A comparative genomics analysis of lung adenocarcinoma for Chinese population by using panel of recurrent mutations

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Abstract

Previous studies have demonstrated that Chinese lung adenocarcinoma (LUAD) patients have unique genetic characteristics, however, the specific genomic features relating to the development and treatment of LUAD in the Chinese population are not fully understood. Here, we applied the ultra-deep targeted sequencing to 66 Chinese LUAD samples, accompanied by comparative analysis with 162 Caucasian LUAD in The Cancer Genome Atlas. We focused on the 68 recurrently mutated genes and results revealed that the panel-based tumor mutational burden (pTMB) is significantly higher in the Chinese LUAD (P=0.0017). Additionally, the percentage of smoking-associated C>A transversion is significantly lower in Chinese LUAD (15.5% vs. 39.7%, P=5.69×10−27), while C>T transition is more frequent in Chinese LUAD (35.8% vs. 25.7%, P=2.67×10−5), which indicated the ethnic difference in mutation types. Notably, novel driver genes (GNAS and JAK1) that are peculiar to Chinese LUAD were identified, and a more convergent distribution of mutations was observed in the Chinese cohort (P=0.012) compared with scattered mutations in Caucasian LUAD. Our results present a distinct genomic profile of Chinese LUAD compared to Caucasians LUAD and elucidate the ethnic difference in mutation distribution besides the type and rate.

Keywords: lung adenocarcinoma, Chinese population, ethnic difference, genomic characteristics, targeted sequencing

Introduction

Lung cancer is the most prevalent cancer and the prime cause of cancer death both worldwide and in China[1–3], with a 5-year survival rate of lower than 20% according to the 2018 annual report[4], which suggests that lung cancer is still a huge threat to public health. Based on the classification standard of the...
World Health Organization, lung cancer can be divided into non-small cell lung cancer (NSCLC) and small cell lung cancer. Among the NSCLC, the lung adenocarcinoma (LUAD) accounts for approximately 63%[9], and is the most common subtype in non-smokers, especially non-smoking Asian women[6–7]. Despite substantial epidemiological statistics have shown that cigarette smoking and second-hand smoke exposure are the major risk factors of lung cancer[8–10], the specific pathogenesis and mechanism of lung cancer are still unknown.

Extensive genomic studies have been conducted to identify genetic variants and recurrent somatic mutations involved in the development of lung cancer, although most patients were recruited from western countries. Therefore, the genomic characteristics of the Chinese population need to be elucidated. Previous studies on Chinese patients have discovered 14 susceptibility loci that are specific to the Chinese population, of which 5 loci (rs4809957, rs2895680, rs247008, rs2736100, and rs9439519) are associated with smoking dose[11]. In addition, gene mutation rates varied between diverse ethnic populations[12]. For instance, epithelial growth factor receptor (EGFR) is identified as a driver gene of LUAD, and alters in 50%–60% of Asians and 15%–20% of Caucasians[13]. The most common L858R mutation located in the kinase domain of EGFR, which is sensitive to EGFR tyrosine kinase inhibitors (EGFR-TKI), is observed to be more frequent in Asian LUAD than in Caucasian groups, which indicates the Chinese will benefit more from EGFR-TKI treatment[14]. Other genes like KRA, TP53, NF1, and KEAP1 also present differential mutation rates in Chinese and Caucasian samples[12]. Taken together, these results demonstrated that ethnicity plays a pivotal role in the detected frequency of genetic markers, and the genomic features of Chinese LUAD need to be further understood.

Targeted sequencing is a powerful technology to detect mutations occurring in development of interested genes owing to its higher coverage in genomic loci. Moreover, targeted sequencing enables the estimation of panel-based tumor mutational burden (pTMB).

In this study, we implemented targeted sequencing on 66 Chinese LUAD patients and compared their samples with 162 Caucasian LUAD samples acquired from The Cancer Genome Atlas (TCGA). We revealed that different genomic alteration profiles and mutation patterns exist in Chinese LUAD and Caucasian LUAD. Moreover, we identified novel driver genes GNAS and JAK1 that are specific to Chinese LUAD, which may contribute to the diagnosis and treatment of LUAD.

