Heterogeneous Predisposing Factors and Etiology of Edema of the Uvula in a Spanish Population

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Abstract

Background: Edema of the uvula (EU) may appear in isolation or in association with clinical manifestations such as urticaria, angioedema, and anaphylaxis. EU may lead to upper airway obstruction, provoking obstructive respiratory distress and asphyxia.

Objective: We sought to investigate the etiology of and predisposing factors for EU in a large population of patients referred to an outpatient clinic.

Methods: In this 3-year follow-up cohort study, 171 patients presenting with EU were identified and classified as having isolated EU or nonisolated EU. The etiology of each patient’s condition was studied, and possible predisposing factors were recorded. An allergology work-up and a statistical study (bivariate/multivariate analyses) were performed.

Results: The predisposing factors for both groups of EU patients were found to be different. The etiology of the problem was identified for most patients; allergy to Anisakis simplex was the most common cause in both groups. Nonsteroidal anti-inflammatory drugs and antibiotics were also found to be triggers in both groups.

Conclusions: Isolated EU was associated with snoring, an elongated uvula, and having experienced previous episodes of EU. We found no associations between groups of EU patients and gender, obesity, smoking, alcohol consumption, personal and family history of atopy, and obstructive sleep apnea. Allergy to A. simplex was the most commonly recorded cause.

Key words: Allergy. Anisakis simplex. Elongated uvula. Obesity. Smoking. Snoring. Uvula edema.

Resumen

Introducción: El edema de úvula (EU) puede aparecer aislado o en asociación con otras manifestaciones clínicas, tales como urticaria, angioedema o anafilaxia. En cualquier caso, puede provocar una obstrucción de la vía aérea superior que a veces puede ser grave.

Objetivo: Intentamos investigar la etiología y los factores predisponentes del EU de una gran población de pacientes derivados a nuestras consultas.

Métodos: En este estudio de cohortes de 3 años de seguimiento, se valoraron 171 pacientes que presentaban EU, clasificándose como EU aislado o EU no aislado. Se estudió la etiología de la condición clínica de cada paciente y se registraron los posibles factores predisponentes en cada caso, a través de un estudio alergológico y estadístico, con el fin de comprobar una asociación significativa entre ellos.

Resultados: Se encontró que los factores predisponentes para ambos grupos de pacientes eran diferentes. Una etiología fue identificada para la mayoría de los casos, siendo la alergia a Anisakis simplex la causa más común para ambos grupos. Los fármacos antiinflamatorios no esteroideos y los antibióticos también fueron identificados como etiologías para ambos grupos.

Conclusiones: Encontramos que el EU aislado se asoció con roncopatía, úvula elongada y haber sufrido episodios previos de EU. No se demostró asociación entre ambos grupos de pacientes con el sexo, obesidad, tabaquismo, hábito enólico, atopia personal y familiar o apnea obstructiva del sueño. La alergia a A. simplex fue la causa más frecuentemente demostrada.

Palabras clave: Alergia. Anisakis simplex. Úvula elongada. Obesidad. Tabaquismo. Roncopatía. Edema de úvula.
Introduction

The uvula is a muscular organ whose function includes the secretion of thin saliva and the sealing of the pharynx during speech and swallowing [1,2]. Edema of the uvula (EU) is an entity with no defined, established, or standardized diagnostic criteria. Its diagnosis is based on clinical features. EU can provoke obstructive respiratory distress and asphyxia [1-3]. It may appear in isolation (EU with no associated clinical manifestations, isolated EU) [3,4] or in association with other manifestations such as urticaria, angioedema at other locations, asthma, and anaphylaxis (EU with associated clinical manifestations) [5,6].

EU can be a presenting complaint in the emergency department and in the allergy department and, as such, could be of interest for physicians working in these areas. Therefore, the aim of the present observational study was to characterize and compare the predisposing factors and etiologies of both isolated EU and EU with associated clinical manifestations in a large cohort of patients referred to an outpatient clinic.

Materials and Methods

Study Population and Data Collection Protocol

This prospective longitudinal cohort study was approved by the Ethics Review Board of University Hospital of Alcalá de Henares, Madrid, Spain. The participants comprised 171 consecutive patients with a diagnosis of EU (with and without associated clinical manifestations) who attended our Clinical Immunology and Allergy Outpatient Department between April 2009 and September 2013. Most (around 90%) were referred by the staff of the emergency department at our hospital; the remainder were referred by their general practitioners. At the first visit, a direct visual examination of the uvula was made, a questionnaire with emphasis on personal and family angioedema and atopy events was completed, and a detailed clinical interview was held on the use of concomitant medications and possible predisposing factors and etiologies [5]. An allergology work-up was also performed.

