based on word n-grams it is possible to identify the source language in Europarl translations with accuracies between 87.2–96.7%. Cartoni et al. (2011) investigate the use of discourse connectives in original and translated French texts from Europarl. They find that translated texts contain significantly more discourse connectives than original texts. Korzen and Gylling (2011) find considerable differences between the sentence lengths of original and translated texts in Italian and Danish texts. These findings suggest that one has to look further into the properties of translated texts before using them as a resource for linguistic feature induction.

Multilingual corpora have been annotated for investigations in (abstract) anaphora resolution in Recasens (2008), Navarretta and Olsen (2008), Navarretta (2008), Pradhan et al. (2007), Weischedel et al. (2010). These projects deal with comparable_{corp} rather than parallel corpora.

Annotation of parallel texts has been performed in Vieira et al. (2002), who use a subcorpus from the parallel MLCC corpus.³ They investigate demonstrative NPs in French and Portuguese. Results for both languages are similar: demonstrative NPs predominantly have an abstract head noun. In their study, they do not distinguish between original and translated texts.

3. Corpus

We chose texts from the Europarl corpus (Release v3, Koehn (2005)) as the basis of our study, which consists of transcripts of European Parliament debates. Speakers (usually) deliver their contributions ("turns") in their native language, and professional translators provide official translations into the other EU languages.

For this study, we only consider turns by German native speakers (DE₀) and the corresponding English translations (EN₁), as well as contributions by English native speakers...
and their German translations (DE).\textsuperscript{4} The translations have been aligned with their originals on the basis of Europarl’s align units. Our corpus consists of 12,800 German original turns with 4.9 M tokens, and 11,500 English original turns with 3.4 M tokens.

3.1. Automatic preprocessing

Automatic preprocessing of the German and English subcorpora included POS tagging and chunking by the TreeTagger (Schmid, 1994), with German and English language models as provided by the official TreeTagger website.\textsuperscript{5} In addition, we automatically marked selected abstract noun chunks, which possibly function as abstract anaphors. Noun chunks that would be selected fulfill two conditions: first, they contain a demonstrative determiner — such noun phrases are usually used anaphorically. Second, the head noun is part of a pre-defined set of so-called label nouns.\textsuperscript{6} The label nouns are highly inspired by the list of English abstract nouns provided by Francis (1994).

For the English data, the set comprises 211 types of label nouns, which have been extracted in their singular and plural forms. Examples for English label nouns in the Europarl corpus are report, proposal, agreement, issue, point, etc. For the German data, the most probable German translations of the English label nouns have been used. This resulted in 452 German types of label nouns for which the inflected forms have been marked in the German data. (For more details on the selection of label nouns, see Zinsmeister et al. (submitted).)

3.2. Manual annotation of a subcorpus

Most of the label nouns described above are unambiguously abstract, with some exceptions such as area or report. In contrast, pronominal anaphors are (mostly) ambiguous and can refer to entities other than abstract objects. We created a small manually-annotated subcorpus called Anaphora Corpus of approximately 100 turns for each language, in which annotators disambiguated pre-marked pronominals and demonstrative label-noun chunks. Manual annotation also provided information about the antecedent of pronominal anaphors, and about the position, function, etc. of the anaphoric element. (For a more detailed description of the manual annotation of pronominal abstract anaphors, see Dipper et al. (2011), for the annotation of label nouns, see Zinsmeister et al. (submitted).)

4. Results

The texts that we investigate are highly similar in the sense that they are all from the same text type, namely parliament debates. They deal with different topics, depending on the agenda of the current session, but our basic assumption is that the choice of topic should have no significant impact on low-level properties such as, e.g., the number of nouns. In this study, we focus on features that are considered relevant for anaphora resolution, and the resolution of abstract anaphora in particular. That is, we mainly investigate the distributions of noun phrases, in particular demonstrative label-noun chunks, which are likely to be abstract anaphors.

The investigations are based on the parallel and comparable corpora described in Section 3. As the study aims at testing the similarity of original and translated texts, the main focus is on differences between comparable\textsubscript{from} turns, i.e., we compare DE\textsubscript{o} with DE\textsubscript{t}, and EN\textsubscript{o} with EN\textsubscript{t}. In addition, we compare the language pairs DE\textsubscript{o}--EN\textsubscript{o}, with DE\textsubscript{t}--EN\textsubscript{t}, and EN\textsubscript{o}--DE\textsubscript{t}. This can shed light on translational effects: if, e.g., the pairing DE\textsubscript{o}--EN\textsubscript{t} turns out more similar than the pairing DE\textsubscript{t}--EN\textsubscript{o}, this could be a shining through effect.