### Materials and methods

#### Sample collection

We collected a total of 66 formalin-fixed paraffin embedded (FFPE) LUAD specimens from the First Affiliated Hospital of Nanjing Medical University during March 2015 and May 2018. Afterward, tumor tissues and matched peripheral blood of patients were sent to perform targeted DNA sequencing.

This study was approved by the Ethics Committee of Nanjing Medical University, and all patients signed informed consent for the research. Besides, all clinical data and samples were received anonymously.

#### Acquisition of public data

For comparative analysis, clinical information and mutational data of 173 Caucasian LUAD samples were downloaded from the Broad Firehose Infrastructure (http://www.broadinstitute.org/cancer/cga/Firehose), and of them, 11 samples which harbored only silent mutations were excluded for further analysis. Detailed information of 162 samples are shown in Table 1.

#### DNA extraction

DNA was extracted from FFPE samples using QIAamp DNA FFPE Tissue Kit (Qiagen, Germany,

| Table 1 Clinical information of LUAD patients | Chinese LUAD (n=162) | Caucasian LUAD (n=162) | P-value |
|----------------------------------------------|---------------------|------------------------|---------|
| Age (year), median (IQR)                     | 63 (54–69)          | 67 (60–73)             | 6.52×10⁻⁴ |
| Gender (n [%])                               | 9.39×10⁻⁶           |                        |         |
| Male                                         | 32 (48.5)           | 61 (37.7)              |         |
| Female                                       | 33 (50.0)           | 94 (58.0)              |         |
| Unknown                                      | 1 (1.5)             | 7 (4.3)                |         |
| Tumor stage (n [%])                          | 1.43×10⁻⁷           |                        |         |
| Stage I                                      | 18 (27.3)           | 79 (48.8)              |         |
| Stage II                                     | 2 (3.0)             | 35 (21.6)              |         |
| Stage III                                    | 11 (16.7)           | 32 (19.7)              |         |
| Stage IV                                     | 32 (48.5)           | 5 (3.1)                |         |
| Unknown                                      | 3 (4.5)             | 11 (6.8)               |         |
| Smoking history (n [%])                      | 1.05×10⁻⁷           |                        |         |
| Never smoker                                 | 37 (56.1)           | 16 (9.9)               |         |
| Smoker                                       | 17 (25.7)           | 130 (80.2)             |         |
| Unknown                                      | 12 (18.2)           | 16 (9.9)               |         |

LUAD: lung adenocarcinoma; IQR: interquartile range.
Genomic features of Chinese lung adenocarcinomas

To achieve a higher coverage depth of interested genes, we performed targeted sequencing on 66 Chinese LUAD patients, of which 21 samples were sequenced by Geneseeq Prime panel (425 cancer-related genes) and 45 samples sequenced by Gene\(^{-}\)OncoD panel (1021 tumor-associated genes). The 425-gene panel detected 124 mutant genes, the 1021-gene panel detected 316 mutant genes, and TCGA whole-exome sequencing (WES) detected 124 mutant genes, the 1021-gene panel detected 124 mutant genes, and TCGA whole-exome sequencing (WES) detected 124 mutant genes, the 1021-gene panel detected 124 mutant genes, and TCGA whole-exome sequencing (WES) detected 124 mutant genes, the 1021-gene panel detected 124 mutant genes, and TCGA whole-exome sequencing (WES) detected 124 mutant genes, the 1021-gene panel detected 124 mutant genes, and TCGA whole-exome sequencing (WES) detected 124 mutant genes.

Finally, these 68 mutant genes were applied to subsequent analysis. The 68 gene symbols were listed in Supplementary Table 1 (available online).