Skinn prick tests (SPTs) were carried out (Roxall Laboratories) with a broad panel of common food- and airborne allergens and a negative control (50% glycerinated saline) and positive control (histamine, 10 mg/mL) [7]. When a positive outcome was clinically relevant, a patient-based, tailored strategy based on step-by-step planning was implemented. In fact, prick-prick testing (PPT) was carried out with specific foods (fresh or cooked foods) according to the patient’s clinical history [8]. SPT and PPT results were considered positive when the wheal was ≥3 mm greater than the negative control [7]. Although airborne allergens are never involved in angioedema, we performed the tests in all patients in order to confirm/rule out an atopic background. Blood was also taken for a complete blood count and for general biochemical analysis, as well as to determine the erythrocyte sedimentation rate and to examine the thyroid hormone profile (including antithyroid antibodies), antinuclear antibodies, C-reactive protein, complement levels (C3, C4, and C1 inhibitor), hydatidosis serology, serum baseline tryptase levels, serum total IgE, and serum specific IgE levels after skin testing with common food- and airborne allergens. Serum total IgE, specific IgE, and baseline tryptase levels were measured using ImmunoCAP (Thermo Fisher). Allergy to *Anisakis* was established in all cases by means of SPT, serum specific IgE levels, and after performing oral food challenges with fish and/or cephalopods following anti-*Anisakis* conditions (frozen at −20°C for at least 48 hours) [9]. Food and drug allergies were determined based on a convincing medical history, skin tests (SPT and PPT to foods; SPT and intradermal tests to drugs), specific IgE testing, and/or challenge tests, as previously described [7,8,10]. The diagnosis of allergy to antibiotics and nonsteroidal anti-inflammatory drugs (NSAIDs) was made following established procedures [7,8,10]. Of note, there is no specific test to assess acquired angioedema related to angiotensin-converting enzyme inhibitors (ACEI)/angiotensin II receptor antagonists (ARA-II) (bradykinin-mediated angioedema). This entity was diagnosed following established criteria [11]. Once the results were obtained, the patients returned for a diagnostic visit. Furthermore, each patient was followed up over a 3-year-period in order to assess any change in diagnosis.

The patients were classified as having either EU without associated clinical manifestations (isolated EU) or EU with associated clinical manifestations, as previously described [4,5]. These manifestations included urticaria, angioedema at other locations, asthma, and anaphylaxis with/without hypotension [4-6]. The latter group were referred to as “nonisolated EU patients” (EU with associated clinical manifestations). Following this study protocol, the etiology of each patient’s condition was then assessed, and possible predisposing factors recorded. Predisposing factors were defined as personal behaviors, environmental exposures, or inborn or inherited characteristics associated with an increased occurrence of EU. Etiology or causative factors were defined as events or behaviors that directly influence the onset of EU [12]. Patients were considered to be smokers if they smoked at least 1 cigarette, pipe, or cigar per day, ex-smokers if they had completely stopped consumption of all types of tobacco at least 6 months before the first visit, and nonsmokers if they had never smoked [13]. We classified the patients according to Mediterranean alcohol-drinking patterns as follows: low intake (women <5 g/d, men <10 g/d), moderate intake (women 5-25 g/d, men 10-50 g/d), and high intake (women >25 g/d, men >50 g/d) [14]. Lifetime abstainers were defined as people who have never consumed alcohol [15]. Heavy episodic drinking was defined as ≥60 g of alcohol on ≥1 occasion at least monthly [15]. Patients with a body mass index (BMI) of >30 kg/m² and 26-30 kg/m² were described as obese and overweight, respectively [16]. Those with a BMI of 20-25 kg/m² were considered to have a healthy weight [16]. Obstructive sleep apnea (OSA) was clinically defined by the occurrence of daytime sleepiness, loud snoring, witnessed breathing interruptions, or awakenings due to gasping or choking in the presence of at least 5 obstructive respiratory events (apnea, hypopnea, or respiratory effort–related arousals) per hour of sleep in the polysomnogram [17]. Snoring was defined as a rough rattling noise made on inspiration during sleep by vibration of the soft palate and the uvula [18]. Atopy was defined as a state of clinical hypersensitivity or allergy...
with a hereditary predisposition or the inherited tendency to develop allergy [19]. The number of previous EU events was also recorded for each patient. We also included some medical conditions hitherto described in previous studies as etiologies of EU, such as upper respiratory tract infection, pharyngoesophageal reflux, hereditary angioedema with FXII mutation (FXII-HAE), and tonsillectomy [5,20,21], as well as others not reported to date (antibiotics, pollen immunotherapy, hymenoptera venom, and cancer).