We start by comparing the average sentence length as a highly general measure for similarity. The average sentence length is calculated as the average number of tokens per sentence, as identified in the preprocessing.\textsuperscript{8}

\begin{table}[h]
\centering
\begin{tabular}{|c|c|c|c|c|}
\hline
Corpus & \#Sent & Tok/Sent & 95\% CI & SD \\
\hline
DE\textsubscript{o} & 220,609 & 22.2 & 22.1 . . 22.3 & 13.8 \\
DE\textsubscript{t} & 162,528 & 24.2 & 24.1 . . 24.2 & 13.2 \\
EN\textsubscript{o} & 147,375 & 26.6 & 26.5 . . 26.6 & 14.1 \\
EN\textsubscript{t} & 184,579 & 28.8 & 28.7 . . 28.9 & 16.2 \\
\hline
\end{tabular}
\caption{Average sentence lengths: total number of sentences, average number of tokens per sentence, 95\% confidence interval, and standard deviation}
\end{table}

Table 1: Average sentence lengths: total number of sentences, average number of tokens per sentence, 95\% confidence interval, and standard deviation

On average, English sentences are clearly longer than German sentences, as can be seen in Table 1. This could be, among others, attributed to the different realizations of compound nouns in both languages. Comparing DE\textsubscript{o} with DE\textsubscript{t}, and EN\textsubscript{o} with EN\textsubscript{t}, we observe that in both languages, sentences of translated texts tend to

\textsuperscript{4}The original language of each turn was determined by means of the language tags provided in the Europarl corpus, and complemented by a lookup in databases listing the members of the EU Parliament along with their nationalities.

\textsuperscript{5}http://www.ims.uni-stuttgart.de/projekte/corplex/TreeTagger/. The German chunker was trained on the Tiger Treebank (Brants et al., 2004), the English chunker on the Penn Treebank (Marcus et al., 1993). The POS tags used in these annotations are the STTS tagset for German (Schiffler et al., 1999), and the U Penn Treebank tagset for English (Santorini, 1990).

\textsuperscript{6}In the biomedical literature, label nouns are referred to as ‘sortal nouns’, e.g. Castaño et al. (2002).

\textsuperscript{7}Fraurud (1992) showed that 60.9\% of the definite noun phrases in her sample were first mentions, i.e. not used anaphorically. However, it is clear that definite anaphors are the default: only 8.3\% of the indefinite noun phrases were anaphoric.

\textsuperscript{8}Punctuation marks have been included.
be longer than sentences of original texts. This could be viewed as an effect of explicitation.
The differences in average sentence length between the subcorpora are all statistically significant, as can be seen from the non-overlapping 95% confidence intervals. However, the confidence intervals are very small. This is an effect of the large sample size, i.e., the large number of sentences that contribute to the mean.

It is a general problem in corpus linguistics that statistical hypothesis testing becomes hard to interpret when the sample size is large. Gries (2005) suggests to employ measures of effect size, in particular Cohen’s d \(^9\) (also called standardized mean difference), to quantify the amount of observed differences in means independently of the sample size. Cohen’s d ranges from \(d = 0\), if no effect is observed, to infinity. An effect size of \(d\) between 0.2 and 0.3 is considered small, \(d = 0.5\) medium, and \(d = 0.8\) to infinity large (Cohen, 1988). Negative polarity means that the second mean in the equation is larger than the first one.

\[
\bar{x}_1 - \bar{x}_2 = \frac{s_d}{\sqrt{\frac{n_1 + n_2}{n_1 n_2}}} \sqrt{n_1 + n_2 - 2}
\]

This difference is of course expected in different languages like German and English.

\([9]Cohen’s d according to R package MAd (function mean_to_d).

Table 2: Sentence lengths; \(\bar{x}_1\) and \(\bar{x}_2\) are the means of the two corpora (cf. Table 1).

| Corpora     | Nouns/Tok | Nouns/Cl | Def/NC | Dem/Def |
|-------------|-----------|----------|--------|---------|
| \(DE_o - DE_t\) | -0.34     | -0.17    | -0.38  | -0.04   |
| \(EN_o - EN_t\) | 0.10      | -0.01    | 0.12   | -0.03   |
| \(DE_o - EN_o\) | 0.55      | -1.53    | 0.72   | -0.72   |
| \(DE_o - EN_t\) | 0.66      | -1.64    | 0.85   | -0.76   |
| \(EN_o - DE_o\) | -0.55     | 1.53     | -0.72  | 0.72    |
| \(EN_o - DE_t\) | -0.91     | 1.39     | -1.13  | 0.69    |

Table 4: Cohen’s d: nouns normalized by the number of tokens and clauses; definite and demonstrative NCs normalized by the number of NCs. Important effect sizes are given in boldface.