The quality control of raw sequenced reads was performed by FastQC (version 0.11.8), and most reads were found with a Phred score of more than 30. Then clean reads were mapped to human reference genome hg19 by Burrows-Wheeler Aligner (BWA-MEM) (version 0.7.17)\(^{[15]}\). Duplicated reads were marked out and base quality scores were recalibrated by MarkDuplicates and BaseRecalibrator tool in the Genome Analysis Toolkit (GATK) (version 4.0.8.1)\(^{[16]}\), and somatic mutations (somatic single-nucleotide variations and insertion/deletion) were detected by Mutect2\(^{[17]}\). The obtained Variant Call Format (VCF) results were filtered by FilterMutectCalls and annotated by ANNOVAR (version 2018Apr16). All the figures were completed by R packages ggplot2 (version 3.2.1)\(^{[18]}\), G3viz (version 1.1.2)\(^{[19]}\) and maftools (version 2.2.10)\(^{[20]}\).

### Calculation of convergent distribution index

We defined convergent distribution index (CDI) to measure the convergent level of mutation distribution\(^{[21]}\). The CDI was calculated as below:

\[
CDI = i=1^n p_i \log_2 p_i
\]

\(n\) represented the number of mutation loci of a specific gene, and \(p_i\) denoted the occurrence probability of mutation at site \(i\), namely the ratio of mutations at site \(i\) to the total mutations on the gene. A lower CDI value indicated a more convergent mutation distribution in this study.

### Statistical analysis

Wilcoxon rank-sum test was applied to continuous data when comparing the statistical differences between groups. Fisher’s exact test was used to access the mutation distribution of Chinese LUAD and Caucasian LUAD. Shannon entropy was used to measure the convergent level of mutation distribution. Pearson correlation coefficient was calculated to measure the correlation between the two groups.

### Results

The genomic variation landscape in Chinese and Caucasian LUAD

To comprehensively present the genomic alteration profile of LUAD patients from China and TCGA, we included 66 Chinese samples (32 males and 33 females, aged from 34 to 87 years old) and 162 Caucasian patients (61 males and 94 females, aged from 42 to 85 years old). As shown in Table 1, 25.7% (17/66) and 80.2% (130/162) of smokers were contained in the Chinese and Caucasian cohort respectively. Overall, Chinese LUAD (5 somatic mutations per sample) harbors more mutations than Caucasian cases (3 somatic mutations per sample) (Wilcoxon rank-sum test, \(P=0.0017\) (Fig. 1A). Of these genomic alterations, missense mutations were the most common type in both cohorts (Chinese: 68.3% [270/395] vs. Caucasian: 72.6% [461/635], \(P=0.16\), which was consistent with previous studies. In addition, more in-frame indels are observed in Chinese cohort (2.0% [8/395] vs. 0.2% [1/635], \(P=2.67\times10^{-5}\)), while frame-shift insertions were more frequent in Caucasian cohort (1.3% [5/395] vs. 3.0% [19/635], \(P=0.089\) (Fig. 1B). Recent studies revealed...
that in-frame indels more frequently occur in oncogenes to cause gain-of-function\cite{22}, while the high load of frame-shift indels was related to a better survival\cite{23}, which following the fact that Chinese LUAD were mainly composed of advanced-stage patients, and Caucasian LUAD mainly early-stage patients (Table 1).

We further compared the distribution of single nucleotide variants (SNVs) between Chinese and Caucasian. SNVs including 6 different mutation forms can be classified into transition (Ti) and transversion (Tv). Our results revealed that transition events from C to T are prevalent (35.8\%, 330/922) in Chinese patients, which were induced by ultraviolet light\cite{24}. Studies revealed that long fixation time of tissues can trigger deamination and thus increase C/G \textgreater{} T/A mutations\cite{25}, so whether the more frequent C\textgreater{}T mutations in Chinese LUAD were caused by differences in populations or FFPE tissues needed more samples to verify. While transversion events from C to A were frequently observed (39.7\%, 256/645) in Caucasian patients (Fig. 1C). Chinese cohort have a higher Ti/Tv ratio than its counterpart (0.97 [454/468] vs. 0.59 [239/406], \(P=1.94\times10^{-6}\)). It was noteworthy that the cytosine-adenine (C\textgreater{}A) transversion in Caucasian is more frequently detected than in Chinese (Fig. 1C), which can be explained by a higher proportion of patients with smoking history in Caucasian cohort (80.2\% vs. 25.7\%, \(P=1.05\times10^{-7}\)) according to the clinical statistics (Table 1), because cytosine to adenine nucleotide transversions had been reported as a smoking-associated signature in many studies\cite{26–27}.