Statistical Analysis

Quantitative variables were expressed as mean (SD) for normally distributed data or as median (IQR) for nonnormally distributed data. Categorical variables were expressed using absolute and relative frequencies. Comparisons between continuous variables were made using the t test or the Mann-Whitney test, as appropriate. Categorical qualitative variables were compared using the Pearson χ² test or the Fisher exact test. Multiple, stepwise, forward logistic regression (in, P value <.05; out, P value less than .05) was performed using factors that proved to be significant in the bivariate and multivariate analyses to examine the association between predisposing factors/etiologies and isolated/nonisolated EU. The results were presented as the odds ratio (OR) and 95% confidence intervals (95%CI). All calculations were performed using SPSS 20.0 software (IBM Corp). A P value less than .05 was considered statistically significant.

Results

Patient Characteristics

Mean age was 47 (17) years (range, 13-91 years), and 65.5% of patients were men. Isolated EU was recorded in 113 patients (66.1%) and nonisolated EU in 58 patients (33.9%). Table 1 shows the main descriptive data for the patient sample.

Patients Diagnosed With Isolated EU and Patients Diagnosed With Nonisolated EU Show Different Predisposing Factors

The most commonly recorded predisposing factors for EU as a whole were snoring (107 patients, 62.6%), personal atopy (75 patients, 43.9%), and being a smoker (72 patients, 42.1%) (Table 1).

Differences were seen, however, in the predisposing factors for isolated EU and nonisolated EU. The percentage of smokers, alcohol consumers (with no health risk), and snorers was significantly higher (more than double) in the isolated EU group than in the nonisolated EU group, and the former had 4 times as many patients with an elongated uvula and nearly 4 times as many with a history of previous (or recurrent) EU. Ten patients in the isolated EU group and none in the nonisolated EU group had OSA syndrome (Table 1). Of note, both the patients with suspected OSA syndrome and the snorers were referred to the Pulmonology and ENT Departments to make the definitive diagnosis before being included. In both departments, an overlapping or confounding disease was also ruled out in both groups.

Multiple logistic regression analysis confirmed that patients with isolated EU reported snoring significantly more often (OR, 3.45; 95%CI, 1.80-6.78) and that significantly more had experienced previous (or recurrent) episodes of EU (OR, 4.06; 95%CI, 2.08-7.95) than patients with nonisolated EU. Furthermore, significantly more had an elongated uvula (OR, 4.82; 95%CI, 2.00-11.57). Differences for medical conditions did not reach statistical significance (P=.05) (Table 2).

Table 1. Demographic Characteristics, Predisposing Factors, and Etiology in Patients Diagnosed With EU

| Parameters | Isolated EU | Nonisolated EU |
|------------|-------------|----------------|
| Total patients (N=171), No. (%) | 113 (66.1) | 58 (33.9) |
| Male sex, No. (%) | 77 (68.1) | 35 (60.3) |
| Mean (SD) age, y | 46 (16) | 48 (18) |
| Tobacco consumption, No. (%) | | |
| Nonsmokers | 46 (40.7) | 27 (46.6) |
| Smokers | 54 (47.8) | 18 (31) |
| Ex-smokers | 13 (11.5) | 13 (22.4) |
| Alcohol intake, No. (%) | | |
| Lifetime abstainer | 76 (67.3) | 48 (82.8) |
| Low | 24 (21.2) | 7 (12.1) |
| Moderate | 10 (8.8) | 2 (3.4) |
| High | 3 (2.7) | 1 (1.7) |
| BMI class, kg/m², No. (%) | | |
| obese (>30) | 36 (31.9) | 16 (27.6) |
| overweight (26-30) | 38 (33.6) | 21 (36.2) |
| healthy (20-25) | 39 (34.5) | 20 (34.5) |
| Snorers, No. (%) | 82 (72.6) | 25 (43.1) |
| OSA syndrome, No. (%) | 10 (8.8) | 0 |
| Elongated uvula, No. (%) | 45 (39.8) | 7 (12.1) |
| Personal atopy, No. (%) | 49 (43.4) | 26 (44.8) |
| Family atopy, No. (%) | 27 (23.9) | 11 (19) |
| Patients with previous/recurrent episodes, No. (%) | 77 (68.1) | 20 (34.5) |
| Etiology, No. (%) | | |
| Foods | 37 (32.7) | 26 (44.8) |
| Drugs | 20 (17.7) | 18 (31) |
| Medical conditions | 28 (24.8) | 4 (6.9) |
| Idiopathic | 28 (24.8) | 10 (17.2) |

Abbreviations: EU, edema of the uvula; OSA, obstructive sleep apnea.