clause, definite NCs per NCs in general and the proportion of demonstrative NCs among definite NCs.\(^{11}\)

Our null hypothesis is that the number of nouns, noun chunks, definite and demonstrative noun chunks should be similar across comparable \(trans\) corpora, i.e., across \(DE_o\) and \(DE_t\), and across \(EN_o\) and \(EN_t\), respectively. Statistical significance tests (Welch’s t-test) reject the null hypothesis in most cases, as is shown in Table 3.\(^{12}\)

However, as we have seen above (Section 4.1), such significance scores are hard to interpret with large sample sizes. Hence, we again turn to Cohen’s d as an alternative measure. Table 4 depicts the effect sizes of the comparisons.

Looking first at the comparable \(trans\) corpora, we see that the effect sizes for English are small for all features—despite the significant differences shown in Table 3. In contrast, the distribution of nouns (Nouns/Tok) and definite NCs (Def/NC) in German clearly differ in both subcorpora.

Turning to the comparable \(corp\) and parallel pairs, the picture is similar: The effect sizes of \(EN_t\) and \(EN_o\) do not increase or decrease considerably. In German, however, the distribution of nouns and definite NCs in translated texts, again, deviates considerably from the distribution in original texts. In particular, we observe an overuse of nouns and definite NCs, which could be an explicitness effect (assuming that definite NCs are more explicit than indefinite NCs).

\([11]\) The corresponding approximations for the German and English corpora are:

- Nouns: \(DE: \text{pos} = "\text{NN}"; \(EN: \text{pos} = "(\text{NN}|\text{NNS})"\). The nominal tags cannot be easily compared across both languages, though. For instance, English gerunds are usually tagged as verbs, whereas nominalized infinitives in German are tagged as nouns.
- \(\text{NCs}: \text{DE: cat} = "(\text{NC}|\text{PC})"; \text{EN: cat} = "\text{NC}"\)
- Definite NCs: \(DE: \text{pos} = "\text{ART} & \text{word} = "d.*" | \text{pos} = "\text{APPART}|\text{PPOSAT}"\); \(EN: \text{pos} = "\text{DT}" & \text{word} = "the" | \text{pos} = "\text{PPS}"\) plus demonstrative NCs
- Demonstrative NCs: \(DE: \text{pos} = "\text{PDAT}"; \(EN: \text{pos} = "\text{DT}" & \text{word} = "(\text{this}|\text{these}|\text{that}|\text{those})"\)

As a heuristics to determine the number of clauses, we counted verb chunks (VC) (for German and English: \(\text{cat}="\text{VC}"\)).

\([12]\) Frequencies of nouns per clauses (Nouns/Clause) within turns do not differ significantly between \(EN_o\) and \(EN_t\), according to a Welch’s t-test: \(t = -0.5678; df = 23439.24, p = 0.5702.\)

4.2. Nouns in general

We start our task-related comparison by looking at the distribution of nouns and noun chunks (NC) in general. To compare the frequencies between the different subcorpora, we normalize the observed noun frequencies by token frequencies. In addition, we compare the ratios of nouns per
To sum up the findings of this section, English translated texts are rather similar to English original texts, whereas German translations deviate from German originals.

### 4.3. Label nouns

We next investigate the use of typical label nouns such as *fact, situation*, based on our predefined sets of label nouns (see Sec. 3). In $DE_o$, these label nouns represent 3.48% of all nouns, in $EN_o$, 4.07%. For both languages, the ratios are higher in translated texts: 4.01% in $DE_t$, 4.25% in $EN_t$.

We next compare the individual frequencies of these nouns across all corpora, normalized against the total number of nouns in the respective subcorpus (and multiplied by 1 million). Only nouns with (normalized) frequencies greater than 100 were considered; outliers have been removed.

Fig. 2 displays the results for German (left plot) and English (right plot). The solid lines denote the number of label nouns that occur more often in translations (*overuse*), the dashed lines nouns that occur less often in translations (*underuse*). The plots show that in both languages, overuse dominates underuse: In German, 22% (100 out of 452) nouns show overuse vs. 17% (75 out of 452) nouns show underuse. In English, there are 39% (83 out of 211) overuse vs. 33% (69 out of 211) underuse nouns. The difference scores (y-axis) indicate the (normalized) ratios between original and translated frequencies. For instance, a score of 2 for an overuse noun indicates that the noun occurs twice as often in the translated than in the original texts. Especially with overuse nouns, the differences between the frequencies can be enormous, see below.

We now turn to individual frequencies of label nouns rather than general tendencies. Pairwise comparison of label nouns frequencies in original and translated texts shows that they are clearly correlated.