Overall, our mutational analysis demonstrated that missense mutations were ubiquitous in both cohorts, and C\textgreater{}A transversions were more frequently detected in Caucasian samples owing to the smoking behavior, while in-frame indels were more frequent in Chinese LUAD patients.

**Comparison of mutation rate between Chinese and Caucasian LUAD**

To further explore the somatic mutational characteristics of Chinese and Caucasian LUAD patients, we compared the mutation rates of 68 genes (Supplementary Table 1) in corresponding populations. Our results displayed that the two cohorts have different mutation profiles. The most common mutations in Chinese patients were \textit{EGFR} (66.7\%, 44/66) and \textit{TP53} (54.5\%, 36/66), and in Caucasian...
patients were TP53 (48.1%, 78/162) and KRAS (34.6%, 56/162) (Supplementary Fig. 1, available online).

EGFR has been one of the most common mutations in LUAD patients, and accumulating evidence revealed that the incidence of EGFR mutations was higher in Asians than in Caucasians. As shown in Supplementary Fig. 1, the frequency of EGFR mutations in Chinese patients was significantly higher than in Caucasian patients (66.7% vs. 15.4%, P=1.08×10^{-13}). As a result, the Chinese can benefit more from EGFR-TKI treatments, which can provide effective control of tumor progression and prolong the overall survival of EGFR mutant LUAD patients. This data sufficiently demonstrated the importance of precise EGFR mutation detection to the treatments of Chinese LUAD patients.

On the other hand, the frequency of KRAS mutations in Caucasian samples was relatively higher than in Chinese samples (34.6% vs. 12.1%, P=5.6×10^{-2}), which was consistent with previous results that the KRAS mutation rate in European and American LUAD patients was about 15% to 30% and 10% to 15% in East Asian LUAD populations\(^6\). Moreover, the fact that KRAS mutations were associated with tobacco consumption also leads to the increase of KRAS mutation in Caucasians\(^{28}\).

Other genes like BRD4 (10.6% vs. 1.2%, P=2.8×10^{-3}), CREBBP (15.2% vs. 4.3%, P=9.7×10^{-3}), PALB2 (10.6% vs. 1.2%, P=2.84×10^{-3}), NSD1 (10.6% vs. 1.2%, P=2.84×10^{-3}), and EP300 (10.6% vs. 1.2%, P=2.84×10^{-3}) tended to mutate in Chinese population, while mutations located in KEAP1 (6.1% vs. 18.5%, P=2.26×10^{-2}) tended to occur in Caucasian samples (Supplementary Fig. 1 and Supplementary Table 1).

Taken together, our results suggested that the tumor suppressor gene TP53 universally mutates in LUAD patients, while the mutation rates of EGFR as well as other 6 genes were ethnic dependent, and KRAS is cigarette associated.

Identification of candidate driver mutations in Chinese LUAD using ultra-deep targeted sequencing

Driver mutations are defined as somatic alterations that could trigger tumorigenesis and generally undergo positive selection during the progression of cancer, thus displaying higher mutation rates than background mutations\(^{29}\). Given the considerable difference of genomic features induced by race, we identified potential driver mutations in Chinese and Caucasian LUAD. Alterations that occurred in KRAS and EGFR were the common driver mutations in both cohorts (Fig. 2A and B), which was consistent with previous reports that somatic mutations in KRAS and EGFR could initiate tumor\(^6\). Functional mutations in KRAS and EGFR were generally mutually exclusive (Fig. 2C and D), and co-existence of them was responsible for the resistance to EGFR inhibitors\(^2\).