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The Causative Factors of Isolated EU and Nonisolated EU Were Similar

The cause of EU was determined in 133 patients (77.8%). Overall, the most common cause was food-associated allergy (total 63 patients: 37 patients diagnosed with isolated EU [58.7%], 26 patients diagnosed with EU with other manifestations [41.3%], nonsignificant), followed by
reaction to drugs (total 38 patients: 20 patients diagnosed with isolated EU [52.6%], 18 patients diagnosed with nonisolated EU [47.4%], nonsignificant) and idiopathic causes (total 38 patients: 28 patients diagnosed with isolated EU [73.7%], 10 patients diagnosed with nonisolated EU [26.3%], nonsignificant). However, a significant difference was seen between the isolated EU group and the nonisolated EU group with respect to associated medical conditions (total 32 patients: 28 patients diagnosed with isolated EU [87.5%], 4 patients diagnosed with nonisolated EU [12.5%]; \( P = .02 \)) (Table 3).

The most commonly detected food-associated EU-causing agents were *Anisakis simplex* (total 35 patients: 23 patients diagnosed with isolated EU [65.4%], 12 patients diagnosed with nonisolated EU [34.3%], nonsignificant), lipid transfer protein (total 19 patients: 9 patients diagnosed with isolated EU [47.4%], 10 patients diagnosed with nonisolated EU [52.6%], nonsignificant), and shellfish (total 9 patients: 5 patients diagnosed with isolated EU [55.6%], 4 patients diagnosed with nonisolated EU [44.4%], nonsignificant) (Table 3). Patients with *Anisakis* allergy had a mean specific IgE titer of 7.42 (12.82) kU/L (range, 0.38-58.70). Another 4 patients were *Anisakis*-sensitive (IgE levels of 0.40, 0.68, 0.70, and 1.02 kU/L). During the 3 years following the present study, these 4 patients had further EU episodes despite following the anti-*Anisakis* conditions mentioned above [9], suggesting that *Anisakis* was not the cause of their EU episode(s).

Drug-induced EU was the second most common etiology (38 patients, 22.2%) (Table 3). The most frequently involved drugs were ibuprofen (total 10 patients: 5 patients diagnosed with isolated EU [50.0%], 5 patients diagnosed with nonisolated EU [50.0%], nonsignificant), amoxicillin (total 8 patients: 2 patients diagnosed with isolated EU [25.0%], 6 patients diagnosed with nonisolated EU [75.0%], nonsignificant), and ACE inhibitors/ARA-II (total 7 patients: 6 patients diagnosed with isolated EU [85.7%], 1 patient diagnosed with nonisolated EU [14.3%], nonsignificant). One patient diagnosed with nonisolated EU caused by enalapril also presented with labial edema.

The medical conditions most commonly associated with EU—in particular isolated EU—appeared to be heavy episodic drinking (total 16 patients: 16 patients diagnosed with isolated EU [100%], 0 patients diagnosed with nonisolated EU [0.0%]; \( P < .001 \)) and upper respiratory tract infection (total 7 patients: 7 patients diagnosed with isolated EU [100%], 0 patients diagnosed with nonisolated EU [0.0%], nonsignificant) (Table 3). However, logistic regression analysis ruled out any significant association with either (\( P = .05 \)) (Table 2).

Differences for the other variables analyzed, in particular serum total IgE and baseline tryptase levels and complement study, were nonsignificant and did not appear to be causative agents or associated with isolated EU or nonisolated EU (Table 4).

## Discussion

We describe a large cohort of Spanish patients diagnosed with EU. Our results show that the predisposing factors for isolated EU differ from those that predispose to nonisolated EU, namely, patients with isolated EU were more often snorers, had an elongated uvula, and had more commonly experienced previous episodes of EU. We found no associations between groups of EU patients and gender, obesity, smoking, alcohol consumption, personal and family atopy, and OSA. The etiology of the condition was identified in most patients; allergy to *A simplex* was the most common etiology both in patients with isolated EU and in those with nonisolated EU.
An elongated uvula, snoring, obesity, smoking, and OSA have all been reported to be predisposing factors for EU [1,5,6]. In fact, elongated uvula—a rare malformation—was seen in 52 of the patients we studied (45 isolated EU, 7 nonisolated EU), and in all 52, it rested clearly on the dorsum of the tongue. However, in the present study, smoking and obesity were not linked to EU because there were no significant differences between patients with isolated EU and patients with nonisolated EU. Moreover, interestingly, patients diagnosed with OSA syndrome (8.8%) exclusively had isolated EU, although this is difficult to explain.