Disregarding individual noun preferences, the overall distributions of increasing and decreasing frequencies of label nouns are rather similar and they turn out not to be significantly different. Taken that label nouns are approximations for abstract anaphora, we conclude that the use of abstract anaphora as such is comparable in original and translated texts. This does not hold for the lexical realizations, though. Further investigations are needed to decide whether the lexical overuse and underuse of particular nouns also affects the distribution of the semantic types of abstract anaphors, such as fact or event.

### 4.4. Anaphora corpus

The findings for the manually annotated Anaphora Corpus are mainly in line with the findings of the entire Europarl corpus. As an overall tendency, the differences between translated and original texts are not significant.

We found no significant difference for function (subject, object, other) in the original and translated versions (for both German and English). For position, the only statistical significant difference concerns the discourse-linked left periphery ("pre-field") in German: compared to $DE_o$, $DE_t$ uses abstract anaphors less often in the pre-field. The difference could be due to shining through of English information structure, and possibly reflects the fact that English does not have a corresponding discourse-linked position that can be easily occupied by abstract anaphors. (For a more detailed discussion of the results of the Anaphora

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**Table 3: Average frequencies (in %) and standard deviation in comparable corpora.**

| Corpus   | Nouns/Token | Nouns/Clause | Def/NC | Dem/Def |
|----------|-------------|--------------|--------|---------|
| $DE_o$   | 19.2 ± 3.5  | 78.8 ± 28.3  | 36.9 ± 9.1 | 9.9 ± 8.7  |
| $DE_t$   | 20.3 ± 3.2  | 83.6 ± 28.3  | 40.4 ± 9.2 | 10.3 ± 8.3 |
| $EN_o$   | 17.3 ± 3.5  | 140.7 ± 50.5 | 30.7 ± 7.8 | 17.2 ± 11.5 |
| $EN_t$   | 16.9 ± 3.4  | 141.0 ± 45.5 | 29.9 ± 7.3 | 17.5 ± 11.1 |

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13 The number of nouns (tokens) in the subcorpora are: $DE_o$: 890k (4.7m); $DE_t$: 1.2m (5.7m); $EN_o$: 660k (3.8m); $EN_t$: 860k (5.0m). As an example of normalized frequencies, consider the noun *Aussprache* ‘debate’: raw frequencies in $DE_o$: 2106, in $DE_t$: 1803, in $EN_o$: 890k (4.7m); in $EN_t$: 660k (3.8m).

14 Outliers are defined as data points which are more than 1.5 × the interquartile range (Q3-Q1) away from the interquartile boundaries.

15 For German, Kendall’s tau yields: $\tau = .81$ (.82); for English: $\tau = .83$ (.82) (figures in parentheses: with outliers removed).

16 A Mann-Whitney test (= Wilcoxon rank sum test) yields for German: $W = 3981$, $p = 0.4871$, and for English: $W = 3335$, $p = 0.08133$. 

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nouns; significance levels: *** $p < .001$; ** $p < .01$; * $p < .05$; n.s. not significant.
5. Conclusion

In this paper, we compared parallel and comparable subcorpora of Europarl with respect to the distribution of general features as well as features that we used for approximating abstract anaphora. Applying standard corpus linguistic methods of significance testing resulted in highly significant differences in most of the cases. Following Gries (2005), we attributed the significance effects rather to the large sample sizes than to real differences in the subcorpora and employed Cohen’s $d$ as a measure of effect size. We conclude from the evaluation of effect sizes that English texts and texts translated from German into English are sufficiently similar to be both used as (training) texts for abstract anaphora investigations even if the translated texts tend to be longer, which we attribute to explicitation. For German texts and texts translated from English into German, the conclusions are not as straightforward as with their English counterparts. The effect sizes of the differences are larger than with the English texts. The quality of the differences hints to shining through effects in addition to explicitation. Shining through of the original language would
Table 5: German and English top-5 label nouns that show most extreme overuse (top tables) and underuse (bottom tables). Freqorig and Freqtrans are normalized (raw) frequencies in the original and translated texts. Increase and Decrease indicate the normalized factor of overuse and underuse. Nouns marked by † are considered outliers due to extreme overuse/underuse.

corrupt the “naturalness” of the data. Since the effect sizes are only of medium size, we would not completely refrain from using the translated texts, but further investigations are necessary.

In addition to the general considerations, we also investigated the distribution of label nouns as an approximation of abstract anaphora. The subcorpora show similar overall distributions of label nouns but differ with respect to their lexical choices. It seems that translators employ a more restricted vocabulary than the original speakers. It has to be evaluated independently whether tools that are sensitive to lexical choice differ in performance when trained on either the original or translated subcorpora.

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