In addition, GNAS and JAK1 are identified as potential driver genes of Chinese LUAD. Mutations in GNAS are involved in gastrointestinal tumors and exist in 66% of intraductal papillary mucinous neoplasm. While the mutation rate of GNAS in lung adenocarcinomas was much lower, 7.6% of Chinese patients harbor GNAS mutations in our cohort (Supplementary Fig. 1). Studies had shown that GNAS alterations are concurrent with the Raf/Ras pathway mutation\(^{30}\). GNAS mutations usually co-occur with STAG2 and CREBBP in Caucasian cohort (Fig. 2D). JAK1 is a tyrosine kinase protein belonging to the Janus (JAK) family, which plays a crucial role in tumor-promoting inflammation\(^{31–33}\), and alters in 10.6% of Chinese LUAD (Caucasian LUAD: 3.7%) along with NSD1 mutation (Fig. 2C).

Collectively, apart from broadly discussed driver mutations EGFR and KRAS, we additionally identified GNAS and JAK1 as potential driver mutations of Chinese LUAD.

Chinese LUADs present a convergent mutation distribution

To thoroughly elucidate the genomic difference, we compared the distribution of mutations located in identified driver genes. We defined a Shannon entropy-based indicator to measure the convergent level of mutations, termed as convergent distribution index (CDI). The value of CDI is negatively correlated with the concentrated distribution of mutations.

We selected out EGFR-mutant samples in Chinese (n=44) and Caucasian cohorts (n=25), and detected mutations located in primary domains of EGFR protein. As shown in Fig. 3A, mutations of EGFR mainly occur in the tyrosine kinase domain, and Chinese LUAD display concentrated distribution with a CDI value of 3.51 (Caucasian CDI: 3.88) (Fig. 3A). Likewise, we obtained KRAS-mutant samples in Chinese (n=8) and Caucasian cohort (n=56), and predominant mutations occur in the Ras domain. KRAS alterations in Caucasian LUAD tend to be more concentrated with a CDI value of 0.38 (Chinese CDI: 1.75) (Fig. 3B). Moreover, other driver mutations located in GNAS (Chinese CDI: 1.25 vs. Caucasian CDI: 2.73) (Fig. 3C) and JAK1 (Chinese CDI: 1.66 vs. Caucasian CDI: 2.81) also show a convergent trend in the Chinese cohort (Fig. 3D and 3E).
Further, we explored the CDI of other genes included in the targeted sequencing panel and found that CDI values of Chinese patients are significantly lower than those of Caucasian patients (Wilcoxon rank-sum test, $P=0.012$), which suggested a more clustered mutation distribution in Chinese LUAD patients (Fig. 3E). We checked the mutation distribution of 68 genes of the OncoSG dataset of 92 LUAD patients from Beijing and found that the Beijing LUADs present a significantly convergent distribution than Caucasians ($P=4.4\times10^{-4}$), which is consistent with results from our dataset (Supplementary Fig. 2, available online).

In summary, our results revealed that mutations distribute more convergently in Chinese cohort than in its Caucasian counterpart.

Fig. 2 Identified driver genes and co-occurrent or exclusive gene pairs. A and B: Scatter plots showing driver genes in Chinese (A) and Caucasian (B) cohorts (FDR<0.1). The size of the dot is positively associated with mutation clusters, and the number in bracket indicates the count of mutation clusters. C and D: Triangular matrix displaying the mutually exclusive and co-occurring gene pairs in Chinese (C) and Caucasian (D). Green indicates co-occurrent gene pairs, and red indicates exclusive gene pairs. LUAD: lung adenocarcinoma.

TMB varies with the tumor stage of LUAD patients

Prior studies proved that NSCLC patients carrying higher tumor mutational burden (TMB) could benefit from the treatment of PD-1/PD-L1 inhibitors[34]. Consequently, we explored the TMB among Chinese and Caucasian LUAD. We demonstrated that the panel-based TMB (pTMB) estimated by targeted sequencing is highly correlated with results by whole exome sequencing ($R=0.82, P<0.001$) (Supplementary Fig. 3B, available online). Therefore, it was reasonable to calculate TMB via targeted sequencing[35].