The 4 major causative groups of EU described to date include food-induced, drug-induced, medical condition–associated, and idiopathic EU [5,20,22]. In the present study, a cause was established for 133 patients; the most common (both for isolated EU and nonisolated EU) was allergy to *A. simplex* (35 out of the total 171 patients [20.5%]). In the largest series of EU patients reported to date, however, such sensitivity was the causal factor in only 1 patient (1.7%) [5]. The involvement of *Anisakis* species in the present study may be explained in 2 ways. First, the consumption of uncooked fish is more common in central Spain (13.8% of all fish consumption) than in the area where the abovementioned study was performed, ie, the Mediterranean area of Spain (4.8%). Consequently, the prevalence of IgE-mediated sensitivity (45.6% and 27.2%, respectively) and allergy (23.8% and 9.8%, respectively) to *Anisakis* are different in these areas [23]. Furthermore, most fish consumed in central Spain comes from the North Atlantic, where *Anisakis*-infested fish are more common than in the Mediterranean Sea [24]. Epidemiological findings such as these are important in guiding prevention and treatment. Moreover, these 35 patients had no further episodes of EU when they followed a strict *Anisakis* avoidance diet during the following years, including 12 *Anisakis*-allergic patients with nonisolated EU. Daschner et al [22] also reported *Anisakis* to be the most common cause of EU in the 17 patients they studied. However, these authors could only establish a cause for under one third of patients. They also identified snoring as a predisposing factor, although no observations were made regarding the influence of an elongated uvula.

EU was drug-induced in some study patients. NSAIDs and ACE inhibitors/ARA-II were the cause of 8.8% and 4.1% of cases (isolated EU plus nonisolated EU), respectively. These figures are similar to those reported in a series of 58 patients by Alcocerba et al [5] (5.2% and 3.4%, respectively). In the present study, allergies to antibiotics (demonstrated by a convincing medical history, skin testing, and, in selected cases, challenge testing) accounted for 7.0% of all cases of EU (isolated EU plus nonisolated EU) (Table 3). Interestingly, antibiotics have never before been reported to be a cause of EU.

In the present study, heavy episodic drinking and upper respiratory tract infection were the medical conditions most commonly associated with EU (exclusively isolated EU). The reason for this, however, remains unclear. On the other hand, we identified a mutation (Thr318Lys) in the FXII gene along with a normal C1 inhibitor protein level and normal C1 inhibitor activity function in the patient diagnosed with FXII-HAE [11]. She was instructed to self-administer icatibant by subcutaneous injection in the abdomen if necessary. We
highlight 3 cancer patients who had no further EU episodes after receiving adequate antitumor treatment. However, in spite of all the data presented, we had the impression that several factors were needed to produce EU.

Our study is considerably limited by the absence of a healthy control group to identify risk factors for EU (independently of whether this is isolated or not). The study is also limited by regional differences in diet and the prevalence of infection throughout the world. We are also aware of the limitations/shortcomings of *Anisakis*, which prevent our data from being extrapolated to many other populations. Nevertheless, the strengths of our study include the size of the sample and the length of follow-up (3 years after diagnosis). Taking into account these data in our area, we believe that diagnosis and therapy can be improved by ruling out *Anisakis* and foods/drugs as causes, visually inspecting the uvula, and determining whether the patient is a snorer (predisposing factor). These 4 factors (*Anisakis*, foods/drugs, elongated uvula, and snoring) accounted for the vast majority of cases of uvular edema in our study.

In conclusion, the present results suggest snoring, an elongated uvula, and previous EU episodes to be predisposing factors for isolated EU in our study population. *Anisakis* allergy was the variable most commonly associated with EU. Such knowledge may help in the prevention and management of EU, which can sometimes be a severe condition. However, no medical condition was firmly associated with isolated EU or nonisolated EU, and it seems difficult to act based on such scarce evidence. Further research must be carried out in order to clarify other causes and predisposing factors of EU and the interactions between them.

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**Conflicts of Interest**

The authors declare they have no conflicts of interest.

**Previous Presentation**

Preliminary findings from this study were presented in poster form at the November 2010 Meeting of the Spanish Society of Allergy and Clinical Immunology, Madrid, Spain, and in oral form at the October 2014 Meeting of the Spanish Society of Allergy and Clinical Immunology, Salamanca, Spain.

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