According to the clinical records, apart from 3 Chinese patients with the tumor stage information missing, Chinese cohort mainly consisted of stage IV
(48.5%, 32/66) and stage I (27.3%, 18/66) patients, and patients of advanced stage (stage III and IV) accounted for 65.2%. While the Caucasian cohort mainly consisted of stage I (48.8%, 79/162) and stage III (19.7%, 32/162) patients, and patients of early-stage (stage I and II) accounted for 70.4% (Fig. 4A).

On average, Chinese LUAD hold a higher pTMB (18.12 mutations/Mb vs. 12.48 mutations/Mb), which may be caused by the high proportion of advanced-stage patients in the Chinese cohort (Fig. 4A). In addition, we noted that pTMB gradually increased with tumor progression in both populations, and higher pTMB is observed in Chinese patients with stage III LUAD than in their Caucasian counterparts (Wilcoxon rank-sum test, \( P=0.01 \)) (Fig. 4B), which suggests that advanced Chinese LUAD patients might have a better response to immunotherapy.

In addition, a previous study demonstrated that high TMB calculated by targeted sequencing was associated with improved clinical status in NSCLC patients, which indicated that pTMB could predict the response to immunotherapy[36].

**Discussion**

Despite substantial genomic studies on NSCLC
have been conducted in European and American populations, sample size targeting Chinese population is relatively small. However, several studies have demonstrated that racial difference does exist in genomic characteristics. To further describe the genetic traits of Chinese patients, we applied targeted sequencing to 66 Chinese LUAD samples, and mutational analysis revealed that missense mutations are common in both cohorts, while C>A transversion events are more frequently detected in Caucasian samples, which is attributed to tobacco smoking. Although tobacco exposure is known as the primary risk factor of lung cancer, LUAD is the most common subtype in Asian female non-smokers. In this study, the proportion of non-smokers in Chinese LUAD is higher than its counterpart (Chinese: 56.1% [37/66]; Caucasian: 9.9% [16/162]), and other studies observe the same phenomenon. Previous research revealed that the high incidence of lung cancer in Chinese non-smokers may be associated with second-hand smoke and cooking fumes[37].

Besides that mutant TP53 is frequently detected in both populations, the alteration rates of many genes show racial divergence. EGFR and CREBBP are inclined to alter in Chinese, whereas KRAS and KEAP1 are inclined to alter in Caucasian samples (Supplementary Fig. 2A). The contrastive mutant rates in driver gene KRAS and EGFR indicated that Chinese and Caucasian may have different tumorigenesis mechanisms. The high incidence of EGFR mutation in Chinese population suggests a benefit from EGFR-TKIs treatment[38]. However, the mutation loci determine the therapeutic efficiency. Exon 19 deletions and L858R mutation in exon 21 are sensitive to EGFR-TKIs, while samples harboring exon 20 insertion or T790M gain resistance to these inhibitors[39-40]. Therefore, precise identification of EGFR mutation is especially critical to Chinese LUAD patients.

Moreover, we found EGFR and KRAS are driver genes regardless of ethnic communities. Additionally, we identified two novel driver genes, GNAS and JAK1, that are specific to the Chinese population. Further, we observed an intensely clustered mutation distribution in Chinese LUAD.

The tumor mutational burden is defined by the number of somatic mutations per megabyte, and lung cancer is known to carry high TMB[41]. Studies have shown that higher TMB is associated with better response to immune checkpoint inhibitors. We discovered that TMB of patients increases with tumor stage, and patients at an advanced-stage harbored higher TMB than at the early stage. At total of 65.2% of patients in our cohort are at advanced stage, so it is important to assess the TMB of LUAD patients before immunotherapy.

Limited by the sample size, we just caught a glimpse of the Chinese LUAD genomics, and did not take the differential sequencing depth between targeted sequencing and WES into consideration. In addition, surgical resection is the first-line treatment for the patients in the early stage, and the patients of advanced stage usually accept targeted therapy after surgery, before which targeted sequencing is implemented. As a result, more advanced patients are included in our study. Additional clinical samples and validation cohorts will be needed to explore the role of novel driver genes of Chinese LUAD, and to further comprehensively decipher the difference between various ethnic groups.

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